

Rules of the Exchange

CHAPTER 5

TRADING

Operational Trading Rules

~~Prohibited Trading~~

543. ~~[Repealed]~~

- ~~(1) Save and except as provided in the rules made by the Commission under the Ordinance, options on and forward trading in securities listed or admitted to trading on the Exchange, whether between Exchange Participants on their own account or on behalf of customers, and margin trading between Exchange Participants are prohibited.~~
- ~~(2) In addition to any of the obligations or liabilities which the Exchange Participants may have at law or otherwise, any Exchange Participants which fail to comply with the abovementioned Rules are liable to such disciplinary action, including suspension or expulsion, as the Board may think fit.~~

CHAPTER 7

DISCIPLINARY

709B. The Board shall notify SEOCH whenever it considers taking disciplinary action against an Exchange Participant in relation to any breaches of Rules 401, 406, 408, 414 to 416, 418A to 418C, 422(6) and (7), 425, 429(1), 534(3), 537, 539 to 54~~23~~, 545, 563C, 563D, 723, the Options Trading Rules or the Clearing Rules and shall notify SEOCH forthwith of the result of such consideration and the disciplinary action taken, if any. In the event of an Exchange Participant giving notice to resign pursuant to Rule 702(2) the Board shall forthwith notify SEOCH of such fact.