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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited*(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)*

通告 CIRCULAR

事項

Subject: **TRADING ARRANGEMENTS OF HARVEST CSI SMALLCAP 500 INDEX ETF**

查詢

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Exchange Participants are requested to note that the Harvest CSI Smallcap 500 Index ETF (Stock Code: 83150 – RMB counter, 3150 – HKD counter) has been authorized under collective investment scheme by the Securities and Futures Commission and is expected to commence trading on the Exchange and admitted to the list of Designated Securities eligible for short selling on **21 May 2015 (Thursday)**. The RMB counter will be eligible for the RMB Equity Trading Support Facility (TSF). Detail of its trading arrangements ([Attachment 1](#)) is attached for reference.

Securities Market Maker permits have been granted for relevant Exchange Participants to make market for the ETF effective the same day. The Securities Market Makers are listed in [Attachment 1](#) for reference. The Securities Market Makers are subject to the market making obligations set out in [Attachment 2](#) of this circular and Securities Market Maker Regulations of the Rules of the Exchange.

Trading in the ETF(s) may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETF(s) is/are appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.

Further information such as the prospectus and other documents issued by the manager is available on the HKExnews website (<http://www.hkexnews.hk>) and on the HKEx website (<http://www.hkex.com.hk>) under Products & Services/Securities Products/Exchange Traded Funds.

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