

登記使用人證港幣交易通
Registration for the Use of the RMB Equity Trading Support Facility

注意事項

Notes:

- 所有有意使用人證港幣交易通(交易通)的參與者應填妥此登記表格，並向香港聯合交易所有限公司(聯交所)及/或香港中央結算有限公司(香港結算)登記。
All Participants wishing to use the RMB Equity Trading Support Facility ("TSF") shall register with the Stock Exchange of Hong Kong Limited ("SEHK") and/or the Hong Kong Securities Clearing Company Limited ("HKSCC") by completing this registration form.
- 在填寫本登記表格前，請注意並仔細閱載於本文件第四部分的登記準則。
Please note and read carefully all the registration criteria as set out in Part IV of this document before completing this registration form.
- 請將填妥的登記表格以傳真方式(傳真號碼為 2521-7899)或郵遞交予香港交易及結算所有限公司(香港交易所) 現貨交易參與者服務，地址為香港中環康樂廣場 8 號交易廣場 2 期 6 樓。
The completed registration form should be returned by fax (Fax No. 2521-7899) or by mail to Participant Services, Cash Trading of Hong Kong Exchanges and Clearing Limited ("HKEX") at 6/F, Two Exchange Square, 8 Connaught Place, Central, Hong Kong.
- 在交易所規則、中央結算系統一般規則及中央結算系統運作程序規則(中央結算系統規則及程序)的詞語，當用於此登記表格時具有相同意義。
Terms defined in the Rules of the Exchange ("SEHK Rules") and the General Rules of CCASS and the CCASS Operational Procedures ("CCASS Rules and Procedures") have the same meanings when used in this registration form.
- 成功登記後，如人證港幣交易通參與者日後有意退出參與交易通，他們須通知香港交易所現貨交易參與者服務及將所有股票調出交易通戶口。退出交易通的生效日期為完成上述程序後的一個月。
After successful registration, if TSF Participants wish to withdraw from TSF subsequently, they have to inform Participant Services, Cash Trading of HKEX and transfer all the shares out of the TSF Accounts. The effective date of withdrawal will be one month upon completion of the above processes.
- 如有查詢，請聯絡香港交易所參與者一般查詢熱線：2840-3626。
For enquiry, please contact HKEX – Participant General Enquiry Hotline at 2840-3626.

第一部分–交易所參與者/中央結算系統參與者資料

Part I – Exchange Participant / CCASS Participant Information

參與者名稱 Name of Participant	(中文) (in Chinese)		
	(英文) (in English)		
交易所參與者代號代號 (如適用) Exchange Participant ID (if applicable)		中央結算系統參與者代號 (如適用) CCASS Participant ID (if applicable)	
登記交易通的聯絡人 Contact for TSF Registration			
聯絡人名稱 Name of Contact Person		電話號碼 Telephone Number	
電郵地址 Email Address		職銜 Position	

第二部分—確認準備就緒 (在以下適當的空格內加上'✓'號)

Part II - Confirmation of Readiness (please check appropriate boxes below)

