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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: TRADING ARRANGEMENTS OF NEW HORIZONS ETFS

查詢

Enquiry: Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)

Exchange Participants are requested to note that the following ETFs have been authorized under collective investment scheme by the Securities and Futures Commission and are expected to commence trading on the Exchange and admitted to the list of Designated Securities eligible for short selling on **17 June 2013 (Monday)**. Detail of their trading arrangements (Attachment 1) is attached for reference.

Name of ETF	Stock Short Name	Stock Code
Horizons MSCI China ETF	HORIZONS MSCICH	3040
Horizons Hang Seng High Dividend Yield ETF	HORIZONS HS HDY	3110

The following Exchange Participants have been granted Securities Market Maker permits to make market for the two ETFs effective the same day. The Securities Market Makers are subject to the market making obligations set out in Attachment 2 of this circular and Securities Market Maker Regulations of the Rules of the Exchange.

3040 HORIZONS MSCICH	Bluefin HK Limited
	BNP Paribas Securities (Asia) Limited
	China International Capital Corporation Hong Kong Securities
	Limited
	Nomura International (Hong Kong) Limited
	Optiver Trading Hong Kong Limited
	Shenyin Wanguo Securities (H.K.) Limited
3110 HORIZONS HS HDY	Bluefin HK Limited
	BNP Paribas Securities (Asia) Limited
	China International Capital Corporation Hong Kong Securities
	Limited
	Nomura International (Hong Kong) Limited
	Shenyin Wanguo Securities (H.K.) Limited

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香港交易及結算所有限公司 Hong Kong Exchanges and Clearing Limited Trading in the ETFs may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETFs is appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.

Further information such as the prospectus and other documents issued by the manager is available on the HKExnews website (<u>http://www.hkexnews.hk</u>) and on the HKEx website (<u>http://www.hkex.com.hk</u>) under Products & Services/Securities Products/Exchange Traded Funds.

Olivia Mak Assistant Vice President Cash Trading