

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **UPCOMING LAUNCH OF CLOSING AUCTION SESSION (CAS) PHASE 2 AND PUBLICATION OF THE LIST OF CAS SECURITIES FOR PHASE 2**

Enquiry: **Participant General Enquiry Hotline (Tel : 2840 3626 E-mail: trd@hkex.com.hk)**

Further to the Exchange circular (Ref: [CT/054/17](#)) issued on 3 July 2017, Exchange Participants (“EPs”) are reminded that Phase 2 of CAS will be launched on 24 July 2017 (next Monday) as scheduled. For the details of the CAS Phase 2, please refer to the FAQ and the briefing pack which are available at the [VCM and CAS web corner](#).

Publication of the List of CAS Securities for Phase 2

The list of CAS securities for Phase 2 launch (i.e. to become effective on 24 July 2017) is now available on the HKEX website at the [VCM and CAS web corner](#) for reference.

EPs are reminded that in Phase 2, the list of CAS Securities will expand to also include constituents stocks of Hang Seng Composite SmallCap Index (“HSSI”). In addition, a refined and ongoing process will be implemented to add or delete stocks from list of CAS Securities based on the selection criteria (see [Attachment 1](#) for the details of maintenance process of list of CAS Securities).

As a result, a number of stocks which are HSSI constituents will be added to the list of CAS Securities while a number of stocks which have been removed from the relevant indices since Phase 1 launch will also be removed (see [Attachment 2](#) for a list of securities to be added and removed).

Sally Kwok
Senior Vice President
Cash Trading
Markets Division