

Rules and Procedures of HKFE Clearing Corporation Limited

PREFACE

Relationship to the Exchange

On the Scheme Effective Date (as defined in the Rules of the Exchange), the Exchange and The Stock Exchange of Hong Kong Limited became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (“HKEx”) by way of respective schemes of arrangement under section 166 of the Companies Ordinance. Further to a group restructuring effected in 2016, HKCC became a wholly-owned subsidiary of HKEx which is the direct holding company of the Exchange. The Rules of the Exchange and the Rules of HKCC provide for the exchange of information between the Exchange, HKCC and HKEx within the confines of the Rules of the Exchange and HKCC which are designed to protect the confidentiality and sensitivity of such information.