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Date:

02 November 2015

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通告 CIRCULAR

事項

Subject: **Practice Sessions on Pre-Trade Risk Management (PTRM) System in Derivatives Market**

查詢

Enquiry: **HKATS Hotline 2211 6360**

Reference is made to the circular (ref: MO/DT/144/15) dated 13 October 2015. With the implementation of PTRM system in derivatives market, a new software will be introduced providing Participants the ability to manage their pre-trade risks at exchange level.

Upon the launch of PTRM system in derivatives market, Clearing Participants must set prescribed risk controls for themselves (as Exchange Participants) and General Clearing Participants must set prescribed risk controls for each Exchange Participant for whom they clear, including Non-Clearing Participants (NCPs).

During the practice sessions, Participants will learn how to use the new PTRM software to:

1. set prescribed risk controls and execute emergency buttons for their own trading units in the capacity of a self-clearing participant and
2. in the case of a GCP, set prescribed risk controls and execute emergency buttons for the trading units of a NCP

in the testing environment provided by HKEEx.

HKEEx has scheduled practice sessions from 16 November 2015 to 8 January 2016 and details are as follows:

Language	Day	Time	Venue
Cantonese	Every Monday, Tuesday, Thursday, Friday (except public holidays)	1 st Session: 2:00pm – 4:00pm	HKATS Training Room 24/F PCCW Tower Taikoo Place Quarry Bay
English	Every Wednesday (except public holidays)	2 nd Session: 5:00pm – 7:00pm	

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Participants are required to nominate the staff(s) who will be responsible to operate the PTRM software to enroll in one of the practice sessions and reserve their seats.

Please fill in the attached enrolment form in Appendix 1 and fax to 2509 0724 or 2877 0017 or email to ClickSupport@hkex.com.hk on or before 13 November 2015 (Friday).

For any enquiries, please contact the HKATS hotline at 2211 6360.

Sanly Ho
Head
Derivatives Trading
Global Markets Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail

<p>Practice Sessions of PTRM Software</p> <p>Enrolment Form</p>

To: Hong Kong Exchanges and Clearing Limited

Email: ClickSupport@hkex.com.hk
 Fax No: 2509 0724 / 2877 0017
 HKATS Hotline: 2211 6360

From:

Name of Participant	HKATS Customer Code
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We nominate the following person(s) to attend the practice session(s) for the new PTRM software.

	Name	Department	1 st Choice		2 nd Choice	
			Date	Time	Date	Time
1						
2						

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Contact Person: _____

Telephone No.: _____ Fax No: _____

Email Address: _____

- Completed Enrolment Form should be returned to HKEx by fax at 2509 0724 or 2877 0017 or email to ClickSupport@hkex.com.hk on or before 13 November 2015.
- Confirmation will be sent to the contact person by e-mail.
- Participants are advised to keep the completed enrolment form for record.