



編號

CMD/TMO/052/10

Ref. No.:

日期

Date: 18 November 2010

香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

The Stock Exchange of Hong Kong Limited*(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)*

通告 CIRCULAR

事項**Subject: NEW MEASURES TO RAISE INVESTORS' AWARENESS OF SYNTHETIC ETFs****查詢****Enquiry: Participant General Enquiry Hotline (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

Exchange Participants (EPs) are requested to note the joint news release on new measures to raise investors' awareness of Exchange Traded Funds (ETFs) that primarily adopt synthetic replication strategy (synthetic ETFs) issued by the Securities and Futures Commission (SFC) and Hong Kong Exchanges and Clearing Limited (HKEx) today (Thursday), which is available on the HKEx website at [<http://www.hkex.com.hk/eng/newsconsul/hkexnews/2010/101118news.htm>].

With effect from 22 November 2010, a marker X will be placed at the beginning of the English and Chinese stock short names of all synthetic ETFs listed on the Stock Exchange. An additional space will be placed in between the marker X and the English stock short names of the ETFs, but not in the Chinese stock short names. Moreover, some of the ETFs' stock short names have been modified to make space for the X marker.

EPs are advised to inform their Broker Supplied System (BSS) vendors, their staff as well as their clients about the use of the marker for synthetic ETFs. The list of synthetic ETFs with the revised stock short names is listed in Appendix 1.

The objective of the initiative is to include more information in the stock short names of the synthetic ETFs to facilitate easier identification by the investors prior to their trading of the ETFs. HKEx is also updating its ETF product leaflet to explain the product features and the risks of investing in the product type and the electronic copy can be downloadable from the HKEx website's ETF product section from 22 November 2010 onwards. EPs are advised to request their clients to read the information on the ETF leaflet to understand the ETF product features better.

In addition, the naming convention of stock short names for all securities products (Appendix 2) and the current stock code allocation plan (Appendix 3) are also attached for EPs' information.

David Lo**Vice President – Cash Market****Trading Division****香港交易及結算所有限公司****Hong Kong Exchanges and Clearing Limited**