

**Tentative Refund Schedule of Mainland Settlement Deposit and Mainland Security Deposit for Northbound Trading
(2016)**

(Last update: 2 Dec 2016)

Month	Mainland Settlement Deposit (Shanghai)		Mainland Security Deposit (Shanghai)
	Refund Start Date	Refund End Date	Refund Date
Jan-16	5-Jan	29-Jan	5-Jan
Feb-16	2-Feb	29-Feb	2-Feb
Mar-16	2-Mar	31-Mar	2-Mar
Apr-16	5-Apr	29-Apr	5-Apr
May-16	4-May	31-May	4-May
Jun-16	2-Jun	29-Jun	2-Jun
Jul-16	5-Jul	29-Jul	5-Jul
Aug-16	3-Aug	31-Aug	3-Aug
Sep-16	2-Sep	29-Sep	2-Sep
Oct-16	12-Oct	31-Oct	12-Oct
Nov-16	2-Nov	30-Nov	2-Nov
Dec-16	2-Dec	30-Dec	2-Dec

Month	Mainland Settlement Deposit (Shenzhen)		Mainland Security Deposit (Shenzhen)
	Refund Start Date	Refund End Date	Refund Date
Dec-16	No refund		No refund

Remarks: The refund schedule may be subject to change according to the monthly review schedule of ChinaClear (Shanghai / Shenzhen). Any change in refund schedule will be notified by circular.