

GENERAL RULES OF CCASS

PART A: RULES OF GENERAL APPLICATION

CHAPTER 1

INTERPRETATION

101. Definitions

When used herein, the following expressions shall, unless the context otherwise requires, bear the following meanings:

“New Issue Shares”

means, in relation to any New Issue, the shares, Depository Receipts, warrants, Structured Products, Debt Securities or Units which are the subject of that New Issue;

CHAPTER 7

SERVICES – GENERAL

703. Arrangements with other entities

Copies of the CMU Membership Agreement, CMU Rules and CMU Manual are available for inspection by Participants at the CCASS Depository service counter 2/F Viewood Plaza, 199 Des Voeux Road Central, Hong Kong.

CHAPTER 28

DISCLOSURE

2802. Disclosure to Issuers or Depositories of Eligible Securities

HKSCC shall from time to time upon the written request of an Issuer of Eligible Securities or a Depository in the case of Depository Receipts disclose to that Issuer or Depository details of Participants' holdings of that Eligible Security in CCASS or in any Appointed Depository of the Eligible Securities concerned.