

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: Joint Promotional Program on Stock Options

查詢

Enquiry: Mr. Justyn Ip 2211-6150

Stock Option is one of the most actively traded derivative products in Hong Kong (in terms of contracts traded). As compared to average daily volume (“ADV”) in 2012, January 2013 ADV rose by 22% to almost 300,000 contracts a day.

The Exchange is going to conduct a joint promotional program (the “Program”), from March to December 2013 (the “Program Period”), with the objectives of supporting Options Trading Exchange Participants (each an “OTEP”) in promoting Stock Options to their existing and potential investors, and enhancing the knowledge of their staff in Stock Options trading. A maximum of 5 OTEPs will be selected to participate in the Program (each a “Participating OTEP”).

Each Participating OTEP will receive the following sponsorship from the Exchange:

- Sponsorship money of a maximum amount of HK\$20,000 (“Sponsorship Money”) for conducting public seminar(s) in which Stock Options must be the major topic (each a “Public Seminar”);
- Free copies of printed Stock Options promotional materials provided by the Exchange for distribution during Public Seminar(s); and
- Speaker(s) assigned by the Exchange on Stock Options for Public Seminar(s) as well as in-house briefing(s) for its staff.

The criteria for granting of the Sponsorship Money by the Exchange to each Participating OTEP are as follows:

- Participating OTEPs successfully held at least one Public Seminar, in which Stock Options must be the major topic, during the Program Period, with 200 or more attendees, and for duration of at least 2 hours, would be granted the Sponsorship Money in the sum of HK\$20,000. If the number of attendees for the Public Seminar held is less than 200, but over or equal to 100, the Sponsorship Money in the sum of HK\$15,000 would be granted to the relevant Participating OTEP, provided that all the other requirements relating to the Public Seminar are fulfilled. No Sponsorship Money would be granted to a Participating OTEP if the number of attendees for the Public Seminar held is less than 100;

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- A minimum of 1 hour must be allocated to the speakers assigned by the Exchange during Public Seminar; and
- At least one in-house briefing on Stock Options, with speaker assigned by the Exchange, must also be arranged by each Participating OTEP for its staff.

Interested OTEPs should complete and return the attached application form before **5:00 p.m. on Friday, 1st March 2013**. If there are more than 5 applications, the Exchange will select 5 Participating OTEPs in a draw lots session where interested OTEPs are invited to attend. Please note that the sponsorship arrangement of the Program is subject to the final decision of the Exchange; and the Exchange reserves the right to make necessary modifications to the Program for achieving best results in promoting Stock Options to the investment community.

For OTEPs that are interested in having in-house briefings on Stock Options but have no intention in participating in the Program, please contact Mr. Justyn Ip at 2211-6150 for arrangements.

Sanly Ho
Vice President
Derivatives Trading
Global Markets

Att.

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

Joint Promotional Program on Stock Options

Application Form

(Please return by 5:00 p.m. on 1st March 2013)

To : **Trading Division - Derivatives Market (Fax: 2824-4438)**

From : _____

Contact : _____

Date : _____

We wish to participate in the Joint Promotional Program on Stock Options.

	Proposed Date / Venue	Target Number of Attendees
Public seminar(s)		
In-house briefing(s)		

Authorised Signature _____ **Company Chop** _____