A. 內部監控準備就緒 (交易所參與者及中央結算系統參與者適用) Internal Control Readiness (applicable to Exchange Participants and CCASS Participants)
<input type="checkbox"/> 本公司確認已就處理交易通外匯盤、交易通交易所買賣/交易通聯交所買賣及相關的交易通外匯交易準備就緒。本公司就區分交易通標記股份有足夠的內部監控。 We confirm that we are ready for handling TSF FX Orders, TSF Exchange Trades and the related TSF FX Transactions. We have adequate internal controls in place to differentiate earmarked TSF Stocks from other shares.
B. 交易準備就緒 (交易所參與者適用) Trading Readiness (applicable to Exchange Participants only)
<input type="checkbox"/> 本公司確認所有相關的前綫系統、後勤辦公室系統及運作程序 (包括內部流程、管制程序及文件) 已完成內部測試及就人民幣證券交易業務已準備就緒。 We confirm that all our relevant front office systems / back office systems and operational procedures (including internal processes, control procedures and documentation) have been tested internally and are ready for dealing in RMB securities.
<input type="checkbox"/> 本公司確認所有相關的前綫系統及運作程序 (包括內部流程、管制程序及文件) 已根據交易所規則的要求, 就使用外匯兌換服務、產生交易通外匯盤及處理交易通交易所買賣及交易通外匯交易的各個環節準備就緒。 We confirm that all our front office systems and operational procedures (including internal processes, control procedures and documentation) are ready for using the FX Services, making TSF FX Order and handling all aspects of TSF Exchange Trades and TSF FX Transactions as required or contemplated by the SEHK Rules.
<input type="checkbox"/> 本公司, 作為非結算參與者, 確認本公司已與 _____ (請註明您指定的全面結算參與者的名稱) 簽訂有效的結算協議; 而該全面結算參與者已被香港結算接納並維持其登記為交易通結算參與者。 We, acting as a Non Clearing Participant, confirm that we have a valid Clearing Agreement with _____ (please state the name of your appointed General Clearing Participant) who has been accepted for registration by HKSCC and who remains registered as a TSF Clearing Participant.
C. 結算準備就緒 (中央結算系統參與者適用) Clearing Readiness (applicable to CCASS Participants only)
<input type="checkbox"/> 本公司確認所有相關的結算系統、後勤辦公室系統及運作程序 (包括內部流程、管制程序及文件) 已完成內部測試及就人民幣證券交易的結算及交收已準備就緒。 We confirm that all our relevant clearing systems / back office systems and operational procedures (including internal processes, control procedures and documentation) have been tested internally and are ready for clearing and settling transactions in RMB securities.
<input type="checkbox"/> 本公司, 作為直接結算參與者/全面結算參與者/託管商參與者 (請刪去不適用者), 確認本公司的結算系統、後勤辦公室系統及運作程序 (包括內部流程、管制程序及文件) 已根據中央結算系統規則及程序的要求, 就處理交易通聯交所買賣、交易通外匯交易及交易通標記股份的各個環節準備就緒。 We, acting as a Direct Clearing Participant ("DCP") / General Clearing Participant ("GCP") / Custodian Participant (delete as appropriate) confirm that our clearing systems, back office systems and operational procedures (including internal processes, control procedures and documentation) are ready for handling all aspect of TSF Exchange Trades, TSF FX Transactions and earmarked TSF Stocks as required or contemplated by the CCASS Rules and Procedures.

第三部分–聲明
Part III – Declaration

本公司,
We,

交易所參與者/中央結算系統參與者名稱
Name of Exchange Participant / CCASS Participant

1. 同意按此表格所述的私隱政策聲明處理個人資料；
consent to the processing of personal data in accordance with the Privacy Policy Statement included in this form;
2. 聲明在此登記表格第二部分的確認全屬完整、真實及正確。同時，本公司並沒有因任何陳述或遺漏而導致該等資料有任何不真確或誤導的成份；
declare that the confirmation provided in Part II of this registration is complete, true and correct and that we have not made or omitted to make any statements or omissions which would render such confirmation untrue or misleading;
3. 承諾本公司會遵守所有適用於聯交所及/或香港結算（視乎情況而定）不時生效之相關規則、規例及程序，及聯交所及/或香港結算（視乎情況而定）其他不時發出的指示，並同意在任何不遵守之情況下接受紀律處分；
undertake that we shall comply with the relevant rules, regulations and procedures of SEHK and/or HKSCC (as the case may be) from time to time in force, and any other directives from time to time issued by SEHK and/or HKSCC (as the case may be); and **agree** that any non-compliance shall render us liable to disciplinary proceedings;
4. 明白此登記需視乎本公司系統及運作是否已準備就緒，包括已成功完成本公司內部系統測試及已符合由聯交所及/或香港結算（視乎情況而定）不時訂明的登記準則；
understand that this registration is subject to our system and operational readiness, including the successful completion of our internal system test(s) and meeting the registration criteria, as prescribed by SEHK and/or HKSCC (as the case may be) from time to time;
5. 明白聯交所及/或香港結算（視乎情況而定）有權對在登記申請上作出虛假或錯誤引導聲明（包括確認本公司系統和運作準備就緒）的參與者採取紀律處分；
understand that SEHK and/or HKSCC (as the case may be), may take disciplinary proceedings against a Participant which has made a false or misleading representation in relation to its application for registration, including the confirmation made in regard to our system and operational readiness;
6. 明白本公司只會在聯交所及/或香港結算（視乎情況而定）接受此登記後，才被允許使用人證港幣交易通；
understand that we will only be permitted to use the RMB Equity Trading Support Facility upon this registration being accepted by SEHK and /or HKSCC (as the case may be);
7. 同意並授權香港交易所及其附屬公司透過香港交易所網站或其他途徑向公眾披露本公司名稱為登記使用人證港幣交易通的交易通參與者；
agree and authorize HKEX and its subsidiaries to publish our name as a TSF Participant who is registered to use the RMB Equity Trading Support Facility on the website of HKEX or via other channels for public information;
8. 同意聯交所、香港結算及作為聯交所及香港結算控制人的認可控制人又或任何作為聯交所及香港結算控制人的認可控制人為其控制人的公司，可將本公司就交易通股份的交易、結算及交收的有關資料，與及有關使用人證港幣交易通外匯兌換服務的資料，透露予證監會、其他交易所及監管機構（不論於香港境內或境外）。

