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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

**The Stock Exchange of Hong Kong Limited**

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

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**通告 CIRCULAR**

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事項

Subject: **TRADING ARRANGEMENTS OF CMS CSI OVERSEAS MAINLAND ENTERPRISES ETF**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Exchange Participants are requested to note that the CMS CSI Overseas Mainland Enterprises ETF (Stock Code: 2842) has been authorized under collective investment scheme by the Securities and Futures Commission and is expected to commence trading on the Exchange and admitted to the list of Designated Securities eligible for short selling on **1 November 2011 (Tuesday)**. Detail of its trading arrangements (Attachment 1) is attached for reference.

China Merchants Securities (HK) Co., Ltd and Citigroup Global Markets Asia Ltd. have been granted Securities Market Maker permits to make market for the ETF effective the same day. The Securities Market Makers are subject to the market making obligations set out in Attachment 2 of this circular and Securities Market Maker Regulations of the Rules of the Exchange.

The Exchange has recently launched a set of new ETF tools and information on HKEx website, (<http://www.hkex.com.hk/eng/prod/secprod/etf/etfmain.htm>). Key features include:

- an ETF search engine which allows investors to search and compare ETFs
- new functionalities which allow investors to find actively traded ETFs, browse daily/monthly ETF statistics and obtain other useful reference information

Exchange Participants are encouraged to introduce the above new functionalities to their clients where appropriate.

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**Trading in the ETF(s) may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETF(s) is appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.**

**Further information such as the prospectus and other documents issued by the manager is available on the HKExnews website (<http://www.hkexnews.hk>) and on the HKEx website (<http://www.hkex.com.hk>) under Products & Services/Securities Products/Exchange Traded Funds.**

**David Lo  
Vice President – Cash Market  
Trading Division**

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