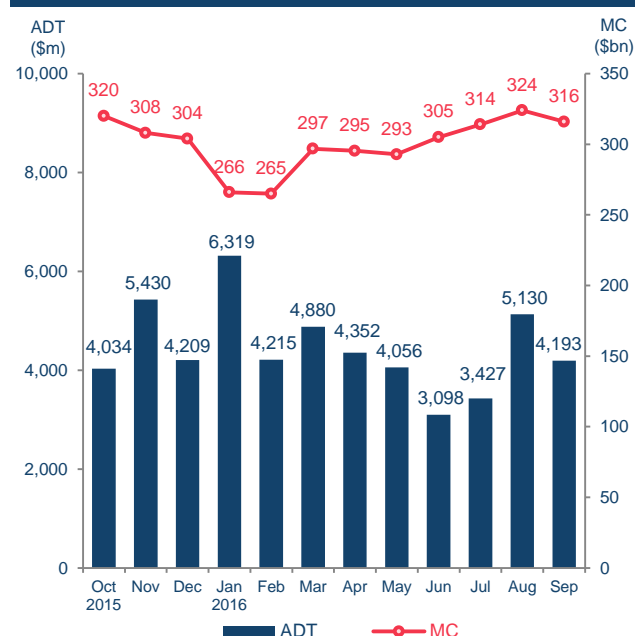


September 2016

HIGHLIGHTS

- Average daily turnover in September was \$4.2 bn, which was down 18 per cent from the previous month's ADT.
- ChinaAMC Direxion NASDAQ-100 Daily (2x) Leveraged Product (7261) and ChinaAMC Direxion NASDAQ-100 Daily (-1x) Inverse Product (7331), the HKEX market's first set of L&I Products with exposure to US equities, were listed on 28 September.

Average Daily Turnover (ADT) & Market Capitalisation (MC)



Most Actively Traded ETFs / L&I Products in September

	Stock Code	Name	ADT (\$m)
1	2800	Tracker Fund of Hong Kong	2,053
2	2828 / 82828	Hang Seng H-Share Index ETF	925
3	2822 / 82822	CSOP FTSE China A50 ETF	503
4	2823	iShares FTSE A50 China Index ETF	413
5	3188 / 83188	ChinaAMC CSI 300 Index ETF	173
6	3010	iShares MSCI AC Asia ex Japan Index ETF	23
7	2840	SPDR Gold Trust	13
8	7261	ChinaAMC Direxion NASDAQ-100 Daily (2x) Leveraged Product	10
9	7331	ChinaAMC Direxion NASDAQ-100 Daily (-1x) Inverse Product	9
10	2801	iShares MSCI China Index ETF	9

Number of ETFs / L&I Products, ADT & MC in September

Product Type	Underlying Market	Number of Products	ADT			MC		
			\$m	Market Share (%)	% Change	\$m	Market Share (%)	% Change
ETFs	Mainland China A-Share	43	1,102	26.3	-26	68,742	21.7	-8
	Hong Kong Equity	22	3,002	71.6	-16	177,878	56.2	-0
	Asia Pacific Equity	40	43	1.0	114	13,321	4.2	4
	Overseas Equity	18	13	0.3	86	17,082	5.4	-1
	Fixed Income & Currency	9	6	0.1	-42	37,720	11.9	-3
	Commodity	6	22	0.5	-18	1,276	0.4	1
L&I Products	Asia Pacific Equity	6	1	0.0	-6	198	0.1	-2
	Overseas Equity	2	3	0.1	N/A	236	0.1	N/A
Total		146	4,193	100	-18	316,454	100	-2

Note: L&I Product stands for Leveraged and Inverse Product.

10 Best Performing ETFs in September			
	Stock Code	Name	% change
1	2817	W.I.S.E. - CSI HK Listed Mainland Consumption Tracker	6.78
2	3161	XIE Shares FTSE Chimerica ETF	5.89
3	3124	Samsung HSI Futures ETF	4.93
4	3021	CSOP MSCI T50 ETF	3.40
5	3134	Samsung HSI Futures RMB FX ETF	3.27
6	3012	Amundi Hang Seng HK 35 Index ETF	3.25
7	3099	db x-trackers MSCI Indonesia Index UCITS ETF	3.22
8	3027	db x-trackers MSCI Russia Capped Index UCITS ETF	3.14
9	2824	Lippo Select HK & Mainland Property ETF	3.10
10	3036	db x-trackers MSCI Taiwan Index UCITS ETF (DR)	2.78

10 Worst Performing ETFs in September			
	Stock Code	Name	% change
1	3037	XIE Shares Philippines (PSEi) ETF	-6.06
2	3016	db x-trackers MSCI Philippines IM Index UCITS ETF (DR)	-5.07
3	3069	XIE Shares Thailand (SET50) ETF	-4.51
4	3062	db x-trackers CSI300 Materials UCITS ETF	-4.16
5	3177	ComStage 1 DivDAX UCITS ETF	-4.09
6	3092	db x-trackers MSCI Thailand Index UCITS ETF (DR)	-3.98
7	3171	ComStage 1 DAX UCITS ETF	-3.70
8	3052	db x-trackers CSI300 Utilities UCITS ETF	-3.60
9	3008	C-Shares CSI 300 Index ETF	-3.55
10	3005	db x-trackers CSI300 Industrials UCITS ETF	-3.52

10 Best Performing ETFs YTD			
	Stock Code	Name	% change
1	3048	db x-trackers MSCI Brazil Index UCITS ETF (DR)	66.18
2	3027	db x-trackers MSCI Russia Capped Index UCITS ETF	29.04
3	3099	db x-trackers MSCI Indonesia Index UCITS ETF	29.01
4	3031	XIE Shares Indonesia (LQ45) ETF	25.20
5	3092	db x-trackers MSCI Thailand Index UCITS ETF (DR)	25.04
6	2840	SPDR Gold Trust	24.40
7	3081	Value Gold ETF	24.32
8	83168	Hang Seng RMB Gold ETF	22.93
9	3036	db x-trackers MSCI Taiwan Index UCITS ETF (DR)	21.51
10	3060	Value Taiwan ETF	21.22

10 Worst Performing ETFs YTD			
	Stock Code	Name	% change
1	3063	db x-trackers CSI300 Transportation UCITS ETF	-31.47
2	3005	db x-trackers CSI300 Industrials UCITS ETF	-28.90
3	3006	iShares CSI A-Share Infrastructure Index ETF	-25.73
4	3052	db x-trackers CSI300 Utilities UCITS ETF	-25.32
5	3147	CSOP SZSE ChiNext ETF	-22.39
6	3008	C-Shares CSI 300 Index ETF	-21.94
7	3025	db x-trackers CSI300 Consumer Discretionary UCITS ETF	-21.32
8	3118	Harvest MSCI China A Index ETF	-18.65
9	3150	Harvest CSI Smallcap 500 Index ETF	-18.36
10	3049	db x-trackers CSI300 UCITS ETF	-17.52

Note:

ETF and L&I Product Market Perspective covers ETFs and L&I Products listed on HKEX's securities market. It does not cover the two ETFs that trade at HKEX under the pilot programme. Multiple counters of an ETF / L&I Product are counted as one ETF / L&I Product. Market capitalisation statistics exclude SPDR Gold Trust. Performance statistics covers ETFs only and are based on the closing prices. For ETFs with multiple counters, only the performance of the Hong Kong dollar counter is included. All data are as of month end. Financial figures are expressed in Hong Kong dollars.

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