

Guidelines for conducting the testing session for simulating trading in RMB products on 18 September 2010 (Saturday)

- (a) For both MWS and BSS users, please do not input any next day requests after trading hours on Friday, 17 September 2010 because next day requests will be submitted to AMS/3 host during the testing session and will not be available on the following Monday.
- (b) The Exchange will turn on all off-floor trading terminals, OG/MWS and OG/BSS for EPs to conduct the testing. The Trading Hall will also be opened for EPs to perform testing on the on-floor trading terminals. ORS and PNS systems will also be available for the testing.
- (c) Users of all off-floor trading devices (including terminals, OG/MWS and OG/BSS) are requested to perform smart card log on by using the **production Smart Card and PIN number**.
- (d) EPs should use **production trader ID and password “123456”** to perform trading system log on for all trading devices. For details of log on procedures and password arrangements, please refer to the Activity Rundown (Attachment II).
- (e) The stock image at the close of 20 August 2010 will be used as the stock database for the testing. A list of test securities denominated in RMB (Attachment III) will also be included in the stock database for testing by EPs.
- (f) Market turnover calculation in AMS/3 (as displayed on line 23 of AMS device screens, and information pages P.788 for Main Board and P.8788 for GEM) will include transactions conducted in RMB or other non-HKD currencies. For this calculation during the testing session, the following exchange rates will apply:

Currency	Exchange Rate (vs HKD)
CAD	7.5287
CNY	1.1444
EUR	9.9785
GBP	12.0611
JPY	90.8739
USD	7.7823
The above exchange rates are quoted in 1 currency unit for CAD, CNY, EUR, GBP and USD and 1,000 currency units for JPY	

- (g) EPs should leave their on-floor and off-floor trading terminals, OG/MWS and OG/BSS components **powered on throughout the weekend**. This is essential to allow HKEx to perform housekeeping work on all trading devices after the testing to ensure the devices are in proper order for production trading on the following Monday.
- (h) Contingency Arrangements upon issuance of Typhoon and/or Rainstorm Warning Signal on the day of testing:
 - In case Typhoon Signal No. 8 or above and / or Black Rainstorm Warning is issued or continues to be issued after 7:30am and before 9:30am on the day of testing, the testing

Attachment I

will be cancelled and will not be resumed for the rest of the day.

- In case Typhoon Signal No. 8 or above is issued after 9:30am on the day of testing, the testing will be terminated 15 minutes thereafter.
- In case Black Rainstorm Warning is issued after 9:30am on the day of testing, the testing will continue until completion.
- In any case, all participating EPs should complete the appropriate day-end works including file / database backup, system restoration / fallback and etc before, during and/or after the testing.