

# HKEx Fact Book 2011

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## Fact Book 2011

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## The Year 2011 in Review

The HKEx markets saw a mixed year in 2011 amid growing uncertainty in the global financial market. The total market capitalisation of the securities market (including the Main Board and the Growth Enterprise Market (GEM) of the Stock Exchange of Hong Kong (SEHK or the Exchange)) at the end of 2011 was HK\$17,537.3 billion, 17% lower than the year-end total market capitalisation in 2010. The highest single-day turnover (excluding days with half-day trading) in the securities market of the year was HK\$124.9 billion on 5 August 2011 while the lowest level was HK\$24.6 billion on 30 December 2011 (compared to the high of HK\$139.1 billion and the low of HK\$34.2 billion in the previous year).

At the end of 2011, there were 640 Mainland enterprises listed on the Main Board and GEM, constituting 55% by market capitalisation and 66% by annual equity turnover value. In 2011, total equity funds raised was HK\$490.4 billion, with 101 newly listed companies on the Main Board and GEM raising HK\$259.8 billion – ranking first globally for the third year in terms of funds raised by initial public offers (IPOs).

In 2011, the highest daily closing level of the Hang Seng Index (HSI) was 24,419 points on 19 January 2011. At the year-end, the HSI and three other major stock indices all recorded a year-on-year decrease – the HSI was down by 20% to 18,434 points, the Hang Seng China Enterprises Index (H-shares Index) down by 22% to 9,936 points, the Hang Seng China-Affiliated Corporations Index (the Red-Chips Index) down by 12% to 3,682 points, and the S&P/HKEx GEM Index down by 41% to 474 points.

The total securities market turnover in 2011 was HK\$17,154.1 billion, a marginal 0.3% decrease from the level in 2010. The average daily turnover for the overall market in 2011 was HK\$69.7 billion (up 1% from 2010) – HK\$69.5 billion for the Main Board (up 1% from 2010) and HK\$256 million for GEM (down 52% from 2010).

The HKEx derivatives (futures and options) market continued its growth in 2011, with the total trading volume reaching a new record high of 140 million contracts (up 21% from 2010). The average daily trading volume increased year-on-year by 22% to 572,275 contracts (206,688 futures contracts and 365,586 options contracts), which was also a record high.

## SECURITIES MARKET

### Market development

#### *Listing and regulation*

In 2011, the Hong Kong Government, market regulators and HKEx took steps to strengthen or rationalise market regulation and enhance services. HKEx also contributed further to the development of Hong Kong as a Renminbi (RMB) offshore centre.

In respect of market regulation, the establishment of a **statutory regime for disclosure of price sensitive information** by listed companies made a big step forward in the year. Subsequent to the release of consultation conclusions by the Financial Services and the Treasury Bureau (FSTB) in February, the Government introduced a Bill in June to oblige listed companies to disclose price sensitive information. The SFC also published draft guidelines in June for implementing this regime. Other regulatory initiatives to enhance market regulation included: (i) a new requirement implemented in February to require **flagging of all trades concluded on alternative trading venues**; (ii) a new regulatory regime effective in June to strengthen the **disclosure of product information to investors for SFC-authorised funds and investment-linked assurance schemes** marketed in Hong Kong; (iii) the new measures introduced in August to enhance the transparency and the collateral level of **synthetic exchange-traded funds**; and (iv) a revised proposal on **short position reporting rules** published in October for another round of consultation.

The regulation of intermediaries was also enhanced in the year. The SFC encompassed **credit rating agencies (CRAs)** and their rating analysts in Hong Kong under its licensing regime starting June and expanded the scope of **conflict of interest requirements governing analysts responsible for investment research**. In November, it launched a public consultation on proposed **amendments to the Code of Conduct** for Persons Licensed by or Registered with the SFC for facilitating the establishment of the Financial Dispute Resolution Centre (FDRC) and for strengthening regulatory enforcement.

In addition, some initiatives were taken to rationalise the regulatory regime. In May, a new legislation took effect to transfer the governance of the **public offers of structured products** in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO). In December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 came into effect, providing for the use of alternative appropriate methods for proving the **qualification of an investor as a high-net-worth professional investor**.

In October, the Hong Kong Monetary Authority (HKMA) and the SFC issued a joint consultation paper on a proposed regulatory regime for Hong Kong's **over-the-counter (OTC) derivatives market**. The proposals included the requirement for OTC derivatives transactions to be reported to a central repository to be set up by the HKMA and to be centrally cleared. HKEx has been actively preparing for offering central counterparty service to OTC derivatives transactions in Hong Kong.

In 2011, HKEx took initiatives to enhance or streamline its market regulation, to improve issuer services and to promote ESG (i.e. environmental, social and governance) practices in the market.

In respect of **market regulation**, the following are the major highlights of HKEx's efforts in 2011.

In January, HKEx issued **new guidelines on the publication of overseas regulatory announcements by issuers on the Main Board**. Under the new guidelines, for overseas regulatory information released by an issuer's overseas listed subsidiary, publication on the HKEx's website is required only if the information is discloseable by the issuer under other Listing Rules. Overseas regulatory information released by dually-listed issuers must be simultaneously published on the HKEx website. The current practice in allowing issuers to publish overseas regulatory announcements under the Listing Rules in English or Chinese or in both of these languages is unchanged. In case of difficulty faced by dually-listed issuers, HKEx will consider granting a waiver upon its application on a case by case basis.

HKEx made a decision in March that, subject to certain conditions, **Japanese Generally Accepted Accounting Principles (JGAAP)**, with a reconciliation to the International Financial Reporting Standards (IFRS), could be used in the accountants' report for the applicant's listing document and its subsequent financial reports. Apart from JGAAP, the alternative accounting standards accepted previously by HKEx include Singapore Financial Reporting Standards, Canadian GAAP and UK GAAP. These are in addition to the Hong Kong FRS, IFRS and US GAAP accepted under the Listing Rules.

With support from the market obtained through consultation in the year, HKEx amended the Listing Rules to **prevent shares from trading ex-entitlement before shareholder approval** and to require a minimum of one cum-trading day after the general meeting. The Rule amendments, which took effect on 20 June 2011, help ensure a fair and orderly market, enhance investor protection and eliminate the risk in the market when shares go ex-entitlement before the entitlement is approved by shareholders.

Market support was also obtained in the consultation on the proposed changes of the SFC and HKEx to **streamline property valuation requirements for IPO applicants and issuers**. The related Rule amendments took effect on 1 January 2012.

In October 2011, HKEx published the Consultation Conclusions on **Review of the Corporate Governance Code and Associated Listing Rules**. The primary aim of the review was to enhance the overall standard of issuers' corporate governance and align HKEx's Corporate Governance Code (Code) and Rules with international best practices. The revised Code and Rules encourage better accountability of issuers and directors, improve transparency, enhance the quality and effectiveness of directors and company secretaries, and bring into focus the important functions of the various board committees. Whilst some of the revised Rules took effect on 1 January 2012, the revised Code and related Rules would take effect on 1 April 2012.

To **facilitate listing**, HKEx implemented the following major Rule changes and initiatives in the year.

With support obtained through a market consultation, the Listing Rules were amended to change the **minimum shareholder requirement for the Main Board Market Capitalisation/Revenue Test** from 1,000 to 300, effective 1 February 2011. On the same day, amendments to the Listing Rules took effect in respect of the **requirements for Qualified Property Acquisitions and formation of joint ventures**. Qualified Property Acquisitions refer to acquisitions of government land by listed property developers through public auctions or tenders in Hong Kong. They are exempt from certain notifiable and connected transaction requirements such as shareholders' approval.

In May 2011, the Exchange implemented **the Structured Products Integrated Transaction System (SPRINTS)**, a new web-based system to automate and streamline the listing application of structured products. The new system serves to enhance the communication and workflow between issuers and HKEx.

On 11 November 2011, Rule amendments relating to the **listing of debt securities for Professional Investors only** took effect. This aims to bring HKEx more in line with the requirements of other stock exchanges and offer processing times that are comparable to these exchanges. The new Rules simplify and streamline application and approval procedures while keeping the issuer eligibility criteria broadly unchanged.

In respect of the **listing of overseas issuers**, the acceptable jurisdictions list reached 19 in 2011, in addition to Hong Kong, Mainland China, Bermuda and the Cayman Islands. Following the Joint Policy Statement Regarding the Listing of Overseas Companies (JPS) issued jointly by HKEx and the SFC in March 2007 and its subsequent streamlining, HKEx presented in April 2011 to the Listing Committee for considering proposals to streamline the JPS further by reducing its focus on comparability with Hong Kong company law and placing more attention on compliance with the general principles underlying the Listing Rules. HKEx is working closely with the SFC on possible revisions to the JPS to facilitate overseas companies seeking a listing in Hong Kong.

In 2011, HKEx launched a series of **issuer services** aimed at increasing the visibility of listed companies and improving their communication with the investment community. The new services include **"Know the Listed Companies" Conferences Series, Market Open Ceremonies and real-time stock prices for company websites**. At a later stage, HKEx will offer referral-based market intelligence and communications services to enable listed companies to better understand their shareholders and how they are perceived by investors.

To promote **ESG practices** in the market, related Listing Rules took effect on 1 February 2011 to allow, subject to conditions in a class exemption under the Companies Ordinance, share and debenture issuers to issue paper application forms without paper prospectuses when conducting public offers. On the same rationale, waivers will be granted by the SFC to issuers of SFC-authorized collective investment schemes seeking to list on HKEx. The class exemption is not designed to remove hard copies entirely, but it sets the stage for **moves towards a paperless environment** as investors become familiar with online versions.

In light of the increasing focus on ESG reporting in the business community, HKEx helped equip issuers with practical tools necessary for reporting. Between May and July 2011, HKEx organised 5 seminars and 10 workshops on ESG reporting for issuers, with a view to raising ESG awareness and encouraging issuers to start ESG reporting. The attendance was overwhelming and HKEx received positive feedback from the participants. On 9 December 2011, HKEx published a Consultation Paper on the **ESG Reporting Guide**. The consultation would end on 9 April 2012. Subject to market comments, the ESG Guide may be implemented as a recommended best practice.

To streamline its information management process, HKEx's Listing Division implemented a new content management system in December 2011. The new system supports **electronic submission of documents** and text recognition technology for correspondence with the Listing Division, helping to reduce the need for hard copies of documents and making searches for information easier. Issuers and other market practitioners are now offered a choice of electronic communication channels, via either email or the e-submission system, which has been upgraded with a simplified document submission channel.

In addition, HKEx has streamlined the **submission of large documents** such as annual reports for publication on the HKExnews website by increasing the file size limit of documents from 4 megabytes to 10 megabytes. Issuers submitting large documents for publication can now use the e-submission channel directly instead of submitting CD-ROMs.

Alongside HKEx's efforts on ESG, **Hang Seng Indexes** Co Ltd (HSI Co) expanded its Hang Seng Corporate Sustainability Index Series in the year with the launch of two new benchmark indexes – the Hang Seng Corporate Sustainability Benchmark Index and the Hang Seng (China A) Corporate Sustainability Benchmark Index. In addition, HSI Co launched two new indexes – the Hang Seng Foreign Companies Composite Index and the Hang Seng Global Composite Index – as the number of foreign companies listed in Hong Kong continued to increase in 2011. The dissemination of the above four indexes commenced in September.

The development of **Hong Kong's role as an RMB offshore centre** underwent major progress in the year, which laid the cornerstone for the further development of HKEx's markets in the Mainland dimension.

Against the backdrop of the Mainland 12th Five-year Plan (2011-2015) for National Economic and Social Development which expresses support for Hong Kong's development into an offshore RMB business centre and an international asset management centre, the Vice Premier Li Ke-qiang announced a series of **supportive policies** during his visit to Hong Kong in August. These included: (i) introducing Mainland exchange-traded funds on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between the Mainland and Hong Kong, including RMB foreign direct investment (FDI); and (iii) allowing RMB Qualified Foreign Institutional Investors (RQFII) to invest in the Mainland securities market. Among these, the regulations for RMB FDI in the Mainland were issued in October. The RQFII scheme was also formalised with the signing of Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8) in December. As for the outflow of RMB, the People's Bank of China (PBOC) launched a pilot scheme in January for the settlement of overseas direct investment (ODI) in RMB. This allows Mainland enterprises to conduct ODI in RMB and obtain the necessary RMB funding from banks in Hong Kong sourced from the Mainland.

The **market infrastructure and arrangements for offshore RMB business** in Hong Kong were also enhanced during the year. The RMB Fiduciary Account Service was launched by Bank of China (Hong Kong) Ltd (the RMB Clearing Bank in Hong Kong) in April to help participating banks manage their credit exposure to the RMB Clearing Bank for their RMB business in Hong Kong. In June, the Treasury Markets Association (TMA) launched Spot USD/CNY(HK) Fixing as a benchmark for the market exchange rates of US dollars against offshore RMB in Hong Kong. In November, the PBOC and the HKMA renewed their swap agreement, with an increased size of RMB400 billion, to provide for bigger volume of offshore RMB settlement. In December, Hong Kong Interbank Clearing Ltd (HKICL) announced an extension of service hours of its RMB Settlement System by 5 hours to 11:30 pm, effective 25 June 2012.

In the development of Hong Kong as an offshore RMB centre, HKEx plays an active role with a number of initiatives launched during the year.

In June 2011, HKEx presented two models to facilitate the **listing and trading of RMB-denominated securities** — a Single Tranche Single Counter (STSC) model and a Dual Tranche Dual Counter (DTDC) model. The STSC model is the traditional model where the IPO of the stock will result in shares traded in a single RMB counter in the secondary market. The DTDC model comprises the separate but simultaneous offer and subsequent listing of shares in RMB and HKD by the same issuer, while shares of the two counters are of the same class and freely convertible. An IPO of RMB-denominated shares, be it a STSC IPO or DTDC IPO, may accept HKD as an alternative currency for the purpose of subscription under the Hong Kong public offer. The STSC and DTDC models provide options for issuers to leverage on the HKD market for their RMB fund raising activities. The DTDC model further provides an opportunity for existing listed companies which have businesses in the Mainland to raise funds in RMB through follow-on offers. In response to market interests, HKEx organised a series of seminars to issuers and market players in September 2011 to explain the operating guidelines of the two models.

HKEx announced in mid-August that it had agreed in principle to enter into detailed **discussions with the Shanghai and Shenzhen stock exchanges with a view to establish a joint venture company** to be incorporated in Hong Kong. Possible areas of business operation of the potential joint venture company include but are not limited to the development of index and other equity derivatives and the compilation of new indexes. Another initiative was the **conference on “Development of ETFs and Other Index Products”** in Hong Kong jointly organised by HKEx and the Shanghai Stock Exchange (SHSE) in May. This conference provided an opportunity for regulators and industry participants to discuss the development of the market for ETFs and other index products in the Mainland, Hong Kong and other markets.

Furthermore, HKEx signed **Memoranda of Understanding (MOUs)** on cooperation with a number of market bodies in the Mainland — Dalian Commodity Exchange and Jiangsu Province’s Office of Financial Affairs in July; Guangxi Zhuang Autonomous Region’s Financial Affairs Office and the Administrative Committee of Zhongguancun Science Park in October; and Zhengzhou Commodity Exchange and Sichuan Province’s Financial Affairs Office in November.

The **professional support for accounting of Mainland enterprises** in Hong Kong was also strengthened in the year. Following the convergence of accounting and auditing standards between Hong Kong and Mainland China in 2007, the Hong Kong Institute of Certified Public Accountants (HKICPA) and the Chinese Institute of Certified Public Accountants (CICPA) signed a joint declaration in November to confirm the convergence of codes of ethics for professional accountants in the two markets. The joint declaration also included a commitment to maintain convergence with international ethical standards.

As for **RMB product development**, the first RMB-denominated real estate investment trust (REIT) was listed on HKEx’s Main Board in April and 9 RMB bonds were listed during the year. The market also saw the world’s first RMB-denominated Islamic bonds in October, which were issued by Khazanah Nasional Bhd (Malaysia’s state investment company). The Chinese Gold & Silver Exchange Society (CGSES) launched RMB Kilobar Gold in the same month, which was the first offshore RMB-denominated spot gold contract in the world.

In respect of the **international dimension** of HKEx’s markets, HKEx signed MOUs with Taiwan Stock Exchange Corporation (TWSE) in May and Kazakhstan’s Eurasian Trading System Commodity Exchange Joint Stock Company (ETS) in October; and Hong Kong Securities Clearing Co Ltd (HKSCC) signed MOU with Taiwan Depository & Clearing Corporation (TDCC) in November. HKEx announced in October the joint initiative with five exchanges from the BRICS emerging market bloc — BM&FBOVESPA in Brazil, Moscow Interbank Currency Exchange (MICEX) in Russia (now being Open Joint Stock Company MICEX-RTS after its merger with RTS Group), the National Stock Exchange (NSE) and BSE Ltd in India, and the Johannesburg Stock Exchange (JSE) in South Africa — to form an alliance for cross-listing of index derivatives.

In 2011, there were four **newly added acceptable overseas jurisdictions** for the listings of overseas companies in the Exchange — Alberta (in Canada), France, Guernsey and Delaware. A number of reputable foreign companies — Coach Inc (from the US), Glencore International Plc (from Switzerland), Kazakhmys PLC (from England & Wales), PRADA S.p.A. (the first listing from Italy), Samsonite International S.A. (from Luxembourg) and SBI Holdings Inc (from Japan) — were listed on the Exchange in the year; and Melco Crown Entertainment Ltd was listed by way of introduction as the first NASDAQ-listed company listed on the Exchange.

## **Trading, clearing and settlement**

In 2011, HKEx continued to enhance its market infrastructure.

In respect of **trading facilities**, the first phase of **extension of trading hours** took effect on 7 March 2011 with the trading sessions extended by one hour through advancing the morning session by 30 minutes and shortening the lunch break by 30 minutes (Hong Kong index futures and options markets open 15 minutes earlier than the securities market). The second phase would take effect on 5 March 2012 with the lunch break further shortened by 30 minutes so that the afternoon session opens at 1:00 pm. The objectives of the extension of trading hours are to improve the price discovery function for Mainland-related securities listed in Hong Kong by increasing the overlap of its trading hours with the Mainland exchanges' trading hours as well as enhancing the regional competitiveness of our market by narrowing the gaps between its trading hours and those of its regional competitors.

In December, **AMS/3.8**, an upgrade to the securities market trading system (Automatic Order Matching and Execution System), was successfully rolled out. The upgrade increased the trading system's processing capacity by about 10-fold to 30,000 orders per second, scalable to 150,000 orders per second, and reduced latency to 2 milliseconds on an average trading day, about 70 times faster than before. The one-time throttle charge was reduced by half to HK\$50,000. In addition, market transparency was improved as AMS/3.8 displays the 10 best price levels compared to the five best price levels in AMS/3.5.

In respect of **clearing and settlement**, HKEx launched the **T+2 Finality for CCASS money settlement** in July 2011, which reduces the overnight credit risk of Participants and aligns the money settlement arrangement in Hong Kong with the international best practices by bringing finality of securities and money settlement onto the same day.

In the same month, HKEx published a consultation paper "**HKEx Clearing House Risk Management Reform Measures**" to propose several major risk management reform measures which aim to fundamentally strengthen HKEx's clearing house risk management regime, address certain identified gaps relative to international standards, and contribute to the long-term stability and competitiveness of Hong Kong as an international financial centre. The key proposals are to (i) introduce a standard margin system and a Dynamic Guarantee Fund at HKSCC; (ii) revise certain price movement assumptions in the clearing houses' stress testing; (iii) revise the counterparty default assumption in the stress testing; and (iv) revise the collateral assumptions at HKFE Clearing Corporation Ltd (HKCC) and The SEHK Options Clearing House Ltd (SEOCH). The proposals also include various measures of financial support from HKEx to reduce the impact on Clearing Participants. As part of the overall strengthening of its risk management regime, HKEx also increased the amount of its shareholders' funds set aside to support the clearing houses' financial resources from HK\$3.1 billion to HK\$4 billion in 2011.

The **RMB Equity Trading Support Facility (TSF) system** which supports the trading of any RMB stocks on the Exchange was operationally ready in October 2011. TSF is designed to serve as a back-up facility to enable investors who wish to buy RMB-traded shares in the secondary market with HKD if they do not have sufficient RMB or have difficulty in obtaining RMB from other channels.

In respect of **information services** to support trading, the **Basic Market Prices (BMP)** service was launched on 1 April 2011 to enhance market transparency and raise the profile of the Hong Kong securities and derivatives market. Under the BMP service, HKEx provides basic cash and derivatives market data to service providers at a flat monthly fee. Following the review of the discount programme for Mainland users of HKEx's real-time securities market data (which was originally due to expire at the end of 2011), the discounted monthly fees of HK\$80 for retail clients and HK\$120 for corporate clients will continue until the end of 2012. A derivatives data package without price depth will continue to be offered together with the securities data package free of charge under the discount programme.

In October, the **ETF section on the HKEx website** was enhanced to promote HKEx's ETF market. New features include an ETF search engine which allows investors and other participants to search and compare ETFs, and new functionalities which enable investors to find actively traded ETFs, browse daily/monthly statistics and view other useful reference information.



On 10 August 2011, the service of the **HKExnews website**, where HKEx publishes regulatory disclosures submitted by issuers, was temporarily disrupted under malicious hacking attacks. During the incident, HKEx's other systems, including those for trading and clearing, were not affected. Trading in the securities and derivatives markets remained normal. To counter the malicious hacking attacks, HKEx took a number of immediate measures, including technical measures to enhance protection of its Internet infrastructure and fallback facilities for contingencies. The latter included the fallback Bulletin Board service and an additional bulletin board on a different technology infrastructure as a further backup. Furthermore, HKEx made clarifications on the trading arrangements in the unlikely event that its information dissemination system is disrupted. The general policy is that suspension of trading may be required if listed issuers announce price sensitive information which is not properly disseminated in the market due to interruption of the services of HKEx's information dissemination system. Over the long term, HKEx will explore the feasibility of a trading halt policy that would allow publication of price sensitive announcements during trading hours with a short trading halt in shares of the issuer. Any changes would involve consultations with the Listing Committee, the SFC and the market, taking into account any potential improvements in information dissemination.

### Main Board equities

There were 1,326 listed companies on the Main Board by the end of 2011 (1,244 in 2010), with a total market capitalisation of HK\$17,452.7 billion, a 17% decrease from HK\$20,942.3 billion of 2010. Among the listed companies on the Main Board, 576 (43%) were Mainland enterprises — 139 H-share companies, 102 red-chip companies and 335 non H-share Mainland private enterprises (NHMPs). They had a year-end market capitalisation of HK\$4,096.7 billion, HK\$3,999.1 billion and HK\$1,590.7 billion respectively, and constituting a total of 56% of the Main Board market capitalisation. During the year, 88 companies were newly listed on the Main Board. Excluding 12 companies transferred from GEM and 8 companies listed by way of introduction, the 68 newly listed companies with share offerings (including 12 H-share companies) raised a total of HK\$258.5 billion of new capital. During the year, the market saw the first listing of a business trust in Hong Kong — HKT Trust in November, and the first listing of a US-incorporated company in Hong Kong — Coach Inc in the form of Hong Kong depositary receipts (HDRs) in December.

At the end of 2011, the HSI recorded a year-on-year decrease of 20% to close at 18,434 points. The S&P/HKEx LargeCap Index closed at 22,252 points (a year-on-year decrease of 19%). Among the four sectors of the HSI, the sectors of finance, properties and commerce & industry dropped by 26%, 24% and 14% respectively from their previous year-end levels while the utilities sector rose by 9%.

The total turnover of Main Board equities was HK\$12,006.0 billion in 2011, down 2% from total equity turnover in 2010. Equity turnover constituted 70% of the total turnover of all securities on the Main Board, down from 72% in 2010.

### Equity warrants

There were 7 equity warrants newly issued on the Main Board in 2011 with a premium of HK\$25.57 million, compared to 12 equity warrants issued in 2010 with a premium of HK\$26.03 million. At the end of 2011, the Main Board had 13 listed equity warrants with an annual turnover value of HK\$923.93 million, a decrease of 48% from the previous year.

On GEM, one equity warrant was newly listed in 2011 with a premium of HK\$8 million, making the number of equity warrant listings to two at the year-end. The annual turnover value of equity warrants on GEM in 2011 was HK\$4 million.

### Debt securities

The year-end number of listed debt securities on the Main Board in 2011 was 192, compared to 169 in 2010. The total turnover of debt securities in 2011 was HK\$843.27 million, a tremendous surge from only HK\$1.58 million in 2010. A total of 50 debt securities were newly listed during the year (38 in 2010), raising new funds of HK\$152.5 billion (9% more than that in 2010). In July, a new type of debt securities — iBonds, a type of inflation-protected retail bond issued by the Hong Kong Government — was listed, with an issue size of HK\$10 billion. The iBonds recorded a total turnover of HK\$840.33 million, constituting 99.7% of total turnover of debt securities in the year.

### Unit trusts and mutual funds (including ETFs and REITs)

At the end of 2011, there were 88 listed unit trusts/mutual funds on the Main Board, compared with 79 at the end of 2010. Among them, there were 77 ETFs and nine real estate investment trusts (REITs). The annual turnover value of this segment decreased by 7% to HK\$601.3 billion, of which HK\$545.3 billion (91%) and HK\$55.5 billion (9%) were contributed by ETFs and REITs respectively. The total turnover in this segment constituted 4% of the total turnover of all securities on the Main Board (similar to its contribution in 2010). In 2011, the ETF segment had an average daily turnover of HK\$2.2 billion, 3% of the Main Board's average daily turnover.

In 2011, there were two new ETF managers on the securities market of HKEx – CMS Asset Management (HK) Co Ltd and Mirae Asset Global Investments (Hong Kong) Ltd. This brought the number of ETF managers to 15.

There were 9 newly listed unit trusts/mutual funds in 2011, comprising 8 ETFs and one REIT. The newly listed REIT was the first RMB-denominated REIT listed in Hong Kong – Hui Xian REIT listed in April. It was also the first RMB-denominated IPO outside Mainland China.

### Structured products (Derivative Warrants and Callable Bull/Bear Contracts (CBBC))

In 2011, 6,917 derivative warrants were newly listed (7,826 in 2010) on the Main Board, with a total notional amount of HK\$273.3 billion (down 17% from HK\$328.1 billion in 2010). The year-end number of listed derivative warrants was 4,027. The total turnover value of derivative warrants for the year was HK\$2,629.9 billion (down 2% from 2010), accounting for 15% of the total turnover of all securities on the Main Board (down from 16% in 2010).

The number of newly listed CBBCs in 2011 was 5,334 (6,541 in 2010), with a total notional amount of HK\$310.7 billion (down 14% from HK\$360.1 billion in 2010). The year-end number of listed CBBCs on the Main Board was 901. In 2011, the total turnover value of CBBCs increased year-on-year by 27% to HK\$1,852.1 billion, which was 11% of the total turnover of all securities on the Main Board compared with 9% in 2010.

In aggregate, derivative warrants and CBBCs contributed 26% of total turnover value of all securities on the Main Board in 2011, compared with 24% in 2010.

### Growth Enterprise Market (GEM)

At the end of 2011, there were 170 listed companies on GEM (169 in 2010) with a total market capitalisation of HK\$84.6 billion, a decrease of 37% from HK\$134.7 billion as at the previous year-end. Among these companies, 64 (38%) were Mainland enterprises – 29 H-share companies, 5 red-chip companies and 30 NHMPEs. They had a year-end market capitalisation of HK\$4.6 billion, HK\$3.4 billion and HK\$29.3 billion respectively, constituting in total 44% of the GEM market capitalisation. There were 13 newly listed companies on GEM in 2011, raising capital of HK\$1.3 billion. The total turnover value on GEM in 2011 was HK\$63.0 billion, down 53% from the previous year.

The number of companies transferring from GEM to the Main Board during 2011 was 12, the same as in 2010.

### Trading-only market

At the end of 2011, two ETFs and seven NASDAQ stocks were quoted on the trading-only market, unchanged since 2001. Turnover was HK\$0.52 million in 2011 compared to HK\$2.02 million in 2010.

## DERIVATIVES MARKET

### Market and product development

In 2011, HKEx took initiatives to enhance HKEx's derivatives trading facilities.

On 21 February 2011, the real-time HSI Volatility Index (VHSI) was launched by HSI Co as a stock market volatility benchmark for Hong Kong. HKEx introduced **VHSI Futures** for trading on 20 February 2012, which is an innovative tool for investors in hedging volatility risk or obtain pure volatility exposure with a single futures contract.

HKEx has enhanced its derivatives trading and clearing systems to support **RMB-settled futures and options** in June 2011. HKEx also obtained regulatory approval of the rule amendments for the introduction of RMB-denominated stock options based on RMB-denominated underlying stocks listed on the SEHK.

HKEx introduced several **system enhancements on HKATS** to promote options business in its derivatives market in 2011, including extension of Bulletin Board function to cover futures and options on Mini-HSI and H-shares index markets in May 2011, introduction of **synthetic futures trading in selected stock option classes** on 9 May 2011 that allows investors to execute call/put trades simultaneously and manage the delta exposure of their options portfolios, and enrichment of trading information on standard combination series in December 2011.

Two additional December contract months of **HSI and HSCEI Dividend Point Index Futures** were introduced for trading from 12 September 2011, which cover maturities up to a maximum of 5 years enabling Participants and their clients to manage longer term dividend exposure.

Trading of **new stock option classes** on the following underlying stocks commenced on 21 November 2011 – China National Building Material Company Limited (3323), Anhui Conch Cement Company Limited (914) and Belle International Holdings Limited (1880).

The HKEx Board approved the proposal on the introduction of **after-hours futures trading** and the consultation conclusion was published on 15 December 2011. The proposal obtained substantial market support and HKEx planned to launch the after-hours trading of HSI futures, H-shares Index futures and gold futures in the second half of 2012.

### Trading activities

The total trading volume of HKEx derivatives market in 2011 reached an all-time high of 140.49 million, up 21% from 2010. The trading volumes of all types of equity futures and options (excluding the dividend point index futures launched in November 2010) achieved record levels in 2011.

In the futures market, HSI futures remained the largest volume contributor in 2011 with a contribution of 45%. This product had a total trading volume of 23.09 million contracts during the year (up 10% from 2010) and a year-end open interest of 86,409 contracts. The second largest volume contributor was the H-shares Index futures which had a 30% contribution. The product traded 15.00 million contracts in 2011 (up 21% from 2010) and had a year-end open interest of 106,277 contracts. Mini-HSI futures, the third largest contributor (20% of total futures volume), had a trading volume of 10.29 million contracts in 2011, up 24% from 2010. The year-on-year growth of Mini H-shares Index futures and stock futures (86% for both, to 1.85 million contracts and 0.44 million contracts respectively) was the biggest among all equity futures. The HSI Dividend Point Index Futures and HSCEI Dividend Point Index Futures recorded a trading volume of 11,196 contracts and 53,054 contracts respectively in 2011. There were 38 stock futures classes at the end of 2011, same as that of 2010.

Total options trading volume in 2011 increased year-on-year by 23% to reach an all-time high of 89.75 million contracts. Annual trading volume all types of options (excluding flexible options) attained new record highs. Stock options had an annual trading volume increase of 22% to 74.33 million contracts in 2011, contributing 83% of the total options volume and 53% of total futures and options volume. The year-end stock options open interest was 5.33 million contracts, down 1% from the previous year. The number of stock option classes at the end of 2011 was 60, compared to 57 at the end of 2010. The total premium of stock options trading for 2011 was HK\$72.5 billion, an increase of 30% from 2010.

In 2011, HSI options, Mini-HSI options and H-shares Index options recorded an annual trading volume of 10.67 million contracts (up 25%), 0.95 million contracts (up 98%) and 3.77 million contracts (up 30%) respectively. Flexible index options on the HSI and the H-shares Index, which were launched in February 2010, recorded trading volumes of 9,260 contracts and 23,510 contracts in the year respectively.

The trading of non-equity derivative products — gold futures, HIBOR futures and Exchange Fund Note futures — remained low in 2011, contributing in aggregate less than 0.01% of total futures and options volume.

## Major Events of the Hong Kong Securities and Derivatives Markets 2011

Month	Event
February	<p>Starting 1 February, brokers are required by the Securities and Futures Commission (SFC) to flag trades concluded on their internal crossing systems on reporting to HKEx. The SFC would obtain this information from HKEx to enhance the monitoring of these alternative trading venues (also known as dark pools).</p> <p>On 11 February, the Financial Services and the Treasury Bureau (FSTB) and the SFC published their respective consultation conclusions regarding implementation of a statutory regime for listed companies' disclosure of price sensitive information (PSI). Subsequently in June, the SFC published its revised draft Guidelines on Disclosure of Information and the FSTB introduced a Bill to the Legislative Council for legislation of the regime.</p> <p>On 21 February, Hang Seng Indexes Co Ltd (HSI Co Ltd) started real-time calculation and dissemination of the HSI Volatility Index VHSI.</p>
March	<p>On 7 March, HKEx extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.</p>
April	<p>On 1 April, HKEx launched the Basic Market Prices (BMP) Service, a revamped market data service with broader service scope and delivery channels (e.g. websites and mobile phones) for investors.</p> <p>On 14 April, SBI Holdings, Inc became the first Japan-incorporated company to list Hong Kong Depository Receipts on SEHK.</p> <p>On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.</p>
May	<p>On 3 May, HKEx launched the Structured Products Integrated Transaction System (SPRINTS) for automating and streamlining the processing of listing applications of structured products.</p> <p>On 9 May, HKEx launched a standard combination trading function in its stock options market to allow investors to use synthetic futures trading strategy.</p> <p>On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).</p> <p>On 25 May, Glencore International plc became the first company headquartered in Switzerland to list on SEHK.</p>

Month	Event
June	On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.
	On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.
	On 22 June, HKEx presented two possible listing models to facilitate the listing and trading of RMB shares on the SEHK – Single-Tranche-Single-Counter or Dual-Tranche-Dual-Counter.
	On 24 June, Prada SpA became the first Italy-incorporated company listed on SEHK.
	On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorized funds and investment-linked assurance schemes marketed in Hong Kong.
	On 27 June, the Treasury Markets Association (TMA) launched the fixing of spot US dollars against RMB in Hong Kong as a benchmark for the market exchange rates of US dollars against offshore RMB in Hong Kong.
July	On 29 June, Kazakhmys PLC became the first company from Kazakhstan listed on SEHK.
	On 25 July, HKEx implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.
August	On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK\$10 billion were listed on the SEHK.
	On 10 August, the HKExnews website (HKEx's designated website for disseminating issuer information) was disrupted by outside hacking attacks. After this incident, HKEx launched a series of precautionary measures to ensure investors' access to issuer information, including new online bulletin boards, and clarified trading arrangements upon system disruption.
	On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.
	On 18 August, HKEx, the Shanghai Stock Exchange (SHSE) and the Shenzhen Stock Exchange (SZSE) agreed in principle to discuss a potential joint venture on areas of equity derivative products and index compilation.
	On 29 August, the SFC introduced new measures to enhance the collateral level and transparency of synthetic ETFs.

Month	Event
September	<p>On 5 September, HSI Co Ltd launched the Hang Seng Corporate Sustainability Benchmark Index, Hang Seng (China A) Corporate Sustainability Benchmark Index, Hang Seng Foreign Companies Composite Index and Hang Seng Global Composite Index.</p> <p>On 5 September, HKEx released guidelines on RMB-denominated follow-on offerings to enable listed companies to raise RMB funds by share placements and rights issues/open offers.</p> <p>On 12 September, two additional December contract months of HSI and HSCEI Dividend Point Index Futures were introduced for trading, which cover maturities up to a maximum of 5 years enabling Participants and their clients to manage longer term dividend exposure.</p>
October	<p>On 12 October, HKEx announced a joint initiative to form an alliance with five other exchanges from the BRICS emerging market bloc (Brazil, Russia, India and South Africa in addition to China) – BM&amp;FBOVESPA, Moscow Interbank Currency Exchange (now being Open Joint Stock Company MICEX-RTS after its merger with RTS Group), the National Stock Exchange of India (NSE), BSE Ltd, and the Johannesburg Stock Exchange (JSE). The first initiative was the cross-listing of their benchmark equity index derivatives.</p> <p>On 17 October, the Hong Kong Monetary Authority (HKMA) and the SFC issued a joint consultation paper on the proposed regulatory regime for Hong Kong's over-the-counter (OTC) derivatives market, which required centralised trade reporting and clearing of OTC derivatives transactions.</p> <p>On 18 October, the SFC published consultation conclusions on the proposed short position reporting rules and launched a new round of consultation on a revised proposal to require reporting on a net basis. Other proposed rules would be implemented by the end of the first quarter of 2012.</p> <p>On 20 October, the SFC and HKEx jointly published the consultation conclusions to streamline the property valuation requirements for listing applicants and issuers. The proposed changes took effect in January 2012.</p> <p>On 24 October, HKEx's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.</p> <p>On 24 October, HKEx launched a series of issuer services, including "Know the Listed Companies" conference series and Market Open Ceremonies, to boost the visibility of listed companies and improve communication between listed companies and the investment community.</p> <p>On 28 October, HKEx published consultation conclusions on its review of the Corporate Governance Code and associated Listing Rules to promote corporate governance. Most of the proposed changes took effect in January 2012 while the requirement for independent non-executive directors representing at least one-third of the board is to be complied with by 31 December 2012.</p> <p>On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.</p>

Month	Event
November	On 7 November, the Hong Kong Institute of Certified Public Accountants (HKICPA) and the Chinese Institute of Certified Public Accountants (CICPA) signed a joint declaration to confirm the convergence of the Mainland and Hong Kong's codes of ethics for professional accountants.
	On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.
	On 21 November, trading of new stock option classes on the following underlying stocks commenced China National Building Material Company Limited (3323), Anhui Conch Cement Company Limited (914) and Belle International Holdings Limited (1880).
	On 22 November, the People's Bank of China (PBOC) and the HKMA signed a renewed currency swap agreement of RMB400 billion for a term of another three years to facilitate the development of Hong Kong's offshore RMB business.
	On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.
December	On 1 December, Coach, Inc –RS became the first US-incorporated company to list Hong Kong Depository Receipts on SEHK.
	On 5 December, HKEx upgraded the Third Generation Automatic Order Matching and Execution System (AMS/3) to version 3.8. The system's processing capacity was increased from 3,000 orders per second to 30,000 and the latency was reduced from 0.15 second to 2 milliseconds.
	On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).
	On 15 December, HKEx published consultation conclusions on after-hours futures trading and decided to launch after-hours trading for Hang Seng Index (HSI) futures, H-shares Index futures and gold futures in the second half of 2012.
	On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.



## Stock market highlights – Main Board

	Year		
	2009	2010	2011
<b>Listed securities (as at year end)</b>			
No. of listed companies	1,145	1,244	1,326
Domestic <sup>(1)</sup>	1,134	1,227	1,302
Foreign <sup>(2)</sup>	11	17	24
No. of listed securities	6,441	7,730	6,551
No. of new listed companies <sup>(3)</sup>	68	106	88
New listing companies	64	94	76
Transfer of listing from GEM	4	12	12
Total issued capital (HK\$mil)	1,034,447.41	1,230,965.50	1,280,438.28
Total market capitalisation (HK\$mil)	17,769,271.41	20,942,284.39	17,452,667.08
Equity funds raised (HK\$mil)	637,733.82	845,486.12	482,834.30
<b>Trading</b>			
No. of trading days	249	249	246
Total turnover value (HK\$mil) <sup>(4)</sup>			
Annual	15,439,486.88	17,076,412.14	17,091,116.87
Average daily	62,005.97	68,579.97	69,476.08
Total turnover volume (mil shs)			
Annual	24,497,626.62	34,544,258.75	39,621,773.27
Average daily	98,384.04	138,731.96	161,064.12
Total number of trades (deals)			
Annual	175,535,322	190,573,054	213,131,902
Average daily	704,961	765,354	866,390
<b>Stock price indices</b>			
S&P/HKEx LargeCap Index (28 February 2003 = 10000)			
High	26565.29	29488.04	29060.75
Low	14169.78	22345.53	19639.36
Year-end	25564.96	27392.78	22252.19
Hang Seng Index (31 July 1964 = 100)			
High	22943.98	24964.37	24419.62
Low	11344.58	18985.50	16250.27
Year-end	21872.50	23035.45	18434.39
<b>Market ratios <sup>(5)</sup></b>			
Average dividend yield (%)	2.33	2.31	3.31
Average P/E ratio (times)	18.13	16.67	9.68
Average book value ratio (times)	1.91	1.99	1.41
Annual turnover velocity (%)	65.08	58.63	68.79
<b>Number of Exchange participants</b>	<b>495</b>	<b>516</b>	<b>534</b>

Notes:

- (1) All PRC incorporated enterprises with H shares listed on the Exchange are included.
- (2) A listed company would be counted as a foreign company if it is incorporated overseas and has a majority of its business outside Hong Kong and PRC, and as a domestic company if otherwise.
- (3) Figures includes the number of companies for transfer of listing from GEM.
- (4) Turnover values have been adjusted for late reported and rejected sales.
- (5) Calculations are based on year-end figures of all listed stocks.

## Stock market highlights – Growth Enterprise Market (GEM)

	Year		
	2009	2010	2011
<b>Listed securities (as at year end)</b>			
No. of listed companies	174	169	170
No. of listed securities	175	170	172
No. of new listed companies	5	7	13
Total issued capital (HK\$mil)	9,071.91	9,148.21	9,088.52
Total market capitalisation (HK\$mil)	105,036.43	134,674.08	84,589.06
Equity funds raised (HK\$mil)	4,384.13	13,235.00	7,556.20
<b>Trading</b>			
No. of trading days	249	249	246
Total turnover value (HK\$mil) (1)			
Annual	75,761.81	133,665.60	62,957.51
Average daily	304.26	536.81	255.92
Total turnover volume (mil shs)			
Annual	296,240.90	446,921.79	285,503.28
Average daily	1,189.72	1,794.87	1,160.58
Total number of trades (deals)			
Annual	2,106,196	3,367,683	1,721,719
Average daily	8,459	13,525	6,999
<b>Stock price indices</b>			
S&P/HKEx GEM Index (28 February 2003 = 1000)			
High	731.28	897.33	824.10
Low	349.51	686.11	425.82
Year-end	677.01	810.52	474.80
<b>Market ratios <sup>(2)</sup></b>			
Average dividend yield (%)	0.77	0.95	0.52
Average P/E ratio (times)	38.98	31.10	22.16
Average book value ratio (times)	1.99	2.52	1.55
Annual turnover velocity (%)	72.13	99.23	74.42

## Notes:

- (1) Turnover values have been adjusted for late reported and rejected sales.  
(2) Calculations are based on year-end figures of GEM stocks.

## Stock market highlights – Main Board and GEM

	Year												
	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
<b>Listed securities (as at year end)</b>													
No. of listed companies	708	790	867	978	1,037	1,096	1,135	1,173	1,241	1,261	1,319	1,413	1,496
Domestic <sup>(1)</sup>	695	779	857	968	1,027	1,086	1,126	1,165	1,232	1,251	1,308	1,396	1,472
Foreign <sup>(2)</sup>	13	11	10	10	10	10	9	8	9	10	11	17	24
No. of listed securities	1,212	1,349	1,189	1,586	1,785	2,176	2,649	3,383	6,092	5,831	6,616	7,900	6,723
No. of new listed companies <sup>(3)</sup>	38	90	88	117	73	70	67	62	84	49	73	113	101
New listing Companies	38	90	88	115	67	68	65	60	80	31	69	101	89
Transfer of listing from GEM	-	-	-	2	6	2	2	2	4	18	4	12	12
Total issued capital (HK\$billion)	225.87	288.92	328.63	387.34	409.08	441.06	712.75	901.10	963.89	980.56	1,043.52	1,240.11	1,289.53
Total market capitalisation (HK\$billion)	4,734.76	4,862.44	3,946.31	3,611.32	5,547.85	6,695.89	8,179.94	13,337.71	20,697.54	10,298.75	17,874.31	21,076.96	17,537.26
Equity funds raised (HK\$billion)	149.70	467.34	64.43	110.51	213.76	281.80	301.71	524.54	590.85	427.25	642.12	858.72	490.39
Initial public offers	17.14	132.12	25.71	51.98	59.14	97.16	165.65	333.85	292.44	65.98	248.23	449.48	259.79
Secondary market	132.56	335.22	38.71	58.53	154.62	184.64	136.06	190.69	298.41	361.27	393.89	409.24	230.60
<b>Trading</b>													
No. of trading days	247	247	243	247	248	249	247	247	246	245	249	249	246
Total turnover value (HK\$billion) <sup>(4)</sup>													
Annual	1,919.55	3,131.85	1,989.50	1,643.05	2,583.83	3,974.11	4,520.43	8,376.31	21,665.53	17,652.80	15,515.25	17,210.08	17,154.07
Average daily	7.77	12.68	8.19	6.65	10.42	15.96	18.30	33.91	88.07	72.05	62.31	69.12	69.73
Total turnover volume (bil shs)													
Annual	1,393.78	2,354.69	1,532.37	1,611.93	2,410.38	4,023.55	5,779.81	9,481.27	23,163.37	27,104.29	24,793.87	34,991.18	39,907.28
Average daily	5.64	9.53	6.31	6.53	9.72	16.16	23.40	38.39	94.16	110.63	99.57	140.53	162.22
Total number of trades (deals)													
Annual	23,063,257	36,871,325	24,123,635	20,536,648	28,803,397	37,243,835	36,956,081	64,077,041	151,585,525	141,394,810	177,641,518	193,940,737	214,853,621
Average daily	93,374	149,277	99,274	83,144	116,143	149,574	149,620	259,421	616,201	577,122	713,420	778,878	873,389
Trading by products (HK\$billion)													
Equities	1,776.78	2,944.41	1,860.43	1,515.26	2,304.81	3,422.93	3,609.92	6,466.94	16,670.57	12,683.92	11,639.95	12,411.31	12,068.96
Equity warrants	10.38	8.05	3.22	1.75	1.16	2.92	2.28	2.71	5.13	1.13	0.52	1.81	0.93
Derivative warrants	119.79	159.36	105.00	112.78	264.01	524.48	856.61	1,790.06	4,693.86	3,433.74	1,654.89	2,692.46	2,629.89
CBBC	-	-	-	-	-	-	-	11.34	71.38	1,039.56	1,676.06	1,455.40	1,852.14
ELI	-	-	-	0.33	0.48	0.24	0.00	-	-	-	-	-	-
Debt securities	0.14	0.06	0.04	0.03	0.02	0.04	0.01	0.01	0.01	0.01	0.00	0.00	0.84
Unit trusts and mutual funds	12.45	19.97	20.81	12.91	13.34	23.50	51.61	105.26	224.58	494.45	543.81	649.09	601.32
ETFs	12.45	19.97	20.81	12.91	13.34	23.50	20.70	57.82	160.57	441.10	499.69	604.46	545.30
REITs	-	-	-	-	-	-	30.91	47.44	51.50	51.49	43.08	43.89	55.52
Others	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	12.52	1.85	1.05	0.74	0.50
<b>Market ratios <sup>(5)</sup></b>													
Average dividend yield (%)	2.20	1.99	2.89	3.36	2.92	2.83	2.87	2.19	2.20	5.37	2.32	2.30	3.30
Average P/E ratio (times)	26.77	12.95	12.30	14.98	19.08	18.80	15.61	17.39	22.56	7.27	18.19	16.72	9.71
Average book value ratio (times)	2.16	1.81	1.35	1.11	1.68	1.89	1.93	2.52	2.81	1.17	1.91	2.00	1.41
Annual turnover velocity (%)	37.53	60.55	47.14	41.96	41.54	51.12	44.13	48.49	80.54	123.16	65.12	58.89	68.82

## Notes:

- (1) All PRC incorporated enterprises with H shares listed on the Exchange are included.
- (2) A listed company would be counted as a foreign company if it is incorporated overseas and has a majority of its business outside Hong Kong and PRC, and as a domestic company if otherwise.
- (3) Figures includes the number of companies for transfer of listing from GEM.
- (4) Turnover values have been adjusted for late reported and rejected sales.
- (5) Calculations are based on year-end figures of all listed stocks.

## Market Highlights – Derivatives market highlights

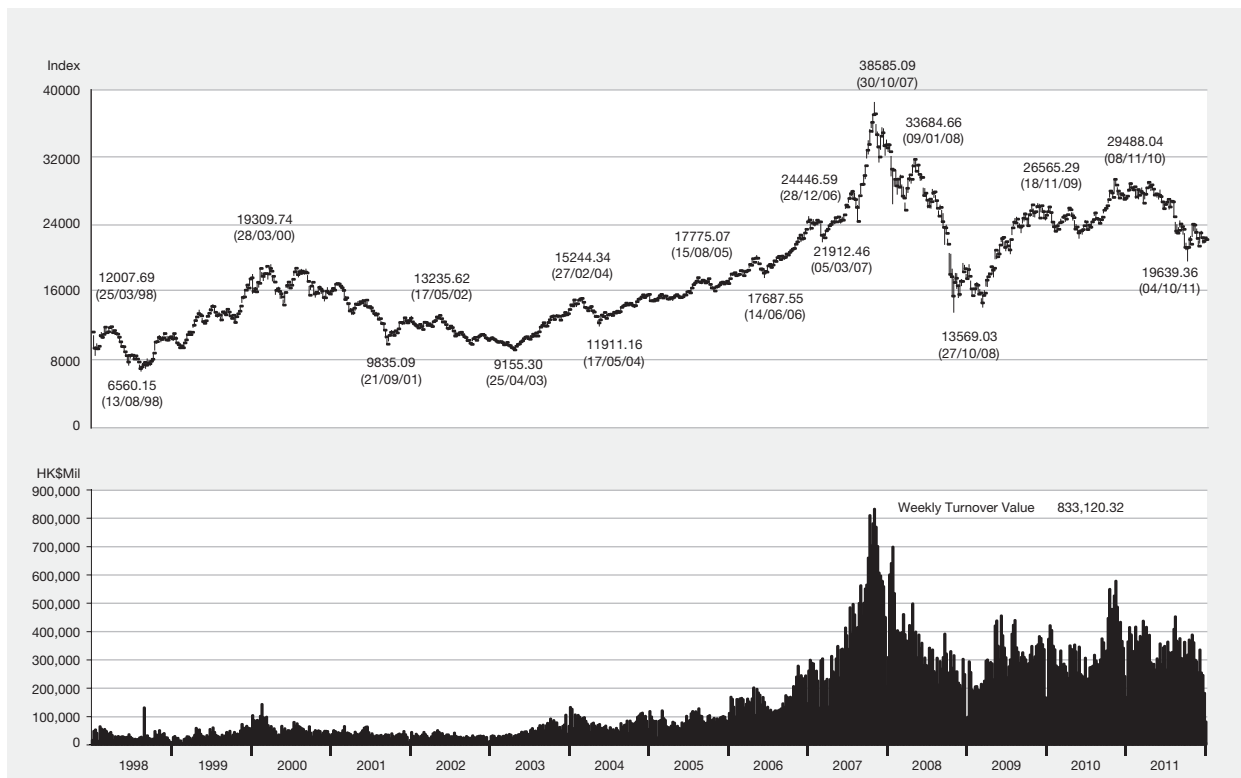
	Year		
	2009	2010	2011
<b>All futures and options products (excluding stock options)</b>			
No. of trading days	247.5	248	245.5
Contract volume	<b>51,098,362</b>	<b>54,928,730</b>	<b>66,168,404</b>
Equity index products	50,817,046	54,682,760	65,720,015
Equity products	271,766	239,259	444,014
Interest rates products	2,777	1,069	659
Gold Futures	6,773	5,642	3,716
Average daily	206,458	221,487	269,525
Open interest at the year end	<b>455,898</b>	<b>505,187</b>	<b>607,304</b>
Equity index products	451,269	493,321	595,978
Equity products	4,407	11,514	11,277
Interest rates products	103	267	49
Gold Futures	119	85	–
<b>Stock options</b>			
No. of stock options classes at the year end	51	57	60
No. of stock options series at the year end	6,806	11,510	15,450
No. of newly introduced stock options classes	6	6	3
No. of trading days	247.5	248	245.5
Contract volume			
Annual total	47,439,896	61,125,647	74,325,068
Average daily	191,676	246,474	302,750
Premium (HK\$ Mil.)			
Annual total	74,639	55,584	72,482
Average daily	302	224	295
Number of trades			
Annual total	1,612,285	2,169,322	2,964,696
Average daily	6,514	8,747	12,076
Open interest at the year end	3,848,117	5,381,215	5,329,494
Contracts exercised	6,413,688	5,940,535	8,310,452
Average premium per contract (HK\$)	1,573	909	975
Average contract per trade (contracts)	29	28	25
No. of market makers	26	30	32
Put volume/call volume	1.21	1.25	1.25
Average daily contract volume/open interest	5.0%	4.6%	5.7%
<b>All futures and options products</b>			
Contract volume	98,538,258	116,054,377	140,493,472
Open interest at the year end	4,304,015	5,886,402	5,936,798

## Market Highlights – Clearing and Settlement

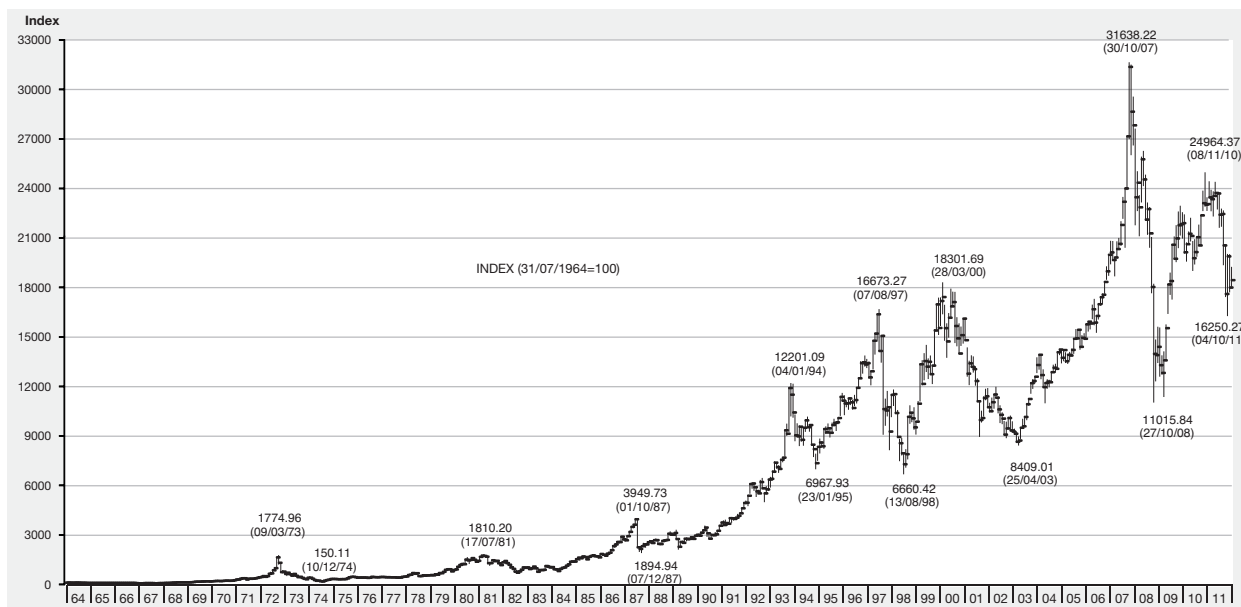
	Year		
	2009	2010	2011
<b>CCASS Statistics</b>			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	713,419	778,877	873,654
– Value of trades (HK\$bil.)	62.3	69.1	69.8
– Share quantity Involved (bil. shs)	99.6	140.5	162.2
Average Daily Settlement Instructions (“SIs”) Settled by CCASS			
– Number of SIs	69,666	80,163	83,833
– Value of SIs (HK\$bil.)	164.1	187.9	211.2
– Share quantity involved (bil. shs)	40.6	47.2	47.8
Average Daily Investor SIs (“ISIs”) Settled by CCASS			
– Number of ISIs	560	574	469
– Value of ISIs (HK\$mil.)	291.8	273.0	261.1
– Share quantity involved (mil. shs)	111.7	117.3	123.7
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)			
	99.85	99.87	99.88
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)			
	99.99	99.99	99.99
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	8	8	6
– Number of buy-ins	9	9	7
– Value of buy-ins (HK\$mil)	3.4	3.1	2.4
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	3,286.6	3,834.1	3,694.2
– Percentage of total issued shares of the admitted securities	70.93	71.80	70.17
– Value of shares (HK\$bil)	9,245.1	11,294.5	9,599.9
– Percentage of the total market capitalisation of the admitted securities	49.19	49.57	50.23
<b>DCASS Statistics</b>			
	<b>2009</b>	<b>2010</b>	<b>2011</b>
Open Interest as at the year end (contracts)			
– Equity Index Futures	147,968	195,184	238,065
– Stock Futures	4,407	11,514	11,277
– Gold Futures	119	85	-
– Interest Rates Futures	103	267	49
– Equity Index Options	303,301	298,137	357,913
– Stock Options	3,848,117	5,381,215	5,329,494
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	5,171	5,121	8,045
– Value of shares (HK\$bil.)	105	102	150

Note: Figures for 2009-2010 have been restated to include transactions in all currencies.

## S&P/HKEx LargeCap Index, weekly movement (1998 – 2011)



## Hang Seng Index, monthly movement (1964 – 2011)



## S&P/HKEx LargeCap Index, daily closing (2011)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	28008.76	27973.91	28374.78	-	28113.83	-	26958.19	24528.07	-	23332.52	22962.97
2	-	28518.31	27540.91	-	-	27726.93	-	26671.68	24096.73	-	23793.88	23023.88
3	27869.21	-	27609.13	-	28128.84	27361.52	-	26164.61	-	20320.41	23228.37	-
4	28136.12	-	27945.88	28799.78	27742.50	-	27122.04	26031.97	-	19639.36	23969.06	-
5	28241.73	-	-	-	27654.49	-	27067.44	24952.01	23351.50	-	-	23171.82
6	28263.77	-	-	28945.00	27537.76	-	26783.61	-	23517.56	20705.94	-	22928.53
7	28122.37	28099.43	27838.55	28920.09	-	27264.00	26784.57	-	23930.14	21318.02	23762.12	23290.97
8	-	28044.48	28318.13	29053.44	-	27030.89	27021.25	24354.74	23801.85	-	23761.87	23112.89
9	-	27696.97	28430.40	-	27721.87	26962.99	-	22919.84	23794.34	-	24151.02	22475.00
10	27962.07	27130.60	28198.03	-	-	26724.41	-	23497.01	-	21330.24	22896.07	-
11	28244.91	27300.92	27762.36	28948.32	27683.58	-	26572.68	23268.26	-	21840.91	23121.55	-
12	28675.67	-	-	28530.50	27454.03	-	25798.95	23259.24	22841.00	22067.45	-	22446.54
13	28840.86	-	-	28717.52	27681.03	26842.33	26104.27	-	-	-	22527.86	22312.33
14	28898.44	27611.81	27898.26	28542.00	-	26832.56	26146.10	-	22947.15	22248.00	23553.75	22178.10
15	-	27330.26	27115.79	28541.37	-	26687.74	26109.52	24073.95	23126.20	-	23369.44	21761.04
16	-	27677.20	27128.25	-	27303.52	26249.26	-	23973.52	23451.24	-	22912.60	22017.14
17	28749.14	27837.41	26604.95	-	27233.89	25920.23	-	24039.45	-	22671.09	22762.88	-
18	28726.49	28174.11	26557.55	28331.73	27355.41	-	26035.29	23711.07	-	21760.11	22383.92	-
19	29060.75	-	-	27996.70	27541.54	-	26148.00	23006.18	22835.41	22057.97	-	21797.61
20	28572.36	-	-	28455.47	27578.24	25793.87	26252.04	-	22996.65	21667.35	-	21826.57
21	28417.93	28057.38	27028.10	28750.83	-	26057.27	26252.72	-	22768.75	21697.43	22088.68	22220.98
22	-	27482.05	27253.21	-	-	26045.71	26804.88	23207.31	21657.15	-	22143.60	22153.97
23	-	27378.55	27239.66	-	27010.06	25910.17	-	23706.49	21312.86	-	21666.44	22452.43
24	28353.18	27015.79	27368.95	-	27029.22	26416.53	-	23162.68	-	22561.63	21762.43	-
25	28335.66	27505.73	27628.94	-	27066.79	-	26603.10	23536.97	-	22811.34	21459.46	-
26	28399.63	-	-	28607.28	27239.13	-	26930.77	23325.29	20990.49	22935.96	-	-
27	28334.18	-	-	28463.43	27514.28	26263.55	26871.89	-	21876.39	23674.08	-	-
28	28161.76	27880.82	27457.09	28331.98	-	26286.06	26895.45	-	21714.28	24062.26	21860.24	22341.47
29	-	-	27474.84	28237.79	-	26271.07	26731.84	23694.04	-	-	22075.98	22214.40
30	-	-	27951.19	-	27591.91	26685.63	-	24057.58	21233.24	-	21762.00	22252.19
31	27969.05	-	28034.90	-	28186.80	-	-	24451.72	-	23916.41	-	-
<b>High</b>	<b>29060.75</b>	28518.31	28430.40	29053.44	28186.80	28113.83	27122.04	26958.19	24528.07	24062.26	24151.02	23290.97
<b>Low</b>	27869.21	27015.79	26557.55	27996.70	27010.06	25793.87	25798.95	22919.84	20990.49	<b>19639.36</b>	21459.46	21761.04
<b>Avg</b>	28396.92	27708.37	27580.83	28586.00	27512.74	26640.31	26551.82	24174.95	22838.55	22090.69	22809.90	22447.04

## S&P/HKEx LargeCap Index, daily movement (2011)



## Hang Seng Index, daily closing (2011)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	23482.95	23396.42	23801.90	-	23626.43	-	22663.37	20585.33	-	19369.96	19002.26
2	-	23908.96	23048.66	-	-	23253.84	-	22421.46	20212.91	-	19733.71	19040.39
3	23436.05	-	23122.42	-	23633.25	22949.56	-	21992.72	-	16822.15	19242.50	-
4	23668.48	-	23408.86	24150.58	23315.24	-	22770.47	21884.74	-	16250.27	19842.79	-
5	23757.82	-	-	-	23261.61	-	22747.95	20946.14	19616.40	-	-	19179.69
6	23786.30	-	-	24285.05	23159.14	-	22517.55	-	19710.50	17172.28	-	18942.23
7	23686.63	23553.59	23313.19	24281.80	-	22868.67	22530.18	-	20048.00	17707.01	19677.89	19240.58
8	-	23484.30	23711.70	24396.07	-	22661.63	22726.43	20490.57	19912.82	-	19678.47	19107.81
9	-	23164.03	23810.11	-	23336.00	22609.83	-	19330.70	19866.63	-	20014.43	18586.23
10	23527.26	22708.62	23614.89	-	-	22420.37	-	19783.67	-	17711.06	18963.89	-
11	23760.34	22828.92	23249.78	24303.07	23291.80	-	22347.23	19595.14	-	18141.59	19137.17	-
12	24125.61	-	-	23976.37	23073.76	-	21663.16	19620.01	19030.54	18329.46	-	18575.66
13	24238.98	-	-	24135.03	23276.27	22508.08	21926.88	-	-	18757.81	-	18447.17
14	24283.23	23121.06	23345.88	24014.00	-	22496.00	21940.20	-	19045.44	18501.79	19508.18	18354.43
15	-	22899.78	22678.25	24008.07	-	22343.77	21875.38	20260.10	19181.50	-	19348.44	18026.84
16	-	23156.97	22700.88	-	22960.63	21953.11	-	20212.08	19455.31	-	18960.90	18285.39
17	24156.97	23301.84	22284.43	-	22901.08	21695.26	-	20289.03	-	18873.99	18817.47	-
18	24153.98	23595.24	22300.23	23830.31	23011.14	-	21804.75	20016.27	-	18076.46	18491.23	-
19	24419.62	-	-	23520.62	23163.38	-	21902.40	19399.92	18917.95	18309.22	-	18070.21
20	24003.70	-	-	23896.10	23199.39	21599.51	22003.69	-	19014.80	17983.10	-	18080.20
21	23876.86	23485.42	22685.22	24138.31	-	21850.59	21987.29	-	18824.17	18025.72	18225.85	18416.45
22	-	22990.81	22857.90	-	-	21859.97	22444.80	19486.87	17911.95	-	18251.59	18378.23
23	-	22906.90	22825.40	-	22711.02	21759.14	-	19875.53	17668.83	-	17864.43	18629.17
24	23801.78	22601.04	22915.28	-	22730.78	22171.95	-	19466.79	-	18771.82	17935.10	-
25	23788.83	23012.37	23158.67	-	22747.28	-	22293.29	19752.48	-	18968.20	17689.48	-
26	23843.24	-	-	24007.38	22900.79	-	22572.08	19582.88	17407.80	19066.54	-	-
27	23779.62	-	-	23892.84	23118.07	22041.77	22541.69	-	18130.55	19688.70	-	-
28	23617.02	23338.02	23068.19	23805.63	-	22061.78	22570.74	-	18011.06	20019.24	18037.81	18518.67
29	-	-	23060.36	23720.81	-	22061.18	22440.25	19865.11	-	-	18256.20	18397.92
30	-	-	23451.43	-	23184.32	22398.10	-	20204.17	17592.41	-	17989.35	18434.39
31	23447.34	-	23527.52	-	23684.13	-	-	20534.85	-	19864.87	-	-
<b>High</b>	<b>24419.62</b>	23908.96	23810.11	24396.07	23684.13	23626.43	22770.47	22663.37	20585.33	20019.24	20014.43	19240.58
<b>Low</b>	23436.05	22601.04	22284.43	23520.62	22711.02	21599.51	21663.16	19330.70	17407.80	<b>16250.27</b>	17689.48	18026.84
<b>Avg</b>	23864.75	23196.71	23110.25	24009.11	23132.95	22342.41	22280.32	20333.68	19007.25	18352.06	18865.31	18585.70

Note: HSI is compiled by Hang Seng Indexes Company Limited

## Hang Seng Index, daily movement (2011)





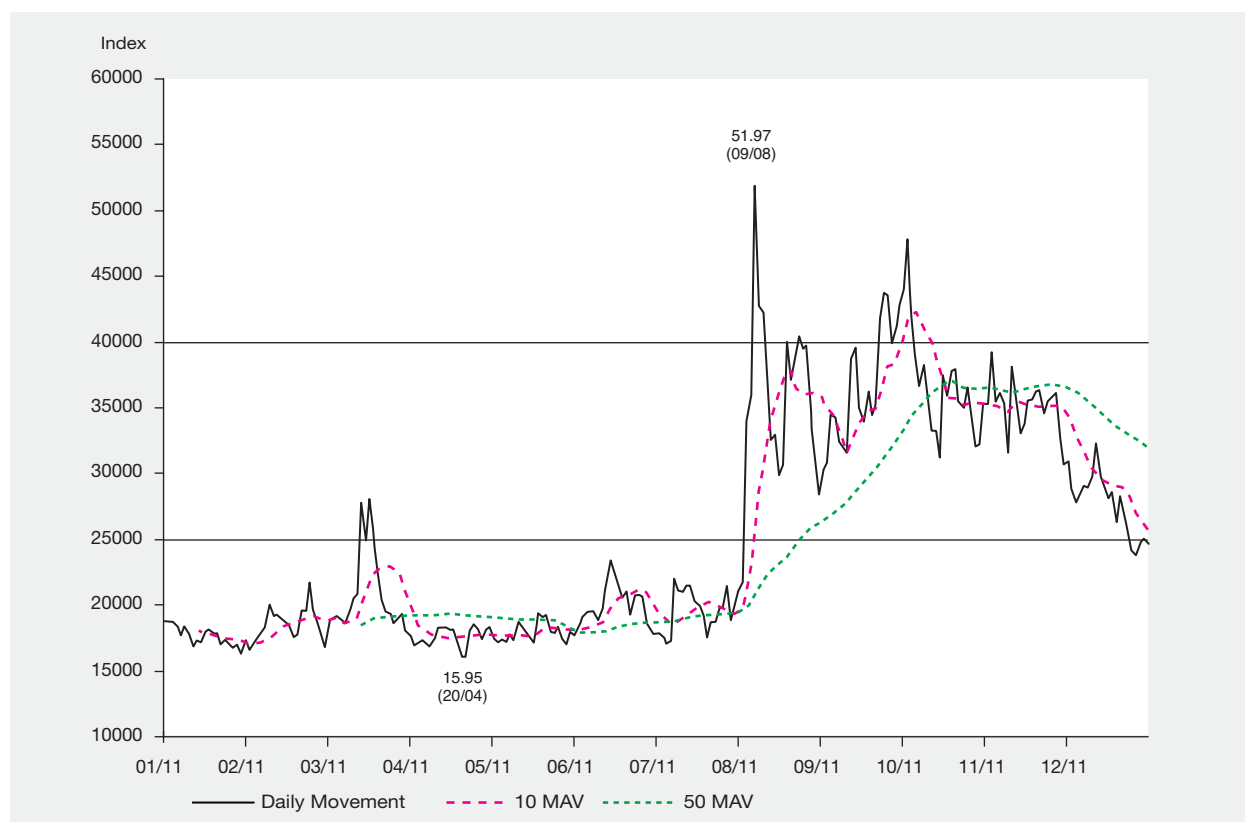
## HSI Volatility Index, daily closing (2011)

Date	Jan	Feb*	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	16.55	17.35	16.87	–	16.87	–	18.67	30.19	–	35.34	28.74
2	–	16.85	16.64	–	–	17.91	–	19.61	30.86	–	35.17	27.74
3	18.68	–	18.83	–	18.02	17.50	–	21.11	–	44.00	39.26	–
4	18.69	–	19.04	16.92	18.31	–	17.94	21.68	–	47.82	35.31	–
5	18.64	–	–	–	17.30	–	17.76	33.87	34.47	–	–	28.34
6	18.40	–	–	17.15	17.09	–	17.80	–	34.18	41.25	–	29.08
7	17.54	17.55	19.25	16.89	–	18.12	17.57	–	32.31	38.08	36.26	28.83
8	–	17.85	18.70	16.72	–	19.04	16.96	35.93	31.85	–	35.35	29.69
9	–	18.23	18.50	–	17.30	19.32	–	51.97	31.49	–	31.55	32.26
10	18.30	20.01	19.05	–	–	19.41	–	42.71	–	36.48	38.18	–
11	17.65	19.02	20.43	17.14	17.14	–	17.15	42.20	–	38.40	35.06	–
12	16.71	–	–	18.17	17.74	–	22.08	37.99	38.77	35.34	–	29.97
13	17.21	–	–	18.21	17.23	19.49	20.99	–	–	33.21	–	28.78
14	17.05	19.23	20.73	18.26	–	18.67	20.85	–	39.58	33.23	32.95	27.95
15	–	18.84	27.82	18.00	–	19.59	21.43	32.42	34.80	–	33.60	28.62
16	–	18.65	24.62	–	18.77	21.59	–	32.98	33.80	–	35.51	26.19
17	17.92	18.45	28.21	–	18.18	23.52	–	29.71	–	30.94	35.60	–
18	18.07	17.44	25.58	18.11	17.86	–	21.33	30.60	–	37.45	36.20	–
19	17.82	–	–	16.85	17.34	–	20.21	40.18	36.23	35.78	–	28.24
20	17.72	–	–	15.95	17.01	22.50	20.03	–	34.34	37.82	–	27.08
21	16.85	17.68	22.06	16.00	–	21.42	19.23	–	35.09	37.97	36.31	25.52
22	–	19.55	20.29	–	–	20.47	17.31	37.01	41.58	–	34.52	24.00
23	–	19.43	19.35	–	19.32	21.04	–	38.63	43.70	–	35.55	23.63
24	17.33	21.71	19.29	–	18.99	19.08	–	40.56	–	35.38	35.74	–
25	16.90	19.64	18.47	–	19.15	–	18.60	39.43	–	34.96	36.10	–
26	16.57	–	–	18.12	17.88	–	18.62	39.77	43.60	36.59	–	–
27	16.95	–	–	18.52	17.73	20.63	19.63	–	39.76	34.06	–	–
28	16.19	18.73	18.99	18.13	–	20.71	19.67	–	40.68	31.89	32.57	24.73
29	–	–	19.23	17.16	–	20.55	21.43	33.25	–	–	30.63	24.97
30	–	–	17.94	–	18.32	18.54	–	31.18	42.77	–	30.88	24.62
31	17.30	–	17.58	–	17.26	–	–	27.90	–	32.10	–	–
<b>High</b>	18.69	21.71	28.21	18.52	19.32	23.52	22.08	<b>51.97</b>	43.70	47.82	39.26	32.26
<b>Low</b>	16.19	16.55	16.64	<b>15.95</b>	17.01	16.87	16.96	18.67	30.19	30.94	30.63	23.63
<b>Avg</b>	17.55	18.63	20.35	17.40	17.90	19.81	19.33	33.89	36.50	36.64	34.89	27.45

Note: VHSI is compiled by Hang Seng Indexes Company Limited

\*HSI Volatility Index is launched on 21 Feb 2011 and trace back to 2 Jan 2001

## HSI Volatility Index, daily movement (2011)



## Hang Seng China Enterprises Index, daily closing (2011)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	12534.86	12620.31	13451.48	–	13232.39	–	12540.40	10922.53	–	10184.48	10281.99
2	–	12710.89	12507.02	–	–	12976.86	–	12278.78	10664.45	–	10445.85	10350.51
3	12884.85	–	12658.18	–	13106.54	12750.66	–	12007.10	–	8408.26	10294.92	–
4	13016.37	–	12849.77	13586.70	12866.59	–	12873.60	11893.50	–	8102.58	10705.48	–
5	12994.41	–	–	–	12807.76	–	12842.39	11434.59	10299.17	–	–	10412.96
6	12983.29	–	–	13657.63	12848.82	–	12598.34	–	10347.04	8571.49	–	10258.54
7	12925.71	12454.07	12820.81	13647.79	–	12721.48	12640.34	–	10544.86	8887.53	10646.75	10485.05
8	–	12526.26	12990.80	13652.92	–	12581.55	12756.32	11113.45	10499.89	–	10686.02	10395.37
9	–	12278.60	13088.93	–	12934.47	12472.59	–	10426.07	10468.89	–	10917.70	10066.63
10	12806.59	12022.60	12992.50	–	–	12380.81	–	10580.41	–	8869.55	10300.18	–
11	12918.07	12081.82	12752.01	13684.06	12962.33	–	12497.32	10502.73	–	9257.34	10430.07	–
12	13126.98	–	–	13437.53	12795.95	–	12032.03	10452.64	9967.86	9455.84	–	10059.35
13	13182.59	–	–	13566.46	12894.13	12432.84	12293.65	–	–	9802.58	–	9957.15
14	13152.14	12398.05	12882.75	13481.73	–	12488.27	12327.21	–	9968.86	9584.83	10716.90	9888.15
15	–	12280.27	12551.96	13533.58	–	12397.60	12266.32	10944.17	10051.67	–	10637.86	9678.86
16	–	12437.23	12642.77	–	12676.79	12161.42	–	10946.64	10249.20	–	10332.09	9867.41
17	12938.05	12574.77	12457.68	–	12733.21	12045.05	–	10936.11	–	9853.34	10228.65	–
18	12967.20	12742.53	12427.92	13410.90	12870.83	–	12258.45	10718.46	–	9340.43	9957.40	–
19	13132.33	–	–	13246.86	12935.59	–	12296.96	10277.38	9866.97	9449.14	–	9727.08
20	12845.49	–	–	13492.38	12947.94	12009.33	12382.67	–	9916.23	9196.68	–	9740.01
21	12735.57	12651.38	12645.65	13659.35	–	12148.74	12322.25	–	9822.67	9219.73	9725.82	9950.71
22	–	12351.66	12783.20	–	–	12148.94	12598.77	10245.80	9202.70	–	9745.35	9944.62
23	–	12251.61	12752.61	–	12624.16	12066.52	–	10578.96	9033.09	–	9476.15	10132.66
24	12596.43	12059.75	12831.94	–	12680.99	12436.74	–	10255.63	–	9717.65	9566.29	–
25	12562.05	12270.43	12975.54	–	12689.66	–	12440.64	10430.39	–	9860.98	9395.91	–
26	12649.97	–	–	13558.41	12752.08	–	12604.68	10299.58	8735.40	10050.59	–	–
27	12649.60	–	–	13452.44	12960.82	12478.61	12587.66	–	9294.22	10565.76	–	–
28	12565.56	12504.53	12894.47	13319.96	–	12486.79	12517.53	–	9277.49	10625.16	9609.86	9980.51
29	–	–	12920.44	13208.71	–	12404.52	12373.64	10511.87	–	–	9714.09	9944.58
30	–	–	13162.00	–	13004.31	12576.68	–	10747.08	8917.36	–	9508.94	9936.48
31	12560.66	–	13315.84	–	13268.39	–	–	10943.29	–	10512.99	–	–
<b>High</b>	13182.59	12742.53	13315.84	<b>13684.06</b>	13268.39	13232.39	12873.60	12540.40	10922.53	10625.16	10917.70	10485.05
<b>Low</b>	12560.66	12022.60	12427.92	13208.71	12624.16	12009.33	12032.03	10245.80	8735.40	<b>8102.58</b>	9395.91	9678.86
<b>Avg</b>	12866.38	12396.18	12805.44	13502.72	12868.07	12447.54	12475.54	10915.87	9902.53	9466.62	10146.67	10052.93

Note: HSCEI is compiled by Hang Seng Indexes Company Limited

## Hang Seng China Enterprises Index, daily movement (2011)



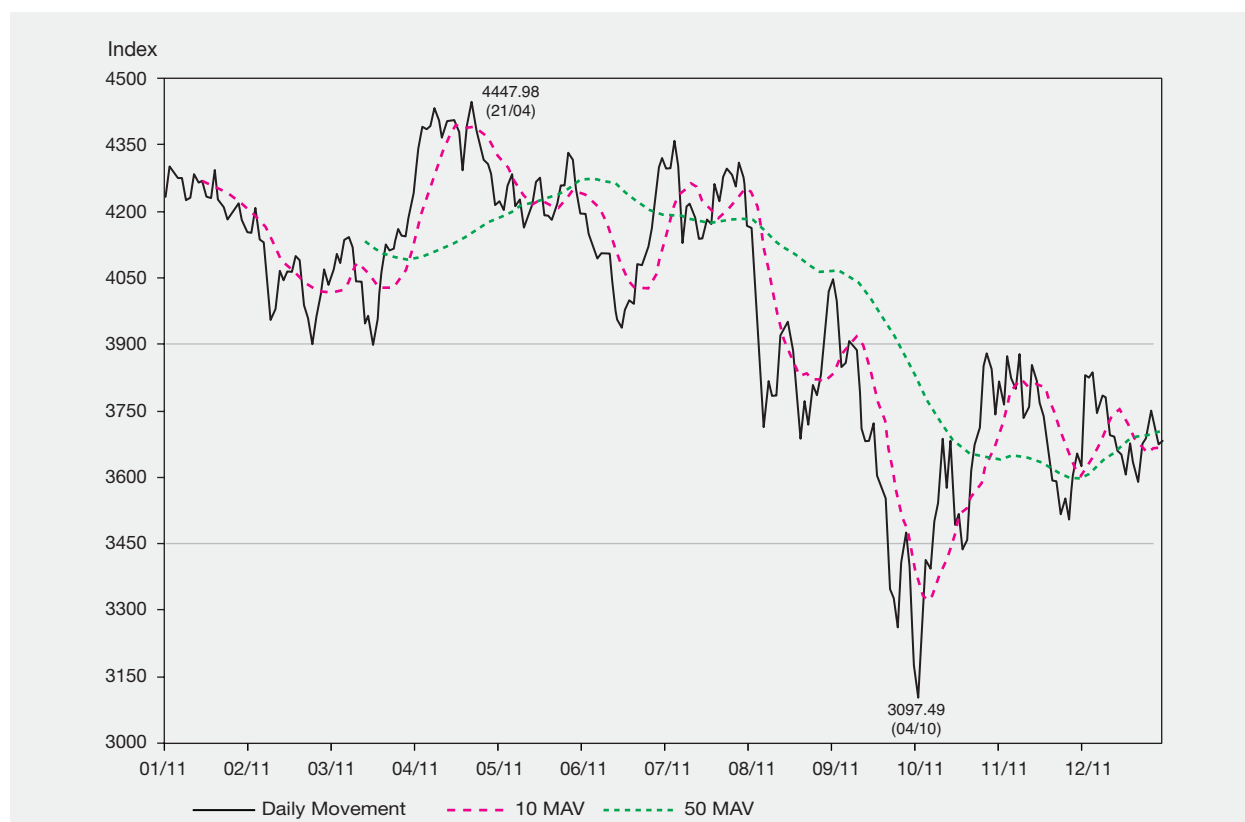
## Hang Seng China-Affiliated Corporations Index, daily closing (2011)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-	4152.25	4071.10	4332.83	-	4319.77	-	4313.93	4052.55	-	3738.98	3832.27
2	-	4210.34	4030.29	-	-	4243.78	-	4272.12	3994.05	-	3822.34	3825.24
3	4233.26	-	4056.01	-	4286.56	4194.48	-	4167.19	-	3186.19	3762.29	-
4	4303.12	-	4105.61	4393.00	4210.29	-	4293.47	4162.99	-	3097.49	3878.10	-
5	4291.12	-	-	-	4225.05	-	4322.31	3981.76	3849.18	-	-	3841.41
6	4275.57	-	-	4384.59	4199.08	-	4297.63	-	3855.47	3308.21	-	3741.29
7	4275.38	4137.15	4082.41	4392.19	-	4197.99	4296.03	-	3911.02	3417.72	3823.47	3789.84
8	-	4131.35	4136.35	4437.67	-	4151.54	4362.40	3874.74	3898.06	-	3798.32	3783.39
9	-	4058.21	4143.12	-	4257.57	4120.59	-	3712.45	3886.71	-	3884.36	3696.29
10	4225.05	3953.43	4118.13	-	-	4093.57	-	3819.39	-	3393.77	3729.25	-
11	4231.20	3979.47	4041.69	4408.33	4285.72	-	4305.81	3783.79	-	3505.17	3760.45	-
12	4286.29	-	-	4364.11	4211.20	-	4127.57	3785.87	3714.38	3549.03	-	3694.12
13	4266.83	-	-	4404.30	4228.42	4105.44	4212.05	-	-	3690.23	-	3663.47
14	4270.93	4070.14	4044.76	4405.59	-	4107.59	4219.87	-	3680.89	3570.92	3856.11	3653.66
15	-	4043.34	3946.51	4409.53	-	4105.82	4182.65	3920.58	3684.06	-	3827.90	3602.13
16	-	4064.62	3965.79	-	4163.70	4014.23	-	3941.29	3725.21	-	3763.80	3683.77
17	4234.01	4062.89	3897.08	-	4185.33	3957.19	-	3954.82	-	3684.05	3737.78	-
18	4229.76	4101.57	3957.06	4378.60	4217.34	-	4135.08	3894.53	-	3491.20	3646.90	-
19	4295.14	-	-	4289.52	4264.91	-	4139.79	3778.70	3604.92	3519.43	-	3617.90
20	4227.86	-	-	4384.94	4278.51	3937.81	4182.10	-	3578.78	3436.21	-	3587.01
21	4215.45	4091.30	4051.36	4447.98	-	3984.02	4172.44	-	3552.28	3460.21	3593.98	3679.53
22	-	3988.48	4126.48	-	-	4000.00	4262.44	3686.19	3350.00	-	3595.69	3686.02
23	-	3959.80	4109.43	-	4191.29	3993.42	-	3775.12	3327.78	-	3516.92	3755.63
24	4179.65	3897.04	4117.16	-	4191.44	4082.84	-	3719.13	-	3614.00	3555.91	-
25	4195.50	3961.44	4163.18	-	4180.58	-	4221.26	3810.48	-	3680.88	3505.32	-
26	4211.10	-	-	4395.10	4210.29	-	4276.19	3785.31	3254.81	3713.96	-	-
27	4218.65	-	-	4357.54	4260.70	4078.12	4297.81	-	3407.25	3852.42	-	-
28	4168.97	3998.32	4147.20	4317.57	-	4101.77	4284.36	-	3478.30	3882.85	3602.60	3717.42
29	-	-	4142.10	4309.11	-	4132.10	4255.93	3831.71	-	-	3657.83	3673.85
30	-	-	4195.66	-	4260.55	4192.08	-	3925.05	3391.13	-	3622.20	3682.18
31	4152.62	-	4244.39	-	4332.46	-	-	4021.52	-	3842.70	-	-
<b>High</b>	4303.12	4210.34	4244.39	<b>4447.98</b>	4332.46	4319.77	4362.40	4313.93	4052.55	3882.85	3884.36	3841.41
<b>Low</b>	4152.62	3897.04	3897.08	4289.52	4163.70	3937.81	4127.57	3686.19	3254.81	<b>3097.49</b>	3505.32	3587.01
<b>Avg</b>	4237.50	4047.84	4082.30	4378.47	4232.05	4100.67	4242.36	3909.51	3659.84	3544.83	3712.75	3710.32

Note: HSCCI is compiled by Hang Seng Indexes Company Limited

## Hang Seng China-Affiliated Corporation Index, daily movement (2011)



## Number of securities by type, 2007 – 2011

Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares	1,049	1,088	1,146	1,245	1,327
Preference shares	2	2	2	3	3
Equity warrants	30	34	25	22	13
Derivative warrants	4,483	3,011	3,367	5,148	4,027
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	131	1,314	1,692	1,064	901
Unit trusts/Mutual funds	26	33	52	79	88
– Exchange Traded Funds (ETFs)	17	24	43	69	77
– REITs	7	7	7	8	9
– Others	2	2	2	2	2
Debt securities	175	172	157	169	192
<b>Total</b>	<b>5,896</b>	<b>5,654</b>	<b>6,441</b>	<b>7,730</b>	<b>6,551</b>

## Number of newly listed securities, 2007 – 2011

Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares *	82	47	68	106	88
– Newly listed companies	78	29	64	94	76
– Transfer of listing from GEM	4	18	4	12	12
Preference shares	0	0	0	1	0
Equity warrants	17	18	11	12	7
Derivative warrants	6,312	4,822	4,230	7,826	6,917
Equity link instruments	0	0	0	0	0
Callable bull/bear contracts	391	4,231	8,072	6,541	5,334
Unit trusts/Mutual funds	11	7	19	27	9
– Exchange Traded Funds (ETFs)	8	7	19	26	8
– REITs	2	0	0	1	1
– Others	1	0	0	0	0
Debt securities	26	20	23	38	50
<b>Total</b>	<b>6,839</b>	<b>9,145</b>	<b>12,423</b>	<b>14,551</b>	<b>12,405</b>

\* Includes the number of companies for transfer of listing from GEM.

## Number of delisted securities, 2007-2011

Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares	9	8	10	7	6
Preference shares	1	0	0	0	0
Equity warrants	14	14	20	15	16
Derivative warrants	3,788	6,294	3,874	6,045	8,038
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	284	3,048	7,694	7,169	5,497
Unit trusts/mutual funds	0	0	0	0	0
– Exchange Traded Funds (ETFs)	0	0	0	0	0
– REITs	0	0	0	0	0
– Others	0	0	0	0	0
Debt securities	31	23	38	26	27
<b>Total</b>	<b>4,127</b>	<b>9,387</b>	<b>11,636</b>	<b>13,262</b>	<b>13,584</b>

## Total market capitalisation by Hang Seng Industry Classification System, 2011

HK\$mil

Industry Classification *	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,656,722.19	1,653,828.96	1,795,984.39	1,780,758.41	1,809,545.31	1,712,080.18	1,670,290.44	1,510,125.89	1,291,686.56	1,460,081.06	1,356,354.68	1,368,530.62
Materials	692,230.86	658,649.91	716,379.03	736,468.12	704,817.46	668,299.57	653,966.94	559,609.96	426,601.06	507,868.66	465,906.06	457,345.49
Industrial Goods	466,291.58	443,459.63	453,863.88	469,763.55	430,690.64	410,508.92	392,594.47	329,924.72	261,847.64	308,702.12	277,881.22	277,170.93
Consumer Goods	2,164,835.74	2,058,003.63	2,077,805.60	2,172,462.76	2,217,828.95	2,313,153.97	2,276,684.19	2,064,460.45	1,717,938.26	1,941,819.34	1,871,872.14	2,004,405.29
Services	1,767,390.70	1,663,107.73	1,708,790.59	1,869,088.32	1,871,909.86	1,872,782.87	2,032,626.10	1,881,495.25	1,478,875.08	1,703,640.26	1,582,063.35	1,605,705.74
Telecommunications	1,986,100.14	1,916,033.62	1,888,562.77	1,945,674.99	1,976,351.68	1,962,333.12	2,071,176.39	2,125,928.03	2,069,045.64	2,021,566.76	2,035,255.83	2,070,928.00
Utilities	721,666.94	725,488.90	774,566.68	791,936.93	790,393.64	791,683.54	821,232.83	776,124.32	714,949.65	744,854.67	742,936.86	742,408.36
Financials	6,841,502.57	6,952,022.96	7,087,643.37	7,182,982.06	7,151,897.39	6,642,567.48	6,567,628.18	5,870,448.65	4,842,958.80	5,745,553.83	5,070,687.00	5,331,304.00
Properties & Construction	2,703,607.14	2,535,164.24	2,714,382.69	2,741,043.82	2,727,308.46	2,625,282.89	2,697,047.83	2,427,277.85	1,832,561.31	2,206,581.40	1,945,703.72	2,016,954.32
Information Technology	893,696.51	886,965.09	843,346.69	907,809.58	893,621.65	841,864.55	809,667.87	730,489.65	646,956.93	729,018.23	635,647.97	650,437.54
Conglomerates	1,210,475.14	1,166,566.64	1,197,742.00	1,204,548.37	1,201,651.07	1,151,788.83	1,195,017.32	1,068,159.44	864,273.33	989,875.87	919,014.75	927,476.78
<b>Total</b>	<b>21,104,519.51</b>	<b>20,659,291.30</b>	<b>21,259,067.69</b>	<b>21,802,536.92</b>	<b>21,776,016.10</b>	<b>20,992,345.92</b>	<b>21,187,932.56</b>	<b>19,344,044.21</b>	<b>16,147,694.26</b>	<b>18,359,562.20</b>	<b>16,903,323.57</b>	<b>17,452,667.08</b>

Note: Month-end figures

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## Market capitalisation trends by Hang Seng Industry Classification System, 2007 – 2011

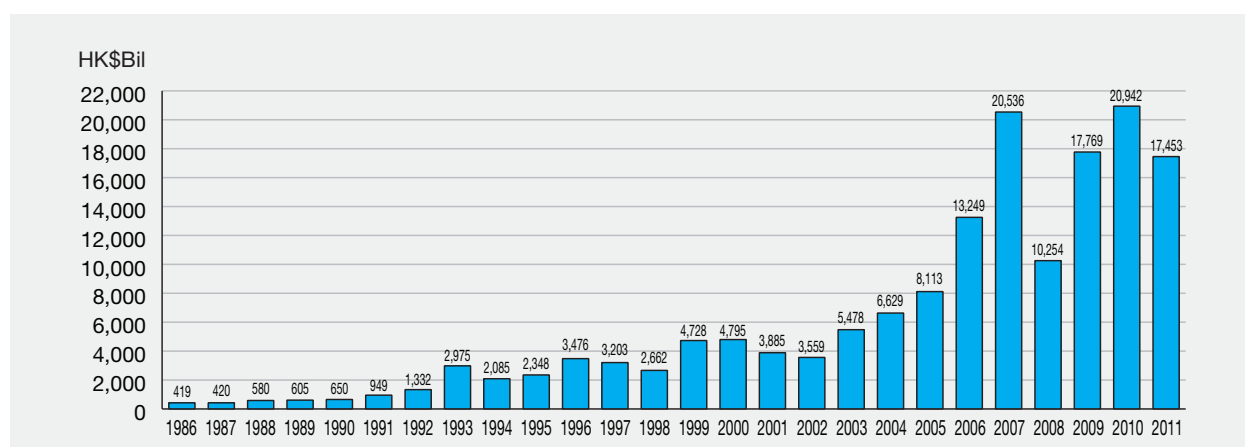
HK\$mil

Industry Classification *	2007		2008		2009		2010		2011	
Energy	1,523,448.41	(7.42%)	711,766.42	(6.94%)	1,290,804.22	(7.26%)	1,687,281.21	(8.06%)	1,368,530.62	(7.84%)
Materials	598,670.13	(2.92%)	183,433.26	(1.79%)	538,691.51	(3.03%)	688,304.03	(3.29%)	457,345.49	(2.62%)
Industrial Goods	330,940.14	(1.61%)	129,609.65	(1.26%)	269,968.50	(1.52%)	462,485.44	(2.21%)	277,170.93	(1.59%)
Consumer Goods	1,387,461.40	(6.76%)	663,188.02	(6.47%)	1,647,187.20	(9.27%)	2,215,851.46	(10.58%)	2,004,405.29	(11.48%)
Services	1,474,541.63	(7.18%)	595,533.38	(5.81%)	1,190,542.81	(6.70%)	1,695,676.46	(8.10%)	1,605,705.74	(9.20%)
Telecommunications	3,367,585.51	(16.40%)	1,876,211.11	(18.30%)	1,803,635.55	(10.15%)	1,942,463.26	(9.28%)	2,070,928.00	(11.87%)
Utilities	612,592.51	(2.98%)	435,659.96	(4.25%)	640,495.20	(3.60%)	716,469.80	(3.42%)	742,408.36	(4.25%)
Financials	6,415,908.93	(31.24%)	3,571,864.13	(34.84%)	6,123,556.56	(34.46%)	6,885,627.90	(32.88%)	5,331,304.00	(30.55%)
Properties & Construction	2,925,418.69	(14.24%)	1,275,142.72	(12.44%)	2,465,706.38	(13.88%)	2,647,533.65	(12.64%)	2,016,954.32	(11.56%)
Information Technology	658,891.60	(3.21%)	249,332.16	(2.43%)	835,241.90	(4.70%)	827,871.04	(3.95%)	650,437.54	(3.73%)
Conglomerates	1,241,003.87	(6.04%)	561,847.93	(5.48%)	963,441.58	(5.42%)	1,172,720.14	(5.60%)	927,476.78	(5.31%)
<b>Equity total</b>	<b>20,536,462.82</b>	<b>(100.00%)</b>	<b>10,253,588.75</b>	<b>(100.00%)</b>	<b>17,769,271.41</b>	<b>(100.00%)</b>	<b>20,942,284.39</b>	<b>(100.00%)</b>	<b>17,452,667.08</b>	<b>(100.00%)</b>

 ( ) % of equity market total  
 Year-end figures

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## Market capitalisation, 1986 – 2011



## 50 leading companies in market capitalisation

End of 2011

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of equity total
1	00941	China Mobile Ltd.	20,071,205,686	75.900	1,523,404.51	8.73
2	00939	China Construction Bank Corporation – H Shares	240,417,319,880	5.420	1,303,061.87	7.47
3	00005	HSBC Holdings plc	17,867,832,758	59.000	1,054,202.13	6.04
4	00883	CNOOC Ltd.	44,659,180,984	13.580	606,471.68	3.47
5	02888	Standard Chartered PLC	2,381,531,186	169.900	404,622.15	2.32
6	01398	Industrial and Commercial Bank of China Ltd. – H Shares	86,794,044,550	4.610	400,120.55	2.29
7	00762	China Unicom (Hong Kong) Ltd.	23,564,901,919	16.340	385,050.50	2.21
8	00805	Glencore International plc *	6,922,713,511	47.650		329,867.30 N.A.
9	01299	ALA Group Ltd.	12,044,000,001	24.250	292,067.00	1.67
10	00700	Tencent Holdings Ltd.	1,839,814,008	156.100	287,194.97	1.65
11	00013	Hutchison Whampoa Ltd.	4,263,370,780	65.050	277,332.27	1.59
12	00016	Sun Hung Kai Properties Ltd.	2,570,039,181	97.350	250,193.31	1.43
13	03988	Bank of China Ltd. – H Shares	83,622,276,395	2.860	239,159.71	1.37
14	00001	Cheung Kong (Holdings) Ltd.	2,316,164,338	92.400	214,013.58	1.23
15	00857	PetroChina Co. Ltd. – H Shares	21,098,900,000	9.670	204,026.36	1.17
16	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	18.400	194,539.16	1.11
17	02378	Prudential plc *	2,548,039,330	74.000		188,554.91 N.A.
18	01928	Sands China Ltd.	8,049,234,809	21.950	176,680.70	1.01
19	00011	Hang Seng Bank Ltd.	1,911,842,736	92.150	176,176.31	1.01
20	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	3,129,732,456	51.200	160,242.30	0.92
21	00002	CLP Holdings Ltd.	2,406,143,400	66.050	158,925.77	0.91
22	03328	Bank of Communications Co., Ltd. – H Shares	29,176,552,192	5.430	158,428.68	0.91
23	00945	Manulife Financial Corporation *	1,800,990,216	80.900		145,700.11 N.A.
24	00066	MTR Corporation Ltd.	5,784,797,750	25.150	145,487.66	0.83
25	02628	China Life Insurance Co. Ltd. – H Shares	7,441,175,000	19.200	142,870.56	0.82
26	00003	Hong Kong and China Gas Co. Ltd., The	7,900,554,136	18.000	142,209.97	0.81
27	00019	Swire Pacific Ltd. 'A'	905,578,500 "A"	93.750	139,590.70	0.80
	00087	Swire Pacific Ltd. 'B'	2,995,220,000 "B"	18.260		
28	01929	Chow Tai Fook Jewellery Group Ltd.	10,000,000,000	13.920	139,200.00	0.80
29	00386	China Petroleum & Chemical Corporation – H Shares	16,780,488,000	8.170	137,096.59	0.79
30	00388	Hong Kong Exchanges and Clearing Ltd.	1,079,906,640	124.100	134,016.41	0.77
31	00322	Tingyi (Cayman Islands) Holding Corp.	5,590,113,360	23.600	131,926.68	0.76
32	00006	Power Assets Holdings Ltd.	2,134,261,654	57.450	122,613.33	0.70
33	06210	Vale S.A. – DR	259,242,052	168.000		122,246.86 N.A.
	06230	Vale S.A. Class A Preferred – DR	393,470,993	200.000		
34	00494	Li & Fung Ltd.	8,103,518,706	14.380	116,528.60	0.67
35	01088	China Shenhua Energy Co. Ltd. – H Shares	3,398,582,500	33.700	114,532.23	0.66
36	01880	Belle International Holdings Ltd.	8,434,233,000	13.540	114,199.51	0.65
37	01038	Cheung Kong Infrastructure Holdings Ltd.	2,338,709,945	45.500	106,411.30	0.61
38	00004	Wharf (Holdings) Ltd., The	3,029,247,327	35.100	106,326.58	0.61
39	00688	China Overseas Land & Investment Ltd.	8,172,519,077	12.980	106,079.30	0.61
40	01288	Agricultural Bank of China Ltd. – H Shares	30,738,823,096	3.340	102,667.67	0.59
41	00151	Want Want China Holdings Ltd.	13,219,816,466	7.750	102,453.58	0.59
42	01128	Wynn Macau, Ltd.	5,187,550,000	19.500	101,157.23	0.58
43	00101	Hang Lung Properties Ltd.	4,472,770,045	22.100	98,848.22	0.57
44	06808	Sun Art Retail Group Ltd.	9,539,704,700	9.710	92,630.53	0.53
45	00012	Henderson Land Development Co. Ltd.	2,368,905,035	38.600	91,439.73	0.52
46	01913	PRADA S.p.A.	2,558,824,000	35.150	89,942.66	0.52
47	01044	Hengan International Group Co. Ltd.	1,229,007,721	72.650	89,287.41	0.51
48	00135	Kunlun Energy Co. Ltd.	7,168,908,303	11.060	79,288.13	0.45
49	00486	United Company RUSAL Plc *	15,193,014,862	4.920		74,749.63 N.A.
50	01109	China Resources Land Ltd.	5,826,468,790	12.480	72,714.33	0.42
Total					11,285,432.44	64.66
Equity total					17,452,667.08	100.00

\* Foreign companies not solely listed in Hong Kong

## 20 Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% up
			2010	2011	
1	02312	CH FIN LEASING	0.025 A	0.180	620.00
2	01164	CGN MINING	0.230	0.960	317.39
3	00955	SCHRAMM	23.800	77.200	224.37
4	00169	HENGLI COMM PPT	0.150	0.400	166.67
5	00343	CULTURECOM HOLD	0.450 A	0.910	102.22
6	00081	CH OVS G OCEANS	3.607 A	6.800	88.52
7	00794	COME SURE GROUP	0.940	1.720	82.98
8	00315	SMARTONE TELE	7.440 A	13.440	80.65
9	00072	MODERN MEDIA	1.720	3.100	80.23
10	01026	UNIVERSAL TECH	0.275	0.475	72.73
11	00721	C FIN INT INV	0.290	0.500	72.41
12	03823	TECH PRO	1.760	2.970	68.75
13	00027	GALAXY ENT	8.800	14.240	61.82
14	00997	DECCA HOLDINGS	1.500	2.420	61.33
15	00776	JF FURNISHINGS	1.620	2.610	61.11
16	00812	TANRICH	0.850	1.350	58.82
17	00612	CHINA INV FUND	0.230 A	0.365	58.70
18	03335	DBA TELECOM	1.550	2.320	49.68
19	00458	TRISTATE HOLD	2.670	3.950	47.94
20	00475	NOBLE JEWELRY	1.140	1.680	47.37

(A) Adjusted

## 20 Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK\$)		% down
			2010	2011	
1	01142	SIBERIAN MINING	3.640 A	0.245	93.27
2	00329	DRAGONITE INT'L	0.455	0.032	92.97
3	00986	CH ENV ENERGY	1.220 A	0.091	92.54
4	00943	EFORCE HOLDINGS	2.525 A	0.205	91.88
5	01063	SUNCORP TECH	3.510 A	0.300	91.45
6	00736	CHINA PPT INV	2.550 A	0.250	90.20
7	00279	FREEMAN FIN	0.345	0.034	90.14
8	01003	21 HOLDINGS	0.678 A	0.067	90.12
9	01141	BJ YUSHENGTANG	0.335	0.034	89.85
10	00692	BAO YUAN HOLD	1.760 A	0.180	89.77
11	00630	GUOJIN RES	0.275	0.033	88.00
12	01227	NATIONAL INV	0.395	0.051	87.09
13	00910	CH G FOREST-NEW	4.960 A	0.730	85.28
14	00362	C ZENITH CHEM	2.550 A	0.380	85.10
15	00166	NEWTIMES ENERGY	3.780 A	0.590	84.39
16	00209	CH TYCOON BEV	0.980	0.154	84.29
17	01049	NET2GATHER	0.750	0.121	83.87
18	00273	WILLIE INT'L	1.155 A	0.195	83.12
19	01163	DEJIN RES	0.385	0.066	82.86
20	00263	CH YUNNAN TIN	0.149	0.026	82.55

(A) Adjusted

## Trading value and volume, 2007 – 2011

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2007	246	21,506,271.44	87,423.87	22,913,217.30	93,143.16	148,314,844	602,906	
2008	245	17,600,713.87	71,839.65	26,942,745.92	109,970.39	139,999,774	571,428	
2009	249	15,439,486.88	62,005.97	24,497,626.62	98,384.04	175,535,322	704,961	
2010	249	17,076,412.14	68,579.97	34,544,258.75	138,731.96	190,573,054	765,354	
2011	246	17,091,116.87	69,476.08	39,621,773.27	161,064.12	213,131,902	866,390	
2011	Jan	21	1,568,289.95	74,680.47	3,408,459.89	162,307.61	16,628,882	791,852
	Feb	18	1,303,370.85	72,409.49	2,914,852.68	161,936.26	14,407,743	800,430
	Mar	23	1,812,559.94	78,806.95	3,775,741.34	164,162.67	21,374,114	929,309
	Apr	18	1,425,885.60	79,215.87	2,849,504.00	158,305.78	16,994,793	944,155
	May	20	1,292,147.97	64,607.40	2,796,097.56	139,804.88	16,266,639	813,332
	Jun	21	1,457,802.91	69,419.19	3,526,994.88	167,952.14	17,585,057	837,384
	Jul	20	1,333,997.60	66,699.88	3,272,027.36	163,601.37	15,806,728	790,336
	Aug	23	1,807,922.78	78,605.34	3,738,736.35	162,553.75	22,475,073	977,177
	Sep	20	1,420,446.39	71,022.32	3,373,611.24	168,680.56	18,688,495	934,425
	Oct	20	1,426,780.12	71,339.01	3,419,684.21	170,984.21	20,698,140	1,034,907
	Nov	22	1,316,216.13	59,828.01	3,824,219.52	173,828.16	18,649,726	847,715
	Dec	20	925,696.63	46,284.83	2,721,844.23	136,092.21	13,556,512	677,826

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

## Trading value and volume of foreign stocks, 2007 – 2011

Year/month	No. of trading days	Value (HK\$thousand)		Volume (thousands of shares)		Deals		
		Total	Average daily	Total	Average daily	Total	Average daily	
2007	246	30,049,198.60	122,151.21	12,317,524.56	50,071.24	559,837	2,276	
2008	245	8,204,773.31	33,488.87	7,758,151.62	31,665.92	226,221	923	
2009	249	20,794,410.39	83,511.69	13,883,841.21	55,758.40	509,350	2,046	
2010	249	67,631,825.87	271,613.76	12,690,451.79	50,965.67	1,099,805	4,417	
2011	246	90,230,353.65	366,790.06	12,176,657.29	49,498.61	1,852,961	7,532	
2011	Jan	21	5,669,889.45	269,994.74	970,484.43	46,213.54	93,351	4,445
	Feb	18	5,234,480.78	290,804.49	651,649.38	36,202.74	92,490	5,138
	Mar	23	7,125,788.52	309,816.89	1,639,028.98	71,262.13	155,417	6,757
	Apr	18	4,572,250.57	254,013.92	1,166,895.62	64,827.53	110,505	6,139
	May	20	5,562,136.88	278,106.84	625,859.36	31,292.97	110,398	5,520
	Jun	21	14,405,310.15	685,967.15	976,561.21	46,502.91	174,647	8,317
	Jul	20	9,024,579.31	451,228.97	711,097.66	35,554.88	168,949	8,447
	Aug	23	12,376,522.31	538,109.67	1,146,318.85	49,839.95	264,248	11,489
	Sep	20	7,612,005.06	380,600.25	755,185.02	37,759.25	173,629	8,681
	Oct	20	7,028,145.58	351,407.28	1,751,007.39	87,550.37	183,647	9,182
	Nov	22	6,785,617.06	308,437.14	1,259,938.07	57,269.91	176,975	8,044
	Dec	20	4,833,627.98	241,681.40	522,631.32	26,131.57	148,705	7,435

Notes: Foreign stocks are stocks of listed companies incorporated overseas which have a core business outside Hong Kong and China.

## Trading value and volume of depositary receipts, 2011

Year/Month	No. of trading days	No. of listed companies	No. of issues	Value (HK\$thousand)		Volume (thousands of shares)		Deals	
				Total	Average daily	Total	Average daily	Total	Average daily
2010	18	1	2	119,437.92	6,635.44	460.90	25.61	1,220	68
2011	246	3	4	571,802.35	2,324.40	4,681.30	19.03	6,106	25
2011	Jan	21	1	61,512.59	2,929.17	223.83	10.66	418	20
	Feb	18	1	32,680.44	1,815.58	121.77	6.77	407	23
	Mar	23	1	45,110.72	1,961.34	178.52	7.76	607	26
	Apr	18	1	247,791.44	13,766.19	2,812.78	156.27	1,854	103
	May	20	2	66,153.42	3,307.67	540.55	27.03	895	45
	Jun	21	2	17,231.80	820.56	123.70	5.89	228	11
	Jul	20	2	24,384.85	1,219.24	112.85	5.64	197	10
	Aug	23	2	30,862.93	1,341.87	151.30	6.58	513	22
	Sep	20	2	7,350.95	367.55	41.46	2.07	168	8
	Oct	20	2	11,451.27	572.56	73.40	3.67	279	14
	Nov	22	2	7,547.10	343.05	60.44	2.75	136	6
	Dec	20	3	19,724.84	986.24	240.70	12.04	404	20

Note: The first HDRs, which are the Depositary Receipts on the Common Shares and Class A Preferred Shares of Vale S.A., commenced trading on 8 December 2010



## Trading value by type of securities, 2011

Month	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
	Mil of shares	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil	HK\$mil
Jan	264,201.18	1,083,260.00	306,056.15	0.00	122,137.06	56,835.93	0.80	0.00	1,568,289.95
Feb	187,397.69	917,429.53	224,923.84	0.00	107,789.70	53,227.53	0.25	0.00	1,303,370.85
Mar	296,199.86	1,314,479.55	298,848.39	0.00	137,170.29	62,060.80	0.92	0.00	1,812,559.94
Apr	290,840.16	1,062,537.14	210,019.50	0.00	102,933.04	50,395.58	0.34	0.00	1,425,885.60
May	254,936.70	959,085.16	191,585.91	0.00	95,643.70	45,833.05	0.15	0.00	1,292,147.97
Jun	208,557.39	1,047,527.68	235,145.45	0.00	127,252.52	47,877.15	0.11	0.00	1,457,802.91
Jul	186,986.61	957,903.63	210,817.03	0.00	123,701.80	41,415.92	159.22	0.00	1,333,997.60
Aug	229,221.80	1,304,480.84	246,986.93	0.00	184,287.25	71,803.83	363.94	0.00	1,807,922.78
Sep	181,332.91	960,271.46	196,369.96	0.00	210,158.40	53,558.63	87.95	0.00	1,420,446.39
Oct	217,511.71	960,273.44	179,536.03	0.00	241,086.46	45,784.19	100.00	0.00	1,426,780.12
Nov	208,332.66	838,313.94	195,576.24	0.00	240,430.62	41,827.39	67.94	0.00	1,316,216.13
Dec	142,364.86	600,446.69	134,944.81	0.00	159,545.30	30,698.19	61.65	0.00	925,696.63
<b>Total</b>	<b>2,667,883.54</b>	<b>12,006,009.05</b>	<b>2,630,810.22</b>	<b>0.00</b>	<b>1,852,136.14</b>	<b>601,318.19</b>	<b>843.27</b>	<b>0.00</b>	<b>17,091,116.87</b>

Notes:

1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

## Trading value by Hang Seng Industry Classification System, 2011

Industry Classification *	No. of issue #	Equity turnover		
		HK\$mil	% of total	Annual turnover velocity (%)
Energy	58	1,252,569.12	10.43	91.53
Materials	121	616,069.30	5.13	134.71
Industrial Goods	111	473,194.31	3.94	170.72
Consumer Goods	352	1,395,065.62	11.62	69.60
Services	203	1,004,733.12	8.37	62.57
Telecommunications	16	662,085.66	5.51	31.97
Utilities	41	399,111.13	3.32	53.76
Financials	105	3,565,872.47	29.70	66.89
Properties & Construction	199	1,490,277.04	12.41	73.89
Information Technology	99	591,426.60	4.93	90.93
Conglomerates	21	555,604.18	4.63	59.90
<b>Sub-total</b>	<b>1,326</b>	<b>12,006,008.53</b>	<b>100.00</b>	<b>68.79</b>
NASDAQ stocks	7	0.52	0.00	n.a.
<b>Equity total</b>	<b>1,333</b>	<b>12,006,009.05</b>	<b>100.00</b>	<b>n.a.</b>

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.

\* Year-end figures

\* Industry Classification is provided by Hang Seng Indexes Company Limited.

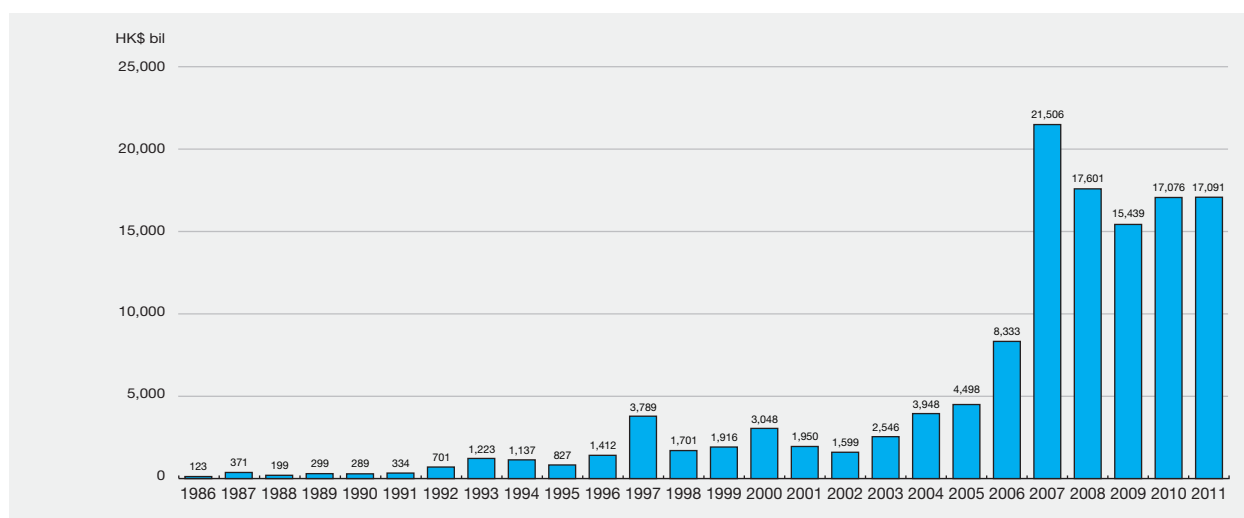
**20 most active stocks (in dollars)**

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of equity total
1	00939	CCB	510,823.09	4.25
2	00005	HSBC HOLDINGS	471,397.05	3.93
3	00941	CHINA MOBILE	400,418.20	3.34
4	01398	ICBC	399,802.58	3.33
5	03988	BANK OF CHINA	315,298.96	2.63
6	00883	CNOOC	294,509.85	2.45
7	02628	CHINA LIFE	267,753.11	2.23
8	00013	HUTCHISON	258,661.69	2.15
9	00700	TENCENT	253,598.08	2.11
10	00857	PETROCHINA	236,923.85	1.97
11	01299	AIA	225,340.27	1.88
12	00388	HKEX	212,180.90	1.77
13	02318	PING AN	211,366.85	1.76
14	00386	SINOPEC CORP	174,653.38	1.45
15	01288	ABC	172,433.39	1.44
16	01088	CHINA SHENHUA	153,426.22	1.28
17	03323	CNBM	144,184.58	1.20
18	00016	SHK PPT	138,349.57	1.15
19	00762	CHINA UNICOM	138,057.44	1.15
20	00001	CHEUNG KONG	125,754.41	1.05
Total			5,104,933.50	42.52
Equity total			12,006,009.05	100.00

**20 most active stocks (in shares)**

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	03988	BANK OF CHINA	92,323.26	3.46
2	00939	CCB	85,078.79	3.19
3	01398	ICBC	75,090.88	2.81
4	01041	CH NEW ENGY PWR	55,329.82	2.07
5	00993	SIMSEN INT'L	46,806.91	1.75
6	01288	ABC	45,844.64	1.72
7	01166	SOLARTECH INT'L	41,266.45	1.55
8	00566	APOLLO SOLAR EN	38,926.90	1.46
9	00985	CST MINING	37,500.96	1.41
10	03800	GCL-POLY ENERGY	32,047.86	1.20
11	00981	SMIC	29,180.93	1.09
12	00986	CH ENV ENERGY	26,123.07	0.98
13	00269	CRTG	24,776.23	0.93
14	00767	PACIFIC PLYWOOD	23,800.45	0.89
15	00857	PETROCHINA	22,704.55	0.85
16	00386	SINOPEC CORP	22,619.36	0.85
17	00493	GOME	21,920.75	0.82
18	00067	LUMENA NEWMAT	20,062.57	0.75
19	03333	EVERGRANDE	19,914.71	0.75
20	00736	CHINA PPT INV	19,744.15	0.74
Total			781,063.22	29.28
Equity total			2,667,883.54	100.00

## Trading value, 1986 – 2011



## Market capitalisation and trading value of listed companies by incorporation place

End of 2011

Country	No. of listed companies <sup>(1)</sup>	Market capitalisation (HK\$mil) <sup>(2)</sup>	% of total	Turnover (HK\$mil)	% of equity total
Bermuda	456	1,561,330.92	8.95	1,079,932.97	8.99
Brazil	1	–	–	303.79	0.00
British Virgin Islands	3	10,273.73	0.06	11,921.27	0.10
Canada	3	7,210.18	0.04	12,791.14	0.11
Cayman Islands	504	3,356,813.94	19.23	2,336,367.12	19.46
England	4	1,458,824.28	8.36	505,286.74	4.21
Germany	1	1,536.67	0.01	343.99	0.00
Hong Kong	199	6,811,537.61	39.03	3,304,300.37	27.52
Italy	1	89,942.66	0.52	25,476.54	0.21
Japan	1	–	–	259.93	0.00
Jersey	3	5,541.90	0.03	45,283.21	0.38
Luxembourg	2	40,179.58	0.23	19,463.00	0.16
PRC	139	4,096,659.80	23.47	4,663,359.33	38.84
Singapore	8	12,815.81	0.07	911.05	0.01
USA	1	–	–	8.08	0.00
USA – NASDAQ <sup>(3)</sup>	7	–	–	0.52	0.00
<b>Total</b>	<b>1,326</b>	<b>17,452,667.08</b>	<b>100.00</b>	<b>12,006,009.05</b>	<b>100.00</b>

Notes:

- (1) Total no. of listed companies are excluded the NASDAQ Stocks.
- (2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.
- (3) NASDAQ stocks are quoted on the Exchange for trading only.

# Statistics on Hang Seng Index Stocks, 2011

End of 2011

Sector	Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
<b>Finance (12)</b>									
	00939	CCB	510,823.09	4.25	1,303,061.87	7.47	5.420	-22.24	8.15
	00005	HSBC HOLDINGS	471,397.05	3.93	1,054,202.13	6.04	59.000	-25.97	10.36
	01398	ICBC	399,802.58	3.33	400,120.55	2.29	4.610	-20.38	8.00
	01299	AIA	225,340.27	1.88	292,067.00	1.67	24.250	10.98	13.86
	03988	BANK OF CHINA	315,298.96	2.63	239,159.71	1.37	2.860	-30.24	6.09
	02388	BOC HONG KONG	101,931.26	0.85	194,539.16	1.11	18.400	-30.43	12.01
	00011	HANG SENG BANK	58,393.14	0.49	176,176.31	1.01	92.150	-27.90	11.81
	02318	PING AN	211,366.85	1.76	160,242.30	0.92	51.200	-41.08	18.72
	03328	BANKCOMM	52,555.34	0.44	158,428.68	0.91	5.430	-23.71	6.90
	02628	CHINA LIFE	267,753.11	2.23	142,870.56	0.82	19.200	-39.53	13.58
	00388	HKEX	212,180.90	1.77	134,016.41	0.77	124.100	-29.61	26.52
	00023	BANK OF E ASIA	23,440.83	0.20	61,036.78	0.35	29.400	-9.68	15.28
<b>Utilities (4)</b>									
	00002	CLP HOLDINGS	48,096.44	0.40	158,925.77	0.91	66.050	4.68	15.38
	00003	HK & CHINA GAS	26,556.59	0.22	142,209.97	0.81	18.000	8.08	25.46
	00006	POWER ASSETS	48,552.23	0.40	122,613.33	0.70	57.450	17.24	17.04
	00836	CHINA RES POWER	22,398.54	0.19	71,058.83	0.41	14.980	6.39	14.24
<b>Properties (7)</b>									
	00016	SHK PPT	138,349.57	1.15	250,193.31	1.43	97.350	-24.59	5.20
	00001	CHEUNG KONG	125,754.41	1.05	214,013.58	1.23	92.400	-22.94	8.08
	00688	CHINA OVERSEAS	107,149.85	0.89	106,079.30	0.61	12.980	-9.74	8.57
	00101	HANG LUNG PPT	49,820.40	0.41	98,848.22	0.57	22.100	-39.20	16.64
	00012	HENDERSON LAND	46,168.59	0.38	91,439.73	0.52	38.600	-27.17	5.27
	01109	CHINA RES LAND	46,030.98	0.38	72,714.33	0.42	12.480	-12.11	10.42
	00083	SINO LAND	25,148.62	0.21	65,131.17	0.37	11.060	-16.33	5.91
<b>Commerce &amp; Industry (25)</b>									
	00941	CHINA MOBILE	400,418.20	3.34	1,523,404.51	8.73	75.900	-1.68	10.73
	00883	CNOOC	294,509.85	2.45	606,471.68	3.47	13.580	-26.36	9.37
	00762	CHINA UNICOM	138,057.44	1.15	385,050.50	2.21	16.340	46.94	84.14
	00700	TENCENT	253,598.08	2.11	287,194.97	1.65	156.100	-7.58	29.68
	00013	HUTCHISON	258,661.69	2.15	277,332.27	1.59	65.050	-18.69	13.84
	00857	PETROCHINA	236,923.85	1.97	204,026.36	1.17	9.670	-4.82	10.65
	00066	MTR CORPORATION	19,183.79	0.16	145,487.66	0.83	25.150	-11.13	11.99
	00386	SINOPEC CORP	174,653.38	1.45	137,096.59	0.79	8.170	9.81	8.30
	00322	TINGYI	39,731.61	0.33	131,926.68	0.76	23.600	18.59	35.45
	00494	LI & FUNG	103,122.65	0.86	116,528.60	0.67	14.380	-36.23	25.69
	01088	CHINA SHENHUA	153,426.22	1.28	114,532.23	0.66	33.700	3.37	14.80
	01880	BELLE INT'L	60,892.11	0.51	114,199.51	0.65	13.540	3.04	28.03
	00004	WHARF HOLDINGS	63,258.22	0.53	106,326.58	0.61	35.100	-39.15	2.70
	00151	WANT WANT CHINA	29,459.67	0.25	102,453.58	0.59	7.750	13.80	36.66
	01044	HENGAN INT'L	51,108.80	0.43	89,287.41	0.51	72.650	8.35	36.39
	00019	SWIRE PACIFIC A	60,519.12	0.50	84,897.98	0.49	93.750	-26.64	3.69
	00291	CHINA RESOURCES	30,515.72	0.25	63,944.81	0.37	26.650	-16.33	11.26
	00144	CHINA MER HOLD	37,653.49	0.31	55,797.30	0.32	22.550	-26.55	9.43
	00293	CATHAY PAC AIR	29,572.58	0.25	52,398.81	0.30	13.320	-37.90	3.73
	00267	CITIC PACIFIC	27,116.94	0.23	51,092.22	0.29	14.000	-30.69	5.73
	00017	NEW WORLD DEV	30,155.38	0.25	37,467.27	0.21	6.260	-46.16	2.98
	01898	CHINA COAL	80,413.88	0.67	34,413.84	0.20	8.380	-30.97	12.52
	01199	COSCO PACIFIC	31,563.04	0.26	24,595.88	0.14	9.070	-33.01	8.21
	02600	CHALCO	41,637.49	0.35	13,330.60	0.08	3.380	-52.33	49.56
	00330	ESPRIT HOLDINGS	54,530.27	0.45	12,930.19	0.07	10.020	-72.92	163.46
Total (48)			6,234,993.12	51.93	10,481,337.04	60.06			
Equity total			12,006,009.05	100.00	17,452,667.08	100.00			

Notes:

\* As compared with previous year.

( ) The number of constituent stocks in the blanket.

# Statistics on Hang Seng China Enterprises Index stocks, 2011

End of 2011

Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00939	CGB	510,823.09	4.25	1,303,061.87	7.47	5.420	-22.24	8.15
01398	ICBC	399,802.58	3.33	400,120.55	2.29	4.610	-20.38	8.00
03988	BANK OF CHINA	315,298.96	2.63	239,159.71	1.37	2.860	-30.24	6.09
00857	PETROCHINA	236,923.85	1.97	204,026.36	1.17	9.670	-4.82	10.65
02318	PING AN	211,366.85	1.76	160,242.30	0.92	51.200	-41.08	18.72
03328	BANKCOMM	52,555.34	0.44	158,428.68	0.91	5.430	-23.71	6.90
02628	CHINA LIFE	267,753.11	2.23	142,870.56	0.82	19.200	-39.53	13.58
00386	SINOPEC CORP	174,653.38	1.45	137,096.59	0.79	8.170	9.81	8.30
01088	CHINA SHENHUA	153,426.22	1.28	114,532.23	0.66	33.700	3.37	14.80
01288	ABC	172,433.39	1.44	102,667.67	0.59	3.340	-14.36	8.50
00998	CITIC BANK	43,233.79	0.36	65,035.05	0.37	4.370	-10.23	6.92
03968	CM BANK	99,415.31	0.83	61,394.50	0.35	15.700	-19.98	10.74
00728	CHINA TELECOM	80,162.98	0.67	61,338.15	0.35	4.420	8.60	19.10
02601	CPIC	87,448.17	0.73	51,123.93	0.29	22.100	-31.58	18.68
02328	PICC P&C	64,788.14	0.54	39,916.57	0.23	10.500	-2.20	19.81
00489	DONGFENG GROUP	72,458.69	0.60	38,038.35	0.22	13.320	-0.60	8.80
01898	CHINA COAL	80,413.88	0.67	34,413.84	0.20	8.380	-30.97	12.52
01171	YANZHOU COAL	109,511.77	0.91	32,470.27	0.19	16.580	-30.19	7.40
00914	ANHUI CONCH	113,253.48	0.94	29,955.78	0.17	23.050	-5.14	16.67
00168	TSINGTAO BREW	16,665.14	0.14	28,167.97	0.16	43.000	5.65	32.16
01988	MINSHENG BANK	50,141.69	0.42	27,775.59	0.16	6.730	1.20	8.61
01800	CHINA COMM CONS	34,763.96	0.29	26,874.93	0.15	6.070	-10.74	7.69
00753	AIR CHINA	33,702.33	0.28	26,189.80	0.15	5.740	-34.25	4.69
03323	CNBM	144,184.58	1.20	25,394.30	0.15	8.820	-1.01	11.20
00358	JIANGXI COPPER	97,499.80	0.81	23,281.95	0.13	16.780	-34.32	8.88
02883	CHINA OILFIELD	32,013.29	0.27	18,817.29	0.11	12.260	-27.20	11.23
02899	ZIJIN MINING	38,596.55	0.32	17,543.83	0.10	2.920	-39.26	11.14
00916	CHINA LONGYUAN	19,718.76	0.16	16,454.06	0.09	6.070	-14.63	18.93
02338	WEICHAI POWER	39,421.31	0.33	15,463.36	0.09	38.200	-20.17	7.90
01099	SINOPHARM	22,708.81	0.19	15,456.84	0.09	18.660	-31.14	29.39
00763	ZTE	38,329.44	0.32	15,330.41	0.09	24.350	-5.44	20.98
00902	HUANENG POWER	14,519.36	0.12	14,683.73	0.08	4.130	0.49	12.57
02238	GAC GROUP	18,478.41	0.15	14,342.19	0.08	6.480	-39.55	5.93
01211	BYD COMPANY	33,671.06	0.28	13,355.80	0.08	16.840	-58.78	12.81
02600	CHALCO	41,637.49	0.35	13,330.60	0.08	3.380	-52.33	49.56
01066	WEIGAO GROUP	12,254.68	0.10	13,167.29	0.08	6.990	-36.60	31.74
01157	ZOOMLION	79,333.95	0.66	11,955.04	0.07	8.360	-38.11	9.56
00390	CHINA RAILWAY	21,725.27	0.18	10,223.96	0.06	2.430	-56.68	5.82
01919	CHINA COSCO	31,567.14	0.26	9,857.89	0.06	3.820	-53.64	4.79
01186	CHINA RAIL CONS	19,681.48	0.16	8,886.55	0.05	4.280	-54.27	10.47
		4,086,337.53	34.04	3,742,446.34	21.44			
	Equity total	12,006,009.05	100.00	17,452,667.08	100.00			

\* As compared with previous year.

## Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2011

End of 2011

Stock code	Stock name	Turnover (HK\$mil)	% of equity total	Market capitalisation (HK\$mil)	% of equity total	Closing price (HK\$)	Change* (%)	P/E ratio (times)
00941	CHINA MOBILE	400,418.20	3.34	1,523,404.51	8.73	75.900	-1.68	10.73
00883	CNOOC	294,509.85	2.45	606,471.68	3.47	13.580	-26.36	9.37
00762	CHINA UNICOM	138,057.44	1.15	385,050.50	2.21	16.340	46.94	84.14
00688	CHINA OVERSEAS	107,149.85	0.89	106,079.30	0.61	12.980	-9.74	8.57
00135	KUNLUN ENERGY	39,623.29	0.33	79,288.13	0.45	11.060	-8.29	22.56
01109	CHINA RES LAND	46,030.98	0.38	72,714.33	0.42	12.480	-12.11	10.42
00836	CHINA RES POWER	22,398.54	0.19	71,058.83	0.41	14.980	6.39	14.24
00291	CHINA RESOURCES	30,515.72	0.25	63,944.81	0.37	26.650	-16.33	11.26
00144	CHINA MER HOLD	37,653.49	0.31	55,797.30	0.32	22.550	-26.55	9.43
00992	LENOVO GROUP	48,458.98	0.40	53,494.82	0.31	5.180	4.02	23.42
00392	BEIJING ENT	18,236.27	0.15	53,010.81	0.30	46.600	-3.32	20.08
00267	CITIC PACIFIC	27,116.94	0.23	51,092.22	0.29	14.000	-30.69	5.73
01114	BRILLIANCE CHI	31,099.68	0.26	41,977.68	0.24	8.380	41.32	27.69
01313	CHINARES CEMENT	25,587.77	0.21	37,746.49	0.22	5.790	-1.86	18.50
00270	GUANGDONG INV	9,820.00	0.08	29,357.42	0.17	4.710	17.75	12.10
01199	COSCO PACIFIC	31,563.04	0.26	24,595.88	0.14	9.070	-33.01	8.21
00966	CHINA TAIPING	11,104.24	0.09	24,555.96	0.14	14.400	-39.75	10.91
00606	CHINA AGRI	14,846.39	0.12	23,866.77	0.14	5.910	-32.99	13.68
00363	SHANGHAI IND H	16,890.84	0.14	23,269.37	0.13	21.550	-35.86	4.41
03360	FE HORIZON	8,530.76	0.07	19,697.83	0.11	6.930	N/A	16.31
00297	SINOFERT	12,449.93	0.10	15,309.25	0.09	2.180	-45.77	24.04
00817	FRANSHION PPT	3,949.05	0.03	13,742.23	0.08	1.500	-35.90	8.02
00119	POLY HK INV	19,993.22	0.17	12,160.43	0.07	3.370	-55.66	6.04
03808	SINOTRUK	3,863.21	0.03	11,982.71	0.07	4.340	-45.82	6.81
00123	YUEXIU PROPERTY	7,739.10	0.06	10,398.09	0.06	1.120	-46.15	7.68
		1,407,606.79	11.72	3,410,067.33	19.54			
Equity total		12,006,009.05	100.00	17,452,667.08	100.00			

\* As compared with previous year.

## Statistics on China enterprises (H shares), 2007 – 2011

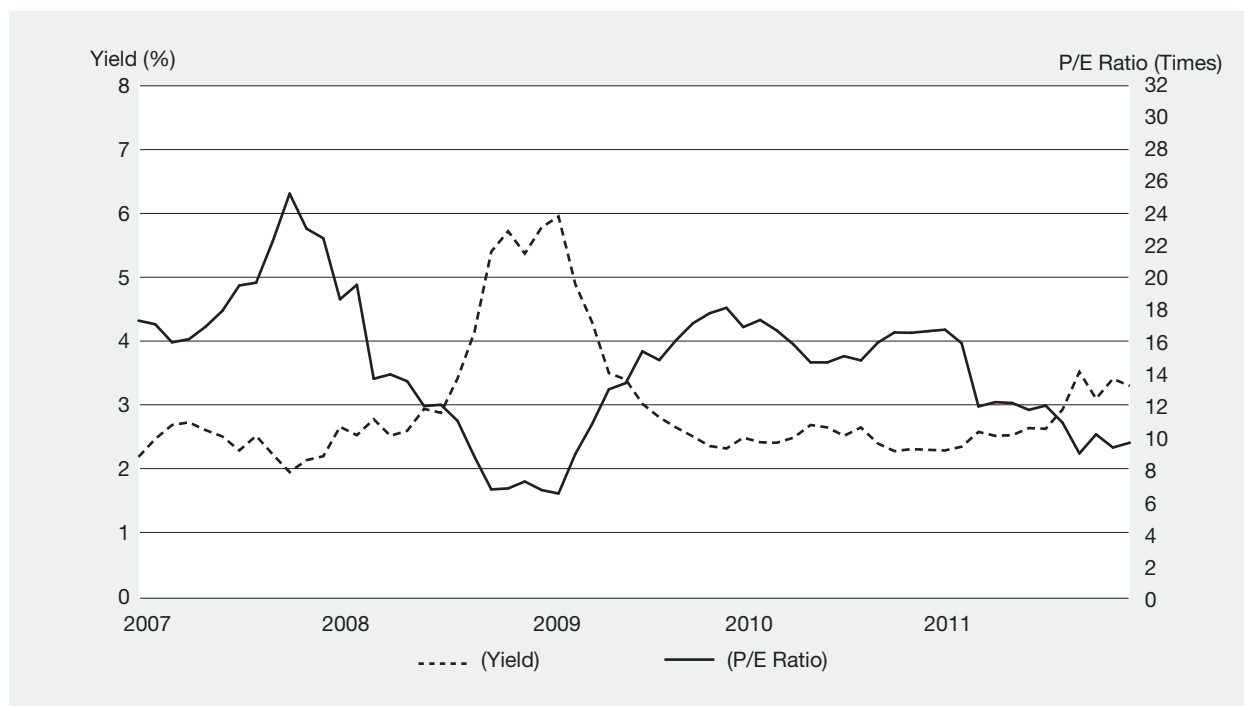
Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2007	104	874,087.85	19.64	7,748,899.57	46.93	5,056,820.09	24.62
2008	110	817,352.32	39.23	6,130,592.75	48.53	2,720,188.76	26.53
2009	116	848,968.80	23.55	5,152,805.63	44.56	4,686,418.75	26.37
2010	128	597,873.58	17.33	4,700,842.42	38.29	5,210,324.73	24.88
2011	139	633,718.06	23.75	4,662,787.32	38.84	4,096,659.80	23.47
2011 Jan	130	45,307.92	17.15	393,857.51	36.36	5,135,508.16	24.33
2011 Feb	130	39,321.81	20.98	330,027.55	35.97	5,108,625.60	24.73
2011 Mar	132	60,638.18	20.47	515,820.11	39.24	5,430,685.58	25.55
2011 Apr	132	46,646.35	16.04	403,432.92	37.97	5,435,903.46	24.93
2011 May	132	39,176.53	15.37	332,301.77	34.65	5,443,186.12	25.00
2011 Jun	134	49,570.89	23.77	390,276.85	37.26	5,093,467.88	24.26
2011 Jul	134	51,818.49	27.71	373,132.59	38.95	4,990,141.59	23.55
2011 Aug	134	74,065.56	32.31	518,040.38	39.71	4,450,164.60	23.01
2011 Sep	134	61,035.31	33.66	384,592.74	40.05	3,596,429.78	22.27
2011 Oct	136	65,156.78	29.96	391,812.79	40.80	4,309,139.83	23.47
2011 Nov	136	59,594.16	28.61	369,507.96	44.08	3,900,659.31	23.08
2011 Dec	139	41,386.07	29.07	259,984.15	43.30	4,096,659.80	23.47

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

## Statistics on red chips stocks, 2007 – 2011

Year/Month	No. of issues	Turnover				Market capitalisation	
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total
2007	89	404,569.51	9.09	2,725,604.54	16.51	5,514,059.49	26.85
2008	89	230,427.06	11.06	2,283,227.61	18.08	2,874,906.69	28.04
2009	92	352,961.37	9.79	1,936,589.39	16.75	3,862,143.29	21.73
2010	97	252,722.57	7.32	1,928,712.11	15.71	4,380,687.29	20.92
2011	102	175,882.10	6.59	1,699,518.79	14.16	3,999,091.91	22.91
2011 Jan	97	15,556.52	5.89	148,654.79	13.72	4,330,062.45	20.52
2011 Feb	97	12,760.15	6.81	133,776.66	14.58	4,217,227.88	20.41
2011 Mar	98	18,980.78	6.41	177,482.57	13.50	4,400,470.07	20.70
2011 Apr	98	14,912.99	5.13	137,807.96	12.97	4,436,067.14	20.35
2011 May	98	14,293.81	5.61	130,950.27	13.65	4,471,787.83	20.54
2011 Jun	98	13,356.29	6.40	141,296.33	13.49	4,324,893.36	20.60
2011 Jul	98	12,712.23	6.80	133,745.58	13.96	4,420,122.51	20.86
2011 Aug	98	17,724.95	7.73	191,000.02	14.64	4,251,063.74	21.98
2011 Sep	98	15,863.22	8.75	154,121.57	16.05	3,741,885.37	23.17
2011 Oct	98	17,961.11	8.26	147,875.71	15.40	4,019,474.29	21.89
2011 Nov	97	12,634.14	6.06	113,293.53	13.51	3,862,715.38	22.85
2011 Dec	102	9,125.90	6.41	89,513.81	14.91	3,999,091.91	22.91

## Average dividend yields and average price earnings ratios movement, 2007 – 2011



## Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks\*, 2007 – 2011

Year/Month	Average dividend yields (%)			Average price earning ratios (Times)		
	HSI	HSCEI	HSCCI	HSI	HSCEI	HSCCI
2007	2.08	1.04	1.30	21.07	29.88	32.69
2008	4.93	3.80	2.97	8.52	10.50	12.86
2009	2.99	2.20	2.77	19.57	19.88	15.82
2010	2.65	2.23	1.74	17.06	16.90	19.63
2011	3.53	3.20	2.29	10.16	9.56	12.82
2011 Jan	2.60	2.25	1.74	17.37	16.73	19.55
2011 Feb	2.62	2.26	1.81	17.15	16.65	18.82
2011 Mar	2.85	2.41	2.00	12.58	12.94	15.19
2011 Apr	2.83	2.42	1.99	12.68	12.65	15.33
2011 May	2.83	2.41	2.00	12.66	12.71	15.18
2011 Jun	2.89	2.53	2.02	12.17	12.08	14.79
2011 Jul	2.89	2.58	1.99	12.19	11.89	15.02
2011 Aug	3.15	2.91	2.11	11.37	10.51	14.19
2011 Sep	3.70	3.56	2.49	9.61	8.57	11.91
2011 Oct	3.28	3.02	2.20	10.82	10.11	13.50
2011 Nov	3.62	3.33	2.33	9.80	9.14	12.73
2011 Dec	3.53	3.20	2.29	10.16	9.56	12.82

\* Month-end or year-end figures



## Average dividend yields of all listed stocks by Hang Seng Industry Classification System\*, 2007 – 2011

(%)

Year/Month	All listed stocks	Energy	Materials	Industrial Goods	Consumer Goods	Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2007	2.21	1.86	0.94	1.39	1.62	2.18	2.29	2.45	2.87	1.80	0.68	2.23
2008	5.38	4.22	3.80	3.80	4.55	6.85	6.64	3.71	6.06	3.53	2.85	5.25
2009	2.33	2.54	0.79	1.00	1.77	1.84	3.95	2.45	2.68	1.69	0.78	2.52
2010	2.31	2.04	1.11	1.05	1.88	1.76	3.40	2.42	2.81	1.98	1.09	2.26
2011	3.31	3.25	2.44	3.31	2.36	3.36	3.42	2.59	3.98	3.23	1.64	3.69
2011 Jan	2.30	2.08	1.20	1.04	1.94	1.70	3.33	2.41	2.83	1.94	1.01	2.19
Feb	2.36	2.08	1.27	1.12	2.06	1.81	3.46	2.39	2.79	2.11	1.04	2.29
Mar	2.59	2.29	1.45	1.84	2.26	2.94	3.54	2.42	2.93	2.23	1.25	2.36
Apr	2.53	2.31	1.45	1.79	2.18	2.70	3.45	2.40	2.88	2.22	1.16	2.35
May	2.54	2.28	1.49	1.95	2.14	2.70	3.39	2.40	2.92	2.23	1.18	2.35
Jun	2.65	2.41	1.59	2.10	2.09	2.77	3.42	2.40	3.16	2.34	1.26	2.46
Jul	2.64	2.47	1.62	2.20	2.13	2.56	3.24	2.31	3.21	2.28	1.32	2.38
Aug	2.94	2.92	1.96	2.79	2.34	2.54	3.32	2.47	3.59	2.56	1.48	3.17
Sep	3.53	3.42	2.64	3.52	2.75	3.23	3.42	2.69	4.35	3.53	1.64	3.90
Oct	3.11	3.02	2.22	2.99	2.43	2.81	3.50	2.58	3.67	2.94	1.46	3.46
Nov	3.42	3.26	2.40	3.31	2.53	3.41	3.48	2.59	4.19	3.35	1.68	3.73
Dec	3.31	3.25	2.44	3.31	2.36	3.36	3.42	2.59	3.98	3.23	1.64	3.69

Note: Month-end or year-end figures

\* Industry Classification is provided by Hang Seng Indexes Company Limited.

## Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System\*, 2007 – 2011

(Times)

Year/Month	All listed stocks	Energy	Materials	Industrial Goods	Consumer Goods	Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2007	22.47	22.01	24.64	17.45	26.43	22.07	36.54	19.87	21.14	18.82	36.08	15.13
2008	7.26	8.61	5.45	5.76	8.91	3.77	9.86	11.55	8.55	5.17	8.89	4.68
2009	18.13	13.93	29.23	23.29	23.87	12.74	10.52	20.37	20.12	20.62	41.71	20.04
2010	16.67	21.01	21.93	28.30	23.31	24.37	13.00	18.73	18.28	9.56	33.42	12.02
2011	9.68	10.42	7.25	7.24	16.02	11.26	13.26	15.86	9.54	5.71	18.49	6.11
2011 Jan	16.76	20.63	21.05	28.51	23.47	25.18	13.29	18.87	18.03	9.73	35.95	12.41
Feb	15.92	20.59	17.73	26.53	22.14	23.52	12.82	17.78	17.33	8.93	34.42	11.82
Mar	11.96	13.97	12.17	13.18	16.68	12.12	12.14	16.92	12.82	7.70	24.61	8.01
Apr	12.22	13.85	12.37	13.33	17.40	13.17	12.50	16.96	12.85	7.86	26.32	8.04
May	12.17	14.06	11.44	12.26	17.71	13.13	12.70	16.92	12.78	7.83	25.04	8.02
Jun	11.74	13.22	10.96	10.79	18.03	13.24	12.61	17.07	11.95	7.58	23.50	7.68
Jul	12.01	12.90	10.72	10.32	17.72	14.22	13.31	17.80	11.78	8.44	22.69	7.97
Aug	10.94	11.65	9.09	8.67	15.97	13.15	13.66	16.82	10.52	7.59	20.45	7.06
Sep	9.02	9.91	6.76	6.87	13.73	10.39	13.26	15.47	8.69	5.28	18.13	5.83
Oct	10.21	11.20	8.05	8.11	15.52	11.97	12.95	15.91	10.30	6.29	20.47	6.52
Nov	9.39	10.41	7.38	7.27	14.92	11.11	13.03	15.87	9.08	5.52	17.98	6.05
Dec	9.68	10.42	7.25	7.24	16.02	11.26	13.26	15.86	9.54	5.71	18.49	6.11

Note: Month-end or year-end figures

\* Industry Classification is provided by Hang Seng Indexes Company Limited.

## Cash dividend payout by Hang Seng Industry Classification System\*, 2007 – 2011

Year/Month	No. of companies declared	No. of companies paid	Energy (HK\$mil)	Materials (HK\$mil)	Industrial Goods (HK\$mil)	Consumer Goods (HK\$mil)	Services (HK\$mil)	Telecommunications (HK\$mil)	Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Construction (HK\$mil)	Information Technology (HK\$mil)	Conglomerates (HK\$mil)	Total dividend payout (HK\$mil)
2007	1,036	626	28,143.32	5,571.33	4,546.86	22,054.18	31,764.16	76,685.32	15,464.62	183,150.07	53,941.83	5,229.02	28,168.72	454,719.42
2008	1,081	648	29,995.81	7,917.68	4,192.89	29,964.40	37,416.40	94,446.35	16,298.39	212,757.30	46,192.55	7,952.63	30,535.10	517,669.50
2009	1,098	577	32,662.50	4,342.12	2,873.49	25,742.58	19,323.80	71,335.07	15,298.83	141,661.94	40,123.59	6,254.33	21,394.52	381,012.77
2010	1,206	684	34,384.65	7,584.88	4,878.70	42,022.06	29,681.69	65,858.59	17,336.18	184,929.76	56,842.08	7,171.14	26,438.18	477,127.90
2011	1,294	776	44,172.04	11,293.82	9,579.80	46,429.11	54,059.34	71,056.09	19,373.54	210,246.61	59,287.70	10,866.65	34,170.02	570,534.72
2011														
Jan	18	7	-	20.38	204.84	1,126.87	676.03	103.74	27.29	10,992.08	146.34	1,130.30	7.69	14,435.56
Feb	116	55	-	-	-	672.45	34.39	-	-	188.65	1,452.45	-	-	2,347.94
Mar	857	525	-	9.00	-	1,265.50	673.85	-	2,213.65	3,981.47	2,584.02	-	394.47	11,121.96
Apr	19	4	-	826.10	124.93	2,236.97	474.25	805.40	-	1,145.21	986.91	-	-	6,599.76
May	57	20	1,030.25	2,145.90	2,214.92	8,559.43	19,027.68	680.59	4,105.09	28,802.56	15,083.55	3,098.12	10,438.35	95,186.43
Jun	244	128	24,948.60	3,024.95	2,838.81	10,250.70	10,296.41	36,222.77	5,385.09	10,888.01	11,809.88	1,689.42	6,742.15	124,096.81
Jul	27	11	22.95	2,176.48	1,668.91	3,703.35	2,510.53	-	1,465.64	112,956.03	7,312.91	1,529.22	1,633.46	134,979.48
Aug	923	299	-	438.04	269.27	2,312.52	1,473.66	340.65	204.68	4,284.72	820.53	1,791.85	-	11,935.91
Sep	69	30	17,917.97	782.47	1,473.77	10,006.04	5,541.39	32,016.81	2,729.35	12,021.18	6,255.16	480.77	5,390.17	94,615.08
Oct	25	7	15.55	685.14	611.01	4,594.94	3,450.46	422.32	1,991.55	21,135.16	3,923.10	437.26	6,984.85	44,251.35
Nov	276	93	-	124.67	52.51	502.30	1,941.67	463.81	-	998.82	4,589.89	392.27	903.02	9,968.97
Dec	29	11	236.73	1,060.70	120.83	1,198.03	7,959.02	-	1,251.19	2,852.71	4,322.96	317.45	1,675.85	20,995.46

Note: Foreign companies excluded

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## Short selling transactions by designated stock, 2011

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
01361	361 DEGREES	34,642,000	156,171,910
00800	A8 DIGITALMUSIC	386,000	750,180
02018	AAC TECH	101,158,000	1,800,124,680
01288	ABC	7,678,020,000	27,975,316,257
02821	ABF PAIF	12,520	12,314,422
03383	AGILE PROPERTY	660,432,000	6,745,828,780
01299	AIA	1,090,298,400	27,195,431,880
00753	AIR CHINA	412,648,000	3,074,099,220
00538	AJISEN (CHINA)	95,405,000	1,126,541,640
01688	ALIBABA	344,699,000	4,196,883,275
00056	ALLIED PPT (HK)	16,000	24,060
00347	ANGANG STEEL	568,764,000	4,786,909,120
00914	ANHUI CONCH	655,662,000	19,911,627,290
00995	ANHUIEXPRESSWAY	12,954,000	74,245,460
02020	ANTA SPORTS	371,144,000	4,268,515,870
03337	ANTON OILFIELD	7,034,000	8,484,780
01104	APAC RESOURCES	1,960,000	640,800
00931	ARTEL GROUP	765,000	226,190
00743	ASIA CEMENT CH	22,351,000	110,971,200
00351	ASIA ENERGY LOG	11,200,000	1,951,930
00522	ASM PACIFIC	57,755,800	5,201,656,175
00105	ASSO INT HOTELS	18,000	312,720
01717	AUSNUTRIA	828,000	2,278,700
00595	AV CONCEPT HOLD	4,000	6,160
00232	AVIC INT'L	178,000	73,320
02357	AVICHINA	117,140,000	476,169,000
03988	BANK OF CHINA	3,278,256,118	10,377,522,809
00023	BANK OF E ASIA	210,601,873	6,053,903,647
03328	BANKCOMM	857,918,120	5,512,259,703
01121	BAOFENGMODERN	14,000	16,040
02355	BAOYE GROUP	224,000	953,660
01338	BAWANG GROUP	92,570,000	168,216,160
02009	BBMG	243,742,500	2,291,622,640
00694	BEIJING AIRPORT	69,784,000	270,073,760
00392	BEIJING ENT	44,002,000	1,840,374,825
00588	BEIJING N STAR	3,366,000	5,420,940
01880	BELLE INT'L	447,152,000	6,489,615,340
00926	BESUNYEN	1,889,000	4,951,190
02299	BILLION IND	98,500	403,210
08035	BINHAI INV	92,000	48,480
00039	BIO-DYNAMIC	132,000	141,180
01112	BIOSTIME	8,372,500	113,274,400
02868	BJ CAPITAL LAND	18,542,000	40,852,680
00371	BJ ENT WATER	100,470,000	210,318,540
02388	BOC HONG KONG	416,452,852	8,802,879,265
01685	BOER POWER	10,530,000	38,610,290
00653	BONJOUR HOLD	32,350,000	43,307,200
01698	BOSHIWA INT'L	75,577,000	297,584,860
03998	BOSIDENG	259,650,000	575,154,260
00933	BRIGHTOIL	76,282,000	149,349,260
01114	BRILLIANCE CHI	620,524,000	4,815,745,220
00024	BURWILL	15,258,000	7,097,108
01211	BYD COMPANY	168,042,500	4,172,428,160
00285	BYD ELECTRONIC	31,251,500	121,793,210
01224	C C LAND	106,619,000	212,200,060
08206	C COMM TELE SER	628,000	1,108,240
00721	C FIN INT INV	180,000	86,950
00591	C HIGHPRECISION	65,742,000	283,643,180
00735	C P NEW ENERGY	29,940,000	15,120,500
01194	C PRECIOUSMETAL	51,262,000	84,607,740
08116	C PUBLIC HEALTH	820,000	68,530
00658	C TRANSMISSION	274,325,000	2,116,993,290
00362	C ZENITH CHEM	290,000	43,540
00043	C.P. POKPHAND	28,126,000	25,122,380
00341	CAFE DE CORAL H	10,796,000	198,783,600
06813	CAPMALLSASIA-S	766,000	5,957,560
00510	CASH FIN SER GP	12,000	5,460
00293	CATHAY PAC AIR	280,847,282	4,690,567,928
00939	CCB	6,996,186,373	40,247,536,222
00661	CDAYENONFER	3,932,000	2,142,630
00832	CENTRAL CHINA	3,555,000	6,662,190
01155	CENTRON TELECOM	40,000	61,480
00355	CENTURY C INT'L	764,000	461,040
00633	CH ALL ACCESS	8,298,000	18,627,060
00569	CH AUTOMATION	19,802,000	82,976,830
00910	CH GRAND FOREST	23,836,000	5,140,060
00773	CH METAL RECYCL	85,528,200	770,817,096
01117	CH MODERN D	30,771,000	65,387,020
08071	CH NETCOMTECH	7,140,000	900,060
01101	CH RONGSHENG	489,889,500	2,322,996,235
02600	CHALCO	1,380,989,324	8,295,825,591
02778	CHAMPION REIT	153,255,000	676,127,220
00092	CHAMPION TECH	13,936,000	2,322,758
01039	CHANGFENG AXLE	1,419,000	3,795,460
00682	CHAODA MODERN	577,150,000	2,116,728,640
00951	CHAOWEI POWER	7,451,000	26,711,590
01812	CHENMING PAPER	10,011,000	48,886,115
00001	CHEUNG KONG	126,125,200	13,174,172,895

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
01380	CHI KINGSTONE	1,155,000	1,426,250
00449	CHIGO HOLDING	43,804,000	26,404,728
00976	CHIHU-TIANDE	5,690,000	34,238,500
00031	CHINA AEROSPACE	15,772,000	13,424,640
00606	CHINA AGRI	215,324,000	1,686,598,790
03883	CHINA AOYUAN	5,248,000	6,728,370
03983	CHINA BLUECHEM	110,884,000	677,342,700
01898	CHINA COAL	614,949,000	6,202,532,100
01800	CHINA COMM CONS	516,466,998	3,191,687,528
01006	CHINA CORN OIL	3,742,000	17,072,330
01919	CHINA COSCO	1,019,874,524	5,869,214,874
03818	CHINA DONGXIANG	502,305,000	1,075,465,560
00670	CHINA EAST AIR	159,510,000	529,915,980
00257	CHINA EB INT'L	129,476,000	386,763,310
00165	CHINA EB LTD	81,402,000	1,107,370,340
01185	CHINA ENERGINE	812,000	385,590
00228	CHINA ENERGY	10,588,000	2,782,444
00506	CHINA FOODS	86,074,000	459,239,440
00930	CHINA FORESTRY	18,740,000	59,216,540
00384	CHINA GAS HOLD	289,270,000	868,632,720
00904	CHINA GREEN	62,469,000	377,278,170
01900	CHINA ITS	36,099,000	78,321,100
02128	CHINA LIANSU	151,290,000	915,160,320
02628	CHINA LIFE	1,223,519,000	27,369,444,340
01234	CHINA LILANG	31,694,000	312,024,260
00916	CHINA LONGYUAN	418,058,000	2,940,127,380
00009	CHINA MANDARIN	18,000	5,130
00144	CHINA MER HOLD	171,104,000	4,718,379,280
00133	CHINA MERCHANTS	82,000	1,106,160
00340	CHINA MINING	1,406,000	210,830
00941	CHINA MOBILE	554,206,575	41,641,900,112
00603	CHINA OIL & GAS	11,240,000	7,410,300
02883	CHINA OILFIELD	151,724,000	2,031,053,460
00581	CHINA ORIENTAL	10,000	31,920
00688	CHINA OVERSEAS	1,577,980,000	22,391,055,600
01093	CHINA PHARMA	76,602,000	248,844,800
02380	CHINA POWER	122,301,000	217,105,460
00866	CHINA QINFA	13,144,000	44,727,860
01186	CHINA RAIL CONS	292,179,000	1,598,832,645
00390	CHINA RAILWAY	832,043,000	2,280,642,600
00769	CHINA RAREEARTH	62,006,000	188,907,040
01193	CHINA RES GAS	54,372,000	599,953,120
01109	CHINA RES LAND	702,370,000	8,554,552,380
00836	CHINA RES POWER	124,735,600	1,739,099,168
00291	CHINA RESOURCES	84,844,000	2,571,646,600
00527	CHINA RUIFENG	4,000	6,480
02198	CHINA SANJIANG	466,000	1,258,240
01966	CHINA SCE PPT	3,856,000	7,455,190
01088	CHINA SHENHUA	466,823,000	16,030,468,163
01138	CHINA SHIP DEV	269,862,000	1,823,175,720
00155	CHINA SOLAR	20,980,000	1,455,550
01055	CHINA SOUTH AIR	501,736,000	2,099,370,660
03838	CHINA STARCH	185,000	102,900
03311	CHINA STATE CON	355,826,000	2,465,409,960
00956	CHINA SUNTIEN	13,913,000	26,921,410
00966	CHINA TAIPING	65,781,600	1,275,894,404
00728	CHINA TELECOM	2,367,180,000	11,219,039,540
00308	CHINA TRAVEL HK	211,650,000	319,867,080
00762	CHINA UNICOM	2,373,009,196	35,518,006,359
00855	CHINA WATER	74,814,000	205,668,380
00182	CHINA WINDPOWER	16,010,000	10,984,600
01333	CHINA ZHONGWANG	79,526,400	276,047,816
00552	CHINACOMSERVICE	70,242,000	321,639,740
02083	CHINAFLOORING	121,000	199,050
02099	CHINAGOLDINTL	104,700	2,865,806
01378	CHINAHONGQIAO	199,500	860,485
08161	CHINALOTSYNERGY	960,000	163,052
01838	CHINAPROPERTIES	3,722,000	8,860,390
01313	CHINARES CEMENT	380,322,000	2,446,972,720
00354	CHINASOFT INT'L	14,700,000	35,376,500
01668	CHINASOUTH CITY	25,132,000	30,022,360
01060	CHINA VISION	10,000	6,800
00893	CHINAVTM MINING	85,731,000	202,526,800
00127	CHINESE EST H	2,208,500	29,106,600
01111	CHONG HING BANK	148,000	3,111,880
01053	CHONGQING IRON	2,870,000	5,604,100
02722	CHONGQING M&E	20,230,000	47,352,380
00116	CHOW SANG SANG	27,627,000	576,887,660
01938	CHU KONG PIPE	4,966,000	14,547,660
00241	CITIC 21CN	6,716,000	6,721,240
00998	CITIC BANK	1,027,520,000	4,716,538,910
01091	CITIC DAMENG	8,365,000	13,097,410
00267	CITIC PACIFIC	245,355,076	3,915,502,602
01205	CITIC RESOURCES	107,742,200	157,448,976
06030	CITIC SEC	37,429,500	524,573,510
01883	CITIC TELECOM	21,465,000	46,497,750
01137	CITY TELECOM	7,759,000	34,345,630
01038	CKI HOLDINGS	47,725,000	2,034,365,150

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
00775	CKLIFE SCIENCES	658,000	401,200
00100	CLEAR MEDIA	39,000	134,500
00002	CLP HOLDINGS	72,789,768	4,962,666,711
03968	CM BANK	925,504,508	14,852,303,537
03993	CMOC	196,706,000	1,148,176,100
00867	CMS	2,237,800	14,585,834
03323	CNBM	1,208,014,000	15,134,683,200
00883	CNOOC	1,514,624,040	23,951,635,160
06388	COACH-DRS-RS	85,900	4,165,065
00906	COFCO PACKAGING	1,733,000	7,230,040
02342	COMBA	73,088,000	549,129,965
00712	COMTEC SOLAR	13,330,000	41,928,500
00517	COSCO INTL HOLD	30,076,000	128,865,360
01199	COSCO PACIFIC	187,979,847	2,383,276,902
01043	COSLIGHT TECH	1,194,000	3,139,760
02228	COSTIN NEW MAT	5,679,000	28,868,650
02007	COUNTRY GARDEN	731,893,000	2,268,214,110
02601	CPIC	236,880,600	6,784,691,496
03618	CQRC BANK	344,503,000	1,555,817,360
08207	CREDIT CHINA	12,796,000	14,339,280
00269	CRTG	140,600,000	54,648,000
02866	CSCL	1,132,317,939	2,754,413,840
00497	CSI PROPERTIES	11,720,000	3,615,190
01766	CSR	769,579,000	4,540,566,820
03898	CSR TIMES ELEC	106,626,000	2,279,250,640
00440	DAH SING	3,088,800	106,625,248
02356	DAH SING BANKING	23,508,000	236,995,840
02880	DALIAN PORT	29,310,000	78,100,300
00271	DAN FORM HOLD	922,000	733,360
00210	DAPHNE INT'L	96,260,000	748,685,520
01007	DAQING DAIRY	12,061,000	24,175,830
00991	DATANG POWER	306,447,398	802,538,393
01798	DATANG RENEW	23,490,000	36,701,290
01828	DCH HOLDINGS	87,102,000	813,433,210
01163	DEJIN RES	39,280,000	8,082,298
00113	DICKSON CONCEPT	1,420,000	7,331,445
01072	DONGFANG ELEC	79,444,600	2,100,912,260
00489	DONGFENG GROUP	655,516,000	8,461,872,160
00895	DONGJIANG ENV	3,600	94,480
00189	DONGYUE GROUP	156,811,000	1,081,756,640
00828	DYNASTY WINES	40,316,000	103,246,520
02368	EAGLE NICE	722,000	1,354,840
01388	EMBRY HOLDINGS	341,000	1,687,100
00163	EMPEROR IHL	9,704,000	14,837,080
00887	EMPEROR WATCH&J	361,340,000	467,963,700
00128	ENM HOLDINGS	4,408,000	3,309,600
02688	ENN ENERGY	33,514,000	874,439,300
01102	ENVIRO ENERGY	32,000	12,160
00689	EPI (HOLDINGS)	9,880,000	531,060
00330	ESPRIT HOLDINGS	426,938,621	7,177,267,208
03333	EVERGRANDE	2,087,576,000	9,657,899,120
00238	EVERGREEN INT	4,346,000	12,406,490
00858	EXTRAWELL PHAR	4,170,000	2,638,100
00052	FAIRWOOD HOLD	14,000	143,260
01777	FANTASIA	15,816,000	18,217,395
00035	FE CONSORT INTL	1,809,000	3,670,010
03360	FE HORIZON	39,924,000	248,420,830
02038	FIH	338,354,000	1,567,440,790
00142	FIRST PACIFIC	130,372,000	992,473,640
00227	FIRST SHANGHAI	544,000	618,640
00038	FIRST TRACTOR	31,590,000	249,271,740
00923	FOOK WOO GROUP	57,124,000	132,890,440
02337	FORTE LAND	16,592,000	56,394,000
00778	FORTUNE REIT	1,871,000	6,739,260
00656	FOSUN INTL	82,789,000	382,794,045
00420	FOUNTAIN SET	1,054,000	1,813,500
00817	FRANSHION PPT	265,070,000	460,818,220
00636	FUBON BK (HK)	1,266,000	6,262,540
00546	FUFENG GROUP	94,433,000	487,550,370
02238	GAC GROUP	372,736,000	3,255,240,700
00027	GALAXY ENT	594,084,344	8,706,653,321
00468	GAPACK	13,765,000	42,148,410
03800	GCL-POLY ENERGY	2,500,833,000	9,056,351,510
00175	GEELY AUTO	1,216,255,000	3,505,641,950
00678	GENTING HK	154,000	504,070
00064	GET NICE	4,262,000	2,137,560
00709	GIORDANO INT'L	68,306,000	383,541,580
00805	GLENCORE-S	619,300	30,183,715
00809	GLOBAL BIO-CHEM	237,402,000	480,785,400
03889	GLOBAL SWEET	29,414,000	48,893,360
00845	GLORIOUS PPT H	303,904,000	523,575,970
00393	GLORIOUS SUN	764,000	2,153,140
03308	GOLDEN EAGLE	221,925,000	4,074,109,710
00801	GOLDEN MEDITECH	20,256,000	25,208,080
00283	GOLDIN PPT	20,000	72,700
00686	GOLDPOLY NEW EN	2,000	2,780
02208	GOLDWIND	102,662,600	1,108,795,384
00493	GOME	2,668,680,000	7,326,525,160

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
02398	GOOD FRIEND	2,932,000	18,923,080
01086	GOODBABY INTL	15,003,000	50,079,730
00041	GREAT EAGLE H	9,677,000	219,139,380
00074	GREAT WALL TECH	7,150,000	25,134,380
02333	GREATWALL MOTOR	345,121,500	4,141,832,460
00094	GREENHEART GP	1,654,000	1,974,400
00270	GUANGDONG INV	177,310,000	794,401,340
01203	GUANGNAN (HOLD)	618,000	1,037,400
00525	GUANGSHEN RAIL	44,776,000	133,621,860
00874	GUANGZHOU PHAR	15,548,000	139,444,080
00317	GUANGZHOU SHIP	2,660,000	29,186,080
00053	GUOCO GROUP	342,000	28,896,050
01788	GUOTAI JUNAN I	12,951,000	46,165,340
00405	GZI REIT	2,922,000	11,320,240
00044	HAECO	224,000	25,543,480
01169	HAIER ELEC	123,793,000	1,025,546,960
00359	HAISHENG JUICE	8,000	8,080
01882	HAITIAN INT'L	21,598,000	176,626,260
00010	HANG LUNG GROUP	57,425,000	2,666,242,800
00101	HANG LUNG PPT	172,328,000	4,928,646,050
00011	HANG SENG BANK	117,364,566	13,050,437,324
00448	HANG TEN GROUP	7,638,000	16,157,720
00667	HANNSTAR BOARD	192,000	155,060
01133	HARBIN ELECTRIC	92,596,000	825,673,800
00051	HARBOUR CENTRE	4,000	46,880
00097	HENDERSON INV	3,318,000	2,331,180
00012	HENDERSON LAND	214,177,970	10,057,040,410
00197	HENG TAI	40,210,000	33,840,975
08046	HENG XIN CHINA	9,192,000	11,208,720
01044	HENGAN INT'L	141,208,500	9,183,433,100
03389	HENGDELI	494,368,000	1,798,794,560
00818	HI SUN TECH	24,843,000	62,433,210
01393	HIDILI INDUSTRY	369,970,000	1,796,129,590
01623	HILONG	1,065,000	1,439,250
00921	HISENSE KELON	1,076,000	1,923,130
00003	HK & CHINA GAS	220,893,000	3,947,423,900
00655	HK CHINESE LTD	702,000	908,540
00045	HK&S HOTELS	5,042,000	58,873,270
00190	HKC (HOLDINGS)	11,573,000	4,181,930
00388	HKEX	219,008,000	32,100,007,440
00480	HKR INT'L	4,772,800	20,698,216
02882	HKRH	6,672,000	4,340,000
01087	HL TECHNOLOGY	551,000	1,127,600
00958	HN RENEWABLES	882,000	1,658,680
02626	HNC	93,930,000	260,864,720
00196	HONGHUA GROUP	9,627,000	9,091,860
00733	HOPEFLUENT	598,000	1,774,680
00054	HOPEWELL HOLD	14,099,500	321,835,465
00737	HOPEWELL INFRA	21,250,000	106,597,385
00754	HOPSON DEV HOLD	109,586,000	780,818,080
02838	HS FXI C25 ETF	4,100	773,900
02828	HS H-SHARE ETF	24,306,000	2,681,684,990
02833	HS HSI ETF	345,400	65,623,900
00005	HSBC HOLDINGS	929,568,077	68,762,296,737
00820	HSBCDRAGON FUND	246,000	1,735,635
00404	HSIN CHONG CONS	74,000	87,480
00587	HUA HAN	12,156,000	26,334,560
00336	HUABAO INTL	575,919,000	3,642,998,470
01071	HUADIAN POWER	24,560,228	36,051,728
00902	HUANENG POWER	463,831,644	1,858,113,966
87001	HUI XIAN REIT	735,000	4,202,872
01280	HUIYIN APP	1,068,000	1,800,400
01886	HUIYUAN JUICE	34,677,500	140,902,740
00013	HUTCHISON	328,318,232	26,851,124,282
00215	HUTCHTEL HK	102,310,000	283,299,660
00014	HYSAN DEV	44,327,000	1,456,088,150
00999	I.T	86,276,000	490,657,980
01398	ICBC	3,433,399,036	18,083,213,342
01683	IMM	89,568,500	675,253,165
00596	INSPUR INT'L	2,365,000	1,384,350
01833	INTIME	251,993,000	2,821,295,425
00873	INT'L TAIFENG	4,016,000	15,586,460
00929	IPE GROUP	230,000	318,400
01029	IRC	22,384,000	40,971,320
03010	ISHARES APEX 50	400	16,440
03004	ISHARES APEX S	52,000	2,064,870
02801	ISHARES CHINA	2,737,600	53,025,438
02802	ISHARES EM ASIA	507,600	21,296,070
02836	ISHARES INDIA	19,185,400	350,457,662
00177	JIANGSU EXPRESS	92,186,000	682,794,980
00358	JIANGXI COPPER	479,961,000	10,260,223,860
02362	JINCHUAN INTL	4,698,000	15,061,840
00814	JINGKELONG	203,000	1,959,470
00350	JINGWEI TEXTILE	5,136,000	29,922,380
02006	JINJIANG HOTELS	7,900,000	11,703,380
00179	JOHNSON ELEC H	85,649,500	390,451,535
03336	JU TENG INTL	31,564,000	72,819,820
00173	K. WAH INT'L	70,233,000	202,520,750

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
01638	KAISA GROUP	101,568,000	238,547,420
08203	KAISUN ENERGY	40,000	15,400
00847	KAZAKHMYS-S	17,100	2,850,096
01888	KB LAMINATES	56,090,000	317,134,015
00683	KERRY PPT	48,666,500	1,676,390,925
00280	KING FOOK HOLD	440,000	882,920
00663	KING STONE ENGY	19,588,000	5,671,350
00148	KINGBOARD CHEM	29,526,000	998,990,865
00268	KINGDEE INT'L	187,608,000	666,310,160
01170	KINGMAKER	28,000	36,400
03888	KINGSOFT	27,335,000	123,698,960
01031	KINGSTON FIN	17,554,000	17,047,640
00381	KIU HUNG ENERGY	10,000	2,260
02266	KOSMO HOTELS	201,000	312,580
00034	KOWLOON DEV	7,097,000	65,694,630
00135	KUNLUN ENERGY	161,766,000	1,973,615,820
01813	KWG PROPERTY	292,854,000	1,136,921,625
00746	L & M CHEMICAL	11,142,000	98,622,900
00488	LAI SUN DEV	69,480,000	10,967,121
00503	LANSEN PHARMA	54,000	163,760
00738	LE SAUNDA HOLD	5,446,000	22,155,280
02314	LEE & MAN PAPER	265,804,000	1,092,309,450
00992	LENOVO GROUP	818,692,000	3,930,318,640
00842	LEOCH INT'L	13,798,000	41,706,590
01225	LERADO GROUP	1,462,000	1,624,040
02909	LI & FUNG	43,964,000	751,921,760
00494	LI & FUNG	1,030,242,560	17,190,027,530
02331	LI NING	201,903,100	2,564,801,955
00980	LIANHUA	8,128,000	143,387,470
01212	LIFESTYLE INT'L	33,374,500	680,757,805
02005	LIJUN INT'L	54,305,000	105,346,350
03330	LINGBAO GOLD	7,360,000	39,791,840
00823	LINK REIT	91,468,500	2,384,832,000
00968	LITTLE SHEEP	2,322,000	13,895,220
00558	LK TECH	1,577,500	3,773,950
00973	L'OCCITANE	30,495,500	538,823,846
00960	LONGFOR PPT	359,251,000	3,674,170,780
03339	LONKING	785,947,000	3,380,061,160
00988	LOUDONG GN RES	24,519,000	22,069,890
00590	LUK FOOK HOLD	74,646,000	2,259,090,510
00067	LUMENA NEWMAT	232,440,000	671,300,800
08058	LUOXIN PHARMA	406,000	2,876,180
00323	MAANSHAN IRON	649,790,000	2,117,128,580
01633	MAGIC HOLDINGS	15,139,000	51,067,240
01999	MAN WAH HLDGS	26,191,600	170,772,944
00945	MANULIFE-S	704,300	79,697,885
00848	MAOYE INT'L	96,815,000	259,971,510
00136	MASCOTTE HOLD	14,272,000	6,180,700
01618	MCC	213,286,000	439,709,920
00953	MEIKE INT'L	782,000	1,161,060
00357	MEILAN AIRPORT	20,000	185,990
06883	MELCO CROWN	99,300	2,618,055
00200	MELCO INT'L DEV	249,301,000	1,725,550,510
02319	MENGNIU DAIRY	154,642,000	3,615,076,070
02282	MGM CHINA	75,140,400	907,609,264
00853	MICROPORT	13,218,000	63,188,980
01021	MIDAS HLDGS-S	9,000	40,900
01200	MIDLAND HOLDING	110,950,000	522,337,020
01555	MIE HOLDINGS	31,626,000	74,820,180
01208	MIN RESOURCES	91,092,000	461,441,440
00222	MIN XIN HOLD	30,000	130,700
03828	MING FAI INT'L	3,208,000	8,449,130
00860	MING FUNG JEWEL	20,840,000	17,995,800
00846	MINGFA GROUP	16,447,000	39,181,830
00230	MINMETALS LAND	5,946,000	7,691,080
01988	MINSHENG BANK	1,469,469,500	9,436,749,595
00425	MINTH GROUP	50,146,000	511,927,340
00947	MOBI DEV	60,000	119,960
00975	MONGOL MINING	64,456,000	552,748,710
00276	MONGOLIA ENERGY	-	-
00066	MTR CORPORATION	79,445,848	2,064,067,464
00233	MY MEDICARE	40,820,000	28,011,050
02689	ND PAPER	403,996,000	2,448,284,447
08167	NEO TELEMEDIA	9,948,000	1,126,800
01868	NEO-NEON	4,969,500	11,332,100
00917	NEW WORLD CHINA	53,252,000	118,827,080
00017	NEW WORLD DEV	397,529,448	3,336,935,031
00055	NEWAY GROUP	28,330,000	10,875,000
00166	NEWTIMES ENERGY	6,912,000	1,040,804
01231	NEWTON RES	228,000	214,600
00061	NORTH ASIA RES	1,835,000	1,949,650
01011	NT PHARMA	728,500	927,785
02222	NVC LIGHTING	68,930,000	244,981,130
00825	NWDS CHINA	24,365,000	143,966,280
00659	NWS HOLDINGS	25,265,000	286,738,160
00877	O-NET COMM GP	25,496,000	91,590,740
00316	OIL	40,024,500	2,004,709,850
01132	ORANGE SKY G H	170,000	63,775

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
00398	ORIENTAL WATCH	26,240,000	125,252,640
01174	PACIFIC ANDES	6,970,000	7,552,880
02343	PACIFIC BASIN	193,545,000	836,270,230
00543	PACIFIC ONLINE	159,000	649,160
01382	PACIFIC TEXTILES	4,885,000	23,478,360
03368	PARKSON GROUP	370,752,500	3,937,122,135
00327	PAX GLOBAL	245,000	623,690
00008	PCCW	146,338,000	475,001,960
00331	PCD STORES	57,222,000	105,369,820
00432	PCPD	266,000	372,560
01968	PEAK SPORT	37,821,000	135,357,520
00850	PETROASIAN	6,788,000	3,164,952
00857	PETROCHINA	2,252,046,000	23,478,141,000
02008	PHOENIX TV	27,220,000	77,334,280
02328	PICC P&C	682,119,570	7,466,436,460
00752	PICO FAR EAST	1,446,000	2,195,200
02318	PING AN	425,683,500	28,896,938,763
00119	POLY HK INV	448,641,000	2,415,686,250
00589	PORTS	56,408,000	893,837,515
03813	POU SHENG INT'L	8,733,000	10,629,900
00006	POWER ASSETS	76,146,500	4,398,709,700
01238	POWERLONG	5,380,000	8,830,270
01913	PRADA	76,179,500	2,830,135,910
01259	PRINCE FROG	978,000	1,997,180
00803	PROSPERITY INTL	5,540,000	2,990,600
00808	PROSPERITY REIT	2,788,000	4,550,390
00626	PUBLIC FIN HOLD	450,000	2,022,860
00498	PYI CORP	4,000	1,020
01122	QINGLING MOTORS	23,098,000	54,688,220
03868	QX PAPER	2,101,000	5,881,880
02777	R&F PROPERTIES	420,135,600	4,222,857,660
28358	RB-HWL @EC1105D	202,220,000	20,058,590
02010	REAL NUTRI	56,945,000	268,306,510
00246	REALGOLD MINING	36,776,500	429,381,360
00078	REGAL INT'L	1,250,000	3,869,420
01881	REGAL REIT	2,855,000	5,968,980
01387	RENHE COMM	2,551,026,000	3,317,206,760
00555	REXLOT HOLDINGS	189,775,000	140,278,125
00183	RICHFIELD GP	4,640,000	3,927,680
00313	RICHLY FIELD	2,320,000	467,020
01004	RISING DEV HOLD	4,000	4,000
01997	RMIH	1,239,000	2,676,910
01098	ROAD KING INFRA	1,032,000	6,205,570
01198	ROYALE FURN	3,936,000	11,917,460
00486	RUSAL	82,069,000	748,564,980
00178	SA SA INT'L	228,714,000	1,103,666,760
03938	SAMLING GLOBAL	236,000	106,370
00531	SAMSON HOLDING	1,710,000	2,725,460
01910	SAMSONITE	11,196,300	124,205,619
00482	SANDMARTIN INTL	4,000	10,520
01928	SANDS CHINA LTD	405,622,800	8,391,438,544
00631	SANY INT'L	362,311,000	2,986,695,380
01768	SATERI	13,348,000	62,972,815
02727	SH ELECTRIC	362,354,930	1,516,055,120
00563	SH IND URBAN	9,894,000	23,318,660
02607	SH PHARMA	31,804,200	522,351,426
00568	SHANDONG MOLONG	270,800	2,049,512
00363	SHANGHAI IND H	36,029,000	955,235,590
00338	SHANGHAI PECEM	223,950,000	817,186,620
02345	SHANGHAI PRIME	1,012,000	1,825,960
00755	SHANGHAI ZENDAI	15,000	4,350
00069	SHANGRI-LA ASIA	99,942,000	1,844,286,020
00691	SHANSHUI CEMENT	137,680,000	964,117,950
00218	SHENYIN WANGUO	2,775,000	8,348,650
00152	SHENZHEN INT'L	25,440,000	15,222,597
00604	SHENZHEN INVEST	78,160,000	158,909,500
00548	SHENZHEN EXPRESS	21,006,000	86,659,600
02313	SHENZHOU INTL	7,615,000	74,800,650
00813	SHIMAO PROPERTY	584,915,500	5,222,220,490
02877	SHINWAY PHARM	87,817,000	1,280,689,080
00312	SHIRBLE STORE	1,316,000	1,820,720
00016	SHK PPT	168,433,575	18,024,895,782
00103	SHOUGANG CENT	224,000	162,780
00697	SHOUGANG INT'L	167,392,000	159,108,880
00639	SHOUGANG RES	271,896,000	1,161,895,520
00272	SHUI ON LAND	322,795,500	950,463,635
00242	SHUN TAK HOLD	109,658,000	483,979,140
00107	SICHUAN EXPRESS	20,894,000	79,533,920
00460	SIHUAN PHARM	197,333,000	709,090,870
01863	SIJIA GROUP	2,883,000	10,240,640
00886	SILVER BASE	36,668,000	267,678,610
00171	SILVER GRANT	28,184,000	71,120,280
02000	SIM TECH	83,004,000	85,134,840
01105	SING TAO	400,000	846,020
00750	SINGYES SOLAR	5,117,000	27,169,930
01177	SINO BIOPHARM	124,284,000	313,303,160
00395	SINO DRAGON	1,424,000	1,206,800
00083	SINO LAND	374,596,000	4,516,220,620



## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
00702	SINO OIL & GAS	89,980,000	38,432,550
00476	SINOCOP RES	550,000	460,900
00297	SINOFERT	441,456,000	1,547,185,420
01168	SINOLINK HOLD	3,552,000	2,728,820
01893	SINOMA	218,219,000	948,357,570
00623	SINOMEDIA	434,000	1,176,330
03377	SINO-OCEAN LAND	414,146,000	1,623,731,990
00386	SINOPEC CORP	2,282,138,000	17,702,664,100
00934	SINOPEC KANTONS	326,000	1,509,700
01099	SINOPHARM	163,986,400	3,727,155,792
01020	SINOREF HLDGS	1,780,000	2,506,040
00724	SINO-TECH INT'L	47,150,000	9,589,830
00598	SINOTRANS	21,893,000	39,266,070
00368	SINOTRANS SHIP	33,526,500	82,448,915
03808	SINOTRUK	94,473,000	578,382,575
00346	SINUNIONENERGY	7,020,000	4,061,550
01308	SITC	34,951,000	116,559,330
00880	SJM HOLDINGS	-	-
00751	SKYWORTHDIGITAL	420,908,000	1,847,298,100
00315	SMARTONE TELE	28,342,000	465,482,695
00198	SMI CORP	1,260,000	332,620
00981	SMIC	878,290,000	525,976,885
00983	SOCAM DEV	1,886,000	19,008,460
00410	SOHO CHINA	356,312,500	2,164,752,805
00757	SOLARGIGA	16,580,000	32,160,960
00967	SOUND GLOBAL	966,000	3,999,830
00878	SOUNDWILL HOLD	230,000	1,974,760
01878	SOUTHGOBI-S	917,300	66,816,550
00970	SPARKLE ROLL	83,040,000	103,596,760
02840	SPDR GOLD TRUST	183,110	242,017,240
00337	SPG LAND	2,486,000	7,062,150
01700	SPRINGLAND	7,877,000	47,370,400
01207	SRE GROUP	11,668,000	6,285,970
02888	STANCHART	22,793,400	4,395,231,880
01836	STELLA HOLDINGS	2,366,000	44,057,110
00086	SUN HUNG KAI CO	1,129,000	5,670,150
00547	SUN INNOVATION	5,210,000	1,276,070
08029	SUN INT'L	215,000	323,550
00580	SUN.KING ELEC	1,410,000	1,459,820
01918	SUNAC	6,500,000	14,938,710
06808	SUNART RETAIL	194,000,000	1,874,269,825
08008	SUNEVISION	4,194,000	4,148,880
00435	SUNLIGHT REIT	1,511,000	3,321,080
02382	SUNNY OPTICAL	2,494,000	6,109,390
02002	SUNSHINE PAPER	767,000	1,681,635
01228	SUPERB SUMMIT	10,000	3,700
00723	SUSTAIN FOREST	8,610,000	2,299,804
00019	SWIRE PACIFIC A	71,585,423	7,169,689,556
00087	SWIRE PACIFIC B	9,085,000	187,483,425
00088	TAI CHEUNG HOLD	650,000	3,674,290
00621	TAUNG GOLD	1,240,000	541,800
00515	TC INTERCONNECT	722,000	2,383,740
01136	TCC INT'L HOLD	50,010,000	191,069,120
00669	TECHTRONIC IND	100,021,000	802,052,265
00700	TENCENT	222,373,200	42,228,592,630
02678	TEXHONG TEXTILE	830,000	2,481,440
00321	TEXWINCA HOLD	19,916,000	178,443,920
00990	THEME INT'L	1,310,000	793,900
00028	TIAN AN	256,000	1,348,780
01600	TIAN LUN GAS	1,500	2,715
01065	TIANJIN CAPITAL	1,502,000	3,807,520
00882	TIANJIN DEV	10,830,000	56,957,660
03382	TIANJINPORT DEV	33,104,000	52,583,180
00819	TIANNENG POWER	39,070,000	145,990,160
01115	TIBET 5100	6,874,000	15,722,430
00322	TINGYI	557,942,000	12,216,058,830
01192	TITAN PETROCHEM	21,580,000	11,160,300
02383	TOM GROUP	312,000	283,760
00258	TOMSON GROUP	316,000	972,820
01666	TONG REN TANG	1,289,000	11,017,080
00698	TONGDA GROUP	2,170,000	1,002,850
00389	TONTINE WINES	22,224,000	36,856,240
03886	TOWN HEALTH	392,000	536,680
01083	TOWNGAS CHINA	5,484,000	22,836,070
00903	TPV TECHNOLOGY	30,686,000	108,528,240
02800	TRACKER FUND	599,817,000	12,765,526,775
00062	TRANSPORT INT'L	158,400	3,363,024
00325	TRAUSON HLDGS	112,000	399,790
00696	TRAVELSKY TECH	16,366,000	77,289,320
02468	TRONY SOLAR	11,890,000	45,019,620
00732	TRULY INT'L	28,894,000	41,999,620
00206	TSC GROUP	203,000	376,220
00417	TSE SUI LUEN	424,000	2,690,440
00168	TSINGTAO BREW	87,096,000	3,628,051,700
00511	TVB	8,071,000	368,313,450
03933	UNITED LAB	118,746,000	1,113,627,600
00467	UNITEDENERGY GP	68,454,000	78,089,620
00220	U-PRESID CHINA	143,752,000	647,605,690

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
06210	VALE COMMON-DRS	11,200	3,059,450
06230	VALE PREF-DRS	1,200	296,840
03081	VALUE GOLD ETF	98,300	4,330,945
00806	VALUE PARTNERS	36,921,000	204,383,930
00710	VARITRONIX INTL	640,000	2,885,570
00539	VICTORY CITY	1,554,000	2,210,420
03331	VINDA INT'L	51,620,000	439,980,170
00345	VITASOY INT'L	3,970,000	23,522,160
08032	VIVA CHINA	616,000	179,052
00422	VMEP HOLDINGS	638,000	1,161,880
00082	VODONE	108,624,000	238,469,720
00303	VTECH HOLDINGS	8,170,609	684,354,394
00159	WAH NAM INT'L	23,064,000	28,073,920
00151	WANT WANT CHINA	1,034,803,000	7,203,722,990
03393	WASION GROUP	32,534,000	131,061,720
02338	WEICHAI POWER	203,414,000	8,600,589,600
01066	WEIGAO GROUP	164,124,000	1,675,666,920
02698	WEIQIAO TEXTILE	39,409,500	197,496,725
00382	WELLING HOLDING	2,662,000	3,765,380
02233	WESTCHINACEMENT	569,824,000	1,488,050,920
00004	WHARF HOLDINGS	189,505,734	9,083,228,442
00020	WHEELLOCK	17,910,000	473,999,380
00302	WING HANG BANK	7,871,000	673,573,900
00289	WING ON CO	11,000	177,900
00369	WING TAI PPT	34,000	110,500
01733	WINSWAY	86,486,000	236,783,970
00570	WINTeam PHARMA	364,000	504,460
00305	WULING MOTORS	680,000	721,200
08277	WUMART	14,338,000	175,068,715
01025	WUMART	20,756,000	348,624,080
08383	WUMART-OLD	1,311,750	24,810,405
01128	WYNN MACAU	527,756,448	11,809,756,760
03049	X DBCSI300	541,563,600	4,422,369,000
03061	X DBCSI300BANK	1,122,000	10,964,680
03025	X DBCSI300CONDI	80,000	827,410
03017	X DBCSI300ENER	24,000	237,462
02844	X DBCSI300FIN	247,600	2,508,860
03057	X DBCSI300HEAL	23,200	348,208
03005	X DBCSI300IND	195,650	1,445,261
03062	X DBCSI300MAT	210,900	1,743,021
02816	X DBCSI300REAL	200	2,128
03063	X DBCSI300TRANS	910,800	3,822,242
03052	X DBCSI300UTIL	1,241,100	5,016,483
03087	X DBFTSEVIET	42,020	9,467,780
03007	X DBFXIC25	10	2,610
03048	X DBMSCIBRAZIL	16,400	737,802
03009	X DBMSCIEM	34,200	887,500
03035	X DBMSCIEMASIA	18,800	520,350
02848	X DBMSCIKOREA	20	8,246
03043	X DBMSCIPACEJ	1,200	43,000
03027	X DBMSCIRUSSIA	109,625	2,814,610
03036	X DBMSCITAIWAN	10,000	1,578,000
03019	X DBMSCIWORLD	12,875	333,437
03015	X DBSPCNXNIFTY	4,060	3,264,617
03001	X ISHARES A DIS	383,600	7,980,680
03050	X ISHARES A ENR	1,600	25,312
02823	X ISHARES A50	3,539,086,500	43,291,060,895
02846	X ISHARESCSI300	2,397,740	70,903,411
02815	X LYXORETF APEJ	110,000	4,087,505
02809	X LYXORETF CMTY	118,750	2,707,500
02810	X LYXORETF IND	249,800	31,377,600
02814	X LYXORETF JPN	8,493,500	63,486,215
02813	X LYXORETF KOR	900	32,220
02826	X LYXORETF NDX	2,100	145,845
02806	X LYXORETF RFEU	8,200	438,530
02803	X LYXORETF RFUS	10,800	483,575
02831	X LYXORETF RUS	159,200	5,609,210
02812	X LYXORETF WLD	120,990	1,141,575
03024	X WISE SSE50ETF	7,800	162,860
02827	X WISECSI300ETF	50,685,400	1,842,830,510
03378	XIAMEN PORT	7,748,000	10,887,180
01899	XINGDA INT'L	95,583,000	565,880,600
00505	XINGYE COPPER	3,297,000	5,035,210
00811	XINHUA WINSHARE	3,074,000	11,980,670
03833	XINXIN MINING	10,922,000	44,239,020
00868	XINYI GLASS	452,170,000	2,692,553,940
02088	XIWANG SUGAR	20,498,000	45,088,200
01368	XTEP INT'L	64,731,000	284,386,670
01171	YANZHOU COAL	635,974,000	14,815,781,108
01230	YASHILI INT'L	24,023,000	39,419,280
00375	YGM TRADING	805,000	15,651,630
02168	YINGDE GASES	73,428,500	540,678,930
00408	YIP'S CHEMICAL	1,084,000	9,135,300
01033	YIZHENG CHEM	83,094,000	268,610,060
02268	YOUYUAN HLDGS	1,204,000	5,166,360
02789	YUANDA CHINA	3,008,000	3,232,220
00551	YUE YUEN IND	54,578,000	1,340,873,645
00123	YUEXIU PROPERTY	262,626,000	387,143,220

## Short selling transactions by designated stock, 2011 (continued)

Stock code	Name	Number of shares*	Value of transactions* (HK\$)
01052	YUEXIUTRANSPORT	17,138,000	64,326,980
01068	YURUN FOOD	434,719,000	9,382,752,060
01628	YUZHOU PPT	702,000	1,714,170
01818	ZHAOJIN MINING	74,268,500	1,411,257,515
00576	ZHEJIANGEXPRESS	94,010,000	538,315,880
01728	ZHENGTONGAUTO	194,416,000	1,618,373,270
00672	ZHONGAN REALEST	985,000	1,592,470
00881	ZHONGSHENG HLDG	115,167,500	1,620,950,340
01176	ZHUGUANG HOLD	790,000	1,317,300
02899	ZIJIN MINING	708,351,397	2,605,167,068
00948	Z-OBEE	616,000	1,180,400
01157	ZOOMLION	537,473,400	7,921,258,482
00763	ZTE	224,550,400	5,588,399,198
<b>Total</b>		<b>124,382,279,891</b>	<b>1,336,040,966,309</b>

\* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

## Monthly short selling transactions, 2011

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK\$)	No. of designated securities recording short selling
Jan	644	6,761,512,867	84,230,046,779	507
Feb	698	6,926,171,767	80,213,767,199	532
Mar	698	9,034,072,758	109,461,608,837	522
Apr	702	7,502,272,896	91,221,684,357	529
May	748	9,455,811,615	103,502,636,564	577
Jun	748	12,120,298,739	133,005,768,508	583
Jul	750	9,541,523,210	96,926,327,652	556
Aug	724	15,097,163,168	167,404,285,446	600
Sep	725	14,667,153,302	145,544,362,302	559
Oct	725	13,300,163,572	132,272,819,526	544
Nov	648	12,381,711,637	115,601,092,675	518
Dec	648	7,594,424,360	76,656,566,464	490
<b>Total</b>		<b>124,382,279,891</b>	<b>1,336,040,966,309</b>	

\* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

## Newly listed companies

### Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
00080	China New Economy Fund Ltd.	Offer for placing Financials – Other Financials	303,000,000 (b)	1.030	312.09	2011/01/06 n.a.	China Everbright Capital Ltd.
01089	Sumpo Food Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Food & Beverages	160,000,000 (a) 300,000,000 (b)	0.680 0.680	108.80 204.00	2011/01/11 85	Kingston Corporate Finance Ltd.
02011	KEE Holdings Co. Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles & Clothing	50,000,000 (a) 65,000,000 (b)	1.330 1.330	66.50 86.45	2011/01/12 160	Shenyin Wanguo Capital (H.K.) Ltd.
01323	Newtree Group Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Household Goods & Electronics	57,000,000 (a) 30,000,000 (b) 103,000,000 (b)	1.950 1.950 1.950	111.15 58.50 200.85	2011/01/13 17.81	CCB International Capital Ltd.
02218 <sup>#</sup>	Yantai North Andre Juice Co. Ltd. – H Shares	Transfer of listing Consumer Goods – Food & Beverages	n.a.	n.a.	n.a.	2011/01/19 + n.a.	n.a.
01075 <sup>#</sup>	Capinfo Co. Ltd. – H Shares	Transfer of listing Information Technology – Software & Services	n.a.	n.a.	n.a.	2011/01/21 + n.a.	n.a.
01143	Telefield International (Holdings) Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	50,000,000 (a) 61,714,000 (b)	1.200 1.200	60.00 74.06	2011/01/27 317	China Merchants Securities (HK) Co., Ltd.
01121	Baofeng Modern International Holdings Co. Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Textiles & Clothing	35,000,000 (a) 100,000,000 (b) 215,000,000 (b)	2.000 2.000 2.000	70.00 200.00 430.00	2011/01/28 9.33	CMB International Capital Ltd.
01057 <sup>#</sup>	Zhejiang Shibao Co. Ltd. – H Shares	Transfer of listing Consumer Goods – Automobiles	n.a.	n.a.	n.a.	2011/03/09 + n.a.	n.a.
01380	China Kingstone Mining Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Materials – Mining	58,000,000 (a) 167,000,000 (b) 442,000,000 (b)	2.250 2.250 2.250	130.50 375.75 994.50	2011/03/18 1.94	Citigroup Global Markets Asia Ltd.
03688	Top Spring International Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	14,346,000 (a) 236,067,500 (b)	6.230 6.230	89.38 1,470.70	2011/03/23 0.57	Macquarie Capital Securities Ltd.
01378	China Hongqiao Group Ltd.	Offer for subscription Offer for placing Materials – Metals	18,023,000 (a) 866,977,000 (b)	7.200 7.200	129.77 6,242.23	2011/03/24 0.2	J.P. Morgan Securities (Asia Pacific) Ltd.
02488 <sup>#</sup>	Launch Tech Co. Ltd. – H Shares	Transfer of listing Consumer Goods – Automobiles	n.a.	n.a.	n.a.	2011/03/28 + n.a.	n.a.
03360	Far East Horizon Ltd.	Offer for subscription Offer for placing Financials – Other Financials	81,600,000 (a) 856,800,000 (b)	6.290 6.290	513.26 5,389.27	2011/03/30 4.86	China International Capital Corporation Hong Kong Securities Ltd. Morgan Stanley Asia Ltd. UBS AG, Hong Kong Branch Daiwa Capital Markets Hong Kong Ltd.
06488	SBI Holdings, Inc. – DR	Offer for subscription Offer for placing Financials – Other Financials	1,697,950 (a) 18,302,050 (b)	80.230 80.230	136.23 1,468.37	2011/04/14 0.97	
01181	Tang Palace (China) Holdings Ltd.	Offer for subscription Offer for placing Services – Hotels & Entertainment	50,000,000 (a) 65,000,000 (b)	1.650 1.650	82.50 107.25	2011/04/19 614.16	ICBC International Capital Ltd
01011	China NT Pharma Group Co. Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Health & Personal Care	35,703,500 (a) 86,553,000 (b) 234,775,500 (b)	4.540 4.540 4.540	162.09 392.95 1,065.88	2011/04/20 5.88	UBS AG, Hong Kong Branch
01623	Hilong Holding Ltd.	Offer for subscription Offer for sale Offer for placing Energy – Oil & Gas	40,000,000 (a) 60,000,000 (b) 360,000,000 (b)	2.600 2.600 2.600	104.00 156.00 936.00	2011/04/21 4.02	Morgan Stanley Asia Ltd.
01106 <sup>#</sup>	Sino Haijing Holdings Ltd.	Transfer of listing Consumer Goods – Household Goods & Electronics	n.a.	n.a.	n.a.	2011/05/12 + n.a.	n.a.
01069 <sup>#</sup>	Jiangchen International Holdings Ltd.	Transfer of listing Consumer Goods – Textiles & Clothing	n.a.	n.a.	n.a.	2011/05/16 + n.a.	n.a.
02789	Yuanda China Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	53,594,000 (a) 1,655,140,000 (b)	1.500 1.500	80.39 2,482.71	2011/05/17 0.36	Deutsche Bank AG, Hong Kong Branch J.P. Morgan Securities (Asia Pacific) Ltd

## Newly listed companies (continued)

## Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor	
02299	Billion Industrial Holdings Ltd.	Offer for subscription	57,475,000 (a)	5.180	297.72	2011/05/18	Merrill Lynch Far East Ltd.	
		Offer for placing	517,275,000 (b)	5.180	2,679.48	1.53	CCB International Capital Ltd.	
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	Consumer Goods – Textiles & Clothing	Offer for subscription	49,816,200 (a)	23.000	1,145.77	2011/05/20	Goldman Sachs (Asia) L.L.C.
		Offer for placing	646,451,000 (b)	23.000	14,868.37	16.06	China International Capital Corporation Deutsche Bank AG, Hong Kong Branch Credit Suisse (Hong Kong) Ltd.	
01150	Milan Station Holdings Ltd.	Consumer Goods – Health & Personal Care	Offer for subscription	81,252,000 (a)	1.670	135.69	2011/05/23	China Merchants Securities (HK) Co., Ltd.
		Offer for sale	54,086,000 (b)	1.670	90.32	2,179.5		
		Offer for placing	51,536,000 (b)	1.670	86.07			
00805	Glencore International plc	Services – Retailers	Offer for subscription	31,250,000 (a)	66.530	2,079.06	2011/05/25	Citigroup Global Markets Asia Ltd.
		Offer for sale	245,868,462 (b)	66.530	16,357.63	3.92	Morgan Stanley Asia Ltd.	
		Offer for placing	891,463,511 (b)	66.530	59,309.07			
02083	China Flooring Holding Co. Ltd.	Materials – Metals	Offer for subscription	37,334,000 (a)	2.950	110.14	2011/05/26	Morgan Stanley Asia Ltd.
		Offer for placing	350,931,000 (b)	2.950	1,035.25	7.58	The Hongkong and Shanghai Banking Corporation Ltd. Standard Chartered Securities (Hong Kong) Ltd.	
02282	MGM China Holdings Ltd.	Consumer Goods – Household Goods & Electronics	Offer for subscription	228,000,000 (a)	15.340	3,497.52	2011/06/03	J.P. Morgan Securities (Asia Pacific) Ltd.
		Offer for sale	59,338,800 (b)	15.340	910.26	20.81	Morgan Stanley Asia Ltd.	
		Offer for placing	532,000,000 (b)	15.340	8,160.88		Merrill Lynch Far East Ltd.	
01822#	Perception Digital Holdings Ltd.	Services – Hotels & Entertainment	Transfer of listing	n.a.	n.a.	n.a.	2011/06/03 + n.a.	
		Consumer Goods – Household Goods & Electronics				n.a.		
03363	Zhengye International Holdings Co. Ltd.	Consumer Goods – Household Goods & Electronics	Offer for subscription	62,500,000 (a)	1.430	89.38	2011/06/03	CMB International Capital Ltd.
		Offer for placing	62,500,000 (b)	1.430	89.38	342		
00935	Dragon Crown Group Holdings Ltd.	Consumer Goods – Household Goods & Electronics	Offer for subscription	82,500,000 (a)	1.100	90.75	2011/06/10	China Everbright Capital Ltd.
		Offer for placing	202,162,000 (b)	1.100	222.38	39.5		
00958	Huaneng Renewables Corporation Ltd. – H Shares	Services – Transportation	Offer for subscription	161,820,000 (a)	2.500	404.55	2011/06/10	Morgan Stanley Asia Ltd.
		Offer for placing	2,485,078,000 (b)	2.500	6,212.70	0.65	China International Capital Corporation Hong Kong Securities Ltd.	
		Utilities					Goldman Sachs (Asia) L.L.C. Macquarie Capital Securities Ltd.	
01910	Samsonite International S.A.	Consumer Goods – Household Goods & Electronics	Offer for subscription	67,123,800 (a)	14.500	973.30	2011/06/16	Goldman Sachs (Asia) L.L.C.
		Offer for sale	574,800,295 (b)	14.500	8,334.60	1.23		
		Offer for placing	53,976,205 (b)	14.500	782.65			
00831#	Convenience Retail Asia Ltd.	Consumer Goods – Household Goods & Electronics	Transfer of listing	n.a.	n.a.	n.a.	2011/06/20 + n.a.	
		Services – Retailers				n.a.		
00871	Xiangyu Dredging Holdings Ltd.	Properties & Construction – Construction	Offer for subscription	20,000,000 (a)	3.190	63.80	2011/06/20	Morgan Stanley Asia Ltd.
		Offer for placing	180,000,000 (b)	3.190	574.20	1.57		
01061#	Essex Bio-Technology Ltd.	Transfer of listing	n.a.	n.a.	n.a.	2011/06/23 + n.a.		
		Consumer Goods – Health & Personal Care				n.a.		
01145	Courage Marine Group Ltd.	Services – Transportation	Introduction	n.a.	n.a.	n.a.	2011/06/24	Haitong International Capital Ltd.
01913	PRADA S.p.A.	Consumer Goods – Textiles, Clothing & Accessories	Offer for subscription	20,778,700 (a)	39.500	820.76	2011/06/24	CLSA Equity Capital Markets Ltd.
		Offer for sale	427,941,000 (b)	39.500	16,903.67	0.5	Goldman Sachs (Asia) L.L.C.	
		Offer for placing	38,045,300 (b)	39.500	1,502.79			
01488	Lee & Man Handbags Holding Ltd.	Consumer Goods – Textiles, Clothing & Accessories	Introduction	n.a.	n.a.	n.a.	2011/06/27	CIMB Securities (HK) Ltd.
00847	Kazakhmys PLC	Materials – Diversified Metals & Minerals	Introduction	n.a.	n.a.	n.a.	2011/06/29	China International Capital Corporation Hong Kong Securities Ltd. Citigroup Global Markets Asia Ltd. J.P. Morgan Securities (Asia Pacific) Ltd.

## Newly listed companies (continued)

## Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor	
01241	Shuanghua Holdings Ltd.	Offer for subscription	16,250,000 (a)	1.160	18.85	2011/06/30	Piper Jaffray Asia Ltd.	
		Offer for placing	146,250,000 (b)	1.160	169.65	1.59		
01115	Tibet 5100 Water Resources Holdings Ltd.	Consumer Goods – Automobiles	Offer for subscription	40,045,000 (a)	3.000	120.14	2011/06/30	J.P. Morgan Securities (Asia Pacific) Ltd.
		Offer for placing	488,138,000 (b)	3.000	1,464.41	0.87	0.87	CCB International Capital Ltd. ICBC International Capital Ltd. CITIC Securities Corporate Finance (HK) Ltd.
01025*	Wumart Stores, Inc. – H Shares	Transfer of listing	n.a.	n.a.	n.a.	2011/06/30 +	n.a.	
		Services – Retailers				n.a.		
01082	Modern Education Group Ltd.	Offer for subscription	34,800,000 (a)	1.300	45.24	2011/07/04	Kingsway Capital Ltd.	
		Offer for placing	81,200,000 (b)	1.300	105.56	26.8	26.8	First Shanghai Capital Ltd.
01231	Newton Resources Ltd.	Services – Support Services	Offer for subscription	100,000,000 (a)	1.750	175.00	2011/07/04	Citigroup Global Markets Asia Ltd.
		Offer for sale	200,000,000 (b)	1.750	350.00	1.32	1.32	Macquarie Capital Securities Ltd.
		Offer for placing	700,000,000 (b)	1.750	1,225.00			Rothschild (Hong Kong) Ltd.
01151	Elec & Eltek International Co. Ltd.	Introduction	n.a.	n.a.	n.a.	2011/07/08	Standard Chartered Securities (Hong Kong) Ltd.	
		Industrial Goods				n.a.		
06828	China Print Power Group Ltd.	Offer for subscription	19,500,000 (a)	1.480	28.86	2011/07/12	Yuanta Securities (Hong Kong) Co. Ltd.	
		Offer for sale	9,000,000 (b)	1.480	13.32	100.9	100.9	VC Capital Ltd.
		Offer for placing	10,500,000 (b)	1.480	15.54			
01165	Shunfeng Photovoltaic International Ltd.	Consumer Goods – Household Goods & Electronics	Offer for subscription	39,000,000 (a)	1.110	43.29	2011/07/13	Macquarie Capital Securities Ltd.
		Offer for placing	351,000,000 (b)	1.110	389.61	4.92	4.92	
02098	Zail Development (Cayman) Holding Co., Ltd.	Industrial Goods	Offer for subscription	52,500,000 (a)	2.890	151.73	2011/07/13	BNP Paribas Capital (Asia Pacific) Ltd.
		Offer for placing	472,500,000 (b)	2.890	1,365.53	1.05	1.05	BOCOM International (Asia) Ltd.
03777	China Fiber Optic Network System Group Ltd.	Properties & Construction – Properties	Offer for subscription	14,660,000 (a)	1.200	17.59	2011/07/14	BOCI Asia Ltd.
		Offer for sale	107,000,000 (b)	1.200	128.40	0.36	0.36	
		Offer for placing	302,640,000 (b)	1.200	363.17			
02123	Golden Shield Holdings (Industrial) Ltd.	Information Technology – IT Hardware	Offer for subscription	97,960,000 (a)	0.700	68.57	2011/07/14	Guotai Junan Capital Ltd.
		Offer for sale	56,516,000 (b)	0.700	39.56	80.2	80.2	
		Offer for placing	90,420,000 (b)	0.700	63.29			
01259	Prince Frog International Holdings Ltd.	Consumer Goods – Textiles, Clothing & Accessories	Offer for subscription	90,000,000 (a)	2.600	234.00	2011/07/15	CCB International Capital Ltd.
		Offer for sale	51,650,000 (b)	2.600	134.29	28.7	28.7	CIMB Securities (HK) Ltd.
		Offer for placing	168,250,000 (b)	2.600	437.45			
06838	Winox Holdings Ltd.	Consumer Goods – Health & Personal Care	Offer for subscription	12,500,000 (a)	1.870	23.38	2011/07/20	Haitong International Capital Ltd.
		Offer for placing	112,500,000 (b)	1.870	210.38	1.8	1.8	
01663	Sino Harbour Property Group Ltd.	Consumer Goods – Textiles, Clothing & Accessories	Offer for subscription	13,486,000 (a)	1.100	14.83	2011/07/22	Kingsway Capital Ltd.
		Offer for placing	286,514,000 (b)	1.100	315.17	0.45	0.45	
01127	1010 Printing Group Ltd.	Properties & Construction – Properties	Offer for subscription	22,465,207 (a)	0.700	15.73	2011/07/25	Investec Capital Asia Ltd.
		Offer for placing	102,534,793 (b)	0.700	71.77	1.73	1.73	
06808	Sun Art Retail Group Ltd.	Consumer Goods – Household Goods & Electronics	Offer for subscription	213,462,000 (a)	7.200	1,536.93	2011/07/27	The Hongkong and Shanghai Banking Corporation Ltd.
		Offer for placing	1,101,963,000 (b)	7.200	7,934.13	42.1	42.1	UBS AG, Hong Kong Branch Citigroup Global Markets Asia Ltd.
01236*	Qianlong Technology International Holdings Ltd.	Services – Retailers	Transfer of listing	n.a.	n.a.	2011/08/29 +	n.a.	
		Information Technology – Software & Services				n.a.		
01028	Hongguo International Holdings Ltd.	Offer for subscription	9,534,000 (a)	2.300	21.93	2011/09/23	Citigroup Global Markets Asia Ltd.	
		Offer for sale	200,000,000 (b)	2.300	460.00	0.19	0.19	DBS Asia Capital Ltd.
		Offer for placing	290,466,000 (b)	2.300	668.07			
06868	Tenfu (Cayman) Holdings Co. Ltd.	Consumer Goods – Textiles, Clothing & Accessories	Offer for subscription	20,862,000 (a)	6.000	125.17	2011/09/26	Credit Suisse (Hong Kong) Ltd.
		Offer for placing	187,758,000 (b)	6.000	1,126.55	1.15	1.15	China International Capital Corporation Hong Kong Securities Ltd. Polaris Securities (Hong Kong) Ltd.

## Newly listed companies (continued)

## Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ rate (times)	Sponsor
01096	Active Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Accessories	30,000,000 (a) 270,000,000 (b)	1.200 1.200	36.00 324.00	2011/09/28 1.07	Guotai Junan Capital Ltd.
01235	Travel Expert (Asia) Enterprises Ltd.	Offer for subscription Offer for placing Services – Hotels, Casinos & Leisure Facilities	30,000,000 (a) 70,000,000 (b)	0.630 0.630	18.90 44.10	2011/09/29 1.54	OSK Capital Hong Kong Ltd.
03788	China Hanking Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Materials – Diversified Metals & Minerals	9,777,000 (a) 129,000,000 (b) 320,223,000 (b)	2.510 2.510 2.510	24.54 323.79 803.76	2011/09/30 0.213	Deutsche Bank AG, Hong Kong Branch Credit Suisse (Hong Kong) Ltd. BNP Paribas Capital (Asia Pacific) Ltd.
06030	CITIC Securities Co. Ltd. – H Shares	Offer for subscription Offer for placing Financials – Other Financials	4,413,000 (a) 1,066,794,000 (b)	13.300 13.300	58.69 14,188.36	2011/10/06 0.0887	CITIC Securities Corporate Finance (HK) Ltd. CCB International Capital Ltd. ICBC International Capital Ltd.
06813	CapitaMalls Asia Ltd.	Introduction Properties & Construction – Properties	n.a.	n.a.	n.a.	2011/10/18 n.a.	China International Capital Corporation Hong Kong Securities Ltd. J.P. Morgan Securities (Asia Pacific) Ltd.
01265#	Tianjin Tianlian Public Utilities Co. Ltd. – H Shares	Transfer of listing Utilities	n.a.	n.a.	n.a.	2011/10/18 + n.a.	n.a.
01206	Technovator International Ltd.	Offer for subscription Offer for placing Services – Support Services	12,200,000 (a) 109,800,000 (b)	1.000 1.000	12.20 109.80	2011/10/27 3.18	Piper Jaffray Asia Ltd.
01152	Fornton Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Accessories	10,400,000 (a) 93,600,000 (b)	0.500 0.500	5.20 46.80	2011/10/28 4.3	Optima Capital Ltd.
01239	Jin Bao Bao Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	5,000,000 (a) 45,000,000 (b)	1.250 1.250	6.25 56.25	2011/11/18 2.903	Cinda International Capital Ltd.
01269	China Vehicle Components Technology Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Automobiles	8,000,000 (a) 72,000,000 (b)	1.400 1.400	11.20 100.80	2011/11/23 4.52	China Everbright Capital Ltd.
00844	Grand Concord International Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Textiles, Clothing & Accessories	10,000,000 (a) 20,000,000 (b) 70,000,000 (b)	0.800 0.800 0.800	8.00 16.00 56.00	2011/11/24 6.98	Celestial Capital Ltd.
06823	HKT Trust and HKT Ltd. – SS	Offer for subscription Offer for placing Telecommunications	24,311,000 (a) 2,029,043,000 (b)	4.530 4.530	110.13 9,191.56	2011/11/29 0.118	China International Capital Corporation Deutsche Bank AG, Hong Kong Branch Goldman Sachs (Asia) L.L.C.
06388	Coach, Inc. –RS	Introduction Consumer Goods – Textiles, Clothing & Accessories	n.a.	n.a.	n.a.	2011/12/01 n.a.	J.P. Morgan Securities (Asia Pacific) Ltd.
01023	Sitoy Group Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Accessories	24,960,000 (a) 227,772,000 (b)	2.950 2.950	73.63 671.93	2011/12/06 4.25	Merrill Lynch Far East Ltd.
06883	Melco Crown Entertainment Ltd.	Introduction Services – Hotels, Casinos & Leisure Facilities	n.a.	n.a.	n.a.	2011/12/07 n.a.	Credit Suisse (Hong Kong) Ltd. Deutsche Bank, AG, Hong Kong Branch
01146	China Outfitters Holdings Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Textiles, Clothing & Accessories	69,156,000 (a) 211,800,000 (b) 436,242,000 (b)	1.640 1.640 1.640	113.42 347.35 715.44	2011/12/09 10.04	UBS AG, Hong Kong Branch
02121	China First Chemical Holdings Ltd.	Offer for subscription Offer for placing Materials – Basic Materials	4,984,000 (a) 197,207,000 (b)	2.700 2.700	13.46 532.46	2011/12/09 0.25	Morgan Stanley Asia Ltd.
01262	China Lifestyle Food and Beverages Group Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Food & Beverages	28,200,000 (a) 56,400,000 (b) 197,400,000 (b)	2.650 2.650 2.650	74.73 149.46 523.11	2011/12/09 1.94	Citigroup Global Markets Asia Ltd.
06880	OTO Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	40,000,000 (a) 40,000,000 (b)	1.580 1.580	63.20 63.20	2011/12/13 242.61	BOCOM International (Asia) Ltd.
01293	Baoxin Auto Group Ltd.	Offer for subscription Offer for sale Offer for placing Consumer Goods – Automobiles	27,954,000 (a) 50,580,000 (b) 300,786,000 (b)	8.500 8.500 8.500	237.61 429.93 2,556.68	2011/12/14 0.74	Morgan Stanley Asia Ltd. J.P. Morgan Securities (Asia Pacific) Ltd.
02133	China Polymetallic Mining Ltd.	Offer for subscription Offer for placing Materials – Diversified Metals & Minerals	9,985,000 (a) 490,015,000 (b)	2.220 2.220	22.17 1,087.83	2011/12/14 0.2	Citigroup Global Markets Asia Ltd.

## Newly listed companies (continued)

### Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
01929	Chow Tai Fook Jewellery Group Ltd.	Offer for subscription	52,500,000 (a)	15.000	787.50	2011/12/15	Goldman Sachs (Asia) L.L.C. The Hongkong And Shanghai Banking Corporation Ltd. J.P. Morgan Securities (Asia Pacific) Ltd.
		Offer for sale	16,062,600 (b)	15.000	240.94	6.62	
		Offer for placing	997,500,000 (b)	15.000	14,962.50		
		Consumer Goods – Textiles, Clothing & Accessories					
01336	New China Life Insurance Co. Ltd. – H Shares	Offer for subscription	9,963,500 (a)	28.500	283.96	2011/12/15	China International Capital Corporation Hong Kong Securities Ltd.
		Offer for placing	351,043,100 (b)	28.500	10,004.73	0.56	
		Financials – Insurance					UBS AG, Hong Kong Branch
02200	Hosa International Ltd.	Offer for subscription	21,508,000 (a)	1.60	34.41	2011/12/16	Merrill Lynch Far East Ltd.
		Offer for placing	378,492,000 (b)	1.60	605.59	0.54	
		Consumer Goods – Textiles, Clothing & Accessories					
01298	Techcomp (Holdings) Ltd.	Introduction	n.a.	n.a.	n.a.	2011/12/21	Oriental Patron Asia Ltd.
		Consumer Goods – Health & Personal Care				n.a.	
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	Offer for subscription	5,390,000 (a)	1.670	9.00	2011/12/22	Goldman Sachs (Asia) L.L.C. UBS A.G., Hong Kong Branch BOCI Asia Ltd.
		Offer for sale	11,770,546 (b)	1.670	19.66	0.047	
		Offer for placing	1,247,735,454 (b)	1.670	2,083.72		
		Utilities					
03778	China Weaving Materials Holdings Ltd.	Offer for subscription	25,000,000 (a)	0.700	17.50	2011/12/22	Guotai Junan Capital Ltd.
		Offer for placing	237,500,000 (b)	0.700	166.25	1.13	
		Consumer Goods – Textiles, Clothing & Accessories					
01252	China Tianrui Group Cement Co. Ltd.	Offer for subscription	673,000 (a)	2.410	1.62	2011/12/23	Deutsche Bank AG, Hong Kong Branch BOCI Asia Ltd.
		Offer for placing	400,227,000 (b)	2.410	964.55	0.0168	
		Properties & Construction – Construction					BOCOM International (Asia) Ltd. CCB International Capital Ltd.
01251	SPT Energy Group Inc.	Offer for subscription	2,026,000 (a)	1.230	2.49	2011/12/23	Morgan Stanley Asia Ltd.
		Offer for placing	332,974,000 (b)	1.230	409.56	0.06	
		Energy – Oil & Gas					
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	Offer for subscription	2,884,000 (a)	2.160	6.23	2011/12/30	China International Capital Corporation Hong Kong Securities Ltd.
		Offer for placing	1,210,886,000 (b)	2.160	2,615.51	0.0139	
		Utilities					UBS AG, Hong Kong Branch
<b>Total</b>					<b>258,455.99</b>		

(a) Hong Kong offers

(b) International offers

\* Industry Classification is provided by Hang Seng Indexes Company Limited.

# Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

+ Effective date of the transfer of listing from GEM to Main Board



## Foreign companies as at the end of 2011

Code	Name	Classification	Incorporation	Listing date
00557	City e-Solutions Ltd.	Services	Cayman Islands	1989/12/29
00575	Regent Pacific Group Ltd.	Materials	Cayman Islands	1997/05/19
00693	Tan Chong International Ltd.	Consumer Goods	Bermuda	1998/07/07
00945	Manulife Financial Corporation	Financials	Canada	1999/09/27
00678	Genting Hong Kong Ltd.	Services	Bermuda	2000/11/30
02317	Vedan International (Holdings) Ltd.	Consumer Goods	Cayman Islands	2003/06/27
03918	NagaCorp Ltd.	Services	Cayman Islands	2006/10/19
03938	Samling Global Ltd.	Materials	Bermuda	2007/03/07
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Consumer Goods	Cayman Islands	2007/12/20
00955	Schramm Holding AG	Industrial Goods	Germany	2009/12/29
00486	United Company RUSAL Plc	Materials	Jersey	2010/01/27
01878	SouthGobi Resources Ltd.	Energy	Canada	2010/01/29
00973	L'Occitane International S.A.	Consumer Goods	Luxembourg	2010/05/07
02378	Prudential plc	Financials	England	2010/05/25
00975	Mongolian Mining Corporation	Energy	Cayman Islands	2010/10/13
06210/06230	Vale S.A. – DR	Materials	Brazil	2010/12/08
06488	SBI Holdings, Inc. – DR	Financials	Japan	2011/04/14
00805	Glencore International plc	Materials	Jersey	2011/05/25
01910	Samsonite International S.A.	Consumer Goods	Luxembourg	2011/06/16
01913	PRADA S.p.A.	Consumer Goods	Italy	2011/06/24
01145	Courage Marine Group Ltd.	Services	Bermuda	2011/06/24
00847	Kazakhmys PLC	Materials	England	2011/06/29
06813	CapitaMalls Asia Ltd.	Properties & Construction	Singapore	2011/10/18
06388	Coach, Inc. -RS	Consumer Goods	USA	2011/12/01

Notes: A listed company would be counted as a foreign company if it is incorporated overseas AND has the core business outside Hong Kong and China, and as a domestic company if otherwise.

## Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
02337	Shanghai Forte Land Co. Ltd. – H Shares	2011/05/06	2011/05/13
00636	Fubon Bank (Hong Kong) Ltd.	2011/05/27	2011/06/08
00304	Peace Mark (Holdings) Ltd.	2008/08/15	2011/07/29
00502	Pan Sino International Holding Ltd.	2007/04/27	2011/09/19
00597	China Resources Microelectronics Ltd.	2011/10/21	2011/11/02
00667	HannStar Board International Holdings Ltd.	2011/11/24	2011/12/09

## Company name changes

Code	Former name	New name	Effective date	Adoption date
00895	Shenzhen Dongjiang Environmental Co. Ltd. – H Shares	Dongjiang Environmental Co. Ltd. – H Shares	2011/01/11	2011/01/13
00067	Lumena Resources Corp.	China Lumena New Materials Corp.	2010/12/08	2011/01/14
00094	Omniconp Ltd.	Greenheart Group Ltd.	2010/12/21	2011/01/19
00646	Yardway Group Ltd.	China Environmental Technology Holdings Ltd.	2010/12/30	2011/02/08
00894	Man Yue International Holdings Ltd.	Man Yue Technology Holdings Ltd.	2011/01/14	2011/02/16
00686	Time Infrastructure Holdings Ltd.	Goldpoly New Energy Holdings Ltd.	2011/01/18	2011/02/17
02362	Macau Investment Holdings Ltd.	Jinchuan Group International Resources Co. Ltd.	2011/02/08	2011/02/18
00006	Hongkong Electric Holdings Ltd.	Power Assets Holdings Ltd.	2011/02/16	2011/02/23
00209	Sewco International Holdings Ltd.	China Tycoon Beverage Holdings Ltd.	2011/01/26	2011/02/25
00307	Tidetime Sun (Group) Ltd.	Up Energy Development Group Ltd.	2011/02/14	2011/03/08
00162	China Golden Development Holdings Ltd.	Century Ginwa Retail Holdings Ltd.	2011/02/28	2011/03/31
00206	TSC Offshore Group Ltd.	TSC Group Holdings Ltd.	2011/03/04	2011/04/13
01229	China Sonangol Resources Enterprise Ltd.	International Resources Enterprise Ltd.	2011/03/16	2011/04/18
01031	Golden Resorts Group Ltd.	Kingston Financial Group Ltd.	2011/04/19	2011/05/06
00986	Nam Hing Holdings Ltd.	China Environmental Energy Investment Ltd.	2011/03/22	2011/05/06
00729	Thunder Sky Battery Ltd.	Sinopoly Battery Ltd.	2011/04/14	2011/05/11
01008	CT Holdings (International) Ltd.	Brilliant Circle Holdings International Ltd.	2011/04/21	2011/05/27
00639	Fushan International Energy Group Ltd.	Shougang Fushan Resources Group Ltd.	2011/05/24	2011/06/03
00102	Arnhold Holdings Ltd.	Summit Ascent Holdings Ltd.	2011/05/16	2011/06/21
00987	Hong Kong Energy (Holdings) Ltd.	China Renewable Energy Investment Ltd.	2011/06/01	2011/06/21
00309	Lo's Enviro-Pro Holdings Ltd.	Xinhua News Media Holdings Ltd.	2011/05/24	2011/06/22
02010	Ruinian International Ltd. *	Real Nutraceutical Group Ltd.	2011/05/06	2011/06/24
00305	Dragon Hill Wuling Automobile Holdings Ltd.	Wuling Motors Holdings Ltd.	2011/06/09	2011/06/27
01052	GZL Transport Ltd.	Yuexiu Transport Infrastructure Ltd.	2011/05/30	2011/06/28
00760	Tomorrow International Holdings Ltd.	Talent Property Group Ltd.	2011/05/26	2011/06/28
00169	Hengli Properties Development (Group) Ltd.	Hengli Commercial Properties (Group) Ltd.	2011/05/10	2011/06/29
01808	Tai-I International Holdings Ltd.	Enterprise Development Holdings Ltd.	2011/05/17	2011/06/29
00578	Dynamic Energy Holdings Ltd.	China CBM Group Ltd.	2011/05/30	2011/06/30
00195	Vitar International Holdings Ltd.	Goodtop Tin International Holdings Ltd.	2011/05/12	2011/07/04
02018	AAC Acoustic Technologies Holdings Inc.	AAC Technologies Holdings Inc.	2011/05/26	2011/07/08
00174	Gemini Property Investments Ltd.	Gemini Investments (Holdings) Ltd.	2011/07/06	2011/07/12
01049	Celestial Asia Securities Holdings Ltd.	Net2Gather (China) Holdings Ltd.	2011/06/03	2011/07/13
00827	Ko Yo Ecological Agrotech (Group) Ltd.	Ko Yo Chemical (Group) Ltd.	2011/06/07	2011/07/15
00605	K.P.I. Co. Ltd.	China Financial Services Holdings Ltd.	2011/07/07	2011/07/20
03928	Zhengzhou Gas Co. Ltd. – H Shares	Zhengzhou China Resources Gas Co. Ltd. – H Shares	2011/06/28	2011/07/22
01007	Global Dairy Holdings Ltd.	Daqing Dairy Holdings Ltd.	2011/06/17	2011/07/25
00455	Yunnan Enterprises Holdings Ltd.	Tianda Holdings Ltd.	2011/07/05	2011/07/26
01133	Harbin Power Equipment Co. Ltd. – H Shares	Harbin Electric Co. Ltd. – H Shares	2011/07/01	2011/08/12
00155	China Solar Energy Holdings Ltd. #	China Solar Energy Holdings Ltd.	2011/08/01	2011/08/19
01041	Fulbond Holdings Ltd.	China New Energy Power Group Ltd.	2011/08/05	2011/09/28
01226	Garron International Ltd.	China Investment and Finance Group Ltd.	2011/08/08	2011/09/28
00290	China Fortune Group Ltd.	China Fortune Financial Group Ltd.	2011/08/22	2011/09/29
00983	Shui On Construction and Materials Ltd. *	SOCAM Development Ltd.	2011/09/09	2011/10/03
00269	China Timber Resources Group Ltd.	China Resources and Transportation Group Ltd.	2011/08/30	2011/10/07
00274	Global Green Tech Group Ltd.	China Billion Resources Ltd.	2011/08/19	2011/10/07
01229	International Resources Enterprise Ltd.	Nan Nan Resources Enterprise Ltd.	2011/09/19	2011/10/10
00188	SW Kingsway Capital Holdings Ltd.	Sunwah Kingsway Capital Holdings Ltd.	2011/09/14	2011/10/17
01157	Changsha Zoomlion Heavy Ind Science & Tech Dev Co., Ltd.-Hsh	Zoomlion Heavy Industry Science and Technology Co., Ltd.-Hsh	2011/09/14	2011/10/26
00621	Wing Hing International (Holdings) Ltd.	Taung Gold International Ltd.	2011/10/14	2011/11/01
00376	Asia TeleMedia Ltd.	ReOrient Group Ltd.	2011/10/25	2011/11/02
00746	Lee & Man Holding Ltd.	Lee & Man Chemical Co. Ltd.	2011/10/18	2011/11/24
00928	Tack Fat Group International Ltd.	Tack Fiori International Group Ltd.	2011/11/23	2011/12/01
00632	Pearl Oriental Innovation Ltd.	Pearl Oriental Oil Ltd.	2011/11/07	2011/12/06
00550	Recruit Holdings Ltd.	Cinderella Media Group Ltd.	2011/11/28	2011/12/22

\* Change of English name only

# Change of Chinese name only

## Investment companies as at the end of 2011

Code	Name	Classification	Incorporation	Listing date
00170	China Assets (Holdings) Ltd.	Financials	Hong Kong	1992/04/15
02324	Capital VC Ltd.	Financials	Cayman Islands	2003/10/27
00721	China Financial International Investments Ltd.	Financials	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Financials	Cayman Islands	2002/10/28
01217	China Innovation Investment Ltd.	Financials	Cayman Islands	2002/08/28
01226	China Investment and Finance Group Ltd.	Financials	Cayman Islands	2002/09/19
00612	China Investments Fund Co. Ltd.	Financials	Cayman Islands	2002/01/02
00133	China Merchants China Direct Investments Ltd.	Financials	Hong Kong	1993/07/22
00080	China New Economy Fund Ltd.	Financials	Cayman Islands	2011/01/06
00339	Earnest Investments Holdings Ltd.	Financials	Bermuda	2000/07/26
01160	Grand Investment International Ltd.	Financials	Bermuda	2004/04/02
00428	Harmony Assets Ltd.	Financials	Cayman Islands	1993/12/14
00356	Incutech Investments Ltd.	Financials	Cayman Islands	2002/06/07
00905	Mastermind Capital Ltd.	Financials	Cayman Islands	1998/07/17
01227	National Investments Fund Ltd.	Financials	Cayman Islands	2002/09/27
01062	New Capital International Investment Ltd.	Financials	Cayman Islands	1994/05/10
01140	OP Financial Investments Ltd.	Financials	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Financials	Bermuda	2002/02/28
00310	Prosperity Investment Holdings Ltd.	Financials	Bermuda	1992/12/21
00901	Radford Capital Investment Ltd.	Financials	Cayman Islands	2002/02/28
00770	Shanghai International Shanghai Growth Investment Ltd.	Financials	Cayman Islands	1993/11/30
00666	SHK Hong Kong Industries Ltd.	Financials	Hong Kong	1990/12/21
00204	Temujin International Investments Ltd.	Financials	Bermuda	2000/12/12
00768	UBA Investments Ltd.	Financials	Cayman Islands	2000/01/18
00913	Unity Investments Holdings Ltd.	Financials	Cayman Islands	1999/10/27

## Secondary listing stocks as at the end of 2011

Code	Name	Classification	Incorporation	Listing date	Primary list
00945	Manulife Financial Corporation	Financials	Canada	1999/09/27	Toronto
01878	SouthGobi Energy Resources Ltd.	Energy	Canada	2010/01/29	Toronto
01021	Midas Holdings Ltd.	Materials	Singapore	2010/10/06	Singapore
06210	Vale S.A. Common – DR	Materials	Brazil	2010/12/08	Brazil
06230	Vale S.A. Class A Preferred – DR	Materials	Brazil	2010/12/08	Brazil
06488	SBI Holdings, Inc. – DR	Financials	Japan	2011/04/14	Japan
00805	Glencore International plc	Materials	Jersey	2011/05/25	London
00847	Kazakhmys PLC	Materials	England	2011/06/29	London
06813	CapitaMalls Asia Ltd.	Properties & Construction	Singapore	2011/10/18	Singapore
06388	Coach, Inc. -RS	Consumer Goods	USA	2011/12/01	USA

## Reorganisations / demergers

Code	Company	Particulars	Proposed date Completed/EGM date
00013	Hutchison Whampoa Ltd. (HWL)	HWL proposed a separate listing of Hutchison Port Holdings Trust (Hutchison Port) by way of a new issue and placing. Shareholders of HWL entitled to participate in the preferential offer of 100 Hutchison Port Units for every 1,000 HWL shares at a price of US\$1.01 per unit. The units of Hutchison Port would be listed on the Singapore Exchange Securities Trading Ltd.	2011/03/07 2011/03/18
02008	Phoenix Satellite Television Holdings Ltd. (Phoenix Satellite)	Phoenix Satellite proposed a separate listing of Phoenix New Media Ltd. (PNM) by way of a new issue and placing. Shareholders of Phoenix Satellite entitled to receive distribution in specie 1 PNM ADS or cash alternative approx. HK\$85.8 for every 10,000 Phoenix Satellite shares. The ADS of PNM would be listed on the New York Stock Exchange.	2010/12/30 2011/05/12
00142	First Pacific Co. Ltd. (First Pacific)	First Pacific proposed a separate listing of PT Salim Ivomas Pratama Tbk (SIMP) by way of a new issue and placing. Shareholders of First Pacific entitled to receive distribution in specie 24 SIMP shares for every 2,000 First Pacific shares (with cash alternative). The shares of SIMP would be listed on the Indonesia Stock Exchange.	2011/02/18 2011/06/09
00746	Lee & Man Chemical Co. Ltd. (Formerly: Lee & Man Holding Ltd.) (Lee & Man)	Lee & Man proposed a separate listing of Lee & Man Handbags Holding Ltd. (L&M Handbags) by way of a new issue and placing. Shareholders of Lee & Man entitled to receive distribution in specie 1 L&M Handbags share or equivalent cash payment for every 1 Lee & Man share. The shares of L&M Handbags would be listed on the Stock Exchange.	2011/05/31 2011/06/13
00550	Cinderella Media Group Ltd. (Formerly: Recruit Holdings Ltd.) (Recruit)	Recruit proposed a separate listing of 1010 Printing Group Ltd. (1010 Printing) by way of a new issue and placing. Shareholders of Recruit entitled to participate in the preferential offer of 236 1010 Printing shares for every 6,000 Recruit shares at a price of \$0.7 per share. The shares of 1010 Printing would be listed on the Stock Exchange.	2011/06/30 2011/07/25
00017	New World Development Co. Ltd. (NWD)	NWD and NWS proposed a separate listing of Newton Resources Ltd. (Newton) by way of a new issue and placing. Shareholders of NWD entitled to participate in the preferential offer of 1 Newton share for every 168 NWD shares. Shareholders of NWS entitled to participate in the preferential offer of 1 Newton share for every 85 NWS shares. The issue price for each Newton share is \$1.75. The shares of Newton would be listed on the Stock Exchange.	2011/06/21
00659	NWS Holdings Ltd.		2011/07/04
01382	Pacific Textiles Holdings Ltd. (Pacific Textiles)	Pacific Textiles proposed a separate listing of Textured Jersey Lanka Ltd. (Textured Jersey) by way of a new issue and placing. The shares of Textured Jersey would be listed on the Colombo Stock Exchange.	2011/04/11 2011/08/09
00008	PCCW Ltd. (PCCW)	PCCW proposed a separate listing of HKT Trust and HKT Ltd. (HKT) by way of a new issue and placing. Shareholders of PCCW entitled to participate in the preferential offer of 1 share stapled unit of HKT for every 35 PCCW shares at a price of \$4.53 per share stapled unit. The shares stapled unit of HKT would be listed on the Stock Exchange.	2011/11/16 2011/10/12

## Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
00636	Fubon Bank (Hong Kong) Ltd.	Cash offer HK\$5.20 for each share	2011/05/27	2011/06/08
00597	China Resources Microelectronics Ltd.	Cash offer HK\$0.48 for each share or 1 CRH (Microelectronics) Ltd. share for each share	2011/10/21	2011/11/02
00667	HannStar Board International Holdings Ltd.	Cash offer HK\$1.25 for each share	2011/11/24	2011/12/09

## Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
00204	Temujin International Investments Ltd.	Temujin International Investments Ltd.	Bermuda	2011/03/14

## Companies under suspension as at the end of 2011

Code	Stock	Last closing price		Date of suspension
		(HK\$)	(Date)	
00761	Bel Global Resources Holdings Ltd.	0.143	(2011/06/30)	2011/07/04
02326	BEP International Holdings Ltd.	0.080	(2008/10/17)	2008/10/20
02309	Birmingham International Holdings Ltd.	0.154	(2011/06/29)	2011/06/30
01182	C Y Foundation Group Ltd.	0.117	(2010/08/30)	2010/08/31
00682	Chaoda Modern Agriculture (Holdings) Ltd.	1.100	(2011/09/26)	2011/09/26
00274	China Billion Resources Ltd.	0.087	(2011/06/28)	2011/06/29
00930	China Forestry Holdings Co., Ltd.	2.950	(2011/01/26)	2011/01/26
00591	China High Precision Automation Group Ltd.	2.740	(2011/10/27)	2011/10/27
00340	China Mining Resources Group Ltd.	0.113	(2011/10/11)	2011/10/11
01094	China Public Procurement Ltd.	0.680	(2010/07/05)	2010/07/05
00648	China Renji Medical Group Ltd.	0.060	(2010/10/18)	2010/10/18
00439	Climax International Co. Ltd.	0.025	(2008/09/22)	2008/09/23
00875	Ever Fortune International Holdings Ltd.	0.043	(2005/04/27)	2005/04/28
00830	Far East Global Group Ltd.	0.590	(2011/11/14)	2011/11/15
00036	Far East Holdings International Ltd.	0.770	(2010/09/03)	2010/09/03
00865	First Mobile Group Holdings Ltd.	0.168	(2009/11/26)	2009/11/27
01076	First Natural Foods Holdings Ltd.	0.295	(2008/12/12)	2008/12/15
00641	Fong's Industries Co. Ltd.	4.890	(2011/06/03)	2011/06/07
00923	Fook Woo Group Holdings Ltd.	1.370	(2011/11/25)	2011/11/28
01175	FU JI Food and Catering Services Holdings Ltd.	7.600	(2009/07/28)	2009/07/29
00115	Grand Field Group Holdings Ltd.	0.120	(2009/03/26)	2009/03/27
00186	Grande Holdings Ltd., The	0.410	(2011/05/27)	2011/05/30
00874	Guangzhou Pharmaceutical Co. Ltd. – H Shares	6.070	(2011/11/04)	2011/11/07
00870	Hang Fung Gold Technology Ltd.	0.650	(2008/09/30)	2008/09/30
00145	Hong Kong Building and Loan Agency Ltd., The	0.051	(2011/12/22)	2011/12/23
00946	Hontex International Holdings Co. Ltd.	2.060	(2010/03/29)	2010/03/30
00356	Incutech Investments Ltd.	0.480	(2008/06/12)	2008/06/13
01159	Karce International Holdings Co. Ltd.	0.240	(2010/09/22)	2010/09/22
01116	Mayer Holdings Ltd.	0.120	(2011/11/21)	2011/11/22
02358	Mitsumaru East Kit (Holdings) Ltd.	0.940	(2008/02/13)	2008/02/14
00462	Natural Dairy (NZ) Holdings Ltd.	2.200	(2010/09/06)	2010/09/07
00456	New City (China) Development Ltd.	0.265	(2003/12/29)	2003/12/30
02339	Norstar Founders Group Ltd.	0.730	(2009/01/19)	2009/01/19
01220	Ocean Grand Holdings Ltd.	1.010	(2006/07/14)	2006/07/17
00334	Proview International Holdings Ltd.	0.202	(2010/08/02)	2010/08/02
00516	Pyxis Group Ltd.	0.170	(2010/07/02)	2010/07/05
03868	Qunxing Paper Holdings Co. Ltd.	2.180	(2011/03/29)	2011/03/30
00246	Real Gold Mining Ltd.	8.860	(2011/05/26)	2011/05/27
00955	Schramm Holding AG	77.200	(2011/10/07)	2011/10/10
00476	Sinocop Resources (Holdings) Ltd.	0.780	(2011/11/01)	2011/11/02
02336	Sunlink International Holdings Ltd.	0.070	(2008/12/01)	2008/12/02
00690	Uni-Bio Science Group Ltd.	0.425	(2010/03/09)	2010/03/09
00627	U-RIGHT International Holdings Ltd.	0.014	(2008/09/17)	2008/09/17
01139	Victory Group Ltd.	0.149	(2006/09/26)	2006/09/27
00607	Warderly International Holdings Ltd.	0.480	(2007/05/11)	2007/05/14
00739	Zhejiang Glass Co. Ltd. – H Shares	2.480	(2010/04/30)	2010/05/03
00909	Zhongda International Holdings Ltd.	0.425	(2011/09/02)	2011/09/05

## Takeovers and mergers

Code	Company	Proposed date Offer closing date
00332	<b>Ngai Lik Industrial Holdings Ltd.</b> Mandatory unconditional cash offer was made by Frontier Global Group Ltd. at a price of HK\$0.0314 for each share	2010/12/13 2011/01/03
00205	<b>SEEC Media Group Ltd.</b> Voluntary conditional cash offer was made by United Home Ltd. at a price of HK\$0.14 for each share, HK\$0.0001 for each option	2010/12/24 2011/01/28
00645	<b>KTP Holdings Ltd.</b> Mandatory unconditional cash offer was made by Star Crown Capital Ltd. at a price of HK\$1.70 for each share	2011/01/26 2011/02/16
00296	<b>Emperor Entertainment Hotel Ltd.</b> Voluntary conditional offer was made by Worthly Strong Investment Ltd., an indirect wholly-owned subsidiary of Emperor International Holdings Ltd. at price of HK\$0.04 and 1 Emperor International Holdings Ltd. share for each share	2011/01/24 2011/02/28
01808	<b>Tai-I International Holdings Ltd.</b> <b>(Name changed to Enterprise Development Holdings Ltd.)</b> Mandatory unconditional cash offer was made by Affluent Start Holdings Investment Ltd. at a price of HK\$0.3925 for each share	2011/02/18 2011/03/11
00102	<b>Arnhold Holdings Ltd.</b> <b>(Name changed to Summit Ascent Holdings Ltd.)</b> Mandatory unconditional cash offer was made by Delight Max Ltd. at a price of HK\$1.1681 for each share, HK\$0.001 for each option	2011/02/28 2011/03/21
02322	<b>Sam Woo Holdings Ltd.</b> Mandatory unconditional cash offer was made by Superb Smart Ltd. at a price of HK\$0.116 for each share	2011/04/06 2011/04/27
02337	<b>Shanghai Forte Land Co. Ltd. – H Shares</b> Voluntary conditional cash offer was made by Fosun International Ltd. at a price of HK\$3.50 for each H share	2011/02/25 2011/05/19
00641	<b>Fong's Industries Co. Ltd.</b> Mandatory unconditional cash offer was made by China Hengtian Group Co, Ltd. at a price of HK\$5.00 for each share	2011/05/13 2011/06/03
00983	<b>Shui On Construction and Materials Ltd.</b> <b>(Name changed to SOCAM Development Ltd.)</b> Voluntary conditional partial cash offer was made by Shui On Co. Ltd. at a price of HK\$12.00 for each share	2011/05/09 2011/06/15
00840	<b>Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs</b> Mandatory unconditional cash offer was made by Long Thrive Holdings Ltd. at a price of HK\$1.20 (equivalent to RMB1.00) for each H share	2011/05/26 2011/06/16
00643	<b>Carry Wealth Holdings Ltd.</b> Mandatory unconditional cash offer was made by Dragon Peace Ltd. at a price of HK\$0.60 for each share	2011/06/07 2011/06/28
00570	<b>Winteam Pharmaceutical Group Ltd.</b> Mandatory unconditional cash offer was made by Profit United Investments Ltd. at a price of HK\$1.125 for each share	2011/06/17 2011/07/08
00776	<b>JF Household Furnishings Ltd.</b> Mandatory unconditional cash offer was made by Bounty Wealth Ltd. at a price of HK\$1.47713 for each share	2011/06/30 2011/07/21
00955	<b>Schramm Holding AG</b> Voluntary conditional cash offer was made by Salvador AG at a price of HK\$78.70 for each share	2011/09/16 2011/10/21
00508	<b>Chevalier Pacific Holdings Ltd.</b> Mandatory unconditional cash offer was made by Wincon Capital Investment Ltd. at a price of HK\$0.18947 for each share	2011/10/06 2011/10/27
00616	<b>Easyknit Enterprises Holdings Ltd.</b> Mandatory unconditional cash offer was made Easyknit International Holdings Ltd. (through Goodco Development Ltd.) by at a price of HK\$0.30 for each share	2011/10/21 2011/11/11
00475	<b>Noble Jewelry Holdings Ltd.</b> Mandatory unconditional cash offer was made by Resources Rich Capital Ltd. at a price of HK\$1.23 for each share	2011/11/28 2011/12/19
00028	<b>Tian An China Investments Co. Ltd.</b> Voluntary conditional partial share exchange offer was made by China Elite Holdings Ltd., a wholly-owned subsidiary of Allied Properties (H.K.) Ltd. to acquire 103,180,000 Tian An offer shares, 4 Allied Properties (H.K.) Ltd. shares exchange for each Tian An offer Share	2011/11/18 2011/12/23

## Share subdivisions and share consolidations

Code	Stock	Particulars	New par value (HK\$)	Effective date
00767	Pacific Plywood Holdings Ltd.	Consolidation 25 into 1	0.025	2011/01/10
01130	China Environmental Resources Group Ltd.	Consolidation 5 into 1	0.050	2011/01/17
00479	CIL Holdings Ltd.	Consolidation 20 into 1	0.010 *	2011/01/19
00895	Dongjiang Environmental Co. Ltd. – H Shares (Formerly: Shenzhen Dongjiang Environmental Co. Ltd. – H Shares)	Consolidation 10 into 1	RMB 1.0000	2011/01/20
00732	Truly International Holdings Ltd.	Split 1 into 5	0.020	2011/01/20
00901	Radford Capital Investment Ltd.	Consolidation 10 into 1	0.100 *	2011/01/24
01222	Wang On Group Ltd.	Consolidation 5 into 1	0.010 *	2011/01/28
00612	China Investment Fund Co. Ltd.	Consolidation 5 into 1	0.050	2011/02/15
00412	Heritage International Holdings Ltd.	Consolidation 10 into 1	0.010 *	2011/02/17
01997	Regent Manner International Holdings Ltd.	Split 1 into 2	0.005	2011/02/28
00692	Bao Yuan Holdings Ltd.	Consolidation 20 into 1	0.020	2011/03/08
00885	Forefront Group Ltd.	Consolidation 10 into 1	0.010 *	2011/03/08
00273	Willie International Holdings Ltd.	Consolidation 5 into 1	0.010 *	2011/03/17
00986	China Environmental Energy Investment Ltd. (Formerly: Nam Hing Holdings Ltd.)	Consolidation 16 into 1	0.001 *	2011/03/21
00275	Hanny Holdings Ltd.	Consolidation 10 into 1	0.010 *	2011/03/22
01031	Kingston Financial Group Ltd. (Formerly: Golden Resorts Group Ltd.)	Consolidation 2 into 1	0.020	2011/03/23
00542	Morning Star Resources Ltd.	Consolidation 10 into 1	0.010 *	2011/04/08
03300	China Glass Holdings Ltd.	Split 1 into 2	0.050	2011/04/18
00326	China Star Entertainment Ltd.	Consolidation 10 into 1	0.010 *	2011/05/09
00307	Up Energy Development Group Ltd. (Formerly: Tidetime Sun (Group) Ltd.)	Consolidation 20 into 1	0.200	2011/05/13
00419	Media China Corporation Ltd.	Consolidation 10 into 1	0.100	2011/05/16
00494	Li & Fung Ltd.	Split 1 into 2	0.013	2011/05/19
00826	Tiangong International Co. Ltd.	Split 1 into 4	USD 0.0025	2011/05/24
00382	Welling Holding Ltd.	Consolidation 5 into 1	0.500	2011/05/27
01166	Solartech International Holdings Ltd.	Consolidation 20 into 1	0.010 *	2011/06/02
00362	China Zenith Chemical Group Ltd.	Consolidation 10 into 1	0.100	2011/06/21
01003	21 Holdings Ltd.	Consolidation 10 into 1	0.010 *	2011/06/22
01041	China New Energy Power Group Ltd. (Formerly: Fulbond Holdings Ltd.)	Consolidation 10 into 1	USD 0.0010 *	2011/06/22
00689	EPI (Holdings) Ltd.	Consolidation 10 into 1	0.100	2011/06/23
00663	King Stone Energy Group Ltd.	Consolidation 20 into 1	0.100 *	2011/06/27
01106	Sino Haijing Holdings Ltd.	Split 1 into 2	0.025	2011/06/28
00986	China Environmental Energy Investment Ltd. (Formerly: Nam Hing Holdings Ltd.)	Consolidation 10 into 1	0.010	2011/06/30
01223	Symphony Holdings Ltd.	Consolidation 2 into 1	0.100 *	2011/07/26
00149	China Agri-Products Exchange Ltd.	Consolidation 10 into 1	0.010 *	2011/08/02
00928	Tack Fiori International Group Ltd. (Formerly: Tack Fat Group International Ltd.)	Consolidation 10 into 1	0.100	2011/08/05
00376	ReOrient Group Ltd. (Formerly: Asia TeleMedia Ltd.)	Consolidation 50 into 1	0.010 *	2011/08/10
00332	Ngai Lik Industrial Holdings Ltd.	Consolidation 10 into 1	0.100	2011/09/05
00736	China Properties Investment Holdings Ltd.	Consolidation 30 into 1	0.030	2011/09/14
01063	Suncorp Technologies Ltd.	Consolidation 30 into 1	0.003	2011/09/15
02349	China Water Property Group Ltd.	Consolidation 10 into 1	0.100	2011/09/19
00091	New Smart Energy Group Ltd.	Consolidation 2 into 1	0.080	2011/09/19
01129	China Water Industry Group Ltd.	Consolidation 10 into 1	1.000	2011/09/27
01142	Siberian Mining Group Co. Ltd.	Consolidation 20 into 1	0.200	2011/10/03
00692	Bao Yuan Holdings Ltd.	Consolidation 20 into 1	0.001 *	2011/10/07
00572	China Packaging Group Co. Ltd.	Consolidation 8 into 1	0.001 *	2011/10/12
02700	Smart Union Group (Holdings) Ltd.	Consolidation 20 into 3	0.010 *	2011/11/01
00943	eForce Holdings Ltd.	Consolidation 25 into 1	0.001 *	2011/11/09
00166	New Times Energy Corporation Ltd.	Consolidation 20 into 1	0.500 *	2011/11/21
01220	Ocean Grand Holdings Ltd.	Consolidation 3 into 1	0.010 *	2011/11/24
00910	China Grand Forestry Green Resources Group Ltd.	Consolidation 20 into 1	0.010 *	2011/12/01
00810	Opes Asia Development Ltd.	Consolidation 10 into 1	0.010	2011/12/01
00273	Willie International Holdings Ltd.	Consolidation 5 into 1	0.010 *	2011/12/23

\* New par value after capital reorganisation

## Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
00731	Samson Paper Holdings Ltd.	1 for 1	2011/01/03
01002	V.S. International Group Ltd.	1 2014 wt for 2 rts shs	2011/01/04
00732	Truly International Holdings Ltd.	1 for 10	2011/01/13
01222	Wang On Group Ltd.	1 for 8 rts shs	2011/01/18
02333	Great Wall Motor Co. Ltd. – H Shares	15 for 10	2011/01/26
00593	Allied Overseas Ltd.	1 2016 wt for 5	2011/01/31
02088	Xiwang Sugar Holdings Co. Ltd.	1 2012 wt for 6	2011/02/01
00315	SmarTone Telecommunications Holdings Ltd.	1 for 1	2011/03/23
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	2 for 1	2011/04/11
00268	Kingdee International Software Group Co. Ltd.	2 for 10	2011/04/14
00763	ZTE Corporation – H Shares	2 for 10	2011/04/14
00542	Morning Star Resources Ltd.	2 for 5 offer shares	2011/04/27
00914	Anhui Conch Cement Co. Ltd. – H Shares	5 for 10	2011/04/28
03323	China National Building Material Co. Ltd. – H Shares	10 for 10	2011/04/29
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	1 for 1	2011/05/04
00609	Tiande Chemical Holdings Ltd.	1 for 1	2011/05/04
00631	Sany Heavy Equipment International Holdings Co. Ltd.	5 for 10	2011/05/05
00510	CASH Financial Services Group Ltd.	1 for 10	2011/05/12
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	1 for 1	2011/05/12
00838	EVA Precision Industrial Holdings Ltd.	1 for 1	2011/05/13
01045	APT Satellite Holdings Ltd.	1 for 2	2011/05/17
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2011/05/18
00632	Pearl Oriental Oil Ltd.	1 for 5	2011/05/20
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2011/05/23
00543	Pacific Online Ltd.	1 for 10	2011/05/24
00056	Allied Properties (HK) Ltd.	1 2016 wt for 5	2011/05/25
00980	Lianhua Supermarket Holdings Co Ltd – H Shares	8 for 10	2011/05/26
00696	TravelSky Technology Ltd. – H Shares	1 for 2	2011/05/26
00326	China Star Entertainment Ltd.	1 2012 wt for 5 rts shs	2011/05/30
00733	Hopefluent Group Holdings Ltd.	1 for 5	2011/06/02
00829	Shenguan Holdings (Group) Ltd.	10 for 10	2011/06/09
02899	Zijin Mining Group Co., Ltd. – H Shares	5 for 10	2011/06/13
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd.-Hsh	0.3 for 1	2011/06/20
03328	Bank of Communications Co., Ltd. – H Shares	1 for 10	2011/07/08
00993	Simsen International Corporation Ltd.	1 2012 wts for 5 rts shs	2011/07/12
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	3 for 10	2011/07/14
00398	Oriental Watch Holdings Ltd.	1 for 5	2011/07/21
00081	China Overseas Grand Oceans Group Ltd.	1 for 2	2011/08/30
00077	AMS Public Transport Holdings Ltd.	1 for 10	2011/09/09
00867	China Medical System Holdings Ltd.	1 for 4	2011/09/16
00065	DeTeam Co. Ltd.	1 for 5	2011/09/23
00412	Heritage International Holdings Ltd.	1 2013 wts for 5 rts shs	2011/09/23
00866	China Qinfa Group Ltd.	1 for 1	2011/10/04
00572	China Packaging Group Co. Ltd.	13 for 1,000	2011/10/14
00083	Sino Land Co. Ltd.	1 for 10	2011/11/01
00197	Heng Tai Consumables Group Ltd.	1 for 20	2011/12/08
00482	Sandmartin International Holdings Ltd.	1 for 10	2011/12/08
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	1 for 5	2011/12/20
01633	Magic Holdings International Ltd.	1 for 5	2011/12/20
02312	China Financial Leasing Group Ltd.	4 for 1	2011/12/23



## Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
03868	Qunxing Paper Holdings Co. Ltd.	Open offer 1 for 2 @\$0.66	349.01	2010/12/10 2011/01/04
01003	21 Holdings Ltd.	Rts 10 for 1 @\$0.19 (after consolidation 20 into 1)	214.12	2010/12/08 2011/01/05
00092	Champion Technology Holdings Ltd.	Rts 4 for 9 @\$0.15	271.23	2010/12/30 2011/01/24
00263	China Yunnan Tin Minerals Group Co. Ltd.	Rts 8 for 1 @\$0.10	480.77	2010/12/29 2011/01/24
00329	Dragonite International Ltd.	Rts 20 for 1 @\$0.22 (after consolidation 40 into 1)	406.39	2010/12/30 2011/01/24
00069	Shangri-La Asia Ltd.	Rts 1 for 12 @\$19.50	4,694.66	2011/01/11 2011/02/07
01222	Wang On Group Ltd.	Rts 8 for 1 @\$0.10 with bonus 1 for 8 rts shs (after consolidation 5 into 1)	521.99	2011/01/18 2011/02/15
00343	Culturecom Holdings Ltd.	Rts 1 for 2 @\$0.35	120.62	2011/01/26 2011/02/18
00616	Easyknit Enterprises Holdings Ltd.	Rts 1 for 2 @\$0.35	64.25	2011/02/01 2011/02/25
00612	China Investment Fund Co. Ltd.	Open offer 2 for 1 @\$0.15	76.51	2011/01/31 2011/03/01
01002	V.S. International Group Ltd.	Rts 1 for 3 @\$0.12 with bonus 1 2014 wt for 2 rts shs	34.68	2011/01/04 2011/03/04
00371	Beijing Enterprises Water Group Ltd.	Open offer 1 for 2 @\$1.485	3,390.82	2011/02/11 2011/03/09
02324	Capital VC Ltd.	Rts 1 for 2 @\$0.30	58.42	2011/02/15 2011/03/09
00004	Wharf (Holdings) Ltd., The	Rts 1 for 10 @\$36.50	10,051.59	2011/02/21 2011/03/11
00851	Sheng Yuan Holdings Ltd.	Rts 2 for 5 @\$0.42	141.41	2011/02/23 2011/03/16
00412	Heritage International Holdings Ltd.	Rts 1 for 2 @\$0.50 (after consolidation 10 into 1)	47.49	2011/02/22 2011/03/17
00305	Wuling Motors Holdings Ltd. (Formerly: Dragon Hill Wuling Automobile Holdings Ltd.)	Open offer 1 for 6 @\$0.90	150.51	2011/03/03 2011/03/23
01059	Kantone Holdings Ltd.	Rts 2 for 5 @\$0.10	212.17	2011/03/10 2011/04/04
00275	Hanny Holdings Ltd.	Rts 8 for 1 @\$0.30 (after consolidation 10 into 1)	299.02	2011/03/11 2011/04/06
00986	China Environmental Energy Investment Ltd. (Formerly: Nam Hing Holdings Ltd.)	Rts 26 for 1 @\$0.068 (after consolidation 16 into 1)	206.08	2011/03/22 2011/04/15
00736	China Properties Investment Holdings Ltd.	Rts 30 for 1 @\$0.068	338.27	2011/03/29 2011/04/21
00885	Forefront Group Ltd.	Rts 8 for 1 @\$0.125 (after consolidation 10 into 1)	406.08	2011/03/23 2011/04/21
03311	China State Construction International Holdings Ltd.	Rts 1 for 5 @\$6.00	3,584.19	2011/04/07 2011/05/05
00111	Cinda International Holdings Ltd.	Rts 1 for 5 @\$1.10	117.55	2011/04/11 2011/05/06
00767	Pacific Plywood Holdings Ltd.	Rts 30 for 1 @\$0.08	221.93	2011/04/15 2011/05/13
00542	Morning Star Resources Ltd.	Open offer 5 for 1 @\$0.20 with bonus 2 for 5 offer shs (after consolidation 10 into 1)	241.45	2011/04/27 2011/05/23
01223	Symphony Holdings Ltd.	Open offer 1 for 2 @\$0.25	218.01	2011/05/04 2011/05/27
00419	Media China Corporation Ltd.	Rts 1 for 2 @\$0.18 (after consolidation 10 into 1)	259.15	2011/05/17 2011/06/08
01205	CITIC Resources Holdings Ltd.	Rts 3 for 10 @\$1.38	2,504.93	2011/05/18 2011/06/10
00810	Opes Asia Development Ltd.	Open offer 1 for 2 @\$0.07	58.20	2011/05/19 2011/06/13
00273	Willie International Holdings Ltd.	Rts 8 for 1 @\$0.125	296.07	2011/05/23 2011/06/15
00832	Central China Real Estate Ltd.	Rts 21.4 for 100 @\$1.71	731.88	2011/06/01 2011/06/22
00326	China Star Entertainment Ltd.	Rts 3 for 1 @\$0.25 with bonus 1 2012 wt for 5 rts shs (after consolidation 10 into 1)	368.39	2011/05/30 2011/06/22
00021	Waytung Global Group Ltd.	Rts 1 for 2 @\$0.40	154.61	2011/06/13 2011/07/06
01178	Vitop Bioenergy Holdings Ltd.	Rts 1 for 3 @\$0.25	73.57	2011/06/16 2011/07/12
01172	Midas International Holdings Ltd.	Rts 1 for 1 @\$0.10	110.36	2011/06/16 2011/07/13
01003	21 Holdings Ltd.	Rts 8 for 1 @\$0.10 (after consolidation 10 into 1)	119.00	2011/06/23 2011/07/15
00692	Bao Yuan Holdings Ltd.	Rts 22 for 1 @\$0.05	387.34	2011/06/15 2011/07/15
00998	China CITIC Bank Corporation Ltd. – H Shares	Rts 2 H shares for 10 H shares @\$4.01	9,946.25	2011/06/30 2011/07/25
00717	Emperor Capital Group Ltd.	Rts 2 for 1 @\$0.338	585.29	2011/07/07 2011/07/28

## Rights issues/open offers (continued)

Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
00928	Tack Fiori International Group Ltd. (Formerly: Tack Fat Group International Ltd.)	Open offer 339 for 5 @\$0.01	150.01	2011/07/08 2011/07/28
00901	Radford Capital Investment Ltd.	Rts 1 for 2 @\$0.75	53.67	2011/07/07 2011/07/29
00993	Simsen International Corporation Ltd.	Rts 20 for 1 @\$0.03 with bonus 1 2012 wts for 5 rts shs	779.43	2011/07/12 2011/08/05
00764	Eternity Investment Ltd.	Open offer 5 for 1 @\$0.04	264.48	2011/07/18 2011/08/09
00185	Xpress Group Ltd.	Open offer 3 for 20 @\$0.11	43.57	2011/07/19 2011/08/15
00149	China Agri-Products Exchange Ltd.	Rts 30 for 1 @\$0.195 (after consolidation 10 into 1)	464.41	2011/08/05 2011/08/29
00952	Quam Ltd.	Rts 1 for 4 @\$0.25	59.66	2011/08/30 2011/09/22
00630	Guojin Resources Holdings Ltd.	Rts 11 for 10 @\$0.05	82.71	2011/09/07 2011/09/30
00224	Pioneer Global Group Ltd.	Open offer 1 for 2 @\$0.73	280.82	2011/09/16 2011/10/10
02349	China Water Property Group Ltd.	Open offer 2 for 5 @\$0.50 (after consolidation 10 into 1)	251.25	2011/09/20 2011/10/13
00091	New Smart Energy Group Ltd.	Open offer 1 for 1 @\$0.098 (after consolidation 2 into 1)	325.29	2011/09/20 2011/10/14
00412	Heritage International Holdings Ltd.	Rts 22 for 1 @\$0.062 with bonus 1 2013 wts for 5 rts shs	388.67	2011/09/23 2011/10/19
00361	Sino Golf Holdings Ltd.	Rts 1 for 2 @\$0.39	59.81	2011/10/28 2011/11/17
00017	New World Development Co. Ltd.	Rts 1 for 2 @\$5.68	11,331.96	2011/10/24 2011/11/22
01136	TCC International Holdings Ltd.	Open offer 3 unlisted new convertible preference shares for 20 @\$4.90	422.29*	2011/11/03 2011/11/23
00488	Lai Sun Development Co. Ltd.	Rts 5 for 12 @\$0.09	531.08	2011/11/18 2011/12/08
00121	C.P. Lotus Corporation	Open offer 2 unlisted convertible preference shares for 5 @\$0.22	8.23*	2011/11/25 2011/12/16
00917	New World China Land Ltd.	Rts 1 for 2 @\$1.49	4,293.15	2011/11/28 2011/12/19
01220	Ocean Grand Holdings Ltd.	Open offer 2 for 3 @\$0.133 (after consolidation 3 into 1)	12.53	2011/11/30 2011/12/22
01383	Hong Long Holdings Ltd.	Open offer 1 for 2 @\$0.10	61.53	2011/12/02 2011/12/23
02328	PICC Property and Casualty Co. Ltd. – H Shares	Rts 1 H share for 10 H shares @\$5.50	1,900.79	2011/12/05 2011/12/23
<b>Total</b>			<b>63,849.03</b>	

\* Figures are excluded from the total of fund raised.  
Notes: Figures may not add up to total due to rounding.

## Placings

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
00689	EPI (Holdings) Ltd.	920,000,000	0.0680	62.10	2011/01/03
00149	China Agri-Products Exchange Ltd.	300,000,000	0.2500	75.00	2011/01/05
01878	SouthGobi Resources Ltd.	375,297	82.8700	31.10	2011/01/06
00802	RCG Holdings Ltd.	6,450,000	3.1000	20.00	2011/01/07
00279	Freeman Financial Corporation Ltd.	2,000,000,000	0.2750	550.00	2011/01/14
01163	Dejin Resources Group Co. Ltd.	350,000,000	0.3000	105.00	2011/01/17
00479	CIL Holdings Ltd.	202,780,000	0.1730	35.00	2011/01/18
00479	CIL Holdings Ltd.	272,280,000	0.1730	47.00	2011/01/19
00183	Richfield Group Holdings Ltd.	250,000,000	1.0100	252.50	2011/01/19
01149	Anxin-China Holdings Ltd.	140,100,000	2.3000	322.23	2011/01/20
00362	China Zenith Chemical Group Ltd.	1,200,000,000	0.2200	264.00	2011/01/20
00801	Golden Meditech Holdings Ltd.	120,000,000	1.5000	180.00	2011/01/20
00397	China Gogreen Assets Investment Ltd.	200,000,000	0.1500	30.00	2011/01/21
00269	China Timber Resources Group Ltd. (Name changed to China Resources and Transportation Group Ltd.)	1,800,000,000	0.3000	540.00	2011/01/21
00891	Trinity Ltd.	100,000,000	7.5000	750.00	2011/01/21
00515	TC Interconnect Holdings Ltd.	60,000,000	3.7900	227.40	2011/01/25
00326	China Star Entertainment Ltd.	577,855,000	0.0700	40.45	2011/01/27
00621	Wing Hing International (Holdings) Ltd. (Name changed to Taung Gold International Ltd.)	346,000,000	0.4000	138.40	2011/01/27
00692	Bao Yuan Holdings Ltd.	980,000,000	0.0250	24.01	2011/01/28
00633	China All Access (Holdings) Ltd.	160,000,000	2.6800	428.80	2011/01/28
02369	China Wireless Technologies Ltd.	150,000,000	4.5500	682.50	2011/01/28
00136	Mascotte Holdings Ltd.	354,100,608	0.4000	141.64	2011/01/28
00290	China Fortune Group Ltd. (Name changed to China Fortune Financial Group Ltd.)	280,534,000	0.3280	92.02	2011/01/31
00067	China Lumena New Materials Corp.	340,000,000	2.8100	955.40	2011/01/31
00767	Pacific Plywood Holdings Ltd.	15,400,000	0.7400	11.40	2011/02/01
00290	China Fortune Group Ltd. (Name changed to China Fortune Financial Group Ltd.)	500,000,000	0.2000	100.00	2011/02/02
00651	China Ocean Shipbuilding Industry Group Ltd.	1,412,745,760	0.2000	282.55	2011/02/07
00686	Time Infrastructure Holdings Ltd. (Name changed to Goldpoly New Energy Holdings Ltd.)	125,370,000	1.4500	181.79	2011/02/07
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	1,000,000,000	0.3200	320.00	2011/02/08
00209	Sewco International Holdings Ltd. (Name changed to China Tycoon Beverage Holdings Ltd.)	150,000,000	1.0500	157.50	2011/02/08
00223	Sino Resources Group Ltd.	222,752,000	0.3500	77.96	2011/02/08
00736	China Properties Investment Holdings Ltd.	27,000,000	0.4050	10.94	2011/02/10
00433	North Mining Shares Co. Ltd.	442,500,000	0.3200	141.60	2011/02/10
01808	Tai-I International Holdings Ltd. (Name changed to Enterprise Development Holdings Ltd.)	210,000,000	0.0600	12.60	2011/02/11
00705	CVM Minerals Ltd.	340,000,000	0.2280	77.52	2011/02/14
00909	Zhongda International Holdings Ltd.	1,012,000	0.7400	0.75	2011/02/15
00909	Zhongda International Holdings Ltd.	2,948,547	0.6780	2.00	2011/02/15
00943	eForce Holdings Ltd.	317,000,000	0.1060	33.60	2011/02/21
00064	Get Nice Holdings Ltd.	224,000,000	0.5100	114.24	2011/02/23
00802	RCG Holdings Ltd.	19,000,000	2.5000	47.50	2011/02/24
01142	Siberian Mining Group Co. Ltd.	140,000,000	0.1350	18.90	2011/02/24
00558	L.K. Technology Holdings Ltd.	102,000,000	2.5000	255.00	2011/02/25
00024	Burwill Holdings Ltd.	460,000,000	0.4800	220.80	2011/03/04
00155	China Solar Energy Holdings Ltd.	720,000,000	0.1140	82.08	2011/03/04
01142	Siberian Mining Group Co. Ltd.	120,000,000	0.1350	16.20	2011/03/07
00233	Mingyuan Medicare Development Co. Ltd.	294,000,000	0.8100	238.14	2011/03/10
00838	EVA Precision Industrial Holdings Ltd.	47,342,000	6.2500	295.89	2011/03/11
00309	Lo's Enviro-Pro Holdings Ltd. (Name changed to Xinhua News Media Holdings Ltd.)	30,600,000	0.7000	21.42	2011/03/18
00672	Zhong An Real Estate Ltd.	50,000,000	1.4000	70.00	2011/03/18
02309	Birmingham International Holdings Ltd.	450,000,000	0.2000	90.00	2011/03/21
01207	SRE Group Ltd.	700,000,000	0.8100	567.00	2011/03/28
00692	Bao Yuan Holdings Ltd.	58,000,000	0.2500	14.50	2011/03/31
01003	21 Holdings Ltd.	247,900,000	0.1100	27.27	2011/04/04
00839	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	196,000,000	3.9600	776.16	2011/04/04
00171	Silver Grant International Industries Ltd.	120,000,000	3.0000	360.00	2011/04/06
00204	Temujin International Investments Ltd.	600,000,000	0.1000	60.00	2011/04/06
00922	China Boon Holdings Ltd.	165,000,000	0.3800	62.70	2011/04/07
00082	VODone Ltd.	90,000,000	2.2600	203.40	2011/04/07
00544	Daido Group Ltd.	199,920,000	0.2350	46.98	2011/04/08
00913	Unity Investments Holdings Ltd.	8,500,000	0.3000	2.55	2011/04/11
00329	Dragonite International Ltd.	18,470,000	0.1600	2.96	2011/04/12
01227	National Investments Fund Ltd.	350,000,000	0.1410	49.35	2011/04/12
00585	Imagi International Holdings Ltd.	1,282,816,000	0.2800	359.19	2011/04/13
00402	Mongolia Investment Group Ltd.	1,320,000,000	0.0670	88.44	2011/04/13
01031	Golden Resorts Group Ltd. (Name changed to Kingston Financial Group Ltd.)	560,000,000	0.9500	532.00	2011/04/14
00585	Imagi International Holdings Ltd.	1,500,000,000	0.0760	114.00	2011/04/14
01049	Celestial Asia Securities Holdings Ltd. (Name changed to Net2Gather (China) Holdings Ltd.)	100,000,000	0.5000	50.00	2011/04/15
00878	Soundwill Holdings Ltd.	9,000,000	12.0000	108.00	2011/04/19
00451	Same Time Holdings Ltd.	11,380,000	3.2700	37.21	2011/04/20
02000	SIM Technology Group Ltd.	137,500,000	1.6000	220.00	2011/04/21
00721	China Financial International Investments Ltd.	370,000,000	0.5000	185.00	2011/04/26
00887	Emperor Watch & Jewellery Ltd.	800,000,000	1.0000	800.00	2011/04/27

## Placings (continued)

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01208	Minmetals Resources Ltd.	762,612,000	5.1000	3,889.32	2011/04/28
00810	Opes Asia Development Ltd.	32,600,000	0.1120	3.65	2011/04/28
02309	Birmingham International Holdings Ltd.	250,000,000	0.2000	50.00	2011/04/29
00976	Chiho-Tiande Group Ltd.	60,000,000	6.6000	396.00	2011/04/29
00309	Lo's Enviro-Pro Holdings Ltd. (Name changed to Xinhua News Media Holdings Ltd.)	45,900,000	0.7000	32.13	2011/05/03
01099	Sinopharm Group Co. Ltd. – H Shares	138,056,825	25.0000	3,451.42	2011/05/04
00030	ABC Communications (Holdings) Ltd.	73,107,200	0.6400	46.79	2011/05/05
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	85,624,000	20.6000	1,763.85	2011/05/05
01129	China Water Industry Group Ltd.	325,000,000	0.1230	39.98	2011/05/06
01063	SunCorp Technologies Ltd.	533,157,785	0.0550	29.32	2011/05/06
00766	Sino Prosper State Gold Resources Holdings Ltd.	1,100,000,000	0.3800	418.00	2011/05/09
02366	Qin Jia Yuan Media Services Co. Ltd.	84,100,000	1.3500	113.54	2011/05/11
03300	China Glass Holdings Ltd.	200,000,000	4.0600	812.00	2011/05/13
01008	CT Holdings (International) Ltd. (Name changed to Brilliant Circle Holdings International Ltd.)	7,500,000	8.0000	60.00	2011/05/18
01226	Garron International Ltd. (Name changed to China Investment and Finance Group Ltd.)	491,800,000	1.1500	565.57	2011/05/18
01142	Siberian Mining Group Co. Ltd.	128,000,000	0.1120	14.34	2011/05/18
00761	Bel Global Resources Holdings Ltd.	600,000,000	0.1000	60.00	2011/05/19
00072	Modern Media Holdings Ltd.	20,850,000	2.5000	52.13	2011/05/19
00689	EPI (Holdings) Ltd.	1,280,000,000	0.0500	64.00	2011/05/23
03989	New Environmental Energy Holdings Ltd.	202,022,000	0.4000	80.81	2011/05/23
00802	RCG Holdings Ltd.	68,500,000	1.4500	99.33	2011/05/27
00993	Simsen International Corporation Ltd.	216,000,000	0.1850	39.96	2011/05/27
00855	China Water Affairs Group Ltd.	235,598,277	3.4000	801.03	2011/05/30
00721	China Financial International Investments Ltd.	60,000,000	0.5000	30.00	2011/05/31
01031	Golden Resorts Group Ltd. (Name changed to Kingston Financial Group Ltd.)	330,000,000	1.0300	339.90	2011/05/31
00848	Maoye International Holdings Ltd.	273,000,000	3.8100	1,040.13	2011/05/31
02379	Zhongtian International Ltd.	36,170,000	0.6600	23.87	2011/05/31
00878	Soundwill Holdings Ltd.	10,000,000	12.2000	122.00	2011/06/01
00868	Xinyi Glass Holdings Ltd.	100,000,000	8.3500	835.00	2011/06/01
01998	Flyke International Holdings Ltd.	24,000,000	1.6500	39.60	2011/06/08
00750	China Singyes Solar Technologies Holdings Ltd.	35,000,000	6.8000	238.00	2011/06/09
03828	Ming Fai International Holdings Ltd.	30,000,000	2.1240	63.72	2011/06/09
00273	Willie International Holdings Ltd.	59,213,993	0.2000	11.84	2011/06/10
01049	Celestial Asia Securities Holdings Ltd. (Name changed to Net2Gather (China) Holdings Ltd.)	208,000,000	0.5100	106.08	2011/06/13
00764	Eternity Investment Ltd.	220,280,000	0.1350	29.74	2011/06/17
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	272,000,000	71.5000	19,448.00	2011/06/17
00263	China Yunnan Tin Minerals Group Co. Ltd.	1,081,737,759	0.0620	67.07	2011/06/20
00354	Chinasoft International Ltd.	150,000,000	1.8600	279.00	2011/06/21
01060	ChinaVision Media Group Ltd.	125,000,000	0.4000	50.00	2011/06/24
01063	SunCorp Technologies Ltd.	653,000,000	0.0220	14.37	2011/06/24
00988	Loudong General Nice Resources (China) Holdings Ltd.	40,002,000	1.0400	41.60	2011/06/27
00943	eForce Holdings Ltd.	760,000,000	0.0500	38.00	2011/06/28
01777	Fantasia Holdings Group Co., Ltd.	333,333,000	1.2000	400.00	2011/06/30
00802	RCG Holdings Ltd.	82,200,000	1.0000	82.20	2011/07/13
00290	China Fortune Group Ltd. (Name changed to China Fortune Financial Group Ltd.)	150,000,000	0.3300	49.50	2011/07/14
00136	Mascotte Holdings Ltd.	2,200,000,000	0.4000	880.00	2011/07/14
00708	New Media Group Holdings Ltd.	144,000,000	0.6960	100.22	2011/07/18
01008	Brilliant Circle Holdings International Ltd.	45,000,000	6.5000	292.50	2011/07/20
00544	Daido Group Ltd.	239,900,000	0.1990	47.74	2011/07/21
01038	Cheung Kong Infrastructure Holdings Ltd.	84,500,000	40.4100	3,414.65	2011/07/26
00643	Carry Wealth Holdings Ltd.	74,300,000	0.6500	48.30	2011/07/27
01051	G-Resources Group Ltd.	2,813,364,000	0.6000	1,688.02	2011/08/03
00329	Dragonite International Ltd.	750,000,000	0.1000	75.00	2011/08/04
00745	China Railsmedia Corporation Ltd.	310,000,000	0.0780	24.18	2011/08/08
00376	Asia TeleMedia Ltd. (Name changed to ReOrient Group Ltd.)	128,225,806	0.6200	79.50	2011/08/09
01728	China ZhengTong Auto Services Holdings Ltd.	200,000,000	10.4500	2,090.00	2011/08/09
00729	Sinopoly Battery Ltd.	700,000,000	0.5200	364.00	2011/08/09
00665	Haitong International Securities Group Ltd.	200,000,000	4.2500	850.00	2011/08/16
01164	Vital Group Holdings Ltd.	1,670,000,000	0.2300	384.10	2011/08/18
02324	Capital VC Ltd.	250,000,000	0.3000	75.00	2011/08/23
01046	Universe International Holdings Ltd.	87,165,000	0.1150	10.02	2011/08/24
00969	Hua Lien International (Holding) Co. Ltd.	90,000,000	0.6000	54.00	2011/08/26
00256	China Haidian Holdings Ltd.	10,000,000	0.9900	9.90	2011/08/29
01142	Siberian Mining Group Co. Ltd.	141,000,000	0.0400	5.64	2011/08/29
00736	China Properties Investment Holdings Ltd.	1,028,000,000	0.0220	22.62	2011/08/30
02312	China Financial Leasing Group Ltd.	139,635,000	0.0510	7.12	2011/09/12
00223	Sino Resources Group Ltd.	30,000,000	0.3600	10.80	2011/09/28
00736	China Properties Investment Holdings Ltd.	40,000,000	0.1810	7.24	2011/10/10
00513	Continental Holdings Ltd.	217,647,050	0.1700	37.00	2011/10/12
01142	Siberian Mining Group Co. Ltd.	57,500,000	0.8000	46.00	2011/10/20
00471	CMMB Vision Holdings Ltd.	687,628,000	0.0450	30.94	2011/10/25
00689	EPI (Holdings) Ltd.	80,000,000	0.1820	14.56	2011/10/28
01166	Solartech International Holdings Ltd.	504,510,000	0.2000	100.90	2011/10/28

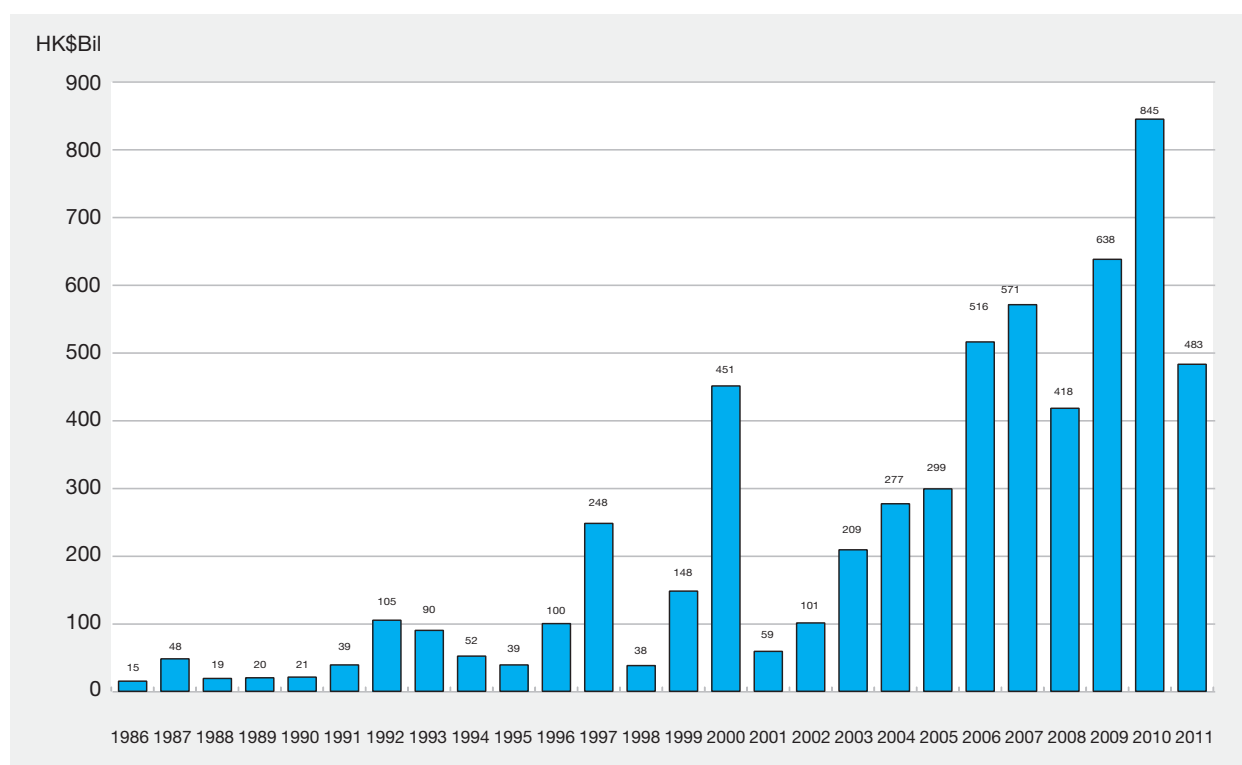
**Placings (continued)**

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	823,695,952	0.0930	76.60	2011/11/01
00572	China Packaging Group Co. Ltd.	230,000,000	0.1200	27.60	2011/11/01
00767	Pacific Plywood Holdings Ltd.	817,233,655	0.0320	26.15	2011/11/03
02700	Smart Union Group (Holdings) Ltd.	459,020,000	0.1850	84.92	2011/11/07
00376	Asia TeleMedia Ltd. (Name changed to ReOrient Group Ltd.)	67,000,000	3.0000	201.00	2011/11/14
01833	Intime Department Store (Group) Co. Ltd.	76,669,653	9.9000	759.03	2011/11/24
00767	Pacific Plywood Holdings Ltd.	1,800,000,000	0.0250	45.00	2011/11/24
01130	China Environmental Resources Group Ltd.	360,000,000	0.0730	26.28	2011/11/25
01227	National Investments Fund Ltd.	307,110,175	0.0550	16.89	2011/11/25
00211	Styland Holdings Ltd.	50,000,000	0.0800	4.00	2011/12/15
00996	Oriental Ginza Holdings Ltd.	2,000,000,000	0.3800	760.00	2011/12/21
00039	Bio-Dynamic Group Ltd.	11,326,134	0.7220	8.18	2011/12/22
00273	Willie International Holdings Ltd.	128,212,678	0.3750	48.08	2011/12/28
00404	Hsin Chong Construction Group Ltd.	125,850,000	1.1000	138.44	2011/12/30
00202	Interchina Holdings Co. Ltd.	712,000,000	0.3100	220.72	2011/12/30
<b>Total</b>				<b>62,987.22</b>	

## Funds raised by classification, 1993 – 2011

Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	
Equities	Offer for subscription	15.15	8.80	2.56	7.25	18.51	3.68	2.67	11.77	2.23	2.76	14.53	21.55	18.60	48.03	88.56	9.53	51.08	41.63	16.23	
	Offer for sale	2.97	0.25	0.29	2.47	0.12	0.14	0.07	0.23	2.48	22.40	5.17	21.71	6.82	33.26	33.69	7.69	21.41	175.23	46.43	
	Offer for placing	11.06	8.31	5.26	21.50	63.02	2.14	12.82	105.30	16.89	19.81	37.37	51.21	139.57	250.79	168.20	48.54	175.38	231.97	195.80	
	Placing	30.60	11.69	11.51	46.11	78.17	16.40	69.32	106.25	11.61	15.56	16.30	45.96	62.26	110.68	152.92	54.23	140.59	130.96	62.99	
	Rights issue	9.27	5.64	1.29	4.65	16.30	5.30	7.36	11.71	2.99	5.24	2.27	7.09	9.54	8.26	22.44	45.12	168.41	176.06	57.98	
	Open offer	-	-	-	-	0.21	0.08	0.71	0.94	0.95	0.51	0.51	1.00	0.89	2.21	7.31	2.48	8.90	5.80	5.87	
	Consideration issue	4.68	5.03	9.23	10.15	58.86	9.70	50.11	205.02	14.26	27.53	125.72	114.46	44.25	36.33	70.16	239.71	62.13	67.12	77.03	
	Warrants exercised	14.25	10.83	8.19	5.57	8.32	0.31	2.51	2.69	0.27	0.69	1.13	1.21	1.45	5.35	10.18	2.25	2.02	2.12	11.86	
	Share option scheme	2.03	1.31	0.87	2.32	4.06	0.51	2.56	7.37	6.91	6.91	6.12	12.33	15.29	21.10	17.62	8.63	7.80	14.60	8.65	
	<b>Equities funds raised</b>		<b>90.01</b>	<b>51.86</b>	<b>39.20</b>	<b>100.02</b>	<b>247.58</b>	<b>38.26</b>	<b>148.12</b>	<b>451.28</b>	<b>58.59</b>	<b>101.41</b>	<b>209.12</b>	<b>276.52</b>	<b>298.66</b>	<b>516.03</b>	<b>571.08</b>	<b>418.19</b>	<b>637.73</b>	<b>845.49</b>	<b>482.83</b>
	Debt securities		171.26	283.59	108.83	127.98	178.21	87.98	52.95	23.84	28.60	19.35	43.47	105.40	60.86	64.88	58.13	41.58	47.38	140.28	152.49
	Unit trusts/Mutual funds		0.39	-	-	-	-	-	33.33	-	0.26	0.35	0.29	6.80	35.18	9.01	8.42	-	-	-	12.51
	Derivative warrants - premium		5.86	11.20	4.65	18.39	33.29	15.63	17.68	29.79	15.84	62.16	63.88	139.43	154.80	247.78	791.31	555.89	263.29	328.11	273.30
Equity warrants - premium		0.22	-	0.15	1.15	1.44	-	0.24	0.34	0.23	0.10	0.08	0.05	0.13	-	0.13	0.03	-	0.03	0.03	
Equity linked instruments - premium		-	-	-	-	-	-	-	-	-	2.10	0.70	1.52	-	-	-	-	-	-	-	
Callable bull/bear contracts - premium		-	-	-	-	-	-	-	-	-	-	-	-	-	4.08	31.48	443.30	519.33	360.05	310.70	

## Equity funds raised, 1986 – 2011



## Funds raised by Mainland enterprises H shares, 1993 – 2011

		HK\$ bil																			
Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	
Equities	Offer for subscription	5.05	2.84	0.19	1.16	6.12	2.07	0.70	2.50	0.65	1.43	12.09	9.57	11.30	38.07	19.33	5.43	21.02	9.59	1.91	
	Offer for sale	-	-	-	-	-	-	-	-	0.34	1.32	4.55	3.19	3.36	25.46	-	-	4.18	-	0.02	
	Offer for placing	3.09	7.04	1.82	5.68	25.92	-	3.56	49.25	4.57	14.12	29.62	27.26	122.52	226.50	55.44	24.06	88.98	128.87	49.97	
	Placing	-	-	0.98	1.04	1.05	1.48	-	-	0.50	-	0.59	19.23	21.49	13.80	7.84	4.61	7.55	11.96	25.44	
	Rights issue	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3.12	-	-	125.07	11.85	
	Open offer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Consideration issue	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	15.99	-
	Warrants exercised	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Share option scheme	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.01	-	-	-
	<b>Equities funds raised</b>		<b>8.14</b>	<b>9.88</b>	<b>2.99</b>	<b>7.87</b>	<b>33.08</b>	<b>3.55</b>	<b>4.26</b>	<b>51.75</b>	<b>6.07</b>	<b>16.87</b>	<b>46.84</b>	<b>59.25</b>	<b>158.68</b>	<b>303.82</b>	<b>85.73</b>	<b>34.11</b>	<b>121.73</b>	<b>290.88</b>	<b>89.19</b>

## Funds raised by Red Chip stocks, 1993 – 2011

		HK\$ bil																			
Type	Listing method	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	
Equities	Offer for subscription	0.78	1.04	0.30	0.73	5.66	0.05	0.19	1.97	0.64	0.04	0.77	3.98	0.38	1.20	14.49	-	3.09	2.46	0.51	
	Offer for sale	-	-	0.20	-	0.05	-	-	0.05	1.78	20.56	-	1.72	-	0.19	8.22	-	-	-	-	
	Offer for placing	0.17	0.50	1.07	2.70	33.68	0.09	1.79	42.08	9.64	0.36	2.19	8.84	0.66	1.38	26.88	-	4.92	3.84	5.39	
	Placing	9.51	6.17	0.31	10.84	27.97	10.71	18.61	61.80	2.54	6.89	0.69	6.11	0.30	22.61	30.90	7.63	33.69	20.55	5.06	
	Rights issue	4.49	1.32	0.20	0.29	2.18	0.38	1.09	0.18	-	0.96	-	-	0.24	0.61	2.73	6.39	11.34	-	6.21	
	Open offer	-	-	-	-	-	-	-	-	0.77	-	0.07	-	-	0.59	2.72	-	2.51	3.84	3.54	
	Consideration issue	-	3.03	3.70	3.84	10.43	5.93	33.04	186.11	2.94	22.94	0.15	2.96	15.68	12.90	15.93	206.36	21.18	23.46	39.28	
	Warrants exercised	0.08	1.13	0.87	0.44	0.56	0.11	0.04	0.67	0.10	0.00	0.01	0.07	0.21	3.18	7.59	1.51	0.18	0.10	-	
	Share option scheme	0.05	0.04	0.02	0.17	0.46	0.10	0.41	0.80	0.67	0.98	1.01	2.67	4.92	8.12	5.51	1.91	1.09	1.18	0.79	
	<b>Equities funds raised</b>		<b>15.08</b>	<b>13.23</b>	<b>6.67</b>	<b>19.01</b>	<b>80.98</b>	<b>17.37</b>	<b>55.18</b>	<b>293.66</b>	<b>19.08</b>	<b>52.72</b>	<b>4.89</b>	<b>26.37</b>	<b>22.39</b>	<b>50.77</b>	<b>114.97</b>	<b>223.80</b>	<b>78.01</b>	<b>55.42</b>	<b>60.78</b>

## Newly listed equity warrants

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
00664	Allied Overseas Ltd. Warrants 2016	5.000	2011/03/04–2016/03/04	206,962,600	2011/03/04
00284	V.S. International Group Ltd. Warrants 2014	0.120	2011/03/16–2014/03/15	17,339,520	2011/03/16
00824	Culturecom Holdings Ltd. Warrants 2013	0.280	2011/05/03–2013/05/02	38,598,000	2011/05/03
01183	Allied Properties (HK) Ltd. Warrants 2016	2.000	2011/06/13–2016/06/13	2,781,246,634	2011/06/15
01056	China Star Entertainment Ltd. Warrants Dec 2012	0.250	2011/06/29–2012/12/28	73,677,044	2011/07/04
01257	Simsen International Corporation Ltd. Warrants 2012	0.030	2011/08/22–2012/08/21	5,196,176,000 units	2011/08/22
01248	Heritage International Holdings Ltd. Warrants 2013	0.040	2011/10/26–2013/10/25	1,253,766,879 units	2011/10/28

## List of expired equity warrants, 2011

Code	Warrant	Last trading date	Delisted date
00441	Century City International Holdings Ltd. Warrants 2011	2011/01/06	2011/01/12
00534	SHK Hong Kong Industries Ltd. Warrants 2011	2011/04/18	2011/04/26
00748	Hong Kong Energy (Holdings) Ltd. Warrants 2011	2011/05/09	2011/05/16
00407	HKC (Holdings) Ltd. Warrants 2011	2011/05/17	2011/05/23
00942	Cheuk Nang (Holdings) Ltd. Warrants May 2011	2011/05/17	2011/05/23
00879	Henderson Land Development Co. Ltd. Warrants 2011	2011/05/27	2011/06/02
00523	CST Mining Group Ltd. Warrants 2011	2011/05/30	2011/06/03
00795	Hong Kong Energy (Holdings) Ltd. Warrants 2011	2011/06/02	2011/06/09
00492	HKC (Holdings) Ltd. Warrants 2011	2011/06/02	2011/06/09
00624	Pacific Andes International Holdings Ltd. Warrants 2011	2011/06/10	2011/06/16
00937	New Times Energy Corporation Ltd. Warrants 2011	2011/06/21	2011/06/27
00744	Lippo Ltd. Warrants 2011	2011/06/28	2011/07/05
00561	Hongkong Chinese Ltd. Warrants 2011	2011/06/28	2011/07/05
00344	PetroAsian Energy Holdings Ltd. Warrants 2011	2011/10/11	2011/10/17
00249	Xingye Copper International Group Ltd. Warrants 2011	2011/10/28	2011/11/03
01092	Cheuk Nang (Holdings) Ltd. Warrants Dec 2011	2011/12/08	2011/12/14



## Market value and trading for equity warrants, 2007 – 2011

Year/Month	Number*	Market value* (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2007	30	6,873.37	5,099.36	0.02
2008	34	548.52	1,130.25	0.01
2009	25	1,103.37	524.07	0.00
2010	22	2,049.41	1,790.05	0.01
2011	13	602.75	923.93	0.01
2011 Jan	21	1,895.79	187.89	0.01
2011 Feb	21	1,237.61	120.82	0.01
2011 Mar	23	1,217.46	84.71	0.00
2011 Apr	22	1,000.57	339.46	0.02
2011 May	20	712.56	49.22	0.00
2011 Jun	15	785.68	12.53	0.00
2011 Jul	14	894.16	11.96	0.00
2011 Aug	15	616.94	12.29	0.00
2011 Sep	15	429.33	4.43	0.00
2011 Oct	15	630.54	22.79	0.00
2011 Nov	14	613.11	47.44	0.00
2011 Dec	13	602.75	30.39	0.00

\* Month-end or year-end figures

## Newly listed derivative warrants

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27942	Goldman Sachs SP (Asia)	Belle Int'l European Wts Jun 2011	0.162	13.880	2011/06/27	100,000,000	2011/01/03
27943	Goldman Sachs SP (Asia)	Belle Int'l European Wts Dec 2011	0.250	14.800	2011/12/12	100,000,000	2011/01/03
27945	Goldman Sachs SP (Asia)	CC Bank Euro Put Wts Jul 2011	0.405	6.18 per unit	2011/07/04	200,000,000	2011/01/03
27944	Goldman Sachs SP (Asia)	Evergrande European Wts Jul 2011 A	0.500	4.28 per unit	2011/07/04	100,000,000	2011/01/03
27946	Goldman Sachs SP (Asia)	HKEx European Put Wts Sep 2011 B	0.250	170 per 100 units	2011/09/05	200,000,000	2011/01/03
27941	Standard Chartered Bank	Sinopec Corp European Wts Jul 2011	0.450	8.20 per unit	2011/07/11	100,000,000	2011/01/03
25290*	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Apr 2011 A	0.420	83.880	2011/04/04	230,000,000	2011/01/03
27969	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2011 B	0.260	108.000	2011/07/05	100,000,000	2011/01/04
27947	HK Bank	A50 China European Warrants Nov 2011	0.150	12.880	2011/11/21	200,000,000	2011/01/04
27948	HK Bank	A50 China European Put Warrants Jan 2012	0.150	12.000	2012/01/18	150,000,000	2011/01/04
27957	KBC Fin Products Int'l Ltd.	BOC HK European Wts Jul 2011 B	0.150	28.980	2011/07/07	198,000,000	2011/01/04
27958	KBC Fin Products Int'l Ltd.	BOC HK Euro Put Wts Jul 2011	0.380	26.880	2011/07/15	108,000,000	2011/01/04
27959	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 A	0.370	78.880	2011/07/04	128,000,000	2011/01/04
27960	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 B	0.250	86.880	2011/07/08	158,000,000	2011/01/04
27965	KBC Fin Products Int'l Ltd.	CNOOC European Wts May 2011 B	0.170	17.900	2011/05/25	128,000,000	2011/01/04
27961	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Jun 2011	0.240	8.68 per unit	2011/06/28	158,000,000	2011/01/04
27962	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Jul 2011	0.480	7.58 per unit	2011/07/04	108,000,000	2011/01/04
27963	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Aug 2011 C	0.250	9.18 per unit	2011/08/18	128,000,000	2011/01/04
27964	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Put Wt Jul2011	0.970	7.58 per unit	2011/07/04	68,000,000	2011/01/04
27966	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts May 2011 C	0.360	10.98 per unit	2011/05/05	128,000,000	2011/01/04
27968	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jul 2011	0.330	11.68 per unit	2011/07/04	128,000,000	2011/01/04
27967	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Jun11D	0.150	182.98 per 100 units	2011/06/01	108,000,000	2011/01/04
27949	Macquarie Bank Ltd.	CC Bank European Warrants May 2011	0.304	7.50 per unit	2011/05/23	200,000,000	2011/01/04
27951	Macquarie Bank Ltd.	CITIC Bank European Warrants Jun 2011	0.190	6 per unit	2011/06/22	150,000,000	2011/01/04
27950	Macquarie Bank Ltd.	China Life European Wts Jun 2011	0.150	36.000	2011/06/27	250,000,000	2011/01/04
27953	UBS AG	CPIC European Warrants May 2011 B	0.150	33.380	2011/05/16	100,000,000	2011/01/04
27955	UBS AG	HSI European Put Warrants Jul 2011 C	0.250	21,000 per 8,000 units	2011/07/28	200,000,000	2011/01/04
27952	UBS AG	HSI European Warrants May 2011 F	0.150	22,300 per 8,000 units	2011/05/30	200,000,000	2011/01/04
27954	UBS AG	HSI European Warrants Jun 2011 C	0.190	21,800 per 8,000 units	2011/06/29	200,000,000	2011/01/04
27956	UBS AG	HSI European Put Warrants May 2011 G	0.150	22,300 per 8,500 units	2011/05/30	200,000,000	2011/01/04
27979	Credit Suisse AG	Agricultural Bank European Wts Jul 2011 A	0.250	4.128 per unit	2011/07/11	300,000,000	2011/01/05
27978	Credit Suisse AG	Agricultural Bank European Wts Apr 2011 F	0.150	3.99 per unit	2011/04/06	300,000,000	2011/01/05
27980	Credit Suisse AG	AIA European Warrants Apr 2011 D	0.150	21.900	2011/04/26	300,000,000	2011/01/05
27981	Credit Suisse AG	CC Bank European Warrants Apr 2011 C	0.400	6.80 per 1 units	2011/04/18	300,000,000	2011/01/05
27982	Credit Suisse AG	China Life European Warrants May 2011 C	0.150	32.900	2011/05/30	300,000,000	2011/01/05
27983	Credit Suisse AG	China Life European Warrants Jun 2011 D	0.150	31.900	2011/06/27	300,000,000	2011/01/05
27987	Credit Suisse AG	Sinopec Corp European Warrants Apr 2011 B	0.250	7.69 per unit	2011/04/06	300,000,000	2011/01/05
27986	Credit Suisse AG	CPIC European Warrants May 2011 A	0.150	32.800	2011/05/03	300,000,000	2011/01/05
27988	Credit Suisse AG	HSI European Put Warrants Apr 2011 G	0.150	22,500 per 8,500 units	2011/04/28	300,000,000	2011/01/05
27984	Credit Suisse AG	ICBC European Warrants Apr 2011 D	0.230	5.89 per 1 units	2011/04/15	300,000,000	2011/01/05
27985	Credit Suisse AG	ICBC European Warrants Apr 2011 E	0.150	6.17 per 1 units	2011/04/26	300,000,000	2011/01/05
27974	CC Rabobank B.A.	China Oilfield European Warrants Aug 2011	0.250	18.600	2011/08/02	180,000,000	2011/01/05
27971	CC Rabobank B.A.	Minsheng Bank European Wts Jun 2011 B	0.300	7 per unit	2011/06/13	100,000,000	2011/01/05

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27972	CC Rabobank B.A.	Gome European Warrants Jun 2011	0.250	3.28 per unit	2011/06/20	100,000,000	2011/01/05
27970	CC Rabobank B.A.	HSI European Warrants Jun 2011	0.150	23,000 per 8,500 units	2011/06/29	150,000,000	2011/01/05
27977	CC Rabobank B.A.	HSI European Warrants Sep 2011	0.250	24,600 per 5,000 units	2011/09/29	150,000,000	2011/01/05
27975	CC Rabobank B.A.	HSI European Put Warrants Jun 2011 A	0.150	21,600 per 7,800 units	2011/06/29	150,000,000	2011/01/05
27976	CC Rabobank B.A.	HSI European Put Warrants Jun 2011 B	0.200	23,000 per 10,000 units	2011/06/29	150,000,000	2011/01/05
27973	CC Rabobank B.A.	Weichai Power European Warrants Jul 2011	0.800	58.000	2011/07/07	150,000,000	2011/01/05
28016	BNP Paribas Arbit Issu B.V.	BOCL European Wts Aug 2011 B	0.260	4.70 per unit	2011/08/02	100,000,000	2011/01/06
27989	Goldman Sachs SP (Asia)	Cheung Kong European Wts Jul 2011	0.340	150.000	2011/07/11	200,000,000	2011/01/06
28017	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Wts Jul 2011	0.250	42.880	2011/07/06	88,000,000	2011/01/06
28025	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Put Wt Jul 11	0.310	32.180	2011/07/06	88,000,000	2011/01/06
28018	KBC Fin Products Int'l Ltd.	Chi Res Land Euro Wts Oct 2011	0.250	14.480	2011/10/21	80,000,000	2011/01/06
28026	KBC Fin Products Int'l Ltd.	Chi Res Land Euro Put Wt Sep11	0.250	14.380	2011/09/01	80,000,000	2011/01/06
28027	KBC Fin Products Int'l Ltd.	Dongfeng Euro Put Wts Sep 2011	0.284	13.880	2011/09/01	88,000,000	2011/01/06
28019	KBC Fin Products Int'l Ltd.	Dongfeng Euro Wts Aug 2011 A	0.220	14.480	2011/08/23	88,000,000	2011/01/06
28020	KBC Fin Products Int'l Ltd.	Dongfeng Euro Wts Aug 2011 B	0.150	17.880	2011/08/08	88,000,000	2011/01/06
28021	KBC Fin Products Int'l Ltd.	Hang Lung Ppt Euro Wts Jun2011	0.240	39.280	2011/06/15	108,000,000	2011/01/06
28028	KBC Fin Products Int'l Ltd.	Hang Lung Ppt Eu Put Wt Aug 11	0.280	33.800	2011/08/15	108,000,000	2011/01/06
28022	KBC Fin Products Int'l Ltd.	KECL European Wts Dec 2011	0.160	13.480	2011/12/15	108,000,000	2011/01/06
28029	KBC Fin Products Int'l Ltd.	KECL European Put Wts Dec 2011	0.250	12.180	2011/12/08	80,000,000	2011/01/06
28023	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jan 2012	0.190	8.888	2012/01/05	158,000,000	2011/01/06
28024	KBC Fin Products Int'l Ltd.	Sinopharm Euro Wts Jul 2011A	0.250	33.000	2011/07/08	80,000,000	2011/01/06
28030	KBC Fin Products Int'l Ltd.	Sinopharm Euro Put Wts Jul2011	0.530	29.000	2011/07/06	80,000,000	2011/01/06
28004	RB of Scotland N.V.	China Shenhua European Wts Jun 2011	0.172	36.930	2011/06/27	150,000,000	2011/01/06
28003	RB of Scotland N.V.	China Shenhua European Wts Jul 2011	0.328	32.880	2011/07/07	150,000,000	2011/01/06
28001	RB of Scotland N.V.	Jiangxi Copper European Wts Jul 2011 A	0.376	23.680	2011/07/07	300,000,000	2011/01/06
28002	RB of Scotland N.V.	Jiangxi Copper European Wts Jul 2011 B	0.261	27.680	2011/07/07	300,000,000	2011/01/06
28012	Standard Chartered Bank	Cheung Kong European Wts Aug 2011	0.400	154.800	2011/08/08	50,000,000	2011/01/06
28013	Standard Chartered Bank	Cheung Kong European Wts Jun 2012	0.190	125 per 100 units	2012/06/04	100,000,000	2011/01/06
27999	Standard Chartered Bank	China Life European Wts Dec 2011 A	0.300	35.280	2011/12/01	100,000,000	2011/01/06
28000	Standard Chartered Bank	China Mobile Euro Wts Aug 2011 B	0.300	88.880	2011/08/08	100,000,000	2011/01/06
28014	Standard Chartered Bank	China Shenhua Euro Wts Aug 2011	0.250	38.000	2011/08/02	100,000,000	2011/01/06
28015	Standard Chartered Bank	China Shenhua Euro Wts Dec 2011	0.480	33.000	2011/12/01	100,000,000	2011/01/06
28007	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jul 2011 A	0.260	4.08 per unit	2011/07/06	200,000,000	2011/01/06
28005	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jun 2011 A	0.320	3.88 per unit	2011/06/22	200,000,000	2011/01/06
28006	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jun 2011 B	0.170	4.39 per unit	2011/06/27	200,000,000	2011/01/06
27990	SGA Societe Generale Acceptance N.V.	BYD Euro Wts Jun 2011	0.290	50.880	2011/06/01	100,000,000	2011/01/06
28008	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2011 A	0.500	7 per unit	2011/06/01	120,000,000	2011/01/06
28009	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2011A	0.350	7.38 per unit	2011/07/06	120,000,000	2011/01/06
27991	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Apr 2011B	0.340	136.000	2011/04/06	100,000,000	2011/01/06
27992	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2011D	0.150	36.000	2011/06/22	300,000,000	2011/01/06
27993	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul 2011B	0.250	31.800	2011/07/06	300,000,000	2011/01/06
27994	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011A	0.150	36.680	2011/08/01	300,000,000	2011/01/06
27995	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2011 B	0.180	88.880	2011/06/15	300,000,000	2011/01/06

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28010	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2011 A	0.210	6.48 per unit	2011/06/27	150,000,000	2011/01/06
28011	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2011	0.350	5.98 per unit	2011/07/06	150,000,000	2011/01/06
27996	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Apr 2011B	0.530	138.880	2011/04/06	50,000,000	2011/01/06
27997	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Apr 2011C	0.290	149.990	2011/04/11	50,000,000	2011/01/06
27998	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jun 11B	0.260	160.88 per 100 units	2011/06/20	200,000,000	2011/01/06
28037	BOCI Asia Ltd.	CNBM European Warrants Sep 2011	0.250	20.000	2011/09/05	100,000,000	2011/01/07
28039	BOCI Asia Ltd.	Dongfeng Motor European Warrants Nov 2011	0.250	15.000	2011/11/07	100,000,000	2011/01/07
28040	BOCI Asia Ltd.	Geely Auto European Warrants Jul 2011	0.375	4.20 per unit	2011/07/11	100,000,000	2011/01/07
28041	BOCI Asia Ltd.	ICBC European Warrants Aug 2011	0.250	6.50 per unit	2011/08/08	100,000,000	2011/01/07
28042	BOCI Asia Ltd.	Shimao Ppt European Warrants Dec 2011	0.160	13.800	2011/12/30	100,000,000	2011/01/07
28035	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2011 C	0.255	22,600 per 8,000 units	2011/07/28	200,000,000	2011/01/07
28031	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2011 B	0.255	23,000 per 8,000 units	2011/07/28	200,000,000	2011/01/07
28032	Goldman Sachs SP (Asia)	PetroChina European Wts Jul 2011	0.320	11.98 per unit	2011/07/18	200,000,000	2011/01/07
28045	Standard Chartered Bank	CC Bank European Warrants Nov 2011	0.250	9.39 per unit	2011/11/01	100,000,000	2011/01/07
28046	Standard Chartered Bank	Hutchison European Wts Aug 2011	0.380	104.800	2011/08/08	100,000,000	2011/01/07
28047	Standard Chartered Bank	Hutchison European Wts Oct 2011 A	1.000	88.880	2011/10/31	100,000,000	2011/01/07
28048	Standard Chartered Bank	Hutchison European Wts Dec 2011	0.280	128.000	2011/12/01	100,000,000	2011/01/07
28043	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2011E	0.210	31.880	2011/06/27	300,000,000	2011/01/07
28044	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2011 B	0.470	80.880	2011/07/11	300,000,000	2011/01/07
28033	UBS AG	HSI European Warrants May 2011 H	0.150	22,800 per 9,000 units	2011/05/30	200,000,000	2011/01/07
28034	UBS AG	HSI European Warrants May 2011 I	0.150	23,500 per 8,000 units	2011/05/30	200,000,000	2011/01/07
28036	UBS AG	HSI European Warrants Jul 2011 B	0.250	24,000 per 8,000 units	2011/07/28	200,000,000	2011/01/07
28038	UBS AG	HSI European Put Warrants May 2011 J	0.150	23,500 per 10,000 units	2011/05/30	200,000,000	2011/01/07
26154 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts May 2011 D	0.155	184.89 per 100 units	2011/05/06	100,000,000	2011/01/07
28062	Credit Suisse AG	CNOOC European Warrants Jun 2011 C	0.150	20.400	2011/06/01	300,000,000	2011/01/10
28063	Credit Suisse AG	A50 China European Warrants Sep 2011 B	0.150	13.620	2011/09/19	300,000,000	2011/01/10
28064	Credit Suisse AG	A50 China European Warrants Nov 2011 B	0.150	12.900	2011/11/21	300,000,000	2011/01/10
28061	Credit Suisse AG	HSI European Put Warrants Apr 2011 H	0.150	23,100 per 8,500 units	2011/04/28	300,000,000	2011/01/10
10029	Deutsche Bank AG	AUD/USD European Warrants Jul 2011	0.250	1.06 per 1 units	2011/07/11	50,000,000	2011/01/10
28060	Deutsche Bank AG	CNOOC European Warrants Jun 2011 B	0.150	20.400	2011/06/07	150,000,000	2011/01/10
10030	Deutsche Bank AG	GBP/USD European Warrants Jul 2011	0.250	1.62 per 1 units	2011/07/11	50,000,000	2011/01/10
10034	Deutsche Bank AG	GBP/USD European Put Warrants Jul 2011	0.250	1.48 per 1 units	2011/07/11	50,000,000	2011/01/10
28052	KBC Fin Products Int'l Ltd.	AIA European Warrants May 11C	0.150	22.300	2011/05/23	188,000,000	2011/01/10
28067	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Aug 2011	0.250	93.980	2011/08/08	128,000,000	2011/01/10
28066	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 C	0.250	90.680	2011/07/15	128,000,000	2011/01/10
28068	KBC Fin Products Int'l Ltd.	CNOOC European Wts Jun 2011 D	0.150	20.380	2011/06/01	108,000,000	2011/01/10
28053	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2011 B	0.160	113.900	2011/07/04	180,000,000	2011/01/10
28069	KBC Fin Products Int'l Ltd.	Ping An European Wts May 2011C	0.150	78.88 per 100 units	2011/05/23	108,000,000	2011/01/10
28054	KBC Fin Products Int'l Ltd.	Swire Pacific A Euro Wts Dec11	0.250	133 per 100 units	2011/12/01	88,000,000	2011/01/10
28057	Macquarie Bank Ltd.	CM Bank European Warrants Jul 2011 A	0.151	22.000	2011/07/05	250,000,000	2011/01/10
28058	Macquarie Bank Ltd.	HSBC European Warrants Jun 2011 A	0.160	92.800	2011/06/02	200,000,000	2011/01/10
28059	Macquarie Bank Ltd.	HSBC European Warrants Jun 2011 B	0.218	88.880	2011/06/14	200,000,000	2011/01/10
28055	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Jul 11A	0.170	22.000	2011/07/13	200,000,000	2011/01/10

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28049	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2011 C	0.550	85.880	2011/06/22	200,000,000	2011/01/10
28050	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2011 D	0.440	90.000	2011/06/27	200,000,000	2011/01/10
28051	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2011 B	0.430	92.880	2011/07/25	200,000,000	2011/01/10
28056	SGA Societe Generale Acceptance N.V.	Mongolia En Eu Wt Jul11	0.260	2.88 per unit	2011/07/25	50,000,000	2011/01/10
24023 <sup>#</sup>	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2011	0.068	80 per 100 units	2011/09/01	900,000,000	2011/01/10
28097	BOCI Asia Ltd.	Ch Overseas European Warrants Dec 2011	0.250	16.000	2011/12/28	100,000,000	2011/01/11
28098	BOCI Asia Ltd.	Ch Ship Cont European Warrants Aug 2011	0.500	4.08 per unit	2011/08/01	100,000,000	2011/01/11
28099	BOCI Asia Ltd.	Zijin Mining European Warrants Dec 2011	0.150	7.880	2011/12/28	100,000,000	2011/01/11
10036	BNP Paribas Arbit Issu B.V.	EUR/USD European Warrants Jul11	0.250	1.44 per 1 units	2011/07/11	200,000,000	2011/01/11
10032	BNP Paribas Arbit Issu B.V.	EUR/USD European Put Wts Jul11	0.250	1.24 per 1 units	2011/07/11	200,000,000	2011/01/11
10031	BNP Paribas Arbit Issu B.V.	USD/YEN European Warrants Jul11	0.250	85 per 1 units	2011/07/11	200,000,000	2011/01/11
10037	BNP Paribas Arbit Issu B.V.	USD/YEN European Put Wts Jul11	0.250	78 per 1 units	2011/07/11	200,000,000	2011/01/11
28112	Credit Suisse AG	HSI European Warrants Apr 2011 I	0.150	23,800 per 8,000 units	2011/04/28	300,000,000	2011/01/11
28100	Credit Suisse AG	Hutchison European Warrants Jun 2011 B	0.330	94.900	2011/06/07	300,000,000	2011/01/11
28101	Credit Suisse AG	Hutchison European Warrants Jun 2011 C	0.290	100.000	2011/06/23	300,000,000	2011/01/11
28102	Citigroup Global Mkt H Inc.	Alibaba European Warrants Nov 11	0.250	16.880	2011/11/28	80,000,000	2011/01/11
28103	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts May 2012	0.150	7.810	2012/05/21	80,000,000	2011/01/11
28104	Citigroup Global Mkt H Inc.	A50 China Euro Wts Sep 2011 C	0.150	13.618	2011/09/12	80,000,000	2011/01/11
28105	Deutsche Bank AG	BankComm European Warrants Jun 2011	0.350	8.99 per unit	2011/06/07	80,000,000	2011/01/11
28106	Deutsche Bank AG	BYD European Warrants Jul 2011	0.290	48.000	2011/07/11	80,000,000	2011/01/11
28108	Deutsche Bank AG	Cheung Kong European Warrants Oct 2012	0.200	130 per 100 units	2012/10/09	150,000,000	2011/01/11
28109	Deutsche Bank AG	Geely Auto European Warrants Jul 2011	0.360	3.80 per unit	2011/07/11	80,000,000	2011/01/11
28110	Deutsche Bank AG	KECL European Warrants Dec 2011	0.170	14.000	2011/12/12	80,000,000	2011/01/11
28120	Goldman Sachs SP (Asia)	Alibaba European Warrants Jun 2011	0.153	18.180	2011/06/28	200,000,000	2011/01/11
28094	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 A	0.485	90.050	2011/05/09	200,000,000	2011/01/11
28095	Goldman Sachs SP (Asia)	Yanzhou Coal European Wts Jun 2011	0.150	28.880	2011/06/13	200,000,000	2011/01/11
28096	HK Bank	CNOOC European Warrants Jul 2012	0.250	23.880	2012/07/18	200,000,000	2011/01/11
28111	J P Morgan SP BV	China Life European Warrants Jul 2011	0.250	33.880	2011/07/11	300,000,000	2011/01/11
28113	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Jul 2011 B	0.250	168.000	2011/07/15	108,000,000	2011/01/11
28107	KBC Fin Products Int'l Ltd.	CK(H) Euro Put Wts Oct 2011	0.250	132 per 100 units	2011/10/31	108,000,000	2011/01/11
28119	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Aug 2011	1.510	94.880	2011/08/01	68,000,000	2011/01/11
28114	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts May 2011 B	0.150	103.880	2011/05/03	238,000,000	2011/01/11
28115	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jun 2011 F	0.400	94.880	2011/06/07	188,000,000	2011/01/11
28116	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jun 2011 G	0.150	123.880	2011/06/27	238,000,000	2011/01/11
28117	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2011 C	0.150	128.880	2011/07/18	238,000,000	2011/01/11
28118	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Jul 11E	0.920	86.000	2011/07/11	80,000,000	2011/01/11
28089	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Jun 2011	0.151	13,800 per 6,000 units	2011/06/29	100,000,000	2011/01/11
28090	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Aug 2011	0.250	12,900 per 5,500 units	2011/08/30	100,000,000	2011/01/11
28091	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Apr 2012	0.250	14,800 per 6,000 units	2012/04/27	100,000,000	2011/01/11
28093	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Jun 2011	0.158	12,000 per 5,800 units	2011/06/29	100,000,000	2011/01/11
28092	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Jul 2011	0.198	12,600 per 6,000 units	2011/07/28	100,000,000	2011/01/11
28082	Nomura Int'l plc	Agricultural Bk European Warrants Jul 11	0.282	4.18 per unit	2011/07/29	100,000,000	2011/01/11
28086	Nomura Int'l plc	BOCL European Warrants Jul 2011 A	0.250	4.38 per unit	2011/07/29	100,000,000	2011/01/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28079	Nomura Int'l plc	China Mobile European Warrants Jun 2011	0.172	83.900	2011/06/30	100,000,000	2011/01/11
28078	Nomura Int'l plc	China Mobile European Warrants Jul 2011	0.360	78.880	2011/07/29	80,000,000	2011/01/11
28088	Nomura Int'l plc	CC Bank European Put Warrants Jul 2011	0.460	6.68 per unit	2011/07/29	100,000,000	2011/01/11
28076	Nomura Int'l plc	CC Bank European Warrants Jul 2011 A	0.496	7 per unit	2011/07/29	100,000,000	2011/01/11
28077	Nomura Int'l plc	CC Bank European Warrants Jul 2011 B	0.324	7.48 per unit	2011/07/29	100,000,000	2011/01/11
28084	Nomura Int'l plc	China Life European Warrants Jun 2011	0.150	36.000	2011/06/27	100,000,000	2011/01/11
28085	Nomura Int'l plc	China Life European Warrants Jul 2011 B	0.250	33.880	2011/07/29	100,000,000	2011/01/11
28075	Nomura Int'l plc	CNOOC European Warrants Nov 2011	0.250	20.880	2011/11/30	100,000,000	2011/01/11
28074	Nomura Int'l plc	Sinopec European Warrants Jul 2011 B	0.310	8.88 per unit	2011/07/29	100,000,000	2011/01/11
28080	Nomura Int'l plc	Dongfang Elec European Warrants Jun 2011	0.496	41.000	2011/06/17	100,000,000	2011/01/11
28081	Nomura Int'l plc	Dongfang Elec European Warrants Jul 2011	0.348	48.880	2011/07/29	100,000,000	2011/01/11
28065	Nomura Int'l plc	HSBC European Warrants Jul 2011	0.495	80.880	2011/07/29	80,000,000	2011/01/11
28087	Nomura Int'l plc	HSBC European Put Warrants Jul 2011	0.499	76.880	2011/07/29	60,000,000	2011/01/11
28070	Nomura Int'l plc	Hutchison European Warrants May 2011	0.150	114.888	2011/05/31	100,000,000	2011/01/11
28071	Nomura Int'l plc	Hutchison European Warrants Jul 2011	0.150	128.888	2011/07/29	100,000,000	2011/01/11
28083	Nomura Int'l plc	ICBC European Warrants Jul 2011	0.296	6.08 per unit	2011/07/29	100,000,000	2011/01/11
28072	Nomura Int'l plc	JIANC European Warrants May 2011 C	0.158	31.880	2011/05/31	100,000,000	2011/01/11
28073	Nomura Int'l plc	JIANC European Warrants Jul 2011	0.250	33.380	2011/07/29	100,000,000	2011/01/11
28121	UBS AG	Cheung Kong European Warrants Jul 2011	0.250	178.880	2011/07/11	200,000,000	2011/01/11
28122	UBS AG	CNOOC European Warrants Dec 2011	0.250	22.380	2011/12/19	300,000,000	2011/01/11
28123	UBS AG	HKEx European Warrants Aug 2011 A	0.150	200 per 100 units	2011/08/04	300,000,000	2011/01/11
28124	UBS AG	Hutchison European Warrants Jul 2011 B	0.420	98.880	2011/07/11	200,000,000	2011/01/11
27854 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt May 2011	0.238	81.990	2011/05/23	170,000,000	2011/01/11
23506 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2011	0.076	73.89 per 100 units	2011/12/05	250,000,000	2011/01/11
28133	BOCI Asia Ltd.	CM Bank European Warrants Dec 2011	0.250	22.500	2011/12/28	100,000,000	2011/01/12
28134	BOCI Asia Ltd.	CNOOC European Warrants Dec 2011	0.250	20.880	2011/12/19	100,000,000	2011/01/12
28135	BOCI Asia Ltd.	HSBC European Warrants May 2011	0.170	92.800	2011/05/30	100,000,000	2011/01/12
28151	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jul 2011	0.170	8.89 per unit	2011/07/04	200,000,000	2011/01/12
28152	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jun 2011 C	0.190	94.010	2011/06/02	200,000,000	2011/01/12
28153	BNP Paribas Arbit Issu B.V.	HSBC European Wts Aug 2011	0.200	98.330	2011/08/02	200,000,000	2011/01/12
28154	BNP Paribas Arbit Issu B.V.	Swire Pacific A Euro Wts Dec12	0.260	153.88 per 100 units	2012/12/04	100,000,000	2011/01/12
28155	Credit Suisse AG	HSBC European Wts Sep 2011	0.150	73 per 93 units	2011/09/19	300,000,000	2011/01/12
28136	Citigroup Global Mkt H Inc.	HKEx European Wts Jul 2011	0.150	218.88 per 100 units	2011/07/26	100,000,000	2011/01/12
28137	Citigroup Global Mkt H Inc.	Yanzhou Coal European Wt Jun11C	0.150	32.000	2011/06/15	80,000,000	2011/01/12
28138	Deutsche Bank AG	Alibaba European Warrants Sep 2011	0.260	14.880	2011/09/20	80,000,000	2011/01/12
10033	Deutsche Bank AG	Apple European Warrants Jul 2011 A	2.330	350 per 100 units	2011/07/12	50,000,000	2011/01/12
28139	Deutsche Bank AG	Cathay Pacific European Warrants May 2011	0.150	23.880	2011/05/23	100,000,000	2011/01/12
28140	Deutsche Bank AG	Esprit European Warrants Jul 2011	0.290	39.000	2011/07/18	100,000,000	2011/01/12
28141	Deutsche Bank AG	Jiangxi Copper European Warrants May 2011	0.150	31.880	2011/05/30	80,000,000	2011/01/12
28142	Deutsche Bank AG	Sands China European Warrants Jul 2011 A	0.250	18.000	2011/07/18	80,000,000	2011/01/12
28149	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Jul 2011	0.260	70.000	2011/07/11	200,000,000	2011/01/12
28150	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 B	0.390	100.100	2011/05/30	200,000,000	2011/01/12
28143	Macquarie Bank Ltd.	Anta Sports European Warrants Jan 2012	0.251	15.500	2012/01/05	100,000,000	2011/01/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28144	Macquarie Bank Ltd.	BOCL European Warrants Aug 2011	0.250	4.50 per unit	2011/08/02	100,000,000	2011/01/12
28146	Macquarie Bank Ltd.	China Overseas European Wts Dec 2011	0.157	17.780	2011/12/14	100,000,000	2011/01/12
28145	Macquarie Bank Ltd.	Digital China European Warrants Dec 11	0.251	18.000	2011/12/02	100,000,000	2011/01/12
28148	Macquarie Bank Ltd.	Hutchison European Put Wts Oct 2011	0.153	90 per 100 units	2011/10/10	100,000,000	2011/01/12
28147	Macquarie Bank Ltd.	Hutchison European Warrants Jul 2011 B	0.250	115.000	2011/07/12	150,000,000	2011/01/12
28125	Macquarie Bank Ltd.	Sh Ind H European Warrants Jul 2011	0.250	38.000	2011/07/28	100,000,000	2011/01/12
28158	RB of Scotland N.V.	CC Bank European Warrants Jul 2011 A	0.266	8.13 per unit	2011/07/18	100,000,000	2011/01/12
28156	RB of Scotland N.V.	China Coal European Warrants Oct 2011	0.251	12.380	2011/10/03	200,000,000	2011/01/12
28157	RB of Scotland N.V.	China Coal European Warrants Nov 2011	0.172	13.880	2011/11/28	200,000,000	2011/01/12
28126	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jun 2011 B	0.180	4.53 per unit	2011/06/27	200,000,000	2011/01/12
28128	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 2011A	0.250	86.880	2011/07/18	200,000,000	2011/01/12
28127	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2011 B	0.280	7.99 per unit	2011/06/01	200,000,000	2011/01/12
28129	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jul 2011	0.200	18.880	2011/07/18	400,000,000	2011/01/12
28132	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 11A	0.250	40.880	2011/09/05	150,000,000	2011/01/12
28130	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2011 E	0.420	98.880	2011/06/01	200,000,000	2011/01/12
28131	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Aug 11	0.250	178 per 100 units	2011/08/29	200,000,000	2011/01/12
28159	UBS AG	CC Bank European Warrants Jun 2011 A	0.200	8 per unit	2011/06/07	200,000,000	2011/01/12
28160	UBS AG	China Life European Warrants Jun 2011 C	0.150	36.380	2011/06/27	300,000,000	2011/01/12
28161	UBS AG	China Mobile European Warrants Jul 2011 B	0.150	86.880	2011/07/04	200,000,000	2011/01/12
28162	UBS AG	Hutchison European Warrants Jul 2011 C	0.520	90.880	2011/07/18	200,000,000	2011/01/12
25702 <sup>#</sup>	Macquarie Bank Ltd.	Hutchison European Warrants Jun 2011 B	0.400	100.000	2011/06/02	300,000,000	2011/01/12
22348 <sup>#</sup>	Credit Suisse AG	Ping An European Warrants Nov 2011 B	0.109	87.45 per 100 units	2011/11/07	400,000,000	2011/01/12
26424 <sup>#</sup>	UBS AG	HKEx European Warrants May 2011 F	0.109	195.88 per 100 units	2011/05/23	300,000,000	2011/01/12
28175	BOCI Asia Ltd.	Anta Sports European Warrants Nov 2011	0.190	15.000	2011/11/02	100,000,000	2011/01/13
28176	BOCI Asia Ltd.	Ch Agri-Ind European Warrants Dec 2012	0.250	9.180	2012/12/31	100,000,000	2011/01/13
28177	BOCI Asia Ltd.	HS Bank European Warrants Jul 2011	0.150	158.880	2011/07/05	100,000,000	2011/01/13
28178	BOCI Asia Ltd.	MTRC European Warrants Oct 2011	0.150	31.880	2011/10/31	100,000,000	2011/01/13
28181	BNP Paribas Arbit Issu B.V.	CMOC European Warrants Dec2012	0.250	7.800	2012/12/04	100,000,000	2011/01/13
28182	BNP Paribas Arbit Issu B.V.	CSR European Warrants Oct 2011	0.250	12.880	2011/10/04	100,000,000	2011/01/13
28183	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Euro Wts Nov 2011	0.320	28.000	2011/11/02	100,000,000	2011/01/13
28172	Citigroup Global Mkt H Inc.	HSBC European Wts Jun 2011	0.250	88.880	2011/06/14	80,000,000	2011/01/13
28171	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2011 A	0.250	24,200 per 8,000 units	2011/08/30	200,000,000	2011/01/13
28170	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2011 D	0.255	23,800 per 8,000 units	2011/07/28	200,000,000	2011/01/13
28174	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2011 E	0.250	23,400 per 8,000 units	2011/07/28	200,000,000	2011/01/13
28173	HK Bank	HWL European Warrants May 2011	0.250	105.050	2011/05/09	150,000,000	2011/01/13
28198	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2011 B	0.281	7.28 per 1 units	2011/04/13	200,000,000	2011/01/13
28188	KBC Fin Products Int'l Ltd.	Angang Steel Euro Wts Aug11A	0.250	11.880	2011/08/15	108,000,000	2011/01/13
28189	KBC Fin Products Int'l Ltd.	Angang Steel Euro Wts Aug11B	0.150	14.280	2011/08/01	108,000,000	2011/01/13
28194	KBC Fin Products Int'l Ltd.	Angang Steel Euro Put Wt Jul11	0.250	13.000	2011/07/15	80,000,000	2011/01/13
28190	KBC Fin Products Int'l Ltd.	Belle Int'l European Wts Aug11	0.150	15.380	2011/08/01	108,000,000	2011/01/13
28195	KBC Fin Products Int'l Ltd.	Belle Int'l Euro Put Wts Aug11	0.270	14.800	2011/08/15	80,000,000	2011/01/13
28191	KBC Fin Products Int'l Ltd.	CNBM European Warrants Aug 11	0.190	22.000	2011/08/01	88,000,000	2011/01/13
28196	KBC Fin Products Int'l Ltd.	CNBM European Put Wts Jul11	0.280	20.380	2011/07/15	88,000,000	2011/01/13

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28192	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts May 2011 C	0.150	118.880	2011/05/23	238,000,000	2011/01/13
28193	KBC Fin Products Int'l Ltd.	YZ Coal European Wts Jul 2011A	0.250	28.000	2011/07/15	80,000,000	2011/01/13
28197	KBC Fin Products Int'l Ltd.	Yanzhou Coal Euro Put Wt Aug11	0.390	24.800	2011/08/01	68,000,000	2011/01/13
28184	Macquarie Bank Ltd.	Agile Ppt European Warrants Jul 2011 B	0.150	13.880	2011/07/15	100,000,000	2011/01/13
28185	Macquarie Bank Ltd.	BOCHK European Warrants Nov 2011	0.250	32.880	2011/11/04	150,000,000	2011/01/13
28186	Macquarie Bank Ltd.	China EB Ltd European Warrants Aug 11	0.152	22.000	2011/08/15	150,000,000	2011/01/13
28199	Macquarie Bank Ltd.	GCL-Poly Energy European Warrants Jul11	0.250	3.88 per unit	2011/07/28	250,000,000	2011/01/13
28187	Macquarie Bank Ltd.	Sh Petrochem European Wts Jul 2011	0.254	5.38 per unit	2011/07/13	88,000,000	2011/01/13
28163	Merrill Lynch Int'l & Co. C.V.	Agricultural Bk Eu Wt Apr 11	0.244	4.09 per unit	2011/04/26	80,000,000	2011/01/13
28164	Merrill Lynch Int'l & Co. C.V.	Agricultural Bk Eu Wt Jun 11	0.154	4.59 per unit	2011/06/14	80,000,000	2011/01/13
28168	Merrill Lynch Int'l & Co. CV	Agricultural Bk Eu Put Wt Jun11	0.270	3.99 per unit	2011/06/07	80,000,000	2011/01/13
28165	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Apr 2011C	0.158	4.362 per 1 units	2011/04/26	80,000,000	2011/01/13
28166	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2011 A	0.154	87.990	2011/06/08	80,000,000	2011/01/13
28167	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jul 2011	0.198	84.990	2011/07/04	80,000,000	2011/01/13
28169	Merrill Lynch Int'l & Co. C.V.	ICBC European Put Wts Apr11	0.152	5.397 per 1 units	2011/04/26	80,000,000	2011/01/13
28180	Standard Chartered Bank	CNOOC European Warrants Jul 2011	0.150	19.990	2011/07/25	100,000,000	2011/01/13
28179	Standard Chartered Bank	HSBC European Warrants May 2011	0.200	92.800	2011/05/27	100,000,000	2011/01/13
26154 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts May 2011 D	0.141	184.89 per 100 units	2011/05/06	300,000,000	2011/01/13
28201	BOCI Asia Ltd.	Alibaba European Warrants Dec 2011	0.250	17.180	2011/12/05	100,000,000	2011/01/14
28202	BOCI Asia Ltd.	Belle Int'l European Warrants Dec 2011	0.250	15.800	2011/12/12	100,000,000	2011/01/14
28203	BOCI Asia Ltd.	BYD European Warrants May 2011	0.170	58.880	2011/05/17	100,000,000	2011/01/14
28204	BOCI Asia Ltd.	CITBK European Warrants Sep 2011	0.300	6.18 per unit	2011/09/05	100,000,000	2011/01/14
28220	Citigroup Global Mkt H Inc.	China Life Euro Wts Jul 2011	0.250	34.880	2011/07/18	120,000,000	2011/01/14
28221	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Oct 2012	0.150	11.000	2012/10/19	100,000,000	2011/01/14
28206	Deutsche Bank AG	Anhui Conch European Warrants Jun 2011	0.450	41.300	2011/06/07	80,000,000	2011/01/14
28208	Deutsche Bank AG	Bank of EA European Warrants Jul 2011	0.260	34.000	2011/07/18	80,000,000	2011/01/14
28209	Deutsche Bank AG	China Overseas European Warrants Oct 2011	0.250	15.380	2011/10/10	80,000,000	2011/01/14
10038	Deutsche Bank AG	DJIA European Warrants Sep 2011	0.250	12,500 per 30,000 units	2011/09/19	100,000,000	2011/01/14
10042	Deutsche Bank AG	DJIA European Put Warrants Sep 2011	0.350	11,500 per 30,000 units	2011/09/19	100,000,000	2011/01/14
10035	Deutsche Bank AG	Nikkei 225 Index European Wts Sep 2011	0.250	11,000 per 400 units	2011/09/09	100,000,000	2011/01/14
10039	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Sep 2011	0.320	10,500 per 400 units	2011/09/09	100,000,000	2011/01/14
28210	Deutsche Bank AG	Sinofert European Warrants Jul 2011	0.310	4.60 per unit	2011/07/18	80,000,000	2011/01/14
28211	Deutsche Bank AG	Wynn Macau European Warrants Jul 2011	0.250	23.000	2011/07/18	80,000,000	2011/01/14
28200	Goldman Sachs SP (Asia)	HKEx European Warrants Jul 2011	0.150	198.88 per 100 units	2011/07/18	200,000,000	2011/01/14
28207	HK Bank	CC Bank European Warrants May 2011 B	0.250	7.68 per unit	2011/05/18	150,000,000	2011/01/14
28212	J P Morgan SP BV	China Coal European Warrants Aug 2011	0.150	14.880	2011/08/25	200,000,000	2011/01/14
28205	Macquarie Bank Ltd.	HKEx European Put Warrants Aug 2011	0.250	184.88 per 100 units	2011/08/03	250,000,000	2011/01/14
28215	RB of Scotland N.V.	China Life European Wts Aug 2011 A	0.153	36.728	2011/08/01	200,000,000	2011/01/14
28216	RB of Scotland N.V.	HSBC European Warrants Apr 2011	0.455	84.000	2011/04/28	100,000,000	2011/01/14
28217	RB of Scotland N.V.	HSBC European Warrants May 2011	0.311	88.300	2011/05/09	100,000,000	2011/01/14
28218	RB of Scotland N.V.	HSBC European Warrants Jul 2011 A	0.262	95.280	2011/07/14	100,000,000	2011/01/14
28219	RB of Scotland N.V.	HWL European Warrants Jul 2011	0.254	108.880	2011/07/14	100,000,000	2011/01/14
28213	UBS AG	HKEx European Warrants Nov 2011 B	0.250	213.88 per 100 units	2011/11/28	300,000,000	2011/01/14



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28214	UBS AG	Hutchison European Warrants Aug 2011 A	0.250	108.880	2011/08/15	300,000,000	2011/01/14
28233	Deutsche Bank AG	Hutchison European Warrants Jul 2011 A	0.200	128.880	2011/07/25	150,000,000	2011/01/17
28232	Deutsche Bank AG	Hutchison European Warrants May 2011 B	0.160	118.880	2011/05/30	150,000,000	2011/01/17
28234	Goldman Sachs SP (Asia)	Evergrande European Wts Jul 2011 B	0.475	5.08 per unit	2011/07/18	100,000,000	2011/01/17
28235	Goldman Sachs SP (Asia)	Ping An European Warrants May 2011	0.310	96.880	2011/05/04	200,000,000	2011/01/17
28231	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2011 C	0.171	7.76 per 1 units	2011/04/26	200,000,000	2011/01/17
28236	KBC Fin Products Int'l Ltd.	China Shenhua Eu Wt Aug 2011	0.250	38.000	2011/08/01	80,000,000	2011/01/17
28222	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2011 B	0.405	77.990	2011/06/27	80,000,000	2011/01/17
28223	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jun 2011 A	0.440	82.890	2011/06/14	60,000,000	2011/01/17
28224	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2013	0.150	83.89 per 100 units	2013/01/31	200,000,000	2011/01/17
28225	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts May 2011	0.270	96.890	2011/05/11	60,000,000	2011/01/17
28226	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jun 2011	0.315	102.890	2011/06/14	60,000,000	2011/01/17
28227	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2011	0.150	88.89 per 100 units	2011/12/21	150,000,000	2011/01/17
28228	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Mar 2012 B	0.150	93.89 per 100 units	2012/03/13	150,000,000	2011/01/17
28229	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts Jul 12	0.250	91.99 per 100 units	2012/07/30	100,000,000	2011/01/17
28237	RB of Scotland N.V.	Alibaba European Warrants Dec 2011	0.250	17.280	2011/12/05	200,000,000	2011/01/17
28238	RB of Scotland N.V.	Belle Int'l European Warrants Nov 2011	0.185	15.700	2011/11/14	200,000,000	2011/01/17
28230	Standard Chartered Bank	CPIC European Warrants May 2011	0.175	36.000	2011/05/23	100,000,000	2011/01/17
26679 <sup>#</sup>	Credit Suisse AG	HSBC European Wts Apr 2011 D	0.370	87.000	2011/04/04	300,000,000	2011/01/17
26978 <sup>#</sup>	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jun 2011	0.237	82.880	2011/06/03	290,000,000	2011/01/17
25565 <sup>#</sup>	KBC Fin Products Int'l Ltd.	China Life Euro Wts Apr 2011 B	0.133	33.980	2011/04/12	300,000,000	2011/01/17
26484 <sup>#</sup>	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2011 D	0.380	85.880	2011/05/11	100,000,000	2011/01/17
28247	BOCI Asia Ltd.	China Mobile European Warrants Aug 2011	0.250	86.800	2011/08/01	100,000,000	2011/01/18
28265	BNP Paribas Arbit Issu B.V.	Agile Ppt European Wts Jan 12	0.250	14.000	2012/01/04	100,000,000	2011/01/18
28266	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Feb 2012	0.350	5 per unit	2012/02/02	75,000,000	2011/01/18
28249	Credit Suisse AG	Alibaba European Warrants Jun 2011	0.150	16.520	2011/06/20	200,000,000	2011/01/18
28250	Credit Suisse AG	BOC HK European Warrants Jun 2011 A	0.150	29.000	2011/06/24	200,000,000	2011/01/18
28251	Credit Suisse AG	Cheung Kong European Warrants Jun 2011 B	0.300	158.900	2011/06/10	200,000,000	2011/01/18
28252	Credit Suisse AG	Esprit European Warrants May 2011	0.150	44.100	2011/05/31	200,000,000	2011/01/18
28253	Credit Suisse AG	Hang Seng Bank European Warrants May 2011	0.390	138.900	2011/05/23	200,000,000	2011/01/18
28254	Credit Suisse AG	Hutchison European Warrants Oct 2012	0.150	108.90 per 100 units	2012/10/15	300,000,000	2011/01/18
28255	Credit Suisse AG	Hutchison European Put Warrants Jul 2011B	0.250	73.000	2011/07/18	300,000,000	2011/01/18
28256	Credit Suisse AG	Hutchison European Put Warrants Oct 2011	0.150	89.95 per 100 units	2011/10/10	300,000,000	2011/01/18
28257	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2011 A	0.250	27.050	2011/06/07	200,000,000	2011/01/18
10043	Deutsche Bank AG	Apple European Warrants Jul 2011 B	1.620	388 per 100 units	2011/07/18	50,000,000	2011/01/18
28248	Deutsche Bank AG	Sands China European Warrants Jul 2011 B	0.250	22.000	2011/07/25	80,000,000	2011/01/18
28268	Bank of East Asia	China Overseas European Warrants Dec 11	0.250	16.688	2011/12/23	90,000,000	2011/01/18
28267	Bank of East Asia	CNOOC European Warrants Dec 2011	0.250	20.883	2011/12/23	72,000,000	2011/01/18
28269	Bank of East Asia	HKEx European Warrants Dec 2011	0.250	200.388 per 100 units	2011/12/23	75,000,000	2011/01/18
28270	Bank of East Asia	JIANC European Warrants Dec 2011	0.270	32.358	2011/12/23	47,000,000	2011/01/18
28258	Goldman Sachs SP (Asia)	China Life Euro Wts Jul 2011 B	0.308	31.880	2011/07/25	200,000,000	2011/01/18
28260	Goldman Sachs SP (Asia)	CPIC European Warrants Jun 2011	0.154	35.880	2011/06/07	200,000,000	2011/01/18
28259	Goldman Sachs SP (Asia)	Hutchison European Wts Jul 2011 B	0.530	110.000	2011/07/25	200,000,000	2011/01/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28239	HK Bank	China Coal European Warrants Nov 2011 B	0.150	13.880	2011/11/28	100,000,000	2011/01/18
28240	HK Bank	China Life European Warrants Jun 2011 A	0.150	34.380	2011/06/03	150,000,000	2011/01/18
28241	HK Bank	CM Bank European Warrants Sep 2011	0.250	21.180	2011/09/20	120,000,000	2011/01/18
28242	HK Bank	ZTE European Warrants Aug 2011	0.250	33.880	2011/08/18	100,000,000	2011/01/18
28244	J P Morgan SP BV	Ping An European Warrants Apr 2011	0.346	88.880	2011/04/18	100,000,000	2011/01/18
28245	J P Morgan SP BV	Ping An European Warrants Jun 2011	0.258	98.800	2011/06/30	100,000,000	2011/01/18
28243	J P Morgan SP BV	Yurun Food European Warrants Jul 2011	0.250	32.000	2011/07/18	80,000,000	2011/01/18
28246	Macquarie Bank Ltd.	Minsheng Bank European Warrants Jul 11	0.251	8 per unit	2011/07/18	88,000,000	2011/01/18
28261	RB of Scotland N.V.	BOCL European Warrants Aug 2011	0.282	4.238 per unit	2011/08/01	100,000,000	2011/01/18
10040	RB of Scotland N.V.	DJIA European Warrants Sep 2011	0.253	12,800 per 40,000 units	2011/09/16	100,000,000	2011/01/18
10044	RB of Scotland N.V.	DJIA European Put Warrants Sep 2011 A	0.250	10,380 per 40,000 units	2011/09/16	100,000,000	2011/01/18
10041	RB of Scotland N.V.	Gold European Put Warrants Aug 2011	0.250	1,288 per 5,000 units	2011/08/01	100,000,000	2011/01/18
28262	RB of Scotland N.V.	HKEx European Warrants Oct 2011 B	0.150	208.888 per 100 units	2011/10/10	200,000,000	2011/01/18
28264	UBS AG	Hutchison European Warrants Jul 2011 D	0.250	133.880	2011/07/25	300,000,000	2011/01/18
28263	UBS AG	Ping An European Warrants May 2011 C	0.400	88.000	2011/05/03	200,000,000	2011/01/18
25413 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Mar11F	0.033	21,800 per 8,000 units	2011/03/30	350,000,000	2011/01/18
24663 <sup>#</sup>	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2011B	0.194	31.400	2011/03/07	100,000,000	2011/01/18
25840 <sup>#</sup>	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2011 B	0.250	6.50 per unit	2011/04/20	120,000,000	2011/01/18
28281	Credit Suisse AG	China Tel European Warrants Apr 2011	0.150	5 per unit	2011/04/29	200,000,000	2011/01/19
28282	Credit Suisse AG	China Tel European Warrants May 2011	0.150	4.89 per unit	2011/05/16	200,000,000	2011/01/19
28280	Credit Suisse AG	HSBC European Wts Apr 2011 F	0.150	96.900	2011/04/26	300,000,000	2011/01/19
28276	Credit Suisse AG	Yanzhou Coal European Warrants Jul 2011	0.250	30.380	2011/07/19	200,000,000	2011/01/19
28277	Deutsche Bank AG	CNOOC European Warrants Dec 2011	0.183	22.380	2011/12/12	200,000,000	2011/01/19
28278	Deutsche Bank AG	CNOOC European Put Warrants Jun 2011	0.150	17.880	2011/06/27	100,000,000	2011/01/19
28279	Deutsche Bank AG	Hutchison European Put Warrants Oct 2011	0.150	90 per 100 units	2011/10/17	100,000,000	2011/01/19
28271	Goldman Sachs SP (Asia)	CC Bank European Wts Jul 2011 B	0.250	8.70 per unit	2011/07/25	200,000,000	2011/01/19
28274	Macquarie Bank Ltd.	ICBC European Warrants Aug 2011	0.282	6.38 per unit	2011/08/02	150,000,000	2011/01/19
28285	CC Rabobank B.A.	HKEx European Warrants May 2011 C	0.150	200 per 100 units	2011/05/25	200,000,000	2011/01/19
28284	CC Rabobank B.A.	Lenovo European Warrants Jul 2011	0.530	5.10 per unit	2011/07/25	80,000,000	2011/01/19
28283	CC Rabobank B.A.	China Mengniu European Warrants Jul 2011	0.250	21.500	2011/07/28	100,000,000	2011/01/19
28286	CC Rabobank B.A.	Tencent Holdings European Wts Jul 2011	0.170	200 per 100 units	2011/07/21	150,000,000	2011/01/19
28275	Standard Chartered Bank	China Mobile Euro Wts Sep 2011 A	0.400	84.880	2011/09/09	100,000,000	2011/01/19
28272	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Jul 11	0.340	3.58 per unit	2011/07/27	100,000,000	2011/01/19
28273	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2011 F	0.330	108.880	2011/06/13	200,000,000	2011/01/19
24607 <sup>#</sup>	Macquarie Bank Ltd.	ICBC European Warrants Mar 2011	0.145	6.38 per unit	2011/03/02	200,000,000	2011/01/19
25892 <sup>#</sup>	Credit Suisse AG	Tencent Holdings European Put Wts Apr 11	0.066	180 per 100 units	2011/04/26	300,000,000	2011/01/19
28287	BOCI Asia Ltd.	Evergrande European Warrants Jul 2011	0.300	5.55 per unit	2011/07/25	100,000,000	2011/01/20
28288	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Jul 2011B	0.300	4.08 per unit	2011/07/25	100,000,000	2011/01/20
28331	BNP Paribas Arbit Issu B.V.	HK Gas European Warrants Sep11	0.250	18.000	2011/09/02	90,000,000	2011/01/20
28332	BNP Paribas Arbit Issu B.V.	Sh Ind H European Wts Aug11	0.320	35.000	2011/08/02	40,000,000	2011/01/20
28333	BNP Paribas Arbit Issu B.V.	Stanchart European Wts Aug11	0.250	240 per 100 units	2011/08/02	100,000,000	2011/01/20
28321	Credit Suisse AG	Ch Comm Cons European Warrants May 2011	0.150	7.89 per unit	2011/05/16	200,000,000	2011/01/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28322	Credit Suisse AG	CPIC European Warrants May 2011 B	0.150	35.900	2011/05/30	200,000,000	2011/01/20
28326	Credit Suisse AG	A50 China European Put Warrants Sep 2011	0.150	12.980	2011/09/22	300,000,000	2011/01/20
28324	Credit Suisse AG	HSBC European Put Warrants Sep 2011	0.150	89.95 per 100 units	2011/09/27	300,000,000	2011/01/20
28316	Credit Suisse AG	HSBC European Wts Jun 2011 B	0.150	108.000	2011/06/21	300,000,000	2011/01/20
28323	Credit Suisse AG	HSI European Put Warrants May 2011 C	0.150	24,300 per 9,000 units	2011/05/30	300,000,000	2011/01/20
28315	Credit Suisse AG	HSI European Warrants Apr 2011 J	0.150	24,700 per 8,000 units	2011/04/28	300,000,000	2011/01/20
28317	Credit Suisse AG	Hutchison European Warrants Jul 2011 C	0.250	135.000	2011/07/25	300,000,000	2011/01/20
28325	Credit Suisse AG	Hutchison European Put Warrants Jul 2011 D	0.340	76.850	2011/07/11	300,000,000	2011/01/20
28318	Credit Suisse AG	Jiangxi Copper European Wts May 2011 B	0.150	31.900	2011/05/24	200,000,000	2011/01/20
28319	Credit Suisse AG	Tencent Holdings European Wts Jun 2011 G	0.150	200.90 per 100 units	2011/06/20	300,000,000	2011/01/20
28320	Credit Suisse AG	Tencent Holdings European Wts Jun 2011 H	0.150	213.90 per 100 units	2011/06/23	300,000,000	2011/01/20
28329	Deutsche Bank AG	China Telecom European Wts May 2011	0.177	4.88 per unit	2011/05/17	150,000,000	2011/01/20
28327	Deutsche Bank AG	Cheung Kong European Put Wts Nov 2011	0.150	124 per 100 units	2011/11/07	100,000,000	2011/01/20
28328	Deutsche Bank AG	China Life European Put Wts Jul 2011	0.250	30.000	2011/07/25	100,000,000	2011/01/20
28330	Deutsche Bank AG	HSBC European Warrants Jul 2011 A	0.500	90.000	2011/07/25	300,000,000	2011/01/20
28306	Goldman Sachs SP (Asia)	China Tel European Wts May 2011	0.255	5 per unit	2011/05/09	200,000,000	2011/01/20
28307	Goldman Sachs SP (Asia)	China Tel European Wts Jul 2011	0.350	5.28 per unit	2011/07/25	200,000,000	2011/01/20
28308	Goldman Sachs SP (Asia)	China Unicom European Wts Oct11	0.157	11.800	2011/10/21	200,000,000	2011/01/20
28309	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2011 A	0.280	94.000	2011/06/03	200,000,000	2011/01/20
28310	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2011 B	0.162	100.000	2011/06/07	200,000,000	2011/01/20
28311	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 C	0.237	118.880	2011/05/30	200,000,000	2011/01/20
28313	HK Bank	China COSCO European Warrants May 2012	0.250	10.280	2012/05/18	100,000,000	2011/01/20
28305	HK Bank	HSI European Put Warrants Jul 2011	0.250	24,300 per 10,800 units	2011/07/28	300,000,000	2011/01/20
28303	HK Bank	HSI European Warrants Dec 2011 A	0.250	27,300 per 8,000 units	2011/12/29	300,000,000	2011/01/20
28304	HK Bank	HSI European Warrants Dec 2011 B	0.250	25,300 per 9,000 units	2011/12/29	300,000,000	2011/01/20
28298	J P Morgan SP BV	HSBC European Warrants May 2011	0.289	88.280	2011/05/09	200,000,000	2011/01/20
28296	J P Morgan SP BV	HSBC European Warrants Apr 2011 D	0.150	93.880	2011/04/27	200,000,000	2011/01/20
28297	J P Morgan SP BV	HSBC European Warrants Apr 2011 E	0.150	94.000	2011/04/21	200,000,000	2011/01/20
28299	J P Morgan SP BV	HSBC European Warrants Jul 2011 A	0.337	90.000	2011/07/28	200,000,000	2011/01/20
28300	J P Morgan SP BV	HSBC European Warrants Jul 2011 B	0.257	95.000	2011/07/20	200,000,000	2011/01/20
28301	J P Morgan SP BV	HSBC European Warrants Jul 2011 C	0.250	100.000	2011/07/28	200,000,000	2011/01/20
28302	J P Morgan SP BV	Stanchart European Warrants Jul 2011	0.250	220 per 100 units	2011/07/20	100,000,000	2011/01/20
28314	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Jul 11C	0.160	204.98 per 100 units	2011/07/04	108,000,000	2011/01/20
28312	Macquarie Bank Ltd.	HSBC European Warrants Aug 2011	0.250	100.880	2011/08/02	300,000,000	2011/01/20
28289	Standard Chartered Bank	HSBC European Warrants Sep 2011 B	0.250	106.880	2011/09/19	100,000,000	2011/01/20
28292	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2011 A	0.160	104.880	2011/08/03	300,000,000	2011/01/20
28290	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2011 C	0.300	91.880	2011/06/08	300,000,000	2011/01/20
28291	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2011 C	0.230	98.280	2011/07/20	300,000,000	2011/01/20
28293	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 11	0.580	100.000	2011/06/08	80,000,000	2011/01/20
28294	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 11	0.490	108.000	2011/07/04	80,000,000	2011/01/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28295	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Dec 11	0.150	88.88 per 100 units	2011/12/19	500,000,000	2011/01/20
28334	UBS AG	AIA European Warrants Oct 2011	0.150	22.800	2011/10/24	200,000,000	2011/01/20
28335	UBS AG	CC Bank European Warrants Jul 2011 A	0.250	8.38 per unit	2011/07/25	200,000,000	2011/01/20
28336	UBS AG	China Life European Warrants Jul 2011 B	0.250	37.880	2011/07/25	300,000,000	2011/01/20
28338	UBS AG	HSBC European Warrants Jul 2011 A	0.250	103.880	2011/07/25	300,000,000	2011/01/20
28337	UBS AG	HSBC European Warrants Jun 2011 D	0.150	108.000	2011/06/21	300,000,000	2011/01/20
26556*	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts May 2011 A	0.680	100.000	2011/05/05	150,000,000	2011/01/20
28395	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Put Wts Nov11	0.150	124 per 100 units	2011/11/04	100,000,000	2011/01/21
28394	BNP Paribas Arbit Issu B.V.	Hutchison Euro Put Wt Aug 2011	0.720	85.000	2011/08/02	70,000,000	2011/01/21
28377	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Nov 11	0.260	218 per 100 units	2011/11/07	100,000,000	2011/01/21
28372	Credit Suisse AG	CNOOC European Warrants Dec 2011	0.150	22.400	2011/12/12	300,000,000	2011/01/21
28373	Credit Suisse AG	CNOOC European Put Warrants Jun 2011 E	0.150	17.860	2011/06/27	300,000,000	2011/01/21
28397	Credit Suisse AG	Tencent Holdings European Wts Oct 2011	0.250	230 per 100 units	2011/10/24	300,000,000	2011/01/21
28398	Credit Suisse AG	Tencent Holdings European Put Wts Aug 11	0.250	188.88 per 100 units	2011/08/29	300,000,000	2011/01/21
28389	Deutsche Bank AG	CC Bank European Warrants May 2011 C	0.190	8.38 per unit	2011/05/30	200,000,000	2011/01/21
28390	Deutsche Bank AG	Sinopec Corp European Warrants Jun 2011 B	0.360	8.68 per unit	2011/06/20	100,000,000	2011/01/21
28391	Deutsche Bank AG	Sinopec Corp European Put Wts Aug 2011	0.390	7 per unit	2011/08/01	80,000,000	2011/01/21
28392	Deutsche Bank AG	Dongfeng Motor European Warrants Jul 11	0.150	18.000	2011/07/18	80,000,000	2011/01/21
28393	Deutsche Bank AG	Tencent Holdings European Put Wt Jul 2011	0.250	200 per 100 units	2011/07/25	100,000,000	2011/01/21
28360	Goldman Sachs SP (Asia)	BOC HK European Warrants Jun 2011B	0.174	29.000	2011/06/29	200,000,000	2011/01/21
28361	Goldman Sachs SP (Asia)	CITIC Pacific European Wts Aug 11	0.250	24.000	2011/08/22	200,000,000	2011/01/21
28375	Goldman Sachs SP (Asia)	GCL-Poly Energy European Wts Jul11	0.330	3.90 per unit	2011/07/25	200,000,000	2011/01/21
28362	Goldman Sachs SP (Asia)	HS Bank European Warrants Jul 2011	0.250	150.800	2011/07/22	200,000,000	2011/01/21
28363	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2011 F	0.154	25,800 per 8,200 units	2011/07/28	200,000,000	2011/01/21
28374	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2011 G	0.270	24,600 per 8,000 units	2011/07/28	200,000,000	2011/01/21
28376	Goldman Sachs SP (Asia)	Tencent Holdings European Wt Jun 11	0.162	213.88 per 100 units	2011/06/27	200,000,000	2011/01/21
28364	J P Morgan SP BV	China Everbright European Wts Aug 2011	0.250	20.000	2011/08/22	150,000,000	2011/01/21
28383	J P Morgan SP BV	China Life European Warrants Jun 2011 B	0.150	35.000	2011/06/30	200,000,000	2011/01/21
28365	J P Morgan SP BV	Sinopec Corp European Warrants Aug 2011	0.250	10 per unit	2011/08/29	100,000,000	2011/01/21
28366	J P Morgan SP BV	Hutchison European Warrants Jul 2011	0.250	118.900	2011/07/21	80,000,000	2011/01/21
28367	J P Morgan SP BV	Hutchison European Warrants Oct 2012	0.150	108.90 per 100 units	2012/10/15	300,000,000	2011/01/21
28368	J P Morgan SP BV	PetroChina European Warrants Jul 2011	0.390	12 per unit	2011/07/28	100,000,000	2011/01/21
28370	J P Morgan SP BV	Tencent European Warrants Sep 2011	0.250	208.88 per 100 units	2011/09/12	200,000,000	2011/01/21
28382	KBC Fin Products Int'l Ltd.	CPIC European Wts Aug 2011	0.250	38.000	2011/08/01	108,000,000	2011/01/21
28381	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Aug 11	0.250	215 per 100 units	2011/08/01	108,000,000	2011/01/21
28385	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Aug11A	0.330	208 per 100 units	2011/08/01	108,000,000	2011/01/21
28379	Macquarie Bank Ltd.	CNOOC European Warrants Dec 2011	0.250	21.880	2011/12/02	200,000,000	2011/01/21
28378	Macquarie Bank Ltd.	Chi Res Power European Warrants Aug 11	0.150	14.880	2011/08/15	200,000,000	2011/01/21
28369	Macquarie Bank Ltd.	Tencent European Warrants Nov 2011 A	0.251	220 per 100 units	2011/11/02	250,000,000	2011/01/21
28380	Macquarie Bank Ltd.	Tencent European Put Warrants Aug 11B	0.255	200 per 100 units	2011/08/02	250,000,000	2011/01/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28348	Nomura Int'l plc	BOCL European Warrants Jul 2011 B	0.162	4.608 per unit	2011/07/08	150,000,000	2011/01/21
28339	Nomura Int'l plc	Cheung Kong European Warrants May 2011	0.600	140.980	2011/05/31	100,000,000	2011/01/21
28346	Nomura Int'l plc	GCL-Poly Energy European Warrants Jul 11A	0.334	3.88 per unit	2011/07/29	80,000,000	2011/01/21
28347	Nomura Int'l plc	GCL-Poly Energy European Warrants Jul 11B	0.397	3.68 per unit	2011/07/29	80,000,000	2011/01/21
28340	Nomura Int'l plc	HK Gas European Warrants Oct 2011	0.150	22.000	2011/10/31	100,000,000	2011/01/21
28345	Nomura Int'l plc	China Mengniu European Warrants Jul 2011	0.254	21.500	2011/07/21	100,000,000	2011/01/21
28343	Nomura Int'l plc	Tencent Holdings European Warrants Aug 11	0.174	200.10 per 100 units	2011/08/01	150,000,000	2011/01/21
28344	Nomura Int'l plc	Tencent Holdings European Warrants Sep 11	0.154	213.98 per 100 units	2011/09/05	150,000,000	2011/01/21
28342	Nomura Int'l plc	Wharf European Warrants Jun 2011	0.334	63.900	2011/06/07	80,000,000	2011/01/21
28341	Nomura Int'l plc	Wharf European Warrants Jul 2011	0.310	68.880	2011/07/29	80,000,000	2011/01/21
28356	CC Rabobank B.A.	Shanshui Cement European Warrants Jul 11	0.500	7.28 per unit	2011/07/28	50,000,000	2011/01/21
28359	CC Rabobank B.A.	Hutchison European Warrants Oct 2012	0.150	108.98 per 100 units	2012/10/15	300,000,000	2011/01/21
28357	CC Rabobank B.A.	Hutchison European Warrants May 2011 C	0.450	100.000	2011/05/30	100,000,000	2011/01/21
28358	CC Rabobank B.A.	Hutchison European Warrants May 2011 D	0.250	114.980	2011/05/30	100,000,000	2011/01/21
28371	Standard Chartered Bank	ICBC European Warrants Sep 2011 A	0.250	7.47 per unit	2011/09/01	100,000,000	2011/01/21
28396	Standard Chartered Bank	Tencent Hldg European Wts May 2011	0.150	208.90 per 100 units	2011/05/23	100,000,000	2011/01/21
28350	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Jul 11B	0.250	9.48 per unit	2011/07/25	200,000,000	2011/01/21
28349	SGA Societe Generale Acceptance N.V.	CPIC Euro Wts Aug 11	0.250	38.880	2011/08/31	100,000,000	2011/01/21
28351	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2011 B	0.150	222.80 per 100 units	2011/09/26	500,000,000	2011/01/21
28352	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2011 B	0.150	208.88 per 100 units	2011/10/10	500,000,000	2011/01/21
28353	SGA Societe Generale Acceptance N.V.	Lenovo Eur Wts Jul 11	0.370	5.58 per unit	2011/07/25	50,000,000	2011/01/21
28354	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jul 2011	0.490	12.08 per unit	2011/07/25	100,000,000	2011/01/21
28355	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Aug 11	0.250	31.280	2011/08/31	120,000,000	2011/01/21
28384	UBS AG	Agricultural Bank European Warrants May 2011 B	0.150	4.40 per unit	2011/05/16	200,000,000	2011/01/21
28386	UBS AG	Hutchison European Warrants May 2011	0.300	108.000	2011/05/30	300,000,000	2011/01/21
28387	UBS AG	PetroChina European Warrants Oct 2012	0.150	11.000	2012/10/22	300,000,000	2011/01/21
28388	UBS AG	Tencent Holdings European Warrants Sep 2011 A	0.250	228.88 per 100 units	2011/09/26	200,000,000	2011/01/21
10033 <sup>†</sup>	Deutsche Bank AG	Apple European Warrants Jul 2011 A	1.970	350 per 100 units	2011/07/12	50,000,000	2011/01/21
26865 <sup>†</sup>	KBC Fin Products Int'l Ltd.	HSBC European Wts May 2011 F	0.250	93.980	2011/05/03	310,000,000	2011/01/21
28426	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jun 2011	0.290	158.900	2011/06/02	75,000,000	2011/01/24
28427	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Oct 2012	0.150	108.90 per 100 units	2012/10/29	100,000,000	2011/01/24
28413	Deutsche Bank AG	Alibaba European Warrants May 2011	0.150	16.880	2011/05/23	80,000,000	2011/01/24
10045	Deutsche Bank AG	Apple European Put Warrants Jul 2011	2.090	328 per 100 units	2011/07/25	50,000,000	2011/01/24
28415	Deutsche Bank AG	China EB Ltd European Warrants Oct 2011	0.250	19.000	2011/10/17	80,000,000	2011/01/24
28414	Deutsche Bank AG	Cheung Kong European Put Wts Oct 2011 B	0.160	132 per 100 units	2011/10/24	100,000,000	2011/01/24
28416	Deutsche Bank AG	COSCO Pacific European Warrants Dec 2011	0.250	17.000	2011/12/19	80,000,000	2011/01/24
28417	Deutsche Bank AG	Lenovo European Warrants Jul 2011	0.520	5 per unit	2011/07/25	80,000,000	2011/01/24
28424	Goldman Sachs SP (Asia)	CHALCO European Warrants Apr 2011	0.227	8.88 per unit	2011/04/26	200,000,000	2011/01/24
28401	Goldman Sachs SP (Asia)	BOC HK European Warrants Jul 2011	0.156	30.880	2011/07/05	200,000,000	2011/01/24
28425	Goldman Sachs SP (Asia)	China Mobile Euro Wts Aug 2011 A	0.162	93.980	2011/08/08	200,000,000	2011/01/24
28402	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 D	0.320	114.880	2011/05/30	200,000,000	2011/01/24
28403	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 E	0.470	104.980	2011/05/16	200,000,000	2011/01/24
28404	HK Bank	CNOOC European Warrants Nov 2011	0.250	21.880	2011/11/29	150,000,000	2011/01/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28405	HK Bank	Tencent European Warrants Oct 2011	0.250	232.88 per 100 units	2011/10/03	200,000,000	2011/01/24
28407	J P Morgan SP BV	Esprit European Wts Jul 2011	0.263	38.000	2011/07/25	100,000,000	2011/01/24
28406	J P Morgan SP BV	HKEx European Warrants Aug 2011 C	0.150	208.88 per 100 units	2011/08/19	300,000,000	2011/01/24
28422	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Aug 2011 A	0.250	141.880	2011/08/01	238,000,000	2011/01/24
28421	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jul 2011 F	0.270	134.880	2011/07/25	238,000,000	2011/01/24
28423	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Sep 11A	0.250	226.88 per 100 units	2011/09/15	100,000,000	2011/01/24
28408	SGA Societe Generale Acceptance N.V.	AIA European Wt Jun11C	0.150	21.880	2011/06/08	300,000,000	2011/01/24
28399	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jun 2011B	0.260	25.88 per unit	2011/06/15	100,000,000	2011/01/24
28400	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Dec 2011	0.150	22.380	2011/12/12	400,000,000	2011/01/24
28409	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2011 E	0.160	24,800 per 8,000 units	2011/06/29	300,000,000	2011/01/24
28410	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2011 B	0.150	25,800 per 8,200 units	2011/07/28	300,000,000	2011/01/24
28411	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 11C	0.160	23,800 per 9,000 units	2011/06/29	300,000,000	2011/01/24
28412	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 11D	0.210	24,200 per 8,000 units	2011/06/29	300,000,000	2011/01/24
28418	UBS AG	BOC HK European Warrants Jun 2011	0.150	29.000	2011/06/22	200,000,000	2011/01/24
28419	UBS AG	China Life European Warrants May 2011 B	0.150	32.880	2011/05/30	300,000,000	2011/01/24
28420	UBS AG	Tencent Holdings European Put Warrants Aug 2011	0.250	190 per 100 units	2011/08/08	200,000,000	2011/01/24
23506*	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2011	0.072	73.89 per 100 units	2011/12/05	750,000,000	2011/01/24
19134*	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Oct 2011 A	0.110	65.99 per 100 units	2011/10/18	500,000,000	2011/01/24
27253*	Standard Chartered Bank	China Life European Warrants Jul 11B	0.103	36.380	2011/07/04	100,000,000	2011/01/24
27443*	UBS AG	China Mobile European Warrants May 2011 B	0.260	82.000	2011/05/30	200,000,000	2011/01/24
28434	BOCI Asia Ltd.	China Life European Warrants Jul 2011	0.160	34.880	2011/07/18	100,000,000	2011/01/25
28435	BOCI Asia Ltd.	Hutchison European Warrants Oct 2012	0.150	108.88 per 100 units	2012/10/22	300,000,000	2011/01/25
28439	BNP Paribas Arbit Issu B.V.	Ch Mer Hold European Wts Aug11	0.250	39.000	2011/08/02	75,000,000	2011/01/25
28440	BNP Paribas Arbit Issu B.V.	Chi Resources Euro Wts Aug11	0.250	38.000	2011/08/02	55,000,000	2011/01/25
28441	BNP Paribas Arbit Issu B.V.	Evergrande European Wts Aug 11	0.370	5.20 per unit	2011/08/02	100,000,000	2011/01/25
28442	BNP Paribas Arbit Issu B.V.	HKEx European Put Wts May 11B	0.150	180 per 100 units	2011/05/03	100,000,000	2011/01/25
28443	BNP Paribas Arbit Issu B.V.	HSBC European Put Wts Sep2011D	0.400	77.000	2011/09/02	100,000,000	2011/01/25
28457	Credit Suisse AG	Agricultural Bank European Wts Jun 2011 D	0.300	3.99 per unit	2011/06/22	300,000,000	2011/01/25
28458	Credit Suisse AG	AIA European Warrants Jul 2011A	0.150	22.900	2011/07/21	300,000,000	2011/01/25
28452	Credit Suisse AG	CC Bank European Warrants May 2011 C	0.280	7.51 per unit	2011/05/23	300,000,000	2011/01/25
28453	Credit Suisse AG	CC Bank European Warrants May 2011 D	0.250	7.81 per unit	2011/05/16	300,000,000	2011/01/25
28462	Credit Suisse	Cheung Kong European Put Warrants Jul 2011 B	0.850	128.000	2011/07/25	200,000,000	2011/01/25
28449	Credit Suisse AG	HSBC European Wts Apr 2011 G	0.250	90.900	2011/04/26	300,000,000	2011/01/25
28450	Credit Suisse AG	HSBC European Wts May 2011 E	0.250	92.850	2011/05/23	300,000,000	2011/01/25
28451	Credit Suisse AG	Hutchison European Warrants May 2011 G	0.310	105.000	2011/05/06	300,000,000	2011/01/25
28454	Credit Suisse AG	ICBC European Warrants May 2011 B	0.150	6.69 per unit	2011/05/23	300,000,000	2011/01/25
28455	Credit Suisse AG	ICBC European Warrants May 2011 C	0.190	6.29 per unit	2011/05/30	300,000,000	2011/01/25
28456	Credit Suisse AG	Ping An European Warrants Apr 2011 B	0.380	88.000	2011/04/29	300,000,000	2011/01/25
28463	Credit Suisse AG	Tencent Holdings European Put Wts Jul 11A	0.250	196 per 100 units	2011/07/25	300,000,000	2011/01/25
28428	Citigroup Global Mkt H Inc.	Tencent European Warrants Dec 11	0.250	228.88 per 100 units	2011/12/30	100,000,000	2011/01/25
10046	Deutsche Bank AG	Apple European Put Warrants Aug 2011	1.480	298 per 100 units	2011/08/08	50,000,000	2011/01/25
28474	Deutsche Bank AG	HSBC European Warrants Jun 2011 G	0.200	100.000	2011/06/14	300,000,000	2011/01/25

