

HKEx Information Services Limited

(A wholly-owned member of the Hong Kong Exchanges and Clearing Limited Group)

24 January 2014
Our Ref: MDD/14/116

By Email Only

To: All Licensed Information Vendors and End-Users (collectively “Clients”)

Dear Sirs,

Clarification on Test Charges for Market Data Testing

This is further to our notice on 15 January 2014 (Our ref: MDD/14/115) regarding the OMD-C batch 3 schedule.

Kindly note that to facilitate Clients’ migration to OMD-C, we have previously waived all relevant test charges for OMD-C pre-production testing (Our ref: MDD/13/893). With OMD-C batch 3 production rollout on 27 January 2014, **we will suspend all OMD-C testing connections on 27 January 2014 after market close (except for SEHK Exchange Participants (EPs) who are conducting OMD-C Readiness Test under Orion Central Gateway (OCG) migration and Clients who have not yet migrated to OMD-C).** Clients are reminded to contact their SDNet/2 network carrier/HKEx Hosting team to arrange testing lines termination.

For Clients who have submitted Test Application Form to maintain their testing connections after 27 January 2014, please be reminded that relevant test charges for OMD-C would be applied and the test charges could be found in the Test Application Form.

All Clients are advised to note the arrangements stated below on test service application and termination:

Test Service Application:

- Clients are required to complete the Test Application Form and indicate the start and end dates of the test service.
- Full payment for the test service should be made at least 2 weeks prior to the testing start date. If no payment is received, we would consider the application withdrawn. Please also note that all payment is non-refundable.
- 2 weeks prior notice is required should the client wish to extend the test service beyond the indicated end date. If no prior notice is received, we assume their test service would end on the end date as stated on the Test Application Form.

Test Service Termination:

Under our standard policy, Clients are required to contact their SDNet/2 network carrier/HKEx Hosting Team to terminate the physical testing line/HSTN at the service end date. We understand that Clients might wish to utilise the testing lines for other purpose (e.g. for trading systems). As such, please note the following:

- If no extension request is received 2 weeks prior to the service end date, we assume the Client would cease conducting tests for relevant datafeed after the end date. Test charges would be based on applicable daily/monthly rates.

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- The OMD-C entitlements enabled for the test service would be removed at the end of the calendar month. Should the service end date falls into middle of the calendar month, it is the Client's responsibility to ensure no testing activities are conducted after the stated end date. If it comes to our knowledge that the Client has received data from the OMD-C test server after the end date, this will be treated as an extension of the test service and charged accordingly.

For Clients who are SEHK EPs, please note the following on the test charges arrangement:

- For SEHK EPs who join OCG End-to-End test and pay the OCG test fees, we would waive the market data testing charge (including End-to-End and Readiness Test) for OMD-C Securities Standard (SS) feed for the same calendar month.
- SEHK EPs who subscribe OMD-C Securities Premium (SP) or Securities FullTick (SF) are NOT subject to the above waiver on OMD-C test charges.

Should you have any enquiries on the test charges, please contact Irene Or on (852) 2211 6723 or Iris Cheung on (852) 2840 3569. You may also send us emails at marketdata@hkex.com.hk. For test application and technical related enquiries, please contact Vendor Support Team on (852) 2211 6558 or send emails to IVSupport@hkex.com.hk.

Yours sincerely,

Winnie Sin
Vice President
Sales & Marketing, Market Data
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WS/IC

Encl. 1) Test Application Form

Copy to: Vendor Support Team