consent that SEHK, HKSCC and any recognized exchange controller which is the controller of SEHK and HKSCC or any company of which a recognized exchange controller which is controller of SEHK and HKSCC is a controller may disclose information relating to us concerning our trading, clearing and settlement of TSF Stock and information regarding our use of the FX Services of the TSF to the Securities and Futures Commission, any exchange and regulatory authority (whether within or outside Hong Kong).

交易所參與者簽署[#]

連同公司印章

Signature of Exchange

Participant[#] with

Company Chop

日期

Date

簽署人姓名

Name of Signatory

中央結算系統參與者簽署[#]

連同公司印章

Signature of CCASS

Participant[#] with

Company Chop

日期

Date

簽署人姓名

Name of Signatory

[#] 簽署人須為交易所參與者負責人員，及/或中央結算系統參與者授權簽署者

The signatory shall be a Responsible Officer of the Exchange Participant and/or Authorised Signatories of the CCASS Participant.

使用人證港幣交易通登記準則

Registration Criteria for the Use of the RMB Equity Trading Support Facility

交易所參與者

For Exchange Participants

有意使用人證港幣交易通外匯兌換服務以便買入及賣出交易通股份的聯交所的交易所參與者，應向聯交所登記成為交易通交易所參與者。

An Exchange Participant of SEHK who wishes to use the FX Services of the TSF to facilitate the purchase and sale of TSF Stocks should register with SEHK as a TSF Exchange Participant.

登記只會在聯交所滿意交易所參與者已符合下列條件才會被接受：

Registration will only be accepted if SEHK is satisfied that the Exchange Participant has fulfilled the following criteria:

- a) 申請人已向聯交所確認已就人民幣證券交易業務準備就緒；
It has provided confirmation to SEHK of its readiness for dealing in RMB securities;
- b) 申請人已向聯交所確認已根據交易所規則的要求，就使用外匯兌換服務、產生交易通外匯盤及處理交易通交易所買賣及交易通外匯交易的各個環節（包括但不限於其前綫系統、後勤辦公室系統、工作人員及運作）準備就緒；及
It has provided confirmation to SEHK of its readiness (including but not limited to its front office system, back office system, personnel and operational readiness) for using the FX Services, making TSF FX Orders and handling all aspects of TSF Exchange Trades and TSF FX Transactions as required or contemplated by the SEHK Rules; and
- c) 申請人已遵守所有聯交所不時規定的其他要求。
It has complied with all other requirements as stipulated by SEHK from time to time.

注意：

Note:

本身不是結算參與者的交易所參與者，必須與全面結算參與者訂立結算協議，而該全面結算參與者須已被香港結算接納並維持其登記為交易通結算參與者。

An Exchange Participant, who is not a Clearing Participant, must have entered into a Clearing Agreement with a General Clearing Participant who has been accepted for registration by HKSCC and to remain registered as a TSF Clearing Participant.