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28472	Deutsche Bank AG	HSI European Warrants Jul 2011 A	0.280	24,800 per 8,000 units	2011/07/28	300,000,000	2011/01/25
28473	Deutsche Bank AG	HSI European Warrants Jul 2011 B	0.250	25,400 per 8,000 units	2011/07/28	300,000,000	2011/01/25
28477	Deutsche Bank AG	HSI European Put Warrants Jul 2011 C	0.370	24,200 per 8,000 units	2011/07/28	300,000,000	2011/01/25
28478	Deutsche Bank AG	HSI European Put Warrants Jul 2011 D	0.330	23,600 per 8,000 units	2011/07/28	300,000,000	2011/01/25
10047	Deutsche Bank AG	Nasdaq-100 Index European Wts Sep 2011	0.250	2,400 per 8,000 units	2011/09/19	50,000,000	2011/01/25
10051	Deutsche Bank AG	Nasdaq-100 Index Euro Put Wts Sep 2011	0.260	2,250 per 8,000 units	2011/09/19	50,000,000	2011/01/25
28429	Goldman Sachs SP (Asia)	Agricultural Bk Euro Wts Jul 11 A	0.270	4.28 per unit	2011/07/28	200,000,000	2011/01/25
28444	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2011 B	0.219	85.500	2011/06/22	200,000,000	2011/01/25
28445	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2011 A	0.350	82.000	2011/07/25	200,000,000	2011/01/25
28446	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2011 B	0.162	90.680	2011/07/15	200,000,000	2011/01/25
28447	Goldman Sachs SP (Asia)	China Mobile Euro Wts Aug 2011 B	0.300	88.000	2011/08/29	200,000,000	2011/01/25
28430	Goldman Sachs SP (Asia)	HSBC European Warrants May 2011 D	0.250	93.880	2011/05/04	200,000,000	2011/01/25
28431	Goldman Sachs SP (Asia)	HSBC European Warrants May 2011 E	0.192	96.880	2011/05/03	200,000,000	2011/01/25
28432	Goldman Sachs SP (Asia)	SHK Ppt European Warrants May 2011	0.310	168.000	2011/05/30	200,000,000	2011/01/25
28433	Goldman Sachs SP (Asia)	Tencent Holdings European Wt Oct11B	0.250	228 per 100 units	2011/10/31	200,000,000	2011/01/25
28464	J P Morgan SP BV	Cheung Kong European Warrants May 2011	0.250	160.000	2011/05/04	100,000,000	2011/01/25
28465	J P Morgan SP BV	Cheung Kong European Warrants Dec 2012	0.250	148.88 per 100 units	2012/12/31	200,000,000	2011/01/25
28467	J P Morgan SP BV	Hutchison European Put Warrants Oct 2011	0.150	90 per 100 units	2011/10/10	200,000,000	2011/01/25
28468	J P Morgan SP BV	Hutchison European Put Warrants Feb 2012	0.250	100 per 100 units	2012/02/27	200,000,000	2011/01/25
28469	J P Morgan SP BV	Tencent European Warrants Jun 2011 C	0.150	220.20 per 100 units	2011/06/21	200,000,000	2011/01/25
28470	J P Morgan SP BV	Tencent European Warrants Nov 2011	0.250	238.88 per 100 units	2011/11/28	200,000,000	2011/01/25
28471	J P Morgan SP BV	Tencent European Put Warrants Sep 2011	0.250	190 per 100 units	2011/09/19	200,000,000	2011/01/25
28459	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Jul 2011	0.250	4.18 per unit	2011/07/22	108,000,000	2011/01/25
28460	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Aug2011A	0.350	3.88 per unit	2011/08/08	108,000,000	2011/01/25
28448	KBC Fin Products Int'l Ltd.	AIA European Warrants Jul11A	0.250	20.380	2011/07/25	168,000,000	2011/01/25
28436	Macquarie Bank Ltd.	Tencent European Warrants Nov 2011 B	0.251	235 per 100 units	2011/11/02	250,000,000	2011/01/25
28437	UBS AG	Alibaba European Warrants May 2011 B	0.150	18.880	2011/05/23	200,000,000	2011/01/25
28438	UBS AG	Alibaba European Warrants Aug 2011	0.150	21.000	2011/08/29	200,000,000	2011/01/25
28461	UBS AG	China Mobile European Warrants Jun 2011A	0.270	78.880	2011/06/27	300,000,000	2011/01/25
26061 <sup>#</sup>	BNP Paribas Arbit Issu B.V.	BOCL European Wts May 2011	0.048	5.10 per unit	2011/05/03	210,000,000	2011/01/25
25550 <sup>#</sup>	Deutsche Bank AG	China Life European Warrants Apr 2011 A	0.053	35.680	2011/04/26	300,000,000	2011/01/25
28504	Credit Suisse AG	China Mobile European Warrants Apr 2011 B	0.150	90.900	2011/04/26	300,000,000	2011/01/26
28505	Credit Suisse AG	China Mobile European Warrants May 2011 B	0.150	88.400	2011/05/18	300,000,000	2011/01/26
28506	Credit Suisse AG	China Mobile European Warrants Jun 2011 D	0.170	85.550	2011/06/15	300,000,000	2011/01/26
28507	Deutsche Bank AG	China Telecom European Wts Aug 2011	0.250	5.38 per unit	2011/08/01	100,000,000	2011/01/26
28497	Deutsche Bank AG	HSI European Warrants Dec 2011	0.250	26,000 per 11,000 units	2011/12/29	300,000,000	2011/01/26
28501	Deutsche Bank AG	HSI European Put Warrants Dec 2011	0.290	23,000 per 11,000 units	2011/12/29	300,000,000	2011/01/26
10048	Deutsche Bank AG	KOSPI 200 European Warrants Sep 2011	0.250	300 per 1 units	2011/09/08	50,000,000	2011/01/26
10052	Deutsche Bank AG	KOSPI 200 European Put Warrants Sep 2011	0.320	275 per 1 units	2011/09/08	50,000,000	2011/01/26
28488	Goldman Sachs SP (Asia)	CC Bank European Wts Jul 2011 C	0.500	7.58 per unit	2011/07/27	200,000,000	2011/01/26

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28489	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2011	0.155	188.98 per 100 units	2011/06/23	200,000,000	2011/01/26
28499	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Sep 2011	0.330	4.98 per unit	2011/09/09	80,000,000	2011/01/26
28503	KBC Fin Products Int'l Ltd.	China Tel Eur Put Wts Sep 2011	0.260	4.18 per unit	2011/09/09	80,000,000	2011/01/26
28466	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Jun11	0.152	21.890	2011/06/20	80,000,000	2011/01/26
28475	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Oct11	0.150	22.850	2011/10/24	80,000,000	2011/01/26
28476	Merrill Lynch Int'l & Co. C.V.	AIA European Put Wts May11	0.154	20.990	2011/05/16	80,000,000	2011/01/26
28483	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Dec 12C	0.250	139.99 per 100 units	2012/12/13	80,000,000	2011/01/26
28484	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Warrants Oct11	0.170	131.99 per 100 units	2011/10/24	80,000,000	2011/01/26
28480	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Aug11A	0.690	104.990	2011/08/22	80,000,000	2011/01/26
28481	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Jul 2011	1.190	94.890	2011/07/25	80,000,000	2011/01/26
28479	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts May 11B	0.225	118.890	2011/05/23	80,000,000	2011/01/26
28482	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Oct 2011	0.151	140.09 per 100 units	2011/10/17	80,000,000	2011/01/26
28494	CC Rabobank B.A.	Evergrande European Warrants Aug 2011	0.600	4.68 per unit	2011/08/15	100,000,000	2011/01/26
28495	CC Rabobank B.A.	GCL-Poly Energy European Wts Jul 2011	0.400	3.88 per unit	2011/07/21	100,000,000	2011/01/26
28496	CC Rabobank B.A.	SJM Holdings European Warrants Nov 2011	0.250	17.000	2011/11/04	100,000,000	2011/01/26
28508	RB of Scotland N.V.	CNOOC European Warrants Oct 2011	0.255	19.000	2011/10/03	300,000,000	2011/01/26
28509	RB of Scotland N.V.	CNOOC European Warrants Dec 2011	0.217	20.880	2011/12/16	300,000,000	2011/01/26
28510	RB of Scotland N.V.	HSBC European Warrants Jun 2011 D	0.212	100.000	2011/06/03	100,000,000	2011/01/26
28511	RB of Scotland N.V.	PetroChina European Warrants Aug 2011	0.462	12.50 per unit	2011/08/01	60,000,000	2011/01/26
28512	RB of Scotland N.V.	PetroChina European Warrants Nov 2011	0.175	9.980	2011/11/28	250,000,000	2011/01/26
28492	Standard Chartered Bank	CTel European Warrants Aug 2011	0.250	5.28 per unit	2011/08/01	100,000,000	2011/01/26
28493	Standard Chartered Bank	China Mobile Euro Wts Jun 2011 C	0.165	85.500	2011/06/15	100,000,000	2011/01/26
28490	Standard Chartered Bank	HSBC European Warrants Apr 2011 B	0.192	96.880	2011/04/28	100,000,000	2011/01/26
28491	Standard Chartered Bank	Jiangxi Copper European Wts Jul11	0.150	33.380	2011/07/22	100,000,000	2011/01/26
28485	SGA Societe Generale Acceptance N.V.	Alibaba Eu Wts Oct 11A	0.250	19.880	2011/10/24	150,000,000	2011/01/26
28486	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Aug 2011	0.650	8.48 per unit	2011/08/29	50,000,000	2011/01/26
28487	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Sep 11	0.390	4.08 per unit	2011/09/26	100,000,000	2011/01/26
28500	UBS AG	China Tel European Warrants Jul 2011 A	0.250	5.28 per unit	2011/07/26	100,000,000	2011/01/26
28498	UBS AG	China Mobile European Warrants Jun 2011 B	0.180	83.880	2011/06/27	200,000,000	2011/01/26
28502	UBS AG	PetroChina European Warrants May 2011	0.150	13.88 per unit	2011/05/16	100,000,000	2011/01/26
26239 <sup>†</sup>	UBS AG	Alibaba European Warrants May 2011 A	0.158	16.880	2011/05/17	100,000,000	2011/01/26
28538	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Aug 2011	0.250	5.60 per unit	2011/08/02	80,000,000	2011/01/27
28536	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Aug 11B	0.270	9.60 per unit	2011/08/02	100,000,000	2011/01/27
28537	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts May 2012	0.150	7.800	2012/05/28	100,000,000	2011/01/27
28525	Credit Suisse AG	China Tel European Warrants Jul 2011 A	0.250	5.35 per unit	2011/07/27	300,000,000	2011/01/27
28526	Credit Suisse AG	Tencent Holdings European Put Wts May 11E	0.150	179.99 per 100 units	2011/05/31	300,000,000	2011/01/27
28514	Citigroup Global Mkt H Inc.	CNOOC European Wts Oct 2011 A	0.250	21.380	2011/10/26	100,000,000	2011/01/27
28513	Citigroup Global Mkt H Inc.	China Tel Euro Wts Jul 2011 B	0.250	5.48 per unit	2011/07/27	80,000,000	2011/01/27
28535	Goldman Sachs SP (Asia)	CNOOC European Warrants Aug 2011	0.630	26.80 per unit	2011/08/01	200,000,000	2011/01/27
28532	Goldman Sachs SP (Asia)	CNOOC European Warrants Nov 2011	0.250	22.000	2011/11/28	200,000,000	2011/01/27
28530	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Aug 2011 A	0.410	9.28 per unit	2011/08/01	200,000,000	2011/01/27
28531	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Aug 2011 B	0.290	10.18 per unit	2011/08/22	200,000,000	2011/01/27
28533	Goldman Sachs SP (Asia)	PetroChina European Wts Aug 2011	0.540	13 per unit	2011/08/22	200,000,000	2011/01/27



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28534	Goldman Sachs SP (Asia)	Tencent Holdings Euro Put Wt Aug11D	0.250	195 per 100 units	2011/08/01	200,000,000	2011/01/27
28524	J P Morgan SP BV	China Tel European Warrants Jul 2011	0.251	5.50 per unit	2011/07/28	100,000,000	2011/01/27
28527	Macquarie Bank Ltd.	Sinopec Corp European Wts Jun 2011	0.334	8.99 per unit	2011/06/20	150,000,000	2011/01/27
28528	Macquarie Bank Ltd.	PetroChina European Wts May 2011 B	0.251	12.88 per unit	2011/05/16	88,000,000	2011/01/27
10049	RB of Scotland N.V.	Gold European Warrants Jan 2014	1.075	0.001 per 10,000 units	2014/01/17	100,000,000	2011/01/27
28515	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jul2011A	0.430	8.88 per unit	2011/07/27	50,000,000	2011/01/27
28516	SGA Societe Generale Acceptance N.V.	Ch LongYuan Eu Wt Dec12	0.150	8.180	2012/12/03	200,000,000	2011/01/27
28517	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wts Jul11	0.250	22.180	2011/07/27	100,000,000	2011/01/27
28529	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 11B	0.290	82.880	2011/07/04	200,000,000	2011/01/27
28518	SGA Societe Generale Acceptance N.V.	Esprit Euro Wts Jul11	0.390	39.680	2011/07/27	80,000,000	2011/01/27
28519	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul11A	0.500	3.60 per unit	2011/07/27	80,000,000	2011/01/27
28520	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul11B	0.300	4.18 per unit	2011/07/27	80,000,000	2011/01/27
28521	SGA Societe Generale Acceptance NV	HuanengPower Eu Wt Jul11B	0.360	4.48 per unit	2011/07/27	50,000,000	2011/01/27
28522	SGA Societe Generale Acceptance N.V.	Sinopharm Eu Wts Jul11	0.280	28.680	2011/07/27	80,000,000	2011/01/27
28523	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Jun 12	0.150	6.400	2012/06/04	300,000,000	2011/01/27
28553	BOCI Asia Ltd.	Chi COSCO European Warrants Nov 2011	0.150	10.000	2011/11/07	100,000,000	2011/01/28
28554	BOCI Asia Ltd.	CSR European Warrants Sep 2011	0.250	11.000	2011/09/05	100,000,000	2011/01/28
28540	Citigroup Global Mkt H Inc.	CITIC Pacific Eu Wt Dec 2011	0.250	24.880	2011/12/29	80,000,000	2011/01/28
28539	Citigroup Global Mkt H Inc.	Cathay Pacific Eur Wt Oct 2011A	0.250	23.880	2011/10/10	60,000,000	2011/01/28
10055	Deutsche Bank AG	Google European Warrants Aug 2011	3.670	668 per 100 units	2011/08/01	50,000,000	2011/01/28
28550	Goldman Sachs SP (Asia)	Alibaba European Warrants Oct 11B	0.250	19.600	2011/10/24	200,000,000	2011/01/28
28544	Goldman Sachs SP (Asia)	BYD European Warrants Aug 2011 A	0.480	45.000	2011/08/01	200,000,000	2011/01/28
28545	Goldman Sachs SP (Asia)	China Unicom European Wts Nov 2011	0.150	13.000	2011/11/25	200,000,000	2011/01/28
28555	Goldman Sachs SP (Asia)	Ch Comm Cons European Wts Sep 2011	0.500	7.28 per unit	2011/09/05	200,000,000	2011/01/28
28549	Goldman Sachs SP (Asia)	HKEx European Warrants Sep 2011 C	0.151	209.90 per 100 units	2011/09/27	200,000,000	2011/01/28
28556	Goldman Sachs SP (Asia)	PetroChina European Wts Nov 2011	0.150	10.000	2011/11/21	200,000,000	2011/01/28
28557	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 D	0.230	83.880	2011/07/04	128,000,000	2011/01/28
28558	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Aug11B	0.250	192 per 100 units	2011/08/15	108,000,000	2011/01/28
28552	Macquarie Bank Ltd.	China Mobile European Wts Jul 2011	0.150	90.000	2011/07/28	250,000,000	2011/01/28
28551	Macquarie Bank Ltd.	Yurun Food European Warrants Jul 2011	0.161	32.000	2011/07/11	100,000,000	2011/01/28
28542	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Sep 11A	0.160	24,900 per 8,000 units	2011/09/29	200,000,000	2011/01/28
28541	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Jun11B	0.184	24,100 per 7,000 units	2011/06/29	200,000,000	2011/01/28
28543	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts May 11H	0.195	24,500 per 8,500 units	2011/05/30	200,000,000	2011/01/28
28546	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jun 11D	0.152	23,000 per 10,000 units	2011/06/29	200,000,000	2011/01/28
28547	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jun 11E	0.173	23,500 per 9,900 units	2011/06/29	200,000,000	2011/01/28
28548	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jun 11F	0.240	24,700 per 8,000 units	2011/06/29	200,000,000	2011/01/28
10050	Nomura Int'l plc	Apple European Warrants Jul 2011 A	1.747	350 per 100 units	2011/07/06	50,000,000	2011/01/28
10053	Nomura Int'l plc	Apple European Warrants Jul 2011 B	0.933	388 per 100 units	2011/07/11	50,000,000	2011/01/28
10058	Nomura Int'l plc	Apple European Put Warrants Jul 2011	1.192	298 per 100 units	2011/07/28	50,000,000	2011/01/28
10054	Nomura Int'l plc	Google European Warrants Sep 2011	4.160	688 per 100 units	2011/09/16	50,000,000	2011/01/28
10059	Nomura Int'l plc	Google European Put Warrants Sep 2011	4.305	598 per 100 units	2011/09/16	50,000,000	2011/01/28
26509*	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Apr11C	0.068	24,900 per 9,000 units	2011/04/28	200,000,000	2011/01/28
28562	BOCI Asia Ltd.	RUSAL European Warrants Dec 2011	0.250	13.500	2011/12/05	100,000,000	2011/01/31

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28593	BNP Paribas Arbit Issu B.V.	CM Bank European Warrants Dec 11	0.250	20.680	2011/12/05	100,000,000	2011/01/31
28594	Citigroup Global Mkt H Inc.	CITIC Bank Euro Wts Aug 2011	0.250	5.88 per unit	2011/08/08	80,000,000	2011/01/31
28597	Citigroup Global Mkt H Inc.	A50 China Eur Put Wts Sep 2011	0.150	12.980	2011/09/22	80,000,000	2011/01/31
28596	Citigroup Global Mkt H Inc.	Li & Fung European Wts Aug 11	0.250	55.000	2011/08/08	80,000,000	2011/01/31
28595	Citigroup Global Mkt H Inc.	Lenovo European Warrants Aug11	0.250	5.68 per unit	2011/08/22	80,000,000	2011/01/31
10057	Goldman Sachs SP (Asia)	Apple European Warrants Aug 2011 A	2.630	338 per 100 units	2011/08/08	50,000,000	2011/01/31
10060	Goldman Sachs SP (Asia)	Apple European Warrants Aug 2011 B	1.750	368 per 100 units	2011/08/08	50,000,000	2011/01/31
10061	Goldman Sachs SP (Asia)	Apple European Warrants Aug 2011 C	1.120	398 per 100 units	2011/08/08	50,000,000	2011/01/31
28560	Goldman Sachs SP (Asia)	CC Bank European Wts Aug 2011 A	0.315	8.38 per unit	2011/08/29	200,000,000	2011/01/31
10062	Goldman Sachs SP (Asia)	Google European Warrants Aug 2011A	4.230	648 per 100 units	2011/08/15	50,000,000	2011/01/31
10063	Goldman Sachs SP (Asia)	Google European Warrants Aug 2011B	2.600	708 per 100 units	2011/08/08	50,000,000	2011/01/31
28590	Goldman Sachs SP (Asia)	HSBC European Warrants Jul 2011	0.610	87.500	2011/07/25	200,000,000	2011/01/31
28591	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2011 A	0.270	106.000	2011/09/19	200,000,000	2011/01/31
28592	Goldman Sachs SP (Asia)	HSBC European Warrants Dec 2011	0.250	117.000	2011/12/12	200,000,000	2011/01/31
28561	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2011 B	0.260	23,400 per 8,000 units	2011/08/30	200,000,000	2011/01/31
28579	Macquarie Bank Ltd.	BJ Ent Water European Warrants Aug 11	0.254	4 per unit	2011/08/05	120,000,000	2011/01/31
28580	Macquarie Bank Ltd.	Brilliance Chi European Warrants Aug11	0.497	6.98 per unit	2011/08/02	88,000,000	2011/01/31
28583	Macquarie Bank Ltd.	Ch Oilfield European Warrants Oct 2011	0.251	19.880	2011/10/06	200,000,000	2011/01/31
28581	Macquarie Bank Ltd.	Ch Comm Cons European Wts Aug 2011	0.255	7.88 per unit	2011/08/02	200,000,000	2011/01/31
28573	Macquarie Bank Ltd.	CM Bank European Warrants Jul 2011 B	0.150	20.000	2011/07/05	250,000,000	2011/01/31
28589	Macquarie Bank Ltd.	Galaxy Ent European Warrants Aug 2011	0.250	14.000	2011/08/31	200,000,000	2011/01/31
28584	Macquarie Bank Ltd.	Hunan Nonferrous European Wts Aug 2011	0.250	4.50 per unit	2011/08/30	100,000,000	2011/01/31
28586	Macquarie Bank Ltd.	Li & Fung European Warrants Aug 2011	0.303	60.000	2011/08/04	250,000,000	2011/01/31
28582	Macquarie Bank Ltd.	Ch Lilang European Warrants May 2012	0.250	12.000	2012/05/24	150,000,000	2011/01/31
28585	Macquarie Bank Ltd.	Realgold Mining European Warrants Nov11	0.251	14.280	2011/11/21	200,000,000	2011/01/31
28578	Macquarie Bank Ltd.	Ch Rongsheng European Warrants Apr 12	0.251	8.880	2012/04/30	200,000,000	2011/01/31
28588	Macquarie Bank Ltd.	Shun Tak European Warrants Aug 2011	0.250	7.80 per unit	2011/08/08	200,000,000	2011/01/31
28587	Macquarie Bank Ltd.	Sa Sa Int'l European Warrants Oct 2012	0.250	5.000	2012/10/30	500,000,000	2011/01/31
28563	CC Rabobank B.A.	BJ Ent Water European Warrants Aug 2011	0.500	3.28 per unit	2011/08/30	100,000,000	2011/01/31
28564	CC Rabobank B.A.	Chow Sang Sang European Warrants Aug 2011	0.250	18.380	2011/08/30	100,000,000	2011/01/31
28565	CC Rabobank B.A.	GAC European Warrants Aug 2011	0.250	10.880	2011/08/30	100,000,000	2011/01/31
28566	CC Rabobank B.A.	Hunan Nonferrous European Wts Aug 2011	0.500	3.28 per unit	2011/08/30	100,000,000	2011/01/31
28567	CC Rabobank B.A.	Realgold Mining European Warrants Aug 11	0.250	13.280	2011/08/30	100,000,000	2011/01/31
28570	CC Rabobank B.A.	RUSAL European Warrants Aug 2011	0.250	12.880	2011/08/30	100,000,000	2011/01/31
28568	CC Rabobank B.A.	Sa Sa Int'l European Warrants Aug 2011 A	0.800	4.28 per unit	2011/08/05	100,000,000	2011/01/31
28569	CC Rabobank B.A.	Sa Sa Int'l European Warrants Aug 2011 B	0.500	5.28 per unit	2011/08/12	100,000,000	2011/01/31
28571	CC Rabobank B.A.	United Lab European Warrants Sep 2011	0.250	14.280	2011/09/29	100,000,000	2011/01/31
28572	CC Rabobank B.A.	Westchinacement European Warrants Aug 11	0.250	3.28 per unit	2011/08/30	100,000,000	2011/01/31
10056	RB of Scotland N.V.	Gold European Warrants Sep 2011	0.251	1,533 per 5,000 units	2011/09/30	100,000,000	2011/01/31
28559	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Sep11	0.250	22.380	2011/09/12	100,000,000	2011/01/31
28575	UBS AG	China Unicom European Warrants Nov 2011	0.150	13.000	2011/11/28	100,000,000	2011/01/31
28574	UBS AG	CC Bank European Warrants Jun 2011 B	0.380	7 per unit	2011/06/07	200,000,000	2011/01/31
28577	UBS AG	A50 China European Warrants Aug 2011 B	0.410	13.48 per unit	2011/08/08	100,000,000	2011/01/31

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28576	UBS AG	Ping An European Warrants Aug 2011	0.500	84.880	2011/08/08	200,000,000	2011/01/31
13673 <sup>#</sup>	UBS AG	China Life European Warrants Dec 2012 B	0.041	33.88 per 100 units	2012/12/10	500,000,000	2011/01/31
23748 <sup>#</sup>	UBS AG	A50 China European Warrants Apr 2011	0.033	13.280	2011/04/11	400,000,000	2011/01/31
28602	Barclays Bank plc	BOCL European Warrants Aug 2011 B	0.150	4.50 per unit	2011/08/01	100,000,000	2011/02/01
28600	Barclays Bank plc	China Life European Warrants Jul 2011	0.150	33.180	2011/07/11	150,000,000	2011/02/01
28601	Barclays Bank plc	CM Bank European Warrants Sep 2011	0.150	21.180	2011/09/26	100,000,000	2011/02/01
28599	Barclays Bank plc	HKEx European Warrants Jul 2011 B	0.150	188.88 per 100 units	2011/07/25	150,000,000	2011/02/01
28598	Barclays Bank plc	Hutchison European Warrants Jul 2011	0.240	115.000	2011/07/18	100,000,000	2011/02/01
28625	Credit Suisse AG	China Life European Warrants Aug 2011 A	0.250	30.880	2011/08/01	300,000,000	2011/02/01
28624	Credit Suisse AG	Ping An European Warrants Mar 2012 C	0.150	78 per 100 units	2012/03/05	300,000,000	2011/02/01
10065	Deutsche Bank AG	AUD/USD European Put Warrants Aug 2011	0.280	0.93 per 1 units	2011/08/02	50,000,000	2011/02/01
28622	Deutsche Bank AG	Bank of EA European Warrants Aug 2011	0.250	38.000	2011/08/01	50,000,000	2011/02/01
28618	Deutsche Bank AG	BYD European Warrants Aug 2011	0.340	42.000	2011/08/01	80,000,000	2011/02/01
28621	Deutsche Bank AG	CNBM European Warrants Aug 2011	0.250	20.000	2011/08/01	50,000,000	2011/02/01
28619	Deutsche Bank AG	Cathay Pacific European Warrants Aug 2011	0.250	21.000	2011/08/01	80,000,000	2011/02/01
10064	Deutsche Bank AG	Google European Put Warrants Aug 2011	3.280	568 per 100 units	2011/08/01	50,000,000	2011/02/01
28610	Goldman Sachs SP (Asia)	BYD European Warrants Aug 2011 B	0.530	42.000	2011/08/01	200,000,000	2011/02/01
28611	Goldman Sachs SP (Asia)	Cathay Pacific European Wts Aug11	0.250	21.800	2011/08/22	200,000,000	2011/02/01
28612	Goldman Sachs SP (Asia)	JIANC European Warrants Aug 2011	0.285	25.980	2011/08/08	200,000,000	2011/02/01
28613	Goldman Sachs SP (Asia)	Li & Fung European Warrants Aug11A	0.530	55.000	2011/08/01	200,000,000	2011/02/01
28614	Goldman Sachs SP (Asia)	PICC European Warrants Aug 2011	0.150	10.500	2011/08/01	200,000,000	2011/02/01
28623	J P Morgan SP BV	China Life European Warrants Jun 2011 C	0.150	31.880	2011/06/23	200,000,000	2011/02/01
28609	Macquarie Bank Ltd.	RUSAL European Warrants Sep 2011	0.250	13.000	2011/09/29	100,000,000	2011/02/01
28603	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt May 2011 B	0.151	82.890	2011/05/27	80,000,000	2011/02/01
28607	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Nov 2011	0.153	13.190	2011/11/04	80,000,000	2011/02/01
28608	Merrill Lynch Int'l & Co. C.V.	A50 China Eu Put Wt Sep 11	0.152	12.990	2011/09/22	80,000,000	2011/02/01
28605	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2011 A	0.150	200 per 100 units	2011/09/27	80,000,000	2011/02/01
28604	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2011 B	0.153	184.99 per 100 units	2011/08/29	80,000,000	2011/02/01
28606	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Nov 2011 B	0.151	213.89 per 100 units	2011/11/21	80,000,000	2011/02/01
28617	Standard Chartered Bank	Alibaba European Warrants Nov 2011	0.250	18.180	2011/11/01	100,000,000	2011/02/01
28616	Standard Chartered Bank	Tencent Hldg European Wts Aug 11B	0.250	192 per 100 units	2011/08/01	100,000,000	2011/02/01
28615	UBS AG	AIA European Warrants May 2011 B	0.150	22.280	2011/05/23	300,000,000	2011/02/01
28620	UBS AG	BOCL European Warrants Jun 2011	0.150	4.18 per unit	2011/06/27	200,000,000	2011/02/01
28635	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Nov 2012	0.150	11.020	2012/11/05	100,000,000	2011/02/02
28640	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Oct 2011 A	0.250	108.800	2011/10/10	80,000,000	2011/02/02
28638	Deutsche Bank AG	China Mobile European Warrants Jul 2011	0.190	83.880	2011/07/11	300,000,000	2011/02/02
28630	Goldman Sachs SP (Asia)	BankComm European Wts Aug 2011	0.450	8.48 per unit	2011/08/08	200,000,000	2011/02/02
28631	Goldman Sachs SP (Asia)	Ch Overseas European Warrants Dec11	0.205	15.980	2011/12/19	200,000,000	2011/02/02
28634	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2011 C	0.250	23,000 per 8,000 units	2011/08/30	200,000,000	2011/02/02
28632	Goldman Sachs SP (Asia)	New World Dev European Wts Sep11	0.153	15.980	2011/09/30	200,000,000	2011/02/02
28633	Goldman Sachs SP (Asia)	Ping An European Warrants Aug 2011	0.715	86.000	2011/08/08	200,000,000	2011/02/02
28627	HK Bank	GCL-Poly Energy European Warrants Sep 2011	0.250	4.38 per unit	2011/09/15	80,000,000	2011/02/02

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28628	J P Morgan SP BV	Alibaba European Warrants Jun 2011	0.150	18.200	2011/06/21	100,000,000	2011/02/02
28629	J P Morgan SP BV	HKEx European Warrants Jun 2011 F	0.150	188.88 per 100 units	2011/06/23	300,000,000	2011/02/02
28639	CC Rabobank B.A.	Chinanes Cement European Warrants Aug 11	0.700	6.88 per unit	2011/08/30	80,000,000	2011/02/02
28636	CC Rabobank B.A.	Ch Lilang European Warrants Apr 2012	0.250	12.280	2012/04/23	100,000,000	2011/02/02
28637	CC Rabobank B.A.	Shun Tak European Warrants Aug 2011	0.250	6 per unit	2011/08/30	80,000,000	2011/02/02
28626	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2011 B	0.240	6.28 per unit	2011/06/08	150,000,000	2011/02/02
25714*	KBC Fin Products Int'l Ltd.	Zijin Mining European Wt Jun 11	0.027	7.280	2011/06/10	380,000,000	2011/02/02
28652	BNP Paribas Arbit Issu B.V.	Alibaba European Warrants Jan12	0.250	18.900	2012/01/03	100,000,000	2011/02/07
28653	BNP Paribas Arbit Issu B.V.	Bank of EA European Wts Jun 12	0.290	40.000	2012/06/04	43,000,000	2011/02/07
28654	BNP Paribas Arbit Issu B.V.	HKEx European Wts Apr 2012	0.170	220.10 per 100 units	2012/04/02	100,000,000	2011/02/07
28655	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2011	0.250	108.000	2011/12/02	200,000,000	2011/02/07
28656	Deutsche Bank AG	Sinopec Corp European Warrants Aug 2011 A	0.360	9.38 per unit	2011/08/08	80,000,000	2011/02/07
28657	Goldman Sachs SP (Asia)	Sands China European Warrants Aug11	0.265	21.800	2011/08/22	200,000,000	2011/02/07
28658	Goldman Sachs SP (Asia)	SJM Holdings European Wts Sep11	0.250	13.880	2011/09/05	200,000,000	2011/02/07
28651	Standard Chartered Bank	A50 China European Warrants Feb 12	0.250	11.880	2012/02/08	100,000,000	2011/02/07
28641	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2011 A	0.250	4.32 per unit	2011/08/29	200,000,000	2011/02/07
28642	SGA Societe Generale Acceptance N.V.	BYD Euro Wts Aug 11A	0.270	43.880	2011/08/08	200,000,000	2011/02/07
28648	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts Nov 11	0.150	13.000	2011/11/28	200,000,000	2011/02/07
28649	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts Sep 12	0.210	15.000	2012/09/26	200,000,000	2011/02/07
28643	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Jun 2011	0.160	8 per unit	2011/06/13	300,000,000	2011/02/07
28644	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Jul 11B	0.150	20.000	2011/07/04	200,000,000	2011/02/07
28645	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 11A	0.370	78.880	2011/08/01	200,000,000	2011/02/07
28646	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 11B	0.150	93.980	2011/08/01	200,000,000	2011/02/07
28647	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 11B	0.380	32.000	2011/09/19	150,000,000	2011/02/07
28650	SGA Societe Generale Acceptance N.V.	JIANC Eu Wts Sep 2011	0.360	24.380	2011/09/19	200,000,000	2011/02/07
28240*	HK Bank	China Life European Warrants Jun 2011	0.074	34.380	2011/06/03	200,000,000	2011/02/07
28680	BNP Paribas Arbit Issu B.V.	CLP European Warrants Oct 2011	0.630	70.000	2011/10/04	36,000,000	2011/02/08
28681	BNP Paribas Arbit Issu B.V.	Hang Lung Ppt Euro Wt Sep 2011	0.250	41.000	2011/09/02	100,000,000	2011/02/08
28682	BNP Paribas Arbit Issu B.V.	Li & Fung Euro Wts Oct 2011 B	0.300	62.000	2011/10/04	100,000,000	2011/02/08
28687	BNP Paribas Arbit Issu B.V.	Tencent Hldg Eu Put Wt Nov2011	0.250	188 per 100 units	2011/11/14	100,000,000	2011/02/08
28683	Credit Suisse AG	HSBC European Put Warrants May 2011	0.150	75.980	2011/05/23	300,000,000	2011/02/08
28684	Credit Suisse AG	Yanzhou Coal European Warrants May 2011	0.150	25.400	2011/05/31	300,000,000	2011/02/08
28663	Citigroup Global Mkt H Inc.	RUSAL European Wts Sep 2011	0.250	13.680	2011/09/26	80,000,000	2011/02/08
28676	Goldman Sachs SP (Asia)	China Mobile Euro Wts Sep 2011	0.530	80.000	2011/09/05	200,000,000	2011/02/08
28677	Goldman Sachs SP (Asia)	China Mobile Euro Wts Oct 2011	0.280	91.000	2011/10/03	200,000,000	2011/02/08
28673	Goldman Sachs SP (Asia)	China Life Euro Wts Aug 2011	0.250	30.800	2011/08/22	200,000,000	2011/02/08
28674	Goldman Sachs SP (Asia)	China Life Euro Wts Sep 2011 A	0.250	32.800	2011/09/26	200,000,000	2011/02/08
28675	Goldman Sachs SP (Asia)	China Life Euro Wts Oct 2011	0.150	34.880	2011/10/03	200,000,000	2011/02/08
28685	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2011 D	0.295	24,200 per 8,000 units	2011/08/30	200,000,000	2011/02/08
28671	J P Morgan SP BV	China Life European Warrants May 2011 B	0.150	32.880	2011/05/30	300,000,000	2011/02/08
28672	J P Morgan SP BV	China Life European Warrants Aug 2011 A	0.250	30.000	2011/08/08	300,000,000	2011/02/08
28678	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Jun 2011 B	0.550	140.980	2011/06/01	108,000,000	2011/02/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28679	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Jan 2012	0.250	126.28 per 100 units	2012/01/26	108,000,000	2011/02/08
28664	Macquarie Bank Ltd.	China Unicom European Wts Sep 2012	0.210	15.000	2012/09/26	200,000,000	2011/02/08
28665	Macquarie Bank Ltd.	Henganintl European Warrants Jun 2011	0.151	72.880	2011/06/27	150,000,000	2011/02/08
28666	CC Rabobank B.A.	China Overseas European Warrants Oct 2011	0.190	15.400	2011/10/03	100,000,000	2011/02/08
28670	CC Rabobank B.A.	Galaxy Ent European Warrants Aug 2011	0.250	13.100	2011/08/15	100,000,000	2011/02/08
28667	CC Rabobank B.A.	Greatwall Motor European Wts Oct 2011	0.250	13.500	2011/10/10	80,000,000	2011/02/08
28668	CC Rabobank B.A.	Sinofert European Warrants Aug 2011	0.450	4.95 per unit	2011/08/16	100,000,000	2011/02/08
28669	CC Rabobank B.A.	Tsingtao Brew European Warrants Aug 2011	0.380	42.800	2011/08/08	100,000,000	2011/02/08
28686	RB of Scotland N.V.	CHALCO European Warrants Sep 2011	0.489	8.88 per unit	2011/09/05	100,000,000	2011/02/08
10066	RB of Scotland N.V.	DJIA European Put Warrants Sep 2011 B	0.251	11,400 per 30,000 units	2011/09/16	100,000,000	2011/02/08
28660	Standard Chartered Bank	CHALCO European Warrants Nov 2011	0.180	6.890	2011/11/21	100,000,000	2011/02/08
28661	Standard Chartered Bank	China Life European Wts Sep 2011 B	0.250	32.880	2011/09/05	100,000,000	2011/02/08
28662	Standard Chartered Bank	China Life European Wts Feb 2013	0.250	42.380	2013/02/28	100,000,000	2011/02/08
28659	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Jul 11	0.170	15.000	2011/07/04	200,000,000	2011/02/08
10068	Credit Suisse AG	Apple European Warrants Jul 2011	1.000	388 per 100 units	2011/07/11	100,000,000	2011/02/09
10067	Credit Suisse AG	Google European Warrants Jul 2011	1.700	668 per 100 units	2011/07/25	100,000,000	2011/02/09
28689	Goldman Sachs SP (Asia)	CC Bank European Wts Sep 2011	0.385	7.68 per unit	2011/09/12	200,000,000	2011/02/09
28688	Goldman Sachs SP (Asia)	CC Bank European Wts Aug 2011 B	0.535	7 per unit	2011/08/15	200,000,000	2011/02/09
28692	HK Bank	China Overseas European Warrants Mar 2012	0.250	16.280	2012/03/16	80,000,000	2011/02/09
28694	HK Bank	China Tel European Warrants Aug 2011	0.250	5.38 per unit	2011/08/09	80,000,000	2011/02/09
28695	HK Bank	China Unicom European Warrants Jun 2012	0.250	13.880	2012/06/29	120,000,000	2011/02/09
28691	HK Bank	C Transmission European Warrants Sep 2011	0.770	14 per unit	2011/09/16	80,000,000	2011/02/09
28693	HK Bank	CPIC European Warrants Sep 2011	0.250	34.380	2011/09/29	120,000,000	2011/02/09
28697	HK Bank	HSI European Warrants Sep 2011	0.250	23,800 per 9,000 units	2011/09/29	300,000,000	2011/02/09
28696	HK Bank	Tencent European Put Warrants Aug 2011	0.180	188.88 per 100 units	2011/08/22	120,000,000	2011/02/09
28707	J P Morgan SP BV	China Life European Warrants Aug 2011 B	0.250	31.000	2011/08/09	300,000,000	2011/02/09
28704	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jul 2011 B	0.150	31.800	2011/07/04	198,000,000	2011/02/09
28705	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2011A	0.250	29.980	2011/08/09	198,000,000	2011/02/09
28702	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2011A	0.300	28.880	2011/09/09	158,000,000	2011/02/09
28706	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Sep2011C	0.250	28.680	2011/09/01	108,000,000	2011/02/09
28703	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts May 2011 C	0.150	9.89 per unit	2011/05/12	128,000,000	2011/02/09
28708	RB of Scotland N.V.	HSI European Put Warrants May 2011	0.154	23,000 per 8,000 units	2011/05/30	250,000,000	2011/02/09
28690	Standard Chartered Bank	China Life European Wts Jul 2011 C	0.150	33.880	2011/07/25	100,000,000	2011/02/09
28698	UBS AG	CNOOC European Warrants Jun 2011 E	0.150	16.600	2011/06/20	300,000,000	2011/02/09
28699	UBS AG	Sinopec Corp European Warrants Aug 2011 A	0.250	10.88 per unit	2011/08/15	100,000,000	2011/02/09
28700	UBS AG	Dongfeng Motor European Warrants Jun 2011	0.150	15.880	2011/06/20	100,000,000	2011/02/09
28701	UBS AG	Shimao Ppt European Warrants Nov 2011	0.150	14.280	2011/11/28	100,000,000	2011/02/09
28735	BNP Paribas Arbit Issu B.V.	Anhui Conch European Wts Sep11	0.300	40.280	2011/09/05	80,000,000	2011/02/10
28736	BNP Paribas Arbit Issu B.V.	China Unicom European Wt Dec11	0.150	13.020	2011/12/05	100,000,000	2011/02/10
28744	Credit Suisse AG	CNOOC European Warrants May 2011	0.150	16.900	2011/05/16	300,000,000	2011/02/10
28748	Credit Suisse AG	Sinopec Corp European Warrants May 2011 C	0.250	9.89 per unit	2011/05/23	300,000,000	2011/02/10
28745	Credit Suisse AG	PetroChina European Warrants May 2011 A	0.350	12.10 per unit	2011/05/11	300,000,000	2011/02/10
28746	Credit Suisse AG	PetroChina European Warrants May 2011 B	0.250	12.90 per unit	2011/05/16	300,000,000	2011/02/10

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28747	Credit Suisse AG	PetroChina European Warrants Jul 2011	0.500	12.52 per unit	2011/07/25	300,000,000	2011/02/10
28731	Citigroup Global Mkt H Inc.	Angang Steel European Wt Jun12	0.250	12.880	2012/06/04	80,000,000	2011/02/10
28734	Citigroup Global Mkt H Inc.	Ch Overseas Euro Wts Oct 2011	0.150	15.380	2011/10/10	80,000,000	2011/02/10
28733	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts Aug 2011	0.500	9.28 per unit	2011/08/01	80,000,000	2011/02/10
28749	Citigroup Global Mkt H Inc.	R&F Ppt European Wts Nov 2011	0.150	15.380	2011/11/25	80,000,000	2011/02/10
28750	Citigroup Global Mkt H Inc.	Sino Land European Wts Aug11	0.150	16.880	2011/08/25	80,000,000	2011/02/10
28720	Bank of East Asia	BOCHK European Put Warrants Dec 2011	0.250	22.388	2011/12/23	68,000,000	2011/02/10
28719	Bank of East Asia	ChiUnicom European Warrants Mar 2012	0.250	13.762	2012/03/23	110,000,000	2011/02/10
28717	Bank of East Asia	CITIC Pacific European Warrants Dec 2011	0.250	25.267	2011/12/23	60,000,000	2011/02/10
28718	Bank of East Asia	Tencent Holdings European Warrants Dec11	0.250	227.007 per 100 units	2011/12/23	67,000,000	2011/02/10
28737	Goldman Sachs SP (Asia)	BEA European Warrants Aug 2011	0.255	36.880	2011/08/22	200,000,000	2011/02/10
28740	Goldman Sachs SP (Asia)	Hunan Nonferrous European Wt Aug11	0.430	3.38 per unit	2011/08/22	200,000,000	2011/02/10
28738	Goldman Sachs SP (Asia)	HSBC European Warrants Aug 2011 A	0.465	89.880	2011/08/15	200,000,000	2011/02/10
28739	Goldman Sachs SP (Asia)	HSBC European Warrants Aug 2011 B	0.330	94.880	2011/08/15	200,000,000	2011/02/10
28741	Goldman Sachs SP (Asia)	Wharf European Warrants Jun 2011	0.285	63.880	2011/06/07	200,000,000	2011/02/10
28711	HK Bank	China Life European Warrants Jun 2011 B	0.150	32.380	2011/06/23	400,000,000	2011/02/10
28712	HK Bank	China Mobile European Warrants Jun 2011 B	0.240	82.380	2011/06/23	300,000,000	2011/02/10
28713	HK Bank	Sinopec Corp European Warrants Aug 2011	0.250	10.28 per unit	2011/08/10	300,000,000	2011/02/10
28709	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Oct 2012	0.151	108.90 per 100 units	2012/10/15	100,000,000	2011/02/10
28710	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Aug 2013	0.250	91.99 per 100 units	2013/08/05	100,000,000	2011/02/10
28715	CC Rabobank B.A.	Chi East Air European Warrants Sep 2011 A	0.400	4.28 per unit	2011/09/29	100,000,000	2011/02/10
28732	CC Rabobank B.A.	CM Bank European Warrants Sep 2011	0.150	21.180	2011/09/12	100,000,000	2011/02/10
28716	CC Rabobank B.A.	Guangshen Rail European Warrants Aug 2011	0.250	3.88 per unit	2011/08/30	100,000,000	2011/02/10
28714	CC Rabobank B.A.	Ch Rongsheng European Warrants Apr 2012	0.150	8.880	2012/04/23	100,000,000	2011/02/10
10071	RB of Scotland N.V.	Platinum European Warrants Jan 2014	1.404	0.001 per 10,000 units	2014/01/17	50,000,000	2011/02/10
10072	RB of Scotland N.V.	Silver European Warrants Jan 2014	2.179	0.001 per 100 units	2014/01/17	50,000,000	2011/02/10
28751	Standard Chartered Bank	HKEx European Warrants Jul 2011	0.150	188.90 per 100 units	2011/07/11	100,000,000	2011/02/10
10069	SGA Societe Generale Acceptance N.V.	Apple Euro Wt Aug11	2.350	358 per 100 units	2011/08/15	50,000,000	2011/02/10
28721	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jul 11B	0.240	8.80 per unit	2011/07/27	50,000,000	2011/02/10
28725	SGA Societe Generale Acceptance N.V.	China EB Ltd Eu Wt Sep11	0.150	19.000	2011/09/19	200,000,000	2011/02/10
28726	SGA Societe Generale Acceptance N.V.	C Transmiss Eu Wt Dec 11	0.250	12.180	2011/12/21	100,000,000	2011/02/10
28724	SGA Societe Generale Acceptance N.V.	CITBK Euro Wt Aug 11	0.400	5.38 per unit	2011/08/10	80,000,000	2011/02/10
28727	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 11C	0.150	88.880	2011/08/01	200,000,000	2011/02/10
28728	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 11D	0.380	80.880	2011/08/29	200,000,000	2011/02/10
28729	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Aug 11B	0.400	10.18 per unit	2011/08/15	150,000,000	2011/02/10
28722	SGA Societe Generale Acceptance N.V.	CPAir Eur Wt Aug 2011	0.250	20.080	2011/08/10	80,000,000	2011/02/10
28723	SGA Societe Generale Acceptance N.V.	CPAir Eur Wt Dec 2011	0.250	22.980	2011/12/21	80,000,000	2011/02/10
10070	SGA Societe Generale Acceptance N.V.	Google Euro Wt Aug11	3.480	638 per 100 units	2011/08/15	25,000,000	2011/02/10
28730	SGA Societe Generale Acceptance N.V.	Lenovo Eur Wts Aug 11	0.500	4.88 per unit	2011/08/10	50,000,000	2011/02/10
28742	UBS AG	China Life European Warrants Jul 2011 C	0.150	30.880	2011/07/25	300,000,000	2011/02/10
28743	UBS AG	SJM Holdings European Warrants Jun 2011	0.150	15.000	2011/06/23	100,000,000	2011/02/10
25981*	KBC Fin Products Int'l Ltd.	HKEx European Warrants Jul 11D	0.100	193.88 per 100 units	2011/07/15	280,000,000	2011/02/10
28753	Barclays Bank plc	HSI European Warrants Jul 2011	0.150	23,800 per 8,000 units	2011/07/28	150,000,000	2011/02/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28754	Barclays Bank plc	HSI European Warrants Aug 2011	0.250	25,000 per 8,000 units	2011/08/30	150,000,000	2011/02/11
28757	Barclays Bank plc	HSI European Put Warrants Aug 2011	0.250	21,600 per 8,000 units	2011/08/30	150,000,000	2011/02/11
28752	Barclays Bank plc	HSI European Warrants May 2011 D	0.170	23,500 per 8,000 units	2011/05/30	150,000,000	2011/02/11
28756	Barclays Bank plc	HSI European Put Warrants May 2011 E	0.150	23,000 per 8,000 units	2011/05/30	150,000,000	2011/02/11
28759	BNP Paribas Arbit Issu B.V.	HK Elec European Wts Oct11	0.260	55.000	2011/10/04	40,000,000	2011/02/11
28760	BNP Paribas Arbit Issu B.V.	New World Dev Euro Wts Sep11	0.250	14.000	2011/09/02	100,000,000	2011/02/11
28767	Credit Suisse AG	PetroChina European Warrants May 2011 C	0.150	13.90 per unit	2011/05/16	300,000,000	2011/02/11
28758	Goldman Sachs SP (Asia)	Swire Pacific A European Wts Aug11	0.705	138.000	2011/08/15	200,000,000	2011/02/11
28761	HK Bank	Minsheng Bank European Warrants Jul 2011	0.250	7.38 per unit	2011/07/18	120,000,000	2011/02/11
28762	HK Bank	PetroChina European Warrants Jul 2011	0.570	12.50 per unit	2011/07/25	120,000,000	2011/02/11
28763	HK Bank	PetroChina European Warrants Sep 2011	0.250	13.88 per unit	2011/09/16	120,000,000	2011/02/11
28755	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Nov 11	0.190	238.88 per 100 units	2011/11/21	200,000,000	2011/02/11
28764	UBS AG	CM Bank European Warrants Sep 2011	0.150	21.180	2011/09/12	100,000,000	2011/02/11
28765	UBS AG	China Shenhua European Warrants Aug 2011	0.150	36.880	2011/08/01	100,000,000	2011/02/11
28766	UBS AG	Ping An European Warrants Mar 2012 C	0.150	78 per 100 units	2012/03/05	500,000,000	2011/02/11
25751 <sup>a</sup>	UBS AG	HSI European Warrants Apr 2011 C	0.053	24,500 per 8,000 units	2011/04/28	200,000,000	2011/02/11
28803	BNP Paribas Arbit Issu B.V.	Alibaba European Wts Oct11B	0.160	19.580	2011/10/31	100,000,000	2011/02/14
28804	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Aug 2011 B	0.150	89.950	2011/08/02	200,000,000	2011/02/14
28805	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2011 B	0.260	89.000	2011/10/04	200,000,000	2011/02/14
28808	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Oct 2011	0.340	15.28 per unit	2011/10/04	150,000,000	2011/02/14
28807	BNP Paribas Arbit Issu B.V.	HKEx European Wts Dec 2011	0.170	200 per 100 units	2011/12/02	100,000,000	2011/02/14
28806	BNP Paribas Arbit Issu B.V.	HSBC European Wts Sep 2011 C	0.300	101.000	2011/09/02	200,000,000	2011/02/14
28793	BNP Paribas Arbit Issu B.V.	RUSAL European Warrants Dec 11	0.250	14.880	2011/12/07	80,000,000	2011/02/14
28777	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Dec 11	0.250	233.88 per 100 units	2011/12/12	100,000,000	2011/02/14
28789	Deutsche Bank AG	China Life European Warrants Jun 2011 D	0.150	31.880	2011/06/27	300,000,000	2011/02/14
28790	Deutsche Bank AG	China Life European Warrants Jul 2011	0.200	30.880	2011/07/25	300,000,000	2011/02/14
28791	Deutsche Bank AG	China Life European Warrants Aug 2011A	0.300	28.880	2011/08/15	300,000,000	2011/02/14
28778	HK Bank	Galaxy Ent European Warrants Aug 2011	0.250	14.380	2011/08/22	80,000,000	2011/02/14
28779	HK Bank	Sa Sa Int'l European Warrants Oct 2012	0.250	5.280	2012/10/19	80,000,000	2011/02/14
28802	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Sep 2011	0.250	18.000	2011/09/01	80,000,000	2011/02/14
28801	KBC Fin Products Int'l Ltd.	HSBC European Wts May 2011 G	0.150	94.000	2011/05/23	168,000,000	2011/02/14
28780	CC Rabobank B.A.	AIA European Warrants Jul 2011 B	0.150	22.880	2011/07/21	200,000,000	2011/02/14
28781	CC Rabobank B.A.	ChiUnicom European Warrants Sep 2012	0.200	15.000	2012/09/26	120,000,000	2011/02/14
28768	CC Rabobank B.A.	China Life European Warrants Jul 2011	0.150	31.880	2011/07/18	200,000,000	2011/02/14
28769	CC Rabobank B.A.	Ch Mobile European Warrants Jul 2011 A	0.350	82.000	2011/07/18	200,000,000	2011/02/14
28770	CC Rabobank B.A.	Ch Mobile European Warrants Jul 2011 B	0.150	90.680	2011/07/08	200,000,000	2011/02/14
28771	CC Rabobank B.A.	Sinopec Corp European Warrants Aug 2011 B	0.300	9.88 per unit	2011/08/30	120,000,000	2011/02/14
28782	CC Rabobank B.A.	Geely Auto European Warrants Jun 2011	0.320	4.50 per unit	2011/06/22	120,000,000	2011/02/14
28772	CC Rabobank B.A.	HKEx European Warrants Aug 2011	0.150	180 per 100 units	2011/08/08	200,000,000	2011/02/14
28783	CC Rabobank B.A.	Lee & Man Paper European Warrants Sep 11A	0.300	6.88 per unit	2011/09/29	80,000,000	2011/02/14
28784	CC Rabobank B.A.	MCC European Warrants Sep 2011	0.250	3.88 per unit	2011/09/29	100,000,000	2011/02/14
28792	CC Rabobank B.A.	MTRC European Warrants Nov 2011	0.250	30.050	2011/11/29	100,000,000	2011/02/14
28773	CC Rabobank B.A.	PetroChina European Warrants Jul 2011	0.600	12 per unit	2011/07/11	100,000,000	2011/02/14

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28774	CC Rabobank B.A.	PetroChina European Warrants Nov 2011	0.150	10.000	2011/11/21	200,000,000	2011/02/14
28785	CC Rabobank B.A.	Ping An European Warrants Jun 2011	0.250	98.800	2011/06/30	100,000,000	2011/02/14
28787	CC Rabobank B.A.	Sino Biopharm European Warrants Sep 2011	0.250	3.28 per unit	2011/09/29	80,000,000	2011/02/14
28786	CC Rabobank B.A.	Sands China European Warrants Jul 2011	0.190	22.000	2011/07/18	120,000,000	2011/02/14
28775	CC Rabobank B.A.	Tencent Holdings European Wts Sep 2011A	0.150	228.88 per 100 units	2011/09/19	200,000,000	2011/02/14
28776	CC Rabobank B.A.	Tencent Holdings European Put Wts Aug 11	0.150	188.88 per 100 units	2011/08/22	200,000,000	2011/02/14
28788	CC Rabobank B.A.	Wynn Macau European Warrants Jul 2011	0.160	23.000	2011/07/11	100,000,000	2011/02/14
28794	UBS AG	Agricultural Bank European Warrants Jun 2011	0.200	3.88 per unit	2011/06/20	200,000,000	2011/02/14
28795	UBS AG	Bank of EA European Warrants Jul 2011	0.150	38.000	2011/07/25	100,000,000	2011/02/14
28796	UBS AG	Cheung Kong European Warrants Aug 2011A	0.300	154.880	2011/08/01	100,000,000	2011/02/14
28797	UBS AG	China National Building European Warrants Jun 2011	0.150	22.500	2011/06/13	100,000,000	2011/02/14
28798	UBS AG	KECL European Warrants Dec 2011	0.150	14.000	2011/12/12	100,000,000	2011/02/14
28799	UBS AG	Sands China European Warrants Jul 2011	0.150	22.000	2011/07/18	100,000,000	2011/02/14
28800	UBS AG	Wynn Macau European Warrants Jul 2011	0.150	23.000	2011/07/11	100,000,000	2011/02/14
24669 #	Goldman Sachs SP (Asia)	China Life Euro Wts May 2011A	0.036	33.800	2011/05/23	300,000,000	2011/02/14
27896 #	KBC Fin Products Int'l Ltd.	Sino Land European Wts Sep11	0.090	16.880	2011/09/01	120,000,000	2011/02/14
26509 #	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Apr11C	0.026	24,900 per 9,000 units	2011/04/28	500,000,000	2011/02/14
28822	BNP Paribas Arbit Issu B.V.	AIA European Warrants Aug 2011	0.160	22.900	2011/08/02	100,000,000	2011/02/15
28823	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Apr 2012 B	0.170	88.93 per 100 units	2012/04/03	100,000,000	2011/02/15
28824	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Jul11B	0.180	208.10 per 100 units	2011/07/11	100,000,000	2011/02/15
28825	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Oct 11	0.170	228.90 per 100 units	2011/10/03	100,000,000	2011/02/15
28833	Credit Suisse AG	HSCEI European Put Warrants Jun 2011 C	0.150	11,950 per 5,800 units	2011/06/29	300,000,000	2011/02/15
28836	Credit Suisse AG	HSI European Warrants Jun 2011A	0.150	24,200 per 8,000 units	2011/06/29	300,000,000	2011/02/15
28834	Credit Suisse AG	HSI European Warrants May 2011 A	0.150	22,900 per 9,000 units	2011/05/30	300,000,000	2011/02/15
28835	Credit Suisse AG	HSI European Warrants May 2011 B	0.150	23,500 per 8,000 units	2011/05/30	300,000,000	2011/02/15
28837	Credit Suisse AG	HSI European Put Warrants May 2011 D	0.150	22,700 per 8,000 units	2011/05/30	300,000,000	2011/02/15
28838	Credit Suisse AG	HSI European Put Warrants May 2011 E	0.150	23,500 per 8,000 units	2011/05/30	300,000,000	2011/02/15
28839	Credit Suisse AG	Ping An European Warrants Aug 2011	0.500	84.900	2011/08/01	300,000,000	2011/02/15
28840	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2011 B	0.150	24.050	2011/06/07	300,000,000	2011/02/15
28809	Goldman Sachs SP (Asia)	GCL-Poly Energy European Wts Aug11	0.355	4.28 per unit	2011/08/22	200,000,000	2011/02/15
28810	HK Bank	CPIC European Warrants Dec 2011	0.250	39.000	2011/12/28	80,000,000	2011/02/15
28811	HK Bank	Henganintl European Warrants Sep 2011	0.250	78.000	2011/09/20	80,000,000	2011/02/15
28826	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Aug 11B	0.290	3.68 per unit	2011/08/15	108,000,000	2011/02/15
28827	KBC Fin Products Int'l Ltd.	BOCL European Wts Aug 2011 A	0.150	4.388 per unit	2011/08/01	158,000,000	2011/02/15
28828	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Aug 2011A	0.270	7.28 per unit	2011/08/15	158,000,000	2011/02/15
28829	KBC Fin Products Int'l Ltd.	ICBC European Wts Jun 2011 B	0.270	5.80 per unit	2011/06/14	158,000,000	2011/02/15
28830	KBC Fin Products Int'l Ltd.	ICBC European Wts Jun 2011 C	0.150	6.288 per unit	2011/06/14	128,000,000	2011/02/15
28831	KBC Fin Products Int'l Ltd.	Ping An European Wts Aug 2011B	0.390	84.880	2011/08/01	108,000,000	2011/02/15
28832	KBC Fin Products Int'l Ltd.	Sino Land European Wts Oct 11	0.250	15.280	2011/10/18	88,000,000	2011/02/15
28812	Macquarie Bank Ltd.	Agricultural Bk European Warrants Jul11	0.175	4.18 per unit	2011/07/22	150,000,000	2011/02/15
28813	Macquarie Bank Ltd.	BYD European Warrants Aug 2011 A	0.250	45.000	2011/08/15	100,000,000	2011/02/15
28814	Macquarie Bank Ltd.	China Mobile European Wts Aug 2011	0.300	80.000	2011/08/15	150,000,000	2011/02/15
28815	Macquarie Bank Ltd.	Chi Resources European Warrants Aug 11	0.250	33.880	2011/08/15	100,000,000	2011/02/15



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28818	Macquarie Bank Ltd.	China Life European Wts Aug 2011	0.150	33.880	2011/08/05	300,000,000	2011/02/15
28819	Macquarie Bank Ltd.	China Life European Put Wts Sep 2011	0.251	28.000	2011/09/05	300,000,000	2011/02/15
28820	Macquarie Bank Ltd.	Esprit European Warrants Jun 2011	0.167	45.000	2011/06/22	350,000,000	2011/02/15
28816	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Aug 2011	0.250	60.000	2011/08/30	100,000,000	2011/02/15
28821	Macquarie Bank Ltd.	MTRC European Warrants Nov 2011	0.164	31.000	2011/11/02	100,000,000	2011/02/15
28817	Macquarie Bank Ltd.	SHK Ppt European Warrants Oct 2011 B	0.150	140 per 100 units	2011/10/21	100,000,000	2011/02/15
26575 #	Macquarie Bank Ltd.	Cheung Kong European Warrants May 2011	0.058	160.000	2011/05/12	180,000,000	2011/02/15
24686 #	BOCI Asia Ltd.	China Life European Warrants Jun 2011	0.068	32.880	2011/06/27	200,000,000	2011/02/15
27099 #	HK Bank	HSI European Warrants May 2011 D	0.046	24,000 per 8,800 units	2011/05/30	300,000,000	2011/02/15
26159 #	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants May11A	0.038	24,800 per 8,000 units	2011/05/30	300,000,000	2011/02/15
25690 #	CC Rabobank B.A.	CNOOC European Warrants Jul 2011 B	0.109	17.200	2011/07/28	180,000,000	2011/02/15
28853	BNP Paribas Arbit Issu B.V.	BOCL European Wts Jan 2012	0.250	4.72 per unit	2012/01/04	100,000,000	2011/02/16
28860	BNP Paribas Arbit Issu B.V.	CC Bank European Put Wts Sep11	0.730	6.60 per unit	2011/09/02	100,000,000	2011/02/16
28855	BNP Paribas Arbit Issu B.V.	C Transmission Euro Wts Mar 12	0.250	12.000	2012/03/02	100,000,000	2011/02/16
28854	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jun 2012	0.190	125.10 per 100 units	2012/06/04	100,000,000	2011/02/16
28856	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Nov 2011	0.250	31.800	2011/11/02	100,000,000	2011/02/16
28870	BNP Paribas Arbit Issu B.V.	A50 China Euro Put Wts Feb 12	0.170	12.020	2012/02/02	100,000,000	2011/02/16
28857	BNP Paribas Arbit Issu B.V.	ICBC European Wts Oct 2011	0.400	6.28 per unit	2011/10/04	200,000,000	2011/02/16
28858	BNP Paribas Arbit Issu B.V.	ICBC European Wts Dec 2011	0.260	7.28 per unit	2011/12/02	200,000,000	2011/02/16
28859	BNP Paribas Arbit Issu B.V.	Ping An European Wts Oct 2011	0.490	91.000	2011/10/04	100,000,000	2011/02/16
28861	Credit Suisse AG	BOC HK European Warrants Jun 2011 B	0.150	25.400	2011/06/20	200,000,000	2011/02/16
28866	Credit Suisse AG	China Unicom European Put Warrants Aug 11	0.750	12.28 per unit	2011/08/22	200,000,000	2011/02/16
28862	Credit Suisse AG	CM Bank European Warrants Jun 2011	0.150	20.000	2011/06/27	200,000,000	2011/02/16
28863	Credit Suisse AG	ChiUnicom European Warrants Aug 2011 B	1.080	13.28 per unit	2011/08/22	200,000,000	2011/02/16
28864	Credit Suisse AG	ChiUnicom European Warrants Aug 2011 C	0.700	14.38 per unit	2011/08/22	200,000,000	2011/02/16
28865	Credit Suisse AG	ChiUnicom European Warrants Aug 2011 D	0.350	15.88 per unit	2011/08/22	200,000,000	2011/02/16
28867	Credit Suisse	Henderson Land European Warrants May 2011	0.150	57.900	2011/05/23	200,000,000	2011/02/16
28868	Credit Suisse AG	Lenovo European Warrants May 2011	0.150	5.51 per unit	2011/05/16	200,000,000	2011/02/16
28869	Credit Suisse AG	Lenovo European Warrants Jul 2011	0.330	5 per unit	2011/07/18	200,000,000	2011/02/16
28874	Deutsche Bank AG	China Mobile European Warrants Aug 2011 A	0.170	88.000	2011/08/22	300,000,000	2011/02/16
28872	Deutsche Bank AG	CC Bank European Warrants Jul 2011 B	0.150	7.88 per unit	2011/07/18	300,000,000	2011/02/16
28873	Deutsche Bank AG	China Mobile European Warrants Jun 2011 B	0.270	78.000	2011/06/27	300,000,000	2011/02/16
28875	Deutsche Bank AG	HSBC European Warrants Aug 2011	0.250	102.000	2011/08/29	300,000,000	2011/02/16
28847	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Put Wts Jun 11H	0.152	16.890	2011/06/07	70,000,000	2011/02/16
28841	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts May 2011	0.260	9.49 per unit	2011/05/23	80,000,000	2011/02/16
28842	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Aug 2011 B	0.260	10.39 per unit	2011/08/22	80,000,000	2011/02/16
28843	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Dec 2011	0.260	11.39 per unit	2011/12/19	80,000,000	2011/02/16
28846	Merrill Lynch Int'l & Co. C.V.	Sinopec Eu Put Wts Aug 2011	0.495	7.99 per unit	2011/08/29	50,000,000	2011/02/16
28844	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt May 2011 B	0.155	13.89 per unit	2011/05/30	80,000,000	2011/02/16
28845	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Aug 2011	0.375	12.49 per unit	2011/08/08	80,000,000	2011/02/16
28848	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Put Wt Dec 12	0.250	10.990	2012/12/12	60,000,000	2011/02/16
28850	CC Rabobank B.A.	CNOOC European Warrants Sep 2011	0.150	19.000	2011/09/26	200,000,000	2011/02/16
28849	CC Rabobank B.A.	CPIC European Warrants Jul 2011	0.220	31.880	2011/07/21	150,000,000	2011/02/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28871	CC Rabobank B.A.	CC Bank European Warrants Aug 2011	0.385	6.99 per unit	2011/08/16	100,000,000	2011/02/16
28851	Standard Chartered Bank	BOC HK European Warrants Jul 2011	0.150	25.000	2011/07/22	100,000,000	2011/02/16
28852	Standard Chartered Bank	Sinopec Corp European Wts Aug 11A	0.420	10.20 per unit	2011/08/16	100,000,000	2011/02/16
28876	UBS AG	CC Bank European Warrants Jun 2011 C	0.300	6.83 per unit	2011/06/23	200,000,000	2011/02/16
28877	UBS AG	China Life European Warrants Aug 2011 A	0.150	29.980	2011/08/02	300,000,000	2011/02/16
28879	UBS AG	CNOOC European Warrants Aug 2011	0.250	15.880	2011/08/16	300,000,000	2011/02/16
28878	UBS AG	Sinopec Corp European Warrants Jun 2011	0.300	8.68 per unit	2011/06/20	200,000,000	2011/02/16
28880	UBS AG	ICBC European Warrants Jun 2011 B	0.150	6 per unit	2011/06/29	200,000,000	2011/02/16
28881	UBS AG	ICBC European Warrants Jun 2011 C	0.150	6.28 per unit	2011/06/07	200,000,000	2011/02/16
26001 #	Barclays Bank plc	HKEx European Warrants Oct 2011 A	0.064	208.88 per 100 units	2011/10/17	180,000,000	2011/02/16
28897	BNP Paribas Arbit Issu B.V.	Ch Ship Dev European Wts Jul12	0.250	8.280	2012/07/03	100,000,000	2011/02/17
28898	BNP Paribas Arbit Issu B.V.	Hysan Dev European Wts Oct11	0.360	38.000	2011/10/04	28,000,000	2011/02/17
28921	Credit Suisse AG	CC Bank European Warrants Aug 2011	0.400	6.588 per unit	2011/08/22	300,000,000	2011/02/17
28916	Credit Suisse AG	Cheung Kong European Warrants May 2011	0.200	138.900	2011/05/23	200,000,000	2011/02/17
28920	Credit Suisse AG	Cheung Kong European Warrants Aug 2011	0.650	128.880	2011/08/22	300,000,000	2011/02/17
28901	Credit Suisse AG	China Life European Warrants Aug 2011 B	0.150	29.990	2011/08/01	200,000,000	2011/02/17
28923	Credit Suisse AG	CNOOC European Warrants Jun 2011 F	0.150	15.000	2011/06/27	300,000,000	2011/02/17
28922	Credit Suisse AG	Sinopec Corp European Warrants May 2011 D	0.350	8.51 per unit	2011/05/23	300,000,000	2011/02/17
28924	Credit Suisse AG	HKEx European Warrants Jun 2011 G	0.200	153.90 per 100 units	2011/06/27	300,000,000	2011/02/17
28919	Credit Suisse AG	HSI European Put Warrants Jun 2011 E	0.150	21,500 per 7,800 units	2011/06/29	300,000,000	2011/02/17
28914	Credit Suisse AG	HSI European Warrants May 2011 F	0.150	22,300 per 8,000 units	2011/05/30	300,000,000	2011/02/17
28915	Credit Suisse AG	HSI European Warrants Jun 2011 B	0.150	22,600 per 8,000 units	2011/06/29	300,000,000	2011/02/17
28918	Credit Suisse AG	HSI European Put Warrants May 2011 G	0.150	22,200 per 8,500 units	2011/05/30	300,000,000	2011/02/17
28925	Credit Suisse AG	ICBC European Warrants Jun 2011 D	0.180	5.81 per unit	2011/06/13	300,000,000	2011/02/17
28926	Credit Suisse AG	PetroChina European Warrants May 2011 D	0.350	11 per unit	2011/05/23	300,000,000	2011/02/17
28908	Deutsche Bank AG	China Life European Warrants Aug 2011 B	0.280	27.000	2011/08/22	300,000,000	2011/02/17
28909	Deutsche Bank AG	China Mobile European Warrants Aug 2011 B	0.400	73.000	2011/08/22	300,000,000	2011/02/17
28910	Deutsche Bank AG	Sinopec Corp European Warrants Aug 2011 B	0.660	8 per unit	2011/08/22	100,000,000	2011/02/17
28911	Deutsche Bank AG	Hutchison European Warrants Jul 2011 B	0.550	98.880	2011/07/11	150,000,000	2011/02/17
28912	Deutsche Bank AG	PetroChina European Warrants Aug 2011 A	0.710	10.50 per unit	2011/08/22	100,000,000	2011/02/17
28890	Goldman Sachs SP (Asia)	China Unicom European Wts Aug 2011	0.820	14.80 per unit	2011/08/22	200,000,000	2011/02/17
28889	Goldman Sachs SP (Asia)	China Life Euro Wts Sep 2011 B	0.285	28.800	2011/09/05	200,000,000	2011/02/17
28903	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2011 B	0.150	11.880	2011/06/07	200,000,000	2011/02/17
28904	Goldman Sachs SP (Asia)	A50 China European Wts Aug 2011	0.785	14.20 per unit	2011/08/22	200,000,000	2011/02/17
28905	J P Morgan SP BV	China Life European Warrants Aug 2011 C	0.250	29.000	2011/08/17	300,000,000	2011/02/17
28906	RB of Scotland N.V.	China Life European Wts Aug 2011 B	0.178	29.988	2011/08/01	200,000,000	2011/02/17
28907	RB of Scotland N.V.	HSI European Warrants Aug 2011	0.153	23,500 per 8,000 units	2011/08/30	250,000,000	2011/02/17
28902	Standard Chartered Bank	AIA European Warrants Oct 2011	0.250	22.880	2011/10/10	100,000,000	2011/02/17
28899	Standard Chartered Bank	China Life European Wts Aug 2011 B	0.300	28.280	2011/08/22	100,000,000	2011/02/17
28900	Standard Chartered Bank	PetroChina European Warrants Oct12	0.150	11.020	2012/10/19	100,000,000	2011/02/17
28893	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul 2011C	0.150	30.880	2011/07/25	300,000,000	2011/02/17
28894	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011B	0.250	29.680	2011/08/17	300,000,000	2011/02/17
28896	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2011 G	0.310	92.800	2011/05/23	300,000,000	2011/02/17

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28891	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2011 F	0.150	23,000 per 8,500 units	2011/06/29	300,000,000	2011/02/17
28892	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2011 C	0.150	23,600 per 8,000 units	2011/07/28	300,000,000	2011/02/17
28895	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 11G	0.150	22,400 per 8,500 units	2011/06/29	300,000,000	2011/02/17
28885	UBS AG	BOCL European Warrants Aug 2011	0.250	4 per unit	2011/08/22	200,000,000	2011/02/17
28886	UBS AG	Henderson Land European Warrants Aug 2011	0.250	52.880	2011/08/22	100,000,000	2011/02/17
28883	UBS AG	HSI European Warrants Aug 2011A	0.150	24,300 per 8,000 units	2011/08/30	300,000,000	2011/02/17
28882	UBS AG	HSI European Warrants Jun 2011 D	0.150	23,000 per 8,500 units	2011/06/29	300,000,000	2011/02/17
28913	UBS AG	HSI European Warrants Jun 2011 E	0.150	22,500 per 8,000 units	2011/06/29	300,000,000	2011/02/17
28884	UBS AG	HSI European Put Warrants May 2011 K	0.150	22,800 per 8,000 units	2011/05/30	300,000,000	2011/02/17
28917	UBS AG	HSI European Put Warrants Jun 2011 G	0.150	22,000 per 8,000 units	2011/06/29	300,000,000	2011/02/17
28888	UBS AG	Hutchison European Warrants Mar 2012 B	0.150	88.88 per 100 units	2012/03/21	500,000,000	2011/02/17
28887	UBS AG	PetroChina European Warrants Jun 2011 B	0.300	11.68 per unit	2011/06/27	100,000,000	2011/02/17
28949	BOCI Asia Ltd.	China Mobile European Put Warrants Aug 2011	0.250	64.000	2011/08/22	100,000,000	2011/02/18
28947	BOCI Asia Ltd.	CC Bank European Put Warrants Jul 2011	0.305	6.18 per unit	2011/07/04	100,000,000	2011/02/18
28948	BOCI Asia Ltd.	China Life European Put Warrants Oct 2011	0.250	27.500	2011/10/03	100,000,000	2011/02/18
28968	BNP Paribas Arbit Issu B.V.	HSI European Wts Aug 2011	0.150	23,400 per 8,000 units	2011/08/30	200,000,000	2011/02/18
28969	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jul 2011	0.170	22,600 per 8,000 units	2011/07/28	200,000,000	2011/02/18
28970	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Aug 2011	0.310	24,000 per 8,000 units	2011/08/30	200,000,000	2011/02/18
28927	Credit Suisse AG	HSI European Warrants Jun 2011 C	0.160	21,900 per 8,000 units	2011/06/29	300,000,000	2011/02/18
28964	Citigroup Global Mkt H Inc.	BYD European Warrants Aug 2011	0.150	43.880	2011/08/01	80,000,000	2011/02/18
28937	Citigroup Global Mkt H Inc.	China Shenhua Eur Wts Jun 11B	0.150	33.000	2011/06/13	80,000,000	2011/02/18
28965	Deutsche Bank AG	HKEx European Put Warrants Jun 2011	0.150	165 per 100 units	2011/06/27	150,000,000	2011/02/18
28957	Deutsche Bank AG	HKEx European Warrants Jul 2011 C	0.150	167.38 per 100 units	2011/07/05	200,000,000	2011/02/18
28966	Deutsche Bank AG	Hutchison European Warrants Jul 2011 C	0.500	108.880	2011/07/18	150,000,000	2011/02/18
28967	Deutsche Bank AG	PetroChina European Warrants Jul 2011	0.420	12.10 per unit	2011/07/18	150,000,000	2011/02/18
28938	Goldman Sachs SP (Asia)	CC Bank European Wts Jun 2011	0.405	6.828 per unit	2011/06/27	200,000,000	2011/02/18
28939	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2011 C	0.225	78.000	2011/06/27	200,000,000	2011/02/18
28940	Goldman Sachs SP (Asia)	CPIC European Warrants Aug 2011	0.250	32.800	2011/08/22	200,000,000	2011/02/18
28941	Goldman Sachs SP (Asia)	Li & Fung European Warrants Jun 11	0.280	49.950	2011/06/30	200,000,000	2011/02/18
10073	Goldman Sachs SP (Asia)	N225 Index Euro Wts Sep 2011	0.250	11,000 per 400 units	2011/09/09	100,000,000	2011/02/18
10074	Goldman Sachs SP (Asia)	N225 Index Euro Wts Dec 2011	0.250	12,000 per 350 units	2011/12/09	100,000,000	2011/02/18
10075	Goldman Sachs SP (Asia)	N225 Index Euro Put Wts Sep 2011	0.250	10,000 per 350 units	2011/09/09	100,000,000	2011/02/18
10076	Goldman Sachs SP (Asia)	N225 Index Euro Put Wts Dec 2011	0.250	9,000 per 300 units	2011/12/09	100,000,000	2011/02/18
28978	Goldman Sachs SP (Asia)	Ping An European Warrants Sep 2011	0.830	80.000	2011/09/19	200,000,000	2011/02/18
28958	HK Bank	BOCL European Warrants Aug 2011	0.150	4.32 per unit	2011/08/22	100,000,000	2011/02/18
28959	HK Bank	BYD European Warrants Sep 2011	0.250	42.280	2011/09/23	100,000,000	2011/02/18
28960	HK Bank	China Life European Warrants Aug 2011 B	0.180	30.000	2011/08/08	300,000,000	2011/02/18
28961	HK Bank	China Life European Put Warrants Oct 2011	0.250	27.000	2011/10/25	120,000,000	2011/02/18
28962	HK Bank	Henderson Land Dev European Warrants Sep 2011	0.250	58.000	2011/09/15	80,000,000	2011/02/18
28933	HK Bank	HSI European Put Warrants Aug 2011	0.250	22,800 per 10,000 units	2011/08/30	200,000,000	2011/02/18
28934	HK Bank	HSI European Put Warrants Sep 2011	0.250	21,800 per 9,000 units	2011/09/29	200,000,000	2011/02/18
28935	HK Bank	HSI European Put Warrants Oct 2011	0.250	20,800 per 8,500 units	2011/10/28	200,000,000	2011/02/18
28936	HK Bank	HSI European Put Warrants Mar 2012	0.250	19,800 per 9,000 units	2012/03/29	200,000,000	2011/02/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28963	HK Bank	Sinopharm European Warrants Oct 2011	0.250	32.000	2011/10/20	80,000,000	2011/02/18
28950	J P Morgan SP BV	BOCL European Warrants Aug 2011 A	0.250	3.88 per unit	2011/08/18	200,000,000	2011/02/18
28951	J P Morgan SP BV	Ch Overseas European Warrants Oct 2011	0.150	15.400	2011/10/03	200,000,000	2011/02/18
28952	J P Morgan SP BV	Sinopec Corp European Warrants Jul 2011 B	0.300	8.88 per unit	2011/07/22	100,000,000	2011/02/18
28953	J P Morgan SP BV	Fushan Energy European Warrants Jun 2011B	0.150	6 per unit	2011/06/16	80,000,000	2011/02/18
28955	J P Morgan SP BV	HKEx European Warrants Sep 2011 B	0.150	172 per 100 units	2011/09/26	300,000,000	2011/02/18
28954	J P Morgan SP BV	Hang Lung Ppt European Warrants Aug 2011	0.250	33.880	2011/08/18	100,000,000	2011/02/18
28956	J P Morgan SP BV	Yanzhou Coal European Warrants Oct 2011	0.250	25.000	2011/10/31	100,000,000	2011/02/18
28976	KBC Fin Products Int'l Ltd.	BOCL Euro Put Wts Sep 2011	0.410	3.88 per unit	2011/09/01	108,000,000	2011/02/18
28975	KBC Fin Products Int'l Ltd.	BOCL European Wts Aug 2011 B	0.250	3.98 per unit	2011/08/18	158,000,000	2011/02/18
28977	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Aug 2011 B	0.360	6.618 per unit	2011/08/18	138,000,000	2011/02/18
28974	KBC Fin Products Int'l Ltd.	CMB European Warrants Dec 2011	0.150	20.680	2011/12/01	108,000,000	2011/02/18
28971	Macquarie Bank Ltd.	Cheung Kong European Warrants May 11B	0.270	138.880	2011/05/27	250,000,000	2011/02/18
28943	Macquarie Bank Ltd.	Lenovo European Warrants Aug 2011	0.253	5.50 per unit	2011/08/18	100,000,000	2011/02/18
28944	Macquarie Bank Ltd.	Maanshan Iron European Wts Aug 2011	0.306	5 per unit	2011/08/18	88,000,000	2011/02/18
28942	Macquarie Bank Ltd.	Shimao Ppt European Warrants Dec 2011	0.150	12.500	2011/12/14	150,000,000	2011/02/18
28946	Standard Chartered Bank	A50 China European Put Wts May12	0.250	12.800	2012/05/28	100,000,000	2011/02/18
28945	Standard Chartered Bank	ICBC European Warrants Aug 2011 B	0.250	5.88 per unit	2011/08/18	100,000,000	2011/02/18
28929	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2011A	0.460	6.68 per unit	2011/08/22	200,000,000	2011/02/18
28928	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2011 B	0.320	6.99 per unit	2011/07/27	200,000,000	2011/02/18
28930	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 11C	0.210	78.000	2011/06/27	200,000,000	2011/02/18
28931	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 11E	0.410	74.500	2011/08/22	200,000,000	2011/02/18
28932	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2011 C	0.250	5.80 per unit	2011/06/15	200,000,000	2011/02/18
28972	UBS AG	Cheung Kong European Warrants Aug 2011 B	0.250	130.880	2011/08/22	100,000,000	2011/02/18
28973	UBS AG	HSBC European Warrants Sep 2011 C	0.250	95.880	2011/09/05	200,000,000	2011/02/18
10039 <sup>#</sup>	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Sep 2011	0.174	10,500 per 400 units	2011/09/09	100,000,000	2011/02/18
29026	Deutsche Bank AG	BOCL European Warrants Aug 2011 A	0.250	4 per unit	2011/08/22	100,000,000	2011/02/21
28987	Goldman Sachs SP (Asia)	Yurun Food European Warrants Sep11	0.295	26.000	2011/09/05	200,000,000	2011/02/21
29028	KBC Fin Products Int'l Ltd.	Henderson Land Dev Eu Wt Sep11A	0.360	51.800	2011/09/01	58,000,000	2011/02/21
29032	KBC Fin Products Int'l Ltd.	Henderson Land Eu Put Wt Sep11	0.420	48.000	2011/09/15	58,000,000	2011/02/21
29029	KBC Fin Products Int'l Ltd.	Ping An Euro Put Wts Aug 2011	0.330	68.880	2011/08/22	68,000,000	2011/02/21
29025	Macquarie Bank Ltd.	China Tel European Warrants Jul 2011	0.173	5.28 per unit	2011/07/18	200,000,000	2011/02/21
28985	Macquarie Bank Ltd.	HSI European Warrants Aug 2011	0.150	23,400 per 8,000 units	2011/08/30	200,000,000	2011/02/21
28986	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2011	0.150	22,000 per 8,000 units	2011/06/29	200,000,000	2011/02/21
28984	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jul 2011	0.158	31.790	2011/07/04	80,000,000	2011/02/21
28981	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2012	0.250	193.99 per 100 units	2012/08/13	80,000,000	2011/02/21
28983	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Dec 2011	0.285	179.99 per 100 units	2011/12/19	80,000,000	2011/02/21
28982	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Apr 2012	0.250	159.99 per 100 units	2012/04/13	80,000,000	2011/02/21
28980	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2011 B	0.150	171.99 per 100 units	2011/09/28	80,000,000	2011/02/21
28979	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2011 B	0.153	179.99 per 100 units	2011/12/05	80,000,000	2011/02/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29016	Nomura Int'l plc	Alibaba European Warrants Jun 2011	0.150	18.180	2011/06/30	100,000,000	2011/02/21
29007	Nomura Int'l plc	Angang Steel European Warrants Aug 2011	0.150	11.880	2011/08/22	100,000,000	2011/02/21
29013	Nomura Int'l plc	BYD European Warrants Jun 2011	0.150	45.048	2011/06/30	100,000,000	2011/02/21
29009	Nomura Int'l plc	Ch Overseas European Warrants Dec 2011	0.150	16.018	2011/12/30	100,000,000	2011/02/21
29017	Nomura Int'l plc	China COSCO European Warrants Sep 2011	0.150	8.490	2011/09/05	100,000,000	2011/02/21
29018	Nomura Int'l plc	China Life European Warrants Jul 2011 C	0.150	30.888	2011/07/29	100,000,000	2011/02/21
29019	Nomura Int'l plc	China Life European Warrants Aug 2011	0.150	32.838	2011/08/31	100,000,000	2011/02/21
29014	Nomura Int'l plc	Chinaces Cement European Warrants Aug 11A	0.650	6.28 per unit	2011/08/22	60,000,000	2011/02/21
29015	Nomura Int'l plc	Chinaces Cement European Warrants Aug 11B	0.490	6.88 per unit	2011/08/22	60,000,000	2011/02/21
29020	Nomura Int'l plc	GCL-Poly Energy European Warrants Aug 11	0.250	4.30 per unit	2011/08/22	100,000,000	2011/02/21
29003	Nomura Int'l plc	Henderson Land Dev European Warrants Aug11	0.250	56.780	2011/08/31	100,000,000	2011/02/21
29004	Nomura Int'l plc	Hutchison European Warrants Oct 2012	0.150	108.88 per 100 units	2012/10/15	200,000,000	2011/02/21
29008	Nomura Int'l plc	JIANC European Warrants Jun 2011	0.150	27.680	2011/06/30	100,000,000	2011/02/21
29006	Nomura Int'l plc	Realgold Mining European Warrants Aug 2011	0.220	13.280	2011/08/23	100,000,000	2011/02/21
29010	Nomura Int'l plc	Sinopharm European Warrants Jul 2011	0.151	33.000	2011/07/21	80,000,000	2011/02/21
29005	Nomura Int'l plc	Sino Land European Warrants Nov 2011	0.150	16.018	2011/11/09	100,000,000	2011/02/21
29021	Nomura Int'l plc	United Lab European Warrants Aug 2011	0.251	14.280	2011/08/31	100,000,000	2011/02/21
29022	Nomura Int'l plc	United Lab European Warrants Dec 2011	0.267	16.880	2011/12/30	100,000,000	2011/02/21
29011	Nomura Int'l plc	Yanzhou Coal European Warrants Jun 2011	0.151	24.480	2011/06/13	100,000,000	2011/02/21
29012	Nomura Int'l plc	Yanzhou Coal European Warrants Oct 2011	0.180	28.000	2011/10/26	100,000,000	2011/02/21
28989	CC Rabobank B.A.	Ch Mobile European Warrants Aug 2011	0.400	73.880	2011/08/30	150,000,000	2011/02/21
28990	CC Rabobank B.A.	Ch Mobile European Put Wts Jul 2011	0.350	70.000	2011/07/04	150,000,000	2011/02/21
28991	CC Rabobank B.A.	China Tel European Warrants Aug 2011	0.270	5 per unit	2011/08/22	100,000,000	2011/02/21
28992	CC Rabobank B.A.	ChiUnicom European Warrants Mar 2012	0.220	13.780	2012/03/16	150,000,000	2011/02/21
28988	CC Rabobank B.A.	Cheung Kong European Warrants May 2011	0.190	140.980	2011/05/31	150,000,000	2011/02/21
28993	CC Rabobank B.A.	HKEx European Put Warrants Oct 2011	0.150	146.88 per 100 units	2011/10/24	200,000,000	2011/02/21
28994	CC Rabobank B.A.	HSBC European Put Warrants Jul 2011	0.220	76.880	2011/07/22	150,000,000	2011/02/21
28995	CC Rabobank B.A.	Hutchison European Warrants Aug 2011	0.750	98.880	2011/08/30	150,000,000	2011/02/21
28996	CC Rabobank B.A.	Hutchison European Put Warrants Jul 2011	0.760	85.000	2011/07/26	150,000,000	2011/02/21
28997	CC Rabobank B.A.	Ping An European Warrants Aug 2011	0.480	86.000	2011/08/01	150,000,000	2011/02/21
28998	SGA Societe Generale Acceptance N.V.	Belle Int'l Eu Wt Dec11	0.250	12.800	2011/12/21	200,000,000	2011/02/21
29027	SGA Societe Generale Acceptance N.V.	Ch Overseas Eu Wt Nov 11	0.250	13.500	2011/11/28	200,000,000	2011/02/21
28999	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug 11	0.250	6.88 per unit	2011/08/22	120,000,000	2011/02/21
29000	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Aug 2011A	0.150	18.000	2011/08/24	500,000,000	2011/02/21
29001	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Aug 2011B	0.250	15.880	2011/08/29	500,000,000	2011/02/21
29002	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2011A	0.160	6.80 per unit	2011/08/01	200,000,000	2011/02/21
29030	UBS AG	Agricultural Bank European Warrants Jul 2011 B	0.150	4.18 per unit	2011/07/22	200,000,000	2011/02/21
29023	UBS AG	China Life European Warrants Aug 2011 B	0.150	28.880	2011/08/08	300,000,000	2011/02/21
29024	UBS AG	HS Bank European Warrants Jun 2011	0.150	142.000	2011/06/30	100,000,000	2011/02/21
29045	BNP Paribas Arbit Issu B.V.	Galaxy Ent European Wts Dec11	0.250	12.280	2011/12/02	100,000,000	2011/02/22
29046	BNP Paribas Arbit Issu B.V.	Poly HK Inv European Wts Jul13	0.250	6.980	2013/07/02	100,000,000	2011/02/22
29047	BNP Paribas Arbit Issu B.V.	Swire Pacific A Euro Wts Apr12	0.150	122.98 per 100 units	2012/04/03	100,000,000	2011/02/22
29043	Deutsche Bank AG	AIA European Warrants Jul 2011	0.150	22.880	2011/07/21	200,000,000	2011/02/22

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29044	Deutsche Bank AG	Tencent Holdings European Wts Nov 2011	0.180	238.88 per 100 units	2011/11/21	200,000,000	2011/02/22
29031	Goldman Sachs SP (Asia)	BYD European Warrants Oct 2011	0.595	38.000	2011/10/31	200,000,000	2011/02/22
29033	Goldman Sachs SP (Asia)	Esprit European Warrants Aug 2011	0.265	48.000	2011/08/23	200,000,000	2011/02/22
29034	Goldman Sachs SP (Asia)	Li & Fung European Warrants Aug11B	0.390	50.000	2011/08/23	200,000,000	2011/02/22
29041	Macquarie Bank Ltd.	China EB Ltd European Warrants Oct2011	0.152	18.980	2011/10/10	250,000,000	2011/02/22
29035	CC Rabobank B.A.	China Agri European Warrants Dec 2012	0.180	9.190	2012/12/24	100,000,000	2011/02/22
29038	CC Rabobank B.A.	CITIC Pacific European Warrants Aug 2011	0.150	24.000	2011/08/15	200,000,000	2011/02/22
29036	CC Rabobank B.A.	China Life European Warrants Sep 2011	0.180	28.880	2011/09/02	150,000,000	2011/02/22
29037	CC Rabobank B.A.	China Shenhua European Warrants Aug 2011	0.250	34.500	2011/08/30	100,000,000	2011/02/22
29039	CC Rabobank B.A.	Yuexiu Property European Warrants Aug2011	0.250	2.12 per unit	2011/08/30	100,000,000	2011/02/22
29048	RB of Scotland N.V.	Agricultural Bk European Wts Jun 2011	0.169	3.88 per unit	2011/06/24	100,000,000	2011/02/22
29049	RB of Scotland N.V.	Agricultural Bk European Wts Jul 2011	0.154	4.17 per unit	2011/07/25	200,000,000	2011/02/22
29040	Standard Chartered Bank	HSBC European Warrants Jun 2011 D	0.150	75.01 per 100 units	2011/06/27	100,000,000	2011/02/22
29042	Standard Chartered Bank	Tencent Hldg European Wts Jun 2011	0.150	220.20 per 100 units	2011/06/21	100,000,000	2011/02/22
23506 #	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2011	0.048	73.89 per 100 units	2011/12/05	800,000,000	2011/02/22
23547 #	CC Rabobank B.A.	Nine Dragons European Warrants Jun 2011	0.030	12.600	2011/06/29	350,000,000	2011/02/22
10077	BNP Paribas Arbit Issu B.V.	AUD/USD European Warrants Aug11	0.250	1.10 per 1 units	2011/08/23	200,000,000	2011/02/23
10081	BNP Paribas Arbit Issu B.V.	AUD/USD European Put Wts Aug11	0.250	0.93 per 1 units	2011/08/23	200,000,000	2011/02/23
29061	BNP Paribas Arbit Issu B.V.	BankComm European Warrants Nov 11	0.490	8.92 per unit	2011/11/02	49,000,000	2011/02/23
29066	BNP Paribas Arbit Issu B.V.	BYD European Warrants Sep 2011	0.260	40.000	2011/09/05	60,000,000	2011/02/23
29062	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Feb 2012	0.250	27.000	2012/02/02	95,000,000	2011/02/23
29065	Citigroup Global Mkt H Inc.	Henderson Land Dev Eur Wts Oct11	0.250	56.000	2011/10/10	80,000,000	2011/02/23
29064	Deutsche Bank AG	China Life European Put Wts Sep 2011	0.250	27.000	2011/09/05	150,000,000	2011/02/23
29063	Deutsche Bank AG	A50 China European Put Wts Sep 2011	0.150	13.000	2011/09/22	100,000,000	2011/02/23
29054	HK Bank	Agricultural Bank European Warrants Aug 2011	0.250	4.18 per unit	2011/08/23	120,000,000	2011/02/23
29058	HK Bank	China Mobile European Warrants Aug 2011A	0.250	78.500	2011/08/23	300,000,000	2011/02/23
29059	HK Bank	China Mobile European Put Warrants Oct 2011	0.250	66.880	2011/10/07	120,000,000	2011/02/23
29055	HK Bank	CC Bank European Warrants Jul 2011	0.250	7 per unit	2011/07/22	180,000,000	2011/02/23
29056	HK Bank	CC Bank European Warrants Aug 2011	0.250	7.58 per unit	2011/08/23	180,000,000	2011/02/23
29057	HK Bank	CC Bank European Put Warrants Sep 2011	0.250	5.88 per unit	2011/09/09	120,000,000	2011/02/23
29069	RB of Scotland N.V.	A50 China European Warrants Nov 2011	0.151	13.180	2011/11/04	250,000,000	2011/02/23
29070	RB of Scotland N.V.	A50 China European Put Wts Jan 2012	0.151	12.020	2012/01/18	250,000,000	2011/02/23
29060	Standard Chartered Bank	China Life European Wts Nov 2011	0.250	29.680	2011/11/04	100,000,000	2011/02/23
29050	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2011 B	0.250	3.99 per unit	2011/08/29	200,000,000	2011/02/23
29051	SGA Societe Generale Acceptance N.V.	BYD Euro Wts Aug 11B	0.340	37.880	2011/08/24	180,000,000	2011/02/23
29052	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2011 C	0.150	180 per 100 units	2011/09/26	600,000,000	2011/02/23
29053	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2011 C	0.160	170 per 100 units	2011/10/24	600,000,000	2011/02/23
29068	UBS AG	AIA European Warrants Jul 2011 A	0.150	22.880	2011/07/21	200,000,000	2011/02/23
29067	UBS AG	CC Bank European Warrants Aug 2011A	0.200	7.28 per unit	2011/08/08	200,000,000	2011/02/23
25651 #	UBS AG	HKEx European Warrants May 2011 B	0.074	178.88 per 100 units	2011/05/30	300,000,000	2011/02/23
29074	Citigroup Global Mkt H Inc.	Chaoda Modern European Wt Nov12	0.150	6.880	2012/11/01	80,000,000	2011/02/24
29075	Deutsche Bank AG	AIA European Put Warrants Sep 2011	0.250	21.800	2011/09/12	100,000,000	2011/02/24
29076	Deutsche Bank AG	CC Bank European Put Warrants Aug 2011	0.360	6.20 per unit	2011/08/29	100,000,000	2011/02/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29077	Deutsche Bank AG	ICBC European Put Warrants Aug 2011	0.330	5.40 per unit	2011/08/29	100,000,000	2011/02/24
10078	Deutsche Bank AG	Nikkei 225 Index European Wts Dec 2011 A	0.250	11,500 per 400 units	2011/12/09	100,000,000	2011/02/24
10082	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Dec 2011 C	0.260	10,000 per 400 units	2011/12/09	100,000,000	2011/02/24
29071	Goldman Sachs SP (Asia)	Anhui Conch European Warrants Jun11	0.325	40.880	2011/06/20	200,000,000	2011/02/24
29072	Goldman Sachs SP (Asia)	Ch Comm Cons European Wts Nov 2011	0.715	6.60 per unit	2011/11/07	200,000,000	2011/02/24
29073	HK Bank	AIA European Warrants Dec 2011	0.250	23.800	2011/12/08	200,000,000	2011/02/24
23735 #	Macquarie Bank Ltd.	BYD European Warrants Nov 2011	0.023	51.88 per 100 units	2011/11/28	500,000,000	2011/02/24
28358 #	CC Rabobank B.A.	Hutchison European Warrants May 2011 D	0.143	114.980	2011/05/30	200,000,000	2011/02/24
29089	BOCI Asia Ltd.	CC Bank European Warrants Jul 2011	0.150	7.88 per unit	2011/07/18	100,000,000	2011/02/25
29088	BOCI Asia Ltd.	A50 China European Warrants Dec 2011	0.150	14.280	2011/12/28	100,000,000	2011/02/25
29092	BNP Paribas Arbit Issu B.V.	Sino-Ocean Land Euro Wts Nov11	0.440	5.70 per unit	2011/11/02	45,000,000	2011/02/25
10080	Deutsche Bank AG	EUR/USD European Warrants Aug 2011	0.260	1.42 per 1 units	2011/08/29	50,000,000	2011/02/25
10079	Deutsche Bank AG	USD/YEN European Put Warrants Aug 2011	0.260	80 per 1 units	2011/08/29	50,000,000	2011/02/25
29090	Goldman Sachs SP (Asia)	ZTE European Warrants Aug 2011	0.310	38.000	2011/08/29	200,000,000	2011/02/25
29087	HK Bank	Alibaba European Warrants Jan 2012	0.250	21.500	2012/01/26	100,000,000	2011/02/25
29078	CC Rabobank B.A.	Alibaba European Warrants Oct 2011	0.180	19.880	2011/10/17	150,000,000	2011/02/25
29079	CC Rabobank B.A.	BOCL European Warrants Jun 2011	0.150	4.20 per unit	2011/06/23	200,000,000	2011/02/25
29080	CC Rabobank B.A.	C Transmission European Warrants Dec 2011	0.180	12.180	2011/12/14	100,000,000	2011/02/25
29081	CC Rabobank B.A.	Dongfang Elec European Warrants Sep 2011 A	0.350	34.880	2011/09/29	100,000,000	2011/02/25
29082	CC Rabobank B.A.	Hutchison European Warrants Jun 2011	0.350	108.890	2011/06/10	200,000,000	2011/02/25
29093	RB of Scotland N.V.	Sinopec Corp European Warrants Aug 11B	0.565	8.80 per unit	2011/08/29	100,000,000	2011/02/25
29094	RB of Scotland N.V.	Sinopec Corp European Warrants Sep 11	0.406	9.38 per unit	2011/09/30	100,000,000	2011/02/25
29085	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep2011A	0.270	79.880	2011/09/05	200,000,000	2011/02/25
29083	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Dec 2012A	0.220	118 per 100 units	2012/12/10	300,000,000	2011/02/25
29084	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Dec 2012B	0.150	139.99 per 100 units	2012/12/10	300,000,000	2011/02/25
29091	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2011 D	0.150	175.10 per 100 units	2011/06/20	500,000,000	2011/02/25
29086	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2011 D	0.160	170 per 100 units	2011/07/25	500,000,000	2011/02/25
29095	UBS AG	BYD European Warrants Aug 2011 A	0.250	36.880	2011/08/29	100,000,000	2011/02/25
29096	UBS AG	CC Bank European Warrants Jun 2011 D	0.150	7.83 per unit	2011/06/30	200,000,000	2011/02/25
29097	UBS AG	Sinopec Corp European Warrants May 2012	0.150	7.800	2012/05/21	200,000,000	2011/02/25
27678 #	Standard Chartered Bank	China Life European Warrants Jun 11	0.076	31.880	2011/06/30	150,000,000	2011/02/25
29119	BNP Paribas Arbit Issu B.V.	China Overseas Euro Wts Nov 11	0.250	12.700	2011/11/02	100,000,000	2011/02/28
29120	BNP Paribas Arbit Issu B.V.	Chi Res Land Euro Wts Dec 11	0.250	13.700	2011/12/02	100,000,000	2011/02/28
29126	BNP Paribas Arbit Issu B.V.	Realgold Mining Euro Wts Jan12	0.250	14.880	2012/01/03	60,000,000	2011/02/28
29122	Citigroup Global Mkt H Inc.	Chi Resources Euro Wts Oct 11	0.250	33.880	2011/10/24	80,000,000	2011/02/28
29121	Citigroup Global Mkt H Inc.	China Tel Euro Wts Oct 2011	0.300	4.88 per unit	2011/10/17	80,000,000	2011/02/28
29123	Citigroup Global Mkt H Inc.	HS Bank European Wts Nov 2011	0.250	138.880	2011/11/28	80,000,000	2011/02/28
29124	Citigroup Global Mkt H Inc.	Jiangxi Copper Euro Wts Sep 11	0.150	32.000	2011/09/22	80,000,000	2011/02/28
29125	Citigroup Global Mkt H Inc.	Wharf European Wts Oct 2011	0.250	59.880	2011/10/10	80,000,000	2011/02/28
10083	Deutsche Bank AG	Gold European Warrants Aug 2011	0.250	1,468 per 3,000 units	2011/08/31	50,000,000	2011/02/28
10087	Deutsche Bank AG	Gold European Put Warrants Aug 2011	0.250	1,350 per 3,000 units	2011/08/31	50,000,000	2011/02/28
29115	Macquarie Bank Ltd.	BYD European Warrants Aug 2011 B	0.271	38.880	2011/08/30	150,000,000	2011/02/28
29117	Macquarie Bank Ltd.	HKEx European Warrants Sep 2011	0.150	180 per 100 units	2011/09/22	200,000,000	2011/02/28

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29118	Macquarie Bank Ltd.	HKEx European Put Warrants Nov 2011	0.250	168.88 per 100 units	2011/11/02	200,000,000	2011/02/28
29116	Macquarie Bank Ltd.	Hang Lung Ppt European Wts Sep 2011	0.250	36.880	2011/09/02	100,000,000	2011/02/28
29104	Nomura Int'l plc	Ch Com Service European Warrants Nov 2011	0.150	4.888	2011/11/21	100,000,000	2011/02/28
29108	Nomura Int'l plc	CSR European Warrants Aug 2011 A	0.172	10.000	2011/08/08	100,000,000	2011/02/28
29109	Nomura Int'l plc	CSR European Warrants Aug 2011 B	0.151	11.000	2011/08/29	100,000,000	2011/02/28
29103	Nomura Int'l plc	HKEx European Warrants Sep 2011 B	0.150	180 per 100 units	2011/09/30	200,000,000	2011/02/28
29098	Nomura Int'l plc	Hutchison European Warrants Aug 2011	0.390	111.880	2011/08/31	100,000,000	2011/02/28
29102	Nomura Int'l plc	KECL European Warrants Dec 2011	0.150	13.298	2011/12/05	100,000,000	2011/02/28
29111	Nomura Int'l plc	Ping An European Warrants Jun 2011	0.150	100.000	2011/06/08	100,000,000	2011/02/28
29110	Nomura Int'l plc	Ping An European Warrants Mar 2012	0.150	78 per 100 units	2012/03/05	200,000,000	2011/02/28
29099	Nomura Int'l plc	Swire Pacific A European Warrants Mar 12	0.150	122.888 per 100 units	2012/03/30	100,000,000	2011/02/28
29106	Nomura Int'l plc	Xinyi Glass European Warrants Feb 2012	0.252	7.680	2012/02/29	100,000,000	2011/02/28
29107	Nomura Int'l plc	Yurun Food European Warrants Jun 2011	0.150	29.888	2011/06/30	100,000,000	2011/02/28
29100	Nomura Int'l plc	Yuexiu Property European Warrants Aug 11	0.250	2.128 per unit	2011/08/29	100,000,000	2011/02/28
29101	Nomura Int'l plc	Yuexiu Property European Warrants Mar 12	0.270	2.68 per unit	2012/03/30	100,000,000	2011/02/28
29112	Nomura Int'l plc	Zijin Mining European Warrants May 2012	0.150	6.390	2012/05/31	100,000,000	2011/02/28
29105	Nomura Int'l plc	ZTE European Warrants Aug 2011	0.314	38.280	2011/08/29	100,000,000	2011/02/28
29113	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Aug 2011	0.310	53.880	2011/08/29	50,000,000	2011/02/28
29114	SGA Societe Generale Acceptance NV	New World Dev Eu Wt Sep11	0.150	14.000	2011/09/05	100,000,000	2011/02/28
27920 #	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Jun 11F	0.065	19.890	2011/06/21	250,000,000	2011/02/28
29141	BNP Paribas Arbit Issu B.V.	Beijing Ent European Wts Dec13	0.250	42.88 per 100 units	2013/12/03	100,000,000	2011/03/01
29136	BNP Paribas Arbit Issu B.V.	CSR European Warrants Mar 2012	0.250	10.990	2012/03/02	100,000,000	2011/03/01
29139	BNP Paribas Arbit Issu B.V.	HSBC European Put Wts Sep 11E	0.600	84.000	2011/09/02	100,000,000	2011/03/01
29137	BNP Paribas Arbit Issu B.V.	Li Ning European Warrants Oct11	0.250	17.400	2011/10/04	80,000,000	2011/03/01
29138	BNP Paribas Arbit Issu B.V.	ZTE European Warrants Dec 2011	0.440	40.000	2011/12/02	60,000,000	2011/03/01
29135	Deutsche Bank AG	HSBC European Warrants Feb 2013	0.175	83.88 per 100 units	2013/02/04	300,000,000	2011/03/01
29131	Goldman Sachs SP (Asia)	AIA European Warrants Aug 2011	0.152	22.900	2011/08/02	200,000,000	2011/03/01
29130	Goldman Sachs SP (Asia)	HSI European Warrants Sep 2011 B	0.250	23,800 per 8,000 units	2011/09/29	200,000,000	2011/03/01
29127	HK Bank	BOC HK European Warrants Nov 2011	0.250	26.500	2011/11/18	150,000,000	2011/03/01
29128	HK Bank	GCL-Poly Energy European Warrants Oct 2011	0.250	4.68 per unit	2011/10/17	100,000,000	2011/03/01
29129	HK Bank	Lenovo European Warrants Sep 2011 A	0.250	5.68 per unit	2011/09/19	100,000,000	2011/03/01
29140	Macquarie Bank Ltd.	HSBC European Warrants Feb 2013	0.150	83.88 per 100 units	2013/02/04	300,000,000	2011/03/01
10084	RB of Scotland N.V.	Oil Futures European Warrants Nov 2011	0.335	108 per 300 units	2011/11/15	100,000,000	2011/03/01
10088	RB of Scotland N.V.	Oil Futures European Put Wts Nov11	0.251	92 per 300 units	2011/11/15	100,000,000	2011/03/01
29132	UBS AG	Alibaba European Warrants Jun 2011	0.150	16.500	2011/06/20	200,000,000	2011/03/01
29133	UBS AG	BYD European Warrants Sep 2011	0.250	33.880	2011/09/12	100,000,000	2011/03/01
29134	UBS AG	China Mobile European Warrants Aug 2011 A	0.380	73.000	2011/08/15	300,000,000	2011/03/01
29157	BNP Paribas Arbit Issu B.V.	AviChina European Wts Jul13	0.250	4.000	2013/07/02	100,000,000	2011/03/02
10085	BNP Paribas Arbit Issu B.V.	Gold European Warrants Sep 11	0.295	1,600 per 5,000 units	2011/09/06	200,000,000	2011/03/02
10089	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Sep 2011	0.295	1,250 per 5,000 units	2011/09/06	200,000,000	2011/03/02
29166	Credit Suisse AG	China Mobile European Warrants Sep 2011	0.250	74.880	2011/09/02	300,000,000	2011/03/02
29163	Credit Suisse AG	HSBC European Wts Jun 2011 C	0.280	91.900	2011/06/03	300,000,000	2011/03/02
29164	Credit Suisse AG	Jiangxi Copper European Wts Jun 2011 B	0.150	26.900	2011/06/07	300,000,000	2011/03/02



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29165	Credit Suisse AG	PetroChina European Warrants Oct 2012	0.150	11.000	2012/10/19	300,000,000	2011/03/02
29148	Citigroup Global Mkt H Inc.	CM Bank European Wts Sep 2011	0.150	21.180	2011/09/12	80,000,000	2011/03/02
29149	Citigroup Global Mkt H Inc.	Minsheng Bank Euro Wts Jul 11	0.180	8 per unit	2011/07/18	80,000,000	2011/03/02
29168	Citigroup Global Mkt H Inc.	CNOOC European Wts Oct 2011 B	0.150	19.000	2011/10/25	80,000,000	2011/03/02
29150	Citigroup Global Mkt H Inc.	Hang Lung Ppt Euro Wts Oct 11	0.250	38.880	2011/10/03	80,000,000	2011/03/02
29162	Deutsche Bank AG	BOCL European Put Warrants Sep 2011	0.250	3.50 per unit	2011/09/05	100,000,000	2011/03/02
29158	Deutsche Bank AG	CNOOC European Warrants Jul 2011 B	0.150	18.880	2011/07/18	200,000,000	2011/03/02
29161	Deutsche Bank AG	A50 China European Warrants Nov 2011	0.150	13.180	2011/11/04	150,000,000	2011/03/02
29159	Deutsche Bank AG	HKEx European Warrants Aug 2011	0.150	180 per 100 units	2011/08/15	300,000,000	2011/03/02
29160	Deutsche Bank AG	HSBC European Warrants Jul 2011 B	0.300	95.000	2011/07/18	300,000,000	2011/03/02
29154	Goldman Sachs SP (Asia)	China Railway Euro Wts Sep 2011	0.410	5.60 per unit	2011/09/05	200,000,000	2011/03/02
29155	J P Morgan SP BV	HSBC European Warrants Jul 2011 D	0.150	103.900	2011/07/18	100,000,000	2011/03/02
29156	J P Morgan SP BV	HSBC European Warrants Sep 2011 C	0.250	108.880	2011/09/05	100,000,000	2011/03/02
29151	Macquarie Bank Ltd.	CC Bank European Warrants Aug 2011	0.254	7.28 per unit	2011/08/08	250,000,000	2011/03/02
29152	Macquarie Bank Ltd.	Fushan Energy European Warrants Jun 11	0.184	6.28 per unit	2011/06/22	88,000,000	2011/03/02
29153	Macquarie Bank Ltd.	Sinofert European Warrants Sep 2011	0.253	5.38 per unit	2011/09/05	88,000,000	2011/03/02
29167	Standard Chartered Bank	China Mobile Euro Wts Oct 2011 B	0.250	78.000	2011/10/28	100,000,000	2011/03/02
29142	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Sep 2011	0.620	130.880	2011/09/05	80,000,000	2011/03/02
29143	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jan 2012	0.150	126.28 per 100 units	2012/01/18	500,000,000	2011/03/02
29144	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Aug 11C	0.290	9.38 per unit	2011/08/01	200,000,000	2011/03/02
29145	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Sep 2011	0.700	8.28 per unit	2011/09/05	200,000,000	2011/03/02
29146	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2011 C	0.560	96.880	2011/07/06	200,000,000	2011/03/02
29147	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Sep 2011 A	0.730	11.08 per unit	2011/09/05	100,000,000	2011/03/02
26047 *	Macquarie Bank Ltd.	Jiangxi Copper European Wts May 2011 B	0.054	26.880	2011/05/04	250,000,000	2011/03/02
27855 *	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts May 2011A	0.195	99.990	2011/05/03	70,000,000	2011/03/02
29191	BNP Paribas Arbit Issu B.V.	Sh Ind H European Wts Feb 2012	0.270	33.300	2012/02/02	42,000,000	2011/03/03
29193	Deutsche Bank AG	China Mobile European Warrants Sep 2011A	0.560	68.000	2011/09/26	200,000,000	2011/03/03
29192	Deutsche Bank AG	CC Bank European Warrants Sep 2011 A	0.600	6.30 per unit	2011/09/05	200,000,000	2011/03/03
29194	Deutsche Bank AG	HSBC European Warrants Sep 2011 C	0.670	84.000	2011/09/05	200,000,000	2011/03/03
10086	Deutsche Bank AG	Oil Futures European Warrants Sep 2011	0.250	108.88 per 400 units	2011/09/07	50,000,000	2011/03/03
10090	Deutsche Bank AG	Oil Futures European Put Warrants Sep 2011	0.250	95 per 400 units	2011/09/07	50,000,000	2011/03/03
29176	Goldman Sachs SP (Asia)	Gome European Warrants Sep 2011	0.320	2.88 per unit	2011/09/05	200,000,000	2011/03/03
29187	Macquarie Bank Ltd.	China Mobile European Wts Jun 2011 C	0.203	77.280	2011/06/24	200,000,000	2011/03/03
29190	Macquarie Bank Ltd.	Tencent European Put Warrants Dec 2011	0.250	180 per 100 units	2011/12/02	250,000,000	2011/03/03
29188	Macquarie Bank Ltd.	Zhaojin Mining European Warrants Aug 11	0.242	38.880	2011/08/11	128,000,000	2011/03/03
29170	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Aug 2011	0.160	104.890	2011/08/03	120,000,000	2011/03/03
29171	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2011	0.157	106.890	2011/09/12	80,000,000	2011/03/03
29172	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2011	0.197	107.990	2011/11/28	120,000,000	2011/03/03
29174	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Jul 2011	0.151	97.99 per 100 units	2011/07/25	120,000,000	2011/03/03
29169	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jul 2011 B	0.221	98.290	2011/07/20	80,000,000	2011/03/03
29173	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Dec 2011 C	0.157	116.990	2011/12/05	80,000,000	2011/03/03
29177	CC Rabobank B.A.	AviChina European Warrants Sep 2011	0.750	4.88 per unit	2011/09/05	100,000,000	2011/03/03

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29178	CC Rabobank B.A.	China Gas Hold European Warrants Sep 2011	0.350	3.15 per unit	2011/09/05	100,000,000	2011/03/03
29179	CC Rabobank B.A.	China Ship Dev European Warrants Aug 2012	0.250	9.000	2012/08/30	150,000,000	2011/03/03
29186	CC Rabobank B.A.	CSR Times European Warrants Sep 2011	0.350	27.300	2011/09/05	100,000,000	2011/03/03
29181	CC Rabobank B.A.	CSR European Warrants Dec 2011 B	0.250	8.200	2011/12/29	180,000,000	2011/03/03
29182	CC Rabobank B.A.	Digital China European Warrants Nov 2011	0.250	16.200	2011/11/21	150,000,000	2011/03/03
29183	CC Rabobank B.A.	HSBC European Warrants Jul 2011 B	0.200	98.880	2011/07/11	200,000,000	2011/03/03
29184	CC Rabobank B.A.	Jiangxi Copper European Warrants Jun 2011	0.150	28.000	2011/06/23	200,000,000	2011/03/03
29185	CC Rabobank B.A.	Shanghai Ind European Warrants Sep 2011	0.250	32.000	2011/09/05	100,000,000	2011/03/03
29180	CC Rabobank B.A.	Yurun Food European Warrants Sep 2011	0.250	28.000	2011/09/05	100,000,000	2011/03/03
29175	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Aug 2011	0.240	7.28 per unit	2011/08/31	200,000,000	2011/03/03
29189	UBS AG	Cheung Kong European Warrants Jun 2011 B	0.150	145.000	2011/06/27	100,000,000	2011/03/03
10091	BNP Paribas Arbit Issu B.V.	Oil Futures European Wt Nov11C	0.250	120 per 300 units	2011/11/16	200,000,000	2011/03/04
10092	BNP Paribas Arbit Issu B.V.	Oil Futures European Wt May12B	0.250	130 per 300 units	2012/05/17	200,000,000	2011/03/04
29217	Credit Suisse AG	HSCEI European Warrants Jun 2011 B	0.150	12,050 per 5,800 units	2011/06/29	200,000,000	2011/03/04
29218	Credit Suisse AG	HSI European Warrants Jun 2011 D	0.150	23,100 per 8,500 units	2011/06/29	300,000,000	2011/03/04
29202	Goldman Sachs SP (Asia)	Evergrande European Wts Sep 2011	0.320	3.88 per unit	2011/09/05	200,000,000	2011/03/04
29204	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2011 C	0.260	22,200 per 8,000 units	2011/09/29	200,000,000	2011/03/04
29203	Goldman Sachs SP (Asia)	Wharf European Warrants Sep 2011	0.315	55.800	2011/09/05	200,000,000	2011/03/04
29206	Macquarie Bank Ltd.	CM Bank European Put Warrants Nov 2011	0.251	18.000	2011/11/02	250,000,000	2011/03/04
29205	Macquarie Bank Ltd.	Hutchison European Warrants Mar 2012 B	0.150	88.88 per 100 units	2012/03/21	500,000,000	2011/03/04
29196	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Aug 11A	0.151	23,500 per 8,000 units	2011/08/30	200,000,000	2011/03/04
29197	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Dec 11	0.255	23,900 per 7,500 units	2011/12/29	200,000,000	2011/03/04
29208	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Jun11G	0.162	23,000 per 8,500 units	2011/06/29	200,000,000	2011/03/04
29195	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Jul11C	0.150	23,100 per 8,000 units	2011/07/28	200,000,000	2011/03/04
29199	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jul 11D	0.152	21,100 per 8,000 units	2011/07/28	200,000,000	2011/03/04
29200	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jul 11E	0.201	22,500 per 8,000 units	2011/07/28	200,000,000	2011/03/04
29201	Merrill Lynch Int'l & Co. C.V.	HSI European Put Wts Jul 11F	0.260	23,300 per 8,000 units	2011/07/28	200,000,000	2011/03/04
29209	CC Rabobank B.A.	Alibaba European Warrants Nov 2011	0.200	16.880	2011/11/21	150,000,000	2011/03/04
29210	CC Rabobank B.A.	Ch State Con European Warrants May 2012	0.250	6.880	2012/05/30	200,000,000	2011/03/04
29211	CC Rabobank B.A.	Golden Eagle European Warrants Oct 2011	0.250	18.800	2011/10/28	120,000,000	2011/03/04
29212	CC Rabobank B.A.	Lee & Man Paper European Warrants Sep 11B	0.600	5.28 per unit	2011/09/07	100,000,000	2011/03/04
29213	CC Rabobank B.A.	Nine Dragons European Warrants Sep 2011	0.800	9.88 per unit	2011/09/07	100,000,000	2011/03/04
29207	Standard Chartered Bank	Hutchison European Wts Nov 2011	0.670	99.280	2011/11/30	100,000,000	2011/03/04
29198	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2011 A	0.580	100.000	2011/09/05	200,000,000	2011/03/04
29214	UBS AG	China Mobile European Warrants Sep 2011	0.500	70.000	2011/09/05	200,000,000	2011/03/04
29215	UBS AG	HSBC European Warrants Jun 2011 E	0.440	86.000	2011/06/24	200,000,000	2011/03/04
29216	UBS AG	HSBC European Warrants Jun 2011 F	0.250	91.880	2011/06/07	300,000,000	2011/03/04
29220	UBS AG	HSI European Put Warrants Jun 2011 H	0.150	22,500 per 8,500 units	2011/06/29	300,000,000	2011/03/04
29219	UBS AG	Hutchison European Warrants Jun 2011 D	0.300	100.000	2011/06/23	300,000,000	2011/03/04
29233	BNP Paribas Arbit Issu B.V.	SHK Ppt European Warrants Jan12	0.150	138.18 per 100 units	2012/01/04	200,000,000	2011/03/07
29223	Credit Suisse AG	Agricultural Bank European Wts Jun 2011 E	0.150	3.89 per unit	2011/06/20	300,000,000	2011/03/07
29224	Credit Suisse AG	Alibaba European Warrants Sep 2011	0.150	14.900	2011/09/19	200,000,000	2011/03/07
29225	Credit Suisse AG	BOCL European Warrants Jun 2011 B	0.150	4.19 per unit	2011/06/13	200,000,000	2011/03/07

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29222	Credit Suisse AG	Jiangxi Copper European Wts Jun 2011 C	0.150	24.900	2011/06/13	200,000,000	2011/03/07
29230	KBC Fin Products Int'l Ltd.	AIA European Put Warrants Sep11	0.250	22.380	2011/09/09	80,000,000	2011/03/07
29231	KBC Fin Products Int'l Ltd.	BYD European Warrants Sep 11A	0.250	34.880	2011/09/09	80,000,000	2011/03/07
29232	KBC Fin Products Int'l Ltd.	BYD European Warrants Sep 11B	0.150	42.280	2011/09/16	80,000,000	2011/03/07
29221	Macquarie Bank Ltd.	Jiangxi Copper European Wts Aug 2011	0.201	26.000	2011/08/02	250,000,000	2011/03/07
29229	SGA Societe Generale Acceptance N.V.	JIANG Eu Wts Aug 11B	0.220	26.000	2011/08/03	200,000,000	2011/03/07
29226	UBS AG	China Unicom European Warrants Sep 2012	0.150	15.000	2012/09/25	100,000,000	2011/03/07
29228	UBS AG	HSI European Warrants Jun 2011 I	0.150	23,300 per 7,800 units	2011/06/29	300,000,000	2011/03/07
29227	UBS AG	Yanzhou Coal European Wts Jul 2011	0.150	26.000	2011/07/25	100,000,000	2011/03/07
27959 #	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 A	0.158	78.880	2011/07/04	280,000,000	2011/03/07
29240	Credit Suisse AG	Sinopec Corp European Warrants Jun 2011	0.250	8.69 per unit	2011/06/14	300,000,000	2011/03/08
29241	Credit Suisse AG	HKEx European Put Warrants Jun 2011	0.150	164.95 per 100 units	2011/06/27	300,000,000	2011/03/08
29242	Credit Suisse AG	HSCEI European Put Warrants Jun 2011 D	0.150	12,350 per 5,800 units	2011/06/29	200,000,000	2011/03/08
29237	Bank of East Asia	AIA European Warrants Dec 2011	0.250	23.299	2011/12/23	65,000,000	2011/03/08
29236	Bank of East Asia	HSBC European Warrants Nov 2011	0.360	105.005	2011/11/23	28,000,000	2011/03/08
29238	Bank of East Asia	ICBC European Warrants Dec 2012	0.150	4.983 per 10 units	2012/12/21	303,000,000	2011/03/08
29239	Bank of East Asia	Ping An European Warrants Dec 2012	0.250	71.318 per 100 units	2012/12/21	211,000,000	2011/03/08
29235	HK Bank	Fushan Energy European Warrants Sep 2011	0.330	6.68 per unit	2011/09/29	100,000,000	2011/03/08
29243	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jul 2011	0.250	7.50 per unit	2011/07/28	200,000,000	2011/03/08
29244	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Sep 2011	0.250	8 per unit	2011/09/08	200,000,000	2011/03/08
29245	J P Morgan SP BV	China Life European Warrants Sep 2011	0.250	31.880	2011/09/29	288,000,000	2011/03/08
29234	Macquarie Bank Ltd.	HSBC European Warrants Oct 2011 A	0.367	98.880	2011/10/04	200,000,000	2011/03/08
29246	UBS AG	HKEx European Warrants Aug 2011 B	0.150	180 per 100 units	2011/08/09	300,000,000	2011/03/08
26952*	CC Rabobank B.A.	CSR European Warrants Aug 2011	0.065	10.000	2011/08/15	200,000,000	2011/03/08
29253	Barclays Bank plc	China Mobile European Wts Oct 2011 A	0.250	80.880	2011/10/03	150,000,000	2011/03/09
29252	Barclays Bank plc	CC Bank European Warrants Sep 2011	0.250	7.50 per unit	2011/09/26	100,000,000	2011/03/09
29254	Barclays Bank plc	China Life European Warrants Aug 2011 B	0.170	30.000	2011/08/15	150,000,000	2011/03/09
29255	Barclays Bank plc	China Life European Put Wts Sep 2011	0.176	28.000	2011/09/12	150,000,000	2011/03/09
29251	Barclays Bank plc	HSBC European Warrants Sep 2011 B	0.250	95.000	2011/09/12	150,000,000	2011/03/09
29294	Credit Suisse AG	CC Bank European Warrants Jun 2011 F	0.150	7.49 per unit	2011/06/30	300,000,000	2011/03/09
29285	Credit Suisse AG	Cheung Kong European Warrants Jun 2011 C	0.200	145.000	2011/06/27	300,000,000	2011/03/09
29295	Credit Suisse AG	China Mobile European Warrants Jun 2011 E	0.150	80.900	2011/06/27	300,000,000	2011/03/09
29286	Credit Suisse AG	HSBC European Wts Jun 2011 D	0.330	82.900	2011/06/10	300,000,000	2011/03/09
29287	Credit Suisse AG	HSBC European Wts Jun 2011 E	0.300	86.900	2011/06/27	300,000,000	2011/03/09
29296	Credit Suisse AG	HSI European Put Warrants Jun 2011 F	0.150	22,000 per 8,000 units	2011/06/29	300,000,000	2011/03/09
29297	Credit Suisse AG	HSI European Put Warrants Jun 2011 G	0.150	22,900 per 8,800 units	2011/06/29	300,000,000	2011/03/09
29288	Credit Suisse AG	Hutchison European Warrants Jun 2011 D	1.000	83.900	2011/06/27	300,000,000	2011/03/09
29289	Credit Suisse AG	Hutchison European Warrants Jun 2011 E	0.870	85.900	2011/06/20	300,000,000	2011/03/09
29290	Credit Suisse AG	Hutchison European Warrants Jun 2011 F	0.600	91.900	2011/06/27	300,000,000	2011/03/09
29291	Credit Suisse AG	Hutchison European Warrants Jun 2011 G	0.150	108.900	2011/06/13	300,000,000	2011/03/09
29298	Credit Suisse AG	Hutchison European Warrants Jun 2011 H	0.430	96.900	2011/06/30	300,000,000	2011/03/09
29292	Credit Suisse AG	Tencent Holdings European Wts Jul 2011 C	0.150	208 per 100 units	2011/07/11	300,000,000	2011/03/09

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29293	Credit Suisse AG	Tencent Holdings European Wts Sep 2011 A	0.250	228 per 100 units	2011/09/09	300,000,000	2011/03/09
29256	HK Bank	China Mobile European Warrants Jun 2011 C	0.200	77.990	2011/06/24	200,000,000	2011/03/09
29273	Macquarie Bank Ltd.	Bank of EA European Warrants Nov 2011	0.250	38.000	2011/11/02	150,000,000	2011/03/09
29274	Macquarie Bank Ltd.	BOCHK European Warrants Dec 2011	0.150	30.000	2011/12/05	200,000,000	2011/03/09
29275	Macquarie Bank Ltd.	China Mobile European Wts Sep 2011 A	0.250	82.500	2011/09/22	200,000,000	2011/03/09
29276	Macquarie Bank Ltd.	China Unicom European Wts Mar 2012	0.206	13.780	2012/03/16	200,000,000	2011/03/09
29277	Macquarie Bank Ltd.	Ch Southern Air European Wts Oct 2011	0.418	4.50 per unit	2011/10/28	100,000,000	2011/03/09
29278	Macquarie Bank Ltd.	Hutchison European Warrants Aug 2011	0.717	98.880	2011/08/23	250,000,000	2011/03/09
29279	Macquarie Bank Ltd.	Li Ning European Warrants Oct 2011	0.250	18.880	2011/10/31	200,000,000	2011/03/09
29280	Macquarie Bank Ltd.	Sino Land European Warrants Dec 2011	0.251	16.000	2011/12/30	200,000,000	2011/03/09
29281	Macquarie Bank Ltd.	Yanzhou Coal European Wts Oct 2011 A	0.220	28.000	2011/10/26	200,000,000	2011/03/09
29282	Macquarie Bank Ltd.	ZTE European Warrants Sep 2011	0.250	45.000	2011/09/30	200,000,000	2011/03/09
29247	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Aug 2011	0.275	28.290	2011/08/15	80,000,000	2011/03/09
29248	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Oct 2011 B	0.184	31.790	2011/10/26	80,000,000	2011/03/09
29249	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Nov 2011	0.151	35.290	2011/11/24	80,000,000	2011/03/09
29250	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Sep 2011	0.158	26.990	2011/09/12	80,000,000	2011/03/09
29268	CC Rabobank B.A.	Minsheng Bank European Wts Jul 2011	0.160	8 per unit	2011/07/11	120,000,000	2011/03/09
29269	CC Rabobank B.A.	Cathay Pacific European Warrants Aug 2011	0.150	20.080	2011/08/17	100,000,000	2011/03/09
29270	CC Rabobank B.A.	Dongfeng Motor European Warrants Jun 11B	0.150	15.000	2011/06/22	150,000,000	2011/03/09
29271	CC Rabobank B.A.	Esprit European Warrants Jul 2011	0.200	42.880	2011/07/20	100,000,000	2011/03/09
29272	CC Rabobank B.A.	Tencent Holdings European Put Wts Sep 11	0.270	218.88 per 100 units	2011/09/19	200,000,000	2011/03/09
29257	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Jun 2011	0.160	25.400	2011/06/20	200,000,000	2011/03/09
29260	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts Mar 12	0.180	13.780	2012/03/19	200,000,000	2011/03/09
29258	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2011 A	0.310	7.69 per unit	2011/09/12	200,000,000	2011/03/09
29259	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011C	0.150	32.880	2011/08/31	300,000,000	2011/03/09
29262	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2011 D	0.320	90.000	2011/07/25	300,000,000	2011/03/09
29263	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2011 B	0.220	94.880	2011/08/08	300,000,000	2011/03/09
29261	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2011 C	0.150	24,200 per 8,000 units	2011/08/30	300,000,000	2011/03/09
29264	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 11	0.200	23,600 per 8,000 units	2011/07/28	300,000,000	2011/03/09
29267	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 11C	0.150	21,800 per 9,000 units	2011/09/29	300,000,000	2011/03/09
29265	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 11A	0.180	23,000 per 8,000 units	2011/08/30	300,000,000	2011/03/09
29266	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 11B	0.240	24,000 per 8,000 units	2011/08/30	300,000,000	2011/03/09
29283	UBS AG	HSBC European Warrants Jun 2011 G	0.320	82.880	2011/06/09	300,000,000	2011/03/09
29284	UBS AG	HSBC European Warrants Jul 2011 B	0.450	80.880	2011/07/04	300,000,000	2011/03/09
28704 <sup>#</sup>	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jul 2011 B	0.109	31.800	2011/07/04	240,000,000	2011/03/09
29305	Barclays Bank plc	Agricultural Bank European Wts Sep 2011	0.253	4.38 per unit	2011/09/30	100,000,000	2011/03/10
29306	Barclays Bank plc	BOCL European Warrants Sep 2011	0.252	4.20 per unit	2011/09/30	100,000,000	2011/03/10
29304	Barclays Bank plc	BYD European Warrants Oct 2011	0.272	40.000	2011/10/03	100,000,000	2011/03/10
29303	Barclays Bank plc	China Shenhua European Warrants Oct 2011	0.251	35.000	2011/10/31	100,000,000	2011/03/10
29302	Barclays Bank plc	Jiangxi Copper European Warrants Oct 11	0.254	26.880	2011/10/31	100,000,000	2011/03/10
29311	Deutsche Bank AG	CC Bank European Warrants Aug 2011 B	0.300	7.50 per unit	2011/08/01	200,000,000	2011/03/10
29312	Deutsche Bank AG	HSBC European Warrants Jul 2011 C	0.600	80.880	2011/07/04	200,000,000	2011/03/10
29307	Standard Chartered Bank	HSBC European Warrants Aug 2011	0.280	89.880	2011/08/08	100,000,000	2011/03/10

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29308	Standard Chartered Bank	HSBC European Warrants May 2012	0.157	121.900	2012/05/31	100,000,000	2011/03/10
29299	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep 11B	0.150	89.000	2011/09/28	200,000,000	2011/03/10
29300	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Dec 11B	0.150	93.800	2011/12/28	200,000,000	2011/03/10
29301	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Sep11	0.380	46.180	2011/09/12	100,000,000	2011/03/10
29309	UBS AG	BYD European Warrants Aug 2011 B	0.150	43.880	2011/08/01	100,000,000	2011/03/10
29310	UBS AG	HSBC European Warrants Aug 2011	0.370	84.000	2011/08/29	300,000,000	2011/03/10
25557 #	UBS AG	HKEx European Warrants Jul 2011 B	0.105	170 per 100 units	2011/07/25	300,000,000	2011/03/10
29327	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2012	0.250	4.98 per unit	2012/03/05	200,000,000	2011/03/11
29333	Credit Suisse AG	Tencent Holdings European Wts Sep 2011 B	0.250	239.90 per 100 units	2011/09/12	300,000,000	2011/03/11
29334	Credit Suisse AG	Tencent Holdings European Wts Sep 2011 C	0.250	258 per 100 units	2011/09/12	300,000,000	2011/03/11
29332	Credit Suisse AG	Tencent Holdings European Put Wts Jul 11B	0.150	208 per 100 units	2011/07/25	300,000,000	2011/03/11
29347	Deutsche Bank AG	BOCL European Warrants Aug 2011 B	0.247	4.32 per unit	2011/08/29	100,000,000	2011/03/11
10093	Deutsche Bank AG	EUR/USD European Put Warrants Sep 2011	0.280	1.32 per 1 units	2011/09/12	50,000,000	2011/03/11
29348	Deutsche Bank AG	PetroChina European Warrants Oct 2012	0.159	11.000	2012/10/22	200,000,000	2011/03/11
29329	HK Bank	Country Garden European Warrants Dec 2011	0.250	3.80 per unit	2011/12/06	80,000,000	2011/03/11
29328	HK Bank	CNBM European Warrants Oct 2011	0.250	25.880	2011/10/31	100,000,000	2011/03/11
29330	HK Bank	HWL European Warrants Jun 2011	0.390	100.000	2011/06/23	200,000,000	2011/03/11
29342	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2011 B	0.150	32.888	2011/08/29	258,000,000	2011/03/11
29343	KBC Fin Products Int'l Ltd.	HSBC European Wts Aug 2011 B	0.160	90.000	2011/08/01	238,000,000	2011/03/11
29344	KBC Fin Products Int'l Ltd.	ICBC European Wts Aug 2011	0.150	6.99 per unit	2011/08/01	188,000,000	2011/03/11
29345	KBC Fin Products Int'l Ltd.	Ping An European Wts Sep 2011	0.330	89.880	2011/09/21	80,000,000	2011/03/11
29346	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Oct 11A	0.250	238.98 per 100 units	2011/10/03	168,000,000	2011/03/11
29331	Macquarie Bank Ltd.	LOccitane European Warrants Dec 2011	0.250	23.880	2011/12/19	200,000,000	2011/03/11
29340	Macquarie Bank Ltd.	Tencent European Warrants Dec 2011	0.250	255 per 100 units	2011/12/02	300,000,000	2011/03/11
29341	Macquarie Bank Ltd.	Tencent European Put Warrants Oct 2011	0.317	220 per 100 units	2011/10/04	300,000,000	2011/03/11
29318	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Aug 2011 C	0.280	8.89 per unit	2011/08/05	80,000,000	2011/03/11
29319	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Sep 2011	0.510	8.19 per unit	2011/09/12	80,000,000	2011/03/11
29313	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Aug 2011	0.197	6.51 per unit	2011/08/22	80,000,000	2011/03/11
29314	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Oct 2011	0.365	6.09 per unit	2011/10/03	80,000,000	2011/03/11
29315	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Jan 2012	0.255	7.19 per unit	2012/01/30	80,000,000	2011/03/11
29316	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Apr 2012	0.255	7.69 per unit	2012/04/23	80,000,000	2011/03/11
29317	Merrill Lynch Int'l & Co. C.V.	ICBC European Put Wts Aug11	0.239	5.39 per unit	2011/08/29	80,000,000	2011/03/11
29320	CC Rabobank B.A.	CNBM European Warrants Jul 2011	0.150	24.200	2011/07/06	150,000,000	2011/03/11
29321	CC Rabobank B.A.	Ch Southern Air European Warrants Sep 11	0.400	4.26 per unit	2011/09/12	100,000,000	2011/03/11
29322	CC Rabobank B.A.	Hang Seng Bank European Warrants Jun 2011	0.150	142.000	2011/06/30	100,000,000	2011/03/11
29324	CC Rabobank B.A.	SHK Ppt European Warrants Dec 2011	0.150	138.10 per 100 units	2011/12/19	300,000,000	2011/03/11
29323	CC Rabobank B.A.	Sino Land European Warrants Oct 2011	0.150	16.020	2011/10/26	100,000,000	2011/03/11
29335	RB of Scotland N.V.	China Life European Wts Aug 2011 C	0.152	31.038	2011/08/02	200,000,000	2011/03/11
29336	RB of Scotland N.V.	HWL European Warrants Aug 2011	0.529	98.888	2011/08/23	80,000,000	2011/03/11
29337	RB of Scotland N.V.	Tencent Holdings European Wts Oct 2011	0.250	233.88 per 100 units	2011/10/03	80,000,000	2011/03/11
29325	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2011 B	0.170	8.18 per unit	2011/08/31	200,000,000	2011/03/11
29338	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2011 E	0.300	87.500	2011/07/18	300,000,000	2011/03/11
29326	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2011 D	0.310	88.880	2011/09/12	300,000,000	2011/03/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29339	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Sep 2011	0.250	7.18 per unit	2011/09/12	200,000,000	2011/03/11
29353	HK Bank	BBMG European Warrants Jan 2012	0.250	12.880	2012/01/10	100,000,000	2011/03/14
29354	HK Bank	Li Ning European Warrants Nov 2011	0.250	19.500	2011/11/29	100,000,000	2011/03/14
29355	HK Bank	Sinofert European Warrants Nov 2011	0.250	5.08 per unit	2011/11/18	80,000,000	2011/03/14
29356	HK Bank	Yurun Food European Warrants Dec 2011	0.250	32.380	2011/12/28	100,000,000	2011/03/14
29363	J P Morgan SP BV	China Life European Warrants Aug 2011 D	0.150	32.880	2011/08/29	300,000,000	2011/03/14
29364	KBC Fin Products Int'l Ltd.	BEA European Warrants Sep 2011	0.250	34.800	2011/09/14	68,000,000	2011/03/14
29369	KBC Fin Products Int'l Ltd.	China Unicom Euro Wts Nov 2011	0.150	13.020	2011/11/28	80,000,000	2011/03/14
29377	KBC Fin Products Int'l Ltd.	China Unicom Eur Put Wts Dec11	0.250	13.180	2011/12/15	80,000,000	2011/03/14
29365	KBC Fin Products Int'l Ltd.	CITIC Bank Euro Wts Nov 2011	0.250	5.68 per unit	2011/11/08	80,000,000	2011/03/14
29375	KBC Fin Products Int'l Ltd.	CITIC Bank Eur Put Wt Nov 2011	0.520	5.38 per unit	2011/11/01	80,000,000	2011/03/14
29366	KBC Fin Products Int'l Ltd.	CMB European Warrants Sep 2011	0.150	21.200	2011/09/12	80,000,000	2011/03/14
29367	KBC Fin Products Int'l Ltd.	CPIC European Wts Sep 2011	0.220	34.380	2011/09/22	88,000,000	2011/03/14
29376	KBC Fin Products Int'l Ltd.	CPIC European Put Warrants Sep11	0.250	31.880	2011/09/14	80,000,000	2011/03/14
29368	KBC Fin Products Int'l Ltd.	China Shenhua Eu Wt Sep 2011	0.280	33.380	2011/09/05	80,000,000	2011/03/14
29370	KBC Fin Products Int'l Ltd.	Hang Lung Ppt Euro Wts Sep2011	0.290	31.880	2011/09/14	58,000,000	2011/03/14
29371	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Sep2011A	0.330	23.780	2011/09/14	108,000,000	2011/03/14
29372	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Sep2011B	0.250	27.000	2011/09/14	108,000,000	2011/03/14
29373	KBC Fin Products Int'l Ltd.	MTRC European Wts Sep 2011	0.250	28.880	2011/09/14	68,000,000	2011/03/14
29374	KBC Fin Products Int'l Ltd.	Wharf European Warrants Sep11	0.250	56.880	2011/09/14	68,000,000	2011/03/14
29362	Macquarie Bank Ltd.	A50 China European Warrants Sep 2011 B	0.150	13.600	2011/09/14	200,000,000	2011/03/14
29357	Macquarie Bank Ltd.	Tencent European Warrants Nov 2011 C	0.256	268 per 100 units	2011/11/02	300,000,000	2011/03/14
29350	CC Rabobank B.A.	China Life European Warrants Oct 2011	0.250	34.880	2011/10/28	200,000,000	2011/03/14
29349	CC Rabobank B.A.	CPIC European Warrants Oct 2011	0.250	37.880	2011/10/28	100,000,000	2011/03/14
29351	CC Rabobank B.A.	HKEx European Warrants Dec 2011A	0.150	200.40 per 100 units	2011/12/16	200,000,000	2011/03/14
29352	CC Rabobank B.A.	Tencent Holdings European Wts Nov 2011	0.250	238.88 per 100 units	2011/11/21	200,000,000	2011/03/14
29378	Standard Chartered Bank	Tencent Hldg European Wts Sep 2011	0.250	238.88 per 100 units	2011/09/30	100,000,000	2011/03/14
29358	UBS AG	CC Bank European Warrants Jul 2011 B	0.300	7 per unit	2011/07/22	200,000,000	2011/03/14
29379	UBS AG	CC Bank European Warrants Jul 2011 C	0.150	7.38 per unit	2011/07/11	200,000,000	2011/03/14
29359	UBS AG	Tencent Holdings European Warrants Sep 2011 B	0.250	243.88 per 100 units	2011/09/14	200,000,000	2011/03/14
29360	UBS AG	Tencent Holdings European Warrants Sep 2011 C	0.250	260 per 100 units	2011/09/26	200,000,000	2011/03/14
29361	UBS AG	Tencent Holdings European Put Warrants Jul 2011	0.150	200 per 100 units	2011/07/18	100,000,000	2011/03/14
10094	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Put Wt Nov 11	0.250	94 per 300 units	2011/11/16	200,000,000	2011/03/15
10095	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Put Wt May 12	0.250	85 per 300 units	2012/05/17	200,000,000	2011/03/15
29401	Credit Suisse AG	China Shenhua European Warrants Jun 2011B	0.150	36.900	2011/06/20	200,000,000	2011/03/15
29398	HK Bank	Ch Comm Cons European Warrants Dec 2013	0.250	6.880	2013/12/31	80,000,000	2011/03/15
29399	HK Bank	Dongfeng Motor European Warrants Dec 2011	0.250	16.880	2011/12/22	100,000,000	2011/03/15
29400	HK Bank	Geely Auto European Warrants Oct 2011	0.250	3.98 per unit	2011/10/17	80,000,000	2011/03/15
29404	KBC Fin Products Int'l Ltd.	AIA European Warrants Dec 2011	0.150	23.800	2011/12/01	208,000,000	2011/03/15
29402	KBC Fin Products Int'l Ltd.	AIA European Warrants Jun 11B	0.150	24.380	2011/06/15	188,000,000	2011/03/15
29403	KBC Fin Products Int'l Ltd.	AIA European Warrants Jul 11B	0.150	22.930	2011/07/21	208,000,000	2011/03/15
29407	KBC Fin Products Int'l Ltd.	BOCL European Wts Aug 2011 C	0.150	4.60 per unit	2011/08/01	108,000,000	2011/03/15
29411	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wts Sep 2011D	0.250	6.98 per unit	2011/09/15	108,000,000	2011/03/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29405	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2011 A	0.220	7.68 per unit	2011/09/05	108,000,000	2011/03/15
29406	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2011 B	0.150	8 per unit	2011/09/01	108,000,000	2011/03/15
29408	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2011 B	0.250	33.880	2011/09/15	198,000,000	2011/03/15
29410	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Sep 2011	0.410	6 per unit	2011/09/15	108,000,000	2011/03/15
29409	KBC Fin Products Int'l Ltd.	Ping An European Wts Jul 2011B	0.160	98.800	2011/07/15	80,000,000	2011/03/15
29396	Macquarie Bank Ltd.	HSI European Warrants Jul 2011	0.150	24,000 per 8,000 units	2011/07/28	200,000,000	2011/03/15
29397	Macquarie Bank Ltd.	HSI European Put Warrants Aug 2011	0.155	22,800 per 10,000 units	2011/08/30	200,000,000	2011/03/15
29395	Macquarie Bank Ltd.	Jiangxi Copper European Wts Oct 2011	0.252	28.880	2011/10/04	300,000,000	2011/03/15
29380	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Jan 2012	0.151	126.29 per 100 units	2012/01/16	80,000,000	2011/03/15
29388	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Put Wts Jun 11I	0.150	17.880	2011/06/28	80,000,000	2011/03/15
29389	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2011	0.152	14.290	2011/12/19	80,000,000	2011/03/15
29381	Merrill Lynch Int'l & Co. C.V.	HS Bank Euro Wts Nov 2011	0.395	138.890	2011/11/21	80,000,000	2011/03/15
29382	Merrill Lynch Int'l & Co. C.V.	HS Bank Euro Wts Dec 2012	0.152	122.99 per 100 units	2012/12/27	80,000,000	2011/03/15
29383	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jun 2011 B	0.213	86.890	2011/06/23	80,000,000	2011/03/15
29384	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jul 2011 C	0.180	89.990	2011/07/21	80,000,000	2011/03/15
29385	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Aug 11B	0.530	98.890	2011/08/23	80,000,000	2011/03/15
29386	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Jun 2011 B	0.400	11.69 per unit	2011/06/27	80,000,000	2011/03/15
29387	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Oct 2012	0.151	10.990	2012/10/24	80,000,000	2011/03/15
29390	CC Rabobank B.A.	Anhui Conch European Warrants Sep 2011	0.370	46.800	2011/09/15	150,000,000	2011/03/15
29391	CC Rabobank B.A.	China Shenhua European Warrants Sep 2011	0.250	39.400	2011/09/15	150,000,000	2011/03/15
29392	CC Rabobank B.A.	GCL-Poly Energy European Wts Sep 2011	0.380	4.80 per unit	2011/09/15	100,000,000	2011/03/15
29393	CC Rabobank B.A.	HSBC European Warrants Aug 2011	0.150	94.880	2011/08/08	200,000,000	2011/03/15
29394	CC Rabobank B.A.	Lonking European Warrants Sep 2011	0.520	5.35 per unit	2011/09/29	80,000,000	2011/03/15
29414	UBS AG	AIA European Warrants Jul 2011 B	0.150	25.000	2011/07/25	200,000,000	2011/03/15
29412	UBS AG	HSI European Warrants Jun 2011 J	0.150	24,200 per 8,000 units	2011/06/29	300,000,000	2011/03/15
29413	UBS AG	HSI European Warrants Aug 2011 B	0.150	25,000 per 8,000 units	2011/08/30	300,000,000	2011/03/15
29416	UBS AG	HSI European Put Warrants Jun 2011 K	0.150	23,200 per 8,000 units	2011/06/29	300,000,000	2011/03/15
29415	UBS AG	HSI European Put Warrants Jul 2011 D	0.200	24,200 per 8,000 units	2011/07/28	300,000,000	2011/03/15
29434	BOCI Asia Ltd.	BYD European Warrants Aug 2011	0.200	43.880	2011/08/08	100,000,000	2011/03/16
29435	BOCI Asia Ltd.	CPIC European Warrants Aug 2011	0.150	36.880	2011/08/01	100,000,000	2011/03/16
29433	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Sep 2011B	0.350	5 per unit	2011/09/19	100,000,000	2011/03/16
29457	BNP Paribas Arbit Issu B.V.	Sh Petrochem Euro Wts Oct 2011	0.350	5 per unit	2011/10/04	30,000,000	2011/03/16
29458	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Jul11C	0.220	220.20 per 100 units	2011/07/05	100,000,000	2011/03/16
29436	Credit Suisse AG	AIA European Warrants Jul 2011 B	0.150	25.000	2011/07/25	300,000,000	2011/03/16
29437	Goldman Sachs SP (Asia)	CM Bank European Wts Sep 2011	0.250	21.000	2011/09/26	100,000,000	2011/03/16
29438	Goldman Sachs SP (Asia)	Ch Ship Cont European Wts Sep11	0.354	3.88 per unit	2011/09/19	50,000,000	2011/03/16
29439	Goldman Sachs SP (Asia)	CSR European Warrants Feb 2012	0.165	10.980	2012/02/27	100,000,000	2011/03/16
29440	Goldman Sachs SP (Asia)	Hang Lung Ppt European Wts Sep11	0.250	37.080	2011/09/26	100,000,000	2011/03/16
29441	Goldman Sachs SP (Asia)	Sh Electric European Wts Sep11	0.544	5.38 per unit	2011/09/26	30,000,000	2011/03/16
29442	Goldman Sachs SP (Asia)	Zijin Mining European Wts Sep11	0.505	7.80 per unit	2011/09/19	50,000,000	2011/03/16
29443	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Wts Sep 2011	0.250	48.880	2011/09/16	80,000,000	2011/03/16
29451	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Put Wt Sep 11	0.290	39.800	2011/09/16	80,000,000	2011/03/16
29444	KBC Fin Products Int'l Ltd.	Beijing Ent European Wts Sep11	0.250	50.000	2011/09/16	80,000,000	2011/03/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29452	KBC Fin Products Int'l Ltd.	Beijing Ent Euro Put Wts Sep11	0.370	41.800	2011/09/16	80,000,000	2011/03/16
29445	KBC Fin Products Int'l Ltd.	Ch Mer Hold European Wts Sep11	0.250	36.880	2011/09/16	80,000,000	2011/03/16
29453	KBC Fin Products Int'l Ltd.	Ch Mer Hold Euro Put Wts Sep11	0.250	32.880	2011/09/16	80,000,000	2011/03/16
29447	KBC Fin Products Int'l Ltd.	CITIC P European Wts Oct 2011	0.250	21.880	2011/10/03	80,000,000	2011/03/16
29448	KBC Fin Products Int'l Ltd.	CITIC P European Wts Dec 2011	0.150	24.880	2011/12/20	80,000,000	2011/03/16
29454	KBC Fin Products Int'l Ltd.	CITIC Pac Euro Put Wts Nov11	0.250	20.880	2011/11/01	80,000,000	2011/03/16
29446	KBC Fin Products Int'l Ltd.	CNBM European Warrants Sep 11	0.250	26.880	2011/09/16	80,000,000	2011/03/16
29449	KBC Fin Products Int'l Ltd.	Tsingtao Brew Euro Wts Oct11	0.250	43.880	2011/10/10	80,000,000	2011/03/16
29455	KBC Fin Products Int'l Ltd.	Tsingtao Brew Eu Put Wts Oct11	0.250	33.880	2011/10/10	80,000,000	2011/03/16
29450	KBC Fin Products Int'l Ltd.	ZTE European Warrants Nov 2011	0.250	40.000	2011/11/15	80,000,000	2011/03/16
29456	KBC Fin Products Int'l Ltd.	ZTE European Put Wts Nov11	0.290	32.880	2011/11/15	80,000,000	2011/03/16
29417	Macquarie Bank Ltd.	Angang Steel European Wts Jun 2012	0.171	12.500	2012/06/27	200,000,000	2011/03/16
29418	Macquarie Bank Ltd.	Ch Ship Cont European Warrants Oct 11	0.312	3.98 per unit	2011/10/04	100,000,000	2011/03/16
29423	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Dec11	0.250	24.990	2011/12/12	80,000,000	2011/03/16
29424	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eur Wts Aug 2011	0.161	36.890	2011/08/01	80,000,000	2011/03/16
29425	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Oct 2011 B	0.280	219.99 per 100 units	2011/10/26	80,000,000	2011/03/16
29426	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Nov 2011	0.219	238.89 per 100 units	2011/11/21	80,000,000	2011/03/16
29427	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Put Wts Sep 11	0.152	189.99 per 100 units	2011/09/12	80,000,000	2011/03/16
29428	CC Rabobank B.A.	AIA European Warrants Dec 2011	0.250	25.880	2011/12/29	200,000,000	2011/03/16
29429	CC Rabobank B.A.	CNOOC European Warrants Dec 2011	0.150	22.380	2011/12/19	200,000,000	2011/03/16
29432	CC Rabobank B.A.	HKEx European Put Warrants Aug 2011	0.180	170 per 100 units	2011/08/29	200,000,000	2011/03/16
29430	CC Rabobank B.A.	Li & Fung European Warrants Oct 2011A	0.250	55.880	2011/10/28	100,000,000	2011/03/16
29431	CC Rabobank B.A.	Tencent Holdings European Wts Oct 2011	0.250	264.88 per 100 units	2011/10/17	200,000,000	2011/03/16
29421	RB of Scotland N.V.	HKEx European Warrants Nov 2011 A	0.150	200.08 per 100 units	2011/11/30	200,000,000	2011/03/16
29419	RB of Scotland N.V.	HKEx European Warrants Sep 2011 A	0.171	172.08 per 100 units	2011/09/26	200,000,000	2011/03/16
29420	RB of Scotland N.V.	HKEx European Warrants Sep 2011 B	0.150	185.08 per 100 units	2011/09/27	200,000,000	2011/03/16
29464	RB of Scotland N.V.	HSI European Warrants Jul 2011	0.169	23,800 per 8,000 units	2011/07/28	250,000,000	2011/03/16
29465	RB of Scotland N.V.	HSI European Put Warrants Jul 2011	0.203	23,500 per 8,000 units	2011/07/28	250,000,000	2011/03/16
29466	RB of Scotland N.V.	HSI European Warrants Sep 2011 A	0.251	24,400 per 7,000 units	2011/09/29	250,000,000	2011/03/16
29467	RB of Scotland N.V.	HSI European Warrants Sep 2011 B	0.164	24,900 per 8,000 units	2011/09/29	250,000,000	2011/03/16
29468	RB of Scotland N.V.	HSI European Put Warrants Sep 2011 C	0.173	22,200 per 8,000 units	2011/09/29	250,000,000	2011/03/16
29469	RB of Scotland N.V.	HSI European Put Warrants Sep 2011 D	0.251	22,800 per 8,000 units	2011/09/29	250,000,000	2011/03/16
29470	RB of Scotland N.V.	HSI European Put Warrants Sep 2011 E	0.251	23,200 per 8,000 units	2011/09/29	250,000,000	2011/03/16
29422	RB of Scotland N.V.	Tencent European Put Warrants Nov 2011	0.152	188.08 per 100 units	2011/11/07	250,000,000	2011/03/16
29459	UBS AG	Agricultural Bank European Warrants Jul 2011 C	0.150	4.40 per unit	2011/07/29	100,000,000	2011/03/16
29460	UBS AG	BOCL European Warrants Sep 2011	0.250	4.88 per unit	2011/09/19	100,000,000	2011/03/16
29461	UBS AG	China Life European Warrants Aug 2011 C	0.150	32.900	2011/08/29	300,000,000	2011/03/16
29462	UBS AG	China Life European Warrants Sep 2011	0.150	34.900	2011/09/27	300,000,000	2011/03/16
29463	UBS AG	ICBC European Warrants Aug 2011 A	0.150	7.01 per unit	2011/08/01	200,000,000	2011/03/16
15549 *	UBS AG	HSBC European Warrants Sep 2011 B	0.037	93.88 per 100 units	2011/09/26	500,000,000	2011/03/16
29478	BOCI Asia Ltd.	CNBM European Warrants Oct 2011	0.290	26.880	2011/10/31	100,000,000	2011/03/17
29484	BNP Paribas Arbit Issu B.V.	Air China Euro Wts Nov 2012	0.260	8.000	2012/11/02	100,000,000	2011/03/17
29485	BNP Paribas Arbit Issu B.V.	CE Air European Wts Nov 2011	0.730	3.68 per unit	2011/11/02	20,000,000	2011/03/17



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29486	BNP Paribas Arbit Issu B.V.	CR Cement Euro Wts Feb 2013	0.250	7.000	2013/02/04	100,000,000	2011/03/17
29506	BNP Paribas Arbit Issu B.V.	CSI European Warrants Dec 2011	0.400	42.000	2011/12/02	25,000,000	2011/03/17
29504	BNP Paribas Arbit Issu B.V.	Foxconn European Wts Apr 2012	0.160	5.190	2012/04/27	100,000,000	2011/03/17
29505	BNP Paribas Arbit Issu B.V.	PICC European Wts Mar 2013	0.270	10.800	2013/03/04	100,000,000	2011/03/17
29496	Credit Suisse AG	Ch Comm Cons European Warrants Jun 2011	0.150	8.01 per unit	2011/06/17	200,000,000	2011/03/17
29497	Deutsche Bank AG	CC Bank European Warrants Jul 2011 C	0.180	8.38 per unit	2011/07/25	200,000,000	2011/03/17
29487	Deutsche Bank AG	Tencent Holdings European Wts Sep 2011 A	0.250	260 per 100 units	2011/09/19	200,000,000	2011/03/17
29491	Deutsche Bank AG	Tencent European Put Warrants Sep 2011	0.320	215 per 100 units	2011/09/19	150,000,000	2011/03/17
10096	Deutsche Bank AG	USD/YEN European Warrants Sep 2011	0.250	86 per 1 units	2011/09/20	50,000,000	2011/03/17
29480	Goldman Sachs SP (Asia)	Agri Bk European Wts Jul 2011 B	0.154	4.68 per unit	2011/07/22	200,000,000	2011/03/17
29481	Goldman Sachs SP (Asia)	China Life Euro Put Wts Jul 2011	0.158	28.880	2011/07/04	100,000,000	2011/03/17
29482	Goldman Sachs SP (Asia)	HSBC European Put Wts Jul 2011	0.275	76.930	2011/07/22	200,000,000	2011/03/17
29479	Goldman Sachs SP (Asia)	Tencent European Wt Oct 2011 C	0.250	248 per 100 units	2011/10/24	200,000,000	2011/03/17
29483	Goldman Sachs SP (Asia)	Tencent European Put Wt Jul 2011	0.167	208 per 100 units	2011/07/25	200,000,000	2011/03/17
29502	J P Morgan SP BV	BOCL European Warrants Aug 2011 B	0.150	4.60 per unit	2011/08/01	150,000,000	2011/03/17
29503	J P Morgan SP BV	Cheung Kong European Warrants Oct 2012	0.150	130 per 100 units	2012/10/02	200,000,000	2011/03/17
29489	KBC Fin Products Int'l Ltd.	CR Cement Euro Wts Sep 2011	0.250	7.80 per unit	2011/09/19	68,000,000	2011/03/17
29488	KBC Fin Products Int'l Ltd.	Lenovo European Wts Dec 2011	0.250	6.08 per unit	2011/12/08	80,000,000	2011/03/17
29493	KBC Fin Products Int'l Ltd.	Lenovo Euro Put Wts Dec 2011	0.250	4 per unit	2011/12/08	78,000,000	2011/03/17
29490	KBC Fin Products Int'l Ltd.	Poly European Wts Sep 2011	0.250	8.28 per unit	2011/09/19	80,000,000	2011/03/17
29492	KBC Fin Products Int'l Ltd.	Poly European Put Wts Dec 2011	0.250	7.680	2011/12/08	80,000,000	2011/03/17
29494	CC Rabobank B.A.	CC Bank European Warrants Jul 2011 B	0.252	7.59 per unit	2011/07/20	200,000,000	2011/03/17
29495	RB of Scotland N.V.	ICBC European Warrants Aug 2011	0.251	6.51 per unit	2011/08/22	200,000,000	2011/03/17
29471	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Sep 11	0.470	47.500	2011/09/19	80,000,000	2011/03/17
29472	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Oct 11	0.320	53.880	2011/10/24	80,000,000	2011/03/17
29473	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2011A	0.180	31.880	2011/09/26	300,000,000	2011/03/17
29474	SGA Societe Generale Acceptance N.V.	CNBM Euro Wts Oct2011	0.250	27.680	2011/10/17	150,000,000	2011/03/17
29475	SGA Societe Generale Acceptance N.V.	CNBM Euro Wts Dec2011	0.250	31.080	2011/12/21	150,000,000	2011/03/17
29476	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2011 E	0.150	98.880	2011/09/28	300,000,000	2011/03/17
29477	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct11A	0.250	258 per 100 units	2011/10/03	200,000,000	2011/03/17
29498	UBS AG	CM Bank European Warrants Dec 2011	0.150	24.050	2011/12/12	100,000,000	2011/03/17
29500	UBS AG	CNOOC European Warrants Oct 2011	0.150	18.510	2011/10/24	300,000,000	2011/03/17
29499	UBS AG	Sinopec Corp European Warrants Aug 2011 B	0.150	9.19 per unit	2011/08/11	100,000,000	2011/03/17
29501	UBS AG	PetroChina European Warrants Sep 2011	0.250	12.88 per unit	2011/09/19	100,000,000	2011/03/17
29516	BNP Paribas Arbit Issu B.V.	Ch Overseas Euro Wts Apr 2012	0.250	14.880	2012/04/02	100,000,000	2011/03/18
29517	BNP Paribas Arbit Issu B.V.	Esprit European Wts Oct 2011	0.250	45.800	2011/10/03	100,000,000	2011/03/18
29526	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Dec 2011	0.250	202.80 per 100 units	2011/12/05	100,000,000	2011/03/18
10097	Deutsche Bank AG	Oil Futures European Warrants Oct 2011	0.250	120 per 300 units	2011/10/07	50,000,000	2011/03/18
29520	Goldman Sachs SP (Asia)	Ch Oilfield European Wts Nov 2011	0.250	17.800	2011/11/07	100,000,000	2011/03/18
29519	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Dec 2011	0.169	13.380	2011/12/14	200,000,000	2011/03/18
29518	Goldman Sachs SP (Asia)	HKEx European Warrants Sep 2011 D	0.152	168 per 100 units	2011/09/30	200,000,000	2011/03/18
29522	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2011 C	0.275	86.900	2011/06/27	200,000,000	2011/03/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29523	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2011 B	0.720	80.000	2011/09/26	200,000,000	2011/03/18
29527	J P Morgan SP BV	Hutchison European Warrants Jun 2011 A	0.274	100.000	2011/06/23	100,000,000	2011/03/18
29528	J P Morgan SP BV	Hutchison European Warrants Jun 2011 B	0.362	96.880	2011/06/30	100,000,000	2011/03/18
29507	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Sep 2011	0.315	4.19 per unit	2011/09/27	80,000,000	2011/03/18
29508	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Oct 2011	0.255	4.69 per unit	2011/10/24	80,000,000	2011/03/18
29509	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Put Wts Sep 2011	0.250	3.89 per unit	2011/09/23	80,000,000	2011/03/18
29510	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Sep 2011	0.270	4.29 per unit	2011/09/27	80,000,000	2011/03/18
29511	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Oct 2011	0.255	4.79 per unit	2011/10/24	80,000,000	2011/03/18
29512	Merrill Lynch Int'l & Co. C.V.	BOCL Euro Put Wts Sep 2011	0.250	3.79 per unit	2011/09/23	80,000,000	2011/03/18
29515	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Sep 2011	0.193	82.490	2011/09/15	80,000,000	2011/03/18
29513	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Sep 2011	0.250	33.490	2011/09/21	80,000,000	2011/03/18
29514	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2011 C	0.161	80.890	2011/06/23	80,000,000	2011/03/18
29524	CC Rabobank B.A.	China Mer Hold European Warrants Jul 2011	0.250	39.000	2011/07/26	100,000,000	2011/03/18
29525	RB of Scotland N.V.	ICBC European Warrants Jul 2011	0.254	6.09 per unit	2011/07/22	200,000,000	2011/03/18
23163 #	UBS AG	China Mobile European Warrants Dec 2011	0.042	73.88 per 100 units	2011/12/12	700,000,000	2011/03/18
13673 #	UBS AG	China Life European Warrants Dec 2012 B	0.027	33.88 per 100 units	2012/12/10	1,000,000,000	2011/03/18
29555	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wts Oct 2011	0.380	7.60 per unit	2011/10/04	35,000,000	2011/03/21
29556	BNP Paribas Arbit Issu B.V.	WC Cement Euro Wts Oct 2011	0.420	4.20 per unit	2011/10/04	65,000,000	2011/03/21
29569	Deutsche Bank AG	AIA European Warrants Oct 2011	0.190	22.800	2011/10/24	200,000,000	2011/03/21
29570	Deutsche Bank AG	AIA European Warrants Dec 2011	0.180	23.800	2011/12/01	200,000,000	2011/03/21
10098	Deutsche Bank AG	Nikkei 225 Index European Wts Dec 2011 B	0.290	9,500 per 400 units	2011/12/09	100,000,000	2011/03/21
10102	Deutsche Bank AG	Nikkei 225 Index Euro Put Wts Dec 2011 D	0.250	9,000 per 400 units	2011/12/09	100,000,000	2011/03/21
29558	Goldman Sachs SP (Asia)	Ch EB Ltd European Wts Sep 2011	0.150	19.000	2011/09/20	100,000,000	2011/03/21
29561	Goldman Sachs SP (Asia)	CSR Times European Wts Oct 2011	0.250	35.000	2011/10/03	200,000,000	2011/03/21
29559	Goldman Sachs SP (Asia)	Dongfang Elec Euro Wts Oct 2011	0.385	34.000	2011/10/03	50,000,000	2011/03/21
29560	Goldman Sachs SP (Asia)	Shanghai Ind European Wts Oct 2011	0.250	31.080	2011/10/03	200,000,000	2011/03/21
29566	KBC Fin Products Int'l Ltd.	BYD European Put Wts Sep 2011	0.250	28.000	2011/09/21	80,000,000	2011/03/21
29563	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Sep 2011 A	0.260	74.680	2011/09/21	128,000,000	2011/03/21
29562	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jul 2011 E	0.150	81.880	2011/07/08	198,000,000	2011/03/21
29567	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Dec 2011 B	0.180	88.85 per 100 units	2011/12/20	128,000,000	2011/03/21
29564	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jun 2011 H	0.300	100.000	2011/06/23	180,000,000	2011/03/21
29565	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Aug 2011 B	0.200	104.800	2011/08/01	238,000,000	2011/03/21
29552	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2011 A	0.260	22,500 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29557	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2011 B	0.250	23,300 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29568	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2011 C	0.250	23,900 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29572	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2011 D	0.250	21,800 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29573	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2011 E	0.250	22,300 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29574	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2011 F	0.270	23,200 per 9,000 units	2011/10/28	200,000,000	2011/03/21
29539	Nomura Int'l plc	Air China European Warrants Oct 2012	0.258	8.080	2012/10/31	100,000,000	2011/03/21
29535	Nomura Int'l plc	Beijing Ent European Warrants Sep 2011	0.250	50.880	2011/09/21	80,000,000	2011/03/21
29536	Nomura Int'l plc	Beijing Ent European Warrants Nov 2013	0.170	42.888 per 100 units	2013/11/26	100,000,000	2011/03/21
29531	Nomura Int'l plc	China Mer Hold European Warrants Jul 2011	0.186	39.000	2011/07/26	100,000,000	2011/03/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29540	Nomura Int'l plc	China Unicom European Warrants Mar 2012	0.150	13.782	2012/03/16	100,000,000	2011/03/21
29538	Nomura Int'l plc	Ch East Air European Warrants Sep 2011	0.406	4.28 per unit	2011/09/21	100,000,000	2011/03/21
29537	Nomura Int'l plc	Ch East Air European Warrants Oct 2011	0.638	3.68 per unit	2011/10/31	100,000,000	2011/03/21
29546	Nomura Int'l plc	China Life European Warrants Sep 2011	0.150	31.888	2011/09/30	100,000,000	2011/03/21
29542	Nomura Int'l plc	CNOOC European Warrants Sep 2011 B	0.150	18.880	2011/09/30	100,000,000	2011/03/21
29544	Nomura Int'l plc	Ch South Air European Warrants Sep 2011	0.395	4.28 per unit	2011/09/21	100,000,000	2011/03/21
29548	Nomura Int'l plc	Ch State Con European Warrants Feb 2012	0.250	7.780	2012/02/29	100,000,000	2011/03/21
29530	Nomura Int'l plc	HSBC European Warrants Mar 2012	0.262	99.420	2012/03/30	100,000,000	2011/03/21
29529	Nomura Int'l plc	HSBC European Warrants Sep 2011 B	0.255	91.000	2011/09/21	100,000,000	2011/03/21
29533	Nomura Int'l plc	JIANC European Warrants Sep 2011A	0.255	25.180	2011/09/30	100,000,000	2011/03/21
29534	Nomura Int'l plc	JIANC European Warrants Oct 2011	0.250	26.380	2011/10/31	100,000,000	2011/03/21
29543	Nomura Int'l plc	L'Occitane European Warrants Dec 2011	0.150	23.888	2011/12/12	100,000,000	2011/03/21
29547	Nomura Int'l plc	Nine Dragons European Warrants Jun 2012	0.250	8.880	2012/06/29	100,000,000	2011/03/21
29545	Nomura Int'l plc	Ch Rongsheng European Warrants Apr 2012	0.150	8.888	2012/04/23	100,000,000	2011/03/21
29532	Nomura Int'l plc	Tingyi European Warrants Dec 2011	0.150	23.980	2011/12/23	100,000,000	2011/03/21
29541	Nomura Int'l plc	Xinyi Glass European Put Wts Dec 2011	0.250	6.680	2011/12/19	100,000,000	2011/03/21
29549	SGA Societe Generale Acceptance N.V.	CSR Euro Wts Dec 2011	0.150	8.200	2011/12/21	200,000,000	2011/03/21
29550	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Aug 2011 A	0.330	108.880	2011/08/15	200,000,000	2011/03/21
29551	SGA Societe Generale Acceptance N.V.	Sino Land Eu Wt Oct 11	0.150	15.280	2011/10/19	150,000,000	2011/03/21
29553	SGA Societe Generale Acceptance N.V.	SPA Euro Wts Apr 2012	0.150	120 per 100 units	2012/04/02	200,000,000	2011/03/21
29554	SGA Societe Generale Acceptance N.V.	Wharf Euro Wts Sep 11	0.460	54.000	2011/09/21	80,000,000	2011/03/21
17855 #	CC Rabobank B.A.	HSBC European Warrants Sep 2011 B	0.027	86.90 per 93 units	2011/09/26	400,000,000	2011/03/21
24679 #	Barclays Bank plc	ICBC European Warrants Jun 2012	0.077	5.690	2012/06/25	130,000,000	2011/03/21
29600	BNP Paribas Arbit Issu B.V.	AIA European Warrants May 2012	0.250	25.280	2012/05/02	100,000,000	2011/03/22
29579	BNP Paribas Arbit Issu B.V.	Ch Comm Cons Eur Wt Dec 2014	0.250	9.000	2014/12/03	200,000,000	2011/03/22
29601	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Nov 2011	0.490	4.23 per unit	2011/11/02	100,000,000	2011/03/22
29602	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wts Oct 2011	0.270	24.280	2011/10/04	100,000,000	2011/03/22
29603	BNP Paribas Arbit Issu B.V.	Ping An European Wts Jul 2013	0.250	82.28 per 100 units	2013/07/02	100,000,000	2011/03/22
29583	Credit Suisse AG	HKEx European Warrants Aug 2011A	0.150	165 per 100 units	2011/08/03	300,000,000	2011/03/22
29582	Credit Suisse AG	HSCEI European Warrants Aug 2011	0.150	12,950 per 5,500 units	2011/08/30	200,000,000	2011/03/22
29608	Deutsche Bank AG	AIA European Warrants Sep 2011 B	0.280	20.800	2011/09/26	200,000,000	2011/03/22
29609	Deutsche Bank AG	BOCL European Warrants Sep 2011	0.530	3.60 per unit	2011/09/26	150,000,000	2011/03/22
29610	Deutsche Bank AG	China Life European Warrants Sep 2011A	0.400	25.500	2011/09/26	300,000,000	2011/03/22
29612	Deutsche Bank AG	A50 China European Warrants Apr 2012 B	0.250	11.600	2012/04/23	150,000,000	2011/03/22
29611	Deutsche Bank AG	ICBC European Warrants Sep 2011	0.630	5.50 per unit	2011/09/26	150,000,000	2011/03/22
29580	Goldman Sachs SP (Asia)	Anhui Conch European Wts Sep 2011	0.275	48.880	2011/09/26	200,000,000	2011/03/22
29613	Goldman Sachs SP (Asia)	CHALCO European Warrants Aug 2011	0.475	8.38 per unit	2011/08/03	100,000,000	2011/03/22
29614	Goldman Sachs SP (Asia)	CITIC Bank European Wts Jun 2011	0.153	6 per unit	2011/06/29	100,000,000	2011/03/22
29581	Goldman Sachs SP (Asia)	HSBC European Warrants Aug 2011 C	0.460	84.000	2011/08/29	200,000,000	2011/03/22
29616	Goldman Sachs SP (Asia)	HSI European Put Wts Jun 2011 G	0.150	21,500 per 8,000 units	2011/06/29	200,000,000	2011/03/22
29615	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2011 E	0.160	21,600 per 8,000 units	2011/08/30	150,000,000	2011/03/22
29587	J P Morgan SP BV	Anhui Conch European Warrants Sep 2011 A	0.312	45.000	2011/09/22	100,000,000	2011/03/22

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29588	J P Morgan SP BV	Anhui Conch European Warrants Sep 2011 B	0.250	50.000	2011/09/22	100,000,000	2011/03/22
29590	J P Morgan SP BV	Ch Merchants European Warrants Sep 2011	0.250	38.000	2011/09/22	80,000,000	2011/03/22
29592	J P Morgan SP BV	Ch Oilfield Euro Wts Oct 2011	0.250	18.880	2011/10/28	180,000,000	2011/03/22
29591	J P Morgan SP BV	CNBM European Warrants Sep 2011	0.250	27.000	2011/09/22	150,000,000	2011/03/22
29593	J P Morgan SP BV	Ch Ship Dev European Warrants Aug 2012	0.250	10.000	2012/08/30	200,000,000	2011/03/22
29594	J P Morgan SP BV	Zhuzhou CSR European Warrants Feb 2012	0.150	11.000	2012/02/28	200,000,000	2011/03/22
29595	J P Morgan SP BV	GCL-Poly European Warrants Sep 2011	0.250	4.50 per unit	2011/09/22	100,000,000	2011/03/22
29597	J P Morgan SP BV	HK Gas European Warrants Feb 2012	0.250	18.880	2012/02/28	100,000,000	2011/03/22
29589	J P Morgan SP BV	China Mengniu European Warrants Sep 2011	0.250	23.880	2011/09/29	100,000,000	2011/03/22
29596	J P Morgan SP BV	Sh Electric European Warrants Jun 2013	0.150	4.500	2013/06/24	200,000,000	2011/03/22
29598	J P Morgan SP BV	Zhaojin Mining European Warrants Sep 2011	0.250	42.000	2011/09/22	80,000,000	2011/03/22
29599	J P Morgan SP BV	ZTE European Warrants Oct 2011	0.250	40.000	2011/10/14	100,000,000	2011/03/22
29604	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jul 2011 D	0.250	23,400 per 8,000 units	2011/07/28	200,000,000	2011/03/22
29605	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Aug 2011 C	0.250	23,000 per 8,000 units	2011/08/30	200,000,000	2011/03/22
29606	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Sep 2011 A	0.250	21,800 per 10,000 units	2011/09/29	200,000,000	2011/03/22
29607	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Sep 2011 B	0.250	21,000 per 8,800 units	2011/09/29	200,000,000	2011/03/22
29575	CC Rabobank B.A.	COSCO Pacific European Warrants Dec 2011	0.250	17.400	2011/12/12	120,000,000	2011/03/22
29576	CC Rabobank B.A.	Dongfang Elec European Wts Sep 2011 B	0.400	28.880	2011/09/29	100,000,000	2011/03/22
29577	CC Rabobank B.A.	Geely Auto European Warrants Sep 2011	0.300	3.46 per unit	2011/09/22	120,000,000	2011/03/22
29578	CC Rabobank B.A.	Lenovo European Warrants Sep 2011	0.360	4.50 per unit	2011/09/22	150,000,000	2011/03/22
29571	CC Rabobank B.A.	Sinoma European Warrants Oct 2011	0.600	8.88 per unit	2011/10/28	80,000,000	2011/03/22
29584	Standard Chartered Bank	HKEx European Warrants Sep 2011 B	0.150	172.08 per 100 units	2011/09/26	100,000,000	2011/03/22
29585	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jul 2011 B	0.250	4.28 per unit	2011/07/27	200,000,000	2011/03/22
29586	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2011 C	0.280	7.48 per unit	2011/07/27	200,000,000	2011/03/22
29617	UBS AG	China Mobile European Warrants Aug 2011 B	0.150	80.000	2011/08/08	200,000,000	2011/03/22
29618	UBS AG	Sinopec Corp European Warrants Jul 2011 B	0.540	7.58 per unit	2011/07/04	100,000,000	2011/03/22
29619	UBS AG	China Tel European Warrants Jul 2011 B	0.310	4.39 per unit	2011/07/18	100,000,000	2011/03/22
29620	UBS AG	HKEx European Warrants Aug 2011 C	0.150	165.10 per 100 units	2011/08/03	300,000,000	2011/03/22
29621	UBS AG	Hutchison European Warrants Jun 2011 E	0.610	85.930	2011/06/22	200,000,000	2011/03/22
29622	UBS AG	Ping An European Warrants Sep 2011	0.570	80.050	2011/09/26	100,000,000	2011/03/22
29623	UBS AG	SHK Ppt European Warrants Sep 2012	0.183	110 per 100 units	2012/09/11	100,000,000	2011/03/22
29647	BNP Paribas Arbit Issu B.V.	China BlueChem Eur Wt Nov 2013	0.260	6.880	2013/11/04	100,000,000	2011/03/23
29648	BNP Paribas Arbit Issu B.V.	Gome European Wts Mar 2012	0.410	3.06 per unit	2012/03/02	100,000,000	2011/03/23
29649	BNP Paribas Arbit Issu B.V.	NVC Lighting Euro Wts Feb 2012	0.720	4.08 per unit	2012/02/02	14,000,000	2011/03/23
29650	BNP Paribas Arbit Issu B.V.	Sinofert European Wts Dec 2011	0.450	4.38 per unit	2011/12/02	24,000,000	2011/03/23
29651	BNP Paribas Arbit Issu B.V.	Want Want Ch Euro Wts Nov 2013	0.250	7.880	2013/11/04	100,000,000	2011/03/23
29663	Credit Suisse AG	Li & Fung European Warrants Jul 2011	0.150	48.900	2011/07/04	200,000,000	2011/03/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29665	Deutsche Bank AG	Sinopec Corp European Warrants Aug 2011 C	0.370	8.80 per unit	2011/08/29	100,000,000	2011/03/23
29666	Deutsche Bank AG	HSBC European Warrants Jun 2011 H	0.250	86.000	2011/06/27	300,000,000	2011/03/23
29652	HK Bank	CITIC Bank European Warrants Sep 2011	0.250	5.80 per unit	2011/09/27	80,000,000	2011/03/23
29653	HK Bank	Dongfang Elec European Warrants Nov 2011	0.250	30.880	2011/11/25	80,000,000	2011/03/23
29654	HK Bank	Foxconn European Warrants Dec 2011	0.250	6 per unit	2011/12/19	80,000,000	2011/03/23
29655	HK Bank	Jiangxi Copper European Warrants Oct 2011	0.250	27.500	2011/10/28	120,000,000	2011/03/23
29656	HK Bank	Lenovo European Warrants Sep 2011 B	0.250	4.98 per unit	2011/09/23	80,000,000	2011/03/23
29657	HK Bank	Renhe Comm European Warrants Jul 2012	0.250	1.38 per unit	2012/07/06	80,000,000	2011/03/23
29658	HK Bank	Shanghai Electric European Warrants Nov 2011	0.250	5 per unit	2011/11/22	80,000,000	2011/03/23
29659	HK Bank	Shimao Ppt European Warrants Jul 2012	0.250	11.880	2012/07/09	100,000,000	2011/03/23
29660	HK Bank	Yanzhou Coal European Warrants Oct 2011 A	0.220	27.990	2011/10/26	120,000,000	2011/03/23
29664	J P Morgan SP BV	China Mob European Wts Sep 2011	0.250	82.880	2011/09/26	200,000,000	2011/03/23
29645	J P Morgan SP BV	HS Bank European Warrants Sep 2011	0.369	130.000	2011/09/23	80,000,000	2011/03/23
29646	J P Morgan SP BV	HSBC European Warrants Jun 2011	0.150	86.000	2011/06/24	200,000,000	2011/03/23
29662	KBC Fin Products Int'l Ltd.	Agricultural Eu Put Wt Sep2011	0.300	4.08 per unit	2011/09/23	108,000,000	2011/03/23
29661	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2011 C	0.250	22,800 per 8,000 units	2011/09/29	200,000,000	2011/03/23
29643	Macquarie Bank Ltd.	BOCL European Put Warrants Sep 2011	0.251	3.75 per unit	2011/09/26	88,000,000	2011/03/23
29636	Macquarie Bank Ltd.	China Overseas European Wts Jul 2012	0.250	15.000	2012/07/20	188,000,000	2011/03/23
29637	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Nov 2011	0.293	5 per unit	2011/11/21	88,000,000	2011/03/23
29638	Macquarie Bank Ltd.	Gome European Warrants Oct 2011	0.253	3.08 per unit	2011/10/03	88,000,000	2011/03/23
29641	Macquarie Bank Ltd.	China Mengniu European Wts Feb 2012	0.250	22.880	2012/02/03	188,000,000	2011/03/23
29640	Macquarie Bank Ltd.	PetroChina European Wts Oct 2012	0.152	11.020	2012/10/22	188,000,000	2011/03/23
29644	Macquarie Bank Ltd.	PetroChina European Put Wts Oct 2012	0.250	10.500	2012/10/22	100,000,000	2011/03/23
29639	Macquarie Bank Ltd.	Shimao Ppt European Warrants Oct 2012	0.250	11.110	2012/10/15	88,000,000	2011/03/23
29642	Macquarie Bank Ltd.	Goldwind European Warrants Sep 2011	0.252	16.880	2011/09/26	188,000,000	2011/03/23
29625	Standard Chartered Bank	China Life European Wts Sep 2011 C	0.250	28.880	2011/09/28	100,000,000	2011/03/23
29626	Standard Chartered Bank	Hutchison Euro Euro Wts Jul 2011	0.490	92.880	2011/07/18	100,000,000	2011/03/23
29627	Standard Chartered Bank	Hutchison Euro Euro Wts Mar 2012	0.162	78.88 per 100 units	2012/03/19	400,000,000	2011/03/23
29624	Standard Chartered Bank	Jiangxi Copper Euro Wts Sep 2011	0.250	24.880	2011/09/28	100,000,000	2011/03/23
29629	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2011 B	0.470	7.28 per unit	2011/09/26	200,000,000	2011/03/23
29628	SGA Societe Generale Acceptance N.V.	CK(H) Eu Put Wt Nov11	0.170	124 per 100 units	2011/11/07	500,000,000	2011/03/23
29630	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2011B	0.250	30.880	2011/09/26	300,000,000	2011/03/23
29631	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep2011C	0.400	72.550	2011/09/26	200,000,000	2011/03/23
29632	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep2011D	0.250	77.000	2011/09/26	200,000,000	2011/03/23
29635	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2011 C	0.310	84.000	2011/08/29	300,000,000	2011/03/23
29633	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2011 D	0.150	23,400 per 8,000 units	2011/08/30	300,000,000	2011/03/23
29634	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep11A	0.270	213.88 per 100 units	2011/09/12	200,000,000	2011/03/23
25861 #	Macquarie Bank Ltd.	Tencent European Warrants Jul 2011 B	0.180	218.88 per 100 units	2011/07/05	250,000,000	2011/03/23
29702	Barclays Bank plc	BOCL European Warrants Oct 2011	0.253	25.000	2011/10/28	100,000,000	2011/03/24
29701	Barclays Bank plc	Ch Comm Cons European Warrants Oct 2011	0.408	8 per unit	2011/10/28	100,000,000	2011/03/24
29699	Barclays Bank plc	Sinopec Corp European Wts Sep 2011	0.322	8.50 per unit	2011/09/29	100,000,000	2011/03/24
29695	Barclays Bank plc	HSI European Put Warrants Sep 2011	0.250	24,200 per 15,000 units	2011/09/29	150,000,000	2011/03/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29696	Barclays Bank plc	HSI European Warrants Oct 2011 A	0.250	22,800 per 10,000 units	2011/10/28	150,000,000	2011/03/24
29697	Barclays Bank plc	HSI European Warrants Oct 2011 B	0.250	24,000 per 8,000 units	2011/10/28	150,000,000	2011/03/24
29693	Barclays Bank plc	HSI European Put Warrants Jun 2011 A	0.151	22,000 per 8,000 units	2011/06/29	150,000,000	2011/03/24
29694	Barclays Bank plc	HSI European Put Warrants Jun 2011 B	0.153	23,000 per 10,000 units	2011/06/29	150,000,000	2011/03/24
29698	Barclays Bank plc	Hutchison European Warrants Aug 2011	0.422	98.880	2011/08/23	100,000,000	2011/03/24
29700	Barclays Bank plc	PetroChina European Warrants Sep 2011	0.447	11.50 per unit	2011/09/29	100,000,000	2011/03/24
29704	BNP Paribas Arbit Issu B.V.	CHALCO European Wts Oct 2013	0.250	8.000	2013/10/02	100,000,000	2011/03/24
29705	BNP Paribas Arbit Issu B.V.	China Overseas Eur Wt Jul 2012	0.250	14.480	2012/07/03	100,000,000	2011/03/24
29706	BNP Paribas Arbit Issu B.V.	Ch Southern Air Eu Wt Jan 2012	0.520	3.98 per unit	2012/01/03	28,000,000	2011/03/24
29707	BNP Paribas Arbit Issu B.V.	CSR European Warrants Dec 2012	0.250	9.280	2012/12/04	100,000,000	2011/03/24
29708	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jan 2012	0.250	164 per 100 units	2012/01/03	100,000,000	2011/03/24
29709	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Jan 2012	0.450	4.88 per unit	2012/01/03	79,000,000	2011/03/24
29710	BNP Paribas Arbit Issu B.V.	Sh Ind H European Wts Jul 2012	0.420	30.800	2012/07/03	47,000,000	2011/03/24
29711	Credit Suisse AG	Ping An European Warrants Sep 2011 A	0.550	80.000	2011/09/09	300,000,000	2011/03/24
29714	Deutsche Bank AG	China Mobile European Warrants Oct 2011 B	0.600	64.000	2011/10/10	150,000,000	2011/03/24
29715	Deutsche Bank AG	HSBC European Warrants Sep 2011 D	0.870	72.000	2011/09/26	150,000,000	2011/03/24
29712	Deutsche Bank AG	HSI European Warrants Sep 2011	0.250	21,000 per 8,000 units	2011/09/29	300,000,000	2011/03/24
29713	Deutsche Bank AG	HSI European Put Warrants Jul 2011 E	0.150	21,000 per 8,000 units	2011/07/28	300,000,000	2011/03/24
29716	Deutsche Bank AG	Tencent Holdings European Wts Aug 2011 A	0.150	215 per 100 units	2011/08/08	200,000,000	2011/03/24
29669	Goldman Sachs SP (Asia)	GCL-Poly Energy Euro Wt Sep 2011 B	0.270	4.88 per unit	2011/09/26	200,000,000	2011/03/24
29667	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2011 D	0.180	21,800 per 9,000 units	2011/09/29	150,000,000	2011/03/24
29668	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2011 E	0.260	23,000 per 8,500 units	2011/09/29	150,000,000	2011/03/24
29670	Goldman Sachs SP (Asia)	Sands China European Wts Nov 2011	0.250	18.880	2011/11/30	100,000,000	2011/03/24
29717	KBC Fin Products Int'l Ltd.	BOC HK European Wts Sep 2011	0.250	23.380	2011/09/26	128,000,000	2011/03/24
29724	KBC Fin Products Int'l Ltd.	BOC HK Euro Put Wts Sep 2011	0.250	22.180	2011/09/26	128,000,000	2011/03/24
29727	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Oct 2011	0.500	66.880	2011/10/03	80,000,000	2011/03/24
29718	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Sep 2011 C	0.470	6.888 per unit	2011/09/01	128,000,000	2011/03/24
29725	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wt Sep 2011 E	0.290	6.19 per unit	2011/09/01	98,000,000	2011/03/24
29719	KBC Fin Products Int'l Ltd.	China Life Euro Wts Aug 2011 C	0.280	26.980	2011/08/15	158,000,000	2011/03/24
29726	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Sep 11 D	0.160	26.980	2011/09/01	98,000,000	2011/03/24
29720	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Sep 2011 B	0.370	70.000	2011/09/01	128,000,000	2011/03/24
29721	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Oct 2011 B	0.570	63.880	2011/10/03	128,000,000	2011/03/24
29728	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Sep 2011	0.440	76.000	2011/09/26	108,000,000	2011/03/24
29723	KBC Fin Products Int'l Ltd.	HSBC European Wts Sep 2011 C	0.340	82.880	2011/09/26	108,000,000	2011/03/24
29729	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Aug 2011	0.250	5.39 per unit	2011/08/22	98,000,000	2011/03/24
29672	Macquarie Bank Ltd.	Anhui Conch European Warrants Oct 2011	0.250	48.000	2011/10/17	188,000,000	2011/03/24
29671	Macquarie Bank Ltd.	CHALCO European Warrants Oct 2011	0.750	8.28 per unit	2011/10/04	200,000,000	2011/03/24
29674	Macquarie Bank Ltd.	Ch LongYuan European Warrants Oct 2011	0.392	9.08 per unit	2011/10/19	88,000,000	2011/03/24
29673	Macquarie Bank Ltd.	Ch Comm Cons European Wts Oct 2011	0.482	7.98 per unit	2011/10/04	200,000,000	2011/03/24
29675	Macquarie Bank Ltd.	China Mobile European Wts Sep 2011 B	0.251	75.000	2011/09/29	200,000,000	2011/03/24
29676	Macquarie Bank Ltd.	CNBM European Warrants Oct 2011 A	0.251	28.000	2011/10/31	188,000,000	2011/03/24
29678	Macquarie Bank Ltd.	COSCO Pacific European Wts Oct 2011	0.250	14.800	2011/10/04	150,000,000	2011/03/24
29677	Macquarie Bank Ltd.	CPIC European Warrants Sep 2011	0.250	34.000	2011/09/29	200,000,000	2011/03/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29703	Macquarie Bank Ltd.	HSCEI European Warrants Oct 2011	0.250	13,000 per 5,500 units	2011/10/28	200,000,000	2011/03/24
29734	RB of Scotland N.V.	China Mobile European Wts Aug 2011	0.158	73.930	2011/08/23	200,000,000	2011/03/24
29735	RB of Scotland N.V.	Sinopec Corp European Wts Jul 2011	0.151	8.21 per unit	2011/07/04	200,000,000	2011/03/24
29736	RB of Scotland N.V.	HKEx European Warrants Nov 2011 B	0.151	168.10 per 100 units	2011/11/21	200,000,000	2011/03/24
29738	RB of Scotland N.V.	HSBC European Warrants Aug 2011	0.151	84.050	2011/08/29	200,000,000	2011/03/24
29737	RB of Scotland N.V.	HSBC European Warrants Jul 2011 B	0.154	80.930	2011/07/04	200,000,000	2011/03/24
29730	RB of Scotland N.V.	HSI European Warrants Sep 2011 F	0.251	22,600 per 7,500 units	2011/09/29	250,000,000	2011/03/24
29731	RB of Scotland N.V.	HSI European Warrants Sep 2011 G	0.251	22,900 per 8,000 units	2011/09/29	250,000,000	2011/03/24
29732	RB of Scotland N.V.	HSI European Warrants Sep 2011 H	0.251	23,200 per 7,000 units	2011/09/29	250,000,000	2011/03/24
29733	RB of Scotland N.V.	HSI European Put Warrants Sep 2011 I	0.251	21,800 per 8,000 units	2011/09/29	250,000,000	2011/03/24
29679	SGA Societe Generale Acceptance N.V.	AIA Euro Wt Dec 2011	0.190	23.800	2011/12/05	200,000,000	2011/03/24
29680	SGA Societe Generale Acceptance N.V.	Alibaba Eu Wt Oct 11B	0.250	14.280	2011/10/31	150,000,000	2011/03/24
29681	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2011	0.200	30.000	2011/10/12	300,000,000	2011/03/24
29682	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Oct 2011	0.250	20.880	2011/10/31	200,000,000	2011/03/24
29683	SGA Societe Generale Acceptance N.V.	CNBM Euro Wt Sep 2011	0.250	27.880	2011/09/26	150,000,000	2011/03/24
29684	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Oct 11	0.250	13.500	2011/10/31	200,000,000	2011/03/24
29685	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Sep11	0.370	3.28 per unit	2011/09/26	70,000,000	2011/03/24
29686	SGA Societe Generale Acceptance N.V.	Gome Euro Wt Sep 2011	0.350	2.85 per unit	2011/09/26	100,000,000	2011/03/24
29687	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Oct 2011	0.290	49.980	2011/10/03	60,000,000	2011/03/24
29688	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2011 F	0.250	91.880	2011/09/26	300,000,000	2011/03/24
29689	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Sep 2011	0.370	4.48 per unit	2011/09/26	50,000,000	2011/03/24
29690	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Sep2011	0.550	85.000	2011/09/26	80,000,000	2011/03/24
29691	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Sep 11	0.250	26.080	2011/09/26	150,000,000	2011/03/24
29692	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Sep 11	0.470	6.85 per unit	2011/09/26	30,000,000	2011/03/24
29722	UBS AG	HSI European Put Warrants Sep 2011	0.250	21,800 per 8,000 units	2011/09/29	300,000,000	2011/03/24
29742	UBS AG	HSI European Warrants Jul 2011 E	0.170	21,400 per 8,000 units	2011/07/28	300,000,000	2011/03/24
29739	UBS AG	ICBC European Warrants Aug 2011 B	0.300	5.88 per unit	2011/08/15	100,000,000	2011/03/24
29740	UBS AG	Tencent Holdings European Warrants Jul 2011 A	0.170	192.10 per 100 units	2011/07/25	200,000,000	2011/03/24
29741	UBS AG	Tencent Holdings European Warrants Jul 2011 B	0.150	205 per 100 units	2011/07/04	200,000,000	2011/03/24
29808	BNP Paribas Arbit Issu B.V.	Lonking European Warrants Dec14	0.250	4.500	2014/12/02	100,000,000	2011/03/25
29807	BNP Paribas Arbit Issu B.V.	Goldwind Euro Warrants Oct11	0.250	18.600	2011/10/04	70,000,000	2011/03/25
29810	Deutsche Bank AG	China Mobile European Warrants Sep 2011 B	0.250	77.000	2011/09/26	200,000,000	2011/03/25
29812	Deutsche Bank AG	Hutchison European Warrants Sep 2011	0.750	86.000	2011/09/26	100,000,000	2011/03/25
29811	Deutsche Bank AG	Hutchison European Warrants Jul 2011 D	0.350	92.880	2011/07/18	100,000,000	2011/03/25
29776	Goldman Sachs SP (Asia)	China Mobile Euro Put Wts Sep 2011	0.260	60.000	2011/09/26	200,000,000	2011/03/25
29769	Goldman Sachs SP (Asia)	China Mobile Euro Wts Aug 2011 C	0.400	70.000	2011/08/29	200,000,000	2011/03/25
29770	Goldman Sachs SP (Asia)	China Mobile Euro Wts Aug 2011 D	0.255	74.450	2011/08/15	200,000,000	2011/03/25
29771	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2011 C	0.715	76.000	2011/09/26	200,000,000	2011/03/25
29775	Goldman Sachs SP (Asia)	Hutchison European Wts Aug 2011	0.400	98.830	2011/08/23	200,000,000	2011/03/25
29777	Goldman Sachs SP (Asia)	Hutchison Euro Put Wts Sep 2011	0.750	78.880	2011/09/30	200,000,000	2011/03/25
29774	Goldman Sachs SP (Asia)	Hutchison European Wts Jun 2011 B	0.295	96.930	2011/06/30	200,000,000	2011/03/25
29772	Goldman Sachs SP (Asia)	Hutchison European Wts Sep 2011 A	0.765	88.000	2011/09/26	200,000,000	2011/03/25
29773	Goldman Sachs SP (Asia)	Hutchison European Wts Sep 2011 B	0.560	94.800	2011/09/26	200,000,000	2011/03/25

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29778	Goldman Sachs SP (Asia)	Tencent European Put Wt Nov 2011	0.250	180.88 per 100 units	2011/11/14	200,000,000	2011/03/25
29765	HK Bank	China Mobile European Warrants Aug 2011 B	0.200	74.900	2011/08/26	250,000,000	2011/03/25
29766	HK Bank	Esprit European Warrants Sep 2011	0.250	38.880	2011/09/26	150,000,000	2011/03/25
29767	HK Bank	Geely Auto European Warrants Sep 2011 B	0.250	3.50 per unit	2011/09/26	120,000,000	2011/03/25
29768	HK Bank	Maanshan Iron European Warrants Nov 2011	0.250	5 per unit	2011/11/18	80,000,000	2011/03/25
29813	KBC Fin Products Int'l Ltd.	Esprit European Wts Feb 2012	0.380	36.000	2012/02/01	80,000,000	2011/03/25
29799	KBC Fin Products Int'l Ltd.	HKEx European Put Wts Oct 2011	0.320	180 per 100 units	2011/10/03	108,000,000	2011/03/25
29803	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2011 D	0.300	20,800 per 8,000 units	2011/09/29	200,000,000	2011/03/25
29804	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2011 E	0.250	22,200 per 8,000 units	2011/09/29	200,000,000	2011/03/25
29814	KBC Fin Products Int'l Ltd.	Poly European Wts Oct 2011	0.470	7.28 per unit	2011/10/18	80,000,000	2011/03/25
29800	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Sep 11B	0.200	200 per 100 units	2011/09/28	108,000,000	2011/03/25
29801	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Oct 11B	0.250	188 per 100 units	2011/10/10	108,000,000	2011/03/25
29802	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Put Wt Nov 11	0.190	180 per 100 units	2011/11/25	108,000,000	2011/03/25
29782	Macquarie Bank Ltd.	Alibaba European Warrants Dec 2011	0.150	16.100	2011/12/14	500,000,000	2011/03/25
29785	Macquarie Bank Ltd.	CITIC Pacific European Warrants Oct 11	0.250	22.800	2011/10/04	200,000,000	2011/03/25
29784	Macquarie Bank Ltd.	China Life European Wts Sep 2011	0.153	31.880	2011/09/22	500,000,000	2011/03/25
29783	Macquarie Bank Ltd.	Esprit European Warrants Jul 2011	0.150	38.000	2011/07/18	250,000,000	2011/03/25
29787	Macquarie Bank Ltd.	GAC European Wts Jan 2012	0.251	9.880	2012/01/04	100,000,000	2011/03/25
29786	Macquarie Bank Ltd.	Geely Auto European Warrants Oct 2011	0.364	3.50 per unit	2011/10/31	250,000,000	2011/03/25
29764	Macquarie Bank Ltd.	Hang Seng Bank European Wts Oct 2011	0.348	138.880	2011/10/04	200,000,000	2011/03/25
29763	Macquarie Bank Ltd.	Hang Seng Bank European Wts Jan 2013	0.157	123 per 100 units	2013/01/03	200,000,000	2011/03/25
29806	Macquarie Bank Ltd.	Li & Fung European Warrants Oct 2011	0.309	48.880	2011/10/31	250,000,000	2011/03/25
29755	Nomura Int'l plc	Agricultural Bk European Warrants Sep 11	0.251	4.80 per unit	2011/09/30	100,000,000	2011/03/25
29760	Nomura Int'l plc	BOC HK European Warrants Mar 2012	0.252	28.880	2012/03/30	100,000,000	2011/03/25
29762	Nomura Int'l plc	BOCL European Warrants Dec 2011	0.256	5 per unit	2011/12/19	100,000,000	2011/03/25
29753	Nomura Int'l plc	CC Bank European Warrants Aug 2011	0.155	8.38 per unit	2011/08/22	100,000,000	2011/03/25
29752	Nomura Int'l plc	CC Bank European Warrants Sep 2011	0.486	7.08 per unit	2011/09/30	100,000,000	2011/03/25
29749	Nomura Int'l plc	Ch Com Service European Warrants Dec 2011	0.976	6 per unit	2011/12/19	50,000,000	2011/03/25
29750	Nomura Int'l plc	C Transmission European Warrants Feb 2012	0.250	13.180	2012/02/29	100,000,000	2011/03/25
29743	Nomura Int'l plc	Cheung Kong European Warrants Sep 2011	0.250	138.880	2011/09/30	100,000,000	2011/03/25
29751	Nomura Int'l plc	CNOOC European Warrants Oct 2011	0.180	16.980	2011/10/31	100,000,000	2011/03/25
29746	Nomura Int'l plc	Sinopec European Warrants Sep 2011 B	0.410	8.48 per unit	2011/09/30	100,000,000	2011/03/25
29761	Nomura Int'l plc	CPIC European Warrants Dec 2011	0.152	39.000	2011/12/19	100,000,000	2011/03/25
29757	Nomura Int'l plc	CSR European Warrants Dec 2011	0.150	8.200	2011/12/20	100,000,000	2011/03/25
29790	Nomura Int'l plc	GCL-Poly Energy European Warrants Sep 11A	0.395	4.68 per unit	2011/09/30	100,000,000	2011/03/25
29791	Nomura Int'l plc	GCL-Poly Energy European Warrants Sep 11B	0.290	5.08 per unit	2011/09/30	100,000,000	2011/03/25
29792	Nomura Int'l plc	GCL-Poly Energy European Warrants Sep 11C	0.250	5.48 per unit	2011/09/30	100,000,000	2011/03/25
29744	Nomura Int'l plc	Hutchison European Warrants Sep 2011	0.330	99.990	2011/09/30	100,000,000	2011/03/25
29756	Nomura Int'l plc	ICBC European Warrants Sep 2011	0.151	7.18 per unit	2011/09/09	100,000,000	2011/03/25
29745	Nomura Int'l plc	JIANC European Warrants Sep 2011 B	0.250	23.880	2011/09/30	100,000,000	2011/03/25
29748	Nomura Int'l plc	Li & Fung European Warrants Jul 2011	0.155	48.880	2011/07/04	100,000,000	2011/03/25
29747	Nomura Int'l plc	Li & Fung European Warrants Sep 2011	0.459	42.880	2011/09/30	80,000,000	2011/03/25
29754	Nomura Int'l plc	Lenovo European Warrants Aug 2011	0.150	4.888 per unit	2011/08/10	100,000,000	2011/03/25



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29759	Nomura Int'l plc	Ping An European Warrants Sep 2011	0.285	88.880	2011/09/30	100,000,000	2011/03/25
29758	Nomura Int'l plc	Ping An European Warrants Apr 2012	0.150	68.888 per 100 units	2012/04/02	200,000,000	2011/03/25
29788	Nomura Int'l plc	Xinyi Glass European Warrants Mar 2012	0.250	8.280	2012/03/30	100,000,000	2011/03/25
29789	Nomura Int'l plc	Xinyi Glass European Warrants Apr 2012	0.250	8.880	2012/04/30	100,000,000	2011/03/25
29780	Standard Chartered Bank	China Mobile Euro Wts Aug 2011 C	0.250	73.900	2011/08/23	100,000,000	2011/03/25
29805	Standard Chartered Bank	CNOOC European Warrants Oct 2011	0.210	17.000	2011/10/25	100,000,000	2011/03/25
29779	Standard Chartered Bank	HSBC European Warrants Sep 2011 C	0.150	68.88 per 100 units	2011/09/22	100,000,000	2011/03/25
29781	Standard Chartered Bank	ICBC European Warrants Dec 2012	0.150	5 per 10 units	2012/12/10	100,000,000	2011/03/25
29793	UBS AG	CC Bank European Warrants Aug 2011 B	0.150	7.58 per unit	2011/08/16	100,000,000	2011/03/25
29794	UBS AG	China Life European Warrants Aug 2011 D	0.200	27.000	2011/08/15	300,000,000	2011/03/25
29795	UBS AG	Sinopec Corp European Warrants Aug 2011 C	0.200	8.01 per unit	2011/08/15	100,000,000	2011/03/25
29809	UBS AG	HKEx European Warrants Jul 2011 E	0.150	153.88 per 100 units	2011/07/05	300,000,000	2011/03/25
29798	UBS AG	HSBC European Warrants Oct 2011 A	0.420	78.880	2011/10/17	200,000,000	2011/03/25
29797	UBS AG	Hutchison European Warrants Sep 2011 A	0.590	83.880	2011/09/29	200,000,000	2011/03/25
29796	UBS AG	ICBC European Warrants Sep 2011	0.250	6.20 per unit	2011/09/26	100,000,000	2011/03/25
26250 <sup>h</sup>	Deutsche Bank AG	Tencent Holdings European Warrants Jun 11	0.072	220.18 per 100 units	2011/06/27	200,000,000	2011/03/25
24941 <sup>f</sup>	Standard Chartered Bank	China Mobile European Wts Dec 2011	0.031	73.93 per 100 units	2011/12/05	350,000,000	2011/03/25
29821	BNP Paribas Arbit Issu B.V.	Anhui Conch European Wts Oct11	0.250	52.000	2011/10/04	100,000,000	2011/03/28
29822	BNP Paribas Arbit Issu B.V.	China Gas Hold Euro Wt Jan12	0.660	3.48 per unit	2012/01/03	29,000,000	2011/03/28
29823	BNP Paribas Arbit Issu B.V.	CNBM European Warrants Oct 11B	0.250	28.500	2011/10/04	100,000,000	2011/03/28
29824	BNP Paribas Arbit Issu B.V.	HKEx European Wts Oct 2015	0.250	300 per 100 units	2015/10/02	200,000,000	2011/03/28
29825	Credit Suisse AG	HSBC European Wts Aug 2011	0.300	84.050	2011/08/29	300,000,000	2011/03/28
29840	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Oct 2011	0.250	78.880	2011/10/28	80,000,000	2011/03/28
29841	Citigroup Global Mkt H Inc.	Esprit European Wts Oct 2011	0.250	43.880	2011/10/28	80,000,000	2011/03/28
29842	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Aug 2011	0.450	100.000	2011/08/29	80,000,000	2011/03/28
29843	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2012	0.150	88.888 per 100 units	2012/03/21	80,000,000	2011/03/28
29828	KBC Fin Products Int'l Ltd.	Cathay Pac Euro Wts Jan 2012	0.250	18.000	2012/01/26	68,000,000	2011/03/28
29835	KBC Fin Products Int'l Ltd.	Cathay Pac Eu Put Wt Sep11B	0.280	17.880	2011/09/28	68,000,000	2011/03/28
29830	KBC Fin Products Int'l Ltd.	Ch Railway Euro Wts Oct 2011	0.320	5.80 per unit	2011/10/20	68,000,000	2011/03/28
29837	KBC Fin Products Int'l Ltd.	Ch Railway Eur Put Wt Sep 2011	0.610	4.88 per unit	2011/09/28	68,000,000	2011/03/28
29829	KBC Fin Products Int'l Ltd.	CRCC European Wts Sep 2011	0.560	9 per unit	2011/09/28	68,000,000	2011/03/28
29836	KBC Fin Products Int'l Ltd.	CRCC European Put Wts Sep 2011	0.900	7.80 per unit	2011/09/28	58,000,000	2011/03/28
29831	KBC Fin Products Int'l Ltd.	Chi Res Power Euro Wts Jan12	0.150	16.400	2012/01/26	80,000,000	2011/03/28
29838	KBC Fin Products Int'l Ltd.	Chi Res Power Eu Put Wts Oct11	0.250	13.800	2011/10/18	80,000,000	2011/03/28
29832	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Sep 11C	0.280	25.200	2011/09/23	108,000,000	2011/03/28
29839	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Put Wt Sep11	0.250	22.180	2011/09/28	80,000,000	2011/03/28
29833	KBC Fin Products Int'l Ltd.	Sinopharm Euro Wts Jul 2011 B	0.250	28.700	2011/07/20	80,000,000	2011/03/28
29834	KBC Fin Products Int'l Ltd.	YZ Coal European Wts Jul 2011B	0.250	25.050	2011/07/11	80,000,000	2011/03/28
29820	CC Rabobank B.A.	HKEx European Warrants Dec 2011 B	0.250	162.88 per 100 units	2011/12/29	200,000,000	2011/03/28
29816	CC Rabobank B.A.	Li & Fung European Warrants Oct 2011 B	0.250	48.880	2011/10/28	100,000,000	2011/03/28
29817	CC Rabobank B.A.	NVC Lighting European Warrants Sep 2011	0.500	4.50 per unit	2011/09/29	100,000,000	2011/03/28
29819	CC Rabobank B.A.	Tencent Holdings European Put Wts Nov 11	0.350	200.88 per 100 units	2011/11/29	200,000,000	2011/03/28
29818	CC Rabobank B.A.	Goldwind European Warrants Sep 2011	0.250	17.400	2011/09/29	100,000,000	2011/03/28

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29815	Standard Chartered Bank	HKEx European Warrants Dec 2011 B	0.250	150.18 per 100 units	2011/12/06	100,000,000	2011/03/28
29826	UBS AG	Hutchison European Warrants Oct 2011	0.250	95.880	2011/10/11	200,000,000	2011/03/28
29827	UBS AG	Tencent Holdings European Warrants Oct 2011	0.250	183.88 per 100 units	2011/10/11	200,000,000	2011/03/28
29849	BOCI Asia Ltd.	Yurun Food European Warrants Oct 2011	0.200	26.000	2011/10/04	100,000,000	2011/03/29
29858	BNP Paribas Arbit Issu B.V.	COSCO Pacific Euro Wts Jul12	0.270	16.880	2012/07/03	100,000,000	2011/03/29
29856	BNP Paribas Arbit Issu B.V.	China Res Gas Euro Wts Nov13	0.430	11.500	2013/11/04	24,000,000	2011/03/29
29857	BNP Paribas Arbit Issu B.V.	China Taiping Euro Wts Feb12	0.310	24.880	2012/02/02	47,000,000	2011/03/29
29859	BNP Paribas Arbit Issu B.V.	Fushan Energy Euro Wts Feb 12	0.660	7 per unit	2012/02/02	42,000,000	2011/03/29
29864	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Jan 2012	0.510	5.68 per unit	2012/01/03	100,000,000	2011/03/29
29860	BNP Paribas Arbit Issu B.V.	Greatwall Motor Euro Wts Jul12	0.280	14.680	2012/07/03	100,000,000	2011/03/29
29861	BNP Paribas Arbit Issu B.V.	Li Ning European Warrants Jun12	0.330	16.000	2012/06/04	80,000,000	2011/03/29
29863	BNP Paribas Arbit Issu B.V.	Sinoma European Warrants Dec12	0.250	8.080	2012/12/04	82,000,000	2011/03/29
29862	BNP Paribas Arbit Issu B.V.	Weichai Power Euro Wts Feb12	0.420	58.880	2012/02/02	37,000,000	2011/03/29
29865	Citigroup Global Mkt H Inc.	China Life Euro Wts Sep 2011 A	0.150	31.880	2011/09/26	80,000,000	2011/03/29
29866	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2011 B	0.150	95.000	2011/09/12	80,000,000	2011/03/29
29867	Citigroup Global Mkt H Inc.	Tencent European Warrants Sep 11	0.150	213.98 per 100 units	2011/09/05	80,000,000	2011/03/29
29853	J P Morgan SP BV	BYD European Warrants Sep 2011	0.250	35.000	2011/09/29	100,000,000	2011/03/29
29854	J P Morgan SP BV	China Tel European Warrants Sep 2011	0.250	4.88 per unit	2011/09/29	100,000,000	2011/03/29
29850	Macquarie Bank Ltd.	HSBC European Warrants Oct 2011 B	0.250	88.880	2011/10/04	200,000,000	2011/03/29
29851	Macquarie Bank Ltd.	ICBC European Warrants Oct 2011	0.256	6.80 per unit	2011/10/04	150,000,000	2011/03/29
29855	Macquarie Bank Ltd.	ICBC European Put Warrants Oct 2011	0.429	5.68 per unit	2011/10/04	100,000,000	2011/03/29
29844	CC Rabobank B.A.	Beijing Ent European Warrants Sep 2011	0.320	50.000	2011/09/09	100,000,000	2011/03/29
29847	CC Rabobank B.A.	Ch LongYuan European Warrants Sep 2011	0.500	8.60 per unit	2011/09/30	100,000,000	2011/03/29
29846	CC Rabobank B.A.	Chi East Air European Warrants Sep 2011 B	0.250	3.30 per unit	2011/09/30	100,000,000	2011/03/29
29845	CC Rabobank B.A.	Cheung Kong European Warrants Aug 2011	0.590	130.900	2011/08/15	100,000,000	2011/03/29
29848	CC Rabobank B.A.	Xinyi Glass European Warrants Apr 2012	0.250	8.900	2012/04/02	200,000,000	2011/03/29
29852	Standard Chartered Bank	Cheung Kong European Wts Dec 2012	0.150	139.90 per 100 units	2012/12/07	100,000,000	2011/03/29
29868	UBS AG	HSI European Warrants Jul 2011 F	0.150	23,700 per 8,000 units	2011/07/28	300,000,000	2011/03/29
29869	UBS AG	HSI European Put Warrants Jun 2011 L	0.210	23,700 per 8,000 units	2011/06/29	300,000,000	2011/03/29
29878	Deutsche Bank AG	China Coal European Warrants Sep 2011	0.150	12.380	2011/09/26	80,000,000	2011/03/30
29879	Deutsche Bank AG	Hutchison European Warrants Mar 2012	0.150	88.88 per 100 units	2012/03/21	300,000,000	2011/03/30
29880	Deutsche Bank AG	PetroChina European Warrants Aug 2011 B	0.430	13 per unit	2011/08/15	150,000,000	2011/03/30
29911	Deutsche Bank AG	Ping An European Warrants Apr 2012	0.160	68.88 per 100 units	2012/04/02	100,000,000	2011/03/30
29912	Deutsche Bank AG	Westchinacement European Wts Oct 2011	0.480	3.80 per unit	2011/10/03	80,000,000	2011/03/30
29907	Goldman Sachs SP (Asia)	Agri Bk European Wts Oct 2011	0.250	5 per unit	2011/10/31	200,000,000	2011/03/30
29908	Goldman Sachs SP (Asia)	BYD European Warrants Sep 2011	0.350	33.880	2011/09/05	100,000,000	2011/03/30
29909	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Oct 2011	0.250	40.000	2011/10/31	200,000,000	2011/03/30
29906	Goldman Sachs SP (Asia)	HSI European Warrants Sep 2011 F	0.190	22,800 per 8,000 units	2011/09/29	200,000,000	2011/03/30
29910	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2011 G	0.250	22,800 per 8,000 units	2011/09/29	200,000,000	2011/03/30
29870	J P Morgan SP BV	Agile Ppt European Warrants Nov 2011	0.150	12.500	2011/11/22	200,000,000	2011/03/30
29871	J P Morgan SP BV	China Shenhua European Warrants Sep 2011	0.250	38.880	2011/09/30	150,000,000	2011/03/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29872	J P Morgan SP BV	JX Copper European Warrants Sep 2011	0.250	26.880	2011/09/30	200,000,000	2011/03/30
29873	J P Morgan SP BV	Lenovo European Warrants Sep 2011	0.250	5.08 per unit	2011/09/30	100,000,000	2011/03/30
29874	J P Morgan SP BV	MTRC European Warrants Oct 2011	0.150	31.000	2011/10/26	80,000,000	2011/03/30
29875	J P Morgan SP BV	Sinofert European Warrants Sep 2011	0.250	4.38 per unit	2011/09/30	100,000,000	2011/03/30
29876	J P Morgan SP BV	Yanzhou Coal European Warrants Sep 2011	0.250	28.880	2011/09/30	200,000,000	2011/03/30
29914	KBC Fin Products Int'l Ltd.	Ch LongYuan Euro Wts Oct 2011	0.600	8.68 per unit	2011/10/20	80,000,000	2011/03/30
29913	KBC Fin Products Int'l Ltd.	China Coal Euro Wts Dec 2011	0.250	9.580	2011/12/21	88,000,000	2011/03/30
29917	KBC Fin Products Int'l Ltd.	China Coal Euro Put Wts Dec 11	0.250	10.880	2011/12/28	68,000,000	2011/03/30
29916	KBC Fin Products Int'l Ltd.	China Life Euro Wts Sep 2011 E	0.320	26.800	2011/09/30	158,000,000	2011/03/30
29915	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Mar 2012	0.250	11.880	2012/03/20	88,000,000	2011/03/30
29881	Macquarie Bank Ltd.	Shanshui Cement European Wts Oct 2011	0.475	7.68 per unit	2011/10/04	88,000,000	2011/03/30
29882	Macquarie Bank Ltd.	Hutchison European Warrants Oct 2011	0.633	93.980	2011/10/04	128,000,000	2011/03/30
29883	Macquarie Bank Ltd.	KECL European Warrants Dec 2011	0.151	13.480	2011/12/15	88,000,000	2011/03/30
29884	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 A	0.310	4.38 per unit	2011/10/03	200,000,000	2011/03/30
29885	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 B	0.250	4.58 per unit	2011/10/03	200,000,000	2011/03/30
29886	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Oct 2011 A	0.250	4.55 per unit	2011/10/03	200,000,000	2011/03/30
29887	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Sep 2011 B	0.340	33.880	2011/09/05	200,000,000	2011/03/30
29888	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2011A	0.310	7.88 per unit	2011/10/03	200,000,000	2011/03/30
29889	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Nov 2011	0.150	35.280	2011/11/28	300,000,000	2011/03/30
29890	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Oct 11 B	0.250	84.880	2011/10/24	200,000,000	2011/03/30
29892	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Oct11A	0.510	4.78 per unit	2011/10/03	100,000,000	2011/03/30
29900	SGA Societe Generale Acceptance N.V.	Henganintl Eu Wt Oct11	0.460	62.880	2011/10/03	50,000,000	2011/03/30
29901	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2011 D	0.250	155.20 per 100 units	2011/10/03	500,000,000	2011/03/30
29893	SGA Societe Generale Acceptance N.V.	HS Bk Eur Wt Oct 2011	0.280	138.880	2011/10/03	50,000,000	2011/03/30
29902	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2011A	0.250	88.880	2011/10/03	300,000,000	2011/03/30
29899	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct11C	0.150	20,800 per 8,500 units	2011/10/28	300,000,000	2011/03/30
29894	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2011 A	0.150	23,800 per 8,000 units	2011/09/29	300,000,000	2011/03/30
29895	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2011 B	0.150	24,400 per 7,000 units	2011/09/29	300,000,000	2011/03/30
29896	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2011 A	0.250	22,800 per 8,000 units	2011/10/28	300,000,000	2011/03/30
29897	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2011 B	0.150	24,000 per 9,000 units	2011/10/28	300,000,000	2011/03/30
29898	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 11D	0.260	23,200 per 8,000 units	2011/09/29	300,000,000	2011/03/30
29903	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Oct 2011	0.380	6.38 per unit	2011/10/03	200,000,000	2011/03/30
29904	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Mar2012	0.150	78 per 100 units	2012/03/14	500,000,000	2011/03/30
29891	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt Oct11	0.260	24.880	2011/10/03	100,000,000	2011/03/30
29905	UBS AG	BOC HK European Warrants Aug 2011	0.150	25.040	2011/08/22	200,000,000	2011/03/30
29877	UBS AG	China Coal European Warrants Sep 2011	0.150	12.520	2011/09/23	100,000,000	2011/03/30
24595 <sup>9</sup>	CC Rabobank B.A.	China Coal European Warrants Jun 2011 A	0.022	12.000	2011/06/10	460,000,000	2011/03/30
28319 <sup>9</sup>	Credit Suisse AG	Tencent Holdings European Wts Jun 2011 G	0.135	200.90 per 100 units	2011/06/20	300,000,000	2011/03/30
29950	Credit Suisse AG	Tencent Holdings Euro Put Wts Sep 2011	0.150	169.99 per 100 units	2011/09/05	300,000,000	2011/03/31
29957	Deutsche Bank AG	Tencent Holdings European Wts Aug 2011 B	0.220	190 per 100 units	2011/08/15	200,000,000	2011/03/31
29956	KBC Fin Products Int'l Ltd.	CNBM European Put Wts Oct 2011	0.250	22.000	2011/10/18	88,000,000	2011/03/31

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29955	KBC Fin Products Int'l Ltd.	Sinopharm Euro Wts Oct 2011	0.320	27.800	2011/10/03	80,000,000	2011/03/31
29949	Macquarie Bank Ltd.	China Coal European Warrants Mar 2012	0.250	10.280	2012/03/02	250,000,000	2011/03/31
29951	CC Rabobank B.A.	China Coal European Warrants Jan 2012	0.250	10.200	2012/01/09	200,000,000	2011/03/31
29952	CC Rabobank B.A.	CNBM European Warrants Oct 2011 A	0.250	29.500	2011/10/03	150,000,000	2011/03/31
29953	CC Rabobank B.A.	China Res Gas European Warrants May 2012	0.250	12.500	2012/05/18	150,000,000	2011/03/31
29954	CC Rabobank B.A.	United Lab European Warrants Nov 2011	0.250	16.800	2011/11/29	100,000,000	2011/03/31
23404 <sup>†</sup>	Macquarie Bank Ltd.	China Coal European Warrants Jul 2011	0.032	11.500	2011/07/05	350,000,000	2011/03/31
28330 <sup>†</sup>	Deutsche Bank AG	HSBC European Warrants Jul 2011 A	0.134	90.000	2011/07/25	300,000,000	2011/03/31
28150 <sup>†</sup>	Goldman Sachs SP (Asia)	Hutchison European Wts May 2011 B	0.180	100.100	2011/05/30	200,000,000	2011/03/31
29930	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Nov 2011	0.270	80.600	2011/11/07	200,000,000	2011/04/01
29933	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Feb 2012	0.300	84.800	2012/02/06	200,000,000	2011/04/01
29938	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Dec 2011	0.250	29.880	2011/12/05	200,000,000	2011/04/01
29934	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Apr 2012	0.250	21.000	2012/04/10	200,000,000	2011/04/01
29935	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Jul 2012	0.160	23.830	2012/07/11	200,000,000	2011/04/01
29936	BNP Paribas Arbit Issu B.V.	HKEx European Put Wts Dec 2011	0.250	160.80 per 100 units	2011/12/12	100,000,000	2011/04/01
29939	Credit Suisse AG	CNOOC European Warrants Jul 2011 A	0.150	20.000	2011/07/18	300,000,000	2011/04/01
29940	Credit Suisse AG	HSI European Warrants Jul 2011 A	0.150	23,700 per 8,000 units	2011/07/28	300,000,000	2011/04/01
29944	Credit Suisse AG	HSI European Put Warrants Jul 2011 B	0.150	22,500 per 8,000 units	2011/07/28	300,000,000	2011/04/01
29946	Deutsche Bank AG	Tencent European Put Warrants Aug 2011	0.190	188.80 per 100 units	2011/08/22	150,000,000	2011/04/01
29925	HK Bank	HSCEI European Warrants Dec 2011 B	0.250	13,600 per 6,500 units	2011/12/29	150,000,000	2011/04/01
29937	HK Bank	HSCEI European Warrants Dec 2011 C	0.250	15,000 per 6,000 units	2011/12/29	150,000,000	2011/04/01
29928	HK Bank	HSI European Warrants Oct 2011 A	0.250	22,800 per 9,000 units	2011/10/28	300,000,000	2011/04/01
29929	HK Bank	HSI European Warrants Oct 2011 B	0.250	24,200 per 8,500 units	2011/10/28	300,000,000	2011/04/01
29927	HK Bank	HSI European Warrants Dec 2011 C	0.250	24,800 per 8,000 units	2011/12/29	300,000,000	2011/04/01
29931	HK Bank	HSI European Put Warrants Dec 2011 D	0.250	21,300 per 8,800 units	2011/12/29	200,000,000	2011/04/01
29932	HK Bank	HSI European Put Warrants Dec 2011 E	0.250	22,800 per 10,000 units	2011/12/29	200,000,000	2011/04/01
29941	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Aug2011C	0.220	4.38 per unit	2011/08/01	108,000,000	2011/04/01
29942	KBC Fin Products Int'l Ltd.	AIA European Warrants Sep 2011	0.150	24.880	2011/09/08	188,000,000	2011/04/01
29943	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Aug 2011 D	0.570	8 per unit	2011/08/15	108,000,000	2011/04/01
29945	KBC Fin Products Int'l Ltd.	Li & Fung Euro Wts Oct 2011	0.250	43.880	2011/10/03	58,000,000	2011/04/01
29926	Standard Chartered Bank	China Life European Wts Dec 2011 B	0.345	26.280	2011/12/08	100,000,000	2011/04/01
29919	SGA Societe Generale Acceptance N.V.	CCoal Eur Wt Dec 2011	0.250	9.880	2011/12/21	100,000,000	2011/04/01
29918	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Apr 2012	0.160	120.88 per 100 units	2012/04/23	500,000,000	2011/04/01
29920	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Oct2011B	0.670	7.88 per unit	2011/10/10	100,000,000	2011/04/01
29921	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Aug 2011 B	0.350	104.800	2011/08/01	200,000,000	2011/04/01
29922	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Aug 2011 C	0.410	105.000	2011/08/22	200,000,000	2011/04/01
10103	SGA Societe Generale Acceptance N.V.	Oil Eur Put Wt Nov 11	0.270	106 per 450 units	2011/11/21	100,000,000	2011/04/01
10099	SGA Societe Generale Acceptance N.V.	Oil Eur Wt Nov 2011 A	0.250	107 per 500 units	2011/11/21	100,000,000	2011/04/01
10100	SGA Societe Generale Acceptance N.V.	Oil Eur Wt Nov 2011 B	0.250	118 per 400 units	2011/11/21	100,000,000	2011/04/01
29923	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Oct 2011A	0.570	12.28 per unit	2011/10/10	100,000,000	2011/04/01
29924	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 11B	0.220	200 per 100 units	2011/10/03	200,000,000	2011/04/01
28911 <sup>†</sup>	Deutsche Bank AG	Hutchison European Warrants Jul 2011 B	0.350	98.880	2011/07/11	150,000,000	2011/04/01
29968	BNP Paribas Arbit Issu B.V.	BOCL European Wts Dec 2011	0.250	5 per unit	2011/12/02	100,000,000	2011/04/04

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29969	BNP Paribas Arbit Issu B.V.	BYD European Warrants Nov 2011	0.490	30.000	2011/11/02	95,000,000	2011/04/04
29970	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Oct 2011	0.270	6.50 per unit	2011/10/04	50,000,000	2011/04/04
29974	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Oct 2011	0.480	6 per unit	2011/10/04	21,000,000	2011/04/04
29973	BNP Paribas Arbit Issu B.V.	China Mengniu Euro Wts Dec 11	0.250	23.000	2011/12/02	85,000,000	2011/04/04
29972	Deutsche Bank AG	HSBC European Warrants Jul 2011 D	0.210	87.500	2011/07/18	200,000,000	2011/04/04
29960	J P Morgan SP BV	Agricultural Bk European Warrants Oct 11A	0.250	4.60 per unit	2011/10/04	150,000,000	2011/04/04
29961	J P Morgan SP BV	AIA European Warrants Nov 2011	0.250	25.000	2011/11/29	200,000,000	2011/04/04
29962	J P Morgan SP BV	C Transmission European Warrants Jun 2012	0.250	13.880	2012/06/28	150,000,000	2011/04/04
29963	J P Morgan SP BV	China Life European Warrants Oct 2011 A	0.250	28.000	2011/10/04	300,000,000	2011/04/04
29964	J P Morgan SP BV	Geely Auto European Warrants Oct 2011	0.250	3.38 per unit	2011/10/04	100,000,000	2011/04/04
29965	Macquarie Bank Ltd.	Agricultural Bk European Warrants Oct11A	0.250	4.50 per unit	2011/10/28	200,000,000	2011/04/04
29967	Macquarie Bank Ltd.	Stanchart European Warrants Dec 2011	0.250	238 per 100 units	2011/12/30	250,000,000	2011/04/04
29966	Macquarie Bank Ltd.	Yurun Food European Warrants Oct 2011	0.250	26.000	2011/10/28	200,000,000	2011/04/04
29947	CC Rabobank B.A.	Ch Mobile European Warrants Sep 2011	0.150	80.880	2011/09/26	200,000,000	2011/04/04
29948	CC Rabobank B.A.	Fushan Energy European Warrants Sep 2011	0.400	6.68 per unit	2011/09/22	100,000,000	2011/04/04
29958	CC Rabobank B.A.	Sinofert European Warrants Oct 2011	0.400	3.40 per unit	2011/10/28	100,000,000	2011/04/04
29959	CC Rabobank B.A.	Tencent Holdings European Wts Sep 2011 B	0.200	208 per 100 units	2011/09/27	200,000,000	2011/04/04
29971	UBS AG	BYD European Warrants Oct 2011 B	0.250	30.880	2011/10/11	100,000,000	2011/04/04
28899 #	Standard Chartered Bank	China Life European Wts Aug 2011 B	0.200	28.280	2011/08/22	100,000,000	2011/04/04
29985	Barclays Bank plc	China Telecom European Warrants Oct 2011	0.300	5 per unit	2011/10/28	100,000,000	2011/04/06
29986	Barclays Bank plc	ChiUnicom European Warrants Mar 2012	0.150	13.780	2012/03/19	150,000,000	2011/04/06
29988	Barclays Bank plc	China Life European Warrants Oct 2011	0.251	28.680	2011/10/28	150,000,000	2011/04/06
29987	Barclays Bank plc	China Mobile European Wts Oct 2011 B	0.250	77.000	2011/10/17	150,000,000	2011/04/06
29989	Barclays Bank plc	GCL-Poly Energy European Wts Oct 2011	0.446	5.08 per unit	2011/10/28	100,000,000	2011/04/06
11002	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts Feb 2012	0.250	13.580	2012/02/29	80,000,000	2011/04/06
29975	Citigroup Global Mkt H Inc.	CNOOC Euro Put Wts Oct 2011	0.250	18.280	2011/10/10	80,000,000	2011/04/06
29999	KBC Fin Products Int'l Ltd.	China Coal Euro Wts Mar 2012	0.250	10.880	2012/03/01	108,000,000	2011/04/06
11001	KBC Fin Products Int'l Ltd.	YZ Coal European Wts Oct 2011 A	0.250	30.880	2011/10/06	128,000,000	2011/04/06
29977	Macquarie Bank Ltd.	BBMG European Warrants Oct 2011	0.155	14.180	2011/10/31	250,000,000	2011/04/06
29979	Macquarie Bank Ltd.	CITIC Bank European Warrants Oct 2011	0.253	7 per unit	2011/10/07	350,000,000	2011/04/06
29980	Macquarie Bank Ltd.	Minsheng Bank European Wts Oct 2011	0.258	8.38 per unit	2011/10/10	128,000,000	2011/04/06
29981	Macquarie Bank Ltd.	Sinopec Corp European Wts Oct 2011	0.350	8.50 per unit	2011/10/07	350,000,000	2011/04/06
29978	Macquarie Bank Ltd.	Cathay Pacific European Wts Oct 2011	0.250	20.000	2011/10/31	350,000,000	2011/04/06
29982	Macquarie Bank Ltd.	R&F Ppt European Warrants Oct 2011	0.640	13 per unit	2011/10/28	150,000,000	2011/04/06
29983	Macquarie Bank Ltd.	Sinofert European Warrants Oct 2011	0.252	4.18 per unit	2011/10/10	128,000,000	2011/04/06
29984	Macquarie Bank Ltd.	Zijin Mining European Wts Oct 2011	0.434	7.28 per unit	2011/10/06	100,000,000	2011/04/06
29976	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2011 B	0.360	113.000	2011/10/07	100,000,000	2011/04/06
27927#	J P Morgan SP BV	China Unicom European Warrants Nov 2011	0.058	13.000	2011/11/25	250,000,000	2011/04/06
11040	Credit Suisse AG	HSI European Warrants Jul 2011 C	0.150	24,100 per 8,000 units	2011/07/28	300,000,000	2011/04/07
11044	Credit Suisse AG	HSI European Put Warrants Jul 2011 D	0.150	23,500 per 8,000 units	2011/07/28	300,000,000	2011/04/07

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11017	Deutsche Bank AG	HSI European Warrants Oct 2011	0.275	22,600 per 8,000 units	2011/10/28	150,000,000	2011/04/07
11020	Deutsche Bank AG	HSI European Put Warrants Aug 2011	0.190	23,000 per 8,000 units	2011/08/30	150,000,000	2011/04/07
11021	Deutsche Bank AG	HSI European Put Warrants Oct 2011	0.250	22,400 per 8,000 units	2011/10/28	150,000,000	2011/04/07
11018	Deutsche Bank AG	HSI European Warrants Jul 2011 F	0.190	23,000 per 8,000 units	2011/07/28	150,000,000	2011/04/07
11019	Deutsche Bank AG	HSI European Warrants Jul 2011 G	0.150	23,600 per 8,000 units	2011/07/28	150,000,000	2011/04/07
11007	HK Bank	CNBM European Warrants Dec 2011	0.250	31.880	2011/12/16	120,000,000	2011/04/07
11008	HK Bank	China Shenhua European Warrants Oct 2011	0.250	40.280	2011/10/07	150,000,000	2011/04/07
11009	HK Bank	Yanzhou Coal European Warrants Oct 2011 B	0.250	31.180	2011/10/28	150,000,000	2011/04/07
11037	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Oct 11 A	0.270	4.60 per unit	2011/10/07	108,000,000	2011/04/07
11038	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Oct 11 B	0.250	4.98 per unit	2011/10/14	108,000,000	2011/04/07
11039	KBC Fin Products Int'l Ltd.	Yanzhou Coal Euro Put Wt Oct11	0.300	27.180	2011/10/14	98,000,000	2011/04/07
11003	CC Rabobank B.A.	Cheung Kong European Warrants Oct 2011	0.400	145.880	2011/10/28	100,000,000	2011/04/07
11004	CC Rabobank B.A.	GCL-Poly Energy European Wts Oct 2011	0.350	5.60 per unit	2011/10/10	100,000,000	2011/04/07
11005	CC Rabobank B.A.	Tencent Holdings European Wts Dec 2011	0.150	228.88 per 100 units	2011/12/23	200,000,000	2011/04/07
11006	CC Rabobank B.A.	Weichai Power European Wts Oct 2011 B	0.580	48.000	2011/10/10	150,000,000	2011/04/07
11023	SGA Societe Generale Acceptance N.V.	Ch Mer H Eu Wt Oct11	0.380	33.680	2011/10/10	80,000,000	2011/04/07
11024	SGA Societe Generale Acceptance N.V.	Ch Oilfield Eu Wt Oct11	0.250	18.000	2011/10/10	120,000,000	2011/04/07
11025	SGA Societe Generale Acceptance N.V.	Chi Res Eu Wt Oct11	0.350	32.080	2011/10/10	80,000,000	2011/04/07
11010	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011D	0.150	28.880	2011/08/08	300,000,000	2011/04/07
11011	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011E	0.220	27.000	2011/08/15	300,000,000	2011/04/07
11012	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2011F	0.170	28.280	2011/08/15	300,000,000	2011/04/07
11013	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2011C	0.180	28.880	2011/09/21	300,000,000	2011/04/07
11022	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Dec 2011	0.250	23.080	2011/12/21	200,000,000	2011/04/07
11026	SGA Societe Generale Acceptance N.V.	COSCO Pac Eu Wt Nov11	0.250	14.880	2011/11/21	150,000,000	2011/04/07
11027	SGA Societe Generale Acceptance N.V.	Evergrande Eu Wt Oct11A	0.480	4.38 per unit	2011/10/10	80,000,000	2011/04/07
11029	SGA Societe Generale Acceptance N.V.	GAC Eur Wts Jan 2012	0.190	9.880	2012/01/04	120,000,000	2011/04/07
11028	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Oct11B	0.440	5.48 per unit	2011/10/31	100,000,000	2011/04/07
11014	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Aug 2011 D	0.470	98.880	2011/08/24	200,000,000	2011/04/07
11030	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Oct11	0.320	40.180	2011/10/10	100,000,000	2011/04/07
11031	SGA Societe Generale Acceptance N.V.	Nine Dragons Eu Wt Jul12	0.250	9.580	2012/07/04	150,000,000	2011/04/07
11032	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Sep 11	0.160	13.880	2011/09/05	200,000,000	2011/04/07
11033	SGA Societe Generale Acceptance N.V.	SkyworthDig Eu Wt Oct11	0.390	4.88 per unit	2011/10/10	80,000,000	2011/04/07
11015	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep 11B	0.200	200 per 100 units	2011/09/28	200,000,000	2011/04/07
11016	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 11C	0.160	220 per 100 units	2011/10/26	200,000,000	2011/04/07
29296 #	Credit Suisse AG	HSI European Put Warrants Jun 2011 F	0.051	22,000 per 8,000 units	2011/06/29	300,000,000	2011/04/07
11046	J P Morgan SP BV	GCL-Poly European Warrants Oct 2011	0.500	5.88 per unit	2011/10/10	100,000,000	2011/04/08
11047	J P Morgan SP BV	ICBC European Warrants Oct 2011A	0.250	6.88 per unit	2011/10/10	100,000,000	2011/04/08
11048	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Sep 11	0.150	169.90 per 100 units	2011/09/05	108,000,000	2011/04/08
11041	Standard Chartered Bank	BOCL European Warrants Nov 2011	0.250	4.80 per unit	2011/11/01	100,000,000	2011/04/08
11042	Standard Chartered Bank	China Life European Wts Feb 2012	0.250	32.000	2012/02/01	150,000,000	2011/04/08
11043	Standard Chartered Bank	HSBC European Warrants Nov 2011 A	0.400	88.880	2011/11/01	100,000,000	2011/04/08
11045	Standard Chartered Bank	Hutchison Euro Euro Wts Jul 2013	0.223	92 per 100 units	2013/07/29	100,000,000	2011/04/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11049	UBS AG	Agricultural Bank European Warrants Oct 2011	0.250	4.98 per unit	2011/10/11	200,000,000	2011/04/08
25434 #	KBC Fin Products Int'l Ltd.	HKEx European Warrants Oct 11A	0.175	168 per units	2011/10/07	280,000,000	2011/04/08
11059	Credit Suisse AG	China Mobile European Warrants Aug 2011	0.150	79.990	2011/08/08	300,000,000	2011/04/11
11060	Credit Suisse AG	CNOOC European Warrants Jul 2011 B	0.150	26.85 per unit	2011/07/25	300,000,000	2011/04/11
11061	Credit Suisse AG	HSBC European Wts Jul 2011	0.150	90.000	2011/07/25	300,000,000	2011/04/11
11056	Credit Suisse AG	HSI European Warrants Aug 2011	0.150	25,100 per 8,000 units	2011/08/30	300,000,000	2011/04/11
11058	Credit Suisse AG	HSI European Put Warrants Aug 2011	0.200	23,900 per 8,000 units	2011/08/30	300,000,000	2011/04/11
11057	Credit Suisse AG	HSI European Put Warrants Jul 2011 E	0.200	24,100 per 8,000 units	2011/07/28	300,000,000	2011/04/11
11063	Credit Suisse AG	Hutchison European Warrants Aug 2011	0.400	100.000	2011/08/29	300,000,000	2011/04/11
11062	Credit Suisse AG	Hutchison European Warrants Jul 2011 E	0.150	108.000	2011/07/11	300,000,000	2011/04/11
11064	Credit Suisse AG	ICBC European Warrants Aug 2011 A	0.150	7 per unit	2011/08/01	300,000,000	2011/04/11
11065	Credit Suisse AG	Ping An European Warrants Sep 2011 B	0.500	89.900	2011/09/14	300,000,000	2011/04/11
11066	KBC Fin Products Int'l Ltd.	BOCL European Wts Sep 2011	0.150	4.888 per unit	2011/09/09	108,000,000	2011/04/11
11068	KBC Fin Products Int'l Ltd.	Ch Overseas Euro Wts Nov 2011	0.250	17.088	2011/11/01	108,000,000	2011/04/11
11067	KBC Fin Products Int'l Ltd.	CNBM European Warrants Oct 11	0.250	30.280	2011/10/11	68,000,000	2011/04/11
11069	KBC Fin Products Int'l Ltd.	CNOOC European Wts Nov 2011	0.200	20.880	2011/11/23	108,000,000	2011/04/11
11073	KBC Fin Products Int'l Ltd.	HSI European Wts Aug 2011	0.150	24,200 per 8,000 units	2011/08/30	200,000,000	2011/04/11
11072	KBC Fin Products Int'l Ltd.	MTRC European Put Warrants Nov11	0.250	27.380	2011/11/10	58,000,000	2011/04/11
11070	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Aug 2011	0.450	12.98 per unit	2011/08/15	88,000,000	2011/04/11
11071	KBC Fin Products Int'l Ltd.	YZ Coal European Wts Oct 2011B	0.250	33.880	2011/10/14	108,000,000	2011/04/11
11051	Standard Chartered Bank	China Coal European Warrants Nov11	0.250	8.880	2011/11/01	100,000,000	2011/04/11
11052	Standard Chartered Bank	China Life Euro Put Wts Nov 2011	0.250	28.280	2011/11/01	100,000,000	2011/04/11
11053	Standard Chartered Bank	YZ Coal European Warrants Nov 2011	0.250	32.000	2011/11/01	100,000,000	2011/04/11
11050	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct 11D	0.250	188.88 per 100 units	2011/10/10	200,000,000	2011/04/11
11054	UBS AG	China Life European Warrants Oct 2011	0.150	31.930	2011/10/04	300,000,000	2011/04/11
11055	UBS AG	HSBC European Warrants Oct 2011 B	0.250	89.880	2011/10/11	300,000,000	2011/04/11
11111	BOCI Asia Ltd.	China Life European Warrants Sep 2011	0.150	30.880	2011/09/26	100,000,000	2011/04/12
11113	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Oct 2011	0.350	6 per unit	2011/10/12	100,000,000	2011/04/12
11112	BOCI Asia Ltd.	PetroChina European Warrants Aug 2011	0.250	14.88 per unit	2011/08/03	100,000,000	2011/04/12
11083	BNP Paribas Arbit Issu B.V.	China EB Ltd European Wt Feb12	0.250	18.880	2012/02/02	95,000,000	2011/04/12
11106	BNP Paribas Arbit Issu B.V.	China Overseas Eur Wt Dec 2011	0.160	17.800	2011/12/22	100,000,000	2011/04/12
11085	BNP Paribas Arbit Issu B.V.	CITIC Pacific Euro Wts Feb12	0.290	23.880	2012/02/02	95,000,000	2011/04/12
11107	BNP Paribas Arbit Issu B.V.	Chi Res Land Euro Wts Apr 12	0.220	16.400	2012/04/05	100,000,000	2011/04/12
11086	BNP Paribas Arbit Issu B.V.	Geely Auto European Wts Feb12	0.470	3.28 per unit	2012/02/02	60,000,000	2011/04/12
11087	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wts Feb 2012	0.320	30.000	2012/02/02	100,000,000	2011/04/12
11088	BNP Paribas Arbit Issu B.V.	KECL European Warrants Dec 12	0.250	14.680	2012/12/04	100,000,000	2011/04/12
11108	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Apr 2013	0.250	12.680	2013/04/03	200,000,000	2011/04/12
11089	BNP Paribas Arbit Issu B.V.	Sinopharm European Wts Feb 12	0.340	31.000	2012/02/02	40,000,000	2011/04/12
11084	BNP Paribas Arbit Issu B.V.	Yurun Food European Wts Jan 12	0.250	30.800	2012/01/04	100,000,000	2011/04/12
11115	Credit Suisse AG	HKEx European Warrants Aug 2011 B	0.150	180 per 100 units	2011/08/08	300,000,000	2011/04/12
11130	Citigroup Global Mkt H Inc.	Ch Overseas Euro Wts Dec 2011	0.250	18.280	2011/12/23	80,000,000	2011/04/12
11109	Citigroup Global Mkt H Inc.	HKEx European Wts Dec 2011	0.150	200 per 100 units	2011/12/02	80,000,000	2011/04/12
11131	Citigroup Global Mkt H Inc.	Yanzhou Coal European Wt Oct 11	0.250	33.880	2011/10/17	80,000,000	2011/04/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11118	Deutsche Bank AG	CC Bank European Warrants Oct 2011	0.250	9 per unit	2011/10/17	200,000,000	2011/04/12
11119	Deutsche Bank AG	China Life European Warrants Sep 2011 B	0.150	31.880	2011/09/26	300,000,000	2011/04/12
11120	Deutsche Bank AG	China Life European Warrants Oct 2011	0.250	30.000	2011/10/17	300,000,000	2011/04/12
11122	Deutsche Bank AG	ICBC European Warrants Aug 2011	0.270	7.48 per unit	2011/08/29	150,000,000	2011/04/12
11123	Deutsche Bank AG	PetroChina European Warrants Oct 2011	0.330	14.28 per unit	2011/10/17	100,000,000	2011/04/12
11124	Deutsche Bank AG	PetroChina European Put Wts Mar 2012	0.190	11.880	2012/03/19	100,000,000	2011/04/12
11078	HK Bank	BYD European Warrants Oct 2011	0.250	36.380	2011/10/12	80,000,000	2011/04/12
11121	J P Morgan SP BV	ICBC European Warrants Aug 2011	0.160	7 per unit	2011/08/01	200,000,000	2011/04/12
11127	J P Morgan SP BV	ICBC European Warrants Oct 2011 B	0.250	7.28 per unit	2011/10/12	200,000,000	2011/04/12
11125	KBC Fin Products Int'l Ltd.	HKEx European Warrants Oct 11B	0.150	185.08 per 100 units	2011/10/03	288,000,000	2011/04/12
11126	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Sep 11D	0.180	31.980	2011/09/28	88,000,000	2011/04/12
11114	Macquarie Bank Ltd.	China Railway European Wts Oct 2011	0.250	5.88 per unit	2011/10/12	200,000,000	2011/04/12
11110	Macquarie Bank Ltd.	Goldwind European Warrants Oct 2011	0.250	18.880	2011/10/12	100,000,000	2011/04/12
11079	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Aug 2011	0.153	23,000 per 8,000 units	2011/08/30	200,000,000	2011/04/12
11077	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jul 2011 G	0.152	24,100 per 8,000 units	2011/07/28	200,000,000	2011/04/12
11074	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2011 B	0.152	24,300 per 8,000 units	2011/08/30	200,000,000	2011/04/12
11075	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2011 C	0.153	25,100 per 8,000 units	2011/08/30	200,000,000	2011/04/12
11076	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2011 B	0.158	23,900 per 9,000 units	2011/09/29	200,000,000	2011/04/12
11080	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2011 C	0.150	21,700 per 9,000 units	2011/09/29	200,000,000	2011/04/12
11081	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2011 D	0.153	22,300 per 8,000 units	2011/09/29	200,000,000	2011/04/12
11082	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2011 E	0.177	23,300 per 8,000 units	2011/09/29	200,000,000	2011/04/12
11090	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jul 2011 C	0.220	4.68 per unit	2011/07/25	200,000,000	2011/04/12
11091	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 C	0.250	4.88 per unit	2011/10/12	200,000,000	2011/04/12
11092	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Oct 2011	0.600	25.880	2011/10/12	120,000,000	2011/04/12
11095	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2011 B	0.270	8.48 per unit	2011/10/12	200,000,000	2011/04/12
11094	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Oct 2011	0.540	8 per unit	2011/10/12	80,000,000	2011/04/12
11093	SGA Societe Generale Acceptance N.V.	CCoal Eur Wt Feb 2012	0.170	10.280	2012/02/29	300,000,000	2011/04/12
11097	SGA Societe Generale Acceptance N.V.	Gome Euro Wt Oct 2011	0.250	3.28 per unit	2011/10/12	120,000,000	2011/04/12
11102	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2011 B	0.330	85.880	2011/10/12	300,000,000	2011/04/12
11098	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Dec 2011	0.220	13,600 per 6,500 units	2011/12/29	200,000,000	2011/04/12
11099	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Oct 11	0.250	13,200 per 6,000 units	2011/10/28	200,000,000	2011/04/12
11100	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2011 E	0.150	25,000 per 8,000 units	2011/09/29	300,000,000	2011/04/12
11101	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 11D	0.250	23,600 per 8,000 units	2011/10/28	300,000,000	2011/04/12
11103	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2011 B	0.180	7.48 per unit	2011/08/31	200,000,000	2011/04/12
11104	SGA Societe Generale Acceptance N.V.	JIANG Eu Wts Oct 2011	0.240	28.880	2011/10/03	200,000,000	2011/04/12
11096	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt Nov11	0.250	28.000	2011/11/02	100,000,000	2011/04/12
11105	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Nov 11	0.250	35.880	2011/11/28	120,000,000	2011/04/12
11116	UBS AG	CNOOC European Warrants Jun 2012	0.250	24.880	2012/06/18	200,000,000	2011/04/12
11129	UBS AG	HSI European Put Warrants Aug 2011	0.170	24,000 per 8,000 units	2011/08/30	300,000,000	2011/04/12
11128	UBS AG	HSI European Put Warrants Jul 2011 G	0.150	23,400 per 8,000 units	2011/07/28	300,000,000	2011/04/12
11117	UBS AG	Ping An European Warrants Oct 2011	0.300	92.880	2011/10/17	100,000,000	2011/04/12
25865*	Macquarie Bank Ltd.	Tencent European Put Warrants Jun 11C	0.046	180 per 100 units	2011/06/02	300,000,000	2011/04/12



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23753*	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jun 2011 B	0.062	13.880	2011/06/23	200,000,000	2011/04/12
11166	Credit Suisse AG	CNOOC European Warrants Oct 2011	0.150	21.400	2011/10/19	300,000,000	2011/04/13
11167	Credit Suisse AG	HSBC European Wts Aug 2011 B	0.150	94.900	2011/08/08	300,000,000	2011/04/13
11168	Credit Suisse AG	HSI European Warrants Jul 2011 F	0.150	24,900 per 8,000 units	2011/07/28	300,000,000	2011/04/13
11145	HK Bank	BOCL European Warrants Sep 2011	0.150	4.89 per unit	2011/09/09	120,000,000	2011/04/13
11146	HK Bank	CC Bank European Warrants Oct 2011	0.250	8.50 per unit	2011/10/13	120,000,000	2011/04/13
11147	HK Bank	ICBC European Warrants Sep 2011	0.250	7.19 per unit	2011/09/05	120,000,000	2011/04/13
11148	HK Bank	ICBC European Warrants Oct 2011	0.250	7.88 per unit	2011/10/13	120,000,000	2011/04/13
11152	J P Morgan SP BV	China Mob European Wts Oct 2011	0.250	78.000	2011/10/13	150,000,000	2011/04/13
11154	J P Morgan SP BV	China Unicom European Warrants Mar 2012	0.250	14.880	2012/03/30	100,000,000	2011/04/13
11151	J P Morgan SP BV	Cheung Kong European Warrants Jan 2012	0.150	126.38 per 100 units	2012/01/16	200,000,000	2011/04/13
11153	J P Morgan SP BV	Ch Overseas European Warrants Oct 2011 B	0.250	16.880	2011/10/13	100,000,000	2011/04/13
11156	J P Morgan SP BV	JX Copper European Warrants Oct 2011	0.250	30.880	2011/10/13	100,000,000	2011/04/13
11158	J P Morgan SP BV	New World Dev European Warrants Jun 2012	0.250	14.880	2012/06/25	100,000,000	2011/04/13
11157	J P Morgan SP BV	Yanzhou Coal European Warrants Oct 2011 B	0.250	32.000	2011/10/13	100,000,000	2011/04/13
11159	J P Morgan SP BV	Zijin Mining European Warrants May 2012	0.150	6.410	2012/05/23	200,000,000	2011/04/13
11155	KBC Fin Products Int'l Ltd.	AIA European Warrants Oct 2011	0.150	26.980	2011/10/03	188,000,000	2011/04/13
11132	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Jul 2011	0.152	4.59 per unit	2011/07/22	80,000,000	2011/04/13
11133	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Aug 2011	0.315	74.490	2011/08/29	80,000,000	2011/04/13
11135	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2012	0.255	84.990	2012/01/30	80,000,000	2011/04/13
11136	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eur Wt Jul 2011	0.176	5.39 per unit	2011/07/25	80,000,000	2011/04/13
11137	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eur Wt Oct 2011	0.435	4.99 per unit	2011/10/17	80,000,000	2011/04/13
11134	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Oct 2011 C	0.255	77.990	2011/10/24	80,000,000	2011/04/13
11138	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Jul 2011	0.151	6.99 per unit	2011/07/18	80,000,000	2011/04/13
11139	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Oct 2011B	0.250	7.09 per unit	2011/10/17	80,000,000	2011/04/13
11140	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2011 A	0.194	199.99 per 100 units	2011/09/27	80,000,000	2011/04/13
11141	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2011 B	0.155	208.89 per 100 units	2011/09/05	80,000,000	2011/04/13
11170	CC Rabobank B.A.	CC Bank European Warrants Dec 2011	0.151	9.19 per unit	2011/12/02	100,000,000	2011/04/13
11172	RB of Scotland N.V.	CNOOC European Warrants Nov 2011	0.165	22.050	2011/11/21	200,000,000	2011/04/13
11169	RB of Scotland N.V.	A50 China European Warrants Dec 2011	0.151	14.290	2011/12/19	250,000,000	2011/04/13
11171	RB of Scotland N.V.	ICBC European Warrants Sep 2011	0.151	7.19 per unit	2011/09/05	200,000,000	2011/04/13
11149	Standard Chartered Bank	HSBC European Warrants Jul 2011 B	0.220	87.500	2011/07/18	100,000,000	2011/04/13
11150	Standard Chartered Bank	Tencent Hldg European Wts Sep2011B	0.248	200 per 100 units	2011/09/28	200,000,000	2011/04/13
11142	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 D	0.250	5.08 per unit	2011/10/17	200,000,000	2011/04/13
11143	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2011 C	0.260	8.88 per unit	2011/10/17	200,000,000	2011/04/13
11144	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Oct 2011 B	0.250	7.88 per unit	2011/10/17	200,000,000	2011/04/13
11161	UBS AG	China Mobile European Warrants Sep 2011 B	0.150	82.930	2011/09/26	200,000,000	2011/04/13
11162	UBS AG	CNOOC European Warrants Oct 2011 B	0.150	21.400	2011/10/19	200,000,000	2011/04/13
11163	UBS AG	HKEEx European Warrants Mar 2012	0.150	220.20 per 100 units	2012/03/19	300,000,000	2011/04/13

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11160	UBS AG	HSI European Warrants Jul 2011 H	0.150	24,700 per 8,000 units	2011/07/28	300,000,000	2011/04/13
11165	UBS AG	HSI European Put Warrants Jul 2011 I	0.180	24,600 per 8,000 units	2011/07/28	300,000,000	2011/04/13
11164	UBS AG	PetroChina European Warrants Oct 2011	0.250	14.88 per unit	2011/10/17	100,000,000	2011/04/13
11198	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wts Dec 2015	0.250	70 per 100 units	2015/12/02	100,000,000	2011/04/14
11199	BNP Paribas Arbit Issu B.V.	CNBM European Wts Nov 2011	0.250	38.000	2011/11/02	100,000,000	2011/04/14
11200	BNP Paribas Arbit Issu B.V.	Sany Int'l Euro Wts Jan 2012	0.250	15.300	2012/01/03	65,000,000	2011/04/14
11201	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Nov 2011 B	0.250	38.000	2011/11/02	100,000,000	2011/04/14
11189	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Jan 2012 B	0.150	126.28 per 100 units	2012/01/18	80,000,000	2011/04/14
11190	Citigroup Global Mkt H Inc.	SHK Ppt Euro Put Wts Dec 2011	0.150	122.50 per 100 units	2011/12/23	80,000,000	2011/04/14
11195	Deutsche Bank AG	BOCL European Warrants Oct 2011	0.250	4.80 per unit	2011/10/17	150,000,000	2011/04/14
11196	Deutsche Bank AG	China Life European Warrants Nov 2011	0.150	35.280	2011/11/28	300,000,000	2011/04/14
11173	Deutsche Bank AG	China Mobile European Warrants Sep 2011 C	0.170	82.880	2011/09/26	300,000,000	2011/04/14
11174	Deutsche Bank AG	CNOOC European Put Warrants Oct 2011	0.250	20.000	2011/10/17	100,000,000	2011/04/14
11175	Deutsche Bank AG	HKEx European Put Warrants Sep 2011	0.180	180 per 100 units	2011/09/26	150,000,000	2011/04/14
11197	Deutsche Bank AG	Hutchison European Warrants Aug 2011	0.550	100.000	2011/08/29	200,000,000	2011/04/14
11182	J P Morgan SP BV	AIA European Warrants Sep 2011	0.150	27.000	2011/09/22	200,000,000	2011/04/14
11185	J P Morgan SP BV	CNOOC European Warrants Oct 2011	0.250	20.880	2011/10/14	100,000,000	2011/04/14
11183	J P Morgan SP BV	CPIC European Warrants Oct 2011	0.250	36.880	2011/10/14	100,000,000	2011/04/14
11186	J P Morgan SP BV	HKEx European Warrants Nov 2011	0.150	200 per 100 units	2011/11/29	300,000,000	2011/04/14
11187	J P Morgan SP BV	HKEx European Put Wts Dec 2011	0.170	180 per 100 units	2011/12/12	300,000,000	2011/04/14
11188	J P Morgan SP BV	PetroChina European Warrants Dec 2012	0.250	12.000	2012/12/28	300,000,000	2011/04/14
11191	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Wts Oct 2011	0.370	56.880	2011/10/14	80,000,000	2011/04/14
11192	KBC Fin Products Int'l Ltd.	China Life Euro Wts Oct 2011	0.250	31.680	2011/10/14	200,000,000	2011/04/14
11193	KBC Fin Products Int'l Ltd.	ICBC European Wts Oct 2011 A	0.320	7.28 per unit	2011/10/14	108,000,000	2011/04/14
11194	KBC Fin Products Int'l Ltd.	ICBC European Wts Oct 2011 B	0.250	7.68 per unit	2011/10/14	108,000,000	2011/04/14
11181	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2011	0.180	27,400 per 8,000 units	2011/12/29	300,000,000	2011/04/14
11180	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2011 E	0.250	25,800 per 8,000 units	2011/10/28	300,000,000	2011/04/14
11184	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Oct 11F	0.160	22,400 per 9,000 units	2011/10/28	300,000,000	2011/04/14
11176	UBS AG	CC Bank European Warrants Sep 2011 A	0.150	8.01 per unit	2011/09/01	200,000,000	2011/04/14
11177	UBS AG	China Life European Warrants Sep 2011 B	0.150	33.930	2011/09/08	300,000,000	2011/04/14
11178	UBS AG	ICBC European Warrants Oct 2011	0.250	7.38 per unit	2011/10/17	200,000,000	2011/04/14
11179	UBS AG	Tencent Holdings European Warrants Oct 2011 B	0.150	228.20 per 100 units	2011/10/24	200,000,000	2011/04/14
28123*	UBS AG	HKEx European Warrants Aug 2011 A	0.065	200 per 100 units	2011/08/04	300,000,000	2011/04/14
11226	BNP Paribas Arbit Issu B.V.	CITIC Pacific Euro Wt Jul12	0.250	28.900	2012/07/03	100,000,000	2011/04/15
11227	BNP Paribas Arbit Issu B.V.	Dongfang Elec Euro Wt Feb12	0.350	32.880	2012/02/02	85,000,000	2011/04/15
11228	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jul 2012	0.270	188.88 per 100 units	2012/07/03	200,000,000	2011/04/15
11229	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2012	0.720	84.880	2012/01/04	200,000,000	2011/04/15
11230	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2012	0.400	101.000	2012/04/03	200,000,000	2011/04/15
11231	BNP Paribas Arbit Issu B.V.	Goldwind Euro Warrants Feb 12	0.260	16.000	2012/02/02	88,000,000	2011/04/15
11240	Credit Suisse AG	Agricultural Bank European Wts Jul 2011 B	0.150	4.69 per unit	2011/07/25	300,000,000	2011/04/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11241	Credit Suisse AG	Agricultural Bank European Wts Jul 2011 C	0.150	5.09 per unit	2011/07/15	300,000,000	2011/04/15
11236	Credit Suisse AG	ChiUnicom European Warrants Nov 2011	0.250	13.580	2011/11/14	200,000,000	2011/04/15
11234	Credit Suisse AG	CC Bank European Warrants Jul 2011	0.150	8 per unit	2011/07/25	300,000,000	2011/04/15
11235	Credit Suisse AG	China Tel European Warrants Jul 2011 B	0.150	5.51 per unit	2011/07/25	200,000,000	2011/04/15
11242	Credit Suisse AG	HKEx European Warrants Aug 2011 C	0.150	185 per 100 units	2011/08/29	300,000,000	2011/04/15
11239	Credit Suisse AG	HSI European Put Warrants Jul 2011 G	0.150	24,500 per 8,000 units	2011/07/28	300,000,000	2011/04/15
11237	Credit Suisse AG	ICBC European Warrants Aug 2011 B	0.150	7.48 per unit	2011/08/29	300,000,000	2011/04/15
11238	Credit Suisse AG	Yanzhou Coal European Warrants Oct 2011	0.250	34.380	2011/10/17	200,000,000	2011/04/15
11221	Citigroup Global Mkt H Inc.	Ch Overseas Euro Put Wt Dec11	0.250	15.380	2011/12/23	80,000,000	2011/04/15
11222	Citigroup Global Mkt H Inc.	Yanzhou Coal Euro Put Wt Dec11	0.250	25.880	2011/12/19	80,000,000	2011/04/15
11215	Deutsche Bank AG	CC Bank European Warrants Sep 2011 B	0.290	8 per unit	2011/09/12	200,000,000	2011/04/15
11216	Deutsche Bank AG	China Life European Warrants Sep 2011 C	0.150	33.880	2011/09/12	300,000,000	2011/04/15
11217	Deutsche Bank AG	CNOOC European Warrants Oct 2011 C	0.250	20.500	2011/10/17	200,000,000	2011/04/15
11218	Deutsche Bank AG	ICBC European Warrants Oct 2011	0.380	6.80 per unit	2011/10/03	200,000,000	2011/04/15
11219	Deutsche Bank AG	Tencent Holdings European Wts Sep 2011 B	0.150	228.88 per 100 units	2011/09/20	200,000,000	2011/04/15
11208	HK Bank	AIA European Warrants Oct 2011	0.250	26.180	2011/10/17	150,000,000	2011/04/15
11209	HK Bank	HSI European Warrants Nov 2011	0.250	26,200 per 8,800 units	2011/11/29	300,000,000	2011/04/15
11232	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Dec 2011	0.150	14.288	2011/12/19	188,000,000	2011/04/15
11220	Macquarie Bank Ltd.	China Unicom European Wts Jun 2012	0.250	16.880	2012/06/01	300,000,000	2011/04/15
11225	Macquarie Bank Ltd.	HSI European Warrants Sep 2011	0.151	25,000 per 8,000 units	2011/09/29	300,000,000	2011/04/15
11224	Standard Chartered Bank	China Overseas European Wt Nov11	0.250	16.000	2011/11/01	100,000,000	2011/04/15
11223	Standard Chartered Bank	CM Bank European Warrants Nov 2011	0.250	21.380	2011/11/01	100,000,000	2011/04/15
11202	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Oct 2011 B	0.250	4.88 per unit	2011/10/17	200,000,000	2011/04/15
11203	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct 2011	0.250	20.880	2011/10/17	500,000,000	2011/04/15
11204	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jan 2012	0.250	23.880	2012/01/30	500,000,000	2011/04/15
11205	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Nov 2011 C	0.150	185 per 100 units	2011/11/02	500,000,000	2011/04/15
11206	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Nov 2011 D	0.150	200 per 100 units	2011/11/28	500,000,000	2011/04/15
11207	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Sep 2011B	0.420	13.88 per unit	2011/09/12	100,000,000	2011/04/15
11210	UBS AG	AIA European Warrants Sep 2011	0.150	27.050	2011/09/22	200,000,000	2011/04/15
11233	UBS AG	A50 China European Warrants Dec 2011	0.150	15.020	2011/12/29	300,000,000	2011/04/15
11211	UBS AG	Hutchison European Warrants Aug 2011 B	0.400	100.100	2011/08/29	300,000,000	2011/04/15
11212	UBS AG	Hutchison European Warrants Sep 2011 B	0.180	113.100	2011/09/29	300,000,000	2011/04/15
11213	UBS AG	Jiangxi Copper European Warrants Sep 2011	0.150	32.050	2011/09/15	100,000,000	2011/04/15
11214	UBS AG	Tencent Holdings European Warrants Sep 2011 D	0.150	214 per 100 units	2011/09/05	200,000,000	2011/04/15
11258	Deutsche Bank AG	China Life European Warrants Aug 2011 C	0.180	30.000	2011/08/08	200,000,000	2011/04/18
11261	Deutsche Bank AG	China Life European Warrants Sep 2011 D	0.150	32.800	2011/09/20	200,000,000	2011/04/18
10101	Deutsche Bank AG	EUR/USD European Put Warrants Oct 2011	0.280	1.36 per 1 units	2011/10/24	50,000,000	2011/04/18
11259	Deutsche Bank AG	HKEx European Warrants Sep 2011 B	0.150	185 per 100 units	2011/09/05	150,000,000	2011/04/18
11260	Deutsche Bank AG	HSBC European Warrants Sep 2011 E	0.250	91.880	2011/09/20	200,000,000	2011/04/18
11251	J P Morgan SP BV	Agricultural Bk European Warrants Oct 11B	0.250	5 per unit	2011/10/18	150,000,000	2011/04/18
11252	J P Morgan SP BV	CITIC Pacific Euro Wts Nov 2011	0.250	26.000	2011/11/18	100,000,000	2011/04/18
11254	Macquarie Bank Ltd.	China Unicom European Wts Aug 2012	0.250	18.880	2012/08/01	300,000,000	2011/04/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11255	Macquarie Bank Ltd.	PetroChina European Wts Oct 2011	0.342	15 per unit	2011/10/18	250,000,000	2011/04/18
11257	Macquarie Bank Ltd.	Yanzhou Coal European Wts Oct 2011 B	0.250	36.000	2011/10/28	200,000,000	2011/04/18
11246	CC Rabobank B.A.	Anhui Conch European Warrants Oct 2011	0.400	60.000	2011/10/24	100,000,000	2011/04/18
11247	CC Rabobank B.A.	China EB Ltd European Warrants Feb 2012	0.250	21.880	2012/02/27	100,000,000	2011/04/18
11248	CC Rabobank B.A.	CNBM European Warrants Oct 2011 B	0.250	34.280	2011/10/24	100,000,000	2011/04/18
11249	CC Rabobank B.A.	Evergrande European Warrants Oct 2011	0.350	6 per unit	2011/10/24	100,000,000	2011/04/18
11250	CC Rabobank B.A.	Wynn Macau European Warrants Oct 2011	0.250	28.880	2011/10/24	100,000,000	2011/04/18
11245	Standard Chartered Bank	A50 China European Warrants Dec 11	0.150	14.280	2011/12/21	100,000,000	2011/04/18
11244	Standard Chartered Bank	PetroChina European Warrants Aug11B	0.405	13 per unit	2011/08/15	100,000,000	2011/04/18
11243	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 E	0.250	5.28 per unit	2011/10/19	200,000,000	2011/04/18
11253	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Oct 2011B	0.400	14.88 per unit	2011/10/19	100,000,000	2011/04/18
11256	UBS AG	China Tel European Warrants Oct 2011	0.250	5.88 per unit	2011/10/18	100,000,000	2011/04/18
11269	BOCI Asia Ltd.	Agricultural Bk European Warrants Oct 2011	0.250	5.08 per unit	2011/10/31	100,000,000	2011/04/19
11270	BOCI Asia Ltd.	China Shenhua European Warrants Oct 2011	0.250	42.800	2011/10/24	100,000,000	2011/04/19
11272	BOCI Asia Ltd.	Tracker Fund European Put Warrants Dec 2011	0.250	23.880	2011/12/15	100,000,000	2011/04/19
11271	BOCI Asia Ltd.	Yanzhou Coal European Warrants Nov 2011	0.250	33.880	2011/11/15	100,000,000	2011/04/19
11266	J P Morgan SP BV	Air China European Warrants Oct 2012	0.150	8.000	2012/10/30	200,000,000	2011/04/19
11267	J P Morgan SP BV	Cathay Pacific European Warrants Oct 2011	0.150	20.000	2011/10/24	100,000,000	2011/04/19
11285	J P Morgan SP BV	HSBC European Warrants Sep 2011 D	0.234	91.000	2011/09/14	200,000,000	2011/04/19
11268	J P Morgan SP BV	PetroChina European Warrants Feb 2013	0.250	13.000	2013/02/27	300,000,000	2011/04/19
11275	Macquarie Bank Ltd.	AIA European Put Warrants Nov 2011	0.251	23.500	2011/11/02	250,000,000	2011/04/19
11286	Macquarie Bank Ltd.	HSCEI European Warrants Mar 2012	0.250	14,800 per 6,000 units	2012/03/29	300,000,000	2011/04/19
11287	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2011	0.250	12,800 per 6,000 units	2011/12/29	300,000,000	2011/04/19
11278	Macquarie Bank Ltd.	Sinopharm European Warrants Nov 2011	0.250	30.880	2011/11/02	200,000,000	2011/04/19
11277	Macquarie Bank Ltd.	Shui On Land European Warrants Nov 11	0.250	4 per unit	2011/11/02	200,000,000	2011/04/19
11262	CC Rabobank B.A.	Agricultural Bk European Warrants Oct 11	0.240	5 per unit	2011/10/24	150,000,000	2011/04/19
11263	CC Rabobank B.A.	Ch Ship Cont European Warrants Oct 2011	0.320	3.50 per unit	2011/10/24	100,000,000	2011/04/19
11264	CC Rabobank B.A.	HKEx European Warrants Mar 2012	0.150	220 per 100 units	2012/03/19	200,000,000	2011/04/19
11265	CC Rabobank B.A.	KECL European Warrants Oct 2012	0.250	15.300	2012/10/30	200,000,000	2011/04/19
11281	Standard Chartered Bank	Agricultural Bk European Wts Oct11	0.400	4.38 per unit	2011/10/28	100,000,000	2011/04/19
11284	Standard Chartered Bank	BOCL European Warrants Dec 2011	0.370	4.28 per unit	2011/12/01	100,000,000	2011/04/19
11283	Standard Chartered Bank	China Life European Wts Aug 2011 C	0.150	30.050	2011/08/01	100,000,000	2011/04/19
11280	Standard Chartered Bank	China Mobile Euro Wts Sep 2011 B	0.250	75.000	2011/09/27	100,000,000	2011/04/19
11274	Standard Chartered Bank	China Shenhua Euro Wts Oct 2011	0.360	38.880	2011/10/31	100,000,000	2011/04/19
11273	Standard Chartered Bank	HSBC European Put Warrants Dec 11	0.250	70.000	2011/12/01	100,000,000	2011/04/19
11279	Standard Chartered Bank	Hutchison Euro Euro Wts Feb 2012	0.250	72.88 per 100 units	2012/02/01	200,000,000	2011/04/19
11282	Standard Chartered Bank	ICBC European Warrants Sep 2011 B	0.310	6.88 per unit	2011/09/30	100,000,000	2011/04/19
11276	UBS AG	HKEx European Warrants Dec 2011 B	0.150	200.10 per 100 units	2011/12/05	300,000,000	2011/04/19
11311	Credit Suisse AG	Li & Fung European Warrants Sep 2011	0.150	46.200	2011/09/05	200,000,000	2011/04/20
11312	Credit Suisse AG	Tencent Holdings European Wts Jul 2011 D	0.150	200 per 100 units	2011/07/21	300,000,000	2011/04/20
11322	Citigroup Global Mkt H Inc.	Ch LongYuan European Wts Oct12	0.250	7.980	2012/10/03	80,000,000	2011/04/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11321	Citigroup Global Mkt H Inc.	China Life Euro Wts Sep 2011 B	0.240	28.888	2011/09/02	80,000,000	2011/04/20
11323	Citigroup Global Mkt H Inc.	GCL-Poly Energy Euro Wt Oct 11	0.250	5.38 per unit	2011/10/20	80,000,000	2011/04/20
11324	Citigroup Global Mkt H Inc.	HS Bank European Wts Dec 2012	0.150	123.08 per 100 units	2012/12/27	80,000,000	2011/04/20
11329	Citigroup Global Mkt H Inc.	HSI European Warrants Jul 2011	0.150	24,100 per 8,000 units	2011/07/28	80,000,000	2011/04/20
11330	Citigroup Global Mkt H Inc.	HSI European Warrants Sep 2011	0.150	24,900 per 8,000 units	2011/09/29	80,000,000	2011/04/20
11333	Citigroup Global Mkt H Inc.	HSI European Put Wts Jul 2011	0.150	23,700 per 8,000 units	2011/07/28	80,000,000	2011/04/20
11331	Citigroup Global Mkt H Inc.	HSI European Put Wts Aug 2011	0.150	23,100 per 8,000 units	2011/08/30	80,000,000	2011/04/20
11325	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Oct 2011 B	0.250	103.880	2011/10/20	80,000,000	2011/04/20
11304	HK Bank	Angang Steel European Warrants Aug 2012	0.250	13.380	2012/08/23	80,000,000	2011/04/20
11305	HK Bank	Ch Ship Cont European Warrants Sep 2011	0.150	3.99 per unit	2011/09/27	80,000,000	2011/04/20
11306	HK Bank	HKEx European Warrants Sep 2011	0.150	185.10 per 100 units	2011/09/26	150,000,000	2011/04/20
11313	J P Morgan SP BV	Belle Int'l European Warrants Sep 2011	0.150	18.800	2011/09/30	150,000,000	2011/04/20
11314	J P Morgan SP BV	China Life European Warrants Oct 2011 B	0.250	31.000	2011/10/20	300,000,000	2011/04/20
11315	J P Morgan SP BV	CNBM European Warrants Oct 2011	0.279	35.000	2011/10/31	200,000,000	2011/04/20
11332	J P Morgan SP BV	HSBC European Warrants Mar 2016	0.250	78 per 100 units	2016/03/30	300,000,000	2011/04/20
11316	J P Morgan SP BV	Li & Fung European Warrants Oct 2011	0.250	45.000	2011/10/28	80,000,000	2011/04/20
11317	J P Morgan SP BV	Renhe Comm European Warrants Mar 2012	0.250	1.70 per unit	2012/03/15	100,000,000	2011/04/20
11318	Macquarie Bank Ltd.	China Unicom European Put Wts May 2012	0.250	13.000	2012/05/30	300,000,000	2011/04/20
11326	Macquarie Bank Ltd.	CNBM European Warrants Oct 2011 B	0.252	32.000	2011/10/20	150,000,000	2011/04/20
11291	Macquarie Bank Ltd.	CRCC European Warrants Nov 2011	0.250	8.88 per unit	2011/11/02	200,000,000	2011/04/20
11288	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Dec 2011	0.250	65.000	2011/12/29	200,000,000	2011/04/20
11290	Macquarie Bank Ltd.	Huaneng Power European Wts Nov 2011	0.250	4.88 per unit	2011/11/02	150,000,000	2011/04/20
11289	Macquarie Bank Ltd.	Want Want China European Warrants Nov 2011	0.250	7.88 per unit	2011/11/02	88,000,000	2011/04/20
11303	Standard Chartered Bank	CTel European Warrants Oct 2011	0.600	4.80 per unit	2011/10/31	100,000,000	2011/04/20
11302	Standard Chartered Bank	Sinopec Corp European Wts Aug 11B	0.480	8.80 per unit	2011/08/31	100,000,000	2011/04/20
11296	Standard Chartered Bank	HSBC European Warrants Oct 2011	0.900	77.880	2011/10/24	100,000,000	2011/04/20
11298	Standard Chartered Bank	HSBC European Warrants Jun 2012	0.250	60 per 100 units	2012/06/01	100,000,000	2011/04/20
11297	Standard Chartered Bank	HSBC European Warrants Nov 2011 B	0.300	94.680	2011/11/30	100,000,000	2011/04/20
11299	Standard Chartered Bank	Hutchison Euro Euro Wts Sep 2011	0.600	100.000	2011/09/27	100,000,000	2011/04/20
11301	Standard Chartered Bank	Jiangxi Copper Euro Wts Nov 2011	0.250	28.280	2011/11/01	100,000,000	2011/04/20
11300	Standard Chartered Bank	MTRC European Warrants Nov 2011	0.250	28.880	2011/11/01	100,000,000	2011/04/20
11292	SGA Societe Generale Acceptance N.V.	Agile Ppt Eu Wts Nov11	0.150	12.480	2011/11/23	200,000,000	2011/04/20
11293	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Nov 2012	0.150	8.500	2012/11/26	250,000,000	2011/04/20
11294	SGA Societe Generale Acceptance NV	HuanengPower Eu Wt Oct 11	0.250	4.88 per unit	2011/10/24	50,000,000	2011/04/20
11295	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2011 B	0.710	94.800	2011/09/21	150,000,000	2011/04/20
11319	UBS AG	China Shenhua European Warrants Oct 2011	0.200	40.050	2011/10/31	100,000,000	2011/04/20
11320	UBS AG	A50 China European Warrants Jul 2012	0.150	15.920	2012/07/25	200,000,000	2011/04/20
11307	UBS AG	HSBC European Warrants Jul 2011 C	0.180	87.550	2011/07/25	200,000,000	2011/04/20
11310	UBS AG	HSI European Warrants Oct 2011	0.250	25,500 per 8,000 units	2011/10/28	300,000,000	2011/04/20
11308	UBS AG	Tencent Holdings European Warrants Aug 2011	0.220	178.10 per 100 units	2011/08/23	200,000,000	2011/04/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11309	UBS AG	Tencent Holdings European Warrants Oct 2011 C	0.150	208.20 per 100 units	2011/10/03	200,000,000	2011/04/20
27776*	J P Morgan SP BV	China Mob European Wts Jun 2011 B	0.065	78.000	2011/06/29	200,000,000	2011/04/20
23753*	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jun 2011 B	0.056	13.880	2011/06/23	200,000,000	2011/04/20
26155*	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2011 B	0.052	198.89 per 100 units	2011/07/20	200,000,000	2011/04/20
28604*	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2011 B	0.116	184.99 per 100 units	2011/08/29	100,000,000	2011/04/20
11342	BOCI Asia Ltd.	HSI European Warrants Jan 2012	0.250	25,000 per 8,000 units	2012/01/30	100,000,000	2011/04/21
11346	BOCI Asia Ltd.	HSI European Put Warrants Jan 2012	0.250	23,000 per 8,000 units	2012/01/30	100,000,000	2011/04/21
11367	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts Jan 2012	0.250	15.180	2012/01/05	80,000,000	2011/04/21
11366	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Dec 2012	0.150	148.88 per 100 units	2012/12/20	80,000,000	2011/04/21
11368	Citigroup Global Mkt H Inc.	HSBC European Wts Aug 2011	0.220	89.888	2011/08/08	80,000,000	2011/04/21
11364	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Oct 2011	0.350	12.88 per unit	2011/10/24	80,000,000	2011/04/21
11365	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Dec 2012	0.170	12.018	2012/12/19	80,000,000	2011/04/21
11361	Bank of East Asia	China Tel European Warrants Nov 2011	0.250	6 per unit	2011/11/23	50,000,000	2011/04/21
11363	Bank of East Asia	Ch Comm Cons European Warrants Apr 2013	0.250	6.180	2013/04/23	250,000,000	2011/04/21
11360	Bank of East Asia	Sinopec Corp European Warrants Nov 2011	0.250	10.386 per unit	2011/11/23	45,000,000	2011/04/21
11362	Bank of East Asia	Shenhua European Warrants Nov 2011	0.250	41.088	2011/11/23	60,000,000	2011/04/21
11340	HK Bank	China Unicom European Warrants May 2012	0.250	17.380	2012/05/11	100,000,000	2011/04/21
11341	HK Bank	Lonking European Warrants Dec 2011	0.270	6.88 per unit	2011/12/20	80,000,000	2011/04/21
11350	J P Morgan SP BV	China Life European Put Warrants Oct 2011	0.250	28.880	2011/10/21	150,000,000	2011/04/21
11351	J P Morgan SP BV	Hutchison European Warrants Aug 2011	0.335	98.880	2011/08/23	100,000,000	2011/04/21
11354	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Oct 2011	0.560	138.980	2011/10/03	80,000,000	2011/04/21
11355	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Nov 2011 B	0.360	150.000	2011/11/01	80,000,000	2011/04/21
11356	KBC Fin Products Int'l Ltd.	CR Cement Euro Wts Nov 2011	0.510	9.88 per unit	2011/11/10	58,000,000	2011/04/21
11357	KBC Fin Products Int'l Ltd.	Henderson Land Dev Eu Wt Sep11B	0.320	58.000	2011/09/07	58,000,000	2011/04/21
11353	KBC Fin Products Int'l Ltd.	Huaneng Power Eur Wts Mar 2012	0.250	4.98 per unit	2012/03/08	58,000,000	2011/04/21
11359	KBC Fin Products Int'l Ltd.	HuanengPower Euro Put Wt Mar 12	0.440	4.28 per unit	2012/03/08	58,000,000	2011/04/21
11358	KBC Fin Products Int'l Ltd.	New World Euro Put Wt Dec 2011	0.250	14.480	2011/12/12	58,000,000	2011/04/21
11344	Macquarie Bank Ltd.	Evergrande European Warrants Oct 2011	0.479	6.28 per unit	2011/10/21	150,000,000	2011/04/21
11343	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Oct 2011	0.441	5.30 per unit	2011/10/24	250,000,000	2011/04/21
11337	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Oct 2011	0.250	19.990	2011/10/28	80,000,000	2011/04/21
11338	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Nov 2011	0.175	21.890	2011/11/29	80,000,000	2011/04/21
11339	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Jul 2012	0.224	23.890	2012/07/16	80,000,000	2011/04/21
11334	Merrill Lynch Int'l & Co. C.V.	China Railway Eu Wt Oct 11B	0.285	4.99 per unit	2011/10/21	80,000,000	2011/04/21
11335	Merrill Lynch Int'l & Co. C.V.	China Railway Eu Wt Nov 11	0.255	5.49 per unit	2011/11/01	80,000,000	2011/04/21
11336	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eur Wts Oct 2011	0.255	39.990	2011/10/21	80,000,000	2011/04/21
11345	CC Rabobank B.A.	Belle Int'l European Warrants Jan 2012	0.250	17.800	2012/01/30	100,000,000	2011/04/21
11347	CC Rabobank B.A.	Maanshan Iron European Wts Oct 2011	0.250	5.20 per unit	2011/10/21	100,000,000	2011/04/21
11348	CC Rabobank B.A.	SJM Holdings European Warrants Dec 2011	0.250	20.000	2011/12/29	100,000,000	2011/04/21
11349	CC Rabobank B.A.	Yanzhou Coal European Warrants Oct 2011	0.250	34.880	2011/10/28	150,000,000	2011/04/21
11370	UBS AG	Agricultural Bank European Warrants Jul 2011 D	0.150	5.29 per unit	2011/07/26	200,000,000	2011/04/21
11369	UBS AG	HSBC European Warrants Sep 2011 D	0.150	91.930	2011/09/19	300,000,000	2011/04/21
11385	BOCI Asia Ltd.	HS Bank European Warrants Oct 2011	0.220	138.880	2011/10/04	100,000,000	2011/04/26

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11386	BOCI Asia Ltd.	HSBC European Warrants Sep 2011	0.180	94.880	2011/09/30	100,000,000	2011/04/26
11387	BOCI Asia Ltd.	Hutchison European Warrants Aug 2011	0.220	108.880	2011/08/15	100,000,000	2011/04/26
11394	Citigroup Global Mkt H Inc.	CC Bank European Wts Aug 2011	0.150	8.188 per unit	2011/08/25	80,000,000	2011/04/26
11395	Citigroup Global Mkt H Inc.	CC Bank European Wts Oct 2011	0.250	7.88 per unit	2011/10/31	80,000,000	2011/04/26
11397	Citigroup Global Mkt H Inc.	CNOOC European Wts Jan 2012	0.150	23.888	2012/01/18	80,000,000	2011/04/26
11393	Citigroup Global Mkt H Inc.	Cathay Pacific Eur Wt Oct 11B	0.150	20.018	2011/10/24	80,000,000	2011/04/26
11396	Citigroup Global Mkt H Inc.	China Shenhua Eur Wts Oct 2011	0.250	41.880	2011/10/31	80,000,000	2011/04/26
11388	HK Bank	Agricultural Bank European Warrants Oct 2011	0.250	4.99 per unit	2011/10/07	120,000,000	2011/04/26
11389	HK Bank	China Life European Warrants Oct 2011	0.150	31.800	2011/10/26	150,000,000	2011/04/26
11390	HK Bank	China Life European Warrants Dec 2011	0.250	33.380	2011/12/20	150,000,000	2011/04/26
11374	J P Morgan SP BV	Evergrande European Warrants Oct 2011	0.549	6.50 per unit	2011/10/26	100,000,000	2011/04/26
11375	Macquarie Bank Ltd.	Agricultural Bk European Warrants Oct11B	0.250	5.25 per unit	2011/10/26	150,000,000	2011/04/26
11378	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Euro Wts Dec 2011	0.244	12.990	2011/12/01	80,000,000	2011/04/26
11379	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Euro Wts Oct 2012	0.231	14.990	2012/10/02	80,000,000	2011/04/26
11376	Merrill Lynch Int'l & Co. C.V.	Ch Comm Cons Eu Wt Nov 11A	0.780	7.39 per unit	2011/11/21	80,000,000	2011/04/26
11377	Merrill Lynch Int'l & Co. C.V.	Ch Comm Cons Eu Wt Nov 11B	0.490	8.19 per unit	2011/11/23	80,000,000	2011/04/26
11382	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Sep 2011	0.151	13.590	2011/09/27	80,000,000	2011/04/26
11381	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Nov 2011	0.151	168.89 per 100 units	2011/11/01	80,000,000	2011/04/26
11383	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Dec 11B	0.151	15,000 per 6,000 units	2011/12/29	200,000,000	2011/04/26
11384	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Oct 2011	0.177	13,200 per 6,000 units	2011/10/28	200,000,000	2011/04/26
11380	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Mar 2012	0.152	88.89 per 100 units	2012/03/21	80,000,000	2011/04/26
11371	SGA Societe Generale Acceptance N.V.	CPIC Euro Wts Oct 11	0.390	34.180	2011/10/26	100,000,000	2011/04/26
11372	SGA Societe Generale Acceptance N.V.	Evergrande Eu Wt Oct11B	0.720	5.88 per unit	2011/10/26	80,000,000	2011/04/26
11373	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Oct 11	0.250	17.000	2011/10/26	200,000,000	2011/04/26
11391	UBS AG	CC Bank European Warrants Sep 2011 B	0.150	7.89 per unit	2011/09/26	200,000,000	2011/04/26
11392	UBS AG	HKEx European Warrants Sep 2011	0.150	185.10 per 100 units	2011/09/26	300,000,000	2011/04/26
28187*	Macquarie Bank Ltd.	Sh Petrochem European Wts Jul 2011	0.056	5.38 per unit	2011/07/13	200,000,000	2011/04/26
11398	Barclays Bank plc	Agricultural Bank European Wts Nov 2011	0.250	5.40 per unit	2011/11/28	100,000,000	2011/04/27
11400	Barclays Bank plc	CC Bank European Warrants Nov 2011	0.250	8.28 per unit	2011/11/28	100,000,000	2011/04/27
11401	Barclays Bank plc	CNOOC European Warrants Jul 2012	0.181	23.880	2012/07/11	100,000,000	2011/04/27
11399	Barclays Bank plc	China Shenhua European Warrants Nov 2011	0.250	40.000	2011/11/21	100,000,000	2011/04/27
11402	Barclays Bank plc	PetroChina European Warrants Dec 2012	0.179	12.000	2012/12/28	100,000,000	2011/04/27
11415	Citigroup Global Mkt H Inc.	Agricultural Bk Eu Wt Oct 2011	0.250	5.18 per unit	2011/10/31	80,000,000	2011/04/27
11413	Citigroup Global Mkt H Inc.	AIA European Warrants Dec 2011	0.250	27.580	2011/12/05	80,000,000	2011/04/27
11420	Citigroup Global Mkt H Inc.	BOCL European Wts Oct 2011	0.150	4.89 per unit	2011/10/10	80,000,000	2011/04/27
11414	Citigroup Global Mkt H Inc.	BYD European Warrants Oct 2011	0.250	33.880	2011/10/31	80,000,000	2011/04/27
11419	Citigroup Global Mkt H Inc.	China Coal European Wts Feb 12	0.150	10.890	2012/02/23	80,000,000	2011/04/27
11417	Citigroup Global Mkt H Inc.	CPIC European Warrants Oct 11	0.250	38.88 per unit	2011/10/31	80,000,000	2011/04/27
11412	Citigroup Global Mkt H Inc.	China Shenhua Eur Wts Oct 11A	0.250	38.000	2011/10/31	80,000,000	2011/04/27
11410	Citigroup Global Mkt H Inc.	HKEx European Wts Nov 2011	0.150	185.08 per 100 units	2011/11/02	80,000,000	2011/04/27
11411	Citigroup Global Mkt H Inc.	HKEx European Put Wts Oct 2011	0.150	168.88 per 100 units	2011/10/26	80,000,000	2011/04/27
11416	Citigroup Global Mkt H Inc.	ICBC European Wts Oct 2011	0.250	6.88 per unit	2011/10/31	80,000,000	2011/04/27
11409	Macquarie Bank Ltd.	AIA European Warrants Nov 2011	0.256	27.180	2011/11/18	250,000,000	2011/04/27

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11408	SGA Societe Generale Acceptance N.V.	AIA Euro Wt Sep 2011	0.150	27.000	2011/09/26	200,000,000	2011/04/27
11407	SGA Societe Generale Acceptance NV	Angang Steel Eu Wt May 12	0.250	10.680	2012/05/28	150,000,000	2011/04/27
11403	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct 11	0.480	8.08 per unit	2011/10/31	120,000,000	2011/04/27
11404	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Oct11	0.260	3.88 per unit	2011/10/31	80,000,000	2011/04/27
11405	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Oct 11A	0.250	61.580	2011/10/31	60,000,000	2011/04/27
11406	SGA Societe Generale Acceptance NV	Maanshan Iron Eu Wt Oct11	0.310	4.88 per unit	2011/10/31	50,000,000	2011/04/27
11418	UBS AG	AIA European Warrants Nov 2011	0.150	30.050	2011/11/28	100,000,000	2011/04/27
28222 <sup>†</sup>	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2011 B	0.051	77.990	2011/06/27	200,000,000	2011/04/27
28776 <sup>†</sup>	CC Rabobank B.A.	Tencent Holdings European Put Wts Aug 11	0.090	188.88 per 100 units	2011/08/22	400,000,000	2011/04/27
11425	BOCI Asia Ltd.	AIA European Warrants Sep 2011	0.150	27.800	2011/09/30	100,000,000	2011/04/28
11424	BOCI Asia Ltd.	Li & Fung European Warrants Oct 2011	0.180	48.880	2011/10/31	100,000,000	2011/04/28
11441	Citigroup Global Mkt H Inc.	Jiangxi Copper Euro Wts Oct 11	0.250	28.380	2011/10/31	80,000,000	2011/04/28
11440	Citigroup Global Mkt H Inc.	Nine Dragons Euro Wts Jun 2012	0.150	9.590	2012/06/26	80,000,000	2011/04/28
11442	Citigroup Global Mkt H Inc.	Ping An Euro Wts Oct 2011	0.400	93.880	2011/10/31	80,000,000	2011/04/28
11443	Citigroup Global Mkt H Inc.	Tencent European Put Wts Dec11	0.250	188.88 per 100 units	2011/12/30	80,000,000	2011/04/28
11429	HK Bank	AIA European Warrants Feb 2012	0.250	29.280	2012/02/22	150,000,000	2011/04/28
11427	HK Bank	China Mobile European Warrants Sep 2011	0.200	77.020	2011/09/19	150,000,000	2011/04/28
11428	HK Bank	CC Bank European Warrants Sep 2011	0.250	7.89 per unit	2011/09/26	120,000,000	2011/04/28
11426	HK Bank	GCL-Poly Energy European Warrants Dec 2011	0.250	6.08 per unit	2011/12/12	80,000,000	2011/04/28
11431	HK Bank	HSI European Warrants Oct 2011 D	0.250	23,200 per 9,000 units	2011/10/28	250,000,000	2011/04/28
11432	HK Bank	HSI European Warrants Oct 2011 E	0.250	25,000 per 8,000 units	2011/10/28	250,000,000	2011/04/28
11430	HK Bank	HSI European Put Warrants Oct 2011 C	0.250	23,300 per 8,800 units	2011/10/28	200,000,000	2011/04/28
11435	Macquarie Bank Ltd.	Air China European Warrants Apr 2012	0.250	8.880	2012/04/03	150,000,000	2011/04/28
11434	Macquarie Bank Ltd.	Avichina European Warrants Nov 2011	0.500	5.50 per unit	2011/11/03	150,000,000	2011/04/28
11433	Macquarie Bank Ltd.	Sh Petrochem European Wts Oct 2011	0.253	4.98 per unit	2011/10/27	150,000,000	2011/04/28
11423	CC Rabobank B.A.	Agile Ppt European Warrants Jan 2012	0.250	14.280	2012/01/30	100,000,000	2011/04/28
11422	CC Rabobank B.A.	Anta Sports European Warrants Sep 2011	0.150	13.000	2011/09/22	100,000,000	2011/04/28
11421	CC Rabobank B.A.	Jiangxi Copper European Warrants Sep 2011	0.150	28.880	2011/09/27	200,000,000	2011/04/28
11436	UBS AG	CNOOC European Warrants Oct 2011 C	0.150	19.900	2011/10/31	200,000,000	2011/04/28
11439	UBS AG	HSI European Warrants Aug 2011 D	0.150	23,500 per 8,000 units	2011/08/30	300,000,000	2011/04/28
11438	UBS AG	HSI European Put Warrants Aug 2011 C	0.150	22,900 per 8,000 units	2011/08/30	300,000,000	2011/04/28
11437	UBS AG	HSI European Put Warrants Sep 2011 A	0.150	22,200 per 8,000 units	2011/09/29	300,000,000	2011/04/28
27921 <sup>†</sup>	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Jun 11G	0.052	20.390	2011/06/09	200,000,000	2011/04/28
11493	BOCI Asia Ltd.	BYD European Warrants Oct 2011	0.170	35.000	2011/10/07	100,000,000	2011/04/29
11491	BOCI Asia Ltd.	Geely Auto European Warrants Oct 2011	0.250	4.20 per unit	2011/10/31	100,000,000	2011/04/29
11492	BOCI Asia Ltd.	Lenovo European Warrants Oct 2011	0.250	5.48 per unit	2011/10/31	100,000,000	2011/04/29
11490	BOCI Asia Ltd.	Tencent Holdings European Warrants Dec 2011	0.180	227 per 100 units	2011/12/23	200,000,000	2011/04/29
11507	BNP Paribas Arbit Issu B.V.	BYD European Warrants Dec 11A	0.250	36.880	2011/12/02	100,000,000	2011/04/29
11508	BNP Paribas Arbit Issu B.V.	CM Bank European Warrants Feb 12	0.250	22.850	2012/02/02	100,000,000	2011/04/29
11506	BNP Paribas Arbit Issu B.V.	SJM Holdings Euro Wts Jan 2012	0.250	19.280	2012/01/03	100,000,000	2011/04/29
11503	Citigroup Global Mkt H Inc.	BBMG European Warrants Dec 11	0.150	14.020	2011/12/19	80,000,000	2011/04/29
11513	Citigroup Global Mkt H Inc.	Comba European Warrants Apr 12	0.250	10.880	2012/04/17	50,000,000	2011/04/29
11499	Citigroup Global Mkt H Inc.	Ch Railway Cons Eu Wts Oct 11	0.350	8.18 per unit	2011/10/31	50,000,000	2011/04/29



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11514	Citigroup Global Mkt H Inc.	Chineway Pharm Euro Wts Feb12	0.250	23.880	2012/02/06	50,000,000	2011/04/29
11512	Citigroup Global Mkt H Inc.	Ch Zhongwang Euro Wts Feb12	0.300	4.88 per unit	2012/02/06	80,000,000	2011/04/29
11501	Citigroup Global Mkt H Inc.	Galaxy Ent European Wts Dec11	0.250	14.880	2011/12/05	80,000,000	2011/04/29
11511	Citigroup Global Mkt H Inc.	KB Laminates Euro Wts Dec12	0.250	7.280	2012/12/24	80,000,000	2011/04/29
11515	Citigroup Global Mkt H Inc.	Kingdee Int'l Euro Wts Apr12	0.300	5.88 per unit	2012/04/17	80,000,000	2011/04/29
11498	Citigroup Global Mkt H Inc.	Li & Fung European Wts Oct 11	0.250	45.880	2011/10/31	80,000,000	2011/04/29
11500	Citigroup Global Mkt H Inc.	Zijin Mining Euro Wts Oct 2011	0.350	7.08 per unit	2011/10/31	50,000,000	2011/04/29
11455	HK Bank	HSI European Warrants Aug 2011	0.150	23,500 per 8,000 units	2011/08/30	280,000,000	2011/04/29
11485	J P Morgan SP BV	BEA European Warrants Oct 2011	0.250	35.000	2011/10/31	80,000,000	2011/04/29
11489	J P Morgan SP BV	Ch Railway Cons European Warrants Dec 13	0.250	7.280	2013/12/30	200,000,000	2011/04/29
11488	J P Morgan SP BV	Ch Southern Air European Wts Oct 2011	0.250	5 per unit	2011/10/31	80,000,000	2011/04/29
11487	J P Morgan SP BV	Henderson Land Dev European Wts Oct 2011	0.250	60.000	2011/10/31	80,000,000	2011/04/29
11486	J P Morgan SP BV	Ping An European Warrants Oct 2011 A	0.480	95.000	2011/10/31	200,000,000	2011/04/29
11504	Macquarie Bank Ltd.	CC Bank European Warrants Nov 2011	0.251	8.25 per unit	2011/11/02	200,000,000	2011/04/29
11505	Macquarie Bank Ltd.	CC Bank European Put Warrants Nov 2011	0.351	6.50 per unit	2011/11/02	200,000,000	2011/04/29
11454	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2011	0.250	23,500 per 10,000 units	2011/12/29	300,000,000	2011/04/29
11444	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Sep 2011 A	0.230	27.990	2011/09/27	80,000,000	2011/04/29
11445	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Nov 2011	0.320	29.990	2011/11/14	80,000,000	2011/04/29
11446	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Wts Sep 2011	0.155	21.190	2011/09/19	80,000,000	2011/04/29
11447	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Wts Dec 2011	0.152	23.090	2011/12/19	80,000,000	2011/04/29
11453	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Nov 2011 A	0.151	13.190	2011/11/07	80,000,000	2011/04/29
11448	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2011 C	0.199	164.99 per 100 units	2011/08/16	80,000,000	2011/04/29
11451	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Oct 2011	0.305	85.890	2011/10/10	80,000,000	2011/04/29
11449	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2011 A	0.375	82.890	2011/09/19	80,000,000	2011/04/29
11450	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2011 B	0.190	88.890	2011/09/05	80,000,000	2011/04/29
11452	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Mar 12A	0.154	78.89 per 100 units	2012/03/19	80,000,000	2011/04/29
11476	Nomura Int'l plc	Alibaba European Warrants Nov 2011	0.150	15.888	2011/11/21	100,000,000	2011/04/29
11482	Nomura Int'l plc	BOC HK European Warrants Dec 2011	0.156	25.200	2011/12/05	100,000,000	2011/04/29
11469	Nomura Int'l plc	China Telecom European Warrants Oct 2011	0.296	5.28 per unit	2011/10/31	80,000,000	2011/04/29
11470	Nomura Int'l plc	China Telecom European Warrants Dec 2011	0.256	5.68 per unit	2011/12/19	80,000,000	2011/04/29
11471	Nomura Int'l plc	China Unicom European Warrants May 2012	0.151	16.888	2012/05/25	200,000,000	2011/04/29
11477	Nomura Int'l plc	CC Bank European Warrants Oct 2011	0.288	8 per unit	2011/10/31	100,000,000	2011/04/29
11478	Nomura Int'l plc	CC Bank European Warrants Aug 2011 A	0.156	8.188 per unit	2011/08/25	100,000,000	2011/04/29
11483	Nomura Int'l plc	China Life European Warrants Oct 2011	0.255	29.180	2011/10/31	100,000,000	2011/04/29
11484	Nomura Int'l plc	China Life European Warrants Dec 2011	0.250	30.680	2011/12/30	100,000,000	2011/04/29
11474	Nomura Int'l plc	CNOOC European Warrants Jan 2012	0.150	23.880	2012/01/31	100,000,000	2011/04/29
11475	Nomura Int'l plc	CNOOC European Warrants Jun 2012	0.170	24.888	2012/06/11	100,000,000	2011/04/29
11480	Nomura Int'l plc	China Shenhua European Warrants Oct 2011	0.156	40.000	2011/10/24	100,000,000	2011/04/29
11479	Nomura Int'l plc	Dongfang Elec European Warrants Sep 2011	0.153	34.050	2011/09/26	80,000,000	2011/04/29
11468	Nomura Int'l plc	HKEx European Warrants Nov 2011 A	0.150	200.08 per 100 units	2011/11/30	100,000,000	2011/04/29
11467	Nomura Int'l plc	JIANG European Warrants Nov 2011	0.250	28.880	2011/11/30	100,000,000	2011/04/29

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11472	Nomura Int'l plc	PetroChina European Warrants Dec 2012	0.155	12.000	2012/12/31	100,000,000	2011/04/29
11473	Nomura Int'l plc	PetroChina European Warrants Feb 2013	0.150	13.000	2013/02/28	100,000,000	2011/04/29
11465	Nomura Int'l plc	SHK P European Warrants Dec 2012	0.175	122.88 per 100 units	2012/12/03	100,000,000	2011/04/29
11466	Nomura Int'l plc	SHK P European Warrants Dec 2012 A	0.150	135.08 per 100 units	2012/12/21	100,000,000	2011/04/29
11481	Nomura Int'l plc	Yanzhou Coal European Warrants Aug 2011	0.151	31.280	2011/08/25	100,000,000	2011/04/29
11464	CC Rabobank B.A.	AIA European Warrants Nov 2011	0.150	30.000	2011/11/28	200,000,000	2011/04/29
11495	CC Rabobank B.A.	BOCL European Warrants Dec 2011	0.150	5 per unit	2011/12/12	200,000,000	2011/04/29
11497	CC Rabobank B.A.	CC Bank European Warrants Oct 2011	0.250	8.20 per unit	2011/10/31	200,000,000	2011/04/29
11456	CC Rabobank B.A.	Minsheng Bank European Wts Sep 2011	0.240	8.39 per unit	2011/09/30	150,000,000	2011/04/29
11461	CC Rabobank B.A.	Ch Southern Air European Warrants Oct 11	0.400	5 per unit	2011/10/31	100,000,000	2011/04/29
11457	CC Rabobank B.A.	Dongfeng Motor European Warrants Oct 2011	0.150	15.000	2011/10/31	150,000,000	2011/04/29
11496	CC Rabobank B.A.	Galaxy Ent European Warrants Oct 2011	0.250	16.400	2011/10/31	100,000,000	2011/04/29
11462	CC Rabobank B.A.	Gome European Warrants Oct 2011	0.200	3.28 per unit	2011/10/04	100,000,000	2011/04/29
11463	CC Rabobank B.A.	Greatwall Motor European Wts Nov 2011	0.250	17.400	2011/11/29	120,000,000	2011/04/29
11494	CC Rabobank B.A.	ICBC European Warrants Oct 2011	0.200	7.38 per unit	2011/10/10	200,000,000	2011/04/29
11458	CC Rabobank B.A.	PetroChina European Warrants Oct 2011	0.550	12.28 per unit	2011/10/17	100,000,000	2011/04/29
11459	CC Rabobank B.A.	Ping An European Warrants Nov 2011	0.450	94.880	2011/11/14	100,000,000	2011/04/29
11502	CC Rabobank B.A.	Westchinacement European Warrants Dec 11	0.400	4.28 per unit	2011/12/19	80,000,000	2011/04/29
11460	CC Rabobank B.A.	ZTE European Warrants Oct 2011	0.250	32.000	2011/10/31	120,000,000	2011/04/29
11510	RB of Scotland N.V.	BYD European Warrants Sep 2011 A	0.151	33.930	2011/09/05	100,000,000	2011/04/29
11509	RB of Scotland N.V.	China Mobile European Wts Oct 2011 C	0.151	78.050	2011/10/21	200,000,000	2011/04/29
10104	Goldman Sachs SP (Asia)	N225 Index Euro Wts Sep 2011 B	0.034	11,000 per 400 units	2011/09/09	100,000,000	2011/04/29
10105	Goldman Sachs SP (Asia)	N225 Index Euro Wts Dec 2011 B	0.032	12,000 per 350 units	2011/12/09	100,000,000	2011/04/29
10106	Goldman Sachs SP (Asia)	N225 Index Euro Put Wts Sep 2011 A	0.216	10,000 per 350 units	2011/09/09	100,000,000	2011/04/29
10107	Goldman Sachs SP (Asia)	N225 Index Euro Put Wts Dec 2011 A	0.180	9,000 per 300 units	2011/12/09	100,000,000	2011/04/29
27300*	Merrill Lynch Int'l & Co. C.V.	HSI European Warrants Jun 11A	0.048	24,700 per 8,000 units	2011/06/29	300,000,000	2011/04/29
11522	BNP Paribas Arbit Issu B.V.	China Unicom Euro Wt Oct12A	0.250	18.000	2012/10/03	100,000,000	2011/05/03
11520	BNP Paribas Arbit Issu B.V.	Evergrande European Wts Dec 11	0.370	7.20 per unit	2011/12/02	100,000,000	2011/05/03
11530	BNP Paribas Arbit Issu B.V.	ICBC European Wts Dec 2011 A	0.250	8.38 per unit	2011/12/02	100,000,000	2011/05/03
11523	BNP Paribas Arbit Issu B.V.	Tsingtao Brew Euro Wts Dec11	0.350	56.000	2011/12/02	29,000,000	2011/05/03
11521	BNP Paribas Arbit Issu B.V.	Xinyi Glass European Wts Jul12	0.250	11.000	2012/07/03	100,000,000	2011/05/03
11526	Citigroup Global Mkt H Inc.	AAC Acoustic European Wt Feb12	0.250	25.880	2012/02/06	80,000,000	2011/05/03
11528	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts May 2012	0.200	17.400	2012/05/04	80,000,000	2011/05/03
11527	Citigroup Global Mkt H Inc.	Tencent European Wts Sep11A	0.150	258.18 per 100 units	2011/09/26	80,000,000	2011/05/03
11519	J P Morgan SP BV	Angang Steel European Warrants May 2012	0.150	12.880	2012/05/28	200,000,000	2011/05/03
11518	J P Morgan SP BV	BOC HK European Warrants Nov 2011	0.151	26.500	2011/11/11	200,000,000	2011/05/03
11517	J P Morgan SP BV	China Unicom European Warrants Dec 2011	0.250	16.280	2011/12/29	200,000,000	2011/05/03
11524	J P Morgan SP BV	China Coal European Warrants Feb 2012	0.150	10.880	2012/02/23	200,000,000	2011/05/03
11529	J P Morgan SP BV	Tencent European Put Warrants Sep 2011 A	0.223	215 per 100 units	2011/09/12	200,000,000	2011/05/03
11516	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Sep 11C	0.170	228 per 100 units	2011/09/07	200,000,000	2011/05/03
11525	UBS AG	CPIC European Warrants Nov 2011	0.250	39.380	2011/11/08	200,000,000	2011/05/03

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28984#	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jul 2011	0.020	31.790	2011/07/04	500,000,000	2011/05/03
23354#	UBS AG	A50 China European Warrants Aug 2011 A	0.065	14.080	2011/08/29	300,000,000	2011/05/03
11556	BNP Paribas Arbit Issu B.V.	Brilliance Chi Euro Wt Apr12	0.250	9.300	2012/04/03	100,000,000	2011/05/04
11554	BNP Paribas Arbit Issu B.V.	China Liansu European Wt Oct12	0.250	8.000	2012/10/04	100,000,000	2011/05/04
11557	BNP Paribas Arbit Issu B.V.	CQRC Bank European Wts Sep13	0.250	5.770	2013/09/03	100,000,000	2011/05/04
11555	BNP Paribas Arbit Issu B.V.	Zoomlion European Wts Dec11	0.250	26.600	2011/12/02	100,000,000	2011/05/04
10108	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Dec 11C	0.250	1,450 per 3,000 units	2011/12/06	200,000,000	2011/05/04
10110	BNP Paribas Arbit Issu B.V.	Oil Futures European Wt Nov11D	0.250	130 per 300 units	2011/11/16	200,000,000	2011/05/04
10109	BNP Paribas Arbit Issu B.V.	Oil Futures European Wt May12C	0.250	145 per 300 units	2012/05/17	200,000,000	2011/05/04
11553	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Oct 11C	0.170	5,288 per unit	2011/10/12	168,000,000	2011/05/04
11549	KBC Fin Products Int'l Ltd.	AIA European Put Warrants Nov11	0.250	25.000	2011/11/04	108,000,000	2011/05/04
11550	KBC Fin Products Int'l Ltd.	AIA European Warrants Dec 11A	0.150	30.000	2011/12/01	198,000,000	2011/05/04
11547	Macquarie Bank Ltd.	SJM Holdings European Warrants Jan 12	0.253	20.880	2012/01/03	200,000,000	2011/05/04
11546	Macquarie Bank Ltd.	Wynn Macau European Warrants Nov 2011	0.261	31.000	2011/11/04	200,000,000	2011/05/04
11534	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Aug 2011	0.151	5.29 per unit	2011/08/09	80,000,000	2011/05/04
11535	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Oct 11A	0.237	5.09 per unit	2011/10/10	80,000,000	2011/05/04
11536	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Put Wts Sep 11A	0.155	4.09 per unit	2011/09/19	80,000,000	2011/05/04
11537	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Sep11	0.166	26.990	2011/09/22	80,000,000	2011/05/04
11538	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Nov11	0.150	29.990	2011/11/28	80,000,000	2011/05/04
11532	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Jul12	0.250	31.390	2012/07/23	80,000,000	2011/05/04
11533	Merrill Lynch Int'l & Co. C.V.	AIA European Put Wts Nov11	0.250	25.990	2011/11/28	80,000,000	2011/05/04
11539	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Put Wts Nov11	0.250	20.990	2011/11/07	80,000,000	2011/05/04
11543	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Nov 2011	0.450	8.88 per unit	2011/11/07	200,000,000	2011/05/04
11544	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Nov 11A	0.250	9.88 per unit	2011/11/07	200,000,000	2011/05/04
11545	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Nov 11B	0.150	11.88 per unit	2011/11/28	200,000,000	2011/05/04
11541	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Aug 2012	0.200	193.99 per 100 units	2012/08/06	500,000,000	2011/05/04
11540	SGA Societe Generale Acceptance N.V.	JIANC Eu Wts Nov 2011	0.250	30.880	2011/11/07	200,000,000	2011/05/04
11548	SGA Societe Generale Acceptance N.V.	KECL Euro Wts Feb 12	0.250	14.480	2012/02/29	200,000,000	2011/05/04
11542	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Dec 2012	0.170	12.020	2012/12/19	500,000,000	2011/05/04
11552	UBS AG	AIA European Warrants Sep 2011 A	0.150	27.850	2011/09/30	200,000,000	2011/05/04
11551	UBS AG	China Life European Warrants Sep 2011 C	0.150	30.930	2011/09/19	300,000,000	2011/05/04
10112	BNP Paribas Arbit Issu B.V.	EUR/USD European Warrants Dec11	0.250	1.55 per 1 units	2011/12/12	200,000,000	2011/05/05
10111	BNP Paribas Arbit Issu B.V.	EUR/USD European Put Wts Dec11	0.250	1.30 per 1 units	2011/12/12	200,000,000	2011/05/05
11567	BNP Paribas Arbit Issu B.V.	HSI European Wts Dec 2013	0.250	27,000 per 10,000 units	2013/12/30	200,000,000	2011/05/05
11565	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts May 2013	0.260	23,800 per 15,000 units	2013/05/30	200,000,000	2011/05/05
11569	BNP Paribas Arbit Issu B.V.	HSI European Wts Sep 2011 D	0.150	23,800 per 8,000 units	2011/09/29	200,000,000	2011/05/05
11568	BNP Paribas Arbit Issu B.V.	HSI European Wts Oct 2011 A	0.220	22,800 per 8,000 units	2011/10/28	200,000,000	2011/05/05
11566	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Sep 2011 C	0.150	23,200 per 8,000 units	2011/09/29	200,000,000	2011/05/05
10114	BNP Paribas Arbit Issu B.V.	USD/YEN European Warrants Dec11	0.250	86 per 1 units	2011/12/12	200,000,000	2011/05/05
10113	BNP Paribas Arbit Issu B.V.	USD/YEN European Put Wts Dec11	0.250	76 per 1 units	2011/12/12	200,000,000	2011/05/05
11561	Macquarie Bank Ltd.	CQRC Bank European Warrants Nov 2011	0.258	6.50 per unit	2011/11/07	200,000,000	2011/05/05
11560	Macquarie Bank Ltd.	Zoomlion European Warrants Nov 2011	0.252	25.880	2011/11/07	200,000,000	2011/05/05
11559	Macquarie Bank Ltd.	Hutchison European Put Wts Nov 2011	0.332	78.000	2011/11/07	200,000,000	2011/05/05

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11558	Macquarie Bank Ltd.	China Mengniu European Wts Dec 2011	0.250	25.000	2011/12/02	200,000,000	2011/05/05
11562	UBS AG	AIA European Warrants Nov 2011 A	0.250	25.880	2011/11/08	200,000,000	2011/05/05
11564	UBS AG	China Life European Warrants Sep 2011 D	0.170	28.050	2011/09/27	300,000,000	2011/05/05
11563	UBS AG	Tencent Holdings European Put Warrants Sep 2011	0.150	219.80 per 100 units	2011/09/27	100,000,000	2011/05/05
11604	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Oct 2011 A	0.180	28.900	2011/10/04	100,000,000	2011/05/06
11603	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Dec 2012	0.260	33.900	2012/12/04	100,000,000	2011/05/06
11602	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Nov 2011 C	0.150	21.400	2011/11/02	100,000,000	2011/05/06
11601	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Jan 2012 A	0.180	20.020	2012/01/03	100,000,000	2011/05/06
11597	BNP Paribas Arbit Issu B.V.	CRCC European Wts Dec 2013	0.250	7.420	2013/12/03	100,000,000	2011/05/06
11600	Macquarie Bank Ltd.	China Coal European Warrants Feb 2012	0.150	10.880	2012/02/28	300,000,000	2011/05/06
11586	Macquarie Bank Ltd.	CLP European Warrants Feb 2012	0.256	68.880	2012/02/02	200,000,000	2011/05/06
11587	Macquarie Bank Ltd.	Shanshui Cement European Wts Jun 2012	0.250	8.880	2012/06/27	200,000,000	2011/05/06
11588	Macquarie Bank Ltd.	Jiangxi Copper European Put Wts Dec 11	0.250	22.880	2011/12/29	300,000,000	2011/05/06
11585	Macquarie Bank Ltd.	Sands China European Warrants Dec 2011	0.250	24.000	2011/12/02	200,000,000	2011/05/06
11584	Macquarie Bank Ltd.	Goldwind European Warrants Dec 2011	0.252	13.000	2011/12/02	200,000,000	2011/05/06
11589	Merrill Lynch Int'l & Co. C.V.	CHALCO Euro Wts Nov 2011	0.430	8.49 per unit	2011/11/21	80,000,000	2011/05/06
11590	Merrill Lynch Int'l & Co. C.V.	CHALCO Euro Wts Nov 2012	0.151	7.790	2012/11/26	80,000,000	2011/05/06
11592	Merrill Lynch Int'l & Co. C.V.	China Coal Eur Wts Feb 2012	0.156	10.890	2012/02/23	80,000,000	2011/05/06
11575	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Sep 2011 C	0.150	180 per 100 units	2011/09/29	80,000,000	2011/05/06
11574	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2011 D	0.150	259.99 per 100 units	2011/09/30	80,000,000	2011/05/06
11573	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Oct 2011 C	0.151	247.99 per 100 units	2011/10/17	80,000,000	2011/05/06
11572	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Put Wts Sep11C	0.224	214.99 per 100 units	2011/09/26	80,000,000	2011/05/06
11571	Merrill Lynch Int'l & Co. C.V.	Yanzhou Coal Eu Wt Sep 2011	0.370	28.890	2011/09/26	80,000,000	2011/05/06
11570	Merrill Lynch Int'l & Co. C.V.	Yanzhou Coal Eu Wt Oct 2011	0.174	34.390	2011/10/10	80,000,000	2011/05/06
11591	Merrill Lynch Int'l & Co. C.V.	Zijin Mining Eu Wt Nov 2011	0.495	6.89 per unit	2011/11/07	80,000,000	2011/05/06
11593	Standard Chartered Bank	Zoomlion European Warrants Feb 12	0.260	26.800	2012/02/03	60,000,000	2011/05/06
11594	Standard Chartered Bank	SPDR Gold Trust Euro Wts Dec11	0.680	1,280 per 100 units	2011/12/30	50,000,000	2011/05/06
11581	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Aug 2011	0.230	5 per unit	2011/08/22	80,000,000	2011/05/06
11580	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Oct 2011	0.310	5 per unit	2011/10/24	80,000,000	2011/05/06
11579	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts Dec 11	0.180	15.180	2011/12/28	200,000,000	2011/05/06
11578	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts May 12	0.150	16.880	2012/05/28	200,000,000	2011/05/06
11595	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Nov 2011A	0.250	29.680	2011/11/23	300,000,000	2011/05/06
11596	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Nov 11A	0.150	13.180	2011/11/07	300,000,000	2011/05/06
11576	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2011	0.270	90.000	2011/11/16	300,000,000	2011/05/06
11577	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2011 A	0.360	87.500	2011/11/07	300,000,000	2011/05/06
11583	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 11	0.270	24,200 per 8,000 units	2011/11/29	300,000,000	2011/05/06
11582	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2011 A	0.150	24,800 per 8,000 units	2011/12/29	300,000,000	2011/05/06
11599	UBS AG	China Life European Warrants Sep 2011 E	0.260	25.550	2011/09/19	300,000,000	2011/05/06
11598	UBS AG	Hutchison European Warrants Nov 2011	0.300	90.880	2011/11/08	300,000,000	2011/05/06
11608	Barclays Bank plc	HSI European Warrants Dec 2011	0.251	25,800 per 8,000 units	2011/12/29	150,000,000	2011/05/09
11613	BNP Paribas Arbit Issu B.V.	Dongfeng Motor European Wt Aug12	0.250	14.880	2012/08/02	100,000,000	2011/05/09
11612	BNP Paribas Arbit Issu B.V.	Nine Dragons European Wt Apr13	0.250	9.880	2013/04/02	100,000,000	2011/05/09
11611	KBC Fin Products Int'l Ltd.	Angang Steel Euro Put Wt Jan12	0.250	10.880	2012/01/18	80,000,000	2011/05/09

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11605	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Sep 2011	0.151	7.48 per unit	2011/09/07	80,000,000	2011/05/09
11606	Merrill Lynch Int'l & Co. C.V.	SPA European Wts Nov 2011	0.330	139.990	2011/11/28	80,000,000	2011/05/09
11607	Merrill Lynch Int'l & Co. C.V.	SPA European Wts Mar 2012	0.151	122.89 per 100 units	2012/03/21	80,000,000	2011/05/09
11610	Standard Chartered Bank	China Coal European Warrants Dec11	0.178	10.200	2011/12/30	100,000,000	2011/05/09
11614	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012	0.150	88.88 per 100 units	2012/03/21	400,000,000	2011/05/09
11609	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2013	0.180	92 per 100 units	2013/07/29	400,000,000	2011/05/09
11615	UBS AG	A50 China European Warrants Jan 2012	0.150	12.910	2012/01/18	300,000,000	2011/05/09
11616	UBS AG	Hutchison European Warrants Jul 2013	0.150	92.04 per 100 units	2013/07/29	500,000,000	2011/05/09
28604 <sup>#</sup>	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2011 B	0.071	184.99 per 100 units	2011/08/29	200,000,000	2011/05/09
11620	HK Bank	Tencent European Warrants Sep 2011	0.150	258 per 100 units	2011/09/30	250,000,000	2011/05/11
11619	HK Bank	Tencent European Put Warrants Sep 2011	0.180	215 per 100 units	2011/09/16	180,000,000	2011/05/11
11621	Standard Chartered Bank	Anhui Conch European Wts Nov 2011	0.600	38.140	2011/11/28	100,000,000	2011/05/11
11622	Standard Chartered Bank	Anhui Conch European Wts Dec 2011	0.520	42.140	2011/12/30	100,000,000	2011/05/11
11623	Standard Chartered Bank	Evergrande European Wts Dec 2011	0.700	6.66 per unit	2011/12/30	40,000,000	2011/05/11
11624	UBS AG	A50 China European Warrants Nov 2011 A	0.150	13.220	2011/11/04	300,000,000	2011/05/11
11040 <sup>#</sup>	Credit Suisse AG	HSI European Warrants Jul 2011 C	0.058	24,100 per 8,000 units	2011/07/28	600,000,000	2011/05/11
11638	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Mar 2012	0.250	86.880	2012/03/02	100,000,000	2011/05/12
11640	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2012	0.250	32.650	2012/06/04	100,000,000	2011/05/12
11639	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Dec 2011 A	0.250	81.800	2011/12/02	100,000,000	2011/05/12
11657	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2011	0.150	94.880	2011/10/10	128,000,000	2011/05/12
11658	KBC Fin Products Int'l Ltd.	HSBC European Wts Nov 2011	0.360	86.880	2011/11/15	128,000,000	2011/05/12
11653	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Dec 2011	0.170	13,200 per 6,000 units	2011/12/29	100,000,000	2011/05/12
11650	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Mar 2012	0.250	12,600 per 6,000 units	2012/03/29	100,000,000	2011/05/12
11651	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Dec 2011	0.270	13,400 per 6,000 units	2011/12/29	100,000,000	2011/05/12
11652	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Dec 2011 A	0.200	12,800 per 6,000 units	2011/12/29	100,000,000	2011/05/12
11648	KBC Fin Products Int'l Ltd.	HSI European Wts Dec 2011	0.250	24,000 per 7,800 units	2011/12/29	200,000,000	2011/05/12
11659	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2011	0.310	24,000 per 8,000 units	2011/12/29	200,000,000	2011/05/12
11649	KBC Fin Products Int'l Ltd.	HSI European Wts Dec 2011 A	0.250	23,400 per 8,000 units	2011/12/29	200,000,000	2011/05/12
11654	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Nov 2011	0.250	98.000	2011/11/15	128,000,000	2011/05/12
11655	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Nov 2011 A	0.340	93.880	2011/11/15	128,000,000	2011/05/12
11656	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Nov 2011 B	0.510	88.800	2011/11/15	128,000,000	2011/05/12
11627	Nomura Int'l plc	Angang Steel European Warrants May 2012	0.150	10.680	2012/05/28	100,000,000	2011/05/12
11634	Nomura Int'l plc	BJ Ent Water European Warrants Nov 2011	0.250	2.88 per unit	2011/11/14	100,000,000	2011/05/12
11631	Nomura Int'l plc	BYD European Warrants Oct 2011	0.180	33.888	2011/10/31	100,000,000	2011/05/12
11628	Nomura Int'l plc	China Mobile European Warrants Sep 2011	0.151	74.680	2011/09/14	128,000,000	2011/05/12
11632	Nomura Int'l plc	China Coal European Warrants Feb 2012	0.151	10.280	2012/02/24	150,000,000	2011/05/12
11633	Nomura Int'l plc	Shineway Pharm European Warrants Nov 2011	0.252	22.880	2011/11/14	100,000,000	2011/05/12
11630	Nomura Int'l plc	Zoomlion European Warrants Nov 2011	0.250	26.880	2011/11/14	100,000,000	2011/05/12
11625	Nomura Int'l plc	Hutchison European Warrants Nov 2011	0.440	93.880	2011/11/30	100,000,000	2011/05/12
11629	Nomura Int'l plc	Lenovo European Warrants Nov 2011	0.250	4.88 per unit	2011/11/14	100,000,000	2011/05/12
11626	Nomura Int'l plc	Sh Petrochem European Warrants Sep 2011	0.151	5 per unit	2011/09/29	100,000,000	2011/05/12
11644	CC Rabobank B.A.	CC Bank European Warrants Sep 2011	0.151	7.69 per unit	2011/09/12	100,000,000	2011/05/12
11645	CC Rabobank B.A.	CC Bank European Warrants Oct 2011	0.151	8.01 per unit	2011/10/31	100,000,000	2011/05/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11646	RB of Scotland N.V.	China Life European Wts Oct 2011	0.151	28.050	2011/10/04	200,000,000	2011/05/12
11647	RB of Scotland N.V.	China Life European Wts Oct 2011 A	0.151	29.180	2011/10/31	200,000,000	2011/05/12
11635	Standard Chartered Bank	China Life Euro Put Wts Aug 2011	0.156	26.950	2011/08/29	100,000,000	2011/05/12
11636	Standard Chartered Bank	China Life Euro Put Wts Feb 2012	0.250	26.280	2012/02/28	100,000,000	2011/05/12
11637	Standard Chartered Bank	CNBM European Warrants Nov 2011	0.250	16.880	2011/11/30	100,000,000	2011/05/12
11641	UBS AG	China Life European Warrants Oct 2011 A	0.150	29.230	2011/10/24	300,000,000	2011/05/12
11643	UBS AG	China Mobile European Warrants Oct 2011 A	0.150	77.050	2011/10/10	200,000,000	2011/05/12
11642	UBS AG	ICBC European Warrants Oct 2011 A	0.150	6.89 per unit	2011/10/03	100,000,000	2011/05/12
11669	HK Bank	Sinopec Corp European Warrants Sep 2011	0.170	8.50 per unit	2011/09/28	100,000,000	2011/05/13
11670	HK Bank	Henderson Land Dev European Warrants Nov 2011	0.250	56.880	2011/11/14	100,000,000	2011/05/13
11673	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Nov 2011	0.250	78.880	2011/11/15	128,000,000	2011/05/13
11682	KBC Fin Products Int'l Ltd.	Ch Overseas Euro Wts Apr 2012	0.150	14.880	2012/04/02	108,000,000	2011/05/13
11681	KBC Fin Products Int'l Ltd.	China Overseas Eu Put Wt Nov11	0.150	14.480	2011/11/15	108,000,000	2011/05/13
11680	KBC Fin Products Int'l Ltd.	China Unicom Euro Wts Dec 2011	0.250	14.800	2011/12/01	80,000,000	2011/05/13
11678	KBC Fin Products Int'l Ltd.	Minsheng Bank Euro Wt Nov 2011	0.470	7.48 per unit	2011/11/15	58,000,000	2011/05/13
11677	KBC Fin Products Int'l Ltd.	Minsheng Bank Eu Put Wts Nov11	0.380	6.80 per unit	2011/11/15	58,000,000	2011/05/13
11674	KBC Fin Products Int'l Ltd.	CNOOC European Wts Dec 2011	0.250	18.680	2011/12/21	80,000,000	2011/05/13
11679	KBC Fin Products Int'l Ltd.	China Unicom Eur Put Wt Dec11A	0.250	15.880	2011/12/01	80,000,000	2011/05/13
11676	KBC Fin Products Int'l Ltd.	R&F Ppt European Wts Feb 2012	0.150	11.380	2012/02/01	68,000,000	2011/05/13
11675	KBC Fin Products Int'l Ltd.	HKEx European Warrants Dec 11	0.250	175.08 per 100 units	2011/12/15	198,000,000	2011/05/13
11668	Macquarie Bank Ltd.	CNOOC European Put Warrants Dec 2011	0.250	17.500	2011/12/29	300,000,000	2011/05/13
11672	Macquarie Bank Ltd.	A50 China European Put Wts May 2012	0.176	12.800	2012/05/22	200,000,000	2011/05/13
11671	Macquarie Bank Ltd.	Nine Dragons European Wts Jun 2012	0.150	9.580	2012/06/26	200,000,000	2011/05/13
11667	CC Rabobank B.A.	China COSCO European Warrants Nov 2011	0.700	7.50 per unit	2011/11/14	100,000,000	2011/05/13
11666	CC Rabobank B.A.	Zoomlion European Warrants Nov 2011	0.250	24.000	2011/11/14	100,000,000	2011/05/13
11663	CC Rabobank B.A.	Li & Fung European Warrants Oct 2011 C	0.250	40.180	2011/10/03	100,000,000	2011/05/13
11664	CC Rabobank B.A.	Realgold Mining European Warrants Mar 12	0.250	11.480	2012/03/26	100,000,000	2011/05/13
11665	CC Rabobank B.A.	Winsway European Warrants Nov 2011	0.500	4.80 per unit	2011/11/14	100,000,000	2011/05/13
11662	Standard Chartered Bank	Agricultural Bk European Wt Oct11A	0.154	5.08 per unit	2011/10/10	100,000,000	2011/05/13
11660	Standard Chartered Bank	CTel European Warrants Dec 2011	0.250	5.20 per unit	2011/12/01	100,000,000	2011/05/13
11661	Standard Chartered Bank	CC Bank European Warrants Sep 11A	0.330	7.28 per unit	2011/09/19	100,000,000	2011/05/13
11686	HK Bank	BYD European Warrants Nov 2011 A	0.250	32.000	2011/11/16	120,000,000	2011/05/16
11685	HK Bank	Minsheng Bank European Warrants Sep 2011	0.200	8.38 per unit	2011/09/30	100,000,000	2011/05/16
11688	HK Bank	Chi Taiping European Warrants Dec 2011	0.250	25.000	2011/12/01	100,000,000	2011/05/16
11687	HK Bank	Sinofert European Warrants Dec 2011	0.250	3.88 per unit	2011/12/01	80,000,000	2011/05/16
11692	KBC Fin Products Int'l Ltd.	AIA European Put Warrants Dec11	0.250	27.000	2011/12/01	108,000,000	2011/05/16
11683	Macquarie Bank Ltd.	China Railway European Wts Dec 2011	0.250	4.88 per unit	2011/12/02	100,000,000	2011/05/16
11690	RB of Scotland N.V.	HSCEI European Put Warrants Dec 2011	0.151	12,800 per 6,000 units	2011/12/29	200,000,000	2011/05/16
11689	RB of Scotland N.V.	HWL European Warrants Sep 2011	0.151	94.850	2011/09/19	200,000,000	2011/05/16
11684	Standard Chartered Bank	Sinopec Corp European Wts Nov 2011	0.290	8.13 per unit	2011/11/21	100,000,000	2011/05/16
11694	UBS AG	Cheung Kong European Warrants Dec 2012	0.150	140.09 per 100 units	2012/12/10	200,000,000	2011/05/16
11693	UBS AG	HSI European Warrants Sep 2011	0.150	23,200 per 7,000 units	2011/09/29	300,000,000	2011/05/16
11691	UBS AG	Jiangxi Copper European Warrants Sep 2011 A	0.150	28.900	2011/09/29	200,000,000	2011/05/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11695	UBS AG	Yanzhou Coal European Wts Oct 2011	0.150	31.200	2011/10/21	200,000,000	2011/05/16
11713	Macquarie Bank Ltd.	AIA European Warrants Dec 2011	0.153	30.000	2011/12/02	250,000,000	2011/05/17
11712	Macquarie Bank Ltd.	AIA European Put Warrants Feb 2012	0.250	25.500	2012/02/02	150,000,000	2011/05/17
11706	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Oct 2011 A	0.230	30.880	2011/10/10	250,000,000	2011/05/17
11701	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Nov 2011	0.250	78.000	2011/11/21	200,000,000	2011/05/17
11705	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2011 C	0.320	7.50 per unit	2011/09/26	200,000,000	2011/05/17
11710	SGA Societe Generale Acceptance N.V.	Chi COSCO Euro Wt Jan 13	0.150	8.300	2013/01/02	200,000,000	2011/05/17
11702	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Nov 11A	0.330	72.880	2011/11/21	200,000,000	2011/05/17
11709	SGA Societe Generale Acceptance N.V.	CNBM Euro Wt Nov 2011	0.250	16.880	2011/11/30	150,000,000	2011/05/17
11699	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Dec 2011A	0.150	20.000	2011/12/28	500,000,000	2011/05/17
11700	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Nov 11C	0.430	8.08 per unit	2011/11/21	100,000,000	2011/05/17
11703	SGA Societe Generale Acceptance N.V.	Zoomlion Eu Wt Nov11	0.250	21.680	2011/11/21	200,000,000	2011/05/17
11708	SGA Societe Generale Acceptance N.V.	Esprit Euro Wts Nov11	0.250	34.480	2011/11/21	80,000,000	2011/05/17
11707	SGA Societe Generale Acceptance N.V.	R&F Ppt Eu Wts Feb 12	0.150	11.400	2012/02/01	200,000,000	2011/05/17
11711	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Nov 11	0.490	6.28 per unit	2011/11/30	100,000,000	2011/05/17
11697	SGA Societe Generale Acceptance N.V.	HKEu Eu Wt Apr 2012	0.250	178.88 per 100 units	2012/04/11	500,000,000	2011/05/17
11698	SGA Societe Generale Acceptance N.V.	HKEu Eu Wt Dec 2011 C	0.250	168.88 per 100 units	2011/12/19	500,000,000	2011/05/17
11696	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2011	0.600	90.880	2011/11/07	150,000,000	2011/05/17
11704	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2011 C	0.310	6.51 per unit	2011/08/22	200,000,000	2011/05/17
28670 <sup>#</sup>	CC Rabobank B.A.	Galaxy Ent European Warrants Aug 2011	0.177	13.100	2011/08/15	100,000,000	2011/05/17
11729	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jan 2012	0.550	7.38 per unit	2012/01/04	100,000,000	2011/05/18
11728	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Feb 2012	0.280	8.48 per unit	2012/02/02	100,000,000	2011/05/18
11735	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Nov 2011	0.220	8.88 per unit	2011/11/02	100,000,000	2011/05/18
11736	BNP Paribas Arbit Issu B.V.	Esprit European Wts Jan 2012	0.260	38.000	2012/01/03	100,000,000	2011/05/18
11726	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Feb 2012	0.680	6.95 per unit	2012/02/02	100,000,000	2011/05/18
11727	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Mar 12	0.250	250 per 100 units	2012/03/02	100,000,000	2011/05/18
11747	Credit Suisse AG	Agricultural Bank European Wts Sep 2011	0.200	4.81 per unit	2011/09/26	300,000,000	2011/05/18
11748	Credit Suisse AG	Agricultural Bank European Wts Oct 2011	0.150	5.29 per unit	2011/10/17	300,000,000	2011/05/18
11749	Credit Suisse AG	AIA European Warrants Sep 2011	0.150	27.850	2011/09/26	300,000,000	2011/05/18
11741	Credit Suisse AG	ChiUnicom European Warrants Dec 2011	0.200	16.300	2011/12/20	200,000,000	2011/05/18
11745	Credit Suisse AG	CC Bank European Warrants Sep 2011	0.150	7.70 per unit	2011/09/05	300,000,000	2011/05/18
11744	Credit Suisse AG	CC Bank European Warrants Aug 2011 A	0.150	8.19 per unit	2011/08/29	300,000,000	2011/05/18
11751	Credit Suisse AG	China Life European Warrants Sep 2011	0.150	28.050	2011/09/30	300,000,000	2011/05/18
11742	Credit Suisse AG	CNOOC European Warrants Sep 2011	0.150	18.900	2011/09/26	300,000,000	2011/05/18
11743	Credit Suisse AG	CNOOC European Warrants Oct 2011 A	0.150	19.900	2011/10/24	300,000,000	2011/05/18
11746	Credit Suisse AG	Zoomlion European Warrants Nov 2011	0.250	21.800	2011/11/21	200,000,000	2011/05/18
11738	Credit Suisse AG	HSBC European Wts Sep 2011 A	0.150	91.050	2011/09/19	300,000,000	2011/05/18
11737	Credit Suisse AG	HSI European Warrants Sep 2011	0.150	24,500 per 7,000 units	2011/09/29	300,000,000	2011/05/18
11739	Credit Suisse AG	Hutchison European Warrants Oct 2011	0.250	94.850	2011/10/03	300,000,000	2011/05/18
11740	Credit Suisse AG	Jiangxi Copper European Wts Sep 2011	0.150	27.050	2011/09/12	200,000,000	2011/05/18
11750	Credit Suisse AG	Ping An European Warrants Nov 2011 C	0.250	94.880	2011/11/21	300,000,000	2011/05/18
11724	HK Bank	China Life European Warrants Sep 2011	0.150	28.900	2011/09/21	200,000,000	2011/05/18
11725	HK Bank	China Life European Warrants Nov 2011	0.150	29.880	2011/11/28	200,000,000	2011/05/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11730	Macquarie Bank Ltd.	Zoomlion European Warrants Dec 2011	0.250	21.880	2011/12/29	200,000,000	2011/05/18
11714	Merrill Lynch Int'l & Co. C.V.	BankComm Euro Wts Feb 2012	0.415	8.99 per unit	2012/02/20	80,000,000	2011/05/18
11715	Merrill Lynch Int'l & Co. C.V.	MTRC European Wts Nov 2011	0.182	28.890	2011/11/08	80,000,000	2011/05/18
11718	Merrill Lynch Int'l & Co. C.V.	MTRC European Wts Oct 2012	0.255	33.990	2012/10/22	80,000,000	2011/05/18
11716	Merrill Lynch Int'l & Co. C.V.	Wharf European Wts Oct11	0.270	59.890	2011/10/17	80,000,000	2011/05/18
11717	Merrill Lynch Int'l & Co. C.V.	Wharf European Wts Dec11	0.255	62.990	2011/12/19	80,000,000	2011/05/18
11720	CC Rabobank B.A.	AIA European Put Warrants Nov 2011	0.200	25.980	2011/11/21	200,000,000	2011/05/18
11721	CC Rabobank B.A.	Datang European Warrants Nov 2011	0.250	3.60 per unit	2011/11/21	100,000,000	2011/05/18
11719	CC Rabobank B.A.	Esprit European Warrants Dec 2011	0.250	34.880	2011/12/29	100,000,000	2011/05/18
11722	CC Rabobank B.A.	Huaneng Power European Warrants Mar 2012	0.400	4.98 per unit	2012/03/01	100,000,000	2011/05/18
11723	CC Rabobank B.A.	Tencent Holdings European Put Wts Nov 11A	0.150	180.88 per 100 units	2011/11/07	200,000,000	2011/05/18
11731	RB of Scotland N.V.	AIA European Warrants Sep 2011	0.151	27.850	2011/09/30	200,000,000	2011/05/18
11732	RB of Scotland N.V.	AIA European Warrants Nov 2011	0.151	30.050	2011/11/28	200,000,000	2011/05/18
11734	RB of Scotland N.V.	CNOOC European Warrants Oct 2011 A	0.151	19.900	2011/10/21	200,000,000	2011/05/18
11733	RB of Scotland N.V.	Sinopec Corp European Wts Nov 2011	0.251	8 per unit	2011/11/18	100,000,000	2011/05/18
11789	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Feb 2012	0.450	4.86 per unit	2012/02/02	40,000,000	2011/05/19
11790	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Feb 2012	0.350	32.380	2012/02/02	100,000,000	2011/05/19
11788	Credit Suisse AG	BOCL European Warrants Oct 2011	0.150	4.81 per unit	2011/10/10	200,000,000	2011/05/19
11786	Credit Suisse AG	GCL-Poly Energy European Warrants Oct 11	0.300	6.01 per unit	2011/10/04	200,000,000	2011/05/19
11787	Credit Suisse AG	GCL-Poly Energy European Warrants Nov 11	0.500	5.58 per unit	2011/11/21	200,000,000	2011/05/19
11785	Credit Suisse AG	HKEx European Warrants Sep 2011	0.150	172 per 100 units	2011/09/26	300,000,000	2011/05/19
11784	Credit Suisse AG	HSBC European Wts Sep 2011 B	0.150	88.900	2011/09/05	300,000,000	2011/05/19
11782	Credit Suisse AG	HSI European Warrants Aug 2011 A	0.150	23,500 per 8,000 units	2011/08/30	300,000,000	2011/05/19
11783	Credit Suisse AG	HSI European Warrants Aug 2011 B	0.150	23,300 per 8,000 units	2011/08/30	300,000,000	2011/05/19
11776	HK Bank	Angang Steel European Warrants May 2012	0.150	10.680	2012/05/21	100,000,000	2011/05/19
11777	HK Bank	CNBM European Warrants Dec 2011 A	0.250	19.080	2011/12/30	100,000,000	2011/05/19
11778	HK Bank	Esprit European Warrants Jan 2012	0.150	36.000	2012/01/20	100,000,000	2011/05/19
11779	HK Bank	Esprit European Put Warrants Dec 2011	0.250	26.800	2011/12/30	80,000,000	2011/05/19
11780	HK Bank	HWL European Warrants Sep 2011	0.200	100.000	2011/09/23	160,000,000	2011/05/19
11781	HK Bank	Zijin Mining European Warrants Nov 2011	0.250	6.88 per unit	2011/11/21	80,000,000	2011/05/19
11791	KBC Fin Products Int'l Ltd.	HKEx European Put Wts Nov 2011	0.150	168.88 per 100 units	2011/11/08	80,000,000	2011/05/19
11793	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Put Wts Dec 2011	0.150	122.48 per 100 units	2011/12/20	80,000,000	2011/05/19
11792	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Dec 11	0.300	226 per 100 units	2011/12/01	108,000,000	2011/05/19
11754	Macquarie Bank Ltd.	China Tel European Warrants Dec 2011	0.250	5.28 per unit	2011/12/02	200,000,000	2011/05/19
11753	Macquarie Bank Ltd.	China Life European Wts Jan 2012	0.255	28.880	2012/01/04	200,000,000	2011/05/19
11752	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Nov 2011 A	0.386	6.66 per unit	2011/11/30	150,000,000	2011/05/19
11768	Nomura Int'l plc	Anhui Conch European Warrants Nov 2011	0.266	36.880	2011/11/30	100,000,000	2011/05/19
11772	Nomura Int'l plc	AviChina European Warrants Nov 2011	0.370	6.28 per unit	2011/11/21	100,000,000	2011/05/19
11771	Nomura Int'l plc	Chinares Cement European Warrants Feb 13	0.250	8.080	2013/02/04	100,000,000	2011/05/19
11774	Nomura Int'l plc	Ch State Con European Warrants May 2012	0.250	8.880	2012/05/21	100,000,000	2011/05/19
11770	Nomura Int'l plc	Zoomlion European Warrants Nov 2011 A	0.252	21.880	2011/11/30	100,000,000	2011/05/19
11773	Nomura Int'l plc	A50 China European Warrants Feb 2012	0.170	11.888	2012/02/01	100,000,000	2011/05/19
11775	Nomura Int'l plc	Lonking European Warrants Sep 2011	0.300	5.358 per unit	2011/09/26	100,000,000	2011/05/19



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11769	Nomura Int'l plc	Sinopharm European Warrants Oct 2011	0.152	30.900	2011/10/31	100,000,000	2011/05/19
11766	Nomura Int'l plc	Wharf European Warrants Nov 2011	0.250	63.880	2011/11/30	100,000,000	2011/05/19
11767	Nomura Int'l plc	Xinyi Glass European Warrants Jun 2012	0.251	11.080	2012/06/25	100,000,000	2011/05/19
11759	CC Rabobank B.A.	CHALCO European Warrants Nov 2011	0.600	7.88 per unit	2011/11/28	100,000,000	2011/05/19
11761	CC Rabobank B.A.	China Oilfield European Warrants Oct 2011	0.150	17.800	2011/10/31	100,000,000	2011/05/19
11756	CC Rabobank B.A.	China Coal European Warrants Feb 2012	0.150	10.880	2012/02/23	200,000,000	2011/05/19
11762	CC Rabobank B.A.	Chaoda Modern European Warrants Mar 2012	0.800	5.48 per unit	2012/03/12	100,000,000	2011/05/19
11758	CC Rabobank B.A.	China Liansu European Warrants Nov 2011	1.100	9 per unit	2011/11/28	100,000,000	2011/05/19
11763	CC Rabobank B.A.	CQRC Bank European Warrants Nov 2011	0.600	5.88 per unit	2011/11/28	100,000,000	2011/05/19
11760	CC Rabobank B.A.	Chinares Cement European Warrants Nov 11	0.800	8.88 per unit	2011/11/28	100,000,000	2011/05/19
11755	CC Rabobank B.A.	Chi Res Power European Warrants May 2012	0.250	18.480	2012/05/07	100,000,000	2011/05/19
11757	CC Rabobank B.A.	GCL-Poly Energy European Wts Nov 2011	0.500	6.38 per unit	2011/11/28	100,000,000	2011/05/19
11765	Standard Chartered Bank	Agricultural Bk European Wts Nov11	0.174	5.40 per unit	2011/11/25	100,000,000	2011/05/19
11764	Standard Chartered Bank	CC Bank European Warrants Jan 2012	0.250	8.28 per unit	2012/01/03	100,000,000	2011/05/19
11552 <sup>a</sup>	UBS AG	AIA European Warrants Sep 2011 A	0.127	27.850	2011/09/30	200,000,000	2011/05/19
28103 <sup>a</sup>	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts May 2012	0.073	7.810	2012/05/21	137,000,000	2011/05/19
11823	Credit Suisse AG	AIA European Put Warrants Oct 2011	0.150	24.950	2011/10/31	300,000,000	2011/05/20
11821	Credit Suisse AG	AIA European Warrants Sep 2011 A	0.150	27.050	2011/09/26	300,000,000	2011/05/20
11820	Credit Suisse AG	CC Bank European Warrants Sep 2011 A	0.150	7.51 per unit	2011/09/19	300,000,000	2011/05/20
11819	Credit Suisse AG	HSI European Warrants Sep 2011 A	0.150	22,800 per 8,000 units	2011/09/29	300,000,000	2011/05/20
11822	Credit Suisse AG	ICBC European Warrants Sep 2011	0.150	6.81 per unit	2011/09/30	300,000,000	2011/05/20
11804	HK Bank	HSI European Put Warrants Nov 2011	0.250	22,500 per 9,000 units	2011/11/29	200,000,000	2011/05/20
11799	HK Bank	HSI European Warrants Nov 2011 A	0.250	22,500 per 9,800 units	2011/11/29	200,000,000	2011/05/20
11800	HK Bank	HSI European Warrants Nov 2011 B	0.250	23,500 per 9,000 units	2011/11/29	200,000,000	2011/05/20
11801	HK Bank	HSI European Warrants Nov 2011 C	0.250	24,500 per 8,000 units	2011/11/29	200,000,000	2011/05/20
11802	HK Bank	HSI European Warrants Dec 2011 F	0.250	23,800 per 9,000 units	2011/12/29	250,000,000	2011/05/20
11805	HK Bank	HSI European Put Warrants Nov 2011 D	0.250	23,000 per 10,000 units	2011/11/29	200,000,000	2011/05/20
11803	HK Bank	Zhaojin Mining European Warrants Mar 2012	0.250	20.000	2012/03/23	100,000,000	2011/05/20
11824	KBC Fin Products Int'l Ltd.	ICBC European Wts Nov 2011	0.310	6.68 per unit	2011/11/21	108,000,000	2011/05/20
11794	Macquarie Bank Ltd.	BYD European Warrants Dec 2011	0.250	32.000	2011/12/02	200,000,000	2011/05/20
11796	Macquarie Bank Ltd.	CNOOC European Warrants Apr 2012	0.250	19.500	2012/04/27	200,000,000	2011/05/20
11797	Macquarie Bank Ltd.	Henganintl European Warrants Nov 2011	0.258	80.000	2011/11/21	200,000,000	2011/05/20
11795	Macquarie Bank Ltd.	Yurun Food European Warrants Nov 2011	0.250	30.000	2011/11/29	200,000,000	2011/05/20
11798	Macquarie Bank Ltd.	Yanzhou Coal European Wts Dec 2011	0.260	32.000	2011/12/02	200,000,000	2011/05/20
11808	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Apr 2012	0.250	79.990	2012/04/16	80,000,000	2011/05/20
11809	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Sep 2012	0.660	68.990	2012/09/11	80,000,000	2011/05/20
11806	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2011 C	0.315	72.990	2011/12/19	80,000,000	2011/05/20
11807	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2012 A	0.265	75.990	2012/01/09	80,000,000	2011/05/20
11810	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Dec 11D	0.320	65.990	2011/12/12	80,000,000	2011/05/20
10115	RB of Scotland N.V.	Gold European Put Warrants Dec 2011	0.251	1,388 per 5,000 units	2011/12/28	100,000,000	2011/05/20
11827	RB of Scotland N.V.	HSBC European Warrants Oct 2011	0.151	88.930	2011/10/04	100,000,000	2011/05/20
11818	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Dec 2011	0.510	7.88 per unit	2011/12/21	30,000,000	2011/05/20
11817	SGA Societe Generale Acceptance N.V.	CITBK Euro Wt Nov 11	0.400	5.80 per unit	2011/11/21	40,000,000	2011/05/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11811	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2011D	0.180	26.800	2011/09/26	300,000,000	2011/05/20
11816	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Nov 11	0.250	38.000	2011/11/21	150,000,000	2011/05/20
11815	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2011 C	0.530	88.000	2011/09/26	150,000,000	2011/05/20
11814	SGA Societe Generale Acceptance N.V.	Sinopharm Eu Wts Nov11	0.250	30.080	2011/11/21	80,000,000	2011/05/20
11813	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Nov11A	0.300	30.880	2011/11/21	120,000,000	2011/05/20
11812	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Nov 11	0.510	6.08 per unit	2011/11/21	30,000,000	2011/05/20
11825	UBS AG	HSI European Warrants Sep 2011 B	0.150	22,200 per 8,000 units	2011/09/29	300,000,000	2011/05/20
11826	UBS AG	HSI European Warrants Sep 2011 C	0.150	22,800 per 8,000 units	2011/09/29	300,000,000	2011/05/20
29940 <sup>#</sup>	Credit Suisse AG	HSI European Warrants Jul 2011 A	0.052	23,700 per 8,000 units	2011/07/28	300,000,000	2011/05/20
11841	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Feb 2013	0.250	10.180	2013/02/04	100,000,000	2011/05/23
11842	BNP Paribas Arbit Issu B.V.	PICC European Wts Feb 2012	0.150	12.900	2012/02/02	100,000,000	2011/05/23
11851	Citigroup Global Mkt H Inc.	BOC HK European Wts Oct 2011	0.150	25.030	2011/10/24	80,000,000	2011/05/23
11854	Citigroup Global Mkt H Inc.	CNBM European Warrants Dec 11	0.250	17.380	2011/12/05	80,000,000	2011/05/23
11852	Citigroup Global Mkt H Inc.	Zoomlion European Wts Nov11	0.250	23.380	2011/11/30	80,000,000	2011/05/23
11853	Citigroup Global Mkt H Inc.	Esprit European Wts Dec 2011	0.250	33.880	2011/12/05	80,000,000	2011/05/23
11832	Citigroup Global Mkt H Inc.	HSCEI European Wts Oct 2011	0.150	12,950 per 5,500 units	2011/10/28	80,000,000	2011/05/23
11831	Citigroup Global Mkt H Inc.	HSCEI Euro Put Wts Dec 2011	0.250	12,600 per 5,500 units	2011/12/29	80,000,000	2011/05/23
11833	Citigroup Global Mkt H Inc.	HSI European Put Wts Sep 2011	0.150	22,300 per 8,000 units	2011/09/29	80,000,000	2011/05/23
11834	Citigroup Global Mkt H Inc.	HSI European Warrants Sep 11A	0.160	22,500 per 7,500 units	2011/09/29	80,000,000	2011/05/23
11835	Citigroup Global Mkt H Inc.	HSI European Warrants Sep 11B	0.150	23,300 per 7,000 units	2011/09/29	80,000,000	2011/05/23
11850	Citigroup Global Mkt H Inc.	Lonking European Wts Nov11	0.250	5.88 per unit	2011/11/30	50,000,000	2011/05/23
11848	Bank of East Asia	CHALCO European Warrants Jun 2013	0.250	6.500	2013/06/24	235,000,000	2011/05/23
11847	Bank of East Asia	China Coal European Warrants Jun 2013	0.250	11.898	2013/06/24	130,000,000	2011/05/23
11846	Bank of East Asia	Cheung Kong European Warrants Jan 2012	0.250	160.000	2012/01/03	41,000,000	2011/05/23
11849	Bank of East Asia	Zijin Mining European Warrants Jan 2012	0.270	7.28 per unit	2012/01/03	38,000,000	2011/05/23
11830	HK Bank	PICC European Warrants Dec 2011	0.150	12.680	2011/12/14	100,000,000	2011/05/23
11845	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2011	0.150	22,500 per 8,000 units	2011/10/28	200,000,000	2011/05/23
11843	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Dec 2011	0.550	84.000	2011/12/01	80,000,000	2011/05/23
11844	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Dec 11A	0.250	76.000	2011/12/01	80,000,000	2011/05/23
11829	Macquarie Bank Ltd.	CM Bank European Warrants Dec 2011 A	0.159	20.680	2011/12/02	200,000,000	2011/05/23
11828	Standard Chartered Bank	Henganintl European Warrants Sep11	0.233	78.000	2011/09/12	100,000,000	2011/05/23
11838	SGA Societe Generale Acceptance N.V.	Henganintl Eu Wt Nov11	0.490	71.880	2011/11/23	40,000,000	2011/05/23
11837	SGA Societe Generale Acceptance N.V.	JIANC Eu Wts Dec 2011	0.250	26.880	2011/12/05	200,000,000	2011/05/23
11836	SGA Societe Generale Acceptance N.V.	Lonking Euro Wt Nov11	0.390	5.58 per unit	2011/11/23	30,000,000	2011/05/23
11840	UBS AG	CNOOC European Warrants Oct 2011 D	0.150	17.020	2011/10/31	200,000,000	2011/05/23
11839	UBS AG	HKEx European Warrants Oct 2011 C	0.150	168.10 per 100 units	2011/10/24	300,000,000	2011/05/23
26984 <sup>#</sup>	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Oct 2011 A	0.044	66 per 100 units	2011/10/11	230,000,000	2011/05/23
11879	Credit Suisse AG	CNOOC European Warrants Aug 2011	0.150	18.020	2011/08/29	300,000,000	2011/05/24
11880	Credit Suisse AG	Yanzhou Coal European Warrants Aug 2011	0.150	31.300	2011/08/29	200,000,000	2011/05/24
11877	Citigroup Global Mkt H Inc.	BOCL European Wts Nov 2011	0.250	4.38 per unit	2011/11/24	80,000,000	2011/05/24
11865	Citigroup Global Mkt H Inc.	Minsheng Bank Euro Wts Nov 11	0.250	8.18 per unit	2011/11/30	80,000,000	2011/05/24
11867	Citigroup Global Mkt H Inc.	Evergrande European Wts Nov 11	0.300	6.88 per unit	2011/11/30	50,000,000	2011/05/24
11866	Citigroup Global Mkt H Inc.	GCL-Poly Energy Euro Wt Nov 11	0.300	6.43 per unit	2011/11/24	80,000,000	2011/05/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11864	Citigroup Global Mkt H Inc.	SHK Ppt European Wts Dec 2012	0.150	130.10 per 100 units	2012/12/10	80,000,000	2011/05/24
11873	J P Morgan SP BV	AIA European Warrants Dec 2011	0.250	28.000	2011/12/29	200,000,000	2011/05/24
11881	J P Morgan SP BV	China Mob European Wts Nov 2011	0.250	73.000	2011/11/24	150,000,000	2011/05/24
11874	J P Morgan SP BV	China Life European Warrants Sep 2011 A	0.150	26.800	2011/09/23	200,000,000	2011/05/24
11884	J P Morgan SP BV	CM Bank European Warrants Nov 2011	0.153	20.700	2011/11/28	200,000,000	2011/05/24
11882	J P Morgan SP BV	Minsheng Bank European Warrants Nov 2011	0.391	8 per unit	2011/11/25	100,000,000	2011/05/24
11883	J P Morgan SP BV	HSBC European Warrants Oct 2011	0.275	85.900	2011/10/04	200,000,000	2011/05/24
11876	J P Morgan SP BV	HSBC European Warrants Sep 2011 E	0.169	88.880	2011/09/05	200,000,000	2011/05/24
11869	J P Morgan SP BV	Hutchison European Warrants Nov 2011	0.275	95.000	2011/11/24	100,000,000	2011/05/24
11870	J P Morgan SP BV	Hutchison European Warrants Nov 2011 A	0.432	90.000	2011/11/24	100,000,000	2011/05/24
11871	J P Morgan SP BV	ICBC European Warrants Nov 2011	0.250	6.50 per unit	2011/11/24	150,000,000	2011/05/24
11875	J P Morgan SP BV	Shimao Property European Wts Jun 2012	0.150	11.880	2012/06/29	150,000,000	2011/05/24
11872	J P Morgan SP BV	Stanchart European Warrants Dec 2011	0.250	220 per 100 units	2011/12/29	200,000,000	2011/05/24
11856	Macquarie Bank Ltd.	Esprit European Warrants Jan 2012	0.164	36.000	2012/01/27	200,000,000	2011/05/24
11855	Macquarie Bank Ltd.	ICBC European Warrants Dec 2011	0.250	6.98 per unit	2011/12/02	200,000,000	2011/05/24
11868	Macquarie Bank Ltd.	Tingyi European Warrants Jan 2012	0.250	28.880	2012/01/03	250,000,000	2011/05/24
11878	RB of Scotland N.V.	ICBC European Warrants Oct 2011	0.151	6.89 per unit	2011/10/10	200,000,000	2011/05/24
11861	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Nov 2011B	0.280	26.380	2011/11/28	300,000,000	2011/05/24
11860	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2011	0.250	27.880	2011/12/05	300,000,000	2011/05/24
11858	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2011 G	0.320	82.880	2011/09/21	300,000,000	2011/05/24
11857	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2011 B	0.520	80.000	2011/11/28	300,000,000	2011/05/24
11859	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Nov 2011	0.250	23,600 per 8,000 units	2011/11/29	300,000,000	2011/05/24
11862	UBS AG	AIA European Put Warrants Oct 2011	0.150	24.950	2011/10/28	100,000,000	2011/05/24
11863	UBS AG	CC Bank European Warrants Sep 2011 C	0.250	7.09 per unit	2011/09/23	200,000,000	2011/05/24
23640*	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Nov 2011	0.103	12.880	2011/11/21	250,000,000	2011/05/24
11889	BOCI Asia Ltd.	Evergrande European Warrants Nov 2011	0.400	7.50 per unit	2011/11/28	100,000,000	2011/05/25
11888	BOCI Asia Ltd.	Tencent Holdings European Put Warrants Nov11	0.200	200.88 per 100 units	2011/11/29	200,000,000	2011/05/25
11903	BNP Paribas Arbit Issu B.V.	Galaxy Ent European Wts Mar 12	0.250	16.500	2012/03/02	100,000,000	2011/05/25
11902	BNP Paribas Arbit Issu B.V.	Wynn Macau European Wts Apr12	0.360	30.000	2012/04/03	100,000,000	2011/05/25
11886	HK Bank	Datang Power European Warrants Nov 2011	0.250	3.38 per unit	2011/11/25	80,000,000	2011/05/25
11887	HK Bank	Evergrande European Warrants Nov 2011	0.250	7 per unit	2011/11/25	80,000,000	2011/05/25
11898	HK Bank	HSI European Put Warrants Jul 2012	0.250	20,000 per 10,000 units	2012/07/30	250,000,000	2011/05/25
11897	HK Bank	SJM Holdings European Warrants Nov 2011	0.250	21.880	2011/11/25	120,000,000	2011/05/25
11895	J P Morgan SP BV	AIA European Warrants Nov 2011 A	0.150	30.000	2011/11/28	200,000,000	2011/05/25
11909	J P Morgan SP BV	Angang Steel European Warrants May 2012 A	0.150	10.700	2012/05/21	100,000,000	2011/05/25

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11892	J P Morgan SP BV	CITIC Bank European Warrants Nov 2011 A	0.250	6 per unit	2011/11/25	100,000,000	2011/05/25
11896	J P Morgan SP BV	Dongfeng Motor European Warrants Oct 2011	0.150	15.020	2011/10/31	100,000,000	2011/05/25
11893	J P Morgan SP BV	Fushan Energy European Warrants Nov 2011	0.300	6.08 per unit	2011/11/25	100,000,000	2011/05/25
11891	J P Morgan SP BV	Lee & Man Paper European Warrants Nov 11	0.400	5.88 per unit	2011/11/25	100,000,000	2011/05/25
11894	J P Morgan SP BV	Tencent European Put Warrants Nov 2011	0.250	200 per 100 units	2011/11/25	200,000,000	2011/05/25
11890	J P Morgan SP BV	Zijin Mining European Warrants Nov 2011	0.400	6.10 per unit	2011/11/25	100,000,000	2011/05/25
11900	Macquarie Bank Ltd.	BankComm European Warrants Dec 2011	0.254	9.28 per unit	2011/12/02	200,000,000	2011/05/25
11899	Macquarie Bank Ltd.	Tencent European Put Warrants Dec 11A	0.189	200.98 per 100 units	2011/12/02	300,000,000	2011/05/25
11908	RB of Scotland N.V.	HKEx European Warrants Dec 2011	0.151	180 per 100 units	2011/12/05	200,000,000	2011/05/25
11904	Standard Chartered Bank	CC Bank European Warrants Dec 2011	0.250	8.48 per unit	2011/12/02	100,000,000	2011/05/25
11905	Standard Chartered Bank	GCL-Poly Energy European Wts Oct11	0.460	5.38 per unit	2011/10/27	100,000,000	2011/05/25
11906	Standard Chartered Bank	GCL-Poly Energy European Wts Dec11	0.880	4.68 per unit	2011/12/02	100,000,000	2011/05/25
11907	Standard Chartered Bank	GCL-Poly Energy European Wts Aug13	0.250	3.330	2013/08/30	200,000,000	2011/05/25
11885	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2012	0.250	28.880	2012/01/04	300,000,000	2011/05/25
11901	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2011 A	0.270	88.000	2011/12/05	300,000,000	2011/05/25
11910	Barclays Bank plc	HSI European Warrants Nov 2011	0.250	24,200 per 8,000 units	2011/11/29	150,000,000	2011/05/26
11911	Barclays Bank plc	HSI European Put Warrants Nov 2011	0.250	22,000 per 9,000 units	2011/11/29	150,000,000	2011/05/26
11924	BNP Paribas Arbit Issu B.V.	Sands China European Wts Feb12	0.250	26.000	2012/02/02	100,000,000	2011/05/26
11928	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Nov 2011	0.250	73.880	2011/11/30	50,000,000	2011/05/26
11925	Citigroup Global Mkt H Inc.	CC Bank European Wts Nov 2011	0.300	7.38 per unit	2011/11/30	80,000,000	2011/05/26
11927	Citigroup Global Mkt H Inc.	GCL-Poly Energy Euro Wt Nov11A	0.400	4.68 per unit	2011/11/30	80,000,000	2011/05/26
11929	Citigroup Global Mkt H Inc.	Kerry Ppt European Wts Nov 11	0.250	43.880	2011/11/30	80,000,000	2011/05/26
11926	Citigroup Global Mkt H Inc.	SJM Holdings European Wt Nov11	0.250	19.880	2011/11/30	80,000,000	2011/05/26
11912	HK Bank	China Shenhua European Warrants Nov 2011	0.250	42.000	2011/11/28	150,000,000	2011/05/26
11913	HK Bank	Galaxy Ent European Warrants Jan 2012	0.250	18.000	2012/01/12	100,000,000	2011/05/26
11933	KBC Fin Products Int'l Ltd.	BEA European Put Warrants Jan12	0.250	30.000	2012/01/18	58,000,000	2011/05/26
11932	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Nov 2011	0.390	8 per unit	2011/11/15	80,000,000	2011/05/26
11931	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Put Wt Nov 11	0.480	7.08 per unit	2011/11/28	80,000,000	2011/05/26
11935	KBC Fin Products Int'l Ltd.	HS Bank Euro Put Wts Nov 2011	0.300	112.000	2011/11/28	68,000,000	2011/05/26
11937	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2011 C	0.150	93.000	2011/10/12	128,000,000	2011/05/26
11939	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2011 D	0.150	88.900	2011/10/10	128,000,000	2011/05/26
11938	KBC Fin Products Int'l Ltd.	HSBC European Wts Nov 2011 A	0.220	90.000	2011/11/11	128,000,000	2011/05/26
11936	KBC Fin Products Int'l Ltd.	HS Bank European Wts Dec 2011A	0.420	128.880	2011/12/01	68,000,000	2011/05/26
11934	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Oct 2011	0.150	100.000	2011/10/03	188,000,000	2011/05/26
11914	Macquarie Bank Ltd.	Angang Steel European Wts Dec 2011	0.348	11.88 per unit	2011/12/02	100,000,000	2011/05/26
11915	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Nov 2011	0.280	8.59 per unit	2011/11/28	80,000,000	2011/05/26
11921	Merrill Lynch Int'l & Co. C.V.	Sinopec Eu Put Wts Nov 2011	0.560	7.19 per unit	2011/11/29	80,000,000	2011/05/26
11918	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Oct 2011	0.161	13,000 per 5,500 units	2011/10/28	80,000,000	2011/05/26
11919	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Dec 11C	0.159	13,200 per 6,000 units	2011/12/29	80,000,000	2011/05/26
11920	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Dec 11D	0.157	13,600 per 6,500 units	2011/12/29	80,000,000	2011/05/26

