

**Activity Rundown - Testing Session for Simulating Trading in RMB Products
to be held on 18 September 2010 (Saturday)**

| Time | Tasks to be Performed by Users of On-floor & Off-floor Trading Terminals, OG/MWS, OG/BSS and ORS |
|--|---|
| 17 September 2010 (Friday) – After Market Close | |
| After 5:00pm | <ul style="list-style-type: none"> <input type="checkbox"/> Ensure all on-floor and off-floor trading terminals, OG/MWS and OG/BSS components (including network routers, OG servers, MWS servers and workstations) are powered on after trading hours <input type="checkbox"/> Ensure the BSS production database are properly backed-up before the testing session <input type="checkbox"/> Disconnect the BSS from the OG if not participating in the testing session in order to avoid receiving the testing data <p><u>Notes :</u></p> <ul style="list-style-type: none"> • <i>The Trading Hall will be opened during the testing session on 18 September 2010 (Saturday)</i> • <i>For BSS, please save/cancel all ORS next day requests, if applicable</i> • <i>For MWS, please cancel all ORS next day requests, if applicable</i> • <i>For both MWS and BSS, please do not input any next day requests after trading hours on Friday, 17 September 2010 (i.e. requests intended for submission to host on the following Monday, 20 September 2010 since next day requests will be submitted to AMS/3 host during the testing session and will not be available on the following trading day)</i> • <i>After the testing session, EPs are also reminded to clean up the next day requests (if any) to ensure that these testing orders will not be carried forward to the production on the following trading day</i> |
| 18 September 2010 (Saturday) | |
| Before 9:00am | <ul style="list-style-type: none"> <input type="checkbox"/> Ensure the production database of in-house / back-office systems are properly backed-up |
| 9:00am – 9:30am (Before Pre-opening Session commence) | <ul style="list-style-type: none"> <input type="checkbox"/> Log on to AMS/3 host <p>For On-floor Trading Terminal :</p> <ul style="list-style-type: none"> - Perform trader sign on by using production trader ID, however, please note that the password has been reset as 123456 for testing session purpose <p>For Off-floor Trading Terminal :</p> <ul style="list-style-type: none"> - Perform smart card log on for off-floor trading terminal by using the production smart card and PIN number - Perform trader sign on by using production trader ID, however, please note that the password has been reset as 123456 for testing session purpose <p>For MWS :</p> <ul style="list-style-type: none"> - Perform smart card log on for OG – enter the production PIN of the smart card - Perform Administrator log on to workstation by using default administrator User ID 9999, Password 9999 - Perform MWS start-of-trading procedures - Perform Supervisor log on to workstation by using default supervisor User ID 001, Password 1 or User ID 002, Password 2 - Perform supervisor trading system log-on by using production trader ID, |