中央結算系統參與者

For CCASS Participants

有意使用人證港幣交易通外匯兌換服務，以便結算及交收交易通聯交所買賣，及/或為本身或客戶保存交易通標記股份的直接結算參與者、全面結算參與者或託管商參與者，應向香港結算登記成為交易通中央結算系統參與者。

Any Direct Clearing Participant, General Clearing Participant or Custodian Participant who wishes to use the FX Services of the TSF to facilitate the clearing and settlement of TSF Exchange Trades and/or who wishes to maintain earmarked TSF Stocks for itself or its clients should register with HKSCC as a TSF CCASS Participant.

登記只會在香港結算滿意參與者已符合下列條件才會被接受：

Registration will only be accepted if HKSCC is satisfied that the Participant has fulfilled the following criteria:

- a) 申請人已建立了有效的內部控制措施與程序，以確保可從其他股份區別透過交易通的外匯兌換服務買入的交易通標記股份；
It has established effective internal control measures and procedures to ensure that earmarked TSF Stocks purchased through the FX Services of the TSF can be differentiated from other shares;
- b) 申請人已向香港結算確認已就人民幣證券交易結算及交收準備就緒；
It has provided confirmation to HKSCC of its readiness for clearing and settling transactions in RMB securities;
- c) 申請人已向香港結算確認已跟據中央結算系統規則及程序的要求，就處理交易通聯交所買賣、交易通外匯交易及交易通標記股份各個環節（包括但不限於其前綫系統、後勤辦公室系統、工作人員及運作）準備就緒；及
It has provided confirmation to HKSCC of its readiness (including but not limited to its front office system, back office system, personnel and operational readiness) for using the FX Services, handling all aspects of TSF Exchange Trades, TSF FX Transactions and earmarked TSF Stocks as required or contemplated by the CCASS Rules and Operational Procedures; and
- d) 申請人已遵守所有香港結算不時規定的其他要求。
It has complied with all other requirements as stipulated by HKSCC from time to time.

私隱政策聲明

香港交易及結算所有限公司及其不時附屬公司（統稱「本集團」）（在本私隱政策聲明中，各自稱為「香港交易所」、「我們」或「本集團成員公司」，視適用情況而定）明白根據香港《個人資料（私隱）條例》（該「條例」）第486章《個人資料（私隱）條例》（該「條例」）其在收集、持有、處理、使用及／或轉移個人資料方面的責任。我們在個人資料的收集、處理、使用及／或轉移方面採取一切切實可行的措施，以確保我們持有個人資料的準確無誤。我們將根據本私隱政策聲明使用我們不時所收集的個人資料。

我們定期檢討這項私隱政策聲明，並可能不時加以修訂或增加。我們可能會不時增加任何修訂或增加，以確保其符合最新的法律及監管要求。我們可能會不時增加任何修訂或增加，以確保其符合最新的法律及監管要求。我們可能會不時增加任何修訂或增加，以確保其符合最新的法律及監管要求。

如對這項私隱政策聲明或我們如何使用閣下的個人資料有任何疑問，請通過下文「聯繫我們」所載的任何一個通訊渠道與我們聯繫。

我們會採取一切實際可行方法確保個人資料的安全，以及避免個人資料在未經授權或意外的情況下被取用、刪除或作其他用途。這包括在授權人員採取適當措施，確保只有經授權人員才能取用個人資料。

請注意：如閣下沒有向我們提供個人資料（或有關閣下代理人的個人資料），我們可能無法提供閣下要求的資訊、產品或服務，或無法處理閣下的要求、申請、訂購或登記，亦可能無法執行或履行監管職能（定義見下文）。

目的

我們或會為了以下目的而不時收集閣下的個人資料（包括但不限於閣下的姓名、郵寄地址、電話號碼、電郵地址、出生日期和登入名稱）：

1. 處理閣下的申請、訂購及登記使用我們的產品及服務；
2. 執行或履行香港交易所以及任何由其作為認可控制人的公司（定義見香港法例第571章《證券及期貨條例》）的職能（「監管職能」）；
3. 向閣下提供我們的產品和服務，以及與此相關的賬戶管理；
4. 進行研究和統計分析；
5. 處理閣下應聘香港交易所職位或工作的申請，以評估閣下是否為適合人選，並向閣下的前僱主進行相關的背景查證；及
6. 與上列任何一項有直接關聯的其他目的。