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11923	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Dec 2011	0.187	12,800 per 6,000 units	2011/12/29	80,000,000	2011/05/26
11916	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Nov 2011 A	0.560	11.29 per unit	2011/11/28	80,000,000	2011/05/26
11917	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Dec 2012	0.155	11.990	2012/12/19	80,000,000	2011/05/26
11922	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Put Wt Nov 11	0.610	9.59 per unit	2011/11/29	80,000,000	2011/05/26
11930	UBS AG	Tencent Holdings European Put Warrants Nov 2011	0.150	200.68 per 100 units	2011/11/22	100,000,000	2011/05/26
28888 <sup>†</sup>	UBS AG	Hutchison European Warrants Mar 2012 B	0.079	88.88 per 100 units	2012/03/21	500,000,000	2011/05/26
11956	Barclays Bank plc	China Life European Warrants Nov 2011	0.250	27.200	2011/11/28	150,000,000	2011/05/27
11955	Barclays Bank plc	CNOOC European Put Warrants Dec 2011	0.250	17.550	2011/12/28	100,000,000	2011/05/27
11952	Barclays Bank plc	HSBC European Warrants Dec 2011 A	0.250	88.880	2011/12/19	150,000,000	2011/05/27
11951	Barclays Bank plc	HSI European Put Warrants Sep 2011 A	0.154	22,800 per 8,000 units	2011/09/29	150,000,000	2011/05/27
11953	Barclays Bank plc	Hutchison European Warrants Dec 2013	0.250	87.40 per 100 units	2013/12/23	100,000,000	2011/05/27
11954	Barclays Bank plc	Tencent Holdings European Warrants Nov 11	0.250	242 per 100 units	2011/11/28	150,000,000	2011/05/27
11959	Credit Suisse AG	Cheung Kong European Warrants Sep 2011	0.200	138.900	2011/09/26	200,000,000	2011/05/27
11962	Credit Suisse AG	ChiUnicom European Warrants Dec 2011 A	0.250	18.000	2011/12/19	200,000,000	2011/05/27
11958	Credit Suisse AG	HSI European Put Warrants Sep 2011	0.150	22,200 per 8,000 units	2011/09/29	300,000,000	2011/05/27
11957	Credit Suisse AG	HSI European Put Warrants Aug 2011 C	0.150	22,900 per 8,000 units	2011/08/30	300,000,000	2011/05/27
11949	Macquarie Bank Ltd.	Agricultural Bk European Put Wt Dec 11	0.250	4.10 per unit	2011/12/02	250,000,000	2011/05/27
11960	Macquarie Bank Ltd.	Belle Int'l European Warrants Feb 2012	0.250	16.500	2012/02/10	200,000,000	2011/05/27
11950	Macquarie Bank Ltd.	China Life European Put Wts Dec 2011	0.250	26.880	2011/12/02	250,000,000	2011/05/27
11942	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2011	0.165	22,500 per 9,000 units	2011/11/29	200,000,000	2011/05/27
11940	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2011 A	0.158	23,500 per 9,000 units	2011/11/29	200,000,000	2011/05/27
11941	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2011 B	0.153	24,500 per 8,000 units	2011/11/29	200,000,000	2011/05/27
11943	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2011 C	0.168	23,000 per 10,000 units	2011/11/29	200,000,000	2011/05/27
11945	CC Rabobank B.A.	Ch Rongsheng European Warrants Dec 2011	0.600	6.48 per unit	2011/12/05	100,000,000	2011/05/27
11948	CC Rabobank B.A.	RUSAL European Warrants Apr 2012	0.250	11.880	2012/04/10	100,000,000	2011/05/27
11946	CC Rabobank B.A.	Sinopharm European Warrants Oct 2011	0.150	30.900	2011/10/26	100,000,000	2011/05/27
11947	CC Rabobank B.A.	Zhaojin Mining European Warrants Dec 2011	0.250	15.880	2011/12/05	100,000,000	2011/05/27
11944	CC Rabobank B.A.	Zijin Mining European Warrants Dec 2011	0.300	6.48 per unit	2011/12/05	100,000,000	2011/05/27
11961	UBS AG	China Unicom European Warrants Apr 2012	0.250	19.080	2012/04/30	100,000,000	2011/05/27
11113 <sup>†</sup>	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Oct 2011	0.105	6 per unit	2011/10/12	100,000,000	2011/05/27
29426 <sup>†</sup>	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Nov 2011	0.170	238.89 per 100 units	2011/11/21	120,000,000	2011/05/27
29433 <sup>†</sup>	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Sep 2011B	0.201	5 per unit	2011/09/19	100,000,000	2011/05/27
11963	HK Bank	CNOOC European Put Warrants Mar 2012	0.250	16.880	2012/03/28	120,000,000	2011/05/30
11964	HK Bank	ICBC European Put Warrants Dec 2011	0.250	5.80 per unit	2011/12/16	100,000,000	2011/05/30
11965	HK Bank	Lonking European Warrants Nov 2011	0.250	5.18 per unit	2011/11/30	80,000,000	2011/05/30
11971	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jan 2012	0.440	4.48 per unit	2012/01/10	80,000,000	2011/05/30
11972	KBC Fin Products Int'l Ltd.	China Unicom Euro Wts Feb 2012	0.250	17.180	2012/02/15	80,000,000	2011/05/30
11968	KBC Fin Products Int'l Ltd.	China Life Euro Wts Dec 2011	0.390	22.880	2011/12/15	128,000,000	2011/05/30
11969	KBC Fin Products Int'l Ltd.	China Life Euro Wts Dec 2011 A	0.290	24.280	2011/12/01	158,000,000	2011/05/30
11970	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jan 2012	0.270	25.680	2012/01/03	158,000,000	2011/05/30
11975	KBC Fin Products Int'l Ltd.	HKEx European Warrants Dec 11A	0.250	154.88 per 100 units	2011/12/08	198,000,000	2011/05/30
11973	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Dec 11	0.250	214.88 per 100 units	2011/12/01	108,000,000	2011/05/30
11974	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Put Wt Dec11A	0.150	200.68 per 100 units	2011/12/05	108,000,000	2011/05/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11967	Macquarie Bank Ltd.	Chi Res Power European Warrants Jan 12	0.250	15.280	2012/01/04	200,000,000	2011/05/30
11976	RB of Scotland N.V.	HSI European Warrants Dec 2011	0.151	23,400 per 8,000 units	2011/12/29	250,000,000	2011/05/30
11978	RB of Scotland N.V.	HSI European Put Warrants Oct 2011	0.151	22,500 per 8,000 units	2011/10/28	250,000,000	2011/05/30
11979	RB of Scotland N.V.	HSI European Put Warrants Dec 2011	0.251	22,900 per 8,000 units	2011/12/29	250,000,000	2011/05/30
11977	RB of Scotland N.V.	HSI European Warrants Dec 2011 A	0.151	23,900 per 7,500 units	2011/12/29	250,000,000	2011/05/30
11966	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Nov 11B	0.370	68.880	2011/11/30	200,000,000	2011/05/30
11981	UBS AG	A50 China European Warrants Jan 2012 A	0.150	12.500	2012/01/09	200,000,000	2011/05/30
11980	UBS AG	HSI European Put Warrants Nov 2011	0.250	21,400 per 8,000 units	2011/11/29	300,000,000	2011/05/30
11990	Citigroup Global Mkt H Inc.	C Transmission Euro Wt Feb 12	0.150	12.020	2012/02/24	80,000,000	2011/05/31
11991	Citigroup Global Mkt H Inc.	Dongfeng European Wts Jan 2012	0.150	16.860	2012/01/03	80,000,000	2011/05/31
11993	Citigroup Global Mkt H Inc.	HSBC European Wts Dec 2011	0.300	83.880	2011/12/01	80,000,000	2011/05/31
11994	Citigroup Global Mkt H Inc.	HSBC European Wts Sep 2011 C	0.150	88.890	2011/09/27	80,000,000	2011/05/31
11992	Citigroup Global Mkt H Inc.	ICBC European Wts Dec 2011	0.300	6.28 per unit	2011/12/01	80,000,000	2011/05/31
11987	Macquarie Bank Ltd.	China Mobile European Wts Dec 2011	0.250	72.500	2011/12/02	300,000,000	2011/05/31
11984	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Sep 2011	0.242	130.890	2011/09/12	80,000,000	2011/05/31
11983	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2011	0.185	26.290	2011/12/05	80,000,000	2011/05/31
11986	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Dec 2011	0.250	24.990	2011/12/19	80,000,000	2011/05/31
11982	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Oct 11A	0.152	18.490	2011/10/24	80,000,000	2011/05/31
11985	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Put Wts Feb 2012	0.250	16.990	2012/02/20	80,000,000	2011/05/31
11989	Standard Chartered Bank	Anhui Conch European Wts Apr 2012	0.480	32.880	2012/04/27	100,000,000	2011/05/31
11988	Standard Chartered Bank	CTel European Warrants Apr 2012	0.600	4.44 per unit	2012/04/27	100,000,000	2011/05/31
12314	Barclays Bank plc	CHALCO European Warrants Jun 2013	0.181	6.500	2013/06/24	100,000,000	2011/06/01
12317	Barclays Bank plc	China Mobile European Put Wts Dec 2011	0.250	60.000	2011/12/28	150,000,000	2011/06/01
12310	Barclays Bank plc	China Overseas European Wts Jun 2012	0.250	16.800	2012/06/18	100,000,000	2011/06/01
12311	Barclays Bank plc	ChiUnicom European Warrants Dec 2011	0.225	16.280	2011/12/29	150,000,000	2011/06/01
12316	Barclays Bank plc	CC Bank European Put Warrants Dec 2011	0.250	6.18 per unit	2011/12/28	100,000,000	2011/06/01
12315	Barclays Bank plc	CPIC European Warrants Dec 2011	0.250	35.000	2011/12/28	100,000,000	2011/06/01
12318	Barclays Bank plc	ICBC European Put Warrants Oct 2011	0.247	5.68 per unit	2011/10/04	130,000,000	2011/06/01
12313	Barclays Bank plc	SJM Holdings European Warrants Dec 2011	0.250	18.800	2011/12/28	100,000,000	2011/06/01
11996	BOCI Asia Ltd.	China Railway European Warrants Dec 2011	0.250	5 per unit	2011/12/05	100,000,000	2011/06/01
11997	BOCI Asia Ltd.	Lonking European Warrants Dec 2011	0.250	6 per unit	2011/12/05	100,000,000	2011/06/01
11998	BOCI Asia Ltd.	Mongolia Energy European Warrants Jan 2012	0.280	1.88 per unit	2012/01/30	100,000,000	2011/06/01
11999	BOCI Asia Ltd.	Renhe Comm European Warrants Mar 2012	0.150	1.70 per unit	2012/03/15	100,000,000	2011/06/01
12000	BNP Paribas Arbit Issu B.V.	Belle Int'l Euro Wts Jul 2012	0.250	17.680	2012/07/03	100,000,000	2011/06/01
12325	BNP Paribas Arbit Issu B.V.	BOCL European Wts Feb 2012	0.330	4.15 per unit	2012/02/02	100,000,000	2011/06/01
12300	BNP Paribas Arbit Issu B.V.	Ch Oilfield European Wts Apr12	0.250	16.900	2012/04/03	100,000,000	2011/06/01
12326	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2012	0.250	27.000	2012/01/04	200,000,000	2011/06/01
12328	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jan 2012 A	0.440	72.000	2012/01/04	200,000,000	2011/06/01
12329	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2011 A	0.540	78.000	2011/12/02	200,000,000	2011/06/01
12330	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Dec 2011	0.260	22,600 per 8,000 units	2011/12/29	200,000,000	2011/06/01
12324	BNP Paribas Arbit Issu B.V.	HSI European Wts Nov 2011 A	0.150	22,500 per 9,800 units	2011/11/29	200,000,000	2011/06/01
12327	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jan 2012	0.460	6.18 per unit	2012/01/03	100,000,000	2011/06/01
12334	Credit Suisse AG	Cheung Kong European Warrants Dec 2011	0.250	128.880	2011/12/05	300,000,000	2011/06/01

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12335	Credit Suisse AG	GCL-Poly Energy European Warrants Aug 13	0.150	3.340	2013/08/26	200,000,000	2011/06/01
12319	Citigroup Global Mkt H Inc.	Bank of EA European Wts Feb 12	0.250	34.880	2012/02/06	80,000,000	2011/06/01
12321	Citigroup Global Mkt H Inc.	COSCO Pacific Euro Wts Dec 11	0.150	17.020	2011/12/12	80,000,000	2011/06/01
12323	Citigroup Global Mkt H Inc.	GCL-Poly Energy Euro Wt Aug 13	0.150	3.340	2013/08/23	180,000,000	2011/06/01
12322	Citigroup Global Mkt H Inc.	Goldwind European Wts Nov11	0.150	13.020	2011/11/25	80,000,000	2011/06/01
12320	Citigroup Global Mkt H Inc.	ZTE European Warrants Dec 2011	0.250	32.380	2011/12/01	80,000,000	2011/06/01
12305	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2011	0.179	7.88 per unit	2011/10/24	200,000,000	2011/06/01
12304	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Sep 2011 A	0.215	7.50 per unit	2011/09/19	200,000,000	2011/06/01
12303	J P Morgan SP BV	China Life European Warrants Dec 2011	0.250	25.880	2011/12/01	300,000,000	2011/06/01
12302	J P Morgan SP BV	HSBC European Warrants Sep 2011 F	0.327	82.880	2011/09/19	200,000,000	2011/06/01
12301	J P Morgan SP BV	HSBC European Warrants Oct 2011 A	0.228	88.880	2011/10/25	200,000,000	2011/06/01
12312	RB of Scotland N.V.	HSCEI European Warrants Jun 2012	0.251	13,800 per 6,000 units	2012/06/28	200,000,000	2011/06/01
12306	Standard Chartered Bank	BYD European Warrants Mar 2012	0.290	28.880	2012/03/01	100,000,000	2011/06/01
12307	Standard Chartered Bank	BYD European Warrants Aug 2012	0.280	33.330	2012/08/08	100,000,000	2011/06/01
12308	Standard Chartered Bank	Ping An European Warrants Jun 2013	0.213	82.28 per 100 units	2013/06/24	100,000,000	2011/06/01
12309	Standard Chartered Bank	Ping An European Warrants Dec 2013	0.250	78.78 per 100 units	2013/12/02	100,000,000	2011/06/01
11995	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Oct 2011 C	0.170	6.88 per unit	2011/10/31	200,000,000	2011/06/01
12331	UBS AG	CC Bank European Warrants Sep 2011 D	0.150	7.51 per unit	2011/09/29	200,000,000	2011/06/01
12332	UBS AG	China Life European Warrants Nov 2011	0.150	26.400	2011/11/21	300,000,000	2011/06/01
12333	UBS AG	HKEx European Put Warrants Dec 2011	0.150	160.70 per 100 units	2011/12/05	300,000,000	2011/06/01
22695 #	BOCI Asia Ltd.	HSBC European Warrants Dec 2011	0.062	77.70 per 93 units	2011/12/19	300,000,000	2011/06/01
29944 #	Credit Suisse AG	HSI European Put Warrants Jul 2011 B	0.076	22,500 per 8,000 units	2011/07/28	300,000,000	2011/06/01
12344	HK Bank	Esprit European Warrants Dec 2011	0.250	30.880	2011/12/29	120,000,000	2011/06/02
12345	HK Bank	ICBC European Warrants Oct 2011 A	0.150	6.89 per unit	2011/10/24	120,000,000	2011/06/02
12365	Macquarie Bank Ltd.	Anhui Conch European Warrants Dec 2011	0.250	38.880	2011/12/05	200,000,000	2011/06/02
12356	Macquarie Bank Ltd.	C Transmission European Warrants Mar12	1.155	12.05 per unit	2012/03/02	100,000,000	2011/06/02
12355	Macquarie Bank Ltd.	Fushan Energy European Warrants Dec 11	0.362	5.80 per unit	2011/12/02	200,000,000	2011/06/02
12363	Macquarie Bank Ltd.	KECL European Warrants Aug 2012	0.251	15.000	2012/08/03	200,000,000	2011/06/02
12364	Macquarie Bank Ltd.	Sinoma European Warrants Nov 2012	0.178	8.090	2012/11/27	200,000,000	2011/06/02
12339	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012	0.250	22,900 per 8,000 units	2012/01/30	200,000,000	2011/06/02
12341	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2011	0.250	21,100 per 8,000 units	2011/12/29	200,000,000	2011/06/02
12336	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2011 A	0.260	22,500 per 8,000 units	2011/12/29	200,000,000	2011/06/02
12337	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2011 B	0.156	23,000 per 10,000 units	2011/12/29	200,000,000	2011/06/02
12338	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2011 C	0.260	24,500 per 7,000 units	2011/12/29	200,000,000	2011/06/02
12340	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012 A	0.250	23,900 per 8,000 units	2012/01/30	200,000,000	2011/06/02
12342	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2011 D	0.250	22,100 per 9,000 units	2011/12/29	200,000,000	2011/06/02
12343	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2011 E	0.265	23,100 per 9,000 units	2011/12/29	200,000,000	2011/06/02
12346	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2011A	0.300	25.800	2011/12/05	300,000,000	2011/06/02
12347	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2012A	0.260	27.280	2012/01/11	300,000,000	2011/06/02
12349	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2012	0.270	86.000	2012/01/04	300,000,000	2011/06/02
12348	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2011 B	0.300	83.800	2011/12/12	300,000,000	2011/06/02

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12350	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Oct 2011	0.170	13,000 per 5,500 units	2011/10/28	200,000,000	2011/06/02
12351	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Dec 11	0.250	12,600 per 6,000 units	2011/12/29	200,000,000	2011/06/02
12353	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 11	0.250	22,200 per 8,000 units	2011/12/29	300,000,000	2011/06/02
12352	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2011 B	0.250	23,000 per 8,000 units	2011/12/29	300,000,000	2011/06/02
12354	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 11C	0.250	22,800 per 8,000 units	2011/12/29	300,000,000	2011/06/02
12366	UBS AG	BYD European Warrants Dec 2011	0.250	26.880	2011/12/08	100,000,000	2011/06/02
12377	BOCI Asia Ltd.	China Life European Warrants Sep 2011 A	0.160	26.800	2011/09/30	100,000,000	2011/06/03
12379	BOCI Asia Ltd.	HSI European Warrants Dec 2011	0.150	23,400 per 8,000 units	2011/12/29	100,000,000	2011/06/03
12378	BOCI Asia Ltd.	Tracker Fund European Warrants Aug 2012	0.250	24.800	2012/08/01	100,000,000	2011/06/03
12400	BNP Paribas Arbit Issu B.V.	Ch Rongsheng European Wt Jan12	0.560	5.88 per unit	2012/01/03	23,000,000	2011/06/03
12408	Citigroup Global Mkt H Inc.	CNOOC European Wts Dec 2011	0.150	20.020	2011/12/23	80,000,000	2011/06/03
12410	Citigroup Global Mkt H Inc.	A50 China Eur Put Wts May 2012	0.150	12.780	2012/05/21	80,000,000	2011/06/03
12407	Citigroup Global Mkt H Inc.	Link Reit European Wts Feb 12	0.250	28.880	2012/02/06	80,000,000	2011/06/03
12406	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Aug 2012	0.150	10.700	2012/08/13	80,000,000	2011/06/03
12409	Citigroup Global Mkt H Inc.	Ch Rongsheng European Wt Dec11	0.250	5.88 per unit	2011/12/05	50,000,000	2011/06/03
12382	HK Bank	BYD European Warrants Dec 2011	0.250	28.880	2011/12/16	100,000,000	2011/06/03
12383	HK Bank	China Coal European Warrants Dec 2012	0.250	11.000	2012/12/05	100,000,000	2011/06/03
12386	HK Bank	Ch Ship Cont European Warrants Mar 2012	0.310	3.28 per unit	2012/03/09	80,000,000	2011/06/03
12381	HK Bank	Fushan Energy European Warrants Feb 2012	0.340	5.20 per unit	2012/02/21	80,000,000	2011/06/03
12385	HK Bank	R&F Ppt European Warrants Nov 2012	0.250	11.880	2012/11/16	100,000,000	2011/06/03
12387	HK Bank	GCL-Poly Energy European Warrants Dec 2011 A	0.550	4.18 per unit	2011/12/05	80,000,000	2011/06/03
12380	HK Bank	KECL European Warrants Aug 2012	0.250	15.880	2012/08/13	100,000,000	2011/06/03
12384	HK Bank	Li Ning European Warrants Apr 2012	0.250	16.280	2012/04/20	100,000,000	2011/06/03
12405	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Dec 2011	0.250	74.880	2011/12/05	128,000,000	2011/06/03
12404	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Nov 2011 A	0.250	72.880	2011/11/14	128,000,000	2011/06/03
12390	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2011	0.250	84.880	2011/12/05	128,000,000	2011/06/03
12397	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Dec 2011	0.450	78.880	2011/12/05	108,000,000	2011/06/03
12388	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2011 E	0.480	77.880	2011/10/17	108,000,000	2011/06/03
12389	KBC Fin Products Int'l Ltd.	HSBC European Wts Oct 2011 F	0.570	76.000	2011/10/03	108,000,000	2011/06/03
12391	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2011 A	0.250	88.880	2011/12/05	128,000,000	2011/06/03
12392	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2011 B	0.150	93.980	2011/12/15	108,000,000	2011/06/03
12393	KBC Fin Products Int'l Ltd.	HSBC European Wts Dec 2011 C	0.380	80.680	2011/12/05	128,000,000	2011/06/03
12394	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Sep 2011	0.520	83.880	2011/09/27	128,000,000	2011/06/03
12395	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Sep 2011 A	0.330	88.000	2011/09/22	128,000,000	2011/06/03
12396	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Oct 2011 A	0.150	95.880	2011/10/03	128,000,000	2011/06/03
12401	KBC Fin Products Int'l Ltd.	ICBC European Wts Sep 2011	0.250	6.38 per unit	2011/09/26	108,000,000	2011/06/03
12403	KBC Fin Products Int'l Ltd.	ICBC European Wts Dec 2011	0.250	7 per unit	2011/12/05	128,000,000	2011/06/03
12402	KBC Fin Products Int'l Ltd.	ICBC European Wts Oct 2011 C	0.150	6.888 per unit	2011/10/03	128,000,000	2011/06/03
12367	Merrill Lynch Int'l & Co. C.V.	BOC HK Euro Wts Sep 2012	0.250	25.990	2012/09/28	80,000,000	2011/06/03
12368	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eur Wts Jan 2012	0.380	36.990	2012/01/09	80,000,000	2011/06/03
12369	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Oct 2011 A	0.475	78.890	2011/10/11	80,000,000	2011/06/03
12370	Merrill Lynch Int'l & Co. C.V.	JX Copper Euro Wts Dec 2011	0.255	26.290	2011/12/05	80,000,000	2011/06/03
12371	Merrill Lynch Int'l & Co. C.V.	JX Copper Euro Wts Mar 2012	0.255	28.990	2012/03/05	80,000,000	2011/06/03



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12373	CC Rabobank B.A.	CITIC Pacific European Warrants Dec 2011	0.250	20.880	2011/12/05	100,000,000	2011/06/03
12375	CC Rabobank B.A.	China Life European Warrants Nov 2011	0.150	29.880	2011/11/28	200,000,000	2011/06/03
12376	CC Rabobank B.A.	Evergrande European Warrants Nov 2011	0.400	6.88 per unit	2011/11/23	100,000,000	2011/06/03
12372	CC Rabobank B.A.	Galaxy Ent European Warrants Mar 2012	0.250	19.880	2012/03/26	100,000,000	2011/06/03
12374	CC Rabobank B.A.	Yanzhou Coal European Warrants Nov 2011	0.350	30.880	2011/11/21	100,000,000	2011/06/03
12399	Standard Chartered Bank	Evergrande European Wts Aug 2013	0.250	4.280	2013/08/01	200,000,000	2011/06/03
12398	Standard Chartered Bank	HSBC European Warrants Feb 2012	0.425	84.000	2012/02/01	100,000,000	2011/06/03
11380 #	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Mar 2012	0.093	88.89 per 100 units	2012/03/21	200,000,000	2011/06/03
11815 #	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2011 C	0.620	88.000	2011/09/26	100,000,000	2011/06/03
12430	Credit Suisse AG	AIA European Warrants Nov 2011	0.150	30.050	2011/11/28	300,000,000	2011/06/07
12422	KBC Fin Products Int'l Ltd.	AIA European Warrants Dec 11B	0.250	27.880	2011/12/07	188,000,000	2011/06/07
12423	KBC Fin Products Int'l Ltd.	AIA European Warrants Dec 11C	0.290	25.880	2011/12/07	188,000,000	2011/06/07
12425	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jan 2012	0.250	77.680	2012/01/03	128,000,000	2011/06/07
12420	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Nov 2011	0.180	7.88 per unit	2011/11/02	108,000,000	2011/06/07
12421	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Nov 2011 A	0.350	7.38 per unit	2011/11/23	108,000,000	2011/06/07
12417	KBC Fin Products Int'l Ltd.	China Life Euro Wts Nov 2011	0.150	29.680	2011/11/16	158,000,000	2011/06/07
12419	KBC Fin Products Int'l Ltd.	China Life Euro Wts Nov 2011 A	0.220	27.180	2011/11/21	158,000,000	2011/06/07
12418	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jan 2012 A	0.250	28.680	2012/01/03	158,000,000	2011/06/07
12416	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Dec 2011	0.270	27.800	2011/12/07	108,000,000	2011/06/07
12426	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Dec 2011 D	0.360	69.880	2011/12/07	128,000,000	2011/06/07
12427	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jan 2012 A	0.590	65.880	2012/01/10	108,000,000	2011/06/07
12424	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Dec 2011 C	0.350	68.000	2011/12/07	128,000,000	2011/06/07
12428	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Dec 2011 B	0.250	13,800 per 5,500 units	2011/12/29	100,000,000	2011/06/07
12429	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Mar 2012	0.460	14,200 per 6,000 units	2012/03/29	100,000,000	2011/06/07
12413	Macquarie Bank Ltd.	China Unicom European Wts Apr 2012	0.250	20.880	2012/04/03	300,000,000	2011/06/07
12411	Macquarie Bank Ltd.	CITIC Pacific European Warrants Feb 12	0.205	23.880	2012/02/02	150,000,000	2011/06/07
12414	Macquarie Bank Ltd.	Jiangxi Copper European Wts Jan 2012	0.250	30.000	2012/01/04	300,000,000	2011/06/07
12412	Macquarie Bank Ltd.	Lee & Man Paper European Wts Jan12	0.438	5.55 per unit	2012/01/04	200,000,000	2011/06/07
12415	Macquarie Bank Ltd.	Ch Rongsheng European Warrants Dec 11	0.292	6.68 per unit	2011/12/06	100,000,000	2011/06/07
12431	UBS AG	GCL-Poly Energy European Warrants Dec 2011	0.250	4.98 per unit	2011/12/12	100,000,000	2011/06/07
12432	UBS AG	GCL-Poly Energy European Warrants Aug 2013	0.150	3.340	2013/08/23	400,000,000	2011/06/07
28380 #	Macquarie Bank Ltd.	Tencent European Put Warrants Aug 11B	0.053	200 per 100 units	2011/08/02	250,000,000	2011/06/07
29427 #	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Put Wts Sep 11	0.063	189.99 per 100 units	2011/09/12	200,000,000	2011/06/07
12443	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Oct 2011	0.350	12.90 per unit	2011/10/31	100,000,000	2011/06/08
12445	Credit Suisse AG	Hutchison European Warrants Nov 2011	0.250	98.050	2011/11/11	300,000,000	2011/06/08
12444	Credit Suisse AG	Hutchison European Warrants Oct 2011 A	0.350	93.050	2011/10/28	300,000,000	2011/06/08
12446	HK Bank	GCL-Poly Energy European Warrants Dec 2013	0.250	4.200	2013/12/30	100,000,000	2011/06/08
12454	KBC Fin Products Int'l Ltd.	BOCL European Wts Nov 2011	0.200	4.388 per unit	2011/11/18	108,000,000	2011/06/08
12453	KBC Fin Products Int'l Ltd.	BOCL European Wts Dec 2011	0.260	4.18 per unit	2011/12/08	88,000,000	2011/06/08
12455	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2012	0.150	4.718 per unit	2012/01/03	158,000,000	2011/06/08
12457	KBC Fin Products Int'l Ltd.	A50 Ch European Put Wts Dec 11	0.150	13.380	2011/12/30	88,000,000	2011/06/08
12460	KBC Fin Products Int'l Ltd.	HSI European Wts Oct 2011	0.150	25,000 per 8,000 units	2011/10/28	200,000,000	2011/06/08
12461	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2011 F	0.150	24,400 per 7,000 units	2011/09/29	200,000,000	2011/06/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12462	KBC Fin Products Int'l Ltd.	HSI European Wts Sep 2011 G	0.150	23,800 per 8,000 units	2011/09/29	200,000,000	2011/06/08
12459	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Oct 2011 A	0.150	23,400 per 8,800 units	2011/10/28	200,000,000	2011/06/08
12458	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2011 B	0.290	24,600 per 8,000 units	2011/12/29	200,000,000	2011/06/08
12456	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Oct 2011 B	0.150	108.800	2011/10/17	168,000,000	2011/06/08
12441	Macquarie Bank Ltd.	China Unicom European Put Wts Jul 2012	0.250	15.000	2012/07/03	200,000,000	2011/06/08
12448	Macquarie Bank Ltd.	HSCEI European Warrants Dec 2011	0.150	13,600 per 6,500 units	2011/12/29	300,000,000	2011/06/08
12447	Macquarie Bank Ltd.	HSI European Warrants Nov 2011	0.160	24,200 per 8,000 units	2011/11/29	300,000,000	2011/06/08
12437	CC Rabobank B.A.	Shougang Res European Warrants Dec 2011	0.450	5.60 per unit	2011/12/09	100,000,000	2011/06/08
12438	CC Rabobank B.A.	GCL-Poly Energy European Wts Dec 2011	0.450	4.50 per unit	2011/12/09	120,000,000	2011/06/08
12439	CC Rabobank B.A.	HSBC European Warrants Nov 2011	0.200	90.000	2011/11/09	100,000,000	2011/06/08
12440	CC Rabobank B.A.	Hutchison European Warrants Oct 2011	0.350	93.000	2011/10/26	120,000,000	2011/06/08
12436	CC Rabobank B.A.	China Mengniu European Warrants Jan 2012	0.250	28.000	2012/01/06	100,000,000	2011/06/08
12433	Standard Chartered Bank	CC Bank European Warrants Jun 2012	0.500	7.87 per unit	2012/06/01	100,000,000	2011/06/08
12434	Standard Chartered Bank	CC Bank European Warrants Nov 2012	0.250	9.39 per unit	2012/11/01	100,000,000	2011/06/08
12435	Standard Chartered Bank	China Life European Wts Mar 2012	0.250	28.280	2012/03/30	100,000,000	2011/06/08
12442	UBS AG	AIA European Warrants Mar 2012	0.250	32.380	2012/03/12	300,000,000	2011/06/08
12449	UBS AG	HKEx European Warrants Dec 2011 C	0.150	180.10 per 100 units	2011/12/05	300,000,000	2011/06/08
12451	UBS AG	HSI European Warrants Nov 2011	0.150	24,500 per 8,000 units	2011/11/29	300,000,000	2011/06/08
12452	UBS AG	HSI European Put Warrants Oct 2011	0.150	23,600 per 8,000 units	2011/10/28	300,000,000	2011/06/08
12450	UBS AG	Hutchison European Warrants Nov 2011 A	0.250	98.050	2011/11/08	300,000,000	2011/06/08
12486	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wts Feb 2012	0.360	42.000	2012/02/02	100,000,000	2011/06/09
12485	BNP Paribas Arbit Issu B.V.	BOCHK European Warrants Dec 12	0.290	25.000	2012/12/04	100,000,000	2011/06/09
12487	BNP Paribas Arbit Issu B.V.	Ch Mer Hold European Wts Feb12	0.440	35.000	2012/02/02	80,000,000	2011/06/09
12489	BNP Paribas Arbit Issu B.V.	CPIC European Warrants Apr 12	0.360	33.500	2012/04/02	100,000,000	2011/06/09
12488	BNP Paribas Arbit Issu B.V.	Wharf European Warrants Jan 12	0.340	65.000	2012/01/04	80,000,000	2011/06/09
12473	Credit Suisse AG	China Mobile European Warrants Oct 2011 C	0.150	77.050	2011/10/03	300,000,000	2011/06/09
12495	Citigroup Global Mkt H Inc.	CPIC European Warrants Dec 11	0.250	35.880	2011/12/12	80,000,000	2011/06/09
12496	Citigroup Global Mkt H Inc.	Galaxy Ent European Wts Dec11A	0.250	20.880	2011/12/12	80,000,000	2011/06/09
12498	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Dec 2011	0.350	97.880	2011/12/09	80,000,000	2011/06/09
12497	Citigroup Global Mkt H Inc.	Lenovo European Warrants Dec11	0.250	5.38 per unit	2011/12/12	80,000,000	2011/06/09
12494	Citigroup Global Mkt H Inc.	PICC P&C European Wts Feb 2012	0.150	12.890	2012/02/03	80,000,000	2011/06/09
12492	Bank of East Asia	BYD European Warrants Feb 2012	0.250	31.211	2012/02/23	50,000,000	2011/06/09
12493	Bank of East Asia	R&F Ppt European Warrants Dec 2012	0.250	11.777	2012/12/21	130,000,000	2011/06/09
12491	Bank of East Asia	HKEx European Warrants Jul 2012	0.250	188.388 per 100 units	2012/07/23	80,000,000	2011/06/09
12490	Bank of East Asia	Hutchison European Warrants Jan 2012	0.250	113.000	2012/01/20	41,000,000	2011/06/09
12477	HK Bank	HWL European Warrants Oct 2011	0.290	93.000	2011/10/26	200,000,000	2011/06/09
12478	HK Bank	HWL European Warrants Dec 2011	0.250	96.000	2011/12/09	200,000,000	2011/06/09
12479	HK Bank	HWL European Warrants Jan 2012	0.250	105.000	2012/01/27	200,000,000	2011/06/09
12471	Macquarie Bank Ltd.	Ch Oilfield European Warrants Jan 2012	0.250	17.280	2012/01/04	200,000,000	2011/06/09
12470	Macquarie Bank Ltd.	Ch Comm Cons European Wts Jan 2012	0.286	8.68 per unit	2012/01/04	100,000,000	2011/06/09
12472	Macquarie Bank Ltd.	China Shenhua European Wts Jan 2012	0.250	44.880	2012/01/04	200,000,000	2011/06/09
12483	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Nov 2011	0.510	93.890	2011/11/23	80,000,000	2011/06/09
12484	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Nov 11A	0.345	98.000	2011/11/08	80,000,000	2011/06/09

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12481	CC Rabobank B.A.	ChiUnicom European Warrants Apr 2012	0.180	19.080	2012/04/23	150,000,000	2011/06/09
12482	CC Rabobank B.A.	Chow Sang Sang European Warrants Dec 2011	0.250	30.000	2011/12/12	100,000,000	2011/06/09
12480	CC Rabobank B.A.	Hutchison European Warrants Dec 2011	0.330	103.000	2011/12/12	200,000,000	2011/06/09
12475	Standard Chartered Bank	Hutchison Euro Euro Wts Jan 2014	0.250	90 per 100 units	2014/01/07	200,000,000	2011/06/09
12474	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2011 C	0.365	95.880	2011/10/03	100,000,000	2011/06/09
12476	Standard Chartered Bank	ICBC European Warrants Dec 2011	0.250	7.28 per unit	2011/12/09	100,000,000	2011/06/09
12463	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Dec 2011	0.250	4.58 per unit	2011/12/12	200,000,000	2011/06/09
12464	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 2012	0.250	80.880	2012/01/09	200,000,000	2011/06/09
12465	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Apr 2012	0.180	21.000	2012/04/02	500,000,000	2011/06/09
12466	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2011	0.290	100.000	2011/10/03	200,000,000	2011/06/09
12467	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2011 A	0.260	103.880	2011/10/26	200,000,000	2011/06/09
12468	SGA Societe Generale Acceptance N.V.	Lonking Euro Wt Dec11	0.470	5.08 per unit	2011/12/21	30,000,000	2011/06/09
12469	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Dec 11	0.270	20.000	2011/12/12	200,000,000	2011/06/09
12502	BNP Paribas Arbit Issu B.V.	Ch Ship Cont European Wt Jan12	0.330	3.40 per unit	2012/01/04	60,000,000	2011/06/10
12501	BNP Paribas Arbit Issu B.V.	CSR Times European Wts Jan12	0.380	28.000	2012/01/04	55,000,000	2011/06/10
12506	BNP Paribas Arbit Issu B.V.	HLand Dev Euro Wts Jan 2012	0.320	57.000	2012/01/04	80,000,000	2011/06/10
12505	BNP Paribas Arbit Issu B.V.	MTRC European Warrants Dec 2012	0.260	30.000	2012/12/04	45,000,000	2011/06/10
12504	BNP Paribas Arbit Issu B.V.	SkyworthDig European Wts Jun14	0.250	4.900	2014/06/04	100,000,000	2011/06/10
12503	BNP Paribas Arbit Issu B.V.	Zhaojin Mining Euro Wts Mar12	0.290	18.800	2012/03/02	55,000,000	2011/06/10
12510	Credit Suisse AG	BYD European Warrants Oct 2011	0.150	30.900	2011/10/03	200,000,000	2011/06/10
12508	Credit Suisse AG	ChiUnicom European Warrants Feb 2012	0.250	20.000	2012/02/20	200,000,000	2011/06/10
12507	Credit Suisse AG	HSI European Warrants Sep 2011 B	0.150	23,900 per 8,000 units	2011/09/29	300,000,000	2011/06/10
12512	Credit Suisse AG	HSI European Put Warrants Sep 2011 C	0.150	23,100 per 8,000 units	2011/09/29	300,000,000	2011/06/10
12509	Credit Suisse AG	Tencent Holdings European Wts Oct 2011 A	0.200	218.20 per 100 units	2011/10/31	300,000,000	2011/06/10
12511	Credit Suisse AG	Tencent Holdings Euro Put Wts Sep 2011 D	0.150	214.80 per 100 units	2011/09/12	300,000,000	2011/06/10
12524	Citigroup Global Mkt H Inc.	Anhui Conch European Wts Dec11	0.250	38.380	2011/12/12	80,000,000	2011/06/10
12526	Citigroup Global Mkt H Inc.	Evergrande European Wts Oct11A	0.400	5.89 per unit	2011/10/19	50,000,000	2011/06/10
12525	Citigroup Global Mkt H Inc.	Li & Fung European Wts Feb 12	0.250	19.880	2012/02/06	80,000,000	2011/06/10
12523	Citigroup Global Mkt H Inc.	SPDR Gold T European Wts Dec11	0.400	1,238 per 100 units	2011/12/12	50,000,000	2011/06/10
12519	KBC Fin Products Int'l Ltd.	Ping An European Wts Dec 2011	0.540	86.000	2011/12/12	80,000,000	2011/06/10
12520	KBC Fin Products Int'l Ltd.	Ping An European Wts Dec 2011A	0.330	93.880	2011/12/22	80,000,000	2011/06/10
12521	KBC Fin Products Int'l Ltd.	Ping An Euro Put Wts Dec 2011	0.610	80.000	2011/12/12	68,000,000	2011/06/10
12500	Macquarie Bank Ltd.	HSI European Warrants Mar 2012	0.250	23,000 per 10,000 units	2012/03/29	300,000,000	2011/06/10
12499	Macquarie Bank Ltd.	Lenovo European Warrants Jan 2012	0.251	5.28 per unit	2012/01/04	128,000,000	2011/06/10
12513	CC Rabobank B.A.	CMOC European Warrants Nov 2012	0.170	7.800	2012/11/27	80,000,000	2011/06/10
12514	CC Rabobank B.A.	Shineway Pharm European Warrants Feb 2012	0.300	20.000	2012/02/27	80,000,000	2011/06/10
12515	CC Rabobank B.A.	Henganintl European Warrants Dec 2011	0.590	76.000	2011/12/12	80,000,000	2011/06/10
12517	CC Rabobank B.A.	Poly HK Inv European Warrants Dec 2011	0.630	6.25 per unit	2011/12/12	80,000,000	2011/06/10
12516	CC Rabobank B.A.	Soho China European Warrants Dec 2011	0.630	7.50 per unit	2011/12/12	80,000,000	2011/06/10
12518	UBS AG	HSBC European Warrants Sep 2011 E	0.200	82.880	2011/09/19	300,000,000	2011/06/10
12541	Credit Suisse AG	Agricultural Bank European Wts Sep 2011 A	0.150	4.61 per unit	2011/09/30	300,000,000	2011/06/13
12527	Citigroup Global Mkt H Inc.	Agricultural Bk Eu Wt Dec 2011	0.350	4.38 per unit	2011/12/13	80,000,000	2011/06/13
12528	Citigroup Global Mkt H Inc.	Angang Steel European Wt Dec12	0.250	8.180	2012/12/31	80,000,000	2011/06/13

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12535	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Nov 2011	0.310	4,388 per unit	2011/11/01	108,000,000	2011/06/13
12529	Macquarie Bank Ltd.	Bank of EA European Warrants May 2012	0.250	38.880	2012/05/03	200,000,000	2011/06/13
12537	CC Rabobank B.A.	Air China European Warrants Dec 2012	0.250	8.400	2012/12/17	80,000,000	2011/06/13
12531	CC Rabobank B.A.	BEA European Warrants Jan 2012	0.250	35.000	2012/01/09	80,000,000	2011/06/13
12538	CC Rabobank B.A.	Zoomlion European Warrants Jan 2012	0.250	20.000	2012/01/30	80,000,000	2011/06/13
12532	CC Rabobank B.A.	LOccitane European Warrants Mar 2012	0.250	23.000	2012/03/12	80,000,000	2011/06/13
12539	CC Rabobank B.A.	Nine Dragons European Warrants Jan 2012	0.730	8.80 per unit	2012/01/16	80,000,000	2011/06/13
12533	CC Rabobank B.A.	Shui On Land European Warrants Mar 2012	0.390	3.60 per unit	2012/03/26	80,000,000	2011/06/13
12534	CC Rabobank B.A.	Ting Yi European Warrants Dec 2011	0.250	25.000	2011/12/19	80,000,000	2011/06/13
12540	CC Rabobank B.A.	Winsway European Warrants Jan 2012	0.620	4 per unit	2012/01/30	80,000,000	2011/06/13
12530	Standard Chartered Bank	CNBM European Warrants Jan 2012	0.250	16.280	2012/01/06	100,000,000	2011/06/13
12536	Standard Chartered Bank	HSBC European Warrants Dec 2015	0.250	79.88 per 100 units	2015/12/07	200,000,000	2011/06/13
12543	UBS AG	Agricultural Bank European Warrants Oct 2011 A	0.150	4.51 per unit	2011/10/21	200,000,000	2011/06/13
12542	UBS AG	China Mobile European Warrants Dec 2011 A	0.250	75.000	2011/12/13	200,000,000	2011/06/13
12548	BNP Paribas Arbit Issu B.V.	KECL European Warrants Mar 12	0.180	14.500	2012/03/02	100,000,000	2011/06/14
12546	UBS AG	China Life European Warrants Nov 2011 A	0.150	27.930	2011/11/28	300,000,000	2011/06/14
12547	UBS AG	SHK Ppt European Warrants Dec 2012	0.150	130.10 per 100 units	2012/12/10	200,000,000	2011/06/14
11782 <sup>a</sup>	Credit Suisse AG	HSI European Warrants Aug 2011 A	0.055	23,500 per 8,000 units	2011/08/30	300,000,000	2011/06/14
12567	BNP Paribas Arbit Issu B.V.	HSI European Wts Jan 2012	0.250	22,000 per 8,000 units	2012/01/30	200,000,000	2011/06/15
12569	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Oct 2011	0.180	23,200 per 9,000 units	2011/10/28	200,000,000	2011/06/15
12570	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Nov 2011	0.150	22,000 per 9,000 units	2011/11/29	200,000,000	2011/06/15
12568	BNP Paribas Arbit Issu B.V.	HSI European Wts Jan 2012 A	0.150	23,900 per 8,000 units	2012/01/30	200,000,000	2011/06/15
12580	Credit Suisse AG	BYD European Warrants Oct 2011 A	0.150	25.900	2011/10/04	200,000,000	2011/06/15
12577	Credit Suisse AG	China Unicom European Put Warrants Nov 11	0.150	15.900	2011/11/25	200,000,000	2011/06/15
12573	Credit Suisse AG	Cheung Kong European Warrants Apr 2012	0.150	120.90 per 100 units	2012/04/23	300,000,000	2011/06/15
12579	Credit Suisse AG	Zoomlion European Warrants Dec 2011	0.250	18.880	2011/12/19	200,000,000	2011/06/15
12574	Credit Suisse AG	HSBC European Wts Oct 2011	0.150	85.900	2011/10/04	300,000,000	2011/06/15
12572	Credit Suisse AG	HSI European Warrants Sep 2011 D	0.150	23,000 per 8,000 units	2011/09/29	300,000,000	2011/06/15
12582	Credit Suisse AG	HSI European Put Warrants Sep 2011 E	0.150	22,700 per 8,000 units	2011/09/29	300,000,000	2011/06/15
12575	Credit Suisse AG	Hutchison European Warrants Oct 2011 B	0.400	88.900	2011/10/24	300,000,000	2011/06/15
12576	Credit Suisse AG	KECL European Warrants Feb 2012	0.150	14.500	2012/02/27	200,000,000	2011/06/15
12581	Credit Suisse AG	Lonking European Warrants Dec 2011	0.250	5.18 per unit	2011/12/19	200,000,000	2011/06/15
12578	Credit Suisse AG	PetroChina European Warrants Sep 2011	0.150	12.30 per unit	2011/09/30	300,000,000	2011/06/15
12565	HK Bank	BOCL European Warrants Dec 2011	0.250	4.25 per unit	2011/12/15	100,000,000	2011/06/15
12566	HK Bank	HSCEI European Put Warrants Dec 2011	0.250	12,500 per 6,000 units	2011/12/29	150,000,000	2011/06/15
12571	Macquarie Bank Ltd.	HSI European Put Warrants Nov 2011	0.150	22,000 per 9,000 units	2011/11/29	300,000,000	2011/06/15
12549	Merrill Lynch Int'l & Co. C.V.	BankComm Euro Wts Jan 2012	0.365	8.29 per unit	2012/01/16	80,000,000	2011/06/15
12550	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Wts Nov 2012	0.285	18.990	2012/11/26	80,000,000	2011/06/15
12551	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Dec 2011 A	0.250	8.99 per unit	2011/12/20	80,000,000	2011/06/15
12552	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eur Wts Apr 2012	0.250	41.990	2012/04/30	80,000,000	2011/06/15
12554	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Apr 2012	0.152	178.88 per 100 units	2012/04/05	80,000,000	2011/06/15
12553	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2011 C	0.151	169.99 per 100 units	2011/12/09	80,000,000	2011/06/15
12555	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Oct 2011C	0.152	6.79 per unit	2011/10/11	80,000,000	2011/06/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12556	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Oct 2011D	0.221	6.39 per unit	2011/10/10	80,000,000	2011/06/15
12557	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Put Wts Mar 12	0.250	199.99 per 100 units	2012/03/30	80,000,000	2011/06/15
12558	CC Rabobank B.A.	Angang Steel European Warrants Jan 2012	0.750	9.88 per unit	2012/01/31	100,000,000	2011/06/15
12561	CC Rabobank B.A.	Ch Comm Cons European Warrants Jan 2012	0.650	7.50 per unit	2012/01/16	100,000,000	2011/06/15
12564	CC Rabobank B.A.	Ch State Con European Warrants Aug 2012	0.250	9.000	2012/08/31	100,000,000	2011/06/15
12563	CC Rabobank B.A.	GAC European Warrants Mar 2012	0.250	8.880	2012/03/26	100,000,000	2011/06/15
12559	CC Rabobank B.A.	Ch Rongsheng European Warrants Dec 2011 A	0.550	4.88 per unit	2011/12/29	100,000,000	2011/06/15
12562	CC Rabobank B.A.	Sands China European Warrants Jan 2012	0.250	20.500	2012/01/30	100,000,000	2011/06/15
12560	CC Rabobank B.A.	Wynn Macau European Warrants Mar 2012	0.470	25.100	2012/03/30	100,000,000	2011/06/15
12584	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Feb 2012	0.190	11.880	2012/02/06	300,000,000	2011/06/15
12585	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul 12A	0.250	11.680	2012/07/25	300,000,000	2011/06/15
12586	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Oct 2012	0.250	12.280	2012/10/15	300,000,000	2011/06/15
12583	UBS AG	ICBC European Warrants Dec 2011	0.250	6.58 per unit	2011/12/19	100,000,000	2011/06/15
12604	Credit Suisse AG	Agricultural Bank European Wts Sep 2011 B	0.150	4.39 per unit	2011/09/26	300,000,000	2011/06/16
12603	Credit Suisse AG	CC Bank European Warrants Sep 2011 B	0.150	7.09 per unit	2011/09/26	300,000,000	2011/06/16
12606	Credit Suisse AG	GCL-Poly Energy European Warrants Sep 11	0.150	4.79 per unit	2011/09/26	200,000,000	2011/06/16
12601	Credit Suisse AG	HKEx European Warrants Nov 2011	0.150	168.10 per 100 units	2011/11/21	300,000,000	2011/06/16
12602	Credit Suisse AG	HKEx European Warrants Dec 2011	0.150	175.18 per 100 units	2011/12/12	300,000,000	2011/06/16
12598	Credit Suisse AG	HSI European Warrants Sep 2011 F	0.150	22,100 per 8,000 units	2011/09/29	300,000,000	2011/06/16
12599	Credit Suisse AG	HSI European Warrants Sep 2011 G	0.150	22,300 per 8,000 units	2011/09/29	300,000,000	2011/06/16
12600	Credit Suisse AG	HSI European Warrants Sep 2011 H	0.150	22,700 per 7,500 units	2011/09/29	300,000,000	2011/06/16
12608	Credit Suisse AG	HSI European Put Warrants Sep 2011 I	0.150	21,700 per 8,000 units	2011/09/29	300,000,000	2011/06/16
12605	Credit Suisse AG	ICBC European Warrants Sep 2011 A	0.150	6.10 per unit	2011/09/26	300,000,000	2011/06/16
12607	Credit Suisse AG	Tencent Holdings Euro Put Wts Nov 2011	0.150	187.90 per 100 units	2011/11/07	300,000,000	2011/06/16
12587	HK Bank	CHALCO European Warrants Sep 2013	0.150	8.000	2013/09/25	120,000,000	2011/06/16
12590	Macquarie Bank Ltd.	BJ Ent Water European Warrants Nov 11	0.150	2.88 per unit	2011/11/09	200,000,000	2011/06/16
12596	Macquarie Bank Ltd.	BYD European Warrants Oct 2011	0.150	25.880	2011/10/04	100,000,000	2011/06/16
12591	Macquarie Bank Ltd.	CC Bank European Warrants Dec 2011	0.346	6.88 per unit	2011/12/16	200,000,000	2011/06/16
12595	Macquarie Bank Ltd.	CC Bank European Put Warrants Dec 2011	0.250	6.40 per unit	2011/12/16	200,000,000	2011/06/16
12592	Macquarie Bank Ltd.	Ch Ship Cont European Warrants Jan 12	0.281	3.18 per unit	2012/01/04	200,000,000	2011/06/16
12594	Macquarie Bank Ltd.	Li & Fung European Warrants Oct 2012	0.251	20.000	2012/10/03	200,000,000	2011/06/16
12593	Macquarie Bank Ltd.	Maanshan Iron European Wts Dec 2011	0.275	4.38 per unit	2011/12/16	200,000,000	2011/06/16
12588	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Nov 2011	0.180	4.28 per unit	2011/11/28	200,000,000	2011/06/16
12597	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2011 D	0.260	7.08 per unit	2011/09/28	200,000,000	2011/06/16
12589	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Oct 2011 D	0.300	6.08 per unit	2011/10/03	200,000,000	2011/06/16
12611	HK Bank	Agricultural Bank European Warrants Dec 2011	0.250	4.50 per unit	2011/12/19	100,000,000	2011/06/17
12612	Macquarie Bank Ltd.	BOCHK European Warrants Jun 2012	0.250	23.880	2012/06/04	200,000,000	2011/06/17
12613	Macquarie Bank Ltd.	CITIC Bank European Warrants Dec 2011	0.351	5.50 per unit	2011/12/19	200,000,000	2011/06/17
12614	Standard Chartered Bank	China Mobile Euro Wts Feb 2012	0.250	77.770	2012/02/29	100,000,000	2011/06/17
12616	Standard Chartered Bank	A50 China European Warrants Apr 12	0.195	11.600	2012/04/20	100,000,000	2011/06/17
12615	Standard Chartered Bank	YZ Coal European Warrants Mar 2012	0.250	36.880	2012/03/02	100,000,000	2011/06/17
12618	UBS AG	Agricultural Bank European Warrants Dec 2011	0.250	4.13 per unit	2011/12/19	100,000,000	2011/06/17
12617	UBS AG	BYD European Warrants Dec 2011 A	0.250	24.880	2011/12/19	100,000,000	2011/06/17

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12619	UBS AG	Tencent Holdings European Warrants Oct 2011 D	0.150	218.18 per 100 units	2011/10/31	200,000,000	2011/06/17
12620	UBS AG	Tencent Holdings European Put Warrants Nov 2011 A	0.150	188 per 100 units	2011/11/07	200,000,000	2011/06/17
25670 <sup>#</sup>	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2011 A	0.061	172 per 100 units	2011/10/03	300,000,000	2011/06/17
12621	BOCI Asia Ltd.	BOCL European Warrants Jan 2012	0.250	4.28 per unit	2012/01/16	100,000,000	2011/06/20
12622	BOCI Asia Ltd.	CC Bank European Warrants Jan 2012	0.250	7.88 per unit	2012/01/16	100,000,000	2011/06/20
12623	BOCI Asia Ltd.	ICBC European Warrants Jan 2012	0.250	6.88 per unit	2012/01/16	100,000,000	2011/06/20
12627	BNP Paribas Arbit Issu B.V.	BYD European Warrants Jun 2012	0.250	28.880	2012/06/04	90,000,000	2011/06/20
12628	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Feb 2012	0.160	11.860	2012/02/02	100,000,000	2011/06/20
12626	BNP Paribas Arbit Issu B.V.	SHK Ppt European Warrants Sep12	0.180	110 per 100 units	2012/09/04	100,000,000	2011/06/20
12633	Credit Suisse	Cheung Kong European Put Warrants Dec 2011	0.250	102.380	2011/12/20	200,000,000	2011/06/20
12632	Credit Suisse AG	HSI European Warrants Feb 2012	0.250	21,400 per 11,000 units	2012/02/28	500,000,000	2011/06/20
12631	Credit Suisse AG	SHK Ppt European Warrants Dec 2011	0.250	119.880	2011/12/20	200,000,000	2011/06/20
12634	Credit Suisse AG	SHK Ppt European Put Warrants Dec 2011	0.250	100.880	2011/12/20	200,000,000	2011/06/20
12630	HK Bank	Zijin Mining European Warrants Dec 2011 A	0.250	4.28 per unit	2011/12/29	80,000,000	2011/06/20
12625	Macquarie Bank Ltd.	China Life European Put Wts Feb 2012 A	0.250	25.500	2012/02/02	200,000,000	2011/06/20
12638	Macquarie Bank Ltd.	CRCC European Warrants Jan 2012	0.250	7.77 per unit	2012/01/04	200,000,000	2011/06/20
12624	Macquarie Bank Ltd.	HSBC European Warrants Jan 2012	0.307	85.000	2012/01/04	200,000,000	2011/06/20
12639	Macquarie Bank Ltd.	Wharf European Warrants Jan 2012	0.281	59.000	2012/01/04	200,000,000	2011/06/20
12629	Standard Chartered Bank	CC Bank European Warrants Dec 11A	0.550	6.48 per unit	2011/12/30	100,000,000	2011/06/20
12642	Standard Chartered Bank	CNBM European Warrants May 2012	0.275	13.230	2012/05/07	100,000,000	2011/06/20
12641	Standard Chartered Bank	HKEx European Warrants Mar 2012	0.176	161 per 100 units	2012/03/29	100,000,000	2011/06/20
12640	Standard Chartered Bank	MTRC European Warrants Feb 2012	0.250	26.660	2012/02/01	100,000,000	2011/06/20
12635	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Dec 2011	0.290	22.680	2011/12/21	180,000,000	2011/06/20
12636	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2011 F	0.150	22,200 per 8,000 units	2011/09/29	300,000,000	2011/06/20
12637	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Nov 11A	0.150	21,400 per 8,000 units	2011/11/29	300,000,000	2011/06/20
11418 <sup>#</sup>	UBS AG	AIA European Warrants Nov 2011	0.098	30.050	2011/11/28	200,000,000	2011/06/20
12650	BOCI Asia Ltd.	BOC HK European Warrants Jul 2012	0.250	25.000	2012/07/04	100,000,000	2011/06/21
12652	BOCI Asia Ltd.	HKEx European Put Warrants Dec 2011	0.150	160.80 per 100 units	2011/12/12	200,000,000	2011/06/21
12653	BOCI Asia Ltd.	HSBC European Put Warrants Dec 2011	0.250	68.000	2011/12/28	100,000,000	2011/06/21
12651	BOCI Asia Ltd.	KECL European Warrants Feb 2012	0.150	14.480	2012/02/29	100,000,000	2011/06/21
12656	Macquarie Bank Ltd.	Hang Lung Ppt European Wts Apr 2012	0.252	36.880	2012/04/03	200,000,000	2011/06/21
12655	Macquarie Bank Ltd.	MTRC European Warrants Oct 2012	0.250	30.000	2012/10/04	200,000,000	2011/06/21
12657	Macquarie Bank Ltd.	Zijin Mining European Put Wts Feb 2012	0.250	3 per unit	2012/02/02	200,000,000	2011/06/21
12643	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2011 A	0.174	28.890	2011/12/28	80,000,000	2011/06/21
12644	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2011 B	0.151	29.890	2011/12/06	80,000,000	2011/06/21
12647	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2011 A	0.168	87.490	2011/11/14	80,000,000	2011/06/21
12645	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Dec 2011 D	0.370	80.690	2011/12/07	80,000,000	2011/06/21
12646	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Dec 2011 E	0.238	84.890	2011/12/06	80,000,000	2011/06/21
12648	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Dec 2011 A	0.280	209.99 per 100 units	2011/12/28	80,000,000	2011/06/21
12649	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Feb 2012	0.250	229.99 per 100 units	2012/02/21	80,000,000	2011/06/21
12658	UBS AG	BOCL European Warrants Nov 2011	0.150	4.29 per unit	2011/11/24	100,000,000	2011/06/21
12654	UBS AG	China Unicom European Warrants Dec 2011	0.150	18.020	2011/12/12	100,000,000	2011/06/21
12660	UBS AG	HSI European Warrants Dec 2011	0.150	23,800 per 9,000 units	2011/12/29	300,000,000	2011/06/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12659	UBS AG	ICBC European Warrants Dec 2011 A	0.250	6 per unit	2011/12/28	100,000,000	2011/06/21
11783*	Credit Suisse AG	HSI European Warrants Aug 2011 B	0.040	23,300 per 8,000 units	2011/08/30	300,000,000	2011/06/21
12671	BNP Paribas Arbit Issu B.V.	Alibaba European Wts Oct 2012	0.250	12.280	2012/10/04	100,000,000	2011/06/22
12674	HK Bank	AIA European Warrants Mar 2012	0.250	30.880	2012/03/26	150,000,000	2011/06/22
12673	HK Bank	CC Bank European Warrants Nov 2011	0.250	7.38 per unit	2011/11/23	120,000,000	2011/06/22
12675	HK Bank	ICBC European Warrants Dec 2011	0.250	6.40 per unit	2011/12/22	100,000,000	2011/06/22
12672	HK Bank	Maanshan Iron European Warrants Dec 2011	0.250	4.50 per unit	2011/12/28	80,000,000	2011/06/22
12676	HK Bank	Zhaojin Mining European Warrants Feb 2012	0.250	19.000	2012/02/14	100,000,000	2011/06/22
12677	Macquarie Bank Ltd.	Galaxy Ent European Warrants Jan 2012	0.250	18.880	2012/01/04	200,000,000	2011/06/22
12662	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2011 A	0.252	12,000 per 4,500 units	2011/12/29	388,000,000	2011/06/22
12661	Macquarie Bank Ltd.	HSI European Put Warrants Nov 2011 A	0.150	21,400 per 8,000 units	2011/11/29	388,000,000	2011/06/22
12663	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Jan12	0.255	27.990	2012/01/10	80,000,000	2011/06/22
12664	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Mar12	0.250	30.390	2012/03/07	80,000,000	2011/06/22
12669	Merrill Lynch Int'l & Co. C.V.	AIA European Put Wts Jan 12	0.250	26.990	2012/01/30	80,000,000	2011/06/22
12665	Merrill Lynch Int'l & Co. C.V.	BEA European Wts Jan 2012	0.265	32.990	2012/01/09	80,000,000	2011/06/22
12666	Merrill Lynch Int'l & Co. C.V.	BEA European Wts Mar 2012	0.255	35.490	2012/03/12	80,000,000	2011/06/22
12667	Merrill Lynch Int'l & Co. C.V.	BOC HK Euro Wts May 2012	0.260	23.890	2012/05/07	80,000,000	2011/06/22
12668	Merrill Lynch Int'l & Co. C.V.	China Railway Eu Wt Mar 12	0.340	4.29 per unit	2012/03/12	80,000,000	2011/06/22
12670	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2011 F	0.151	22,900 per 8,000 units	2011/09/29	200,000,000	2011/06/22
12681	Standard Chartered Bank	Tencent Hldg European Wts Nov 2011	0.180	215 per 100 units	2011/11/30	100,000,000	2011/06/22
12682	Standard Chartered Bank	Zijin Mining European Wts Dec11	0.250	4.20 per unit	2011/12/30	100,000,000	2011/06/22
12680	SGA Societe Generale Acceptance N.V.	CCoal Eur Wt Nov 2012	0.180	11.000	2012/11/28	300,000,000	2011/06/22
12679	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Apr 2012	0.250	19.580	2012/04/30	200,000,000	2011/06/22
12678	SGA Societe Generale Acceptance N.V.	CPAir Eur Wt Jan 2012	0.190	18.000	2012/01/30	80,000,000	2011/06/22
12684	UBS AG	AIA European Warrants Dec 2011	0.150	27.930	2011/12/05	100,000,000	2011/06/22
12683	UBS AG	Sinopec Corp European Warrants Nov 2011	0.150	8.60 per unit	2011/11/21	100,000,000	2011/06/22
12685	UBS AG	HSI European Warrants Nov 2011 A	0.150	23,400 per 9,000 units	2011/11/29	300,000,000	2011/06/22
12696	BOCI Asia Ltd.	GCL-Poly Energy European Warrants Aug 2013	0.150	3.330	2013/08/30	300,000,000	2011/06/23
12722	Credit Suisse AG	BOCL European Warrants Dec 2011	0.150	4.19 per unit	2011/12/05	200,000,000	2011/06/23
12715	Credit Suisse AG	CNBM European Warrants Nov 2011	0.150	16.900	2011/11/28	150,000,000	2011/06/23
12721	Credit Suisse AG	Evergrande European Warrants Dec 2011	0.250	5.08 per unit	2011/12/23	150,000,000	2011/06/23
12720	Credit Suisse AG	HSBC European Wts Nov 2011	0.210	80.700	2011/11/28	300,000,000	2011/06/23
12713	Credit Suisse AG	Lenovo European Warrants Nov 2011	0.150	4.89 per unit	2011/11/07	150,000,000	2011/06/23
12714	Credit Suisse AG	PICC European Warrants Dec 2011	0.150	12.700	2011/12/19	150,000,000	2011/06/23
12693	HK Bank	China COSCO European Warrants Mar 2012	0.500	6.88 per unit	2012/03/09	80,000,000	2011/06/23
12694	HK Bank	HSI European Warrants Sep 2011 A	0.150	22,100 per 8,000 units	2011/09/29	200,000,000	2011/06/23
12695	HK Bank	HSI European Warrants Dec 2011 G	0.250	21,800 per 9,000 units	2011/12/29	200,000,000	2011/06/23
12697	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Jan 2012	0.258	55.000	2012/01/04	200,000,000	2011/06/23
12699	Macquarie Bank Ltd.	Hunan Nonferrous European Wts Dec 2011	0.260	3.38 per unit	2011/12/23	200,000,000	2011/06/23
12698	Macquarie Bank Ltd.	ZTE European Warrants Dec 2011	0.252	30.880	2011/12/23	200,000,000	2011/06/23
12688	Merrill Lynch Int'l & Co. C.V.	Ch Comm Cons Eu Wt Nov 2013	0.168	6.600	2013/11/26	80,000,000	2011/06/23
12687	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Apr 2012	0.250	19.390	2012/04/26	80,000,000	2011/06/23
12686	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Nov 2012	0.250	21.690	2012/11/21	80,000,000	2011/06/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12691	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Dec 2011 D	0.154	160.90 per 100 units	2011/12/16	80,000,000	2011/06/23
12689	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Feb 2012	0.620	76.990	2012/02/06	80,000,000	2011/06/23
12692	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2011 D	0.154	21,300 per 8,000 units	2011/11/29	200,000,000	2011/06/23
12690	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Nov 2011	0.300	77.990	2011/11/14	80,000,000	2011/06/23
12703	Nomura Int'l plc	HSI European Warrants Sep 2011	0.151	22,500 per 7,500 units	2011/09/29	200,000,000	2011/06/23
12705	Nomura Int'l plc	HSI European Warrants Oct 2011	0.153	22,600 per 8,000 units	2011/10/28	200,000,000	2011/06/23
12707	Nomura Int'l plc	HSI European Warrants Nov 2011	0.150	22,500 per 9,800 units	2011/11/29	200,000,000	2011/06/23
12708	Nomura Int'l plc	HSI European Put Warrants Sep 2011	0.208	22,800 per 8,000 units	2011/09/29	200,000,000	2011/06/23
12711	Nomura Int'l plc	HSI European Put Warrants Oct 2011	0.195	22,400 per 8,000 units	2011/10/28	200,000,000	2011/06/23
12712	Nomura Int'l plc	HSI European Put Warrants Dec 2011	0.157	21,300 per 8,800 units	2011/12/29	200,000,000	2011/06/23
12704	Nomura Int'l plc	HSI European Warrants Sep 2011 A	0.151	22,200 per 8,000 units	2011/09/29	200,000,000	2011/06/23
12706	Nomura Int'l plc	HSI European Warrants Oct 2011 A	0.152	22,800 per 8,000 units	2011/10/28	200,000,000	2011/06/23
12709	Nomura Int'l plc	HSI European Put Warrants Sep 2011 B	0.155	22,100 per 8,000 units	2011/09/29	200,000,000	2011/06/23
12710	Nomura Int'l plc	HSI European Put Warrants Sep 2011 C	0.158	21,700 per 8,000 units	2011/09/29	200,000,000	2011/06/23
12716	Standard Chartered Bank	HSBC European Warrants Sep 2011 D	0.178	82.880	2011/09/23	100,000,000	2011/06/23
12718	Standard Chartered Bank	ICBC European Warrants Oct 2011	0.180	6.38 per unit	2011/10/10	100,000,000	2011/06/23
12717	Standard Chartered Bank	ICBC European Warrants Sep 2011 C	0.250	6.09 per unit	2011/09/26	100,000,000	2011/06/23
12719	Standard Chartered Bank	ICBC European Warrants Dec 2011 A	0.250	6.68 per unit	2011/12/28	100,000,000	2011/06/23
12701	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jan 2012	0.260	4.48 per unit	2012/01/09	200,000,000	2011/06/23
12700	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2011	0.260	7.38 per unit	2011/11/30	200,000,000	2011/06/23
12702	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jan 2012	0.270	6.48 per unit	2012/01/09	200,000,000	2011/06/23
12723	UBS AG	HKEx European Warrants Dec 2011 D	0.150	162.98 per 100 units	2011/12/22	300,000,000	2011/06/23
12725	UBS AG	SPDR Gold Trust European Warrants Dec 2011	0.250	1,250 per 100 units	2011/12/23	100,000,000	2011/06/23
12724	UBS AG	Tencent Holdings European Warrants Dec 2011	0.150	190.09 per 100 units	2011/12/12	200,000,000	2011/06/23
11380 #	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Mar 2012	0.047	88.89 per 100 units	2012/03/21	300,000,000	2011/06/23
29060 #	Standard Chartered Bank	China Life European Wts Nov 2011	0.045	29.680	2011/11/04	230,000,000	2011/06/23
12764	Credit Suisse AG	HKEx European Warrants Dec 2011 A	0.150	162.90 per 100 units	2011/12/20	300,000,000	2011/06/24
12768	Credit Suisse AG	HSI European Put Warrants Oct 2011	0.150	20,700 per 8,500 units	2011/10/28	500,000,000	2011/06/24
12765	Credit Suisse AG	HSI European Warrants Sep 2011 J	0.150	20,900 per 8,000 units	2011/09/29	500,000,000	2011/06/24
12766	Credit Suisse AG	HSI European Warrants Sep 2011 K	0.150	21,100 per 8,000 units	2011/09/29	500,000,000	2011/06/24
12767	Credit Suisse AG	HSI European Warrants Sep 2011 L	0.150	21,100 per 12,000 units	2011/09/29	500,000,000	2011/06/24
12761	Credit Suisse AG	Tencent Holdings European Wts Sep 2011 E	0.150	200.10 per 100 units	2011/09/30	300,000,000	2011/06/24
12732	HK Bank	Angang Steel European Warrants Jul 2013	0.250	8.680	2013/07/30	80,000,000	2011/06/24
12734	HK Bank	China Mobile European Warrants Nov 2011	0.250	72.890	2011/11/14	150,000,000	2011/06/24
12733	HK Bank	China Life European Warrants Jan 2012	0.180	27.290	2012/01/04	150,000,000	2011/06/24
12742	HK Bank	China Life European Put Warrants Mar 2012	0.250	25.000	2012/03/16	150,000,000	2011/06/24
12735	HK Bank	Geely Auto European Warrants Jun 2012	0.280	3.28 per unit	2012/06/27	80,000,000	2011/06/24
12740	HK Bank	HSI European Warrants Sep 2013	0.250	25,000 per 12,000 units	2013/09/27	250,000,000	2011/06/24
12741	HK Bank	HSI European Warrants Jun 2014	0.250	30,000 per 10,000 units	2014/06/27	250,000,000	2011/06/24
12744	HK Bank	HSI European Put Warrants Dec 2013	0.250	16,888 per 10,000 units	2013/12/30	250,000,000	2011/06/24
12736	HK Bank	Shimao Ppt European Warrants Dec 2013	0.250	11.000	2013/12/30	80,000,000	2011/06/24
12737	HK Bank	SJM Holdings European Warrants Dec 2011	0.250	18.000	2011/12/28	80,000,000	2011/06/24
12738	HK Bank	Tencent European Warrants Jan 2012	0.250	208.88 per 100 units	2012/01/20	150,000,000	2011/06/24



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12743	HK Bank	Tencent European Put Warrants Mar 2012	0.250	182.88 per 100 units	2012/03/09	150,000,000	2011/06/24
12739	HK Bank	ZTE European Warrants Aug 2012	0.250	33.000	2012/08/16	80,000,000	2011/06/24
12730	Macquarie Bank Ltd.	CHALCO European Put Warrants Jan 2012	0.282	5.23 per unit	2012/01/04	200,000,000	2011/06/24
12731	Macquarie Bank Ltd.	BankComm European Put Wts Jan 2012	0.255	6.18 per unit	2012/01/04	200,000,000	2011/06/24
12745	Macquarie Bank Ltd.	Sinopec Corp European Wts Jan 2012	0.420	8 per unit	2012/01/04	200,000,000	2011/06/24
12726	Macquarie Bank Ltd.	HKEx European Warrants Jan 2013	0.250	160 per 100 units	2013/01/03	200,000,000	2011/06/24
12727	Macquarie Bank Ltd.	HKEx European Put Warrants Jan 2012	0.331	128.000	2012/01/04	200,000,000	2011/06/24
12728	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2011 E	0.152	22,600 per 9,800 units	2011/11/29	200,000,000	2011/06/24
12729	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2011 G	0.150	20,700 per 8,500 units	2011/10/28	200,000,000	2011/06/24
12756	Nomura Int'l plc	AIA European Warrants Dec 2011	0.150	27.880	2011/12/05	100,000,000	2011/06/24
12758	Nomura Int'l plc	BOC HK European Warrants May 2012	0.167	23.880	2012/05/30	100,000,000	2011/06/24
12753	Nomura Int'l plc	CC Bank European Warrants Dec 2011	0.264	6.88 per unit	2011/12/16	100,000,000	2011/06/24
12760	Nomura Int'l plc	CC Bank European Put Warrants Dec 2011	0.267	6.40 per unit	2011/12/16	100,000,000	2011/06/24
12754	Nomura Int'l plc	CITIC Bank European Warrants Dec 2011	0.250	5.50 per unit	2011/12/16	100,000,000	2011/06/24
12746	Nomura Int'l plc	Cheung Kong European Warrants Dec 2011	0.280	128.880	2011/12/05	100,000,000	2011/06/24
12759	Nomura Int'l plc	China Life European Warrants Dec 2011 A	0.150	27.880	2011/12/05	100,000,000	2011/06/24
12757	Nomura Int'l plc	Minsheng Bank European Warrants Oct 2011	0.150	8.38 per unit	2011/10/10	100,000,000	2011/06/24
12752	Nomura Int'l plc	CNOOC European Warrants Nov 2011 A	0.150	18.880	2011/11/30	100,000,000	2011/06/24
12750	Nomura Int'l plc	Sinopec European Warrants Dec 2011	0.300	8.08 per unit	2011/12/28	100,000,000	2011/06/24
12751	Nomura Int'l plc	HKEx European Warrants Apr 2012	0.150	178.88 per 100 units	2012/04/11	100,000,000	2011/06/24
12747	Nomura Int'l plc	HSBC European Warrants Jan 2012	0.258	85.000	2012/01/04	100,000,000	2011/06/24
12748	Nomura Int'l plc	Hutchison European Warrants Nov 2011 A	0.205	98.050	2011/11/11	100,000,000	2011/06/24
12749	Nomura Int'l plc	JIANG European Warrants Dec 2011	0.265	24.980	2011/12/28	100,000,000	2011/06/24
12755	Nomura Int'l plc	Yanzhou Coal European Warrants Oct 2011 A	0.150	34.380	2011/10/14	100,000,000	2011/06/24
12770	UBS AG	China Mobile European Warrants Nov 2011	0.150	72.880	2011/11/14	200,000,000	2011/06/24
12769	UBS AG	China Life European Warrants Dec 2011	0.250	25.280	2011/12/28	300,000,000	2011/06/24
12763	UBS AG	A50 China European Warrants Feb 2012	0.150	11.900	2012/02/01	200,000,000	2011/06/24
12762	UBS AG	HSBC European Warrants Nov 2011	0.200	80.730	2011/11/28	200,000,000	2011/06/24
12772	UBS AG	HSI European Warrants Dec 2011 A	0.250	21,800 per 8,000 units	2011/12/29	300,000,000	2011/06/24
12773	UBS AG	HSI European Put Warrants Oct 2011 A	0.150	20,800 per 8,500 units	2011/10/28	300,000,000	2011/06/24
12771	UBS AG	Hutchison European Warrants Dec 2011	0.440	84.880	2011/12/28	300,000,000	2011/06/24
12784	HK Bank	Alibaba European Warrants Dec 2012	0.250	13.280	2012/12/28	120,000,000	2011/06/27
12785	HK Bank	BYD European Warrants Aug 2012	0.250	25.000	2012/08/13	100,000,000	2011/06/27
12789	HK Bank	C Transmission European Warrants Feb 2012	0.250	10 per unit	2012/02/10	80,000,000	2011/06/27
12786	HK Bank	Minsheng Bank European Warrants Dec 2011	0.270	7.88 per unit	2011/12/28	80,000,000	2011/06/27
12790	HK Bank	Ch Ship Cont European Warrants Apr 2012	0.250	2.88 per unit	2012/04/10	80,000,000	2011/06/27
12787	HK Bank	China Shenhua European Warrants Dec 2011	0.250	36.080	2011/12/28	120,000,000	2011/06/27
12788	HK Bank	China Shenhua European Warrants Oct 2012	0.250	42.000	2012/10/18	150,000,000	2011/06/27
12791	HK Bank	Evergrande European Warrants Mar 2012	0.450	5 per unit	2012/03/29	80,000,000	2011/06/27
12792	HK Bank	Foxconn European Warrants Apr 2012 A	0.280	4 per unit	2012/04/23	80,000,000	2011/06/27
12794	Macquarie Bank Ltd.	Esprit European Warrants Jan 2012 A	0.255	28.000	2012/01/04	200,000,000	2011/06/27
12782	Macquarie Bank Ltd.	HSBC European Put Warrants Jan 2012	0.251	70.000	2012/01/04	200,000,000	2011/06/27
12781	Macquarie Bank Ltd.	PetroChina European Wts Jan 2012	0.259	13.50 per unit	2012/01/04	200,000,000	2011/06/27

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12795	Macquarie Bank Ltd.	SHK Ppt European Warrants Jan 2012	0.258	130.000	2012/01/04	200,000,000	2011/06/27
12783	Macquarie Bank Ltd.	Tencent European Put Warrants May 2012	0.259	170 per 100 units	2012/05/31	200,000,000	2011/06/27
12774	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Nov 2011	0.151	4.39 per unit	2011/11/17	80,000,000	2011/06/27
12775	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Dec 2011	0.151	4.19 per unit	2011/12/01	80,000,000	2011/06/27
12793	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Nov 2011 A	0.157	27.190	2011/11/21	80,000,000	2011/06/27
12776	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Dec 2011	0.152	18.690	2011/12/14	80,000,000	2011/06/27
12777	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Feb 2012	0.250	17.690	2012/02/14	80,000,000	2011/06/27
12778	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2011 B	0.410	77.990	2011/11/29	80,000,000	2011/06/27
12780	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Jan 2012	0.250	12,500 per 5,000 units	2012/01/30	100,000,000	2011/06/27
12779	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Dec 2011	0.450	12.19 per unit	2011/12/30	80,000,000	2011/06/27
12796	Standard Chartered Bank	China Unicom European Wts Feb12	0.250	14.280	2012/02/02	100,000,000	2011/06/27
12797	Standard Chartered Bank	China Life European Wts Jan 2012	0.250	24.880	2012/01/04	100,000,000	2011/06/27
12798	Standard Chartered Bank	GCL-Poly Energy European Wts Jul14	0.250	3.630	2014/07/31	100,000,000	2011/06/27
12799	UBS AG	BYD European Warrants Dec 2011 B	0.150	22.730	2011/12/14	100,000,000	2011/06/27
12800	UBS AG	CC Bank European Warrants Dec 2011 A	0.250	6.89 per unit	2011/12/09	200,000,000	2011/06/27
12801	UBS AG	HKEx European Warrants Dec 2011 E	0.150	154.98 per 100 units	2011/12/01	300,000,000	2011/06/27
12802	UBS AG	Hutchison European Warrants Dec 2011 A	0.430	80.880	2011/12/28	300,000,000	2011/06/27
12811	Credit Suisse AG	CNOOC European Warrants Oct 2011 B	0.150	18.520	2011/10/24	300,000,000	2011/06/28
12803	HK Bank	Sinopec Corp European Warrants Dec 2011	0.250	7.88 per unit	2011/12/28	100,000,000	2011/06/28
12807	Macquarie Bank Ltd.	Angang Steel European Wts Jan 2012	0.253	9.98 per unit	2012/01/04	200,000,000	2011/06/28
12808	Macquarie Bank Ltd.	HSCEI European Warrants Feb 2012	0.252	12,600 per 5,000 units	2012/02/28	388,000,000	2011/06/28
12809	Macquarie Bank Ltd.	HSI European Warrants Dec 2011	0.166	22,400 per 8,000 units	2011/12/29	388,000,000	2011/06/28
12810	Macquarie Bank Ltd.	HSI European Put Warrants Dec 2011 A	0.167	21,000 per 8,000 units	2011/12/29	388,000,000	2011/06/28
12806	Standard Chartered Bank	CC Bank European Warrants Nov 11A	0.247	7.39 per unit	2011/11/23	100,000,000	2011/06/28
12804	Standard Chartered Bank	Sinopec Corp European Wts May 2012	0.930	8.40 per unit	2012/05/30	100,000,000	2011/06/28
12805	Standard Chartered Bank	Tencent Hldg European Wts Dec 2011	0.270	190 per 100 units	2011/12/12	100,000,000	2011/06/28
12812	UBS AG	CC Bank European Warrants Dec 2011 B	0.340	6.49 per unit	2011/12/23	200,000,000	2011/06/28
29168 <sup>9</sup>	Citigroup Global Mkt H Inc.	CNOOC European Wts Oct 2011 B	0.093	19.000	2011/10/25	120,000,000	2011/06/28
12820	BNP Paribas Arbit Issu B.V.	Henganintl Euro Wts Apr 2016	0.250	76 per 100 units	2016/04/05	100,000,000	2011/06/29
12822	BNP Paribas Arbit Issu B.V.	HSBC European Wts Dec 2015	0.160	79.90 per 100 units	2015/12/02	200,000,000	2011/06/29
12821	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2012 A	0.250	92.000	2012/01/04	200,000,000	2011/06/29
12823	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jan 2014	0.150	90 per 100 units	2014/01/03	200,000,000	2011/06/29
12817	HK Bank	HSI European Warrants Dec 2011 H	0.250	20,000 per 13,000 units	2011/12/29	200,000,000	2011/06/29
12819	HK Bank	HSI European Warrants Dec 2011 I	0.250	22,800 per 8,000 units	2011/12/29	250,000,000	2011/06/29
12818	HK Bank	HSI European Warrants Dec 2011 J	0.250	21,800 per 9,000 units	2011/12/29	200,000,000	2011/06/29
12816	HK Bank	HWL European Warrants Dec 2013	0.150	87.38 per 100 units	2013/12/16	200,000,000	2011/06/29
12813	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Jan 2012	0.151	19.990	2012/01/03	80,000,000	2011/06/29
12814	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2012 A	0.152	71.32 per 100 units	2012/12/17	80,000,000	2011/06/29
12815	Merrill Lynch Int'l & Co. C.V.	Tencent Eur Put Wt Feb 2012	0.250	179.99 per 100 units	2012/02/20	80,000,000	2011/06/29
12838	Barclays Bank plc	China Mobile European Wts Jan 2012	0.250	72.500	2012/01/26	100,000,000	2011/06/30
12837	Barclays Bank plc	CC Bank European Warrants Jan 2012	0.305	6.88 per unit	2012/01/26	100,000,000	2011/06/30
12840	Barclays Bank plc	China Life European Warrants Jan 2012	0.251	25.800	2012/01/26	100,000,000	2011/06/30
12836	Barclays Bank plc	CNOOC European Warrants Jul 2012 A	0.250	19.000	2012/07/03	100,000,000	2011/06/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12834	Barclays Bank plc	HSBC European Warrants Jan 2012	0.252	82.880	2012/01/26	100,000,000	2011/06/30
12835	Barclays Bank plc	Hutchison European Warrants Nov 2011	0.275	88.850	2011/11/10	100,000,000	2011/06/30
12839	Barclays Bank plc	ICBC European Warrants Jan 2012	0.250	6.38 per unit	2012/01/26	100,000,000	2011/06/30
12824	BNP Paribas Arbit Issu B.V.	HK Gas European Warrants Sep12	0.250	17.150	2012/09/04	95,000,000	2011/06/30
12831	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Warrants Jun12	0.250	119.99 per 100 units	2012/06/11	80,000,000	2011/06/30
12832	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Warrants May13	0.250	108.99 per 100 units	2013/05/20	80,000,000	2011/06/30
12828	Merrill Lynch Int'l & Co. C.V.	HS Bank Euro Wts May 2012	0.285	129.990	2012/05/21	80,000,000	2011/06/30
12825	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Feb 2012	0.330	80.990	2012/02/15	80,000,000	2011/06/30
12826	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Mar 2012	0.300	82.990	2012/03/12	80,000,000	2011/06/30
12827	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Apr 2012	0.255	85.990	2012/04/16	80,000,000	2011/06/30
12830	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt May 2013	0.250	86.99 per 100 units	2013/05/13	80,000,000	2011/06/30
12833	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Dec 2013	0.250	82.99 per 100 units	2013/12/11	80,000,000	2011/06/30
12829	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Jun 2012	0.335	99.990	2012/06/18	80,000,000	2011/06/30
12845	Standard Chartered Bank	BOCL European Warrants Jan 2012	0.290	3.71 per unit	2012/01/30	100,000,000	2011/06/30
12844	Standard Chartered Bank	China Mobile Euro Wts Nov 2011	0.390	69.890	2011/11/30	100,000,000	2011/06/30
12841	UBS AG	CPIC European Warrants Dec 2011	0.250	33.330	2011/12/30	100,000,000	2011/06/30
12843	UBS AG	HSI European Warrants Dec 2011 B	0.150	23,000 per 8,000 units	2011/12/29	300,000,000	2011/06/30
12842	UBS AG	Tencent Holdings European Warrants Nov 2011	0.150	242 per 100 units	2011/11/21	200,000,000	2011/06/30
11337 #	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Oct 2011	0.051	19.990	2011/10/28	200,000,000	2011/06/30
22971 #	Credit Suisse AG	HSBC European Wts Dec 2011	0.036	77.70 per 93 units	2011/12/12	600,000,000	2011/06/30
12859	BNP Paribas Arbit Issu B.V.	Esprit European Wts May 2012	0.250	28.000	2012/05/03	80,000,000	2011/07/04
12860	BNP Paribas Arbit Issu B.V.	Hang Lung Ppt Euro Wt May 2012	0.250	36.000	2012/05/03	80,000,000	2011/07/04
12861	BNP Paribas Arbit Issu B.V.	Kerry Ppt European Wts May 12	0.280	40.500	2012/05/03	38,000,000	2011/07/04
12862	BNP Paribas Arbit Issu B.V.	New World Dev Euro Wts Mar 13	0.250	12.280	2013/03/04	100,000,000	2011/07/04
12863	BNP Paribas Arbit Issu B.V.	Shimao Ppt European Wts Aug 13	0.250	9.880	2013/08/02	100,000,000	2011/07/04
12846	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Jan 12A	0.255	13,300 per 4,000 units	2012/01/30	200,000,000	2011/07/04
12851	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Feb 2012	0.255	11,500 per 5,000 units	2012/02/28	200,000,000	2011/07/04
12849	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2012	0.250	21,100 per 8,000 units	2012/02/28	200,000,000	2011/07/04
12852	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2012	0.250	20,300 per 7,000 units	2012/01/30	200,000,000	2011/07/04
12853	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012	0.151	19,899 per 9,000 units	2012/03/29	200,000,000	2011/07/04
12854	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts May 2012	0.250	19,000 per 7,000 units	2012/05/30	200,000,000	2011/07/04
12857	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2011 F	0.175	21,900 per 8,000 units	2011/12/29	200,000,000	2011/07/04
12847	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012 B	0.250	20,900 per 8,000 units	2012/01/30	200,000,000	2011/07/04
12848	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012 C	0.165	22,000 per 8,000 units	2012/01/30	200,000,000	2011/07/04
12850	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2012 A	0.255	22,100 per 7,000 units	2012/02/28	200,000,000	2011/07/04
12856	Standard Chartered Bank	China Life Euro Put Wts Feb 2012 A	0.250	23.880	2012/02/02	100,000,000	2011/07/04
12855	Standard Chartered Bank	HKEx European Warrants Feb 2012	0.250	146.50 per 100 units	2012/02/28	100,000,000	2011/07/04
12864	BOCI Asia Ltd.	China Mobile European Warrants Dec 2011	0.160	81.800	2011/12/02	100,000,000	2011/07/05
12898	BNP Paribas Arbit Issu B.V.	Cathay Pacific Euro Wts Feb 12	0.190	18.020	2012/02/02	100,000,000	2011/07/05
12907	J P Morgan SP BV	BOCL European Warrants Nov 2011	0.150	4.39 per unit	2011/11/17	200,000,000	2011/07/05
12906	J P Morgan SP BV	BOCL European Warrants Dec 2011	0.150	4.19 per unit	2011/12/01	200,000,000	2011/07/05
12905	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Nov 2011	0.150	7.39 per unit	2011/11/23	200,000,000	2011/07/05

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12903	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Dec 2011 A	0.236	6.89 per unit	2011/12/09	200,000,000	2011/07/05
12888	J P Morgan SP BV	China Life European Warrants Nov 2011	0.150	26.380	2011/11/21	300,000,000	2011/07/05
12890	J P Morgan SP BV	China Life European Warrants Nov 2011 A	0.150	27.880	2011/11/28	300,000,000	2011/07/05
12889	J P Morgan SP BV	China Life European Warrants Dec 2011 A	0.150	28.880	2011/12/23	300,000,000	2011/07/05
12908	J P Morgan SP BV	HKEx European Put Wts Dec 2011 A	0.150	160.70 per 100 units	2011/12/05	300,000,000	2011/07/05
12892	J P Morgan SP BV	HSBC European Warrants Nov 2011	0.217	80.680	2011/11/28	200,000,000	2011/07/05
12894	J P Morgan SP BV	HSBC European Warrants Nov 2011 A	0.303	78.000	2011/11/25	200,000,000	2011/07/05
12893	J P Morgan SP BV	HSBC European Warrants Dec 2011 A	0.150	83.800	2011/12/05	200,000,000	2011/07/05
12891	J P Morgan SP BV	Hutchison European Warrants Dec 2011	0.485	84.880	2011/12/19	100,000,000	2011/07/05
12895	J P Morgan SP BV	ICBC European Warrants Dec 2011	0.370	6 per unit	2011/12/19	150,000,000	2011/07/05
12904	J P Morgan SP BV	Tencent European Warrants Nov 2011 A	0.164	215 per 100 units	2011/11/28	200,000,000	2011/07/05
12868	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Dec 2011	0.265	4.13 per unit	2011/12/13	80,000,000	2011/07/05
12869	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Dec 11A	0.190	4.39 per unit	2011/12/06	80,000,000	2011/07/05
12870	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Jan 2012	0.255	3.95 per unit	2012/01/05	80,000,000	2011/07/05
12871	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Wts Apr 2012	0.190	19.590	2012/04/23	80,000,000	2011/07/05
12865	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Nov 2011	0.247	6.29 per unit	2011/11/25	80,000,000	2011/07/05
12866	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Dec 2011	0.390	5.99 per unit	2011/12/19	80,000,000	2011/07/05
12872	Merrill Lynch Int'l & Co. C.V.	ICBC European Put Wts Dec11	0.415	5.79 per unit	2011/12/12	80,000,000	2011/07/05
12867	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Dec 2011A	0.201	6.59 per unit	2011/12/15	80,000,000	2011/07/05
12875	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Dec 2011	0.280	4.28 per unit	2011/12/12	200,000,000	2011/07/05
12876	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Feb 2012	0.250	28.880	2012/02/15	200,000,000	2011/07/05
12877	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Dec 2011 A	0.530	6.48 per unit	2011/12/28	130,000,000	2011/07/05
12878	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Dec 2011 B	0.350	6.88 per unit	2011/12/14	200,000,000	2011/07/05
12879	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Nov 2011C	0.250	24.280	2011/11/28	300,000,000	2011/07/05
12880	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2011B	0.210	25.280	2011/12/28	300,000,000	2011/07/05
12897	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2016	0.150	78 per 100 units	2016/03/23	500,000,000	2011/07/05
12881	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2011 C	0.250	78.880	2011/10/12	300,000,000	2011/07/05
12896	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2012 A	0.430	75.000	2012/01/09	300,000,000	2011/07/05
12887	SGA Societe Generale Acceptance N.V.	HSCEI Eu Wt Jan 2012	0.250	12,600 per 5,000 units	2012/01/30	200,000,000	2011/07/05
12885	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2011 D	0.190	21,800 per 8,000 units	2011/12/29	300,000,000	2011/07/05
12886	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 11E	0.150	21,000 per 8,000 units	2011/12/29	300,000,000	2011/07/05
12874	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Dec 2011	0.750	80.880	2011/12/28	120,000,000	2011/07/05
12882	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jan 2012	0.590	85.880	2012/01/11	120,000,000	2011/07/05
12884	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2012	0.280	98.880	2012/02/15	180,000,000	2011/07/05
12883	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2011 A	0.280	93.880	2011/11/30	200,000,000	2011/07/05
12873	UBS AG	BOCL European Warrants Jan 2012	0.250	4 per unit	2012/01/10	100,000,000	2011/07/05
12901	UBS AG	HSBC European Warrants Jan 2012	0.250	75.000	2012/01/10	300,000,000	2011/07/05
12899	UBS AG	HSI European Warrants Nov 2011 B	0.150	22,500 per 9,800 units	2011/11/29	300,000,000	2011/07/05

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12902	UBS AG	HSI European Put Warrants Nov 2011 C	0.150	22,500 per 9,000 units	2011/11/29	300,000,000	2011/07/05
12900	UBS AG	PetroChina European Warrants Dec 2012	0.150	12.020	2012/12/19	300,000,000	2011/07/05
12915	J P Morgan SP BV	Anhui Conch European Warrants Jan 2012	0.280	40.000	2012/01/06	100,000,000	2011/07/06
12918	J P Morgan SP BV	Zoomlion European Warrants Jan 2012	0.250	16.000	2012/01/06	200,000,000	2011/07/06
12917	J P Morgan SP BV	Esprit European Wts Dec 2011	0.150	28.000	2011/12/23	100,000,000	2011/07/06
12914	J P Morgan SP BV	Li & Fung European Warrants Jun 2012	0.250	18.000	2012/06/28	150,000,000	2011/07/06
12916	J P Morgan SP BV	Shimao Property European Wts Jun 2013	0.250	10.000	2013/06/27	150,000,000	2011/07/06
12909	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Mar 2012	0.151	160.99 per 100 units	2012/03/29	80,000,000	2011/07/06
12910	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2011 E	0.153	162.89 per 100 units	2011/12/29	80,000,000	2011/07/06
12911	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wts Dec 2013	0.151	87.39 per 100 units	2013/12/16	80,000,000	2011/07/06
12912	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wt Nov 2011B	0.226	90.890	2011/11/09	80,000,000	2011/07/06
12913	UBS AG	CC Bank European Warrants Nov 2011	0.150	7.39 per unit	2011/11/23	200,000,000	2011/07/06
12919	UBS AG	CNOOC European Warrants Jan 2012	0.250	17.500	2012/01/10	300,000,000	2011/07/06
12939	BNP Paribas Arbit Issu B.V.	BOCL European Wts Dec 2011 A	0.150	4.19 per unit	2011/12/02	200,000,000	2011/07/07
12938	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jun 2012	0.390	7.60 per unit	2012/06/04	200,000,000	2011/07/07
12940	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Sep 2012	0.260	30.000	2012/09/04	200,000,000	2011/07/07
12937	BNP Paribas Arbit Issu B.V.	ICBC European Wts Feb 2012	0.260	7 per unit	2012/02/02	200,000,000	2011/07/07
12941	BNP Paribas Arbit Issu B.V.	Mongolia En Euro Wts Sep 2012	0.310	1.24 per unit	2012/09/04	44,000,000	2011/07/07
12936	BNP Paribas Arbit Issu B.V.	Sh Petrochem Euro Wts Feb 2012	0.340	4.10 per unit	2012/02/02	30,000,000	2011/07/07
12942	BNP Paribas Arbit Issu B.V.	Yurun Food Euro Wts Jul 2012	0.370	23.280	2012/07/03	100,000,000	2011/07/07
12935	HK Bank	Esprit European Warrants Apr 2012	0.250	26.880	2012/04/27	100,000,000	2011/07/07
12934	Macquarie Bank Ltd.	HSCEI European Put Warrants Jan 2012	0.250	12,100 per 5,000 units	2012/01/30	200,000,000	2011/07/07
12933	Macquarie Bank Ltd.	New World Dev European Wts Aug 2013	0.258	13.000	2013/08/05	200,000,000	2011/07/07
12920	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jan 2012 A	0.250	25.990	2012/01/27	80,000,000	2011/07/07
12921	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Feb 2012	0.255	27.290	2012/02/28	80,000,000	2011/07/07
12924	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts May 2012	0.250	16.790	2012/05/07	80,000,000	2011/07/07
12922	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Jan 2012	0.455	8.29 per unit	2012/01/20	80,000,000	2011/07/07
12923	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Feb 2012	0.250	9.29 per unit	2012/02/20	80,000,000	2011/07/07
12925	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Apr 2012 A	0.730	89.990	2012/04/24	80,000,000	2011/07/07
12926	SGA Societe Generale Acceptance N.V.	Anhui Conch Eu Wt Jan 12	0.370	42.880	2012/01/11	80,000,000	2011/07/07
12927	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2012	0.280	3.88 per unit	2012/01/16	200,000,000	2011/07/07
12928	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2012 A	0.250	3.98 per unit	2012/01/16	200,000,000	2011/07/07
12929	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 12A	0.430	71.880	2012/01/16	200,000,000	2011/07/07
12930	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 12B	0.300	75.880	2012/01/16	200,000,000	2011/07/07
12932	SGA Societe Generale Acceptance N.V.	CP&CC Eur Wt Jan 2012	0.450	8.28 per unit	2012/01/16	100,000,000	2011/07/07
12931	SGA Societe Generale Acceptance N.V.	Esprit Eu Wts Mar 12	0.250	25.800	2012/03/28	80,000,000	2011/07/07
12943	UBS AG	HSI European Warrants Oct 2011 B	0.150	22,700 per 8,000 units	2011/10/28	300,000,000	2011/07/07
12944	UBS AG	HSI European Put Warrants Oct 2011 C	0.150	21,700 per 9,000 units	2011/10/28	300,000,000	2011/07/07
11284 <sup>#</sup>	Standard Chartered Bank	BOCL European Warrants Dec 2011	0.088	4.28 per unit	2011/12/01	150,000,000	2011/07/07
29926 <sup>#</sup>	Standard Chartered Bank	China Life European Wts Dec 2011 B	0.217	26.280	2011/12/08	100,000,000	2011/07/07
12948	BOCI Asia Ltd.	China Tel European Warrants Jan 2012	0.250	6 per unit	2012/01/16	100,000,000	2011/07/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12946	BOCI Asia Ltd.	PetroChina European Warrants Jan 2012	0.350	13.80 per unit	2012/01/09	100,000,000	2011/07/08
12947	BOCI Asia Ltd.	Yurun Food European Warrants Mar 2012	0.350	23.000	2012/03/05	200,000,000	2011/07/08
12961	J P Morgan SP BV	Alibaba European Warrants Jul 2012	0.250	13.880	2012/07/03	100,000,000	2011/07/08
12958	J P Morgan SP BV	China Everbright European Wts Jan 2012	0.150	18.900	2012/01/26	200,000,000	2011/07/08
12960	J P Morgan SP BV	China Tel European Warrants Jan 2012	0.350	5.38 per unit	2012/01/09	100,000,000	2011/07/08
12955	J P Morgan SP BV	CITIC Bank European Warrants Jan 2012	0.350	5 per unit	2012/01/09	100,000,000	2011/07/08
12959	J P Morgan SP BV	CNOOC European Warrants Nov 2011	0.150	18.900	2011/11/23	200,000,000	2011/07/08
12956	J P Morgan SP BV	CNOOC European Warrants Jan 2012	0.250	16.880	2012/01/09	200,000,000	2011/07/08
12957	J P Morgan SP BV	Sinopec Corp European Warrants Oct 2011 A	0.150	8.89 per unit	2011/10/31	100,000,000	2011/07/08
12954	J P Morgan SP BV	PICC European Warrants Jan 2012	0.250	13.880	2012/01/26	200,000,000	2011/07/08
12949	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants May 2012	0.251	4.88 per unit	2012/05/03	200,000,000	2011/07/08
12951	Macquarie Bank Ltd.	Belle Int'l European Warrants Apr 2012	0.250	18.800	2012/04/03	200,000,000	2011/07/08
12950	Macquarie Bank Ltd.	BOCL European Warrants Feb 2012	0.250	4.38 per unit	2012/02/02	200,000,000	2011/07/08
12952	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Aug 2012	0.251	16.880	2012/08/02	200,000,000	2011/07/08
12945	Standard Chartered Bank	China Mobile Euro Wts Jan 2012	0.150	80.880	2012/01/03	100,000,000	2011/07/08
12953	UBS AG	HSI European Put Warrants Oct 2011 D	0.150	22,300 per 8,000 units	2011/10/28	300,000,000	2011/07/08
12987	Bank of East Asia	Agricultural Bank Euro Warrants Apr 2012	0.280	4.888 per unit	2012/04/23	38,000,000	2011/07/11
12986	Bank of East Asia	China Overseas Euro Warrants Jul 2012	0.250	18.688	2012/07/23	85,000,000	2011/07/11
12984	Bank of East Asia	Esprit European Warrants Jan 2012	0.250	25.330	2012/01/20	60,000,000	2011/07/11
12985	Bank of East Asia	Li & Fung European Warrants Jun 2012	0.250	16.494	2012/06/22	95,000,000	2011/07/11
12976	J P Morgan SP BV	Agricultural Bk Euro Warrants Jan 2012	0.250	4.68 per unit	2012/01/11	150,000,000	2011/07/11
12977	J P Morgan SP BV	Agricultural Bk Euro Warrants Jan 2012 A	0.272	4.38 per unit	2012/01/11	150,000,000	2011/07/11
12979	J P Morgan SP BV	China Life European Warrants Nov 2011 B	0.150	29.880	2011/11/28	200,000,000	2011/07/11
12964	J P Morgan SP BV	HSI European Warrants Nov 2011	0.150	22,500 per 9,800 units	2011/11/29	200,000,000	2011/07/11
12965	J P Morgan SP BV	HSI European Warrants Dec 2011	0.150	23,000 per 10,000 units	2011/12/29	200,000,000	2011/07/11
12966	J P Morgan SP BV	HSI European Warrants Jan 2012	0.250	23,500 per 6,000 units	2012/01/30	200,000,000	2011/07/11
12962	J P Morgan SP BV	HSI European Put Warrants Nov 2011	0.150	22,500 per 9,000 units	2011/11/29	200,000,000	2011/07/11
12963	J P Morgan SP BV	HSI European Put Warrants Nov 2011 A	0.150	22,000 per 9,000 units	2011/11/29	200,000,000	2011/07/11
12978	J P Morgan SP BV	Huaneng Power European Warrants Jan 2012	0.250	4.68 per unit	2012/01/11	48,000,000	2011/07/11
12967	Macquarie Bank Ltd.	BYD European Warrants Jan 2012	0.250	30.000	2012/01/31	100,000,000	2011/07/11
12968	Macquarie Bank Ltd.	China Mobile European Wts Feb 2012	0.250	79.880	2012/02/02	200,000,000	2011/07/11
12969	Macquarie Bank Ltd.	Dongfeng Motor European Wts Apr 2012	0.250	18.000	2012/04/27	100,000,000	2011/07/11
12970	Macquarie Bank Ltd.	PICC P&C European Warrants Mar 2012	0.250	13.200	2012/03/02	200,000,000	2011/07/11
12972	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Nov 2011	0.150	5.08 per unit	2011/11/30	200,000,000	2011/07/11
12971	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2011 F	0.180	4.69 per unit	2011/10/24	200,000,000	2011/07/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12980	SGA Societe Generale Acceptance N.V.	CNBM Euro Wt Feb 2012	0.250	19.080	2012/02/22	100,000,000	2011/07/11
12973	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Jan2012	0.250	43.880	2012/01/16	50,000,000	2011/07/11
12974	SGA Societe Generale Acceptance N.V.	Evergrande Eu Wt Jan2012	0.380	6.68 per unit	2012/01/16	50,000,000	2011/07/11
12975	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Nov 2011	0.250	6.68 per unit	2011/11/21	200,000,000	2011/07/11
12981	UBS AG	China Mobile European Warrants Dec 2011 B	0.150	80.880	2011/12/30	200,000,000	2011/07/11
12982	UBS AG	HKEx European Warrants Aug 2012	0.150	194 per 100 units	2012/08/06	500,000,000	2011/07/11
12983	UBS AG	HSI European Put Warrants Oct 2011 E	0.150	23,100 per 9,000 units	2011/10/28	300,000,000	2011/07/11
12988	BOCI Asia Ltd.	HKEx European Warrants Dec 2011 A	0.150	168.88 per 100 units	2011/12/19	300,000,000	2011/07/12
13001	BNP Paribas Arbit Issu B.V.	Anhui Conch Eu Put Wt Feb 2012	0.450	35.000	2012/02/02	100,000,000	2011/07/12
12998	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jul 2012	0.260	88.800	2012/07/03	100,000,000	2011/07/12
13000	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Jan 2012 A	0.150	12.460	2012/01/09	100,000,000	2011/07/12
12999	BNP Paribas Arbit Issu B.V.	Stanchart Euro Wts Jun 2012	0.250	227.80 per 100 units	2012/06/04	100,000,000	2011/07/12
13002	HK Bank	HKEx European Warrants Sep 2012	0.250	188 per 100 units	2012/09/27	120,000,000	2011/07/12
13003	HK Bank	HKEx European Put Warrants Sep 2012	0.250	155 per 100 units	2012/09/27	100,000,000	2011/07/12
13006	J P Morgan SP BV	HKEx European Warrants Nov 2011 A	0.150	168.10 per 100 units	2011/11/21	200,000,000	2011/07/12
12989	J P Morgan SP BV	HSBC European Warrants Nov 2011 B	0.150	88.880	2011/11/28	200,000,000	2011/07/12
13007	Macquarie Bank Ltd.	Agile Ppt European Warrants Jun 2012	0.250	13.980	2012/06/04	200,000,000	2011/07/12
13004	Macquarie Bank Ltd.	Brilliance Chi Euro Warrants Jul 2012	0.250	10.000	2012/07/12	200,000,000	2011/07/12
13008	Macquarie Bank Ltd.	China COSCO European Warrants Feb 2012	0.369	8 per unit	2012/02/02	200,000,000	2011/07/12
12990	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2011	0.152	80.590	2011/11/01	80,000,000	2011/07/12
12992	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eur Wt Nov 2011	0.280	5.19 per unit	2011/11/24	80,000,000	2011/07/12
12996	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Euro Wts Feb 2012	0.161	17.190	2012/02/08	80,000,000	2011/07/12
12997	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Eu Put Wt Jun2012	0.155	14.990	2012/06/25	80,000,000	2011/07/12
12991	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2012 B	0.153	80.890	2012/01/16	80,000,000	2011/07/12
12993	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Dec 2011 A	0.159	5.69 per unit	2011/12/12	80,000,000	2011/07/12
12994	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Eu Wts Dec 2011 A	0.164	16.290	2011/12/20	80,000,000	2011/07/12
12995	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Eu Wts Dec 2011 B	0.150	17.990	2011/12/28	80,000,000	2011/07/12
13005	UBS AG	HSBC European Warrants Nov 2015	0.150	79.88 per 100 units	2015/11/30	500,000,000	2011/07/12
13022	Barclays Bank plc	China Life European Warrants Dec 2011	0.183	28.930	2011/12/28	100,000,000	2011/07/13
13014	HK Bank	AIA European Warrants Jun 2012	0.250	32.880	2012/06/18	120,000,000	2011/07/13
13020	HK Bank	AIA European Put Warrants Jun 2012	0.250	25.000	2012/06/28	100,000,000	2011/07/13
13015	HK Bank	Sinopec Corp European Warrants Feb 2012	0.330	8.88 per unit	2012/02/08	120,000,000	2011/07/13
13017	HK Bank	PetroChina European Warrants Feb 2012	0.290	13.28 per unit	2012/02/08	100,000,000	2011/07/13
13021	HK Bank	PetroChina European Put Warrants Jan 2013	0.250	11.180	2013/01/30	100,000,000	2011/07/13
13016	HK Bank	Ch Rongsheng European Warrants Feb 2012	0.250	6 per unit	2012/02/28	80,000,000	2011/07/13
13018	HK Bank	Tencent European Warrants Feb 2012	0.250	229 per 100 units	2012/02/08	160,000,000	2011/07/13
13019	HK Bank	Tencent European Warrants Mar 2012	0.250	246 per 100 units	2012/03/29	160,000,000	2011/07/13
13025	J P Morgan SP BV	CITIC Pacific Euro Wts May 2012	0.250	21.880	2012/05/30	100,000,000	2011/07/13
13024	J P Morgan SP BV	Yurun Food European Warrants Jan 2012	0.250	25.000	2012/01/13	100,000,000	2011/07/13
13023	Standard Chartered Bank	BOCL European Warrants Jan 2012 A	0.210	3.99 per unit	2012/01/03	100,000,000	2011/07/13
13010	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Mar 2012	0.270	33.880	2012/03/28	200,000,000	2011/07/13

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13013	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jan 2012A	0.330	9.08 per unit	2012/01/16	100,000,000	2011/07/13
13011	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Jan 12	0.280	15.980	2012/01/16	100,000,000	2011/07/13
13012	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Mar 12	0.250	18.280	2012/03/28	100,000,000	2011/07/13
13009	SGA Societe Generale Acceptance N.V.	Gome Euro Wt Jan 2012	0.360	3.78 per unit	2012/01/16	60,000,000	2011/07/13
13026	UBS AG	HSBC European Warrants Dec 2011 A	0.150	84.930	2011/12/05	200,000,000	2011/07/13
13027	UBS AG	PetroChina European Warrants Feb 2013	0.150	13.000	2013/02/20	300,000,000	2011/07/13
13035	HK Bank	Angang Steel European Warrants Nov 2013	0.250	9.880	2013/11/29	100,000,000	2011/07/14
13036	HK Bank	China Agri European Warrants May 2013	0.250	9.800	2013/05/20	80,000,000	2011/07/14
13037	HK Bank	CM Bank European Warrants Jun 2012	0.250	20.000	2012/06/08	120,000,000	2011/07/14
13038	HK Bank	China Shenhua European Warrants Mar 2012	0.250	45.000	2012/03/12	120,000,000	2011/07/14
13039	HK Bank	Jiangxi Copper European Warrants Feb 2012	0.250	30.880	2012/02/28	120,000,000	2011/07/14
13040	HK Bank	SkyworthDig European Warrants Jun 2012	0.550	5.50 per unit	2012/06/15	80,000,000	2011/07/14
13043	J P Morgan SP BV	Agile Ppt European Warrants Jan 2012	0.150	14.280	2012/01/18	150,000,000	2011/07/14
13044	J P Morgan SP BV	BOC HK European Warrants Jun 2012	0.150	25.000	2012/06/26	150,000,000	2011/07/14
13045	J P Morgan SP BV	Ch Comm Cons European Warrants Dec 2013	0.150	6.880	2013/12/20	250,000,000	2011/07/14
13042	J P Morgan SP BV	CPIC European Warrants Jan 2012	0.250	33.880	2012/01/16	100,000,000	2011/07/14
13041	J P Morgan SP BV	China Mengniu European Warrants Jan 2012	0.250	30.000	2012/01/16	100,000,000	2011/07/14
13033	Macquarie Bank Ltd.	CC Bank European Put Warrants Feb 2012	0.292	5.68 per unit	2012/02/02	200,000,000	2011/07/14
13028	Merrill Lynch Int'l & Co. C.V.	China Coal Eur Wts Jun 2013	0.176	11.890	2013/06/17	80,000,000	2011/07/14
13034	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Nov 2012	0.150	128.89 per 100 units	2012/11/08	80,000,000	2011/07/14
13031	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eu Wt Jan 2012 A	0.207	44.890	2012/01/11	80,000,000	2011/07/14
13029	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Jan 2012	0.570	127.990	2012/01/16	80,000,000	2011/07/14
13030	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Dec 2012 A	0.150	122.88 per 100 units	2012/12/10	80,000,000	2011/07/14
13032	Merrill Lynch Int'l & Co. C.V.	Yanzhou Coal Eu Wt Feb 2012	0.250	35.990	2012/02/20	80,000,000	2011/07/14
13046	Standard Chartered Bank	China Life European Wts Apr 2012	0.250	30.000	2012/04/27	300,000,000	2011/07/14
13047	Standard Chartered Bank	China Life European Wts Jul 2012	0.250	32.000	2012/07/30	300,000,000	2011/07/14
13048	UBS AG	China Life European Warrants Dec 2011 A	0.150	30.730	2011/12/21	300,000,000	2011/07/14
13076	Credit Suisse AG	Agricultural Bank European Wts Dec 2011	0.200	4.14 per unit	2011/12/12	200,000,000	2011/07/15
13077	Credit Suisse AG	AIA European Warrants Nov 2011 A	0.150	27.900	2011/11/30	200,000,000	2011/07/15
13075	Credit Suisse AG	CC Bank European Warrants Dec 2011 A	0.300	6.49 per unit	2011/12/21	200,000,000	2011/07/15
13085	Citigroup Global Mkt H Inc.	BOCL European Wts Feb 2012	0.250	3.88 per unit	2012/02/06	80,000,000	2011/07/15
13084	Citigroup Global Mkt H Inc.	BankComm Euro Wts Feb 2012 A	0.250	7.88 per unit	2012/02/06	50,000,000	2011/07/15
13081	Citigroup Global Mkt H Inc.	CITIC Bank Euro Wts Feb 2012	0.350	5.38 per unit	2012/02/06	50,000,000	2011/07/15
13082	Citigroup Global Mkt H Inc.	China Life Euro Wts Feb 2012	0.250	28.880	2012/02/06	80,000,000	2011/07/15
13097	Citigroup Global Mkt H Inc.	CM Bank European Wts May 2012	0.250	19.880	2012/05/14	80,000,000	2011/07/15
13083	Citigroup Global Mkt H Inc.	CNBM Euro Warrants Feb 2012	0.250	19.280	2012/02/06	80,000,000	2011/07/15
13079	Citigroup Global Mkt H Inc.	Esprit European Wts Feb 2012	0.250	28.880	2012/02/06	80,000,000	2011/07/15
13080	Citigroup Global Mkt H Inc.	HKEx European Wts Dec 2011 A	0.150	164.10 per 100 units	2011/12/23	80,000,000	2011/07/15
13078	Citigroup Global Mkt H Inc.	Maanshan Iron Eur Wts Feb 2012	0.250	4.28 per unit	2012/02/06	50,000,000	2011/07/15
13098	Citigroup Global Mkt H Inc.	PICC P&C European Wts May 2012	0.250	14.880	2012/05/28	80,000,000	2011/07/15
13099	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Dec2011A	0.220	4.288 per unit	2011/12/07	128,000,000	2011/07/15



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13100	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Dec2011B	0.170	4.50 per unit	2011/12/19	128,000,000	2011/07/15
13101	KBC Fin Products Int'l Ltd.	AIA European Warrants Jan 2012	0.250	27.480	2012/01/16	188,000,000	2011/07/15
13102	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Jan 2012 A	0.250	28.880	2012/01/16	188,000,000	2011/07/15
13109	KBC Fin Products Int'l Ltd.	BOCL Euro Put Wts Jan 2012	0.250	3.58 per unit	2012/01/16	108,000,000	2011/07/15
13107	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2012 A	0.320	3.68 per unit	2012/01/16	108,000,000	2011/07/15
13108	KBC Fin Products Int'l Ltd.	BOCL European Wts Jan 2012 B	0.190	3.988 per unit	2012/01/09	158,000,000	2011/07/15
13091	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Dec 2011	0.220	6.89 per unit	2011/12/09	158,000,000	2011/07/15
13090	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jan 2012	0.640	5.988 per unit	2012/01/16	108,000,000	2011/07/15
13095	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wt Dec 2011	0.410	6.18 per unit	2011/12/28	98,000,000	2011/07/15
13092	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Dec 2011 A	0.370	6.488 per unit	2011/12/21	128,000,000	2011/07/15
13103	KBC Fin Products Int'l Ltd.	China Life Euro Wts Dec 2011 B	0.200	27.880	2011/12/05	158,000,000	2011/07/15
13104	KBC Fin Products Int'l Ltd.	China Life Euro Wts Jan 2012 B	0.150	30.680	2012/01/03	158,000,000	2011/07/15
13105	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Jan 2012	0.150	12.488	2012/01/09	188,000,000	2011/07/15
13106	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Feb 2012	0.150	12.890	2012/02/06	188,000,000	2011/07/15
13088	KBC Fin Products Int'l Ltd.	HKEx Euro Warrants Jan 2012	0.150	164.10 per 100 units	2012/01/03	198,000,000	2011/07/15
13089	KBC Fin Products Int'l Ltd.	HKEx Euro Warrants Dec 2011 B	0.150	168.98 per 100 units	2011/12/22	198,000,000	2011/07/15
13093	KBC Fin Products Int'l Ltd.	ICBC European Wts Jan 2012	0.210	6.488 per unit	2012/01/03	128,000,000	2011/07/15
13096	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Dec 2011	0.460	5.80 per unit	2011/12/16	98,000,000	2011/07/15
13094	KBC Fin Products Int'l Ltd.	ICBC European Wts Jan 2012 A	0.300	6.188 per unit	2012/01/03	128,000,000	2011/07/15
13054	Macquarie Bank Ltd.	Li Ning European Warrants Apr 2012	0.253	12.880	2012/04/03	200,000,000	2011/07/15
13049	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Mar 2012	0.183	12,600 per 6,000 units	2012/03/29	100,000,000	2011/07/15
13053	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wts Jan 2012	0.185	12,100 per 5,000 units	2012/01/30	100,000,000	2011/07/15
13050	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2012 D	0.250	21,500 per 7,000 units	2012/01/30	200,000,000	2011/07/15
13051	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2012 E	0.250	22,500 per 8,000 units	2012/01/30	200,000,000	2011/07/15
13052	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2012 F	0.255	23,200 per 9,000 units	2012/01/30	200,000,000	2011/07/15
13064	Nomura Int'l plc	Agricultural Bk Euro Warrants Dec 2011	0.155	4.138 per unit	2011/12/12	100,000,000	2011/07/15
13063	Nomura Int'l plc	Agricultural Bk Euro Warrants Apr 2012	0.158	4.88 per unit	2012/04/30	100,000,000	2011/07/15
13065	Nomura Int'l plc	Agricultural Bk Euro Warrants Dec 2011 A	0.155	4.50 per unit	2011/12/12	100,000,000	2011/07/15
13066	Nomura Int'l plc	AIA European Warrants Mar 2012	0.150	32.380	2012/03/05	100,000,000	2011/07/15
13073	Nomura Int'l plc	BOCL European Warrants Jan 2012	0.155	4.16 per unit	2012/01/26	100,000,000	2011/07/15
13074	Nomura Int'l plc	BOCL European Warrants Jan 2012 A	0.151	4.38 per unit	2012/01/26	100,000,000	2011/07/15
13062	Nomura Int'l plc	China Mobile European Warrants Jan 2012	0.254	79.880	2012/01/26	100,000,000	2011/07/15
13061	Nomura Int'l plc	CC Bank European Warrants Jan 2012	0.254	7.28 per unit	2012/01/26	100,000,000	2011/07/15
13060	Nomura Int'l plc	CC Bank European Warrants Dec 2011 A	0.254	6.48 per unit	2011/12/23	100,000,000	2011/07/15
13070	Nomura Int'l plc	China COSCO European Warrants Jan 2012	0.250	8 per unit	2012/01/16	100,000,000	2011/07/15
13058	Nomura Int'l plc	CITIC P European Warrants Nov 2011	0.150	20.880	2011/11/28	100,000,000	2011/07/15
13071	Nomura Int'l plc	Minsheng Bank European Warrants Dec 2011	0.160	7.88 per unit	2011/12/20	100,000,000	2011/07/15
13072	Nomura Int'l plc	CPIC European Warrants Dec 2011 A	0.150	35.000	2011/12/28	100,000,000	2011/07/15
13055	Nomura Int'l plc	HSBC European Warrants Jan 2012 A	0.259	90.000	2012/01/26	100,000,000	2011/07/15
13067	Nomura Int'l plc	ICBC European Warrants Dec 2011	0.168	6.18 per unit	2011/12/23	100,000,000	2011/07/15
13068	Nomura Int'l plc	ICBC European Warrants Dec 2011 A	0.159	6.41 per unit	2011/12/19	100,000,000	2011/07/15
13069	Nomura Int'l plc	ICBC European Warrants Dec 2011 B	0.153	6.68 per unit	2011/12/20	100,000,000	2011/07/15
13057	Nomura Int'l plc	KECL European Warrants Jul 2012	0.150	15.000	2012/07/30	100,000,000	2011/07/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13056	Nomura Int'l plc	Poly European Warrants Jan 2012	0.360	7.28 per unit	2012/01/16	100,000,000	2011/07/15
13059	Nomura Int'l plc	Tencent Holdings Euro Warrants Nov 2011	0.150	238.88 per 100 units	2011/11/30	100,000,000	2011/07/15
13086	Standard Chartered Bank	CNOOC European Warrants Dec 2011	0.150	18.690	2011/12/14	100,000,000	2011/07/15
13087	Standard Chartered Bank	CNOOC European Warrants Feb 2012	0.250	17.680	2012/02/02	100,000,000	2011/07/15
13110	UBS AG	CNOOC European Warrants Dec 2011 A	0.150	20.000	2011/12/23	300,000,000	2011/07/15
11076 #	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2011 B	0.016	23,900 per 9,000 units	2011/09/29	650,000,000	2011/07/15
11940 #	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2011 A	0.045	23,500 per 9,000 units	2011/11/29	250,000,000	2011/07/15
21221 #	Credit Suisse AG	CC Bank European Warrants Dec 2012 A	0.107	5.438	2012/12/17	400,000,000	2011/07/15
21222 #	Credit Suisse AG	ICBC European Warrants Dec 2012	0.097	5.088	2012/12/17	400,000,000	2011/07/15
13155	Credit Suisse AG	Agricultural Bank European Wts Jan 2012	0.250	3.88 per unit	2012/01/20	200,000,000	2011/07/18
13158	Credit Suisse AG	BOCL European Warrants Dec 2011 A	0.150	3.95 per unit	2011/12/28	200,000,000	2011/07/18
13157	Credit Suisse AG	Evergrande European Warrants Oct 2011	0.300	6.51 per unit	2011/10/24	80,000,000	2011/07/18
13156	Credit Suisse AG	ICBC European Warrants Dec 2011	0.250	5.99 per unit	2011/12/19	200,000,000	2011/07/18
13154	Credit Suisse AG	Tencent Holdings European Wts Nov 2011	0.150	214.90 per 100 units	2011/11/28	200,000,000	2011/07/18
13133	Citigroup Global Mkt H Inc.	Alibaba Euro Warrants Jun 2012	0.150	13.900	2012/06/25	80,000,000	2011/07/18
13134	Citigroup Global Mkt H Inc.	CC Bank European Wts Feb 2012	0.300	6.68 per unit	2012/02/06	50,000,000	2011/07/18
13135	Citigroup Global Mkt H Inc.	CNOOC European Wts Dec 2011 A	0.150	18.700	2011/12/14	80,000,000	2011/07/18
13136	Citigroup Global Mkt H Inc.	MTRC European Wts Apr 2012	0.250	27.380	2012/04/30	80,000,000	2011/07/18
13137	Citigroup Global Mkt H Inc.	Goldwind European Wts Sep 2012	0.250	8.380	2012/09/03	80,000,000	2011/07/18
13115	HK Bank	Agile Ppt European Warrants Jun 2012	0.250	15.000	2012/06/22	80,000,000	2011/07/18
13116	HK Bank	Belle Int'l European Warrants Jun 2012	0.250	20.000	2012/06/08	80,000,000	2011/07/18
13117	HK Bank	Li Ning European Warrants Mar 2012	0.250	12.380	2012/03/12	100,000,000	2011/07/18
13129	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jan 2012 B	0.490	72.180	2012/01/18	128,000,000	2011/07/18
13130	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jan 2012 C	0.450	73.180	2012/01/18	128,000,000	2011/07/18
13131	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Jan 2012 D	0.250	81.180	2012/01/18	128,000,000	2011/07/18
13132	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Dec 2011	0.300	96.000	2011/12/15	200,000,000	2011/07/18
13113	Macquarie Bank Ltd.	Anta Sports European Warrants May 2012	0.251	13.880	2012/05/03	200,000,000	2011/07/18
13112	Macquarie Bank Ltd.	Ch Southern Air European Wts Feb 2012	0.331	5.50 per unit	2012/02/02	100,000,000	2011/07/18
13114	Macquarie Bank Ltd.	Lonking European Warrants Nov 2011	0.285	5.58 per unit	2011/11/17	100,000,000	2011/07/18
13111	Macquarie Bank Ltd.	Goldwind European Warrants Dec 2012	0.253	9.880	2012/12/04	200,000,000	2011/07/18
13119	CC Rabobank B.A.	BOCL European Warrants Jan 2012	0.200	3.99 per unit	2012/01/16	150,000,000	2011/07/18
13120	CC Rabobank B.A.	China EB Ltd European Warrants Jan 2012	0.250	15.700	2012/01/30	100,000,000	2011/07/18
13122	CC Rabobank B.A.	Ch Mobile European Warrants Jan 2012	0.250	80.280	2012/01/30	200,000,000	2011/07/18
13121	CC Rabobank B.A.	C Transmission European Warrants Jan 2012	0.500	10.28 per unit	2012/01/30	100,000,000	2011/07/18
13128	CC Rabobank B.A.	China Liansu European Warrants Sep 2012	0.250	6.880	2012/09/17	100,000,000	2011/07/18
13123	CC Rabobank B.A.	Sinopec Corp European Warrants Jan 2012	0.550	8.29 per unit	2012/01/16	100,000,000	2011/07/18
13124	CC Rabobank B.A.	Esprit European Warrants Dec 2011 A	0.150	28.000	2011/12/28	100,000,000	2011/07/18
13125	CC Rabobank B.A.	Li & Fung European Warrants Feb 2012	0.250	14.880	2012/02/20	150,000,000	2011/07/18
13126	CC Rabobank B.A.	SJM Holdings European Warrants Jan 2012	0.250	23.000	2012/01/30	150,000,000	2011/07/18
13127	CC Rabobank B.A.	United Lab European Warrants Jul 2012	0.250	10.880	2012/07/23	100,000,000	2011/07/18
13146	RB of Scotland N.V.	Agricultural Bk European Wts Jan 2012	0.151	4.38 per unit	2012/01/11	150,000,000	2011/07/18
13147	RB of Scotland N.V.	BOCL European Warrants Jan 2012	0.151	3.88 per unit	2012/01/20	100,000,000	2011/07/18
13149	RB of Scotland N.V.	China Mobile European Wts Jan 2012	0.251	81.800	2012/01/30	200,000,000	2011/07/18

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13148	CC Rabobank B.A.	CC Bank European Warrants Dec 2011 A	0.151	6.88 per unit	2011/12/16	100,000,000	2011/07/18
13152	RB of Scotland N.V.	China Life European Wts Dec 2011	0.151	29.880	2011/12/05	250,000,000	2011/07/18
13153	RB of Scotland N.V.	CNOOC European Warrants Dec 2011 A	0.151	18.690	2011/12/28	250,000,000	2011/07/18
13150	RB of Scotland N.V.	HSBC European Warrants Jan 2012	0.151	85.000	2012/01/04	100,000,000	2011/07/18
13141	RB of Scotland N.V.	HSI European Warrants Jan 2012	0.251	23,300 per 8,000 units	2012/01/30	250,000,000	2011/07/18
13142	RB of Scotland N.V.	HSI European Put Warrants Jan 2012	0.251	22,300 per 10,000 units	2012/01/30	250,000,000	2011/07/18
13140	RB of Scotland N.V.	HSI European Warrants Dec 2011 B	0.151	23,000 per 10,000 units	2011/12/29	250,000,000	2011/07/18
13143	RB of Scotland N.V.	HSI European Put Warrants Jan 2012 A	0.251	22,600 per 8,000 units	2012/01/30	250,000,000	2011/07/18
13151	RB of Scotland N.V.	HWL European Warrants Nov 2011	0.151	90.880	2011/11/08	100,000,000	2011/07/18
13144	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt May12	0.250	15.080	2012/05/09	120,000,000	2011/07/18
13145	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Dec2011	0.170	228.88 per 100 units	2011/12/21	200,000,000	2011/07/18
13118	UBS AG	AIA European Warrants Jan 2012	0.250	30.380	2012/01/18	300,000,000	2011/07/18
13138	UBS AG	BYD European Warrants Nov 2011 A	0.150	32.000	2011/11/25	100,000,000	2011/07/18
13139	UBS AG	HSI European Warrants Oct 2011 F	0.150	22,400 per 9,000 units	2011/10/28	300,000,000	2011/07/18
11152 <sup>†</sup>	J P Morgan SP BV	China Mob European Wts Oct 2011	0.070	78.000	2011/10/13	150,000,000	2011/07/18
27288 <sup>†</sup>	Citigroup Global Mkt H Inc.	Alibaba European Warrants Oct 11	0.044	13.880	2011/10/18	227,275,000	2011/07/18
29843 <sup>†</sup>	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2012	0.046	88.888 per 100 units	2012/03/21	217,400,000	2011/07/18
13201	Credit Suisse AG	Anhui Conch European Warrants Dec 2011	0.300	42.190	2011/12/21	100,000,000	2011/07/19
13206	Credit Suisse AG	CNOOC European Warrants Oct 2011 C	0.150	18.020	2011/10/24	300,000,000	2011/07/19
13207	Credit Suisse AG	CNOOC European Warrants Oct 2011 D	0.150	17.020	2011/10/31	300,000,000	2011/07/19
13203	Credit Suisse AG	HSI European Warrants Oct 2011	0.150	22,700 per 8,000 units	2011/10/28	300,000,000	2011/07/19
13205	Credit Suisse AG	HSI European Warrants Dec 2011	0.150	21,900 per 8,000 units	2011/12/29	300,000,000	2011/07/19
13204	Credit Suisse AG	HSI European Put Warrants Nov 2011	0.150	21,300 per 8,000 units	2011/11/29	300,000,000	2011/07/19
13200	Credit Suisse AG	Jiangxi Copper European Wts Nov 2011	0.150	28.900	2011/11/28	150,000,000	2011/07/19
13171	HK Bank	Anta Sports European Warrants Mar 2012	0.250	14.380	2012/03/30	80,000,000	2011/07/19
13170	HK Bank	China Mobile European Warrants Jan 2012	0.250	80.000	2012/01/19	150,000,000	2011/07/19
13169	HK Bank	Maanshan Iron European Warrants Feb 2012	0.250	3.88 per unit	2012/02/28	80,000,000	2011/07/19
13194	J P Morgan SP BV	Poly HK Inv European Warrants Jun 2013	0.150	6.990	2013/06/24	100,000,000	2011/07/19
13189	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Jan 2012 A	0.250	7.18 per unit	2012/01/19	128,000,000	2011/07/19
13178	KBC Fin Products Int'l Ltd.	Henderson Land Dev Eu Wt Jan2012	0.250	56.880	2012/01/19	58,000,000	2011/07/19
13192	KBC Fin Products Int'l Ltd.	Henderson Land Eu Put Wt Jan2012	0.420	46.880	2012/01/19	58,000,000	2011/07/19
13182	KBC Fin Products Int'l Ltd.	Hang Lung Ppt Eur Wts Apr 2012	0.250	34.880	2012/04/05	48,000,000	2011/07/19
13193	KBC Fin Products Int'l Ltd.	Hang Lung Ppt Eu Put Wt May 2012	0.320	28.800	2012/05/08	48,000,000	2011/07/19
13175	KBC Fin Products Int'l Ltd.	HSBC European Wts Jan 2012	0.350	77.880	2012/01/19	108,000,000	2011/07/19
13176	KBC Fin Products Int'l Ltd.	HSBC European Wts Feb 2012	0.250	82.880	2012/02/01	128,000,000	2011/07/19
13190	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Jan 2012	0.250	70.000	2012/01/19	108,000,000	2011/07/19
13179	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jan 2012	0.380	90.000	2012/01/19	128,000,000	2011/07/19
13180	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jan 2012 A	0.520	86.000	2012/01/19	128,000,000	2011/07/19
13183	KBC Fin Products Int'l Ltd.	KECL European Wts Mar 2012	0.160	14.480	2012/03/07	68,000,000	2011/07/19
13184	KBC Fin Products Int'l Ltd.	KECL European Wts Mar 2012 A	0.250	12.880	2012/03/15	68,000,000	2011/07/19
13177	KBC Fin Products Int'l Ltd.	PWR Assets Euro Wts Apr 2012	0.490	58.000	2012/04/16	48,000,000	2011/07/19
13191	KBC Fin Products Int'l Ltd.	PWR Assets Eur Put Wt Apr 2012	0.250	51.880	2012/04/16	48,000,000	2011/07/19

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13181	KBC Fin Products Int'l Ltd.	SHK Ppt European Wts Jan 2012	0.430	128.880	2012/01/19	80,000,000	2011/07/19
13186	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Dec 2011B	0.150	233.98 per 100 units	2011/12/15	108,000,000	2011/07/19
13185	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Jan 2012	0.270	202 per 100 units	2012/01/19	108,000,000	2011/07/19
13188	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Jan 2012A	0.150	226.98 per 100 units	2012/01/03	128,000,000	2011/07/19
13187	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Feb 2012	0.150	250 per 100 units	2012/02/24	108,000,000	2011/07/19
13174	KBC Fin Products Int'l Ltd.	Wharf Euro Warrants Jan 2012	0.340	56.880	2012/01/19	68,000,000	2011/07/19
13168	Macquarie Bank Ltd.	Longfor PPT European Warrants Jan 2012	0.250	15.880	2012/01/19	200,000,000	2011/07/19
13166	CC Rabobank B.A.	Alibaba European Warrants Jun 2012	0.150	13.900	2012/06/25	150,000,000	2011/07/19
13161	CC Rabobank B.A.	China Mer Hold European Warrants Jan 2012	0.350	31.000	2012/01/30	100,000,000	2011/07/19
13165	CC Rabobank B.A.	China Oilfield European Warrants Jul 2012	0.250	15.480	2012/07/16	100,000,000	2011/07/19
13163	CC Rabobank B.A.	CNBM European Warrants Jan 2012	0.250	19.880	2012/01/30	100,000,000	2011/07/19
13164	CC Rabobank B.A.	Digital China European Warrants Jul 2012	0.250	13.480	2012/07/16	100,000,000	2011/07/19
13162	CC Rabobank B.A.	Gome European Warrants Jan 2012	0.250	3.88 per unit	2012/01/30	100,000,000	2011/07/19
13167	CC Rabobank B.A.	Lee & Man Paper Euro Warrants Jan 2012	0.500	4.88 per unit	2012/01/30	100,000,000	2011/07/19
13160	CC Rabobank B.A.	Lonking European Warrants Jan 2012	0.400	4.70 per unit	2012/01/30	100,000,000	2011/07/19
13159	CC Rabobank B.A.	Goldwind European Warrants Oct 2012	0.250	8.880	2012/10/22	100,000,000	2011/07/19
13195	CC Rabobank B.A.	CC Bank European Warrants Dec 2011 B	0.151	6.48 per unit	2011/12/30	100,000,000	2011/07/19
13196	RB of Scotland N.V.	China Life European Wts Sep 2012	0.251	32.000	2012/09/25	200,000,000	2011/07/19
13197	RB of Scotland N.V.	HSBC European Warrants Jan 2012 A	0.251	82.880	2012/01/20	100,000,000	2011/07/19
13198	RB of Scotland N.V.	HWL European Warrants Dec 2011	0.151	97.880	2011/12/09	100,000,000	2011/07/19
13199	RB of Scotland N.V.	PetroChina European Warrants Dec 2012	0.151	12.000	2012/12/28	250,000,000	2011/07/19
13173	Standard Chartered Bank	BOC HK European Warrants May 2012	0.195	23.880	2012/05/03	100,000,000	2011/07/19
13172	Standard Chartered Bank	CC Bank Euro Warrants Dec 2011 B	0.150	6.89 per unit	2011/12/09	100,000,000	2011/07/19
13202	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Feb 2012	0.280	3.58 per unit	2012/02/06	200,000,000	2011/07/19
13218	Barclays Bank plc	HSI European Put Warrants Jan 2012	0.250	20,500 per 8,000 units	2012/01/30	150,000,000	2011/07/20
13217	Barclays Bank plc	HSI European Warrants Dec 2011 A	0.150	21,800 per 9,000 units	2011/12/29	150,000,000	2011/07/20
13264	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Aug 2012	0.250	5.20 per unit	2012/08/02	100,000,000	2011/07/20
13263	BNP Paribas Arbit Issu B.V.	AIA European Warrants Aug 2012	0.250	32.000	2012/08/02	100,000,000	2011/07/20
13254	Citigroup Global Mkt H Inc.	Anta Sports Euro Wts Apr 2012	0.250	12.880	2012/04/30	50,000,000	2011/07/20
13251	Citigroup Global Mkt H Inc.	CITIC Pacific Eu Wt Apr 2012	0.250	19.880	2012/04/30	80,000,000	2011/07/20
13253	Citigroup Global Mkt H Inc.	R&F Ppt European Wts Nov 2012	0.150	11.888	2012/11/09	80,000,000	2011/07/20
13252	Citigroup Global Mkt H Inc.	Geely Auto Euro Wts Feb 2012	0.250	3.48 per unit	2012/02/06	50,000,000	2011/07/20
13249	Citigroup Global Mkt H Inc.	HSCEI European Wts Jan 2012	0.150	12,638 per 5,000 units	2012/01/30	80,000,000	2011/07/20
13248	Citigroup Global Mkt H Inc.	HSCEI Euro Put Wts Feb 2012	0.150	11,538 per 5,000 units	2012/02/28	80,000,000	2011/07/20
13247	Citigroup Global Mkt H Inc.	HSI European Warrants Dec 2011	0.150	21,888 per 8,000 units	2011/12/29	80,000,000	2011/07/20
13250	Citigroup Global Mkt H Inc.	HSI European Put Wts Nov 2011	0.150	21,338 per 8,000 units	2011/11/29	80,000,000	2011/07/20
13255	Citigroup Global Mkt H Inc.	ICBC European Wts Dec 2011 A	0.200	6.008 per unit	2011/12/19	50,000,000	2011/07/20
13244	HK Bank	Agricultural Bank European Warrants Jan 2012	0.250	4.18 per unit	2012/01/30	100,000,000	2011/07/20
13246	HK Bank	BOCL European Warrants Feb 2012	0.250	3.88 per unit	2012/02/22	100,000,000	2011/07/20
13242	HK Bank	CC Bank European Warrants Jan 2012	0.250	6.68 per unit	2012/01/20	120,000,000	2011/07/20
13238	HK Bank	Cheung Kong European Warrants Jan 2012	0.470	115.000	2012/01/30	100,000,000	2011/07/20
13241	HK Bank	CNOOC European Warrants Apr 2012	0.250	18.280	2012/04/20	100,000,000	2011/07/20
13239	HK Bank	HWL European Warrants Jan 2012 A	0.330	85.000	2012/01/30	150,000,000	2011/07/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13245	HK Bank	ICBC European Warrants Jan 2012	0.250	6 per unit	2012/01/20	100,000,000	2011/07/20
13240	HK Bank	SHK Ppt European Warrants Apr 2013	0.250	118 per 100 units	2013/04/18	100,000,000	2011/07/20
13243	HK Bank	Yurun Food European Warrants Jan 2012	0.250	24.380	2012/01/20	80,000,000	2011/07/20
13223	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Jan 2012	0.280	3.88 per unit	2012/01/20	128,000,000	2011/07/20
13228	KBC Fin Products Int'l Ltd.	Agricultural Eu Put Wt Jan 2012	0.250	3.58 per unit	2012/01/20	108,000,000	2011/07/20
13229	KBC Fin Products Int'l Ltd.	AIA Euro Put Warrants Feb 2012	0.250	24.980	2012/02/01	108,000,000	2011/07/20
13231	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Feb 2012	0.250	24.980	2012/02/01	108,000,000	2011/07/20
13232	KBC Fin Products Int'l Ltd.	A50 Ch Euro Put Wts May 2012	0.150	12.780	2012/05/21	80,000,000	2011/07/20
13226	KBC Fin Products Int'l Ltd.	HKEx European Put Wts Apr 2012	0.160	160 per 100 units	2012/04/20	108,000,000	2011/07/20
13225	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Dec 2011 D	0.260	68.000	2011/12/19	88,000,000	2011/07/20
13233	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2011	0.150	21,500 per 8,000 units	2011/11/29	200,000,000	2011/07/20
13236	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Jan 2012	0.150	20,200 per 7,000 units	2012/01/30	200,000,000	2011/07/20
13237	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2012	0.150	19,800 per 9,000 units	2012/03/29	200,000,000	2011/07/20
13234	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Nov 2011 A	0.150	22,000 per 9,000 units	2011/11/29	200,000,000	2011/07/20
13235	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Dec 2011 C	0.150	21,000 per 8,000 units	2011/12/29	200,000,000	2011/07/20
13222	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Jan 2012 B	0.430	84.880	2012/01/03	128,000,000	2011/07/20
13230	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Jan 2012	0.250	5 per unit	2012/01/20	108,000,000	2011/07/20
13224	KBC Fin Products Int'l Ltd.	ICBC European Wts Jan 2012 B	0.250	5.88 per unit	2012/01/20	128,000,000	2011/07/20
13227	KBC Fin Products Int'l Ltd.	Tencent Hldgs Eu Put Wt Jan 2012	0.180	188.80 per 100 units	2012/01/09	108,000,000	2011/07/20
13214	Macquarie Bank Ltd.	Agricultural Bk Euro Put Wt Feb 2012	0.255	3.50 per unit	2012/02/02	200,000,000	2011/07/20
13215	Macquarie Bank Ltd.	BOCL European Put Warrants Mar 2012	0.251	3.28 per unit	2012/03/02	200,000,000	2011/07/20
13213	Macquarie Bank Ltd.	ICBC European Warrants Feb 2012	0.268	6.28 per unit	2012/02/02	200,000,000	2011/07/20
13216	Macquarie Bank Ltd.	ICBC European Put Warrants Feb 2012	0.254	5 per unit	2012/02/02	200,000,000	2011/07/20
13211	CC Rabobank B.A.	Ch Ship Cont European Warrants Jan 2012	0.250	2.88 per unit	2012/01/30	100,000,000	2011/07/20
13212	CC Rabobank B.A.	Shineway Pharm European Warrants Mar 2012	0.250	15.880	2012/03/19	100,000,000	2011/07/20
13210	CC Rabobank B.A.	Ch Zhongwang European Warrants Jan 2012	0.350	4.28 per unit	2012/01/30	100,000,000	2011/07/20
13208	CC Rabobank B.A.	Maanshan Iron European Wts Jan 2012	0.300	3.88 per unit	2012/01/30	100,000,000	2011/07/20
13209	CC Rabobank B.A.	Shimao Ppt European Warrants Jun 2012	0.150	11.880	2012/06/29	100,000,000	2011/07/20
13261	RB of Scotland N.V.	Agricultural Bk Euro Wts Jan 2012 A	0.251	4.08 per unit	2012/01/30	150,000,000	2011/07/20
13262	RB of Scotland N.V.	BOCL European Warrants Feb 2012	0.151	4.15 per unit	2012/02/02	100,000,000	2011/07/20
13259	RB of Scotland N.V.	ICBC European Warrants Jan 2012	0.251	6.18 per unit	2012/01/30	100,000,000	2011/07/20
13260	RB of Scotland N.V.	ICBC European Warrants Jan 2012 A	0.151	6.48 per unit	2012/01/09	100,000,000	2011/07/20
13220	Standard Chartered Bank	China Mobile Euro Put Wts Feb 2012	0.250	65.000	2012/02/02	100,000,000	2011/07/20
13219	Standard Chartered Bank	CC Bank European Wts Jan 2012 A	0.420	5.99 per unit	2012/01/20	100,000,000	2011/07/20
13221	Standard Chartered Bank	ICBC European Warrants Dec 2011 B	0.240	6.01 per unit	2011/12/19	100,000,000	2011/07/20
13256	UBS AG	Agricultural Bank European Warrants Dec 2011 A	0.150	4.39 per unit	2011/12/06	200,000,000	2011/07/20
13258	UBS AG	Hutchison European Warrants Dec 2013	0.150	87.38 per 100 units	2013/12/16	500,000,000	2011/07/20
13257	UBS AG	Hutchison European Warrants Nov 2011 B	0.150	93.930	2011/11/23	300,000,000	2011/07/20
13270	BNP Paribas Arbit Issu B.V.	Chi Resources Euro Wt Apr 2012	0.260	38.500	2012/04/03	60,000,000	2011/07/21
13272	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Feb 2012	0.270	5.23 per unit	2012/02/02	50,000,000	2011/07/21
13271	BNP Paribas Arbit Issu B.V.	Li & Fung Euro Wts Oct 2012	0.250	14.480	2012/10/04	100,000,000	2011/07/21
13273	BNP Paribas Arbit Issu B.V.	Zijin Mining Euro Wts Mar 2012	0.440	4.58 per unit	2012/03/02	40,000,000	2011/07/21
13301	HK Bank	CHALCO European Warrants Mar 2012	0.270	7.28 per unit	2012/03/05	80,000,000	2011/07/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13300	HK Bank	Country Garden European Warrants Apr 2012	0.250	4.50 per unit	2012/04/25	80,000,000	2011/07/21
13295	HK Bank	China Overseas European Warrants Jul 2012	0.250	20.000	2012/07/18	80,000,000	2011/07/21
13296	HK Bank	China Tel European Warrants Feb 2012	0.250	5.88 per unit	2012/02/15	80,000,000	2011/07/21
13298	HK Bank	CITIC Bank European Warrants Apr 2012	0.250	5.28 per unit	2012/04/13	80,000,000	2011/07/21
13294	HK Bank	Li & Fung European Warrants Jul 2012	0.250	15.000	2012/07/10	80,000,000	2011/07/21
13297	HK Bank	Lenovo European Warrants Feb 2012	0.250	5.50 per unit	2012/02/24	80,000,000	2011/07/21
13299	HK Bank	Yanzhou Coal European Warrants Mar 2012	0.250	35.000	2012/03/08	100,000,000	2011/07/21
13274	KBC Fin Products Int'l Ltd.	BEA European Warrants Mar 2012	0.150	35.500	2012/03/05	68,000,000	2011/07/21
13275	KBC Fin Products Int'l Ltd.	Ch Mer Hold Euro Wts May 2012	0.250	33.180	2012/05/10	68,000,000	2011/07/21
13282	KBC Fin Products Int'l Ltd.	Ch Mer Hold Eu Put Wt May 2012	0.250	28.880	2012/05/10	68,000,000	2011/07/21
13278	KBC Fin Products Int'l Ltd.	Ch Overseas Euro Wts May 2012	0.290	16.880	2012/05/02	80,000,000	2011/07/21
13283	KBC Fin Products Int'l Ltd.	China Overseas Eu Put Wt May12	0.250	15.180	2012/05/08	80,000,000	2011/07/21
13285	KBC Fin Products Int'l Ltd.	China Tel Eur Put Wts Jan 2012	0.250	4.28 per unit	2012/01/26	58,000,000	2011/07/21
13281	KBC Fin Products Int'l Ltd.	CNOOC European Wts Apr 2012	0.150	19.480	2012/04/20	98,000,000	2011/07/21
13286	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Jul 2012	0.250	17.880	2012/07/03	80,000,000	2011/07/21
13276	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Jan 2012	0.280	8.88 per unit	2012/01/26	80,000,000	2011/07/21
13277	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Feb 2012	0.250	9.68 per unit	2012/02/15	80,000,000	2011/07/21
13279	KBC Fin Products Int'l Ltd.	China Tel Euro Wts Jan 2012 A	0.400	5 per unit	2012/01/26	58,000,000	2011/07/21
13290	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jan 2012	0.170	12,100 per 5,000 units	2012/01/30	100,000,000	2011/07/21
13291	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Feb 2012	0.150	11,500 per 5,000 units	2012/02/28	100,000,000	2011/07/21
13288	KBC Fin Products Int'l Ltd.	HSI European Wts Jan 2012	0.250	20,600 per 8,800 units	2012/01/30	200,000,000	2011/07/21
13287	KBC Fin Products Int'l Ltd.	HSI European Wts Dec 2011 D	0.150	21,800 per 9,000 units	2011/12/29	200,000,000	2011/07/21
13292	KBC Fin Products Int'l Ltd.	HSI European Wts Dec 2011 E	0.150	22,400 per 8,000 units	2011/12/29	200,000,000	2011/07/21
13289	KBC Fin Products Int'l Ltd.	HSI European Wts Jan 2012 A	0.250	21,200 per 8,000 units	2012/01/30	200,000,000	2011/07/21
13293	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Oct 2011	0.150	12.88 per unit	2011/10/31	98,000,000	2011/07/21
13280	KBC Fin Products Int'l Ltd.	PetroChina Euro Wts Jan 2012 A	0.250	13.88 per unit	2012/01/26	98,000,000	2011/07/21
13284	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Put Wt Jan12B	0.250	210 per 100 units	2012/01/26	108,000,000	2011/07/21
13302	Macquarie Bank Ltd.	CNBM European Warrants Feb 2012	0.250	19.880	2012/02/02	200,000,000	2011/07/21
13269	Macquarie Bank Ltd.	China Railway European Wts Feb 2012	0.250	4.08 per unit	2012/02/02	150,000,000	2011/07/21
13303	Macquarie Bank Ltd.	Zhaojin Mining Euro Warrants May 2012	0.252	18.080	2012/05/03	200,000,000	2011/07/21
13267	CC Rabobank B.A.	China COSCO European Warrants Jan 2012	0.400	6.88 per unit	2012/01/30	100,000,000	2011/07/21
13265	CC Rabobank B.A.	Cheung Kong European Warrants Nov 2011	0.250	128.880	2011/11/28	150,000,000	2011/07/21
13266	CC Rabobank B.A.	Datang European Warrants Mar 2012	0.300	2.88 per unit	2012/03/19	100,000,000	2011/07/21
13268	CC Rabobank B.A.	MCC European Warrants Jan 2012	0.250	3.18 per unit	2012/01/30	100,000,000	2011/07/21
13306	RB of Scotland N.V.	CHALCO European Warrants Oct 2013	0.151	8.000	2013/10/02	100,000,000	2011/07/21
13305	RB of Scotland N.V.	BYD European Warrants Jun 2012	0.251	28.888	2012/06/25	300,000,000	2011/07/21
13307	RB of Scotland N.V.	A50 China European Warrants Oct 2012	0.151	12.280	2012/10/15	250,000,000	2011/07/21
13308	RB of Scotland N.V.	A50 China European Put Wts May 2012	0.151	12.800	2012/05/28	250,000,000	2011/07/21
13304	UBS AG	China Tel European Warrants Dec 2011	0.150	5.69 per unit	2011/12/12	100,000,000	2011/07/21
27250 #	Standard Chartered Bank	AIA European Warrants Nov 2011	0.055	30.000	2011/11/28	200,000,000	2011/07/21
13335	Citigroup Global Mkt H Inc.	BYD European Warrants Feb 2012	0.250	25.880	2012/02/06	80,000,000	2011/07/22
13333	Citigroup Global Mkt H Inc.	China Tel Euro Wts Feb 2012	0.250	5.88 per unit	2012/02/06	50,000,000	2011/07/22
13334	Citigroup Global Mkt H Inc.	Ch Railway Cons Eu Wt Feb 2012	0.250	6.88 per unit	2012/02/06	50,000,000	2011/07/22

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13336	Citigroup Global Mkt H Inc.	GCL-Poly Energy Eu Wt Feb 2012	0.300	5.18 per unit	2012/02/06	80,000,000	2011/07/22
13332	Citigroup Global Mkt H Inc.	Li & Fung European Wt Jun 2012	0.150	16.513	2012/06/18	80,000,000	2011/07/22
13321	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jan 2012	0.327	6.50 per unit	2012/01/26	200,000,000	2011/07/22
13326	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Wts Jan 2012	0.490	39.980	2012/01/03	80,000,000	2011/07/22
13327	KBC Fin Products Int'l Ltd.	CITIC P European Wts Jul 2012	0.250	20.380	2012/07/18	80,000,000	2011/07/22
13330	KBC Fin Products Int'l Ltd.	CITIC Pac Euro Put Wt Jul 2012	0.370	18.880	2012/07/18	80,000,000	2011/07/22
13328	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Dec 2011	0.260	26.900	2011/12/12	80,000,000	2011/07/22
13329	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Feb 2012	0.250	28.880	2012/02/15	80,000,000	2011/07/22
13324	Macquarie Bank Ltd.	Anhui Conch European Warrants Feb 2012	0.428	44.080	2012/02/02	200,000,000	2011/07/22
13322	Macquarie Bank Ltd.	Alibaba European Warrants Jul 2012	0.253	12.580	2012/07/04	200,000,000	2011/07/22
13323	Macquarie Bank Ltd.	China Mengniu European Wts Feb 2012 A	0.250	30.280	2012/02/02	200,000,000	2011/07/22
13331	Macquarie Bank Ltd.	Ch Rongsheng Euro Warrants Jan 2012	0.419	6.50 per unit	2012/01/26	200,000,000	2011/07/22
13309	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Jan 2012	0.153	4.69 per unit	2012/01/10	80,000,000	2011/07/22
13310	Merrill Lynch Int'l & Co. C.V.	Ag Bk European Wts Feb 2012	0.430	3.89 per unit	2012/02/06	80,000,000	2011/07/22
13313	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Put Wts Feb 2012	0.270	3.69 per unit	2012/02/13	80,000,000	2011/07/22
13311	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Feb 2012	0.250	3.69 per unit	2012/02/06	80,000,000	2011/07/22
13314	Merrill Lynch Int'l & Co. C.V.	BOCL Euro Put Wts Feb 2012	0.260	3.39 per unit	2012/02/13	80,000,000	2011/07/22
13312	Merrill Lynch Int'l & Co. C.V.	ICBC European Wts Feb 2012	0.510	5.60 per unit	2012/02/06	80,000,000	2011/07/22
13315	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Put Wts Feb 2012	0.260	5.10 per unit	2012/02/13	80,000,000	2011/07/22
13320	CC Rabobank B.A.	AviChina European Warrants Mar 2012	0.500	5.48 per unit	2012/03/19	100,000,000	2011/07/22
13318	CC Rabobank B.A.	BJ Ent Water European Warrants Mar 2012	0.300	2.48 per unit	2012/03/19	100,000,000	2011/07/22
13319	CC Rabobank B.A.	China Tel European Warrants Jan 2012	0.150	6 per unit	2012/01/09	100,000,000	2011/07/22
13317	CC Rabobank B.A.	Shun Tak European Warrants Jan 2012	0.550	5.28 per unit	2012/01/30	100,000,000	2011/07/22
13316	CC Rabobank B.A.	Tsingtao Brew European Warrants Mar 2012	0.600	52.880	2012/03/19	100,000,000	2011/07/22
13325	UBS AG	ICBC European Warrants Dec 2012	0.150	5 per 10 units	2012/12/10	500,000,000	2011/07/22
11538 #	Merrill Lynch Int'l & Co. C.V.	AIA European Warrants Nov11	0.064	29.990	2011/11/28	200,000,000	2011/07/22
29380 #	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Jan 2012	0.041	126.29 per 100 units	2012/01/16	250,000,000	2011/07/22
13350	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Mar 12	0.370	4.50 per unit	2012/03/02	200,000,000	2011/07/25
13349	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Mar 2012	0.410	6.60 per unit	2012/03/02	200,000,000	2011/07/25
13347	BNP Paribas Arbit Issu B.V.	CITIC Pacific Eu Wt Feb 2012 A	0.250	17.000	2012/02/02	90,000,000	2011/07/25
13348	BNP Paribas Arbit Issu B.V.	SJM Holdings Euro Wts Mar 2012	0.310	23.000	2012/03/02	100,000,000	2011/07/25
13356	Credit Suisse AG	China Tel European Warrants Dec 2011	0.150	5.69 per unit	2011/12/12	150,000,000	2011/07/25
13357	Credit Suisse AG	China Mobile European Warrants Dec 2011 A	0.150	77.700	2011/12/22	300,000,000	2011/07/25
13358	Credit Suisse AG	PICC European Warrants Mar 2012	0.250	15.880	2012/03/26	150,000,000	2011/07/25
13337	HK Bank	Evergrande European Warrants Jul 2013	0.250	6.500	2013/07/30	80,000,000	2011/07/25
13353	KBC Fin Products Int'l Ltd.	China Shenhua Eu Wt Jan 2012	0.420	38.380	2012/01/26	80,000,000	2011/07/25
13351	KBC Fin Products Int'l Ltd.	Esprit European Wts May 2012	0.150	27.980	2012/05/10	68,000,000	2011/07/25
13355	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Put Wt Feb 12	0.250	23.680	2012/02/20	80,000,000	2011/07/25
13352	KBC Fin Products Int'l Ltd.	Tsingtao Brew Eu Wts Jul 2012	0.590	50.000	2012/07/03	38,000,000	2011/07/25
13354	KBC Fin Products Int'l Ltd.	Tsingtao Brew Eu Put Wt Jul 12	0.390	40.000	2012/07/03	38,000,000	2011/07/25
13338	Macquarie Bank Ltd.	Shanshui Cement European Wts Dec 2012	0.250	11.080	2012/12/04	200,000,000	2011/07/25
13342	CC Rabobank B.A.	Agricultural Bk Euro Warrants Jan 2012	0.150	4.68 per unit	2012/01/09	150,000,000	2011/07/25
13343	CC Rabobank B.A.	AIA European Warrants Mar 2012	0.150	30.880	2012/03/19	200,000,000	2011/07/25

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13339	CC Rabobank B.A.	CSR Times European Warrants Feb 2012	0.300	31.000	2012/02/06	100,000,000	2011/07/25
13340	CC Rabobank B.A.	HSBC European Warrants Feb 2012	0.250	83.000	2012/02/06	200,000,000	2011/07/25
13341	CC Rabobank B.A.	Yuexiu Property Euro Warrants Feb 2012	0.250	1.60 per unit	2012/02/06	100,000,000	2011/07/25
13346	Standard Chartered Bank	CNBM European Warrants Mar 2012	0.260	18.600	2012/03/30	100,000,000	2011/07/25
13345	UBS AG	BOCL European Warrants Jan 2012 A	0.150	3.72 per unit	2012/01/18	100,000,000	2011/07/25
13344	UBS AG	CC Bank European Warrants Jan 2012	0.380	5.99 per unit	2012/01/09	200,000,000	2011/07/25
13400	BNP Paribas Arbit Issu B.V.	CNBM European Wts Feb 2012	0.250	17.500	2012/02/02	100,000,000	2011/07/26
13401	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Mar 2012	0.740	6.40 per unit	2012/03/02	100,000,000	2011/07/26
13407	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Aug 2012	0.400	20.000	2012/08/02	100,000,000	2011/07/26
13370	Citigroup Global Mkt H Inc.	China Agri Euro Wts Dec 2012	0.150	9.188	2012/12/20	80,000,000	2011/07/26
13373	Citigroup Global Mkt H Inc.	Ch Comm Cons Euro Wts Apr 2013	0.150	6.188	2013/04/16	80,000,000	2011/07/26
13374	Citigroup Global Mkt H Inc.	Lonking European Wts Feb 2012	0.300	4.18 per unit	2012/02/06	50,000,000	2011/07/26
13371	Citigroup Global Mkt H Inc.	Shimao Ppt Euro Wts Oct 2012	0.150	11.130	2012/10/10	80,000,000	2011/07/26
13372	Citigroup Global Mkt H Inc.	Yurun Food Euro Wts Feb 2012	0.250	23.880	2012/02/06	80,000,000	2011/07/26
13367	HK Bank	Anhui Conch European Warrants Jun 2012	0.320	45.380	2012/06/15	80,000,000	2011/07/26
13368	HK Bank	Lonking European Warrants Sep 2012	0.570	4.38 per unit	2012/09/11	80,000,000	2011/07/26
13404	KBC Fin Products Int'l Ltd.	Anhui Conch Eu Put Wt Mar 2012	0.350	34.880	2012/03/15	68,000,000	2011/07/26
13403	KBC Fin Products Int'l Ltd.	BOC HK European Wts Apr 2012	0.250	22.180	2012/04/02	80,000,000	2011/07/26
13402	KBC Fin Products Int'l Ltd.	BankComm Euro Wts Jan 2012	0.250	7.538 per 1 units	2012/01/26	68,000,000	2011/07/26
13406	KBC Fin Products Int'l Ltd.	BankComm Eur Put Wt Feb 2012	0.300	6 per unit	2012/02/08	68,000,000	2011/07/26
13405	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wt Feb 2012	0.270	5.48 per unit	2012/02/01	80,000,000	2011/07/26
13364	Macquarie Bank Ltd.	Bank of EA European Warrants Jan 2012	0.150	33.000	2012/01/04	100,000,000	2011/07/26
13365	Macquarie Bank Ltd.	Li & Fung European Warrants Jun 2012	0.150	16.500	2012/06/15	200,000,000	2011/07/26
13359	Merrill Lynch Int'l & Co. C.V.	AIA Euro Warrants Feb 2012	0.250	26.190	2012/02/03	80,000,000	2011/07/26
13363	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Apr 2012	0.250	23.990	2012/04/23	80,000,000	2011/07/26
13360	Merrill Lynch Int'l & Co. C.V.	China Railway Eu Wt Mar 12A	0.340	3.79 per unit	2012/03/26	80,000,000	2011/07/26
13362	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Mar 2012	0.265	214.99 per 100 units	2012/03/05	80,000,000	2011/07/26
13361	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts May 2012	0.250	241.99 per 100 units	2012/05/14	80,000,000	2011/07/26
13386	Nomura Int'l plc	Anhui Conch European Warrants Jan 2012	0.256	48.000	2012/01/26	100,000,000	2011/07/26
13392	Nomura Int'l plc	AIA European Warrants Jan 2012	0.150	30.350	2012/01/26	100,000,000	2011/07/26
13378	Nomura Int'l plc	Angang Steel European Warrants Nov 2013	0.150	9.880	2013/11/29	100,000,000	2011/07/26
13382	Nomura Int'l plc	Ch East Air European Warrants Jan 2012	0.268	4.48 per unit	2012/01/26	100,000,000	2011/07/26
13396	Nomura Int'l plc	China Life European Warrants Jan 2012	0.250	26.280	2012/01/26	100,000,000	2011/07/26
13395	Nomura Int'l plc	China Life European Warrants Feb 2012	0.150	28.928	2012/02/06	100,000,000	2011/07/26
13384	Nomura Int'l plc	CNOOC European Warrants Jan 2012 A	0.210	17.688	2012/01/26	100,000,000	2011/07/26
13385	Nomura Int'l plc	CNOOC European Warrants Apr 2012	0.150	20.980	2012/04/02	100,000,000	2011/07/26
13387	Nomura Int'l plc	Ch South Air European Warrants Jan 2012	0.270	5.88 per unit	2012/01/26	100,000,000	2011/07/26
13388	Nomura Int'l plc	China Shenhua European Warrants Dec 2011	0.153	44.880	2011/12/28	100,000,000	2011/07/26
13393	Nomura Int'l plc	CSR European Warrants Mar 2012	0.604	7.28 per unit	2012/03/30	30,000,000	2011/07/26
13397	Nomura Int'l plc	A50 China European Warrants Feb 2012 A	0.150	12.380	2012/02/29	100,000,000	2011/07/26
13381	Nomura Int'l plc	HKEx European Warrants Apr 2012 A	0.150	161 per 100 units	2012/04/02	100,000,000	2011/07/26
13375	Nomura Int'l plc	Henderson Land Dev Euro Warrants Dec 2011	0.150	55.000	2011/12/28	100,000,000	2011/07/26
13376	Nomura Int'l plc	Hutchison European Warrants Jan 2012	0.250	95.980	2012/01/26	100,000,000	2011/07/26



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13379	Nomura Int'l plc	JIANC European Warrants Jan 2012	0.300	26.880	2012/01/26	100,000,000	2011/07/26
13380	Nomura Int'l plc	JIANC European Warrants Feb 2012	0.250	29.980	2012/02/29	100,000,000	2011/07/26
13398	Nomura Int'l plc	Lonking European Warrants Jan 2012	0.250	4.88 per unit	2012/01/26	100,000,000	2011/07/26
13394	Nomura Int'l plc	Ping An European Warrants Jan 2012	0.275	88.880	2012/01/26	100,000,000	2011/07/26
13390	Nomura Int'l plc	Ch Rongsheng European Warrants Jan 2012	0.280	6.68 per unit	2012/01/26	100,000,000	2011/07/26
13389	Nomura Int'l plc	Sinopharm European Warrants Jan 2012	0.151	31.000	2012/01/26	100,000,000	2011/07/26
13377	Nomura Int'l plc	Sh Petrochem European Warrants Jan 2012	0.250	4.08 per unit	2012/01/26	100,000,000	2011/07/26
13383	Nomura Int'l plc	Tencent Holdings Euro Warrants Jan 2012	0.250	210 per 100 units	2012/01/26	100,000,000	2011/07/26
13399	Nomura Int'l plc	United Lab European Warrants Jun 2012	0.250	10.880	2012/06/29	100,000,000	2011/07/26
13391	Nomura Int'l plc	Yanzhou Coal European Warrants Feb 2012	0.151	36.880	2012/02/24	100,000,000	2011/07/26
13366	Standard Chartered Bank	Weichai Power Euro Wts Jan 2012	0.280	53.300	2012/01/30	50,000,000	2011/07/26
13369	UBS AG	CC Bank European Warrants Jan 2012 A	0.250	7 per unit	2012/01/30	200,000,000	2011/07/26
13428	BOCI Asia Ltd.	Agricultural Bk European Warrants Feb 2012	0.250	4.68 per unit	2012/02/06	100,000,000	2011/07/27
13429	BOCI Asia Ltd.	AIA European Warrants Jan 2012	0.160	28.880	2012/01/16	100,000,000	2011/07/27
13430	BOCI Asia Ltd.	China Life European Warrants Feb 2012	0.160	28.880	2012/02/06	100,000,000	2011/07/27
13436	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Dec 2011 A	0.200	95.980	2011/12/05	80,000,000	2011/07/27
13413	HK Bank	C Transmission European Warrants Apr 2013	0.250	8.280	2013/04/26	80,000,000	2011/07/27
13409	HK Bank	Galaxy Ent European Warrants Sep 2012	0.250	22.380	2012/09/12	80,000,000	2011/07/27
13412	HK Bank	PICC European Warrants Jul 2012	0.250	16.880	2012/07/09	100,000,000	2011/07/27
13410	HK Bank	Shanghai Electric European Warrants Sep 2012	0.250	4.38 per unit	2012/09/18	80,000,000	2011/07/27
13411	HK Bank	Zijin Mining European Warrants Apr 2012	0.250	5.88 per unit	2012/04/13	80,000,000	2011/07/27
13426	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jan 2012 A	0.257	7.28 per unit	2012/01/31	200,000,000	2011/07/27
13427	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2011	0.545	8 per unit	2011/12/28	200,000,000	2011/07/27
13439	J P Morgan SP BV	HSI European Put Warrants Jan 2012	0.250	21,500 per 8,000 units	2012/01/30	200,000,000	2011/07/27
13438	J P Morgan SP BV	HSI European Warrants Jan 2012 A	0.250	22,000 per 8,000 units	2012/01/30	200,000,000	2011/07/27
13435	KBC Fin Products Int'l Ltd.	BOC HK Euro Put Wts May 2012	0.250	21.800	2012/05/02	80,000,000	2011/07/27
13431	KBC Fin Products Int'l Ltd.	BYD European Warrants Dec 2011	0.150	28.880	2011/12/23	68,000,000	2011/07/27
13432	KBC Fin Products Int'l Ltd.	BYD European Warrants Mar 2012	0.350	24.880	2012/03/15	68,000,000	2011/07/27
13433	KBC Fin Products Int'l Ltd.	BYD European Warrants Jul 2012	0.250	33.000	2012/07/10	68,000,000	2011/07/27
13434	KBC Fin Products Int'l Ltd.	BYD European Put Wts Apr 2012	0.350	22.880	2012/04/20	68,000,000	2011/07/27
13415	Macquarie Bank Ltd.	CITIC Pacific European Wts Apr 2012	0.196	19.900	2012/04/25	200,000,000	2011/07/27
13414	Macquarie Bank Ltd.	Melco Int'l Dev European Wts Sep 2012	0.250	9.080	2012/09/04	200,000,000	2011/07/27
13437	RB of Scotland N.V.	HSBC European Warrants Apr 2016	0.151	78 per 100 units	2016/04/05	100,000,000	2011/07/27
13408	Standard Chartered Bank	BOCL European Warrants Apr 2012	0.250	3.88 per unit	2012/04/02	100,000,000	2011/07/27
13417	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 2012	0.150	86.880	2012/02/27	200,000,000	2011/07/27
13416	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jan 2012	0.190	7.18 per unit	2012/01/18	200,000,000	2011/07/27
13421	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2012	0.150	154.99 per 100 units	2012/01/16	500,000,000	2011/07/27
13423	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2012	0.150	170 per 100 units	2012/09/24	500,000,000	2011/07/27
13425	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Dec 11	0.150	160.70 per 100 units	2011/12/05	500,000,000	2011/07/27
13422	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2012 C	0.150	165.99 per 100 units	2012/06/04	500,000,000	2011/07/27
13424	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2012 A	0.150	188 per 100 units	2012/09/24	500,000,000	2011/07/27

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13418	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2012	0.250	22,400 per 8,000 units	2012/01/30	300,000,000	2011/07/27
13420	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan2012	0.250	21,800 per 8,000 units	2012/01/30	300,000,000	2011/07/27
13419	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2012 A	0.160	23,400 per 8,000 units	2012/01/30	300,000,000	2011/07/27
28980 #	Merrill Lynch Int'l & Co. C.V.	HKEEx Euro Wts Sep 2011 B	0.024	171.99 per 100 units	2011/09/28	500,000,000	2011/07/27
12684#	UBS AG	AIA European Warrants Dec 2011	0.137	27.930	2011/12/05	200,000,000	2011/07/27
13447	BOCI Asia Ltd.	BYD European Warrants Jan 2012	0.200	30.000	2012/01/31	100,000,000	2011/07/28
13450	BOCI Asia Ltd.	China Unicom European Warrants May 2012	0.150	17.380	2012/05/11	100,000,000	2011/07/28
13448	BOCI Asia Ltd.	CITBK European Warrants Feb 2012	0.350	5.18 per unit	2012/02/06	100,000,000	2011/07/28
13449	BOCI Asia Ltd.	Minsheng Bank European Warrants Feb 2012	0.350	8.18 per unit	2012/02/13	100,000,000	2011/07/28
13451	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Nov 2011 A	0.150	81.800	2011/11/25	80,000,000	2011/07/28
13463	Citigroup Global Mkt H Inc.	CNOOC European Wts Jan 2012 A	0.150	17.520	2012/01/10	80,000,000	2011/07/28
13461	KBC Fin Products Int'l Ltd.	Belle European Wts Jun 2012	0.250	18.380	2012/06/18	68,000,000	2011/07/28
13460	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Feb 2012	0.250	85.880	2012/02/01	128,000,000	2011/07/28
13458	KBC Fin Products Int'l Ltd.	CITIC Bank Euro Wts Mar 2012	0.250	5.18 per unit	2012/03/15	68,000,000	2011/07/28
13459	KBC Fin Products Int'l Ltd.	CNOOC European Wts Jan 2012	0.150	16.880	2012/01/03	98,000,000	2011/07/28
13453	Macquarie Bank Ltd.	China Mobile European Wts Mar 2012	0.250	82.500	2012/03/02	200,000,000	2011/07/28
13452	Macquarie Bank Ltd.	Minsheng Bank European Wts Dec 2011	0.259	7.88 per unit	2011/12/20	150,000,000	2011/07/28
13445	Macquarie Bank Ltd.	COSCO Pacific European Wts Aug 2012	0.250	13.880	2012/08/02	200,000,000	2011/07/28
13454	Macquarie Bank Ltd.	Sinopharm European Warrants May 2012	0.250	29.880	2012/05/03	150,000,000	2011/07/28
13446	Macquarie Bank Ltd.	Xinyi Glass European Warrants Oct 2012	0.250	6.880	2012/10/04	200,000,000	2011/07/28
13444	CC Rabobank B.A.	BOC HK European Warrants Jun 2012	0.170	25.000	2012/06/26	200,000,000	2011/07/28
13442	CC Rabobank B.A.	China Shenhua European Warrants Jan 2012	0.190	44.880	2012/01/04	100,000,000	2011/07/28
13441	CC Rabobank B.A.	Lenovo European Warrants Oct 2012	0.950	5.50 per unit	2012/10/15	100,000,000	2011/07/28
13440	CC Rabobank B.A.	Melco Int'l Dev Euro Warrants Sep 2012	0.250	10.000	2012/09/10	100,000,000	2011/07/28
13443	CC Rabobank B.A.	Sands China European Warrants Feb 2012	0.250	22.880	2012/02/20	100,000,000	2011/07/28
13467	RB of Scotland N.V.	China Life European Wts Jan 2012	0.151	27.280	2012/01/18	200,000,000	2011/07/28
13468	RB of Scotland N.V.	CNOOC European Warrants Feb 2012	0.151	17.680	2012/02/14	250,000,000	2011/07/28
13469	RB of Scotland N.V.	HKEEx European Warrants Sep 2012	0.151	188 per 100 units	2012/09/20	200,000,000	2011/07/28
13470	RB of Scotland N.V.	HWL European Warrants Feb 2012	0.251	93.880	2012/02/20	100,000,000	2011/07/28
13457	Standard Chartered Bank	BOCL European Warrants Feb 2012	0.350	3.50 per unit	2012/02/29	100,000,000	2011/07/28
13455	Standard Chartered Bank	Yurun Food Euro Warrants Feb 2012	0.250	26.880	2012/02/29	100,000,000	2011/07/28
13456	Standard Chartered Bank	Yurun Food Euro Warrants Aug 2012	0.500	22.800	2012/08/06	100,000,000	2011/07/28
13465	UBS AG	China Mobile European Warrants Jan 2012	0.250	83.380	2012/01/30	200,000,000	2011/07/28
13466	UBS AG	China Mobile European Warrants Jun 2015	0.250	70.88 per 100 units	2015/06/29	500,000,000	2011/07/28
13464	UBS AG	Hutchison European Warrants Dec 2011 B	0.150	103.000	2011/12/05	200,000,000	2011/07/28
13471	BOCI Asia Ltd.	CNBM European Warrants Mar 2012	0.250	18.380	2012/03/05	100,000,000	2011/07/29
13472	BOCI Asia Ltd.	CNOOC European Warrants Jul 2012	0.150	19.000	2012/07/03	100,000,000	2011/07/29
13473	BOCI Asia Ltd.	Lonking European Warrants Mar 2012	0.350	4.80 per unit	2012/03/05	100,000,000	2011/07/29
13477	Credit Suisse AG	China Mobile European Warrants Nov 2011	0.150	81.850	2011/11/28	300,000,000	2011/07/29
13480	J P Morgan SP BV	China Mob European Wts Jan 2012	0.250	86.880	2012/01/30	200,000,000	2011/07/29
13478	J P Morgan SP BV	China Mob European Wts Dec 2011 A	0.162	80.880	2011/12/30	200,000,000	2011/07/29
13479	Macquarie Bank Ltd.	C Transmission Euro Warrants Jan 2012	0.278	9.88 per unit	2012/01/30	150,000,000	2011/07/29

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13481	RB of Scotland N.V.	HWL European Warrants Mar 2012 A	0.251	100.000	2012/03/21	100,000,000	2011/07/29
13476	Standard Chartered Bank	China Mobile Euro Wts Feb 2012 A	0.300	82.880	2012/02/29	100,000,000	2011/07/29
13475	Standard Chartered Bank	CNOOC European Warrants Mar 2012	0.208	16.300	2012/03/29	100,000,000	2011/07/29
13474	Standard Chartered Bank	Hutchison Euro Euro Wts Feb 2012 A	0.660	89.890	2012/02/29	100,000,000	2011/07/29
13515	BNP Paribas Arbit Issu B.V.	AIA European Warrants Jan 2012	0.240	27.500	2012/01/09	100,000,000	2011/08/01
13516	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Mar 2012	0.250	28.300	2012/03/02	200,000,000	2011/08/01
13514	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jul 2012	0.380	90.000	2012/07/03	200,000,000	2011/08/01
13517	Credit Suisse AG	AIA European Warrants Mar 2012	0.150	32.400	2012/03/05	300,000,000	2011/08/01
13482	Citigroup Global Mkt H Inc.	C Transmission Eur Wt Jan 2013	0.250	6.180	2013/01/09	80,000,000	2011/08/01
13483	Citigroup Global Mkt H Inc.	CSR European Warrants Feb 2013	0.250	6.180	2013/02/28	80,000,000	2011/08/01
13504	J P Morgan SP BV	Angang Steel European Warrants Jul 2013	0.150	8.680	2013/07/23	150,000,000	2011/08/01
13506	J P Morgan SP BV	BOCL European Warrants Jan 2012	0.185	3.88 per unit	2012/01/09	200,000,000	2011/08/01
13512	J P Morgan SP BV	Ch Merchants European Warrants Feb 2012	0.250	31.880	2012/02/01	100,000,000	2011/08/01
13511	J P Morgan SP BV	CITIC Pacific Euro Wts Apr 2012	0.250	18.000	2012/04/27	100,000,000	2011/08/01
13502	J P Morgan SP BV	CNOOC European Warrants Jan 2012 A	0.150	17.700	2012/01/26	200,000,000	2011/08/01
13508	J P Morgan SP BV	Henderson Land Dev European Wts Feb 2012	0.250	52.000	2012/02/01	80,000,000	2011/08/01
13510	J P Morgan SP BV	Hang Lung Ppt European Warrants Feb 2012	0.250	33.880	2012/02/01	100,000,000	2011/08/01
13509	J P Morgan SP BV	HS Bank European Warrants Feb 2012	0.250	130.000	2012/02/01	80,000,000	2011/08/01
13513	J P Morgan SP BV	Luk Fook Hold European Warrants Feb 2012	0.250	48.880	2012/02/01	68,000,000	2011/08/01
13505	J P Morgan SP BV	New World Dev European Warrants Feb 2013	0.150	12.280	2013/02/25	100,000,000	2011/08/01
13507	J P Morgan SP BV	SHK Ppt European Warrants Feb 2012	0.364	125.000	2012/02/01	100,000,000	2011/08/01
13503	J P Morgan SP BV	SJM Holdings European Warrants Jan 2012	0.150	23.000	2012/01/18	200,000,000	2011/08/01
13498	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Nov 2011 B	0.200	80.580	2011/11/07	128,000,000	2011/08/01
13501	KBC Fin Products Int'l Ltd.	CNBM European Put Wts Jun 2012	0.250	14.000	2012/06/06	88,000,000	2011/08/01
13497	KBC Fin Products Int'l Ltd.	Li & Fung Euro Wts Jul 2012	0.150	17.980	2012/07/03	68,000,000	2011/08/01
13500	KBC Fin Products Int'l Ltd.	Li & Fung Euro Put Wt May 2012	0.380	16.180	2012/05/18	58,000,000	2011/08/01
13484	Macquarie Bank Ltd.	CRCC European Warrants Feb 2012	0.250	7 per unit	2012/02/02	150,000,000	2011/08/01
13485	Macquarie Bank Ltd.	CSR European Warrants Aug 2013	0.250	7.000	2013/08/02	150,000,000	2011/08/01
13486	Macquarie Bank Ltd.	PICC P&C European Warrants Aug 2012	0.250	16.880	2012/08/02	200,000,000	2011/08/01
13490	CC Rabobank B.A.	Anta Sports European Warrants Mar 2012	0.150	14.380	2012/03/26	100,000,000	2011/08/01
13488	CC Rabobank B.A.	China Overseas European Warrants Mar 2012	0.250	16.880	2012/03/19	100,000,000	2011/08/01
13491	CC Rabobank B.A.	CQRC Bank European Warrants Feb 2012	0.600	4.88 per unit	2012/02/20	100,000,000	2011/08/01
13489	CC Rabobank B.A.	ZhengTongAuto European Warrants Apr 2012	0.250	11.880	2012/04/16	100,000,000	2011/08/01
13487	CC Rabobank B.A.	Dongyue Group European Warrants Apr 2012	0.250	9.280	2012/04/16	100,000,000	2011/08/01
10116	RB of Scotland N.V.	DJIA European Warrants Jun 2012	0.251	13,380 per 30,000 units	2012/06/15	100,000,000	2011/08/01
10117	RB of Scotland N.V.	DJIA European Put Warrants Jun 2012	0.251	11,380 per 30,000 units	2012/06/15	100,000,000	2011/08/01
13518	RB of Scotland N.V.	Tencent Holdings European Wts Mar 2012	0.251	218.88 per 100 units	2012/03/05	80,000,000	2011/08/01

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13519	RB of Scotland N.V.	Tencent European Put Warrants Feb 2012	0.151	179.99 per 100 units	2012/02/20	250,000,000	2011/08/01
13492	SGA Societe Generale Acceptance N.V.	Alibaba Eu Wt Jun 2012	0.150	13.900	2012/06/25	100,000,000	2011/08/01
13493	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 2012 A	0.260	83.880	2012/02/06	200,000,000	2011/08/01
13494	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Feb 2012	0.150	17.690	2012/02/08	300,000,000	2011/08/01
13495	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Apr 2012A	0.150	19.480	2012/04/23	300,000,000	2011/08/01
13496	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Feb 2012	0.650	12.88 per unit	2012/02/06	50,000,000	2011/08/01
29882*	Macquarie Bank Ltd.	Hutchison European Warrants Oct 2011	0.225	93.980	2011/10/04	128,000,000	2011/08/01
13520	Barclays Bank plc	China Mobile European Wts Apr 2012	0.250	84.280	2012/04/16	100,000,000	2011/08/02
13522	BOCI Asia Ltd.	HSI European Warrants Dec 2012	0.250	25,400 per 8,000 units	2012/12/28	100,000,000	2011/08/02
13524	BOCI Asia Ltd.	HSI European Put Warrants Dec 2012	0.350	20,600 per 8,000 units	2012/12/28	100,000,000	2011/08/02
13523	BOCI Asia Ltd.	HSI European Warrants Dec 2012 A	0.300	24,200 per 8,000 units	2012/12/28	100,000,000	2011/08/02
13525	BOCI Asia Ltd.	HSI European Put Warrants Dec 2012 B	0.400	21,800 per 8,000 units	2012/12/28	100,000,000	2011/08/02
13521	BOCI Asia Ltd.	Hutchison European Warrants Feb 2012	0.300	105.000	2012/02/06	100,000,000	2011/08/02
10121	BNP Paribas Arbit Issu B.V.	AUD/USD European Wts Jun 2012	0.250	1.15 per 1 units	2012/06/12	100,000,000	2011/08/02
10122	BNP Paribas Arbit Issu B.V.	AUD/USD Euro Put Wts Jun 2012	0.250	0.93 per 1 units	2012/06/12	100,000,000	2011/08/02
10119	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Jun 2012	0.250	1,450 per 3,000 units	2012/06/06	100,000,000	2011/08/02
10118	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt Feb 2012	0.250	110 per 300 units	2012/02/16	100,000,000	2011/08/02
10120	BNP Paribas Arbit Issu B.V.	Oil Futures Eu Put Wt Feb 2012	0.250	90 per 300 units	2012/02/16	100,000,000	2011/08/02
13528	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Mar 2012	0.250	90.880	2012/03/01	128,000,000	2011/08/02
13527	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Feb 2012 A	0.350	83.000	2012/02/02	128,000,000	2011/08/02
13526	Macquarie Bank Ltd.	China Mobile European Wts Feb 2012 A	0.250	85.000	2012/02/09	200,000,000	2011/08/02
13534	RB of Scotland N.V.	China Mobile European Wts Mar 2012	0.251	85.880	2012/03/23	200,000,000	2011/08/02
10123	RB of Scotland N.V.	Gold European Warrants Jun 2012	0.251	1,688 per 5,000 units	2012/06/25	100,000,000	2011/08/02
13535	RB of Scotland N.V.	HSCEI European Put Warrants Feb 2012	0.151	11,500 per 5,000 units	2012/02/28	200,000,000	2011/08/02
13529	Standard Chartered Bank	China Overseas Euro Wt May 2012	0.250	16.880	2012/05/15	100,000,000	2011/08/02
13530	Standard Chartered Bank	Ch Comm Cons Eur Warrants Feb 2012	0.330	8.28 per unit	2012/02/29	100,000,000	2011/08/02
13531	Standard Chartered Bank	Ch Comm Cons Eur Warrants Apr 2013	0.150	6.180	2013/04/30	150,000,000	2011/08/02
13532	UBS AG	HSBC European Warrants Nov 2011 A	0.150	88.930	2011/11/28	200,000,000	2011/08/02
13533	UBS AG	HSI European Put Warrants Nov 2011 D	0.150	22,000 per 9,000 units	2011/11/29	300,000,000	2011/08/02
13565	BNP Paribas Arbit Issu B.V.	AIA European Put Wts Feb 2012	0.150	25.450	2012/02/03	100,000,000	2011/08/03
13559	BNP Paribas Arbit Issu B.V.	Angang Steel Euro Wt Jan 2013	0.180	8.190	2013/01/02	100,000,000	2011/08/03
13560	BNP Paribas Arbit Issu B.V.	Angang Steel Euro Wt Dec 2013	0.160	9.890	2013/12/03	100,000,000	2011/08/03
13566	BNP Paribas Arbit Issu B.V.	Anta Sports Euro Wts Jul 2012	0.250	12.880	2012/07/03	100,000,000	2011/08/03
13564	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Mar 2012	0.470	73.000	2012/03/02	100,000,000	2011/08/03
13562	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Mar 2012	0.290	6 per unit	2012/03/02	100,000,000	2011/08/03
13563	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wts Mar 2012	0.440	6 per unit	2012/03/02	100,000,000	2011/08/03
13555	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Feb 2012	0.470	132.000	2012/02/03	60,000,000	2011/08/03
13574	BNP Paribas Arbit Issu B.V.	HKEx European Put Wts Mar 2012	0.250	170 per 100 units	2012/03/02	100,000,000	2011/08/03
13556	BNP Paribas Arbit Issu B.V.	HSBC European Put Wts Mar 2012	0.340	68.880	2012/03/02	100,000,000	2011/08/03
13557	BNP Paribas Arbit Issu B.V.	Hutchison Euro Put Wt Mar 2012	0.430	80.000	2012/03/02	100,000,000	2011/08/03
13561	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wts Aug 2012	0.280	33.660	2012/08/02	100,000,000	2011/08/03
13567	BNP Paribas Arbit Issu B.V.	NVC Lighting Euro Wts Mar 2012	0.590	4.80 per unit	2012/03/02	17,000,000	2011/08/03
13558	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Warrants Feb 2012	0.360	138.000	2012/02/03	68,000,000	2011/08/03

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13568	BNP Paribas Arbit Issu B.V.	WC Cement Euro Wts Aug 2012	0.560	3.10 per unit	2012/08/02	70,000,000	2011/08/03
13577	Credit Suisse AG	Agricultural Bank European Wts Nov 2011	0.150	4.51 per unit	2011/11/04	300,000,000	2011/08/03
13576	Credit Suisse AG	Hutchison European Warrants Dec 2011	0.200	103.000	2011/12/05	300,000,000	2011/08/03
13570	HK Bank	China Mobile European Warrants Feb 2012	0.390	82.680	2012/02/28	150,000,000	2011/08/03
13569	HK Bank	PetroChina European Warrants Mar 2012	0.380	12.28 per unit	2012/03/09	80,000,000	2011/08/03
13572	HK Bank	Renhe Comm European Warrants May 2012	0.250	1.68 per unit	2012/05/08	80,000,000	2011/08/03
13571	HK Bank	Sinopharm European Warrants Apr 2012	0.250	28.180	2012/04/26	68,000,000	2011/08/03
13575	J P Morgan SP BV	Ch Overseas European Warrants Jun 2012	0.250	18.880	2012/06/28	150,000,000	2011/08/03
13580	J P Morgan SP BV	New World Dev European Warrants Mar 2013	0.250	11.880	2013/03/28	150,000,000	2011/08/03
13553	J P Morgan SP BV	Wynn Macau European Warrants Feb 2012	0.250	31.880	2012/02/03	150,000,000	2011/08/03
13554	Macquarie Bank Ltd.	China Tel European Warrants Feb 2012	0.250	5.88 per unit	2012/02/03	150,000,000	2011/08/03
13543	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Jan 2012	0.206	5.99 per unit	2012/01/09	80,000,000	2011/08/03
13546	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Put Wt Jan 2012	0.150	4.29 per unit	2012/01/20	80,000,000	2011/08/03
13544	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Apr 2012 A	0.250	87.990	2012/04/17	80,000,000	2011/08/03
13542	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2012	0.152	169.99 per 100 units	2012/09/26	80,000,000	2011/08/03
13541	Merrill Lynch Int'l & Co. C.V.	Hutchison Euro Wt Dec 2011	0.220	102.990	2011/12/19	80,000,000	2011/08/03
13545	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Nov 2011C	0.420	83.990	2011/11/28	80,000,000	2011/08/03
13539	CC Rabobank B.A.	CSR European Warrants Feb 2012	0.620	6.50 per unit	2012/02/13	100,000,000	2011/08/03
13540	CC Rabobank B.A.	CSR Times European Warrants Feb 2012 A	0.300	25.000	2012/02/13	100,000,000	2011/08/03
13538	CC Rabobank B.A.	Dongfang Elec European Wts Jan 2012	0.240	32.880	2012/01/26	100,000,000	2011/08/03
13536	CC Rabobank B.A.	Jiangxi Copper European Warrants Feb 2012	0.250	30.200	2012/02/13	100,000,000	2011/08/03
13537	CC Rabobank B.A.	Sany Int'l European Warrants Feb 2012	0.820	8.50 per unit	2012/02/13	100,000,000	2011/08/03
13578	RB of Scotland N.V.	Agricultural Bk Euro Wts Feb 2012	0.250	4.68 per unit	2012/02/16	150,000,000	2011/08/03
13579	CC Rabobank B.A.	CC Bank European Warrants Feb 2012	0.250	7.48 per unit	2012/02/16	100,000,000	2011/08/03
13573	Standard Chartered Bank	China Mobile Euro Wts Feb 2012 B	0.250	88.000	2012/02/03	150,000,000	2011/08/03
13547	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 2012B	0.250	92.880	2012/02/13	150,000,000	2011/08/03
13548	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Feb12	0.320	3.38 per unit	2012/02/06	70,000,000	2011/08/03
13549	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Feb 2012	0.320	52.880	2012/02/06	50,000,000	2011/08/03
13550	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Nov 2011 B	0.260	99.280	2011/11/23	150,000,000	2011/08/03
13551	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Dec 2011 A	0.240	103.000	2011/12/19	150,000,000	2011/08/03
13552	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Feb 2012	0.440	5.58 per unit	2012/02/06	40,000,000	2011/08/03
11673 #	KBC Fin Products Int'l Ltd.	Ch Mobile Euro Wt Nov 2011	0.260	78.880	2011/11/15	180,000,000	2011/08/03
27897 #	KBC Fin Products Int'l Ltd.	Swire Pacific A Euro Wts Nov11	0.028	120 per 100 units	2011/11/11	360,000,000	2011/08/03
13595	Barclays Bank plc	BOCL European Warrants Apr 2012	0.250	3.88 per unit	2012/04/18	100,000,000	2011/08/04
13610	BNP Paribas Arbit Issu B.V.	CKI Holdings Euro Wts Mar 2012	0.320	55.000	2012/03/02	50,000,000	2011/08/04
13615	Credit Suisse AG	CC Bank European Warrants Nov 2011	0.150	7.39 per unit	2011/11/28	300,000,000	2011/08/04
13614	Credit Suisse AG	ChiUnicom European Warrants Feb 2012 A	0.150	17.200	2012/02/13	200,000,000	2011/08/04
13613	Credit Suisse AG	HSI European Warrants Dec 2011 A	0.150	23,500 per 8,000 units	2011/12/29	500,000,000	2011/08/04
13611	Credit Suisse AG	HSI European Put Warrants Nov 2011 A	0.150	21,500 per 8,000 units	2011/11/29	500,000,000	2011/08/04
13612	Credit Suisse AG	HSI European Put Warrants Nov 2011 B	0.150	22,000 per 9,000 units	2011/11/29	500,000,000	2011/08/04
13621	Credit Suisse AG	PWR Assets European Warrants Feb 2012	0.250	68.880	2012/02/06	150,000,000	2011/08/04
13616	Credit Suisse AG	Yanzhou Coal European Warrants Nov 2011	0.150	35.900	2011/11/28	200,000,000	2011/08/04

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13604	Citigroup Global Mkt H Inc.	Ch Railway Euro Wts Apr 2012	0.250	3.88 per unit	2012/04/17	80,000,000	2011/08/04
13606	Citigroup Global Mkt H Inc.	China Shenhua Eur Wts Feb 2012	0.250	45.380	2012/02/06	80,000,000	2011/08/04
13603	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Feb 2012	0.250	23.380	2012/02/06	50,000,000	2011/08/04
13605	Citigroup Global Mkt H Inc.	SJM Holdings Euro Wt Feb 2012	0.250	23.880	2012/02/06	80,000,000	2011/08/04
13623	Bank of East Asia	China Overseas Eur Put Warrants Oct 2012	0.250	14.688	2012/10/22	103,000,000	2011/08/04
13622	Bank of East Asia	CITIC Pacific European Warrants Jun 2012	0.250	17.267	2012/06/22	90,000,000	2011/08/04
13625	Bank of East Asia	R&F Ppt European Put Warrants Nov 2012	0.250	9.277	2012/11/23	165,000,000	2011/08/04
13624	Bank of East Asia	PICC European Put Warrants Dec 2012	0.250	12.328	2012/12/24	125,000,000	2011/08/04
13608	J P Morgan SP BV	A50 China European Warrants Feb 2012	0.670	13.88 per unit	2012/02/06	100,000,000	2011/08/04
13609	J P Morgan SP BV	A50 China European Warrants Feb 2012 A	0.150	12.400	2012/02/27	200,000,000	2011/08/04
13619	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Jan2012A	0.220	4.69 per unit	2012/01/04	128,000,000	2011/08/04
13618	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Feb 2012	0.480	100.000	2012/02/06	128,000,000	2011/08/04
13617	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Dec 2011 B	0.290	102.980	2011/12/05	128,000,000	2011/08/04
13581	Macquarie Bank Ltd.	CPIC European Warrants Mar 2012	0.250	33.880	2012/03/02	100,000,000	2011/08/04
13582	Macquarie Bank Ltd.	Luk Fook Hold European Wts Feb 2012	0.250	51.000	2012/02/06	150,000,000	2011/08/04
13607	Macquarie Bank Ltd.	Melco Int'l Dev European Wts Jun 2012	0.250	11.880	2012/06/04	150,000,000	2011/08/04
13589	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Wts Dec 2011 B	0.214	4.49 per unit	2011/12/12	80,000,000	2011/08/04
13594	Merrill Lynch Int'l & Co. C.V.	BOCL European Wts Feb 2012A	0.220	3.89 per unit	2012/02/15	80,000,000	2011/08/04
13587	Merrill Lynch Int'l & Co. C.V.	BYD European Wts Feb 2012	0.360	24.990	2012/02/27	80,000,000	2011/08/04
13588	Merrill Lynch Int'l & Co. C.V.	BYD European Wts Mar 2012	0.260	27.990	2012/03/19	80,000,000	2011/08/04
13592	Merrill Lynch Int'l & Co. C.V.	R&P Ppt Euro Wts Aug 2012	0.250	9.390	2012/08/20	80,000,000	2011/08/04
13593	Merrill Lynch Int'l & Co. C.V.	R&P Ppt Euro Wts Nov 2012	0.155	11.890	2012/11/12	80,000,000	2011/08/04
13583	Merrill Lynch Int'l & Co. C.V.	KECL European Wts Feb 2012	0.152	13.890	2012/02/20	80,000,000	2011/08/04
13584	Merrill Lynch Int'l & Co. C.V.	KECL European Wts Oct 2012	0.151	15.290	2012/10/22	80,000,000	2011/08/04
13585	Merrill Lynch Int'l & Co. C.V.	Li & Fung Euro Wts Feb 2012	0.155	14.890	2012/02/13	80,000,000	2011/08/04
13586	Merrill Lynch Int'l & Co. C.V.	Li & Fung Euro Wts Jun 2012	0.150	16.490	2012/06/15	80,000,000	2011/08/04
13590	Merrill Lynch Int'l & Co. C.V.	PICC European Wts Jan 2012	0.186	13.890	2012/01/16	80,000,000	2011/08/04
13591	Merrill Lynch Int'l & Co. C.V.	PICC European Wts Mar 2012	0.150	15.890	2012/03/19	80,000,000	2011/08/04
13599	CC Rabobank B.A.	CPIC European Warrants Jan 2012	0.150	33.880	2012/01/16	150,000,000	2011/08/04
13596	CC Rabobank B.A.	Galaxy Ent European Warrants Feb 2012	0.250	24.000	2012/02/14	100,000,000	2011/08/04
13598	CC Rabobank B.A.	Sands China European Warrants Feb 2012 A	0.250	28.000	2012/02/14	100,000,000	2011/08/04
13597	CC Rabobank B.A.	Wynn Macau European Warrants Feb 2012	0.250	33.000	2012/02/14	100,000,000	2011/08/04
13620	RB of Scotland N.V.	HSBC European Warrants Mar 2012	0.251	90.000	2012/03/05	100,000,000	2011/08/04
13601	Standard Chartered Bank	CC Bank European Wts Feb 2012	0.300	7.27 per unit	2012/02/29	100,000,000	2011/08/04
13602	Standard Chartered Bank	CC Bank European Wts Sep 2012	0.500	6.88 per unit	2012/09/03	100,000,000	2011/08/04
13600	Standard Chartered Bank	MTRC European Warrants Apr 2012	0.300	24.660	2012/04/30	100,000,000	2011/08/04
11692 #	KBC Fin Products Int'l Ltd.	AIA European Put Warrants Dec11	0.084	27.000	2011/12/01	200,000,000	2011/08/04
12681 #	Standard Chartered Bank	Tencent Hldg European Wts Nov 2011	0.140	215 per 100 units	2011/11/30	100,000,000	2011/08/04
13626	Barclays Bank plc	Hutchison European Warrants Feb 2012	0.398	102.880	2012/02/28	100,000,000	2011/08/05
13642	Credit Suisse AG	AIA European Warrants Feb 2012	0.250	30.880	2012/02/20	300,000,000	2011/08/05
13641	Credit Suisse AG	AIA European Put Warrants Nov 2011	0.150	27.000	2011/11/28	300,000,000	2011/08/05
13640	Credit Suisse AG	Cheung Kong European Warrants Feb 2012	0.300	133.880	2012/02/06	200,000,000	2011/08/05

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13629	J P Morgan SP BV	CM Bank European Warrants Jun 2012	0.172	20.000	2012/06/01	200,000,000	2011/08/05
13636	J P Morgan SP BV	Hutchison European Warrants Feb 2012	0.250	105.880	2012/02/06	150,000,000	2011/08/05
13637	J P Morgan SP BV	Hutchison European Warrants Nov 2011 B	0.250	99.280	2011/11/23	150,000,000	2011/08/05
13639	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Mar 2012	0.150	32.430	2012/03/05	198,000,000	2011/08/05
13633	Macquarie Bank Ltd.	Chi East Air Euro Warrants Feb 2012	0.250	5 per unit	2012/02/06	150,000,000	2011/08/05
13634	Macquarie Bank Ltd.	Link REIT European Warrants Feb 2012	0.250	29.880	2012/02/06	150,000,000	2011/08/05
13635	Macquarie Bank Ltd.	NVC Lighting Euro Warrants Feb 2012	0.252	5 per unit	2012/02/06	180,000,000	2011/08/05
13627	CC Rabobank B.A.	Sany Int'l European Warrants Feb 2012 A	0.650	8.80 per unit	2012/02/13	100,000,000	2011/08/05
13628	CC Rabobank B.A.	ZTE European Warrants Feb 2012	0.250	27.000	2012/02/13	100,000,000	2011/08/05
13630	Standard Chartered Bank	Hutchison Euro Euro Wts Feb 2012 B	0.450	101.130	2012/02/06	100,000,000	2011/08/05
13631	Standard Chartered Bank	Tencent Hldg European Wts Jan 2012	0.210	208.88 per 100 units	2012/01/16	100,000,000	2011/08/05
13632	Standard Chartered Bank	Tencent Hldg European Wts Feb 2012	0.150	229 per 100 units	2012/02/06	100,000,000	2011/08/05
13638	UBS AG	Tencent Holdings European Warrants Dec 2011 A	0.150	210 per 100 units	2011/12/21	200,000,000	2011/08/05
26286 #	Macquarie Bank Ltd.	PICC P&C European Warrants Nov 2011	0.070	15.000	2011/11/02	145,000,000	2011/08/05
11998 #	BOCI Asia Ltd.	Mongolia Energy European Warrants Jan 2012	0.048	1.88 per unit	2012/01/30	300,000,000	2011/08/05
28979 #	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2011 B	0.033	179.99 per 100 units	2011/12/05	320,000,000	2011/08/05
12553 #	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2011 C	0.057	169.99 per 100 units	2011/12/09	320,000,000	2011/08/05
13656	J P Morgan SP BV	Ping An European Warrants Feb 2012	0.250	88.880	2012/02/09	200,000,000	2011/08/08
13659	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Mar 2012 A	0.250	30.280	2012/03/01	198,000,000	2011/08/08
13660	KBC Fin Products Int'l Ltd.	AIA Euro Put Warrants Feb 2012A	0.250	29.000	2012/02/08	168,000,000	2011/08/08
13649	Macquarie Bank Ltd.	AIA European Warrants Apr 2012	0.250	33.000	2012/04/03	200,000,000	2011/08/08
13650	Macquarie Bank Ltd.	Jiangxi Copper European Wts Apr 2012	0.250	33.880	2012/04/03	200,000,000	2011/08/08
13646	Macquarie Bank Ltd.	Sh Electric European Warrants Feb 2012	0.253	5.28 per unit	2012/02/08	150,000,000	2011/08/08
13645	Macquarie Bank Ltd.	Yurun Food European Warrants Feb 2012	0.250	26.880	2012/02/08	150,000,000	2011/08/08
13648	Merrill Lynch Int'l & Co. C.V.	AIA Euro Warrants May 2012	0.250	32.990	2012/05/23	80,000,000	2011/08/08
13643	Merrill Lynch Int'l & Co. C.V.	Minsheng Bk Eu Wts Nov 2011	0.198	7.48 per unit	2011/11/14	80,000,000	2011/08/08
13644	Merrill Lynch Int'l & Co. C.V.	Minsheng Bk Eu Wts Dec 2011	0.162	7.89 per unit	2011/12/19	80,000,000	2011/08/08
13647	Merrill Lynch Int'l & Co. C.V.	MTRC European Wts Apr 2012	0.168	27.390	2012/04/23	80,000,000	2011/08/08
13663	RB of Scotland N.V.	AIA European Warrants Jun 2012	0.251	33.000	2012/06/29	200,000,000	2011/08/08
13662	CC Rabobank B.A.	CC Bank European Warrants Mar 2012	0.251	7.08 per unit	2012/03/23	100,000,000	2011/08/08
13657	Standard Chartered Bank	CTel European Warrants Feb 2012	0.320	5.60 per unit	2012/02/10	100,000,000	2011/08/08
13658	Standard Chartered Bank	CNOOC European Warrants Aug 2012	0.250	18.180	2012/08/08	120,000,000	2011/08/08
13651	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2012B	0.180	26.280	2012/01/16	300,000,000	2011/08/08
13652	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012	0.150	28.280	2012/03/26	300,000,000	2011/08/08
13653	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Apr 2012	0.150	30.000	2012/04/23	300,000,000	2011/08/08
13655	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2015	0.150	79.88 per 100 units	2015/11/30	500,000,000	2011/08/08
13654	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2012 B	0.220	82.880	2012/01/30	300,000,000	2011/08/08
13661	UBS AG	Tencent Holdings European Warrants Dec 2011 B	0.150	229.08 per 100 units	2011/12/28	200,000,000	2011/08/08
12990#	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2011	0.105	80.590	2011/11/01	120,000,000	2011/08/08
29380#	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Jan 2012	0.066	126.29 per 100 units	2012/01/16	200,000,000	2011/08/08
12670#	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2011 F	0.039	22,900 per 8,000 units	2011/09/29	270,000,000	2011/08/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29425*	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Oct 2011 B	0.094	219.99 per 100 units	2011/10/26	120,000,000	2011/08/08
13668	BOCI Asia Ltd.	Ch Overseas European Warrants Aug 2012	0.250	19.980	2012/08/06	100,000,000	2011/08/09
13669	BOCI Asia Ltd.	CPIC European Warrants Apr 2012	0.250	33.800	2012/04/02	100,000,000	2011/08/09
13686	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2012 A	0.250	3.75 per unit	2012/03/02	200,000,000	2011/08/09
13688	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Mar 2012 A	0.250	7.25 per unit	2012/03/02	200,000,000	2011/08/09
13687	BNP Paribas Arbit Issu B.V.	ICBC European Wts Mar 2012	0.440	6 per unit	2012/03/02	200,000,000	2011/08/09
13698	Credit Suisse AG	China Mobile European Warrants Jun 2015	0.150	70.90 per 100 units	2015/06/22	300,000,000	2011/08/09
13697	Credit Suisse AG	HSBC European Wts Nov 2011 A	0.150	84.900	2011/11/28	300,000,000	2011/08/09
13696	Credit Suisse AG	HSI European Warrants Nov 2011	0.150	22,500 per 9,800 units	2011/11/29	500,000,000	2011/08/09
13699	Credit Suisse AG	Ping An European Warrants Dec 2012	0.150	71.35 per 100 units	2012/12/14	300,000,000	2011/08/09
13683	HK Bank	AIA European Warrants May 2012	0.250	35.000	2012/05/10	100,000,000	2011/08/09
13680	HK Bank	Cheung Kong European Warrants May 2012	0.350	142.880	2012/05/30	80,000,000	2011/08/09
13682	HK Bank	Galaxy Ent European Warrants Apr 2012	0.250	26.880	2012/04/27	60,000,000	2011/08/09
13681	HK Bank	SHK Ppt European Warrants Apr 2012	0.270	142.880	2012/04/26	80,000,000	2011/08/09
13684	HK Bank	SJM Holdings European Warrants Jun 2012	0.250	25.680	2012/06/08	60,000,000	2011/08/09
13685	J P Morgan SP BV	AIA European Warrants Feb 2012	0.250	32.000	2012/02/15	200,000,000	2011/08/09
13671	Macquarie Bank Ltd.	Ch Merchants European Wts Feb 2012	0.251	32.500	2012/02/09	150,000,000	2011/08/09
13689	Macquarie Bank Ltd.	Gome European Warrants Feb 2012	0.250	4.28 per unit	2012/02/09	150,000,000	2011/08/09
13670	Macquarie Bank Ltd.	Hysan Dev European Warrants Feb 2012	0.250	40.080	2012/02/09	150,000,000	2011/08/09
13674	Macquarie Bank Ltd.	Sands China European Warrants Feb 2012	0.250	28.000	2012/02/09	150,000,000	2011/08/09
13664	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Feb 2012	0.490	133.330	2012/02/13	80,000,000	2011/08/09
13665	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Dec 2012 D	0.151	148.89 per 100 units	2012/12/24	80,000,000	2011/08/09
13666	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Feb 2012	0.790	91.990	2012/02/13	80,000,000	2011/08/09
13667	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Feb2012A	0.150	99.99 per 100 units	2012/02/20	80,000,000	2011/08/09
13679	Standard Chartered Bank	BOCL European Warrants Aug 2012	0.250	4.08 per unit	2012/08/08	100,000,000	2011/08/09
13676	Standard Chartered Bank	China Mobile Euro Wts Nov 2011 A	0.197	80.650	2011/11/09	100,000,000	2011/08/09
13677	Standard Chartered Bank	A50 China Euro Warrants Aug 2012	0.250	10.880	2012/08/08	100,000,000	2011/08/09
13678	Standard Chartered Bank	A50 China Euro Warrants Oct 2012	0.162	12.300	2012/10/08	100,000,000	2011/08/09
13691	Standard Chartered Bank	HSBC European Warrants Jan 2012	0.500	77.880	2012/01/12	100,000,000	2011/08/09
13690	Standard Chartered Bank	HSBC European Warrants Nov 2011 C	0.250	80.720	2011/11/28	100,000,000	2011/08/09
13675	Standard Chartered Bank	MTRC European Warrants Aug 2012	0.250	26.280	2012/08/01	100,000,000	2011/08/09
13695	UBS AG	Agricultural Bank European Warrants Jan 2012	0.150	4.69 per unit	2012/01/04	200,000,000	2011/08/09
13693	UBS AG	CNOOC European Warrants Apr 2012	0.150	19.500	2012/04/23	200,000,000	2011/08/09
13694	UBS AG	China Shenhua European Warrants Dec 2011	0.150	44.880	2011/12/23	100,000,000	2011/08/09
13692	UBS AG	Jiangxi Copper European Warrants Feb 2012	0.150	30.880	2012/02/21	100,000,000	2011/08/09
21782*	Credit Suisse AG	CC Bank European Warrants Jul 2012	0.051	6.380	2012/07/03	400,000,000	2011/08/09
13721	BNP Paribas Arbit Issu B.V.	HSBC European Wts Mar 2012	0.450	82.000	2012/03/02	200,000,000	2011/08/10
13725	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Feb 2012	0.250	21,800 per 8,000 units	2012/02/28	200,000,000	2011/08/10
13722	BNP Paribas Arbit Issu B.V.	Ping An European Wts Mar 2012	0.580	80.000	2012/03/02	100,000,000	2011/08/10
13723	BNP Paribas Arbit Issu B.V.	Sino-Ocean Land Eu Wt Mar 2012	0.440	4.50 per unit	2012/03/02	23,000,000	2011/08/10
13724	BNP Paribas Arbit Issu B.V.	United Lab Euro Wts Oct 2012	0.250	9.000	2012/10/03	78,000,000	2011/08/10
13728	Credit Suisse AG	HKEx European Warrants Dec 2011 B	0.150	154.90 per 100 units	2011/12/05	300,000,000	2011/08/10
13726	Credit Suisse AG	HSI European Warrants Dec 2011 B	0.150	22,800 per 8,000 units	2011/12/29	500,000,000	2011/08/10



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13727	Credit Suisse AG	HSI European Warrants Dec 2011 C	0.150	23,000 per 8,000 units	2011/12/29	500,000,000	2011/08/10
13720	KBC Fin Products Int'l Ltd.	CNBM European Wts Mar 2012	0.250	15.140	2012/03/15	88,000,000	2011/08/10
13719	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Jul 2012	0.150	11.680	2012/07/18	188,000,000	2011/08/10
13709	Macquarie Bank Ltd.	Chi Resources European Wts Mar 2012	0.320	38.880	2012/03/02	150,000,000	2011/08/10
13710	Macquarie Bank Ltd.	Ch Zhongwang European Wts Feb 2012	0.355	4 per unit	2012/02/10	150,000,000	2011/08/10
13708	Macquarie Bank Ltd.	Hutchison European Warrants Mar 2012 C	0.327	104.080	2012/03/02	200,000,000	2011/08/10
13700	Merrill Lynch Int'l & Co. C.V.	CK(H) European Wts Jun 2012	0.153	124.99 per 100 units	2012/06/04	80,000,000	2011/08/10
13703	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Feb 2012 A	0.153	28.290	2012/02/27	80,000,000	2011/08/10
13704	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Apr 2012	0.150	29.990	2012/04/23	80,000,000	2011/08/10
13701	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Feb 2012 A	0.265	83.990	2012/02/02	80,000,000	2011/08/10
13702	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jul 2013	0.151	82.29 per 100 units	2013/07/02	80,000,000	2011/08/10
13705	CC Rabobank B.A.	Dongfeng Motor European Warrants Apr 2012	0.150	18.000	2012/04/23	100,000,000	2011/08/10
13707	CC Rabobank B.A.	Weichai Power European Wts Mar 2012	0.350	48.000	2012/03/07	100,000,000	2011/08/10
13706	CC Rabobank B.A.	Xinyi Glass European Warrants Apr 2012 A	0.800	7.50 per unit	2012/04/18	100,000,000	2011/08/10
13729	RB of Scotland N.V.	Cheung Kong European Warrants Jun 2012	0.251	142.880	2012/06/06	250,000,000	2011/08/10
13730	RB of Scotland N.V.	HKEx European Warrants Apr 2012	0.151	161.10 per 100 units	2012/04/03	200,000,000	2011/08/10
13714	Standard Chartered Bank	AIA European Warrants Jan 2012	0.210	28.880	2012/01/09	100,000,000	2011/08/10
13715	Standard Chartered Bank	AIA European Warrants Mar 2012	0.150	32.400	2012/03/05	100,000,000	2011/08/10
13716	Standard Chartered Bank	AIA European Warrants Sep 2012	0.300	30.000	2012/09/28	150,000,000	2011/08/10
13717	Standard Chartered Bank	AIA European Warrants Nov 2012	0.250	35.480	2012/11/01	150,000,000	2011/08/10
13712	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2012 A	0.150	161 per 100 units	2012/04/02	500,000,000	2011/08/10
13711	SGA Societe Generale Acceptance N.V.	HS Bk Eur Wt Feb 2012	0.450	133.880	2012/02/13	30,000,000	2011/08/10
13718	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Dec 2011	0.240	6.18 per unit	2011/12/28	200,000,000	2011/08/10
13713	SGA Societe Generale Acceptance N.V.	Wharf Eu Wts Feb 2012	0.420	60.880	2012/02/13	50,000,000	2011/08/10
24879 <sup>9</sup>	Citigroup Global Mkt H Inc.	Ping An Euro Wts Mar 2012	0.031	78 per 100 units	2012/03/05	322,585,000	2011/08/10
28428 <sup>8</sup>	Citigroup Global Mkt H Inc.	Tencent European Warrants Dec 11	0.093	228.88 per 100 units	2011/12/30	107,530,000	2011/08/10
13755	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2012	0.250	17.280	2012/12/04	100,000,000	2011/08/11
13739	BNP Paribas Arbit Issu B.V.	Dongyue Gp Euro Wts Jul 2012	0.250	9.600	2012/07/04	100,000,000	2011/08/11
10128	BNP Paribas Arbit Issu B.V.	EUR/USD European Wts Feb 2012	0.250	1.53 per 1 units	2012/02/13	100,000,000	2011/08/11
10127	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wts Feb 2012	0.250	1.29 per 1 units	2012/02/13	100,000,000	2011/08/11
13756	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Jul 2012	0.250	11,600 per 6,000 units	2012/07/30	100,000,000	2011/08/11
13740	BNP Paribas Arbit Issu B.V.	PWR Assets Euro Wts Jun 2012	0.390	70.000	2012/06/04	50,000,000	2011/08/11
10126	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Warrants Feb 2012	0.250	82 per 1 units	2012/02/13	100,000,000	2011/08/11
10125	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Put Wts Feb 2012	0.250	77 per 1 units	2012/02/13	100,000,000	2011/08/11
13741	Citigroup Global Mkt H Inc.	AIA European Warrants Mar 2012	0.150	32.388	2012/03/05	80,000,000	2011/08/11
13745	Citigroup Global Mkt H Inc.	Ping An Euro Wts Feb 2012	0.250	83.880	2012/02/13	80,000,000	2011/08/11
13744	Citigroup Global Mkt H Inc.	Renhe Comm Euro Wts Apr 2012	0.250	2.08 per unit	2012/04/17	80,000,000	2011/08/11
13743	Citigroup Global Mkt H Inc.	Sands China Euro Wts Feb 2012	0.250	28.380	2012/02/13	80,000,000	2011/08/11
13742	Citigroup Global Mkt H Inc.	Tencent European Wts Feb 2012	0.190	219.08 per 100 units	2012/02/27	80,000,000	2011/08/11
13737	HK Bank	Ch Ship Cont European Warrants May 2012	0.280	2.38 per unit	2012/05/22	60,000,000	2011/08/11
13738	HK Bank	Esprit European Warrants Jul 2012	0.250	23.880	2012/07/09	100,000,000	2011/08/11
13747	J P Morgan SP BV	CHALCO European Warrants Feb 2012	0.440	7.28 per unit	2012/02/16	70,000,000	2011/08/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13749	J P Morgan SP BV	China Shenhua European Warrants Feb 2012	0.265	42.880	2012/02/16	150,000,000	2011/08/11
13746	J P Morgan SP BV	GCL-Poly European Warrants Feb 2012	0.450	5.88 per unit	2012/02/16	150,000,000	2011/08/11
13751	J P Morgan SP BV	Gome European Warrants Feb 2012	0.320	4.08 per unit	2012/02/16	100,000,000	2011/08/11
13750	J P Morgan SP BV	JX Copper European Warrants Nov 2011	0.150	28.880	2011/11/23	150,000,000	2011/08/11
13748	J P Morgan SP BV	Renhe Comm European Warrants Apr 2012	0.250	2 per unit	2012/04/27	80,000,000	2011/08/11
13735	Macquarie Bank Ltd.	Huaneng Power European Wts Apr 2012	0.250	4.38 per unit	2012/04/03	150,000,000	2011/08/11
13736	Macquarie Bank Ltd.	PICC P&C European Warrants Aug 2012 A	0.254	13.500	2012/08/02	200,000,000	2011/08/11
13731	CC Rabobank B.A.	Cheung Kong European Warrants Jan 2012	0.500	132.000	2012/01/30	100,000,000	2011/08/11
13732	CC Rabobank B.A.	Minsheng Bank European Wts Dec 2011	0.200	7.88 per unit	2011/12/20	100,000,000	2011/08/11
13734	CC Rabobank B.A.	Geely Auto European Warrants Jan 2012	0.310	3.38 per unit	2012/01/30	100,000,000	2011/08/11
13733	CC Rabobank B.A.	Minmetals Res European Warrants Mar 2012	0.800	5.88 per unit	2012/03/19	100,000,000	2011/08/11
13752	RB of Scotland N.V.	CNOOC European Warrants Jan 2012	0.151	16.900	2012/01/16	250,000,000	2011/08/11
10124	RB of Scotland N.V.	Gold European Put Warrants Jun 2012	0.251	1,438 per 5,000 units	2012/06/25	100,000,000	2011/08/11
13753	RB of Scotland N.V.	Ping An European Warrants Dec 2011	0.151	86.000	2011/12/19	100,000,000	2011/08/11
13754	RB of Scotland N.V.	Ping An European Warrants Dec 2013	0.151	78.83 per 100 units	2013/12/09	100,000,000	2011/08/11
13814	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2012 B	0.350	3.33 per unit	2012/03/02	200,000,000	2011/08/12
13813	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2012 A	0.310	25.000	2012/06/04	200,000,000	2011/08/12
13811	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Mar 2012	0.730	7.30 per unit	2012/03/02	100,000,000	2011/08/12
13810	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2012 A	0.510	75.000	2012/04/03	200,000,000	2011/08/12
13815	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2012	0.200	21,100 per 8,000 units	2012/02/28	200,000,000	2011/08/12
13816	BNP Paribas Arbit Issu B.V.	HSI European Wts Mar 2012	0.260	20,600 per 8,000 units	2012/03/29	200,000,000	2011/08/12
13812	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wts Mar12A	0.270	198 per 100 units	2012/03/02	100,000,000	2011/08/12
13802	Credit Suisse AG	AIA European Warrants Jan 2012	0.150	27.500	2012/01/09	300,000,000	2011/08/12
13801	Credit Suisse AG	CNOOC European Warrants Jan 2012	0.150	16.900	2012/01/09	300,000,000	2011/08/12
13803	Credit Suisse AG	A50 China European Warrants Feb 2012	0.150	11.900	2012/02/13	300,000,000	2011/08/12
13790	Credit Suisse AG	HSI European Warrants Jan 2012	0.150	20,700 per 8,800 units	2012/01/30	500,000,000	2011/08/12
13799	Credit Suisse AG	HSI European Put Warrants Jan 2012	0.150	20,200 per 7,000 units	2012/01/30	500,000,000	2011/08/12
13798	Credit Suisse AG	HSI European Warrants Dec 2011 D	0.150	20,100 per 13,000 units	2011/12/29	500,000,000	2011/08/12
13800	Credit Suisse AG	Hutchison European Warrants Dec 2011 A	0.500	84.900	2011/12/19	300,000,000	2011/08/12
13762	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Dec 2012 A	0.150	118.08 per 100 units	2012/12/10	80,000,000	2011/08/12
13764	Citigroup Global Mkt H Inc.	China Life Euro Wts Feb 2012 A	0.150	26.288	2012/02/02	80,000,000	2011/08/12
13763	Citigroup Global Mkt H Inc.	CPIC European Wts Feb 2012	0.250	31.880	2012/02/13	80,000,000	2011/08/12
13765	Citigroup Global Mkt H Inc.	HSI European Wts Dec 2011 A	0.150	20,088 per 13,000 units	2011/12/29	80,000,000	2011/08/12
13767	J P Morgan SP BV	Belle Int'l European Warrants Mar 2012	0.150	18.800	2012/03/27	100,000,000	2011/08/12
13769	J P Morgan SP BV	Esprit European Wts Jun 2012	0.250	23.880	2012/06/08	80,000,000	2011/08/12
13770	J P Morgan SP BV	Evergrande European Warrants Feb 2012	0.450	6.88 per unit	2012/02/16	100,000,000	2011/08/12
13766	J P Morgan SP BV	R&F Ppt European Warrants Nov 2012	0.150	11.880	2012/11/09	100,000,000	2011/08/12
13768	J P Morgan SP BV	Lenovo European Warrants Feb 2012	0.250	6.06 per unit	2012/02/16	80,000,000	2011/08/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13825	KBC Fin Products Int'l Ltd.	BOCL European Wts Feb 2012	0.290	3.38 per unit	2012/02/13	108,000,000	2011/08/12
13826	KBC Fin Products Int'l Ltd.	BOCL Euro Put Wts Mar 2012	0.250	3.08 per unit	2012/03/01	80,000,000	2011/08/12
13821	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Feb 2012	0.250	64.000	2012/02/13	108,000,000	2011/08/12
13817	KBC Fin Products Int'l Ltd.	CK(H) Euro Put Wts Jun 2012	0.190	120 per 100 units	2012/06/04	80,000,000	2011/08/12
13823	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Apr 2012	0.250	22.880	2012/04/10	108,000,000	2011/08/12
13824	KBC Fin Products Int'l Ltd.	A50 Ch Euro Put Wts Feb 2012	0.150	11.980	2012/02/01	80,000,000	2011/08/12
13820	KBC Fin Products Int'l Ltd.	HKEEx Euro Warrants Jul 2012	0.150	153.88 per 100 units	2012/07/23	188,000,000	2011/08/12
13818	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Feb 2012	0.330	66.000	2012/02/13	108,000,000	2011/08/12
13807	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Feb 2012 A	0.250	10,800 per 5,000 units	2012/02/28	100,000,000	2011/08/12
13808	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Feb 2012	0.250	19,000 per 7,000 units	2012/02/28	200,000,000	2011/08/12
13806	KBC Fin Products Int'l Ltd.	HSI European Wts Dec 2011 F	0.180	20,000 per 13,000 units	2011/12/29	200,000,000	2011/08/12
13819	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Feb 2012	0.340	72.000	2012/02/13	80,000,000	2011/08/12
13822	KBC Fin Products Int'l Ltd.	Ping An Euro Put Wts Feb 2012	0.550	68.000	2012/02/13	68,000,000	2011/08/12
13804	Macquarie Bank Ltd.	BOCL European Warrants Apr 2012	0.250	3.80 per unit	2012/04/03	150,000,000	2011/08/12
13793	Macquarie Bank Ltd.	CITIC Pacific European Wts Jul 2012	0.252	16.880	2012/07/05	200,000,000	2011/08/12
13796	Macquarie Bank Ltd.	China Life European Wts Aug 2012	0.250	29.080	2012/08/02	150,000,000	2011/08/12
13797	Macquarie Bank Ltd.	COSCO Pacific European Wts Aug 2012 A	0.250	12.180	2012/08/02	150,000,000	2011/08/12
13791	Macquarie Bank Ltd.	Cathay Pacific European Wts Jan 2012	0.152	18.000	2012/01/18	200,000,000	2011/08/12
13794	Macquarie Bank Ltd.	Ch Ship Cont European Wts Jul 2012	0.251	2.88 per unit	2012/07/05	200,000,000	2011/08/12
13795	Macquarie Bank Ltd.	HKEEx European Warrants Jul 2012	0.250	148.88 per 100 units	2012/07/05	200,000,000	2011/08/12
13805	Macquarie Bank Ltd.	HSCEI European Warrants Apr 2012	0.250	12,000 per 6,000 units	2012/04/27	150,000,000	2011/08/12
13792	Macquarie Bank Ltd.	Nine Dragons European Wts Aug 2013	0.250	6.500	2013/08/02	200,000,000	2011/08/12
13771	Macquarie Bank Ltd.	SHK Ppt European Warrants Apr 2013	0.155	118 per 100 units	2013/04/12	500,000,000	2011/08/12
13783	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Put Wts Dec 2011	0.405	102.390	2011/12/19	80,000,000	2011/08/12
13787	Merrill Lynch Int'l & Co. C.V.	HKEEx Euro Wts Feb 2012	0.152	146.51 per 100 units	2012/02/24	80,000,000	2011/08/12
13784	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Nov 2011 D	0.295	88.810	2011/11/16	80,000,000	2011/08/12
13785	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Nov 2011 E	0.177	94.990	2011/11/21	80,000,000	2011/08/12
13786	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2011 A	0.530	84.890	2011/12/19	80,000,000	2011/08/12
13789	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Dec 2011 A	0.365	119.890	2011/12/19	80,000,000	2011/08/12
13773	RB of Scotland N.V.	HSI European Warrants Feb 2012	0.151	22,100 per 7,000 units	2012/02/28	250,000,000	2011/08/12
13775	RB of Scotland N.V.	HSI European Put Warrants Mar 2012	0.251	21,500 per 8,000 units	2012/03/29	250,000,000	2011/08/12
13772	RB of Scotland N.V.	HSI European Warrants Dec 2011 C	0.151	21,800 per 8,000 units	2011/12/29	250,000,000	2011/08/12
13788	RB of Scotland N.V.	HSI European Put Warrants Dec 2011 D	0.151	21,000 per 8,000 units	2011/12/29	250,000,000	2011/08/12
13774	RB of Scotland N.V.	HSI European Put Warrants Jan 2012 B	0.151	20,500 per 8,000 units	2012/01/30	250,000,000	2011/08/12
13776	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jan 2012 A	0.430	5.99 per unit	2012/01/09	150,000,000	2011/08/12
13781	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Feb 2012	0.180	21,000 per 8,000 units	2012/02/28	300,000,000	2011/08/12
13779	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2012 B	0.170	20,600 per 8,800 units	2012/01/30	300,000,000	2011/08/12
13780	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2012 C	0.160	21,200 per 8,000 units	2012/01/30	300,000,000	2011/08/12
13782	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jan 12D	0.170	20,400 per 8,000 units	2012/01/30	300,000,000	2011/08/12
13777	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jan 2012 A	0.440	90.000	2012/01/18	150,000,000	2011/08/12
13809	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012 A	0.150	78.88 per 100 units	2012/03/21	300,000,000	2011/08/12
13778	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jan 2012 A	0.300	5.88 per unit	2012/01/18	150,000,000	2011/08/12
13760	UBS AG	China Life European Warrants Jan 2012	0.150	27.300	2012/01/18	300,000,000	2011/08/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13758	UBS AG	CNOOC European Warrants Dec 2011 B	0.150	16.900	2011/12/30	200,000,000	2011/08/12
13759	UBS AG	CNOOC European Warrants Mar 2012	0.250	15.000	2012/03/12	200,000,000	2011/08/12
13757	UBS AG	HKEx European Warrants Mar 2012 A	0.250	138.88 per 100 units	2012/03/19	300,000,000	2011/08/12
13761	UBS AG	HSI European Warrants Dec 2011 C	0.190	20,000 per 13,000 units	2011/12/29	300,000,000	2011/08/12
12817 <sup>#</sup>	HK Bank	HSI European Warrants Dec 2011 H	0.116	20,000 per 13,000 units	2011/12/29	250,000,000	2011/08/12
21304 <sup>#</sup>	UBS AG	CC Bank European Warrants Jun 2012	0.043	6.380	2012/06/28	700,000,000	2011/08/12
13887	Credit Suisse AG	BOCL European Warrants Jan 2012	0.150	3.59 per unit	2012/01/30	200,000,000	2011/08/15
13882	Credit Suisse AG	Cheung Kong European Warrants Dec 2012	0.150	118 per 100 units	2012/12/10	200,000,000	2011/08/15
13883	Credit Suisse AG	HSBC European Wts Jan 2012	0.300	75.050	2012/01/03	300,000,000	2011/08/15
13889	Credit Suisse AG	HSBC European Wts Mar 2016	0.150	78.05 per 100 units	2016/03/30	300,000,000	2011/08/15
13888	Credit Suisse AG	Hutchison European Warrants Jan 2012	0.150	72.90 per 100 units	2012/01/30	300,000,000	2011/08/15
13886	Credit Suisse AG	Ping An European Warrants Dec 2012 A	0.150	71.35 per 100 units	2012/12/21	300,000,000	2011/08/15
13884	Credit Suisse AG	SHK Ppt European Warrants Sep 2012	0.150	110 per 100 units	2012/09/10	200,000,000	2011/08/15
13885	Credit Suisse AG	Tencent Holdings European Wts Jan 2012	0.150	208.90 per 100 units	2012/01/16	300,000,000	2011/08/15
13836	Citigroup Global Mkt H Inc.	Alibaba Euro Warrants Jun 2012 A	0.250	9.880	2012/06/29	80,000,000	2011/08/15
13829	Citigroup Global Mkt H Inc.	CHALCO European Wts Jun 2013	0.150	6.508	2013/06/17	80,000,000	2011/08/15
13833	Citigroup Global Mkt H Inc.	BBMG European Wts Apr 2012	0.250	10.180	2012/04/17	80,000,000	2011/08/15
13832	Citigroup Global Mkt H Inc.	CNOOC European Wts Apr 2012	0.150	16.288	2012/04/17	80,000,000	2011/08/15
13830	Citigroup Global Mkt H Inc.	Dongfeng European Wts Apr 2012	0.250	13.380	2012/04/17	80,000,000	2011/08/15
13831	Citigroup Global Mkt H Inc.	A50 China Euro Wts Apr 2012	0.150	11.618	2012/04/20	80,000,000	2011/08/15
13837	Citigroup Global Mkt H Inc.	HKEx European Wts Jul 2012	0.150	153.80 per 100 units	2012/07/23	80,000,000	2011/08/15
13835	Citigroup Global Mkt H Inc.	HSBC European Wts May 2012	0.150	60.038 per 100 units	2012/05/25	80,000,000	2011/08/15
13828	Citigroup Global Mkt H Inc.	HSCEI European Wts Feb 2012	0.250	11,200 per 5,000 units	2012/02/28	80,000,000	2011/08/15
13838	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Feb 2012	0.150	72.88 per 100 units	2012/02/06	80,000,000	2011/08/15
13834	Citigroup Global Mkt H Inc.	SHK Ppt European Wts Sep 2012	0.150	110.08 per 100 units	2012/09/05	80,000,000	2011/08/15
13863	HK Bank	HSCEI European Warrants Sep 2012	0.250	11,800 per 6,500 units	2012/09/27	150,000,000	2011/08/15
13869	HK Bank	HSI European Warrants Feb 2012	0.250	21,300 per 8,500 units	2012/02/28	300,000,000	2011/08/15
13867	HK Bank	HSI European Warrants Mar 2012	0.250	20,800 per 9,000 units	2012/03/29	300,000,000	2011/08/15
13868	HK Bank	HSI European Warrants Mar 2012 A	0.250	20,300 per 10,000 units	2012/03/29	300,000,000	2011/08/15
13858	J P Morgan SP BV	China Life European Warrants Dec 2011 B	0.150	24.880	2011/12/23	200,000,000	2011/08/15
13859	J P Morgan SP BV	China Life European Warrants Dec 2011 C	0.183	22.880	2011/12/08	200,000,000	2011/08/15
13848	J P Morgan SP BV	HKEx European Warrants Dec 2012	0.150	160 per 100 units	2012/12/27	200,000,000	2011/08/15
13847	J P Morgan SP BV	HKEx European Put Wts Jun 2012	0.250	160 per 100 units	2012/06/18	200,000,000	2011/08/15
13845	J P Morgan SP BV	Yanzhou Coal European Warrants Feb 2012	0.260	30.000	2012/02/16	100,000,000	2011/08/15
13846	J P Morgan SP BV	Yanzhou Coal European Warrants Jun 2012	0.250	35.000	2012/06/25	100,000,000	2011/08/15
13877	KBC Fin Products Int'l Ltd.	AIA Euro Put Warrants Jul 2012	0.250	22.380	2012/07/10	108,000,000	2011/08/15
13876	KBC Fin Products Int'l Ltd.	Ch Mobile Eu Put Wt Jan 2012	0.150	60.000	2012/01/04	128,000,000	2011/08/15
13875	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Jun 2012	0.250	14.880	2012/06/01	80,000,000	2011/08/15
13872	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Put Wt Mar 2012	0.500	6.50 per unit	2012/03/01	68,000,000	2011/08/15
13873	KBC Fin Products Int'l Ltd.	HKEx European Put Wts Jul 2012	0.250	140 per 100 units	2012/07/03	108,000,000	2011/08/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13870	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2012 A	0.250	18,400 per 7,000 units	2012/03/29	200,000,000	2011/08/15
13874	KBC Fin Products Int'l Ltd.	PetroChina Eur Put Wt Aug 2012	0.250	10.980	2012/08/10	80,000,000	2011/08/15
13871	KBC Fin Products Int'l Ltd.	SHK Ppt Euro Put Wts Aug 2012	0.250	114.68 per 100 units	2012/08/10	80,000,000	2011/08/15
13827	Macquarie Bank Ltd.	BankComm European Warrants Mar 2012	0.250	7.68 per unit	2012/03/02	200,000,000	2011/08/15
13843	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2012	0.208	77.890	2012/01/16	80,000,000	2011/08/15
13844	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2012 A	0.275	74.990	2012/01/10	80,000,000	2011/08/15
13840	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Feb 2012	0.600	10.49 per unit	2012/02/27	80,000,000	2011/08/15
13839	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Mar 2012	0.370	11.39 per unit	2012/03/05	80,000,000	2011/08/15
13842	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Feb 2012 A	0.260	193.99 per 100 units	2012/02/27	80,000,000	2011/08/15
13841	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Mar 2012 A	0.250	205.99 per 100 units	2012/03/19	80,000,000	2011/08/15
13865	RB of Scotland N.V.	HSI European Put Warrants Apr 2012	0.251	19,000 per 8,000 units	2012/04/27	250,000,000	2011/08/15
13864	RB of Scotland N.V.	HSI European Warrants Jan 2012 C	0.151	21,200 per 8,000 units	2012/01/30	250,000,000	2011/08/15
13849	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Mar 2012	0.400	3.28 per unit	2012/03/26	200,000,000	2011/08/15
13851	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2011C	0.210	22.880	2011/12/19	300,000,000	2011/08/15
13850	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Feb 2012	0.380	20.880	2012/02/15	300,000,000	2011/08/15
13852	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Feb 2012A	0.250	23.800	2012/02/15	300,000,000	2011/08/15
13853	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 2012C	0.610	72.580	2012/02/15	200,000,000	2011/08/15
13866	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt May 2012	0.250	14.780	2012/05/07	300,000,000	2011/08/15
13857	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Feb 2012	0.480	7.28 per unit	2012/02/15	100,000,000	2011/08/15
13856	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Feb 2012	0.380	72.500	2012/02/15	300,000,000	2011/08/15
13855	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 2012	0.260	20,000 per 8,000 units	2012/02/28	300,000,000	2011/08/15
13854	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Feb 2012 A	0.250	20,000 per 8,000 units	2012/02/28	300,000,000	2011/08/15
13862	UBS AG	China Life European Warrants Nov 2011 B	0.150	24.330	2011/11/28	300,000,000	2011/08/15
13861	UBS AG	HSI European Warrants Jan 2012	0.150	20,600 per 8,800 units	2012/01/30	300,000,000	2011/08/15
13860	UBS AG	HSI European Warrants Feb 2012	0.250	19,000 per 8,000 units	2012/02/28	300,000,000	2011/08/15
13922	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Mar 2012 B	0.490	6.20 per unit	2012/03/02	200,000,000	2011/08/16
13923	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2012 B	0.710	70.000	2012/04/03	200,000,000	2011/08/16
13927	BNP Paribas Arbit Issu B.V.	HSI European Wts Apr 2012	0.250	19,600 per 8,000 units	2012/04/27	200,000,000	2011/08/16
13926	BNP Paribas Arbit Issu B.V.	HSI European Wts Aug 2012	0.250	20,000 per 8,000 units	2012/08/30	200,000,000	2011/08/16
13929	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Mar 2012	0.440	20,800 per 8,000 units	2012/03/29	200,000,000	2011/08/16
13928	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Apr 2012	0.380	19,800 per 8,000 units	2012/04/27	200,000,000	2011/08/16
13946	Credit Suisse AG	A50 China European Warrants Aug 2012	0.150	10.900	2012/08/06	300,000,000	2011/08/16
13945	Credit Suisse AG	HSI European Put Warrants Feb 2012 D	0.250	18,500 per 8,500 units	2012/02/28	500,000,000	2011/08/16
13941	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2012	0.250	78.880	2012/02/27	80,000,000	2011/08/16
13942	Citigroup Global Mkt H Inc.	CC Bank European Wt Feb 2012 A	0.250	5.88 per unit	2012/02/27	80,000,000	2011/08/16
13944	Citigroup Global Mkt H Inc.	HSBC European Wts Feb 2012	0.250	75.880	2012/02/27	80,000,000	2011/08/16
13940	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Feb 2012 A	0.250	88.880	2012/02/27	80,000,000	2011/08/16
13943	Citigroup Global Mkt H Inc.	ICBC European Wts Feb 2012	0.250	5.58 per unit	2012/02/27	80,000,000	2011/08/16
13903	HK Bank	HSI European Warrants Mar 2012 B	0.250	19,300 per 9,000 units	2012/03/29	300,000,000	2011/08/16
13925	HK Bank	HSI European Put Warrants Mar 2012 C	0.250	18,300 per 9,000 units	2012/03/29	150,000,000	2011/08/16
13913	J P Morgan SP BV	Agricultural Bk Euro Warrants Feb 2012	0.329	4 per unit	2012/02/16	150,000,000	2011/08/16
13912	J P Morgan SP BV	AIA European Warrants Feb 2012 A	0.250	26.880	2012/02/16	200,000,000	2011/08/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13911	J P Morgan SP BV	China Mob European Wts Feb 2012	0.314	76.880	2012/02/16	200,000,000	2011/08/16
13916	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Feb 2012	0.390	6.08 per unit	2012/02/16	200,000,000	2011/08/16
13909	J P Morgan SP BV	CPIC European Warrants Feb 2012	0.250	30.000	2012/02/16	100,000,000	2011/08/16
13914	J P Morgan SP BV	HKEx European Warrants Oct 2012	0.160	150 per 100 units	2012/10/25	200,000,000	2011/08/16
13906	J P Morgan SP BV	HSBC European Warrants Feb 2012	0.280	76.000	2012/02/16	200,000,000	2011/08/16
13905	J P Morgan SP BV	HSBC European Warrants Apr 2012	0.250	80.000	2012/04/03	200,000,000	2011/08/16
13904	J P Morgan SP BV	HSBC European Put Warrants Feb 2012	0.320	66.660	2012/02/16	200,000,000	2011/08/16
13910	J P Morgan SP BV	Hutchison European Warrants Feb 2012 A	0.293	90.000	2012/02/16	150,000,000	2011/08/16
13907	J P Morgan SP BV	ICBC European Warrants Feb 2012	0.327	5.68 per unit	2012/02/16	150,000,000	2011/08/16
13921	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Aug 2012	0.150	10.880	2012/08/01	188,000,000	2011/08/16
13955	KBC Fin Products Int'l Ltd.	HKEx Euro Warrants Aug 2012	0.250	138 per 100 units	2012/08/08	158,000,000	2011/08/16
13920	KBC Fin Products Int'l Ltd.	HSBC European Wts Jan 2012 A	0.350	75.000	2012/01/16	108,000,000	2011/08/16
13919	KBC Fin Products Int'l Ltd.	HSBC European Wts Feb 2012 A	0.500	69.980	2012/02/16	98,000,000	2011/08/16
13952	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Mar 2012 A	0.250	10,600 per 7,000 units	2012/03/29	100,000,000	2011/08/16
13953	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Mar 2012 B	0.250	11,600 per 5,600 units	2012/03/29	100,000,000	2011/08/16
13954	KBC Fin Products Int'l Ltd.	HSI European Wts Feb 2012	0.250	19,600 per 9,600 units	2012/02/28	200,000,000	2011/08/16
13924	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Mar 2012 B	0.250	17,600 per 8,000 units	2012/03/29	200,000,000	2011/08/16
13902	Macquarie Bank Ltd.	CM Bank European Warrants Jun 2012	0.250	18.800	2012/06/04	200,000,000	2011/08/16
13901	Macquarie Bank Ltd.	HKEx European Warrants Aug 2012	0.250	152 per 100 units	2012/08/02	200,000,000	2011/08/16
13898	Macquarie Bank Ltd.	HSI European Warrants Feb 2012	0.250	21,000 per 8,000 units	2012/02/28	200,000,000	2011/08/16
13899	Macquarie Bank Ltd.	Tencent European Warrants Mar 2012	0.308	200 per 100 units	2012/03/02	250,000,000	2011/08/16
13900	Macquarie Bank Ltd.	Tencent European Warrants Mar 2012 A	0.250	220 per 100 units	2012/03/02	250,000,000	2011/08/16
13892	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Feb 2012 B	0.230	3.49 per unit	2012/02/27	80,000,000	2011/08/16
13893	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Feb 2012 B	0.250	24.590	2012/02/27	80,000,000	2011/08/16
13894	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2011 A	0.214	77.990	2011/11/22	80,000,000	2011/08/16
13896	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Jun 2012	0.250	14.590	2012/06/25	80,000,000	2011/08/16
13895	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Sep 2012	0.250	15.590	2012/09/24	80,000,000	2011/08/16
13897	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Feb 2012 A	0.430	7.49 per unit	2012/02/27	80,000,000	2011/08/16
13890	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012 G	0.175	20,600 per 8,800 units	2012/01/30	200,000,000	2011/08/16
13891	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2012 H	0.163	21,200 per 8,000 units	2012/01/30	200,000,000	2011/08/16
13935	RB of Scotland N.V.	BOCL European Warrants Apr 2012	0.251	3.48 per unit	2012/04/16	100,000,000	2011/08/16
13934	CC Rabobank B.A.	CC Bank European Warrants Apr 2012	0.251	6 per unit	2012/04/16	100,000,000	2011/08/16
13933	RB of Scotland N.V.	China Life European Wts Apr 2012	0.251	23.880	2012/04/03	200,000,000	2011/08/16
13932	RB of Scotland N.V.	China Life European Wts Jun 2012	0.251	25.880	2012/06/12	200,000,000	2011/08/16
13937	RB of Scotland N.V.	HKEx European Warrants Oct 2012	0.251	153.88 per 100 units	2012/10/10	200,000,000	2011/08/16
13939	RB of Scotland N.V.	HSBC European Warrants Apr 2012	0.251	74.380	2012/04/03	100,000,000	2011/08/16
13938	RB of Scotland N.V.	HWL European Warrants Mar 2012 B	0.251	84.880	2012/03/12	100,000,000	2011/08/16
13936	RB of Scotland N.V.	ICBC European Warrants Apr 2012	0.251	5.58 per unit	2012/04/16	100,000,000	2011/08/16
13917	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Feb 2012 B	0.290	18,800 per 8,000 units	2012/02/28	300,000,000	2011/08/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13918	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Feb 2012 C	0.250	19,400 per 8,000 units	2012/02/28	300,000,000	2011/08/16
13947	UBS AG	BOCL European Warrants Jan 2012 B	0.210	3.59 per unit	2012/01/30	200,000,000	2011/08/16
13949	UBS AG	CC Bank European Warrants Feb 2012	0.670	5.50 per unit	2012/02/20	200,000,000	2011/08/16
13930	UBS AG	Cheung Kong European Warrants Dec 2012 A	0.150	118 per 100 units	2012/12/10	300,000,000	2011/08/16
13950	UBS AG	A50 China European Warrants Aug 2012	0.196	10.880	2012/08/01	200,000,000	2011/08/16
13951	UBS AG	HSBC European Warrants Oct 2015	0.250	63.88 per 100 units	2015/10/12	500,000,000	2011/08/16
13931	UBS AG	Hutchison European Warrants Jan 2012	0.150	72.90 per 100 units	2012/01/20	500,000,000	2011/08/16
13948	UBS AG	ICBC European Warrants Feb 2012	0.530	5.38 per unit	2012/02/20	200,000,000	2011/08/16
13994	Citigroup Global Mkt H Inc.	BankComm Euro Wts Feb 2012 B	0.250	6.38 per unit	2012/02/20	80,000,000	2011/08/17
13991	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts Feb 2012	0.250	7.38 per unit	2012/02/20	80,000,000	2011/08/17
13993	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt Feb 2012 A	0.250	38.880	2012/02/20	80,000,000	2011/08/17
13995	Citigroup Global Mkt H Inc.	HSCEI Euro Put Wts Feb 2012 B	0.250	10,200 per 5,000 units	2012/02/28	80,000,000	2011/08/17
13996	Citigroup Global Mkt H Inc.	HSI European Put Wts Feb 2012	0.250	18,800 per 8,000 units	2012/02/28	100,000,000	2011/08/17
13990	Citigroup Global Mkt H Inc.	Jiangxi Copper Eur Wt Feb 2012	0.250	25.880	2012/02/20	80,000,000	2011/08/17
13992	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Apr 2012	0.160	8.498	2012/04/26	80,000,000	2011/08/17
13989	Bank of East Asia	China Life European Warrants Apr 2012	0.250	24.000	2012/04/23	65,000,000	2011/08/17
13987	Bank of East Asia	Li & Fung European Warrants Sep 2012	0.250	13.494	2012/09/24	115,000,000	2011/08/17
13988	Bank of East Asia	Yanzhou Coal European Warrants Apr 2012	0.260	27.771	2012/04/23	55,000,000	2011/08/17
13985	J P Morgan SP BV	Agricultural Bk Euro Warrants Jul 2015	0.150	2.990	2015/07/08	500,000,000	2011/08/17
13984	J P Morgan SP BV	China Mob European Wts Jun 2015	0.150	70.88 per 100 units	2015/06/22	500,000,000	2011/08/17
13982	J P Morgan SP BV	Hutchison European Warrants Dec 2013	0.150	90 per 100 units	2013/12/30	500,000,000	2011/08/17
13983	J P Morgan SP BV	Hutchison European Warrants Dec 2013 A	0.150	87.38 per 100 units	2013/12/16	500,000,000	2011/08/17
13981	J P Morgan SP BV	Ping An European Warrants Nov 2013	0.150	78.80 per 100 units	2013/11/28	300,000,000	2011/08/17
13980	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Feb 2012 A	0.150	72.88 per 100 units	2012/02/08	198,000,000	2011/08/17
13979	Macquarie Bank Ltd.	CC Bank European Warrants Mar 2012	0.424	6 per unit	2012/03/05	220,000,000	2011/08/17
13958	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Wts Feb 2012 A	0.510	3.70 per unit	2012/02/20	80,000,000	2011/08/17
13961	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Feb 2012 C	0.330	3.12 per unit	2012/02/22	80,000,000	2011/08/17
13962	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Feb 2012 D	0.260	3.31 per unit	2012/02/20	80,000,000	2011/08/17
13957	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2015	0.150	70.89 per 100 units	2015/06/25	80,000,000	2011/08/17
13956	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2011 E	0.440	69.890	2011/12/06	80,000,000	2011/08/17
13963	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Dec 11F	0.500	67.990	2011/12/07	80,000,000	2011/08/17
13975	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2012	0.250	19,700 per 9,800 units	2012/03/29	200,000,000	2011/08/17
13976	Merrill Lynch Int'l & Co. C.V.	HSI European Wts May 2012	0.250	20,000 per 10,000 units	2012/05/30	200,000,000	2011/08/17
13977	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012 A	0.250	18,700 per 9,800 units	2012/03/29	200,000,000	2011/08/17
13978	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012 B	0.255	19,000 per 10,000 units	2012/03/29	200,000,000	2011/08/17
13959	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Feb 2012 A	0.560	5 per unit	2012/02/22	80,000,000	2011/08/17
13960	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Feb 2012 B	0.435	5.30 per unit	2012/02/20	80,000,000	2011/08/17
13997	RB of Scotland N.V.	AIA European Warrants Jun 2012 A	0.251	28.000	2012/06/29	200,000,000	2011/08/17
13998	RB of Scotland N.V.	CNOOC European Warrants Nov 2012	0.251	15.880	2012/11/16	250,000,000	2011/08/17
10129	RB of Scotland N.V.	Gold European Warrants Jun 2012 A	0.251	1,888 per 5,000 units	2012/06/25	100,000,000	2011/08/17
13964	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jan 2012 A	0.350	3.88 per unit	2012/01/18	200,000,000	2011/08/17

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
13965	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Feb 2012 A	0.390	2.98 per unit	2012/02/20	200,000,000	2011/08/17
13986	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Feb 2012A	0.270	13.280	2012/02/20	400,000,000	2011/08/17
13967	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Sep 2012	0.150	109.99 per 100 units	2012/09/05	300,000,000	2011/08/17
13968	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb2012	0.310	178 per 100 units	2012/02/20	200,000,000	2011/08/17
13969	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Mar2012	0.250	198.88 per 100 units	2012/03/28	200,000,000	2011/08/17
12797 #	Standard Chartered Bank	China Life European Wts Jan 2012	0.109	24.880	2012/01/04	150,000,000	2011/08/17
11298 #	Standard Chartered Bank	HSBC European Warrants Jun 2012	0.110	60 per 100 units	2012/06/01	150,000,000	2011/08/17
15550 #	UBS AG	HSBC European Warrants Dec 2011	0.021	83.88 per 100 units	2011/12/19	500,000,000	2011/08/17
12772 #	UBS AG	HSI European Warrants Dec 2011 A	0.082	21,800 per 8,000 units	2011/12/29	700,000,000	2011/08/17
14033	Barclays Bank plc	HSI European Warrants Mar 2012	0.250	20,000 per 10,000 units	2012/03/29	150,000,000	2011/08/18
14034	Barclays Bank plc	HSI European Put Warrants Mar 2012	0.250	18,900 per 10,000 units	2012/03/29	150,000,000	2011/08/18
14036	Bank of East Asia	JIANC European Warrants May 2012	0.250	28.358	2012/05/23	80,000,000	2011/08/18
14035	Bank of East Asia	MTRC European Warrants Nov 2012	0.250	28.660	2012/11/23	60,000,000	2011/08/18
14000	HK Bank	HSI European Warrants Feb 2012 A	0.250	17,800 per 12,000 units	2012/02/28	300,000,000	2011/08/18
14001	HK Bank	HSI European Warrants Feb 2012 B	0.250	18,800 per 10,000 units	2012/02/28	300,000,000	2011/08/18
14020	J P Morgan SP BV	CHALCO European Warrants Jun 2013	0.150	6.500	2013/06/17	300,000,000	2011/08/18
14017	J P Morgan SP BV	China Life European Warrants Feb 2012	0.250	23.880	2012/02/20	200,000,000	2011/08/18
14019	J P Morgan SP BV	China Life European Warrants Feb 2012 A	0.285	21.880	2012/02/20	200,000,000	2011/08/18
14021	J P Morgan SP BV	Chinares Cement Euro Warrants Jan 2013	0.150	8.080	2013/01/28	100,000,000	2011/08/18
14018	J P Morgan SP BV	A50 China European Warrants Aug 2014	0.250	13.880	2014/08/08	300,000,000	2011/08/18
14016	J P Morgan SP BV	A50 China European Warrants Aug 2016	0.300	15.000	2016/08/08	300,000,000	2011/08/18
14023	J P Morgan SP BV	GCL-Poly European Warrants Aug 2013	0.150	3.330	2013/08/23	300,000,000	2011/08/18
14027	J P Morgan SP BV	HSI European Warrants Feb 2012	0.250	20,000 per 8,000 units	2012/02/28	200,000,000	2011/08/18
14025	J P Morgan SP BV	HSI European Put Warrants Feb 2012	0.263	18,500 per 8,000 units	2012/02/28	200,000,000	2011/08/18
14024	J P Morgan SP BV	HSI European Put Warrants Apr 2012	0.250	18,000 per 8,800 units	2012/04/27	200,000,000	2011/08/18
14028	J P Morgan SP BV	HSI European Warrants Jan 2012 B	0.165	21,200 per 8,000 units	2012/01/30	200,000,000	2011/08/18
14029	J P Morgan SP BV	HSI European Warrants Jan 2012 C	0.170	20,600 per 8,800 units	2012/01/30	200,000,000	2011/08/18
14026	J P Morgan SP BV	HSI European Put Warrants Feb 2012 A	0.261	19,000 per 8,800 units	2012/02/28	200,000,000	2011/08/18
14022	J P Morgan SP BV	SHK Ppt European Warrants Apr 2013	0.150	118 per 100 units	2013/04/11	200,000,000	2011/08/18
14012	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Feb 2012	0.390	5.48 per unit	2012/02/20	108,000,000	2011/08/18
14011	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wt Mar 2012	0.370	4.988 per unit	2012/03/05	80,000,000	2011/08/18
14010	KBC Fin Products Int'l Ltd.	Sinopec Euro Wts Feb 2012 A	0.340	7.48 per unit	2012/02/20	80,000,000	2011/08/18
14009	KBC Fin Products Int'l Ltd.	Sinopec Corp Eu Put Wt Apr2012	0.500	6.08 per unit	2012/04/02	68,000,000	2011/08/18
14008	KBC Fin Products Int'l Ltd.	HSBC European Wts Jun 2012	0.150	60 per 100 units	2012/06/01	238,000,000	2011/08/18
14007	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Mar 2012	0.490	60.000	2012/03/01	98,000,000	2011/08/18
14030	KBC Fin Products Int'l Ltd.	HSI European Wts Mar 2012	0.260	18,800 per 10,000 units	2012/03/29	200,000,000	2011/08/18



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14006	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Feb 2012 B	0.610	78.880	2012/02/20	128,000,000	2011/08/18
14005	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Mar 2012	0.250	22.690	2012/03/05	80,000,000	2011/08/18
14004	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Mar 2012	0.580	6.89 per unit	2012/03/05	80,000,000	2011/08/18
14014	Merrill Lynch Int'l & Co. C.V.	HKEEx Euro Wts Nov 2012	0.250	141.01 per 100 units	2012/11/30	80,000,000	2011/08/18
14013	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Feb 2012 B	0.400	71.690	2012/02/27	80,000,000	2011/08/18
14002	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2011 B	0.540	80.890	2011/12/23	80,000,000	2011/08/18
14003	Merrill Lynch Int'l & Co. C.V.	MTRC European Wts May 2012	0.250	24.990	2012/05/28	80,000,000	2011/08/18
14032	Standard Chartered Bank	China Life European Wts Mar 2012 A	0.250	22.380	2012/03/05	150,000,000	2011/08/18
14031	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2012	0.150	68.88 per 100 units	2012/10/19	100,000,000	2011/08/18
14015	Standard Chartered Bank	SPDR Gold Trust Euro Wts Jun 2012	0.530	1,620 per 100 units	2012/06/29	50,000,000	2011/08/18
14075	BNP Paribas Arbit Issu B.V	BankComm Euro Warrants Apr 2012	0.370	6.88 per unit	2012/04/03	45,000,000	2011/08/19
14076	BNP Paribas Arbit Issu B.V.	CM Bank Euro Warrants Oct 2012	0.250	18.180	2012/10/03	100,000,000	2011/08/19
14072	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wts Apr 2012	0.550	6.99 per unit	2012/04/03	35,000,000	2011/08/19
14077	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Oct 2012	0.250	16.280	2012/10/03	100,000,000	2011/08/19
14074	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Euro Wt Apr 2012	0.260	2 per unit	2012/04/03	40,000,000	2011/08/19
14071	BNP Paribas Arbit Issu B.V.	Zoomlion European Wts Oct 2012	0.250	15.680	2012/10/03	100,000,000	2011/08/19
14078	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Nov 2013	0.250	13.020	2013/11/04	100,000,000	2011/08/19
14073	BNP Paribas Arbit Issu B.V.	China Mengniu Euro Wt Apr 2012	0.290	29.800	2012/04/03	80,000,000	2011/08/19
14070	BNP Paribas Arbit Issu B.V.	Ch Rongsheng Euro Wt Jun 2012	0.440	4.68 per unit	2012/06/04	55,000,000	2011/08/19
14068	BNP Paribas Arbit Issu B.V.	Sino Land European Wt Sep 2012	0.250	12.280	2012/09/04	100,000,000	2011/08/19
14069	BNP Paribas Arbit Issu B.V.	ZTE European Warrants Jun 2012	0.260	22.280	2012/06/04	80,000,000	2011/08/19
14046	Citigroup Global Mkt H Inc.	BOCL European Wts Feb 2012 A	0.250	3.38 per unit	2012/02/20	80,000,000	2011/08/19
14043	Citigroup Global Mkt H Inc.	CITIC Bank Euro Wts Feb 2012 A	0.250	4.68 per unit	2012/02/27	80,000,000	2011/08/19
14045	Citigroup Global Mkt H Inc.	CNBM Euro Warrants Jan 2012	0.150	16.288	2012/01/10	80,000,000	2011/08/19
14050	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jun 2013	0.150	82.288 per 100 units	2013/06/25	100,000,000	2011/08/19
14044	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wts Feb 2012	0.300	1,488 per 100 units	2012/02/20	50,000,000	2011/08/19
14066	Bank of East Asia	HKEEx European Warrants Aug 2012	0.250	138.388 per 100 units	2012/08/23	110,000,000	2011/08/19
14067	Bank of East Asia	PICC European Warrants Apr 2012	0.250	11.328	2012/04/23	140,000,000	2011/08/19
14065	KBC Fin Products Int'l Ltd.	ICBC European Wts Feb 2012	0.280	5.48 per unit	2012/02/20	128,000,000	2011/08/19
14041	Macquarie Bank Ltd.	CHALCO European Warrants Mar 2012	0.383	7 per unit	2012/03/02	150,000,000	2011/08/19
14063	Macquarie Bank Ltd.	Angang Steel European Wts Mar 2012	0.251	8.28 per unit	2012/03/02	100,000,000	2011/08/19
14039	Macquarie Bank Ltd.	Ch Comm Cons European Wts Mar 2012	0.275	6.78 per unit	2012/03/02	150,000,000	2011/08/19
14040	Macquarie Bank Ltd.	China Railway European Wts Mar 2012	0.253	2.68 per unit	2012/03/02	150,000,000	2011/08/19
14042	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Feb 2012	0.259	5 per unit	2012/02/20	150,000,000	2011/08/19
14064	Macquarie Bank Ltd.	ICBC European Warrants Mar 2012	0.269	5.88 per unit	2012/03/02	100,000,000	2011/08/19
14051	Macquarie Bank Ltd.	ZTE European Warrants Mar 2012	0.250	22.880	2012/03/02	150,000,000	2011/08/19
14053	CC Rabobank B.A.	AviChina European Warrants Feb 2012	0.800	4.30 per unit	2012/02/28	80,000,000	2011/08/19
14054	CC Rabobank B.A.	Nine Dragons European Warrants Feb 2012	0.700	6.80 per unit	2012/02/29	38,000,000	2011/08/19
14052	CC Rabobank B.A.	Xinyi Glass European Warrants Feb 2012	0.910	5.80 per unit	2012/02/28	50,000,000	2011/08/19
14059	RB of Scotland N.V.	Sinopec Corp European Wts Mar 2012	0.251	7.38 per unit	2012/03/16	100,000,000	2011/08/19
14056	RB of Scotland N.V.	China Shenhua European Wts Mar 2012	0.251	38.000	2012/03/16	150,000,000	2011/08/19
14055	RB of Scotland N.V.	China Shenhua European Wts Jun 2012	0.251	42.000	2012/06/18	150,000,000	2011/08/19
14060	RB of Scotland N.V.	HSI European Warrants Jan 2012 D	0.151	20,900 per 8,000 units	2012/01/30	250,000,000	2011/08/19

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14061	RB of Scotland N.V.	HSI European Warrants Feb 2012 A	0.251	18,500 per 8,000 units	2012/02/28	250,000,000	2011/08/19
14062	RB of Scotland N.V.	HSI European Warrants Feb 2012 B	0.251	19,500 per 8,000 units	2012/02/28	250,000,000	2011/08/19
14058	RB of Scotland N.V.	Jiangxi Copper European Wts Mar 2012	0.251	23.880	2012/03/16	300,000,000	2011/08/19
14057	RB of Scotland N.V.	Jiangxi Copper European Wts Jun 2012	0.251	26.880	2012/06/18	300,000,000	2011/08/19
14048	Standard Chartered Bank	CC Bank European Wts May 2012	0.350	6.28 per unit	2012/05/04	100,000,000	2011/08/19
14047	Standard Chartered Bank	HSBC European Warrants Feb 2012 A	0.470	69.300	2012/02/27	100,000,000	2011/08/19
14049	Standard Chartered Bank	ICBC European Warrants Jan 2012	0.170	6.28 per unit	2012/01/30	100,000,000	2011/08/19
14037	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Feb 2012 A	0.250	121.88 per 100 units	2012/02/20	500,000,000	2011/08/19
14038	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Feb 2012 B	0.150	146.50 per 100 units	2012/02/22	500,000,000	2011/08/19
14103	Credit Suisse AG	Agricultural Bank European Wts Dec 2011 A	0.150	4.51 per unit	2011/12/12	300,000,000	2011/08/22
14099	Credit Suisse AG	HSI European Warrants Feb 2012 E	0.250	19,400 per 9,500 units	2012/02/28	500,000,000	2011/08/22
14100	Credit Suisse AG	HSI European Warrants Feb 2012 F	0.250	20,100 per 9,000 units	2012/02/28	500,000,000	2011/08/22
14101	Credit Suisse AG	HSI European Warrants Feb 2012 G	0.250	20,400 per 8,800 units	2012/02/28	500,000,000	2011/08/22
14102	Credit Suisse AG	HSI European Put Warrants Feb 2012 H	0.250	19,500 per 8,500 units	2012/02/28	500,000,000	2011/08/22
14082	HK Bank	China Mobile European Warrants Mar 2012	0.510	76.280	2012/03/15	150,000,000	2011/08/22
14081	HK Bank	CC Bank European Warrants Mar 2012	0.320	5.90 per unit	2012/03/29	120,000,000	2011/08/22
14083	HK Bank	China Life European Warrants May 2012	0.250	24.380	2012/05/11	150,000,000	2011/08/22
14095	J P Morgan SP BV	Tencent European Warrants Mar 2012	0.250	199 per 100 units	2012/03/01	200,000,000	2011/08/22
14096	J P Morgan SP BV	Tencent European Warrants Jul 2012	0.260	218 per 100 units	2012/07/03	200,000,000	2011/08/22
14094	J P Morgan SP BV	Tencent European Put Warrants Feb 2012	0.270	180 per 100 units	2012/02/22	200,000,000	2011/08/22
14097	KBC Fin Products Int'l Ltd.	CNOOC European Wts Mar 2012	0.180	14.980	2012/03/05	108,000,000	2011/08/22
14098	KBC Fin Products Int'l Ltd.	Ping An European Wts Feb 2012	0.430	76.000	2012/02/22	80,000,000	2011/08/22
14080	Macquarie Bank Ltd.	China Shenhua European Wts Mar 2012	0.250	41.080	2012/03/02	150,000,000	2011/08/22
14079	Macquarie Bank Ltd.	Sinopharm European Warrants Feb 2012	0.250	22.000	2012/02/22	150,000,000	2011/08/22
14087	CC Rabobank B.A.	Anhui Conch European Warrants Mar 2012	0.400	34.880	2012/03/19	100,000,000	2011/08/22
14091	CC Rabobank B.A.	Alibaba European Warrants Jun 2012 A	0.150	9.880	2012/06/22	100,000,000	2011/08/22
14092	CC Rabobank B.A.	BBMG European Warrants Apr 2012	0.150	10.180	2012/04/10	100,000,000	2011/08/22
14088	CC Rabobank B.A.	Ch LongYuan European Warrants Mar 2012	0.500	6.48 per unit	2012/03/19	100,000,000	2011/08/22
14084	CC Rabobank B.A.	CITIC Pacific European Warrants Jun 2012	0.150	16.880	2012/06/27	100,000,000	2011/08/22
14089	CC Rabobank B.A.	Datang European Warrants Mar 2012 A	0.250	2.38 per unit	2012/03/19	100,000,000	2011/08/22
14093	CC Rabobank B.A.	HKEx European Warrants Jun 2012	0.150	147 per 100 units	2012/06/18	200,000,000	2011/08/22
14085	CC Rabobank B.A.	HKEx European Put Warrants Jun 2012	0.250	138.88 per 100 units	2012/06/18	200,000,000	2011/08/22
14090	CC Rabobank B.A.	Yurun Food European Warrants Mar 2012	0.250	22.880	2012/03/19	100,000,000	2011/08/22
14086	CC Rabobank B.A.	ZTE European Warrants Mar 2012	0.250	20.880	2012/03/26	100,000,000	2011/08/22
14121	Credit Suisse AG	HSCEI European Warrants Mar 2012	0.150	11,650 per 5,600 units	2012/03/29	200,000,000	2011/08/23
14131	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Mar 2012	0.150	12.380	2012/03/12	188,000,000	2011/08/23
14123	Macquarie Bank Ltd.	Avichina European Warrants Feb 2012	0.346	4.88 per unit	2012/02/23	88,000,000	2011/08/23
14125	Macquarie Bank Ltd.	China Life European Put Wts Mar 2012	0.279	23.280	2012/03/02	200,000,000	2011/08/23
14126	Macquarie Bank Ltd.	CRCC European Warrants Mar 2012	0.252	5 per unit	2012/03/02	100,000,000	2011/08/23
14124	Macquarie Bank Ltd.	Galaxy Ent European Warrants Feb 2012	0.250	24.880	2012/02/23	150,000,000	2011/08/23
14111	Macquarie Bank Ltd.	HSI European Warrants Apr 2012	0.250	21,600 per 8,000 units	2012/04/27	200,000,000	2011/08/23
14112	Macquarie Bank Ltd.	HSI European Put Warrants Feb 2012	0.250	20,000 per 9,000 units	2012/02/28	200,000,000	2011/08/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14104	Merrill Lynch Int'l & Co. C.V.	CLP European Wts Mar 2012	0.435	67.010	2012/03/19	80,000,000	2011/08/23
14105	Merrill Lynch Int'l & Co. C.V.	CLP European Wts Apr 2012	0.270	73.010	2012/04/23	80,000,000	2011/08/23
14108	Merrill Lynch Int'l & Co. C.V.	CNOOC European Wts Mar 2012	0.170	15.010	2012/03/05	80,000,000	2011/08/23
14109	Merrill Lynch Int'l & Co. C.V.	HSCEI European Wts Feb 2012	0.181	11,200 per 5,000 units	2012/02/28	100,000,000	2011/08/23
14110	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Feb 2012A	0.225	10,800 per 5,000 units	2012/02/28	100,000,000	2011/08/23
14106	Merrill Lynch Int'l & Co. C.V.	PWR Assets Eu Wts Mar 2012	0.415	57.010	2012/03/19	80,000,000	2011/08/23
14107	Merrill Lynch Int'l & Co. C.V.	PWR Assets Eu Wts Apr 2012	0.280	62.010	2012/04/23	80,000,000	2011/08/23
14117	CC Rabobank B.A.	CHALCO European Warrants Mar 2012	0.600	6.48 per unit	2012/03/19	100,000,000	2011/08/23
14113	CC Rabobank B.A.	China EB Ltd European Warrants Aug 2012	0.250	11.880	2012/08/20	100,000,000	2011/08/23
14115	CC Rabobank B.A.	Ch Comm Cons European Warrants Mar 2012	0.400	6.28 per unit	2012/03/19	100,000,000	2011/08/23
14116	CC Rabobank B.A.	China COSCO European Warrants Mar 2012	0.400	4.88 per unit	2012/03/19	100,000,000	2011/08/23
14119	CC Rabobank B.A.	CNBM European Warrants Mar 2012	0.200	15.140	2012/03/12	100,000,000	2011/08/23
14118	CC Rabobank B.A.	Ch Ship Cont European Warrants May 2012	0.250	2.38 per unit	2012/05/21	100,000,000	2011/08/23
14114	CC Rabobank B.A.	CSR European Warrants Mar 2012	0.550	5.28 per unit	2012/03/19	100,000,000	2011/08/23
14120	CC Rabobank B.A.	Lonking European Warrants Mar 2012	0.400	3.48 per unit	2012/03/19	100,000,000	2011/08/23
14128	RB of Scotland N.V.	China Life European Wts Mar 2012	0.151	28.330	2012/03/30	200,000,000	2011/08/23
14127	RB of Scotland N.V.	HSI European Put Warrants Apr 2012 A	0.251	20,000 per 10,000 units	2012/04/27	250,000,000	2011/08/23
14129	RB of Scotland N.V.	Ping An European Warrants Feb 2012	0.311	76.800	2012/02/28	100,000,000	2011/08/23
14130	RB of Scotland N.V.	Tencent Holdings Euro Wts Mar 2012 A	0.251	203.88 per 100 units	2012/03/05	80,000,000	2011/08/23
14122	Standard Chartered Bank	Hutchison Euro Euro Wts Jan 2012	0.425	85.900	2012/01/04	100,000,000	2011/08/23
13403 <sup>†</sup>	KBC Fin Products Int'l Ltd.	BOC HK European Wts Apr 2012	0.212	22.180	2012/04/02	158,000,000	2011/08/23
14149	BOCI Asia Ltd.	BOCL European Warrants Apr 2012	0.160	3.88 per unit	2012/04/02	100,000,000	2011/08/24
14148	BOCI Asia Ltd.	CC Bank European Warrants Mar 2012	0.300	6.80 per unit	2012/03/05	100,000,000	2011/08/24
14147	BOCI Asia Ltd.	ICBC European Warrants Jan 2012 A	0.170	6.38 per unit	2012/01/26	100,000,000	2011/08/24
14144	HK Bank	Zoomlion European Warrants Jun 2012	0.250	16.180	2012/06/28	80,000,000	2011/08/24
14150	KBC Fin Products Int'l Ltd.	BOC HK European Wts Mar 2012	0.250	20.880	2012/03/01	108,000,000	2011/08/24
14151	KBC Fin Products Int'l Ltd.	BOC HK European Wts Jun 2012	0.180	24.980	2012/06/26	108,000,000	2011/08/24
14153	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Mar 2012	0.250	186.88 per 100 units	2012/03/15	108,000,000	2011/08/24
14143	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Mar 2012	0.250	50.000	2012/03/02	100,000,000	2011/08/24
14152	Macquarie Bank Ltd.	HSBC European Warrants Jan 2012 A	0.370	77.880	2012/01/16	200,000,000	2011/08/24
14141	Macquarie Bank Ltd.	Jiangxi Copper European Wts Mar 2012	0.250	26.000	2012/03/02	200,000,000	2011/08/24
14142	Macquarie Bank Ltd.	Yurun Food European Warrants Mar 2012	0.250	23.000	2012/03/29	150,000,000	2011/08/24
14134	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Mar 2012	0.255	21.990	2012/03/23	80,000,000	2011/08/24
14133	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Put Wts Nov 2012	0.250	13.590	2012/11/14	80,000,000	2011/08/24
14145	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Mar 2012	0.480	63.990	2012/03/15	80,000,000	2011/08/24
14146	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Mar 2012 A	0.790	70.990	2012/03/08	80,000,000	2011/08/24
14132	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Put Wt Mar 12	0.730	8.69 per unit	2012/03/12	80,000,000	2011/08/24
14156	RB of Scotland N.V.	CC Bank European Put Warrants May 2012	0.502	5.40 per unit	2012/05/04	80,000,000	2011/08/24
14155	RB of Scotland N.V.	China Life European Put Wts Mar 2012	0.265	24.000	2012/03/30	80,000,000	2011/08/24
14154	RB of Scotland N.V.	CNOOC European Put Warrants Jun 2012	0.251	15.000	2012/06/12	80,000,000	2011/08/24
14157	RB of Scotland N.V.	HKEx European Put Warrants Oct 2012	0.251	140 per 100 units	2012/10/10	80,000,000	2011/08/24
14159	RB of Scotland N.V.	HSBC European Put Warrants Apr 2012	0.455	64.000	2012/04/03	80,000,000	2011/08/24
14158	RB of Scotland N.V.	HWL European Put Warrants Mar 2012	0.500	72.000	2012/03/12	80,000,000	2011/08/24

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14135	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Feb 2012	0.630	5.50 per unit	2012/02/13	120,000,000	2011/08/24
14136	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Mar 2012	0.280	6.88 per unit	2012/03/28	200,000,000	2011/08/24
14137	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Feb2012	0.360	7.08 per unit	2012/02/29	50,000,000	2011/08/24
14138	SGA Societe Generale Acceptance N.V.	CPIC Euro Wts Jan 2012	0.170	33.880	2012/01/11	100,000,000	2011/08/24
14139	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Mar 2012	0.330	40.880	2012/03/28	50,000,000	2011/08/24
14140	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Feb 12	0.300	28.080	2012/02/29	100,000,000	2011/08/24
14162	Barclays Bank plc	AIA European Warrants Jul 2012	0.273	28.000	2012/07/03	100,000,000	2011/08/25
14161	Barclays Bank plc	CNOOC European Warrants Jul 2012 B	0.250	15.500	2012/07/30	100,000,000	2011/08/25
14166	Credit Suisse AG	CNBM European Warrants Mar 2012	0.250	15.380	2012/03/12	150,000,000	2011/08/25
14164	Credit Suisse AG	Jiangxi Copper European Wts Jan 2012	0.150	26.900	2012/01/16	150,000,000	2011/08/25
14165	Credit Suisse AG	Yanzhou Coal European Warrants Feb 2012	0.150	30.000	2012/02/13	150,000,000	2011/08/25
10130	Deutsche Bank AG	EUR/USD European Warrants Feb 2012	0.260	1.52 per 1 units	2012/02/27	50,000,000	2011/08/25
10132	Deutsche Bank AG	Gold European Warrants Feb 2012	0.250	1,900 per 5,000 units	2012/02/28	50,000,000	2011/08/25
10131	Deutsche Bank AG	Gold European Put Warrants Feb 2012	0.250	1,688 per 5,000 units	2012/02/28	50,000,000	2011/08/25
14173	J P Morgan SP BV	China Everbright European Wts Dec 2012	0.250	14.880	2012/12/03	100,000,000	2011/08/25
14168	J P Morgan SP BV	China Comservice European Warrants Jun 2012	0.380	4.88 per unit	2012/06/25	50,000,000	2011/08/25
14172	J P Morgan SP BV	Minsheng Bank European Warrants Feb 2012	0.275	7.88 per unit	2012/02/27	60,000,000	2011/08/25
14167	J P Morgan SP BV	CNBM European Warrants Jun 2012	0.250	15.880	2012/06/18	200,000,000	2011/08/25
14171	J P Morgan SP BV	A50 China European Put Warrants May 2013	0.250	11.880	2013/05/20	200,000,000	2011/08/25
14170	J P Morgan SP BV	Ch Rongsheng European Warrants May 2012	0.400	5.18 per unit	2012/05/28	100,000,000	2011/08/25
14174	J P Morgan SP BV	Zhaojin Mining European Warrants Jun 2012	0.250	18.880	2012/06/18	100,000,000	2011/08/25
14163	Macquarie Bank Ltd.	Dongfeng Motor European Wts Jun 2012	0.250	14.880	2012/06/28	100,000,000	2011/08/25
14160	Macquarie Bank Ltd.	HKEx European Put Warrants Apr 2012	0.250	148.88 per 100 units	2012/04/03	200,000,000	2011/08/25
14178	BOCI Asia Ltd.	AIA European Warrants Feb 2012	0.160	30.880	2012/02/20	100,000,000	2011/08/26
14176	BOCI Asia Ltd.	China Shenhua European Warrants Mar 2012	0.250	40.800	2012/03/05	100,000,000	2011/08/26
14177	BOCI Asia Ltd.	Li & Fung European Warrants Feb 2012	0.150	14.880	2012/02/20	100,000,000	2011/08/26
14198	Citigroup Global Mkt H Inc.	HSI European Put Wts Mar 2012	0.160	17,600 per 8,000 units	2012/03/29	100,000,000	2011/08/26
14197	Citigroup Global Mkt H Inc.	HSI European Wts Feb 2012 A	0.230	19,000 per 8,000 units	2012/02/28	100,000,000	2011/08/26
14192	KBC Fin Products Int'l Ltd.	Anhui Conch Euro Wts Mar 2012	0.300	33.880	2012/03/15	80,000,000	2011/08/26
14193	KBC Fin Products Int'l Ltd.	Anhui Conch Eu Put Wt May 2012	0.370	28.800	2012/05/15	80,000,000	2011/08/26
14196	KBC Fin Products Int'l Ltd.	BOCL European Wts Feb 2012 A	0.350	2.98 per unit	2012/02/14	108,000,000	2011/08/26
14189	KBC Fin Products Int'l Ltd.	CITIC P European Wts Jun 2012	0.250	16.280	2012/06/01	80,000,000	2011/08/26
14187	KBC Fin Products Int'l Ltd.	CK(H) Euro Put Wts Aug 2012	0.250	108 per 100 units	2012/08/01	80,000,000	2011/08/26
14194	KBC Fin Products Int'l Ltd.	China Life Euro Wts Feb 2012	0.250	21.580	2012/02/27	128,000,000	2011/08/26
14186	KBC Fin Products Int'l Ltd.	CNBM European Wts Apr 2012	0.250	13.000	2012/04/02	88,000,000	2011/08/26
14195	KBC Fin Products Int'l Ltd.	CNBM European Put Wts May 2012	0.250	11.180	2012/05/31	80,000,000	2011/08/26
14188	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Apr 2012	0.310	52.880	2012/04/02	98,000,000	2011/08/26
14190	KBC Fin Products Int'l Ltd.	Jiangxi Copper Eu Wts Apr 2012	0.250	24.180	2012/04/30	80,000,000	2011/08/26
14191	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Apr 12	0.250	168 per 100 units	2012/04/10	80,000,000	2011/08/26
14175	Macquarie Bank Ltd.	Ch Oilfield European Warrants Sep 2012	0.250	12.000	2012/09/04	150,000,000	2011/08/26

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14179	Macquarie Bank Ltd.	Stanchart European Warrants May 2012	0.151	227.80 per 100 units	2012/05/29	300,000,000	2011/08/26
14184	Macquarie Bank Ltd.	Tencent European Warrants Apr 2012	0.250	185 per 100 units	2012/04/03	300,000,000	2011/08/26
14185	Macquarie Bank Ltd.	Tencent European Put Warrants Jun 2012	0.250	160 per 100 units	2012/06/04	300,000,000	2011/08/26
14182	Standard Chartered Bank	BOCL European Warrants Aug 2012 A	0.400	3.08 per unit	2012/08/02	100,000,000	2011/08/26
14183	Standard Chartered Bank	Jiangxi Copper Euro Wts May 2012	0.250	26.280	2012/05/03	100,000,000	2011/08/26
14206	J P Morgan SP BV	BEA European Warrants May 2012	0.250	33.880	2012/05/30	80,000,000	2011/08/29
14207	J P Morgan SP BV	JX Copper European Warrants Feb 2012	0.250	22.880	2012/02/29	200,000,000	2011/08/29
14208	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Mar 2012	0.420	64.000	2012/03/01	80,000,000	2011/08/29
14205	Macquarie Bank Ltd.	HSI European Put Warrants May 2012	0.251	18,100 per 8,000 units	2012/05/30	200,000,000	2011/08/29
14204	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2012	0.250	17,600 per 8,000 units	2012/06/28	200,000,000	2011/08/29
14203	Macquarie Bank Ltd.	HSI European Warrants Mar 2012 A	0.250	19,000 per 8,000 units	2012/03/29	200,000,000	2011/08/29
14202	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Feb 2012	0.202	88.050	2012/02/03	80,000,000	2011/08/29
14201	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2011 B	0.165	81.850	2011/11/30	80,000,000	2011/08/29
14200	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Mar 2012 B	0.250	175.99 per 100 units	2012/03/26	80,000,000	2011/08/29
14199	Merrill Lynch Int'l & Co. C.V.	Tencent Eur Put Wt May 2012	0.250	158.99 per 100 units	2012/05/21	80,000,000	2011/08/29
28888*	UBS AG	Hutchison European Warrants Mar 2012 B	0.033	88.88 per 100 units	2012/03/21	500,000,000	2011/08/29
14209	Barclays Bank plc	CC Bank European Warrants Mar 2012	0.250	5.98 per unit	2012/03/05	100,000,000	2011/08/30
14210	Barclays Bank plc	ICBC European Warrants Apr 2012	0.250	5.50 per unit	2012/04/02	100,000,000	2011/08/30
14227	Credit Suisse AG	China Mobile European Warrants Mar 2012	0.250	84.880	2012/03/05	300,000,000	2011/08/30
14225	Credit Suisse AG	ChiUnicom European Warrants Jan 2012	0.150	14.300	2012/01/30	200,000,000	2011/08/30
14228	Credit Suisse AG	CNBM European Warrants Apr 2012	0.150	13.250	2012/04/30	150,000,000	2011/08/30
14226	Credit Suisse AG	CNOOC European Warrants Mar 2012	0.150	15.020	2012/03/05	300,000,000	2011/08/30
14224	Credit Suisse AG	Tencent Holdings European Wts Mar 2012	0.250	187.28 per 100 units	2012/03/05	300,000,000	2011/08/30
10133	Deutsche Bank AG	Gold European Warrants Mar 2012	0.250	2,088 per 5,000 units	2012/03/20	50,000,000	2011/08/30
14220	J P Morgan SP BV	Brilliance Chi European Warrants Jan 2013	0.250	10.880	2013/01/28	200,000,000	2011/08/30
14217	Macquarie Bank Ltd.	BYD European Warrants Mar 2012	0.250	21.000	2012/03/02	200,000,000	2011/08/30
14223	Macquarie Bank Ltd.	C Transmission Eur Warrants Mar 2012 A	0.269	5.30 per unit	2012/03/02	100,000,000	2011/08/30
14213	CC Rabobank B.A.	Galaxy Ent European Warrants Mar 2012 A	0.300	17.880	2012/03/19	100,000,000	2011/08/30
14216	CC Rabobank B.A.	SJM Holdings European Warrants Mar 2012	0.250	16.880	2012/03/19	100,000,000	2011/08/30
14214	CC Rabobank B.A.	Tencent Holdings European Wts Feb 2012	0.180	178 per 100 units	2012/02/20	150,000,000	2011/08/30
14215	CC Rabobank B.A.	Tencent Holdings European Put Wt May 2012	0.250	158.88 per 100 units	2012/05/21	150,000,000	2011/08/30
14211	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jan 2012 B	0.210	4.18 per unit	2012/01/30	200,000,000	2011/08/30
14221	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012 B	0.760	72.880	2012/03/05	100,000,000	2011/08/30
14222	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012 C	0.490	82.880	2012/03/28	150,000,000	2011/08/30
14212	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Feb 2012	0.320	5.30 per unit	2012/02/15	200,000,000	2011/08/30
13672*	UBS AG	China Life European Warrants Dec 2012 A	0.021	23.88 per 100 units	2012/12/10	500,000,000	2011/08/30
14232	Citigroup Global Mkt H Inc.	Air China Euro Wts Dec 2012	0.170	8.408	2012/12/10	80,000,000	2011/08/31
14235	Citigroup Global Mkt H Inc.	China Life Euro Wts Feb 2012 B	0.150	23.838	2012/02/08	80,000,000	2011/08/31
14236	Citigroup Global Mkt H Inc.	A50 China Eur Put Wts Feb 2012	0.150	11.980	2012/02/06	100,000,000	2011/08/31
14233	Citigroup Global Mkt H Inc.	Ch Rongsheng Euro Wt Mar 2012	0.250	4.38 per unit	2012/03/01	50,000,000	2011/08/31
14234	Citigroup Global Mkt H Inc.	Yanzhou Coal Euro Wt Mar 2012	0.250	24.880	2012/03/01	80,000,000	2011/08/31

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14253	J P Morgan SP BV	BOCL European Warrants Feb 2012	0.150	3.50 per unit	2012/02/22	200,000,000	2011/08/31
14258	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Mar 2012	0.342	5.88 per unit	2012/03/01	200,000,000	2011/08/31
14256	J P Morgan SP BV	China Life European Warrants Feb 2012 B	0.185	20.880	2012/02/08	200,000,000	2011/08/31
14259	J P Morgan SP BV	Sinopec Corp European Warrants Mar 2012	0.460	7.88 per unit	2012/03/01	100,000,000	2011/08/31
14254	J P Morgan SP BV	HKEx European Warrants Feb 2012	0.150	146.60 per 100 units	2012/02/21	200,000,000	2011/08/31
14260	J P Morgan SP BV	HSBC European Warrants Mar 2012	0.414	71.880	2012/03/01	200,000,000	2011/08/31
14257	J P Morgan SP BV	Hutchison European Warrants Mar 2012 C	0.434	80.000	2012/03/01	120,000,000	2011/08/31
14255	J P Morgan SP BV	Hutchison European Warrants Oct 2012 A	0.150	68.88 per 100 units	2012/10/19	500,000,000	2011/08/31
14261	J P Morgan SP BV	ICBC European Warrants Mar 2012	0.250	6.08 per unit	2012/03/01	150,000,000	2011/08/31
14262	J P Morgan SP BV	ICBC European Warrants Mar 2012 A	0.391	5.18 per unit	2012/03/01	150,000,000	2011/08/31
14249	KBC Fin Products Int'l Ltd.	China Life Euro Wts Mar 2012	0.330	19.800	2012/03/01	158,000,000	2011/08/31
14250	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt Mar 2012	0.250	18.680	2012/03/01	80,000,000	2011/08/31
14264	KBC Fin Products Int'l Ltd.	HSI European Wts Mar 2012 C	0.250	17,400 per 14,000 units	2012/03/29	200,000,000	2011/08/31
14265	KBC Fin Products Int'l Ltd.	HSI European Wts Mar 2012 D	0.250	18,000 per 12,000 units	2012/03/29	200,000,000	2011/08/31
14263	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Mar 2012A	0.250	172 per 100 units	2012/03/01	108,000,000	2011/08/31
14252	Macquarie Bank Ltd.	CC Bank European Put Warrants Mar 2012	0.267	4.88 per unit	2012/03/02	200,000,000	2011/08/31
14251	Macquarie Bank Ltd.	China Life European Wts Apr 2012	0.253	22.500	2012/04/03	200,000,000	2011/08/31
14239	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Eu Put Wt May 12	0.162	12.990	2012/05/28	80,000,000	2011/08/31
14240	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Mar 2012 A	0.255	20.890	2012/03/12	80,000,000	2011/08/31
14241	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Mar 12 B	0.250	19.290	2012/03/19	80,000,000	2011/08/31
14237	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Jan 2012 A	0.390	4.99 per unit	2012/01/16	80,000,000	2011/08/31
14238	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Jan 2012 B	0.330	5.39 per unit	2012/01/10	80,000,000	2011/08/31
14230	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Mar 2012 A	0.156	10,600 per 7,000 units	2012/03/29	100,000,000	2011/08/31
14231	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Feb 2012B	0.171	10,200 per 5,000 units	2012/02/28	100,000,000	2011/08/31
14229	Merrill Lynch Int'l & Co. C.V.	JX Copper Euro Wts Feb 2012	0.224	21.990	2012/02/27	80,000,000	2011/08/31
14242	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Mar 2012 A	0.260	6.48 per unit	2012/03/05	200,000,000	2011/08/31
14245	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Mar 2012	0.160	15.010	2012/03/05	300,000,000	2011/08/31
14246	SGA Societe Generale Acceptance N.V.	Evergrande Eu Wt Mar 12	0.370	5.58 per unit	2012/03/05	50,000,000	2011/08/31
14247	SGA Societe Generale Acceptance N.V.	JIANG Eu Wts Mar 2012	0.250	22.880	2012/03/05	100,000,000	2011/08/31
14248	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Apr 12	0.250	18.880	2012/04/11	100,000,000	2011/08/31
14243	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Dec 11A	0.150	190.09 per 100 units	2011/12/12	250,000,000	2011/08/31
14244	SGA Societe Generale Acceptance N.V.	Tencent Eu Put Wt Dec11	0.220	179.90 per 100 units	2011/12/05	250,000,000	2011/08/31
14298	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Mar 2012 A	0.300	19.000	2012/03/02	200,000,000	2011/09/01
14299	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Oct 2012	0.310	22.000	2012/10/03	200,000,000	2011/09/01
14275	HK Bank	Geely Auto European Warrants Apr 2012	0.250	2.38 per unit	2012/04/27	80,000,000	2011/09/01
14276	HK Bank	Jiangxi Copper European Warrants May 2012	0.250	23.380	2012/05/22	80,000,000	2011/09/01
14277	HK Bank	PetroChina European Warrants May 2012	0.870	10.08 per unit	2012/05/22	80,000,000	2011/09/01
14266	J P Morgan SP BV	China Life European Warrants Feb 2012 C	0.163	22.680	2012/02/27	200,000,000	2011/09/01

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14267	J P Morgan SP BV	China Life European Warrants Mar 2012	0.260	19.880	2012/03/01	200,000,000	2011/09/01
14278	Macquarie Bank Ltd.	Cheung Kong European Warrants Mar 2013	0.254	100 per 100 units	2013/03/04	200,000,000	2011/09/01
14272	Macquarie Bank Ltd.	ICBC European Warrants Mar 2012 A	0.497	4.80 per unit	2012/03/02	200,000,000	2011/09/01
14274	Macquarie Bank Ltd.	SHK Ppt European Warrants Feb 2013	0.261	100 per 100 units	2013/02/04	200,000,000	2011/09/01
14273	Macquarie Bank Ltd.	SJM Holdings European Wts Mar 2012	0.251	16.880	2012/03/02	200,000,000	2011/09/01
14292	Nomura Int'l plc	Agricultural Bk Euro Warrants Jan 2012	0.188	4.08 per unit	2012/01/18	100,000,000	2011/09/01
14293	Nomura Int'l plc	AIA European Warrants Mar 2012 A	0.250	26.880	2012/03/30	100,000,000	2011/09/01
14295	Nomura Int'l plc	BOC HK European Warrants Mar 2012 A	0.689	22.88 per unit	2012/03/02	100,000,000	2011/09/01
14297	Nomura Int'l plc	BOCL European Warrants Feb 2012	0.159	3.38 per unit	2012/02/06	100,000,000	2011/09/01
14291	Nomura Int'l plc	China Mobile European Put Wts Mar 2012	0.331	71.680	2012/03/02	100,000,000	2011/09/01
14289	Nomura Int'l plc	CC Bank European Warrants Feb 2012	0.333	5.48 per unit	2012/02/14	100,000,000	2011/09/01
14279	Nomura Int'l plc	Cheung Kong European Warrants Dec 2013	0.250	108 per 100 units	2013/12/31	100,000,000	2011/09/01
14296	Nomura Int'l plc	China Life European Warrants Feb 2012 A	0.190	21.880	2012/02/13	100,000,000	2011/09/01
14290	Nomura Int'l plc	China Mobile European Warrants Jan 2012 A	0.153	88.000	2012/01/27	100,000,000	2011/09/01
14288	Nomura Int'l plc	CNOOC European Warrants Jul 2012	0.150	15.500	2012/07/23	100,000,000	2011/09/01
14286	Nomura Int'l plc	HKEx European Warrants Jun 2012	0.160	147.08 per 100 units	2012/06/18	100,000,000	2011/09/01
14280	Nomura Int'l plc	HSBC European Warrants Feb 2012	0.330	72.550	2012/02/08	100,000,000	2011/09/01
14281	Nomura Int'l plc	HSBC European Put Warrants Feb 2012	0.406	59.980	2012/02/24	100,000,000	2011/09/01
14282	Nomura Int'l plc	Hutchison European Warrants Oct 2012 A	0.150	68.88 per 100 units	2012/10/31	100,000,000	2011/09/01
14294	Nomura Int'l plc	ICBC European Warrants Mar 2012	0.254	5.18 per unit	2012/03/02	100,000,000	2011/09/01
14285	Nomura Int'l plc	JIANC European Warrants Mar 2012	0.250	22.280	2012/03/30	100,000,000	2011/09/01
14284	Nomura Int'l plc	Poly European Warrants Mar 2012	0.350	5.35 per unit	2012/03/30	100,000,000	2011/09/01
14283	Nomura Int'l plc	SHK P European Warrants Sep 2012	0.150	110 per 100 units	2012/09/10	100,000,000	2011/09/01
14287	Nomura Int'l plc	Tencent Holdings Euro Warrants Mar 2012	0.250	188 per 100 units	2012/03/30	100,000,000	2011/09/01
14269	Standard Chartered Bank	CC Bank European Wts Mar 2012	0.450	5.50 per unit	2012/03/30	100,000,000	2011/09/01
14270	Standard Chartered Bank	China Life European Wts Jun 2012	0.250	20.800	2012/06/01	150,000,000	2011/09/01
14271	Standard Chartered Bank	China Life European Wts Aug 2012	0.250	22.220	2012/08/08	150,000,000	2011/09/01
14268	Standard Chartered Bank	China Life Euro Put Wts Aug 2012	0.250	18.880	2012/08/30	150,000,000	2011/09/01
14302	BOCI Asia Ltd.	China Tel European Warrants Mar 2012	0.250	6.28 per unit	2012/03/05	100,000,000	2011/09/02
14304	BOCI Asia Ltd.	HKEx European Warrants Jul 2012	0.160	153.88 per 100 units	2012/07/30	200,000,000	2011/09/02
14303	BOCI Asia Ltd.	HSBC European Warrants Jan 2012	0.150	82.880	2012/01/26	100,000,000	2011/09/02
14305	BOCI Asia Ltd.	Tracker Fund European Warrants Dec 2012	0.250	22.200	2012/12/03	100,000,000	2011/09/02
14309	J P Morgan SP BV	BOC HK European Warrants Mar 2012	0.250	21.880	2012/03/29	150,000,000	2011/09/02
14310	J P Morgan SP BV	China Mob European Wts Mar 2012	0.250	93.880	2012/03/02	150,000,000	2011/09/02
14312	J P Morgan SP BV	CNOOC European Warrants Mar 2012	0.151	14.980	2012/03/05	200,000,000	2011/09/02
14311	J P Morgan SP BV	China Shenhua European Warrants Mar 2012	0.256	38.880	2012/03/02	200,000,000	2011/09/02
14307	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2012	0.251	19.880	2012/04/02	200,000,000	2011/09/02
14313	J P Morgan SP BV	HSBC European Warrants Jan 2012	0.220	74.980	2012/01/03	200,000,000	2011/09/02
14314	J P Morgan SP BV	SHK Ppt European Warrants Sep 2012	0.150	110 per 100 units	2012/09/04	200,000,000	2011/09/02

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14308	J P Morgan SP BV	Yanzhou Coal European Warrants Apr 2012	0.250	23.880	2012/04/02	200,000,000	2011/09/02
14300	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Feb 2012B	0.160	22.380	2012/02/27	300,000,000	2011/09/02
14301	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012A	0.280	19.380	2012/03/05	300,000,000	2011/09/02
14306	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Feb 2012 A	0.350	70.000	2012/02/13	300,000,000	2011/09/02
14335	Citigroup Global Mkt H Inc.	China Life Euro Wts Feb 2012 C	0.150	20.888	2012/02/09	80,000,000	2011/09/05
14333	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts Mar 2012	0.350	8.38 per unit	2012/03/05	80,000,000	2011/09/05
14336	Citigroup Global Mkt H Inc.	Evergrande Euro Wts Apr 2012	0.300	5.38 per unit	2012/04/17	50,000,000	2011/09/05
14332	Citigroup Global Mkt H Inc.	HSBC European Put Wts Jul 2012	0.150	74.98 per 100 units	2012/07/09	100,000,000	2011/09/05
14334	Citigroup Global Mkt H Inc.	Ping An Euro Wts Mar 2012 A	0.300	71.880	2012/03/05	80,000,000	2011/09/05
14331	J P Morgan SP BV	China Life European Warrants Mar 2012 A	0.250	18.880	2012/03/05	288,000,000	2011/09/05
14330	Macquarie Bank Ltd.	CHALCO European Put Warrants Apr 2012	0.255	4.50 per unit	2012/04/03	100,000,000	2011/09/05
14337	Macquarie Bank Ltd.	CNOOC European Warrants Oct 2012	0.250	15.800	2012/10/04	200,000,000	2011/09/05
14328	Macquarie Bank Ltd.	HSCEI European Warrants Mar 2012 A	0.250	10,800 per 4,500 units	2012/03/29	388,000,000	2011/09/05
14329	Macquarie Bank Ltd.	HSCEI European Put Warrants Mar 2012	0.250	9,900 per 4,500 units	2012/03/29	388,000,000	2011/09/05
14326	Macquarie Bank Ltd.	HSI European Warrants Mar 2012 B	0.251	18,600 per 9,000 units	2012/03/29	388,000,000	2011/09/05
14327	Macquarie Bank Ltd.	HSI European Warrants Mar 2012 C	0.250	20,200 per 6,000 units	2012/03/29	388,000,000	2011/09/05
14339	Macquarie Bank Ltd.	Hutchison European Put Wts May 2012	0.268	55.000	2012/05/03	200,000,000	2011/09/05
14338	Macquarie Bank Ltd.	Ping An European Warrants Apr 2012	0.843	63.000	2012/04/03	100,000,000	2011/09/05
14340	Macquarie Bank Ltd.	Ping An European Put Warrants Apr 2012	0.250	45.000	2012/04/03	150,000,000	2011/09/05
14323	CC Rabobank B.A.	China Life European Warrants Feb 2012	0.150	20.880	2012/02/08	200,000,000	2011/09/05
14319	CC Rabobank B.A.	CNOOC European Warrants Mar 2012	0.150	15.010	2012/03/05	100,000,000	2011/09/05
14320	CC Rabobank B.A.	China Shenhua European Warrants Feb 2012	0.250	38.880	2012/02/13	100,000,000	2011/09/05
14318	CC Rabobank B.A.	Dongfeng Motor European Warrants Aug 2012	0.250	12.800	2012/08/27	100,000,000	2011/09/05
14316	CC Rabobank B.A.	Esprit European Warrants Jun 2012	0.150	23.880	2012/06/04	100,000,000	2011/09/05
14324	CC Rabobank B.A.	Evergrande European Warrants Mar 2012	0.520	5 per unit	2012/03/26	100,000,000	2011/09/05
14317	CC Rabobank B.A.	Jiangxi Copper European Warrants Mar 2012	0.250	22.880	2012/03/19	100,000,000	2011/09/05
14322	CC Rabobank B.A.	NVC Lighting European Warrants Mar 2012	0.300	3.80 per unit	2012/03/19	100,000,000	2011/09/05
14315	CC Rabobank B.A.	Poly HK Inv European Warrants Mar 2012	0.500	5.20 per unit	2012/03/19	100,000,000	2011/09/05
14321	CC Rabobank B.A.	Yanzhou Coal European Warrants Apr 2012	0.250	23.880	2012/04/23	100,000,000	2011/09/05
14325	Standard Chartered Bank	SPDR Gold Trust Euro Wts Sep 2012A	0.650	1,988 per 100 units	2012/09/24	50,000,000	2011/09/05
14353	KBC Fin Products Int'l Ltd.	Ping An Euro Put Wts Mar 2012	0.440	54.880	2012/03/08	68,000,000	2011/09/06
14354	Macquarie Bank Ltd.	Evergrande European Warrants Mar 2012	0.388	5.88 per unit	2012/03/08	100,000,000	2011/09/06
14355	Macquarie Bank Ltd.	Yanzhou Coal European Wts Apr 2012	0.250	25.000	2012/04/03	100,000,000	2011/09/06
14356	Macquarie Bank Ltd.	Zijin Mining European Wts Mar 2012	0.305	4.50 per unit	2012/03/08	100,000,000	2011/09/06
14352	CC Rabobank B.A.	BOCL European Warrants Apr 2012	0.250	3.48 per unit	2012/04/05	100,000,000	2011/09/06
14349	CC Rabobank B.A.	Chinares Cement European Wts Mar 2012	0.500	8.48 per unit	2012/03/19	100,000,000	2011/09/06
14346	CC Rabobank B.A.	HSBC European Warrants Apr 2012	0.400	71.000	2012/04/23	200,000,000	2011/09/06
14347	CC Rabobank B.A.	Hutchison European Warrants Apr 2012	0.720	74.000	2012/04/23	200,000,000	2011/09/06
14348	CC Rabobank B.A.	PetroChina European Warrants Feb 2012	0.650	10.50 per unit	2012/02/20	100,000,000	2011/09/06
14350	CC Rabobank B.A.	Ping An European Warrants Mar 2012	0.450	68.880	2012/03/19	100,000,000	2011/09/06
14351	CC Rabobank B.A.	Weichai Power European Wts Jul 2012	0.520	37.500	2012/07/03	100,000,000	2011/09/06
14341	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jan 2012 B	0.190	6.68 per unit	2012/01/18	250,000,000	2011/09/06



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14342	SGA Societe Generale Acceptance N.V.	CNBM Euro Wt Mar 2012	0.210	13.000	2012/03/28	150,000,000	2011/09/06
14344	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 12 D	0.150	18,800 per 8,000 units	2012/02/28	300,000,000	2011/09/06
14345	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 12 E	0.180	19,500 per 8,500 units	2012/02/28	300,000,000	2011/09/06
14343	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Feb2012	0.220	76.800	2012/02/27	80,000,000	2011/09/06
14357	Barclays Bank plc	China Life European Warrants Jun 2012	0.252	20.880	2012/06/29	100,000,000	2011/09/07
14367	Credit Suisse AG	HSI European Warrants Jan 2012 A	0.150	21,300 per 8,000 units	2012/01/30	500,000,000	2011/09/07
14368	Credit Suisse AG	HSI European Put Warrants Feb 2012 I	0.150	19,900 per 9,000 units	2012/02/28	500,000,000	2011/09/07
14374	Deutsche Bank AG	Agricultural Bk European Wts Mar 2012	0.460	3.68 per unit	2012/03/12	100,000,000	2011/09/07
14375	Deutsche Bank AG	Agricultural Bk European Put Wts Jan 2012	0.280	3.58 per unit	2012/01/20	100,000,000	2011/09/07
14378	Deutsche Bank AG	BOCL European Warrants Mar 2012	0.350	3.20 per unit	2012/03/12	100,000,000	2011/09/07
14379	Deutsche Bank AG	BOCL European Put Warrants Mar 2012	0.250	3 per unit	2012/03/12	100,000,000	2011/09/07
14371	Deutsche Bank AG	CC Bank European Warrants Feb 2012	0.430	6.08 per unit	2012/02/13	150,000,000	2011/09/07
14372	Deutsche Bank AG	CC Bank European Warrants Mar 2012	0.720	5.60 per unit	2012/03/12	150,000,000	2011/09/07
14373	Deutsche Bank AG	CC Bank European Put Warrants Mar 2012	0.360	5.20 per unit	2012/03/12	100,000,000	2011/09/07
14376	Deutsche Bank AG	ICBC European Warrants Feb 2012	0.360	5.48 per unit	2012/02/13	100,000,000	2011/09/07
14377	Deutsche Bank AG	ICBC European Put Warrants Mar 2012	0.400	4.80 per unit	2012/03/12	100,000,000	2011/09/07
14370	Bank of East Asia	BYD European Warrants Jul 2012	0.250	21.211	2012/07/23	72,000,000	2011/09/07
14363	J P Morgan SP BV	AIA European Warrants Mar 2012	0.250	28.880	2012/03/07	200,000,000	2011/09/07
14369	Macquarie Bank Ltd.	R&F Ppt European Warrants Aug 2012	0.155	9.390	2012/08/14	150,000,000	2011/09/07
14365	Macquarie Bank Ltd.	Minmetals Res European Wts Mar 2012	0.833	5.18 per unit	2012/03/09	50,000,000	2011/09/07
14366	Macquarie Bank Ltd.	Minmetals Res European Wts Mar 2012 A	0.578	6.18 per unit	2012/03/09	50,000,000	2011/09/07
14360	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Mar 2012	0.355	5.49 per unit	2012/03/12	80,000,000	2011/09/07
14358	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Feb 2012 C	0.260	5.99 per unit	2012/02/27	80,000,000	2011/09/07
14359	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Feb 2012 D	0.156	6.29 per unit	2012/02/02	80,000,000	2011/09/07
14364	Standard Chartered Bank	ICBC European Warrants May 2012	0.400	5.68 per unit	2012/05/04	100,000,000	2011/09/07
14361	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 2012	0.260	94.880	2012/03/12	100,000,000	2011/09/07
14362	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 2015	0.160	70.88 per 100 units	2015/06/22	600,000,000	2011/09/07
14399	Credit Suisse AG	China Unicom European Put Wts May 2012	0.250	15.280	2012/05/07	200,000,000	2011/09/08
14400	Credit Suisse AG	Ch Comm Cons European Warrants Apr 2013	0.150	6.190	2013/04/16	200,000,000	2011/09/08
14401	Credit Suisse AG	China Coal European Warrants Feb 2012	0.150	10.900	2012/02/27	200,000,000	2011/09/08
14396	Citigroup Global Mkt H Inc.	AIA European Put Wts Apr 2012	0.250	24.380	2012/04/30	80,000,000	2011/09/08
14395	Citigroup Global Mkt H Inc.	Ch Mobile Eur Put Wts Apr 2012	0.400	72.880	2012/04/17	50,000,000	2011/09/08
14393	Citigroup Global Mkt H Inc.	Ch Overseas Euro Put Wt Apr 12	0.150	15.188	2012/04/30	80,000,000	2011/09/08
14394	Citigroup Global Mkt H Inc.	CC Bank Euro Put Wts Apr 2012	0.400	5.38 per unit	2012/04/17	50,000,000	2011/09/08
14392	Citigroup Global Mkt H Inc.	HKEx European Put Wts Jun 2012	0.150	138.80 per 100 units	2012/06/11	80,000,000	2011/09/08
14389	J P Morgan SP BV	Sinopec Corp European Warrants Feb 2012	0.350	8.88 per unit	2012/02/01	150,000,000	2011/09/08
14391	J P Morgan SP BV	HSI European Put Warrants Feb 2012 B	0.188	20,000 per 8,000 units	2012/02/28	200,000,000	2011/09/08
14390	J P Morgan SP BV	Yurun Food European Warrants Mar 2012	0.250	19.880	2012/03/08	200,000,000	2011/09/08
14386	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Put Wts Feb 12 B	0.250	3.49 per unit	2012/02/02	80,000,000	2011/09/08
14387	Merrill Lynch Int'l & Co. C.V.	BOCL Euro Put Wts Feb 12 E	0.232	3.08 per unit	2012/02/23	80,000,000	2011/09/08
14384	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Feb2012	0.164	64.990	2012/02/02	80,000,000	2011/09/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14385	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Feb 12A	0.410	72.990	2012/02/27	80,000,000	2011/09/08
14383	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Mar 2012	0.166	148.88 per 100 units	2012/03/27	80,000,000	2011/09/08
14388	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Jun 2012	0.152	138.88 per 100 units	2012/06/11	80,000,000	2011/09/08
14382	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Feb 12 B	0.270	63.990	2012/02/27	80,000,000	2011/09/08
14411	Nomura Int'l plc	Anhui Conch European Warrants Mar 2012	0.281	38.000	2012/03/08	100,000,000	2011/09/08
14421	Nomura Int'l plc	BOCL European Warrants Jan 2012 B	0.158	3.59 per unit	2012/01/30	100,000,000	2011/09/08
14412	Nomura Int'l plc	CC Bank European Warrants Mar 2012	0.340	6.28 per unit	2012/03/08	100,000,000	2011/09/08
14413	Nomura Int'l plc	CITIC Bank European Warrants Mar 2012	0.250	4.68 per unit	2012/03/08	100,000,000	2011/09/08
14406	Nomura Int'l plc	CITIC P European Warrants Jan 2012	0.150	17.000	2012/01/26	100,000,000	2011/09/08
14402	Nomura Int'l plc	Cheung Kong European Warrants Dec 2012 A	0.150	118 per 100 units	2012/12/10	100,000,000	2011/09/08
14409	Nomura Int'l plc	Sinopec European Warrants Dec 2014	0.250	6.880	2014/12/31	100,000,000	2011/09/08
14418	Nomura Int'l plc	CPIC European Warrants Mar 2012	0.250	32.880	2012/03/30	100,000,000	2011/09/08
14419	Nomura Int'l plc	Shineway Pharm European Warrants Mar 2012	0.252	13.680	2012/03/30	100,000,000	2011/09/08
14416	Nomura Int'l plc	CSR European Warrants Mar 2012 A	0.476	4.98 per unit	2012/03/08	100,000,000	2011/09/08
14414	Nomura Int'l plc	Zoomlion European Warrants Mar 2012	0.251	13.880	2012/03/08	100,000,000	2011/09/08
14403	Nomura Int'l plc	HSBC European Warrants Dec 2011 A	0.152	75.050	2011/12/30	100,000,000	2011/09/08
14404	Nomura Int'l plc	Hutchison European Warrants Mar 2012 A	0.420	80.880	2012/03/30	100,000,000	2011/09/08
14415	Nomura Int'l plc	ICBC European Warrants Feb 2012	0.157	5.69 per unit	2012/02/09	100,000,000	2011/09/08
14408	Nomura Int'l plc	Jiangxi Copper European Put Warrants Mar12	0.250	20.000	2012/03/30	100,000,000	2011/09/08
14417	Nomura Int'l plc	Ping An European Warrants Dec 2012	0.150	53 per 100 units	2012/12/11	100,000,000	2011/09/08
14407	Nomura Int'l plc	Sh Petrochem European Warrants Mar 2012	0.250	3.88 per unit	2012/03/14	100,000,000	2011/09/08
14405	Nomura Int'l plc	SHK P European Warrants Jan 2013	0.175	100 per 100 units	2013/01/28	100,000,000	2011/09/08
14410	Nomura Int'l plc	Xinyi Glass European Warrants Mar 2012 A	0.408	5.88 per unit	2012/03/08	100,000,000	2011/09/08
14420	Nomura Int'l plc	Zijin Mining European Warrants Mar 2012	0.250	4.50 per unit	2012/03/30	100,000,000	2011/09/08
14397	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012 D	0.400	84.880	2012/03/05	150,000,000	2011/09/08
14398	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 12A	0.150	218.88 per 100 units	2012/02/29	300,000,000	2011/09/08
14423	BOCI Asia Ltd.	Belle Int'l European Warrants Jun 2012	0.250	18.800	2012/06/18	100,000,000	2011/09/09
14424	BOCI Asia Ltd.	China Life European Warrants Jun 2012	0.250	20.680	2012/06/04	100,000,000	2011/09/09
14435	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Feb 12	0.260	4.09 per unit	2012/02/02	100,000,000	2011/09/09
14430	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Sep 2012	0.390	2.60 per unit	2012/09/04	33,000,000	2011/09/09
14434	BNP Paribas Arbit Issu B.V.	CRCC European Wts Jul 2012	0.730	4.60 per unit	2012/07/03	22,000,000	2011/09/09
14433	BNP Paribas Arbit Issu B.V.	China Taiping Euro Wt Sep 2012	0.310	19.880	2012/09/04	33,000,000	2011/09/09
14431	BNP Paribas Arbit Issu B.V.	Gome European Wts Jul 2012	0.600	3.64 per unit	2012/07/03	100,000,000	2011/09/09
14436	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jan 2012	0.250	20,300 per 7,000 units	2012/01/30	200,000,000	2011/09/09
14432	BNP Paribas Arbit Issu B.V.	Sany Int'l Euro Wts Sep 2013	0.250	8.800	2013/09/03	100,000,000	2011/09/09
14429	BNP Paribas Arbit Issu B.V.	Sh Petrochem Euro Wts Aug 2012	0.500	3.60 per unit	2012/08/02	23,000,000	2011/09/09
14425	Macquarie Bank Ltd.	BBMG European Warrants Mar 2012	0.570	11.88 per unit	2012/03/09	88,000,000	2011/09/09
14426	Macquarie Bank Ltd.	CNBM European Warrants Jun 2012	0.250	14.280	2012/06/18	200,000,000	2011/09/09
14427	Macquarie Bank Ltd.	Esprit European Warrants Jun 2012	0.156	23.880	2012/06/04	150,000,000	2011/09/09
14422	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt May 2012	0.150	23.880	2012/05/30	200,000,000	2011/09/09
12496 <sup>†</sup>	Citigroup Global Mkt H Inc.	Galaxy Ent European Wts Dec11A	0.104	20.880	2011/12/12	96,160,000	2011/09/09
14453	BNP Paribas Arbit Issu B.V.	BOCL European Wts Aug 2012 A	0.330	3.18 per unit	2012/08/02	200,000,000	2011/09/12
14452	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Oct 2012 A	0.250	14.880	2012/10/03	100,000,000	2011/09/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14451	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2012	0.870	74.280	2012/07/03	100,000,000	2011/09/12
14443	J P Morgan SP BV	China Life European Warrants Mar 2012 B	0.250	17.880	2012/03/12	200,000,000	2011/09/12
14445	KBC Fin Products Int'l Ltd.	China Life Euro Wts Mar 2012 A	0.250	17.880	2012/03/12	158,000,000	2011/09/12
14446	KBC Fin Products Int'l Ltd.	China Life Eur Put Wt May 2012	0.250	16.880	2012/05/02	80,000,000	2011/09/12
14447	KBC Fin Products Int'l Ltd.	CNOOC European Wts Mar 2012 A	0.270	12.800	2012/03/15	108,000,000	2011/09/12
14449	KBC Fin Products Int'l Ltd.	CNOOC Euro Put Wts Jun 2012 A	0.250	12.800	2012/06/18	80,000,000	2011/09/12
14448	KBC Fin Products Int'l Ltd.	ICBC European Wts Mar 2012	0.460	4.788 per unit	2012/03/12	108,000,000	2011/09/12
14450	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Mar 2012	0.310	4.68 per unit	2012/03/12	80,000,000	2011/09/12
14444	KBC Fin Products Int'l Ltd.	SHK Ppt European Wts Sep 2012	0.150	109.99 per 100 units	2012/09/04	108,000,000	2011/09/12
14439	Macquarie Bank Ltd.	Ch Rongsheng Euro Warrants Mar 2012	0.337	3.80 per unit	2012/03/12	100,000,000	2011/09/12
14442	Standard Chartered Bank	A50 China Euro Warrants Oct 2013	0.150	13.020	2013/10/28	200,000,000	2011/09/12
14441	Standard Chartered Bank	Hutchison Euro Euro Wts Feb 2012 C	0.400	80.000	2012/02/29	100,000,000	2011/09/12
14440	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Apr 2012	0.250	4.38 per unit	2012/04/30	200,000,000	2011/09/12
14437	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2012	0.250	80.000	2012/03/12	300,000,000	2011/09/12
14438	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Mar 2012	0.260	5.98 per unit	2012/03/12	200,000,000	2011/09/12
14495	Credit Suisse AG	BOCL European Warrants Feb 2012	0.150	3.39 per unit	2012/02/06	300,000,000	2011/09/14
14494	Credit Suisse AG	CC Bank European Warrants Feb 2012	0.150	6.09 per unit	2012/02/13	300,000,000	2011/09/14
14492	Credit Suisse AG	HSBC European Wts Feb 2012	0.150	70.000	2012/02/13	300,000,000	2011/09/14
14493	Credit Suisse AG	Hutchison European Warrants Feb 2012	0.150	78.900	2012/02/13	300,000,000	2011/09/14
14498	Citigroup Global Mkt H Inc.	Agricultural Bk Eu Wt Apr 2012	0.250	3.88 per unit	2012/04/17	80,000,000	2011/09/14
14499	Citigroup Global Mkt H Inc.	CNBM Euro Warrants Apr 2012	0.150	13.238	2012/04/27	80,000,000	2011/09/14
14497	Citigroup Global Mkt H Inc.	CNOOC European Wts Apr 2012 A	0.150	14.800	2012/04/30	80,000,000	2011/09/14
14500	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wts Apr 2012	0.300	1,788 per 100 units	2012/04/30	50,000,000	2011/09/14
14496	Citigroup Global Mkt H Inc.	Tencent European Wts Apr 2012	0.250	188.80 per 100 units	2012/04/17	80,000,000	2011/09/14
10135	Deutsche Bank AG	USD/YEN European Warrants Mar 2012	0.250	80 per 1 units	2012/03/19	50,000,000	2011/09/14
10134	Deutsche Bank AG	USD/YEN European Put Warrants Mar 2012	0.250	73 per 1 units	2012/03/19	50,000,000	2011/09/14
14469	HK Bank	AIA European Warrants Apr 2012	0.270	26.380	2012/04/18	160,000,000	2011/09/14
14468	HK Bank	CC Bank European Warrants Jun 2012	0.380	6.38 per unit	2012/06/13	120,000,000	2011/09/14
14470	HK Bank	China Life European Warrants Feb 2012	0.160	19.800	2012/02/23	160,000,000	2011/09/14
14471	HK Bank	China Life European Warrants Aug 2012	0.150	22.230	2012/08/01	160,000,000	2011/09/14
14467	HK Bank	CNOOC European Warrants Apr 2012 A	0.170	14.790	2012/04/30	100,000,000	2011/09/14
14465	Macquarie Bank Ltd.	Anhui Conch European Warrants Mar 2012	0.332	34.080	2012/03/13	200,000,000	2011/09/14
14464	Macquarie Bank Ltd.	AIA European Warrants Apr 2012 A	0.268	27.000	2012/04/03	200,000,000	2011/09/14
14489	Macquarie Bank Ltd.	A50 China European Warrants Apr 2012 A	0.255	13.50 per unit	2012/04/03	200,000,000	2011/09/14
14466	Macquarie Bank Ltd.	Jiangxi Copper European Wts Apr 2012 A	0.250	23.000	2012/04/03	200,000,000	2011/09/14
14491	Macquarie Bank Ltd.	Maanshan Iron European Wts Mar 2012	0.250	3.28 per unit	2012/03/13	88,000,000	2011/09/14
14490	Macquarie Bank Ltd.	Wynn Macau European Warrants May 2012	0.311	28.000	2012/05/03	200,000,000	2011/09/14
14462	Merrill Lynch Int'l & Co. C.V.	BankComm Euro Wts Feb 2012A	0.198	6.39 per unit	2012/02/17	80,000,000	2011/09/14
14461	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Jun 2012	0.250	16.090	2012/06/25	80,000,000	2011/09/14
14463	Merrill Lynch Int'l & Co. C.V.	CM Bank Euro Wts Dec 2012	0.255	17.100	2012/12/17	80,000,000	2011/09/14
14459	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Apr 2012	0.490	58.990	2012/04/17	80,000,000	2011/09/14
14457	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2012	0.151	18,000 per 8,800 units	2012/04/27	200,000,000	2011/09/14
14455	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2012 B	0.214	19,400 per 8,000 units	2012/02/28	200,000,000	2011/09/14

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14454	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2012 C	0.250	19,000 per 8,000 units	2012/03/29	200,000,000	2011/09/14
14456	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012 D	0.152	17,600 per 8,000 units	2012/03/29	200,000,000	2011/09/14
14458	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012 E	0.197	18,400 per 7,000 units	2012/03/29	200,000,000	2011/09/14
14460	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Mar 2012 B	0.580	74.000	2012/03/26	80,000,000	2011/09/14
14472	Standard Chartered Bank	Agricultural Bk Euro Wts May 2012	0.350	4.02 per unit	2012/05/30	100,000,000	2011/09/14
14473	Standard Chartered Bank	Agricultural Bk Euro Wts Jul 2015	0.150	2.990	2015/07/08	500,000,000	2011/09/14
14474	Standard Chartered Bank	China Life European Wts Jul 2012 A	0.250	18.280	2012/07/04	150,000,000	2011/09/14
14475	Standard Chartered Bank	China Life European Wts Oct 2012	0.350	16.880	2012/10/30	150,000,000	2011/09/14
14480	SGA Societe Generale Acceptance N.V.	Anhui Conch Eu Wt Mar 12	0.320	33.880	2012/03/14	80,000,000	2011/09/14
14479	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Mar 2012	0.440	3.48 per unit	2012/03/14	180,000,000	2011/09/14
14484	SGA Societe Generale Acceptance N.V.	Ch Overseas Eu Wt Mar 12	0.190	16.280	2012/03/14	100,000,000	2011/09/14
14481	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Mar 2012 B	0.810	5.18 per unit	2012/03/14	120,000,000	2011/09/14
14482	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012B	0.290	16.880	2012/03/14	300,000,000	2011/09/14
14483	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012C	0.250	18.180	2012/03/28	300,000,000	2011/09/14
14476	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug 2012	0.160	10.900	2012/08/01	300,000,000	2011/09/14
14477	SGA Societe Generale Acceptance N.V.	HKEEx Eu Wt Mar 2012 A	0.150	138.88 per 100 units	2012/03/12	500,000,000	2011/09/14
14478	SGA Societe Generale Acceptance N.V.	HKEEx Eu Wt Jun 2012 D	0.250	128.88 per 100 units	2012/06/13	500,000,000	2011/09/14
14485	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2012 A	0.660	65.000	2012/03/28	100,000,000	2011/09/14
14486	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Feb 2012 A	0.550	4.80 per unit	2012/02/29	120,000,000	2011/09/14
14487	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Mar 12A	0.480	66.880	2012/03/21	100,000,000	2011/09/14
14488	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Mar 12	0.250	23.880	2012/03/14	100,000,000	2011/09/14
14508	HK Bank	CHALCO European Warrants May 2012	0.350	5.50 per unit	2012/05/18	80,000,000	2011/09/15
14507	HK Bank	BYD European Warrants Sep 2012	0.250	18.880	2012/09/27	80,000,000	2011/09/15
14510	HK Bank	CNBM European Warrants Nov 2012	0.250	12.680	2012/11/16	80,000,000	2011/09/15
14509	HK Bank	A50 China European Warrants Mar 2012	0.280	12.68 per unit	2012/03/26	120,000,000	2011/09/15
14513	HK Bank	A50 China European Warrants May 2012	0.250	13.38 per unit	2012/05/10	120,000,000	2011/09/15
14505	HK Bank	HKEEx European Warrants Jul 2012	0.150	153.89 per 100 units	2012/07/23	160,000,000	2011/09/15
14511	HK Bank	HSI European Warrants Apr 2012	0.150	21,700 per 8,000 units	2012/04/27	300,000,000	2011/09/15
14512	HK Bank	HSI European Warrants Dec 2012 A	0.250	22,800 per 8,000 units	2012/12/28	300,000,000	2011/09/15
14503	HK Bank	HWL European Warrants Mar 2012	0.460	75.680	2012/03/15	160,000,000	2011/09/15
14504	HK Bank	HWL European Warrants May 2012	0.310	80.000	2012/05/15	160,000,000	2011/09/15
14506	HK Bank	Yanzhou Coal European Warrants May 2012	0.250	26.000	2012/05/25	100,000,000	2011/09/15
14502	Macquarie Bank Ltd.	China Mobile European Wts Feb 2012 B	0.151	92.880	2012/02/07	200,000,000	2011/09/15
14514	Macquarie Bank Ltd.	Lee & Man Paper European Wts Mar 2012	0.250	3.88 per unit	2012/03/14	120,000,000	2011/09/15
14501	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2012 E	0.820	68.880	2012/03/19	100,000,000	2011/09/15
14528	Credit Suisse AG	AIA European Warrants Feb 2012 A	0.150	29.280	2012/02/20	150,000,000	2011/09/16
14520	HK Bank	Agricultural Bank European Warrants Apr 2012	0.250	4 per unit	2012/04/16	120,000,000	2011/09/16
14523	HK Bank	BOCL European Warrants May 2012	0.250	3.50 per unit	2012/05/30	120,000,000	2011/09/16
14522	HK Bank	GCL-Poly Energy European Warrants May 2012	0.250	3.88 per unit	2012/05/11	80,000,000	2011/09/16
14521	HK Bank	ICBC European Warrants Apr 2012	0.250	5.50 per unit	2012/04/27	120,000,000	2011/09/16
14527	Macquarie Bank Ltd.	Want Want China European Wts Oct 2013	0.159	7.880	2013/10/29	120,000,000	2011/09/16
14517	CC Rabobank B.A.	Agricultural Bk Euro Warrants Apr 2012	0.400	3.58 per unit	2012/04/16	100,000,000	2011/09/16
14516	CC Rabobank B.A.	ChiUnicom European Warrants Jul 2012	0.250	18.000	2012/07/16	100,000,000	2011/09/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14519	CC Rabobank B.A.	Ch Mobile European Warrants Jan 2012 A	0.250	88.000	2012/01/30	200,000,000	2011/09/16
14518	CC Rabobank B.A.	CNBM European Warrants Sep 2012	0.250	11.300	2012/09/19	100,000,000	2011/09/16
14515	CC Rabobank B.A.	Luk Fook Hold European Warrants Apr 2012	0.450	42.880	2012/04/17	100,000,000	2011/09/16
14526	Standard Chartered Bank	BOCL European Put Wts Jun 2012	0.400	2.95 per unit	2012/06/18	100,000,000	2011/09/16
14525	Standard Chartered Bank	CC Bank European Put Wts Jul 2012	0.400	4.88 per unit	2012/07/04	100,000,000	2011/09/16
14524	Standard Chartered Bank	Hutchison Euro Euro Wts Nov 2013	0.250	51.48 per 100 units	2013/11/01	100,000,000	2011/09/16
14535	Bank of East Asia	ChiUnicom European Put Warrants Nov 2012	0.250	14.762	2012/11/23	105,000,000	2011/09/19
14534	Bank of East Asia	JIANC European Put Warrants Jul 2012	0.250	17.358	2012/07/23	90,000,000	2011/09/19
14540	J P Morgan SP BV	BOCL European Warrants Mar 2012	0.250	3.18 per unit	2012/03/19	200,000,000	2011/09/19
14537	J P Morgan SP BV	CC Bank Euro Put Wts Jul 2014	0.250	6.880	2014/07/02	500,000,000	2011/09/19
14539	J P Morgan SP BV	China Life European Put Warrants Mar 2012	0.250	18.280	2012/03/19	200,000,000	2011/09/19
14538	J P Morgan SP BV	MTRC European Warrants Jan 2013	0.250	28.880	2013/01/04	100,000,000	2011/09/19
14536	Macquarie Bank Ltd.	Yurun Food European Warrants Jun 2012	0.250	16.880	2012/06/18	150,000,000	2011/09/19
14531	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Feb 2012 A	0.199	13.290	2012/02/13	80,000,000	2011/09/19
14529	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Apr 2012 A	0.445	67.990	2012/04/10	80,000,000	2011/09/19
14530	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Apr 2012	0.700	69.990	2012/04/03	80,000,000	2011/09/19
14532	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2012	0.150	4.08 per unit	2012/08/01	200,000,000	2011/09/19
14533	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 2012	0.250	101.880	2012/04/02	150,000,000	2011/09/19
14543	J P Morgan SP BV	Ch Overseas European Warrants Apr 2012	0.165	16.880	2012/04/24	150,000,000	2011/09/20
14542	J P Morgan SP BV	China Unicom European Warrants Jul 2012	0.166	18.880	2012/07/25	200,000,000	2011/09/20
14544	J P Morgan SP BV	Cathay Pacific European Warrants Dec 2013	0.250	16.880	2013/12/30	100,000,000	2011/09/20
14548	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Mar 2012	0.260	3.48 per unit	2012/03/20	108,000,000	2011/09/20
14549	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Apr 2012	0.500	2.98 per unit	2012/04/02	108,000,000	2011/09/20
14550	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Feb 2012	0.175	26.880	2012/02/10	108,000,000	2011/09/20
14551	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Apr 2012	0.260	25.180	2012/04/02	108,000,000	2011/09/20
14545	Macquarie Bank Ltd.	Hang Seng Bank European Wts Sep 2013	0.251	100 per 100 units	2013/09/19	200,000,000	2011/09/20
14546	Macquarie Bank Ltd.	HSBC European Warrants Apr 2012	0.372	70.000	2012/04/03	200,000,000	2011/09/20
14541	Standard Chartered Bank	China Mobile Euro Wts Mar 2012	0.230	93.880	2012/03/02	100,000,000	2011/09/20
14547	Standard Chartered Bank	HSBC European Warrants Oct 2015	0.150	63.88 per 100 units	2015/10/12	500,000,000	2011/09/20
14573	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Jul 12	0.340	3.60 per unit	2012/07/04	100,000,000	2011/09/21
14577	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2012	0.440	93.880	2012/10/04	200,000,000	2011/09/21
14579	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jul 2012	0.530	5.90 per unit	2012/07/04	200,000,000	2011/09/21
14576	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2012	0.270	20.000	2012/08/02	200,000,000	2011/09/21
14580	BNP Paribas Arbit Issu B.V.	HSBC European Wts Oct 2012	0.430	72.280	2012/10/04	200,000,000	2011/09/21
14562	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jun 2012 A	0.660	60.000	2012/06/04	200,000,000	2011/09/21
14578	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jun 2012 A	0.490	5 per unit	2012/06/04	200,000,000	2011/09/21
14572	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jul 2012 A	0.710	64.380	2012/07/04	100,000,000	2011/09/21
14574	BNP Paribas Arbit Issu B.V.	Poly HK Inv Euro Wts Jul 2012	0.680	3.50 per unit	2012/07/03	32,000,000	2011/09/21
14575	BNP Paribas Arbit Issu B.V.	Wharf European Wts Jul 2012	0.380	51.880	2012/07/03	69,000,000	2011/09/21
14571	Credit Suisse AG	AIA European Warrants Apr 2012	0.150	26.400	2012/04/16	300,000,000	2011/09/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14565	Credit Suisse AG	Cheung Kong European Warrants Jan 2012	0.150	90 per 100 units	2012/01/18	150,000,000	2011/09/21
14568	Credit Suisse AG	HKEx European Warrants Jun 2012	0.150	147.10 per 100 units	2012/06/18	300,000,000	2011/09/21
14566	Credit Suisse AG	HSBC European Wts Oct 2015	0.150	63.90 per 100 units	2015/10/05	300,000,000	2011/09/21
14563	Credit Suisse AG	HSI European Warrants Feb 2012 J	0.150	18,800 per 10,000 units	2012/02/28	500,000,000	2011/09/21
14564	Credit Suisse AG	HSI European Warrants Feb 2012 K	0.150	19,600 per 9,600 units	2012/02/28	500,000,000	2011/09/21
14567	Credit Suisse AG	SHK Ppt European Warrants Mar 2012	0.250	110.880	2012/03/26	150,000,000	2011/09/21
14569	Credit Suisse AG	Tencent Holdings Euro Put Wts May 2012	0.150	158.90 per 100 units	2012/05/14	300,000,000	2011/09/21
14570	Credit Suisse AG	Yanzhou Coal European Warrants Feb 2012 A	0.150	24.900	2012/02/27	150,000,000	2011/09/21
14561	HK Bank	HSI European Put Warrants Mar 2012 D	0.190	17,518 per 8,000 units	2012/03/29	200,000,000	2011/09/21
14557	Macquarie Bank Ltd.	AIA European Warrants Apr 2012 B	0.257	25.000	2012/04/03	200,000,000	2011/09/21
14560	Macquarie Bank Ltd.	Hutchison European Warrants Apr 2012	0.548	70.000	2012/04/03	150,000,000	2011/09/21
14558	Macquarie Bank Ltd.	Sino Land European Warrants Aug 2012	0.176	12.280	2012/08/29	180,000,000	2011/09/21
14559	Macquarie Bank Ltd.	Swire Pacific A European Wts Apr 2012	0.390	120.000	2012/04/03	180,000,000	2011/09/21
14553	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Wts Mar 2012	0.270	3.50 per unit	2012/03/26	80,000,000	2011/09/21
14554	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Apr 2012 A	0.250	18.990	2012/04/17	80,000,000	2011/09/21
14552	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Apr 2012 B	0.500	62.990	2012/04/18	80,000,000	2011/09/21
14555	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2012 F	0.280	18,200 per 8,000 units	2012/03/29	200,000,000	2011/09/21
14556	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2012 G	0.250	18,700 per 8,000 units	2012/03/29	200,000,000	2011/09/21
14596	Barclays Bank plc	HSBC European Warrants Apr 2012	0.250	72.880	2012/04/30	150,000,000	2011/09/22
14595	Barclays Bank plc	HSI European Put Warrants Mar 2012 A	0.151	17,600 per 8,000 units	2012/03/29	150,000,000	2011/09/22
10136	BNP Paribas Arbit Issu B.V.	Gold European Wts Mar 2012	0.250	2,100 per 3,500 units	2012/03/29	200,000,000	2011/09/22
10137	BNP Paribas Arbit Issu B.V.	Gold European Wts Dec 2012	0.250	2,700 per 3,500 units	2012/12/06	200,000,000	2011/09/22
10138	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Mar 2012	0.250	1,650 per 3,500 units	2012/03/29	200,000,000	2011/09/22
10139	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Dec 2012	0.250	1,400 per 3,500 units	2012/12/06	200,000,000	2011/09/22
10140	Deutsche Bank AG	EUR/USD European Put Warrants Mar 2012	0.300	1.28 per 1 units	2012/03/26	50,000,000	2011/09/22
14598	J P Morgan SP BV	CM Bank European Warrants Dec 2012	0.250	16.880	2012/12/03	200,000,000	2011/09/22
14592	J P Morgan SP BV	Minsheng Bank European Warrants Mar 2012	0.441	6.88 per unit	2012/03/22	80,000,000	2011/09/22
14591	J P Morgan SP BV	Ping An European Warrants Mar 2012 C	0.482	61.880	2012/03/22	100,000,000	2011/09/22
14593	J P Morgan SP BV	SJM Holdings European Warrants Feb 2012	0.153	16.880	2012/02/24	200,000,000	2011/09/22
14597	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Mar 2012	0.590	70.000	2012/03/22	108,000,000	2011/09/22
14594	Macquarie Bank Ltd.	CITIC Bank European Warrants Mar 2012	0.250	4.18 per unit	2012/03/22	130,000,000	2011/09/22
14583	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Feb 2012 B	0.171	92.890	2012/02/15	80,000,000	2011/09/22
14585	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Mar 2013	0.250	125.10 per 100 units	2013/03/25	80,000,000	2011/09/22
14582	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Feb 2012 A	0.194	121.89 per 100 units	2012/02/15	80,000,000	2011/09/22
14581	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Mar 2012 C	0.510	70.100	2012/03/28	80,000,000	2011/09/22
14584	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Apr2012A	0.325	54.990	2012/04/30	80,000,000	2011/09/22
14586	CC Rabobank B.A.	AIA European Warrants Jun 2012	0.250	27.880	2012/06/18	200,000,000	2011/09/22
14588	CC Rabobank B.A.	AIA European Put Warrants Apr 2012	0.250	21.880	2012/04/16	200,000,000	2011/09/22
14589	CC Rabobank B.A.	Tencent Holdings European Wts Jul 2012	0.250	198.88 per 100 units	2012/07/23	200,000,000	2011/09/22
14587	CC Rabobank B.A.	Tencent Holdings European Put Wt Jun 2012	0.300	178.88 per 100 units	2012/06/18	200,000,000	2011/09/22
14590	Standard Chartered Bank	China Mobile Euro Put Wts Mar 2012	0.400	73.000	2012/03/01	100,000,000	2011/09/22
14614	BNP Paribas Arbit Issu B.V.	Chi Res Land Euro Wts Feb2013	0.250	11.280	2013/02/04	100,000,000	2011/09/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14615	BNP Paribas Arbit Issu B.V.	CSR Times European Wts Jul2012	0.300	17.800	2012/07/04	72,000,000	2011/09/23
14613	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wts Jul 2012	0.270	20.000	2012/07/04	100,000,000	2011/09/23
14612	Credit Suisse AG	Cheung Kong European Warrants Sep 2012	0.150	96.90 per 100 units	2012/09/24	200,000,000	2011/09/23
14601	HK Bank	Esprit European Warrants Jun 2012	0.250	15.280	2012/06/20	80,000,000	2011/09/23
14602	HK Bank	Esprit European Warrants Feb 2013	0.250	18.880	2013/02/27	80,000,000	2011/09/23
14603	HK Bank	Tencent European Warrants Apr 2012	0.180	188.89 per 100 units	2012/04/10	150,000,000	2011/09/23
14616	J P Morgan SP BV	Esprit European Wts Jun 2012 A	0.250	15.880	2012/06/28	100,000,000	2011/09/23
14604	Macquarie Bank Ltd.	Esprit European Warrants Apr 2012	0.250	15.000	2012/04/03	200,000,000	2011/09/23
14600	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Feb 2012 F	0.220	2.99 per unit	2012/02/14	80,000,000	2011/09/23
14599	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Mar 2012 A	0.405	4.79 per unit	2012/03/05	80,000,000	2011/09/23
14606	Standard Chartered Bank	Agricultural Bk Euro Wts Mar 2012	0.205	3.48 per unit	2012/03/07	100,000,000	2011/09/23
14607	Standard Chartered Bank	AIA European Warrants Apr 2012	0.300	24.880	2012/04/30	100,000,000	2011/09/23
14610	Standard Chartered Bank	BOCL European Warrants Feb 2012 A	0.240	2.99 per unit	2012/02/13	100,000,000	2011/09/23
14608	Standard Chartered Bank	China Life European Wts Feb 2012 B	0.216	19.900	2012/02/23	100,000,000	2011/09/23
14609	Standard Chartered Bank	China Life European Wts Apr 2012 A	0.350	17.480	2012/04/27	150,000,000	2011/09/23
14605	Standard Chartered Bank	HSI European Warrants Mar 2012	0.250	18,800 per 8,500 units	2012/03/29	200,000,000	2011/09/23
14611	Standard Chartered Bank	HSI European Put Warrants Mar 2012	0.250	19,400 per 8,500 units	2012/03/29	200,000,000	2011/09/23
14629	Credit Suisse AG	Hutchison European Warrants Mar 2012 C	0.400	68.900	2012/03/12	300,000,000	2011/09/26
14628	J P Morgan SP BV	Zoomlion European Warrants Jan 2013	0.250	10.880	2013/01/31	200,000,000	2011/09/26
14631	Macquarie Bank Ltd.	CNBM European Warrants Sep 2012	0.150	11.300	2012/09/13	180,000,000	2011/09/26
14620	Macquarie Bank Ltd.	Esprit European Warrants Apr 2012 A	0.250	12.000	2012/04/03	180,000,000	2011/09/26
14617	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Put Wts Mar 2012	0.305	3.09 per unit	2012/03/27	80,000,000	2011/09/26
14618	Merrill Lynch Int'l & Co. C.V.	BOCL Euro Put Wts Mar 2012	0.250	2.75 per unit	2012/03/27	80,000,000	2011/09/26
14619	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Put Wts Mar 2012	0.415	4.69 per unit	2012/03/05	80,000,000	2011/09/26
14621	Standard Chartered Bank	Sinopec Corp European Wts Feb 2012	0.290	8.88 per unit	2012/02/01	100,000,000	2011/09/26
14622	Standard Chartered Bank	Tencent Hldg European Wts Mar 2012	0.150	200 per 100 units	2012/03/01	100,000,000	2011/09/26
14623	Standard Chartered Bank	YZ Coal European Warrants Mar 2012A	0.207	25.000	2012/03/30	100,000,000	2011/09/26
14624	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Mar 2012 A	0.250	3.78 per unit	2012/03/28	200,000,000	2011/09/26
14625	SGA Societe Generale Acceptance N.V.	AIA Euro Wt Feb 2012	0.180	26.880	2012/02/13	200,000,000	2011/09/26
14626	SGA Societe Generale Acceptance N.V.	AIA Euro Wt Apr 2012	0.250	25.280	2012/04/25	200,000,000	2011/09/26
14627	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Feb 2012	0.200	5.88 per unit	2012/02/06	80,000,000	2011/09/26
14642	Credit Suisse	SPDR Gold Trust European Warrants Feb 2012 A	0.500	1,488.80 per 100 units	2012/02/13	80,000,000	2011/09/27
14639	Citigroup Global Mkt H Inc.	AIA European Warrants Apr 2012	0.250	26.880	2012/04/17	80,000,000	2011/09/27
14638	Citigroup Global Mkt H Inc.	Ch Overseas Euro Wts Jul 2012	0.150	14.980	2012/07/16	80,000,000	2011/09/27
14641	Citigroup Global Mkt H Inc.	China Unicom Eu Put Wt Apr2012	0.150	15.288	2012/04/27	80,000,000	2011/09/27
14640	Citigroup Global Mkt H Inc.	GCL-Poly Energy Eu Wt Jun 2012	0.300	3.38 per unit	2012/06/11	50,000,000	2011/09/27
14637	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Apr 2012	0.300	72.880	2012/04/17	80,000,000	2011/09/27
14646	Bank of East Asia	CHALCO European Put Warrants Oct 2013	0.250	5.500	2013/10/23	280,000,000	2011/09/27
14645	Bank of East Asia	JIANC European Warrants Sep 2012	0.250	19.358	2012/09/24	80,000,000	2011/09/27
14633	J P Morgan SP BV	ICBC European Warrants Mar 2012 B	0.415	4.68 per unit	2012/03/26	150,000,000	2011/09/27
14634	Macquarie Bank Ltd.	HSI European Put Warrants Sep 2012	0.250	17,000 per 8,000 units	2012/09/27	200,000,000	2011/09/27
14635	Macquarie Bank Ltd.	Luk Fook Hold European Wts Mar 2012	0.371	35.000	2012/03/27	150,000,000	2011/09/27

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14636	Standard Chartered Bank	Cheung Kong European Wts Feb 2013	0.150	100 per 100 units	2013/02/27	100,000,000	2011/09/27
14644	Standard Chartered Bank	HKEx European Warrants Mar 2012 A	0.150	138.88 per 100 units	2012/03/12	200,000,000	2011/09/27
14643	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt Feb 2012	0.150	20.880	2012/02/27	150,000,000	2011/09/27
14632	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Feb 2012 A	0.320	6.08 per unit	2012/02/15	200,000,000	2011/09/27
14662	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Mar 2012	0.240	79.950	2012/03/02	100,000,000	2011/09/28
14653	Bank of East Asia	Esprit European Warrants Nov 2012	0.250	11.330	2012/11/23	135,000,000	2011/09/28
14656	J P Morgan SP BV	Anhui Conch European Warrants May 2012	0.250	28.880	2012/05/28	200,000,000	2011/09/28
14655	J P Morgan SP BV	PICC European Warrants Apr 2012	0.168	11.348	2012/04/16	200,000,000	2011/09/28
14647	CC Rabobank B.A.	Cheung Kong European Warrants Feb 2013	0.150	100.05 per 100 units	2013/02/25	200,000,000	2011/09/28
14648	CC Rabobank B.A.	Minsheng Bank European Wts Mar 2012	0.350	7 per unit	2012/03/27	100,000,000	2011/09/28
14651	CC Rabobank B.A.	ChiUnicom European Warrants Jul 2012 A	0.250	20.000	2012/07/16	200,000,000	2011/09/28
14650	CC Rabobank B.A.	Galaxy Ent European Warrants Apr 2012	0.250	15.880	2012/04/16	100,000,000	2011/09/28
14652	CC Rabobank B.A.	Jiangxi Copper European Warrants Jul 2012	0.250	19.000	2012/07/16	200,000,000	2011/09/28
14649	CC Rabobank B.A.	Zijin Mining European Warrants Jun 2012	0.400	3.48 per unit	2012/06/18	100,000,000	2011/09/28
14659	Standard Chartered Bank	Anhui Conch European Wts Aug 2012	0.400	22.880	2012/08/02	100,000,000	2011/09/28
14660	Standard Chartered Bank	Anhui Conch European Wts Dec 2012	0.400	28.280	2012/12/03	100,000,000	2011/09/28
14657	Standard Chartered Bank	China Unicom European Wts May 2012	0.250	18.280	2012/05/02	100,000,000	2011/09/28
14658	Standard Chartered Bank	China Unicom European Wts Aug 2012	0.250	20.000	2012/08/01	100,000,000	2011/09/28
14661	Standard Chartered Bank	CNBM European Warrants Dec 2012	0.250	7.470	2012/12/03	100,000,000	2011/09/28
14663	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012D	0.170	20.880	2012/03/07	300,000,000	2011/09/28
14664	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2012E	0.250	20.000	2012/03/28	300,000,000	2011/09/28
14654	SGA Societe Generale Acceptance N.V.	CPIC Euro Wts Mar 2012	0.300	28.880	2012/03/28	100,000,000	2011/09/28
14665	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2015	0.160	63.88 per 100 units	2015/10/07	300,000,000	2011/09/28
14666	SGA Societe Generale Acceptance N.V.	JIANC Eu Wts Apr 2012	0.250	18.880	2012/04/02	100,000,000	2011/09/28
14748	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jan 2013	0.150	88.88 per 100 units	2013/01/03	100,000,000	2011/09/29
14749	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Aug 2012 A	0.150	10.860	2012/08/02	100,000,000	2011/09/29
14750	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Warrants Feb 2013	0.150	100 per 100 units	2013/02/04	100,000,000	2011/09/29
14694	Credit Suisse AG	HSI European Put Warrants Mar 2012	0.250	17,600 per 8,000 units	2012/03/29	500,000,000	2011/09/29
14692	Credit Suisse AG	HSI European Warrants Feb 2012 L	0.250	18,500 per 8,000 units	2012/02/28	500,000,000	2011/09/29
14693	Credit Suisse AG	Ping An European Warrants Dec 2012 B	0.150	53 per 100 units	2012/12/17	300,000,000	2011/09/29
14695	Credit Suisse AG	Ping An European Put Warrants Apr 2012	0.350	44.980	2012/04/02	300,000,000	2011/09/29
14747	J P Morgan SP BV	AIA European Warrants Mar 2012 A	0.250	22.880	2012/03/29	200,000,000	2011/09/29
14719	J P Morgan SP BV	BOCL European Warrants Apr 2012	0.250	2.88 per unit	2012/04/02	200,000,000	2011/09/29
14718	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2012	0.400	5.48 per unit	2012/04/02	200,000,000	2011/09/29
14746	J P Morgan SP BV	CNOOC European Warrants Mar 2012 A	0.150	12.800	2012/03/08	200,000,000	2011/09/29
14716	J P Morgan SP BV	A50 China European Warrants Aug 2012	0.150	10.880	2012/08/01	200,000,000	2011/09/29
14745	J P Morgan SP BV	HKEx European Warrants Jun 2012	0.150	128.88 per 100 units	2012/06/06	200,000,000	2011/09/29
14744	J P Morgan SP BV	HSBC European Warrants Oct 2015	0.150	63.88 per 100 units	2015/10/05	300,000,000	2011/09/29



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14743	J P Morgan SP BV	HSBC European Warrants Apr 2012 A	0.350	68.880	2012/04/02	200,000,000	2011/09/29
14717	J P Morgan SP BV	ICBC European Warrants Mar 2012 C	0.275	4.68 per unit	2012/03/29	150,000,000	2011/09/29
14668	J P Morgan SP BV	Luk Fook Hold European Warrants Mar 2012	0.309	36.880	2012/03/29	80,000,000	2011/09/29
14737	KBC Fin Products Int'l Ltd.	HSCEI Euro Wts Jun 2012	0.250	9,600 per 6,000 units	2012/06/28	100,000,000	2011/09/29
14738	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Mar 2012 C	0.250	9,400 per 5,800 units	2012/03/29	100,000,000	2011/09/29
14736	KBC Fin Products Int'l Ltd.	ICBC European Wts Apr 2012	0.330	4.388 per unit	2012/04/02	108,000,000	2011/09/29
14720	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Mar 2012	0.188	3.48 per unit	2012/03/14	200,000,000	2011/09/29
14680	Macquarie Bank Ltd.	China Overseas European Wts Nov 2012	0.250	12.880	2012/11/02	200,000,000	2011/09/29
14677	Macquarie Bank Ltd.	CM Bank European Warrants Nov 2012	0.250	15.880	2012/11/02	200,000,000	2011/09/29
14674	Macquarie Bank Ltd.	GCL-Poly Energy European Wts May 2012	0.266	3 per unit	2012/05/03	150,000,000	2011/09/29
14676	Macquarie Bank Ltd.	Galaxy Ent European Warrants Jun 2012	0.250	15.880	2012/06/04	200,000,000	2011/09/29
14678	Macquarie Bank Ltd.	Jiangxi Copper European Wts Jul 2012	0.255	18.000	2012/07/03	200,000,000	2011/09/29
14679	Macquarie Bank Ltd.	Tencent European Warrants Jun 2012	0.250	172.50 per 100 units	2012/06/04	250,000,000	2011/09/29
14691	Macquarie Bank Ltd.	Tencent European Put Warrants Oct 2012	0.250	150 per 100 units	2012/10/04	250,000,000	2011/09/29
14707	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Mar 2012 B	0.290	9,000 per 5,000 units	2012/03/29	100,000,000	2011/09/29
14696	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Jun 2012	0.255	9,800 per 5,000 units	2012/06/28	100,000,000	2011/09/29
14708	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Mar 2012	0.250	9,100 per 4,600 units	2012/03/29	100,000,000	2011/09/29
14697	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Jul 2012	0.250	8,300 per 4,800 units	2012/07/30	100,000,000	2011/09/29
14699	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Apr 2012	0.250	17,900 per 9,000 units	2012/04/27	100,000,000	2011/09/29
14701	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2012	0.250	17,200 per 10,000 units	2012/06/28	100,000,000	2011/09/29
14704	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2012	0.300	16,800 per 10,000 units	2012/09/27	100,000,000	2011/09/29
14712	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2012	0.250	16,500 per 8,000 units	2012/06/28	100,000,000	2011/09/29
14714	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2012	0.255	16,200 per 9,000 units	2012/09/27	100,000,000	2011/09/29
14698	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2012 H	0.250	17,500 per 9,000 units	2012/03/29	100,000,000	2011/09/29
14700	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Apr 2012 A	0.250	18,700 per 8,000 units	2012/04/27	100,000,000	2011/09/29
14702	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2012 A	0.260	17,600 per 9,000 units	2012/06/28	100,000,000	2011/09/29
14703	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2012 B	0.250	18,000 per 9,000 units	2012/06/28	100,000,000	2011/09/29
14705	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2012 A	0.250	17,800 per 10,000 units	2012/09/27	100,000,000	2011/09/29
14706	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2012 B	0.265	17,300 per 10,000 units	2012/09/27	100,000,000	2011/09/29
14709	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2012 B	0.250	16,800 per 7,000 units	2012/04/27	100,000,000	2011/09/29
14710	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2012 C	0.250	17,200 per 8,000 units	2012/04/27	100,000,000	2011/09/29
14711	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2012 D	0.260	18,200 per 9,000 units	2012/04/27	100,000,000	2011/09/29
14713	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2012 C	0.250	17,000 per 8,500 units	2012/06/28	100,000,000	2011/09/29
14715	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2012 C	0.255	16,800 per 10,000 units	2012/09/27	100,000,000	2011/09/29
14681	CC Rabobank B.A.	Brilliance Chi European Warrants Nov 2012	0.250	7.800	2012/11/26	100,000,000	2011/09/29
14684	CC Rabobank B.A.	CNBM European Warrants Dec 2012	0.250	8.480	2012/12/17	100,000,000	2011/09/29
14682	CC Rabobank B.A.	CSR European Warrants Apr 2012	0.450	4.18 per unit	2012/04/16	100,000,000	2011/09/29
14690	CC Rabobank B.A.	Guangdong Inv European Warrants Apr 2012	0.250	6 per unit	2012/04/10	80,000,000	2011/09/29
14683	CC Rabobank B.A.	SPDR Gold Trust European Wts Apr 2012	0.750	1,528 per 100 units	2012/04/16	50,000,000	2011/09/29
14689	Standard Chartered Bank	AIA European Warrants Jun 2012	0.170	28.000	2012/06/25	100,000,000	2011/09/29
14685	Standard Chartered Bank	CNOOC European Warrants Jun 2012	0.150	14.600	2012/06/18	100,000,000	2011/09/29
14688	Standard Chartered Bank	China Shenhua Euro Wts Jun 2012	0.360	40.000	2012/06/29	100,000,000	2011/09/29

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14687	Standard Chartered Bank	China Shenhua Euro Wts Aug 2012	0.345	42.000	2012/08/01	100,000,000	2011/09/29
14686	Standard Chartered Bank	Yurun Food Euro Warrants Jul 2012	0.250	16.000	2012/07/30	100,000,000	2011/09/29
14739	SGA Societe Generale Acceptance N.V.	Belle Int'l Eu Wt May12	0.250	13.020	2012/05/09	150,000,000	2011/09/29
14741	SGA Societe Generale Acceptance N.V.	Ch Overseas Eu Wt Jun 12	0.250	11.720	2012/06/27	100,000,000	2011/09/29
14740	SGA Societe Generale Acceptance N.V.	CMB Euro Wts Jun 2012	0.250	14.780	2012/06/13	200,000,000	2011/09/29
14669	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 2012A	0.600	81.880	2012/04/02	100,000,000	2011/09/29
14670	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Apr 12	0.160	13.380	2012/04/11	100,000,000	2011/09/29
14671	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2012	0.690	63.880	2012/04/02	100,000,000	2011/09/29
14742	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt Jul 12	0.250	10.320	2012/07/04	120,000,000	2011/09/29
14732	UBS AG	AIA European Warrants Apr 2012	0.170	25.330	2012/04/24	200,000,000	2011/09/29
14735	UBS AG	BOCL European Warrants Mar 2012	0.150	3.29 per unit	2012/03/19	100,000,000	2011/09/29
14731	UBS AG	China Mobile European Warrants Apr 2012	0.350	88.000	2012/04/10	200,000,000	2011/09/29
14730	UBS AG	CC Bank European Warrants Feb 2012 A	0.230	6.01 per unit	2012/02/27	200,000,000	2011/09/29
14733	UBS AG	China Life European Warrants Feb 2012	0.190	19.380	2012/02/27	300,000,000	2011/09/29
14734	UBS AG	China Life European Warrants Mar 2012	0.150	22.550	2012/03/27	300,000,000	2011/09/29
14729	UBS AG	CNOOC European Warrants Mar 2012 A	0.150	12.820	2012/03/08	200,000,000	2011/09/29
14727	UBS AG	HKEx European Warrants Jun 2012	0.150	128.88 per 100 units	2012/06/06	500,000,000	2011/09/29
14723	UBS AG	HSBC European Warrants Feb 2012	0.250	70.000	2012/02/13	300,000,000	2011/09/29
14724	UBS AG	HSBC European Warrants Mar 2012	0.430	65.050	2012/03/26	300,000,000	2011/09/29
14722	UBS AG	HSI European Warrants Mar 2012	0.150	18,800 per 10,000 units	2012/03/29	300,000,000	2011/09/29
14672	UBS AG	HSI European Warrants Feb 2012 A	0.150	19,400 per 8,000 units	2012/02/28	300,000,000	2011/09/29
14673	UBS AG	HSI European Warrants Feb 2012 B	0.150	20,100 per 8,000 units	2012/02/28	300,000,000	2011/09/29
14721	UBS AG	HSI European Warrants Feb 2012 C	0.150	17,800 per 12,000 units	2012/02/28	300,000,000	2011/09/29
14726	UBS AG	Hutchison European Warrants Oct 2013	0.170	51.50 per 100 units	2013/10/28	500,000,000	2011/09/29
14725	UBS AG	Hutchison European Warrants Mar 2012 C	0.400	70.050	2012/03/27	300,000,000	2011/09/29
14728	UBS AG	Tencent Holdings European Warrants Feb 2012	0.150	172 per 100 units	2012/02/27	200,000,000	2011/09/29
14790	BOCI Asia Ltd.	China Mobile European Warrants Apr 2012	0.250	93.880	2012/04/02	100,000,000	2011/09/30
14793	BOCI Asia Ltd.	China Mobile European Put Warrants Feb 2012	0.200	64.000	2012/02/13	100,000,000	2011/09/30
14792	BOCI Asia Ltd.	China Life European Put Warrants Apr 2012	0.250	17.500	2012/04/02	100,000,000	2011/09/30
14791	BOCI Asia Ltd.	Lenovo European Warrants Apr 2012	0.250	6.88 per unit	2012/04/02	100,000,000	2011/09/30
14814	Credit Suisse AG	CNOOC European Warrants Mar 2012 A	0.150	12.820	2012/03/12	200,000,000	2011/09/30
14812	Credit Suisse AG	China Unicom European Put Wts May 2012 A	0.150	12.980	2012/05/28	200,000,000	2011/09/30
14811	Credit Suisse AG	HSBC European Wts Mar 2012	0.250	62.880	2012/03/30	300,000,000	2011/09/30
14810	Credit Suisse AG	HSCEI European Warrants Jun 2012	0.250	9,600 per 5,000 units	2012/06/28	200,000,000	2011/09/30
14816	Credit Suisse AG	HSCEI European Put Warrants Apr 2012	0.250	8,900 per 5,000 units	2012/04/27	200,000,000	2011/09/30
14808	Credit Suisse AG	HSI European Warrants Feb 2012 M	0.150	17,850 per 12,000 units	2012/02/28	500,000,000	2011/09/30
14809	Credit Suisse AG	HSI European Warrants Feb 2012 N	0.150	19,450 per 8,000 units	2012/02/28	500,000,000	2011/09/30
14815	Credit Suisse AG	HSI European Put Warrants Mar 2012 A	0.250	16,500 per 7,000 units	2012/03/29	500,000,000	2011/09/30
14813	Credit Suisse AG	Tencent Holdings European Wts Feb 2012	0.150	172 per 100 units	2012/02/27	300,000,000	2011/09/30
14789	Citigroup Global Mkt H Inc.	CNOOC European Wts Mar 2012	0.150	12.818	2012/03/12	80,000,000	2011/09/30
14794	Citigroup Global Mkt H Inc.	ICBC European Wts Apr 2012	0.250	4.48 per unit	2012/04/17	50,000,000	2011/09/30
14828	J P Morgan SP BV	CM Bank European Warrants Nov 2012	0.250	12.880	2012/11/05	200,000,000	2011/09/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14799	J P Morgan SP BV	HSI European Warrants Jul 2012	0.259	18,000 per 8,000 units	2012/07/30	200,000,000	2011/09/30
14800	J P Morgan SP BV	HSI European Put Warrants Jun 2012	0.269	17,400 per 8,000 units	2012/06/28	200,000,000	2011/09/30
14797	J P Morgan SP BV	HSI European Put Warrants Jul 2012	0.252	16,888 per 8,000 units	2012/07/30	200,000,000	2011/09/30
14798	J P Morgan SP BV	HSI European Warrants Feb 2012 C	0.157	18,800 per 8,000 units	2012/02/28	200,000,000	2011/09/30
14805	J P Morgan SP BV	JX Copper European Warrants Jun 2012	0.250	15.880	2012/06/28	200,000,000	2011/09/30
14804	J P Morgan SP BV	PetroChina European Warrants Apr 2012	0.150	8.500	2012/04/26	300,000,000	2011/09/30
14803	J P Morgan SP BV	SHK Ppt European Warrants Jan 2013	0.150	100 per 100 units	2013/01/28	200,000,000	2011/09/30
14802	J P Morgan SP BV	Tencent European Warrants Feb 2012	0.150	172 per 100 units	2012/02/23	200,000,000	2011/09/30
14801	J P Morgan SP BV	Tencent European Warrants Jun 2012	0.250	158.88 per 100 units	2012/06/01	200,000,000	2011/09/30
14807	J P Morgan SP BV	Yanzhou Coal European Warrants Apr 2012 A	0.252	18.880	2012/04/03	200,000,000	2011/09/30
14818	KBC Fin Products Int'l Ltd.	Agricultural Eu Put Wt Apr 2012	0.250	2.58 per unit	2012/04/02	80,000,000	2011/09/30
14820	KBC Fin Products Int'l Ltd.	BOCL Euro Put Wts Apr 2012	0.250	2.38 per unit	2012/04/02	80,000,000	2011/09/30
14795	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Jun 2012	0.250	8,600 per 5,600 units	2012/06/28	100,000,000	2011/09/30
14796	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts May 2012	0.250	16,800 per 8,800 units	2012/05/30	200,000,000	2011/09/30
14819	KBC Fin Products Int'l Ltd.	ICBC Euro Put Wts Apr 2012	0.250	3.58 per unit	2012/04/02	80,000,000	2011/09/30
14817	KBC Fin Products Int'l Ltd	Tencent Holdings Eu Put Wt Jul 12	0.250	148 per 100 units	2012/07/03	80,000,000	2011/09/30
14785	Macquarie Bank Ltd.	CC Bank European Put Warrants May 2012	0.251	4.28 per unit	2012/05/03	200,000,000	2011/09/30
14784	Macquarie Bank Ltd.	Evergrande European Warrants May 2012	0.261	4.50 per unit	2012/05/03	150,000,000	2011/09/30
14763	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Wts May 2012	0.370	3 per unit	2012/05/25	80,000,000	2011/09/30
14764	Merrill Lynch Int'l & Co. C.V.	Ag Bk Euro Wts Jul 2012	0.440	2.80 per unit	2012/07/27	80,000,000	2011/09/30
14765	Merrill Lynch Int'l & Co. C.V.	AIA Euro Warrants Apr 2012	0.295	21.290	2012/04/30	80,000,000	2011/09/30
14766	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts May 2012 A	0.250	23.490	2012/05/08	80,000,000	2011/09/30
14770	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Mar 2012	0.300	2.66 per unit	2012/03/30	80,000,000	2011/09/30
14771	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Jun 2012	0.345	2.50 per unit	2012/06/29	80,000,000	2011/09/30
14769	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Apr 2012 B	0.325	17.290	2012/04/30	80,000,000	2011/09/30
14762	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Sep 2012 A	0.255	10.900	2012/09/28	80,000,000	2011/09/30
14772	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Aug 2012	0.150	10.860	2012/08/08	80,000,000	2011/09/30
14773	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jun 2013	0.250	9.600	2013/06/13	80,000,000	2011/09/30
14759	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2012	0.250	114.89 per 100 units	2012/07/11	80,000,000	2011/09/30
14760	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Dec 2013	0.265	110 per 100 units	2013/12/16	80,000,000	2011/09/30
14751	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts May 2012	0.770	57.990	2012/05/14	80,000,000	2011/09/30
14753	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt May 2012	0.500	53.990	2012/05/21	80,000,000	2011/09/30
14752	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts May 2012 A	0.260	73.990	2012/05/28	80,000,000	2011/09/30
14755	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Apr 2012	0.530	64.990	2012/04/23	80,000,000	2011/09/30
14754	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Oct 2013	0.160	51.49 per 100 units	2013/10/28	80,000,000	2011/09/30
14756	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Apr 2012 B	0.710	60.010	2012/04/16	80,000,000	2011/09/30
14757	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Apr 2012 C	0.910	55.990	2012/04/17	80,000,000	2011/09/30
14758	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Apr 12 D	0.270	49.990	2012/04/18	80,000,000	2011/09/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14767	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts May 2012	0.620	4 per unit	2012/05/25	80,000,000	2011/09/30
14768	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Sep 2012	0.690	3.82 per unit	2012/09/28	80,000,000	2011/09/30
14761	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Apr 2012	0.250	162.99 per 100 units	2012/04/30	80,000,000	2011/09/30
14777	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Apr 2012 A	0.330	2.98 per unit	2012/04/02	200,000,000	2011/09/30
14778	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Apr 2012	0.350	2.58 per unit	2012/04/02	200,000,000	2011/09/30
14779	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Apr 2012B	0.250	10.480	2012/04/02	400,000,000	2011/09/30
14806	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2012 B	0.250	108.88 per 100 units	2012/04/11	500,000,000	2011/09/30
14780	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Aug 2012 A	0.150	138 per 100 units	2012/08/01	500,000,000	2011/09/30
14774	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2012	0.150	17,400 per 14,000 units	2012/03/29	500,000,000	2011/09/30
14776	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 2012	0.220	17,518 per 8,000 units	2012/03/29	300,000,000	2011/09/30
14775	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2012 A	0.150	18,000 per 12,000 units	2012/03/29	300,000,000	2011/09/30
14781	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2012	0.400	4.48 per unit	2012/04/02	180,000,000	2011/09/30
14782	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jan 2013A	0.150	99.98 per 100 units	2013/01/28	300,000,000	2011/09/30
14783	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 12	0.250	168 per 100 units	2012/05/30	200,000,000	2011/09/30
14822	UBS AG	Agricultural Bank European Warrants Mar 2012	0.150	3.49 per unit	2012/03/07	200,000,000	2011/09/30
14821	UBS AG	HKEx European Warrants Feb 2012	0.150	121.98 per 100 units	2012/02/13	300,000,000	2011/09/30
14826	UBS AG	HSI European Put Warrants Apr 2012	0.280	18,000 per 8,800 units	2012/04/27	300,000,000	2011/09/30
14827	UBS AG	HSI European Put Warrants May 2012	0.250	16,200 per 8,000 units	2012/05/30	300,000,000	2011/09/30
14786	UBS AG	HSI European Warrants Feb 2012 D	0.150	18,500 per 8,000 units	2012/02/28	300,000,000	2011/09/30
14787	UBS AG	HSI European Warrants Mar 2012 A	0.150	17,400 per 14,000 units	2012/03/29	300,000,000	2011/09/30
14788	UBS AG	HSI European Warrants Mar 2012 B	0.150	18,000 per 12,000 units	2012/03/29	300,000,000	2011/09/30
14824	UBS AG	ICBC European Warrants Mar 2012	0.280	4.38 per unit	2012/03/30	200,000,000	2011/09/30
14823	UBS AG	ICBC European Warrants Feb 2012 A	0.180	4.81 per unit	2012/02/27	200,000,000	2011/09/30
14825	UBS AG	Ping An European Warrants Mar 2012 D	0.440	55.000	2012/03/30	200,000,000	2011/09/30
14837	BOCI Asia Ltd.	HKEx European Put Warrants Jul 2012	0.250	130 per 100 units	2012/07/03	200,000,000	2011/10/03
14835	BOCI Asia Ltd.	Hutchison European Warrants Mar 2012	0.180	82.880	2012/03/28	100,000,000	2011/10/03
14836	BOCI Asia Ltd.	Tencent Holdings European Warrants Mar 2012	0.180	175.99 per 100 units	2012/03/26	200,000,000	2011/10/03
14838	BOCI Asia Ltd.	Tencent Holdings European Put Wts May 2012	0.250	150 per 100 units	2012/05/21	200,000,000	2011/10/03
14852	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Apr 12	0.280	2.96 per unit	2012/04/03	100,000,000	2011/10/03
14857	BNP Paribas Arbit Issu B.V.	BOCL European Wts Apr 2012	0.250	2.75 per unit	2012/04/03	100,000,000	2011/10/03
14851	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Apr 2012	0.490	5.38 per unit	2012/04/03	200,000,000	2011/10/03
14856	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Apr 2012	0.500	3.50 per unit	2012/04/03	100,000,000	2011/10/03
14859	BNP Paribas Arbit Issu B.V.	HSI European Wts May 2012	0.250	17,200 per 8,000 units	2012/05/30	200,000,000	2011/10/03
14858	BNP Paribas Arbit Issu B.V.	HSI European Wts Mar 2012 A	0.180	18,200 per 8,000 units	2012/03/29	200,000,000	2011/10/03
14860	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Apr 2012 A	0.250	18,000 per 10,000 units	2012/04/27	200,000,000	2011/10/03
14853	BNP Paribas Arbit Issu B.V.	ICBC European Wts Apr 2012	0.360	4.28 per unit	2012/04/03	200,000,000	2011/10/03
14854	BNP Paribas Arbit Issu B.V.	Ping An European Wt Apr 2012	0.430	48.000	2012/04/03	100,000,000	2011/10/03
14855	BNP Paribas Arbit Issu B.V.	Zijin Mining Euro Wts Apr 2012	0.410	2.68 per unit	2012/04/03	88,000,000	2011/10/03
14871	Citigroup Global Mkt H Inc.	HSI European Put Wts Apr 2012	0.250	15,888 per 6,500 units	2012/04/27	100,000,000	2011/10/03
14870	Citigroup Global Mkt H Inc.	HSI European Wts Feb 2012 B	0.150	17,800 per 12,000 units	2012/02/28	100,000,000	2011/10/03
14867	KBC Fin Products Int'l Ltd.	BOCL European Wts Apr 2012	0.250	2.58 per unit	2012/04/03	108,000,000	2011/10/03
14864	KBC Fin Products Int'l Ltd.	CC Bank Euro Wts Apr 2012	0.450	4.98 per unit	2012/04/03	108,000,000	2011/10/03
14865	KBC Fin Products Int'l Ltd.	CC Bank Euro Put Wt Apr 2012	0.250	4.38 per unit	2012/04/03	108,000,000	2011/10/03

