

APPENDIX A

Fees

Description	Amount ¹
<i>Settlement Fees (Futures) and Exercise Fees (Options)</i>	
<u>Equity products</u>	
Hong Kong Stock Futures	10.00/Lot
US Stock Futures	USD1.30/Lot
Japanese Stock Futures	USD1.30/Lot
Korean Stock Futures	USD1.30/Lot
Taiwan Stock Futures	USD1.30/Lot
Options on US Stock Futures	USD0.25/Lot
Options on Japanese Stock Futures	USD0.25/Lot
Options on Korean Stock Futures	USD0.45/Lot
Options on Taiwan Stock Futures	USD0.45/Lot
<u>Equity index products</u>	
HSI Futures	10.00/Lot
HSI Options	10.00/Lot
Mini-HSI Futures	3.50/Lot
Mini-HSI Options	2.00/Lot
HSCEI Futures	3.50/Lot
HSCEI Options	3.50/Lot
Mini-HSCEI Futures	2.00/Lot
Mini-HSCEI Options	1.00/Lot
FTSE/Xinhua China 25 Index Futures	5.00/Lot
FTSE/Xinhua China 25 Index Options	5.00/Lot
Hang Seng China H-Financials Index Futures	5.00/Lot
HSI Dividend Point Index Futures	3.00/Lot
HSCEI Dividend Point Index Futures	1.50/Lot
HSI Volatility Index Futures	10.00/Lot
IBOVESPA Futures	10.00/Lot
MICEX Index Futures	5.00/Lot
S&P BSE SENSEX Index Futures	5.00/Lot
FTSE/JSE Top40 Futures	5.00/Lot
CES China 120 Index Futures	10.00/Lot
CES Gaming Top 10 Index Futures	2.00/Lot
Hang Seng Mainland Oil & Gas Index Futures	2.00/Lot
Hang Seng Mainland Banks Index Futures	2.00/Lot
Hang Seng Mainland Properties Index Futures	2.00/Lot
Hang Seng Mainland Healthcare Index Futures	2.00/Lot
Hang Seng IT Hardware Index Futures	2.00/Lot
Hang Seng Software & Service Index Futures	2.00/Lot

Description	Amount¹
<u>Interest rate products</u>	
EFN Futures	8.00/Lot
HIBOR Futures	5.00/Lot
Five-Year MOF T-Bond Futures	RMB5.00/Lot
<u>Metal products</u>	
CNH Gold Futures	RMB12.00/Lot
USD Gold Futures	USD2.00/Lot
London Aluminium Mini Futures	RMB3.00/Lot
London Zinc Mini Futures	RMB3.00/Lot
London Copper Mini Futures	RMB5.00/Lot
London Nickel Mini Futures	RMB2.00/Lot
London Tin Mini Futures	RMB2.00/Lot
London Lead Mini Futures	RMB3.00/Lot
<u>Currency products</u>	
US Dollar vs Renminbi (Hong Kong) Futures	RMB8.00/Lot
Australian Dollar vs Renminbi (Hong Kong) Futures	RMB5.00/Lot
Euro vs Renminbi (Hong Kong) Futures	RMB5.00/Lot
Japanese Yen vs Renminbi (Hong Kong) Futures	RMB5.00/Lot
Renminbi (Hong Kong) vs US Dollar Futures	USD0.60/Lot
US Dollar vs Renminbi (Hong Kong) Options	RMB8.00/Lot
<i>DCASS-Related Fees</i>	
Sub-license fee for (i) DCASS workstation applications software or (ii) OAPI via HKCC Participant's remote network gateways	1,750/month/connection
Sub-license fee for (i) DCASS workstation applications software or (ii) OAPI via the central gateway	2,600/month/connection
Temporary connection to DCASS via the contingency gateway	1,000/connection/day or part thereof
Access to the DCASS testing environment	100/day or part thereof provided that no access fee will be charged for the first 5 business days
Performance of login test or transaction test outside of HKCC's normal working hours	1,000/day or part thereof regardless of test results
OAPI certification	2,000/test regardless of test results
On-behalf-of processing	50/transaction (minimum fee of 500/day)
Re-print of reports	5/page up to 1,000 per report or per diskette

CCMS-Related Fees

Re-print of reports	5/page up to 1,000 per report or per diskette
CCMS Smart Card Reader	300 each
CCMS Smart Card	250/card

HKCC Participantship-Related Fees

Sale of HKCC Rule book – Exchange Participant	500
Sale of HKCC Rule book – non-Exchange Participant	1,000
Subscription to HKCC Rule updates – Exchange Participant	250/annum
Subscription to HKCC Rule updates – non-Exchange Participant	500/annum

¹ *Unless otherwise specified, the amounts listed in this appendix are in HK dollars*

Note: In addition to the fees specified herein, the Clearing House reserves the right to charge HKCC Participants for any disbursements and out-of-pocket expenses it incurs in the delivery of services and facilities to HKCC Participants.