直接營銷

如閣下已經給予同意而其後並無撤回，我們也可能會使用閣下的姓名、郵寄地址、電話號碼和電郵地址，用以寄送宣傳資料，就香港交易所以及本集團其他成員公司的金融和資訊服務進行直接營銷活動。

如不希望收到我們的宣傳和直接營銷資料，或不希望收到某類宣傳和直接營銷資料，又或不在某種途徑收到此類材料，請通過下文「聯繫我們」所載的其中一種通訊渠道聯絡我們。為確保可以迅速處理閣下的要求，請提供閣下的全名、電郵地址、登入名稱及所訂閱產品及／或服務的詳情。

身份證號碼

我們也可能會根據適用法律或規例又或任何對我們有管轄權的監管者的要求而收集並處理閣下的身份證號碼，另外亦可能因為需要識別閣下的身份而在（在不抵觸該《條例》的前提下）收集並處理閣下的身份證號碼。

轉移閣下的個人資料作直接營銷

除非閣下已經拒絕，否則我們可能會將閣下的姓名、郵寄地址、電話號碼和電郵地址轉交本集團其他成員公司，使其可向閣下寄送宣傳資料，就其金融和資訊服務進行直接營銷活動。

其他轉移個人資料的情況

- 為了以上一個或多於一個目的，閣下的個人資料可能會被：
1. 轉交予本集團其他成員公司，而使本集團（在香港或其他地方）的相關人員獲得閣下的個人資料；就此，閣下同意外將閣下的個人資料轉離香港；
 2. 提供予任何向香港交易所及／或本集團在香港或其他地方的其他成員提供行政、電訊、電腦、付款、追收欠款、資料處理或其他服務的代理、承辦商或第三方；及
 3. 轉交予其他人士（收集資料時會通知閣下）。

我們如何使用 cookies

閣下通過香港交易所網站查閱我們的資訊或服務時，應當留意到網站有使用 cookies。Cookies 是指儲存在閣下瀏覽器內的資料檔。閣下進入香港交易所網站時，網站即在閣下瀏覽器內自動安裝並使用 cookies。香港交易所網站使用兩種 cookies。

Session Cookies：一種只在閣下瀏覽香港交易所網站期間留存於瀏覽器內的短暫性質 cookies，用處在於取得並儲存閣下瀏覽網站的不同版頁，譬如以免閣下每到一個版頁也要重新輸入資訊。Session cookies 也會用來編備關於香港交易所網站使用的匿名統計資料。

Persistent Cookies：一種留存於瀏覽器內較長時間的 cookies，用以編備關於香港交易所網站使用的匿名統計資料，或追蹤和記錄使用者的習慣偏好。

香港交易所網站所用的 cookies 不包含個人資料。閣下可以更改瀏覽器或網路安全軟件中的設定，拒絕接受瀏覽器內的 cookies。不過，這樣或會令閣下不能使用或啟動香港交易所網站中的某些功能。

法律與法規的遵守

香港交易所及本集團其他成員公司或要為了遵守適用法律及規例，或遵守法院指令、傳票或其他法定程序（無論在香港或其他地方），或遵從政府機關、執法機構或類似機構（無論位於香港或其他地方）提出的要求，又或執行或履行監管職能，而必須保留、處理及／或披露閣下的個人資料。香港交易所及本集團其他成員公司或須披露閣下的個人資料，以履行與閣下的協議，或保護我們或我們僱員的權利、財產或安全，又或執行或履行監管職能。

公司重組

隨著我們持續發展業務，我們可能會重組集團架構或出現控制權易手或業務合併。在這些情況下，閣下的個人資料或會按這份私隱政策聲明或另一份將會通知閣下的私隱政策聲明而移交繼續運營我們業務或類似服務的第三方。此第三方或在香港以外的地方，就此等收購或重組而使用閣下個人資料的地點也可能不在香港。

查閱及更正個人資料

根據該《條例》，閣下有權查閱我們是否持有閣下的個人資料、取得資料的備份及更正任何不準確資料，也可以要求我們通知閣下其持有資料的種類。如欲查閱有關資料，須使用個人資料私隱專員（「私隱專員」）指定的表格（可於私隱專員公署的官方網站下載）或經此連接 https://www.pcpd.org.hk/english/publications/files/Dform_e.pdf 提出。