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14868	KBC Fin Products Int'l Ltd.	A50 Ch Euro Wts Sep 2012	0.250	9.380	2012/09/18	108,000,000	2011/10/03
14833	KBC Fin Products Int'l Ltd.	HSI European Wts Apr 2012	0.250	16,600 per 12,000 units	2012/04/27	200,000,000	2011/10/03
14834	KBC Fin Products Int'l Ltd.	HSI European Wts May 2012	0.250	15,800 per 14,600 units	2012/05/30	200,000,000	2011/10/03
14869	KBC Fin Products Int'l Ltd.	HSI Euro Put Wts Apr 2012	0.250	16,000 per 7,200 units	2012/04/27	200,000,000	2011/10/03
14861	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Apr 2012	0.330	66.000	2012/04/03	98,000,000	2011/10/03
14862	KBC Fin Products Int'l Ltd.	Hutchison Eur Put Wts Apr 2012	0.300	52.800	2012/04/16	80,000,000	2011/10/03
14866	KBC Fin Products Int'l Ltd.	ICBC European Wts Apr 2012 A	0.350	3.88 per unit	2012/04/03	108,000,000	2011/10/03
14863	KBC Fin Products Int'l Ltd.	Tencent Holdings Eu Wt Apr 2012	0.250	152.88 per 100 units	2012/04/18	108,000,000	2011/10/03
14850	Macquarie Bank Ltd.	CC Bank European Warrants May 2012	0.490	5.28 per unit	2012/05/03	200,000,000	2011/10/03
14831	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2012 A	0.250	16,600 per 8,000 units	2012/06/28	300,000,000	2011/10/03
14849	Macquarie Bank Ltd.	ICBC European Warrants May 2012	0.401	4.20 per unit	2012/05/03	200,000,000	2011/10/03
14832	Macquarie Bank Ltd.	Ping An European Warrants Apr 2012 A	0.496	55.000	2012/04/03	150,000,000	2011/10/03
14829	Macquarie Bank Ltd.	Yurun Food European Warrants Jul 2012	0.250	12.880	2012/07/04	300,000,000	2011/10/03
14830	Macquarie Bank Ltd.	Yurun Food European Warrants Jul 2012A	0.297	10.880	2012/07/04	300,000,000	2011/10/03
14841	Standard Chartered Bank	AIA European Warrants Aug 2012	0.300	22.880	2012/08/03	150,000,000	2011/10/03
14839	Standard Chartered Bank	HSI European Warrants Apr 2012	0.250	17,400 per 8,500 units	2012/04/27	200,000,000	2011/10/03
14842	Standard Chartered Bank	HSI European Put Warrants Apr 2012	0.250	16,600 per 6,500 units	2012/04/27	200,000,000	2011/10/03
14840	Standard Chartered Bank	HSI European Warrants Apr 2012 A	0.250	18,000 per 7,500 units	2012/04/27	200,000,000	2011/10/03
14843	Standard Chartered Bank	HSI European Put Wts Apr 2012 B	0.250	17,200 per 7,500 units	2012/04/27	200,000,000	2011/10/03
14877	UBS AG	Agricultural Bank European Warrants Apr 2012	0.250	3.08 per unit	2012/04/17	100,000,000	2011/10/03
14876	UBS AG	AIA European Warrants Mar 2012 A	0.150	27.050	2012/03/27	200,000,000	2011/10/03
14878	UBS AG	CC Bank European Warrants Mar 2012	0.460	5.19 per unit	2012/03/07	200,000,000	2011/10/03
14844	UBS AG	Cheung Kong European Warrants Dec 2012 B	0.150	88.88 per 100 units	2012/12/20	100,000,000	2011/10/03
14845	UBS AG	Cheung Kong European Warrants Feb 2013	0.150	100 per 100 units	2013/02/25	100,000,000	2011/10/03
14847	UBS AG	HKEx European Warrants May 2012	0.250	108.88 per 100 units	2012/05/14	300,000,000	2011/10/03
14872	UBS AG	HSI European Warrants Apr 2012	0.250	17,000 per 8,000 units	2012/04/27	300,000,000	2011/10/03
14875	UBS AG	ICBC European Warrants Apr 2012	0.460	3.98 per unit	2012/04/17	100,000,000	2011/10/03
14874	UBS AG	Ping An European Warrants Apr 2012	0.420	50.880	2012/04/17	100,000,000	2011/10/03
14846	UBS AG	SHK Ppt European Warrants Jan 2013	0.150	100 per 100 units	2013/01/28	100,000,000	2011/10/03
14873	UBS AG	SPDR Gold Trust European Warrants Apr 2012	0.610	1,400 per 100 units	2012/04/17	100,000,000	2011/10/03
14848	UBS AG	Tencent Holdings European Warrants Apr 2012	0.250	160.88 per 100 units	2012/04/17	200,000,000	2011/10/03
14198 <sup>†</sup>	Citigroup Global Mkt H Inc.	HSI European Put Wts Mar 2012	0.197	17,600 per 8,000 units	2012/03/29	100,000,000	2011/10/03
14879	Barclays Bank plc	HSI European Warrants Mar 2012 B	0.163	18,200 per 8,000 units	2012/03/29	150,000,000	2011/10/04
14899	Credit Suisse AG	BOCL European Warrants Feb 2012 A	0.150	2.99 per unit	2012/02/13	300,000,000	2011/10/04
14897	Credit Suisse AG	China Mobile European Put Wts Jan 2012	0.150	64.990	2012/01/30	200,000,000	2011/10/04
14896	Credit Suisse AG	CNOOC European Put Warrants Jun 2012	0.200	12.780	2012/06/11	200,000,000	2011/10/04
14895	Credit Suisse AG	HKEx European Put Warrants Dec 2012	0.250	114.88 per 100 units	2012/12/31	200,000,000	2011/10/04
14894	Credit Suisse AG	HKEx European Warrants Jun 2012 A	0.150	128.90 per 100 units	2012/06/11	300,000,000	2011/10/04
14898	Credit Suisse AG	ICBC European Warrants Apr 2012	0.250	4.48 per unit	2012/04/10	300,000,000	2011/10/04
14900	KBC Fin Products Int'l Ltd.	HKEx Euro Warrants Apr 2012	0.250	109.98 per 100 units	2012/04/05	108,000,000	2011/10/04
14881	Macquarie Bank Ltd.	HKEx European Put Warrants Dec 2012	0.254	120 per 100 units	2012/12/04	300,000,000	2011/10/04
14880	Macquarie Bank Ltd.	Ping An European Put Wts Apr 2012 B	0.301	35.000	2012/04/03	150,000,000	2011/10/04
14884	Standard Chartered Bank	AIA European Warrants Jun 2012 A	0.250	24.280	2012/06/01	150,000,000	2011/10/04

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14887	Standard Chartered Bank	BOCL European Warrants Sep 2012	0.400	2.28 per unit	2012/09/03	100,000,000	2011/10/04
14888	Standard Chartered Bank	BOCL European Put Wts Mar 2013	0.250	2 per unit	2013/03/01	100,000,000	2011/10/04
14886	Standard Chartered Bank	BOCL European Warrants Apr 2012 A	0.250	2.688 per unit	2012/04/13	100,000,000	2011/10/04
14882	Standard Chartered Bank	HSI European Warrants Feb 2012	0.150	17,800 per 12,000 units	2012/02/28	400,000,000	2011/10/04
14883	Standard Chartered Bank	HSI European Warrants Apr 2012 C	0.250	16,800 per 9,500 units	2012/04/27	400,000,000	2011/10/04
14889	Standard Chartered Bank	HSI European Put Wts Apr 2012 D	0.250	16,000 per 6,500 units	2012/04/27	200,000,000	2011/10/04
14885	Standard Chartered Bank	Ping An European Warrants May 2012	0.500	48.000	2012/05/25	100,000,000	2011/10/04
14890	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Apr 12	0.480	21.900	2012/04/11	80,000,000	2011/10/04
14891	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Apr 2012	0.570	31.550	2012/04/11	50,000,000	2011/10/04
14892	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 12A	0.790	42.880	2012/04/11	80,000,000	2011/10/04
14893	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Apr 12	0.330	16.280	2012/04/11	100,000,000	2011/10/04
14909	UBS AG	BYD European Warrants Jul 2012	0.250	15.880	2012/07/16	100,000,000	2011/10/04
14908	UBS AG	CNOOC European Warrants Nov 2012 A	0.150	15.900	2012/11/12	200,000,000	2011/10/04
14910	UBS AG	CPIC European Warrants May 2012	0.250	26.880	2012/05/14	100,000,000	2011/10/04
14904	UBS AG	HSBC European Warrants Apr 2012	0.250	77.000	2012/04/17	200,000,000	2011/10/04
14901	UBS AG	HSI European Warrants May 2012	0.250	16,000 per 12,000 units	2012/05/30	300,000,000	2011/10/04
14902	UBS AG	HSI European Warrants Jun 2012	0.250	15,000 per 13,000 units	2012/06/28	300,000,000	2011/10/04
14903	UBS AG	HSI European Put Warrants Mar 2012	0.220	19,000 per 10,000 units	2012/03/29	300,000,000	2011/10/04
14905	UBS AG	Hutchison European Warrants Mar 2012 D	0.300	75.700	2012/03/12	200,000,000	2011/10/04
14906	UBS AG	Jiangxi Copper European Warrants Jun 2012	0.250	16.880	2012/06/11	100,000,000	2011/10/04
14907	UBS AG	Tencent Holdings European Warrants Mar 2012	0.150	185 per 100 units	2012/03/27	200,000,000	2011/10/04
14913	J P Morgan SP BV	ICBC European Warrants Apr 2012	0.497	4.18 per unit	2012/04/10	150,000,000	2011/10/06
14912	J P Morgan SP BV	Ping An European Warrants Apr 2012 A	0.475	51.880	2012/04/10	150,000,000	2011/10/06
14921	J P Morgan SP BV	Yurun Food European Warrants Dec 2012	0.250	8.880	2012/12/03	150,000,000	2011/10/06
14916	Macquarie Bank Ltd.	CHALCO European Warrants Jun 2012	0.340	4.88 per unit	2012/06/04	150,000,000	2011/10/06
14922	Macquarie Bank Ltd.	Cathay Pacific European Wts Dec 2013	0.150	16.880	2013/12/30	200,000,000	2011/10/06
14914	Macquarie Bank Ltd.	New World Dev European Wts Apr 2012	0.331	9 per unit	2012/04/05	100,000,000	2011/10/06
14915	Macquarie Bank Ltd.	Wharf European Warrants May 2012	0.372	48.880	2012/05/03	200,000,000	2011/10/06
14911	CC Rabobank B.A.	Evergrande European Warrants Apr 2012	0.460	3.30 per unit	2012/04/23	100,000,000	2011/10/06
14917	Standard Chartered Bank	HKEx European Warrants Dec 2012	0.250	120.88 per 100 units	2012/12/06	150,000,000	2011/10/06
14918	Standard Chartered Bank	HKEx European Warrants Aug 2013	0.250	133.88 per 100 units	2013/08/01	150,000,000	2011/10/06
14919	Standard Chartered Bank	Tencent Hldg European Wts Aug 2012	0.250	168 per 100 units	2012/08/03	150,000,000	2011/10/06
14920	Standard Chartered Bank	Tencent Hldg European Wts Oct 2012	0.250	180.88 per 100 units	2012/10/31	150,000,000	2011/10/06
14928	UBS AG	BOCL European Warrants Feb 2012	0.150	2.99 per unit	2012/02/13	100,000,000	2011/10/06
14925	UBS AG	China Mobile European Warrants Feb 2012	0.600	78.930	2012/02/20	200,000,000	2011/10/06
14927	UBS AG	China Life European Warrants Mar 2012 A	0.160	20.940	2012/03/05	300,000,000	2011/10/06
14924	UBS AG	CNOOC European Warrants Jun 2012 A	0.170	14.600	2012/06/18	200,000,000	2011/10/06
14926	UBS AG	China Shenhua European Warrants May 2012	0.300	35.000	2012/05/14	100,000,000	2011/10/06
14923	UBS AG	PetroChina European Warrants Jan 2013	0.220	9.000	2013/01/28	200,000,000	2011/10/06
23896 <sup>9</sup>	Credit Suisse AG	China Mobile European Put Warrants Dec 11	0.061	79.99 per 100 units	2011/12/23	300,000,000	2011/10/06
14931	BNP Paribas Arbit Issu B.V.	CLP European Warrants May 2012	0.360	78.000	2012/05/03	50,000,000	2011/10/10
14938	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts May 2012 A	0.360	12.88 per unit	2012/05/03	100,000,000	2011/10/10

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14930	Citigroup Global Mkt H Inc.	A50 China Euro Wts Aug 2012	0.150	10.888	2012/08/06	80,000,000	2011/10/10
14929	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Apr 2012	0.250	14.380	2012/04/17	80,000,000	2011/10/10
14939	KBC Fin Products Int'l Ltd.	HKEx European Put Wts Sep 2012	0.250	116 per 100 units	2012/09/03	108,000,000	2011/10/10
14937	SGA Societe Generale Acceptance N.V.	BOCHK Eur Wt May 12 A	0.250	17.380	2012/05/07	150,000,000	2011/10/10
14932	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wts May12A	0.180	17.380	2012/05/07	100,000,000	2011/10/10
14935	UBS AG	AIA European Warrants Apr 2012 A	0.250	23.380	2012/04/17	200,000,000	2011/10/10
14936	UBS AG	A50 China European Warrants Jun 2013	0.200	9.610	2013/06/06	300,000,000	2011/10/10
14934	UBS AG	HKEx European Warrants Jun 2012 A	0.250	98.88 per 100 units	2012/06/11	500,000,000	2011/10/10
14933	UBS AG	Hutchison European Warrants Apr 2012	0.500	63.930	2012/04/03	200,000,000	2011/10/10
14961	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wts Jul 2012	0.930	4.68 per unit	2012/07/04	100,000,000	2011/10/11
14965	BNP Paribas Arbit Issu B.V.	A50 China Euro Put Wt Aug 2012	0.260	10.680	2012/08/02	100,000,000	2011/10/11
14962	BNP Paribas Arbit Issu B.V.	HSBC European Put Wts Jun 2012	0.990	58.880	2012/06/04	100,000,000	2011/10/11
14964	BNP Paribas Arbit Issu B.V.	HSI European Wts Oct 2012	0.260	17,000 per 8,000 units	2012/10/30	200,000,000	2011/10/11
14963	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Oct 2012	0.250	17,000 per 12,000 units	2012/10/30	200,000,000	2011/10/11
14960	BNP Paribas Arbit Issu B.V.	ICBC European Put Wts Jul 2012	0.710	3.68 per unit	2012/07/04	100,000,000	2011/10/11
14958	Credit Suisse AG	HSI European Warrants Apr 2012	0.150	16,650 per 12,000 units	2012/04/27	400,000,000	2011/10/11
14959	Credit Suisse AG	HSI European Warrants May 2012	0.150	15,850 per 14,600 units	2012/05/30	400,000,000	2011/10/11
14957	KBC Fin Products Int'l Ltd.	Agricultural Bk Eu Wt Apr2012A	0.250	2.58 per unit	2012/04/11	108,000,000	2011/10/11
14955	KBC Fin Products Int'l Ltd.	AIA Euro Put Warrants May 2012	0.250	19.880	2012/05/02	108,000,000	2011/10/11
14956	KBC Fin Products Int'l Ltd.	AIA Euro Warrants Apr 2012 A	0.250	22.380	2012/04/16	108,000,000	2011/10/11
14975	KBC Fin Products Int'l Ltd.	BOC HK European Wts May 2012	0.250	15.980	2012/05/02	108,000,000	2011/10/11
14974	KBC Fin Products Int'l Ltd.	BOC HK Euro Put Wts May 2012 A	0.250	14.880	2012/05/18	80,000,000	2011/10/11
14954	KBC Fin Products Int'l Ltd.	A50 Ch Euro Put Wts Oct 2012	0.250	10.580	2012/10/03	80,000,000	2011/10/11
14977	KBC Fin Products Int'l Ltd.	HSBC Euro Put Wts Apr 2012 A	0.250	46.880	2012/04/11	98,000,000	2011/10/11
14976	KBC Fin Products Int'l Ltd.	HSCEI Euro Put Wts Apr 2012	0.250	7,800 per 3,800 units	2012/04/27	100,000,000	2011/10/11
14947	Macquarie Bank Ltd.	Bank of EA European Warrants Oct 2012	0.342	25.000	2012/10/04	200,000,000	2011/10/11
14941	Macquarie Bank Ltd.	CC Bank European Warrants May 2012 A	0.649	4.88 per unit	2012/05/03	200,000,000	2011/10/11
14940	Macquarie Bank Ltd.	Minsheng Bank European Wts May 2012	0.514	5.20 per unit	2012/05/03	200,000,000	2011/10/11
14943	Macquarie Bank Ltd.	Galaxy Ent European Warrants May 2012	0.257	12.880	2012/05/03	200,000,000	2011/10/11
14948	Macquarie Bank Ltd.	HKEx European Warrants Oct 2012	0.250	110 per 100 units	2012/10/03	200,000,000	2011/10/11
14953	Macquarie Bank Ltd.	HSCEI European Put Warrants Jun 2012	0.250	7,500 per 4,500 units	2012/06/28	200,000,000	2011/10/11
14952	Macquarie Bank Ltd.	HSI European Put Warrants May 2012 A	0.250	16,000 per 8,000 units	2012/05/30	300,000,000	2011/10/11
14942	Macquarie Bank Ltd.	Sands China European Warrants May 2012	0.358	18.880	2012/05/03	200,000,000	2011/10/11
14945	Macquarie Bank Ltd.	SJM Holdings European Wts Apr 2012	0.288	13.880	2012/04/17	200,000,000	2011/10/11
14946	Macquarie Bank Ltd.	Tencent European Warrants May 2012	0.265	160 per 100 units	2012/05/02	200,000,000	2011/10/11
14951	Macquarie Bank Ltd.	Tencent European Put Wts Oct 2012 A	0.250	140 per 100 units	2012/10/04	200,000,000	2011/10/11
14978	Standard Chartered Bank	HSI European Warrants Apr 2012 E	0.260	15,800 per 10,000 units	2012/04/27	300,000,000	2011/10/11
14979	Standard Chartered Bank	HSI European Put Wts Apr 2012 F	0.250	15,400 per 6,000 units	2012/04/27	300,000,000	2011/10/11
14968	UBS AG	AIA European Warrants May 2012	0.300	21.330	2012/05/07	200,000,000	2011/10/11
14970	UBS AG	BOCL European Warrants Mar 2012 A	0.250	2.59 per unit	2012/03/26	100,000,000	2011/10/11
14971	UBS AG	CC Bank European Warrants Apr 2012	0.480	4.88 per unit	2012/04/17	200,000,000	2011/10/11
14950	UBS AG	Cheung Kong European Warrants Dec 2013	0.250	78.88 per 100 units	2013/12/23	200,000,000	2011/10/11
14967	UBS AG	China Life European Warrants Mar 2012 B	0.250	18.200	2012/03/21	300,000,000	2011/10/11

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
14949	UBS AG	CNOOC European Warrants Apr 2012 A	0.250	11.880	2012/04/17	200,000,000	2011/10/11
14972	UBS AG	A50 China European Warrants Sep 2012	0.170	9.390	2012/09/11	200,000,000	2011/10/11
14969	UBS AG	HKEx European Warrants May 2012 A	0.250	88.88 per 100 units	2012/05/07	300,000,000	2011/10/11
14973	UBS AG	Ping An European Warrants Apr 2012 A	0.460	43.880	2012/04/24	200,000,000	2011/10/11
14966	UBS AG	Tencent Holdings European Warrants May 2012	0.250	150 per 100 units	2012/05/28	200,000,000	2011/10/11
14986	BOCI Asia Ltd.	CC Bank European Warrants Apr 2012	0.330	5.50 per unit	2012/04/10	100,000,000	2011/10/12
14987	BOCI Asia Ltd.	Ping An European Warrants Apr 2012 A	0.335	49.880	2012/04/16	100,000,000	2011/10/12
14985	BOCI Asia Ltd.	Tracker Fund European Put Warrants Apr 2012	0.250	17.000	2012/04/16	100,000,000	2011/10/12
14996	BNP Paribas Arbit Issu B.V.	BOCL European Wts Jun 2012	0.250	2.40 per unit	2012/06/04	200,000,000	2011/10/12
14995	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wts Jun 2012	0.700	4.68 per unit	2012/06/04	50,000,000	2011/10/12
14994	BNP Paribas Arbit Issu B.V.	HKEx European Wts Dec 2013	0.250	108 per 100 units	2013/12/03	90,000,000	2011/10/12
14993	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2012 A	0.690	60.880	2012/07/04	100,000,000	2011/10/12
14997	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2012	0.480	3.88 per unit	2012/08/02	200,000,000	2011/10/12
15003	J P Morgan SP BV	BOCL European Warrants Apr 2012 A	0.250	2.48 per unit	2012/04/12	200,000,000	2011/10/12
15001	J P Morgan SP BV	China Mob European Wts Apr 2012	0.415	80.880	2012/04/12	150,000,000	2011/10/12
15002	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2012 A	0.377	4.88 per unit	2012/04/12	200,000,000	2011/10/12
14998	J P Morgan SP BV	HSBC European Warrants Apr 2012 B	0.482	62.880	2012/04/12	200,000,000	2011/10/12
14980	J P Morgan SP BV	HSI European Warrants Mar 2012	0.172	17,500 per 9,000 units	2012/03/29	200,000,000	2011/10/12
14981	J P Morgan SP BV	HSI European Warrants Apr 2012	0.250	16,188 per 10,000 units	2012/04/27	200,000,000	2011/10/12
14982	J P Morgan SP BV	HSI European Warrants Apr 2012 A	0.250	16,888 per 8,000 units	2012/04/27	200,000,000	2011/10/12
14999	J P Morgan SP BV	HSI European Put Warrants Apr 2012 B	0.250	15,800 per 7,500 units	2012/04/27	200,000,000	2011/10/12
15000	J P Morgan SP BV	HSI European Put Warrants Apr 2012 C	0.252	16,200 per 8,000 units	2012/04/27	200,000,000	2011/10/12
15006	KBC Fin Products Int'l Ltd.	Hutchison Euro Wts Apr 2012 A	0.430	59.880	2012/04/12	98,000,000	2011/10/12
14983	Macquarie Bank Ltd.	Anhui Conch European Warrants Apr 2012	0.251	25.000	2012/04/16	200,000,000	2011/10/12
14992	Macquarie Bank Ltd.	HKEx European Put Warrants Jan 2013	0.250	105 per 100 units	2013/01/03	300,000,000	2011/10/12
14988	Macquarie Bank Ltd.	HSI European Warrants Apr 2012 B	0.250	17,400 per 8,000 units	2012/04/27	300,000,000	2011/10/12
14984	Macquarie Bank Ltd.	Tencent European Warrants Jun 2012 A	0.250	150 per 100 units	2012/06/04	250,000,000	2011/10/12
15007	Standard Chartered Bank	HSBC European Warrants Dec 2015 A	0.250	52.88 per 100 units	2015/12/30	150,000,000	2011/10/12
14989	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Dec 2012C	0.150	88.89 per 100 units	2012/12/24	300,000,000	2011/10/12
14990	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2012	0.250	16,600 per 8,500 units	2012/04/27	300,000,000	2011/10/12
14991	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 2012	0.250	16,800 per 9,500 units	2012/04/27	300,000,000	2011/10/12
15004	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2012 A	0.250	15,800 per 10,000 units	2012/04/27	300,000,000	2011/10/12
15005	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr2012B	0.250	16,000 per 8,000 units	2012/04/27	300,000,000	2011/10/12
15008	UBS AG	HSI European Warrants Apr 2012 A	0.250	15,500 per 9,000 units	2012/04/27	300,000,000	2011/10/12
15009	UBS AG	HSI European Warrants Apr 2012 B	0.150	16,600 per 12,000 units	2012/04/27	300,000,000	2011/10/12
15012	Citigroup Global Mkt H Inc.	HSCEI European Wts Mar 2012	0.150	9,000 per 5,000 units	2012/03/29	100,000,000	2011/10/13
15015	Macquarie Bank Ltd.	Angang Steel European Wts Apr 2012	0.419	4.38 per unit	2012/04/13	100,000,000	2011/10/13
15010	Macquarie Bank Ltd.	China Coal European Warrants May 2012	0.520	8.50 per unit	2012/05/03	100,000,000	2011/10/13
15011	Macquarie Bank Ltd.	Henganintl European Warrants Apr 2012	0.365	68.880	2012/04/13	200,000,000	2011/10/13



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15013	Macquarie Bank Ltd.	HSI European Put Warrants May 2012 B	0.261	15,600 per 8,000 units	2012/05/30	300,000,000	2011/10/13
15014	Macquarie Bank Ltd.	HSI European Put Warrants Jun 2012 B	0.250	15,000 per 8,000 units	2012/06/28	300,000,000	2011/10/13
15018	Standard Chartered Bank	AIA European Warrants Jul 2012	0.350	18.880	2012/07/06	150,000,000	2011/10/13
15020	Standard Chartered Bank	BOCL European Warrants Nov 2012	0.400	2.08 per unit	2012/11/05	100,000,000	2011/10/13
15017	Standard Chartered Bank	China Mobile Euro Wts Jun 2015	0.150	70.90 per 100 units	2015/06/22	100,000,000	2011/10/13
15016	Standard Chartered Bank	CC Bank European Wts Apr 2012	0.600	4.44 per unit	2012/04/16	100,000,000	2011/10/13
15019	Standard Chartered Bank	ICBC European Warrants Aug 2012	0.500	3.33 per unit	2012/08/06	100,000,000	2011/10/13
15023	Macquarie Bank Ltd.	HKEx European Warrants Apr 2012	0.154	109.98 per 100 units	2012/04/03	300,000,000	2011/10/14
15022	Macquarie Bank Ltd.	HKEx European Put Warrants Jul 2012	0.250	110.08 per 100 units	2012/07/04	300,000,000	2011/10/14
15021	Standard Chartered Bank	HKEx European Warrants Dec 2012 A	0.250	92 per 100 units	2012/12/05	150,000,000	2011/10/14
15025	UBS AG	China Mobile European Warrants Apr 2012 A	0.380	74.000	2012/04/17	200,000,000	2011/10/14
15024	UBS AG	Hutchison European Warrants Feb 2012	0.220	80.050	2012/02/23	200,000,000	2011/10/14
15034	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Apr 2012	0.430	80.000	2012/04/05	200,000,000	2011/10/17
15037	Macquarie Bank Ltd.	Sinopec Corp European Wts Jul 2012	0.851	8 per unit	2012/07/04	150,000,000	2011/10/17
15036	Macquarie Bank Ltd.	Hang Lung Ppt European Wts May 2012	0.254	26.880	2012/05/03	150,000,000	2011/10/17
15026	Macquarie Bank Ltd.	Hutchison European Warrants Mar 2012 D	0.310	75.680	2012/03/09	200,000,000	2011/10/17
15035	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jun 2012	0.265	20.000	2012/06/04	150,000,000	2011/10/17
15033	Macquarie Bank Ltd.	Zijin Mining European Wts Jun 2012	0.403	2.98 per unit	2012/06/04	100,000,000	2011/10/17
15031	CC Rabobank B.A.	AIA European Warrants Jun 2012 A	0.350	22.880	2012/06/18	200,000,000	2011/10/17
15030	CC Rabobank B.A.	Ch Mobile European Warrants Jun 2015	0.150	70.90 per 100 units	2015/06/22	200,000,000	2011/10/17
15029	CC Rabobank B.A.	CNOOC European Warrants Aug 2012	0.250	13.480	2012/08/20	100,000,000	2011/10/17
15027	CC Rabobank B.A.	HSBC European Warrants Oct 2015	0.150	63.90 per 100 units	2015/10/05	200,000,000	2011/10/17
15028	CC Rabobank B.A.	HSBC European Put Warrants Apr 2012	0.700	58.990	2012/04/10	200,000,000	2011/10/17
15039	UBS AG	CNOOC European Warrants Nov 2012 B	0.150	17.300	2012/11/27	200,000,000	2011/10/17
15038	UBS AG	Sinopec Corp European Warrants Dec 2014	0.210	6.890	2014/12/29	200,000,000	2011/10/17
15032	UBS AG	A50 China European Warrants Oct 2013	0.150	13.040	2013/10/28	200,000,000	2011/10/17
12323 <sup>a</sup>	Citigroup Global Mkt H Inc.	GCL-Poly Energy Euro Wt Aug 13	0.054	3.340	2013/08/23	185,190,000	2011/10/17
15040	Barclays Bank plc	Hutchison European Warrants Mar 2012 A	0.404	74.000	2012/03/20	100,000,000	2011/10/18
15041	Barclays Bank plc	ICBC European Warrants Jul 2012	0.250	4.70 per unit	2012/07/16	100,000,000	2011/10/18
15042	J P Morgan SP BV	China Mob European Put Warrants Apr 2012	0.258	62.000	2012/04/18	150,000,000	2011/10/18
15050	Macquarie Bank Ltd.	BOCL European Warrants Apr 2012 A	0.299	3 per unit	2012/04/18	150,000,000	2011/10/18
15049	Macquarie Bank Ltd.	HSI European Warrants Apr 2012 C	0.274	17,800 per 9,000 units	2012/04/27	300,000,000	2011/10/18
15047	CC Rabobank B.A.	AIA European Put Warrants Jun 2012	0.250	21.000	2012/06/18	150,000,000	2011/10/18
15046	CC Rabobank B.A.	Ch Mobile European Put Wts Apr 2012	0.400	63.000	2012/04/30	100,000,000	2011/10/18
15043	CC Rabobank B.A.	Sinopec Corp European Warrants Apr 2012	0.850	7.25 per unit	2012/04/30	100,000,000	2011/10/18
15045	CC Rabobank B.A.	China Shenhua European Warrants Jul 2012	0.400	34.000	2012/07/16	150,000,000	2011/10/18
15044	CC Rabobank B.A.	PetroChina European Warrants Apr 2012	0.800	10 per unit	2012/04/30	100,000,000	2011/10/18
15048	Standard Chartered Bank	China Mobile Euro Wts Aug 2012	0.320	88.880	2012/08/03	100,000,000	2011/10/18
15051	UBS AG	CC Bank European Warrants Apr 2012 A	0.300	6.50 per unit	2012/04/23	200,000,000	2011/10/18
15052	UBS AG	China Life European Warrants Apr 2012	0.150	24.050	2012/04/16	300,000,000	2011/10/18
15053	J P Morgan SP BV	HSBC European Put Warrants Apr 2014	0.250	68.88 per 100 units	2014/04/07	200,000,000	2011/10/19
15054	J P Morgan SP BV	Tencent European Put Warrants Jul 2012	0.250	160 per 100 units	2012/07/03	200,000,000	2011/10/19

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15055	KBC Fin Products Int'l Ltd.	Cheung Kong Eur Wts Sep 2012	0.150	96.88 per 100 units	2012/09/21	108,000,000	2011/10/19
15062	Bank of East Asia	BOCHK European Warrants Jun 2012	0.250	20.000	2012/06/29	75,000,000	2011/10/20
15061	Bank of East Asia	China Overseas Euro Warrants Jun 2012	0.250	13.688	2012/06/04	110,000,000	2011/10/20
15058	Bank of East Asia	China Coal European Warrants Nov 2013	0.250	9.000	2013/11/20	170,000,000	2011/10/20
15056	Bank of East Asia	CM Bank European Warrants Dec 2012	0.250	13.968	2012/12/21	110,000,000	2011/10/20
15060	Bank of East Asia	Shenhua European Warrants Apr 2012	0.250	37.088	2012/04/23	60,000,000	2011/10/20
15057	Bank of East Asia	R&F Ppt European Warrants Nov 2013	0.250	5.777	2013/11/20	270,000,000	2011/10/20
15063	Bank of East Asia	ICBC European Put Warrants Jun 2012	0.250	3.50 per unit	2012/06/08	80,000,000	2011/10/20
15059	Bank of East Asia	Yanzhou Coal European Warrants Jun 2012	0.250	21.771	2012/06/22	70,000,000	2011/10/20
15067	UBS AG	Agricultural Bank European Warrants Apr 2012 A	0.160	4.01 per unit	2012/04/10	200,000,000	2011/10/20
15064	UBS AG	AIA European Warrants Mar 2012 B	0.150	28.930	2012/03/05	200,000,000	2011/10/20
15066	UBS AG	China Life European Warrants May 2012	0.150	25.050	2012/05/28	300,000,000	2011/10/20
15068	UBS AG	HSI European Warrants Aug 2012	0.150	20,000 per 8,000 units	2012/08/30	300,000,000	2011/10/20
15069	UBS AG	HSI European Put Warrants Jun 2012	0.320	20,000 per 10,000 units	2012/06/28	300,000,000	2011/10/20
15070	UBS AG	HSI European Put Warrants Jun 2012 A	0.180	17,000 per 8,500 units	2012/06/28	300,000,000	2011/10/20
15065	UBS AG	Hutchison European Warrants Mar 2012 E	0.270	84.930	2012/03/05	200,000,000	2011/10/20
15071	BNP Paribas Arbit Issu B.V.	HSI European Wts Jul 2012	0.270	18,600 per 8,000 units	2012/07/30	200,000,000	2011/10/21
15072	Macquarie Bank Ltd.	China Mobile European Wts Jun 2012	0.379	83.800	2012/06/04	200,000,000	2011/10/21
15073	Macquarie Bank Ltd.	China Tel European Warrants Jun 2012	0.413	5.50 per unit	2012/06/04	200,000,000	2011/10/21
15076	Barclays Bank plc	CC Bank European Warrants Jul 2012	0.251	6.48 per unit	2012/07/30	100,000,000	2011/10/24
15077	Barclays Bank plc	China Life European Warrants Jul 2013	0.250	26.600	2013/07/29	100,000,000	2011/10/24
15074	Barclays Bank plc	HSI European Warrants Aug 2012	0.253	19,700 per 8,000 units	2012/08/30	150,000,000	2011/10/24
15078	Barclays Bank plc	HSI European Put Warrants Aug 2012	0.252	16,800 per 8,000 units	2012/08/30	150,000,000	2011/10/24
15075	Barclays Bank plc	Tencent Holdings European Wts Jul 2012	0.251	182.20 per 100 units	2012/07/03	100,000,000	2011/10/24
15079	Macquarie Bank Ltd.	HSI European Warrants May 2012	0.250	19,600 per 8,000 units	2012/05/30	300,000,000	2011/10/24
15082	UBS AG	China Mobile European Warrants Apr 2012 B	0.420	82.880	2012/04/30	200,000,000	2011/10/24
15080	UBS AG	HKEx European Warrants Nov 2012	0.150	141.10 per 100 units	2012/11/26	300,000,000	2011/10/24
15083	UBS AG	Ping An European Warrants Jun 2012	0.620	70.000	2012/06/18	200,000,000	2011/10/24
15084	UBS AG	Ping An European Warrants Dec 2012	0.150	53.04 per 100 units	2012/12/11	200,000,000	2011/10/24
15081	UBS AG	Tencent Holdings European Warrants Jul 2012	0.200	198.98 per 100 units	2012/07/16	200,000,000	2011/10/24
15091	HK Bank	HSI European Warrants May 2012	0.250	15,888 per 11,000 units	2012/05/30	300,000,000	2011/10/25
15092	HK Bank	HSI European Put Warrants Apr 2012	0.150	16,000 per 8,000 units	2012/04/27	300,000,000	2011/10/25
15093	HK Bank	HSI European Put Warrants Jun 2012	0.150	15,000 per 8,000 units	2012/06/28	300,000,000	2011/10/25
15090	HK Bank	HSI European Warrants Apr 2012 A	0.250	16,800 per 9,000 units	2012/04/27	300,000,000	2011/10/25
15088	Macquarie Bank Ltd.	CC Bank European Warrants May 2012 B	0.307	6.28 per unit	2012/05/03	180,000,000	2011/10/25
15094	Macquarie Bank Ltd.	China Life European Wts Jun 2012	0.263	20.500	2012/06/04	180,000,000	2011/10/25
15086	Macquarie Bank Ltd.	A50 China European Warrants Aug 2012	0.150	10.880	2012/08/02	200,000,000	2011/10/25
15087	Macquarie Bank Ltd.	A50 China European Warrants Oct 2013	0.155	13.020	2013/10/28	200,000,000	2011/10/25
15085	Macquarie Bank Ltd.	HKEx European Warrants Jun 2012	0.151	128.88 per 100 units	2012/06/06	300,000,000	2011/10/25
15089	Macquarie Bank Ltd.	ICBC European Warrants Apr 2012	0.312	4.88 per unit	2012/04/25	180,000,000	2011/10/25
15106	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Aug 2012	0.380	2.20 per unit	2012/08/02	47,000,000	2011/10/26
15107	BNP Paribas Arbit Issu B.V.	HKEx European Wts Dec 2012	0.190	120.98 per 100 units	2012/12/04	100,000,000	2011/10/26
15108	BNP Paribas Arbit Issu B.V.	ICBC European Wts Sep 2012	0.500	4.68 per unit	2012/09/04	200,000,000	2011/10/26

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15105	BNP Paribas Arbit Issu B.V.	Tsingtao Brew Euro Wt Aug 2012	0.690	45.800	2012/08/02	26,000,000	2011/10/26
15099	HK Bank	AIA European Warrants Apr 2012 A	0.250	24.380	2012/04/26	100,000,000	2011/10/26
15100	HK Bank	China Life European Warrants May 2012 A	0.250	20.180	2012/05/18	100,000,000	2011/10/26
15095	HK Bank	HSI European Warrants Aug 2013	0.250	20,000 per 10,000 units	2013/08/29	300,000,000	2011/10/26
15096	HK Bank	HSI European Warrants Dec 2014	0.250	22,000 per 10,000 units	2014/12/30	300,000,000	2011/10/26
15097	HK Bank	HSI European Put Warrants Apr 2012 B	0.150	16,800 per 9,500 units	2012/04/27	250,000,000	2011/10/26
15098	HK Bank	HWL European Warrants Apr 2012	0.500	70.380	2012/04/26	100,000,000	2011/10/26
15103	J P Morgan SP BV	HSI European Warrants Apr 2012 D	0.250	18,400 per 8,000 units	2012/04/27	200,000,000	2011/10/26
15104	J P Morgan SP BV	HSI European Put Warrants Apr 2012 E	0.250	17,700 per 8,000 units	2012/04/27	200,000,000	2011/10/26
15101	Standard Chartered Bank	HSI European Warrants Feb 2012 A	0.150	19,450 per 8,000 units	2012/02/28	200,000,000	2011/10/26
15102	Standard Chartered Bank	HSI European Put Wts Mar 2012 A	0.220	18,250 per 9,000 units	2012/03/29	200,000,000	2011/10/26
15112	HK Bank	Agricultural Bank European Warrants Aug 2012	0.250	3.68 per unit	2012/08/30	100,000,000	2011/10/27
15111	HK Bank	Agricultural Bank European Warrants Apr 2012 A	0.250	3.18 per unit	2012/04/27	100,000,000	2011/10/27
15110	HK Bank	CC Bank European Warrants Apr 2012	0.410	5.38 per unit	2012/04/27	120,000,000	2011/10/27
15113	HK Bank	CC Bank European Put Warrants Apr 2012	0.250	4.50 per unit	2012/04/27	100,000,000	2011/10/27
15114	HK Bank	HSCEI European Warrants Aug 2012	0.250	8,888 per 6,000 units	2012/08/30	200,000,000	2011/10/27
15115	HK Bank	HSCEI European Warrants Dec 2013	0.250	10,000 per 6,800 units	2013/12/30	200,000,000	2011/10/27
15116	HK Bank	HSCEI European Put Warrants Dec 2012	0.250	8,200 per 5,000 units	2012/12/28	200,000,000	2011/10/27
15119	J P Morgan SP BV	BOC HK European Warrants Jun 2012 A	0.250	18.880	2012/06/28	200,000,000	2011/10/27
15120	J P Morgan SP BV	China Unicom European Put Wts Sep 2012	0.250	13.880	2012/09/27	200,000,000	2011/10/27
15118	J P Morgan SP BV	China Mob European Wts Apr 2012 A	0.250	86.880	2012/04/27	200,000,000	2011/10/27
15109	Macquarie Bank Ltd.	PICC P&C European Warrants Jul 2012	0.253	11.500	2012/07/04	200,000,000	2011/10/27
15117	Standard Chartered Bank	CC Bank European Wts Sep 2012 A	0.700	5.35 per unit	2012/09/28	100,000,000	2011/10/27
15121	UBS AG	China Unicom European Warrants Jul 2012	0.160	18.020	2012/07/09	100,000,000	2011/10/27
15122	UBS AG	China Life European Warrants Apr 2012 A	0.270	17.480	2012/04/20	300,000,000	2011/10/27
15127	HK Bank	A50 China European Warrants Dec 2014	0.250	11.280	2014/12/30	150,000,000	2011/10/28
15123	HK Bank	HKEx European Warrants Nov 2012	0.150	120.98 per 100 units	2012/11/29	100,000,000	2011/10/28
15124	HK Bank	Tencent European Warrants Apr 2012 A	0.290	146 per 100 units	2012/04/30	100,000,000	2011/10/28
15125	HK Bank	Tencent European Warrants May 2012	0.250	168.88 per 100 units	2012/05/28	100,000,000	2011/10/28
15126	HK Bank	Tencent European Put Warrants Dec 2012	0.250	138 per 100 units	2012/12/28	100,000,000	2011/10/28
15129	J P Morgan SP BV	AIA European Put Warrants Jun 2012	0.250	20.880	2012/06/28	200,000,000	2011/10/28
15128	J P Morgan SP BV	Stanchart European Warrants Sep 2012	0.250	188.88 per 100 units	2012/09/27	200,000,000	2011/10/28
29781*	Standard Chartered Bank	ICBC European Warrants Dec 2012	0.033	5 per 10 units	2012/12/10	320,000,000	2011/10/28
10141	BNP Paribas Arbit Issu B.V.	EUR/USD European Wts Jun 2012	0.250	1.53 per 1 units	2012/06/12	100,000,000	2011/10/31
10142	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wts Jun 2012	0.250	1.20 per 1 units	2012/06/12	100,000,000	2011/10/31
15132	HK Bank	CHALCO European Warrants May 2012 A	0.250	4.50 per unit	2012/05/25	68,000,000	2011/10/31
15133	HK Bank	Evergrande European Warrants Nov 2012	0.250	3.88 per unit	2012/11/22	80,000,000	2011/10/31
15134	HK Bank	A50 China European Warrants Aug 2012	0.150	10.900	2012/08/01	100,000,000	2011/10/31
15130	HK Bank	Jiangxi Copper European Warrants May 2012 A	0.250	17.180	2012/05/30	100,000,000	2011/10/31
15131	HK Bank	PICC European Warrants Oct 2012	0.250	9.880	2012/10/18	100,000,000	2011/10/31

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15135	UBS AG	HSBC European Warrants Jun 2012	0.250	82.000	2012/06/18	300,000,000	2011/10/31
15136	UBS AG	HSI European Put Warrants Mar 2012 C	0.150	17,600 per 8,000 units	2012/03/29	300,000,000	2011/10/31
15138	BOCI Asia Ltd.	ICBC European Warrants Apr 2012	0.150	5.58 per unit	2012/04/16	100,000,000	2011/11/01
15144	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts May 2012	0.260	18,800 per 8,000 units	2012/05/30	200,000,000	2011/11/01
15137	HK Bank	China Shenhua European Warrants Jun 2012	0.270	39.280	2012/06/15	80,000,000	2011/11/01
15142	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants May 2012 A	0.254	3.88 per unit	2012/05/03	200,000,000	2011/11/01
15143	Macquarie Bank Ltd.	Minsheng Bank European Wts May 2012 A	0.360	7 per unit	2012/05/03	100,000,000	2011/11/01
15139	Standard Chartered Bank	HSBC European Warrants May 2012 A	0.400	68.680	2012/05/02	150,000,000	2011/11/01
15140	Standard Chartered Bank	Hutchison Euro Euro Wts May 2012	0.450	83.880	2012/05/03	100,000,000	2011/11/01
15141	Standard Chartered Bank	Jiangxi Copper Euro Wts Jun 2012	0.250	18.880	2012/06/29	100,000,000	2011/11/01
15145	UBS AG	BYD European Warrants Aug 2012	0.250	19.880	2012/08/20	100,000,000	2011/11/01
15146	UBS AG	China Life European Warrants May 2012 A	0.150	20.730	2012/05/28	300,000,000	2011/11/01
15148	UBS AG	HSI European Warrants Oct 2012	0.250	20,500 per 8,000 units	2012/10/30	300,000,000	2011/11/01
15147	UBS AG	HSI European Warrants Apr 2012 C	0.150	19,600 per 8,000 units	2012/04/27	300,000,000	2011/11/01
14198 <sup>9</sup>	Citigroup Global Mkt H Inc.	HSI European Put Wts Mar 2012	0.118	17,600 per 8,000 units	2012/03/29	100,000,000	2011/11/01
14456 <sup>9</sup>	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2012 D	0.107	17,600 per 8,000 units	2012/03/29	200,000,000	2011/01/01
15150	Barclays Bank plc	BOCL European Warrants Mar 2012	0.198	3.19 per unit	2012/03/16	100,000,000	2011/11/02
15149	Barclays Bank plc	HKEx European Warrants Jul 2013	0.180	133.98 per 100 units	2013/07/25	100,000,000	2011/11/02
15154	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Jun 12	0.260	4 per unit	2012/06/04	100,000,000	2011/11/02
15153	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Aug 2012	0.350	6.88 per unit	2012/08/02	200,000,000	2011/11/02
15152	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jul 2012 A	0.270	80.000	2012/07/04	200,000,000	2011/11/02
15155	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2012 A	0.430	5.40 per unit	2012/08/02	200,000,000	2011/11/02
15151	CC Rabobank B.A.	Zoomlion European Warrants May 2012	0.250	11.150	2012/05/07	80,000,000	2011/11/02
15158	UBS AG	Agricultural Bank European Warrants Apr 2012 B	0.150	4.39 per unit	2012/04/23	200,000,000	2011/11/02
15159	UBS AG	China Mobile European Put Warrants Apr 2012	0.520	72.930	2012/04/10	200,000,000	2011/11/02
15157	UBS AG	China Mobile European Warrants Jun 2012 A	0.250	92.880	2012/06/11	200,000,000	2011/11/02
15156	UBS AG	Sinopec Corp European Warrants May 2012 A	0.450	8.88 per unit	2012/05/14	100,000,000	2011/11/02
15160	UBS AG	HSI European Put Warrants Jun 2012 B	0.250	21,000 per 15,000 units	2012/06/28	300,000,000	2011/11/02
15161	J P Morgan SP BV	HSI European Put Warrants May 2012	0.250	19,500 per 10,000 units	2012/05/30	300,000,000	2011/11/03
15162	J P Morgan SP BV	HSI European Warrants Apr 2012 F	0.150	19,600 per 8,000 units	2012/04/27	300,000,000	2011/11/03
15178	Citigroup Global Mkt H Inc.	CNOOC European Wts Apr 2012 B	0.150	16.780	2012/04/30	80,000,000	2011/11/04
15183	Citigroup Global Mkt H Inc.	Dongfeng European Wts Jul 2012	0.250	15.880	2012/07/11	80,000,000	2011/11/04
15179	Citigroup Global Mkt H Inc.	A50 China Euro Wts Oct 2012	0.150	12.288	2012/10/16	80,000,000	2011/11/04
15177	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts May 2012	0.250	22.880	2012/05/08	80,000,000	2011/11/04
15176	Citigroup Global Mkt H Inc.	HSI European Wts Mar 2012	0.150	20,888 per 9,000 units	2012/03/29	100,000,000	2011/11/04
15163	HK Bank	Sands China European Warrants Nov 2012	0.390	30.000	2012/11/16	80,000,000	2011/11/04
15182	UBS AG	Agricultural Bank European Warrants Apr 2012 C	0.150	4.89 per unit	2012/04/25	200,000,000	2011/11/04
15170	UBS AG	CC Bank European Warrants Mar 2012 A	0.220	6.89 per unit	2012/03/21	200,000,000	2011/11/04
15173	UBS AG	CPIC European Warrants Jun 2012	0.250	30.880	2012/06/18	100,000,000	2011/11/04
15171	UBS AG	China Shenhua European Warrants Jun 2012	0.300	42.880	2012/06/11	100,000,000	2011/11/04
15174	UBS AG	A50 China European Warrants Jul 2012 A	0.150	11.700	2012/07/18	200,000,000	2011/11/04
15175	UBS AG	A50 China European Warrants Oct 2012	0.150	12.300	2012/10/08	200,000,000	2011/11/04

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15181	UBS AG	HKEx European Warrants Jun 2012 B	0.150	147.10 per 100 units	2012/06/18	300,000,000	2011/11/04
15168	UBS AG	HKEx European Warrants Nov 2012 A	0.170	154.10 per 100 units	2012/11/19	300,000,000	2011/11/04
15180	UBS AG	HSBC European Warrants Apr 2012 A	0.200	86.040	2012/04/10	300,000,000	2011/11/04
15164	UBS AG	HSI European Warrants Mar 2012 D	0.150	20,700 per 8,000 units	2012/03/29	300,000,000	2011/11/04
15165	UBS AG	HSI European Warrants Apr 2012 D	0.150	21,600 per 8,000 units	2012/04/27	300,000,000	2011/11/04
15166	UBS AG	Hutchison European Warrants Jun 2012	0.320	90.880	2012/06/11	200,000,000	2011/11/04
15172	UBS AG	ICBC European Warrants Mar 2012 A	0.190	5.99 per unit	2012/03/05	200,000,000	2011/11/04
15167	UBS AG	Jiangxi Copper European Warrants Jun 2012 A	0.250	23.880	2012/06/25	100,000,000	2011/11/04
15169	UBS AG	Tencent Holdings European Warrants Mar 2012 A	0.150	206.18 per 100 units	2012/03/12	200,000,000	2011/11/04
23164*	UBS AG	China Mobile European Warrants Jun 2012	0.027	84.88 per 100 units	2012/06/25	700,000,000	2011/11/04
15190	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Jun 2012	0.480	41.000	2012/06/04	100,000,000	2011/11/07
15191	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Jul 2012	0.310	22.880	2012/07/04	100,000,000	2011/11/07
15193	J P Morgan SP BV	A50 China European Warrants Jul 2012	0.150	11.700	2012/07/18	200,000,000	2011/11/07
15192	J P Morgan SP BV	A50 China European Warrants Oct 2012	0.150	12.300	2012/10/08	200,000,000	2011/11/07
15189	Macquarie Bank Ltd.	MGM China European Warrants May 2012	0.291	15.000	2012/05/08	150,000,000	2011/11/07
15184	Standard Chartered Bank	HSBC European Warrants Aug 2012	0.360	77.770	2012/08/02	100,000,000	2011/11/07
15185	Standard Chartered Bank	HSI European Warrants Apr 2012 G	0.150	21,600 per 8,000 units	2012/04/27	200,000,000	2011/11/07
15188	UBS AG	China Tel European Warrants May 2012	0.300	5.38 per unit	2012/05/14	100,000,000	2011/11/07
15186	UBS AG	HSBC European Put Warrants Apr 2012	0.330	58.950	2012/04/17	200,000,000	2011/11/07
15187	UBS AG	Hutchison European Put Warrants Apr 2012	0.160	54.950	2012/04/25	200,000,000	2011/11/07
15218	Credit Suisse AG	CC Bank European Warrants Feb 2012 A	0.250	6.21 per unit	2012/02/27	300,000,000	2011/11/08
15211	Credit Suisse AG	CM Bank European Warrants Apr 2012	0.150	19.600	2012/04/23	100,000,000	2011/11/08
15219	Credit Suisse AG	CNOOC European Warrants Apr 2012	0.150	16.300	2012/04/03	200,000,000	2011/11/08
15216	Credit Suisse AG	A50 China European Warrants Oct 2012	0.150	12.300	2012/10/08	100,000,000	2011/11/08
15220	Credit Suisse AG	HSBC European Wts Mar 2012 A	0.250	75.000	2012/03/30	300,000,000	2011/11/08
15215	Credit Suisse AG	HSI European Warrants Mar 2012	0.150	20,350 per 10,000 units	2012/03/29	500,000,000	2011/11/08
15213	Credit Suisse AG	HSI European Put Warrants Mar 2012 B	0.150	18,300 per 9,000 units	2012/03/29	500,000,000	2011/11/08
15214	Credit Suisse AG	HSI European Put Warrants Mar 2012 C	0.150	19,000 per 10,000 units	2012/03/29	500,000,000	2011/11/08
15212	Credit Suisse AG	Hutchison European Warrants Mar 2012 D	0.600	74.000	2012/03/19	300,000,000	2011/11/08
15217	Credit Suisse AG	ICBC European Warrants Feb 2012	0.250	5.19 per unit	2012/02/27	300,000,000	2011/11/08
15204	Daiwa Capital Mkt	Agricultural Bank European Wts Apr 2012	0.250	4 per unit	2012/04/05	128,000,000	2011/11/08
15205	Daiwa Capital Mkt	Agricultural Bank European Wts May 2012	0.250	4.48 per unit	2012/05/08	128,000,000	2011/11/08
15203	Daiwa Capital Mkt	China Mobile European Warrants Apr 2012	0.380	80.000	2012/04/05	128,000,000	2011/11/08
15199	Daiwa Capital Mkt	CCB European Warrants Mar 2012	0.340	6 per unit	2012/03/12	108,000,000	2011/11/08
15200	Daiwa Capital Mkt	CCB European Warrants Mar 2012 A	0.210	6.48 per unit	2012/03/01	108,000,000	2011/11/08
15202	Daiwa Capital Mkt	CCB European Warrants Mar 2012 B	0.150	7.08 per unit	2012/03/16	108,000,000	2011/11/08
15197	Daiwa Capital Mkt	HSBC European Warrants Mar 2012	0.250	80.000	2012/03/05	108,000,000	2011/11/08
15198	Daiwa Capital Mkt	HSBC European Warrants Apr 2012	0.350	75.000	2012/04/02	108,000,000	2011/11/08
15206	Daiwa Capital Mkt	ICBC European Warrants Mar 2012	0.150	6 per unit	2012/03/09	128,000,000	2011/11/08
15207	Daiwa Capital Mkt	ICBC European Warrants Apr 2012	0.370	5.50 per unit	2012/04/20	128,000,000	2011/11/08
15195	Macquarie Bank Ltd.	Agricultural Bk Euro Put Wt Jun 2012	0.250	3 per unit	2012/06/04	200,000,000	2011/11/08
15208	Macquarie Bank Ltd.	BOCHK European Warrants Jun 2012 A	0.198	20.000	2012/06/22	200,000,000	2011/11/08

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15196	Macquarie Bank Ltd.	ICBC European Put Warrants Jun 2012	0.265	4.28 per unit	2012/06/04	200,000,000	2011/11/08
15209	Macquarie Bank Ltd.	Stanchart European Warrants Jan 2013	0.255	200 per 100 units	2013/01/03	200,000,000	2011/11/08
15194	CC Rabobank B.A.	MGM China European Warrants May 2012	0.250	14.000	2012/05/09	100,000,000	2011/11/08
15222	UBS AG	BYD European Warrants Mar 2012	0.250	24.930	2012/03/12	100,000,000	2011/11/08
15223	UBS AG	BYD European Warrants May 2012	0.400	22.380	2012/05/14	100,000,000	2011/11/08
15221	UBS AG	HKEx European Warrants Jul 2012	0.250	118.88 per 100 units	2012/07/16	300,000,000	2011/11/08
15210	UBS AG	HSI European Warrants Dec 2012	0.150	22,800 per 8,000 units	2012/12/28	300,000,000	2011/11/08
15229	Daiwa Capital Mkt	AIA European Warrants Jun 2012	0.250	27.680	2012/06/15	128,000,000	2011/11/09
15230	Daiwa Capital Mkt	China Life European Warrants Apr 2012	0.150	22.480	2012/04/10	168,000,000	2011/11/09
15231	Daiwa Capital Mkt	China Life European Warrants May 2012	0.250	20.880	2012/05/15	168,000,000	2011/11/09
15228	Daiwa Capital Mkt	China Mobile European Warrants Apr 2012A	0.260	84.280	2012/04/05	128,000,000	2011/11/09
15233	Daiwa Capital Mkt	A50 China European Warrants Oct 2012	0.150	12.280	2012/10/08	188,000,000	2011/11/09
15224	Daiwa Capital Mkt	HSBC European Warrants Feb 2012	0.250	72.500	2012/02/20	108,000,000	2011/11/09
15246	Daiwa Capital Mkt	HSBC European Warrants May 2013	0.250	50 per 100 units	2013/05/02	198,000,000	2011/11/09
15232	Daiwa Capital Mkt	HSBC European Put Warrants Jul 2012	0.150	75 per 100 units	2012/07/09	108,000,000	2011/11/09
15247	Daiwa Capital Mkt	HSI European Warrants Apr 2012	0.170	19,600 per 8,000 units	2012/04/27	200,000,000	2011/11/09
15248	Daiwa Capital Mkt	HSI European Warrants May 2012	0.250	20,000 per 7,800 units	2012/05/30	200,000,000	2011/11/09
15249	Daiwa Capital Mkt	HSI European Put Warrants Apr 2012	0.150	15,400 per 6,000 units	2012/04/27	200,000,000	2011/11/09
15251	Daiwa Capital Mkt	HSI European Put Warrants Jun 2012	0.180	17,400 per 8,000 units	2012/06/28	200,000,000	2011/11/09
15250	Daiwa Capital Mkt	HSI European Put Warrants Apr 2012 A	0.180	19,000 per 8,000 units	2012/04/27	200,000,000	2011/11/09
15226	Daiwa Capital Mkt	Hutchison European Warrants Mar 2012	0.240	84.880	2012/03/15	128,000,000	2011/11/09
15227	Daiwa Capital Mkt	Hutchison European Warrants Mar 2013	0.250	53 per 100 units	2013/03/29	198,000,000	2011/11/09
15235	HK Bank	GCL-Poly Energy European Warrants Jun 2012	0.340	2.68 per unit	2012/06/28	60,000,000	2011/11/09
15234	HK Bank	SJM Holdings European Warrants Jun 2012 A	0.250	15.000	2012/06/15	80,000,000	2011/11/09
15236	Nomura Int'l plc	BOCL European Warrants Jul 2012	0.152	3.09 per unit	2012/07/26	200,000,000	2011/11/09
15238	Nomura Int'l plc	China Mobile European Warrants Apr 2012	0.318	80.040	2012/04/05	100,000,000	2011/11/09
15239	Nomura Int'l plc	China Mobile European Warrants Jun 2015	0.151	70.93 per 100 units	2015/06/22	300,000,000	2011/11/09
15241	Nomura Int'l plc	China Telecom European Warrants May 2012	0.318	4.88 per unit	2012/05/10	100,000,000	2011/11/09
15240	Nomura Int'l plc	China Telecom European Warrants Jun 2012	0.325	5 per unit	2012/06/29	100,000,000	2011/11/09
15244	Nomura Int'l plc	A50 China European Warrants Jul 2012 A	0.150	11.660	2012/07/31	100,000,000	2011/11/09
15245	Nomura Int'l plc	A50 China European Warrants Nov 2012	0.250	9.980	2012/11/30	100,000,000	2011/11/09
15243	Nomura Int'l plc	A50 China European Warrants Oct 2013	0.180	13.000	2013/10/28	100,000,000	2011/11/09
15242	Nomura Int'l plc	JIANC European Warrants Jun 2012	0.290	20.000	2012/06/29	100,000,000	2011/11/09
15237	Nomura Int'l plc	Lenovo European Warrants May 2012	0.440	6.28 per unit	2012/05/14	100,000,000	2011/11/09
15254	UBS AG	CM Bank European Warrants Nov 2012	0.250	16.900	2012/11/26	100,000,000	2011/11/09
15253	UBS AG	China Mobile European Warrants Apr 2012 C	0.310	84.330	2012/04/16	200,000,000	2011/11/09
15252	UBS AG	HSBC European Warrants Apr 2012 B	0.310	72.930	2012/04/23	200,000,000	2011/11/09
15275	BNP Paribas Arbit Issu B.V.	SJM Holdings Euro Wts Jul 2012	0.250	17.280	2012/07/04	100,000,000	2011/11/10
15274	Credit Suisse AG	Prada European Warrants Oct 2012	0.250	44.800	2012/10/22	100,000,000	2011/11/10
15269	Daiwa Capital Mkt	Agricultural Bank Euro Put Wts May 2012	0.350	3.38 per unit	2012/05/10	108,000,000	2011/11/10
15258	Daiwa Capital Mkt	AIA European Warrants May 2012	0.270	23.880	2012/05/10	108,000,000	2011/11/10
15268	Daiwa Capital Mkt	AIA European Put Warrants Jun 2012	0.370	24.880	2012/06/01	108,000,000	2011/11/10
15259	Daiwa Capital Mkt	China Mobile European Warrants Mar 2012	0.560	76.280	2012/03/12	128,000,000	2011/11/10

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15263	Daiwa Capital Mkt	China Mobile European Put Wts May 2012	0.590	73.880	2012/05/18	80,000,000	2011/11/10
15261	Daiwa Capital Mkt	CCB European Put Warrants May 2012	0.740	5.98 per unit	2012/05/10	80,000,000	2011/11/10
15267	Daiwa Capital Mkt	China Life European Warrants Apr 2012 A	0.150	23.880	2012/04/02	188,000,000	2011/11/10
15262	Daiwa Capital Mkt	China Life European Put Wts Jun 2012	0.250	18.680	2012/06/01	80,000,000	2011/11/10
15264	Daiwa Capital Mkt	China Mobile European Put Wts May 2012 A	0.260	63.880	2012/05/25	88,000,000	2011/11/10
15255	Daiwa Capital Mkt	CNOOC European Warrants May 2012	0.150	16.800	2012/05/02	98,000,000	2011/11/10
15266	Daiwa Capital Mkt	A50 China European Warrants Apr 2012	0.150	11.580	2012/04/20	188,000,000	2011/11/10
15257	Daiwa Capital Mkt	A50 China European Warrants May 2012	0.470	13.38 per unit	2012/05/10	108,000,000	2011/11/10
15265	Daiwa Capital Mkt	HSI European Put Warrants Apr 2012 B	0.150	18,000 per 8,800 units	2012/04/27	200,000,000	2011/11/10
15260	Daiwa Capital Mkt	Hutchison European Warrants May 2012	0.290	90.000	2012/05/25	128,000,000	2011/11/10
15256	Daiwa Capital Mkt	ICBC European Warrants May 2012	0.510	5 per unit	2012/05/10	128,000,000	2011/11/10
15273	Standard Chartered Bank	China Coal European Wts Aug 2012	0.250	8.980	2012/08/08	100,000,000	2011/11/10
15270	Standard Chartered Bank	HKEx European Warrants Aug 2012	0.250	130.28 per 100 units	2012/08/08	100,000,000	2011/11/10
15272	Standard Chartered Bank	ICBC European Warrants Oct 2012	0.250	6.11 per unit	2012/10/05	100,000,000	2011/11/10
15271	Standard Chartered Bank	PetroChina European Wts Dec 2012	0.250	8.570	2012/12/04	100,000,000	2011/11/10
15277	UBS AG	China Life European Warrants Oct 2012	0.250	26.080	2012/10/15	300,000,000	2011/11/10
15276	UBS AG	Ping An European Warrants Nov 2013	0.150	78.83 per 100 units	2013/11/25	200,000,000	2011/11/10
15295	Credit Suisse AG	China Mobile European Put Wts Dec 2013	0.250	80 per 100 units	2013/12/23	300,000,000	2011/11/11
15282	Credit Suisse AG	HKEx European Warrants Mar 2012	0.150	138.90 per 100 units	2012/03/16	300,000,000	2011/11/11
15288	Daiwa Capital Mkt	Agricultural Bank European Wts Apr 2012 A	0.150	4.888 per unit	2012/04/16	128,000,000	2011/11/11
15287	Daiwa Capital Mkt	China Life European Warrants Jun 2012	0.150	25.880	2012/06/05	168,000,000	2011/11/11
15292	Daiwa Capital Mkt	China Life European Put Wts Apr 2012	0.290	23.980	2012/04/02	128,000,000	2011/11/11
15283	Daiwa Capital Mkt	HSCEI European Warrants Jun 2012	0.250	11,000 per 5,800 units	2012/06/28	100,000,000	2011/11/11
15289	Daiwa Capital Mkt	HSCEI European Put Warrants Jun 2012	0.250	11,200 per 8,000 units	2012/06/28	100,000,000	2011/11/11
15286	Daiwa Capital Mkt	HSI European Warrants Mar 2012	0.150	20,800 per 9,000 units	2012/03/29	200,000,000	2011/11/11
15290	Daiwa Capital Mkt	HSI European Put Warrants Mar 2012	0.270	20,800 per 8,000 units	2012/03/29	200,000,000	2011/11/11
15291	Daiwa Capital Mkt	HSI European Put Warrants May 2012	0.250	20,000 per 9,800 units	2012/05/30	200,000,000	2011/11/11
15284	Daiwa Capital Mkt	HSI European Warrants Apr 2012 C	0.150	21,700 per 8,000 units	2012/04/27	200,000,000	2011/11/11
15285	Daiwa Capital Mkt	HSI European Warrants May 2012 A	0.250	19,000 per 9,000 units	2012/05/30	200,000,000	2011/11/11
15293	Macquarie Bank Ltd.	China Life European Wts Jul 2012	0.250	26.880	2012/07/04	200,000,000	2011/11/11
15278	Macquarie Bank Ltd.	HSBC European Warrants Jun 2012	0.250	78.880	2012/06/04	200,000,000	2011/11/11
15280	Standard Chartered Bank	China Mobile Euro Wts Jun 2012	0.400	79.410	2012/06/25	100,000,000	2011/11/11
15279	Standard Chartered Bank	China Unicom European Wts Sep 2012	0.250	17.620	2012/09/28	100,000,000	2011/11/11
15281	Standard Chartered Bank	China Life European Wts Nov 2012	0.250	26.280	2012/11/30	150,000,000	2011/11/11
15294	UBS AG	China Life European Warrants Apr 2012 B	0.150	30.050	2012/04/23	300,000,000	2011/11/11
15297	UBS AG	CNOOC European Warrants Apr 2012 B	0.150	16.810	2012/04/27	200,000,000	2011/11/11
15296	UBS AG	Sinopec Corp European Warrants Jun 2012	0.400	9.88 per unit	2012/06/25	100,000,000	2011/11/11
15324	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2012 A	0.250	28.000	2012/08/02	200,000,000	2011/11/14
15306	Credit Suisse AG	Sinopec Corp European Warrants Feb 2012	0.400	8.89 per unit	2012/02/15	150,000,000	2011/11/14
15307	Credit Suisse AG	HKEx European Warrants Mar 2012 A	0.150	138.90 per 100 units	2012/03/19	300,000,000	2011/11/14
15304	Credit Suisse AG	Hutchison European Warrants Mar 2012 E	0.250	84.900	2012/03/05	300,000,000	2011/11/14
15305	Credit Suisse AG	Hutchison European Warrants Oct 2012 A	0.150	68.90 per 100 units	2012/10/29	300,000,000	2011/11/14
15318	Daiwa Capital Mkt	AIA European Warrants Jul 2012	0.650	18.680	2012/07/03	108,000,000	2011/11/14

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15320	Daiwa Capital Mkt	BOCL European Warrants Jul 2012	0.270	3.08 per unit	2012/07/03	108,000,000	2011/11/14
15321	Daiwa Capital Mkt	BOCL European Put Warrants Jun 2012	0.540	2.88 per unit	2012/06/15	80,000,000	2011/11/14
15316	Daiwa Capital Mkt	China Mobile European Warrants Jun 2012	0.250	88.000	2012/06/01	128,000,000	2011/11/14
15317	Daiwa Capital Mkt	China Mobile European Warrants Apr 2013	0.250	57.88 per 100 units	2013/04/01	198,000,000	2011/11/14
15319	Daiwa Capital Mkt	China Life European Warrants Jul 2012	0.660	16.880	2012/07/03	128,000,000	2011/11/14
15313	Daiwa Capital Mkt	HKEx European Warrants Jul 2012	0.250	121.88 per 100 units	2012/07/16	108,000,000	2011/11/14
15314	Daiwa Capital Mkt	HKEx European Warrants Aug 2012	0.470	90 per 100 units	2012/08/15	108,000,000	2011/11/14
15315	Daiwa Capital Mkt	HKEx European Put Warrants Jan 2013	0.150	104.98 per 100 units	2013/01/03	108,000,000	2011/11/14
15311	Daiwa Capital Mkt	HSBC European Warrants May 2012	0.480	67.880	2012/05/14	128,000,000	2011/11/14
15327	Daiwa Capital Mkt	HSCEI European Warrants Sep 2012	0.250	10,400 per 7,500 units	2012/09/27	100,000,000	2011/11/14
15328	Daiwa Capital Mkt	HSCEI European Put Warrants May 2012	0.250	10,600 per 6,200 units	2012/05/30	100,000,000	2011/11/14
15323	Daiwa Capital Mkt	HSI European Warrants Jun 2012	0.250	17,000 per 18,000 units	2012/06/28	200,000,000	2011/11/14
15322	Daiwa Capital Mkt	HSI European Warrants May 2012 B	0.260	18,400 per 10,800 units	2012/05/30	200,000,000	2011/11/14
15312	Daiwa Capital Mkt	Hutchison European Put Warrants Jun 2012	0.720	70.000	2012/06/01	80,000,000	2011/11/14
15303	Bank of East Asia	CHALCO European Warrants Dec 2013	0.250	3.000	2013/12/13	500,000,000	2011/11/14
15302	Bank of East Asia	Ping An European Warrants Sep 2013	0.250	48.88 per 100 units	2013/09/23	310,000,000	2011/11/14
15301	HK Bank	Agricultural Bank European Warrants May 2012	0.250	4.50 per unit	2012/05/14	150,000,000	2011/11/14
15299	HK Bank	China Mobile European Warrants May 2012	0.290	80.380	2012/05/14	120,000,000	2011/11/14
15298	HK Bank	CC Bank European Warrants May 2012	0.250	7 per unit	2012/05/14	150,000,000	2011/11/14
15300	HK Bank	China Life European Warrants Aug 2012 A	0.250	26.880	2012/08/28	120,000,000	2011/11/14
15308	Macquarie Bank Ltd.	Sinopec Corp European Wts Jul 2012 A	0.432	9.38 per unit	2012/07/04	200,000,000	2011/11/14
15310	Standard Chartered Bank	China Life European Wts Jul 2012 B	0.250	24.880	2012/07/18	150,000,000	2011/11/14
15326	UBS AG	CC Bank European Warrants May 2012	0.550	5.80 per unit	2012/05/14	200,000,000	2011/11/14
15325	UBS AG	CC Bank European Warrants Apr 2012 B	0.380	6.29 per unit	2012/04/26	200,000,000	2011/11/14
15309	UBS AG	China Life European Warrants Jul 2012	0.150	29.130	2012/07/26	300,000,000	2011/11/14
15341	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Aug 2012	0.250	156 per 100 units	2012/08/02	100,000,000	2011/11/15
15340	Credit Suisse AG	BOC HK European Warrants Apr 2012	0.150	21.900	2012/04/10	150,000,000	2011/11/15
15347	Citigroup Global Mkt H Inc.	CNBM Euro Warrants Sep 2012	0.250	13.280	2012/09/03	80,000,000	2011/11/15
15335	Daiwa Capital Mkt	BOC HK European Warrants Jun 2012	0.150	19.980	2012/06/22	108,000,000	2011/11/15
15333	Daiwa Capital Mkt	CNOOC European Warrants Jul 2012	0.200	15.480	2012/07/23	98,000,000	2011/11/15
15334	Daiwa Capital Mkt	CNOOC European Warrants Aug 2012	0.280	13.880	2012/08/15	98,000,000	2011/11/15
15342	Daiwa Capital Mkt	HSCEI European Warrants Sep 2012 A	0.270	8,800 per 9,800 units	2012/09/27	100,000,000	2011/11/15
15336	Daiwa Capital Mkt	HSI European Warrants May 2012 C	0.250	17,800 per 12,000 units	2012/05/30	200,000,000	2011/11/15
15337	Daiwa Capital Mkt	HSI European Warrants Jun 2012 A	0.250	14,800 per 20,000 units	2012/06/28	200,000,000	2011/11/15
15338	Daiwa Capital Mkt	HSI European Put Warrants May 2012 D	0.260	21,400 per 12,000 units	2012/05/30	200,000,000	2011/11/15
15331	HK Bank	HSI European Put Warrants May 2012	0.200	18,800 per 8,000 units	2012/05/30	200,000,000	2011/11/15
15329	HK Bank	HSI European Warrants May 2012 A	0.250	19,800 per 8,000 units	2012/05/30	200,000,000	2011/11/15
15330	HK Bank	HSI European Put Warrants Apr 2012 C	0.150	18,000 per 8,800 units	2012/04/27	200,000,000	2011/11/15
15332	J P Morgan SP BV	China Life European Warrants May 2012	0.250	24.880	2012/05/30	200,000,000	2011/11/15
15343	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jun 2012	0.250	11,200 per 5,600 units	2012/06/28	200,000,000	2011/11/15
15344	J P Morgan SP BV	HSCEI European Put Warrants Jun 2012	0.250	10,200 per 5,600 units	2012/06/28	200,000,000	2011/11/15



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15345	J P Morgan SP BV	HSI European Warrants Mar 2012 A	0.150	20,800 per 9,000 units	2012/03/29	200,000,000	2011/11/15
15346	J P Morgan SP BV	HSI European Warrants Apr 2012 G	0.150	21,600 per 8,000 units	2012/04/27	200,000,000	2011/11/15
15339	UBS AG	Hutchison European Warrants Oct 2012 A	0.150	68.90 per 100 units	2012/10/31	500,000,000	2011/11/15
15349	UBS AG	SPDR Gold Trust European Warrants May 2012	0.600	1,580 per 100 units	2012/05/21	100,000,000	2011/11/15
15348	UBS AG	Tencent Holdings European Warrants Jun 2012	0.180	180.10 per 100 units	2012/06/25	200,000,000	2011/11/15
15355	Nomura Int'l plc	Agricultural Bk Euro Warrants Jun 2012	0.492	3.38 per unit	2012/06/29	100,000,000	2011/11/16
15354	Nomura Int'l plc	Agricultural Bk Euro Warrants Apr 2012 A	0.157	4.01 per unit	2012/04/05	100,000,000	2011/11/16
15360	Nomura Int'l plc	BOCL European Warrants Mar 2012	0.163	2.888 per unit	2012/03/26	100,000,000	2011/11/16
15359	Nomura Int'l plc	China Life European Warrants May 2012	0.250	24.080	2012/05/31	100,000,000	2011/11/16
15352	Nomura Int'l plc	CNOOC European Warrants Nov 2012	0.160	17.280	2012/11/30	100,000,000	2011/11/16
15351	Nomura Int'l plc	Sinopec European Warrants May 2012 A	0.560	9 per unit	2012/05/21	100,000,000	2011/11/16
15357	Nomura Int'l plc	ICBC European Warrants Jun 2012	0.393	4.80 per unit	2012/06/29	100,000,000	2011/11/16
15356	Nomura Int'l plc	ICBC European Warrants Mar 2012 A	0.190	5.508 per unit	2012/03/26	100,000,000	2011/11/16
15358	Nomura Int'l plc	Ping An European Warrants Nov 2013	0.150	78.80 per 100 units	2013/11/29	100,000,000	2011/11/16
15353	Nomura Int'l plc	Ch Rongsheng European Warrants Mar 2012	0.250	3.80 per unit	2012/03/05	100,000,000	2011/11/16
15350	Standard Chartered Bank	Sinopec Corp European Wts Aug 2012	0.350	10 per unit	2012/08/08	100,000,000	2011/11/16
15364	UBS AG	BOC HK European Warrants Jun 2012	0.150	20.050	2012/06/22	100,000,000	2011/11/16
15363	UBS AG	CNOOC European Warrants Apr 2012 C	0.150	18.300	2012/04/13	200,000,000	2011/11/16
15362	UBS AG	HSBC European Warrants Apr 2013	0.190	50.05 per 100 units	2013/04/25	500,000,000	2011/11/16
15361	UBS AG	HSBC European Warrants Mar 2012 A	0.500	68.040	2012/03/29	200,000,000	2011/11/16
15367	UBS AG	HSI European Put Warrants Jul 2012	0.300	20,500 per 9,000 units	2012/07/30	300,000,000	2011/11/16
15365	UBS AG	HSI European Warrants Feb 2012 E	0.150	21,100 per 8,000 units	2012/02/28	300,000,000	2011/11/16
15366	UBS AG	HSI European Put Warrants Feb 2012 F	0.150	19,500 per 8,500 units	2012/02/28	300,000,000	2011/11/16
15368	Barclays Bank plc	HSI European Warrants Aug 2012 A	0.251	20,800 per 8,000 units	2012/08/30	150,000,000	2011/11/17
15369	Barclays Bank plc	HSI European Put Warrants Aug 2012 B	0.251	18,200 per 8,000 units	2012/08/30	150,000,000	2011/11/17
15379	Credit Suisse AG	HSBC European Wts Apr 2013	0.180	50.05 per 100 units	2013/04/25	400,000,000	2011/11/17
15380	Credit Suisse AG	HSI European Warrants Feb 2012 O	0.150	21,200 per 8,000 units	2012/02/28	500,000,000	2011/11/17
15381	Credit Suisse AG	HSI European Warrants Mar 2012 D	0.150	20,900 per 9,000 units	2012/03/29	500,000,000	2011/11/17
15389	HK Bank	BOCL European Warrants Jun 2012	0.250	3.18 per unit	2012/06/28	80,000,000	2011/11/17
15387	HK Bank	Sinopec Corp European Warrants May 2012	0.270	9.50 per unit	2012/05/17	80,000,000	2011/11/17
15385	HK Bank	Esprit European Warrants Sep 2012	0.250	12.880	2012/09/27	60,000,000	2011/11/17
15386	HK Bank	Jiangxi Copper European Warrants Jun 2012	0.270	20.280	2012/06/15	60,000,000	2011/11/17
15388	HK Bank	Shougang Res European Warrants Jun 2012	0.260	3.88 per unit	2012/06/28	50,000,000	2011/11/17
15395	Macquarie Bank Ltd.	CITIC Bank European Warrants Aug 2012	0.277	5.50 per unit	2012/08/02	150,000,000	2011/11/17
15382	Macquarie Bank Ltd.	CNOOC European Warrants Dec 2012	0.250	17.000	2012/12/04	250,000,000	2011/11/17
15384	Macquarie Bank Ltd.	ICBC European Warrants Jul 2012	0.350	5.80 per unit	2012/07/03	200,000,000	2011/11/17
15394	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jul 2012	0.253	25.000	2012/07/03	200,000,000	2011/11/17
15393	Standard Chartered Bank	BOCL European Warrants May 2012	0.250	2.988 per unit	2012/05/18	100,000,000	2011/11/17
15391	Standard Chartered Bank	CC Bank European Wts Mar 2012 A	0.230	6.88 per unit	2012/03/21	100,000,000	2011/11/17
15390	Standard Chartered Bank	HSBC European Warrants Apr 2012	0.240	77.000	2012/04/10	100,000,000	2011/11/17
15392	Standard Chartered Bank	ICBC European Warrants Mar 2012	0.210	6 per unit	2012/03/02	100,000,000	2011/11/17
15373	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Apr 2012	0.470	6 per unit	2012/04/16	180,000,000	2011/11/17

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15374	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Apr 2012A	0.230	24.000	2012/04/16	300,000,000	2011/11/17
15375	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2012	0.190	25.880	2012/06/06	300,000,000	2011/11/17
15376	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2012	0.280	75.000	2012/04/02	300,000,000	2011/11/17
15377	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2012	0.250	82.000	2012/05/21	300,000,000	2011/11/17
15370	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2012 B	0.150	20,600 per 8,000 units	2012/03/29	300,000,000	2011/11/17
15372	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 12 C	0.160	19,800 per 9,000 units	2012/03/29	300,000,000	2011/11/17
15371	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 12 C	0.160	19,000 per 8,000 units	2012/04/27	300,000,000	2011/11/17
15378	SGA Societe Generale Acceptance N.V.	HWL Eur Wt May 2012	0.580	78.880	2012/05/21	150,000,000	2011/11/17
15383	UBS AG	HKEx European Warrants Aug 2012 A	0.150	138.10 per 100 units	2012/08/01	300,000,000	2011/11/17
15399	UBS AG	HSI European Put Warrants Jun 2012 C	0.150	18,600 per 15,000 units	2012/06/28	300,000,000	2011/11/17
15398	UBS AG	ICBC European Warrants May 2012	0.450	5.01 per unit	2012/05/28	200,000,000	2011/11/17
15396	UBS AG	SHK Ppt European Warrants Feb 2013	0.250	88 per 100 units	2013/02/25	100,000,000	2011/11/17
15397	UBS AG	Tencent Holdings European Warrants May 2012 A	0.180	172.60 per 100 units	2012/05/28	200,000,000	2011/11/17
15405	BOCI Asia Ltd.	China Life European Warrants Jun 2012 A	0.250	24.000	2012/06/05	100,000,000	2011/11/18
15406	BOCI Asia Ltd.	China Life European Warrants Oct 2012	0.250	28.000	2012/10/03	100,000,000	2011/11/18
15414	J P Morgan SP BV	AIA European Warrants May 2012	0.250	26.880	2012/05/30	200,000,000	2011/11/18
15413	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2012	0.390	6 per unit	2012/05/30	200,000,000	2011/11/18
15412	J P Morgan SP BV	HKEx European Warrants Aug 2012	0.150	138 per 100 units	2012/08/01	300,000,000	2011/11/18
15411	J P Morgan SP BV	Ping An European Warrants Dec 2012	0.150	53 per 100 units	2012/12/11	300,000,000	2011/11/18
15407	Standard Chartered Bank	Tencent Hldg European Wts Jun 2012	0.250	160 per 100 units	2012/06/28	100,000,000	2011/11/18
15400	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt May 2012	0.300	2.88 per unit	2012/05/21	200,000,000	2011/11/18
15402	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 2012	0.330	85.880	2012/05/21	150,000,000	2011/11/18
15401	SGA Societe Generale Acceptance N.V.	CCB Eur Wt May 2012	0.470	5.78 per unit	2012/05/21	150,000,000	2011/11/18
15404	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt May 2012	0.350	5.28 per unit	2012/05/21	180,000,000	2011/11/18
15403	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2012 A	0.510	4.88 per unit	2012/04/25	150,000,000	2011/11/18
15410	UBS AG	China Mobile European Put Warrants Dec 2013	0.190	79.95 per 100 units	2013/12/16	300,000,000	2011/11/18
15408	UBS AG	HSI European Warrants Jun 2012 D	0.260	17,600 per 9,000 units	2012/06/28	300,000,000	2011/11/18
15409	UBS AG	Hutchison European Warrants Jun 2012 A	0.400	82.880	2012/06/25	200,000,000	2011/11/18
15434	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wts Aug 2012	0.530	32.880	2012/08/02	100,000,000	2011/11/21
15429	BNP Paribas Arbit Issu B.V.	Agile Ppt European Wt Nov 2012	0.250	6.880	2012/11/02	100,000,000	2011/11/21
15433	BNP Paribas Arbit Issu B.V.	Cathay Pacific Eu Wts Nov 2013	0.250	15.000	2013/11/04	98,000,000	2011/11/21
15432	BNP Paribas Arbit Issu B.V.	Li Ning European Wts Jul 2013	0.250	7.880	2013/07/03	54,000,000	2011/11/21
15431	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jun 2012	0.530	75.000	2012/06/04	100,000,000	2011/11/21
15430	BNP Paribas Arbit Issu B.V.	Ting Yi European Wts Aug 2012	0.320	25.000	2012/08/02	100,000,000	2011/11/21
15426	Daiwa Capital Mkt	Sinopec Corp European Warrants May 2012	0.710	9.08 per unit	2012/05/21	80,000,000	2011/11/21
15427	Daiwa Capital Mkt	Sinopec Corp European Warrants Jul 2013	0.250	7.000	2013/07/18	128,000,000	2011/11/21
15428	Daiwa Capital Mkt	Sinopec Corp European Put Wts May 2012	0.370	7.08 per unit	2012/05/21	80,000,000	2011/11/21
15419	CC Rabobank B.A.	China Oilfield European Warrants Aug 2012	0.250	13.000	2012/08/20	100,000,000	2011/11/21
15420	CC Rabobank B.A.	CSR Times European Warrants Jun 2012	0.300	21.000	2012/06/18	100,000,000	2011/11/21
15421	CC Rabobank B.A.	HKEx European Put Warrants Dec 2012	0.200	114.88 per 100 units	2012/12/21	200,000,000	2011/11/21
15422	CC Rabobank B.A.	Tencent Holdings European Wts Jun 2012	0.250	162.50 per 100 units	2012/06/18	200,000,000	2011/11/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15416	Standard Chartered Bank	China Overseas Euro Wt Jul 2012	0.150	15.020	2012/07/13	100,000,000	2011/11/21
15417	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Apr 2012 B	0.230	4.01 per unit	2012/04/11	200,000,000	2011/11/21
15418	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jun 2012	0.320	88.880	2012/06/27	200,000,000	2011/11/21
15435	UBS AG	BYD European Warrants Jul 2012 A	0.250	29.880	2012/07/30	100,000,000	2011/11/21
15437	UBS AG	China Mobile European Warrants Mar 2013	0.230	57.93 per 100 units	2013/03/25	500,000,000	2011/11/21
15436	UBS AG	CC Bank European Warrants May 2012 A	0.160	7.01 per unit	2012/05/07	200,000,000	2011/11/21
15439	UBS AG	China Life European Warrants Jul 2012 A	0.150	28.050	2012/07/31	200,000,000	2011/11/21
15438	UBS AG	HKEx European Warrants Jul 2012 A	0.200	121.88 per 100 units	2012/07/09	300,000,000	2011/11/21
15425	UBS AG	HSI European Warrants Mar 2012 E	0.150	20,400 per 10,000 units	2012/03/29	300,000,000	2011/11/21
15423	UBS AG	HSI European Warrants Apr 2012 E	0.270	18,700 per 8,000 units	2012/04/27	300,000,000	2011/11/21
15424	UBS AG	HSI European Warrants May 2012 A	0.220	18,400 per 10,800 units	2012/05/30	300,000,000	2011/11/21
15449	BOCI Asia Ltd.	CNOOC European Warrants Dec 2012	0.200	17.280	2012/12/04	100,000,000	2011/11/22
15448	BOCI Asia Ltd.	PetroChina European Warrants Oct 2012	0.150	11.000	2012/10/29	100,000,000	2011/11/22
15447	J P Morgan SP BV	BOCL European Warrants Jun 2012	0.250	3.08 per unit	2012/06/28	200,000,000	2011/11/22
15443	J P Morgan SP BV	CNOOC European Warrants Apr 2012	0.150	16.800	2012/04/30	200,000,000	2011/11/22
15446	J P Morgan SP BV	Esprit European Wts Aug 2012	0.250	11.880	2012/08/30	100,000,000	2011/11/22
15445	J P Morgan SP BV	HSBC European Warrants Jun 2012	0.250	75.000	2012/06/04	200,000,000	2011/11/22
15451	J P Morgan SP BV	Hutchison European Warrants May 2012	0.371	78.880	2012/05/30	100,000,000	2011/11/22
15450	J P Morgan SP BV	Hutchison European Warrants Jun 2012	0.250	86.880	2012/06/28	100,000,000	2011/11/22
15444	J P Morgan SP BV	Tencent European Warrants Jun 2012 A	0.150	180 per 100 units	2012/06/25	200,000,000	2011/11/22
15442	Macquarie Bank Ltd.	Huaneng Power European Wts Jun 2012	0.259	5 per unit	2012/06/04	150,000,000	2011/11/22
15440	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt May 2012A	0.410	10.48 per unit	2012/05/23	150,000,000	2011/11/22
15441	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jun 2012	0.300	11.48 per unit	2012/06/27	150,000,000	2011/11/22
15453	UBS AG	CC Bank European Warrants Jul 2012	0.600	5.40 per unit	2012/07/10	200,000,000	2011/11/22
15452	UBS AG	A50 China European Warrants Nov 2012	0.250	10.000	2012/11/12	200,000,000	2011/11/22
15469	Credit Suisse AG	ChiUnicom European Warrants Apr 2012	0.150	18.300	2012/04/25	200,000,000	2011/11/23
15468	Credit Suisse AG	Tencent Holdings European Wts Mar 2012 A	0.150	176 per 100 units	2012/03/19	300,000,000	2011/11/23
15470	Credit Suisse AG	Yanzhou Coal European Warrants May 2012	0.150	26.050	2012/05/31	200,000,000	2011/11/23
15456	Bank of East Asia	Belle European Warrants Aug 2012	0.250	16.188	2012/08/23	100,000,000	2011/11/23
15457	Bank of East Asia	CNBM European Warrants Nov 2012	0.250	11.323	2012/11/23	140,000,000	2011/11/23
15455	Bank of East Asia	Tencent Holdings European Wts Jun 2012	0.250	158 per 100 units	2012/06/22	100,000,000	2011/11/23
15464	J P Morgan SP BV	Agricultural Bk Euro Warrants May 2012	0.250	4 per unit	2012/05/30	150,000,000	2011/11/23
15463	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2012 A	0.250	6.50 per unit	2012/05/30	200,000,000	2011/11/23
15462	J P Morgan SP BV	China Life European Warrants May 2012 A	0.250	22.880	2012/05/30	288,000,000	2011/11/23
15461	J P Morgan SP BV	Sinopec Corp European Warrants May 2012 A	0.331	9.88 per unit	2012/05/23	200,000,000	2011/11/23
15459	J P Morgan SP BV	ICBC European Warrants May 2012	0.250	5.88 per unit	2012/05/30	200,000,000	2011/11/23
15460	J P Morgan SP BV	ICBC European Warrants May 2012 A	0.337	5.18 per unit	2012/05/30	200,000,000	2011/11/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15458	J P Morgan SP BV	Ping An European Warrants Jun 2012 A	0.332	78.880	2012/06/18	200,000,000	2011/11/23
15467	Standard Chartered Bank	BYD European Warrants May 2012	0.250	26.000	2012/05/28	100,000,000	2011/11/23
15465	Standard Chartered Bank	CTel European Warrants May 2012	0.250	5.48 per unit	2012/05/28	100,000,000	2011/11/23
15466	Standard Chartered Bank	PetroChina European Wts Jun 2012	0.500	13 per unit	2012/06/15	100,000,000	2011/11/23
15454	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt May2012	0.390	54.000	2012/05/14	200,000,000	2011/11/23
15471	UBS AG	China Life European Warrants Jun 2012	0.250	23.280	2012/06/25	200,000,000	2011/11/23
15472	UBS AG	HSI European Warrants Apr 2012 F	0.240	17,900 per 9,000 units	2012/04/27	300,000,000	2011/11/23
15473	UBS AG	HSI European Warrants Dec 2012 A	0.250	19,000 per 10,000 units	2012/12/28	300,000,000	2011/11/23
15486	BOCI Asia Ltd.	BOC HK European Warrants Jun 2012	0.150	20.000	2012/06/29	100,000,000	2011/11/24
15487	BOCI Asia Ltd.	Ch Overseas European Warrants Jul 2012	0.180	15.000	2012/07/20	100,000,000	2011/11/24
15485	BNP Paribas Arbit Issu B.V.	MGM China European Wts Jul 2012	0.250	12.000	2012/07/04	100,000,000	2011/11/24
15484	Macquarie Bank Ltd.	Zoomlion European Warrants Dec 2012	0.250	11.000	2012/12/04	200,000,000	2011/11/24
15477	Nomura Int'l plc	Air China European Warrants Jun 2014	0.250	6.880	2014/06/30	50,000,000	2011/11/24
15474	Nomura Int'l plc	BJ Ent Water European Warrants May 2012	0.250	2.58 per unit	2012/05/28	100,000,000	2011/11/24
15481	Nomura Int'l plc	BYD European Warrants May 2012	0.260	25.000	2012/05/31	100,000,000	2011/11/24
15482	Nomura Int'l plc	CPIC European Warrants May 2012	0.250	28.000	2012/05/31	100,000,000	2011/11/24
15480	Nomura Int'l plc	Ch South Air European Warrants Jun 2012	0.500	4.48 per unit	2012/06/29	50,000,000	2011/11/24
15475	Nomura Int'l plc	HKEx European Warrants Jun 2012 A	0.510	128.88 per 100 units	2012/06/06	100,000,000	2011/11/24
15476	Nomura Int'l plc	Tencent Holdings Euro Warrants May 2012	0.168	168.88 per 100 units	2012/05/31	100,000,000	2011/11/24
15478	Nomura Int'l plc	ZTE European Warrants Jun 2012	0.255	22.880	2012/06/29	50,000,000	2011/11/24
15479	Nomura Int'l plc	ZTE European Warrants Dec 2012	0.254	28.000	2012/12/17	50,000,000	2011/11/24
15483	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Sep 2012	0.200	16.300	2012/09/24	400,000,000	2011/11/24
15490	UBS AG	Anhui Conch European Warrants Jun 2012	0.320	30.000	2012/06/25	100,000,000	2011/11/24
15491	UBS AG	Alibaba European Warrants Jun 2012	0.150	9.890	2012/06/22	100,000,000	2011/11/24
15489	UBS AG	China Overseas European Warrants Jun 2012	0.150	14.500	2012/06/25	100,000,000	2011/11/24
15492	UBS AG	China National Building European Warrants Nov 2012	0.150	12.700	2012/11/12	100,000,000	2011/11/24
15488	UBS AG	HSI European Put Warrants Apr 2012 G	0.150	16,800 per 9,500 units	2012/04/27	300,000,000	2011/11/24
15164 <sup>9</sup>	UBS AG	HSI European Warrants Mar 2012 D	0.056	20,700 per 8,000 units	2012/03/29	300,000,000	2011/11/24
15515	BNP Paribas Arbit Issu B.V.	BOCL European Wts Oct 2012	0.250	3 per unit	2012/10/03	200,000,000	2011/11/25
15514	BNP Paribas Arbit Issu B.V.	HKEx European Wts Aug 2012	0.150	137.90 per 100 units	2012/08/02	100,000,000	2011/11/25
15513	Credit Suisse AG	HSI European Put Warrants Apr 2012	0.150	16,800 per 9,500 units	2012/04/27	500,000,000	2011/11/25
15510	Credit Suisse AG	HSI European Warrants Mar 2012 E	0.150	18,050 per 12,000 units	2012/03/29	500,000,000	2011/11/25
15511	Credit Suisse AG	HSI European Warrants Mar 2012 F	0.150	18,850 per 10,000 units	2012/03/29	500,000,000	2011/11/25
15512	Credit Suisse AG	HSI European Warrants Mar 2012 G	0.150	19,300 per 9,000 units	2012/03/29	500,000,000	2011/11/25
15503	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts Jul 2012	0.150	19.980	2012/07/10	80,000,000	2011/11/25
15504	Citigroup Global Mkt H Inc.	China Life Euro Wts May 2012	0.150	24.038	2012/05/24	80,000,000	2011/11/25
15500	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2012	0.220	68.730	2012/04/24	80,000,000	2011/11/25
15505	Citigroup Global Mkt H Inc.	HSCEI European Wts Mar 2012 A	0.150	10,838 per 4,500 units	2012/03/29	80,000,000	2011/11/25
15506	Citigroup Global Mkt H Inc.	HSI European Wts Mar 2012 A	0.150	19,288 per 9,000 units	2012/03/29	100,000,000	2011/11/25
15501	Citigroup Global Mkt H Inc.	Hutchison Euro Wts May 2012	0.320	79.880	2012/05/31	80,000,000	2011/11/25
15502	Citigroup Global Mkt H Inc.	Tencent European Wts May 2012	0.160	168.10 per 100 units	2012/05/23	80,000,000	2011/11/25
15498	HK Bank	CNOOC European Warrants Oct 2012	0.250	16.880	2012/10/15	120,000,000	2011/11/25
15507	Standard Chartered Bank	HSI European Warrants May 2012	0.151	19,800 per 8,000 units	2012/05/30	200,000,000	2011/11/25

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15495	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2012	0.370	6.38 per unit	2012/06/11	200,000,000	2011/11/25
15508	SGA Societe Generale Acceptance N.V.	CNBM Euro Wt Jul 2012	0.250	10.680	2012/07/30	150,000,000	2011/11/25
15493	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2012 D	0.250	17,800 per 9,000 units	2012/04/27	300,000,000	2011/11/25
15494	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 12 E	0.160	18,000 per 8,800 units	2012/04/27	300,000,000	2011/11/25
15496	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2012 A	0.580	74.000	2012/04/23	150,000,000	2011/11/25
15497	SGA Societe Generale Acceptance N.V.	HWL Eur Wt May 2012 A	0.340	84.880	2012/05/28	200,000,000	2011/11/25
15509	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Jun 12	0.250	13.580	2012/06/27	100,000,000	2011/11/25
15516	UBS AG	HSBC European Warrants May 2012	0.510	60.000	2012/05/28	300,000,000	2011/11/25
15499	UBS AG	HSCEI European Warrants Jun 2012	0.250	10,000 per 5,000 units	2012/06/28	100,000,000	2011/11/25
15517	UBS AG	Tencent Holdings European Warrants Jun 2012 A	0.270	138.88 per 100 units	2012/06/11	200,000,000	2011/11/25
15539	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jun 2012 A	0.330	6.50 per unit	2012/06/04	200,000,000	2011/11/28
15521	BNP Paribas Arbit Issu B.V.	Cheung Kong Eu Put Wt Oct 2013	0.250	85 per 100 units	2013/10/03	100,000,000	2011/11/28
15540	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2012 B	0.250	21.600	2012/06/04	200,000,000	2011/11/28
15541	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2013	0.290	25.000	2013/06/04	200,000,000	2011/11/28
15538	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jun 2012 B	0.260	70.000	2012/06/04	200,000,000	2011/11/28
15522	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Put Wts Oct 2013	0.250	90 per 100 units	2013/10/03	100,000,000	2011/11/28
15520	Credit Suisse AG	CNBM European Warrants Sep 2012	0.150	11.320	2012/09/12	100,000,000	2011/11/28
15534	Citigroup Global Mkt H Inc.	BYD European Warrants May 2012	0.250	23.880	2012/05/30	80,000,000	2011/11/28
15531	Citigroup Global Mkt H Inc.	Ch Overseas Eu Put Wt Aug 2012	0.250	10.280	2012/08/29	80,000,000	2011/11/28
15533	Citigroup Global Mkt H Inc.	CITIC Bank Euro Wts May 2012	0.300	4.38 per unit	2012/05/30	80,000,000	2011/11/28
15536	Citigroup Global Mkt H Inc.	CPIC European Wts May 2012	0.250	26.380	2012/05/30	80,000,000	2011/11/28
15530	Citigroup Global Mkt H Inc.	HSCEI Euro Put Wts Mar 2012	0.150	9,388 per 5,800 units	2012/03/29	80,000,000	2011/11/28
15537	Citigroup Global Mkt H Inc.	HSI European Put Wt Mar 2012 B	0.150	16,580 per 7,000 units	2012/03/29	100,000,000	2011/11/28
15532	Citigroup Global Mkt H Inc.	SJM Holdings Euro Wt Jun 2012	0.150	14.980	2012/06/08	80,000,000	2011/11/28
15519	J P Morgan SP BV	Cheung Kong European Warrants Dec 2012 A	0.150	88.88 per 100 units	2012/12/20	200,000,000	2011/11/28
15529	Macquarie Bank Ltd.	Esprit European Warrants Nov 2012	0.168	11.330	2012/11/30	200,000,000	2011/11/28
15518	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Jun 2012	0.250	45.000	2012/06/04	200,000,000	2011/11/28
15527	CC Rabobank B.A.	Sinopec Corp European Warrants Jul 2012	0.800	8.10 per unit	2012/07/16	100,000,000	2011/11/28
15528	CC Rabobank B.A.	HKEx European Warrants Jun 2012 A	0.150	128.88 per 100 units	2012/06/06	120,000,000	2011/11/28
15526	CC Rabobank B.A.	Ting Yi European Warrants Aug 2012	0.250	24.800	2012/08/06	80,000,000	2011/11/28
15523	CC Rabobank B.A.	Want Want China European Wts Jun 2012	0.600	8 per unit	2012/06/18	100,000,000	2011/11/28
15535	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Apr 2012B	0.150	22.500	2012/04/02	300,000,000	2011/11/28
15546	UBS AG	BankComm European Warrants Jun 2012	0.330	6 per unit	2012/06/25	100,000,000	2011/11/28
15544	UBS AG	Minsheng Bank European Warrants Jul 2012	0.480	7.38 per unit	2012/07/16	100,000,000	2011/11/28
15547	UBS AG	Evergrande European Warrants Jun 2012	0.280	4 per unit	2012/06/25	100,000,000	2011/11/28
15542	UBS AG	HSBC European Warrants Jun 2012 A	0.680	55.880	2012/06/11	300,000,000	2011/11/28
15545	UBS AG	Ping An European Warrants Jun 2012 A	0.540	64.880	2012/06/11	100,000,000	2011/11/28
15543	UBS AG	Yanzhou Coal European Wts Jun 2012	0.220	21.800	2012/06/18	100,000,000	2011/11/28
15553	Credit Suisse AG	China Coal European Warrants Aug 2012	0.150	8.990	2012/08/01	100,000,000	2011/11/29
15551	J P Morgan SP BV	China Life European Warrants May 2012 B	0.250	21.000	2012/05/30	200,000,000	2011/11/29
15555	CC Rabobank B.A.	Anhui Conch European Warrants Jul 2012	0.400	25.000	2012/07/16	100,000,000	2011/11/29
15554	CC Rabobank B.A.	Galaxy Ent European Warrants Jun 2012	0.250	15.200	2012/06/25	100,000,000	2011/11/29

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15557	CC Rabobank B.A.	KECL European Warrants Feb 2013	0.250	10.500	2013/02/27	120,000,000	2011/11/29
15556	CC Rabobank B.A.	MGM China European Warrants Jun 2012	0.250	11.400	2012/06/25	100,000,000	2011/11/29
15558	CC Rabobank B.A.	SJM Holdings European Warrants Jul 2012	0.250	13.900	2012/07/30	100,000,000	2011/11/29
15552	Standard Chartered Bank	ICBC European Warrants Jul 2012	0.250	4.78 per unit	2012/07/04	100,000,000	2011/11/29
15559	UBS AG	China Coal European Warrants Jan 2013	0.170	10.200	2013/01/28	100,000,000	2011/11/29
13898 #	Macquarie Bank Ltd.	HSI European Warrants Feb 2012	0.034	21,000 per 8,000 units	2012/02/28	400,000,000	2011/11/29
13828 #	Citigroup Global Mkt H Inc.	HSCEI European Wts Feb 2012	0.036	11,200 per 5,000 units	2012/02/28	280,000,000	2011/11/29
14197 #	Citigroup Global Mkt H Inc.	HSI European Wts Feb 2012 A	0.096	19,000 per 8,000 units	2012/02/28	110,000,000	2011/11/29
15176 #	Citigroup Global Mkt H Inc.	HSI European Wts Mar 2012	0.034	20,888 per 9,000 units	2012/03/29	300,000,000	2011/11/29
15578	BOCI Asia Ltd.	China Shenhua European Warrants Jun 2012	0.250	39.280	2012/06/04	100,000,000	2011/11/30
15577	BOCI Asia Ltd.	Tracker Fund European Warrants Nov 2012	0.250	19.880	2012/11/05	100,000,000	2011/11/30
15573	BNP Paribas Arbit Issu B.V.	Chow Sang Sang Eu Wts Jul 2012	0.390	22.000	2012/07/04	43,000,000	2011/11/30
15574	BNP Paribas Arbit Issu B.V.	Luk Fook Hold Eu Wts Apr 2012	0.310	35.000	2012/04/03	75,000,000	2011/11/30
15572	BNP Paribas Arbit Issu B.V.	New World Dev Euro Wt Jan 2015	0.250	6.400	2015/01/05	100,000,000	2011/11/30
15594	Credit Suisse AG	CC Bank European Warrants Mar 2012	0.150	5.89 per unit	2012/03/02	300,000,000	2011/11/30
15590	Credit Suisse AG	HSI European Warrants Mar 2012 H	0.150	17,550 per 9,000 units	2012/03/29	500,000,000	2011/11/30
15591	Credit Suisse AG	HSI European Warrants Mar 2012 I	0.150	18,600 per 9,000 units	2012/03/29	500,000,000	2011/11/30
15592	Credit Suisse AG	Tencent Holdings European Wts Apr 2012	0.150	160.90 per 100 units	2012/04/10	300,000,000	2011/11/30
15595	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2012	0.250	21.680	2012/06/25	200,000,000	2011/11/30
15583	Citigroup Global Mkt H Inc.	BOCL European Wts May 2012	0.250	2.68 per unit	2012/05/30	80,000,000	2011/11/30
15581	Citigroup Global Mkt H Inc.	China Tel Euro Wts May 2012	0.280	4.988 per unit	2012/05/30	50,000,000	2011/11/30
15582	Citigroup Global Mkt H Inc.	CM Bank European Wts Sep 2012	0.150	18.168	2012/09/24	80,000,000	2011/11/30
15579	Citigroup Global Mkt H Inc.	Sinopec Corp Euro Wts Jul 2013	0.160	7.010	2013/07/11	100,000,000	2011/11/30
15580	Citigroup Global Mkt H Inc.	HKEEx European Wts Aug 2012	0.150	130.30 per 100 units	2012/08/01	80,000,000	2011/11/30
15564	CC Rabobank B.A.	Agricultural Bk Euro Warrants Aug 2012	0.500	3.28 per unit	2012/08/20	150,000,000	2011/11/30
15565	CC Rabobank B.A.	Agricultural Bk Euro Warrants Aug 2012 A	0.250	3.90 per unit	2012/08/20	150,000,000	2011/11/30
15566	CC Rabobank B.A.	Belle Int'l European Warrants Jul 2012	0.250	14.000	2012/07/16	100,000,000	2011/11/30
15569	CC Rabobank B.A.	BOCL European Warrants Aug 2012	0.300	2.50 per unit	2012/08/20	150,000,000	2011/11/30
15570	CC Rabobank B.A.	BOCL European Warrants Sep 2012	0.250	2.80 per unit	2012/09/17	150,000,000	2011/11/30
15562	CC Rabobank B.A.	China Overseas European Warrants Nov 2012	0.250	13.000	2012/11/19	100,000,000	2011/11/30
15567	CC Rabobank B.A.	China Life European Warrants Jul 2012	0.250	20.000	2012/07/16	200,000,000	2011/11/30
15568	CC Rabobank B.A.	China Life European Warrants Oct 2012	0.250	24.000	2012/10/22	200,000,000	2011/11/30
15561	CC Rabobank B.A.	Li & Fung European Warrants Jul 2012	0.250	14.720	2012/07/16	150,000,000	2011/11/30
15563	CC Rabobank B.A.	Yanzhou Coal European Warrants May 2012	0.250	20.000	2012/05/28	100,000,000	2011/11/30
15575	Standard Chartered Bank	BOCL European Warrants Jul 2012	0.250	2.48 per unit	2012/07/05	100,000,000	2011/11/30
15576	Standard Chartered Bank	BOCL European Warrants Oct 2012	0.250	2.88 per unit	2012/10/05	100,000,000	2011/11/30
15571	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 12 D	0.160	18,250 per 9,000 units	2012/03/29	300,000,000	2011/11/30
15584	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt May 12	0.280	20.880	2012/05/30	100,000,000	2011/11/30
15588	UBS AG	BOCL European Warrants May 2012	0.150	2.89 per unit	2012/05/14	100,000,000	2011/11/30
15585	UBS AG	Hutchison European Warrants Jun 2012 B	0.470	73.880	2012/06/18	200,000,000	2011/11/30
15587	UBS AG	KECL European Warrants Aug 2012	0.150	10.900	2012/08/27	100,000,000	2011/11/30
15560	UBS AG	Tencent Holdings European Warrants Mar 2012 B	0.150	176 per 100 units	2012/03/19	200,000,000	2011/11/30
15600	BOCI Asia Ltd.	HSBC European Warrants May 2012	0.230	68.680	2012/05/02	100,000,000	2011/12/01

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15601	BNP Paribas Arbit Issu B.V.	CHALCO European Wts Jul 2012	0.600	3.88 per unit	2012/07/04	57,000,000	2011/12/01
15602	BNP Paribas Arbit Issu B.V.	Bank of EA Euro Wts Oct 2012	0.300	27.880	2012/10/03	59,000,000	2011/12/01
15607	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Jul 2012	0.360	5.50 per unit	2012/07/04	100,000,000	2011/12/01
15605	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Jul 2012	0.510	9.99 per unit	2012/07/04	100,000,000	2011/12/01
15606	BNP Paribas Arbit Issu B.V.	Gome European Wts Oct 2012	0.350	2.38 per unit	2012/10/03	100,000,000	2011/12/01
15604	BNP Paribas Arbit Issu B.V.	Sh Ind H European Wts Jul 2013	0.330	23.200	2013/07/03	31,000,000	2011/12/01
15603	BNP Paribas Arbit Issu B.V.	Wharf European Wts Jul 2012 A	0.300	43.380	2012/07/04	97,000,000	2011/12/01
15599	HK Bank	BOCL European Warrants May 2012 A	0.150	2.88 per unit	2012/05/14	120,000,000	2011/12/01
15596	HK Bank	ICBC European Warrants Jun 2012	0.250	4.60 per unit	2012/06/20	120,000,000	2011/12/01
15610	UBS AG	China Life European Warrants Jul 2012 B	0.250	21.880	2012/07/16	300,000,000	2011/12/01
15608	UBS AG	China Mobile European Warrants Jun 2012 B	0.510	76.880	2012/06/11	200,000,000	2011/12/01
15609	UBS AG	HSBC European Warrants Jun 2012 B	0.250	70.880	2012/06/11	300,000,000	2011/12/01
15629	BOCI Asia Ltd.	HSI European Warrants Oct 2012	0.250	18,800 per 10,000 units	2012/10/30	100,000,000	2011/12/02
15630	BOCI Asia Ltd.	HSI European Put Warrants Jul 2012	0.250	17,600 per 10,000 units	2012/07/30	100,000,000	2011/12/02
15625	BNP Paribas Arbit Issu B.V.	HSBC European Wts Sep 2012	0.370	65.000	2012/09/04	200,000,000	2011/12/02
15627	BNP Paribas Arbit Issu B.V.	SJM Holdings Euro Wts Oct 2012	0.260	13.800	2012/10/03	100,000,000	2011/12/02
15626	BNP Paribas Arbit Issu B.V.	Tencent Holdings Eu Wt Sep 2012	0.250	165 per 100 units	2012/09/04	100,000,000	2011/12/02
15628	BNP Paribas Arbit Issu B.V.	Yurun Food Euro Wts Jul 2012 A	0.260	11.800	2012/07/04	100,000,000	2011/12/02
15624	J P Morgan SP BV	AIA European Warrants Jun 2012	0.250	23.880	2012/06/28	200,000,000	2011/12/02
15623	J P Morgan SP BV	China Unicom European Warrants Aug 2012	0.250	16.880	2012/08/30	200,000,000	2011/12/02
15622	J P Morgan SP BV	HKEEx European Warrants Sep 2012	0.188	110 per 100 units	2012/09/24	200,000,000	2011/12/02
15632	J P Morgan SP BV	JX Copper European Warrants Jun 2012 A	0.250	19.880	2012/06/28	200,000,000	2011/12/02
15634	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Jun 2012	0.350	4.60 per unit	2012/06/04	30,000,000	2011/12/02
15635	SGA Societe Generale Acceptance N.V.	BOCom Eur Wt Jun 2012	0.430	5.90 per unit	2012/06/04	50,000,000	2011/12/02
15611	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jun 2012	0.270	23.500	2012/06/04	100,000,000	2011/12/02
15613	SGA Societe Generale Acceptance N.V.	Chi COSCO Eu Wt Jun 2012	0.350	4.30 per unit	2012/06/04	50,000,000	2011/12/02
15612	SGA Societe Generale Acceptance N.V.	CCoal Eur Wt Aug 2012	0.180	8.980	2012/08/08	200,000,000	2011/12/02
15631	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jun 2012	0.400	4.60 per unit	2012/06/04	50,000,000	2011/12/02
15614	SGA Societe Generale Acceptance N.V.	Ch Overseas Eu Wt Jun12A	0.160	14.500	2012/06/25	100,000,000	2011/12/02
15615	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Jun 2012	0.320	3 per unit	2012/06/04	50,000,000	2011/12/02
15616	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Jul 2012	0.360	37.000	2012/07/04	50,000,000	2011/12/02
15617	SGA Societe Generale Acceptance N.V.	Esprit Eu Wt Sep 2012	0.210	11.880	2012/09/05	100,000,000	2011/12/02
15618	SGA Societe Generale Acceptance N.V.	Foxconn Eu Wt Jun2012	0.530	6.20 per unit	2012/06/04	50,000,000	2011/12/02
15619	SGA Societe Generale Acceptance NV	HuanengPower Eu Wt Jun 12	0.360	4.50 per unit	2012/06/04	50,000,000	2011/12/02
15633	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Jun12	0.170	16.500	2012/06/20	150,000,000	2011/12/02
15620	SGA Societe Generale Acceptance NV	New World Dev Eu Wt Jul12	0.570	7.60 per unit	2012/07/04	50,000,000	2011/12/02
15621	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Jun12A	0.340	4.20 per unit	2012/06/04	50,000,000	2011/12/02
15636	Macquarie Bank Ltd.	BankComm European Warrants Jun 2012	0.250	6.58 per unit	2012/06/04	150,000,000	2011/12/05
15637	Macquarie Bank Ltd.	Chow Sang Sang European Wts Jul 2012	0.371	25.880	2012/07/04	150,000,000	2011/12/05
15638	Macquarie Bank Ltd.	Tingyi European Warrants Jul 2012	0.293	26.880	2012/07/04	150,000,000	2011/12/05
15672	Credit Suisse AG	BOCL European Warrants May 2012	0.150	2.89 per unit	2012/05/14	300,000,000	2011/12/06

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15675	Credit Suisse AG	CC Bank European Warrants Apr 2012	0.150	6.29 per unit	2012/04/30	300,000,000	2011/12/06
15674	Credit Suisse AG	China Mobile European Warrants Mar 2012 A	0.200	81.900	2012/03/30	300,000,000	2011/12/06
15665	Credit Suisse AG	China Shenhua European Warrants Jun 2012	0.250	40.880	2012/06/11	150,000,000	2011/12/06
15678	Credit Suisse AG	HSBC European Wts Apr 2012	0.150	68.000	2012/04/02	300,000,000	2011/12/06
15669	Credit Suisse AG	HSI European Warrants Mar 2012 J	0.150	17,400 per 14,000 units	2012/03/29	500,000,000	2011/12/06
15670	Credit Suisse AG	HSI European Warrants Apr 2012 C	0.150	18,750 per 8,000 units	2012/04/27	500,000,000	2011/12/06
15667	Credit Suisse AG	HSI European Put Warrants Apr 2012 A	0.150	17,200 per 8,000 units	2012/04/27	500,000,000	2011/12/06
15668	Credit Suisse AG	HSI European Put Warrants Apr 2012 B	0.150	18,000 per 10,000 units	2012/04/27	500,000,000	2011/12/06
15679	Credit Suisse AG	Hutchison European Warrants May 2012	0.250	78.900	2012/05/28	300,000,000	2011/12/06
15673	Credit Suisse AG	ICBC European Warrants May 2012	0.200	5.29 per unit	2012/05/14	300,000,000	2011/12/06
15666	Credit Suisse AG	Jiangxi Copper European Wts Jun 2012	0.250	20.680	2012/06/18	150,000,000	2011/12/06
15677	Credit Suisse AG	KECL European Warrants Aug 2012	0.150	10.900	2012/08/27	150,000,000	2011/12/06
15664	Credit Suisse AG	Ping An European Warrants Jun 2012 A	0.250	68.880	2012/06/11	300,000,000	2011/12/06
15676	Credit Suisse AG	Tencent Holdings European Wts Apr 2012 A	0.150	152.90 per 100 units	2012/04/16	300,000,000	2011/12/06
15639	HK Bank	HKEx European Put Warrants Dec 2012	0.150	105 per 100 units	2012/12/24	120,000,000	2011/12/06
15655	J P Morgan SP BV	China Tel European Warrants Jun 2012	0.355	5.28 per unit	2012/06/28	100,000,000	2011/12/06
15658	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jul 2012	0.400	5.50 per unit	2012/07/30	200,000,000	2011/12/06
15659	J P Morgan SP BV	CITIC Bank European Warrants Sep 2012	0.340	4.88 per unit	2012/09/03	150,000,000	2011/12/06
15657	J P Morgan SP BV	China Life European Warrants Jun 2012	0.250	19.380	2012/06/28	200,000,000	2011/12/06
15654	J P Morgan SP BV	CNOOC European Warrants Sep 2012	0.150	15.600	2012/09/17	200,000,000	2011/12/06
15656	J P Morgan SP BV	China Shenhua European Warrants Jul 2012	0.250	39.880	2012/07/03	200,000,000	2011/12/06
15652	J P Morgan SP BV	HK Gas European Warrants Mar 2013	0.250	18.880	2013/03/28	200,000,000	2011/12/06
15653	J P Morgan SP BV	Huaneng Power European Warrants Sep 2012	0.250	4.88 per unit	2012/09/28	58,000,000	2011/12/06
15663	J P Morgan SP BV	Prada European Warrants Sep 2012	0.493	42.880	2012/09/03	100,000,000	2011/12/06
15650	Macquarie Bank Ltd.	Bank of EA European Warrants Dec 2012	0.252	32.000	2012/12/04	200,000,000	2011/12/06
15651	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Nov 2012	0.253	12.880	2012/11/02	200,000,000	2011/12/06
15661	Macquarie Bank Ltd.	KECL European Warrants Jan 2013	0.250	11.000	2013/01/04	180,000,000	2011/12/06
15660	Macquarie Bank Ltd.	Tencent European Put Wts Dec 2012	0.250	130 per 100 units	2012/12/04	250,000,000	2011/12/06
15646	CC Rabobank B.A.	Cheung Kong European Warrants Jun 2012	0.650	90.000	2012/06/18	100,000,000	2011/12/06
15645	CC Rabobank B.A.	China Shenhua European Warrants Jul 2012A	0.250	38.000	2012/07/16	150,000,000	2011/12/06
15644	CC Rabobank B.A.	CSR European Warrants Jul 2012	0.700	5.10 per unit	2012/07/30	100,000,000	2011/12/06
15640	CC Rabobank B.A.	Datang European Warrants Jul 2012	0.250	2.90 per unit	2012/07/30	100,000,000	2011/12/06
15642	CC Rabobank B.A.	Lonking European Warrants Jul 2012	0.250	2.70 per unit	2012/07/30	100,000,000	2011/12/06
15643	CC Rabobank B.A.	Sany Int'l European Warrants Jul 2012	0.800	7.50 per unit	2012/07/30	100,000,000	2011/12/06
15648	CC Rabobank B.A.	Tencent Holdings European Wts Jul 2012 A	0.250	145 per 100 units	2012/07/16	150,000,000	2011/12/06
15649	CC Rabobank B.A.	Tencent Holdings European Put Wt Jul 2012	0.250	145 per 100 units	2012/07/16	150,000,000	2011/12/06
15641	CC Rabobank B.A.	Xinyi Glass European Warrants Jul 2012	0.550	4.60 per unit	2012/07/30	80,000,000	2011/12/06
15647	CC Rabobank B.A.	Zoomlion European Warrants Dec 2012	0.250	8.000	2012/12/17	100,000,000	2011/12/06



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15683	J P Morgan SP BV	HSBC European Warrants Dec 2015	0.150	52.88 per 100 units	2015/12/30	388,000,000	2011/12/07
15681	J P Morgan SP BV	HSBC European Warrants Jun 2012 A	0.310	63.880	2012/06/28	200,000,000	2011/12/07
15682	J P Morgan SP BV	HSBC European Warrants Jun 2012 B	0.250	70.000	2012/06/28	200,000,000	2011/12/07
15684	Macquarie Bank Ltd.	BBMG European Warrants Oct 2013	0.250	6.200	2013/10/03	150,000,000	2011/12/07
15680	Macquarie Bank Ltd.	CPIC European Warrants May 2012	0.200	26.380	2012/05/23	200,000,000	2011/12/07
15711	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Nov 2014	0.250	11.600	2014/11/04	200,000,000	2011/12/08
15694	Bank of East Asia	Ch Comm Cons European Warrants Dec 2013	0.250	4.500	2013/12/30	350,000,000	2011/12/08
15685	HK Bank	HSI European Warrants May 2012 B	0.150	17,800 per 12,000 units	2012/05/30	250,000,000	2011/12/08
15686	HK Bank	HSI European Warrants May 2012 C	0.150	19,000 per 9,000 units	2012/05/30	250,000,000	2011/12/08
15690	Macquarie Bank Ltd.	Agricultural Bk Euro Put Wt Jul 2012	0.361	2.68 per unit	2012/07/04	180,000,000	2011/12/08
15691	Macquarie Bank Ltd.	China Unicom European Put Wts Sep 2012	0.258	15.500	2012/09/04	250,000,000	2011/12/08
15709	Macquarie Bank Ltd.	China COSCO European Warrants Jul 2012	0.342	5 per unit	2012/07/04	200,000,000	2011/12/08
15708	Macquarie Bank Ltd.	China Coal European Warrants Feb 2013	0.153	10.190	2013/02/04	200,000,000	2011/12/08
15687	Macquarie Bank Ltd.	CNOOC European Put Warrants Jul 2012	0.253	14.500	2012/07/04	200,000,000	2011/12/08
15689	Macquarie Bank Ltd.	Sinopec Corp Euro Put Wts Jul 2012	0.462	6.28 per unit	2012/07/04	180,000,000	2011/12/08
15710	Macquarie Bank Ltd.	HSBC European Put Warrants Jul 2012	0.421	50.000	2012/07/04	200,000,000	2011/12/08
15688	Macquarie Bank Ltd.	PetroChina European Put Wts Jul 2012	0.560	8 per unit	2012/07/04	100,000,000	2011/12/08
15703	CC Rabobank B.A.	AIA European Warrants Jul 2012	0.250	26.000	2012/07/16	150,000,000	2011/12/08
15700	CC Rabobank B.A.	Ch LongYuan European Warrants Jul 2012	0.750	6.80 per unit	2012/07/30	100,000,000	2011/12/08
15701	CC Rabobank B.A.	Ch Mobile European Warrants Jun 2012	0.250	85.500	2012/06/18	150,000,000	2011/12/08
15702	CC Rabobank B.A.	Ch Mobile European Warrants Jul 2012	0.500	78.000	2012/07/16	150,000,000	2011/12/08
15704	CC Rabobank B.A.	China COSCO European Warrants Jul 2012	0.500	4.20 per unit	2012/07/30	100,000,000	2011/12/08
15698	CC Rabobank B.A.	CNOOC European Warrants Nov 2012	0.250	15.000	2012/11/19	100,000,000	2011/12/08
15699	CC Rabobank B.A.	CNOOC European Warrants Feb 2013	0.250	16.500	2013/02/18	100,000,000	2011/12/08
15697	CC Rabobank B.A.	PetroChina European Warrants Jun 2012	0.700	11 per unit	2012/06/18	100,000,000	2011/12/08
15695	CC Rabobank B.A.	Skyworth Digital European Wts Jul 2012	0.380	3.80 per unit	2012/07/30	120,000,000	2011/12/08
15696	CC Rabobank B.A.	ZTE European Warrants Jul 2012	0.250	25.800	2012/07/30	100,000,000	2011/12/08
15693	Standard Chartered Bank	China Mobile Euro Wts May 2012	0.340	83.850	2012/05/28	100,000,000	2011/12/08
15692	Standard Chartered Bank	HKEx European Warrants Jul 2012	0.178	121.90 per 100 units	2012/07/09	100,000,000	2011/12/08
15705	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2012	0.170	19,000 per 9,000 units	2012/05/30	300,000,000	2011/12/08
15706	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2012 F	0.150	19,600 per 8,000 units	2012/04/27	300,000,000	2011/12/08
15707	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2012 A	0.150	20,000 per 7,800 units	2012/05/30	300,000,000	2011/12/08
15714	Macquarie Bank Ltd.	Anhui Conch European Warrants Jul 2012	0.459	30.000	2012/07/04	200,000,000	2011/12/09
15715	Macquarie Bank Ltd.	Ch Merchants European Wts Dec 2012	0.318	28.000	2012/12/04	200,000,000	2011/12/09
15713	Macquarie Bank Ltd.	Sinopec Corp European Wts Dec 2013	0.250	7.280	2013/12/11	200,000,000	2011/12/09
15712	UBS AG	China Overseas European Warrants May 2012	0.170	16.900	2012/05/08	100,000,000	2011/12/09
15734	BNP Paribas Arbit Issu B.V.	Anta Sports Euro Wts Jan 2013	0.250	9.500	2013/01/03	100,000,000	2011/12/12
15731	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jul 2015	0.150	70.90 per 100 units	2015/07/03	100,000,000	2011/12/12
15730	BNP Paribas Arbit Issu B.V.	Sinopec Corp Euro Wts Jan 2016	0.250	8.500	2016/01/05	100,000,000	2011/12/12
15729	BNP Paribas Arbit Issu B.V.	Esprit European Wts Jul 2012	0.250	12.300	2012/07/04	100,000,000	2011/12/12
15736	BNP Paribas Arbit Issu B.V.	First Pacific Euro Wt Jan 2013	0.250	9.400	2013/01/03	100,000,000	2011/12/12
15735	BNP Paribas Arbit Issu B.V.	R&F Ppt European Wts Jul 2015	0.250	7.000	2015/07/03	100,000,000	2011/12/12

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15728	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt Jul 2013	0.250	6.700	2013/07/03	100,000,000	2011/12/12
15732	BNP Paribas Arbit Issu B.V.	Weigao Group Euro Wts Jan 2013	0.250	6.400	2013/01/03	100,000,000	2011/12/12
15733	BNP Paribas Arbit Issu B.V.	Zoomlion European Wts Jan 2013	0.250	9.800	2013/01/03	100,000,000	2011/12/12
15727	Macquarie Bank Ltd.	CC Bank European Warrants Jul 2012	0.253	6.88 per unit	2012/07/04	180,000,000	2011/12/12
15750	Nomura Int'l plc	Alibaba European Warrants May 2013	0.250	8.880	2013/05/31	100,000,000	2011/12/12
15741	Nomura Int'l plc	China Mer Hold European Warrants Jun 2012	0.250	26.880	2012/06/18	100,000,000	2011/12/12
15745	Nomura Int'l plc	Ch Overseas European Warrants Jun 2012	0.250	15.880	2012/06/29	100,000,000	2011/12/12
15743	Nomura Int'l plc	Ch Com Service European Warrants Jun 2012	0.254	4.48 per unit	2012/06/18	50,000,000	2011/12/12
15744	Nomura Int'l plc	C Transmission European Warrants Jun 2012	0.270	5.55 per unit	2012/06/25	100,000,000	2011/12/12
15737	Nomura Int'l plc	HK Gas European Warrants Jan 2013	0.250	18.500	2013/01/31	100,000,000	2011/12/12
15739	Nomura Int'l plc	Henderson Land Dev Euro Warrants Jun 2012	0.250	48.000	2012/06/29	100,000,000	2011/12/12
15746	Nomura Int'l plc	L'Occitane European Warrants Jun 2012	0.250	16.880	2012/06/18	100,000,000	2011/12/12
15751	Nomura Int'l plc	China Mengniu European Warrants Sep 2012	0.258	28.880	2012/09/27	50,000,000	2011/12/12
15748	Nomura Int'l plc	Sinopharm European Warrants Sep 2012	0.255	21.880	2012/09/27	50,000,000	2011/12/12
15740	Nomura Int'l plc	Sino Land European Warrants Jun 2013	0.250	10.280	2013/06/28	100,000,000	2011/12/12
15742	Nomura Int'l plc	Tingyi European Warrants Jun 2012	0.250	29.880	2012/06/25	100,000,000	2011/12/12
15747	Nomura Int'l plc	Yurun Food European Warrants Jun 2012	0.200	12.880	2012/06/26	100,000,000	2011/12/12
15749	Nomura Int'l plc	Zoomlion European Warrants Oct 2012	0.250	9.990	2012/10/24	50,000,000	2011/12/12
15723	CC Rabobank B.A.	BOC HK European Warrants Jun 2012 A	0.170	18.900	2012/06/21	200,000,000	2011/12/12
15724	CC Rabobank B.A.	BOC HK European Warrants Aug 2012	0.600	23 per unit	2012/08/20	200,000,000	2011/12/12
15720	CC Rabobank B.A.	China Coal European Warrants Aug 2012	0.600	12 per unit	2012/08/20	200,000,000	2011/12/12
15721	CC Rabobank B.A.	China Coal European Warrants Jan 2013	0.150	10.180	2013/01/28	200,000,000	2011/12/12
15725	CC Rabobank B.A.	CPIC European Warrants Jul 2012	0.250	26.000	2012/07/16	150,000,000	2011/12/12
15726	CC Rabobank B.A.	CPIC European Warrants Oct 2012	0.250	31.000	2012/10/22	150,000,000	2011/12/12
15717	CC Rabobank B.A.	Esprit European Warrants Sep 2012	0.250	12.000	2012/09/17	100,000,000	2011/12/12
15718	CC Rabobank B.A.	Luk Fook Hold European Warrants Jul 2012	0.550	35.000	2012/07/16	100,000,000	2011/12/12
15722	CC Rabobank B.A.	Ping An European Warrants Dec 2012	0.150	53.04 per 100 units	2012/12/11	100,000,000	2011/12/12
15719	CC Rabobank B.A.	Zoomlion European Warrants Nov 2012	0.250	10.000	2012/11/19	80,000,000	2011/12/12
15772	HK Bank	Cheung Kong European Warrants Jun 2012	0.250	112.800	2012/06/28	80,000,000	2011/12/13
15775	HK Bank	China Shenhua European Warrants Jun 2012 A	0.350	36.080	2012/06/29	100,000,000	2011/12/13
15774	HK Bank	HKEx European Warrants May 2014	0.250	170 per 100 units	2014/05/29	150,000,000	2011/12/13
15776	HK Bank	ICBC European Warrants Jul 2012	0.250	5.18 per unit	2012/07/13	100,000,000	2011/12/13
15773	HK Bank	KECL European Warrants Nov 2013	0.250	12.000	2013/11/22	100,000,000	2011/12/13
15753	Macquarie Bank Ltd.	Agile Ppt European Warrants Oct 2012	0.155	6.890	2012/10/26	150,000,000	2011/12/13
15777	Macquarie Bank Ltd.	Ch Southern Air European Wts Jul 2012	0.431	5.58 per unit	2012/07/04	120,000,000	2011/12/13
15771	Macquarie Bank Ltd.	Datang European Warrants Jul 2012	0.270	3 per unit	2012/07/04	120,000,000	2011/12/13
15778	Macquarie Bank Ltd.	Lenovo European Warrants Jul 2012	0.386	7.50 per unit	2012/07/04	120,000,000	2011/12/13
15779	Macquarie Bank Ltd.	Lonking European Warrants Jun 2012	0.293	3.68 per unit	2012/06/13	120,000,000	2011/12/13
15759	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Apr 2012 A	0.152	26.390	2012/04/17	80,000,000	2011/12/13
15757	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Apr 2012	0.355	6.01 per unit	2012/04/17	40,000,000	2011/12/13
15758	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Apr 2012 A	0.217	6.51 per unit	2012/04/23	50,000,000	2011/12/13
15760	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt May 2012	0.151	24.090	2012/05/28	80,000,000	2011/12/13
15764	CC Rabobank B.A.	China Tel European Warrants Jul 2012	0.500	4.80 per unit	2012/07/16	100,000,000	2011/12/13

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15765	CC Rabobank B.A.	China Tel European Warrants Aug 2012	0.250	5.80 per unit	2012/08/20	100,000,000	2011/12/13
15761	CC Rabobank B.A.	Sinopec Corp European Warrants Aug 2012	0.700	8.75 per unit	2012/08/20	100,000,000	2011/12/13
15770	CC Rabobank B.A.	GCL-Poly Energy European Wts Jul 2012	0.350	2.60 per unit	2012/07/16	120,000,000	2011/12/13
15762	CC Rabobank B.A.	Gome European Warrants Jul 2012	0.250	2.50 per unit	2012/07/16	100,000,000	2011/12/13
15769	CC Rabobank B.A.	Ping An European Warrants Aug 2012	0.750	63.800	2012/08/30	100,000,000	2011/12/13
15768	CC Rabobank B.A.	Ch Rongsheng European Warrants Jul 2012	0.350	2.80 per unit	2012/07/16	100,000,000	2011/12/13
15763	CC Rabobank B.A.	Tencent Holdings European Wts Nov 2012	0.250	180 per 100 units	2012/11/19	200,000,000	2011/12/13
15766	CC Rabobank B.A.	Yurun Food European Warrants Jul 2012	0.250	11.000	2012/07/16	100,000,000	2011/12/13
15767	CC Rabobank B.A.	Yurun Food European Warrants Oct 2012	0.250	13.000	2012/10/22	100,000,000	2011/12/13
15755	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Nov 2012	0.170	17.280	2012/11/28	300,000,000	2011/12/13
15756	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jul 2013	0.190	7.010	2013/07/15	500,000,000	2011/12/13
15754	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 2012	0.220	20,000 per 9,800 units	2012/05/30	300,000,000	2011/12/13
15780	UBS AG	CC Bank European Warrants Apr 2012 C	0.330	6 per unit	2012/04/05	200,000,000	2011/12/13
15781	UBS AG	HSI European Warrants May 2012 B	0.150	19,000 per 9,000 units	2012/05/30	300,000,000	2011/12/13
15782	UBS AG	HSI European Warrants May 2012 C	0.150	19,900 per 7,800 units	2012/05/30	300,000,000	2011/12/13
15790	HK Bank	China Tel European Warrants Jun 2012	0.250	5.68 per unit	2012/06/27	80,000,000	2011/12/14
15792	HK Bank	CM Bank European Warrants Feb 2013	0.250	18.880	2013/02/22	100,000,000	2011/12/14
15789	HK Bank	Geely Auto European Warrants May 2013	0.250	3 per unit	2013/05/23	80,000,000	2011/12/14
15788	HK Bank	SHK Ppt European Warrants Jun 2012	0.340	108.000	2012/06/22	100,000,000	2011/12/14
15791	HK Bank	Yanzhou Coal European Warrants Nov 2012	0.250	22.380	2012/11/13	100,000,000	2011/12/14
15802	J P Morgan SP BV	BankComm European Warrants Jul 2012	0.320	6.38 per unit	2012/07/30	80,000,000	2011/12/14
15803	J P Morgan SP BV	China Life European Put Warrants Jul 2012	0.250	19.280	2012/07/30	200,000,000	2011/12/14
15799	J P Morgan SP BV	Minsheng Bank European Warrants Jul 2012	0.315	7.50 per unit	2012/07/30	80,000,000	2011/12/14
15801	J P Morgan SP BV	CPIC European Warrants Jul 2012	0.250	26.880	2012/07/09	150,000,000	2011/12/14
15800	J P Morgan SP BV	PICC European Warrants Sep 2012	0.250	12.000	2012/09/27	200,000,000	2011/12/14
15785	CC Rabobank B.A.	Anhui Conch European Warrants Jun 2012	0.430	30.050	2012/06/18	100,000,000	2011/12/14
15786	CC Rabobank B.A.	Brilliance Chi European Warrants Oct 2012	0.250	9.500	2012/10/22	100,000,000	2011/12/14
15784	CC Rabobank B.A.	Li & Fung European Warrants Aug 2012	0.260	17.500	2012/08/20	150,000,000	2011/12/14
15783	CC Rabobank B.A.	MTRC European Warrants Jul 2012	0.230	26.330	2012/07/25	100,000,000	2011/12/14
15787	CC Rabobank B.A.	Sands China European Warrants Jun 2012	0.380	25.800	2012/06/25	100,000,000	2011/12/14
15793	Standard Chartered Bank	CC Bank European Wts Jul 2012	0.250	6.48 per unit	2012/07/05	100,000,000	2011/12/14
15796	SGA Societe Generale Acceptance N.V.	CLife Eu Wt May 2012	0.270	20.800	2012/05/28	300,000,000	2011/12/14
15797	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2012A	0.190	23.280	2012/06/18	300,000,000	2011/12/14
15794	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2012 A	0.270	68.700	2012/04/25	300,000,000	2011/12/14
15795	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2012 A	0.190	75.000	2012/05/30	300,000,000	2011/12/14
15798	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2012 G	0.220	18,400 per 8,000 units	2012/04/27	300,000,000	2011/12/14
15805	UBS AG	HSCEI European Warrants Jun 2012 A	0.150	11,200 per 5,600 units	2012/06/28	100,000,000	2011/12/14
15806	UBS AG	HSI European Warrants Mar 2012 F	0.150	19,300 per 9,000 units	2012/03/29	300,000,000	2011/12/14
15804	UBS AG	ICBC European Warrants Apr 2012 A	0.230	5.69 per unit	2012/04/26	200,000,000	2011/12/14
15809	BOCI Asia Ltd.	BOCL European Warrants Aug 2012	0.250	3.08 per unit	2012/08/06	100,000,000	2011/12/15
15810	BOCI Asia Ltd.	HSBC European Put Warrants May 2012	0.350	54.000	2012/05/14	100,000,000	2011/12/15

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15808	BOCI Asia Ltd.	Li & Fung European Warrants Jul 2012	0.250	18.180	2012/07/04	100,000,000	2011/12/15
15807	BOCI Asia Ltd.	Tencent Holdings European Warrants Aug 2012	0.190	168 per 100 units	2012/08/03	200,000,000	2011/12/15
15811	Goldman Sachs SP (Asia)	China Life Euro Wts Jun 2012	0.250	22.880	2012/06/25	200,000,000	2011/12/15
15812	HK Bank	Angang Steel European Warrants Sep 2012	0.370	6.68 per unit	2012/09/11	68,000,000	2011/12/15
15815	HK Bank	Minsheng Bank European Warrants Aug 2012	0.430	7.50 per unit	2012/08/15	80,000,000	2011/12/15
15813	HK Bank	Shimao Ppt European Warrants Sep 2012	0.290	8.50 per unit	2012/09/21	68,000,000	2011/12/15
15814	HK Bank	Zhaojin Mining European Warrants Nov 2012	0.250	16.880	2012/11/19	80,000,000	2011/12/15
15821	Macquarie Bank Ltd.	Ch Oilfield European Warrants Dec 2012	0.250	16.000	2012/12/17	150,000,000	2011/12/15
15825	Macquarie Bank Ltd.	Geely Auto European Warrants Jul 2012	0.252	2.28 per unit	2012/07/04	150,000,000	2011/12/15
15826	Macquarie Bank Ltd.	Li Ning European Warrants Jun 2013	0.152	7.880	2013/06/26	150,000,000	2011/12/15
15824	Standard Chartered Bank	HSBC European Warrants Jul 2012	0.250	72.880	2012/07/04	150,000,000	2011/12/15
15823	Standard Chartered Bank	HSI European Warrants Jun 2012	0.250	20,400 per 6,000 units	2012/06/28	200,000,000	2011/12/15
15816	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jun 2012	0.180	3.18 per unit	2012/06/27	200,000,000	2011/12/15
15818	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2012 A	0.300	6.88 per unit	2012/06/27	200,000,000	2011/12/15
15817	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Dec 2013	0.150	108 per 100 units	2013/12/30	300,000,000	2011/12/15
15819	SGA Societe Generale Acceptance N.V.	CPIC Euro Wts Jun 2012	0.190	28.000	2012/06/04	100,000,000	2011/12/15
15820	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2012	0.280	5.88 per unit	2012/06/27	200,000,000	2011/12/15
15822	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Jul12	0.250	19.880	2012/07/23	150,000,000	2011/12/15
15830	UBS AG	AIA European Warrants Jul 2012	0.180	26.050	2012/07/09	200,000,000	2011/12/15
15829	UBS AG	Sinopec Corp European Warrants Jun 2012 A	0.470	9.48 per unit	2012/06/29	100,000,000	2011/12/15
15827	UBS AG	HSBC European Warrants May 2012 A	0.360	67.930	2012/05/07	300,000,000	2011/12/15
15828	UBS AG	Hutchison European Warrants May 2012	0.270	79.930	2012/05/28	200,000,000	2011/12/15
14005 <sup>†</sup>	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Mar 2012	0.079	22.690	2012/03/05	130,000,000	2011/12/15
15831	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Jun 2012	0.910	10.50 per unit	2012/06/18	50,000,000	2011/12/16
15849	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2012	0.150	19,000 per 8,000 units	2012/03/29	200,000,000	2011/12/16
15838	HK Bank	BOC HK European Warrants Jun 2012	0.150	20.000	2012/06/22	68,000,000	2011/12/16
15839	HK Bank	Ch Ship Cont European Warrants Sep 2012	0.250	2 per unit	2012/09/20	68,000,000	2011/12/16
15837	HK Bank	Foxconn European Warrants Sep 2012	0.310	6.80 per unit	2012/09/27	68,000,000	2011/12/16
15841	HK Bank	HSI European Warrants Dec 2012 B	0.250	21,000 per 8,000 units	2012/12/28	250,000,000	2011/12/16
15842	HK Bank	HSI European Put Warrants Jun 2012 A	0.170	17,600 per 8,000 units	2012/06/28	250,000,000	2011/12/16
15840	HK Bank	Zijin Mining European Warrants Oct 2012	0.250	4.38 per unit	2012/10/18	80,000,000	2011/12/16
15835	J P Morgan SP BV	Evergrande European Warrants Jun 2012	0.250	4.28 per unit	2012/06/28	100,000,000	2011/12/16
15836	J P Morgan SP BV	HSI European Warrants Oct 2012	0.150	20,600 per 8,000 units	2012/10/30	200,000,000	2011/12/16
15834	J P Morgan SP BV	HSI European Put Warrants Jun 2012 A	0.250	20,400 per 12,000 units	2012/06/28	200,000,000	2011/12/16
15833	J P Morgan SP BV	New World Dev European Warrants Jun 2012A	0.300	8 per unit	2012/06/28	80,000,000	2011/12/16
15848	J P Morgan SP BV	PetroChina European Warrants Jan 2013	0.150	9.000	2013/01/30	300,000,000	2011/12/16
15832	J P Morgan SP BV	Ping An European Warrants Jun 2012 B	0.390	68.880	2012/06/28	100,000,000	2011/12/16
15845	Macquarie Bank Ltd.	BJ Ent Water European Wts Sep 2012	0.283	3 per unit	2012/09/04	80,000,000	2011/12/16
15843	Macquarie Bank Ltd.	A50 China European Warrants Jan 2013	0.250	9.880	2013/01/03	200,000,000	2011/12/16
15846	Macquarie Bank Ltd.	Gome European Warrants Sep 2012	0.277	2.50 per unit	2012/09/03	80,000,000	2011/12/16

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15853	Macquarie Bank Ltd.	Henganintl European Warrants Jun 2012	0.276	88.880	2012/06/18	150,000,000	2011/12/16
15850	Macquarie Bank Ltd.	LOccitane European Warrants Dec 2012	0.250	20.000	2012/12/10	150,000,000	2011/12/16
15847	Macquarie Bank Ltd.	Sh Petrochem European Wts Jul 2012	0.253	3.50 per unit	2012/07/09	100,000,000	2011/12/16
15852	Macquarie Bank Ltd	Skyworth Digital European Wts Jun 2012	0.270	4.18 per unit	2012/06/18	80,000,000	2011/12/16
15851	Macquarie Bank Ltd.	ZTE European Warrants Jun 2012	0.251	28.880	2012/06/18	180,000,000	2011/12/16
15844	Standard Chartered Bank	HSI European Put Wts Jun 2012	0.250	18,800 per 8,000 units	2012/06/28	200,000,000	2011/12/16
24898*	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Apr 2012 B	0.036	11.610	2012/04/23	280,000,000	2011/12/16
15880	Citigroup Global Mkt H Inc.	BankComm Euro Wts Jun 2012	0.280	6.38 per unit	2012/06/25	80,000,000	2011/12/19
15879	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt May 2012	0.230	39.288	2012/05/28	80,000,000	2011/12/19
15883	Citigroup Global Mkt H Inc.	HSI European Put Wt Mar 2012 C	0.150	18,338 per 7,000 units	2012/03/29	150,000,000	2011/12/19
15884	Citigroup Global Mkt H Inc.	Jiangxi Copper Eur Wt Jun 2012	0.250	21.880	2012/06/19	80,000,000	2011/12/19
15882	Citigroup Global Mkt H Inc.	Lenovo European Wts Jun 2012	0.300	6.58 per unit	2012/06/25	50,000,000	2011/12/19
15881	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jun 2012	0.350	69.980	2012/06/11	80,000,000	2011/12/19
15858	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2012	0.150	19,600 per 8,000 units	2012/04/27	200,000,000	2011/12/19
15859	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2012	0.158	19,000 per 10,000 units	2012/03/29	200,000,000	2011/12/19
15857	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2012	0.156	18,200 per 9,000 units	2012/04/27	200,000,000	2011/12/19
15860	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2012 A	0.150	18,600 per 9,000 units	2012/03/29	200,000,000	2011/12/19
15861	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2012 B	0.179	18,400 per 7,000 units	2012/03/29	200,000,000	2011/12/19
15855	J P Morgan SP BV	BOCL European Warrants Oct 2012	0.250	3.38 per unit	2012/10/03	200,000,000	2011/12/19
15856	J P Morgan SP BV	A50 China European Warrants Jul 2012 A	0.408	12.88 per unit	2012/07/03	200,000,000	2011/12/19
15854	J P Morgan SP BV	A50 China European Put Warrants Dec 2012	0.250	12.000	2012/12/03	200,000,000	2011/12/19
15878	Macquarie Bank Ltd.	Alibaba European Warrants May 2013	0.177	8.880	2013/05/24	150,000,000	2011/12/19
15876	Macquarie Bank Ltd.	Anta Sports European Warrants Dec 2012	0.172	9.500	2012/12/27	200,000,000	2011/12/19
15875	Macquarie Bank Ltd.	Chi Res Land European Wts Aug 2012	0.250	14.000	2012/08/02	180,000,000	2011/12/19
15874	Macquarie Bank Ltd.	Hysan Dev European Warrants Sep 2012	0.250	28.880	2012/09/04	180,000,000	2011/12/19
15877	Macquarie Bank Ltd.	NVC Lighting Euro Warrants Mar 2012	0.150	3.80 per unit	2012/03/19	80,000,000	2011/12/19
15871	Nomura Int'l plc	AIA European Warrants Sep 2012	0.250	26.500	2012/09/28	100,000,000	2011/12/19
15864	Nomura Int'l plc	CITIC P European Warrants Dec 2012	0.250	16.000	2012/12/31	100,000,000	2011/12/19
15866	Nomura Int'l plc	JIANG European Warrants Jun 2012 A	0.260	18.180	2012/06/29	100,000,000	2011/12/19
15867	Nomura Int'l plc	Li & Fung European Warrants Sep 2012	0.250	17.500	2012/09/28	100,000,000	2011/12/19
15873	Nomura Int'l plc	Lonking European Warrants Jun 2012	0.250	3.88 per unit	2012/06/29	100,000,000	2011/12/19
15872	Nomura Int'l plc	Nine Dragons European Warrants Jul 2013	0.155	6.510	2013/07/26	100,000,000	2011/12/19
15868	Nomura Int'l plc	PetroChina European Warrants Nov 2012	0.195	8.580	2012/11/27	100,000,000	2011/12/19
15865	Nomura Int'l plc	Sh Petrochem European Warrants Jul 2012	0.150	3.61 per unit	2012/07/26	100,000,000	2011/12/19
15862	Nomura Int'l plc	Wharf European Warrants Jun 2012	0.250	45.000	2012/06/29	100,000,000	2011/12/19
15863	Nomura Int'l plc	Yuexiu Property European Wts Jun 2013	0.250	1.88 per unit	2013/06/28	100,000,000	2011/12/19
15908	Barclays Bank plc	CNOOC European Warrants Dec 2012	0.161	17.280	2012/12/04	100,000,000	2011/12/20
15910	Barclays Bank plc	Sinopec Corp European Wts Aug 2012	0.365	9.30 per unit	2012/08/31	100,000,000	2011/12/20
15907	Barclays Bank plc	HSBC European Warrants Jul 2012	0.265	68.000	2012/07/31	100,000,000	2011/12/20
15911	Barclays Bank plc	Hutchison European Warrants Jun 2012	0.408	70.880	2012/06/29	80,000,000	2011/12/20
15909	Barclays Bank plc	Tencent Holdings European Wts Sep 2012	0.201	165 per 100 units	2012/09/04	100,000,000	2011/12/20
15885	BOCI Asia Ltd.	China Tel European Warrants Jul 2012	0.250	5.88 per unit	2012/07/04	100,000,000	2011/12/20

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15899	Bank of East Asia	Anhui Conch European Warrants Jul 2012	0.250	30.914	2012/07/23	70,000,000	2011/12/20
15898	Bank of East Asia	BOCHK European Put Warrants Dec 2012	0.250	16.000	2012/12/24	100,000,000	2011/12/20
15901	Bank of East Asia	China Overseas Euro Warrants Apr 2013	0.250	16.688	2013/04/23	90,000,000	2011/12/20
15902	Bank of East Asia	China Railway European Warrants Sep 2012	0.250	3.60 per unit	2012/09/24	50,000,000	2011/12/20
15900	Bank of East Asia	JIANC European Warrants Oct 2012	0.250	22.358	2012/10/22	80,000,000	2011/12/20
15889	Goldman Sachs SP (Asia)	CC Bank European Wts Mar 2012	0.432	5.50 per unit	2012/03/26	100,000,000	2011/12/20
15890	Goldman Sachs SP (Asia)	CC Bank European Wts Mar 2012 A	0.268	5.90 per unit	2012/03/26	120,000,000	2011/12/20
15892	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2012 C	0.150	18,000 per 12,000 units	2012/03/29	200,000,000	2011/12/20
15894	Goldman Sachs SP (Asia)	HSI European Warrants Mar 2012 D	0.219	17,500 per 9,000 units	2012/03/29	200,000,000	2011/12/20
15891	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2012 A	0.150	17,700 per 8,000 units	2012/04/27	200,000,000	2011/12/20
15893	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2012 B	0.150	17,200 per 8,000 units	2012/04/27	200,000,000	2011/12/20
15912	Macquarie Bank Ltd.	China Overseas European Wts Oct 2012	0.254	17.500	2012/10/04	150,000,000	2011/12/20
15895	Standard Chartered Bank	Hutchison Euro Euro Wts Apr 2012	0.310	74.000	2012/04/23	100,000,000	2011/12/20
15896	Standard Chartered Bank	SHK P European Warrants Jun 2012	0.250	120.000	2012/06/25	100,000,000	2011/12/20
15886	SGA Societe Generale Acceptance N.V.	Anhui Conch Eu Wt Jun 12	0.290	28.880	2012/06/20	80,000,000	2011/12/20
15897	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jun 2012	0.265	3.68 per unit	2012/06/20	200,000,000	2011/12/20
15887	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jun 2012	0.470	66.500	2012/06/20	100,000,000	2011/12/20
15888	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Apr 2012	0.180	146 per 100 units	2012/04/23	400,000,000	2011/12/20
15903	UBS AG	China Mobile European Warrants Apr 2012 D	0.250	80.000	2012/04/23	200,000,000	2011/12/20
15905	UBS AG	CNOOC European Warrants Jun 2012 B	0.250	13.380	2012/06/25	200,000,000	2011/12/20
15906	UBS AG	CNOOC European Warrants Jul 2012	0.160	15.520	2012/07/23	200,000,000	2011/12/20
15904	UBS AG	Sinopec Corp European Warrants Dec 2013	0.200	7.290	2013/12/04	300,000,000	2011/12/20
15230 #	Daiwa Capital Mkt	China Life European Warrants Apr 2012	0.092	22.480	2012/04/10	140,000,000	2011/12/20
15929	BOCI Asia Ltd.	CC Bank European Put Warrants May 2012	0.170	4.28 per unit	2012/05/03	100,000,000	2011/12/21
15930	BOCI Asia Ltd.	CNOOC European Put Warrants Jun 2012	0.150	12.800	2012/06/25	100,000,000	2011/12/21
15924	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2012	0.251	80.000	2012/04/16	200,000,000	2011/12/21
15928	Goldman Sachs SP (Asia)	CC Bank European Wts Jun 2012	0.252	6.38 per unit	2012/06/08	120,000,000	2011/12/21
15927	Goldman Sachs SP (Asia)	China Life Euro Wts Mar 2012	0.198	20.000	2012/03/28	300,000,000	2011/12/21
15926	Goldman Sachs SP (Asia)	China Life Euro Wts May 2012	0.184	20.900	2012/05/14	168,000,000	2011/12/21
15925	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2012 A	0.513	74.000	2012/04/17	200,000,000	2011/12/21
15935	Macquarie Bank Ltd.	China Unicom European Wts Dec 2012	0.250	17.000	2012/12/04	200,000,000	2011/12/21
15934	Macquarie Bank Ltd.	China Coal European Warrants Aug 2012	0.150	8.990	2012/08/02	200,000,000	2011/12/21
15937	Macquarie Bank Ltd.	China Shenhua European Wts Jul 2012	0.265	38.880	2012/07/04	200,000,000	2011/12/21
15932	Macquarie Bank Ltd.	HSBC European Warrants Jul 2012	0.250	67.500	2012/07/04	200,000,000	2011/12/21
15933	Macquarie Bank Ltd.	HSBC European Warrants Jul 2012 A	0.445	60.000	2012/07/04	200,000,000	2011/12/21
15931	Macquarie Bank Ltd.	MTRC European Warrants Jul 2012	0.255	26.000	2012/07/04	200,000,000	2011/12/21
15936	Macquarie Bank Ltd.	Sands China European Warrants Jul 2012	0.250	28.880	2012/07/04	150,000,000	2011/12/21
15914	Merrill Lynch Int'l & Co. C.V.	HSI European Wts May 2012 A	0.154	19,000 per 9,000 units	2012/05/30	100,000,000	2011/12/21
15923	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2012 E	0.255	19,300 per 6,000 units	2012/06/28	100,000,000	2011/12/21
15915	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts May 2012 B	0.171	18,100 per 8,000 units	2012/05/30	100,000,000	2011/12/21
15916	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2012 D	0.250	18,200 per 8,000 units	2012/06/28	100,000,000	2011/12/21
15913	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Apr 2012 A	0.153	152.89 per 100 units	2012/04/17	80,000,000	2011/12/21
15918	CC Rabobank B.A.	A50 China European Warrants Aug 2012	0.500	12 per unit	2012/08/20	50,000,000	2011/12/21

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15919	CC Rabobank B.A.	A50 China European Warrants Nov 2012	0.150	10.000	2012/11/05	150,000,000	2011/12/21
15920	CC Rabobank B.A.	A50 China European Warrants Sep 2014	0.250	11.000	2014/09/22	150,000,000	2011/12/21
15921	CC Rabobank B.A.	A50 China European Put Warrants Jul 2012	0.150	10.660	2012/07/26	150,000,000	2011/12/21
15917	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 2012A	0.260	80.380	2012/05/16	200,000,000	2011/12/21
15939	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Dec 2012	0.230	120.98 per 100 units	2012/12/03	500,000,000	2011/12/21
15922	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2012 E	0.150	19,000 per 8,000 units	2012/03/29	300,000,000	2011/12/21
15938	UBS AG	Agricultural Bank European Warrants Jun 2012	0.300	3.70 per unit	2012/06/25	200,000,000	2011/12/21
15957	Citigroup Global Mkt H Inc.	BBMG European Wts Sep 2013	0.150	6.208	2013/09/26	80,000,000	2011/12/22
15950	Citigroup Global Mkt H Inc.	Belle Int'l Euro Wts Jun 2012	0.150	18.388	2012/06/11	80,000,000	2011/12/22
15951	Citigroup Global Mkt H Inc.	BOC HK European Wts Jun 2012	0.150	18.888	2012/06/21	80,000,000	2011/12/22
15952	Citigroup Global Mkt H Inc.	China Life Euro Wts May 2012 A	0.150	20.888	2012/05/10	80,000,000	2011/12/22
15955	Citigroup Global Mkt H Inc.	Evergrande Euro Wts Jun 2012	0.250	3.88 per unit	2012/06/25	80,000,000	2011/12/22
15948	Citigroup Global Mkt H Inc.	KECL European Wts Dec 2012	0.150	11.018	2012/12/27	80,000,000	2011/12/22
15956	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wts Jun 2012	0.350	1,438 per 100 units	2012/06/25	40,000,000	2011/12/22
15949	Citigroup Global Mkt H Inc.	Yanzhou Coal Euro Wt Jun 2012	0.150	20.018	2012/06/11	80,000,000	2011/12/22
15954	Citigroup Global Mkt H Inc.	Zijin Mining Euro Wts Jun 2012	0.250	3.38 per unit	2012/06/25	80,000,000	2011/12/22
15953	Citigroup Global Mkt H Inc.	ZTE European Warrants Jun 2012	0.250	29.380	2012/06/25	80,000,000	2011/12/22
15940	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2012	0.263	83.880	2012/07/03	150,000,000	2011/12/22
15944	Goldman Sachs SP (Asia)	A50 China European Wts Nov 2012	0.165	9.980	2012/11/26	200,000,000	2011/12/22
15942	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2012	0.309	62.930	2012/04/16	150,000,000	2011/12/22
15943	Goldman Sachs SP (Asia)	HSBC European Warrants May 2012	0.200	67.880	2012/05/07	150,000,000	2011/12/22
15941	Goldman Sachs SP (Asia)	HSBC European Warrants Jul 2012	0.642	58.000	2012/07/09	150,000,000	2011/12/22
15946	Macquarie Bank Ltd.	Ch LongYuan European Warrants Jun 2012	0.596	7 per unit	2012/06/22	80,000,000	2011/12/22
15947	Macquarie Bank Ltd.	CNOOC European Warrants Jul 2012	0.250	14.000	2012/07/04	200,000,000	2011/12/22
15945	Macquarie Bank Ltd.	Sh Electric European Warrants Jun 2012	0.254	4.18 per unit	2012/06/22	80,000,000	2011/12/22
15958	UBS AG	China Life European Warrants Jun 2012 A	0.210	19.400	2012/06/21	300,000,000	2011/12/22
15967	Goldman Sachs SP (Asia)	AIA European Warrants Jun 2012	0.173	24.280	2012/06/04	150,000,000	2011/12/23
15968	Goldman Sachs SP (Asia)	BOC HK European Warrants Jun 2012	0.155	18.880	2012/06/25	150,000,000	2011/12/23
15964	Goldman Sachs SP (Asia)	Hutchison European Wts Apr 2012	0.561	63.930	2012/04/02	200,000,000	2011/12/23
15966	Goldman Sachs SP (Asia)	Hutchison European Wts Jun 2012	0.292	73.850	2012/06/11	200,000,000	2011/12/23
15965	Goldman Sachs SP (Asia)	Hutchison European Wts Apr 2012 A	0.313	70.000	2012/04/02	200,000,000	2011/12/23
15962	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt May 2012	0.215	2.99 per unit	2012/05/14	50,000,000	2011/12/23
15963	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Aug 2012 A	0.315	12.50 per unit	2012/08/27	40,000,000	2011/12/23
15959	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts May 2012 B	0.154	70.010	2012/05/28	70,000,000	2011/12/23
15961	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts May 2012 A	0.255	5.19 per unit	2012/05/28	40,000,000	2011/12/23
15960	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Jun 2012	0.375	10.39 per unit	2012/06/25	40,000,000	2011/12/23
15984	Nomura Int'l plc	Anhui Conch European Warrants Sep 2012	0.266	28.880	2012/09/27	50,000,000	2011/12/23
15995	Nomura Int'l plc	BOC HK European Warrants Jun 2012	0.152	18.900	2012/06/21	100,000,000	2011/12/23
15998	Nomura Int'l plc	BOCL European Warrants Jul 2012 A	0.150	3.18 per unit	2012/07/26	100,000,000	2011/12/23
15985	Nomura Int'l plc	CC Bank European Warrants Jun 2012	0.254	5.99 per unit	2012/06/29	50,000,000	2011/12/23
15993	Nomura Int'l plc	China COSCO European Warrants Sep 2012	0.280	5 per unit	2012/09/28	100,000,000	2011/12/23
15992	Nomura Int'l plc	China Coal European Warrants Jan 2013	0.150	10.190	2013/01/28	100,000,000	2011/12/23
15986	Nomura Int'l plc	CITIC Bank European Warrants Jul 2012	0.155	5.508 per unit	2012/07/26	100,000,000	2011/12/23

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
15979	Nomura Int'l plc	Cheung Kong European Warrants Dec 2013 A	0.210	78.88 per 100 units	2013/12/23	100,000,000	2011/12/23
15988	Nomura Int'l plc	China Shenhua European Warrants Sep 2012	0.257	36.880	2012/09/27	50,000,000	2011/12/23
15991	Nomura Int'l plc	CSR European Warrants Dec 2014	0.250	5.880	2014/12/22	50,000,000	2011/12/23
15987	Nomura Int'l plc	Dongfang Elec European Warrants Jun 2012	0.251	28.880	2012/06/29	50,000,000	2011/12/23
15996	Nomura Int'l plc	A50 China European Warrants Sep 2012	0.155	9.380	2012/09/11	100,000,000	2011/12/23
15980	Nomura Int'l plc	Hutchison European Warrants Mar 2013	0.160	53 per 100 units	2013/03/28	100,000,000	2011/12/23
15983	Nomura Int'l plc	KECL European Warrants Dec 2012	0.150	11.000	2012/12/27	100,000,000	2011/12/23
15994	Nomura Int'l plc	Ping An European Warrants Sep 2013	0.150	48.88 per 100 units	2013/09/30	100,000,000	2011/12/23
15982	Nomura Int'l plc	Poly European Warrants Oct 2012	0.320	4.18 per unit	2012/10/31	100,000,000	2011/12/23
15989	Nomura Int'l plc	Ch Rongsheng European Warrants Oct 2012	0.290	2.88 per unit	2012/10/31	100,000,000	2011/12/23
15981	Nomura Int'l plc	SHK P European Warrants Feb 2013	0.180	88 per 100 units	2013/02/28	100,000,000	2011/12/23
15990	Nomura Int'l plc	Yanzhou Coal European Warrants Sep 2012	0.253	18.680	2012/09/27	50,000,000	2011/12/23
15997	Nomura Int'l plc	Zijin Mining European Warrants Sep 2012	0.300	4 per unit	2012/09/28	100,000,000	2011/12/23
15969	CC Rabobank B.A.	CC Bank European Warrants Jul 2012	0.450	5.50 per unit	2012/07/23	150,000,000	2011/12/23
15970	CC Rabobank B.A.	CC Bank European Warrants Aug 2012	0.250	6 per unit	2012/08/20	150,000,000	2011/12/23
15971	CC Rabobank B.A.	CC Bank European Warrants Sep 2012	0.250	6.50 per unit	2012/09/17	150,000,000	2011/12/23
15972	CC Rabobank B.A.	CC Bank European Put Warrants Jul 2012	0.750	5.50 per unit	2012/07/23	100,000,000	2011/12/23
15978	CC Rabobank B.A.	CPIC European Warrants Aug 2012	0.270	21.000	2012/08/20	100,000,000	2011/12/23
15974	CC Rabobank B.A.	ICBC European Warrants May 2012	0.300	5.18 per unit	2012/05/28	150,000,000	2011/12/23
15975	CC Rabobank B.A.	ICBC European Warrants Aug 2012	0.500	4.68 per unit	2012/08/28	150,000,000	2011/12/23
15977	CC Rabobank B.A.	ICBC European Put Warrants Aug 2012	0.500	4.20 per unit	2012/08/20	100,000,000	2011/12/23
15976	CC Rabobank B.A.	ICBC European Warrants Aug 2012 A	0.250	5.70 per unit	2012/08/20	150,000,000	2011/12/23
15973	CC Rabobank B.A.	Yanzhou Coal European Warrants Aug 2012	0.250	16.000	2012/08/20	100,000,000	2011/12/23
15999	Standard Chartered Bank	A50 China Euro Warrants Sep 2012	0.160	9.390	2012/09/11	100,000,000	2011/12/23
16031	Barclays Bank plc	Agricultural Bank European Wts Sep 2012	0.250	4 per unit	2012/09/28	100,000,000	2011/12/28
16032	Barclays Bank plc	AIA European Warrants Sep 2012	0.157	30.000	2012/09/28	100,000,000	2011/12/28
16033	Barclays Bank plc	BOCL European Warrants Sep 2012	0.260	3.35 per unit	2012/09/28	100,000,000	2011/12/28
16029	Barclays Bank plc	China Mobile European Wts Sep 2012	0.257	90.000	2012/09/28	100,000,000	2011/12/28
16030	Barclays Bank plc	CITBK European Warrants Jul 2012	0.262	5.25 per unit	2012/07/31	100,000,000	2011/12/28
16025	BNP Paribas Arbit Issu B.V.	HSI European Wts Dec 2012	0.150	19,000 per 10,000 units	2012/12/28	200,000,000	2011/12/28
16026	BNP Paribas Arbit Issu B.V.	HSI European Wts Aug 2013	0.150	20,000 per 10,000 units	2013/08/29	200,000,000	2011/12/28
16027	BNP Paribas Arbit Issu B.V.	HSI European Wts Dec 2014	0.250	18,000 per 10,000 units	2014/12/30	200,000,000	2011/12/28
16028	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jul 2012	0.180	17,600 per 10,000 units	2012/07/30	200,000,000	2011/12/28
16023	Citigroup Global Mkt H Inc.	AIA European Warrants Jun 2012	0.250	24.380	2012/06/29	80,000,000	2011/12/28
16017	Citigroup Global Mkt H Inc.	CC Bank European Wt Jul 2012	0.250	5.88 per unit	2012/07/16	80,000,000	2011/12/28
16016	Citigroup Global Mkt H Inc.	C Transmission Eur Wt Jun 2012	0.250	4.28 per unit	2012/06/29	50,000,000	2011/12/28
16018	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jun 2012 A	0.300	78.880	2012/06/29	80,000,000	2011/12/28
16020	Citigroup Global Mkt H Inc.	ICBC European Wts Jun 2012	0.250	5.28 per unit	2012/06/29	80,000,000	2011/12/28
16022	Citigroup Global Mkt H Inc.	Lonking European Wts Jun 2012	0.250	3.28 per unit	2012/06/29	50,000,000	2011/12/28
16015	Citigroup Global Mkt H Inc.	Luk Fook Hold Euro Wt Jun 2012	0.250	36.880	2012/06/29	50,000,000	2011/12/28
16024	Citigroup Global Mkt H Inc.	PICC P&C European Wts Aug 2012	0.150	12.888 per 10 units	2012/08/09	80,000,000	2011/12/28
16019	Citigroup Global Mkt H Inc.	Yurun Food Euro Wts Jun 2012	0.150	12.888	2012/06/26	80,000,000	2011/12/28
16021	Citigroup Global Mkt H Inc.	Zijin Mining Euro Wts Jul 2012	0.250	3.88 per unit	2012/07/10	50,000,000	2011/12/28



## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16002	Goldman Sachs SP (Asia)	CNOOC European Warrants Jun 2012	0.150	14.600	2012/06/18	150,000,000	2011/12/28
16003	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Apr 2012	0.222	37.088	2012/04/23	150,000,000	2011/12/28
16000	Goldman Sachs SP (Asia)	JIANG European Warrants Apr 2012	0.180	18.900	2012/04/02	150,000,000	2011/12/28
16001	Goldman Sachs SP (Asia)	Tencent European Wt Apr 2012	0.150	163 per 100 units	2012/04/23	200,000,000	2011/12/28
16004	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Jul 2012	0.250	4 per unit	2012/07/04	120,000,000	2011/12/28
16009	Macquarie Bank Ltd.	Belle Int'l European Warrants Aug 2012	0.187	16.188	2012/08/16	200,000,000	2011/12/28
16005	Macquarie Bank Ltd.	China Mengniu European Wts Jul 2012	0.296	28.000	2012/07/04	150,000,000	2011/12/28
16008	Standard Chartered Bank	BOCL European Warrants Sep 2012 A	0.250	3.28 per unit	2012/09/05	100,000,000	2011/12/28
16007	Standard Chartered Bank	HKEx European Warrants Oct 2012	0.260	108.35 per 100 units	2012/10/31	100,000,000	2011/12/28
16006	Standard Chartered Bank	HSI European Put Wts Jul 2012	0.250	19,800 per 13,000 units	2012/07/30	200,000,000	2011/12/28
16013	UBS AG	China Life European Warrants Apr 2012 C	0.220	19.000	2012/04/10	300,000,000	2011/12/28
16012	UBS AG	CNOOC European Warrants Jul 2012 A	0.250	12.380	2012/07/10	200,000,000	2011/12/28
16014	UBS AG	A50 China European Warrants Feb 2013	0.250	8.880	2013/02/25	300,000,000	2011/12/28
16010	UBS AG	Hutchison European Warrants Jul 2012	0.540	69.380	2012/07/10	200,000,000	2011/12/28
16011	UBS AG	Hutchison European Warrants Jun 2012 C	0.680	65.000	2012/06/28	200,000,000	2011/12/28
16072	BNP Paribas Arbit Issu B.V.	Brilliance Chi Eur Wt Mar 2013	0.250	8.180	2013/03/04	100,000,000	2011/12/29
16073	BNP Paribas Arbit Issu B.V.	BYD European Warrants Sep 2012	0.320	18.800	2012/09/04	86,000,000	2011/12/29
16074	BNP Paribas Arbit Issu B.V.	China COSCO Euro Wts Aug 2012	0.660	4.20 per unit	2012/08/02	39,000,000	2011/12/29
16069	BNP Paribas Arbit Issu B.V.	Dongfang Elec Euro Wt Sep 2012	0.400	26.880	2012/09/04	40,000,000	2011/12/29
16071	BNP Paribas Arbit Issu B.V.	Ch Rongsheng Euro Wt Oct 2012	0.470	2.38 per unit	2012/10/04	36,000,000	2011/12/29
16075	BNP Paribas Arbit Issu B.V.	Sands China Euro Wts Sep 2012	0.410	24.000	2012/09/04	100,000,000	2011/12/29
16070	BNP Paribas Arbit Issu B.V.	Sinopharm Euro Wts Aug 2012	0.250	22.800	2012/08/02	60,000,000	2011/12/29
16076	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts Oct 2012	0.350	22.280	2012/10/04	100,000,000	2011/12/29
16077	BNP Paribas Arbit Issu B.V.	Zhaojin Mining Eur Wt Oct 2012	0.250	13.380	2012/10/04	74,000,000	2011/12/29
16060	Credit Suisse AG	AIA European Warrants May 2012	0.150	23.900	2012/05/03	300,000,000	2011/12/29
16058	Credit Suisse AG	China Mobile European Warrants Jun 2012	0.250	78.380	2012/06/29	300,000,000	2011/12/29
16055	Credit Suisse AG	ChiUnicom European Warrants May 2012	0.150	16.900	2012/05/28	200,000,000	2011/12/29
16057	Credit Suisse AG	CC Bank European Warrants Jul 2012 A	0.250	5.68 per unit	2012/07/03	300,000,000	2011/12/29
16047	Credit Suisse AG	Cheung Kong European Warrants Jun 2012 A	0.250	98.000	2012/06/29	100,000,000	2011/12/29
16056	Credit Suisse AG	CNOOC European Warrants Jun 2012	0.150	14.600	2012/06/25	300,000,000	2011/12/29
16050	Credit Suisse AG	Sinopec Corp European Warrants Jun 2012	0.250	8.98 per unit	2012/06/29	200,000,000	2011/12/29
16059	Credit Suisse AG	China Shenhua European Wts Jun 2012 A	0.250	36.880	2012/06/29	100,000,000	2011/12/29
16051	Credit Suisse AG	HKEx European Warrants Aug 2012	0.150	130.30 per 100 units	2012/08/01	300,000,000	2011/12/29
16048	Credit Suisse AG	HSBC European Wts Apr 2012 A	0.150	68.700	2012/04/30	300,000,000	2011/12/29
16052	Credit Suisse AG	Li & Fung European Warrants Oct 2012	0.150	20.000	2012/10/03	100,000,000	2011/12/29
16049	Credit Suisse AG	SHK Ppt European Warrants Jun 2012	0.400	108.000	2012/06/29	100,000,000	2011/12/29
16053	Credit Suisse AG	Tencent Holdings European Wts May 2012	0.150	158.90 per 100 units	2012/05/28	300,000,000	2011/12/29
16054	Credit Suisse AG	Tencent Holdings European Wts May 2012 A	0.150	168 per 100 units	2012/05/28	300,000,000	2011/12/29
16036	Goldman Sachs SP (Asia)	Agri Bk European Wts Apr 2012	0.195	3.58 per unit	2012/04/12	120,000,000	2011/12/29
16037	Goldman Sachs SP (Asia)	HKEx European Warrants Aug 2012	0.152	130.28 per 100 units	2012/08/06	200,000,000	2011/12/29
16035	Goldman Sachs SP (Asia)	Ping An European Warrants Jul 2012	0.529	60.000	2012/07/03	150,000,000	2011/12/29
16034	Goldman Sachs SP (Asia)	Tencent European Put Wt Jul 2012	0.174	145 per 100 units	2012/07/09	150,000,000	2011/12/29
16041	HK Bank	Agricultural Bank European Warrants Jun 2012	0.250	3.38 per unit	2012/06/22	80,000,000	2011/12/29

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16043	HK Bank	BOCL European Warrants Jul 2012	0.330	2.58 per unit	2012/07/27	80,000,000	2011/12/29
16039	HK Bank	CC Bank European Warrants Jun 2012 A	0.620	5 per unit	2012/06/29	100,000,000	2011/12/29
16042	HK Bank	China Life European Warrants Sep 2012	0.250	18.880	2012/09/20	100,000,000	2011/12/29
16040	HK Bank	China Mobile European Warrants Jun 2012 A	0.480	72.880	2012/06/29	120,000,000	2011/12/29
16038	HK Bank	CNOOC European Warrants Sep 2012	0.250	12.880	2012/09/18	100,000,000	2011/12/29
16045	HK Bank	Sinopec Corp European Warrants Jun 2012	0.590	8.50 per unit	2012/06/29	80,000,000	2011/12/29
16044	HK Bank	A50 China European Warrants Nov 2012	0.150	10.000	2012/11/05	100,000,000	2011/12/29
16046	HK Bank	PetroChina European Warrants Jan 2013	0.150	9.000	2013/01/28	80,000,000	2011/12/29
16064	Macquarie Bank Ltd.	Chi Res Power European Wts Nov 2012	0.250	16.000	2012/11/29	150,000,000	2011/12/29
16080	Standard Chartered Bank	Belle Int'l European Wts Aug 2012	0.157	16.200	2012/08/30	100,000,000	2011/12/29
16063	Standard Chartered Bank	BOC HK European Warrants Jun 2012	0.150	20.000	2012/06/29	100,000,000	2011/12/29
16062	Standard Chartered Bank	CTel European Warrants Jul 2012	0.350	4.88 per unit	2012/07/03	100,000,000	2011/12/29
16081	Standard Chartered Bank	A50 China Euro Warrants Nov 2012	0.150	9.990	2012/11/23	200,000,000	2011/12/29
16078	Standard Chartered Bank	HSBC European Warrants Sep 2012	0.290	68.000	2012/09/05	150,000,000	2011/12/29
16061	Standard Chartered Bank	Hutchison Euro Euro Wts Jul 2012	0.260	75.000	2012/07/03	100,000,000	2011/12/29
16079	Standard Chartered Bank	Jiangxi Copper Euro Wts Sep 2012	0.250	21.000	2012/09/05	100,000,000	2011/12/29
16068	UBS AG	BOCL European Warrants Jun 2012	0.150	3.19 per unit	2012/06/25	100,000,000	2011/12/29
16067	UBS AG	CPIC European Warrants Jun 2012 A	0.250	22.880	2012/06/29	100,000,000	2011/12/29
16065	UBS AG	HSBC European Warrants Jun 2012 C	0.400	63.930	2012/06/25	300,000,000	2011/12/29
16066	UBS AG	PetroChina European Warrants Apr 2012	0.510	10.02 per unit	2012/04/30	100,000,000	2011/12/29
10145	BNP Paribas Arbit Issu B.V.	Gold European Wts Sep 2012	0.250	1,850 per 4,000 units	2012/09/06	200,000,000	2011/12/30
10146	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Sep 2012	0.250	1,500 per 4,000 units	2012/09/06	200,000,000	2011/12/30
10143	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt Nov 2012	0.250	105 per 400 units	2012/11/14	200,000,000	2011/12/30
10144	BNP Paribas Arbit Issu B.V.	Oil Futures Eu Put Wt Nov 2012	0.250	95 per 500 units	2012/11/14	200,000,000	2011/12/30
16098	Credit Suisse AG	Agricultural Bank European Wts Apr 2012	0.150	3.79 per unit	2012/04/05	120,000,000	2011/12/30
16096	Credit Suisse AG	Agricultural Bank European Wts Jun 2012	0.300	3.69 per unit	2012/06/25	120,000,000	2011/12/30
16097	Credit Suisse AG	Agricultural Bank European Wts Jun 2012 A	0.255	3.39 per unit	2012/06/25	120,000,000	2011/12/30
16102	Credit Suisse AG	BOC HK European Warrants Jun 2012	0.150	20.000	2012/06/25	80,000,000	2011/12/30
16104	Credit Suisse AG	BOCL European Warrants Jun 2012	0.150	3.09 per unit	2012/06/25	100,000,000	2011/12/30
16095	Credit Suisse AG	China Mobile European Warrants Apr 2012	0.150	80.000	2012/04/05	150,000,000	2011/12/30
16093	Credit Suisse AG	CC Bank European Warrants May 2012	0.350	6 per unit	2012/05/28	120,000,000	2011/12/30
16094	Credit Suisse AG	CC Bank European Warrants Apr 2012 A	0.200	6 per unit	2012/04/05	120,000,000	2011/12/30
16103	Credit Suisse AG	CM Bank European Warrants Sep 2012	0.170	18.200	2012/09/24	80,000,000	2011/12/30
16091	Credit Suisse AG	HSBC European Wts May 2012	0.450	60.000	2012/05/28	200,000,000	2011/12/30
16092	Credit Suisse AG	Hutchison European Warrants Apr 2012	0.400	70.400	2012/04/30	200,000,000	2011/12/30
16100	Credit Suisse AG	ICBC European Warrants Jun 2012	0.390	4.81 per unit	2012/06/25	120,000,000	2011/12/30
16099	Credit Suisse AG	ICBC European Warrants May 2012 A	0.300	5.19 per unit	2012/05/28	120,000,000	2011/12/30
16101	Credit Suisse AG	PICC European Warrants Sep 2012	0.150	11.980	2012/09/24	80,000,000	2011/12/30
16087	Goldman Sachs SP (Asia)	BOCL European Warrants Jul 2012	0.153	3.18 per unit	2012/07/30	120,000,000	2011/12/30
16089	Goldman Sachs SP (Asia)	Cheung Kong European Wts Jun 2012	0.152	112.800	2012/06/25	120,000,000	2011/12/30
16090	Goldman Sachs SP (Asia)	Sinopec Corp European Wts May 2012	0.318	9 per unit	2012/05/14	120,000,000	2011/12/30
16086	Goldman Sachs SP (Asia)	CPIC European Warrants Jul 2012	0.155	26.880	2012/07/03	120,000,000	2011/12/30
16088	Goldman Sachs SP (Asia)	SHK Ppt European Warrants Feb 2013	0.158	88 per 100 units	2013/02/18	150,000,000	2011/12/30

## Newly listed derivative warrants (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike level/ Subscription price (HK\$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
16084	HK Bank	AIA European Warrants Jul 2012	0.280	21.880	2012/07/20	100,000,000	2011/12/30
16085	HK Bank	Foxconn European Warrants Sep 2012 A	0.420	5.88 per unit	2012/09/12	60,000,000	2011/12/30
16082	HK Bank	Galaxy Ent European Warrants Oct 2012	0.250	16.880	2012/10/29	80,000,000	2011/12/30
16083	HK Bank	Lenovo European Warrants Sep 2012	0.450	7 per unit	2012/09/26	60,000,000	2011/12/30
16111	J P Morgan SP BV	Cheung Kong European Warrants Jul 2012	0.250	108.000	2012/07/03	80,000,000	2011/12/30
16110	J P Morgan SP BV	CNOOC European Warrants Jun 2012	0.150	14.600	2012/06/18	200,000,000	2011/12/30
16108	J P Morgan SP BV	Henderson Land Dev European Wts Oct 2012	0.250	42.880	2012/10/03	100,000,000	2011/12/30
16109	J P Morgan SP BV	HS Bank European Warrants Oct 2012	0.250	108.000	2012/10/03	65,000,000	2011/12/30
16107	J P Morgan SP BV	HSBC European Warrants Oct 2012	0.250	66.000	2012/10/03	200,000,000	2011/12/30
16105	Macquarie Bank Ltd.	Ping An European Warrants Jul 2012 A	0.338	65.000	2012/07/04	200,000,000	2011/12/30
16106	Macquarie Bank Ltd.	Ping An European Put Wts Jul 2012	0.424	45.000	2012/07/04	200,000,000	2011/12/30
16117	UBS AG	Agricultural Bank European Warrants May 2012	0.190	4 per unit	2012/05/28	100,000,000	2011/12/30
16115	UBS AG	China Unicom European Warrants May 2012	0.150	16.900	2012/05/28	100,000,000	2011/12/30
16116	UBS AG	CC Bank European Warrants Jul 2012 A	0.200	6.49 per unit	2012/07/05	200,000,000	2011/12/30
16119	UBS AG	China Life European Warrants Jul 2012 C	0.190	20.050	2012/07/09	300,000,000	2011/12/30
16112	UBS AG	Jiangxi Copper European Warrants Jun 2012 B	0.150	20.730	2012/06/18	100,000,000	2011/12/30
16118	UBS AG	Ping An European Warrants Sep 2013	0.150	48.88 per 100 units	2013/09/16	200,000,000	2011/12/30
16113	UBS AG	Tencent Holdings European Warrants Jun 2012 B	0.200	160 per 100 units	2012/06/25	200,000,000	2011/12/30
16114	UBS AG	Tencent Holdings European Warrants Jul 2012 A	0.180	168 per 100 units	2012/07/30	200,000,000	2011/12/30

\* Further issue

## List of expired derivative warrants, 2011

Code	Warrant	Last trading date	Delisted date
22546	Macquarie Bank Ltd. – Air China European Warrants Dec 2010	2010/12/24	2011/01/03
22329	Nomura Int'l plc – A50 China European Warrants Dec 2010	2010/12/24	2011/01/03
21601	Nomura Int'l plc – Agile Ppt European Warrants Dec 2010 A	2010/12/24	2011/01/03
22330	Nomura Int'l plc – Agile Ppt European Warrants Dec 2010 B	2010/12/24	2011/01/03
23290	Nomura Int'l plc – Air China European Warrants Dec 2010	2010/12/24	2011/01/03
23299	Nomura Int'l plc – BOC HK European Warrants Dec 2010	2010/12/24	2011/01/03
24495	Nomura Int'l plc – CC Bank European Put Warrants Dec 2010	2010/12/24	2011/01/03
22687	Nomura Int'l plc – CC Bank European Warrants Dec 2010 A	2010/12/24	2011/01/03
22949	Nomura Int'l plc – CC Bank European Warrants Dec 2010 B	2010/12/24	2011/01/03
22950	Nomura Int'l plc – CC Bank European Warrants Dec 2010 C	2010/12/24	2011/01/03
22951	Nomura Int'l plc – China Life European Warrants Dec 2010 A	2010/12/24	2011/01/03
22952	Nomura Int'l plc – China Life European Warrants Dec 2010 B	2010/12/24	2011/01/03
23294	Nomura Int'l plc – China Mobile European Warrants Dec 2010	2010/12/24	2011/01/03
21603	Nomura Int'l plc – GCL-Poly Energy European Warrants Dec 10	2010/12/24	2011/01/03
22683	Nomura Int'l plc – Hutchison European Warrants Dec 2010 A	2010/12/24	2011/01/03
22944	Nomura Int'l plc – Hutchison European Warrants Dec 2010 B	2010/12/24	2011/01/03
22328	Nomura Int'l plc – ICBC European Warrants Dec 2010	2010/12/24	2011/01/03
22684	Nomura Int'l plc – JIANC European Warrants Dec 2010 A	2010/12/24	2011/01/03
23285	Nomura Int'l plc – JIANC European Warrants Dec 2010 B	2010/12/24	2011/01/03
21599	Nomura Int'l plc – PetroChina European Warrants Dec 2010	2010/12/24	2011/01/03
20670	Nomura Int'l plc – Tencent Holdings European Warrants Dec10A	2010/12/24	2011/01/03
22945	Nomura Int'l plc – Tencent Holdings European Warrants Dec10B	2010/12/24	2011/01/03
23292	Nomura Int'l plc – Tencent Holdings European Warrants Dec10C	2010/12/24	2011/01/03
22035	RB of Scotland N.V. – A50 China European Put Wts Dec 2010	2010/12/24	2011/01/03
20731	RB of Scotland N.V. – BYD European Warrants Dec 2010	2010/12/24	2011/01/03
23182	RB of Scotland N.V. – China Shenhua European Wts Dec 2010	2010/12/24	2011/01/03
23035	RB of Scotland N.V. – ICBC European Warrants Dec 2010 B	2010/12/24	2011/01/03
23017	RB of Scotland N.V. – Jiangxi Copper European Wts Dec 2010	2010/12/24	2011/01/03
19646	RB of Scotland N.V. – Minsheng Bank European Wts Dec2010	2010/12/24	2011/01/03
23036	RB of Scotland N.V. – Tencent Holdings European Wts Dec 2010	2010/12/24	2011/01/03
19189	Standard Bank PLC – CMOE European Warrants Dec 2010	2010/12/24	2011/01/03
24585	UBS AG – China Life European Warrants Dec 2010 E	2010/12/24	2011/01/03
22865	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2011 A	2010/12/24	2011/01/04
23069	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2011 B	2010/12/24	2011/01/04
22866	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2011	2010/12/24	2011/01/04
18892	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Jan 2011	2010/12/24	2011/01/04
23606	Deutsche Bank AG – CC Bank European Warrants Jan 2011 A	2010/12/24	2011/01/04
23348	Deutsche Bank AG – China Life European Warrants Jan 2011 A	2010/12/24	2011/01/04
23908	Deutsche Bank AG – HSBC European Warrants Jan 2011	2010/12/24	2011/01/04
23334	HK Bank – Minsheng Bank European Warrants Jan 2011	2010/12/24	2011/01/04
23802	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Jan 2011	2010/12/24	2011/01/04
23190	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 B	2010/12/24	2011/01/04
23797	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 D	2010/12/24	2011/01/04
24639	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 C	2010/12/24	2011/01/04
23340	KBC Fin Products Int'l Ltd. – CMB European Warrants Jan 2011	2010/12/24	2011/01/04
22596	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jan 2011 A	2010/12/24	2011/01/04
23344	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 C	2010/12/24	2011/01/04
23342	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 A	2010/12/24	2011/01/04
23343	KBC Fin Products Int'l Ltd. – HSBC European Wts Jan 2011 B	2010/12/24	2011/01/04
23218	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 11B	2010/12/24	2011/01/04
21870	KBC Fin Products Int'l Ltd. – Zijin Mining European Wt Jan 11	2010/12/24	2011/01/04
23941	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jan 11	2010/12/24	2011/01/04
20276	Macquarie Bank Ltd. – Sinoferf European Warrants Jan 2011	2010/12/24	2011/01/04
22290	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Jan 2011	2010/12/24	2011/01/04
24815	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jan 2011	2010/12/24	2011/01/04
23669	Merrill Lynch Int'l & Co. C.V. –BOCL European Put Wts Jan 11	2010/12/24	2011/01/04
24649	RB of Scotland N.V. – China Life European Wts Jan 2011 E	2010/12/24	2011/01/04
24820	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 D	2010/12/24	2011/01/04
24135	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jan 2011	2010/12/24	2011/01/04
23745	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jan 11	2010/12/24	2011/01/04
24324	UBS AG – BOCL European Warrants Jan 2011 B	2010/12/24	2011/01/04
23873	UBS AG – Jiangxi Copper European Warrants Jan 2011	2010/12/24	2011/01/04
19350	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Jan 2011	2010/12/28	2011/01/05
21415	BNP Paribas Arbit Issu B.V. – Fushan Energy Euro Wts Jan11	2010/12/28	2011/01/05
20963	Citigroup Global Mkt H Inc. – China Life Euro Wts Jan 2011	2010/12/28	2011/01/05
02166	Credit Agri CIB Fin P (G) Ltd. – BOCL European Wts Jan 2011	2010/12/28	2011/01/05
24758	Credit Suisse AG – Tencent Holdings European Wts Jan 2011 A	2010/12/28	2011/01/05
24021	Deutsche Bank AG – ICBC European Warrants Jan 2011	2010/12/28	2011/01/05
25273	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2011	2010/12/28	2011/01/05
18613	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 A	2010/12/28	2011/01/05
22578	Macquarie Bank Ltd. – Angang Steel European Wts Jan 2011	2010/12/28	2011/01/05
23336	Macquarie Bank Ltd. – Ch Ship Cont European Warrants Jan 11	2010/12/28	2011/01/05
23335	Macquarie Bank Ltd. – CHALCO European Warrants Jan 2011	2010/12/28	2011/01/05
22973	Macquarie Bank Ltd. – Cheung Kong European Warrants Jan 2011	2010/12/28	2011/01/05
21674	Macquarie Bank Ltd. – Chi Resources European Warrants Jan 11	2010/12/28	2011/01/05
23038	Macquarie Bank Ltd. – China Shenhua European Wts Jan 2011	2010/12/28	2011/01/05
21110	Macquarie Bank Ltd. – CNOOC European Put Warrants Jan 2011 A	2010/12/28	2011/01/05
19193	Macquarie Bank Ltd. – Foxconn European Warrants Jan 2011	2010/12/28	2011/01/05
24240	Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2011	2010/12/28	2011/01/05
22404	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jan 2011 A	2010/12/28	2011/01/05
23205	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jan 2011 B	2010/12/28	2011/01/05
23338	Macquarie Bank Ltd. – Ping An European Warrants Jan 2011	2010/12/28	2011/01/05
23126	Macquarie Bank Ltd. – Tencent European Warrants Jan 2011	2010/12/28	2011/01/05
23664	RB of Scotland N.V. – China Life European Wts Jan 2011 A	2010/12/28	2011/01/05
02691	SGA Societe Generale Acceptance N.V. – BOCL Eur Wts Jan2011A	2010/12/28	2011/01/05
18047	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2011	2010/12/29	2011/01/06
25319	Credit Suisse AG – Tencent Holdings European Wts Jan 2011 B	2010/12/29	2011/01/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
21492	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jan 2011	2010/12/29	2011/01/06
23185	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 B	2010/12/29	2011/01/06
23361	Macquarie Bank Ltd. – CC Bank European Put Warrants Jan 2011	2010/12/29	2011/01/06
23356	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 A	2010/12/29	2011/01/06
23357	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 B	2010/12/29	2011/01/06
22363	Macquarie Bank Ltd. – CNOOC European Put Warrants Jan 2011 B	2010/12/29	2011/01/06
21860	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 11A	2010/12/29	2011/01/06
23620	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 C	2010/12/29	2011/01/06
24050	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 B	2010/12/29	2011/01/06
24510	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Jan11D	2010/12/29	2011/01/06
23541	BOCI Asia Ltd. – HSBC European Warrants Jan 2011	2010/12/31	2011/01/07
25393	Credit Suisse AG – Sinopec Corp European Warrants Jan 2011 B	2010/12/31	2011/01/07
18537	HK Bank – Ch Comm Cons European Warrants Jan 2011	2010/12/31	2011/01/07
23189	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 A	2010/12/31	2011/01/07
23365	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jan 2011 C	2010/12/31	2011/01/07
23377	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jan 2011 B	2010/12/31	2011/01/07
23381	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jan 2011 D	2010/12/31	2011/01/07
23192	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2011 A	2010/12/31	2011/01/07
23366	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2011 C	2010/12/31	2011/01/07
24453	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jan 2011	2011/01/03	2011/01/10
23251	BOCI Asia Ltd. – Yurun Food European Warrants Jan 2011	2011/01/03	2011/01/10
24118	CC Rabobank B.A. – China Life European Put Warrants Jan 2011	2011/01/03	2011/01/10
23395	J P Morgan SP BV – Yanzhou Coal European Warrants Jan 2011	2011/01/03	2011/01/10
21320	Barclays Bank plc – Ch Comm Cons European Warrants Jan 2011	2011/01/04	2011/01/11
21769	Barclays Bank plc – Fushan Energy European Warrants Jan 2011	2011/01/04	2011/01/11
22354	CC Rabobank B.A. – China Agri European Warrants Jan 2011	2011/01/04	2011/01/11
23448	CC Rabobank B.A. – Jiangxi Copper European Warrants Jan 2011	2011/01/04	2011/01/11
22269	Citigroup Global Mkt H Inc. – Wharf European Warrants Jan 11	2011/01/04	2011/01/11
21654	Citigroup Global Mkt H Inc.-Alibaba European Warrants Jan11A	2011/01/04	2011/01/11
23691	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 C	2011/01/04	2011/01/11
23796	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 D	2011/01/04	2011/01/11
25017	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 E	2011/01/04	2011/01/11
24379	Credit Suisse AG – BOCL European Warrants Jan 2011 A	2011/01/04	2011/01/11
25178	Credit Suisse AG – Sinopec Corp European Warrants Jan 2011 A	2011/01/04	2011/01/11
23445	HK Bank – China Life European Warrants Jan 2011	2011/01/04	2011/01/11
23446	HK Bank – Jiangxi Copper European Warrants Jan 2011	2011/01/04	2011/01/11
24137	J P Morgan SP BV – Agricultural Bk European Warrants Jan 11	2011/01/04	2011/01/11
23773	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jan 2011	2011/01/04	2011/01/11
23414	Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2011	2011/01/04	2011/01/11
23405	Macquarie Bank Ltd. – Fushan Energy European Warrants Jan 11	2011/01/04	2011/01/11
22215	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2011 A	2011/01/04	2011/01/11
23615	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011B	2011/01/04	2011/01/11
23694	Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11A	2011/01/04	2011/01/11
20566	RB of Scotland N.V. – Ch Comm Cons European Wts Jan 2011	2011/01/04	2011/01/11
24481	RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11B	2011/01/04	2011/01/11
24261	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2011 C	2011/01/04	2011/01/11
23922	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Jan 2011	2011/01/04	2011/01/11
23846	UBS AG – Agricultural Bank European Warrants Jan 2011 H	2011/01/04	2011/01/11
22109	UBS AG – Ch Comm Cons European Warrants Jan 2011	2011/01/04	2011/01/11
23333	UBS AG – China Life European Warrants Jan 2011 A	2011/01/04	2011/01/11
25146	UBS AG – ICBC European Warrants Jan 2011	2011/01/04	2011/01/11
21136	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2011 B	2011/01/05	2011/01/12
20236	Citigroup Global Mkt H Inc. – Anta Sports European Wts Jan11	2011/01/05	2011/01/12
20237	Citigroup Global Mkt H Inc. – Belle Int'l European Wts Jan11	2011/01/05	2011/01/12
20245	Citigroup Global Mkt H Inc. – PICC P&C European Wts Jan11	2011/01/05	2011/01/12
23167	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jan 11A	2011/01/05	2011/01/12
23418	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Jan 11	2011/01/05	2011/01/12
23695	Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jan11B	2011/01/05	2011/01/12
22694	BOCI Asia Ltd. – China Shenhua European Warrants Jan 2011	2011/01/06	2011/01/13
24139	CC Rabobank B.A. – Agricultural Bk European Warrants Jan 11	2011/01/06	2011/01/13
24144	Deutsche Bank AG – Agricultural Bk European Warrants Jan 11	2011/01/06	2011/01/13
23704	HK Bank – BOCL European Warrants Jan 2011	2011/01/06	2011/01/13
21424	HK Bank – Fushan Energy European Warrants Jan 2011	2011/01/06	2011/01/13
23787	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11H	2011/01/06	2011/01/13
24224	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2011 C	2011/01/06	2011/01/13
24331	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011A	2011/01/06	2011/01/13
23701	Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put Wt Jan11	2011/01/06	2011/01/13
20673	Nomura Int'l plc – A50 China European Warrants Jan 2011	2011/01/06	2011/01/13
21861	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 11B	2011/01/06	2011/01/13
23659	CC Rabobank B.A. – China Life European Warrants Jan 2011 A	2011/01/07	2011/01/14
23486	HK Bank – Esprit European Warrants Jan 2011	2011/01/07	2011/01/14
23484	HK Bank – Sinopec Corp European Warrants Jan 2011	2011/01/07	2011/01/14
23488	J P Morgan SP BV – CM Bank European Warrants Jan 2011	2011/01/07	2011/01/14
23489	J P Morgan SP BV – ICBC European Warrants Jan 2011 A	2011/01/07	2011/01/14
23490	J P Morgan SP BV – ICBC European Warrants Jan 2011 B	2011/01/07	2011/01/14
23491	J P Morgan SP BV – Lenovo European Warrants Jan 2011	2011/01/07	2011/01/14
24283	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 C	2011/01/07	2011/01/14
23372	BOCI Asia Ltd. – Mongolia Energy European Warrants Jan 2011	2011/01/10	2011/01/17
23252	BOCI Asia Ltd. – Sinopharm European Warrants Jan 2011	2011/01/10	2011/01/17
18269	Citigroup Global Mkt H Inc. – HSBC European Wts Jan 2011	2011/01/10	2011/01/17
23197	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Jan 2011	2011/01/10	2011/01/17
23198	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Jan 2011	2011/01/10	2011/01/17
23121	Barclays Bank plc – BOCL European Put Warrants Jan 2011	2011/01/11	2011/01/18
23117	Barclays Bank plc – BOCL European Warrants Jan 2011	2011/01/11	2011/01/18
22801	Barclays Bank plc – BYD European Warrants Jan 2011	2011/01/11	2011/01/18
23111	Barclays Bank plc – Sinopec Corp European Wts Jan 2011	2011/01/11	2011/01/18
20770	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jan 2011	2011/01/11	2011/01/18

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23499	CC Rabobank B.A. – Geely Auto European Warrants Jan 2011	2011/01/11	2011/01/18
24097	Citigroup Global Mkt H Inc. – ICBC European Wts Jan 2011	2011/01/11	2011/01/18
21789	Credit Suisse AG – A50 China European Warrants Jan 2011 A	2011/01/11	2011/01/18
23570	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 A	2011/01/11	2011/01/18
24070	Credit Suisse AG – CC Bank European Warrants Jan 2011 A	2011/01/11	2011/01/18
25396	Credit Suisse AG – China Coal European Warrants Jan 2011	2011/01/11	2011/01/18
24069	Credit Suisse AG – ICBC European Warrants Jan 2011 A	2011/01/11	2011/01/18
24837	Deutsche Bank AG – Esprit European Warrants Jan 2011	2011/01/11	2011/01/18
22582	HK Bank – China Coal European Warrants Jan 2011	2011/01/11	2011/01/18
24950	HK Bank – China Mobile European Warrants Jan 2011	2011/01/11	2011/01/18
23554	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 A	2011/01/11	2011/01/18
23555	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 B	2011/01/11	2011/01/18
23556	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 C	2011/01/11	2011/01/18
23557	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 D	2011/01/11	2011/01/18
23558	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11 E	2011/01/11	2011/01/18
23788	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jan 11	2011/01/11	2011/01/18
23562	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11F	2011/01/11	2011/01/18
23563	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Jan 11G	2011/01/11	2011/01/18
23193	KBC Fin Products Int'l Ltd. – ICBC European Wts Jan 2011 B	2011/01/11	2011/01/18
22086	Macquarie Bank Ltd. – CITIC Pacific European Warrants Jan 11	2011/01/11	2011/01/18
22754	Macquarie Bank Ltd. – Nine Dragons European Wts Jan 2011	2011/01/11	2011/01/18
23910	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Jan 2011	2011/01/11	2011/01/18
23843	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Jan 11D	2011/01/11	2011/01/18
25225	Nomura Int'l plc – Agricultural Bk European Warrants Jan 11	2011/01/11	2011/01/18
23822	Nomura Int'l plc – China Coal European Warrants Jan 2011	2011/01/11	2011/01/18
23565	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 A	2011/01/11	2011/01/18
23566	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 B	2011/01/11	2011/01/18
23567	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 C	2011/01/11	2011/01/18
23568	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 D	2011/01/11	2011/01/18
23569	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 E	2011/01/11	2011/01/18
20302	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jan 2011	2011/01/11	2011/01/18
22201	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jan 11	2011/01/11	2011/01/18
23631	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 A	2011/01/11	2011/01/18
23098	UBS AG – A50 China European Warrants Jan 2011	2011/01/11	2011/01/18
23574	UBS AG – Agricultural Bank European Warrants Jan 2011 B	2011/01/11	2011/01/18
23832	UBS AG – Agricultural Bank European Warrants Jan 2011 G	2011/01/11	2011/01/18
24402	UBS AG – China Coal European Warrants Jan 2011	2011/01/11	2011/01/18
23560	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 A	2011/01/12	2011/01/19
23561	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 B	2011/01/12	2011/01/19
23544	Citigroup Global Mkt H Inc. – Fushan Energy Euro Wt Jan 2011	2011/01/12	2011/01/19
23543	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jan 2011	2011/01/12	2011/01/19
22503	HK Bank – Angang Steel European Warrants Jan 2011	2011/01/12	2011/01/19
23536	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jan 2011	2011/01/12	2011/01/19
23410	KBC Fin Products Int'l Ltd. – CPIC European Warrants Jan 11	2011/01/12	2011/01/19
23981	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Jan 2011	2011/01/12	2011/01/19
20407	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2011 A	2011/01/13	2011/01/20
20399	Citigroup Global Mkt H Inc. – BYD European Warrants Jan 2011	2011/01/13	2011/01/20
20403	Citigroup Global Mkt H Inc. – CC Bank European Wts Jan 2011	2011/01/13	2011/01/20
20405	Citigroup Global Mkt H Inc. – KECL European Warrants Jan 2011	2011/01/13	2011/01/20
23186	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 C	2011/01/13	2011/01/20
23580	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 F	2011/01/13	2011/01/20
23154	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jan 11	2011/01/13	2011/01/20
23581	UBS AG – Agricultural Bank European Warrants Jan 2011 C	2011/01/13	2011/01/20
23437	Barclays Bank plc – Tencent Holdings European Warrants Jan 11	2011/01/14	2011/01/21
19463	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jan 2011	2011/01/14	2011/01/21
25888	Credit Suisse AG – ICBC European Warrants Jan 2011 C	2011/01/14	2011/01/21
23157	HK Bank – Tencent European Warrants Jan 2011	2011/01/14	2011/01/21
23196	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 A	2011/01/14	2011/01/21
24115	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 B	2011/01/17	2011/01/24
23458	CC Rabobank B.A. – Sh Petrochem European Warrants Jan 2011	2011/01/17	2011/01/24
25882	Credit Suisse AG – China Life European Put Warrants Jan 2011	2011/01/17	2011/01/24
24451	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 B	2011/01/17	2011/01/24
09163	RB of Scotland N.V. – Gold European (LS) Warrants Jan 2011	2011/01/17	2011/01/24
09168	RB of Scotland N.V. – KLCI European (LS) Warrants Jan 2011	2011/01/17	2011/01/24
09164	RB of Scotland N.V. – KOSPI 200 European (LS) Wts Jan 2011	2011/01/17	2011/01/24
09181	RB of Scotland N.V. – NASDAQ 100 European (LS) Wts Jan 2011	2011/01/17	2011/01/24
09201	RB of Scotland N.V. – Nikkei 225 European (LS) Wts Jan 2011	2011/01/17	2011/01/24
09213	RB of Scotland N.V. – Thailand SET50 Euro (LS) Wts Jan 2011	2011/01/17	2011/01/24
24535	Standard Chartered Bank – HSBC European Warrants Jan 2011	2011/01/17	2011/01/24
22516	Barclays Bank plc – A50 China European Put Warrants Jan 2011	2011/01/18	2011/01/25
20950	Barclays Bank plc – China Coal European Warrants Jan 2011	2011/01/18	2011/01/25
24241	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2011	2011/01/18	2011/01/25
23369	BOCI Asia Ltd. – China Coal European Warrants Jan 2011	2011/01/18	2011/01/25
21639	CC Rabobank B.A. – A50 China European Put Wts Jan 2011 A	2011/01/18	2011/01/25
24776	CC Rabobank B.A. – China Life European Warrants Jan 2011 B	2011/01/18	2011/01/25
23068	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jan 2011 C	2011/01/18	2011/01/25
21921	Citigroup Global Mkt H Inc. – China Agri European Wts Jan 11	2011/01/18	2011/01/25
21817	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Jan 2011 A	2011/01/18	2011/01/25
21820	Citigroup Global Mkt H Inc. – Henganintl European Wts Jan 11	2011/01/18	2011/01/25
22923	Citigroup Global Mkt H Inc. – Lenovo European Warrants Jan 11	2011/01/18	2011/01/25
21659	Citigroup Global Mkt H Inc. – Li & Fung European Wts Jan 11	2011/01/18	2011/01/25
21661	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jan 2011	2011/01/18	2011/01/25
22344	Credit Suisse AG – A50 China European Put Warrants Jan 2011	2011/01/18	2011/01/25
23009	Credit Suisse AG – A50 China European Warrants Jan 2011 B	2011/01/18	2011/01/25
23571	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 B	2011/01/18	2011/01/25
25880	Credit Suisse AG – China Life European Warrants Jan 2011 B	2011/01/18	2011/01/25
25182	Credit Suisse AG – China Mobile European Warrants Jan 2011 B	2011/01/18	2011/01/25

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25887	Credit Suisse AG – CPIC European Warrants Jan 2011	2011/01/18	2011/01/25
24376	Credit Suisse AG – ICBC European Warrants Jan 2011 B	2011/01/18	2011/01/25
26067	Credit Suisse AG – ICBC European Warrants Jan 2011 E	2011/01/18	2011/01/25
23860	Deutsche Bank AG – China Coal European Warrants Jan 2011	2011/01/18	2011/01/25
24986	Deutsche Bank AG – China Mobile European Warrants Jan 2011	2011/01/18	2011/01/25
10985	Deutsche Bank AG – GBP/USD European Put Warrants Jan 2011	2011/01/18	2011/01/25
10981	Deutsche Bank AG – GBP/USD European Warrants Jan 2011	2011/01/18	2011/01/25
25109	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jan11	2011/01/18	2011/01/25
24250	J P Morgan SP BV – Alibaba European Warrants Jan 2011	2011/01/18	2011/01/25
24089	J P Morgan SP BV – CNOOC European Warrants Jan 2011	2011/01/18	2011/01/25
23070	Macquarie Bank Ltd. – A50 China European Warrants Jan 2011 B	2011/01/18	2011/01/25
24158	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Jan11	2011/01/18	2011/01/25
25007	Macquarie Bank Ltd. – China Coal European Warrants Jan 2011	2011/01/18	2011/01/25
23684	Macquarie Bank Ltd. – Geely Auto European Warrants Jan 2011	2011/01/18	2011/01/25
23671	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Jan 11	2011/01/18	2011/01/25
24213	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Jan 2011	2011/01/18	2011/01/25
24282	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 B	2011/01/18	2011/01/25
23670	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Put Wts Jan 11	2011/01/18	2011/01/25
23911	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jan 2011	2011/01/18	2011/01/25
23618	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Jan11	2011/01/18	2011/01/25
23830	Merrill Lynch Int'l & Co. C.V. –Agricultural Bk Eu Wt Jan11C	2011/01/18	2011/01/25
24496	Nomura Int'l plc – China Mengniu European Warrants Jan 2011	2011/01/18	2011/01/25
25054	RB of Scotland N.V. – A50 China European Put Wts Jan 2011	2011/01/18	2011/01/25
24353	RB of Scotland N.V. – China Mobile European Wts Jan 2011	2011/01/18	2011/01/25
23690	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 G	2011/01/18	2011/01/25
23076	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 A	2011/01/18	2011/01/25
23077	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2011 B	2011/01/18	2011/01/25
23096	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11B	2011/01/18	2011/01/25
23148	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jan 2011	2011/01/18	2011/01/25
23632	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 B	2011/01/18	2011/01/25
23634	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 A	2011/01/18	2011/01/25
23085	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2011 A	2011/01/18	2011/01/25
23086	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2011 B	2011/01/18	2011/01/25
22534	UBS AG – A50 China European Put Warrants Jan 2011	2011/01/18	2011/01/25
23649	UBS AG – Agricultural Bank European Put Warrants Jan 2011 E	2011/01/18	2011/01/25
23573	UBS AG – Agricultural Bank European Warrants Jan 2011 A	2011/01/18	2011/01/25
22533	UBS AG – Ch LongYuan European Put Warrants Jan 2011	2011/01/18	2011/01/25
24586	UBS AG – China Life European Put Warrants Jan 2011	2011/01/18	2011/01/25
24953	UBS AG – China Mobile European Warrants Jan 2011	2011/01/18	2011/01/25
24538	UBS AG – China Shenhua European Warrants Jan 2011	2011/01/18	2011/01/25
23495	UBS AG – China Tel European Warrants Jan 2011	2011/01/18	2011/01/25
24153	UBS AG – CNOOC European Warrants Jan 2011	2011/01/18	2011/01/25
25020	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 B	2011/01/19	2011/01/26
24287	Merrill Lynch Int'l & Co. C.V. –Ch Overseas Eur Put Wts Jan11	2011/01/19	2011/01/26
24480	RB of Scotland N.V. – Sinopec Corp European Warrants Jan 11A	2011/01/19	2011/01/26
23431	RB of Scotland N.V. – Tencent European Put Warrants Jan 2011	2011/01/19	2011/01/26
22696	BOCI Asia Ltd. – Nine Dragons European Warrants Jan 2011	2011/01/20	2011/01/27
23706	HK Bank – Zijin Mining European Warrants Jan 2011	2011/01/20	2011/01/27
24729	J P Morgan SP BV – China Life European Warrants Jan 2011 B	2011/01/20	2011/01/27
24195	KBC Fin Products Int'l Ltd. – BOCL European Wts Jan 2011 D	2011/01/20	2011/01/27
21426	Macquarie Bank Ltd. – A50 China European Warrants Jan 2011 A	2011/01/20	2011/01/27
24783	Macquarie Bank Ltd. – China Life European Wts Jan 2011	2011/01/20	2011/01/27
24332	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jan 2011B	2011/01/20	2011/01/27
25021	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 C	2011/01/20	2011/01/27
24647	RB of Scotland N.V. – China Life European Wts Jan 2011 D	2011/01/20	2011/01/27
23373	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Jan 11	2011/01/20	2011/01/27
23621	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011A	2011/01/20	2011/01/27
23622	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011B	2011/01/20	2011/01/27
24509	SGA Societe Generale Acceptance N.V. –A50 Ch Eu Put Wt Jan11C	2011/01/20	2011/01/27
24190	UBS AG – BOCL European Warrants Jan 2011 A	2011/01/20	2011/01/27
24677	UBS AG – China Life European Warrants Jan 2011 B	2011/01/20	2011/01/27
23253	BOCI Asia Ltd. – BOCL European Put Warrants Jan 2011	2011/01/21	2011/01/28
23660	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jan 2011	2011/01/24	2011/01/31
23656	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2011	2011/01/24	2011/01/31
23539	BOCI Asia Ltd. – China Mobile European Warrants Jan 2011	2011/01/24	2011/01/31
23492	BOCI Asia Ltd. – CITBK European Warrants Jan 2011	2011/01/24	2011/01/31
23177	BOCI Asia Ltd. – Hutchison European Warrants Jan 2011	2011/01/24	2011/01/31
23602	CC Rabobank B.A. – A50 China European Put Warrants Jan 2011B	2011/01/24	2011/01/31
22937	CC Rabobank B.A. – Anta Sports European Warrants Jan 2011	2011/01/24	2011/01/31
23595	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2011 A	2011/01/24	2011/01/31
22807	CC Rabobank B.A. – China Mengniu European Warrants Jan 2011	2011/01/24	2011/01/31
23459	CC Rabobank B.A. – China Shenhua European Warrants Jan 2011	2011/01/24	2011/01/31
23031	CC Rabobank B.A. – CPIC European Warrants Jan 2011	2011/01/24	2011/01/31
23546	CC Rabobank B.A. – Evergrande European Warrants Jan 2011	2011/01/24	2011/01/31
21108	CC Rabobank B.A. – Fushan Energy European Warrants Jan 2011	2011/01/24	2011/01/31
22811	CC Rabobank B.A. – GCL-Poly Energy European Warrants Jan 11	2011/01/24	2011/01/31
22940	CC Rabobank B.A. – Golden Eagle European Warrants Jan 2011	2011/01/24	2011/01/31
23596	CC Rabobank B.A. – HSBC European Warrants Jan 2011 A	2011/01/24	2011/01/31
23676	CC Rabobank B.A. – HSBC European Warrants Jan 2011 B	2011/01/24	2011/01/31
23876	CC Rabobank B.A. – HSI European Warrants Jan 2011	2011/01/24	2011/01/31
22091	CC Rabobank B.A. – Lee & Man Paper European Warrants Jan2011	2011/01/24	2011/01/31
22814	CC Rabobank B.A. – Parkson Group European Warrants Jan 2011	2011/01/24	2011/01/31
23132	CC Rabobank B.A. – Renhe Comm European Warrants Jan 2011	2011/01/24	2011/01/31
23134	CC Rabobank B.A. – Shimao Ppt European Warrants Jan 2011	2011/01/24	2011/01/31
23663	CC Rabobank B.A. – Sinofert European Warrants Jan 2011	2011/01/24	2011/01/31
21638	CC Rabobank B.A. – Skyworth Digital European Warrants Jan 11	2011/01/24	2011/01/31
23497	CC Rabobank B.A. – WISE CSI European Warrants Jan 2011	2011/01/24	2011/01/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
22816	CC Rabobank B.A. – Yuexiu Property European Warrants Jan 11	2011/01/24	2011/01/31
22808	CC Rabobank B.A. – Yurun Food European Warrants Jan 2011	2011/01/24	2011/01/31
24128	Citigroup Global Mkt H Inc. – HSI European Put Wts Jan 2011	2011/01/24	2011/01/31
22767	Citigroup Global Mkt H Inc.-Alibaba European Warrants Jan11B	2011/01/24	2011/01/31
24074	Credit Suisse AG – HSCEI European Put Warrants Jan 2011	2011/01/24	2011/01/31
23754	Deutsche Bank AG – HSI European Put Warrants Jan 2011 C	2011/01/24	2011/01/31
23755	Deutsche Bank AG – HSI European Put Warrants Jan 2011 D	2011/01/24	2011/01/31
23756	Deutsche Bank AG – HSI European Put Warrants Jan 2011 E	2011/01/24	2011/01/31
23750	Deutsche Bank AG – HSI European Warrants Jan 2011 A	2011/01/24	2011/01/31
23751	Deutsche Bank AG – HSI European Warrants Jan 2011 B	2011/01/24	2011/01/31
22832	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2011	2011/01/24	2011/01/31
24038	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2011	2011/01/24	2011/01/31
24031	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 A	2011/01/24	2011/01/31
24032	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2011 B	2011/01/24	2011/01/31
24346	HK Bank – HSI European Put Warrants Jan 2011 A	2011/01/24	2011/01/31
24459	HK Bank – HSI European Put Warrants Jan 2011 B	2011/01/24	2011/01/31
23722	J P Morgan SP BV – China Life European Warrants Jan 2011 A	2011/01/24	2011/01/31
24059	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jan 2011	2011/01/24	2011/01/31
23330	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jan 2011	2011/01/24	2011/01/31
21649	Macquarie Bank Ltd. – A50 China European Put Wts Jan 2011	2011/01/24	2011/01/31
23714	Macquarie Bank Ltd. – Ch Southern Air European Wts Jan 2011	2011/01/24	2011/01/31
23468	Macquarie Bank Ltd. – HSCEI European Warrants Jan 2011	2011/01/24	2011/01/31
25108	Macquarie Bank Ltd. – HSI European Put Warrants Jan 2011	2011/01/24	2011/01/31
24866	Macquarie Bank Ltd. – HSI European Warrants Jan 2011	2011/01/24	2011/01/31
23450	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2011 B	2011/01/24	2011/01/31
23901	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jan 2011	2011/01/24	2011/01/31
23742	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11A	2011/01/24	2011/01/31
23743	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jan11B	2011/01/24	2011/01/31
23950	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11E	2011/01/24	2011/01/31
23994	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11F	2011/01/24	2011/01/31
23995	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11G	2011/01/24	2011/01/31
23996	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11H	2011/01/24	2011/01/31
21417	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11A	2011/01/24	2011/01/31
23988	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11B	2011/01/24	2011/01/31
23989	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11C	2011/01/24	2011/01/31
23990	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jan11D	2011/01/24	2011/01/31
23698	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jan 2011	2011/01/24	2011/01/31
23696	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11C	2011/01/24	2011/01/31
23697	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jan 11D	2011/01/24	2011/01/31
22979	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 A	2011/01/24	2011/01/31
23692	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 B	2011/01/24	2011/01/31
24258	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 E	2011/01/24	2011/01/31
24260	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2011 F	2011/01/24	2011/01/31
23702	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jan 11	2011/01/24	2011/01/31
25301	Standard Chartered Bank – China Mobile European Wts Jan 2011	2011/01/24	2011/01/31
23749	UBS AG – HSI European Put Warrants Jan 2011 A	2011/01/24	2011/01/31
23856	UBS AG – HSI European Put Warrants Jan 2011 B	2011/01/24	2011/01/31
23853	UBS AG – HSI European Warrants Jan 2011	2011/01/24	2011/01/31
23119	Barclays Bank plc – China Life European Put Wts Jan 2011	2011/01/25	2011/02/01
23116	Barclays Bank plc – China Life European Warrants Jan 2011	2011/01/25	2011/02/01
23553	Barclays Bank plc – China Telecom European Warrants Jan 2011	2011/01/25	2011/02/01
23120	Barclays Bank plc – CM Bank European Put Warrants Jan 2011	2011/01/25	2011/02/01
23115	Barclays Bank plc – CPIC European Warrants Jan 2011	2011/01/25	2011/02/01
23433	Barclays Bank plc – R&F Ppt European Warrants Jan 2011	2011/01/25	2011/02/01
22961	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Jan 2011 B	2011/01/25	2011/02/01
23400	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2011	2011/01/25	2011/02/01
25614	Credit Suisse AG – BOCL European Warrants Jan 2011 B	2011/01/25	2011/02/01
25660	Credit Suisse AG – BOCL European Warrants Jan 2011 C	2011/01/25	2011/02/01
26064	Credit Suisse AG – CC Bank European Warrants Jan 2011 B	2011/01/25	2011/02/01
22388	Credit Suisse AG – Ch LongYuan European Put Warrants Jan 11	2011/01/25	2011/02/01
24642	Credit Suisse AG – China Life European Warrants Jan 2011 A	2011/01/25	2011/02/01
25032	Credit Suisse AG – China Mobile European Warrants Jan 2011 A	2011/01/25	2011/02/01
24426	Credit Suisse AG – China Shenhua European Warrants Jan 2011	2011/01/25	2011/02/01
25948	Credit Suisse AG – China Tel European Warrants Jan 2011	2011/01/25	2011/02/01
24935	Credit Suisse AG – HSBC European Wts Jan 2011 A	2011/01/25	2011/02/01
25177	Credit Suisse AG – HSBC European Wts Jan 2011 B	2011/01/25	2011/02/01
25889	Credit Suisse AG – ICBC European Warrants Jan 2011 D	2011/01/25	2011/02/01
22635	Credit Suisse AG – Zijin Mining European Warrants Jan 2011	2011/01/25	2011/02/01
23534	Deutsche Bank AG – A50 China European Warrants Jan 2011	2011/01/25	2011/02/01
26077	Deutsche Bank AG – BOCL European Warrants Jan 2011	2011/01/25	2011/02/01
26050	Deutsche Bank AG – CC Bank European Warrants Jan 2011 B	2011/01/25	2011/02/01
24653	Deutsche Bank AG – China Life European Warrants Jan 2011 B	2011/01/25	2011/02/01
21368	HK Bank – A50 China European Put Warrants Jan 2011	2011/01/25	2011/02/01
23789	J P Morgan SP BV – BOCL European Warrants Jan 2011	2011/01/25	2011/02/01
25119	J P Morgan SP BV – HSBC European Warrants Jan 2011	2011/01/25	2011/02/01
22341	J P Morgan SP BV – Zijin Mining European Warrants Jan 2011	2011/01/25	2011/02/01
23409	KBC Fin Products Int'l Ltd. -CMB European Put Warrants Jan11	2011/01/25	2011/02/01
22628	Macquarie Bank Ltd. – Alibaba European Warrants Jan 2011	2011/01/25	2011/02/01
19141	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jan 2011	2011/01/25	2011/02/01
23505	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2011 A	2011/01/25	2011/02/01
15200	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011 A	2011/01/25	2011/02/01
19853	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2011	2011/01/25	2011/02/01
23421	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jan 2011	2011/01/25	2011/02/01
23614	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jan 2011A	2011/01/25	2011/02/01
22218	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Jan 2011	2011/01/25	2011/02/01
19851	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jan11	2011/01/25	2011/02/01
24706	Nomura Int'l plc – China Life European Warrants Jan 2011	2011/01/25	2011/02/01



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
22689	Nomura Int'l plc – Sinopharm European Warrants Jan 2011	2011/01/25	2011/02/01
23716	RB of Scotland N.V. – BOCL European Warrants Jan 2011	2011/01/25	2011/02/01
23718	RB of Scotland N.V. – China Life European Wts Jan 2011 B	2011/01/25	2011/02/01
23719	RB of Scotland N.V. – China Life European Wts Jan 2011 C	2011/01/25	2011/02/01
23720	RB of Scotland N.V. – China Shenhua European Wts Jan 2011	2011/01/25	2011/02/01
25051	RB of Scotland N.V. – HSBC European Warrants Jan 2011 A	2011/01/25	2011/02/01
25052	RB of Scotland N.V. – HSBC European Warrants Jan 2011 B	2011/01/25	2011/02/01
24127	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2011 B	2011/01/25	2011/02/01
23078	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11A	2011/01/25	2011/02/01
24437	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11C	2011/01/25	2011/02/01
25420	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 C	2011/01/25	2011/02/01
25244	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2011 C	2011/01/25	2011/02/01
23919	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 11	2011/01/25	2011/02/01
23792	UBS AG – Agricultural Bank European Put Warrants Jan 2011 F	2011/01/25	2011/02/01
23582	UBS AG – Agricultural Bank European Warrants Jan 2011 D	2011/01/25	2011/02/01
24488	UBS AG – HSBC European Warrants Jan 2011	2011/01/25	2011/02/01
22726	UBS AG – R&F Ppt European Warrants Jan 2011	2011/01/25	2011/02/01
22772	UBS AG – Zijin Mining European Warrants Jan 2011	2011/01/25	2011/02/01
23441	Barclays Bank plc – Tencent Holdings European Put Wts Feb 11	2011/01/26	2011/02/02
23709	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 A	2011/01/26	2011/02/02
20309	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2011 A	2011/01/26	2011/02/02
24796	Deutsche Bank AG – China Mobile European Warrants Feb 2011 B	2011/01/26	2011/02/02
23804	KBC Fin Products Int'l Ltd. – China Overseas Eu Put Wt Feb 11	2011/01/26	2011/02/02
23268	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Feb 2011	2011/01/26	2011/02/02
23798	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Feb 2011	2011/01/26	2011/02/02
23835	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 B	2011/01/26	2011/02/02
23799	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Feb 2011	2011/01/26	2011/02/02
23376	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 D	2011/01/26	2011/02/02
24646	KBC Fin Products Int'l Ltd. – China Life Euro Wts Feb 2011 E	2011/01/26	2011/02/02
23838	KBC Fin Products Int'l Ltd. – CNOOC European Wts Feb 2011	2011/01/26	2011/02/02
23806	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 A	2011/01/26	2011/02/02
23780	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11C	2011/01/26	2011/02/02
23774	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 A	2011/01/26	2011/02/02
23775	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Feb 2011 B	2011/01/26	2011/02/02
23219	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Feb 11	2011/01/26	2011/02/02
23226	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Put Wt Feb 11	2011/01/26	2011/02/02
24780	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 C	2011/01/26	2011/02/02
24781	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 A	2011/01/26	2011/02/02
16297	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Feb 2011 A	2011/01/27	2011/02/07
22501	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Feb 2011	2011/01/27	2011/02/07
26014	HK Bank – ICBC European Warrants Feb 2011	2011/01/27	2011/02/07
20877	Macquarie Bank Ltd. – BOCL European Warrants Feb 2011	2011/01/27	2011/02/07
23761	Macquarie Bank Ltd. – Chi Res Power European Warrants Feb 11	2011/01/27	2011/02/07
23471	Macquarie Bank Ltd. – China Tel European Warrants Feb 2011	2011/01/27	2011/02/07
22851	Macquarie Bank Ltd. – CITIC Pacific European Warrants Feb 11	2011/01/27	2011/02/07
22868	Macquarie Bank Ltd. – CM Bank European Put Warrants Feb 2011	2011/01/27	2011/02/07
23762	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Feb 2011	2011/01/27	2011/02/07
23473	Macquarie Bank Ltd. – HSBC European Put Warrants Feb 2011	2011/01/27	2011/02/07
23469	Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 A	2011/01/27	2011/02/07
23302	Macquarie Bank Ltd. – Jiangxi Copper European Wts Feb 2011	2011/01/27	2011/02/07
25341	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 B	2011/01/27	2011/02/07
24808	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 C	2011/01/27	2011/02/07
23819	Nomura Int'l plc – Chi Res Land European Warrants Feb 2011	2011/01/27	2011/02/07
23816	Nomura Int'l plc – Lenovo European Warrants Feb 2011	2011/01/27	2011/02/07
23710	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2011 B	2011/01/27	2011/02/08
23600	CC Rabobank B.A. – A50 China European Warrants Feb 2011	2011/01/27	2011/02/08
20966	Citigroup Global Mkt H Inc. – China Tel Euro Wts Feb 2011	2011/01/27	2011/02/08
20968	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Feb 2011	2011/01/27	2011/02/08
25145	Credit Suisse AG – Hutchison European Warrants Feb 2011 C	2011/01/27	2011/02/08
25787	Credit Suisse AG – New World Dev European Warrants Feb 2011	2011/01/27	2011/02/08
23925	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 A	2011/01/27	2011/02/08
23884	Macquarie Bank Ltd. – ICBC European Put Warrants Feb 2011	2011/01/27	2011/02/08
23880	Macquarie Bank Ltd. – ICBC European Warrants Feb 2011	2011/01/27	2011/02/08
25203	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Feb 2011	2011/01/27	2011/02/08
23863	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Feb 2011A	2011/01/27	2011/02/08
26157	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Feb 2011	2011/01/27	2011/02/08
23867	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 A	2011/01/27	2011/02/08
23423	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Feb 11	2011/01/27	2011/02/08
17036	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Feb 11	2011/01/27	2011/02/08
24490	Nomura Int'l plc – Hutchison European Warrants Feb 2011 A	2011/01/27	2011/02/08
23693	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb 11B	2011/01/27	2011/02/08
25667	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2011 B	2011/01/27	2011/02/08
24438	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11A	2011/01/27	2011/02/08
24420	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011B	2011/01/27	2011/02/08
26259	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011D	2011/01/27	2011/02/08
23635	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 B	2011/01/27	2011/02/08
24442	UBS AG – Hutchison European Put Warrants Feb 2011 D	2011/01/27	2011/02/08
21826	BOCI Asia Ltd. – BYD European Warrants Feb 2011	2011/01/28	2011/02/09
23427	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2011	2011/01/28	2011/02/09
23384	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Feb 2011	2011/01/28	2011/02/09
23834	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 A	2011/01/28	2011/02/09
23386	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11A	2011/01/28	2011/02/09
23977	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11C	2011/01/28	2011/02/09
23215	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Feb 2011	2011/01/28	2011/02/09
25325	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 B	2011/01/28	2011/02/09
23850	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 A	2011/01/28	2011/02/09
24814	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb 11E	2011/01/28	2011/02/09

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23971	KBC Fin Products Int'l Ltd. – BOC HK European Wts Feb 2011	2011/01/31	2011/02/10
23982	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Feb 2011 B	2011/01/31	2011/02/10
24047	Macquarie Bank Ltd. – China Life European Put Wts Feb 2011	2011/01/31	2011/02/10
23928	Macquarie Bank Ltd. –GCL-Poly Energy European Warrants Feb11	2011/01/31	2011/02/10
24505	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 C	2011/01/31	2011/02/10
26251	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2011 B	2011/01/31	2011/02/10
23991	HK Bank – Cheung Kong European Warrants Feb 2011	2011/02/02	2011/02/11
23999	J P Morgan SP BV – ICBC European Warrants Feb 2011	2011/02/02	2011/02/11
24025	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Feb 11D	2011/02/02	2011/02/11
26156	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 C	2011/02/02	2011/02/11
24804	RB of Scotland N.V. – CC Bank European Warrants Feb 2011	2011/02/02	2011/02/11
25167	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 C	2011/02/07	2011/02/14
21130	Citigroup Global Mkt H Inc. – China COSCO European Wts Feb11	2011/02/07	2011/02/14
21656	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Feb11	2011/02/07	2011/02/14
21133	Citigroup Global Mkt H Inc. – ICBC European Wts Feb 2011	2011/02/07	2011/02/14
21135	Citigroup Global Mkt H Inc. – Sinopharm European Wts Feb11	2011/02/07	2011/02/14
24042	Macquarie Bank Ltd. – China Life European Wts Feb 2011	2011/02/07	2011/02/14
24046	Macquarie Bank Ltd. – Sands China European Warrants Feb 2011	2011/02/07	2011/02/14
23681	Macquarie Bank Ltd. – Sinopec Corp European Wts Feb 2011	2011/02/07	2011/02/14
25439	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Feb2011C	2011/02/07	2011/02/14
25053	RB of Scotland N.V. – HSBC European Warrants Feb 2011 B	2011/02/07	2011/02/14
25265	UBS AG – Hutchison European Warrants Feb 2011 C	2011/02/07	2011/02/14
24791	Barclays Bank plc – HSBC European Warrants Feb 2011	2011/02/08	2011/02/15
23733	Barclays Bank plc – ICBC European Put Warrants Feb 2011	2011/02/08	2011/02/15
23739	Barclays Bank plc – ICBC European Warrants Feb 2011	2011/02/08	2011/02/15
21333	Barclays Bank plc – Ping An European Warrants Feb 2011	2011/02/08	2011/02/15
23503	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Feb 2011 B	2011/02/08	2011/02/15
20769	BNP Paribas Arbit Issu B.V. – Ping An European Wts Feb 2011	2011/02/08	2011/02/15
24778	CC Rabobank B.A. – C Transmission European Warrants Feb 2011	2011/02/08	2011/02/15
24012	CC Rabobank B.A. – Tencent Holdings European Wts Feb 2011	2011/02/08	2011/02/15
19804	Citigroup Global Mkt H Inc. – KECL European Warrants Feb 11	2011/02/08	2011/02/15
21660	Citigroup Global Mkt H Inc. – New World European Wts Feb 11	2011/02/08	2011/02/15
23808	Citigroup Global Mkt H Inc. –Agricultural Bk Eu Put Wt Feb11	2011/02/08	2011/02/15
23010	Credit Suisse AG – A50 China European Warrants Feb 2011	2011/02/08	2011/02/15
23572	Credit Suisse AG – Agricultural Bank European Wts Feb 2011 A	2011/02/08	2011/02/15
25876	Credit Suisse AG – CC Bank European Warrants Feb 2011 C	2011/02/08	2011/02/15
25951	Credit Suisse AG – China Mobile European Warrants Feb 2011 B	2011/02/08	2011/02/15
25788	Credit Suisse AG – HSBC European Wts Feb 2011	2011/02/08	2011/02/15
24146	Credit Suisse AG – Hutchison European Put Warrants Feb 2011	2011/02/08	2011/02/15
24142	Credit Suisse AG – Hutchison European Warrants Feb 2011 A	2011/02/08	2011/02/15
20700	Credit Suisse AG – Ping An European Warrants Feb 2011	2011/02/08	2011/02/15
26327	Credit Suisse AG – Sinopharm European Warrants Feb 2011	2011/02/08	2011/02/15
24000	Credit Suisse AG – Tencent Holdings European Wts Feb 2011 A	2011/02/08	2011/02/15
25181	Credit Suisse AG – Tencent Holdings European Wts Feb 2011 B	2011/02/08	2011/02/15
10987	Deutsche Bank AG – AUD/USD European Put Warrants Feb 2011	2011/02/08	2011/02/15
24962	Deutsche Bank AG – BankComm European Warrants Feb 2011	2011/02/08	2011/02/15
24651	Deutsche Bank AG – BOC HK European Warrants Feb 2011	2011/02/08	2011/02/15
25955	Deutsche Bank AG – China Life European Warrants Feb 2011 C	2011/02/08	2011/02/15
25958	Deutsche Bank AG – HSBC European Warrants Feb 2011 B	2011/02/08	2011/02/15
24149	Deutsche Bank AG – Hutchison European Warrants Feb 2011 A	2011/02/08	2011/02/15
25959	Deutsche Bank AG – ICBC European Warrants Feb 2011 B	2011/02/08	2011/02/15
25104	Deutsche Bank AG – Sands China European Warrants Feb 2011	2011/02/08	2011/02/15
24658	Deutsche Bank AG – Tencent Holdings European Warrants Feb11B	2011/02/08	2011/02/15
24168	Goldman Sachs SP (Asia) – HKEx European Warrants Feb 2011	2011/02/08	2011/02/15
24169	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2011	2011/02/08	2011/02/15
24637	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2011	2011/02/08	2011/02/15
24167	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Feb11A	2011/02/08	2011/02/15
24093	HK Bank – China Mobile European Put Warrants Feb 2011	2011/02/08	2011/02/15
26637	J P Morgan SP BV – HSBC European Warrants Feb 2011 B	2011/02/08	2011/02/15
24347	J P Morgan SP BV – Hutchison European Warrants Feb 2011 B	2011/02/08	2011/02/15
25083	J P Morgan SP BV – Hutchison European Warrants Feb 2011 C	2011/02/08	2011/02/15
24189	J P Morgan SP BV – Tencent European Warrants Feb 2011	2011/02/08	2011/02/15
24102	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 A	2011/02/08	2011/02/15
24165	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11D	2011/02/08	2011/02/15
24160	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 B	2011/02/08	2011/02/15
24161	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 C	2011/02/08	2011/02/15
24733	Macquarie Bank Ltd. – C Transmission European Warrants Feb11	2011/02/08	2011/02/15
24098	Macquarie Bank Ltd. – Henganintl European Warrants Feb 2011	2011/02/08	2011/02/15
24156	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 A	2011/02/08	2011/02/15
24157	Macquarie Bank Ltd. – Li & Fung European Warrants Feb 2011	2011/02/08	2011/02/15
23665	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011A	2011/02/08	2011/02/15
23610	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 A	2011/02/08	2011/02/15
23916	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Feb 2011	2011/02/08	2011/02/15
24218	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 A	2011/02/08	2011/02/15
23865	Merrill Lynch Int'l & Co. C.V. –Hutchison Euro Put Wts Feb11	2011/02/08	2011/02/15
23465	RB of Scotland N.V. – A50 China European Warrants Feb 2011	2011/02/08	2011/02/15
23866	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11A	2011/02/08	2011/02/15
25240	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11D	2011/02/08	2011/02/15
24130	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Feb 2011	2011/02/08	2011/02/15
25062	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11B	2011/02/08	2011/02/15
24998	SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Feb11	2011/02/08	2011/02/15
25480	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 D	2011/02/08	2011/02/15
25426	UBS AG – China Life European Warrants Feb 2011 A	2011/02/08	2011/02/15
24483	UBS AG – China Mobile European Put Warrants Feb 2011	2011/02/08	2011/02/15
23887	UBS AG – China Mobile European Warrants Feb 2011 A	2011/02/08	2011/02/15
23891	UBS AG – HSBC European Warrants Feb 2011	2011/02/08	2011/02/15
25704	UBS AG – ICBC European Warrants Feb 2011 B	2011/02/08	2011/02/15

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23057	UBS AG – Tencent Holdings European Warrants Feb 2011 A	2011/02/08	2011/02/15
23388	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 C	2011/02/09	2011/02/16
23412	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Feb11	2011/02/09	2011/02/16
18193	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Feb 2011	2011/02/09	2011/02/16
23617	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Feb 2011	2011/02/09	2011/02/16
25022	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 A	2011/02/09	2011/02/16
24219	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Feb 2011 B	2011/02/09	2011/02/16
22693	BOCI Asia Ltd. – Ch Overseas European Warrants Feb 2011	2011/02/10	2011/02/17
24208	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 B	2011/02/10	2011/02/17
24205	CC Rabobank B.A. – Sinopec Corp European Warrants Feb 2011	2011/02/10	2011/02/17
24194	Credit Suisse AG – China Mobile European Warrants Feb 2011 A	2011/02/10	2011/02/17
24191	J P Morgan SP BV – Hutchison European Put Warrants Feb 2011	2011/02/10	2011/02/17
24187	J P Morgan SP BV – Hutchison European Warrants Feb 2011 A	2011/02/10	2011/02/17
24201	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11E	2011/02/10	2011/02/17
24180	Macquarie Bank Ltd. – Minsheng Bank European Wts Feb 11A	2011/02/10	2011/02/17
24502	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11C	2011/02/10	2011/02/17
25883	UBS AG – China Life European Warrants Feb 2011 C	2011/02/10	2011/02/17
24182	UBS AG – Hutchison European Warrants Feb 2011 A	2011/02/10	2011/02/17
20792	Credit Suisse AG – Ch Comm Cons European Warrants Feb 2011	2011/02/11	2011/02/18
24252	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 A	2011/02/11	2011/02/18
24225	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 B	2011/02/11	2011/02/18
24239	Macquarie Bank Ltd. – HSBC European Warrants Feb 2011 B	2011/02/11	2011/02/18
24232	Macquarie Bank Ltd. – Maanshan Iron European Wts Feb 2011	2011/02/11	2011/02/18
24212	CC Rabobank B.A. – Zijin Mining European Warrants Feb 2011	2011/02/14	2011/02/21
22032	HK Bank – CNOOC European Put Warrants Feb 2011	2011/02/14	2011/02/21
24235	HK Bank – HWL European Warrants Feb 2011	2011/02/14	2011/02/21
23447	HK Bank – Yanzhou Coal European Warrants Feb 2011	2011/02/14	2011/02/21
24273	J P Morgan SP BV – BEA European Warrants Feb 2011	2011/02/14	2011/02/21
24274	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2011	2011/02/14	2011/02/21
23387	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Feb 11B	2011/02/14	2011/02/21
23411	KBC Fin Products Int'l Ltd. – CPIC European Warrants Feb 11	2011/02/14	2011/02/21
23776	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 A	2011/02/14	2011/02/21
24268	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 F	2011/02/14	2011/02/21
24275	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2011 B	2011/02/14	2011/02/21
23475	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Feb2011D	2011/02/14	2011/02/21
24961	RB of Scotland N.V. – Agricultural Bk Euro Wts Feb 2011 B	2011/02/14	2011/02/21
07119	RB of Scotland N.V. – Platinum European (LS) Wts Feb 2011	2011/02/14	2011/02/21
07120	RB of Scotland N.V. – Silver European (LS) Warrants Feb 2011	2011/02/14	2011/02/21
24660	Standard Chartered Bank – Agricultural Bk European Wts Feb11	2011/02/14	2011/02/21
23738	Barclays Bank plc – CC Bank European Put Warrants Feb 2011	2011/02/15	2011/02/22
20838	Barclays Bank plc – China COSCO European Warrants Feb 2011	2011/02/15	2011/02/22
24178	Barclays Bank plc – China Mobile European Put Wts Feb 2011	2011/02/15	2011/02/22
24174	Barclays Bank plc – China Mobile European Wts Feb 2011	2011/02/15	2011/02/22
23552	Barclays Bank plc – China Overseas European Wts Feb 2011	2011/02/15	2011/02/22
24795	Barclays Bank plc – Sinopec Corp European Put Wts Feb 2011	2011/02/15	2011/02/22
22626	BOCI Asia Ltd. – Tencent Holdings European Warrants Feb 2011	2011/02/15	2011/02/22
24203	CC Rabobank B.A. – CC Bank European Warrants Feb 2011 B	2011/02/15	2011/02/22
24209	CC Rabobank B.A. – ICBC European Warrants Feb 2011 B	2011/02/15	2011/02/22
23243	Citigroup Global Mkt H Inc. – China Life Euro Wts Feb 2011	2011/02/15	2011/02/22
23902	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Feb 11	2011/02/15	2011/02/22
19465	Citigroup Global Mkt H Inc. – Ping An Euro Wts Feb 2011	2011/02/15	2011/02/22
25945	Credit Suisse – Henderson Land European Warrants Feb 2011	2011/02/15	2011/02/22
22972	Credit Suisse AG – A50 China European Put Warrants Feb 2011	2011/02/15	2011/02/22
25875	Credit Suisse AG – BOCL European Warrants Feb 2011 A	2011/02/15	2011/02/22
25946	Credit Suisse AG – China EB Ltd European Warrants Feb 2011	2011/02/15	2011/02/22
25049	Credit Suisse AG – China Life European Warrants Feb 2011 B	2011/02/15	2011/02/22
26228	Credit Suisse AG – China Life European Warrants Feb 2011 D	2011/02/15	2011/02/22
26271	Credit Suisse AG – CPIC European Warrants Feb 2011 B	2011/02/15	2011/02/22
24159	Credit Suisse AG – Hutchison European Warrants Feb 2011 B	2011/02/15	2011/02/22
25279	Credit Suisse AG – Hutchison European Warrants Feb 2011 D	2011/02/15	2011/02/22
25758	Credit Suisse AG – ICBC European Warrants Feb 2011	2011/02/15	2011/02/22
25183	Credit Suisse AG – Tencent Holdings European Put Wts Feb2011	2011/02/15	2011/02/22
25163	Deutsche Bank AG – Zijin Mining European Warrants Feb 2011	2011/02/15	2011/02/22
25035	Deutsche Bank AG – Agricultural Bk European Put Wts Feb11	2011/02/15	2011/02/22
26185	Deutsche Bank AG – BOCL European Warrants Feb 2011	2011/02/15	2011/02/22
24862	Deutsche Bank AG – CC Bank European Warrants Feb 2011 A	2011/02/15	2011/02/22
24304	Deutsche Bank AG – China Life European Warrants Feb 2011 A	2011/02/15	2011/02/22
24099	Deutsche Bank AG – China Mobile European Warrants Feb 2011 A	2011/02/15	2011/02/22
25126	Deutsche Bank AG – China Shenhua European Warrants Feb 2011	2011/02/15	2011/02/22
24305	Deutsche Bank AG – HSBC European Warrants Feb 2011 A	2011/02/15	2011/02/22
26551	Deutsche Bank AG – HSBC European Warrants Feb 2011 C	2011/02/15	2011/02/22
24322	Deutsche Bank AG – Hutchison European Warrants Feb 2011 B	2011/02/15	2011/02/22
23862	Deutsche Bank AG – SHK Ppt European Warrants Feb 2011	2011/02/15	2011/02/22
25502	Deutsche Bank AG – Sinofert European Warrants Feb 2011	2011/02/15	2011/02/22
26264	Goldman Sachs SP (Asia) – China Life Euro Wts Feb 2011	2011/02/15	2011/02/22
24166	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2011 A	2011/02/15	2011/02/22
24330	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Feb11B	2011/02/15	2011/02/22
24312	HK Bank – China Mobile European Warrants Feb 2011	2011/02/15	2011/02/22
24293	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Feb 2011 B	2011/02/15	2011/02/22
24297	J P Morgan SP BV – C Transmission European Warrants Feb 2011	2011/02/15	2011/02/22
24294	J P Morgan SP BV – CNBM European Warrants Feb 2011	2011/02/15	2011/02/22
24298	J P Morgan SP BV – Fushan Energy European Warrants Feb 2011	2011/02/15	2011/02/22
24299	J P Morgan SP BV – JX Copper European Warrants Feb 2011	2011/02/15	2011/02/22
26536	J P Morgan SP BV – Minsheng Bank European Warrants Feb 2011	2011/02/15	2011/02/22
24735	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Feb 2011 D	2011/02/15	2011/02/22
24475	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Feb 2011 B	2011/02/15	2011/02/22
25023	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 B	2011/02/15	2011/02/22

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26884	Nomura Int'l plc – HSBC European Warrants Feb 2011	2011/02/15	2011/02/22
24306	RB of Scotland N.V. – China Mobile European Wts Feb 2011	2011/02/15	2011/02/22
24921	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 C	2011/02/15	2011/02/22
21150	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011A	2011/02/15	2011/02/22
23149	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Feb 2011A	2011/02/15	2011/02/22
24310	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2011	2011/02/15	2011/02/22
25251	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2011	2011/02/15	2011/02/22
22964	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 11A	2011/02/15	2011/02/22
23101	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb 11B	2011/02/15	2011/02/22
24513	Standard Chartered Bank – Cheung Kong European Wts Feb11	2011/02/15	2011/02/22
25579	UBS AG – China Life European Warrants Feb 2011 B	2011/02/15	2011/02/22
24183	UBS AG – Hutchison European Warrants Feb 2011 B	2011/02/15	2011/02/22
18233	UBS AG – PetroChina European Warrants Feb 2011	2011/02/15	2011/02/22
24326	UBS AG – SPDR Gold Trust European Warrants Feb 2011	2011/02/15	2011/02/22
24141	CC Rabobank B.A. – Agricultural Bk European Put Wts Feb11	2011/02/16	2011/02/23
24116	CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 B	2011/02/16	2011/02/23
17953	CC Rabobank B.A. – PetroChina European Warrants Feb 2011 A	2011/02/16	2011/02/23
26148	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2011	2011/02/16	2011/02/23
25018	Credit Suisse AG – Agricultural Bank European Wts Feb 2011 B	2011/02/16	2011/02/23
25630	HK Bank – CC Bank European Warrants Feb 2011	2011/02/16	2011/02/23
17291	HK Bank – PetroChina European Warrants Feb 2011	2011/02/16	2011/02/23
24315	J P Morgan SP BV – China Mob European Wts Feb 2011	2011/02/16	2011/02/23
25531	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 C	2011/02/16	2011/02/23
26246	Macquarie Bank Ltd. – Minsheng Bank European Warrants Feb11B	2011/02/16	2011/02/23
26151	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 11	2011/02/16	2011/02/23
10804	Merrill Lynch Int'l & Co. C.V. – TWNOI Euro PC May 2013 B	2011/02/22	2011/02/23
25511	Merrill Lynch Int'l & Co. C.V. –Agricultural Bk Eu Wt Feb 11	2011/02/16	2011/02/23
23914	Merrill Lynch Int'l & Co. C.V. –Ch Telecom Eur Put Wts Feb 11	2011/02/16	2011/02/23
24717	UBS AG – CPIC European Warrants Feb 2011	2011/02/16	2011/02/23
24874	Citigroup Global Mkt H Inc. – Tencent European Put Wts Feb11	2011/02/17	2011/02/24
22845	HK Bank – CPIC European Warrants Feb 2011	2011/02/17	2011/02/24
19595	J P Morgan SP BV – HSBC European Warrants Feb 2011 A	2011/02/17	2011/02/24
24333	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Feb 2011B	2011/02/17	2011/02/24
24355	RB of Scotland N.V. – HSBC European Warrants Feb 2011 A	2011/02/17	2011/02/24
24356	RB of Scotland N.V. – HWL European Warrants Feb 2011 A	2011/02/17	2011/02/24
25267	RB of Scotland N.V. – HWL European Warrants Feb 2011 B	2011/02/17	2011/02/24
23868	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11B	2011/02/17	2011/02/24
23093	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Feb2011A	2011/02/17	2011/02/24
25477	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 D	2011/02/17	2011/02/24
23623	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011A	2011/02/17	2011/02/24
23624	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011B	2011/02/17	2011/02/24
25573	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2011 A	2011/02/17	2011/02/24
24151	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Feb11C	2011/02/17	2011/02/24
24485	UBS AG – Tencent Holdings European Warrants Feb 2011 B	2011/02/17	2011/02/24
25389	Credit Suisse AG – Cheung Kong European Warrants Feb 2011	2011/02/18	2011/02/25
24382	J P Morgan SP BV – China Shenhua European Warrants Feb 2011A	2011/02/18	2011/02/25
24383	J P Morgan SP BV – China Shenhua European Warrants Feb 2011B	2011/02/18	2011/02/25
25337	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Feb 2011	2011/02/18	2011/02/25
25607	UBS AG – Cheung Kong European Warrants Feb 2011	2011/02/18	2011/02/25
26976	UBS AG – China Mobile European Warrants Feb 2011 C	2011/02/18	2011/02/25
25488	UBS AG – Hutchison European Put Warrants Feb 2011 E	2011/02/18	2011/02/25
23768	Barclays Bank plc – HSI European Put Warrants Feb 2011 C	2011/02/21	2011/02/28
24245	Barclays Bank plc – HSI European Put Warrants Feb 2011 D	2011/02/21	2011/02/28
24246	Barclays Bank plc – HSI European Put Warrants Feb 2011 E	2011/02/21	2011/02/28
24247	Barclays Bank plc – HSI European Put Warrants Feb 2011 F	2011/02/21	2011/02/28
24847	Barclays Bank plc – HSI European Put Warrants Feb 2011 H	2011/02/21	2011/02/28
23764	Barclays Bank plc – HSI European Warrants Feb 2011 A	2011/02/21	2011/02/28
23765	Barclays Bank plc – HSI European Warrants Feb 2011 B	2011/02/21	2011/02/28
24846	Barclays Bank plc – HSI European Warrants Feb 2011 G	2011/02/21	2011/02/28
23657	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 A	2011/02/21	2011/02/28
23956	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2011 B	2011/02/21	2011/02/28
23952	BNP Paribas Arbit Issu B.V. – HSI European Wts Feb 2011	2011/02/21	2011/02/28
23953	BOCI Asia Ltd. – CC Bank European Warrants Feb 2011	2011/02/21	2011/02/28
23540	BOCI Asia Ltd. – China Railway European Warrants Feb 2011	2011/02/21	2011/02/28
22728	BOCI Asia Ltd. – Evergrande European Warrants Feb 2011	2011/02/21	2011/02/28
23371	BOCI Asia Ltd. – Geely Auto European Warrants Feb 2011	2011/02/21	2011/02/28
24004	CC Rabobank B.A. – CC Bank European Warrants Feb 2011 A	2011/02/21	2011/02/28
24005	CC Rabobank B.A. – Ch Mobile European Warrants Feb 2011 A	2011/02/21	2011/02/28
22938	CC Rabobank B.A. – China Agri European Warrants Feb 2011	2011/02/21	2011/02/28
23785	CC Rabobank B.A. – China EB Ltd European Warrants Feb 2011	2011/02/21	2011/02/28
24204	CC Rabobank B.A. – China Life European Warrants Feb 2011 A	2011/02/21	2011/02/28
22939	CC Rabobank B.A. – China Oilfield European Warrants Feb 2011	2011/02/21	2011/02/28
24007	CC Rabobank B.A. – Digital China European Warrants Feb 2011	2011/02/21	2011/02/28
24008	CC Rabobank B.A. – Dongfang Elec European Warrants Feb 2011	2011/02/21	2011/02/28
23597	CC Rabobank B.A. – HSBC European Warrants Feb 2011	2011/02/21	2011/02/28
24009	CC Rabobank B.A. – Hutchison European Warrants Feb 2011 A	2011/02/21	2011/02/28
24010	CC Rabobank B.A. – ICBC European Warrants Feb 2011 A	2011/02/21	2011/02/28
24210	CC Rabobank B.A. – MTRC European Warrants Feb 2011	2011/02/21	2011/02/28
25086	CC Rabobank B.A. – PetroChina European Warrants Feb 2011 B	2011/02/21	2011/02/28
24011	CC Rabobank B.A. – Shanghai Ind European Warrants Feb 2011	2011/02/21	2011/02/28
23858	CC Rabobank B.A. – Shineway Pharm European Warrants Feb 2011	2011/02/21	2011/02/28
20546	CC Rabobank B.A. – Sinofert European Warrants Feb 2011	2011/02/21	2011/02/28
24211	CC Rabobank B.A. – Tencent Holdings European Put Wts Feb 11	2011/02/21	2011/02/28
24415	Citigroup Global Mkt H Inc. – Chi Taiping European Wts Feb11	2011/02/21	2011/02/28
25286	Citigroup Global Mkt H Inc. – HSI European Put Wts Feb 2011	2011/02/21	2011/02/28
25277	Citigroup Global Mkt H Inc. – HSI European Warrants Feb 11B	2011/02/21	2011/02/28
24124	Citigroup Global Mkt H Inc. – HSI European Warrants Feb2011A	2011/02/21	2011/02/28

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24171	Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Feb 2011	2011/02/21	2011/02/28
24414	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Feb 2011	2011/02/21	2011/02/28
23973	Credit Suisse AG – HSI European Put Warrants Feb 2011 A	2011/02/21	2011/02/28
25031	Credit Suisse AG – HSI European Put Warrants Feb 2011 B	2011/02/21	2011/02/28
25285	Credit Suisse AG – HSI European Put Warrants Feb 2011 C	2011/02/21	2011/02/28
25278	Credit Suisse AG – HSI European Warrants Feb 2011	2011/02/21	2011/02/28
24321	Deutsche Bank AG – HSI European Put Warrants Feb 2011 E	2011/02/21	2011/02/28
24393	Deutsche Bank AG – HSI European Put Warrants Feb 2011 F	2011/02/21	2011/02/28
24394	Deutsche Bank AG – HSI European Put Warrants Feb 2011 G	2011/02/21	2011/02/28
24395	Deutsche Bank AG – HSI European Put Warrants Feb 2011 H	2011/02/21	2011/02/28
25560	Deutsche Bank AG – HSI European Put Warrants Feb 2011 J	2011/02/21	2011/02/28
24317	Deutsche Bank AG – HSI European Warrants Feb 2011 A	2011/02/21	2011/02/28
24389	Deutsche Bank AG – HSI European Warrants Feb 2011 B	2011/02/21	2011/02/28
24390	Deutsche Bank AG – HSI European Warrants Feb 2011 C	2011/02/21	2011/02/28
24391	Deutsche Bank AG – HSI European Warrants Feb 2011 D	2011/02/21	2011/02/28
25148	Deutsche Bank AG – HSI European Warrants Feb 2011 I	2011/02/21	2011/02/28
24039	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 D	2011/02/21	2011/02/28
24040	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 E	2011/02/21	2011/02/28
24876	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 F	2011/02/21	2011/02/28
24877	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 G	2011/02/21	2011/02/28
25732	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 H	2011/02/21	2011/02/28
24033	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 A	2011/02/21	2011/02/28
24034	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 B	2011/02/21	2011/02/28
24035	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2011 C	2011/02/21	2011/02/28
22371	HK Bank – A50 China European Warrants Feb 2011	2011/02/21	2011/02/28
26534	HK Bank – Agricultural Bank European Warrants Feb 2011	2011/02/21	2011/02/28
24619	HK Bank – HSI European Put Warrants Feb 2011	2011/02/21	2011/02/28
24053	HK Bank – HSI European Warrants Feb 2011 A	2011/02/21	2011/02/28
24054	HK Bank – HSI European Warrants Feb 2011 B	2011/02/21	2011/02/28
24055	HK Bank – HSI European Warrants Feb 2011 C	2011/02/21	2011/02/28
21805	HK Bank –BYD European Warrants Feb 2011	2011/02/21	2011/02/28
24143	J P Morgan SP BV – Agricultural Bk European Warrants Feb 11	2011/02/21	2011/02/28
23790	J P Morgan SP BV – BOCL European Warrants Feb 2011	2011/02/21	2011/02/28
24412	J P Morgan SP BV – Evergrande European Warrants Feb 2011	2011/02/21	2011/02/28
24411	J P Morgan SP BV – Shineway Pharm European Warrants Feb 2011	2011/02/21	2011/02/28
24060	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 A	2011/02/21	2011/02/28
24061	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Feb 2011 B	2011/02/21	2011/02/28
24199	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 C	2011/02/21	2011/02/28
24200	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Feb 2011 D	2011/02/21	2011/02/28
23782	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 A	2011/02/21	2011/02/28
23783	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 B	2011/02/21	2011/02/28
24062	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 C	2011/02/21	2011/02/28
24063	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 D	2011/02/21	2011/02/28
24064	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Feb 2011 E	2011/02/21	2011/02/28
23778	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 F	2011/02/21	2011/02/28
25009	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 G	2011/02/21	2011/02/28
25294	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 H	2011/02/21	2011/02/28
25362	Macquarie Bank Ltd. – CC Bank European Put Warrants Feb 2011	2011/02/21	2011/02/28
25350	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2011	2011/02/21	2011/02/28
24248	Macquarie Bank Ltd. – Chi Res Land European Wts Feb 2011	2011/02/21	2011/02/28
25254	Macquarie Bank Ltd. – China Railway European Wts Feb 2011	2011/02/21	2011/02/28
23770	Macquarie Bank Ltd. – HSCEI European Put Warrants Feb 2011	2011/02/21	2011/02/28
25239	Macquarie Bank Ltd. – HSI European Put Warrants Feb 2011	2011/02/21	2011/02/28
24289	Macquarie Bank Ltd. – HSI European Warrants Feb 2011	2011/02/21	2011/02/28
24435	Macquarie Bank Ltd. – Sh Ind H European Warrants Feb 2011	2011/02/21	2011/02/28
23687	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2011	2011/02/21	2011/02/28
24249	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2011	2011/02/21	2011/02/28
24786	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2011A	2011/02/21	2011/02/28
24973	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 11B	2011/02/21	2011/02/28
25516	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 11E	2011/02/21	2011/02/28
25510	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 11D	2011/02/21	2011/02/28
24782	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb2011C	2011/02/21	2011/02/28
24363	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11D	2011/02/21	2011/02/28
24364	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11E	2011/02/21	2011/02/28
24365	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11F	2011/02/21	2011/02/28
24366	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11G	2011/02/21	2011/02/28
24900	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11J	2011/02/21	2011/02/28
24901	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11K	2011/02/21	2011/02/28
24357	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11A	2011/02/21	2011/02/28
24358	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11B	2011/02/21	2011/02/28
24359	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11C	2011/02/21	2011/02/28
24893	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11H	2011/02/21	2011/02/28
24894	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Feb11I	2011/02/21	2011/02/28
24491	Nomura Int'l plc – Hutchison European Warrants Feb 2011 B	2011/02/21	2011/02/28
09408	RB of Scotland N.V. – HSCEI European (LS) Warrants Feb 2011	2011/02/21	2011/02/28
25242	RB of Scotland N.V. – HSI European Put Warrants Feb 2011 A	2011/02/21	2011/02/28
25335	RB of Scotland N.V. – HSI European Put Warrants Feb 2011 B	2011/02/21	2011/02/28
25332	RB of Scotland N.V. – HSI European Warrants Feb 2011 C	2011/02/21	2011/02/28
25333	RB of Scotland N.V. – HSI European Warrants Feb 2011 D	2011/02/21	2011/02/28
24126	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11A	2011/02/21	2011/02/28
24440	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11B	2011/02/21	2011/02/28
24512	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11F	2011/02/21	2011/02/28
24664	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11G	2011/02/21	2011/02/28
25245	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Feb 11H	2011/02/21	2011/02/28
24506	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 C	2011/02/21	2011/02/28
24507	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 D	2011/02/21	2011/02/28
24508	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Feb 2011 E	2011/02/21	2011/02/28

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23903	UBS AG – HSI European Put Warrants Feb 2011 A	2011/02/21	2011/02/28
25091	UBS AG – HSI European Put Warrants Feb 2011 B	2011/02/21	2011/02/28
25304	UBS AG – HSI European Put Warrants Feb 2011 C	2011/02/21	2011/02/28
25489	UBS AG – HSI European Put Warrants Feb 2011 D	2011/02/21	2011/02/28
23888	UBS AG – HSI European Warrants Feb 2011 E	2011/02/21	2011/02/28
25608	UBS AG – HSI European Warrants Feb 2011 F	2011/02/21	2011/02/28
23549	Barclays Bank plc – Agricultural Bank European Wts Feb 2011	2011/02/22	2011/03/01
23930	Barclays Bank plc – Agricultural Bk European Put Wts Feb11	2011/02/22	2011/03/01
20841	Barclays Bank plc – CHALCO European Warrants Feb 2011	2011/02/22	2011/03/01
24454	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2011 B	2011/02/22	2011/03/01
19690	BNP Paribas Arbit Issu B.V. – Cheung Kong European Wts Feb11	2011/02/22	2011/03/01
17833	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Feb11	2011/02/22	2011/03/01
23955	BOCI Asia Ltd. – Ch Comm Cons European Warrants Feb 2011	2011/02/22	2011/03/01
23957	BOCI Asia Ltd. – MCC European Warrants Feb 2011	2011/02/22	2011/03/01
23498	CC Rabobank B.A. – China Coal European Warrants Feb 2011	2011/02/22	2011/03/01
25230	CC Rabobank B.A. – China Life European Warrants Feb 2011 B	2011/02/22	2011/03/01
25231	CC Rabobank B.A. – China Life European Warrants Feb 2011 C	2011/02/22	2011/03/01
24444	CC Rabobank B.A. – Gome European Warrants Feb 2011	2011/02/22	2011/03/01
24445	CC Rabobank B.A. – Henganintl European Warrants Feb 2011	2011/02/22	2011/03/01
24269	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2011	2011/02/22	2011/03/01
26070	Credit Suisse AG – BOCL European Warrants Feb 2011 B	2011/02/22	2011/03/01
24374	Credit Suisse AG – CC Bank European Warrants Feb 2011 A	2011/02/22	2011/03/01
25657	Credit Suisse AG – CC Bank European Warrants Feb 2011 B	2011/02/22	2011/03/01
24939	Credit Suisse AG – China Life European Warrants Feb 2011 A	2011/02/22	2011/03/01
25881	Credit Suisse AG – China Life European Warrants Feb 2011 C	2011/02/22	2011/03/01
26948	Credit Suisse AG – China Life European Warrants Feb 2011 E	2011/02/22	2011/03/01
25891	Credit Suisse AG – CM Bank European Put Warrants Feb 2011	2011/02/22	2011/03/01
25886	Credit Suisse AG – CM Bank European Warrants Feb 2011	2011/02/22	2011/03/01
24938	Credit Suisse AG – CPIC European Warrants Feb 2011 A	2011/02/22	2011/03/01
25966	Credit Suisse AG – Esprit European Warrants Feb 2011	2011/02/22	2011/03/01
23894	Credit Suisse AG – SHK Ppt European Warrants Feb 2011	2011/02/22	2011/03/01
26328	Deutsche Bank AG – Belle Int'l European Warrants Feb 2011	2011/02/22	2011/03/01
25236	Deutsche Bank AG – CC Bank European Warrants Feb 2011 B	2011/02/22	2011/03/01
25187	Deutsche Bank AG – Chi Res Land European Warrants Feb 2011	2011/02/22	2011/03/01
25559	Deutsche Bank AG – China Life European Warrants Feb 2011 B	2011/02/22	2011/03/01
25101	Deutsche Bank AG – China Mobile European Warrants Feb 2011 C	2011/02/22	2011/03/01
25186	Deutsche Bank AG – China Mobile European Warrants Feb 2011 D	2011/02/22	2011/03/01
24472	Deutsche Bank AG – CNBM European Warrants Feb 2011	2011/02/22	2011/03/01
24964	Deutsche Bank AG – CPIC European Warrants Feb 2011	2011/02/22	2011/03/01
25040	Deutsche Bank AG – Gome European Warrants Feb 2011	2011/02/22	2011/03/01
24392	Deutsche Bank AG – Henganintl European Warrants Feb 2011	2011/02/22	2011/03/01
26552	Deutsche Bank AG – HSBC European Warrants Feb 2011 D	2011/02/22	2011/03/01
24185	Deutsche Bank AG – Hutchison European Put Warrants Feb 2011	2011/02/22	2011/03/01
25188	Deutsche Bank AG – ICBC European Warrants Feb 2011 A	2011/02/22	2011/03/01
24184	Deutsche Bank AG – Minsheng Bank European Warrants Feb 2011	2011/02/22	2011/03/01
23970	Deutsche Bank AG – Tencent Holdings European Warrants Feb11A	2011/02/22	2011/03/01
24659	Deutsche Bank AG – Tencent Holdings European Warrants Feb11C	2011/02/22	2011/03/01
24328	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Feb11	2011/02/22	2011/03/01
26972	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2011 B	2011/02/22	2011/03/01
24891	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Feb11	2011/02/22	2011/03/01
22559	J P Morgan SP BV – A50 China European Put Warrants Feb 2011	2011/02/22	2011/03/01
24519	J P Morgan SP BV – China Mob European Put Warrants Feb 2011A	2011/02/22	2011/03/01
24528	J P Morgan SP BV – China Mob European Put Warrants Feb 2011B	2011/02/22	2011/03/01
22932	J P Morgan SP BV – R&F Ppt European Warrants Feb 2011	2011/02/22	2011/03/01
25404	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Feb 2011	2011/02/22	2011/03/01
24474	KBC Fin Products Int'l Ltd. – HS Bank European Wts Feb 2011	2011/02/22	2011/03/01
24525	KBC Fin Products Int'l Ltd. – ICBC European Wts Feb 2011	2011/02/22	2011/03/01
24179	Macquarie Bank Ltd. – China EB Ltd European Warrants Feb 11	2011/02/22	2011/03/01
24407	Macquarie Bank Ltd. – China Travel European Wts Feb 2011	2011/02/22	2011/03/01
24526	Macquarie Bank Ltd. – Sinofer European Warrants Feb 2011	2011/02/22	2011/03/01
24409	Macquarie Bank Ltd. – SJM Holdings European Warrants Feb 11	2011/02/22	2011/03/01
24342	Macquarie Bank Ltd. – Zijin Mining European Wts Feb 2011	2011/02/22	2011/03/01
21301	Macquarie Bank Ltd. -Lee & Man Paper European Warrants Feb11	2011/02/22	2011/03/01
24284	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Feb 2011	2011/02/22	2011/03/01
23611	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2011 B	2011/02/22	2011/03/01
24334	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Feb 11B	2011/02/22	2011/03/01
24811	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2011	2011/02/22	2011/03/01
24807	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2011 B	2011/02/22	2011/03/01
24338	Merrill Lynch Int'l & Co. C.V. -BOCL European Put Wts Feb 11	2011/02/22	2011/03/01
22149	Nomura Int'l plc – Chaoda Modern European Warrants Feb 2011	2011/02/22	2011/03/01
20665	Nomura Int'l plc – Cheung Kong European Warrants Feb 2011	2011/02/22	2011/03/01
23817	Nomura Int'l plc – China Shenhua European Warrants Feb 2011	2011/02/22	2011/03/01
23283	Nomura Int'l plc – SHK P European Warrants Feb 2011	2011/02/22	2011/03/01
23818	Nomura Int'l plc – Sinopharm European Warrants Feb 2011	2011/02/22	2011/03/01
24351	RB of Scotland N.V. – Agricultural Bk European Wts Feb11 A	2011/02/22	2011/03/01
23942	RB of Scotland N.V. – Tencent Holdings European Wts Feb 11C	2011/02/22	2011/03/01
24417	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 A	2011/02/22	2011/03/01
24499	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 B	2011/02/22	2011/03/01
24410	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2011 A	2011/02/22	2011/03/01
25593	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2011 B	2011/02/22	2011/03/01
24418	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Feb 2011	2011/02/22	2011/03/01
24501	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2011 A	2011/02/22	2011/03/01
26979	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11C	2011/02/22	2011/03/01
24924	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2011C	2011/02/22	2011/03/01
25828	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011C	2011/02/22	2011/03/01
24439	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Feb 11B	2011/02/22	2011/03/01
22010	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 A	2011/02/22	2011/03/01

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24461	Standard Chartered Bank – China Life European Warrants Feb11	2011/02/22	2011/03/01
25451	UBS AG – Agricultural Bank European Warrants Feb 2011	2011/02/22	2011/03/01
25264	UBS AG – CC Bank European Warrants Feb 2011	2011/02/22	2011/03/01
26366	UBS AG – China Life European Warrants Feb 2011 D	2011/02/22	2011/03/01
24081	UBS AG – China Mobile European Warrants Feb 2011 B	2011/02/22	2011/03/01
25646	UBS AG – ICBC European Warrants Feb 2011 A	2011/02/22	2011/03/01
24885	UBS AG – Tencent Holdings European Warrants Feb 2011 C	2011/02/22	2011/03/01
23707	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Mar 2011	2011/02/23	2011/03/02
24522	BNP Paribas Arbit Issu B.V. – Gome European Warrants Mar2011	2011/02/23	2011/03/02
24371	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11B	2011/02/23	2011/03/02
23708	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2011 A	2011/02/23	2011/03/02
21978	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Mar 2011	2011/02/23	2011/03/02
25088	CC Rabobank B.A. – Lenovo European Warrants Mar 2011	2011/02/23	2011/03/02
24446	CC Rabobank B.A. – Mongolia Energy European Wts Mar 2011	2011/02/23	2011/03/02
24600	CC Rabobank B.A. – SJM Holdings European Warrants Mar 2011	2011/02/23	2011/03/02
25658	Credit Suisse AG – ICBC European Warrants Mar 2011 A	2011/02/23	2011/03/02
24540	J P Morgan SP BV – China Life European Warrants Mar 2011 A	2011/02/23	2011/03/02
24541	J P Morgan SP BV – China Life European Warrants Mar 2011 B	2011/02/23	2011/03/02
24542	J P Morgan SP BV – China Mob European Wts Mar 2011	2011/02/23	2011/03/02
24543	J P Morgan SP BV – HS Bank European Warrants Mar 2011	2011/02/23	2011/03/02
24544	J P Morgan SP BV – Maanshan Iron European Warrants Mar 2011	2011/02/23	2011/03/02
23559	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11A	2011/02/23	2011/03/02
23429	KBC Fin Products Int'l Ltd. – BEA European Warrants Mar 2011	2011/02/23	2011/03/02
23430	KBC Fin Products Int'l Ltd. – BOC HK European Wts Mar 2011	2011/02/23	2011/03/02
24562	KBC Fin Products Int'l Ltd. – BYD European Put Wts Mar 2011	2011/02/23	2011/03/02
24547	KBC Fin Products Int'l Ltd. – BYD European Warrants Mar 2011	2011/02/23	2011/03/02
24551	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 A	2011/02/23	2011/03/02
25563	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 D	2011/02/23	2011/03/02
25564	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 E	2011/02/23	2011/03/02
23836	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 A	2011/02/23	2011/03/02
24197	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 A	2011/02/23	2011/03/02
24555	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 B	2011/02/23	2011/03/02
23848	KBC Fin Products Int'l Ltd. – China Shenhua Eur Wt Mar 2011A	2011/02/23	2011/03/02
23378	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Mar 2011	2011/02/23	2011/03/02
24559	KBC Fin Products Int'l Ltd. – CPIC European Wts Mar 2011	2011/02/23	2011/03/02
24022	KBC Fin Products Int'l Ltd. – HSBC European Wts Mar 2011	2011/02/23	2011/03/02
23382	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2011	2011/02/23	2011/03/02
24577	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Mar 2011	2011/02/23	2011/03/02
25008	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 B	2011/02/23	2011/03/02
25464	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 C	2011/02/23	2011/03/02
23983	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Mar11	2011/02/23	2011/03/02
24578	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Mar 2011	2011/02/23	2011/03/02
24561	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Mar 2011	2011/02/23	2011/03/02
24554	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Mar 2011	2011/02/23	2011/03/02
23978	KBC Fin Products Int'l Ltd. –CMB European Put Warrants Mar11	2011/02/23	2011/03/02
24335	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Mar 2011	2011/02/23	2011/03/02
26158	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Mar 2011B	2011/02/23	2011/03/02
24990	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Mar 2011	2011/02/24	2011/03/03
24992	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Mar 2011	2011/02/24	2011/03/03
25454	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2011 B	2011/02/24	2011/03/03
24443	CC Rabobank B.A. – Belle Int'l European Warrants Mar 2011	2011/02/24	2011/03/03
26234	CC Rabobank B.A. – Huaneng Power European Warrants Mar 2011	2011/02/24	2011/03/03
24654	J P Morgan SP BV – China Life European Warrants Mar 2011 C	2011/02/24	2011/03/03
24644	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Mar 2011	2011/02/24	2011/03/03
24648	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 D	2011/02/24	2011/03/03
24181	Macquarie Bank Ltd. – Ch Oilfield European Warrants Mar 2011	2011/02/24	2011/03/03
24290	Macquarie Bank Ltd. – CITIC Bank European Warrants Mar 2011	2011/02/24	2011/03/03
24002	Macquarie Bank Ltd. – CM Bank European Put Warrants Mar 2011	2011/02/24	2011/03/03
24016	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2011	2011/02/24	2011/03/03
24264	Macquarie Bank Ltd. – Hang Seng Bank European Wts Mar 2011	2011/02/24	2011/03/03
19496	Macquarie Bank Ltd. – HSBC European Warrants Mar 2011 A	2011/02/24	2011/03/03
26583	Macquarie Bank Ltd. – HSBC European Warrants Mar 2011 B	2011/02/24	2011/03/03
24291	Macquarie Bank Ltd. – Huaneng Power European Wts Mar 2011	2011/02/24	2011/03/03
24267	Macquarie Bank Ltd. – Hutchison European Put Wts Mar 2011	2011/02/24	2011/03/03
24611	Macquarie Bank Ltd. – ICBC European Put Warrants Mar 2011	2011/02/24	2011/03/03
24607	Macquarie Bank Ltd. – ICBC European Warrants Mar 2011	2011/02/24	2011/03/03
24588	Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2011	2011/02/24	2011/03/03
24634	Macquarie Bank Ltd. – Tencent European Put Warrants Mar 2011	2011/02/24	2011/03/03
23564	Macquarie Bank Ltd. – Tencent European Warrants Mar 2011	2011/02/24	2011/03/03
24590	Macquarie Bank Ltd. – Yanzhou Coal European Wts Mar 2011	2011/02/24	2011/03/03
24292	Macquarie Bank Ltd. – ZTE European Warrants Mar 2011	2011/02/24	2011/03/03
24650	RB of Scotland N.V. – China Life European Wts Mar 2011	2011/02/24	2011/03/03
24925	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011 A	2011/02/24	2011/03/03
24421	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 11B	2011/02/24	2011/03/03
25829	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011D	2011/02/24	2011/03/03
24603	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 A	2011/02/24	2011/03/03
24503	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 A	2011/02/24	2011/03/03
24504	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2011 B	2011/02/24	2011/03/03
24672	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Mar11	2011/02/24	2011/03/03
24536	Standard Chartered Bank – Sinopec Corp European Wts Mar 2011	2011/02/24	2011/03/03
24599	CC Rabobank B.A. – Shanghai Electric European Warrants Mar11	2011/02/25	2011/03/04
24666	Macquarie Bank Ltd. – BankComm European Put Wts Mar 2011	2011/02/25	2011/03/04
24155	Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2011	2011/02/25	2011/03/04
24645	Macquarie Bank Ltd. – China Life European Put Wts Mar 2011	2011/02/25	2011/03/04
25197	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 A	2011/02/25	2011/03/04
24612	Standard Chartered Bank – PetroChina European Warrants Mar11	2011/02/25	2011/03/04
23958	BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2011 A	2011/02/28	2011/03/07

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23065	Citigroup Global Mkt H Inc. –Tencent European Warrants Mar11	2011/02/28	2011/03/07
24765	HK Bank – China Shenhua European Warrants Mar 2011 A	2011/02/28	2011/03/07
22906	Macquarie Bank Ltd. – Tingyi European Warrants Mar 2011	2011/02/28	2011/03/07
25198	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 B	2011/02/28	2011/03/07
24457	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2011	2011/02/28	2011/03/07
24176	Barclays Bank plc – CC Bank European Warrants Mar 2011	2011/03/01	2011/03/08
23432	Barclays Bank plc – China Life European Warrants Mar 2011 A	2011/03/01	2011/03/08
23435	Barclays Bank plc – Jiangxi Copper European Warrants Mar 11A	2011/03/01	2011/03/08
23934	Barclays Bank plc – Sinopharm European Warrants Mar 2011	2011/03/01	2011/03/08
23502	BNP Paribas Arbit Issu B.V. – BOCL European Wts Mar 2011	2011/03/01	2011/03/08
23524	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Mar 2011	2011/03/01	2011/03/08
22878	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Mar 2011	2011/03/01	2011/03/08
25799	BNP Paribas Arbit Issu B.V. – HSBC European Wts Mar 2011	2011/03/01	2011/03/08
23833	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Mar 11A	2011/03/01	2011/03/08
25156	BNP Paribas Arbit Issu B.V. – M I&S European Wts Mar 2011	2011/03/01	2011/03/08
22643	BNP Paribas Arbit Issu B.V. – Shimao Ppt European Wts Mar 11	2011/03/01	2011/03/08
22881	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Mar 11	2011/03/01	2011/03/08
24529	BOCI Asia Ltd. – China Life European Put Warrants Mar 2011	2011/03/01	2011/03/08
25232	CC Rabobank B.A. – Ch Mobile European Warrants Mar 2011	2011/03/01	2011/03/08
24695	CC Rabobank B.A. – China EB Int'l European Warrants Mar 2011	2011/03/01	2011/03/08
24701	CC Rabobank B.A. – Li & Fung European Warrants Mar 2011	2011/03/01	2011/03/08
24001	Credit Suisse AG – Cheung Kong European Warrants Mar 2011	2011/03/01	2011/03/08
26066	Credit Suisse AG – CITIC Bank European Warrants Mar 2011	2011/03/01	2011/03/08
25394	Credit Suisse AG – PetroChina European Warrants Mar 2011	2011/03/01	2011/03/08
24652	Deutsche Bank AG – BYD European Warrants Mar 2011	2011/03/01	2011/03/08
25011	Deutsche Bank AG – CC Bank European Warrants Mar 2011 A	2011/03/01	2011/03/08
24704	Deutsche Bank AG – China Life European Warrants Mar 2011 A	2011/03/01	2011/03/08
26387	Deutsche Bank AG – China Overseas European Warrants Mar 2011	2011/03/01	2011/03/08
26221	Deutsche Bank AG – CITIC Bank European Warrants Mar 2011 B	2011/03/01	2011/03/08
23842	Deutsche Bank AG – Lenovo European Warrants Mar 2011	2011/03/01	2011/03/08
24636	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2011 B	2011/03/01	2011/03/08
24668	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 B	2011/03/01	2011/03/08
24718	HK Bank – BOCL European Warrants Mar 2011	2011/03/01	2011/03/08
24719	HK Bank – CC Bank European Warrants Mar 2011	2011/03/01	2011/03/08
24725	HK Bank – China Life European Put Warrants Mar 2011	2011/03/01	2011/03/08
24720	HK Bank – China Life European Warrants Mar 2011	2011/03/01	2011/03/08
24722	HK Bank – Esprit European Warrants Mar 2011	2011/03/01	2011/03/08
24723	HK Bank – ICBC European Warrants Mar 2011	2011/03/01	2011/03/08
24724	HK Bank – Maanshan Iron European Warrants Mar 2011	2011/03/01	2011/03/08
24730	J P Morgan SP BV – CPIC European Warrants Mar 2011	2011/03/01	2011/03/08
24734	Macquarie Bank Ltd. – China Life European Wts Mar 2011	2011/03/01	2011/03/08
22901	Macquarie Bank Ltd. – China Overseas European Wts Mar 2011	2011/03/01	2011/03/08
25202	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 2011	2011/03/01	2011/03/08
26651	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 B	2011/03/01	2011/03/08
23668	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Mar 2011	2011/03/01	2011/03/08
24337	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Mar 2011A	2011/03/01	2011/03/08
24739	RB of Scotland N.V. – China Life European Put Wts Mar 2011	2011/03/01	2011/03/08
24662	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011A	2011/03/01	2011/03/08
24663	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011B	2011/03/01	2011/03/08
26252	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011 B	2011/03/01	2011/03/08
24671	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wts Mar11	2011/03/01	2011/03/08
25302	Standard Chartered Bank-China Life European Warrants Mar11B	2011/03/01	2011/03/08
27141	UBS AG – China Life European Warrants Mar 2011	2011/03/01	2011/03/08
23352	UBS AG – HKEx European Put Warrants Mar 2011	2011/03/01	2011/03/08
19117	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2011	2011/03/02	2011/03/09
24700	CC Rabobank B.A. – Chi Res Power European Warrants Mar 2011	2011/03/02	2011/03/09
24699	CC Rabobank B.A. – Chi Resources European Warrants Mar 2011	2011/03/02	2011/03/09
24694	CC Rabobank B.A. – China Dongxiang European Warrants Mar 11	2011/03/02	2011/03/09
24779	CC Rabobank B.A. – China Life European Put Warrants Mar 2011	2011/03/02	2011/03/09
24777	CC Rabobank B.A. – CPIC European Warrants Mar 2011	2011/03/02	2011/03/09
24601	CC Rabobank B.A. – Wynn Macau European Warrants Mar 2011	2011/03/02	2011/03/09
24759	HK Bank – CITIC Bank European Warrants Mar 2011	2011/03/02	2011/03/09
24770	HK Bank – Geely Auto European Warrants Mar 2011	2011/03/02	2011/03/09
24772	HK Bank – Lenovo European Warrants Mar 2011	2011/03/02	2011/03/09
24756	J P Morgan SP BV – Stanchart European Warrants Mar 2011	2011/03/02	2011/03/09
23847	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Mar 2011	2011/03/02	2011/03/09
24556	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 C	2011/03/02	2011/03/09
23216	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Mar 2011	2011/03/02	2011/03/09
24101	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Mar 2011	2011/03/02	2011/03/09
24692	Macquarie Bank Ltd. – China Mobile Euro Put Wts Mar 2011	2011/03/02	2011/03/09
24746	Macquarie Bank Ltd. – Lenovo European Warrants Mar 2011	2011/03/02	2011/03/09
24902	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Mar 2011	2011/03/02	2011/03/09
25569	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Mar 11	2011/03/02	2011/03/09
24397	Standard Chartered Bank – Hutchison European Wts Mar 2011	2011/03/02	2011/03/09
24399	Standard Chartered Bank – ICBC European Warrants Mar 2011	2011/03/02	2011/03/09
26858	Credit Suisse AG – HSBC European Wts Mar 2011 B	2011/03/03	2011/03/10
24788	HK Bank – Foxconn European Warrants Mar 2011	2011/03/03	2011/03/10
23678	Macquarie Bank Ltd. – A50 China European Warrants Mar 2011 B	2011/03/03	2011/03/10
26645	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011 B	2011/03/03	2011/03/10
23637	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar2011B	2011/03/03	2011/03/10
24109	KBC Fin Products Int'l Ltd. – CITIC Bank Eur Put Wt Mar 2011	2011/03/04	2011/03/11
22232	BOCI Asia Ltd. – HKEx European Put Warrants Mar 2011	2011/03/07	2011/03/14
20244	Citigroup Global Mkt H Inc. – KWG Property European Wt Mar11	2011/03/07	2011/03/14
10995	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Mar 2011	2011/03/07	2011/03/14
10991	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2011	2011/03/07	2011/03/14
24573	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Mar 2011 B	2011/03/07	2011/03/14
25568	Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put W Mar11B	2011/03/07	2011/03/14



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24309	RB of Scotland N.V. – HKEx European Put Warrants Mar 2011	2011/03/07	2011/03/14
24683	Barclays Bank plc – China Life European Put Wts Mar 2011	2011/03/08	2011/03/15
24678	Barclays Bank plc – China Life European Warrants Mar 2011 B	2011/03/08	2011/03/15
24597	CC Rabobank B.A. – Fushan Energy European Warrants Mar 2011	2011/03/08	2011/03/15
25166	CC Rabobank B.A. – Geely Auto European Warrants Mar 2011	2011/03/08	2011/03/15
26147	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Mar 11B	2011/03/08	2011/03/15
25610	Credit Suisse AG – Agricultural Bank European Wts Mar 2011 B	2011/03/08	2011/03/15
26949	Credit Suisse AG – China Life European Warrants Mar 2011	2011/03/08	2011/03/15
26543	Credit Suisse AG – China Mobile European Warrants Mar 2011A	2011/03/08	2011/03/15
25949	Credit Suisse AG – China Tel European Warrants Mar 2011	2011/03/08	2011/03/15
24469	Credit Suisse AG – HKEx European Put Warrants Mar 2011	2011/03/08	2011/03/15
26270	Credit Suisse AG – Sinopharm European Warrants Mar 2011	2011/03/08	2011/03/15
10992	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 A	2011/03/08	2011/03/15
26226	Deutsche Bank AG – Gome European Warrants Mar 2011	2011/03/08	2011/03/15
24655	Deutsche Bank AG – HSBC European Warrants Mar 2011 A	2011/03/08	2011/03/15
24656	Deutsche Bank AG – ICBC European Warrants Mar 2011 A	2011/03/08	2011/03/15
24890	Deutsche Bank AG – Maanshan Iron European Warrants Mar 2011	2011/03/08	2011/03/15
24802	Deutsche Bank AG – Ping An European Warrants Mar 2011	2011/03/08	2011/03/15
24865	Deutsche Bank AG – SJM Holdings European Warrants Mar 2011	2011/03/08	2011/03/15
24100	Deutsche Bank AG – Wynn Macau European Warrants Mar 2011	2011/03/08	2011/03/15
24805	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2011	2011/03/08	2011/03/15
26393	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2011 A	2011/03/08	2011/03/15
24806	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2011	2011/03/08	2011/03/15
25631	HK Bank – CC Bank European Put Warrants Mar 2011	2011/03/08	2011/03/15
29330	HK Bank – HWL European Warrants Jun 2011	2011/03/14	2011/03/15
26093	J P Morgan SP BV – Agricultural Bk European Warrants Mar 11	2011/03/08	2011/03/15
25068	KBC Fin Products Int'l Ltd. – China Life Euro Wts Mar 2011 E	2011/03/08	2011/03/15
24285	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11A	2011/03/08	2011/03/15
23612	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Mar 2011	2011/03/08	2011/03/15
26917	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 C	2011/03/08	2011/03/15
22222	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Put Wt Mar11	2011/03/08	2011/03/15
26473	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2011	2011/03/08	2011/03/15
25481	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 B	2011/03/08	2011/03/15
25836	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 C	2011/03/08	2011/03/15
25648	UBS AG – Agricultural Bank European Put Warrants Mar 2011	2011/03/08	2011/03/15
23889	UBS AG – Agricultural Bank European Warrants Mar 2011 A	2011/03/08	2011/03/15
25644	UBS AG – Agricultural Bank European Warrants Mar 2011 C	2011/03/08	2011/03/15
24681	UBS AG – China Life European Put Warrants Mar 2011	2011/03/08	2011/03/15
24884	UBS AG – Ping An European Warrants Mar 2011	2011/03/08	2011/03/15
24856	CC Rabobank B.A. – Maanshan Iron European Warrants Mar 2011	2011/03/09	2011/03/16
26947	Credit Suisse AG – China Mobile European Warrants Mar 2011 B	2011/03/09	2011/03/16
24162	Deutsche Bank AG – Hutchison European Warrants Mar 2011 A	2011/03/09	2011/03/16
23415	J P Morgan SP BV – A50 China European Warrants Mar 2011	2011/03/09	2011/03/16
24552	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 B	2011/03/09	2011/03/16
23224	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Mar 2011	2011/03/09	2011/03/16
24864	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2011	2011/03/09	2011/03/16
24860	KBC Fin Products Int'l Ltd. – Ping An European Wts Mar 2011	2011/03/09	2011/03/16
25215	Macquarie Bank Ltd. – Cathay Pacific European Wts Mar 2011	2011/03/09	2011/03/16
24868	Macquarie Bank Ltd. – SJM Holdings European Warrants Mar 11	2011/03/09	2011/03/16
26150	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 A	2011/03/09	2011/03/16
24286	Merrill Lynch Int'l & Co. C.V. – Ch Overseas Eur Wt Mar 11B	2011/03/09	2011/03/16
21636	CC Rabobank B.A. – HSBC European Warrants Mar 2011 A	2011/03/10	2011/03/17
25397	Credit Suisse – BOC HK European Warrants Mar 2011	2011/03/10	2011/03/17
26616	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2011 B	2011/03/10	2011/03/17
24563	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Mar 2011	2011/03/10	2011/03/17
24339	Macquarie Bank Ltd. – Gome European Warrants Mar 2011	2011/03/10	2011/03/17
24929	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011 A	2011/03/10	2011/03/17
24975	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Mar 11	2011/03/10	2011/03/17
24147	Standard Chartered Bank – HSBC European Warrants Mar 2011	2011/03/10	2011/03/17
24981	Citigroup Global Mkt H Inc. – CM Bank European Put Wts Mar11	2011/03/11	2011/03/18
24988	Credit Suisse AG – Agricultural Bank European Put Wts Mar11	2011/03/11	2011/03/18
25392	Credit Suisse AG – Jiangxi Copper European Wts Mar 2011	2011/03/11	2011/03/18
10986	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Mar11	2011/03/14	2011/03/21
10982	BNP Paribas Arbit Issu B.V. – Oil Futures European Wts Mar11	2011/03/14	2011/03/21
24696	CC Rabobank B.A. – China Gas Hold European Warrants Mar 2011	2011/03/14	2011/03/21
24960	CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2011	2011/03/14	2011/03/21
23974	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Mar 11	2011/03/14	2011/03/21
24545	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 A	2011/03/14	2011/03/21
10984	RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 B	2011/03/14	2011/03/21
10001	RB of Scotland N.V. – DJIA European Put Warrants Mar 2011 D	2011/03/14	2011/03/21
10980	RB of Scotland N.V. – DJIA European Warrants Mar 2011 A	2011/03/14	2011/03/21
10996	RB of Scotland N.V. – DJIA European Warrants Mar 2011 C	2011/03/14	2011/03/21
24727	Standard Chartered Bank -China Life European Warrants Mar11A	2011/03/14	2011/03/21
24793	Barclays Bank plc – China Shenhua European Warrants Mar 2011	2011/03/15	2011/03/22
24177	Barclays Bank plc – CM Bank European Put Warrants Mar 2011	2011/03/15	2011/03/22
20482	Barclays Bank plc – PICC P&C European Warrants Mar 2011	2011/03/15	2011/03/22
23438	Barclays Bank plc – Yanzhou Coal European Warrants Mar 2011	2011/03/15	2011/03/22
25814	BOCI Asia Ltd. – China Life European Warrants Mar 2011	2011/03/15	2011/03/22
20408	Citigroup Global Mkt H Inc. – A50 China Euro Wts Mar 2011	2011/03/15	2011/03/22
23936	Citigroup Global Mkt H Inc. – Agile Ppt European Wts Mar 11	2011/03/15	2011/03/22
22256	Citigroup Global Mkt H Inc. – Ch LongYuan European Wts Mar11	2011/03/15	2011/03/22
24984	Credit Suisse AG – Agricultural Bank European Wts Mar 2011 A	2011/03/15	2011/03/22
25612	Credit Suisse AG – BOCL European Warrants Mar 2011	2011/03/15	2011/03/22
27497	Credit Suisse AG – CC Bank European Warrants Mar 2011 D	2011/03/15	2011/03/22
24145	Deutsche Bank AG – Agricultural Bk European Warrants Mar 11	2011/03/15	2011/03/22
25037	Deutsche Bank AG – BOC HK European Warrants Mar 2011	2011/03/15	2011/03/22
23650	Deutsche Bank AG – BOCL European Warrants Mar 2011	2011/03/15	2011/03/22

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24963	Deutsche Bank AG – Cathay Pacific European Warrants Mar 2011	2011/03/15	2011/03/22
24965	Deutsche Bank AG – CC Bank European Put Warrants Mar 2011	2011/03/15	2011/03/22
25038	Deutsche Bank AG – China Telecom European Wts Mar 2011	2011/03/15	2011/03/22
23730	Deutsche Bank AG – CNOOC European Warrants Mar 2011	2011/03/15	2011/03/22
10994	Deutsche Bank AG – DJIA European Put Warrants Mar 2011	2011/03/15	2011/03/22
10990	Deutsche Bank AG – DJIA European Warrants Mar 2011	2011/03/15	2011/03/22
25039	Deutsche Bank AG – Fushan Energy European Warrants Mar 2011	2011/03/15	2011/03/22
24943	Deutsche Bank AG – Geely Auto European Warrants Mar 2011 A	2011/03/15	2011/03/22
25041	Deutsche Bank AG – Li & Fung European Warrants Mar 2011	2011/03/15	2011/03/22
25042	Deutsche Bank AG – PetroChina European Warrants Mar 2011	2011/03/15	2011/03/22
25043	Deutsche Bank AG – Sinopharm European Warrants Mar 2011	2011/03/15	2011/03/22
24259	Deutsche Bank AG – WISE CSI European Put Warrants Mar 2011	2011/03/15	2011/03/22
24255	Deutsche Bank AG – WISE CSI European Warrants Mar 2011	2011/03/15	2011/03/22
24271	Deutsche Bank AG – Yanzhou Coal European Warrants Mar 2011	2011/03/15	2011/03/22
24977	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2011 B	2011/03/15	2011/03/22
24164	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2011 A	2011/03/15	2011/03/22
25081	J P Morgan SP BV – Anta Sports European Warrants Mar 2011	2011/03/15	2011/03/22
24381	J P Morgan SP BV – Belle Int'l European Warrants Mar 2011	2011/03/15	2011/03/22
25046	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 B	2011/03/15	2011/03/22
25047	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11 C	2011/03/15	2011/03/22
24553	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 C	2011/03/15	2011/03/22
24154	Macquarie Bank Ltd. –Agricultural Bk European Warrants Mar11	2011/03/15	2011/03/22
21043	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar2011A	2011/03/15	2011/03/22
25027	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Mar 2011 B	2011/03/15	2011/03/22
24895	Merrill Lynch Int'l & Co. C.V. –Agricultural Bk Eu Wt Mar 11	2011/03/15	2011/03/22
23826	Nomura Int'l plc – Agile Ppt European Warrants Mar 2011	2011/03/15	2011/03/22
25226	Nomura Int'l plc – Agricultural Bk European Warrants Mar 11	2011/03/15	2011/03/22
27117	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2011	2011/03/15	2011/03/22
27257	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 11C	2011/03/15	2011/03/22
23629	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Mar 2011A	2011/03/15	2011/03/22
25597	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2011	2011/03/15	2011/03/22
23626	SGA Societe Generale Acceptance N.V. –Ch Mob Eu Wt Mar 2011A	2011/03/15	2011/03/22
27179	UBS AG – China Mobile European Warrants Mar 2011 B	2011/03/15	2011/03/22
23724	BOCI Asia Ltd. – Chi Res Land European Warrants Mar 2011	2011/03/16	2011/03/23
24123	Citigroup Global Mkt H Inc. – Skyworth Digital Euro Wt Mar11	2011/03/16	2011/03/23
23591	HK Bank – China Overseas European Warrants Mar 2011	2011/03/16	2011/03/23
24766	HK Bank – China Shenhua European Warrants Mar 2011 B	2011/03/16	2011/03/23
23593	HK Bank – Shimao Ppt European Warrants Mar 2011	2011/03/16	2011/03/23
25084	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 B	2011/03/16	2011/03/23
27041	Nomura Int'l plc – Anhui Conch European Warrants Mar 2011	2011/03/16	2011/03/23
22526	CC Rabobank B.A. – A50 China European Put Warrants Mar 2011	2011/03/17	2011/03/24
22356	CC Rabobank B.A. – A50 China European Warrants Mar 2011	2011/03/17	2011/03/24
25729	CC Rabobank B.A. – MCC European Warrants Mar 2011	2011/03/17	2011/03/24
21522	CC Rabobank B.A. – Minsheng Bank European Warrants Mar 2011	2011/03/17	2011/03/24
22953	HK Bank – Dongfeng Motor European Warrants Mar 2011	2011/03/17	2011/03/24
23672	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Mar 11	2011/03/17	2011/03/24
26914	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011 C	2011/03/17	2011/03/24
21862	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Mar2011A	2011/03/17	2011/03/24
25571	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011C	2011/03/17	2011/03/24
26486	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011 C	2011/03/17	2011/03/24
26334	UBS AG – ICBC European Warrants Mar 2011 A	2011/03/17	2011/03/24
24083	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Mar 11	2011/03/18	2011/03/25
25085	CC Rabobank B.A. – Jiangxi Copper European Warrants Mar 2011	2011/03/18	2011/03/25
25105	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Mar 11D	2011/03/18	2011/03/25
23801	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Mar 11	2011/03/18	2011/03/25
25019	RB of Scotland N.V. – Agricultural Bk European Wts Mar 2011	2011/03/18	2011/03/25
27242	Standard Chartered Bank – China Mobile European Wts Mar 2011	2011/03/18	2011/03/25
24152	UBS AG – BOC HK European Warrants Mar 2011	2011/03/18	2011/03/25
26442	UBS AG – China Mobile European Warrants Mar 2011 A	2011/03/18	2011/03/25
24687	BOCI Asia Ltd. – China Mobile European Put Warrants Mar 2011	2011/03/21	2011/03/28
23370	BOCI Asia Ltd. – CNBM European Warrants Mar 2011	2011/03/21	2011/03/28
24713	BOCI Asia Ltd. – Minsheng Bank European Warrants Mar 2011	2011/03/21	2011/03/28
27094	Macquarie Bank Ltd. – China Mobile European Wts Mar 2011	2011/03/21	2011/03/28
25514	Merrill Lynch Int'l & Co. C.V. –Ch Telecom Eur Put Wts Mar 11	2011/03/21	2011/03/28
22517	Barclays Bank plc – A50 China European Put Warrants Mar 2011	2011/03/22	2011/03/29
24792	Barclays Bank plc – Jiangxi Copper European Warrants Mar 11B	2011/03/22	2011/03/29
21560	Barclays Bank plc – Minsheng Bank European Warrants Mar 2011	2011/03/22	2011/03/29
26494	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2011	2011/03/22	2011/03/29
18729	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Mar 2011	2011/03/22	2011/03/29
26216	BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2011 B	2011/03/22	2011/03/29
23174	BOCI Asia Ltd. – Dongfeng Motor European Warrants Mar 2011	2011/03/22	2011/03/29
24140	CC Rabobank B.A. – Agricultural Bk European Warrants Mar 11	2011/03/22	2011/03/29
26233	CC Rabobank B.A. – CC Bank European Warrants Mar 2011	2011/03/22	2011/03/29
24957	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2011 B	2011/03/22	2011/03/29
24959	CC Rabobank B.A. – PCD Stores European Warrants Mar 2011	2011/03/22	2011/03/29
24413	Citigroup Global Mkt H Inc. – Anta Sports European Wts Mar11	2011/03/22	2011/03/29
23061	Citigroup Global Mkt H Inc. – BOC HK European Wts Mar 2011	2011/03/22	2011/03/29
24170	Citigroup Global Mkt H Inc. – BOCL European Wts Mar 2011	2011/03/22	2011/03/29
23013	Citigroup Global Mkt H Inc. – Dongfeng European Wts Mar 2011	2011/03/22	2011/03/29
22736	Citigroup Global Mkt H Inc. – Henganintl European Wts Mar11	2011/03/22	2011/03/29
22768	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Mar 11	2011/03/22	2011/03/29
23545	Citigroup Global Mkt H Inc. – Tracker Fund Euro Wts Mar 2011	2011/03/22	2011/03/29
23807	Citigroup Global Mkt H Inc. –Agricultural Bk Eu Wt Mar 2011A	2011/03/22	2011/03/29
26368	Credit Suisse AG – A50 China European Warrants Mar 2011	2011/03/22	2011/03/29
27488	Credit Suisse AG – Agricultural Bank European Wts Mar 2011 C	2011/03/22	2011/03/29
24428	Credit Suisse AG – BBMG European Warrants Mar 2011	2011/03/22	2011/03/29
25878	Credit Suisse AG – CC Bank European Put Warrants Mar 2011 A	2011/03/22	2011/03/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25879	Credit Suisse AG – CC Bank European Put Warrants Mar 2011 B	2011/03/22	2011/03/29
25877	Credit Suisse AG – CC Bank European Warrants Mar 2011 C	2011/03/22	2011/03/29
27170	Credit Suisse AG – China Shenhua European Warrants Mar 2011	2011/03/22	2011/03/29
26928	Credit Suisse AG – CPIC European Warrants Mar 2011	2011/03/22	2011/03/29
25180	Credit Suisse AG – HKEx European Warrants Mar 2011	2011/03/22	2011/03/29
26692	Credit Suisse AG – HSBC European Wts Mar 2011 A	2011/03/22	2011/03/29
24951	Credit Suisse AG – Hutchison European Warrants Mar 2011	2011/03/22	2011/03/29
26065	Credit Suisse AG – ICBC European Warrants Mar 2011 B	2011/03/22	2011/03/29
24936	Credit Suisse AG – Minsheng Bank European Warrants Mar 2011	2011/03/22	2011/03/29
24937	Credit Suisse AG – Ping An European Warrants Mar 2011	2011/03/22	2011/03/29
24320	Deutsche Bank AG – Dongfeng Motor European Warrants Mar 11	2011/03/22	2011/03/29
25072	Deutsche Bank AG – CC Bank European Warrants Mar 2011 B	2011/03/22	2011/03/29
25185	Deutsche Bank AG – China EB Ltd European Warrants Mar 2011	2011/03/22	2011/03/29
25012	Deutsche Bank AG – China Life European Warrants Mar 2011 B	2011/03/22	2011/03/29
25013	Deutsche Bank AG – China Mobile European Warrants Mar 2011	2011/03/22	2011/03/29
25160	Deutsche Bank AG – China Railway European Warrants Mar 2011	2011/03/22	2011/03/29
25100	Deutsche Bank AG – CITIC Bank European Warrants Mar 2011 A	2011/03/22	2011/03/29
23840	Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011A	2011/03/22	2011/03/29
24450	Deutsche Bank AG – CITIC Pacific European Warrants Mar 2011B	2011/03/22	2011/03/29
25124	Deutsche Bank AG – CM Bank European Warrants Mar 2011	2011/03/22	2011/03/29
25125	Deutsche Bank AG – CNBM European Warrants Mar 2011	2011/03/22	2011/03/29
26132	Deutsche Bank AG – CPIC European Warrants Mar 2011	2011/03/22	2011/03/29
10999	Deutsche Bank AG – EUR/USD European Put Warrants Mar 2011 B	2011/03/22	2011/03/29
25075	Deutsche Bank AG – Geely Auto European Warrants Mar 2011 B	2011/03/22	2011/03/29
27844	Deutsche Bank AG – HSBC European Warrants Mar 2011 B	2011/03/22	2011/03/29
25162	Deutsche Bank AG – ICBC European Warrants Mar 2011 B	2011/03/22	2011/03/29
24270	Deutsche Bank AG – Jiangxi Copper European Warrants Mar 2011	2011/03/22	2011/03/29
25161	Deutsche Bank AG – Minsheng Bank European Warrants Mar 2011	2011/03/22	2011/03/29
25014	Deutsche Bank AG – Sinopec Corp European Warrants Mar 2011	2011/03/22	2011/03/29
10988	Deutsche Bank AG – USD/YEN European Warrants Mar 2011	2011/03/22	2011/03/29
25106	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2011 B	2011/03/22	2011/03/29
24751	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Mar 2011	2011/03/22	2011/03/29
24752	Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2011	2011/03/22	2011/03/29
24638	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Mar11	2011/03/22	2011/03/29
25117	HK Bank – PetroChina European Warrants Mar 2011	2011/03/22	2011/03/29
26048	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2011	2011/03/22	2011/03/29
22027	J P Morgan SP BV – Agile Ppt European Warrants Mar 2011	2011/03/22	2011/03/29
22704	J P Morgan SP BV – Skyworth Digital European Warrants Mar 11	2011/03/22	2011/03/29
24731	J P Morgan SP BV – Tencent European Warrants Mar 2011 A	2011/03/22	2011/03/29
24557	KBC Fin Products Int'l Ltd. – CMB European Warrants Mar 2011	2011/03/22	2011/03/29
24280	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Mar 2011	2011/03/22	2011/03/29
24809	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 11B	2011/03/22	2011/03/29
23451	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011 A	2011/03/22	2011/03/29
19139	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Mar 2011 A	2011/03/22	2011/03/29
23424	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Mar11	2011/03/22	2011/03/29
24899	Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put W Mar11A	2011/03/22	2011/03/29
24566	RB of Scotland N.V. – CNOOC European Warrants Mar 2011	2011/03/22	2011/03/29
25668	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011 B	2011/03/22	2011/03/29
24926	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Mar 11B	2011/03/22	2011/03/29
25837	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 D	2011/03/22	2011/03/29
24823	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Mar 11	2011/03/22	2011/03/29
23644	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Mar 11	2011/03/22	2011/03/29
23920	SGA Societe Generale Acceptance N.V.-Ch Ship Cont Eu Wt Mar11	2011/03/22	2011/03/29
24460	Standard Chartered Bank – HKEx European Warrants Mar 2011	2011/03/22	2011/03/29
23890	UBS AG – Agricultural Bank European Warrants Mar 2011 B	2011/03/22	2011/03/29
25263	UBS AG – BOCL European Warrants Mar 2011	2011/03/22	2011/03/29
25578	UBS AG – CC Bank European Warrants Mar 2011 A	2011/03/22	2011/03/29
25703	UBS AG – CC Bank European Warrants Mar 2011 B	2011/03/22	2011/03/29
25649	UBS AG – China Shenhua European Warrants Mar 2011	2011/03/22	2011/03/29
22857	UBS AG – Dongfeng Motor European Warrants Mar 2011	2011/03/22	2011/03/29
27180	UBS AG – ICBC European Warrants Mar 2011 B	2011/03/22	2011/03/29
20156	UBS AG – Sinopec Corp European Warrants Mar 2011 A	2011/03/22	2011/03/29
25087	CC Rabobank B.A. – Anhui Conch European Warrants Mar 2011	2011/03/23	2011/03/30
23542	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Mar 2011	2011/03/23	2011/03/30
24323	Deutsche Bank AG – Hutchison European Warrants Mar 2011 B	2011/03/23	2011/03/30
25172	HK Bank – HWL European Warrants Mar 2011	2011/03/23	2011/03/30
25170	HK Bank – Sinopec Corp European Warrants Mar 2011	2011/03/23	2011/03/30
17995	Macquarie Bank Ltd. – Beijing Air European Warrants Mar 2011	2011/03/23	2011/03/30
25512	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 11C	2011/03/23	2011/03/30
24851	Barclays Bank plc – HSI European Put Warrants Mar 2011	2011/03/24	2011/03/31
24243	Barclays Bank plc – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
24244	Barclays Bank plc – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
24848	Barclays Bank plc – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
24849	Barclays Bank plc – HSI European Warrants Mar 2011 D	2011/03/24	2011/03/31
25143	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2011	2011/03/24	2011/03/31
25139	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2011	2011/03/24	2011/03/31
19661	BNP Paribas Arbit Issu B.V. – Minsheng Bank Euro Wts Mar11	2011/03/24	2011/03/31
22888	BOCI Asia Ltd. – CPIC European Warrants Mar 2011	2011/03/24	2011/03/31
24757	BOCI Asia Ltd. – HSI European Put Warrants Mar 2011	2011/03/24	2011/03/31
24753	BOCI Asia Ltd. – HSI European Warrants Mar 2011	2011/03/24	2011/03/31
24593	CC Rabobank B.A. – BBMG European Warrants Mar 2011	2011/03/24	2011/03/31
23784	CC Rabobank B.A. – BOCL European Warrants Mar 2011	2011/03/24	2011/03/31
24594	CC Rabobank B.A. – Cathay Pacific European Warrants Mar 2011	2011/03/24	2011/03/31
24693	CC Rabobank B.A. – CHALCO European Warrants Mar 2011	2011/03/24	2011/03/31
24207	CC Rabobank B.A. – CITIC Pacific European Warrants Mar 2011	2011/03/24	2011/03/31
23202	CC Rabobank B.A. – CNBM European Warrants Mar 2011	2011/03/24	2011/03/31
22524	CC Rabobank B.A. – CNOOC European Warrants Mar 2011	2011/03/24	2011/03/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24596	CC Rabobank B.A. – COSCO Pacific European Warrants Mar 2011	2011/03/24	2011/03/31
22810	CC Rabobank B.A. – Dongfeng Motor European Warrants Mar 2011	2011/03/24	2011/03/31
23859	CC Rabobank B.A. – GCL-Poly Energy European Warrants Mar 11A	2011/03/24	2011/03/31
22812	CC Rabobank B.A. – Greentown China European Wts Mar 2011	2011/03/24	2011/03/31
23677	CC Rabobank B.A. – HSBC European Warrants Mar 2011 B	2011/03/24	2011/03/31
21478	CC Rabobank B.A. – ICBC European Warrants Mar 2011	2011/03/24	2011/03/31
20207	CC Rabobank B.A. – Sinofer European Warrants Mar 2011	2011/03/24	2011/03/31
25233	CC Rabobank B.A. – Tencent Holdings European Wts Mar 2011	2011/03/24	2011/03/31
22241	CC Rabobank B.A. – Want Want China European Warrants Mar11	2011/03/24	2011/03/31
24602	CC Rabobank B.A. – ZTE European Warrants Mar 2011	2011/03/24	2011/03/31
25243	Citigroup Global Mkt H Inc. – Esprit European Wts Mar 2011	2011/03/24	2011/03/31
25228	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2011	2011/03/24	2011/03/31
25661	Credit Suisse AG – HSI European Put Warrants Mar 2011 B	2011/03/24	2011/03/31
27221	Credit Suisse AG – HSI European Put Warrants Mar 2011 E	2011/03/24	2011/03/31
27501	Credit Suisse AG – HSI European Put Warrants Mar 2011 G	2011/03/24	2011/03/31
27216	Credit Suisse AG – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
27217	Credit Suisse AG – HSI European Warrants Mar 2011 D	2011/03/24	2011/03/31
27491	Credit Suisse AG – HSI European Warrants Mar 2011 F	2011/03/24	2011/03/31
25656	Credit Suisse AG – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
25151	Deutsche Bank AG – HSI European Put Warrants Mar 2011 C	2011/03/24	2011/03/31
25152	Deutsche Bank AG – HSI European Put Warrants Mar 2011 D	2011/03/24	2011/03/31
27149	Deutsche Bank AG – HSI European Put Warrants Mar 2011 E	2011/03/24	2011/03/31
25149	Deutsche Bank AG – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
25150	Deutsche Bank AG – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
20458	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2011 A	2011/03/24	2011/03/31
22886	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2011 A	2011/03/24	2011/03/31
22830	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2011 A	2011/03/24	2011/03/31
25199	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 C	2011/03/24	2011/03/31
25200	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 D	2011/03/24	2011/03/31
26545	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 F	2011/03/24	2011/03/31
25194	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
25195	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
26447	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 E	2011/03/24	2011/03/31
22375	HK Bank – A50 China European Put Warrants Mar 2011	2011/03/24	2011/03/31
21957	HK Bank – CHALCO European Warrants Mar 2011	2011/03/24	2011/03/31
25118	HK Bank – HSCEI European Put Warrants Mar 2011	2011/03/24	2011/03/31
25111	HK Bank – HSCEI European Warrants Mar 2011 A	2011/03/24	2011/03/31
25112	HK Bank – HSCEI European Warrants Mar 2011 B	2011/03/24	2011/03/31
24621	HK Bank – HSI European Put Warrants Mar 2011 F	2011/03/24	2011/03/31
25136	HK Bank – HSI European Put Warrants Mar 2011 G	2011/03/24	2011/03/31
25137	HK Bank – HSI European Put Warrants Mar 2011 H	2011/03/24	2011/03/31
25138	HK Bank – HSI European Put Warrants Mar 2011 I	2011/03/24	2011/03/31
25687	HK Bank – HSI European Put Warrants Mar 2011 J	2011/03/24	2011/03/31
24615	HK Bank – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
24616	HK Bank – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
24617	HK Bank – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
25131	HK Bank – HSI European Warrants Mar 2011 D	2011/03/24	2011/03/31
25132	HK Bank – HSI European Warrants Mar 2011 E	2011/03/24	2011/03/31
20265	HK Bank – Minsheng Bank European Warrants Mar 2011	2011/03/24	2011/03/31
23997	J P Morgan SP BV – BOC HK European Warrants Mar 2011	2011/03/24	2011/03/31
23310	J P Morgan SP BV – CITIC Pacific Euro Wts Mar 2011	2011/03/24	2011/03/31
23311	J P Morgan SP BV – Dongfeng Motor European Warrants Mar 2011	2011/03/24	2011/03/31
23249	J P Morgan SP BV – Shimao Property European Wts Mar 2011	2011/03/24	2011/03/31
18245	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2011	2011/03/24	2011/03/31
22381	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Mar 11	2011/03/24	2011/03/31
24546	KBC Fin Products Int'l Ltd. – BOCL European Wts Mar 2011 B	2011/03/24	2011/03/31
24065	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 A	2011/03/24	2011/03/31
24066	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 B	2011/03/24	2011/03/31
24742	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 F	2011/03/24	2011/03/31
26087	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 J	2011/03/24	2011/03/31
24736	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 C	2011/03/24	2011/03/31
24737	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 D	2011/03/24	2011/03/31
24738	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 E	2011/03/24	2011/03/31
24832	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 G	2011/03/24	2011/03/31
24835	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 H	2011/03/24	2011/03/31
25405	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 I	2011/03/24	2011/03/31
21760	Macquarie Bank Ltd. – A50 China European Warrants Mar 2011 A	2011/03/24	2011/03/31
25190	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Mar 11	2011/03/24	2011/03/31
18621	Macquarie Bank Ltd. – BankComm European Warrants Mar 2011	2011/03/24	2011/03/31
25134	Macquarie Bank Ltd. – Belle Int'l European Warrants Mar 2011	2011/03/24	2011/03/31
24711	Macquarie Bank Ltd. – China EB Ltd European Warrants Mar 11	2011/03/24	2011/03/31
16916	Macquarie Bank Ltd. – CNOOC European Warrants Mar 2011	2011/03/24	2011/03/31
24710	Macquarie Bank Ltd. – CPIC European Warrants Mar 2011	2011/03/24	2011/03/31
24387	Macquarie Bank Ltd. – Digital China European Warrants Mar 11	2011/03/24	2011/03/31
25528	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2011	2011/03/24	2011/03/31
24608	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
24609	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
25585	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
25217	Macquarie Bank Ltd. – MCC European Warrants Mar 2011	2011/03/24	2011/03/31
17874	Macquarie Bank Ltd. – Ping An European Warrants Mar 2011	2011/03/24	2011/03/31
25189	Macquarie Bank Ltd. – Sh Petrochem European Wts Mar 2011	2011/03/24	2011/03/31
27802	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 C	2011/03/24	2011/03/31
25414	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Mar 11G	2011/03/24	2011/03/31
25415	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Mar 11H	2011/03/24	2011/03/31
25416	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Mar 11I	2011/03/24	2011/03/31
25413	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Mar11F	2011/03/24	2011/03/31
26514	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Mar11K	2011/03/24	2011/03/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25398	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11A	2011/03/24	2011/03/31
25406	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11B	2011/03/24	2011/03/31
25407	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11C	2011/03/24	2011/03/31
25408	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11D	2011/03/24	2011/03/31
25409	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11E	2011/03/24	2011/03/31
26511	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11J	2011/03/24	2011/03/31
27555	Nomura Int'l plc – HSI European Put Warrants Mar 2011	2011/03/24	2011/03/31
27544	Nomura Int'l plc – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
27545	Nomura Int'l plc – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
27546	Nomura Int'l plc – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
22540	RB of Scotland N.V. – A50 China European Put Wts Mar 2011	2011/03/24	2011/03/31
21703	RB of Scotland N.V. – A50 China European Warrants Mar 2011	2011/03/24	2011/03/31
10997	RB of Scotland N.V. – Gold European Put Warrants Mar 2011	2011/03/24	2011/03/31
10993	RB of Scotland N.V. – Gold European Warrants Mar 2011	2011/03/24	2011/03/31
27305	RB of Scotland N.V. – HSI European Put Warrants Mar 2011	2011/03/24	2011/03/31
27251	RB of Scotland N.V. – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
27301	RB of Scotland N.V. – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
25067	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11C	2011/03/24	2011/03/31
25246	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11D	2011/03/24	2011/03/31
25247	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11E	2011/03/24	2011/03/31
25835	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11H	2011/03/24	2011/03/31
24940	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 A	2011/03/24	2011/03/31
25063	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 B	2011/03/24	2011/03/31
25484	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 F	2011/03/24	2011/03/31
25834	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 G	2011/03/24	2011/03/31
22336	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Mar 11	2011/03/24	2011/03/31
27331	UBS AG – HSBC European Put Warrants Mar 2011	2011/03/24	2011/03/31
26560	UBS AG – HSBC European Warrants Mar 2011	2011/03/24	2011/03/31
25613	UBS AG – HSI European Put Warrants Mar 2011 D	2011/03/24	2011/03/31
25904	UBS AG – HSI European Put Warrants Mar 2011 E	2011/03/24	2011/03/31
27182	UBS AG – HSI European Put Warrants Mar 2011 H	2011/03/24	2011/03/31
25090	UBS AG – HSI European Warrants Mar 2011 A	2011/03/24	2011/03/31
25311	UBS AG – HSI European Warrants Mar 2011 B	2011/03/24	2011/03/31
25609	UBS AG – HSI European Warrants Mar 2011 C	2011/03/24	2011/03/31
26977	UBS AG – HSI European Warrants Mar 2011 F	2011/03/24	2011/03/31
27089	UBS AG – HSI European Warrants Mar 2011 G	2011/03/24	2011/03/31
23727	BOCI Asia Ltd. – Tracker Fund European Put Warrants Mar 2011	2011/03/25	2011/04/01
25641	J P Morgan SP BV – Tencent European Warrants Mar 2011 B	2011/03/25	2011/04/01
24560	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 A	2011/03/25	2011/04/01
24408	Macquarie Bank Ltd. – Li Ning European Warrants Mar 2011	2011/03/25	2011/04/01
26835	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Mar 11	2011/03/25	2011/04/01
26152	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Mar 2011	2011/03/25	2011/04/01
23419	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Mar 11	2011/03/25	2011/04/01
23823	Nomura Int'l plc – BOC HK European Warrants Mar 2011	2011/03/25	2011/04/01
23829	Nomura Int'l plc – BOCL European Warrants Mar 2011	2011/03/25	2011/04/01
25223	Nomura Int'l plc – CC Bank European Warrants Mar 2011	2011/03/25	2011/04/01
24497	Nomura Int'l plc – China Life European Put Warrants Mar 2011	2011/03/25	2011/04/01
25224	Nomura Int'l plc – China Mobile European Warrants Mar 2011	2011/03/25	2011/04/01
24494	Nomura Int'l plc – China Telecom European Warrants Mar 2011	2011/03/25	2011/04/01
23300	Nomura Int'l plc – CNOOC European Warrants Mar 2011	2011/03/25	2011/04/01
23824	Nomura Int'l plc – CPIC European Warrants Mar 2011	2011/03/25	2011/04/01
24493	Nomura Int'l plc – Dongfeng European Warrants Mar 2011	2011/03/25	2011/04/01
23821	Nomura Int'l plc – ICBC European Warrants Mar 2011	2011/03/25	2011/04/01
23812	Nomura Int'l plc – JIANG European Warrants Mar 2011 A	2011/03/25	2011/04/01
25218	Nomura Int'l plc – JIANG European Warrants Mar 2011 B	2011/03/25	2011/04/01
23825	Nomura Int'l plc – Shineway Pharm European Warrants Mar 2011	2011/03/25	2011/04/01
23293	Nomura Int'l plc – Tencent Holdings European Warrants Mar 11	2011/03/25	2011/04/01
26074	RB of Scotland N.V. – HWL European Warrants Mar 2011	2011/03/25	2011/04/01
27940	RB of Scotland N.V. – Minsheng Bank European Wts Mar 2011	2011/03/25	2011/04/01
25647	UBS AG – Sinopec Corp European Warrants Mar 2011 B	2011/03/25	2011/04/01
24629	BNP Paribas Arbit Issu B.V. – Sinopharm European Wts Apr11	2011/03/28	2011/04/04
23959	BOCI Asia Ltd. – CM Bank European Put Warrants Apr 2011	2011/03/28	2011/04/04
22576	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Apr 11	2011/03/28	2011/04/04
25048	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 11C	2011/03/28	2011/04/04
25191	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 11A	2011/03/28	2011/04/04
25867	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 B	2011/03/28	2011/04/04
19705	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011A	2011/03/28	2011/04/04
25868	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 E	2011/03/28	2011/04/04
25979	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Apr 2011 B	2011/03/28	2011/04/04
25069	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 A	2011/03/28	2011/04/04
23379	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Apr 2011	2011/03/28	2011/04/04
25248	KBC Fin Products Int'l Ltd. – HKEx European Warrants Apr 11	2011/03/28	2011/04/04
25066	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011 A	2011/03/28	2011/04/04
26883	Nomura Int'l plc – HSBC European Warrants Apr 2011	2011/03/28	2011/04/04
22518	Barclays Bank plc – A50 China European Put Warrants Apr 2011	2011/03/29	2011/04/06
24827	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr11	2011/03/29	2011/04/06
22283	BNP Paribas Arbit Issu B.V. – Angang Steel European Wt Apr11	2011/03/29	2011/04/06
24077	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2011	2011/03/29	2011/04/06
24991	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2011	2011/03/29	2011/04/06
24350	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2011	2011/03/29	2011/04/06
24993	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Apr 2011	2011/03/29	2011/04/06
25259	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Apr 11	2011/03/29	2011/04/06
23525	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Apr 2011	2011/03/29	2011/04/06
24685	BOCI Asia Ltd. – BYD European Warrants Apr 2011	2011/03/29	2011/04/06
24716	BOCI Asia Ltd. – PetroChina European Warrants Apr 2011	2011/03/29	2011/04/06
25089	CC Rabobank B.A. – BOCL European Warrants Apr 2011	2011/03/29	2011/04/06
25759	Credit Suisse AG – A50 China European Warrants Apr 2011 B	2011/03/29	2011/04/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26071	Credit Suisse AG – BOCL European Warrants Apr 2011 A	2011/03/29	2011/04/06
25789	Credit Suisse AG – HSBC European Put Warrants Apr 2011	2011/03/29	2011/04/06
26679	Credit Suisse AG – HSBC European Wts Apr 2011 D	2011/03/29	2011/04/06
27495	Credit Suisse AG – Hutchison European Warrants Apr 2011 H	2011/03/29	2011/04/06
27517	Credit Suisse AG – Hutchison European Warrants Apr 2011 I	2011/03/29	2011/04/06
25755	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 B	2011/03/29	2011/04/06
26945	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 C	2011/03/29	2011/04/06
25605	Deutsche Bank AG – A50 China European Put Wts Apr 2011 B	2011/03/29	2011/04/06
25795	Deutsche Bank AG – Agricultural Bk European Warrants Apr 11	2011/03/29	2011/04/06
25123	Deutsche Bank AG – Alibaba European Warrants Apr 2011	2011/03/29	2011/04/06
25184	Deutsche Bank AG – Anhui Conch European Warrants Apr 2011	2011/03/29	2011/04/06
23969	Deutsche Bank AG – BOC HK European Warrants Apr 2011 A	2011/03/29	2011/04/06
26130	Deutsche Bank AG – BOC HK European Warrants Apr 2011 C	2011/03/29	2011/04/06
23651	Deutsche Bank AG – BOCL European Warrants Apr 2011 A	2011/03/29	2011/04/06
25268	Deutsche Bank AG – BYD European Warrants Apr 2011	2011/03/29	2011/04/06
27136	Deutsche Bank AG – CC Bank European Warrants Apr 2011 B	2011/03/29	2011/04/06
24254	Deutsche Bank AG – China Overseas European Warrants Apr 2011	2011/03/29	2011/04/06
23587	Deutsche Bank AG – CNOOC European Warrants Apr 2011 A	2011/03/29	2011/04/06
25015	Deutsche Bank AG – CNOOC European Warrants Apr 2011 B	2011/03/29	2011/04/06
25271	Deutsche Bank AG – Esprit European Warrants Apr 2011 A	2011/03/29	2011/04/06
23590	Deutsche Bank AG – Tencent Holdings European Put Wt Apr 2011	2011/03/29	2011/04/06
26287	Deutsche Bank AG – Tencent Holdings European Warrants Apr11C	2011/03/29	2011/04/06
26445	Goldman Sachs SP (Asia) – CC Bank European Wts Apr 2011	2011/03/29	2011/04/06
25274	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 A	2011/03/29	2011/04/06
25292	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011C	2011/03/29	2011/04/06
25288	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 D	2011/03/29	2011/04/06
25289	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt Apr11	2011/03/29	2011/04/06
25293	KBC Fin Products Int'l Ltd. – Henderson Land Eu Put Wt Apr11	2011/03/29	2011/04/06
25290	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 A	2011/03/29	2011/04/06
25942	Macquarie Bank Ltd. – Angang Steel European Wts Apr 2011	2011/03/29	2011/04/06
22303	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2011 A	2011/03/29	2011/04/06
23760	Macquarie Bank Ltd. – Ch Oilfield European Warrants Apr 2011	2011/03/29	2011/04/06
25044	Macquarie Bank Ltd. – China Shenhua European Wts Apr 2011	2011/03/29	2011/04/06
24931	Macquarie Bank Ltd. – CM Bank European Warrants Apr 2011	2011/03/29	2011/04/06
22362	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2011 A	2011/03/29	2011/04/06
26046	Macquarie Bank Ltd. – Fushan Energy European Warrants Apr 11	2011/03/29	2011/04/06
24797	Macquarie Bank Ltd. – Ping An European Put Warrants Apr 2011	2011/03/29	2011/04/06
26916	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011B	2011/03/29	2011/04/06
23943	RB of Scotland N.V. – Tencent European Put Warrants Apr 2011	2011/03/29	2011/04/06
24922	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 A	2011/03/29	2011/04/06
24923	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 B	2011/03/29	2011/04/06
25002	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 C	2011/03/29	2011/04/06
26786	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 D	2011/03/29	2011/04/06
24256	SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Apr11	2011/03/29	2011/04/06
25665	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011 B	2011/03/29	2011/04/06
20303	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Apr 2011	2011/03/29	2011/04/06
24132	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011A	2011/03/29	2011/04/06
25209	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011B	2011/03/29	2011/04/06
23645	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11A	2011/03/29	2011/04/06
25482	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11C	2011/03/29	2011/04/06
25779	UBS AG – Sinopec Corp European Warrants Apr 2011 A	2011/03/29	2011/04/06
19522	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Apr 2011	2011/03/30	2011/04/07
21481	CC Rabobank B.A. – A50 China European Put Warrants Apr 2011	2011/03/30	2011/04/07
18307	CC Rabobank B.A. – Ch Mobile European Warrants Apr 2011A	2011/03/30	2011/04/07
25164	CC Rabobank B.A. – CNBM European Warrants Apr 2011	2011/03/30	2011/04/07
27978	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 F	2011/03/30	2011/04/07
27987	Credit Suisse AG – Sinopec Corp European Warrants Apr 2011 B	2011/03/30	2011/04/07
25313	Deutsche Bank AG – Geely Auto European Warrants Apr 2011	2011/03/30	2011/04/07
25317	Deutsche Bank AG – Tencent Holdings European Warrants Apr11B	2011/03/30	2011/04/07
25365	HK Bank – Geely Auto European Warrants Apr 2011	2011/03/30	2011/04/07
25367	HK Bank – Tencent European Warrants Apr 2011 B	2011/03/30	2011/04/07
25303	J P Morgan SP BV – Cheung Kong European Warrants Apr 2011	2011/03/30	2011/04/07
23383	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Apr 11	2011/03/30	2011/04/07
25299	Macquarie Bank Ltd. – Ch Southern Air European Wts Apr 2011	2011/03/30	2011/04/07
24857	Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 A	2011/03/30	2011/04/07
24858	Macquarie Bank Ltd. – Esprit European Warrants Apr 2011 B	2011/03/30	2011/04/07
27991	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2011B	2011/03/30	2011/04/07
26260	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011B	2011/03/30	2011/04/07
25838	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 A	2011/03/30	2011/04/07
27996	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr 2011B	2011/03/30	2011/04/07
26166	Citigroup Global Mkt H Inc. – BankComm European Wts Apr 2011	2011/03/31	2011/04/08
26505	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 11D	2011/03/31	2011/04/08
25428	Macquarie Bank Ltd. – Chi Resources European Warrants Apr 11	2011/03/31	2011/04/08
25256	Macquarie Bank Ltd. – CITIC Pacific European Warrants Apr 11	2011/03/31	2011/04/08
22552	Macquarie Bank Ltd. – Tencent European Warrants Apr 2011	2011/03/31	2011/04/08
25453	Citigroup Global Mkt H Inc. -Tencent European Warrants Apr11	2011/04/01	2011/04/11
25460	Credit Suisse AG – Hutchison European Put Warrants Apr 2011B	2011/04/01	2011/04/11
25456	Credit Suisse AG – Hutchison European Warrants Apr 2011 C	2011/04/01	2011/04/11
25446	HK Bank – Fushan Energy European Warrants Apr 2011	2011/04/01	2011/04/11
25447	HK Bank – Yanzhou Coal European Warrants Apr 2011	2011/04/01	2011/04/11
25458	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Apr 2011	2011/04/01	2011/04/11
25709	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 A	2011/04/01	2011/04/11
23976	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011B	2011/04/01	2011/04/11
25291	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Apr 2011	2011/04/01	2011/04/11
25435	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 B	2011/04/01	2011/04/11
23985	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11B	2011/04/01	2011/04/11
25433	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Apr 2011	2011/04/01	2011/04/11

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26422	UBS AG – BankComm European Warrants Apr 2011	2011/04/01	2011/04/11
25370	BOCI Asia Ltd. – Geely Auto European Warrants Apr 2011	2011/04/04	2011/04/12
25449	BOCI Asia Ltd. – Sinofer European Warrants Apr 2011	2011/04/04	2011/04/12
24989	CC Rabobank B.A. – CNOOC European Warrants Apr 2011	2011/04/04	2011/04/12
26846	CC Rabobank B.A. – Hutchison European Warrants Apr 2011	2011/04/04	2011/04/12
24311	Citigroup Global Mkt H Inc. – Bank of EA European Wts Apr 11	2011/04/04	2011/04/12
21129	Citigroup Global Mkt H Inc. – BBMG European Warrants Apr11	2011/04/04	2011/04/12
18785	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2011 A	2011/04/04	2011/04/12
18783	Citigroup Global Mkt H Inc. – Maanshan Iron Euro Wts Apr11	2011/04/04	2011/04/12
25281	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 A	2011/04/04	2011/04/12
26498	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 D	2011/04/04	2011/04/12
25847	Credit Suisse AG – BOC HK European Warrants Apr 2011	2011/04/04	2011/04/12
26869	Credit Suisse AG – China Life European Warrants Apr 2011 B	2011/04/04	2011/04/12
26326	Credit Suisse AG – China Shenhua European Warrants Apr 2011A	2011/04/04	2011/04/12
25033	Credit Suisse AG – CNOOC European Warrants Apr 2011 A	2011/04/04	2011/04/12
25034	Credit Suisse AG – CNOOC European Warrants Apr 2011 B	2011/04/04	2011/04/12
25284	Credit Suisse AG – HKEx European Put Warrants Apr 2011 D	2011/04/04	2011/04/12
25475	Credit Suisse AG – HKEx European Warrants Apr 2011 A	2011/04/04	2011/04/12
27493	Credit Suisse AG – HSBC European Wts Apr 2011 E	2011/04/04	2011/04/12
25283	Credit Suisse AG – Hutchison European Put Warrants Apr 11A	2011/04/04	2011/04/12
26680	Credit Suisse AG – Hutchison European Warrants Apr 2011 G	2011/04/04	2011/04/12
27499	Credit Suisse AG – ICBC European Warrants Apr 2011 B	2011/04/04	2011/04/12
24425	Credit Suisse AG – Jiangxi Copper European Put Wts Apr11 A	2011/04/04	2011/04/12
25282	Credit Suisse AG – MCC European Warrants Apr 2011	2011/04/04	2011/04/12
25280	Credit Suisse AG – SHK Ppt European Warrants Apr 2011 A	2011/04/04	2011/04/12
10002	Deutsche Bank AG – AUD/USD European Warrants Apr 2011	2011/04/04	2011/04/12
25399	Deutsche Bank AG – BankComm European Warrants Apr 2011	2011/04/04	2011/04/12
25312	Deutsche Bank AG – Belle Int'l European Warrants Apr 2011	2011/04/04	2011/04/12
26925	Deutsche Bank AG – China Mobile European Warrants Apr 2011 C	2011/04/04	2011/04/12
26906	Deutsche Bank AG – China Shenhua European Warrants Apr 2011B	2011/04/04	2011/04/12
25400	Deutsche Bank AG – CITIC Bank European Warrants Apr 2011	2011/04/04	2011/04/12
25315	Deutsche Bank AG – HSBC European Warrants Apr 2011 B	2011/04/04	2011/04/12
24657	Deutsche Bank AG – ICBC European Warrants Apr 2011 A	2011/04/04	2011/04/12
25430	Deutsche Bank AG – Sands China European Warrants Apr 2011	2011/04/04	2011/04/12
27503	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2011 A	2011/04/04	2011/04/12
25330	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Apr 2011	2011/04/04	2011/04/12
27383	Goldman Sachs SP (Asia) – PetroChina European Wts Apr 2011	2011/04/04	2011/04/12
25472	HK Bank – Ch Ship Cont European Warrants Apr 2011	2011/04/04	2011/04/12
25471	HK Bank – China COSCO European Warrants Apr 2011	2011/04/04	2011/04/12
27008	J P Morgan SP BV – China Mob European Wts Apr 2011	2011/04/04	2011/04/12
25505	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2011 A	2011/04/04	2011/04/12
25470	Macquarie Bank Ltd. – BOCHK European Put Warrants Apr 2011	2011/04/04	2011/04/12
25491	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2011 B	2011/04/04	2011/04/12
25257	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Apr 2011	2011/04/04	2011/04/12
20626	Macquarie Bank Ltd. – HSBC European Warrants Apr 2011	2011/04/04	2011/04/12
25490	Macquarie Bank Ltd. – Agricultural Bk European Warrants Apr11	2011/04/04	2011/04/12
23673	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11A	2011/04/04	2011/04/12
21711	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Apr 2011A	2011/04/04	2011/04/12
24970	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Put Wts Apr 11	2011/04/04	2011/04/12
23917	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Apr 11	2011/04/04	2011/04/12
24220	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr2011A	2011/04/04	2011/04/12
27044	Nomura Int'l plc – Agricultural Bk European Warrants Apr 11	2011/04/04	2011/04/12
26413	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 11B	2011/04/04	2011/04/12
26416	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Apr 2011	2011/04/04	2011/04/12
25005	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Apr 2011	2011/04/04	2011/04/12
26962	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 C	2011/04/04	2011/04/12
25478	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011A	2011/04/04	2011/04/12
27997	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr 2011C	2011/04/04	2011/04/12
22998	UBS AG – A50 China European Put Warrants Apr 2011	2011/04/04	2011/04/12
23748	UBS AG – A50 China European Warrants Apr 2011	2011/04/04	2011/04/12
27928	UBS AG – Agricultural Bank European Warrants Apr 2011 C	2011/04/04	2011/04/12
26492	UBS AG – Cheung Kong European Warrants Apr 2011	2011/04/04	2011/04/12
27088	UBS AG – China Life European Warrants Apr 2011 B	2011/04/04	2011/04/12
24486	UBS AG – CM Bank European Warrants Apr 2011	2011/04/04	2011/04/12
25487	UBS AG – Hutchison European Warrants Apr 2011 B	2011/04/04	2011/04/12
23100	UBS AG – Tencent Holdings European Put Warrants Apr 2011	2011/04/04	2011/04/12
27473	CC Rabobank B.A. – China Life European Warrants Apr 2011 C	2011/04/06	2011/04/13
25469	Credit Suisse AG – Hutchison European Warrants Apr 2011 D	2011/04/06	2011/04/13
22631	Deutsche Bank AG – A50 China European Put Wts Apr 2011 A	2011/04/06	2011/04/13
25501	Deutsche Bank AG – Hutchison European Warrants Apr 2011 A	2011/04/06	2011/04/13
25565	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 B	2011/04/06	2011/04/13
26834	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Apr 2011 B	2011/04/06	2011/04/13
28198	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2011 B	2011/04/07	2011/04/14
26434	J P Morgan SP BV – ICBC European Warrants Apr 2011 B	2011/04/07	2011/04/14
22806	Macquarie Bank Ltd. – A50 China European Put Wts Apr 2011	2011/04/07	2011/04/14
26837	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2011 B	2011/04/07	2011/04/14
25442	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Apr2011B	2011/04/07	2011/04/14
27252	RB of Scotland N.V. – CC Bank European Warrants Apr 2011	2011/04/07	2011/04/14
25421	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Apr 2011	2011/04/07	2011/04/14
22545	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Put Wt Apr 11	2011/04/07	2011/04/14
26333	UBS AG – Sinopec Corp European Warrants Apr 2011 B	2011/04/07	2011/04/14
25659	Credit Suisse AG – BankComm European Warrants Apr 2011	2011/04/08	2011/04/15
25237	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2011 A	2011/04/08	2011/04/15
25629	HK Bank – Agricultural Bank European Warrants Apr 2011 B	2011/04/08	2011/04/15
25632	HK Bank – Esprit European Warrants Apr 2011	2011/04/08	2011/04/15
26459	HK Bank – PetroChina European Warrants Apr 2011	2011/04/08	2011/04/15
27135	J P Morgan SP BV – HSBC European Warrants Apr 2011 C	2011/04/08	2011/04/15

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23954	BOCI Asia Ltd. – CM Bank European Warrants Apr 2011	2011/04/11	2011/04/18
24855	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr2011A	2011/04/11	2011/04/18
26255	Citigroup Global Mkt H Inc. – CNBM European Warrants Apr 11	2011/04/11	2011/04/18
26871	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2011 B	2011/04/11	2011/04/18
25395	Credit Suisse – Yanzhou Coal European Warrants Apr 2011 A	2011/04/11	2011/04/18
25476	Credit Suisse AG – HKEx European Warrants Apr 2011 B	2011/04/11	2011/04/18
27984	Credit Suisse AG – ICBC European Warrants Apr 2011 D	2011/04/11	2011/04/18
26382	HK Bank – Dongfeng Motor European Warrants Apr 2011	2011/04/11	2011/04/18
25686	HK Bank – HWL European Warrants Apr 2011 A	2011/04/11	2011/04/18
27009	J P Morgan SP BV – Sinopec Corp European Warrants Apr 2011 B	2011/04/11	2011/04/18
25457	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 11B	2011/04/11	2011/04/18
25653	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Apr 2011	2011/04/11	2011/04/18
25431	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2011	2011/04/11	2011/04/18
27665	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 D	2011/04/11	2011/04/18
25712	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 C	2011/04/11	2011/04/18
23380	KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wts Apr11	2011/04/11	2011/04/18
24549	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Apr 2011	2011/04/11	2011/04/18
25655	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Apr 2011	2011/04/11	2011/04/18
26275	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 C	2011/04/11	2011/04/18
25713	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Apr 2011	2011/04/11	2011/04/18
24579	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wt Apr 2011A	2011/04/11	2011/04/18
23984	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Apr 11A	2011/04/11	2011/04/18
26647	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2011	2011/04/11	2011/04/18
26915	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011A	2011/04/11	2011/04/18
25340	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Apr11	2011/04/11	2011/04/18
25057	Barclays Bank plc – Agricultural Bank European Wts Apr 2011	2011/04/12	2011/04/19
23932	Barclays Bank plc – Bank of EA European Warrants Apr 2011	2011/04/12	2011/04/19
24794	Barclays Bank plc – CM Bank European Warrants Apr 2011	2011/04/12	2011/04/19
26212	Barclays Bank plc – ICBC European Put Warrants Apr 2011	2011/04/12	2011/04/19
20431	Barclays Bank plc – PetroChina European Put Wts Apr 2011	2011/04/12	2011/04/19
20425	Barclays Bank plc – PetroChina European Warrants Apr 2011	2011/04/12	2011/04/19
25196	Citigroup Global Mkt H Inc. – BYD European Warrants Apr 2011	2011/04/12	2011/04/19
25520	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2011	2011/04/12	2011/04/19
24172	Citigroup Global Mkt H Inc. – Sands China European Wts Apr11	2011/04/12	2011/04/19
22273	Citigroup Global Mkt H Inc. – WISE CSI European Wts Apr 2011	2011/04/12	2011/04/19
25611	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 B	2011/04/12	2011/04/19
27981	Credit Suisse AG – CC Bank European Warrants Apr 2011 C	2011/04/12	2011/04/19
25616	Credit Suisse AG – China Life European Warrants Apr 2011 A	2011/04/12	2011/04/19
26605	Credit Suisse AG – CNOOC European Warrants Apr 2011 D	2011/04/12	2011/04/19
25618	Credit Suisse AG – HKEx European Warrants Apr 2011 C	2011/04/12	2011/04/19
26600	Credit Suisse AG – HSBC European Wts Apr 2011 C	2011/04/12	2011/04/19
27500	Credit Suisse AG – ICBC European Warrants Apr 2011 C	2011/04/12	2011/04/19
25549	Deutsche Bank AG – BOC HK European Warrants Apr 2011 B	2011/04/12	2011/04/19
25598	Deutsche Bank AG – BOCL European Put Warrants Apr 2011	2011/04/12	2011/04/19
26685	Deutsche Bank AG – BOCL European Warrants Apr 2011 C	2011/04/12	2011/04/19
24319	Deutsche Bank AG – Cathay Pacific European Warrants Apr 2011	2011/04/12	2011/04/19
25599	Deutsche Bank AG – CC Bank European Put Warrants Apr 2011	2011/04/12	2011/04/19
26822	Deutsche Bank AG – CC Bank European Warrants Apr 2011 A	2011/04/12	2011/04/19
25740	Deutsche Bank AG – Cheung Kong European Warrants Apr 2011	2011/04/12	2011/04/19
25600	Deutsche Bank AG – China Life European Put Wts Apr 2011	2011/04/12	2011/04/19
25741	Deutsche Bank AG – China Life European Warrants Apr 2011 B	2011/04/12	2011/04/19
25742	Deutsche Bank AG – China Mobile European Warrants Apr 2011 A	2011/04/12	2011/04/19
26078	Deutsche Bank AG – CM Bank European Warrants Apr 2011	2011/04/12	2011/04/19
10004	Deutsche Bank AG – EUR/USD European Warrants Apr 2011	2011/04/12	2011/04/19
26926	Deutsche Bank AG – HSBC European Warrants Apr 2011 C	2011/04/12	2011/04/19
25604	Deutsche Bank AG – ICBC European Put Warrants Apr 2011	2011/04/12	2011/04/19
25555	Deutsche Bank AG – PetroChina European Warrants Apr 2011 A	2011/04/12	2011/04/19
25606	Deutsche Bank AG – PetroChina European Warrants Apr 2011 B	2011/04/12	2011/04/19
26687	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2011 B	2011/04/12	2011/04/19
25079	Deutsche Bank AG – Tencent Holdings European Warrants Apr11A	2011/04/12	2011/04/19
25076	Deutsche Bank AG –Jiangxi Copper European Warrants Apr 2011A	2011/04/12	2011/04/19
27225	Goldman Sachs SP (Asia) – Cheung Kong European Wts Apr 2011	2011/04/12	2011/04/19
24978	Goldman Sachs SP (Asia) – CNOOC European Warrants Apr 2011	2011/04/12	2011/04/19
25699	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 B	2011/04/12	2011/04/19
25734	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 C	2011/04/12	2011/04/19
27502	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Apr 2011	2011/04/12	2011/04/19
24905	Goldman Sachs SP (Asia) -Agricultural Bk European Wts Apr11A	2011/04/12	2011/04/19
25733	Goldman Sachs SP (Asia) -Agricultural Bk European Wts Apr11B	2011/04/12	2011/04/19
25363	HK Bank – BOC HK European Warrants Apr 2011	2011/04/12	2011/04/19
25717	HK Bank – Cheung Kong European Warrants Apr 2011	2011/04/12	2011/04/19
25720	HK Bank – China Shenhua European Warrants Apr 2011 A	2011/04/12	2011/04/19
25721	HK Bank – China Shenhua European Warrants Apr 2011 B	2011/04/12	2011/04/19
23992	HK Bank – CNOOC European Warrants Apr 2011	2011/04/12	2011/04/19
25723	HK Bank – HWL European Warrants Apr 2011 B	2011/04/12	2011/04/19
25673	J P Morgan SP BV – Hutchison European Put Warrants Apr 2011A	2011/04/12	2011/04/19
25706	J P Morgan SP BV – Hutchison European Warrants Apr 2011	2011/04/12	2011/04/19
28244	J P Morgan SP BV – Ping An European Warrants Apr 2011	2011/04/12	2011/04/19
25643	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2011 A	2011/04/12	2011/04/19
26609	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 C	2011/04/12	2011/04/19
27670	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011 C	2011/04/12	2011/04/19
25508	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Apr 2011	2011/04/12	2011/04/19
24198	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Apr 11	2011/04/12	2011/04/19
23674	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11B	2011/04/12	2011/04/19
24214	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Apr 2011	2011/04/12	2011/04/19
24966	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Apr 2011	2011/04/12	2011/04/19
25438	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Apr 2011	2011/04/12	2011/04/19
24221	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Put Wt Apr2011	2011/04/12	2011/04/19



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25441	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2011	2011/04/12	2011/04/19
27039	Nomura Int'l plc – CNOOC European Warrants Apr 2011 B	2011/04/12	2011/04/19
26517	Nomura Int'l plc – HKEx European Warrants Apr 2011	2011/04/12	2011/04/19
25055	RB of Scotland N.V. – A50 China European Put Wts Apr 2011	2011/04/12	2011/04/19
24129	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011 A	2011/04/12	2011/04/19
23627	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11A	2011/04/12	2011/04/19
25594	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11B	2011/04/12	2011/04/19
26007	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11D	2011/04/12	2011/04/19
25595	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Apr 11A	2011/04/12	2011/04/19
27001	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 C	2011/04/12	2011/04/19
25731	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 C	2011/04/12	2011/04/19
25671	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011A	2011/04/12	2011/04/19
26920	Standard Chartered Bank – CNOOC European Warrants Apr 2011	2011/04/12	2011/04/19
25645	UBS AG – Agricultural Bank European Warrants Apr 2011 A	2011/04/12	2011/04/19
26331	UBS AG – BOCL European Warrants Apr 2011	2011/04/12	2011/04/19
27178	UBS AG – CC Bank European Warrants Apr 2011	2011/04/12	2011/04/19
25486	UBS AG – Hutchison European Warrants Apr 2011 A	2011/04/12	2011/04/19
26423	UBS AG – Minsheng Bank European Warrants Apr 2011	2011/04/12	2011/04/19
26622	UBS AG – PetroChina European Warrants Apr 2011 B	2011/04/12	2011/04/19
26950	CC Rabobank B.A. – China EB Ltd European Warrants Apr 2011	2011/04/13	2011/04/20
27870	CC Rabobank B.A. – Ping An European Warrants Apr 2011	2011/04/13	2011/04/20
25493	Credit Suisse AG – PetroChina European Warrants Apr 2011 B	2011/04/13	2011/04/20
25854	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11C	2011/04/13	2011/04/20
27843	Deutsche Bank AG – China Life European Warrants Apr 2011 D	2011/04/13	2011/04/20
10998	Deutsche Bank AG – Gold European Warrants Apr 2011	2011/04/13	2011/04/20
23872	HK Bank – Tencent European Warrants Apr 2011 A	2011/04/13	2011/04/20
25791	J P Morgan SP BV – Hutchison European Put Warrants Apr 2011B	2011/04/13	2011/04/20
25803	KBC Fin Products Int'l Ltd. – BEA European Warrants Apr 2011	2011/04/13	2011/04/20
25804	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 11B	2011/04/13	2011/04/20
26369	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Apr 2011B	2011/04/13	2011/04/20
26996	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2011 B	2011/04/13	2011/04/20
26373	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Apr 2011	2011/04/13	2011/04/20
26840	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2011	2011/04/13	2011/04/20
26836	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2011 A	2011/04/13	2011/04/20
27459	Nomura Int'l plc – China Shenhua European Warrants Apr 2011	2011/04/13	2011/04/20
27457	Nomura Int'l plc – Lenovo European Warrants Apr 2011	2011/04/13	2011/04/20
27397	Standard Chartered Bank-China Life European Warrants Apr 11C	2011/04/13	2011/04/20
26220	UBS AG – China Mobile European Warrants Apr 2011 B	2011/04/13	2011/04/20
26443	UBS AG – HSBC European Warrants Apr 2011 B	2011/04/13	2011/04/20
27329	Credit Suisse AG – AIA European Warrants Apr 2011 C	2011/04/14	2011/04/21
25322	Credit Suisse AG – SHK Ppt European Put Warrants Apr 2011	2011/04/14	2011/04/21
25318	Credit Suisse AG – SHK Ppt European Warrants Apr 2011 B	2011/04/14	2011/04/21
25320	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 A	2011/04/14	2011/04/21
25821	HK Bank – China Life European Warrants Apr 2011 B	2011/04/14	2011/04/21
27134	J P Morgan SP BV – HSBC European Warrants Apr 2011 B	2011/04/14	2011/04/21
25871	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2011 A	2011/04/14	2011/04/21
25872	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2011 B	2011/04/14	2011/04/21
25869	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 F	2011/04/14	2011/04/21
25870	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011 B	2011/04/14	2011/04/21
25864	Macquarie Bank Ltd. – CC Bank European Put Warrants Apr 2011	2011/04/14	2011/04/21
25860	Macquarie Bank Ltd. – Sinopec Corp European Wts Apr 2011	2011/04/14	2011/04/21
27045	Nomura Int'l plc – AIA European Warrants Apr 2011	2011/04/14	2011/04/21
24707	Nomura Int'l plc – China Life European Warrants Apr 2011	2011/04/14	2011/04/21
25824	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 A	2011/04/14	2011/04/21
25825	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 B	2011/04/14	2011/04/21
26807	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 C	2011/04/14	2011/04/21
25823	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2011A	2011/04/14	2011/04/21
26261	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011C	2011/04/14	2011/04/21
26477	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011D	2011/04/14	2011/04/21
26792	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Apr 11B	2011/04/14	2011/04/21
26825	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Apr 11	2011/04/14	2011/04/21
25833	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 A	2011/04/14	2011/04/21
25840	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 B	2011/04/14	2011/04/21
26487	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 C	2011/04/14	2011/04/21
23646	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11B	2011/04/14	2011/04/21
27055	UBS AG – AIA European Warrants Apr 2011 A	2011/04/14	2011/04/21
26559	UBS AG – ICBC European Warrants Apr 2011 A	2011/04/14	2011/04/21
27748	UBS AG – ICBC European Warrants Apr 2011 B	2011/04/14	2011/04/21
24476	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 B	2011/04/15	2011/04/26
27475	CC Rabobank B.A. – Ch Mobile European Warrants Apr 2011 B	2011/04/15	2011/04/26
25165	CC Rabobank B.A. – Dongfeng Motor European Warrants Apr 11B	2011/04/15	2011/04/26
27066	Citigroup Global Mkt H Inc. – AIA European Warrants Apr 2011	2011/04/15	2011/04/26
23937	Citigroup Global Mkt H Inc. – Anhui Conch European Wts Apr11	2011/04/15	2011/04/26
26120	Credit Suisse AG – A50 China European Put Warrants Apr 2011	2011/04/15	2011/04/26
27514	Credit Suisse AG – China Shenhua European Warrants Apr 2011B	2011/04/15	2011/04/26
27321	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2011 B	2011/04/15	2011/04/26
26935	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2011 A	2011/04/15	2011/04/26
27543	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2011 B	2011/04/15	2011/04/26
25928	HK Bank – CNBM European Warrants Apr 2011	2011/04/15	2011/04/26
25911	J P Morgan SP BV – BOCL European Warrants Apr 2011 A	2011/04/15	2011/04/26
25933	J P Morgan SP BV – China Life European Warrants Apr 2011 B	2011/04/15	2011/04/26
27148	J P Morgan SP BV – China Life European Warrants Apr 2011 D	2011/04/15	2011/04/26
28297	J P Morgan SP BV – HSBC European Warrants Apr 2011 E	2011/04/15	2011/04/26
26537	J P Morgan SP BV – ICBC European Warrants Apr 2011 C	2011/04/15	2011/04/26
23312	J P Morgan SP BV – PetroChina European Warrants Apr 2011 A	2011/04/15	2011/04/26
25915	J P Morgan SP BV – PetroChina European Warrants Apr 2011 B	2011/04/15	2011/04/26
25978	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Apr 2011 A	2011/04/15	2011/04/26

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25976	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 D	2011/04/15	2011/04/26
25982	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2011 B	2011/04/15	2011/04/26
24667	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2011 B	2011/04/15	2011/04/26
23752	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2011	2011/04/15	2011/04/26
26845	Standard Chartered Bank – HSBC European Warrants Apr 2011A	2011/04/15	2011/04/26
26843	Standard Chartered Bank-China Life European Warrants Apr11B	2011/04/15	2011/04/26
25884	UBS AG – Hutchison European Warrants Apr 2011 C	2011/04/15	2011/04/26
25885	UBS AG – Tencent Holdings European Warrants Apr 2011	2011/04/15	2011/04/26
25776	Barclays Bank plc – Hutchison European Put Warrants Apr 2011	2011/04/18	2011/04/27
25545	Barclays Bank plc – Hutchison European Warrants Apr 2011	2011/04/18	2011/04/27
25210	Barclays Bank plc – Sinopec Corp European Wts Apr 2011	2011/04/18	2011/04/27
26215	BOCI Asia Ltd. – CC Bank European Warrants Apr 2011	2011/04/18	2011/04/27
26951	CC Rabobank B.A. – Lenovo European Warrants Apr 2011	2011/04/18	2011/04/27
22272	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Apr 2011	2011/04/18	2011/04/27
23579	Credit Suisse AG – A50 China European Warrants Apr 2011 A	2011/04/18	2011/04/27
26449	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 C	2011/04/18	2011/04/27
27489	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 E	2011/04/18	2011/04/27
27072	Credit Suisse AG – AIA European Warrants Apr 2011 A	2011/04/18	2011/04/27
27980	Credit Suisse AG – AIA European Warrants Apr 2011 D	2011/04/18	2011/04/27
26068	Credit Suisse AG – CC Bank European Warrants Apr 2011 A	2011/04/18	2011/04/27
27498	Credit Suisse AG – CC Bank European Warrants Apr 2011 B	2011/04/18	2011/04/27
28504	Credit Suisse AG – China Mobile European Warrants Apr 2011 B	2011/04/18	2011/04/27
26034	Credit Suisse AG – CNBM European Warrants Apr 2011	2011/04/18	2011/04/27
26038	Credit Suisse AG – CNOOC European Warrants Apr 2011 C	2011/04/18	2011/04/27
26033	Credit Suisse AG – Dongfeng Motor European Warrants Apr 2011	2011/04/18	2011/04/27
25708	Credit Suisse AG – HKEx European Put Warrants Apr 2011 E	2011/04/18	2011/04/27
25974	Credit Suisse AG – HKEx European Put Warrants Apr 2011 G	2011/04/18	2011/04/27
25967	Credit Suisse AG – HKEx European Warrants Apr 2011 F	2011/04/18	2011/04/27
19428	Credit Suisse AG – HSBC European Wts Apr 2011 A	2011/04/18	2011/04/27
22456	Credit Suisse AG – HSBC European Wts Apr 2011 B	2011/04/18	2011/04/27
28280	Credit Suisse AG – HSBC European Wts Apr 2011 F	2011/04/18	2011/04/27
28449	Credit Suisse AG – HSBC European Wts Apr 2011 G	2011/04/18	2011/04/27
25620	Credit Suisse AG – Hutchison European Warrants Apr 2011 E	2011/04/18	2011/04/27
25965	Credit Suisse AG – Hutchison European Warrants Apr 2011 F	2011/04/18	2011/04/27
27985	Credit Suisse AG – ICBC European Warrants Apr 2011 E	2011/04/18	2011/04/27
26039	Credit Suisse AG – Jiangxi Copper European Put Wts Apr 2011B	2011/04/18	2011/04/27
26032	Credit Suisse AG – Jiangxi Copper European Wts Apr 2011	2011/04/18	2011/04/27
22838	Credit Suisse AG – PetroChina European Warrants Apr 2011 A	2011/04/18	2011/04/27
25950	Credit Suisse AG – PetroChina European Warrants Apr 2011 C	2011/04/18	2011/04/27
25801	Credit Suisse AG – Ping An European Warrants Apr 2011 A	2011/04/18	2011/04/27
25947	Credit Suisse AG – Sinopec Corp European Warrants Apr 2011 A	2011/04/18	2011/04/27
25892	Credit Suisse AG – Tencent Holdings European Put Wts Apr 11	2011/04/18	2011/04/27
26035	Credit Suisse AG – Yanzhou Coal European Warrants Apr 2011 B	2011/04/18	2011/04/27
25798	Deutsche Bank AG – Zijin Mining European Warrants Apr 2011	2011/04/18	2011/04/27
23654	Deutsche Bank AG – A50 China European Warrants Apr 2011	2011/04/18	2011/04/27
26192	Deutsche Bank AG – Bank of EA European Warrants Apr 2011	2011/04/18	2011/04/27
26129	Deutsche Bank AG – BOCL European Warrants Apr 2011 B	2011/04/18	2011/04/27
25551	Deutsche Bank AG – Chi Res Land European Warrants Apr 2011	2011/04/18	2011/04/27
26116	Deutsche Bank AG – China EB Ltd European Warrants Apr 2011	2011/04/18	2011/04/27
25550	Deutsche Bank AG – China Life European Warrants Apr 2011 A	2011/04/18	2011/04/27
26746	Deutsche Bank AG – China Life European Warrants Apr 2011 C	2011/04/18	2011/04/27
26186	Deutsche Bank AG – China Mobile European Warrants Apr 2011 B	2011/04/18	2011/04/27
26117	Deutsche Bank AG – China Shenhua European Warrants Apr 2011A	2011/04/18	2011/04/27
26051	Deutsche Bank AG – Esprit European Warrants Apr 2011 B	2011/04/18	2011/04/27
23234	Deutsche Bank AG – HSBC European Warrants Apr 2011 A	2011/04/18	2011/04/27
25745	Deutsche Bank AG – Hutchison European Warrants Apr 2011 B	2011/04/18	2011/04/27
25747	Deutsche Bank AG – ICBC European Warrants Apr 2011 B	2011/04/18	2011/04/27
26052	Deutsche Bank AG – Jiangxi Copper European Warrants Apr 11B	2011/04/18	2011/04/27
25796	Deutsche Bank AG – Wynn Macau European Warrants Apr 2011	2011/04/18	2011/04/27
28424	Goldman Sachs SP (Asia) – CHALCO European Warrants Apr 2011	2011/04/18	2011/04/27
26973	Goldman Sachs SP (Asia) – HKEx European Warrants Apr 2011	2011/04/18	2011/04/27
24996	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Apr11	2011/04/18	2011/04/27
24238	HK Bank – Agricultural Bank European Put Warrants Apr 2011	2011/04/18	2011/04/27
24234	HK Bank – Agricultural Bank European Warrants Apr 2011 A	2011/04/18	2011/04/27
26171	HK Bank – BOCL European Warrants Apr 2011	2011/04/18	2011/04/27
26175	HK Bank – CC Bank European Put Warrants Apr 2011	2011/04/18	2011/04/27
26173	HK Bank – CC Bank European Warrants Apr 2011	2011/04/18	2011/04/27
26172	HK Bank – Ch Comm Cons European Warrants Apr 2011	2011/04/18	2011/04/27
26015	HK Bank – ICBC European Warrants Apr 2011	2011/04/18	2011/04/27
26176	HK Bank – Minsheng Bank European Warrants Apr 2011	2011/04/18	2011/04/27
26016	HK Bank – SHK Ppt European Warrants Apr 2011	2011/04/18	2011/04/27
26177	HK Bank – Sinopec Corp European Warrants Apr 2011	2011/04/18	2011/04/27
26095	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2011 A	2011/04/18	2011/04/27
28231	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2011 C	2011/04/18	2011/04/27
26100	J P Morgan SP BV – A50 China European Warrants Apr 2011 B	2011/04/18	2011/04/27
26094	J P Morgan SP BV – BOCL European Warrants Apr 2011 B	2011/04/18	2011/04/27
26111	J P Morgan SP BV – China Shenhua European Warrants Apr 2011B	2011/04/18	2011/04/27
26022	J P Morgan SP BV – HSBC European Warrants Apr 2011 A	2011/04/18	2011/04/27
26099	J P Morgan SP BV – ICBC European Warrants Apr 2011 A	2011/04/18	2011/04/27
26112	J P Morgan SP BV – JX Copper European Warrants Apr 2011 B	2011/04/18	2011/04/27
26110	J P Morgan SP BV – Sinopec Corp European Warrants Apr 2011 A	2011/04/18	2011/04/27
26114	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2011 B	2011/04/18	2011/04/27
23647	Macquarie Bank Ltd. – A50 China European Warrants Apr 2011	2011/04/18	2011/04/27
26096	Macquarie Bank Ltd. – BOCL European Put Warrants Apr 2011	2011/04/18	2011/04/27
26092	Macquarie Bank Ltd. – BOCL European Warrants Apr 2011	2011/04/18	2011/04/27
26540	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2011	2011/04/18	2011/04/27
25418	Macquarie Bank Ltd. – Shui On Land European Warrants Apr 11	2011/04/18	2011/04/27

