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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)
The Stock Exchange of Hong Kong Limited
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項

Subject: **ENHANCED PRE-TRADE CHECKING OF CHINA CONNECT SECURITIES UNDER SHANGHAI-HONG KONG STOCK CONNECT**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Reference is made to the Exchange circular (Ref: [CT/023/15](#)) dated 26 March 2015. Exchange Participants (“EPs”) are requested to note that the enhanced pre-trade checking of China Connect Securities under Shanghai-Hong Kong Stock Connect will come into effect on 20 April 2015.

Under the enhanced pre-trade checking model, designated executing EPs can execute the sale of China Connect Securities in clients’ Special Segregated Accounts (“SPSA”) by inputting sell orders with the respective clients’ investor identification number assigned to the SPSA. Designated executing EPs should note that the confirmed effective date of each SPSA and the investor identification number may differ and they should follow the date as stated in the notification issued by the Exchange.

Prior to inputting sell orders of China Connect Securities with clients’ investor identification number, designated executing EPs should ensure that there are sufficient stockholdings in the clients’ SPSA or else the sell orders will be rejected. Besides, the investor identification number field must be left blank for all buy orders.

EPs are also requested to refer to the HKSCC circular (Ref: [CD/CCO/CCASS/092/2015](#)) issued today for further details.

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