如欲查閱和更正個人資料，或查閱有關政策與常規以及我們所持有資料種類，應以書面及郵遞形式提出要求（見以下「聯繫我們」）。

我們或會因應閣下查閱資料要求而產生的行政及實際成本而收取合理的費用。

終止或取消

任何時候如果閣下在我們的賬戶或與我們的關係被取消或終止，我們會隨即在合理情況下盡快終止處理閣下的個人資料，但也可按合理需要而保留有關資料，合理的需要包括：資料歸檔；解決實際或潛在的爭議；遵守適用法律及規例；履行與閣下的任何協議；保障我們及我們僱員的權利、財產或安全；以及執行或履行我們的職能、義務及責任等。

一般資料

本私隱政策聲明中英文本如有差異，概以英文版為準。

聯繫我們

郵寄：
香港中環港景街1號
國際金融中心一期12樓
香港交易及結算所有限公司
個人資料隱私主任

電郵：DataPrivacy@HKEX.COM.HK

Privacy Policy Statement

Hong Kong Exchanges and Clearing Limited, and from time to time, its subsidiaries (together the "Group") (and each being "HKEX", "we", "us" or "member of the Group" for the purposes of this Privacy Policy Statement as appropriate) recognise their responsibilities in relation to the collection, holding, processing, use and/or transfer of personal data under the Personal Data (Privacy) Ordinance (Cap. 486) ("PDPO"). Personal data will be collected only for lawful and relevant purposes and all practicable steps will be taken to ensure that personal data held by us is accurate. We will use your personal data which we may from time to time collect in accordance with this Privacy Policy Statement.

We regularly review this Privacy Policy Statement and may from time to time revise it or add specific instructions, policies and terms. Where any changes to this Privacy Policy Statement are material, we will notify you using the contact details you have provided us with and, where required by the PDPO, give you the opportunity to opt out of these changes by means notified to you at that time. Otherwise, in relation to personal data supplied to us through the HKEX website or otherwise, continued use by you of the HKEX website or your continued relationship with us shall be deemed to be your acceptance of and consent to this Privacy Policy Statement, as amended from time to time.

If you have any questions about this Privacy Policy Statement or how we use your personal data, please contact us through one of the communication channels set out in the "Contact Us" section below.

We will take all practicable steps to ensure the security of the personal data and to avoid unauthorised or accidental access, erasure or other use. This includes physical, technical and procedural security methods, where appropriate, to ensure that the personal data may only be accessed by authorised personnel.

Please note that if you do not provide us with your personal data (or relevant personal data relating to persons appointed by you to act on your behalf) we may not be able to provide the information, products or services you have asked for or process your requests, applications, subscriptions or registrations, and may not be able to perform or discharge the Regulatory Functions (defined below).

Purpose

From time to time we may collect your personal data including but not limited to your name, mailing address, telephone number, email address, date of birth and login name for the following purposes:

1. to process your applications, subscriptions and registration for our products and services;
2. to perform or discharge the functions of HKEX and any company of which HKEX is the recognised exchange controller (as defined in the Securities and Futures Ordinance (Cap. 571)) ("Regulatory Functions");
3. to provide you with our products and services and administer your account in relation to such products and services;
4. to conduct research and statistical analysis;
5. to process your application for employment or engagement within HKEX to assess your suitability as a candidate for such position and to conduct reference checks with your previous employers; and
6. other purposes directly relating to any of the above.

Direct marketing

Where you have given your consent and have not subsequently opted out, we may also use your name, mailing address, telephone number and email address to send promotional materials to you and conduct direct marketing activities in relation to HKEX financial services and information services, and financial services and information services offered by other members of the Group.

If you do not wish to receive any promotional and direct marketing materials from us or do not wish to receive particular types of promotional and direct marketing materials or do not wish to receive such materials through any particular means of communication, please contact us through one of the communication channels set out in the "Contact Us" section below. To ensure that your request can be processed quickly please provide your full name, email address, log in name and details of the product and/or service you have subscribed.

Identity Card Number

We may also collect your identity card number and process this as required under applicable law or regulation, as required by any regulator having authority over us and, subject to the PDPO, for the purpose of identifying you where it is reasonable for your identity card number to be used for this purpose.

