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香港交易及結算所有限公司是香港聯合交易所有限公司、香港期貨交易所有限公司及香港中央結算有限公司之認可控制人  
Hong Kong Exchanges and Clearing Limited is the recognised controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited and Hong Kong Securities Clearing Company Limited

## 通告 CIRCULAR

### 事項

Subject: HKATS Risk Functions - Connectivity Tests and Market Rehearsal for Pre-Trade Risk Management (“PTRM”) System in Derivatives Market

### 查詢

Enquiry: HKATS Hotline 2211 6360

Reference is made to the circular (ref: MO/DT/171/15) dated 15 December 2015. Hong Kong Exchanges and Clearing Limited (“HKEx”) plans to introduce the HKATS Risk Functions - PTRM System in Derivatives Market.

In order to allow Exchange Participants (“EPs”) to verify their readiness of the associated operations, 2 Connectivity Tests (“CTs”) and 1 Market Rehearsal (“MR”) will be held in January 2016. All Participants are required to participate in the CT and the MR and the details are as follows:

Date	Activities
16 January 2016 (Saturday)	Connectivity Test – <b><u>Mandatory</u></b> for ALL EPs to participate on 16 January 2016
*23 January 2016 (Saturday)	
30 January 2016 (Saturday)	Market Rehearsal – <b><u>Mandatory</u></b> for ALL EPs to participate on 30 January 2016

\* Participants who fail the CT on 16 January 2016 are required to complete the CT on 23 January 2016.

To assist EPs to plan and prepare for the CTs and MR, detailed rundowns for both events will be sent to Participants in due course. EPs are required to submit and return the “Contact Details Form – Connectivity Tests and Market Rehearsal for PTRM in Derivatives Market” as set forth in the attachment **on or before 6 January 2016**. Participants are required to secure sufficient IT and OAPI vendor supports to participate in the CTs and the MR.

香港交易及結算所有限公司  
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For any enquiries, please contact HKATS hotline at 2211 6360.

Sanly Ho  
Head  
Derivatives Trading  
Global Markets Division

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*

聯絡人資料表格 - 衍生產品市場前端監控風險管理系統之連接測試及市場演習  
Contact Details Form – Connectivity Tests and Market Rehearsal for PTRM in Derivatives Market

(填妥之表格須於 2016 年 1 月 6 日星期三或之前傳真至 2509-0724 / 2877-0017  
或電郵至 [ClickSupport@hkex.com.hk](mailto:ClickSupport@hkex.com.hk))  
(Completed form should be returned by fax to 2509-0724 / 2877-0017 or  
email to [ClickSupport@hkex.com.hk](mailto:ClickSupport@hkex.com.hk) on or before 6 January 2016, Wednesday)

所有關於衍生產品市場前端監控風險管理系統之連接測試及市場演習的事項，請聯絡以下人士：  
Please contact the following person(s) for all matters during the Connectivity Tests and the Market Rehearsal for PTRM in Derivatives Market

參與者名稱 Participant Name	HKATS 代號 HKATS Customer Code
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第一聯絡人 Primary Contact Person	電話號碼 Telephone No.
電郵地址 Email Address	傳真號碼 Fax No.

第二聯絡人 Secondary Contact Person	電話號碼 Telephone No.
電郵地址 Email Address	傳真號碼 Fax No.

請勾選以下所有方格以確認對連接測試及市場演習均準備就緒：  
Please confirm your readiness for the CTs and the MR by checking all the boxes below:

- HKATS 風險功能- 前端監控風險管理系統之專用電腦經已設定完畢  
The dedicated PC for HKATS Risk Functions for PTRM had been set up
- 本公司已參與 HKATS 風險功能- 前端監控風險管理系統運作之實習時段  
We had participated in the “Practice Sessions” regarding the operations of HKATS Risk Functions for PTRM