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25925	Macquarie Bank Ltd. – Yurun Food European Warrants Apr 2011	2011/04/18	2011/04/27
25996	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11C	2011/04/18	2011/04/27
28165	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Apr 2011C	2011/04/18	2011/04/27
25201	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2011 A	2011/04/18	2011/04/27
25336	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Apr 2011	2011/04/18	2011/04/27
28169	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Apr11	2011/04/18	2011/04/27
23420	Merrill Lynch Int'l & Co. C.V. – Tencent European Wts Apr 11	2011/04/18	2011/04/27
28163	Merrill Lynch Int'l & Co. C.V. –Agricultural Bk Eu Wt Apr 11	2011/04/18	2011/04/27
26558	UBS AG – Agricultural Bank European Warrants Apr 2011 B	2011/04/18	2011/04/27
18265	UBS AG – China Mobile European Warrants Apr 2011 A	2011/04/18	2011/04/27
25652	UBS AG – Jiangxi Copper European Warrants Apr 2011	2011/04/18	2011/04/27
22441	UBS AG – PetroChina European Warrants Apr 2011 A	2011/04/18	2011/04/27
26145	Citigroup Global Mkt H Inc. – Evergrande European Wts Apr11	2011/04/19	2011/04/28
26146	Citigroup Global Mkt H Inc. – Ping An Euro Wts Apr 2011	2011/04/19	2011/04/28
22262	Citigroup Global Mkt H Inc.–Henderson Land Dev Eur Wts Apr11	2011/04/19	2011/04/28
26742	Credit Suisse AG – ICBC European Warrants Apr 2011 A	2011/04/19	2011/04/28
25424	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11B	2011/04/19	2011/04/28
24836	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11 A	2011/04/19	2011/04/28
25554	Deutsche Bank AG – Hutchison European Put Warrants Apr 2011	2011/04/19	2011/04/28
27540	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2011 B	2011/04/19	2011/04/28
24313	HK Bank – China Mobile European Warrants Apr 2011 A	2011/04/19	2011/04/28
26999	HK Bank – China Mobile European Warrants Apr 2011 B	2011/04/19	2011/04/28
24530	HK Bank – CM Bank European Warrants Apr 2011	2011/04/19	2011/04/28
28296	J P Morgan SP BV – HSBC European Warrants Apr 2011 D	2011/04/19	2011/04/28
24548	KBC Fin Products Int'l Ltd. – BYD European Warrants Apr 2011	2011/04/19	2011/04/28
27925	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011C	2011/04/19	2011/04/28
24705	Nomura Int'l plc – CNOOC European Warrants Apr 2011 A	2011/04/19	2011/04/28
27118	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011 C	2011/04/19	2011/04/28
24419	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Apr 2011	2011/04/19	2011/04/28
25830	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11C	2011/04/19	2011/04/28
26656	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11E	2011/04/19	2011/04/28
26006	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011A	2011/04/19	2011/04/28
26793	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011C	2011/04/19	2011/04/28
26483	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 B	2011/04/19	2011/04/28
27014	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 D	2011/04/19	2011/04/28
25839	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 B	2011/04/19	2011/04/28
25422	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 A	2011/04/19	2011/04/28
25423	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 B	2011/04/19	2011/04/28
25849	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011B	2011/04/19	2011/04/28
25850	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011C	2011/04/19	2011/04/28
25843	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr 2011A	2011/04/19	2011/04/28
23793	SGA Societe Generale Acceptance N.V. –A50 Ch Eu Wt Apr 2011A	2011/04/19	2011/04/28
26229	UBS AG – China Life European Warrants Apr 2011 A	2011/04/19	2011/04/28
26123	UBS AG – China National Building European Warrants Apr 2011	2011/04/19	2011/04/28
26124	UBS AG – CNOOC European Warrants Apr 2011	2011/04/19	2011/04/28
26125	UBS AG – Dongfeng Motor European Warrants Apr 2011	2011/04/19	2011/04/28
26121	UBS AG – Hutchison European Put Warrants Apr 2011	2011/04/19	2011/04/28
26127	UBS AG – Yanzhou Coal European Wts Apr 2011	2011/04/19	2011/04/28
25352	Barclays Bank plc – HSI European Put Warrants Apr 2011 D	2011/04/20	2011/04/29
25353	Barclays Bank plc – HSI European Put Warrants Apr 2011 E	2011/04/20	2011/04/29
25816	Barclays Bank plc – HSI European Put Warrants Apr 2011 H	2011/04/20	2011/04/29
25817	Barclays Bank plc – HSI European Put Warrants Apr 2011 I	2011/04/20	2011/04/29
25818	Barclays Bank plc – HSI European Put Warrants Apr 2011 J	2011/04/20	2011/04/29
25346	Barclays Bank plc – HSI European Warrants Apr 2011 A	2011/04/20	2011/04/29
25347	Barclays Bank plc – HSI European Warrants Apr 2011 B	2011/04/20	2011/04/29
25348	Barclays Bank plc – HSI European Warrants Apr 2011 C	2011/04/20	2011/04/29
25811	Barclays Bank plc – HSI European Warrants Apr 2011 F	2011/04/20	2011/04/29
25812	Barclays Bank plc – HSI European Warrants Apr 2011 G	2011/04/20	2011/04/29
26524	Barclays Bank plc – HSI European Warrants Apr 2011 K	2011/04/20	2011/04/29
26525	Barclays Bank plc – HSI European Warrants Apr 2011 L	2011/04/20	2011/04/29
22641	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Apr 2011	2011/04/20	2011/04/29
23909	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Apr 2011	2011/04/20	2011/04/29
23905	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Apr 2011	2011/04/20	2011/04/29
25591	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2011	2011/04/20	2011/04/29
25587	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2011	2011/04/20	2011/04/29
25920	BOCI Asia Ltd. – HSI European Put Warrants Apr 2011	2011/04/20	2011/04/29
25916	BOCI Asia Ltd. – HSI European Warrants Apr 2011	2011/04/20	2011/04/29
26232	CC Rabobank B.A. – Agricultural Bk European Warrants Apr 11	2011/04/20	2011/04/29
26023	CC Rabobank B.A. – China Life European Warrants Apr 2011 A	2011/04/20	2011/04/29
26761	CC Rabobank B.A. – China Life European Warrants Apr 2011 B	2011/04/20	2011/04/29
15738	CC Rabobank B.A. – China Railway European Wts Apr 2011	2011/04/20	2011/04/29
25377	CC Rabobank B.A. – China Shenhua European Warrants Apr 2011	2011/04/20	2011/04/29
25380	CC Rabobank B.A. – Esprit European Warrants Apr 2011	2011/04/20	2011/04/29
26236	CC Rabobank B.A. – Jiangxi Copper European Warrants Apr 2011	2011/04/20	2011/04/29
22941	CC Rabobank B.A. – Lee & Man Paper European Warrants Apr 11	2011/04/20	2011/04/29
22815	CC Rabobank B.A. – Poly HK Inv European Warrants Apr 2011 A	2011/04/20	2011/04/29
23599	CC Rabobank B.A. – Poly HK Inv European Warrants Apr 2011 B	2011/04/20	2011/04/29
26026	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2011	2011/04/20	2011/04/29
23519	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2011	2011/04/20	2011/04/29
25061	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2011	2011/04/20	2011/04/29
26168	Citigroup Global Mkt H Inc. – CM Bank European Wts Apr 2011	2011/04/20	2011/04/29
24872	Citigroup Global Mkt H Inc. – Dongfeng European Wts Apr 2011	2011/04/20	2011/04/29
25391	Credit Suisse AG – Angang Steel European Warrants Apr 2011	2011/04/20	2011/04/29
25973	Credit Suisse AG – HSCEI European Put Warrants Apr 2011	2011/04/20	2011/04/29
24075	Credit Suisse AG – HSCEI European Warrants Apr 2011 A	2011/04/20	2011/04/29
25964	Credit Suisse AG – HSCEI European Warrants Apr 2011 B	2011/04/20	2011/04/29
27814	Credit Suisse AG – HSCEI European Warrants Apr 2011 C	2011/04/20	2011/04/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25662	Credit Suisse AG – HSI European Put Warrants Apr 2011 A	2011/04/20	2011/04/29
26496	Credit Suisse AG – HSI European Put Warrants Apr 2011 B	2011/04/20	2011/04/29
26497	Credit Suisse AG – HSI European Put Warrants Apr 2011 C	2011/04/20	2011/04/29
27988	Credit Suisse AG – HSI European Put Warrants Apr 2011 G	2011/04/20	2011/04/29
28061	Credit Suisse AG – HSI European Put Warrants Apr 2011 H	2011/04/20	2011/04/29
26501	Credit Suisse AG – HSI European Warrants Apr 2011 D	2011/04/20	2011/04/29
26606	Credit Suisse AG – HSI European Warrants Apr 2011 E	2011/04/20	2011/04/29
27492	Credit Suisse AG – HSI European Warrants Apr 2011 F	2011/04/20	2011/04/29
28112	Credit Suisse AG – HSI European Warrants Apr 2011 I	2011/04/20	2011/04/29
28315	Credit Suisse AG – HSI European Warrants Apr 2011 J	2011/04/20	2011/04/29
25561	Deutsche Bank AG – HSI European Put Warrants Apr 2011 C	2011/04/20	2011/04/29
25700	Deutsche Bank AG – HSI European Put Warrants Apr 2011 D	2011/04/20	2011/04/29
25701	Deutsche Bank AG – HSI European Put Warrants Apr 2011 E	2011/04/20	2011/04/29
27187	Deutsche Bank AG – HSI European Put Warrants Apr 2011 H	2011/04/20	2011/04/29
25321	Deutsche Bank AG – HSI European Warrants Apr 2011 A	2011/04/20	2011/04/29
25622	Deutsche Bank AG – HSI European Warrants Apr 2011 B	2011/04/20	2011/04/29
26020	Deutsche Bank AG – HSI European Warrants Apr 2011 F	2011/04/20	2011/04/29
26021	Deutsche Bank AG – HSI European Warrants Apr 2011 G	2011/04/20	2011/04/29
27637	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Apr 2011	2011/04/20	2011/04/29
22833	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2011 A	2011/04/20	2011/04/29
26448	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 A	2011/04/20	2011/04/29
26546	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 B	2011/04/20	2011/04/29
27200	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 F	2011/04/20	2011/04/29
26734	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 C	2011/04/20	2011/04/29
27175	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 D	2011/04/20	2011/04/29
27176	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 E	2011/04/20	2011/04/29
26218	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Apr 2011	2011/04/20	2011/04/29
25634	HK Bank – China Life European Warrants Apr 2011 A	2011/04/20	2011/04/29
19477	HK Bank – China Unicom European Warrants Apr 2011	2011/04/20	2011/04/29
25929	HK Bank – Country Garden European Warrants Apr 2011	2011/04/20	2011/04/29
25940	HK Bank – HSI European Put Warrants Apr 2011	2011/04/20	2011/04/29
25935	HK Bank – HSI European Warrants Apr 2011	2011/04/20	2011/04/29
25173	HK Bank – Jiangxi Copper European Warrants Apr 2011	2011/04/20	2011/04/29
23375	J P Morgan SP BV – A50 China European Warrants Apr 2011 A	2011/04/20	2011/04/29
25523	J P Morgan SP BV – China Life European Warrants Apr 2011 A	2011/04/20	2011/04/29
26237	J P Morgan SP BV – China Life European Warrants Apr 2011 C	2011/04/20	2011/04/29
25524	J P Morgan SP BV – China Shenhua European Warrants Apr 2011A	2011/04/20	2011/04/29
25525	J P Morgan SP BV – JX Copper European Warrants Apr 2011 A	2011/04/20	2011/04/29
26206	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Apr 2011	2011/04/20	2011/04/29
26197	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2011 A	2011/04/20	2011/04/29
27805	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2011 B	2011/04/20	2011/04/29
26088	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 D	2011/04/20	2011/04/29
26207	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 E	2011/04/20	2011/04/29
27752	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 F	2011/04/20	2011/04/29
25327	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 A	2011/04/20	2011/04/29
26081	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 B	2011/04/20	2011/04/29
26198	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 C	2011/04/20	2011/04/29
23301	Macquarie Bank Ltd. – Anta Sports European Warrants Apr 2011	2011/04/20	2011/04/29
25140	Macquarie Bank Ltd. – Chi Res Land European Wts Apr 2011	2011/04/20	2011/04/29
25532	Macquarie Bank Ltd. – Dongfeng Motor European Wts Apr 2011	2011/04/20	2011/04/29
25529	Macquarie Bank Ltd. – HSCEI European Put Warrants Apr 2011	2011/04/20	2011/04/29
24867	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2011	2011/04/20	2011/04/29
26043	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2011	2011/04/20	2011/04/29
25921	Macquarie Bank Ltd. – HSI European Warrants Apr 2011 A	2011/04/20	2011/04/29
27370	Macquarie Bank Ltd. – HSI European Warrants Apr 2011 B	2011/04/20	2011/04/29
25862	Macquarie Bank Ltd. – Lenovo European Warrants Apr 2011	2011/04/20	2011/04/29
23686	Macquarie Bank Ltd. – New World Dev European Wts Apr 2011	2011/04/20	2011/04/29
26149	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Apr 2011	2011/04/20	2011/04/29
23912	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Apr 2011	2011/04/20	2011/04/29
26513	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Apr 2011	2011/04/20	2011/04/29
25997	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 11B	2011/04/20	2011/04/29
26507	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 11C	2011/04/20	2011/04/29
24972	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 2011A	2011/04/20	2011/04/29
26515	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Apr11E	2011/04/20	2011/04/29
26516	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Apr11F	2011/04/20	2011/04/29
27296	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Apr11H	2011/04/20	2011/04/29
25999	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11A	2011/04/20	2011/04/29
26508	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11B	2011/04/20	2011/04/29
26509	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11C	2011/04/20	2011/04/29
26510	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11D	2011/04/20	2011/04/29
26806	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11G	2011/04/20	2011/04/29
27556	Nomura Int'l plc – HSI European Put Warrants Apr 2011 C	2011/04/20	2011/04/29
27557	Nomura Int'l plc – HSI European Put Warrants Apr 2011 D	2011/04/20	2011/04/29
27547	Nomura Int'l plc – HSI European Warrants Apr 2011 A	2011/04/20	2011/04/29
27548	Nomura Int'l plc – HSI European Warrants Apr 2011 B	2011/04/20	2011/04/29
23721	RB of Scotland N.V. – A50 China European Warrants Apr 2011	2011/04/20	2011/04/29
28216	RB of Scotland N.V. – HSBC European Warrants Apr 2011	2011/04/20	2011/04/29
27415	RB of Scotland N.V. – HSCEI European Put Warrants Apr 2011	2011/04/20	2011/04/29
26076	RB of Scotland N.V. – HSI European Put Warrants Apr 2011 A	2011/04/20	2011/04/29
26339	RB of Scotland N.V. – HSI European Put Warrants Apr 2011 B	2011/04/20	2011/04/29
26072	RB of Scotland N.V. – HSI European Warrants Apr 2011 C	2011/04/20	2011/04/29
26649	RB of Scotland N.V. – HSI European Warrants Apr 2011 D	2011/04/20	2011/04/29
26650	RB of Scotland N.V. – HSI European Warrants Apr 2011 E	2011/04/20	2011/04/29
10789	RB of Scotland N.V. – MSCI Taiwan Idx Euro Wts Apr 2011	2011/04/20	2011/04/29
10788	RB of Scotland N.V. – RICA Index Euro Wts Apr 2011	2011/04/20	2011/04/29
24821	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2011	2011/04/20	2011/04/29
25485	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11A	2011/04/20	2011/04/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26104	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11B	2011/04/20	2011/04/29
26572	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11F	2011/04/20	2011/04/29
27122	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11G	2011/04/20	2011/04/29
26102	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 C	2011/04/20	2011/04/29
26103	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 D	2011/04/20	2011/04/29
26482	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 E	2011/04/20	2011/04/29
24825	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Apr11A	2011/04/20	2011/04/29
27401	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Apr11B	2011/04/20	2011/04/29
24398	Standard Chartered Bank – China Mobile European Wts Apr11	2011/04/20	2011/04/29
24515	Standard Chartered Bank – China Shenhua European Wts Apr11	2011/04/20	2011/04/29
28490	Standard Chartered Bank – HSBC European Warrants Apr 2011 B	2011/04/20	2011/04/29
24514	Standard Chartered Bank – Tencent Hldg European Wts Apr 11	2011/04/20	2011/04/29
24148	Standard Chartered Bank -China Life European Warrants Apr11A	2011/04/20	2011/04/29
25756	UBS AG – HSI European Put Warrants Apr 2011 A	2011/04/20	2011/04/29
25905	UBS AG – HSI European Put Warrants Apr 2011 B	2011/04/20	2011/04/29
27626	UBS AG – HSI European Put Warrants Apr 2011 G	2011/04/20	2011/04/29
25751	UBS AG – HSI European Warrants Apr 2011 C	2011/04/20	2011/04/29
26058	UBS AG – HSI European Warrants Apr 2011 D	2011/04/20	2011/04/29
26059	UBS AG – HSI European Warrants Apr 2011 E	2011/04/20	2011/04/29
27413	UBS AG – HSI European Warrants Apr 2011 F	2011/04/20	2011/04/29
26240	UBS AG – Ping An European Warrants Apr 2011	2011/04/20	2011/04/29
25906	Bank of China (HK) Ltd. – China Life European Wts Apr 2011 A	2011/04/21	2011/05/03
25907	Bank of China (HK) Ltd. – China Life European Wts Apr 2011 B	2011/04/21	2011/05/03
25908	Bank of China (HK) Ltd. – HSBC European Warrants Apr 2011 A	2011/04/21	2011/05/03
25909	Bank of China (HK) Ltd. – HSBC European Warrants Apr 2011 B	2011/04/21	2011/05/03
24452	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2011 A	2011/04/21	2011/05/03
24624	BNP Paribas Arbit Issu B.V. – Esprit European Wts Apr 2011	2011/04/21	2011/05/03
24477	BNP Paribas Arbit Issu B.V. – ICBC European Wts Apr 2011	2011/04/21	2011/05/03
23178	BOCI Asia Ltd. – Shimao Ppt European Warrants Apr 2011	2011/04/21	2011/05/03
24852	CC Rabobank B.A. – BOC HK European Warrants Apr 2011	2011/04/21	2011/05/03
27379	CC Rabobank B.A. – Sinofert European Warrants Apr 2011	2011/04/21	2011/05/03
26253	Citigroup Global Mkt H Inc. – CHALCO European Warrants Apr11	2011/04/21	2011/05/03
25467	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Apr 11	2011/04/21	2011/05/03
27073	Credit Suisse AG – AIA European Warrants Apr 2011 B	2011/04/21	2011/05/03
26272	Credit Suisse AG – BOCL European Warrants Apr 2011 B	2011/04/21	2011/05/03
26544	Credit Suisse AG – China Mobile European Warrants Apr 2011 A	2011/04/21	2011/05/03
28281	Credit Suisse AG – China Tel European Warrants Apr 2011	2011/04/21	2011/05/03
28456	Credit Suisse AG – Ping An European Warrants Apr 2011 B	2011/04/21	2011/05/03
27218	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2011 A	2011/04/21	2011/05/03
24750	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2011	2011/04/21	2011/05/03
27077	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2011 B	2011/04/21	2011/05/03
26306	HK Bank – AIA European Warrants Apr 2011 A	2011/04/21	2011/05/03
26307	HK Bank – AIA European Warrants Apr 2011 B	2011/04/21	2011/05/03
27100	J P Morgan SP BV – AIA European Warrants Apr 2011	2011/04/21	2011/05/03
26303	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11A	2011/04/21	2011/05/03
26304	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11B	2011/04/21	2011/05/03
26305	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11C	2011/04/21	2011/05/03
24558	KBC Fin Products Int'l Ltd. – CMB European Warrants Apr 2011	2011/04/21	2011/05/03
26309	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Apr11	2011/04/21	2011/05/03
26244	Macquarie Bank Ltd. – China Life European Wts Apr 2011	2011/04/21	2011/05/03
25993	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2011	2011/04/21	2011/05/03
25515	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Apr 2011 A	2011/04/21	2011/05/03
23814	Nomura Int'l plc – Anhui Conch European Warrants Apr 2011	2011/04/21	2011/05/03
22691	Nomura Int'l plc – Chi Res Land European Warrants Apr 2011	2011/04/21	2011/05/03
23828	Nomura Int'l plc – China Dongxiang European Warrants Apr 11	2011/04/21	2011/05/03
23827	Nomura Int'l plc – GCL-Poly Energy European Warrants Apr 11	2011/04/21	2011/05/03
25677	Nomura Int'l plc – JIANC European Warrants Apr 2011	2011/04/21	2011/05/03
23287	Nomura Int'l plc – PetroChina European Warrants Apr 2011	2011/04/21	2011/05/03
22322	Nomura Int'l plc -Henderson Land Dev European Warrants Apr11	2011/04/21	2011/05/03
26277	Standard Chartered Bank – BOCL European Warrants Apr 2011	2011/04/21	2011/05/03
26278	Standard Chartered Bank – CC Bank European Warrants Apr 2011	2011/04/21	2011/05/03
26019	Standard Chartered Bank – ICBC European Warrants Apr 2011	2011/04/21	2011/05/03
27056	UBS AG – AIA European Warrants Apr 2011 B	2011/04/21	2011/05/03
25623	UBS AG – HKEx European Warrants Apr 2011	2011/04/21	2011/05/03
25989	UBS AG – HSBC European Warrants Apr 2011 A	2011/04/21	2011/05/03
26273	UBS AG – Hutchison European Warrants Apr 2011 D	2011/04/21	2011/05/03
20954	Barclays Bank plc – PetroChina European Warrants May 2011	2011/04/26	2011/05/04
26061	BNP Paribas Arbit Issu B.V. – BOCL European Wts May 2011	2011/04/26	2011/05/04
26289	BNP Paribas Arbit Issu B.V. – BYD European Warrants May 2011	2011/04/26	2011/05/04
24625	BNP Paribas Arbit Issu B.V. – China EB Ltd European Wt May11	2011/04/26	2011/05/04
26183	BNP Paribas Arbit Issu B.V. – GCL-Poly Energy Euro Wts May11	2011/04/26	2011/05/04
28442	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts May 11B	2011/04/26	2011/05/04
27769	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts May 2011	2011/04/26	2011/05/04
16299	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts May 2011 A	2011/04/26	2011/05/04
25498	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts May 2011 B	2011/04/26	2011/05/04
24712	BOCI Asia Ltd. – Belle Int'l European Warrants May 2011 A	2011/04/26	2011/05/04
22820	BOCI Asia Ltd. – PetroChina European Warrants May 2011 B	2011/04/26	2011/05/04
26343	Citigroup Global Mkt H Inc. – Fushan Energy Euro Wt May 2011	2011/04/26	2011/05/04
27986	Credit Suisse AG – CPIC European Warrants May 2011 A	2011/04/26	2011/05/04
25764	Credit Suisse AG – HKEx European Put Warrants May 2011	2011/04/26	2011/05/04
27815	Credit Suisse AG – HSBC European Wts May 2011 C	2011/04/26	2011/05/04
26325	Credit Suisse AG – Sinopec Corp European Warrants May 2011 A	2011/04/26	2011/05/04
27520	Credit Suisse AG – Sinopharm European Warrants May 2011	2011/04/26	2011/05/04
10014	Deutsche Bank AG – AUD/USD European Put Warrants May 2011	2011/04/26	2011/05/04
27527	Deutsche Bank AG – Belle Int'l European Warrants May 2011	2011/04/26	2011/05/04
26329	Deutsche Bank AG – Geely Auto European Warrants May 2011 B	2011/04/26	2011/05/04
26388	Deutsche Bank AG – HKEx European Warrants May 2011 D	2011/04/26	2011/05/04

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26499	Deutsche Bank AG – ICBC European Warrants May 2011	2011/04/26	2011/05/04
26248	Deutsche Bank AG – Lenovo European Warrants May 2011	2011/04/26	2011/05/04
25991	Goldman Sachs SP (Asia) – BOCL European Warrants May 2011 A	2011/04/26	2011/05/04
28431	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 E	2011/04/26	2011/05/04
26042	Goldman Sachs SP (Asia)-Sinopec Corp European Wts May 2011	2011/04/26	2011/05/04
25080	J P Morgan SP BV – Angang Steel European Warrants May 2011	2011/04/26	2011/05/04
26335	J P Morgan SP BV – CHALCO European Warrants May 2011	2011/04/26	2011/05/04
26319	J P Morgan SP BV – Dongfeng Motor European Warrants May 2011	2011/04/26	2011/05/04
26336	J P Morgan SP BV – Hutchison European Warrants May 2011	2011/04/26	2011/05/04
23987	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts May 11	2011/04/26	2011/05/04
25975	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2011	2011/04/26	2011/05/04
23839	KBC Fin Products Int'l Ltd. – CNOOC European Wts May 2011 A	2011/04/26	2011/05/04
24026	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2011 C	2011/04/26	2011/05/04
17092	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 A	2011/04/26	2011/05/04
25983	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 B	2011/04/26	2011/05/04
26865	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 F	2011/04/26	2011/05/04
26932	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts May 2011	2011/04/26	2011/05/04
28114	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts May 2011 B	2011/04/26	2011/05/04
26196	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 B	2011/04/26	2011/05/04
24861	KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011A	2011/04/26	2011/05/04
26625	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2011 B	2011/04/26	2011/05/04
24471	Macquarie Bank Ltd. – Bank of EA European Warrants May 2011	2011/04/26	2011/05/04
26279	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011B	2011/04/26	2011/05/04
26280	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011C	2011/04/26	2011/05/04
25261	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2011 B	2011/04/26	2011/05/04
25567	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 C	2011/04/26	2011/05/04
26998	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 C	2011/04/26	2011/05/04
27855	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts May 2011A	2011/04/26	2011/05/04
26346	Standard Chartered Bank – Sinopec Corp European Wts May 2011	2011/04/26	2011/05/04
28263	UBS AG – Ping An European Warrants May 2011 C	2011/04/26	2011/05/04
25544	Barclays Bank plc – HSBC European Warrants May 2011	2011/04/27	2011/05/05
27084	BNP Paribas Arbit Issu B.V. – AIA European Warrants May 2011	2011/04/27	2011/05/05
26107	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts May 2011	2011/04/27	2011/05/05
26495	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2011	2011/04/27	2011/05/05
27374	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts May 2011 C	2011/04/27	2011/05/05
26108	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts May 2011	2011/04/27	2011/05/05
20663	BNP Paribas Arbit Issu B.V. -MTRC European Warrants May 2011	2011/04/27	2011/05/05
27938	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants May 11	2011/04/27	2011/05/05
25574	BOCI Asia Ltd. – Agricultural Bk European Warrants May 2011	2011/04/27	2011/05/05
26374	BOCI Asia Ltd. – CITBK European Warrants May 2011	2011/04/27	2011/05/05
27867	CC Rabobank B.A. – HSBC European Warrants May 2011 D	2011/04/27	2011/05/05
21352	Deutsche Bank AG – PetroChina European Warrants May 2011 A	2011/04/27	2011/05/05
25450	Deutsche Bank AG -Agricultural Bk European Warrants May 2011A	2011/04/27	2011/05/05
28430	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 D	2011/04/27	2011/05/05
28235	Goldman Sachs SP (Asia) – Ping An European Warrants May 2011	2011/04/27	2011/05/05
28464	J P Morgan SP BV – Cheung Kong European Warrants May 2011	2011/04/27	2011/05/05
26195	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 A	2011/04/27	2011/05/05
26084	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts May 2011	2011/04/27	2011/05/05
25506	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 A	2011/04/27	2011/05/05
25985	KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011B	2011/04/27	2011/05/05
26082	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2011 A	2011/04/27	2011/05/05
26160	Macquarie Bank Ltd. – Ch Ship Cont European Warrants May 11	2011/04/27	2011/05/05
25941	Macquarie Bank Ltd. – CHALCO European Warrants May 2011	2011/04/27	2011/05/05
25255	Macquarie Bank Ltd. – Chi Res Power European Warrants May 11	2011/04/27	2011/05/05
23337	Macquarie Bank Ltd. – COSCO Pacific European Warrants May 11	2011/04/27	2011/05/05
24976	Macquarie Bank Ltd. – GAC European Wts May 2011	2011/04/27	2011/05/05
21864	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts May 2011	2011/04/27	2011/05/05
25664	Macquarie Bank Ltd. – HKEx European Put Warrants May 2011 A	2011/04/27	2011/05/05
26164	Macquarie Bank Ltd. – HKEx European Put Warrants May 2011 B	2011/04/27	2011/05/05
25296	Macquarie Bank Ltd. – HKEx European Warrants May 2011 C	2011/04/27	2011/05/05
25385	Macquarie Bank Ltd. – Hutchison European Warrants May 2011	2011/04/27	2011/05/05
25355	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 A	2011/04/27	2011/05/05
26047	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 B	2011/04/27	2011/05/05
24233	Macquarie Bank Ltd. – MTRC European Warrants May 2011	2011/04/27	2011/05/05
25357	Macquarie Bank Ltd. – PetroChina European Wts May 2011A	2011/04/27	2011/05/05
25361	Macquarie Bank Ltd. – Zhaojin Mining European Warrants May 11	2011/04/27	2011/05/05
27004	RB of Scotland N.V. – A50 China European Put Wts May 2011	2011/04/27	2011/05/05
27079	RB of Scotland N.V. – AIA European Warrants May 2011 A	2011/04/27	2011/05/05
26135	RB of Scotland N.V. – Sinopec Corp European Warrants May 11A	2011/04/27	2011/05/05
26420	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt May 11B	2011/04/27	2011/05/05
25003	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 A	2011/04/27	2011/05/05
25004	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 B	2011/04/27	2011/05/05
25592	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 C	2011/04/27	2011/05/05
25826	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 A	2011/04/27	2011/05/05
25827	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 B	2011/04/27	2011/05/05
25841	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2011 A	2011/04/27	2011/05/05
25842	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2011 B	2011/04/27	2011/05/05
21157	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2011A	2011/04/27	2011/05/05
27081	SGA Societe Generale Acceptance N.V. -AIA European Wt May 11A	2011/04/27	2011/05/05
27116	SGA Societe Generale Acceptance N.V. -AIA European Wts May 11B	2011/04/27	2011/05/05
26025	CC Rabobank B.A. – Ch Mobile European Warrants May 2011 A	2011/04/28	2011/05/06
26352	CC Rabobank B.A. – China Ship Dev European Warrants May 2011	2011/04/28	2011/05/06
24769	HK Bank – Fushan Energy European Warrants May 2011	2011/04/28	2011/05/06
26383	HK Bank – Geely Auto European Warrants May 2011	2011/04/28	2011/05/06
26384	HK Bank – KECL European Warrants May 2011	2011/04/28	2011/05/06
23090	J P Morgan SP BV – CNOOC European Warrants May 2011	2011/04/28	2011/05/06
25710	KBC Fin Products Int'l Ltd. – BYD European Warrants May 11B	2011/04/28	2011/05/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23979	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt May 2011	2011/04/28	2011/05/06
26085	KBC Fin Products Int'l Ltd. – HKEx European Put Wts May 2011	2011/04/28	2011/05/06
25770	KBC Fin Products Int'l Ltd. – HKEx European Warrants May 11	2011/04/28	2011/05/06
26556	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts May 2011 A	2011/04/28	2011/05/06
25984	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 A	2011/04/28	2011/05/06
27966	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 C	2011/04/28	2011/05/06
24803	Macquarie Bank Ltd. – CLP European Warrants May 2011	2011/04/28	2011/05/06
26838	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts May 2011	2011/04/28	2011/05/06
26134	RB of Scotland N.V. – CC Bank European Warrants May 2011 A	2011/04/28	2011/05/06
22621	RB of Scotland N.V. – PetroChina European Warrants May 2011	2011/04/28	2011/05/06
10983	BNP Paribas Arbit Issu B.V. – Gold European Put Wts May 2011	2011/04/29	2011/05/09
10979	BNP Paribas Arbit Issu B.V. – Gold European Warrants May 11A	2011/04/29	2011/05/09
10011	BNP Paribas Arbit Issu B.V. – Gold European Warrants May 11B	2011/04/29	2011/05/09
26300	CC Rabobank B.A. – AIA European Warrants May 2011 A	2011/04/29	2011/05/09
26402	CC Rabobank B.A. – AIA European Warrants May 2011 C	2011/04/29	2011/05/09
25376	CC Rabobank B.A. – CNBM European Warrants May 2011	2011/04/29	2011/05/09
22739	Citigroup Global Mkt H Inc. – PetroChina Euro Wts May 2011	2011/04/29	2011/05/09
28451	Credit Suisse AG – Hutchison European Warrants May 2011 G	2011/04/29	2011/05/09
27069	Deutsche Bank AG – AIA European Warrants May 2011 A	2011/04/29	2011/05/09
26850	Macquarie Bank Ltd. – HKEx European Warrants May 2011 D	2011/04/29	2011/05/09
26154	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 D	2011/04/29	2011/05/09
19187	BNP Paribas Arbit Issu B.V. – Ping An European Wts May 2011	2011/05/03	2011/05/11
25368	BOCI Asia Ltd. – Dongfeng Motor European Warrants May 2011	2011/05/03	2011/05/11
24817	BOCI Asia Ltd. – Fushan Energy European Warrants May 2011	2011/05/03	2011/05/11
25369	BOCI Asia Ltd. – GCL-Poly Energy European Warrants May 2011	2011/05/03	2011/05/11
24839	BOCI Asia Ltd. – Lenovo European Warrants May 2011	2011/05/03	2011/05/11
24819	BOCI Asia Ltd. – Sinofert European Warrants May 2011	2011/05/03	2011/05/11
26429	CC Rabobank B.A. – AIA European Put Warrants May 2011 D	2011/05/03	2011/05/11
26430	CC Rabobank B.A. – AIA European Put Warrants May 2011 E	2011/05/03	2011/05/11
27599	CC Rabobank B.A. – China Tel European Warrants May 2011	2011/05/03	2011/05/11
24854	CC Rabobank B.A. – CPIC European Warrants May 2011 A	2011/05/03	2011/05/11
25381	CC Rabobank B.A. – Geely Auto European Warrants May 2011 A	2011/05/03	2011/05/11
26762	CC Rabobank B.A. – HKEx European Warrants May 2011 A	2011/05/03	2011/05/11
25382	CC Rabobank B.A. – Shui On Land European Warrants May 2011	2011/05/03	2011/05/11
22271	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2011	2011/05/03	2011/05/11
26213	Citigroup Global Mkt H Inc. – Bank of EA European Wts May 11	2011/05/03	2011/05/11
26433	Citigroup Global Mkt H Inc. – Li & Fung European Wts May 11B	2011/05/03	2011/05/11
27510	Credit Suisse AG – BOCL European Warrants May 2011	2011/05/03	2011/05/11
26698	Credit Suisse AG – China Life European Warrants May 2011 B	2011/05/03	2011/05/11
26694	Credit Suisse AG – HKEx European Warrants May 2011 C	2011/05/03	2011/05/11
27816	Credit Suisse AG – HSBC European Wts May 2011 D	2011/05/03	2011/05/11
27106	Deutsche Bank AG – AIA European Put Warrants May 2011	2011/05/03	2011/05/11
25270	Deutsche Bank AG – China Coal European Warrants May 2011	2011/05/03	2011/05/11
26191	Deutsche Bank AG – PetroChina European Warrants May 2011 B	2011/05/03	2011/05/11
26390	Deutsche Bank AG – Sinopharm European Warrants May 2011	2011/05/03	2011/05/11
28306	Goldman Sachs SP (Asia) – China Tel European Wts May 2011	2011/05/03	2011/05/11
27060	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 B	2011/05/03	2011/05/11
28094	Goldman Sachs SP (Asia) – Hutchison European Wts May 2011 A	2011/05/03	2011/05/11
26412	HK Bank – AIA European Put Warrants May 2011	2011/05/03	2011/05/11
26408	HK Bank – AIA European Warrants May 2011	2011/05/03	2011/05/11
28173	HK Bank – HWL European Warrants May 2011	2011/05/03	2011/05/11
28298	J P Morgan SP BV – HSBC European Warrants May 2011	2011/05/03	2011/05/11
26435	J P Morgan SP BV – ICBC European Warrants May 2011	2011/05/03	2011/05/11
26399	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11A	2011/05/03	2011/05/11
27666	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 C	2011/05/03	2011/05/11
25192	KBC Fin Products Int'l Ltd. – China Tel Euro Wts May 2011	2011/05/03	2011/05/11
24114	KBC Fin Products Int'l Ltd. – New World Euro Put Wt May11	2011/05/03	2011/05/11
26404	KBC Fin Products Int'l Ltd. –AIA European Put Warrants May11	2011/05/03	2011/05/11
27065	Macquarie Bank Ltd. – AIA European Put Warrants May 2011	2011/05/03	2011/05/11
25513	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt May 2011B	2011/05/03	2011/05/11
26370	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2011 A	2011/05/03	2011/05/11
23480	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 A	2011/05/03	2011/05/11
23482	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2011 A	2011/05/03	2011/05/11
28217	RB of Scotland N.V. – HSBC European Warrants May 2011	2011/05/03	2011/05/11
26263	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 A	2011/05/03	2011/05/11
26963	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 E	2011/05/03	2011/05/11
27058	UBS AG – AIA European Put Warrants May 2011	2011/05/03	2011/05/11
25727	CC Rabobank B.A. – Datang European Warrants May 2011	2011/05/04	2011/05/12
25582	CC Rabobank B.A. – Jiangxi Copper European Warrants May2011A	2011/05/04	2011/05/12
26362	Citigroup Global Mkt H Inc. – BYD European Warrants May 2011	2011/05/04	2011/05/12
21132	Citigroup Global Mkt H Inc. – ChiUnicom European Wts May11	2011/05/04	2011/05/12
24121	Citigroup Global Mkt H Inc. – Hang Lung Ppt Euro Wts May2011	2011/05/04	2011/05/12
28745	Credit Suisse AG – PetroChina European Warrants May 2011 A	2011/05/04	2011/05/12
25074	Deutsche Bank AG – China Overseas European Warrants May 2011	2011/05/04	2011/05/12
26550	Deutsche Bank AG – CITIC Bank European Warrants May 2011	2011/05/04	2011/05/12
25272	Goldman Sachs SP (Asia) – BOC HK European Warrants May 2011	2011/05/04	2011/05/12
26506	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts May 2011	2011/05/04	2011/05/12
26997	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2011 B	2011/05/04	2011/05/12
28225	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts May 2011	2011/05/04	2011/05/12
26500	RB of Scotland N.V. – Sinopec Corp European Warrants May 11B	2011/05/04	2011/05/12
26475	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt May 11	2011/05/04	2011/05/12
26476	SGA Societe Generale Acceptance N.V. – CMB Euro Wts May 2011	2011/05/04	2011/05/12
26481	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts May11	2011/05/04	2011/05/12
26661	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 B	2011/05/04	2011/05/12
26484	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 D	2011/05/04	2011/05/12
26488	SGA Societe Generale Acceptance N.V. – JIANGU Eu Wts May 2011	2011/05/04	2011/05/12
26489	SGA Societe Generale Acceptance N.V. –Sinopharm Eu Wts May11	2011/05/04	2011/05/12

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26122	UBS AG – BOCL European Warrants May 2011 A	2011/05/04	2011/05/12
23210	Citigroup Global Mkt H Inc. – HKEx European Put Wts May 2011	2011/05/05	2011/05/13
26589	Deutsche Bank AG – Sands China European Warrants May 2011	2011/05/05	2011/05/13
26595	Deutsche Bank AG – Wynn Macau European Warrants May 2011	2011/05/05	2011/05/13
26613	HK Bank – BOCL European Warrants May 2011 A	2011/05/05	2011/05/13
24760	HK Bank – Ch Comm Cons European Warrants May 2011	2011/05/05	2011/05/13
25171	HK Bank – Dongfeng Motor European Warrants May 2011	2011/05/05	2011/05/13
26635	J P Morgan SP BV – BOCL European Warrants May 2011	2011/05/05	2011/05/13
26758	J P Morgan SP BV – China Tel European Warrants May 2011	2011/05/05	2011/05/13
27689	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt May2011B	2011/05/05	2011/05/13
26612	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts May 2011	2011/05/05	2011/05/13
24676	KBC Fin Products Int'l Ltd. – China Life Eu Put Wt May 2011A	2011/05/05	2011/05/13
26626	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 B	2011/05/05	2011/05/13
28703	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2011 C	2011/05/05	2011/05/13
23408	KBC Fin Products Int'l Ltd. –BYD European Put Warrants May11	2011/05/05	2011/05/13
26575	Macquarie Bank Ltd. – Cheung Kong European Warrants May2011A	2011/05/05	2011/05/13
27037	Nomura Int'l plc – China Telecom European Warrants May 2011	2011/05/05	2011/05/13
25650	UBS AG – China Tel European Warrants May 2011	2011/05/05	2011/05/13
26623	UBS AG – Ping An European Warrants May 2011 B	2011/05/05	2011/05/13
26619	UBS AG – Sinopec Corp European Warrants May 2011	2011/05/05	2011/05/13
26353	CC Rabobank B.A. – Dongfeng Motor European Warrants May 2011	2011/05/06	2011/05/16
25691	CC Rabobank B.A. – Hutchison European Warrants May 2011 A	2011/05/06	2011/05/16
26406	CC Rabobank B.A. – Tsingtao Brew European Warrants May 2011	2011/05/06	2011/05/16
27733	Credit Suisse AG – HKEx European Warrants May 2011 H	2011/05/06	2011/05/16
26202	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt May 11	2011/05/06	2011/05/16
25695	Macquarie Bank Ltd. – Hutchison European Put Wts May 2011	2011/05/06	2011/05/16
24591	Macquarie Bank Ltd. – Yanzhou Coal European Wts May 2011	2011/05/06	2011/05/16
23439	Barclays Bank plc – HKEx European Put Warrants May 2011	2011/05/09	2011/05/17
26729	BOCI Asia Ltd. – China Mobile European Warrants May 2011	2011/05/09	2011/05/17
22282	BOCI Asia Ltd. – Kingboard Chem European Warrants May 2011	2011/05/09	2011/05/17
26284	BOCI Asia Ltd. – WISE CSI European Warrants May 2011	2011/05/09	2011/05/17
26355	CC Rabobank B.A. – Geely Auto European Warrants May 2011 B	2011/05/09	2011/05/17
26235	CC Rabobank B.A. – Hutchison European Warrants May 2011 B	2011/05/09	2011/05/17
26732	Credit Suisse AG – Agricultural Bank European Wts May 2011	2011/05/09	2011/05/17
28453	Credit Suisse AG – CC Bank European Warrants May 2011 D	2011/05/09	2011/05/17
28321	Credit Suisse AG – Ch Comm Cons European Warrants May 2011	2011/05/09	2011/05/17
25615	Credit Suisse AG – China Life European Warrants May 2011 A	2011/05/09	2011/05/17
28282	Credit Suisse AG – China Tel European Warrants May 2011	2011/05/09	2011/05/17
28744	Credit Suisse AG – CNOOC European Warrants May 2011	2011/05/09	2011/05/17
25753	Credit Suisse AG – HKEx European Warrants May 2011 A	2011/05/09	2011/05/17
25968	Credit Suisse AG – HKEx European Warrants May 2011 B	2011/05/09	2011/05/17
26695	Credit Suisse AG – HKEx European Warrants May 2011 D	2011/05/09	2011/05/17
26765	Credit Suisse AG – HKEx European Warrants May 2011 E	2011/05/09	2011/05/17
26711	Credit Suisse AG – ICBC European Warrants May 2011A	2011/05/09	2011/05/17
26693	Credit Suisse AG – Jiangxi Copper European Wts May 2011 A	2011/05/09	2011/05/17
28868	Credit Suisse AG – Lenovo European Warrants May 2011	2011/05/09	2011/05/17
25786	Credit Suisse AG – MTRC European Warrants May 2011	2011/05/09	2011/05/17
28746	Credit Suisse AG – PetroChina European Warrants May 2011 B	2011/05/09	2011/05/17
28767	Credit Suisse AG – PetroChina European Warrants May 2011 C	2011/05/09	2011/05/17
26603	Credit Suisse AG – Sinopec Corp European Warrants May 2011 B	2011/05/09	2011/05/17
26744	Deutsche Bank AG – Agricultural Bk European Warrants May 11B	2011/05/09	2011/05/17
27385	Deutsche Bank AG – BOCL European Warrants May 2011	2011/05/09	2011/05/17
26222	Deutsche Bank AG – China Life European Warrants May 2011 A	2011/05/09	2011/05/17
26688	Deutsche Bank AG – HKEx European Warrants May 2011 E	2011/05/09	2011/05/17
26750	Deutsche Bank AG – Hutchison European Warrants May 2011 A	2011/05/09	2011/05/17
25425	Deutsche Bank AG – MTRC European Warrants May 2011 A	2011/05/09	2011/05/17
26119	Deutsche Bank AG – Ping An European Warrants May 2011	2011/05/09	2011/05/17
10019	Deutsche Bank AG – USD/YEN European Put Warrants May 2011	2011/05/09	2011/05/17
10015	Deutsche Bank AG – USD/YEN European Warrants May 2011	2011/05/09	2011/05/17
25110	Goldman Sachs SP (Asia) – Agricultural Bk European Wts May11	2011/05/09	2011/05/17
26446	Goldman Sachs SP (Asia) – CC Bank European Wts May 2011A	2011/05/09	2011/05/17
26617	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 A	2011/05/09	2011/05/17
28403	Goldman Sachs SP (Asia) – Hutchison European Wts May 2011 E	2011/05/09	2011/05/17
27647	J P Morgan SP BV – MTRC European Warrants May 2011	2011/05/09	2011/05/17
26767	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt May2011A	2011/05/09	2011/05/17
26610	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 B	2011/05/09	2011/05/17
26231	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 E	2011/05/09	2011/05/17
26771	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt May11	2011/05/09	2011/05/17
26712	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 D	2011/05/09	2011/05/17
27672	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 D	2011/05/09	2011/05/17
24580	KBC Fin Products Int'l Ltd. – MTRC European Wts May 2011	2011/05/09	2011/05/17
25562	KBC Fin Products Int'l Ltd. –BYD European Warrants May 2011A	2011/05/09	2011/05/17
28528	Macquarie Bank Ltd. – PetroChina European Wts May 2011 B	2011/05/09	2011/05/17
28476	Merrill Lynch Int'l & Co. C.V. – AIA European Put Wts May11	2011/05/09	2011/05/17
21419	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts May 2011	2011/05/09	2011/05/17
25206	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 B	2011/05/09	2011/05/17
26657	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11A	2011/05/09	2011/05/17
24632	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts May 11	2011/05/09	2011/05/17
26419	SGA Societe Generale Acceptance N.V. – CTel Eu Wt May 2011	2011/05/09	2011/05/17
26981	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 F	2011/05/09	2011/05/17
26591	SGA Societe Generale Acceptance N.V. – HLand Eur Wt May 2011	2011/05/09	2011/05/17
26410	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 11B	2011/05/09	2011/05/17
26570	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 F	2011/05/09	2011/05/17
26676	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts May11A	2011/05/09	2011/05/17
26663	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2011A	2011/05/09	2011/05/17
26674	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt May11	2011/05/09	2011/05/17
26677	SGA Societe Generale Acceptance N.V. –Mongolia En Eu Wt May11	2011/05/09	2011/05/17



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26672	SGA Societe Generale Acceptance N.V.-Ch Ship Cont Eu Wt May11	2011/05/09	2011/05/17
26587	SGA Societe Generale Acceptance N.V.-China EB Ltd Eu Wt May11	2011/05/09	2011/05/17
26707	UBS AG – Agricultural Bank European Warrants May 2011 A	2011/05/09	2011/05/17
28384	UBS AG – Agricultural Bank European Warrants May 2011 B	2011/05/09	2011/05/17
27953	UBS AG – CPIC European Warrants May 2011 B	2011/05/09	2011/05/17
23099	UBS AG – HKEx European Put Warrants May 2011 C	2011/05/09	2011/05/17
26057	UBS AG – HKEx European Warrants May 2011 E	2011/05/09	2011/05/17
28502	UBS AG – PetroChina European Warrants May 2011	2011/05/09	2011/05/17
18094	UBS AG – Ping An European Warrants May 2011 A	2011/05/09	2011/05/17
21852	Barclays Bank plc – BYD European Put Warrants May 2011	2011/05/11	2011/05/18
28203	BOCI Asia Ltd. – BYD European Warrants May 2011	2011/05/11	2011/05/18
26450	Citigroup Global Mkt H Inc.-Alibaba European Warrants May 11	2011/05/11	2011/05/18
26815	Credit Suisse AG – CC Bank European Warrants May 2011 A	2011/05/11	2011/05/18
26784	Credit Suisse AG – HKEx European Warrants May 2011 G	2011/05/11	2011/05/18
27108	Deutsche Bank AG – China Life European Warrants May 2011 B	2011/05/11	2011/05/18
27139	Deutsche Bank AG – China Mobile European Warrants May 2011 A	2011/05/11	2011/05/18
28329	Deutsche Bank AG – China Telecom European Wts May 2011	2011/05/11	2011/05/18
26224	Deutsche Bank AG – CPIC European Warrants May 2011	2011/05/11	2011/05/18
26805	HK Bank – CC Bank European Warrants May 2011 A	2011/05/11	2011/05/18
26782	HK Bank – SHK Ppt European Warrants May 2011	2011/05/11	2011/05/18
26821	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2011 A	2011/05/11	2011/05/18
26230	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 D	2011/05/11	2011/05/18
26201	KBC Fin Products Int'l Ltd. – Minsheng Bank Eu Put Wts May11	2011/05/11	2011/05/18
26142	KBC Fin Products Int'l Ltd.-CPIC European Put Warrants May11	2011/05/11	2011/05/18
25694	Macquarie Bank Ltd. – Cheung Kong European Put Wts May 2011	2011/05/11	2011/05/18
26819	RB of Scotland N.V. – CC Bank European Warrants May 2011 B	2011/05/11	2011/05/18
26820	RB of Scotland N.V. – China Life European Wts May 2011	2011/05/11	2011/05/18
26239	UBS AG – Alibaba European Warrants May 2011 A	2011/05/11	2011/05/18
26332	UBS AG – BYD European Warrants May 2011 B	2011/05/11	2011/05/18
26292	UBS AG – CPIC European Warrants May 2011 A	2011/05/11	2011/05/18
26024	CC Rabobank B.A. – China Life European Warrants May 2011 A	2011/05/12	2011/05/19
26463	CC Rabobank B.A. – Shineway Pharm European Warrants May 2011	2011/05/12	2011/05/19
26874	Citigroup Global Mkt H Inc. – Ping An Euro Wts May 2011	2011/05/12	2011/05/19
26857	Credit Suisse AG – CC Bank European Warrants May 2011 B	2011/05/12	2011/05/19
28505	Credit Suisse AG – China Mobile European Warrants May 2011 B	2011/05/12	2011/05/19
28207	HK Bank – CC Bank European Warrants May 2011 B	2011/05/12	2011/05/19
24785	HK Bank – CNBM European Warrants May 2011	2011/05/12	2011/05/19
24771	HK Bank – Jiangxi Copper European Warrants May 2011 A	2011/05/12	2011/05/19
26852	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 A	2011/05/12	2011/05/19
26853	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 B	2011/05/12	2011/05/19
26636	J P Morgan SP BV – HKEx European Warrants May 2011	2011/05/12	2011/05/19
26773	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt May 11	2011/05/12	2011/05/19
26400	KBC Fin Products Int'l Ltd. – Alia European Warrants May 11B	2011/05/12	2011/05/19
23975	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2011	2011/05/12	2011/05/19
26864	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt May 2011	2011/05/12	2011/05/19
26200	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 11B	2011/05/12	2011/05/19
25977	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 C	2011/05/12	2011/05/19
25654	KBC Fin Products Int'l Ltd. – CPIC European Wts May 2011	2011/05/12	2011/05/19
24104	KBC Fin Products Int'l Ltd. – Esprit European Wts May 2011	2011/05/12	2011/05/19
26990	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts May 2011	2011/05/12	2011/05/19
26718	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 F	2011/05/12	2011/05/19
27923	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 D	2011/05/12	2011/05/19
26628	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 D	2011/05/12	2011/05/19
26808	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 C	2011/05/12	2011/05/19
26262	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2011A	2011/05/12	2011/05/19
26659	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt May 2011	2011/05/12	2011/05/19
26801	SGA Societe Generale Acceptance N.V. – Gome Euro Wts May11A	2011/05/12	2011/05/19
26824	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 D	2011/05/12	2011/05/19
26569	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 E	2011/05/12	2011/05/19
26802	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt May11	2011/05/12	2011/05/19
24136	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt May11	2011/05/12	2011/05/19
23493	BOCI Asia Ltd. – R&F Ppt European Warrants May 2011	2011/05/13	2011/05/20
23460	CC Rabobank B.A. – R&F Ppt European Warrants May 2011	2011/05/13	2011/05/20
26903	Credit Suisse AG – Ping An European Warrants May 2011 A	2011/05/13	2011/05/20
26904	Credit Suisse AG – Ping An European Warrants May 2011 B	2011/05/13	2011/05/20
26905	Credit Suisse AG – Ping An European Warrants May 2011 C	2011/05/13	2011/05/20
25417	Macquarie Bank Ltd. – China Tel European Warrants May 2011	2011/05/13	2011/05/20
26885	Nomura Int'l plc – Hang Lung Ppt European Warrants May 2011	2011/05/13	2011/05/20
26618	UBS AG – BOCL European Warrants May 2011 B	2011/05/13	2011/05/20
27474	CC Rabobank B.A. – China Life European Warrants May 2011 B	2011/05/16	2011/05/23
26351	CC Rabobank B.A. – CPIC European Warrants May 2011 B	2011/05/16	2011/05/23
26760	CC Rabobank B.A. – HKEx European Put Warrants May 2011	2011/05/16	2011/05/23
26763	CC Rabobank B.A. – HKEx European Warrants May 2011 B	2011/05/16	2011/05/23
26467	CC Rabobank B.A. – Zhaojin Mining European Warrants May 2011	2011/05/16	2011/05/23
10020	Deutsche Bank AG – EUR/USD European Put Warrants May 2011	2011/05/16	2011/05/23
26458	HK Bank – Jiangxi Copper European Warrants May 2011 B	2011/05/16	2011/05/23
24348	J P Morgan SP BV – New World Dev European Warrants May 2011	2011/05/16	2011/05/23
26667	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 C	2011/05/16	2011/05/23
24892	Macquarie Bank Ltd. – Wharf European Warrants May 2011	2011/05/16	2011/05/23
25624	Standard Chartered Bank – PetroChina European Warrants May11	2011/05/16	2011/05/23
26004	Barclays Bank plc – CC Bank European Put Warrants May 2011	2011/05/17	2011/05/24
26000	Barclays Bank plc – CC Bank European Warrants May 2011	2011/05/17	2011/05/24
25895	Barclays Bank plc – Sinopec Corp European Wts May 2011	2011/05/17	2011/05/24
27376	CC Rabobank B.A. – Anta Sports European Warrants May 2011	2011/05/17	2011/05/24
27863	CC Rabobank B.A. – CC Bank European Warrants May 2011	2011/05/17	2011/05/24
26469	CC Rabobank B.A. – Ch Zhongwang European Warrants May 2011	2011/05/17	2011/05/24
26468	CC Rabobank B.A. – CHALCO European Warrants May 2011	2011/05/17	2011/05/24

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27142	CC Rabobank B.A. – MTRC European Warrants May 2011	2011/05/17	2011/05/24
24462	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt May 2011	2011/05/17	2011/05/24
25586	Citigroup Global Mkt H Inc. – CNOOC European Wts May 2011 B	2011/05/17	2011/05/24
23939	Citigroup Global Mkt H Inc. – CPIC European Warrants May 11	2011/05/17	2011/05/24
24122	Citigroup Global Mkt H Inc. – MTRC European Wts May 2011	2011/05/17	2011/05/24
22270	Citigroup Global Mkt H Inc. – ZTE European Warrants May 2011	2011/05/17	2011/05/24
28867	Credit Suisse – Henderson Land European Warrants May 2011	2011/05/17	2011/05/24
27740	Credit Suisse AG – AIA European Warrants May 2011 B	2011/05/17	2011/05/24
28452	Credit Suisse AG – CC Bank European Warrants May 2011 C	2011/05/17	2011/05/24
28916	Credit Suisse AG – Cheung Kong European Warrants May 2011	2011/05/17	2011/05/24
27512	Credit Suisse AG – China Mobile European Warrants May 2011A	2011/05/17	2011/05/24
28253	Credit Suisse AG – Hang Seng Bank European Warrants May 2011	2011/05/17	2011/05/24
28683	Credit Suisse AG – HSBC European Put Warrants May 2011	2011/05/17	2011/05/24
27729	Credit Suisse AG – HSBC European Wts May 2011 A	2011/05/17	2011/05/24
28450	Credit Suisse AG – HSBC European Wts May 2011 E	2011/05/17	2011/05/24
27817	Credit Suisse AG – Hutchison European Warrants May 2011 E	2011/05/17	2011/05/24
28454	Credit Suisse AG – ICBC European Warrants May 2011 B	2011/05/17	2011/05/24
28926	Credit Suisse AG – PetroChina European Warrants May 2011 D	2011/05/17	2011/05/24
28748	Credit Suisse AG – Sinopec Corp European Warrants May 2011 C	2011/05/17	2011/05/24
28922	Credit Suisse AG – Sinopec Corp European Warrants May 2011 D	2011/05/17	2011/05/24
25070	Deutsche Bank AG – Air China European Warrants May 2011	2011/05/17	2011/05/24
28413	Deutsche Bank AG – Alibaba European Warrants May 2011	2011/05/17	2011/05/24
28139	Deutsche Bank AG – Cathay Pacific European Warrants May 2011	2011/05/17	2011/05/24
26823	Deutsche Bank AG – CC Bank European Warrants May 2011 A	2011/05/17	2011/05/24
26924	Deutsche Bank AG – CC Bank European Warrants May 2011 B	2011/05/17	2011/05/24
27109	Deutsche Bank AG – China Life European Warrants May 2011 C	2011/05/17	2011/05/24
26190	Deutsche Bank AG – CITIC Pacific European Warrants May 2011	2011/05/17	2011/05/24
25956	Deutsche Bank AG – CNOOC European Put Warrants May 2011	2011/05/17	2011/05/24
26907	Deutsche Bank AG – Gome European Warrants May 2011	2011/05/17	2011/05/24
25957	Deutsche Bank AG – HKEx European Put Warrants May 2011 B	2011/05/17	2011/05/24
25602	Deutsche Bank AG – Sinopec Corp European Warrants May 2011	2011/05/17	2011/05/24
26054	Deutsche Bank AG – SJM Holdings European Warrants May 2011	2011/05/17	2011/05/24
25749	Deutsche Bank AG – Tencent Holdings European Wts May 11 A	2011/05/17	2011/05/24
26753	Goldman Sachs SP (Asia) – BOCL European Warrants May 2011 B	2011/05/17	2011/05/24
24669	Goldman Sachs SP (Asia) – China Life Euro Wts May 2011A	2011/05/17	2011/05/24
26922	HK Bank – HKEx European Warrants May 2011	2011/05/17	2011/05/24
27219	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 C	2011/05/17	2011/05/24
24349	J P Morgan SP BV – SHK Ppt European Warrants May 2011	2011/05/17	2011/05/24
28052	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11C	2011/05/17	2011/05/24
24196	KBC Fin Products Int'l Ltd. – BOC HK European Wts May 2011	2011/05/17	2011/05/24
26930	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2011 B	2011/05/17	2011/05/24
28801	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 G	2011/05/17	2011/05/24
28192	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts May 2011 C	2011/05/17	2011/05/24
28069	KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011C	2011/05/17	2011/05/24
27949	Macquarie Bank Ltd. – CC Bank European Warrants May 2011	2011/05/17	2011/05/24
24589	Macquarie Bank Ltd. – New World Dev European Wts May 2011	2011/05/17	2011/05/24
24748	Macquarie Bank Ltd. – SHK Ppt European Warrants May 2011	2011/05/17	2011/05/24
24281	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2011 A	2011/05/17	2011/05/24
27854	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2011 A	2011/05/17	2011/05/24
24967	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt May 2011A	2011/05/17	2011/05/24
27852	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts May 11A	2011/05/17	2011/05/24
27924	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 E	2011/05/17	2011/05/24
28479	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts May 11B	2011/05/17	2011/05/24
28841	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts May 2011	2011/05/17	2011/05/24
24041	RB of Scotland N.V. – BYD European Warrants May 2011	2011/05/17	2011/05/24
22849	SGA Societe Generale Acceptance N.V. – BYD Euro Wts May 2011	2011/05/17	2011/05/24
27428	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 D	2011/05/17	2011/05/24
27336	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11C	2011/05/17	2011/05/24
26909	SGA Societe Generale Acceptance N.V. – Gome Euro Wt May 11B	2011/05/17	2011/05/24
27120	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 G	2011/05/17	2011/05/24
28896	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 G	2011/05/17	2011/05/24
26797	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2011B	2011/05/17	2011/05/24
26798	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2011C	2011/05/17	2011/05/24
24740	SGA Societe Generale Acceptance N.V. -A50 Ch Eu Wt May 2011A	2011/05/17	2011/05/24
27222	SGA Societe Generale Acceptance N.V. -AIA European Wt May 11C	2011/05/17	2011/05/24
26794	SGA Societe Generale Acceptance N.V. -A50 Ch Eu Put Wt May 11	2011/05/17	2011/05/24
22471	SGA Societe Generale Acceptance N.V. -Ch LongYuan Eu Wt May 11	2011/05/17	2011/05/24
28230	Standard Chartered Bank – CPIC European Warrants May 2011	2011/05/17	2011/05/24
28396	Standard Chartered Bank – Tencent Hldg European Wts May 2011	2011/05/17	2011/05/24
28615	UBS AG – AIA European Warrants May 2011 B	2011/05/17	2011/05/24
28437	UBS AG – Alibaba European Warrants May 2011 B	2011/05/17	2011/05/24
25987	UBS AG – CC Bank European Warrants May 2011 A	2011/05/17	2011/05/24
26621	UBS AG – China Life European Warrants May 2011 A	2011/05/17	2011/05/24
25988	UBS AG – China Mobile European Warrants May 2011 A	2011/05/17	2011/05/24
25781	UBS AG – HKEx European Put Warrants May 2011 D	2011/05/17	2011/05/24
26424	UBS AG – HKEx European Warrants May 2011 F	2011/05/17	2011/05/24
26426	CC Rabobank B.A. – China Coal European Warrants May 2011	2011/05/18	2011/05/25
26428	CC Rabobank B.A. – SJM Holdings European Warrants May 2011	2011/05/18	2011/05/25
26970	Citigroup Global Mkt H Inc. – CC Bank European Wts May 2011	2011/05/18	2011/05/25
26971	Citigroup Global Mkt H Inc. – Link Reit European Wts May 11B	2011/05/18	2011/05/25
28318	Credit Suisse AG – Jiangxi Copper European Wts May 2011 B	2011/05/18	2011/05/25
10016	Deutsche Bank AG – Gold European Put Warrants May 2011	2011/05/18	2011/05/25
25642	J P Morgan SP BV – Tencent European Warrants May 2011	2011/05/18	2011/05/25
27453	Nomura Int'l plc – Tencent Holdings European Warrants May 11	2011/05/18	2011/05/25
24088	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts May 2011A	2011/05/19	2011/05/26
28285	CC Rabobank B.A. – HKEx European Warrants May 2011 C	2011/05/19	2011/05/26
22733	Citigroup Global Mkt H Inc. – Cathay Pacific Eu Wt May 2011A	2011/05/19	2011/05/26

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26167	Citigroup Global Mkt H Inc. – Cathay Pacific Eur Wt May 11B	2011/05/19	2011/05/26
27174	Deutsche Bank AG – HSBC European Warrants May 2011 B	2011/05/19	2011/05/26
26614	HK Bank – BOCL European Warrants May 2011 B	2011/05/19	2011/05/26
27965	KBC Fin Products Int'l Ltd. – CNOOC European Wts May 2011 B	2011/05/19	2011/05/26
26716	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2011 E	2011/05/19	2011/05/26
24574	KBC Fin Products Int'l Ltd. – R&F Ppt European Wts May 2011	2011/05/19	2011/05/26
21812	Macquarie Bank Ltd. –Want Want China European Warrants May11	2011/05/19	2011/05/26
26832	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts May 2011	2011/05/19	2011/05/26
25345	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts May 11	2011/05/19	2011/05/26
27080	RB of Scotland N.V. – AIA European Warrants May 2011 B	2011/05/19	2011/05/26
25403	RB of Scotland N.V. – Tencent European Put Warrants May 2011	2011/05/19	2011/05/26
26955	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt May 2011	2011/05/19	2011/05/26
26411	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 11C	2011/05/19	2011/05/26
26964	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts May11B	2011/05/19	2011/05/26
22278	BOCI Asia Ltd. – Ch LongYuan European Warrants May 2011	2011/05/20	2011/05/27
26318	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts May 2011	2011/05/20	2011/05/27
27347	Citigroup Global Mkt H Inc. – Tencent European Put Wts May11	2011/05/20	2011/05/27
22505	HK Bank – R&F Ppt European Warrants May 2011	2011/05/20	2011/05/27
23993	HK Bank – Tencent European Put Warrants May 2011	2011/05/20	2011/05/27
27005	J P Morgan SP BV – BankComm European Warrants May 2011	2011/05/20	2011/05/27
27006	J P Morgan SP BV – China Life European Warrants May 2011 A	2011/05/20	2011/05/27
27702	J P Morgan SP BV – HKEx European Put Wts May 2011	2011/05/20	2011/05/27
27010	J P Morgan SP BV – HS Bank European Warrants May 2011	2011/05/20	2011/05/27
25328	RB of Scotland N.V. – HKEx European Warrants May 2011	2011/05/20	2011/05/27
24684	BOCI Asia Ltd. – BOC HK European Warrants May 2011	2011/05/23	2011/05/30
27476	CC Rabobank B.A. – Ch Mobile European Warrants May 2011 B	2011/05/23	2011/05/30
26027	CC Rabobank B.A. – Tencent Holdings European Put Wts May 11	2011/05/23	2011/05/30
25452	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts May 2011	2011/05/23	2011/05/30
23964	Citigroup Global Mkt H Inc. – New World European Wts May 11	2011/05/23	2011/05/30
27011	J P Morgan SP BV – PetroChina European Warrants May 2011	2011/05/23	2011/05/30
24570	KBC Fin Products Int'l Ltd. – BEA European Warrants May 2011	2011/05/23	2011/05/30
22197	Macquarie Bank Ltd. – Ch LongYuan European Warrants May 2011	2011/05/23	2011/05/30
26425	Macquarie Bank Ltd. – Ch Molybdenum European Wts May 2011	2011/05/23	2011/05/30
28971	Macquarie Bank Ltd. – Cheung Kong European Warrants May 11B	2011/05/23	2011/05/30
24432	Macquarie Bank Ltd. – Sinoma European Warrants May 2011	2011/05/23	2011/05/30
28603	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2011 B	2011/05/23	2011/05/30
28179	Standard Chartered Bank – HSBC European Warrants May 2011	2011/05/23	2011/05/30
26208	Barclays Bank plc – Bank of EA European Warrants May 2011	2011/05/24	2011/05/31
25775	Barclays Bank plc – China Life European Warrants May 2011	2011/05/24	2011/05/31
26531	Barclays Bank plc – HSI European Put Warrants May 2011 A	2011/05/24	2011/05/31
26532	Barclays Bank plc – HSI European Put Warrants May 2011 B	2011/05/24	2011/05/31
28756	Barclays Bank plc – HSI European Put Warrants May 2011 E	2011/05/24	2011/05/31
26526	Barclays Bank plc – HSI European Warrants May 2011 C	2011/05/24	2011/05/31
28752	Barclays Bank plc – HSI European Warrants May 2011 D	2011/05/24	2011/05/31
21563	Barclays Bank plc -Sino-Ocean Land European Warrants May2011	2011/05/24	2011/05/31
22889	BOCI Asia Ltd. – A50 China European Put Warrants May 2011	2011/05/24	2011/05/31
28135	BOCI Asia Ltd. – HSBC European Warrants May 2011	2011/05/24	2011/05/31
25917	BOCI Asia Ltd. – Hutchison European Warrants May 2011	2011/05/24	2011/05/31
26301	CC Rabobank B.A. – AIA European Warrants May 2011 B	2011/05/24	2011/05/31
23144	CC Rabobank B.A. – BYD European Warrants May 2011	2011/05/24	2011/05/31
23203	CC Rabobank B.A. – Chaoda Modern European Warrants May 2011	2011/05/24	2011/05/31
26403	CC Rabobank B.A. – China Oilfield European Warrants May 2011	2011/05/24	2011/05/31
25692	CC Rabobank B.A. – HSBC European Warrants May 2011 B	2011/05/24	2011/05/31
26847	CC Rabobank B.A. – HSBC European Warrants May 2011 C	2011/05/24	2011/05/31
27868	CC Rabobank B.A. – HSBC European Warrants May 2011 E	2011/05/24	2011/05/31
25728	CC Rabobank B.A. – Huaneng Power European Warrants May 2011	2011/05/24	2011/05/31
28357	CC Rabobank B.A. – Hutchison European Warrants May 2011 C	2011/05/24	2011/05/31
28358	CC Rabobank B.A. – Hutchison European Warrants May 2011 D	2011/05/24	2011/05/31
26848	CC Rabobank B.A. – Jiangxi Copper European Warrants May 11B	2011/05/24	2011/05/31
26427	CC Rabobank B.A. – Sands China European Warrants May 2011	2011/05/24	2011/05/31
26298	CC Rabobank B.A. – Sino Biopharm European Warrants May 2011	2011/05/24	2011/05/31
22090	CC Rabobank B.A. – Sinoma European Warrants May 2011	2011/05/24	2011/05/31
25689	CC Rabobank B.A. – Sinopec Corp European Warrants May 2011	2011/05/24	2011/05/31
26297	CC Rabobank B.A. – Sinopharm European Warrants May 2011	2011/05/24	2011/05/31
26407	CC Rabobank B.A. – Wynn Macau European Warrants May 2011	2011/05/24	2011/05/31
24980	Citigroup Global Mkt H Inc. – Angang Steel European Wt May11	2011/05/24	2011/05/31
24361	Citigroup Global Mkt H Inc. – Anta Sports European Wts May11	2011/05/24	2011/05/31
23938	Citigroup Global Mkt H Inc. – BOC HK European Wts May 2011	2011/05/24	2011/05/31
26342	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2011	2011/05/24	2011/05/31
25800	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts May 2011 C	2011/05/24	2011/05/31
25001	Citigroup Global Mkt H Inc. – CNOOC European Wts May 2011 A	2011/05/24	2011/05/31
26344	Citigroup Global Mkt H Inc. – Greatwall Motor Euro Wts May11	2011/05/24	2011/05/31
25519	Citigroup Global Mkt H Inc. – HKEx European Wts May 2011	2011/05/24	2011/05/31
26242	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts May 2011	2011/05/24	2011/05/31
26238	Citigroup Global Mkt H Inc. – HSCEI European Wts May 2011	2011/05/24	2011/05/31
26257	Citigroup Global Mkt H Inc. – Hutchison Euro Wts May 2011	2011/05/24	2011/05/31
21821	Citigroup Global Mkt H Inc. – Kerry Ppt European Wts May11	2011/05/24	2011/05/31
23758	Citigroup Global Mkt H Inc. – Li & Fung European Wts May 11A	2011/05/24	2011/05/31
23066	Citigroup Global Mkt H Inc. – Link Reit European Wts May 11A	2011/05/24	2011/05/31
23967	Citigroup Global Mkt H Inc. – SHK Ppt European Wts May 2011	2011/05/24	2011/05/31
23067	Citigroup Global Mkt H Inc. – SPDR Gold T European Wts May11	2011/05/24	2011/05/31
24173	Citigroup Global Mkt H Inc. – Wynn Macau European Wts May11	2011/05/24	2011/05/31
21922	Citigroup Global Mkt H Inc. – Yue Yuen Ind European Wt May11	2011/05/24	2011/05/31
27074	Credit Suisse AG – AIA European Warrants May 2011 A	2011/05/24	2011/05/31
23270	Credit Suisse AG – BYD European Warrants May 2011	2011/05/24	2011/05/31
27982	Credit Suisse AG – China Life European Warrants May 2011 C	2011/05/24	2011/05/31
26069	Credit Suisse AG – CM Bank European Warrants May 2011	2011/05/24	2011/05/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28322	Credit Suisse AG – CPIC European Warrants May 2011 B	2011/05/24	2011/05/31
26766	Credit Suisse AG – HKEx European Warrants May 2011 F	2011/05/24	2011/05/31
27730	Credit Suisse AG – HSBC European Wts May 2011 B	2011/05/24	2011/05/31
28323	Credit Suisse AG – HSI European Put Warrants May 2011 C	2011/05/24	2011/05/31
28837	Credit Suisse AG – HSI European Put Warrants May 2011 D	2011/05/24	2011/05/31
28838	Credit Suisse AG – HSI European Put Warrants May 2011 E	2011/05/24	2011/05/31
28918	Credit Suisse AG – HSI European Put Warrants May 2011 G	2011/05/24	2011/05/31
28834	Credit Suisse AG – HSI European Warrants May 2011 A	2011/05/24	2011/05/31
28835	Credit Suisse AG – HSI European Warrants May 2011 B	2011/05/24	2011/05/31
28914	Credit Suisse AG – HSI European Warrants May 2011 F	2011/05/24	2011/05/31
26269	Credit Suisse AG – Hutchison European Warrants May 2011 A	2011/05/24	2011/05/31
26855	Credit Suisse AG – Hutchison European Warrants May 2011 B	2011/05/24	2011/05/31
26902	Credit Suisse AG – Hutchison European Warrants May 2011 D	2011/05/24	2011/05/31
27818	Credit Suisse AG – Hutchison European Warrants May 2011 F	2011/05/24	2011/05/31
28455	Credit Suisse AG – ICBC European Warrants May 2011 C	2011/05/24	2011/05/31
27169	Credit Suisse AG – Tencent Holdings European Put Wt May2011D	2011/05/24	2011/05/31
25621	Credit Suisse AG – Tencent Holdings European Wts May 2011 B	2011/05/24	2011/05/31
25890	Credit Suisse AG – Tencent Holdings European Wts May 2011 C	2011/05/24	2011/05/31
24863	Deutsche Bank AG – Dongfeng Motor European Warrants May 11	2011/05/24	2011/05/31
27070	Deutsche Bank AG – AIA European Warrants May 2011 B	2011/05/24	2011/05/31
27071	Deutsche Bank AG – AIA European Warrants May 2011 C	2011/05/24	2011/05/31
27279	Deutsche Bank AG – BOC HK European Warrants May 2011	2011/05/24	2011/05/31
28389	Deutsche Bank AG – CC Bank European Warrants May 2011 C	2011/05/24	2011/05/31
27277	Deutsche Bank AG – China Mobile European Warrants May 2011 B	2011/05/24	2011/05/31
26118	Deutsche Bank AG – Geely Auto European Warrants May 2011 A	2011/05/24	2011/05/31
26748	Deutsche Bank AG – Geely Auto European Warrants May 2011 C	2011/05/24	2011/05/31
24888	Deutsche Bank AG – HKEx European Put Warrants May 2011 A	2011/05/24	2011/05/31
25314	Deutsche Bank AG – HKEx European Warrants May 2011 C	2011/05/24	2011/05/31
27314	Deutsche Bank AG – HKEx European Warrants May 2011 F	2011/05/24	2011/05/31
26703	Deutsche Bank AG – HSI European Put Warrants May 2011 A	2011/05/24	2011/05/31
26704	Deutsche Bank AG – HSI European Put Warrants May 2011 B	2011/05/24	2011/05/31
27096	Deutsche Bank AG – HSI European Put Warrants May 2011 E	2011/05/24	2011/05/31
26562	Deutsche Bank AG – HSI European Warrants May 2011 C	2011/05/24	2011/05/31
27092	Deutsche Bank AG – HSI European Warrants May 2011 D	2011/05/24	2011/05/31
27186	Deutsche Bank AG – HSI European Warrants May 2011 F	2011/05/24	2011/05/31
27188	Deutsche Bank AG – HSI European Warrants May 2011 G	2011/05/24	2011/05/31
28232	Deutsche Bank AG – Hutchison European Warrants May 2011 B	2011/05/24	2011/05/31
28141	Deutsche Bank AG – Jiangxi Copper European Warrants May 2011	2011/05/24	2011/05/31
26389	Deutsche Bank AG – Maanshan Iron European Warrants May 2011	2011/05/24	2011/05/31
26227	Deutsche Bank AG – MTRC European Warrants May 2011 B	2011/05/24	2011/05/31
25238	Deutsche Bank AG – R&F Ppt European Warrants May 2011	2011/05/24	2011/05/31
24517	Deutsche Bank AG – SHK Ppt European Put Warrants May 2011	2011/05/24	2011/05/31
27424	Deutsche Bank AG – Tencent Holdings European Wts May 2011 B	2011/05/24	2011/05/31
27076	Goldman Sachs SP (Asia) – CC Bank European Wts May 2011 B	2011/05/24	2011/05/31
27078	Goldman Sachs SP (Asia) – China Life Euro Wts May 2011 B	2011/05/24	2011/05/31
27057	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2011	2011/05/24	2011/05/31
27127	Goldman Sachs SP (Asia) – HKEx European Warrants May 2011	2011/05/24	2011/05/31
27061	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 C	2011/05/24	2011/05/31
26547	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 C	2011/05/24	2011/05/31
27320	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 D	2011/05/24	2011/05/31
27384	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 E	2011/05/24	2011/05/31
27177	Goldman Sachs SP (Asia) – HSI European Warrants May 2011 A	2011/05/24	2011/05/31
27243	Goldman Sachs SP (Asia) – HSI European Warrants May 2011 B	2011/05/24	2011/05/31
28150	Goldman Sachs SP (Asia) – Hutchison European Wts May 2011 B	2011/05/24	2011/05/31
28311	Goldman Sachs SP (Asia) – Hutchison European Wts May 2011 C	2011/05/24	2011/05/31
28402	Goldman Sachs SP (Asia) – Hutchison European Wts May 2011 D	2011/05/24	2011/05/31
28432	Goldman Sachs SP (Asia) – SHK Ppt European Warrants May 2011	2011/05/24	2011/05/31
27130	Goldman Sachs SP (Asia) –Tencent Holdings European Wt May 11	2011/05/24	2011/05/31
26777	HK Bank – BOC HK European Warrants May 2011	2011/05/24	2011/05/31
26462	HK Bank – HSI European Put Warrants May 2011 E	2011/05/24	2011/05/31
27103	HK Bank – HSI European Put Warrants May 2011 F	2011/05/24	2011/05/31
27104	HK Bank – HSI European Put Warrants May 2011 G	2011/05/24	2011/05/31
24618	HK Bank – HSI European Warrants May 2011 A	2011/05/24	2011/05/31
26456	HK Bank – HSI European Warrants May 2011 B	2011/05/24	2011/05/31
27098	HK Bank – HSI European Warrants May 2011 C	2011/05/24	2011/05/31
27099	HK Bank – HSI European Warrants May 2011 D	2011/05/24	2011/05/31
27097	HK Bank – ICBC European Warrants May 2011	2011/05/24	2011/05/31
26804	J P Morgan SP BV – Agricultural Bk European Warrants May 11	2011/05/24	2011/05/31
27101	J P Morgan SP BV – AIA European Warrants May 2011	2011/05/24	2011/05/31
26754	J P Morgan SP BV – BOC HK European Warrants May 2011	2011/05/24	2011/05/31
17851	J P Morgan SP BV – BYD European Warrants May 2011	2011/05/24	2011/05/31
24138	J P Morgan SP BV – Cathay Pacific European Warrants May 2011	2011/05/24	2011/05/31
27152	J P Morgan SP BV – Ch Overseas European Warrants May 2011	2011/05/24	2011/05/31
28671	J P Morgan SP BV – China Life European Warrants May 2011 B	2011/05/24	2011/05/31
27775	J P Morgan SP BV – China Mob European Wts May 2011	2011/05/24	2011/05/31
26757	J P Morgan SP BV – CPIC European Warrants May 2011	2011/05/24	2011/05/31
26440	J P Morgan SP BV – HSI European Put Warrants May 2011	2011/05/24	2011/05/31
26436	J P Morgan SP BV – HSI European Warrants May 2011	2011/05/24	2011/05/31
26682	J P Morgan SP BV – JX Copper European Warrants May 2011	2011/05/24	2011/05/31
27102	J P Morgan SP BV – Ping An European Warrants May 2011	2011/05/24	2011/05/31
26860	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts May 2011 A	2011/05/24	2011/05/31
26940	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts May 2011 B	2011/05/24	2011/05/31
26859	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts May 2011	2011/05/24	2011/05/31
26611	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 D	2011/05/24	2011/05/31
27760	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 E	2011/05/24	2011/05/31
27761	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 F	2011/05/24	2011/05/31
27762	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 G	2011/05/24	2011/05/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26607	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 A	2011/05/24	2011/05/31
26941	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 B	2011/05/24	2011/05/31
27751	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 C	2011/05/24	2011/05/31
27845	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 H	2011/05/24	2011/05/31
27671	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 C	2011/05/24	2011/05/31
26579	Macquarie Bank Ltd. – Agricultural Bk European Put Wt May 11	2011/05/24	2011/05/31
17994	Macquarie Bank Ltd. – Air China European Warrants May 2011	2011/05/24	2011/05/31
24222	Macquarie Bank Ltd. – Alibaba European Warrants May 2011	2011/05/24	2011/05/31
26377	Macquarie Bank Ltd. – Avichina European Warrants May 2011	2011/05/24	2011/05/31
25924	Macquarie Bank Ltd. – CPIC European Warrants May 2011	2011/05/24	2011/05/31
26438	Macquarie Bank Ltd. – Evergrande European Warrants May 2011	2011/05/24	2011/05/31
25354	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011A	2011/05/24	2011/05/31
22902	Macquarie Bank Ltd. – HSBC European Warrants May 2011	2011/05/24	2011/05/31
26044	Macquarie Bank Ltd. – HSCEI European Put Warrants May 2011	2011/05/24	2011/05/31
25922	Macquarie Bank Ltd. – HSCEI European Warrants May 2011	2011/05/24	2011/05/31
26542	Macquarie Bank Ltd. – HSI European Put Warrants May 2011	2011/05/24	2011/05/31
26538	Macquarie Bank Ltd. – HSI European Warrants May 2011	2011/05/24	2011/05/31
26379	Macquarie Bank Ltd. – LOccitane European Warrants May 2011	2011/05/24	2011/05/31
26380	Macquarie Bank Ltd. – Sands China European Warrants May 2011	2011/05/24	2011/05/31
26818	Macquarie Bank Ltd. – WISE CSI European Warrants May 2011	2011/05/24	2011/05/31
26574	Macquarie Bank Ltd. –Agricultural Bk European Warrants May11	2011/05/24	2011/05/31
25351	Macquarie Bank Ltd. –GCL-Poly Energy European Warrants May11	2011/05/24	2011/05/31
26378	Macquarie Bank Ltd. –Greatwall Motor European Warrants May11	2011/05/24	2011/05/31
27853	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts May 11B	2011/05/24	2011/05/31
17396	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 B	2011/05/24	2011/05/31
25998	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts May 2011	2011/05/24	2011/05/31
28844	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2011 B	2011/05/24	2011/05/31
28543	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts May 11H	2011/05/24	2011/05/31
27297	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts May11E	2011/05/24	2011/05/31
27298	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts May11F	2011/05/24	2011/05/31
27299	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts May11G	2011/05/24	2011/05/31
26159	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants May11A	2011/05/24	2011/05/31
26512	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants May11B	2011/05/24	2011/05/31
27292	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants May11C	2011/05/24	2011/05/31
27293	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants May11D	2011/05/24	2011/05/31
27558	Nomura Int'l plc – HSI European Put Warrants May 2011 C	2011/05/24	2011/05/31
27559	Nomura Int'l plc – HSI European Put Warrants May 2011 D	2011/05/24	2011/05/31
27549	Nomura Int'l plc – HSI European Warrants May 2011 A	2011/05/24	2011/05/31
27550	Nomura Int'l plc – HSI European Warrants May 2011 B	2011/05/24	2011/05/31
28708	RB of Scotland N.V. – HSI European Put Warrants May 2011	2011/05/24	2011/05/31
26980	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11B	2011/05/24	2011/05/31
26787	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011A	2011/05/24	2011/05/31
26788	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011B	2011/05/24	2011/05/31
26790	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011C	2011/05/24	2011/05/31
27680	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2011B	2011/05/24	2011/05/31
26008	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt May 11B	2011/05/24	2011/05/31
26662	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 C	2011/05/24	2011/05/31
27121	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 H	2011/05/24	2011/05/31
22968	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 A	2011/05/24	2011/05/31
26632	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2011A	2011/05/24	2011/05/31
26633	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2011B	2011/05/24	2011/05/31
26573	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11D	2011/05/24	2011/05/31
27016	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11E	2011/05/24	2011/05/31
27017	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11F	2011/05/24	2011/05/31
27123	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11H	2011/05/24	2011/05/31
26568	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 A	2011/05/24	2011/05/31
27012	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 B	2011/05/24	2011/05/31
27013	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 C	2011/05/24	2011/05/31
27115	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 G	2011/05/24	2011/05/31
27679	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 I	2011/05/24	2011/05/31
26363	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2011 A	2011/05/24	2011/05/31
26959	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2011 B	2011/05/24	2011/05/31
27263	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts May 11	2011/05/24	2011/05/31
26664	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2011B	2011/05/24	2011/05/31
25483	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 11	2011/05/24	2011/05/31
26673	SGA Societe Generale Acceptance N.V. –CITIC Pac Eu Wts May11	2011/05/24	2011/05/31
25831	SGA Societe Generale Acceptance N.V. –CP&CC Eur Wt May 2011A	2011/05/24	2011/05/31
26634	SGA Societe Generale Acceptance N.V. –HSCEI Eu Put Wt May 11	2011/05/24	2011/05/31
27027	Standard Chartered Bank – AIA European Warrants May 2011	2011/05/24	2011/05/31
23020	UBS AG – BYD European Warrants May 2011 A	2011/05/24	2011/05/31
26827	UBS AG – CC Bank European Warrants May 2011 B	2011/05/24	2011/05/31
27441	UBS AG – CC Bank European Warrants May 2011 C	2011/05/24	2011/05/31
28419	UBS AG – China Life European Warrants May 2011 B	2011/05/24	2011/05/31
27443	UBS AG – China Mobile European Warrants May 2011 B	2011/05/24	2011/05/31
25794	UBS AG – Henderson Land European Warrants May 2011	2011/05/24	2011/05/31
25310	UBS AG – HKEx European Warrants May 2011 A	2011/05/24	2011/05/31
25651	UBS AG – HKEx European Warrants May 2011 B	2011/05/24	2011/05/31
26878	UBS AG – HKEx European Warrants May 2011 H	2011/05/24	2011/05/31
27440	UBS AG – HSBC European Warrants May 2011	2011/05/24	2011/05/31
26060	UBS AG – HSI European Put Warrants May 2011 D	2011/05/24	2011/05/31
27414	UBS AG – HSI European Put Warrants May 2011 E	2011/05/24	2011/05/31
27956	UBS AG – HSI European Put Warrants May 2011 G	2011/05/24	2011/05/31
28038	UBS AG – HSI European Put Warrants May 2011 J	2011/05/24	2011/05/31
28884	UBS AG – HSI European Put Warrants May 2011 K	2011/05/24	2011/05/31
25752	UBS AG – HSI European Warrants May 2011 A	2011/05/24	2011/05/31
25903	UBS AG – HSI European Warrants May 2011 B	2011/05/24	2011/05/31
26561	UBS AG – HSI European Warrants May 2011 C	2011/05/24	2011/05/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27952	UBS AG – HSI European Warrants May 2011 F	2011/05/24	2011/05/31
28033	UBS AG – HSI European Warrants May 2011 H	2011/05/24	2011/05/31
28034	UBS AG – HSI European Warrants May 2011 I	2011/05/24	2011/05/31
28386	UBS AG – Hutchison European Warrants May 2011	2011/05/24	2011/05/31
25522	UBS AG – Tencent Holdings European Warrants May 2011	2011/05/24	2011/05/31
26041	BOCI Asia Ltd. – Belle Int'l European Warrants May 2011 B	2011/05/25	2011/06/01
25815	BOCI Asia Ltd. – Evergrande European Warrants May 2011	2011/05/25	2011/06/01
23726	BOCI Asia Ltd. – Tracker Fund European Warrants May 2011	2011/05/25	2011/06/01
28988	CC Rabobank B.A. – Cheung Kong European Warrants May 2011	2011/05/25	2011/06/01
27866	CC Rabobank B.A. – Esprit European Warrants May 2011	2011/05/25	2011/06/01
18239	CC Rabobank B.A. – HSBC European Warrants May 2011 A	2011/05/25	2011/06/01
27869	CC Rabobank B.A. – ICBC European Warrants May 2011	2011/05/25	2011/06/01
26910	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts May 2011 D	2011/05/25	2011/06/01
26872	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts May 11	2011/05/25	2011/06/01
28252	Credit Suisse AG – Esprit European Warrants May 2011	2011/05/25	2011/06/01
26856	Credit Suisse AG – Hutchison European Warrants May 2011 C	2011/05/25	2011/06/01
28526	Credit Suisse AG – Tencent Holdings European Put Wts May 11E	2011/05/25	2011/06/01
25494	Credit Suisse AG – Tencent Holdings European Wts May 2011 A	2011/05/25	2011/06/01
28684	Credit Suisse AG – Yanzhou Coal European Warrants May 2011	2011/05/25	2011/06/01
26686	Deutsche Bank AG – CNBM European Warrants May 2011	2011/05/25	2011/06/01
19386	Deutsche Bank AG – HSBC European Warrants May 2011 A	2011/05/25	2011/06/01
23037	HK Bank -BYD European Warrants May 2011	2011/05/25	2011/06/01
22346	J P Morgan SP BV – Sino-Ocean Land European Warrants May 11	2011/05/25	2011/06/01
26371	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 E	2011/05/25	2011/06/01
15201	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 A	2011/05/25	2011/06/01
28339	Nomura Int'l plc – Cheung Kong European Warrants May 2011	2011/05/25	2011/06/01
27052	Nomura Int'l plc – China Life European Put Warrants May 2011	2011/05/25	2011/06/01
26894	Nomura Int'l plc – China Life European Warrants May 2011	2011/05/25	2011/06/01
28070	Nomura Int'l plc – Hutchison European Warrants May 2011	2011/05/25	2011/06/01
26886	Nomura Int'l plc – JIANG European Warrants May 2011 A	2011/05/25	2011/06/01
27036	Nomura Int'l plc – JIANG European Warrants May 2011 B	2011/05/25	2011/06/01
28072	Nomura Int'l plc – JIANG European Warrants May 2011 C	2011/05/25	2011/06/01
27051	Nomura Int'l plc – Jiangxi Copper European Put Warrants May11	2011/05/25	2011/06/01
10017	RB of Scotland N.V. – Gold European Warrants May 2011	2011/05/25	2011/06/01
27054	UBS AG – AIA European Warrants May 2011 A	2011/05/25	2011/06/01
26639	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jun 2011	2011/05/26	2011/06/02
26063	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2011 B	2011/05/26	2011/06/02
25738	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Jun 11	2011/05/26	2011/06/02
25097	BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Jun11	2011/05/26	2011/06/02
27302	BOCI Asia Ltd. – CPIC European Warrants Jun 2011	2011/05/26	2011/06/02
26354	CC Rabobank B.A. – Fushan Energy European Warrants Jun 2011	2011/05/26	2011/06/02
17277	CC Rabobank B.A. – HSBC European Warrants Jun 2011	2011/05/26	2011/06/02
27390	Citigroup Global Mkt H Inc. – CNOOC European Wts Jun 2011 B	2011/05/26	2011/06/02
26938	Citigroup Global Mkt H Inc. – HS Bank European Wts Jun 2011	2011/05/26	2011/06/02
23962	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Jun 2011	2011/05/26	2011/06/02
28062	Credit Suisse AG – CNOOC European Warrants Jun 2011 C	2011/05/26	2011/06/02
27093	Deutsche Bank AG – AIA European Warrants Jun 2011	2011/05/26	2011/06/02
27322	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2011	2011/05/26	2011/06/02
27505	J P Morgan SP BV – CNOOC European Warrants Jun 2011 C	2011/05/26	2011/06/02
26401	KBC Fin Products Int'l Ltd. – AIA European Warrants Jun2011A	2011/05/26	2011/06/02
24801	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Jun 2011	2011/05/26	2011/06/02
27667	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2011 B	2011/05/26	2011/06/02
27668	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2011 A	2011/05/26	2011/06/02
26991	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Jun 2011	2011/05/26	2011/06/02
28678	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jun 2011 B	2011/05/26	2011/06/02
24572	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Jun 2011	2011/05/26	2011/06/02
27690	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 B	2011/05/26	2011/06/02
23217	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Jun 2011	2011/05/26	2011/06/02
25566	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2011 A	2011/05/26	2011/06/02
25193	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2011 C	2011/05/26	2011/06/02
28068	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2011 D	2011/05/26	2011/06/02
23805	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jun 11C	2011/05/26	2011/06/02
26555	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jun 11A	2011/05/26	2011/06/02
26988	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jun 2011	2011/05/26	2011/06/02
23345	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 A	2011/05/26	2011/06/02
26139	KBC Fin Products Int'l Ltd. – MTRC European Wts Jun 2011	2011/05/26	2011/06/02
24106	KBC Fin Products Int'l Ltd. – New World European Wts Jun11 A	2011/05/26	2011/06/02
22919	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jun 2011A	2011/05/26	2011/06/02
27967	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jun11D	2011/05/26	2011/06/02
26931	KBC Fin Products Int'l Ltd. -CMB European Put Warrants Jun11	2011/05/26	2011/06/02
24202	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jun11A	2011/05/26	2011/06/02
26578	Macquarie Bank Ltd. – Wharf European Warrants Jun 2011	2011/05/26	2011/06/02
27990	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Jun 2011	2011/05/26	2011/06/02
28008	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2011 A	2011/05/26	2011/06/02
28127	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2011 B	2011/05/26	2011/06/02
27419	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011C	2011/05/26	2011/06/02
26480	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jun 11A	2011/05/26	2011/06/02
26800	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun11B	2011/05/26	2011/06/02
25479	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 B	2011/05/26	2011/06/02
28130	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 E	2011/05/26	2011/06/02
24424	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jun 2011	2011/05/26	2011/06/02
27082	SGA Societe Generale Acceptance N.V. -AIA European Wt Jun11A	2011/05/26	2011/06/02
27083	SGA Societe Generale Acceptance N.V. -AIA European Wt Jun11B	2011/05/26	2011/06/02
26881	Standard Chartered Bank – CC Bank European Warrants Jun 11B	2011/05/26	2011/06/02
28426	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jun 2011	2011/05/27	2011/06/03
20267	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2011 A	2011/05/27	2011/06/03
16298	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2011 A	2011/05/27	2011/06/03

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28152	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2011 C	2011/05/27	2011/06/03
27380	CC Rabobank B.A. – CNOOC European Warrants Jun 2011 B	2011/05/27	2011/06/03
27151	HK Bank – Esprit European Warrants Jun 2011 A	2011/05/27	2011/06/03
27183	J P Morgan SP BV – China Mob European Wts Jun 2011 A	2011/05/27	2011/06/03
27184	J P Morgan SP BV – Lenovo European Warrants Jun 2011 A	2011/05/27	2011/06/03
27153	J P Morgan SP BV – Tencent European Warrants Jun 2011 A	2011/05/27	2011/06/03
26866	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2011 A	2011/05/27	2011/06/03
27064	Macquarie Bank Ltd. – AIA European Warrants Jun 2011	2011/05/27	2011/06/03
25253	Macquarie Bank Ltd. – Angang Steel European Wts Jun 2011	2011/05/27	2011/06/03
26668	Macquarie Bank Ltd. – BankComm European Warrants Jun 2011	2011/05/27	2011/06/03
23966	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 A	2011/05/27	2011/06/03
26432	Macquarie Bank Ltd. – Ch Oilfield European Warrants Jun 2011	2011/05/27	2011/06/03
26598	Macquarie Bank Ltd. – China Mobile European Wts Jun 2011 A	2011/05/27	2011/06/03
25943	Macquarie Bank Ltd. – China Shenhua European Wts Jun 2011	2011/05/27	2011/06/03
26441	Macquarie Bank Ltd. – CITIC Pacific European Warrants Jun 11	2011/05/27	2011/06/03
26165	Macquarie Bank Ltd. – CM Bank European Put Warrants Jun 2011	2011/05/27	2011/06/03
26161	Macquarie Bank Ltd. – CM Bank European Warrants Jun 2011	2011/05/27	2011/06/03
25297	Macquarie Bank Ltd. – CNOOC European Put Warrants Jun 2011	2011/05/27	2011/06/03
26737	Macquarie Bank Ltd. – HKEx European Put Warrants Jun 2011	2011/05/27	2011/06/03
26733	Macquarie Bank Ltd. – HKEx European Warrants Jun 2011 A	2011/05/27	2011/06/03
26849	Macquarie Bank Ltd. – HKEx European Warrants Jun 2011 B	2011/05/27	2011/06/03
28058	Macquarie Bank Ltd. – HSBC European Warrants Jun 2011 A	2011/05/27	2011/06/03
24045	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2011 A	2011/05/27	2011/06/03
25702	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2011 B	2011/05/27	2011/06/03
26180	Macquarie Bank Ltd. – Jiangxi Copper European Put Wts Jun11	2011/05/27	2011/06/03
22304	Macquarie Bank Ltd. – R&F Ppt European Warrants Jun 2011	2011/05/27	2011/06/03
26163	Macquarie Bank Ltd. – Sinopharm European Warrants Jun 2011	2011/05/27	2011/06/03
24633	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11A	2011/05/27	2011/06/03
25785	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11B	2011/05/27	2011/06/03
25865	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11C	2011/05/27	2011/06/03
25536	Macquarie Bank Ltd. – Tencent European Warrants Jun 2011	2011/05/27	2011/06/03
22804	RB of Scotland N.V. – HSBC European Warrants Jun 2011 A	2011/05/27	2011/06/03
26548	RB of Scotland N.V. – HWL European Warrants Jun 2011 A	2011/05/27	2011/06/03
27396	Standard Chartered Bank – China Coal European Warrants Jun11	2011/05/27	2011/06/03
27778	Standard Chartered Bank – HSBC European Warrants Jun 2011 C	2011/05/27	2011/06/03
21707	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Jun 2011	2011/05/30	2011/06/07
18617	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2011 B	2011/05/30	2011/06/07
26361	BOCI Asia Ltd. – Sinopharm European Warrants Jun 2011	2011/05/30	2011/06/07
24327	CC Rabobank B.A. – A50 China European Warrants Jun 2011 A	2011/05/30	2011/06/07
26764	CC Rabobank B.A. – AIA European Warrants Jun 2011	2011/05/30	2011/06/07
27598	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2011	2011/05/30	2011/06/07
27191	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2011	2011/05/30	2011/06/07
27190	CC Rabobank B.A. – China Dongxiang European Warrants Jun 11	2011/05/30	2011/06/07
27192	CC Rabobank B.A. – Evergrande European Warrants Jun 2011	2011/05/30	2011/06/07
27193	CC Rabobank B.A. – Shanghai Electric European Warrants Jun11	2011/05/30	2011/06/07
24368	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011 A	2011/05/30	2011/06/07
26367	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jun 2011	2011/05/30	2011/06/07
27348	Citigroup Global Mkt H Inc. – CNBM European Warrants Jun 11B	2011/05/30	2011/06/07
29163	Credit Suisse AG – HSBC European Wts Jun 2011 C	2011/05/30	2011/06/07
27524	Deutsche Bank AG – HSBC European Warrants Jun 2011 F	2011/05/30	2011/06/07
28309	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2011 A	2011/05/30	2011/06/07
28240	HK Bank – China Life European Warrants Jun 2011 A	2011/05/30	2011/06/07
27196	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2011 A	2011/05/30	2011/06/07
27197	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2011 B	2011/05/30	2011/06/07
27194	J P Morgan SP BV – Agricultural Bk European Warrants Jun 11A	2011/05/30	2011/06/07
23220	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2011 A	2011/05/30	2011/06/07
26713	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jun 2011	2011/05/30	2011/06/07
26769	KBC Fin Products Int'l Ltd. – BYD European Warrants Jun 2011	2011/05/30	2011/06/07
27669	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2011 B	2011/05/30	2011/06/07
26978	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jun 2011	2011/05/30	2011/06/07
26770	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jun 2011 A	2011/05/30	2011/06/07
26929	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 A	2011/05/30	2011/06/07
25771	KBC Fin Products Int'l Ltd. – CMB European Warrants Jun 2011	2011/05/30	2011/06/07
26942	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 C	2011/05/30	2011/06/07
24113	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt Jun11	2011/05/30	2011/06/07
23470	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 A	2011/05/30	2011/06/07
23522	Macquarie Bank Ltd. – BYD European Warrants Jun 2011 B	2011/05/30	2011/06/07
26789	Macquarie Bank Ltd. – CC Bank European Put Warrants Jun 2011	2011/05/30	2011/06/07
25858	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2011 A	2011/05/30	2011/06/07
26785	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2011 B	2011/05/30	2011/06/07
26245	Macquarie Bank Ltd. – China Life European Put Wts Jun 2011	2011/05/30	2011/06/07
26541	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Jun 2011	2011/05/30	2011/06/07
26833	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 11E	2011/05/30	2011/06/07
26717	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 F	2011/05/30	2011/06/07
24709	Nomura Int'l plc – A50 China European Warrants Jun 2011 B	2011/05/30	2011/06/07
28510	RB of Scotland N.V. – HSBC European Warrants Jun 2011 D	2011/05/30	2011/06/07
26995	RB of Scotland N.V. – Tencent Holdings European Wts Jun 11C	2011/05/30	2011/06/07
26653	Standard Chartered Bank – China Mobile European Wts Jun2011A	2011/05/30	2011/06/07
25538	Barclays Bank plc – BOCL European Warrants Jun 2011	2011/05/31	2011/06/08
20475	Barclays Bank plc – BYD European Warrants Jun 2011	2011/05/31	2011/06/08
26209	Barclays Bank plc – China Mobile European Wts Jun 2011	2011/05/31	2011/06/08
25541	Barclays Bank plc – China Shenhua European Warrants Jun 2011	2011/05/31	2011/06/08
26719	Barclays Bank plc – HSBC European Warrants Jun 2011	2011/05/31	2011/06/08
22879	BNP Paribas Arbit Issu B.V. – China Overseas Euro Wts Jun 11	2011/05/31	2011/06/08
21416	BNP Paribas Arbit Issu B.V. – Sino-Ocean Land Euro Wts Jun11	2011/05/31	2011/06/08
26281	BOCI Asia Ltd. – Dongfeng Motor European Warrants Jun 2011	2011/05/31	2011/06/08
26730	BOCI Asia Ltd. – HSBC European Warrants Jun 2011	2011/05/31	2011/06/08

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27091	BOCI Asia Ltd. – Yurun Food European Warrants Jun 2011 B	2011/05/31	2011/06/08
26340	Citigroup Global Mkt H Inc. – BOCL European Wts Jun 2011	2011/05/31	2011/06/08
27290	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jun 2011B	2011/05/31	2011/06/08
24933	Citigroup Global Mkt H Inc. – CNBM European Warrants Jun 11A	2011/05/31	2011/06/08
24982	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Jun 2011	2011/05/31	2011/06/08
26169	Citigroup Global Mkt H Inc. – CPIC European Warrants Jun 11	2011/05/31	2011/06/08
26091	Citigroup Global Mkt H Inc.-Henderson Land Dev Eur Wts Jun11	2011/05/31	2011/06/08
24427	Credit Suisse AG – A50 China European Warrants Jun 2011 A	2011/05/31	2011/06/08
26599	Credit Suisse AG – Cheung Kong European Warrants Jun 2011 A	2011/05/31	2011/06/08
27635	Credit Suisse AG – China Coal European Warrants Jun 2011	2011/05/31	2011/06/08
28100	Credit Suisse AG – Hutchison European Warrants Jun 2011 B	2011/05/31	2011/06/08
29164	Credit Suisse AG – Jiangxi Copper European Wts Jun 2011 B	2011/05/31	2011/06/08
28257	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2011 A	2011/05/31	2011/06/08
28840	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2011 B	2011/05/31	2011/06/08
24448	Deutsche Bank AG – Dongfeng Motor European Warrants Jun 11	2011/05/31	2011/06/08
23355	Deutsche Bank AG – A50 China European Warrants Jun 2011 A	2011/05/31	2011/06/08
24887	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 A	2011/05/31	2011/06/08
28206	Deutsche Bank AG – Anhui Conch European Warrants Jun 2011	2011/05/31	2011/06/08
28105	Deutsche Bank AG – BankComm European Warrants Jun 2011	2011/05/31	2011/06/08
27386	Deutsche Bank AG – BOCL European Warrants Jun 2011	2011/05/31	2011/06/08
27432	Deutsche Bank AG – China Coal European Warrants Jun 2011 B	2011/05/31	2011/06/08
27110	Deutsche Bank AG – China Life European Warrants Jun 2011 A	2011/05/31	2011/06/08
27112	Deutsche Bank AG – China Life European Warrants Jun 2011 C	2011/05/31	2011/06/08
22755	Deutsche Bank AG – China Mobile European Put Wts Jun 2011	2011/05/31	2011/06/08
26225	Deutsche Bank AG – CITIC Pacific European Warrants Jun 2011	2011/05/31	2011/06/08
28060	Deutsche Bank AG – CNOOC European Warrants Jun 2011 B	2011/05/31	2011/06/08
27171	Deutsche Bank AG – HSBC European Warrants Jun 2011 C	2011/05/31	2011/06/08
27172	Deutsche Bank AG – HSBC European Warrants Jun 2011 D	2011/05/31	2011/06/08
27173	Deutsche Bank AG – HSBC European Warrants Jun 2011 E	2011/05/31	2011/06/08
26751	Deutsche Bank AG – Jiangxi Copper European Warrants Jun 2011	2011/05/31	2011/06/08
26554	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011 B	2011/05/31	2011/06/08
28903	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2011 B	2011/05/31	2011/06/08
27125	Goldman Sachs SP (Asia) – BOCL European Warrants Jun 2011	2011/05/31	2011/06/08
27059	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2011 A	2011/05/31	2011/06/08
28260	Goldman Sachs SP (Asia) – CPIC European Warrants Jun 2011	2011/05/31	2011/06/08
28310	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2011 B	2011/05/31	2011/06/08
27128	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2011A	2011/05/31	2011/06/08
27227	Goldman Sachs SP (Asia) – Ping An European Warrants Jun 2011	2011/05/31	2011/06/08
28741	Goldman Sachs SP (Asia) – Wharf European Warrants Jun 2011	2011/05/31	2011/06/08
27229	J P Morgan SP BV – Anta Sports European Warrants Jun 2011	2011/05/31	2011/06/08
27234	J P Morgan SP BV – BEA European Warrants Jun 2011	2011/05/31	2011/06/08
27230	J P Morgan SP BV – Cathay Pacific European Warrants Jun 2011	2011/05/31	2011/06/08
27007	J P Morgan SP BV – China Life European Warrants Jun 2011 A	2011/05/31	2011/06/08
21588	J P Morgan SP BV – China Unicom European Warrants Jun 2011	2011/05/31	2011/06/08
27233	J P Morgan SP BV – Esprit European Wts Jun 2011 B	2011/05/31	2011/06/08
28115	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 F	2011/05/31	2011/06/08
24406	Macquarie Bank Ltd. – Belle Int'l European Warrants Jun 2011	2011/05/31	2011/06/08
26580	Macquarie Bank Ltd. – Cheung Kong European Put Wts Jun 2011	2011/05/31	2011/06/08
25859	Macquarie Bank Ltd. – CNBM European Warrants Jun 2011 B	2011/05/31	2011/06/08
24691	Macquarie Bank Ltd. – Golden Eagle European Warrants Jun 11	2011/05/31	2011/06/08
23397	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 A	2011/05/31	2011/06/08
19136	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Jun 11	2011/05/31	2011/06/08
28847	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 11H	2011/05/31	2011/06/08
28168	Merrill Lynch Int'l & Co. CV-Agricultural Bk Eu Put Wt Jun11	2011/05/31	2011/06/08
28342	Nomura Int'l plc – Wharf European Warrants Jun 2011	2011/05/31	2011/06/08
26137	RB of Scotland N.V. – HKEx European Warrants Jun 2011 B	2011/05/31	2011/06/08
26138	RB of Scotland N.V. – ICBC European Warrants Jun 2011 A	2011/05/31	2011/06/08
27249	RB of Scotland N.V. – ICBC European Warrants Jun 2011 C	2011/05/31	2011/06/08
28159	UBS AG – CC Bank European Warrants Jun 2011 A	2011/05/31	2011/06/08
28574	UBS AG – CC Bank European Warrants Jun 2011 B	2011/05/31	2011/06/08
27444	UBS AG – China Life European Warrants Jun 2011 B	2011/05/31	2011/06/08
21348	UBS AG – China Mobile European Put Warrants Jun 2011	2011/05/31	2011/06/08
18267	UBS AG – HSBC European Warrants Jun 2011 A	2011/05/31	2011/06/08
29216	UBS AG – HSBC European Warrants Jun 2011 F	2011/05/31	2011/06/08
28881	UBS AG – ICBC European Warrants Jun 2011 C	2011/05/31	2011/06/08
27445	UBS AG – Jiangxi Copper European Warrants Jun 2011 B	2011/05/31	2011/06/08
27189	CC Rabobank B.A. – Agile Ppt European Warrants Jun 2011	2011/06/01	2011/06/09
26844	CC Rabobank B.A. – C Transmission European Warrants Jun2011A	2011/06/01	2011/06/09
27239	CC Rabobank B.A. – Datang European Warrants Jun 2011	2011/06/01	2011/06/09
27240	CC Rabobank B.A. – Huaneng Power European Warrants Jun 2011	2011/06/01	2011/06/09
27241	CC Rabobank B.A. – Shanghai Ind European Warrants Jun 2011	2011/06/01	2011/06/09
22732	Citigroup Global Mkt H Inc. – BYD European Warrants Jun 11B	2011/06/01	2011/06/09
27067	Citigroup Global Mkt H Inc. – Lenovo European Warrants Jun11	2011/06/01	2011/06/09
27068	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2011	2011/06/01	2011/06/09
10018	Deutsche Bank AG – Gold European Warrants Jun 2011	2011/06/01	2011/06/09
10025	Deutsche Bank AG – Oil Futures European Put Warrants Jun 11	2011/06/01	2011/06/09
10021	Deutsche Bank AG – Oil Futures European Warrants Jun 2011	2011/06/01	2011/06/09
26409	HK Bank – AIA European Warrants Jun 2011	2011/06/01	2011/06/09
26615	HK Bank – Henderson Land Dev European Warrants Jun 2011	2011/06/01	2011/06/09
25822	HK Bank – Yanzhou Coal European Warrants Jun 2011	2011/06/01	2011/06/09
27289	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt Jun 2011	2011/06/01	2011/06/09
27285	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jun 2011	2011/06/01	2011/06/09
26774	KBC Fin Products Int'l Ltd. – BYD European Put Wts Jun 2011	2011/06/01	2011/06/09
26243	KBC Fin Products Int'l Ltd. – Ch Comm Cons Eur Put Wt Jun 11	2011/06/01	2011/06/09
26944	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Jun 2011	2011/06/01	2011/06/09
26933	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Jun 2011	2011/06/01	2011/06/09
26989	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Jun 2011	2011/06/01	2011/06/09



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25805	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Jun 2011	2011/06/01	2011/06/09
24107	KBC Fin Products Int'l Ltd. – Li & Fung European Wts Jun11	2011/06/01	2011/06/09
25463	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jun 2011B	2011/06/01	2011/06/09
25807	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jun 11C	2011/06/01	2011/06/09
25863	Macquarie Bank Ltd. – Ping An European Warrants Jun 2011	2011/06/01	2011/06/09
24869	Macquarie Bank Ltd. – Wynn Macau European Warrants Jun 2011	2011/06/01	2011/06/09
28166	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2011 A	2011/06/01	2011/06/09
17840	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jun 2011 A	2011/06/01	2011/06/09
29111	Nomura Int'l plc – Ping An European Warrants Jun 2011	2011/06/01	2011/06/09
23638	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11A	2011/06/01	2011/06/09
24131	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Jun 2011	2011/06/01	2011/06/09
27258	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 11A	2011/06/01	2011/06/09
27259	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 11B	2011/06/01	2011/06/09
27418	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011B	2011/06/01	2011/06/09
21859	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jun 11	2011/06/01	2011/06/09
27261	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts Jun11	2011/06/01	2011/06/09
27262	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11C	2011/06/01	2011/06/09
27326	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 C	2011/06/01	2011/06/09
28290	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2011 C	2011/06/01	2011/06/09
28626	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2011 B	2011/06/01	2011/06/09
28293	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun 11	2011/06/01	2011/06/09
28408	SGA Societe Generale Acceptance N.V. –AIA European Wt Jun11C	2011/06/01	2011/06/09
27260	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Jun11B	2011/06/01	2011/06/09
24400	Standard Chartered Bank – A50 China European Warrants Jun 11	2011/06/01	2011/06/09
23426	Citigroup Global Mkt H Inc. – China Coal European Wts Jun 11	2011/06/02	2011/06/10
23169	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2011	2011/06/02	2011/06/10
27921	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11G	2011/06/02	2011/06/10
26620	UBS AG – CNOOC European Warrants Jun 2011 B	2011/06/02	2011/06/10
29283	UBS AG – HSBC European Warrants Jun 2011 G	2011/06/02	2011/06/10
17773	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2011	2011/06/03	2011/06/13
24595	CC Rabobank B.A. – China Coal European Warrants Jun 2011 A	2011/06/03	2011/06/13
26296	CC Rabobank B.A. – China Shenhua European Warrants Jun 2011	2011/06/03	2011/06/13
25378	CC Rabobank B.A. – CITIC Pacific European Warrants Jun 2011	2011/06/03	2011/06/13
29082	CC Rabobank B.A. – Hutchison European Warrants Jun 2011	2011/06/03	2011/06/13
26299	CC Rabobank B.A. – Yanzhou Coal European Warrants Jun 2011	2011/06/03	2011/06/13
25953	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Jun11B	2011/06/03	2011/06/13
28251	Credit Suisse AG – Cheung Kong European Warrants Jun 2011 B	2011/06/03	2011/06/13
29286	Credit Suisse AG – HSBC European Wts Jun 2011 D	2011/06/03	2011/06/13
10010	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2011	2011/06/03	2011/06/13
10006	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2011	2011/06/03	2011/06/13
27341	J P Morgan SP BV – Minsheng Bank European Warrants Jun 2011A	2011/06/03	2011/06/13
26205	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2011 B	2011/06/03	2011/06/13
24108	KBC Fin Products Int'l Ltd. – Wharf European Warrants Jun11A	2011/06/03	2011/06/13
24112	KBC Fin Products Int'l Ltd. -HuanengPower Euro Put Wt Jun11	2011/06/03	2011/06/13
25714	KBC Fin Products Int'l Ltd. -Zijin Mining European Wt Jun 11	2011/06/03	2011/06/13
27856	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun 11C	2011/06/03	2011/06/13
26549	RB of Scotland N.V. – ICBC European Warrants Jun 2011 B	2011/06/03	2011/06/13
10808	RB of Scotland N.V. – RICER Index European Warrants Jun 2011	2011/06/03	2011/06/13
21325	Barclays Bank plc – China Mobile European Put Wts Jun 2011	2011/06/07	2011/06/14
23113	Barclays Bank plc – ChiUnicom European Warrants Jun 2011	2011/06/07	2011/06/14
25212	Barclays Bank plc – Hutchison European Warrants Jun 2011	2011/06/07	2011/06/14
24688	BOCI Asia Ltd. – A50 China European Warrants Jun 2011 A	2011/06/07	2011/06/14
24831	BOCI Asia Ltd. – Anta Sports European Warrants Jun 2011	2011/06/07	2011/06/14
24237	BOCI Asia Ltd. – Hutchison European Warrants Jun 2011	2011/06/07	2011/06/14
27438	CC Rabobank B.A. – Maanshan Iron European Wts Jun 2011 B	2011/06/07	2011/06/14
27971	CC Rabobank B.A. – Minsheng Bank European Wts Jun 2011 B	2011/06/07	2011/06/14
28937	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Jun 11B	2011/06/07	2011/06/14
26939	Citigroup Global Mkt H Inc. – Wharf European Wts Jun 2011	2011/06/07	2011/06/14
27738	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 A	2011/06/07	2011/06/14
29225	Credit Suisse AG – BOCL European Warrants Jun 2011 B	2011/06/07	2011/06/14
25766	Credit Suisse AG – CNOOC European Put Warrants Jun 2011 D	2011/06/07	2011/06/14
26696	Credit Suisse AG – HKEx European Warrants Jun 2011 E	2011/06/07	2011/06/14
29291	Credit Suisse AG – Hutchison European Warrants Jun 2011 G	2011/06/07	2011/06/14
28925	Credit Suisse AG – ICBC European Warrants Jun 2011 D	2011/06/07	2011/06/14
29222	Credit Suisse AG – Jiangxi Copper European Wts Jun 2011 C	2011/06/07	2011/06/14
25762	Credit Suisse AG – SHK Ppt European Put Warrants Jun 2011 A	2011/06/07	2011/06/14
25765	Credit Suisse AG – Tencent Holdings European Put Wts Jun 11B	2011/06/07	2011/06/14
25757	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 C	2011/06/07	2011/06/14
22714	Deutsche Bank AG – BYD European Warrants Jun 2011	2011/06/07	2011/06/14
27111	Deutsche Bank AG – China Life European Warrants Jun 2011 B	2011/06/07	2011/06/14
26131	Deutsche Bank AG – CNBM European Warrants Jun 2011	2011/06/07	2011/06/14
27062	Deutsche Bank AG – Ping An European Warrants Jun 2011	2011/06/07	2011/06/14
25797	Deutsche Bank AG – Yanzhou Coal European Warrants Jun 2011 A	2011/06/07	2011/06/14
26689	Deutsche Bank AG – Yanzhou Coal European Warrants Jun 2011 B	2011/06/07	2011/06/14
27325	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Jun 2011	2011/06/07	2011/06/14
26265	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2011 A	2011/06/07	2011/06/14
27382	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011 C	2011/06/07	2011/06/14
27271	Goldman Sachs SP (Asia) – Esprit European Warrants Jun 2011A	2011/06/07	2011/06/14
27270	Goldman Sachs SP (Asia) – Minsheng Bank European Wts Jun11	2011/06/07	2011/06/14
28095	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Jun 2011	2011/06/07	2011/06/14
23791	HK Bank – Henganintl European Warrants Jun 2011	2011/06/07	2011/06/14
18531	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Jun 11	2011/06/07	2011/06/14
23512	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun2011D	2011/06/07	2011/06/14
24215	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun2011A	2011/06/07	2011/06/14
27926	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Jun 2011	2011/06/07	2011/06/14
23509	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Jun 11	2011/06/07	2011/06/14
27465	Nomura Int'l plc – CPIC European Warrants Jun 2011	2011/06/07	2011/06/14

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29011	Nomura Int'l plc – Yanzhou Coal European Warrants Jun 2011	2011/06/07	2011/06/14
23715	RB of Scotland N.V. – A50 China European Warrants Jun 2011 A	2011/06/07	2011/06/14
27356	RB of Scotland N.V. – China Mobile European Wts Jun 2011 A	2011/06/07	2011/06/14
27357	RB of Scotland N.V. – HSBC European Warrants Jun 2011 B	2011/06/07	2011/06/14
27939	RB of Scotland N.V. – Minsheng Bank European Wts Jun 2011	2011/06/07	2011/06/14
26585	SGA Societe Generale Acceptance N.V. – BOCOM Eur Wt Jun 2011	2011/06/07	2011/06/14
28643	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jun 2011	2011/06/07	2011/06/14
27338	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jun 11B	2011/06/07	2011/06/14
24673	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun11A	2011/06/07	2011/06/14
27163	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11B	2011/06/07	2011/06/14
28273	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 F	2011/06/07	2011/06/14
27364	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jun11B	2011/06/07	2011/06/14
27363	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun11B	2011/06/07	2011/06/14
26592	SGA Societe Generale Acceptance N.V.-HuanengPower Eu Wt Jun11	2011/06/07	2011/06/14
26594	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun11A	2011/06/07	2011/06/14
27334	Standard Chartered Bank – AIA European Warrants Jun 2011	2011/06/07	2011/06/14
23021	UBS AG – A50 China European Warrants Jun 2011 A	2011/06/07	2011/06/14
28797	UBS AG – China National Building European Warrants Jun 2011	2011/06/07	2011/06/14
25427	UBS AG – Tencent Holdings European Warrants Jun 2011 A	2011/06/07	2011/06/14
10027	BNP Paribas Arbit Issu B.V. – Gold European Warrants Jun 11	2011/06/08	2011/06/15
28172	Citigroup Global Mkt H Inc. – HSBC European Wts Jun 2011	2011/06/08	2011/06/15
29240	Credit Suisse AG – Sinopec Corp European Warrants Jun 2011	2011/06/08	2011/06/15
28474	Deutsche Bank AG – HSBC European Warrants Jun 2011 G	2011/06/08	2011/06/15
27332	Goldman Sachs SP (Asia) – BYD European Warrants Jun 2011	2011/06/08	2011/06/15
28829	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2011 B	2011/06/08	2011/06/15
28830	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2011 C	2011/06/08	2011/06/15
28059	Macquarie Bank Ltd. – HSBC European Warrants Jun 2011 B	2011/06/08	2011/06/15
28223	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jun 2011 A	2011/06/08	2011/06/15
28226	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jun 2011	2011/06/08	2011/06/15
28164	Merrill Lynch Int'l & Co. C.V. -Agricultural Bk Eu Wt Jun 11	2011/06/08	2011/06/15
26365	UBS AG – BYD European Warrants Jun 2011	2011/06/08	2011/06/15
26708	UBS AG – China Shenhua European Warrants Jun 2011 A	2011/06/08	2011/06/15
25780	UBS AG – HKEx European Warrants Jun 2011 B	2011/06/08	2011/06/15
27931	UBS AG – HSBC European Warrants Jun 2011 C	2011/06/08	2011/06/15
26444	UBS AG – Hutchison European Warrants Jun 2011 A	2011/06/08	2011/06/15
26126	UBS AG – Jiangxi Copper European Warrants Jun 2011 A	2011/06/08	2011/06/15
27002	UBS AG – Ping An European Warrants Jun 2011 B	2011/06/08	2011/06/15
27378	CC Rabobank B.A. – Digital China European Warrants Jun 2011	2011/06/09	2011/06/16
28137	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Jun11C	2011/06/09	2011/06/16
28506	Credit Suisse AG – China Mobile European Warrants Jun 2011 D	2011/06/09	2011/06/16
25926	HK Bank – Agile Ppt European Warrants Jun 2011	2011/06/09	2011/06/16
22955	J P Morgan SP BV – PetroChina European Warrants Jun 2011	2011/06/09	2011/06/16
29402	KBC Fin Products Int'l Ltd. – AIA European Warrants Jun 11B	2011/06/09	2011/06/16
26241	KBC Fin Products Int'l Ltd. – China Coal Euro Put Wts Jun11	2011/06/09	2011/06/16
26203	KBC Fin Products Int'l Ltd. – China Shenhua Eu Put Wts Jun11	2011/06/09	2011/06/16
26985	KBC Fin Products Int'l Ltd. – CPIC European Wts Jun 2011	2011/06/09	2011/06/16
28021	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Euro Wts Jun2011	2011/06/09	2011/06/16
26775	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jun 11D	2011/06/09	2011/06/16
26714	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jun 11B	2011/06/09	2011/06/16
27407	KBC Fin Products Int'l Ltd. – HS Bank Euro Put Wts Jun 2011	2011/06/09	2011/06/16
27709	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 E	2011/06/09	2011/06/16
25809	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Jun11	2011/06/09	2011/06/16
25806	KBC Fin Products Int'l Ltd. – New World European Wts Jun 11B	2011/06/09	2011/06/16
23986	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Jun 2011	2011/06/09	2011/06/16
22746	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jun 2011	2011/06/09	2011/06/16
27409	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Jun 2011	2011/06/09	2011/06/16
27406	KBC Fin Products Int'l Ltd. – Wharf European Warrants Jun11B	2011/06/09	2011/06/16
26141	KBC Fin Products Int'l Ltd. -BEA European Put Warrants Jun11	2011/06/09	2011/06/16
25509	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jun11B	2011/06/09	2011/06/16
26923	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2011	2011/06/09	2011/06/16
22199	Macquarie Bank Ltd. – Longfor PPT European Warrants Jun 2011	2011/06/09	2011/06/16
27394	Macquarie Bank Ltd. – Maanshan Iron European Wts Jun 2011	2011/06/09	2011/06/16
24878	Macquarie Bank Ltd. – Sino Land European Warrants Jun 2011	2011/06/09	2011/06/16
26291	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2011 B	2011/06/09	2011/06/16
25440	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun2011B	2011/06/09	2011/06/16
27467	Nomura Int'l plc – Agile Ppt European Warrants Jun 2011	2011/06/09	2011/06/16
22946	Nomura Int'l plc – PetroChina European Warrants Jun 2011	2011/06/09	2011/06/16
28399	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2011B	2011/06/09	2011/06/16
22615	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 A	2011/06/09	2011/06/16
27995	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2011 B	2011/06/09	2011/06/16
28932	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2011 C	2011/06/09	2011/06/16
28493	Standard Chartered Bank – China Mobile Euro Wts Jun 2011 C	2011/06/09	2011/06/16
10028	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Jun 11	2011/06/10	2011/06/17
10024	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Jun 11	2011/06/10	2011/06/17
26457	HK Bank – Ch Ship Cont European Warrants Jun 2011 B	2011/06/10	2011/06/17
24764	HK Bank – China Railway European Warrants Jun 2011	2011/06/10	2011/06/17
26780	HK Bank – Geely Auto European Warrants Jun 2011 A	2011/06/10	2011/06/17
26385	HK Bank – Shanghai Electric European Warrants Jun 2011	2011/06/10	2011/06/17
24774	HK Bank – Sinopharm European Warrants Jun 2011	2011/06/10	2011/06/17
25174	HK Bank – Tencent European Warrants Jun 2011 A	2011/06/10	2011/06/17
28953	J P Morgan SP BV – Shougang Res European Warrants Jun 2011B	2011/06/10	2011/06/17
26768	KBC Fin Products Int'l Ltd. – BEA European Warrants Jun 2011	2011/06/10	2011/06/17
26470	CC Rabobank B.A. – GCL-Poly Energy European Wts Jun 2011 A	2011/06/13	2011/06/20
27426	CC Rabobank B.A. – GCL-Poly Energy European Wts Jun 2011 B	2011/06/13	2011/06/20
29496	Credit Suisse AG – Ch Comm Cons European Warrants Jun 2011	2011/06/13	2011/06/20
22033	HK Bank – Zijin Mining European Warrants Jun 2011	2011/06/13	2011/06/20
25639	J P Morgan SP BV – Dongfeng Motor European Warrants Jun 11C	2011/06/13	2011/06/20

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27506	J P Morgan SP BV – Geely Auto European Warrants Jun 2011	2011/06/13	2011/06/20
26867	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 B	2011/06/13	2011/06/20
26140	KBC Fin Products Int'l Ltd. – Wharf European Put Wts Jun11	2011/06/13	2011/06/20
24228	Macquarie Bank Ltd. – CNBM European Warrants Jun 2011 A	2011/06/13	2011/06/20
28080	Nomura Int'l plc – Dongfang Elec European Warrants Jun 2011	2011/06/13	2011/06/20
27447	Nomura Int'l plc – Hang Lung Ppt European Warrants Jun 2011	2011/06/13	2011/06/20
24914	Barclays Bank plc – A50 China European Warrants Jun 2011	2011/06/14	2011/06/21
23736	Barclays Bank plc – Angang Steel European Warrants Jun 2011	2011/06/14	2011/06/21
20420	Barclays Bank plc – Ch Comm Cons European Warrants Jun 2011	2011/06/14	2011/06/21
26314	Barclays Bank plc – China Life European Put Wts Jun 2011	2011/06/14	2011/06/21
26310	Barclays Bank plc – China Life European Warrants Jun 2011	2011/06/14	2011/06/21
26003	Barclays Bank plc – CM Bank European Warrants Jun 2011	2011/06/14	2011/06/21
24845	Barclays Bank plc – CNBM European Warrants Jun 2011 A	2011/06/14	2011/06/21
25901	Barclays Bank plc – CNBM European Warrants Jun 2011 B	2011/06/14	2011/06/21
25060	Barclays Bank plc – CNOOC European Put Warrants Jun 2011 A	2011/06/14	2011/06/21
26211	Barclays Bank plc – CNOOC European Put Warrants Jun 2011 B	2011/06/14	2011/06/21
25774	Barclays Bank plc – CPIC European Warrants Jun 2011	2011/06/14	2011/06/21
24907	Barclays Bank plc – Geely Auto European Warrants Jun 2011	2011/06/14	2011/06/21
25213	Barclays Bank plc – Hutchison European Put Warrants Jun 2011	2011/06/14	2011/06/21
26002	Barclays Bank plc – ICBC European Warrants Jun 2011	2011/06/14	2011/06/21
21331	Barclays Bank plc – PetroChina European Put Wts Jun 2011	2011/06/14	2011/06/21
25059	Barclays Bank plc –Tencent Holdings European Warrants Jun 11	2011/06/14	2011/06/21
25577	BOCI Asia Ltd. – HKEx European Put Warrants Jun 2011	2011/06/14	2011/06/21
27862	CC Rabobank B.A. – Cathay Pacific European Warrants Jun 2011	2011/06/14	2011/06/21
27972	CC Rabobank B.A. – Gome European Warrants Jun 2011	2011/06/14	2011/06/21
21813	Citigroup Global Mkt H Inc. – BYD European Warrants Jun 11A	2011/06/14	2011/06/21
24463	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jun 2011	2011/06/14	2011/06/21
22263	Citigroup Global Mkt H Inc. – HKEx European Wts Jun 2011	2011/06/14	2011/06/21
26453	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Jun 11	2011/06/14	2011/06/21
26254	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Jun 11	2011/06/14	2011/06/21
27827	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 C	2011/06/14	2011/06/21
29223	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 E	2011/06/14	2011/06/21
28249	Credit Suisse AG – Alibaba European Warrants Jun 2011	2011/06/14	2011/06/21
28861	Credit Suisse AG – BOC HK European Warrants Jun 2011 B	2011/06/14	2011/06/21
27513	Credit Suisse AG – China Mobile European Warrants Jun 2011 A	2011/06/14	2011/06/21
27515	Credit Suisse AG – China Shenhua European Warrants Jun 2011A	2011/06/14	2011/06/21
29401	Credit Suisse AG – China Shenhua European Warrants Jun 2011B	2011/06/14	2011/06/21
27823	Credit Suisse AG – CNOOC European Warrants Jun 2011 B	2011/06/14	2011/06/21
27819	Credit Suisse AG – Hutchison European Warrants Jun 2011 A	2011/06/14	2011/06/21
29289	Credit Suisse AG – Hutchison European Warrants Jun 2011 E	2011/06/14	2011/06/21
28319	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 G	2011/06/14	2011/06/21
26743	Deutsche Bank AG – Agile Ppt European Warrants Jun 2011	2011/06/14	2011/06/21
25429	Deutsche Bank AG – Air China European Warrants Jun 2011	2011/06/14	2011/06/21
27429	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 C	2011/06/14	2011/06/21
27280	Deutsche Bank AG – Cathay Pacific European Warrants Jun 2011	2011/06/14	2011/06/21
27435	Deutsche Bank AG – China Shenhua European Warrants Jun 2011	2011/06/14	2011/06/21
27436	Deutsche Bank AG – China Telecom European Wts Jun 2011	2011/06/14	2011/06/21
10009	Deutsche Bank AG – DJIA European Put Warrants Jun 2011	2011/06/14	2011/06/21
10005	Deutsche Bank AG – DJIA European Warrants Jun 2011	2011/06/14	2011/06/21
27245	Deutsche Bank AG – Esprit European Warrants Jun 2011	2011/06/14	2011/06/21
27437	Deutsche Bank AG – Gome European Warrants Jun 2011	2011/06/14	2011/06/21
27281	Deutsche Bank AG – ICBC European Warrants Jun 2011 A	2011/06/14	2011/06/21
27247	Deutsche Bank AG – Li & Fung European Warrants Jun 2011	2011/06/14	2011/06/21
10007	Deutsche Bank AG – Nasdaq-100 Index Euro Put Wts Jun 2011	2011/06/14	2011/06/21
10003	Deutsche Bank AG – Nasdaq-100 Index European Wts Jun 2011	2011/06/14	2011/06/21
23535	Deutsche Bank AG – PetroChina European Put Wts Jun 2011	2011/06/14	2011/06/21
22433	Deutsche Bank AG – PetroChina European Warrants Jun 2011	2011/06/14	2011/06/21
28390	Deutsche Bank AG – Sinopec Corp European Warrants Jun 2011 B	2011/06/14	2011/06/21
25856	Deutsche Bank AG – Tencent Holdings European Put Wt Jun 2011	2011/06/14	2011/06/21
25698	Goldman Sachs SP (Asia) – BOC HK European Warrants Jun 2011A	2011/06/14	2011/06/21
27324	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2011 B	2011/06/14	2011/06/21
27359	Goldman Sachs SP (Asia) – CITIC Pacific European Wts Jun11	2011/06/14	2011/06/21
27272	Goldman Sachs SP (Asia) – Esprit European Warrants Jun 2011B	2011/06/14	2011/06/21
29071	Goldman Sachs SP (Asia) -Anhui Conch European Warrants Jun11	2011/06/14	2011/06/21
22487	HK Bank – GCL-Poly Energy European Warrants Jun 2011	2011/06/14	2011/06/21
25722	HK Bank – Shougang Res European Warrants Jun 2011	2011/06/14	2011/06/21
25635	J P Morgan SP BV – Air China European Warrants Jun 2011	2011/06/14	2011/06/21
27644	J P Morgan SP BV – CITIC Pacific Euro Wts Jun 2011	2011/06/14	2011/06/21
25637	J P Morgan SP BV – CNBM European Warrants Jun 2011	2011/06/14	2011/06/21
25307	J P Morgan SP BV – HKEx European Put Wts Jun 2011 C	2011/06/14	2011/06/21
27342	J P Morgan SP BV – Minsheng Bank European Warrants Jun 2011B	2011/06/14	2011/06/21
24385	J P Morgan SP BV – R&F Ppt European Warrants Jun 2011	2011/06/14	2011/06/21
27531	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2011 A	2011/06/14	2011/06/21
27534	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2011 A	2011/06/14	2011/06/21
24575	KBC Fin Products Int'l Ltd. – R&F Ppt European Wts Jun 2011	2011/06/14	2011/06/21
28527	Macquarie Bank Ltd. – Sinopec Corp European Wts Jun 2011	2011/06/14	2011/06/21
28466	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Jun11	2011/06/14	2011/06/21
26153	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11C	2011/06/14	2011/06/21
25207	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2011 C	2011/06/14	2011/06/21
16878	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2011A	2011/06/14	2011/06/21
27449	Nomura Int'l plc – Dongfeng European Warrants Jun 2011	2011/06/14	2011/06/21
26136	RB of Scotland N.V. – CNOOC European Warrants Jun 2011 B	2011/06/14	2011/06/21
27662	RB of Scotland N.V. – HKEx European Warrants Jun 2011 D	2011/06/14	2011/06/21
29257	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jun 2011	2011/06/14	2011/06/21
26478	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011A	2011/06/14	2011/06/21
27208	SGA Societe Generale Acceptance N.V. – Geely Au Eu Wt Jun11A	2011/06/14	2011/06/21
27209	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Jun 11B	2011/06/14	2011/06/21

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29091	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 D	2011/06/14	2011/06/21
22996	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jun 2011	2011/06/14	2011/06/21
27998	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 11B	2011/06/14	2011/06/21
27201	SGA Societe Generale Acceptance N.V. –Agile Ppt Eu Wts Jun11	2011/06/14	2011/06/21
27164	SGA Societe Generale Acceptance N.V. –Gome Euro Wt Jun 2011A	2011/06/14	2011/06/21
27213	SGA Societe Generale Acceptance N.V. –Sinopharm Eu Wts Jun11	2011/06/14	2011/06/21
27162	SGA Societe Generale Acceptance N.V-Ch Ship Cont Eu Wt Jun11	2011/06/14	2011/06/21
26670	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11A	2011/06/14	2011/06/21
27210	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt Jun11	2011/06/14	2011/06/21
27168	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun11B	2011/06/14	2011/06/21
27677	Standard Chartered Bank – ICBC European Warrants Jun 2011	2011/06/14	2011/06/21
28794	UBS AG – Agricultural Bank European Warrants Jun 2011	2011/06/14	2011/06/21
27352	UBS AG – AIA European Warrants Jun 2011	2011/06/14	2011/06/21
29132	UBS AG – Alibaba European Warrants Jun 2011	2011/06/14	2011/06/21
27351	UBS AG – China Shenhua European Warrants Jun 2011 B	2011/06/14	2011/06/21
28698	UBS AG – CNOOC European Warrants Jun 2011 E	2011/06/14	2011/06/21
28700	UBS AG – Dongfeng Motor European Warrants Jun 2011	2011/06/14	2011/06/21
23604	UBS AG – HKEx European Put Warrants Jun 2011 D	2011/06/14	2011/06/21
26879	UBS AG – Hutchison European Warrants Jun 2011 C	2011/06/14	2011/06/21
28878	UBS AG – Sinopec Corp European Warrants Jun 2011	2011/06/14	2011/06/21
27181	UBS AG – SPDR Gold Trust European Warrants Jun 2011	2011/06/14	2011/06/21
23332	UBS AG – Tencent Holdings European Put Warrants Jun 2011	2011/06/14	2011/06/21
28316	Credit Suisse AG – HSBC European Wts Jun 2011 B	2011/06/15	2011/06/22
25853	Deutsche Bank AG – China Coal European Warrants Jun 2011 A	2011/06/15	2011/06/22
26553	Deutsche Bank AG – HSBC European Warrants Jun 2011 B	2011/06/15	2011/06/22
27566	HK Bank – Agricultural Bank European Warrants Jun 2011	2011/06/15	2011/06/22
27576	HK Bank – Angang Steel European Warrants Jun 2011 C	2011/06/15	2011/06/22
27567	HK Bank – CC Bank European Warrants Jun 2011	2011/06/15	2011/06/22
27570	HK Bank – Esprit European Warrants Jun 2011 B	2011/06/15	2011/06/22
27569	HK Bank – Sinopec Corp European Warrants Jun 2011	2011/06/15	2011/06/22
28628	J P Morgan SP BV – Alibaba European Warrants Jun 2011	2011/06/15	2011/06/22
27185	J P Morgan SP BV – Tencent European Warrants Jun 2011 B	2011/06/15	2011/06/22
28469	J P Morgan SP BV – Tencent European Warrants Jun 2011 C	2011/06/15	2011/06/22
24223	Macquarie Bank Ltd. – Anhui Conch European Warrants Jun 2011	2011/06/15	2011/06/22
27920	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11F	2011/06/15	2011/06/22
26372	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 E	2011/06/15	2011/06/22
27034	Nomura Int'l plc – HSBC European Warrants Jun 2011	2011/06/15	2011/06/22
22622	RB of Scotland N.V. – PetroChina European Warrants Jun 2011	2011/06/15	2011/06/22
27564	Standard Chartered Bank – HSBC European Warrants Jun 2011 B	2011/06/15	2011/06/22
29042	Standard Chartered Bank – Tencent Hldg European Wts Jun 2011	2011/06/15	2011/06/22
27536	UBS AG – CNOOC European Warrants Jun 2011 D	2011/06/15	2011/06/22
28337	UBS AG – HSBC European Warrants Jun 2011 D	2011/06/15	2011/06/22
26654	CC Rabobank B.A. – A50 China European Warrants Jun 2011 B	2011/06/16	2011/06/23
23004	CC Rabobank B.A. – CHALCO European Warrants Jun 2011	2011/06/16	2011/06/23
29270	CC Rabobank B.A. – Dongfeng Motor European Warrants Jun 11B	2011/06/16	2011/06/23
28782	CC Rabobank B.A. – Geely Auto European Warrants Jun 2011	2011/06/16	2011/06/23
23052	CC Rabobank B.A. – PetroChina European Warrants Jun 2011	2011/06/16	2011/06/23
26322	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011 B	2011/06/16	2011/06/23
27345	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2011	2011/06/16	2011/06/23
28457	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 D	2011/06/16	2011/06/23
27741	Credit Suisse AG – ICBC European Warrants Jun 2011 A	2011/06/16	2011/06/23
28444	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2011 B	2011/06/16	2011/06/23
25169	HK Bank – Angang Steel European Warrants Jun 2011 B	2011/06/16	2011/06/23
27604	HK Bank – China Mobile European Warrants Jun 2011 A	2011/06/16	2011/06/23
27605	HK Bank – China Shenhua European Warrants Jun 2011	2011/06/16	2011/06/23
27607	HK Bank – China Tel European Warrants Jun 2011	2011/06/16	2011/06/23
25115	HK Bank – CNBM European Warrants Jun 2011	2011/06/16	2011/06/23
27611	HK Bank – Lenovo European Warrants Jun 2011	2011/06/16	2011/06/23
16661	HK Bank – PetroChina European Warrants Jun 2011	2011/06/16	2011/06/23
23592	HK Bank – R&F Ppt European Warrants Jun 2011	2011/06/16	2011/06/23
24300	J P Morgan SP BV – KECL European Warrants Jun 2011	2011/06/16	2011/06/23
26083	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2011 B	2011/06/16	2011/06/23
24568	KBC Fin Products Int'l Ltd. – ALUCO European Wts Jun 2011	2011/06/16	2011/06/23
26143	KBC Fin Products Int'l Ltd.-MTRC European Put Warrants Jun11	2011/06/16	2011/06/23
27951	Macquarie Bank Ltd. – CITIC Bank European Warrants Jun 2011	2011/06/16	2011/06/23
28820	Macquarie Bank Ltd. – Esprit European Warrants Jun 2011	2011/06/16	2011/06/23
29152	Macquarie Bank Ltd. – Shougang Res European Warrants Jun 11	2011/06/16	2011/06/23
25995	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 C	2011/06/16	2011/06/23
26337	RB of Scotland N.V. – A50 China European Warrants Jun 2011 B	2011/06/16	2011/06/23
24567	RB of Scotland N.V. – CNOOC European Warrants Jun 2011 A	2011/06/16	2011/06/23
28005	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jun 2011 A	2011/06/16	2011/06/23
27992	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011D	2011/06/16	2011/06/23
26660	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2011A	2011/06/16	2011/06/23
26485	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2011A	2011/06/16	2011/06/23
28049	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 C	2011/06/16	2011/06/23
27266	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Jun 11	2011/06/16	2011/06/23
26631	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 11A	2011/06/16	2011/06/23
25986	UBS AG – A50 China European Warrants Jun 2011 C	2011/06/16	2011/06/23
28418	UBS AG – BOC HK European Warrants Jun 2011	2011/06/16	2011/06/23
26128	UBS AG – CM Bank European Warrants Jun 2011	2011/06/16	2011/06/23
23734	UBS AG – CNOOC European Warrants Jun 2011 A	2011/06/16	2011/06/23
29621	UBS AG – Hutchison European Warrants Jun 2011 E	2011/06/16	2011/06/23
29079	CC Rabobank B.A. – BOCL European Warrants Jun 2011	2011/06/17	2011/06/24
27602	CC Rabobank B.A. – CSR Times European Warrants Jun 2011 B	2011/06/17	2011/06/24
29184	CC Rabobank B.A. – Jiangxi Copper European Warrants Jun 2011	2011/06/17	2011/06/24
27601	CC Rabobank B.A. – Li & Fung European Warrants Jun 2011	2011/06/17	2011/06/24
27439	CC Rabobank B.A. – SJM Holdings European Warrants Jun 2011	2011/06/17	2011/06/24

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27327	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011 C	2011/06/17	2011/06/24
28101	Credit Suisse AG – Hutchison European Warrants Jun 2011 C	2011/06/17	2011/06/24
28320	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 H	2011/06/17	2011/06/24
28489	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2011	2011/06/17	2011/06/24
25000	HK Bank – BOC HK European Warrants Jun 2011	2011/06/17	2011/06/24
28711	HK Bank – China Life European Warrants Jun 2011 B	2011/06/17	2011/06/24
28712	HK Bank – China Mobile European Warrants Jun 2011 B	2011/06/17	2011/06/24
24763	HK Bank – CPIC European Warrants Jun 2011	2011/06/17	2011/06/24
24090	J P Morgan SP BV – A50 China European Warrants Jun 2011	2011/06/17	2011/06/24
28623	J P Morgan SP BV – China Life European Warrants Jun 2011 C	2011/06/17	2011/06/24
28629	J P Morgan SP BV – HKEx European Warrants Jun 2011 F	2011/06/17	2011/06/24
29527	J P Morgan SP BV – Hutchison European Warrants Jun 2011 A	2011/06/17	2011/06/24
27648	J P Morgan SP BV – Maanshan Iron European Warrants Jun 2011A	2011/06/17	2011/06/24
27664	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jun 2011	2011/06/17	2011/06/24
29564	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 H	2011/06/17	2011/06/24
26204	KBC Fin Products Int'l Ltd. – R&F Ppt Euro Put Wts Jun11	2011/06/17	2011/06/24
17094	Macquarie Bank Ltd. – CLP European Warrants Jun 2011	2011/06/17	2011/06/24
23303	Macquarie Bank Ltd. – Shimao Ppt European Warrants Jun 2011	2011/06/17	2011/06/24
23753	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 B	2011/06/17	2011/06/24
29514	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2011 C	2011/06/17	2011/06/24
29383	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jun 2011 B	2011/06/17	2011/06/24
26893	Nomura Int'l plc – China Coal European Warrants Jun 2011	2011/06/17	2011/06/24
25777	UBS AG – A50 China European Warrants Jun 2011 B	2011/06/17	2011/06/24
28876	UBS AG – CC Bank European Warrants Jun 2011 C	2011/06/17	2011/06/24
29219	UBS AG – Hutchison European Warrants Jun 2011 D	2011/06/17	2011/06/24
28743	UBS AG – SJM Holdings European Warrants Jun 2011	2011/06/17	2011/06/24
27641	CC Rabobank B.A. – Chi Resources European Warrants Jun 2011	2011/06/20	2011/06/27
27425	CC Rabobank B.A. – Dongfang Elec European Warrants Jun 2011	2011/06/20	2011/06/27
27674	CC Rabobank B.A. – Golden Eagle European Warrants Jun 2011	2011/06/20	2011/06/27
27632	CC Rabobank B.A. – Yurun Food European Warrants Jun 2011	2011/06/20	2011/06/27
27633	CC Rabobank B.A. – Zhaojin Mining European Warrants Jun 2011	2011/06/20	2011/06/27
28250	Credit Suisse AG – BOC HK European Warrants Jun 2011 A	2011/06/20	2011/06/27
27746	Credit Suisse AG – China Life European Warrants Jun 2011 C	2011/06/20	2011/06/27
27821	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 E	2011/06/20	2011/06/27
27822	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 F	2011/06/20	2011/06/27
29256	HK Bank – China Mobile European Warrants Jun 2011 C	2011/06/20	2011/06/27
23156	J P Morgan SP BV – HKEx European Warrants Jun 2011 A	2011/06/20	2011/06/27
29646	J P Morgan SP BV – HSBC European Warrants Jun 2011	2011/06/20	2011/06/27
27711	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2011 C	2011/06/20	2011/06/27
27691	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 C	2011/06/20	2011/06/27
27708	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 D	2011/06/20	2011/06/27
24024	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jun 2011	2011/06/20	2011/06/27
29187	Macquarie Bank Ltd. – China Mobile European Wts Jun 2011 C	2011/06/20	2011/06/27
27095	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2011	2011/06/20	2011/06/27
25570	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Jun 11	2011/06/20	2011/06/27
29048	RB of Scotland N.V. – Agricultural Bk European Wts Jun 2011	2011/06/20	2011/06/27
25241	RB of Scotland N.V. –Tencent Holdings European Wts Jun 2011A	2011/06/20	2011/06/27
29215	UBS AG – HSBC European Warrants Jun 2011 E	2011/06/20	2011/06/27
23931	Barclays Bank plc – CHALCO European Warrants Jun 2011	2011/06/21	2011/06/28
25896	Barclays Bank plc – China Overseas European Wts Jun 2011	2011/06/21	2011/06/28
25211	Barclays Bank plc – China Telecom European Warrants Jun 2011	2011/06/21	2011/06/28
25056	Barclays Bank plc – CNOOC European Warrants Jun 2011	2011/06/21	2011/06/28
26723	Barclays Bank plc – HKEx European Put Warrants Jun 2011	2011/06/21	2011/06/28
26966	BOCI Asia Ltd. – Ch Overseas European Warrants Jun 2011	2011/06/21	2011/06/28
24686	BOCI Asia Ltd. – China Life European Warrants Jun 2011	2011/06/21	2011/06/28
25375	CC Rabobank B.A. – Alibaba European Warrants Jun 2011	2011/06/21	2011/06/28
27719	CC Rabobank B.A. – C Transmission European Warrants Jun 11B	2011/06/21	2011/06/28
26295	CC Rabobank B.A. – China Coal European Warrants Jun 2011 B	2011/06/21	2011/06/28
27131	CC Rabobank B.A. – China Mengniu European Warrants Jun 2011	2011/06/21	2011/06/28
26953	CC Rabobank B.A. – CSR Times European Warrants Jun 2011 A	2011/06/21	2011/06/28
23256	CC Rabobank B.A. – HKEx European Warrants Jun 2011	2011/06/21	2011/06/28
20169	CC Rabobank B.A. – Maanshan Iron European Warrants Jun 2011A	2011/06/21	2011/06/28
27075	Citigroup Global Mkt H Inc. – AIA European Warrants Jun 2011	2011/06/21	2011/06/28
24262	Citigroup Global Mkt H Inc. – Belle Int'l European Wts Jun11	2011/06/21	2011/06/28
24119	Citigroup Global Mkt H Inc. – C Transmission Euro Wt Jun11	2011/06/21	2011/06/28
24120	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Jun 2011 A	2011/06/21	2011/06/28
22734	Citigroup Global Mkt H Inc. – Chi Res Land European Wt Jun11	2011/06/21	2011/06/28
23063	Citigroup Global Mkt H Inc. – Chi Res Power Euro Wts Jun 11	2011/06/21	2011/06/28
21816	Citigroup Global Mkt H Inc. – Chi Taiping European Wts Jun11	2011/06/21	2011/06/28
27328	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Jun2011A	2011/06/21	2011/06/28
25952	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Jun 2011	2011/06/21	2011/06/28
23401	Citigroup Global Mkt H Inc. – R&F Ppt European Wts Jun 2011	2011/06/21	2011/06/28
24416	Citigroup Global Mkt H Inc. – Sh Ind H European Wts Jun 2011	2011/06/21	2011/06/28
23403	Citigroup Global Mkt H Inc. –Yanzhou Coal European Wt Jun11A	2011/06/21	2011/06/28
26028	Citigroup Global Mkt H Inc. –Tencent European Warrants Jun11B	2011/06/21	2011/06/28
25760	Credit Suisse AG – A50 China European Warrants Jun 2011 C	2011/06/21	2011/06/28
26323	Credit Suisse AG – A50 China European Warrants Jun 2011 D	2011/06/21	2011/06/28
27734	Credit Suisse AG – CC Bank European Warrants Jun 2011 A	2011/06/21	2011/06/28
27824	Credit Suisse AG – CC Bank European Warrants Jun 2011 D	2011/06/21	2011/06/28
22211	Credit Suisse AG – Ch LongYuan European Warrants Jun 2011	2011/06/21	2011/06/28
29285	Credit Suisse AG – Cheung Kong European Warrants Jun 2011 C	2011/06/21	2011/06/28
25617	Credit Suisse AG – China Life European Warrants Jun 2011 A	2011/06/21	2011/06/28
27745	Credit Suisse AG – China Life European Warrants Jun 2011 B	2011/06/21	2011/06/28
27983	Credit Suisse AG – China Life European Warrants Jun 2011 D	2011/06/21	2011/06/28
29295	Credit Suisse AG – China Mobile European Warrants Jun 2011 E	2011/06/21	2011/06/28
28862	Credit Suisse AG – CM Bank European Warrants Jun 2011	2011/06/21	2011/06/28
28373	Credit Suisse AG – CNOOC European Put Warrants Jun 2011 E	2011/06/21	2011/06/28

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27516	Credit Suisse AG – CNOOC European Warrants Jun 2011 A	2011/06/21	2011/06/28
28923	Credit Suisse AG – CNOOC European Warrants Jun 2011 F	2011/06/21	2011/06/28
25970	Credit Suisse AG – CRCC European Warrants Jun 2011	2011/06/21	2011/06/28
29241	Credit Suisse AG – HKEx European Put Warrants Jun 2011	2011/06/21	2011/06/28
24067	Credit Suisse AG – HKEx European Warrants Jun 2011 A	2011/06/21	2011/06/28
25548	Credit Suisse AG – HKEx European Warrants Jun 2011 B	2011/06/21	2011/06/28
25619	Credit Suisse AG – HKEx European Warrants Jun 2011 C	2011/06/21	2011/06/28
25969	Credit Suisse AG – HKEx European Warrants Jun 2011 D	2011/06/21	2011/06/28
27496	Credit Suisse AG – HKEx European Warrants Jun 2011 F	2011/06/21	2011/06/28
28924	Credit Suisse AG – HKEx European Warrants Jun 2011 G	2011/06/21	2011/06/28
21785	Credit Suisse AG – HSBC European Wts Jun 2011 A	2011/06/21	2011/06/28
29287	Credit Suisse AG – HSBC European Wts Jun 2011 E	2011/06/21	2011/06/28
29288	Credit Suisse AG – Hutchison European Warrants Jun 2011 D	2011/06/21	2011/06/28
29290	Credit Suisse AG – Hutchison European Warrants Jun 2011 F	2011/06/21	2011/06/28
26031	Credit Suisse AG – KECL European Warrants Jun 2011	2011/06/21	2011/06/28
27519	Credit Suisse AG – MCC European Warrants Jun 2011	2011/06/21	2011/06/28
25763	Credit Suisse AG – SHK Ppt European Put Warrants Jun 2011 B	2011/06/21	2011/06/28
26601	Credit Suisse AG – SHK Ppt European Warrants Jun 2011	2011/06/21	2011/06/28
23208	Credit Suisse AG – Tencent Holdings European Put Wts Jun 11A	2011/06/21	2011/06/28
26697	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 D	2011/06/21	2011/06/28
23655	Deutsche Bank AG – A50 China European Warrants Jun 2011 B	2011/06/21	2011/06/28
26079	Deutsche Bank AG – A50 China European Warrants Jun 2011 C	2011/06/21	2011/06/28
25071	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 B	2011/06/21	2011/06/28
25235	Deutsche Bank AG – BBMG European Warrants Jun 2011	2011/06/21	2011/06/28
25036	Deutsche Bank AG – CHALCO European Warrants Jun 2011	2011/06/21	2011/06/28
28789	Deutsche Bank AG – China Life European Warrants Jun 2011 D	2011/06/21	2011/06/28
28873	Deutsche Bank AG – China Mobile European Warrants Jun 2011 B	2011/06/21	2011/06/28
26247	Deutsche Bank AG – CM Bank European Warrants Jun 2011	2011/06/21	2011/06/28
28278	Deutsche Bank AG – CNOOC European Put Warrants Jun 2011	2011/06/21	2011/06/28
24985	Deutsche Bank AG – CNOOC European Warrants Jun 2011 A	2011/06/21	2011/06/28
27434	Deutsche Bank AG – CPIC European Warrants Jun 2011	2011/06/21	2011/06/28
27246	Deutsche Bank AG – Evergrande European Warrants Jun 2011	2011/06/21	2011/06/28
28965	Deutsche Bank AG – HKEx European Put Warrants Jun 2011	2011/06/21	2011/06/28
22498	Deutsche Bank AG – HKEx European Warrants Jun 2011 A	2011/06/21	2011/06/28
23055	Deutsche Bank AG – HKEx European Warrants Jun 2011 B	2011/06/21	2011/06/28
27315	Deutsche Bank AG – HKEx European Warrants Jun 2011 C	2011/06/21	2011/06/28
21350	Deutsche Bank AG – HSBC European Warrants Jun 2011 A	2011/06/21	2011/06/28
29666	Deutsche Bank AG – HSBC European Warrants Jun 2011 H	2011/06/21	2011/06/28
27388	Deutsche Bank AG – Hutchison European Warrants Jun 2011 A	2011/06/21	2011/06/28
27389	Deutsche Bank AG – Hutchison European Warrants Jun 2011 B	2011/06/21	2011/06/28
27282	Deutsche Bank AG – ICBC European Warrants Jun 2011 B	2011/06/21	2011/06/28
27433	Deutsche Bank AG – Minsheng Bank European Warrants Jun 2011	2011/06/21	2011/06/28
25401	Deutsche Bank AG – SHK Ppt European Put Warrants Jun 2011	2011/06/21	2011/06/28
27530	Deutsche Bank AG – Sinopharm European Warrants Jun 2011	2011/06/21	2011/06/28
25077	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011 A	2011/06/21	2011/06/28
27525	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011 C	2011/06/21	2011/06/28
26250	Deutsche Bank AG – Tencent Holdings European Warrants Jun 11	2011/06/21	2011/06/28
24329	Goldman Sachs SP (Asia) – Agricultural Bk European Wts Jun11	2011/06/21	2011/06/28
27942	Goldman Sachs SP (Asia) – Belle Int'l European Wts Jun 2011	2011/06/21	2011/06/28
28938	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2011	2011/06/21	2011/06/28
28939	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2011 C	2011/06/21	2011/06/28
25107	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011 A	2011/06/21	2011/06/28
25627	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011 B	2011/06/21	2011/06/28
27541	Goldman Sachs SP (Asia) – Dongfeng European Warrants Jun 11	2011/06/21	2011/06/28
27617	Goldman Sachs SP (Asia) – GCL-Poly Energy European Wts Jun11	2011/06/21	2011/06/28
29522	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2011 C	2011/06/21	2011/06/28
27639	Goldman Sachs SP (Asia) – JIANG European Warrants Jun 2011	2011/06/21	2011/06/28
27244	Goldman Sachs SP (Asia) – Sinopharm European Warrants Jun 11	2011/06/21	2011/06/28
28376	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Jun 11	2011/06/21	2011/06/28
25910	J P Morgan SP BV – Anhui Conch European Warrants Jun 2011 A	2011/06/21	2011/06/28
23000	J P Morgan SP BV – Ch Railway Cons European Warrants Jun11A	2011/06/21	2011/06/28
25638	J P Morgan SP BV – CNOOC European Warrants Jun 2011 B	2011/06/21	2011/06/28
25790	J P Morgan SP BV – HKEx European Put Wts Jun 2011 D	2011/06/21	2011/06/28
25913	J P Morgan SP BV – MTRC European Warrants Jun 2011	2011/06/21	2011/06/28
28116	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 G	2011/06/21	2011/06/28
27950	Macquarie Bank Ltd. – China Life European Wts Jun 2011	2011/06/21	2011/06/28
28665	Macquarie Bank Ltd. – Henganint'l European Warrants Jun 2011	2011/06/21	2011/06/28
28222	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2011 B	2011/06/21	2011/06/28
23507	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11A	2011/06/21	2011/06/28
25994	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2011 D	2011/06/21	2011/06/28
22291	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 A	2011/06/21	2011/06/28
19845	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jun 2011 B	2011/06/21	2011/06/28
22369	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jun 2011	2011/06/21	2011/06/28
29386	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2011 B	2011/06/21	2011/06/28
28084	Nomura Int'l plc – China Life European Warrants Jun 2011	2011/06/21	2011/06/28
28004	RB of Scotland N.V. – China Shenhua European Wts Jun 2011	2011/06/21	2011/06/28
25050	RB of Scotland N.V. – HKEx European Warrants Jun 2011 A	2011/06/21	2011/06/28
25334	RB of Scotland N.V. – SHK P European Put Warrants Jun 2011	2011/06/21	2011/06/28
23794	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11B	2011/06/21	2011/06/28
28006	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jun 2011 B	2011/06/21	2011/06/28
27157	SGA Societe Generale Acceptance N.V. – Air China Eu Wt Jun11	2011/06/21	2011/06/28
26584	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wts Jun 11	2011/06/21	2011/06/28
26005	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2011 A	2011/06/21	2011/06/28
28126	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2011 B	2011/06/21	2011/06/28
28930	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 11C	2011/06/21	2011/06/28
28043	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011E	2011/06/21	2011/06/28
25832	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jun2011A	2011/06/21	2011/06/28

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26811	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Jun 2011	2011/06/21	2011/06/28
27629	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jun 11B	2011/06/21	2011/06/28
27206	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun11C	2011/06/21	2011/06/28
26590	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11A	2011/06/21	2011/06/28
27682	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 B	2011/06/21	2011/06/28
28050	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 D	2011/06/21	2011/06/28
28010	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2011 A	2011/06/21	2011/06/28
27165	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts Jun 11	2011/06/21	2011/06/28
25851	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Jun 11	2011/06/21	2011/06/28
26812	SGA Societe Generale Acceptance N.V. –Ch Mengniu Eu Wt Jun11	2011/06/21	2011/06/28
27159	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Jun11A	2011/06/21	2011/06/28
26671	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Jun11A	2011/06/21	2011/06/28
27203	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11B	2011/06/21	2011/06/28
27254	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11C	2011/06/21	2011/06/28
29040	Standard Chartered Bank – HSBC European Warrants Jun 2011 D	2011/06/21	2011/06/28
28620	UBS AG – BOCL European Warrants Jun 2011	2011/06/21	2011/06/28
29189	UBS AG – Cheung Kong European Warrants Jun 2011 B	2011/06/21	2011/06/28
24537	UBS AG – Cheung Kong European Warrants Jun 2011A	2011/06/21	2011/06/28
26055	UBS AG – China Coal European Warrants Jun 2011	2011/06/21	2011/06/28
26056	UBS AG – China Life European Warrants Jun 2011 A	2011/06/21	2011/06/28
28160	UBS AG – China Life European Warrants Jun 2011 C	2011/06/21	2011/06/28
28498	UBS AG – China Mobile European Warrants Jun 2011 B	2011/06/21	2011/06/28
28461	UBS AG – China Mobile European Warrants Jun 2011A	2011/06/21	2011/06/28
15869	UBS AG – CLP European Warrants Jun 2011	2011/06/21	2011/06/28
27411	UBS AG – CNOOC European Warrants Jun 2011 C	2011/06/21	2011/06/28
26700	UBS AG – HKEx European Put Warrants Jun 2011 E	2011/06/21	2011/06/28
22331	UBS AG – HKEx European Warrants Jun 2011 A	2011/06/21	2011/06/28
26709	UBS AG – HKEx European Warrants Jun 2011 C	2011/06/21	2011/06/28
22682	UBS AG – HSBC European Warrants Jun 2011 B	2011/06/21	2011/06/28
26829	UBS AG – Hutchison European Warrants Jun 2011 B	2011/06/21	2011/06/28
27442	UBS AG – ICBC European Warrants Jun 2011 A	2011/06/21	2011/06/28
18079	UBS AG – Maanshan Iron European Warrants Jun 2011	2011/06/21	2011/06/28
15597	UBS AG – PetroChina European Warrants Jun 2011 A	2011/06/21	2011/06/28
28887	UBS AG – PetroChina European Warrants Jun 2011 B	2011/06/21	2011/06/28
24465	UBS AG – R&F Ppt European Warrants Jun 2011	2011/06/21	2011/06/28
26921	UBS AG – Tencent Holdings European Warrants Jun 2011 B	2011/06/21	2011/06/28
23060	Citigroup Global Mkt H Inc. – Agile Ppt European Wts Jun 11	2011/06/22	2011/06/29
25783	Citigroup Global Mkt H Inc.-Tencent European Warrants Jun11A	2011/06/22	2011/06/29
24302	Deutsche Bank AG – Cheung Kong European Warrants Jun 2011	2011/06/22	2011/06/29
28120	Goldman Sachs SP (Asia) – Alibaba European Warrants Jun 2011	2011/06/22	2011/06/29
25445	HK Bank – Alibaba European Warrants Jun 2011	2011/06/22	2011/06/29
24767	HK Bank – Ch Ship Cont European Warrants Jun 2011 A	2011/06/22	2011/06/29
25465	HK Bank – China Coal European Warrants Jun 2011	2011/06/22	2011/06/29
25927	HK Bank – CM Bank European Warrants Jun 2011	2011/06/22	2011/06/29
25931	HK Bank – Tencent European Warrants Jun 2011 B	2011/06/22	2011/06/29
22307	J P Morgan SP BV – Cheung Kong European Warrants Jun 2011	2011/06/22	2011/06/29
27961	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jun 2011	2011/06/22	2011/06/29
24455	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jun 2011	2011/06/22	2011/06/29
29388	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 11I	2011/06/22	2011/06/29
23508	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11B	2011/06/22	2011/06/29
23476	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 B	2011/06/22	2011/06/29
24352	RB of Scotland N.V. – Cheung Kong European Warrants Jun 2011	2011/06/22	2011/06/29
26193	Standard Chartered Bank – HSBC European Warrants Jun 2011 A	2011/06/22	2011/06/29
26880	UBS AG – Ping An European Warrants Jun 2011 A	2011/06/22	2011/06/29
27031	Barclays Bank plc – AIA European Warrants Jun 2011	2011/06/23	2011/06/30
29693	Barclays Bank plc – HSI European Put Warrants Jun 2011 A	2011/06/23	2011/06/30
29694	Barclays Bank plc – HSI European Put Warrants Jun 2011 B	2011/06/23	2011/06/30
26566	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2011	2011/06/23	2011/06/30
27696	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2011	2011/06/23	2011/06/30
26285	BOCI Asia Ltd. – A50 China European Warrants Jun 2011 B	2011/06/23	2011/06/30
25688	CC Rabobank B.A. – Agricultural Bk European Warrants Jun 11	2011/06/23	2011/06/30
23857	CC Rabobank B.A. – Angang Steel European Warrants Jun 2011	2011/06/23	2011/06/30
25580	CC Rabobank B.A. – BOC HK European Warrants Jun 2011	2011/06/23	2011/06/30
21729	CC Rabobank B.A. – CNOOC European Warrants Jun 2011 A	2011/06/23	2011/06/30
25581	CC Rabobank B.A. – Dongfeng Motor European Warrants Jun2011A	2011/06/23	2011/06/30
27975	CC Rabobank B.A. – HSI European Put Warrants Jun 2011 A	2011/06/23	2011/06/30
27976	CC Rabobank B.A. – HSI European Put Warrants Jun 2011 B	2011/06/23	2011/06/30
27970	CC Rabobank B.A. – HSI European Warrants Jun 2011	2011/06/23	2011/06/30
23662	CC Rabobank B.A. – KECL European Warrants Jun 2011	2011/06/23	2011/06/30
24698	CC Rabobank B.A. – Minsheng Bank European Wts Jun 2011 A	2011/06/23	2011/06/30
23547	CC Rabobank B.A. – Nine Dragons European Warrants Jun 2011	2011/06/23	2011/06/30
27721	CC Rabobank B.A. – PCD Stores European Warrants Jun 2011	2011/06/23	2011/06/30
26405	CC Rabobank B.A. – Renhe Comm European Warrants Jun 2011	2011/06/23	2011/06/30
24598	CC Rabobank B.A. – Sands China European Warrants Jun 2011	2011/06/23	2011/06/30
23016	CC Rabobank B.A. – Skyworth Digital European Warrants Jun 11	2011/06/23	2011/06/30
23318	CC Rabobank B.A. – Tencent Holdings European Put Wts Jun 11	2011/06/23	2011/06/30
25584	CC Rabobank B.A. – Tencent Holdings European Wts Jun 2011	2011/06/23	2011/06/30
26466	CC Rabobank B.A. – Yuexiu Property European Warrants Jun 11	2011/06/23	2011/06/30
27722	CC Rabobank B.A. – ZTE European Warrants Jun 2011	2011/06/23	2011/06/30
21818	Citigroup Global Mkt H Inc. – CNOOC European Wts Jun 2011 A	2011/06/23	2011/06/30
28833	Credit Suisse AG – HSCEI European Put Warrants Jun 2011 C	2011/06/23	2011/06/30
29242	Credit Suisse AG – HSCEI European Put Warrants Jun 2011 D	2011/06/23	2011/06/30
29217	Credit Suisse AG – HSCEI European Warrants Jun 2011 B	2011/06/23	2011/06/30
27726	Credit Suisse AG – HSCEI European Warrants Jun 2011A	2011/06/23	2011/06/30
28919	Credit Suisse AG – HSI European Put Warrants Jun 2011 E	2011/06/23	2011/06/30
29296	Credit Suisse AG – HSI European Put Warrants Jun 2011 F	2011/06/23	2011/06/30
29297	Credit Suisse AG – HSI European Put Warrants Jun 2011 G	2011/06/23	2011/06/30

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28915	Credit Suisse AG – HSI European Warrants Jun 2011 B	2011/06/23	2011/06/30
28927	Credit Suisse AG – HSI European Warrants Jun 2011 C	2011/06/23	2011/06/30
29218	Credit Suisse AG – HSI European Warrants Jun 2011 D	2011/06/23	2011/06/30
28836	Credit Suisse AG – HSI European Warrants Jun 2011A	2011/06/23	2011/06/30
27381	Deutsche Bank AG – HSI European Put Warrants Jun 2011 B	2011/06/23	2011/06/30
27494	Deutsche Bank AG – HSI European Put Warrants Jun 2011 D	2011/06/23	2011/06/30
26702	Deutsche Bank AG – HSI European Warrants Jun 2011 A	2011/06/23	2011/06/30
27490	Deutsche Bank AG – HSI European Warrants Jun 2011 C	2011/06/23	2011/06/30
27528	Deutsche Bank AG – HSI European Warrants Jun 2011 E	2011/06/23	2011/06/30
27422	Deutsche Bank AG – Sinopec Corp European Warrants Jun 2011 A	2011/06/23	2011/06/30
25990	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2011 A	2011/06/23	2011/06/30
28360	Goldman Sachs SP (Asia) – BOC HK European Warrants Jun 2011B	2011/06/23	2011/06/30
29614	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2011	2011/06/23	2011/06/30
27273	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 D	2011/06/23	2011/06/30
27360	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 E	2011/06/23	2011/06/30
27504	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 F	2011/06/23	2011/06/30
29616	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 G	2011/06/23	2011/06/30
27199	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011 B	2011/06/23	2011/06/30
27319	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011 C	2011/06/23	2011/06/30
25372	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011A	2011/06/23	2011/06/30
22254	HK Bank – A50 China European Warrants Jun 2011	2011/06/23	2011/06/30
23444	HK Bank – Angang Steel European Warrants Jun 2011 A	2011/06/23	2011/06/30
22581	HK Bank – CHALCO European Warrants Jun 2011	2011/06/23	2011/06/30
27571	HK Bank – Geely Auto European Warrants Jun 2011 B	2011/06/23	2011/06/30
23809	HK Bank – HKEx European Warrants Jun 2011	2011/06/23	2011/06/30
26728	HK Bank – HSCEI European Put Warrants Jun 2011	2011/06/23	2011/06/30
25113	HK Bank – HSCEI European Warrants Jun 2011 A	2011/06/23	2011/06/30
26724	HK Bank – HSCEI European Warrants Jun 2011 B	2011/06/23	2011/06/30
25133	HK Bank – HSI European Put Warrants Jun 2011 A	2011/06/23	2011/06/30
27486	HK Bank – HSI European Put Warrants Jun 2011 B	2011/06/23	2011/06/30
27487	HK Bank – HSI European Put Warrants Jun 2011 C	2011/06/23	2011/06/30
12695	HK Bank – HSI European Warrants Dec 2011 G	2011/06/29	2011/06/30
27716	HK Bank – HSI European Warrants Jun 2011 D	2011/06/23	2011/06/30
27717	HK Bank – HSI European Warrants Jun 2011 E	2011/06/23	2011/06/30
27573	HK Bank – SHK Ppt European Warrants Jun 2011	2011/06/23	2011/06/30
22064	HK Bank – Sinoma European Warrants Jun 2011	2011/06/23	2011/06/30
27784	J P Morgan SP BV – Agricultural Bk European Warrants Jun 11B	2011/06/23	2011/06/30
27772	J P Morgan SP BV – Anhui Conch European Warrants Jun 2011 B	2011/06/23	2011/06/30
27195	J P Morgan SP BV – BankComm European Warrants Jun 2011	2011/06/23	2011/06/30
25305	J P Morgan SP BV – BOC HK European Warrants Jun 2011 A	2011/06/23	2011/06/30
27340	J P Morgan SP BV – BOC HK European Warrants Jun 2011 B	2011/06/23	2011/06/30
27787	J P Morgan SP BV – BYD European Warrants Jun 2011	2011/06/23	2011/06/30
27699	J P Morgan SP BV – C Transmission European Warrants Jun 2011	2011/06/23	2011/06/30
23309	J P Morgan SP BV – Ch Railway Cons European Warrants Jun11B	2011/06/23	2011/06/30
27776	J P Morgan SP BV – China Mob European Wts Jun 2011 B	2011/06/23	2011/06/30
27791	J P Morgan SP BV – China Shenhua European Warrants Jun 2011	2011/06/23	2011/06/30
27231	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 A	2011/06/23	2011/06/30
27232	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 B	2011/06/23	2011/06/30
27788	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 C	2011/06/23	2011/06/30
25636	J P Morgan SP BV – CM Bank European Warrants Jun 2011 A	2011/06/23	2011/06/30
25912	J P Morgan SP BV – CM Bank European Warrants Jun 2011 B	2011/06/23	2011/06/30
23248	J P Morgan SP BV – CNOOC European Warrants Jun 2011 A	2011/06/23	2011/06/30
27343	J P Morgan SP BV – CPIC European Warrants Jun 2011	2011/06/23	2011/06/30
24830	J P Morgan SP BV – Dongfeng Motor European Warrants Jun 11B	2011/06/23	2011/06/30
24511	J P Morgan SP BV – Dongfeng Motor European Warrants Jun2011A	2011/06/23	2011/06/30
27198	J P Morgan SP BV – Esprit European Wts Jun 2011 A	2011/06/23	2011/06/30
27645	J P Morgan SP BV – Henderson Land Dev European Wts Jun 2011	2011/06/23	2011/06/30
26049	J P Morgan SP BV – HKEx European Put Wts Jun 2011 E	2011/06/23	2011/06/30
25287	J P Morgan SP BV – HKEx European Warrants Jun 2011 B	2011/06/23	2011/06/30
27793	J P Morgan SP BV – ICBC European Warrants Jun 2011 A	2011/06/23	2011/06/30
27794	J P Morgan SP BV – ICBC European Warrants Jun 2011 B	2011/06/23	2011/06/30
27795	J P Morgan SP BV – Lenovo European Warrants Jun 2011 B	2011/06/23	2011/06/30
27701	J P Morgan SP BV – Shougang Res European Warrants Jun 2011A	2011/06/23	2011/06/30
19839	J P Morgan SP BV – Sinofert European Warrants Jun 2011	2011/06/23	2011/06/30
27700	J P Morgan SP BV – Sinopec Corp European Warrants Jun 2011	2011/06/23	2011/06/30
27796	J P Morgan SP BV – Sinopharm European Warrants Jun 2011	2011/06/23	2011/06/30
26863	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 D	2011/06/23	2011/06/30
27810	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 E	2011/06/23	2011/06/30
27811	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 F	2011/06/23	2011/06/30
27812	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 G	2011/06/23	2011/06/30
27813	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 H	2011/06/23	2011/06/30
26861	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 A	2011/06/23	2011/06/30
26862	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 B	2011/06/23	2011/06/30
27806	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 C	2011/06/23	2011/06/30
27753	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 A	2011/06/23	2011/06/30
27754	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 B	2011/06/23	2011/06/30
27755	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 C	2011/06/23	2011/06/30
27756	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 D	2011/06/23	2011/06/30
27757	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 E	2011/06/23	2011/06/30
27758	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 F	2011/06/23	2011/06/30
27759	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 G	2011/06/23	2011/06/30
26608	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 H	2011/06/23	2011/06/30
27763	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 I	2011/06/23	2011/06/30
27764	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 J	2011/06/23	2011/06/30
27803	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 K	2011/06/23	2011/06/30
27846	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 L	2011/06/23	2011/06/30
27847	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 M	2011/06/23	2011/06/30



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23648	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 B	2011/06/23	2011/06/30
26045	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 C	2011/06/23	2011/06/30
25530	Macquarie Bank Ltd. – Air China European Warrants Jun 2011	2011/06/23	2011/06/30
26348	Macquarie Bank Ltd. – Bank of EA European Warrants Jun 2011	2011/06/23	2011/06/30
24930	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2011 B	2011/06/23	2011/06/30
17810	Macquarie Bank Ltd. – BYD European Warrants Jun 2011 A	2011/06/23	2011/06/30
27801	Macquarie Bank Ltd. – Ch Southern Air European Wts Jun 2011	2011/06/23	2011/06/30
27800	Macquarie Bank Ltd. – China Mobile European Wts Jun 2011 B	2011/06/23	2011/06/30
26349	Macquarie Bank Ltd. – CPIC European Warrants Jun 2011	2011/06/23	2011/06/30
23472	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jun 2011	2011/06/23	2011/06/30
12662	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2011 A	2011/06/29	2011/06/30
26539	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2011	2011/06/23	2011/06/30
28986	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2011	2011/06/23	2011/06/30
25537	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2011 A	2011/06/23	2011/06/30
27480	Macquarie Bank Ltd. – Yurun Food European Warrants Jun 2011	2011/06/23	2011/06/30
28093	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jun 2011	2011/06/23	2011/06/30
28089	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Jun 2011	2011/06/23	2011/06/30
23951	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jun 11C	2011/06/23	2011/06/30
28546	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jun 11D	2011/06/23	2011/06/30
28547	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jun 11E	2011/06/23	2011/06/30
28548	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Jun 11F	2011/06/23	2011/06/30
28541	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jun11B	2011/06/23	2011/06/30
29208	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jun11G	2011/06/23	2011/06/30
27300	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Jun 11A	2011/06/23	2011/06/30
27560	Nomura Int'l plc – HSI European Put Warrants Jun 2011 C	2011/06/23	2011/06/30
27561	Nomura Int'l plc – HSI European Put Warrants Jun 2011 D	2011/06/23	2011/06/30
27551	Nomura Int'l plc – HSI European Warrants Jun 2011 A	2011/06/23	2011/06/30
27552	Nomura Int'l plc – HSI European Warrants Jun 2011 B	2011/06/23	2011/06/30
27771	RB of Scotland N.V. – A50 China European Put Wts Jun 2011	2011/06/23	2011/06/30
27919	RB of Scotland N.V. – HSBC European Warrants Jun 2011 C	2011/06/23	2011/06/30
27402	RB of Scotland N.V. – HSCEI European Warrants Jun 2011	2011/06/23	2011/06/30
27624	RB of Scotland N.V. – HSI European Put Warrants Jun 2011 A	2011/06/23	2011/06/30
27625	RB of Scotland N.V. – HSI European Put Warrants Jun 2011 B	2011/06/23	2011/06/30
27509	RB of Scotland N.V. – HSI European Warrants Jun 2011	2011/06/23	2011/06/30
26421	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11C	2011/06/23	2011/06/30
25249	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jun 2011	2011/06/23	2011/06/30
26012	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jun 11	2011/06/23	2011/06/30
25064	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11A	2011/06/23	2011/06/30
27683	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11B	2011/06/23	2011/06/30
28411	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11C	2011/06/23	2011/06/30
28412	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11D	2011/06/23	2011/06/30
28895	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 11G	2011/06/23	2011/06/30
28409	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2011 E	2011/06/23	2011/06/30
28891	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2011 F	2011/06/23	2011/06/30
26960	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011A	2011/06/23	2011/06/30
25848	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jun 11	2011/06/23	2011/06/30
27627	UBS AG – HSI European Put Warrants Jun 2011 F	2011/06/23	2011/06/30
28917	UBS AG – HSI European Put Warrants Jun 2011 G	2011/06/23	2011/06/30
29220	UBS AG – HSI European Put Warrants Jun 2011 H	2011/06/23	2011/06/30
29416	UBS AG – HSI European Put Warrants Jun 2011 K	2011/06/23	2011/06/30
29869	UBS AG – HSI European Put Warrants Jun 2011 L	2011/06/23	2011/06/30
26912	UBS AG – HSI European Warrants Jun 2011 A	2011/06/23	2011/06/30
26913	UBS AG – HSI European Warrants Jun 2011 B	2011/06/23	2011/06/30
27954	UBS AG – HSI European Warrants Jun 2011 C	2011/06/23	2011/06/30
28882	UBS AG – HSI European Warrants Jun 2011 D	2011/06/23	2011/06/30
28913	UBS AG – HSI European Warrants Jun 2011 E	2011/06/23	2011/06/30
29228	UBS AG – HSI European Warrants Jun 2011 I	2011/06/23	2011/06/30
29412	UBS AG – HSI European Warrants Jun 2011 J	2011/06/23	2011/06/30
28880	UBS AG – ICBC European Warrants Jun 2011 B	2011/06/23	2011/06/30
26897	Barclays Bank plc – Jiangxi Copper European Warrants Jun 11	2011/06/24	2011/07/04
22842	BOCI Asia Ltd. – China Tel European Warrants Jun 2011	2011/06/24	2011/07/04
26359	BOCI Asia Ltd. – Yurun Food European Warrants Jun 2011 A	2011/06/24	2011/07/04
27864	CC Rabobank B.A. – Ch Southern Air European Warrants Jun 11	2011/06/24	2011/07/04
29322	CC Rabobank B.A. – Hang Seng Bank European Warrants Jun 2011	2011/06/24	2011/07/04
28785	CC Rabobank B.A. – Ping An European Warrants Jun 2011	2011/06/24	2011/07/04
27871	CC Rabobank B.A. – Want Want China European Warrants Jun 11	2011/06/24	2011/07/04
25374	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Jun 2011	2011/06/24	2011/07/04
27905	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jun 2011	2011/06/24	2011/07/04
27739	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 B	2011/06/24	2011/07/04
27830	Credit Suisse AG – BOCL European Warrants Jun 2011 A	2011/06/24	2011/07/04
27735	Credit Suisse AG – CC Bank European Warrants Jun 2011 B	2011/06/24	2011/07/04
27736	Credit Suisse AG – CC Bank European Warrants Jun 2011 C	2011/06/24	2011/07/04
27825	Credit Suisse AG – CC Bank European Warrants Jun 2011 E	2011/06/24	2011/07/04
29294	Credit Suisse AG – CC Bank European Warrants Jun 2011 F	2011/06/24	2011/07/04
27737	Credit Suisse AG – China Mobile European Warrants Jun 2011 B	2011/06/24	2011/07/04
27826	Credit Suisse AG – China Mobile European Warrants Jun 2011 C	2011/06/24	2011/07/04
29298	Credit Suisse AG – Hutchison European Warrants Jun 2011 H	2011/06/24	2011/07/04
27742	Credit Suisse AG – ICBC European Warrants Jun 2011 B	2011/06/24	2011/07/04
27743	Credit Suisse AG – ICBC European Warrants Jun 2011 C	2011/06/24	2011/07/04
27732	Credit Suisse AG – Jiangxi Copper European Wts Jun 2011 A	2011/06/24	2011/07/04
27744	Credit Suisse AG – Ping An European Warrants Jun 2011 A	2011/06/24	2011/07/04
27828	Credit Suisse AG – Ping An European Warrants Jun 2011 B	2011/06/24	2011/07/04
27829	Credit Suisse AG – Ping An European Warrants Jun 2011 C	2011/06/24	2011/07/04
27140	Deutsche Bank AG – China Mobile European Warrants Jun 2011A	2011/06/24	2011/07/04
29774	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2011 B	2011/06/24	2011/07/04
28941	Goldman Sachs SP (Asia) – Li & Fung European Warrants Jun 11	2011/06/24	2011/07/04
18344	HK Bank – Maanshan Iron European Warrants Jun 2011	2011/06/24	2011/07/04

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27873	J P Morgan SP BV – BOCL European Warrants Jun 2011	2011/06/24	2011/07/04
28383	J P Morgan SP BV – China Life European Warrants Jun 2011 B	2011/06/24	2011/07/04
27878	J P Morgan SP BV – CM Bank European Warrants Jun 2011 C	2011/06/24	2011/07/04
27875	J P Morgan SP BV – Hang Lung Ppt European Warrants Jun 2011	2011/06/24	2011/07/04
27879	J P Morgan SP BV – Huaneng Power European Warrants Jun 2011	2011/06/24	2011/07/04
29528	J P Morgan SP BV – Hutchison European Warrants Jun 2011 B	2011/06/24	2011/07/04
27876	J P Morgan SP BV – Li & Fung European Warrants Jun 2011	2011/06/24	2011/07/04
27649	J P Morgan SP BV – Maanshan Iron European Warrants Jun 2011B	2011/06/24	2011/07/04
28245	J P Morgan SP BV – Ping An European Warrants Jun 2011	2011/06/24	2011/07/04
27877	J P Morgan SP BV – Stanchart European Warrants Jun 2011	2011/06/24	2011/07/04
27893	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2011 B	2011/06/24	2011/07/04
25737	Macquarie Bank Ltd. – China Coal European Warrants Jun 2011	2011/06/24	2011/07/04
24689	Macquarie Bank Ltd. – China Mengniu European Wts Jun 2011	2011/06/24	2011/07/04
26576	Macquarie Bank Ltd. – Li Ning European Warrants Jun 2011	2011/06/24	2011/07/04
26739	Macquarie Bank Ltd. – SJM Holdings European Warrants Jun 11	2011/06/24	2011/07/04
24732	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2011 A	2011/06/24	2011/07/04
26577	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2011 B	2011/06/24	2011/07/04
23297	Nomura Int'l plc – A50 China European Warrants Jun 2011 A	2011/06/24	2011/07/04
23291	Nomura Int'l plc – Air China European Warrants Jun 2011	2011/06/24	2011/07/04
29016	Nomura Int'l plc – Alibaba European Warrants Jun 2011	2011/06/24	2011/07/04
27042	Nomura Int'l plc – Anhui Conch European Warrants Jun 2011	2011/06/24	2011/07/04
29013	Nomura Int'l plc – BYD European Warrants Jun 2011	2011/06/24	2011/07/04
26889	Nomura Int'l plc – C Transmission European Warrants Jun 2011	2011/06/24	2011/07/04
26891	Nomura Int'l plc – CC Bank European Warrants Jun 2011 A	2011/06/24	2011/07/04
26892	Nomura Int'l plc – CC Bank European Warrants Jun 2011 B	2011/06/24	2011/07/04
27469	Nomura Int'l plc – China Dongxiang European Warrants Jun2011	2011/06/24	2011/07/04
28079	Nomura Int'l plc – China Mobile European Warrants Jun 2011	2011/06/24	2011/07/04
27038	Nomura Int'l plc – China Telecom European Warrants Jun 2011	2011/06/24	2011/07/04
22948	Nomura Int'l plc – CNOOC European Warrants Jun 2011 A	2011/06/24	2011/07/04
25222	Nomura Int'l plc – CNOOC European Warrants Jun 2011 B	2011/06/24	2011/07/04
25675	Nomura Int'l plc – Hutchison European Warrants Jun 2011	2011/06/24	2011/07/04
22156	Nomura Int'l plc – ICBC European Warrants Jun 2011	2011/06/24	2011/07/04
29008	Nomura Int'l plc – JIANG European Warrants Jun 2011	2011/06/24	2011/07/04
23282	Nomura Int'l plc – SHK P European Warrants Jun 2011	2011/06/24	2011/07/04
25682	Nomura Int'l plc – Sinopharm European Put Warrants Jun 2011	2011/06/24	2011/07/04
27053	Nomura Int'l plc – Stanchart European Put Warrants Jun 2011	2011/06/24	2011/07/04
26896	Nomura Int'l plc – Stanchart European Warrants Jun 2011	2011/06/24	2011/07/04
29107	Nomura Int'l plc – Yurun Food European Warrants Jun 2011	2011/06/24	2011/07/04
27035	Nomura Int'l plc – Henderson Land Dev European Warrants Jun11	2011/06/24	2011/07/04
27658	RB of Scotland N.V. – BOCL European Warrants Jun 2011	2011/06/24	2011/07/04
27660	RB of Scotland N.V. – China Life European Wts Jun 2011 A	2011/06/24	2011/07/04
27661	RB of Scotland N.V. – China Life European Wts Jun 2011 B	2011/06/24	2011/07/04
27659	RB of Scotland N.V. – China Mobile European Wts Jun 2011 B	2011/06/24	2011/07/04
26993	RB of Scotland N.V. – HKEx European Warrants Jun 2011 C	2011/06/24	2011/07/04
27358	RB of Scotland N.V. – HWL European Warrants Jun 2011 B	2011/06/24	2011/07/04
27663	RB of Scotland N.V. – HWL European Warrants Jun 2011 C	2011/06/24	2011/07/04
27508	RB of Scotland N.V. – Sinopec Corp European Warrants Jun 11	2011/06/24	2011/07/04
26075	RB of Scotland N.V. – Tencent Holdings European Wts Jun 11B	2011/06/24	2011/07/04
25768	Standard Chartered Bank – CC Bank European Warrants Jun 11A	2011/06/24	2011/07/04
27779	Standard Chartered Bank – China Mobile European Wts Jun 11B	2011/06/24	2011/07/04
27421	Standard Chartered Bank – CSR Times European Wts Jun 2011	2011/06/24	2011/07/04
27678	Standard Chartered Bank–China Life European Warrants Jun 11	2011/06/24	2011/07/04
29096	UBS AG – CC Bank European Warrants Jun 2011 D	2011/06/24	2011/07/04
29024	UBS AG – HS Bank European Warrants Jun 2011	2011/06/24	2011/07/04
26530	Barclays Bank plc – Sinopec Corp European Put Wts Jul 2011	2011/06/27	2011/07/05
23518	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2011	2011/06/27	2011/07/05
22320	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wts Jul 11	2011/06/27	2011/07/05
26106	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 11	2011/06/27	2011/07/05
26638	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jul 2011	2011/06/27	2011/07/05
28151	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jul 2011	2011/06/27	2011/07/05
27622	BNP Paribas Arbit Issu B.V. – Ch Ship Cont European Wt Jul11	2011/06/27	2011/07/05
24945	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2011	2011/06/27	2011/07/05
26062	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2011	2011/06/27	2011/07/05
22030	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2011 A	2011/06/27	2011/07/05
22880	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2011 B	2011/06/27	2011/07/05
26641	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2011 A	2011/06/27	2011/07/05
27849	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2011 B	2011/06/27	2011/07/05
25455	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Jul 2011	2011/06/27	2011/07/05
26504	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jul 2011	2011/06/27	2011/07/05
24523	BNP Paribas Arbit Issu B.V. – Kerry Ppt European Wts Jul11	2011/06/27	2011/07/05
27770	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul11A	2011/06/27	2011/07/05
24524	BNP Paribas Arbit Issu B.V. -MTRC European Warrants Jul 2011	2011/06/27	2011/07/05
25963	BNP Paribas Arbit Issu B.V.-Dongfeng Motor European Wt Jul11	2011/06/27	2011/07/05
28947	BOCI Asia Ltd. – CC Bank European Put Warrants Jul 2011	2011/06/27	2011/07/05
26358	BOCI Asia Ltd. – China Shenhua European Warrants Jul 2011 A	2011/06/27	2011/07/05
27860	BOCI Asia Ltd. – China Shenhua European Warrants Jul 2011 B	2011/06/27	2011/07/05
26283	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2011	2011/06/27	2011/07/05
25919	BOCI Asia Ltd. – Tencent Holdings European Warrants Jul 2011	2011/06/27	2011/07/05
23494	BOCI Asia Ltd. -Tencent Holdings European Put Warrants Jul11	2011/06/27	2011/07/05
28990	CC Rabobank B.A. – Ch Mobile European Put Wts Jul 2011	2011/06/27	2011/07/05
23466	Citigroup Global Mkt H Inc. – Angang Steel European Wt Jul11	2011/06/27	2011/07/05
25390	Credit Suisse – Cheung Kong European Put Warrants Jul 2011A	2011/06/27	2011/07/05
27749	Credit Suisse AG – BOCL European Warrants Jul 2011	2011/06/27	2011/07/05
24468	Credit Suisse AG – Cheung Kong European Warrants Jul 2011	2011/06/27	2011/07/05
27747	Credit Suisse AG – CM Bank European Warrants Jul 2011	2011/06/27	2011/07/05
29663	Credit Suisse AG – Li & Fung European Warrants Jul 2011	2011/06/27	2011/07/05
26745	Deutsche Bank AG – China Coal European Warrants Jul 2011	2011/06/27	2011/07/05

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29312	Deutsche Bank AG – HSBC European Warrants Jul 2011 C	2011/06/27	2011/07/05
27150	Deutsche Bank AG – Tencent Holdings European Warrants Jul11B	2011/06/27	2011/07/05
27945	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Jul 2011	2011/06/27	2011/07/05
27636	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2011 A	2011/06/27	2011/07/05
29481	Goldman Sachs SP (Asia) – China Life Euro Put Wts Jul 2011	2011/06/27	2011/07/05
25992	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2011 A	2011/06/27	2011/07/05
27944	Goldman Sachs SP (Asia) – Evergrande European Wts Jul 2011 A	2011/06/27	2011/07/05
27129	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2011 A	2011/06/27	2011/07/05
27780	Goldman Sachs SP (Asia) – Minsheng Bank European Wts Jul2011	2011/06/27	2011/07/05
27783	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Jul 2011	2011/06/27	2011/07/05
24773	HK Bank – PICC European Warrants Jul 2011 A	2011/06/27	2011/07/05
27959	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2011 A	2011/06/27	2011/07/05
28557	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2011 D	2011/06/27	2011/07/05
28704	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2011 B	2011/06/27	2011/07/05
26624	KBC Fin Products Int'l Ltd. – CMB European Warrants Jul 2011	2011/06/27	2011/07/05
25769	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jul 2011	2011/06/27	2011/07/05
23222	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11A	2011/06/27	2011/07/05
24105	KBC Fin Products Int'l Ltd. – Huaneng Power Eur Wts Jul 2011	2011/06/27	2011/07/05
28053	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2011 B	2011/06/27	2011/07/05
27535	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2011	2011/06/27	2011/07/05
27968	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jul 2011	2011/06/27	2011/07/05
24029	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jul 2011	2011/06/27	2011/07/05
27964	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Jul2011	2011/06/27	2011/07/05
27962	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Jul 2011	2011/06/27	2011/07/05
28314	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jul 11C	2011/06/27	2011/07/05
27144	Macquarie Bank Ltd. – Alibaba European Warrants Jul 2011	2011/06/27	2011/07/05
25784	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jul 2011	2011/06/27	2011/07/05
24230	Macquarie Bank Ltd. – R&F Ppt European Warrants Jul 2011	2011/06/27	2011/07/05
26455	Macquarie Bank Ltd. – Sino Land European Warrants Jul 2011	2011/06/27	2011/07/05
24266	Macquarie Bank Ltd. – Tencent European Warrants Jul 2011 A	2011/06/27	2011/07/05
28167	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2011	2011/06/27	2011/07/05
28984	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2011	2011/06/27	2011/07/05
23425	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Jul11	2011/06/27	2011/07/05
29748	Nomura Int'l plc – Li & Fung European Warrants Jul 2011	2011/06/27	2011/07/05
24396	RB of Scotland N.V. – HKEx European Warrants Jul 2011 B	2011/06/27	2011/07/05
29737	RB of Scotland N.V. – HSBC European Warrants Jul 2011 B	2011/06/27	2011/07/05
29735	RB of Scotland N.V. – Sinopec Corp European Wts Jul 2011	2011/06/27	2011/07/05
26417	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jul 2011	2011/06/27	2011/07/05
27594	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jul 2011	2011/06/27	2011/07/05
28529	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 11B	2011/06/27	2011/07/05
26474	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jul 2011	2011/06/27	2011/07/05
27596	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Jul 11	2011/06/27	2011/07/05
28644	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jul 11B	2011/06/27	2011/07/05
28659	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jul 11	2011/06/27	2011/07/05
24422	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 B	2011/06/27	2011/07/05
22460	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2011 A	2011/06/27	2011/07/05
27367	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jul11	2011/06/27	2011/07/05
24674	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul 11	2011/06/27	2011/07/05
28294	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jul 11	2011/06/27	2011/07/05
27256	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Jul11B	2011/06/27	2011/07/05
20537	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt Jul11	2011/06/27	2011/07/05
27884	Standard Chartered Bank – China Life Euro Put Wts Jul11	2011/06/27	2011/07/05
27114	Standard Chartered Bank – ICBC European Warrants Jul 2011	2011/06/27	2011/07/05
27253	Standard Chartered Bank-China Life European Warrants Jul 11B	2011/06/27	2011/07/05
28161	UBS AG – China Mobile European Warrants Jul 2011 B	2011/06/27	2011/07/05
26710	UBS AG – HKEx European Warrants Jul 2011 C	2011/06/27	2011/07/05
29284	UBS AG – HSBC European Warrants Jul 2011 B	2011/06/27	2011/07/05
29618	UBS AG – Sinopec Corp European Warrants Jul 2011 B	2011/06/27	2011/07/05
29741	UBS AG – Tencent Holdings European Warrants Jul 2011 B	2011/06/27	2011/07/05
27933	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Jul 11	2011/06/28	2011/07/06
27236	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2011	2011/06/28	2011/07/06
27934	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jul 2011	2011/06/28	2011/07/06
27909	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jul 2011	2011/06/28	2011/07/06
27372	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2011	2011/06/28	2011/07/06
27935	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2011 C	2011/06/28	2011/07/06
27969	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2011 B	2011/06/28	2011/07/06
27937	BNP Paribas Arbit Issu B.V. – Ping An European Wts Jul 2011	2011/06/28	2011/07/06
29458	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul11C	2011/06/28	2011/07/06
27912	BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Jul11	2011/06/28	2011/07/06
27416	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Jul 2011A	2011/06/28	2011/07/06
28177	BOCI Asia Ltd. – HS Bank European Warrants Jul 2011	2011/06/28	2011/07/06
17393	CC Rabobank B.A. – HSBC European Warrants Jul 2011 A	2011/06/28	2011/07/06
27563	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2011	2011/06/28	2011/07/06
27529	Deutsche Bank AG – Henganintl European Warrants Jul 2011	2011/06/28	2011/07/06
28957	Deutsche Bank AG – HKEx European Warrants Jul 2011 C	2011/06/28	2011/07/06
27284	Deutsche Bank AG – ICBC European Warrants Jul 2011 B	2011/06/28	2011/07/06
28401	Goldman Sachs SP (Asia) – BOC HK European Warrants Jul 2011	2011/06/28	2011/07/06
25432	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jul 2011 A	2011/06/28	2011/07/06
24571	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jul 2011 A	2011/06/28	2011/07/06
26987	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jul 2011	2011/06/28	2011/07/06
25459	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jul 2011	2011/06/28	2011/07/06
25323	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11C	2011/06/28	2011/07/06
17271	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2011 A	2011/06/28	2011/07/06
27693	KBC Fin Products Int'l Ltd. – Ping An European Wts Jul 2011A	2011/06/28	2011/07/06
25326	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jul11A	2011/06/28	2011/07/06
27727	Macquarie Bank Ltd. – BankComm European Put Wts Jul 2011	2011/06/28	2011/07/06
27723	Macquarie Bank Ltd. – BankComm European Warrants Jul 2011	2011/06/28	2011/07/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23212	Macquarie Bank Ltd. – CHALCO European Warrants Jul 2011	2011/06/28	2011/07/06
21125	Macquarie Bank Ltd. – Cheung Kong European Warrants Jul 2011	2011/06/28	2011/07/06
23404	Macquarie Bank Ltd. – China Coal European Warrants Jul 2011	2011/06/28	2011/07/06
27724	Macquarie Bank Ltd. – China Railway European Wts Jul 2011	2011/06/28	2011/07/06
21648	Macquarie Bank Ltd. – China Unicom European Wts Jul 2011	2011/06/28	2011/07/06
28057	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2011 A	2011/06/28	2011/07/06
28573	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2011 B	2011/06/28	2011/07/06
27643	Macquarie Bank Ltd. – CNOOC European Put Warrants Jul 2011	2011/06/28	2011/07/06
25625	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2011	2011/06/28	2011/07/06
24043	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 A	2011/06/28	2011/07/06
27882	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jul 2011	2011/06/28	2011/07/06
23039	Macquarie Bank Ltd. – HKEx European Warrants Jul 2011 A	2011/06/28	2011/07/06
27481	Macquarie Bank Ltd. – ICBC European Put Warrants Jul 2011	2011/06/28	2011/07/06
26491	Macquarie Bank Ltd. – ICBC European Warrants Jul 2011 A	2011/06/28	2011/07/06
27477	Macquarie Bank Ltd. – ICBC European Warrants Jul 2011 B	2011/06/28	2011/07/06
24433	Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2011	2011/06/28	2011/07/06
25861	Macquarie Bank Ltd. – Tencent European Warrants Jul 2011 B	2011/06/28	2011/07/06
27591	Macquarie Bank Ltd. – CHALCO European Put Warrants Jul 2011	2011/06/28	2011/07/06
29809	UBS AG – HKEx European Warrants Jul 2011 E	2011/06/28	2011/07/06
27085	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jul 2011	2011/06/29	2011/07/07
29320	CC Rabobank B.A. – CNBM European Warrants Jul 2011	2011/06/29	2011/07/07
26992	KBC Fin Products Int'l Ltd. – China Overseas Eu Put Wt Jul11	2011/06/29	2011/07/07
28025	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Put Wt Jul 11	2011/06/29	2011/07/07
28017	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Jul 2011	2011/06/29	2011/07/07
28030	KBC Fin Products Int'l Ltd. – Sinopharm Euro Put Wts Jul2011	2011/06/29	2011/07/07
23927	Macquarie Bank Ltd. – Cathay Pacific European Wts Jul 2011	2011/06/29	2011/07/07
26705	Macquarie Bank Ltd. – China Life European Put Wts Jul 2011	2011/06/29	2011/07/07
26701	Macquarie Bank Ltd. – China Life European Wts Jul 2011	2011/06/29	2011/07/07
27143	Macquarie Bank Ltd. – Huaneng Power European Wts Jul 2011	2011/06/29	2011/07/07
17997	Macquarie Bank Ltd. – Shanshui Cement European Wts Jul11	2011/06/29	2011/07/07
23206	Macquarie Bank Ltd. – Tencent European Put Warrants Jul 2011	2011/06/29	2011/07/07
10050	Nomura Int'l plc – Apple European Warrants Jul 2011 A	2011/06/29	2011/07/07
24928	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 2011	2011/06/29	2011/07/07
28007	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2011 A	2011/06/29	2011/07/07
28009	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2011A	2011/06/29	2011/07/07
27993	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2011B	2011/06/29	2011/07/07
29146	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2011 C	2011/06/29	2011/07/07
28011	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2011	2011/06/29	2011/07/07
27973	CC Rabobank B.A. – Weichai Power European Warrants Jul 2011	2011/06/30	2011/07/08
27957	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jul 2011 B	2011/06/30	2011/07/08
14428	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2011A	2011/06/30	2011/07/08
28003	RB of Scotland N.V. – China Shenhua European Wts Jul 2011	2011/06/30	2011/07/08
28001	RB of Scotland N.V. – Jiangxi Copper European Wts Jul 2011 A	2011/06/30	2011/07/08
28002	RB of Scotland N.V. – Jiangxi Copper European Wts Jul 2011 B	2011/06/30	2011/07/08
28770	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2011 B	2011/07/04	2011/07/11
27532	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2011 A	2011/07/04	2011/07/11
27960	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2011 B	2011/07/04	2011/07/11
29562	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2011 E	2011/07/04	2011/07/11
27692	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2011 A	2011/07/04	2011/07/11
24110	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Jul 2011	2011/07/04	2011/07/11
27891	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jul 2011	2011/07/04	2011/07/11
27710	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2011 A	2011/07/04	2011/07/11
27483	KBC Fin Products Int'l Ltd. – KECL European Warrants Jul2011	2011/07/04	2011/07/11
28024	KBC Fin Products Int'l Ltd. – Sinopharm Euro Wts Jul 2011A	2011/07/04	2011/07/11
28348	Nomura Int'l plc – BOCL European Warrants Jul 2011 B	2011/07/04	2011/07/11
27603	Standard Chartered Bank – Ping An European Warrants Jul 2011	2011/07/04	2011/07/11
23550	Barclays Bank plc – Agricultural Bank European Wts Jul 2011A	2011/07/05	2011/07/12
26313	Barclays Bank plc – Agricultural Bk European Put Wts Jul 11	2011/07/05	2011/07/12
25902	Barclays Bank plc – BOCL European Put Warrants Jul 2011	2011/07/05	2011/07/12
25893	Barclays Bank plc – BOCL European Warrants Jul 2011	2011/07/05	2011/07/12
23551	Barclays Bank plc – China Coal European Warrants Jul 2011	2011/07/05	2011/07/12
28600	Barclays Bank plc – China Life European Warrants Jul 2011	2011/07/05	2011/07/12
24913	Barclays Bank plc – R&F Ppt European Warrants Jul 2011	2011/07/05	2011/07/12
10032	BNP Paribas Arbit Issu B.V. – EUR/USD European Put Wts Jul11	2011/07/05	2011/07/12
28824	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul11B	2011/07/05	2011/07/12
10037	BNP Paribas Arbit Issu B.V. – USD/YEN European Put Wts Jul11	2011/07/05	2011/07/12
10036	BNP Paribas Arbit Issu B.V. –EUR/USD European Warrants Jul11	2011/07/05	2011/07/12
10031	BNP Paribas Arbit Issu B.V. –USD/YEN European Warrants Jul11	2011/07/05	2011/07/12
28040	BOCI Asia Ltd. – Geely Auto European Warrants Jul 2011	2011/07/05	2011/07/12
25371	BOCI Asia Ltd. – Hutchison European Warrants Jul 2011	2011/07/05	2011/07/12
24818	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2011	2011/07/05	2011/07/12
24840	BOCI Asia Ltd. – Yanzhou Coal European Warrants Jul 2011	2011/07/05	2011/07/12
29183	CC Rabobank B.A. – HSBC European Warrants Jul 2011 B	2011/07/05	2011/07/12
29268	CC Rabobank B.A. – Minsheng Bank European Wts Jul 2011	2011/07/05	2011/07/12
28773	CC Rabobank B.A. – PetroChina European Warrants Jul 2011	2011/07/05	2011/07/12
28788	CC Rabobank B.A. – Wynn Macau European Warrants Jul 2011	2011/07/05	2011/07/12
27835	Citigroup Global Mkt H Inc. – China Tel Euro Wts Jul 2011 A	2011/07/05	2011/07/12
24362	Citigroup Global Mkt H Inc. – CM Bank European Wts Jul 2011	2011/07/05	2011/07/12
27906	Citigroup Global Mkt H Inc. – Esprit European Wts Jul 2011	2011/07/05	2011/07/12
22265	Citigroup Global Mkt H Inc. – Longfor PPT European Wts Jul11	2011/07/05	2011/07/12
27979	Credit Suisse AG – Agricultural Bank European Wts Jul 2011 A	2011/07/05	2011/07/12
10068	Credit Suisse AG – Apple European Warrants Jul 2011	2011/07/05	2011/07/12
26036	Credit Suisse AG – China Coal European Warrants Jul 2011	2011/07/05	2011/07/12
28325	Credit Suisse AG – Hutchison European Put Warrants Jul 2011D	2011/07/05	2011/07/12
11062	Credit Suisse AG – Hutchison European Warrants Jul 2011 E	2011/07/05	2011/07/12
29292	Credit Suisse AG – Tencent Holdings European Wts Jul 2011 C	2011/07/05	2011/07/12
10029	Deutsche Bank AG – AUD/USD European Warrants Jul 2011	2011/07/05	2011/07/12

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27387	Deutsche Bank AG – BOCL European Warrants Jul 2011	2011/07/05	2011/07/12
28106	Deutsche Bank AG – BYD European Warrants Jul 2011	2011/07/05	2011/07/12
27137	Deutsche Bank AG – CC Bank European Warrants Jul 2011 A	2011/07/05	2011/07/12
28638	Deutsche Bank AG – China Mobile European Warrants Jul 2011	2011/07/05	2011/07/12
10034	Deutsche Bank AG – GBP/USD European Put Warrants Jul 2011	2011/07/05	2011/07/12
10030	Deutsche Bank AG – GBP/USD European Warrants Jul 2011	2011/07/05	2011/07/12
28109	Deutsche Bank AG – Geely Auto European Warrants Jul 2011	2011/07/05	2011/07/12
28911	Deutsche Bank AG – Hutchison European Warrants Jul 2011 B	2011/07/05	2011/07/12
24449	Deutsche Bank AG – PICC P&C European Warrants Jul 2011 A	2011/07/05	2011/07/12
27989	Goldman Sachs SP (Asia) – Cheung Kong European Wts Jul 2011	2011/07/05	2011/07/12
28149	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Jul 2011	2011/07/05	2011/07/12
27782	Goldman Sachs SP (Asia) – Sinopharm European Warrants Jul 11	2011/07/05	2011/07/12
28111	J P Morgan SP BV – China Life European Warrants Jul 2011	2011/07/05	2011/07/12
25874	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Jul11A	2011/07/05	2011/07/12
28118	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Jul 11E	2011/07/05	2011/07/12
29834	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Jul 2011B	2011/07/05	2011/07/12
28551	Macquarie Bank Ltd. – Yurun Food European Warrants Jul 2011	2011/07/05	2011/07/12
23422	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Jul 2011	2011/07/05	2011/07/12
22217	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jul 11B	2011/07/05	2011/07/12
10053	Nomura Int'l plc – Apple European Warrants Jul 2011 B	2011/07/05	2011/07/12
28044	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2011 B	2011/07/05	2011/07/12
27018	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul 2011	2011/07/05	2011/07/12
28751	Standard Chartered Bank – HKEx European Warrants Jul 2011	2011/07/05	2011/07/12
26974	Standard Chartered Bank – MTRC European Warrants Jul 2011	2011/07/05	2011/07/12
27941	Standard Chartered Bank – Sinopec Corp European Wts Jul 2011	2011/07/05	2011/07/12
27929	UBS AG – Agricultural Bank European Warrants Jul 2011 A	2011/07/05	2011/07/12
27930	UBS AG – BOCL European Warrants Jul 2011	2011/07/05	2011/07/12
29379	UBS AG – CC Bank European Warrants Jul 2011 C	2011/07/05	2011/07/12
28121	UBS AG – Cheung Kong European Warrants Jul 2011	2011/07/05	2011/07/12
27765	UBS AG – China Life European Warrants Jul 2011 A	2011/07/05	2011/07/12
26828	UBS AG – China Overseas European Warrants Jul 2011	2011/07/05	2011/07/12
28124	UBS AG – Hutchison European Warrants Jul 2011 B	2011/07/05	2011/07/12
27777	UBS AG – ICBC European Warrants Jul 2011	2011/07/05	2011/07/12
24565	UBS AG – PICC European Warrants Jul 2011	2011/07/05	2011/07/12
28800	UBS AG – Wynn Macau European Warrants Jul 2011	2011/07/05	2011/07/12
10033	Deutsche Bank AG – Apple European Warrants Jul 2011 A	2011/07/06	2011/07/13
21876	Macquarie Bank Ltd. – China COSCO European Warrants Jul 2011	2011/07/06	2011/07/13
28147	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2011 B	2011/07/06	2011/07/13
27403	RB of Scotland N.V. – CNOOC European Warrants Jul 2011	2011/07/06	2011/07/13
26017	HK Bank – SHK Ppt European Put Warrants Jul 2011	2011/07/07	2011/07/14
27355	KBC Fin Products Int'l Ltd. – Esprit European Wts Jul 2011	2011/07/07	2011/07/14
28187	Macquarie Bank Ltd. – Sh Petrochem European Wts Jul 2011	2011/07/07	2011/07/14
28055	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Jul 11A	2011/07/07	2011/07/14
26813	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts Jul2011A	2011/07/07	2011/07/14
26814	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Jul11	2011/07/07	2011/07/14
28218	RB of Scotland N.V. – HSBC European Warrants Jul 2011 A	2011/07/08	2011/07/15
28219	RB of Scotland N.V. – HWL European Warrants Jul 2011	2011/07/08	2011/07/15
11241	Credit Suisse AG – Agricultural Bank European Wts Jul 2011 C	2011/07/11	2011/07/18
28446	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2011 B	2011/07/11	2011/07/18
27398	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Jul 2011	2011/07/11	2011/07/18
27874	J P Morgan SP BV – BOCL European Warrants Jul 2011	2011/07/11	2011/07/18
27712	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jul 2011	2011/07/11	2011/07/18
27714	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Jul11B	2011/07/11	2011/07/18
28194	KBC Fin Products Int'l Ltd. – Angang Steel Euro Put Wt Jul11	2011/07/11	2011/07/18
27958	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Jul 2011	2011/07/11	2011/07/18
27533	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2011 B	2011/07/11	2011/07/18
28066	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jul 2011 C	2011/07/11	2011/07/18
28113	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jul 2011 B	2011/07/11	2011/07/18
28196	KBC Fin Products Int'l Ltd. – CNBM European Put Wts Jul11	2011/07/11	2011/07/18
25981	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11D	2011/07/11	2011/07/18
27408	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Jul11	2011/07/11	2011/07/18
26983	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Jul 2011	2011/07/11	2011/07/18
29409	KBC Fin Products Int'l Ltd. – Ping An European Wts Jul 2011B	2011/07/11	2011/07/18
25461	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jul 2011	2011/07/11	2011/07/18
26943	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jul 11B	2011/07/11	2011/07/18
28193	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Jul 2011A	2011/07/11	2011/07/18
28184	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2011 B	2011/07/11	2011/07/18
26527	Barclays Bank plc – Agricultural Bank European Wts Jul 2011B	2011/07/12	2011/07/19
24910	Barclays Bank plc – Chi Res Power European Warrants Jul 2011	2011/07/12	2011/07/19
24920	Barclays Bank plc – GCL-Poly Energy European Warrants Jul 11	2011/07/12	2011/07/19
23027	Barclays Bank plc – HKEx European Warrants Jul 2011 A	2011/07/12	2011/07/19
28598	Barclays Bank plc – Hutchison European Warrants Jul 2011	2011/07/12	2011/07/19
25894	Barclays Bank plc – Jiangxi Copper European Warrants Jul 11	2011/07/12	2011/07/19
25898	Barclays Bank plc – Yanzhou Coal European Warrants Jul 2011	2011/07/12	2011/07/19
20411	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Jul 2011	2011/07/12	2011/07/19
29089	BOCI Asia Ltd. – CC Bank European Warrants Jul 2011	2011/07/12	2011/07/19
28434	BOCI Asia Ltd. – China Life European Warrants Jul 2011	2011/07/12	2011/07/19
28769	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2011 A	2011/07/12	2011/07/19
28768	CC Rabobank B.A. – China Life European Warrants Jul 2011	2011/07/12	2011/07/19
28786	CC Rabobank B.A. – Sands China European Warrants Jul 2011	2011/07/12	2011/07/19
27562	Citigroup Global Mkt H Inc. – CC Bank European Wts Jul 2011	2011/07/12	2011/07/19
28220	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2011	2011/07/12	2011/07/19
22260	Citigroup Global Mkt H Inc. – Datang European Warrants Jul11	2011/07/12	2011/07/19
26256	Citigroup Global Mkt H Inc. – Geely Auto European Wts Jul11	2011/07/12	2011/07/19
27346	Citigroup Global Mkt H Inc. – Li Ning European Wts Jul 2011B	2011/07/12	2011/07/19
29149	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Jul 11	2011/07/12	2011/07/19
27291	Citigroup Global Mkt H Inc. – Sh Ind H European Wts Jul 2011	2011/07/12	2011/07/19

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25971	Credit Suisse AG – China COSCO European Warrants Jul 2011 A	2011/07/12	2011/07/19
26037	Credit Suisse AG – China COSCO European Warrants Jul 2011 B	2011/07/12	2011/07/19
29939	Credit Suisse AG – CNOOC European Warrants Jul 2011 A	2011/07/12	2011/07/19
23589	Credit Suisse AG – HKEx European Put Warrants Jul 2011	2011/07/12	2011/07/19
23585	Credit Suisse AG – HKEx European Warrants Jul 2011 A	2011/07/12	2011/07/19
26604	Credit Suisse AG – HKEx European Warrants Jul 2011 C	2011/07/12	2011/07/19
28255	Credit Suisse AG – Hutchison European Put Warrants Jul 2011B	2011/07/12	2011/07/19
28869	Credit Suisse AG – Lenovo European Warrants Jul 2011	2011/07/12	2011/07/19
28392	Deutsche Bank AG – Dongfeng Motor European Warrants Jul 11	2011/07/12	2011/07/19
27275	Deutsche Bank AG – Agricultural Bk European Warrants Jul 11B	2011/07/12	2011/07/19
10043	Deutsche Bank AG – Apple European Warrants Jul 2011 B	2011/07/12	2011/07/19
28208	Deutsche Bank AG – Bank of EA European Warrants Jul 2011	2011/07/12	2011/07/19
28872	Deutsche Bank AG – CC Bank European Warrants Jul 2011 B	2011/07/12	2011/07/19
26706	Deutsche Bank AG – CNOOC European Warrants Jul 2011 A	2011/07/12	2011/07/19
29158	Deutsche Bank AG – CNOOC European Warrants Jul 2011 B	2011/07/12	2011/07/19
28140	Deutsche Bank AG – Esprit European Warrants Jul 2011	2011/07/12	2011/07/19
23260	Deutsche Bank AG – HKEx European Warrants Jul 2011 A	2011/07/12	2011/07/19
29160	Deutsche Bank AG – HSBC European Warrants Jul 2011 B	2011/07/12	2011/07/19
29972	Deutsche Bank AG – HSBC European Warrants Jul 2011 D	2011/07/12	2011/07/19
28966	Deutsche Bank AG – Hutchison European Warrants Jul 2011 C	2011/07/12	2011/07/19
29811	Deutsche Bank AG – Hutchison European Warrants Jul 2011 D	2011/07/12	2011/07/19
28967	Deutsche Bank AG – PetroChina European Warrants Jul 2011	2011/07/12	2011/07/19
28142	Deutsche Bank AG – Sands China European Warrants Jul 2011 A	2011/07/12	2011/07/19
28210	Deutsche Bank AG – Sinofert European Warrants Jul 2011	2011/07/12	2011/07/19
28211	Deutsche Bank AG – Wynn Macau European Warrants Jul 2011	2011/07/12	2011/07/19
28234	Goldman Sachs SP (Asia) – Evergrande European Wts Jul 2011 B	2011/07/12	2011/07/19
28200	Goldman Sachs SP (Asia) – HKEx European Warrants Jul 2011	2011/07/12	2011/07/19
28032	Goldman Sachs SP (Asia) – PetroChina European Wts Jul 2011	2011/07/12	2011/07/19
28761	HK Bank – Minsheng Bank European Warrants Jul 2011	2011/07/12	2011/07/19
27774	J P Morgan SP BV – CNOOC European Warrants Jul 2011 B	2011/07/12	2011/07/19
29155	J P Morgan SP BV – HSBC European Warrants Jul 2011 D	2011/07/12	2011/07/19
28243	J P Morgan SP BV – Yurun Food European Warrants Jul 2011	2011/07/12	2011/07/19
23223	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11B	2011/07/12	2011/07/19
24027	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jul 2011	2011/07/12	2011/07/19
27894	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2011 B	2011/07/12	2011/07/19
27713	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Jul 11D	2011/07/12	2011/07/19
28117	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2011 C	2011/07/12	2011/07/19
26317	Macquarie Bank Ltd. – China Mengniu European Wts Jul 2011	2011/07/12	2011/07/19
29025	Macquarie Bank Ltd. – China Tel European Warrants Jul 2011	2011/07/12	2011/07/19
25135	Macquarie Bank Ltd. – COSCO Pacific European Warrants Jul 11	2011/07/12	2011/07/19
29783	Macquarie Bank Ltd. – Esprit European Warrants Jul 2011	2011/07/12	2011/07/19
24880	Macquarie Bank Ltd. – HKEx European Warrants Jul 2011 B	2011/07/12	2011/07/19
28246	Macquarie Bank Ltd. – Minsheng Bank European Warrants Jul 11	2011/07/12	2011/07/19
26831	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jul 2011	2011/07/12	2011/07/19
22292	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jul 2011	2011/07/12	2011/07/19
17360	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jul 11A	2011/07/12	2011/07/19
11138	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Jul 2011	2011/07/12	2011/07/19
26519	Nomura Int'l plc – CNOOC European Warrants Jul 2011 A	2011/07/12	2011/07/19
26521	Nomura Int'l plc – HKEx European Warrants Jul 2011 B	2011/07/12	2011/07/19
24498	Nomura Int'l plc – Nine Dragons European Warrants Jul 2011	2011/07/12	2011/07/19
28158	RB of Scotland N.V. – CC Bank European Warrants Jul 2011 A	2011/07/12	2011/07/19
24354	RB of Scotland N.V. – HKEx European Warrants Jul 2011 A	2011/07/12	2011/07/19
28129	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2011	2011/07/12	2011/07/19
24133	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 A	2011/07/12	2011/07/19
27264	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 C	2011/07/12	2011/07/19
29338	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2011 E	2011/07/12	2011/07/19
26105	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 11	2011/07/12	2011/07/19
28128	SGA Societe Generale Acceptance N.V. –Ch Mob Eu Wt Jul 2011A	2011/07/12	2011/07/19
11149	Standard Chartered Bank – HSBC European Warrants Jul 2011 B	2011/07/12	2011/07/19
29626	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2011	2011/07/12	2011/07/19
29619	UBS AG – China Tel European Warrants Jul 2011 B	2011/07/12	2011/07/19
23056	UBS AG – HKEx European Warrants Jul 2011 A	2011/07/12	2011/07/19
27330	UBS AG – HKEx European Warrants Jul 2011 D	2011/07/12	2011/07/19
28162	UBS AG – Hutchison European Warrants Jul 2011 C	2011/07/12	2011/07/19
28799	UBS AG – Sands China European Warrants Jul 2011	2011/07/12	2011/07/19
26830	UBS AG – Shimao Ppt European Warrants Jul 2011	2011/07/12	2011/07/19
29361	UBS AG – Tencent Holdings European Put Warrants Jul 2011	2011/07/12	2011/07/19
28276	Credit Suisse AG – Yanzhou Coal European Warrants Jul 2011	2011/07/13	2011/07/20
23477	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011 A	2011/07/13	2011/07/20
29494	CC Rabobank B.A. – CC Bank European Warrants Jul 2011 B	2011/07/14	2011/07/21
29271	CC Rabobank B.A. – Esprit European Warrants Jul 2011	2011/07/14	2011/07/21
26781	HK Bank – HKEx European Warrants Jul 2011	2011/07/14	2011/07/21
27880	J P Morgan SP BV – Agile Ppt European Warrants Jul 2011 B	2011/07/14	2011/07/21
28300	J P Morgan SP BV – HSBC European Warrants Jul 2011 B	2011/07/14	2011/07/21
28302	J P Morgan SP BV – Stanchart European Warrants Jul 2011	2011/07/14	2011/07/21
29833	KBC Fin Products Int'l Ltd. – Sinopharm Euro Wts Jul 2011 B	2011/07/14	2011/07/21
26155	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011 B	2011/07/14	2011/07/21
29169	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2011 B	2011/07/14	2011/07/21
26957	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts Jul 11B	2011/07/14	2011/07/21
28291	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2011 C	2011/07/14	2011/07/21
26669	SGA Societe Generale Acceptance N.V. –Agile Ppt Eu Wts Jul11	2011/07/14	2011/07/21
26803	SGA Societe Generale Acceptance N.V. –Shimao Ppt Eu Wt Jul 11	2011/07/14	2011/07/21
26958	SGA Societe Generale Acceptance NV –HuanengPower Eu Wt Jul11A	2011/07/14	2011/07/21
28780	CC Rabobank B.A. – AIA European Warrants Jul 2011 B	2011/07/15	2011/07/22
28849	CC Rabobank B.A. – CPIC European Warrants Jul 2011	2011/07/15	2011/07/22
28495	CC Rabobank B.A. – GCL-Poly Energy European Wts Jul 2011	2011/07/15	2011/07/22
28286	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2011	2011/07/15	2011/07/22

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28458	Credit Suisse AG – AIA European Warrants Jul 2011A	2011/07/15	2011/07/22
11312	Credit Suisse AG – Tencent Holdings European Wts Jul 2011 D	2011/07/15	2011/07/22
29043	Deutsche Bank AG – AIA European Warrants Jul 2011	2011/07/15	2011/07/22
26779	HK Bank – China Life European Warrants Jul 2011	2011/07/15	2011/07/22
24721	HK Bank – CNOOC European Warrants Jul 2011 A	2011/07/15	2011/07/22
28366	J P Morgan SP BV – Hutchison European Warrants Jul 2011	2011/07/15	2011/07/22
27154	J P Morgan SP BV – Tencent European Warrants Jul 2011	2011/07/15	2011/07/22
29403	KBC Fin Products Int'l Ltd. – AIA European Warrants Jul 11B	2011/07/15	2011/07/22
27392	Macquarie Bank Ltd. – Gome European Warrants Jul 2011	2011/07/15	2011/07/22
29384	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2011 C	2011/07/15	2011/07/22
27046	Nomura Int'l plc – AIA European Warrants Jul 2011	2011/07/15	2011/07/22
28345	Nomura Int'l plc – China Mengniu European Warrants Jul 2011	2011/07/15	2011/07/22
29010	Nomura Int'l plc – Sinopharm European Warrants Jul 2011	2011/07/15	2011/07/22
29068	UBS AG – AIA European Warrants Jul 2011 A	2011/07/15	2011/07/22
28994	CC Rabobank B.A. – HSBC European Put Warrants Jul 2011	2011/07/18	2011/07/25
27820	Credit Suisse AG – SHK Ppt European Warrants Jul 2011	2011/07/18	2011/07/25
29480	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2011 B	2011/07/18	2011/07/25
28362	Goldman Sachs SP (Asia) – HS Bank European Warrants Jul 2011	2011/07/18	2011/07/25
29482	Goldman Sachs SP (Asia) – HSBC European Put Wts Jul 2011	2011/07/18	2011/07/25
25718	HK Bank – C Transmission European Warrants Jul 2011	2011/07/18	2011/07/25
29055	HK Bank – CC Bank European Warrants Jul 2011	2011/07/18	2011/07/25
24768	HK Bank – Dongfeng Motor European Warrants Jul 2011	2011/07/18	2011/07/25
27575	HK Bank – ICBC European Warrants Jul 2011	2011/07/18	2011/07/25
28952	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2011 B	2011/07/18	2011/07/25
28459	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jul 2011	2011/07/18	2011/07/25
24587	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2011 A	2011/07/18	2011/07/25
28812	Macquarie Bank Ltd. – Agricultural Bk European Warrants Jul 11	2011/07/18	2011/07/25
11132	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Jul 2011	2011/07/18	2011/07/25
29525	RB of Scotland N.V. – ICBC European Warrants Jul 2011	2011/07/18	2011/07/25
28851	Standard Chartered Bank – BOC HK European Warrants Jul 2011	2011/07/18	2011/07/25
28491	Standard Chartered Bank – Jiangxi Copper European Wts Jul 11	2011/07/18	2011/07/25
29030	UBS AG – Agricultural Bank European Warrants Jul 2011 B	2011/07/18	2011/07/25
29358	UBS AG – CC Bank European Warrants Jul 2011 B	2011/07/18	2011/07/25
27886	Barclays Bank plc – China Mobile European Wts Jul 2011	2011/07/19	2011/07/26
28599	Barclays Bank plc – HKEx European Warrants Jul 2011 B	2011/07/19	2011/07/26
27306	Barclays Bank plc – HSBC European Warrants Jul 2011	2011/07/19	2011/07/26
18876	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Jul 11A	2011/07/19	2011/07/26
28287	BOCI Asia Ltd. – Evergrande European Warrants Jul 2011	2011/07/19	2011/07/26
28288	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Jul 2011B	2011/07/19	2011/07/26
27400	BOCI Asia Ltd. – Poly HK Inv European Warrants Jul 2011	2011/07/19	2011/07/26
17394	CC Rabobank B.A. – Hutchison European Warrants Jul 2011	2011/07/19	2011/07/26
28284	CC Rabobank B.A. – Lenovo European Warrants Jul 2011	2011/07/19	2011/07/26
27650	CC Rabobank B.A. – Sany Int'l European Warrants Jul 2011	2011/07/19	2011/07/26
22259	Citigroup Global Mkt H Inc. – Chi Resources Euro Wts Jul 11	2011/07/19	2011/07/26
22257	Citigroup Global Mkt H Inc. – China Mengniu Euro Wts Jul 11	2011/07/19	2011/07/26
22735	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Jul 2011	2011/07/19	2011/07/26
26452	Citigroup Global Mkt H Inc. – CNOOC European Wts Jul 2011	2011/07/19	2011/07/26
23012	Citigroup Global Mkt H Inc. – COSCO Pacific Euro Wts Jul 11	2011/07/19	2011/07/26
25518	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jul 2011	2011/07/19	2011/07/26
22738	Citigroup Global Mkt H Inc. – Li Ning European Wts Jul 2011A	2011/07/19	2011/07/26
23467	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2011	2011/07/19	2011/07/26
22992	Citigroup Global Mkt H Inc. – Poly HK Inv Euro Wts Jul 2011	2011/07/19	2011/07/26
23961	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Jul 2011	2011/07/19	2011/07/26
28462	Credit Suisse – Cheung Kong European Put Warrants Jul 2011 B	2011/07/19	2011/07/26
18160	Credit Suisse – Hutchison European Warrants Jul 2011 A	2011/07/19	2011/07/26
11240	Credit Suisse AG – Agricultural Bank European Wts Jul 2011 B	2011/07/19	2011/07/26
29436	Credit Suisse AG – AIA European Warrants Jul 2011 B	2011/07/19	2011/07/26
11234	Credit Suisse AG – CC Bank European Warrants Jul 2011	2011/07/19	2011/07/26
11235	Credit Suisse AG – China Tel European Warrants Jul 2011 B	2011/07/19	2011/07/26
11060	Credit Suisse AG – CNOOC European Warrants Jul 2011 B	2011/07/19	2011/07/26
25972	Credit Suisse AG – European Warrants Jul 2011	2011/07/19	2011/07/26
10067	Credit Suisse AG – Google European Warrants Jul 2011	2011/07/19	2011/07/26
25754	Credit Suisse AG – HKEx European Warrants Jul 2011 B	2011/07/19	2011/07/26
11061	Credit Suisse AG – HSBC European Wts Jul 2011	2011/07/19	2011/07/26
28317	Credit Suisse AG – Hutchison European Warrants Jul 2011 C	2011/07/19	2011/07/26
28747	Credit Suisse AG – PetroChina European Warrants Jul 2011	2011/07/19	2011/07/26
25122	Credit Suisse AG – Sinopec Corp European Warrants Jul 2011	2011/07/19	2011/07/26
28463	Credit Suisse AG – Tencent Holdings European Put Wts Jul 11A	2011/07/19	2011/07/26
29332	Credit Suisse AG – Tencent Holdings European Put Wts Jul 11B	2011/07/19	2011/07/26
27274	Deutsche Bank AG – Agricultural Bk European Warrants Jul 11A	2011/07/19	2011/07/26
10045	Deutsche Bank AG – Apple European Put Warrants Jul 2011	2011/07/19	2011/07/26
29497	Deutsche Bank AG – CC Bank European Warrants Jul 2011 C	2011/07/19	2011/07/26
28328	Deutsche Bank AG – China Life European Put Wts Jul 2011	2011/07/19	2011/07/26
28790	Deutsche Bank AG – China Life European Warrants Jul 2011	2011/07/19	2011/07/26
26189	Deutsche Bank AG – COSCO Pacific European Warrants Jul 2011	2011/07/19	2011/07/26
23841	Deutsche Bank AG – Foxconn European Warrants Jul 2011	2011/07/19	2011/07/26
27316	Deutsche Bank AG – HKEx European Warrants Jul 2011 B	2011/07/19	2011/07/26
28330	Deutsche Bank AG – HSBC European Warrants Jul 2011 A	2011/07/19	2011/07/26
28233	Deutsche Bank AG – Hutchison European Warrants Jul 2011 A	2011/07/19	2011/07/26
27283	Deutsche Bank AG – ICBC European Warrants Jul 2011 A	2011/07/19	2011/07/26
28417	Deutsche Bank AG – Lenovo European Warrants Jul 2011	2011/07/19	2011/07/26
26080	Deutsche Bank AG – PICC P&C European Warrants Jul 2011 B	2011/07/19	2011/07/26
28248	Deutsche Bank AG – Sands China European Warrants Jul 2011 B	2011/07/19	2011/07/26
23233	Deutsche Bank AG – Sinopec Corp European Warrants Jul 2011 A	2011/07/19	2011/07/26
28393	Deutsche Bank AG – Tencent Holdings European Put Wt Jul 2011	2011/07/19	2011/07/26
25802	Deutsche Bank AG – Tencent Holdings European Warrants Jul 11A	2011/07/19	2011/07/26
27126	Goldman Sachs SP (Asia) – BOCL European Warrants Jul 2011	2011/07/19	2011/07/26

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28271	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2011 B	2011/07/19	2011/07/26
28258	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2011 B	2011/07/19	2011/07/26
28445	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2011 A	2011/07/19	2011/07/26
28307	Goldman Sachs SP (Asia) – China Tel European Wts Jul 2011	2011/07/19	2011/07/26
28375	Goldman Sachs SP (Asia) – GCL-Poly Energy European Wts Jul11	2011/07/19	2011/07/26
28590	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2011	2011/07/19	2011/07/26
28259	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2011 B	2011/07/19	2011/07/26
29483	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2011	2011/07/19	2011/07/26
28762	HK Bank – PetroChina European Warrants Jul 2011	2011/07/19	2011/07/26
24380	J P Morgan SP BV – Agile Ppt European Warrants Jul 2011 A	2011/07/19	2011/07/26
26681	J P Morgan SP BV – CNOOC European Warrants Jul 2011 A	2011/07/19	2011/07/26
28407	J P Morgan SP BV – Esprit European Wts Jul 2011	2011/07/19	2011/07/26
22309	J P Morgan SP BV – HKEx European Warrants Jul 2011 A	2011/07/19	2011/07/26
24755	J P Morgan SP BV – KECL European Warrants Jul 2011	2011/07/19	2011/07/26
20114	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2011 A	2011/07/19	2011/07/26
28448	KBC Fin Products Int'l Ltd. – AIA European Warrants Jul11A	2011/07/19	2011/07/26
28421	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2011 F	2011/07/19	2011/07/26
21404	Macquarie Bank Ltd. – Ch Comm Cons European Wts Jul 2011	2011/07/19	2011/07/26
15415	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2011 A	2011/07/19	2011/07/26
11136	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Jul 2011	2011/07/19	2011/07/26
27922	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jul 2011	2011/07/19	2011/07/26
29174	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jul 2011	2011/07/19	2011/07/26
18795	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jul 2011	2011/07/19	2011/07/26
28481	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Jul 2011	2011/07/19	2011/07/26
26518	Nomura Int'l plc – BOC HK European Warrants Jul 2011	2011/07/19	2011/07/26
29049	RB of Scotland N.V. – Agricultural Bk European Wts Jul 2011	2011/07/19	2011/07/26
11090	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2011 C	2011/07/19	2011/07/26
26479	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 11 A	2011/07/19	2011/07/26
28893	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2011C	2011/07/19	2011/07/26
17758	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 11A	2011/07/19	2011/07/26
28350	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 11B	2011/07/19	2011/07/26
23831	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wts Jul 11	2011/07/19	2011/07/26
29086	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 D	2011/07/19	2011/07/26
29262	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2011 D	2011/07/19	2011/07/26
26961	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2011 A	2011/07/19	2011/07/26
28051	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2011 B	2011/07/19	2011/07/26
28353	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts Jul 11	2011/07/19	2011/07/26
28354	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2011	2011/07/19	2011/07/26
26795	SGA Societe Generale Acceptance N.V. –A50 Ch Eu Put Wt Jul 11	2011/07/19	2011/07/26
28056	SGA Societe Generale Acceptance N.V. –Mongolia En Eu Wt Jul11	2011/07/19	2011/07/26
27681	SGA Societe Generale Acceptance NV –Minsheng Bk Eu Wt Jul 11	2011/07/19	2011/07/26
27471	Standard Chartered Bank – BOCL European Warrants Jul 2011	2011/07/19	2011/07/26
28180	Standard Chartered Bank – CNOOC European Warrants Jul 2011	2011/07/19	2011/07/26
28690	Standard Chartered Bank –China Life European Wts Jul 2011 C	2011/07/19	2011/07/26
29414	UBS AG – AIA European Warrants Jul 2011 B	2011/07/19	2011/07/26
28795	UBS AG – Bank of EA European Warrants Jul 2011	2011/07/19	2011/07/26
28335	UBS AG – CC Bank European Warrants Jul 2011 A	2011/07/19	2011/07/26
16722	UBS AG – China Coal European Warrants Jul 2011	2011/07/19	2011/07/26
28336	UBS AG – China Life European Warrants Jul 2011 B	2011/07/19	2011/07/26
28742	UBS AG – China Life European Warrants Jul 2011 C	2011/07/19	2011/07/26
15586	UBS AG – China Mobile European Warrants Jul 2011 A	2011/07/19	2011/07/26
25556	UBS AG – CNOOC European Warrants Jul 2011	2011/07/19	2011/07/26
25557	UBS AG – HKEx European Warrants Jul 2011 B	2011/07/19	2011/07/26
28338	UBS AG – HSBC European Warrants Jul 2011 A	2011/07/19	2011/07/26
11307	UBS AG – HSBC European Warrants Jul 2011 C	2011/07/19	2011/07/26
15225	UBS AG – Hutchison European Warrants Jul 2011 A	2011/07/19	2011/07/26
28264	UBS AG – Hutchison European Warrants Jul 2011 D	2011/07/19	2011/07/26
17497	UBS AG – Sinopec Corp European Warrants Jul 2011 A	2011/07/19	2011/07/26
29740	UBS AG – Tencent Holdings European Warrants Jul 2011 A	2011/07/19	2011/07/26
29227	UBS AG – Yanzhou Coal European Wts Jul 2011	2011/07/19	2011/07/26
27472	CC Rabobank B.A. – Belle Int'l European Warrants Jul 2011	2011/07/20	2011/07/27
29524	CC Rabobank B.A. – China Mer Hold European Warrants Jul 2011	2011/07/20	2011/07/27
28996	CC Rabobank B.A. – Hutchison European Put Warrants Jul 2011	2011/07/20	2011/07/27
27833	Citigroup Global Mkt H Inc. – BankComm European Wts Jul 2011	2011/07/20	2011/07/27
28136	Citigroup Global Mkt H Inc. – HKEx European Wts Jul 2011	2011/07/20	2011/07/27
29531	Nomura Int'l plc – China Mer Hold European Warrants Jul 2011	2011/07/20	2011/07/27
11370	UBS AG – Agricultural Bank European Warrants Jul 2011 D	2011/07/20	2011/07/27
28500	UBS AG – China Tel European Warrants Jul 2011 A	2011/07/20	2011/07/27
28513	Citigroup Global Mkt H Inc. – China Tel Euro Wts Jul 2011 B	2011/07/21	2011/07/28
28525	Credit Suisse AG – China Tel European Warrants Jul 2011 A	2011/07/21	2011/07/28
28488	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2011 C	2011/07/21	2011/07/28
29585	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2011 B	2011/07/21	2011/07/28
28721	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jul 11B	2011/07/21	2011/07/28
28515	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jul2011A	2011/07/21	2011/07/28
28928	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2011 B	2011/07/21	2011/07/28
29586	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2011 C	2011/07/21	2011/07/28
28518	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts Jul11	2011/07/21	2011/07/28
28272	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jul 11	2011/07/21	2011/07/28
26675	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts Jul 11	2011/07/21	2011/07/28
28517	SGA Societe Generale Acceptance N.V. –CITIC Pac Eu Wts Jul11	2011/07/21	2011/07/28
28522	SGA Societe Generale Acceptance N.V. –Sinopharm Eu Wts Jul11	2011/07/21	2011/07/28
28519	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Jul11A	2011/07/21	2011/07/28
28520	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Jul11B	2011/07/21	2011/07/28
26799	SGA Societe Generale Acceptance N.V. –C Transmiss Eu Wt Jul11A	2011/07/21	2011/07/28
28521	SGA Societe Generale Acceptance NV –HuanengPower Eu Wt Jul11B	2011/07/21	2011/07/28
25300	Standard Chartered Bank – HSBC European Warrants Jul 2011 A	2011/07/21	2011/07/28
27030	Barclays Bank plc – CC Bank European Warrants Jul 2011	2011/07/22	2011/07/29



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28753	Barclays Bank plc – HSI European Warrants Jul 2011	2011/07/22	2011/07/29
28969	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2011	2011/07/22	2011/07/29
22781	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2011	2011/07/22	2011/07/29
26655	CC Rabobank B.A. – A50 China European Warrants Jul 2011	2011/07/22	2011/07/29
25229	CC Rabobank B.A. – Agricultural Bk European Warrants Jul 11	2011/07/22	2011/07/29
26302	CC Rabobank B.A. – AIA European Warrants Jul 2011 A	2011/07/22	2011/07/29
28283	CC Rabobank B.A. – China Mengniu European Warrants Jul 2011	2011/07/22	2011/07/29
24006	CC Rabobank B.A. – CNOOC European Warrants Jul 2011 A	2011/07/22	2011/07/29
25690	CC Rabobank B.A. – CNOOC European Warrants Jul 2011 B	2011/07/22	2011/07/29
25379	CC Rabobank B.A. – COSCO Pacific European Warrants Jul 2011	2011/07/22	2011/07/29
27720	CC Rabobank B.A. – ENN Energy European Warrants Jul 2011	2011/07/22	2011/07/29
23006	CC Rabobank B.A. – Foxconn European Warrants Jul 2011	2011/07/22	2011/07/29
28356	CC Rabobank B.A. – Shanshui Cement European Warrants Jul 11	2011/07/22	2011/07/29
27337	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Jul 2011	2011/07/22	2011/07/29
27333	Citigroup Global Mkt H Inc. – HSCEI European Wts Jul 2011	2011/07/22	2011/07/29
11333	Citigroup Global Mkt H Inc. – HSI European Put Wts Jul 2011	2011/07/22	2011/07/29
11329	Citigroup Global Mkt H Inc. – HSI European Warrants Jul 2011	2011/07/22	2011/07/29
27362	Citigroup Global Mkt H Inc. – Sinopharm European Wts Jul 11	2011/07/22	2011/07/29
29944	Credit Suisse AG – HSI European Put Warrants Jul 2011 B	2011/07/22	2011/07/29
11044	Credit Suisse AG – HSI European Put Warrants Jul 2011 D	2011/07/22	2011/07/29
11057	Credit Suisse AG – HSI European Put Warrants Jul 2011 E	2011/07/22	2011/07/29
11239	Credit Suisse AG – HSI European Put Warrants Jul 2011 G	2011/07/22	2011/07/29
29940	Credit Suisse AG – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
11040	Credit Suisse AG – HSI European Warrants Jul 2011 C	2011/07/22	2011/07/29
11168	Credit Suisse AG – HSI European Warrants Jul 2011 F	2011/07/22	2011/07/29
28477	Deutsche Bank AG – HSI European Put Warrants Jul 2011 C	2011/07/22	2011/07/29
28478	Deutsche Bank AG – HSI European Put Warrants Jul 2011 D	2011/07/22	2011/07/29
29713	Deutsche Bank AG – HSI European Put Warrants Jul 2011 E	2011/07/22	2011/07/29
28472	Deutsche Bank AG – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
28473	Deutsche Bank AG – HSI European Warrants Jul 2011 B	2011/07/22	2011/07/29
11018	Deutsche Bank AG – HSI European Warrants Jul 2011 F	2011/07/22	2011/07/29
11019	Deutsche Bank AG – HSI European Warrants Jul 2011 G	2011/07/22	2011/07/29
27423	Deutsche Bank AG – Sinopec Corp European Warrants Jul 2011 B	2011/07/22	2011/07/29
28429	Goldman Sachs SP (Asia) – Agricultural Bk Euro Wts Jul 11 A	2011/07/22	2011/07/29
28035	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2011 C	2011/07/22	2011/07/29
28174	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2011 E	2011/07/22	2011/07/29
28374	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2011 G	2011/07/22	2011/07/29
26735	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
28031	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2011 B	2011/07/22	2011/07/29
28170	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2011 D	2011/07/22	2011/07/29
28363	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2011 F	2011/07/22	2011/07/29
27609	HK Bank – GCL-Poly Energy European Warrants Jul 2011	2011/07/22	2011/07/29
28305	HK Bank – HSI European Put Warrants Jul 2011	2011/07/22	2011/07/29
25936	HK Bank – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
27107	HK Bank – HSI European Warrants Jul 2011 B	2011/07/22	2011/07/29
25473	HK Bank – PICC European Warrants Jul 2011 B	2011/07/22	2011/07/29
26460	HK Bank – PICC European Warrants Jul 2011 C	2011/07/22	2011/07/29
25474	HK Bank – Tencent European Warrants Jul 2011	2011/07/22	2011/07/29
29243	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jul 2011	2011/07/22	2011/07/29
27785	J P Morgan SP BV – AIA European Warrants Jul 2011	2011/07/22	2011/07/29
28524	J P Morgan SP BV – China Tel European Warrants Jul 2011	2011/07/22	2011/07/29
25934	J P Morgan SP BV – HKEx European Warrants Jul 2011 B	2011/07/22	2011/07/29
28299	J P Morgan SP BV – HSBC European Warrants Jul 2011 A	2011/07/22	2011/07/29
28301	J P Morgan SP BV – HSBC European Warrants Jul 2011 C	2011/07/22	2011/07/29
28368	J P Morgan SP BV – PetroChina European Warrants Jul 2011	2011/07/22	2011/07/29
26759	J P Morgan SP BV – PICC European Warrants Jul 2011	2011/07/22	2011/07/29
27807	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2011 C	2011/07/22	2011/07/29
29604	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2011 D	2011/07/22	2011/07/29
27750	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2011 A	2011/07/22	2011/07/29
27804	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2011 B	2011/07/22	2011/07/29
25384	Macquarie Bank Ltd. – BOCHK European Warrants Jul 2011	2011/07/22	2011/07/29
27799	Macquarie Bank Ltd. – BYD European Warrants Jul 2011	2011/07/22	2011/07/29
28552	Macquarie Bank Ltd. – China Mobile European Wts Jul 2011	2011/07/22	2011/07/29
27478	Macquarie Bank Ltd. – CPIC European Warrants Jul 2011	2011/07/22	2011/07/29
25141	Macquarie Bank Ltd. – Dongfeng Motor European Wts Jul 2011 B	2011/07/22	2011/07/29
23683	Macquarie Bank Ltd. – Foxconn European Warrants Jul 2011	2011/07/22	2011/07/29
29396	Macquarie Bank Ltd. – HSI European Warrants Jul 2011	2011/07/22	2011/07/29
26582	Macquarie Bank Ltd. – New World Dev European Put Wts Jul 11	2011/07/22	2011/07/29
28125	Macquarie Bank Ltd. – Sh Ind H European Warrants Jul 2011	2011/07/22	2011/07/29
28199	Macquarie Bank Ltd. –GCL-Poly Energy European Warrants Jul 11	2011/07/22	2011/07/29
28092	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Jul 2011	2011/07/22	2011/07/29
11077	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jul 2011 G	2011/07/22	2011/07/29
29199	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts Jul 11D	2011/07/22	2011/07/29
29200	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts Jul 11E	2011/07/22	2011/07/29
29201	Merrill Lynch Int'l & Co. C.V. –HSI European Put Wts Jul 11F	2011/07/22	2011/07/29
27294	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants Jul 11B	2011/07/22	2011/07/29
29195	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants Jul 11C	2011/07/22	2011/07/29
23947	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants Jul 11A	2011/07/22	2011/07/29
10058	Nomura Int'l plc – Apple European Put Warrants Jul 2011	2011/07/22	2011/07/29
27553	Nomura Int'l plc – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
27554	Nomura Int'l plc – HSI European Warrants Jul 2011 B	2011/07/22	2011/07/29
29465	RB of Scotland N.V. – HSI European Put Warrants Jul 2011	2011/07/22	2011/07/29
29464	RB of Scotland N.V. – HSI European Warrants Jul 2011	2011/07/22	2011/07/29
29264	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 11	2011/07/22	2011/07/29
26567	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2011 A	2011/07/22	2011/07/29
28410	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2011 B	2011/07/22	2011/07/29
28892	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2011 C	2011/07/22	2011/07/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24661	Standard Chartered Bank – A50 China European Warrants Jul 11	2011/07/22	2011/07/29
26029	Standard Chartered Bank-China Life European Warrants Jul 11A	2011/07/22	2011/07/29
27955	UBS AG – HSI European Put Warrants Jul 2011 C	2011/07/22	2011/07/29
29415	UBS AG – HSI European Put Warrants Jul 2011 D	2011/07/22	2011/07/29
11128	UBS AG – HSI European Put Warrants Jul 2011 G	2011/07/22	2011/07/29
11165	UBS AG – HSI European Put Warrants Jul 2011 I	2011/07/22	2011/07/29
26876	UBS AG – HSI European Warrants Jul 2011 A	2011/07/22	2011/07/29
28036	UBS AG – HSI European Warrants Jul 2011 B	2011/07/22	2011/07/29
29742	UBS AG – HSI European Warrants Jul 2011 E	2011/07/22	2011/07/29
29868	UBS AG – HSI European Warrants Jul 2011 F	2011/07/22	2011/07/29
11160	UBS AG – HSI European Warrants Jul 2011 H	2011/07/22	2011/07/29
24242	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Jul 2011	2011/07/25	2011/08/01
25410	BOCI Asia Ltd. – BOC HK European Warrants Jul 2011	2011/07/25	2011/08/01
24853	CC Rabobank B.A. – BOC HK European Warrants Jul 2011	2011/07/25	2011/08/01
24206	CC Rabobank B.A. – ChiUnicom European Warrants Jul 2011	2011/07/25	2011/08/01
25782	Citigroup Global Mkt H Inc. – China Coal European Wts Jul 11	2011/07/25	2011/08/01
25684	HK Bank – CNOOC European Warrants Jul 2011 B	2011/07/25	2011/08/01
25693	Macquarie Bank Ltd. – Renhe Comm European Warrants Jul 2011	2011/07/25	2011/08/01
28082	Nomura Int'l plc – Agricultural Bk European Warrants Jul 11	2011/07/25	2011/08/01
28086	Nomura Int'l plc – BOCL European Warrants Jul 2011 A	2011/07/25	2011/08/01
28088	Nomura Int'l plc – CC Bank European Put Warrants Jul 2011	2011/07/25	2011/08/01
28076	Nomura Int'l plc – CC Bank European Warrants Jul 2011 A	2011/07/25	2011/08/01
28077	Nomura Int'l plc – CC Bank European Warrants Jul 2011 B	2011/07/25	2011/08/01
26895	Nomura Int'l plc – China Life European Warrants Jul 2011 A	2011/07/25	2011/08/01
28085	Nomura Int'l plc – China Life European Warrants Jul 2011 B	2011/07/25	2011/08/01
29018	Nomura Int'l plc – China Life European Warrants Jul 2011 C	2011/07/25	2011/08/01
28078	Nomura Int'l plc – China Mobile European Warrants Jul 2011	2011/07/25	2011/08/01
27040	Nomura Int'l plc – CNOOC European Warrants Jul 2011 B	2011/07/25	2011/08/01
28081	Nomura Int'l plc – Dongfang Elec European Warrants Jul 2011	2011/07/25	2011/08/01
28346	Nomura Int'l plc – GCL-Poly Energy European Warrants Jul 11A	2011/07/25	2011/08/01
28347	Nomura Int'l plc – GCL-Poly Energy European Warrants Jul 11B	2011/07/25	2011/08/01
24492	Nomura Int'l plc – HKEx European Warrants Jul 2011 A	2011/07/25	2011/08/01
28087	Nomura Int'l plc – HSBC European Put Warrants Jul 2011	2011/07/25	2011/08/01
28065	Nomura Int'l plc – HSBC European Warrants Jul 2011	2011/07/25	2011/08/01
28071	Nomura Int'l plc – Hutchison European Warrants Jul 2011	2011/07/25	2011/08/01
28083	Nomura Int'l plc – ICBC European Warrants Jul 2011	2011/07/25	2011/08/01
28073	Nomura Int'l plc – JIANG European Warrants Jul 2011	2011/07/25	2011/08/01
23286	Nomura Int'l plc – Sinopec European Warrants Jul 2011 A	2011/07/25	2011/08/01
28074	Nomura Int'l plc – Sinopec European Warrants Jul 2011 B	2011/07/25	2011/08/01
28341	Nomura Int'l plc – Wharf European Warrants Jul 2011	2011/07/25	2011/08/01
25444	Standard Chartered Bank – Agricultural Bk European Wts Jul11	2011/07/25	2011/08/01
29459	UBS AG – Agricultural Bank European Warrants Jul 2011 C	2011/07/25	2011/08/01
28602	Barclays Bank plc – BOCL European Warrants Aug 2011 B	2011/07/26	2011/08/02
27304	Barclays Bank plc – China Mobile European Put Wts Aug 2011	2011/07/26	2011/08/02
27308	Barclays Bank plc – China Mobile European Wts Aug 2011	2011/07/26	2011/08/02
26899	Barclays Bank plc – China Shenhua European Warrants Aug 2011	2011/07/26	2011/08/02
27307	Barclays Bank plc – CNOOC European Warrants Aug 2011	2011/07/26	2011/08/02
22522	Barclays Bank plc – Shougang Res European Warrants Aug 2011	2011/07/26	2011/08/02
26320	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Aug 11	2011/07/26	2011/08/02
26395	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2011 A	2011/07/26	2011/08/02
26182	BNP Paribas Arbit Issu B.V. – CPIC European Warrants Aug 11	2011/07/26	2011/08/02
25496	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2011	2011/07/26	2011/08/02
26643	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug2011A	2011/07/26	2011/08/02
26398	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Aug 2011	2011/07/26	2011/08/02
27090	BOCI Asia Ltd. – AIA European Warrants Aug 2011	2011/07/26	2011/08/02
28098	BOCI Asia Ltd. – Ch Ship Cont European Warrants Aug 2011	2011/07/26	2011/08/02
28247	BOCI Asia Ltd. – China Mobile European Warrants Aug 2011	2011/07/26	2011/08/02
25575	BOCI Asia Ltd. – CNBM European Warrants Aug 2011	2011/07/26	2011/08/02
29435	BOCI Asia Ltd. – CPIC European Warrants Aug 2011	2011/07/26	2011/08/02
25819	BOCI Asia Ltd. – Tracker Fund European Warrants Aug 2011	2011/07/26	2011/08/02
25820	BOCI Asia Ltd. – Yanzhou Coal European Warrants Aug 2011	2011/07/26	2011/08/02
27600	CC Rabobank B.A. – Chi Res Power European Warrants Aug 2011	2011/07/26	2011/08/02
27865	CC Rabobank B.A. – COSCO Pacific European Warrants Aug 2011	2011/07/26	2011/08/02
28997	CC Rabobank B.A. – Ping An European Warrants Aug 2011	2011/07/26	2011/08/02
17680	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2011 A	2011/07/26	2011/08/02
28964	Citigroup Global Mkt H Inc. – BYD European Warrants Aug 2011	2011/07/26	2011/08/02
28733	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Aug 2011	2011/07/26	2011/08/02
28625	Credit Suisse AG – China Life European Warrants Aug 2011 A	2011/07/26	2011/08/02
28901	Credit Suisse AG – China Life European Warrants Aug 2011 B	2011/07/26	2011/08/02
11064	Credit Suisse AG – ICBC European Warrants Aug 2011 A	2011/07/26	2011/08/02
28839	Credit Suisse AG – Ping An European Warrants Aug 2011	2011/07/26	2011/08/02
28622	Deutsche Bank AG – Bank of EA European Warrants Aug 2011	2011/07/26	2011/08/02
28618	Deutsche Bank AG – BYD European Warrants Aug 2011	2011/07/26	2011/08/02
28619	Deutsche Bank AG – Cathay Pacific European Warrants Aug 2011	2011/07/26	2011/08/02
29311	Deutsche Bank AG – CC Bank European Warrants Aug 2011 B	2011/07/26	2011/08/02
28507	Deutsche Bank AG – China Telecom European Wts Aug 2011	2011/07/26	2011/08/02
28621	Deutsche Bank AG – CNBM European Warrants Aug 2011	2011/07/26	2011/08/02
25552	Deutsche Bank AG – CNOOC European Warrants Aug 2011	2011/07/26	2011/08/02
10064	Deutsche Bank AG – Google European Put Warrants Aug 2011	2011/07/26	2011/08/02
10055	Deutsche Bank AG – Google European Warrants Aug 2011	2011/07/26	2011/08/02
25102	Deutsche Bank AG – PICC P&C European Warrants Aug 2011	2011/07/26	2011/08/02
25078	Deutsche Bank AG – SHK Ppt European Warrants Aug 2011	2011/07/26	2011/08/02
28391	Deutsche Bank AG – Sinopec Corp European Put Wts Aug 2011	2011/07/26	2011/08/02
25159	Deutsche Bank AG – CRCC European Warrants Aug 2011	2011/07/26	2011/08/02
28544	Goldman Sachs SP (Asia) – BYD European Warrants Aug 2011 A	2011/07/26	2011/08/02
28610	Goldman Sachs SP (Asia) – BYD European Warrants Aug 2011 B	2011/07/26	2011/08/02
28535	Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2011	2011/07/26	2011/08/02

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28613	Goldman Sachs SP (Asia) – Li & Fung European Warrants Aug11A	2011/07/26	2011/08/02
28614	Goldman Sachs SP (Asia) – PICC European Warrants Aug 2011	2011/07/26	2011/08/02
28534	Goldman Sachs SP (Asia) -Tencent Holdings Euro Put Wt Aug11D	2011/07/26	2011/08/02
25275	Goldman Sachs SP (Asia) -Tencent Holdings European Wt Aug11A	2011/07/26	2011/08/02
28530	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2011 A	2011/07/26	2011/08/02
29502	J P Morgan SP BV – BOCL European Warrants Aug 2011 B	2011/07/26	2011/08/02
11121	J P Morgan SP BV – ICBC European Warrants Aug 2011	2011/07/26	2011/08/02
29941	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Aug2011C	2011/07/26	2011/08/02
28189	KBC Fin Products Int'l Ltd. – Angang Steel Euro Wts Aug11B	2011/07/26	2011/08/02
28190	KBC Fin Products Int'l Ltd. – Belle European Wts Aug 2011	2011/07/26	2011/08/02
24994	KBC Fin Products Int'l Ltd. – BOC HK European Wts Aug 2011	2011/07/26	2011/08/02
28827	KBC Fin Products Int'l Ltd. – BOCL European Wts Aug 2011 A	2011/07/26	2011/08/02
29407	KBC Fin Products Int'l Ltd. – BOCL European Wts Aug 2011 C	2011/07/26	2011/08/02
28236	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Aug 2011	2011/07/26	2011/08/02
28191	KBC Fin Products Int'l Ltd. – CNBM European Warrants Aug 11	2011/07/26	2011/08/02
28382	KBC Fin Products Int'l Ltd. – CPIC European Wts Aug 2011	2011/07/26	2011/08/02
29343	KBC Fin Products Int'l Ltd. – HSBC European Wts Aug 2011 B	2011/07/26	2011/08/02
28119	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Aug 2011	2011/07/26	2011/08/02
28422	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Aug 2011 A	2011/07/26	2011/08/02
29565	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Aug 2011 B	2011/07/26	2011/08/02
29344	KBC Fin Products Int'l Ltd. – ICBC European Wts Aug 2011	2011/07/26	2011/08/02
28831	KBC Fin Products Int'l Ltd. – Ping An European Wts Aug 2011B	2011/07/26	2011/08/02
23346	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Aug 2011A	2011/07/26	2011/08/02
17321	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 A	2011/07/26	2011/08/02
25980	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 B	2011/07/26	2011/08/02
28381	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Aug 11	2011/07/26	2011/08/02
28197	KBC Fin Products Int'l Ltd. – Yanzhou Coal Euro Put Wt Aug11	2011/07/26	2011/08/02
28385	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Aug11A	2011/07/26	2011/08/02
24405	Macquarie Bank Ltd. – BBMG European Warrants Aug 2011	2011/07/26	2011/08/02
26437	Macquarie Bank Ltd. – C Transmission European Warrants Aug11	2011/07/26	2011/08/02
29424	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Aug 2011	2011/07/26	2011/08/02
23454	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Aug 2011	2011/07/26	2011/08/02
28343	Nomura Int'l plc – Tencent Holdings European Warrants Aug 11	2011/07/26	2011/08/02
28261	RB of Scotland N.V. – BOCL European Warrants Aug 2011	2011/07/26	2011/08/02
28215	RB of Scotland N.V. – China Life European Wts Aug 2011 A	2011/07/26	2011/08/02
28906	RB of Scotland N.V. – China Life European Wts Aug 2011 B	2011/07/26	2011/08/02
10041	RB of Scotland N.V. – Gold European Put Warrants Aug 2011	2011/07/26	2011/08/02
28511	RB of Scotland N.V. – PetroChina European Warrants Aug 2011	2011/07/26	2011/08/02
26338	RB of Scotland N.V. – Sinopec Corp European Warrants Aug 11A	2011/07/26	2011/08/02
28645	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 11A	2011/07/26	2011/08/02
28646	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 11B	2011/07/26	2011/08/02
28727	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 11C	2011/07/26	2011/08/02
27994	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011A	2011/07/26	2011/08/02
29144	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Aug 11C	2011/07/26	2011/08/02
18052	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Aug2011A	2011/07/26	2011/08/02
26013	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Aug 11	2011/07/26	2011/08/02
26683	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011 C	2011/07/26	2011/08/02
29921	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 B	2011/07/26	2011/08/02
29002	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2011A	2011/07/26	2011/08/02
23923	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2011A	2011/07/26	2011/08/02
26969	Standard Chartered Bank – CHALCO European Warrants Aug 2011	2011/07/26	2011/08/02
28492	Standard Chartered Bank – CTel European Warrants Aug 2011	2011/07/26	2011/08/02
26596	Standard Chartered Bank – ICBC European Warrants Aug 2011 A	2011/07/26	2011/08/02
28616	Standard Chartered Bank – Tencent Hldg European Wts Aug 11B	2011/07/26	2011/08/02
11283	Standard Chartered Bank-China Life European Wts Aug 2011 C	2011/07/26	2011/08/02
29309	UBS AG – BYD European Warrants Aug 2011 B	2011/07/26	2011/08/02
28796	UBS AG – Cheung Kong European Warrants Aug 2011A	2011/07/26	2011/08/02
28765	UBS AG – China Shenhua European Warrants Aug 2011	2011/07/26	2011/08/02
29463	UBS AG – ICBC European Warrants Aug 2011 A	2011/07/26	2011/08/02
23353	UBS AG – SHK Ppt European Warrants Aug 2011 A	2011/07/26	2011/08/02
28822	BNP Paribas Arbit Issu B.V. – AIA European Warrants Aug 2011	2011/07/27	2011/08/03
27588	BNP Paribas Arbit Issu B.V. – Angang Steel European Wt Aug11	2011/07/27	2011/08/03
24944	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Aug 2011	2011/07/27	2011/08/03
28016	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2011 B	2011/07/27	2011/08/03
27235	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2011	2011/07/27	2011/08/03
28439	BNP Paribas Arbit Issu B.V. – Ch Mer Hold European Wts Aug11	2011/07/27	2011/08/03
27582	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Aug 2011 A	2011/07/27	2011/08/03
28804	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Aug 2011 B	2011/07/27	2011/08/03
28440	BNP Paribas Arbit Issu B.V. – Chi Resources Euro Wts Aug11	2011/07/27	2011/08/03
25095	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wts Aug 11	2011/07/27	2011/08/03
28538	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2011	2011/07/27	2011/08/03
28441	BNP Paribas Arbit Issu B.V. – Evergrande European Wts Aug 11	2011/07/27	2011/08/03
27623	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Aug 2011	2011/07/27	2011/08/03
28153	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2011	2011/07/27	2011/08/03
28394	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Aug 2011	2011/07/27	2011/08/03
27238	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2011	2011/07/27	2011/08/03
27589	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug 11B	2011/07/27	2011/08/03
28332	BNP Paribas Arbit Issu B.V. – Sh Ind H European Wts Aug11	2011/07/27	2011/08/03
28536	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Aug 11B	2011/07/27	2011/08/03
28333	BNP Paribas Arbit Issu B.V. – Stanchart European Wts Aug11	2011/07/27	2011/08/03
27914	BNP Paribas Arbit Issu B.V. – Swrie Pacific A Euro Wts Aug11	2011/07/27	2011/08/03
27915	BNP Paribas Arbit Issu B.V. – Wharf European Warrants Aug 11	2011/07/27	2011/08/03
27974	CC Rabobank B.A. – China Oilfield European Warrants Aug 2011	2011/07/27	2011/08/03
10065	Deutsche Bank AG – AUD/USD European Put Warrants Aug 2011	2011/07/27	2011/08/03
29131	Goldman Sachs SP (Asia) – AIA European Warrants Aug 2011	2011/07/27	2011/08/03
27214	Macquarie Bank Ltd. – AIA European Warrants Aug 2011	2011/07/27	2011/08/03
28144	Macquarie Bank Ltd. – BOCL European Warrants Aug 2011	2011/07/27	2011/08/03

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28580	Macquarie Bank Ltd. – Brilliance Chi European Warrants Aug11	2011/07/27	2011/08/03
28581	Macquarie Bank Ltd. – Ch Comm Cons European Wts Aug 2011	2011/07/27	2011/08/03
27592	Macquarie Bank Ltd. – China Shenhua European Wts Aug 2011	2011/07/27	2011/08/03
26162	Macquarie Bank Ltd. – HKEx European Warrants Aug 2011 B	2011/07/27	2011/08/03
28312	Macquarie Bank Ltd. – HSBC European Warrants Aug 2011	2011/07/27	2011/08/03
28274	Macquarie Bank Ltd. – ICBC European Warrants Aug 2011	2011/07/27	2011/08/03
29221	Macquarie Bank Ltd. – Jiangxi Copper European Wts Aug 2011	2011/07/27	2011/08/03
25356	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2011	2011/07/27	2011/08/03
23771	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Aug 2011	2011/07/27	2011/08/03
28380	Macquarie Bank Ltd. – Tencent European Put Warrants Aug 11B	2011/07/27	2011/08/03
23918	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Aug 11	2011/07/27	2011/08/03
29335	RB of Scotland N.V. – China Life European Wts Aug 2011 C	2011/07/27	2011/08/03
27369	Standard Chartered Bank – Agricultural Bk European Wts Aug11	2011/07/27	2011/08/03
27368	Standard Chartered Bank – CC Bank European Warrants Aug 2011	2011/07/27	2011/08/03
28014	Standard Chartered Bank – China Shenhua Euro Wts Aug 2011	2011/07/27	2011/08/03
28877	UBS AG – China Life European Warrants Aug 2011 A	2011/07/27	2011/08/03
27485	BNP Paribas Arbit Issu B.V. – Geely Auto European Wts Aug11	2011/07/28	2011/08/04
17752	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Aug2011A	2011/07/28	2011/08/04
11112	BOCI Asia Ltd. – PetroChina European Warrants Aug 2011	2011/07/28	2011/08/04
29583	Credit Suisse AG – HKEx European Warrants Aug 2011A	2011/07/28	2011/08/04
29613	Goldman Sachs SP (Asia) – CHALCO European Warrants Aug 2011	2011/07/28	2011/08/04
27613	HK Bank – CHALCO European Warrants Aug 2011	2011/07/28	2011/08/04
28205	Macquarie Bank Ltd. – HKEx European Put Warrants Aug 2011	2011/07/28	2011/08/04
25386	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2011	2011/07/28	2011/08/04
29170	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2011	2011/07/28	2011/08/04
25669	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011 B	2011/07/28	2011/08/04
28292	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2011 A	2011/07/28	2011/08/04
29229	SGA Societe Generale Acceptance N.V. – JIANG EU Wts Aug 11B	2011/07/28	2011/08/04
26919	Standard Chartered Bank –PetroChina European Warrants Aug11A	2011/07/28	2011/08/04
29620	UBS AG – HKEx European Warrants Aug 2011 C	2011/07/28	2011/08/04
28586	Macquarie Bank Ltd. – Li & Fung European Warrants Aug 2011	2011/07/29	2011/08/05
28123	UBS AG – HKEx European Warrants Aug 2011 A	2011/07/29	2011/08/05
28568	CC Rabobank B.A. – Sa Sa Int'l European Warrants Aug 2011 A	2011/08/01	2011/08/08
25507	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Aug 2011	2011/08/01	2011/08/08
28579	Macquarie Bank Ltd. – BJ Ent Water European Warrants Aug 11	2011/08/01	2011/08/08
28818	Macquarie Bank Ltd. – China Life European Wts Aug 2011	2011/08/01	2011/08/08
24749	Macquarie Bank Ltd. – SHK Ppt European Warrants Aug 2011	2011/08/01	2011/08/08
29318	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Aug 2011 C	2011/08/01	2011/08/08
24908	Barclays Bank plc – Angang Steel European Warrants Aug 2011	2011/08/02	2011/08/09
26720	Barclays Bank plc – BOCL European Warrants Aug 2011 A	2011/08/02	2011/08/09
27309	Barclays Bank plc – ICBC European Warrants Aug 2011 A	2011/08/02	2011/08/09
20588	Barclays Bank plc – SHK Ppt European Put Warrants Aug 2011	2011/08/02	2011/08/09
20578	Barclays Bank plc – SHK Ppt European Warrants Aug 2011	2011/08/02	2011/08/09
24911	Barclays Bank plc – Yanzhou Coal European Warrants Aug 2011	2011/08/02	2011/08/09
25547	Barclays Bank plc –Tencent Holdings European Warrants Aug 11	2011/08/02	2011/08/09
27861	BOCI Asia Ltd. – BOCL European Put Warrants Aug 2011	2011/08/02	2011/08/09
27858	BOCI Asia Ltd. – BOCL European Warrants Aug 2011	2011/08/02	2011/08/09
29434	BOCI Asia Ltd. – BYD European Warrants Aug 2011	2011/08/02	2011/08/09
28041	BOCI Asia Ltd. – ICBC European Warrants Aug 2011	2011/08/02	2011/08/09
28772	CC Rabobank B.A. – HKEx European Warrants Aug 2011	2011/08/02	2011/08/09
29393	CC Rabobank B.A. – HSBC European Warrants Aug 2011	2011/08/02	2011/08/09
25583	CC Rabobank B.A. – Nine Dragons European Warrants Aug 2011	2011/08/02	2011/08/09
28669	CC Rabobank B.A. – Tsingtao Brew European Warrants Aug 2011	2011/08/02	2011/08/09
27349	Citigroup Global Mkt H Inc. – Chi Res Power Euro Wts Aug 11	2011/08/02	2011/08/09
28594	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts Aug 2011	2011/08/02	2011/08/09
11368	Citigroup Global Mkt H Inc. – HSBC European Wts Aug 2011	2011/08/02	2011/08/09
28596	Citigroup Global Mkt H Inc. – Li & Fung European Wts Aug 11	2011/08/02	2011/08/09
22267	Citigroup Global Mkt H Inc. – Shangri-La Asia Euro Wts Aug11	2011/08/02	2011/08/09
23064	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Aug 2011A	2011/08/02	2011/08/09
24934	Citigroup Global Mkt H Inc. – SJM Holdings European Wt Aug11	2011/08/02	2011/08/09
11059	Credit Suisse AG – China Mobile European Warrants Aug 2011	2011/08/02	2011/08/09
11115	Credit Suisse AG – HKEx European Warrants Aug 2011 B	2011/08/02	2011/08/09
11167	Credit Suisse AG – HSBC European Wts Aug 2011 B	2011/08/02	2011/08/09
22349	Credit Suisse AG – Shougang Res European Warrants Aug 2011	2011/08/02	2011/08/09
10046	Deutsche Bank AG – Apple European Put Warrants Aug 2011	2011/08/02	2011/08/09
11258	Deutsche Bank AG – China Life European Warrants Aug 2011 C	2011/08/02	2011/08/09
27619	Deutsche Bank AG – CM Bank European Warrants Aug 2011	2011/08/02	2011/08/09
26747	Deutsche Bank AG – COSCO Pacific European Warrants Aug 2011	2011/08/02	2011/08/09
28656	Deutsche Bank AG – Sinopec Corp European Warrants Aug 2011 A	2011/08/02	2011/08/09
29716	Deutsche Bank AG – Tencent Holdings European Wts Aug 2011 A	2011/08/02	2011/08/09
10057	Goldman Sachs SP (Asia) – Apple European Warrants Aug 2011 A	2011/08/02	2011/08/09
10060	Goldman Sachs SP (Asia) – Apple European Warrants Aug 2011 B	2011/08/02	2011/08/09
10061	Goldman Sachs SP (Asia) – Apple European Warrants Aug 2011 C	2011/08/02	2011/08/09
28630	Goldman Sachs SP (Asia) – BankComm European Wts Aug 2011	2011/08/02	2011/08/09
28425	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2011 A	2011/08/02	2011/08/09
10063	Goldman Sachs SP (Asia) – Google European Warrants Aug 2011B	2011/08/02	2011/08/09
22116	Goldman Sachs SP (Asia) – HKEx European Warrants Aug 2011 A	2011/08/02	2011/08/09
26736	Goldman Sachs SP (Asia) – HKEx European Warrants Aug 2011 B	2011/08/02	2011/08/09
28612	Goldman Sachs SP (Asia) – JIANG European Warrants Aug 2011	2011/08/02	2011/08/09
28633	Goldman Sachs SP (Asia) – Ping An European Warrants Aug 2011	2011/08/02	2011/08/09
25866	Goldman Sachs SP (Asia) –Tencent Holdings European Wt Aug11B	2011/08/02	2011/08/09
28960	HK Bank – China Life European Warrants Aug 2011 B	2011/08/02	2011/08/09
27614	HK Bank – China Life European Warrants Aug 2011A	2011/08/02	2011/08/09
28672	J P Morgan SP BV – China Life European Warrants Aug 2011 A	2011/08/02	2011/08/09
24188	J P Morgan SP BV – HKEx European Warrants Aug 2011 A	2011/08/02	2011/08/09
28460	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Aug2011A	2011/08/02	2011/08/09
27890	KBC Fin Products Int'l Ltd. – Cathay Pac Euro Wts Aug 2011	2011/08/02	2011/08/09

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28067	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Aug 2011	2011/08/02	2011/08/09
24111	KBC Fin Products Int'l Ltd. – CRCC European Put Wts Aug11	2011/08/02	2011/08/09
24103	KBC Fin Products Int'l Ltd. – CRCC European Wts Aug 2011	2011/08/02	2011/08/09
28020	KBC Fin Products Int'l Ltd. – Dongfeng Euro Wts Aug 2011 B	2011/08/02	2011/08/09
25324	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Aug 2011	2011/08/02	2011/08/09
24987	KBC Fin Products Int'l Ltd. – HKEx European Warrants Aug 11A	2011/08/02	2011/08/09
25810	KBC Fin Products Int'l Ltd. – New World Euro Put Wt Aug 2011	2011/08/02	2011/08/09
27694	KBC Fin Products Int'l Ltd. – Ping An European Wts Aug 2011A	2011/08/02	2011/08/09
29151	Macquarie Bank Ltd. – CC Bank European Warrants Aug 2011	2011/08/02	2011/08/09
22024	Macquarie Bank Ltd. – HKEx European Warrants Aug 2011 A	2011/08/02	2011/08/09
28588	Macquarie Bank Ltd. – Shun Tak European Warrants Aug 2011	2011/08/02	2011/08/09
28845	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Aug 2011	2011/08/02	2011/08/09
18796	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Aug 2011A	2011/08/02	2011/08/09
29108	Nomura Int'l plc – CSR European Warrants Aug 2011 A	2011/08/02	2011/08/09
25219	Nomura Int'l plc – HKEx European Warrants Aug 2011	2011/08/02	2011/08/09
28642	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Aug 11A	2011/08/02	2011/08/09
11010	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011D	2011/08/02	2011/08/09
24927	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011 A	2011/08/02	2011/08/09
29263	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2011 B	2011/08/02	2011/08/09
27365	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Aug2011A	2011/08/02	2011/08/09
24675	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug 11	2011/08/02	2011/08/09
27597	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Aug 11	2011/08/02	2011/08/09
26975	Standard Chartered Bank – BOCL European Warrants Aug 2011	2011/08/02	2011/08/09
28012	Standard Chartered Bank – Cheung Kong European Wts Aug 2011	2011/08/02	2011/08/09
28000	Standard Chartered Bank – China Mobile Euro Wts Aug 2011 B	2011/08/02	2011/08/09
25010	Standard Chartered Bank – HKEx European Warrants Aug 2011 A	2011/08/02	2011/08/09
29307	Standard Chartered Bank – HSBC European Warrants Aug 2011	2011/08/02	2011/08/09
28046	Standard Chartered Bank – Hutchison European Wts Aug 2011	2011/08/02	2011/08/09
28577	UBS AG – A50 China European Warrants Aug 2011 B	2011/08/02	2011/08/09
29067	UBS AG – CC Bank European Warrants Aug 2011A	2011/08/02	2011/08/09
29023	UBS AG – China Life European Warrants Aug 2011 B	2011/08/02	2011/08/09
29617	UBS AG – China Mobile European Warrants Aug 2011 B	2011/08/02	2011/08/09
28576	UBS AG – Ping An European Warrants Aug 2011	2011/08/02	2011/08/09
28420	UBS AG – Tencent Holdings European Put Warrants Aug 2011	2011/08/02	2011/08/09
28694	HK Bank – China Tel European Warrants Aug 2011	2011/08/03	2011/08/10
28707	J P Morgan SP BV – China Life European Warrants Aug 2011 B	2011/08/03	2011/08/10
28705	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2011A	2011/08/03	2011/08/10
11534	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Aug 2011	2011/08/03	2011/08/10
29246	UBS AG – HKEx European Warrants Aug 2011 B	2011/08/03	2011/08/10
28713	HK Bank – Sinopec Corp European Warrants Aug 2011	2011/08/04	2011/08/11
25306	J P Morgan SP BV – HKEx European Warrants Aug 2011 B	2011/08/04	2011/08/11
27895	KBC Fin Products Int'l Ltd. – HSBC European Wts Aug 2011A	2011/08/04	2011/08/11
29754	Nomura Int'l plc – Lenovo European Warrants Aug 2011	2011/08/04	2011/08/11
28724	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Aug 11	2011/08/04	2011/08/11
28722	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Aug 2011	2011/08/04	2011/08/11
28730	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts Aug 11	2011/08/04	2011/08/11
27133	CC Rabobank B.A. – KECL European Warrants Aug 2011	2011/08/05	2011/08/12
24467	J P Morgan SP BV – PICC European Warrants Aug 2011	2011/08/05	2011/08/12
29188	Macquarie Bank Ltd. – Zhaojin Mining European Warrants Aug11	2011/08/05	2011/08/12
29499	UBS AG – Sinopec Corp European Warrants Aug 2011 B	2011/08/05	2011/08/12
28569	CC Rabobank B.A. – Sa Sa Int'l European Warrants Aug 2011 B	2011/08/08	2011/08/15
29254	Barclays Bank plc – China Life European Warrants Aug 2011 B	2011/08/09	2011/08/16
24906	Barclays Bank plc – KECL European Warrants Aug 2011	2011/08/09	2011/08/16
11387	BOCI Asia Ltd. – Hutchison European Warrants Aug 2011	2011/08/09	2011/08/16
29845	CC Rabobank B.A. – Cheung Kong European Warrants Aug 2011	2011/08/09	2011/08/16
29038	CC Rabobank B.A. – CITIC Pacific European Warrants Aug 2011	2011/08/09	2011/08/16
26952	CC Rabobank B.A. – CSR European Warrants Aug 2011	2011/08/09	2011/08/16
28494	CC Rabobank B.A. – Evergrande European Warrants Aug 2011	2011/08/09	2011/08/16
28670	CC Rabobank B.A. – Galaxy Ent European Warrants Aug 2011	2011/08/09	2011/08/16
27276	Deutsche Bank AG – Agricultural Bk European Warrants Aug 11	2011/08/09	2011/08/16
28791	Deutsche Bank AG – China Life European Warrants Aug 2011A	2011/08/09	2011/08/16
29159	Deutsche Bank AG – HKEx European Warrants Aug 2011	2011/08/09	2011/08/16
24889	Deutsche Bank AG – KECL European Warrants Aug 2011	2011/08/09	2011/08/16
29880	Deutsche Bank AG – PetroChina European Warrants Aug 2011 B	2011/08/09	2011/08/16
29957	Deutsche Bank AG – Tencent Holdings European Wts Aug 2011 B	2011/08/09	2011/08/16
28688	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2011 B	2011/08/09	2011/08/16
29770	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2011 D	2011/08/09	2011/08/16
27638	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Aug 2011	2011/08/09	2011/08/16
27542	Goldman Sachs SP (Asia) – Dongfeng European Warrants Aug 11	2011/08/09	2011/08/16
10062	Goldman Sachs SP (Asia) – Google European Warrants Aug 2011A	2011/08/09	2011/08/16
28738	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2011 A	2011/08/09	2011/08/16
28739	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2011 B	2011/08/09	2011/08/16
28758	Goldman Sachs SP (Asia) – Swire Pacific A European Wts Aug11	2011/08/09	2011/08/16
26778	HK Bank – CITIC Bank European Warrants Aug 2011	2011/08/09	2011/08/16
27797	J P Morgan SP BV – Sinopharm European Warrants Aug 2011	2011/08/09	2011/08/16
28826	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Aug 11B	2011/08/09	2011/08/16
28188	KBC Fin Products Int'l Ltd. – Angang Steel Euro Wts Aug11A	2011/08/09	2011/08/16
28195	KBC Fin Products Int'l Ltd. – Belle European Put Wts Aug 11	2011/08/09	2011/08/16
28828	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Aug 2011A	2011/08/09	2011/08/16
26199	KBC Fin Products Int'l Ltd. – Ch COSCO Euro Put Wts Aug11	2011/08/09	2011/08/16
29719	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2011 C	2011/08/09	2011/08/16
28028	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Eu Put Wt Aug 11	2011/08/09	2011/08/16
27706	KBC Fin Products Int'l Ltd. – HKEx European Warrants Aug11B	2011/08/09	2011/08/16
11070	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Aug 2011	2011/08/09	2011/08/16
29943	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 D	2011/08/09	2011/08/16
28558	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Aug11B	2011/08/09	2011/08/16
28813	Macquarie Bank Ltd. – BYD European Warrants Aug 2011 A	2011/08/09	2011/08/16

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28378	Macquarie Bank Ltd. – Chi Res Power European Warrants Aug 11	2011/08/09	2011/08/16
28815	Macquarie Bank Ltd. – Chi Resources European Warrants Aug 11	2011/08/09	2011/08/16
28186	Macquarie Bank Ltd. – China EB Ltd European Warrants Aug 11	2011/08/09	2011/08/16
28814	Macquarie Bank Ltd. – China Mobile European Wts Aug 2011	2011/08/09	2011/08/16
26276	Macquarie Bank Ltd. – CRCC European Warrants Aug 2011	2011/08/09	2011/08/16
29247	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2011	2011/08/09	2011/08/16
17984	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 A	2011/08/09	2011/08/16
10069	SGA Societe Generale Acceptance N.V. – Apple Euro Wt Aug11	2011/08/09	2011/08/16
11011	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011E	2011/08/09	2011/08/16
11012	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011F	2011/08/09	2011/08/16
28729	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Aug 11B	2011/08/09	2011/08/16
10070	SGA Societe Generale Acceptance N.V. – Google Euro Wt Aug11	2011/08/09	2011/08/16
29550	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 A	2011/08/09	2011/08/16
11244	Standard Chartered Bank -PetroChina European Warrants Aug11B	2011/08/09	2011/08/16
29794	UBS AG – China Life European Warrants Aug 2011 D	2011/08/09	2011/08/16
29134	UBS AG – China Mobile European Warrants Aug 2011 A	2011/08/09	2011/08/16
28214	UBS AG – Hutchison European Warrants Aug 2011 A	2011/08/09	2011/08/16
29739	UBS AG – ICBC European Warrants Aug 2011 B	2011/08/09	2011/08/16
28699	UBS AG – Sinopec Corp European Warrants Aug 2011 A	2011/08/09	2011/08/16
29795	UBS AG – Sinopec Corp European Warrants Aug 2011 C	2011/08/09	2011/08/16
28871	CC Rabobank B.A. – CC Bank European Warrants Aug 2011	2011/08/10	2011/08/17
28668	CC Rabobank B.A. – Sinofert European Warrants Aug 2011	2011/08/10	2011/08/17
23682	Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 A	2011/08/10	2011/08/17
24968	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11A	2011/08/10	2011/08/17
11448	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 C	2011/08/10	2011/08/17
28852	Standard Chartered Bank – Sinopec Corp European Wts Aug 11A	2011/08/10	2011/08/17
29793	UBS AG – CC Bank European Warrants Aug 2011 B	2011/08/10	2011/08/17
23496	UBS AG – China Unicom European Warrants Aug 2011	2011/08/10	2011/08/17
28879	UBS AG – CNOOC European Warrants Aug 2011	2011/08/10	2011/08/17
29269	CC Rabobank B.A. – Cathay Pacific European Warrants Aug 2011	2011/08/11	2011/08/18
28905	J P Morgan SP BV – China Life European Warrants Aug 2011 C	2011/08/11	2011/08/18
28894	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011B	2011/08/11	2011/08/18
24263	Citigroup Global Mkt H Inc. – KECL European Warrants Aug2011	2011/08/12	2011/08/19
26179	HK Bank – CNOOC European Put Warrants Aug 2011	2011/08/12	2011/08/19
27615	HK Bank – Zhaojin Mining European Warrants Aug 2011	2011/08/12	2011/08/19
28242	HK Bank – ZTE European Warrants Aug 2011	2011/08/12	2011/08/19
28950	J P Morgan SP BV – BOCL European Warrants Aug 2011 A	2011/08/12	2011/08/19
28954	J P Morgan SP BV – Hang Lung Ppt European Warrants Aug 2011	2011/08/12	2011/08/19
28975	KBC Fin Products Int'l Ltd. – BOCL European Wts Aug 2011 B	2011/08/12	2011/08/19
28977	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Aug 2011 B	2011/08/12	2011/08/19
27286	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Aug 2011	2011/08/12	2011/08/19
27287	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt Aug 2011	2011/08/12	2011/08/19
27963	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 C	2011/08/12	2011/08/19
28943	Macquarie Bank Ltd. – Lenovo European Warrants Aug 2011	2011/08/12	2011/08/19
28944	Macquarie Bank Ltd. – Maanshan Iron European Wts Aug 2011	2011/08/12	2011/08/19
28945	Standard Chartered Bank – ICBC European Warrants Aug 2011 B	2011/08/12	2011/08/19
28406	J P Morgan SP BV – HKEx European Warrants Aug 2011 C	2011/08/15	2011/08/22
28949	BOCI Asia Ltd. – China Mobile European Put Warrants Aug 2011	2011/08/16	2011/08/23
28991	CC Rabobank B.A. – China Tel European Warrants Aug 2011	2011/08/16	2011/08/23
28776	CC Rabobank B.A. – Tencent Holdings European Put Wts Aug 11	2011/08/16	2011/08/23
23960	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wts Aug 11	2011/08/16	2011/08/23
27836	Citigroup Global Mkt H Inc. – Evergrande European Wts Aug 11	2011/08/16	2011/08/23
28595	Citigroup Global Mkt H Inc. – Lenovo European Warrants Aug11	2011/08/16	2011/08/23
28921	Credit Suisse AG – CC Bank European Warrants Aug 2011	2011/08/16	2011/08/23
28920	Credit Suisse AG – Cheung Kong European Warrants Aug 2011	2011/08/16	2011/08/23
28866	Credit Suisse AG – China Unicom European Put Warrants Aug 11	2011/08/16	2011/08/23
20785	Credit Suisse AG – ChiUnicom European Warrants Aug 2011 A	2011/08/16	2011/08/23
28863	Credit Suisse AG – ChiUnicom European Warrants Aug 2011 B	2011/08/16	2011/08/23
28864	Credit Suisse AG – ChiUnicom European Warrants Aug 2011 C	2011/08/16	2011/08/23
28865	Credit Suisse AG – ChiUnicom European Warrants Aug 2011 D	2011/08/16	2011/08/23
26908	Deutsche Bank AG – Zijin Mining European Warrants Aug 2011	2011/08/16	2011/08/23
27430	Deutsche Bank AG – BOC HK European Warrants Aug 2011	2011/08/16	2011/08/23
29026	Deutsche Bank AG – BOCL European Warrants Aug 2011 A	2011/08/16	2011/08/23
28908	Deutsche Bank AG – China Life European Warrants Aug 2011 B	2011/08/16	2011/08/23
28874	Deutsche Bank AG – China Mobile European Warrants Aug 2011 A	2011/08/16	2011/08/23
28909	Deutsche Bank AG – China Mobile European Warrants Aug 2011 B	2011/08/16	2011/08/23
26188	Deutsche Bank AG – China Unicom European Warrants Aug 2011	2011/08/16	2011/08/23
28912	Deutsche Bank AG – PetroChina European Warrants Aug 2011 A	2011/08/16	2011/08/23
28910	Deutsche Bank AG – Sinopec Corp European Warrants Aug 2011 B	2011/08/16	2011/08/23
29946	Deutsche Bank AG – Tencent European Put Warrants Aug 2011	2011/08/16	2011/08/23
28904	Goldman Sachs SP (Asia) – A50 China European Wts Aug 2011	2011/08/16	2011/08/23
28737	Goldman Sachs SP (Asia) – BEA European Warrants Aug 2011	2011/08/16	2011/08/23
28611	Goldman Sachs SP (Asia) – Cathay Pacific European Wts Aug11	2011/08/16	2011/08/23
28673	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2011	2011/08/16	2011/08/23
28890	Goldman Sachs SP (Asia) – China Unicom European Wts Aug 2011	2011/08/16	2011/08/23
28361	Goldman Sachs SP (Asia) – CITIC Pacific European Wts Aug 11	2011/08/16	2011/08/23
28940	Goldman Sachs SP (Asia) – CPIC European Warrants Aug 2011	2011/08/16	2011/08/23
28809	Goldman Sachs SP (Asia) – GCL-Poly Energy European Wts Aug11	2011/08/16	2011/08/23
28740	Goldman Sachs SP (Asia) – Hunan Nonferrous European Wt Aug11	2011/08/16	2011/08/23
28533	Goldman Sachs SP (Asia) – PetroChina European Wts Aug 2011	2011/08/16	2011/08/23
28657	Goldman Sachs SP (Asia) -Sands China European Warrants Aug11	2011/08/16	2011/08/23
28531	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2011 B	2011/08/16	2011/08/23
28958	HK Bank – BOCL European Warrants Aug 2011	2011/08/16	2011/08/23
27608	HK Bank – Foxconn European Warrants Aug 2011	2011/08/16	2011/08/23
28778	HK Bank – Galaxy Ent European Warrants Aug 2011	2011/08/16	2011/08/23
28696	HK Bank – Tencent European Put Warrants Aug 2011	2011/08/16	2011/08/23
27841	J P Morgan SP BV – China COSCO European Warrants Aug 2011	2011/08/16	2011/08/23

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28364	J P Morgan SP BV – China Everbright European Wts Aug 2011	2011/08/16	2011/08/23
29729	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Aug 2011	2011/08/16	2011/08/23
27484	KBC Fin Products Int'l Ltd. – KECL European Warrants Aug2011	2011/08/16	2011/08/23
29029	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Aug 2011	2011/08/16	2011/08/23
28480	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Aug11A	2011/08/16	2011/08/23
29313	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Aug 2011	2011/08/16	2011/08/23
28842	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Aug 2011 B	2011/08/16	2011/08/23
29007	Nomura Int'l plc – Angang Steel European Warrants Aug 2011	2011/08/16	2011/08/23
29753	Nomura Int'l plc – CC Bank European Warrants Aug 2011	2011/08/16	2011/08/23
29014	Nomura Int'l plc – Chinares Cement European Warrants Aug 11A	2011/08/16	2011/08/23
29015	Nomura Int'l plc – Chinares Cement European Warrants Aug 11B	2011/08/16	2011/08/23
27450	Nomura Int'l plc – Dongfeng European Warrants Aug 2011	2011/08/16	2011/08/23
29020	Nomura Int'l plc – GCL-Poly Energy European Warrants Aug 11	2011/08/16	2011/08/23
29495	RB of Scotland N.V. – ICBC European Warrants Aug 2011	2011/08/16	2011/08/23
26418	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Aug 2011	2011/08/16	2011/08/23
28929	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2011A	2011/08/16	2011/08/23
28931	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 11E	2011/08/16	2011/08/23
11581	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Aug 2011	2011/08/16	2011/08/23
29922	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 C	2011/08/16	2011/08/23
11704	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2011 C	2011/08/16	2011/08/23
27204	SGA Societe Generale Acceptance N.V-China EB Ltd Eu Wt Aug11	2011/08/16	2011/08/23
28999	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Aug 11	2011/08/16	2011/08/23
28899	Standard Chartered Bank-China Life European Wts Aug 2011 B	2011/08/16	2011/08/23
29905	UBS AG – BOC HK European Warrants Aug 2011	2011/08/16	2011/08/23
28885	UBS AG – BOCL European Warrants Aug 2011	2011/08/16	2011/08/23
28972	UBS AG – Cheung Kong European Warrants Aug 2011 B	2011/08/16	2011/08/23
28886	UBS AG – Henderson Land European Warrants Aug 2011	2011/08/16	2011/08/23
20480	Barclays Bank plc – ChiUnicom European Warrants Aug 2011A	2011/08/17	2011/08/24
29698	Barclays Bank plc – Hutchison European Warrants Aug 2011	2011/08/17	2011/08/24
10081	BNP Paribas Arbit Issu B.V. – AUD/USD European Put Wts Aug11	2011/08/17	2011/08/24
10077	BNP Paribas Arbit Issu B.V. -AUD/USD European Warrants Aug11	2011/08/17	2011/08/24
27145	CC Rabobank B.A. – Air China European Warrants Aug 2011	2011/08/17	2011/08/24
29033	Goldman Sachs SP (Asia) – Esprit European Warrants Aug 2011	2011/08/17	2011/08/24
29775	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2011	2011/08/17	2011/08/24
29034	Goldman Sachs SP (Asia) – Li & Fung European Warrants Aug11B	2011/08/17	2011/08/24
29054	HK Bank – Agricultural Bank European Warrants Aug 2011	2011/08/17	2011/08/24
29056	HK Bank – CC Bank European Warrants Aug 2011	2011/08/17	2011/08/24
29058	HK Bank – China Mobile European Warrants Aug 2011A	2011/08/17	2011/08/24
11351	J P Morgan SP BV – Hutchison European Warrants Aug 2011	2011/08/17	2011/08/24
25504	KBC Fin Products Int'l Ltd. – CNOOC European Wts Aug 2011	2011/08/17	2011/08/24
28019	KBC Fin Products Int'l Ltd. – Dongfeng Euro Wts Aug 2011 A	2011/08/17	2011/08/24
29278	Macquarie Bank Ltd. – Hutchison European Warrants Aug 2011	2011/08/17	2011/08/24
24969	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Aug 11B	2011/08/17	2011/08/24
29385	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Aug 11B	2011/08/17	2011/08/24
26890	Nomura Int'l plc – Chaoda Modern European Warrants Aug 2011	2011/08/17	2011/08/24
29006	Nomura Int'l plc – Realgold MiningEuropean Warrants Aug 2011	2011/08/17	2011/08/24
29734	RB of Scotland N.V. – China Mobile European Wts Aug 2011	2011/08/17	2011/08/24
29336	RB of Scotland N.V. – HWL European Warrants Aug 2011	2011/08/17	2011/08/24
24614	Standard Chartered Bank – BOC HK European Warrants Aug 2011	2011/08/17	2011/08/24
29780	Standard Chartered Bank – China Mobile Euro Wts Aug 2011 C	2011/08/17	2011/08/24
11308	UBS AG – Tencent Holdings European Warrants Aug 2011	2011/08/17	2011/08/24
26503	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Aug 2011B	2011/08/18	2011/08/25
29051	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Aug 11B	2011/08/18	2011/08/25
29000	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2011A	2011/08/18	2011/08/25
11014	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2011 D	2011/08/18	2011/08/25
25844	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 11B	2011/08/18	2011/08/25
11394	Citigroup Global Mkt H Inc. – CC Bank European Wts Aug 2011	2011/08/19	2011/08/26
27904	Citigroup Global Mkt H Inc. – China Coal European Wts Aug 11	2011/08/19	2011/08/26
28750	Citigroup Global Mkt H Inc. – Sino Land European Wts Aug11	2011/08/19	2011/08/26
28212	J P Morgan SP BV – China Coal European Warrants Aug 2011	2011/08/19	2011/08/26
11478	Nomura Int'l plc – CC Bank European Warrants Aug 2011 A	2011/08/19	2011/08/26
11481	Nomura Int'l plc – Yanzhou Coal European Warrants Aug 2011	2011/08/19	2011/08/26
29765	HK Bank – China Mobile European Warrants Aug 2011 B	2011/08/22	2011/08/29
25808	KBC Fin Products Int'l Ltd. -Zijin Mining European Wt Aug 11	2011/08/22	2011/08/29
24229	Macquarie Bank Ltd. – China Unicom European Wts Aug 2011 B	2011/08/22	2011/08/29
26936	Standard Chartered Bank – HKEx European Warrants Aug 2011 B	2011/08/22	2011/08/29
24680	Barclays Bank plc – BOCL European Warrants Aug 2011	2011/08/23	2011/08/30
27889	Barclays Bank plc – China Life European Warrants Aug 2011 A	2011/08/23	2011/08/30
24842	Barclays Bank plc – ChiUnicom European Warrants Aug 2011 B	2011/08/23	2011/08/30
27888	Barclays Bank plc – ICBC European Warrants Aug 2011 B	2011/08/23	2011/08/30
25093	BOCI Asia Ltd. – China Tel European Warrants Aug 2011	2011/08/23	2011/08/30
29432	CC Rabobank B.A. – HKEx European Put Warrants Aug 2011	2011/08/23	2011/08/30
23244	Citigroup Global Mkt H Inc. – A50 China Euro Wts Aug 2011	2011/08/23	2011/08/30
25517	Citigroup Global Mkt H Inc. – BOC HK European Wts Aug 2011	2011/08/23	2011/08/30
29842	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Aug 2011	2011/08/23	2011/08/30
21822	Citigroup Global Mkt H Inc. – PICC P&C European Wts Aug 11A	2011/08/23	2011/08/30
25521	Citigroup Global Mkt H Inc. – PICC P&C European Wts Aug 11B	2011/08/23	2011/08/30
25761	Credit Suisse AG – A50 China European Warrants Aug 2011	2011/08/23	2011/08/30
24429	Credit Suisse AG – BBMG European Warrants Aug 2011	2011/08/23	2011/08/30
11744	Credit Suisse AG – CC Bank European Warrants Aug 2011 A	2011/08/23	2011/08/30
11879	Credit Suisse AG – CNOOC European Warrants Aug 2011	2011/08/23	2011/08/30
11242	Credit Suisse AG – HKEx European Warrants Aug 2011 C	2011/08/23	2011/08/30
29825	Credit Suisse AG – HSBC European Wts Aug 2011 A	2011/08/23	2011/08/30
11063	Credit Suisse AG – Hutchison European Warrants Aug 2011	2011/08/23	2011/08/30
11237	Credit Suisse AG – ICBC European Warrants Aug 2011 B	2011/08/23	2011/08/30
26602	Credit Suisse AG – SHK Ppt European Warrants Aug 2011	2011/08/23	2011/08/30
28398	Credit Suisse AG – Tencent Holdings European Put Wts Aug 11	2011/08/23	2011/08/30

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11880	Credit Suisse AG – Yanzhou Coal European Warrants Aug 2011	2011/08/23	2011/08/30
23351	Deutsche Bank AG – A50 China European Warrants Aug 2011	2011/08/23	2011/08/30
29347	Deutsche Bank AG – BOCL European Warrants Aug 2011 B	2011/08/23	2011/08/30
29076	Deutsche Bank AG – CC Bank European Put Warrants Aug 2011	2011/08/23	2011/08/30
10080	Deutsche Bank AG – EUR/USD European Warrants Aug 2011	2011/08/23	2011/08/30
28875	Deutsche Bank AG – HSBC European Warrants Aug 2011	2011/08/23	2011/08/30
11197	Deutsche Bank AG – Hutchison European Warrants Aug 2011	2011/08/23	2011/08/30
29077	Deutsche Bank AG – ICBC European Put Warrants Aug 2011	2011/08/23	2011/08/30
11122	Deutsche Bank AG – ICBC European Warrants Aug 2011	2011/08/23	2011/08/30
29665	Deutsche Bank AG – Sinopec Corp European Warrants Aug 2011 C	2011/08/23	2011/08/30
10079	Deutsche Bank AG – USD/YEN European Put Warrants Aug 2011	2011/08/23	2011/08/30
28560	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2011 A	2011/08/23	2011/08/30
28447	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2011 B	2011/08/23	2011/08/30
29769	Goldman Sachs SP (Asia) – China Mobile Euro Wts Aug 2011 C	2011/08/23	2011/08/30
29581	Goldman Sachs SP (Asia) – HSBC European Warrants Aug 2011 C	2011/08/23	2011/08/30
27781	Goldman Sachs SP (Asia) – KECL European Warrants Aug 2011	2011/08/23	2011/08/30
25419	Goldman Sachs SP (Asia) – Tencent Holdings Eu Put Wt Aug11C	2011/08/23	2011/08/30
29090	Goldman Sachs SP (Asia) – ZTE European Warrants Aug 2011	2011/08/23	2011/08/30
25633	HK Bank – KECL European Warrants Aug 2011	2011/08/23	2011/08/30
29363	J P Morgan SP BV – China Life European Warrants Aug 2011 D	2011/08/23	2011/08/30
25082	J P Morgan SP BV – China Unicom European Warrants Aug 2011	2011/08/23	2011/08/30
24296	J P Morgan SP BV – SHK Ppt European Warrants Aug 2011	2011/08/23	2011/08/30
28365	J P Morgan SP BV – Sinopec Corp European Warrants Aug 2011	2011/08/23	2011/08/30
29342	KBC Fin Products Int'l Ltd. – China Life Euro Wts Aug 2011 B	2011/08/23	2011/08/30
27725	Macquarie Bank Ltd. – Tencent European Put Warrants Aug2011A	2011/08/23	2011/08/30
24896	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Aug 2011	2011/08/23	2011/08/30
11133	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2011	2011/08/23	2011/08/30
28604	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2011 B	2011/08/23	2011/08/30
29317	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Aug11	2011/08/23	2011/08/30
28846	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Aug 2011	2011/08/23	2011/08/30
29109	Nomura Int'l plc – CSR European Warrants Aug 2011 B	2011/08/23	2011/08/30
29100	Nomura Int'l plc – Yuexiu Property European Warrants Aug 11	2011/08/23	2011/08/30
29105	Nomura Int'l plc – ZTE European Warrants Aug 2011	2011/08/23	2011/08/30
29738	RB of Scotland N.V. – HSBC European Warrants Aug 2011	2011/08/23	2011/08/30
24482	RB of Scotland N.V. – SHK P European Warrants Aug 2011	2011/08/23	2011/08/30
29093	RB of Scotland N.V. – Sinopec Corp European Warrants Aug 11B	2011/08/23	2011/08/30
23639	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2011	2011/08/23	2011/08/30
28641	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2011 A	2011/08/23	2011/08/30
29050	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2011 B	2011/08/23	2011/08/30
28728	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 11D	2011/08/23	2011/08/30
28486	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Aug 2011	2011/08/23	2011/08/30
29001	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2011B	2011/08/23	2011/08/30
27207	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Aug 11	2011/08/23	2011/08/30
29113	SGA Societe Generale Acceptance N.V. – Hland Eur Wt Aug 2011	2011/08/23	2011/08/30
29635	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Aug 2011 C	2011/08/23	2011/08/30
28131	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Aug 11	2011/08/23	2011/08/30
22890	SGA Societe Generale Acceptance N.V.-Chi COSCO Euro Wt Aug 11	2011/08/23	2011/08/30
26678	SGA Societe Generale Acceptance N.V.-Nine Dragons Eu Wt Aug11	2011/08/23	2011/08/30
11635	Standard Chartered Bank – China Life Euro Put Wts Aug 2011	2011/08/23	2011/08/30
26018	Standard Chartered Bank – China Mobile European Wts Aug 11A	2011/08/23	2011/08/30
23354	UBS AG – A50 China European Warrants Aug 2011 A	2011/08/23	2011/08/30
28438	UBS AG – Alibaba European Warrants Aug 2011	2011/08/23	2011/08/30
29095	UBS AG – BYD European Warrants Aug 2011 A	2011/08/23	2011/08/30
29461	UBS AG – China Life European Warrants Aug 2011 C	2011/08/23	2011/08/30
29310	UBS AG – HSBC European Warrants Aug 2011	2011/08/23	2011/08/30
11211	UBS AG – Hutchison European Warrants Aug 2011 B	2011/08/23	2011/08/30
24441	UBS AG – SHK Ppt European Warrants Aug 2011 B	2011/08/23	2011/08/30
28757	Barclays Bank plc – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
28754	Barclays Bank plc – HSI European Warrants Aug 2011	2011/08/24	2011/08/31
28970	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2011	2011/08/24	2011/08/31
28968	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2011	2011/08/24	2011/08/31
28563	CC Rabobank B.A. – BJ Ent Water European Warrants Aug 2011	2011/08/24	2011/08/31
24003	CC Rabobank B.A. – BOC HK European Warrants Aug 2011	2011/08/24	2011/08/31
28989	CC Rabobank B.A. – Ch Mobile European Warrants Aug 2011	2011/08/24	2011/08/31
29037	CC Rabobank B.A. – China Shenhua European Warrants Aug 2011	2011/08/24	2011/08/31
28639	CC Rabobank B.A. – Chinars Cement European Warrants Aug 11	2011/08/24	2011/08/31
28564	CC Rabobank B.A. – Chow Sang Sang European Warrants Aug 2011	2011/08/24	2011/08/31
28565	CC Rabobank B.A. – GAC European Warrants Aug 2011	2011/08/24	2011/08/31
24958	CC Rabobank B.A. – Greentown China European Wts Aug 2011	2011/08/24	2011/08/31
28716	CC Rabobank B.A. – Guangshen Rail European Warrants Aug 2011	2011/08/24	2011/08/31
28566	CC Rabobank B.A. – Hunan Nonferrous European Wts Aug 2011	2011/08/24	2011/08/31
28995	CC Rabobank B.A. – Hutchison European Warrants Aug 2011	2011/08/24	2011/08/31
28567	CC Rabobank B.A. – Realgold Mining European Warrants Aug 11	2011/08/24	2011/08/31
28570	CC Rabobank B.A. – RUSAL European Warrants Aug 2011	2011/08/24	2011/08/31
28637	CC Rabobank B.A. – Shun Tak European Warrants Aug 2011	2011/08/24	2011/08/31
28771	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2011 B	2011/08/24	2011/08/31
28572	CC Rabobank B.A. – Westchinacement European Warrants Aug 11	2011/08/24	2011/08/31
29039	CC Rabobank B.A. – Yuexiu Property European Warrants Aug2011	2011/08/24	2011/08/31
23772	Citigroup Global Mkt H Inc. – ChiUnicom European Wts Aug 11	2011/08/24	2011/08/31
11331	Citigroup Global Mkt H Inc. – HSI European Put Wts Aug 2011	2011/08/24	2011/08/31
24447	Citigroup Global Mkt H Inc. – Chaoda Modern European Wt Aug11	2011/08/24	2011/08/31
29582	Credit Suisse AG – HSCEI European Warrants Aug 2011	2011/08/24	2011/08/31
11058	Credit Suisse AG – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
11957	Credit Suisse AG – HSI European Put Warrants Aug 2011 C	2011/08/24	2011/08/31
11056	Credit Suisse AG – HSI European Warrants Aug 2011	2011/08/24	2011/08/31
11782	Credit Suisse AG – HSI European Warrants Aug 2011 A	2011/08/24	2011/08/31
11783	Credit Suisse AG – HSI European Warrants Aug 2011 B	2011/08/24	2011/08/31



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27138	Deutsche Bank AG – CC Bank European Warrants Aug 2011 A	2011/08/24	2011/08/31
11020	Deutsche Bank AG – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
28634	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2011 C	2011/08/24	2011/08/31
28685	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2011 D	2011/08/24	2011/08/31
29615	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2011 E	2011/08/24	2011/08/31
28171	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2011 A	2011/08/24	2011/08/31
28561	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2011 B	2011/08/24	2011/08/31
25116	HK Bank – CNOOC European Warrants Aug 2011	2011/08/24	2011/08/31
28933	HK Bank – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
11455	HK Bank – HSI European Warrants Aug 2011	2011/08/24	2011/08/31
25726	HK Bank – Zijin Mining European Warrants Aug 2011	2011/08/24	2011/08/31
26756	J P Morgan SP BV – China Agri European Warrants Aug 2011	2011/08/24	2011/08/31
27808	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Aug 2011 A	2011/08/24	2011/08/31
27809	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Aug 2011 B	2011/08/24	2011/08/31
29605	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Aug 2011 C	2011/08/24	2011/08/31
11073	KBC Fin Products Int'l Ltd. – HSI European Wts Aug 2011	2011/08/24	2011/08/31
23679	Macquarie Bank Ltd. – A50 China European Warrants Aug 2011	2011/08/24	2011/08/31
29115	Macquarie Bank Ltd. – BYD European Warrants Aug 2011 B	2011/08/24	2011/08/31
24745	Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2011	2011/08/24	2011/08/31
28816	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Aug 2011	2011/08/24	2011/08/31
29397	Macquarie Bank Ltd. – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
28985	Macquarie Bank Ltd. – HSI European Warrants Aug 2011	2011/08/24	2011/08/31
28584	Macquarie Bank Ltd. – Hunan Nonferrous European Wts Aug 2011	2011/08/24	2011/08/31
28090	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Aug 2011	2011/08/24	2011/08/31
11079	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2011	2011/08/24	2011/08/31
11074	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2011 B	2011/08/24	2011/08/31
11075	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2011 C	2011/08/24	2011/08/31
29196	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Aug11A	2011/08/24	2011/08/31
28907	RB of Scotland N.V. – HSI European Warrants Aug 2011	2011/08/24	2011/08/31
29265	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 11A	2011/08/24	2011/08/31
29266	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 11B	2011/08/24	2011/08/31
29261	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2011 C	2011/08/24	2011/08/31
29633	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2011 D	2011/08/24	2011/08/31
26968	Standard Chartered Bank – BYD European Warrants Aug 2011	2011/08/24	2011/08/31
26937	Standard Chartered Bank – CNOOC European Warrants Aug 2011	2011/08/24	2011/08/31
25466	Standard Chartered Bank – Tencent Hldg European Wts Aug2011A	2011/08/24	2011/08/31
26381	Standard Chartered Bank-China Life European Warrants Aug 11A	2011/08/24	2011/08/31
11129	UBS AG – HSI European Put Warrants Aug 2011	2011/08/24	2011/08/31
11438	UBS AG – HSI European Put Warrants Aug 2011 C	2011/08/24	2011/08/31
29413	UBS AG – HSI European Warrants Aug 2011 B	2011/08/24	2011/08/31
11439	UBS AG – HSI European Warrants Aug 2011 D	2011/08/24	2011/08/31
28883	UBS AG – HSI European Warrants Aug 2011A	2011/08/24	2011/08/31
10087	Deutsche Bank AG – Gold European Put Warrants Aug 2011	2011/08/25	2011/09/01
10083	Deutsche Bank AG – Gold European Warrants Aug 2011	2011/08/25	2011/09/01
25462	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Aug 2011B	2011/08/25	2011/09/01
28589	Macquarie Bank Ltd. – Galaxy Ent European Warrants Aug 2011	2011/08/25	2011/09/01
29019	Nomura Int'l plc – China Life European Warrants Aug 2011	2011/08/25	2011/09/01
25679	Nomura Int'l plc – China Unicom European Warrants Aug 2011	2011/08/25	2011/09/01
29098	Nomura Int'l plc – Hutchison European Warrants Aug 2011	2011/08/25	2011/09/01
29021	Nomura Int'l plc – United Lab European Warrants Aug 2011	2011/08/25	2011/09/01
29003	Nomura Int'l plc – Henderson Land Dev European Warrants Aug11	2011/08/25	2011/09/01
29325	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2011 B	2011/08/25	2011/09/01
29175	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Aug 2011	2011/08/25	2011/09/01
29259	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2011C	2011/08/25	2011/09/01
28349	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Aug 11	2011/08/25	2011/09/01
11103	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2011 B	2011/08/25	2011/09/01
28355	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Aug 11	2011/08/25	2011/09/01
26490	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Aug 11	2011/08/25	2011/09/01
11302	Standard Chartered Bank – Sinopec Corp European Wts Aug 11B	2011/08/25	2011/09/01
26396	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Sep 2011	2011/08/26	2011/09/02
26397	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2011	2011/08/26	2011/09/02
26934	BNP Paribas Arbit Issu B.V. – Gome European Warrants Sep 11	2011/08/26	2011/09/02
20307	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2011 A	2011/08/26	2011/09/02
24628	BNP Paribas Arbit Issu B.V. – Sands China European Wts Sep11	2011/08/26	2011/09/02
18240	CC Rabobank B.A. – HSBC European Warrants Sep 2011 C	2011/08/26	2011/09/02
25873	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 A	2011/08/26	2011/09/02
28976	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Sep 2011	2011/08/26	2011/09/02
29725	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Sep 2011 E	2011/08/26	2011/09/02
29406	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2011 B	2011/08/26	2011/09/02
29718	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2011 C	2011/08/26	2011/09/02
29720	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Sep 2011 B	2011/08/26	2011/09/02
28026	KBC Fin Products Int'l Ltd. – Chi Res Land Euro Put Wt Sep11	2011/08/26	2011/09/02
27902	KBC Fin Products Int'l Ltd. – China Agri European Wts Sep11	2011/08/26	2011/09/02
25711	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Sep 2011	2011/08/26	2011/09/02
29726	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Sep 11 D	2011/08/26	2011/09/02
28706	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Sep2011C	2011/08/26	2011/09/02
28802	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Sep 2011	2011/08/26	2011/09/02
28027	KBC Fin Products Int'l Ltd. – Dongfeng Euro Put Wts Sep 2011	2011/08/26	2011/09/02
24023	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011A	2011/08/26	2011/09/02
27896	KBC Fin Products Int'l Ltd. – Sino Land European Wts Sep11	2011/08/26	2011/09/02
25715	KBC Fin Products Int'l Ltd. – Zijin Mining Eu Put Wt Sep 11	2011/08/26	2011/09/02
29028	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt Sep11A	2011/08/26	2011/09/02
26841	Standard Chartered Bank – BOC HK European Warrants Sep 2011	2011/08/26	2011/09/02
27565	Standard Chartered Bank – CC Bank European Warrants Sep 2011	2011/08/26	2011/09/02
28371	Standard Chartered Bank – ICBC European Warrants Sep 2011 A	2011/08/26	2011/09/02
11176	UBS AG – CC Bank European Warrants Sep 2011 A	2011/08/26	2011/09/02
24859	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Sep 11	2011/08/29	2011/09/05

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27652	BNP Paribas Arbit Issu B.V. – BOCL European Wts Sep 2011	2011/08/29	2011/09/05
28860	BNP Paribas Arbit Issu B.V. – CC Bank European Put Wts Sep11	2011/08/29	2011/09/05
28681	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Euro Wt Sep 2011	2011/08/29	2011/09/05
28331	BNP Paribas Arbit Issu B.V. – HK Gas European Warrants Sep11	2011/08/29	2011/09/05
29139	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Sep 11E	2011/08/29	2011/09/05
28443	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Sep2011D	2011/08/29	2011/09/05
28806	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2011 C	2011/08/29	2011/09/05
27936	BNP Paribas Arbit Issu B.V. – KECL European Warrants Sep 11	2011/08/29	2011/09/05
28760	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wts Sep11	2011/08/29	2011/09/05
24623	BNP Paribas Arbit Issu B.V. -Alibaba European Warrants Sep11	2011/08/29	2011/09/05
25402	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Sep11	2011/08/29	2011/09/05
29036	CC Rabobank B.A. – China Life European Warrants Sep 2011	2011/08/29	2011/09/05
11321	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2011 B	2011/08/29	2011/09/05
29166	Credit Suisse AG – China Mobile European Warrants Sep 2011	2011/08/29	2011/09/05
27215	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011 B	2011/08/29	2011/09/05
29116	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Sep 2011	2011/08/29	2011/09/05
21423	Macquarie Bank Ltd. – Zijin Mining European Put Wts Sep 2011	2011/08/29	2011/09/05
25954	Macquarie Bank Ltd. – Zijin Mining European Wts Sep 2011	2011/08/29	2011/09/05
22285	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Sep 2011	2011/08/30	2011/09/06
28735	BNP Paribas Arbit Issu B.V. – Anhui Conch European Wts Sep11	2011/08/30	2011/09/06
29066	BNP Paribas Arbit Issu B.V. – BYD European Warrants Sep 2011	2011/08/30	2011/09/06
28204	BOCI Asia Ltd. – CITBK European Warrants Sep 2011	2011/08/30	2011/09/06
28037	BOCI Asia Ltd. – CNBM European Warrants Sep 2011	2011/08/30	2011/09/06
25576	BOCI Asia Ltd. – CNOOC European Warrants Sep 2011	2011/08/30	2011/09/06
28554	BOCI Asia Ltd. – CSR European Warrants Sep 2011	2011/08/30	2011/09/06
27303	BOCI Asia Ltd. – HKEx European Warrants Sep 2011	2011/08/30	2011/09/06
29177	CC Rabobank B.A. – AviChina European Warrants Sep 2011	2011/08/30	2011/09/06
29178	CC Rabobank B.A. – China Gas Hold European Warrants Sep 2011	2011/08/30	2011/09/06
29186	CC Rabobank B.A. – CSR Times European Warrants Sep 2011	2011/08/30	2011/09/06
29185	CC Rabobank B.A. – Shanghai Ind European Warrants Sep 2011	2011/08/30	2011/09/06
29180	CC Rabobank B.A. – Yurun Food European Warrants Sep 2011	2011/08/30	2011/09/06
26345	Citigroup Global Mkt H Inc. – SPDR Gold T European Wts Sep11	2011/08/30	2011/09/06
29867	Citigroup Global Mkt H Inc.-Tencent European Warrants Sep 11	2011/08/30	2011/09/06
11745	Credit Suisse AG – CC Bank European Warrants Sep 2011	2011/08/30	2011/09/06
11784	Credit Suisse AG – HSBC European Wts Sep 2011 B	2011/08/30	2011/09/06
11311	Credit Suisse AG – Li & Fung European Warrants Sep 2011	2011/08/30	2011/09/06
29950	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2011	2011/08/30	2011/09/06
29162	Deutsche Bank AG – BOCL European Put Warrants Sep 2011	2011/08/30	2011/09/06
29192	Deutsche Bank AG – CC Bank European Warrants Sep 2011 A	2011/08/30	2011/09/06
29064	Deutsche Bank AG – China Life European Put Wts Sep 2011	2011/08/30	2011/09/06
11259	Deutsche Bank AG – HKEx European Warrants Sep 2011 B	2011/08/30	2011/09/06
29194	Deutsche Bank AG – HSBC European Warrants Sep 2011 C	2011/08/30	2011/09/06
26133	Deutsche Bank AG – Shougang Res European Warrants Sep 2011	2011/08/30	2011/09/06
29908	Goldman Sachs SP (Asia) – BYD European Warrants Sep 2011	2011/08/30	2011/09/06
28555	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Sep 2011	2011/08/30	2011/09/06
28889	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2011 B	2011/08/30	2011/09/06
28676	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2011	2011/08/30	2011/09/06
29154	Goldman Sachs SP (Asia) – China Railway Euro Wts Sep 2011	2011/08/30	2011/09/06
29202	Goldman Sachs SP (Asia) – Evergrande European Wts Sep 2011	2011/08/30	2011/09/06
29176	Goldman Sachs SP (Asia) – Gome European Warrants Sep 2011	2011/08/30	2011/09/06
27946	Goldman Sachs SP (Asia) – HKEx European Put Wts Sep 2011 B	2011/08/30	2011/09/06
28658	Goldman Sachs SP (Asia) – SJM Holdings European Wts Sep11	2011/08/30	2011/09/06
29203	Goldman Sachs SP (Asia) – Wharf European Warrants Sep 2011	2011/08/30	2011/09/06
28987	Goldman Sachs SP (Asia) – Yurun Food European Warrants Sep11	2011/08/30	2011/09/06
11147	HK Bank – ICBC European Warrants Sep 2011	2011/08/30	2011/09/06
29156	J P Morgan SP BV – HSBC European Warrants Sep 2011 C	2011/08/30	2011/09/06
11876	J P Morgan SP BV – HSBC European Warrants Sep 2011 E	2011/08/30	2011/09/06
29405	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Sep 2011 A	2011/08/30	2011/09/06
29368	KBC Fin Products Int'l Ltd. – China Shenhua Eu Wt Sep 2011	2011/08/30	2011/09/06
11048	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Sep 11	2011/08/30	2011/09/06
28819	Macquarie Bank Ltd. – China Life European Put Wts Sep 2011	2011/08/30	2011/09/06
29153	Macquarie Bank Ltd. – Sinofert European Warrants Sep 2011	2011/08/30	2011/09/06
11450	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2011 B	2011/08/30	2011/09/06
19850	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Sep 2011	2011/08/30	2011/09/06
11141	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 B	2011/08/30	2011/09/06
29017	Nomura Int'l plc – China COSCO European Warrants Sep 2011	2011/08/30	2011/09/06
28344	Nomura Int'l plc – Tencent Holdings European Warrants Sep 11	2011/08/30	2011/09/06
11510	RB of Scotland N.V. – BYD European Warrants Sep 2011 A	2011/08/30	2011/09/06
28686	RB of Scotland N.V. – CHALCO European Warrants Sep 2011	2011/08/30	2011/09/06
11171	RB of Scotland N.V. – ICBC European Warrants Sep 2011	2011/08/30	2011/09/06
24257	SGA Societe Generale Acceptance N.V. – BBMGM Euro Wts Sep11	2011/08/30	2011/09/06
29887	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Sep 2011 B	2011/08/30	2011/09/06
29085	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep2011A	2011/08/30	2011/09/06
29142	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Sep 2011	2011/08/30	2011/09/06
29145	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Sep 2011	2011/08/30	2011/09/06
27265	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Sep 11	2011/08/30	2011/09/06
29198	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 A	2011/08/30	2011/09/06
29147	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2011A	2011/08/30	2011/09/06
28132	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Sep 11A	2011/08/30	2011/09/06
11032	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Sep 11	2011/08/30	2011/09/06
29114	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Sep11	2011/08/30	2011/09/06
28661	Standard Chartered Bank-China Life European Wts Sep 2011 B	2011/08/30	2011/09/06
29214	UBS AG – China Mobile European Warrants Sep 2011 A	2011/08/30	2011/09/06
28973	UBS AG – HSBC European Warrants Sep 2011 C	2011/08/30	2011/09/06
11214	UBS AG – Tencent Holdings European Warrants Sep 2011 D	2011/08/30	2011/09/06
10089	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Sep 2011	2011/08/31	2011/09/07
10085	BNP Paribas Arbit Issu B.V. – Gold European Warrants Sep 11	2011/08/31	2011/09/07

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26776	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Sep 2011	2011/08/31	2011/09/07
29212	CC Rabobank B.A. – Lee & Man Paper European Warrants Sep 11B	2011/09/01	2011/09/08
29213	CC Rabobank B.A. – Nine Dragons European Warrants Sep 2011	2011/09/01	2011/09/08
10090	Deutsche Bank AG – Oil Futures European Put Warrants Sep2011	2011/09/01	2011/09/08
10086	Deutsche Bank AG – Oil Futures European Warrants Sep 2011	2011/09/01	2011/09/08
11357	KBC Fin Products Int'l Ltd.-Henderson Land Dev Eu Wt Sep11B	2011/09/01	2011/09/08
11605	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Sep 2011	2011/09/01	2011/09/08
11516	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep 11C	2011/09/01	2011/09/08
27086	BNP Paribas Arbit Issu B.V. – AIA European Warrants Sep 2011	2011/09/02	2011/09/09
26873	Citigroup Global Mkt H Inc. – Maanshan Iron Euro Wts Sep 11	2011/09/02	2011/09/09
10052	Deutsche Bank AG – KOSPI 200 European Put Warrants Sep 2011	2011/09/02	2011/09/09
10048	Deutsche Bank AG – KOSPI 200 European Warrants Sep 2011	2011/09/02	2011/09/09
29244	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2011	2011/09/02	2011/09/09
29942	KBC Fin Products Int'l Ltd. – AIA European Warrants Sep 2011	2011/09/02	2011/09/09
11177	UBS AG – China Life European Warrants Sep 2011 B	2011/09/02	2011/09/09
29844	CC Rabobank B.A. – Beijing Ent European Warrants Sep 2011	2011/09/05	2011/09/12
17278	CC Rabobank B.A. – HSBC European Warrants Sep 2011 A	2011/09/05	2011/09/12
29711	Credit Suisse AG – Ping An European Warrants Sep 2011 A	2011/09/05	2011/09/12
29293	Credit Suisse AG – Tencent Holdings European Wts Sep 2011 A	2011/09/05	2011/09/12
10039	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Sep 2011	2011/09/05	2011/09/12
10035	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2011	2011/09/05	2011/09/12
10075	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Sep 2011	2011/09/05	2011/09/12
10106	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Sep 2011 A	2011/09/05	2011/09/12
10073	Goldman Sachs SP (Asia) – N225 Index Euro Wts Sep 2011	2011/09/05	2011/09/12
10104	Goldman Sachs SP (Asia) – N225 Index Euro Wts Sep 2011 B	2011/09/05	2011/09/12
11145	HK Bank – BOCL European Warrants Sep 2011	2011/09/05	2011/09/12
29057	HK Bank – CC Bank European Put Warrants Sep 2011	2011/09/05	2011/09/12
11066	KBC Fin Products Int'l Ltd. – BOCL European Wts Sep 2011	2011/09/05	2011/09/12
29231	KBC Fin Products Int'l Ltd. – BYD European Warrants Sep 11A	2011/09/05	2011/09/12
28702	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2011A	2011/09/05	2011/09/12
28503	KBC Fin Products Int'l Ltd. – China Tel Eur Put Wts Sep 2011	2011/09/05	2011/09/12
28499	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Sep 2011	2011/09/05	2011/09/12
29230	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Sep11	2011/09/05	2011/09/12
29756	Nomura Int'l plc – ICBC European Warrants Sep 2011	2011/09/05	2011/09/12
28275	Standard Chartered Bank – China Mobile Euro Wts Sep 2011 A	2011/09/05	2011/09/12
29255	Barclays Bank plc – China Life European Put Wts Sep 2011	2011/09/06	2011/09/14
29251	Barclays Bank plc – HSBC European Warrants Sep 2011 B	2011/09/06	2011/09/14
25214	Barclays Bank plc – Tencent Holdings European Put Wts Sep11A	2011/09/06	2011/09/14
26533	Barclays Bank plc – Tencent Holdings European Put Wts Sep11B	2011/09/06	2011/09/14
26529	Barclays Bank plc -Tencent Holdings European Warrants Sep 11	2011/09/06	2011/09/14
24838	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Sep 2011A	2011/09/06	2011/09/14
11644	CC Rabobank B.A. – CC Bank European Warrants Sep 2011	2011/09/06	2011/09/14
29321	CC Rabobank B.A. – Ch Southern Air European Warrants Sep 11	2011/09/06	2011/09/14
28732	CC Rabobank B.A. – CM Bank European Warrants Sep 2011	2011/09/06	2011/09/14
28104	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2011 C	2011/09/06	2011/09/14
29148	Citigroup Global Mkt H Inc. – CM Bank European Wts Sep 2011	2011/09/06	2011/09/14
29866	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2011 B	2011/09/06	2011/09/14
11740	Credit Suisse AG – Jiangxi Copper European Wts Sep 2011	2011/09/06	2011/09/14
12511	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2011 D	2011/09/06	2011/09/14
29333	Credit Suisse AG – Tencent Holdings European Wts Sep 2011 B	2011/09/06	2011/09/14
29334	Credit Suisse AG – Tencent Holdings European Wts Sep 2011 C	2011/09/06	2011/09/14
29075	Deutsche Bank AG – AIA European Put Warrants Sep 2011	2011/09/06	2011/09/14
11215	Deutsche Bank AG – CC Bank European Warrants Sep 2011 B	2011/09/06	2011/09/14
11216	Deutsche Bank AG – China Life European Warrants Sep 2011 C	2011/09/06	2011/09/14
10093	Deutsche Bank AG – EUR/USD European Put Warrants Sep 2011	2011/09/06	2011/09/14
28689	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2011	2011/09/06	2011/09/14
25373	Goldman Sachs SP (Asia) – HKEx European Put Warrants Sep 11A	2011/09/06	2011/09/14
11529	J P Morgan SP BV – Tencent European Put Warrants Sep 2011 A	2011/09/06	2011/09/14
28370	J P Morgan SP BV – Tencent European Warrants Sep 2011	2011/09/06	2011/09/14
27586	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 C	2011/09/06	2011/09/14
29366	KBC Fin Products Int'l Ltd. – CMB European Warrants Sep 2011	2011/09/06	2011/09/14
29250	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Sep 2011	2011/09/06	2011/09/14
23613	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Sep 2011	2011/09/06	2011/09/14
11984	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Sep 2011	2011/09/06	2011/09/14
29171	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2011	2011/09/06	2011/09/14
24216	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Sep 2011	2011/09/06	2011/09/14
29319	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Sep 2011	2011/09/06	2011/09/14
29427	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Sep 11	2011/09/06	2011/09/14
27003	RB of Scotland N.V. – A50 China European Warrants Sep 2011	2011/09/06	2011/09/14
29258	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 A	2011/09/06	2011/09/14
24051	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 C	2011/09/06	2011/09/14
29326	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 D	2011/09/06	2011/09/14
29339	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2011	2011/09/06	2011/09/14
29301	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Sep11	2011/09/06	2011/09/14
11207	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2011B	2011/09/06	2011/09/14
29634	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep11A	2011/09/06	2011/09/14
28559	SGA Societe Generale Acceptance N.V. -Ch Mengniu Eu Wt Sep11	2011/09/06	2011/09/14
11828	Standard Chartered Bank – Henganintl European Warrants Sep11	2011/09/06	2011/09/14
29133	UBS AG – BYD European Warrants Sep 2011	2011/09/06	2011/09/14
28764	UBS AG – CM Bank European Warrants Sep 2011	2011/09/06	2011/09/14
11065	Credit Suisse AG – Ping An European Warrants Sep 2011 B	2011/09/07	2011/09/15
11285	J P Morgan SP BV – HSBC European Warrants Sep 2011 D	2011/09/07	2011/09/15
29364	KBC Fin Products Int'l Ltd. – BEA European Warrants Sep 2011	2011/09/07	2011/09/15
29370	KBC Fin Products Int'l Ltd. – Hang Lung Ppt Euro Wts Sep2011	2011/09/07	2011/09/15
29371	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Sep2011A	2011/09/07	2011/09/15
29372	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Sep2011B	2011/09/07	2011/09/15
29373	KBC Fin Products Int'l Ltd. – MTRC European Wts Sep 2011	2011/09/07	2011/09/15

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29374	KBC Fin Products Int'l Ltd. – Wharf European Warrants Sep11	2011/09/07	2011/09/15
29376	KBC Fin Products Int'l Ltd.-CPIC European Put Warrants Sep11	2011/09/07	2011/09/15
29362	Macquarie Bank Ltd. – A50 China European Warrants Sep 2011 B	2011/09/07	2011/09/15
11628	Nomura Int'l plc – China Mobile European Warrants Sep 2011	2011/09/07	2011/09/15
29359	UBS AG – Tencent Holdings European Warrants Sep 2011 B	2011/09/07	2011/09/15
29390	CC Rabobank B.A. – Anhui Conch European Warrants Sep 2011	2011/09/08	2011/09/16
29391	CC Rabobank B.A. – China Shenhua European Warrants Sep 2011	2011/09/08	2011/09/16
29392	CC Rabobank B.A. – GCL-Poly Energy European Wts Sep 2011	2011/09/08	2011/09/16
26308	HK Bank – AIA European Warrants Sep 2011	2011/09/08	2011/09/16
28627	HK Bank – GCL-Poly Energy European Warrants Sep 2011	2011/09/08	2011/09/16
28962	HK Bank – Henderson Land Dev European Warrants Sep 2011	2011/09/08	2011/09/16
29411	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Sep 2011D	2011/09/08	2011/09/16
29408	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2011 B	2011/09/08	2011/09/16
29032	KBC Fin Products Int'l Ltd. – Henderson Land Eu Put Wt Sep11	2011/09/08	2011/09/16
29410	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Sep 2011	2011/09/08	2011/09/16
27899	KBC Fin Products Int'l Ltd. – PWR Assets Euro Put Wts Sep11	2011/09/08	2011/09/16
27892	KBC Fin Products Int'l Ltd. – PWR Assets Euro Wts Sep 2011	2011/09/08	2011/09/16
26627	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Sep 2011	2011/09/08	2011/09/16
27900	KBC Fin Products Int'l Ltd. – Sino Land Euro Put Wts Sep11	2011/09/08	2011/09/16
28423	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Sep 11A	2011/09/08	2011/09/16
17734	Macquarie Bank Ltd. – HSBC European Warrants Sep 2011	2011/09/08	2011/09/16
29515	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2011	2011/09/08	2011/09/16
11213	UBS AG – Jiangxi Copper European Warrants Sep 2011	2011/09/08	2011/09/16
25383	CC Rabobank B.A. – SJM Holdings European Warrants Sep 2011	2011/09/09	2011/09/19
28691	HK Bank – C Transmission European Warrants Sep 2011	2011/09/09	2011/09/19
25366	HK Bank – Geely Auto European Warrants Sep 2011A	2011/09/09	2011/09/19
28763	HK Bank – PetroChina European Warrants Sep 2011	2011/09/09	2011/09/19
11619	HK Bank – Tencent European Put Warrants Sep 2011	2011/09/09	2011/09/19
29451	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Put Wt Sep 11	2011/09/09	2011/09/19
29443	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Sep 2011	2011/09/09	2011/09/19
29452	KBC Fin Products Int'l Ltd. – Beijing Ent Euro Put Wts Sep11	2011/09/09	2011/09/19
29444	KBC Fin Products Int'l Ltd. – Beijing Ent European Wts Sep11	2011/09/09	2011/09/19
29232	KBC Fin Products Int'l Ltd. – BYD European Warrants Sep 11B	2011/09/09	2011/09/19
29453	KBC Fin Products Int'l Ltd. – Ch Mer Hold Euro Put Wts Sep11	2011/09/09	2011/09/19
29445	KBC Fin Products Int'l Ltd. – Ch Mer Hold European Wts Sep11	2011/09/09	2011/09/19
29446	KBC Fin Products Int'l Ltd. – CNBM European Warrants Sep 11	2011/09/09	2011/09/19
10059	Nomura Int'l plc – Google European Put Warrants Sep 2011	2011/09/09	2011/09/19
10054	Nomura Int'l plc – Google European Warrants Sep 2011	2011/09/09	2011/09/19
10044	RB of Scotland N.V. – DJIA European Put Warrants Sep 2011 A	2011/09/09	2011/09/19
10066	RB of Scotland N.V. – DJIA European Put Warrants Sep 2011 B	2011/09/09	2011/09/19
10040	RB of Scotland N.V. – DJIA European Warrants Sep 2011	2011/09/09	2011/09/19
22642	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2011 B	2011/09/12	2011/09/20
29433	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Sep 2011B	2011/09/12	2011/09/20
29272	CC Rabobank B.A. – Tencent Holdings European Put Wts Sep 11	2011/09/12	2011/09/20
28775	CC Rabobank B.A. – Tencent Holdings European Wts Sep 2011A	2011/09/12	2011/09/20
28063	Credit Suisse AG – A50 China European Warrants Sep 2011 B	2011/09/12	2011/09/20
29224	Credit Suisse AG – Alibaba European Warrants Sep 2011	2011/09/12	2011/09/20
11820	Credit Suisse AG – CC Bank European Warrants Sep 2011 A	2011/09/12	2011/09/20
28155	Credit Suisse AG – HSBC European Wts Sep 2011	2011/09/12	2011/09/20
11738	Credit Suisse AG – HSBC European Wts Sep 2011 A	2011/09/12	2011/09/20
10042	Deutsche Bank AG – DJIA European Put Warrants Sep 2011	2011/09/12	2011/09/20
10038	Deutsche Bank AG – DJIA European Warrants Sep 2011	2011/09/12	2011/09/20
22604	Deutsche Bank AG – HSBC European Warrants Sep 2011 B	2011/09/12	2011/09/20
10051	Deutsche Bank AG – Nasdaq-100 Index Euro Put Wts Sep 2011	2011/09/12	2011/09/20
10047	Deutsche Bank AG – Nasdaq-100 Index European Wts Sep 2011	2011/09/12	2011/09/20
29491	Deutsche Bank AG – Tencent European Put Warrants Sep 2011	2011/09/12	2011/09/20
29487	Deutsche Bank AG – Tencent Holdings European Wts Sep 2011 A	2011/09/12	2011/09/20
29438	Goldman Sachs SP (Asia) – Ch Ship Cont European Wts Sep11	2011/09/12	2011/09/20
27618	Goldman Sachs SP (Asia) – GCL-Poly Energy Euro Wts Sep11 A	2011/09/12	2011/09/20
28591	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2011 A	2011/09/12	2011/09/20
28978	Goldman Sachs SP (Asia) – Ping An European Warrants Sep 2011	2011/09/12	2011/09/20
24995	Goldman Sachs SP (Asia) – Tencent Holdings Euro Put Wt Sep11	2011/09/12	2011/09/20
29442	Goldman Sachs SP (Asia) – Zijin Mining European Wts Sep11	2011/09/12	2011/09/20
24761	HK Bank – China COSCO European Warrants Sep 2011	2011/09/12	2011/09/20
11427	HK Bank – China Mobile European Warrants Sep 2011	2011/09/12	2011/09/20
29129	HK Bank – Lenovo European Warrants Sep 2011 A	2011/09/12	2011/09/20
12304	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2011 A	2011/09/12	2011/09/20
22730	J P Morgan SP BV – HSBC European Warrants Sep 2011 A	2011/09/12	2011/09/20
12302	J P Morgan SP BV – HSBC European Warrants Sep 2011 F	2011/09/12	2011/09/20
28471	J P Morgan SP BV – Tencent European Put Warrants Sep 2011	2011/09/12	2011/09/20
29489	KBC Fin Products Int'l Ltd. – CR Cement Euro Wts Sep 2011	2011/09/12	2011/09/20
27707	KBC Fin Products Int'l Ltd. – HKEx European Warrants Sep 11	2011/09/12	2011/09/20
29490	KBC Fin Products Int'l Ltd. – Poly European Wts Sep 2011	2011/09/12	2011/09/20
11536	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Sep 11A	2011/09/12	2011/09/20
11446	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Sep 2011	2011/09/12	2011/09/20
11449	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2011 A	2011/09/12	2011/09/20
11689	RB of Scotland N.V. – HWL European Warrants Sep 2011	2011/09/12	2011/09/20
28650	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Sep 2011	2011/09/12	2011/09/20
28647	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Sep 11B	2011/09/12	2011/09/20
29471	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Sep 11	2011/09/12	2011/09/20
28725	SGA Societe Generale Acceptance N.V.-China EB Ltd Eu Wt Sep11	2011/09/12	2011/09/20
11661	Standard Chartered Bank – CC Bank European Warrants Sep 11A	2011/09/12	2011/09/20
28289	Standard Chartered Bank – HSBC European Warrants Sep 2011 B	2011/09/12	2011/09/20
29460	UBS AG – BOCL European Warrants Sep 2011	2011/09/12	2011/09/20
11551	UBS AG – China Life European Warrants Sep 2011 C	2011/09/12	2011/09/20
11599	UBS AG – China Life European Warrants Sep 2011 E	2011/09/12	2011/09/20
11369	UBS AG – HSBC European Warrants Sep 2011 D	2011/09/12	2011/09/20

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
12518	UBS AG – HSBC European Warrants Sep 2011 E	2011/09/12	2011/09/20
29501	UBS AG – PetroChina European Warrants Sep 2011	2011/09/12	2011/09/20
27673	CC Rabobank B.A. – China EB Ltd European Warrants Sep 2011	2011/09/14	2011/09/21
28138	Deutsche Bank AG – Alibaba European Warrants Sep 2011	2011/09/14	2011/09/21
11261	Deutsche Bank AG – China Life European Warrants Sep 2011 D	2011/09/14	2011/09/21
11260	Deutsche Bank AG – HSBC European Warrants Sep 2011 E	2011/09/14	2011/09/21
11219	Deutsche Bank AG – Tencent Holdings European Wts Sep 2011 B	2011/09/14	2011/09/21
10096	Deutsche Bank AG – USD/YEN European Warrants Sep 2011	2011/09/14	2011/09/21
29558	Goldman Sachs SP (Asia) – Ch EB Ltd European Wts Sep 2011	2011/09/14	2011/09/21
28241	HK Bank – CM Bank European Warrants Sep 2011	2011/09/14	2011/09/21
28811	HK Bank – Henganintl European Warrants Sep 2011	2011/09/14	2011/09/21
26030	Standard Chartered Bank – A50 China European Warrants Sep 11	2011/09/14	2011/09/21
25147	UBS AG – China Unicom European Warrants Sep 2011	2011/09/14	2011/09/21
11724	HK Bank – China Life European Warrants Sep 2011	2011/09/15	2011/09/22
29566	KBC Fin Products Int'l Ltd. – BYD European Put Wts Sep 2011	2011/09/15	2011/09/22
29563	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Sep 2011 A	2011/09/15	2011/09/22
29345	KBC Fin Products Int'l Ltd. – Ping An European Wts Sep 2011	2011/09/15	2011/09/22
29513	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2011	2011/09/15	2011/09/22
29535	Nomura Int'l plc – Beijing Ent European Warrants Sep 2011	2011/09/15	2011/09/22
29538	Nomura Int'l plc – Ch East Air European Warrants Sep 2011	2011/09/15	2011/09/22
29544	Nomura Int'l plc – Ch South Air European Warrants Sep 2011	2011/09/15	2011/09/22
29529	Nomura Int'l plc – HSBC European Warrants Sep 2011 B	2011/09/15	2011/09/22
25208	SGA Societe Generale Acceptance N.V. – BYD Eur Wt Sep 2011 A	2011/09/15	2011/09/22
11013	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011 C	2011/09/15	2011/09/22
11858	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 G	2011/09/15	2011/09/22
11295	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 B	2011/09/15	2011/09/22
29554	SGA Societe Generale Acceptance N.V. – Wharf Euro Wts Sep 11	2011/09/15	2011/09/22
26665	CC Rabobank B.A. – A50 China European Warrants Sep 2011	2011/09/16	2011/09/23
11422	CC Rabobank B.A. – Anta Sports European Warrants Sep 2011	2011/09/16	2011/09/23
29577	CC Rabobank B.A. – Geely Auto European Warrants Sep 2011	2011/09/16	2011/09/23
29578	CC Rabobank B.A. – Lenovo European Warrants Sep 2011	2011/09/16	2011/09/23
29948	CC Rabobank B.A. – Shougang Res European Warrants Sep 2011	2011/09/16	2011/09/23
28597	Citigroup Global Mkt H Inc. – A50 China Eur Put Wts Sep 2011	2011/09/16	2011/09/23
26666	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2011 B	2011/09/16	2011/09/23
29124	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Sep 11	2011/09/16	2011/09/23
28326	Credit Suisse AG – A50 China European Put Warrants Sep 2011	2011/09/16	2011/09/23
29063	Deutsche Bank AG – A50 China European Put Wts Sep 2011	2011/09/16	2011/09/23
27606	HK Bank – Chi Taiping European Warrants Sep 2011	2011/09/16	2011/09/23
27616	HK Bank – China Agri European Warrants Sep 2011	2011/09/16	2011/09/23
25932	HK Bank – China Overseas European Warrants Sep 2011	2011/09/16	2011/09/23
27572	HK Bank – Jiangxi Copper European Warrants Sep 2011	2011/09/16	2011/09/23
24532	HK Bank – PICC European Warrants Sep 2011	2011/09/16	2011/09/23
27156	J P Morgan SP BV – A50 China European Put Warrants Sep 2011	2011/09/16	2011/09/23
11182	J P Morgan SP BV – AIA European Warrants Sep 2011	2011/09/16	2011/09/23
29587	J P Morgan SP BV – Anhui Conch European Warrants Sep 2011 A	2011/09/16	2011/09/23
29588	J P Morgan SP BV – Anhui Conch European Warrants Sep 2011 B	2011/09/16	2011/09/23
29590	J P Morgan SP BV – Ch Merchants European Warrants Sep 2011	2011/09/16	2011/09/23
29591	J P Morgan SP BV – CNBM European Warrants Sep 2011	2011/09/16	2011/09/23
29595	J P Morgan SP BV – GCL-Poly European Warrants Sep 2011	2011/09/16	2011/09/23
22933	J P Morgan SP BV – HSBC European Warrants Sep 2011 B	2011/09/16	2011/09/23
29598	J P Morgan SP BV – Zhaojin Mining European Warrants Sep 2011	2011/09/16	2011/09/23
26772	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 B	2011/09/16	2011/09/23
26868	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Sep 11	2011/09/16	2011/09/23
29367	KBC Fin Products Int'l Ltd. – CPIC European Wts Sep 2011	2011/09/16	2011/09/23
12395	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Sep 2011 A	2011/09/16	2011/09/23
23385	KBC Fin Products Int'l Ltd. – Ch COSCO European Warrants Sep 11	2011/09/16	2011/09/23
29784	Macquarie Bank Ltd. – China Life European Wts Sep 2011	2011/09/16	2011/09/23
29275	Macquarie Bank Ltd. – China Mobile European Wts Sep 2011 A	2011/09/16	2011/09/23
29117	Macquarie Bank Ltd. – HKEx European Warrants Sep 2011	2011/09/16	2011/09/23
28608	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Sep 11	2011/09/16	2011/09/23
11537	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Sep 11	2011/09/16	2011/09/23
29779	Standard Chartered Bank – HSBC European Warrants Sep 2011 C	2011/09/16	2011/09/23
26293	UBS AG – A50 China European Warrants Sep 2011	2011/09/16	2011/09/23
11210	UBS AG – AIA European Warrants Sep 2011	2011/09/16	2011/09/23
27427	CC Rabobank B.A. – KECL European Warrants Sep 2011	2011/09/19	2011/09/26
23280	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2011 A	2011/09/19	2011/09/26
24873	Citigroup Global Mkt H Inc. – KECL European Warrants Sep 11	2011/09/19	2011/09/26
11780	HK Bank – HWL European Warrants Sep 2011	2011/09/19	2011/09/26
29656	HK Bank – Lenovo European Warrants Sep 2011 B	2011/09/19	2011/09/26
26386	HK Bank – ZTE European Warrants Sep 2011	2011/09/19	2011/09/26
28959	HK Bank – BYD European Warrants Sep 2011	2011/09/19	2011/09/26
27789	J P Morgan SP BV – China Coal European Warrants Sep 2011	2011/09/19	2011/09/26
11874	J P Morgan SP BV – China Life European Warrants Sep 2011 A	2011/09/19	2011/09/26
29645	J P Morgan SP BV – HS Bank European Warrants Sep 2011	2011/09/19	2011/09/26
29662	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Sep 2011	2011/09/19	2011/09/26
29832	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Sep 11 C	2011/09/19	2011/09/26
26315	Macquarie Bank Ltd. – A50 China European Warrants Sep 2011 A	2011/09/19	2011/09/26
29509	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Put Wts Sep 2011	2011/09/19	2011/09/26
29512	Merrill Lynch Int'l & Co. C.V. – BOCL Euro Put Wts Sep 2011	2011/09/19	2011/09/26
12716	Standard Chartered Bank – HSBC European Warrants Sep 2011 D	2011/09/19	2011/09/26
11863	UBS AG – CC Bank European Warrants Sep 2011 C	2011/09/19	2011/09/26
29877	UBS AG – China Coal European Warrants Sep 2011	2011/09/19	2011/09/26
29252	Barclays Bank plc – CC Bank European Warrants Sep 2011	2011/09/20	2011/09/27
25540	Barclays Bank plc – China Coal European Warrants Sep 2011	2011/09/20	2011/09/27
24843	Barclays Bank plc – China COSCO European Warrants Sep 2011	2011/09/20	2011/09/27
28601	Barclays Bank plc – CM Bank European Warrants Sep 2011	2011/09/20	2011/09/27
23028	Barclays Bank plc – HSBC European Warrants Sep 2011 A	2011/09/20	2011/09/27

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11111	BOCI Asia Ltd. – China Life European Warrants Sep 2011	2011/09/20	2011/09/27
29947	CC Rabobank B.A. – Ch Mobile European Warrants Sep 2011	2011/09/20	2011/09/27
28850	CC Rabobank B.A. – CNOOC European Warrants Sep 2011	2011/09/20	2011/09/27
17855	CC Rabobank B.A. – HSBC European Warrants Sep 2011 B	2011/09/20	2011/09/27
26089	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2011 A	2011/09/20	2011/09/27
23963	Citigroup Global Mkt H Inc. – China COSCO European Wts Sep11	2011/09/20	2011/09/27
29865	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2011 A	2011/09/20	2011/09/27
28663	Citigroup Global Mkt H Inc. – RUSAL European Wts Sep 2011	2011/09/20	2011/09/27
27350	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Sep 2011	2011/09/20	2011/09/27
11527	Citigroup Global Mkt H Inc. – Tencent European Wts Sep11A	2011/09/20	2011/09/27
11747	Credit Suisse AG – Agricultural Bank European Wts Sep 2011	2011/09/20	2011/09/27
12604	Credit Suisse AG – Agricultural Bank European Wts Sep 2011 B	2011/09/20	2011/09/27
11749	Credit Suisse AG – AIA European Warrants Sep 2011	2011/09/20	2011/09/27
11821	Credit Suisse AG – AIA European Warrants Sep 2011 A	2011/09/20	2011/09/27
12603	Credit Suisse AG – CC Bank European Warrants Sep 2011 B	2011/09/20	2011/09/27
11959	Credit Suisse AG – Cheung Kong European Warrants Sep 2011	2011/09/20	2011/09/27
11742	Credit Suisse AG – CNOOC European Warrants Sep 2011	2011/09/20	2011/09/27
12606	Credit Suisse AG – GCL-Poly Energy European Warrants Sep 11	2011/09/20	2011/09/27
11785	Credit Suisse AG – HKEx European Warrants Sep 2011	2011/09/20	2011/09/27
12605	Credit Suisse AG – ICBC European Warrants Sep 2011 A	2011/09/20	2011/09/27
27518	Credit Suisse AG – KECL European Warrants Sep 2011	2011/09/20	2011/09/27
27278	Deutsche Bank AG – AIA European Warrants Sep 2011 A	2011/09/20	2011/09/27
29608	Deutsche Bank AG – AIA European Warrants Sep 2011 B	2011/09/20	2011/09/27
27526	Deutsche Bank AG – Air China European Warrants Sep 2011	2011/09/20	2011/09/27
29609	Deutsche Bank AG – BOCL European Warrants Sep 2011	2011/09/20	2011/09/27
29878	Deutsche Bank AG – China Coal European Warrants Sep 2011	2011/09/20	2011/09/27
11119	Deutsche Bank AG – China Life European Warrants Sep 2011 B	2011/09/20	2011/09/27
29610	Deutsche Bank AG – China Life European Warrants Sep 2011A	2011/09/20	2011/09/27
29810	Deutsche Bank AG – China Mobile European Warrants Sep 2011 B	2011/09/20	2011/09/27
11173	Deutsche Bank AG – China Mobile European Warrants Sep 2011 C	2011/09/20	2011/09/27
29193	Deutsche Bank AG – China Mobile European Warrants Sep 2011A	2011/09/20	2011/09/27
11175	Deutsche Bank AG – HKEx European Put Warrants Sep 2011	2011/09/20	2011/09/27
25744	Deutsche Bank AG – HKEx European Warrants Sep 2011 A	2011/09/20	2011/09/27
29715	Deutsche Bank AG – HSBC European Warrants Sep 2011 D	2011/09/20	2011/09/27
29812	Deutsche Bank AG – Hutchison European Warrants Sep 2011	2011/09/20	2011/09/27
29611	Deutsche Bank AG – ICBC European Warrants Sep 2011	2011/09/20	2011/09/27
27353	Deutsche Bank AG – KECL European Warrants Sep 2011	2011/09/20	2011/09/27
29580	Goldman Sachs SP (Asia) – Anhui Conch European Wts Sep 2011	2011/09/20	2011/09/27
28674	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2011 A	2011/09/20	2011/09/27
29776	Goldman Sachs SP (Asia) – China Mobile Euro Put Wts Sep 2011	2011/09/20	2011/09/27
29437	Goldman Sachs SP (Asia) – CM Bank European Wts Sep 2011	2011/09/20	2011/09/27
29669	Goldman Sachs SP (Asia) – GCL-Poly Energy Euro Wt Sep 2011 B	2011/09/20	2011/09/27
29440	Goldman Sachs SP (Asia) – Hang Lung Ppt European Wts Sep11	2011/09/20	2011/09/27
29523	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2011 B	2011/09/20	2011/09/27
29771	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2011 C	2011/09/20	2011/09/27
29772	Goldman Sachs SP (Asia) – Hutchison European Wts Sep 2011 A	2011/09/20	2011/09/27
29773	Goldman Sachs SP (Asia) – Hutchison European Wts Sep 2011 B	2011/09/20	2011/09/27
29441	Goldman Sachs SP (Asia) – Sh Electric European Wts Sep11	2011/09/20	2011/09/27
11428	HK Bank – CC Bank European Warrants Sep 2011	2011/09/20	2011/09/27
29766	HK Bank – Esprit European Warrants Sep 2011	2011/09/20	2011/09/27
29767	HK Bank – Geely Auto European Warrants Sep 2011 B	2011/09/20	2011/09/27
11306	HK Bank – HKEx European Warrants Sep 2011	2011/09/20	2011/09/27
27698	J P Morgan SP BV – Air China European Warrants Sep 2011	2011/09/20	2011/09/27
29664	J P Morgan SP BV – China Mob European Wts Sep 2011	2011/09/20	2011/09/27
28955	J P Morgan SP BV – HKEx European Warrants Sep 2011 B	2011/09/20	2011/09/27
25914	J P Morgan SP BV – New World Dev European Warrants Sep 2011	2011/09/20	2011/09/27
29724	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Sep 2011	2011/09/20	2011/09/27
29717	KBC Fin Products Int'l Ltd. – BOC HK European Wts Sep 2011	2011/09/20	2011/09/27
29728	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Sep 2011	2011/09/20	2011/09/27
29723	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011 C	2011/09/20	2011/09/27
12401	KBC Fin Products Int'l Ltd. – ICBC European Wts Sep 2011	2011/09/20	2011/09/27
29643	Macquarie Bank Ltd. – BOCL European Put Warrants Sep 2011	2011/09/20	2011/09/27
29642	Macquarie Bank Ltd. – Goldwind European Warrants Sep 2011	2011/09/20	2011/09/27
24810	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Sep 2011	2011/09/20	2011/09/27
22293	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Sep 2011	2011/09/20	2011/09/27
11572	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts Sep11C	2011/09/20	2011/09/27
11571	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Sep 2011	2011/09/20	2011/09/27
11479	Nomura Int'l plc – Dongfang Elec European Warrants Sep 2011	2011/09/20	2011/09/27
11775	Nomura Int'l plc – Lonking European Warrants Sep 2011	2011/09/20	2011/09/27
29419	RB of Scotland N.V. – HKEx European Warrants Sep 2011 A	2011/09/20	2011/09/27
22805	RB of Scotland N.V. – HSBC European Warrants Sep 2011	2011/09/20	2011/09/27
26630	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Sep 2011	2011/09/20	2011/09/27
11408	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Sep 2011	2011/09/20	2011/09/27
29629	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 B	2011/09/20	2011/09/27
11705	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 C	2011/09/20	2011/09/27
29631	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep2011C	2011/09/20	2011/09/27
29632	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep2011D	2011/09/20	2011/09/27
29473	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011A	2011/09/20	2011/09/27
29630	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011B	2011/09/20	2011/09/27
11811	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2011D	2011/09/20	2011/09/27
29683	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Sep 2011	2011/09/20	2011/09/27
28487	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Sep 11	2011/09/20	2011/09/27
29686	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Sep 2011	2011/09/20	2011/09/27
26009	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2011 A	2011/09/20	2011/09/27
28351	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2011 B	2011/09/20	2011/09/27
29052	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2011 C	2011/09/20	2011/09/27
22461	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 A	2011/09/20	2011/09/27

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
23636	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 B	2011/09/20	2011/09/27
29688	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 F	2011/09/20	2011/09/27
11815	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2011 C	2011/09/20	2011/09/27
27361	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Sep 11	2011/09/20	2011/09/27
29689	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Sep 2011	2011/09/20	2011/09/27
29690	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Sep2011	2011/09/20	2011/09/27
29685	SGA Societe Generale Acceptance N.V. –Geely Auto Eu Wt Sep11	2011/09/20	2011/09/27
23712	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep 11	2011/09/20	2011/09/27
29691	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep 11	2011/09/20	2011/09/27
29692	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Sep 11	2011/09/20	2011/09/27
26783	Standard Chartered Bank – China Coal European Warrants Sep11	2011/09/20	2011/09/27
29584	Standard Chartered Bank – HKEx European Warrants Sep 2011 B	2011/09/20	2011/09/27
12717	Standard Chartered Bank – ICBC European Warrants Sep 2011 C	2011/09/20	2011/09/27
27268	UBS AG – Alibaba European Warrants Sep 2011	2011/09/20	2011/09/27
11391	UBS AG – CC Bank European Warrants Sep 2011 B	2011/09/20	2011/09/27
11161	UBS AG – China Mobile European Warrants Sep 2011 B	2011/09/20	2011/09/27
11392	UBS AG – HKEx European Warrants Sep 2011	2011/09/20	2011/09/27
15548	UBS AG – HSBC European Warrants Sep 2011 A	2011/09/20	2011/09/27
15549	UBS AG – HSBC European Warrants Sep 2011 B	2011/09/20	2011/09/27
29796	UBS AG – ICBC European Warrants Sep 2011	2011/09/20	2011/09/27
27410	UBS AG – KECL European Warrants Sep 2011	2011/09/20	2011/09/27
29622	UBS AG – Ping An European Warrants Sep 2011	2011/09/20	2011/09/27
28388	UBS AG – Tencent Holdings European Warrants Sep 2011 A	2011/09/20	2011/09/27
29360	UBS AG – Tencent Holdings European Warrants Sep 2011 C	2011/09/20	2011/09/27
11421	CC Rabobank B.A. – Jiangxi Copper European Warrants Sep 2011	2011/09/21	2011/09/28
29959	CC Rabobank B.A. – Tencent Holdings European Wts Sep 2011 B	2011/09/21	2011/09/28
11994	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2011 C	2011/09/21	2011/09/28
26324	Credit Suisse AG – A50 China European Warrants Sep 2011 A	2011/09/21	2011/09/28
28324	Credit Suisse AG – HSBC European Put Warrants Sep 2011	2011/09/21	2011/09/28
28549	Goldman Sachs SP (Asia) – HKEx European Warrants Sep 2011 C	2011/09/21	2011/09/28
11305	HK Bank – Ch Ship Cont European Warrants Sep 2011	2011/09/21	2011/09/28
24787	HK Bank – China Unicom European Warrants Sep 2011	2011/09/21	2011/09/28
29652	HK Bank – CITIC Bank European Warrants Sep 2011	2011/09/21	2011/09/28
27155	J P Morgan SP BV – HSBC European Put Warrants Sep 2011	2011/09/21	2011/09/28
12394	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Sep 2011	2011/09/21	2011/09/28
11382	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2011	2011/09/21	2011/09/28
29507	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Sep 2011	2011/09/21	2011/09/28
29510	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Sep 2011	2011/09/21	2011/09/28
11444	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2011 A	2011/09/21	2011/09/28
28605	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2011 A	2011/09/21	2011/09/28
25342	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Sep 2011	2011/09/21	2011/09/28
11140	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 A	2011/09/21	2011/09/28
29420	RB of Scotland N.V. – HKEx European Warrants Sep 2011 B	2011/09/21	2011/09/28
11280	Standard Chartered Bank – China Mobile Euro Wts Sep 2011 B	2011/09/21	2011/09/28
11299	Standard Chartered Bank – Hutchison Euro Euro Wts Sep 2011	2011/09/21	2011/09/28
29462	UBS AG – China Life European Warrants Sep 2011 A	2011/09/21	2011/09/28
11564	UBS AG – China Life European Warrants Sep 2011 D	2011/09/21	2011/09/28
11563	UBS AG – Tencent Holdings European Put Warrants Sep 2011	2011/09/21	2011/09/28
11669	HK Bank – Sinopec Corp European Warrants Sep 2011	2011/09/22	2011/09/29
25724	HK Bank – Zijin Mining European Warrants Sep 2011	2011/09/22	2011/09/29
29835	KBC Fin Products Int'l Ltd. – Cathay Pac Eu Put Wt Sep11B	2011/09/22	2011/09/29
29837	KBC Fin Products Int'l Ltd. – Ch Railway Eur Put Wt Sep 2011	2011/09/22	2011/09/29
29836	KBC Fin Products Int'l Ltd. – CRCC European Put Wts Sep 2011	2011/09/22	2011/09/29
29829	KBC Fin Products Int'l Ltd. – CRCC European Wts Sep 2011	2011/09/22	2011/09/29
29839	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Sep11	2011/09/22	2011/09/29
11126	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Sep 11D	2011/09/22	2011/09/29
29800	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Sep 11B	2011/09/22	2011/09/29
28980	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2011 B	2011/09/22	2011/09/29
12597	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2011 D	2011/09/22	2011/09/29
29299	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep 11B	2011/09/22	2011/09/29
24049	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2011	2011/09/22	2011/09/29
29476	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2011 E	2011/09/22	2011/09/29
11015	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Sep 11B	2011/09/22	2011/09/29
29624	Standard Chartered Bank – Jiangxi Copper Euro Wts Sep 2011	2011/09/22	2011/09/29
11150	Standard Chartered Bank – Tencent Hldg European Wts Sep2011B	2011/09/22	2011/09/29
29625	Standard Chartered Bank-China Life European Wts Sep 2011 C	2011/09/22	2011/09/29
27032	Barclays Bank plc – AIA European Warrants Sep 2011	2011/09/23	2011/09/30
27033	Barclays Bank plc – China Life European Warrants Sep 2011	2011/09/23	2011/09/30
29695	Barclays Bank plc – HSI European Put Warrants Sep 2011	2011/09/23	2011/09/30
11951	Barclays Bank plc – HSI European Put Warrants Sep 2011 A	2011/09/23	2011/09/30
29700	Barclays Bank plc – PetroChina European Warrants Sep 2011	2011/09/23	2011/09/30
29699	Barclays Bank plc – Sinopec Corp European Wts Sep 2011	2011/09/23	2011/09/30
24630	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2011	2011/09/23	2011/09/30
11566	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2011 C	2011/09/23	2011/09/30
24620	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011 A	2011/09/23	2011/09/30
27697	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011 B	2011/09/23	2011/09/30
11569	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011 D	2011/09/23	2011/09/30
28715	CC Rabobank B.A. – Chi East Air European Warrants Sep 2011 A	2011/09/23	2011/09/30
23137	CC Rabobank B.A. – China COSCO European Warrants Sep 2011	2011/09/23	2011/09/30
29081	CC Rabobank B.A. – Dongfang Elec European Warrants Sep 2011A	2011/09/23	2011/09/30
29576	CC Rabobank B.A. – Dongfang Elec European Wts Sep 2011 B	2011/09/23	2011/09/30
29818	CC Rabobank B.A. – Goldwind European Warrants Sep 2011	2011/09/23	2011/09/30
27977	CC Rabobank B.A. – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
28783	CC Rabobank B.A. – Lee & Man Paper European Warrants Sep 11A	2011/09/23	2011/09/30
29394	CC Rabobank B.A. – Lonking European Warrants Sep 2011	2011/09/23	2011/09/30
28784	CC Rabobank B.A. – MCC European Warrants Sep 2011	2011/09/23	2011/09/30
29817	CC Rabobank B.A. – NVC Lighting European Warrants Sep 2011	2011/09/23	2011/09/30

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28787	CC Rabobank B.A. – Sino Biopharm European Warrants Sep 2011	2011/09/23	2011/09/30
28571	CC Rabobank B.A. – United Lab European Warrants Sep 2011	2011/09/23	2011/09/30
11833	Citigroup Global Mkt H Inc. – HSI European Put Wts Sep 2011	2011/09/23	2011/09/30
11834	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 11A	2011/09/23	2011/09/30
11835	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 11B	2011/09/23	2011/09/30
11330	Citigroup Global Mkt H Inc. – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
11958	Credit Suisse AG – HSI European Put Warrants Sep 2011	2011/09/23	2011/09/30
12512	Credit Suisse AG – HSI European Put Warrants Sep 2011 C	2011/09/23	2011/09/30
12582	Credit Suisse AG – HSI European Put Warrants Sep 2011 E	2011/09/23	2011/09/30
12608	Credit Suisse AG – HSI European Put Warrants Sep 2011 I	2011/09/23	2011/09/30
11737	Credit Suisse AG – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
11819	Credit Suisse AG – HSI European Warrants Sep 2011 A	2011/09/23	2011/09/30
12507	Credit Suisse AG – HSI European Warrants Sep 2011 B	2011/09/23	2011/09/30
12572	Credit Suisse AG – HSI European Warrants Sep 2011 D	2011/09/23	2011/09/30
12598	Credit Suisse AG – HSI European Warrants Sep 2011 F	2011/09/23	2011/09/30
12599	Credit Suisse AG – HSI European Warrants Sep 2011 G	2011/09/23	2011/09/30
12600	Credit Suisse AG – HSI European Warrants Sep 2011 H	2011/09/23	2011/09/30
12765	Credit Suisse AG – HSI European Warrants Sep 2011 J	2011/09/23	2011/09/30
12766	Credit Suisse AG – HSI European Warrants Sep 2011 K	2011/09/23	2011/09/30
12767	Credit Suisse AG – HSI European Warrants Sep 2011 L	2011/09/23	2011/09/30
17025	Deutsche Bank AG – HSBC European Warrants Sep 2011 A	2011/09/23	2011/09/30
29712	Deutsche Bank AG – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
29204	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2011 C	2011/09/23	2011/09/30
29667	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2011 D	2011/09/23	2011/09/30
29668	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2011 E	2011/09/23	2011/09/30
29910	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2011 G	2011/09/23	2011/09/30
25696	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2011 A	2011/09/23	2011/09/30
29130	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2011 B	2011/09/23	2011/09/30
29906	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2011 F	2011/09/23	2011/09/30
22373	HK Bank – A50 China European Warrants Sep 2011	2011/09/23	2011/09/30
28693	HK Bank – CPIC European Warrants Sep 2011	2011/09/23	2011/09/30
26725	HK Bank – HSCEI European Warrants Sep 2011	2011/09/23	2011/09/30
28934	HK Bank – HSI European Put Warrants Sep 2011	2011/09/23	2011/09/30
28697	HK Bank – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
12694	HK Bank – HSI European Warrants Sep 2011 A	2011/09/23	2011/09/30
29235	HK Bank – Shougang Res European Warrants Sep 2011	2011/09/23	2011/09/30
27840	J P Morgan SP BV – Anta Sports European Warrants Sep 2011	2011/09/23	2011/09/30
29853	J P Morgan SP BV – BYD European Warrants Sep 2011	2011/09/23	2011/09/30
29245	J P Morgan SP BV – China Life European Warrants Sep 2011	2011/09/23	2011/09/30
29589	J P Morgan SP BV – China Mengniu European Warrants Sep 2011	2011/09/23	2011/09/30
29854	J P Morgan SP BV – China Tel European Warrants Sep 2011	2011/09/23	2011/09/30
18393	J P Morgan SP BV – Esprit European Wts Sep 2011	2011/09/23	2011/09/30
25640	J P Morgan SP BV – HKEx European Warrants Sep 2011 A	2011/09/23	2011/09/30
25527	J P Morgan SP BV – SHK Ppt European Warrants Sep 2011	2011/09/23	2011/09/30
29606	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Sep 2011 A	2011/09/23	2011/09/30
29607	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Sep 2011 B	2011/09/23	2011/09/30
29661	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 C	2011/09/23	2011/09/30
29803	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 D	2011/09/23	2011/09/30
29804	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 E	2011/09/23	2011/09/30
12461	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 F	2011/09/23	2011/09/30
12462	KBC Fin Products Int'l Ltd. – HSI European Wts Sep 2011 G	2011/09/23	2011/09/30
25725	Macquarie Bank Ltd. – A50 China European Put Wts Sep 2011	2011/09/23	2011/09/30
23462	Macquarie Bank Ltd. – China COSCO European Warrants Sep 2011	2011/09/23	2011/09/30
29675	Macquarie Bank Ltd. – China Mobile European Wts Sep 2011 B	2011/09/23	2011/09/30
29677	Macquarie Bank Ltd. – CPIC European Warrants Sep 2011	2011/09/23	2011/09/30
11225	Macquarie Bank Ltd. – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
28609	Macquarie Bank Ltd. – RUSAL European Warrants Sep 2011	2011/09/23	2011/09/30
11575	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Sep 2011 C	2011/09/23	2011/09/30
11080	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 C	2011/09/23	2011/09/30
11081	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 D	2011/09/23	2011/09/30
11082	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2011 E	2011/09/23	2011/09/30
11076	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 B	2011/09/23	2011/09/30
12670	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2011 F	2011/09/23	2011/09/30
28542	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Sep 11A	2011/09/23	2011/09/30
12708	Nomura Int'l plc – HSI European Put Warrants Sep 2011	2011/09/23	2011/09/30
12709	Nomura Int'l plc – HSI European Put Warrants Sep 2011 B	2011/09/23	2011/09/30
12710	Nomura Int'l plc – HSI European Put Warrants Sep 2011 C	2011/09/23	2011/09/30
12703	Nomura Int'l plc – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
12704	Nomura Int'l plc – HSI European Warrants Sep 2011 A	2011/09/23	2011/09/30
11626	Nomura Int'l plc – Sh Petrochem European Warrants Sep 2011	2011/09/23	2011/09/30
29468	RB of Scotland N.V. – HSI European Put Warrants Sep 2011 C	2011/09/23	2011/09/30
29469	RB of Scotland N.V. – HSI European Put Warrants Sep 2011 D	2011/09/23	2011/09/30
29470	RB of Scotland N.V. – HSI European Put Warrants Sep 2011 E	2011/09/23	2011/09/30
29733	RB of Scotland N.V. – HSI European Put Warrants Sep 2011 I	2011/09/23	2011/09/30
29466	RB of Scotland N.V. – HSI European Warrants Sep 2011 A	2011/09/23	2011/09/30
29467	RB of Scotland N.V. – HSI European Warrants Sep 2011 B	2011/09/23	2011/09/30
29730	RB of Scotland N.V. – HSI European Warrants Sep 2011 F	2011/09/23	2011/09/30
29731	RB of Scotland N.V. – HSI European Warrants Sep 2011 G	2011/09/23	2011/09/30
29732	RB of Scotland N.V. – HSI European Warrants Sep 2011 H	2011/09/23	2011/09/30
29267	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep 11C	2011/09/23	2011/09/30
29898	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep 11D	2011/09/23	2011/09/30
29894	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 A	2011/09/23	2011/09/30
29895	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 B	2011/09/23	2011/09/30
11100	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 E	2011/09/23	2011/09/30
12636	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2011 F	2011/09/23	2011/09/30
26652	Standard Chartered Bank – HKEx European Warrants Sep 2011 A	2011/09/23	2011/09/30
12331	UBS AG – CC Bank European Warrants Sep 2011 D	2011/09/23	2011/09/30



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29722	UBS AG – HSI European Put Warrants Sep 2011	2011/09/23	2011/09/30
11437	UBS AG – HSI European Put Warrants Sep 2011 A	2011/09/23	2011/09/30
11693	UBS AG – HSI European Warrants Sep 2011	2011/09/23	2011/09/30
11825	UBS AG – HSI European Warrants Sep 2011 B	2011/09/23	2011/09/30
11826	UBS AG – HSI European Warrants Sep 2011 C	2011/09/23	2011/09/30
29797	UBS AG – Hutchison European Warrants Sep 2011 A	2011/09/23	2011/09/30
11212	UBS AG – Hutchison European Warrants Sep 2011 B	2011/09/23	2011/09/30
11691	UBS AG – Jiangxi Copper European Warrants Sep 2011 A	2011/09/23	2011/09/30
29305	Barclays Bank plc – Agricultural Bank European Wts Sep 2011	2011/09/26	2011/10/03
29306	Barclays Bank plc – BOCL European Warrants Sep 2011	2011/09/26	2011/10/03
11425	BOCI Asia Ltd. – AIA European Warrants Sep 2011	2011/09/26	2011/10/03
12377	BOCI Asia Ltd. – China Life European Warrants Sep 2011 A	2011/09/26	2011/10/03
11386	BOCI Asia Ltd. – HSBC European Warrants Sep 2011	2011/09/26	2011/10/03
27417	BOCI Asia Ltd. – KECL European Warrants Sep 2011	2011/09/26	2011/10/03
29847	CC Rabobank B.A. – Ch LongYuan European Warrants Sep 2011	2011/09/26	2011/10/03
29846	CC Rabobank B.A. – Chi East Air European Warrants Sep 2011 B	2011/09/26	2011/10/03
11456	CC Rabobank B.A. – Minsheng Bank European Wts Sep 2011	2011/09/26	2011/10/03
27837	Citigroup Global Mkt H Inc. – New World European Wts Sep2011	2011/09/26	2011/10/03
12541	Credit Suisse AG – Agricultural Bank European Wts Sep 2011 A	2011/09/26	2011/10/03
11751	Credit Suisse AG – China Life European Warrants Sep 2011	2011/09/26	2011/10/03
11822	Credit Suisse AG – ICBC European Warrants Sep 2011	2011/09/26	2011/10/03
12578	Credit Suisse AG – PetroChina European Warrants Sep 2011	2011/09/26	2011/10/03
12761	Credit Suisse AG – Tencent Holdings European Wts Sep 2011 E	2011/09/26	2011/10/03
29518	Goldman Sachs SP (Asia) – HKEx European Warrants Sep 2011 D	2011/09/26	2011/10/03
29777	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Sep 2011	2011/09/26	2011/10/03
28632	Goldman Sachs SP (Asia) – New World Dev European Wts Sep11	2011/09/26	2011/10/03
11685	HK Bank – Minsheng Bank European Warrants Sep 2011	2011/09/26	2011/10/03
11620	HK Bank – Tencent European Warrants Sep 2011	2011/09/26	2011/10/03
11313	J P Morgan SP BV – Belle Int'l European Warrants Sep 2011	2011/09/26	2011/10/03
29871	J P Morgan SP BV – China Shenhua European Warrants Sep 2011	2011/09/26	2011/10/03
29872	J P Morgan SP BV – JX Copper European Warrants Sep 2011	2011/09/26	2011/10/03
29873	J P Morgan SP BV – Lenovo European Warrants Sep 2011	2011/09/26	2011/10/03
29875	J P Morgan SP BV – Sinofer European Warrants Sep 2011	2011/09/26	2011/10/03
29876	J P Morgan SP BV – Yanzhou Coal European Warrants Sep 2011	2011/09/26	2011/10/03
27898	KBC Fin Products Int'l Ltd. – Cathay Pac Eu Put Wt Sep11A	2011/09/26	2011/10/03
29916	KBC Fin Products Int'l Ltd. – China Life Euro Wts Sep 2011 E	2011/09/26	2011/10/03
27901	KBC Fin Products Int'l Ltd-Swrie Pacific A Euro Put Wt Sep11	2011/09/26	2011/10/03
27539	Macquarie Bank Ltd. – China Travel European Wts Sep 2011	2011/09/26	2011/10/03
24227	Macquarie Bank Ltd. – KECL European Warrants Sep 2011	2011/09/26	2011/10/03
29282	Macquarie Bank Ltd. – ZTE European Warrants Sep 2011	2011/09/26	2011/10/03
11574	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2011 D	2011/09/26	2011/10/03
27047	Nomura Int'l plc – A50 China European Warrants Sep 2011	2011/09/26	2011/10/03
29755	Nomura Int'l plc – Agricultural Bk European Warrants Sep 11	2011/09/26	2011/10/03
27043	Nomura Int'l plc – Anhui Conch European Warrants Sep 2011	2011/09/26	2011/10/03
29752	Nomura Int'l plc – CC Bank European Warrants Sep 2011	2011/09/26	2011/10/03
29743	Nomura Int'l plc – Cheung Kong European Warrants Sep 2011	2011/09/26	2011/10/03
25227	Nomura Int'l plc – China Coal European Warrants Sep 2011	2011/09/26	2011/10/03
27470	Nomura Int'l plc – China Dongxiang European Warrants Sep2011	2011/09/26	2011/10/03
29546	Nomura Int'l plc – China Life European Warrants Sep 2011	2011/09/26	2011/10/03
27463	Nomura Int'l plc – China Mengniu European Warrants Sep 2011	2011/09/26	2011/10/03
27460	Nomura Int'l plc – China Shenhua European Warrants Sep 2011	2011/09/26	2011/10/03
23813	Nomura Int'l plc – CNOOC European Warrants Sep 2011 A	2011/09/26	2011/10/03
29542	Nomura Int'l plc – CNOOC European Warrants Sep 2011 B	2011/09/26	2011/10/03
29790	Nomura Int'l plc – GCL-Poly Energy European Warrants Sep 11A	2011/09/26	2011/10/03
29791	Nomura Int'l plc – GCL-Poly Energy European Warrants Sep 11B	2011/09/26	2011/10/03
29792	Nomura Int'l plc – GCL-Poly Energy European Warrants Sep 11C	2011/09/26	2011/10/03
27448	Nomura Int'l plc – HKEx European Warrants Sep 2011 A	2011/09/26	2011/10/03
29103	Nomura Int'l plc – HKEx European Warrants Sep 2011 B	2011/09/26	2011/10/03
22943	Nomura Int'l plc – HSBC European Warrants Sep 2011 A	2011/09/26	2011/10/03
29744	Nomura Int'l plc – Hutchison European Warrants Sep 2011	2011/09/26	2011/10/03
29745	Nomura Int'l plc – JIANG European Warrants Sep 2011 B	2011/09/26	2011/10/03
29533	Nomura Int'l plc – JIANG European Warrants Sep 2011A	2011/09/26	2011/10/03
29747	Nomura Int'l plc – Li & Fung European Warrants Sep 2011	2011/09/26	2011/10/03
27466	Nomura Int'l plc – Nine Dragons European Warrants Sep 2011	2011/09/26	2011/10/03
29759	Nomura Int'l plc – Ping An European Warrants Sep 2011	2011/09/26	2011/10/03
29746	Nomura Int'l plc – Sinopec European Warrants Sep 2011 B	2011/09/26	2011/10/03
20336	Nomura Int'l plc – Sinopec European Warrants Sep 2011A	2011/09/26	2011/10/03
27050	Nomura Int'l plc – Stanchart European Warrants Sep 2011	2011/09/26	2011/10/03
11731	RB of Scotland N.V. – AIA European Warrants Sep 2011	2011/09/26	2011/10/03
10056	RB of Scotland N.V. – Gold European Warrants Sep 2011	2011/09/26	2011/10/03
29094	RB of Scotland N.V. – Sinopec Corp European Warrants Sep 11	2011/09/26	2011/10/03
27028	Standard Chartered Bank – AIA European Warrants Sep 2011	2011/09/26	2011/10/03
27335	Standard Chartered Bank – HSBC European Warrants Sep 2011 A	2011/09/26	2011/10/03
11282	Standard Chartered Bank – ICBC European Warrants Sep 2011 B	2011/09/26	2011/10/03
29378	Standard Chartered Bank – Tencent Hldg European Wts Sep 11A	2011/09/26	2011/10/03
26727	Standard Chartered Bank-China Life European Warrants Sep 11A	2011/09/26	2011/10/03
11552	UBS AG – AIA European Warrants Sep 2011 A	2011/09/26	2011/10/03
29304	Barclays Bank plc – BYD European Warrants Oct 2011	2011/09/27	2011/10/04
29253	Barclays Bank plc – China Mobile European Wts Oct 2011 A	2011/09/27	2011/10/04
25772	Barclays Bank plc – CNOOC European Warrants Oct 2011	2011/09/27	2011/10/04
26898	Barclays Bank plc – HKEx European Warrants Oct 2011 B	2011/09/27	2011/10/04
26722	Barclays Bank plc – HSBC European Put Warrants Oct 2011	2011/09/27	2011/10/04
24912	Barclays Bank plc – PICC P&C European Warrants Oct 2011	2011/09/27	2011/10/04
24076	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wts Oct 11	2011/09/27	2011/10/04
24828	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct11	2011/09/27	2011/10/04
25153	BNP Paribas Arbit Issu B.V. – CNBM European Warrants Oct 11A	2011/09/27	2011/10/04
29517	BNP Paribas Arbit Issu B.V. – Esprit European Wts Oct 2011	2011/09/27	2011/10/04

