

Rules of the Exchange

CHAPTER 5

TRADING

Operational Trading Rules

543. [Repealed]

CHAPTER 7

DISCIPLINARY

709B. The Board shall notify SEOCH whenever it considers taking disciplinary action against an Exchange Participant in relation to any breaches of Rules 401, 406, 408, 414 to 416, 418A to 418C, 422(6) and (7), 425, 429(1), 534(3), 537, 539 to 542, 545, 563C, 563D, 723, the Options Trading Rules or the Clearing Rules and shall notify SEOCH forthwith of the result of such consideration and the disciplinary action taken, if any. In the event of an Exchange Participant giving notice to resign pursuant to Rule 702(2) the Board shall forthwith notify SEOCH of such fact.