



編號 OTCO/003/2014

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Date: 17 June 2014

香港場外結算有限公司
(香港交易及結算所有限公司附屬公司)
OTC Clearing Hong Kong Limited
(A subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: **The U.S. Foreign Account Tax Compliance Act - Registration**

查詢

Enquiry: **2211 6538 (Mr. Patrick Leung)**

Reference is made to the circular dated 2 January 2014 (Ref: [OTCO/001/2014](#)), and the Clearing Member seminars held in March 2014 in relation to the U.S. Foreign Account Tax Compliance Act (FATCA).

Please be informed that OTC Clearing Hong Kong Limited (OTC Clear) has completed registration with the U.S. Internal Revenue Service (IRS) as a “Reporting Financial Institution under a Model 2 Intergovernmental Agreement”. Our Global Intermediary Identification Number (GIIN) is as below:

7ENI1T.00004.ME.344

FATCA will become effective on 1 July 2014. Clearing Members are reminded to assess the potential implications of FATCA on their business operations (in consultation with their professional advisers, if necessary) and, where appropriate, implement operational and system changes to comply with FATCA.

Clearing Members should refer to the [IRS website](#) for details on FATCA. Please also refer to the [HKEx FATCA webpage](#) for frequently asked questions and links to useful websites such as Hong Kong Government’s announcement on FATCA and IRS registration portal.

Kelvin Lee
Acting Head of OTC Clear

香港交易及結算所有限公司
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