Transfers of personal data for direct marketing purposes

Except to the extent you have already opted out we may transfer your name, mailing address, telephone number and email address to other members of the Group for the purpose of enabling those members of the Group to send promotional materials to you and conduct direct marketing activities in relation to their financial services and information services.

Other transfers of your personal data

For one or more of the purposes specified above, your personal data may be:

1. transferred to other members of the Group and made available to appropriate persons in the Group, in Hong Kong or elsewhere and in this regard you consent to the transfer of your data outside of Hong Kong;
2. supplied to any agent, contractor or third party who provides administrative,

telecommunications, computer, payment, debt collection, data processing or other services to HKEX and/or any of other member of the Group in Hong Kong or elsewhere; and
3. other parties as notified to you at the time of collection.

How we use cookies

If you access our information or services through the HKEX website, you should be aware that cookies are used. Cookies are data files stored on your browser. The HKEX website automatically installs and uses cookies on your browser when you access it. Two kinds of cookies are used on the HKEX website:

Session Cookies: temporary cookies that only remain in your browser until the time you leave the HKEX website, which are used to obtain and store configuration information and administer the HKEX website, including carrying information from one page to another as you browse the site so as to, for example, avoid you having to re-enter information on each page that you visit. Session cookies are also used to compile anonymous statistics about the use of the HKEX website.

Persistent Cookies: cookies that remain in your browser for a longer period of time for the purpose of compiling anonymous statistics about the use of the HKEX website or to track and record user preferences.

The cookies used in connection with the HKEX website do not contain personal data. You may refuse to accept cookies on your browser by modifying the settings in your browser or internet security software. However, if you do so you may not be able to utilise or activate certain functions available on the HKEX website.

Compliance with laws and regulations

HKEX and other members of the Group may be required to retain, process and/or disclose your personal data in order to comply with applicable laws and regulations or in order to comply with a court order, subpoena or other legal process (whether in Hong Kong or elsewhere), or to comply with a request by a government authority, law enforcement agency or similar body (whether situated in Hong Kong or elsewhere) or to perform or discharge the Regulatory Functions. HKEX and other members of the Group may need to disclose your personal data in order to enforce any agreement with you, protect our rights, property or safety, or the rights, property or safety of our employees, or to perform or discharge the Regulatory Functions.

Corporate reorganisation

As we continue to develop our business, we may reorganise our group structure, undergo a change of control or business combination. In these circumstances it may be the case that your personal data is transferred to a third party who will continue to operate our business or a similar service under either this Privacy Policy Statement or a different privacy policy statement which will be notified to you. Such a third party may be located, and use of your personal data may be made, outside of Hong Kong in connection with such acquisition or reorganisation.

Access and correction of personal data

Under the PDPO, you have the right to ascertain whether we hold your personal data, to obtain a copy of the data, and to correct any data that is inaccurate. You may also request us to inform you of the type of personal data held by us. All data access requests shall be made using the form prescribed by the Privacy Commissioner for Personal Data ("**Privacy Commissioner**") which may be found on the official website of the Office of the Privacy Commissioner or via this link

<https://www.pcpd.org.hk/english/publications/files/Dforme.pdf>

Requests for access and correction of personal data or for information regarding policies and practices and kinds of data held by us should be addressed in writing and sent by post to us (see the "Contact Us" section below).

A reasonable fee may be charged to offset our administrative and actual costs incurred in complying with your data access requests.

Termination or cancellation

Should your account or relationship with us be cancelled or terminated at any time, we shall cease processing your personal data as soon as reasonably practicable following such cancellation or termination, provided that we may keep copies of your data as is reasonably required for archival purposes, for use in relation to any actual or potential dispute, for the purpose of compliance with applicable laws and regulations and for the purpose of enforcing any agreement we have with you, for protecting our rights, property or safety, or the rights, property or safety of our employees, and for performing or discharging our functions, obligations and responsibilities.

General

If there is any inconsistency or conflict between the English and Chinese versions of this Privacy Policy Statement, the English version shall prevail.

Contact us

By Post:
Personal Data Privacy Officer
Hong Kong Exchanges and Clearing Limited
12/F., One International Finance Centre
1 Harbour View Street
Central
Hong Kong

By Email:
DataPrivacy@HKEX.COM.HK