



Ref. No.: CD/CCO/CCASS/227/2011

Date: 9 September 2011

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)
Hong Kong Securities Clearing Company Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

To : Custodian and General Clearing Participants

Subject : Briefing Sessions on Trading, Clearing and Settlement Arrangement for Securities under “Dual Tranche, Dual Counter” Model

Enquiry: Hotline at 2979 7111

Reference is made to the news releases issued by Hong Kong Exchanges and Clearing Limited (“HKEx”) on 5 September 2011 (<http://www.hkex.com.hk/eng/newsconsul/hkexnews/2011/Documents/1109052news.pdf> and www.hkex.com.hk/eng/newsconsul/hkexnews/2011/Documents/110905news.pdf) in relation to the RMB product development which covers the simultaneous offering and initial listing of two tranches of shares in different trading currencies (i.e. RMB and HKD) by the same issuer in the form of a “Dual Tranche, Dual Counter” (“DTDC”) model.

To allow CCASS Participants to better understand and prepare for the trading, clearing and settlement arrangements for securities traded and cleared under the DTDC model, a series of briefing sessions will be held on 16, 19 and 20 September 2011.

Interested General Clearing Participants who are also Exchange Participants (“EPs”) should complete and return the Briefing Enrolment Form ([Attachment 1](#) of SEHK circular Ref : [CMD/TMO/031/11](#)) to the Trading Division of HKEx, while Custodian and General Clearing Participants who are not EPs should complete and return the attached Form (Attachment 1) to the Clearing Division of HKEx **on or before 14 September 2011 (Wednesday)**.

Kennis Cheuk
Assistant Vice President
Depository and Nominee Services

香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

香港中環港景街一號國際金融中心一期12樓
12/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong

電話 Tel: + 852 2522 1122 傳真 Fax: +852 2295 3106 網址 Website: www.hkex.com.hk 電郵 E-mail: info@hkex.com.hk

「雙幣雙股」模式下的證券交易、結算及交收簡報安排會報名表
Enrolment Form for Briefing Sessions on Trading, Clearing and Settlement Arrangement
for Securities under “Dual Tranche, Dual Counter” Model

(填妥之表格須於2011年9月14日 星期三 或之前傳真至2579-0136 / 2579-0085)

(Completed form should be returned by fax to 2579-0136 / 2579-0085 on or before Wednesday 14 September 2011)

致：香港交易及結算所有限公司 – 結算支援服務

To: Hong Kong Exchanges & Clearing Ltd – Clearing Support Services

第一部份 參與者資料
Section I Participant Information

參與者名稱 Participant Name	公司編號 Firm ID
聯絡人 Contact Person for	電話號碼 Contact No.
電郵地址 Email Address	傳真號碼 Fax No.

第二部份 簡報會詳情
Section II Details of the Briefing Sessions

日期：2011年9月16、19日及20日 Date: 16, 19 and 20 September 2011
時間：下午 5 時正至 6 時 15 分 Time: 5:00pm – 6:15pm
地點：香港中環交易廣場 1 座及 2 座 1 樓交易所展覽館交易所會議廳 Venue: The Exchange Auditorium, The Exchange Exhibition Hall, 1/F, One & Two Exchange Square, Central, HK
語言：廣東話 (英語簡報會將按需求另行安排) Language: Cantonese (Briefing session conducted in English can be arranged separately upon request)

本公司現提名以下人士出席是次簡報會：

We would like to nominate the following person(s) to attend the briefing session:

英文或中文姓名 (請用正楷填寫) Name in English or Chinese (in Block Letters)	職位 Position	選擇日期(請在適當的方格內加上✓號) Choice of Date (please tick ✓ the appropriate box)		
		16/9 (Friday)	19/9 (Monday)	20/9 (Tuesday)
1.				
2.				
如有剩餘名額 If seats are available				
3.				

備註：除獲香港結算通知外，所有報名人士均會獲分配出席簡報會的名額。香港結算將會於簡報會前一天以電話通知不獲分配出席簡報會的中央結算參與者。若任何已遞交報名表之中央結算參與者未能出席簡報會，請盡早致電 2979-7111 通知香港結算。

Note: Enrolments are treated as successful unless informed by Hong Kong Clearing. Unsuccessful CCASS Participants will be informed by phone one day before the briefing session. If any CCASS Participants which have submitted the enrolment form and cannot attend the briefing session, please notify Hong Kong Clearing on 2979-7111 as soon as possible.

簽署並蓋上公司印章
Authorised Signature (s)
with Company Chop : _____

日期
Date : _____