



香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

Please return the completed enrolment form by fax (Fax No. : 2521-7899) or by email to ams3info@hkex.com.hk on or before **23 Sept 2016**

**Enrolment Form for Participation in Market Rehearsal Activities for
Shenzhen-Hong Kong Stock Connect (for existing / new CCEPs)**

Notes:

- Both existing and new CCEPs must return positive end-to-end test result, complete the SDNet/2 circuits' bandwidth upgrade (if applicable) and CCOG installation prior to participating in Shenzhen-Hong Kong Stock Connect market rehearsal activities.
- Please note that market group (MG01) will be assigned to CCEP trading in both Shanghai and Shenzhen-Hong Kong Stock Connects while market group (MG02) will be assigned to CCEP trading in Shanghai-Hong Kong Stock Connect only. CCEP should ensure its BSS capable to handle different market group.
- Please contact HKEX – BSS Support Team at 2840-3351 for technical enquiries or HKEX – Participant General Enquiry Hotline at 2840-3626 for general enquiries.

Part I – Participant Information

Name of Exchange Participant		Participant ID
Contact Person Name	Phone No.	Email Address

Part II – Enrolment (please tick the appropriate box(es) below)

- ☐ We are Existing CCEP and will participate in the Shenzhen-Hong Kong Stock Connect upon the launch. We will join both MR1 (29 to 30 Oct 2016) and MR2 (5 to 6 Nov 2016).
In addition, we will join the optional Connectivity Test (CT) : ☐ CT (22 Oct 2016) ☐ CT (23 Oct 2016)
- ☐ We are Existing CCEP and only trade Shanghai-Hong Kong Stock Connect and will NOT participate in the Shenzhen-Hong Kong Stock Connect upon the launch. We will join Day 1 of MR1 (29 Oct 2016).
- ☐ We are NEW CCEP and will participate in both Shanghai and Shenzhen-Hong Kong Stock Connects. We will join both MR1 (29 to 30 Oct 2016) and MR2 (5 to 6 Nov 2016).
In addition, we will join the optional Connectivity Test (CT) : ☐ CT (22 Oct 2016) ☐ CT (23 Oct 2016)

Part III – Participating Device (please tick the appropriate box(es) below)

- a. Please turn on the below CCOG during the above CT/MR date(s) and the requirement of Central Trade Feed (CTF) function : (applicable to Existing CCEP)

CCOG Device ID	Turn On CTF		CCOG Device ID	Turn On CTF	
OG0	☐ Yes	☐ No	OG0	☐ Yes	☐ No
OG0	☐ Yes	☐ No	OG0	☐ Yes	☐ No
OG0	☐ Yes	☐ No	OG0	☐ Yes	☐ No

- b. Please turn on all our production order flow OCG/BSS sessions during the above CT/MR date(s) to facilitate our trading activities in AMS.

☐ Yes ☐ No

Signature of Responsible Officer
with Company Chop

: _____

Date : _____

Name of Responsible Officer

: _____

(Updated in September 2016)