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
24521	BNP Paribas Arbit Issu B.V. – Nine Dragons European Wt Oct11	2011/09/27	2011/10/04
28825	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Oct 11	2011/09/27	2011/10/04
27908	BNP Paribas Arbit Issu B.V.-Alibaba European Warrants Oct11A	2011/09/27	2011/10/04
26357	BOCI Asia Ltd. – China Coal European Warrants Oct 2011	2011/09/27	2011/10/04
28948	BOCI Asia Ltd. – China Life European Put Warrants Oct 2011	2011/09/27	2011/10/04
28666	CC Rabobank B.A. – China Overseas European Warrants Oct 2011	2011/09/27	2011/10/04
29952	CC Rabobank B.A. – CNBM European Warrants Oct 2011 A	2011/09/27	2011/10/04
11663	CC Rabobank B.A. – Li & Fung European Warrants Oct 2011 C	2011/09/27	2011/10/04
26472	CC Rabobank B.A. – Zijin Mining European Warrants Oct 2011	2011/09/27	2011/10/04
29150	Citigroup Global Mkt H Inc. – Hang Lung Ppt Euro Wts Oct 11	2011/09/27	2011/10/04
12510	Credit Suisse AG – BYD European Warrants Oct 2011	2011/09/27	2011/10/04
12473	Credit Suisse AG – China Mobile European Warrants Oct 2011 C	2011/09/27	2011/10/04
11739	Credit Suisse AG – Hutchison European Warrants Oct 2011	2011/09/27	2011/10/04
25603	Deutsche Bank AG – CNOOC European Warrants Oct 2011 A	2011/09/27	2011/10/04
26749	Deutsche Bank AG – HKEx European Warrants Oct 2011 C	2011/09/27	2011/10/04
11218	Deutsche Bank AG – ICBC European Warrants Oct 2011	2011/09/27	2011/10/04
26187	Deutsche Bank AG – Sinopec Corp European Warrants Oct 2011	2011/09/27	2011/10/04
29912	Deutsche Bank AG – Westchinacement European Wts Oct 2011	2011/09/27	2011/10/04
28675	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2011	2011/09/27	2011/10/04
28677	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2011	2011/09/27	2011/10/04
29561	Goldman Sachs SP (Asia) – CSR Times European Wts Oct 2011	2011/09/27	2011/10/04
29559	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Oct 2011	2011/09/27	2011/10/04
27640	Goldman Sachs SP (Asia) – JIANG European Warrants Oct 2011	2011/09/27	2011/10/04
29560	Goldman Sachs SP (Asia) – Shanghai Ind European Wts Oct 2011	2011/09/27	2011/10/04
28405	HK Bank – Tencent European Warrants Oct 2011	2011/09/27	2011/10/04
28951	J P Morgan SP BV – Ch Overseas European Warrants Oct 2011 A	2011/09/27	2011/10/04
11155	KBC Fin Products Int'l Ltd. – AIA European Warrants Oct 2011	2011/09/27	2011/10/04
29727	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Oct 2011	2011/09/27	2011/10/04
29721	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Oct 2011 B	2011/09/27	2011/10/04
11354	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Oct 2011	2011/09/27	2011/10/04
29447	KBC Fin Products Int'l Ltd. – CITIC P European Wts Oct 2011	2011/09/27	2011/10/04
23980	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Oct 2011	2011/09/27	2011/10/04
29799	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Oct 2011	2011/09/27	2011/10/04
11125	KBC Fin Products Int'l Ltd. – HKEx European Warrants Oct 11B	2011/09/27	2011/10/04
24028	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2011 A	2011/09/27	2011/10/04
12389	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 F	2011/09/27	2011/10/04
11934	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011	2011/09/27	2011/10/04
12396	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011 A	2011/09/27	2011/10/04
12402	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 C	2011/09/27	2011/10/04
29945	KBC Fin Products Int'l Ltd. – Li & Fung Euro Wts Oct 2011	2011/09/27	2011/10/04
26986	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Oct 2011	2011/09/27	2011/10/04
29955	KBC Fin Products Int'l Ltd. – Sinopharm Euro Wts Oct 2011	2011/09/27	2011/10/04
29346	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Oct 11A	2011/09/27	2011/10/04
29638	Macquarie Bank Ltd. – Gome European Warrants Oct 2011	2011/09/27	2011/10/04
29314	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011A	2011/09/27	2011/10/04
25343	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 A	2011/09/27	2011/10/04
28156	RB of Scotland N.V. – China Coal European Warrants Oct 2011	2011/09/27	2011/10/04
28508	RB of Scotland N.V. – CNOOC European Warrants Oct 2011	2011/09/27	2011/10/04
29337	RB of Scotland N.V. – Tencent Holdings European Wts Oct 2011	2011/09/27	2011/10/04
29884	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 A	2011/09/27	2011/10/04
29885	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 B	2011/09/27	2011/10/04
29886	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2011 A	2011/09/27	2011/10/04
29888	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2011A	2011/09/27	2011/10/04
21342	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Oct 2011A	2011/09/27	2011/10/04
29892	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Oct11A	2011/09/27	2011/10/04
25670	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011 A	2011/09/27	2011/10/04
29901	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011 D	2011/09/27	2011/10/04
29687	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Oct 2011	2011/09/27	2011/10/04
29893	SGA Societe Generale Acceptance N.V. – HS Bk Eur Wt Oct 2011	2011/09/27	2011/10/04
29902	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011A	2011/09/27	2011/10/04
12466	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2011	2011/09/27	2011/10/04
29903	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 A	2011/09/27	2011/10/04
12589	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 D	2011/09/27	2011/10/04
11104	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Oct 2011	2011/09/27	2011/10/04
29924	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11B	2011/09/27	2011/10/04
29477	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct11A	2011/09/27	2011/10/04
24670	SGA Societe Generale Acceptance N.V. -Air China Eu Wt Oct11A	2011/09/27	2011/10/04
29900	SGA Societe Generale Acceptance N.V. -Henganintl Eu Wt Oct11	2011/09/27	2011/10/04
29891	SGA Societe Generale Acceptance N.V. -Yurun Food Eu Wt Oct11	2011/09/27	2011/10/04
27317	Standard Chartered Bank – HKEx European Warrants Oct 2011 B	2011/09/27	2011/10/04
12474	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2011 C	2011/09/27	2011/10/04
11642	UBS AG – ICBC European Warrants Oct 2011 A	2011/09/27	2011/10/04
11309	UBS AG – Tencent Holdings European Warrants Oct 2011 C	2011/09/27	2011/10/04
12318	Barclays Bank plc – ICBC European Put Warrants Oct 2011	2011/09/28	2011/10/06
28808	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Oct 2011	2011/09/28	2011/10/06
29821	BNP Paribas Arbit Issu B.V. – Anhui Conch European Wts Oct11	2011/09/28	2011/10/06
27579	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Oct 2011	2011/09/28	2011/10/06
28805	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2011 B	2011/09/28	2011/10/06
29974	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Oct 2011	2011/09/28	2011/10/06
27581	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2011	2011/09/28	2011/10/06
11604	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2011 A	2011/09/28	2011/10/06
29970	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Oct 2011	2011/09/28	2011/10/06
28680	BNP Paribas Arbit Issu B.V. – CLP European Warrants Oct 2011	2011/09/28	2011/10/06
29823	BNP Paribas Arbit Issu B.V. – CNBM European Warrants Oct 11B	2011/09/28	2011/10/06
28182	BNP Paribas Arbit Issu B.V. – CSR European Warrants Oct 2011	2011/09/28	2011/10/06
29807	BNP Paribas Arbit Issu B.V. – Goldwind Euro Warrants Oct11	2011/09/28	2011/10/06
26290	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2011	2011/09/28	2011/10/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27583	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2011	2011/09/28	2011/10/06
27584	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Oct 11	2011/09/28	2011/10/06
28898	BNP Paribas Arbit Issu B.V. – Hysan Dev European Wts Oct11	2011/09/28	2011/10/06
28857	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2011	2011/09/28	2011/10/06
29602	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Oct 2011	2011/09/28	2011/10/06
27373	BNP Paribas Arbit Issu B.V. – KECL European Warrants Oct 11	2011/09/28	2011/10/06
28682	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Oct 2011 B	2011/09/28	2011/10/06
27657	BNP Paribas Arbit Issu B.V. – Li & Fung European Wts Oct11 A	2011/09/28	2011/10/06
29555	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wts Oct 2011	2011/09/28	2011/10/06
28859	BNP Paribas Arbit Issu B.V. – Ping An European Wts Oct 2011	2011/09/28	2011/10/06
28759	BNP Paribas Arbit Issu B.V. – PWR Assets European Wts Oct11	2011/09/28	2011/10/06
29457	BNP Paribas Arbit Issu B.V. – Sh Petrochem Euro Wts Oct 2011	2011/09/28	2011/10/06
20843	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Oct 2011	2011/09/28	2011/10/06
29556	BNP Paribas Arbit Issu B.V. – WC Cement Euro Wts Oct 2011	2011/09/28	2011/10/06
27910	BNP Paribas Arbit Issu B.V. – Yurun Food European Wts Oct11	2011/09/28	2011/10/06
29137	BNP Paribas Arbit Issu B.V. -Li Ning European Warrants Oct11	2011/09/28	2011/10/06
11385	BOCI Asia Ltd. – HS Bank European Warrants Oct 2011	2011/09/28	2011/10/06
29849	BOCI Asia Ltd. – Yurun Food European Warrants Oct 2011	2011/09/28	2011/10/06
11462	CC Rabobank B.A. – Gome European Warrants Oct 2011	2011/09/28	2011/10/06
12580	Credit Suisse AG – BYD European Warrants Oct 2011 A	2011/09/28	2011/10/06
11786	Credit Suisse AG – GCL-Poly Energy European Warrants Oct 11	2011/09/28	2011/10/06
12574	Credit Suisse AG – HSBC European Wts Oct 2011	2011/09/28	2011/10/06
26170	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2011	2011/09/28	2011/10/06
29960	J P Morgan SP BV – Agricultural Bk European Warrants Oct 11A	2011/09/28	2011/10/06
29963	J P Morgan SP BV – China Life European Warrants Oct 2011 A	2011/09/28	2011/10/06
29964	J P Morgan SP BV – Geely Auto European Warrants Oct 2011	2011/09/28	2011/10/06
11883	J P Morgan SP BV – HSBC European Warrants Oct 2011	2011/09/28	2011/10/06
24404	Macquarie Bank Ltd. – ASM Pacific European Warrants Oct 2011	2011/09/28	2011/10/06
12596	Macquarie Bank Ltd. – BYD European Warrants Oct 2011	2011/09/28	2011/10/06
29673	Macquarie Bank Ltd. – Ch Comm Cons European Wts Oct 2011	2011/09/28	2011/10/06
29418	Macquarie Bank Ltd. – Ch Ship Cont European Warrants Oct 11	2011/09/28	2011/10/06
29671	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2011	2011/09/28	2011/10/06
29785	Macquarie Bank Ltd. – CITIC Pacific European Warrants Oct 11	2011/09/28	2011/10/06
25626	Macquarie Bank Ltd. – CNOOC European Warrants Oct 2011	2011/09/28	2011/10/06
29678	Macquarie Bank Ltd. – COSCO Pacific European Wts Oct 2011	2011/09/28	2011/10/06
29764	Macquarie Bank Ltd. – Hang Seng Bank European Wts Oct 2011	2011/09/28	2011/10/06
25663	Macquarie Bank Ltd. – HKEx European Warrants Oct 2011	2011/09/28	2011/10/06
21278	Macquarie Bank Ltd. – HSBC European Put Warrants Oct 2011	2011/09/28	2011/10/06
29234	Macquarie Bank Ltd. – HSBC European Warrants Oct 2011 A	2011/09/28	2011/10/06
29850	Macquarie Bank Ltd. – HSBC European Warrants Oct 2011 B	2011/09/28	2011/10/06
29882	Macquarie Bank Ltd. – Hutchison European Warrants Oct 2011	2011/09/28	2011/10/06
29855	Macquarie Bank Ltd. – ICBC European Put Warrants Oct 2011	2011/09/28	2011/10/06
29851	Macquarie Bank Ltd. – ICBC European Warrants Oct 2011	2011/09/28	2011/10/06
29395	Macquarie Bank Ltd. – Jiangxi Copper European Wts Oct 2011	2011/09/28	2011/10/06
24434	Macquarie Bank Ltd. – PICC P&C European Warrants Oct 2011	2011/09/28	2011/10/06
29881	Macquarie Bank Ltd. – Shanshui Cement European Wts Oct 2011	2011/09/28	2011/10/06
29341	Macquarie Bank Ltd. – Tencent European Put Warrants Oct 2011	2011/09/28	2011/10/06
27593	Macquarie Bank Ltd. – Tencent European Warrants Oct 2011	2011/09/28	2011/10/06
11646	RB of Scotland N.V. – China Life European Wts Oct 2011	2011/09/28	2011/10/06
11827	RB of Scotland N.V. – HSBC European Warrants Oct 2011	2011/09/28	2011/10/06
26431	Standard Chartered Bank – Tencent Hldg European Wts Oct 2011	2011/09/28	2011/10/06
11054	UBS AG – China Life European Warrants Oct 2011	2011/09/28	2011/10/06
11001	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Oct 2011A	2011/09/29	2011/10/07
28583	Macquarie Bank Ltd. – Ch Oilfield European Warrants Oct 2011	2011/09/29	2011/10/07
29984	Macquarie Bank Ltd. – Zijin Mining European Wts Oct 2011	2011/09/29	2011/10/07
11493	BOCI Asia Ltd. – BYD European Warrants Oct 2011	2011/09/30	2011/10/10
18395	CC Rabobank B.A. – Sinopec Corp European Warrants Oct 2011	2011/09/30	2011/10/10
25252	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Oct 2011	2011/09/30	2011/10/10
10097	Deutsche Bank AG – Oil Futures European Warrants Oct 2011	2011/09/30	2011/10/10
11388	HK Bank – Agricultural Bank European Warrants Oct 2011	2011/09/30	2011/10/10
29059	HK Bank – China Mobile European Put Warrants Oct 2011	2011/09/30	2011/10/10
11008	HK Bank – China Shenhua European Warrants Oct 2011	2011/09/30	2011/10/10
11037	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11 A	2011/09/30	2011/10/10
25434	KBC Fin Products Int'l Ltd. – HKEx European Warrants Oct 11A	2011/09/30	2011/10/10
29979	Macquarie Bank Ltd. – CITIC Bank European Warrants Oct 2011	2011/09/30	2011/10/10
25142	Macquarie Bank Ltd. – New World Dev European Wts Oct 2011	2011/09/30	2011/10/10
29981	Macquarie Bank Ltd. – Sinopec Corp European Wts Oct 2011	2011/09/30	2011/10/10
24726	Standard Chartered Bank – CHALCO European Warrants Oct 2011	2011/09/30	2011/10/10
29976	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2011 B	2011/09/30	2011/10/10
26360	BOCI Asia Ltd. – Nine Dragons European Warrants Oct 2011	2011/10/03	2011/10/11
11004	CC Rabobank B.A. – GCL-Poly Energy European Wts Oct 2011	2011/10/03	2011/10/11
28667	CC Rabobank B.A. – Greatwall Motor European Wts Oct 2011	2011/10/03	2011/10/11
11494	CC Rabobank B.A. – ICBC European Warrants Oct 2011	2011/10/03	2011/10/11
11006	CC Rabobank B.A. – Weichai Power European Wts Oct 2011 B	2011/10/03	2011/10/11
24870	Citigroup Global Mkt H Inc. – Belle Int'l European Wts Oct11	2011/10/03	2011/10/11
11420	Citigroup Global Mkt H Inc. – BOCL European Wts Oct 2011	2011/10/03	2011/10/11
28734	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Oct 2011	2011/10/03	2011/10/11
29975	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Oct 2011	2011/10/03	2011/10/11
28640	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2011 A	2011/10/03	2011/10/11
29125	Citigroup Global Mkt H Inc. – Wharf European Wts Oct 2011	2011/10/03	2011/10/11
28539	Citigroup Global Mkt H Inc. -Cathay Pacific Eur Wt Oct 2011A	2011/10/03	2011/10/11
29065	Citigroup Global Mkt H Inc.-Henderson Land Dev Eur Wts Oct11	2011/10/03	2011/10/11
11788	Credit Suisse AG – BOCL European Warrants Oct 2011	2011/10/03	2011/10/11
28256	Credit Suisse AG – Hutchison European Put Warrants Oct 2011	2011/10/03	2011/10/11
25179	Credit Suisse AG – Sinopec Corp European Warrants Oct 2011	2011/10/03	2011/10/11
25234	Deutsche Bank AG – Agile Ppt European Warrants Oct 2011	2011/10/03	2011/10/11
29714	Deutsche Bank AG – China Mobile European Warrants Oct 2011 B	2011/10/03	2011/10/11

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28209	Deutsche Bank AG – China Overseas European Warrants Oct 2011	2011/10/03	2011/10/11
11046	J P Morgan SP BV – GCL-Poly European Warrants Oct 2011	2011/10/03	2011/10/11
28467	J P Morgan SP BV – Hutchison European Put Warrants Oct 2011	2011/10/03	2011/10/11
11047	J P Morgan SP BV – ICBC European Warrants Oct 2011A	2011/10/03	2011/10/11
11657	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011	2011/10/03	2011/10/11
11939	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 D	2011/10/03	2011/10/11
29801	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Oct 11B	2011/10/03	2011/10/11
29455	KBC Fin Products Int'l Ltd. – Tsingtao Brew Eu Put Wts Oct11	2011/10/03	2011/10/11
29449	KBC Fin Products Int'l Ltd. – Tsingtao Brew Euro Wts Oct11	2011/10/03	2011/10/11
29041	Macquarie Bank Ltd. – China EB Ltd European Warrants Oct2011	2011/10/03	2011/10/11
28148	Macquarie Bank Ltd. – Hutchison European Put Wts Oct 2011	2011/10/03	2011/10/11
29980	Macquarie Bank Ltd. – Minsheng Bank European Wts Oct 2011	2011/10/03	2011/10/11
29983	Macquarie Bank Ltd. – Sinofert European Warrants Oct 2011	2011/10/03	2011/10/11
11535	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Oct 11A	2011/10/03	2011/10/11
23513	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Oct 2011	2011/10/03	2011/10/11
11451	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2011	2011/10/03	2011/10/11
12556	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011D	2011/10/03	2011/10/11
11570	Merrill Lynch Int'l & Co. C.V. – Yanzhou Coal Eu Wt Oct 2011	2011/10/03	2011/10/11
27857	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Oct 2011	2011/10/03	2011/10/11
26888	Nomura Int'l plc – China Agri European Warrants Oct 2011	2011/10/03	2011/10/11
12757	Nomura Int'l plc – Minsheng Bank European Warrants Oct 2011	2011/10/03	2011/10/11
27405	RB of Scotland N.V. – BYD European Warrants Oct 2011	2011/10/03	2011/10/11
28262	RB of Scotland N.V. – HKEx European Warrants Oct 2011 B	2011/10/03	2011/10/11
11878	RB of Scotland N.V. – ICBC European Warrants Oct 2011	2011/10/03	2011/10/11
11706	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2011 A	2011/10/03	2011/10/11
11023	SGA Societe Generale Acceptance N.V. – Ch Mer H Eu Wt Oct11	2011/10/03	2011/10/11
11025	SGA Societe Generale Acceptance N.V. – Chi Res Eu Wt Oct11	2011/10/03	2011/10/11
29920	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Oct2011B	2011/10/03	2011/10/11
28352	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011 B	2011/10/03	2011/10/11
11030	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Oct11	2011/10/03	2011/10/11
29923	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2011A	2011/10/03	2011/10/11
11050	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11D	2011/10/03	2011/10/11
11024	SGA Societe Generale Acceptance N.V. – Ch Oilfield Eu Wt Oct11	2011/10/03	2011/10/11
11027	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Oct11A	2011/10/03	2011/10/11
11033	SGA Societe Generale Acceptance N.V. – SkyworthDig Eu Wt Oct11	2011/10/03	2011/10/11
11662	Standard Chartered Bank – Agricultural Bk European Wt Oct11A	2011/10/03	2011/10/11
28902	Standard Chartered Bank – AIA European Warrants Oct 2011	2011/10/03	2011/10/11
26726	Standard Chartered Bank – China Mobile European Wts Oct2011A	2011/10/03	2011/10/11
12718	Standard Chartered Bank – ICBC European Warrants Oct 2011	2011/10/03	2011/10/11
11643	UBS AG – China Mobile European Warrants Oct 2011 A	2011/10/03	2011/10/11
22638	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2011 A	2011/10/04	2011/10/12
23315	CC Rabobank B.A. – Ch Mobile European Warrants Oct 2011	2011/10/04	2011/10/12
27907	Citigroup Global Mkt H Inc. – Tencent European Warrants Oct 11	2011/10/04	2011/10/12
26267	Deutsche Bank AG – China Railway European Warrants Oct 2011	2011/10/04	2011/10/12
26984	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Oct 2011 A	2011/10/04	2011/10/12
11067	KBC Fin Products Int'l Ltd. – CNBM European Warrants Oct 11	2011/10/04	2011/10/12
23926	Macquarie Bank Ltd. – China Mobile European Wts Oct 2011	2011/10/04	2011/10/12
12369	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Oct 2011 A	2011/10/04	2011/10/12
12555	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011C	2011/10/04	2011/10/12
22096	RB of Scotland N.V. – China Mobile European Wts Oct 2011 A	2011/10/04	2011/10/12
11049	UBS AG – Agricultural Bank European Warrants Oct 2011	2011/10/04	2011/10/12
29971	UBS AG – BYD European Warrants Oct 2011 B	2011/10/04	2011/10/12
22591	UBS AG – China Mobile European Warrants Oct 2011	2011/10/04	2011/10/12
11055	UBS AG – HSBC European Warrants Oct 2011 B	2011/10/04	2011/10/12
29826	UBS AG – Hutchison European Warrants Oct 2011	2011/10/04	2011/10/12
29827	UBS AG – Tencent Holdings European Warrants Oct 2011 A	2011/10/04	2011/10/12
11113	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Oct 2011	2011/10/06	2011/10/13
24784	HK Bank – Agile Ppt European Warrants Oct 2011	2011/10/06	2011/10/13
11078	HK Bank – BYD European Warrants Oct 2011	2011/10/06	2011/10/13
11127	J P Morgan SP BV – ICBC European Warrants Oct 2011 B	2011/10/06	2011/10/13
11553	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11C	2011/10/06	2011/10/13
11937	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 C	2011/10/06	2011/10/13
11114	Macquarie Bank Ltd. – China Railway European Wts Oct 2011	2011/10/06	2011/10/13
11110	Macquarie Bank Ltd. – Goldwind European Warrants Oct 2011	2011/10/06	2011/10/13
11091	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 C	2011/10/06	2011/10/13
11092	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2011	2011/10/06	2011/10/13
11095	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2011 B	2011/10/06	2011/10/13
11094	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2011	2011/10/06	2011/10/13
29681	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2011	2011/10/06	2011/10/13
11097	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Oct 2011	2011/10/06	2011/10/13
11102	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011 B	2011/10/06	2011/10/13
12881	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2011 C	2011/10/06	2011/10/13
11146	HK Bank – CC Bank European Warrants Oct 2011	2011/10/07	2011/10/14
11148	HK Bank – ICBC European Warrants Oct 2011	2011/10/07	2011/10/14
11153	J P Morgan SP BV – Ch Overseas European Warrants Oct 2011 B	2011/10/07	2011/10/14
11152	J P Morgan SP BV – China Mob European Wts Oct 2011	2011/10/07	2011/10/14
11156	J P Morgan SP BV – JX Copper European Warrants Oct 2011	2011/10/07	2011/10/14
11157	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2011 B	2011/10/07	2011/10/14
24569	KBC Fin Products Int'l Ltd. – ALUCO European Wts Oct 2011	2011/10/07	2011/10/14
11185	J P Morgan SP BV – CNOOC European Warrants Oct 2011	2011/10/10	2011/10/17
11183	J P Morgan SP BV – CPIC European Warrants Oct 2011	2011/10/10	2011/10/17
29599	J P Morgan SP BV – ZTE European Warrants Oct 2011	2011/10/10	2011/10/17
11038	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Oct 11 B	2011/10/10	2011/10/17
11191	KBC Fin Products Int'l Ltd. – Anhui Conch Euro Wts Oct 2011	2011/10/10	2011/10/17
11192	KBC Fin Products Int'l Ltd. – China Life Euro Wts Oct 2011	2011/10/10	2011/10/17
11193	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 A	2011/10/10	2011/10/17
11194	KBC Fin Products Int'l Ltd. – ICBC European Wts Oct 2011 B	2011/10/10	2011/10/17

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11039	KBC Fin Products Int'l Ltd. – Yanzhou Coal Euro Put Wt Oct11	2011/10/10	2011/10/17
11071	KBC Fin Products Int'l Ltd. – YZ Coal European Wts Oct 2011B	2011/10/10	2011/10/17
14380	Merrill Lynch Int'l & Co. C.V. –China Railway Eu Wt Oct2011A	2011/10/10	2011/10/17
12755	Nomura Int'l plc – Yanzhou Coal European Warrants Oct 2011 A	2011/10/10	2011/10/17
29987	Barclays Bank plc – China Mobile European Wts Oct 2011 B	2011/10/11	2011/10/18
25543	Barclays Bank plc – HKEx European Put Warrants Oct 2011	2011/10/11	2011/10/18
26001	Barclays Bank plc – HKEx European Warrants Oct 2011 A	2011/10/11	2011/10/18
29078	CC Rabobank B.A. – Alibaba European Warrants Oct 2011	2011/10/11	2011/10/18
11458	CC Rabobank B.A. – PetroChina European Warrants Oct 2011	2011/10/11	2011/10/18
29431	CC Rabobank B.A. – Tencent Holdings European Wts Oct 2011	2011/10/11	2011/10/18
24665	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Oct 2011	2011/10/11	2011/10/18
29121	Citigroup Global Mkt H Inc. – China Tel Euro Wts Oct 2011	2011/10/11	2011/10/18
11131	Citigroup Global Mkt H Inc. –Yanzhou Coal European Wt Oct 11	2011/10/11	2011/10/18
11748	Credit Suisse AG – Agricultural Bank European Wts Oct 2011	2011/10/11	2011/10/18
24345	Credit Suisse AG – Cheung Kong European Warrants Oct 2011	2011/10/11	2011/10/18
21783	Credit Suisse AG – China Mobile European Warrants Oct 2011 B	2011/10/11	2011/10/18
11238	Credit Suisse AG – Yanzhou Coal European Warrants Oct 2011	2011/10/11	2011/10/18
11195	Deutsche Bank AG – BOCL European Warrants Oct 2011	2011/10/11	2011/10/18
11118	Deutsche Bank AG – CC Bank European Warrants Oct 2011	2011/10/11	2011/10/18
24516	Deutsche Bank AG – Cheung Kong European Put Wts Oct 2011 A	2011/10/11	2011/10/18
28415	Deutsche Bank AG – China EB Ltd European Warrants Oct 2011	2011/10/11	2011/10/18
11120	Deutsche Bank AG – China Life European Warrants Oct 2011	2011/10/11	2011/10/18
21349	Deutsche Bank AG – China Mobile European Warrants Oct 2011 A	2011/10/11	2011/10/18
11174	Deutsche Bank AG – CNOOC European Put Warrants Oct 2011	2011/10/11	2011/10/18
11217	Deutsche Bank AG – CNOOC European Warrants Oct 2011 C	2011/10/11	2011/10/18
25855	Deutsche Bank AG – HKEx European Warrants Oct 2011 B	2011/10/11	2011/10/18
22499	Deutsche Bank AG – HSBC European Put Warrants Oct 2011	2011/10/11	2011/10/18
28279	Deutsche Bank AG – Hutchison European Put Warrants Oct 2011	2011/10/11	2011/10/18
11123	Deutsche Bank AG – PetroChina European Warrants Oct 2011	2011/10/11	2011/10/18
27522	Goldman Sachs SP (Asia) – Ch COSCO European Warrants Oct 11	2011/10/11	2011/10/18
11208	HK Bank – AIA European Warrants Oct 2011	2011/10/11	2011/10/18
29128	HK Bank – GCL-Poly Energy European Warrants Oct 2011	2011/10/11	2011/10/18
29400	HK Bank – Geely Auto European Warrants Oct 2011	2011/10/11	2011/10/18
24344	J P Morgan SP BV – Cheung Kong European Warrants Oct 2011	2011/10/11	2011/10/18
26086	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2011 B	2011/10/11	2011/10/18
12388	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2011 E	2011/10/11	2011/10/18
12456	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Oct 2011 B	2011/10/11	2011/10/18
29672	Macquarie Bank Ltd. – Anhui Conch European Warrants Oct 2011	2011/10/11	2011/10/18
25216	Macquarie Bank Ltd. – Cheung Kong European Warrants Oct 2011	2011/10/11	2011/10/18
25857	Macquarie Bank Ltd. – China Agri European Warrants Oct 2011	2011/10/11	2011/10/18
11137	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Oct 2011	2011/10/11	2011/10/18
17902	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2011 A	2011/10/11	2011/10/18
24456	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Oct 2011	2011/10/11	2011/10/18
23452	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Oct 2011	2011/10/11	2011/10/18
11139	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Oct 2011B	2011/10/11	2011/10/18
28482	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2011	2011/10/11	2011/10/18
11573	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 C	2011/10/11	2011/10/18
11716	Merrill Lynch Int'l & Co. C.V. – Wharf European Wts Oct 11	2011/10/11	2011/10/18
11142	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 D	2011/10/11	2011/10/18
11202	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2011 B	2011/10/11	2011/10/18
11143	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2011 C	2011/10/11	2011/10/18
21263	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct2011A	2011/10/11	2011/10/18
25250	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Oct 2011	2011/10/11	2011/10/18
29474	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts Oct2011	2011/10/11	2011/10/18
11203	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 2011	2011/10/11	2011/10/18
22176	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Oct 2011	2011/10/11	2011/10/18
24604	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Oct 11	2011/10/11	2011/10/18
11144	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 B	2011/10/11	2011/10/18
26364	UBS AG – BYD European Warrants Oct 2011 A	2011/10/11	2011/10/18
24401	UBS AG – Cheung Kong European Warrants Oct 2011	2011/10/11	2011/10/18
29798	UBS AG – HSBC European Warrants Oct 2011 A	2011/10/11	2011/10/18
26826	UBS AG – Hutchison European Put Warrants Oct 2011	2011/10/11	2011/10/18
11178	UBS AG – ICBC European Warrants Oct 2011	2011/10/11	2011/10/18
11164	UBS AG – PetroChina European Warrants Oct 2011	2011/10/11	2011/10/18
11117	UBS AG – Ping An European Warrants Oct 2011	2011/10/11	2011/10/18
22664	BOCI Asia Ltd. – China Mobile European Warrants Oct 2011	2011/10/12	2011/10/19
27288	Citigroup Global Mkt H Inc.-Alibaba European Warrants Oct 11	2011/10/12	2011/10/19
11251	J P Morgan SP BV – Agricultural Bk European Warrants Oct 11B	2011/10/12	2011/10/19
29838	KBC Fin Products Int'l Ltd. – Chi Res Power Eu Put Wts Oct11	2011/10/12	2011/10/19
29956	KBC Fin Products Int'l Ltd. – CNBM European Put Wts Oct 2011	2011/10/12	2011/10/19
29814	KBC Fin Products Int'l Ltd. – Poly European Wts Oct 2011	2011/10/12	2011/10/19
28832	KBC Fin Products Int'l Ltd. – Sino Land European Wts Oct 11	2011/10/12	2011/10/19
23413	KBC Fin Products Int'l Ltd. – Zijin Mining Eu Put Wt Oct11	2011/10/12	2011/10/19
11255	Macquarie Bank Ltd. – PetroChina European Wts Oct 2011	2011/10/12	2011/10/19
19134	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2011 A	2011/10/12	2011/10/19
11256	UBS AG – China Tel European Warrants Oct 2011	2011/10/12	2011/10/19
27676	CC Rabobank B.A. – Parkson Group European Warrants Oct 2011	2011/10/13	2011/10/20
12526	Citigroup Global Mkt H Inc. – Evergrande European Wts Oct11A	2011/10/13	2011/10/20
11166	Credit Suisse AG – CNOOC European Warrants Oct 2011	2011/10/13	2011/10/20
29674	Macquarie Bank Ltd. – Ch LongYuan European Warrants Oct 2011	2011/10/13	2011/10/20
27451	Nomura Int'l plc – C Transmission European Warrants Oct 2011	2011/10/13	2011/10/20
11243	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 E	2011/10/13	2011/10/20
11253	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2011B	2011/10/13	2011/10/20
29551	SGA Societe Generale Acceptance N.V. –Sino Land Eu Wt Oct 11	2011/10/13	2011/10/20
11162	UBS AG – CNOOC European Warrants Oct 2011 B	2011/10/13	2011/10/20
11323	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Oct 11	2011/10/14	2011/10/21
11325	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2011 B	2011/10/14	2011/10/21

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
26461	HK Bank – Renhe Comm European Warrants Oct 2011	2011/10/14	2011/10/21
25939	HK Bank – Shimao Ppt European Warrants Oct 2011	2011/10/14	2011/10/21
28963	HK Bank – Sinopharm European Warrants Oct 2011	2011/10/14	2011/10/21
11314	J P Morgan SP BV – China Life European Warrants Oct 2011 B	2011/10/14	2011/10/21
29914	KBC Fin Products Int'l Ltd. – Ch LongYuan Euro Wts Oct 2011	2011/10/14	2011/10/21
29830	KBC Fin Products Int'l Ltd. – Ch Railway Euro Wts Oct 2011	2011/10/14	2011/10/21
11326	Macquarie Bank Ltd. – CNBM European Warrants Oct 2011 B	2011/10/14	2011/10/21
24697	CC Rabobank B.A. – Ch LongYuan European Warrants Oct 2011	2011/10/17	2011/10/24
11347	CC Rabobank B.A. – Maanshan Iron European Wts Oct 2011	2011/10/17	2011/10/24
26347	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2011	2011/10/17	2011/10/24
28308	Goldman Sachs SP (Asia) – China Unicom European Wts Oct11	2011/10/17	2011/10/24
23871	HK Bank – SHK Ppt European Warrants Oct 2011	2011/10/17	2011/10/24
26101	J P Morgan SP BV – A50 China European Warrants Oct 2011	2011/10/17	2011/10/24
11350	J P Morgan SP BV – China Life European Put Warrants Oct 2011	2011/10/17	2011/10/24
28018	KBC Fin Products Int'l Ltd. – Chi Res Land Euro Wts Oct 2011	2011/10/17	2011/10/24
23837	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Oct 2011	2011/10/17	2011/10/24
23777	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Oct 2011	2011/10/17	2011/10/24
11344	Macquarie Bank Ltd. – Evergrande European Warrants Oct 2011	2011/10/17	2011/10/24
28817	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2011 B	2011/10/17	2011/10/24
26648	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2011	2011/10/17	2011/10/24
11336	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eur Wts Oct 2011	2011/10/17	2011/10/24
11334	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Oct 11B	2011/10/17	2011/10/24
11509	RB of Scotland N.V. – China Mobile European Wts Oct 2011 C	2011/10/17	2011/10/24
11734	RB of Scotland N.V. – CNOOC European Warrants Oct 2011 A	2011/10/17	2011/10/24
12543	UBS AG – Agricultural Bank European Warrants Oct 2011 A	2011/10/17	2011/10/24
11695	UBS AG – Yanzhou Coal European Wts Oct 2011	2011/10/17	2011/10/24
20587	Barclays Bank plc – Cheung Kong European Put Warrants Oct 11	2011/10/18	2011/10/25
20576	Barclays Bank plc – Cheung Kong European Warrants Oct 2011	2011/10/18	2011/10/25
11270	BOCI Asia Ltd. – China Shenhua European Warrants Oct 2011	2011/10/18	2011/10/25
26967	BOCI Asia Ltd. – Shimao Ppt European Warrants Oct 2011	2011/10/18	2011/10/25
11262	CC Rabobank B.A. – Agricultural Bk European Warrants Oct 11	2011/10/18	2011/10/25
11246	CC Rabobank B.A. – Anhui Conch European Warrants Oct 2011	2011/10/18	2011/10/25
11263	CC Rabobank B.A. – Ch Ship Cont European Warrants Oct 2011	2011/10/18	2011/10/25
11248	CC Rabobank B.A. – CNBM European Warrants Oct 2011 B	2011/10/18	2011/10/25
11249	CC Rabobank B.A. – Evergrande European Warrants Oct 2011	2011/10/18	2011/10/25
28993	CC Rabobank B.A. – HKEx European Put Warrants Oct 2011	2011/10/18	2011/10/25
18145	CC Rabobank B.A. – HSBC European Put Warrants Oct 2011	2011/10/18	2011/10/25
26954	CC Rabobank B.A. – Sany Int'l European Warrants Oct 2011	2011/10/18	2011/10/25
11250	CC Rabobank B.A. – Wynn Macau European Warrants Oct 2011	2011/10/18	2011/10/25
11851	Citigroup Global Mkt H Inc. – BOC HK European Wts Oct 2011	2011/10/18	2011/10/25
11393	Citigroup Global Mkt H Inc. – Cathay Pacific Eur Wt Oct 11B	2011/10/18	2011/10/25
29122	Citigroup Global Mkt H Inc. – Chi Resources Euro Wts Oct 11	2011/10/18	2011/10/25
11364	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Oct 2011	2011/10/18	2011/10/25
23531	Credit Suisse AG – China COSCO European Warrants Oct 2011	2011/10/18	2011/10/25
11743	Credit Suisse AG – CNOOC European Warrants Oct 2011 A	2011/10/18	2011/10/25
12811	Credit Suisse AG – CNOOC European Warrants Oct 2011 B	2011/10/18	2011/10/25
13206	Credit Suisse AG – CNOOC European Warrants Oct 2011 C	2011/10/18	2011/10/25
13157	Credit Suisse AG – EvergrandeEuropean Warrants Oct 2011	2011/10/18	2011/10/25
12575	Credit Suisse AG – Hutchison European Warrants Oct 2011 B	2011/10/18	2011/10/25
28397	Credit Suisse AG – Tencent Holdings European Wts Oct 2011	2011/10/18	2011/10/25
26330	Deutsche Bank AG – A50 China European Warrants Oct 2011	2011/10/18	2011/10/25
29569	Deutsche Bank AG – AIA European Warrants Oct 2011	2011/10/18	2011/10/25
28414	Deutsche Bank AG – Cheung Kong European Put Wts Oct 2011 B	2011/10/18	2011/10/25
24303	Deutsche Bank AG – Cheung Kong European Warrants Oct 2011	2011/10/18	2011/10/25
10101	Deutsche Bank AG – EUR/USD European Put Warrants Oct 2011	2011/10/18	2011/10/25
24403	Deutsche Bank AG – SHK Ppt European Warrants Oct 2011	2011/10/18	2011/10/25
28550	Goldman Sachs SP (Asia) – Alibaba European Warrants Oct 11B	2011/10/18	2011/10/25
27399	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Oct 2011	2011/10/18	2011/10/25
29479	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2011 C	2011/10/18	2011/10/25
12345	HK Bank – ICBC European Warrants Oct 2011 A	2011/10/18	2011/10/25
12305	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2011	2011/10/18	2011/10/25
11267	J P Morgan SP BV – Cathay Pacific European Warrants Oct 2011	2011/10/18	2011/10/25
11343	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Oct 2011	2011/10/18	2011/10/25
29508	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Oct 2011	2011/10/18	2011/10/25
28475	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Oct11	2011/10/18	2011/10/25
29511	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Oct 2011	2011/10/18	2011/10/25
11134	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2011 C	2011/10/18	2011/10/25
11982	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Oct 11A	2011/10/18	2011/10/25
23481	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2011	2011/10/18	2011/10/25
28484	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Oct11	2011/10/18	2011/10/25
11480	Nomura Int'l plc – China Shenhua European Warrants Oct 2011	2011/10/18	2011/10/25
25266	RB of Scotland N.V. – HKEx European Warrants Oct 2011 A	2011/10/18	2011/10/25
26571	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2011	2011/10/18	2011/10/25
12971	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2011 F	2011/10/18	2011/10/25
28485	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wts Oct11A	2011/10/18	2011/10/25
29890	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 11 B	2011/10/18	2011/10/25
11580	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Oct 2011	2011/10/18	2011/10/25
29053	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011 C	2011/10/18	2011/10/25
23924	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2011	2011/10/18	2011/10/25
23713	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Oct 11	2011/10/18	2011/10/25
29472	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Oct 11	2011/10/18	2011/10/25
22891	SGA Societe Generale Acceptance N.V.-Chi COSCO Euro Wt Oct 11	2011/10/18	2011/10/25
11294	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Oct 11	2011/10/18	2011/10/25
11296	Standard Chartered Bank – HSBC European Warrants Oct 2011	2011/10/18	2011/10/25
26219	UBS AG – A50 China European Warrants Oct 2011	2011/10/18	2011/10/25
28334	UBS AG – AIA European Warrants Oct 2011	2011/10/18	2011/10/25
11641	UBS AG – China Life European Warrants Oct 2011 A	2011/10/18	2011/10/25

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29500	UBS AG – CNOOC European Warrants Oct 2011 A	2011/10/18	2011/10/25
24458	UBS AG – HKEx European Warrants Oct 2011 A	2011/10/18	2011/10/25
11839	UBS AG – HKEx European Warrants Oct 2011 C	2011/10/18	2011/10/25
25388	UBS AG – SHK Ppt European Warrants Oct 2011	2011/10/18	2011/10/25
11179	UBS AG – Tencent Holdings European Warrants Oct 2011 B	2011/10/18	2011/10/25
25750	UBS AG – Zijin Mining European Warrants Oct 2011	2011/10/18	2011/10/25
29168	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2011 B	2011/10/19	2011/10/26
28961	HK Bank – China Life European Put Warrants Oct 2011	2011/10/19	2011/10/26
12301	J P Morgan SP BV – HSBC European Warrants Oct 2011 A	2011/10/19	2011/10/26
29805	Standard Chartered Bank – CNOOC European Warrants Oct 2011	2011/10/19	2011/10/26
12440	CC Rabobank B.A. – Hutchison European Warrants Oct 2011	2011/10/20	2011/10/27
29323	CC Rabobank B.A. – Sino Land European Warrants Oct 2011	2011/10/20	2011/10/27
11946	CC Rabobank B.A. – Sinopharm European Warrants Oct 2011	2011/10/20	2011/10/27
25168	CC Rabobank B.A. – Weichai Power European Warrants Oct 2011A	2011/10/20	2011/10/27
28514	Citigroup Global Mkt H Inc. – CNOOC European Wts Oct 2011 A	2011/10/20	2011/10/27
11411	Citigroup Global Mkt H Inc. – HKEx European Put Wts Oct 2011	2011/10/20	2011/10/27
11389	HK Bank – China Life European Warrants Oct 2011	2011/10/20	2011/10/27
12477	HK Bank – HWL European Warrants Oct 2011	2011/10/20	2011/10/27
29660	HK Bank – Yanzhou Coal European Warrants Oct 2011 A	2011/10/20	2011/10/27
24728	J P Morgan SP BV – Ch Comm Cons European Warrants Oct 2011	2011/10/20	2011/10/27
11374	J P Morgan SP BV – Evergrande European Warrants Oct 2011	2011/10/20	2011/10/27
29874	J P Morgan SP BV – MTRC European Warrants Oct 2011	2011/10/20	2011/10/27
24550	KBC Fin Products Int'l Ltd. – Ch Comm Cons Euro Wts Oct 2011	2011/10/20	2011/10/27
29281	Macquarie Bank Ltd. – Yanzhou Coal European Wts Oct 2011 A	2011/10/20	2011/10/27
11375	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11B	2011/10/20	2011/10/27
29248	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2011 B	2011/10/20	2011/10/27
29425	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011 B	2011/10/20	2011/10/27
29012	Nomura Int'l plc – Yanzhou Coal European Warrants Oct 2011	2011/10/20	2011/10/27
26073	RB of Scotland N.V. – Ch Comm Cons European Wts Oct 2011	2011/10/20	2011/10/27
11371	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Oct 11	2011/10/20	2011/10/27
12467	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2011 A	2011/10/20	2011/10/27
11373	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Oct 11	2011/10/20	2011/10/27
11016	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Oct 11C	2011/10/20	2011/10/27
27202	SGA Societe Generale Acceptance N.V. -Air China Eu Wt Oct11B	2011/10/20	2011/10/27
27228	SGA Societe Generale Acceptance N.V. -Ch Mengniu Eu Wt Oct11	2011/10/20	2011/10/27
27205	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Oct11	2011/10/20	2011/10/27
11372	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Oct11B	2011/10/20	2011/10/27
27211	SGA Societe Generale Acceptance N.V.-Shimao Ppt Eu Wt Oct 11	2011/10/20	2011/10/27
27675	CC Rabobank B.A. – CSR European Warrants Oct 2011	2011/10/21	2011/10/28
11433	Macquarie Bank Ltd. – Sh Petrochem European Wts Oct 2011	2011/10/21	2011/10/28
11905	Standard Chartered Bank – GCL-Poly Energy European Wts Oct11	2011/10/21	2011/10/28
29702	Barclays Bank plc – BOCL European Warrants Oct 2011	2011/10/24	2011/10/31
29701	Barclays Bank plc – Ch Comm Cons European Warrants Oct 2011	2011/10/24	2011/10/31
29988	Barclays Bank plc – China Life European Warrants Oct 2011	2011/10/24	2011/10/31
29985	Barclays Bank plc – China Telecom European Warrants Oct 2011	2011/10/24	2011/10/31
29989	Barclays Bank plc – GCL-Poly Energy European Wts Oct 2011	2011/10/24	2011/10/31
29696	Barclays Bank plc – HSI European Warrants Oct 2011 A	2011/10/24	2011/10/31
29697	Barclays Bank plc – HSI European Warrants Oct 2011 B	2011/10/24	2011/10/31
12569	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2011	2011/10/24	2011/10/31
26564	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2011	2011/10/24	2011/10/31
11568	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2011 A	2011/10/24	2011/10/31
22231	BOCI Asia Ltd. – SHK Ppt European Warrants Oct 2011	2011/10/24	2011/10/31
11003	CC Rabobank B.A. – Cheung Kong European Warrants Oct 2011	2011/10/24	2011/10/31
29350	CC Rabobank B.A. – China Life European Warrants Oct 2011	2011/10/24	2011/10/31
23461	CC Rabobank B.A. – ChiUnicom European Warrants Oct 2011	2011/10/24	2011/10/31
29349	CC Rabobank B.A. – CPIC European Warrants Oct 2011	2011/10/24	2011/10/31
29211	CC Rabobank B.A. – Golden Eagle European Warrants Oct 2011	2011/10/24	2011/10/31
29816	CC Rabobank B.A. – Li & Fung European Warrants Oct 2011 B	2011/10/24	2011/10/31
29430	CC Rabobank B.A. – Li & Fung European Warrants Oct 2011A	2011/10/24	2011/10/31
26465	CC Rabobank B.A. – Shimao Ppt European Warrants Oct 2011	2011/10/24	2011/10/31
29958	CC Rabobank B.A. – Sinofert European Warrants Oct 2011	2011/10/24	2011/10/31
29571	CC Rabobank B.A. – Sinoma European Warrants Oct 2011	2011/10/24	2011/10/31
11349	CC Rabobank B.A. – Yanzhou Coal European Warrants Oct 2011	2011/10/24	2011/10/31
29840	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2011	2011/10/24	2011/10/31
29841	Citigroup Global Mkt H Inc. – Esprit European Wts Oct 2011	2011/10/24	2011/10/31
11832	Citigroup Global Mkt H Inc. – HSCEI European Wts Oct 2011	2011/10/24	2011/10/31
12768	Credit Suisse AG – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
13203	Credit Suisse AG – HSI European Warrants Oct 2011	2011/10/24	2011/10/31
12444	Credit Suisse AG – Hutchison European Warrants Oct 2011 A	2011/10/24	2011/10/31
11021	Deutsche Bank AG – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
11017	Deutsche Bank AG – HSI European Warrants Oct 2011	2011/10/24	2011/10/31
22374	HK Bank – A50 China European Warrants Oct 2011	2011/10/24	2011/10/31
25685	HK Bank – CNOOC European Warrants Oct 2011	2011/10/24	2011/10/31
28935	HK Bank – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
11430	HK Bank – HSI European Put Warrants Oct 2011 C	2011/10/24	2011/10/31
29928	HK Bank – HSI European Warrants Oct 2011 A	2011/10/24	2011/10/31
29929	HK Bank – HSI European Warrants Oct 2011 B	2011/10/24	2011/10/31
11431	HK Bank – HSI European Warrants Oct 2011 D	2011/10/24	2011/10/31
11432	HK Bank – HSI European Warrants Oct 2011 E	2011/10/24	2011/10/31
29655	HK Bank – Jiangxi Copper European Warrants Oct 2011	2011/10/24	2011/10/31
11009	HK Bank – Yanzhou Coal European Warrants Oct 2011 B	2011/10/24	2011/10/31
29592	J P Morgan SP BV – Ch Oilfield Euro Wts Oct 2011	2011/10/24	2011/10/31
11316	J P Morgan SP BV – Li & Fung European Warrants Oct 2011	2011/10/24	2011/10/31
26113	J P Morgan SP BV – Ping An European Warrants Oct 2011	2011/10/24	2011/10/31
27703	J P Morgan SP BV – Shimao Property European Wts Oct 2011	2011/10/24	2011/10/31
27903	KBC Fin Products Int'l Ltd. – China Agri Euro Put Wts Oct11	2011/10/24	2011/10/31
11845	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2011	2011/10/24	2011/10/31

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
12459	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Oct 2011 A	2011/10/24	2011/10/31
12460	KBC Fin Products Int'l Ltd. – HSI European Wts Oct 2011	2011/10/24	2011/10/31
29277	Macquarie Bank Ltd. – Ch Southern Air European Wts Oct 2011	2011/10/24	2011/10/31
27479	Macquarie Bank Ltd. – Chi Res Land European Wts Oct 2011	2011/10/24	2011/10/31
29703	Macquarie Bank Ltd. – HSCEI European Warrants Oct 2011	2011/10/24	2011/10/31
29982	Macquarie Bank Ltd. – R&F Ppt European Warrants Oct 2011	2011/10/24	2011/10/31
23688	Macquarie Bank Ltd. – SHK Ppt European Warrants Oct 2011 A	2011/10/24	2011/10/31
25359	Macquarie Bank Ltd. – SJM Holdings European Warrants Oct 11	2011/10/24	2011/10/31
11257	Macquarie Bank Ltd. – Yanzhou Coal European Wts Oct 2011 B	2011/10/24	2011/10/31
29966	Macquarie Bank Ltd. – Yurun Food European Warrants Oct 2011	2011/10/24	2011/10/31
29965	Macquarie Bank Ltd.-Agricultural Bk European Warrants Oct11A	2011/10/24	2011/10/31
11337	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Oct 2011	2011/10/24	2011/10/31
11384	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Oct 2011	2011/10/24	2011/10/31
11918	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Oct 2011	2011/10/24	2011/10/31
29572	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2011 D	2011/10/24	2011/10/31
29573	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2011 E	2011/10/24	2011/10/31
29574	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2011 F	2011/10/24	2011/10/31
12729	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2011 G	2011/10/24	2011/10/31
29552	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2011 A	2011/10/24	2011/10/31
29557	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2011 B	2011/10/24	2011/10/31
29568	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2011 C	2011/10/24	2011/10/31
12711	Nomura Int'l plc – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
12705	Nomura Int'l plc – HSI European Warrants Oct 2011	2011/10/24	2011/10/31
12706	Nomura Int'l plc – HSI European Warrants Oct 2011 A	2011/10/24	2011/10/31
11978	RB of Scotland N.V. – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
12350	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Oct 2011	2011/10/24	2011/10/31
11101	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 11D	2011/10/24	2011/10/31
11184	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct 11F	2011/10/24	2011/10/31
29899	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Oct11C	2011/10/24	2011/10/31
29896	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2011 A	2011/10/24	2011/10/31
29897	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2011 B	2011/10/24	2011/10/31
11180	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2011 E	2011/10/24	2011/10/31
11099	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Oct 11	2011/10/24	2011/10/31
26217	Standard Chartered Bank – A50 China European Warrants Oct 11	2011/10/24	2011/10/31
11281	Standard Chartered Bank – Agricultural Bk European Wts Oct11	2011/10/24	2011/10/31
29167	Standard Chartered Bank – China Mobile Euro Wts Oct 2011 B	2011/10/24	2011/10/31
11862	UBS AG – AIA European Put Warrants Oct 2011	2011/10/24	2011/10/31
12452	UBS AG – HSI European Put Warrants Oct 2011	2011/10/24	2011/10/31
12773	UBS AG – HSI European Put Warrants Oct 2011 A	2011/10/24	2011/10/31
12944	UBS AG – HSI European Put Warrants Oct 2011 C	2011/10/24	2011/10/31
12953	UBS AG – HSI European Put Warrants Oct 2011 D	2011/10/24	2011/10/31
12983	UBS AG – HSI European Put Warrants Oct 2011 E	2011/10/24	2011/10/31
11310	UBS AG – HSI European Warrants Oct 2011	2011/10/24	2011/10/31
12943	UBS AG – HSI European Warrants Oct 2011 B	2011/10/24	2011/10/31
13139	UBS AG – HSI European Warrants Oct 2011 F	2011/10/24	2011/10/31
27887	Barclays Bank plc – AIA European Warrants Oct 2011	2011/10/25	2011/11/01
29303	Barclays Bank plc – China Shenhua European Warrants Oct 2011	2011/10/25	2011/11/01
26901	Barclays Bank plc – Hutchison European Put Warrants Oct 2011	2011/10/25	2011/11/01
29302	Barclays Bank plc – Jiangxi Copper European Warrants Oct 11	2011/10/25	2011/11/01
24909	Barclays Bank plc – Shimao Ppt European Warrants Oct 2011	2011/10/25	2011/11/01
12443	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Oct 2011	2011/10/25	2011/11/01
28803	BNP Paribas Arbit Issu B.V. -Alibaba European Wts Oct11B	2011/10/25	2011/11/01
11269	BOCI Asia Ltd. – Agricultural Bk European Warrants Oct 2011	2011/10/25	2011/11/01
24367	BOCI Asia Ltd. – Cheung Kong European Warrants Oct 2011	2011/10/25	2011/11/01
29478	BOCI Asia Ltd. – CNBM European Warrants Oct 2011	2011/10/25	2011/11/01
11491	BOCI Asia Ltd. – Geely Auto European Warrants Oct 2011	2011/10/25	2011/11/01
11492	BOCI Asia Ltd. – Lenovo European Warrants Oct 2011	2011/10/25	2011/11/01
11424	BOCI Asia Ltd. – Li & Fung European Warrants Oct 2011	2011/10/25	2011/11/01
28178	BOCI Asia Ltd. – MTRC European Warrants Oct 2011	2011/10/25	2011/11/01
17402	CC Rabobank B.A. – CC Bank European Put Warrants Oct 2011	2011/10/25	2011/11/01
11497	CC Rabobank B.A. – CC Bank European Warrants Oct 2011	2011/10/25	2011/11/01
11645	CC Rabobank B.A. – CC Bank European Warrants Oct 2011	2011/10/25	2011/11/01
11461	CC Rabobank B.A. – Ch Southern Air European Warrants Oct 11	2011/10/25	2011/11/01
11761	CC Rabobank B.A. – China Oilfield European Warrants Oct 2011	2011/10/25	2011/11/01
11457	CC Rabobank B.A. – Dongfeng Motor European Warrants Oct 2011	2011/10/25	2011/11/01
11496	CC Rabobank B.A. – Galaxy Ent European Warrants Oct 2011	2011/10/25	2011/11/01
11460	CC Rabobank B.A. – ZTE European Warrants Oct 2011	2011/10/25	2011/11/01
11415	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Oct 2011	2011/10/25	2011/11/01
11414	Citigroup Global Mkt H Inc. – BYD European Warrants Oct 2011	2011/10/25	2011/11/01
11395	Citigroup Global Mkt H Inc. – CC Bank European Wts Oct 2011	2011/10/25	2011/11/01
11499	Citigroup Global Mkt H Inc. – Ch Railway Cons Eu Wts Oct 11	2011/10/25	2011/11/01
26341	Citigroup Global Mkt H Inc. – China Agri European Wts Oct 11	2011/10/25	2011/11/01
11412	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Oct 11A	2011/10/25	2011/11/01
11396	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Oct 2011	2011/10/25	2011/11/01
11417	Citigroup Global Mkt H Inc. – CPIC European Warrants Oct 11	2011/10/25	2011/11/01
11416	Citigroup Global Mkt H Inc. – ICBC European Wts Oct 2011	2011/10/25	2011/11/01
11441	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Oct 11	2011/10/25	2011/11/01
11498	Citigroup Global Mkt H Inc. – Li & Fung European Wts Oct 11	2011/10/25	2011/11/01
27482	Citigroup Global Mkt H Inc. – MTRC European Wts Oct 2011	2011/10/25	2011/11/01
11442	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2011	2011/10/25	2011/11/01
11500	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Oct 2011	2011/10/25	2011/11/01
11823	Credit Suisse AG – AIA European Put Warrants Oct 2011	2011/10/25	2011/11/01
19427	Credit Suisse AG – China Mobile European Warrants Oct 2011 A	2011/10/25	2011/11/01
13207	Credit Suisse AG – CNOOC European Warrants Oct 2011 D	2011/10/25	2011/11/01
12509	Credit Suisse AG – Tencent Holdings European Wts Oct 2011 A	2011/10/25	2011/11/01
26115	Deutsche Bank AG – Ch Comm Cons European Warrants Oct 2011	2011/10/25	2011/11/01
25743	Deutsche Bank AG – CNOOC European Warrants Oct 2011 B	2011/10/25	2011/11/01



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25553	Deutsche Bank AG – HKEx European Warrants Oct 2011 A	2011/10/25	2011/11/01
26752	Deutsche Bank AG – PICC P&C European Warrants Oct 2011	2011/10/25	2011/11/01
26053	Deutsche Bank AG – Ping An European Warrants Oct 2011	2011/10/25	2011/11/01
29907	Goldman Sachs SP (Asia) – Agri Bk European Wts Oct 2011	2011/10/25	2011/11/01
29031	Goldman Sachs SP (Asia) – BYD European Warrants Oct 2011	2011/10/25	2011/11/01
29909	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Oct 2011	2011/10/25	2011/11/01
25628	Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2011	2011/10/25	2011/11/01
27323	Goldman Sachs SP (Asia) – Alibaba European Warrants Oct 2011A	2011/10/25	2011/11/01
25276	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Oct11A	2011/10/25	2011/11/01
28433	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Oct11B	2011/10/25	2011/11/01
29328	HK Bank – CNBM European Warrants Oct 2011	2011/10/25	2011/11/01
11485	J P Morgan SP BV – BEA European Warrants Oct 2011	2011/10/25	2011/11/01
11488	J P Morgan SP BV – Ch Southern Air European Wts Oct 2011	2011/10/25	2011/11/01
11315	J P Morgan SP BV – CNBM European Warrants Oct 2011	2011/10/25	2011/11/01
11896	J P Morgan SP BV – Dongfeng Motor European Warrants Oct 2011	2011/10/25	2011/11/01
11487	J P Morgan SP BV – Henderson Land Dev European Wts Oct 2011	2011/10/25	2011/11/01
11486	J P Morgan SP BV – Ping An European Warrants Oct 2011 A	2011/10/25	2011/11/01
12957	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2011 A	2011/10/25	2011/11/01
28956	J P Morgan SP BV – Yanzhou Coal European Warrants Oct 2011 A	2011/10/25	2011/11/01
28107	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Oct 2011	2011/10/25	2011/11/01
13293	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2011	2011/10/25	2011/11/01
29977	Macquarie Bank Ltd. – BBMG European Warrants Oct 2011	2011/10/25	2011/11/01
29978	Macquarie Bank Ltd. – Cathay Pacific European Wts Oct 2011	2011/10/25	2011/11/01
29676	Macquarie Bank Ltd. – CNBM European Warrants Oct 2011 A	2011/10/25	2011/11/01
29786	Macquarie Bank Ltd. – Geely Auto European Warrants Oct 2011	2011/10/25	2011/11/01
29806	Macquarie Bank Ltd. – Li & Fung European Warrants Oct 2011	2011/10/25	2011/11/01
29279	Macquarie Bank Ltd. – Li Ning European Warrants Oct 2011	2011/10/25	2011/11/01
19135	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2011 B	2011/10/25	2011/11/01
23478	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2011	2011/10/25	2011/11/01
23619	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Oct11	2011/10/25	2011/11/01
11631	Nomura Int'l plc – BYD European Warrants Oct 2011	2011/10/25	2011/11/01
11477	Nomura Int'l plc – CC Bank European Warrants Oct 2011	2011/10/25	2011/11/01
29537	Nomura Int'l plc – Ch East Air European Warrants Oct 2011	2011/10/25	2011/11/01
22147	Nomura Int'l plc – Cheung Kong European Warrants Oct 2011	2011/10/25	2011/11/01
11483	Nomura Int'l plc – China Life European Warrants Oct 2011	2011/10/25	2011/11/01
11469	Nomura Int'l plc – China Telecom European Warrants Oct 2011	2011/10/25	2011/11/01
29751	Nomura Int'l plc – CNOOC European Warrants Oct 2011	2011/10/25	2011/11/01
28340	Nomura Int'l plc – HK Gas European Warrants Oct 2011	2011/10/25	2011/11/01
29534	Nomura Int'l plc – JIANG European Warrants Oct 2011	2011/10/25	2011/11/01
23284	Nomura Int'l plc – SHK P European Warrants Oct 2011 A	2011/10/25	2011/11/01
25676	Nomura Int'l plc – SHK P European Warrants Oct 2011 B	2011/10/25	2011/11/01
11769	Nomura Int'l plc – Sinopharm European Warrants Oct 2011	2011/10/25	2011/11/01
11647	RB of Scotland N.V. – China Life European Wts Oct 2011 A	2011/10/25	2011/11/01
29680	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wt Oct 11B	2011/10/25	2011/11/01
29682	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Oct 2011	2011/10/25	2011/11/01
29684	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Oct 11	2011/10/25	2011/11/01
11028	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Oct11B	2011/10/25	2011/11/01
11405	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Oct 11A	2011/10/25	2011/11/01
11995	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2011 C	2011/10/25	2011/11/01
11404	SGA Societe Generale Acceptance N.V. – Geely Auto Eu Wt Oct11	2011/10/25	2011/11/01
11406	SGA Societe Generale Acceptance NV – Maanshan Iron Eu Wt Oct11	2011/10/25	2011/11/01
11403	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Oct 11	2011/10/25	2011/11/01
11274	Standard Chartered Bank – China Shenhua Euro Wts Oct 2011	2011/10/25	2011/11/01
11303	Standard Chartered Bank – CTEL European Warrants Oct 2011	2011/10/25	2011/11/01
25468	Standard Chartered Bank – HKEx European Warrants Oct 2011 A	2011/10/25	2011/11/01
28047	Standard Chartered Bank – Hutchison European Wts Oct 2011 A	2011/10/25	2011/11/01
11319	UBS AG – China Shenhua European Warrants Oct 2011	2011/10/25	2011/11/01
11436	UBS AG – CNOOC European Warrants Oct 2011 C	2011/10/25	2011/11/01
11840	UBS AG – CNOOC European Warrants Oct 2011 D	2011/10/25	2011/11/01
25099	UBS AG – HKEx European Warrants Oct 2011 B	2011/10/25	2011/11/01
12619	UBS AG – Tencent Holdings European Warrants Oct 2011 D	2011/10/25	2011/11/01
26690	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Nov 11	2011/10/26	2011/11/02
26181	BNP Paribas Arbit Issu B.V. – C Transmission Euro Wts Nov11	2011/10/26	2011/11/02
25495	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 A	2011/10/26	2011/11/02
26691	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 B	2011/10/26	2011/11/02
22264	Citigroup Global Mkt H Inc. – ICBC Euro Put Wts Nov 2011	2011/10/26	2011/11/02
12535	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Nov 2011	2011/10/26	2011/11/02
11068	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Nov 2011	2011/10/26	2011/11/02
11355	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Nov 2011 B	2011/10/26	2011/11/02
29375	KBC Fin Products Int'l Ltd. – CITIC Bank Eur Put Wt Nov 2011	2011/10/26	2011/11/02
29454	KBC Fin Products Int'l Ltd. – CITIC Pac Euro Put Wts Nov11	2011/10/26	2011/11/02
12990	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011	2011/10/26	2011/11/02
11335	Merrill Lynch Int'l & Co. C.V. – China Railway Eu Wt Nov 11	2011/10/26	2011/11/02
11381	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Nov 2011	2011/10/26	2011/11/02
25204	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2011 A	2011/10/26	2011/11/02
28617	Standard Chartered Bank – Alibaba European Warrants Nov 2011	2011/10/26	2011/11/02
11041	Standard Chartered Bank – BOCL European Warrants Nov 2011	2011/10/26	2011/11/02
28045	Standard Chartered Bank – CC Bank European Warrants Nov 2011	2011/10/26	2011/11/02
11051	Standard Chartered Bank – China Coal European Warrants Nov11	2011/10/26	2011/11/02
11052	Standard Chartered Bank – China Life Euro Put Wts Nov 2011	2011/10/26	2011/11/02
11224	Standard Chartered Bank – China Overseas European Wt Nov11	2011/10/26	2011/11/02
11223	Standard Chartered Bank – CM Bank European Warrants Nov 2011	2011/10/26	2011/11/02
11043	Standard Chartered Bank – HSBC European Warrants Nov 2011 A	2011/10/26	2011/11/02
11301	Standard Chartered Bank – Jiangxi Copper Euro Wts Nov 2011	2011/10/26	2011/11/02
11300	Standard Chartered Bank – MTRC European Warrants Nov 2011	2011/10/26	2011/11/02
11053	Standard Chartered Bank – YZ Coal European Warrants Nov 2011	2011/10/26	2011/11/02
27585	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2011	2011/10/27	2011/11/03

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
27578	BNP Paribas Arbit Issu B.V. – Anta Sports European Wts Nov11	2011/10/27	2011/11/03
29969	BNP Paribas Arbit Issu B.V. – BYD European Warrants Nov 2011	2011/10/27	2011/11/03
27654	BNP Paribas Arbit Issu B.V. – Cathay Pacific Euro Wts Nov11	2011/10/27	2011/11/03
29485	BNP Paribas Arbit Issu B.V. – CE Air European Wts Nov 2011	2011/10/27	2011/11/03
19871	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Nov 2011	2011/10/27	2011/11/03
28856	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Nov 2011	2011/10/27	2011/11/03
29119	BNP Paribas Arbit Issu B.V. – China Overseas Euro Wts Nov 11	2011/10/27	2011/11/03
11199	BNP Paribas Arbit Issu B.V. – CNBM European Wts Nov 2011	2011/10/27	2011/11/03
11602	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 C	2011/10/27	2011/11/03
29601	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Nov 2011	2011/10/27	2011/11/03
25176	BNP Paribas Arbit Issu B.V. – Hutchison European Wt Nov2011A	2011/10/27	2011/11/03
27656	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Nov 11B	2011/10/27	2011/11/03
27913	BNP Paribas Arbit Issu B.V. – Sino Land European Wts Nov11	2011/10/27	2011/11/03
29092	BNP Paribas Arbit Issu B.V. – Sino-Ocean Land Euro Wts Nov11	2011/10/27	2011/11/03
11735	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Nov 2011	2011/10/27	2011/11/03
11201	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Nov 2011 B	2011/10/27	2011/11/03
28183	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wt Nov 2011A	2011/10/27	2011/11/03
27768	BNP Paribas Arbit Issu B.V. -MTRC European Warrants Nov 2011	2011/10/27	2011/11/03
29061	BNP Paribas Arbit Issu B.V.-BankComm European Warrants Nov 11	2011/10/27	2011/11/03
28175	BOCI Asia Ltd. – Anta Sports European Warrants Nov 2011	2011/10/27	2011/11/03
11410	Citigroup Global Mkt H Inc. – HKEx European Wts Nov 2011	2011/10/27	2011/11/03
12420	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Nov 2011	2011/10/27	2011/11/03
26982	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Nov 2011 A	2011/10/27	2011/11/03
11275	Macquarie Bank Ltd. – AIA European Put Warrants Nov 2011	2011/10/27	2011/11/03
29273	Macquarie Bank Ltd. – Bank of EA European Warrants Nov 2011	2011/10/27	2011/11/03
11505	Macquarie Bank Ltd. – CC Bank European Put Warrants Nov 2011	2011/10/27	2011/11/03
11504	Macquarie Bank Ltd. – CC Bank European Warrants Nov 2011	2011/10/27	2011/11/03
26597	Macquarie Bank Ltd. – China Overseas European Wts Nov 2011	2011/10/27	2011/11/03
29206	Macquarie Bank Ltd. – CM Bank European Put Warrants Nov 2011	2011/10/27	2011/11/03
11291	Macquarie Bank Ltd. – CRCC European Warrants Nov 2011	2011/10/27	2011/11/03
29118	Macquarie Bank Ltd. – HKEx European Put Warrants Nov 2011	2011/10/27	2011/11/03
11290	Macquarie Bank Ltd. – Huaneng Power European Wts Nov 2011	2011/10/27	2011/11/03
28821	Macquarie Bank Ltd. – MTRC European Warrants Nov 2011	2011/10/27	2011/11/03
26286	Macquarie Bank Ltd. – PICC P&C European Warrants Nov 2011	2011/10/27	2011/11/03
25944	Macquarie Bank Ltd. – Ping An European Warrants Nov 2011	2011/10/27	2011/11/03
11277	Macquarie Bank Ltd. – Shui On Land European Warrants Nov 11	2011/10/27	2011/11/03
11278	Macquarie Bank Ltd. – Sinopharm European Warrants Nov 2011	2011/10/27	2011/11/03
28369	Macquarie Bank Ltd. – Tencent European Warrants Nov 2011 A	2011/10/27	2011/11/03
28436	Macquarie Bank Ltd. – Tencent European Warrants Nov 2011 B	2011/10/27	2011/11/03
29357	Macquarie Bank Ltd. – Tencent European Warrants Nov 2011 C	2011/10/27	2011/11/03
11289	Macquarie Bank Ltd. -Want Want China European Warrants Nov11	2011/10/27	2011/11/03
25262	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 A	2011/10/27	2011/11/03
11205	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 C	2011/10/27	2011/11/03
11096	SGA Societe Generale Acceptance N.V. -Yurun Food Eu Wt Nov11	2011/10/27	2011/11/03
24999	SGA Societe Generale Acceptance N.V.-Nine Dragons Eu Wt Nov11	2011/10/27	2011/11/03
19038	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Nov11	2011/10/28	2011/11/04
11434	Macquarie Bank Ltd. – Avichina European Warrants Nov 2011	2011/10/28	2011/11/04
23685	Macquarie Bank Ltd. – HK Gas European Warrants Nov 2011	2011/10/28	2011/11/04
24747	Macquarie Bank Ltd. – Nine Dragons European Wts Nov 2011	2011/10/28	2011/11/04
28395	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Put Wts Nov11	2011/10/31	2011/11/07
24086	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Nov 2011	2011/10/31	2011/11/07
19454	BNP Paribas Arbit Issu B.V. – Ping An European Wts Nov 2011	2011/10/31	2011/11/07
25918	BOCI Asia Ltd. – Ping An European Warrants Nov 2011	2011/10/31	2011/11/07
18235	CC Rabobank B.A. – Ch Mobile European Warrants Nov 2011	2011/10/31	2011/11/07
28496	CC Rabobank B.A. – SJM Holdings European Warrants Nov 2011	2011/10/31	2011/11/07
13577	Credit Suisse AG – Agricultural Bank European Wts Nov 2011	2011/10/31	2011/11/07
29161	Deutsche Bank AG – A50 China European Warrants Nov 2011	2011/10/31	2011/11/07
27124	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2011	2011/10/31	2011/11/07
26557	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Nov 2011	2011/10/31	2011/11/07
11549	KBC Fin Products Int'l Ltd. -AIA European Put Warrants Nov11	2011/10/31	2011/11/07
28185	Macquarie Bank Ltd. – BOCHK European Warrants Nov 2011	2011/10/31	2011/11/07
26581	Macquarie Bank Ltd. – Cheung Kong European Put Wts Nov 2011	2011/10/31	2011/11/07
11546	Macquarie Bank Ltd. – Wynn Macau European Warrants Nov 2011	2011/10/31	2011/11/07
28607	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2011	2011/10/31	2011/11/07
24708	Nomura Int'l plc – A50 China European Warrants Nov 2011	2011/10/31	2011/11/07
29069	RB of Scotland N.V. – A50 China European Warrants Nov 2011	2011/10/31	2011/11/07
29060	Standard Chartered Bank-China Life European Wts Nov 2011	2011/10/31	2011/11/07
11624	UBS AG – A50 China European Warrants Nov 2011 A	2011/10/31	2011/11/07
24915	Barclays Bank plc – A50 China European Warrants Nov 2011 A	2011/11/01	2011/11/08
24850	Barclays Bank plc – Ping An European Put Warrants Nov 2011	2011/11/01	2011/11/08
29930	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2011	2011/11/01	2011/11/08
28377	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Nov 11	2011/11/01	2011/11/08
28553	BOCI Asia Ltd. – Chi COSCO European Warrants Nov 2011	2011/11/01	2011/11/08
28039	BOCI Asia Ltd. – Dongfeng Motor European Warrants Nov 2011	2011/11/01	2011/11/08
26282	BOCI Asia Ltd. – HKEx European Warrants Nov 2011	2011/11/01	2011/11/08
27377	CC Rabobank B.A. – BBMG European Warrants Nov 2011	2011/11/01	2011/11/08
11723	CC Rabobank B.A. – Tencent Holdings European Put Wts Nov 11A	2011/11/01	2011/11/08
24983	Credit Suisse AG – A50 China European Warrants Nov 2011 A	2011/11/01	2011/11/08
12713	Credit Suisse AG – Lenovo European Warrants Nov 2011	2011/11/01	2011/11/08
22348	Credit Suisse AG – Ping An European Warrants Nov 2011 B	2011/11/01	2011/11/08
12607	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2011	2011/11/01	2011/11/08
28327	Deutsche Bank AG – Cheung Kong European Put Wts Nov 2011	2011/11/01	2011/11/08
24388	Deutsche Bank AG – China Cosco European Warrants Nov 2011	2011/11/01	2011/11/08
25260	Deutsche Bank AG – HKEx European Warrants Nov 2011	2011/11/01	2011/11/08
29072	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Nov 2011	2011/11/01	2011/11/08
29520	Goldman Sachs SP (Asia) – Ch Oilfield European Wts Nov 2011	2011/11/01	2011/11/08
24883	J P Morgan SP BV – Ping An European Put Warrants Nov 2011	2011/11/01	2011/11/08

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
13498	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011 B	2011/11/01	2011/11/08
11561	Macquarie Bank Ltd. – CQRC Bank European Warrants Nov 2011	2011/11/01	2011/11/08
11559	Macquarie Bank Ltd. – Hutchison European Put Wts Nov 2011	2011/11/01	2011/11/08
24690	Macquarie Bank Ltd. – Parkson Group European Warrants Nov 11	2011/11/01	2011/11/08
11560	Macquarie Bank Ltd. – Zoomlion European Warrants Nov 2011	2011/11/01	2011/11/08
11453	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2011 A	2011/11/01	2011/11/08
11539	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Put Wts Nov11	2011/11/01	2011/11/08
11591	Merrill Lynch Int'l & Co. C.V. – Zijin Mining Eu Wt Nov 2011	2011/11/01	2011/11/08
29422	RB of Scotland N.V. – Tencent European Put Warrants Nov 2011	2011/11/01	2011/11/08
11596	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 11A	2011/11/01	2011/11/08
29628	SGA Societe Generale Acceptance N.V. – CK(H) Eu Put Wt Nov11	2011/11/01	2011/11/08
11544	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11A	2011/11/01	2011/11/08
11543	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 2011	2011/11/01	2011/11/08
11577	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011 A	2011/11/01	2011/11/08
11696	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011	2011/11/01	2011/11/08
11540	SGA Societe Generale Acceptance N.V. – JIANC Eu Wts Nov 2011	2011/11/01	2011/11/08
12620	UBS AG – Tencent Holdings European Put Warrants Nov 2011 A	2011/11/01	2011/11/08
25065	HK Bank – HKEx European Warrants Nov 2011 A	2011/11/02	2011/11/09
29365	KBC Fin Products Int'l Ltd. – CITIC Bank Euro Wts Nov 2011	2011/11/02	2011/11/09
11791	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Nov 2011	2011/11/02	2011/11/09
12484	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Nov 11A	2011/11/02	2011/11/09
11715	Merrill Lynch Int'l & Co. C.V. – MTRC European Wts Nov 2011	2011/11/02	2011/11/09
13151	RB of Scotland N.V. – HWL European Warrants Nov 2011	2011/11/02	2011/11/09
11562	UBS AG – AIA European Warrants Nov 2011 A	2011/11/02	2011/11/09
11525	UBS AG – CPIC European Warrants Nov 2011	2011/11/02	2011/11/09
11598	UBS AG – Hutchison European Warrants Nov 2011	2011/11/02	2011/11/09
12450	UBS AG – Hutchison European Warrants Nov 2011 A	2011/11/02	2011/11/09
25497	BNP Paribas Arbit Issu B.V. – HKEx European Wts Nov 2011	2011/11/03	2011/11/10
12439	CC Rabobank B.A. – HSBC European Warrants Nov 2011	2011/11/03	2011/11/10
12590	Macquarie Bank Ltd. – BJ Ent Water European Warrants Nov 11	2011/11/03	2011/11/10
12912	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Nov 2011B	2011/11/03	2011/11/10
29005	Nomura Int'l plc – Sino Land European Warrants Nov 2011	2011/11/03	2011/11/10
26586	SGA Societe Generale Acceptance N.V.-Chi COSCO Euro Wt Nov 11	2011/11/03	2011/11/10
13676	Standard Chartered Bank – China Mobile Euro Wts Nov 2011 A	2011/11/03	2011/11/10
12835	Barclays Bank plc – Hutchison European Warrants Nov 2011	2011/11/04	2011/11/11
11356	KBC Fin Products Int'l Ltd. – CR Cement Euro Wts Nov 2011	2011/11/04	2011/11/11
11072	KBC Fin Products Int'l Ltd.-MTRC European Put Warrants Nov11	2011/11/04	2011/11/11
24015	CC Rabobank B.A. – A50 China European Warrants Nov 2011 B	2011/11/07	2011/11/14
26451	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Nov 2011	2011/11/07	2011/11/14
12445	Credit Suisse AG – Hutchison European Warrants Nov 2011	2011/11/07	2011/11/14
15524	HK Bank – China Coal European Warrants Nov 2011A	2011/11/07	2011/11/14
11518	J P Morgan SP BV – BOC HK European Warrants Nov 2011	2011/11/07	2011/11/14
23998	J P Morgan SP BV – China COSCO European Warrants Nov 2011	2011/11/07	2011/11/14
11938	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2011 A	2011/11/07	2011/11/14
27897	KBC Fin Products Int'l Ltd. – Swire Pacific A Euro Wts Nov11	2011/11/07	2011/11/14
25339	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Nov11	2011/11/07	2011/11/14
12748	Nomura Int'l plc – Hutchison European Warrants Nov 2011 A	2011/11/07	2011/11/14
26721	Barclays Bank plc – Cheung Kong European Put Warrants Nov 11	2011/11/08	2011/11/15
23436	Barclays Bank plc – PetroChina European Warrants Nov 2011	2011/11/08	2011/11/15
28687	BNP Paribas Arbit Issu B.V. – Tencent Hldg Eu Put Wt Nov2011	2011/11/08	2011/11/15
11667	CC Rabobank B.A. – China COSCO European Warrants Nov 2011	2011/11/08	2011/11/15
11459	CC Rabobank B.A. – Ping An European Warrants Nov 2011	2011/11/08	2011/11/15
11665	CC Rabobank B.A. – Winsway European Warrants Nov 2011	2011/11/08	2011/11/15
11666	CC Rabobank B.A. – Zoomlion European Warrants Nov 2011	2011/11/08	2011/11/15
26350	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Nov 2011	2011/11/08	2011/11/15
11236	Credit Suisse AG – ChiUnicom European Warrants Nov 2011	2011/11/08	2011/11/15
26946	Credit Suisse AG – PetroChina European Warrants Nov 2011	2011/11/08	2011/11/15
26249	Deutsche Bank AG – PetroChina European Warrants Nov 2011	2011/11/08	2011/11/15
29778	Goldman Sachs SP (Asia) – Tencent European Put Wt Nov 2011	2011/11/08	2011/11/15
27715	HK Bank – Belle Int'l European Warrants Nov 2011	2011/11/08	2011/11/15
12734	HK Bank – China Mobile European Warrants Nov 2011	2011/11/08	2011/11/15
11670	HK Bank – Henderson Land Dev European Warrants Nov 2011	2011/11/08	2011/11/15
25526	J P Morgan SP BV – PetroChina European Warrants Nov 2011	2011/11/08	2011/11/15
12404	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011 A	2011/11/08	2011/11/15
26715	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2011	2011/11/08	2011/11/15
11445	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Nov 2011	2011/11/08	2011/11/15
12647	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2011 A	2011/11/08	2011/11/15
13643	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Nov 2011	2011/11/08	2011/11/15
17992	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Nov11	2011/11/08	2011/11/15
12690	Merrill Lynch Int'l & Co. C.V. – Hutchison Eu Put Wt Nov 2011	2011/11/08	2011/11/15
11634	Nomura Int'l plc – BJ Ent Water European Warrants Nov 2011	2011/11/08	2011/11/15
11629	Nomura Int'l plc – Lenovo European Warrants Nov 2011	2011/11/08	2011/11/15
11633	Nomura Int'l plc – Shineway Pharm European Warrants Nov 2011	2011/11/08	2011/11/15
11630	Nomura Int'l plc – Zoomlion European Warrants Nov 2011	2011/11/08	2011/11/15
28238	RB of Scotland N.V. – Belle Int'l European Warrants Nov 2011	2011/11/08	2011/11/15
26593	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Nov 11	2011/11/08	2011/11/15
12770	UBS AG – China Mobile European Warrants Nov 2011	2011/11/08	2011/11/15
11271	BOCI Asia Ltd. – Yanzhou Coal European Warrants Nov 2011	2011/11/09	2011/11/16
11681	KBC Fin Products Int'l Ltd.- China Overseas Eu Put Wt Nov11	2011/11/09	2011/11/16
11673	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Nov 2011	2011/11/09	2011/11/16
11658	KBC Fin Products Int'l Ltd. – HSBC European Wts Nov 2011	2011/11/09	2011/11/16
11654	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011	2011/11/09	2011/11/16
11655	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011 A	2011/11/09	2011/11/16
11656	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Nov 2011 B	2011/11/09	2011/11/16
11677	KBC Fin Products Int'l Ltd. – Minsheng Bank Eu Put Wts Nov11	2011/11/09	2011/11/16
11678	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Nov 2011	2011/11/09	2011/11/16
11932	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Nov 2011	2011/11/09	2011/11/16

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29456	KBC Fin Products Int'l Ltd. – ZTE European Put Wts Nov11	2011/11/09	2011/11/16
29450	KBC Fin Products Int'l Ltd. – ZTE European Warrants Nov 2011	2011/11/09	2011/11/16
10088	RB of Scotland N.V. – Oil Futures European Put Wts Nov11	2011/11/09	2011/11/16
10084	RB of Scotland N.V. – Oil Futures European Warrants Nov 2011	2011/11/09	2011/11/16
10094	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Nov 11	2011/11/10	2011/11/17
10989	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11A	2011/11/10	2011/11/17
10013	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11B	2011/11/10	2011/11/17
10091	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11C	2011/11/10	2011/11/17
10110	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11D	2011/11/10	2011/11/17
11686	HK Bank -BYD European Warrants Nov 2011 A	2011/11/10	2011/11/17
12417	KBC Fin Products Int'l Ltd. – China Life Euro Wts Nov 2011	2011/11/10	2011/11/17
13784	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 D	2011/11/10	2011/11/17
11576	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011	2011/11/10	2011/11/17
12907	J P Morgan SP BV – BOCL European Warrants Nov 2011	2011/11/11	2011/11/18
27395	Macquarie Bank Ltd. – China Overseas European Put Wts Nov 11	2011/11/11	2011/11/18
13114	Macquarie Bank Ltd. – Lonking European Warrants Nov 2011	2011/11/11	2011/11/18
25534	Macquarie Bank Ltd. – PetroChina European Wts Nov 2011	2011/11/11	2011/11/18
24798	Macquarie Bank Ltd. – Ping An European Put Warrants Nov 2011	2011/11/11	2011/11/18
12774	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Nov 2011	2011/11/11	2011/11/18
25499	UBS AG – PetroChina European Warrants Nov 2011	2011/11/11	2011/11/18
29127	HK Bank – BOC HK European Warrants Nov 2011	2011/11/14	2011/11/21
24790	HK Bank – KECL European Warrants Nov 2011	2011/11/14	2011/11/21
29768	HK Bank – Maanshan Iron European Warrants Nov 2011	2011/11/14	2011/11/21
29355	HK Bank – Sinofert European Warrants Nov 2011	2011/11/14	2011/11/21
11252	J P Morgan SP BV – CITIC Pacific Euro Wts Nov 2011	2011/11/14	2011/11/21
12454	KBC Fin Products Int'l Ltd. – BOCL European Wts Nov 2011	2011/11/14	2011/11/21
11409	Macquarie Bank Ltd. – AIA European Warrants Nov 2011	2011/11/14	2011/11/21
11733	RB of Scotland N.V. – Sinopec Corp European Wts Nov 2011	2011/11/14	2011/11/21
24916	Barclays Bank plc – A50 China European Warrants Nov 2011 B	2011/11/15	2011/11/22
11399	Barclays Bank plc – China Shenhua European Warrants Nov 2011	2011/11/15	2011/11/22
21624	Barclays Bank plc – CITBK European Warrants Nov 2011	2011/11/15	2011/11/22
23601	CC Rabobank B.A. – A50 China European Warrants Nov 2011 A	2011/11/15	2011/11/22
11720	CC Rabobank B.A. – AIA European Put Warrants Nov 2011	2011/11/15	2011/11/22
29209	CC Rabobank B.A. – Alibaba European Warrants Nov 2011	2011/11/15	2011/11/22
11721	CC Rabobank B.A. – Datang European Warrants Nov 2011	2011/11/15	2011/11/22
29182	CC Rabobank B.A. – Digital China European Warrants Nov 2011	2011/11/15	2011/11/22
28774	CC Rabobank B.A. – PetroChina European Warrants Nov 2011	2011/11/15	2011/11/22
29352	CC Rabobank B.A. – Tencent Holdings European Wts Nov 2011	2011/11/15	2011/11/22
12374	CC Rabobank B.A. – Yanzhou Coal European Warrants Nov 2011	2011/11/15	2011/11/22
27832	Citigroup Global Mkt H Inc. – CHALCO European Warrants Nov11	2011/11/15	2011/11/22
28064	Credit Suisse AG – A50 China European Warrants Nov 2011 B	2011/11/15	2011/11/22
11787	Credit Suisse AG – GCL-Poly Energy European Warrants Nov 11	2011/11/15	2011/11/22
12601	Credit Suisse AG – HKEx European Warrants Nov 2011	2011/11/15	2011/11/22
11750	Credit Suisse AG – Ping An European Warrants Nov 2011 C	2011/11/15	2011/11/22
11746	Credit Suisse AG – Zoomlion European Warrants Nov 2011	2011/11/15	2011/11/22
29044	Deutsche Bank AG – Tencent Holdings European Wts Nov 2011	2011/11/15	2011/11/22
28556	Goldman Sachs SP (Asia) – PetroChina European Wts Nov 2011	2011/11/15	2011/11/22
27947	HK Bank – A50 China European Warrants Nov 2011	2011/11/15	2011/11/22
11781	HK Bank – Zijin Mining European Warrants Nov 2011	2011/11/15	2011/11/22
27872	J P Morgan SP BV – A50 China European Warrants Nov 2011	2011/11/15	2011/11/22
12888	J P Morgan SP BV – China Life European Warrants Nov 2011	2011/11/15	2011/11/22
13006	J P Morgan SP BV – HKEx European Warrants Nov 2011 A	2011/11/15	2011/11/22
24564	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Nov 2011	2011/11/15	2011/11/22
12419	KBC Fin Products Int'l Ltd. – China Life Euro Wts Nov 2011 A	2011/11/15	2011/11/22
11824	KBC Fin Products Int'l Ltd. – ICBC European Wts Nov 2011	2011/11/15	2011/11/22
24741	Macquarie Bank Ltd. – A50 China European Warrants Nov 2011	2011/11/15	2011/11/22
11797	Macquarie Bank Ltd. – Henganintl European Warrants Nov 2011	2011/11/15	2011/11/22
29637	Macquarie Bank Ltd. –GCL-Poly Energy European Wts Nov 2011	2011/11/15	2011/11/22
28585	Macquarie Bank Ltd. –Realgold Mining European Warrants Nov11	2011/11/15	2011/11/22
11376	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 11A	2011/11/15	2011/11/22
11589	Merrill Lynch Int'l & Co. C.V. – CHALCO Euro Wts Nov 2011	2011/11/15	2011/11/22
12793	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2011 A	2011/11/15	2011/11/22
28606	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2011 B	2011/11/15	2011/11/22
29381	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Nov 2011	2011/11/15	2011/11/22
13785	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2011 E	2011/11/15	2011/11/22
17841	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Nov 2011	2011/11/15	2011/11/22
29426	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Nov 2011	2011/11/15	2011/11/22
11476	Nomura Int'l plc – Alibaba European Warrants Nov 2011	2011/11/15	2011/11/22
11772	Nomura Int'l plc – AviChina European Warrants Nov 2011	2011/11/15	2011/11/22
29104	Nomura Int'l plc – Ch Com Service European Warrants Nov 2011	2011/11/15	2011/11/22
11172	RB of Scotland N.V. – CNOOC European Warrants Nov 2011	2011/11/15	2011/11/22
29736	RB of Scotland N.V. – HKEx European Warrants Nov 2011 B	2011/11/15	2011/11/22
23640	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 2011	2011/11/15	2011/11/22
11702	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 11A	2011/11/15	2011/11/22
11701	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 2011	2011/11/15	2011/11/22
11817	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Nov 11	2011/11/15	2011/11/22
11026	SGA Societe Generale Acceptance N.V. – COSCO Pac Eu Wt Nov11	2011/11/15	2011/11/22
11700	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11C	2011/11/15	2011/11/22
11708	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts Nov11	2011/11/15	2011/11/22
12975	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2011	2011/11/15	2011/11/22
10103	SGA Societe Generale Acceptance N.V. – Oil Eur Put Wt Nov 11	2011/11/15	2011/11/22
10099	SGA Societe Generale Acceptance N.V. – Oil Eur Wt Nov 2011 A	2011/11/15	2011/11/22
10100	SGA Societe Generale Acceptance N.V. – Oil Eur Wt Nov 2011 B	2011/11/15	2011/11/22
23304	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2011	2011/11/15	2011/11/22
16306	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Nov2011	2011/11/15	2011/11/22
11816	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Nov 11	2011/11/15	2011/11/22
28755	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Nov 11	2011/11/15	2011/11/22

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11703	SGA Societe Generale Acceptance N.V. – Zoomlion Eu Wt Nov11	2011/11/15	2011/11/22
11814	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts Nov11	2011/11/15	2011/11/22
27160	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Nov11	2011/11/15	2011/11/22
22195	SGA Societe Generale Acceptance NV-Ch Comservice Eu Wt Nov11	2011/11/15	2011/11/22
11813	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov11A	2011/11/15	2011/11/22
11812	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Nov 11	2011/11/15	2011/11/22
28660	Standard Chartered Bank – CHALCO European Warrants Nov 2011	2011/11/15	2011/11/22
11684	Standard Chartered Bank – Sinopec Corp European Wts Nov 2011	2011/11/15	2011/11/22
24279	UBS AG – A50 China European Warrants Nov 2011	2011/11/15	2011/11/22
12332	UBS AG – China Life European Warrants Nov 2011	2011/11/15	2011/11/22
12683	UBS AG – Sinopec Corp European Warrants Nov 2011	2011/11/15	2011/11/22
12842	UBS AG – Tencent Holdings European Warrants Nov 2011	2011/11/15	2011/11/22
27831	Citigroup Global Mkt H Inc. – Agile Ppt European Wts Nov2011	2011/11/16	2011/11/23
25930	HK Bank – HKEx European Warrants Nov 2011 C	2011/11/16	2011/11/23
29658	HK Bank – Shanghai Electric European Warrants Nov 2011	2011/11/16	2011/11/23
29870	J P Morgan SP BV – Agile Ppt European Warrants Nov 2011	2011/11/16	2011/11/23
27798	J P Morgan SP BV – Alibaba European Warrants Nov 2011	2011/11/16	2011/11/23
13894	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 A	2011/11/16	2011/11/23
11930	UBS AG – Tencent Holdings European Put Warrants Nov 2011	2011/11/16	2011/11/23
11361	Bank of East Asia – China Tel European Warrants Nov 2011	2011/11/17	2011/11/24
29236	Bank of East Asia – HSBC European Warrants Nov 2011	2011/11/17	2011/11/24
11362	Bank of East Asia – Shenhua European Warrants Nov 2011	2011/11/17	2011/11/24
11360	Bank of East Asia – Sinopec Corp European Warrants Nov 2011	2011/11/17	2011/11/24
12376	CC Rabobank B.A. – Evergrande European Warrants Nov 2011	2011/11/17	2011/11/24
12673	HK Bank – CC Bank European Warrants Nov 2011	2011/11/17	2011/11/24
12905	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Nov 2011	2011/11/17	2011/11/24
12959	J P Morgan SP BV – CNOOC European Warrants Nov 2011	2011/11/17	2011/11/24
13637	J P Morgan SP BV – Hutchison European Warrants Nov 2011 B	2011/11/17	2011/11/24
13750	J P Morgan SP BV – JX Copper European Warrants Nov 2011	2011/11/17	2011/11/24
12421	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Nov 2011 A	2011/11/17	2011/11/24
11069	KBC Fin Products Int'l Ltd. – CNOOC European Wts Nov 2011	2011/11/17	2011/11/24
11377	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 11B	2011/11/17	2011/11/24
12483	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Nov 2011	2011/11/17	2011/11/24
11595	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011A	2011/11/17	2011/11/24
13550	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 B	2011/11/17	2011/11/24
11836	SGA Societe Generale Acceptance N.V. – Lonking Euro Wt Nov11	2011/11/17	2011/11/24
11292	SGA Societe Generale Acceptance N.V. -Agile Ppt Eu Wts Nov11	2011/11/17	2011/11/24
11838	SGA Societe Generale Acceptance N.V. -Henganintl Eu Wt Nov11	2011/11/17	2011/11/24
12806	Standard Chartered Bank – CC Bank European Warrants Nov 11A	2011/11/17	2011/11/24
12913	UBS AG – CC Bank European Warrants Nov 2011	2011/11/17	2011/11/24
13257	UBS AG – Hutchison European Warrants Nov 2011 B	2011/11/17	2011/11/24
26376	BOCI Asia Ltd. – PetroChina European Warrants Nov 2011	2011/11/18	2011/11/25
11877	Citigroup Global Mkt H Inc. – BOCL European Wts Nov 2011	2011/11/18	2011/11/25
11866	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Nov 11	2011/11/18	2011/11/25
11881	J P Morgan SP BV – China Mob European Wts Nov 2011	2011/11/18	2011/11/25
11869	J P Morgan SP BV – Hutchison European Warrants Nov 2011	2011/11/18	2011/11/25
11870	J P Morgan SP BV – Hutchison European Warrants Nov 2011 A	2011/11/18	2011/11/25
11871	J P Morgan SP BV – ICBC European Warrants Nov 2011	2011/11/18	2011/11/25
12992	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Nov 2011	2011/11/18	2011/11/25
29249	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2011	2011/11/18	2011/11/25
12658	UBS AG – BOCL European Warrants Nov 2011	2011/11/18	2011/11/25
13451	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2011 A	2011/11/21	2011/11/28
12322	Citigroup Global Mkt H Inc. – Goldwind European Wts Nov11	2011/11/21	2011/11/28
28749	Citigroup Global Mkt H Inc. – R&F Ppt European Wts Nov 2011	2011/11/21	2011/11/28
12577	Credit Suisse AG – China Unicom European Put Warrants Nov 11	2011/11/21	2011/11/28
28545	Goldman Sachs SP (Asia) – China Unicom European Wts Nov 2011	2011/11/21	2011/11/28
11886	HK Bank – Datang Power European Warrants Nov 2011	2011/11/21	2011/11/28
29653	HK Bank – Dongfang Elec European Warrants Nov 2011	2011/11/21	2011/11/28
11887	HK Bank – Evergrande European Warrants Nov 2011	2011/11/21	2011/11/28
25938	HK Bank – R&F Ppt European Warrants Nov 2011	2011/11/21	2011/11/28
11897	HK Bank – SJM Holdings European Warrants Nov 2011	2011/11/21	2011/11/28
27927	J P Morgan SP BV – China Unicom European Warrants Nov 2011	2011/11/21	2011/11/28
11892	J P Morgan SP BV – CITIC Bank European Warrants Nov 2011 A	2011/11/21	2011/11/28
12894	J P Morgan SP BV – HSBC European Warrants Nov 2011 A	2011/11/21	2011/11/28
11891	J P Morgan SP BV – Lee & Man Paper European Warrants Nov 11	2011/11/21	2011/11/28
11882	J P Morgan SP BV – Minsheng Bank European Warrants Nov 2011	2011/11/21	2011/11/28
11893	J P Morgan SP BV – Shougang Res European Warrants Nov 2011	2011/11/21	2011/11/28
11894	J P Morgan SP BV – Tencent European Put Warrants Nov 2011	2011/11/21	2011/11/28
11890	J P Morgan SP BV – Zijin Mining European Warrants Nov 2011	2011/11/21	2011/11/28
29802	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Nov 11	2011/11/21	2011/11/28
12865	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Nov 2011	2011/11/21	2011/11/28
11765	Standard Chartered Bank – Agricultural Bk European Wts Nov11	2011/11/21	2011/11/28
13138	UBS AG – BYD European Warrants Nov 2011 A	2011/11/21	2011/11/28
11398	Barclays Bank plc – Agricultural Bank European Wts Nov 2011	2011/11/22	2011/11/29
23737	Barclays Bank plc – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
11400	Barclays Bank plc – CC Bank European Warrants Nov 2011	2011/11/22	2011/11/29
11956	Barclays Bank plc – China Life European Warrants Nov 2011	2011/11/22	2011/11/29
25900	Barclays Bank plc – Zijin Mining European Warrants Nov 2011	2011/11/22	2011/11/29
11954	Barclays Bank plc -Tencent Holdings European Warrants Nov 11	2011/11/22	2011/11/29
26109	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Nov 2011	2011/11/22	2011/11/29
11889	BOCI Asia Ltd. – Evergrande European Warrants Nov 2011	2011/11/22	2011/11/29
11464	CC Rabobank B.A. – AIA European Warrants Nov 2011	2011/11/22	2011/11/29
11759	CC Rabobank B.A. – CHALCO European Warrants Nov 2011	2011/11/22	2011/11/29
13265	CC Rabobank B.A. – Cheung Kong European Warrants Nov 2011	2011/11/22	2011/11/29
11758	CC Rabobank B.A. – China Liansu European Warrants Nov 2011	2011/11/22	2011/11/29
12375	CC Rabobank B.A. – China Life European Warrants Nov 2011	2011/11/22	2011/11/29
11760	CC Rabobank B.A. – Chinares Cement European Warrants Nov 11	2011/11/22	2011/11/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11763	CC Rabobank B.A. – CQRC Bank European Warrants Nov 2011	2011/11/22	2011/11/29
11757	CC Rabobank B.A. – GCL-Poly Energy European Wts Nov 2011	2011/11/22	2011/11/29
23402	Citigroup Global Mkt H Inc. – A50 China Euro Wts Nov 2011	2011/11/22	2011/11/29
29123	Citigroup Global Mkt H Inc. – HS Bank European Wts Nov 2011	2011/11/22	2011/11/29
27838	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Nov 2011	2011/11/22	2011/11/29
28102	Citigroup Global Mkt H Inc.-Alibaba European Warrants Nov 11	2011/11/22	2011/11/29
17182	Credit Suisse – Ping An European Warrants Nov 2011 A	2011/11/22	2011/11/29
13641	Credit Suisse AG – AIA European Put Warrants Nov 2011	2011/11/22	2011/11/29
12430	Credit Suisse AG – AIA European Warrants Nov 2011	2011/11/22	2011/11/29
23711	Credit Suisse AG – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
13615	Credit Suisse AG – CC Bank European Warrants Nov 2011	2011/11/22	2011/11/29
13477	Credit Suisse AG – China Mobile European Warrants Nov 2011	2011/11/22	2011/11/29
12715	Credit Suisse AG – CNBM European Warrants Nov 2011	2011/11/22	2011/11/29
12720	Credit Suisse AG – HSBC European Wts Nov 2011	2011/11/22	2011/11/29
13697	Credit Suisse AG – HSBC European Wts Nov 2011 A	2011/11/22	2011/11/29
13200	Credit Suisse AG – Jiangxi Copper European Wts Nov 2011	2011/11/22	2011/11/29
13154	Credit Suisse AG – Tencent Holdings European Wts Nov 2011	2011/11/22	2011/11/29
13616	Credit Suisse AG – Yanzhou Coal European Warrants Nov 2011	2011/11/22	2011/11/29
25269	Deutsche Bank AG – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
11196	Deutsche Bank AG – China Life European Warrants Nov 2011	2011/11/22	2011/11/29
27521	Goldman Sachs SP (Asia) – Alibaba European Warrants Nov 2011	2011/11/22	2011/11/29
28532	Goldman Sachs SP (Asia) – CNOOC European Warrants Nov 2011	2011/11/22	2011/11/29
28239	HK Bank – China Coal European Warrants Nov 2011 B	2011/11/22	2011/11/29
11725	HK Bank – China Life European Warrants Nov 2011	2011/11/22	2011/11/29
11912	HK Bank – China Shenhua European Warrants Nov 2011	2011/11/22	2011/11/29
25364	HK Bank – HKEx European Warrants Nov 2011 B	2011/11/22	2011/11/29
23705	HK Bank -BYD European Warrants Nov 2011	2011/11/22	2011/11/29
11895	J P Morgan SP BV – AIA European Warrants Nov 2011 A	2011/11/22	2011/11/29
26870	J P Morgan SP BV – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
23306	J P Morgan SP BV – CHALCO European Warrants Nov 2011	2011/11/22	2011/11/29
19732	J P Morgan SP BV – China Comservice European Warrants Nov 11	2011/11/22	2011/11/29
12890	J P Morgan SP BV – China Life European Warrants Nov 2011 A	2011/11/22	2011/11/29
12979	J P Morgan SP BV – China Life European Warrants Nov 2011 B	2011/11/22	2011/11/29
19735	J P Morgan SP BV – CITIC Bank European Warrants Nov 2011	2011/11/22	2011/11/29
11884	J P Morgan SP BV – CM Bank European Warrants Nov 2011	2011/11/22	2011/11/29
12892	J P Morgan SP BV – HSBC European Warrants Nov 2011	2011/11/22	2011/11/29
12989	J P Morgan SP BV – HSBC European Warrants Nov 2011 B	2011/11/22	2011/11/29
28470	J P Morgan SP BV – Tencent European Warrants Nov 2011	2011/11/22	2011/11/29
12904	J P Morgan SP BV – Tencent European Warrants Nov 2011 A	2011/11/22	2011/11/29
29369	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Nov 2011	2011/11/22	2011/11/29
11935	KBC Fin Products Int'l Ltd. – HS Bank Euro Put Wts Nov 2011	2011/11/22	2011/11/29
11931	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Nov 11	2011/11/22	2011/11/29
23735	Macquarie Bank Ltd. – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
11533	Merrill Lynch Int'l & Co. C.V. – AIA European Put Wts Nov11	2011/11/22	2011/11/29
11538	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Nov11	2011/11/22	2011/11/29
23700	Merrill Lynch Int'l & Co. C.V. – BYD European Warrants Nov11	2011/11/22	2011/11/29
29172	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2011	2011/11/22	2011/11/29
11916	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Nov 2011 A	2011/11/22	2011/11/29
25443	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Nov 11	2011/11/22	2011/11/29
11915	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2011	2011/11/22	2011/11/29
11606	Merrill Lynch Int'l & Co. C.V. – SPA European Wts Nov 2011	2011/11/22	2011/11/29
13545	Merrill Lynch Int'l & Co. C.V.-Hutchison Eu Put Wt Nov 2011C	2011/11/22	2011/11/29
13058	Nomura Int'l plc – CITIC P European Warrants Nov 2011	2011/11/22	2011/11/29
25681	Nomura Int'l plc – PetroChina European Warrants Nov 2011	2011/11/22	2011/11/29
11732	RB of Scotland N.V. – AIA European Warrants Nov 2011	2011/11/22	2011/11/29
23717	RB of Scotland N.V. – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
28157	RB of Scotland N.V. – China Coal European Warrants Nov 2011	2011/11/22	2011/11/29
28512	RB of Scotland N.V. – PetroChina European Warrants Nov 2011	2011/11/22	2011/11/29
12588	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Nov 2011	2011/11/22	2011/11/29
23732	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Nov 2011	2011/11/22	2011/11/29
29889	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011	2011/11/22	2011/11/29
11861	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011B	2011/11/22	2011/11/29
12879	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2011C	2011/11/22	2011/11/29
11545	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 11B	2011/11/22	2011/11/29
26684	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 B	2011/11/22	2011/11/29
11206	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 D	2011/11/22	2011/11/29
11857	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2011 B	2011/11/22	2011/11/29
28648	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Nov 11	2011/11/22	2011/11/29
29027	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Nov 11	2011/11/22	2011/11/29
11105	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov 11	2011/11/22	2011/11/29
27250	Standard Chartered Bank – AIA European Warrants Nov 2011	2011/11/22	2011/11/29
11621	Standard Chartered Bank – Anhui Conch European Wts Nov 2011	2011/11/22	2011/11/29
13690	Standard Chartered Bank – HSBC European Warrants Nov 2011 C	2011/11/22	2011/11/29
11418	UBS AG – AIA European Warrants Nov 2011	2011/11/22	2011/11/29
23689	UBS AG – BYD European Warrants Nov 2011	2011/11/22	2011/11/29
12546	UBS AG – China Life European Warrants Nov 2011 A	2011/11/22	2011/11/29
13862	UBS AG – China Life European Warrants Nov 2011 B	2011/11/22	2011/11/29
28575	UBS AG – China Unicom European Warrants Nov 2011	2011/11/22	2011/11/29
15593	UBS AG – HKEx European Warrants Nov 2011 A	2011/11/22	2011/11/29
28213	UBS AG – HKEx European Warrants Nov 2011 B	2011/11/22	2011/11/29
12762	UBS AG – HSBC European Warrants Nov 2011	2011/11/22	2011/11/29
13532	UBS AG – HSBC European Warrants Nov 2011 A	2011/11/22	2011/11/29
14675	UBS AG – Ping An European Warrants Nov 2011	2011/11/22	2011/11/29
28701	UBS AG – Shimao Ppt European Warrants Nov 2011	2011/11/22	2011/11/29
11911	Barclays Bank plc – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
11910	Barclays Bank plc – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
24085	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Nov 2011	2011/11/23	2011/11/30

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
12570	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2011	2011/11/23	2011/11/30
26565	BNP Paribas Arbit Issu B.V. – HSI European Wts Nov 2011	2011/11/23	2011/11/30
12324	BNP Paribas Arbit Issu B.V. – HSI European Wts Nov 2011 A	2011/11/23	2011/11/30
11888	BOCI Asia Ltd. –Tencent Holdings European Put Warrants Nov11	2011/11/23	2011/11/30
23729	CC Rabobank B.A. – BYD European Warrants Nov 2011	2011/11/23	2011/11/30
11463	CC Rabobank B.A. – Greatwall Motor European Wts Nov 2011	2011/11/23	2011/11/30
28792	CC Rabobank B.A. – MTRC European Warrants Nov 2011	2011/11/23	2011/11/30
29819	CC Rabobank B.A. – Tencent Holdings European Put Wts Nov 11	2011/11/23	2011/11/30
29954	CC Rabobank B.A. – United Lab European Warrants Nov 2011	2011/11/23	2011/11/30
25730	CC Rabobank B.A. – Zijin Mining European Warrants Nov 2011	2011/11/23	2011/11/30
13250	Citigroup Global Mkt H Inc. – HSI European Put Wts Nov 2011	2011/11/23	2011/11/30
13204	Credit Suisse AG – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
13611	Credit Suisse AG – HSI European Put Warrants Nov 2011 A	2011/11/23	2011/11/30
13612	Credit Suisse AG – HSI European Put Warrants Nov 2011 B	2011/11/23	2011/11/30
13696	Credit Suisse AG – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
28404	HK Bank – CNOOC European Warrants Nov 2011	2011/11/23	2011/11/30
11804	HK Bank – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
11805	HK Bank – HSI European Put Warrants Nov 2011 D	2011/11/23	2011/11/30
11209	HK Bank – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
11799	HK Bank – HSI European Warrants Nov 2011 A	2011/11/23	2011/11/30
11800	HK Bank – HSI European Warrants Nov 2011 B	2011/11/23	2011/11/30
11801	HK Bank – HSI European Warrants Nov 2011 C	2011/11/23	2011/11/30
29354	HK Bank – Li Ning European Warrants Nov 2011	2011/11/23	2011/11/30
29961	J P Morgan SP BV – AIA European Warrants Nov 2011	2011/11/23	2011/11/30
11186	J P Morgan SP BV – HKEx European Warrants Nov 2011	2011/11/23	2011/11/30
12962	J P Morgan SP BV – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
12963	J P Morgan SP BV – HSI European Put Warrants Nov 2011 A	2011/11/23	2011/11/30
12964	J P Morgan SP BV – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
13233	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011	2011/11/23	2011/11/30
13234	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Nov 2011 A	2011/11/23	2011/11/30
25923	Macquarie Bank Ltd. – Agile Ppt European Warrants Nov 2011	2011/11/23	2011/11/30
12571	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
12661	Macquarie Bank Ltd. – HSI European Put Warrants Nov 2011 A	2011/11/23	2011/11/30
12447	Macquarie Bank Ltd. – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
11795	Macquarie Bank Ltd. – Yurun Food European Warrants Nov 2011	2011/11/23	2011/11/30
11338	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Nov 2011	2011/11/23	2011/11/30
12778	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2011 B	2011/11/23	2011/11/30
11942	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011	2011/11/23	2011/11/30
11943	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011 C	2011/11/23	2011/11/30
12692	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2011 D	2011/11/23	2011/11/30
11940	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 A	2011/11/23	2011/11/30
11941	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 B	2011/11/23	2011/11/30
12728	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2011 E	2011/11/23	2011/11/30
11922	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Nov 11	2011/11/23	2011/11/30
11921	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts Nov 2011	2011/11/23	2011/11/30
27295	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants Nov 11	2011/11/23	2011/11/30
12707	Nomura Int'l plc – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
11583	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 11	2011/11/23	2011/11/30
12637	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Nov 11A	2011/11/23	2011/11/30
11859	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2011	2011/11/23	2011/11/30
11980	UBS AG – HSI European Put Warrants Nov 2011	2011/11/23	2011/11/30
12902	UBS AG – HSI European Put Warrants Nov 2011 C	2011/11/23	2011/11/30
13533	UBS AG – HSI European Put Warrants Nov 2011 D	2011/11/23	2011/11/30
12451	UBS AG – HSI European Warrants Nov 2011	2011/11/23	2011/11/30
12685	UBS AG – HSI European Warrants Nov 2011 A	2011/11/23	2011/11/30
12899	UBS AG – HSI European Warrants Nov 2011 B	2011/11/23	2011/11/30
11925	Citigroup Global Mkt H Inc. – CC Bank European Wts Nov 2011	2011/11/24	2011/12/01
11928	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Nov 2011	2011/11/24	2011/12/01
26144	Citigroup Global Mkt H Inc. – ChiUnicom European Wts Nov 11	2011/11/24	2011/12/01
11867	Citigroup Global Mkt H Inc. – Evergrande European Wts Nov 11	2011/11/24	2011/12/01
11927	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Nov11A	2011/11/24	2011/12/01
11929	Citigroup Global Mkt H Inc. – Kerry Ppt European Wts Nov 11	2011/11/24	2011/12/01
11850	Citigroup Global Mkt H Inc. – Lonking European Wts Nov11	2011/11/24	2011/12/01
11865	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Nov 11	2011/11/24	2011/12/01
11926	Citigroup Global Mkt H Inc. – SJM Holdings European Wt Nov11	2011/11/24	2011/12/01
11852	Citigroup Global Mkt H Inc. – Zoomlion European Wts Nov11	2011/11/24	2011/12/01
13077	Credit Suisse AG – AIA European Warrants Nov 2011 A	2011/11/24	2011/12/01
29670	Goldman Sachs SP (Asia) -Sands China European Wts Nov 2011	2011/11/24	2011/12/01
11965	HK Bank – Lonking European Warrants Nov 2011	2011/11/24	2011/12/01
27538	Macquarie Bank Ltd. – C Transmission European Warrants Nov11	2011/11/24	2011/12/01
11752	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Nov 2011 A	2011/11/24	2011/12/01
14201	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2011 B	2011/11/24	2011/12/01
11768	Nomura Int'l plc – Anhui Conch European Warrants Nov 2011	2011/11/24	2011/12/01
25680	Nomura Int'l plc – China Unicom European Warrants Nov 2011	2011/11/24	2011/12/01
28075	Nomura Int'l plc – CNOOC European Warrants Nov 2011	2011/11/24	2011/12/01
12752	Nomura Int'l plc – CNOOC European Warrants Nov 2011 A	2011/11/24	2011/12/01
25678	Nomura Int'l plc – HKEx European Warrants Nov 2011	2011/11/24	2011/12/01
11468	Nomura Int'l plc – HKEx European Warrants Nov 2011 A	2011/11/24	2011/12/01
11625	Nomura Int'l plc – Hutchison European Warrants Nov 2011	2011/11/24	2011/12/01
11467	Nomura Int'l plc – JIANG European Warrants Nov 2011	2011/11/24	2011/12/01
13059	Nomura Int'l plc – Tencent Holdings Euro Warrants Nov 2011	2011/11/24	2011/12/01
11766	Nomura Int'l plc – Wharf European Warrants Nov 2011	2011/11/24	2011/12/01
11770	Nomura Int'l plc – Zoomlion European Warrants Nov 2011 A	2011/11/24	2011/12/01
29421	RB of Scotland N.V. – HKEx European Warrants Nov 2011 A	2011/11/24	2011/12/01
12972	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Nov 2011	2011/11/24	2011/12/01
12700	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2011	2011/11/24	2011/12/01
11966	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 11B	2011/11/24	2011/12/01

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11709	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Nov 2011	2011/11/24	2011/12/01
25572	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2011	2011/11/24	2011/12/01
11711	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Nov 11	2011/11/24	2011/12/01
12883	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2011 A	2011/11/24	2011/12/01
12844	Standard Chartered Bank – China Mobile Euro Wts Nov 2011	2011/11/24	2011/12/01
11637	Standard Chartered Bank – CNBM European Warrants Nov 2011	2011/11/24	2011/12/01
11297	Standard Chartered Bank – HSBC European Warrants Nov 2011 B	2011/11/24	2011/12/01
29207	Standard Chartered Bank – Hutchison European Wts Nov 2011	2011/11/24	2011/12/01
12681	Standard Chartered Bank – Tencent Hldg European Wts Nov 2011	2011/11/24	2011/12/01
26640	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2011	2011/11/25	2011/12/02
11993	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2011	2011/11/25	2011/12/02
11992	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2011	2011/11/25	2011/12/02
12320	Citigroup Global Mkt H Inc. – ZTE European Warrants Dec 2011	2011/11/25	2011/12/02
29570	Deutsche Bank AG – AIA European Warrants Dec 2011	2011/11/25	2011/12/02
11688	HK Bank – Chi Taiping European Warrants Dec 2011	2011/11/25	2011/12/02
11687	HK Bank – Sinofert European Warrants Dec 2011	2011/11/25	2011/12/02
12906	J P Morgan SP BV – BOCL European Warrants Dec 2011	2011/11/25	2011/12/02
12303	J P Morgan SP BV – China Life European Warrants Dec 2011	2011/11/25	2011/12/02
11550	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11A	2011/11/25	2011/12/02
29404	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 2011	2011/11/25	2011/12/02
11969	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011 A	2011/11/25	2011/12/02
11679	KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wt Dec11A	2011/11/25	2011/12/02
11680	KBC Fin Products Int'l Ltd. – China Unicom Euro Wts Dec 2011	2011/11/25	2011/12/02
28974	KBC Fin Products Int'l Ltd. – CMB European Warrants Dec 2011	2011/11/25	2011/12/02
11936	KBC Fin Products Int'l Ltd. – HS Bank European Wts Dec 2011A	2011/11/25	2011/12/02
11844	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 11A	2011/11/25	2011/12/02
11843	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Dec 2011	2011/11/25	2011/12/02
28054	KBC Fin Products Int'l Ltd. – Swire Pacific A Euro Wts Dec11	2011/11/25	2011/12/02
11973	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Dec 11	2011/11/25	2011/12/02
11692	KBC Fin Products Int'l Ltd. –AIA European Put Warrants Dec11	2011/11/25	2011/12/02
11792	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec 11	2011/11/25	2011/12/02
12775	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Dec 2011	2011/11/25	2011/12/02
11378	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Euro Wts Dec 2011	2011/11/25	2011/12/02
11284	Standard Chartered Bank – BOCL European Warrants Dec 2011	2011/11/25	2011/12/02
27999	Standard Chartered Bank – China Life European Wts Dec 2011 A	2011/11/25	2011/12/02
28015	Standard Chartered Bank – China Shenhua Euro Wts Dec 2011	2011/11/25	2011/12/02
11660	Standard Chartered Bank – CTel European Warrants Dec 2011	2011/11/25	2011/12/02
11273	Standard Chartered Bank – HSBC European Put Warrants Dec 11	2011/11/25	2011/12/02
28048	Standard Chartered Bank – Hutchison European Wts Dec 2011	2011/11/25	2011/12/02
26522	Standard Chartered Bank – Sinopec Corp European Wts Dec 2011	2011/11/25	2011/12/02
12801	UBS AG – HKEx European Warrants Dec 2011 E	2011/11/25	2011/12/02
29120	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Dec 11	2011/11/28	2011/12/05
27621	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Dec 11	2011/11/28	2011/12/05
29968	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2011	2011/11/28	2011/12/05
12939	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2011 A	2011/11/28	2011/12/05
11507	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 11A	2011/11/28	2011/12/05
27653	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 2011	2011/11/28	2011/12/05
27580	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2011 B	2011/11/28	2011/12/05
11639	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2011 A	2011/11/28	2011/12/05
25589	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Dec 2011	2011/11/28	2011/12/05
29973	BNP Paribas Arbit Issu B.V. – China Mengniu Euro Wts Dec 11	2011/11/28	2011/12/05
29506	BNP Paribas Arbit Issu B.V. – CSI European Warrants Dec 2011	2011/11/28	2011/12/05
11520	BNP Paribas Arbit Issu B.V. – Evergrande European Wts Dec 11	2011/11/28	2011/12/05
29045	BNP Paribas Arbit Issu B.V. – Galaxy Ent European Wts Dec11	2011/11/28	2011/12/05
28807	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2011	2011/11/28	2011/12/05
28655	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2011	2011/11/28	2011/12/05
12329	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2011 A	2011/11/28	2011/12/05
28858	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2011	2011/11/28	2011/12/05
11530	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2011 A	2011/11/28	2011/12/05
25590	BNP Paribas Arbit Issu B.V. – Shimao Ppt European Wts Dec 11	2011/11/28	2011/12/05
29650	BNP Paribas Arbit Issu B.V. – Sinofert European Wts Dec 2011	2011/11/28	2011/12/05
25098	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Dec 11	2011/11/28	2011/12/05
11523	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Euro Wts Dec11	2011/11/28	2011/12/05
11555	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Dec11	2011/11/28	2011/12/05
29138	BNP Paribas Arbit Issu B.V. – ZTE European Warrants Dec 2011	2011/11/28	2011/12/05
12864	BOCI Asia Ltd. – China Mobile European Warrants Dec 2011	2011/11/28	2011/12/05
11170	CC Rabobank B.A. – CC Bank European Warrants Dec 2011	2011/11/28	2011/12/05
11109	Citigroup Global Mkt H Inc. – HKEx European Wts Dec 2011	2011/11/28	2011/12/05
11949	Macquarie Bank Ltd. – Agricultural Bk European Put Wt Dec 11	2011/11/28	2011/12/05
11713	Macquarie Bank Ltd. – AIA European Warrants Dec 2011	2011/11/28	2011/12/05
11914	Macquarie Bank Ltd. – Angang Steel European Wts Dec 2011	2011/11/28	2011/12/05
11900	Macquarie Bank Ltd. – BankComm European Warrants Dec 2011	2011/11/28	2011/12/05
11794	Macquarie Bank Ltd. – BYD European Warrants Dec 2011	2011/11/28	2011/12/05
27590	Macquarie Bank Ltd. – CHALCO European Warrants Dec 2011	2011/11/28	2011/12/05
11950	Macquarie Bank Ltd. – China Life European Put Wts Dec 2011	2011/11/28	2011/12/05
11558	Macquarie Bank Ltd. – China Mengniu European Wts Dec 2011	2011/11/28	2011/12/05
11987	Macquarie Bank Ltd. – China Mobile European Wts Dec 2011	2011/11/28	2011/12/05
11683	Macquarie Bank Ltd. – China Railway European Wts Dec 2011	2011/11/28	2011/12/05
11754	Macquarie Bank Ltd. – China Tel European Warrants Dec 2011	2011/11/28	2011/12/05
24592	Macquarie Bank Ltd. – China Unicom European Put Wts Dec 2011	2011/11/28	2011/12/05
24932	Macquarie Bank Ltd. – China Unicom European Wts Dec 2011	2011/11/28	2011/12/05
11829	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2011 A	2011/11/28	2011/12/05
28379	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2011	2011/11/28	2011/12/05
28145	Macquarie Bank Ltd. – Digital China European Warrants Dec 11	2011/11/28	2011/12/05
11584	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2011	2011/11/28	2011/12/05
25295	Macquarie Bank Ltd. – HKEx European Warrants Dec 2011	2011/11/28	2011/12/05
11855	Macquarie Bank Ltd. – ICBC European Warrants Dec 2011	2011/11/28	2011/12/05



## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11585	Macquarie Bank Ltd. – Sands China European Warrants Dec 2011	2011/11/28	2011/12/05
12355	Macquarie Bank Ltd. – Shougang Res European Warrants Dec 11	2011/11/28	2011/12/05
11899	Macquarie Bank Ltd. – Tencent European Put Warrants Dec 11A	2011/11/28	2011/12/05
29190	Macquarie Bank Ltd. – Tencent European Put Warrants Dec 2011	2011/11/28	2011/12/05
29340	Macquarie Bank Ltd. – Tencent European Warrants Dec 2011	2011/11/28	2011/12/05
11798	Macquarie Bank Ltd. – Yanzhou Coal European Wts Dec 2011	2011/11/28	2011/12/05
11904	Standard Chartered Bank – CC Bank European Warrants Dec 2011	2011/11/28	2011/12/05
26842	Standard Chartered Bank – CHALCO European Warrants Dec 2011	2011/11/28	2011/12/05
11906	Standard Chartered Bank – GCL-Poly Energy European Wts Dec11	2011/11/28	2011/12/05
25767	Standard Chartered Bank – HKEx European Warrants Dec 2011A	2011/11/28	2011/12/05
22793	Barclays Bank plc – CC Bank European Warrants Dec 2011	2011/11/29	2011/12/06
25542	Barclays Bank plc – HKEx European Warrants Dec 2011	2011/11/29	2011/12/06
27087	BNP Paribas Arbit Issu B.V. – AIA European Warrants Dec 2011	2011/11/29	2011/12/06
17085	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2011 A	2011/11/29	2011/12/06
29938	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Dec 2011	2011/11/29	2011/12/06
28736	BNP Paribas Arbit Issu B.V. – China Unicom European Wt Dec11	2011/11/29	2011/12/06
29526	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Dec 2011	2011/11/29	2011/12/06
28593	BNP Paribas Arbit Issu B.V.-CM Bank European Warrants Dec 11	2011/11/29	2011/12/06
28201	BOCI Asia Ltd. – Alibaba European Warrants Dec 2011	2011/11/29	2011/12/06
26965	BOCI Asia Ltd. – BOC HK European Warrants Dec 2011	2011/11/29	2011/12/06
26356	BOCI Asia Ltd. – BYD European Warrants Dec 2011	2011/11/29	2011/12/06
11996	BOCI Asia Ltd. – China Railway European Warrants Dec 2011	2011/11/29	2011/12/06
11997	BOCI Asia Ltd. – Lonking European Warrants Dec 2011	2011/11/29	2011/12/06
28562	BOCI Asia Ltd. – RUSAL European Warrants Dec 2011	2011/11/29	2011/12/06
11945	CC Rabobank B.A. – Ch Rongsheng European Warrants Dec 2011	2011/11/29	2011/12/06
27132	CC Rabobank B.A. – China Agri European Warrants Dec 2011	2011/11/29	2011/12/06
12373	CC Rabobank B.A. – CITIC Pacific European Warrants Dec 2011	2011/11/29	2011/12/06
11947	CC Rabobank B.A. – Zhaojin Mining European Warrants Dec 2011	2011/11/29	2011/12/06
11944	CC Rabobank B.A. – Zijin Mining European Warrants Dec 2011	2011/11/29	2011/12/06
11413	Citigroup Global Mkt H Inc. – AIA European Warrants Dec 2011	2011/11/29	2011/12/06
23548	Citigroup Global Mkt H Inc. – BYD European Warrants Dec 2011	2011/11/29	2011/12/06
12409	Citigroup Global Mkt H Inc. – Ch Rongsheng European Wt Dec11	2011/11/29	2011/12/06
11854	Citigroup Global Mkt H Inc. – CNBM European Warrants Dec 11	2011/11/29	2011/12/06
11853	Citigroup Global Mkt H Inc. – Esprit European Wts Dec 2011	2011/11/29	2011/12/06
11501	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec11	2011/11/29	2011/12/06
13436	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2011 A	2011/11/29	2011/12/06
12722	Credit Suisse AG – BOCL European Warrants Dec 2011	2011/11/29	2011/12/06
12334	Credit Suisse AG – Cheung Kong European Warrants Dec 2011	2011/11/29	2011/12/06
24942	Credit Suisse AG – China Mobile European Warrants Dec 2011	2011/11/29	2011/12/06
13728	Credit Suisse AG – HKEx European Warrants Dec 2011 B	2011/11/29	2011/12/06
13576	Credit Suisse AG – Hutchison European Warrants Dec 2011	2011/11/29	2011/12/06
19737	Deutsche Bank AG – CC Bank European Warrants Dec 2011	2011/11/29	2011/12/06
27431	Deutsche Bank AG – China Agri European Warrants Dec 2011 B	2011/11/29	2011/12/06
25329	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2011 A	2011/11/29	2011/12/06
12387	HK Bank – GCL-Poly Energy European Warrants Dec 2011 A	2011/11/29	2011/12/06
18230	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2011	2011/11/29	2011/12/06
24955	J P Morgan SP BV – China Mob European Wts Dec 2011	2011/11/29	2011/12/06
12908	J P Morgan SP BV – HKEx European Put Wts Dec 2011 A	2011/11/29	2011/12/06
12893	J P Morgan SP BV – HSBC European Warrants Dec 2011 A	2011/11/29	2011/12/06
27646	J P Morgan SP BV – KECL European Warrants Dec 2011	2011/11/29	2011/12/06
12405	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2011	2011/11/29	2011/12/06
13103	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011 B	2011/11/29	2011/12/06
12397	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Dec 2011	2011/11/29	2011/12/06
12390	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011	2011/11/29	2011/12/06
12391	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 A	2011/11/29	2011/12/06
12393	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 C	2011/11/29	2011/12/06
13617	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011 B	2011/11/29	2011/12/06
12403	KBC Fin Products Int'l Ltd. – ICBC European Wts Dec 2011	2011/11/29	2011/12/06
11974	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Dec11A	2011/11/29	2011/12/06
12365	Macquarie Bank Ltd. – Anhui Conch European Warrants Dec 2011	2011/11/29	2011/12/06
29274	Macquarie Bank Ltd. – BOCHK European Warrants Dec 2011	2011/11/29	2011/12/06
25298	Macquarie Bank Ltd. – China Coal European Warrants Dec 2011	2011/11/29	2011/12/06
23506	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011	2011/11/29	2011/12/06
11983	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011	2011/11/29	2011/12/06
28979	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 B	2011/11/29	2011/12/06
29173	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 C	2011/11/29	2011/12/06
12370	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Dec 2011	2011/11/29	2011/12/06
12756	Nomura Int'l plc – AIA European Warrants Dec 2011	2011/11/29	2011/12/06
11482	Nomura Int'l plc – BOC HK European Warrants Dec 2011	2011/11/29	2011/12/06
12746	Nomura Int'l plc – Cheung Kong European Warrants Dec 2011	2011/11/29	2011/12/06
26887	Nomura Int'l plc – China Agri European Warrants Dec 2011	2011/11/29	2011/12/06
12759	Nomura Int'l plc – China Life European Warrants Dec 2011 A	2011/11/29	2011/12/06
23295	Nomura Int'l plc – China Mobile European Warrants Dec 2011	2011/11/29	2011/12/06
29102	Nomura Int'l plc – KECL European Warrants Dec 2011	2011/11/29	2011/12/06
28237	RB of Scotland N.V. – Alibaba European Warrants Dec 2011	2011/11/29	2011/12/06
13152	RB of Scotland N.V. – China Life European Wts Dec 2011	2011/11/29	2011/12/06
24018	RB of Scotland N.V. – China Mobile European Wts Dec 2011	2011/11/29	2011/12/06
11908	RB of Scotland N.V. – HKEx European Warrants Dec 2011	2011/11/29	2011/12/06
29679	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Dec 2011	2011/11/29	2011/12/06
17509	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011	2011/11/29	2011/12/06
11860	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011	2011/11/29	2011/12/06
12346	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011A	2011/11/29	2011/12/06
13425	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec 11	2011/11/29	2011/12/06
11901	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2011 A	2011/11/29	2011/12/06
11837	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Dec 2011	2011/11/29	2011/12/06
14244	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Dec11	2011/11/29	2011/12/06
24941	Standard Chartered Bank – China Mobile European Wts Dec 2011	2011/11/29	2011/12/06

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
12684	UBS AG – AIA European Warrants Dec 2011	2011/11/29	2011/12/06
12333	UBS AG – HKEx European Put Warrants Dec 2011	2011/11/29	2011/12/06
24484	UBS AG – HKEx European Warrants Dec 2011 A	2011/11/29	2011/12/06
11276	UBS AG – HKEx European Warrants Dec 2011 B	2011/11/29	2011/12/06
12449	UBS AG – HKEx European Warrants Dec 2011 C	2011/11/29	2011/12/06
13026	UBS AG – HSBC European Warrants Dec 2011 A	2011/11/29	2011/12/06
13464	UBS AG – Hutchison European Warrants Dec 2011 B	2011/11/29	2011/12/06
10108	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 11C	2011/11/30	2011/12/07
10012	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 2011	2011/11/30	2011/12/07
10008	BNP Paribas Arbit Issu B.V. – Gold European Warrants Dec 11A	2011/11/30	2011/12/07
10022	BNP Paribas Arbit Issu B.V. – Gold European Warrants Dec 11B	2011/11/30	2011/12/07
29329	HK Bank – Country Garden European Warrants Dec 2011	2011/11/30	2011/12/07
12415	Macquarie Bank Ltd. – Ch Rongsheng European Warrants Dec 11	2011/11/30	2011/12/07
12869	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 11A	2011/11/30	2011/12/07
13956	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011 E	2011/11/30	2011/12/07
12644	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011 B	2011/11/30	2011/12/07
12646	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 E	2011/11/30	2011/12/07
29815	Standard Chartered Bank – HKEx European Warrants Dec 2011 B	2011/11/30	2011/12/07
13256	UBS AG – Agricultural Bank European Warrants Dec 2011 A	2011/11/30	2011/12/07
28793	BNP Paribas Arbit Issu B.V. – RUSAL European Warrants Dec 11	2011/12/01	2011/12/08
13099	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec2011A	2011/12/01	2011/12/08
12422	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11B	2011/12/01	2011/12/08
12423	KBC Fin Products Int'l Ltd. – AIA European Warrants Dec 11C	2011/12/01	2011/12/08
12424	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011 C	2011/12/01	2011/12/08
12426	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2011 D	2011/12/01	2011/12/08
12416	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Dec 2011	2011/12/01	2011/12/08
13963	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11F	2011/12/01	2011/12/08
12645	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 D	2011/12/01	2011/12/08
29073	HK Bank – AIA European Warrants Dec 2011	2011/12/02	2011/12/09
13859	J P Morgan SP BV – China Life European Warrants Dec 2011 C	2011/12/02	2011/12/09
12453	KBC Fin Products Int'l Ltd. – BOCL European Wts Dec 2011	2011/12/02	2011/12/09
11975	KBC Fin Products Int'l Ltd. – HKEx European Warrants Dec 11A	2011/12/02	2011/12/09
28029	KBC Fin Products Int'l Ltd. – KECL European Put Wts Dec 2011	2011/12/02	2011/12/09
29493	KBC Fin Products Int'l Ltd. – Lenovo Euro Put Wts Dec 2011	2011/12/02	2011/12/09
29488	KBC Fin Products Int'l Ltd. – Lenovo European Wts Dec 2011	2011/12/02	2011/12/09
29492	KBC Fin Products Int'l Ltd. – Poly European Put Wts Dec 2011	2011/12/02	2011/12/09
29926	Standard Chartered Bank-China Life European Wts Dec 2011 B	2011/12/02	2011/12/09
12366	UBS AG – BYD European Warrants Dec 2011	2011/12/02	2011/12/09
12438	CC Rabobank B.A. – GCL-Poly Energy European Wts Dec 2011	2011/12/05	2011/12/12
12437	CC Rabobank B.A. – Shougang Res European Warrants Dec 2011	2011/12/05	2011/12/12
12498	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2011	2011/12/05	2011/12/12
10082	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Dec 2011 C	2011/12/05	2011/12/12
10102	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Dec 2011 D	2011/12/05	2011/12/12
10078	Deutsche Bank AG – Nikkei 225 Index European Wts Dec 2011 A	2011/12/05	2011/12/12
10098	Deutsche Bank AG – Nikkei 225 Index European Wts Dec 2011 B	2011/12/05	2011/12/12
10076	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Dec 2011	2011/12/05	2011/12/12
10107	Goldman Sachs SP (Asia) – N225 Index Euro Put Wts Dec 2011 A	2011/12/05	2011/12/12
10074	Goldman Sachs SP (Asia) – N225 Index Euro Wts Dec 2011	2011/12/05	2011/12/12
10105	Goldman Sachs SP (Asia) – N225 Index Euro Wts Dec 2011 B	2011/12/05	2011/12/12
12478	HK Bank – HWL European Warrants Dec 2011	2011/12/05	2011/12/12
12903	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2011 A	2011/12/05	2011/12/12
13091	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011	2011/12/05	2011/12/12
12553	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 C	2011/12/05	2011/12/12
13198	RB of Scotland N.V. – HWL European Warrants Dec 2011	2011/12/05	2011/12/12
13172	Standard Chartered Bank – CC Bank Euro Warrants Dec 2011 B	2011/12/05	2011/12/12
12476	Standard Chartered Bank – ICBC European Warrants Dec 2011	2011/12/05	2011/12/12
12800	UBS AG – CC Bank European Warrants Dec 2011 A	2011/12/05	2011/12/12
23440	Barclays Bank plc – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
23933	Barclays Bank plc – HSBC European Warrants Dec 2011	2011/12/06	2011/12/13
10111	BNP Paribas Arbit Issu B.V. – EUR/USD European Put Wts Dec11	2011/12/06	2011/12/13
29936	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Dec 2011	2011/12/06	2011/12/13
28777	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Dec 11	2011/12/06	2011/12/13
10113	BNP Paribas Arbit Issu B.V. – USD/YEN European Put Wts Dec 11	2011/12/06	2011/12/13
10112	BNP Paribas Arbit Issu B.V. -EUR/USD European Warrants Dec11	2011/12/06	2011/12/13
10114	BNP Paribas Arbit Issu B.V. -USD/YEN European Warrants Dec11	2011/12/06	2011/12/13
24903	BOCI Asia Ltd. – Agricultural Bk European Warrants Dec 2011	2011/12/06	2011/12/13
28202	BOCI Asia Ltd. – Belle Int'l European Warrants Dec 2011	2011/12/06	2011/12/13
24816	BOCI Asia Ltd. – Ch Agri-Ind European Warrants Dec 2011	2011/12/06	2011/12/13
12652	BOCI Asia Ltd. – HKEx European Put Warrants Dec 2011	2011/12/06	2011/12/13
24714	BOCI Asia Ltd. – HKEx European Warrants Dec 2011	2011/12/06	2011/12/13
11495	CC Rabobank B.A. – BOCL European Warrants Dec 2011	2011/12/06	2011/12/13
17401	CC Rabobank B.A. – CC Bank European Warrants Dec 2011	2011/12/06	2011/12/13
12482	CC Rabobank B.A. – Chow Sang Sang European Warrants Dec 2011	2011/12/06	2011/12/13
29575	CC Rabobank B.A. – COSCO Pacific European Warrants Dec 2011	2011/12/06	2011/12/13
12515	CC Rabobank B.A. – Henganintl European Warrants Dec 2011	2011/12/06	2011/12/13
12480	CC Rabobank B.A. – Hutchison European Warrants Dec 2011	2011/12/06	2011/12/13
12517	CC Rabobank B.A. – Poly HK Inv European Warrants Dec 2011	2011/12/06	2011/12/13
12516	CC Rabobank B.A. – Soho China European Warrants Dec 2011	2011/12/06	2011/12/13
12524	Citigroup Global Mkt H Inc. – Anhui Conch European Wts Dec11	2011/12/06	2011/12/13
12321	Citigroup Global Mkt H Inc. – COSCO Pacific Euro Wts Dec 11	2011/12/06	2011/12/13
12495	Citigroup Global Mkt H Inc. – CPIC European Warrants Dec 11	2011/12/06	2011/12/13
12496	Citigroup Global Mkt H Inc. – Galaxy Ent European Wts Dec11A	2011/12/06	2011/12/13
12497	Citigroup Global Mkt H Inc. – Lenovo European Warrants Dec11	2011/12/06	2011/12/13
12523	Citigroup Global Mkt H Inc. – SPDR Gold T European Wts Dec11	2011/12/06	2011/12/13
17314	Credit Suisse – CC Bank European Warrants Dec 2011	2011/12/06	2011/12/13
13076	Credit Suisse AG – Agricultural Bank European Wts Dec 2011	2011/12/06	2011/12/13
14103	Credit Suisse AG – Agricultural Bank European Wts Dec 2011 A	2011/12/06	2011/12/13

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
13356	Credit Suisse AG – China Tel European Warrants Dec 2011	2011/12/06	2011/12/13
28372	Credit Suisse AG – CNOOC European Warrants Dec 2011	2011/12/06	2011/12/13
12602	Credit Suisse AG – HKEx European Warrants Dec 2011	2011/12/06	2011/12/13
24584	Credit Suisse AG – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
22971	Credit Suisse AG – HSBC European Wts Dec 2011	2011/12/06	2011/12/13
28277	Deutsche Bank AG – CNOOC European Warrants Dec 2011	2011/12/06	2011/12/13
22378	Deutsche Bank AG – HSBC European Warrants Dec 2011	2011/12/06	2011/12/13
28110	Deutsche Bank AG – KECL European Warrants Dec 2011	2011/12/06	2011/12/13
27943	Goldman Sachs SP (Asia) – Belle Int'l European Wts Dec 2011	2011/12/06	2011/12/13
25735	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2011 B	2011/12/06	2011/12/13
28592	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2011	2011/12/06	2011/12/13
11426	HK Bank – GCL-Poly Energy European Warrants Dec 2011	2011/12/06	2011/12/13
11187	J P Morgan SP BV – HKEx European Put Wts Dec 2011	2011/12/06	2011/12/13
24295	J P Morgan SP BV – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
24386	J P Morgan SP BV – HSBC European Warrants Dec 2011	2011/12/06	2011/12/13
24800	KBC Fin Products Int'l Ltd. – BOC HK European Wts Dec 2011	2011/12/06	2011/12/13
13328	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Dec 2011	2011/12/06	2011/12/13
11358	KBC Fin Products Int'l Ltd. – New World Euro Put Wt Dec 2011	2011/12/06	2011/12/13
12521	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Dec 2011	2011/12/06	2011/12/13
12519	KBC Fin Products Int'l Ltd. – Ping An European Wts Dec 2011	2011/12/06	2011/12/13
27932	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2011	2011/12/06	2011/12/13
23474	Macquarie Bank Ltd. – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
13589	Merrill Lynch Int'l & Co. C.V. – Ag Bk Euro Wts Dec 2011 B	2011/12/06	2011/12/13
29423	Merrill Lynch Int'l & Co. C.V. – AIA European Warrants Dec11	2011/12/06	2011/12/13
25436	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Dec 2011	2011/12/06	2011/12/13
11810	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11D	2011/12/06	2011/12/13
12993	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Dec 2011 A	2011/12/06	2011/12/13
23479	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 A	2011/12/06	2011/12/13
23453	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2011	2011/12/06	2011/12/13
12872	Merrill Lynch Int'l & Co. C.V. – ICBC European Put Wts Dec11	2011/12/06	2011/12/13
23455	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011	2011/12/06	2011/12/13
13064	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011	2011/12/06	2011/12/13
13065	Nomura Int'l plc – Agricultural Bk Euro Warrants Dec 2011 A	2011/12/06	2011/12/13
24489	Nomura Int'l plc – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
29543	Nomura Int'l plc – L'Occitane European Warrants Dec 2011	2011/12/06	2011/12/13
12875	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Dec 2011	2011/12/06	2011/12/13
12463	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2011	2011/12/06	2011/12/13
23023	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec2011A	2011/12/06	2011/12/13
28400	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2011	2011/12/06	2011/12/13
24134	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011 A	2011/12/06	2011/12/13
25596	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011 B	2011/12/06	2011/12/13
12348	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2011 B	2011/12/06	2011/12/13
27366	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Dec 11	2011/12/06	2011/12/13
12469	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Dec 11	2011/12/06	2011/12/13
14243	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec 11A	2011/12/06	2011/12/13
12805	Standard Chartered Bank – Tencent Hldg European Wts Dec 2011	2011/12/06	2011/12/13
14667	UBS AG – CC Bank European Warrants Dec 2011	2011/12/06	2011/12/13
15870	UBS AG – Ch Molybdenum European Warrants Dec 2011	2011/12/06	2011/12/13
23163	UBS AG – China Mobile European Warrants Dec 2011	2011/12/06	2011/12/13
13304	UBS AG – China Tel European Warrants Dec 2011	2011/12/06	2011/12/13
12654	UBS AG – China Unicom European Warrants Dec 2011	2011/12/06	2011/12/13
29498	UBS AG – CM Bank European Warrants Dec 2011	2011/12/06	2011/12/13
12431	UBS AG – GCL-Poly Energy European Warrants Dec 2011	2011/12/06	2011/12/13
23321	UBS AG – HSBC European Put Warrants Dec 2011	2011/12/06	2011/12/13
28798	UBS AG – KECL European Warrants Dec 2011	2011/12/06	2011/12/13
13908	UBS AG – Shanghai Electric European Warrants Dec 2011	2011/12/06	2011/12/13
12724	UBS AG – Tencent Holdings European Warrants Dec 2011	2011/12/06	2011/12/13
12527	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Dec 2011	2011/12/07	2011/12/14
12868	Merrill Lynch Int'l & Co. C.V. – Ag Bk European Wts Dec 2011	2011/12/07	2011/12/14
27631	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 B	2011/12/07	2011/12/14
12542	UBS AG – China Mobile European Warrants Dec 2011 A	2011/12/07	2011/12/14
29080	CC Rabobank B.A. – C Transmission European Warrants Dec 2011	2011/12/08	2011/12/15
13135	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2011 A	2011/12/08	2011/12/15
29519	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Dec 2011	2011/12/08	2011/12/15
11830	HK Bank – PICC European Warrants Dec 2011	2011/12/08	2011/12/15
27704	J P Morgan SP BV – Shimao Property European Wts Dec 2011	2011/12/08	2011/12/15
29782	Macquarie Bank Ltd. – Alibaba European Warrants Dec 2011	2011/12/08	2011/12/15
28146	Macquarie Bank Ltd. – China Overseas European Wts Dec 2011	2011/12/08	2011/12/15
28942	Macquarie Bank Ltd. – Shimao Ppt European Warrants Dec 2011	2011/12/08	2011/12/15
12776	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Dec 2011	2011/12/08	2011/12/15
27461	Nomura Int'l plc – Chi Res Land European Warrants Dec 2011	2011/12/08	2011/12/15
26414	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Dec 11A	2011/12/08	2011/12/15
12878	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 B	2011/12/08	2011/12/15
13086	Standard Chartered Bank – CNOOC European Warrants Dec 2011	2011/12/08	2011/12/15
12799	UBS AG – BYD European Warrants Dec 2011 B	2011/12/08	2011/12/15
11272	BOCI Asia Ltd. – Tracker Fund European Put Warrants Dec 2011	2011/12/09	2011/12/16
12565	HK Bank – BOCL European Warrants Dec 2011	2011/12/09	2011/12/16
11968	KBC Fin Products Int'l Ltd. – China Life Euro Wts Dec 2011	2011/12/09	2011/12/16
29377	KBC Fin Products Int'l Ltd. – China Unicom Eur Put Wts Dec11	2011/12/09	2011/12/16
11675	KBC Fin Products Int'l Ltd. – HKEx European Warrants Dec 11	2011/12/09	2011/12/16
12392	KBC Fin Products Int'l Ltd. – HSBC European Wts Dec 2011 B	2011/12/09	2011/12/16
13132	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Dec 2011	2011/12/09	2011/12/16
28022	KBC Fin Products Int'l Ltd. – KECL European Wts Dec 2011	2011/12/09	2011/12/16
13186	KBC Fin Products Int'l Ltd.-Tencent Holdings Eu Wt Dec 2011B	2011/12/09	2011/12/16
29883	Macquarie Bank Ltd. – KECL European Warrants Dec 2011	2011/12/09	2011/12/16
12867	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011A	2011/12/09	2011/12/16
13148	CC Rabobank B.A. – CC Bank European Warrants Dec 2011 A	2011/12/12	2011/12/19

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29351	CC Rabobank B.A. – HKEx European Warrants Dec 2011A	2011/12/12	2011/12/19
27568	HK Bank – CM Bank European Warrants Dec 2011	2011/12/12	2011/12/19
11007	HK Bank – CNBM European Warrants Dec 2011	2011/12/12	2011/12/19
11964	HK Bank – ICBC European Put Warrants Dec 2011	2011/12/12	2011/12/19
12382	HK Bank –BYD European Warrants Dec 2011	2011/12/12	2011/12/19
13096	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Dec 2011	2011/12/12	2011/12/19
12595	Macquarie Bank Ltd. – CC Bank European Put Warrants Dec 2011	2011/12/12	2011/12/19
12591	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2011	2011/12/12	2011/12/19
12593	Macquarie Bank Ltd. – Maanshan Iron European Wts Dec 2011	2011/12/12	2011/12/19
12691	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2011 D	2011/12/12	2011/12/19
12760	Nomura Int'l plc – CC Bank European Put Warrants Dec 2011	2011/12/12	2011/12/19
12753	Nomura Int'l plc – CC Bank European Warrants Dec 2011	2011/12/12	2011/12/19
12754	Nomura Int'l plc –CITIC Bank European Warrants Dec 2011	2011/12/12	2011/12/19
28509	RB of Scotland N.V. – CNOOC European Warrants Dec 2011	2011/12/12	2011/12/19
23114	Barclays Bank plc – China Mobile European Wts Dec 2011	2011/12/13	2011/12/20
11952	Barclays Bank plc – HSBC European Warrants Dec 2011 A	2011/12/13	2011/12/20
25092	BOCI Asia Ltd. – China Mengniu European Warrants Dec 2011	2011/12/13	2011/12/20
28134	BOCI Asia Ltd. – CNOOC European Warrants Dec 2011	2011/12/13	2011/12/20
12988	BOCI Asia Ltd. – HKEx European Warrants Dec 2011 A	2011/12/13	2011/12/20
22695	BOCI Asia Ltd. – HSBC European Warrants Dec 2011	2011/12/13	2011/12/20
29429	CC Rabobank B.A. – CNOOC European Warrants Dec 2011	2011/12/13	2011/12/20
17069	CC Rabobank B.A. – HSBC European Warrants Dec 2011	2011/12/13	2011/12/20
29324	CC Rabobank B.A. – SHK Ppt European Warrants Dec 2011	2011/12/13	2011/12/20
12534	CC Rabobank B.A. – Ting Yi European Warrants Dec 2011	2011/12/13	2011/12/20
11502	CC Rabobank B.A. – Westchinacement European Warrants Dec 11	2011/12/13	2011/12/20
26090	Citigroup Global Mkt H Inc. – A50 China Euro Wts Dec 2011	2011/12/13	2011/12/20
11503	Citigroup Global Mkt H Inc. – BBMG European Warrants Dec 11	2011/12/13	2011/12/20
26394	Citigroup Global Mkt H Inc. – CMOOC European Warrants Dec 11	2011/12/13	2011/12/20
13255	Citigroup Global Mkt H Inc. – ICBC European Wts Dec 2011 A	2011/12/13	2011/12/20
23759	Citigroup Global Mkt H Inc. – Swrie Pacific A Euro Wts Dec11	2011/12/13	2011/12/20
11222	Citigroup Global Mkt H Inc. – Yanzhou Coal Euro Put Wt Dec11	2011/12/13	2011/12/20
11962	Credit Suisse AG – ChiUnicom European Warrants Dec 2011 A	2011/12/13	2011/12/20
13800	Credit Suisse AG – Hutchison European Warrants Dec 2011 A	2011/12/13	2011/12/20
13156	Credit Suisse AG – ICBC European Warrants Dec 2011	2011/12/13	2011/12/20
12581	Credit Suisse AG – Lonking European Warrants Dec 2011	2011/12/13	2011/12/20
12714	Credit Suisse AG – PICC European Warrants Dec 2011	2011/12/13	2011/12/20
27695	Credit Suisse AG – Ping An European Warrants Dec 2011	2011/12/13	2011/12/20
12579	Credit Suisse AG – Zoomlion European Warrants Dec 2011	2011/12/13	2011/12/20
26391	Deutsche Bank AG – Zijin Mining European Warrants Dec 2011	2011/12/13	2011/12/20
26223	Deutsche Bank AG – Ch LongYuan European Warrants Dec 2011 B	2011/12/13	2011/12/20
25500	Deutsche Bank AG – China Agri European Warrants Dec 2011 A	2011/12/13	2011/12/20
22892	Deutsche Bank AG – China Mobile European Warrants Dec 2011	2011/12/13	2011/12/20
28416	Deutsche Bank AG – COSCO Pacific European Warrants Dec 2011	2011/12/13	2011/12/20
27577	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2011	2011/12/13	2011/12/20
28631	Goldman Sachs SP (Asia) -Ch Overseas European Warrants Dec11	2011/12/13	2011/12/20
12611	HK Bank – Agricultural Bank European Warrants Dec 2011	2011/12/13	2011/12/20
13999	HK Bank – China Tel European Warrants Dec 2011	2011/12/13	2011/12/20
29654	HK Bank – Foxconn European Warrants Dec 2011	2011/12/13	2011/12/20
12891	J P Morgan SP BV – Hutchison European Warrants Dec 2011	2011/12/13	2011/12/20
12895	J P Morgan SP BV – ICBC European Warrants Dec 2011	2011/12/13	2011/12/20
25793	J P Morgan SP BV – Zijin Mining European Warrants Dec 2011 A	2011/12/13	2011/12/20
11232	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Dec 2011	2011/12/13	2011/12/20
13100	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Dec2011B	2011/12/13	2011/12/20
13225	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Dec 2011 D	2011/12/13	2011/12/20
12613	Macquarie Bank Ltd. – CITIC Bank European Warrants Dec 2011	2011/12/13	2011/12/20
29331	Macquarie Bank Ltd. – LOccitane European Warrants Dec 2011	2011/12/13	2011/12/20
29389	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2011	2011/12/13	2011/12/20
11986	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Dec 2011	2011/12/13	2011/12/20
11806	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2011 C	2011/12/13	2011/12/20
14381	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt Dec 2011	2011/12/13	2011/12/20
11447	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2011	2011/12/13	2011/12/20
28983	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2011	2011/12/13	2011/12/20
22219	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Put Wts Dec 11	2011/12/13	2011/12/20
13786	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 A	2011/12/13	2011/12/20
13541	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wt Dec 2011	2011/12/13	2011/12/20
12866	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2011	2011/12/13	2011/12/20
13644	Merrill Lynch Int'l & Co. C.V. – Minsheng Bk Eu Wts Dec 2011	2011/12/13	2011/12/20
13789	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2011 A	2011/12/13	2011/12/20
28843	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2011	2011/12/13	2011/12/20
25344	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2011	2011/12/13	2011/12/20
11717	Merrill Lynch Int'l & Co. C.V. – Wharf European Wts Dec11	2011/12/13	2011/12/20
13783	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Wts Dec 2011	2011/12/13	2011/12/20
29762	Nomura Int'l plc – BOCL European Warrants Dec 2011	2011/12/13	2011/12/20
29749	Nomura Int'l plc – Ch Com Service European Warrants Dec 2011	2011/12/13	2011/12/20
11470	Nomura Int'l plc – China Telecom European Warrants Dec 2011	2011/12/13	2011/12/20
29761	Nomura Int'l plc – CPIC European Warrants Dec 2011	2011/12/13	2011/12/20
21597	Nomura Int'l plc – HSBC European Warrants Dec 2011	2011/12/13	2011/12/20
13068	Nomura Int'l plc – ICBC European Warrants Dec 2011 A	2011/12/13	2011/12/20
29541	Nomura Int'l plc – Xinyi Glass European Put Wts Dec 2011	2011/12/13	2011/12/20
11169	RB of Scotland N.V. – A50 China European Warrants Dec 2011	2011/12/13	2011/12/20
13753	RB of Scotland N.V. – Ping An European Warrants Dec 2011	2011/12/13	2011/12/20
13851	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011C	2011/12/13	2011/12/20
11698	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011 C	2011/12/13	2011/12/20
23097	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Dec 11	2011/12/13	2011/12/20
22462	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2011	2011/12/13	2011/12/20
13551	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011 A	2011/12/13	2011/12/20
28295	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Dec 11	2011/12/13	2011/12/20

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
25672	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2011A	2011/12/13	2011/12/20
25845	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2011B	2011/12/13	2011/12/20
27166	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Dec11	2011/12/13	2011/12/20
13221	Standard Chartered Bank – ICBC European Warrants Dec 2011 B	2011/12/13	2011/12/20
27883	Standard Chartered Bank – Ping An European Warrants Dec 2011	2011/12/13	2011/12/20
27113	Standard Chartered Bank – SHK P European Warrants Dec 2011	2011/12/13	2011/12/20
12618	UBS AG – Agricultural Bank European Warrants Dec 2011	2011/12/13	2011/12/20
12617	UBS AG – BYD European Warrants Dec 2011 A	2011/12/13	2011/12/20
28122	UBS AG – CNOOC European Warrants Dec 2011	2011/12/13	2011/12/20
15550	UBS AG – HSBC European Warrants Dec 2011	2011/12/13	2011/12/20
12583	UBS AG – ICBC European Warrants Dec 2011	2011/12/13	2011/12/20
27651	UBS AG – Ping An European Warrants Dec 2011	2011/12/13	2011/12/20
26493	UBS AG – SHK Ppt European Warrants Dec 2011	2011/12/13	2011/12/20
13732	CC Rabobank B.A. – Minsheng Bank European Wts Dec 2011	2011/12/14	2011/12/21
12633	Credit Suisse – Cheung Kong European Put Warrants Dec 2011	2011/12/14	2011/12/21
11741	Credit Suisse AG – ChiUnicom European Warrants Dec 2011	2011/12/14	2011/12/21
12764	Credit Suisse AG – HKEx European Warrants Dec 2011 A	2011/12/14	2011/12/21
12634	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2011	2011/12/14	2011/12/21
12631	Credit Suisse AG – SHK Ppt European Warrants Dec 2011	2011/12/14	2011/12/21
11390	HK Bank – China Life European Warrants Dec 2011	2011/12/14	2011/12/21
11341	HK Bank – Lonking European Warrants Dec 2011	2011/12/14	2011/12/21
27881	J P Morgan SP BV – Zijin Mining European Warrants Dec 2011 B	2011/12/14	2011/12/21
29567	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011 B	2011/12/14	2011/12/21
29448	KBC Fin Products Int'l Ltd. – CITIC P European Wts Dec 2011	2011/12/14	2011/12/21
11793	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Dec 2011	2011/12/14	2011/12/21
24703	Macquarie Bank Ltd. – China Agri European Warrants Dec 2011	2011/12/14	2011/12/21
13452	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2011	2011/12/14	2011/12/21
25024	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11B	2011/12/14	2011/12/21
12994	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 A	2011/12/14	2011/12/21
12551	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2011 A	2011/12/14	2011/12/21
29757	Nomura Int'l plc – CSR European Warrants Dec 2011	2011/12/14	2011/12/21
13069	Nomura Int'l plc – ICBC European Warrants Dec 2011 B	2011/12/14	2011/12/21
13071	Nomura Int'l plc – Minsheng Bank European Warrants Dec 2011	2011/12/14	2011/12/21
25739	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Dec11	2011/12/15	2011/12/22
13201	Credit Suisse AG – Anhui Conch European Warrants Dec 2011	2011/12/15	2011/12/22
13075	Credit Suisse AG – CC Bank European Warrants Dec 2011 A	2011/12/15	2011/12/22
25601	Deutsche Bank AG – China Mobile European Put Wts Dec 2011	2011/12/15	2011/12/22
26918	HK Bank – A50 China European Put Warrants Dec 2011	2011/12/15	2011/12/22
24762	HK Bank – Ch LongYuan European Warrants Dec 2011 A	2011/12/15	2011/12/22
27731	J P Morgan SP BV – China Mob European Put Warrants Dec 2011	2011/12/15	2011/12/22
13092	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Dec 2011 A	2011/12/15	2011/12/22
23803	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Dec 2011 A	2011/12/15	2011/12/22
29913	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Dec 2011	2011/12/15	2011/12/22
11674	KBC Fin Products Int'l Ltd. – CNOOC European Wts Dec 2011	2011/12/15	2011/12/22
23511	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Dec 11A	2011/12/15	2011/12/22
28227	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2011	2011/12/15	2011/12/22
24308	RB of Scotland N.V. – China Mobile European Put Wts Dec11	2011/12/15	2011/12/22
26415	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Dec 11B	2011/12/15	2011/12/22
27158	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wts Dec 11	2011/12/15	2011/12/22
27595	SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Dec 11	2011/12/15	2011/12/22
12635	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Dec 2011	2011/12/15	2011/12/22
29919	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Dec 2011	2011/12/15	2011/12/22
11818	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Dec 2011	2011/12/15	2011/12/22
11022	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Dec 2011	2011/12/15	2011/12/22
24997	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Dec 11	2011/12/15	2011/12/22
29475	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts Dec2011	2011/12/15	2011/12/22
28723	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Dec 2011	2011/12/15	2011/12/22
29549	SGA Societe Generale Acceptance N.V. – CSR Euro Wts Dec 2011	2011/12/15	2011/12/22
12468	SGA Societe Generale Acceptance N.V. – Lonking Euro Wt Dec11	2011/12/15	2011/12/22
27167	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Dec 11	2011/12/15	2011/12/22
25852	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Dec 11	2011/12/15	2011/12/22
13145	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Dec2011	2011/12/15	2011/12/22
28998	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Dec11	2011/12/15	2011/12/22
26588	SGA Societe Generale Acceptance N.V.-Ch LongYuan Eu Wt Dec11	2011/12/15	2011/12/22
27220	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Dec11	2011/12/15	2011/12/22
27212	SGA Societe Generale Acceptance N.V.-Shimao Ppt Eu Wt Dec 11	2011/12/15	2011/12/22
28726	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Dec 11	2011/12/15	2011/12/22
27161	SGA Societe Generale Acceptance N.V.-Chi Res Land Eu Wt Dec11	2011/12/15	2011/12/22
11245	Standard Chartered Bank – A50 China European Warrants Dec 11	2011/12/15	2011/12/22
13048	UBS AG – China Life European Warrants Dec 2011 A	2011/12/15	2011/12/22
13638	UBS AG – Tencent Holdings European Warrants Dec 2011 A	2011/12/15	2011/12/22
11106	BNP Paribas Arbit Issu B.V. – China Overseas Eur Wt Dec 2011	2011/12/16	2011/12/23
27511	Credit Suisse AG – Ch Comm Cons European Warrants Dec 2011	2011/12/16	2011/12/23
13357	Credit Suisse AG – China Mobile European Warrants Dec 2011 A	2011/12/16	2011/12/23
29399	HK Bank – Dongfeng Motor European Warrants Dec 2011	2011/12/16	2011/12/23
12675	HK Bank – ICBC European Warrants Dec 2011	2011/12/16	2011/12/23
27610	HK Bank – KECL European Warrants Dec 2011	2011/12/16	2011/12/23
27790	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2011	2011/12/16	2011/12/23
13089	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Dec 2011 B	2011/12/16	2011/12/23
12520	KBC Fin Products Int'l Ltd. – Ping An European Wts Dec 2011A	2011/12/16	2011/12/23
24231	Macquarie Bank Ltd. – Lee & Man Paper European Wts Dec 2011	2011/12/16	2011/12/23
22216	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 A	2011/12/16	2011/12/23
12723	UBS AG – HKEx European Warrants Dec 2011 D	2011/12/16	2011/12/23
29237	Bank of East Asia – AIA European Warrants Dec 2011	2011/12/19	2011/12/28
28720	Bank of East Asia – BOCHK European Put Warrants Dec 2011	2011/12/19	2011/12/28
28268	Bank of East Asia – China Overseas European Warrants Dec 11	2011/12/19	2011/12/28
28717	Bank of East Asia – CITIC Pacific European Warrants Dec 2011	2011/12/19	2011/12/28

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
28267	Bank of East Asia – CNOOC European Warrants Dec 2011	2011/12/19	2011/12/28
28269	Bank of East Asia – HKEx European Warrants Dec 2011	2011/12/19	2011/12/28
28270	Bank of East Asia – JIANC European Warrants Dec 2011	2011/12/19	2011/12/28
28718	Bank of East Asia – Tencent Holdings European Warrants Dec11	2011/12/19	2011/12/28
18045	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Dec 2011	2011/12/19	2011/12/28
24715	BOCI Asia Ltd. – KECL European Warrants Dec 2011	2011/12/19	2011/12/28
11490	BOCI Asia Ltd. – Tencent Holdings European Warrants Dec 2011	2011/12/19	2011/12/28
24117	CC Rabobank B.A. – Ch Mobile European Put Wts Dec 2011	2011/12/19	2011/12/28
11005	CC Rabobank B.A. – Tencent Holdings European Wts Dec 2011	2011/12/19	2011/12/28
11221	Citigroup Global Mkt H Inc. – Ch Overseas Euro Put Wt Dec11	2011/12/19	2011/12/28
11130	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Dec 2011	2011/12/19	2011/12/28
12408	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2011	2011/12/19	2011/12/28
13080	Citigroup Global Mkt H Inc. – HKEx European Wts Dec 2011 A	2011/12/19	2011/12/28
11190	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wts Dec 2011	2011/12/19	2011/12/28
23896	Credit Suisse AG – China Mobile European Put Warrants Dec 11	2011/12/19	2011/12/28
12721	Credit Suisse AG – EvergrandeEuropean Warrants Dec 2011	2011/12/19	2011/12/28
25707	Credit Suisse AG – Zijin Mining European Warrants Dec 2011	2011/12/19	2011/12/28
27574	HK Bank – Zijin Mining European Warrants Dec 2011	2011/12/19	2011/12/28
12889	J P Morgan SP BV – China Life European Warrants Dec 2011 A	2011/12/19	2011/12/28
13858	J P Morgan SP BV – China Life European Warrants Dec 2011 B	2011/12/19	2011/12/28
12917	J P Morgan SP BV – Esprit European Wts Dec 2011	2011/12/19	2011/12/28
13431	KBC Fin Products Int'l Ltd. – BYD European Warrants Dec 2011	2011/12/19	2011/12/28
24576	KBC Fin Products Int'l Ltd. – HS Bank European Wts Dec 2011	2011/12/19	2011/12/28
12699	Macquarie Bank Ltd. – Hunan Nonferrous European Wts Dec 2011	2011/12/19	2011/12/28
12698	Macquarie Bank Ltd. – ZTE European Warrants Dec 2011	2011/12/19	2011/12/28
14002	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2011 B	2011/12/19	2011/12/28
13060	Nomura Int'l plc – CC Bank European Warrants Dec 2011 A	2011/12/19	2011/12/28
13067	Nomura Int'l plc – ICBC European Warrants Dec 2011	2011/12/19	2011/12/28
29532	Nomura Int'l plc – Tingyi European Warrants Dec 2011	2011/12/19	2011/12/28
12812	UBS AG – CC Bank European Warrants Dec 2011 B	2011/12/19	2011/12/28
13694	UBS AG – China Shenhua European Warrants Dec 2011	2011/12/19	2011/12/28
13110	UBS AG – CNOOC European Warrants Dec 2011 A	2011/12/19	2011/12/28
12725	UBS AG – SPDR Gold Trust European Warrants Dec 2011	2011/12/19	2011/12/28
12316	Barclays Bank plc – CC Bank European Put Warrants Dec 2011	2011/12/20	2011/12/29
25897	Barclays Bank plc – Ch LongYuan European Warrants Dec 2011	2011/12/20	2011/12/29
13022	Barclays Bank plc – China Life European Warrants Dec 2011	2011/12/20	2011/12/29
12317	Barclays Bank plc – China Mobile European Put Wts Dec 2011	2011/12/20	2011/12/29
11955	Barclays Bank plc – CNOOC European Put Warrants Dec 2011	2011/12/20	2011/12/29
27885	Barclays Bank plc – CNOOC European Warrants Dec 2011	2011/12/20	2011/12/29
12315	Barclays Bank plc – CPIC European Warrants Dec 2011	2011/12/20	2011/12/29
26210	Barclays Bank plc – SHK Ppt European Put Warrants Dec 2011	2011/12/20	2011/12/29
12313	Barclays Bank plc – SJM Holdings European Warrants Dec 2011	2011/12/20	2011/12/29
29088	BOCI Asia Ltd. – A50 China European Warrants Dec 2011	2011/12/20	2011/12/29
28097	BOCI Asia Ltd. – Ch Overseas European Warrants Dec 2011	2011/12/20	2011/12/29
28133	BOCI Asia Ltd. – CM Bank European Warrants Dec 2011	2011/12/20	2011/12/29
12653	BOCI Asia Ltd. – HSBC European Put Warrants Dec 2011	2011/12/20	2011/12/29
28099	BOCI Asia Ltd. – Zijin Mining European Warrants Dec 2011	2011/12/20	2011/12/29
13124	CC Rabobank B.A. – Esprit European Warrants Dec 2011 A	2011/12/20	2011/12/29
23844	Citigroup Global Mkt H Inc. – HS Bank European Wts Dec 2011	2011/12/20	2011/12/29
26875	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2011	2011/12/20	2011/12/29
21923	Citigroup Global Mkt H Inc. – Yue Yuen Ind European Wt Dec11	2011/12/20	2011/12/29
13158	Credit Suisse AG – BOCL European Warrants Dec 2011 A	2011/12/20	2011/12/29
26266	Deutsche Bank AG – BBMG European Warrants Dec 2011	2011/12/20	2011/12/29
25073	Deutsche Bank AG – Ch LongYuan European Warrants Dec 2011 A	2011/12/20	2011/12/29
23261	Deutsche Bank AG – HSBC European Put Warrants Dec 2011	2011/12/20	2011/12/29
25748	Deutsche Bank AG – SHK Ppt European Warrants Dec 2011	2011/12/20	2011/12/29
25846	HK Bank – A50 China European Warrants Dec 2011	2011/12/20	2011/12/29
25719	HK Bank – Ch LongYuan European Warrants Dec 2011 B	2011/12/20	2011/12/29
12787	HK Bank – China Shenhua European Warrants Dec 2011	2011/12/20	2011/12/29
28810	HK Bank – CPIC European Warrants Dec 2011	2011/12/20	2011/12/29
12672	HK Bank – Maanshan Iron European Warrants Dec 2011	2011/12/20	2011/12/29
12786	HK Bank – Minsheng Bank European Warrants Dec 2011	2011/12/20	2011/12/29
12803	HK Bank – Sinopec Corp European Warrants Dec 2011	2011/12/20	2011/12/29
12737	HK Bank – SJM Holdings European Warrants Dec 2011	2011/12/20	2011/12/29
29356	HK Bank – Yurun Food European Warrants Dec 2011	2011/12/20	2011/12/29
25175	HK Bank – Zhaojin Mining European Warrants Dec 2011	2011/12/20	2011/12/29
25792	J P Morgan SP BV – A50 China European Warrants Dec 2011	2011/12/20	2011/12/29
13427	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2011	2011/12/20	2011/12/29
13095	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Dec 2011	2011/12/20	2011/12/29
29917	KBC Fin Products Int'l Ltd. – China Coal Euro Put Wts Dec 11	2011/12/20	2011/12/29
25533	Macquarie Bank Ltd. – New World Dev European Wts Dec 2011	2011/12/20	2011/12/29
25492	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2011	2011/12/20	2011/12/29
12643	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2011 A	2011/12/20	2011/12/29
12995	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2011 B	2011/12/20	2011/12/29
22213	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Dec 2011	2011/12/20	2011/12/29
12648	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2011 A	2011/12/20	2011/12/29
13388	Nomura Int'l plc – China Shenhua European Warrants Dec 2011	2011/12/20	2011/12/29
13072	Nomura Int'l plc – CPIC European Warrants Dec 2011 A	2011/12/20	2011/12/29
12749	Nomura Int'l plc – JIANC European Warrants Dec 2011	2011/12/20	2011/12/29
12750	Nomura Int'l plc – Sinopec European Warrants Dec 2011	2011/12/20	2011/12/29
13375	Nomura Int'l plc – Henderson Land Dev Euro Warrants Dec 2011	2011/12/20	2011/12/29
13153	RB of Scotland N.V. – CNOOC European Warrants Dec 2011 A	2011/12/20	2011/12/29
10115	RB of Scotland N.V. – Gold European Put Warrants Dec 2011	2011/12/20	2011/12/29
25331	RB of Scotland N.V. – SHK P European Warrants Dec 2011	2011/12/20	2011/12/29
12877	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2011 A	2011/12/20	2011/12/29
27119	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Dec 2011A	2011/12/20	2011/12/29
27255	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Dec 2011B	2011/12/20	2011/12/29

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
29300	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 11B	2011/12/20	2011/12/29
12880	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2011B	2011/12/20	2011/12/29
11699	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2011A	2011/12/20	2011/12/29
12874	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2011	2011/12/20	2011/12/29
13718	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2011	2011/12/20	2011/12/29
11579	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Dec 11	2011/12/20	2011/12/29
12719	Standard Chartered Bank – ICBC European Warrants Dec 2011 A	2011/12/20	2011/12/29
25705	UBS AG – Ch LongYuan European Warrants Dec 2011	2011/12/20	2011/12/29
12769	UBS AG – China Life European Warrants Dec 2011	2011/12/20	2011/12/29
12771	UBS AG – Hutchison European Warrants Dec 2011	2011/12/20	2011/12/29
12802	UBS AG – Hutchison European Warrants Dec 2011 A	2011/12/20	2011/12/29
12659	UBS AG – ICBC European Warrants Dec 2011 A	2011/12/20	2011/12/29
13661	UBS AG – Tencent Holdings European Warrants Dec 2011 B	2011/12/20	2011/12/29
12311	Barclays Bank plc – ChiUnicom European Warrants Dec 2011	2011/12/21	2011/12/30
11608	Barclays Bank plc – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
13217	Barclays Bank plc – HSI European Warrants Dec 2011 A	2011/12/21	2011/12/30
27850	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2011	2011/12/21	2011/12/30
12330	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2011	2011/12/21	2011/12/30
27705	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2011	2011/12/21	2011/12/30
23725	BOCI Asia Ltd. – HS Bank European Warrants Dec 2011	2011/12/21	2011/12/30
12379	BOCI Asia Ltd. – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
29428	CC Rabobank B.A. – AIA European Warrants Dec 2011	2011/12/21	2011/12/30
12559	CC Rabobank B.A. – Ch Rongsheng European Warrants Dec 2011 A	2011/12/21	2011/12/30
29181	CC Rabobank B.A. – CSR European Warrants Dec 2011 B	2011/12/21	2011/12/30
23786	CC Rabobank B.A. – CSR European Warrants Dec 2011A	2011/12/21	2011/12/30
11719	CC Rabobank B.A. – Esprit European Warrants Dec 2011	2011/12/21	2011/12/30
29820	CC Rabobank B.A. – HKEx European Warrants Dec 2011 B	2011/12/21	2011/12/30
11348	CC Rabobank B.A. – SJM Holdings European Warrants Dec 2011	2011/12/21	2011/12/30
28540	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Dec 2011	2011/12/21	2011/12/30
11831	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Dec 2011	2011/12/21	2011/12/30
13247	Citigroup Global Mkt H Inc. – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
13765	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2011 A	2011/12/21	2011/12/30
13205	Credit Suisse AG – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
13613	Credit Suisse AG – HSI European Warrants Dec 2011 A	2011/12/21	2011/12/30
13726	Credit Suisse AG – HSI European Warrants Dec 2011 B	2011/12/21	2011/12/30
13727	Credit Suisse AG – HSI European Warrants Dec 2011 C	2011/12/21	2011/12/30
13798	Credit Suisse AG – HSI European Warrants Dec 2011 D	2011/12/21	2011/12/30
28501	Deutsche Bank AG – HSI European Put Warrants Dec 2011	2011/12/21	2011/12/30
28497	Deutsche Bank AG – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
24094	HK Bank – China Mobile European Put Warrants Dec 2011	2011/12/21	2011/12/30
12344	HK Bank – Esprit European Warrants Dec 2011	2011/12/21	2011/12/30
12566	HK Bank – HSCEI European Put Warrants Dec 2011	2011/12/21	2011/12/30
25114	HK Bank – HSCEI European Warrants Dec 2011 A	2011/12/21	2011/12/30
29925	HK Bank – HSCEI European Warrants Dec 2011 B	2011/12/21	2011/12/30
29937	HK Bank – HSCEI European Warrants Dec 2011 C	2011/12/21	2011/12/30
29931	HK Bank – HSI European Put Warrants Dec 2011 D	2011/12/21	2011/12/30
29932	HK Bank – HSI European Put Warrants Dec 2011 E	2011/12/21	2011/12/30
28303	HK Bank – HSI European Warrants Dec 2011 A	2011/12/21	2011/12/30
28304	HK Bank – HSI European Warrants Dec 2011 B	2011/12/21	2011/12/30
29927	HK Bank – HSI European Warrants Dec 2011 C	2011/12/21	2011/12/30
11802	HK Bank – HSI European Warrants Dec 2011 F	2011/12/21	2011/12/30
12817	HK Bank – HSI European Warrants Dec 2011 H	2011/12/21	2011/12/30
12819	HK Bank – HSI European Warrants Dec 2011 I	2011/12/21	2011/12/30
12818	HK Bank – HSI European Warrants Dec 2011 J	2011/12/21	2011/12/30
22065	HK Bank – Sinotrans Ship European Warrants Dec 2011	2011/12/21	2011/12/30
12630	HK Bank – Zijin Mining European Warrants Dec 2011 A	2011/12/21	2011/12/30
11873	J P Morgan SP BV – AIA European Warrants Dec 2011	2011/12/21	2011/12/30
27786	J P Morgan SP BV – Belle Int'l European Warrants Dec 2011	2011/12/21	2011/12/30
24251	J P Morgan SP BV – China Agri European Warrants Dec 2011	2011/12/21	2011/12/30
11517	J P Morgan SP BV – China Unicom European Warrants Dec 2011	2011/12/21	2011/12/30
12965	J P Morgan SP BV – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
23339	J P Morgan SP BV – Lee & Man Paper European Warrants Dec2011	2011/12/21	2011/12/30
11872	J P Morgan SP BV – Stanchart European Warrants Dec 2011	2011/12/21	2011/12/30
11651	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2011	2011/12/21	2011/12/30
11652	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Dec 2011 A	2011/12/21	2011/12/30
11653	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Dec 2011	2011/12/21	2011/12/30
12428	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Dec 2011 B	2011/12/21	2011/12/30
11659	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011	2011/12/21	2011/12/30
12458	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011 B	2011/12/21	2011/12/30
13235	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Dec 2011 C	2011/12/21	2011/12/30
11648	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011	2011/12/21	2011/12/30
11649	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 A	2011/12/21	2011/12/30
13287	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 D	2011/12/21	2011/12/30
13292	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 E	2011/12/21	2011/12/30
13806	KBC Fin Products Int'l Ltd. – HSI European Wts Dec 2011 F	2011/12/21	2011/12/30
11668	Macquarie Bank Ltd. – CNOOC European Put Warrants Dec 2011	2011/12/21	2011/12/30
11288	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Dec 2011	2011/12/21	2011/12/30
11287	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2011	2011/12/21	2011/12/30
12448	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2011	2011/12/21	2011/12/30
11454	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2011	2011/12/21	2011/12/30
12810	Macquarie Bank Ltd. – HSI European Put Warrants Dec 2011 A	2011/12/21	2011/12/30
12809	Macquarie Bank Ltd. – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
11588	Macquarie Bank Ltd. – Jiangxi Copper European Put Wts Dec 11	2011/12/21	2011/12/30
25387	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Dec 2011	2011/12/21	2011/12/30
11730	Macquarie Bank Ltd. – Zoomlion European Warrants Dec 2011	2011/12/21	2011/12/30
12910	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2011 E	2011/12/21	2011/12/30
11923	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Dec 2011	2011/12/21	2011/12/30

## List of expired derivative warrants, 2011 (continued)

Code	Warrant	Last trading date	Delisted date
11383	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11B	2011/12/21	2011/12/30
11919	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11C	2011/12/21	2011/12/30
11920	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 11D	2011/12/21	2011/12/30
12341	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011	2011/12/21	2011/12/30
12342	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011 D	2011/12/21	2011/12/30
12343	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2011 E	2011/12/21	2011/12/30
12336	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 A	2011/12/21	2011/12/30
12337	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 B	2011/12/21	2011/12/30
12338	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 C	2011/12/21	2011/12/30
12857	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2011 F	2011/12/21	2011/12/30
26194	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 2011A	2011/12/21	2011/12/30
29197	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Dec 11	2011/12/21	2011/12/30
12712	Nomura Int'l plc – HSI European Put Warrants Dec 2011	2011/12/21	2011/12/30
11690	RB of Scotland N.V. – HSCEI European Put Warrants Dec 2011	2011/12/21	2011/12/30
11979	RB of Scotland N.V. – HSI European Put Warrants Dec 2011	2011/12/21	2011/12/30
13788	RB of Scotland N.V. – HSI European Put Warrants Dec 2011 D	2011/12/21	2011/12/30
11976	RB of Scotland N.V. – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
11977	RB of Scotland N.V. – HSI European Warrants Dec 2011 A	2011/12/21	2011/12/30
13140	RB of Scotland N.V. – HSI European Warrants Dec 2011 B	2011/12/21	2011/12/30
13772	RB of Scotland N.V. – HSI European Warrants Dec 2011 C	2011/12/21	2011/12/30
11098	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Dec 2011	2011/12/21	2011/12/30
12353	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11	2011/12/21	2011/12/30
12354	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11C	2011/12/21	2011/12/30
12886	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Dec 11E	2011/12/21	2011/12/30
11181	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011	2011/12/21	2011/12/30
11582	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 A	2011/12/21	2011/12/30
12352	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 B	2011/12/21	2011/12/30
12885	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2011 D	2011/12/21	2011/12/30
12351	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Dec 11	2011/12/21	2011/12/30
11233	UBS AG – A50 China European Warrants Dec 2011	2011/12/21	2011/12/30
12660	UBS AG – HSI European Warrants Dec 2011	2011/12/21	2011/12/30
12772	UBS AG – HSI European Warrants Dec 2011 A	2011/12/21	2011/12/30
12843	UBS AG – HSI European Warrants Dec 2011 B	2011/12/21	2011/12/30
13761	UBS AG – HSI European Warrants Dec 2011 C	2011/12/21	2011/12/30



## Market value and trading for derivative warrants, 2007 – 2011

Year/Month	Number*	Market value* (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2007	4,483	696,995.85	4,693,859.57	21.83
2008	3,011	169,573.55	3,433,736.34	19.51
2009	3,367	136,441.34	1,654,894.76	10.72
2010	5,148	142,445.77	2,692,462.26	15.77
2011	4,027	75,484.38	2,629,886.30	15.39
2011 Jan	5,444	165,742.56	305,868.26	19.50
Feb	5,514	141,765.92	224,803.01	17.25
Mar	5,689	148,882.52	298,763.68	16.48
Apr	5,589	154,088.84	209,680.04	14.71
May	5,306	134,496.28	191,536.69	14.82
Jun	4,836	96,546.69	235,132.93	16.13
Jul	4,735	100,263.24	210,805.07	15.80
Aug	4,876	96,622.72	246,974.64	13.66
Sep	4,778	86,648.18	196,365.53	13.82
Oct	4,441	99,529.78	179,513.23	12.58
Nov	4,269	81,234.39	195,528.80	14.86
Dec	4,027	75,484.38	134,914.42	14.57

\* Month-end or year-end figures.

## Newly listed callable bull/bear contracts

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66959	Credit Suisse AG	HSI R Bull CBBC May 2011 B	0.250	22,400 per 13,000 units	22,800 per 13,000 units	2011/05/30	390,000,000	2011/01/03
66966	UBS AG	HSI R Bull CBBC May 2011 T	0.250	22,400 per 15,000 units	22,800 per 15,000 units	2011/05/30	200,000,000	2011/01/03
66961	UBS AG	ICBC R Bull CBBC May 2011 A	0.250	4.880	5.280	2011/05/30	100,000,000	2011/01/03
66997	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 I	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/06/29	200,000,000	2011/01/04
66998	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 J	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/06/29	200,000,000	2011/01/04
66999	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67000	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 L	0.270	25,200 per 10,000 units	25,000 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67004	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 M	0.290	25,400 per 10,000 units	25,200 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67005	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 N	0.310	25,600 per 10,000 units	25,400 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67006	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 O	0.330	25,800 per 10,000 units	25,600 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67007	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 P	0.350	26,000 per 10,000 units	25,800 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67009	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 Q	0.370	26,200 per 10,000 units	26,000 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67010	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 R	0.390	26,400 per 10,000 units	26,200 per 10,000 units	2011/06/29	200,000,000	2011/01/04
67011	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 S	0.410	26,600 per 10,000 units	26,400 per 10,000 units	2011/06/29	200,000,000	2011/01/04
66970	Credit Suisse AG	HSI R Bull CBBC Apr 2011 F	0.250	22,500 per 12,000 units	22,700 per 12,000 units	2011/04/28	180,000,000	2011/01/04
66976	Credit Suisse AG	HSI R Bear CBBC Apr 2011 W	0.250	23,100 per 13,000 units	22,700 per 13,000 units	2011/04/28	390,000,000	2011/01/04
66971	Deutsche Bank AG	HSI R Bull CBBC Apr 2011 F	0.250	22,422 per 11,000 units	22,622 per 11,000 units	2011/04/28	300,000,000	2011/01/04
66972	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 Q	0.250	22,922 per 16,000 units	22,722 per 16,000 units	2011/04/28	300,000,000	2011/01/04
66973	Deutsche Bank AG	HSI R Bear CBBC May 2011 Q	0.280	23,122 per 11,000 units	22,922 per 11,000 units	2011/05/30	300,000,000	2011/01/04
66977	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 I	0.250	21,500 per 10,000 units	22,000 per 10,000 units	2011/05/30	128,000,000	2011/01/04
66978	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 H	0.250	23,500 per 12,000 units	23,000 per 12,000 units	2011/04/28	128,000,000	2011/01/04
66979	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 V	0.310	24,900 per 8,000 units	22,900 per 8,000 units	2011/04/28	128,000,000	2011/01/04
66983	RB of Scotland N.V.	COVS R Bull CBBC Apr 2011 A	0.341	10.880	12.080	2011/04/18	80,000,000	2011/01/04
66987	RB of Scotland N.V.	HSBC R Bull CBBC Apr 2011 A	0.250	61.88 per 100 units	66.88 per 100 units	2011/04/18	80,000,000	2011/01/04
66989	RB of Scotland N.V.	HSBC R Bear CBBC Apr 2011 B	0.250	97.88 per 100 units	92.88 per 100 units	2011/04/18	80,000,000	2011/01/04
66992	RB of Scotland N.V.	TCH R Bull CBBC Apr 2011 A	0.299	144 per 100 units	152 per 100 units	2011/04/18	80,000,000	2011/01/04
66996	RB of Scotland N.V.	TCH R Bear CBBC Apr 2011 B	0.292	200 per 100 units	192 per 100 units	2011/04/18	80,000,000	2011/01/04
66981	UBS AG	CC Bank R Bull CBBC May 2011 A	0.250	5.580	5.880	2011/05/23	100,000,000	2011/01/04
66967	UBS AG	HSI R Bull CBBC Apr 2011 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/04/28	200,000,000	2011/01/04
66982	UBS AG	HSI R Bear CBBC May 2011 R	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/05/30	200,000,000	2011/01/04
64506 <sup>4</sup>	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2011 D	0.123	21,800 per 10,000 units	22,000 per 10,000 units	2011/08/30	100,000,000	2011/01/04
67060	Credit Suisse AG	HKEx R Bear CBBC Apr 2011 B	0.250	191 per 100 units	185 per 100 units	2011/04/18	100,000,000	2011/01/05
67062	Credit Suisse AG	HSI R Bull CBBC Apr 2011 S	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2011/04/28	180,000,000	2011/01/05
67057	Credit Suisse AG	HSI R Bull CBBC Jun 2011 X	0.250	22,200 per 10,000 units	22,588 per 10,000 units	2011/06/29	300,000,000	2011/01/05
67059	Credit Suisse AG	HSI R Bear CBBC Apr 2011 T	0.250	23,200 per 10,000 units	22,818 per 10,000 units	2011/04/28	300,000,000	2011/01/05
67061	Credit Suisse AG	TCH R Bear CBBC Apr 2011 B	0.250	188 per 100 units	180 per 100 units	2011/04/18	100,000,000	2011/01/05
67067	Deutsche Bank AG	HSI R Bull CBBC Apr 2011 S	0.250	22,722 per 11,000 units	22,922 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67053	Deutsche Bank AG	HSI R Bull CBBC Apr 2011 U	0.250	22,622 per 11,000 units	22,822 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67055	Deutsche Bank AG	HSI R Bull CBBC May 2011 T	0.250	22,522 per 16,000 units	22,722 per 16,000 units	2011/05/30	300,000,000	2011/01/05
67068	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 Q	0.270	22,150 per 20,000 units	22,650 per 20,000 units	2011/07/28	300,000,000	2011/01/05
67066	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2011 W	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2011/04/28	128,000,000	2011/01/05
67071	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 T	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2011/04/28	128,000,000	2011/01/05

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67018	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr11A	0.250	22,300 per 11,000 units	22,500 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67012	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr11W	0.250	21,900 per 11,000 units	22,100 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67013	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr11X	0.250	22,000 per 11,000 units	22,200 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67014	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr11Y	0.250	22,100 per 11,000 units	22,300 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67015	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr11Z	0.250	22,200 per 11,000 units	22,400 per 11,000 units	2011/04/28	300,000,000	2011/01/05
67022	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11Z	0.250	21,800 per 11,000 units	22,000 per 11,000 units	2011/05/30	300,000,000	2011/01/05
67023	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11M	0.250	21,400 per 11,000 units	21,600 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67024	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11N	0.250	21,500 per 11,000 units	21,700 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67025	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11O	0.250	21,600 per 11,000 units	21,800 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67026	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11P	0.250	21,700 per 11,000 units	21,900 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67027	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11A	0.255	20,900 per 11,000 units	21,100 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67028	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11B	0.250	21,000 per 11,000 units	21,200 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67029	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11C	0.250	21,100 per 11,000 units	21,300 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67030	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11D	0.250	21,200 per 11,000 units	21,400 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67031	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11E	0.250	21,300 per 11,000 units	21,500 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67032	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11Q	0.250	22,900 per 11,000 units	22,700 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67033	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11R	0.250	23,000 per 11,000 units	22,800 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67034	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11S	0.250	23,100 per 11,000 units	22,900 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67035	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11T	0.250	23,200 per 11,000 units	23,000 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67036	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11U	0.250	23,300 per 11,000 units	23,100 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67038	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11V	0.250	23,400 per 11,000 units	23,200 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67039	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11W	0.250	23,600 per 11,000 units	23,400 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67040	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11X	0.250	23,700 per 11,000 units	23,500 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67041	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11Y	0.250	23,800 per 11,000 units	23,600 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67043	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11Z	0.250	23,900 per 11,000 units	23,700 per 11,000 units	2011/06/29	300,000,000	2011/01/05
67046	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11F	0.250	24,000 per 11,000 units	23,800 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67047	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11G	0.250	24,100 per 11,000 units	23,900 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67048	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11H	0.260	24,200 per 11,000 units	24,000 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67050	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11I	0.275	24,300 per 11,000 units	24,100 per 11,000 units	2011/07/28	300,000,000	2011/01/05
67065	UBS AG	HSI R Bull CBBC May 2011 Y	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/05/30	200,000,000	2011/01/05
67051	UBS AG	HSI R Bear CBBC Apr 2011 L	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/04/28	200,000,000	2011/01/05
67102	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	200,000,000	2011/01/06
67103	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 S	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/12/29	200,000,000	2011/01/06
67069	Credit Suisse AG	HSI R Bear CBBC Apr 2011 M	0.250	23,400 per 13,000 units	23,000 per 13,000 units	2011/04/28	390,000,000	2011/01/06
67072	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 A	0.250	23,222 per 11,000 units	23,022 per 11,000 units	2011/04/28	300,000,000	2011/01/06
67083	RB of Scotland N.V.	China Mobile R Bull CBBC Apr 2011 A	0.250	65 per 100 units	69 per 100 units	2011/04/18	80,000,000	2011/01/06
67085	RB of Scotland N.V.	China Mobile R Bear CBBC Apr 2011 B	0.250	91 per 100 units	87 per 100 units	2011/04/18	80,000,000	2011/01/06
67089	RB of Scotland N.V.	China Coal R Bull CBBC Apr 2011 A	0.250	10.000	11.200	2011/04/28	80,000,000	2011/01/06
67090	RB of Scotland N.V.	CM Bank R Bull CBBC Apr 2011 A	0.390	15.800	17.800	2011/04/28	80,000,000	2011/01/06
67092	RB of Scotland N.V.	Esprit R Bull CBBC Apr 2011 A	0.250	28 per 100 units	32 per 100 units	2011/04/27	80,000,000	2011/01/06
67093	RB of Scotland N.V.	Li & Fung R Bull CBBC Apr 2011 A	0.250	34 per 100 units	38 per 100 units	2011/04/27	80,000,000	2011/01/06
67094	RB of Scotland N.V.	Ping An R Bull CBBC Apr 2011 A	0.250	67 per 100 units	73 per 100 units	2011/04/06	80,000,000	2011/01/06

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67095	RB of Scotland N.V.	SHK Ppt R Bull CBBC Apr 2011 A	0.250	108.50 per 100 units	115.50 per 100 units	2011/04/27	80,000,000	2011/01/06
67096	RB of Scotland N.V.	Yanzhou Coal R Bull CBBC Apr 2011 A	0.658	17.000	19.300	2011/04/29	80,000,000	2011/01/06
67078	UBS AG	HSI R Bull CBBC May 2011 Z	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/05/30	200,000,000	2011/01/06
67073	UBS AG	HSI R Bull CBBC Jun 2011 H	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2011/06/29	200,000,000	2011/01/06
67074	UBS AG	HSI R Bull CBBC Jun 2011 I	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/06/29	200,000,000	2011/01/06
67080	UBS AG	HSI R Bull CBBC Jul 2011 B	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2011/07/28	200,000,000	2011/01/06
67081	UBS AG	HSI R Bear CBBC Jun 2011 J	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/06/29	200,000,000	2011/01/06
67082	UBS AG	HSI R Bear CBBC Jun 2011 K	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/06/29	200,000,000	2011/01/06
67105	Credit Suisse AG	HSI R Bull CBBC Apr 2011 A	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2011/04/28	180,000,000	2011/01/07
67114	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 B	0.250	22,300 per 15,000 units	22,800 per 15,000 units	2011/04/28	200,000,000	2011/01/07
67109	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11J	0.250	22,400 per 11,000 units	22,600 per 11,000 units	2011/07/28	300,000,000	2011/01/07
67110	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11K	0.250	22,500 per 11,000 units	22,700 per 11,000 units	2011/07/28	300,000,000	2011/01/07
67111	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11L	0.250	22,600 per 11,000 units	22,800 per 11,000 units	2011/07/28	300,000,000	2011/01/07
67112	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11M	0.250	22,700 per 11,000 units	22,900 per 11,000 units	2011/07/28	300,000,000	2011/01/07
67113	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11N	0.250	22,800 per 11,000 units	23,000 per 11,000 units	2011/07/28	300,000,000	2011/01/07
67104	UBS AG	HSI R Bear CBBC May 2011 B	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/05/30	200,000,000	2011/01/07
67138	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 L	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/09/29	200,000,000	2011/01/10
67139	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 M	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/09/29	200,000,000	2011/01/10
67141	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 Y	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/10/28	200,000,000	2011/01/10
67142	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Nov 2011 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/11/29	200,000,000	2011/01/10
67122	Credit Suisse AG	HSI R Bull CBBC May 2011 S	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2011/05/30	180,000,000	2011/01/10
67128	Credit Suisse AG	HSI R Bull CBBC May 2011 T	0.250	23,000 per 13,000 units	23,400 per 13,000 units	2011/05/30	390,000,000	2011/01/10
67129	Credit Suisse AG	HSI R Bull CBBC Jun 2011 Y	0.250	22,700 per 13,000 units	23,100 per 13,000 units	2011/06/29	390,000,000	2011/01/10
67115	Credit Suisse AG	HSI R Bear CBBC Apr 2011 N	0.250	24,600 per 12,000 units	24,400 per 12,000 units	2011/04/28	180,000,000	2011/01/10
67118	Credit Suisse AG	HSI R Bear CBBC May 2011 Q	0.250	23,500 per 10,000 units	23,118 per 10,000 units	2011/05/30	300,000,000	2011/01/10
67130	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 R	0.270	23,022 per 11,000 units	23,222 per 11,000 units	2011/07/28	300,000,000	2011/01/10
67136	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 S	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/07/28	300,000,000	2011/01/10
67143	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 T	0.260	23,222 per 11,000 units	23,422 per 11,000 units	2011/07/28	300,000,000	2011/01/10
67137	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 R	0.290	22,822 per 11,000 units	23,022 per 11,000 units	2011/08/30	300,000,000	2011/01/10
67121	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 M	0.250	23,322 per 11,000 units	23,122 per 11,000 units	2011/04/28	300,000,000	2011/01/10
67127	Deutsche Bank AG	HSI R Bear CBBC May 2011 U	0.270	23,422 per 11,000 units	23,222 per 11,000 units	2011/05/30	300,000,000	2011/01/10
67162	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2011 Z	0.250	23,000 per 10,000 units	23,400 per 10,000 units	2011/04/28	128,000,000	2011/01/10
67163	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 J	0.250	22,100 per 12,000 units	22,500 per 12,000 units	2011/05/30	128,000,000	2011/01/10
67164	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 K	0.250	22,600 per 15,000 units	23,000 per 15,000 units	2011/05/30	158,000,000	2011/01/10
67165	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 L	0.250	22,800 per 12,000 units	23,200 per 12,000 units	2011/05/30	128,000,000	2011/01/10
67166	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 A	0.250	22,000 per 15,000 units	22,400 per 15,000 units	2011/06/29	158,000,000	2011/01/10
67144	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 A	0.250	21,900 per 13,000 units	22,400 per 13,000 units	2011/04/28	250,000,000	2011/01/10
67145	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 B	0.250	22,100 per 13,000 units	22,600 per 13,000 units	2011/04/28	250,000,000	2011/01/10
67146	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 C	0.250	22,300 per 13,000 units	22,800 per 13,000 units	2011/04/28	250,000,000	2011/01/10
67147	UBS AG	China Life R Bull CBBC May 2011 B	0.250	29.880	30.880	2011/05/30	100,000,000	2011/01/10
67167	UBS AG	HSI R Bull CBBC May 2011 F	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/05/30	200,000,000	2011/01/10
67169	UBS AG	HSI R Bull CBBC Jun 2011 N	0.250	23,000 per 15,000 units	23,400 per 15,000 units	2011/06/29	200,000,000	2011/01/10
67148	UBS AG	HSI R Bear CBBC May 2011 O	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/05/30	200,000,000	2011/01/10

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67149	UBS AG	HSI R Bear CBBC May 2011 P	0.250	25,000 per 12,000 units	24,800 per 12,000 units	2011/05/30	200,000,000	2011/01/10
67120	UBS AG	HSI R Bear CBBC Jun 2011 L	0.250	23,600 per 15,000 units	23,200 per 15,000 units	2011/06/29	200,000,000	2011/01/10
67157	UBS AG	HSI R Bear CBBC Jun 2011 M	0.250	25,300 per 12,000 units	25,100 per 12,000 units	2011/06/29	200,000,000	2011/01/10
67236	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 Z	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/10/28	200,000,000	2011/01/11
67237	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Nov 2011 T	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/11/29	200,000,000	2011/01/11
67268	Credit Suisse AG	A50 China R Bull CBBC May 2011 B	0.250	12.600	13.000	2011/05/16	100,000,000	2011/01/11
67269	Credit Suisse AG	A50 China R Bull CBBC May 2011 C	0.250	12.300	12.700	2011/05/30	100,000,000	2011/01/11
67266	Credit Suisse AG	HKEx R Bull CBBC May 2011 B	0.250	175 per 100 units	180 per 100 units	2011/05/16	100,000,000	2011/01/11
67188	Credit Suisse AG	HSI R Bull CBBC May 2011 W	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2011/05/30	210,000,000	2011/01/11
67206	Credit Suisse AG	HSI R Bull CBBC May 2011 X	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2011/05/30	210,000,000	2011/01/11
67191	Credit Suisse AG	HSI R Bull CBBC Jun 2011 H	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2011/06/29	210,000,000	2011/01/11
67190	Credit Suisse AG	HSI R Bull CBBC Jun 2011 Z	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2011/06/29	210,000,000	2011/01/11
67201	Credit Suisse AG	HSI R Bull CBBC Jul 2011 F	0.250	21,500 per 15,000 units	21,700 per 15,000 units	2011/07/28	210,000,000	2011/01/11
67204	Credit Suisse AG	HSI R Bull CBBC Jul 2011 H	0.250	22,100 per 15,000 units	22,300 per 15,000 units	2011/07/28	210,000,000	2011/01/11
67189	Credit Suisse AG	HSI R Bull CBBC Jul 2011 M	0.350	19,600 per 12,000 units	19,988 per 12,000 units	2011/07/28	210,000,000	2011/01/11
67193	Credit Suisse AG	HSI R Bull CBBC Jul 2011 N	0.315	20,600 per 10,000 units	20,988 per 10,000 units	2011/07/28	210,000,000	2011/01/11
67207	Credit Suisse AG	HSI R Bull CBBC Aug 2011 A	0.250	21,388 per 10,000 units	21,588 per 10,000 units	2011/08/30	210,000,000	2011/01/11
67211	Credit Suisse AG	HSI R Bull CBBC Aug 2011 B	0.250	21,688 per 10,000 units	21,888 per 10,000 units	2011/08/30	210,000,000	2011/01/11
67212	Credit Suisse AG	HSI R Bull CBBC Aug 2011 C	0.250	21,988 per 10,000 units	22,188 per 10,000 units	2011/08/30	210,000,000	2011/01/11
67213	Credit Suisse AG	HSI R Bull CBBC Aug 2011 D	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2011/08/30	210,000,000	2011/01/11
67203	Credit Suisse AG	HSI R Bull CBBC Aug 2011 E	0.250	20,100 per 15,000 units	20,500 per 15,000 units	2011/08/30	210,000,000	2011/01/11
67215	Credit Suisse AG	HSI R Bear CBBC Apr 2011 B	0.250	24,518 per 12,000 units	24,318 per 12,000 units	2011/04/28	210,000,000	2011/01/11
67228	Credit Suisse AG	HSI R Bear CBBC Apr 2011 J	0.250	24,218 per 12,000 units	24,018 per 12,000 units	2011/04/28	210,000,000	2011/01/11
67214	Credit Suisse AG	HSI R Bear CBBC Apr 2011 L	0.250	23,918 per 12,000 units	23,718 per 12,000 units	2011/04/28	210,000,000	2011/01/11
67216	Credit Suisse AG	HSI R Bear CBBC May 2011 A	0.250	23,718 per 10,000 units	23,518 per 10,000 units	2011/05/30	210,000,000	2011/01/11
67220	Credit Suisse AG	HSI R Bear CBBC May 2011 U	0.250	25,118 per 12,000 units	24,918 per 12,000 units	2011/05/30	210,000,000	2011/01/11
67221	Credit Suisse AG	HSI R Bear CBBC May 2011 V	0.250	25,418 per 12,000 units	25,218 per 12,000 units	2011/05/30	210,000,000	2011/01/11
67222	Credit Suisse AG	HSI R Bear CBBC Jun 2011 G	0.250	24,100 per 15,000 units	23,900 per 15,000 units	2011/06/29	210,000,000	2011/01/11
67227	Credit Suisse AG	HSI R Bear CBBC Jun 2011 I	0.250	25,518 per 10,000 units	25,318 per 10,000 units	2011/06/29	210,000,000	2011/01/11
67226	Credit Suisse AG	HSI R Bear CBBC Jun 2011 J	0.250	25,218 per 10,000 units	25,018 per 10,000 units	2011/06/29	210,000,000	2011/01/11
67223	Credit Suisse AG	HSI R Bear CBBC Jun 2011 K	0.250	24,918 per 10,000 units	24,718 per 10,000 units	2011/06/29	210,000,000	2011/01/11
67229	Credit Suisse AG	HSI R Bear CBBC Jul 2011 K	0.250	24,700 per 15,000 units	24,500 per 15,000 units	2011/07/28	210,000,000	2011/01/11
67230	Credit Suisse AG	HSI R Bear CBBC Jul 2011 L	0.250	25,600 per 15,000 units	25,400 per 15,000 units	2011/07/28	210,000,000	2011/01/11
67205	Credit Suisse AG	HSI R Bear CBBC Jul 2011 O	0.250	26,400 per 15,000 units	26,000 per 15,000 units	2011/07/28	210,000,000	2011/01/11
67238	Credit Suisse AG	HSI R Bear CBBC Jul 2011 P	0.320	27,400 per 12,000 units	27,018 per 12,000 units	2011/07/28	210,000,000	2011/01/11
67241	Credit Suisse AG	HSI R Bear CBBC Aug 2011 F	0.340	26,900 per 10,000 units	26,518 per 10,000 units	2011/08/30	210,000,000	2011/01/11
67242	Credit Suisse AG	HSI R Bear CBBC Aug 2011 G	0.295	27,900 per 15,000 units	27,500 per 15,000 units	2011/08/30	210,000,000	2011/01/11
67261	Credit Suisse AG	Hutchison R Bull CBBC Jun 2011 A	0.250	74 per 100 units	78 per 100 units	2011/06/07	100,000,000	2011/01/11
67262	Credit Suisse AG	Hutchison R Bull CBBC Jun 2011 B	0.250	79 per 100 units	83 per 100 units	2011/06/07	100,000,000	2011/01/11
67257	Credit Suisse AG	Hutchison R Bear CBBC May 2011 A	0.250	107 per 100 units	103 per 100 units	2011/05/09	100,000,000	2011/01/11
67267	Credit Suisse AG	TCH R Bull CBBC May 2011 B	0.250	165 per 100 units	173 per 100 units	2011/05/30	100,000,000	2011/01/11
67244	Deutsche Bank AG	A50 China R Bull CBBC Apr 2011 E	0.250	12.500	12.800	2011/04/20	80,000,000	2011/01/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67243	Deutsche Bank AG	HSI R Bull CBBC May 2011 S	0.260	23,422 per 11,000 units	23,622 per 11,000 units	2011/05/30	300,000,000	2011/01/11
67232	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 U	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/06/29	300,000,000	2011/01/11
67233	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 V	0.280	23,322 per 11,000 units	23,522 per 11,000 units	2011/06/29	300,000,000	2011/01/11
67231	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 L	0.250	23,722 per 11,000 units	23,522 per 11,000 units	2011/04/28	300,000,000	2011/01/11
67172	HK Bank	HSI R Bull CBBC Jul 2011 C	0.250	22,000 per 12,000 units	22,500 per 12,000 units	2011/07/28	200,000,000	2011/01/11
67173	HK Bank	HSI R Bull CBBC Jul 2011 D	0.250	22,300 per 10,000 units	22,800 per 10,000 units	2011/07/28	200,000,000	2011/01/11
67245	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 C	0.250	22,500 per 10,000 units	23,000 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67246	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 D	0.250	22,700 per 15,000 units	23,200 per 15,000 units	2011/04/28	200,000,000	2011/01/11
67247	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 E	0.250	23,000 per 10,000 units	23,500 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67248	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 F	0.250	24,500 per 10,000 units	24,000 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67249	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 G	0.250	24,700 per 15,000 units	24,200 per 15,000 units	2011/04/28	200,000,000	2011/01/11
67250	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 H	0.250	25,000 per 8,000 units	24,500 per 8,000 units	2011/04/28	200,000,000	2011/01/11
67251	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 I	0.250	25,300 per 15,000 units	24,800 per 15,000 units	2011/04/28	200,000,000	2011/01/11
67252	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 J	0.250	25,500 per 10,000 units	25,000 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67253	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 K	0.250	25,700 per 15,000 units	25,200 per 15,000 units	2011/04/28	200,000,000	2011/01/11
67256	J P Morgan SP BV	HSI R Bear CBBC Apr 2011 L	0.250	26,000 per 10,000 units	25,500 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67170	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2011 E	0.150	22,100 per 10,000 units	22,600 per 10,000 units	2011/04/28	100,000,000	2011/01/11
67176	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2011 F	0.150	24,700 per 12,000 units	24,200 per 12,000 units	2011/04/28	100,000,000	2011/01/11
67180	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2011 G	0.250	25,600 per 10,000 units	25,100 per 10,000 units	2011/04/28	100,000,000	2011/01/11
67171	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC May 2011 B	0.186	25,100 per 10,000 units	24,600 per 10,000 units	2011/05/30	100,000,000	2011/01/11
67234	UBS AG	HSI R Bull CBBC Apr 2011 M	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/04/28	200,000,000	2011/01/11
67235	UBS AG	HSI R Bull CBBC May 2011 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/05/30	200,000,000	2011/01/11
67187	UBS AG	HSI R Bear CBBC Jun 2011 O	0.250	23,900 per 15,000 units	23,500 per 15,000 units	2011/06/29	250,000,000	2011/01/11
67305	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 N	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/09/29	200,000,000	2011/01/12
67306	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 O	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	200,000,000	2011/01/12
67290	Credit Suisse AG	HSI R Bull CBBC Jun 2011 L	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2011/06/29	210,000,000	2011/01/12
67343	Credit Suisse AG	HSI R Bull CBBC Jun 2011 M	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2011/06/29	210,000,000	2011/01/12
67291	Credit Suisse AG	HSI R Bull CBBC Jul 2011 Q	0.250	22,700 per 15,000 units	22,900 per 15,000 units	2011/07/28	210,000,000	2011/01/12
67293	Credit Suisse AG	HSI R Bull CBBC Jul 2011 R	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/07/28	210,000,000	2011/01/12
67294	Credit Suisse AG	HSI R Bull CBBC Aug 2011 H	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2011/08/30	210,000,000	2011/01/12
67295	Credit Suisse AG	HSI R Bull CBBC Aug 2011 I	0.250	22,288 per 10,000 units	22,488 per 10,000 units	2011/08/30	210,000,000	2011/01/12
67296	Credit Suisse AG	HSI R Bear CBBC May 2011 R	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/05/30	210,000,000	2011/01/12
67297	Credit Suisse AG	HSI R Bear CBBC May 2011 Z	0.250	24,818 per 12,000 units	24,618 per 12,000 units	2011/05/30	210,000,000	2011/01/12
67298	Credit Suisse AG	HSI R Bear CBBC Jun 2011 N	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2011/06/29	210,000,000	2011/01/12
67300	Credit Suisse AG	HSI R Bear CBBC Jun 2011 O	0.250	24,618 per 10,000 units	24,418 per 10,000 units	2011/06/29	210,000,000	2011/01/12
67301	Credit Suisse AG	HSI R Bear CBBC Jul 2011 S	0.250	24,318 per 10,000 units	24,118 per 10,000 units	2011/07/28	210,000,000	2011/01/12
67302	Credit Suisse AG	HSI R Bear CBBC Jul 2011 T	0.250	25,000 per 15,000 units	24,800 per 15,000 units	2011/07/28	210,000,000	2011/01/12
67303	Credit Suisse AG	HSI R Bear CBBC Jul 2011 U	0.250	25,300 per 15,000 units	25,100 per 15,000 units	2011/07/28	210,000,000	2011/01/12
67327	Deutsche Bank AG	DJIA R Bull CBBC Sep 2011 A	0.250	10,600 per 78,000 units	11,000 per 78,000 units	2011/09/19	80,000,000	2011/01/12
67328	Deutsche Bank AG	DJIA R Bear CBBC Sep 2011 B	0.250	12,800 per 78,000 units	12,400 per 78,000 units	2011/09/19	80,000,000	2011/01/12
67337	Deutsche Bank AG	A50 China R Bull CBBC Apr 2011 F	0.270	12.100	12.400	2011/04/28	80,000,000	2011/01/12
67339	Deutsche Bank AG	A50 China R Bull CBBC Apr 2011 G	0.250	12.300	12.600	2011/04/27	80,000,000	2011/01/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67340	Deutsche Bank AG	A50 China R Bull CBBC Apr 2011 H	0.260	12.700	13.000	2011/04/19	80,000,000	2011/01/12
67341	Deutsche Bank AG	A50 China R Bear CBBC Apr 2011 I	0.250	13.700	13.400	2011/04/28	80,000,000	2011/01/12
67342	Deutsche Bank AG	A50 China R Bear CBBC Apr 2011 J	0.280	14.100	13.800	2011/04/29	80,000,000	2011/01/12
67346	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 W	0.250	23,522 per 16,000 units	23,722 per 16,000 units	2011/06/29	300,000,000	2011/01/12
67331	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 G	0.250	25,522 per 16,000 units	25,322 per 16,000 units	2011/04/28	300,000,000	2011/01/12
67330	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 R	0.300	25,322 per 11,000 units	25,122 per 11,000 units	2011/04/28	300,000,000	2011/01/12
67329	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 T	0.250	23,922 per 16,000 units	23,722 per 16,000 units	2011/04/28	300,000,000	2011/01/12
67332	Deutsche Bank AG	HSI R Bear CBBC May 2011 X	0.340	25,722 per 11,000 units	25,522 per 11,000 units	2011/05/30	300,000,000	2011/01/12
67333	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 H	0.440	26,622 per 11,000 units	26,422 per 11,000 units	2011/09/29	300,000,000	2011/01/12
67334	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 I	0.490	27,122 per 11,000 units	26,922 per 11,000 units	2011/09/29	300,000,000	2011/01/12
67335	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 F	0.350	26,922 per 16,000 units	26,722 per 16,000 units	2011/10/28	300,000,000	2011/01/12
67336	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 G	0.550	27,822 per 11,000 units	27,622 per 11,000 units	2011/10/28	300,000,000	2011/01/12
67270	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2011 B	0.250	22,800 per 11,000 units	23,000 per 11,000 units	2011/04/28	200,000,000	2011/01/12
67272	Macquarie Bank Ltd.	HSI R Bull CBBC May 2011 A	0.250	22,300 per 13,000 units	22,500 per 13,000 units	2011/05/30	200,000,000	2011/01/12
67286	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11U	0.250	23,400 per 11,000 units	23,600 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67284	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11V	0.250	23,300 per 11,000 units	23,500 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67283	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11W	0.250	23,200 per 11,000 units	23,400 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67277	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11X	0.250	23,100 per 11,000 units	23,300 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67274	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Y	0.250	23,000 per 11,000 units	23,200 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67273	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Z	0.250	22,900 per 11,000 units	23,100 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67289	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11R	0.250	24,600 per 11,000 units	24,400 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67288	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11S	0.250	24,500 per 11,000 units	24,300 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67287	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11T	0.250	24,400 per 11,000 units	24,200 per 11,000 units	2011/06/29	300,000,000	2011/01/12
67344	UBS AG	HSI R Bull CBBC Jun 2011 S	0.250	23,300 per 15,000 units	23,700 per 15,000 units	2011/06/29	250,000,000	2011/01/12
67345	UBS AG	HSI R Bull CBBC Jul 2011 E	0.250	22,700 per 15,000 units	23,100 per 15,000 units	2011/07/28	250,000,000	2011/01/12
67307	UBS AG	HSI R Bear CBBC Apr 2011 K	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/04/28	200,000,000	2011/01/12
67308	UBS AG	HSI R Bear CBBC May 2011 S	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2011/05/30	200,000,000	2011/01/12
67320	UBS AG	HSI R Bear CBBC Jun 2011 P	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2011/06/29	200,000,000	2011/01/12
67321	UBS AG	HSI R Bear CBBC Jun 2011 Q	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2011/06/29	200,000,000	2011/01/12
67323	UBS AG	HSI R Bear CBBC Jun 2011 R	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2011/06/29	200,000,000	2011/01/12
67325	UBS AG	HSI R Bear CBBC Jul 2011 C	0.250	25,900 per 12,000 units	25,700 per 12,000 units	2011/07/28	250,000,000	2011/01/12
67326	UBS AG	HSI R Bear CBBC Jul 2011 D	0.250	26,200 per 12,000 units	26,000 per 12,000 units	2011/07/28	250,000,000	2011/01/12
67352	Credit Suisse AG	HSI R Bull CBBC Jul 2011 V	0.250	23,300 per 15,000 units	23,500 per 15,000 units	2011/07/28	210,000,000	2011/01/13
67349	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 K	0.260	24,722 per 11,000 units	24,522 per 11,000 units	2011/04/28	300,000,000	2011/01/13
67350	Deutsche Bank AG	HSI R Bear CBBC May 2011 W	0.250	24,022 per 11,000 units	23,822 per 11,000 units	2011/05/30	300,000,000	2011/01/13
67348	HK Bank	HSI R Bull CBBC Jun 2011 M	0.250	22,700 per 13,000 units	23,200 per 13,000 units	2011/06/29	200,000,000	2011/01/13
67369	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 M	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/05/30	128,000,000	2011/01/13
67370	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 N	0.250	23,100 per 8,000 units	23,500 per 8,000 units	2011/05/30	128,000,000	2011/01/13
67371	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 B	0.250	23,200 per 15,000 units	23,600 per 15,000 units	2011/06/29	128,000,000	2011/01/13
67347	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2011 A	0.250	22,400 per 10,000 units	22,900 per 10,000 units	2011/07/28	100,000,000	2011/01/13
67353	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Q	0.250	23,500 per 11,000 units	23,700 per 11,000 units	2011/06/29	300,000,000	2011/01/13
67354	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11O	0.250	24,700 per 11,000 units	24,500 per 11,000 units	2011/07/28	300,000,000	2011/01/13

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67355	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11P	0.250	24,800 per 11,000 units	24,600 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67356	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11Q	0.250	24,900 per 11,000 units	24,700 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67357	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11R	0.250	25,000 per 11,000 units	24,800 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67360	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11S	0.250	25,100 per 11,000 units	24,900 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67361	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11T	0.250	25,200 per 11,000 units	25,000 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67362	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11U	0.255	25,300 per 11,000 units	25,100 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67363	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11V	0.270	25,400 per 11,000 units	25,200 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67364	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11W	0.285	25,500 per 11,000 units	25,300 per 11,000 units	2011/07/28	300,000,000	2011/01/13
67372	UBS AG	BYD Company R Bull CBBC Jul 2011 A	0.250	33.88 per 100 units	38.88 per 100 units	2011/07/04	100,000,000	2011/01/13
67374	UBS AG	CC Bank R Bull CBBC Jul 2011 A	0.250	6.080	6.380	2011/07/11	100,000,000	2011/01/13
67373	UBS AG	Cheung Kong R Bull CBBC Jun 2011 A	0.250	112.88 per 100 units	118.88 per 100 units	2011/06/20	100,000,000	2011/01/13
67380	UBS AG	A50 China R Bull CBBC Jun 2011 A	0.250	12.100	12.500	2011/06/13	100,000,000	2011/01/13
67365	UBS AG	HSI R Bull CBBC May 2011 X	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/05/30	250,000,000	2011/01/13
67366	UBS AG	HSI R Bull CBBC Aug 2011 A	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2011/08/30	300,000,000	2011/01/13
67368	UBS AG	HSI R Bear CBBC Jun 2011 T	0.250	24,200 per 15,000 units	23,800 per 15,000 units	2011/06/29	250,000,000	2011/01/13
67375	UBS AG	Hutchison R Bull CBBC Jun 2011 A	0.250	76 per 100 units	80 per 100 units	2011/06/20	100,000,000	2011/01/13
67376	UBS AG	Petrochina R Bull CBBC Jul 2011 A	0.250	8.780	9.380	2011/07/11	100,000,000	2011/01/13
67377	UBS AG	Ping An R Bull CBBC Jul 2011 A	0.250	69 per 100 units	75 per 100 units	2011/07/04	100,000,000	2011/01/13
67379	UBS AG	TCH R Bull CBBC Jul 2011 A	0.250	160.88 per 100 units	168.88 per 100 units	2011/07/04	100,000,000	2011/01/13
66955*	Credit Suisse AG	HSI R Bull CBBC Jun 2011 W	0.113	22,500 per 10,000 units	22,888 per 10,000 units	2011/06/29	300,000,000	2011/01/13
67392	Credit Suisse AG	HSI R Bull CBBC Jul 2011 W	0.250	23,600 per 15,000 units	23,800 per 15,000 units	2011/07/28	210,000,000	2011/01/14
67386	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 A	0.250	22,250 per 40,000 units	22,750 per 40,000 units	2011/11/29	400,000,000	2011/01/14
67387	HK Bank	Sinopec Corp R Bull CBBC Jun 2011 D	0.250	6.500	6.800	2011/06/28	300,000,000	2011/01/14
67388	HK Bank	Sinopec Corp R Bull CBBC Aug 2011 A	0.250	6.880	7.180	2011/08/12	300,000,000	2011/01/14
67391	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2011 A	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/06/29	150,000,000	2011/01/14
67394	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 D	0.250	22,500 per 13,000 units	23,000 per 13,000 units	2011/04/28	250,000,000	2011/01/14
67395	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 E	0.250	22,700 per 13,000 units	23,200 per 13,000 units	2011/04/28	250,000,000	2011/01/14
67396	RB of Scotland N.V.	HSI R Bear CBBC Apr 2011 F	0.250	25,100 per 13,000 units	24,600 per 13,000 units	2011/04/28	250,000,000	2011/01/14
67397	RB of Scotland N.V.	HSI R Bear CBBC Apr 2011 G	0.250	25,900 per 13,000 units	25,400 per 13,000 units	2011/04/28	250,000,000	2011/01/14
67385	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11F	0.335	25,900 per 11,000 units	25,700 per 11,000 units	2011/07/28	300,000,000	2011/01/14
67382	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11X	0.295	25,600 per 11,000 units	25,400 per 11,000 units	2011/07/28	300,000,000	2011/01/14
67383	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11Y	0.310	25,700 per 11,000 units	25,500 per 11,000 units	2011/07/28	300,000,000	2011/01/14
67384	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11Z	0.325	25,800 per 11,000 units	25,600 per 11,000 units	2011/07/28	300,000,000	2011/01/14
67393	UBS AG	HSI R Bull CBBC May 2011 U	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/05/30	250,000,000	2011/01/14
64559*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2011 O	0.250	21,800 per 8,000 units	22,300 per 8,000 units	2011/02/25	120,000,000	2011/01/14
67407	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/06/29	200,000,000	2011/01/17
67411	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/06/29	200,000,000	2011/01/17
67413	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 V	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/06/29	200,000,000	2011/01/17
67425	Credit Suisse AG	HSI R Bear CBBC Apr 2011 C	0.250	23,800 per 15,000 units	23,600 per 15,000 units	2011/04/28	210,000,000	2011/01/17
67408	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 Q	0.250	23,822 per 11,000 units	23,622 per 11,000 units	2011/04/28	300,000,000	2011/01/17



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67409	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 O	0.250	24,000 per 15,000 units	23,600 per 15,000 units	2011/05/30	128,000,000	2011/01/17
67410	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 P	0.250	24,200 per 12,000 units	23,800 per 12,000 units	2011/05/30	128,000,000	2011/01/17
67400	SGA Societe Generale Acceptance N.V.	CK(H) R Bull CBBC May11H	0.250	109 per 100 units	115 per 100 units	2011/05/30	100,000,000	2011/01/17
67401	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC May11F	0.570	27.000	28.000	2011/05/30	100,000,000	2011/01/17
67402	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC May11G	0.470	28.000	29.000	2011/05/30	100,000,000	2011/01/17
67403	SGA Societe Generale Acceptance N.V.	CLife R Bear CBBC May11H	0.370	36.000	35.000	2011/05/30	100,000,000	2011/01/17
67404	SGA Societe Generale Acceptance N.V.	HKEx R Bull CBBC May11E	0.250	169 per 100 units	175 per 100 units	2011/05/30	150,000,000	2011/01/17
67398	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11A	0.250	23,900 per 11,000 units	23,700 per 11,000 units	2011/08/30	300,000,000	2011/01/17
67399	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11B	0.250	24,000 per 11,000 units	23,800 per 11,000 units	2011/08/30	300,000,000	2011/01/17
67405	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC May11F	0.290	76 per 100 units	80 per 100 units	2011/05/30	150,000,000	2011/01/17
67406	SGA Societe Generale Acceptance N.V.	HWL R Bear CBBC May11G	0.250	99 per 100 units	95 per 100 units	2011/05/30	150,000,000	2011/01/17
67414	UBS AG	China Life R Bull CBBC Jun 2011 A	0.620	25.880	26.880	2011/06/13	100,000,000	2011/01/17
67415	UBS AG	Sinopec Corp R Bull CBBC Jun 2011 A	0.250	6.680	6.980	2011/06/27	100,000,000	2011/01/17
67421	UBS AG	A50 China R Bull CBBC Jun 2011 B	0.250	11.600	12.000	2011/06/27	100,000,000	2011/01/17
67416	UBS AG	HKEx R Bull CBBC Jun 2011 A	0.295	152.88 per 100 units	158.88 per 100 units	2011/06/20	100,000,000	2011/01/17
67419	UBS AG	HSBC R Bear CBBC Jul 2011 A	0.250	100 per 100 units	95 per 100 units	2011/07/11	100,000,000	2011/01/17
67423	UBS AG	HSI R Bull CBBC Aug 2011 B	0.250	22,750 per 15,000 units	22,950 per 15,000 units	2011/08/30	250,000,000	2011/01/17
67424	UBS AG	HSI R Bear CBBC May 2011 B	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/05/30	250,000,000	2011/01/17
67417	UBS AG	Hutchison R Bull CBBC Jun 2011 B	0.250	81 per 100 units	85 per 100 units	2011/06/27	100,000,000	2011/01/17
67420	UBS AG	Hutchison R Bear CBBC Jul 2011 A	0.250	109 per 100 units	105 per 100 units	2011/07/11	100,000,000	2011/01/17
67418	UBS AG	TCH R Bull CBBC Jul 2011 B	0.280	150.88 per 100 units	158.88 per 100 units	2011/07/18	100,000,000	2011/01/17
67442	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 T	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/12/29	200,000,000	2011/01/18
67431	Credit Suisse AG	HSI R Bull CBBC May 2011 Y	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2011/05/30	210,000,000	2011/01/18
67426	Credit Suisse AG	HSI R Bear CBBC Apr 2011 P	0.250	23,918 per 12,000 units	23,718 per 12,000 units	2011/04/28	210,000,000	2011/01/18
67427	Deutsche Bank AG	China Mobile R Bear CBBC Jun 2011 A	0.250	81 per 100 units	79 per 100 units	2011/06/14	80,000,000	2011/01/18
67428	Deutsche Bank AG	China Mobile R Bear CBBC Jun 2011 B	0.260	87 per 100 units	85 per 100 units	2011/06/21	80,000,000	2011/01/18
67441	Deutsche Bank AG	China Life R Bull CBBC Jun 2011 A	0.250	31.000	31.500	2011/06/14	80,000,000	2011/01/18
67430	Deutsche Bank AG	HSBC R Bear CBBC Jun 2011 A	0.250	90.50 per 100 units	88 per 100 units	2011/06/14	80,000,000	2011/01/18
67429	Deutsche Bank AG	HSI R Bull CBBC May 2011 Y	0.250	23,422 per 11,000 units	23,622 per 11,000 units	2011/05/30	300,000,000	2011/01/18
67434	UBS AG	HSI R Bull CBBC Apr 2011 L	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/04/28	300,000,000	2011/01/18
67437	UBS AG	HSI R Bear CBBC Apr 2011 F	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/04/28	300,000,000	2011/01/18
67438	UBS AG	HSI R Bear CBBC May 2011 O	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/05/30	300,000,000	2011/01/18
67487	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 U	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2011/12/29	200,000,000	2011/01/19
67488	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 V	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/12/29	200,000,000	2011/01/19
67489	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/12/29	200,000,000	2011/01/19
67505	Credit Suisse AG	HSI R Bull CBBC Jun 2011 V	0.250	23,688 per 12,000 units	23,888 per 12,000 units	2011/06/29	210,000,000	2011/01/19
67507	Credit Suisse AG	HSI R Bull CBBC Jul 2011 Z	0.250	23,900 per 15,000 units	24,100 per 15,000 units	2011/07/28	210,000,000	2011/01/19
67500	Credit Suisse AG	HSI R Bear CBBC Apr 2011 W	0.250	24,218 per 12,000 units	24,018 per 12,000 units	2011/04/28	210,000,000	2011/01/19
67446	Credit Suisse AG	HSI R Bear CBBC Apr 2011 X	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/04/28	210,000,000	2011/01/19
67453	Credit Suisse AG	HSI R Bear CBBC May 2011 M	0.250	25,718 per 12,000 units	25,518 per 12,000 units	2011/05/30	210,000,000	2011/01/19

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67454	Credit Suisse AG	HSI R Bear CBBC May 2011 P	0.250	26,118 per 10,000 units	25,918 per 10,000 units	2011/05/30	210,000,000	2011/01/19
67455	Credit Suisse AG	HSI R Bear CBBC Jun 2011 P	0.250	25,818 per 10,000 units	25,618 per 10,000 units	2011/06/29	210,000,000	2011/01/19
67456	Credit Suisse AG	HSI R Bear CBBC Jun 2011 Q	0.250	26,018 per 12,000 units	25,818 per 12,000 units	2011/06/29	210,000,000	2011/01/19
67501	Credit Suisse AG	HSI R Bear CBBC Jun 2011 U	0.250	26,618 per 12,000 units	26,418 per 12,000 units	2011/06/29	210,000,000	2011/01/19
67465	Credit Suisse AG	HSI R Bear CBBC Jul 2011 X	0.250	25,900 per 15,000 units	25,700 per 15,000 units	2011/07/28	210,000,000	2011/01/19
67466	Credit Suisse AG	HSI R Bear CBBC Jul 2011 Y	0.250	26,200 per 15,000 units	26,000 per 15,000 units	2011/07/28	210,000,000	2011/01/19
67491	Deutsche Bank AG	HSCEI R Bull CBBC Jun 2011 A	0.310	12,322 per 8,000 units	12,522 per 8,000 units	2011/06/29	150,000,000	2011/01/19
67492	Deutsche Bank AG	HSCEI R Bull CBBC Jun 2011 B	0.250	12,822 per 8,000 units	13,022 per 8,000 units	2011/06/29	150,000,000	2011/01/19
67494	Deutsche Bank AG	HSCEI R Bear CBBC Apr 2011 E	0.300	14,322 per 8,000 units	14,122 per 8,000 units	2011/04/28	150,000,000	2011/01/19
67499	Deutsche Bank AG	HSCEI R Bear CBBC May 2011 B	0.400	15,322 per 8,000 units	15,122 per 8,000 units	2011/05/30	150,000,000	2011/01/19
67467	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 X	0.290	23,322 per 11,000 units	23,522 per 11,000 units	2011/06/29	300,000,000	2011/01/19
67471	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 Y	0.250	23,722 per 11,000 units	23,922 per 11,000 units	2011/06/29	300,000,000	2011/01/19
67490	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 U	0.250	23,922 per 11,000 units	24,122 per 11,000 units	2011/07/28	300,000,000	2011/01/19
67478	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 N	0.250	24,322 per 11,000 units	24,122 per 11,000 units	2011/04/28	300,000,000	2011/01/19
67476	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 P	0.250	24,222 per 11,000 units	24,022 per 11,000 units	2011/04/28	300,000,000	2011/01/19
67484	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 M	0.250	23,100 per 15,000 units	23,600 per 15,000 units	2011/04/28	200,000,000	2011/01/19
67485	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 N	0.250	23,300 per 12,000 units	23,800 per 12,000 units	2011/04/28	200,000,000	2011/01/19
67486	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 O	0.250	23,500 per 10,000 units	24,000 per 10,000 units	2011/04/28	200,000,000	2011/01/19
67479	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 Q	0.250	24,500 per 10,000 units	24,100 per 10,000 units	2011/05/30	128,000,000	2011/01/19
67472	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11G	0.250	23,600 per 11,000 units	23,800 per 11,000 units	2011/07/28	300,000,000	2011/01/19
67474	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11H	0.250	23,700 per 11,000 units	23,900 per 11,000 units	2011/07/28	300,000,000	2011/01/19
67443	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11C	0.370	26,000 per 11,000 units	25,800 per 11,000 units	2011/08/30	300,000,000	2011/01/19
67444	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11D	0.380	26,100 per 11,000 units	25,900 per 11,000 units	2011/08/30	300,000,000	2011/01/19
67445	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11E	0.395	26,200 per 11,000 units	26,000 per 11,000 units	2011/08/30	300,000,000	2011/01/19
67475	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11F	0.250	24,200 per 11,000 units	24,000 per 11,000 units	2011/08/30	300,000,000	2011/01/19
67481	UBS AG	HKEx R Bull CBBC Jun 2011 B	0.250	172.88 per 100 units	178.88 per 100 units	2011/06/27	100,000,000	2011/01/19
67482	UBS AG	HSBC R Bull CBBC Jun 2011 A	0.250	75 per 100 units	80 per 100 units	2011/06/20	100,000,000	2011/01/19
67483	UBS AG	HSI R Bull CBBC Apr 2011 K	0.250	23,900 per 12,000 units	24,100 per 12,000 units	2011/04/28	300,000,000	2011/01/19
67477	UBS AG	HSI R Bear CBBC Apr 2011 M	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/04/28	300,000,000	2011/01/19
67575	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2011/12/29	200,000,000	2011/01/20
67533	Credit Suisse AG	HSI R Bull CBBC May 2011 D	0.250	23,818 per 10,000 units	24,018 per 10,000 units	2011/05/30	210,000,000	2011/01/20
67583	Credit Suisse AG	HSI R Bull CBBC Jun 2011 N	0.250	24,018 per 12,000 units	24,218 per 12,000 units	2011/06/29	210,000,000	2011/01/20
67521	Credit Suisse AG	HSI R Bear CBBC Apr 2011 U	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2011/04/28	210,000,000	2011/01/20
67571	Credit Suisse AG	HSI R Bear CBBC May 2011 Q	0.250	26,418 per 10,000 units	26,218 per 10,000 units	2011/05/30	210,000,000	2011/01/20
67572	Credit Suisse AG	HSI R Bear CBBC Jun 2011 G	0.250	26,318 per 12,000 units	26,118 per 12,000 units	2011/06/29	210,000,000	2011/01/20
67513	Deutsche Bank AG	China Life R Bear CBBC Jun 2011 B	0.480	36.500	36.000	2011/06/28	100,000,000	2011/01/20
67514	Deutsche Bank AG	HSBC R Bull CBBC Jun 2011 B	0.250	78.50 per 100 units	81 per 100 units	2011/06/21	100,000,000	2011/01/20
67515	Deutsche Bank AG	HSBC R Bull CBBC Jun 2011 C	0.260	81.50 per 100 units	84 per 100 units	2011/06/14	100,000,000	2011/01/20
67516	Deutsche Bank AG	HSBC R Bear CBBC Jun 2011 D	0.280	93.50 per 100 units	91 per 100 units	2011/06/21	100,000,000	2011/01/20
67517	Deutsche Bank AG	HSBC R Bear CBBC Jun 2011 E	0.300	96.50 per 100 units	94 per 100 units	2011/06/28	100,000,000	2011/01/20
67557	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 V	0.250	24,022 per 11,000 units	24,222 per 11,000 units	2011/07/28	300,000,000	2011/01/20
67518	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 S	0.280	23,822 per 11,000 units	24,022 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67520	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 J	0.260	23,622 per 11,000 units	23,822 per 11,000 units	2011/09/29	300,000,000	2011/01/20

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67559	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 A	0.250	24,522 per 16,000 units	24,322 per 16,000 units	2011/04/28	300,000,000	2011/01/20
67558	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 C	0.270	24,422 per 11,000 units	24,222 per 11,000 units	2011/04/28	300,000,000	2011/01/20
67522	HK Bank	A50 R Bull CBBC Jun 2011 D	0.250	12.300	12.680	2011/06/29	200,000,000	2011/01/20
67527	HK Bank	A50 R Bull CBBC Jun 2011 E	0.250	12.600	12.980	2011/06/29	200,000,000	2011/01/20
67530	HK Bank	HSI R Bull CBBC Jun 2011 N	0.250	23,100 per 12,000 units	23,600 per 12,000 units	2011/06/29	200,000,000	2011/01/20
67531	HK Bank	HSI R Bull CBBC Jun 2011 O	0.250	23,400 per 20,000 units	23,900 per 20,000 units	2011/06/29	200,000,000	2011/01/20
67577	J P Morgan SP BV	HSI R Bull CBBC Apr 2011 P	0.250	23,700 per 15,000 units	24,200 per 15,000 units	2011/04/28	200,000,000	2011/01/20
67580	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 H	0.250	22,900 per 13,000 units	23,400 per 13,000 units	2011/04/28	250,000,000	2011/01/20
67585	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 I	0.250	23,100 per 13,000 units	23,600 per 13,000 units	2011/04/28	250,000,000	2011/01/20
67586	RB of Scotland N.V.	HSI R Bull CBBC Apr 2011 J	0.250	23,300 per 13,000 units	23,800 per 13,000 units	2011/04/28	250,000,000	2011/01/20
67502	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11I	0.250	23,800 per 11,000 units	24,000 per 11,000 units	2011/07/28	300,000,000	2011/01/20
67503	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11G	0.250	23,900 per 11,000 units	24,100 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67540	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11M	0.250	24,000 per 11,000 units	24,200 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67541	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11T	0.250	24,500 per 11,000 units	24,300 per 11,000 units	2011/06/29	300,000,000	2011/01/20
67504	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11H	0.365	26,300 per 11,000 units	26,100 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67508	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11I	0.375	26,400 per 11,000 units	26,200 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67509	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11J	0.390	26,500 per 11,000 units	26,300 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67510	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11K	0.400	26,600 per 11,000 units	26,400 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67512	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11L	0.415	26,700 per 11,000 units	26,500 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67545	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11N	0.410	26,800 per 11,000 units	26,600 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67546	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11O	0.425	26,900 per 11,000 units	26,700 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67554	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11P	0.435	27,000 per 11,000 units	26,800 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67555	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11Q	0.445	27,100 per 11,000 units	26,900 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67556	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11R	0.460	27,200 per 11,000 units	27,000 per 11,000 units	2011/08/30	300,000,000	2011/01/20
67561	UBS AG	HSI R Bull CBBC Apr 2011 G	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/04/28	300,000,000	2011/01/20
67568	UBS AG	HSI R Bull CBBC May 2011 W	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/05/30	300,000,000	2011/01/20
67569	UBS AG	HSI R Bull CBBC Jul 2011 F	0.250	22,900 per 38,000 units	23,300 per 38,000 units	2011/07/28	300,000,000	2011/01/20
67570	UBS AG	HSI R Bear CBBC Apr 2011 B	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/04/28	300,000,000	2011/01/20
67560	UBS AG	TCH R Bull CBBC Jun 2011 A	0.250	170.88 per 100 units	178.88 per 100 units	2011/06/20	100,000,000	2011/01/20
67609	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Y	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/12/29	200,000,000	2011/01/21
67606	Credit Suisse AG	HSI R Bull CBBC May 2011 X	0.250	24,088 per 10,000 units	24,288 per 10,000 units	2011/05/30	210,000,000	2011/01/21
67605	Credit Suisse AG	HSI R Bear CBBC Apr 2011 T	0.250	24,518 per 12,000 units	24,318 per 12,000 units	2011/04/28	210,000,000	2011/01/21
67610	Credit Suisse AG	TCH R Bear CBBC Apr 2011 C	0.455	246 per 100 units	238 per 100 units	2011/04/26	100,000,000	2011/01/21
67601	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC May11D	0.250	11.600	12.000	2011/05/30	150,000,000	2011/01/21
67602	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC May11E	0.250	12.100	12.500	2011/05/30	150,000,000	2011/01/21
67587	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC May11F	0.250	71 per 100 units	75 per 100 units	2011/05/30	300,000,000	2011/01/21
67588	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC May11G	0.250	76 per 100 units	80 per 100 units	2011/05/30	300,000,000	2011/01/21
67591	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC May11H	0.250	81 per 100 units	85 per 100 units	2011/05/30	150,000,000	2011/01/21
67594	SGA Societe Generale Acceptance N.V.	HWL R Bear CBBC May11I	0.250	104 per 100 units	100 per 100 units	2011/05/30	150,000,000	2011/01/21
67595	SGA Societe Generale Acceptance N.V.	HWL R Bear CBBC May11J	0.250	109 per 100 units	105 per 100 units	2011/05/30	150,000,000	2011/01/21
67603	SGA Societe Generale Acceptance N.V.	TCH R Bull CBBC May11D	0.330	162 per 100 units	170 per 100 units	2011/05/30	100,000,000	2011/01/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67604	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC May11E	0.250	218 per 100 units	210 per 100 units	2011/05/30	100,000,000	2011/01/21
67608	UBS AG	HSI R Bull CBBC May 2011 L	0.250	23,600 per 15,000 units	24,000 per 15,000 units	2011/05/30	300,000,000	2011/01/21
67607	UBS AG	TCH R Bear CBBC Jul 2011 C	0.360	236.88 per 100 units	228.88 per 100 units	2011/07/25	100,000,000	2011/01/21
67632	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 W	0.250	26,500 per 10,000 units	26,300 per 10,000 units	2011/06/29	200,000,000	2011/01/24
67611	Credit Suisse AG	HSI R Bull CBBC Jul 2011 E	0.250	23,788 per 10,000 units	23,988 per 10,000 units	2011/07/28	210,000,000	2011/01/24
67631	Deutsche Bank AG	A50 China R Bear CBBC May 2011 A	0.250	13.500	13.200	2011/05/16	80,000,000	2011/01/24
67618	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 R	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2011/05/30	128,000,000	2011/01/24
67619	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 S	0.250	23,600 per 8,000 units	23,800 per 8,000 units	2011/05/30	128,000,000	2011/01/24
67622	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 C	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/06/29	128,000,000	2011/01/24
67625	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 D	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/06/29	128,000,000	2011/01/24
67626	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 T	0.250	24,700 per 8,000 units	24,500 per 8,000 units	2011/05/30	128,000,000	2011/01/24
67629	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 E	0.250	25,200 per 12,000 units	25,000 per 12,000 units	2011/06/29	128,000,000	2011/01/24
67616	UBS AG	China Mobile R Bull CBBC May 2011 A	0.250	72.88 per 100 units	75.88 per 100 units	2011/05/23	100,000,000	2011/01/24
67615	UBS AG	HSCEI R Bull CBBC Jul 2011 A	0.250	11,600 per 12,000 units	12,000 per 12,000 units	2011/07/28	100,000,000	2011/01/24
67612	UBS AG	Hutchison R Bull CBBC Jun 2011 C	0.250	86 per 100 units	90 per 100 units	2011/06/27	100,000,000	2011/01/24
67613	UBS AG	Hutchison R Bear CBBC Jul 2011 B	0.250	114 per 100 units	110 per 100 units	2011/07/25	100,000,000	2011/01/24
67071*	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 T	0.056	24,900 per 10,000 units	24,700 per 10,000 units	2011/04/28	180,000,000	2011/01/24
67648	Credit Suisse AG	A50 China R Bear CBBC Apr 2011 F	0.250	13.700	13.300	2011/04/26	100,000,000	2011/01/25
67647	Credit Suisse AG	Hutchison R Bear CBBC Jun 2011 C	0.250	112 per 100 units	108 per 100 units	2011/06/07	100,000,000	2011/01/25
67642	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 T	0.250	24,122 per 16,000 units	24,322 per 16,000 units	2011/08/30	300,000,000	2011/01/25
67643	UBS AG	HSI R Bull CBBC Jun 2011 U	0.250	23,900 per 15,000 units	24,300 per 15,000 units	2011/06/29	300,000,000	2011/01/25
67633	UBS AG	HSI R Bear CBBC Apr 2011 A	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/04/28	300,000,000	2011/01/25
65748*	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2011 C	0.167	26,100 per 14,000 units	25,600 per 14,000 units	2011/04/28	150,000,000	2011/01/25
67306*	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 O	0.067	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	150,000,000	2011/01/25
66532*	Deutsche Bank AG	China Mobile R Bull CBBC May 2011 A	0.053	73 per 100 units	75 per 100 units	2011/05/23	200,000,000	2011/01/25
67683	Credit Suisse AG	HSI R Bull CBBC Jul 2011 G	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2011/07/28	210,000,000	2011/01/26
67663	Credit Suisse AG	HSI R Bear CBBC Apr 2011 R	0.250	24,618 per 10,000 units	24,418 per 10,000 units	2011/04/28	210,000,000	2011/01/26
67665	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 K	0.330	22,022 per 11,000 units	22,222 per 11,000 units	2011/09/29	300,000,000	2011/01/26
67666	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 M	0.250	24,622 per 11,000 units	24,422 per 11,000 units	2011/04/28	300,000,000	2011/01/26
67667	Deutsche Bank AG	HSI R Bear CBBC May 2011 I	0.250	25,422 per 11,000 units	25,222 per 11,000 units	2011/05/30	300,000,000	2011/01/26
67668	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 L	0.250	25,922 per 16,000 units	25,722 per 16,000 units	2011/09/29	300,000,000	2011/01/26
67651	HK Bank	Hutchison R Bull CBBC Jun 2011 A	0.250	73.80 per 100 units	78.80 per 100 units	2011/06/17	100,000,000	2011/01/26
67656	HK Bank	Hutchison R Bear CBBC May 2011 A	0.250	109 per 100 units	103.20 per 100 units	2011/05/05	100,000,000	2011/01/26
67653	HK Bank	SHK Ppt R Bull CBBC Jun 2011 A	0.250	117.80 per 100 units	123.80 per 100 units	2011/06/22	100,000,000	2011/01/26
67657	HK Bank	SHK Ppt R Bear CBBC Jun 2011 B	0.250	160 per 100 units	153.20 per 100 units	2011/06/22	100,000,000	2011/01/26
67655	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11S	0.250	24,100 per 11,000 units	24,300 per 11,000 units	2011/08/30	300,000,000	2011/01/26
67654	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11R	0.250	24,600 per 11,000 units	24,400 per 11,000 units	2011/06/29	300,000,000	2011/01/26
67649	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11S	0.250	24,400 per 11,000 units	24,200 per 11,000 units	2011/06/29	300,000,000	2011/01/26
67682	UBS AG	HSI R Bull CBBC Apr 2011 F	0.250	24,200 per 12,000 units	24,400 per 12,000 units	2011/04/28	300,000,000	2011/01/26
67669	UBS AG	HSI R Bear CBBC Jun 2011 V	0.250	24,800 per 15,000 units	24,400 per 15,000 units	2011/06/29	300,000,000	2011/01/26
67670	UBS AG	HSI R Bear CBBC Jun 2011 W	0.250	25,600 per 12,000 units	25,400 per 12,000 units	2011/06/29	300,000,000	2011/01/26
67675	UBS AG	HSI R Bear CBBC Jun 2011 X	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2011/06/29	300,000,000	2011/01/26

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67676	UBS AG	HSI R Bear CBBC Jul 2011 G	0.250	26,300 per 10,000 units	26,100 per 10,000 units	2011/07/28	300,000,000	2011/01/26
67677	UBS AG	HSI R Bear CBBC Jul 2011 H	0.250	26,500 per 12,000 units	26,300 per 12,000 units	2011/07/28	300,000,000	2011/01/26
67678	UBS AG	HSI R Bear CBBC Jul 2011 I	0.250	26,600 per 10,000 units	26,400 per 10,000 units	2011/07/28	300,000,000	2011/01/26
67679	UBS AG	HSI R Bear CBBC Aug 2011 C	0.250	26,800 per 12,000 units	26,600 per 12,000 units	2011/08/30	300,000,000	2011/01/26
67726	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 C	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/06/29	200,000,000	2011/01/27
67695	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 X	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/06/29	200,000,000	2011/01/27
67696	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 Y	0.260	26,700 per 10,000 units	26,500 per 10,000 units	2011/06/29	200,000,000	2011/01/27
67725	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 Z	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/06/29	200,000,000	2011/01/27
67684	Credit Suisse AG	HSI R Bull CBBC Jun 2011 O	0.250	23,988 per 12,000 units	24,188 per 12,000 units	2011/06/29	210,000,000	2011/01/27
67702	Credit Suisse AG	HSI R Bear CBBC Apr 2011 L	0.250	24,218 per 12,000 units	24,018 per 12,000 units	2011/04/28	210,000,000	2011/01/27
67693	Credit Suisse AG	HSI R Bear CBBC Apr 2011 M	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/04/28	210,000,000	2011/01/27
67689	Credit Suisse AG	TCH R Bear CBBC Apr 2011 D	0.250	208 per 100 units	200 per 100 units	2011/04/27	100,000,000	2011/01/27
67727	Deutsche Bank AG	China Life R Bear CBBC Jun 2011 C	0.300	33.000	32.500	2011/06/21	80,000,000	2011/01/27
67728	Deutsche Bank AG	A50 China R Bear CBBC Jun 2011 A	0.250	13.300	13.000	2011/06/21	80,000,000	2011/01/27
67687	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 W	0.250	24,022 per 11,000 units	24,222 per 11,000 units	2011/07/28	300,000,000	2011/01/27
67700	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 U	0.250	23,822 per 11,000 units	24,022 per 11,000 units	2011/08/30	300,000,000	2011/01/27
67699	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 L	0.250	24,322 per 11,000 units	24,122 per 11,000 units	2011/04/28	300,000,000	2011/01/27
67729	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 T	0.250	24,222 per 11,000 units	24,022 per 11,000 units	2011/04/28	300,000,000	2011/01/27
67704	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2011 X	0.250	22,500 per 8,000 units	22,700 per 8,000 units	2011/04/28	128,000,000	2011/01/27
67705	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 U	0.300	21,700 per 8,000 units	21,900 per 8,000 units	2011/05/30	128,000,000	2011/01/27
67706	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 V	0.280	21,900 per 8,000 units	22,300 per 8,000 units	2011/05/30	128,000,000	2011/01/27
67707	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 F	0.280	21,400 per 10,000 units	21,800 per 10,000 units	2011/06/29	128,000,000	2011/01/27
67708	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 G	0.250	21,700 per 12,000 units	22,100 per 12,000 units	2011/06/29	128,000,000	2011/01/27
67710	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 H	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/06/29	128,000,000	2011/01/27
67711	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 A	0.260	21,500 per 10,000 units	21,700 per 10,000 units	2011/07/28	128,000,000	2011/01/27
67712	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 B	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2011/07/28	128,000,000	2011/01/27
67713	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 A	0.250	21,300 per 15,000 units	21,500 per 15,000 units	2011/08/30	128,000,000	2011/01/27
67714	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 W	0.250	24,300 per 8,000 units	24,100 per 8,000 units	2011/05/30	128,000,000	2011/01/27
67715	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 I	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/06/29	128,000,000	2011/01/27
67717	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 J	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/06/29	128,000,000	2011/01/27
67724	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 C	0.250	24,500 per 15,000 units	24,300 per 15,000 units	2011/07/28	128,000,000	2011/01/27
67686	UBS AG	HSI R Bull CBBC Apr 2011 M	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/04/28	300,000,000	2011/01/27
67703	UBS AG	HSI R Bear CBBC Apr 2011 K	0.250	24,500 per 15,000 units	24,100 per 15,000 units	2011/04/28	300,000,000	2011/01/27
67759	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 B	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/06/29	200,000,000	2011/01/28
67760	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 H	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/06/29	200,000,000	2011/01/28
67738	Credit Suisse AG	HSI R Bull CBBC Apr 2011 K	0.250	23,688 per 12,000 units	23,888 per 12,000 units	2011/04/28	210,000,000	2011/01/28
67749	Credit Suisse AG	HSI R Bear CBBC Apr 2011 E	0.250	24,118 per 10,000 units	23,918 per 10,000 units	2011/04/28	210,000,000	2011/01/28
67735	Credit Suisse AG	HSI R Bear CBBC Apr 2011 J	0.250	24,318 per 10,000 units	24,118 per 10,000 units	2011/04/28	210,000,000	2011/01/28
67751	Deutsche Bank AG	China Mobile R Bear CBBC Jul 2011 A	0.250	81 per 100 units	79 per 100 units	2011/07/12	100,000,000	2011/01/28
67752	Deutsche Bank AG	HSBC R Bear CBBC Jul 2011 A	0.250	90.50 per 100 units	88 per 100 units	2011/07/12	100,000,000	2011/01/28
67739	Deutsche Bank AG	HSCEI R Bear CBBC Apr 2011 F	0.250	13,322 per 8,000 units	13,122 per 8,000 units	2011/04/28	100,000,000	2011/01/28
67740	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 X	0.250	23,722 per 11,000 units	23,922 per 11,000 units	2011/07/28	300,000,000	2011/01/28

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67741	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 O	0.250	24,422 per 11,000 units	24,222 per 11,000 units	2011/04/28	300,000,000	2011/01/28
67753	Deutsche Bank AG	HSI R Bear CBBC Apr 2011 Q	0.250	24,122 per 11,000 units	23,922 per 11,000 units	2011/04/28	300,000,000	2011/01/28
67756	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 M	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/04/28	128,000,000	2011/01/28
67758	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 X	0.250	24,300 per 12,000 units	23,900 per 12,000 units	2011/05/30	128,000,000	2011/01/28
67737	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11H	0.250	23,700 per 11,000 units	23,900 per 11,000 units	2011/07/28	300,000,000	2011/01/28
67734	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11I	0.250	23,800 per 11,000 units	24,000 per 11,000 units	2011/07/28	300,000,000	2011/01/28
67736	UBS AG	HSI R Bull CBBC Apr 2011 G	0.250	23,600 per 15,000 units	24,000 per 15,000 units	2011/04/28	300,000,000	2011/01/28
67743	UBS AG	HSI R Bull CBBC May 2011 B	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/05/30	300,000,000	2011/01/28
67750	UBS AG	HSI R Bear CBBC May 2011 O	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/05/30	300,000,000	2011/01/28
67761	Credit Suisse AG	HSI R Bull CBBC Jun 2011 N	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2011/06/29	210,000,000	2011/01/31
67766	Credit Suisse AG	HSI R Bear CBBC May 2011 A	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/05/30	210,000,000	2011/01/31
67773	Deutsche Bank AG	A50 China R Bear CBBC Jun 2011 B	0.250	13.100	12.800	2011/06/28	80,000,000	2011/01/31
67762	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 M	0.250	23,622 per 11,000 units	23,822 per 11,000 units	2011/09/29	300,000,000	2011/01/31
67772	Deutsche Bank AG	HSI R Bear CBBC May 2011 E	0.250	24,022 per 11,000 units	23,822 per 11,000 units	2011/05/30	300,000,000	2011/01/31
67775	J P Morgan SP BV	HSI R Bear CBBC May 2011 B	0.250	24,500 per 10,000 units	24,000 per 10,000 units	2011/05/30	200,000,000	2011/01/31
67776	J P Morgan SP BV	HSI R Bear CBBC May 2011 C	0.250	24,700 per 15,000 units	24,200 per 15,000 units	2011/05/30	200,000,000	2011/01/31
67786	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/05/30	128,000,000	2011/01/31
67783	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 P	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/05/30	128,000,000	2011/01/31
67788	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 Q	0.250	23,600 per 8,000 units	23,800 per 8,000 units	2011/05/30	128,000,000	2011/01/31
67777	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 Y	0.250	22,300 per 12,000 units	22,500 per 12,000 units	2011/05/30	128,000,000	2011/01/31
67781	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 Z	0.250	22,800 per 8,000 units	23,000 per 8,000 units	2011/05/30	128,000,000	2011/01/31
67792	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 K	0.260	20,800 per 12,000 units	21,000 per 12,000 units	2011/06/29	128,000,000	2011/01/31
67793	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 L	0.290	21,000 per 10,000 units	21,200 per 10,000 units	2011/06/29	128,000,000	2011/01/31
67794	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 M	0.340	21,100 per 8,000 units	21,300 per 8,000 units	2011/06/29	128,000,000	2011/01/31
67795	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 N	0.250	21,200 per 12,000 units	21,400 per 12,000 units	2011/06/29	128,000,000	2011/01/31
67796	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 S	0.250	25,300 per 15,000 units	25,100 per 15,000 units	2011/05/30	128,000,000	2011/01/31
67798	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 O	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2011/06/29	128,000,000	2011/01/31
67799	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 P	0.250	25,500 per 8,000 units	25,300 per 8,000 units	2011/06/29	128,000,000	2011/01/31
67800	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 Q	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2011/06/29	128,000,000	2011/01/31
67801	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 R	0.250	25,700 per 12,000 units	25,500 per 12,000 units	2011/06/29	128,000,000	2011/01/31
67802	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 S	0.250	25,800 per 15,000 units	25,600 per 15,000 units	2011/06/29	128,000,000	2011/01/31
67765	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11G	0.250	24,300 per 11,000 units	24,100 per 11,000 units	2011/07/28	300,000,000	2011/01/31
67764	UBS AG	HSI R Bull CBBC May 2011 W	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/05/30	300,000,000	2011/01/31
67770	UBS AG	HSI R Bull CBBC Jul 2011 J	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2011/07/28	300,000,000	2011/01/31
67774	UBS AG	HSI R Bear CBBC Jul 2011 K	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2011/07/28	300,000,000	2011/01/31
67235 <sup>+</sup>	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar10C	0.083	20,600 per 25,000 units	21,200 per 25,000 units	2010/03/30	200,000,000	2011/01/31
67838	Deutsche Bank AG	China Life R Bull CBBC Jun 2011 D	0.350	28.000	28.500	2011/06/14	100,000,000	2011/02/01
67840	Deutsche Bank AG	China Life R Bear CBBC Jun 2011 E	0.250	32.000	31.500	2011/06/14	100,000,000	2011/02/01
67828	Deutsche Bank AG	DJIA R Bull CBBC Sep 2011 C	0.250	11,000 per 78,000 units	11,400 per 78,000 units	2011/09/19	80,000,000	2011/02/01
67832	Deutsche Bank AG	DJIA R Bear CBBC Sep 2011 D	0.270	13,200 per 78,000 units	12,800 per 78,000 units	2011/09/19	80,000,000	2011/02/01
67833	Deutsche Bank AG	DJIA R Bear CBBC Sep 2011 E	0.310	13,600 per 78,000 units	13,200 per 78,000 units	2011/09/19	80,000,000	2011/02/01
67827	Deutsche Bank AG	HSCEI R Bull CBBC Jun 2011 C	0.250	11,322 per 8,000 units	11,522 per 8,000 units	2011/06/29	100,000,000	2011/02/01

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67803	HK Bank	ABC R Bull CBBC Aug 2011 A	0.250	3.180	3.480	2011/08/19	80,000,000	2011/02/01
67812	HK Bank	ABC R Bear CBBC Aug 2011 B	0.250	4.800	4.500	2011/08/19	80,000,000	2011/02/01
67804	HK Bank	CNOOC R Bull CBBC Sep 2011 A	0.250	16.880	17.680	2011/09/28	80,000,000	2011/02/01
67814	HK Bank	CNOOC R Bear CBBC Aug 2011 A	0.250	21.080	20.280	2011/08/18	80,000,000	2011/02/01
67805	HK Bank	HKEx R Bull CBBC Aug 2011 A	0.250	167.80 per 100 units	173.80 per 100 units	2011/08/12	100,000,000	2011/02/01
67815	HK Bank	HKEx R Bear CBBC Sep 2011 A	0.300	208.80 per 100 units	202.80 per 100 units	2011/09/27	100,000,000	2011/02/01
67806	HK Bank	PetCh R Bull CBBC Sep 2011 A	0.250	9.380	9.880	2011/09/22	100,000,000	2011/02/01
67818	HK Bank	PetCh R Bear CBBC Aug 2011 A	0.250	12.180	11.680	2011/08/26	100,000,000	2011/02/01
67811	HK Bank	TCH R Bull CBBC Sep 2011 A	0.330	158 per 100 units	164.80 per 100 units	2011/09/20	80,000,000	2011/02/01
67819	HK Bank	TCH R Bear CBBC Aug 2011 A	0.460	236 per 100 units	228.20 per 100 units	2011/08/15	80,000,000	2011/02/01
67841	J P Morgan SP BV	HSI R Bear CBBC May 2011 D	0.250	24,300 per 15,000 units	23,800 per 15,000 units	2011/05/30	200,000,000	2011/02/01
67842	UBS AG	China Life R Bear CBBC Jun 2011 B	0.305	33.880	32.880	2011/06/30	100,000,000	2011/02/01
67822	UBS AG	HSI R Bear CBBC Jun 2011 Y	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/06/29	300,000,000	2011/02/01
67823	UBS AG	HSI R Bear CBBC Aug 2011 D	0.250	24,900 per 15,000 units	24,700 per 15,000 units	2011/08/30	300,000,000	2011/02/01
67843	Credit Suisse AG	HSI R Bull CBBC Jun 2011 V	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2011/06/29	210,000,000	2011/02/02
67846	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 Z	0.250	23,522 per 16,000 units	23,722 per 16,000 units	2011/06/29	300,000,000	2011/02/02
67853	RB of Scotland N.V.	CNOOC R Bull CBBC May 2011 A	0.466	14.080	14.880	2011/05/30	80,000,000	2011/02/02
67849	RB of Scotland N.V.	CPIC R Bull CBBC May 2011 A	0.725	24.600	26.000	2011/05/30	80,000,000	2011/02/02
67850	RB of Scotland N.V.	China Shenhua R Bull CBBC May 2011 A	0.250	25.58 per 100 units	27.08 per 100 units	2011/05/16	80,000,000	2011/02/02
67854	RB of Scotland N.V.	Dongfeng Gp R Bull CBBC May 2011 A	0.532	8.680	9.880	2011/05/30	80,000,000	2011/02/02
67857	RB of Scotland N.V.	Geely Auto R Bull CBBC May 2011 A	0.250	2.580	3.080	2011/05/30	80,000,000	2011/02/02
67859	RB of Scotland N.V.	Jiangxi Copper R Bull CBBC May 2011 A	0.649	17.880	19.080	2011/05/30	80,000,000	2011/02/02
67845	UBS AG	HSI R Bull CBBC Jun 2011 Z	0.250	23,500 per 15,000 units	23,700 per 15,000 units	2011/06/29	300,000,000	2011/02/02
67847	UBS AG	HSI R Bull CBBC Jul 2011 L	0.250	22,900 per 15,000 units	23,100 per 15,000 units	2011/07/28	300,000,000	2011/02/02
67848	UBS AG	HSI R Bull CBBC Jul 2011 M	0.250	23,200 per 15,000 units	23,400 per 15,000 units	2011/07/28	300,000,000	2011/02/02
67852	UBS AG	HSI R Bear CBBC Jul 2011 N	0.250	24,600 per 15,000 units	24,400 per 15,000 units	2011/07/28	300,000,000	2011/02/02
67290 <sup>#</sup>	Credit Suisse AG	HSI R Bull CBBC Jun 2011 L	0.049	23,188 per 10,000 units	23,388 per 10,000 units	2011/06/29	210,000,000	2011/02/02
67191 <sup>#</sup>	Credit Suisse AG	HSI R Bull CBBC Jun 2011 H	0.073	22,888 per 10,000 units	23,088 per 10,000 units	2011/06/29	210,000,000	2011/02/02
67862	Credit Suisse AG	HSI R Bear CBBC May 2011 R	0.250	24,218 per 12,000 units	24,018 per 12,000 units	2011/05/30	210,000,000	2011/02/07
67878	Deutsche Bank AG	HSI R Bear CBBC May 2011 B	0.250	24,222 per 11,000 units	24,022 per 11,000 units	2011/05/30	300,000,000	2011/02/07
67898	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 F	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/06/29	200,000,000	2011/02/08
67899	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 K	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/06/29	200,000,000	2011/02/08
67880	Credit Suisse AG	HSI R Bull CBBC Jun 2011 M	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2011/06/29	210,000,000	2011/02/08
67885	Credit Suisse AG	HSI R Bear CBBC May 2011 D	0.250	23,818 per 10,000 units	23,618 per 10,000 units	2011/05/30	210,000,000	2011/02/08
67879	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 V	0.250	23,422 per 11,000 units	23,622 per 11,000 units	2011/08/30	300,000,000	2011/02/08
67901	Deutsche Bank AG	HSI R Bear CBBC May 2011 J	0.250	23,822 per 11,000 units	23,622 per 11,000 units	2011/05/30	300,000,000	2011/02/08
67897	Deutsche Bank AG	HSI R Bear CBBC May 2011 O	0.250	23,922 per 16,000 units	23,722 per 16,000 units	2011/05/30	300,000,000	2011/02/08
67907	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 I	0.250	24,900 per 13,000 units	24,400 per 13,000 units	2011/06/29	250,000,000	2011/02/08
67892	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Q	0.250	23,400 per 11,000 units	23,600 per 11,000 units	2011/06/29	300,000,000	2011/02/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67896	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11Q	0.250	24,200 per 11,000 units	24,000 per 11,000 units	2011/05/30	300,000,000	2011/02/08
67895	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11R	0.250	24,100 per 11,000 units	23,900 per 11,000 units	2011/05/30	300,000,000	2011/02/08
67894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11S	0.250	24,000 per 11,000 units	23,800 per 11,000 units	2011/05/30	300,000,000	2011/02/08
67893	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11T	0.250	23,900 per 11,000 units	23,700 per 11,000 units	2011/05/30	300,000,000	2011/02/08
67886	UBS AG	HSI R Bull CBBC May 2011 L	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/05/30	300,000,000	2011/02/08
67891	UBS AG	HSI R Bull CBBC Jun 2011 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/06/29	300,000,000	2011/02/08
67890	UBS AG	HSI R Bull CBBC Aug 2011 E	0.250	22,300 per 15,000 units	22,500 per 15,000 units	2011/08/30	300,000,000	2011/02/08
67900	UBS AG	HSI R Bear CBBC May 2011 U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/05/30	300,000,000	2011/02/08
67932	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 I	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/06/29	200,000,000	2011/02/09
67931	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/06/29	200,000,000	2011/02/09
67933	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 V	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/06/29	200,000,000	2011/02/09
67926	Credit Suisse AG	A50 China R Bull CBBC Jun 2011 A	0.250	10.400	10.800	2011/06/20	100,000,000	2011/02/09
67925	Credit Suisse AG	A50 China R Bear CBBC May 2011 D	0.250	13.200	12.800	2011/05/23	100,000,000	2011/02/09
67909	Credit Suisse AG	HSI R Bull CBBC Jul 2011 I	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/07/28	210,000,000	2011/02/09
67927	Credit Suisse AG	HSI R Bear CBBC May 2011 X	0.250	23,618 per 10,000 units	23,418 per 10,000 units	2011/05/30	210,000,000	2011/02/09
67930	Credit Suisse AG	HSI R Bear CBBC May 2011 Y	0.250	23,918 per 12,000 units	23,718 per 12,000 units	2011/05/30	210,000,000	2011/02/09
67910	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 L	0.260	23,322 per 11,000 units	23,522 per 11,000 units	2011/06/29	300,000,000	2011/02/09
67911	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 Y	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/07/28	300,000,000	2011/02/09
67938	Deutsche Bank AG	HSI R Bear CBBC May 2011 H	0.250	23,622 per 11,000 units	23,422 per 11,000 units	2011/05/30	300,000,000	2011/02/09
67913	HK Bank	HSI R Bear CBBC Jul 2011 E	0.250	24,100 per 10,000 units	23,688 per 10,000 units	2011/07/28	100,000,000	2011/02/09
67916	HK Bank	HSI R Bear CBBC Jul 2011 F	0.250	24,400 per 12,000 units	24,000 per 12,000 units	2011/07/28	100,000,000	2011/02/09
67917	HK Bank	HSI R Bear CBBC Jul 2011 G	0.250	24,700 per 20,000 units	24,300 per 20,000 units	2011/07/28	100,000,000	2011/02/09
67937	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 R	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/05/30	128,000,000	2011/02/09
67919	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11J	0.250	23,100 per 11,000 units	23,300 per 11,000 units	2011/06/29	300,000,000	2011/02/09
67921	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11N	0.250	23,700 per 11,000 units	23,500 per 11,000 units	2011/05/30	300,000,000	2011/02/09
67920	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11O	0.250	23,600 per 11,000 units	23,400 per 11,000 units	2011/05/30	300,000,000	2011/02/09
67922	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11P	0.250	23,800 per 11,000 units	23,600 per 11,000 units	2011/05/30	300,000,000	2011/02/09
67934	UBS AG	HSI R Bull CBBC May 2011 B	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/05/30	300,000,000	2011/02/09
67912	UBS AG	HSI R Bull CBBC Jun 2011 K	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/06/29	300,000,000	2011/02/09
67936	UBS AG	HSI R Bull CBBC Jul 2011 O	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2011/07/28	300,000,000	2011/02/09
67939	UBS AG	HSI R Bear CBBC May 2011 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/05/30	300,000,000	2011/02/09
67710*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 H	0.176	22,000 per 10,000 units	22,200 per 10,000 units	2011/06/29	140,000,000	2011/02/09
67954	Credit Suisse AG	HSI R Bull CBBC Jun 2011 O	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2011/06/29	210,000,000	2011/02/10
67952	Credit Suisse AG	HSI R Bear CBBC May 2011 W	0.250	23,718 per 12,000 units	23,518 per 12,000 units	2011/05/30	210,000,000	2011/02/10
67955	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 W	0.250	23,222 per 11,000 units	23,422 per 11,000 units	2011/08/30	300,000,000	2011/02/10
67956	Deutsche Bank AG	HSI R Bear CBBC May 2011 Q	0.250	23,722 per 11,000 units	23,522 per 11,000 units	2011/05/30	300,000,000	2011/02/10
67940	HK Bank	Sinopec Corp R Bull CBBC Jul 2011 A	0.250	7.180	7.480	2011/07/22	280,000,000	2011/02/10
67941	HK Bank	Sinopec Corp R Bull CBBC Sep 2011 A	0.250	7.580	7.880	2011/09/22	280,000,000	2011/02/10
67946	HK Bank	Sinopec Corp R Bear CBBC Jun 2011 E	0.250	10.180	9.880	2011/06/28	120,000,000	2011/02/10
67960	UBS AG	CNOOC R Bear CBBC Aug 2011 A	0.295	20.680	19.880	2011/08/08	100,000,000	2011/02/10



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67959	UBS AG	Sinopec Corp R Bear CBBC Aug 2011 A	0.250	10.180	9.880	2011/08/22	100,000,000	2011/02/10
67948	UBS AG	HSI R Bear CBBC Jul 2011 P	0.250	23,700 per 15,000 units	23,500 per 15,000 units	2011/07/28	300,000,000	2011/02/10
67961	UBS AG	Petrochina R Bear CBBC Aug 2011 A	0.250	12.980	12.380	2011/08/08	100,000,000	2011/02/10
67958	UBS AG	SHK Ppt R Bull CBBC Aug 2011 A	0.250	111.88 per 100 units	118.88 per 100 units	2011/08/29	100,000,000	2011/02/10
67369 <sup>†</sup>	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr10T	0.103	21,700 per 15,000 units	21,100 per 15,000 units	2010/04/29	280,000,000	2011/02/10
67783 <sup>†</sup>	KBC Fin Products Int'l Ltd.	COVS R Bull CBBC Feb 2010 A	0.045	14.800	16.000	2010/02/01	240,000,000	2011/02/10
67979	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 P	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/09/29	200,000,000	2011/02/11
67981	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/10/28	200,000,000	2011/02/11
67983	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 B	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/10/28	200,000,000	2011/02/11
67986	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Nov 2011 U	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/11/29	200,000,000	2011/02/11
67987	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Nov 2011 V	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/11/29	200,000,000	2011/02/11
67970	Credit Suisse AG	HSI R Bull CBBC Jun 2011 N	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/06/29	210,000,000	2011/02/11
67978	Credit Suisse AG	HSI R Bull CBBC Jul 2011 A	0.250	23,488 per 12,000 units	23,688 per 12,000 units	2011/07/28	210,000,000	2011/02/11
67972	Credit Suisse AG	HSI R Bull CBBC Jul 2011 J	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/07/28	210,000,000	2011/02/11
67969	Credit Suisse AG	HSI R Bear CBBC May 2011 T	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/05/30	210,000,000	2011/02/11
67967	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 Z	0.250	23,822 per 11,000 units	23,822 per 11,000 units	2011/07/28	300,000,000	2011/02/11
67966	Deutsche Bank AG	HSI R Bear CBBC May 2011 P	0.250	24,022 per 11,000 units	23,822 per 11,000 units	2011/05/30	300,000,000	2011/02/11
67990	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 Q	0.250	23,400 per 8,000 units	23,600 per 8,000 units	2011/05/30	128,000,000	2011/02/11
67988	UBS AG	HSI R Bull CBBC May 2011 W	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/05/30	300,000,000	2011/02/11
67989	UBS AG	HSI R Bull CBBC Jun 2011 L	0.250	23,500 per 15,000 units	23,700 per 15,000 units	2011/06/29	300,000,000	2011/02/11
67962	UBS AG	HSI R Bear CBBC Jun 2011 A	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/06/29	300,000,000	2011/02/11
67963	UBS AG	HSI R Bear CBBC Jul 2011 Q	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2011/07/28	300,000,000	2011/02/11
67965	UBS AG	HSI R Bear CBBC Jul 2011 R	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2011/07/28	300,000,000	2011/02/11
67622 <sup>*</sup>	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 C	0.065	22,700 per 10,000 units	22,900 per 10,000 units	2011/06/29	160,000,000	2011/02/11
68004	Credit Suisse AG	HSI R Bull CBBC Jul 2011 S	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2011/07/28	210,000,000	2011/02/14
68005	Credit Suisse AG	HSI R Bear CBBC May 2011 A	0.250	24,118 per 10,000 units	23,918 per 10,000 units	2011/05/30	210,000,000	2011/02/14
68013	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 L	0.250	23,522 per 16,000 units	23,722 per 16,000 units	2011/07/28	300,000,000	2011/02/14
68014	Deutsche Bank AG	HSI R Bear CBBC May 2011 U	0.250	24,122 per 11,000 units	23,922 per 11,000 units	2011/05/30	300,000,000	2011/02/14
68017	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 T	0.250	24,000 per 12,000 units	23,800 per 12,000 units	2011/06/29	128,000,000	2011/02/14
68018	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 U	0.250	24,800 per 12,000 units	24,600 per 12,000 units	2011/06/29	128,000,000	2011/02/14
68019	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 V	0.250	26,200 per 15,000 units	26,000 per 15,000 units	2011/06/29	158,000,000	2011/02/14
68021	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 D	0.250	25,000 per 8,000 units	24,800 per 8,000 units	2011/07/28	128,000,000	2011/02/14
68022	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 E	0.270	26,000 per 10,000 units	25,800 per 10,000 units	2011/07/28	128,000,000	2011/02/14
68023	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 F	0.340	26,600 per 10,000 units	26,400 per 10,000 units	2011/07/28	128,000,000	2011/02/14
68025	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 B	0.250	26,100 per 12,000 units	25,900 per 12,000 units	2011/08/30	128,000,000	2011/02/14
68026	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 C	0.390	26,400 per 8,000 units	26,200 per 8,000 units	2011/08/30	128,000,000	2011/02/14
68027	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 D	0.290	26,700 per 12,000 units	26,500 per 12,000 units	2011/08/30	128,000,000	2011/02/14
68028	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Sep 2011 A	0.250	26,800 per 15,000 units	26,600 per 15,000 units	2011/09/29	158,000,000	2011/02/14
68001	RB of Scotland N.V.	DJIA R Bull CBBC Jun 2011 D	0.251	10,800 per 60,000 units	11,200 per 60,000 units	2011/06/17	80,000,000	2011/02/14
68002	RB of Scotland N.V.	DJIA R Bull CBBC Jun 2011 E	0.251	11,200 per 60,000 units	11,600 per 60,000 units	2011/06/17	80,000,000	2011/02/14
68006	RB of Scotland N.V.	DJIA R Bear CBBC Jun 2011 F	0.250	12,700 per 60,000 units	12,300 per 60,000 units	2011/06/17	80,000,000	2011/02/14
68007	RB of Scotland N.V.	DJIA R Bear CBBC Jun 2011 G	0.250	13,100 per 60,000 units	12,700 per 60,000 units	2011/06/17	80,000,000	2011/02/14

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68008	RB of Scotland N.V.	DJIA R Bear CBBC Jun 2011 H	0.250	13,400 per 78,000 units	13,000 per 78,000 units	2011/06/17	80,000,000	2011/02/14
68010	RB of Scotland N.V.	DJIA R Bear CBBC Jun 2011 I	0.251	13,800 per 60,000 units	13,400 per 60,000 units	2011/06/17	80,000,000	2011/02/14
67991	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11G	0.250	23,200 per 11,000 units	23,400 per 11,000 units	2011/06/29	300,000,000	2011/02/14
68000	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11H	0.250	23,600 per 11,000 units	23,800 per 11,000 units	2011/06/29	300,000,000	2011/02/14
67998	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11I	0.250	23,500 per 11,000 units	23,700 per 11,000 units	2011/06/29	300,000,000	2011/02/14
67993	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11L	0.250	23,300 per 11,000 units	23,500 per 11,000 units	2011/06/29	300,000,000	2011/02/14
68003	UBS AG	HSI R Bull CBBC Jun 2011 O	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/06/29	300,000,000	2011/02/14
68015	UBS AG	HSI R Bear CBBC Jun 2011 T	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/06/29	300,000,000	2011/02/14
68033	Credit Suisse AG	A50 China R Bear CBBC Jun 2011 B	0.250	14.700	14.300	2011/06/20	100,000,000	2011/02/15
68034	Credit Suisse AG	TCH R Bear CBBC May 2011 C	0.250	216 per 100 units	208 per 100 units	2011/05/30	100,000,000	2011/02/15
68035	Credit Suisse AG	TCH R Bear CBBC Jun 2011 A	0.250	208 per 100 units	200 per 100 units	2011/06/20	100,000,000	2011/02/15
68036	Deutsche Bank AG	China Life R Bull CBBC Jun 2011 F	0.250	29.000	29.500	2011/06/27	100,000,000	2011/02/15
68037	Deutsche Bank AG	China Life R Bull CBBC Jul 2011 A	0.370	27.000	27.500	2011/07/11	100,000,000	2011/02/15
68029	UBS AG	HSI R Bull CBBC Jul 2011 S	0.250	23,200 per 15,000 units	23,400 per 15,000 units	2011/07/28	300,000,000	2011/02/15
68070	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 T	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/06/29	200,000,000	2011/02/16
68069	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 U	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/06/29	200,000,000	2011/02/16
68067	Credit Suisse AG	HSI R Bull CBBC Jul 2011 Z	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/07/28	210,000,000	2011/02/16
68056	Credit Suisse AG	HSI R Bear CBBC May 2011 X	0.250	23,618 per 10,000 units	23,418 per 10,000 units	2011/05/30	210,000,000	2011/02/16
68068	Credit Suisse AG	HSI R Bear CBBC Jun 2011 L	0.250	23,418 per 10,000 units	23,218 per 10,000 units	2011/06/29	210,000,000	2011/02/16
68064	Deutsche Bank AG	China Mobile R Bull CBBC Jun 2011 C	0.250	67 per 100 units	69 per 100 units	2011/06/27	100,000,000	2011/02/16
68083	Deutsche Bank AG	China Mobile R Bear CBBC Jul 2011 B	0.250	78 per 100 units	76 per 100 units	2011/07/18	100,000,000	2011/02/16
68084	Deutsche Bank AG	DJIA R Bull CBBC Sep 2011 F	0.250	11,400 per 78,000 units	11,800 per 78,000 units	2011/09/19	80,000,000	2011/02/16
68062	Deutsche Bank AG	HSBC R Bull CBBC Jul 2011 B	0.250	84.50 per 100 units	87 per 100 units	2011/07/11	100,000,000	2011/02/16
68063	Deutsche Bank AG	HSBC R Bear CBBC Jun 2011 F	0.250	99.50 per 100 units	97 per 100 units	2011/06/27	100,000,000	2011/02/16
68085	Deutsche Bank AG	HSCEI R Bear CBBC Jun 2011 D	0.250	12,822 per 8,000 units	12,622 per 8,000 units	2011/06/29	80,000,000	2011/02/16
68057	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 K	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/07/28	300,000,000	2011/02/16
68059	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 O	0.250	23,022 per 11,000 units	23,222 per 11,000 units	2011/07/28	300,000,000	2011/02/16
68086	Deutsche Bank AG	HSI R Bear CBBC May 2011 S	0.270	23,622 per 11,000 units	23,422 per 11,000 units	2011/05/30	300,000,000	2011/02/16
68087	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 J	0.250	23,422 per 11,000 units	23,222 per 11,000 units	2011/06/29	300,000,000	2011/02/16
68077	J P Morgan SP BV	HSI R Bear CBBC May 2011 E	0.250	23,700 per 15,000 units	23,200 per 15,000 units	2011/05/30	200,000,000	2011/02/16
68078	J P Morgan SP BV	HSI R Bear CBBC May 2011 F	0.250	24,000 per 10,000 units	23,500 per 10,000 units	2011/05/30	200,000,000	2011/02/16
68079	J P Morgan SP BV	HSI R Bear CBBC May 2011 G	0.250	24,300 per 15,000 units	23,800 per 15,000 units	2011/05/30	200,000,000	2011/02/16
68058	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 O	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/05/30	158,000,000	2011/02/16
68072	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/05/30	128,000,000	2011/02/16
68073	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 X	0.250	23,800 per 8,000 units	23,600 per 8,000 units	2011/05/30	128,000,000	2011/02/16
68074	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 W	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2011/06/29	128,000,000	2011/02/16
68075	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 X	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/06/29	128,000,000	2011/02/16
68076	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 G	0.250	24,100 per 15,000 units	23,900 per 15,000 units	2011/07/28	158,000,000	2011/02/16
68080	RB of Scotland N.V.	China Coal R Bull CBBC Jul 2011 A	0.334	7.860	9.060	2011/07/25	80,000,000	2011/02/16
68081	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 J	0.250	24,500 per 13,000 units	24,000 per 13,000 units	2011/06/29	250,000,000	2011/02/16
68082	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 K	0.250	24,700 per 13,000 units	24,200 per 13,000 units	2011/06/29	250,000,000	2011/02/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68041	SGA Societe Generale Acceptance N.V.	CCB R Bull CBBC Jul11A	0.250	5.200	5.500	2011/07/25	200,000,000	2011/02/16
68045	SGA Societe Generale Acceptance N.V.	CCB R Bull CBBC Jul11B	0.250	5.700	6.000	2011/07/25	200,000,000	2011/02/16
68046	SGA Societe Generale Acceptance N.V.	CCB R Bear CBBC Jul11C	0.250	7.800	7.500	2011/07/25	200,000,000	2011/02/16
68047	SGA Societe Generale Acceptance N.V.	CCB R Bear CBBC Jul11D	0.250	8.300	8.000	2011/07/25	200,000,000	2011/02/16
68053	SGA Societe Generale Acceptance NV	HSCEI R Bull CBBC Jul11A	0.250	11,100 per 12,000 units	11,500 per 12,000 units	2011/07/28	200,000,000	2011/02/16
68054	SGA Societe Generale Acceptance NV	HSCEI R Bull CBBC Jul11B	0.250	11,600 per 12,000 units	12,000 per 12,000 units	2011/07/28	200,000,000	2011/02/16
68055	SGA Societe Generale Acceptance NV	HSCEI R Bear CBBC Jul11C	0.250	14,400 per 12,000 units	14,000 per 12,000 units	2011/07/28	200,000,000	2011/02/16
68048	SGA Societe Generale Acceptance N.V.	ICBC R Bull CBBC Jul11A	0.250	5.200	5.500	2011/07/25	300,000,000	2011/02/16
68049	SGA Societe Generale Acceptance N.V.	ICBC R Bear CBBC Jul11B	0.250	6.800	6.500	2011/07/25	300,000,000	2011/02/16
68050	SGA Societe Generale Acceptance N.V.	TCH R Bull CBBC Jul11A	0.250	172 per 100 units	180 per 100 units	2011/07/25	100,000,000	2011/02/16
68051	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC Jul11B	0.250	218 per 100 units	210 per 100 units	2011/07/25	100,000,000	2011/02/16
68052	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC Jul11C	0.350	228 per 100 units	220 per 100 units	2011/07/25	100,000,000	2011/02/16
68089	UBS AG	China Mobile R Bear CBBC Jul 2011 A	0.250	83.88 per 100 units	80.88 per 100 units	2011/07/11	100,000,000	2011/02/16
68088	UBS AG	HSBC R Bull CBBC Jul 2011 B	0.250	82 per 100 units	85 per 100 units	2011/07/04	100,000,000	2011/02/16
68066	UBS AG	HSI R Bull CBBC May 2011 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/05/30	300,000,000	2011/02/16
68090	UBS AG	HSI R Bear CBBC Jun 2011 J	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/06/29	300,000,000	2011/02/16
68150	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2011 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/06/29	200,000,000	2011/02/17
68151	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	200,000,000	2011/02/17
68152	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/02/17
68153	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 K	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/07/28	200,000,000	2011/02/17
68154	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	200,000,000	2011/02/17
68098	Credit Suisse AG	HSI R Bull CBBC Jul 2011 W	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2011/07/28	210,000,000	2011/02/17
68099	Credit Suisse AG	HSI R Bull CBBC Aug 2011 J	0.250	21,088 per 10,000 units	21,288 per 10,000 units	2011/08/30	210,000,000	2011/02/17
68100	Credit Suisse AG	HSI R Bull CBBC Aug 2011 K	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/08/30	210,000,000	2011/02/17
68101	Credit Suisse AG	HSI R Bull CBBC Sep 2011 A	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2011/09/29	210,000,000	2011/02/17
68102	Credit Suisse AG	HSI R Bull CBBC Sep 2011 B	0.250	20,988 per 12,000 units	21,188 per 12,000 units	2011/09/29	210,000,000	2011/02/17
68103	Credit Suisse AG	HSI R Bull CBBC Sep 2011 C	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/09/29	210,000,000	2011/02/17
68104	Credit Suisse AG	HSI R Bull CBBC Sep 2011 D	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/09/29	210,000,000	2011/02/17
68105	Credit Suisse AG	HSI R Bull CBBC Sep 2011 E	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/09/29	210,000,000	2011/02/17
68106	Credit Suisse AG	HSI R Bull CBBC Oct 2011 A	0.250	20,788 per 10,000 units	20,988 per 10,000 units	2011/10/28	210,000,000	2011/02/17
68108	Credit Suisse AG	HSI R Bull CBBC Oct 2011 B	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2011/10/28	210,000,000	2011/02/17
68109	Credit Suisse AG	HSI R Bull CBBC Oct 2011 C	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/10/28	210,000,000	2011/02/17
68114	Credit Suisse AG	HSI R Bear CBBC May 2011 S	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/05/30	210,000,000	2011/02/17
68130	Credit Suisse AG	HSI R Bear CBBC Jun 2011 M	0.250	22,918 per 10,000 units	22,718 per 10,000 units	2011/06/29	210,000,000	2011/02/17
68116	Credit Suisse AG	HSI R Bear CBBC Jun 2011 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/06/29	210,000,000	2011/02/17
68136	Deutsche Bank AG	China Life R Bear CBBC Jul 2011 B	0.250	31.000	30.500	2011/07/11	100,000,000	2011/02/17
68137	Deutsche Bank AG	HSCEI R Bull CBBC Jun 2011 E	0.250	10,322 per 8,000 units	10,522 per 8,000 units	2011/06/29	80,000,000	2011/02/17
68093	Deutsche Bank AG	HSI R Bull CBBC Jun 2011 R	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/06/29	300,000,000	2011/02/17
68129	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 V	0.250	22,622 per 11,000 units	22,822 per 11,000 units	2011/07/28	300,000,000	2011/02/17
68097	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 X	0.270	22,722 per 11,000 units	22,922 per 11,000 units	2011/08/30	300,000,000	2011/02/17

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68122	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 N	0.300	19,150 per 20,000 units	19,650 per 20,000 units	2011/09/29	300,000,000	2011/02/17
68123	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 O	0.250	21,522 per 16,000 units	21,722 per 16,000 units	2011/09/29	300,000,000	2011/02/17
68124	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 H	0.300	20,122 per 16,000 units	20,322 per 16,000 units	2011/10/28	300,000,000	2011/02/17
68138	Deutsche Bank AG	HSI R Bear CBBC May 2011 W	0.250	22,922 per 16,000 units	22,722 per 16,000 units	2011/05/30	300,000,000	2011/02/17
68139	Deutsche Bank AG	HSI R Bear CBBC May 2011 Y	0.250	23,222 per 11,000 units	23,022 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68140	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 Q	0.270	23,022 per 11,000 units	22,822 per 11,000 units	2011/06/29	300,000,000	2011/02/17
68125	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 Y	0.270	24,922 per 16,000 units	24,722 per 16,000 units	2011/08/30	300,000,000	2011/02/17
68126	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 P	0.250	24,450 per 20,000 units	23,950 per 20,000 units	2011/09/29	300,000,000	2011/02/17
68092	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/06/29	188,000,000	2011/02/17
68127	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 Z	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2011/06/29	208,000,000	2011/02/17
68155	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC May 2011 R	0.250	23,200 per 8,000 units	23,000 per 8,000 units	2011/05/30	128,000,000	2011/02/17
68156	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 B	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/06/29	188,000,000	2011/02/17
68128	Macquarie Bank Ltd.	HSI R Bear CBBC May 2011 B	0.150	23,800 per 11,000 units	23,600 per 11,000 units	2011/05/30	200,000,000	2011/02/17
68131	RB of Scotland N.V.	CM Bank R Bull CBBC Jul 2011 A	0.503	12.880	14.880	2011/07/18	80,000,000	2011/02/17
68132	RB of Scotland N.V.	HKEx R Bull CBBC Jul 2011 A	0.250	144 per 100 units	150 per 100 units	2011/07/18	80,000,000	2011/02/17
68133	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 L	0.250	24,000 per 13,000 units	23,500 per 13,000 units	2011/06/29	250,000,000	2011/02/17
68134	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 M	0.250	24,300 per 13,000 units	23,800 per 13,000 units	2011/06/29	250,000,000	2011/02/17
68135	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 N	0.250	24,600 per 13,000 units	24,100 per 13,000 units	2011/06/29	250,000,000	2011/02/17
68119	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11K	0.250	23,500 per 11,000 units	23,300 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68118	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11L	0.250	23,400 per 11,000 units	23,200 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68117	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11M	0.250	23,300 per 11,000 units	23,100 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68121	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11N	0.250	23,700 per 11,000 units	23,500 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68120	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11O	0.250	23,600 per 11,000 units	23,400 per 11,000 units	2011/05/30	300,000,000	2011/02/17
68149	UBS AG	HSBC R Bear CBBC Jul 2011 C	0.250	103 per 100 units	100 per 100 units	2011/07/18	100,000,000	2011/02/17
68141	UBS AG	HSI R Bull CBBC May 2011 L	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/05/30	300,000,000	2011/02/17
68111	UBS AG	HSI R Bull CBBC May 2011 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/05/30	300,000,000	2011/02/17
68144	UBS AG	HSI R Bull CBBC Jun 2011 S	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/06/29	300,000,000	2011/02/17
68143	UBS AG	HSI R Bull CBBC Jun 2011 U	0.250	21,500 per 12,000 units	21,700 per 12,000 units	2011/06/29	300,000,000	2011/02/17
68145	UBS AG	HSI R Bull CBBC Jul 2011 T	0.250	21,200 per 12,000 units	21,400 per 12,000 units	2011/07/28	300,000,000	2011/02/17
68142	UBS AG	HSI R Bull CBBC Jul 2011 U	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2011/07/28	300,000,000	2011/02/17
68146	UBS AG	HSI R Bear CBBC May 2011 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/05/30	300,000,000	2011/02/17
68147	UBS AG	HSI R Bear CBBC Jun 2011 N	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/06/29	300,000,000	2011/02/17
68148	UBS AG	HSI R Bear CBBC Jul 2011 V	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/07/28	300,000,000	2011/02/17
68203	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 G	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/10/28	200,000,000	2011/02/18
68205	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 J	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/10/28	200,000,000	2011/02/18
68204	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 K	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/10/28	200,000,000	2011/02/18
68163	Credit Suisse AG	HSI R Bull CBBC Aug 2011 L	0.250	22,400 per 12,000 units	22,700 per 12,000 units	2011/08/30	210,000,000	2011/02/18
68171	Credit Suisse AG	HSI R Bull CBBC Aug 2011 M	0.250	20,688 per 12,000 units	20,888 per 12,000 units	2011/08/30	210,000,000	2011/02/18
68172	Credit Suisse AG	HSI R Bull CBBC Aug 2011 N	0.250	22,288 per 10,000 units	22,488 per 10,000 units	2011/08/30	210,000,000	2011/02/18
68173	Credit Suisse AG	HSI R Bull CBBC Sep 2011 F	0.250	20,388 per 12,000 units	20,588 per 12,000 units	2011/09/29	210,000,000	2011/02/18
68174	Credit Suisse AG	HSI R Bull CBBC Sep 2011 G	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2011/09/29	210,000,000	2011/02/18
68175	Credit Suisse AG	HSI R Bull CBBC Oct 2011 D	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2011/10/28	210,000,000	2011/02/18
68176	Credit Suisse AG	HSI R Bull CBBC Oct 2011 E	0.250	20,488 per 10,000 units	20,688 per 10,000 units	2011/10/28	210,000,000	2011/02/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68164	Credit Suisse AG	HSI R Bear CBBC May 2011 B	0.250	23,100 per 12,000 units	22,800 per 12,000 units	2011/05/30	210,000,000	2011/02/18
68165	Credit Suisse AG	HSI R Bear CBBC Jun 2011 Z	0.250	23,400 per 12,000 units	23,100 per 12,000 units	2011/06/29	210,000,000	2011/02/18
68201	Deutsche Bank AG	China Mobile R Bull CBBC Jul 2011 C	0.250	64 per 100 units	66 per 100 units	2011/07/11	100,000,000	2011/02/18
68200	Deutsche Bank AG	China Life R Bull CBBC Jul 2011 C	0.400	26.000	26.500	2011/07/18	100,000,000	2011/02/18
68179	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 U	0.250	22,322 per 11,000 units	22,522 per 11,000 units	2011/07/28	300,000,000	2011/02/18
68167	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 Z	0.250	22,422 per 11,000 units	22,622 per 11,000 units	2011/08/30	300,000,000	2011/02/18
68180	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 T	0.270	23,122 per 11,000 units	22,922 per 11,000 units	2011/06/29	300,000,000	2011/02/18
68181	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 V	0.250	23,522 per 16,000 units	23,322 per 16,000 units	2011/06/29	300,000,000	2011/02/18
68162	HK Bank	HSI R Bear CBBC Aug 2011 B	0.250	23,600 per 15,000 units	23,300 per 15,000 units	2011/08/30	150,000,000	2011/02/18
68190	J P Morgan SP BV	HSI R Bear CBBC May 2011 H	0.250	23,500 per 10,000 units	23,000 per 10,000 units	2011/05/30	200,000,000	2011/02/18
68199	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 C	0.250	22,100 per 12,000 units	22,500 per 12,000 units	2011/06/29	188,000,000	2011/02/18
68198	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 D	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/06/29	158,000,000	2011/02/18
68191	Macquarie Bank Ltd.	HSI R Bull CBBC Jul 2011 A	0.250	22,400 per 11,000 units	22,800 per 11,000 units	2011/07/28	200,000,000	2011/02/18
68158	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11H	0.265	20,700 per 11,000 units	20,900 per 11,000 units	2011/07/28	300,000,000	2011/02/18
68157	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11I	0.275	20,600 per 11,000 units	20,800 per 11,000 units	2011/07/28	300,000,000	2011/02/18
68159	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11N	0.255	20,800 per 11,000 units	21,000 per 11,000 units	2011/07/28	300,000,000	2011/02/18
68160	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11F	0.250	23,100 per 11,000 units	22,900 per 11,000 units	2011/06/29	300,000,000	2011/02/18
68161	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11U	0.250	23,200 per 11,000 units	23,000 per 11,000 units	2011/06/29	300,000,000	2011/02/18
68169	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11W	0.250	23,000 per 11,000 units	22,800 per 11,000 units	2011/06/29	300,000,000	2011/02/18
68168	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11X	0.250	22,900 per 11,000 units	22,700 per 11,000 units	2011/06/29	300,000,000	2011/02/18
68183	UBS AG	Cheung Kong R Bull CBBC Jul 2011 A	0.250	102.88 per 100 units	108.88 per 100 units	2011/07/18	100,000,000	2011/02/18
68184	UBS AG	China Life R Bull CBBC Aug 2011 A	0.510	23.880	24.880	2011/08/22	100,000,000	2011/02/18
68197	UBS AG	China Life R Bear CBBC Aug 2011 B	0.280	31.880	30.880	2011/08/08	100,000,000	2011/02/18
68185	UBS AG	CNOOC R Bull CBBC Aug 2011 B	0.250	14.080	14.880	2011/08/08	100,000,000	2011/02/18
68186	UBS AG	HKEx R Bull CBBC Jul 2011 A	0.250	142.88 per 100 units	148.88 per 100 units	2011/07/04	100,000,000	2011/02/18
68192	UBS AG	HKEx R Bear CBBC Jul 2011 B	0.265	194.88 per 100 units	188.88 per 100 units	2011/07/04	100,000,000	2011/02/18
68166	UBS AG	HSI R Bull CBBC Jun 2011 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/06/29	300,000,000	2011/02/18
68188	UBS AG	HSI R Bear CBBC May 2011 F	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/05/30	300,000,000	2011/02/18
68189	UBS AG	HSI R Bear CBBC Jul 2011 W	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/07/28	300,000,000	2011/02/18
68242	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/09/29	200,000,000	2011/02/21
68244	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 C	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/10/28	200,000,000	2011/02/21
68243	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 H	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/10/28	200,000,000	2011/02/21
68222	Credit Suisse AG	HSI R Bull CBBC Jul 2011 E	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2011/07/28	210,000,000	2011/02/21
68235	Credit Suisse AG	HSI R Bull CBBC Sep 2011 H	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2011/09/29	210,000,000	2011/02/21
68218	Credit Suisse AG	HSI R Bear CBBC May 2011 C	0.250	23,118 per 10,000 units	22,918 per 10,000 units	2011/05/30	210,000,000	2011/02/21
68232	Deutsche Bank AG	A50 China R Bull CBBC Jul 2011 A	0.250	12.500	12.800	2011/07/12	80,000,000	2011/02/21
68234	Deutsche Bank AG	A50 China R Bull CBBC Jul 2011 B	0.350	11.500	11.800	2011/07/26	80,000,000	2011/02/21
68227	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 I	0.270	22,522 per 16,000 units	22,722 per 16,000 units	2011/10/28	300,000,000	2011/02/21
68229	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 J	0.250	22,822 per 11,000 units	23,022 per 11,000 units	2011/10/28	300,000,000	2011/02/21
68230	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 Q	0.260	23,322 per 11,000 units	23,122 per 11,000 units	2011/09/29	300,000,000	2011/02/21
68245	J P Morgan SP BV	HSI R Bull CBBC May 2011 I	0.250	22,000 per 10,000 units	22,500 per 10,000 units	2011/05/30	200,000,000	2011/02/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68247	J P Morgan SP BV	HSI R Bull CBBC May 2011 J	0.250	22,300 per 15,000 units	22,800 per 15,000 units	2011/05/30	200,000,000	2011/02/21
68248	J P Morgan SP BV	HSI R Bull CBBC May 2011 K	0.250	22,500 per 10,000 units	23,000 per 10,000 units	2011/05/30	200,000,000	2011/02/21
68206	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2011 B	0.250	24,800 per 15,000 units	24,400 per 15,000 units	2011/06/29	200,000,000	2011/02/21
68211	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11J	0.250	22,600 per 11,000 units	22,800 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68226	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11L	0.250	22,800 per 11,000 units	23,000 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68208	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Q	0.250	22,400 per 11,000 units	22,600 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68207	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11V	0.250	22,300 per 11,000 units	22,500 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68210	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Y	0.250	22,500 per 11,000 units	22,700 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68225	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Z	0.250	22,700 per 11,000 units	22,900 per 11,000 units	2011/06/29	300,000,000	2011/02/21
68236	UBS AG	ABC R Bull CBBC Aug 2011 A	0.250	3.180	3.480	2011/08/15	100,000,000	2011/02/21
68240	UBS AG	ABC R Bear CBBC Sep 2011 A	0.250	4.680	4.380	2011/09/05	100,000,000	2011/02/21
68237	UBS AG	China Mobile R Bull CBBC Aug 2011 A	0.250	65.88 per 100 units	68.88 per 100 units	2011/08/22	100,000,000	2011/02/21
68238	UBS AG	HSI R Bull CBBC May 2011 Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/05/30	300,000,000	2011/02/21
68239	UBS AG	HSI R Bull CBBC Jun 2011 K	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/06/29	300,000,000	2011/02/21
68216	UBS AG	HSI R Bear CBBC May 2011 B	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/05/30	300,000,000	2011/02/21
68241	UBS AG	HSI R Bear CBBC May 2011 T	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/05/30	300,000,000	2011/02/21
67756*	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Apr 2011 M	0.111	24,200 per 10,000 units	24,000 per 10,000 units	2011/04/28	120,000,000	2011/02/21
68256	Credit Suisse AG	HKEX R Bear CBBC May 2011 C	0.250	186 per 100 units	180 per 100 units	2011/05/23	100,000,000	2011/02/22
68252	Credit Suisse AG	HSI R Bull CBBC Oct 2011 F	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2011/10/28	210,000,000	2011/02/22
68253	Credit Suisse AG	HSI R Bear CBBC Jul 2011 G	0.250	23,800 per 15,000 units	23,600 per 15,000 units	2011/07/28	210,000,000	2011/02/22
68254	Credit Suisse AG	HSI R Bear CBBC Jul 2011 V	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2011/07/28	210,000,000	2011/02/22
68255	Credit Suisse AG	HSI R Bear CBBC Aug 2011 O	0.250	24,100 per 15,000 units	23,900 per 15,000 units	2011/08/30	210,000,000	2011/02/22
68263	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 K	0.250	24,250 per 40,000 units	23,750 per 40,000 units	2011/10/28	400,000,000	2011/02/22
68260	UBS AG	A50 China R Bear CBBC Aug 2011 A	0.250	14.900	14.500	2011/08/22	100,000,000	2011/02/22
68250	UBS AG	HSI R Bull CBBC May 2011 Q	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/05/30	300,000,000	2011/02/22
68251	UBS AG	HSI R Bull CBBC Jun 2011 L	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/06/29	300,000,000	2011/02/22
68264	UBS AG	HKEX R Bear CBBC Jul 2011 C	0.250	184.88 per 100 units	178.88 per 100 units	2011/07/18	100,000,000	2011/02/23
68266	UBS AG	HSI R Bear CBBC Aug 2011 F	0.250	24,700 per 38,000 units	24,300 per 38,000 units	2011/08/30	300,000,000	2011/02/23
68265	UBS AG	ICBC R Bear CBBC Jul 2011 A	0.250	6.880	6.580	2011/07/25	100,000,000	2011/02/23
68287	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 R	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/09/29	200,000,000	2011/02/24
68288	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Oct 2011 Q	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/10/28	200,000,000	2011/02/24
68283	Credit Suisse AG	A50 China R Bull CBBC Jun 2011 C	0.250	12.500	12.800	2011/06/27	100,000,000	2011/02/24
68284	Credit Suisse AG	HSI R Bull CBBC Jul 2011 R	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2011/07/28	210,000,000	2011/02/24
68277	Credit Suisse AG	HSI R Bear CBBC May 2011 S	0.250	23,418 per 10,000 units	23,218 per 10,000 units	2011/05/30	210,000,000	2011/02/24
68289	Deutsche Bank AG	China Life R Bull CBBC Jul 2011 D	0.250	29.000	29.500	2011/07/25	100,000,000	2011/02/24
68270	Deutsche Bank AG	DJIA R Bear CBBC Dec 2011 A	0.250	14,000 per 78,000 units	13,600 per 78,000 units	2011/12/19	80,000,000	2011/02/24
68271	Deutsche Bank AG	HSCEI R Bull CBBC Jun 2011 F	0.250	11,822 per 8,000 units	12,022 per 8,000 units	2011/06/29	100,000,000	2011/02/24
68272	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 I	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/08/30	300,000,000	2011/02/24
68276	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 K	0.250	23,422 per 11,000 units	23,222 per 11,000 units	2011/06/29	300,000,000	2011/02/24
68267	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2011 A	0.255	21,400 per 13,000 units	21,800 per 13,000 units	2011/09/29	80,000,000	2011/02/24

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68268	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2011 B	0.255	21,800 per 12,000 units	22,200 per 12,000 units	2011/09/29	80,000,000	2011/02/24
68269	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2011 C	0.250	22,200 per 12,000 units	22,600 per 12,000 units	2011/09/29	80,000,000	2011/02/24
68273	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2011 D	0.250	24,200 per 13,000 units	23,800 per 13,000 units	2011/09/29	80,000,000	2011/02/24
68274	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2011 E	0.250	24,600 per 12,000 units	24,200 per 12,000 units	2011/09/29	80,000,000	2011/02/24
68285	UBS AG	HSI R Bull CBBC May 2011 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/05/30	300,000,000	2011/02/24
68275	UBS AG	HSI R Bull CBBC May 2011 Z	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/05/30	300,000,000	2011/02/24
68281	UBS AG	HSI R Bear CBBC May 2011 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/05/30	300,000,000	2011/02/24
66642 <sup>†</sup>	UBS AG	HSI R Bear CBBC Jun 2011 B	0.092	24,700 per 15,000 units	24,500 per 15,000 units	2011/06/29	200,000,000	2011/02/24
68314	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 A	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/12/29	200,000,000	2011/02/25
68315	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 D	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/12/29	200,000,000	2011/02/25
68311	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Z	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/12/29	200,000,000	2011/02/25
68298	Credit Suisse AG	HSI R Bull CBBC Aug 2011 P	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2011/08/30	210,000,000	2011/02/25
68299	Credit Suisse AG	HSI R Bull CBBC Sep 2011 I	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/09/29	210,000,000	2011/02/25
68294	Credit Suisse AG	HSI R Bear CBBC May 2011 L	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2011/05/30	210,000,000	2011/02/25
68304	Deutsche Bank AG	HSBC R Bull CBBC Jul 2011 C	0.250	87.50 per 100 units	90 per 100 units	2011/07/18	100,000,000	2011/02/25
68308	Deutsche Bank AG	HSBC R Bear CBBC Jul 2011 D	0.280	102.50 per 100 units	100 per 100 units	2011/07/18	100,000,000	2011/02/25
68305	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 H	0.250	23,322 per 11,000 units	23,522 per 11,000 units	2011/08/30	300,000,000	2011/02/25
68306	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 R	0.250	23,222 per 11,000 units	23,422 per 11,000 units	2011/09/29	300,000,000	2011/02/25
68307	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 L	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/10/28	300,000,000	2011/02/25
68295	Deutsche Bank AG	HSI R Bear CBBC May 2011 E	0.260	23,622 per 11,000 units	23,422 per 11,000 units	2011/05/30	300,000,000	2011/02/25
68296	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 Y	0.250	23,522 per 11,000 units	23,322 per 11,000 units	2011/06/29	300,000,000	2011/02/25
68309	J P Morgan SP BV	HSI R Bull CBBC May 2011 L	0.250	22,700 per 15,000 units	23,200 per 15,000 units	2011/05/30	200,000,000	2011/02/25
68310	J P Morgan SP BV	HSI R Bull CBBC May 2011 M	0.250	23,000 per 10,000 units	23,500 per 10,000 units	2011/05/30	200,000,000	2011/02/25
68317	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 B	0.250	23,200 per 8,000 units	23,400 per 8,000 units	2011/06/29	128,000,000	2011/02/25
68318	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 H	0.250	22,800 per 15,000 units	23,200 per 15,000 units	2011/07/28	228,000,000	2011/02/25
68293	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11K	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/05/30	300,000,000	2011/02/25
68292	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/05/30	300,000,000	2011/02/25
68290	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11M	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/05/30	300,000,000	2011/02/25
68301	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11O	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/05/30	300,000,000	2011/02/25
68300	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May11P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/05/30	300,000,000	2011/02/25
68302	UBS AG	HSI R Bull CBBC May 2011 X	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/05/30	300,000,000	2011/02/25
68303	UBS AG	HSI R Bull CBBC Jun 2011 O	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/06/29	300,000,000	2011/02/25
68297	UBS AG	HSI R Bear CBBC Jun 2011 G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/06/29	300,000,000	2011/02/25
64908 <sup>†</sup>	HK Bank	HSI R Bear CBBC May 2011 B	0.111	25,900 per 25,000 units	25,300 per 25,000 units	2011/05/30	300,000,000	2011/02/25
68330	Credit Suisse AG	HSI R Bull CBBC Jul 2011 I	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2011/07/28	210,000,000	2011/02/28
68331	Credit Suisse AG	HSI R Bull CBBC Sep 2011 J	0.250	23,300 per 15,000 units	23,500 per 15,000 units	2011/09/29	210,000,000	2011/02/28
68332	Credit Suisse AG	HSI R Bear CBBC May 2011 K	0.250	23,718 per 10,000 units	23,518 per 10,000 units	2011/05/30	210,000,000	2011/02/28
68333	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 S	0.300	22,150 per 20,000 units	22,650 per 20,000 units	2011/09/29	300,000,000	2011/02/28
68334	Deutsche Bank AG	HSI R Bear CBBC May 2011 H	0.250	23,722 per 11,000 units	23,522 per 11,000 units	2011/05/30	300,000,000	2011/02/28
68319	HK Bank	HSI R Bull CBBC Jul 2011 H	0.250	22,200 per 20,000 units	22,500 per 20,000 units	2011/07/28	150,000,000	2011/02/28
68321	HK Bank	HSI R Bull CBBC Jul 2011 I	0.250	22,500 per 12,000 units	22,800 per 12,000 units	2011/07/28	100,000,000	2011/02/28
68322	HK Bank	HSI R Bull CBBC Sep 2011 A	0.250	21,500 per 15,000 units	21,800 per 15,000 units	2011/09/29	120,000,000	2011/02/28
68327	HK Bank	HSI R Bull CBBC Sep 2011 B	0.250	22,800 per 13,000 units	23,188 per 13,000 units	2011/09/29	100,000,000	2011/02/28

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68329	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May11N	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/05/30	300,000,000	2011/02/28
68337	UBS AG	China Mobile R Bear CBBC Aug 2011 B	0.250	79.88 per 100 units	76.88 per 100 units	2011/08/22	100,000,000	2011/02/28
68335	UBS AG	HSI R Bull CBBC May 2011 O	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/05/30	300,000,000	2011/02/28
68339	UBS AG	HSI R Bear CBBC May 2011 F	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/05/30	300,000,000	2011/02/28
67711*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 A	0.124	21,500 per 10,000 units	21,700 per 10,000 units	2011/07/28	180,000,000	2011/02/28
68342	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	200,000,000	2011/03/01
68343	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 N	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/07/28	200,000,000	2011/03/01
68344	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 O	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/07/28	200,000,000	2011/03/01
68345	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	200,000,000	2011/03/01
68346	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 Q	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/07/28	200,000,000	2011/03/01
68338	Credit Suisse AG	HSI R Bull CBBC Aug 2011 Q	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2011/08/30	210,000,000	2011/03/01
68358	Credit Suisse AG	HSI R Bear CBBC May 2011 J	0.250	23,200 per 15,000 units	23,000 per 15,000 units	2011/05/30	210,000,000	2011/03/01
68353	Credit Suisse AG	HSI R Bear CBBC Jun 2011 Y	0.250	23,618 per 12,000 units	23,418 per 12,000 units	2011/06/29	210,000,000	2011/03/01
68341	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 T	0.250	22,822 per 11,000 units	23,022 per 11,000 units	2011/09/29	300,000,000	2011/03/01
68347	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 M	0.320	21,422 per 11,000 units	21,622 per 11,000 units	2011/10/28	300,000,000	2011/03/01
68348	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 N	0.290	21,722 per 11,000 units	21,922 per 11,000 units	2011/10/28	300,000,000	2011/03/01
68349	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 O	0.270	21,922 per 11,000 units	22,122 per 11,000 units	2011/10/28	300,000,000	2011/03/01
68350	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 B	0.350	21,222 per 11,000 units	21,422 per 11,000 units	2011/11/29	300,000,000	2011/03/01
68351	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 W	0.250	23,322 per 11,000 units	23,122 per 11,000 units	2011/06/29	300,000,000	2011/03/01
68361	J P Morgan SP BV	HSI R Bear CBBC Jun 2011 A	0.250	23,500 per 10,000 units	23,000 per 10,000 units	2011/06/29	200,000,000	2011/03/01
68363	J P Morgan SP BV	HSI R Bear CBBC Jun 2011 B	0.250	23,700 per 15,000 units	23,200 per 15,000 units	2011/06/29	200,000,000	2011/03/01
68364	J P Morgan SP BV	HSI R Bear CBBC Jun 2011 C	0.250	24,000 per 10,000 units	23,500 per 10,000 units	2011/06/29	200,000,000	2011/03/01
68355	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 W	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/06/29	208,000,000	2011/03/01
68359	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 Y	0.250	23,800 per 15,000 units	23,400 per 15,000 units	2011/06/29	308,000,000	2011/03/01
68360	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 I	0.250	23,200 per 8,000 units	23,000 per 8,000 units	2011/07/28	168,000,000	2011/03/01
68340	UBS AG	HSI R Bull CBBC Jun 2011 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/06/29	300,000,000	2011/03/01
68356	UBS AG	HSI R Bear CBBC Jun 2011 J	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/06/29	300,000,000	2011/03/01
68357	UBS AG	HSI R Bear CBBC Jul 2011 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/07/28	300,000,000	2011/03/01
67712*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 B	0.043	21,800 per 15,000 units	22,000 per 15,000 units	2011/07/28	240,000,000	2011/03/01
68379	Credit Suisse AG	HSI R Bull CBBC Aug 2011 R	0.250	22,700 per 15,000 units	22,900 per 15,000 units	2011/08/30	210,000,000	2011/03/02
68388	Credit Suisse AG	HSI R Bear CBBC Jun 2011 W	0.250	23,118 per 10,000 units	22,918 per 10,000 units	2011/06/29	210,000,000	2011/03/02
68382	Deutsche Bank AG	China Life R Bear CBBC Aug 2011 A	0.270	31.000	30.500	2011/08/02	100,000,000	2011/03/02
68385	Deutsche Bank AG	A50 China R Bear CBBC Aug 2011 A	0.250	13.300	13.000	2011/08/02	100,000,000	2011/03/02
68383	Deutsche Bank AG	HSBC R Bear CBBC Aug 2011 A	0.250	93.50 per 100 units	91 per 100 units	2011/08/02	100,000,000	2011/03/02
68381	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 D	0.250	22,722 per 11,000 units	22,922 per 11,000 units	2011/08/30	300,000,000	2011/03/02
68387	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 X	0.250	23,222 per 11,000 units	23,022 per 11,000 units	2011/06/29	300,000,000	2011/03/02
68386	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2011 C	0.250	21,600 per 11,000 units	22,000 per 11,000 units	2011/06/29	200,000,000	2011/03/02
68371	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11T	0.380	20,300 per 10,000 units	20,500 per 10,000 units	2011/08/30	300,000,000	2011/03/02
68372	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11U	0.370	20,400 per 10,000 units	20,600 per 10,000 units	2011/08/30	300,000,000	2011/03/02
68373	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11V	0.360	20,500 per 10,000 units	20,700 per 10,000 units	2011/08/30	300,000,000	2011/03/02
68376	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11G	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/06/29	300,000,000	2011/03/02
68375	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/06/29	300,000,000	2011/03/02



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68374	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11I	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/02
68378	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11W	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/06/29	300,000,000	2011/03/02
68377	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/06/29	300,000,000	2011/03/02
68380	UBS AG	HSI R Bull CBBC Jul 2011 Y	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/07/28	300,000,000	2011/03/02
68390	UBS AG	HSI R Bear CBBC Jun 2011 A	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/02
68393	UBS AG	HSI R Bear CBBC Jul 2011 Z	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/07/28	300,000,000	2011/03/02
68441	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 R	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/07/28	200,000,000	2011/03/03
68442	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 S	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/07/28	200,000,000	2011/03/03
68443	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 T	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	200,000,000	2011/03/03
68445	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 U	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/03/03
68447	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 V	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/07/28	200,000,000	2011/03/03
68407	Credit Suisse AG	HSI R Bull CBBC Aug 2011 S	0.250	22,488 per 12,000 units	22,688 per 12,000 units	2011/08/30	210,000,000	2011/03/03
68427	Credit Suisse AG	HSI R Bear CBBC Jun 2011 H	0.250	22,818 per 10,000 units	22,618 per 10,000 units	2011/06/29	210,000,000	2011/03/03
68406	Credit Suisse AG	HSI R Bear CBBC Jun 2011 O	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2011/06/29	210,000,000	2011/03/03
68475	Deutsche Bank AG	China Mobile R Bull CBBC Jul 2011 D	0.320	61 per 100 units	63 per 100 units	2011/07/25	100,000,000	2011/03/03
68476	Deutsche Bank AG	China Mobile R Bear CBBC Jul 2011 E	0.250	75 per 100 units	73 per 100 units	2011/07/25	100,000,000	2011/03/03
68465	Deutsche Bank AG	China Life R Bull CBBC Aug 2011 B	0.470	25.000	25.500	2011/08/09	100,000,000	2011/03/03
68474	Deutsche Bank AG	China Life R Bear CBBC Jul 2011 E	0.250	30.000	29.500	2011/07/18	100,000,000	2011/03/03
68480	Deutsche Bank AG	A50 China R Bull CBBC Aug 2011 B	0.270	11.200	11.500	2011/08/09	100,000,000	2011/03/03
68481	Deutsche Bank AG	A50 China R Bear CBBC Aug 2011 C	0.250	13.000	12.700	2011/08/09	100,000,000	2011/03/03
68477	Deutsche Bank AG	HSBC R Bull CBBC Aug 2011 B	0.330	75.50 per 100 units	78 per 100 units	2011/08/02	100,000,000	2011/03/03
68478	Deutsche Bank AG	HSBC R Bear CBBC Jul 2011 E	0.250	90.50 per 100 units	88 per 100 units	2011/07/18	100,000,000	2011/03/03
68410	Deutsche Bank AG	HSCEI R Bear CBBC Aug 2011 A	0.250	12,822 per 8,000 units	12,622 per 8,000 units	2011/08/30	100,000,000	2011/03/03
68425	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 K	0.250	22,422 per 11,000 units	22,622 per 11,000 units	2011/08/30	300,000,000	2011/03/03
68426	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 U	0.250	22,622 per 11,000 units	22,822 per 11,000 units	2011/09/29	300,000,000	2011/03/03
68460	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 L	0.250	22,822 per 11,000 units	22,622 per 11,000 units	2011/06/29	300,000,000	2011/03/03
68409	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 Z	0.250	23,122 per 11,000 units	22,922 per 11,000 units	2011/06/29	300,000,000	2011/03/03
68461	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 W	0.250	22,922 per 16,000 units	22,722 per 16,000 units	2011/07/28	300,000,000	2011/03/03
68411	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 Z	0.250	22,200 per 12,000 units	22,600 per 12,000 units	2011/06/29	248,000,000	2011/03/03
68422	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 J	0.250	22,600 per 8,000 units	22,800 per 8,000 units	2011/07/28	168,000,000	2011/03/03
68430	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 K	0.250	20,400 per 12,000 units	20,600 per 12,000 units	2011/07/28	248,000,000	2011/03/03
68431	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 L	0.250	20,400 per 15,000 units	20,800 per 15,000 units	2011/07/28	288,000,000	2011/03/03
68434	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 E	0.330	20,000 per 8,000 units	20,200 per 8,000 units	2011/08/30	168,000,000	2011/03/03
68436	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 F	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2011/08/30	208,000,000	2011/03/03
68437	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Sep 2011 B	0.250	19,600 per 20,000 units	20,000 per 20,000 units	2011/09/29	400,000,000	2011/03/03
68438	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jun 2011 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/06/29	208,000,000	2011/03/03
68439	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 M	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2011/07/28	248,000,000	2011/03/03
68449	RB of Scotland N.V.	HSI R Bull CBBC Jun 2011 O	0.250	20,500 per 13,000 units	21,000 per 13,000 units	2011/06/29	250,000,000	2011/03/03
68450	RB of Scotland N.V.	HSI R Bull CBBC Jun 2011 P	0.250	20,900 per 13,000 units	21,400 per 13,000 units	2011/06/29	250,000,000	2011/03/03
68451	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 Q	0.250	24,100 per 13,000 units	23,600 per 13,000 units	2011/06/29	250,000,000	2011/03/03
68452	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 R	0.250	25,300 per 13,000 units	24,800 per 13,000 units	2011/06/29	250,000,000	2011/03/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68453	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 S	0.250	25,500 per 13,000 units	25,000 per 13,000 units	2011/06/29	250,000,000	2011/03/03
68455	RB of Scotland N.V.	HSI R Bear CBBC Jun 2011 T	0.274	26,100 per 13,000 units	25,600 per 13,000 units	2011/06/29	250,000,000	2011/03/03
68403	SGA Societe Generale Acceptance N.V.	CMob R Bull CBBC Jul11A	0.250	63 per 100 units	66 per 100 units	2011/07/25	300,000,000	2011/03/03
68405	SGA Societe Generale Acceptance N.V.	CMob R Bear CBBC Jul11B	0.250	84 per 100 units	81 per 100 units	2011/07/25	300,000,000	2011/03/03
68394	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC Jul11A	0.450	25.000	26.000	2011/07/25	100,000,000	2011/03/03
68395	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC Jul11B	0.330	26.000	27.000	2011/07/25	100,000,000	2011/03/03
68396	SGA Societe Generale Acceptance N.V.	CLife R Bear CBBC Jul11C	0.440	33.000	32.000	2011/07/25	100,000,000	2011/03/03
68397	SGA Societe Generale Acceptance N.V.	CLife R Bear CBBC Jul11D	0.550	34.000	33.000	2011/07/25	100,000,000	2011/03/03
68398	SGA Societe Generale Acceptance N.V.	CLife R Bear CBBC Jul11E	0.660	35.000	34.000	2011/07/25	100,000,000	2011/03/03
68423	UBS AG	HSI R Bull CBBC Jun 2011 L	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/06/29	300,000,000	2011/03/03
68424	UBS AG	HSI R Bull CBBC Jul 2011 A	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/07/28	300,000,000	2011/03/03
68428	UBS AG	HSI R Bear CBBC Jul 2011 F	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/07/28	300,000,000	2011/03/03
68429	UBS AG	HSI R Bear CBBC Aug 2011 G	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/08/30	300,000,000	2011/03/03
68523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 S	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/09/29	200,000,000	2011/03/04
68525	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/09/29	200,000,000	2011/03/04
68526	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/09/29	200,000,000	2011/03/04
68529	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 V	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/09/29	200,000,000	2011/03/04
68499	Credit Suisse AG	HSI R Bull CBBC Sep 2011 K	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2011/09/29	210,000,000	2011/03/04
68500	Credit Suisse AG	HSI R Bull CBBC Sep 2011 L	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2011/09/29	210,000,000	2011/03/04
68493	Credit Suisse AG	HSI R Bear CBBC Aug 2011 T	0.250	23,900 per 15,000 units	23,700 per 15,000 units	2011/08/30	210,000,000	2011/03/04
68494	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 P	0.250	22,522 per 16,000 units	22,722 per 16,000 units	2011/10/28	300,000,000	2011/03/04
68491	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 X	0.250	23,022 per 11,000 units	22,822 per 11,000 units	2011/07/28	300,000,000	2011/03/04
68486	HK Bank	HSI R Bull CBBC Aug 2011 C	0.250	20,700 per 15,000 units	21,000 per 15,000 units	2011/08/30	150,000,000	2011/03/04
68488	HK Bank	HSI R Bull CBBC Aug 2011 D	0.250	21,100 per 12,000 units	21,388 per 12,000 units	2011/08/30	150,000,000	2011/03/04
68492	HK Bank	HSI R Bear CBBC Aug 2011 E	0.250	23,500 per 13,000 units	23,200 per 13,000 units	2011/08/30	120,000,000	2011/03/04
68497	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11J	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68496	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Q	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68495	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Y	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68498	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11M	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/07/28	300,000,000	2011/03/04
68483	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11F	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68484	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68482	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11U	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68485	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/06/29	300,000,000	2011/03/04
68507	UBS AG	Cheung Kong R Bear CBBC Aug 2011 A	0.265	146 per 100 units	140 per 100 units	2011/08/22	100,000,000	2011/03/04
68501	UBS AG	HSI R Bull CBBC Jul 2011 M	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/07/28	300,000,000	2011/03/04
68489	UBS AG	HSI R Bear CBBC Jul 2011 K	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/07/28	300,000,000	2011/03/04
68521	UBS AG	Hutchison R Bear CBBC Aug 2011 A	0.250	99 per 100 units	95 per 100 units	2011/08/22	100,000,000	2011/03/04
68522	UBS AG	SHK Ppt R Bear CBBC Sep 2011 A	0.250	147 per 100 units	140 per 100 units	2011/09/12	100,000,000	2011/03/04
68563	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 W	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/09/29	200,000,000	2011/03/07
68564	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 X	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/09/29	200,000,000	2011/03/07
68570	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 Y	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/09/29	200,000,000	2011/03/07

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68572	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 Z	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/09/29	200,000,000	2011/03/07
68543	Credit Suisse AG	A50 China R Bear CBBC Jun 2011 D	0.250	13.700	13.300	2011/06/27	100,000,000	2011/03/07
68535	Credit Suisse AG	HKEx R Bear CBBC Jun 2011 A	0.250	179 per 100 units	173 per 100 units	2011/06/27	100,000,000	2011/03/07
68534	Credit Suisse AG	HSI R Bull CBBC Aug 2011 U	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2011/08/30	210,000,000	2011/03/07
68532	Credit Suisse AG	HSI R Bull CBBC Sep 2011 M	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2011/09/29	210,000,000	2011/03/07
68557	Credit Suisse AG	HSI R Bear CBBC Jun 2011 X	0.250	23,418 per 10,000 units	23,218 per 10,000 units	2011/06/29	210,000,000	2011/03/07
68576	Deutsche Bank AG	China Life R Bull CBBC Aug 2011 C	0.270	28.000	28.500	2011/08/16	100,000,000	2011/03/07
68580	Deutsche Bank AG	HSBC R Bull CBBC Jul 2011 F	0.250	84.50 per 100 units	87 per 100 units	2011/07/25	100,000,000	2011/03/07
68556	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 I	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/08/30	300,000,000	2011/03/07
68575	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 V	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/09/29	300,000,000	2011/03/07
68558	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 U	0.250	23,422 per 11,000 units	23,222 per 11,000 units	2011/06/29	300,000,000	2011/03/07
68574	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 N	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2011/07/28	248,000,000	2011/03/07
68559	UBS AG	HSI R Bull CBBC Jun 2011 Z	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/06/29	300,000,000	2011/03/07
68562	UBS AG	HSI R Bull CBBC Jul 2011 J	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/07/28	300,000,000	2011/03/07
68548	UBS AG	HSI R Bull CBBC Aug 2011 H	0.250	22,150 per 15,000 units	22,350 per 15,000 units	2011/08/30	300,000,000	2011/03/07
68555	UBS AG	HSI R Bear CBBC Jul 2011 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	300,000,000	2011/03/07
67705*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2011 U	0.136	21,700 per 8,000 units	21,900 per 8,000 units	2011/05/30	180,000,000	2011/03/07
68590	Credit Suisse AG	A50 China R Bear CBBC Jun 2011 E	0.250	14.200	13.800	2011/06/27	100,000,000	2011/03/08
68602	Credit Suisse AG	A50 China R Bear CBBC Jul 2011 A	0.250	15.200	14.800	2011/07/25	100,000,000	2011/03/08
68609	Credit Suisse AG	HKEx R Bull CBBC Jul 2011 A	0.250	154 per 100 units	160 per 100 units	2011/07/25	100,000,000	2011/03/08
68586	Credit Suisse AG	HKEx R Bear CBBC Jul 2011 B	0.280	196 per 100 units	190 per 100 units	2011/07/25	100,000,000	2011/03/08
68614	Credit Suisse AG	HSI R Bull CBBC Aug 2011 V	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2011/08/30	210,000,000	2011/03/08
68615	Credit Suisse AG	HSI R Bull CBBC Sep 2011 N	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/09/29	210,000,000	2011/03/08
68587	Credit Suisse AG	TCH R Bear CBBC Jun 2011 B	0.250	228 per 100 units	220 per 100 units	2011/06/27	100,000,000	2011/03/08
68588	Credit Suisse AG	TCH R Bear CBBC Jul 2011 A	0.290	238 per 100 units	230 per 100 units	2011/07/25	100,000,000	2011/03/08
68583	Deutsche Bank AG	China Mobile R Bull CBBC Aug 2011 A	0.250	70 per 100 units	72 per 100 units	2011/08/02	100,000,000	2011/03/08
68585	Deutsche Bank AG	A50 China R Bull CBBC Aug 2011 D	0.250	12.400	12.700	2011/08/02	100,000,000	2011/03/08
68584	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 Q	0.250	23,022 per 11,000 units	23,222 per 11,000 units	2011/10/28	300,000,000	2011/03/08
68612	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 R	0.250	23,622 per 11,000 units	23,422 per 11,000 units	2011/06/29	300,000,000	2011/03/08
68616	UBS AG	HSI R Bull CBBC Aug 2011 J	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/08/30	300,000,000	2011/03/08
68611	UBS AG	HSI R Bear CBBC Aug 2011 I	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/08/30	300,000,000	2011/03/08
62707	UBS AG	HSI R Bear CBBC Aug 2011 K	0.250	23,950 per 12,000 units	23,750 per 12,000 units	2011/08/30	300,000,000	2011/03/08
68617	UBS AG	TCH R Bull CBBC Aug 2011 A	0.305	188.88 per 100 units	188.88 per 100 units	2011/08/08	100,000,000	2011/03/08
63057	Credit Suisse AG	HSI R Bull CBBC Aug 2011 W	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2011/08/30	210,000,000	2011/03/09
63060	Credit Suisse AG	HSI R Bear CBBC Jun 2011 N	0.250	23,200 per 15,000 units	23,000 per 15,000 units	2011/06/29	210,000,000	2011/03/09
63061	Credit Suisse AG	HSI R Bear CBBC Jun 2011 V	0.250	23,618 per 12,000 units	23,418 per 12,000 units	2011/06/29	210,000,000	2011/03/09
63073	Deutsche Bank AG	HSBC R Bear CBBC Jul 2011 G	0.250	87.50 per 100 units	85 per 100 units	2011/07/25	100,000,000	2011/03/09
63059	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 W	0.250	22,822 per 11,000 units	23,022 per 11,000 units	2011/09/29	300,000,000	2011/03/09
63072	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 T	0.250	23,322 per 11,000 units	23,122 per 11,000 units	2011/07/28	300,000,000	2011/03/09
63069	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 A	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2011/07/28	200,000,000	2011/03/09
63070	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/03/09

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63071	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 C	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2011/07/28	200,000,000	2011/03/09
63067	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jun 2011 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/06/29	208,000,000	2011/03/09
63068	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 O	0.250	23,900 per 15,000 units	23,500 per 15,000 units	2011/07/28	308,000,000	2011/03/09
68618	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/06/29	300,000,000	2011/03/09
68619	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11J	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/07/28	300,000,000	2011/03/09
63021	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/07/28	300,000,000	2011/03/09
63046	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/07/28	300,000,000	2011/03/09
63062	UBS AG	HSBC R Bull CBBC Sep 2011 A	0.250	72 per 100 units	75 per 100 units	2011/09/19	100,000,000	2011/03/09
63066	UBS AG	HSBC R Bear CBBC Sep 2011 B	0.250	93 per 100 units	90 per 100 units	2011/09/05	100,000,000	2011/03/09
63058	UBS AG	HSI R Bull CBBC Jun 2011 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/06/29	300,000,000	2011/03/09
63063	UBS AG	HSI R Bull CBBC Aug 2011 L	0.250	22,250 per 12,000 units	22,450 per 12,000 units	2011/08/30	300,000,000	2011/03/09
63064	UBS AG	HSI R Bear CBBC Jul 2011 E	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/07/28	300,000,000	2011/03/09
63065	UBS AG	Hutchison R Bear CBBC Aug 2011 B	0.250	104 per 100 units	100 per 100 units	2011/08/29	100,000,000	2011/03/09
63091	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/07/28	200,000,000	2011/03/10
63092	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 X	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	200,000,000	2011/03/10
63075	Credit Suisse AG	HSI R Bear CBBC Jun 2011 L	0.250	23,500 per 15,000 units	23,300 per 15,000 units	2011/06/29	210,000,000	2011/03/10
63074	Credit Suisse AG	HSI R Bear CBBC Jun 2011 M	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2011/06/29	210,000,000	2011/03/10
63078	Deutsche Bank AG	A50 China R Bull CBBC Aug 2011 E	0.250	12.700	13.000	2011/08/16	100,000,000	2011/03/10
63083	Deutsche Bank AG	A50 China R Bear CBBC Aug 2011 F	0.250	14.500	14.200	2011/08/09	100,000,000	2011/03/10
63080	Deutsche Bank AG	HSBC R Bull CBBC Jul 2011 H	0.250	81.50 per 100 units	84 per 100 units	2011/07/26	100,000,000	2011/03/10
63081	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 S	0.250	22,722 per 11,000 units	22,922 per 11,000 units	2011/08/30	300,000,000	2011/03/10
63084	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 Q	0.250	23,522 per 16,000 units	23,322 per 16,000 units	2011/06/29	300,000,000	2011/03/10
63087	UBS AG	HKEx R Bull CBBC Jul 2011 D	0.250	156.88 per 100 units	162.88 per 100 units	2011/07/25	100,000,000	2011/03/10
63085	UBS AG	HSI R Bull CBBC Aug 2011 M	0.250	21,950 per 12,000 units	22,150 per 12,000 units	2011/08/30	300,000,000	2011/03/10
63086	UBS AG	HSI R Bull CBBC Sep 2011 A	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2011/09/29	300,000,000	2011/03/10
63077	UBS AG	HSI R Bear CBBC Jun 2011 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/10
63089	UBS AG	HSI R Bear CBBC Jul 2011 S	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/07/28	300,000,000	2011/03/10
63090	UBS AG	HSI R Bear CBBC Sep 2011 B	0.250	24,200 per 38,000 units	23,800 per 38,000 units	2011/09/29	300,000,000	2011/03/10
63088	UBS AG	Hutchison R Bull CBBC Aug 2011 C	0.250	71 per 100 units	75 per 100 units	2011/08/29	100,000,000	2011/03/10
63106	Credit Suisse AG	A50 China R Bull CBBC Jul 2011 B	0.250	12.400	12.800	2011/07/25	100,000,000	2011/03/11
63107	Credit Suisse AG	HSI R Bear CBBC Jun 2011 F	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2011/06/29	210,000,000	2011/03/11
63104	Credit Suisse AG	TCH R Bull CBBC Jun 2011 C	0.250	202 per 100 units	210 per 100 units	2011/06/27	100,000,000	2011/03/11
63105	Credit Suisse AG	TCH R Bull CBBC Jul 2011 B	0.250	192 per 100 units	200 per 100 units	2011/07/25	100,000,000	2011/03/11
63113	Credit Suisse AG	TCH R Bear CBBC Jun 2011 D	0.450	256 per 100 units	248 per 100 units	2011/06/27	100,000,000	2011/03/11
63114	Credit Suisse AG	TCH R Bear CBBC Jul 2011 C	0.560	266 per 100 units	258 per 100 units	2011/07/25	100,000,000	2011/03/11
63119	Deutsche Bank AG	HSCEI R Bull CBBC Aug 2011 B	0.250	12,322 per 8,000 units	12,522 per 8,000 units	2011/08/30	100,000,000	2011/03/11
63096	HK Bank	China Life R Bull CBBC Aug 2011 A	0.250	27.180	27.680	2011/08/25	80,000,000	2011/03/11
63103	HK Bank	Sinopec Corp R Bear CBBC Oct 2011 A	0.250	9.200	8.880	2011/10/12	100,000,000	2011/03/11
63097	HK Bank	HKEx R Bull CBBC Sep 2011 B	0.250	151.88 per 100 units	156.88 per 100 units	2011/09/27	100,000,000	2011/03/11
63098	HK Bank	ICBC R Bull CBBC Sep 2011 A	0.250	5.300	5.580	2011/09/16	100,000,000	2011/03/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63101	HK Bank	SHK Ppt R Bull CBBC Dec 2011 A	0.250	112 per 100 units	118 per 100 units	2011/12/15	100,000,000	2011/03/11
63102	HK Bank	TCH R Bull CBBC Nov 2011 A	0.350	177 per 100 units	183.88 per 100 units	2011/11/18	80,000,000	2011/03/11
63093	Nomura Int'l plc	HSI R Bull CBBC Jun 2011 A	0.400	20,500 per 10,000 units	21,000 per 10,000 units	2011/06/29	200,000,000	2011/03/11
63094	Nomura Int'l plc	HSI R Bull CBBC Jun 2011 B	0.310	21,500 per 10,000 units	22,000 per 10,000 units	2011/06/29	200,000,000	2011/03/11
63095	Nomura Int'l plc	HSI R Bull CBBC Jul 2011 A	0.390	21,000 per 10,000 units	21,500 per 10,000 units	2011/07/28	200,000,000	2011/03/11
63099	Nomura Int'l plc	HSI R Bear CBBC Jun 2011 C	0.400	25,500 per 10,000 units	25,000 per 10,000 units	2011/06/29	200,000,000	2011/03/11
63100	Nomura Int'l plc	HSI R Bear CBBC Jul 2011 B	0.490	26,000 per 10,000 units	25,500 per 10,000 units	2011/07/28	200,000,000	2011/03/11
63109	UBS AG	A50 China R Bull CBBC Sep 2011 A	0.250	12.100	12.500	2011/09/26	100,000,000	2011/03/11
63115	UBS AG	A50 China R Bear CBBC Sep 2011 B	0.250	14.400	14.000	2011/09/05	100,000,000	2011/03/11
63118	UBS AG	HSI R Bull CBBC Jun 2011 N	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/06/29	300,000,000	2011/03/11
63116	UBS AG	HSI R Bear CBBC Jun 2011 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/06/29	300,000,000	2011/03/11
63108	UBS AG	TCH R Bull CBBC Sep 2011 A	0.285	190.88 per 100 units	198.88 per 100 units	2011/09/19	100,000,000	2011/03/11
63117	UBS AG	TCH R Bear CBBC Sep 2011 B	0.260	246.88 per 100 units	238.88 per 100 units	2011/09/05	100,000,000	2011/03/11
63120	Credit Suisse AG	HSI R Bull CBBC Aug 2011 X	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2011/08/30	210,000,000	2011/03/14
63121	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 X	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/09/29	300,000,000	2011/03/14
63122	UBS AG	HSI R Bull CBBC Jun 2011 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/06/29	300,000,000	2011/03/14
63135	Barclays Bank plc	HSI R Bull CBBC Sep 2011 A	0.250	20,500 per 15,000 units	21,000 per 15,000 units	2011/09/29	300,000,000	2011/03/15
63136	Barclays Bank plc	HSI R Bull CBBC Sep 2011 B	0.250	21,000 per 15,000 units	21,500 per 15,000 units	2011/09/29	300,000,000	2011/03/15
63137	Barclays Bank plc	HSI R Bull CBBC Sep 2011 C	0.250	21,500 per 15,000 units	22,000 per 15,000 units	2011/09/29	300,000,000	2011/03/15
63141	Barclays Bank plc	HSI R Bear CBBC Sep 2011 D	0.250	26,000 per 15,000 units	25,500 per 15,000 units	2011/09/29	300,000,000	2011/03/15
63143	Barclays Bank plc	HSI R Bear CBBC Sep 2011 E	0.250	25,500 per 15,000 units	25,000 per 15,000 units	2011/09/29	300,000,000	2011/03/15
63157	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/12/29	200,000,000	2011/03/15
63156	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Q	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/12/29	200,000,000	2011/03/15
63159	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/12/29	200,000,000	2011/03/15
63158	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/12/29	200,000,000	2011/03/15
63139	Credit Suisse AG	A50 China R Bull CBBC Aug 2011 A	0.250	11.900	12.300	2011/08/22	100,000,000	2011/03/15
63160	Credit Suisse AG	HSI R Bull CBBC Aug 2011 Y	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/08/30	210,000,000	2011/03/15
63140	Credit Suisse AG	HSI R Bear CBBC Jun 2011 E	0.250	23,718 per 10,000 units	23,518 per 10,000 units	2011/06/29	210,000,000	2011/03/15
63149	Deutsche Bank AG	China Life R Bull CBBC Aug 2011 D	0.250	29.000	29.500	2011/08/23	100,000,000	2011/03/15
63151	Deutsche Bank AG	China Life R Bear CBBC Aug 2011 E	0.480	34.000	33.500	2011/08/30	100,000,000	2011/03/15
63152	Deutsche Bank AG	A50 China R Bull CBBC Aug 2011 G	0.250	13.000	13.300	2011/08/30	100,000,000	2011/03/15
63153	Deutsche Bank AG	A50 China R Bear CBBC Aug 2011 H	0.250	14.200	13.900	2011/08/23	100,000,000	2011/03/15
63145	Deutsche Bank AG	HSCEI R Bear CBBC Sep 2011 A	0.250	13,822 per 8,000 units	13,622 per 8,000 units	2011/09/29	80,000,000	2011/03/15
63146	Deutsche Bank AG	HSCEI R Bear CBBC Sep 2011 B	0.350	14,822 per 8,000 units	14,622 per 8,000 units	2011/09/29	80,000,000	2011/03/15
63164	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 Y	0.260	23,322 per 11,000 units	23,522 per 11,000 units	2011/09/29	300,000,000	2011/03/15
63165	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 R	0.250	23,422 per 11,000 units	23,622 per 11,000 units	2011/10/28	300,000,000	2011/03/15
63147	Deutsche Bank AG	HSI R Bear CBBC Jun 2011 J	0.250	23,622 per 11,000 units	23,422 per 11,000 units	2011/06/29	300,000,000	2011/03/15
63148	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 S	0.250	23,822 per 11,000 units	23,622 per 11,000 units	2011/07/28	300,000,000	2011/03/15
63166	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 P	0.250	23,000 per 15,000 units	23,400 per 15,000 units	2011/07/28	228,000,000	2011/03/15
63168	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 Q	0.250	23,500 per 8,000 units	23,700 per 8,000 units	2011/07/28	168,000,000	2011/03/15

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63123	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2011 B	0.250	22,000 per 13,000 units	22,400 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63124	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2011 C	0.250	22,200 per 13,000 units	22,600 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63125	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2011 D	0.250	22,300 per 13,000 units	22,700 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63131	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2011 E	0.250	24,500 per 13,000 units	24,100 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63133	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2011 F	0.250	24,800 per 13,000 units	24,400 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63134	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2011 G	0.250	25,300 per 13,000 units	24,900 per 13,000 units	2011/06/29	100,000,000	2011/03/15
63155	UBS AG	HSI R Bull CBBC Jul 2011 V	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/07/28	300,000,000	2011/03/15
63144	UBS AG	HSI R Bear CBBC Jun 2011 G	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/06/29	300,000,000	2011/03/15
63138	UBS AG	HSI R Bear CBBC Aug 2011 N	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/08/30	300,000,000	2011/03/15
63154	UBS AG	Ping An R Bull CBBC Aug 2011 A	0.250	70 per 100 units	75 per 100 units	2011/08/15	100,000,000	2011/03/15
63180	Credit Suisse AG	HSI R Bull CBBC Sep 2011 O	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2011/09/29	210,000,000	2011/03/16
63184	Credit Suisse AG	HSI R Bull CBBC Sep 2011 P	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2011/09/29	210,000,000	2011/03/16
63185	Credit Suisse AG	HSI R Bull CBBC Sep 2011 Q	0.250	23,588 per 10,000 units	23,788 per 10,000 units	2011/09/29	210,000,000	2011/03/16
63179	Credit Suisse AG	HSI R Bear CBBC Jun 2011 C	0.250	23,918 per 12,000 units	23,718 per 12,000 units	2011/06/29	210,000,000	2011/03/16
63169	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 C	0.250	23,222 per 11,000 units	23,422 per 11,000 units	2011/11/29	300,000,000	2011/03/16
63182	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 E	0.250	23,822 per 11,000 units	23,822 per 11,000 units	2011/11/29	300,000,000	2011/03/16
63181	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 S	0.250	24,022 per 11,000 units	23,822 per 11,000 units	2011/10/28	300,000,000	2011/03/16
63173	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 D	0.360	25,022 per 11,000 units	24,822 per 11,000 units	2011/11/29	300,000,000	2011/03/16
63174	HK Bank	A50 R Bull CBBC Sep 2011 A	0.250	12.580	12.880	2011/09/09	100,000,000	2011/03/16
63176	HK Bank	HSI R Bull CBBC Sep 2011 C	0.250	22,800 per 15,000 units	23,100 per 15,000 units	2011/09/29	150,000,000	2011/03/16
63177	HK Bank	HSI R Bull CBBC Sep 2011 D	0.250	23,100 per 12,000 units	23,388 per 12,000 units	2011/09/29	150,000,000	2011/03/16
63171	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11G	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/06/29	300,000,000	2011/03/16
63170	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/06/29	300,000,000	2011/03/16
63175	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11X	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/06/29	300,000,000	2011/03/16
63172	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Z	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/06/29	300,000,000	2011/03/16
63187	UBS AG	HSI R Bull CBBC Jun 2011 Z	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/06/29	300,000,000	2011/03/16
63186	UBS AG	HSI R Bull CBBC Jul 2011 P	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/07/28	300,000,000	2011/03/16
63178	UBS AG	HSI R Bear CBBC Jul 2011 W	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	300,000,000	2011/03/16
63191	UBS AG	HSI R Bear CBBC Jul 2011 X	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2011/07/28	300,000,000	2011/03/16
63197	Credit Suisse AG	HSI R Bull CBBC Aug 2011 Z	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2011/08/30	210,000,000	2011/03/17
63196	Credit Suisse AG	HSI R Bear CBBC Jun 2011 B	0.250	23,818 per 15,000 units	23,618 per 15,000 units	2011/06/29	210,000,000	2011/03/17
63192	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 E	0.250	23,522 per 16,000 units	23,722 per 16,000 units	2011/09/29	300,000,000	2011/03/17
63188	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 Z	0.250	24,122 per 11,000 units	23,922 per 11,000 units	2011/09/29	300,000,000	2011/03/17
63200	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 A	0.250	21,900 per 10,000 units	22,400 per 10,000 units	2011/08/30	250,000,000	2011/03/17
63201	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 B	0.250	22,300 per 10,000 units	22,800 per 10,000 units	2011/08/30	250,000,000	2011/03/17
63202	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 C	0.250	22,700 per 10,000 units	23,200 per 10,000 units	2011/08/30	250,000,000	2011/03/17
63193	UBS AG	China Life R Bull CBBC Sep 2011 A	0.250	27.880	28.880	2011/09/12	100,000,000	2011/03/17
63189	UBS AG	HSI R Bull CBBC Aug 2011 O	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/08/30	300,000,000	2011/03/17
63195	UBS AG	HSI R Bull CBBC Sep 2011 C	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2011/09/29	300,000,000	2011/03/17
63198	UBS AG	HSI R Bear CBBC Aug 2011 P	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/08/30	300,000,000	2011/03/17
63199	UBS AG	HSI R Bear CBBC Aug 2011 Q	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/08/30	300,000,000	2011/03/17
63194	UBS AG	TCH R Bear CBBC Sep 2011 C	0.335	256.88 per 100 units	248.88 per 100 units	2011/09/26	100,000,000	2011/03/17

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63237	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 A	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63234	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 D	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63231	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63242	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 G	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63224	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 Y	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63227	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 Z	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	200,000,000	2011/03/18
63210	Credit Suisse AG	HSI R Bull CBBC Aug 2011 I	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/08/30	210,000,000	2011/03/18
63213	Credit Suisse AG	HSI R Bear CBBC Jun 2011 Y	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2011/06/29	210,000,000	2011/03/18
63209	Credit Suisse AG	HSI R Bear CBBC Jun 2011 Z	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/06/29	210,000,000	2011/03/18
63220	Deutsche Bank AG	A50 China R Bear CBBC Aug 2011 I	0.250	13.900	13.600	2011/08/30	80,000,000	2011/03/18
63219	Deutsche Bank AG	HSBC R Bear CBBC Sep 2011 A	0.250	87.50 per 100 units	85 per 100 units	2011/09/06	80,000,000	2011/03/18
63216	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 T	0.260	23,022 per 11,000 units	23,222 per 11,000 units	2011/10/28	300,000,000	2011/03/18
63217	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 Y	0.250	23,522 per 16,000 units	23,322 per 16,000 units	2011/07/28	300,000,000	2011/03/18
63218	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 U	0.250	23,722 per 11,000 units	23,522 per 11,000 units	2011/08/30	300,000,000	2011/03/18
63214	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 R	0.250	24,000 per 15,000 units	23,600 per 15,000 units	2011/07/28	248,000,000	2011/03/18
63215	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 S	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/07/28	248,000,000	2011/03/18
63207	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11F	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/06/29	300,000,000	2011/03/18
63206	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11L	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/06/29	300,000,000	2011/03/18
63204	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11U	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/06/29	300,000,000	2011/03/18
63203	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/06/29	300,000,000	2011/03/18
63208	UBS AG	HSI R Bull CBBC Jun 2011 T	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/06/29	300,000,000	2011/03/18
63211	UBS AG	HSI R Bear CBBC Jul 2011 Z	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	300,000,000	2011/03/18
63212	UBS AG	HSI R Bear CBBC Aug 2011 R	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/08/30	300,000,000	2011/03/18
63250	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/07/28	200,000,000	2011/03/21
63248	Credit Suisse AG	HSI R Bull CBBC Aug 2011 D	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2011/08/30	210,000,000	2011/03/21
63265	Credit Suisse AG	HSI R Bear CBBC Jun 2011 O	0.250	23,418 per 10,000 units	23,218 per 10,000 units	2011/06/29	210,000,000	2011/03/21
63247	Credit Suisse AG	HSI R Bear CBBC Jun 2011 W	0.250	24,100 per 15,000 units	23,900 per 15,000 units	2011/06/29	210,000,000	2011/03/21
63251	Deutsche Bank AG	China Life R Bear CBBC Sep 2011 A	0.260	31.000	30.500	2011/09/06	80,000,000	2011/03/21
63262	Deutsche Bank AG	A50 China R Bull CBBC Sep 2011 A	0.250	12.100	12.400	2011/09/06	80,000,000	2011/03/21
63264	Deutsche Bank AG	A50 China R Bear CBBC Sep 2011 B	0.250	13.600	13.300	2011/09/06	80,000,000	2011/03/21
63258	Deutsche Bank AG	HSBC R Bull CBBC Sep 2011 B	0.250	69.50 per 100 units	72 per 100 units	2011/09/27	80,000,000	2011/03/21
63259	Deutsche Bank AG	HSBC R Bull CBBC Sep 2011 C	0.250	72.50 per 100 units	75 per 100 units	2011/09/20	80,000,000	2011/03/21
63254	Deutsche Bank AG	HSCEI R Bear CBBC Sep 2011 C	0.250	13,322 per 8,000 units	13,122 per 8,000 units	2011/09/29	80,000,000	2011/03/21
63256	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 F	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/11/29	300,000,000	2011/03/21
63257	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 V	0.250	23,422 per 11,000 units	23,222 per 11,000 units	2011/08/30	300,000,000	2011/03/21
63243	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11I	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/06/29	300,000,000	2011/03/21
63244	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/08/30	300,000,000	2011/03/21
63245	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/08/30	300,000,000	2011/03/21
63246	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11Y	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/08/30	300,000,000	2011/03/21
63266	UBS AG	China Mobile R Bear CBBC Aug 2011 C	0.250	87.88 per 100 units	84.88 per 100 units	2011/08/22	100,000,000	2011/03/21
63270	UBS AG	China Life R Bear CBBC Sep 2011 B	0.590	35.880	34.880	2011/09/05	100,000,000	2011/03/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63267	UBS AG	A50 China R Bear CBBC Sep 2011 C	0.250	15.400	15.000	2011/09/12	100,000,000	2011/03/21
63249	UBS AG	HSI R Bull CBBC Jul 2011 F	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/07/28	300,000,000	2011/03/21
63268	UBS AG	HSI R Bear CBBC Aug 2011 S	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/08/30	300,000,000	2011/03/21
63269	UBS AG	HSI R Bear CBBC Aug 2011 T	0.250	24,300 per 15,000 units	24,100 per 15,000 units	2011/08/30	300,000,000	2011/03/21
67074 <sup>†</sup>	UBS AG	HSI R Bull CBBC Jun 2011 I	0.062	21,800 per 12,000 units	22,000 per 12,000 units	2011/06/29	200,000,000	2011/03/21
63307	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 H	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63312	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 I	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63311	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 J	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63309	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 K	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63308	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63314	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63313	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63279	Credit Suisse AG	HSI R Bull CBBC Aug 2011 K	0.250	22,288 per 10,000 units	22,488 per 10,000 units	2011/08/30	210,000,000	2011/03/22
63280	Credit Suisse AG	HSI R Bull CBBC Aug 2011 L	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2011/08/30	210,000,000	2011/03/22
63283	Credit Suisse AG	HSI R Bull CBBC Aug 2011 P	0.250	22,900 per 12,000 units	23,300 per 12,000 units	2011/08/30	210,000,000	2011/03/22
63284	Credit Suisse AG	HSI R Bull CBBC Sep 2011 R	0.250	20,500 per 12,000 units	20,900 per 12,000 units	2011/09/29	210,000,000	2011/03/22
63285	Credit Suisse AG	HSI R Bull CBBC Sep 2011 S	0.250	21,100 per 12,000 units	21,500 per 12,000 units	2011/09/29	210,000,000	2011/03/22
63286	Credit Suisse AG	HSI R Bull CBBC Sep 2011 T	0.250	21,800 per 10,000 units	22,200 per 10,000 units	2011/09/29	210,000,000	2011/03/22
63287	Credit Suisse AG	HSI R Bull CBBC Sep 2011 U	0.250	22,500 per 15,000 units	22,900 per 15,000 units	2011/09/29	210,000,000	2011/03/22
63288	Credit Suisse AG	HSI R Bull CBBC Oct 2011 G	0.250	21,400 per 12,000 units	21,800 per 12,000 units	2011/10/28	210,000,000	2011/03/22
63289	Credit Suisse AG	HSI R Bull CBBC Oct 2011 H	0.315	20,300 per 10,000 units	20,700 per 10,000 units	2011/10/28	210,000,000	2011/03/22
63290	Credit Suisse AG	HSI R Bull CBBC Oct 2011 I	0.250	20,800 per 12,000 units	21,200 per 12,000 units	2011/10/28	210,000,000	2011/03/22
63291	Credit Suisse AG	HSI R Bull CBBC Oct 2011 J	0.250	22,100 per 10,000 units	22,500 per 10,000 units	2011/10/28	210,000,000	2011/03/22
63301	Credit Suisse AG	HSI R Bear CBBC Jun 2011 H	0.250	22,900 per 12,000 units	22,500 per 12,000 units	2011/06/29	210,000,000	2011/03/22
63304	Credit Suisse AG	HSI R Bear CBBC Jun 2011 N	0.250	24,100 per 12,000 units	23,700 per 12,000 units	2011/06/29	210,000,000	2011/03/22
63303	Credit Suisse AG	HSI R Bear CBBC Jun 2011 V	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2011/06/29	210,000,000	2011/03/22
63302	Credit Suisse AG	HSI R Bear CBBC Jun 2011 X	0.250	23,300 per 10,000 units	22,900 per 10,000 units	2011/06/29	210,000,000	2011/03/22
63306	Credit Suisse AG	HSI R Bear CBBC Jul 2011 J	0.250	23,800 per 12,000 units	23,400 per 12,000 units	2011/07/28	210,000,000	2011/03/22
63305	Credit Suisse AG	HSI R Bear CBBC Jul 2011 Q	0.250	23,100 per 15,000 units	22,700 per 15,000 units	2011/07/28	210,000,000	2011/03/22
63356	Deutsche Bank AG	A50 China R Bear CBBC Sep 2011 C	0.250	13.300	13.000	2011/09/20	80,000,000	2011/03/22
63355	Deutsche Bank AG	HSBC R Bear CBBC Sep 2011 D	0.250	84.50 per 100 units	82 per 100 units	2011/09/20	80,000,000	2011/03/22
63272	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 F	0.250	22,822 per 11,000 units	22,822 per 11,000 units	2011/09/29	300,000,000	2011/03/22
63273	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 J	0.250	22,822 per 11,000 units	23,022 per 11,000 units	2011/09/29	300,000,000	2011/03/22
63275	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 U	0.250	22,322 per 11,000 units	22,522 per 11,000 units	2011/10/28	300,000,000	2011/03/22
63316	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 V	0.350	19,922 per 11,000 units	20,122 per 11,000 units	2011/10/28	300,000,000	2011/03/22
63318	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 G	0.360	19,822 per 11,000 units	20,022 per 11,000 units	2011/11/29	300,000,000	2011/03/22
63319	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 H	0.250	21,022 per 11,000 units	21,222 per 11,000 units	2011/11/29	300,000,000	2011/03/22
63324	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 A	0.320	18,522 per 16,000 units	18,722 per 16,000 units	2011/12/29	300,000,000	2011/03/22
63325	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 B	0.420	19,022 per 11,000 units	19,222 per 11,000 units	2011/12/29	300,000,000	2011/03/22
63326	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 C	0.410	19,222 per 11,000 units	19,422 per 11,000 units	2011/12/29	300,000,000	2011/03/22
63327	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 D	0.260	19,522 per 16,000 units	19,722 per 16,000 units	2011/12/29	300,000,000	2011/03/22
63328	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 I	0.280	23,022 per 11,000 units	22,822 per 11,000 units	2011/11/29	300,000,000	2011/03/22



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63329	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 J	0.300	23,222 per 11,000 units	23,022 per 11,000 units	2011/11/29	300,000,000	2011/03/22
63331	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 E	0.250	22,722 per 11,000 units	22,522 per 11,000 units	2011/12/29	300,000,000	2011/03/22
63350	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 D	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63351	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63352	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 F	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2011/07/28	200,000,000	2011/03/22
63353	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	200,000,000	2011/03/22
63354	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 H	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2011/07/28	200,000,000	2011/03/22
63340	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 T	0.250	22,200 per 8,000 units	22,500 per 8,000 units	2011/07/28	168,000,000	2011/03/22
63344	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 U	0.250	23,000 per 8,000 units	22,700 per 8,000 units	2011/07/28	168,000,000	2011/03/22
63345	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 V	0.250	23,300 per 10,000 units	23,000 per 10,000 units	2011/07/28	208,000,000	2011/03/22
63346	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 W	0.250	24,100 per 12,000 units	23,800 per 12,000 units	2011/07/28	248,000,000	2011/03/22
63342	RB of Scotland N.V.	BOCL R Bull CBBC Aug 2011 A	0.250	3.180	3.680	2011/08/29	80,000,000	2011/03/22
63343	RB of Scotland N.V.	Sinopec Corp R Bull CBBC Aug 2011 A	0.250	6.380	6.880	2011/08/29	80,000,000	2011/03/22
63341	RB of Scotland N.V.	A50 China R Bull CBBC Aug 2011 A	0.250	11.380	11.880	2011/08/29	80,000,000	2011/03/22
63349	RB of Scotland N.V.	A50 China R Bear CBBC Aug 2011 B	0.250	14.780	14.280	2011/08/29	80,000,000	2011/03/22
63293	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11V	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63292	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Y	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63295	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11J	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63294	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun11Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63299	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/07/28	300,000,000	2011/03/22
63297	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11K	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/07/28	300,000,000	2011/03/22
63296	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/07/28	300,000,000	2011/03/22
63298	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11M	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	300,000,000	2011/03/22
63300	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11Z	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/08/30	300,000,000	2011/03/22
63277	UBS AG	HSI R Bull CBBC Jun 2011 N	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63276	UBS AG	HSI R Bull CBBC Jun 2011 O	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63278	UBS AG	HSI R Bull CBBC Jul 2011 K	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/07/28	300,000,000	2011/03/22
63335	UBS AG	HSI R Bull CBBC Aug 2011 U	0.270	19,800 per 10,000 units	20,000 per 10,000 units	2011/08/30	300,000,000	2011/03/22
63336	UBS AG	HSI R Bull CBBC Aug 2011 V	0.250	20,300 per 12,000 units	20,500 per 12,000 units	2011/08/30	300,000,000	2011/03/22
63337	UBS AG	HSI R Bull CBBC Sep 2011 D	0.305	18,800 per 12,000 units	19,000 per 12,000 units	2011/09/29	300,000,000	2011/03/22
63338	UBS AG	HSI R Bull CBBC Sep 2011 E	0.320	19,300 per 10,000 units	19,500 per 10,000 units	2011/09/29	300,000,000	2011/03/22
63333	UBS AG	HSI R Bear CBBC Jun 2011 A	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63332	UBS AG	HSI R Bear CBBC Jun 2011 J	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/06/29	300,000,000	2011/03/22
63334	UBS AG	HSI R Bear CBBC Jul 2011 L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	300,000,000	2011/03/22
66636*	UBS AG	HSI R Bull CBBC Jun 2011 C	0.065	21,300 per 15,000 units	21,500 per 15,000 units	2011/06/29	300,000,000	2011/03/22
63384	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/12/29	200,000,000	2011/03/23
63382	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/12/29	200,000,000	2011/03/23
63364	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 V	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	200,000,000	2011/03/23
63363	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Y	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	200,000,000	2011/03/23
63374	Credit Suisse AG	HKEx R Bear CBBC Jul 2011 C	0.250	176 per 100 units	170 per 100 units	2011/07/25	100,000,000	2011/03/23
63377	Credit Suisse AG	HSI R Bull CBBC Sep 2011 V	0.250	22,188 per 12,000 units	22,388 per 12,000 units	2011/09/29	210,000,000	2011/03/23
63378	Credit Suisse AG	HSI R Bull CBBC Sep 2011 W	0.250	22,500 per 12,000 units	22,700 per 12,000 units	2011/09/29	210,000,000	2011/03/23

