

**APPENDIX D: Confirmation Form for Completion of RMB Currency
Futures Readiness Test**

**Confirmation for
Completion of RMB Currency Futures Readiness Test (18 August 2012)
and
Readiness for Clearing and Settling USD/CNH Futures**

Participants who have completed the RMB Currency Futures Readiness Test should confirm their readiness by completing and returning this Confirmation Form to the Clearing Division of HKEx via fax at or before 6:00 p.m. on 22 August 2012 (Wednesday).

To: Hong Kong Exchanges and Clearing Limited
Fax: 2579-0136 / 2579-0085

Participant Information		
Participant Name		DCASS Customer Code _ _ _ _
Contact Person for Clearing (<i>Primary</i>)	Phone No.	Email Address
Contact Person for Clearing (<i>Alternate, if applicable</i>)	Phone No.	Email Address

A. Completion of RMB Currency Futures Readiness Test (*check appropriate box below*)

1. We have participated in and successfully completed the RMB Currency Futures Readiness Test (*which covered (i) daily clearing operations with DCASS online activities and report verification; (ii) verification of delivery and payment obligations upon final settlement*).

2. We did **NOT** complete the RMB Currency Futures Readiness Test due to the following reason(s):

Participant Name: _____ DCASS Customer Code : _____

B. Readiness for Clearing and Settling RMB Currency Futures – deliverable USD/CNH Futures *(check appropriate box below)*

1. We confirm that our back office systems are ready for clearing and settling deliverable USD/CNH futures.

2. Our back office systems are **NOT** ready for clearing and settling deliverable USD/CNH futures due to the following reason(s):

Note: Before actually clearing and settling transactions in any deliverable USD/CNH futures, Participants should verify and ensure that their back office systems and operation procedures shall be able to handle deliverable USD/CNH futures.

C. Opening of RMB and USD Bank Accounts for settling deliverable USD/CNH Futures *(check appropriate box below)*

1. We have opened both RMB and USD Bank Accounts for settling deliverable USD/CNH futures.

2. We have **NOT** opened both RMB and USD Bank Accounts for settling deliverable USD/CNH futures due to the following reason(s):

Note: Only Participants that have opened both RMB and USD Bank Accounts and set up these bank accounts in CCMS would be considered for granting clearing access to deliverable USD/CNH futures.

Signature of Authorised
Signatories with Company
Chop

:

Date :

Name of Authorised
Signatories

:

Thank you for completing the Confirmation Form.