

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: The U.S. Foreign Account Tax Compliance Act

Enquiry: CCASS Hotline 2979 7111

Reference is made to the circulars dated 26 August 2015 (Ref: [CD/DNS/CCASS/225/2015](#)) and 14 September 2015 (Ref: [CD/DNS/CCASS/243/2015](#)), in relation to the U.S. Foreign Account Tax Compliance Act ("FATCA").

In compliance with the FATCA requirements, whereby, among other things, Hong Kong Securities Clearing Company Limited ("HKSCC") is required to perform a due diligence exercise to establish the FATCA status of its Participants.

As such, Participants are hereby requested to submit an U.S. Internal Revenue Service ("IRS") Form W-8BEN-E, or other applicable IRS forms in the W-8 range or an IRS Form W-9¹ to HKSCC.

The completed, signed and dated IRS form shall be submitted to HKSCC **no later than 4 March 2016 (Friday)** via post or by hand to the following:

¹ The IRS forms and their instructions are available on [IRS website](#).

Clearing Administration & Services
Cash & Derivatives Clearing Operations
Hong Kong Securities Clearing Company Limited
7/F, Infinitus Plaza,
199 Des Voeux Road Central, Hong Kong.
Attn: Samuel Ng

Please note that pursuant to Rule 1703(vii) of the General Rules of CCASS, a Participant undertakes to provide HKSCC with sufficient information to enable HKSCC to meet any obligations relating to FATCA. If a Participant fails to submit the relevant IRS tax form to HKSCC **on or before 4 March 2016**, the relevant Participant may be assigned a default FATCA status which may be included in HKSCC's FATCA reporting to the IRS and HKSCC may also take other measures against the Participant as appropriate.

Participants should consult their own professional advisors if they have any questions regarding FATCA, including their FATCA status or how to complete an IRS form.

Should you plan to provide an IRS form other than Form W-8BEN-E or have any questions on the submission of the IRS form to HKSCC, please contact CCASS Hotline at 2979 7111.

Vicky Chan
Senior Vice President
Cash and Derivatives Clearing Operations