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63376	Credit Suisse AG	HSI R Bear CBBC Jun 2011 L	0.250	23,200 per 15,000 units	23,000 per 15,000 units	2011/06/29	210,000,000	2011/03/23
63375	Credit Suisse AG	HSI R Bear CBBC Jun 2011 M	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2011/06/29	210,000,000	2011/03/23
63362	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 K	0.250	22,422 per 11,000 units	22,622 per 11,000 units	2011/11/29	300,000,000	2011/03/23
63368	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 L	0.260	22,222 per 11,000 units	22,422 per 11,000 units	2011/11/29	300,000,000	2011/03/23
63369	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 F	0.250	22,122 per 16,000 units	22,322 per 16,000 units	2011/12/29	300,000,000	2011/03/23
63370	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 W	0.280	23,122 per 11,000 units	22,922 per 11,000 units	2011/10/28	300,000,000	2011/03/23
63373	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 M	0.250	22,822 per 11,000 units	22,622 per 11,000 units	2011/11/29	300,000,000	2011/03/23
63366	HK Bank	HSI R Bull CBBC Sep 2011 E	0.250	21,300 per 20,000 units	21,600 per 20,000 units	2011/09/29	100,000,000	2011/03/23
63367	HK Bank	HSI R Bull CBBC Sep 2011 F	0.250	22,000 per 15,000 units	22,500 per 15,000 units	2011/09/29	100,000,000	2011/03/23
63371	HK Bank	HSI R Bear CBBC Sep 2011 G	0.250	23,800 per 12,000 units	23,300 per 12,000 units	2011/09/29	100,000,000	2011/03/23
63372	HK Bank	HSI R Bear CBBC Sep 2011 H	0.250	23,900 per 15,000 units	23,600 per 15,000 units	2011/09/29	100,000,000	2011/03/23
63365	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11Z	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/06/29	300,000,000	2011/03/23
63358	UBS AG	HSI R Bull CBBC Jul 2011 Q	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/07/28	300,000,000	2011/03/23
63361	UBS AG	HSI R Bull CBBC Aug 2011 W	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/08/30	300,000,000	2011/03/23
63379	UBS AG	HSI R Bear CBBC Jul 2011 E	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/07/28	300,000,000	2011/03/23
63381	UBS AG	HSI R Bear CBBC Jul 2011 J	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/07/28	300,000,000	2011/03/23
63380	UBS AG	HSI R Bear CBBC Jul 2011 S	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/07/28	300,000,000	2011/03/23
63462	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 M	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63463	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 V	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63385	Credit Suisse AG	HSI R Bull CBBC Sep 2011 X	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2011/09/29	210,000,000	2011/03/24
63389	Credit Suisse AG	HSI R Bull CBBC Oct 2011 K	0.250	22,100 per 15,000 units	22,300 per 15,000 units	2011/10/28	210,000,000	2011/03/24
63422	Credit Suisse AG	HSI R Bull CBBC Nov 2011 A	0.270	19,188 per 12,000 units	19,388 per 12,000 units	2011/11/29	210,000,000	2011/03/24
63423	Credit Suisse AG	HSI R Bull CBBC Nov 2011 B	0.280	19,588 per 10,000 units	19,788 per 10,000 units	2011/11/29	210,000,000	2011/03/24
63424	Credit Suisse AG	HSI R Bull CBBC Dec 2011 A	0.250	18,800 per 15,000 units	19,000 per 15,000 units	2011/12/29	210,000,000	2011/03/24
63425	Credit Suisse AG	HSI R Bull CBBC Dec 2011 B	0.250	20,088 per 12,000 units	20,288 per 12,000 units	2011/12/29	210,000,000	2011/03/24
63419	Credit Suisse AG	HSI R Bear CBBC Jun 2011 F	0.250	22,718 per 12,000 units	22,518 per 12,000 units	2011/06/29	210,000,000	2011/03/24
63420	Credit Suisse AG	HSI R Bear CBBC Jul 2011 A	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/07/28	210,000,000	2011/03/24
63421	Credit Suisse AG	HSI R Bear CBBC Jul 2011 S	0.250	23,018 per 12,000 units	22,818 per 12,000 units	2011/07/28	210,000,000	2011/03/24
63461	Credit Suisse AG	TCH R Bull CBBC Aug 2011 A	0.250	177 per 100 units	185 per 100 units	2011/08/29	100,000,000	2011/03/24
63459	Credit Suisse AG	TCH R Bear CBBC Jul 2011 D	0.250	213 per 100 units	205 per 100 units	2011/07/25	100,000,000	2011/03/24
63460	Credit Suisse AG	TCH R Bear CBBC Jul 2011 E	0.335	226 per 100 units	218 per 100 units	2011/07/25	100,000,000	2011/03/24
63406	Deutsche Bank AG	China Mobile R Bull CBBC Sep 2011 A	0.250	58 per 100 units	60 per 100 units	2011/09/27	80,000,000	2011/03/24
63413	Deutsche Bank AG	China Mobile R Bear CBBC Aug 2011 B	0.250	75 per 100 units	73 per 100 units	2011/08/02	80,000,000	2011/03/24
63405	Deutsche Bank AG	China Life R Bull CBBC Sep 2011 B	0.550	24.000	24.500	2011/09/20	80,000,000	2011/03/24
63412	Deutsche Bank AG	China Life R Bear CBBC Sep 2011 C	0.330	30.000	29.500	2011/09/20	80,000,000	2011/03/24
63444	Deutsche Bank AG	DJIA R Bear CBBC Dec 2011 B	0.250	12,400 per 78,000 units	12,000 per 78,000 units	2011/12/19	80,000,000	2011/03/24
63408	Deutsche Bank AG	A50 China R Bull CBBC Sep 2011 D	0.250	11.800	12.100	2011/09/20	80,000,000	2011/03/24
63407	Deutsche Bank AG	HSBC R Bull CBBC Sep 2011 E	0.250	66.50 per 100 units	69 per 100 units	2011/09/21	80,000,000	2011/03/24
63395	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 X	0.250	22,022 per 11,000 units	22,222 per 11,000 units	2011/10/28	300,000,000	2011/03/24
63414	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 M	0.280	22,922 per 16,000 units	22,722 per 16,000 units	2011/09/29	300,000,000	2011/03/24
63415	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 Q	0.350	23,922 per 16,000 units	23,722 per 16,000 units	2011/09/29	300,000,000	2011/03/24
63417	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 Y	0.270	22,622 per 11,000 units	22,422 per 11,000 units	2011/10/28	300,000,000	2011/03/24

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63418	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 N	0.250	22,522 per 16,000 units	22,322 per 16,000 units	2011/11/29	300,000,000	2011/03/24
63391	HK Bank	HSI R Bull CBBC Sep 2011 I	0.250	19,200 per 13,000 units	19,500 per 13,000 units	2011/09/29	100,000,000	2011/03/24
63392	HK Bank	HSI R Bull CBBC Sep 2011 J	0.250	19,400 per 22,000 units	19,800 per 22,000 units	2011/09/29	200,000,000	2011/03/24
63393	HK Bank	HSI R Bull CBBC Sep 2011 K	0.250	20,100 per 15,000 units	20,388 per 15,000 units	2011/09/29	100,000,000	2011/03/24
63394	HK Bank	HSI R Bear CBBC Aug 2011 F	0.250	23,350 per 13,000 units	23,050 per 13,000 units	2011/08/30	100,000,000	2011/03/24
63445	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 I	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63446	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 J	0.250	20,000 per 15,000 units	20,200 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63447	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 K	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63448	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 L	0.250	20,600 per 15,000 units	20,800 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63449	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 M	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63450	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 N	0.250	21,000 per 15,000 units	21,200 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63451	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 O	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63453	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 P	0.250	21,600 per 15,000 units	21,800 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63454	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 Q	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63455	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 R	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63457	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63458	J P Morgan SP BV	HSI R Bear CBBC Jul 2011 T	0.250	23,000 per 15,000 units	22,800 per 15,000 units	2011/07/28	200,000,000	2011/03/24
63442	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 X	0.250	22,600 per 12,000 units	22,300 per 12,000 units	2011/07/28	188,000,000	2011/03/24
63443	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 G	0.250	22,800 per 15,000 units	22,500 per 15,000 units	2011/08/30	228,000,000	2011/03/24
63386	Macquarie Bank Ltd.	HSI R Bull CBBC Jul 2011 B	0.250	21,000 per 10,000 units	21,400 per 10,000 units	2011/07/28	200,000,000	2011/03/24
63390	Macquarie Bank Ltd.	HSI R Bear CBBC Jul 2011 C	0.250	24,200 per 11,000 units	23,800 per 11,000 units	2011/07/28	200,000,000	2011/03/24
63396	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun11X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/06/29	300,000,000	2011/03/24
63397	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11A	0.345	19,800 per 10,000 units	20,000 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63398	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11B	0.335	19,900 per 10,000 units	20,100 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63399	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11C	0.320	20,000 per 10,000 units	20,200 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63400	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11D	0.310	20,100 per 10,000 units	20,300 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63401	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11E	0.295	20,200 per 10,000 units	20,400 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63403	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11A	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63402	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11B	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63426	UBS AG	China Mobile R Bull CBBC Aug 2011 D	0.250	61.88 per 100 units	64.88 per 100 units	2011/08/22	100,000,000	2011/03/24
63427	UBS AG	China Mobile R Bull CBBC Sep 2011 A	0.250	57.88 per 100 units	60.88 per 100 units	2011/09/19	100,000,000	2011/03/24
63428	UBS AG	HKEx R Bull CBBC Sep 2011 A	0.275	132.88 per 100 units	138.88 per 100 units	2011/09/19	100,000,000	2011/03/24
63429	UBS AG	HSBC R Bull CBBC Sep 2011 C	0.250	67 per 100 units	70 per 100 units	2011/09/26	100,000,000	2011/03/24
63387	UBS AG	HSI R Bull CBBC Jun 2011 L	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/06/29	300,000,000	2011/03/24
63434	UBS AG	HSI R Bull CBBC Aug 2011 B	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63388	UBS AG	HSI R Bull CBBC Aug 2011 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63432	UBS AG	HSI R Bull CBBC Aug 2011 Y	0.270	19,600 per 10,000 units	19,800 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63433	UBS AG	HSI R Bull CBBC Aug 2011 Z	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2011/08/30	300,000,000	2011/03/24
63435	UBS AG	HSI R Bull CBBC Sep 2011 F	0.270	19,100 per 12,000 units	19,300 per 12,000 units	2011/09/29	300,000,000	2011/03/24
63436	UBS AG	HSI R Bull CBBC Sep 2011 G	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2011/09/29	300,000,000	2011/03/24
63437	UBS AG	HSI R Bull CBBC Oct 2011 A	0.450	17,800 per 10,000 units	18,000 per 10,000 units	2011/10/28	300,000,000	2011/03/24
63438	UBS AG	HSI R Bull CBBC Oct 2011 B	0.400	18,300 per 10,000 units	18,500 per 10,000 units	2011/10/28	300,000,000	2011/03/24
63439	UBS AG	HSI R Bear CBBC Jun 2011 K	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/06/29	300,000,000	2011/03/24

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63440	UBS AG	HSI R Bear CBBC Jun 2011 Z	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/06/29	300,000,000	2011/03/24
63441	UBS AG	HSI R Bear CBBC Jul 2011 Y	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/07/28	300,000,000	2011/03/24
63431	UBS AG	TCH R Bear CBBC Sep 2011 D	0.285	226.88 per 100 units	218.88 per 100 units	2011/09/19	100,000,000	2011/03/24
63467	Credit Suisse AG	A50 China R Bear CBBC Jul 2011 C	0.250	13.700	13.300	2011/07/25	100,000,000	2011/03/25
63466	Credit Suisse AG	HKEx R Bull CBBC Aug 2011 A	0.265	134 per 100 units	140 per 100 units	2011/08/29	100,000,000	2011/03/25
63469	Credit Suisse AG	HSI R Bull CBBC Sep 2011 Y	0.250	21,200 per 15,000 units	21,400 per 15,000 units	2011/09/29	210,000,000	2011/03/25
63470	Credit Suisse AG	HSI R Bull CBBC Oct 2011 L	0.250	20,900 per 15,000 units	21,100 per 15,000 units	2011/10/28	210,000,000	2011/03/25
63471	Credit Suisse AG	HSI R Bull CBBC Nov 2011 C	0.250	20,000 per 15,000 units	20,200 per 15,000 units	2011/11/29	210,000,000	2011/03/25
63472	Credit Suisse AG	HSI R Bull CBBC Dec 2011 C	0.250	20,600 per 15,000 units	20,800 per 15,000 units	2011/12/29	210,000,000	2011/03/25
63468	Credit Suisse AG	HSI R Bear CBBC Jul 2011 Z	0.250	22,600 per 15,000 units	22,400 per 15,000 units	2011/07/28	210,000,000	2011/03/25
63473	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 R	0.250	23,322 per 11,000 units	23,122 per 11,000 units	2011/07/28	300,000,000	2011/03/25
63464	HK Bank	TCH R Bear CBBC Sep 2011 B	0.390	230.88 per 100 units	222.88 per 100 units	2011/09/20	100,000,000	2011/03/25
63474	UBS AG	HSI R Bull CBBC Jun 2011 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/06/29	300,000,000	2011/03/25
63465	UBS AG	HSI R Bear CBBC Aug 2011 A	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/08/30	300,000,000	2011/03/25
63475	Credit Suisse AG	HSI R Bear CBBC Jul 2011 W	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2011/07/28	210,000,000	2011/03/28
63478	Deutsche Bank AG	China Mobile R Bull CBBC Sep 2011 B	0.260	67 per 100 units	69 per 100 units	2011/09/20	100,000,000	2011/03/28
63479	Deutsche Bank AG	HSBC R Bull CBBC Sep 2011 F	0.250	75.50 per 100 units	78 per 100 units	2011/09/06	100,000,000	2011/03/28
63480	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 Z	0.250	23,322 per 11,000 units	23,122 per 11,000 units	2011/10/28	300,000,000	2011/03/28
63477	UBS AG	HSI R Bull CBBC Aug 2011 E	0.250	21,850 per 15,000 units	22,050 per 15,000 units	2011/08/30	300,000,000	2011/03/28
63476	UBS AG	HSI R Bear CBBC Sep 2011 H	0.250	24,200 per 38,000 units	23,800 per 38,000 units	2011/09/29	300,000,000	2011/03/28
63482	Credit Suisse AG	HSI R Bull CBBC Aug 2011 Q	0.250	21,988 per 10,000 units	22,188 per 10,000 units	2011/08/30	210,000,000	2011/03/29
63489	Credit Suisse AG	HSI R Bull CBBC Aug 2011 U	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2011/08/30	210,000,000	2011/03/29
63483	Credit Suisse AG	HSI R Bear CBBC Jun 2011 H	0.250	23,118 per 10,000 units	22,918 per 10,000 units	2011/06/29	210,000,000	2011/03/29
63485	Credit Suisse AG	HSI R Bear CBBC Jul 2011 E	0.250	23,618 per 12,000 units	23,418 per 12,000 units	2011/07/28	210,000,000	2011/03/29
63484	Credit Suisse AG	HSI R Bear CBBC Jul 2011 R	0.250	22,900 per 15,000 units	22,700 per 15,000 units	2011/07/28	210,000,000	2011/03/29
63491	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 R	0.250	22,622 per 11,000 units	22,822 per 11,000 units	2011/09/29	300,000,000	2011/03/29
63492	Deutsche Bank AG	Hutchison R Bull CBBC Oct 2011 A	0.250	80 per 100 units	83 per 100 units	2011/10/18	80,000,000	2011/03/29
63497	Deutsche Bank AG	Hutchison R Bear CBBC Oct 2011 B	0.250	96 per 100 units	93 per 100 units	2011/10/18	80,000,000	2011/03/29
63481	HK Bank	HSI R Bull CBBC Oct 2011 A	0.250	21,900 per 12,000 units	22,188 per 12,000 units	2011/10/28	100,000,000	2011/03/29
63490	UBS AG	HSI R Bull CBBC Jun 2011 N	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/06/29	300,000,000	2011/03/29
63510	Credit Suisse AG	Hutchison R Bull CBBC Jul 2011 A	0.250	84 per 100 units	88 per 100 units	2011/07/25	100,000,000	2011/03/30
63493	Credit Suisse AG	TCH R Bear CBBC Aug 2011 B	0.250	204 per 100 units	196 per 100 units	2011/08/29	210,000,000	2011/03/30
63494	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 C	0.250	22,522 per 16,000 units	22,722 per 16,000 units	2011/10/28	300,000,000	2011/03/30
63495	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 O	0.260	23,022 per 11,000 units	22,822 per 11,000 units	2011/11/29	300,000,000	2011/03/30
63511	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 H	0.250	22,000 per 10,000 units	22,300 per 10,000 units	2011/08/30	208,000,000	2011/03/30
63499	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11K	0.250	22,400 per 9,000 units	22,600 per 9,000 units	2011/07/28	300,000,000	2011/03/30
63498	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul11L	0.250	21,900 per 9,000 units	22,100 per 9,000 units	2011/07/28	300,000,000	2011/03/30
63501	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11F	0.250	22,500 per 9,000 units	22,700 per 9,000 units	2011/08/30	300,000,000	2011/03/30
63502	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11M	0.250	22,600 per 9,000 units	22,800 per 9,000 units	2011/08/30	300,000,000	2011/03/30
63504	UBS AG	CC Bank R Bull CBBC Sep 2011 A	0.250	6.580	6.880	2011/09/19	100,000,000	2011/03/30
63507	UBS AG	China Life R Bear CBBC Sep 2011 C	0.335	31.880	30.880	2011/09/05	100,000,000	2011/03/30
63509	UBS AG	HSCEI R Bull CBBC Aug 2011 A	0.250	11,700 per 12,000 units	12,000 per 12,000 units	2011/08/30	100,000,000	2011/03/30

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63505	UBS AG	Hutchison R Bull CBBC Aug 2011 D	0.250	81 per 100 units	85 per 100 units	2011/08/22	100,000,000	2011/03/30
63506	UBS AG	SHK Ppt R Bull CBBC Oct 2011 A	0.250	103 per 100 units	110 per 100 units	2011/10/03	100,000,000	2011/03/30
63523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 O	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/12/29	200,000,000	2011/03/31
63522	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	200,000,000	2011/03/31
63521	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 S	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	200,000,000	2011/03/31
63526	Credit Suisse AG	HSI R Bull CBBC Sep 2011 Z	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2011/09/29	210,000,000	2011/03/31
63527	Credit Suisse AG	HSI R Bear CBBC Jul 2011 I	0.250	23,200 per 15,000 units	23,000 per 15,000 units	2011/07/28	210,000,000	2011/03/31
63528	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 P	0.250	20,250 per 40,000 units	20,750 per 40,000 units	2011/11/29	300,000,000	2011/03/31
63524	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 T	0.250	23,122 per 11,000 units	22,922 per 11,000 units	2011/09/29	300,000,000	2011/03/31
63529	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 Q	0.250	24,250 per 40,000 units	23,750 per 40,000 units	2011/11/29	300,000,000	2011/03/31
63530	Deutsche Bank AG	Hutchison R Bull CBBC Oct 2011 C	0.250	84 per 100 units	87 per 100 units	2011/10/25	80,000,000	2011/03/31
63531	Deutsche Bank AG	Hutchison R Bear CBBC Aug 2011 A	0.250	100 per 100 units	97 per 100 units	2011/08/23	80,000,000	2011/03/31
63532	Deutsche Bank AG	Hutchison R Bear CBBC Aug 2011 B	0.280	104 per 100 units	101 per 100 units	2011/08/25	80,000,000	2011/03/31
63513	HK Bank	China Mobile R Bull CBBC Oct 2011 A	0.250	53.80 per 100 units	59.80 per 100 units	2011/10/18	120,000,000	2011/03/31
63518	HK Bank	China Mobile R Bear CBBC Oct 2011 B	0.250	84.80 per 100 units	78.80 per 100 units	2011/10/18	100,000,000	2011/03/31
63512	HK Bank	China Life R Bull CBBC Oct 2011 A	0.250	25.38 per 100 units	25.88 per 100 units	2011/10/21	120,000,000	2011/03/31
63516	HK Bank	China Life R Bear CBBC Oct 2011 B	0.250	33.18 per 100 units	32.68 per 100 units	2011/10/21	100,000,000	2011/03/31
63514	HK Bank	HKEx R Bull CBBC Sep 2011 C	0.270	138.80 per 100 units	144.80 per 100 units	2011/09/22	100,000,000	2011/03/31
63525	UBS AG	HSI R Bull CBBC Aug 2011 G	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/08/30	300,000,000	2011/03/31
63515	UBS AG	HSI R Bear CBBC Jul 2011 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/07/28	300,000,000	2011/03/31
67724*	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jul 2011 C	0.115	24,500 per 15,000 units	24,300 per 15,000 units	2011/07/28	180,000,000	2011/03/31
63545	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/12/29	200,000,000	2011/04/01
63546	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Z	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/12/29	200,000,000	2011/04/01
63537	Credit Suisse AG	HSI R Bull CBBC Oct 2011 M	0.250	22,700 per 15,000 units	22,900 per 15,000 units	2011/10/28	210,000,000	2011/04/01
63538	Credit Suisse AG	HSI R Bull CBBC Oct 2011 N	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/10/28	210,000,000	2011/04/01
63536	Credit Suisse AG	HSI R Bear CBBC Jul 2011 G	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2011/07/28	210,000,000	2011/04/01
63549	Deutsche Bank AG	A50 China R Bull CBBC Sep 2011 E	0.250	12.700	13.000	2011/09/20	80,000,000	2011/04/01
63542	Deutsche Bank AG	HSCEI R Bull CBBC Oct 2011 A	0.250	12,322 per 8,000 units	12,522 per 8,000 units	2011/10/28	80,000,000	2011/04/01
63543	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 D	0.250	22,922 per 11,000 units	23,122 per 11,000 units	2011/10/28	300,000,000	2011/04/01
63544	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 R	0.270	22,722 per 11,000 units	22,922 per 11,000 units	2011/11/29	300,000,000	2011/04/01
63547	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 Y	0.250	22,800 per 10,000 units	23,100 per 10,000 units	2011/07/28	208,000,000	2011/04/01
63548	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 I	0.250	22,500 per 12,000 units	22,800 per 12,000 units	2011/08/30	248,000,000	2011/04/01
63533	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11G	0.250	22,700 per 9,000 units	22,900 per 9,000 units	2011/08/30	300,000,000	2011/04/01
63539	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11S	0.250	22,800 per 9,000 units	23,000 per 9,000 units	2011/08/30	300,000,000	2011/04/01
63540	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11Z	0.250	22,900 per 9,000 units	23,100 per 9,000 units	2011/08/30	300,000,000	2011/04/01
63534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11M	0.250	23,200 per 9,000 units	23,000 per 9,000 units	2011/07/28	300,000,000	2011/04/01
63541	UBS AG	HSI R Bull CBBC Jul 2011 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/07/28	300,000,000	2011/04/01
63535	UBS AG	HSI R Bear CBBC Aug 2011 I	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/08/30	300,000,000	2011/04/01
63550	Credit Suisse AG	A50 China R Bull CBBC Aug 2011 B	0.250	12.500	12.800	2011/08/29	100,000,000	2011/04/04
63551	Credit Suisse AG	HSI R Bear CBBC Jul 2011 H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	210,000,000	2011/04/04
63562	Deutsche Bank AG	DJIA R Bull CBBC Dec 2011 C	0.250	11,400 per 78,000 units	11,800 per 78,000 units	2011/12/19	80,000,000	2011/04/04

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63553	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 G	0.250	19,250 per 40,000 units	19,750 per 40,000 units	2011/12/29	300,000,000	2011/04/04
63554	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 W	0.250	23,222 per 11,000 units	23,022 per 11,000 units	2011/08/30	300,000,000	2011/04/04
63555	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 V	0.280	23,422 per 11,000 units	23,222 per 11,000 units	2011/09/29	300,000,000	2011/04/04
63556	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 J	0.300	24,422 per 11,000 units	24,222 per 11,000 units	2011/10/28	300,000,000	2011/04/04
63557	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 S	0.330	24,622 per 11,000 units	24,422 per 11,000 units	2011/11/29	300,000,000	2011/04/04
63558	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 T	0.350	24,822 per 11,000 units	24,622 per 11,000 units	2011/11/29	300,000,000	2011/04/04
63560	UBS AG	CC Bank R Bear CBBC Sep 2011 B	0.250	7.880	7.580	2011/09/12	300,000,000	2011/04/04
63552	UBS AG	HSI R Bull CBBC Jul 2011 M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/07/28	300,000,000	2011/04/04
63559	UBS AG	HSI R Bull CBBC Sep 2011 I	0.250	22,450 per 15,000 units	22,650 per 15,000 units	2011/09/29	300,000,000	2011/04/04
63561	UBS AG	HSI R Bear CBBC Jul 2011 A	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/07/28	300,000,000	2011/04/04
67262 <sup>f</sup>	Credit Suisse AG	Hutchison R Bull CBBC Jun 2011 B	0.106	79 per 100 units	83 per 100 units	2011/06/07	200,000,000	2011/04/04
63567	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 U	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	200,000,000	2011/04/06
63563	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 R	0.250	22,822 per 11,000 units	23,022 per 11,000 units	2011/08/30	300,000,000	2011/04/06
63564	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 X	0.380	24,322 per 11,000 units	24,122 per 11,000 units	2011/09/29	300,000,000	2011/04/06
63565	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 U	0.360	24,522 per 16,000 units	24,322 per 16,000 units	2011/11/29	300,000,000	2011/04/06
63566	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 V	0.420	24,722 per 11,000 units	24,522 per 11,000 units	2011/11/29	300,000,000	2011/04/06
63569	UBS AG	HSI R Bear CBBC Jul 2011 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/07/28	300,000,000	2011/04/06
63568	UBS AG	TCH R Bear CBBC Jul 2011 D	0.250	208 per 100 units	200 per 100 units	2011/07/25	100,000,000	2011/04/06
63592	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 A	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/12/29	200,000,000	2011/04/07
63590	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 D	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/12/29	200,000,000	2011/04/07
63593	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/12/29	200,000,000	2011/04/07
63589	Credit Suisse AG	HSI R Bull CBBC Sep 2011 H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/09/29	210,000,000	2011/04/07
63587	Credit Suisse AG	HSI R Bear CBBC Jul 2011 Q	0.250	23,500 per 15,000 units	23,300 per 15,000 units	2011/07/28	210,000,000	2011/04/07
63603	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 Z	0.270	23,022 per 11,000 units	23,222 per 11,000 units	2011/07/28	300,000,000	2011/04/07
63604	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 X	0.250	23,222 per 11,000 units	23,422 per 11,000 units	2011/08/30	300,000,000	2011/04/07
63583	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 H	0.350	17,150 per 20,000 units	17,650 per 20,000 units	2011/12/29	300,000,000	2011/04/07
63582	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 I	0.250	23,622 per 11,000 units	23,422 per 11,000 units	2011/10/28	300,000,000	2011/04/07
63597	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 U	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2011/07/28	200,000,000	2011/04/07
63598	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 V	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/07/28	200,000,000	2011/04/07
63602	J P Morgan SP BV	HSI R Bull CBBC Jul 2011 W	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/07/28	200,000,000	2011/04/07
63596	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Sep 2011 C	0.250	23,100 per 15,000 units	23,400 per 15,000 units	2011/09/29	248,000,000	2011/04/07
63570	SGA Societe Generale Acceptance N.V.	CMob R Bull CBBC Sep11A	0.250	58 per 100 units	61 per 100 units	2011/09/26	300,000,000	2011/04/07
63574	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Sep11A	0.250	12.100	12.500	2011/09/26	150,000,000	2011/04/07
63571	SGA Societe Generale Acceptance N.V.	HKEx R Bull CBBC Sep11A	0.320	134 per 100 units	140 per 100 units	2011/09/26	150,000,000	2011/04/07
63572	SGA Societe Generale Acceptance N.V.	HKEx R Bull CBBC Sep11B	0.250	144 per 100 units	150 per 100 units	2011/09/26	150,000,000	2011/04/07
63573	SGA Societe Generale Acceptance N.V.	HSBC R Bear CBBC Sep11A	0.250	94 per 100 units	90 per 100 units	2011/09/26	300,000,000	2011/04/07
63586	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11W	0.250	23,100 per 9,000 units	23,300 per 9,000 units	2011/08/30	300,000,000	2011/04/07
63585	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11X	0.250	23,000 per 9,000 units	23,200 per 9,000 units	2011/08/30	300,000,000	2011/04/07
63580	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11A	0.250	23,400 per 9,000 units	23,200 per 9,000 units	2011/08/30	300,000,000	2011/04/07
63579	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11B	0.250	23,300 per 9,000 units	23,100 per 9,000 units	2011/08/30	300,000,000	2011/04/07
63575	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC Sep11A	0.280	218 per 100 units	210 per 100 units	2011/09/26	100,000,000	2011/04/07
63577	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC Sep11B	0.390	228 per 100 units	220 per 100 units	2011/09/26	100,000,000	2011/04/07

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63594	UBS AG	HSI R Bull CBBC Jul 2011 F	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/07/28	300,000,000	2011/04/07
63595	UBS AG	HSI R Bull CBBC Aug 2011 J	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/08/30	300,000,000	2011/04/07
63581	UBS AG	HSI R Bear CBBC Aug 2011 K	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/08/30	300,000,000	2011/04/07
63611	Credit Suisse AG	HSI R Bull CBBC Sep 2011 E	0.250	23,300 per 15,000 units	23,500 per 15,000 units	2011/09/29	210,000,000	2011/04/08
63606	Credit Suisse AG	HSI R Bull CBBC Oct 2011 O	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2011/10/28	210,000,000	2011/04/08
63605	Credit Suisse AG	HSI R Bear CBBC Jul 2011 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	210,000,000	2011/04/08
63609	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 W	0.250	23,322 per 11,000 units	23,522 per 11,000 units	2011/11/29	300,000,000	2011/04/08
63613	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 D	0.250	21,800 per 10,000 units	22,300 per 10,000 units	2011/08/30	250,000,000	2011/04/08
63614	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 E	0.250	22,100 per 10,000 units	22,600 per 10,000 units	2011/08/30	250,000,000	2011/04/08
63616	UBS AG	HSI R Bull CBBC Jul 2011 S	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/07/28	300,000,000	2011/04/08
63612	UBS AG	HSI R Bear CBBC Jul 2011 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/07/28	300,000,000	2011/04/08
63667	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 P	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/12/29	200,000,000	2011/04/11
63666	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Q	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/12/29	200,000,000	2011/04/11
63665	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/12/29	200,000,000	2011/04/11
63640	Credit Suisse AG	China Mobile R Bull CBBC Sep 2011 A	0.250	62 per 100 units	65 per 100 units	2011/09/26	100,000,000	2011/04/11
63645	Credit Suisse AG	China Mobile R Bear CBBC Sep 2011 B	0.250	83 per 100 units	80 per 100 units	2011/09/26	100,000,000	2011/04/11
63651	Credit Suisse AG	HSI R Bull CBBC Oct 2011 P	0.250	23,400 per 12,000 units	23,600 per 12,000 units	2011/10/28	210,000,000	2011/04/11
63652	Credit Suisse AG	HSI R Bull CBBC Oct 2011 Q	0.250	23,600 per 15,000 units	23,800 per 15,000 units	2011/10/28	210,000,000	2011/04/11
63619	Credit Suisse AG	HSI R Bull CBBC Nov 2011 D	0.250	23,000 per 15,000 units	23,200 per 15,000 units	2011/11/29	210,000,000	2011/04/11
63620	Credit Suisse AG	HSI R Bear CBBC Jul 2011 A	0.250	23,800 per 15,000 units	23,600 per 15,000 units	2011/07/28	210,000,000	2011/04/11
63643	Credit Suisse AG	Hutchison R Bear CBBC Aug 2011 A	0.250	104 per 100 units	100 per 100 units	2011/08/29	100,000,000	2011/04/11
63621	Credit Suisse AG	TCH R Bull CBBC Sep 2011 A	0.340	156.30 per 100 units	164.30 per 100 units	2011/09/26	100,000,000	2011/04/11
63644	Credit Suisse AG	TCH R Bear CBBC Aug 2011 C	0.250	206 per 100 units	198 per 100 units	2011/08/29	100,000,000	2011/04/11
63639	Deutsche Bank AG	China Life R Bull CBBC Sep 2011 D	0.250	28.000	28.500	2011/09/27	80,000,000	2011/04/11
63631	Deutsche Bank AG	HSCEI R Bull CBBC Nov 2011 A	0.250	12,822 per 8,000 units	13,022 per 8,000 units	2011/11/29	80,000,000	2011/04/11
63636	Deutsche Bank AG	HSCEI R Bear CBBC Oct 2011 B	0.290	14,322 per 8,000 units	14,122 per 8,000 units	2011/10/28	80,000,000	2011/04/11
63676	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 Q	0.260	23,422 per 11,000 units	23,622 per 11,000 units	2011/07/28	300,000,000	2011/04/11
63677	Deutsche Bank AG	HSI R Bull CBBC Aug 2011 Z	0.250	23,522 per 16,000 units	23,722 per 16,000 units	2011/08/30	300,000,000	2011/04/11
63637	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 X	0.250	23,122 per 16,000 units	23,322 per 16,000 units	2011/11/29	300,000,000	2011/04/11
63678	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 L	0.250	24,022 per 11,000 units	23,822 per 11,000 units	2011/07/28	300,000,000	2011/04/11
63638	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 Y	0.250	23,822 per 11,000 units	23,622 per 11,000 units	2011/11/29	300,000,000	2011/04/11
63626	HK Bank	HSI R Bull CBBC Sep 2011 L	0.250	22,488 per 20,000 units	22,888 per 20,000 units	2011/09/29	100,000,000	2011/04/11
63669	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jul 2011 Z	0.250	23,500 per 10,000 units	23,800 per 10,000 units	2011/07/28	208,000,000	2011/04/11
63675	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 J	0.250	23,400 per 12,000 units	23,600 per 12,000 units	2011/08/30	248,000,000	2011/04/11
63615	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11Y	0.250	23,200 per 9,000 units	23,400 per 9,000 units	2011/08/30	300,000,000	2011/04/11
63617	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11J	0.250	23,800 per 9,000 units	23,600 per 9,000 units	2011/07/28	300,000,000	2011/04/11
63653	UBS AG	ABC R Bull CBBC Sep 2011 B	0.250	3.580	3.880	2011/09/12	100,000,000	2011/04/11
63662	UBS AG	ABC R Bear CBBC Sep 2011 C	0.250	5.180	4.880	2011/09/26	100,000,000	2011/04/11
63664	UBS AG	CNOOC R Bear CBBC Sep 2011 A	0.250	22.680	21.880	2011/09/12	100,000,000	2011/04/11
63655	UBS AG	HSI R Bull CBBC Jul 2011 J	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/07/28	300,000,000	2011/04/11
63658	UBS AG	HSI R Bull CBBC Jul 2011 Y	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/07/28	300,000,000	2011/04/11
63661	UBS AG	HSI R Bull CBBC Aug 2011 H	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/08/30	300,000,000	2011/04/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63650	UBS AG	HSI R Bear CBBC Jul 2011 E	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	300,000,000	2011/04/11
63646	UBS AG	HSI R Bear CBBC Aug 2011 L	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/08/30	300,000,000	2011/04/11
63649	UBS AG	HSI R Bear CBBC Sep 2011 J	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/09/29	300,000,000	2011/04/11
63708	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 H	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2011/09/29	200,000,000	2011/04/12
63709	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 I	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	200,000,000	2011/04/12
63710	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 M	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/12/29	200,000,000	2011/04/12
63725	Credit Suisse AG	HKEx R Bull CBBC Aug 2011 B	0.250	164 per 100 units	170 per 100 units	2011/08/29	100,000,000	2011/04/12
63731	Credit Suisse AG	HKEx R Bear CBBC Aug 2011 C	0.260	206 per 100 units	200 per 100 units	2011/08/29	100,000,000	2011/04/12
63726	Credit Suisse AG	HSI R Bull CBBC Sep 2011 I	0.250	23,900 per 15,000 units	24,100 per 15,000 units	2011/09/29	210,000,000	2011/04/12
63729	Credit Suisse AG	HSI R Bull CBBC Oct 2011 R	0.250	23,700 per 12,000 units	23,900 per 12,000 units	2011/10/28	210,000,000	2011/04/12
63730	Credit Suisse AG	HSI R Bull CBBC Nov 2011 E	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/11/29	210,000,000	2011/04/12
63705	Credit Suisse AG	HSI R Bear CBBC Jul 2011 H	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2011/07/28	210,000,000	2011/04/12
63706	Credit Suisse AG	HSI R Bear CBBC Jul 2011 Z	0.250	24,200 per 12,000 units	24,000 per 12,000 units	2011/07/28	210,000,000	2011/04/12
63735	Deutsche Bank AG	A50 China R Bull CBBC Sep 2011 F	0.250	13.000	13.300	2011/09/27	80,000,000	2011/04/12
63736	Deutsche Bank AG	A50 China R Bear CBBC Sep 2011 G	0.340	15.100	14.800	2011/09/27	80,000,000	2011/04/12
63733	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 W	0.250	23,922 per 11,000 units	24,122 per 11,000 units	2011/09/29	300,000,000	2011/04/12
63734	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 A	0.280	23,722 per 11,000 units	23,922 per 11,000 units	2011/11/29	300,000,000	2011/04/12
63712	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 H	0.270	25,422 per 11,000 units	25,222 per 11,000 units	2011/08/30	300,000,000	2011/04/12
63711	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 I	0.250	25,222 per 11,000 units	25,022 per 11,000 units	2011/08/30	300,000,000	2011/04/12
63713	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 Y	0.300	25,622 per 11,000 units	25,422 per 11,000 units	2011/09/29	300,000,000	2011/04/12
63717	Deutsche Bank AG	HSI R Bear CBBC Oct 2011 L	0.330	25,622 per 11,000 units	25,622 per 11,000 units	2011/10/28	300,000,000	2011/04/12
63691	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 Z	0.250	24,222 per 11,000 units	24,022 per 11,000 units	2011/11/29	300,000,000	2011/04/12
63692	HK Bank	CNOOC R Bull CBBC Oct 2011 A	0.250	18.080	18.880	2011/10/28	80,000,000	2011/04/12
63697	HK Bank	CNOOC R Bear CBBC Oct 2011 B	0.410	24.600	23.800	2011/10/28	80,000,000	2011/04/12
63694	HK Bank	HSI R Bull CBBC Oct 2011 B	0.250	22,888 per 12,000 units	23,188 per 12,000 units	2011/10/28	100,000,000	2011/04/12
63724	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 K	0.250	23,800 per 8,000 units	24,100 per 8,000 units	2011/08/30	168,000,000	2011/04/12
63698	Macquarie Bank Ltd.	HSI R Bull CBBC Sep 2011 A	0.250	22,600 per 13,800 units	23,000 per 13,800 units	2011/09/29	300,000,000	2011/04/12
63699	Macquarie Bank Ltd.	HSI R Bear CBBC Aug 2011 A	0.250	25,400 per 13,800 units	25,000 per 13,800 units	2011/08/30	300,000,000	2011/04/12
63737	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 F	0.250	22,500 per 10,000 units	23,000 per 10,000 units	2011/08/30	250,000,000	2011/04/12
63738	RB of Scotland N.V.	HSI R Bull CBBC Aug 2011 G	0.250	23,000 per 10,000 units	23,500 per 10,000 units	2011/08/30	250,000,000	2011/04/12
63680	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11F	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63688	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11G	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63689	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11H	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63690	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11I	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63700	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11J	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63703	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11K	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/09/29	300,000,000	2011/04/12
63704	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11M	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/07/28	300,000,000	2011/04/12
63718	UBS AG	HKEx R Bull CBBC Sep 2011 B	0.250	162.88 per 100 units	168.88 per 100 units	2011/09/19	100,000,000	2011/04/12
63723	UBS AG	HKEx R Bear CBBC Sep 2011 C	0.250	204.88 per 100 units	198.88 per 100 units	2011/09/26	100,000,000	2011/04/12
63722	UBS AG	HSI R Bull CBBC Jul 2011 V	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/07/28	300,000,000	2011/04/12
63720	UBS AG	HSI R Bull CBBC Jul 2011 Z	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/07/28	300,000,000	2011/04/12
63695	UBS AG	HSI R Bear CBBC Jul 2011 L	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/07/28	300,000,000	2011/04/12



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63719	UBS AG	ICBC R Bull CBBC Oct 2011 A	0.250	5.480	5.880	2011/10/10	100,000,000	2011/04/12
63745	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 C	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/09/29	200,000,000	2011/04/13
63747	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 D	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2011/09/29	200,000,000	2011/04/13
63751	Credit Suisse AG	HSI R Bull CBBC Sep 2011 J	0.250	24,000 per 12,000 units	24,200 per 12,000 units	2011/09/29	210,000,000	2011/04/13
63743	Credit Suisse AG	HSI R Bull CBBC Nov 2011 F	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/11/29	210,000,000	2011/04/13
63744	Credit Suisse AG	HSI R Bear CBBC Jul 2011 G	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2011/07/28	210,000,000	2011/04/13
63742	Credit Suisse AG	TCH R Bull CBBC Aug 2011 D	0.250	188 per 100 units	196 per 100 units	2011/08/29	100,000,000	2011/04/13
63746	Credit Suisse AG	TCH R Bear CBBC Aug 2011 E	0.250	218 per 100 units	210 per 100 units	2011/08/29	100,000,000	2011/04/13
63748	Deutsche Bank AG	HSI R Bull CBBC Sep 2011 U	0.250	24,022 per 11,000 units	24,222 per 11,000 units	2011/09/29	300,000,000	2011/04/13
63749	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 K	0.290	23,822 per 11,000 units	23,822 per 11,000 units	2011/10/28	300,000,000	2011/04/13
63770	Deutsche Bank AG	HSI R Bear CBBC Sep 2011 S	0.250	26,022 per 11,000 units	25,822 per 11,000 units	2011/09/29	300,000,000	2011/04/13
63750	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 E	0.250	24,522 per 16,000 units	24,322 per 16,000 units	2011/11/29	300,000,000	2011/04/13
63759	J P Morgan SP BV	HSI R Bull CBBC Aug 2011 A	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/08/30	200,000,000	2011/04/13
63760	J P Morgan SP BV	HSI R Bull CBBC Aug 2011 B	0.250	23,600 per 15,000 units	23,800 per 15,000 units	2011/08/30	200,000,000	2011/04/13
63766	J P Morgan SP BV	HSI R Bull CBBC Aug 2011 C	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/08/30	200,000,000	2011/04/13
63767	J P Morgan SP BV	HSI R Bull CBBC Aug 2011 D	0.250	24,000 per 15,000 units	24,200 per 15,000 units	2011/08/30	200,000,000	2011/04/13
63756	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 L	0.250	24,500 per 12,000 units	24,300 per 12,000 units	2011/08/30	248,000,000	2011/04/13
63772	RB of Scotland N.V.	HSCEI R Bear CBBC Aug 2011 A	0.250	14,500 per 10,000 units	14,000 per 10,000 units	2011/08/30	250,000,000	2011/04/13
63773	RB of Scotland N.V.	HSCEI R Bear CBBC Aug 2011 B	0.250	15,000 per 10,000 units	14,500 per 10,000 units	2011/08/30	250,000,000	2011/04/13
63771	RB of Scotland N.V.	Ping An R Bull CBBC Oct 2011 A	0.250	64 per 100 units	70 per 100 units	2011/10/10	80,000,000	2011/04/13
63740	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11L	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2011/09/29	300,000,000	2011/04/13
63741	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11M	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/09/29	300,000,000	2011/04/13
63753	UBS AG	A50 China R Bull CBBC Sep 2011 D	0.250	12.600	13.000	2011/09/12	100,000,000	2011/04/13
63754	UBS AG	HSI R Bull CBBC Jul 2011 W	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/07/28	300,000,000	2011/04/13
63755	UBS AG	HSI R Bull CBBC Aug 2011 M	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/08/30	300,000,000	2011/04/13
63739	UBS AG	HSI R Bear CBBC Aug 2011 O	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/08/30	300,000,000	2011/04/13
63752	UBS AG	Hutchison R Bull CBBC Sep 2011 A	0.250	86 per 100 units	90 per 100 units	2011/09/19	100,000,000	2011/04/13
63789	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 P	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2011/07/28	200,000,000	2011/04/14
63790	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 Q	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2011/07/28	200,000,000	2011/04/14
63788	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 R	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2011/07/28	200,000,000	2011/04/14
63787	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 S	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2011/07/28	200,000,000	2011/04/14
63786	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 T	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2011/07/28	200,000,000	2011/04/14
63775	Credit Suisse AG	A50 China R Bull CBBC Sep 2011 A	0.250	13.000	13.300	2011/09/26	100,000,000	2011/04/14
63779	Credit Suisse AG	A50 China R Bear CBBC Sep 2011 B	0.250	15.600	15.300	2011/09/26	100,000,000	2011/04/14
63776	Credit Suisse AG	HSI R Bear CBBC Jul 2011 W	0.250	24,500 per 12,000 units	24,300 per 12,000 units	2011/07/28	210,000,000	2011/04/14
63792	Deutsche Bank AG	China Mobile R Bull CBBC Sep 2011 C	0.250	70 per 100 units	72 per 100 units	2011/09/29	80,000,000	2011/04/14
63791	Deutsche Bank AG	China Life R Bull CBBC Sep 2011 E	0.250	29.000	29.500	2011/09/29	80,000,000	2011/04/14
63794	Deutsche Bank AG	A50 China R Bull CBBC Sep 2011 H	0.250	13.300	13.600	2011/09/29	80,000,000	2011/04/14
63793	Deutsche Bank AG	HSBC R Bull CBBC Sep 2011 G	0.250	78.50 per 100 units	81 per 100 units	2011/09/15	80,000,000	2011/04/14
63774	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 I	0.250	22,150 per 20,000 units	22,650 per 20,000 units	2011/12/29	300,000,000	2011/04/14

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63778	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 D	0.250	25,522 per 16,000 units	25,322 per 16,000 units	2011/08/30	300,000,000	2011/04/14
63777	Deutsche Bank AG	HSI R Bear CBBC Aug 2011 T	0.280	25,322 per 11,000 units	25,122 per 11,000 units	2011/08/30	300,000,000	2011/04/14
63781	UBS AG	China Life R Bull CBBC Sep 2011 D	0.255	27.880	28.880	2011/09/19	100,000,000	2011/04/14
63783	UBS AG	HSI R Bull CBBC Sep 2011 K	0.250	23,900 per 12,000 units	24,100 per 12,000 units	2011/09/29	300,000,000	2011/04/14
63784	UBS AG	HSI R Bull CBBC Oct 2011 C	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2011/10/28	300,000,000	2011/04/14
63780	UBS AG	Ping An R Bull CBBC Sep 2011 A	0.250	66 per 100 units	72 per 100 units	2011/09/26	100,000,000	2011/04/14
63785	UBS AG	Ping An R Bear CBBC Sep 2011 B	0.250	106 per 100 units	100 per 100 units	2011/09/26	100,000,000	2011/04/14
63782	UBS AG	TCH R Bull CBBC Sep 2011 E	0.250	180.88 per 100 units	188.88 per 100 units	2011/09/26	100,000,000	2011/04/14
63810	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 F	0.250	24,000 per 10,000 units	24,200 per 10,000 units	2011/09/29	200,000,000	2011/04/15
63811	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 K	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2011/09/29	200,000,000	2011/04/15
63800	Credit Suisse AG	HSI R Bull CBBC Sep 2011 N	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2011/09/29	210,000,000	2011/04/15
63799	Credit Suisse AG	HSI R Bear CBBC Jul 2011 R	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/07/28	210,000,000	2011/04/15
63809	Deutsche Bank AG	A50 China R Bear CBBC Oct 2011 A	0.250	15.400	15.100	2011/10/11	80,000,000	2011/04/15
63808	Deutsche Bank AG	HSI R Bull CBBC Oct 2011 Q	0.250	24,122 per 16,000 units	24,322 per 16,000 units	2011/10/28	300,000,000	2011/04/15
63796	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 O	0.250	24,622 per 11,000 units	24,422 per 11,000 units	2011/07/28	300,000,000	2011/04/15
63807	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 M	0.250	24,000 per 15,000 units	24,300 per 15,000 units	2011/08/30	248,000,000	2011/04/15
63797	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11N	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2011/09/29	300,000,000	2011/04/15
63798	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11O	0.250	24,200 per 10,000 units	24,400 per 10,000 units	2011/09/29	300,000,000	2011/04/15
63801	UBS AG	HSI R Bull CBBC Aug 2011 A	0.250	24,200 per 12,000 units	24,400 per 12,000 units	2011/08/30	300,000,000	2011/04/15
63802	UBS AG	HSI R Bull CBBC Nov 2011 A	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2011/11/29	300,000,000	2011/04/15
63795	UBS AG	HSI R Bear CBBC Jul 2011 X	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/07/28	300,000,000	2011/04/15
63806	UBS AG	HSI R Bear CBBC Sep 2011 L	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2011/09/29	300,000,000	2011/04/15
63830	Credit Suisse AG	HSI R Bull CBBC Nov 2011 G	0.250	24,150 per 15,000 units	24,350 per 15,000 units	2011/11/29	210,000,000	2011/04/18
63831	Credit Suisse AG	HSI R Bear CBBC Aug 2011 V	0.250	26,500 per 15,000 units	26,300 per 15,000 units	2011/08/30	210,000,000	2011/04/18
63832	Credit Suisse AG	HSI R Bear CBBC Oct 2011 S	0.270	27,000 per 10,000 units	26,800 per 10,000 units	2011/10/28	210,000,000	2011/04/18
63833	Credit Suisse AG	HSI R Bear CBBC Nov 2011 H	0.250	26,800 per 15,000 units	26,600 per 15,000 units	2011/11/29	210,000,000	2011/04/18
63834	Credit Suisse AG	HSI R Bear CBBC Nov 2011 I	0.250	27,200 per 12,000 units	27,000 per 12,000 units	2011/11/29	210,000,000	2011/04/18
63844	Deutsche Bank AG	A50 China R Bear CBBC Oct 2011 B	0.250	14.800	14.500	2011/10/18	80,000,000	2011/04/18
63829	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 K	0.250	23,822 per 11,000 units	24,022 per 11,000 units	2011/07/28	300,000,000	2011/04/18
63828	HK Bank	HSI R Bull CBBC Oct 2011 C	0.250	23,288 per 15,000 units	23,588 per 15,000 units	2011/10/28	100,000,000	2011/04/18
63813	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 E	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63814	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 F	0.250	25,000 per 15,000 units	24,800 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63815	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 G	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63816	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 H	0.250	25,400 per 15,000 units	25,200 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63817	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 I	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63818	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 J	0.250	26,000 per 15,000 units	25,800 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63819	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 K	0.250	26,200 per 10,000 units	26,000 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63820	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 L	0.250	26,400 per 15,000 units	26,200 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63822	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 M	0.250	26,700 per 10,000 units	26,500 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63823	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 N	0.250	27,000 per 15,000 units	26,800 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63824	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 O	0.285	27,200 per 10,000 units	27,000 per 10,000 units	2011/08/30	200,000,000	2011/04/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63825	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 P	0.250	27,400 per 15,000 units	27,200 per 15,000 units	2011/08/30	200,000,000	2011/04/18
63826	J P Morgan SP BV	HSI R Bear CBBC Aug 2011 Q	0.335	27,700 per 10,000 units	27,500 per 10,000 units	2011/08/30	200,000,000	2011/04/18
63812	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11G	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/07/28	300,000,000	2011/04/18
63835	UBS AG	HKEEx R Bull CBBC Sep 2011 D	0.250	169 per 100 units	175 per 100 units	2011/09/26	100,000,000	2011/04/18
63836	UBS AG	HKEEx R Bear CBBC Oct 2011 A	0.300	214.88 per 100 units	208.88 per 100 units	2011/10/10	100,000,000	2011/04/18
63837	UBS AG	HSI R Bull CBBC Jul 2011 A	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2011/07/28	300,000,000	2011/04/18
63838	UBS AG	HSI R Bull CBBC Oct 2011 D	0.250	22,900 per 38,000 units	23,300 per 38,000 units	2011/10/28	300,000,000	2011/04/18
63839	UBS AG	HSI R Bear CBBC Sep 2011 M	0.250	26,400 per 10,000 units	26,200 per 10,000 units	2011/09/29	300,000,000	2011/04/18
63840	UBS AG	HSI R Bear CBBC Sep 2011 N	0.250	26,700 per 10,000 units	26,500 per 10,000 units	2011/09/29	300,000,000	2011/04/18
63842	UBS AG	HSI R Bear CBBC Oct 2011 E	0.285	27,200 per 10,000 units	27,000 per 10,000 units	2011/10/28	300,000,000	2011/04/18
63843	UBS AG	HSI R Bear CBBC Nov 2011 B	0.280	27,700 per 12,000 units	27,500 per 12,000 units	2011/11/29	300,000,000	2011/04/18
63863	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 E	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/07/28	200,000,000	2011/04/19
63860	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 W	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/07/28	200,000,000	2011/04/19
63857	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 X	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/07/28	200,000,000	2011/04/19
63847	Credit Suisse AG	HSI R Bull CBBC Oct 2011 T	0.250	24,100 per 10,000 units	24,300 per 10,000 units	2011/10/28	210,000,000	2011/04/19
63846	Credit Suisse AG	HSI R Bear CBBC Jul 2011 E	0.250	24,200 per 12,000 units	24,000 per 12,000 units	2011/07/28	210,000,000	2011/04/19
63865	Credit Suisse AG	TCH R Bear CBBC Aug 2011 F	0.250	208 per 100 units	200 per 100 units	2011/08/29	100,000,000	2011/04/19
63880	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 X	0.250	23,722 per 11,000 units	23,922 per 11,000 units	2011/07/28	300,000,000	2011/04/19
63853	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 V	0.270	24,222 per 11,000 units	24,022 per 11,000 units	2011/07/28	300,000,000	2011/04/19
63854	Deutsche Bank AG	HSI R Bear CBBC Jul 2011 W	0.250	24,422 per 11,000 units	24,222 per 11,000 units	2011/07/28	300,000,000	2011/04/19
63869	HK Bank	ABC R Bull CBBC Oct 2011 A	0.250	3.680	3.980	2011/10/28	80,000,000	2011/04/19
63875	HK Bank	ABC R Bear CBBC Oct 2011 B	0.250	5.180	4.880	2011/10/28	80,000,000	2011/04/19
63870	HK Bank	CC Bank R Bull CBBC Oct 2011 A	0.250	6.480	6.880	2011/10/28	80,000,000	2011/04/19
63871	HK Bank	CM Bank R Bull CBBC Oct 2011 A	0.260	18.180	19.680	2011/10/28	80,000,000	2011/04/19
63876	HK Bank	CM Bank R Bear CBBC Oct 2011 B	0.310	23.780	22.280	2011/10/28	80,000,000	2011/04/19
63872	HK Bank	Sinopec Corp R Bull CBBC Oct 2011 B	0.250	7.080	7.580	2011/10/28	80,000,000	2011/04/19
63873	HK Bank	ICBC R Bull CBBC Oct 2011 A	0.250	5.680	5.980	2011/10/28	80,000,000	2011/04/19
63845	UBS AG	HSI R Bull CBBC Jul 2011 O	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/07/28	300,000,000	2011/04/19
63878	UBS AG	HSI R Bear CBBC Jul 2011 K	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/07/28	300,000,000	2011/04/19
63877	UBS AG	HSI R Bear CBBC Jul 2011 N	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/07/28	300,000,000	2011/04/19
63896	BOCI Asia Ltd.	HSI R Bull CBBC Jul 2011 A	0.250	22,100 per 15,000 units	22,500 per 15,000 units	2011/07/28	100,000,000	2011/04/20
63900	BOCI Asia Ltd.	HSI R Bear CBBC Jul 2011 B	0.250	25,400 per 15,000 units	25,000 per 15,000 units	2011/07/28	100,000,000	2011/04/20
63917	Credit Suisse AG	HSI R Bull CBBC Oct 2011 V	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2011/10/28	210,000,000	2011/04/20
63899	Credit Suisse AG	HSI R Bear CBBC Oct 2011 U	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/10/28	210,000,000	2011/04/20
63898	Credit Suisse AG	TCH R Bull CBBC Sep 2011 B	0.250	185 per 100 units	193 per 100 units	2011/09/26	100,000,000	2011/04/20
63883	Deutsche Bank AG	China Life R Bear CBBC Sep 2011 F	0.330	31.000	30.500	2011/09/27	100,000,000	2011/04/20
63884	Deutsche Bank AG	HSBC R Bear CBBC Sep 2011 H	0.250	87.50 per 100 units	85 per 100 units	2011/09/27	100,000,000	2011/04/20
63918	Deutsche Bank AG	HSI R Bull CBBC Jul 2011 T	0.250	23,922 per 11,000 units	24,122 per 11,000 units	2011/07/28	300,000,000	2011/04/20
63901	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11P	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/04/20
63902	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11Q	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/09/29	300,000,000	2011/04/20

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63886	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11J	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/07/28	300,000,000	2011/04/20
63887	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul11M	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/07/28	300,000,000	2011/04/20
63895	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11A	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/08/30	300,000,000	2011/04/20
63888	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11B	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/08/30	300,000,000	2011/04/20
63905	UBS AG	HSI R Bull CBBC Jul 2011 E	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/07/28	300,000,000	2011/04/20
63915	UBS AG	HSI R Bear CBBC Jul 2011 V	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/07/28	300,000,000	2011/04/20
63906	UBS AG	HSI R Bear CBBC Aug 2011 R	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2011/08/30	300,000,000	2011/04/20
63909	UBS AG	HSI R Bear CBBC Sep 2011 O	0.250	24,750 per 12,000 units	24,550 per 12,000 units	2011/09/29	300,000,000	2011/04/20
63910	UBS AG	HSI R Bear CBBC Nov 2011 C	0.250	26,200 per 38,000 units	25,800 per 38,000 units	2011/11/29	300,000,000	2011/04/20
63924	Credit Suisse AG	HSI R Bull CBBC Sep 2011 M	0.250	23,800 per 12,000 units	24,000 per 12,000 units	2011/09/29	210,000,000	2011/04/21
63920	Credit Suisse AG	HSI R Bear CBBC Jul 2011 G	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/07/28	210,000,000	2011/04/21
63919	UBS AG	HSI R Bull CBBC Jul 2011 L	0.250	23,900 per 12,000 units	24,100 per 12,000 units	2011/07/28	300,000,000	2011/04/21
63930	UBS AG	HSI R Bear CBBC Jul 2011 W	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/07/28	300,000,000	2011/04/21
63931	Credit Suisse AG	HSI R Bull CBBC Sep 2011 L	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	210,000,000	2011/04/26
63932	UBS AG	CC Bank R Bear CBBC Oct 2011 A	0.250	8.300	8.000	2011/10/03	100,000,000	2011/04/26
63933	UBS AG	ICBC R Bear CBBC Sep 2011 A	0.250	7.300	7.000	2011/09/26	100,000,000	2011/04/26
63939	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 H	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/07/28	200,000,000	2011/04/27
63940	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 K	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/07/28	200,000,000	2011/04/27
63937	UBS AG	HSI R Bull CBBC Aug 2011 S	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/08/30	300,000,000	2011/04/27
63935	UBS AG	HSI R Bull CBBC Sep 2011 P	0.250	23,050 per 15,000 units	23,250 per 15,000 units	2011/09/29	300,000,000	2011/04/27
63936	UBS AG	HSI R Bull CBBC Sep 2011 Q	0.250	23,350 per 15,000 units	23,550 per 15,000 units	2011/09/29	300,000,000	2011/04/27
63934	UBS AG	HSI R Bear CBBC Aug 2011 N	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/08/30	300,000,000	2011/04/27
63963	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 I	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	200,000,000	2011/04/28
63964	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 J	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/07/28	200,000,000	2011/04/28
63952	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2011 L	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/07/28	200,000,000	2011/04/28
63969	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11R	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/04/28
63971	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11S	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/04/28
63972	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/09/29	300,000,000	2011/04/28
63977	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/09/29	300,000,000	2011/04/28
63951	UBS AG	HSI R Bull CBBC Jul 2011 A	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/07/28	300,000,000	2011/04/28
63950	UBS AG	HSI R Bull CBBC Aug 2011 I	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/08/30	300,000,000	2011/04/28
63981	UBS AG	HSI R Bull CBBC Oct 2011 F	0.250	22,950 per 15,000 units	23,150 per 15,000 units	2011/10/28	300,000,000	2011/04/28
63979	UBS AG	HSI R Bear CBBC Jul 2011 O	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/07/28	300,000,000	2011/04/28
63980	UBS AG	HSI R Bear CBBC Aug 2011 P	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/08/30	300,000,000	2011/04/28
63988	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 E	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/09/29	200,000,000	2011/04/29
63987	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 J	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	200,000,000	2011/04/29
63984	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11V	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/09/29	300,000,000	2011/04/29
63985	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11W	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/04/29
63986	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11X	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/09/29	300,000,000	2011/04/29
63995	UBS AG	HSI R Bull CBBC Aug 2011 F	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/08/30	300,000,000	2011/04/29
63983	UBS AG	HSI R Bear CBBC Aug 2011 T	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/08/30	300,000,000	2011/04/29
64008	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 N	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/09/29	200,000,000	2011/05/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63999	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2011 O	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2011/09/29	200,000,000	2011/05/03
64010	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 K	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2011/08/30	200,000,000	2011/05/03
64013	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 L	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2011/08/30	200,000,000	2011/05/03
63997	UBS AG	HSI R Bull CBBC Aug 2011 K	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/08/30	300,000,000	2011/05/03
63998	UBS AG	HSI R Bull CBBC Oct 2011 G	0.250	23,150 per 12,000 units	23,350 per 12,000 units	2011/10/28	300,000,000	2011/05/03
63996	UBS AG	HSI R Bear CBBC Sep 2011 R	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/09/29	300,000,000	2011/05/03
64023	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 M	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2011/08/30	200,000,000	2011/05/04
64022	HK Bank	CNOOC R Bull CBBC Nov 2011 A	0.250	17.480	18.680	2011/11/18	80,000,000	2011/05/04
64019	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11J	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2011/09/29	300,000,000	2011/05/04
64017	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11K	0.250	23,900 per 10,000 units	24,100 per 10,000 units	2011/09/29	300,000,000	2011/05/04
64014	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11Y	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/05/04
64016	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11Z	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2011/09/29	300,000,000	2011/05/04
64032	UBS AG	AIA R Bull CBBC Oct 2011 B	0.250	23.880	24.880	2011/10/24	100,000,000	2011/05/04
64031	UBS AG	AIA R Bear CBBC Oct 2011 A	0.300	28.880	27.880	2011/10/17	100,000,000	2011/05/04
64044	UBS AG	COVS R Bull CBBC Oct 2011 B	0.250	13.580	14.380	2011/10/24	100,000,000	2011/05/04
64043	UBS AG	COVS R Bear CBBC Oct 2011 A	0.255	18.180	17.380	2011/10/10	100,000,000	2011/05/04
64052	UBS AG	CUni R Bull CBBC Oct 2011 D	0.320	12.580	13.380	2011/10/03	100,000,000	2011/05/04
64051	UBS AG	CUni R Bear CBBC Oct 2011 C	0.250	16.680	15.880	2011/10/17	100,000,000	2011/05/04
64028	UBS AG	HSI R Bull CBBC Sep 2011 S	0.250	23,900 per 12,000 units	24,100 per 12,000 units	2011/09/29	300,000,000	2011/05/04
64034	UBS AG	HSI R Bear CBBC Aug 2011 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/08/30	300,000,000	2011/05/04
64053	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11A	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/08/30	300,000,000	2011/05/05
64054	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug11B	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/08/30	300,000,000	2011/05/05
64058	UBS AG	ABC R Bear CBBC Nov 2011 A	0.250	5.680	5.380	2011/11/07	100,000,000	2011/05/05
64066	UBS AG	HSBC R Bull CBBC Nov 2011 A	0.250	77 per 100 units	80 per 100 units	2011/11/14	100,000,000	2011/05/05
64056	UBS AG	HSI R Bull CBBC Sep 2011 U	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/05/05
64067	UBS AG	HSI R Bear CBBC Aug 2011 L	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/08/30	300,000,000	2011/05/05
64055	UBS AG	HSI R Bear CBBC Sep 2011 T	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/09/29	300,000,000	2011/05/05
64068	UBS AG	HSI R Bear CBBC Oct 2011 H	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2011/10/28	300,000,000	2011/05/05
64059	UBS AG	TCH R Bear CBBC Nov 2011 A	0.375	266.88 per 100 units	258.88 per 100 units	2011/11/14	100,000,000	2011/05/05
64074	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 N	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/08/30	200,000,000	2011/05/06
64075	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 O	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/08/30	200,000,000	2011/05/06
64076	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 P	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/08/30	200,000,000	2011/05/06
64073	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Sep 2011 D	0.250	24,300 per 15,000 units	24,000 per 15,000 units	2011/09/29	228,000,000	2011/05/06
64072	UBS AG	HSCEI R Bear CBBC Oct 2011 A	0.250	15,300 per 12,000 units	15,000 per 12,000 units	2011/10/28	100,000,000	2011/05/06
64071	UBS AG	HSI R Bull CBBC Aug 2011 M	0.250	23,600 per 12,000 units	23,800 per 12,000 units	2011/08/30	300,000,000	2011/05/06
64070	UBS AG	HSI R Bear CBBC Oct 2011 I	0.250	25,000 per 12,000 units	24,800 per 12,000 units	2011/10/28	300,000,000	2011/05/06
64069	UBS AG	HSI R Bear CBBC Nov 2011 D	0.250	25,700 per 38,000 units	25,300 per 38,000 units	2011/11/29	300,000,000	2011/05/06
64077	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2011 A	0.265	21,800 per 12,000 units	22,100 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64078	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2011 B	0.260	22,300 per 12,000 units	22,600 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64079	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2011 C	0.255	22,800 per 12,000 units	23,100 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64080	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2011 D	0.250	23,300 per 12,000 units	23,600 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64081	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 E	0.250	24,800 per 12,000 units	24,500 per 12,000 units	2011/11/29	100,000,000	2011/05/09

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64082	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 F	0.255	25,300 per 12,000 units	25,000 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64085	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 G	0.260	25,800 per 12,000 units	25,500 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64087	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 H	0.265	26,300 per 12,000 units	26,000 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64088	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 I	0.270	26,800 per 12,000 units	26,500 per 12,000 units	2011/11/29	100,000,000	2011/05/09
64097	RB of Scotland N.V.	Yanzhou Coal R Bull CBBC Feb 2012 A	0.250	18.90 per 100 units	21.20 per 100 units	2012/02/27	80,000,000	2011/05/09
64093	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11L	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/09/29	300,000,000	2011/05/09
64094	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11M	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/09/29	300,000,000	2011/05/09
64099	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11N	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/09/29	300,000,000	2011/05/09
64100	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11O	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/09/29	300,000,000	2011/05/09
64102	UBS AG	China Life R Bull CBBC Oct 2011 A	0.580	21.880	22.880	2011/10/24	100,000,000	2011/05/09
64096	UBS AG	HSI R Bull CBBC Aug 2011 O	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2011/08/30	300,000,000	2011/05/09
64101	UBS AG	HSI R Bear CBBC Aug 2011 A	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/08/30	300,000,000	2011/05/09
64127	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/08/30	200,000,000	2011/05/11
64130	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 R	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/08/30	200,000,000	2011/05/11
64116	HK Bank	China Life R Bull CBBC Dec 2011 A	0.250	25.88 per 100 units	26.88 per 100 units	2011/12/29	80,000,000	2011/05/11
64117	HK Bank	A50 R Bull CBBC Dec 2011 A	0.250	11.780	12.280	2011/12/29	200,000,000	2011/05/11
64118	HK Bank	A50 R Bull CBBC Dec 2011 B	0.250	12.380	12.880	2011/12/29	100,000,000	2011/05/11
64120	HK Bank	A50 R Bear CBBC Dec 2011 C	0.250	15.580	15.080	2011/12/29	200,000,000	2011/05/11
64121	HK Bank	HSI R Bear CBBC Dec 2011 A	0.250	25,588 per 15,000 units	25,188 per 15,000 units	2011/12/29	100,000,000	2011/05/11
64122	HK Bank	HSI R Bear CBBC Dec 2011 B	0.250	25,700 per 25,000 units	25,300 per 25,000 units	2011/12/29	100,000,000	2011/05/11
64119	HK Bank	TCH R Bear CBBC Nov 2011 B	0.440	266.08 per 100 units	250.08 per 100 units	2011/11/29	80,000,000	2011/05/11
64124	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Aug 2011 O	0.250	24,000 per 12,000 units	23,700 per 12,000 units	2011/08/30	168,000,000	2011/05/11
64123	UBS AG	HSI R Bull CBBC Aug 2011 H	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/08/30	300,000,000	2011/05/11
64115	UBS AG	HSI R Bear CBBC Sep 2011 V	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2011/09/29	300,000,000	2011/05/11
64149	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/08/30	200,000,000	2011/05/12
64150	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/08/30	200,000,000	2011/05/12
64151	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/08/30	200,000,000	2011/05/12
64132	RB of Scotland N.V.	DJIA R Bull CBBC Dec 2011 A	0.250	10,900 per 78,000 units	11,300 per 78,000 units	2011/12/16	80,000,000	2011/05/12
64133	RB of Scotland N.V.	DJIA R Bear CBBC Dec 2011 B	0.250	14,700 per 78,000 units	14,300 per 78,000 units	2011/12/16	80,000,000	2011/05/12
64156	UBS AG	Sinopec Corp R Bull CBBC Oct 2011 A	0.250	6.500	6.800	2011/10/17	100,000,000	2011/05/12
64155	UBS AG	A50 China R Bear CBBC Oct 2011 A	0.250	14.400	14.000	2011/10/24	100,000,000	2011/05/12
64147	UBS AG	HSI R Bull CBBC Aug 2011 N	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/08/30	300,000,000	2011/05/12
64143	UBS AG	HSI R Bull CBBC Sep 2011 W	0.250	22,750 per 15,000 units	22,950 per 15,000 units	2011/09/29	300,000,000	2011/05/12
64148	UBS AG	HSI R Bull CBBC Sep 2011 X	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/09/29	300,000,000	2011/05/12
64142	UBS AG	HSI R Bull CBBC Oct 2011 J	0.250	22,650 per 15,000 units	22,850 per 15,000 units	2011/10/28	300,000,000	2011/05/12
64152	UBS AG	HSI R Bear CBBC Sep 2011 Y	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/09/29	300,000,000	2011/05/12
64154	UBS AG	HSI R Bear CBBC Sep 2011 Z	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/09/29	300,000,000	2011/05/12
64180	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 V	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/08/30	200,000,000	2011/05/13
64163	HK Bank	China Shenhua R Bull CBBC Dec 2011 A	0.250	27.88 per 100 units	29.88 per 100 units	2011/12/29	80,000,000	2011/05/13

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64159	HK Bank	Hutchison R Bear CBBC Dec 2011 A	0.250	103.38 per 100 units	100.88 per 100 units	2011/12/29	80,000,000	2011/05/13
64162	HK Bank	SHK Ppt R Bull CBBC Dec 2011 B	0.250	109.08 per 100 units	115.08 per 100 units	2011/12/29	80,000,000	2011/05/13
64178	UBS AG	China Mobile R Bull CBBC Oct 2011 A	0.250	65 per 100 units	68 per 100 units	2011/10/24	100,000,000	2011/05/13
64179	UBS AG	CNOOC R Bull CBBC Oct 2011 A	0.250	16.080	16.880	2011/10/17	100,000,000	2011/05/13
64157	UBS AG	HSI R Bull CBBC Aug 2011 S	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/08/30	300,000,000	2011/05/13
64164	UBS AG	HSI R Bear CBBC Aug 2011 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/08/30	300,000,000	2011/05/13
64167	UBS AG	HSI R Bear CBBC Aug 2011 T	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/08/30	300,000,000	2011/05/13
64186	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/08/30	200,000,000	2011/05/16
64181	HK Bank	CNOOC R Bull CBBC Dec 2011 A	0.260	15.880	16.880	2011/12/29	80,000,000	2011/05/16
64195	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Sep 2011 E	0.250	23,700 per 10,000 units	23,400 per 10,000 units	2011/09/29	128,000,000	2011/05/16
64183	RB of Scotland N.V.	HSI R Bear CBBC Sep 2011 A	0.250	24,500 per 10,000 units	24,000 per 10,000 units	2011/09/29	250,000,000	2011/05/16
64185	RB of Scotland N.V.	HSI R Bear CBBC Sep 2011 B	0.250	24,900 per 10,000 units	24,400 per 10,000 units	2011/09/29	250,000,000	2011/05/16
64192	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11W	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/08/30	300,000,000	2011/05/16
64194	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11X	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/08/30	300,000,000	2011/05/16
64193	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/08/30	300,000,000	2011/05/16
64187	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/05/16
64188	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/09/29	300,000,000	2011/05/16
64189	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/09/29	300,000,000	2011/05/16
64190	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11P	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/09/29	300,000,000	2011/05/16
64191	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	300,000,000	2011/05/16
64199	UBS AG	China Life R Bear CBBC Oct 2011 B	0.315	29.880	28.880	2011/10/17	100,000,000	2011/05/16
64198	UBS AG	HSI R Bull CBBC Aug 2011 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/08/30	300,000,000	2011/05/16
64197	UBS AG	HSI R Bear CBBC Aug 2011 F	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/08/30	300,000,000	2011/05/16
64196	UBS AG	HSI R Bear CBBC Dec 2011 A	0.250	25,200 per 38,000 units	24,800 per 38,000 units	2011/12/29	300,000,000	2011/05/16
64201	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/12/29	200,000,000	2011/05/17
64202	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/12/29	200,000,000	2011/05/17
64204	UBS AG	AIA R Bull CBBC Nov 2011 B	0.250	24.880	25.880	2011/11/07	100,000,000	2011/05/17
64203	UBS AG	AIA R Bear CBBC Nov 2011 A	0.365	30.880	29.880	2011/11/14	100,000,000	2011/05/17
64205	UBS AG	HSI R Bull CBBC Sep 2011 B	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/09/29	300,000,000	2011/05/17
64206	UBS AG	HSI R Bull CBBC Oct 2011 K	0.250	22,250 per 12,000 units	22,450 per 12,000 units	2011/10/28	300,000,000	2011/05/17
64207	UBS AG	HSI R Bull CBBC Oct 2011 L	0.250	22,550 per 12,000 units	22,750 per 12,000 units	2011/10/28	300,000,000	2011/05/17
64218	Credit Suisse AG	HSI R Bull CBBC Sep 2011 D	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/09/29	210,000,000	2011/05/18
64219	Credit Suisse AG	HSI R Bull CBBC Oct 2011 X	0.250	23,200 per 12,000 units	23,400 per 12,000 units	2011/10/28	210,000,000	2011/05/18
64220	Credit Suisse AG	HSI R Bull CBBC Nov 2011 J	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/11/29	210,000,000	2011/05/18
64228	Credit Suisse AG	HSI R Bull CBBC Nov 2011 K	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/11/29	210,000,000	2011/05/18
64235	Credit Suisse AG	HSI R Bull CBBC Nov 2011 L	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/11/29	210,000,000	2011/05/18
64236	Credit Suisse AG	HSI R Bull CBBC Dec 2011 D	0.250	21,600 per 12,000 units	21,800 per 12,000 units	2011/12/29	210,000,000	2011/05/18
64215	Credit Suisse AG	HSI R Bear CBBC Aug 2011 O	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/08/30	210,000,000	2011/05/18
64214	Credit Suisse AG	HSI R Bear CBBC Aug 2011 T	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/08/30	210,000,000	2011/05/18
64216	Credit Suisse AG	HSI R Bear CBBC Aug 2011 W	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2011/08/30	210,000,000	2011/05/18
64217	Credit Suisse AG	HSI R Bear CBBC Aug 2011 X	0.250	24,400 per 12,000 units	24,200 per 12,000 units	2011/08/30	210,000,000	2011/05/18
64238	Credit Suisse AG	TCH R Bull CBBC Sep 2011 C	0.250	202 per 100 units	210 per 100 units	2011/09/26	100,000,000	2011/05/18
64239	Credit Suisse AG	TCH R Bull CBBC Oct 2011 A	0.310	192 per 100 units	200 per 100 units	2011/10/24	100,000,000	2011/05/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64240	Credit Suisse AG	TCH R Bear CBBC Sep 2011 D	0.250	228 per 100 units	220 per 100 units	2011/09/26	100,000,000	2011/05/18
64209	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 J	0.250	24,300 per 12,000 units	24,000 per 12,000 units	2011/11/29	100,000,000	2011/05/18
64212	RB of Scotland N.V.	HSI R Bear CBBC Sep 2011 C	0.250	24,700 per 10,000 units	24,200 per 10,000 units	2011/09/29	250,000,000	2011/05/18
64213	RB of Scotland N.V.	HSI R Bear CBBC Sep 2011 D	0.250	25,100 per 10,000 units	24,600 per 10,000 units	2011/09/29	250,000,000	2011/05/18
64211	UBS AG	HSI R Bull CBBC Sep 2011 A	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/09/29	300,000,000	2011/05/18
64266	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 X	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/08/30	200,000,000	2011/05/19
64267	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 Y	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/08/30	200,000,000	2011/05/19
64264	Credit Suisse AG	A50 China R Bear CBBC Sep 2011 C	0.250	14.100	13.800	2011/09/26	100,000,000	2011/05/19
64258	Credit Suisse AG	HKEx R Bear CBBC Sep 2011 A	0.250	186 per 100 units	180 per 100 units	2011/09/26	100,000,000	2011/05/19
64257	Credit Suisse AG	HSI R Bull CBBC Nov 2011 M	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/11/29	210,000,000	2011/05/19
64242	Credit Suisse AG	HSI R Bear CBBC Aug 2011 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/08/30	210,000,000	2011/05/19
64247	Credit Suisse AG	HSI R Bear CBBC Aug 2011 R	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/08/30	210,000,000	2011/05/19
64250	Credit Suisse AG	HSI R Bear CBBC Sep 2011 K	0.250	24,000 per 12,000 units	23,800 per 12,000 units	2011/09/29	210,000,000	2011/05/19
64251	Credit Suisse AG	HSI R Bear CBBC Sep 2011 O	0.250	24,200 per 12,000 units	24,000 per 12,000 units	2011/09/29	210,000,000	2011/05/19
64252	Credit Suisse AG	HSI R Bear CBBC Oct 2011 Y	0.250	24,800 per 12,000 units	24,600 per 12,000 units	2011/10/28	210,000,000	2011/05/19
64253	Credit Suisse AG	HSI R Bear CBBC Oct 2011 Z	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2011/10/28	210,000,000	2011/05/19
64262	Credit Suisse AG	TCH R Bear CBBC Sep 2011 E	0.290	238 per 100 units	230 per 100 units	2011/09/26	100,000,000	2011/05/19
64263	Credit Suisse AG	TCH R Bear CBBC Oct 2011 C	0.390	248 per 100 units	240 per 100 units	2011/10/24	100,000,000	2011/05/19
64270	RB of Scotland N.V.	CPIC R Bull CBBC Jan 2012 A	0.250	26.40 per 100 units	27.80 per 100 units	2012/01/09	80,000,000	2011/05/19
64271	RB of Scotland N.V.	China Shenhua R Bull CBBC Jan 2012 A	0.250	26.30 per 100 units	27.80 per 100 units	2012/01/09	80,000,000	2011/05/19
64273	RB of Scotland N.V.	Jiangxi Copper R Bull CBBC Jan 2012 A	0.250	13.60 per 100 units	14.80 per 100 units	2012/01/09	80,000,000	2011/05/19
64268	UBS AG	HSI R Bear CBBC Sep 2011 C	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/09/29	300,000,000	2011/05/19
64269	UBS AG	TCH R Bull CBBC Oct 2011 A	0.265	198.88 per 100 units	198.88 per 100 units	2011/10/17	100,000,000	2011/05/19
64303	Credit Suisse AG	HSI R Bull CBBC Oct 2011 F	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2011/10/28	210,000,000	2011/05/20
64300	Credit Suisse AG	HSI R Bear CBBC Aug 2011 C	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/08/30	210,000,000	2011/05/20
64296	Credit Suisse AG	HSI R Bear CBBC Aug 2011 S	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/08/30	210,000,000	2011/05/20
64297	Credit Suisse AG	HSI R Bear CBBC Aug 2011 Y	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2011/08/30	210,000,000	2011/05/20
64301	Credit Suisse AG	HSI R Bear CBBC Sep 2011 P	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2011/09/29	210,000,000	2011/05/20
64302	Credit Suisse AG	HSI R Bear CBBC Sep 2011 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2011/09/29	210,000,000	2011/05/20
64276	HK Bank	China Life R Bull CBBC Nov 2011 A	0.320	23.380	23.880	2011/11/29	80,000,000	2011/05/20
64279	HK Bank	HSI R Bear CBBC Nov 2011 A	0.250	24,100 per 12,000 units	23,800 per 12,000 units	2011/11/29	150,000,000	2011/05/20
64281	HK Bank	HSI R Bear CBBC Nov 2011 B	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2011/11/29	150,000,000	2011/05/20
64284	HK Bank	HSI R Bear CBBC Dec 2011 C	0.250	25,000 per 20,000 units	24,800 per 20,000 units	2011/12/29	150,000,000	2011/05/20
64310	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Oct 2011 A	0.250	22,800 per 15,000 units	23,100 per 15,000 units	2011/10/28	168,000,000	2011/05/20
64274	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2011 K	0.250	21,200 per 12,000 units	21,500 per 12,000 units	2011/11/29	100,000,000	2011/05/20
64275	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2011 L	0.250	23,800 per 12,000 units	23,500 per 12,000 units	2011/11/29	100,000,000	2011/05/20
64294	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11G	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/08/30	300,000,000	2011/05/20
64287	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11S	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/08/30	300,000,000	2011/05/20
64293	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11Z	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2011/08/30	300,000,000	2011/05/20
64290	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11T	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/09/29	300,000,000	2011/05/20
64292	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11U	0.250	21,400 per 12,000 units	21,600 per 12,000 units	2011/09/29	300,000,000	2011/05/20



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64285	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11R	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/09/29	300,000,000	2011/05/20
64288	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11S	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2011/09/29	300,000,000	2011/05/20
64308	UBS AG	HSI R Bull CBBC Aug 2011 I	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/08/30	300,000,000	2011/05/20
64309	UBS AG	HSI R Bull CBBC Sep 2011 J	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/09/29	300,000,000	2011/05/20
64307	UBS AG	HSI R Bear CBBC Sep 2011 H	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/09/29	300,000,000	2011/05/20
64313	Credit Suisse AG	HSI R Bull CBBC Nov 2011 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/11/29	210,000,000	2011/05/23
64317	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Sep 2011 F	0.250	23,400 per 8,000 units	23,100 per 8,000 units	2011/09/29	128,000,000	2011/05/23
64312	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11V	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/09/29	300,000,000	2011/05/23
64311	UBS AG	HSI R Bull CBBC Aug 2011 J	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/08/30	300,000,000	2011/05/23
64314	UBS AG	HSI R Bull CBBC Oct 2011 M	0.250	22,350 per 15,000 units	22,550 per 15,000 units	2011/10/28	300,000,000	2011/05/23
64315	UBS AG	HSI R Bull CBBC Nov 2011 E	0.250	22,150 per 15,000 units	22,350 per 15,000 units	2011/11/29	300,000,000	2011/05/23
64329	Credit Suisse AG	HSI R Bull CBBC Oct 2011 Q	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/05/24
64326	Credit Suisse AG	HSI R Bull CBBC Oct 2011 R	0.250	21,400 per 12,000 units	21,600 per 12,000 units	2011/10/28	300,000,000	2011/05/24
64341	J P Morgan SP BV	HSI R Bull CBBC Sep 2011 G	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2011/09/29	200,000,000	2011/05/24
64332	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 A	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2011/09/29	200,000,000	2011/05/24
64333	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 B	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2011/09/29	200,000,000	2011/05/24
64334	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 C	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2011/09/29	200,000,000	2011/05/24
64335	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 D	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/09/29	200,000,000	2011/05/24
64339	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 E	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2011/09/29	200,000,000	2011/05/24
64340	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/09/29	200,000,000	2011/05/24
64331	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Sep 2011 G	0.250	22,500 per 12,000 units	22,800 per 12,000 units	2011/09/29	188,000,000	2011/05/24
64325	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/08/30	300,000,000	2011/05/24
64319	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11J	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/09/29	300,000,000	2011/05/24
64320	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11K	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/09/29	300,000,000	2011/05/24
64318	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11W	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/09/29	300,000,000	2011/05/24
64324	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/09/29	300,000,000	2011/05/24
64330	UBS AG	HSI R Bull CBBC Sep 2011 K	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/09/29	300,000,000	2011/05/24
64361	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/12/29	200,000,000	2011/05/25
64362	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/12/29	200,000,000	2011/05/25
64367	J P Morgan SP BV	HSI R Bull CBBC Sep 2011 H	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/09/29	200,000,000	2011/05/25
64342	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC Oct11A	0.370	23.000	24.000	2011/10/31	100,000,000	2011/05/25
64343	SGA Societe Generale Acceptance N.V.	CLife R Bull CBBC Oct11B	0.260	24.000	25.000	2011/10/31	100,000,000	2011/05/25
64359	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct11C	0.250	11.600	12.000	2011/10/31	150,000,000	2011/05/25
64360	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct11D	0.250	11.100	11.500	2011/10/31	150,000,000	2011/05/25
64355	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Oct11A	0.250	14.900	14.500	2011/10/31	150,000,000	2011/05/25
64358	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Oct11B	0.250	14.400	14.000	2011/10/31	150,000,000	2011/05/25
64345	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Oct11A	0.250	71 per 100 units	75 per 100 units	2011/10/31	150,000,000	2011/05/25
64348	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Oct11B	0.250	76 per 100 units	80 per 100 units	2011/10/31	150,000,000	2011/05/25
64349	SGA Societe Generale Acceptance N.V.	HWL R Bear CBBC Oct11C	0.250	99 per 100 units	95 per 100 units	2011/10/31	150,000,000	2011/05/25
64350	SGA Societe Generale Acceptance N.V.	HWL R Bear CBBC Oct11D	0.250	104 per 100 units	100 per 100 units	2011/10/31	150,000,000	2011/05/25

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64353	SGA Societe Generale Acceptance N.V.	TCH R Bull CBBC Oct11A	0.320	182 per 100 units	190 per 100 units	2011/10/31	100,000,000	2011/05/25
64354	SGA Societe Generale Acceptance N.V.	TCH R Bear CBBC Oct11B	0.350	248 per 100 units	240 per 100 units	2011/10/31	100,000,000	2011/05/25
64366	UBS AG	COVS R Bull CBBC Nov 2011 A	0.250	13.080	13.880	2011/11/07	100,000,000	2011/05/25
64363	UBS AG	HSI R Bull CBBC Oct 2011 N	0.250	22,050 per 15,000 units	22,250 per 15,000 units	2011/10/28	300,000,000	2011/05/25
64373	Credit Suisse AG	HSI R Bull CBBC Nov 2011 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/11/29	300,000,000	2011/05/26
64370	Credit Suisse AG	HSI R Bear CBBC Aug 2011 Z	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/08/30	300,000,000	2011/05/26
64374	UBS AG	HSI R Bull CBBC Sep 2011 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/09/29	300,000,000	2011/05/26
64368	UBS AG	HSI R Bear CBBC Aug 2011 M	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/08/30	300,000,000	2011/05/26
64388	Credit Suisse AG	HSI R Bull CBBC Oct 2011 P	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/10/28	300,000,000	2011/05/27
64389	Credit Suisse AG	HSI R Bull CBBC Nov 2011 P	0.250	22,238 per 12,000 units	22,438 per 12,000 units	2011/11/29	300,000,000	2011/05/27
64379	Credit Suisse AG	HSI R Bear CBBC Aug 2011 I	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2011/08/30	300,000,000	2011/05/27
64384	RB of Scotland N.V.	HSCEI R Bull CBBC Nov 2011 A	0.250	11,000 per 10,000 units	11,500 per 10,000 units	2011/11/29	250,000,000	2011/05/27
64385	RB of Scotland N.V.	HSCEI R Bull CBBC Nov 2011 B	0.250	11,500 per 10,000 units	12,000 per 10,000 units	2011/11/29	250,000,000	2011/05/27
64377	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/08/30	300,000,000	2011/05/27
64376	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug11Y	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/08/30	300,000,000	2011/05/27
64375	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Y	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	300,000,000	2011/05/27
64383	UBS AG	HKEx R Bull CBBC Nov 2011 A	0.250	152.88 per 100 units	158.88 per 100 units	2011/11/21	100,000,000	2011/05/27
64378	UBS AG	HSI R Bear CBBC Sep 2011 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	300,000,000	2011/05/27
64458	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 A	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/08/30	200,000,000	2011/05/30
64452	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/08/30	200,000,000	2011/05/30
64460	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/08/30	200,000,000	2011/05/30
64451	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2011 Z	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/08/30	200,000,000	2011/05/30
64399	Credit Suisse AG	HSI R Bull CBBC Oct 2011 T	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2011/10/28	300,000,000	2011/05/30
64398	Credit Suisse AG	HSI R Bear CBBC Sep 2011 I	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/09/29	300,000,000	2011/05/30
64396	Credit Suisse AG	HSI R Bear CBBC Sep 2011 U	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/09/29	300,000,000	2011/05/30
64461	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 A	0.250	23,100 per 15,000 units	22,800 per 15,000 units	2011/11/29	208,000,000	2011/05/30
64391	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Q	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/09/29	300,000,000	2011/05/30
64392	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11R	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2011/09/29	300,000,000	2011/05/30
64390	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Z	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/05/30
64476	UBS AG	A50 China R Bull CBBC Oct 2011 C	0.250	11.200	11.500	2011/10/03	100,000,000	2011/05/30
64475	UBS AG	A50 China R Bear CBBC Oct 2011 B	0.250	13.800	13.500	2011/10/17	100,000,000	2011/05/30
64467	UBS AG	HSI R Bull CBBC Aug 2011 H	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/08/30	300,000,000	2011/05/30
64468	UBS AG	HSI R Bull CBBC Aug 2011 N	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2011/08/30	300,000,000	2011/05/30
64394	UBS AG	HSI R Bull CBBC Aug 2011 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/08/30	300,000,000	2011/05/30
64465	UBS AG	HSI R Bull CBBC Oct 2011 O	0.255	20,200 per 10,000 units	20,400 per 10,000 units	2011/10/28	300,000,000	2011/05/30
64462	UBS AG	HSI R Bull CBBC Nov 2011 F	0.455	17,300 per 12,000 units	17,500 per 12,000 units	2011/11/29	300,000,000	2011/05/30
64469	UBS AG	HSI R Bull CBBC Dec 2011 B	0.250	17,900 per 38,000 units	18,300 per 38,000 units	2011/12/29	300,000,000	2011/05/30
64470	UBS AG	HSI R Bear CBBC Aug 2011 G	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/08/30	300,000,000	2011/05/30
64473	UBS AG	HSI R Bear CBBC Sep 2011 S	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/09/29	300,000,000	2011/05/30
68322 <sup>†</sup>	HK Bank	HSI R Bull CBBC Sep 2011 A	0.074	26,700 per 10,000 units	26,500 per 10,000 units	2011/08/30	200,000,000	2011/05/30
64499	Credit Suisse AG	A50 China R Bull CBBC Oct 2011 A	0.250	11.500	11.800	2011/10/24	100,000,000	2011/05/31

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64498	Credit Suisse AG	A50 China R Bear CBBC Sep 2011 D	0.250	13.600	13.300	2011/09/26	100,000,000	2011/05/31
64496	Credit Suisse AG	HKEx R Bull CBBC Oct 2011 A	0.250	154 per 100 units	160 per 100 units	2011/10/24	100,000,000	2011/05/31
64495	Credit Suisse AG	HSI R Bull CBBC Nov 2011 Q	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/05/31
64494	Credit Suisse AG	HSI R Bear CBBC Sep 2011 J	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/05/31
64497	Credit Suisse AG	TCH R Bear CBBC Sep 2011 F	0.250	231 per 100 units	223 per 100 units	2011/09/26	100,000,000	2011/05/31
64500	HK Bank	CM Bank R Bull CBBC Feb 2012 A	0.250	17.800	18.800	2012/02/28	80,000,000	2011/05/31
64503	HK Bank	CNOOC R Bear CBBC Feb 2012 A	0.410	21.880	20.880	2012/02/28	80,000,000	2011/05/31
64501	HK Bank	Sinopec Corp R Bear CBBC Feb 2012 A	0.250	8.580	8.280	2012/02/28	80,000,000	2011/05/31
64502	HK Bank	China Shenhua R Bear CBBC Feb 2012 A	0.250	40.88 per 100 units	38.88 per 100 units	2012/02/28	80,000,000	2011/05/31
64504	HK Bank	A50 R Bear CBBC Feb 2012 A	0.250	14.580	14.080	2012/02/28	100,000,000	2011/05/31
64481	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2011 A	0.300	19,700 per 11,000 units	20,000 per 11,000 units	2011/12/29	100,000,000	2011/05/31
64483	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2011 B	0.250	20,200 per 11,000 units	20,500 per 11,000 units	2011/12/29	100,000,000	2011/05/31
64486	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2011 C	0.250	20,700 per 11,000 units	21,000 per 11,000 units	2011/12/29	100,000,000	2011/05/31
64487	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2011 D	0.250	23,300 per 11,000 units	23,000 per 11,000 units	2011/12/29	100,000,000	2011/05/31
64488	CC Rabobank B.A.	HSI R Bull CBBC Dec 2011 A	0.250	20,400 per 11,000 units	20,800 per 11,000 units	2011/12/29	150,000,000	2011/05/31
64490	CC Rabobank B.A.	HSI R Bull CBBC Dec 2011 B	0.250	21,200 per 11,000 units	21,600 per 11,000 units	2011/12/29	150,000,000	2011/05/31
64491	CC Rabobank B.A.	HSI R Bull CBBC Dec 2011 C	0.250	22,000 per 11,000 units	22,400 per 11,000 units	2011/12/29	150,000,000	2011/05/31
64492	CC Rabobank B.A.	HSI R Bear CBBC Dec 2011 D	0.250	23,600 per 11,000 units	23,200 per 11,000 units	2011/12/29	150,000,000	2011/05/31
64493	CC Rabobank B.A.	HSI R Bear CBBC Dec 2011 E	0.250	24,400 per 11,000 units	24,000 per 11,000 units	2011/12/29	150,000,000	2011/05/31
64505	UBS AG	HSI R Bear CBBC Sep 2011 U	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/05/31
66806*	HK Bank	A50 R Bull CBBC Jul 2011 B	0.147	11.380	11.680	2011/07/29	150,000,000	2011/05/31
64516	Credit Suisse AG	HSI R Bear CBBC Sep 2011 N	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/09/29	300,000,000	2011/06/01
64526	J P Morgan SP BV	HSI R Bull CBBC Sep 2011 M	0.250	22,400 per 15,000 units	22,600 per 15,000 units	2011/09/29	200,000,000	2011/06/01
64527	J P Morgan SP BV	HSI R Bull CBBC Sep 2011 N	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/09/29	200,000,000	2011/06/01
64528	J P Morgan SP BV	HSI R Bull CBBC Sep 2011 O	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/09/29	200,000,000	2011/06/01
64521	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 I	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/09/29	200,000,000	2011/06/01
64522	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 J	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/09/29	200,000,000	2011/06/01
64524	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 K	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/09/29	200,000,000	2011/06/01
64525	J P Morgan SP BV	HSI R Bear CBBC Sep 2011 L	0.250	23,100 per 15,000 units	22,900 per 15,000 units	2011/09/29	200,000,000	2011/06/01
64512	RB of Scotland N.V.	HSI R Bull CBBC Sep 2011 E	0.250	21,200 per 10,000 units	21,700 per 10,000 units	2011/09/29	250,000,000	2011/06/01
64513	RB of Scotland N.V.	HSI R Bull CBBC Sep 2011 F	0.250	21,400 per 10,000 units	21,900 per 10,000 units	2011/09/29	250,000,000	2011/06/01
64514	RB of Scotland N.V.	HSI R Bull CBBC Sep 2011 G	0.250	21,600 per 10,000 units	22,100 per 10,000 units	2011/09/29	250,000,000	2011/06/01
64509	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11S	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/09/29	300,000,000	2011/06/01
64510	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11V	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/09/29	300,000,000	2011/06/01
64518	UBS AG	HSI R Bull CBBC Sep 2011 X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/09/29	300,000,000	2011/06/01
64511	UBS AG	HSI R Bull CBBC Oct 2011 P	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/10/28	300,000,000	2011/06/01
64517	UBS AG	HSI R Bull CBBC Oct 2011 R	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/06/01
64515	UBS AG	HSI R Bear CBBC Oct 2011 Q	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/10/28	300,000,000	2011/06/01
64519	UBS AG	HSI R Bear CBBC Oct 2011 S	0.250	24,700 per 12,000 units	24,500 per 12,000 units	2011/10/28	300,000,000	2011/06/01
64520	UBS AG	HSI R Bear CBBC Nov 2011 G	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2011/11/29	300,000,000	2011/06/01
64530	Credit Suisse AG	HSI R Bull CBBC Oct 2011 U	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/06/02

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64529	Credit Suisse AG	HSI R Bull CBBC Oct 2011 V	0.250	21,900 per 12,000 units	22,100 per 12,000 units	2011/10/28	300,000,000	2011/06/02
64531	HK Bank	Sinopec Corp R Bull CBBC Nov 2011 A	0.250	6.380	6.680	2011/11/22	80,000,000	2011/06/02
64532	HK Bank	Sinopec Corp R Bull CBBC Dec 2011 A	0.250	6.580	6.880	2011/12/23	80,000,000	2011/06/02
64543	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Oct 2011 B	0.250	22,500 per 15,000 units	22,800 per 15,000 units	2011/10/28	208,000,000	2011/06/02
64537	UBS AG	HSI R Bull CBBC Oct 2011 T	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/10/28	300,000,000	2011/06/02
64540	UBS AG	HSI R Bull CBBC Oct 2011 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/06/02
64541	UBS AG	HSI R Bull CBBC Oct 2011 V	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/10/28	300,000,000	2011/06/02
64542	UBS AG	HSI R Bull CBBC Nov 2011 H	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/11/29	300,000,000	2011/06/02
64555	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 A	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	200,000,000	2011/06/03
64557	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/12/29	200,000,000	2011/06/03
64561	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 N	0.250	22,900 per 10,000 units	23,000 per 10,000 units	2011/12/29	200,000,000	2011/06/03
64554	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/12/29	200,000,000	2011/06/03
64558	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Z	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/12/29	200,000,000	2011/06/03
64563	Credit Suisse AG	HSI R Bull CBBC Oct 2011 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/03
64564	Credit Suisse AG	HSI R Bull CBBC Nov 2011 R	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/11/29	300,000,000	2011/06/03
64562	Credit Suisse AG	HSI R Bear CBBC Sep 2011 E	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/09/29	300,000,000	2011/06/03
64544	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC Oct11A	0.250	62 per 100 units	65 per 100 units	2011/10/31	300,000,000	2011/06/03
64545	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC Oct11B	0.250	67 per 100 units	70 per 100 units	2011/10/31	300,000,000	2011/06/03
64546	SGA Societe Generale Acceptance N.V.	HSBC R Bull CBBC Oct11C	0.250	72 per 100 units	75 per 100 units	2011/10/31	300,000,000	2011/06/03
64547	SGA Societe Generale Acceptance N.V.	HSBC R Bear CBBC Oct11D	0.250	98 per 100 units	95 per 100 units	2011/10/31	300,000,000	2011/06/03
64551	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11I	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/09/29	300,000,000	2011/06/03
64548	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11A	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/10/28	300,000,000	2011/06/03
64549	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11B	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/10/28	300,000,000	2011/06/03
64552	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11C	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/10/28	300,000,000	2011/06/03
64553	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11D	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/03
64566	UBS AG	HSI R Bull CBBC Sep 2011 B	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/09/29	300,000,000	2011/06/03
64565	UBS AG	HSI R Bull CBBC Sep 2011 W	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/09/29	300,000,000	2011/06/03
64568	UBS AG	HSI R Bull CBBC Oct 2011 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/03
64550	UBS AG	HSI R Bear CBBC Oct 2011 W	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/03
63492 <sup>#</sup>	Deutsche Bank AG	Hutchison R Bull CBBC Oct 2011 A	0.095	80 per 100 units	83 per 100 units	2011/10/18	120,000,000	2011/06/03
64577	Credit Suisse AG	HSI R Bull CBBC Nov 2011 S	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/11/29	300,000,000	2011/06/07
64576	Credit Suisse AG	HSI R Bear CBBC Sep 2011 L	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2011/09/29	300,000,000	2011/06/07
64571	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Oct 2011 C	0.250	22,800 per 12,000 units	23,100 per 12,000 units	2011/10/28	188,000,000	2011/06/07
64570	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11E	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/10/28	300,000,000	2011/06/07
64572	UBS AG	HSI R Bull CBBC Oct 2011 Y	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/06/07
64573	UBS AG	HSI R Bull CBBC Nov 2011 I	0.250	21,500 per 12,000 units	21,700 per 12,000 units	2011/11/29	300,000,000	2011/06/07
64575	UBS AG	HSI R Bull CBBC Nov 2011 J	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2011/11/29	300,000,000	2011/06/07
64569	UBS AG	HSI R Bear CBBC Sep 2011 A	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	300,000,000	2011/06/07
64608	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 M	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/12/29	200,000,000	2011/06/08
64610	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 O	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/12/29	200,000,000	2011/06/08
64611	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 P	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/12/29	200,000,000	2011/06/08
64613	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Q	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2011/12/29	200,000,000	2011/06/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64612	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 R	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2011/12/29	200,000,000	2011/06/08
64606	Credit Suisse AG	HSI R Bull CBBC Oct 2011 X	0.250	23,400 per 12,000 units	23,600 per 12,000 units	2011/10/28	300,000,000	2011/06/08
64607	Credit Suisse AG	HSI R Bull CBBC Nov 2011 V	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/11/29	300,000,000	2011/06/08
64596	Credit Suisse AG	HSI R Bear CBBC Sep 2011 H	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/09/29	300,000,000	2011/06/08
64580	Credit Suisse AG	HSI R Bear CBBC Sep 2011 M	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/09/29	300,000,000	2011/06/08
64597	Credit Suisse AG	HSI R Bear CBBC Sep 2011 Z	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2011/09/29	300,000,000	2011/06/08
64601	Credit Suisse AG	HSI R Bear CBBC Oct 2011 M	0.250	25,200 per 12,000 units	25,000 per 12,000 units	2011/10/28	300,000,000	2011/06/08
64600	Credit Suisse AG	HSI R Bear CBBC Oct 2011 N	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2011/10/28	300,000,000	2011/06/08
64604	Credit Suisse AG	HSI R Bear CBBC Nov 2011 T	0.250	25,000 per 12,000 units	24,800 per 12,000 units	2011/11/29	300,000,000	2011/06/08
64605	Credit Suisse AG	HSI R Bear CBBC Nov 2011 U	0.250	25,400 per 12,000 units	25,200 per 12,000 units	2011/11/29	300,000,000	2011/06/08
64616	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 B	0.250	23,300 per 8,000 units	23,600 per 8,000 units	2011/11/29	108,000,000	2011/06/08
64617	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 C	0.250	23,100 per 10,000 units	23,400 per 10,000 units	2011/11/29	128,000,000	2011/06/08
64614	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2011 A	0.250	22,600 per 12,000 units	23,000 per 12,000 units	2011/12/30	300,000,000	2011/06/08
64615	Macquarie Bank Ltd.	HSI R Bear CBBC Oct 2011 A	0.250	24,800 per 12,000 units	24,400 per 12,000 units	2011/10/28	300,000,000	2011/06/08
64587	UBS AG	HSI R Bull CBBC Sep 2011 C	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/06/08
64591	UBS AG	HSI R Bull CBBC Oct 2011 D	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/10/28	300,000,000	2011/06/08
64593	UBS AG	HSI R Bear CBBC Oct 2011 G	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/10/28	300,000,000	2011/06/08
64578	UBS AG	HSI R Bear CBBC Oct 2011 Z	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2011/10/28	300,000,000	2011/06/08
64585	UBS AG	HSI R Bear CBBC Nov 2011 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/11/29	300,000,000	2011/06/08
64586	UBS AG	HSI R Bear CBBC Nov 2011 L	0.250	25,300 per 12,000 units	25,100 per 12,000 units	2011/11/29	300,000,000	2011/06/08
64581	UBS AG	Hutchison R Bull CBBC Nov 2011 A	0.250	76 per 100 units	80 per 100 units	2011/11/21	100,000,000	2011/06/08
64629	Credit Suisse AG	HSI R Bull CBBC Nov 2011 X	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2011/11/29	300,000,000	2011/06/09
64625	Credit Suisse AG	HSI R Bear CBBC Oct 2011 F	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2011/10/28	300,000,000	2011/06/09
64626	Credit Suisse AG	HSI R Bear CBBC Nov 2011 W	0.250	25,600 per 12,000 units	25,400 per 12,000 units	2011/11/29	300,000,000	2011/06/09
64627	Credit Suisse AG	HSI R Bear CBBC Dec 2011 E	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2011/12/29	300,000,000	2011/06/09
64628	Credit Suisse AG	HSI R Bear CBBC Dec 2011 F	0.250	26,000 per 12,000 units	25,800 per 12,000 units	2011/12/29	300,000,000	2011/06/09
64630	Credit Suisse AG	Hutchison R Bull CBBC Sep 2011 A	0.250	82 per 100 units	85 per 100 units	2011/09/26	100,000,000	2011/06/09
64631	Credit Suisse AG	TCH R Bull CBBC Sep 2011 G	0.250	210 per 100 units	218 per 100 units	2011/09/26	100,000,000	2011/06/09
64632	Credit Suisse AG	TCH R Bull CBBC Oct 2011 D	0.250	200 per 100 units	208 per 100 units	2011/10/24	100,000,000	2011/06/09
64618	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11F	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2011/10/28	300,000,000	2011/06/09
64619	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11G	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/10/28	300,000,000	2011/06/09
64620	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11H	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/10/28	300,000,000	2011/06/09
64621	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11I	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2011/10/28	300,000,000	2011/06/09
64622	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Q	0.250	23,900 per 12,000 units	23,700 per 12,000 units	2011/09/29	300,000,000	2011/06/09
64624	UBS AG	HSI R Bull CBBC Oct 2011 F	0.250	23,300 per 12,000 units	23,500 per 12,000 units	2011/10/28	300,000,000	2011/06/09
64635	UBS AG	HSI R Bull CBBC Nov 2011 M	0.250	22,850 per 12,000 units	23,050 per 12,000 units	2011/11/29	300,000,000	2011/06/09
64648	Credit Suisse AG	HSI R Bull CBBC Dec 2011 G	0.250	23,200 per 12,000 units	23,400 per 12,000 units	2011/12/29	300,000,000	2011/06/10
64645	Credit Suisse AG	HSI R Bear CBBC Sep 2011 D	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2011/09/29	300,000,000	2011/06/10
64646	Credit Suisse AG	HSI R Bear CBBC Sep 2011 W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/09/29	300,000,000	2011/06/10
64651	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Oct 2011 D	0.250	23,600 per 8,000 units	23,300 per 8,000 units	2011/10/28	108,000,000	2011/06/10
64652	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 D	0.250	24,000 per 10,000 units	23,700 per 10,000 units	2011/11/29	128,000,000	2011/06/10
64642	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11F	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/09/29	300,000,000	2011/06/10
64637	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11R	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/09/29	300,000,000	2011/06/10

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64638	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Y	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2011/09/29	300,000,000	2011/06/10
64641	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11Z	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/09/29	300,000,000	2011/06/10
64636	UBS AG	HSI R Bull CBBC Sep 2011 J	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2011/09/29	300,000,000	2011/06/10
64649	UBS AG	HSI R Bear CBBC Sep 2011 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/09/29	300,000,000	2011/06/10
64650	UBS AG	HSI R Bear CBBC Sep 2011 I	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2011/09/29	300,000,000	2011/06/10
64660	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 L	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64657	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 M	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64661	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64662	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 Q	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64663	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 R	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64670	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 Y	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64669	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 Z	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/09/29	200,000,000	2011/06/13
64672	Credit Suisse AG	HSI R Bull CBBC Oct 2011 Q	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2011/10/28	300,000,000	2011/06/13
64671	Credit Suisse AG	HSI R Bear CBBC Sep 2011 I	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/09/29	300,000,000	2011/06/13
64656	Credit Suisse AG	HSI R Bear CBBC Sep 2011 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/09/29	300,000,000	2011/06/13
64675	Credit Suisse AG	Hutchison R Bull CBBC Oct 2011 A	0.250	80 per 100 units	83 per 100 units	2011/10/24	100,000,000	2011/06/13
64664	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 E	0.250	23,300 per 12,000 units	23,000 per 12,000 units	2011/11/29	168,000,000	2011/06/13
64653	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/09/29	300,000,000	2011/06/13
64668	UBS AG	HSBC R Bull CBBC Nov 2011 B	0.250	70 per 100 units	73 per 100 units	2011/11/14	100,000,000	2011/06/13
64666	UBS AG	HSI R Bull CBBC Sep 2011 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2011/09/29	300,000,000	2011/06/13
64665	UBS AG	HSI R Bear CBBC Nov 2011 N	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2011/11/29	300,000,000	2011/06/13
64667	UBS AG	Hutchison R Bull CBBC Nov 2011 B	0.250	79 per 100 units	83 per 100 units	2011/11/21	100,000,000	2011/06/13
64683	Credit Suisse AG	HSI R Bull CBBC Nov 2011 Y	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2011/11/29	300,000,000	2011/06/14
64687	Credit Suisse AG	HSI R Bull CBBC Dec 2011 H	0.250	21,200 per 12,000 units	21,400 per 12,000 units	2011/12/29	300,000,000	2011/06/14
64688	Credit Suisse AG	HSI R Bull CBBC Dec 2011 I	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/12/29	300,000,000	2011/06/14
64679	Credit Suisse AG	HSI R Bear CBBC Sep 2011 U	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/09/29	300,000,000	2011/06/14
64680	Credit Suisse AG	HSI R Bear CBBC Oct 2011 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/14
64676	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/09/29	300,000,000	2011/06/14
64677	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11O	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2011/09/29	300,000,000	2011/06/14
64689	UBS AG	A50 China R Bull CBBC Nov 2011 A	0.250	11.700	12.000	2011/11/21	100,000,000	2011/06/14
64678	UBS AG	HSI R Bull CBBC Oct 2011 J	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2011/10/28	300,000,000	2011/06/14
64690	UBS AG	HSI R Bear CBBC Nov 2011 O	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/11/29	300,000,000	2011/06/14
64705	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 S	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/09/29	200,000,000	2011/06/15
64708	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 T	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	200,000,000	2011/06/15
64709	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 U	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/09/29	200,000,000	2011/06/15
64704	Credit Suisse AG	HSI R Bull CBBC Oct 2011 T	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/06/15
64703	Credit Suisse AG	HSI R Bear CBBC Sep 2011 J	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/09/29	300,000,000	2011/06/15
64697	HK Bank	HSI R Bear CBBC Jan 2012 A	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2012/01/30	120,000,000	2011/06/15
64698	HK Bank	HSI R Bear CBBC Jan 2012 B	0.250	26,000 per 18,000 units	25,600 per 18,000 units	2012/01/30	150,000,000	2011/06/15
64713	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 F	0.250	23,000 per 10,000 units	22,700 per 10,000 units	2011/11/29	128,000,000	2011/06/15
64702	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2011 B	0.250	21,600 per 12,000 units	22,000 per 12,000 units	2011/12/30	300,000,000	2011/06/15
64695	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/09/29	300,000,000	2011/06/15
64696	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep11V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/06/15

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64711	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11J	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/10/28	300,000,000	2011/06/15
64712	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11K	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/06/15
64699	UBS AG	HSI R Bull CBBC Nov 2011 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2011/11/29	300,000,000	2011/06/15
64701	UBS AG	HSI R Bull CBBC Dec 2011 C	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2011/12/29	300,000,000	2011/06/15
64721	UBS AG	HSI R Bear CBBC Oct 2011 L	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/10/28	300,000,000	2011/06/15
64714	UBS AG	TCH R Bull CBBC Nov 2011 B	0.250	202 per 100 units	210 per 100 units	2011/11/07	100,000,000	2011/06/15
64716	UBS AG	TCH R Bear CBBC Nov 2011 C	0.250	236 per 100 units	228 per 100 units	2011/11/14	100,000,000	2011/06/15
63366*	HK Bank	HSI R Bull CBBC Sep 2011 E	0.057	21,300 per 20,000 units	21,600 per 20,000 units	2011/09/29	250,000,000	2011/06/15
68488*	HK Bank	HSI R Bull CBBC Aug 2011 D	0.110	21,100 per 12,000 units	21,388 per 12,000 units	2011/08/30	200,000,000	2011/06/15
64728	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 V	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/09/29	200,000,000	2011/06/16
64729	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 W	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/09/29	200,000,000	2011/06/16
64743	Credit Suisse AG	HSI R Bull CBBC Nov 2011 Z	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/11/29	300,000,000	2011/06/16
64746	Credit Suisse AG	HSI R Bull CBBC Jan 2012 A	0.250	20,200 per 12,000 units	20,400 per 12,000 units	2012/01/30	300,000,000	2011/06/16
64742	Credit Suisse AG	HSI R Bear CBBC Sep 2011 N	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/06/16
64741	Credit Suisse AG	HSI R Bear CBBC Sep 2011 P	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/09/29	300,000,000	2011/06/16
64740	Credit Suisse AG	TCH R Bear CBBC Oct 2011 E	0.250	228 per 100 units	220 per 100 units	2011/10/24	100,000,000	2011/06/16
64730	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11S	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/09/29	300,000,000	2011/06/16
64732	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11L	0.250	22,700 per 12,000 units	22,500 per 12,000 units	2011/10/28	300,000,000	2011/06/16
64734	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11M	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/10/28	300,000,000	2011/06/16
64726	UBS AG	HSI R Bull CBBC Oct 2011 M	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/10/28	300,000,000	2011/06/16
64723	UBS AG	HSI R Bull CBBC Nov 2011 Q	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/11/29	300,000,000	2011/06/16
64747	UBS AG	HSI R Bull CBBC Nov 2011 R	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2011/11/29	300,000,000	2011/06/16
64748	UBS AG	HSI R Bull CBBC Dec 2011 D	0.250	20,900 per 38,000 units	21,300 per 38,000 units	2011/12/29	300,000,000	2011/06/16
64752	UBS AG	HSI R Bear CBBC Sep 2011 P	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/09/29	300,000,000	2011/06/16
64756	UBS AG	HSI R Bear CBBC Oct 2011 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/10/28	300,000,000	2011/06/16
64758	UBS AG	HSI R Bear CBBC Oct 2011 X	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/06/16
64751	UBS AG	ICBC R Bull CBBC Dec 2011 A	0.250	5.200	5.500	2011/12/05	100,000,000	2011/06/16
64776	Credit Suisse AG	HSI R Bull CBBC Dec 2011 J	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/12/29	300,000,000	2011/06/17
64775	Credit Suisse AG	HSI R Bear CBBC Sep 2011 E	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2011/09/29	300,000,000	2011/06/17
64761	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 A	0.315	19,200 per 11,000 units	19,500 per 11,000 units	2012/01/30	100,000,000	2011/06/17
64762	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 B	0.250	20,400 per 11,000 units	20,700 per 11,000 units	2012/01/30	100,000,000	2011/06/17
64767	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 C	0.255	21,000 per 10,000 units	21,300 per 10,000 units	2012/01/30	100,000,000	2011/06/17
64768	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 D	0.250	21,300 per 10,000 units	21,600 per 10,000 units	2012/01/30	100,000,000	2011/06/17
64769	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 E	0.250	23,100 per 11,000 units	22,800 per 11,000 units	2012/01/30	100,000,000	2011/06/17
64770	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 F	0.250	23,400 per 11,000 units	23,100 per 11,000 units	2012/01/30	100,000,000	2011/06/17
64772	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 G	0.250	23,700 per 10,000 units	23,400 per 10,000 units	2012/01/30	100,000,000	2011/06/17
64773	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 H	0.250	24,000 per 10,000 units	23,700 per 10,000 units	2012/01/30	100,000,000	2011/06/17
64759	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11I	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/09/29	300,000,000	2011/06/17
64760	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11J	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/09/29	300,000,000	2011/06/17
64779	UBS AG	CC Bank R Bull CBBC Nov 2011 A	0.250	5.900	6.200	2011/11/21	100,000,000	2011/06/17
64774	UBS AG	HSI R Bull CBBC Sep 2011 Q	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/09/29	300,000,000	2011/06/17
64778	UBS AG	HSI R Bull CBBC Oct 2011 V	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/10/28	300,000,000	2011/06/17

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64777	UBS AG	HSI R Bull CBBC Oct 2011 W	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2011/10/28	300,000,000	2011/06/17
64780	UBS AG	HSI R Bear CBBC Sep 2011 S	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/09/29	300,000,000	2011/06/17
64791	Credit Suisse AG	HSI R Bull CBBC Nov 2011 F	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2011/11/29	300,000,000	2011/06/20
64809	Credit Suisse AG	HSI R Bear CBBC Sep 2011 V	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/09/29	300,000,000	2011/06/20
64792	Credit Suisse AG	TCH R Bull CBBC Nov 2011 A	0.360	170 per 100 units	178 per 100 units	2011/11/28	100,000,000	2011/06/20
64793	Credit Suisse AG	TCH R Bear CBBC Nov 2011 B	0.250	218 per 100 units	210 per 100 units	2011/11/28	100,000,000	2011/06/20
64781	HK Bank	China Mobile R Bull CBBC Dec 2011 A	0.250	63 per 100 units	65.88 per 100 units	2011/12/16	100,000,000	2011/06/20
64782	HK Bank	Sinopec Corp R Bull CBBC Dec 2011 B	0.250	5.980	6.280	2011/12/28	120,000,000	2011/06/20
64783	HK Bank	A50 R Bull CBBC Jan 2012 A	0.250	11.580	11.880	2012/01/20	120,000,000	2011/06/20
64787	HK Bank	A50 R Bear CBBC Dec 2011 D	0.250	13.880	13.580	2011/12/02	100,000,000	2011/06/20
64788	HK Bank	HSI R Bull CBBC Dec 2011 D	0.250	20,600 per 20,000 units	20,800 per 20,000 units	2011/12/29	200,000,000	2011/06/20
64789	HK Bank	HSI R Bear CBBC Jan 2012 C	0.250	23,018 per 15,000 units	22,818 per 15,000 units	2012/01/30	120,000,000	2011/06/20
64807	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11K	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/09/29	300,000,000	2011/06/20
64794	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep11W	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/09/29	300,000,000	2011/06/20
64797	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11P	0.250	20,800 per 12,000 units	21,000 per 12,000 units	2011/10/28	300,000,000	2011/06/20
64798	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11Q	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64799	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11R	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64800	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11S	0.250	21,100 per 12,000 units	21,300 per 12,000 units	2011/10/28	300,000,000	2011/06/20
64801	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11T	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64802	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11U	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64803	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11V	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64795	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11N	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64796	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11O	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64814	UBS AG	HSBC R Bear CBBC Nov 2011 C	0.250	88 per 100 units	85 per 100 units	2011/11/07	100,000,000	2011/06/20
64790	UBS AG	HSI R Bull CBBC Sep 2011 U	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/09/29	300,000,000	2011/06/20
64811	UBS AG	HSI R Bull CBBC Sep 2011 Z	0.250	20,000 per 12,000 units	20,200 per 12,000 units	2011/09/29	300,000,000	2011/06/20
64812	UBS AG	HSI R Bull CBBC Oct 2011 D	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2011/10/28	300,000,000	2011/06/20
64813	UBS AG	HSI R Bull CBBC Nov 2011 S	0.250	20,900 per 12,000 units	21,100 per 12,000 units	2011/11/29	300,000,000	2011/06/20
64810	UBS AG	HSI R Bear CBBC Sep 2011 Y	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/09/29	300,000,000	2011/06/20
63492 <sup>‡</sup>	Deutsche Bank AG	Hutchison R Bull CBBC Oct 2011 A	0.065	80 per 100 units	83 per 100 units	2011/10/18	160,000,000	2011/06/20
67713 <sup>‡</sup>	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Aug 2011 A	0.073	21,300 per 15,000 units	21,500 per 15,000 units	2011/08/30	180,000,000	2011/06/20
64830	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 N	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	200,000,000	2011/06/21
64828	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	200,000,000	2011/06/21
64829	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	200,000,000	2011/06/21
64815	Credit Suisse AG	Sinopec Corp R Bull CBBC Dec 2011 A	0.250	6.150	6.300	2011/12/12	100,000,000	2011/06/21
64819	Credit Suisse AG	HSI R Bull CBBC Dec 2011 K	0.250	20,800 per 12,000 units	21,000 per 12,000 units	2011/12/29	300,000,000	2011/06/21
64820	Credit Suisse AG	HSI R Bull CBBC Dec 2011 L	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	300,000,000	2011/06/21
64822	Credit Suisse AG	HSI R Bull CBBC Jan 2012 B	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2012/01/30	300,000,000	2011/06/21
64825	Credit Suisse AG	HSI R Bull CBBC Jan 2012 C	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2012/01/30	300,000,000	2011/06/21
64826	Credit Suisse AG	HSI R Bull CBBC Jan 2012 D	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2012/01/30	300,000,000	2011/06/21
64816	Credit Suisse AG	TCH R Bull CBBC Oct 2011 F	0.250	192 per 100 units	200 per 100 units	2011/10/24	100,000,000	2011/06/21



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64827	UBS AG	HSI R Bear CBBC Dec 2011 E	0.250	23,250 per 15,000 units	23,050 per 15,000 units	2011/12/29	300,000,000	2011/06/21
63470 <sup>1</sup>	Credit Suisse AG	HSI R Bull CBBC Oct 2011 L	0.075	20,900 per 15,000 units	21,100 per 15,000 units	2011/10/28	210,000,000	2011/06/21
64835	Credit Suisse AG	HSI R Bull CBBC Nov 2011 G	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2011/11/29	300,000,000	2011/06/22
64831	Macquarie Bank Ltd.	HSI R Bear CBBC Jan 2012 A	0.252	23,400 per 11,000 units	23,000 per 11,000 units	2012/01/30	388,000,000	2011/06/22
64832	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11W	0.260	20,600 per 10,000 units	20,800 per 10,000 units	2011/10/28	300,000,000	2011/06/22
64833	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11X	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2011/10/28	300,000,000	2011/06/22
64836	UBS AG	HSI R Bear CBBC Sep 2011 B	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/09/29	300,000,000	2011/06/22
64855	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 C	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/09/29	200,000,000	2011/06/23
64856	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 D	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/09/29	200,000,000	2011/06/23
64863	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 F	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/09/29	200,000,000	2011/06/23
64860	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 I	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/09/29	200,000,000	2011/06/23
64837	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 X	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/09/29	200,000,000	2011/06/23
64844	Credit Suisse AG	HSI R Bull CBBC Dec 2011 M	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64882	Credit Suisse AG	HSI R Bull CBBC Dec 2011 N	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64883	Credit Suisse AG	HSI R Bull CBBC Jan 2012 E	0.280	19,300 per 10,000 units	19,500 per 10,000 units	2012/01/30	300,000,000	2011/06/23
64884	Credit Suisse AG	HSI R Bull CBBC Jan 2012 F	0.250	19,800 per 12,000 units	20,000 per 12,000 units	2012/01/30	300,000,000	2011/06/23
64885	Credit Suisse AG	HSI R Bull CBBC Jan 2012 G	0.250	20,000 per 12,000 units	20,200 per 12,000 units	2012/01/30	300,000,000	2011/06/23
64887	Credit Suisse AG	HSI R Bull CBBC Feb 2012 A	0.320	18,900 per 10,000 units	19,100 per 10,000 units	2012/02/28	300,000,000	2011/06/23
64889	Credit Suisse AG	HSI R Bull CBBC Feb 2012 B	0.300	19,100 per 10,000 units	19,300 per 10,000 units	2012/02/28	300,000,000	2011/06/23
64890	Credit Suisse AG	HSI R Bull CBBC Feb 2012 C	0.260	19,500 per 10,000 units	19,700 per 10,000 units	2012/02/28	300,000,000	2011/06/23
64891	Credit Suisse AG	HSI R Bull CBBC Feb 2012 D	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/02/28	300,000,000	2011/06/23
64878	Credit Suisse AG	HSI R Bear CBBC Sep 2011 T	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/09/29	300,000,000	2011/06/23
64842	Credit Suisse AG	HSI R Bear CBBC Oct 2011 U	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/10/28	300,000,000	2011/06/23
64838	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11Y	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/06/23
64848	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11A	0.410	19,300 per 10,000 units	19,500 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64850	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11B	0.330	19,400 per 12,000 units	19,600 per 12,000 units	2011/12/29	300,000,000	2011/06/23
64851	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11C	0.385	19,500 per 10,000 units	19,700 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64853	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11D	0.375	19,600 per 10,000 units	19,800 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64854	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11E	0.300	19,700 per 12,000 units	19,900 per 12,000 units	2011/12/29	300,000,000	2011/06/23
64841	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11C	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/10/28	300,000,000	2011/06/23
64840	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11Z	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/06/23
64864	UBS AG	Cheung Kong R Bull CBBC Dec 2011 A	0.250	99 per 100 units	105 per 100 units	2011/12/12	100,000,000	2011/06/23
64865	UBS AG	HSBC R Bull CBBC Nov 2011 D	0.250	62 per 100 units	65 per 100 units	2011/11/14	100,000,000	2011/06/23
64839	UBS AG	HSI R Bull CBBC Sep 2011 C	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/09/29	300,000,000	2011/06/23
64867	UBS AG	HSI R Bull CBBC Nov 2011 T	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2011/11/29	300,000,000	2011/06/23
64868	UBS AG	HSI R Bull CBBC Dec 2011 F	0.520	16,800 per 10,000 units	17,000 per 10,000 units	2011/12/29	300,000,000	2011/06/23
64869	UBS AG	HSI R Bull CBBC Dec 2011 G	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2011/12/29	300,000,000	2011/06/23
64870	UBS AG	HSI R Bull CBBC Dec 2011 H	0.250	20,400 per 38,000 units	20,800 per 38,000 units	2011/12/29	300,000,000	2011/06/23
64872	UBS AG	HSI R Bull CBBC Jan 2012 A	0.250	19,400 per 38,000 units	19,800 per 38,000 units	2012/01/30	300,000,000	2011/06/23
64873	UBS AG	HSI R Bull CBBC Jan 2012 B	0.250	19,900 per 38,000 units	20,300 per 38,000 units	2012/01/30	300,000,000	2011/06/23
64876	UBS AG	HSI R Bear CBBC Sep 2011 W	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/09/29	300,000,000	2011/06/23
64877	UBS AG	HSI R Bear CBBC Nov 2011 U	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/11/29	300,000,000	2011/06/23
64866	UBS AG	Ping An R Bull CBBC Dec 2011 A	0.250	62 per 100 units	68 per 100 units	2011/12/19	100,000,000	2011/06/23

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64932	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 H	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/09/29	200,000,000	2011/06/24
64933	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 J	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/09/29	200,000,000	2011/06/24
64931	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Sep 2011 K	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2011/09/29	200,000,000	2011/06/24
64914	Credit Suisse AG	HSI R Bull CBBC Nov 2011 E	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/11/29	300,000,000	2011/06/24
64911	Credit Suisse AG	HSI R Bull CBBC Dec 2011 O	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/12/29	300,000,000	2011/06/24
64910	Credit Suisse AG	HSI R Bear CBBC Oct 2011 J	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/10/28	300,000,000	2011/06/24
64913	Credit Suisse AG	HSI R Bear CBBC Oct 2011 P	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/06/24
64909	Credit Suisse AG	HSI R Bear CBBC Oct 2011 X	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/06/24
64906	Credit Suisse AG	TCH R Bull CBBC Dec 2011 A	0.360	162 per 100 units	170 per 100 units	2011/12/12	100,000,000	2011/06/24
64905	Credit Suisse AG	TCH R Bear CBBC Nov 2011 C	0.250	208 per 100 units	200 per 100 units	2011/11/21	100,000,000	2011/06/24
64896	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 I	0.255	20,500 per 12,000 units	20,800 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64897	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 J	0.250	20,900 per 12,000 units	21,200 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64898	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 K	0.250	22,800 per 12,000 units	22,500 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64899	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 L	0.255	23,200 per 12,000 units	22,900 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64902	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 M	0.275	24,500 per 12,000 units	24,200 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64903	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 N	0.285	25,000 per 12,000 units	24,700 per 12,000 units	2012/01/30	100,000,000	2011/06/24
64915	UBS AG	AIA R Bull CBBC Dec 2011 A	0.300	22.880	23.880	2011/12/05	100,000,000	2011/06/24
64916	UBS AG	China Life R Bull CBBC Dec 2011 A	0.475	19.880	20.880	2011/12/05	100,000,000	2011/06/24
64926	UBS AG	China Life R Bear CBBC Dec 2011 B	0.330	27.880	26.880	2011/12/12	100,000,000	2011/06/24
64921	UBS AG	A50 China R Bull CBBC Nov 2011 B	0.250	10.700	11.000	2011/11/28	100,000,000	2011/06/24
64923	UBS AG	HSCEI R Bull CBBC Nov 2011 A	0.250	10,700 per 12,000 units	11,000 per 12,000 units	2011/11/29	100,000,000	2011/06/24
64912	UBS AG	HSI R Bull CBBC Sep 2011 J	0.250	21,500 per 12,000 units	21,700 per 12,000 units	2011/09/29	300,000,000	2011/06/24
64904	UBS AG	HSI R Bull CBBC Oct 2011 F	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/06/24
64924	UBS AG	HSI R Bull CBBC Dec 2011 I	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2011/12/29	300,000,000	2011/06/24
64925	UBS AG	HSI R Bull CBBC Jan 2012 C	0.285	18,900 per 10,000 units	19,100 per 10,000 units	2012/01/30	300,000,000	2011/06/24
64928	UBS AG	HSI R Bear CBBC Sep 2011 K	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/09/29	300,000,000	2011/06/24
64929	UBS AG	HSI R Bear CBBC Oct 2011 K	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/06/24
64930	UBS AG	HSI R Bear CBBC Nov 2011 V	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/11/29	300,000,000	2011/06/24
64917	UBS AG	TCH R Bull CBBC Nov 2011 D	0.250	170.88 per 100 units	178.88 per 100 units	2011/11/28	100,000,000	2011/06/24
64918	UBS AG	TCH R Bull CBBC Dec 2011 A	0.380	150.88 per 100 units	158.88 per 100 units	2011/12/12	100,000,000	2011/06/24
64927	UBS AG	TCH R Bear CBBC Nov 2011 E	0.285	216.88 per 100 units	208.88 per 100 units	2011/11/28	100,000,000	2011/06/24
64967	Credit Suisse AG	HKEX R Bull CBBC Nov 2011 A	0.250	145 per 100 units	150 per 100 units	2011/11/28	100,000,000	2011/06/27
64969	Credit Suisse AG	HKEX R Bear CBBC Nov 2011 B	0.250	175 per 100 units	170 per 100 units	2011/11/28	100,000,000	2011/06/27
64972	Credit Suisse AG	HSI R Bull CBBC Dec 2011 P	0.250	21,400 per 12,000 units	21,600 per 12,000 units	2011/12/29	300,000,000	2011/06/27
64951	Credit Suisse AG	HSI R Bull CBBC Jan 2012 H	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/01/30	300,000,000	2011/06/27
64952	Credit Suisse AG	HSI R Bull CBBC Feb 2012 E	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/02/28	300,000,000	2011/06/27
64953	Credit Suisse AG	HSI R Bull CBBC Feb 2012 F	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/02/28	300,000,000	2011/06/27
64955	Credit Suisse AG	HSI R Bull CBBC Mar 2012 A	0.285	18,400 per 12,000 units	18,600 per 12,000 units	2012/03/29	300,000,000	2011/06/27
64956	Credit Suisse AG	HSI R Bull CBBC Mar 2012 B	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/03/29	300,000,000	2011/06/27
64957	Credit Suisse AG	HSI R Bull CBBC Mar 2012 C	0.250	19,050 per 12,000 units	19,450 per 12,000 units	2012/03/29	300,000,000	2011/06/27
64958	Credit Suisse AG	HSI R Bull CBBC Mar 2012 D	0.250	19,850 per 12,000 units	20,250 per 12,000 units	2012/03/29	300,000,000	2011/06/27
65004	Credit Suisse AG	HSI R Bear CBBC Sep 2011 C	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2011/09/29	300,000,000	2011/06/27

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64949	Credit Suisse AG	HSI R Bear CBBC Oct 2011 K	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2011/10/28	300,000,000	2011/06/27
64950	Credit Suisse AG	HSI R Bear CBBC Oct 2011 Q	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/06/27
64966	Credit Suisse AG	Hutchison R Bull CBBC Nov 2011 A	0.250	75 per 100 units	78 per 100 units	2011/11/28	100,000,000	2011/06/27
64968	Credit Suisse AG	Hutchison R Bear CBBC Nov 2011 B	0.250	93 per 100 units	90 per 100 units	2011/11/28	100,000,000	2011/06/27
64934	HK Bank	China Life R Bull CBBC Dec 2011 B	0.250	22.280	22.880	2011/12/29	80,000,000	2011/06/27
64941	HK Bank	CM Bank R Bull CBBC Feb 2012 B	0.250	16.880	17.380	2012/02/24	80,000,000	2011/06/27
64944	HK Bank	A50 R Bull CBBC Jan 2012 B	0.250	10.980	11.480	2012/01/30	100,000,000	2011/06/27
64945	HK Bank	HSI R Bull CBBC Dec 2011 E	0.250	20,988 per 15,000 units	21,288 per 15,000 units	2011/12/29	100,000,000	2011/06/27
64946	HK Bank	HSI R Bull CBBC Dec 2011 F	0.250	21,288 per 15,000 units	21,588 per 15,000 units	2011/12/29	100,000,000	2011/06/27
64947	HK Bank	HSI R Bull CBBC Jan 2012 D	0.250	18,700 per 20,000 units	19,000 per 20,000 units	2012/01/30	100,000,000	2011/06/27
64943	HK Bank	Hutchison R Bull CBBC Dec 2011 B	0.250	77.88 per 100 units	79.88 per 100 units	2011/12/29	80,000,000	2011/06/27
64973	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11F	0.355	18,800 per 12,000 units	19,000 per 12,000 units	2011/12/29	300,000,000	2011/06/27
64974	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11G	0.410	18,900 per 10,000 units	19,100 per 10,000 units	2011/12/29	300,000,000	2011/06/27
64975	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11H	0.400	19,000 per 10,000 units	19,200 per 10,000 units	2011/12/29	300,000,000	2011/06/27
64979	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11I	0.325	19,100 per 12,000 units	19,300 per 12,000 units	2011/12/29	300,000,000	2011/06/27
64980	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec11J	0.375	19,200 per 10,000 units	19,400 per 10,000 units	2011/12/29	300,000,000	2011/06/27
64985	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11A	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/06/27
64986	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11B	0.250	22,100 per 12,000 units	21,900 per 12,000 units	2011/10/28	300,000,000	2011/06/27
64982	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11D	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/06/27
64987	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11E	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/06/27
64988	UBS AG	Cheung Kong R Bull CBBC Dec 2011 B	0.250	89 per 100 units	95 per 100 units	2011/12/19	100,000,000	2011/06/27
64990	UBS AG	HKEx R Bull CBBC Dec 2011 A	0.360	122.88 per 100 units	128.88 per 100 units	2011/12/19	100,000,000	2011/06/27
64991	UBS AG	HKEx R Bull CBBC Dec 2011 B	0.250	142.88 per 100 units	148.88 per 100 units	2011/12/12	100,000,000	2011/06/27
65007	UBS AG	HKEx R Bear CBBC Dec 2011 C	0.265	184.88 per 100 units	178.88 per 100 units	2011/12/19	100,000,000	2011/06/27
65006	UBS AG	HSI R Bull CBBC Sep 2011 X	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2011/09/29	300,000,000	2011/06/27
65005	UBS AG	HSI R Bull CBBC Nov 2011 X	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2011/11/29	300,000,000	2011/06/27
65009	UBS AG	HSI R Bear CBBC Oct 2011 P	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2011/10/28	300,000,000	2011/06/27
64948	UBS AG	HSI R Bear CBBC Nov 2011 W	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2011/11/29	300,000,000	2011/06/27
64992	UBS AG	Hutchison R Bull CBBC Dec 2011 A	0.250	67 per 100 units	70 per 100 units	2011/12/12	100,000,000	2011/06/27
64993	UBS AG	SHK Ppt R Bull CBBC Dec 2011 A	0.250	88 per 100 units	95 per 100 units	2011/12/19	100,000,000	2011/06/27
64995	UBS AG	TCH R Bull CBBC Dec 2011 B	0.350	160.88 per 100 units	168.88 per 100 units	2011/12/19	100,000,000	2011/06/27
65008	UBS AG	TCH R Bear CBBC Dec 2011 C	0.315	226.88 per 100 units	218.88 per 100 units	2011/12/19	100,000,000	2011/06/27
65030	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 D	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2011/12/29	200,000,000	2011/06/28
65031	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Z	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/12/29	200,000,000	2011/06/28
65018	Credit Suisse AG	HSI R Bull CBBC Dec 2011 Q	0.250	21,600 per 12,000 units	21,800 per 12,000 units	2011/12/29	300,000,000	2011/06/28
65017	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2012 B	0.252	20,600 per 10,000 units	21,000 per 10,000 units	2012/01/30	388,000,000	2011/06/28
65016	Macquarie Bank Ltd.	HSI R Bull CBBC Feb 2012 A	0.259	20,400 per 10,000 units	20,800 per 10,000 units	2012/02/28	388,000,000	2011/06/28
65019	UBS AG	CC Bank R Bull CBBC Dec 2011 A	0.250	5.580	5.880	2011/12/19	100,000,000	2011/06/28
65029	UBS AG	HSI R Bull CBBC Nov 2011 Y	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/11/29	300,000,000	2011/06/28
63472 <sup>†</sup>	Credit Suisse AG	HSI R Bull CBBC Dec 2011 C	0.082	20,600 per 15,000 units	20,800 per 15,000 units	2011/12/29	210,000,000	2011/06/28
65033	UBS AG	A50 China R Bear CBBC Dec 2011 A	0.250	13.300	13.000	2011/12/12	100,000,000	2011/06/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65032	UBS AG	Hutchison R Bear CBBC Dec 2011 B	0.250	93 per 100 units	90 per 100 units	2011/12/19	100,000,000	2011/06/29
65034	Barclays Bank plc	HSI R Bull CBBC Jan 2012 A	0.250	20,200 per 10,000 units	20,500 per 10,000 units	2012/01/30	300,000,000	2011/06/30
65035	Barclays Bank plc	HSI R Bull CBBC Jan 2012 B	0.250	20,700 per 10,000 units	21,000 per 10,000 units	2012/01/30	300,000,000	2011/06/30
65037	Barclays Bank plc	HSI R Bear CBBC Jan 2012 C	0.250	23,100 per 10,000 units	22,800 per 10,000 units	2012/01/30	300,000,000	2011/06/30
65038	Barclays Bank plc	HSI R Bear CBBC Jan 2012 D	0.250	23,600 per 10,000 units	23,300 per 10,000 units	2012/01/30	300,000,000	2011/06/30
65039	HK Bank	HSI R Bear CBBC Feb 2012 A	0.250	22,818 per 12,000 units	22,518 per 12,000 units	2012/02/28	100,000,000	2011/06/30
65041	HK Bank	HSI R Bear CBBC Feb 2012 B	0.260	24,688 per 12,000 units	24,488 per 12,000 units	2012/02/28	100,000,000	2011/06/30
65042	UBS AG	Petrochina R Bull CBBC Dec 2011 A	0.250	9.000	9.500	2011/12/19	100,000,000	2011/06/30
65065	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 A	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/12/29	200,000,000	2011/07/04
65067	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 M	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/12/29	200,000,000	2011/07/04
65068	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 O	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/12/29	200,000,000	2011/07/04
65069	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 P	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	200,000,000	2011/07/04
65064	Credit Suisse AG	HSI R Bull CBBC Dec 2011 S	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	300,000,000	2011/07/04
65050	Credit Suisse AG	HSI R Bear CBBC Oct 2011 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/04
65052	Credit Suisse AG	TCH R Bull CBBC Nov 2011 D	0.250	185 per 100 units	193 per 100 units	2011/11/28	100,000,000	2011/07/04
65056	Credit Suisse AG	TCH R Bull CBBC Dec 2011 B	0.250	192 per 100 units	200 per 100 units	2011/12/19	100,000,000	2011/07/04
65070	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 A	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/10/28	200,000,000	2011/07/04
65071	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 B	0.250	21,600 per 15,000 units	21,800 per 15,000 units	2011/10/28	200,000,000	2011/07/04
65073	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 C	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2011/10/28	200,000,000	2011/07/04
65074	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/10/28	200,000,000	2011/07/04
65075	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 E	0.250	23,000 per 15,000 units	22,800 per 15,000 units	2011/10/28	200,000,000	2011/07/04
65076	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	200,000,000	2011/07/04
65077	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 G	0.250	22,400 per 15,000 units	22,200 per 15,000 units	2011/10/28	200,000,000	2011/07/04
65080	J P Morgan SP BV	HSI R Bear CBBC Oct 2011 H	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2011/10/28	200,000,000	2011/07/04
65044	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11F	0.250	21,400 per 12,000 units	21,600 per 12,000 units	2011/10/28	300,000,000	2011/07/04
65045	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11G	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/07/04
65046	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11H	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/07/04
65047	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11I	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2011/10/28	300,000,000	2011/07/04
65048	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11V	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/04
65049	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/07/04
65058	UBS AG	HSI R Bull CBBC Oct 2011 C	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/07/04
65060	UBS AG	HSI R Bull CBBC Nov 2011 A	0.250	21,350 per 12,000 units	21,550 per 12,000 units	2011/11/29	300,000,000	2011/07/04
65061	UBS AG	HSI R Bull CBBC Dec 2011 J	0.250	21,050 per 12,000 units	21,250 per 12,000 units	2011/12/29	300,000,000	2011/07/04
65062	UBS AG	HSI R Bull CBBC Dec 2011 K	0.250	21,200 per 12,000 units	21,400 per 12,000 units	2011/12/29	300,000,000	2011/07/04
65043	UBS AG	HSI R Bear CBBC Nov 2011 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/11/29	300,000,000	2011/07/04
65057	UBS AG	TCH R Bull CBBC Jan 2012 A	0.295	180.88 per 100 units	188.88 per 100 units	2012/01/09	100,000,000	2011/07/04
65086	Credit Suisse AG	HSI R Bull CBBC Jan 2012 I	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2012/01/30	300,000,000	2011/07/05
65085	HK Bank	HKEx R Bull CBBC Feb 2012 A	0.250	143.88 per 100 units	149.88 per 100 units	2012/02/28	80,000,000	2011/07/05
65087	UBS AG	HSBC R Bear CBBC Dec 2011 A	0.250	83 per 100 units	80 per 100 units	2011/12/12	100,000,000	2011/07/05
65081	UBS AG	HSI R Bull CBBC Oct 2011 N	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/05
65084	UBS AG	HSI R Bull CBBC Nov 2011 J	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/05
65088	UBS AG	HSI R Bear CBBC Oct 2011 J	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/10/28	300,000,000	2011/07/05
65096	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 O	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/10/28	200,000,000	2011/07/06

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65091	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 P	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	200,000,000	2011/07/06
65090	Credit Suisse AG	HSI R Bull CBBC Nov 2011 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/07/06
65089	Credit Suisse AG	HSI R Bear CBBC Oct 2011 C	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/07/06
65107	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 I	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2011/10/28	200,000,000	2011/07/06
65109	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 J	0.250	20,600 per 15,000 units	20,800 per 15,000 units	2011/10/28	200,000,000	2011/07/06
65112	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 K	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2011/10/28	200,000,000	2011/07/06
65113	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 L	0.250	20,000 per 15,000 units	20,200 per 15,000 units	2011/10/28	200,000,000	2011/07/06
65115	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 M	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2011/10/28	200,000,000	2011/07/06
65116	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 N	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/10/28	200,000,000	2011/07/06
65117	J P Morgan SP BV	HSI R Bull CBBC Oct 2011 O	0.250	21,000 per 15,000 units	21,200 per 15,000 units	2011/10/28	200,000,000	2011/07/06
65103	UBS AG	China Mobile R Bear CBBC Jan 2012 A	0.250	83.88 per 100 units	80.88 per 100 units	2012/01/16	100,000,000	2011/07/06
65100	UBS AG	HSI R Bull CBBC Nov 2011 E	0.250	21,250 per 15,000 units	21,450 per 15,000 units	2011/11/29	300,000,000	2011/07/06
65099	UBS AG	HSI R Bull CBBC Dec 2011 L	0.250	21,150 per 15,000 units	21,350 per 15,000 units	2011/12/29	300,000,000	2011/07/06
65097	UBS AG	HSI R Bear CBBC Nov 2011 M	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/11/29	300,000,000	2011/07/06
65119	Credit Suisse AG	HSI R Bear CBBC Nov 2011 M	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/11/29	300,000,000	2011/07/07
65118	Credit Suisse AG	TCH R Bear CBBC Nov 2011 E	0.250	218 per 100 units	210 per 100 units	2011/11/28	100,000,000	2011/07/07
65120	UBS AG	HSI R Bull CBBC Nov 2011 P	0.250	21,450 per 15,000 units	21,650 per 15,000 units	2011/11/29	300,000,000	2011/07/07
65121	UBS AG	HSI R Bull CBBC Dec 2011 M	0.250	21,550 per 15,000 units	21,750 per 15,000 units	2011/12/29	300,000,000	2011/07/07
65122	UBS AG	HSI R Bear CBBC Dec 2011 N	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/12/29	300,000,000	2011/07/07
65134	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Q	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	200,000,000	2011/07/08
65135	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 R	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	200,000,000	2011/07/08
65137	Credit Suisse AG	HSI R Bull CBBC Dec 2011 T	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	300,000,000	2011/07/08
65136	Credit Suisse AG	HSI R Bear CBBC Oct 2011 V	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/08
65146	J P Morgan SP BV	HSI R Bull CBBC Nov 2011 A	0.250	22,000 per 15,000 units	22,200 per 15,000 units	2011/11/29	200,000,000	2011/07/08
65125	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11A	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2011/10/28	300,000,000	2011/07/08
65126	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11B	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/08
65128	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11D	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/10/28	300,000,000	2011/07/08
65124	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11Z	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/07/08
65129	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11K	0.285	24,500 per 12,000 units	24,300 per 12,000 units	2011/12/29	300,000,000	2011/07/08
65132	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11L	0.355	24,600 per 10,000 units	24,400 per 10,000 units	2011/12/29	300,000,000	2011/07/08
65133	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11M	0.370	24,700 per 10,000 units	24,500 per 10,000 units	2011/12/29	300,000,000	2011/07/08
65145	UBS AG	COVS R Bear CBBC Dec 2011 A	0.260	19.180	18.380	2011/12/19	80,000,000	2011/07/08
65143	UBS AG	Sinopec Corp R Bull CBBC Dec 2011 A	0.250	7.000	7.300	2011/12/12	100,000,000	2011/07/08
65138	UBS AG	HSI R Bull CBBC Nov 2011 Q	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/11/29	300,000,000	2011/07/08
65142	UBS AG	HSI R Bull CBBC Dec 2011 O	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	300,000,000	2011/07/08
65123	UBS AG	HSI R Bear CBBC Oct 2011 M	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/08
65189	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 U	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	200,000,000	2011/07/11
65192	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 V	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/12/29	200,000,000	2011/07/11
65194	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/12/29	200,000,000	2011/07/11
65195	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Y	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	200,000,000	2011/07/11
65196	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 I	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2011/10/28	200,000,000	2011/07/11
65197	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 N	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/10/28	200,000,000	2011/07/11
65169	Credit Suisse AG	HSI R Bull CBBC Dec 2011 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	300,000,000	2011/07/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65170	Credit Suisse AG	HSI R Bull CBBC Jan 2012 J	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2012/01/30	300,000,000	2011/07/11
65171	Credit Suisse AG	HSI R Bull CBBC Jan 2012 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2012/01/30	300,000,000	2011/07/11
65167	Credit Suisse AG	HSI R Bear CBBC Oct 2011 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/10/28	300,000,000	2011/07/11
65163	Credit Suisse AG	HSI R Bear CBBC Oct 2011 W	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/10/28	300,000,000	2011/07/11
65172	Credit Suisse AG	TCH R Bull CBBC Nov 2011 F	0.250	202 per 100 units	210 per 100 units	2011/11/28	100,000,000	2011/07/11
65173	J P Morgan SP BV	HSI R Bull CBBC Nov 2011 B	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/11/29	200,000,000	2011/07/11
65153	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11C	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/10/28	300,000,000	2011/07/11
65152	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11E	0.250	22,300 per 12,000 units	22,500 per 12,000 units	2011/10/28	300,000,000	2011/07/11
65156	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11N	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/10/28	300,000,000	2011/07/11
65157	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11O	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/07/11
65158	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11N	0.285	24,800 per 12,000 units	24,600 per 12,000 units	2011/12/29	300,000,000	2011/07/11
65159	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11O	0.355	24,900 per 10,000 units	24,700 per 10,000 units	2011/12/29	300,000,000	2011/07/11
65160	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11P	0.365	25,000 per 10,000 units	24,800 per 10,000 units	2011/12/29	300,000,000	2011/07/11
65161	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11Q	0.315	25,100 per 12,000 units	24,900 per 12,000 units	2011/12/29	300,000,000	2011/07/11
65162	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11R	0.390	25,200 per 10,000 units	25,000 per 10,000 units	2011/12/29	300,000,000	2011/07/11
65174	UBS AG	China Life R Bull CBBC Dec 2011 C	0.395	23.880	24.880	2011/12/19	100,000,000	2011/07/11
65176	UBS AG	HKEx R Bear CBBC Jan 2012 A	0.270	194.88 per 100 units	188.88 per 100 units	2012/01/09	100,000,000	2011/07/11
65177	UBS AG	HSI R Bull CBBC Oct 2011 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/07/11
65178	UBS AG	HSI R Bull CBBC Nov 2011 I	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/11/29	300,000,000	2011/07/11
65179	UBS AG	HSI R Bull CBBC Nov 2011 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/07/11
65180	UBS AG	HSI R Bull CBBC Jan 2012 D	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2012/01/30	300,000,000	2011/07/11
65148	UBS AG	HSI R Bear CBBC Oct 2011 R	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/07/11
65149	UBS AG	HSI R Bear CBBC Nov 2011 H	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/11/29	300,000,000	2011/07/11
65186	UBS AG	HSI R Bear CBBC Nov 2011 W	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2011/11/29	300,000,000	2011/07/11
65147	UBS AG	HSI R Bear CBBC Dec 2011 P	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/12/29	300,000,000	2011/07/11
65187	UBS AG	HSI R Bear CBBC Jan 2012 E	0.250	24,700 per 38,000 units	24,300 per 38,000 units	2012/01/30	300,000,000	2011/07/11
65175	UBS AG	TCH R Bull CBBC Jan 2012 B	0.255	190.88 per 100 units	198.88 per 100 units	2012/01/09	100,000,000	2011/07/11
65202	Credit Suisse AG	HSI R Bull CBBC Dec 2011 V	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	300,000,000	2011/07/12
65203	Credit Suisse AG	HSI R Bull CBBC Jan 2012 L	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2012/01/30	300,000,000	2011/07/12
65201	Credit Suisse AG	HSI R Bear CBBC Oct 2011 R	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/10/28	300,000,000	2011/07/12
65198	HK Bank	SHK Ppt R Bull CBBC Apr 2012 A	0.250	104.88 per 100 units	108.88 per 100 units	2012/04/30	80,000,000	2011/07/12
65199	HK Bank	SHK Ppt R Bear CBBC Jun 2012 A	0.250	134.88 per 100 units	130.88 per 100 units	2012/06/29	80,000,000	2011/07/12
65205	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 C	0.250	23,000 per 15,000 units	22,800 per 15,000 units	2011/11/29	200,000,000	2011/07/12
65204	UBS AG	HSI R Bull CBBC Oct 2011 V	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/10/28	300,000,000	2011/07/12
65200	UBS AG	HSI R Bear CBBC Oct 2011 Y	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/10/28	300,000,000	2011/07/12
65206	Credit Suisse AG	HSI R Bear CBBC Oct 2011 P	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/10/28	300,000,000	2011/07/13
65207	UBS AG	CC Bank R Bull CBBC Jan 2012 A	0.250	5.280	5.580	2012/01/16	100,000,000	2011/07/13
65208	UBS AG	HSI R Bull CBBC Nov 2011 U	0.250	21,850 per 15,000 units	22,050 per 15,000 units	2011/11/29	300,000,000	2011/07/13
65209	UBS AG	HSI R Bull CBBC Dec 2011 Q	0.250	21,750 per 15,000 units	21,950 per 15,000 units	2011/12/29	300,000,000	2011/07/13
65210	UBS AG	HSI R Bear CBBC Nov 2011 O	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/11/29	300,000,000	2011/07/13
65211	UBS AG	HSI R Bear CBBC Jan 2012 F	0.250	24,200 per 38,000 units	23,800 per 38,000 units	2012/01/30	300,000,000	2011/07/13
65213	UBS AG	A50 China R Bull CBBC Jan 2012 A	0.250	12.200	12.500	2012/01/09	100,000,000	2011/07/14

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65212	UBS AG	HKE X R Bull CBBC Jan 2012 B	0.250	152.88 per 100 units	158.88 per 100 units	2012/01/16	100,000,000	2011/07/14
65214	UBS AG	HSI R Bull CBBC Dec 2011 R	0.250	21,650 per 12,000 units	21,850 per 12,000 units	2011/12/29	300,000,000	2011/07/14
65220	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2011 A	0.250	11.500	11.800	2011/12/30	80,000,000	2011/07/15
65221	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2011 B	0.250	12.100	12.400	2011/12/30	80,000,000	2011/07/15
65222	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2011 C	0.250	14.700	14.400	2011/12/30	80,000,000	2011/07/15
65226	Credit Suisse AG	ABC R Bull CBBC Nov 2011 A	0.250	3.600	3.800	2011/11/21	100,000,000	2011/07/15
65225	Credit Suisse AG	CCB R Bull CBBC Nov 2011 A	0.250	5.790	5.990	2011/11/21	100,000,000	2011/07/15
65215	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 O	0.250	21,400 per 12,000 units	21,700 per 12,000 units	2012/01/30	100,000,000	2011/07/15
65216	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 P	0.250	21,600 per 12,000 units	21,900 per 12,000 units	2012/01/30	100,000,000	2011/07/15
65217	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 Q	0.250	21,800 per 12,000 units	22,100 per 12,000 units	2012/01/30	100,000,000	2011/07/15
65223	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11J	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/10/28	300,000,000	2011/07/15
65224	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11K	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/10/28	300,000,000	2011/07/15
65227	UBS AG	CC Bank R Bull CBBC Dec 2011 B	0.250	5.480	5.780	2011/12/05	100,000,000	2011/07/15
65258	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 D	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/10/28	200,000,000	2011/07/18
65259	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 F	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2011/10/28	200,000,000	2011/07/18
65256	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 U	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	200,000,000	2011/07/18
65257	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 V	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/10/28	200,000,000	2011/07/18
65247	Credit Suisse AG	HSI R Bull CBBC Dec 2011 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/12/29	300,000,000	2011/07/18
65248	Credit Suisse AG	HSI R Bull CBBC Jan 2012 M	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2012/01/30	300,000,000	2011/07/18
65266	Credit Suisse AG	HSI R Bear CBBC Oct 2011 X	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/10/28	300,000,000	2011/07/18
65263	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 D	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/11/29	200,000,000	2011/07/18
65264	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 E	0.250	22,550 per 15,000 units	22,350 per 15,000 units	2011/11/29	200,000,000	2011/07/18
65265	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 F	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2011/11/29	200,000,000	2011/07/18
65231	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 G	0.250	22,200 per 8,000 units	22,400 per 8,000 units	2011/11/29	108,000,000	2011/07/18
65232	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 H	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	128,000,000	2011/07/18
65229	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 A	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/12/29	128,000,000	2011/07/18
65230	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 B	0.250	21,900 per 12,000 units	22,100 per 12,000 units	2011/12/29	168,000,000	2011/07/18
65233	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 C	0.250	20,700 per 12,000 units	20,900 per 12,000 units	2011/12/29	128,000,000	2011/07/18
65234	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 A	0.310	20,400 per 8,000 units	20,600 per 8,000 units	2012/01/30	108,000,000	2011/07/18
65235	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 B	0.250	21,000 per 15,000 units	21,200 per 15,000 units	2012/01/30	188,000,000	2011/07/18
65236	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Oct 2011 E	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/10/28	128,000,000	2011/07/18
65237	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Oct 2011 F	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2011/10/28	128,000,000	2011/07/18
65238	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 I	0.250	24,600 per 8,000 units	24,400 per 8,000 units	2011/11/29	108,000,000	2011/07/18
65239	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 J	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2011/11/29	128,000,000	2011/07/18
65240	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 D	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2011/12/29	128,000,000	2011/07/18
65241	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 E	0.250	23,700 per 15,000 units	23,500 per 15,000 units	2011/12/29	188,000,000	2011/07/18
65242	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 F	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2011/12/29	128,000,000	2011/07/18
65243	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 G	0.250	24,800 per 12,000 units	24,600 per 12,000 units	2011/12/29	128,000,000	2011/07/18
65244	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 C	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2012/01/30	188,000,000	2011/07/18
65249	RB of Scotland N.V.	HSI R Bull CBBC Nov 2011 A	0.250	21,500 per 10,000 units	22,000 per 10,000 units	2011/11/29	250,000,000	2011/07/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65250	RB of Scotland N.V.	HSI R Bull CBBC Nov 2011 B	0.250	21,800 per 10,000 units	22,300 per 10,000 units	2011/11/29	250,000,000	2011/07/18
65253	RB of Scotland N.V.	HSI R Bear CBBC Nov 2011 C	0.250	23,500 per 10,000 units	23,000 per 10,000 units	2011/11/29	250,000,000	2011/07/18
65254	RB of Scotland N.V.	HSI R Bear CBBC Nov 2011 D	0.250	23,800 per 10,000 units	23,300 per 10,000 units	2011/11/29	250,000,000	2011/07/18
65245	UBS AG	HSI R Bull CBBC Oct 2011 F	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/07/18
65246	UBS AG	HSI R Bull CBBC Nov 2011 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/07/18
65260	UBS AG	HSI R Bear CBBC Nov 2011 M	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/11/29	300,000,000	2011/07/18
65308	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 A	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65309	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 B	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65310	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 C	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65303	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 M	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65305	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 W	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65307	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65306	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Oct 2011 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	200,000,000	2011/07/19
65273	Credit Suisse AG	HSI R Bull CBBC Nov 2011 N	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/07/19
65274	Credit Suisse AG	HSI R Bull CBBC Nov 2011 O	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/07/19
65275	Credit Suisse AG	HSI R Bull CBBC Dec 2011 X	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	300,000,000	2011/07/19
65297	Credit Suisse AG	HSI R Bull CBBC Jan 2012 N	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2012/01/30	300,000,000	2011/07/19
65271	Credit Suisse AG	HSI R Bear CBBC Oct 2011 J	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/10/28	300,000,000	2011/07/19
65272	Credit Suisse AG	HSI R Bear CBBC Oct 2011 Q	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65296	Credit Suisse AG	HSI R Bear CBBC Oct 2011 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65295	Credit Suisse AG	HSI R Bear CBBC Oct 2011 U	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65276	Credit Suisse AG	TCH R Bear CBBC Nov 2011 G	0.250	218 per 100 units	210 per 100 units	2011/11/28	100,000,000	2011/07/19
65298	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 G	0.250	22,700 per 15,000 units	22,200 per 15,000 units	2011/11/29	200,000,000	2011/07/19
65299	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 H	0.250	22,600 per 12,000 units	22,100 per 12,000 units	2011/11/29	200,000,000	2011/07/19
65300	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 I	0.250	22,500 per 10,000 units	22,000 per 10,000 units	2011/11/29	200,000,000	2011/07/19
65301	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 J	0.250	22,300 per 15,000 units	21,800 per 15,000 units	2011/11/29	200,000,000	2011/07/19
65302	J P Morgan SP BV	HSI R Bear CBBC Nov 2011 K	0.250	22,166 per 15,000 units	21,666 per 15,000 units	2011/11/29	200,000,000	2011/07/19
65278	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11L	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2011/10/28	300,000,000	2011/07/19
65281	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11C	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/10/28	300,000,000	2011/07/19
65282	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65283	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11E	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65279	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11M	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65284	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11N	0.250	22,700 per 12,000 units	22,500 per 12,000 units	2011/10/28	300,000,000	2011/07/19
65280	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct11Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65286	UBS AG	CC Bank R Bull CBBC Jan 2012 B	0.250	5.080	5.380	2012/01/09	100,000,000	2011/07/19
65267	UBS AG	HSI R Bull CBBC Oct 2011 K	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/07/19
65277	UBS AG	HSI R Bull CBBC Oct 2011 P	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65268	UBS AG	HSI R Bull CBBC Nov 2011 Q	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/19
65269	UBS AG	HSI R Bull CBBC Nov 2011 V	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2011/11/29	300,000,000	2011/07/19
65288	UBS AG	HSI R Bull CBBC Dec 2011 S	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2011/12/29	300,000,000	2011/07/19
65289	UBS AG	HSI R Bear CBBC Oct 2011 L	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65290	UBS AG	HSI R Bear CBBC Oct 2011 X	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/10/28	300,000,000	2011/07/19
65291	UBS AG	HSI R Bear CBBC Nov 2011 H	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/11/29	300,000,000	2011/07/19



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65292	UBS AG	HSI R Bear CBBC Nov 2011 I	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/11/29	300,000,000	2011/07/19
65293	UBS AG	HSI R Bear CBBC Dec 2011 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/12/29	300,000,000	2011/07/19
65294	UBS AG	HSI R Bear CBBC Dec 2011 U	0.250	22,950 per 15,000 units	22,750 per 15,000 units	2011/12/29	300,000,000	2011/07/19
65287	UBS AG	ICBC R Bull CBBC Dec 2011 B	0.250	4.700	5.000	2011/12/05	100,000,000	2011/07/19
65349	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 C	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/12/29	200,000,000	2011/07/20
65348	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 S	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/12/29	200,000,000	2011/07/20
65330	Credit Suisse AG	A50 China R Bull CBBC Nov 2011 A	0.250	12.000	12.300	2011/11/28	100,000,000	2011/07/20
65324	Credit Suisse AG	HSI R Bull CBBC Dec 2011 Y	0.250	21,600 per 12,000 units	21,800 per 12,000 units	2011/12/29	300,000,000	2011/07/20
65325	Credit Suisse AG	HSI R Bull CBBC Jan 2012 O	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2012/01/30	300,000,000	2011/07/20
65326	Credit Suisse AG	HSI R Bull CBBC Jan 2012 P	0.250	21,000 per 12,000 units	21,200 per 12,000 units	2012/01/30	300,000,000	2011/07/20
65327	Credit Suisse AG	HSI R Bull CBBC Feb 2012 G	0.250	20,400 per 12,000 units	20,600 per 12,000 units	2012/02/28	300,000,000	2011/07/20
65328	Credit Suisse AG	HSI R Bull CBBC Feb 2012 H	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2012/02/28	300,000,000	2011/07/20
65321	Credit Suisse AG	HSI R Bear CBBC Oct 2011 C	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2011/10/28	300,000,000	2011/07/20
65322	Credit Suisse AG	HSI R Bear CBBC Oct 2011 V	0.250	22,200 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/07/20
65323	Credit Suisse AG	HSI R Bear CBBC Oct 2011 W	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65329	Credit Suisse AG	TCH R Bull CBBC Nov 2011 H	0.250	192 per 100 units	200 per 100 units	2011/11/28	100,000,000	2011/07/20
65331	HK Bank	CC Bank R Bull CBBC Feb 2012 A	0.250	5.080	5.380	2012/02/28	80,000,000	2011/07/20
65334	HK Bank	CC Bank R Bear CBBC Feb 2012 B	0.250	7.100	6.800	2012/02/28	80,000,000	2011/07/20
65336	HK Bank	HSI R Bear CBBC Feb 2012 C	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2012/02/28	100,000,000	2011/07/20
65337	HK Bank	HSI R Bear CBBC Feb 2012 D	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2012/02/28	100,000,000	2011/07/20
65333	HK Bank	ICBC R Bull CBBC Jun 2012 A	0.250	4.680	4.980	2012/06/29	80,000,000	2011/07/20
65335	HK Bank	ICBC R Bear CBBC Jun 2012 B	0.250	6.680	6.380	2012/06/29	80,000,000	2011/07/20
65317	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Oct 2011 G	0.250	21,900 per 8,000 units	21,700 per 8,000 units	2011/10/28	108,000,000	2011/07/20
65318	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Oct 2011 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	128,000,000	2011/07/20
65319	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 K	0.250	22,500 per 15,000 units	22,300 per 15,000 units	2011/11/29	188,000,000	2011/07/20
65320	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 L	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2011/11/29	128,000,000	2011/07/20
65346	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 C	0.250	20,600 per 10,000 units	21,100 per 10,000 units	2011/12/29	250,000,000	2011/07/20
65347	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 D	0.250	20,900 per 10,000 units	21,400 per 10,000 units	2011/12/29	250,000,000	2011/07/20
65315	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 11H	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65316	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 11I	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65311	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 11A	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65312	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 11B	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65313	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 11G	0.250	22,100 per 12,000 units	21,900 per 12,000 units	2011/10/28	300,000,000	2011/07/20
65338	UBS AG	COVS R Bull CBBC Jan 2012 A	0.310	14.080	14.880	2012/01/09	100,000,000	2011/07/20
65342	UBS AG	COVS R Bear CBBC Jan 2012 B	0.370	20.800	20.000	2012/01/16	100,000,000	2011/07/20
65339	UBS AG	HSI R Bull CBBC Oct 2011 M	0.250	21,500 per 12,000 units	21,700 per 12,000 units	2011/10/28	300,000,000	2011/07/20
65341	UBS AG	HSI R Bull CBBC Nov 2011 J	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/07/20
65314	UBS AG	HSI R Bear CBBC Oct 2011 J	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2011/10/28	300,000,000	2011/07/20
65343	UBS AG	HSI R Bear CBBC Oct 2011 U	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/10/28	300,000,000	2011/07/20
65344	UBS AG	HSI R Bear CBBC Dec 2011 V	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/12/29	300,000,000	2011/07/20

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65345	UBS AG	HSI R Bear CBBC Jan 2012 G	0.250	22,850 per 15,000 units	22,650 per 15,000 units	2012/01/30	300,000,000	2011/07/20
65353	Credit Suisse AG	HSI R Bull CBBC Jan 2012 Q	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2012/01/30	300,000,000	2011/07/21
65352	Credit Suisse AG	HSI R Bear CBBC Nov 2011 R	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/21
65350	UBS AG	Hutchison R Bull CBBC Jan 2012 A	0.250	72 per 100 units	75 per 100 units	2012/01/09	100,000,000	2011/07/21
65364	UBS AG	CNOOC R Bull CBBC Jan 2012 A	0.285	15.080	15.880	2012/01/16	100,000,000	2011/07/22
65362	Credit Suisse AG	CCB R Bull CBBC Dec 2011 A	0.250	5.680	5.880	2011/12/12	100,000,000	2011/07/25
65363	Credit Suisse AG	GCL-Poly Energy R Bull CBBC Jan 2012 A	0.250	3.300	3.680	2012/01/30	100,000,000	2011/07/25
65355	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 R	0.255	20,000 per 12,000 units	20,300 per 12,000 units	2012/01/30	100,000,000	2011/07/25
65356	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jan 2012 S	0.250	20,600 per 12,000 units	20,900 per 12,000 units	2012/01/30	100,000,000	2011/07/25
65357	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 T	0.250	22,600 per 12,000 units	22,300 per 12,000 units	2012/01/30	100,000,000	2011/07/25
65358	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 U	0.255	22,800 per 12,000 units	22,500 per 12,000 units	2012/01/30	100,000,000	2011/07/25
65359	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jan 2012 V	0.275	23,000 per 12,000 units	22,700 per 12,000 units	2012/01/30	100,000,000	2011/07/25
65360	RB of Scotland N.V.	A50 China R Bull CBBC Jan 2012 A	0.250	11.380	11.880	2012/01/13	80,000,000	2011/07/25
65361	RB of Scotland N.V.	A50 China R Bear CBBC Jan 2012 B	0.250	14.780	14.280	2012/01/13	80,000,000	2011/07/25
65364	UBS AG	AIA R Bear CBBC Jan 2012 A	0.270	28.880	27.880	2012/01/09	100,000,000	2011/07/25
65233*	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 C	0.105	20,700 per 12,000 units	20,900 per 12,000 units	2011/12/29	120,000,000	2011/07/25
65366	Credit Suisse AG	HSI R Bull CBBC Jan 2012 R	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2012/01/30	300,000,000	2011/07/26
65368	Credit Suisse AG	HSI R Bear CBBC Oct 2011 K	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/26
65370	RB of Scotland N.V.	CNBM R Bull CBBC Jul 2012 A	0.832	8.180	9.980	2012/07/30	80,000,000	2011/07/26
65371	RB of Scotland N.V.	Evergrande R Bull CBBC Jun 2012 A	0.350	2.560	3.160	2012/06/29	80,000,000	2011/07/26
65372	RB of Scotland N.V.	GCL-Poly R Bull CBBC Jun 2012 A	0.250	2.080	2.580	2012/06/29	80,000,000	2011/07/26
65369	UBS AG	GCL-Poly R Bull CBBC Dec 2011 A	0.250	3.200	3.580	2011/12/19	100,000,000	2011/07/26
65365	UBS AG	HSI R Bull CBBC Oct 2011 R	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/10/28	300,000,000	2011/07/26
65367	UBS AG	HSI R Bear CBBC Oct 2011 C	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/26
65383	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 N	0.250	21,200 per 10,000 units	21,900 per 10,000 units	2011/12/29	200,000,000	2011/07/27
65380	Credit Suisse AG	HSI R Bull CBBC Jan 2012 T	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2012/01/30	300,000,000	2011/07/27
65376	Credit Suisse AG	HSI R Bear CBBC Oct 2011 T	0.250	22,200 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/07/27
65373	HK Bank	A50 R Bull CBBC Apr 2012 A	0.250	11.880	12.280	2012/04/18	100,000,000	2011/07/27
65385	J P Morgan SP BV	HSI R Bull CBBC Nov 2011 L	0.250	21,700 per 10,000 units	22,000 per 10,000 units	2011/11/29	200,000,000	2011/07/27
65386	J P Morgan SP BV	HSI R Bull CBBC Nov 2011 M	0.250	21,500 per 15,000 units	21,800 per 15,000 units	2011/11/29	200,000,000	2011/07/27
65382	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 H	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2011/12/29	128,000,000	2011/07/27
65384	RB of Scotland N.V.	HSCEI R Bear CBBC Dec 2011 A	0.250	14,000 per 10,000 units	13,500 per 10,000 units	2011/12/29	250,000,000	2011/07/27
65374	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 11V	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2011/10/28	300,000,000	2011/07/27
65375	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 11Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/27
65381	UBS AG	HSI R Bull CBBC Oct 2011 F	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/10/28	300,000,000	2011/07/27
65379	UBS AG	HSI R Bear CBBC Oct 2011 V	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/27
65389	Credit Suisse AG	HSI R Bull CBBC Dec 2011 Z	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/12/29	300,000,000	2011/07/28
65387	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct11 L	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/10/28	300,000,000	2011/07/28
65390	UBS AG	China Mobile R Bull CBBC Jan 2012 B	0.250	69 per 100 units	72 per 100 units	2012/01/09	100,000,000	2011/07/28
65388	UBS AG	HSI R Bull CBBC Oct 2011 K	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/10/28	300,000,000	2011/07/28
64783*	HK Bank	A50 R Bull CBBC Jan 2012 A	0.110	11.580	11.880	2012/01/20	150,000,000	2011/07/28

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65410	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 T	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	200,000,000	2011/07/29
65412	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	200,000,000	2011/07/29
65413	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 V	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2011/12/29	200,000,000	2011/07/29
65411	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 W	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	200,000,000	2011/07/29
65414	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 X	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/12/29	200,000,000	2011/07/29
65399	Credit Suisse AG	HSI R Bull CBBC Jan 2012 U	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2012/01/30	300,000,000	2011/07/29
65404	Credit Suisse AG	HSI R Bull CBBC Jan 2012 W	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2012/01/30	300,000,000	2011/07/29
65398	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65397	Credit Suisse AG	HSI R Bear CBBC Nov 2011 S	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65406	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 M	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/11/29	128,000,000	2011/07/29
65407	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 N	0.250	21,900 per 12,000 units	22,100 per 12,000 units	2011/11/29	188,000,000	2011/07/29
65415	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 E	0.250	21,200 per 10,000 units	21,700 per 10,000 units	2011/12/29	250,000,000	2011/07/29
65401	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11 D	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65402	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11 E	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2011/11/29	300,000,000	2011/07/29
65403	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11 F	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65393	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11 A	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65394	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11 B	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/11/29	300,000,000	2011/07/29
65396	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11 C	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65405	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11 G	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65409	UBS AG	HSI R Bull CBBC Nov 2011 Y	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65408	UBS AG	HSI R Bull CBBC Dec 2011 X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2011/12/29	300,000,000	2011/07/29
65391	UBS AG	HSI R Bear CBBC Nov 2011 P	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/07/29
65392	UBS AG	HSI R Bear CBBC Dec 2011 W	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/12/29	300,000,000	2011/07/29
65423	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 A	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2012/02/28	200,000,000	2011/08/01
65420	Credit Suisse AG	HSI R Bull CBBC Dec 2011 G	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2011/12/29	300,000,000	2011/08/01
65419	Credit Suisse AG	HSI R Bear CBBC Nov 2011 V	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/11/29	300,000,000	2011/08/01
65418	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 11H	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/11/29	300,000,000	2011/08/01
65421	UBS AG	AIA R Bull CBBC Jan 2012 B	0.255	24.880	25.880	2012/01/16	100,000,000	2011/08/01
65416	UBS AG	HSI R Bull CBBC Dec 2011 Y	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/12/29	300,000,000	2011/08/01
65417	UBS AG	HSI R Bull CBBC Jan 2012 H	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2012/01/30	300,000,000	2011/08/01
65422	UBS AG	HSI R Bear CBBC Jan 2012 I	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2012/01/30	300,000,000	2011/08/01
65432	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 Y	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	200,000,000	2011/08/02
65426	Credit Suisse AG	HSI R Bull CBBC Dec 2011 D	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/12/29	300,000,000	2011/08/02
65427	Credit Suisse AG	HSI R Bull CBBC Jan 2012 X	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2012/01/30	300,000,000	2011/08/02
65425	Credit Suisse AG	HSI R Bear CBBC Nov 2011 P	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/11/29	300,000,000	2011/08/02
65431	UBS AG	HSI R Bull CBBC Nov 2011 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/11/29	300,000,000	2011/08/02
65424	UBS AG	HSI R Bear CBBC Dec 2011 Z	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/12/29	300,000,000	2011/08/02
65428	UBS AG	Hutchison R Bull CBBC Jan 2012 B	0.250	82 per 100 units	85 per 100 units	2012/01/16	100,000,000	2011/08/02
65445	Credit Suisse AG	HSI R Bear CBBC Nov 2011 X	0.250	22,800 per 12,000 units	22,600 per 12,000 units	2011/11/29	300,000,000	2011/08/03
65443	HK Bank	CNOOC R Bull CBBC Jun 2012 A	0.250	15.080	15.880	2012/06/18	80,000,000	2011/08/03
65433	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 A	0.260	21,400 per 12,000 units	21,700 per 12,000 units	2012/02/28	100,000,000	2011/08/03
65434	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 B	0.255	21,600 per 12,000 units	21,900 per 12,000 units	2012/02/28	100,000,000	2011/08/03
65435	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 C	0.250	21,800 per 12,000 units	22,100 per 12,000 units	2012/02/28	100,000,000	2011/08/03

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65436	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11 I	0.250	22,300 per 12,000 units	22,500 per 12,000 units	2011/11/29	300,000,000	2011/08/03
65437	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 A	0.350	20,300 per 10,000 units	20,500 per 10,000 units	2012/01/30	300,000,000	2011/08/03
65439	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 B	0.340	20,400 per 10,000 units	20,600 per 10,000 units	2012/01/30	300,000,000	2011/08/03
65440	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 C	0.270	20,500 per 12,000 units	20,700 per 12,000 units	2012/01/30	300,000,000	2011/08/03
65446	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11 J	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/11/29	300,000,000	2011/08/03
65441	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11 S	0.290	24,300 per 10,000 units	24,100 per 10,000 units	2011/12/29	300,000,000	2011/08/03
65442	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11 T	0.305	24,400 per 10,000 units	24,200 per 10,000 units	2011/12/29	300,000,000	2011/08/03
65444	UBS AG	HSI R Bear CBBC Nov 2011 Z	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2011/11/29	300,000,000	2011/08/03
65453	Credit Suisse AG	China Mobile R Bull CBBC Dec 2011 A	0.250	69.88 per 100 units	72.88 per 100 units	2011/12/05	100,000,000	2011/08/04
65454	Credit Suisse AG	GCL-Poly Energy R Bull CBBC Jan 2012 B	0.250	3.700	4.080	2012/01/30	100,000,000	2011/08/04
65448	Credit Suisse AG	HSBC R Bull CBBC Dec 2011 A	0.250	69.88 per 100 units	72.88 per 100 units	2011/12/05	100,000,000	2011/08/04
65449	Credit Suisse AG	Hutchison R Bull CBBC Dec 2011 A	0.250	82 per 100 units	85 per 100 units	2011/12/05	100,000,000	2011/08/04
65447	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11K	0.250	22,700 per 12,000 units	22,500 per 12,000 units	2011/11/29	300,000,000	2011/08/04
65456	UBS AG	ABC R Bull CBBC Jan 2012 A	0.250	3.580	3.880	2012/01/09	100,000,000	2011/08/04
65460	UBS AG	China Mobile R Bear CBBC Feb 2012 A	0.250	87 per 100 units	84 per 100 units	2012/02/06	100,000,000	2011/08/04
65457	UBS AG	Cheung Kong R Bear CBBC Feb 2012 A	0.250	141 per 100 units	135 per 100 units	2012/02/20	100,000,000	2011/08/04
65459	UBS AG	Sinopec Corp R Bear CBBC Feb 2012 A	0.250	9.180	8.880	2012/02/06	100,000,000	2011/08/04
65455	UBS AG	Hutchison R Bull CBBC Feb 2012 A	0.250	87 per 100 units	90 per 100 units	2012/02/06	100,000,000	2011/08/04
65458	UBS AG	Hutchison R Bear CBBC Jan 2012 C	0.250	98 per 100 units	95 per 100 units	2012/01/09	100,000,000	2011/08/04
65465	Credit Suisse AG	HSI R Bull CBBC Jan 2012 Y	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2012/01/30	300,000,000	2011/08/05
65461	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Jan12A	0.250	10.600	11.000	2012/01/30	150,000,000	2011/08/05
65462	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Jan12A	0.260	66 per 100 units	70 per 100 units	2012/01/30	150,000,000	2011/08/05
65468	UBS AG	AIA R Bull CBBC Feb 2012 A	0.270	26.000	27.000	2012/02/06	100,000,000	2011/08/05
65463	UBS AG	HSI R Bull CBBC Dec 2011 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/12/29	300,000,000	2011/08/05
65470	UBS AG	HSI R Bear CBBC Dec 2011 M	0.250	23,050 per 12,000 units	22,850 per 12,000 units	2011/12/29	300,000,000	2011/08/05
65471	UBS AG	HSI R Bear CBBC Jan 2012 J	0.250	23,150 per 15,000 units	22,950 per 15,000 units	2012/01/30	300,000,000	2011/08/05
65469	UBS AG	Hutchison R Bear CBBC Feb 2012 B	0.250	103 per 100 units	100 per 100 units	2012/02/06	100,000,000	2011/08/05
65482	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 A	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/12/29	200,000,000	2011/08/08
65483	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2011 M	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2011/12/29	200,000,000	2011/08/08
65488	Credit Suisse AG	HSI R Bull CBBC Jan 2012 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2012/01/30	300,000,000	2011/08/08
65487	Credit Suisse AG	HSI R Bear CBBC Nov 2011 L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/08/08
65480	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 L	0.270	21,722 per 11,000 units	21,922 per 11,000 units	2011/12/29	300,000,000	2011/08/08
65481	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 M	0.250	22,122 per 11,000 units	22,322 per 11,000 units	2011/12/29	300,000,000	2011/08/08
65477	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 J	0.280	23,822 per 11,000 units	23,622 per 11,000 units	2011/12/29	300,000,000	2011/08/08
65479	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 K	0.250	23,422 per 11,000 units	23,222 per 11,000 units	2011/12/29	300,000,000	2011/08/08
65475	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11N	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2011/11/29	300,000,000	2011/08/08
65476	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov11O	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/08/08
65473	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11L	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/08/08
65474	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov11M	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/11/29	300,000,000	2011/08/08
65489	UBS AG	AIA R Bear CBBC Jan 2012 C	0.530	34.880	33.880	2012/01/09	100,000,000	2011/08/08
65490	UBS AG	HSI R Bull CBBC Nov 2011 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2011/11/29	300,000,000	2011/08/08
65472	UBS AG	HSI R Bear CBBC Nov 2011 Q	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2011/11/29	300,000,000	2011/08/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65496	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 B	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2012/02/28	200,000,000	2011/08/09
65497	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 C	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2012/02/28	200,000,000	2011/08/09
65498	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2012/02/28	200,000,000	2011/08/09
65495	Credit Suisse AG	HSI R Bull CBBC Feb 2012 I	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2012/02/28	300,000,000	2011/08/09
65499	Credit Suisse AG	HSI R Bear CBBC Nov 2011 K	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/11/29	300,000,000	2011/08/09
65504	RB of Scotland N.V.	HSCEI R Bear CBBC Dec 2011 B	0.250	14,000 per 10,000 units	13,500 per 10,000 units	2011/12/29	250,000,000	2011/08/09
65503	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 F	0.250	21,500 per 10,000 units	22,000 per 10,000 units	2011/12/29	250,000,000	2011/08/09
65493	UBS AG	HSI R Bull CBBC Dec 2011 O	0.250	22,400 per 12,000 units	22,600 per 12,000 units	2011/12/29	300,000,000	2011/08/09
65500	UBS AG	HSI R Bull CBBC Feb 2012 A	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2012/02/28	300,000,000	2011/08/09
65501	UBS AG	HSI R Bear CBBC Dec 2011 Q	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2011/12/29	300,000,000	2011/08/09
65502	UBS AG	HSI R Bear CBBC Jan 2012 K	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2012/01/30	300,000,000	2011/08/09
65548	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2012 E	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65549	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 A	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2012/04/27	200,000,000	2011/08/10
65550	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 F	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65551	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 G	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65552	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 H	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65553	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 I	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65554	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 J	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2012/02/28	200,000,000	2011/08/10
65545	Credit Suisse AG	HSI R Bull CBBC Dec 2011 J	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65546	Credit Suisse AG	HSI R Bull CBBC Jan 2012 K	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65547	Credit Suisse AG	HSI R Bull CBBC Feb 2012 J	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2012/02/28	300,000,000	2011/08/10
65543	Credit Suisse AG	HSI R Bear CBBC Nov 2011 F	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2011/11/29	300,000,000	2011/08/10
65542	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/11/29	300,000,000	2011/08/10
65544	Credit Suisse AG	HSI R Bear CBBC Dec 2011 I	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65558	Credit Suisse AG	HSI R Bear CBBC Dec 2011 L	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65566	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 I	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2011/12/29	128,000,000	2011/08/10
65567	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 O	0.250	22,600 per 8,000 units	22,400 per 8,000 units	2011/11/29	108,000,000	2011/08/10
65568	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 J	0.250	22,800 per 15,000 units	22,600 per 15,000 units	2011/12/29	188,000,000	2011/08/10
65518	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 11P	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2011/11/29	300,000,000	2011/08/10
65508	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12D	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65509	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12E	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65510	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65511	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12G	0.250	22,000 per 12,000 units	22,200 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65512	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12H	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65517	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12I	0.250	21,000 per 12,000 units	21,200 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65519	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11U	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2011/12/29	300,000,000	2011/08/10
65520	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11V	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2011/12/29	300,000,000	2011/08/10
65521	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11W	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65522	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2011/12/29	300,000,000	2011/08/10
65523	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2011/12/29	300,000,000	2011/08/10
65525	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 11Z	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65528	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12J	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65533	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12K	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2012/01/30	300,000,000	2011/08/10

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12L	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65539	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12M	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65541	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12N	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65569	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12O	0.295	23,700 per 10,000 units	23,500 per 10,000 units	2012/01/30	300,000,000	2011/08/10
65505	UBS AG	HSI R Bull CBBC Nov 2011 H	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/08/10
65506	UBS AG	HSI R Bull CBBC Dec 2011 R	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2011/12/29	300,000,000	2011/08/10
65507	UBS AG	HSI R Bull CBBC Jan 2012 L	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65559	UBS AG	HSI R Bear CBBC Nov 2011 J	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2011/11/29	300,000,000	2011/08/10
65560	UBS AG	HSI R Bear CBBC Dec 2011 T	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2011/12/29	300,000,000	2011/08/10
65562	UBS AG	HSI R Bear CBBC Jan 2012 M	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2012/01/30	300,000,000	2011/08/10
65563	UBS AG	HSI R Bear CBBC Mar 2012 A	0.250	23,700 per 38,000 units	23,300 per 38,000 units	2012/03/29	300,000,000	2011/08/10
65598	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 K	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2012/02/28	200,000,000	2011/08/11
65597	Credit Suisse AG	HSI R Bull CBBC Jan 2012 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2012/01/30	300,000,000	2011/08/11
65595	Credit Suisse AG	HSI R Bear CBBC Nov 2011 D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65590	Credit Suisse AG	HSI R Bear CBBC Nov 2011 G	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65594	Credit Suisse AG	HSI R Bear CBBC Nov 2011 M	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65572	HK Bank	Cheung Kong R Bull CBBC Jun 2012 B	0.250	103.88 per 100 units	109.88 per 100 units	2012/06/28	80,000,000	2011/08/11
65571	HK Bank	Cheung Kong R Bear CBBC Jun 2012 A	0.250	136.08 per 100 units	130.08 per 100 units	2012/06/28	80,000,000	2011/08/11
65574	HK Bank	A50 R Bull CBBC Mar 2012 A	0.250	11.380	11.680	2012/03/28	100,000,000	2011/08/11
65573	HK Bank	A50 R Bull CBBC Jun 2012 A	0.250	11.780	12.080	2012/06/18	100,000,000	2011/08/11
65578	HK Bank	HSI R Bull CBBC Mar 2012 A	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2012/03/29	100,000,000	2011/08/11
65576	HK Bank	HSI R Bear CBBC Apr 2012 A	0.250	22,988 per 15,000 units	22,688 per 15,000 units	2012/04/27	100,000,000	2011/08/11
65580	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 K	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/12/29	128,000,000	2011/08/11
65575	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12P	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2012/01/30	300,000,000	2011/08/11
65587	UBS AG	China Life R Bear CBBC Feb 2012 A	0.260	27.880	26.880	2012/02/06	100,000,000	2011/08/11
65586	UBS AG	A50 China R Bear CBBC Feb 2012 A	0.250	13.300	13.000	2012/02/13	100,000,000	2011/08/11
65570	UBS AG	HSI R Bull CBBC Nov 2011 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65584	UBS AG	HSI R Bull CBBC Jan 2012 N	0.250	21,450 per 13,000 units	21,650 per 13,000 units	2012/01/30	300,000,000	2011/08/11
65585	UBS AG	HSI R Bull CBBC Jan 2012 O	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2012/01/30	300,000,000	2011/08/11
65581	UBS AG	HSI R Bear CBBC Nov 2011 M	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65583	UBS AG	HSI R Bear CBBC Nov 2011 O	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2011/11/29	300,000,000	2011/08/11
65582	UBS AG	HSI R Bear CBBC Dec 2011 V	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2011/12/29	300,000,000	2011/08/11
64947*	HK Bank	HSI R Bull CBBC Jan 2012 D	0.110	18,700 per 20,000 units	19,000 per 20,000 units	2012/01/30	150,000,000	2011/08/11
65720	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Apr 2012 A	0.250	10.280	10.580	2012/04/30	80,000,000	2011/08/12
65660	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 B	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65661	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 C	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65662	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 D	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65663	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 E	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65664	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 F	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65665	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 G	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65667	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/04/27	200,000,000	2011/08/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65668	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 I	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65669	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 J	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/04/27	200,000,000	2011/08/12
65671	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 L	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65672	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 M	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65674	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 N	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65675	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 O	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65676	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 P	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65677	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 Q	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65678	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 R	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65683	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 S	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65684	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 T	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65685	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 U	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65686	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 V	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2012/02/28	200,000,000	2011/08/12
65638	Credit Suisse AG	HSI R Bull CBBC Jan 2012 J	0.250	21,000 per 12,000 units	21,200 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65639	Credit Suisse AG	HSI R Bull CBBC Jan 2012 L	0.250	21,600 per 12,000 units	21,800 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65656	Credit Suisse AG	HSI R Bull CBBC Jan 2012 M	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65658	Credit Suisse AG	HSI R Bull CBBC Jan 2012 N	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65640	Credit Suisse AG	HSI R Bull CBBC Feb 2012 K	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/02/28	300,000,000	2011/08/12
65641	Credit Suisse AG	HSI R Bull CBBC Feb 2012 L	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2012/02/28	300,000,000	2011/08/12
65642	Credit Suisse AG	HSI R Bull CBBC Mar 2012 E	0.305	17,900 per 10,000 units	18,100 per 10,000 units	2012/03/29	300,000,000	2011/08/12
65643	Credit Suisse AG	HSI R Bull CBBC Mar 2012 F	0.285	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/08/12
65649	Credit Suisse AG	HSI R Bull CBBC Mar 2012 G	0.265	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/08/12
65650	Credit Suisse AG	HSI R Bull CBBC Mar 2012 H	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/08/12
65651	Credit Suisse AG	HSI R Bull CBBC Apr 2012 A	0.280	17,600 per 12,000 units	17,800 per 12,000 units	2012/04/27	300,000,000	2011/08/12
65652	Credit Suisse AG	HSI R Bull CBBC Apr 2012 B	0.265	17,800 per 12,000 units	18,000 per 12,000 units	2012/04/27	300,000,000	2011/08/12
65653	Credit Suisse AG	HSI R Bull CBBC Apr 2012 C	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/04/27	300,000,000	2011/08/12
65654	Credit Suisse AG	HSI R Bull CBBC Apr 2012 D	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/04/27	300,000,000	2011/08/12
65655	Credit Suisse AG	HSI R Bull CBBC Apr 2012 E	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/04/27	300,000,000	2011/08/12
65633	Credit Suisse AG	HSI R Bear CBBC Nov 2011 E	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65634	Credit Suisse AG	HSI R Bear CBBC Nov 2011 N	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65635	Credit Suisse AG	HSI R Bear CBBC Nov 2011 O	0.250	21,600 per 12,000 units	21,400 per 12,000 units	2011/11/29	300,000,000	2011/08/12
65636	Credit Suisse AG	HSI R Bear CBBC Nov 2011 R	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65637	Credit Suisse AG	HSI R Bear CBBC Dec 2011 M	0.250	21,400 per 12,000 units	21,200 per 12,000 units	2011/12/29	300,000,000	2011/08/12
65608	HK Bank	A50 R Bull CBBC Jun 2012 B	0.250	11.380	11.880	2012/06/18	100,000,000	2011/08/12
65609	HK Bank	HSI R Bull CBBC May 2012 A	0.250	20,800 per 15,000 units	21,200 per 15,000 units	2012/05/30	100,000,000	2011/08/12
65610	HK Bank	HSI R Bull CBBC May 2012 B	0.250	21,200 per 15,000 units	21,600 per 15,000 units	2012/05/30	100,000,000	2011/08/12
65611	HK Bank	HSI R Bear CBBC Apr 2012 B	0.250	22,688 per 15,000 units	22,288 per 15,000 units	2012/04/27	100,000,000	2011/08/12
65659	Macquarie Bank Ltd.	HSI R Bull CBBC Dec 2011 D	0.250	19,800 per 12,000 units	20,200 per 12,000 units	2011/12/29	200,000,000	2011/08/12
65629	Macquarie Bank Ltd.	HSI R Bear CBBC Dec 2011 C	0.250	22,600 per 12,000 units	22,200 per 12,000 units	2011/12/29	200,000,000	2011/08/12
65612	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 G	0.250	19,000 per 10,000 units	19,500 per 10,000 units	2011/12/29	250,000,000	2011/08/12
65614	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 H	0.250	19,500 per 10,000 units	20,000 per 10,000 units	2011/12/29	250,000,000	2011/08/12
65615	RB of Scotland N.V.	HSI R Bull CBBC Dec 2011 I	0.250	20,000 per 10,000 units	20,500 per 10,000 units	2011/12/29	250,000,000	2011/08/12
65616	RB of Scotland N.V.	HSI R Bear CBBC Dec 2011 J	0.250	22,000 per 10,000 units	21,500 per 10,000 units	2011/12/29	250,000,000	2011/08/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65617	RB of Scotland N.V.	HSI R Bear CBBC Dec 2011 K	0.250	22,500 per 10,000 units	22,000 per 10,000 units	2011/12/29	250,000,000	2011/08/12
65627	RB of Scotland N.V.	HSI R Bear CBBC Dec 2011 L	0.250	23,000 per 10,000 units	22,500 per 10,000 units	2011/12/29	250,000,000	2011/08/12
65599	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Q	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65600	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12R	0.250	20,800 per 12,000 units	21,000 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65601	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12S	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65602	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12T	0.250	22,100 per 12,000 units	21,900 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65696	UBS AG	CNOOC R Bull CBBC Feb 2012 A	0.250	13.080	13.880	2012/02/20	100,000,000	2011/08/12
65694	UBS AG	Sinopec Corp R Bull CBBC Feb 2012 B	0.250	6.100	6.400	2012/02/13	100,000,000	2011/08/12
65603	UBS AG	HSI R Bull CBBC Nov 2011 Y	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65605	UBS AG	HSI R Bull CBBC Dec 2011 P	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2011/12/29	300,000,000	2011/08/12
65606	UBS AG	HSI R Bull CBBC Dec 2011 U	0.250	21,500 per 12,000 units	21,700 per 12,000 units	2011/12/29	300,000,000	2011/08/12
65604	UBS AG	HSI R Bull CBBC Dec 2011 W	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2011/12/29	300,000,000	2011/08/12
65607	UBS AG	HSI R Bull CBBC Jan 2012 P	0.250	20,900 per 12,000 units	21,100 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65702	UBS AG	HSI R Bull CBBC Jan 2012 R	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65703	UBS AG	HSI R Bull CBBC Jan 2012 S	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/08/12
65706	UBS AG	HSI R Bull CBBC Feb 2012 B	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/02/28	300,000,000	2011/08/12
65707	UBS AG	HSI R Bull CBBC Feb 2012 C	0.250	20,300 per 12,000 units	20,500 per 12,000 units	2012/02/28	300,000,000	2011/08/12
65690	UBS AG	HSI R Bull CBBC Mar 2012 B	0.455	16,300 per 10,000 units	16,500 per 10,000 units	2012/03/29	300,000,000	2011/08/12
65710	UBS AG	HSI R Bear CBBC Nov 2011 P	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65714	UBS AG	HSI R Bear CBBC Nov 2011 U	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2011/11/29	300,000,000	2011/08/12
65715	UBS AG	HSI R Bear CBBC Dec 2011 Z	0.250	21,700 per 12,000 units	21,500 per 12,000 units	2011/12/29	300,000,000	2011/08/12
65693	UBS AG	HSI R Bear CBBC Jan 2012 Q	0.250	21,100 per 12,000 units	20,900 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65718	UBS AG	HSI R Bear CBBC Jan 2012 T	0.250	21,400 per 12,000 units	21,200 per 12,000 units	2012/01/30	300,000,000	2011/08/12
65698	UBS AG	Ping An R Bull CBBC Feb 2012 A	0.250	54 per 100 units	60 per 100 units	2012/02/20	100,000,000	2011/08/12
63437 <sup>+</sup>	UBS AG	HSI R Bull CBBC Oct 2011 A	0.171	17,800 per 10,000 units	18,000 per 10,000 units	2011/10/28	300,000,000	2011/08/12
63438 <sup>+</sup>	UBS AG	HSI R Bull CBBC Oct 2011 B	0.119	18,300 per 10,000 units	18,500 per 10,000 units	2011/10/28	300,000,000	2011/08/12
64469 <sup>+</sup>	UBS AG	HSI R Bull CBBC Dec 2011 B	0.057	17,900 per 38,000 units	18,300 per 38,000 units	2011/12/29	1,200,000,000	2011/08/12
65721	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 K	0.300	17,300 per 10,000 units	17,500 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65762	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 L	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65763	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 M	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65764	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 N	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65766	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 O	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65767	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 P	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65768	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Q	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65769	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 R	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65771	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 S	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65772	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 T	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65774	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 U	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/04/27	200,000,000	2011/08/15
65727	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 A	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2012/02/28	200,000,000	2011/08/15
65858	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 W	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2012/02/28	200,000,000	2011/08/15
65725	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 Y	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2012/02/28	200,000,000	2011/08/15
65726	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 Z	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2012/02/28	200,000,000	2011/08/15



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65792	Credit Suisse AG	HSI R Bull CBBC Dec 2011 U	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2011/12/29	300,000,000	2011/08/15
65793	Credit Suisse AG	HSI R Bull CBBC Jan 2012 W	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/08/15
65801	Credit Suisse AG	HSI R Bull CBBC Feb 2012 M	0.250	20,400 per 12,000 units	20,600 per 12,000 units	2012/02/28	300,000,000	2011/08/15
65806	Credit Suisse AG	HSI R Bull CBBC Mar 2012 I	0.310	17,100 per 10,000 units	17,300 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65807	Credit Suisse AG	HSI R Bull CBBC Apr 2012 F	0.290	16,800 per 12,000 units	17,000 per 12,000 units	2012/04/27	300,000,000	2011/08/15
65808	Credit Suisse AG	HSI R Bull CBBC Apr 2012 G	0.270	17,000 per 12,000 units	17,200 per 12,000 units	2012/04/27	300,000,000	2011/08/15
65809	Credit Suisse AG	HSI R Bull CBBC Apr 2012 H	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2012/04/27	300,000,000	2011/08/15
65810	Credit Suisse AG	HSI R Bull CBBC Apr 2012 I	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/04/27	300,000,000	2011/08/15
65815	Credit Suisse AG	HSI R Bull CBBC May 2012 A	0.300	15,800 per 15,000 units	16,000 per 15,000 units	2012/05/30	300,000,000	2011/08/15
65816	Credit Suisse AG	HSI R Bull CBBC May 2012 B	0.270	16,300 per 15,000 units	16,500 per 15,000 units	2012/05/30	300,000,000	2011/08/15
65783	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Q	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2011/11/29	300,000,000	2011/08/15
65784	Credit Suisse AG	HSI R Bear CBBC Nov 2011 S	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2011/11/29	300,000,000	2011/08/15
65785	Credit Suisse AG	HSI R Bear CBBC Dec 2011 O	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2011/12/29	300,000,000	2011/08/15
65788	Credit Suisse AG	HSI R Bear CBBC Dec 2011 R	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2011/12/29	300,000,000	2011/08/15
65789	Credit Suisse AG	HSI R Bear CBBC Dec 2011 T	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2011/12/29	300,000,000	2011/08/15
65790	Credit Suisse AG	HSI R Bear CBBC Jan 2012 S	0.250	21,200 per 12,000 units	21,000 per 12,000 units	2012/01/30	300,000,000	2011/08/15
65791	Credit Suisse AG	HSI R Bear CBBC Jan 2012 V	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2012/01/30	300,000,000	2011/08/15
65870	HK Bank	A50 R Bull CBBC Jun 2012 C	0.250	10.180	10.680	2012/06/18	100,000,000	2011/08/15
65869	HK Bank	A50 R Bull CBBC Aug 2012 A	0.250	10.380	10.880	2012/08/16	100,000,000	2011/08/15
65871	HK Bank	HSI R Bull CBBC Apr 2012 C	0.250	20,188 per 15,000 units	20,588 per 15,000 units	2012/04/27	100,000,000	2011/08/15
65881	HK Bank	HSI R Bear CBBC Apr 2012 D	0.250	21,788 per 15,000 units	21,488 per 15,000 units	2012/04/27	100,000,000	2011/08/15
65882	HK Bank	HSI R Bear CBBC Apr 2012 E	0.250	21,488 per 15,000 units	21,088 per 15,000 units	2012/04/27	100,000,000	2011/08/15
65873	HK Bank	HSI R Bear CBBC May 2012 C	0.250	22,188 per 15,000 units	21,888 per 15,000 units	2012/05/30	100,000,000	2011/08/15
65872	HK Bank	HSI R Bear CBBC Jun 2012 A	0.250	22,388 per 20,000 units	22,088 per 20,000 units	2012/06/28	100,000,000	2011/08/15
65753	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12U	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/08/15
65754	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12V	0.250	20,300 per 12,000 units	20,500 per 12,000 units	2012/01/30	300,000,000	2011/08/15
65756	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12W	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2012/01/30	300,000,000	2011/08/15
65782	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12X	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/01/30	300,000,000	2011/08/15
65729	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12A	0.400	17,400 per 10,000 units	17,600 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65734	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12B	0.315	17,600 per 12,000 units	17,800 per 12,000 units	2012/03/29	300,000,000	2011/08/15
65736	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12C	0.350	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65775	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12D	0.440	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65776	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12E	0.345	18,200 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/08/15
65777	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12F	0.390	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65781	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12G	0.365	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65737	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12A	0.250	20,900 per 12,000 units	20,700 per 12,000 units	2012/02/28	300,000,000	2011/08/15
65740	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12B	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65757	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12C	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65758	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12D	0.250	21,500 per 12,000 units	21,300 per 12,000 units	2012/02/28	300,000,000	2011/08/15
65759	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12E	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65760	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12F	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65860	UBS AG	CC Bank R Bull CBBC Feb 2012 A	0.250	4.700	5.000	2012/02/27	100,000,000	2011/08/15

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65868	UBS AG	China Life R Bull CBBC Feb 2012 B	0.480	17.880	18.880	2012/02/13	100,000,000	2011/08/15
65869	UBS AG	CNOOC R Bull CBBC Feb 2012 B	0.285	12.080	12.880	2012/02/27	100,000,000	2011/08/15
65864	UBS AG	Sinopec Corp R Bull CBBC Feb 2012 C	0.250	5.700	6.000	2012/02/27	100,000,000	2011/08/15
65867	UBS AG	A50 China R Bull CBBC Feb 2012 B	0.250	10.200	10.500	2012/02/27	100,000,000	2011/08/15
65862	UBS AG	HSBC R Bull CBBC Feb 2012 A	0.250	57 per 100 units	60 per 100 units	2012/02/20	100,000,000	2011/08/15
65746	UBS AG	HSI R Bull CBBC Nov 2011 A	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2011/11/29	300,000,000	2011/08/15
65749	UBS AG	HSI R Bull CBBC Nov 2011 E	0.250	20,600 per 13,000 units	20,900 per 13,000 units	2011/11/29	300,000,000	2011/08/15
65744	UBS AG	HSI R Bull CBBC Dec 2011 X	0.250	19,800 per 13,000 units	20,200 per 13,000 units	2011/12/29	300,000,000	2011/08/15
65745	UBS AG	HSI R Bull CBBC Jan 2012 U	0.250	20,100 per 13,000 units	20,400 per 13,000 units	2012/01/30	300,000,000	2011/08/15
65837	UBS AG	HSI R Bull CBBC Jan 2012 V	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/01/30	300,000,000	2011/08/15
65824	UBS AG	HSI R Bull CBBC Feb 2012 D	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65833	UBS AG	HSI R Bull CBBC Feb 2012 E	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/02/28	300,000,000	2011/08/15
65838	UBS AG	HSI R Bull CBBC Feb 2012 F	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/02/28	300,000,000	2011/08/15
65820	UBS AG	HSI R Bull CBBC Mar 2012 C	0.485	15,300 per 10,000 units	15,500 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65822	UBS AG	HSI R Bull CBBC Mar 2012 D	0.360	15,800 per 12,000 units	16,000 per 12,000 units	2012/03/29	300,000,000	2011/08/15
65831	UBS AG	HSI R Bull CBBC Mar 2012 E	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/08/15
65819	UBS AG	HSI R Bull CBBC Apr 2012 A	0.550	14,800 per 10,000 units	15,000 per 10,000 units	2012/04/27	300,000,000	2011/08/15
65818	UBS AG	HSI R Bull CBBC May 2012 A	0.250	16,900 per 38,000 units	17,300 per 38,000 units	2012/05/30	300,000,000	2011/08/15
65817	UBS AG	HSI R Bull CBBC Jun 2012 A	0.250	15,900 per 38,000 units	16,300 per 38,000 units	2012/06/28	300,000,000	2011/08/15
65851	UBS AG	HSI R Bear CBBC Nov 2011 R	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2011/11/29	300,000,000	2011/08/15
65857	UBS AG	HSI R Bear CBBC Nov 2011 S	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2011/11/29	300,000,000	2011/08/15
65849	UBS AG	HSI R Bear CBBC Dec 2011 C	0.250	21,400 per 13,000 units	21,100 per 13,000 units	2011/12/29	300,000,000	2011/08/15
65853	UBS AG	HSI R Bear CBBC Dec 2011 D	0.250	20,800 per 13,000 units	20,400 per 13,000 units	2011/12/29	300,000,000	2011/08/15
65847	UBS AG	HSI R Bear CBBC Dec 2011 Y	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2011/12/29	300,000,000	2011/08/15
65844	UBS AG	HSI R Bear CBBC Jan 2012 W	0.250	22,000 per 12,000 units	21,800 per 12,000 units	2012/01/30	300,000,000	2011/08/15
65850	UBS AG	HSI R Bear CBBC Jan 2012 X	0.250	21,000 per 13,000 units	20,700 per 13,000 units	2012/01/30	300,000,000	2011/08/15
65846	UBS AG	HSI R Bear CBBC Feb 2012 G	0.250	21,900 per 13,000 units	21,600 per 13,000 units	2012/02/28	300,000,000	2011/08/15
65964	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 V	0.250	17,000 per 10,000 units	17,200 per 10,000 units	2012/04/27	200,000,000	2011/08/16
65966	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 W	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/04/27	200,000,000	2011/08/16
65971	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 X	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2012/02/28	200,000,000	2011/08/16
66024	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 A	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/03/29	200,000,000	2011/08/16
66025	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 B	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2012/03/29	200,000,000	2011/08/16
66026	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 C	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/03/29	200,000,000	2011/08/16
66027	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 D	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2012/03/29	200,000,000	2011/08/16
65972	Credit Suisse AG	HKEx R Bull CBBC Dec 2011 A	0.250	124 per 100 units	130 per 100 units	2011/12/19	100,000,000	2011/08/16
65974	Credit Suisse AG	HKEx R Bear CBBC Dec 2011 B	0.250	156 per 100 units	150 per 100 units	2011/12/19	100,000,000	2011/08/16
65918	Credit Suisse AG	HSI R Bull CBBC Jan 2012 B	0.250	19,000 per 10,000 units	19,300 per 10,000 units	2012/01/30	300,000,000	2011/08/16
65919	Credit Suisse AG	HSI R Bull CBBC Jan 2012 C	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2012/01/30	300,000,000	2011/08/16
65920	Credit Suisse AG	HSI R Bull CBBC Jan 2012 D	0.250	19,400 per 10,000 units	19,700 per 10,000 units	2012/01/30	300,000,000	2011/08/16
65921	Credit Suisse AG	HSI R Bull CBBC Jan 2012 O	0.250	20,100 per 13,000 units	20,400 per 13,000 units	2012/01/30	300,000,000	2011/08/16
65922	Credit Suisse AG	HSI R Bull CBBC Jan 2012 P	0.250	20,250 per 12,000 units	20,450 per 12,000 units	2012/01/30	300,000,000	2011/08/16
65909	Credit Suisse AG	HSI R Bull CBBC Jan 2012 T	0.250	16,650 per 15,000 units	16,850 per 15,000 units	2012/01/30	300,000,000	2011/08/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65913	Credit Suisse AG	HSI R Bull CBBC Jan 2012 U	0.250	17,550 per 10,000 units	17,750 per 10,000 units	2012/01/30	300,000,000	2011/08/16
65917	Credit Suisse AG	HSI R Bull CBBC Jan 2012 X	0.250	18,450 per 12,000 units	18,650 per 12,000 units	2012/01/30	300,000,000	2011/08/16
65923	Credit Suisse AG	HSI R Bull CBBC Feb 2012 N	0.260	16,800 per 10,000 units	17,100 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65924	Credit Suisse AG	HSI R Bull CBBC Feb 2012 O	0.250	16,950 per 10,000 units	17,150 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65925	Credit Suisse AG	HSI R Bull CBBC Feb 2012 P	0.250	17,200 per 10,000 units	17,500 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65926	Credit Suisse AG	HSI R Bull CBBC Feb 2012 Q	0.250	17,850 per 12,000 units	18,050 per 12,000 units	2012/02/28	300,000,000	2011/08/16
65928	Credit Suisse AG	HSI R Bull CBBC Feb 2012 R	0.250	18,700 per 13,000 units	19,000 per 13,000 units	2012/02/28	300,000,000	2011/08/16
65936	Credit Suisse AG	HSI R Bull CBBC Feb 2012 S	0.250	18,750 per 10,000 units	18,950 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65939	Credit Suisse AG	HSI R Bull CBBC Feb 2012 T	0.250	19,200 per 15,000 units	19,500 per 15,000 units	2012/02/28	300,000,000	2011/08/16
65940	Credit Suisse AG	HSI R Bull CBBC Feb 2012 U	0.250	19,650 per 12,000 units	19,850 per 12,000 units	2012/02/28	300,000,000	2011/08/16
65941	Credit Suisse AG	HSI R Bull CBBC Feb 2012 V	0.250	19,700 per 13,000 units	20,000 per 13,000 units	2012/02/28	300,000,000	2011/08/16
65944	Credit Suisse AG	HSI R Bull CBBC Mar 2012 J	0.250	16,150 per 15,000 units	16,550 per 15,000 units	2012/03/29	300,000,000	2011/08/16
65945	Credit Suisse AG	HSI R Bull CBBC Mar 2012 K	0.250	16,600 per 15,000 units	16,900 per 15,000 units	2012/03/29	300,000,000	2011/08/16
65947	Credit Suisse AG	HSI R Bull CBBC Mar 2012 L	0.250	17,050 per 13,000 units	17,450 per 13,000 units	2012/03/29	300,000,000	2011/08/16
65948	Credit Suisse AG	HSI R Bull CBBC Mar 2012 M	0.250	17,400 per 15,000 units	17,700 per 15,000 units	2012/03/29	300,000,000	2011/08/16
65949	Credit Suisse AG	HSI R Bull CBBC Mar 2012 N	0.250	17,950 per 15,000 units	18,350 per 15,000 units	2012/03/29	300,000,000	2011/08/16
65950	Credit Suisse AG	HSI R Bull CBBC Mar 2012 O	0.250	18,850 per 13,000 units	19,250 per 13,000 units	2012/03/29	300,000,000	2011/08/16
65951	Credit Suisse AG	HSI R Bull CBBC Mar 2012 P	0.250	19,750 per 15,000 units	20,150 per 15,000 units	2012/03/29	300,000,000	2011/08/16
65952	Credit Suisse AG	HSI R Bull CBBC Apr 2012 J	0.360	15,800 per 10,000 units	16,100 per 10,000 units	2012/04/27	300,000,000	2011/08/16
65953	Credit Suisse AG	HSI R Bull CBBC Apr 2012 K	0.250	16,500 per 13,000 units	16,800 per 13,000 units	2012/04/27	300,000,000	2011/08/16
65954	Credit Suisse AG	HSI R Bull CBBC Apr 2012 L	0.250	17,100 per 13,000 units	17,400 per 13,000 units	2012/04/27	300,000,000	2011/08/16
65955	Credit Suisse AG	HSI R Bull CBBC May 2012 C	0.250	16,300 per 13,000 units	16,600 per 13,000 units	2012/05/30	300,000,000	2011/08/16
65957	Credit Suisse AG	HSI R Bull CBBC Jun 2012 D	0.320	14,600 per 15,000 units	14,900 per 15,000 units	2012/06/28	300,000,000	2011/08/16
65958	Credit Suisse AG	HSI R Bull CBBC Jun 2012 E	0.280	15,200 per 15,000 units	15,500 per 15,000 units	2012/06/28	300,000,000	2011/08/16
65959	Credit Suisse AG	HSI R Bull CBBC Jun 2012 F	0.250	16,000 per 15,000 units	16,300 per 15,000 units	2012/06/28	300,000,000	2011/08/16
65960	Credit Suisse AG	HSI R Bull CBBC Jun 2012 G	0.250	16,100 per 38,000 units	16,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65961	Credit Suisse AG	HSI R Bull CBBC Jun 2012 H	0.250	17,100 per 38,000 units	17,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65962	Credit Suisse AG	HSI R Bull CBBC Jun 2012 I	0.250	18,100 per 38,000 units	18,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65899	Credit Suisse AG	HSI R Bear CBBC Nov 2011 J	0.250	21,100 per 13,000 units	20,800 per 13,000 units	2011/11/29	300,000,000	2011/08/16
65897	Credit Suisse AG	HSI R Bear CBBC Nov 2011 P	0.250	20,100 per 13,000 units	19,800 per 13,000 units	2011/11/29	300,000,000	2011/08/16
65896	Credit Suisse AG	HSI R Bear CBBC Nov 2011 V	0.250	19,600 per 10,000 units	19,300 per 10,000 units	2011/11/29	300,000,000	2011/08/16
65898	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Y	0.250	20,600 per 10,000 units	20,300 per 10,000 units	2011/11/29	300,000,000	2011/08/16
65900	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Q	0.250	19,800 per 15,000 units	19,500 per 15,000 units	2011/12/29	300,000,000	2011/08/16
65901	Credit Suisse AG	HSI R Bear CBBC Dec 2011 S	0.250	20,300 per 13,000 units	20,000 per 13,000 units	2011/12/29	300,000,000	2011/08/16
65902	Credit Suisse AG	HSI R Bear CBBC Dec 2011 V	0.250	20,800 per 15,000 units	20,500 per 15,000 units	2011/12/29	300,000,000	2011/08/16
65903	Credit Suisse AG	HSI R Bear CBBC Dec 2011 W	0.250	21,900 per 15,000 units	21,600 per 15,000 units	2011/12/29	300,000,000	2011/08/16
65904	Credit Suisse AG	HSI R Bear CBBC Jun 2012 A	0.250	20,900 per 38,000 units	20,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65905	Credit Suisse AG	HSI R Bear CBBC Jun 2012 B	0.250	21,900 per 38,000 units	21,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65906	Credit Suisse AG	HSI R Bear CBBC Jun 2012 C	0.250	22,900 per 38,000 units	22,500 per 38,000 units	2012/06/28	300,000,000	2011/08/16
65975	Credit Suisse AG	TCH R Bear CBBC Nov 2011 I	0.250	198 per 100 units	190 per 100 units	2011/11/28	100,000,000	2011/08/16
65976	Credit Suisse AG	TCH R Bear CBBC Nov 2011 J	0.250	208 per 100 units	200 per 100 units	2011/11/28	100,000,000	2011/08/16
65977	Credit Suisse AG	TCH R Bear CBBC Dec 2011 C	0.270	218 per 100 units	210 per 100 units	2011/12/19	100,000,000	2011/08/16
65884	HK Bank	HSI R Bull CBBC Jul 2012 A	0.250	17,600 per 18,000 units	18,000 per 18,000 units	2012/07/30	100,000,000	2011/08/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65883	HK Bank	HSI R Bull CBBC Aug 2012 A	0.250	16,600 per 22,000 units	17,000 per 22,000 units	2012/08/30	100,000,000	2011/08/16
65886	HK Bank	HSI R Bull CBBC Aug 2012 B	0.250	15,600 per 25,000 units	16,000 per 25,000 units	2012/08/30	100,000,000	2011/08/16
65885	Macquarie Bank Ltd.	HSI R Bull CBBC Jan 2012 C	0.250	19,000 per 12,000 units	19,400 per 12,000 units	2012/01/30	200,000,000	2011/08/16
66023	RB of Scotland N.V.	DJIA R Bull CBBC Dec 2011 D	0.250	8,600 per 78,000 units	9,000 per 78,000 units	2011/12/16	80,000,000	2011/08/16
66022	RB of Scotland N.V.	DJIA R Bear CBBC Dec 2011 C	0.250	12,400 per 78,000 units	12,000 per 78,000 units	2011/12/16	80,000,000	2011/08/16
65890	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12H	0.250	18,600 per 12,000 units	19,000 per 12,000 units	2012/03/29	300,000,000	2011/08/16
65891	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12I	0.250	18,800 per 10,000 units	19,200 per 10,000 units	2012/03/29	300,000,000	2011/08/16
65887	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12A	0.455	16,100 per 10,000 units	16,500 per 10,000 units	2012/04/27	300,000,000	2011/08/16
65889	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12B	0.395	16,600 per 10,000 units	17,000 per 10,000 units	2012/04/27	300,000,000	2011/08/16
65892	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12G	0.250	20,300 per 10,000 units	19,900 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65893	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12H	0.250	20,500 per 12,000 units	20,100 per 12,000 units	2012/02/28	300,000,000	2011/08/16
65894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12I	0.250	20,700 per 10,000 units	20,300 per 10,000 units	2012/02/28	300,000,000	2011/08/16
65895	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12J	0.265	20,900 per 10,000 units	20,500 per 10,000 units	2012/02/28	300,000,000	2011/08/16
66032	UBS AG	CC Bank R Bull CBBC Feb 2012 B	0.250	4.500	4.800	2012/02/20	100,000,000	2011/08/16
66033	UBS AG	CNOOC R Bull CBBC Mar 2012 A	0.265	11.080	11.880	2012/03/19	100,000,000	2011/08/16
66036	UBS AG	A50 China R Bull CBBC Feb 2012 C	0.250	9.700	10.000	2012/02/20	100,000,000	2011/08/16
66035	UBS AG	HKEx R Bull CBBC Feb 2012 A	0.250	112.88 per 100 units	118.88 per 100 units	2012/02/27	100,000,000	2011/08/16
66011	UBS AG	HSCEI R Bull CBBC Feb 2012 A	0.250	8,700 per 12,000 units	9,000 per 12,000 units	2012/02/28	100,000,000	2011/08/16
66017	UBS AG	HSI R Bull CBBC Nov 2011 X	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2011/11/29	300,000,000	2011/08/16
66012	UBS AG	HSI R Bull CBBC Dec 2011 K	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2011/12/29	300,000,000	2011/08/16
66020	UBS AG	HSI R Bull CBBC Dec 2011 L	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2011/12/29	300,000,000	2011/08/16
66019	UBS AG	HSI R Bull CBBC Jan 2012 D	0.250	19,600 per 13,000 units	19,900 per 13,000 units	2012/01/30	300,000,000	2011/08/16
66018	UBS AG	HSI R Bull CBBC Jan 2012 Z	0.250	19,400 per 13,000 units	19,700 per 13,000 units	2012/01/30	300,000,000	2011/08/16
66010	UBS AG	HSI R Bull CBBC Feb 2012 I	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/02/28	300,000,000	2011/08/16
66014	UBS AG	HSI R Bull CBBC Feb 2012 J	0.250	19,000 per 13,000 units	19,300 per 13,000 units	2012/02/28	300,000,000	2011/08/16
66004	UBS AG	HSI R Bull CBBC Mar 2012 I	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/08/16
66005	UBS AG	HSI R Bull CBBC Mar 2012 J	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/08/16
66009	UBS AG	HSI R Bull CBBC Mar 2012 K	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/08/16
66001	UBS AG	HSI R Bull CBBC Apr 2012 D	0.250	17,000 per 12,000 units	17,200 per 12,000 units	2012/04/27	300,000,000	2011/08/16
66003	UBS AG	HSI R Bull CBBC Apr 2012 E	0.250	17,500 per 13,000 units	17,800 per 13,000 units	2012/04/27	300,000,000	2011/08/16
66007	UBS AG	HSI R Bull CBBC Apr 2012 F	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/04/27	300,000,000	2011/08/16
66008	UBS AG	HSI R Bull CBBC Apr 2012 G	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/04/27	300,000,000	2011/08/16
65999	UBS AG	HSI R Bull CBBC May 2012 B	0.550	13,800 per 10,000 units	14,000 per 10,000 units	2012/05/30	300,000,000	2011/08/16
66000	UBS AG	HSI R Bull CBBC May 2012 C	0.415	14,300 per 12,000 units	14,500 per 12,000 units	2012/05/30	300,000,000	2011/08/16
65986	UBS AG	HSI R Bear CBBC Dec 2011 H	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2011/12/29	300,000,000	2011/08/16
65992	UBS AG	HSI R Bear CBBC Dec 2011 J	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2011/12/29	300,000,000	2011/08/16
65991	UBS AG	HSI R Bear CBBC Jan 2012 Y	0.250	20,000 per 12,000 units	19,700 per 12,000 units	2012/01/30	300,000,000	2011/08/16
65998	UBS AG	HSI R Bear CBBC Feb 2012 H	0.250	19,600 per 13,000 units	19,300 per 13,000 units	2012/02/28	300,000,000	2011/08/16
65981	UBS AG	HSI R Bear CBBC Mar 2012 F	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2012/03/29	300,000,000	2011/08/16
65984	UBS AG	HSI R Bear CBBC Mar 2012 G	0.250	20,800 per 12,000 units	20,600 per 12,000 units	2012/03/29	300,000,000	2011/08/16
65987	UBS AG	HSI R Bear CBBC Mar 2012 H	0.250	20,200 per 13,000 units	19,900 per 13,000 units	2012/03/29	300,000,000	2011/08/16
65978	UBS AG	HSI R Bear CBBC Apr 2012 B	0.250	21,600 per 13,000 units	21,300 per 13,000 units	2012/04/27	300,000,000	2011/08/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65985	UBS AG	HSI R Bear CBBC Apr 2012 C	0.250	20,500 per 12,000 units	20,300 per 12,000 units	2012/04/27	300,000,000	2011/08/16
66044	UBS AG	Hutchison R Bull CBBC Feb 2012 C	0.250	62 per 100 units	65 per 100 units	2012/02/20	100,000,000	2011/08/16
66039	UBS AG	ICBC R Bull CBBC Feb 2012 A	0.250	4.200	4.500	2012/02/13	100,000,000	2011/08/16
64955*	Credit Suisse AG	HSI R Bull CBBC Mar 2012 A	0.114	18,400 per 12,000 units	18,600 per 12,000 units	2012/03/29	300,000,000	2011/08/16
66092	Credit Suisse AG	ABC R Bull CBBC Mar 2012 A	0.250	3.250	3.500	2012/03/26	100,000,000	2011/08/17
66096	Credit Suisse AG	AIA R Bull CBBC Dec 2011 A	0.260	23.000	24.000	2011/12/19	100,000,000	2011/08/17
66119	Credit Suisse AG	AIA R Bear CBBC Dec 2011 B	0.370	29.000	28.000	2011/12/19	100,000,000	2011/08/17
66091	Credit Suisse AG	CCB R Bull CBBC Jan 2012 A	0.250	4.750	5.000	2012/01/16	100,000,000	2011/08/17
66097	Credit Suisse AG	CNBM R Bull CBBC Dec 2011 A	0.260	11.500	12.500	2011/12/19	100,000,000	2011/08/17
66099	Credit Suisse AG	Evergrande R Bull CBBC Jan 2012 A	0.250	4.000	4.400	2012/01/16	100,000,000	2011/08/17
66111	Credit Suisse AG	GCL-Poly Energy R Bull CBBC Mar 2012 A	0.250	2.700	3.000	2012/03/12	100,000,000	2011/08/17
66112	Credit Suisse AG	GCL-Poly Energy R Bull CBBC Mar 2012 B	0.250	3.200	3.500	2012/03/26	100,000,000	2011/08/17
66076	Credit Suisse AG	HSI R Bull CBBC Jan 2012 Q	0.250	18,800 per 10,000 units	19,100 per 10,000 units	2012/01/30	300,000,000	2011/08/17
66077	Credit Suisse AG	HSI R Bull CBBC Jan 2012 R	0.250	19,300 per 13,000 units	19,600 per 13,000 units	2012/01/30	300,000,000	2011/08/17
66078	Credit Suisse AG	HSI R Bull CBBC Feb 2012 W	0.250	19,100 per 15,000 units	19,400 per 15,000 units	2012/02/28	300,000,000	2011/08/17
66082	Credit Suisse AG	HSI R Bull CBBC Mar 2012 Q	0.250	18,900 per 13,000 units	19,200 per 13,000 units	2012/03/29	300,000,000	2011/08/17
66086	Credit Suisse AG	HSI R Bear CBBC Dec 2011 D	0.250	19,900 per 15,000 units	19,600 per 15,000 units	2011/12/29	300,000,000	2011/08/17
66087	Credit Suisse AG	HSI R Bear CBBC Dec 2011 G	0.250	20,200 per 10,000 units	19,900 per 10,000 units	2011/12/29	300,000,000	2011/08/17
66083	Credit Suisse AG	HSI R Bear CBBC Dec 2011 X	0.250	19,700 per 13,000 units	19,400 per 13,000 units	2011/12/29	300,000,000	2011/08/17
66089	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Y	0.250	20,900 per 13,000 units	20,600 per 13,000 units	2011/12/29	300,000,000	2011/08/17
66088	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Z	0.250	20,400 per 10,000 units	20,100 per 10,000 units	2011/12/29	300,000,000	2011/08/17
66090	Credit Suisse AG	Hutchison R Bull CBBC Dec 2011 B	0.250	68 per 100 units	72 per 100 units	2011/12/19	100,000,000	2011/08/17
66118	Credit Suisse AG	Hutchison R Bear CBBC Dec 2011 C	0.250	89 per 100 units	85 per 100 units	2011/12/19	100,000,000	2011/08/17
66059	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 P	0.250	18,500 per 8,000 units	19,000 per 8,000 units	2011/11/29	108,000,000	2011/08/17
66060	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Nov 2011 Q	0.250	19,200 per 12,000 units	19,700 per 12,000 units	2011/11/29	128,000,000	2011/08/17
66062	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 L	0.250	18,200 per 12,000 units	18,500 per 12,000 units	2011/12/29	128,000,000	2011/08/17
66063	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Dec 2011 M	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2011/12/29	108,000,000	2011/08/17
66064	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 D	0.250	17,700 per 15,000 units	18,000 per 15,000 units	2012/01/30	188,000,000	2011/08/17
66065	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 A	0.250	16,700 per 18,000 units	17,000 per 18,000 units	2012/02/28	188,000,000	2011/08/17
66066	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 A	0.250	15,700 per 20,000 units	16,000 per 20,000 units	2012/03/29	188,000,000	2011/08/17
66068	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 R	0.250	20,500 per 12,000 units	20,200 per 12,000 units	2011/11/29	128,000,000	2011/08/17
66069	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 S	0.250	21,100 per 10,000 units	20,800 per 10,000 units	2011/11/29	108,000,000	2011/08/17
66126	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 U	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2011/11/29	108,000,000	2011/08/17
66071	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 N	0.250	20,800 per 10,000 units	20,500 per 10,000 units	2011/12/29	108,000,000	2011/08/17
66073	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 O	0.250	21,800 per 15,000 units	21,000 per 15,000 units	2011/12/29	188,000,000	2011/08/17
66074	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 P	0.250	22,000 per 12,000 units	21,600 per 12,000 units	2011/12/29	128,000,000	2011/08/17
66075	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 E	0.250	21,900 per 18,000 units	21,300 per 18,000 units	2012/01/30	188,000,000	2011/08/17
66131	RB of Scotland N.V.	HSCEI R Bull CBBC Jan 2012 A	0.250	8,500 per 10,000 units	9,000 per 10,000 units	2012/01/30	250,000,000	2011/08/17
66135	RB of Scotland N.V.	HSI R Bull CBBC Jan 2012 B	0.250	18,000 per 10,000 units	18,500 per 10,000 units	2012/01/30	250,000,000	2011/08/17
66136	RB of Scotland N.V.	HSI R Bull CBBC Jan 2012 C	0.250	18,500 per 10,000 units	19,000 per 10,000 units	2012/01/30	250,000,000	2011/08/17
66132	RB of Scotland N.V.	HSI R Bear CBBC Jan 2012 A	0.250	21,500 per 10,000 units	21,000 per 10,000 units	2012/01/30	250,000,000	2011/08/17
66049	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12J	0.250	18,800 per 15,000 units	19,400 per 15,000 units	2012/03/29	300,000,000	2011/08/17

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66056	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12K	0.250	19,000 per 15,000 units	19,600 per 15,000 units	2012/03/29	300,000,000	2011/08/17
66057	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12L	0.250	19,200 per 15,000 units	19,800 per 15,000 units	2012/03/29	300,000,000	2011/08/17
66168	Credit Suisse AG	A50 China R Bull CBBC Dec 2011 A	0.250	10.680	10.980	2011/12/19	100,000,000	2011/08/18
66175	Credit Suisse AG	A50 China R Bear CBBC Dec 2011 B	0.250	12.580	12.280	2011/12/19	100,000,000	2011/08/18
66166	Credit Suisse AG	HSBC R Bull CBBC Dec 2011 B	0.250	60 per 100 units	64 per 100 units	2011/12/19	100,000,000	2011/08/18
66169	Credit Suisse AG	HSBC R Bear CBBC Dec 2011 C	0.250	77 per 100 units	73 per 100 units	2011/12/19	100,000,000	2011/08/18
66160	Credit Suisse AG	HSI R Bull CBBC Mar 2012 R	0.290	16,100 per 13,000 units	16,400 per 13,000 units	2012/03/29	300,000,000	2011/08/18
66161	Credit Suisse AG	HSI R Bull CBBC Mar 2012 S	0.350	16,400 per 10,000 units	16,700 per 10,000 units	2012/03/29	300,000,000	2011/08/18
66163	Credit Suisse AG	HSI R Bull CBBC Jul 2012 A	0.250	13,400 per 38,000 units	13,800 per 38,000 units	2012/07/30	300,000,000	2011/08/18
66165	Credit Suisse AG	HSI R Bull CBBC Jul 2012 B	0.380	14,200 per 15,000 units	14,500 per 15,000 units	2012/07/30	300,000,000	2011/08/18
66177	HK Bank	CNOOC R Bear CBBC Jun 2012 B	0.430	18.480	17.680	2012/06/18	80,000,000	2011/08/18
66176	HK Bank	HSI R Bear CBBC Mar 2012 B	0.250	21,088 per 15,000 units	20,688 per 15,000 units	2012/03/29	100,000,000	2011/08/18
66148	J P Morgan SP BV	HSI R Bull CBBC Dec 2011 A	0.250	18,000 per 15,000 units	18,500 per 15,000 units	2011/12/29	200,000,000	2011/08/18
66151	J P Morgan SP BV	HSI R Bull CBBC Dec 2011 B	0.250	19,000 per 15,000 units	19,500 per 15,000 units	2011/12/29	200,000,000	2011/08/18
66152	J P Morgan SP BV	HSI R Bull CBBC Dec 2011 C	0.250	18,500 per 10,000 units	19,000 per 10,000 units	2011/12/29	200,000,000	2011/08/18
66149	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 A	0.250	17,500 per 10,000 units	18,000 per 10,000 units	2012/01/30	200,000,000	2011/08/18
66153	J P Morgan SP BV	HSI R Bear CBBC Dec 2011 D	0.250	22,500 per 15,000 units	22,000 per 15,000 units	2011/12/29	200,000,000	2011/08/18
66154	J P Morgan SP BV	HSI R Bear CBBC Dec 2011 E	0.250	22,000 per 10,000 units	21,500 per 10,000 units	2011/12/29	200,000,000	2011/08/18
66155	J P Morgan SP BV	HSI R Bear CBBC Dec 2011 F	0.250	21,500 per 15,000 units	21,000 per 15,000 units	2011/12/29	200,000,000	2011/08/18
66156	J P Morgan SP BV	HSI R Bear CBBC Dec 2011 G	0.250	21,000 per 10,000 units	20,500 per 10,000 units	2011/12/29	200,000,000	2011/08/18
66159	J P Morgan SP BV	HSI R Bear CBBC Dec 2011 H	0.250	20,500 per 15,000 units	20,000 per 15,000 units	2011/12/29	200,000,000	2011/08/18
66150	J P Morgan SP BV	HSI R Bear CBBC Jan 2012 B	0.325	23,000 per 10,000 units	22,500 per 10,000 units	2012/01/30	200,000,000	2011/08/18
66178	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 F	0.320	16,400 per 10,000 units	16,800 per 10,000 units	2012/01/30	108,000,000	2011/08/18
66179	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 G	0.250	17,100 per 15,000 units	17,500 per 15,000 units	2012/01/30	188,000,000	2011/08/18
66180	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 B	0.300	16,000 per 12,000 units	16,500 per 12,000 units	2012/02/28	128,000,000	2011/08/18
66181	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 H	0.250	22,100 per 15,000 units	21,800 per 15,000 units	2012/01/30	188,000,000	2011/08/18
66182	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 C	0.250	22,000 per 12,000 units	21,500 per 12,000 units	2012/02/28	128,000,000	2011/08/18
66183	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 D	0.310	22,400 per 10,000 units	22,200 per 10,000 units	2012/02/28	108,000,000	2011/08/18
66147	Macquarie Bank Ltd.	HSI R Bull CBBC Feb 2012 B	0.250	18,400 per 12,000 units	18,800 per 12,000 units	2012/02/28	200,000,000	2011/08/18
66137	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 A	0.250	19,200 per 11,000 units	19,600 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66138	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 B	0.250	18,800 per 11,000 units	19,200 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66139	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 C	0.250	18,400 per 11,000 units	18,800 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66140	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 D	0.250	18,000 per 11,000 units	18,400 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66141	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 E	0.250	17,600 per 11,000 units	18,000 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66142	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 F	0.250	20,400 per 11,000 units	20,000 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66143	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 G	0.250	20,800 per 11,000 units	20,400 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66144	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 H	0.250	21,200 per 11,000 units	20,800 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66145	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 I	0.250	21,600 per 11,000 units	21,200 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66146	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 J	0.250	22,000 per 11,000 units	21,600 per 11,000 units	2012/02/28	150,000,000	2011/08/18
66186	RB of Scotland N.V.	Anhui Conch R Bull CBBC Jun 2012 A	0.250	23.70 per 100 units	26.50 per 100 units	2012/06/29	80,000,000	2011/08/18
66188	RB of Scotland N.V.	Ping An R Bull CBBC Jun 2012 A	0.250	44 per 100 units	50 per 100 units	2012/06/29	80,000,000	2011/08/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66205	Credit Suisse AG	HSI R Bear CBBC Nov 2011 C	0.250	20,000 per 10,000 units	19,700 per 10,000 units	2011/11/29	300,000,000	2011/08/19
66203	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 P	0.320	16,522 per 16,000 units	17,022 per 16,000 units	2011/12/29	150,000,000	2011/08/19
66204	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 Q	0.250	17,722 per 16,000 units	18,222 per 16,000 units	2011/12/29	150,000,000	2011/08/19
66201	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 N	0.290	22,022 per 16,000 units	21,522 per 16,000 units	2011/12/29	150,000,000	2011/08/19
66202	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 O	0.250	21,522 per 16,000 units	21,022 per 16,000 units	2011/12/29	150,000,000	2011/08/19
66195	HK Bank	China Life R Bull CBBC Jul 2012 A	0.250	17.68 per 100 units	19.68 per 100 units	2012/07/18	80,000,000	2011/08/19
66193	HK Bank	HKEx R Bull CBBC May 2012 A	0.250	113.88 per 100 units	119.88 per 100 units	2012/05/18	80,000,000	2011/08/19
66199	HK Bank	HSI R Bull CBBC Jun 2012 B	0.250	18,088 per 15,000 units	18,488 per 15,000 units	2012/06/28	100,000,000	2011/08/19
66200	HK Bank	HSI R Bull CBBC May 2013 A	0.250	14,600 per 24,000 units	15,000 per 24,000 units	2013/05/30	100,000,000	2011/08/19
66191	HK Bank	Hutchison R Bull CBBC Jun 2012 A	0.250	63.88 per 100 units	69.88 per 100 units	2012/06/18	80,000,000	2011/08/19
66194	HK Bank	ICBC R Bull CBBC Jun 2012 C	0.250	3.860	4.260	2012/06/28	80,000,000	2011/08/19
66192	HK Bank	SHK Ppt R Bull CBBC Apr 2012 B	0.250	88.88 per 100 units	94.88 per 100 units	2012/04/18	80,000,000	2011/08/19
66189	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12C	0.410	15,100 per 15,000 units	15,500 per 15,000 units	2012/04/27	300,000,000	2011/08/19
66190	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12D	0.370	15,600 per 15,000 units	16,000 per 15,000 units	2012/04/27	300,000,000	2011/08/19
65838*	UBS AG	HSI R Bull CBBC Feb 2012 F	0.078	19,400 per 12,000 units	19,600 per 12,000 units	2012/02/28	300,000,000	2011/08/19
66219	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 A	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66220	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 G	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66221	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66222	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 I	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66215	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 X	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66216	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Y	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66218	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Z	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/04/27	200,000,000	2011/08/22
66231	Credit Suisse AG	HSI R Bull CBBC Jan 2012 A	0.250	19,900 per 13,000 units	20,200 per 13,000 units	2012/01/30	300,000,000	2011/08/22
66228	Credit Suisse AG	HSI R Bull CBBC Jan 2012 Y	0.250	19,600 per 10,000 units	19,900 per 10,000 units	2012/01/30	300,000,000	2011/08/22
66227	Credit Suisse AG	HSI R Bear CBBC Nov 2011 A	0.250	20,500 per 13,000 units	20,200 per 13,000 units	2011/11/29	300,000,000	2011/08/22
66226	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 C	0.250	18,722 per 16,000 units	19,222 per 16,000 units	2011/11/29	150,000,000	2011/08/22
66223	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 R	0.250	22,522 per 16,000 units	22,022 per 16,000 units	2011/12/29	150,000,000	2011/08/22
66210	HK Bank	CC Bank R Bull CBBC Aug 2012 A	0.250	4.480	4.880	2012/08/16	80,000,000	2011/08/22
66209	HK Bank	CNOOC R Bull CBBC Jun 2012 C	0.250	11.880	12.880	2012/06/28	80,000,000	2011/08/22
66214	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12C	0.250	19,400 per 10,000 units	19,700 per 10,000 units	2012/01/30	300,000,000	2011/08/22
66212	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Y	0.250	19,000 per 12,000 units	19,300 per 12,000 units	2012/01/30	300,000,000	2011/08/22
66213	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Z	0.250	19,200 per 10,000 units	19,500 per 10,000 units	2012/01/30	300,000,000	2011/08/22
65693*	UBS AG	HSI R Bear CBBC Jan 2012 Q	0.076	21,100 per 12,000 units	20,900 per 12,000 units	2012/01/30	300,000,000	2011/08/22
66238	Credit Suisse AG	AIA R Bear CBBC Dec 2011 C	0.470	31.000	30.000	2011/12/19	100,000,000	2011/08/23
66239	Credit Suisse AG	HSI R Bull CBBC Jan 2012 G	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/08/23
66234	Credit Suisse AG	HSI R Bull CBBC Feb 2012 X	0.250	19,150 per 12,000 units	19,350 per 12,000 units	2012/02/28	300,000,000	2011/08/23
66235	Credit Suisse AG	HSI R Bull CBBC Feb 2012 Y	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/02/28	300,000,000	2011/08/23
66236	Credit Suisse AG	HSI R Bull CBBC Mar 2012 T	0.250	19,500 per 12,000 units	19,800 per 12,000 units	2012/03/29	300,000,000	2011/08/23
66232	Credit Suisse AG	HSI R Bear CBBC Nov 2011 B	0.250	20,600 per 10,000 units	20,300 per 10,000 units	2011/11/29	300,000,000	2011/08/23
66237	Credit Suisse AG	TCH R Bull CBBC Dec 2011 D	0.250	172 per 100 units	180 per 100 units	2011/12/19	100,000,000	2011/08/23
66017*	UBS AG	HSI R Bull CBBC Nov 2011 X	0.099	19,300 per 10,000 units	19,500 per 10,000 units	2011/11/29	300,000,000	2011/08/23
66019*	UBS AG	HSI R Bull CBBC Jan 2012 D	0.057	19,600 per 13,000 units	19,900 per 13,000 units	2012/01/30	300,000,000	2011/08/23

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66249	Credit Suisse AG	HSI R Bull CBBC Jan 2012 E	0.250	19,750 per 12,000 units	20,050 per 12,000 units	2012/01/30	300,000,000	2011/08/24
66251	Credit Suisse AG	HSI R Bull CBBC Feb 2012 H	0.250	20,100 per 12,000 units	20,400 per 12,000 units	2012/02/28	300,000,000	2011/08/24
66250	Credit Suisse AG	HSI R Bull CBBC Feb 2012 Z	0.250	19,150 per 10,000 units	19,450 per 10,000 units	2012/02/28	300,000,000	2011/08/24
66255	Credit Suisse AG	HSI R Bull CBBC May 2012 D	0.370	15,900 per 12,000 units	16,200 per 12,000 units	2012/05/30	300,000,000	2011/08/24
66256	Credit Suisse AG	HSI R Bull CBBC May 2012 E	0.250	18,900 per 38,000 units	19,300 per 38,000 units	2012/05/30	300,000,000	2011/08/24
66244	Credit Suisse AG	HSI R Bear CBBC Dec 2011 C	0.250	20,700 per 12,000 units	20,400 per 12,000 units	2011/12/29	300,000,000	2011/08/24
66245	Credit Suisse AG	HSI R Bear CBBC Dec 2011 H	0.290	23,200 per 10,000 units	22,900 per 10,000 units	2011/12/29	300,000,000	2011/08/24
66246	Credit Suisse AG	HSI R Bear CBBC Dec 2011 K	0.250	23,300 per 12,000 units	23,000 per 12,000 units	2011/12/29	300,000,000	2011/08/24
66247	Credit Suisse AG	HSI R Bear CBBC Jan 2012 Z	0.270	23,500 per 12,000 units	23,200 per 12,000 units	2012/01/30	300,000,000	2011/08/24
66241	HK Bank	Sinopec Corp R Bull CBBC Jul 2012 A	0.250	5.480	5.980	2012/07/18	80,000,000	2011/08/24
66243	HK Bank	HSI R Bull CBBC May 2012 D	0.250	18,488 per 15,000 units	18,888 per 15,000 units	2012/05/30	100,000,000	2011/08/24
66242	HK Bank	PetCh R Bull CBBC Jun 2012 A	0.250	8.380	8.980	2012/06/28	80,000,000	2011/08/24
66309	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 E	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/03/29	200,000,000	2011/08/25
66310	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 F	0.250	20,500 per 12,000 units	20,300 per 12,000 units	2012/03/29	200,000,000	2011/08/25
66311	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 G	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2012/03/29	200,000,000	2011/08/25
66312	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 H	0.250	20,700 per 12,000 units	20,500 per 12,000 units	2012/03/29	200,000,000	2011/08/25
66308	Credit Suisse AG	HSI R Bull CBBC Feb 2012 D	0.250	19,700 per 12,000 units	20,000 per 12,000 units	2012/02/28	300,000,000	2011/08/25
66307	Credit Suisse AG	HSI R Bear CBBC Nov 2011 P	0.250	20,400 per 10,000 units	20,100 per 10,000 units	2011/11/29	300,000,000	2011/08/25
66306	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Q	0.250	20,800 per 10,000 units	20,500 per 10,000 units	2011/11/29	300,000,000	2011/08/25
66299	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 F	0.290	19,122 per 11,000 units	19,422 per 11,000 units	2011/11/29	150,000,000	2011/08/25
66301	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 I	0.250	19,522 per 11,000 units	19,822 per 11,000 units	2011/11/29	150,000,000	2011/08/25
66305	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 J	0.250	20,822 per 11,000 units	20,522 per 11,000 units	2011/11/29	150,000,000	2011/08/25
66302	Deutsche Bank AG	HSI R Bear CBBC Nov 2011 M	0.270	21,022 per 11,000 units	20,722 per 11,000 units	2011/11/29	150,000,000	2011/08/25
66260	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 D	0.265	18,500 per 12,000 units	19,000 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66263	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 E	0.260	19,000 per 12,000 units	19,400 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66264	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 F	0.255	19,300 per 12,000 units	19,700 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66265	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Feb 2012 G	0.250	19,600 per 12,000 units	20,000 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66266	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Feb 2012 H	0.250	21,500 per 12,000 units	21,200 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66267	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Feb 2012 I	0.255	21,900 per 12,000 units	21,600 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66268	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Feb 2012 J	0.260	22,200 per 12,000 units	21,900 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66269	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Feb 2012 K	0.265	22,600 per 12,000 units	22,300 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66270	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Feb 2012 L	0.270	22,900 per 12,000 units	22,600 per 12,000 units	2012/02/28	100,000,000	2011/08/25
66273	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Mar12 A	0.250	9.600	10.000	2012/03/26	150,000,000	2011/08/25
66274	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Mar12 B	0.250	10.100	10.500	2012/03/26	150,000,000	2011/08/25
66275	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Mar12 C	0.250	10.600	11.000	2012/03/26	150,000,000	2011/08/25
66276	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Mar12D	0.250	13.400	13.000	2012/03/26	150,000,000	2011/08/25
66278	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Mar12E	0.250	13.900	13.500	2012/03/26	150,000,000	2011/08/25
66293	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 A	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/01/30	300,000,000	2011/08/25
66294	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 B	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/01/30	300,000,000	2011/08/25
66295	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12 D	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/01/30	300,000,000	2011/08/25



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66296	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb12 K	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2012/02/28	300,000,000	2011/08/25
66298	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb12 L	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2012/02/28	300,000,000	2011/08/25
66289	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Mar12 A	0.250	56 per 100 units	60 per 100 units	2012/03/26	150,000,000	2011/08/25
66291	SGA Societe Generale Acceptance N.V.	HWL R Bull CBBC Mar12 B	0.250	61 per 100 units	65 per 100 units	2012/03/26	150,000,000	2011/08/25
66333	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 I	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66337	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 J	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66338	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 K	0.250	19,900 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66339	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 L	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66340	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 M	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66343	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 N	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66344	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 O	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/03/29	200,000,000	2011/08/26
66323	Credit Suisse AG	A50 China R Bull CBBC Jan 2012 A	0.250	10.200	10.500	2012/01/16	100,000,000	2011/08/26
66322	Credit Suisse AG	HKEs R Bull CBBC Jan 2012 B	0.250	132 per 100 units	138 per 100 units	2012/01/16	100,000,000	2011/08/26
66321	Credit Suisse AG	HKEs R Bear CBBC Jan 2012 A	0.250	166 per 100 units	160 per 100 units	2012/01/16	100,000,000	2011/08/26
66320	Credit Suisse AG	HSI R Bull CBBC Jan 2012 F	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/01/30	300,000,000	2011/08/26
66319	Credit Suisse AG	HSI R Bull CBBC Feb 2012 G	0.250	19,200 per 10,000 units	19,500 per 10,000 units	2012/02/28	300,000,000	2011/08/26
66328	Credit Suisse AG	HSI R Bear CBBC Nov 2011 Y	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2011/11/29	300,000,000	2011/08/26
66317	Credit Suisse AG	HSI R Bear CBBC Dec 2011 B	0.250	20,100 per 12,000 units	19,800 per 12,000 units	2011/12/29	300,000,000	2011/08/26
66327	Credit Suisse AG	HSI R Bear CBBC Dec 2011 N	0.250	19,900 per 12,000 units	19,600 per 12,000 units	2011/12/29	300,000,000	2011/08/26
66316	Credit Suisse AG	HSI R Bear CBBC Dec 2011 P	0.250	20,300 per 12,000 units	20,000 per 12,000 units	2011/12/29	300,000,000	2011/08/26
66324	Credit Suisse AG	TCH R Bull CBBC Jan 2012 A	0.250	152 per 100 units	160 per 100 units	2012/01/16	100,000,000	2011/08/26
66315	Deutsche Bank AG	HSI R Bull CBBC Nov 2011 N	0.250	18,122 per 11,000 units	18,422 per 11,000 units	2011/11/29	150,000,000	2011/08/26
66314	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 S	0.250	20,622 per 11,000 units	20,322 per 11,000 units	2011/12/29	150,000,000	2011/08/26
66329	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 V	0.250	20,400 per 8,000 units	20,100 per 8,000 units	2011/11/29	108,000,000	2011/08/26
66330	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Nov 2011 W	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2011/11/29	108,000,000	2011/08/26
66331	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 Q	0.250	20,200 per 15,000 units	19,800 per 15,000 units	2011/12/29	158,000,000	2011/08/26
66332	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Dec 2011 R	0.250	21,000 per 12,000 units	20,600 per 12,000 units	2011/12/29	128,000,000	2011/08/26
66313	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12M	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/02/28	300,000,000	2011/08/26
66325	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12N	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/02/28	300,000,000	2011/08/26
66326	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12O	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/02/28	300,000,000	2011/08/26
66363	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 A	0.250	16,800 per 12,000 units	17,000 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66364	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 C	0.250	17,100 per 12,000 units	17,300 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66365	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 D	0.250	17,200 per 12,000 units	17,400 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66366	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 E	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66362	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 F	0.250	16,300 per 12,000 units	16,500 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66367	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 G	0.250	17,500 per 12,000 units	17,700 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66368	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66359	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 J	0.290	15,800 per 12,000 units	16,000 per 12,000 units	2012/04/27	200,000,000	2011/08/29
66353	Credit Suisse AG	HKEs R Bear CBBC Jan 2012 C	0.250	156 per 100 units	150 per 100 units	2012/01/16	100,000,000	2011/08/29
66351	Credit Suisse AG	HSI R Bull CBBC Feb 2012 A	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2012/02/28	300,000,000	2011/08/29
66346	Credit Suisse AG	HSI R Bear CBBC Nov 2011 V	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2011/11/29	300,000,000	2011/08/29
66350	Credit Suisse AG	HSI R Bear CBBC Dec 2011 A	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2011/12/29	300,000,000	2011/08/29
66355	Credit Suisse AG	HSI R Bear CBBC Dec 2011 J	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2011/12/29	300,000,000	2011/08/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66354	Credit Suisse AG	TCH R Bull CBBC Jan 2012 C	0.250	147 per 100 units	155 per 100 units	2012/01/16	100,000,000	2011/08/29
66352	Credit Suisse AG	TCH R Bear CBBC Jan 2012 B	0.250	188 per 100 units	180 per 100 units	2012/01/16	100,000,000	2011/08/29
66410	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 P	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/08/30
66420	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Q	0.250	19,500 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/08/30
66423	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 R	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/08/30
66406	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 I	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/04/27	200,000,000	2011/08/30
66407	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 X	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/04/27	200,000,000	2011/08/30
66408	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Y	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/04/27	200,000,000	2011/08/30
66409	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Z	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/04/27	200,000,000	2011/08/30
66398	Credit Suisse AG	HSI R Bull CBBC Jan 2012 H	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/01/30	300,000,000	2011/08/30
66403	Credit Suisse AG	HSI R Bull CBBC Jan 2012 K	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/01/30	300,000,000	2011/08/30
66404	Credit Suisse AG	HSI R Bull CBBC Feb 2012 B	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/02/28	300,000,000	2011/08/30
66399	Credit Suisse AG	HSI R Bull CBBC Mar 2012 U	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66402	Credit Suisse AG	HSI R Bear CBBC Dec 2011 U	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2011/12/29	300,000,000	2011/08/30
66401	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 U	0.250	18,722 per 11,000 units	19,022 per 11,000 units	2011/12/29	150,000,000	2011/08/30
66400	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 T	0.250	20,422 per 11,000 units	20,122 per 11,000 units	2011/12/29	150,000,000	2011/08/30
66369	CC Rabobank B.A.	HSI R Bull CBBC Feb 2012 K	0.250	18,800 per 11,000 units	19,200 per 11,000 units	2012/02/28	150,000,000	2011/08/30
66370	CC Rabobank B.A.	HSI R Bear CBBC Feb 2012 L	0.250	20,400 per 11,000 units	20,000 per 11,000 units	2012/02/28	150,000,000	2011/08/30
66386	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12E	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/01/30	300,000,000	2011/08/30
66387	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12F	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/01/30	300,000,000	2011/08/30
66388	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12G	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/01/30	300,000,000	2011/08/30
66371	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12M	0.295	17,500 per 10,000 units	17,700 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66372	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12N	0.270	17,700 per 10,000 units	17,900 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66373	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12O	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/08/30
66375	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12P	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66382	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Q	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66383	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12R	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/08/30
66384	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12S	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/03/29	300,000,000	2011/08/30
66389	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12P	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/02/28	300,000,000	2011/08/30
66390	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12Q	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/02/28	300,000,000	2011/08/30
66391	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12R	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/02/28	300,000,000	2011/08/30
66392	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12S	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/02/28	300,000,000	2011/08/30
66393	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12T	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2012/02/28	300,000,000	2011/08/30
66394	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12U	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2012/02/28	300,000,000	2011/08/30
66395	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12V	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2012/02/28	300,000,000	2011/08/30
66396	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12W	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2012/02/28	300,000,000	2011/08/30
66397	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12X	0.250	21,200 per 12,000 units	21,000 per 12,000 units	2012/02/28	300,000,000	2011/08/30
65984 <sup>4</sup>	UBS AG	HSI R Bear CBBC Mar 2012 G	0.105	20,800 per 12,000 units	20,600 per 12,000 units	2012/03/29	300,000,000	2011/08/30
66437	Credit Suisse AG	HSBC R Bull CBBC Jan 2012 A	0.250	57.38 per 100 units	60.38 per 100 units	2012/01/16	100,000,000	2011/08/31
66438	Credit Suisse AG	HSI R Bull CBBC Mar 2012 V	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/08/31
66425	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12H	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/01/30	300,000,000	2011/08/31
66427	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12I	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/01/30	300,000,000	2011/08/31
66428	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12P	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/01/30	300,000,000	2011/08/31

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66429	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Q	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/01/30	300,000,000	2011/08/31
66433	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12G	0.285	21,600 per 10,000 units	21,400 per 10,000 units	2012/02/28	300,000,000	2011/08/31
66424	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12Y	0.260	21,800 per 12,000 units	21,600 per 12,000 units	2012/02/28	300,000,000	2011/08/31
66430	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12Z	0.255	21,400 per 10,000 units	21,200 per 10,000 units	2012/02/28	300,000,000	2011/08/31
66439	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 V	0.250	18,922 per 11,000 units	19,222 per 11,000 units	2011/12/29	150,000,000	2011/09/01
66441	Credit Suisse AG	HSI R Bull CBBC Mar 2012 W	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/03/29	300,000,000	2011/09/02
66440	Credit Suisse AG	HSI R Bear CBBC Dec 2011 O	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2011/12/29	300,000,000	2011/09/02
66451	Credit Suisse AG	China Mobile R Bull CBBC Dec 2011 B	0.250	67 per 100 units	70 per 100 units	2011/12/19	100,000,000	2011/09/05
66458	Credit Suisse AG	China Mobile R Bear CBBC Dec 2011 C	0.250	86 per 100 units	83 per 100 units	2011/12/19	100,000,000	2011/09/05
66456	Credit Suisse AG	China Life R Bull CBBC Dec 2011 A	0.360	15.720	16.720	2011/12/19	100,000,000	2011/09/05
66460	Credit Suisse AG	China Life R Bear CBBC Dec 2011 B	0.560	24.650	23.650	2011/12/19	100,000,000	2011/09/05
66462	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Q	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2011/12/29	300,000,000	2011/09/05
66448	Credit Suisse AG	Hutchison R Bull CBBC Dec 2011 D	0.250	65.35 per 100 units	69.35 per 100 units	2011/12/19	100,000,000	2011/09/05
66457	Credit Suisse AG	Hutchison R Bear CBBC Dec 2011 E	0.250	83.40 per 100 units	79.40 per 100 units	2011/12/19	100,000,000	2011/09/05
66461	Credit Suisse AG	TCH R Bull CBBC Jan 2012 D	0.250	162 per 100 units	170 per 100 units	2012/01/16	100,000,000	2011/09/05
66463	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 I	0.250	19,100 per 10,000 units	19,400 per 10,000 units	2012/01/30	128,000,000	2011/09/05
66442	Macquarie Bank Ltd.	HSI R Bear CBBC Mar 2012 A	0.251	20,788 per 9,000 units	20,388 per 9,000 units	2012/03/29	388,000,000	2011/09/05
66445	Macquarie Bank Ltd.	HSI R Bear CBBC Mar 2012 B	0.251	21,200 per 8,000 units	20,800 per 8,000 units	2012/03/29	388,000,000	2011/09/05
66446	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12H	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/02/28	300,000,000	2011/09/05
66447	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12I	0.330	22,000 per 10,000 units	21,800 per 10,000 units	2012/02/28	300,000,000	2011/09/05
65710*	UBS AG	HSI R Bear CBBC Nov 2011 P	0.081	21,200 per 10,000 units	21,000 per 10,000 units	2011/11/29	300,000,000	2011/09/05
66482	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 S	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/09/06
66483	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 T	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/03/29	200,000,000	2011/09/06
66484	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 U	0.250	19,800 per 12,000 units	20,000 per 12,000 units	2012/03/29	200,000,000	2011/09/06
66477	Credit Suisse AG	HSI R Bull CBBC Jan 2012 I	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/09/06
66478	Credit Suisse AG	HSI R Bull CBBC Feb 2012 C	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/02/28	300,000,000	2011/09/06
66481	Credit Suisse AG	HSI R Bull CBBC Mar 2012 X	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/03/29	300,000,000	2011/09/06
66474	Credit Suisse AG	HSI R Bear CBBC Dec 2011 D	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2011/12/29	300,000,000	2011/09/06
66473	Credit Suisse AG	HSI R Bear CBBC Dec 2011 S	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2011/12/29	300,000,000	2011/09/06
66465	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 W	0.250	19,322 per 11,000 units	19,622 per 11,000 units	2011/12/29	150,000,000	2011/09/06
66466	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 X	0.280	21,622 per 11,000 units	21,322 per 11,000 units	2011/12/29	150,000,000	2011/09/06
66467	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 Y	0.250	21,222 per 11,000 units	20,922 per 11,000 units	2011/12/29	150,000,000	2011/09/06
66468	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12R	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/01/30	300,000,000	2011/09/06
66469	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12S	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/01/30	300,000,000	2011/09/06
66470	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12U	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2012/01/30	300,000,000	2011/09/06
66471	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12V	0.250	20,000 per 12,000 units	20,200 per 12,000 units	2012/01/30	300,000,000	2011/09/06
66472	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan12W	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2012/01/30	300,000,000	2011/09/06
66464	SGA Societe Generale Acceptance N.V.	TCH R Bull CBBC Mar12A	0.280	152 per 100 units	160 per 100 units	2012/03/26	100,000,000	2011/09/06
65336*	HK Bank	HSI R Bear CBBC Feb 2012 C	0.184	23,088 per 15,000 units	22,888 per 15,000 units	2012/02/28	150,000,000	2011/09/06
66499	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 V	0.250	19,900 per 12,000 units	20,100 per 12,000 units	2012/03/29	200,000,000	2011/09/07
66500	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 W	0.250	20,000 per 12,000 units	20,200 per 12,000 units	2012/03/29	200,000,000	2011/09/07
66494	Credit Suisse AG	CNOOC R Bull CBBC Jan 2012 A	0.300	12.440	13.240	2012/01/16	100,000,000	2011/09/07

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66498	Credit Suisse AG	HSI R Bull CBBC Jan 2012 J	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2012/01/30	300,000,000	2011/09/07
66495	Credit Suisse AG	HSI R Bull CBBC Feb 2012 E	0.250	19,800 per 12,000 units	20,000 per 12,000 units	2012/02/28	300,000,000	2011/09/07
66496	Credit Suisse AG	HSI R Bull CBBC Mar 2012 Y	0.250	20,000 per 12,000 units	20,200 per 12,000 units	2012/03/29	300,000,000	2011/09/07
66497	Credit Suisse AG	HSI R Bear CBBC Dec 2011 G	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2011/12/29	300,000,000	2011/09/07
66491	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 Z	0.250	19,522 per 11,000 units	19,822 per 11,000 units	2011/12/29	150,000,000	2011/09/07
66493	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 E	0.250	23,022 per 16,000 units	22,522 per 16,000 units	2011/12/29	150,000,000	2011/09/07
66490	HK Bank	ABC R Bull CBBC Aug 2012 A	0.250	3.180	3.380	2012/08/28	80,000,000	2011/09/07
66489	HK Bank	Hutchison R Bull CBBC Jun 2012 B	0.250	62.88 per 100 units	66.88 per 100 units	2012/06/28	80,000,000	2011/09/07
66501	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 E	0.250	19,500 per 15,000 units	19,700 per 15,000 units	2012/02/28	188,000,000	2011/09/07
66486	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 A	0.250	19,200 per 12,000 units	19,600 per 12,000 units	2012/03/29	150,000,000	2011/09/07
66488	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 B	0.250	19,600 per 12,000 units	20,000 per 12,000 units	2012/03/29	150,000,000	2011/09/07
66485	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12J	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2012/02/28	300,000,000	2011/09/07
66012 <sup>†</sup>	UBS AG	HSI R Bull CBBC Dec 2011 K	0.113	18,800 per 12,000 units	19,000 per 12,000 units	2011/12/29	300,000,000	2011/09/07
66523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 X	0.250	20,100 per 12,000 units	20,300 per 12,000 units	2012/03/29	200,000,000	2011/09/08
66524	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Y	0.250	20,200 per 12,000 units	20,400 per 12,000 units	2012/03/29	200,000,000	2011/09/08
66525	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Z	0.250	20,300 per 12,000 units	20,500 per 12,000 units	2012/03/29	200,000,000	2011/09/08
66522	Credit Suisse AG	AIA R Bull CBBC Jan 2012 A	0.350	24.000	25.000	2012/01/16	100,000,000	2011/09/08
66514	Credit Suisse AG	HSI R Bull CBBC Jan 2012 L	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2012/01/30	300,000,000	2011/09/08
66515	Credit Suisse AG	HSI R Bull CBBC Mar 2012 Z	0.250	20,400 per 12,000 units	20,600 per 12,000 units	2012/03/29	300,000,000	2011/09/08
66520	Credit Suisse AG	HSI R Bull CBBC Apr 2012 M	0.250	20,200 per 12,000 units	20,400 per 12,000 units	2012/04/27	300,000,000	2011/09/08
66512	Credit Suisse AG	HSI R Bear CBBC Dec 2011 V	0.250	20,800 per 12,000 units	20,600 per 12,000 units	2011/12/29	300,000,000	2011/09/08
66513	Credit Suisse AG	HSI R Bear CBBC Dec 2011 X	0.250	21,000 per 12,000 units	20,800 per 12,000 units	2011/12/29	300,000,000	2011/09/08
66521	Credit Suisse AG	TCH R Bull CBBC Jan 2012 E	0.250	172 per 100 units	180 per 100 units	2012/01/16	100,000,000	2011/09/08
66507	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12B	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2012/01/30	300,000,000	2011/09/08
66503	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12C	0.250	20,300 per 12,000 units	20,500 per 12,000 units	2012/01/30	300,000,000	2011/09/08
66502	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12X	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2012/01/30	300,000,000	2011/09/08
66504	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Y	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2012/01/30	300,000,000	2011/09/08
66506	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Z	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2012/01/30	300,000,000	2011/09/08
66528	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12K	0.250	20,900 per 12,000 units	20,700 per 12,000 units	2012/02/28	300,000,000	2011/09/08
66529	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12M	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2012/02/28	300,000,000	2011/09/08
66508	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12N	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2012/02/28	300,000,000	2011/09/08
66526	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12O	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2012/02/28	300,000,000	2011/09/08
66147 <sup>†</sup>	Macquarie Bank Ltd.	HSI R Bull CBBC Feb 2012 B	0.145	18,400 per 12,000 units	18,800 per 12,000 units	2012/02/28	200,000,000	2011/09/08
66557	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 A	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66559	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 B	0.250	20,700 per 12,000 units	20,500 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66563	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 C	0.250	20,800 per 12,000 units	20,600 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66564	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 D	0.250	20,900 per 12,000 units	20,700 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66566	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 E	0.250	21,000 per 12,000 units	20,800 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66567	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 F	0.250	21,100 per 12,000 units	20,900 per 12,000 units	2012/01/30	200,000,000	2011/09/09
66550	Credit Suisse AG	HSI R Bear CBBC Dec 2011 B	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2011/12/29	300,000,000	2011/09/09
66549	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Z	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2011/12/29	300,000,000	2011/09/09
66556	Credit Suisse AG	HSI R Bear CBBC Jan 2012 M	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2012/01/30	300,000,000	2011/09/09
66541	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 C	0.250	19,100 per 15,000 units	19,500 per 15,000 units	2012/01/30	200,000,000	2011/09/09

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66543	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 D	0.250	18,500 per 15,000 units	19,000 per 15,000 units	2012/01/30	200,000,000	2011/09/09
66544	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 E	0.250	19,700 per 10,000 units	20,000 per 10,000 units	2012/01/30	200,000,000	2011/09/09
66546	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 F	0.250	19,900 per 15,000 units	20,200 per 15,000 units	2012/01/30	200,000,000	2011/09/09
66547	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 G	0.250	20,100 per 10,000 units	20,500 per 10,000 units	2012/01/30	200,000,000	2011/09/09
66568	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 J	0.250	20,700 per 10,000 units	20,400 per 10,000 units	2012/01/30	108,000,000	2011/09/09
66569	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 F	0.250	21,000 per 12,000 units	20,700 per 12,000 units	2012/02/28	128,000,000	2011/09/09
66530	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 A	0.250	19,400 per 12,000 units	19,800 per 12,000 units	2012/03/29	100,000,000	2011/09/09
66533	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 B	0.250	19,800 per 12,000 units	20,200 per 12,000 units	2012/03/29	100,000,000	2011/09/09
66551	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12P	0.250	20,600 per 12,000 units	20,400 per 12,000 units	2012/02/28	300,000,000	2011/09/09
66553	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12Q	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2012/02/28	300,000,000	2011/09/09
66575	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 G	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/01/30	200,000,000	2011/09/12
66576	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 H	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/01/30	200,000,000	2011/09/12
66577	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 I	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/01/30	200,000,000	2011/09/12
66600	Credit Suisse AG	ABC R Bull CBBC May 2012 A	0.250	3.050	3.300	2012/05/14	100,000,000	2011/09/12
66578	Credit Suisse AG	HSI R Bull CBBC Apr 2012 N	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/04/27	300,000,000	2011/09/12
66595	Credit Suisse AG	HSI R Bull CBBC Apr 2012 O	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/04/27	400,000,000	2011/09/12
66594	Credit Suisse AG	HSI R Bear CBBC Dec 2011 N	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2011/12/29	400,000,000	2011/09/12
66579	Credit Suisse AG	HSI R Bear CBBC Jan 2012 N	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2012/01/30	300,000,000	2011/09/12
66587	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 M	0.250	18,622 per 11,000 units	18,822 per 11,000 units	2011/12/29	150,000,000	2011/09/12
66584	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 F	0.280	20,522 per 11,000 units	20,322 per 11,000 units	2011/12/29	150,000,000	2011/09/12
66582	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 I	0.250	20,122 per 11,000 units	19,922 per 11,000 units	2011/12/29	150,000,000	2011/09/12
66585	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 L	0.250	20,722 per 16,000 units	20,522 per 16,000 units	2011/12/29	150,000,000	2011/09/12
66588	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 K	0.250	20,100 per 10,000 units	19,800 per 10,000 units	2012/01/30	108,000,000	2011/09/12
66591	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Mar 2012 B	0.250	20,400 per 15,000 units	20,100 per 15,000 units	2012/03/29	158,000,000	2011/09/12
66570	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12D	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/01/30	300,000,000	2011/09/12
66580	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12I	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/01/30	300,000,000	2011/09/12
66574	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12L	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2012/02/28	300,000,000	2011/09/12
66571	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12R	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/02/28	300,000,000	2011/09/12
66572	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12S	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/02/28	300,000,000	2011/09/12
66573	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12T	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/02/28	300,000,000	2011/09/12
66631	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 J	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/01/30	200,000,000	2011/09/14
66633	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 K	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/01/30	200,000,000	2011/09/14
66634	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 L	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/01/30	200,000,000	2011/09/14
66666	Credit Suisse AG	HSI R Bull CBBC Mar 2012 B	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/03/29	400,000,000	2011/09/14
66664	Credit Suisse AG	HSI R Bull CBBC Mar 2012 D	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/03/29	400,000,000	2011/09/14
66665	Credit Suisse AG	HSI R Bull CBBC Apr 2012 P	0.250	19,000 per 10,000 units	19,300 per 10,000 units	2012/04/27	400,000,000	2011/09/14
66657	Credit Suisse AG	HSI R Bear CBBC Dec 2011 A	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2011/12/29	400,000,000	2011/09/14
66661	Credit Suisse AG	HSI R Bear CBBC Jan 2012 O	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/01/30	400,000,000	2011/09/14
66658	Credit Suisse AG	HSI R Bear CBBC Jan 2012 W	0.250	20,100 per 12,000 units	19,800 per 12,000 units	2012/01/30	400,000,000	2011/09/14
66643	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 D	0.400	15,822 per 16,000 units	16,022 per 16,000 units	2011/12/29	150,000,000	2011/09/14
66644	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 G	0.310	17,822 per 11,000 units	18,022 per 11,000 units	2011/12/29	150,000,000	2011/09/14
66648	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 S	0.250	18,422 per 11,000 units	18,622 per 11,000 units	2011/12/29	150,000,000	2011/09/14
66637	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 B	0.250	19,722 per 16,000 units	19,522 per 16,000 units	2011/12/29	150,000,000	2011/09/14

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66638	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 C	0.270	19,922 per 11,000 units	19,722 per 11,000 units	2011/12/29	150,000,000	2011/09/14
66602	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 C	0.255	18,000 per 12,000 units	18,400 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66603	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 D	0.250	18,400 per 12,000 units	18,800 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66608	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 E	0.265	17,200 per 12,000 units	17,700 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66609	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 F	0.260	17,600 per 12,000 units	18,000 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66611	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 G	0.250	20,900 per 12,000 units	20,600 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66615	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 H	0.255	21,200 per 12,000 units	20,900 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66617	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 I	0.260	21,500 per 12,000 units	21,200 per 12,000 units	2012/03/29	100,000,000	2011/09/14
66618	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 C	0.250	17,200 per 12,000 units	17,600 per 12,000 units	2012/03/29	150,000,000	2011/09/14
66620	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 D	0.250	20,400 per 12,000 units	20,000 per 12,000 units	2012/03/29	150,000,000	2011/09/14
66621	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 E	0.250	20,800 per 12,000 units	20,400 per 12,000 units	2012/03/29	150,000,000	2011/09/14
66622	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 F	0.250	21,200 per 12,000 units	20,800 per 12,000 units	2012/03/29	150,000,000	2011/09/14
66668	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12F	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/01/30	300,000,000	2011/09/14
66629	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12G	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/01/30	300,000,000	2011/09/14
66625	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12P	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/01/30	300,000,000	2011/09/14
66627	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12Q	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/01/30	300,000,000	2011/09/14
66649	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12E	0.375	16,900 per 10,000 units	17,100 per 10,000 units	2012/04/27	300,000,000	2011/09/14
66650	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12F	0.300	17,000 per 12,000 units	17,200 per 12,000 units	2012/04/27	300,000,000	2011/09/14
66651	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12G	0.350	17,100 per 10,000 units	17,300 per 10,000 units	2012/04/27	300,000,000	2011/09/14
66652	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12H	0.335	17,200 per 10,000 units	17,400 per 10,000 units	2012/04/27	300,000,000	2011/09/14
66654	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12I	0.270	17,300 per 12,000 units	17,500 per 12,000 units	2012/04/27	300,000,000	2011/09/14
66655	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12A	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/02/28	300,000,000	2011/09/14
66656	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12B	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/02/28	300,000,000	2011/09/14
66630	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12U	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/02/28	300,000,000	2011/09/14
66678	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 A	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66686	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 B	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66690	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 C	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66696	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 D	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66697	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 E	0.250	19,500 per 12,000 units	19,700 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66703	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 F	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66704	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 G	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/05/30	200,000,000	2011/09/15
66672	Credit Suisse AG	HSI R Bull CBBC Feb 2012 F	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/02/28	400,000,000	2011/09/15
66673	Credit Suisse AG	HSI R Bull CBBC Mar 2012 C	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/03/29	400,000,000	2011/09/15
66675	Credit Suisse AG	HSI R Bull CBBC Apr 2012 Q	0.250	19,800 per 12,000 units	20,000 per 12,000 units	2012/04/27	400,000,000	2011/09/15
66671	Credit Suisse AG	HSI R Bear CBBC Dec 2011 J	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2011/12/29	400,000,000	2011/09/15
66677	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 T	0.250	19,422 per 11,000 units	19,622 per 11,000 units	2011/12/29	150,000,000	2011/09/15
66670	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12H	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/01/30	300,000,000	2011/09/15
66674	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12R	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/01/30	300,000,000	2011/09/15
66669	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12V	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/02/28	300,000,000	2011/09/15
66706	Credit Suisse AG	HSI R Bull CBBC May 2012 F	0.250	19,650 per 10,000 units	19,950 per 10,000 units	2012/05/30	400,000,000	2011/09/16
66705	Credit Suisse AG	HSI R Bear CBBC Dec 2011 P	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2011/12/29	400,000,000	2011/09/16
66709	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jan 12S	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/01/30	300,000,000	2011/09/16
66712	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Jan 2012 L	0.250	19,100 per 10,000 units	19,400 per 10,000 units	2012/01/30	128,000,000	2011/09/19

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66745	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 E	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/02/28	200,000,000	2011/09/20
66747	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 X	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/02/28	200,000,000	2011/09/20
66748	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 Y	0.250	19,900 per 12,000 units	19,700 per 12,000 units	2012/02/28	200,000,000	2011/09/20
66774	Credit Suisse AG	HSI R Bull CBBC Mar 2012 P	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	400,000,000	2011/09/20
66775	Credit Suisse AG	HSI R Bull CBBC Apr 2012 R	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/04/27	400,000,000	2011/09/20
66778	Credit Suisse AG	HSI R Bull CBBC Apr 2012 S	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/04/27	400,000,000	2011/09/20
66733	Credit Suisse AG	HSI R Bear CBBC Dec 2011 C	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2011/12/29	400,000,000	2011/09/20
66731	Credit Suisse AG	HSI R Bear CBBC Dec 2011 U	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2011/12/29	400,000,000	2011/09/20
66765	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Y	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2011/12/29	400,000,000	2011/09/20
66758	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 C	0.250	17,222 per 11,000 units	17,422 per 11,000 units	2012/01/30	150,000,000	2011/09/20
66752	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 A	0.270	19,522 per 11,000 units	19,322 per 11,000 units	2012/01/30	150,000,000	2011/09/20
66756	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 B	0.250	19,322 per 11,000 units	19,122 per 11,000 units	2012/01/30	150,000,000	2011/09/20
66714	HK Bank	China Mobile R Bull CBBC Jul 2012 A	0.250	65.88 per 100 units	68.88 per 100 units	2012/07/18	80,000,000	2011/09/20
66718	HK Bank	China Mobile R Bear CBBC Apr 2012 A	0.250	95.88 per 100 units	92.88 per 100 units	2012/04/18	80,000,000	2011/09/20
66724	HK Bank	Cheung Kong R Bull CBBC Jun 2012 C	0.250	83.88 per 100 units	88.88 per 100 units	2012/06/28	80,000,000	2011/09/20
66717	HK Bank	China Life R Bull CBBC Jul 2012 B	0.250	14.38 per 100 units	15.88 per 100 units	2012/07/16	80,000,000	2011/09/20
66720	HK Bank	China Life R Bear CBBC Jul 2012 C	0.250	25.38 per 100 units	23.88 per 100 units	2012/07/16	80,000,000	2011/09/20
66713	HK Bank	TCH R Bull CBBC Jun 2012 A	0.290	156.88 per 100 units	164.88 per 100 units	2012/06/28	80,000,000	2011/09/20
66763	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 M	0.250	19,600 per 8,000 units	19,200 per 8,000 units	2012/01/30	108,000,000	2011/09/20
66764	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 G	0.250	19,900 per 12,000 units	19,500 per 12,000 units	2012/02/28	128,000,000	2011/09/20
66725	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 G	0.250	16,800 per 11,000 units	17,200 per 11,000 units	2012/03/29	150,000,000	2011/09/20
66727	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 H	0.250	20,000 per 11,000 units	19,600 per 11,000 units	2012/03/29	150,000,000	2011/09/20
66738	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12T	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/09/20
66734	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12J	0.395	16,500 per 10,000 units	16,700 per 10,000 units	2012/04/27	300,000,000	2011/09/20
66735	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12K	0.310	16,700 per 12,000 units	16,900 per 12,000 units	2012/04/27	300,000,000	2011/09/20
66784	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12L	0.435	16,000 per 10,000 units	16,200 per 10,000 units	2012/04/27	300,000,000	2011/09/20
66785	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12M	0.410	16,200 per 10,000 units	16,400 per 10,000 units	2012/04/27	300,000,000	2011/09/20
66787	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12B	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/01/30	300,000,000	2011/09/20
66739	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12U	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2012/01/30	300,000,000	2011/09/20
66744	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12V	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/01/30	300,000,000	2011/09/20
66786	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12W	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/01/30	300,000,000	2011/09/20
66821	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 H	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/05/30	200,000,000	2011/09/21
66822	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 I	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/05/30	200,000,000	2011/09/21
66823	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 J	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/05/30	200,000,000	2011/09/21
66818	Credit Suisse AG	HSI R Bull CBBC Apr 2012 T	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/04/27	400,000,000	2011/09/21
66820	Credit Suisse AG	HSI R Bull CBBC Apr 2012 U	0.250	18,700 per 12,000 units	19,000 per 12,000 units	2012/04/27	400,000,000	2011/09/21
66810	Credit Suisse AG	HSI R Bear CBBC Dec 2011 O	0.250	19,000 per 12,000 units	18,800 per 12,000 units	2011/12/29	400,000,000	2011/09/21
66811	Credit Suisse AG	HSI R Bear CBBC Dec 2011 Q	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2011/12/29	400,000,000	2011/09/21
66817	Credit Suisse AG	HSI R Bear CBBC Jan 2012 C	0.250	19,900 per 12,000 units	19,600 per 12,000 units	2012/01/30	400,000,000	2011/09/21
66812	Credit Suisse AG	HSI R Bear CBBC Jan 2012 P	0.250	19,300 per 12,000 units	19,000 per 12,000 units	2012/01/30	400,000,000	2011/09/21
66809	Credit Suisse AG	TCH R Bear CBBC Feb 2012 A	0.250	198 per 100 units	190 per 100 units	2012/02/13	100,000,000	2011/09/21
66805	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 E	0.250	17,622 per 11,000 units	17,822 per 11,000 units	2012/01/30	150,000,000	2011/09/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66807	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 F	0.350	16,622 per 11,000 units	16,822 per 11,000 units	2012/01/30	150,000,000	2011/09/21
66800	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 D	0.250	19,122 per 11,000 units	18,922 per 11,000 units	2012/01/30	150,000,000	2011/09/21
66808	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2012 C	0.250	17,600 per 8,000 units	18,000 per 8,000 units	2012/03/29	300,000,000	2011/09/21
66788	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 J	0.260	16,300 per 12,000 units	16,700 per 12,000 units	2012/03/29	100,000,000	2011/09/21
66789	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 K	0.255	16,600 per 12,000 units	17,000 per 12,000 units	2012/03/29	100,000,000	2011/09/21
66790	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 L	0.250	16,900 per 12,000 units	17,300 per 12,000 units	2012/03/29	100,000,000	2011/09/21
66793	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 M	0.250	20,200 per 12,000 units	19,900 per 12,000 units	2012/03/29	100,000,000	2011/09/21
66798	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 N	0.255	20,600 per 12,000 units	20,300 per 12,000 units	2012/03/29	100,000,000	2011/09/21
66008*	UBS AG	HSI R Bull CBBC Apr 2012 G	0.105	18,400 per 10,000 units	18,600 per 10,000 units	2012/04/27	300,000,000	2011/09/21
66836	Credit Suisse AG	HSI R Bull CBBC Mar 2012 O	0.250	18,600 per 10,000 units	18,900 per 10,000 units	2012/03/29	400,000,000	2011/09/22
66832	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 K	0.250	18,500 per 15,000 units	18,800 per 15,000 units	2012/01/30	200,000,000	2011/09/22
66833	J P Morgan SP BV	HSI R Bull CBBC Jan 2012 L	0.250	17,800 per 10,000 units	18,200 per 10,000 units	2012/01/30	200,000,000	2011/09/22
66827	J P Morgan SP BV	HSI R Bear CBBC Jan 2012 H	0.250	20,800 per 15,000 units	20,500 per 15,000 units	2012/01/30	200,000,000	2011/09/22
66828	J P Morgan SP BV	HSI R Bear CBBC Jan 2012 I	0.250	20,300 per 15,000 units	20,000 per 15,000 units	2012/01/30	200,000,000	2011/09/22
66829	J P Morgan SP BV	HSI R Bear CBBC Jan 2012 J	0.250	20,000 per 10,000 units	19,500 per 10,000 units	2012/01/30	200,000,000	2011/09/22
66835	Macquarie Bank Ltd.	HSI R Bull CBBC Mar 2012 D	0.250	18,000 per 8,000 units	18,400 per 8,000 units	2012/03/29	300,000,000	2011/09/22
66824	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12U	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/09/22
66825	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12V	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/09/22
66826	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12W	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/03/29	300,000,000	2011/09/22
66878	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 K	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/05/30	200,000,000	2011/09/23
66879	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 L	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/05/30	200,000,000	2011/09/23
66880	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 M	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/05/30	200,000,000	2011/09/23
66881	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 N	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/05/30	200,000,000	2011/09/23
66872	Credit Suisse AG	HSI R Bull CBBC Mar 2012 Q	0.250	19,000 per 10,000 units	19,300 per 10,000 units	2012/03/29	400,000,000	2011/09/23
66874	Credit Suisse AG	HSI R Bull CBBC Mar 2012 T	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/03/29	400,000,000	2011/09/23
66875	Credit Suisse AG	HSI R Bull CBBC May 2012 G	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/05/30	400,000,000	2011/09/23
66870	Credit Suisse AG	HSI R Bear CBBC Jan 2012 D	0.250	19,800 per 10,000 units	19,500 per 10,000 units	2012/01/30	400,000,000	2011/09/23
66868	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 W	0.250	18,822 per 11,000 units	19,022 per 11,000 units	2011/12/29	150,000,000	2011/09/23
66869	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 H	0.270	18,622 per 11,000 units	18,822 per 11,000 units	2012/01/30	150,000,000	2011/09/23
66861	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 G	0.250	20,322 per 11,000 units	20,122 per 11,000 units	2012/01/30	150,000,000	2011/09/23
66840	HK Bank	CNOOC R Bull CBBC Apr 2012 A	0.250	11.180	11.880	2012/04/18	80,000,000	2011/09/23
66842	HK Bank	A50 R Bull CBBC Jul 2012 A	0.250	9.780	10.280	2012/07/18	80,000,000	2011/09/23
66850	HK Bank	A50 R Bull CBBC Aug 2012 B	0.250	9.380	9.880	2012/08/28	80,000,000	2011/09/23
66856	HK Bank	HSI R Bull CBBC Jun 2012 C	0.250	18,488 per 12,000 units	18,888 per 12,000 units	2012/06/28	100,000,000	2011/09/23
66857	HK Bank	HSI R Bear CBBC Jul 2012 B	0.250	21,088 per 15,000 units	20,688 per 15,000 units	2012/07/30	100,000,000	2011/09/23
66841	HK Bank	Hutchison R Bear CBBC Apr 2012 A	0.250	85.88 per 100 units	80.88 per 100 units	2012/04/18	80,000,000	2011/09/23
66839	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 I	0.250	18,400 per 12,000 units	18,800 per 12,000 units	2012/03/29	150,000,000	2011/09/23
66902	Credit Suisse AG	HSI R Bull CBBC Apr 2012 V	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/04/27	400,000,000	2011/09/26
66898	Macquarie Bank Ltd.	HSCEI R Bull CBBC Mar 2012 A	0.250	9,000 per 5,000 units	9,200 per 5,000 units	2012/03/29	200,000,000	2011/09/26
66899	Macquarie Bank Ltd.	HSCEI R Bear CBBC Mar 2012 B	0.250	10,400 per 5,000 units	10,200 per 5,000 units	2012/03/29	200,000,000	2011/09/26
66897	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12J	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/03/29	300,000,000	2011/09/26
66882	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12X	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/03/29	300,000,000	2011/09/26



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66888	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Y	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/09/26
66889	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Z	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/03/29	300,000,000	2011/09/26
66903	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12C	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/01/30	300,000,000	2011/09/26
66896	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12H	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/02/28	300,000,000	2011/09/26
66894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12W	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/02/28	300,000,000	2011/09/26
66909	Macquarie Bank Ltd.	HSI R Bear CBBC Mar 2012 E	0.250	19,600 per 8,000 units	19,200 per 8,000 units	2012/03/29	200,000,000	2011/09/27
66906	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 J	0.250	19,600 per 11,000 units	19,200 per 11,000 units	2012/03/29	150,000,000	2011/09/27
66945	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 A	0.250	19,300 per 12,000 units	19,100 per 12,000 units	2012/02/28	200,000,000	2011/09/28
66946	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 L	0.250	19,600 per 12,000 units	19,400 per 12,000 units	2012/02/28	200,000,000	2011/09/28
66949	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2012 W	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/02/28	200,000,000	2011/09/28
66927	Credit Suisse AG	HSI R Bull CBBC Apr 2012 W	0.250	18,400 per 10,000 units	18,700 per 10,000 units	2012/04/27	400,000,000	2011/09/28
66944	Credit Suisse AG	HSI R Bull CBBC Apr 2012 X	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/04/27	400,000,000	2011/09/28
66942	Credit Suisse AG	HSI R Bear CBBC Dec 2011 A	0.250	19,100 per 10,000 units	18,900 per 10,000 units	2011/12/29	400,000,000	2011/09/28
66933	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 U	0.250	17,022 per 11,000 units	17,222 per 11,000 units	2011/12/29	150,000,000	2011/09/28
66928	Deutsche Bank AG	HSI R Bull CBBC Dec 2011 Z	0.310	16,422 per 11,000 units	16,622 per 11,000 units	2011/12/29	150,000,000	2011/09/28
66937	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 A	0.250	19,222 per 16,000 units	19,022 per 16,000 units	2011/12/29	150,000,000	2011/09/28
66936	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 V	0.300	19,722 per 11,000 units	19,522 per 11,000 units	2011/12/29	150,000,000	2011/09/28
66951	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12X	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/01/30	300,000,000	2011/09/28
67075	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 O	0.250	15,300 per 12,000 units	15,500 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67076	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 P	0.250	15,900 per 12,000 units	16,100 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67077	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Q	0.250	16,000 per 12,000 units	16,200 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67079	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 R	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67084	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 S	0.250	16,200 per 12,000 units	16,400 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67086	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 T	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67087	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 U	0.250	16,500 per 12,000 units	16,700 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67088	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 V	0.250	16,600 per 12,000 units	16,800 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67091	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 W	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67097	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 X	0.250	16,900 per 12,000 units	17,100 per 12,000 units	2012/05/30	200,000,000	2011/09/29
67098	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 M	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/01/30	200,000,000	2011/09/29
67099	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 N	0.250	18,700 per 12,000 units	18,500 per 12,000 units	2012/01/30	200,000,000	2011/09/29
67100	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 O	0.250	18,900 per 12,000 units	18,700 per 12,000 units	2012/01/30	200,000,000	2011/09/29
67101	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2012 P	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/01/30	200,000,000	2011/09/29
67052	Credit Suisse AG	China Life R Bear CBBC Jan 2012 A	0.360	22.500	21.500	2012/01/16	100,000,000	2011/09/29
67042	Credit Suisse AG	A50 China R Bull CBBC Feb 2012 A	0.250	9.700	10.000	2012/02/13	100,000,000	2011/09/29
67054	Credit Suisse AG	A50 China R Bear CBBC Feb 2012 B	0.250	11.900	11.600	2012/02/13	100,000,000	2011/09/29
67021	Credit Suisse AG	HKEEx R Bull CBBC Feb 2012 A	0.250	114 per 100 units	120 per 100 units	2012/02/13	100,000,000	2011/09/29
67044	Credit Suisse AG	HKEEx R Bear CBBC Feb 2012 B	0.250	146 per 100 units	140 per 100 units	2012/02/13	100,000,000	2011/09/29
67179	Credit Suisse AG	HSI R Bull CBBC Feb 2012 J	0.250	15,700 per 12,000 units	16,000 per 12,000 units	2012/02/28	400,000,000	2011/09/29
67181	Credit Suisse AG	HSI R Bull CBBC Feb 2012 L	0.250	16,300 per 10,000 units	16,500 per 10,000 units	2012/02/28	400,000,000	2011/09/29
67182	Credit Suisse AG	HSI R Bull CBBC Feb 2012 M	0.250	17,600 per 10,000 units	17,900 per 10,000 units	2012/02/28	100,000,000	2011/09/29
67183	Credit Suisse AG	HSI R Bull CBBC Feb 2012 V	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/02/28	400,000,000	2011/09/29
67185	Credit Suisse AG	HSI R Bull CBBC Mar 2012 U	0.285	15,300 per 10,000 units	15,500 per 10,000 units	2012/03/29	400,000,000	2011/09/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67184	Credit Suisse AG	HSI R Bull CBBC Mar 2012 W	0.335	14,800 per 10,000 units	15,100 per 10,000 units	2012/03/29	400,000,000	2011/09/29
67186	Credit Suisse AG	HSI R Bull CBBC Mar 2012 X	0.250	15,700 per 10,000 units	15,900 per 10,000 units	2012/03/29	400,000,000	2011/09/29
67198	Credit Suisse AG	HSI R Bull CBBC Apr 2012 E	0.250	18,300 per 12,000 units	18,600 per 12,000 units	2012/04/27	400,000,000	2011/09/29
67195	Credit Suisse AG	HSI R Bull CBBC Apr 2012 M	0.250	15,500 per 12,000 units	15,800 per 12,000 units	2012/04/27	400,000,000	2011/09/29
67196	Credit Suisse AG	HSI R Bull CBBC Apr 2012 N	0.250	16,000 per 10,000 units	16,300 per 10,000 units	2012/04/27	400,000,000	2011/09/29
67197	Credit Suisse AG	HSI R Bull CBBC Apr 2012 O	0.250	17,800 per 10,000 units	18,100 per 10,000 units	2012/04/27	400,000,000	2011/09/29
67192	Credit Suisse AG	HSI R Bull CBBC Apr 2012 Y	0.285	14,700 per 12,000 units	15,000 per 12,000 units	2012/04/27	400,000,000	2011/09/29
67194	Credit Suisse AG	HSI R Bull CBBC Apr 2012 Z	0.255	15,100 per 12,000 units	15,400 per 12,000 units	2012/04/27	400,000,000	2011/09/29
67199	Credit Suisse AG	HSI R Bull CBBC May 2012 H	0.305	14,500 per 12,000 units	14,800 per 12,000 units	2012/05/30	400,000,000	2011/09/29
67200	Credit Suisse AG	HSI R Bull CBBC May 2012 I	0.315	15,000 per 10,000 units	15,300 per 10,000 units	2012/05/30	400,000,000	2011/09/29
67202	Credit Suisse AG	HSI R Bull CBBC May 2012 J	0.275	15,400 per 10,000 units	15,700 per 10,000 units	2012/05/30	400,000,000	2011/09/29
67208	Credit Suisse AG	HSI R Bull CBBC May 2012 K	0.250	16,500 per 12,000 units	16,800 per 12,000 units	2012/05/30	400,000,000	2011/09/29
67209	Credit Suisse AG	HSI R Bull CBBC May 2012 L	0.250	16,700 per 10,000 units	16,900 per 10,000 units	2012/05/30	400,000,000	2011/09/29
67210	Credit Suisse AG	HSI R Bull CBBC May 2012 M	0.250	17,200 per 12,000 units	17,400 per 12,000 units	2012/05/30	400,000,000	2011/09/29
67217	Credit Suisse AG	HSI R Bull CBBC Jun 2012 J	0.250	12,600 per 38,000 units	13,000 per 38,000 units	2012/06/28	400,000,000	2011/09/29
67218	Credit Suisse AG	HSI R Bull CBBC Jun 2012 K	0.415	14,000 per 10,000 units	14,300 per 10,000 units	2012/06/28	400,000,000	2011/09/29
67219	Credit Suisse AG	HSI R Bull CBBC Jun 2012 L	0.270	14,900 per 12,000 units	15,200 per 12,000 units	2012/06/28	400,000,000	2011/09/29
67224	Credit Suisse AG	HSI R Bull CBBC Jun 2012 M	0.250	15,300 per 12,000 units	15,600 per 12,000 units	2012/06/28	400,000,000	2011/09/29
67225	Credit Suisse AG	HSI R Bull CBBC Jul 2012 C	0.330	13,200 per 15,000 units	13,500 per 15,000 units	2012/07/30	400,000,000	2011/09/29
67239	Credit Suisse AG	HSI R Bull CBBC Jul 2012 D	0.370	13,700 per 12,000 units	14,000 per 12,000 units	2012/07/30	400,000,000	2011/09/29
67056	Credit Suisse AG	HSI R Bear CBBC Dec 2011 O	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2011/12/29	400,000,000	2011/09/29
67058	Credit Suisse AG	HSI R Bear CBBC Dec 2011 S	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2011/12/29	400,000,000	2011/09/29
67064	Credit Suisse AG	HSI R Bear CBBC Jan 2012 A	0.250	19,000 per 10,000 units	18,700 per 10,000 units	2012/01/30	400,000,000	2011/09/29
67178	Credit Suisse AG	HSI R Bear CBBC Jan 2012 B	0.250	18,118 per 10,000 units	17,918 per 10,000 units	2012/01/30	100,000,000	2011/09/29
67063	Credit Suisse AG	HSI R Bear CBBC Jan 2012 R	0.250	18,600 per 10,000 units	18,300 per 10,000 units	2012/01/30	400,000,000	2011/09/29
67070	Credit Suisse AG	HSI R Bear CBBC Feb 2012 I	0.250	19,200 per 12,000 units	19,000 per 12,000 units	2012/02/28	400,000,000	2011/09/29
67037	Credit Suisse AG	TCH R Bull CBBC Feb 2012 B	0.250	140 per 100 units	148 per 100 units	2012/02/13	100,000,000	2011/09/29
67045	Credit Suisse AG	TCH R Bear CBBC Feb 2012 C	0.250	178 per 100 units	170 per 100 units	2012/02/13	100,000,000	2011/09/29
67049	Credit Suisse AG	TCH R Bear CBBC Feb 2012 D	0.250	188 per 100 units	180 per 100 units	2012/02/13	100,000,000	2011/09/29
66985	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 I	0.250	14,822 per 16,000 units	15,022 per 16,000 units	2012/01/30	150,000,000	2011/09/29
67156	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 J	0.330	15,222 per 11,000 units	15,422 per 11,000 units	2012/01/30	150,000,000	2011/09/29
67158	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 K	0.250	16,022 per 11,000 units	16,222 per 11,000 units	2012/01/30	150,000,000	2011/09/29
66986	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 M	0.290	18,722 per 11,000 units	18,522 per 11,000 units	2011/12/29	150,000,000	2011/09/29
66988	Deutsche Bank AG	HSI R Bear CBBC Dec 2011 T	0.250	18,322 per 11,000 units	18,122 per 11,000 units	2011/12/29	150,000,000	2011/09/29
67159	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 L	0.250	18,122 per 11,000 units	17,922 per 11,000 units	2012/01/30	150,000,000	2011/09/29
67258	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 H	0.340	14,600 per 10,000 units	15,000 per 10,000 units	2012/02/28	108,000,000	2011/09/29
67259	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 C	0.250	15,100 per 15,000 units	15,500 per 15,000 units	2012/03/29	158,000,000	2011/09/29
67260	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 I	0.250	19,000 per 12,000 units	18,600 per 12,000 units	2012/02/28	128,000,000	2011/09/29
67263	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Mar 2012 D	0.250	19,300 per 15,000 units	18,900 per 15,000 units	2012/03/29	158,000,000	2011/09/29
67160	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 O	0.260	15,200 per 12,000 units	15,600 per 12,000 units	2012/03/29	100,000,000	2011/09/29
67161	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 P	0.255	15,500 per 12,000 units	15,900 per 12,000 units	2012/03/29	100,000,000	2011/09/29
67168	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Mar 2012 Q	0.250	15,900 per 12,000 units	16,300 per 12,000 units	2012/03/29	100,000,000	2011/09/29
67174	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 R	0.250	19,200 per 12,000 units	18,900 per 12,000 units	2012/03/29	100,000,000	2011/09/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67175	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 S	0.255	19,500 per 12,000 units	19,200 per 12,000 units	2012/03/29	100,000,000	2011/09/29
67177	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Mar 2012 T	0.260	19,900 per 12,000 units	19,600 per 12,000 units	2012/03/29	100,000,000	2011/09/29
66965	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12G	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/09/29
66964	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12H	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/09/29
66963	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12I	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/09/29
66958	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12K	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/09/29
66962	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12L	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/09/29
66968	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12R	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/09/29
66969	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12S	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/09/29
67008	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12T	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/09/29
67016	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12N	0.315	15,900 per 10,000 units	16,100 per 10,000 units	2012/04/27	300,000,000	2011/09/29
67017	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12O	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/04/27	300,000,000	2011/09/29
67019	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12P	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/04/27	300,000,000	2011/09/29
67020	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12Q	0.250	16,600 per 10,000 units	16,800 per 10,000 units	2012/04/27	300,000,000	2011/09/29
66993	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12A	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/01/30	300,000,000	2011/09/29
66994	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12D	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/01/30	300,000,000	2011/09/29
66995	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12E	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/01/30	300,000,000	2011/09/29
67002	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12F	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/01/30	300,000,000	2011/09/29
67003	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12G	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/01/30	300,000,000	2011/09/29
67001	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12I	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/01/30	300,000,000	2011/09/29
66990	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12Y	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/01/30	300,000,000	2011/09/29
66991	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12Z	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/01/30	300,000,000	2011/09/29
67108	UBS AG	China Mobile R Bull CBBC Mar 2012 A	0.250	69 per 100 units	72 per 100 units	2012/03/19	100,000,000	2011/09/29
67240	UBS AG	China Life R Bull CBBC Mar 2012 A	0.285	15.780	16.880	2012/03/12	100,000,000	2011/09/29
67106	UBS AG	HSBC R Bull CBBC Mar 2012 A	0.250	51 per 100 units	55 per 100 units	2012/03/26	100,000,000	2011/09/29
67126	UBS AG	HSI R Bull CBBC Dec 2011 G	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2011/12/29	300,000,000	2011/09/29
67131	UBS AG	HSI R Bull CBBC Dec 2011 I	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2011/12/29	300,000,000	2011/09/29
66974	UBS AG	HSI R Bull CBBC Dec 2011 S	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2011/12/29	300,000,000	2011/09/29
66975	UBS AG	HSI R Bull CBBC Jan 2012 I	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/01/30	300,000,000	2011/09/29
66980	UBS AG	HSI R Bull CBBC Feb 2012 L	0.250	17,900 per 13,000 units	18,200 per 13,000 units	2012/02/28	300,000,000	2011/09/29
67123	UBS AG	HSI R Bull CBBC Feb 2012 M	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/02/28	300,000,000	2011/09/29
67125	UBS AG	HSI R Bull CBBC Feb 2012 N	0.250	17,400 per 13,000 units	17,700 per 13,000 units	2012/02/28	300,000,000	2011/09/29
66984	UBS AG	HSI R Bull CBBC Mar 2012 M	0.250	18,300 per 13,000 units	18,600 per 13,000 units	2012/03/29	300,000,000	2011/09/29
67117	UBS AG	HSI R Bull CBBC Mar 2012 N	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/03/29	300,000,000	2011/09/29
67124	UBS AG	HSI R Bull CBBC Mar 2012 O	0.250	17,100 per 13,000 units	17,400 per 13,000 units	2012/03/29	300,000,000	2011/09/29
67119	UBS AG	HSI R Bull CBBC Apr 2012 H	0.250	16,400 per 13,000 units	16,700 per 13,000 units	2012/04/27	300,000,000	2011/09/29
67116	UBS AG	HSI R Bull CBBC May 2012 D	0.250	15,800 per 13,000 units	16,100 per 13,000 units	2012/05/30	300,000,000	2011/09/29
67254	UBS AG	HSI R Bull CBBC Jun 2012 B	0.465	13,300 per 10,000 units	13,500 per 10,000 units	2012/06/28	300,000,000	2011/09/29
67133	UBS AG	HSI R Bear CBBC Dec 2011 O	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2011/12/29	300,000,000	2011/09/29
67140	UBS AG	HSI R Bear CBBC Dec 2011 P	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2011/12/29	300,000,000	2011/09/29
67134	UBS AG	HSI R Bear CBBC Dec 2011 R	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2011/12/29	300,000,000	2011/09/29
67151	UBS AG	HSI R Bear CBBC Dec 2011 U	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2011/12/29	300,000,000	2011/09/29
67255	UBS AG	HSI R Bear CBBC Dec 2011 W	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2011/12/29	300,000,000	2011/09/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67152	UBS AG	HSI R Bear CBBC Jan 2012 H	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2012/01/30	300,000,000	2011/09/29
67132	UBS AG	HSI R Bear CBBC Feb 2012 O	0.250	18,400 per 13,000 units	18,100 per 13,000 units	2012/02/28	300,000,000	2011/09/29
67135	UBS AG	HSI R Bear CBBC Mar 2012 P	0.250	19,000 per 13,000 units	18,700 per 13,000 units	2012/03/29	300,000,000	2011/09/29
67153	UBS AG	HSI R Bear CBBC Mar 2012 Q	0.250	20,400 per 13,000 units	20,100 per 13,000 units	2012/03/29	300,000,000	2011/09/29
67150	UBS AG	HSI R Bear CBBC Apr 2012 I	0.250	19,600 per 15,000 units	19,200 per 15,000 units	2012/04/27	300,000,000	2011/09/29
67154	UBS AG	HSI R Bear CBBC Apr 2012 J	0.250	20,700 per 13,000 units	20,400 per 13,000 units	2012/04/27	300,000,000	2011/09/29
67155	UBS AG	HSI R Bear CBBC May 2012 E	0.250	21,000 per 13,000 units	20,700 per 13,000 units	2012/05/30	300,000,000	2011/09/29
67107	UBS AG	Hutchison R Bull CBBC Mar 2012 A	0.250	51 per 100 units	55 per 100 units	2012/03/12	100,000,000	2011/09/29
67389	Credit Suisse AG	CCB R Bull CBBC Mar 2012 A	0.250	4.250	4.500	2012/03/26	100,000,000	2011/09/30
67367	Credit Suisse AG	HkEx R Bull CBBC Feb 2012 C	0.250	104 per 100 units	110 per 100 units	2012/02/13	100,000,000	2011/09/30
67358	Credit Suisse AG	HSBC R Bull CBBC Mar 2012 B	0.250	50 per 100 units	53 per 100 units	2012/03/26	100,000,000	2011/09/30
67324	Credit Suisse AG	HSBC R Bear CBBC Mar 2012 A	0.250	69 per 100 units	66 per 100 units	2012/03/26	100,000,000	2011/09/30
67435	Credit Suisse AG	HSI R Bull CBBC Mar 2012 Y	0.250	17,300 per 10,000 units	17,500 per 10,000 units	2012/03/29	400,000,000	2011/09/30
67436	Credit Suisse AG	HSI R Bull CBBC Apr 2012 P	0.250	17,500 per 12,000 units	17,800 per 12,000 units	2012/04/27	400,000,000	2011/09/30
67439	Credit Suisse AG	HSI R Bull CBBC Jun 2012 N	0.250	14,100 per 38,000 units	14,500 per 38,000 units	2012/06/28	100,000,000	2011/09/30
67440	Credit Suisse AG	HSI R Bull CBBC Jul 2012 E	0.250	15,100 per 38,000 units	15,500 per 38,000 units	2012/07/30	100,000,000	2011/09/30
67390	Credit Suisse AG	HSI R Bear CBBC Jan 2012 Q	0.250	17,900 per 12,000 units	17,700 per 12,000 units	2012/01/30	400,000,000	2011/09/30
67412	Credit Suisse AG	HSI R Bear CBBC Feb 2012 T	0.250	18,200 per 12,000 units	18,000 per 12,000 units	2012/02/28	400,000,000	2011/09/30
67422	Credit Suisse AG	HSI R Bear CBBC Feb 2012 U	0.250	18,700 per 12,000 units	18,400 per 12,000 units	2012/02/28	400,000,000	2011/09/30
67432	Credit Suisse AG	HSI R Bear CBBC Feb 2012 W	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/02/28	400,000,000	2011/09/30
67433	Credit Suisse AG	HSI R Bear CBBC Feb 2012 Y	0.250	19,100 per 12,000 units	18,800 per 12,000 units	2012/02/28	400,000,000	2011/09/30
67359	Credit Suisse AG	Hutchison R Bull CBBC Mar 2012 B	0.250	50 per 100 units	53 per 100 units	2012/03/26	100,000,000	2011/09/30
67338	Credit Suisse AG	Hutchison R Bear CBBC Mar 2012 A	0.250	73 per 100 units	70 per 100 units	2012/03/26	100,000,000	2011/09/30
67378	Credit Suisse AG	TCH R Bull CBBC Feb 2012 E	0.355	122 per 100 units	130 per 100 units	2012/02/13	100,000,000	2011/09/30
67381	Credit Suisse AG	TCH R Bull CBBC Feb 2012 F	0.255	132 per 100 units	140 per 100 units	2012/02/13	100,000,000	2011/09/30
67351	Credit Suisse AG	TCH R Bear CBBC Jan 2012 F	0.250	168 per 100 units	160 per 100 units	2012/01/16	100,000,000	2011/09/30
67316	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 M	0.250	15,622 per 11,000 units	15,822 per 11,000 units	2012/01/30	150,000,000	2011/09/30
67317	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 N	0.350	14,622 per 11,000 units	14,822 per 11,000 units	2012/01/30	150,000,000	2011/09/30
67318	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 O	0.410	13,822 per 16,000 units	14,022 per 16,000 units	2012/01/30	150,000,000	2011/09/30
67322	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 P	0.250	17,822 per 11,000 units	17,622 per 11,000 units	2012/01/30	150,000,000	2011/09/30
67304	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 A	0.250	17,200 per 12,000 units	17,500 per 12,000 units	2012/02/28	200,000,000	2011/09/30
67312	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 E	0.250	15,600 per 12,000 units	16,000 per 12,000 units	2012/02/28	200,000,000	2011/09/30
67314	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 F	0.250	16,000 per 12,000 units	16,500 per 12,000 units	2012/02/28	200,000,000	2011/09/30
67315	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 G	0.250	16,600 per 12,000 units	17,000 per 12,000 units	2012/02/28	200,000,000	2011/09/30
67309	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 B	0.250	19,300 per 10,000 units	19,000 per 10,000 units	2012/02/28	200,000,000	2011/09/30
67310	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 C	0.250	18,800 per 10,000 units	18,500 per 10,000 units	2012/02/28	200,000,000	2011/09/30
67311	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 D	0.250	18,400 per 10,000 units	18,000 per 10,000 units	2012/02/28	200,000,000	2011/09/30
67447	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 J	0.250	18,800 per 15,000 units	18,300 per 15,000 units	2012/02/28	158,000,000	2011/09/30
67264	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 K	0.250	15,600 per 12,000 units	16,000 per 12,000 units	2012/03/29	150,000,000	2011/09/30
67265	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 L	0.250	16,000 per 12,000 units	16,400 per 12,000 units	2012/03/29	150,000,000	2011/09/30
67271	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 M	0.250	16,400 per 12,000 units	16,800 per 12,000 units	2012/03/29	150,000,000	2011/09/30
67275	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 N	0.250	18,800 per 12,000 units	18,400 per 12,000 units	2012/03/29	150,000,000	2011/09/30
67276	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 O	0.250	19,200 per 12,000 units	18,800 per 12,000 units	2012/03/29	150,000,000	2011/09/30

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67278	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12A	0.385	13,600 per 15,000 units	14,000 per 15,000 units	2012/06/28	300,000,000	2011/09/30
67279	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12B	0.350	14,100 per 15,000 units	14,500 per 15,000 units	2012/06/28	300,000,000	2011/09/30
67280	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12C	0.310	14,600 per 15,000 units	15,000 per 15,000 units	2012/06/28	300,000,000	2011/09/30
67281	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12P	0.250	18,200 per 12,000 units	18,000 per 12,000 units	2012/01/30	300,000,000	2011/09/30
67498	UBS AG	AIA R Bull CBBC Apr 2012 A	0.280	19.680	20.880	2012/04/02	100,000,000	2011/09/30
67497	UBS AG	CC Bank R Bull CBBC Apr 2012 A	0.250	4.200	4.500	2012/04/02	100,000,000	2011/09/30
67519	UBS AG	China Life R Bull CBBC Mar 2012 B	0.325	14.780	15.880	2012/03/19	100,000,000	2011/09/30
67493	UBS AG	HSBC R Bull CBBC Apr 2012 A	0.250	46 per 100 units	50 per 100 units	2012/04/02	100,000,000	2011/09/30
67285	UBS AG	HSI R Bull CBBC Jan 2012 A	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/01/30	300,000,000	2011/09/30
67282	UBS AG	HSI R Bull CBBC Jan 2012 B	0.250	17,300 per 10,000 units	17,500 per 10,000 units	2012/01/30	300,000,000	2011/09/30
67292	UBS AG	HSI R Bull CBBC Feb 2012 P	0.250	17,100 per 12,000 units	17,300 per 12,000 units	2012/02/28	300,000,000	2011/09/30
67299	UBS AG	HSI R Bull CBBC Apr 2012 K	0.250	16,800 per 13,000 units	17,100 per 13,000 units	2012/04/27	300,000,000	2011/09/30
67452	UBS AG	HSI R Bull CBBC Apr 2012 L	0.250	16,600 per 10,000 units	16,800 per 10,000 units	2012/04/27	300,000,000	2011/09/30
67450	UBS AG	HSI R Bull CBBC May 2012 F	0.250	16,100 per 13,000 units	16,400 per 13,000 units	2012/05/30	300,000,000	2011/09/30
67451	UBS AG	HSI R Bull CBBC May 2012 G	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/05/30	300,000,000	2011/09/30
67449	UBS AG	HSI R Bull CBBC Jun 2012 C	0.250	15,800 per 15,000 units	16,200 per 15,000 units	2012/06/28	300,000,000	2011/09/30
67448	UBS AG	HSI R Bull CBBC Aug 2012 A	0.485	12,800 per 10,000 units	13,000 per 10,000 units	2012/08/30	300,000,000	2011/09/30
67463	UBS AG	HSI R Bear CBBC Jan 2012 C	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/01/30	300,000,000	2011/09/30
67461	UBS AG	HSI R Bear CBBC Feb 2012 Q	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/02/28	300,000,000	2011/09/30
67462	UBS AG	HSI R Bear CBBC Feb 2012 R	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/02/28	300,000,000	2011/09/30
67469	UBS AG	HSI R Bear CBBC Feb 2012 S	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/02/28	300,000,000	2011/09/30
67458	UBS AG	HSI R Bear CBBC Mar 2012 R	0.250	18,100 per 12,000 units	17,900 per 12,000 units	2012/03/29	300,000,000	2011/09/30
67460	UBS AG	HSI R Bear CBBC Mar 2012 S	0.250	18,700 per 13,000 units	18,400 per 13,000 units	2012/03/29	300,000,000	2011/09/30
67464	UBS AG	HSI R Bear CBBC Mar 2012 T	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/09/30
67457	UBS AG	HSI R Bear CBBC Apr 2012 M	0.250	18,000 per 13,000 units	17,700 per 13,000 units	2012/04/27	300,000,000	2011/09/30
67468	UBS AG	HSI R Bear CBBC Apr 2012 N	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/04/27	300,000,000	2011/09/30
67473	UBS AG	HSI R Bear CBBC Apr 2012 O	0.310	20,700 per 10,000 units	20,500 per 10,000 units	2012/04/27	300,000,000	2011/09/30
67459	UBS AG	HSI R Bear CBBC May 2012 H	0.250	18,600 per 15,000 units	18,200 per 15,000 units	2012/05/30	300,000,000	2011/09/30
67480	UBS AG	HSI R Bear CBBC May 2012 I	0.340	21,000 per 10,000 units	20,800 per 10,000 units	2012/05/30	300,000,000	2011/09/30
67470	UBS AG	HSI R Bear CBBC Jun 2012 D	0.250	20,600 per 15,000 units	20,200 per 15,000 units	2012/06/28	300,000,000	2011/09/30
67495	UBS AG	Hutchison R Bull CBBC Apr 2012 A	0.250	46 per 100 units	50 per 100 units	2012/04/16	100,000,000	2011/09/30
67506	UBS AG	ICBC R Bull CBBC Apr 2012 A	0.250	3.200	3.500	2012/04/02	100,000,000	2011/09/30
67511	UBS AG	Ping An R Bull CBBC Mar 2012 A	0.250	34 per 100 units	40 per 100 units	2012/03/26	100,000,000	2011/09/30
67496	UBS AG	TCH R Bull CBBC Apr 2012 A	0.250	138 per 100 units	150 per 100 units	2012/04/10	100,000,000	2011/09/30
67567	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 A	0.250	14,800 per 12,000 units	15,000 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67573	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 B	0.250	14,900 per 12,000 units	15,100 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67574	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 C	0.250	15,000 per 12,000 units	15,200 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67576	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 D	0.250	15,100 per 12,000 units	15,300 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67578	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 E	0.250	15,200 per 12,000 units	15,400 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67579	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 F	0.250	15,400 per 12,000 units	15,600 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67581	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 G	0.250	15,500 per 12,000 units	15,700 per 12,000 units	2012/05/30	200,000,000	2011/10/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67582	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 H	0.250	15,600 per 12,000 units	15,800 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67584	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 I	0.250	15,700 per 12,000 units	15,900 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67565	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Y	0.300	13,800 per 12,000 units	14,000 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67566	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Z	0.280	14,300 per 12,000 units	14,500 per 12,000 units	2012/05/30	200,000,000	2011/10/03
67671	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 A	0.250	17,900 per 12,000 units	17,700 per 12,000 units	2012/03/29	200,000,000	2011/10/03
67672	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 B	0.250	18,100 per 12,000 units	17,900 per 12,000 units	2012/03/29	200,000,000	2011/10/03
67673	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 C	0.250	18,300 per 12,000 units	18,100 per 12,000 units	2012/03/29	200,000,000	2011/10/03
67674	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 D	0.250	18,400 per 12,000 units	18,200 per 12,000 units	2012/03/29	200,000,000	2011/10/03
67680	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 I	0.250	18,600 per 12,000 units	18,400 per 12,000 units	2012/03/29	200,000,000	2011/10/03
67590	Credit Suisse AG	China Life R Bull CBBC Feb 2012 A	0.320	14.900	15.900	2012/02/13	100,000,000	2011/10/03
67598	Credit Suisse AG	HSI R Bull CBBC Apr 2012 Q	0.250	17,300 per 12,000 units	17,600 per 12,000 units	2012/04/27	400,000,000	2011/10/03
67664	Credit Suisse AG	HSI R Bull CBBC Apr 2012 R	0.250	16,700 per 12,000 units	17,000 per 12,000 units	2012/04/27	400,000,000	2011/10/03
67599	Credit Suisse AG	HSI R Bull CBBC May 2012 N	0.250	17,100 per 10,000 units	17,300 per 10,000 units	2012/05/30	400,000,000	2011/10/03
67600	Credit Suisse AG	HSI R Bull CBBC Jun 2012 O	0.370	13,800 per 10,000 units	14,100 per 10,000 units	2012/06/28	400,000,000	2011/10/03
67614	Credit Suisse AG	HSI R Bull CBBC Jul 2012 F	0.330	12,500 per 15,000 units	12,800 per 15,000 units	2012/07/30	400,000,000	2011/10/03
67617	Credit Suisse AG	HSI R Bull CBBC Jul 2012 G	0.260	13,600 per 15,000 units	13,900 per 15,000 units	2012/07/30	400,000,000	2011/10/03
67620	Credit Suisse AG	HSI R Bull CBBC Jul 2012 H	0.325	14,200 per 10,000 units	14,500 per 10,000 units	2012/07/30	400,000,000	2011/10/03
67621	Credit Suisse AG	HSI R Bull CBBC Jul 2012 I	0.305	14,400 per 10,000 units	14,700 per 10,000 units	2012/07/30	400,000,000	2011/10/03
67623	Credit Suisse AG	HSI R Bull CBBC Aug 2012 A	0.250	12,100 per 38,000 units	12,500 per 38,000 units	2012/08/30	400,000,000	2011/10/03
67624	Credit Suisse AG	HSI R Bull CBBC Aug 2012 B	0.400	12,700 per 12,000 units	13,000 per 12,000 units	2012/08/30	400,000,000	2011/10/03
67627	Credit Suisse AG	HSI R Bull CBBC Aug 2012 C	0.300	13,000 per 15,000 units	13,300 per 15,000 units	2012/08/30	400,000,000	2011/10/03
67628	Credit Suisse AG	HSI R Bull CBBC Aug 2012 D	0.300	13,900 per 12,000 units	14,200 per 12,000 units	2012/08/30	400,000,000	2011/10/03
67630	Credit Suisse AG	HSI R Bull CBBC Aug 2012 E	0.280	14,100 per 12,000 units	14,400 per 12,000 units	2012/08/30	400,000,000	2011/10/03
67634	Credit Suisse AG	HSI R Bull CBBC Aug 2012 F	0.265	14,300 per 12,000 units	14,600 per 12,000 units	2012/08/30	400,000,000	2011/10/03
67661	Credit Suisse AG	HSI R Bear CBBC Jan 2012 G	0.250	17,600 per 12,000 units	17,400 per 12,000 units	2012/01/30	400,000,000	2011/10/03
67593	Credit Suisse AG	HSI R Bear CBBC Jan 2012 Y	0.250	17,900 per 12,000 units	17,600 per 12,000 units	2012/01/30	400,000,000	2011/10/03
67662	Credit Suisse AG	HSI R Bear CBBC Feb 2012 B	0.250	17,850 per 10,000 units	17,650 per 10,000 units	2012/02/28	400,000,000	2011/10/03
67597	Credit Suisse AG	HSI R Bear CBBC Feb 2012 D	0.250	18,400 per 12,000 units	18,200 per 12,000 units	2012/02/28	400,000,000	2011/10/03
67596	Credit Suisse AG	HSI R Bear CBBC Feb 2012 H	0.250	18,100 per 12,000 units	17,800 per 12,000 units	2012/02/28	400,000,000	2011/10/03
67589	Credit Suisse AG	Ping An R Bull CBBC Feb 2012 A	0.250	30 per 100 units	35 per 100 units	2012/02/13	100,000,000	2011/10/03
67592	Credit Suisse AG	Ping An R Bear CBBC Feb 2012 B	0.250	57 per 100 units	52 per 100 units	2012/02/13	100,000,000	2011/10/03
67549	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 Q	0.250	15,422 per 11,000 units	15,622 per 11,000 units	2012/01/30	150,000,000	2011/10/03
67550	Deutsche Bank AG	HSI R Bull CBBC Feb 2012 B	0.370	14,222 per 11,000 units	14,422 per 11,000 units	2012/02/28	150,000,000	2011/10/03
67551	Deutsche Bank AG	HSI R Bull CBBC Feb 2012 C	0.400	12,822 per 16,000 units	13,022 per 16,000 units	2012/02/28	150,000,000	2011/10/03
67548	Deutsche Bank AG	HSI R Bear CBBC Feb 2012 A	0.250	17,622 per 11,000 units	17,422 per 11,000 units	2012/02/28	150,000,000	2011/10/03
67537	Macquarie Bank Ltd.	HSCEI R Bull CBBC Apr 2012 A	0.250	8,300 per 5,000 units	8,500 per 5,000 units	2012/04/27	300,000,000	2011/10/03
67538	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 A	0.250	16,800 per 8,000 units	17,200 per 8,000 units	2012/04/27	300,000,000	2011/10/03
67539	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 B	0.250	16,400 per 8,000 units	16,800 per 8,000 units	2012/04/27	300,000,000	2011/10/03
67528	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12C	0.250	17,200 per 10,000 units	17,400 per 10,000 units	2012/03/29	300,000,000	2011/10/03
67529	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12D	0.250	17,300 per 12,000 units	17,500 per 12,000 units	2012/03/29	300,000,000	2011/10/03
67532	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12E	0.250	17,400 per 10,000 units	17,600 per 10,000 units	2012/03/29	300,000,000	2011/10/03
67552	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12F	0.250	17,000 per 12,000 units	17,200 per 12,000 units	2012/03/29	300,000,000	2011/10/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67553	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12N	0.250	17,100 per 10,000 units	17,300 per 10,000 units	2012/03/29	300,000,000	2011/10/03
67523	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12R	0.325	15,400 per 10,000 units	15,600 per 10,000 units	2012/04/27	300,000,000	2011/10/03
67524	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12S	0.260	15,500 per 12,000 units	15,700 per 12,000 units	2012/04/27	300,000,000	2011/10/03
67525	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12T	0.300	15,600 per 10,000 units	15,800 per 10,000 units	2012/04/27	300,000,000	2011/10/03
67526	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12U	0.285	15,700 per 10,000 units	15,900 per 10,000 units	2012/04/27	300,000,000	2011/10/03
67709	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12V	0.250	16,800 per 10,000 units	17,000 per 10,000 units	2012/04/27	300,000,000	2011/10/03
67716	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12W	0.250	16,900 per 10,000 units	17,100 per 10,000 units	2012/04/27	300,000,000	2011/10/03
67681	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12D	0.485	13,900 per 10,000 units	14,100 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67685	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12E	0.460	14,100 per 10,000 units	14,300 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67688	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12F	0.425	14,400 per 10,000 units	14,600 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67690	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12G	0.345	14,500 per 12,000 units	14,700 per 12,000 units	2012/06/28	300,000,000	2011/10/03
67691	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12H	0.405	14,600 per 10,000 units	14,800 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67692	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12I	0.390	14,700 per 10,000 units	14,900 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67694	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12J	0.365	14,900 per 10,000 units	15,100 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67697	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12K	0.295	15,000 per 12,000 units	15,200 per 12,000 units	2012/06/28	300,000,000	2011/10/03
67698	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12L	0.340	15,100 per 10,000 units	15,300 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67701	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 12M	0.330	15,200 per 10,000 units	15,400 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67535	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12H	0.250	18,000 per 10,000 units	17,800 per 10,000 units	2012/01/30	300,000,000	2011/10/03
67534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12Q	0.250	17,900 per 12,000 units	17,700 per 12,000 units	2012/01/30	300,000,000	2011/10/03
67536	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12R	0.250	18,100 per 10,000 units	17,900 per 10,000 units	2012/01/30	300,000,000	2011/10/03
67564	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 12S	0.250	17,800 per 10,000 units	17,600 per 10,000 units	2012/01/30	300,000,000	2011/10/03
67562	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12A	0.250	17,600 per 12,000 units	17,400 per 12,000 units	2012/02/28	300,000,000	2011/10/03
67563	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 12B	0.250	17,700 per 10,000 units	17,500 per 10,000 units	2012/02/28	300,000,000	2011/10/03
67723	UBS AG	AIA R Bull CBBC May 2012 A	0.365	18.680	19.880	2012/05/07	100,000,000	2011/10/03
67722	UBS AG	China Mobile R Bull CBBC Apr 2012 A	0.250	65 per 100 units	68 per 100 units	2012/04/23	100,000,000	2011/10/03
67721	UBS AG	CC Bank R Bull CBBC Apr 2012 B	0.250	3.700	4.000	2012/04/10	100,000,000	2011/10/03
67720	UBS AG	CNOOC R Bull CBBC Apr 2012 A	0.250	10.080	10.880	2012/04/16	100,000,000	2011/10/03
67730	UBS AG	A50 China R Bull CBBC May 2012 A	0.250	9.000	9.500	2012/05/14	100,000,000	2011/10/03
67718	UBS AG	HKEx R Bull CBBC Mar 2012 A	0.250	94 per 100 units	100 per 100 units	2012/03/26	100,000,000	2011/10/03
67639	UBS AG	HSI R Bull CBBC Jan 2012 L	0.250	16,800 per 10,000 units	17,000 per 10,000 units	2012/01/30	300,000,000	2011/10/03
67637	UBS AG	HSI R Bull CBBC Feb 2012 T	0.250	15,400 per 13,000 units	15,700 per 13,000 units	2012/02/28	300,000,000	2011/10/03
67636	UBS AG	HSI R Bull CBBC Mar 2012 V	0.250	15,100 per 13,000 units	15,400 per 13,000 units	2012/03/29	300,000,000	2011/10/03
67638	UBS AG	HSI R Bull CBBC Mar 2012 W	0.250	15,700 per 12,000 units	15,900 per 12,000 units	2012/03/29	300,000,000	2011/10/03
67635	UBS AG	HSI R Bull CBBC Apr 2012 R	0.250	14,800 per 15,000 units	15,200 per 15,000 units	2012/04/27	300,000,000	2011/10/03
67640	UBS AG	HSI R Bull CBBC Apr 2012 S	0.250	16,800 per 15,000 units	17,200 per 15,000 units	2012/04/27	300,000,000	2011/10/03
67658	UBS AG	HSI R Bull CBBC May 2012 M	0.510	12,300 per 10,000 units	12,500 per 10,000 units	2012/05/30	300,000,000	2011/10/03
67659	UBS AG	HSI R Bull CBBC Jun 2012 G	0.560	11,800 per 10,000 units	12,000 per 10,000 units	2012/06/28	300,000,000	2011/10/03
67542	UBS AG	HSI R Bear CBBC Mar 2012 U	0.255	20,200 per 10,000 units	20,000 per 10,000 units	2012/03/29	300,000,000	2011/10/03
67641	UBS AG	HSI R Bear CBBC Mar 2012 X	0.250	18,000 per 10,000 units	17,800 per 10,000 units	2012/03/29	300,000,000	2011/10/03
67660	UBS AG	HSI R Bear CBBC Mar 2012 Y	0.250	17,700 per 13,000 units	17,400 per 13,000 units	2012/03/29	300,000,000	2011/10/03
67543	UBS AG	HSI R Bear CBBC Apr 2012 P	0.250	20,500 per 12,000 units	20,300 per 12,000 units	2012/04/27	300,000,000	2011/10/03
67544	UBS AG	HSI R Bear CBBC Apr 2012 Q	0.265	20,800 per 12,000 units	20,600 per 12,000 units	2012/04/27	300,000,000	2011/10/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67650	UBS AG	HSI R Bear CBBC Apr 2012 T	0.250	19,700 per 13,000 units	19,400 per 13,000 units	2012/04/27	300,000,000	2011/10/03
67547	UBS AG	HSI R Bear CBBC May 2012 J	0.290	21,100 per 12,000 units	20,900 per 12,000 units	2012/05/30	300,000,000	2011/10/03
67646	UBS AG	HSI R Bear CBBC May 2012 K	0.250	19,400 per 13,000 units	19,100 per 13,000 units	2012/05/30	300,000,000	2011/10/03
67652	UBS AG	HSI R Bear CBBC May 2012 L	0.250	20,000 per 13,000 units	19,700 per 13,000 units	2012/05/30	300,000,000	2011/10/03
67644	UBS AG	HSI R Bear CBBC Jun 2012 E	0.250	18,700 per 38,000 units	18,300 per 38,000 units	2012/06/28	300,000,000	2011/10/03
67645	UBS AG	HSI R Bear CBBC Jun 2012 F	0.250	19,200 per 38,000 units	18,800 per 38,000 units	2012/06/28	300,000,000	2011/10/03
67719	UBS AG	TCH R Bull CBBC Apr 2012 B	0.280	128 per 100 units	140 per 100 units	2012/04/16	100,000,000	2011/10/03
67768	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 J	0.250	16,800 per 12,000 units	17,000 per 12,000 units	2012/05/30	200,000,000	2011/10/04
67769	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 K	0.250	17,000 per 12,000 units	17,200 per 12,000 units	2012/05/30	200,000,000	2011/10/04
67771	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 L	0.250	17,100 per 12,000 units	17,300 per 12,000 units	2012/05/30	200,000,000	2011/10/04
67778	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 M	0.250	17,200 per 12,000 units	17,400 per 12,000 units	2012/05/30	200,000,000	2011/10/04
67779	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 N	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2012/05/30	200,000,000	2011/10/04
67829	Credit Suisse AG	HSI R Bull CBBC Apr 2012 S	0.250	16,900 per 10,000 units	17,100 per 10,000 units	2012/04/27	400,000,000	2011/10/04
67830	Credit Suisse AG	HSI R Bull CBBC Apr 2012 T	0.250	16,900 per 12,000 units	17,200 per 12,000 units	2012/04/27	400,000,000	2011/10/04
67831	Credit Suisse AG	HSI R Bull CBBC May 2012 O	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/05/30	400,000,000	2011/10/04
67834	Credit Suisse AG	HSI R Bull CBBC May 2012 P	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/05/30	400,000,000	2011/10/04
67826	Credit Suisse AG	HSI R Bear CBBC Feb 2012 A	0.250	17,700 per 10,000 units	17,500 per 10,000 units	2012/02/28	400,000,000	2011/10/04
67757	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 S	0.250	17,622 per 11,000 units	17,822 per 11,000 units	2012/01/30	150,000,000	2011/10/04
67763	Deutsche Bank AG	HSI R Bull CBBC Jan 2012 T	0.290	17,222 per 11,000 units	17,422 per 11,000 units	2012/01/30	150,000,000	2011/10/04
67767	Deutsche Bank AG	HSI R Bull CBBC Feb 2012 D	0.330	16,822 per 11,000 units	17,022 per 11,000 units	2012/02/28	150,000,000	2011/10/04
67816	Deutsche Bank AG	HSI R Bull CBBC Feb 2012 E	0.250	17,922 per 11,000 units	18,122 per 11,000 units	2012/02/28	150,000,000	2011/10/04
67755	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 R	0.250	18,922 per 11,000 units	18,722 per 11,000 units	2012/01/30	150,000,000	2011/10/04
67817	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 K	0.250	16,800 per 10,000 units	17,200 per 10,000 units	2012/02/28	108,000,000	2011/10/04
67731	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 A	0.280	14,900 per 12,000 units	15,300 per 12,000 units	2012/04/27	100,000,000	2011/10/04
67732	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 B	0.250	16,600 per 12,000 units	17,000 per 12,000 units	2012/04/27	100,000,000	2011/10/04
67733	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 C	0.255	18,900 per 12,000 units	18,600 per 12,000 units	2012/04/27	100,000,000	2011/10/04
67742	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 D	0.250	18,600 per 12,000 units	18,300 per 12,000 units	2012/04/27	100,000,000	2011/10/04
67744	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 P	0.250	15,200 per 10,000 units	15,600 per 10,000 units	2012/03/29	150,000,000	2011/10/04
67745	CC Rabobank B.A.	HSI R Bull CBBC Mar 2012 Q	0.250	16,800 per 10,000 units	17,200 per 10,000 units	2012/03/29	150,000,000	2011/10/04
67746	CC Rabobank B.A.	HSI R Bear CBBC Mar 2012 R	0.250	18,400 per 10,000 units	18,000 per 10,000 units	2012/03/29	150,000,000	2011/10/04
67748	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12O	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/03/29	300,000,000	2011/10/04
67754	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12P	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/03/29	300,000,000	2011/10/04
67810	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Q	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/03/29	300,000,000	2011/10/04
67813	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12U	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/10/04
67825	UBS AG	ABC R Bull CBBC Apr 2012 A	0.250	2.100	2.400	2012/04/16	100,000,000	2011/10/04
67821	UBS AG	Cheung Kong R Bull CBBC Apr 2012 A	0.250	74 per 100 units	80 per 100 units	2012/04/02	100,000,000	2011/10/04
67780	UBS AG	HSCEI R Bull CBBC May 2012 A	0.250	7,700 per 12,000 units	8,000 per 12,000 units	2012/05/30	100,000,000	2011/10/04
67782	UBS AG	HSCEI R Bear CBBC May 2012 B	0.250	10,800 per 12,000 units	10,500 per 12,000 units	2012/05/30	100,000,000	2011/10/04
67784	UBS AG	HSI R Bull CBBC Feb 2012 U	0.250	15,600 per 10,000 units	15,800 per 10,000 units	2012/02/28	300,000,000	2011/10/04
67787	UBS AG	HSI R Bull CBBC Mar 2012 L	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/03/29	300,000,000	2011/10/04
67785	UBS AG	HSI R Bull CBBC Mar 2012 Z	0.250	15,400 per 12,000 units	15,600 per 12,000 units	2012/03/29	300,000,000	2011/10/04
67789	UBS AG	HSI R Bull CBBC Apr 2012 U	0.250	15,100 per 12,000 units	15,300 per 12,000 units	2012/04/27	300,000,000	2011/10/04
67820	UBS AG	HSI R Bull CBBC Apr 2012 V	0.250	17,800 per 13,000 units	18,100 per 13,000 units	2012/04/27	300,000,000	2011/10/04



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67790	UBS AG	HSI R Bull CBBC May 2012 N	0.250	14,800 per 13,000 units	15,100 per 13,000 units	2012/05/30	300,000,000	2011/10/04
67791	UBS AG	HSI R Bull CBBC Jun 2012 H	0.250	16,400 per 38,000 units	16,800 per 38,000 units	2012/06/28	300,000,000	2011/10/04
67797	UBS AG	HSI R Bull CBBC Jul 2012 A	0.250	14,900 per 38,000 units	15,300 per 38,000 units	2012/07/30	300,000,000	2011/10/04
67807	UBS AG	HSI R Bull CBBC Jul 2012 B	0.250	15,900 per 38,000 units	16,300 per 38,000 units	2012/07/30	300,000,000	2011/10/04
67808	UBS AG	HSI R Bull CBBC Aug 2012 B	0.250	12,900 per 38,000 units	13,300 per 38,000 units	2012/08/30	300,000,000	2011/10/04
67809	UBS AG	HSI R Bull CBBC Aug 2012 C	0.250	13,900 per 38,000 units	14,300 per 38,000 units	2012/08/30	300,000,000	2011/10/04
67747	UBS AG	HSI R Bear CBBC Jan 2012 G	0.250	17,700 per 10,000 units	17,500 per 10,000 units	2012/01/30	300,000,000	2011/10/04
67824	UBS AG	SHK Ppt R Bull CBBC Mar 2012 A	0.250	73 per 100 units	80 per 100 units	2012/03/05	100,000,000	2011/10/04
67864	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 B	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/04/27	200,000,000	2011/10/06
67865	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 I	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/04/27	200,000,000	2011/10/06
67861	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Y	0.250	17,300 per 12,000 units	17,500 per 12,000 units	2012/04/27	200,000,000	2011/10/06
67863	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Z	0.250	17,500 per 12,000 units	17,700 per 12,000 units	2012/04/27	200,000,000	2011/10/06
67836	Deutsche Bank AG	HSI R Bull CBBC Feb 2012 F	0.250	16,222 per 11,000 units	16,422 per 11,000 units	2012/02/28	150,000,000	2011/10/06
67835	Deutsche Bank AG	HSI R Bear CBBC Jan 2012 U	0.250	18,522 per 11,000 units	18,322 per 11,000 units	2012/01/30	150,000,000	2011/10/06
67851	UBS AG	China Mobile R Bear CBBC Apr 2012 B	0.250	93 per 100 units	90 per 100 units	2012/04/23	100,000,000	2011/10/06
67855	UBS AG	China Life R Bear CBBC Mar 2012 C	0.345	21.980	20.880	2012/03/12	100,000,000	2011/10/06
67856	UBS AG	A50 China R Bear CBBC Mar 2012 A	0.250	12.500	12.000	2012/03/12	100,000,000	2011/10/06
67844	UBS AG	HKEx R Bear CBBC Mar 2012 B	0.325	151 per 100 units	145 per 100 units	2012/03/26	100,000,000	2011/10/06
67837	UBS AG	HSBC R Bear CBBC Mar 2012 B	0.250	76 per 100 units	72 per 100 units	2012/03/05	100,000,000	2011/10/06
67858	UBS AG	HSI R Bear CBBC Jun 2012 I	0.250	19,700 per 38,000 units	19,300 per 38,000 units	2012/06/28	300,000,000	2011/10/06
67860	UBS AG	HSI R Bear CBBC Jul 2012 C	0.250	20,200 per 38,000 units	19,800 per 38,000 units	2012/07/30	300,000,000	2011/10/06
67839	UBS AG	Hutchison R Bear CBBC Mar 2012 B	0.250	74 per 100 units	70 per 100 units	2012/03/19	100,000,000	2011/10/06
67881	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Sep 2012 A	0.250	9.000	9.300	2012/09/27	100,000,000	2011/10/10
67873	Credit Suisse AG	HKEx R Bull CBBC Mar 2012 A	0.255	94 per 100 units	100 per 100 units	2012/03/19	100,000,000	2011/10/10
67872	Credit Suisse AG	HKEx R Bear CBBC Feb 2012 D	0.250	136 per 100 units	130 per 100 units	2012/02/28	100,000,000	2011/10/10
67871	Credit Suisse AG	HSI R Bull CBBC Apr 2012 U	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/04/27	400,000,000	2011/10/10
67869	Credit Suisse AG	HSI R Bear CBBC Jan 2012 E	0.250	17,900 per 10,000 units	17,700 per 10,000 units	2012/01/30	400,000,000	2011/10/10
67870	Credit Suisse AG	HSI R Bear CBBC Feb 2012 C	0.250	18,200 per 10,000 units	17,900 per 10,000 units	2012/02/28	400,000,000	2011/10/10
67868	Deutsche Bank AG	HSI R Bear CBBC Feb 2012 G	0.250	17,922 per 11,000 units	17,722 per 11,000 units	2012/02/28	150,000,000	2011/10/10
67876	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 N	0.250	18,000 per 10,000 units	17,600 per 10,000 units	2012/01/30	108,000,000	2011/10/10
67877	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 O	0.250	18,400 per 12,000 units	18,000 per 12,000 units	2012/01/30	128,000,000	2011/10/10
67866	UBS AG	HSI R Bull CBBC Jan 2012 N	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/01/30	300,000,000	2011/10/10
67867	UBS AG	HSI R Bull CBBC Feb 2012 V	0.250	17,400 per 13,000 units	17,700 per 13,000 units	2012/02/28	300,000,000	2011/10/10
67875	UBS AG	HSI R Bear CBBC Jan 2012 O	0.250	18,100 per 12,000 units	17,900 per 12,000 units	2012/01/30	300,000,000	2011/10/10
67874	UBS AG	HSI R Bear CBBC Feb 2012 W	0.250	17,800 per 12,000 units	17,600 per 12,000 units	2012/02/28	300,000,000	2011/10/10
67923	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 S	0.300	13,300 per 12,000 units	13,500 per 12,000 units	2012/04/27	200,000,000	2011/10/11
67918	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 X	0.340	12,800 per 12,000 units	13,000 per 12,000 units	2012/04/27	200,000,000	2011/10/11
67942	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 H	0.250	17,100 per 12,000 units	16,900 per 12,000 units	2012/04/27	200,000,000	2011/10/11
67924	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 T	0.250	17,400 per 12,000 units	17,200 per 12,000 units	2012/04/27	200,000,000	2011/10/11
67928	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 U	0.250	17,700 per 12,000 units	17,500 per 12,000 units	2012/04/27	200,000,000	2011/10/11
68039	Credit Suisse AG	AIA R Bull CBBC Mar 2012 B	0.350	17.780	18.980	2012/03/19	80,000,000	2011/10/11
68040	Credit Suisse AG	AIA R Bull CBBC Mar 2012 C	0.250	18.780	19.980	2012/03/19	80,000,000	2011/10/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67995	Credit Suisse AG	AIA R Bear CBBC Mar 2012 A	0.270	23.580	22.380	2012/03/19	80,000,000	2011/10/11
68038	Credit Suisse AG	China Mobile R Bull CBBC Mar 2012 A	0.250	63 per 100 units	66 per 100 units	2012/03/19	80,000,000	2011/10/11
68031	Credit Suisse AG	CCB R Bull CBBC Mar 2012 D	0.250	3.280	3.580	2012/03/19	80,000,000	2011/10/11
68032	Credit Suisse AG	CCB R Bull CBBC Mar 2012 E	0.250	3.780	4.080	2012/03/19	80,000,000	2011/10/11
67992	Credit Suisse AG	CCB R Bear CBBC Mar 2012 B	0.250	5.180	4.880	2012/03/19	80,000,000	2011/10/11
67994	Credit Suisse AG	CCB R Bear CBBC Mar 2012 C	0.250	5.480	5.180	2012/03/19	80,000,000	2011/10/11
67997	Credit Suisse AG	China Life R Bear CBBC Feb 2012 B	0.350	20.880	19.880	2012/02/13	80,000,000	2011/10/11
68024	Credit Suisse AG	CNOOC R Bull CBBC Mar 2012 B	0.250	9.980	10.080	2012/03/12	80,000,000	2011/10/11
68030	Credit Suisse AG	CNOOC R Bull CBBC Mar 2012 C	0.250	10.080	11.080	2012/03/12	80,000,000	2011/10/11
67985	Credit Suisse AG	CNOOC R Bear CBBC Mar 2012 A	0.250	13.880	12.880	2012/03/12	80,000,000	2011/10/11
68042	Credit Suisse AG	A50 China R Bull CBBC Mar 2012 C	0.250	8.580	9.080	2012/03/19	80,000,000	2011/10/11
68043	Credit Suisse AG	A50 China R Bull CBBC Mar 2012 D	0.250	9.080	9.580	2012/03/19	80,000,000	2011/10/11
67999	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 A	0.250	10.480	9.980	2012/03/19	80,000,000	2011/10/11
68009	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 B	0.250	10.980	10.480	2012/03/19	80,000,000	2011/10/11
68016	Credit Suisse AG	HKEx R Bull CBBC Feb 2012 G	0.250	86.80 per 100 units	92.80 per 100 units	2012/02/28	80,000,000	2011/10/11
68020	Credit Suisse AG	HKEx R Bull CBBC Feb 2012 H	0.250	94.80 per 100 units	100.80 per 100 units	2012/02/28	80,000,000	2011/10/11
67977	Credit Suisse AG	HKEx R Bear CBBC Feb 2012 E	0.250	116 per 100 units	110 per 100 units	2012/02/28	80,000,000	2011/10/11
67980	Credit Suisse AG	HKEx R Bear CBBC Feb 2012 F	0.250	126 per 100 units	120 per 100 units	2012/02/28	80,000,000	2011/10/11
68011	Credit Suisse AG	HSBC R Bull CBBC Mar 2012 D	0.250	46.88 per 100 units	49.88 per 100 units	2012/03/26	80,000,000	2011/10/11
67975	Credit Suisse AG	HSBC R Bear CBBC Mar 2012 C	0.250	63.88 per 100 units	60.88 per 100 units	2012/03/26	80,000,000	2011/10/11
67957	Credit Suisse AG	HSI R Bull CBBC Apr 2012 A	0.250	17,300 per 10,000 units	17,500 per 10,000 units	2012/04/27	400,000,000	2011/10/11
67949	Credit Suisse AG	HSI R Bull CBBC Apr 2012 B	0.300	12,900 per 13,000 units	13,200 per 13,000 units	2012/04/27	400,000,000	2011/10/11
67950	Credit Suisse AG	HSI R Bull CBBC Apr 2012 C	0.400	13,100 per 10,000 units	13,400 per 10,000 units	2012/04/27	400,000,000	2011/10/11
67951	Credit Suisse AG	HSI R Bull CBBC Apr 2012 D	0.300	13,300 per 12,000 units	13,600 per 12,000 units	2012/04/27	400,000,000	2011/10/11
67953	Credit Suisse AG	HSI R Bull CBBC Apr 2012 I	0.350	13,400 per 10,000 units	13,700 per 10,000 units	2012/04/27	400,000,000	2011/10/11
67964	Credit Suisse AG	HSI R Bull CBBC May 2012 Q	0.400	12,800 per 10,000 units	13,100 per 10,000 units	2012/05/30	400,000,000	2011/10/11
67968	Credit Suisse AG	HSI R Bull CBBC May 2012 R	0.250	14,450 per 13,000 units	14,750 per 13,000 units	2012/05/30	400,000,000	2011/10/11
67971	Credit Suisse AG	HSI R Bull CBBC May 2012 S	0.250	14,550 per 13,000 units	14,850 per 13,000 units	2012/05/30	400,000,000	2011/10/11
67973	Credit Suisse AG	HSI R Bull CBBC May 2012 T	0.250	17,100 per 10,000 units	17,300 per 10,000 units	2012/05/30	400,000,000	2011/10/11
67974	Credit Suisse AG	HSI R Bull CBBC May 2012 U	0.250	16,500 per 12,000 units	16,800 per 12,000 units	2012/05/30	400,000,000	2011/10/11
67944	Credit Suisse AG	HSI R Bear CBBC Jan 2012 F	0.250	17,000 per 12,000 units	16,800 per 12,000 units	2012/01/30	400,000,000	2011/10/11
67945	Credit Suisse AG	HSI R Bear CBBC Feb 2012 G	0.250	17,300 per 12,000 units	17,000 per 12,000 units	2012/02/28	400,000,000	2011/10/11
67947	Credit Suisse AG	HSI R Bear CBBC Feb 2012 X	0.250	17,500 per 10,000 units	17,300 per 10,000 units	2012/02/28	400,000,000	2011/10/11
68012	Credit Suisse AG	Hutchison R Bull CBBC Mar 2012 D	0.250	45 per 100 units	48 per 100 units	2012/03/26	80,000,000	2011/10/11
67976	Credit Suisse AG	Hutchison R Bear CBBC Mar 2012 C	0.250	64 per 100 units	60 per 100 units	2012/03/26	80,000,000	2011/10/11
67996	Credit Suisse AG	Ping An R Bear CBBC Feb 2012 C	0.250	53 per 100 units	48 per 100 units	2012/02/13	80,000,000	2011/10/11
67982	Credit Suisse AG	TCH R Bear CBBC Feb 2012 G	0.250	158 per 100 units	150 per 100 units	2012/02/28	80,000,000	2011/10/11
67984	Credit Suisse AG	TCH R Bear CBBC Feb 2012 H	0.250	168 per 100 units	160 per 100 units	2012/02/28	80,000,000	2011/10/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68071	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 E	0.250	14,100 per 12,000 units	14,500 per 12,000 units	2012/03/29	108,000,000	2011/10/11
68091	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2012 A	0.380	13,100 per 10,000 units	13,500 per 10,000 units	2012/04/27	108,000,000	2011/10/11
68094	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2012 B	0.250	13,500 per 15,000 units	14,000 per 15,000 units	2012/04/27	108,000,000	2011/10/11
68095	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC May 2012 A	0.250	12,600 per 20,000 units	13,000 per 20,000 units	2012/05/30	108,000,000	2011/10/11
67929	Macquarie Bank Ltd.	HSCEI R Bull CBBC Apr 2012 B	0.250	7,000 per 8,000 units	7,200 per 8,000 units	2012/04/27	200,000,000	2011/10/11
67935	Macquarie Bank Ltd.	HSCEI R Bull CBBC Apr 2012 C	0.250	7,500 per 8,000 units	7,700 per 8,000 units	2012/04/27	200,000,000	2011/10/11
67906	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 C	0.250	15,000 per 8,000 units	15,400 per 8,000 units	2012/04/27	300,000,000	2011/10/11
67908	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 D	0.250	15,600 per 8,000 units	16,000 per 8,000 units	2012/04/27	300,000,000	2011/10/11
67914	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 E	0.250	16,000 per 8,000 units	16,400 per 8,000 units	2012/04/27	300,000,000	2011/10/11
67915	Macquarie Bank Ltd.	HSI R Bear CBBC Apr 2012 F	0.250	18,400 per 8,000 units	18,000 per 8,000 units	2012/04/27	300,000,000	2011/10/11
68061	UBS AG	CC Bank R Bull CBBC Apr 2012 C	0.250	3.900	4.200	2012/04/02	100,000,000	2011/10/11
68060	UBS AG	CC Bank R Bull CBBC May 2012 A	0.250	3.500	3.800	2012/05/07	100,000,000	2011/10/11
68044	UBS AG	A50 China R Bull CBBC May 2012 B	0.250	8.500	9.000	2012/05/28	100,000,000	2011/10/11
67882	UBS AG	HSI R Bull CBBC Jan 2012 P	0.250	16,800 per 10,000 units	17,000 per 10,000 units	2012/01/30	300,000,000	2011/10/11
67883	UBS AG	HSI R Bull CBBC Jan 2012 R	0.250	17,300 per 10,000 units	17,500 per 10,000 units	2012/01/30	300,000,000	2011/10/11
67905	UBS AG	HSI R Bull CBBC Feb 2012 A	0.250	14,600 per 10,000 units	14,800 per 10,000 units	2012/02/28	300,000,000	2011/10/11
67884	UBS AG	HSI R Bull CBBC Feb 2012 X	0.250	16,600 per 10,000 units	16,800 per 10,000 units	2012/02/28	300,000,000	2011/10/11
67887	UBS AG	HSI R Bull CBBC Feb 2012 Y	0.250	17,100 per 12,000 units	17,300 per 12,000 units	2012/02/28	300,000,000	2011/10/11
67904	UBS AG	HSI R Bull CBBC Mar 2012 H	0.250	14,400 per 12,000 units	14,600 per 12,000 units	2012/03/29	300,000,000	2011/10/11
67903	UBS AG	HSI R Bull CBBC Apr 2012 W	0.250	14,100 per 13,000 units	14,400 per 13,000 units	2012/04/27	300,000,000	2011/10/11
67902	UBS AG	HSI R Bull CBBC May 2012 O	0.250	13,800 per 15,000 units	14,200 per 15,000 units	2012/05/30	300,000,000	2011/10/11
67889	UBS AG	HSI R Bear CBBC Jan 2012 S	0.250	17,200 per 10,000 units	17,000 per 10,000 units	2012/01/30	300,000,000	2011/10/11
67943	UBS AG	HSI R Bear CBBC Feb 2012 K	0.250	17,000 per 10,000 units	16,800 per 10,000 units	2012/02/28	300,000,000	2011/10/11
67888	UBS AG	HSI R Bear CBBC Feb 2012 Z	0.250	17,500 per 12,000 units	17,300 per 12,000 units	2012/02/28	300,000,000	2011/10/11
68065	UBS AG	Hutchison R Bull CBBC Apr 2012 B	0.250	41 per 100 units	45 per 100 units	2012/04/30	100,000,000	2011/10/11
68313	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 M	0.380	11,800 per 12,000 units	12,000 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68316	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 N	0.350	12,300 per 12,000 units	12,500 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68320	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 O	0.250	14,400 per 12,000 units	14,600 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68323	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 P	0.250	14,500 per 12,000 units	14,700 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68324	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Q	0.250	14,600 per 12,000 units	14,800 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68325	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 R	0.250	14,700 per 12,000 units	14,900 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68326	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 D	0.250	16,700 per 12,000 units	16,500 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68328	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 E	0.250	17,300 per 12,000 units	17,100 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68336	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 G	0.250	17,600 per 12,000 units	17,400 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68312	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 L	0.250	16,900 per 12,000 units	16,700 per 12,000 units	2012/04/27	200,000,000	2011/10/12
68282	Credit Suisse AG	HSI R Bull CBBC Apr 2012 H	0.250	16,000 per 13,000 units	16,300 per 13,000 units	2012/04/27	400,000,000	2011/10/12
68286	Credit Suisse AG	HSI R Bull CBBC Apr 2012 L	0.250	16,400 per 10,000 units	16,700 per 10,000 units	2012/04/27	400,000,000	2011/10/12
68291	Credit Suisse AG	HSI R Bull CBBC May 2012 V	0.250	16,300 per 13,000 units	16,600 per 13,000 units	2012/05/30	400,000,000	2011/10/12
68258	Credit Suisse AG	HSI R Bear CBBC Jan 2012 H	0.250	18,588 per 25,000 units	18,188 per 25,000 units	2012/01/30	300,000,000	2011/10/12
68246	Credit Suisse AG	HSI R Bear CBBC Jan 2012 I	0.250	16,900 per 10,000 units	16,700 per 10,000 units	2012/01/30	400,000,000	2011/10/12
68249	Credit Suisse AG	HSI R Bear CBBC Jan 2012 J	0.250	17,300 per 10,000 units	17,100 per 10,000 units	2012/01/30	400,000,000	2011/10/12
68233	Credit Suisse AG	HSI R Bear CBBC Jan 2012 K	0.250	16,700 per 12,000 units	16,500 per 12,000 units	2012/01/30	400,000,000	2011/10/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68257	Credit Suisse AG	HSI R Bear CBBC Jan 2012 L	0.250	17,500 per 12,000 units	17,200 per 12,000 units	2012/01/30	400,000,000	2011/10/12
68259	Credit Suisse AG	HSI R Bear CBBC Jan 2012 X	0.250	20,588 per 25,000 units	20,188 per 25,000 units	2012/01/30	300,000,000	2011/10/12
68262	Credit Suisse AG	HSI R Bear CBBC Feb 2012 E	0.250	16,900 per 12,000 units	16,600 per 12,000 units	2012/02/28	400,000,000	2011/10/12
68279	Credit Suisse AG	HSI R Bear CBBC Feb 2012 K	0.250	17,588 per 25,000 units	17,188 per 25,000 units	2012/02/28	300,000,000	2011/10/12
68278	Credit Suisse AG	HSI R Bear CBBC Feb 2012 R	0.250	17,100 per 10,000 units	16,900 per 10,000 units	2012/02/28	400,000,000	2011/10/12
68280	Credit Suisse AG	HSI R Bear CBBC Feb 2012 S	0.250	19,588 per 25,000 units	19,188 per 25,000 units	2012/02/28	300,000,000	2011/10/12
68261	Credit Suisse AG	HSI R Bear CBBC Feb 2012 Z	0.250	16,600 per 10,000 units	16,300 per 10,000 units	2012/02/28	400,000,000	2011/10/12
68217	Credit Suisse AG	TCH R Bull CBBC Feb 2012 I	0.400	102 per 100 units	110 per 100 units	2012/02/28	80,000,000	2011/10/12
68219	Credit Suisse AG	TCH R Bull CBBC Feb 2012 J	0.300	112 per 100 units	120 per 100 units	2012/02/28	80,000,000	2011/10/12
68224	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Jan 2012 P	0.250	16,900 per 10,000 units	16,500 per 10,000 units	2012/01/30	108,000,000	2011/10/12
68228	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Feb 2012 L	0.250	17,200 per 12,000 units	16,800 per 12,000 units	2012/02/28	108,000,000	2011/10/12
68231	KBC Fin Products Int'l Ltd.	HSI R Bear CBBC Mar 2012 F	0.250	17,600 per 15,000 units	17,200 per 15,000 units	2012/03/29	128,000,000	2011/10/12
68177	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 C	0.250	14,800 per 11,000 units	15,200 per 11,000 units	2012/04/27	150,000,000	2011/10/12
68178	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 D	0.250	14,000 per 12,000 units	14,400 per 12,000 units	2012/04/27	150,000,000	2011/10/12
68182	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 E	0.250	14,400 per 11,000 units	14,800 per 11,000 units	2012/04/27	150,000,000	2011/10/12
68115	CC Rabobank B.A.	HSI R Bear CBBC Apr 2012 A	0.250	18,000 per 11,000 units	17,600 per 11,000 units	2012/04/27	150,000,000	2011/10/12
68170	CC Rabobank B.A.	HSI R Bear CBBC Apr 2012 B	0.250	17,600 per 11,000 units	17,200 per 11,000 units	2012/04/27	150,000,000	2011/10/12
68193	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12J	0.430	13,300 per 10,000 units	13,500 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68194	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12X	0.405	13,500 per 10,000 units	13,700 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68195	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Y	0.385	13,700 per 10,000 units	13,900 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68196	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Z	0.250	14,800 per 10,000 units	15,000 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68110	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12A	0.250	17,300 per 10,000 units	17,100 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68112	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12B	0.250	17,400 per 10,000 units	17,200 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68202	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12G	0.250	16,800 per 10,000 units	16,600 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68209	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12H	0.250	16,900 per 10,000 units	16,700 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68221	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12I	0.250	16,500 per 10,000 units	16,300 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68223	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12K	0.250	16,700 per 10,000 units	16,500 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68113	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12M	0.250	17,500 per 10,000 units	17,300 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68096	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12V	0.250	17,100 per 10,000 units	16,900 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68107	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12W	0.250	17,200 per 10,000 units	17,000 per 10,000 units	2012/03/29	300,000,000	2011/10/12
68415	UBS AG	AIA R Bull CBBC May 2012 B	0.330	16.680	17.880	2012/05/28	100,000,000	2011/10/12
68416	UBS AG	CC Bank R Bear CBBC May 2012 B	0.250	5.300	5.000	2012/05/14	100,000,000	2011/10/12
68402	UBS AG	Cheung Kong R Bull CBBC Apr 2012 B	0.250	64 per 100 units	70 per 100 units	2012/04/02	100,000,000	2011/10/12
68412	UBS AG	China Life R Bear CBBC May 2012 A	0.375	20.980	19.880	2012/05/14	100,000,000	2011/10/12
68417	UBS AG	CNOOC R Bull CBBC Apr 2012 B	0.250	9.080	9.880	2012/04/30	100,000,000	2011/10/12
68392	UBS AG	Sinopec Corp R Bull CBBC Apr 2012 A	0.250	6.700	7.000	2012/04/23	100,000,000	2011/10/12
68408	UBS AG	A50 China R Bull CBBC May 2012 D	0.250	8.000	8.500	2012/05/28	100,000,000	2011/10/12
68404	UBS AG	A50 China R Bear CBBC May 2012 C	0.250	11.000	10.500	2012/05/14	100,000,000	2011/10/12
68391	UBS AG	HKEEx R Bull CBBC May 2012 A	0.250	84 per 100 units	90 per 100 units	2012/05/21	100,000,000	2011/10/12
68389	UBS AG	HKEEx R Bear CBBC Mar 2012 C	0.250	121 per 100 units	115 per 100 units	2012/03/12	100,000,000	2011/10/12
68401	UBS AG	HSBC R Bull CBBC Jun 2012 A	0.250	41 per 100 units	45 per 100 units	2012/06/11	100,000,000	2011/10/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68400	UBS AG	HSBC R Bear CBBC Apr 2012 B	0.250	69 per 100 units	65 per 100 units	2012/04/16	100,000,000	2011/10/12
68212	UBS AG	HSI R Bull CBBC Jan 2012 U	0.250	16,300 per 10,000 units	16,500 per 10,000 units	2012/01/30	300,000,000	2011/10/12
68220	UBS AG	HSI R Bull CBBC Mar 2012 F	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/03/29	300,000,000	2011/10/12
68187	UBS AG	HSI R Bull CBBC Apr 2012 X	0.250	16,400 per 13,000 units	16,700 per 13,000 units	2012/04/27	300,000,000	2011/10/12
68213	UBS AG	HSI R Bull CBBC Apr 2012 Y	0.250	14,700 per 12,000 units	14,900 per 12,000 units	2012/04/27	300,000,000	2011/10/12
68214	UBS AG	HSI R Bull CBBC May 2012 P	0.250	14,400 per 13,000 units	14,700 per 13,000 units	2012/05/30	300,000,000	2011/10/12
68367	UBS AG	HSI R Bull CBBC May 2012 Q	0.250	14,100 per 12,000 units	14,300 per 12,000 units	2012/05/30	300,000,000	2011/10/12
68215	UBS AG	HSI R Bull CBBC Jun 2012 J	0.250	13,800 per 13,000 units	14,100 per 13,000 units	2012/06/28	300,000,000	2011/10/12
68368	UBS AG	HSI R Bull CBBC Jul 2012 D	0.500	11,300 per 10,000 units	11,500 per 10,000 units	2012/07/30	300,000,000	2011/10/12
68365	UBS AG	HSI R Bear CBBC Jan 2012 Y	0.250	16,700 per 10,000 units	16,500 per 10,000 units	2012/01/30	300,000,000	2011/10/12
68366	UBS AG	HSI R Bear CBBC Feb 2012 B	0.250	16,500 per 12,000 units	16,300 per 12,000 units	2012/02/28	300,000,000	2011/10/12
68362	UBS AG	HSI R Bear CBBC Mar 2012 E	0.250	17,000 per 13,000 units	16,700 per 13,000 units	2012/03/29	300,000,000	2011/10/12
68354	UBS AG	HSI R Bear CBBC Mar 2012 G	0.250	17,100 per 12,000 units	16,900 per 12,000 units	2012/03/29	300,000,000	2011/10/12
68369	UBS AG	HSI R Bear CBBC Apr 2012 C	0.250	18,000 per 13,000 units	17,700 per 13,000 units	2012/04/27	300,000,000	2011/10/12
68352	UBS AG	HSI R Bear CBBC Apr 2012 Z	0.250	17,400 per 13,000 units	17,100 per 13,000 units	2012/04/27	300,000,000	2011/10/12
68399	UBS AG	Hutchison R Bear CBBC Apr 2012 C	0.250	64 per 100 units	60 per 100 units	2012/04/23	100,000,000	2011/10/12
68414	UBS AG	ICBC R Bull CBBC Apr 2012 C	0.250	2.700	3.000	2012/04/30	100,000,000	2011/10/12
68413	UBS AG	ICBC R Bear CBBC Apr 2012 B	0.250	4.300	4.000	2012/04/30	100,000,000	2011/10/12
68384	UBS AG	TCH R Bull CBBC May 2012 B	0.380	108 per 100 units	120 per 100 units	2012/05/28	100,000,000	2011/10/12
68370	UBS AG	TCH R Bear CBBC May 2012 A	0.265	172 per 100 units	160 per 100 units	2012/05/21	100,000,000	2011/10/12
68512	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 A	0.250	16,500 per 12,000 units	16,700 per 12,000 units	2012/04/27	200,000,000	2011/10/13
68513	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 C	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/04/27	200,000,000	2011/10/13
68510	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 K	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/04/27	200,000,000	2011/10/13
68511	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 W	0.250	16,300 per 12,000 units	16,500 per 12,000 units	2012/04/27	200,000,000	2011/10/13
68520	Credit Suisse AG	AIA R Bear CBBC Mar 2012 D	0.350	24.580	23.380	2012/03/19	80,000,000	2011/10/13
68517	Credit Suisse AG	CCB R Bull CBBC Apr 2012 A	0.250	4.280	4.580	2012/04/23	80,000,000	2011/10/13
68519	Credit Suisse AG	CNOOC R Bear CBBC Mar 2012 D	0.300	14.880	13.880	2012/03/12	80,000,000	2011/10/13
68518	Credit Suisse AG	A50 China R Bull CBBC Mar 2012 E	0.250	9.580	10.060	2012/03/19	80,000,000	2011/10/13
68524	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 F	0.250	11.480	10.980	2012/03/19	80,000,000	2011/10/13
68464	Credit Suisse AG	HSI R Bull CBBC Apr 2012 F	0.250	16,450 per 12,000 units	16,650 per 12,000 units	2012/04/27	400,000,000	2011/10/13
68466	Credit Suisse AG	HSI R Bull CBBC Apr 2012 G	0.250	16,600 per 10,000 units	16,900 per 10,000 units	2012/04/27	400,000,000	2011/10/13
68515	Credit Suisse AG	HSI R Bull CBBC Apr 2012 V	0.250	16,900 per 10,000 units	17,100 per 10,000 units	2012/04/27	400,000,000	2011/10/13
68467	Credit Suisse AG	HSI R Bull CBBC May 2012 W	0.250	16,250 per 12,000 units	16,450 per 12,000 units	2012/05/30	400,000,000	2011/10/13
68468	Credit Suisse AG	HSI R Bull CBBC May 2012 X	0.250	16,550 per 13,000 units	16,850 per 13,000 units	2012/05/30	400,000,000	2011/10/13
68516	Credit Suisse AG	HSI R Bull CBBC May 2012 Y	0.250	16,700 per 13,000 units	17,000 per 13,000 units	2012/05/30	400,000,000	2011/10/13
68456	Credit Suisse AG	HSI R Bear CBBC Jan 2012 B	0.250	17,350 per 10,000 units	17,150 per 10,000 units	2012/01/30	400,000,000	2011/10/13
68448	Credit Suisse AG	HSI R Bear CBBC Jan 2012 P	0.250	16,700 per 10,000 units	16,400 per 10,000 units	2012/01/30	400,000,000	2011/10/13
68454	Credit Suisse AG	HSI R Bear CBBC Jan 2012 U	0.250	17,150 per 13,000 units	16,850 per 13,000 units	2012/01/30	400,000,000	2011/10/13
68457	Credit Suisse AG	HSI R Bear CBBC Feb 2012 F	0.250	16,750 per 10,000 units	16,550 per 10,000 units	2012/02/28	400,000,000	2011/10/13
68458	Credit Suisse AG	HSI R Bear CBBC Feb 2012 Q	0.250	17,050 per 13,000 units	16,750 per 13,000 units	2012/02/28	400,000,000	2011/10/13
68459	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 G	0.250	16,400 per 8,000 units	16,800 per 8,000 units	2012/04/27	300,000,000	2011/10/13

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68462	Macquarie Bank Ltd.	HSI R Bear CBBC Apr 2012 H	0.250	17,600 per 8,000 units	17,200 per 8,000 units	2012/04/27	300,000,000	2011/10/13
68463	Macquarie Bank Ltd.	HSI R Bear CBBC Apr 2012 I	0.250	18,000 per 8,000 units	17,600 per 8,000 units	2012/04/27	300,000,000	2011/10/13
68418	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 E	0.250	15,700 per 12,000 units	16,100 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68446	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 I	0.250	15,900 per 12,000 units	16,300 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68514	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 J	0.250	16,200 per 12,000 units	16,600 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68421	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 F	0.250	17,700 per 12,000 units	17,300 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68432	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 G	0.250	18,100 per 12,000 units	17,700 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68433	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 H	0.270	18,400 per 12,000 units	18,000 per 12,000 units	2012/04/27	100,000,000	2011/10/13
68490	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 B	0.250	16,500 per 10,000 units	16,700 per 10,000 units	2012/04/27	300,000,000	2011/10/13
68502	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 E	0.250	16,600 per 10,000 units	16,800 per 10,000 units	2012/04/27	300,000,000	2011/10/13
68503	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 F	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/04/27	300,000,000	2011/10/13
68479	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 H	0.250	16,300 per 10,000 units	16,500 per 10,000 units	2012/04/27	300,000,000	2011/10/13
68487	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 I	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/04/27	300,000,000	2011/10/13
68471	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 X	0.250	16,000 per 10,000 units	16,200 per 10,000 units	2012/04/27	300,000,000	2011/10/13
68472	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 Y	0.250	16,100 per 12,000 units	16,300 per 12,000 units	2012/04/27	300,000,000	2011/10/13
68473	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 2012 Z	0.250	16,200 per 10,000 units	16,400 per 10,000 units	2012/04/27	300,000,000	2011/10/13
68469	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 2012 N	0.340	14,000 per 12,000 units	14,200 per 12,000 units	2012/06/28	300,000,000	2011/10/13
68470	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 2012 O	0.385	14,200 per 10,000 units	14,400 per 10,000 units	2012/06/28	300,000,000	2011/10/13
68504	UBS AG	HSI R Bull CBBC Jan 2012 V	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/01/30	300,000,000	2011/10/13
68505	UBS AG	HSI R Bull CBBC Feb 2012 H	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/02/28	300,000,000	2011/10/13
68509	UBS AG	HSI R Bull CBBC Mar 2012 I	0.250	16,800 per 13,000 units	17,100 per 13,000 units	2012/03/29	300,000,000	2011/10/13
68506	UBS AG	HSI R Bull CBBC Mar 2012 K	0.250	16,100 per 13,000 units	16,400 per 13,000 units	2012/03/29	300,000,000	2011/10/13
68508	UBS AG	HSI R Bull CBBC Apr 2012 G	0.250	15,800 per 15,000 units	16,200 per 15,000 units	2012/04/27	300,000,000	2011/10/13
68435	UBS AG	HSI R Bear CBBC Jan 2012 D	0.250	16,800 per 12,000 units	16,600 per 12,000 units	2012/01/30	300,000,000	2011/10/13
68440	UBS AG	HSI R Bear CBBC Feb 2012 C	0.250	16,700 per 13,000 units	16,400 per 13,000 units	2012/02/28	300,000,000	2011/10/13
68444	UBS AG	HSI R Bear CBBC Apr 2012 F	0.250	17,600 per 15,000 units	17,200 per 15,000 units	2012/04/27	300,000,000	2011/10/13
68560	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 B	0.250	16,900 per 12,000 units	17,100 per 12,000 units	2012/04/27	200,000,000	2011/10/14
68554	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 F	0.250	16,400 per 12,000 units	16,600 per 12,000 units	2012/04/27	200,000,000	2011/10/14
68561	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 I	0.250	17,100 per 12,000 units	17,300 per 12,000 units	2012/04/27	200,000,000	2011/10/14
68553	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 V	0.250	16,200 per 12,000 units	16,400 per 12,000 units	2012/04/27	200,000,000	2011/10/14
68565	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Y	0.250	17,300 per 12,000 units	17,500 per 12,000 units	2012/04/27	200,000,000	2011/10/14
68540	Credit Suisse AG	HSI R Bull CBBC Apr 2012 E	0.250	17,200 per 12,000 units	17,400 per 12,000 units	2012/04/27	400,000,000	2011/10/14
68541	Credit Suisse AG	HSI R Bull CBBC Apr 2012 O	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/04/27	400,000,000	2011/10/14
68546	Credit Suisse AG	HSI R Bull CBBC Apr 2012 P	0.250	17,250 per 13,000 units	17,450 per 13,000 units	2012/04/27	400,000,000	2011/10/14
68538	Credit Suisse AG	HSI R Bull CBBC Apr 2012 W	0.250	16,000 per 12,000 units	16,200 per 12,000 units	2012/04/27	400,000,000	2011/10/14
68539	Credit Suisse AG	HSI R Bull CBBC Apr 2012 X	0.250	16,300 per 10,000 units	16,500 per 10,000 units	2012/04/27	400,000,000	2011/10/14
68544	Credit Suisse AG	HSI R Bull CBBC May 2012 E	0.250	16,900 per 13,000 units	17,200 per 13,000 units	2012/05/30	400,000,000	2011/10/14
68545	Credit Suisse AG	HSI R Bull CBBC May 2012 F	0.250	17,300 per 13,000 units	17,600 per 13,000 units	2012/05/30	400,000,000	2011/10/14
68547	Credit Suisse AG	HSI R Bull CBBC May 2012 G	0.250	17,450 per 12,000 units	17,750 per 12,000 units	2012/05/30	400,000,000	2011/10/14
68542	Credit Suisse AG	HSI R Bull CBBC May 2012 Z	0.250	16,100 per 10,000 units	16,400 per 10,000 units	2012/05/30	400,000,000	2011/10/14
68531	Credit Suisse AG	HSI R Bear CBBC Jan 2012 Q	0.250	17,600 per 12,000 units	17,400 per 12,000 units	2012/01/30	400,000,000	2011/10/14
68533	Credit Suisse AG	HSI R Bear CBBC Jan 2012 T	0.250	17,900 per 12,000 units	17,600 per 12,000 units	2012/01/30	400,000,000	2011/10/14
68537	Credit Suisse AG	HSI R Bear CBBC Feb 2012 N	0.250	18,100 per 10,000 units	17,800 per 10,000 units	2012/02/28	400,000,000	2011/10/14

