

**Rules of the Exchange**

**CHAPTER 2**

**ADMINISTRATION**

212. The Board may establish Committees by whatever description as the Board may think fit including, without limitation, the following Committees:-

- (1) [Repealed]
- (2) [Repealed]
- (3) a Disciplinary Committee to administer the disciplinary rules as specified in Chapter 7 of these Rules and to advise the Board on all matters relating thereto;
- (4) [Repealed]
- (5) a Listing Committee to discharge all of the Board's functions and powers in respect of all listing matters relating to the Main Board, subject to the powers of review vested in the Listing Appeals Committee;
- (6) [Repealed]
- (7) [Repealed]
- (8) [Repealed]
- (9) [Repealed]
- (10) [Repealed]
- (11) [Repealed]
- (12) [Repealed]
- (13) [Repealed]
- (14) a Compensation Committee to manage all matters relating to the Fidelity Fund;
- (15) a Listing Appeals Committee to act as a review body in respect of decisions of:-

- (a) the Listing Committee (including the Listing (Review) Committee) in relation to those areas identified in the relevant rules governing the functions and powers of the Listing Appeals Committee set out in the Rules Governing the Listing of Securities on the Exchange (the "Main Board Listing Rules"); and
  - (b) the GEM Listing Committee (including the GEM Listing (Review) Committee) in relation to those areas identified in the relevant rules governing the functions and powers of the Listing Appeals Committee set out in the Rules Governing the Listing of Securities on the Growth Enterprise Market of the Exchange (the "GEM Listing Rules").
- (16) a Disciplinary Appeals Committee to discharge the Board's powers and functions to review, vary or supplement decisions of the Disciplinary Committee;
  - (17) [Repealed]
  - (18) a GEM Listing Committee to discharge all the Board's functions and powers in respect of all listing matters relating to GEM, subject to the powers of review vested in the Listing Appeals Committee.
  - (19) an Exchange Participant Admission Appeals Committee to discharge the Board's powers and functions to review decisions of the Board in respect of application for Exchange Participants and/or Options Participants in accordance with the Exchange Participant Admission Appeals Procedures as prescribed by the Exchange from time to time.

### **CHAPTER 3**

#### **EXCHANGE PARTICIPANTSHIP**

- 301C. (b) If the Board rejects an application to be an Exchange Participant, the applicant may, within 14 business days after it is notified of the Board's decision, appeal in writing to the Exchange Participant Admission Appeals Committee whose decision will be final.
- 303A. (1) An Exchange Participant desirous of becoming an Options Exchange Participant shall:-
  - (a) complete, sign and deliver to the Secretary an application in writing in such form as the Board may from time to time prescribe;
  - (b) provide proof to the satisfaction of the Board that it is, at the time of making such application, able to comply with the requirements set out in the Options Trading Rules;

(c) specify whether it is applying to become an Options Trading Exchange Participant or an Options Broker Exchange Participant; and

(d) provide the Board with all further information that the Board may require for dealing with the application.

(2) If the Board rejects an application to be an Options Exchange Participant, the Exchange Participant may, within 14 business days after it is notified of the Board's decision, appeal in writing to the Exchange Participant Admission Appeals Committee whose decision will be final.

304. (1) [Repealed]

(2) [Repealed]

(3) [Repealed]

(4) [Repealed]

(5) [Repealed]

(6) [Repealed]

(7) [Repealed]

(8) [Repealed]