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| | <p>however, please note that the password has been reset as 123456 for testing session purpose</p> <ul style="list-style-type: none"> - Create user profile (if required) - Perform trader sign on <p>Note : <i>The user accounts (e.g. Trader and Supervisor) created by EPs in MWS during previous testing session(s) can be re-used again and EPs can also use the default user accounts specified above to log on the MWS. Should EPs wish to create additional user accounts (e.g. Trader and Supervisor), please follow the procedures set out in the MWS User's Guide at HKEx website http://www.hkex.com.hk/eng/market/sec_tradinfra/mws.htm. The MWS user accounts created during the testing session will <u>not</u> be carried over to the production environment</i></p> <p>For BSS :</p> <ul style="list-style-type: none"> - Perform smart card log on for OG – enter the production PIN of the smart card - Perform trading system log on to Host by using production trader ID, however, please note that the password has been reset as 123456 for testing session purpose - Perform trader sign on (if applicable) |
| Pre-opening Session | |
| 9:30am - 10:00am | <input type="checkbox"/> Use all types of RMB products (see <i>Attachment III</i>) created for the testing session to simulate real-life trading activities (e.g. input/ cancel/ modify order, input pre-opening trade, etc) during the pre-opening session |
| Continuous Trading Session | |
| 10:00am – 12:30pm | <input type="checkbox"/> Use all types of RMB products (see <i>Attachment III</i>) created for the testing session to simulate real-life trading activities (e.g. input/ cancel/ modify order or odd lot order, input trade or odd lot trade, etc) during the continuous trading session Note : <i>Orders to be input should be at prices within +/-30 spreads from nominal price</i> |
| Report of Irregularities to HKEx by EPs | |
| Before 12:00 noon | <input type="checkbox"/> EPs should report any irregularities identified during the testing session to the Participant Support Hotlines listed below before 12:00 noon |
| Day Close | |
| 12:30pm | <input type="checkbox"/> Log off from host and perform end-of-day procedures For On-floor Trading Terminal : <ul style="list-style-type: none"> - Perform trader permanent sign off For Off-floor Trading Terminal : <ul style="list-style-type: none"> - Perform trader permanent sign off - Remove smart card For MWS : <ul style="list-style-type: none"> - Perform trader permanent sign off - Perform MWS end-of-trading procedures - Perform data import / export, if required (e.g. central trade feed export) - Maintain MWS local database such as client / user profile, if required - Rollback in house/back office system to the AMS/3 production version, including restoration of relevant production database for next trading day, if |

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| | <p>required</p> <p>For BSS :</p> <ul style="list-style-type: none"> - Perform any day-end processes to ensure database integrity - Clean up the transaction data (e.g. orders and trades) entered during the testing session from BSS (if applicable) - Perform closing price export, if required (available at around 12:45pm - 1:30pm) - Restore the data for trading on following Monday, 20 September 2010, if necessary - Perform system log off, and trader log off <p><input type="checkbox"/> Leave all on-floor and off-floor trading terminals, OG/MWS and OG/BSS components powered-on throughout the weekend</p> |
| Download Day-end Trade File Session | |
| 1:00pm -1:30pm | <p><input type="checkbox"/> For File Transfer System (“FTS”) subscribers only</p> <ul style="list-style-type: none"> - Check the day-end trade file is successfully downloaded from FTS by using production Participant ID and the temporary password 123456 - Report any irregularities to the Participant Support Hotlines listed below before 1:30pm <p><input type="checkbox"/> For both File Transfer System (“FTS”) subscribers and Central Trade Feed (“CTF”) Users</p> <ul style="list-style-type: none"> - Check the day-end trade file is successfully downloaded from FTS by using production Participant ID and the temporary password 123456 - Check that same number of trade records are received from both FTS and CTF - Report any irregularities to the Participant Support Hotlines listed below before 1:30pm |
| 20 September 2010 (Monday) | |
| Before 9:00am | <p><input type="checkbox"/> Log on to AMS/3 host:</p> <p>For On-floor Trading Terminal :</p> <ul style="list-style-type: none"> - Perform trader sign on <p>For Off-floor Trading Terminal :</p> <ul style="list-style-type: none"> - Perform smart card log on and trader sign on <p>For MWS :</p> <ul style="list-style-type: none"> - Ensure smart card log on for OG is done and perform start-of-trading procedures, trading system log on, and trader log on <p>For BSS :</p> <ul style="list-style-type: none"> - Ensure smart card log on for OG is done and perform BSS trading system log on to host, and trader log on if applicable <p><input type="checkbox"/> Prepare for trading under AMS/3 production environment from 9:30am</p> |

Participant Support Hotlines :

Should Exchange Participants have any query or identify any irregularities during the testing session, please contact the following Participant Support Hotlines:

- For general enquiry : 2840-3626
- For technical enquiry : 2840-3351

You may also forward your enquiry to :

- Fax No. : 2521-7899 or 2868-5223
- Email : AMS3INFO@hkex.com.hk