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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: **HKEx ORION CENTRAL GATEWAY (OCG) – ENHANCEMENT FOR SEMI-AUTOMATIC MATCHING AND BATCH 3 ENROLLMENT**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

OCG Enhancement

Exchange Participants (EPs) are requested to note that an enhancement to support order submission and semi-automatic matching for odd lot and special lot orders in OCG will be implemented in the 4th Quarter 2014 along with the Batch 3 OCG rollout. This enhancement will be in the form of optional messages. Existing and future OCG users can select to implement the enhancement to their Broker Supplied System (BSS) or continue to use the manual trade function to report odd lot and special lot transactions. EPs are advised that:

- 1) New versions of the technical documents updated for order submission and semi-automatic matching for odd lot and special lot orders are now available on the HKEx website at OCG project corner under Market Operations – Securities Trading Infrastructure section (<http://www.hkex.com.hk/OCG>);
- 2) New version of Offline Simulator Package for OCG will be available for download from the Electronic Communication Platform (ECP) from 7 July 2014. The operational procedure for downloading the Simulator Package via ECP is in [Attachment 1](#). The Simulator Package will also be sent to BSS vendors by email. BSS vendors who do not receive the updated Simulator Package by 11 July 2014 should contact us via email to OrionCentralGateway@hkex.com.hk; and
- 3) EPs who have rolled out OCG sessions and plan to implement the new messages for odd lot and special lot order submission and semi-automatic matching are required to complete the relevant new test cases in all the Conformance Tests (i.e. Simulator Test, End-To-End Test, Rollout Test) prior to rollout of the enhancement to their corresponding BSS.

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香港交易及結算所有限公司
Hong Kong Exchanges and Clearing Limited

香港中環港景街一號國際金融中心一期12樓
12/F, One International Finance Centre, 1 Harbour View Street, Central, Hong Kong

電話 Tel: +852 2522 1122 傳真 Fax: +852 2295 3106 網址 Website: www.hkex.com.hk 電郵 E-mail: info@hkex.com.hk

Batch 3 OCG Enrollment

EPs interested to become the Batch 3 users of OCG are required to **enroll on or before 4 July 2014** by submitting the Application Form(s) to HKEx. Application Forms and respective Explanatory Notes of OCG session and related services are posted at OCG project corner (<http://www.hkex.com.hk/OCG>) on the HKEx website.

The tentative on-boarding schedule for Batch 3 OCG users or those interested to upgrade their BSS for semi-automatic matching is as follows:

On-boarding Activities	Tentative Date
Provision of OCG Offline Simulator Packages to EPs	From 7 July 2014 onwards
Submission of Offline Simulator Test Result	11 August 2014
End-to-End Testing	3 rd Quarter 2014
Rollout Test	4 th Quarter 2014
Rollout	4 th Quarter 2014

EPs should refer to the technical documents posted at [OCG project corner](#) to develop their systems. For any enquiry on the OCG, please email to OrionCentralGateway@hkex.com.hk.

OCG is for trading only and no securities market data is transmitted from OCG. EPs may choose to receive securities market data directly from HKEx Orion Market Data Platform – Securities Market (OMD-C) or indirectly via information vendors. EPs interested in subscribing to OMD-C are also required to submit the [OMD-C Feed Enrolment Form](#) to HKEx **on or before 4 July 2014** and refer to technical documents posted at [OMD-C project corner](#) to develop their systems. OMD-C subscribers will be separately informed of the testing arrangement and schedule. For OMD-C enquiry, please email to OrionMarketData@hkex.com.hk.

Olivia Mak
Vice President
Cash Trading