

GENERAL RULES OF CCASS

CHAPTER 1

INTERPRETATION

101. Definitions

When used herein, the following expressions shall, unless the context otherwise requires, bear the following meanings:

“HKEx website” means the official website of HKEx at <http://www.hkex.com.hk> or at such other website address specified by HKEx from time to time;

CHAPTER 28

DISCLOSURE

2805. HKSCC may make available to the public, via the HKEx website or such other means or person as it considers appropriate, details of Participants’ holdings of Eligible Securities in CCASS or any Appointed Depositary (other than those of Investor Participants unless their consent is obtained). Details of Participants’ holdings of Eligible Securities in CCASS or any Appointed Depositary that were or could have been disclosed or made available to the public as aforesaid will remain viewable and accessible by the public after the termination of their participation in CCASS for whatever reason.

CHAPTER 29

AMENDMENTS

2902. Notification of amendments

HKSCC shall notify Participants in the event of any amendment, extension or deletion to be made to the Rules or to the Operational Procedures and the effective date thereof. A copy of such amendment, extension or deletion shall be available for perusal by Participants on the HKEx website.

CHAPTER 30

NOTICES

3001. Notice by HKSCC

Unless otherwise provided in the Rules, all notices, requests, demands or other communications from HKSCC to Participants other than Investor Participants may be given orally or in writing, in person or by post, by electronic or wire transmission, by telephone or facsimile, by posting on the HKEx website, or by any means of computer data transmission. Investor Participants will be notified in writing by post, by posting on the HKEx website or such other means as HKSCC considers appropriate and, for Investor Participants using the CCASS Internet System, through the CCASS Internet System.

In the case of communications sent by post to the address last specified by a Participant as its address, the communications from HKSCC shall be deemed to have been received by the Participant on the following Business Day if the address is in Hong Kong and, if the address is outside Hong Kong, on such day as HKSCC may from time to time specify with reference to the time the communications would be delivered to such address in the ordinary course of post. If the communications are delivered in person to such address, the communications will be deemed to have been received by the Participant at the time of delivery made to such address.

In the case of communications made by HKSCC to a Participant by electronic or wire transmission, by telephone or facsimile, by posting on the HKEx website or any other instantaneous means, the communications shall be deemed to have been received by the Participant immediately.