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68536	Credit Suisse AG	HSI R Bear CBBC Feb 2012 P	0.250	17,700 per 10,000 units	17,500 per 10,000 units	2012/02/28	400,000,000	2011/10/14
68549	Credit Suisse AG	Hutchison R Bull CBBC Mar 2012 E	0.250	56 per 100 units	59 per 100 units	2012/03/26	80,000,000	2011/10/14
68552	Credit Suisse AG	Ping An R Bull CBBC Mar 2012 A	0.250	35 per 100 units	40 per 100 units	2012/03/26	80,000,000	2011/10/14
68550	Credit Suisse AG	TCH R Bull CBBC Feb 2012 K	0.350	142 per 100 units	150 per 100 units	2012/02/28	80,000,000	2011/10/14
68551	Credit Suisse AG	TCH R Bull CBBC Mar 2012 A	0.450	132 per 100 units	140 per 100 units	2012/03/26	80,000,000	2011/10/14
68571	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 G	0.250	16,000 per 12,000 units	16,400 per 12,000 units	2012/03/29	108,000,000	2011/10/14
68573	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 H	0.250	16,800 per 10,000 units	17,200 per 10,000 units	2012/03/29	108,000,000	2011/10/14
68577	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2012 C	0.250	16,400 per 15,000 units	16,800 per 15,000 units	2012/04/27	128,000,000	2011/10/14
68578	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Apr 2012 D	0.250	17,200 per 12,000 units	17,600 per 12,000 units	2012/04/27	108,000,000	2011/10/14
68530	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 K	0.250	16,500 per 12,000 units	16,900 per 12,000 units	2012/04/27	100,000,000	2011/10/14
68591	UBS AG	ABC R Bull CBBC May 2012 A	0.250	1.900	2.200	2012/05/21	100,000,000	2011/10/14
68592	UBS AG	AIA R Bull CBBC May 2012 C	0.330	19.680	20.880	2012/05/14	100,000,000	2011/10/14
68589	UBS AG	CC Bank R Bull CBBC May 2012 C	0.250	4.200	4.500	2012/05/07	100,000,000	2011/10/14
68594	UBS AG	A50 China R Bull CBBC Apr 2012 A	0.250	9.000	9.500	2012/04/30	100,000,000	2011/10/14
68581	UBS AG	HKEx R Bull CBBC May 2012 B	0.250	94 per 100 units	100 per 100 units	2012/05/07	100,000,000	2011/10/14
68566	UBS AG	HSI R Bull CBBC Feb 2012 F	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2012/02/28	300,000,000	2011/10/14
68567	UBS AG	HSI R Bull CBBC Mar 2012 M	0.250	17,100 per 13,000 units	17,400 per 13,000 units	2012/03/29	300,000,000	2011/10/14
68568	UBS AG	HSI R Bull CBBC Jun 2012 K	0.250	15,900 per 38,000 units	16,300 per 38,000 units	2012/06/28	300,000,000	2011/10/14
68569	UBS AG	HSI R Bull CBBC Jul 2012 E	0.250	15,400 per 38,000 units	15,800 per 38,000 units	2012/07/30	300,000,000	2011/10/14
68527	UBS AG	HSI R Bear CBBC Jan 2012 Z	0.250	17,700 per 10,000 units	17,500 per 10,000 units	2012/01/30	300,000,000	2011/10/14
68528	UBS AG	HSI R Bear CBBC Mar 2012 J	0.250	18,000 per 10,000 units	17,800 per 10,000 units	2012/03/29	300,000,000	2011/10/14
68579	UBS AG	Hutchison R Bull CBBC Apr 2012 D	0.250	51 per 100 units	55 per 100 units	2012/04/23	100,000,000	2011/10/14
68593	UBS AG	ICBC R Bull CBBC May 2012 A	0.250	3.200	3.500	2012/05/07	100,000,000	2011/10/14
68582	UBS AG	TCH R Bull CBBC Jun 2012 A	0.430	128 per 100 units	140 per 100 units	2012/06/04	100,000,000	2011/10/14
68608	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 V	0.250	16,800 per 12,000 units	17,000 per 12,000 units	2012/05/30	200,000,000	2011/10/17
68610	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 W	0.250	16,000 per 12,000 units	16,200 per 12,000 units	2012/05/30	200,000,000	2011/10/17
68613	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 X	0.250	16,600 per 12,000 units	16,800 per 12,000 units	2012/05/30	200,000,000	2011/10/17
68598	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 H	0.250	17,200 per 12,000 units	17,500 per 12,000 units	2012/02/28	200,000,000	2011/10/17
68599	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 I	0.250	15,000 per 12,000 units	15,500 per 12,000 units	2012/02/28	200,000,000	2011/10/17
68600	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 J	0.250	16,000 per 12,000 units	16,500 per 12,000 units	2012/02/28	200,000,000	2011/10/17
68601	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 K	0.250	16,600 per 12,000 units	17,000 per 12,000 units	2012/02/28	200,000,000	2011/10/17
68595	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 F	0.250	16,000 per 12,000 units	16,400 per 12,000 units	2012/04/27	150,000,000	2011/10/17
68596	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 G	0.250	16,400 per 12,000 units	16,800 per 12,000 units	2012/04/27	150,000,000	2011/10/17
68597	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 H	0.250	16,800 per 12,000 units	17,200 per 12,000 units	2012/04/27	150,000,000	2011/10/17
68604	UBS AG	HSI R Bull CBBC Feb 2012 E	0.250	17,400 per 13,000 units	17,700 per 13,000 units	2012/02/28	300,000,000	2011/10/17
68603	UBS AG	HSI R Bull CBBC Apr 2012 E	0.250	16,800 per 15,000 units	17,200 per 15,000 units	2012/04/27	300,000,000	2011/10/17
68628	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 J	0.250	17,000 per 12,000 units	17,200 per 12,000 units	2012/05/30	200,000,000	2011/10/18
68629	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 K	0.250	17,200 per 12,000 units	17,400 per 12,000 units	2012/05/30	200,000,000	2011/10/18
68630	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 L	0.250	17,500 per 12,000 units	17,700 per 12,000 units	2012/05/30	200,000,000	2011/10/18
68631	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 M	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/05/30	200,000,000	2011/10/18
68621	Macquarie Bank Ltd.	HSCEI R Bull CBBC Apr 2012 D	0.250	8,200 per 5,000 units	8,400 per 5,000 units	2012/04/27	300,000,000	2011/10/18

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68620	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 J	0.250	17,000 per 8,000 units	17,400 per 8,000 units	2012/04/27	300,000,000	2011/10/18
68624	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 K	0.250	17,600 per 8,000 units	18,000 per 8,000 units	2012/04/27	300,000,000	2011/10/18
68642	UBS AG	China Mobile R Bear CBBC Jun 2012 A	0.250	81 per 100 units	78 per 100 units	2012/06/11	100,000,000	2011/10/18
68636	UBS AG	CC Bank R Bull CBBC May 2012 D	0.250	4.500	4.800	2012/05/21	100,000,000	2011/10/18
68641	UBS AG	CC Bank R Bear CBBC May 2012 E	0.250	6.300	6.000	2012/05/21	100,000,000	2011/10/18
68638	UBS AG	China Life R Bull CBBC May 2012 B	0.285	16.780	17.880	2012/05/21	100,000,000	2011/10/18
68635	UBS AG	CNOOC R Bull CBBC May 2012 A	0.265	11.080	11.880	2012/05/28	100,000,000	2011/10/18
68633	UBS AG	HKEx R Bull CBBC May 2012 C	0.250	104 per 100 units	110 per 100 units	2012/05/14	100,000,000	2011/10/18
68640	UBS AG	HKEx R Bear CBBC May 2012 D	0.250	141 per 100 units	135 per 100 units	2012/05/21	100,000,000	2011/10/18
68625	UBS AG	HSI R Bull CBBC Jan 2012 A	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/01/30	300,000,000	2011/10/18
68626	UBS AG	HSI R Bull CBBC Jan 2012 I	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/01/30	300,000,000	2011/10/18
68627	UBS AG	HSI R Bull CBBC Mar 2012 R	0.250	17,800 per 15,000 units	18,200 per 15,000 units	2012/03/29	300,000,000	2011/10/18
68622	UBS AG	HSI R Bear CBBC Jan 2012 Q	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/01/30	300,000,000	2011/10/18
68623	UBS AG	HSI R Bear CBBC Jan 2012 X	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/01/30	300,000,000	2011/10/18
68632	UBS AG	Hutchison R Bull CBBC Jun 2012 A	0.250	56 per 100 units	60 per 100 units	2012/06/04	100,000,000	2011/10/18
68639	UBS AG	Hutchison R Bear CBBC Jun 2012 B	0.250	79 per 100 units	75 per 100 units	2012/06/18	100,000,000	2011/10/18
68643	UBS AG	ICBC R Bear CBBC Apr 2012 D	0.250	5.300	5.000	2012/04/30	100,000,000	2011/10/18
68637	UBS AG	Ping An R Bull CBBC Jun 2012 A	0.250	35 per 100 units	40 per 100 units	2012/06/11	100,000,000	2011/10/18
68644	UBS AG	Ping An R Bear CBBC Jun 2012 B	0.250	60 per 100 units	55 per 100 units	2012/06/25	100,000,000	2011/10/18
68634	UBS AG	TCH R Bull CBBC Jun 2012 B	0.305	140 per 100 units	150 per 100 units	2012/06/25	100,000,000	2011/10/18
68649	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 N	0.250	17,400 per 12,000 units	17,600 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68650	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Q	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68651	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 R	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68652	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 S	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68653	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 T	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68654	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 U	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/05/30	200,000,000	2011/10/19
68647	CC Rabobank B.A.	HSI R Bull CBBC Apr 2012 I	0.250	17,200 per 10,000 units	17,600 per 10,000 units	2012/04/27	150,000,000	2011/10/19
68648	CC Rabobank B.A.	HSI R Bear CBBC Apr 2012 J	0.250	18,800 per 10,000 units	18,400 per 10,000 units	2012/04/27	150,000,000	2011/10/19
68659	UBS AG	A50 China R Bull CBBC May 2012 E	0.250	9.500	10.000	2012/05/21	100,000,000	2011/10/19
68655	UBS AG	HSI R Bull CBBC Jan 2012 B	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/01/30	300,000,000	2011/10/19
68656	UBS AG	HSI R Bull CBBC Feb 2012 J	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/02/28	300,000,000	2011/10/19
68658	UBS AG	HSI R Bull CBBC Apr 2012 M	0.250	17,800 per 13,000 units	18,100 per 13,000 units	2012/04/27	300,000,000	2011/10/19
68645	UBS AG	HSI R Bear CBBC Apr 2012 D	0.250	18,700 per 13,000 units	18,400 per 13,000 units	2012/04/27	300,000,000	2011/10/19
68646	UBS AG	HSI R Bear CBBC May 2012 R	0.250	18,600 per 15,000 units	18,200 per 15,000 units	2012/05/30	300,000,000	2011/10/19
68657	UBS AG	ICBC R Bull CBBC Apr 2012 E	0.250	3.700	4.000	2012/04/16	100,000,000	2011/10/19
68660	UBS AG	Ping An R Bear CBBC Jun 2012 C	0.250	70 per 100 units	65 per 100 units	2012/06/18	100,000,000	2011/10/19
68061 <sup>#</sup>	UBS AG	CC Bank R Bull CBBC Apr 2012 C	0.131	3.900	4.200	2012/04/02	100,000,000	2011/10/19
68676	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/04/27	200,000,000	2011/10/20



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68677	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 T	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/04/27	200,000,000	2011/10/20
68675	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Z	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/04/27	200,000,000	2011/10/20
68678	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 L	0.250	17,700 per 12,000 units	18,000 per 12,000 units	2012/02/28	200,000,000	2011/10/20
68679	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 M	0.250	18,200 per 12,000 units	18,500 per 12,000 units	2012/02/28	200,000,000	2011/10/20
68665	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Feb 2012 M	0.250	17,800 per 12,000 units	18,200 per 12,000 units	2012/02/28	108,000,000	2011/10/20
68666	KBC Fin Products Int'l Ltd.	HSI R Bull CBBC Mar 2012 I	0.250	17,600 per 15,000 units	18,000 per 15,000 units	2012/03/29	128,000,000	2011/10/20
68662	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 L	0.250	18,000 per 8,000 units	18,400 per 8,000 units	2012/04/27	300,000,000	2011/10/20
68663	Macquarie Bank Ltd.	HSI R Bear CBBC Apr 2012 M	0.250	20,200 per 8,000 units	19,800 per 8,000 units	2012/04/27	300,000,000	2011/10/20
68672	UBS AG	China Life R Bull CBBC Jun 2012 A	0.300	17.780	18.880	2012/06/04	100,000,000	2011/10/20
68674	UBS AG	China Life R Bear CBBC May 2012 C	0.325	23.980	22.880	2012/05/28	100,000,000	2011/10/20
68669	UBS AG	HSBC R Bull CBBC Jun 2012 B	0.250	56 per 100 units	60 per 100 units	2012/06/04	100,000,000	2011/10/20
68668	UBS AG	HSI R Bull CBBC Jan 2012 G	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/01/30	300,000,000	2011/10/20
68667	UBS AG	HSI R Bull CBBC Feb 2012 I	0.250	18,400 per 13,000 units	18,700 per 13,000 units	2012/02/28	300,000,000	2011/10/20
68661	UBS AG	HSI R Bear CBBC Jan 2012 L	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/01/30	300,000,000	2011/10/20
68664	UBS AG	HSI R Bear CBBC Mar 2012 O	0.250	19,000 per 13,000 units	18,700 per 13,000 units	2012/03/29	100,000,000	2011/10/20
68670	UBS AG	Hutchison R Bull CBBC Jun 2012 C	0.250	61 per 100 units	65 per 100 units	2012/06/18	100,000,000	2011/10/20
68673	UBS AG	Hutchison R Bear CBBC Jun 2012 D	0.250	84 per 100 units	80 per 100 units	2012/06/04	100,000,000	2011/10/20
68671	UBS AG	Ping An R Bull CBBC Apr 2012 A	0.250	40 per 100 units	45 per 100 units	2012/04/23	100,000,000	2011/10/20
68683	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 D	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/04/27	200,000,000	2011/10/21
68682	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 U	0.250	18,900 per 12,000 units	18,700 per 12,000 units	2012/04/27	200,000,000	2011/10/21
68680	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 L	0.250	16,900 per 12,000 units	17,300 per 12,000 units	2012/04/27	100,000,000	2011/10/21
68681	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Apr 2012 M	0.250	17,200 per 12,000 units	17,600 per 12,000 units	2012/04/27	100,000,000	2011/10/21
68684	UBS AG	HSI R Bull CBBC Jan 2012 N	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/01/30	300,000,000	2011/10/21
68685	UBS AG	HSI R Bull CBBC Mar 2012 B	0.250	18,100 per 13,000 units	18,400 per 13,000 units	2012/03/29	300,000,000	2011/10/21
68686	UBS AG	HSI R Bear CBBC Jan 2012 O	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/01/30	300,000,000	2011/10/21
68687	UBS AG	HSI R Bear CBBC Feb 2012 D	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/02/28	300,000,000	2011/10/21
68715	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 E	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/04/27	200,000,000	2011/10/24
68694	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 R	0.250	16,500 per 12,000 units	16,800 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68695	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 S	0.250	17,000 per 12,000 units	17,300 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68696	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 T	0.250	17,500 per 12,000 units	17,800 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68697	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 U	0.250	18,000 per 12,000 units	18,300 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68690	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 N	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68691	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 O	0.250	20,200 per 12,000 units	19,900 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68692	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 P	0.250	20,000 per 12,000 units	19,700 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68693	J P Morgan SP BV	HSI R Bear CBBC Feb 2012 Q	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/02/28	200,000,000	2011/10/24
68706	UBS AG	ABC R Bull CBBC May 2012 B	0.250	2.500	2.800	2012/05/21	100,000,000	2011/10/24
68707	UBS AG	AIA R Bull CBBC Apr 2012 B	0.290	20.680	21.880	2012/04/30	100,000,000	2011/10/24
68714	UBS AG	AIA R Bear CBBC May 2012 D	0.660	30.080	28.880	2012/05/14	100,000,000	2011/10/24
68710	UBS AG	BOCL R Bull CBBC Apr 2012 A	0.250	2.200	2.500	2012/04/30	100,000,000	2011/10/24
68705	UBS AG	China Mobile R Bull CBBC May 2012 A	0.250	67 per 100 units	70 per 100 units	2012/05/28	100,000,000	2011/10/24
68704	UBS AG	CC Bank R Bull CBBC Apr 2012 D	0.250	4.700	5.000	2012/04/23	100,000,000	2011/10/24

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68698	UBS AG	Cheung Kong R Bull CBBC Jun 2012 A	0.250	79 per 100 units	85 per 100 units	2012/06/11	100,000,000	2011/10/24
68703	UBS AG	CNOOC R Bull CBBC May 2012 B	0.250	12.080	12.880	2012/05/21	100,000,000	2011/10/24
68713	UBS AG	CNOOC R Bear CBBC May 2012 C	0.300	16.680	15.880	2012/05/28	100,000,000	2011/10/24
68700	UBS AG	Sinopec Corp R Bull CBBC May 2012 A	0.250	6.400	6.700	2012/05/07	100,000,000	2011/10/24
68711	UBS AG	A50 China R Bull CBBC Jun 2012 A	0.250	10.000	10.500	2012/06/04	100,000,000	2011/10/24
68688	UBS AG	HSI R Bull CBBC Feb 2012 L	0.250	17,000 per 10,000 units	17,200 per 10,000 units	2012/02/28	300,000,000	2011/10/24
68716	UBS AG	HSI R Bull CBBC Mar 2012 L	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/03/29	300,000,000	2011/10/24
68717	UBS AG	HSI R Bull CBBC Mar 2012 N	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/10/24
68689	UBS AG	HSI R Bear CBBC Feb 2012 N	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/02/28	300,000,000	2011/10/24
68708	UBS AG	ICBC R Bull CBBC Apr 2012 F	0.250	3.500	3.800	2012/04/23	100,000,000	2011/10/24
68702	UBS AG	Petrochina R Bull CBBC May 2012 A	0.250	7.380	7.880	2012/05/07	100,000,000	2011/10/24
68709	UBS AG	Ping An R Bull CBBC Jun 2012 D	0.250	45 per 100 units	50 per 100 units	2012/06/18	100,000,000	2011/10/24
68699	UBS AG	SHK Ppt R Bull CBBC May 2012 A	0.250	83 per 100 units	90 per 100 units	2012/05/28	100,000,000	2011/10/24
68701	UBS AG	TCH R Bull CBBC May 2012 C	0.250	152 per 100 units	160 per 100 units	2012/05/21	100,000,000	2011/10/24
68712	UBS AG	TCH R Bear CBBC Jun 2012 C	0.330	208 per 100 units	200 per 100 units	2012/06/18	100,000,000	2011/10/24
68719	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 J	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/03/29	200,000,000	2011/10/25
68728	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 K	0.250	19,200 per 12,000 units	19,000 per 12,000 units	2012/03/29	200,000,000	2011/10/25
68720	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 G	0.250	18,700 per 12,000 units	18,500 per 12,000 units	2012/04/27	200,000,000	2011/10/25
68722	UBS AG	China Mobile R Bull CBBC May 2012 B	0.250	62 per 100 units	65 per 100 units	2012/05/21	100,000,000	2011/10/25
68718	UBS AG	HSI R Bull CBBC Jan 2012 S	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/01/30	300,000,000	2011/10/25
68723	UBS AG	HSI R Bull CBBC May 2012 S	0.250	16,400 per 38,000 units	16,800 per 38,000 units	2012/05/30	300,000,000	2011/10/25
68724	UBS AG	HSI R Bull CBBC Jun 2012 L	0.250	16,900 per 38,000 units	17,300 per 38,000 units	2012/06/28	300,000,000	2011/10/25
68725	UBS AG	HSI R Bear CBBC Jan 2012 Y	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/01/30	300,000,000	2011/10/25
68726	UBS AG	HSI R Bear CBBC Mar 2012 X	0.250	18,400 per 13,000 units	18,100 per 13,000 units	2012/03/29	300,000,000	2011/10/25
68727	UBS AG	HSI R Bear CBBC Mar 2012 Y	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/03/29	300,000,000	2011/10/25
68729	HK Bank	HSI R Bull CBBC Jun 2012 D	0.250	15,288 per 15,000 units	15,688 per 15,000 units	2012/06/28	100,000,000	2011/10/26
68730	HK Bank	HSI R Bull CBBC Jul 2012 C	0.250	16,088 per 15,000 units	16,488 per 15,000 units	2012/07/30	100,000,000	2011/10/26
68731	HK Bank	HSI R Bull CBBC Jun 2012 A	0.250	13,600 per 32,000 units	14,000 per 32,000 units	2013/06/27	150,000,000	2011/10/26
68732	HK Bank	HSI R Bear CBBC Jun 2012 E	0.250	19,888 per 15,000 units	19,488 per 15,000 units	2012/06/28	100,000,000	2011/10/26
68733	HK Bank	HSI R Bear CBBC Jul 2012 D	0.250	20,288 per 15,000 units	19,888 per 15,000 units	2012/07/30	100,000,000	2011/10/26
68734	HK Bank	HSI R Bear CBBC Aug 2012 C	0.250	20,688 per 15,000 units	20,288 per 15,000 units	2012/08/30	150,000,000	2011/10/26
68739	Macquarie Bank Ltd.	HSCEI R Bull CBBC Apr 2012 E	0.250	8,900 per 6,000 units	9,100 per 6,000 units	2012/04/27	200,000,000	2011/10/26
68738	Macquarie Bank Ltd.	HSI R Bull CBBC Apr 2012 N	0.250	17,600 per 8,000 units	18,000 per 8,000 units	2012/04/27	300,000,000	2011/10/26
68735	CC Rabobank B.A.	HSI R Bear CBBC Apr 2012 K	0.250	19,200 per 10,000 units	18,800 per 10,000 units	2012/04/27	150,000,000	2011/10/26
68740	UBS AG	HSI R Bull CBBC Feb 2012 P	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/02/28	300,000,000	2011/10/26
68736	UBS AG	HSI R Bear CBBC Feb 2012 M	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/02/28	300,000,000	2011/10/26
68737	UBS AG	HSI R Bear CBBC Apr 2012 K	0.250	18,700 per 13,000 units	18,400 per 13,000 units	2012/04/27	300,000,000	2011/10/26
68744	HK Bank	CC Bank R Bull CBBC Dec 2012 A	0.250	4.080	4.380	2012/12/18	80,000,000	2011/10/27
68743	HK Bank	CNOOC R Bull CBBC Oct 2012 A	0.300	10.280	10.980	2012/10/18	80,000,000	2011/10/27
68745	HK Bank	A50 R Bull CBBC Jun 2012 D	0.250	8.880	9.280	2012/06/26	100,000,000	2011/10/27

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68746	HK Bank	A50 R Bull CBBC Aug 2012 C	0.250	8.480	8.880	2012/08/28	100,000,000	2011/10/27
68747	HK Bank	A50 R Bear CBBC Jun 2012 E	0.250	12.430	12.080	2012/06/26	100,000,000	2011/10/27
68742	HK Bank	Hutchison R Bull CBBC Oct 2012 A	0.250	46.38 per 100 units	49.88 per 100 units	2012/10/18	80,000,000	2011/10/27
68750	UBS AG	China Life R Bull CBBC Jun 2012 B	0.375	13.880	14.880	2012/06/04	100,000,000	2011/10/27
68751	UBS AG	China Life R Bear CBBC May 2012 D	0.430	21.880	20.880	2012/05/21	100,000,000	2011/10/27
68748	UBS AG	HSI R Bull CBBC Feb 2012 V	0.250	16,000 per 10,000 units	16,200 per 10,000 units	2012/02/28	300,000,000	2011/10/27
68749	UBS AG	HSI R Bull CBBC Feb 2012 W	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/02/28	300,000,000	2011/10/27
68741	UBS AG	HSI R Bull CBBC Apr 2012 L	0.250	17,800 per 13,000 units	18,100 per 13,000 units	2012/04/27	300,000,000	2011/10/27
68752	UBS AG	HSI R Bear CBBC Jan 2012 D	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/01/30	300,000,000	2011/10/27
68756	HK Bank	AIA R Bull CBBC Oct 2012 A	0.250	16.88 per 100 units	18.88 per 100 units	2012/10/18	80,000,000	2011/10/28
68758	HK Bank	AIA R Bear CBBC Jun 2012 A	0.250	29.88 per 100 units	27.88 per 100 units	2012/06/18	80,000,000	2011/10/28
68757	HK Bank	BOCL R Bull CBBC Jul 2012 A	0.250	1.800	1.980	2012/07/18	80,000,000	2011/10/28
68759	HK Bank	BOCL R Bear CBBC Jun 2012 A	0.250	3.260	3.080	2012/06/18	80,000,000	2011/10/28
68760	HK Bank	HSI R Bear CBBC Jun 2012 F	0.250	19,488 per 12,000 units	19,088 per 12,000 units	2012/06/28	100,000,000	2011/10/28
68755	HK Bank	Hutchison R Bull CBBC Jul 2012 A	0.250	52.88 per 100 units	56.88 per 100 units	2012/07/18	80,000,000	2011/10/28
68753	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 N	0.270	19,000 per 12,000 units	18,600 per 12,000 units	2012/04/27	100,000,000	2011/10/28
68754	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Apr 2012 O	0.250	19,300 per 12,000 units	18,900 per 12,000 units	2012/04/27	100,000,000	2011/10/28
68761	UBS AG	HSI R Bull CBBC Mar 2012 S	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/03/29	300,000,000	2011/10/28
68697*	J P Morgan SP BV	HSI R Bull CBBC Feb 2012 U	0.081	18,000 per 12,000 units	18,300 per 12,000 units	2012/02/28	200,000,000	2011/10/28
68776	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 L	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/04/27	200,000,000	2011/10/31
68771	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 M	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68772	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Q	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68773	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 R	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68774	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 S	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68775	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 T	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68770	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 U	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/05/30	200,000,000	2011/10/31
68763	HK Bank	ABC R Bull CBBC Oct 2012 A	0.250	1.730	1.980	2012/10/18	80,000,000	2011/10/31
68766	HK Bank	ABC R Bear CBBC Oct 2012 B	0.250	3.930	3.680	2012/10/18	80,000,000	2011/10/31
68767	HK Bank	China Life R Bear CBBC Oct 2012 A	0.450	21.280	20.080	2012/10/18	80,000,000	2011/10/31
68762	HK Bank	HKEx R Bull CBBC Nov 2012 A	0.250	98.68 per 100 units	104.68 per 100 units	2012/11/28	80,000,000	2011/10/31
68765	HK Bank	HKEx R Bear CBBC Sep 2012 A	0.250	131.08 per 100 units	125.08 per 100 units	2012/09/18	80,000,000	2011/10/31
68764	HK Bank	SHK Ppt R Bear CBBC Dec 2012 A	0.250	119 per 100 units	115 per 100 units	2012/12/28	80,000,000	2011/10/31
68782	UBS AG	China Mobile R Bear CBBC May 2012 C	0.250	83 per 100 units	80 per 100 units	2012/05/28	100,000,000	2011/10/31
68779	UBS AG	HKEx R Bear CBBC Jun 2012 A	0.250	131 per 100 units	125 per 100 units	2012/06/04	100,000,000	2011/10/31
68778	UBS AG	HSBC R Bear CBBC Jun 2012 C	0.250	78 per 100 units	75 per 100 units	2012/06/11	100,000,000	2011/10/31
68783	UBS AG	HSI R Bull CBBC Feb 2012 O	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/02/28	300,000,000	2011/10/31
68784	UBS AG	HSI R Bull CBBC Mar 2012 G	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/10/31
68785	UBS AG	HSI R Bull CBBC Apr 2012 S	0.250	18,400 per 13,000 units	18,700 per 13,000 units	2012/04/27	300,000,000	2011/10/31
68768	UBS AG	HSI R Bear CBBC Feb 2012 K	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/02/28	300,000,000	2011/10/31
68769	UBS AG	HSI R Bear CBBC Mar 2012 E	0.250	19,000 per 13,000 units	18,700 per 13,000 units	2012/03/29	300,000,000	2011/10/31

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68777	UBS AG	ICBC R Bull CBBC May 2012 B	0.250	3.700	4.000	2012/05/28	100,000,000	2011/10/31
68781	UBS AG	TCH R Bear CBBC May 2012 D	0.250	190.88 per 100 units	182.88 per 100 units	2012/05/28	100,000,000	2011/10/31
68780	UBS AG	TCH R Bear CBBC Jun 2012 D	0.285	200.88 per 100 units	192.88 per 100 units	2012/06/04	100,000,000	2011/10/31
68792	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Sep 2012 B	0.250	9.700	10.000	2012/09/27	100,000,000	2011/11/01
68796	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/04/27	200,000,000	2011/11/01
68797	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 T	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/04/27	200,000,000	2011/11/01
68787	HK Bank	HSI R Bull CBBC Aug 2012 D	0.250	17,088 per 15,000 units	17,488 per 15,000 units	2012/08/30	100,000,000	2011/11/01
68786	HK Bank	HSI R Bull CBBC Sep 2012 A	0.250	16,488 per 15,000 units	16,888 per 15,000 units	2012/09/27	100,000,000	2011/11/01
68789	HK Bank	ICBC R Bull CBBC Jul 2012 A	0.250	2.980	3.280	2012/07/18	80,000,000	2011/11/01
68788	HK Bank	PetCh R Bull CBBC Oct 2012 A	0.250	7.980	8.480	2012/10/26	80,000,000	2011/11/01
68790	HK Bank	PetCh R Bear CBBC Oct 2012 B	0.250	11.180	10.680	2012/10/26	80,000,000	2011/11/01
68793	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 A	0.250	18,500 per 15,000 units	18,800 per 15,000 units	2012/03/29	200,000,000	2011/11/01
68794	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 B	0.250	18,200 per 15,000 units	18,500 per 15,000 units	2012/03/29	200,000,000	2011/11/01
68795	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 C	0.250	17,700 per 15,000 units	18,000 per 15,000 units	2012/03/29	200,000,000	2011/11/01
68804	UBS AG	China Life R Bull CBBC May 2012 E	0.250	16.380	17.380	2012/05/21	100,000,000	2011/11/01
68803	UBS AG	China Life R Bull CBBC Jun 2012 C	0.305	15.380	16.380	2012/06/25	100,000,000	2011/11/01
68799	UBS AG	HSI R Bull CBBC Feb 2012 B	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/02/28	300,000,000	2011/11/01
68798	UBS AG	HSI R Bull CBBC Feb 2012 Z	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/02/28	300,000,000	2011/11/01
68800	UBS AG	HSI R Bull CBBC May 2012 T	0.250	18,100 per 13,000 units	18,400 per 13,000 units	2012/05/30	300,000,000	2011/11/01
68801	UBS AG	HSI R Bear CBBC Mar 2012 P	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2012/03/29	300,000,000	2011/11/01
68791	UBS AG	HSI R Bear CBBC Apr 2012 H	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/04/27	300,000,000	2011/11/01
68802	UBS AG	HSI R Bear CBBC Apr 2012 V	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2012/04/27	300,000,000	2011/11/01
68857*	HK Bank	HSI R Bear CBBC Jul 2012 B	0.150	21,088 per 15,000 units	20,688 per 15,000 units	2012/07/30	150,000,000	2011/11/01
68813	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 Z	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/04/27	200,000,000	2011/11/02
68809	HK Bank	China Life R Bull CBBC Aug 2012 A	0.350	15.180	16.680	2012/08/16	80,000,000	2011/11/02
68808	HK Bank	China Shenhua R Bull CBBC Dec 2012 A	0.250	24.88 per 100 units	26.88 per 100 units	2012/12/18	80,000,000	2011/11/02
68811	HK Bank	China Shenhua R Bear CBBC Dec 2012 B	0.250	39.88 per 100 units	37.88 per 100 units	2012/12/18	80,000,000	2011/11/02
68807	HK Bank	TCH R Bull CBBC Aug 2012 A	0.400	138.88 per 100 units	148.88 per 100 units	2012/08/16	80,000,000	2011/11/02
68810	HK Bank	TCH R Bear CBBC Aug 2012 B	0.300	202.88 per 100 units	192.88 per 100 units	2012/08/16	80,000,000	2011/11/02
68805	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC May 2012 A	0.250	17,500 per 12,000 units	17,900 per 12,000 units	2012/05/30	100,000,000	2011/11/02
68806	UBS AG	HSI R Bull CBBC Mar 2012 J	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/11/02
68814	UBS AG	HSI R Bull CBBC Mar 2012 R	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/03/29	300,000,000	2011/11/02
68815	UBS AG	HSI R Bull CBBC Jun 2012 M	0.250	17,400 per 38,000 units	17,800 per 38,000 units	2012/06/28	300,000,000	2011/11/02
68812	UBS AG	HSI R Bear CBBC Feb 2012 C	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/02/28	300,000,000	2011/11/02
68816	UBS AG	HSI R Bear CBBC Jun 2012 N	0.250	20,700 per 38,000 units	20,300 per 38,000 units	2012/06/28	300,000,000	2011/11/02
68817	UBS AG	HSI R Bear CBBC Jul 2012 F	0.250	21,200 per 38,000 units	20,800 per 38,000 units	2012/07/30	300,000,000	2011/11/02
68828	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 E	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/11/03
68826	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 L	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/03/29	200,000,000	2011/11/03

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68827	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 M	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/11/03
68832	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 D	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/04/27	200,000,000	2011/11/03
68829	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 E	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/04/27	200,000,000	2011/11/03
68831	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 G	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/04/27	200,000,000	2011/11/03
68830	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 U	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/04/27	200,000,000	2011/11/03
68820	Macquarie Bank Ltd.	HSI R Bull CBBC May 2012 A	0.250	18,600 per 8,000 units	19,000 per 8,000 units	2012/05/30	300,000,000	2011/11/03
68821	Macquarie Bank Ltd.	HSI R Bull CBBC May 2012 B	0.250	18,400 per 8,000 units	18,800 per 8,000 units	2012/05/30	300,000,000	2011/11/03
68822	Macquarie Bank Ltd.	HSI R Bull CBBC May 2012 C	0.259	17,900 per 8,000 units	18,300 per 8,000 units	2012/05/30	300,000,000	2011/11/03
68823	Macquarie Bank Ltd.	HSI R Bear CBBC May 2012 D	0.250	20,000 per 8,000 units	19,600 per 8,000 units	2012/05/30	300,000,000	2011/11/03
68818	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC May 2012 B	0.250	17,800 per 12,000 units	18,200 per 12,000 units	2012/05/30	100,000,000	2011/11/03
68846	UBS AG	CC Bank R Bull CBBC May 2012 F	0.250	5.000	5.300	2012/05/14	100,000,000	2011/11/03
68851	UBS AG	CC Bank R Bear CBBC Jul 2012 A	0.250	7.300	7.000	2012/07/16	100,000,000	2011/11/03
68843	UBS AG	A50 China R Bull CBBC May 2012 F	0.250	10.500	11.000	2012/05/14	100,000,000	2011/11/03
68845	UBS AG	HKEx R Bull CBBC Jun 2012 B	0.250	114 per 100 units	120 per 100 units	2012/06/11	100,000,000	2011/11/03
68849	UBS AG	HKEx R Bear CBBC Jun 2012 C	0.350	161 per 100 units	155 per 100 units	2012/06/18	100,000,000	2011/11/03
68841	UBS AG	HSCEI R Bull CBBC Jun 2012 A	0.250	9,700 per 12,000 units	10,000 per 12,000 units	2012/06/28	100,000,000	2011/11/03
68842	UBS AG	HSCEI R Bear CBBC Jun 2012 B	0.250	11,800 per 12,000 units	11,500 per 12,000 units	2012/06/28	100,000,000	2011/11/03
68833	UBS AG	HSI R Bull CBBC Feb 2012 I	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/02/28	300,000,000	2011/11/03
68834	UBS AG	HSI R Bull CBBC Mar 2012 B	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/03/29	300,000,000	2011/11/03
68835	UBS AG	HSI R Bull CBBC Mar 2012 L	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	300,000,000	2011/11/03
68836	UBS AG	HSI R Bull CBBC Jun 2012 O	0.250	17,900 per 38,000 units	18,300 per 38,000 units	2012/06/28	300,000,000	2011/11/03
68837	UBS AG	HSI R Bear CBBC Feb 2012 J	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2012/02/28	300,000,000	2011/11/03
68819	UBS AG	HSI R Bear CBBC Feb 2012 Q	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/02/28	300,000,000	2011/11/03
68824	UBS AG	HSI R Bear CBBC Feb 2012 R	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2012/02/28	300,000,000	2011/11/03
68825	UBS AG	HSI R Bear CBBC Apr 2012 C	0.250	19,700 per 13,000 units	19,400 per 13,000 units	2012/04/27	300,000,000	2011/11/03
68838	UBS AG	HSI R Bear CBBC Apr 2012 Z	0.250	22,000 per 13,000 units	21,700 per 13,000 units	2012/04/27	300,000,000	2011/11/03
68839	UBS AG	HSI R Bear CBBC May 2012 U	0.260	22,200 per 10,000 units	22,000 per 10,000 units	2012/05/30	300,000,000	2011/11/03
68840	UBS AG	HSI R Bear CBBC May 2012 V	0.250	21,700 per 38,000 units	21,300 per 38,000 units	2012/05/30	300,000,000	2011/11/03
68847	UBS AG	ICBC R Bull CBBC Jul 2012 A	0.250	4.200	4.500	2012/07/16	100,000,000	2011/11/03
68852	UBS AG	ICBC R Bear CBBC Jul 2012 B	0.250	6.300	6.000	2012/07/23	100,000,000	2011/11/03
68844	UBS AG	Jiangxi Copper R Bull CBBC Jun 2012 A	0.600	14.380	15.880	2012/06/11	100,000,000	2011/11/03
68848	UBS AG	Jiangxi Copper R Bear CBBC Jun 2012 B	0.620	25.880	23.880	2012/06/18	100,000,000	2011/11/03
68850	UBS AG	TCH R Bear CBBC Jul 2012 A	0.320	216.88 per 100 units	208.88 per 100 units	2012/07/16	100,000,000	2011/11/03
68868	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 F	0.250	19,500 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68871	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 G	0.250	19,800 per 12,000 units	20,000 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68869	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 N	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68870	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 O	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68876	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 H	0.250	19,700 per 15,000 units	20,000 per 15,000 units	2012/03/29	200,000,000	2011/11/04
68877	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 I	0.250	19,400 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68878	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 J	0.250	19,100 per 15,000 units	19,400 per 15,000 units	2012/03/29	200,000,000	2011/11/04
68879	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 K	0.250	18,800 per 12,000 units	19,100 per 12,000 units	2012/03/29	200,000,000	2011/11/04

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68872	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 D	0.250	21,900 per 12,000 units	21,700 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68873	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 E	0.250	21,600 per 15,000 units	21,400 per 15,000 units	2012/03/29	200,000,000	2011/11/04
68874	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 F	0.250	21,400 per 12,000 units	21,200 per 12,000 units	2012/03/29	200,000,000	2011/11/04
68875	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 G	0.250	21,000 per 15,000 units	20,800 per 15,000 units	2012/03/29	200,000,000	2011/11/04
68863	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC May 2012 C	0.250	18,100 per 12,000 units	18,500 per 12,000 units	2012/05/30	100,000,000	2011/11/04
68862	UBS AG	China Life R Bull CBBC Jul 2012 A	0.280	17.380	18.380	2012/07/16	100,000,000	2011/11/04
68866	UBS AG	China Life R Bear CBBC Jul 2012 B	0.280	22.880	21.880	2012/07/23	100,000,000	2011/11/04
68867	UBS AG	China Life R Bear CBBC Sep 2012 A	0.580	25.880	24.880	2012/09/17	100,000,000	2011/11/04
68864	UBS AG	HKEx R Bear CBBC May 2012 E	0.360	171 per 100 units	165 per 100 units	2012/05/21	100,000,000	2011/11/04
68857	UBS AG	HSI R Bull CBBC Feb 2012 N	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/02/28	300,000,000	2011/11/04
68858	UBS AG	HSI R Bull CBBC Mar 2012 O	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/03/29	300,000,000	2011/11/04
68859	UBS AG	HSI R Bull CBBC Jun 2012 P	0.250	19,100 per 13,000 units	19,400 per 13,000 units	2012/06/28	300,000,000	2011/11/04
68854	UBS AG	HSI R Bear CBBC Feb 2012 D	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2012/02/28	300,000,000	2011/11/04
68855	UBS AG	HSI R Bear CBBC Mar 2012 N	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/03/29	300,000,000	2011/11/04
68856	UBS AG	HSI R Bear CBBC May 2012 W	0.250	20,000 per 13,000 units	19,700 per 13,000 units	2012/05/30	300,000,000	2011/11/04
68860	UBS AG	Hutchison R Bull CBBC Jul 2012 A	0.250	66 per 100 units	70 per 100 units	2012/07/16	100,000,000	2011/11/04
68863	UBS AG	Hutchison R Bear CBBC Jul 2012 B	0.250	89 per 100 units	85 per 100 units	2012/07/23	100,000,000	2011/11/04
68861	UBS AG	Ping An R Bull CBBC Jul 2012 A	0.250	48 per 100 units	55 per 100 units	2012/07/16	100,000,000	2011/11/04
68865	UBS AG	Ping An R Bear CBBC Jul 2012 B	0.250	82 per 100 units	75 per 100 units	2012/07/23	100,000,000	2011/11/04
68893	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 H	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/03/29	200,000,000	2011/11/07
68894	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Q	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/03/29	200,000,000	2011/11/07
68896	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 P	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/03/29	200,000,000	2011/11/07
68895	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 R	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/03/29	200,000,000	2011/11/07
68881	HK Bank	HSI R Bull CBBC Oct 2012 A	0.250	17,488 per 15,000 units	17,888 per 15,000 units	2012/10/30	150,000,000	2011/11/07
68882	HK Bank	HSI R Bull CBBC Oct 2012 B	0.250	17,888 per 15,000 units	18,288 per 15,000 units	2012/10/30	150,000,000	2011/11/07
68886	Macquarie Bank Ltd.	HSCEI R Bull CBBC May 2012 A	0.250	9,500 per 8,000 units	9,700 per 8,000 units	2012/05/30	200,000,000	2011/11/07
68887	Macquarie Bank Ltd.	HSCEI R Bear CBBC May 2012 B	0.250	11,200 per 8,000 units	11,000 per 8,000 units	2012/05/30	200,000,000	2011/11/07
68880	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC May 2012 D	0.250	18,400 per 12,000 units	18,800 per 12,000 units	2012/05/30	100,000,000	2011/11/07
68897	UBS AG	China Life R Bull CBBC Jun 2012 D	0.250	18.380	19.380	2012/06/18	100,000,000	2011/11/07
68890	UBS AG	CNOOC R Bear CBBC Jun 2012 A	0.270	17.680	16.880	2012/06/25	100,000,000	2011/11/07
68883	UBS AG	HSI R Bull CBBC Feb 2012 M	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/02/28	300,000,000	2011/11/07
68885	UBS AG	HSI R Bull CBBC Apr 2012 D	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/04/27	300,000,000	2011/11/07
68884	UBS AG	HSI R Bull CBBC Apr 2012 F	0.250	18,800 per 13,000 units	19,100 per 13,000 units	2012/04/27	300,000,000	2011/11/07
68891	UBS AG	HSI R Bear CBBC Feb 2012 S	0.250	20,400 per 13,000 units	20,100 per 13,000 units	2012/02/28	300,000,000	2011/11/07
68892	UBS AG	HSI R Bear CBBC Apr 2012 M	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/04/27	300,000,000	2011/11/07
68888	UBS AG	HSI R Bear CBBC Jun 2012 Q	0.250	22,200 per 38,000 units	21,800 per 38,000 units	2012/06/28	300,000,000	2011/11/07
68889	UBS AG	HSI R Bear CBBC Jul 2012 G	0.250	22,700 per 38,000 units	22,300 per 38,000 units	2012/07/30	300,000,000	2011/11/07
68960	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 S	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/11/08
68961	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 T	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/11/08
68962	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 U	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/11/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68934	Credit Suisse AG	ABC R Bull CBBC Jul 2012 A	0.250	2.880	3.180	2012/07/30	100,000,000	2011/11/08
68947	Credit Suisse AG	AIA R Bull CBBC Apr 2012 A	0.320	20.980	21.980	2012/04/16	100,000,000	2011/11/08
68946	Credit Suisse AG	AIA R Bear CBBC Mar 2012 E	0.450	27.880	26.880	2012/03/12	100,000,000	2011/11/08
68935	Credit Suisse AG	China Mobile R Bear CBBC Apr 2012 A	0.250	82 per 100 units	79 per 100 units	2012/04/16	100,000,000	2011/11/08
68942	Credit Suisse AG	CCB R Bull CBBC May 2012 A	0.250	5.080	5.380	2012/05/14	100,000,000	2011/11/08
68941	Credit Suisse AG	CCB R Bear CBBC Apr 2012 B	0.250	6.280	5.980	2012/04/16	100,000,000	2011/11/08
68949	Credit Suisse AG	China Life R Bull CBBC May 2012 A	0.310	17.720	18.720	2012/05/14	100,000,000	2011/11/08
68948	Credit Suisse AG	China Life R Bear CBBC Mar 2012 A	0.290	23.550	22.550	2012/03/12	100,000,000	2011/11/08
68940	Credit Suisse AG	CNOOC R Bull CBBC Jun 2012 A	0.310	12.280	13.080	2012/06/18	100,000,000	2011/11/08
68939	Credit Suisse AG	CNOOC R Bear CBBC Apr 2012 A	0.250	16.780	15.980	2012/04/16	100,000,000	2011/11/08
68932	Credit Suisse AG	A50 China R Bull CBBC Mar 2012 G	0.250	10.080	10.580	2012/03/12	100,000,000	2011/11/08
68933	Credit Suisse AG	A50 China R Bull CBBC May 2012 A	0.250	10.580	11.080	2012/05/14	100,000,000	2011/11/08
68943	Credit Suisse AG	HKEx R Bull CBBC May 2012 A	0.250	124.80 per 100 units	130.80 per 100 units	2012/05/14	100,000,000	2011/11/08
68944	Credit Suisse AG	HKEx R Bull CBBC May 2012 B	0.250	114.80 per 100 units	120.80 per 100 units	2012/05/14	100,000,000	2011/11/08
68945	Credit Suisse AG	HKEx R Bull CBBC May 2012 C	0.300	104.80 per 100 units	110.80 per 100 units	2012/05/14	100,000,000	2011/11/08
68936	Credit Suisse AG	HSBC R Bull CBBC Jun 2012 A	0.250	58.88 per 100 units	61.88 per 100 units	2012/06/18	100,000,000	2011/11/08
68918	Credit Suisse AG	HSI R Bull CBBC Apr 2012 Q	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/04/27	400,000,000	2011/11/08
68921	Credit Suisse AG	HSI R Bull CBBC Apr 2012 R	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/04/27	400,000,000	2011/11/08
68909	Credit Suisse AG	HSI R Bull CBBC May 2012 L	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/05/30	400,000,000	2011/11/08
68910	Credit Suisse AG	HSI R Bull CBBC May 2012 M	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2012/05/30	400,000,000	2011/11/08
68911	Credit Suisse AG	HSI R Bull CBBC May 2012 N	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/05/30	400,000,000	2011/11/08
68912	Credit Suisse AG	HSI R Bull CBBC Jun 2012 P	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/06/28	400,000,000	2011/11/08
68914	Credit Suisse AG	HSI R Bull CBBC Jun 2012 Q	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/06/28	400,000,000	2011/11/08
68916	Credit Suisse AG	HSI R Bull CBBC Jun 2012 R	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/06/28	400,000,000	2011/11/08
68919	Credit Suisse AG	HSI R Bull CBBC Jun 2012 S	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/06/28	400,000,000	2011/11/08
68922	Credit Suisse AG	HSI R Bull CBBC Jun 2012 T	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/06/28	400,000,000	2011/11/08
68924	Credit Suisse AG	HSI R Bull CBBC Jun 2012 U	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/06/28	400,000,000	2011/11/08
68913	Credit Suisse AG	HSI R Bull CBBC Jul 2012 J	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/07/30	400,000,000	2011/11/08
68915	Credit Suisse AG	HSI R Bull CBBC Jul 2012 K	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/07/30	400,000,000	2011/11/08
68917	Credit Suisse AG	HSI R Bull CBBC Jul 2012 L	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/07/30	400,000,000	2011/11/08
68920	Credit Suisse AG	HSI R Bull CBBC Jul 2012 M	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/07/30	400,000,000	2011/11/08
68923	Credit Suisse AG	HSI R Bull CBBC Jul 2012 N	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/07/30	400,000,000	2011/11/08
68925	Credit Suisse AG	HSI R Bull CBBC Jul 2012 O	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/07/30	400,000,000	2011/11/08
68906	Credit Suisse AG	HSI R Bull CBBC Aug 2012 G	0.250	17,050 per 38,000 units	17,400 per 38,000 units	2012/08/30	400,000,000	2011/11/08
68908	Credit Suisse AG	HSI R Bull CBBC Aug 2012 H	0.250	18,050 per 38,000 units	18,400 per 38,000 units	2012/08/30	400,000,000	2011/11/08
68905	Credit Suisse AG	HSI R Bull CBBC Sep 2012 A	0.250	16,550 per 38,000 units	16,900 per 38,000 units	2012/09/27	400,000,000	2011/11/08
68907	Credit Suisse AG	HSI R Bull CBBC Sep 2012 B	0.250	17,550 per 38,000 units	17,900 per 38,000 units	2012/09/27	400,000,000	2011/11/08
68958	Credit Suisse AG	HSI R Bear CBBC Feb 2012 A	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/02/28	400,000,000	2011/11/08
68959	Credit Suisse AG	HSI R Bear CBBC Feb 2012 B	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/02/28	400,000,000	2011/11/08
68904	Credit Suisse AG	HSI R Bear CBBC Feb 2012 L	0.250	20,400 per 12,000 units	20,200 per 12,000 units	2012/02/28	400,000,000	2011/11/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68901	Credit Suisse AG	HSI R Bear CBBC Feb 2012 M	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/02/28	400,000,000	2011/11/08
68902	Credit Suisse AG	HSI R Bear CBBC Feb 2012 V	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/02/28	400,000,000	2011/11/08
68957	Credit Suisse AG	HSI R Bear CBBC Mar 2012 V	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/03/29	400,000,000	2011/11/08
68903	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Z	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2012/03/29	400,000,000	2011/11/08
68938	Credit Suisse AG	Hutchison R Bull CBBC Jun 2012 A	0.250	63 per 100 units	66 per 100 units	2012/06/18	100,000,000	2011/11/08
68937	Credit Suisse AG	Hutchison R Bear CBBC Apr 2012 A	0.250	78.40 per 100 units	74.40 per 100 units	2012/04/16	100,000,000	2011/11/08
68930	Credit Suisse AG	Ping An R Bull CBBC Jun 2012 A	0.250	40.80 per 100 units	45.80 per 100 units	2012/06/18	100,000,000	2011/11/08
68931	Credit Suisse AG	Ping An R Bull CBBC Jun 2012 B	0.250	45.80 per 100 units	50.80 per 100 units	2012/06/18	100,000,000	2011/11/08
68929	Credit Suisse AG	Ping An R Bear CBBC Apr 2012 A	0.250	69.80 per 100 units	64.80 per 100 units	2012/04/16	100,000,000	2011/11/08
68951	Credit Suisse AG	TCH R Bull CBBC May 2012 A	0.330	151 per 100 units	160 per 100 units	2012/05/28	100,000,000	2011/11/08
68952	Credit Suisse AG	TCH R Bull CBBC May 2012 B	0.250	161 per 100 units	170 per 100 units	2012/05/28	100,000,000	2011/11/08
68950	Credit Suisse AG	TCH R Bear CBBC Mar 2012 B	0.250	199 per 100 units	190 per 100 units	2012/03/12	100,000,000	2011/11/08
68998	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 A	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	128,000,000	2011/11/08
68999	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 B	0.250	18,800 per 15,000 units	19,000 per 15,000 units	2012/03/29	180,000,000	2011/11/08
68900	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 C	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/03/29	128,000,000	2011/11/08
68927	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 D	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/03/29	128,000,000	2011/11/08
68928	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 A	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/04/27	128,000,000	2011/11/08
68926	UBS AG	HSI R Bull CBBC Apr 2012 K	0.250	19,400 per 13,000 units	19,700 per 13,000 units	2012/04/27	300,000,000	2011/11/08
68953	UBS AG	HSI R Bear CBBC Mar 2012 X	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/03/29	300,000,000	2011/11/08
68955	UBS AG	HSI R Bear CBBC Apr 2012 I	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2012/04/27	300,000,000	2011/11/08
68956	UBS AG	HSI R Bear CBBC Jun 2012 R	0.250	22,100 per 12,000 units	21,900 per 12,000 units	2012/06/28	300,000,000	2011/11/08
68704 <sup>†</sup>	UBS AG	CC Bank R Bull CBBC Apr 2012 D	0.115	4.700	5.000	2012/04/23	100,000,000	2011/11/08
68990	Credit Suisse AG	HSI R Bull CBBC Mar 2012 H	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/03/29	400,000,000	2011/11/09
68988	Credit Suisse AG	HSI R Bull CBBC Apr 2012 N	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2012/04/27	400,000,000	2011/11/09
68986	Credit Suisse AG	HSI R Bull CBBC May 2012 B	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/05/30	400,000,000	2011/11/09
68989	Credit Suisse AG	HSI R Bull CBBC Jun 2012 V	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/06/28	400,000,000	2011/11/09
68965	Credit Suisse AG	HSI R Bear CBBC Feb 2012 H	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/02/28	400,000,000	2011/11/09
68967	Credit Suisse AG	HSI R Bear CBBC Feb 2012 O	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/02/28	400,000,000	2011/11/09
68963	Credit Suisse AG	HSI R Bear CBBC Mar 2012 B	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/03/29	400,000,000	2011/11/09
68966	Credit Suisse AG	HSI R Bear CBBC Mar 2012 D	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2012/03/29	400,000,000	2011/11/09
68975	UBS AG	ABC R Bull CBBC Jul 2012 A	0.250	2.800	3.100	2012/07/16	100,000,000	2011/11/09
68976	UBS AG	AIA R Bull CBBC Jun 2012 A	0.250	21.380	22.380	2012/06/25	100,000,000	2011/11/09
68979	UBS AG	BOCL R Bull CBBC Aug 2012 A	0.250	1.900	2.200	2012/08/20	100,000,000	2011/11/09
68983	UBS AG	Cheung Kong R Bear CBBC Jun 2012 B	0.250	116 per 100 units	110 per 100 units	2012/06/18	100,000,000	2011/11/09
68977	UBS AG	China Life R Bull CBBC Aug 2012 A	0.250	17.880	18.880	2012/08/13	100,000,000	2011/11/09
68978	UBS AG	China Life R Bull CBBC Aug 2012 B	0.290	16.880	17.880	2012/08/20	100,000,000	2011/11/09
68985	UBS AG	China Life R Bear CBBC Aug 2012 C	0.250	21.880	20.880	2012/08/06	100,000,000	2011/11/09
68981	UBS AG	CNOOC R Bull CBBC Jul 2012 A	0.250	13.080	13.880	2012/07/16	100,000,000	2011/11/09
68982	UBS AG	CNOOC R Bear CBBC Jul 2012 B	0.250	16.680	15.880	2012/07/23	100,000,000	2011/11/09



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68994	UBS AG	HKEx R Bull CBBC Jul 2012 A	0.250	124 per 100 units	130 per 100 units	2012/07/23	100,000,000	2011/11/09
68968	UBS AG	HSI R Bull CBBC Feb 2012 K	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/02/28	300,000,000	2011/11/09
68991	UBS AG	HSI R Bull CBBC Mar 2012 T	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/03/29	300,000,000	2011/11/09
68970	UBS AG	HSI R Bull CBBC Apr 2012 N	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/04/27	300,000,000	2011/11/09
68992	UBS AG	HSI R Bull CBBC May 2012 A	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/05/30	300,000,000	2011/11/09
68969	UBS AG	HSI R Bull CBBC May 2012 X	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/05/30	300,000,000	2011/11/09
68971	UBS AG	HSI R Bull CBBC May 2012 Y	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/05/30	300,000,000	2011/11/09
68972	UBS AG	HSI R Bear CBBC Feb 2012 C	0.250	20,550 per 13,000 units	20,250 per 13,000 units	2012/02/28	300,000,000	2011/11/09
68974	UBS AG	HSI R Bear CBBC Mar 2012 Y	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/11/09
68973	UBS AG	HSI R Bear CBBC May 2012 Z	0.250	20,550 per 10,000 units	20,350 per 10,000 units	2012/05/30	300,000,000	2011/11/09
68980	UBS AG	Petrochina R Bull CBBC Jul 2012 A	0.250	8.380	8.880	2012/07/23	100,000,000	2011/11/09
68984	UBS AG	SHK Ppt R Bear CBBC Jun 2012 A	0.250	120 per 100 units	113 per 100 units	2012/06/25	100,000,000	2011/11/09
68993	UBS AG	TCH R Bull CBBC Apr 2012 C	0.250	162 per 100 units	170 per 100 units	2012/04/23	100,000,000	2011/11/09
69005	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 V	0.250	19,600 per 12,000 units	19,400 per 12,000 units	2012/03/29	200,000,000	2011/11/10
69003	Credit Suisse AG	China Life R Bull CBBC Jun 2012 A	0.320	18.600	19.600	2012/06/18	100,000,000	2011/11/10
69002	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 H	0.250	13.100	12.800	2012/03/12	100,000,000	2011/11/10
68998	Credit Suisse AG	HSI R Bull CBBC May 2012 C	0.250	18,550 per 10,000 units	18,750 per 10,000 units	2012/05/30	400,000,000	2011/11/10
69000	Credit Suisse AG	HSI R Bull CBBC May 2012 D	0.250	18,150 per 10,000 units	18,350 per 10,000 units	2012/05/30	400,000,000	2011/11/10
68999	Credit Suisse AG	HSI R Bull CBBC Jun 2012 W	0.250	18,350 per 10,000 units	18,550 per 10,000 units	2012/06/28	400,000,000	2011/11/10
69001	Credit Suisse AG	TCH R Bear CBBC Mar 2012 C	0.250	207 per 100 units	198 per 100 units	2012/03/19	100,000,000	2011/11/10
69013	UBS AG	A50 China R Bull CBBC Jun 2012 B	0.250	11.000	11.500	2012/06/25	100,000,000	2011/11/10
69014	UBS AG	HKEx R Bull CBBC Jun 2012 D	0.250	119 per 100 units	125 per 100 units	2012/06/25	100,000,000	2011/11/10
68995	UBS AG	HSI R Bull CBBC Feb 2012 I	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/02/28	300,000,000	2011/11/10
69004	UBS AG	HSI R Bull CBBC Mar 2012 E	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/03/29	300,000,000	2011/11/10
68996	UBS AG	HSI R Bull CBBC Mar 2012 Q	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/03/29	300,000,000	2011/11/10
68997	UBS AG	HSI R Bull CBBC Mar 2012 U	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	300,000,000	2011/11/10
69007	UBS AG	HSI R Bull CBBC Jun 2012 S	0.250	18,650 per 13,000 units	18,950 per 13,000 units	2012/06/28	300,000,000	2011/11/10
69008	UBS AG	HSI R Bull CBBC Jun 2012 T	0.250	18,450 per 10,000 units	18,650 per 10,000 units	2012/06/28	300,000,000	2011/11/10
69009	UBS AG	HSI R Bull CBBC Jul 2012 H	0.250	18,250 per 13,000 units	18,550 per 13,000 units	2012/07/30	300,000,000	2011/11/10
69010	UBS AG	HSI R Bull CBBC Jul 2012 I	0.250	18,550 per 13,000 units	18,850 per 13,000 units	2012/07/30	300,000,000	2011/11/10
69011	UBS AG	HSI R Bull CBBC Aug 2012 D	0.250	18,550 per 12,000 units	18,750 per 12,000 units	2012/08/30	300,000,000	2011/11/10
69012	UBS AG	HSI R Bull CBBC Aug 2012 E	0.250	18,250 per 12,000 units	18,450 per 12,000 units	2012/08/30	300,000,000	2011/11/10
69006	UBS AG	HSI R Bear CBBC Feb 2012 Q	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2012/02/28	300,000,000	2011/11/10
69015	UBS AG	Hutchison R Bull CBBC Aug 2012 A	0.250	64 per 100 units	67 per 100 units	2012/08/13	100,000,000	2011/11/10
69040	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 X	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/11/11
69041	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Y	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/03/29	200,000,000	2011/11/11
69042	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 Z	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/03/29	200,000,000	2011/11/11
69039	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 W	0.250	20,500 per 12,000 units	20,300 per 12,000 units	2012/03/29	200,000,000	2011/11/11
69025	Credit Suisse AG	China Life R Bull CBBC Jun 2012 B	0.320	19.800	20.800	2012/06/18	100,000,000	2011/11/11
69024	Credit Suisse AG	China Life R Bear CBBC Mar 2012 B	0.280	25.600	24.600	2012/03/12	100,000,000	2011/11/11

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69026	Credit Suisse AG	Ping An R Bull CBBC Jun 2012 C	0.250	50.80 per 100 units	55.80 per 100 units	2012/06/18	100,000,000	2011/11/11
69027	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 E	0.250	17,800 per 15,000 units	18,000 per 15,000 units	2012/03/29	180,000,000	2011/11/11
69028	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 F	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/03/29	128,000,000	2011/11/11
69029	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 B	0.250	19,000 per 8,000 units	19,200 per 8,000 units	2012/04/27	108,000,000	2011/11/11
69030	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 C	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/04/27	128,000,000	2011/11/11
69031	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 A	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/05/30	128,000,000	2011/11/11
69032	UBS AG	CC Bank R Bear CBBC May 2012 G	0.250	6.800	6.500	2012/05/28	100,000,000	2011/11/11
69021	UBS AG	China Life R Bull CBBC Jul 2012 C	0.250	19.500	20.500	2012/07/23	100,000,000	2011/11/11
69019	UBS AG	China Life R Bear CBBC May 2012 F	0.580	26.880	25.880	2012/05/28	100,000,000	2011/11/11
69020	UBS AG	China Life R Bear CBBC May 2012 G	0.380	24.880	23.880	2012/05/28	100,000,000	2011/11/11
69017	UBS AG	A50 China R Bear CBBC Jul 2012 A	0.250	14.000	13.500	2012/07/30	100,000,000	2011/11/11
69018	UBS AG	A50 China R Bear CBBC Jul 2012 B	0.250	13.000	12.500	2012/07/09	100,000,000	2011/11/11
69016	UBS AG	A50 China R Bear CBBC Aug 2012 A	0.280	14.500	14.000	2012/08/20	100,000,000	2011/11/11
69034	UBS AG	HSI R Bull CBBC Feb 2012 N	0.250	18,750 per 12,000 units	19,050 per 12,000 units	2012/02/28	300,000,000	2011/11/11
69037	UBS AG	HSI R Bull CBBC Mar 2012 O	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/03/29	300,000,000	2011/11/11
69022	UBS AG	HSI R Bear CBBC Feb 2012 R	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/02/28	300,000,000	2011/11/11
69035	UBS AG	HSI R Bear CBBC Mar 2012 B	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2012/03/29	300,000,000	2011/11/11
69036	UBS AG	HSI R Bear CBBC Mar 2012 L	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2012/03/29	300,000,000	2011/11/11
69023	UBS AG	HSI R Bear CBBC Apr 2012 T	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/04/27	300,000,000	2011/11/11
69043	UBS AG	HSI R Bear CBBC May 2012 F	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2012/05/30	300,000,000	2011/11/11
69038	UBS AG	HSI R Bear CBBC Jun 2012 U	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2012/06/28	300,000,000	2011/11/11
69033	UBS AG	Hutchison R Bull CBBC Aug 2012 B	0.250	59.50 per 100 units	62.50 per 100 units	2012/08/20	100,000,000	2011/11/11
68632*	UBS AG	Hutchison R Bull CBBC Jun 2012 A	0.181	56 per 100 units	60 per 100 units	2012/06/04	100,000,000	2011/11/11
69047	Credit Suisse AG	AIA R Bull CBBC May 2012 A	0.250	21.980	22.980	2012/05/21	100,000,000	2011/11/14
69053	Credit Suisse AG	CCB R Bull CBBC May 2012 B	0.250	4.780	5.080	2012/05/21	100,000,000	2011/11/14
69048	Credit Suisse AG	CCB R Bear CBBC Apr 2012 C	0.250	6.680	6.380	2012/04/16	100,000,000	2011/11/14
69052	Credit Suisse AG	China Life R Bull CBBC Jun 2012 C	0.250	20.880	21.880	2012/06/18	100,000,000	2011/11/14
69045	Credit Suisse AG	China Life R Bear CBBC Mar 2012 C	0.500	27.600	26.600	2012/03/26	100,000,000	2011/11/14
69046	Credit Suisse AG	China Life R Bear CBBC Mar 2012 D	0.400	26.600	25.600	2012/03/26	100,000,000	2011/11/14
69049	Credit Suisse AG	CNOOC R Bull CBBC Jun 2012 B	0.250	13.280	14.080	2012/06/18	100,000,000	2011/11/14
69044	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 I	0.250	13.600	13.300	2012/03/26	100,000,000	2011/11/14
69054	Credit Suisse AG	Hutchison R Bull CBBC Jun 2012 B	0.250	68 per 100 units	71 per 100 units	2012/06/18	100,000,000	2011/11/14
69050	Credit Suisse AG	Ping An R Bear CBBC Apr 2012 B	0.250	75.10 per 100 units	70.10 per 100 units	2012/04/16	100,000,000	2011/11/14
69051	Credit Suisse AG	Ping An R Bear CBBC Apr 2012 C	0.250	79.80 per 100 units	74.80 per 100 units	2012/04/16	100,000,000	2011/11/14
69058	UBS AG	COVS R Bull CBBC Aug 2012 A	0.250	11.880	12.880	2012/08/13	100,000,000	2011/11/14

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69057	UBS AG	COVS R Bear CBBC Apr 2012 A	0.270	16.880	15.880	2012/04/23	100,000,000	2011/11/14
69056	UBS AG	China Life R Bull CBBC Jul 2012 D	0.260	20.500	21.500	2012/07/30	100,000,000	2011/11/14
69055	UBS AG	China Life R Bear CBBC Mar 2012 D	0.650	29.380	28.380	2012/03/26	100,000,000	2011/11/14
69059	UBS AG	Sinopec Corp R Bull CBBC Jul 2012 A	0.250	7.100	7.400	2012/07/23	100,000,000	2011/11/14
69060	UBS AG	HSI R Bear CBBC Feb 2012 D	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2012/02/28	300,000,000	2011/11/14
69064	Credit Suisse AG	China Life R Bear CBBC Apr 2012 A	0.650	29.100	28.100	2012/04/23	100,000,000	2011/11/15
69076	Credit Suisse AG	HSI R Bear CBBC Mar 2012 C	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2012/03/29	400,000,000	2011/11/15
69063	Credit Suisse AG	TCH R Bear CBBC Mar 2012 D	0.250	189 per 100 units	180 per 100 units	2012/03/19	100,000,000	2011/11/15
69066	Daiwa Capital Mkt	A50 China R Bull CBBC Apr 2012 B	0.250	10.680	11.180	2012/04/18	80,000,000	2011/11/15
69067	Daiwa Capital Mkt	A50 China R Bull CBBC May 2012 A	0.250	10.180	10.680	2012/05/15	80,000,000	2011/11/15
69069	Daiwa Capital Mkt	A50 China R Bull CBBC Jun 2012 A	0.250	9.580	10.080	2012/06/01	80,000,000	2011/11/15
69065	Daiwa Capital Mkt	A50 China R Bear CBBC Apr 2012 A	0.250	13.380	12.880	2012/04/02	80,000,000	2011/11/15
69068	Daiwa Capital Mkt	A50 China R Bear CBBC May 2012 B	0.250	13.980	13.480	2012/05/18	80,000,000	2011/11/15
69070	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 L	0.250	18,700 per 10,000 units	19,000 per 10,000 units	2012/03/29	200,000,000	2011/11/15
69071	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 M	0.250	18,900 per 15,000 units	19,200 per 15,000 units	2012/03/29	200,000,000	2011/11/15
69072	J P Morgan SP BV	HSI R Bull CBBC Mar 2012 N	0.250	19,300 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/11/15
69073	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 O	0.250	21,200 per 12,000 units	21,000 per 12,000 units	2012/03/29	200,000,000	2011/11/15
69074	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 P	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2012/03/29	200,000,000	2011/11/15
69075	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 Q	0.250	20,400 per 15,000 units	20,200 per 15,000 units	2012/03/29	200,000,000	2011/11/15
69077	UBS AG	Sinopec Corp R Bear CBBC Apr 2012 B	0.250	10.380	9.880	2012/04/16	100,000,000	2011/11/15
69062	UBS AG	HSI R Bear CBBC Apr 2012 H	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/04/27	300,000,000	2011/11/15
69061	UBS AG	TCH R Bear CBBC Apr 2012 D	0.250	191.88 per 100 units	183.88 per 100 units	2012/04/16	100,000,000	2011/11/15
69120	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 A	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/11/16
69121	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 B	0.250	19,500 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/11/16
69122	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 C	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/03/29	200,000,000	2011/11/16
69119	Credit Suisse AG	CNOOC R Bear CBBC Apr 2012 B	0.250	17.840	17.040	2012/04/16	100,000,000	2011/11/16
69117	Credit Suisse AG	HSI R Bull CBBC May 2012 O	0.250	19,600 per 12,000 units	19,800 per 12,000 units	2012/05/30	400,000,000	2011/11/16
69118	Credit Suisse AG	HSI R Bull CBBC Jun 2012 X	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/06/28	400,000,000	2011/11/16
69083	Credit Suisse AG	HSI R Bear CBBC Mar 2012 P	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/03/29	400,000,000	2011/11/16
69123	Daiwa Capital Mkt	HSCEI R Bull CBBC Apr 2012 A	0.250	9,900 per 10,000 units	10,200 per 10,000 units	2012/04/27	98,000,000	2011/11/16
69124	Daiwa Capital Mkt	HSCEI R Bull CBBC May 2012 A	0.250	8,700 per 10,000 units	9,000 per 10,000 units	2012/05/30	98,000,000	2011/11/16
69125	Daiwa Capital Mkt	HSCEI R Bull CBBC May 2012 B	0.250	9,300 per 12,000 units	9,600 per 12,000 units	2012/05/30	98,000,000	2011/11/16
69126	Daiwa Capital Mkt	HSCEI R Bear CBBC Apr 2012 B	0.250	11,700 per 10,000 units	11,400 per 10,000 units	2012/04/27	98,000,000	2011/11/16
69127	Daiwa Capital Mkt	HSCEI R Bear CBBC May 2012 C	0.250	12,300 per 12,000 units	12,000 per 12,000 units	2012/05/30	98,000,000	2011/11/16
69128	Daiwa Capital Mkt	HSCEI R Bear CBBC May 2012 D	0.250	12,900 per 12,000 units	12,600 per 12,000 units	2012/05/30	98,000,000	2011/11/16
69129	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 G	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2012/03/29	128,000,000	2011/11/16
69130	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 D	0.250	20,700 per 12,000 units	20,500 per 12,000 units	2012/04/27	128,000,000	2011/11/16
69131	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 E	0.250	21,400 per 15,000 units	21,200 per 15,000 units	2012/04/27	180,000,000	2011/11/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69132	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 F	0.250	21,700 per 12,000 units	21,500 per 12,000 units	2012/04/27	128,000,000	2011/11/16
69133	Daiwa Capital Mkt	HSI R Bear CBBC May 2012 B	0.250	21,000 per 15,000 units	20,800 per 15,000 units	2012/05/30	180,000,000	2011/11/16
69079	HK Bank	Sinopec Corp R Bull CBBC Oct 2012 A	0.250	6.480	6.880	2012/10/18	80,000,000	2011/11/16
69078	HK Bank	Sinopec Corp R Bear CBBC Apr 2012 A	0.250	9.880	9.580	2012/04/18	80,000,000	2011/11/16
69081	HK Bank	A50 R Bull CBBC Jul 2012 B	0.250	9.880	10.280	2012/07/26	100,000,000	2011/11/16
69080	HK Bank	A50 R Bear CBBC May 2012 A	0.250	13.480	13.080	2012/05/30	100,000,000	2011/11/16
69108	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12A	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69109	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12B	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69099	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12C	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69100	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12D	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69101	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12E	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69102	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12F	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69110	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12G	0.250	19,400 per 12,000 units	19,600 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69111	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12H	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69112	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12I	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69113	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12K	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69095	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12L	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69103	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12N	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69104	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12O	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69105	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12P	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69106	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Q	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69096	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12R	0.270	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69097	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12S	0.260	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69098	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12T	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69107	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12U	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69089	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12A	0.305	17,300 per 12,000 units	17,500 per 12,000 units	2012/04/27	300,000,000	2011/11/16
69084	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12G	0.425	16,800 per 10,000 units	17,000 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69090	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12J	0.355	17,400 per 10,000 units	17,600 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69085	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12K	0.415	16,900 per 10,000 units	17,100 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69091	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12L	0.345	17,500 per 10,000 units	17,700 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69092	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12M	0.275	17,600 per 12,000 units	17,800 per 12,000 units	2012/04/27	300,000,000	2011/11/16
69093	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12O	0.320	17,700 per 10,000 units	17,900 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69094	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12P	0.310	17,800 per 10,000 units	18,000 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69086	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12Q	0.335	17,000 per 12,000 units	17,200 per 12,000 units	2012/04/27	300,000,000	2011/11/16
69087	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12V	0.390	17,100 per 10,000 units	17,300 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69088	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Apr 12W	0.380	17,200 per 10,000 units	17,400 per 10,000 units	2012/04/27	300,000,000	2011/11/16
69115	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12M	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/03/29	300,000,000	2011/11/16
69116	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12V	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69134	UBS AG	China Life R Bull CBBC Aug 2012 D	0.250	21.500	22.500	2012/08/27	100,000,000	2011/11/16
69135	UBS AG	HSI R Bull CBBC Mar 2012 X	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/03/29	300,000,000	2011/11/16
69082	UBS AG	HSI R Bear CBBC Feb 2012 S	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/02/28	300,000,000	2011/11/16

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69194	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 D	0.250	19,300 per 12,000 units	19,100 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69198	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 E	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69195	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 I	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69196	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 J	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69197	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 K	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69152	Credit Suisse AG	China Life R Bear CBBC Mar 2012 E	0.250	24.600	23.600	2012/03/26	100,000,000	2011/11/17
69173	Credit Suisse AG	Sinopec Corp R Bull CBBC Jul 2012 A	0.250	7.240	7.540	2012/07/03	100,000,000	2011/11/17
69172	Credit Suisse AG	Sinopec Corp R Bear CBBC Feb 2012 A	0.250	8.980	8.680	2012/02/27	100,000,000	2011/11/17
69151	Credit Suisse AG	HSBC R Bear CBBC Apr 2012 A	0.250	71 per 100 units	68 per 100 units	2012/04/23	100,000,000	2011/11/17
69156	Credit Suisse AG	HSI R Bull CBBC Mar 2012 A	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/03/29	400,000,000	2011/11/17
69158	Credit Suisse AG	HSI R Bull CBBC Mar 2012 E	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2012/03/29	400,000,000	2011/11/17
69157	Credit Suisse AG	HSI R Bull CBBC May 2012 P	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2012/05/30	400,000,000	2011/11/17
69155	Credit Suisse AG	HSI R Bull CBBC Jun 2012 Y	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/06/28	400,000,000	2011/11/17
69153	Credit Suisse AG	HSI R Bear CBBC Feb 2012 C	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69154	Credit Suisse AG	HSI R Bear CBBC Feb 2012 D	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69182	Credit Suisse AG	HSI R Bear CBBC Feb 2012 E	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69168	Credit Suisse AG	HSI R Bear CBBC Feb 2012 G	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69169	Credit Suisse AG	HSI R Bear CBBC Feb 2012 T	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69170	Credit Suisse AG	HSI R Bear CBBC Feb 2012 U	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69171	Credit Suisse AG	HSI R Bear CBBC Feb 2012 X	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/02/28	400,000,000	2011/11/17
69150	Credit Suisse AG	TCH R Bear CBBC Apr 2012 A	0.250	179 per 100 units	170 per 100 units	2012/04/23	100,000,000	2011/11/17
69177	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 G	0.250	18,800 per 15,000 units	19,000 per 15,000 units	2012/04/27	180,000,000	2011/11/17
69178	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 C	0.300	16,200 per 10,000 units	16,500 per 10,000 units	2012/05/30	128,000,000	2011/11/17
69179	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 D	0.250	16,700 per 20,000 units	17,000 per 20,000 units	2012/05/30	128,000,000	2011/11/17
69180	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 E	0.250	17,200 per 15,000 units	17,400 per 15,000 units	2012/05/30	128,000,000	2011/11/17
69181	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 F	0.250	17,500 per 12,000 units	17,700 per 12,000 units	2012/05/30	128,000,000	2011/11/17
69189	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 H	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/03/29	128,000,000	2011/11/17
69190	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 I	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/03/29	128,000,000	2011/11/17
69191	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 J	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/03/29	180,000,000	2011/11/17
69192	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 H	0.250	19,800 per 15,000 units	19,600 per 15,000 units	2012/04/27	128,000,000	2011/11/17
69193	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 I	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2012/04/27	128,000,000	2011/11/17
69186	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 R	0.250	19,500 per 15,000 units	19,200 per 15,000 units	2012/03/29	200,000,000	2011/11/17
69187	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 S	0.250	20,100 per 12,000 units	19,800 per 12,000 units	2012/03/29	200,000,000	2011/11/17
69188	J P Morgan SP BV	HSI R Bear CBBC Mar 2012 T	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2012/03/29	200,000,000	2011/11/17
69136	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC May 2012 E	0.250	18,600 per 12,000 units	19,000 per 12,000 units	2012/05/30	40,000,000	2011/11/17
69137	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12W	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2012/03/29	300,000,000	2011/11/17
69138	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12A	0.350	22,700 per 12,000 units	22,500 per 12,000 units	2012/05/30	300,000,000	2011/11/17
69139	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12B	0.430	22,800 per 10,000 units	22,600 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69140	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12C	0.445	22,900 per 10,000 units	22,700 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69141	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12D	0.380	23,000 per 12,000 units	22,800 per 12,000 units	2012/05/30	300,000,000	2011/11/17
69142	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12E	0.470	23,100 per 10,000 units	22,900 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69143	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12F	0.480	23,200 per 10,000 units	23,000 per 10,000 units	2012/05/30	300,000,000	2011/11/17

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69159	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12G	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/05/30	300,000,000	2011/11/17
69160	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12H	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69161	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12I	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69162	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12J	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/05/30	300,000,000	2011/11/17
69163	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12K	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69164	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12L	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69165	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12M	0.250	20,000 per 12,000 units	19,800 per 12,000 units	2012/05/30	300,000,000	2011/11/17
69166	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12N	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69167	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12O	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2012/05/30	300,000,000	2011/11/17
69175	UBS AG	HSCEI R Bull CBBC Sep 2012 A	0.250	8,700 per 12,000 units	9,000 per 12,000 units	2012/09/27	100,000,000	2011/11/17
69174	UBS AG	HSCEI R Bear CBBC Mar 2012 A	0.250	11,300 per 12,000 units	11,000 per 12,000 units	2012/03/29	100,000,000	2011/11/17
69144	UBS AG	HSI R Bull CBBC Feb 2012 I	0.250	19,700 per 12,000 units	19,900 per 12,000 units	2012/02/28	300,000,000	2011/11/17
69176	UBS AG	HSI R Bull CBBC Mar 2012 E	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/03/29	300,000,000	2011/11/17
69145	UBS AG	HSI R Bull CBBC Mar 2012 N	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2012/03/29	300,000,000	2011/11/17
69146	UBS AG	HSI R Bull CBBC Mar 2012 Y	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/11/17
69147	UBS AG	HSI R Bull CBBC Apr 2012 C	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/04/27	300,000,000	2011/11/17
69148	UBS AG	HSI R Bull CBBC Apr 2012 D	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/04/27	300,000,000	2011/11/17
69183	UBS AG	HSI R Bear CBBC Feb 2012 K	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/02/28	300,000,000	2011/11/17
69184	UBS AG	HSI R Bear CBBC Feb 2012 M	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/02/28	300,000,000	2011/11/17
69185	UBS AG	HSI R Bear CBBC Mar 2012 R	0.250	19,800 per 12,000 units	19,600 per 12,000 units	2012/03/29	300,000,000	2011/11/17
69149	UBS AG	TCH R Bull CBBC Jun 2012 E	0.450	122 per 100 units	130 per 100 units	2012/06/11	100,000,000	2011/11/17
69213	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 L	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/03/29	200,000,000	2011/11/18
69214	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 D	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/04/27	200,000,000	2011/11/18
69216	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 G	0.250	19,900 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/11/18
69215	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 M	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/11/18
69217	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 N	0.250	20,100 per 12,000 units	19,900 per 12,000 units	2012/03/29	200,000,000	2011/11/18
69218	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 Z	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/04/27	200,000,000	2011/11/18
69210	Credit Suisse AG	A50 China R Bear CBBC Mar 2012 J	0.250	12.060	11.760	2012/03/26	100,000,000	2011/11/18
69208	Credit Suisse AG	HSI R Bull CBBC May 2012 K	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/05/30	400,000,000	2011/11/18
69199	Credit Suisse AG	HSI R Bear CBBC Mar 2012 F	0.250	19,700 per 12,000 units	19,500 per 12,000 units	2012/03/29	400,000,000	2011/11/18
69200	Credit Suisse AG	HSI R Bear CBBC Mar 2012 G	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2012/03/29	400,000,000	2011/11/18
69201	Credit Suisse AG	HSI R Bear CBBC Mar 2012 N	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/03/29	400,000,000	2011/11/18
69211	Credit Suisse AG	HSI R Bear CBBC Mar 2012 O	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2012/03/29	400,000,000	2011/11/18
69209	Credit Suisse AG	TCH R Bear CBBC Mar 2012 E	0.250	173.60 per 100 units	164.60 per 100 units	2012/03/26	100,000,000	2011/11/18
69204	HK Bank	China Mobile R Bull CBBC Jul 2012 B	0.250	68.88 per 100 units	71.88 per 100 units	2012/07/26	80,000,000	2011/11/18
69205	HK Bank	China Mobile R Bear CBBC Apr 2012 B	0.250	91.88 per 100 units	88.88 per 100 units	2012/04/26	80,000,000	2011/11/18
69202	HK Bank	CC Bank R Bull CBBC Jul 2012 A	0.250	4.380	4.680	2012/07/26	80,000,000	2011/11/18
69203	HK Bank	CC Bank R Bear CBBC May 2012 A	0.250	6.800	6.500	2012/05/18	80,000,000	2011/11/18
69206	UBS AG	HSI R Bear CBBC Mar 2012 T	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/11/18
69207	UBS AG	HSI R Bear CBBC Mar 2012 U	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/11/18
69212	UBS AG	HSI R Bear CBBC Jun 2012 V	0.250	19,850 per 13,000 units	19,550 per 13,000 units	2012/06/28	300,000,000	2011/11/18
69239	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 F	0.250	19,200 per 12,000 units	19,400 per 12,000 units	2012/03/29	200,000,000	2011/11/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69238	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 O	0.250	19,300 per 12,000 units	19,500 per 12,000 units	2012/03/29	200,000,000	2011/11/21
69240	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 S	0.250	19,000 per 12,000 units	19,200 per 12,000 units	2012/03/29	200,000,000	2011/11/21
69237	Credit Suisse AG	HSI R Bull CBBC Apr 2012 S	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2012/04/27	400,000,000	2011/11/21
69236	Credit Suisse AG	HSI R Bull CBBC Jun 2012 A	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2012/06/28	400,000,000	2011/11/21
69235	Credit Suisse AG	HSI R Bull CBBC Jul 2012 R	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/07/30	400,000,000	2011/11/21
69231	Credit Suisse AG	HSI R Bear CBBC Feb 2012 K	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2012/02/28	400,000,000	2011/11/21
69234	Credit Suisse AG	HSI R Bear CBBC Feb 2012 R	0.250	19,550 per 10,000 units	19,350 per 10,000 units	2012/02/28	400,000,000	2011/11/21
69230	Credit Suisse AG	HSI R Bear CBBC Mar 2012 L	0.255	21,600 per 10,000 units	21,400 per 10,000 units	2012/03/29	400,000,000	2011/11/21
69232	Credit Suisse AG	HSI R Bear CBBC Mar 2012 M	0.250	20,050 per 12,000 units	19,850 per 12,000 units	2012/03/29	400,000,000	2011/11/21
69233	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Q	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2012/03/29	400,000,000	2011/11/21
69228	Credit Suisse AG	HSI R Bear CBBC Jun 2012 Z	0.310	22,100 per 10,000 units	21,900 per 10,000 units	2012/06/28	400,000,000	2011/11/21
69227	Credit Suisse AG	HSI R Bear CBBC Jul 2012 P	0.320	22,200 per 10,000 units	22,000 per 10,000 units	2012/07/30	400,000,000	2011/11/21
69229	Credit Suisse AG	HSI R Bear CBBC Jul 2012 Q	0.290	21,900 per 10,000 units	21,700 per 10,000 units	2012/07/30	400,000,000	2011/11/21
69219	HK Bank	Sinopec Corp R Bull CBBC Nov 2012 A	0.250	6.980	7.380	2012/11/28	80,000,000	2011/11/21
69220	HK Bank	Sinopec Corp R Bear CBBC Jul 2012 B	0.250	10.380	10.080	2012/07/26	80,000,000	2011/11/21
69221	HK Bank	ICBC R Bull CBBC Aug 2012 A	0.250	3.580	3.880	2012/08/28	80,000,000	2011/11/21
69222	HK Bank	ICBC R Bear CBBC Apr 2012 A	0.250	6.380	6.080	2012/04/26	80,000,000	2011/11/21
69243	UBS AG	Sinopec Corp R Bull CBBC Jun 2012 A	0.250	7.580	7.880	2012/06/25	100,000,000	2011/11/21
69242	UBS AG	HKEx R Bear CBBC Jun 2012 E	0.250	144.88 per 100 units	138.88 per 100 units	2012/06/25	100,000,000	2011/11/21
69244	UBS AG	HSBC R Bear CBBC Jun 2012 D	0.250	71.88 per 100 units	68.88 per 100 units	2012/06/04	100,000,000	2011/11/21
69245	UBS AG	HSI R Bull CBBC May 2012 H	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/05/30	300,000,000	2011/11/21
69246	UBS AG	HSI R Bull CBBC Jun 2012 W	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2012/06/28	300,000,000	2011/11/21
69223	UBS AG	HSI R Bear CBBC Mar 2012 O	0.250	19,700 per 10,000 units	19,500 per 10,000 units	2012/03/29	300,000,000	2011/11/21
69226	UBS AG	HSI R Bear CBBC May 2012 G	0.250	19,600 per 10,000 units	19,400 per 10,000 units	2012/05/30	300,000,000	2011/11/21
69241	UBS AG	TCH R Bear CBBC Jun 2012 F	0.250	183 per 100 units	175 per 100 units	2012/06/25	100,000,000	2011/11/21
69250	Credit Suisse AG	HKEx R Bear CBBC Mar 2012 B	0.250	141 per 100 units	135 per 100 units	2012/03/19	100,000,000	2011/11/22
69247	Credit Suisse AG	HSBC R Bull CBBC Jun 2012 B	0.250	55 per 100 units	58 per 100 units	2012/06/18	100,000,000	2011/11/22
69249	Credit Suisse AG	HSI R Bull CBBC Jun 2012 I	0.250	19,250 per 10,000 units	19,450 per 10,000 units	2012/06/28	400,000,000	2011/11/22
69248	Credit Suisse AG	HSI R Bear CBBC Feb 2012 Z	0.250	19,750 per 10,000 units	19,550 per 10,000 units	2012/02/28	400,000,000	2011/11/22
69258	Credit Suisse AG	TCH R Bear CBBC Apr 2012 B	0.250	168 per 100 units	160 per 100 units	2012/04/23	100,000,000	2011/11/22
69253	HK Bank	China Life R Bull CBBC Jul 2012 D	0.450	18.180	19.680	2012/07/26	80,000,000	2011/11/22
69255	HK Bank	HSI R Bull CBBC Jun 2012 G	0.250	18,688 per 12,000 units	19,088 per 12,000 units	2012/06/28	100,000,000	2011/11/22
69254	HK Bank	HSI R Bull CBBC Jul 2012 E	0.250	18,288 per 15,000 units	18,688 per 15,000 units	2012/07/30	100,000,000	2011/11/22
69256	HK Bank	HSI R Bear CBBC Apr 2012 F	0.250	20,288 per 12,000 units	19,888 per 12,000 units	2012/04/27	100,000,000	2011/11/22
69251	HK Bank	PetCh R Bull CBBC Nov 2012 A	0.250	8.480	8.980	2012/11/28	80,000,000	2011/11/22
69252	HK Bank	PetCh R Bear CBBC Apr 2012 A	0.250	12.380	11.880	2012/04/18	80,000,000	2011/11/22
69257	UBS AG	HSI R Bear CBBC Jun 2012 X	0.250	20,450 per 13,000 units	20,150 per 13,000 units	2012/06/28	300,000,000	2011/11/22
69259	UBS AG	ICBC R Bear CBBC Jul 2012 C	0.250	6.000	5.700	2012/07/16	100,000,000	2011/11/22
69282	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 T	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/03/29	200,000,000	2011/11/23

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69283	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 U	0.250	19,600 per 12,000 units	19,400 per 12,000 units	2012/03/29	200,000,000	2011/11/23
69272	Credit Suisse AG	AIA R Bear CBBC Mar 2012 F	0.270	26.300	25.300	2012/03/26	100,000,000	2011/11/23
69271	Credit Suisse AG	China Life R Bear CBBC Mar 2012 F	0.250	23.600	22.600	2012/03/26	100,000,000	2011/11/23
69268	Credit Suisse AG	CNOOC R Bear CBBC Apr 2012 C	0.250	17.100	16.300	2012/04/23	100,000,000	2011/11/23
69270	Credit Suisse AG	HSBC R Bear CBBC Apr 2012 B	0.250	67 per 100 units	64 per 100 units	2012/04/23	100,000,000	2011/11/23
69277	Credit Suisse AG	HSI R Bull CBBC Jun 2012 H	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/06/28	400,000,000	2011/11/23
69261	Credit Suisse AG	HSI R Bull CBBC Jul 2012 S	0.250	18,950 per 10,000 units	19,150 per 10,000 units	2012/07/30	400,000,000	2011/11/23
69278	Credit Suisse AG	HSI R Bear CBBC Mar 2012 T	0.250	19,188 per 10,000 units	18,988 per 10,000 units	2012/03/29	400,000,000	2011/11/23
69279	Daiwa Capital Mkt	HSCEI R Bear CBBC May 2012 E	0.250	11,100 per 12,000 units	10,800 per 12,000 units	2012/05/30	98,000,000	2011/11/23
69280	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 G	0.270	15,800 per 12,000 units	16,000 per 12,000 units	2012/05/30	128,000,000	2011/11/23
69281	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 A	0.310	15,300 per 12,000 units	15,500 per 12,000 units	2012/06/28	128,000,000	2011/11/23
69265	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12A	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/11/23
69267	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12B	0.250	19,100 per 12,000 units	19,300 per 12,000 units	2012/03/29	300,000,000	2011/11/23
69273	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12F	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/03/29	300,000,000	2011/11/23
69276	UBS AG	HSI R Bull CBBC Apr 2012 M	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/04/27	300,000,000	2011/11/23
69264	UBS AG	HSI R Bull CBBC Jun 2012 Y	0.250	18,850 per 12,000 units	19,050 per 12,000 units	2012/06/28	300,000,000	2011/11/23
69284	UBS AG	HSI R Bull CBBC Jun 2012 Z	0.250	16,900 per 10,000 units	17,100 per 10,000 units	2012/06/28	300,000,000	2011/11/23
69262	UBS AG	HSI R Bull CBBC Jul 2012 J	0.250	19,040 per 13,000 units	19,340 per 13,000 units	2012/07/30	300,000,000	2011/11/23
69265	UBS AG	HSI R Bull CBBC Jul 2012 K	0.280	16,200 per 10,000 units	16,400 per 10,000 units	2012/07/30	300,000,000	2011/11/23
69286	UBS AG	HSI R Bull CBBC Jul 2012 L	0.250	17,200 per 10,000 units	17,400 per 10,000 units	2012/07/30	300,000,000	2011/11/23
69287	UBS AG	HSI R Bull CBBC Aug 2012 F	0.310	15,900 per 10,000 units	16,100 per 10,000 units	2012/08/30	300,000,000	2011/11/23
69288	UBS AG	HSI R Bull CBBC Aug 2012 G	0.250	16,500 per 10,000 units	16,700 per 10,000 units	2012/08/30	300,000,000	2011/11/23
69275	UBS AG	TCH R Bull CBBC Jun 2012 G	0.250	137 per 100 units	145 per 100 units	2012/06/18	100,000,000	2011/11/23
69274	UBS AG	TCH R Bull CBBC Jul 2012 B	0.295	127 per 100 units	135 per 100 units	2012/07/16	100,000,000	2011/11/23
68730 <sup>4</sup>	HK Bank	HSI R Bull CBBC Jul 2012 C	0.153	16,088 per 15,000 units	16,488 per 15,000 units	2012/07/30	150,000,000	2011/11/23
69306	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 R	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	200,000,000	2011/11/24
69307	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 H	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/03/29	200,000,000	2011/11/24
69308	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 Q	0.250	19,200 per 12,000 units	19,000 per 12,000 units	2012/03/29	200,000,000	2011/11/24
69309	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 X	0.250	19,500 per 12,000 units	19,300 per 12,000 units	2012/03/29	200,000,000	2011/11/24
69310	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 Y	0.250	19,900 per 12,000 units	19,700 per 12,000 units	2012/03/29	200,000,000	2011/11/24
69294	Credit Suisse AG	HSI R Bull CBBC Apr 2012 T	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/04/27	400,000,000	2011/11/24
69303	Credit Suisse AG	HSI R Bear CBBC Feb 2012 F	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/02/28	400,000,000	2011/11/24
69300	Credit Suisse AG	HSI R Bear CBBC Apr 2012 K	0.250	19,350 per 12,000 units	19,150 per 12,000 units	2012/04/27	400,000,000	2011/11/24
69302	Credit Suisse AG	ICBC R Bull CBBC Jun 2012 A	0.250	3.780	4.080	2012/06/28	100,000,000	2011/11/24
69301	Credit Suisse AG	ICBC R Bear CBBC Apr 2012 A	0.250	5.520	5.220	2012/04/23	100,000,000	2011/11/24
69299	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 K	0.250	19,000 per 15,000 units	18,800 per 15,000 units	2012/03/29	128,000,000	2011/11/24
69292	HK Bank	CC Bank R Bull CBBC Aug 2012 B	0.250	4.680	4.980	2012/08/28	80,000,000	2011/11/24
69293	HK Bank	CC Bank R Bear CBBC Apr 2012 A	0.250	6.500	6.200	2012/04/26	80,000,000	2011/11/24
69291	HK Bank	ICBC R Bull CBBC Dec 2012 A	0.250	3.280	3.580	2012/12/18	80,000,000	2011/11/24
69290	HK Bank	ICBC R Bear CBBC Apr 2012 B	0.250	6.080	5.780	2012/04/26	80,000,000	2011/11/24



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69289	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC May 2012 F	0.250	20,300 per 12,000 units	19,900 per 12,000 units	2012/05/30	40,000,000	2011/11/24
69295	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12G	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/11/24
69296	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12P	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/05/30	300,000,000	2011/11/24
69298	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12Q	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/05/30	300,000,000	2011/11/24
69305	UBS AG	COVS R Bull CBBC Aug 2012 B	0.250	10.880	11.880	2012/08/13	100,000,000	2011/11/24
69297	UBS AG	HSI R Bull CBBC Feb 2012 N	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/02/28	300,000,000	2011/11/24
69304	UBS AG	HSI R Bear CBBC Apr 2012 K	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/04/27	300,000,000	2011/11/24
69344	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 H	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/04/27	200,000,000	2011/11/25
69345	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 T	0.250	18,900 per 12,000 units	18,700 per 12,000 units	2012/04/27	200,000,000	2011/11/25
69325	Credit Suisse AG	China Life R Bear CBBC Apr 2012 B	0.250	22.600	21.600	2012/04/10	100,000,000	2011/11/25
69323	Credit Suisse AG	CNOOC R Bear CBBC Apr 2012 D	0.250	16.360	15.560	2012/04/10	100,000,000	2011/11/25
69324	Credit Suisse AG	HKEx R Bear CBBC Mar 2012 C	0.250	135.10 per 100 units	129.10 per 100 units	2012/03/26	100,000,000	2011/11/25
69319	Credit Suisse AG	HSI R Bull CBBC May 2012 L	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/05/30	400,000,000	2011/11/25
69320	Credit Suisse AG	HSI R Bull CBBC Jun 2012 F	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/06/28	400,000,000	2011/11/25
69327	Credit Suisse AG	HSI R Bear CBBC Feb 2012 Q	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/02/28	400,000,000	2011/11/25
69328	Credit Suisse AG	HSI R Bear CBBC Mar 2012 I	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	400,000,000	2011/11/25
69329	Credit Suisse AG	HSI R Bear CBBC Mar 2012 K	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/03/29	400,000,000	2011/11/25
69326	Credit Suisse AG	Ping An R Bear CBBC Apr 2012 D	0.250	64.50 per 100 units	59.50 per 100 units	2012/04/16	100,000,000	2011/11/25
69333	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 L	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/03/29	128,000,000	2011/11/25
69315	HK Bank	ABC R Bear CBBC Apr 2012 A	0.250	4.830	4.580	2012/04/18	80,000,000	2011/11/25
69314	HK Bank	China Life R Bear CBBC Mar 2012 A	0.250	28.38 per 100 units	26.88 per 100 units	2012/03/28	80,000,000	2011/11/25
69318	HK Bank	Hutchison R Bull CBBC Aug 2012 A	0.250	57.88 per 100 units	61.88 per 100 units	2012/08/28	80,000,000	2011/11/25
69316	HK Bank	Hutchison R Bear CBBC Jun 2012 C	0.250	79.88 per 100 units	75.88 per 100 units	2012/06/18	80,000,000	2011/11/25
69317	HK Bank	Hutchison R Bear CBBC Jul 2012 B	0.250	89.88 per 100 units	85.88 per 100 units	2012/07/18	80,000,000	2011/11/25
69331	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 A	0.250	19,300 per 12,000 units	19,000 per 12,000 units	2012/04/27	200,000,000	2011/11/25
69332	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 B	0.250	19,100 per 10,000 units	18,800 per 10,000 units	2012/04/27	200,000,000	2011/11/25
69311	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12H	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/11/25
69312	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12I	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/11/25
69313	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12K	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/11/25
69330	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12R	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/05/30	300,000,000	2011/11/25
69339	UBS AG	China Life R Bear CBBC Jun 2012 E	0.270	22.880	21.880	2012/06/25	100,000,000	2011/11/25
69341	UBS AG	China Life R Bear CBBC Jul 2012 E	0.370	23.880	22.880	2012/07/16	100,000,000	2011/11/25
69340	UBS AG	CNOOC R Bear CBBC Jul 2012 C	0.250	16.880	16.080	2012/07/09	100,000,000	2011/11/25
69337	UBS AG	A50 China R Bear CBBC Jun 2012 C	0.250	12.380	11.880	2012/06/18	100,000,000	2011/11/25
69321	UBS AG	HSI R Bull CBBC Feb 2012 Q	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/02/28	300,000,000	2011/11/25
69322	UBS AG	HSI R Bull CBBC Mar 2012 J	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/03/29	300,000,000	2011/11/25
69334	UBS AG	HSI R Bear CBBC Feb 2012 B	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/02/28	300,000,000	2011/11/25
69335	UBS AG	HSI R Bear CBBC Feb 2012 I	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/02/28	300,000,000	2011/11/25
69336	UBS AG	HSI R Bear CBBC Mar 2012 X	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	300,000,000	2011/11/25

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69343	UBS AG	ICBC R Bull CBBC Jul 2012 D	0.250	3.900	4.200	2012/07/16	100,000,000	2011/11/25
69338	UBS AG	ICBC R Bear CBBC Jun 2012 A	0.250	5.300	5.000	2012/06/25	100,000,000	2011/11/25
69342	UBS AG	TCH R Bear CBBC Jul 2012 C	0.250	173 per 100 units	165 per 100 units	2012/07/16	100,000,000	2011/11/25
69373	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 D	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/04/27	200,000,000	2011/11/28
69372	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 G	0.250	18,600 per 12,000 units	18,400 per 12,000 units	2012/04/27	200,000,000	2011/11/28
69374	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2012 T	0.250	19,000 per 12,000 units	18,800 per 12,000 units	2012/05/30	200,000,000	2011/11/28
69358	Credit Suisse AG	AIA R Bear CBBC Apr 2012 B	0.250	25.000	24.000	2012/04/23	100,000,000	2011/11/28
69357	Credit Suisse AG	China Life R Bear CBBC Apr 2012 C	0.250	21.600	20.600	2012/04/10	100,000,000	2011/11/28
69354	Credit Suisse AG	HSI R Bull CBBC May 2012 B	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/05/30	400,000,000	2011/11/28
69355	Credit Suisse AG	HSI R Bull CBBC Jun 2012 G	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/06/28	400,000,000	2011/11/28
69361	Credit Suisse AG	HSI R Bear CBBC Feb 2012 W	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/02/28	400,000,000	2011/11/28
69360	Credit Suisse AG	HSI R Bear CBBC Mar 2012 J	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/03/29	400,000,000	2011/11/28
69359	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Y	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/03/29	400,000,000	2011/11/28
69356	Credit Suisse AG	TCH R Bull CBBC May 2012 C	0.250	138.30 per 100 units	147.30 per 100 units	2012/05/28	100,000,000	2011/11/28
69347	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 J	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/04/27	128,000,000	2011/11/28
69369	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 K	0.250	18,400 per 12,000 units	18,200 per 12,000 units	2012/04/27	128,000,000	2011/11/28
69371	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 C	0.250	18,800 per 15,000 units	18,500 per 15,000 units	2012/04/27	200,000,000	2011/11/28
69349	Macquarie Bank Ltd.	HSCEI R Bear CBBC May 2012 C	0.250	11,200 per 8,000 units	11,000 per 8,000 units	2012/05/30	200,000,000	2011/11/28
69348	Macquarie Bank Ltd.	HSI R Bear CBBC May 2012 E	0.250	19,500 per 8,000 units	19,100 per 8,000 units	2012/05/30	200,000,000	2011/11/28
69364	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC May 2012 G	0.250	19,900 per 12,000 units	19,500 per 12,000 units	2012/05/30	40,000,000	2011/11/28
69346	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12N	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/11/28
69362	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12S	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/05/30	300,000,000	2011/11/28
69363	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12T	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/05/30	300,000,000	2011/11/28
69353	UBS AG	HSI R Bull CBBC Feb 2012 O	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/02/28	300,000,000	2011/11/28
69351	UBS AG	HSI R Bull CBBC Mar 2012 E	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/11/28
69366	UBS AG	HSI R Bear CBBC Feb 2012 P	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/02/28	300,000,000	2011/11/28
69365	UBS AG	HSI R Bear CBBC Feb 2012 Z	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/02/28	300,000,000	2011/11/28
69367	UBS AG	HSI R Bear CBBC Mar 2012 N	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/03/29	300,000,000	2011/11/28
69368	UBS AG	HSI R Bear CBBC Jul 2012 M	0.250	20,200 per 38,000 units	19,800 per 38,000 units	2012/07/30	300,000,000	2011/11/28
69370	UBS AG	Hutchison R Bear CBBC Aug 2012 C	0.250	73 per 100 units	70 per 100 units	2012/08/13	100,000,000	2011/11/28
69397	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 L	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/04/27	200,000,000	2011/11/29
69398	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 U	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/04/27	200,000,000	2011/11/29
69399	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2012 R	0.250	18,700 per 12,000 units	18,500 per 12,000 units	2012/05/30	200,000,000	2011/11/29
69400	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2012 S	0.250	18,900 per 12,000 units	18,700 per 12,000 units	2012/05/30	200,000,000	2011/11/29
69387	Credit Suisse AG	HSI R Bull CBBC Jun 2012 P	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/06/28	400,000,000	2011/11/29
69386	Credit Suisse AG	HSI R Bear CBBC Mar 2012 R	0.250	18,500 per 10,000 units	18,300 per 10,000 units	2012/03/29	400,000,000	2011/11/29
69401	Daiwa Capital Mkt	A50 China R Bull CBBC May 2012 C	0.250	9.080	9.580	2012/05/02	80,000,000	2011/11/29
69402	Daiwa Capital Mkt	A50 China R Bull CBBC Jun 2012 B	0.250	8.580	9.080	2012/06/01	80,000,000	2011/11/29
69403	Daiwa Capital Mkt	A50 China R Bear CBBC Mar 2012 A	0.250	12.880	12.380	2012/03/15	80,000,000	2011/11/29
69404	Daiwa Capital Mkt	A50 China R Bear CBBC Apr 2012 C	0.250	12.100	11.600	2012/04/02	80,000,000	2011/11/29

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69405	Daiwa Capital Mkt	HSCEI R Bull CBBC May 2012 F	0.250	7,500 per 10,000 units	7,800 per 10,000 units	2012/05/30	98,000,000	2011/11/29
69406	Daiwa Capital Mkt	HSCEI R Bull CBBC May 2012 G	0.250	8,100 per 12,000 units	8,400 per 12,000 units	2012/05/30	98,000,000	2011/11/29
69407	Daiwa Capital Mkt	HSCEI R Bear CBBC Apr 2012 C	0.250	10,500 per 10,000 units	10,200 per 10,000 units	2012/04/27	98,000,000	2011/11/29
69378	HK Bank	HSI R Bear CBBC Apr 2012 G	0.250	19,488 per 15,000 units	19,088 per 15,000 units	2012/04/27	200,000,000	2011/11/29
69379	HK Bank	HSI R Bear CBBC Apr 2012 H	0.250	19,888 per 15,000 units	19,488 per 15,000 units	2012/04/27	200,000,000	2011/11/29
69380	CC Rabobank B.A.	HSI R Bull CBBC Jun 2012 A	0.250	17,600 per 11,000 units	18,000 per 11,000 units	2012/06/28	150,000,000	2011/11/29
69381	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 B	0.250	19,200 per 11,000 units	18,800 per 11,000 units	2012/06/28	150,000,000	2011/11/29
69382	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 C	0.250	19,600 per 11,000 units	19,200 per 11,000 units	2012/06/28	150,000,000	2011/11/29
69383	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 D	0.250	20,000 per 11,000 units	19,600 per 11,000 units	2012/06/28	150,000,000	2011/11/29
69384	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 E	0.250	20,400 per 11,000 units	20,000 per 11,000 units	2012/06/28	150,000,000	2011/11/29
69375	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12U	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/05/30	300,000,000	2011/11/29
69376	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12V	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/05/30	300,000,000	2011/11/29
69377	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12W	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/05/30	300,000,000	2011/11/29
69394	UBS AG	BOCL R Bull CBBC Jul 2012 A	0.250	1.700	2.000	2012/07/23	100,000,000	2011/11/29
69393	UBS AG	CC Bank R Bear CBBC Jul 2012 B	0.250	6.100	5.800	2012/07/09	100,000,000	2011/11/29
69391	UBS AG	Sinopec Corp R Bull CBBC Jun 2012 B	0.250	7.400	7.700	2012/06/25	100,000,000	2011/11/29
69390	UBS AG	HKEx R Bear CBBC Jun 2012 F	0.250	136 per 100 units	130 per 100 units	2012/06/11	100,000,000	2011/11/29
69392	UBS AG	HSBC R Bear CBBC Jun 2012 E	0.250	68 per 100 units	65 per 100 units	2012/06/25	100,000,000	2011/11/29
69385	UBS AG	HSI R Bull CBBC Apr 2012 F	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/04/27	300,000,000	2011/11/29
69388	UBS AG	HSI R Bear CBBC Apr 2012 T	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/04/27	300,000,000	2011/11/29
69389	UBS AG	HSI R Bear CBBC May 2012 R	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/05/30	300,000,000	2011/11/29
69396	UBS AG	HSI R Bear CBBC Jun 2012 A	0.250	19,350 per 13,000 units	19,050 per 13,000 units	2012/06/28	300,000,000	2011/11/29
69395	UBS AG	TCH R Bull CBBC Jul 2012 D	0.250	142 per 100 units	150 per 100 units	2012/07/30	100,000,000	2011/11/29
69433	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 E	0.250	18,200 per 12,000 units	18,000 per 12,000 units	2012/04/27	200,000,000	2011/11/30
69414	Credit Suisse AG	China Mobile R Bull CBBC May 2012 A	0.250	67.30 per 100 units	70.30 per 100 units	2012/05/28	100,000,000	2011/11/30
69415	Credit Suisse AG	HKEx R Bear CBBC Mar 2012 D	0.250	132.30 per 100 units	126.30 per 100 units	2012/03/26	100,000,000	2011/11/30
69418	Credit Suisse AG	HSI R Bull CBBC May 2012 M	0.250	16,800 per 10,000 units	17,000 per 10,000 units	2012/05/30	400,000,000	2011/11/30
69419	Credit Suisse AG	HSI R Bull CBBC Jun 2012 Q	0.250	16,500 per 10,000 units	16,700 per 10,000 units	2012/06/28	400,000,000	2011/11/30
69408	Credit Suisse AG	HSI R Bull CBBC Jun 2012 V	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/06/28	400,000,000	2011/11/30
69421	Credit Suisse AG	HSI R Bull CBBC Jul 2012 T	0.250	16,100 per 10,000 units	16,300 per 10,000 units	2012/07/30	400,000,000	2011/11/30
69422	Credit Suisse AG	HSI R Bear CBBC Mar 2012 S	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/03/29	400,000,000	2011/11/30
69425	Credit Suisse AG	HSI R Bear CBBC Mar 2012 V	0.250	18,088 per 10,000 units	17,888 per 10,000 units	2012/03/29	400,000,000	2011/11/30
69417	Credit Suisse AG	Hutchison R Bear CBBC Apr 2012 B	0.250	73.80 per 100 units	70.80 per 100 units	2012/04/16	100,000,000	2011/11/30
69416	Credit Suisse AG	Ping An R Bear CBBC Apr 2012 E	0.250	59.40 per 100 units	54.40 per 100 units	2012/04/16	100,000,000	2011/11/30
69412	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 L	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/04/27	128,000,000	2011/11/30
69426	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 M	0.250	18,100 per 8,000 units	17,900 per 8,000 units	2012/03/29	128,000,000	2011/11/30
69427	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 N	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/03/29	128,000,000	2011/11/30
69423	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 D	0.250	18,300 per 10,000 units	18,000 per 10,000 units	2012/04/27	200,000,000	2011/11/30
69424	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 E	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/04/27	200,000,000	2011/11/30
69410	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12O	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/11/30
69411	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12X	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/05/30	300,000,000	2011/11/30
69432	UBS AG	COVS R Bull CBBC Jul 2012 A	0.285	8.880	9.880	2012/07/30	100,000,000	2011/11/30

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69431	UBS AG	China Life R Bear CBBC Jul 2012 F	0.250	21.880	20.880	2012/07/30	100,000,000	2011/11/30
69413	UBS AG	HSI R Bull CBBC Mar 2012 Y	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/11/30
69430	UBS AG	HSI R Bull CBBC Jun 2012 H	0.250	17,250 per 13,000 units	17,550 per 13,000 units	2012/06/28	300,000,000	2011/11/30
69428	UBS AG	HSI R Bear CBBC Mar 2012 G	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/11/30
69429	UBS AG	HSI R Bear CBBC Jun 2012 C	0.250	18,100 per 12,000 units	17,900 per 12,000 units	2012/06/28	300,000,000	2011/11/30
65886*	HK Bank	HSI R Bull CBBC Aug 2012 B	0.082	15,600 per 25,000 units	16,000 per 25,000 units	2012/08/30	200,000,000	2011/11/30
68729*	HK Bank	HSI R Bull CBBC Jun 2012 D	0.158	15,288 per 15,000 units	15,688 per 15,000 units	2012/06/28	200,000,000	2011/11/30
69461	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2012 M	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/05/30	200,000,000	2011/12/01
69462	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2012 Q	0.250	18,400 per 12,000 units	18,200 per 12,000 units	2012/05/30	200,000,000	2011/12/01
69455	Credit Suisse AG	HSI R Bull CBBC Jul 2012 U	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/07/30	400,000,000	2011/12/01
69454	Credit Suisse AG	HSI R Bear CBBC Mar 2012 B	0.250	18,100 per 10,000 units	17,900 per 10,000 units	2012/03/29	400,000,000	2011/12/01
69453	Credit Suisse AG	HSI R Bear CBBC Apr 2012 U	0.250	18,300 per 12,000 units	18,100 per 12,000 units	2012/04/27	400,000,000	2011/12/01
69456	Credit Suisse AG	Hutchison R Bull CBBC Jun 2012 C	0.250	57.30 per 100 units	60.30 per 100 units	2012/06/18	100,000,000	2011/12/01
69452	HK Bank	HKEx R Bear CBBC Jun 2012 A	0.450	158.88 per 100 units	150.88 per 100 units	2012/06/18	80,000,000	2011/12/01
69446	HK Bank	HSI R Bull CBBC Aug 2012 E	0.250	14,888 per 15,000 units	15,288 per 15,000 units	2012/08/30	200,000,000	2011/12/01
69449	HK Bank	HSI R Bull CBBC Aug 2012 F	0.250	14,088 per 25,000 units	14,488 per 25,000 units	2012/08/30	200,000,000	2011/12/01
69450	HK Bank	HSI R Bull CBBC Aug 2012 G	0.250	14,488 per 15,000 units	14,888 per 15,000 units	2012/08/30	200,000,000	2011/12/01
69451	HK Bank	HSI R Bull CBBC May 2013 B	0.250	12,600 per 35,000 units	13,000 per 35,000 units	2013/05/30	200,000,000	2011/12/01
69445	HK Bank	HSI R Bear CBBC Apr 2012 I	0.250	19,088 per 15,000 units	18,688 per 15,000 units	2012/04/27	150,000,000	2011/12/01
69437	Macquarie Bank Ltd.	HSCEI R Bear CBBC Jun 2012 A	0.250	10,000 per 8,000 units	9,800 per 8,000 units	2012/06/28	200,000,000	2011/12/01
69438	Macquarie Bank Ltd.	HSCEI R Bear CBBC Jun 2012 B	0.250	9,800 per 8,000 units	9,600 per 8,000 units	2012/06/28	200,000,000	2011/12/01
69435	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2012 A	0.250	18,600 per 8,000 units	18,200 per 8,000 units	2012/06/28	200,000,000	2011/12/01
69436	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2012 B	0.250	19,000 per 8,000 units	18,500 per 8,000 units	2012/06/28	200,000,000	2011/12/01
69439	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12Q	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/03/29	300,000,000	2011/12/01
69434	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12P	0.250	18,200 per 12,000 units	18,000 per 12,000 units	2012/03/29	300,000,000	2011/12/01
69443	UBS AG	HSI R Bull CBBC May 2012 K	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/05/30	300,000,000	2011/12/01
69459	UBS AG	HSI R Bull CBBC May 2012 L	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/05/30	300,000,000	2011/12/01
69460	UBS AG	HSI R Bear CBBC Aug 2012 H	0.250	19,700 per 38,000 units	19,300 per 38,000 units	2012/08/30	300,000,000	2011/12/01
69444	UBS AG	Hutchison R Bull CBBC Jun 2012 E	0.250	62 per 100 units	65 per 100 units	2012/06/25	100,000,000	2011/12/01
69457	UBS AG	Hutchison R Bull CBBC Aug 2012 D	0.250	54.50 per 100 units	57.50 per 100 units	2012/08/06	100,000,000	2011/12/01
69458	UBS AG	ICBC R Bear CBBC May 2012 C	0.250	5.050	4.750	2012/05/28	100,000,000	2011/12/01
69471	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 V	0.250	18,300 per 12,000 units	18,100 per 12,000 units	2012/03/29	200,000,000	2011/12/02
69470	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 Z	0.250	18,000 per 12,000 units	17,800 per 12,000 units	2012/03/29	200,000,000	2011/12/02
69463	Credit Suisse AG	HSI R Bull CBBC May 2012 N	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/05/30	400,000,000	2011/12/02
69468	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Z	0.250	17,900 per 10,000 units	17,700 per 10,000 units	2012/03/29	400,000,000	2011/12/02
69466	Daiwa Capital Mkt	HSI R Bull CBBC Apr 2012 M	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/04/27	128,000,000	2011/12/02
69472	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 O	0.250	17,900 per 12,000 units	17,700 per 12,000 units	2012/03/29	128,000,000	2011/12/02
69464	UBS AG	HSI R Bull CBBC Mar 2012 S	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/03/29	300,000,000	2011/12/02
69465	UBS AG	HSI R Bull CBBC Jun 2012 E	0.250	15,500 per 10,000 units	15,700 per 10,000 units	2012/06/28	300,000,000	2011/12/02
69469	UBS AG	HSI R Bear CBBC Apr 2012 C	0.250	17,900 per 10,000 units	17,700 per 10,000 units	2012/04/27	300,000,000	2011/12/02
69483	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 A	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/03/29	200,000,000	2011/12/05
69484	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 B	0.250	17,600 per 12,000 units	17,800 per 12,000 units	2012/03/29	200,000,000	2011/12/05

**Newly listed callable bull/bear contracts (continued)**

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69478	Credit Suisse AG	HSBC R Bear CBBC Apr 2012 C	0.250	63.35 per 100 units	60.35 per 100 units	2012/04/23	100,000,000	2011/12/05
69482	Credit Suisse AG	HSI R Bull CBBC Jun 2012 X	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/06/28	400,000,000	2011/12/05
69481	Credit Suisse AG	HSI R Bull CBBC Jul 2012 V	0.250	17,400 per 10,000 units	17,600 per 10,000 units	2012/07/30	400,000,000	2011/12/05
69480	Credit Suisse AG	HSI R Bull CBBC Aug 2012 I	0.250	17,000 per 10,000 units	17,200 per 10,000 units	2012/08/30	400,000,000	2011/12/05
69473	Credit Suisse AG	HSI R Bear CBBC Mar 2012 H	0.250	18,000 per 12,000 units	17,800 per 12,000 units	2012/03/29	400,000,000	2011/12/05
69479	Credit Suisse AG	Ping An R Bull CBBC Jun 2012 D	0.250	45.80 per 100 units	50.80 per 100 units	2012/06/18	100,000,000	2011/12/05
69485	Credit Suisse AG	TCH R Bear CBBC Apr 2012 C	0.250	165.80 per 100 units	156.80 per 100 units	2012/04/23	100,000,000	2011/12/05
69475	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12Y	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/05/30	300,000,000	2011/12/05
69476	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12Z	0.250	18,500 per 10,000 units	18,300 per 10,000 units	2012/05/30	300,000,000	2011/12/05
69477	UBS AG	HKEx R Bull CBBC Mar 2012 D	0.250	109 per 100 units	115 per 100 units	2012/03/19	100,000,000	2011/12/05
69474	UBS AG	HSI R Bear CBBC May 2012 W	0.250	18,000 per 10,000 units	17,800 per 10,000 units	2012/05/30	300,000,000	2011/12/05
69522	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 L	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/05/30	200,000,000	2011/12/06
69523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 U	0.250	17,500 per 12,000 units	17,700 per 12,000 units	2012/05/30	200,000,000	2011/12/06
69500	Credit Suisse AG	China Life R Bull CBBC May 2012 B	0.265	16.980	17.980	2012/05/28	100,000,000	2011/12/06
69490	Credit Suisse AG	HKEx R Bull CBBC May 2012 D	0.250	112 per 100 units	118 per 100 units	2012/05/28	100,000,000	2011/12/06
69512	Credit Suisse AG	HSI R Bull CBBC Jun 2012 R	0.250	18,050 per 10,000 units	18,250 per 10,000 units	2012/06/28	400,000,000	2011/12/06
69511	Credit Suisse AG	HSI R Bull CBBC Jul 2012 W	0.250	17,850 per 10,000 units	18,050 per 10,000 units	2012/07/30	400,000,000	2011/12/06
69510	Credit Suisse AG	HSI R Bull CBBC Aug 2012 J	0.250	17,650 per 12,000 units	17,850 per 12,000 units	2012/08/30	400,000,000	2011/12/06
69492	Credit Suisse AG	HSI R Bear CBBC Mar 2012 A	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/03/29	400,000,000	2011/12/06
69497	Credit Suisse AG	HSI R Bear CBBC Apr 2012 N	0.250	18,850 per 10,000 units	18,650 per 10,000 units	2012/04/27	400,000,000	2011/12/06
69498	Credit Suisse AG	HSI R Bear CBBC Apr 2012 Q	0.250	18,550 per 10,000 units	18,350 per 10,000 units	2012/04/27	400,000,000	2011/12/06
69499	Credit Suisse AG	Hutchison R Bull CBBC Jun 2012 D	0.250	60.20 per 100 units	63.20 per 100 units	2012/06/25	100,000,000	2011/12/06
69491	Credit Suisse AG	TCH R Bear CBBC Apr 2012 D	0.250	159 per 100 units	150 per 100 units	2012/04/23	100,000,000	2011/12/06
69513	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 Q	0.250	17,300 per 12,000 units	17,500 per 12,000 units	2012/03/29	128,000,000	2011/12/06
69514	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 R	0.250	17,000 per 12,000 units	17,200 per 12,000 units	2012/03/29	128,000,000	2011/12/06
69520	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 H	0.250	17,100 per 10,000 units	17,300 per 10,000 units	2012/05/30	128,000,000	2011/12/06
69521	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 I	0.250	16,900 per 12,000 units	17,100 per 12,000 units	2012/05/30	128,000,000	2011/12/06
69493	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 P	0.250	18,400 per 8,000 units	18,200 per 8,000 units	2012/03/29	128,000,000	2011/12/06
69515	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 N	0.250	18,900 per 12,000 units	18,700 per 12,000 units	2012/04/27	128,000,000	2011/12/06
69516	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 O	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/04/27	128,000,000	2011/12/06
69517	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 P	0.250	18,800 per 10,000 units	18,600 per 10,000 units	2012/04/27	128,000,000	2011/12/06
69518	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 Q	0.250	19,300 per 12,000 units	19,100 per 12,000 units	2012/04/27	128,000,000	2011/12/06
69519	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 R	0.250	19,400 per 15,000 units	19,200 per 15,000 units	2012/04/27	128,000,000	2011/12/06
69488	HK Bank	HSI R Bull CBBC Aug 2012 H	0.250	17,488 per 15,000 units	17,888 per 15,000 units	2012/08/30	200,000,000	2011/12/06
69495	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12E	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/03/29	300,000,000	2011/12/06
69494	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12U	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2012/03/29	300,000,000	2011/12/06
69496	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12S	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/05/30	300,000,000	2011/12/06
69508	UBS AG	HSI R Bull CBBC Apr 2012 S	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/04/27	300,000,000	2011/12/06
69509	UBS AG	HSI R Bull CBBC May 2012 X	0.250	17,150 per 13,000 units	17,450 per 13,000 units	2012/05/30	300,000,000	2011/12/06
69489	UBS AG	HSI R Bear CBBC Apr 2012 D	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/04/27	300,000,000	2011/12/06
69506	UBS AG	HSI R Bear CBBC May 2012 A	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/05/30	300,000,000	2011/12/06
69501	UBS AG	TCH R Bull CBBC Mar 2012 A	0.250	117 per 100 units	125 per 100 units	2012/03/26	100,000,000	2011/12/06

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69504	UBS AG	TCH R Bull CBBC May 2012 E	0.350	107 per 100 units	115 per 100 units	2012/05/21	100,000,000	2011/12/06
69507	UBS AG	TCH R Bull CBBC Jun 2012 H	0.400	102 per 100 units	110 per 100 units	2012/06/04	100,000,000	2011/12/06
69502	UBS AG	TCH R Bear CBBC Apr 2012 E	0.250	158 per 100 units	150 per 100 units	2012/04/16	100,000,000	2011/12/06
69505	UBS AG	TCH R Bear CBBC May 2012 F	0.270	168 per 100 units	160 per 100 units	2012/05/21	100,000,000	2011/12/06
69033 <sup>4</sup>	UBS AG	Hutchison R Bull CBBC Aug 2012 B	0.096	59.50 per 100 units	62.50 per 100 units	2012/08/20	105,000,000	2011/12/07
69526	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 S	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/03/29	128,000,000	2011/12/07
69528	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 S	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/04/27	128,000,000	2011/12/07
69529	Daiwa Capital Mkt	HSI R Bear CBBC Apr 2012 T	0.250	18,600 per 12,000 units	18,400 per 12,000 units	2012/04/27	128,000,000	2011/12/07
69527	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12W	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/12/07
69524	UBS AG	HSI R Bull CBBC Mar 2012 Q	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/12/07
69525	UBS AG	HSI R Bull CBBC May 2012 T	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/05/30	300,000,000	2011/12/07
69531	UBS AG	HSI R Bull CBBC Sep 2012 A	0.250	16,950 per 13,000 units	17,250 per 13,000 units	2012/09/27	300,000,000	2011/12/07
69532	UBS AG	HSI R Bull CBBC Sep 2012 B	0.250	17,050 per 13,000 units	17,350 per 13,000 units	2012/09/27	300,000,000	2011/12/07
69530	UBS AG	HSI R Bear CBBC Mar 2012 J	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/12/07
69567	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 M	0.250	18,000 per 12,000 units	18,200 per 12,000 units	2012/05/30	200,000,000	2011/12/08
69568	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 Q	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/05/30	200,000,000	2011/12/08
69570	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 R	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/05/30	200,000,000	2011/12/08
69571	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 S	0.250	18,600 per 12,000 units	18,800 per 12,000 units	2012/05/30	200,000,000	2011/12/08
69572	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC May 2012 T	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/05/30	200,000,000	2011/12/08
69550	Daiwa Capital Mkt	HSI R Bull CBBC May 2012 J	0.250	17,700 per 11,000 units	17,900 per 11,000 units	2012/05/30	128,000,000	2011/12/08
69551	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 B	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/06/28	128,000,000	2011/12/08
69552	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 C	0.250	18,600 per 11,000 units	18,800 per 11,000 units	2012/06/28	128,000,000	2011/12/08
69553	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 D	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/06/28	128,000,000	2011/12/08
69554	Daiwa Capital Mkt	HSI R Bull CBBC Jul 2012 A	0.250	18,000 per 11,000 units	18,200 per 11,000 units	2012/07/30	128,000,000	2011/12/08
69555	Daiwa Capital Mkt	HSI R Bull CBBC Jul 2012 B	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/07/30	128,000,000	2011/12/08
69556	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 T	0.250	19,700 per 11,000 units	19,500 per 11,000 units	2012/03/29	128,000,000	2011/12/08
69557	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 U	0.250	20,000 per 11,000 units	19,800 per 11,000 units	2012/03/29	128,000,000	2011/12/08
69558	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 V	0.250	20,300 per 12,000 units	20,100 per 12,000 units	2012/03/29	128,000,000	2011/12/08
69559	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 W	0.250	20,400 per 11,000 units	20,200 per 11,000 units	2012/03/29	128,000,000	2011/12/08
69560	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 F	0.250	18,700 per 15,000 units	19,000 per 15,000 units	2012/04/27	200,000,000	2011/12/08
69561	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 G	0.250	18,500 per 15,000 units	18,800 per 15,000 units	2012/04/27	200,000,000	2011/12/08
69562	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 H	0.250	18,200 per 12,000 units	18,500 per 12,000 units	2012/04/27	200,000,000	2011/12/08
69563	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 I	0.250	18,000 per 10,000 units	18,300 per 10,000 units	2012/04/27	200,000,000	2011/12/08
69564	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 J	0.250	17,800 per 12,000 units	18,100 per 12,000 units	2012/04/27	200,000,000	2011/12/08
69565	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 K	0.250	17,600 per 15,000 units	17,900 per 15,000 units	2012/04/27	200,000,000	2011/12/08
69566	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 L	0.250	17,400 per 12,000 units	17,700 per 12,000 units	2012/04/27	200,000,000	2011/12/08
69546	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12C	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/12/08
69547	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12D	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/12/08
69549	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12L	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/12/08
69548	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12T	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/12/08
69533	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12P	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/05/30	300,000,000	2011/12/08
69534	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12Q	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/05/30	300,000,000	2011/12/08
69535	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12R	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/05/30	300,000,000	2011/12/08

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69543	UBS AG	HSI R Bull CBBC Mar 2012 G	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/03/29	300,000,000	2011/12/08
69542	UBS AG	HSI R Bull CBBC Mar 2012 Y	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/03/29	300,000,000	2011/12/08
69545	UBS AG	HSI R Bull CBBC Apr 2012 F	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/04/27	300,000,000	2011/12/08
69544	UBS AG	HSI R Bull CBBC Apr 2012 M	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/04/27	300,000,000	2011/12/08
69537	UBS AG	HSI R Bear CBBC Mar 2012 E	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/03/29	300,000,000	2011/12/08
69539	UBS AG	HSI R Bear CBBC Apr 2012 L	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/04/27	300,000,000	2011/12/08
69538	UBS AG	HSI R Bear CBBC Apr 2012 N	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/04/27	300,000,000	2011/12/08
69540	UBS AG	HSI R Bear CBBC May 2012 H	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/05/30	300,000,000	2011/12/08
69541	UBS AG	HSI R Bear CBBC May 2012 Y	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/05/30	300,000,000	2011/12/08
69592	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 D	0.250	21,100 per 12,000 units	20,900 per 12,000 units	2012/03/29	128,000,000	2011/12/09
69589	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 X	0.250	19,900 per 12,000 units	19,700 per 12,000 units	2012/03/29	128,000,000	2011/12/09
69590	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 Y	0.250	20,100 per 11,000 units	19,900 per 11,000 units	2012/03/29	128,000,000	2011/12/09
69591	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 Z	0.250	20,800 per 11,000 units	20,600 per 11,000 units	2012/03/29	128,000,000	2011/12/09
69587	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12A	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/12/09
69588	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12B	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2012/03/29	300,000,000	2011/12/09
69585	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12R	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/12/09
69586	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12S	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/12/09
69583	UBS AG	COVS R Bull CBBC May 2012 A	0.295	11.880	12.880	2012/05/07	100,000,000	2011/12/09
69584	UBS AG	CC Bank R Bull CBBC May 2012 H	0.250	4.950	5.250	2012/05/28	100,000,000	2011/12/09
69582	UBS AG	CNOOC R Bull CBBC Apr 2012 C	0.250	13.080	13.880	2012/04/23	100,000,000	2011/12/09
69578	UBS AG	A50 China R Bull CBBC Mar 2012 B	0.250	10.000	10.500	2012/03/19	100,000,000	2011/12/09
69579	UBS AG	HKEx R Bull CBBC Mar 2012 E	0.250	114 per 100 units	120 per 100 units	2012/03/26	100,000,000	2011/12/09
69573	UBS AG	HSI R Bull CBBC Mar 2012 N	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/12/09
69574	UBS AG	HSI R Bull CBBC May 2012 R	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/05/30	300,000,000	2011/12/09
69575	UBS AG	HSI R Bull CBBC Jun 2012 F	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/06/28	300,000,000	2011/12/09
69576	UBS AG	HSI R Bull CBBC Jun 2012 I	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/06/28	300,000,000	2011/12/09
69581	UBS AG	HSI R Bear CBBC Mar 2012 X	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/12/09
69580	UBS AG	ICBC R Bull CBBC Mar 2012 A	0.250	3.950	4.250	2012/03/26	100,000,000	2011/12/09
69577	UBS AG	TCH R Bull CBBC Mar 2012 B	0.250	132 per 100 units	140 per 100 units	2012/03/12	100,000,000	2011/12/09
69607	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 L	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/04/27	200,000,000	2011/12/12
69608	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 U	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/04/27	200,000,000	2011/12/12
69597	Macquarie Bank Ltd.	HSCEI R Bull CBBC Jun 2012 C	0.250	9,500 per 8,000 units	9,700 per 8,000 units	2012/06/28	200,000,000	2011/12/12
69598	Macquarie Bank Ltd.	HSCEI R Bull CBBC Jun 2012 D	0.250	9,800 per 8,000 units	10,000 per 8,000 units	2012/06/28	200,000,000	2011/12/12
69594	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2012 C	0.250	17,600 per 8,000 units	18,000 per 8,000 units	2012/06/28	200,000,000	2011/12/12
69595	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2012 D	0.250	17,800 per 8,000 units	18,200 per 8,000 units	2012/06/28	200,000,000	2011/12/12
69596	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2012 E	0.250	18,400 per 8,000 units	18,800 per 8,000 units	2012/06/28	200,000,000	2011/12/12
69599	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 20G	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/12/12
69601	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 20H	0.250	18,800 per 12,000 units	19,000 per 12,000 units	2012/03/29	300,000,000	2011/12/12
69602	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 20I	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/03/29	300,000,000	2011/12/12
69593	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 20F	0.250	19,500 per 11,000 units	19,300 per 11,000 units	2012/03/29	300,000,000	2011/12/12
69600	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 20T	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/05/30	300,000,000	2011/12/12

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69606	UBS AG	China Life R Bull CBBC Mar 2012 E	0.270	18.380	19.380	2012/03/19	100,000,000	2011/12/12
69603	UBS AG	HSI R Bull CBBC Apr 2012 T	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2012/04/27	300,000,000	2011/12/12
69604	UBS AG	HSI R Bull CBBC Jul 2012 N	0.250	17,650 per 13,000 units	17,950 per 13,000 units	2012/07/30	300,000,000	2011/12/12
69605	UBS AG	HSI R Bear CBBC Jul 2012 O	0.250	20,050 per 13,000 units	19,750 per 13,000 units	2012/07/30	300,000,000	2011/12/12
69618	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 D	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/04/27	200,000,000	2011/12/13
69617	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 E	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/04/27	200,000,000	2011/12/13
69619	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 G	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/04/27	200,000,000	2011/12/13
69620	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2012 H	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/04/27	200,000,000	2011/12/13
69621	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 T	0.250	19,300 per 12,000 units	19,100 per 12,000 units	2012/04/27	200,000,000	2011/12/13
69616	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 B	0.250	19,090 per 11,000 units	18,890 per 11,000 units	2012/03/29	128,000,000	2011/12/13
69614	HK Bank	BOCL R Bull CBBC Dec 2012 A	0.250	2.100	2.280	2012/12/18	80,000,000	2011/12/13
69613	HK Bank	BOCL R Bear CBBC Sep 2012 A	0.250	3.560	3.380	2012/09/18	80,000,000	2011/12/13
69609	HK Bank	ICBC R Bull CBBC Jul 2012 B	0.250	3.880	4.180	2012/07/26	80,000,000	2011/12/13
69610	UBS AG	HSI R Bull CBBC Jun 2012 D	0.250	18,850 per 13,000 units	19,150 per 13,000 units	2012/06/28	300,000,000	2011/12/13
69611	UBS AG	HSI R Bull CBBC Jul 2012 P	0.250	18,750 per 13,000 units	19,050 per 13,000 units	2012/07/30	300,000,000	2011/12/13
69612	UBS AG	HSI R Bull CBBC Jul 2012 Q	0.250	17,550 per 13,000 units	17,850 per 13,000 units	2012/07/30	300,000,000	2011/12/13
69615	UBS AG	HSI R Bear CBBC Jun 2012 P	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/06/28	300,000,000	2011/12/13
65872*	HK Bank	HSI R Bear CBBC Jun 2012 A	0.177	22,388 per 20,000 units	22,088 per 20,000 units	2012/06/28	250,000,000	2011/12/13
69628	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2012 C	0.250	18,900 per 12,000 units	19,100 per 12,000 units	2012/03/29	200,000,000	2011/12/14
69633	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 E	0.250	19,000 per 11,000 units	19,200 per 11,000 units	2012/06/28	128,000,000	2011/12/14
69623	HK Bank	ABC R Bull CBBC Aug 2012 B	0.250	2.230	2.480	2012/08/16	80,000,000	2011/12/14
69622	HK Bank	ABC R Bear CBBC May 2012 A	0.250	4.330	4.080	2012/05/18	80,000,000	2011/12/14
69624	HK Bank	CNOOC R Bull CBBC Oct 2012 B	0.280	12.480	13.280	2012/10/18	80,000,000	2011/12/14
69625	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12U	0.250	19,400 per 12,000 units	19,200 per 12,000 units	2012/05/30	300,000,000	2011/12/14
69629	UBS AG	CC Bank R Bull CBBC May 2012 I	0.250	5.200	5.500	2012/05/07	100,000,000	2011/12/14
69632	UBS AG	China Life R Bull CBBC Apr 2012 A	0.250	19.380	20.380	2012/04/02	100,000,000	2011/12/14
69630	UBS AG	HSBC R Bull CBBC Apr 2012 C	0.250	57 per 100 units	60 per 100 units	2012/04/23	100,000,000	2011/12/14
69627	UBS AG	HSI R Bull CBBC Apr 2012 C	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2012/04/27	300,000,000	2011/12/14
69626	UBS AG	HSI R Bear CBBC Apr 2012 K	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/04/27	300,000,000	2011/12/14
69634	UBS AG	HSI R Bear CBBC Jun 2012 S	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2012/06/28	300,000,000	2011/12/14
69631	UBS AG	TCH R Bull CBBC Apr 2012 F	0.250	137 per 100 units	145 per 100 units	2012/04/16	100,000,000	2011/12/14
69644	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 L	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/03/29	200,000,000	2011/12/15
69645	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2012 A	0.250	17,000 per 12,000 units	17,300 per 12,000 units	2012/03/29	150,000,000	2011/12/15
69646	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2012 B	0.250	21,300 per 12,000 units	21,000 per 12,000 units	2012/03/29	150,000,000	2011/12/15
69636	HK Bank	HSI R Bull CBBC Jul 2012 F	0.250	17,888 per 15,000 units	18,288 per 15,000 units	2012/07/30	250,000,000	2011/12/15
69637	J P Morgan SP BV	HSI R Bull CBBC Apr 2012 M	0.250	18,900 per 12,000 units	19,200 per 12,000 units	2012/04/27	200,000,000	2011/12/15
69638	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 N	0.250	20,200 per 12,000 units	20,000 per 12,000 units	2012/04/27	200,000,000	2011/12/15
69639	J P Morgan SP BV	HSI R Bear CBBC Apr 2012 O	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2012/04/27	200,000,000	2011/12/15
69640	UBS AG	HSI R Bull CBBC Mar 2012 U	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2012/03/29	300,000,000	2011/12/15
69641	UBS AG	HSI R Bull CBBC May 2012 W	0.250	17,950 per 13,000 units	18,250 per 13,000 units	2012/05/30	300,000,000	2011/12/15



## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69642	UBS AG	HSI R Bear CBBC Jul 2012 R	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2012/07/30	300,000,000	2011/12/15
68787*	HK Bank	HSI R Bull CBBC Aug 2012 D	0.095	18,800 per 12,000 units	18,600 per 12,000 units	2012/02/28	200,000,000	2011/12/15
69654	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 F	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	200,000,000	2011/12/16
69655	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 O	0.250	19,100 per 10,000 units	18,900 per 10,000 units	2012/03/29	200,000,000	2011/12/16
69647	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 F	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/06/28	128,000,000	2011/12/16
69660	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 C	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/03/29	128,000,000	2011/12/16
69650	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar12K	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	300,000,000	2011/12/16
69651	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar12N	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/03/29	300,000,000	2011/12/16
69652	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar12O	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/03/29	300,000,000	2011/12/16
69648	UBS AG	HSI R Bull CBBC May 2012 A	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2012/05/30	300,000,000	2011/12/16
69658	UBS AG	HSI R Bull CBBC Jun 2012 O	0.250	18,150 per 13,000 units	18,450 per 13,000 units	2012/06/28	300,000,000	2011/12/16
69649	UBS AG	HSI R Bull CBBC Jun 2012 T	0.250	18,700 per 12,000 units	18,900 per 12,000 units	2012/06/28	300,000,000	2011/12/16
69656	UBS AG	HSI R Bear CBBC Apr 2012 D	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/04/27	300,000,000	2011/12/16
69657	UBS AG	HSI R Bear CBBC May 2012 H	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/05/30	300,000,000	2011/12/16
69659	UBS AG	HSI R Bear CBBC Jul 2012 S	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/07/30	300,000,000	2011/12/16
69670	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 R	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/03/29	200,000,000	2011/12/19
69669	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2012 S	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/03/29	200,000,000	2011/12/19
69661	HK Bank	A50 R Bear CBBC Sep 2012 A	0.250	13.080	12.580	2012/09/18	100,000,000	2011/12/19
69668	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12A	0.250	18,500 per 12,000 units	18,700 per 12,000 units	2012/03/29	300,000,000	2011/12/19
69667	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Mar 12P	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/12/19
69665	UBS AG	HSI R Bull CBBC Mar 2012 Y	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/12/19
69663	UBS AG	HSI R Bull CBBC Apr 2012 L	0.250	17,300 per 10,000 units	17,500 per 10,000 units	2012/04/27	300,000,000	2011/12/19
69664	UBS AG	HSI R Bull CBBC May 2012 Y	0.250	16,800 per 10,000 units	17,000 per 10,000 units	2012/05/30	300,000,000	2011/12/19
69666	UBS AG	HSI R Bull CBBC Jul 2012 T	0.250	18,400 per 12,000 units	18,600 per 12,000 units	2012/07/30	300,000,000	2011/12/19
69662	UBS AG	HSI R Bear CBBC Mar 2012 J	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	300,000,000	2011/12/19
69687	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 A	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/06/28	200,000,000	2011/12/20
69688	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 B	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/06/28	200,000,000	2011/12/20
69686	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 L	0.250	18,800 per 10,000 units	18,600 per 10,000 units	2012/04/27	200,000,000	2011/12/20
69676	Daiwa Capital Mkt	HSI R Bull CBBC Mar 2012 A	0.250	18,300 per 12,000 units	18,500 per 12,000 units	2012/03/29	128,000,000	2011/12/20
69671	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12V	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/05/30	300,000,000	2011/12/20
69673	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12W	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/05/30	300,000,000	2011/12/20
69672	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12X	0.250	18,200 per 12,000 units	18,400 per 12,000 units	2012/05/30	300,000,000	2011/12/20
69674	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12B	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/03/29	300,000,000	2011/12/20
69681	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12G	0.250	18,800 per 10,000 units	18,600 per 10,000 units	2012/03/29	300,000,000	2011/12/20
69680	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12L	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/12/20
69684	UBS AG	A50 China R Bear CBBC Mar 2012 C	0.250	11.500	11.000	2012/03/26	100,000,000	2011/12/20
69685	UBS AG	HKEs R Bear CBBC Apr 2012 A	0.250	141 per 100 units	135 per 100 units	2012/04/02	100,000,000	2011/12/20
69678	UBS AG	HSI R Bull CBBC Mar 2012 E	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/12/20
69679	UBS AG	HSI R Bull CBBC Jun 2012 W	0.250	18,100 per 12,000 units	18,300 per 12,000 units	2012/06/28	300,000,000	2011/12/20
69683	UBS AG	HSI R Bull CBBC Jul 2012 U	0.250	17,400 per 38,000 units	17,800 per 38,000 units	2012/07/30	300,000,000	2011/12/20
69682	UBS AG	HSI R Bear CBBC Mar 2012 G	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/03/29	300,000,000	2011/12/20
69696	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Oct 2012 A	0.250	9.300	9.600	2012/10/30	100,000,000	2011/12/21

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69697	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 U	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/04/27	200,000,000	2011/12/21
69690	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 F	0.250	18,580 per 11,000 units	18,380 per 11,000 units	2012/03/29	128,000,000	2011/12/21
69694	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12Y	0.250	17,800 per 11,000 units	18,000 per 11,000 units	2012/05/30	300,000,000	2011/12/21
69695	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12H	0.250	18,600 per 12,000 units	18,400 per 12,000 units	2012/03/29	300,000,000	2011/12/21
69693	UBS AG	China Life R Bear CBBC Apr 2012 B	0.335	22.880	21.880	2012/04/02	100,000,000	2011/12/21
69689	UBS AG	HSI R Bull CBBC Apr 2012 N	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/04/27	300,000,000	2011/12/21
69691	UBS AG	HSI R Bear CBBC Apr 2012 F	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/04/27	300,000,000	2011/12/21
69616 <sup>4</sup>	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 B	0.103	19,090 per 11,000 units	18,890 per 11,000 units	2012/03/29	140,000,000	2011/12/21
69714	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 D	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/04/27	200,000,000	2011/12/22
69715	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 G	0.250	18,500 per 10,000 units	18,300 per 10,000 units	2012/04/27	200,000,000	2011/12/22
69704	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2012 C	0.250	16,000 per 10,000 units	16,300 per 10,000 units	2012/03/29	150,000,000	2011/12/22
69705	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2012 D	0.250	19,300 per 10,000 units	19,000 per 10,000 units	2012/03/29	150,000,000	2011/12/22
69698	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 G	0.250	17,800 per 11,000 units	18,000 per 11,000 units	2012/06/28	128,000,000	2011/12/22
69699	Daiwa Capital Mkt	HSI R Bull CBBC Jun 2012 H	0.250	18,150 per 10,000 units	18,350 per 10,000 units	2012/06/28	128,000,000	2011/12/22
69707	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12G	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/05/30	300,000,000	2011/12/22
69720	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12P	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2012/05/30	300,000,000	2011/12/22
69703	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12S	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/05/30	300,000,000	2011/12/22
69702	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12Z	0.250	17,900 per 12,000 units	18,100 per 12,000 units	2012/05/30	300,000,000	2011/12/22
69712	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12D	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/12/22
69708	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12I	0.250	18,300 per 12,000 units	18,100 per 12,000 units	2012/03/29	300,000,000	2011/12/22
69713	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 12S	0.250	18,500 per 10,000 units	18,300 per 10,000 units	2012/03/29	300,000,000	2011/12/22
69719	UBS AG	China Life R Bear CBBC Apr 2012 C	0.285	21.880	20.880	2012/04/16	100,000,000	2011/12/22
69718	UBS AG	CNOOC R Bear CBBC Apr 2012 D	0.250	15.680	14.880	2012/04/23	100,000,000	2011/12/22
69700	UBS AG	HSI R Bull CBBC Mar 2012 N	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/12/22
69701	UBS AG	HSI R Bull CBBC Apr 2012 T	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/04/27	300,000,000	2011/12/22
69721	UBS AG	HSI R Bull CBBC Jul 2012 V	0.250	17,450 per 13,000 units	17,750 per 13,000 units	2012/07/30	300,000,000	2011/12/22
69716	UBS AG	HSI R Bear CBBC Apr 2012 M	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/04/27	300,000,000	2011/12/22
69717	UBS AG	HSI R Bear CBBC May 2012 R	0.250	18,500 per 12,000 units	18,300 per 12,000 units	2012/05/30	300,000,000	2011/12/22
69725	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 C	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/06/28	200,000,000	2011/12/23
69726	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 D	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/06/28	200,000,000	2011/12/23
69723	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 E	0.250	19,000 per 12,000 units	18,800 per 12,000 units	2012/03/29	128,000,000	2011/12/23
69724	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 M	0.250	19,200 per 12,000 units	19,000 per 12,000 units	2012/03/29	168,000,000	2011/12/23
69727	UBS AG	A50 China R Bull CBBC Apr 2012 B	0.250	9.500	10.000	2012/04/16	100,000,000	2011/12/23
69728	UBS AG	HSI R Bull CBBC May 2012 L	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/05/30	300,000,000	2011/12/23
69729	UBS AG	HSI R Bull CBBC Jun 2012 Y	0.250	17,700 per 12,000 units	17,900 per 12,000 units	2012/06/28	300,000,000	2011/12/23
69722	UBS AG	HSI R Bear CBBC Mar 2012 Q	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/03/29	300,000,000	2011/12/23
69751	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 E	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/06/28	200,000,000	2011/12/28
69753	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 E	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/04/27	200,000,000	2011/12/28
69752	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2012 H	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/04/27	200,000,000	2011/12/28
69741	Credit Suisse AG	HSI R Bull CBBC Jun 2012 S	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2012/06/28	400,000,000	2011/12/28
69740	Credit Suisse AG	HSI R Bull CBBC Jun 2012 Y	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2012/06/28	400,000,000	2011/12/28
69739	Credit Suisse AG	HSI R Bear CBBC Mar 2012 B	0.250	18,500 per 10,000 units	18,300 per 10,000 units	2012/03/29	400,000,000	2011/12/28

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69733	Credit Suisse AG	HSI R Bear CBBC Mar 2012 D	0.250	19,200 per 10,000 units	19,000 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69732	Credit Suisse AG	HSI R Bear CBBC Mar 2012 E	0.250	19,400 per 10,000 units	19,200 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69746	Credit Suisse AG	HSI R Bear CBBC Mar 2012 I	0.250	18,300 per 10,000 units	18,100 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69747	Credit Suisse AG	HSI R Bear CBBC Mar 2012 J	0.250	18,100 per 10,000 units	17,900 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69734	Credit Suisse AG	HSI R Bear CBBC Mar 2012 R	0.250	19,100 per 10,000 units	18,900 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69735	Credit Suisse AG	HSI R Bear CBBC Mar 2012 S	0.250	18,900 per 10,000 units	18,700 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69736	Credit Suisse AG	HSI R Bear CBBC Mar 2012 V	0.250	18,700 per 10,000 units	18,500 per 10,000 units	2012/03/29	400,000,000	2011/12/28
69748	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 N	0.250	18,050 per 10,000 units	17,850 per 10,000 units	2012/03/29	128,000,000	2011/12/28
69730	HK Bank	HSI R Bear CBBC Jul 2012 G	0.250	19,088 per 15,000 units	18,688 per 15,000 units	2012/07/30	200,000,000	2011/12/28
69731	HK Bank	HSI R Bear CBBC Jul 2012 H	0.250	19,488 per 15,000 units	19,088 per 15,000 units	2012/07/30	200,000,000	2011/12/28
69745	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2012 I	0.250	17,400 per 8,000 units	17,800 per 8,000 units	2012/06/28	200,000,000	2011/12/28
69742	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2012 F	0.250	19,600 per 8,000 units	19,200 per 8,000 units	2012/06/28	200,000,000	2011/12/28
69743	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2012 G	0.250	19,300 per 8,000 units	18,900 per 8,000 units	2012/06/28	200,000,000	2011/12/28
69744	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2012 H	0.250	18,800 per 8,000 units	18,400 per 8,000 units	2012/06/28	200,000,000	2011/12/28
69749	UBS AG	HSI R Bear CBBC Mar 2012 U	0.250	18,200 per 10,000 units	18,000 per 10,000 units	2012/03/29	300,000,000	2011/12/28
69750	UBS AG	HSI R Bear CBBC Jun 2012 M	0.250	18,100 per 12,000 units	17,900 per 12,000 units	2012/06/28	300,000,000	2011/12/28
69757	Credit Suisse AG	HSI R Bull CBBC Jul 2012 X	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2012/07/30	400,000,000	2011/12/29
69758	Credit Suisse AG	HSI R Bull CBBC Jul 2012 Y	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2012/07/30	400,000,000	2011/12/29
69759	Credit Suisse AG	HSI R Bear CBBC Mar 2012 K	0.250	18,400 per 10,000 units	18,200 per 10,000 units	2012/03/29	400,000,000	2011/12/29
69762	Credit Suisse AG	HSI R Bear CBBC Mar 2012 N	0.250	19,000 per 10,000 units	18,800 per 10,000 units	2012/03/29	400,000,000	2011/12/29
69760	Credit Suisse AG	HSI R Bear CBBC Mar 2012 T	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/03/29	400,000,000	2011/12/29
69761	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Y	0.250	18,800 per 10,000 units	18,600 per 10,000 units	2012/03/29	400,000,000	2011/12/29
69763	Credit Suisse AG	HSI R Bear CBBC Mar 2012 Z	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/03/29	400,000,000	2011/12/29
69754	HK Bank	China Life R Bear CBBC Jul 2012 E	0.550	23.880	22.380	2012/07/16	80,000,000	2011/12/29
69755	HK Bank	TCH R Bull CBBC Jul 2012 A	0.300	124.88 per 100 units	134.88 per 100 units	2012/07/18	80,000,000	2011/12/29
69769	J P Morgan SP BV	HSI R Bull CBBC May 2012 F	0.250	17,900 per 15,000 units	18,150 per 15,000 units	2012/05/30	200,000,000	2011/12/29
69770	J P Morgan SP BV	HSI R Bull CBBC May 2012 G	0.250	17,800 per 12,000 units	18,000 per 12,000 units	2012/05/30	200,000,000	2011/12/29
69771	J P Morgan SP BV	HSI R Bull CBBC May 2012 H	0.250	17,500 per 10,000 units	17,800 per 10,000 units	2012/05/30	200,000,000	2011/12/29
69764	J P Morgan SP BV	HSI R Bear CBBC May 2012 A	0.250	18,400 per 15,000 units	18,200 per 15,000 units	2012/05/30	200,000,000	2011/12/29
69765	J P Morgan SP BV	HSI R Bear CBBC May 2012 B	0.250	18,800 per 12,000 units	18,600 per 12,000 units	2012/05/30	200,000,000	2011/12/29
69766	J P Morgan SP BV	HSI R Bear CBBC May 2012 C	0.250	19,100 per 12,000 units	18,900 per 12,000 units	2012/05/30	200,000,000	2011/12/29
69767	J P Morgan SP BV	HSI R Bear CBBC May 2012 D	0.250	19,300 per 10,000 units	19,100 per 10,000 units	2012/05/30	200,000,000	2011/12/29
69768	J P Morgan SP BV	HSI R Bear CBBC May 2012 E	0.250	19,500 per 10,000 units	19,300 per 10,000 units	2012/05/30	200,000,000	2011/12/29
69772	UBS AG	HSI R Bull CBBC Mar 2012 Y	0.250	17,850 per 12,000 units	18,050 per 12,000 units	2012/03/29	300,000,000	2011/12/29
69756	UBS AG	HSI R Bear CBBC Jun 2012 C	0.250	18,350 per 12,000 units	18,150 per 12,000 units	2012/06/28	300,000,000	2011/12/29
69792	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2012 F	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/06/28	200,000,000	2011/12/30
69783	Credit Suisse AG	HSI R Bull CBBC Jun 2012 A	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/06/28	400,000,000	2011/12/30
69778	Credit Suisse AG	HSI R Bear CBBC Apr 2012 R	0.280	20,800 per 10,000 units	20,600 per 10,000 units	2012/04/27	400,000,000	2011/12/30
69779	Credit Suisse AG	HSI R Bear CBBC Apr 2012 S	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2012/04/27	400,000,000	2011/12/30
69781	Credit Suisse AG	HSI R Bear CBBC May 2012 C	0.250	20,900 per 12,000 units	20,700 per 12,000 units	2012/05/30	400,000,000	2011/12/30
69780	Credit Suisse AG	HSI R Bear CBBC May 2012 O	0.260	20,600 per 10,000 units	20,400 per 10,000 units	2012/05/30	400,000,000	2011/12/30
69782	Credit Suisse AG	HSI R Bear CBBC Jun 2012 W	0.305	21,000 per 10,000 units	20,800 per 10,000 units	2012/06/28	400,000,000	2011/12/30
69784	Credit Suisse AG	HSI R Bear CBBC Jul 2012 Z	0.315	21,100 per 10,000 units	20,900 per 10,000 units	2012/07/30	400,000,000	2011/12/30

## Newly listed callable bull/bear contracts (continued)

Code	Issuer	Name of issue	Issue prices (HK\$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69787	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 H	0.250	18,650 per 11,000 units	18,450 per 11,000 units	2012/03/29	128,000,000	2011/12/30
69788	Daiwa Capital Mkt	HSI R Bear CBBC Mar 2012 K	0.250	18,650 per 13,000 units	18,650 per 13,000 units	2012/03/29	128,000,000	2011/12/30
69773	CC Rabobank B.A.	HSI R Bull CBBC Jun 2012 F	0.250	17,600 per 12,000 units	18,000 per 12,000 units	2012/06/28	150,000,000	2011/12/30
69774	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 G	0.250	18,800 per 12,000 units	18,400 per 12,000 units	2012/06/28	150,000,000	2011/12/30
69775	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 H	0.250	19,200 per 12,000 units	18,800 per 12,000 units	2012/06/28	150,000,000	2011/12/30
69776	CC Rabobank B.A.	HSI R Bear CBBC Jun 2012 I	0.250	19,600 per 12,000 units	19,200 per 12,000 units	2012/06/28	150,000,000	2011/12/30
69785	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12Q	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2012/05/30	300,000,000	2011/12/30
69793	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May 12V	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/05/30	300,000,000	2011/12/30
69786	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May 12R	0.250	18,600 per 12,000 units	18,400 per 12,000 units	2012/05/30	300,000,000	2011/12/30
69789	UBS AG	HSI R Bull CBBC May 2012 T	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2012/05/30	300,000,000	2011/12/30
69790	UBS AG	HSI R Bull CBBC Jun 2012 A	0.250	16,300 per 10,000 units	16,500 per 10,000 units	2012/06/28	300,000,000	2011/12/30
69791	UBS AG	HSI R Bull CBBC Jul 2012 W	0.250	16,700 per 12,000 units	16,900 per 12,000 units	2012/07/30	300,000,000	2011/12/30
69777	UBS AG	HSI R Bear CBBC Apr 2012 C	0.250	18,600 per 10,000 units	18,400 per 10,000 units	2012/04/27	300,000,000	2011/12/30

# Further issue

## List of expired CBBC, 2011

Code	CBBC	Last trading date	Delisted date
66960	UBS AG – HSI R Bear CBBC May 2011 S	2010/12/31	2011/01/03
63874	UBS AG – A50 China R Bull CBBC Jan 2011 K	2010/12/31	2011/01/04
66957	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 T	2010/12/31	2011/01/03
62512	UBS AG – TCH R Bull CBBC Jan 2011 A	2010/12/31	2011/01/04
63610	UBS AG – Hutchison R Bull CBBC Jan 2011 A	2010/12/31	2011/01/04
66662	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 E	2011/01/03	2011/01/04
66660	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11C	2011/01/03	2011/01/04
66663	UBS AG – HSI R Bear CBBC May 2011 X	2011/01/03	2011/01/04
66708	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 O	2011/01/03	2011/01/04
66685	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 K	2011/01/03	2011/01/04
66659	Credit Suisse AG – HSI R Bear CBBC Apr 2011 P	2011/01/03	2011/01/04
66766	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 R	2011/01/03	2011/01/04
66707	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 N	2011/01/03	2011/01/04
66772	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 J	2011/01/03	2011/01/04
66954	Credit Suisse AG – HSI R Bear CBBC Apr 2011 X	2011/01/03	2011/01/04
66943	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 C	2011/01/03	2011/01/04
66831	HK Bank – HSI R Bear CBBC Jul 2011 B	2011/01/03	2011/01/04
66687	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11L	2011/01/03	2011/01/04
66592	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 K	2011/01/03	2011/01/04
66639	UBS AG – HSI R Bear CBBC Mar 2011 B	2011/01/03	2011/01/04
66624	Credit Suisse AG – HSI R Bear CBBC Mar 2011 X	2011/01/03	2011/01/04
66619	UBS AG – HSI R Bear CBBC May 2011 U	2011/01/03	2011/01/04
66597	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11F	2011/01/03	2011/01/04
66623	Deutsche Bank AG – HSI R Bear CBBC May 2011 H	2011/01/03	2011/01/04
66598	Credit Suisse AG – HSI R Bear CBBC Mar 2011 H	2011/01/03	2011/01/04
66858	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 E	2011/01/03	2011/01/04
66197	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 P	2011/01/04	2011/01/05
65131	UBS AG – Jiangxi Copper R Bear CBBC Feb 2011 D	2011/01/04	2011/01/05
66519	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 M	2011/01/04	2011/01/05
66164	UBS AG – Hutchison R Bear CBBC Mar 2011 E	2011/01/04	2011/01/05
66509	Credit Suisse AG – HSI R Bear CBBC Mar 2011 V	2011/01/04	2011/01/05
66492	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11J	2011/01/04	2011/01/05
66511	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11H	2011/01/04	2011/01/05
66997	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 I	2011/01/04	2011/01/05
66505	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 N	2011/01/04	2011/01/05
66565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 I	2011/01/04	2011/01/05
66540	UBS AG – HSI R Bear CBBC Mar 2011 P	2011/01/04	2011/01/05
66593	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 L	2011/01/04	2011/01/05
66527	Credit Suisse AG – HSI R Bear CBBC Apr 2011 U	2011/01/04	2011/01/05
66978	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 H	2011/01/04	2011/01/05
66510	UBS AG – HSI R Bear CBBC Apr 2011 M	2011/01/04	2011/01/05
66640	UBS AG – HSI R Bear CBBC Apr 2011 K	2011/01/04	2011/01/05
66998	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 J	2011/01/04	2011/01/05
66976	Credit Suisse AG – HSI R Bear CBBC Apr 2011 W	2011/01/04	2011/01/05
66626	Credit Suisse AG – HSI R Bear CBBC Mar 2011 J	2011/01/04	2011/01/05
66972	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Q	2011/01/04	2011/01/05
66973	Deutsche Bank AG – HSI R Bear CBBC May 2011 Q	2011/01/04	2011/01/05
66999	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 K	2011/01/04	2011/01/05
66979	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 V	2011/01/04	2011/01/05
66982	UBS AG – HSI R Bear CBBC May 2011 R	2011/01/04	2011/01/05
66516	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 G	2011/01/04	2011/01/05
66905	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 E	2011/01/04	2011/01/05
67059	Credit Suisse AG – HSI R Bear CBBC Apr 2011 T	2011/01/05	2011/01/06
67051	UBS AG – HSI R Bear CBBC Apr 2011 L	2011/01/05	2011/01/06
67043	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11Z	2011/01/05	2011/01/06
67041	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11Y	2011/01/05	2011/01/06
67040	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11X	2011/01/05	2011/01/06
67039	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11W	2011/01/05	2011/01/06
64843	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 C	2011/01/05	2011/01/06
67036	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11U	2011/01/05	2011/01/06
66098	Credit Suisse AG – HSI R Bear CBBC Mar 2011 T	2011/01/05	2011/01/06
67034	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11S	2011/01/05	2011/01/06
66378	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 F	2011/01/05	2011/01/06
67032	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11Q	2011/01/05	2011/01/06
67038	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11V	2011/01/05	2011/01/06
67060	Credit Suisse AG – HKEx R Bear CBBC Apr 2011 B	2011/01/05	2011/01/06
66262	Credit Suisse AG – SHK Ppt R Bear CBBC Mar 2011 B	2011/01/05	2011/01/06
66125	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11Y	2011/01/05	2011/01/06
66694	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 D	2011/01/05	2011/01/06
66105	UBS AG – HSI R Bear CBBC Mar 2011 F	2011/01/05	2011/01/06
66116	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 Y	2011/01/05	2011/01/06
66104	Deutsche Bank AG – HSI R Bear CBBC May 2011 P	2011/01/05	2011/01/06
66792	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 D	2011/01/05	2011/01/06
67033	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11R	2011/01/05	2011/01/06
67035	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11T	2011/01/05	2011/01/06
66360	HK Bank – HSI R Bear CBBC May 2011 E	2011/01/06	2011/01/07
67082	UBS AG – HSI R Bear CBBC Jun 2011 K	2011/01/06	2011/01/07
67061	Credit Suisse AG – TCH R Bear CBBC Apr 2011 B	2011/01/06	2011/01/07
67072	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 A	2011/01/06	2011/01/07
66632	Credit Suisse AG – HSI R Bear CBBC Apr 2011 R	2011/01/06	2011/01/07
66411	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 M	2011/01/06	2011/01/07
66347	UBS AG – HSI R Bear CBBC Jun 2011 A	2011/01/06	2011/01/07
66170	UBS AG – HSI R Bear CBBC Apr 2011 F	2011/01/06	2011/01/07
67046	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11F	2011/01/06	2011/01/07
66240	Credit Suisse AG – HSI R Bear CBBC Mar 2011 G	2011/01/06	2011/01/07
66434	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 U	2011/01/06	2011/01/07
66217	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 U	2011/01/06	2011/01/07

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66133	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 U	2011/01/06	2011/01/07
66716	SGA Societe Generale Acceptance N.V.-CK(H) R Bear CBBC May11G	2011/01/06	2011/01/07
66184	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 E	2011/01/06	2011/01/07
66093	Credit Suisse AG – CK(H) R Bear CBBC Apr 2011 A	2011/01/06	2011/01/07
67069	Credit Suisse AG – HSI R Bear CBBC Apr 2011 M	2011/01/06	2011/01/07
66103	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 C	2011/01/06	2011/01/07
66129	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Mar11Z	2011/01/06	2011/01/07
64098	UBS AG – HKEx R Bull CBBC Jan 2011 C	2011/01/07	2011/01/11
63959	UBS AG – Ping An R Bull CBBC Jan 2011 A	2011/01/07	2011/01/11
63914	UBS AG – CC Bank R Bull CBBC Jan 2011 A	2011/01/07	2011/01/11
63674	UBS AG – China Mobile R Bear CBBC Jan 2011 A	2011/01/07	2011/01/11
67104	UBS AG – HSI R Bear CBBC May 2011 B	2011/01/07	2011/01/10
64171	UBS AG – TCH R Bull CBBC Jan 2011 D	2011/01/07	2011/01/11
65735	SGA Societe Generale Acceptance N.V.-HWL R Bear CBBC May11D	2011/01/10	2011/01/11
67148	UBS AG – HSI R Bear CBBC May 2011 O	2011/01/10	2011/01/11
64757	UBS AG – Hutchison R Bear CBBC Mar 2011 A	2011/01/10	2011/01/11
67120	UBS AG – HSI R Bear CBBC Jun 2011 L	2011/01/10	2011/01/11
67121	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 M	2011/01/10	2011/01/11
67118	Credit Suisse AG – HSI R Bear CBBC May 2011 Q	2011/01/10	2011/01/11
67127	Deutsche Bank AG – HSI R Bear CBBC May 2011 U	2011/01/10	2011/01/11
67206	Credit Suisse AG – HSI R Bull CBBC May 2011 X	2011/01/11	2011/01/12
65140	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 G	2011/01/11	2011/01/12
67233	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 V	2011/01/11	2011/01/12
67187	UBS AG – HSI R Bear CBBC Jun 2011 O	2011/01/11	2011/01/12
67231	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 L	2011/01/11	2011/01/12
67234	UBS AG – HSI R Bull CBBC Apr 2011 M	2011/01/11	2011/01/12
67243	Deutsche Bank AG – HSI R Bull CBBC May 2011 S	2011/01/11	2011/01/12
67268	Credit Suisse AG – A50 China R Bull CBBC May 2011 B	2011/01/11	2011/01/12
67214	Credit Suisse AG – HSI R Bear CBBC Apr 2011 L	2011/01/11	2011/01/12
67216	Credit Suisse AG – HSI R Bear CBBC May 2011 A	2011/01/11	2011/01/12
66628	Credit Suisse AG – HSI R Bear CBBC Mar 2011 O	2011/01/12	2011/01/13
66134	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 T	2011/01/12	2011/01/13
66412	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 N	2011/01/12	2011/01/13
66947	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 D	2011/01/12	2011/01/13
66029	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 D	2011/01/12	2011/01/13
67329	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 T	2011/01/12	2011/01/13
66034	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11T	2011/01/12	2011/01/13
66127	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11X	2011/01/12	2011/01/13
66198	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 O	2011/01/12	2011/01/13
66045	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 U	2011/01/12	2011/01/13
66167	UBS AG – HSI R Bear CBBC Feb 2011 H	2011/01/12	2011/01/13
66435	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 S	2011/01/12	2011/01/13
67228	Credit Suisse AG – HSI R Bear CBBC Apr 2011 J	2011/01/12	2011/01/13
67248	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 F	2011/01/12	2011/01/13
66257	Credit Suisse AG – HSI R Bear CBBC Mar 2011 A	2011/01/12	2011/01/13
66341	UBS AG – HSBC R Bear CBBC Apr 2011 D	2011/01/12	2011/01/13
66641	UBS AG – HSI R Bear CBBC May 2011 W	2011/01/12	2011/01/13
66128	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11Y	2011/01/12	2011/01/13
66084	UBS AG – HKEx R Bear CBBC Mar 2011 K	2011/01/12	2011/01/13
66061	Credit Suisse AG – HSI R Bear CBBC Feb 2011 L	2011/01/12	2011/01/13
67296	Credit Suisse AG – HSI R Bear CBBC May 2011 R	2011/01/12	2011/01/13
66102	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 K	2011/01/12	2011/01/13
67301	Credit Suisse AG – HSI R Bear CBBC Jul 2011 S	2011/01/12	2011/01/13
66459	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 F	2011/01/12	2011/01/13
67050	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11I	2011/01/12	2011/01/13
66117	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Y	2011/01/12	2011/01/13
66041	UBS AG – HSI R Bear CBBC Apr 2011 G	2011/01/12	2011/01/13
67307	UBS AG – HSI R Bear CBBC Apr 2011 K	2011/01/12	2011/01/13
66110	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Y	2011/01/12	2011/01/13
66538	Deutsche Bank AG – HSBC R Bear CBBC Apr 2011 C	2011/01/12	2011/01/13
66157	Credit Suisse AG – HSI R Bear CBBC Mar 2011 W	2011/01/12	2011/01/13
66106	UBS AG – HSI R Bear CBBC Apr 2011 B	2011/01/12	2011/01/13
67047	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11G	2011/01/12	2011/01/13
66172	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 A	2011/01/12	2011/01/13
67048	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11H	2011/01/12	2011/01/13
66173	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 B	2011/01/12	2011/01/13
67222	Credit Suisse AG – HSI R Bear CBBC Jun 2011 G	2011/01/12	2011/01/13
66171	UBS AG – HSI R Bear CBBC Apr 2011 A	2011/01/13	2011/01/14
66819	HK Bank – TCH R Bear CBBC Jul 2011 A	2011/01/13	2011/01/14
66158	Credit Suisse AG – HSI R Bear CBBC Apr 2011 K	2011/01/13	2011/01/14
66258	Credit Suisse AG – HSI R Bear CBBC Apr 2011 E	2011/01/13	2011/01/14
66174	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 H	2011/01/13	2011/01/14
67298	Credit Suisse AG – HSI R Bear CBBC Jun 2011 N	2011/01/13	2011/01/14
66996	RB of Scotland N.V. – TCH R Bear CBBC Apr 2011 B	2011/01/13	2011/01/14
66043	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 P	2011/01/13	2011/01/14
66431	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 Y	2011/01/13	2011/01/14
67215	Credit Suisse AG – HSI R Bear CBBC Apr 2011 B	2011/01/13	2011/01/14
66037	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11Z	2011/01/13	2011/01/14
66015	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11V	2011/01/13	2011/01/14
66113	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Z	2011/01/13	2011/01/14
66206	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 K	2011/01/13	2011/01/14
66361	HK Bank – HSI R Bear CBBC Jun 2011 L	2011/01/13	2011/01/14
66072	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 R	2011/01/13	2011/01/14
67368	UBS AG – HSI R Bear CBBC Jun 2011 T	2011/01/13	2011/01/14
65855	Credit Suisse AG – TCH R Bear CBBC Mar 2011 G	2011/01/13	2011/01/14
65996	UBS AG – HSI R Bear CBBC Feb 2011 K	2011/01/13	2011/01/14
65989	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 B	2011/01/13	2011/01/14

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65026	Credit Suisse AG – TCH R Bear CBBC Feb 2011 F	2011/01/13	2011/01/14
67176	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 F	2011/01/13	2011/01/14
67288	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11S	2011/01/13	2011/01/14
64847	UBS AG – TCH R Bear CBBC Mar 2011 B	2011/01/13	2011/01/14
65997	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 H	2011/01/13	2011/01/14
67287	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11T	2011/01/13	2011/01/14
65988	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	2011/01/13	2011/01/14
66292	UBS AG – HSI R Bear CBBC May 2011 L	2011/01/13	2011/01/14
65741	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC May11B	2011/01/13	2011/01/14
67249	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 G	2011/01/13	2011/01/14
66426	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 I	2011/01/13	2011/01/14
67081	UBS AG – HSI R Bear CBBC Jun 2011 J	2011/01/13	2011/01/14
65965	UBS AG – TCH R Bear CBBC Mar 2011 F	2011/01/13	2011/01/14
67350	Deutsche Bank AG – HSI R Bear CBBC May 2011 W	2011/01/13	2011/01/14
64849	UBS AG – TCH R Bear CBBC Mar 2011 C	2011/01/14	2011/01/17
64647	Credit Suisse AG – TCH R Bear CBBC Feb 2011 A	2011/01/14	2011/01/17
62774	UBS AG – TCH R Bull CBBC Jan 2011 B	2011/01/14	2011/01/18
62770	UBS AG – Petrochina R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
63821	UBS AG – BYD Company R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
64009	UBS AG – HKEx R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
63852	UBS AG – China Life R Bull CBBC Jan 2011 C	2011/01/14	2011/01/18
63942	Credit Suisse AG – HSBC R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
63052	UBS AG – CCHC R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
65466	UBS AG – Cheung Kong R Bear CBBC Mar 2011 B	2011/01/14	2011/01/17
65742	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC May11C	2011/01/14	2011/01/17
63943	Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 B	2011/01/14	2011/01/18
63486	Deutsche Bank AG – China Mobile R Bear CBBC Jan 2011 A	2011/01/14	2011/01/18
64210	Credit Suisse AG – HSBC R Bear CBBC Jan 2011 B	2011/01/14	2011/01/18
64170	UBS AG – China Life R Bull CBBC Jan 2011 F	2011/01/14	2011/01/18
63348	Credit Suisse AG – TCH R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
64706	Credit Suisse AG – Hutchison R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
64040	UBS AG – TCH R Bull CBBC Jan 2011 C	2011/01/14	2011/01/18
64166	Credit Suisse AG – HKEx R Bull CBBC Jan 2011 A	2011/01/14	2011/01/18
62949	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 B	2011/01/14	2011/01/18
63654	Credit Suisse AG – China Mobile R Bear CBBC Jan 2011 A	2011/01/14	2011/01/18
62899	HK Bank – ICBC R Bull CBBC Jan 2011 A	2011/01/17	2011/01/19
62897	HK Bank – China Life R Bear CBBC Jan 2011 B	2011/01/17	2011/01/19
67413	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 V	2011/01/17	2011/01/18
67398	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11A	2011/01/17	2011/01/18
65708	SGA Societe Generale Acceptance N.V.-CK(H) R Bear CBBC May11C	2011/01/17	2011/01/18
67408	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Q	2011/01/17	2011/01/18
67340	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 H	2011/01/17	2011/01/18
67425	Credit Suisse AG – HSI R Bear CBBC Apr 2011 C	2011/01/17	2011/01/18
67411	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 U	2011/01/17	2011/01/18
65023	Credit Suisse AG – CK(H) R Bear CBBC Mar 2011 A	2011/01/17	2011/01/18
67399	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11B	2011/01/17	2011/01/18
65111	UBS AG – Hutchison R Bear CBBC Mar 2011 B	2011/01/17	2011/01/18
67424	UBS AG – HSI R Bear CBBC May 2011 B	2011/01/17	2011/01/18
67409	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 O	2011/01/17	2011/01/18
67406	SGA Societe Generale Acceptance N.V.-HWR R Bear CBBC May11G	2011/01/17	2011/01/18
67410	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 P	2011/01/17	2011/01/18
62898	HK Bank – China Mobile R Bull CBBC Jan 2011 A	2011/01/17	2011/01/19
67407	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 T	2011/01/17	2011/01/18
62895	HK Bank – CC Bank R Bull CBBC Jan 2011 A	2011/01/17	2011/01/19
66094	Credit Suisse AG – HSBC R Bear CBBC Feb 2011 B	2011/01/18	2011/01/19
67437	UBS AG – HSI R Bear CBBC Apr 2011 F	2011/01/18	2011/01/19
67426	Credit Suisse AG – HSI R Bear CBBC Apr 2011 P	2011/01/18	2011/01/19
67438	UBS AG – HSI R Bear CBBC May 2011 O	2011/01/18	2011/01/19
67430	Deutsche Bank AG – HSBC R Bear CBBC Jun 2011 A	2011/01/18	2011/01/19
63039	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jan 2011 A	2011/01/19	2011/01/21
67300	Credit Suisse AG – HSI R Bear CBBC Jun 2011 O	2011/01/19	2011/01/20
66021	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Y	2011/01/19	2011/01/20
67115	Credit Suisse AG – HSI R Bear CBBC Apr 2011 N	2011/01/19	2011/01/20
67475	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11F	2011/01/19	2011/01/20
67500	Credit Suisse AG – HSI R Bear CBBC Apr 2011 W	2011/01/19	2011/01/20
66517	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 G	2011/01/19	2011/01/20
67479	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 Q	2011/01/19	2011/01/20
66030	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 T	2011/01/19	2011/01/20
66016	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11U	2011/01/19	2011/01/20
67427	Deutsche Bank AG – China Mobile R Bear CBBC Jun 2011 A	2011/01/19	2011/01/20
66114	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 C	2011/01/19	2011/01/20
63035	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jan2011A	2011/01/19	2011/01/21
63043	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jan2011B	2011/01/19	2011/01/21
67477	UBS AG – HSI R Bear CBBC Apr 2011 M	2011/01/19	2011/01/20
63036	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Jan 2011 A	2011/01/19	2011/01/21
66040	UBS AG – HSI R Bear CBBC Mar 2011 T	2011/01/19	2011/01/20
67476	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 P	2011/01/19	2011/01/20
66277	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Y	2011/01/19	2011/01/20
66413	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 O	2011/01/19	2011/01/20
67446	Credit Suisse AG – HSI R Bear CBBC Apr 2011 X	2011/01/19	2011/01/20
67478	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 N	2011/01/19	2011/01/20
63503	HK Bank – China Mobile R Bear CBBC Jan 2011 C	2011/01/19	2011/01/21
67289	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11R	2011/01/19	2011/01/20
66932	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2011 A	2011/01/19	2011/01/20
67503	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11G	2011/01/20	2011/01/21
67490	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 U	2011/01/20	2011/01/21
67557	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 V	2011/01/20	2011/01/21

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67533	Credit Suisse AG – HSI R Bull CBBC May 2011 D	2011/01/20	2011/01/21
67492	Deutsche Bank AG – HSCEI R Bull CBBC Jun 2011 B	2011/01/20	2011/01/21
67489	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/01/20	2011/01/21
67502	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jul11I	2011/01/20	2011/01/21
67483	UBS AG – HSI R Bull CBBC Apr 2011 K	2011/01/20	2011/01/21
67575	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/01/20	2011/01/21
67583	Credit Suisse AG – HSI R Bull CBBC Jun 2011 N	2011/01/20	2011/01/21
67244	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 E	2011/01/20	2011/01/21
67521	Credit Suisse AG – HSI R Bear CBBC Apr 2011 U	2011/01/20	2011/01/21
67540	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Aug11M	2011/01/20	2011/01/21
67561	UBS AG – HSI R Bull CBBC Apr 2011 G	2011/01/20	2011/01/21
67486	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 O	2011/01/20	2011/01/21
67558	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 C	2011/01/20	2011/01/21
67527	HK Bank – A50 R Bull CBBC Jun 2011 E	2011/01/20	2011/01/21
67518	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 S	2011/01/20	2011/01/21
64049	RB of Scotland N.V. – A50 China R Bull CBBC Jan 2011 A	2011/01/20	2011/01/24
67507	Credit Suisse AG – HSI R Bull CBBC Jul 2011 Z	2011/01/20	2011/01/21
67577	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 P	2011/01/20	2011/01/21
64141	UBS AG – HKEx R Bull CBBC Jan 2011 D	2011/01/21	2011/01/25
67568	UBS AG – HSI R Bull CBBC May 2011 W	2011/01/21	2011/01/24
67609	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	2011/01/21	2011/01/24
64259	Credit Suisse AG – HSBC R Bull CBBC Jan 2011 C	2011/01/21	2011/01/25
67608	UBS AG – HSI R Bull CBBC May 2011 L	2011/01/21	2011/01/24
64365	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 I	2011/01/21	2011/01/25
67531	HK Bank – HSI R Bull CBBC Jun 2011 O	2011/01/21	2011/01/24
67488	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	2011/01/21	2011/01/24
64261	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 H	2011/01/21	2011/01/25
63487	Deutsche Bank AG – ICBC R Bull CBBC Jan 2011 A	2011/01/21	2011/01/25
63686	UBS AG – China Mobile R Bear CBBC Jan 2011 B	2011/01/21	2011/01/25
64161	Credit Suisse AG – TCH R Bull CBBC Jan 2011 B	2011/01/21	2011/01/25
64254	UBS AG – Hutchison R Bull CBBC Jan 2011 C	2011/01/21	2011/01/25
63517	UBS AG – COVS R Bear CBBC Jan 2011 B	2011/01/21	2011/01/25
62153	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 A	2011/01/21	2011/01/25
67606	Credit Suisse AG – HSI R Bull CBBC May 2011 X	2011/01/21	2011/01/24
67505	Credit Suisse AG – HSI R Bull CBBC Jun 2011 V	2011/01/21	2011/01/24
67471	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 Y	2011/01/21	2011/01/24
67269	Credit Suisse AG – A50 China R Bull CBBC May 2011 C	2011/01/21	2011/01/24
67522	HK Bank – A50 R Bull CBBC Jun 2011 D	2011/01/21	2011/01/24
67474	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jul11H	2011/01/21	2011/01/24
64260	Credit Suisse AG – China Mobile R Bull CBBC Jan 2011 D	2011/01/21	2011/01/25
67441	Deutsche Bank AG – China Life R Bull CBBC Jun 2011 A	2011/01/21	2011/01/24
67393	UBS AG – HSI R Bull CBBC May 2011 U	2011/01/24	2011/01/25
67611	Credit Suisse AG – HSI R Bull CBBC Jul 2011 E	2011/01/24	2011/01/25
67472	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jul11G	2011/01/24	2011/01/25
67485	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 N	2011/01/24	2011/01/25
67392	Credit Suisse AG – HSI R Bull CBBC Jul 2011 W	2011/01/24	2011/01/25
67586	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 J	2011/01/24	2011/01/25
67487	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	2011/01/24	2011/01/25
63322	Deutsche Bank AG – TCH R Bull CBBC Jan 2011 A	2011/01/24	2011/01/26
67520	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 J	2011/01/24	2011/01/25
67619	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 S	2011/01/24	2011/01/25
67372	UBS AG – BYD Company R Bull CBBC Jul 2011 A	2011/01/24	2011/01/25
67266	Credit Suisse AG – HKEx R Bull CBBC May 2011 B	2011/01/24	2011/01/25
63923	SGA Societe Generale Acceptance N.V. –A50 R Bull CBBC Jan11B	2011/01/25	2011/01/27
67642	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 T	2011/01/25	2011/01/26
63922	SGA Societe Generale Acceptance N.V. –A50 R Bull CBBC Jan11A	2011/01/25	2011/01/27
67147	UBS AG – China Life R Bull CBBC May 2011 B	2011/01/25	2011/01/26
64111	SGA Societe Generale Acceptance N.V.–CMob R Bull CBBC Jan11A	2011/01/25	2011/01/27
67643	UBS AG – HSI R Bull CBBC Jun 2011 U	2011/01/25	2011/01/26
67339	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 G	2011/01/25	2011/01/26
67353	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11Q	2011/01/26	2011/01/27
67442	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	2011/01/26	2011/01/27
67481	UBS AG – HKEx R Bull CBBC Jun 2011 B	2011/01/26	2011/01/27
67683	Credit Suisse AG – HSI R Bull CBBC Jul 2011 G	2011/01/26	2011/01/27
67491	Deutsche Bank AG – HSCEI R Bull CBBC Jun 2011 A	2011/01/26	2011/01/27
67655	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Aug11S	2011/01/26	2011/01/27
67682	UBS AG – HSI R Bull CBBC Apr 2011 F	2011/01/26	2011/01/27
67343	Credit Suisse AG – HSI R Bull CBBC Jun 2011 M	2011/01/26	2011/01/27
67344	UBS AG – HSI R Bull CBBC Jun 2011 S	2011/01/26	2011/01/27
67346	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 W	2011/01/26	2011/01/27
62439	UBS AG – HSI R Bull CBBC Jan 2011 E	2011/01/27	2011/01/31
66286	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11L	2011/01/27	2011/01/28
65836	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC May11M	2011/01/27	2011/01/28
65834	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC May11K	2011/01/27	2011/01/28
63947	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 L	2011/01/27	2011/01/31
65839	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC May11N	2011/01/27	2011/01/28
65835	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC May11L	2011/01/27	2011/01/28
64057	Deutsche Bank AG – HSCEI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
62592	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 A	2011/01/27	2011/01/31
67729	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 T	2011/01/27	2011/01/28
63962	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 A	2011/01/27	2011/01/31
64021	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 W	2011/01/27	2011/01/31
65083	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 I	2011/01/27	2011/01/31
65072	Deutsche Bank AG – DJIA R Bear CBBC Jun 2011 A	2011/01/27	2011/01/28
63965	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64011	Credit Suisse AG – HSI R Bull CBBC Jan 2011 I	2011/01/27	2011/01/31
65843	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC May11R	2011/01/27	2011/01/28



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63960	UBS AG – HSI R Bull CBBC Jan 2011 Y	2011/01/27	2011/01/31
65101	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 D	2011/01/27	2011/01/28
67700	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 U	2011/01/27	2011/01/28
67687	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 W	2011/01/27	2011/01/28
63974	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 M	2011/01/27	2011/01/31
67689	Credit Suisse AG – TCH R Bear CBBC Apr 2011 D	2011/01/27	2011/01/28
67702	Credit Suisse AG – HSI R Bear CBBC Apr 2011 L	2011/01/27	2011/01/28
67684	Credit Suisse AG – HSI R Bull CBBC Jun 2011 O	2011/01/27	2011/01/28
63970	Credit Suisse AG – HSI R Bull CBBC Jan 2011 J	2011/01/27	2011/01/31
67686	UBS AG – HSI R Bull CBBC Apr 2011 M	2011/01/27	2011/01/28
62450	UBS AG – HSI R Bull CBBC Jan 2011 G	2011/01/27	2011/01/31
65840	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11O	2011/01/27	2011/01/28
64039	Credit Suisse AG – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
64027	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 E	2011/01/27	2011/01/31
65841	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11P	2011/01/27	2011/01/28
64026	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 L	2011/01/27	2011/01/31
64025	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
64029	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 N	2011/01/27	2011/01/31
63966	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Q	2011/01/27	2011/01/31
65842	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11Q	2011/01/27	2011/01/28
63015	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 F	2011/01/27	2011/01/31
64138	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 R	2011/01/27	2011/01/31
65845	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11S	2011/01/27	2011/01/28
65848	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11T	2011/01/27	2011/01/28
63955	Credit Suisse AG – HSI R Bull CBBC Jan 2011 G	2011/01/27	2011/01/31
63954	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 O	2011/01/27	2011/01/31
64455	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64045	Credit Suisse AG – HSI R Bull CBBC Jan 2011 L	2011/01/27	2011/01/31
64024	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 Y	2011/01/27	2011/01/31
64633	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
63941	Credit Suisse AG – HSI R Bull CBBC Jan 2011 Z	2011/01/27	2011/01/31
63827	UBS AG – HSI R Bull CBBC Jan 2011 U	2011/01/27	2011/01/31
63848	Credit Suisse AG – HSI R Bull CBBC Jan 2011 V	2011/01/27	2011/01/31
63849	Credit Suisse AG – HSI R Bull CBBC Jan 2011 W	2011/01/27	2011/01/31
63112	Barclays Bank plc – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
64623	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 R	2011/01/27	2011/01/31
64639	Credit Suisse AG – HSI R Bear CBBC Jan 2011 M	2011/01/27	2011/01/31
64208	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2011 A	2011/01/27	2011/01/31
64567	UBS AG – HSCEI R Bull CBBC Jan 2011 E	2011/01/27	2011/01/31
64634	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 E	2011/01/27	2011/01/31
64231	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 V	2011/01/27	2011/01/31
64230	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 U	2011/01/27	2011/01/31
63130	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 N	2011/01/27	2011/01/31
64237	Credit Suisse AG – HSI R Bull CBBC Jan 2011 R	2011/01/27	2011/01/31
66280	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11F	2011/01/27	2011/01/28
64175	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 T	2011/01/27	2011/01/31
64246	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 J	2011/01/27	2011/01/31
64327	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jan11J	2011/01/27	2011/01/31
64457	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
64459	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
62053	HK Bank – Sinopec Corp R Bull CBBC Jan 2011 A	2011/01/27	2011/01/31
64466	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64356	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
64381	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jan11L	2011/01/27	2011/01/31
64640	Credit Suisse AG – HSI R Bear CBBC Jan 2011 O	2011/01/27	2011/01/31
64328	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jan11K	2011/01/27	2011/01/31
64168	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 S	2011/01/27	2011/01/31
64478	RB of Scotland N.V. – HSCEI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
64471	UBS AG – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
63496	HK Bank – CC Bank R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64298	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 M	2011/01/27	2011/01/31
64280	Credit Suisse AG – HSI R Bull CBBC Jan 2011 S	2011/01/27	2011/01/31
64255	UBS AG – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
63111	Barclays Bank plc – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
64382	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jan11M	2011/01/27	2011/01/31
62900	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 E	2011/01/27	2011/01/31
63911	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 L	2011/01/27	2011/01/31
64200	Credit Suisse AG – HSI R Bull CBBC Jan 2011 P	2011/01/27	2011/01/31
63912	UBS AG – HSI R Bull CBBC Jan 2011 X	2011/01/27	2011/01/31
66281	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11G	2011/01/27	2011/01/28
66282	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11H	2011/01/27	2011/01/28
63183	UBS AG – HSI R Bull CBBC Jan 2011 T	2011/01/27	2011/01/31
66284	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11J	2011/01/27	2011/01/28
64137	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 Q	2011/01/27	2011/01/31
62890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64086	Credit Suisse AG – HSI R Bull CBBC Jan 2011 N	2011/01/27	2011/01/31
64047	UBS AG – HSI R Bull CBBC Jan 2011 L	2011/01/27	2011/01/31
64042	UBS AG – HSCEI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
62869	UBS AG – HSI R Bull CBBC Jan 2011 M	2011/01/27	2011/01/31
62816	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31
66283	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11I	2011/01/27	2011/01/28
64158	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 P	2011/01/27	2011/01/31
64146	UBS AG – HSI R Bull CBBC Jan 2011 O	2011/01/27	2011/01/31
63222	Credit Suisse AG – HSI R Bull CBBC Jan 2011 A	2011/01/27	2011/01/31
64134	Credit Suisse AG – HSI R Bull CBBC Jan 2011 B	2011/01/27	2011/01/31
64174	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 F	2011/01/27	2011/01/31
64744	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2011 C	2011/01/27	2011/01/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
62967	UBS AG – HSI R Bull CBBC Jan 2011 P	2011/01/27	2011/01/31
63013	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 J	2011/01/27	2011/01/31
63907	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 J	2011/01/27	2011/01/31
64140	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 I	2011/01/27	2011/01/31
62935	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jan 2011 D	2011/01/27	2011/01/31
63908	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 K	2011/01/27	2011/01/31
64139	CC Rabobank B.A. – HSI R Bull CBBC Jan 2011 H	2011/01/27	2011/01/31
63913	Credit Suisse AG – HSI R Bull CBBC Jan 2011 Y	2011/01/27	2011/01/31
63882	UBS AG – A50 China R Bull CBBC Jan 2011 M	2011/01/28	2011/02/01
67530	HK Bank – HSI R Bull CBBC Jun 2011 N	2011/01/28	2011/01/31
67585	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 I	2011/01/28	2011/01/31
67306	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 O	2011/01/28	2011/01/31
67431	Credit Suisse AG – HSI R Bull CBBC May 2011 Y	2011/01/28	2011/01/31
63851	UBS AG – China Life R Bull CBBC Jan 2011 B	2011/01/28	2011/02/01
67736	UBS AG – HSI R Bull CBBC Apr 2011 G	2011/01/28	2011/01/31
67371	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 B	2011/01/28	2011/01/31
64291	UBS AG – TCH R Bull CBBC Jan 2011 E	2011/01/28	2011/02/01
67737	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul11H	2011/01/28	2011/01/31
67286	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11U	2011/01/28	2011/01/31
67743	UBS AG – HSI R Bull CBBC May 2011 B	2011/01/28	2011/01/31
67429	Deutsche Bank AG – HSI R Bull CBBC May 2011 Y	2011/01/28	2011/01/31
67484	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 M	2011/01/28	2011/01/31
67434	UBS AG – HSI R Bull CBBC Apr 2011 L	2011/01/28	2011/01/31
67734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul11I	2011/01/28	2011/01/31
64886	UBS AG – Sinopec Corp R Bear CBBC Mar 2011 A	2011/01/28	2011/01/31
67740	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 X	2011/01/28	2011/01/31
64400	Credit Suisse AG – HKEx R Bull CBBC Jan 2011 D	2011/01/28	2011/02/01
64453	Credit Suisse AG – TCH R Bull CBBC Jan 2011 D	2011/01/28	2011/02/01
64454	Credit Suisse AG – TCH R Bull CBBC Jan 2011 E	2011/01/28	2011/02/01
67738	Credit Suisse AG – HSI R Bull CBBC Apr 2011 K	2011/01/28	2011/01/31
64289	UBS AG – Cheung Kong R Bull CBBC Jan 2011 A	2011/01/28	2011/02/01
67370	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 N	2011/01/31	2011/02/01
67235	UBS AG – HSI R Bull CBBC May 2011 R	2011/01/31	2011/02/01
67247	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 E	2011/01/31	2011/02/01
67305	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 N	2011/01/31	2011/02/01
67290	Credit Suisse AG – HSI R Bull CBBC Jun 2011 L	2011/01/31	2011/02/01
67283	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11W	2011/01/31	2011/02/01
67467	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 X	2011/01/31	2011/02/01
67277	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11X	2011/01/31	2011/02/01
67580	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 H	2011/01/31	2011/02/01
67284	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11V	2011/01/31	2011/02/01
66912	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 P	2011/01/31	2011/02/01
67128	Credit Suisse AG – HSI R Bull CBBC May 2011 T	2011/01/31	2011/02/01
67569	UBS AG – HSI R Bull CBBC Jul 2011 F	2011/01/31	2011/02/01
67188	Credit Suisse AG – HSI R Bull CBBC May 2011 W	2011/01/31	2011/02/01
63320	Deutsche Bank AG – HSBC R Bull CBBC Feb 2011 A	2011/01/31	2011/02/02
67380	UBS AG – A50 China R Bull CBBC Jun 2011 A	2011/01/31	2011/02/01
67237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 T	2011/01/31	2011/02/01
67236	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 Z	2011/01/31	2011/02/01
67365	UBS AG – HSI R Bull CBBC May 2011 X	2011/01/31	2011/02/01
67169	UBS AG – HSI R Bull CBBC Jun 2011 N	2011/01/31	2011/02/01
67162	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 Z	2011/01/31	2011/02/01
67143	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 T	2011/01/31	2011/02/01
67136	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 S	2011/01/31	2011/02/01
67391	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2011 A	2011/01/31	2011/02/01
67764	UBS AG – HSI R Bull CBBC May 2011 W	2011/01/31	2011/02/01
67788	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 Q	2011/01/31	2011/02/01
67352	Credit Suisse AG – HSI R Bull CBBC Jul 2011 V	2011/01/31	2011/02/01
67761	Credit Suisse AG – HSI R Bull CBBC Jun 2011 N	2011/01/31	2011/02/01
67602	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May11E	2011/01/31	2011/02/01
67786	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 O	2011/01/31	2011/02/01
67618	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 R	2011/01/31	2011/02/01
67762	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 M	2011/01/31	2011/02/01
67804	HK Bank – CNOOC R Bull CBBC Sep 2011 A	2011/02/01	2011/02/02
64805	Credit Suisse AG – TCH R Bear CBBC Feb 2011 C	2011/02/01	2011/02/02
67772	Deutsche Bank AG – HSI R Bear CBBC May 2011 E	2011/02/02	2011/02/07
67841	J P Morgan SP BV – HSI R Bear CBBC May 2011 D	2011/02/02	2011/02/07
64735	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 D	2011/02/02	2011/02/08
67846	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 Z	2011/02/02	2011/02/07
67774	UBS AG – HSI R Bear CBBC Jul 2011 K	2011/02/02	2011/02/07
64739	Credit Suisse AG – China Mobile R Bear CBBC Feb 2011 E	2011/02/02	2011/02/08
64395	UBS AG – TCH R Bull CBBC Feb 2011 A	2011/02/02	2011/02/08
64477	UBS AG – Jiangxi Copper R Bull CBBC Feb 2011 A	2011/02/02	2011/02/08
64738	Credit Suisse AG – China Mobile R Bear CBBC Feb 2011 D	2011/02/02	2011/02/08
64655	UBS AG – HKEx R Bull CBBC Feb 2011 I	2011/02/02	2011/02/08
65852	HK Bank – Sinopec Corp R Bear CBBC May 2011 B	2011/02/02	2011/02/07
64654	UBS AG – CC Bank R Bull CBBC Feb 2011 A	2011/02/02	2011/02/08
67843	Credit Suisse AG – HSI R Bull CBBC Jun 2011 V	2011/02/02	2011/02/07
64733	Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 B	2011/02/02	2011/02/08
67750	UBS AG – HSI R Bear CBBC May 2011 O	2011/02/02	2011/02/07
67753	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Q	2011/02/02	2011/02/07
67749	Credit Suisse AG – HSI R Bear CBBC Apr 2011 E	2011/02/02	2011/02/07
67845	UBS AG – HSI R Bull CBBC Jun 2011 Z	2011/02/02	2011/02/07
67758	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 X	2011/02/02	2011/02/07
67766	Credit Suisse AG – HSI R Bear CBBC May 2011 A	2011/02/02	2011/02/07
64479	UBS AG – Hutchison R Bull CBBC Feb 2011 A	2011/02/02	2011/02/08
64523	UBS AG – A50 China R Bull CBBC Feb 2011 C	2011/02/02	2011/02/08

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64083	HK Bank – A50 R Bull CBBC Feb 2011 D	2011/02/07	2011/02/09
63321	Deutsche Bank AG – HSBC R Bear CBBC Feb 2011 B	2011/02/07	2011/02/09
67752	Deutsche Bank AG – HSBC R Bear CBBC Jul 2011 A	2011/02/07	2011/02/08
64874	UBS AG – TCH R Bear CBBC Mar 2011 D	2011/02/07	2011/02/08
67773	Deutsche Bank AG – A50 China R Bear CBBC Jun 2011 B	2011/02/07	2011/02/08
67604	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC May11E	2011/02/07	2011/02/08
63500	HK Bank – TCH R Bull CBBC Feb 2011 A	2011/02/07	2011/02/09
65185	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC Apr11A	2011/02/07	2011/02/08
67879	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 V	2011/02/08	2011/02/09
67848	UBS AG – HSI R Bull CBBC Jul 2011 M	2011/02/08	2011/02/09
67892	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Q	2011/02/08	2011/02/09
67880	Credit Suisse AG – HSI R Bull CBBC Jun 2011 M	2011/02/08	2011/02/09
67886	UBS AG – HSI R Bull CBBC May 2011 L	2011/02/08	2011/02/09
65166	UBS AG – BOC HK R Bull CBBC Feb 2011 A	2011/02/08	2011/02/09
67377	UBS AG – Ping An R Bull CBBC Jul 2011 A	2011/02/08	2011/02/09
63047	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Mar 2011 B	2011/02/08	2011/02/09
67165	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 L	2011/02/09	2011/02/10
67167	UBS AG – HSI R Bull CBBC May 2011 F	2011/02/09	2011/02/10
67939	UBS AG – HSI R Bear CBBC May 2011 X	2011/02/09	2011/02/10
67348	HK Bank – HSI R Bull CBBC Jun 2011 M	2011/02/09	2011/02/10
66532	Deutsche Bank AG – China Mobile R Bull CBBC May 2011 A	2011/02/09	2011/02/10
67783	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 P	2011/02/09	2011/02/10
67395	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 E	2011/02/09	2011/02/10
67139	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 M	2011/02/09	2011/02/10
67920	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11O	2011/02/09	2011/02/10
67293	Credit Suisse AG – HSI R Bull CBBC Jul 2011 R	2011/02/09	2011/02/10
67931	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 J	2011/02/09	2011/02/10
67909	Credit Suisse AG – HSI R Bull CBBC Jul 2011 I	2011/02/09	2011/02/10
67927	Credit Suisse AG – HSI R Bear CBBC May 2011 X	2011/02/09	2011/02/10
67910	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 L	2011/02/09	2011/02/10
67911	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 Y	2011/02/09	2011/02/10
67938	Deutsche Bank AG – HSI R Bear CBBC May 2011 H	2011/02/09	2011/02/10
67937	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 R	2011/02/09	2011/02/10
67919	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11J	2011/02/09	2011/02/10
67089	RB of Scotland N.V. – China Coal R Bull CBBC Apr 2011 A	2011/02/09	2011/02/10
67921	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11N	2011/02/09	2011/02/10
67246	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 D	2011/02/09	2011/02/10
66254	UBS AG – HSBC R Bear CBBC Apr 2011 C	2011/02/09	2011/02/10
67912	UBS AG – HSI R Bull CBBC Jun 2011 K	2011/02/09	2011/02/10
67274	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Y	2011/02/09	2011/02/10
67616	UBS AG – China Mobile R Bull CBBC May 2011 A	2011/02/09	2011/02/10
64344	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 D	2011/02/09	2011/02/10
65730	SGA Societe Generale Acceptance N.V. -HSBC R Bear CBBC May11B	2011/02/09	2011/02/10
67934	UBS AG – HSI R Bull CBBC May 2011 B	2011/02/09	2011/02/10
67130	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 R	2011/02/09	2011/02/10
67138	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 L	2011/02/10	2011/02/11
67103	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 S	2011/02/10	2011/02/11
67122	Credit Suisse AG – HSI R Bull CBBC May 2011 S	2011/02/10	2011/02/11
67142	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 S	2011/02/10	2011/02/11
67141	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 Y	2011/02/10	2011/02/11
67102	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	2011/02/10	2011/02/11
67065	UBS AG – HSI R Bull CBBC May 2011 Y	2011/02/10	2011/02/11
66930	RB of Scotland N.V. – HKEEx R Bull CBBC May 2011 B	2011/02/10	2011/02/11
67090	RB of Scotland N.V. – CM Bank R Bull CBBC Apr 2011 A	2011/02/10	2011/02/11
65713	SGA Societe Generale Acceptance N.V.-CMob R Bull CBBC May11A	2011/02/10	2011/02/11
67055	Deutsche Bank AG – HSI R Bull CBBC May 2011 T	2011/02/10	2011/02/11
67053	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 U	2011/02/10	2011/02/11
67067	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 S	2011/02/10	2011/02/11
67105	Credit Suisse AG – HSI R Bull CBBC Apr 2011 A	2011/02/10	2011/02/11
66929	RB of Scotland N.V. – HKEEx R Bull CBBC May 2011 A	2011/02/10	2011/02/11
67114	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 B	2011/02/10	2011/02/11
66967	UBS AG – HSI R Bull CBBC Apr 2011 W	2011/02/10	2011/02/11
66955	Credit Suisse AG – HSI R Bull CBBC Jun 2011 W	2011/02/10	2011/02/11
66561	RB of Scotland N.V. – HSCEI R Bull CBBC May 2011 B	2011/02/10	2011/02/11
66970	Credit Suisse AG – HSI R Bull CBBC Apr 2011 F	2011/02/10	2011/02/11
67111	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11L	2011/02/10	2011/02/11
67112	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11M	2011/02/10	2011/02/11
67113	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11N	2011/02/10	2011/02/11
66966	UBS AG – HSI R Bull CBBC May 2011 T	2011/02/10	2011/02/11
66959	Credit Suisse AG – HSI R Bull CBBC May 2011 B	2011/02/10	2011/02/11
66741	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 O	2011/02/10	2011/02/11
66956	UBS AG – HSI R Bull CBBC May 2011 Q	2011/02/10	2011/02/11
67110	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11K	2011/02/10	2011/02/11
67805	HK Bank – HKEEx R Bull CBBC Aug 2011 A	2011/02/10	2011/02/11
67345	UBS AG – HSI R Bull CBBC Jul 2011 E	2011/02/10	2011/02/11
67369	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 M	2011/02/10	2011/02/11
67622	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 C	2011/02/10	2011/02/11
67625	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 D	2011/02/10	2011/02/11
67612	UBS AG – Hutchison R Bull CBBC Jun 2011 C	2011/02/10	2011/02/11
67347	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2011 A	2011/02/10	2011/02/11
67653	HK Bank – SHK Ppt R Bull CBBC Jun 2011 A	2011/02/10	2011/02/11
63866	HK Bank – China Life R Bull CBBC Mar 2011 B	2011/02/10	2011/02/11
67273	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Z	2011/02/10	2011/02/11
67770	UBS AG – HSI R Bull CBBC Jul 2011 J	2011/02/10	2011/02/11
67704	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 X	2011/02/10	2011/02/11
67847	UBS AG – HSI R Bull CBBC Jul 2011 L	2011/02/10	2011/02/11
67954	Credit Suisse AG – HSI R Bull CBBC Jun 2011 O	2011/02/10	2011/02/11

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67394	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 D	2011/02/10	2011/02/11
67955	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 W	2011/02/10	2011/02/11
67941	HK Bank – Sinopec Corp R Bull CBBC Sep 2011 A	2011/02/10	2011/02/11
67366	UBS AG – HSI R Bull CBBC Aug 2011 A	2011/02/10	2011/02/11
67270	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2011 B	2011/02/10	2011/02/11
67386	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 A	2011/02/10	2011/02/11
67129	Credit Suisse AG – HSI R Bull CBBC Jun 2011 Y	2011/02/10	2011/02/11
67781	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 Z	2011/02/10	2011/02/11
67191	Credit Suisse AG – HSI R Bull CBBC Jun 2011 H	2011/02/10	2011/02/11
67137	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 R	2011/02/10	2011/02/11
67164	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 K	2011/02/10	2011/02/11
64464	RB of Scotland N.V. – HKEx R Bull CBBC Feb 2011 A	2011/02/10	2011/02/14
67146	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 C	2011/02/10	2011/02/11
67190	Credit Suisse AG – HSI R Bull CBBC Jun 2011 Z	2011/02/10	2011/02/11
67423	UBS AG – HSI R Bull CBBC Aug 2011 B	2011/02/10	2011/02/11
67232	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 U	2011/02/10	2011/02/11
67173	HK Bank – HSI R Bull CBBC Jul 2011 D	2011/02/10	2011/02/11
67404	SGA Societe Generale Acceptance N.V.-HKEx R Bull CBBC May11E	2011/02/10	2011/02/11
67245	J P Morgan SP BV – HSI R Bull CBBC Apr 2011 C	2011/02/10	2011/02/11
67291	Credit Suisse AG – HSI R Bull CBBC Jul 2011 Q	2011/02/10	2011/02/11
65332	UBS AG – HKEx R Bull CBBC Mar 2011 G	2011/02/10	2011/02/11
65340	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 K	2011/02/10	2011/02/11
63050	UBS AG – CCHC R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
64659	UBS AG – TCH R Bull CBBC Feb 2011 C	2011/02/11	2011/02/15
64707	Credit Suisse AG – Hutchison R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
66923	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	2011/02/11	2011/02/14
64658	UBS AG – HKEx R Bull CBBC Feb 2011 J	2011/02/11	2011/02/15
63082	UBS AG – CHALCO R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
63861	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 A	2011/02/11	2011/02/14
66877	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 Z	2011/02/11	2011/02/14
67057	Credit Suisse AG – HSI R Bull CBBC Jun 2011 X	2011/02/11	2011/02/14
64397	UBS AG – HKEx R Bull CBBC Feb 2011 F	2011/02/11	2011/02/15
64834	Deutsche Bank AG – HSCEI R Bull CBBC Feb 2011 A	2011/02/11	2011/02/14
66853	Credit Suisse AG – HSI R Bull CBBC May 2011 C	2011/02/11	2011/02/14
66940	UBS AG – China Life R Bull CBBC May 2011 A	2011/02/11	2011/02/14
67068	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 Q	2011/02/11	2011/02/14
66922	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	2011/02/11	2011/02/14
66915	Deutsche Bank AG – HSI R Bull CBBC May 2011 R	2011/02/11	2011/02/14
67972	Credit Suisse AG – HSI R Bull CBBC Jul 2011 J	2011/02/11	2011/02/14
64243	UBS AG – SHK Ppt R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
67018	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Apr11A	2011/02/11	2011/02/14
63944	Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
64272	UBS AG – HSBC R Bear CBBC Feb 2011 B	2011/02/11	2011/02/15
66919	UBS AG – HSI R Bull CBBC Jun 2011 G	2011/02/11	2011/02/14
64584	SGA Societe Generale Acceptance NV -HSCEI R Bull CBBC Feb11B	2011/02/11	2011/02/14
63855	UBS AG – China Life R Bull CBBC Feb 2011 B	2011/02/11	2011/02/15
64456	Deutsche Bank AG – TCH R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
67272	Macquarie Bank Ltd. – HSI R Bull CBBC May 2011 A	2011/02/11	2011/02/14
66971	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 F	2011/02/11	2011/02/14
64090	Deutsche Bank AG – China Mobile R Bear CBBC Feb 2011 A	2011/02/11	2011/02/15
66920	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 N	2011/02/11	2011/02/14
66801	HK Bank – China Life R Bull CBBC Jun 2011 B	2011/02/11	2011/02/14
67373	UBS AG – Cheung Kong R Bull CBBC Jun 2011 A	2011/02/11	2011/02/14
64347	Deutsche Bank AG – HKEx R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
65219	UBS AG – China Mobile R Bull CBBC Mar 2011 B	2011/02/11	2011/02/14
67990	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 Q	2011/02/11	2011/02/14
67890	UBS AG – HSI R Bull CBBC Aug 2011 E	2011/02/11	2011/02/14
67777	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 Y	2011/02/11	2011/02/14
66475	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC May 11A	2011/02/11	2011/02/14
67615	UBS AG – HSCEI R Bull CBBC Jul 2011 A	2011/02/11	2011/02/14
65079	UBS AG – BOCL R Bull CBBC Feb 2011 A	2011/02/11	2011/02/15
65110	UBS AG – Hutchison R Bull CBBC Feb 2011 E	2011/02/11	2011/02/15
65719	SGA Societe Generale Acceptance N.V.-HKEx R Bull CBBC May11A	2011/02/11	2011/02/14
67295	Credit Suisse AG – HSI R Bull CBBC Aug 2011 I	2011/02/11	2011/02/14
67979	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 P	2011/02/11	2011/02/14
67078	UBS AG – HSI R Bull CBBC May 2011 Z	2011/02/11	2011/02/14
67170	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2011 E	2011/02/11	2011/02/14
67172	HK Bank – HSI R Bull CBBC Jul 2011 C	2011/02/11	2011/02/14
67109	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11J	2011/02/11	2011/02/14
67213	Credit Suisse AG – HSI R Bull CBBC Aug 2011 D	2011/02/11	2011/02/14
65821	UBS AG – HSBC R Bear CBBC Feb 2011 E	2011/02/11	2011/02/15
67145	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 B	2011/02/11	2011/02/14
67163	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 J	2011/02/11	2011/02/14
65144	UBS AG – Sinopec Corp R Bull CBBC Feb 2011 B	2011/02/11	2011/02/15
67967	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 Z	2011/02/11	2011/02/14
67402	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC May11G	2011/02/11	2011/02/14
67988	UBS AG – HSI R Bull CBBC May 2011 W	2011/02/11	2011/02/14
67978	Credit Suisse AG – HSI R Bull CBBC Jul 2011 A	2011/02/11	2011/02/14
67970	Credit Suisse AG – HSI R Bull CBBC Jun 2011 N	2011/02/11	2011/02/14
67981	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 A	2011/02/11	2011/02/14
67987	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 V	2011/02/11	2011/02/14
67986	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 U	2011/02/11	2011/02/14
67983	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 B	2011/02/11	2011/02/14
67989	UBS AG – HSI R Bull CBBC Jun 2011 L	2011/02/11	2011/02/14
67993	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11L	2011/02/14	2011/02/15
67998	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11I	2011/02/14	2011/02/15
68000	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11H	2011/02/14	2011/02/15

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67991	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11G	2011/02/14	2011/02/15
68004	Credit Suisse AG – HSI R Bull CBBC Jul 2011 S	2011/02/14	2011/02/15
67728	Deutsche Bank AG – A50 China R Bear CBBC Jun 2011 A	2011/02/14	2011/02/15
67925	Credit Suisse AG – A50 China R Bear CBBC May 2011 D	2011/02/14	2011/02/15
68003	UBS AG – HSI R Bull CBBC Jun 2011 O	2011/02/14	2011/02/15
68013	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 L	2011/02/14	2011/02/15
67631	Deutsche Bank AG – A50 China R Bear CBBC May 2011 A	2011/02/15	2011/02/16
68036	Deutsche Bank AG – China Life R Bull CBBC Jun 2011 F	2011/02/15	2011/02/16
68035	Credit Suisse AG – TCH R Bear CBBC Jun 2011 A	2011/02/15	2011/02/16
68029	UBS AG – HSI R Bull CBBC Jul 2011 S	2011/02/15	2011/02/16
68059	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 O	2011/02/16	2011/02/17
68058	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 O	2011/02/16	2011/02/17
68067	Credit Suisse AG – HSI R Bull CBBC Jul 2011 Z	2011/02/16	2011/02/17
68057	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 K	2011/02/16	2011/02/17
68066	UBS AG – HSI R Bull CBBC May 2011 R	2011/02/16	2011/02/17
68153	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 K	2011/02/17	2011/02/18
68152	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 J	2011/02/17	2011/02/18
68151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 I	2011/02/17	2011/02/18
68090	UBS AG – HSI R Bear CBBC Jun 2011 J	2011/02/17	2011/02/18
68072	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 N	2011/02/17	2011/02/18
68069	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 U	2011/02/17	2011/02/18
68087	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 J	2011/02/17	2011/02/18
68114	Credit Suisse AG – HSI R Bear CBBC May 2011 S	2011/02/17	2011/02/18
68068	Credit Suisse AG – HSI R Bear CBBC Jun 2011 L	2011/02/17	2011/02/18
68006	RB of Scotland N.V. – DJIA R Bear CBBC Jun 2011 F	2011/02/17	2011/02/18
67648	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 F	2011/02/17	2011/02/18
68077	J P Morgan SP BV – HSI R Bear CBBC May 2011 E	2011/02/17	2011/02/18
68140	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 Q	2011/02/17	2011/02/18
68147	UBS AG – HSI R Bear CBBC Jun 2011 N	2011/02/17	2011/02/18
68146	UBS AG – HSI R Bear CBBC May 2011 X	2011/02/17	2011/02/18
68111	UBS AG – HSI R Bull CBBC May 2011 O	2011/02/17	2011/02/18
68117	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11M	2011/02/17	2011/02/18
68118	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11L	2011/02/17	2011/02/18
68119	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11K	2011/02/17	2011/02/18
68154	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 L	2011/02/17	2011/02/18
68155	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 R	2011/02/17	2011/02/18
68098	Credit Suisse AG – HSI R Bull CBBC Jul 2011 W	2011/02/17	2011/02/18
68139	Deutsche Bank AG – HSI R Bear CBBC May 2011 Y	2011/02/17	2011/02/18
68138	Deutsche Bank AG – HSI R Bear CBBC May 2011 W	2011/02/17	2011/02/18
68093	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 R	2011/02/17	2011/02/18
68136	Deutsche Bank AG – China Life R Bear CBBC Jul 2011 B	2011/02/17	2011/02/18
68116	Credit Suisse AG – HSI R Bear CBBC Jun 2011 V	2011/02/17	2011/02/18
68130	Credit Suisse AG – HSI R Bear CBBC Jun 2011 M	2011/02/17	2011/02/18
68148	UBS AG – HSI R Bear CBBC Jul 2011 V	2011/02/17	2011/02/18
68156	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 B	2011/02/17	2011/02/18
65688	Credit Suisse AG – HSI R Bear CBBC May 2011 H	2011/02/17	2011/02/18
66737	Credit Suisse AG – HSI R Bear CBBC May 2011 L	2011/02/17	2011/02/18
65705	Credit Suisse AG – HSI R Bear CBBC Jun 2011 F	2011/02/17	2011/02/18
65704	Credit Suisse AG – HSI R Bear CBBC Jun 2011 E	2011/02/17	2011/02/18
65699	Credit Suisse AG – HSI R Bear CBBC May 2011 K	2011/02/17	2011/02/18
65695	Credit Suisse AG – HSI R Bear CBBC May 2011 I	2011/02/17	2011/02/18
63758	HK Bank – Sinopec Corp R Bull CBBC Feb 2011 B	2011/02/17	2011/02/21
63757	HK Bank – Sinopec Corp R Bull CBBC Feb 2011 A	2011/02/17	2011/02/21
65537	Credit Suisse AG – HSI R Bear CBBC Jun 2011 C	2011/02/17	2011/02/18
65536	Credit Suisse AG – HSI R Bear CBBC Jun 2011 B	2011/02/17	2011/02/18
63040	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Feb 2011 A	2011/02/17	2011/02/21
65530	Credit Suisse AG – HSI R Bear CBBC May 2011 E	2011/02/17	2011/02/18
65532	Credit Suisse AG – HSI R Bear CBBC May 2011 G	2011/02/17	2011/02/18
65531	Credit Suisse AG – HSI R Bear CBBC May 2011 F	2011/02/17	2011/02/18
65697	Credit Suisse AG – HSI R Bear CBBC May 2011 J	2011/02/17	2011/02/18
68086	Deutsche Bank AG – HSI R Bear CBBC May 2011 S	2011/02/18	2011/02/21
68120	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11O	2011/02/18	2011/02/21
68121	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11N	2011/02/18	2011/02/21
65982	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 K	2011/02/18	2011/02/22
68133	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 L	2011/02/18	2011/02/21
68128	Macquarie Bank Ltd. – HSI R Bear CBBC May 2011 B	2011/02/18	2011/02/21
68085	Deutsche Bank AG – HSCEI R Bear CBBC Jun 2011 D	2011/02/18	2011/02/21
68188	UBS AG – HSI R Bear CBBC May 2011 F	2011/02/18	2011/02/21
68078	J P Morgan SP BV – HSI R Bear CBBC May 2011 F	2011/02/18	2011/02/21
68073	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 X	2011/02/18	2011/02/21
68056	Credit Suisse AG – HSI R Bear CBBC May 2011 X	2011/02/18	2011/02/21
67952	Credit Suisse AG – HSI R Bear CBBC May 2011 W	2011/02/18	2011/02/21
68150	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 J	2011/02/18	2011/02/21
68168	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11X	2011/02/18	2011/02/21
68169	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11W	2011/02/18	2011/02/21
68161	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11U	2011/02/18	2011/02/21
68160	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11F	2011/02/18	2011/02/21
68190	J P Morgan SP BV – HSI R Bear CBBC May 2011 H	2011/02/18	2011/02/21
68162	HK Bank – HSI R Bear CBBC Aug 2011 B	2011/02/18	2011/02/21
68070	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 T	2011/02/18	2011/02/21
68181	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 V	2011/02/18	2011/02/21
68180	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 T	2011/02/18	2011/02/21
68165	Credit Suisse AG – HSI R Bear CBBC Jun 2011 Z	2011/02/18	2011/02/21
68189	UBS AG – HSI R Bear CBBC Jul 2011 W	2011/02/18	2011/02/21
67933	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 V	2011/02/18	2011/02/21
64845	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 F	2011/02/18	2011/02/22
64369	UBS AG – HKEx R Bull CBBC Feb 2011 E	2011/02/18	2011/02/22

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63359	UBS AG – A50 China R Bear CBBC Feb 2011 B	2011/02/18	2011/02/22
65139	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 F	2011/02/18	2011/02/22
67956	Deutsche Bank AG – HSI R Bear CBBC May 2011 Q	2011/02/18	2011/02/21
67948	UBS AG – HSI R Bear CBBC Jul 2011 P	2011/02/18	2011/02/21
65127	UBS AG – Jiangxi Copper R Bull CBBC Feb 2011 C	2011/02/18	2011/02/22
65130	UBS AG – China Tel R Bear CBBC Feb 2011 B	2011/02/18	2011/02/22
64804	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 G	2011/02/18	2011/02/22
64364	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 A	2011/02/18	2011/02/22
64489	Deutsche Bank AG – A50 China R Bull CBBC Feb 2011 B	2011/02/18	2011/02/22
64346	Deutsche Bank AG – China Mobile R Bull CBBC Feb 2011 B	2011/02/18	2011/02/22
68074	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 W	2011/02/18	2011/02/21
64806	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 D	2011/02/18	2011/02/22
64754	UBS AG – Hutchison R Bull CBBC Feb 2011 C	2011/02/18	2011/02/22
65108	Credit Suisse AG – Hutchison R Bull CBBC Feb 2011 D	2011/02/18	2011/02/22
65082	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 I	2011/02/18	2011/02/22
63881	UBS AG – China Life R Bull CBBC Feb 2011 C	2011/02/18	2011/02/22
68034	Credit Suisse AG – TCH R Bear CBBC May 2011 C	2011/02/18	2011/02/21
67922	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11P	2011/02/18	2011/02/21
68164	Credit Suisse AG – HSI R Bear CBBC May 2011 B	2011/02/18	2011/02/21
63904	UBS AG – CPIC R Bull CBBC Feb 2011 A	2011/02/18	2011/02/22
67516	Deutsche Bank AG – HSBC R Bear CBBC Jun 2011 D	2011/02/18	2011/02/21
67962	UBS AG – HSI R Bear CBBC Jun 2011 A	2011/02/18	2011/02/21
64463	RB of Scotland N.V. – Hutchison R Bull CBBC Feb 2011 A	2011/02/21	2011/02/23
68230	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 Q	2011/02/21	2011/02/22
68218	Credit Suisse AG – HSI R Bear CBBC May 2011 C	2011/02/21	2011/02/22
68241	UBS AG – HSI R Bear CBBC May 2011 T	2011/02/21	2011/02/22
68216	UBS AG – HSI R Bear CBBC May 2011 B	2011/02/21	2011/02/22
68226	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11L	2011/02/22	2011/02/23
64590	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC Feb11B	2011/02/22	2011/02/24
64588	SGA Societe Generale Acceptance N.V. -HSBC R Bull CBBC Feb11A	2011/02/22	2011/02/24
68238	UBS AG – HSI R Bull CBBC May 2011 Y	2011/02/22	2011/02/23
68244	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 C	2011/02/22	2011/02/23
64582	SGA Societe Generale Acceptance N.V. -CCB R Bull CBBC Feb11A	2011/02/22	2011/02/24
68248	J P Morgan SP BV – HSI R Bull CBBC May 2011 K	2011/02/22	2011/02/23
68092	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 Y	2011/02/22	2011/02/23
68229	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 J	2011/02/22	2011/02/23
68235	Credit Suisse AG – HSI R Bull CBBC Sep 2011 H	2011/02/22	2011/02/23
64589	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC Feb11A	2011/02/22	2011/02/24
68232	Deutsche Bank AG – A50 China R Bull CBBC Jul 2011 A	2011/02/22	2011/02/23
64592	SGA Societe Generale Acceptance N.V. -TCH R Bull CBBC Feb 11A	2011/02/22	2011/02/24
68251	UBS AG – HSI R Bull CBBC Jun 2011 L	2011/02/23	2011/02/24
68225	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Z	2011/02/23	2011/02/24
68097	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 X	2011/02/23	2011/02/24
68242	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 Q	2011/02/23	2011/02/24
68100	Credit Suisse AG – HSI R Bull CBBC Aug 2011 K	2011/02/23	2011/02/24
65691	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Z	2011/02/24	2011/02/28
65755	UBS AG – HSI R Bear CBBC Feb 2011 O	2011/02/24	2011/02/28
65689	Credit Suisse AG – HSI R Bear CBBC Feb 2011 C	2011/02/24	2011/02/28
68269	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2011 C	2011/02/24	2011/02/25
68285	UBS AG – HSI R Bull CBBC May 2011 R	2011/02/24	2011/02/25
68289	Deutsche Bank AG – China Life R Bull CBBC Jul 2011 D	2011/02/24	2011/02/25
64935	Credit Suisse AG – HSI R Bear CBBC Feb 2011 I	2011/02/24	2011/02/28
63927	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11A	2011/02/24	2011/02/28
68275	UBS AG – HSI R Bull CBBC May 2011 Z	2011/02/24	2011/02/25
63928	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11B	2011/02/24	2011/02/28
63929	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11C	2011/02/24	2011/02/28
65765	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 I	2011/02/24	2011/02/28
68284	Credit Suisse AG – HSI R Bull CBBC Jul 2011 R	2011/02/24	2011/02/25
66803	HK Bank – China Mobile R Bull CBBC Aug 2011 A	2011/02/24	2011/02/25
65911	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11R	2011/02/24	2011/02/28
65933	UBS AG – HSI R Bear CBBC Feb 2011 N	2011/02/24	2011/02/28
64012	Credit Suisse AG – HSI R Bull CBBC Feb 2011 D	2011/02/24	2011/02/28
65932	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11W	2011/02/24	2011/02/28
65036	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2011 C	2011/02/24	2011/02/28
68239	UBS AG – HSI R Bull CBBC Jun 2011 K	2011/02/24	2011/02/25
63973	UBS AG – HSI R Bull CBBC Feb 2011 V	2011/02/24	2011/02/28
68283	Credit Suisse AG – A50 China R Bull CBBC Jun 2011 C	2011/02/24	2011/02/25
68252	Credit Suisse AG – HSI R Bull CBBC Oct 2011 F	2011/02/24	2011/02/25
65946	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 J	2011/02/24	2011/02/28
63946	UBS AG – HSI R Bull CBBC Feb 2011 T	2011/02/24	2011/02/28
65930	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11Q	2011/02/24	2011/02/28
65931	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11N	2011/02/24	2011/02/28
68287	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 R	2011/02/24	2011/02/25
64321	Credit Suisse AG – HSI R Bull CBBC Feb 2011 M	2011/02/24	2011/02/28
68288	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 Q	2011/02/24	2011/02/25
63961	UBS AG – HSI R Bull CBBC Feb 2011 U	2011/02/24	2011/02/28
62440	UBS AG – HSI R Bull CBBC Feb 2011 B	2011/02/24	2011/02/28
63255	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 E	2011/02/24	2011/02/28
64380	Credit Suisse AG – HSI R Bull CBBC Feb 2011 R	2011/02/24	2011/02/28
65154	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 L	2011/02/24	2011/02/28
63079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 C	2011/02/24	2011/02/28
64393	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 L	2011/02/24	2011/02/28
64387	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
65912	Credit Suisse AG – HSI R Bear CBBC Feb 2011 X	2011/02/24	2011/02/28
62936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
65150	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 B	2011/02/24	2011/02/28
64472	Credit Suisse AG – HSI R Bull CBBC Feb 2011 S	2011/02/24	2011/02/28

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64474	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 G	2011/02/24	2011/02/28
64480	UBS AG – HSCEI R Bull CBBC Feb 2011 D	2011/02/24	2011/02/28
64484	Credit Suisse AG – HSI R Bull CBBC Feb 2011 U	2011/02/24	2011/02/28
62137	UBS AG – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
64234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 J	2011/02/24	2011/02/28
62961	UBS AG – HSI R Bull CBBC Feb 2011 G	2011/02/24	2011/02/28
65856	Credit Suisse AG – HSI R Bear CBBC Feb 2011 N	2011/02/24	2011/02/28
63889	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Q	2011/02/24	2011/02/28
68272	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 I	2011/02/24	2011/02/25
64482	UBS AG – HSI R Bull CBBC Feb 2011 F	2011/02/24	2011/02/28
66534	Deutsche Bank AG – China Mobile R Bull CBBC May 2011 B	2011/02/24	2011/02/25
65938	Credit Suisse AG – HSI R Bear CBBC Feb 2011 T	2011/02/24	2011/02/28
64316	Credit Suisse AG – HSI R Bear CBBC Feb 2011 P	2011/02/24	2011/02/28
65155	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 C	2011/02/24	2011/02/28
65910	UBS AG – HSI R Bear CBBC Feb 2011 P	2011/02/24	2011/02/28
65151	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 M	2011/02/24	2011/02/28
65866	UBS AG – HSI R Bear CBBC Feb 2011 S	2011/02/24	2011/02/28
63850	Credit Suisse AG – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
64357	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
64808	Credit Suisse AG – HSI R Bear CBBC Feb 2011 H	2011/02/24	2011/02/28
64817	UBS AG – HSI R Bear CBBC Feb 2011 D	2011/02/24	2011/02/28
63404	Barclays Bank plc – HSI R Bull CBBC Feb 2011 A	2011/02/24	2011/02/28
64256	Credit Suisse AG – HSI R Bull CBBC Feb 2011 K	2011/02/24	2011/02/28
63858	UBS AG – HSI R Bull CBBC Feb 2011 Q	2011/02/24	2011/02/28
64959	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 I	2011/02/24	2011/02/28
68141	UBS AG – HSI R Bull CBBC May 2011 L	2011/02/24	2011/02/25
64108	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11I	2011/02/24	2011/02/28
64172	UBS AG – HSI R Bull CBBC Feb 2011 Z	2011/02/24	2011/02/28
68167	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 Z	2011/02/24	2011/02/25
68127	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 Z	2011/02/24	2011/02/25
64644	Credit Suisse AG – HSI R Bear CBBC Feb 2011 G	2011/02/24	2011/02/28
64643	Credit Suisse AG – HSI R Bear CBBC Feb 2011 B	2011/02/24	2011/02/28
64153	UBS AG – HSCEI R Bull CBBC Feb 2011 B	2011/02/24	2011/02/28
64177	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 F	2011/02/24	2011/02/28
64559	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 O	2011/02/24	2011/02/28
64110	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11K	2011/02/24	2011/02/28
65995	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 Q	2011/02/24	2011/02/28
64107	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11H	2011/02/24	2011/02/28
64176	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 E	2011/02/24	2011/02/28
68129	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 V	2011/02/24	2011/02/25
64960	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 J	2011/02/24	2011/02/28
66248	UBS AG – HSI R Bull CBBC Feb 2011 C	2011/02/24	2011/02/28
64233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 I	2011/02/24	2011/02/28
64232	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 H	2011/02/24	2011/02/28
64961	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 K	2011/02/24	2011/02/28
68163	Credit Suisse AG – HSI R Bull CBBC Aug 2011 L	2011/02/24	2011/02/25
64962	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 L	2011/02/24	2011/02/28
65994	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 A	2011/02/24	2011/02/28
68204	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 K	2011/02/24	2011/02/25
68205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 J	2011/02/24	2011/02/25
65794	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Feb11S	2011/02/24	2011/02/28
64160	Credit Suisse AG – HSI R Bull CBBC Feb 2011 F	2011/02/24	2011/02/28
68210	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Y	2011/02/24	2011/02/25
64556	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 N	2011/02/24	2011/02/28
64036	HK Bank – HSI R Bull CBBC Feb 2011 C	2011/02/24	2011/02/28
68243	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 H	2011/02/24	2011/02/25
64109	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11J	2011/02/24	2011/02/28
64583	SGA Societe Generale Acceptance NV -HSCEI R Bull CBBC Feb11A	2011/02/24	2011/02/28
64046	UBS AG – HSI R Bull CBBC Feb 2011 X	2011/02/24	2011/02/28
67838	Deutsche Bank AG – China Life R Bull CBBC Jun 2011 D	2011/02/24	2011/02/25
64106	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11G	2011/02/24	2011/02/28
64037	HK Bank – HSI R Bull CBBC Feb 2011 D	2011/02/24	2011/02/28
64065	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 U	2011/02/24	2011/02/28
64035	HK Bank – HSI R Bull CBBC Feb 2011 B	2011/02/24	2011/02/28
68247	J P Morgan SP BV – HSI R Bull CBBC May 2011 J	2011/02/24	2011/02/25
64050	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 S	2011/02/24	2011/02/28
68211	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11J	2011/02/24	2011/02/25
64015	Credit Suisse AG – HSI R Bull CBBC Feb 2011 E	2011/02/24	2011/02/28
68208	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Q	2011/02/24	2011/02/25
68227	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 I	2011/02/24	2011/02/25
64104	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11E	2011/02/24	2011/02/28
68198	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 D	2011/02/24	2011/02/25
68191	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2011 A	2011/02/24	2011/02/25
64105	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11F	2011/02/24	2011/02/28
68222	Credit Suisse AG – HSI R Bull CBBC Jul 2011 E	2011/02/24	2011/02/25
64245	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Z	2011/02/24	2011/02/28
64737	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Q	2011/02/24	2011/02/28
68062	Deutsche Bank AG – HSBC R Bull CBBC Jul 2011 B	2011/02/24	2011/02/25
65983	Credit Suisse AG – HSI R Bear CBBC Feb 2011 O	2011/02/24	2011/02/28
64103	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Feb11D	2011/02/24	2011/02/28
64136	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 X	2011/02/24	2011/02/28
68166	UBS AG – HSI R Bull CBBC Jun 2011 Z	2011/02/24	2011/02/25
64710	UBS AG – HSCEI R Bear CBBC Feb 2011 E	2011/02/24	2011/02/28
64135	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 W	2011/02/24	2011/02/28
68105	Credit Suisse AG – HSI R Bull CBBC Sep 2011 E	2011/02/24	2011/02/25
65164	UBS AG – ABC R Bull CBBC Feb 2011 A	2011/02/25	2011/03/01
68301	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11O	2011/02/25	2011/02/28

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
68303	UBS AG – HSI R Bull CBBC Jun 2011 O	2011/02/25	2011/02/28
68302	UBS AG – HSI R Bull CBBC May 2011 X	2011/02/25	2011/02/28
68300	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11P	2011/02/25	2011/02/28
68299	Credit Suisse AG – HSI R Bull CBBC Sep 2011 I	2011/02/25	2011/02/28
65024	Credit Suisse AG – SHK Ppt R Bear CBBC Feb 2011 B	2011/02/25	2011/03/01
68314	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	2011/02/25	2011/02/28
68311	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Z	2011/02/25	2011/02/28
63903	UBS AG – China Life R Bull CBBC Feb 2011 D	2011/02/25	2011/03/01
68298	Credit Suisse AG – HSI R Bull CBBC Aug 2011 P	2011/02/25	2011/02/28
68315	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 D	2011/02/25	2011/02/28
68304	Deutsche Bank AG – HSBC R Bull CBBC Jul 2011 C	2011/02/25	2011/02/28
68305	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 H	2011/02/25	2011/02/28
68306	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 R	2011/02/25	2011/02/28
68307	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 L	2011/02/25	2011/02/28
65054	UBS AG – ICBC R Bear CBBC Feb 2011 B	2011/02/25	2011/03/01
68293	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11K	2011/02/25	2011/02/28
68290	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11M	2011/02/25	2011/02/28
68309	J P Morgan SP BV – HSI R Bull CBBC May 2011 L	2011/02/25	2011/02/28
68310	J P Morgan SP BV – HSI R Bull CBBC May 2011 M	2011/02/25	2011/02/28
68317	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 B	2011/02/25	2011/02/28
68292	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11L	2011/02/25	2011/02/28
68318	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 H	2011/02/25	2011/02/28
64753	UBS AG – HKEx R Bull CBBC Feb 2011 L	2011/02/25	2011/03/01
66604	Credit Suisse AG – HKEx R Bull CBBC Apr 2011 A	2011/02/28	2011/03/01
68281	UBS AG – HSI R Bear CBBC May 2011 W	2011/02/28	2011/03/01
68276	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 K	2011/02/28	2011/03/01
63037	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC Mar 2011 A	2011/02/28	2011/03/02
68327	HK Bank – HSI R Bull CBBC Sep 2011 B	2011/02/28	2011/03/01
63041	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Mar 2011 A	2011/02/28	2011/03/02
68296	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 Y	2011/02/28	2011/03/01
68277	Credit Suisse AG – HSI R Bear CBBC May 2011 S	2011/02/28	2011/03/01
68335	UBS AG – HSI R Bull CBBC May 2011 O	2011/02/28	2011/03/01
68330	Credit Suisse AG – HSI R Bull CBBC Jul 2011 I	2011/02/28	2011/03/01
68294	Credit Suisse AG – HSI R Bear CBBC May 2011 L	2011/02/28	2011/03/01
68331	Credit Suisse AG – HSI R Bull CBBC Sep 2011 J	2011/02/28	2011/03/01
68357	UBS AG – HSI R Bear CBBC Jul 2011 X	2011/03/01	2011/03/02
68360	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 I	2011/03/01	2011/03/02
68359	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 Y	2011/03/01	2011/03/02
68363	J P Morgan SP BV – HSI R Bear CBBC Jun 2011 B	2011/03/01	2011/03/02
68361	J P Morgan SP BV – HSI R Bear CBBC Jun 2011 A	2011/03/01	2011/03/02
68351	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 W	2011/03/01	2011/03/02
68051	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC Jul11B	2011/03/01	2011/03/02
68356	UBS AG – HSI R Bear CBBC Jun 2011 J	2011/03/01	2011/03/02
68295	Deutsche Bank AG – HSI R Bear CBBC May 2011 E	2011/03/01	2011/03/02
68358	Credit Suisse AG – HSI R Bear CBBC May 2011 J	2011/03/01	2011/03/02
68338	Credit Suisse AG – HSI R Bull CBBC Aug 2011 Q	2011/03/01	2011/03/02
68344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 O	2011/03/01	2011/03/02
68343	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 N	2011/03/01	2011/03/02
68342	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 M	2011/03/01	2011/03/02
68353	Credit Suisse AG – HSI R Bear CBBC Jun 2011 Y	2011/03/01	2011/03/02
68388	Credit Suisse AG – HSI R Bear CBBC Jun 2011 W	2011/03/02	2011/03/03
68374	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11I	2011/03/02	2011/03/03
68390	UBS AG – HSI R Bear CBBC Jun 2011 A	2011/03/02	2011/03/03
67515	Deutsche Bank AG – HSBC R Bull CBBC Jun 2011 C	2011/03/02	2011/03/03
68387	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 X	2011/03/02	2011/03/03
68385	Deutsche Bank AG – A50 China R Bear CBBC Aug 2011 A	2011/03/02	2011/03/03
68341	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 T	2011/03/02	2011/03/03
68340	UBS AG – HSI R Bull CBBC Jun 2011 N	2011/03/02	2011/03/03
68355	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 W	2011/03/02	2011/03/03
68088	UBS AG – HSBC R Bull CBBC Jul 2011 B	2011/03/02	2011/03/03
68381	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 D	2011/03/02	2011/03/03
68445	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 U	2011/03/03	2011/03/04
67341	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 I	2011/03/03	2011/03/04
68376	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11G	2011/03/03	2011/03/04
68375	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11H	2011/03/03	2011/03/04
68461	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 W	2011/03/03	2011/03/04
68481	Deutsche Bank AG – A50 China R Bear CBBC Aug 2011 C	2011/03/03	2011/03/04
68439	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 M	2011/03/03	2011/03/04
68429	UBS AG – HSI R Bear CBBC Aug 2011 G	2011/03/03	2011/03/04
68476	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2011 E	2011/03/03	2011/03/04
68460	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 L	2011/03/03	2011/03/04
68406	Credit Suisse AG – HSI R Bear CBBC Jun 2011 O	2011/03/03	2011/03/04
68410	Deutsche Bank AG – HSCEI R Bear CBBC Aug 2011 A	2011/03/03	2011/03/04
68427	Credit Suisse AG – HSI R Bear CBBC Jun 2011 H	2011/03/03	2011/03/04
68474	Deutsche Bank AG – China Life R Bear CBBC Jul 2011 E	2011/03/03	2011/03/04
68428	UBS AG – HSI R Bear CBBC Jul 2011 F	2011/03/03	2011/03/04
68447	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 V	2011/03/03	2011/03/04
68443	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 T	2011/03/03	2011/03/04
68441	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 R	2011/03/03	2011/03/04
68438	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 D	2011/03/03	2011/03/04
68442	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 S	2011/03/03	2011/03/04
68393	UBS AG – HSI R Bear CBBC Jul 2011 Z	2011/03/03	2011/03/04
68409	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 Z	2011/03/03	2011/03/04
64875	UBS AG – TCH R Bear CBBC Mar 2011 E	2011/03/04	2011/03/07
65168	UBS AG – ABC R Bear CBBC Mar 2011 A	2011/03/04	2011/03/08
63897	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 C	2011/03/04	2011/03/08
66342	UBS AG – A50 China R Bear CBBC Apr 2011 B	2011/03/04	2011/03/07



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
68297	UBS AG – HSI R Bear CBBC Jun 2011 G	2011/03/04	2011/03/07
68483	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11F	2011/03/04	2011/03/07
68491	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 X	2011/03/04	2011/03/07
68484	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11L	2011/03/04	2011/03/07
68482	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11U	2011/03/04	2011/03/07
68485	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11Z	2011/03/04	2011/03/07
68489	UBS AG – HSI R Bear CBBC Jul 2011 K	2011/03/04	2011/03/07
68052	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC Jul11C	2011/03/04	2011/03/07
65993	UBS AG – A50 China R Bear CBBC Mar 2011 E	2011/03/04	2011/03/08
68492	HK Bank – HSI R Bear CBBC Aug 2011 E	2011/03/04	2011/03/07
64846	UBS AG – China Life R Bear CBBC Mar 2011 A	2011/03/04	2011/03/08
64881	Credit Suisse AG – TCH R Bear CBBC Mar 2011 B	2011/03/04	2011/03/07
68377	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11X	2011/03/04	2011/03/07
68378	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11W	2011/03/04	2011/03/07
68345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 P	2011/03/04	2011/03/07
65285	RB of Scotland N.V. – A50 China R Bear CBBC Mar 2011 B	2011/03/04	2011/03/08
65467	UBS AG – SHK Ppt R Bear CBBC Mar 2011 A	2011/03/04	2011/03/08
68364	J P Morgan SP BV – HSI R Bear CBBC Jun 2011 C	2011/03/04	2011/03/07
68543	Credit Suisse AG – A50 China R Bear CBBC Jun 2011 D	2011/03/07	2011/03/08
68555	UBS AG – HSI R Bear CBBC Jul 2011 L	2011/03/07	2011/03/08
68559	UBS AG – HSI R Bull CBBC Jun 2011 Z	2011/03/07	2011/03/08
68574	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 N	2011/03/07	2011/03/08
68558	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 U	2011/03/07	2011/03/08
68575	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 V	2011/03/07	2011/03/08
68580	Deutsche Bank AG – HSBC R Bull CBBC Jul 2011 F	2011/03/07	2011/03/08
68557	Credit Suisse AG – HSI R Bear CBBC Jun 2011 X	2011/03/07	2011/03/08
68534	Credit Suisse AG – HSI R Bull CBBC Aug 2011 U	2011/03/07	2011/03/08
64084	HK Bank – A50 R Bull CBBC Mar 2011 A	2011/03/07	2011/03/09
67913	HK Bank – HSI R Bear CBBC Jul 2011 E	2011/03/08	2011/03/09
68334	Deutsche Bank AG – HSI R Bear CBBC May 2011 H	2011/03/08	2011/03/09
68329	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11N	2011/03/08	2011/03/09
67893	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11T	2011/03/08	2011/03/09
68612	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 R	2011/03/08	2011/03/09
67930	Credit Suisse AG – HSI R Bear CBBC May 2011 Y	2011/03/08	2011/03/09
67885	Credit Suisse AG – HSI R Bear CBBC May 2011 D	2011/03/08	2011/03/09
67932	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 I	2011/03/08	2011/03/09
67900	UBS AG – HSI R Bear CBBC May 2011 U	2011/03/08	2011/03/09
68382	Deutsche Bank AG – China Life R Bear CBBC Aug 2011 A	2011/03/08	2011/03/09
68339	UBS AG – HSI R Bear CBBC May 2011 F	2011/03/08	2011/03/09
68075	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 X	2011/03/08	2011/03/09
68451	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 Q	2011/03/08	2011/03/09
67897	Deutsche Bank AG – HSI R Bear CBBC May 2011 O	2011/03/08	2011/03/09
67901	Deutsche Bank AG – HSI R Bear CBBC May 2011 J	2011/03/08	2011/03/09
68493	Credit Suisse AG – HSI R Bear CBBC Aug 2011 T	2011/03/08	2011/03/09
68346	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 Q	2011/03/08	2011/03/09
68332	Credit Suisse AG – HSI R Bear CBBC May 2011 K	2011/03/08	2011/03/09
68253	Credit Suisse AG – HSI R Bear CBBC Jul 2011 G	2011/03/08	2011/03/09
68587	Credit Suisse AG – TCH R Bear CBBC Jun 2011 B	2011/03/08	2011/03/09
68614	Credit Suisse AG – HSI R Bull CBBC Aug 2011 V	2011/03/08	2011/03/09
68611	UBS AG – HSI R Bear CBBC Aug 2011 I	2011/03/08	2011/03/09
68263	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 K	2011/03/09	2011/03/10
63072	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 T	2011/03/09	2011/03/10
62707	UBS AG – HSI R Bear CBBC Aug 2011 K	2011/03/09	2011/03/10
67894	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11S	2011/03/09	2011/03/10
68079	J P Morgan SP BV – HSI R Bear CBBC May 2011 G	2011/03/09	2011/03/10
68197	UBS AG – China Life R Bear CBBC Aug 2011 B	2011/03/09	2011/03/10
67739	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 F	2011/03/09	2011/03/10
63073	Deutsche Bank AG – HSBC R Bear CBBC Jul 2011 G	2011/03/09	2011/03/10
68005	Credit Suisse AG – HSI R Bear CBBC May 2011 A	2011/03/09	2011/03/10
63071	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 C	2011/03/09	2011/03/10
68273	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2011 D	2011/03/09	2011/03/10
63068	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 O	2011/03/09	2011/03/10
67819	HK Bank – TCH R Bear CBBC Aug 2011 A	2011/03/09	2011/03/10
67966	Deutsche Bank AG – HSI R Bear CBBC May 2011 P	2011/03/09	2011/03/10
63064	UBS AG – HSI R Bear CBBC Jul 2011 E	2011/03/09	2011/03/10
67969	Credit Suisse AG – HSI R Bear CBBC May 2011 T	2011/03/09	2011/03/10
63061	Credit Suisse AG – HSI R Bear CBBC Jun 2011 V	2011/03/09	2011/03/10
66914	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 D	2011/03/09	2011/03/10
67899	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 K	2011/03/09	2011/03/10
67898	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 F	2011/03/09	2011/03/10
68014	Deutsche Bank AG – HSI R Bear CBBC May 2011 U	2011/03/09	2011/03/10
68017	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 T	2011/03/09	2011/03/10
68535	Credit Suisse AG – HKEx R Bear CBBC Jun 2011 A	2011/03/09	2011/03/10
68255	Credit Suisse AG – HSI R Bear CBBC Aug 2011 O	2011/03/09	2011/03/10
68076	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 G	2011/03/09	2011/03/10
67963	UBS AG – HSI R Bear CBBC Jul 2011 Q	2011/03/09	2011/03/10
68134	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 M	2011/03/09	2011/03/10
65027	Credit Suisse AG – TCH R Bear CBBC Mar 2011 C	2011/03/09	2011/03/10
67895	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11R	2011/03/09	2011/03/10
63060	Credit Suisse AG – HSI R Bear CBBC Jun 2011 N	2011/03/09	2011/03/10
68015	UBS AG – HSI R Bear CBBC Jun 2011 T	2011/03/09	2011/03/10
63091	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 W	2011/03/10	2011/03/11
63092	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 X	2011/03/10	2011/03/11
63075	Credit Suisse AG – HSI R Bear CBBC Jun 2011 L	2011/03/10	2011/03/11
63074	Credit Suisse AG – HSI R Bear CBBC Jun 2011 M	2011/03/10	2011/03/11
63080	Deutsche Bank AG – HSBC R Bull CBBC Jul 2011 H	2011/03/10	2011/03/11
63805	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 C	2011/03/10	2011/03/14

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63084	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 Q	2011/03/10	2011/03/11
63077	UBS AG – HSI R Bear CBBC Jun 2011 O	2011/03/10	2011/03/11
63090	UBS AG – HSI R Bear CBBC Sep 2011 B	2011/03/10	2011/03/11
63803	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 A	2011/03/10	2011/03/14
63804	RB of Scotland N.V. – Nikkei 225 R Bull CBBC Mar 2011 B	2011/03/10	2011/03/14
63089	UBS AG – HSI R Bear CBBC Jul 2011 S	2011/03/10	2011/03/11
65218	UBS AG – China Mobile R Bull CBBC Mar 2011 A	2011/03/11	2011/03/15
68556	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 I	2011/03/11	2011/03/14
63118	UBS AG – HSI R Bull CBBC Jun 2011 N	2011/03/11	2011/03/14
63116	UBS AG – HSI R Bear CBBC Jun 2011 J	2011/03/11	2011/03/14
68615	Credit Suisse AG – HSI R Bull CBBC Sep 2011 N	2011/03/11	2011/03/14
63107	Credit Suisse AG – HSI R Bear CBBC Jun 2011 F	2011/03/11	2011/03/14
68584	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 Q	2011/03/11	2011/03/14
64994	UBS AG – China Life R Bear CBBC Mar 2011 C	2011/03/11	2011/03/15
63046	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11L	2011/03/11	2011/03/14
68618	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11I	2011/03/11	2011/03/14
64824	UBS AG – A50 China R Bear CBBC Mar 2011 A	2011/03/11	2011/03/15
68572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 Z	2011/03/11	2011/03/14
65963	Deutsche Bank AG – HKEx R Bear CBBC Mar 2011 B	2011/03/11	2011/03/15
65942	UBS AG – China Mobile R Bear CBBC Mar 2011 C	2011/03/11	2011/03/15
68616	UBS AG – HSI R Bull CBBC Aug 2011 J	2011/03/11	2011/03/14
68562	UBS AG – HSI R Bull CBBC Jul 2011 J	2011/03/14	2011/03/15
67857	RB of Scotland N.V. – Geely Auto R Bull CBBC May 2011 A	2011/03/14	2011/03/15
63078	Deutsche Bank AG – A50 China R Bull CBBC Aug 2011 E	2011/03/14	2011/03/15
63120	Credit Suisse AG – HSI R Bull CBBC Aug 2011 X	2011/03/14	2011/03/15
63121	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 X	2011/03/14	2011/03/15
63122	UBS AG – HSI R Bull CBBC Jun 2011 A	2011/03/14	2011/03/15
63057	Credit Suisse AG – HSI R Bull CBBC Aug 2011 W	2011/03/14	2011/03/15
63021	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11K	2011/03/14	2011/03/15
68570	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 Y	2011/03/14	2011/03/15
67014	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Apr11Y	2011/03/15	2011/03/16
66938	CC Rabobank B.A. – HSI R Bull CBBC Jun 2011 B	2011/03/15	2011/03/16
63168	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 Q	2011/03/15	2011/03/16
63125	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2011 D	2011/03/15	2011/03/16
68498	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11M	2011/03/15	2011/03/16
66272	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 X	2011/03/15	2011/03/16
64731	Credit Suisse AG – HSI R Bull CBBC Mar 2011 C	2011/03/15	2011/03/16
64684	UBS AG – HSI R Bull CBBC Mar 2011 S	2011/03/15	2011/03/16
67015	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Apr11Z	2011/03/15	2011/03/16
68499	Credit Suisse AG – HSI R Bull CBBC Sep 2011 K	2011/03/15	2011/03/16
68563	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 W	2011/03/15	2011/03/16
67062	Credit Suisse AG – HSI R Bull CBBC Apr 2011 S	2011/03/15	2011/03/16
68104	Credit Suisse AG – HSI R Bull CBBC Sep 2011 D	2011/03/15	2011/03/16
63063	UBS AG – HSI R Bull CBBC Aug 2011 L	2011/03/15	2011/03/16
63123	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2011 B	2011/03/15	2011/03/16
68564	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 X	2011/03/15	2011/03/16
68501	UBS AG – HSI R Bull CBBC Jul 2011 M	2011/03/15	2011/03/16
68424	UBS AG – HSI R Bull CBBC Jul 2011 A	2011/03/15	2011/03/16
66862	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 S	2011/03/15	2011/03/16
68380	UBS AG – HSI R Bull CBBC Jul 2011 Y	2011/03/15	2011/03/16
66876	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 Y	2011/03/15	2011/03/16
68407	Credit Suisse AG – HSI R Bull CBBC Aug 2011 S	2011/03/15	2011/03/16
67514	Deutsche Bank AG – HSBC R Bull CBBC Jun 2011 B	2011/03/15	2011/03/16
67958	UBS AG – SHK Ppt R Bull CBBC Aug 2011 A	2011/03/15	2011/03/16
66854	UBS AG – HSI R Bull CBBC Apr 2011 D	2011/03/15	2011/03/16
68379	Credit Suisse AG – HSI R Bull CBBC Aug 2011 R	2011/03/15	2011/03/16
68425	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 K	2011/03/15	2011/03/16
68426	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 U	2011/03/15	2011/03/16
66921	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/03/15	2011/03/16
68411	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 Z	2011/03/15	2011/03/16
68494	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 P	2011/03/15	2011/03/16
68423	UBS AG – HSI R Bull CBBC Jun 2011 L	2011/03/15	2011/03/16
68495	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Y	2011/03/15	2011/03/16
68321	HK Bank – HSI R Bull CBBC Jul 2011 I	2011/03/15	2011/03/16
68319	HK Bank – HSI R Bull CBBC Jul 2011 H	2011/03/15	2011/03/16
68333	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 S	2011/03/15	2011/03/16
68523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 S	2011/03/15	2011/03/16
68525	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 T	2011/03/15	2011/03/16
68526	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 U	2011/03/15	2011/03/16
68529	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 V	2011/03/15	2011/03/16
63124	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2011 C	2011/03/15	2011/03/16
68500	Credit Suisse AG – HSI R Bull CBBC Sep 2011 L	2011/03/15	2011/03/16
63166	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 P	2011/03/15	2011/03/16
68497	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11J	2011/03/15	2011/03/16
68496	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Q	2011/03/15	2011/03/16
68422	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 J	2011/03/15	2011/03/16
68245	J P Morgan SP BV – HSI R Bull CBBC May 2011 I	2011/03/15	2011/03/16
67204	Credit Suisse AG – HSI R Bull CBBC Jul 2011 H	2011/03/15	2011/03/16
63101	HK Bank – SHK Ppt R Bull CBBC Dec 2011 A	2011/03/15	2011/03/16
67706	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 V	2011/03/15	2011/03/16
63067	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 B	2011/03/15	2011/03/16
65743	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2011 A	2011/03/15	2011/03/16
68207	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11V	2011/03/15	2011/03/16
68619	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11J	2011/03/15	2011/03/16
64508	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 E	2011/03/15	2011/03/16
68199	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 C	2011/03/15	2011/03/16
63165	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 R	2011/03/15	2011/03/16

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63058	UBS AG – HSI R Bull CBBC Jun 2011 K	2011/03/15	2011/03/16
68179	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 U	2011/03/15	2011/03/16
63119	Deutsche Bank AG – HSCEI R Bull CBBC Aug 2011 B	2011/03/15	2011/03/16
63070	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 B	2011/03/15	2011/03/16
63104	Credit Suisse AG – TCH R Bull CBBC Jun 2011 C	2011/03/15	2011/03/16
63106	Credit Suisse AG – A50 China R Bull CBBC Jul 2011 B	2011/03/15	2011/03/16
68532	Credit Suisse AG – HSI R Bull CBBC Sep 2011 M	2011/03/15	2011/03/16
68576	Deutsche Bank AG – China Life R Bull CBBC Aug 2011 C	2011/03/15	2011/03/16
68548	UBS AG – HSI R Bull CBBC Aug 2011 H	2011/03/15	2011/03/16
63086	UBS AG – HSI R Bull CBBC Sep 2011 A	2011/03/15	2011/03/16
68583	Deutsche Bank AG – China Mobile R Bull CBBC Aug 2011 A	2011/03/15	2011/03/16
63087	UBS AG – HKEx R Bull CBBC Jul 2011 D	2011/03/15	2011/03/16
67166	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 A	2011/03/15	2011/03/16
63081	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 S	2011/03/15	2011/03/16
67095	RB of Scotland N.V. – SHK Ppt R Bull CBBC Apr 2011 A	2011/03/15	2011/03/16
67144	RB of Scotland N.V. – HSI R Bull CBBC Apr 2011 A	2011/03/15	2011/03/16
63155	UBS AG – HSI R Bull CBBC Jul 2011 V	2011/03/15	2011/03/16
63157	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	2011/03/15	2011/03/16
68250	UBS AG – HSI R Bull CBBC May 2011 Q	2011/03/15	2011/03/16
66635	UBS AG – HSI R Bull CBBC May 2011 V	2011/03/15	2011/03/16
68203	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 G	2011/03/15	2011/03/16
63159	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/03/15	2011/03/16
63069	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 A	2011/03/15	2011/03/16
63158	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/03/15	2011/03/16
63156	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	2011/03/15	2011/03/16
63160	Credit Suisse AG – HSI R Bull CBBC Aug 2011 Y	2011/03/15	2011/03/16
63149	Deutsche Bank AG – China Life R Bull CBBC Aug 2011 D	2011/03/15	2011/03/16
63152	Deutsche Bank AG – A50 China R Bull CBBC Aug 2011 G	2011/03/15	2011/03/16
66653	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Mar11R	2011/03/15	2011/03/16
68172	Credit Suisse AG – HSI R Bull CBBC Aug 2011 N	2011/03/15	2011/03/16
63164	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 Y	2011/03/15	2011/03/16
63059	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 W	2011/03/15	2011/03/16
63180	Credit Suisse AG – HSI R Bull CBBC Sep 2011 O	2011/03/16	2011/03/17
67482	UBS AG – HSBC R Bull CBBC Jun 2011 A	2011/03/16	2011/03/17
67588	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC May11G	2011/03/16	2011/03/17
63177	HK Bank – HSI R Bull CBBC Sep 2011 D	2011/03/16	2011/03/17
63186	UBS AG – HSI R Bull CBBC Jul 2011 P	2011/03/16	2011/03/17
63187	UBS AG – HSI R Bull CBBC Jun 2011 Z	2011/03/16	2011/03/17
63169	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 C	2011/03/16	2011/03/17
63182	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 E	2011/03/16	2011/03/17
68084	Deutsche Bank AG – DJIA R Bull CBBC Sep 2011 F	2011/03/16	2011/03/17
63172	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11Z	2011/03/16	2011/03/17
63176	HK Bank – HSI R Bull CBBC Sep 2011 C	2011/03/16	2011/03/17
63185	Credit Suisse AG – HSI R Bull CBBC Sep 2011 Q	2011/03/16	2011/03/17
63175	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11X	2011/03/16	2011/03/17
63184	Credit Suisse AG – HSI R Bull CBBC Sep 2011 P	2011/03/16	2011/03/17
63170	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11H	2011/03/16	2011/03/17
63171	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11G	2011/03/16	2011/03/17
64227	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 B	2011/03/17	2011/03/21
66348	SGA Societe Generale Acceptance N.V.-CMob R Bull CBBC May11E	2011/03/17	2011/03/18
66476	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jun 11A	2011/03/17	2011/03/18
66405	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 J	2011/03/17	2011/03/18
68237	UBS AG – China Mobile R Bull CBBC Aug 2011 A	2011/03/17	2011/03/18
68477	Deutsche Bank AG – HSBC R Bull CBBC Aug 2011 B	2011/03/17	2011/03/18
63193	UBS AG – China Life R Bull CBBC Sep 2011 A	2011/03/17	2011/03/18
64560	HK Bank – HSI R Bull CBBC Apr 2011 A	2011/03/17	2011/03/18
64539	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 E	2011/03/17	2011/03/18
63154	UBS AG – Ping An R Bull CBBC Aug 2011 A	2011/03/17	2011/03/18
63174	HK Bank – A50 R Bull CBBC Sep 2011 A	2011/03/17	2011/03/18
63108	UBS AG – TCH R Bull CBBC Sep 2011 A	2011/03/17	2011/03/18
64304	HK Bank – Sinopec Corp R Bull CBBC Mar 2011 A	2011/03/17	2011/03/21
63197	Credit Suisse AG – HSI R Bull CBBC Aug 2011 Z	2011/03/17	2011/03/18
63192	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 E	2011/03/17	2011/03/18
63200	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 A	2011/03/17	2011/03/18
63195	UBS AG – HSI R Bull CBBC Sep 2011 C	2011/03/17	2011/03/18
63202	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 C	2011/03/17	2011/03/18
63864	HK Bank – China Life R Bull CBBC Mar 2011 A	2011/03/17	2011/03/21
63189	UBS AG – HSI R Bull CBBC Aug 2011 O	2011/03/17	2011/03/18
68064	Deutsche Bank AG – China Mobile R Bull CBBC Jun 2011 C	2011/03/17	2011/03/18
63105	Credit Suisse AG – TCH R Bull CBBC Jul 2011 B	2011/03/17	2011/03/18
68002	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 E	2011/03/17	2011/03/18
63085	UBS AG – HSI R Bull CBBC Aug 2011 M	2011/03/17	2011/03/18
64507	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 E	2011/03/17	2011/03/18
64229	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 C	2011/03/17	2011/03/21
68609	Credit Suisse AG – HKEx R Bull CBBC Jul 2011 A	2011/03/17	2011/03/18
68268	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2011 B	2011/03/17	2011/03/18
63201	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 B	2011/03/17	2011/03/18
67013	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Apr11X	2011/03/17	2011/03/18
64226	RB of Scotland N.V. – DJIA R Bull CBBC Mar 2011 A	2011/03/17	2011/03/21
67710	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 H	2011/03/17	2011/03/18
67936	UBS AG – HSI R Bull CBBC Jul 2011 O	2011/03/17	2011/03/18
66647	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Mar11S	2011/03/17	2011/03/18
67083	RB of Scotland N.V. – China Mobile R Bull CBBC Apr 2011 A	2011/03/17	2011/03/18
66554	Credit Suisse AG – HSBC R Bull CBBC Mar 2011 C	2011/03/17	2011/03/18
67212	Credit Suisse AG – HSI R Bull CBBC Aug 2011 C	2011/03/17	2011/03/18
67665	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 K	2011/03/17	2011/03/18
66536	Deutsche Bank AG – HSBC R Bull CBBC Apr 2011 A	2011/03/17	2011/03/18

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67417	UBS AG – Hutchison R Bull CBBC Jun 2011 B	2011/03/17	2011/03/18
65106	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 G	2011/03/17	2011/03/21
65102	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 E	2011/03/17	2011/03/21
67591	SGA Societe Generale Acceptance N.V. - HWL R Bull CBBC May11H	2011/03/17	2011/03/18
65105	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 F	2011/03/17	2011/03/21
64745	Deutsche Bank AG – DJIA R Bull CBBC Mar 2011 C	2011/03/18	2011/03/22
66038	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 G	2011/03/18	2011/03/22
65028	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 A	2011/03/18	2011/03/22
65025	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 B	2011/03/18	2011/03/22
66042	UBS AG – China Life R Bear CBBC Mar 2011 H	2011/03/18	2011/03/22
63208	UBS AG – HSI R Bull CBBC Jun 2011 T	2011/03/18	2011/03/21
64942	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 A	2011/03/18	2011/03/22
66100	Credit Suisse AG – China Mobile R Bear CBBC Mar 2011 B	2011/03/18	2011/03/22
63216	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 T	2011/03/18	2011/03/21
63210	Credit Suisse AG – HSI R Bull CBBC Aug 2011 I	2011/03/18	2011/03/21
65908	UBS AG – Hutchison R Bear CBBC Mar 2011 D	2011/03/18	2011/03/22
65053	UBS AG – HKEx R Bear CBBC Mar 2011 D	2011/03/18	2011/03/22
66101	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 F	2011/03/18	2011/03/22
65513	Credit Suisse AG – CK(H) R Bear CBBC Mar 2011 B	2011/03/18	2011/03/22
65395	UBS AG – CC Bank R Bear CBBC Mar 2011 B	2011/03/18	2011/03/22
64940	Credit Suisse AG – HSBC R Bear CBBC Mar 2011 A	2011/03/18	2011/03/22
67940	HK Bank – Sinopec Corp R Bull CBBC Jul 2011 A	2011/03/18	2011/03/21
65937	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 E	2011/03/18	2011/03/22
64282	Deutsche Bank AG – DJIA R Bull CBBC Mar 2011 A	2011/03/18	2011/03/22
65464	Credit Suisse AG – SHK Ppt R Bear CBBC Mar 2011 A	2011/03/18	2011/03/22
63256	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 F	2011/03/21	2011/03/22
63248	Credit Suisse AG – HSI R Bull CBBC Aug 2011 D	2011/03/21	2011/03/22
63249	UBS AG – HSI R Bull CBBC Jul 2011 F	2011/03/21	2011/03/22
63331	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 E	2011/03/22	2011/03/23
63333	UBS AG – HSI R Bear CBBC Jun 2011 A	2011/03/22	2011/03/23
63296	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11L	2011/03/22	2011/03/23
63301	Credit Suisse AG – HSI R Bear CBBC Jun 2011 H	2011/03/22	2011/03/23
63305	Credit Suisse AG – HSI R Bear CBBC Jul 2011 Q	2011/03/22	2011/03/23
63356	Deutsche Bank AG – A50 China R Bear CBBC Sep 2011 C	2011/03/22	2011/03/23
63272	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 F	2011/03/22	2011/03/23
63328	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 I	2011/03/22	2011/03/23
63344	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 U	2011/03/22	2011/03/23
63278	UBS AG – HSI R Bull CBBC Jul 2011 K	2011/03/22	2011/03/23
63300	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11Z	2011/03/22	2011/03/23
63277	UBS AG – HSI R Bull CBBC Jun 2011 N	2011/03/22	2011/03/23
63283	Credit Suisse AG – HSI R Bull CBBC Aug 2011 P	2011/03/22	2011/03/23
63297	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11K	2011/03/22	2011/03/23
63273	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 J	2011/03/22	2011/03/23
63309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 K	2011/03/22	2011/03/23
63287	Credit Suisse AG – HSI R Bull CBBC Sep 2011 U	2011/03/22	2011/03/23
63280	Credit Suisse AG – HSI R Bull CBBC Aug 2011 L	2011/03/22	2011/03/23
63307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 H	2011/03/22	2011/03/23
63308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 L	2011/03/22	2011/03/23
63380	UBS AG – HSI R Bear CBBC Jul 2011 S	2011/03/23	2011/03/24
63379	UBS AG – HSI R Bear CBBC Jul 2011 E	2011/03/23	2011/03/24
63375	Credit Suisse AG – HSI R Bear CBBC Jun 2011 M	2011/03/23	2011/03/24
68617	UBS AG – TCH R Bull CBBC Aug 2011 A	2011/03/23	2011/03/24
63373	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 M	2011/03/23	2011/03/24
63463	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 V	2011/03/24	2011/03/25
63351	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 E	2011/03/24	2011/03/25
63264	Deutsche Bank AG – A50 China R Bear CBBC Sep 2011 B	2011/03/24	2011/03/25
63345	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 V	2011/03/24	2011/03/25
63299	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11J	2011/03/24	2011/03/25
63421	Credit Suisse AG – HSI R Bear CBBC Jul 2011 S	2011/03/24	2011/03/25
63420	Credit Suisse AG – HSI R Bear CBBC Jul 2011 A	2011/03/24	2011/03/25
63381	UBS AG – HSI R Bear CBBC Jul 2011 J	2011/03/24	2011/03/25
67401	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC May11F	2011/03/24	2011/03/25
63462	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 M	2011/03/24	2011/03/25
63334	UBS AG – HSI R Bear CBBC Jul 2011 L	2011/03/24	2011/03/25
63376	Credit Suisse AG – HSI R Bear CBBC Jun 2011 L	2011/03/24	2011/03/25
63298	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11M	2011/03/24	2011/03/25
63370	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 W	2011/03/24	2011/03/25
63419	Credit Suisse AG – HSI R Bear CBBC Jun 2011 F	2011/03/24	2011/03/25
63403	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11A	2011/03/24	2011/03/25
63302	Credit Suisse AG – HSI R Bear CBBC Jun 2011 X	2011/03/24	2011/03/25
63444	Deutsche Bank AG – DJIA R Bear CBBC Dec 2011 B	2011/03/24	2011/03/25
63441	UBS AG – HSI R Bear CBBC Jul 2011 Y	2011/03/24	2011/03/25
63414	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 M	2011/03/24	2011/03/25
63402	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11B	2011/03/24	2011/03/25
63417	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 Y	2011/03/24	2011/03/25
63311	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 J	2011/03/24	2011/03/25
63329	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 J	2011/03/24	2011/03/25
63312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 I	2011/03/24	2011/03/25
63442	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 X	2011/03/24	2011/03/25
63418	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 N	2011/03/24	2011/03/25
63458	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 T	2011/03/24	2011/03/25
63457	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 S	2011/03/24	2011/03/25
63443	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 G	2011/03/24	2011/03/25
63439	UBS AG – HSI R Bear CBBC Jun 2011 K	2011/03/24	2011/03/25
63394	HK Bank – HSI R Bear CBBC Aug 2011 F	2011/03/24	2011/03/25
66612	Credit Suisse AG – A50 China R Bull CBBC Mar 2011 H	2011/03/25	2011/03/29
66531	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 L	2011/03/25	2011/03/29

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63313	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 O	2011/03/25	2011/03/28
64996	UBS AG – China Life R Bear CBBC Mar 2011 D	2011/03/25	2011/03/29
63303	Credit Suisse AG – HSI R Bear CBBC Jun 2011 V	2011/03/25	2011/03/28
64998	UBS AG – A50 China R Bear CBBC Mar 2011 C	2011/03/25	2011/03/29
66162	UBS AG – China Life R Bear CBBC Mar 2011 I	2011/03/25	2011/03/29
63355	Deutsche Bank AG – HSBC R Bear CBBC Sep 2011 D	2011/03/25	2011/03/28
64997	UBS AG – HKEx R Bear CBBC Mar 2011 C	2011/03/25	2011/03/29
65003	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Mar11D	2011/03/25	2011/03/29
63294	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11Q	2011/03/25	2011/03/28
65830	UBS AG – HKEx R Bear CBBC Mar 2011 I	2011/03/25	2011/03/29
63465	UBS AG – HSI R Bear CBBC Aug 2011 A	2011/03/25	2011/03/28
64857	SGA Societe Generale Acceptance N.V. -HKEx R Bull CBBC Mar11A	2011/03/25	2011/03/29
64861	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Mar11C	2011/03/25	2011/03/29
63440	UBS AG – HSI R Bear CBBC Jun 2011 Z	2011/03/25	2011/03/28
64858	SGA Societe Generale Acceptance N.V. -HKEx R Bull CBBC Mar11B	2011/03/25	2011/03/29
63468	Credit Suisse AG – HSI R Bear CBBC Jul 2011 Z	2011/03/25	2011/03/28
63473	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 R	2011/03/25	2011/03/28
64871	UBS AG – A50 China R Bear CBBC Mar 2011 B	2011/03/25	2011/03/29
66816	HK Bank – ICBC R Bear CBBC Jul 2011 A	2011/03/25	2011/03/28
63467	Credit Suisse AG – A50 China R Bear CBBC Jul 2011 C	2011/03/25	2011/03/28
64862	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Mar11A	2011/03/25	2011/03/29
66684	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 M	2011/03/28	2011/03/30
63480	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 Z	2011/03/28	2011/03/29
63314	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 N	2011/03/28	2011/03/29
63332	UBS AG – HSI R Bear CBBC Jun 2011 J	2011/03/28	2011/03/29
63265	Credit Suisse AG – HSI R Bear CBBC Jun 2011 O	2011/03/28	2011/03/29
63975	RB of Scotland N.V. – Ch Life R Bull CBBC Mar 2011 A	2011/03/28	2011/03/29
63257	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 V	2011/03/28	2011/03/29
63475	Credit Suisse AG – HSI R Bear CBBC Jul 2011 W	2011/03/28	2011/03/29
63352	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 F	2011/03/28	2011/03/29
67093	RB of Scotland N.V. – Li & Fung R Bull CBBC Apr 2011 A	2011/03/28	2011/03/29
63295	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11J	2011/03/28	2011/03/29
64095	UBS AG – HSI R Bull CBBC Mar 2011 J	2011/03/29	2011/03/31
65588	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Z	2011/03/29	2011/03/31
65589	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
66290	UBS AG – HSCEI R Bear CBBC Mar 2011 H	2011/03/29	2011/03/31
64007	UBS AG – HSI R Bull CBBC Mar 2011 H	2011/03/29	2011/03/31
65564	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
65914	Credit Suisse AG – HSI R Bear CBBC Mar 2011 I	2011/03/29	2011/03/31
63894	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 C	2011/03/29	2011/03/31
66345	UBS AG – HSI R Bear CBBC Mar 2011 K	2011/03/29	2011/03/31
64089	UBS AG – HSI R Bull CBBC Mar 2011 I	2011/03/29	2011/03/31
65747	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
63893	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 B	2011/03/29	2011/03/31
65561	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 B	2011/03/29	2011/03/31
63330	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 A	2011/03/29	2011/03/31
64919	Credit Suisse AG – HSI R Bear CBBC Mar 2011 M	2011/03/29	2011/03/31
64888	UBS AG – HSI R Bear CBBC Mar 2011 Y	2011/03/29	2011/03/31
65916	Credit Suisse AG – HSI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
66873	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 X	2011/03/29	2011/03/31
63892	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2011 A	2011/03/29	2011/03/31
65915	Credit Suisse AG – HSI R Bear CBBC Mar 2011 E	2011/03/29	2011/03/31
63519	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 G	2011/03/29	2011/03/31
66645	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Mar11U	2011/03/29	2011/03/31
65752	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 A	2011/03/29	2011/03/31
66562	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 K	2011/03/29	2011/03/31
66558	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 J	2011/03/29	2011/03/31
65967	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 F	2011/03/29	2011/03/31
66436	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Q	2011/03/29	2011/03/31
65692	Credit Suisse AG – HSI R Bear CBBC Mar 2011 F	2011/03/29	2011/03/31
65823	UBS AG – HSI R Bear CBBC Mar 2011 O	2011/03/29	2011/03/31
63339	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
65935	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 R	2011/03/29	2011/03/31
65565	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
65812	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 K	2011/03/29	2011/03/31
66443	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 W	2011/03/29	2011/03/31
64277	HK Bank – HSI R Bull CBBC Mar 2011 C	2011/03/29	2011/03/31
65063	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 N	2011/03/29	2011/03/31
64278	HK Bank – HSI R Bull CBBC Mar 2011 D	2011/03/29	2011/03/31
65540	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
66646	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Mar11T	2011/03/29	2011/03/31
65066	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 O	2011/03/29	2011/03/31
65832	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
63885	UBS AG – HSI R Bull CBBC Mar 2011 G	2011/03/29	2011/03/31
65304	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 J	2011/03/29	2011/03/31
64717	UBS AG – HSI R Bear CBBC Mar 2011 V	2011/03/29	2011/03/31
64574	UBS AG – HSI R Bear CBBC Mar 2011 R	2011/03/29	2011/03/31
64715	UBS AG – HSCEI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
66865	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 T	2011/03/29	2011/03/31
65990	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 E	2011/03/29	2011/03/31
66002	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 H	2011/03/29	2011/03/31
66006	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 I	2011/03/29	2011/03/31
66455	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 Q	2011/03/29	2011/03/31
66864	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 S	2011/03/29	2011/03/31
64880	Credit Suisse AG – HSI R Bear CBBC Mar 2011 L	2011/03/29	2011/03/31
65979	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 B	2011/03/29	2011/03/31
65351	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 F	2011/03/29	2011/03/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64936	Credit Suisse AG – HSI R Bear CBBC Mar 2011 P	2011/03/29	2011/03/31
64169	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 L	2011/03/29	2011/03/31
64673	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 H	2011/03/29	2011/03/31
66050	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 G	2011/03/29	2011/03/31
64674	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 I	2011/03/29	2011/03/31
64691	UBS AG – HSI R Bear CBBC Mar 2011 U	2011/03/29	2011/03/31
65262	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 K	2011/03/29	2011/03/31
65261	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 F	2011/03/29	2011/03/31
65228	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
66259	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 I	2011/03/29	2011/03/31
64879	Credit Suisse AG – HSI R Bear CBBC Mar 2011 K	2011/03/29	2011/03/31
66454	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 P	2011/03/29	2011/03/31
63484	Credit Suisse AG – HSI R Bear CBBC Jul 2011 R	2011/03/29	2011/03/30
66067	Credit Suisse AG – HSI R Bear CBBC Mar 2011 S	2011/03/29	2011/03/31
66871	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 W	2011/03/29	2011/03/31
66867	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 V	2011/03/29	2011/03/31
65527	Credit Suisse AG – HSI R Bear CBBC Mar 2011 R	2011/03/29	2011/03/31
65526	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Q	2011/03/29	2011/03/31
64892	UBS AG – HSI R Bear CBBC Mar 2011 Z	2011/03/29	2011/03/31
65001	UBS AG – HSI R Bear CBBC Mar 2011 L	2011/03/29	2011/03/31
65000	UBS AG – HSI R Bear CBBC Mar 2011 A	2011/03/29	2011/03/31
65878	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 B	2011/03/29	2011/03/31
65980	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
66271	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 N	2011/03/29	2011/03/31
65098	UBS AG – HSCEI R Bear CBBC Mar 2011 F	2011/03/29	2011/03/31
64718	UBS AG – HSI R Bear CBBC Mar 2011 W	2011/03/29	2011/03/31
65452	UBS AG – HSI R Bear CBBC Mar 2011 C	2011/03/29	2011/03/31
64971	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 M	2011/03/29	2011/03/31
64970	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 L	2011/03/29	2011/03/31
63483	Credit Suisse AG – HSI R Bear CBBC Jun 2011 H	2011/03/29	2011/03/30
66866	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 U	2011/03/29	2011/03/31
64173	UBS AG – HSI R Bull CBBC Mar 2011 N	2011/03/29	2011/03/31
64963	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 A	2011/03/29	2011/03/31
65876	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Q	2011/03/29	2011/03/31
64920	Credit Suisse AG – HSI R Bear CBBC Mar 2011 N	2011/03/29	2011/03/31
65478	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 B	2011/03/29	2011/03/31
64818	UBS AG – HSI R Bear CBBC Mar 2011 X	2011/03/29	2011/03/31
65877	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 D	2011/03/29	2011/03/31
63227	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 Z	2011/03/30	2011/03/31
63254	Deutsche Bank AG – HSCEI R Bear CBBC Sep 2011 C	2011/03/30	2011/03/31
63245	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11X	2011/03/30	2011/03/31
63250	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 C	2011/03/30	2011/03/31
63212	UBS AG – HSI R Bear CBBC Aug 2011 R	2011/03/30	2011/03/31
63211	UBS AG – HSI R Bear CBBC Jul 2011 Z	2011/03/30	2011/03/31
63246	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11Y	2011/03/30	2011/03/31
63215	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 S	2011/03/30	2011/03/31
63217	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 Y	2011/03/30	2011/03/31
63213	Credit Suisse AG – HSI R Bear CBBC Jun 2011 Y	2011/03/30	2011/03/31
63244	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11W	2011/03/30	2011/03/31
63224	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 Y	2011/03/30	2011/03/31
63353	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 G	2011/03/30	2011/03/31
63147	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 J	2011/03/30	2011/03/31
63371	HK Bank – HSI R Bear CBBC Sep 2011 G	2011/03/30	2011/03/31
63138	UBS AG – HSI R Bear CBBC Aug 2011 N	2011/03/30	2011/03/31
67818	HK Bank – PetCh R Bear CBBC Aug 2011 A	2011/03/30	2011/03/31
63495	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 O	2011/03/30	2011/03/31
63306	Credit Suisse AG – HSI R Bear CBBC Jul 2011 J	2011/03/30	2011/03/31
63485	Credit Suisse AG – HSI R Bear CBBC Jul 2011 E	2011/03/30	2011/03/31
63497	Deutsche Bank AG – Hutchison R Bear CBBC Oct 2011 B	2011/03/30	2011/03/31
63493	Credit Suisse AG – TCH R Bear CBBC Aug 2011 B	2011/03/30	2011/03/31
63524	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 T	2011/03/31	2011/04/01
63268	UBS AG – HSI R Bear CBBC Aug 2011 S	2011/03/31	2011/04/01
63140	Credit Suisse AG – HSI R Bear CBBC Jun 2011 E	2011/03/31	2011/04/01
63527	Credit Suisse AG – HSI R Bear CBBC Jul 2011 I	2011/03/31	2011/04/01
63372	HK Bank – HSI R Bear CBBC Sep 2011 H	2011/03/31	2011/04/01
68049	SGA Societe Generale Acceptance N.V.-ICBC R Bear CBBC Jul11B	2011/03/31	2011/04/01
63243	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11I	2011/03/31	2011/04/01
63515	UBS AG – HSI R Bear CBBC Jul 2011 V	2011/03/31	2011/04/01
63214	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 R	2011/03/31	2011/04/01
68240	UBS AG – ABC R Bear CBBC Sep 2011 A	2011/03/31	2011/04/01
63231	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 F	2011/03/31	2011/04/01
63196	Credit Suisse AG – HSI R Bear CBBC Jun 2011 B	2011/03/31	2011/04/01
63218	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 U	2011/03/31	2011/04/01
63390	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2011 C	2011/04/01	2011/04/04
63181	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 S	2011/04/01	2011/04/04
63354	J P Morgan SP BV – HSI R Bear CBBC Jul 2011 H	2011/04/01	2011/04/04
63415	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 Q	2011/04/01	2011/04/04
63178	UBS AG – HSI R Bear CBBC Jul 2011 W	2011/04/01	2011/04/04
63346	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 W	2011/04/01	2011/04/04
63179	Credit Suisse AG – HSI R Bear CBBC Jun 2011 C	2011/04/01	2011/04/04
63413	Deutsche Bank AG – China Mobile R Bear CBBC Aug 2011 B	2011/04/01	2011/04/04
63144	UBS AG – HSI R Bear CBBC Jun 2011 G	2011/04/01	2011/04/04
63148	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 S	2011/04/01	2011/04/04
63374	Credit Suisse AG – HKEx R Bear CBBC Jul 2011 C	2011/04/01	2011/04/04
63412	Deutsche Bank AG – China Life R Bear CBBC Sep 2011 C	2011/04/01	2011/04/04
66081	UBS AG – China Life R Bear CBBC Apr 2011 A	2011/04/01	2011/04/06
63476	UBS AG – HSI R Bear CBBC Sep 2011 H	2011/04/01	2011/04/04

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66085	UBS AG – A50 China R Bear CBBC Apr 2011 A	2011/04/01	2011/04/06
63237	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 A	2011/04/01	2011/04/04
63234	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 D	2011/04/01	2011/04/04
63304	Credit Suisse AG – HSI R Bear CBBC Jun 2011 N	2011/04/01	2011/04/04
63529	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 Q	2011/04/01	2011/04/04
63204	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11U	2011/04/01	2011/04/04
63536	Credit Suisse AG – HSI R Bear CBBC Jul 2011 G	2011/04/01	2011/04/04
63534	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11M	2011/04/01	2011/04/04
63203	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11W	2011/04/01	2011/04/04
63535	UBS AG – HSI R Bear CBBC Aug 2011 I	2011/04/01	2011/04/04
63209	Credit Suisse AG – HSI R Bear CBBC Jun 2011 Z	2011/04/01	2011/04/04
66080	UBS AG – HSBC R Bull CBBC Apr 2011 B	2011/04/01	2011/04/06
65184	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 B	2011/04/01	2011/04/06
65907	UBS AG – HKEx R Bear CBBC Apr 2011 A	2011/04/01	2011/04/06
67960	UBS AG – CNOOC R Bear CBBC Aug 2011 A	2011/04/01	2011/04/04
67814	HK Bank – CNOOC R Bear CBBC Aug 2011 A	2011/04/01	2011/04/04
68265	UBS AG – ICBC R Bear CBBC Jul 2011 A	2011/04/01	2011/04/04
67735	Credit Suisse AG – HSI R Bear CBBC Apr 2011 J	2011/04/04	2011/04/06
63247	Credit Suisse AG – HSI R Bear CBBC Jun 2011 W	2011/04/04	2011/04/06
67765	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11G	2011/04/04	2011/04/06
67714	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 W	2011/04/04	2011/04/06
63198	UBS AG – HSI R Bear CBBC Aug 2011 P	2011/04/04	2011/04/06
67896	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11Q	2011/04/04	2011/04/06
67703	UBS AG – HSI R Bear CBBC Apr 2011 K	2011/04/04	2011/04/06
67759	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 B	2011/04/04	2011/04/06
67760	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 H	2011/04/04	2011/04/06
67094	RB of Scotland N.V. – Ping An R Bull CBBC Apr 2011 A	2011/04/04	2011/04/07
68256	Credit Suisse AG – HKEx R Bear CBBC May 2011 C	2011/04/04	2011/04/06
68135	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 N	2011/04/04	2011/04/06
63131	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2011 E	2011/04/04	2011/04/06
67775	J P Morgan SP BV – HSI R Bear CBBC May 2011 B	2011/04/04	2011/04/06
67699	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 L	2011/04/04	2011/04/06
67756	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 M	2011/04/04	2011/04/06
63191	UBS AG – HSI R Bear CBBC Jul 2011 X	2011/04/04	2011/04/06
63269	UBS AG – HSI R Bear CBBC Aug 2011 T	2011/04/04	2011/04/06
68126	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 P	2011/04/04	2011/04/06
63551	Credit Suisse AG – HSI R Bear CBBC Jul 2011 H	2011/04/04	2011/04/06
67822	UBS AG – HSI R Bear CBBC Jun 2011 Y	2011/04/04	2011/04/06
67328	Deutsche Bank AG – DJIA R Bear CBBC Sep 2011 B	2011/04/04	2011/04/06
68081	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 J	2011/04/04	2011/04/06
63207	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11F	2011/04/04	2011/04/06
68046	SGA Societe Generale Acceptance N.V. -CCB R Bear CBBC Jul11C	2011/04/04	2011/04/06
63206	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11L	2011/04/04	2011/04/06
67862	Credit Suisse AG – HSI R Bear CBBC May 2011 R	2011/04/04	2011/04/06
67878	Deutsche Bank AG – HSI R Bear CBBC May 2011 B	2011/04/04	2011/04/06
67812	HK Bank – ABC R Bear CBBC Aug 2011 B	2011/04/04	2011/04/06
63188	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 Z	2011/04/04	2011/04/06
63554	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 W	2011/04/04	2011/04/06
63555	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 V	2011/04/04	2011/04/06
67916	HK Bank – HSI R Bear CBBC Jul 2011 F	2011/04/04	2011/04/06
66432	UBS AG – HSCEI R Bear CBBC Apr 2011 C	2011/04/04	2011/04/06
63561	UBS AG – HSI R Bear CBBC Jul 2011 A	2011/04/04	2011/04/06
63242	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 G	2011/04/04	2011/04/06
68264	UBS AG – HKEx R Bear CBBC Jul 2011 C	2011/04/04	2011/04/06
66334	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 D	2011/04/06	2011/04/07
63220	Deutsche Bank AG – A50 China R Bear CBBC Aug 2011 I	2011/04/06	2011/04/07
67342	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 J	2011/04/06	2011/04/07
67693	Credit Suisse AG – HSI R Bear CBBC Apr 2011 M	2011/04/06	2011/04/07
63145	Deutsche Bank AG – HSCEI R Bear CBBC Sep 2011 A	2011/04/06	2011/04/07
68254	Credit Suisse AG – HSI R Bear CBBC Jul 2011 V	2011/04/06	2011/04/07
63568	UBS AG – TCH R Bear CBBC Jul 2011 D	2011/04/06	2011/04/07
66830	HK Bank – A50 R Bear CBBC Jul 2011 C	2011/04/06	2011/04/07
67726	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 C	2011/04/06	2011/04/07
68266	UBS AG – HSI R Bear CBBC Aug 2011 F	2011/04/06	2011/04/07
67649	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11S	2011/04/06	2011/04/07
68590	Credit Suisse AG – A50 China R Bear CBBC Jun 2011 E	2011/04/06	2011/04/07
67917	HK Bank – HSI R Bear CBBC Jul 2011 G	2011/04/06	2011/04/07
67633	UBS AG – HSI R Bear CBBC Apr 2011 A	2011/04/06	2011/04/07
68274	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2011 E	2011/04/06	2011/04/07
67725	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 Z	2011/04/06	2011/04/07
67776	J P Morgan SP BV – HSI R Bear CBBC May 2011 C	2011/04/06	2011/04/07
63569	UBS AG – HSI R Bear CBBC Jul 2011 O	2011/04/06	2011/04/07
67541	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11T	2011/04/06	2011/04/07
63565	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 U	2011/04/06	2011/04/07
63564	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 X	2011/04/06	2011/04/07
63567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 U	2011/04/06	2011/04/07
67570	UBS AG – HSI R Bear CBBC Apr 2011 B	2011/04/06	2011/04/07
63560	UBS AG – CC Bank R Bear CBBC Sep 2011 B	2011/04/06	2011/04/07
63556	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 J	2011/04/06	2011/04/07
67605	Credit Suisse AG – HSI R Bear CBBC Apr 2011 T	2011/04/06	2011/04/07
63251	Deutsche Bank AG – China Life R Bear CBBC Sep 2011 A	2011/04/06	2011/04/07
68082	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 K	2011/04/06	2011/04/07
63459	Credit Suisse AG – TCH R Bear CBBC Jul 2011 D	2011/04/06	2011/04/07
67715	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 I	2011/04/06	2011/04/07
67741	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 O	2011/04/06	2011/04/07
67724	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 C	2011/04/06	2011/04/07
67559	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 A	2011/04/06	2011/04/07

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63582	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 I	2011/04/07	2011/04/08
63579	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11B	2011/04/07	2011/04/08
63581	UBS AG – HSI R Bear CBBC Aug 2011 K	2011/04/07	2011/04/08
63587	Credit Suisse AG – HSI R Bear CBBC Jul 2011 Q	2011/04/07	2011/04/08
63580	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11A	2011/04/07	2011/04/08
67852	UBS AG – HSI R Bear CBBC Jul 2011 N	2011/04/08	2011/04/11
63557	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 S	2011/04/08	2011/04/11
67669	UBS AG – HSI R Bear CBBC Jun 2011 V	2011/04/08	2011/04/11
67666	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 M	2011/04/08	2011/04/11
66581	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 A	2011/04/08	2011/04/12
66079	UBS AG – HSBC R Bull CBBC Apr 2011 A	2011/04/08	2011/04/12
67654	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jun11R	2011/04/08	2011/04/11
63153	Deutsche Bank AG – A50 China R Bear CBBC Aug 2011 H	2011/04/08	2011/04/11
63219	Deutsche Bank AG – HSBC R Bear CBBC Sep 2011 A	2011/04/08	2011/04/11
66374	UBS AG – CNOOC R Bull CBBC Apr 2011 A	2011/04/08	2011/04/12
63199	UBS AG – HSI R Bear CBBC Aug 2011 Q	2011/04/08	2011/04/11
68206	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2011 B	2011/04/08	2011/04/11
67907	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 I	2011/04/08	2011/04/11
65943	UBS AG – China Mobile R Bear CBBC Apr 2011 A	2011/04/08	2011/04/12
63133	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2011 F	2011/04/08	2011/04/11
66589	RB of Scotland N.V. – A50 China R Bear CBBC Apr 2011 D	2011/04/08	2011/04/12
63605	Credit Suisse AG – HSI R Bear CBBC Jul 2011 S	2011/04/08	2011/04/11
67695	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 X	2011/04/08	2011/04/11
66357	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC May11C	2011/04/08	2011/04/11
63115	UBS AG – A50 China R Bear CBBC Sep 2011 B	2011/04/08	2011/04/11
67663	Credit Suisse AG – HSI R Bear CBBC Apr 2011 R	2011/04/08	2011/04/11
66590	RB of Scotland N.V. – A50 China R Bear CBBC Apr 2011 E	2011/04/08	2011/04/12
67717	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 J	2011/04/08	2011/04/11
66586	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 C	2011/04/08	2011/04/12
66583	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 B	2011/04/08	2011/04/12
63612	UBS AG – HSI R Bear CBBC Jul 2011 K	2011/04/08	2011/04/11
66453	UBS AG – China Mobile R Bull CBBC Apr 2011 B	2011/04/08	2011/04/12
66095	Credit Suisse AG – SHK Ppt R Bear CBBC Apr 2011 A	2011/04/08	2011/04/12
63620	Credit Suisse AG – HSI R Bear CBBC Jul 2011 A	2011/04/11	2011/04/12
63649	UBS AG – HSI R Bear CBBC Sep 2011 J	2011/04/11	2011/04/12
63646	UBS AG – HSI R Bear CBBC Aug 2011 L	2011/04/11	2011/04/12
63650	UBS AG – HSI R Bear CBBC Jul 2011 E	2011/04/11	2011/04/12
63617	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11J	2011/04/11	2011/04/12
63638	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 Y	2011/04/11	2011/04/12
63678	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 L	2011/04/11	2011/04/12
63644	Credit Suisse AG – TCH R Bear CBBC Aug 2011 C	2011/04/11	2011/04/12
64602	HK Bank – A50 R Bull CBBC Apr 2011 A	2011/04/11	2011/04/13
64603	HK Bank – A50 R Bull CBBC Apr 2011 B	2011/04/11	2011/04/13
67961	UBS AG – Petrochina R Bear CBBC Aug 2011 A	2011/04/11	2011/04/12
66537	Deutsche Bank AG – HSBC R Bull CBBC Apr 2011 B	2011/04/11	2011/04/13
63705	Credit Suisse AG – HSI R Bear CBBC Jul 2011 H	2011/04/12	2011/04/13
63695	UBS AG – HSI R Bear CBBC Jul 2011 L	2011/04/12	2011/04/13
63722	UBS AG – HSI R Bull CBBC Jul 2011 V	2011/04/12	2011/04/13
63704	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11M	2011/04/12	2011/04/13
63703	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11K	2011/04/12	2011/04/13
63724	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 K	2011/04/12	2011/04/13
63691	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 Z	2011/04/12	2011/04/13
63734	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 A	2011/04/12	2011/04/13
63726	Credit Suisse AG – HSI R Bull CBBC Sep 2011 I	2011/04/12	2011/04/13
63733	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 W	2011/04/12	2011/04/13
63706	Credit Suisse AG – HSI R Bear CBBC Jul 2011 Z	2011/04/12	2011/04/13
63747	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 D	2011/04/13	2011/04/14
63743	Credit Suisse AG – HSI R Bull CBBC Nov 2011 F	2011/04/13	2011/04/14
63754	UBS AG – HSI R Bull CBBC Jul 2011 W	2011/04/13	2011/04/14
63767	J P Morgan SP BV – HSI R Bull CBBC Aug 2011 D	2011/04/13	2011/04/14
63766	J P Morgan SP BV – HSI R Bull CBBC Aug 2011 C	2011/04/13	2011/04/14
63755	UBS AG – HSI R Bull CBBC Aug 2011 M	2011/04/13	2011/04/14
63739	UBS AG – HSI R Bear CBBC Aug 2011 O	2011/04/13	2011/04/14
63748	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 U	2011/04/13	2011/04/14
63742	Credit Suisse AG – TCH R Bull CBBC Aug 2011 D	2011/04/13	2011/04/14
63740	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11L	2011/04/13	2011/04/14
63744	Credit Suisse AG – HSI R Bear CBBC Jul 2011 G	2011/04/13	2011/04/14
63751	Credit Suisse AG – HSI R Bull CBBC Sep 2011 J	2011/04/13	2011/04/14
63741	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11M	2011/04/13	2011/04/14
63745	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 C	2011/04/13	2011/04/14
63700	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11J	2011/04/13	2011/04/14
63729	Credit Suisse AG – HSI R Bull CBBC Oct 2011 R	2011/04/13	2011/04/14
63709	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 I	2011/04/13	2011/04/14
63783	UBS AG – HSI R Bull CBBC Sep 2011 K	2011/04/14	2011/04/15
63791	Deutsche Bank AG – China Life R Bull CBBC Sep 2011 E	2011/04/14	2011/04/15
63811	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 K	2011/04/15	2011/04/18
63810	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 F	2011/04/15	2011/04/18
66983	RB of Scotland N.V. – COVS R Bull CBBC Apr 2011 A	2011/04/15	2011/04/19
66987	RB of Scotland N.V. – HSBC R Bull CBBC Apr 2011 A	2011/04/15	2011/04/19
66989	RB of Scotland N.V. – HSBC R Bear CBBC Apr 2011 B	2011/04/15	2011/04/19
66606	Credit Suisse AG – TCH R Bull CBBC Apr 2011 A	2011/04/15	2011/04/19
66992	RB of Scotland N.V. – TCH R Bull CBBC Apr 2011 A	2011/04/15	2011/04/19
63800	Credit Suisse AG – HSI R Bull CBBC Sep 2011 N	2011/04/15	2011/04/18
66610	Credit Suisse AG – China Mobile R Bull CBBC Apr 2011 A	2011/04/15	2011/04/19
65854	HK Bank – China Shenhua R Bear CBBC Apr 2011 B	2011/04/15	2011/04/19
66911	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 C	2011/04/15	2011/04/19
67085	RB of Scotland N.V. – China Mobile R Bear CBBC Apr 2011 B	2011/04/15	2011/04/19



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63798	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11O	2011/04/15	2011/04/18
63807	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 M	2011/04/15	2011/04/18
63797	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11N	2011/04/15	2011/04/18
63808	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 Q	2011/04/15	2011/04/18
63801	UBS AG – HSI R Bull CBBC Aug 2011 A	2011/04/15	2011/04/18
64598	HK Bank – China Shenhua R Bear CBBC Apr 2011 A	2011/04/18	2011/04/20
63652	Credit Suisse AG – HSI R Bull CBBC Oct 2011 Q	2011/04/18	2011/04/19
63829	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 K	2011/04/18	2011/04/19
63690	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11I	2011/04/18	2011/04/19
63760	J P Morgan SP BV – HSI R Bull CBBC Aug 2011 B	2011/04/18	2011/04/19
63830	Credit Suisse AG – HSI R Bull CBBC Nov 2011 G	2011/04/18	2011/04/19
63708	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 H	2011/04/18	2011/04/19
63661	UBS AG – HSI R Bull CBBC Aug 2011 H	2011/04/18	2011/04/19
63669	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 Z	2011/04/18	2011/04/19
63837	UBS AG – HSI R Bull CBBC Jul 2011 A	2011/04/18	2011/04/19
63749	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 K	2011/04/18	2011/04/19
63845	UBS AG – HSI R Bull CBBC Jul 2011 O	2011/04/19	2011/04/20
63675	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 J	2011/04/19	2011/04/20
63865	Credit Suisse AG – TCH R Bear CBBC Aug 2011 F	2011/04/19	2011/04/20
63676	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 Q	2011/04/19	2011/04/20
63658	UBS AG – HSI R Bull CBBC Jul 2011 Y	2011/04/19	2011/04/20
63677	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 Z	2011/04/19	2011/04/20
63689	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11H	2011/04/19	2011/04/20
63720	UBS AG – HSI R Bull CBBC Jul 2011 Z	2011/04/19	2011/04/20
63692	HK Bank – CNOOC R Bull CBBC Oct 2011 A	2011/04/19	2011/04/20
63828	HK Bank – HSI R Bull CBBC Oct 2011 C	2011/04/19	2011/04/20
63710	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/04/19	2011/04/20
63759	J P Morgan SP BV – HSI R Bull CBBC Aug 2011 A	2011/04/19	2011/04/20
63880	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 X	2011/04/19	2011/04/20
63688	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11G	2011/04/19	2011/04/20
63611	Credit Suisse AG – HSI R Bull CBBC Sep 2011 E	2011/04/19	2011/04/20
63794	Deutsche Bank AG – A50 China R Bull CBBC Sep 2011 H	2011/04/19	2011/04/20
63847	Credit Suisse AG – HSI R Bull CBBC Oct 2011 T	2011/04/19	2011/04/20
63667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	2011/04/19	2011/04/20
63680	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11F	2011/04/19	2011/04/20
63609	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 W	2011/04/19	2011/04/20
63666	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	2011/04/19	2011/04/20
63616	UBS AG – HSI R Bull CBBC Jul 2011 S	2011/04/19	2011/04/20
63792	Deutsche Bank AG – China Mobile R Bull CBBC Sep 2011 C	2011/04/19	2011/04/20
63738	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 G	2011/04/19	2011/04/20
63730	Credit Suisse AG – HSI R Bull CBBC Nov 2011 E	2011/04/19	2011/04/20
63651	Credit Suisse AG – HSI R Bull CBBC Oct 2011 P	2011/04/19	2011/04/20
63752	UBS AG – Hutchison R Bull CBBC Sep 2011 A	2011/04/19	2011/04/20
63746	Credit Suisse AG – TCH R Bear CBBC Aug 2011 E	2011/04/20	2011/04/21
63575	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC Sep11A	2011/04/20	2011/04/21
63918	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 T	2011/04/20	2011/04/21
63905	UBS AG – HSI R Bull CBBC Jul 2011 E	2011/04/20	2011/04/21
63902	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11Q	2011/04/20	2011/04/21
63917	Credit Suisse AG – HSI R Bull CBBC Oct 2011 V	2011/04/20	2011/04/21
63901	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11P	2011/04/20	2011/04/21
63888	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11B	2011/04/21	2011/04/26
63895	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11A	2011/04/21	2011/04/26
66613	Credit Suisse AG – A50 China R Bull CBBC Apr 2011 C	2011/04/21	2011/04/27
65761	Credit Suisse AG – HSBC R Bear CBBC Apr 2011 A	2011/04/21	2011/04/27
66601	Credit Suisse AG – Hutchison R Bull CBBC Apr 2011 A	2011/04/21	2011/04/27
63915	UBS AG – HSI R Bear CBBC Jul 2011 V	2011/04/21	2011/04/26
65524	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 A	2011/04/21	2011/04/27
63853	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 V	2011/04/21	2011/04/26
63431	UBS AG – TCH R Bear CBBC Sep 2011 D	2011/04/21	2011/04/26
67610	Credit Suisse AG – TCH R Bear CBBC Apr 2011 C	2011/04/21	2011/04/27
66555	Credit Suisse AG – HSBC R Bull CBBC Apr 2011 B	2011/04/21	2011/04/27
63877	UBS AG – HSI R Bear CBBC Jul 2011 N	2011/04/21	2011/04/26
63577	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC Sep11B	2011/04/21	2011/04/26
63460	Credit Suisse AG – TCH R Bear CBBC Jul 2011 E	2011/04/21	2011/04/26
63899	Credit Suisse AG – HSI R Bear CBBC Oct 2011 U	2011/04/21	2011/04/26
63846	Credit Suisse AG – HSI R Bear CBBC Jul 2011 E	2011/04/21	2011/04/26
63919	UBS AG – HSI R Bull CBBC Jul 2011 L	2011/04/21	2011/04/26
65181	SGA Societe Generale Acceptance N.V.- ABC R Bull CBBC Apr11A	2011/04/26	2011/04/28
63924	Credit Suisse AG – HSI R Bull CBBC Sep 2011 M	2011/04/26	2011/04/27
67092	RB of Scotland N.V. – Esprit R Bull CBBC Apr 2011 A	2011/04/26	2011/04/28
63464	HK Bank – TCH R Bear CBBC Sep 2011 B	2011/04/26	2011/04/27
63931	Credit Suisse AG – HSI R Bull CBBC Sep 2011 L	2011/04/26	2011/04/27
64594	HK Bank – China Life R Bear CBBC Apr 2011 A	2011/04/26	2011/04/28
65188	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Apr11A	2011/04/26	2011/04/28
63781	UBS AG – China Life R Bull CBBC Sep 2011 D	2011/04/26	2011/04/27
63857	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 X	2011/04/27	2011/04/28
65040	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 X	2011/04/27	2011/04/29
64938	Credit Suisse AG – HSI R Bear CBBC Apr 2011 H	2011/04/27	2011/04/29
64692	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 Q	2011/04/27	2011/04/29
64719	UBS AG – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
64922	Credit Suisse AG – HSI R Bear CBBC Apr 2011 D	2011/04/27	2011/04/29
64001	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 G	2011/04/27	2011/04/29
64976	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 I	2011/04/27	2011/04/29
64937	Credit Suisse AG – HSI R Bear CBBC Apr 2011 G	2011/04/27	2011/04/29
64693	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 R	2011/04/27	2011/04/29
65555	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 L	2011/04/27	2011/04/29
65556	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 M	2011/04/27	2011/04/29

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65557	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 N	2011/04/27	2011/04/29
64000	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 F	2011/04/27	2011/04/29
67331	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 G	2011/04/27	2011/04/29
66518	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 A	2011/04/27	2011/04/29
67330	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 R	2011/04/27	2011/04/29
64694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 S	2011/04/27	2011/04/29
65879	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 P	2011/04/27	2011/04/29
64724	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 F	2011/04/27	2011/04/29
64538	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 D	2011/04/27	2011/04/29
65191	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11N	2011/04/27	2011/04/29
66031	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 D	2011/04/27	2011/04/29
66721	Credit Suisse AG – HSI R Bull CBBC Apr 2011 O	2011/04/27	2011/04/29
63639	Deutsche Bank AG – China Life R Bull CBBC Sep 2011 D	2011/04/27	2011/04/28
63854	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 W	2011/04/27	2011/04/28
65193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11O	2011/04/27	2011/04/29
64144	UBS AG – HSI R Bull CBBC Apr 2011 E	2011/04/27	2011/04/29
65888	Credit Suisse AG – HSI R Bear CBBC Apr 2011 Y	2011/04/27	2011/04/29
66055	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 W	2011/04/27	2011/04/29
65491	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 W	2011/04/27	2011/04/29
65486	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 K	2011/04/27	2011/04/29
65880	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 Q	2011/04/27	2011/04/29
65485	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
65484	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 I	2011/04/27	2011/04/29
64609	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 A	2011/04/27	2011/04/29
64682	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 C	2011/04/27	2011/04/29
64681	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 B	2011/04/27	2011/04/29
67607	UBS AG – TCH R Bear CBBC Jul 2011 C	2011/04/27	2011/04/28
64977	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
65450	UBS AG – HSI R Bear CBBC Apr 2011 U	2011/04/27	2011/04/29
65438	UBS AG – HSI R Bear CBBC Apr 2011 T	2011/04/27	2011/04/29
65002	UBS AG – HSI R Bear CBBC Apr 2011 R	2011/04/27	2011/04/29
63886	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul11J	2011/04/27	2011/04/28
65430	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11Q	2011/04/27	2011/04/29
64999	UBS AG – HSI R Bear CBBC Apr 2011 Q	2011/04/27	2011/04/29
65429	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11P	2011/04/27	2011/04/29
64939	Credit Suisse AG – HSI R Bear CBBC Apr 2011 I	2011/04/27	2011/04/29
64964	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 A	2011/04/27	2011/04/29
65190	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11M	2011/04/27	2011/04/29
64685	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 O	2011/04/27	2011/04/29
64720	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 D	2011/04/27	2011/04/29
66058	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 X	2011/04/27	2011/04/29
65104	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Apr11D	2011/04/27	2011/04/29
64722	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 E	2011/04/27	2011/04/29
67337	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 F	2011/04/27	2011/04/29
64535	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 B	2011/04/27	2011/04/29
66279	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 V	2011/04/27	2011/04/29
64536	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 C	2011/04/27	2011/04/29
65451	UBS AG – HSI R Bear CBBC Apr 2011 V	2011/04/27	2011/04/29
64534	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 A	2011/04/27	2011/04/29
65813	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 L	2011/04/27	2011/04/29
64686	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 P	2011/04/27	2011/04/29
67494	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 E	2011/04/27	2011/04/29
64785	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11F	2011/04/27	2011/04/29
64766	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11E	2011/04/27	2011/04/29
65012	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11J	2011/04/27	2011/04/29
64765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11D	2011/04/27	2011/04/29
65078	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 B	2011/04/27	2011/04/29
65811	HK Bank – HSI R Bear CBBC Apr 2011 E	2011/04/27	2011/04/29
68588	Credit Suisse AG – TCH R Bear CBBC Jul 2011 A	2011/04/27	2011/04/28
65055	UBS AG – HSCEI R Bear CBBC Apr 2011 A	2011/04/27	2011/04/29
65770	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Z	2011/04/27	2011/04/29
63707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2011 D	2011/04/27	2011/04/29
65748	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 C	2011/04/27	2011/04/29
65750	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 D	2011/04/27	2011/04/29
64763	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11B	2011/04/27	2011/04/29
64386	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 B	2011/04/27	2011/04/29
65059	UBS AG – HSCEI R Bear CBBC Apr 2011 B	2011/04/27	2011/04/29
63934	UBS AG – HSI R Bear CBBC Aug 2011 N	2011/04/27	2011/04/28
63940	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 K	2011/04/27	2011/04/28
66013	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 V	2011/04/27	2011/04/29
65673	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 P	2011/04/27	2011/04/29
64352	UBS AG – HSI R Bull CBBC Apr 2011 I	2011/04/27	2011/04/29
67396	RB of Scotland N.V. – HSI R Bear CBBC Apr 2011 F	2011/04/27	2011/04/29
65591	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
65592	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 K	2011/04/27	2011/04/29
65014	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11L	2011/04/27	2011/04/29
65577	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 N	2011/04/27	2011/04/29
65579	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 O	2011/04/27	2011/04/29
65013	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11K	2011/04/27	2011/04/29
64786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11G	2011/04/27	2011/04/29
66196	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 E	2011/04/27	2011/04/29
64764	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11C	2011/04/27	2011/04/29
65679	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Q	2011/04/27	2011/04/29
65680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 R	2011/04/27	2011/04/29
65681	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 S	2011/04/27	2011/04/29
65827	UBS AG – HSI R Bear CBBC Apr 2011 Z	2011/04/27	2011/04/29
65826	UBS AG – HSI R Bear CBBC Apr 2011 Y	2011/04/27	2011/04/29

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65825	UBS AG – HSI R Bear CBBC Apr 2011 X	2011/04/27	2011/04/29
65682	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 T	2011/04/27	2011/04/29
65687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 U	2011/04/27	2011/04/29
65670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 O	2011/04/27	2011/04/29
63939	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 H	2011/04/27	2011/04/28
67252	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
67253	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 K	2011/04/27	2011/04/29
67256	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 L	2011/04/27	2011/04/29
65646	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11T	2011/04/27	2011/04/29
67071	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 T	2011/04/27	2011/04/29
63930	UBS AG – HSI R Bear CBBC Jul 2011 W	2011/04/27	2011/04/28
67066	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 W	2011/04/27	2011/04/29
63920	Credit Suisse AG – HSI R Bear CBBC Jul 2011 G	2011/04/27	2011/04/28
65934	UBS AG – HSI R Bear CBBC Apr 2011 H	2011/04/27	2011/04/29
65969	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 S	2011/04/27	2011/04/29
65645	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11S	2011/04/27	2011/04/29
67012	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Apr11W	2011/04/27	2011/04/29
65956	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 H	2011/04/27	2011/04/29
63879	UBS AG – HSI R Bull CBBC Apr 2011 C	2011/04/27	2011/04/29
65538	Credit Suisse AG – HSI R Bear CBBC Apr 2011 V	2011/04/27	2011/04/29
66698	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Z	2011/04/27	2011/04/29
65647	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11U	2011/04/27	2011/04/29
66699	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Q	2011/04/27	2011/04/29
65648	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11V	2011/04/27	2011/04/29
64894	UBS AG – HSI R Bear CBBC Apr 2011 O	2011/04/27	2011/04/29
65968	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 R	2011/04/27	2011/04/29
64784	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 J	2011/04/27	2011/04/29
67180	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 G	2011/04/27	2011/04/29
64893	UBS AG – HSI R Bear CBBC Apr 2011 N	2011/04/27	2011/04/29
66051	UBS AG – HSI R Bear CBBC Apr 2011 S	2011/04/27	2011/04/29
66115	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 E	2011/04/27	2011/04/29
67250	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 H	2011/04/27	2011/04/29
67251	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 I	2011/04/27	2011/04/29
65644	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11R	2011/04/27	2011/04/29
65010	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11H	2011/04/27	2011/04/29
65011	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Apr11I	2011/04/27	2011/04/29
64895	UBS AG – HSI R Bear CBBC Apr 2011 P	2011/04/27	2011/04/29
67349	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 K	2011/04/27	2011/04/29
67397	RB of Scotland N.V. – HSI R Bear CBBC Apr 2011 G	2011/04/27	2011/04/29
63964	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 J	2011/04/28	2011/04/29
63977	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11U	2011/04/28	2011/04/29
63735	Deutsche Bank AG – A50 China R Bull CBBC Sep 2011 F	2011/04/28	2011/04/29
63980	UBS AG – HSI R Bear CBBC Aug 2011 P	2011/04/28	2011/04/29
63979	UBS AG – HSI R Bear CBBC Jul 2011 O	2011/04/28	2011/04/29
63952	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 L	2011/04/28	2011/04/29
63969	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11R	2011/04/28	2011/04/29
63963	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 I	2011/04/28	2011/04/29
67096	RB of Scotland N.V. – Yanzhou Coal R Bull CBBC Apr 2011 A	2011/04/28	2011/05/03
63775	Credit Suisse AG – A50 China R Bull CBBC Sep 2011 A	2011/04/28	2011/04/29
63937	UBS AG – HSI R Bull CBBC Aug 2011 S	2011/04/28	2011/04/29
68007	RB of Scotland N.V. – DJIA R Bear CBBC Jun 2011 G	2011/04/28	2011/04/29
63884	Deutsche Bank AG – HSBC R Bear CBBC Sep 2011 H	2011/04/28	2011/04/29
63971	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11S	2011/04/28	2011/04/29
63972	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11T	2011/04/28	2011/04/29
63984	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11V	2011/04/29	2011/05/03
63096	HK Bank – China Life R Bull CBBC Aug 2011 A	2011/04/29	2011/05/03
68037	Deutsche Bank AG – China Life R Bull CBBC Jul 2011 A	2011/04/29	2011/05/03
63983	UBS AG – HSI R Bear CBBC Aug 2011 T	2011/04/29	2011/05/03
63951	UBS AG – HSI R Bull CBBC Jul 2011 A	2011/04/29	2011/05/03
64008	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 N	2011/05/03	2011/05/04
63987	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 J	2011/05/03	2011/05/04
63985	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11W	2011/05/03	2011/05/04
63997	UBS AG – HSI R Bull CBBC Aug 2011 K	2011/05/03	2011/05/04
67832	Deutsche Bank AG – DJIA R Bear CBBC Sep 2011 D	2011/05/03	2011/05/04
63999	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 O	2011/05/03	2011/05/04
63995	UBS AG – HSI R Bull CBBC Aug 2011 F	2011/05/03	2011/05/04
63510	Credit Suisse AG – Hutchison R Bull CBBC Jul 2011 A	2011/05/04	2011/05/05
64028	UBS AG – HSI R Bull CBBC Sep 2011 S	2011/05/04	2011/05/05
63986	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11X	2011/05/04	2011/05/05
64051	UBS AG – CUni R Bear CBBC Oct 2011 C	2011/05/04	2011/05/05
64044	UBS AG – COVS R Bull CBBC Oct 2011 B	2011/05/04	2011/05/05
64016	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11Z	2011/05/04	2011/05/05
64014	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11Y	2011/05/04	2011/05/05
67656	HK Bank – Hutchison R Bear CBBC May 2011 A	2011/05/04	2011/05/06
63998	UBS AG – HSI R Bull CBBC Oct 2011 G	2011/05/04	2011/05/05
64017	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11K	2011/05/04	2011/05/05
64019	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11J	2011/05/04	2011/05/05
64022	HK Bank – CNOOC R Bull CBBC Nov 2011 A	2011/05/04	2011/05/05
64306	HK Bank – China Shenhua R Bull CBBC May 2011 A	2011/05/04	2011/05/06
63586	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11W	2011/05/04	2011/05/05
63935	UBS AG – HSI R Bull CBBC Sep 2011 P	2011/05/04	2011/05/05
63637	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 X	2011/05/04	2011/05/05
63936	UBS AG – HSI R Bull CBBC Sep 2011 Q	2011/05/04	2011/05/05
63615	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11Y	2011/05/04	2011/05/05
68395	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC Jul11B	2011/05/04	2011/05/05
63631	Deutsche Bank AG – HSCEI R Bull CBBC Nov 2011 A	2011/05/04	2011/05/05
63753	UBS AG – A50 China R Bull CBBC Sep 2011 D	2011/05/04	2011/05/05

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63655	UBS AG – HSI R Bull CBBC Jul 2011 J	2011/05/04	2011/05/05
63665	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/05/04	2011/05/05
63606	Credit Suisse AG – HSI R Bull CBBC Oct 2011 O	2011/05/04	2011/05/05
63835	UBS AG – HKEx R Bull CBBC Sep 2011 D	2011/05/04	2011/05/05
63594	UBS AG – HSI R Bull CBBC Jul 2011 F	2011/05/04	2011/05/05
63549	Deutsche Bank AG – A50 China R Bull CBBC Sep 2011 E	2011/05/04	2011/05/05
63950	UBS AG – HSI R Bull CBBC Aug 2011 I	2011/05/04	2011/05/05
63593	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/05/04	2011/05/05
66680	KBC Fin Products Int'l Ltd. – A50 China R Bear CBBC May 11B	2011/05/04	2011/05/06
63604	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 X	2011/05/04	2011/05/05
63871	HK Bank – CM Bank R Bull CBBC Oct 2011 A	2011/05/04	2011/05/05
63872	HK Bank – Sinopec Corp R Bull CBBC Oct 2011 B	2011/05/04	2011/05/05
63038	KBC Fin Products Int'l Ltd. – CP&CC R Bull CBBC May 2011 A	2011/05/04	2011/05/06
63589	Credit Suisse AG – HSI R Bull CBBC Sep 2011 H	2011/05/04	2011/05/05
63596	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2011 C	2011/05/04	2011/05/05
63988	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 E	2011/05/04	2011/05/05
63838	UBS AG – HSI R Bull CBBC Oct 2011 D	2011/05/04	2011/05/05
66479	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC May 11B	2011/05/05	2011/05/09
64056	UBS AG – HSI R Bull CBBC Sep 2011 U	2011/05/05	2011/05/06
63585	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11X	2011/05/05	2011/05/06
63619	Credit Suisse AG – HSI R Bull CBBC Nov 2011 D	2011/05/05	2011/05/06
63603	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 Z	2011/05/05	2011/05/06
63595	UBS AG – HSI R Bull CBBC Aug 2011 J	2011/05/05	2011/05/06
63694	HK Bank – HSI R Bull CBBC Oct 2011 B	2011/05/05	2011/05/06
63602	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 W	2011/05/05	2011/05/06
63592	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	2011/05/05	2011/05/06
67414	UBS AG – China Life R Bull CBBC Jun 2011 A	2011/05/05	2011/05/06
63540	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11Z	2011/05/06	2011/05/09
63698	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2011 A	2011/05/06	2011/05/09
63590	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 D	2011/05/06	2011/05/09
63526	Credit Suisse AG – HSI R Bull CBBC Sep 2011 Z	2011/05/06	2011/05/09
63981	UBS AG – HSI R Bull CBBC Oct 2011 F	2011/05/06	2011/05/09
67257	Credit Suisse AG – Hutchison R Bear CBBC May 2011 A	2011/05/06	2011/05/11
63546	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Z	2011/05/06	2011/05/09
63538	Credit Suisse AG – HSI R Bull CBBC Oct 2011 N	2011/05/06	2011/05/09
63737	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 F	2011/05/06	2011/05/09
63539	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11S	2011/05/06	2011/05/09
63543	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 D	2011/05/06	2011/05/09
63541	UBS AG – HSI R Bull CBBC Jul 2011 P	2011/05/06	2011/05/09
63552	UBS AG – HSI R Bull CBBC Jul 2011 M	2011/05/06	2011/05/09
63563	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 R	2011/05/06	2011/05/09
64071	UBS AG – HSI R Bull CBBC Aug 2011 M	2011/05/06	2011/05/09
68200	Deutsche Bank AG – China Life R Bull CBBC Jul 2011 C	2011/05/06	2011/05/09
63598	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 V	2011/05/06	2011/05/09
63547	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 Y	2011/05/06	2011/05/09
66616	Credit Suisse AG – HSBC R Bear CBBC May 2011 B	2011/05/06	2011/05/11
64080	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2011 D	2011/05/09	2011/05/11
64096	UBS AG – HSI R Bull CBBC Aug 2011 O	2011/05/09	2011/05/11
64123	UBS AG – HSI R Bull CBBC Aug 2011 H	2011/05/11	2011/05/12
64116	HK Bank – China Life R Bull CBBC Dec 2011 A	2011/05/11	2011/05/12
63530	Deutsche Bank AG – Hutchison R Bull CBBC Oct 2011 C	2011/05/11	2011/05/12
64147	UBS AG – HSI R Bull CBBC Aug 2011 N	2011/05/12	2011/05/13
64079	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2011 C	2011/05/12	2011/05/13
64148	UBS AG – HSI R Bull CBBC Sep 2011 X	2011/05/12	2011/05/13
63533	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11G	2011/05/13	2011/05/16
67850	RB of Scotland N.V. – China Shenhua R Bull CBBC May 2011 A	2011/05/13	2011/05/17
64143	UBS AG – HSI R Bull CBBC Sep 2011 W	2011/05/13	2011/05/16
63545	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	2011/05/13	2011/05/16
66950	UBS AG – A50 China R Bull CBBC May 2011 B	2011/05/13	2011/05/17
63525	UBS AG – HSI R Bull CBBC Aug 2011 G	2011/05/13	2011/05/16
63544	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 R	2011/05/13	2011/05/16
63537	Credit Suisse AG – HSI R Bull CBBC Oct 2011 M	2011/05/13	2011/05/16
65929	HK Bank – CC Bank R Bear CBBC May 2011 A	2011/05/13	2011/05/17
64157	UBS AG – HSI R Bull CBBC Aug 2011 S	2011/05/13	2011/05/16
64198	UBS AG – HSI R Bull CBBC Aug 2011 K	2011/05/16	2011/05/17
64192	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11W	2011/05/16	2011/05/17
64193	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11Y	2011/05/16	2011/05/17
64205	UBS AG – HSI R Bull CBBC Sep 2011 B	2011/05/17	2011/05/18
63597	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 U	2011/05/17	2011/05/18
63548	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 I	2011/05/17	2011/05/18
64204	UBS AG – AIA R Bull CBBC Nov 2011 B	2011/05/17	2011/05/18
63523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	2011/05/17	2011/05/18
63626	HK Bank – HSI R Bull CBBC Sep 2011 L	2011/05/17	2011/05/18
63725	Credit Suisse AG – HKEx R Bull CBBC Aug 2011 B	2011/05/17	2011/05/18
64202	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	2011/05/17	2011/05/18
63502	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11M	2011/05/17	2011/05/18
63802	UBS AG – HSI R Bull CBBC Nov 2011 A	2011/05/17	2011/05/18
64201	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/05/17	2011/05/18
63490	UBS AG – HSI R Bull CBBC Jun 2011 N	2011/05/17	2011/05/18
63793	Deutsche Bank AG – HSBC R Bull CBBC Sep 2011 G	2011/05/17	2011/05/18
63491	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 R	2011/05/17	2011/05/18
63489	Credit Suisse AG – HSI R Bull CBBC Aug 2011 U	2011/05/17	2011/05/18
64954	HK Bank – A50 R Bear CBBC May 2011 D	2011/05/17	2011/05/19
64142	UBS AG – HSI R Bull CBBC Oct 2011 J	2011/05/17	2011/05/18
67400	SGA Societe Generale Acceptance N.V.-CK(H) R Bull CBBC May11H	2011/05/17	2011/05/18
63478	Deutsche Bank AG – China Mobile R Bull CBBC Sep 2011 B	2011/05/18	2011/05/19
64218	Credit Suisse AG – HSI R Bull CBBC Sep 2011 D	2011/05/18	2011/05/19

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64219	Credit Suisse AG – HSI R Bull CBBC Oct 2011 X	2011/05/18	2011/05/19
64211	UBS AG – HSI R Bull CBBC Sep 2011 A	2011/05/18	2011/05/19
64197	UBS AG – HSI R Bear CBBC Aug 2011 F	2011/05/19	2011/05/20
64191	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Q	2011/05/19	2011/05/20
64268	UBS AG – HSI R Bear CBBC Sep 2011 C	2011/05/19	2011/05/20
64266	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 X	2011/05/19	2011/05/20
64257	Credit Suisse AG – HSI R Bull CBBC Nov 2011 M	2011/05/19	2011/05/20
64267	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 Y	2011/05/19	2011/05/20
64240	Credit Suisse AG – TCH R Bear CBBC Sep 2011 D	2011/05/19	2011/05/20
64242	Credit Suisse AG – HSI R Bear CBBC Aug 2011 N	2011/05/19	2011/05/20
64297	Credit Suisse AG – HSI R Bear CBBC Aug 2011 Y	2011/05/20	2011/05/23
64296	Credit Suisse AG – HSI R Bear CBBC Aug 2011 S	2011/05/20	2011/05/23
65183	Deutsche Bank AG – China Life R Bear CBBC May 2011 B	2011/05/20	2011/05/24
66614	Credit Suisse AG – A50 China R Bull CBBC May 2011 A	2011/05/20	2011/05/24
64288	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11S	2011/05/20	2011/05/23
64285	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11R	2011/05/20	2011/05/23
64307	UBS AG – HSI R Bear CBBC Sep 2011 H	2011/05/20	2011/05/23
64599	HK Bank – TCH R Bull CBBC May 2011 A	2011/05/20	2011/05/24
66605	Credit Suisse AG – HKEx R Bull CBBC May 2011 A	2011/05/20	2011/05/24
64309	UBS AG – HSI R Bull CBBC Sep 2011 J	2011/05/20	2011/05/23
66981	UBS AG – CC Bank R Bull CBBC May 2011 A	2011/05/20	2011/05/24
66304	HK Bank – ICBC R Bear CBBC May 2011 A	2011/05/20	2011/05/24
64310	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2011 A	2011/05/23	2011/05/24
64287	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11S	2011/05/23	2011/05/24
63494	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 C	2011/05/23	2011/05/24
68585	Deutsche Bank AG – A50 China R Bull CBBC Aug 2011 D	2011/05/23	2011/05/24
64312	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11V	2011/05/23	2011/05/24
63550	Credit Suisse AG – A50 China R Bull CBBC Aug 2011 B	2011/05/23	2011/05/24
64308	UBS AG – HSI R Bull CBBC Aug 2011 I	2011/05/23	2011/05/24
64207	UBS AG – HSI R Bull CBBC Oct 2011 L	2011/05/23	2011/05/24
64303	Credit Suisse AG – HSI R Bull CBBC Oct 2011 F	2011/05/23	2011/05/24
68394	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC Jul11A	2011/05/23	2011/05/24
64313	Credit Suisse AG – HSI R Bull CBBC Nov 2011 N	2011/05/23	2011/05/24
64066	UBS AG – HSBC R Bull CBBC Nov 2011 A	2011/05/23	2011/05/24
63378	Credit Suisse AG – HSI R Bull CBBC Sep 2011 W	2011/05/23	2011/05/24
64311	UBS AG – HSI R Bull CBBC Aug 2011 J	2011/05/23	2011/05/24
63522	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	2011/05/23	2011/05/24
64118	HK Bank – A50 R Bull CBBC Dec 2011 B	2011/05/23	2011/05/24
63387	UBS AG – HSI R Bull CBBC Jun 2011 L	2011/05/23	2011/05/24
63501	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11F	2011/05/23	2011/05/24
64330	UBS AG – HSI R Bull CBBC Sep 2011 K	2011/05/24	2011/05/25
63559	UBS AG – HSI R Bull CBBC Sep 2011 I	2011/05/24	2011/05/25
63718	UBS AG – HKEx R Bull CBBC Sep 2011 B	2011/05/24	2011/05/25
64341	J P Morgan SP BV – HSI R Bull CBBC Sep 2011 G	2011/05/24	2011/05/25
66535	Deutsche Bank AG – China Mobile R Bear CBBC May 2011 C	2011/05/24	2011/05/26
64331	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2011 G	2011/05/24	2011/05/25
64329	Credit Suisse AG – HSI R Bull CBBC Oct 2011 Q	2011/05/24	2011/05/25
64325	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11W	2011/05/24	2011/05/25
63774	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 I	2011/05/24	2011/05/25
63384	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	2011/05/25	2011/05/26
64362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/05/25	2011/05/26
64238	Credit Suisse AG – TCH R Bull CBBC Sep 2011 C	2011/05/25	2011/05/26
63275	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 U	2011/05/25	2011/05/26
64361	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	2011/05/25	2011/05/26
64078	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2011 B	2011/05/25	2011/05/26
63499	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11K	2011/05/25	2011/05/26
63362	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 K	2011/05/25	2011/05/26
63614	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 E	2011/05/25	2011/05/26
63385	Credit Suisse AG – HSI R Bull CBBC Sep 2011 X	2011/05/25	2011/05/26
64314	UBS AG – HSI R Bull CBBC Oct 2011 M	2011/05/25	2011/05/26
64367	J P Morgan SP BV – HSI R Bull CBBC Sep 2011 H	2011/05/25	2011/05/26
63361	UBS AG – HSI R Bull CBBC Aug 2011 W	2011/05/25	2011/05/26
63512	HK Bank – China Life R Bull CBBC Oct 2011 A	2011/05/25	2011/05/26
64374	UBS AG – HSI R Bull CBBC Sep 2011 P	2011/05/26	2011/05/27
64373	Credit Suisse AG – HSI R Bull CBBC Nov 2011 O	2011/05/26	2011/05/27
64595	HK Bank – Sinopec Corp R Bull CBBC May 2011 A	2011/05/26	2011/05/30
65795	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11A	2011/05/27	2011/05/31
64248	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 I	2011/05/27	2011/05/31
64377	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11M	2011/05/27	2011/05/30
65970	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 F	2011/05/27	2011/05/31
65814	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 C	2011/05/27	2011/05/31
65732	SGA Societe Generale Acceptance N.V. -HWL R Bull CBBC May11A	2011/05/27	2011/05/31
66977	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 I	2011/05/27	2011/05/31
65711	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC May11B	2011/05/27	2011/05/31
67332	Deutsche Bank AG – HSI R Bear CBBC May 2011 X	2011/05/27	2011/05/31
65797	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11C	2011/05/27	2011/05/31
65796	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11B	2011/05/27	2011/05/31
64340	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 F	2011/05/27	2011/05/30
65724	SGA Societe Generale Acceptance N.V.-HKEx R Bear CBBC May11C	2011/05/27	2011/05/31
65728	SGA Societe Generale Acceptance N.V.-HKEx R Bear CBBC May11D	2011/05/27	2011/05/31
66560	RB of Scotland N.V. – HSCEI R Bull CBBC May 2011 A	2011/05/27	2011/05/31
65731	SGA Societe Generale Acceptance N.V.-HSBC R Bear CBBC May11C	2011/05/27	2011/05/31
64907	HK Bank – HSI R Bear CBBC May 2011 A	2011/05/27	2011/05/31
65733	SGA Societe Generale Acceptance N.V. -HWL R Bull CBBC May11B	2011/05/27	2011/05/31
64908	HK Bank – HSI R Bear CBBC May 2011 B	2011/05/27	2011/05/31
66723	Credit Suisse AG – HSI R Bull CBBC May 2011 N	2011/05/27	2011/05/31
66349	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC May11A	2011/05/27	2011/05/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65800	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11F	2011/05/27	2011/05/31
67022	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11Z	2011/05/27	2011/05/31
66913	Deutsche Bank AG – HSCEI R Bull CBBC May 2011 A	2011/05/27	2011/05/31
64317	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2011 F	2011/05/27	2011/05/30
64965	J P Morgan SP BV – HSI R Bear CBBC May 2011 A	2011/05/27	2011/05/31
64112	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 H	2011/05/27	2011/05/31
66599	Credit Suisse AG – HSBC R Bull CBBC May 2011 A	2011/05/27	2011/05/31
67453	Credit Suisse AG – HSI R Bear CBBC May 2011 M	2011/05/27	2011/05/31
66381	Deutsche Bank AG – HSI R Bear CBBC May 2011 V	2011/05/27	2011/05/31
67308	UBS AG – HSI R Bear CBBC May 2011 S	2011/05/27	2011/05/31
66607	Credit Suisse AG – TCH R Bull CBBC May 2011 A	2011/05/27	2011/05/31
64368	UBS AG – HSI R Bear CBBC Aug 2011 M	2011/05/27	2011/05/30
66356	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC May11B	2011/05/27	2011/05/31
65716	SGA Societe Generale Acceptance N.V. -CMob R Bear CBBC May11C	2011/05/27	2011/05/31
65712	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC May11C	2011/05/27	2011/05/31
65738	SGA Societe Generale Acceptance N.V-SHK P R Bear CBBC May11C	2011/05/27	2011/05/31
66941	UBS AG – A50 China R Bull CBBC May 2011 A	2011/05/27	2011/05/31
65717	SGA Societe Generale Acceptance N.V.-CMob R Bear CBBC May11D	2011/05/27	2011/05/31
65739	SGA Societe Generale Acceptance N.V-SHK P R Bear CBBC May11D	2011/05/27	2011/05/31
67705	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 U	2011/05/27	2011/05/31
65709	SGA Societe Generale Acceptance N.V-CK(H) R Bear CBBC May11D	2011/05/27	2011/05/31
66287	UBS AG – HSI R Bull CBBC May 2011 J	2011/05/27	2011/05/31
63762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 D	2011/05/27	2011/05/31
66124	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11Y	2011/05/27	2011/05/31
65799	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11E	2011/05/27	2011/05/31
65798	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11D	2011/05/27	2011/05/31
64002	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2011 G	2011/05/27	2011/05/31
66297	UBS AG – HSI R Bull CBBC May 2011 M	2011/05/27	2011/05/31
66123	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11X	2011/05/27	2011/05/31
66711	SGA Societe Generale Acceptance N.V. -HWL R Bull CBBC May11E	2011/05/27	2011/05/31
64376	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11Y	2011/05/27	2011/05/30
64370	Credit Suisse AG – HSI R Bear CBBC Aug 2011 Z	2011/05/27	2011/05/30
67454	Credit Suisse AG – HSI R Bear CBBC May 2011 P	2011/05/27	2011/05/31
65973	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 G	2011/05/27	2011/05/31
65802	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11G	2011/05/27	2011/05/31
65803	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11H	2011/05/27	2011/05/31
65804	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11I	2011/05/27	2011/05/31
66300	UBS AG – HSI R Bull CBBC May 2011 N	2011/05/27	2011/05/31
64533	Deutsche Bank AG – HSI R Bear CBBC May 2011 A	2011/05/27	2011/05/31
67405	SGA Societe Generale Acceptance N.V. -HWL R Bull CBBC May11F	2011/05/27	2011/05/31
66444	Deutsche Bank AG – HSI R Bull CBBC May 2011 Z	2011/05/27	2011/05/31
67667	Deutsche Bank AG – HSI R Bear CBBC May 2011 I	2011/05/27	2011/05/31
67403	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC May11H	2011/05/27	2011/05/31
66028	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2011 I	2011/05/27	2011/05/31
65593	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 A	2011/05/27	2011/05/31
65596	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 B	2011/05/27	2011/05/31
65613	UBS AG – HSI R Bear CBBC May 2011 C	2011/05/27	2011/05/31
67149	UBS AG – HSI R Bear CBBC May 2011 P	2011/05/27	2011/05/31
66422	SGA Societe Generale Acceptance N.V-SHK P R Bull CBBC May11F	2011/05/27	2011/05/31
66130	HK Bank – HSI R Bear CBBC May 2011 C	2011/05/27	2011/05/31
66252	UBS AG – HSI R Bull CBBC May 2011 I	2011/05/27	2011/05/31
65773	Deutsche Bank AG – HSI R Bear CBBC May 2011 N	2011/05/27	2011/05/31
66452	Deutsche Bank AG – HKEx R Bear CBBC May 2011 A	2011/05/27	2011/05/31
66450	Deutsche Bank AG – China Life R Bear CBBC May 2011 C	2011/05/27	2011/05/31
67854	RB of Scotland N.V. – Dongfeng Gp R Bull CBBC May 2011 A	2011/05/27	2011/05/31
64981	Deutsche Bank AG – HSI R Bear CBBC May 2011 G	2011/05/27	2011/05/31
66961	UBS AG – ICBC R Bull CBBC May 2011 A	2011/05/27	2011/05/31
67499	Deutsche Bank AG – HSCEI R Bear CBBC May 2011 B	2011/05/27	2011/05/31
66542	RB of Scotland N.V. – HSI R Bull CBBC May 2011 A	2011/05/27	2011/05/31
65666	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2011 H	2011/05/27	2011/05/31
67859	RB of Scotland N.V. – Jiangxi Copper R Bull CBBC May 2011 A	2011/05/27	2011/05/31
65618	Deutsche Bank AG – HSI R Bear CBBC May 2011 L	2011/05/27	2011/05/31
67603	SGA Societe Generale Acceptance N.V. -TCH R Bull CBBC May11D	2011/05/27	2011/05/31
65874	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 D	2011/05/27	2011/05/31
64821	UBS AG – HSI R Bear CBBC May 2011 A	2011/05/27	2011/05/31
66053	UBS AG – HSI R Bear CBBC May 2011 H	2011/05/27	2011/05/31
64750	Deutsche Bank AG – HSI R Bull CBBC May 2011 D	2011/05/27	2011/05/31
65492	Deutsche Bank AG – HSI R Bear CBBC May 2011 K	2011/05/27	2011/05/31
66722	Credit Suisse AG – HSI R Bull CBBC May 2011 O	2011/05/27	2011/05/31
67626	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 T	2011/05/27	2011/05/31
67571	Credit Suisse AG – HSI R Bear CBBC May 2011 Q	2011/05/27	2011/05/31
67221	Credit Suisse AG – HSI R Bear CBBC May 2011 V	2011/05/27	2011/05/31
67171	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC May 2011 B	2011/05/27	2011/05/31
66288	UBS AG – HSI R Bull CBBC May 2011 K	2011/05/27	2011/05/31
65619	Deutsche Bank AG – HSI R Bear CBBC May 2011 M	2011/05/27	2011/05/31
67267	Credit Suisse AG – TCH R Bull CBBC May 2011 B	2011/05/27	2011/05/31
65805	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC May11J	2011/05/27	2011/05/31
64749	Deutsche Bank AG – HSI R Bull CBBC May 2011 C	2011/05/27	2011/05/31
66052	UBS AG – HSI R Bear CBBC May 2011 G	2011/05/27	2011/05/31
65829	UBS AG – HSI R Bear CBBC May 2011 E	2011/05/27	2011/05/31
64978	Deutsche Bank AG – HSI R Bear CBBC May 2011 F	2011/05/27	2011/05/31
65828	UBS AG – HSI R Bear CBBC May 2011 D	2011/05/27	2011/05/31
67220	Credit Suisse AG – HSI R Bear CBBC May 2011 U	2011/05/27	2011/05/31
65875	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 E	2011/05/27	2011/05/31
66120	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11U	2011/05/27	2011/05/31
66122	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11W	2011/05/27	2011/05/31
67796	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 S	2011/05/27	2011/05/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67853	RB of Scotland N.V. – CNOOC R Bull CBBC May 2011 A	2011/05/27	2011/05/31
67849	RB of Scotland N.V. – CPIC R Bull CBBC May 2011 A	2011/05/27	2011/05/31
66415	SGA Societe Generale Acceptance N.V.-CK(H) R Bull CBBC May11F	2011/05/27	2011/05/31
67587	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC May11F	2011/05/27	2011/05/31
66449	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 H	2011/05/27	2011/05/31
66414	SGA Societe Generale Acceptance N.V.-CK(H) R Bull CBBC May11E	2011/05/27	2011/05/31
67595	SGA Societe Generale Acceptance N.V.-HWL R Bear CBBC May11J	2011/05/27	2011/05/31
66417	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC May11E	2011/05/27	2011/05/31
66416	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC May11D	2011/05/27	2011/05/31
66418	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC May11D	2011/05/27	2011/05/31
67594	SGA Societe Generale Acceptance N.V.-HWL R Bear CBBC May11J	2011/05/27	2011/05/31
66419	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC May11E	2011/05/27	2011/05/31
66121	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC May11V	2011/05/27	2011/05/31
66421	SGA Societe Generale Acceptance N.V.-SHK P R Bull CBBC May11E	2011/05/27	2011/05/31
67601	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC May11D	2011/05/27	2011/05/31
66545	RB of Scotland N.V. – HSI R Bull CBBC May 2011 B	2011/05/27	2011/05/31
67297	Credit Suisse AG – HSI R Bear CBBC May 2011 Z	2011/05/27	2011/05/31
64375	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Y	2011/05/30	2011/05/31
64379	Credit Suisse AG – HSI R Bear CBBC Aug 2011 I	2011/05/30	2011/05/31
64470	UBS AG – HSI R Bear CBBC Aug 2011 G	2011/05/30	2011/05/31
64473	UBS AG – HSI R Bear CBBC Sep 2011 S	2011/05/30	2011/05/31
64378	UBS AG – HSI R Bear CBBC Sep 2011 Q	2011/05/30	2011/05/31
64460	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 I	2011/05/30	2011/05/31
64458	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 A	2011/05/30	2011/05/31
64452	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 F	2011/05/30	2011/05/31
64451	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 Z	2011/05/30	2011/05/31
64398	Credit Suisse AG – HSI R Bear CBBC Sep 2011 I	2011/05/30	2011/05/31
64396	Credit Suisse AG – HSI R Bear CBBC Sep 2011 U	2011/05/30	2011/05/31
64392	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11R	2011/05/30	2011/05/31
64391	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Q	2011/05/30	2011/05/31
64390	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Z	2011/05/30	2011/05/31
64339	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 E	2011/05/30	2011/05/31
64461	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 A	2011/05/30	2011/05/31
64301	Credit Suisse AG – HSI R Bear CBBC Sep 2011 P	2011/05/31	2011/06/01
64494	Credit Suisse AG – HSI R Bear CBBC Sep 2011 J	2011/05/31	2011/06/01
64335	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 D	2011/05/31	2011/06/01
64188	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11G	2011/05/31	2011/06/01
64187	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11F	2011/05/31	2011/06/01
64150	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 T	2011/05/31	2011/06/01
64186	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 W	2011/05/31	2011/06/01
64492	CC Rabobank B.A. – HSI R Bear CBBC Dec 2011 D	2011/05/31	2011/06/01
64151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 U	2011/05/31	2011/06/01
64497	Credit Suisse AG – TCH R Bear CBBC Sep 2011 F	2011/05/31	2011/06/01
64152	UBS AG – HSI R Bear CBBC Sep 2011 Y	2011/05/31	2011/06/01
64154	UBS AG – HSI R Bear CBBC Sep 2011 Z	2011/05/31	2011/06/01
64180	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 V	2011/05/31	2011/06/01
64167	UBS AG – HSI R Bear CBBC Aug 2011 T	2011/05/31	2011/06/01
64164	UBS AG – HSI R Bear CBBC Aug 2011 P	2011/05/31	2011/06/01
64505	UBS AG – HSI R Bear CBBC Sep 2011 U	2011/05/31	2011/06/01
64149	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 S	2011/05/31	2011/06/01
64189	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11H	2011/05/31	2011/06/01
64215	Credit Suisse AG – HSI R Bear CBBC Aug 2011 O	2011/05/31	2011/06/01
64247	Credit Suisse AG – HSI R Bear CBBC Aug 2011 R	2011/05/31	2011/06/01
64275	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 L	2011/05/31	2011/06/01
64101	UBS AG – HSI R Bear CBBC Aug 2011 A	2011/05/31	2011/06/01
64195	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2011 E	2011/05/31	2011/06/01
64487	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2011 D	2011/05/31	2011/06/01
64300	Credit Suisse AG – HSI R Bear CBBC Aug 2011 C	2011/05/31	2011/06/01
64190	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11P	2011/05/31	2011/06/01
64214	Credit Suisse AG – HSI R Bear CBBC Aug 2011 T	2011/05/31	2011/06/01
64100	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11O	2011/05/31	2011/06/01
64124	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 O	2011/05/31	2011/06/01
64525	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 L	2011/06/01	2011/06/02
64521	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 I	2011/06/01	2011/06/02
64516	Credit Suisse AG – HSI R Bear CBBC Sep 2011 N	2011/06/01	2011/06/02
64515	UBS AG – HSI R Bear CBBC Oct 2011 Q	2011/06/01	2011/06/02
64510	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11V	2011/06/01	2011/06/02
64524	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 K	2011/06/01	2011/06/02
64522	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 J	2011/06/01	2011/06/02
66480	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jun 11B	2011/06/02	2011/06/07
64561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	2011/06/03	2011/06/07
67261	Credit Suisse AG – Hutchison R Bull CBBC Jun 2011 A	2011/06/03	2011/06/08
67262	Credit Suisse AG – Hutchison R Bull CBBC Jun 2011 B	2011/06/03	2011/06/08
64568	UBS AG – HSI R Bull CBBC Oct 2011 X	2011/06/03	2011/06/07
64563	Credit Suisse AG – HSI R Bull CBBC Oct 2011 O	2011/06/03	2011/06/07
64562	Credit Suisse AG – HSI R Bear CBBC Sep 2011 E	2011/06/03	2011/06/07
64553	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11D	2011/06/03	2011/06/07
64399	Credit Suisse AG – HSI R Bull CBBC Oct 2011 T	2011/06/03	2011/06/07
64394	UBS AG – HSI R Bull CBBC Aug 2011 O	2011/06/03	2011/06/07
64031	UBS AG – AIA R Bear CBBC Oct 2011 A	2011/06/03	2011/06/07
64552	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11C	2011/06/03	2011/06/07
67647	Credit Suisse AG – Hutchison R Bear CBBC Jun 2011 C	2011/06/03	2011/06/08
64550	UBS AG – HSI R Bear CBBC Oct 2011 W	2011/06/03	2011/06/07
64577	Credit Suisse AG – HSI R Bull CBBC Nov 2011 S	2011/06/07	2011/06/08
64500	HK Bank – CM Bank R Bull CBBC Feb 2012 A	2011/06/07	2011/06/08
64570	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11E	2011/06/07	2011/06/08
64575	UBS AG – HSI R Bull CBBC Nov 2011 J	2011/06/07	2011/06/08

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66679	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Jun 11A	2011/06/07	2011/06/09
64566	UBS AG – HSI R Bull CBBC Sep 2011 B	2011/06/07	2011/06/08
64541	UBS AG – HSI R Bull CBBC Oct 2011 V	2011/06/07	2011/06/08
64564	Credit Suisse AG – HSI R Bull CBBC Nov 2011 R	2011/06/07	2011/06/08
64558	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Z	2011/06/07	2011/06/08
64571	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2011 C	2011/06/07	2011/06/08
64557	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 D	2011/06/07	2011/06/08
64549	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11B	2011/06/07	2011/06/08
64548	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11A	2011/06/07	2011/06/08
64530	Credit Suisse AG – HSI R Bull CBBC Oct 2011 U	2011/06/07	2011/06/08
64543	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Oct 2011 B	2011/06/07	2011/06/08
64162	HK Bank – SHK Ppt R Bull CBBC Dec 2011 B	2011/06/07	2011/06/08
64555	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	2011/06/08	2011/06/09
64613	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	2011/06/08	2011/06/09
64495	Credit Suisse AG – HSI R Bull CBBC Nov 2011 Q	2011/06/08	2011/06/09
64467	UBS AG – HSI R Bull CBBC Aug 2011 H	2011/06/08	2011/06/09
63873	HK Bank – ICBC R Bull CBBC Oct 2011 A	2011/06/08	2011/06/09
64509	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11S	2011/06/08	2011/06/09
64617	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 C	2011/06/08	2011/06/09
64616	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 B	2011/06/08	2011/06/09
64587	UBS AG – HSI R Bull CBBC Sep 2011 C	2011/06/08	2011/06/09
64614	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2011 A	2011/06/08	2011/06/09
64591	UBS AG – HSI R Bull CBBC Oct 2011 D	2011/06/08	2011/06/09
64612	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	2011/06/08	2011/06/09
64610	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	2011/06/08	2011/06/09
64608	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/06/08	2011/06/09
64607	Credit Suisse AG – HSI R Bull CBBC Nov 2011 V	2011/06/08	2011/06/09
64606	Credit Suisse AG – HSI R Bull CBBC Oct 2011 X	2011/06/08	2011/06/09
63719	UBS AG – ICBC R Bull CBBC Oct 2011 A	2011/06/08	2011/06/09
64611	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	2011/06/08	2011/06/09
64511	UBS AG – HSI R Bull CBBC Oct 2011 P	2011/06/09	2011/06/10
64491	CC Rabobank B.A. – HSI R Bull CBBC Dec 2011 C	2011/06/09	2011/06/10
63896	BOCI Asia Ltd. – HSI R Bull CBBC Jul 2011 A	2011/06/09	2011/06/10
64389	Credit Suisse AG – HSI R Bull CBBC Nov 2011 P	2011/06/09	2011/06/10
64388	Credit Suisse AG – HSI R Bull CBBC Oct 2011 P	2011/06/09	2011/06/10
63388	UBS AG – HSI R Bull CBBC Aug 2011 X	2011/06/09	2011/06/10
63870	HK Bank – CC Bank R Bull CBBC Oct 2011 A	2011/06/09	2011/06/10
64319	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11J	2011/06/09	2011/06/10
63365	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11Z	2011/06/09	2011/06/10
64526	J P Morgan SP BV – HSI R Bull CBBC Sep 2011 M	2011/06/09	2011/06/10
64318	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11W	2011/06/09	2011/06/10
63377	Credit Suisse AG – HSI R Bull CBBC Sep 2011 V	2011/06/09	2011/06/10
64635	UBS AG – HSI R Bull CBBC Nov 2011 M	2011/06/09	2011/06/10
64632	Credit Suisse AG – TCH R Bull CBBC Oct 2011 D	2011/06/09	2011/06/10
64631	Credit Suisse AG – TCH R Bull CBBC Sep 2011 G	2011/06/09	2011/06/10
64629	Credit Suisse AG – HSI R Bull CBBC Nov 2011 X	2011/06/09	2011/06/10
63293	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11V	2011/06/09	2011/06/10
63542	Deutsche Bank AG – HSCEI R Bull CBBC Oct 2011 A	2011/06/09	2011/06/10
64624	UBS AG – HSI R Bull CBBC Oct 2011 F	2011/06/09	2011/06/10
64621	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11I	2011/06/09	2011/06/10
64620	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11H	2011/06/09	2011/06/10
64619	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11G	2011/06/09	2011/06/10
64618	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11F	2011/06/09	2011/06/10
63504	UBS AG – CC Bank R Bull CBBC Sep 2011 A	2011/06/09	2011/06/10
63291	Credit Suisse AG – HSI R Bull CBBC Oct 2011 J	2011/06/09	2011/06/10
63368	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 L	2011/06/09	2011/06/10
63340	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 T	2011/06/09	2011/06/10
63367	HK Bank – HSI R Bull CBBC Sep 2011 F	2011/06/09	2011/06/10
63279	Credit Suisse AG – HSI R Bull CBBC Aug 2011 K	2011/06/09	2011/06/10
64551	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11I	2011/06/09	2011/06/10
64554	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/06/09	2011/06/10
64206	UBS AG – HSI R Bull CBBC Oct 2011 K	2011/06/09	2011/06/10
64565	UBS AG – HSI R Bull CBBC Sep 2011 W	2011/06/09	2011/06/10
63276	UBS AG – HSI R Bull CBBC Jun 2011 O	2011/06/09	2011/06/10
63350	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 D	2011/06/09	2011/06/10
63382	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	2011/06/09	2011/06/10
63364	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	2011/06/09	2011/06/10
63363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	2011/06/10	2011/06/13
64235	Credit Suisse AG – HSI R Bull CBBC Nov 2011 L	2011/06/10	2011/06/13
63389	Credit Suisse AG – HSI R Bull CBBC Oct 2011 K	2011/06/10	2011/06/13
64315	UBS AG – HSI R Bull CBBC Nov 2011 E	2011/06/10	2011/06/13
63784	UBS AG – HSI R Bull CBBC Oct 2011 C	2011/06/10	2011/06/13
64648	Credit Suisse AG – HSI R Bull CBBC Dec 2011 G	2011/06/10	2011/06/13
64636	UBS AG – HSI R Bull CBBC Sep 2011 J	2011/06/10	2011/06/13
63292	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11Y	2011/06/10	2011/06/13
66802	HK Bank – China Mobile R Bull CBBC Jun 2011 A	2011/06/10	2011/06/14
64527	J P Morgan SP BV – HSI R Bull CBBC Sep 2011 N	2011/06/10	2011/06/13
63369	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 F	2011/06/10	2011/06/13
63358	UBS AG – HSI R Bull CBBC Jul 2011 Q	2011/06/10	2011/06/13
63511	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 H	2011/06/10	2011/06/13
64320	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11K	2011/06/10	2011/06/13
63613	RB of Scotland N.V. – HSI R Bull CBBC Aug 2011 D	2011/06/10	2011/06/13
64324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep11X	2011/06/13	2011/06/14
63286	Credit Suisse AG – HSI R Bull CBBC Sep 2011 T	2011/06/13	2011/06/14
63481	HK Bank – HSI R Bull CBBC Oct 2011 A	2011/06/13	2011/06/14
63396	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11X	2011/06/13	2011/06/14
64239	Credit Suisse AG – TCH R Bull CBBC Oct 2011 A	2011/06/13	2011/06/14



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67840	Deutsche Bank AG – China Life R Bear CBBC Jun 2011 E	2011/06/13	2011/06/15
64518	UBS AG – HSI R Bull CBBC Sep 2011 X	2011/06/13	2011/06/14
63479	Deutsche Bank AG – HSBC R Bull CBBC Sep 2011 F	2011/06/13	2011/06/14
63521	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 S	2011/06/13	2011/06/14
63482	Credit Suisse AG – HSI R Bull CBBC Aug 2011 Q	2011/06/13	2011/06/14
63474	UBS AG – HSI R Bull CBBC Jun 2011 T	2011/06/13	2011/06/14
64666	UBS AG – HSI R Bull CBBC Sep 2011 K	2011/06/13	2011/06/14
64672	Credit Suisse AG – HSI R Bull CBBC Oct 2011 Q	2011/06/13	2011/06/14
63455	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 R	2011/06/13	2011/06/14
63395	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 X	2011/06/13	2011/06/14
64363	UBS AG – HSI R Bull CBBC Oct 2011 N	2011/06/13	2011/06/14
64678	UBS AG – HSI R Bull CBBC Oct 2011 J	2011/06/14	2011/06/15
64683	Credit Suisse AG – HSI R Bull CBBC Nov 2011 Y	2011/06/14	2011/06/15
66683	KBC Fin Products Int'l Ltd. – A50 China R Bear CBBC Jun 11B	2011/06/14	2011/06/16
65657	HK Bank – A50 R Bear CBBC Jun 2011 C	2011/06/14	2011/06/16
66813	HK Bank – CC Bank R Bear CBBC Jun 2011 B	2011/06/14	2011/06/16
64714	UBS AG – TCH R Bull CBBC Nov 2011 B	2011/06/15	2011/06/16
64695	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Sep11P	2011/06/15	2011/06/16
64704	Credit Suisse AG – HSI R Bull CBBC Oct 2011 T	2011/06/15	2011/06/16
64699	UBS AG – HSI R Bull CBBC Nov 2011 P	2011/06/15	2011/06/16
64305	HK Bank – Sinopec Corp R Bull CBBC Jun 2011 A	2011/06/15	2011/06/17
67294	Credit Suisse AG – HSI R Bull CBBC Aug 2011 H	2011/06/16	2011/06/17
64194	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Aug11X	2011/06/16	2011/06/17
66895	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 D	2011/06/16	2011/06/17
66548	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 B	2011/06/16	2011/06/20
66380	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 N	2011/06/16	2011/06/17
64077	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2011 A	2011/06/16	2011/06/17
67074	UBS AG – HSI R Bull CBBC Jun 2011 I	2011/06/16	2011/06/17
64228	Credit Suisse AG – HSI R Bull CBBC Nov 2011 K	2011/06/16	2011/06/17
64269	UBS AG – TCH R Bull CBBC Oct 2011 A	2011/06/16	2011/06/17
68348	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 N	2011/06/16	2011/06/17
67211	Credit Suisse AG – HSI R Bull CBBC Aug 2011 B	2011/06/16	2011/06/17
63109	UBS AG – A50 China R Bull CBBC Sep 2011 A	2011/06/16	2011/06/17
64293	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Aug11Z	2011/06/16	2011/06/17
68349	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 O	2011/06/16	2011/06/17
63094	Nomura Int'l plc – HSI R Bull CBBC Jun 2011 B	2011/06/16	2011/06/17
68386	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2011 C	2011/06/16	2011/06/17
66107	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 R	2011/06/16	2011/06/17
67651	HK Bank – Hutchison R Bull CBBC Jun 2011 A	2011/06/16	2011/06/20
64702	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2011 B	2011/06/16	2011/06/17
63477	UBS AG – HSI R Bull CBBC Aug 2011 E	2011/06/16	2011/06/17
64572	UBS AG – HSI R Bull CBBC Oct 2011 Y	2011/06/16	2011/06/17
64630	Credit Suisse AG – Hutchison R Bull CBBC Sep 2011 A	2011/06/16	2011/06/17
67891	UBS AG – HSI R Bull CBBC Jun 2011 F	2011/06/16	2011/06/17
63498	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jul11L	2011/06/16	2011/06/17
63505	UBS AG – Hutchison R Bull CBBC Aug 2011 D	2011/06/16	2011/06/17
64506	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 D	2011/06/16	2011/06/17
68010	RB of Scotland N.V. – DJIA R Bear CBBC Jun 2011 I	2011/06/16	2011/06/20
66054	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 M	2011/06/16	2011/06/17
67708	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 G	2011/06/16	2011/06/17
64540	UBS AG – HSI R Bull CBBC Oct 2011 U	2011/06/16	2011/06/17
67712	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 B	2011/06/16	2011/06/17
68008	RB of Scotland N.V. – DJIA R Bear CBBC Jun 2011 H	2011/06/16	2011/06/20
64723	UBS AG – HSI R Bull CBBC Nov 2011 Q	2011/06/16	2011/06/17
68109	Credit Suisse AG – HSI R Bull CBBC Oct 2011 C	2011/06/16	2011/06/17
64726	UBS AG – HSI R Bull CBBC Oct 2011 M	2011/06/16	2011/06/17
64730	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Sep11S	2011/06/16	2011/06/17
64743	Credit Suisse AG – HSI R Bull CBBC Nov 2011 Z	2011/06/16	2011/06/17
63574	SGA Societe Generale Acceptance N.V. –A50 R Bull CBBC Sep11A	2011/06/16	2011/06/17
63137	Barclays Bank plc – HSI R Bull CBBC Sep 2011 C	2011/06/16	2011/06/17
66487	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 A	2011/06/16	2011/06/20
66732	Credit Suisse AG – HSI R Bull CBBC Jul 2011 D	2011/06/16	2011/06/17
68144	UBS AG – HSI R Bull CBBC Jun 2011 S	2011/06/16	2011/06/17
68001	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 D	2011/06/16	2011/06/20
64529	Credit Suisse AG – HSI R Bull CBBC Oct 2011 V	2011/06/16	2011/06/17
67026	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11P	2011/06/16	2011/06/17
64528	J P Morgan SP BV – HSI R Bull CBBC Sep 2011 O	2011/06/16	2011/06/17
64513	RB of Scotland N.V. – HSI R Bull CBBC Sep 2011 F	2011/06/16	2011/06/17
64514	RB of Scotland N.V. – HSI R Bull CBBC Sep 2011 G	2011/06/16	2011/06/17
64496	Credit Suisse AG – HKEx R Bull CBBC Oct 2011 A	2011/06/16	2011/06/17
63454	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 Q	2011/06/16	2011/06/17
64517	UBS AG – HSI R Bull CBBC Oct 2011 R	2011/06/16	2011/06/17
64236	Credit Suisse AG – HSI R Bull CBBC Dec 2011 D	2011/06/17	2011/06/20
68103	Credit Suisse AG – HSI R Bull CBBC Sep 2011 C	2011/06/17	2011/06/20
63405	Deutsche Bank AG – China Life R Bull CBBC Sep 2011 B	2011/06/17	2011/06/20
68184	UBS AG – China Life R Bull CBBC Aug 2011 A	2011/06/17	2011/06/20
68322	HK Bank – HSI R Bull CBBC Sep 2011 A	2011/06/17	2011/06/20
63869	HK Bank – ABC R Bull CBBC Oct 2011 A	2011/06/17	2011/06/20
68271	Deutsche Bank AG – HSCEI R Bull CBBC Jun 2011 F	2011/06/17	2011/06/20
68267	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2011 A	2011/06/17	2011/06/20
63262	Deutsche Bank AG – A50 China R Bull CBBC Sep 2011 A	2011/06/17	2011/06/20
67201	Credit Suisse AG – HSI R Bull CBBC Jul 2011 F	2011/06/17	2011/06/20
67025	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11O	2011/06/17	2011/06/20
66804	HK Bank – ICBC R Bull CBBC Jun 2011 B	2011/06/17	2011/06/21
66728	Credit Suisse AG – HSI R Bull CBBC Jun 2011 S	2011/06/17	2011/06/20
63453	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 P	2011/06/17	2011/06/20
68123	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 O	2011/06/17	2011/06/20

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67375	UBS AG – Hutchison R Bull CBBC Jun 2011 A	2011/06/17	2011/06/21
63288	Credit Suisse AG – HSI R Bull CBBC Oct 2011 G	2011/06/17	2011/06/20
64778	UBS AG – HSI R Bull CBBC Oct 2011 V	2011/06/17	2011/06/20
68143	UBS AG – HSI R Bull CBBC Jun 2011 U	2011/06/17	2011/06/20
64776	Credit Suisse AG – HSI R Bull CBBC Dec 2011 J	2011/06/17	2011/06/20
67388	HK Bank – Sinopec Corp R Bull CBBC Aug 2011 A	2011/06/17	2011/06/20
64774	UBS AG – HSI R Bull CBBC Sep 2011 Q	2011/06/17	2011/06/20
63782	UBS AG – TCH R Bull CBBC Sep 2011 E	2011/06/17	2011/06/20
64385	RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2011 B	2011/06/17	2011/06/20
64760	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11J	2011/06/17	2011/06/20
64759	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11I	2011/06/17	2011/06/20
64542	UBS AG – HSI R Bull CBBC Nov 2011 H	2011/06/17	2011/06/20
64701	UBS AG – HSI R Bull CBBC Dec 2011 C	2011/06/17	2011/06/20
64343	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC Oct11B	2011/06/17	2011/06/20
67711	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 A	2011/06/17	2011/06/20
64353	SGA Societe Generale Acceptance N.V.- TCH R Bull CBBC Oct11A	2011/06/17	2011/06/20
67560	UBS AG – TCH R Bull CBBC Jun 2011 A	2011/06/17	2011/06/21
64294	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11G	2011/06/17	2011/06/20
63898	Credit Suisse AG – TCH R Bull CBBC Sep 2011 B	2011/06/17	2011/06/20
64688	Credit Suisse AG – HSI R Bull CBBC Dec 2011 I	2011/06/17	2011/06/20
64290	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11T	2011/06/17	2011/06/20
67416	UBS AG – HKEx R Bull CBBC Jun 2011 A	2011/06/17	2011/06/21
65251	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 L	2011/06/17	2011/06/20
68465	Deutsche Bank AG – China Life R Bull CBBC Aug 2011 B	2011/06/17	2011/06/20
63509	UBS AG – HSCEI R Bull CBBC Aug 2011 A	2011/06/17	2011/06/20
67926	Credit Suisse AG – A50 China R Bull CBBC Jun 2011 A	2011/06/17	2011/06/21
66667	UBS AG – HSI R Bull CBBC Jun 2011 E	2011/06/17	2011/06/20
64512	RB of Scotland N.V. – HSI R Bull CBBC Sep 2011 E	2011/06/17	2011/06/20
64573	UBS AG – HSI R Bull CBBC Nov 2011 I	2011/06/17	2011/06/20
64852	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 D	2011/06/17	2011/06/20
67024	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11N	2011/06/17	2011/06/20
67707	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 F	2011/06/17	2011/06/20
68054	SGA Societe Generale Acceptance NV -HSCEI R Bull CBBC Jul11B	2011/06/17	2011/06/20
68033	Credit Suisse AG – A50 China R Bear CBBC Jun 2011 B	2011/06/17	2011/06/21
64371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 B	2011/06/17	2011/06/20
66187	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 C	2011/06/17	2011/06/20
68142	UBS AG – HSI R Bull CBBC Jul 2011 U	2011/06/20	2011/06/21
66893	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 C	2011/06/20	2011/06/21
63492	Deutsche Bank AG – Hutchison R Bull CBBC Oct 2011 A	2011/06/20	2011/06/21
64794	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11W	2011/06/20	2011/06/21
64807	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11K	2011/06/20	2011/06/21
66377	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 T	2011/06/20	2011/06/21
64791	Credit Suisse AG – HSI R Bull CBBC Nov 2011 F	2011/06/20	2011/06/21
64790	UBS AG – HSI R Bull CBBC Sep 2011 U	2011/06/20	2011/06/21
66935	CC Rabobank B.A. – HSI R Bull CBBC Jun 2011 A	2011/06/20	2011/06/21
66780	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 N	2011/06/20	2011/06/21
64383	UBS AG – HKEx R Bull CBBC Nov 2011 A	2011/06/20	2011/06/21
64768	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 D	2011/06/20	2011/06/21
63506	UBS AG – SHK Ppt R Bull CBBC Oct 2011 A	2011/06/20	2011/06/21
67207	Credit Suisse AG – HSI R Bull CBBC Aug 2011 A	2011/06/20	2011/06/21
64803	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11V	2011/06/20	2011/06/21
67727	Deutsche Bank AG – China Life R Bear CBBC Jun 2011 C	2011/06/20	2011/06/22
64675	Credit Suisse AG – Hutchison R Bull CBBC Oct 2011 A	2011/06/20	2011/06/21
67023	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11M	2011/06/20	2011/06/21
64292	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11U	2011/06/20	2011/06/21
67428	Deutsche Bank AG – China Mobile R Bear CBBC Jun 2011 B	2011/06/20	2011/06/22
63366	HK Bank – HSI R Bull CBBC Sep 2011 E	2011/06/20	2011/06/21
63097	HK Bank – HKEx R Bull CBBC Sep 2011 B	2011/06/20	2011/06/21
66814	HK Bank – China Life R Bear CBBC Jun 2011 C	2011/06/20	2011/06/22
68183	UBS AG – Cheung Kong R Bull CBBC Jul 2011 A	2011/06/20	2011/06/21
64326	Credit Suisse AG – HSI R Bull CBBC Oct 2011 R	2011/06/20	2011/06/21
68347	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 M	2011/06/20	2011/06/21
63139	Credit Suisse AG – A50 China R Bull CBBC Aug 2011 A	2011/06/20	2011/06/21
64490	CC Rabobank B.A. – HSI R Bull CBBC Dec 2011 B	2011/06/20	2011/06/21
64249	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 E	2011/06/20	2011/06/21
64667	UBS AG – Hutchison R Bull CBBC Nov 2011 B	2011/06/20	2011/06/21
64820	Credit Suisse AG – HSI R Bull CBBC Dec 2011 L	2011/06/21	2011/06/22
64828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	2011/06/21	2011/06/22
64816	Credit Suisse AG – TCH R Bull CBBC Oct 2011 F	2011/06/21	2011/06/22
67657	HK Bank – SHK Ppt R Bear CBBC Jun 2011 B	2011/06/21	2011/06/23
64829	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/06/21	2011/06/22
67374	UBS AG – CC Bank R Bull CBBC Jul 2011 A	2011/06/21	2011/06/22
63653	UBS AG – ABC R Bull CBBC Sep 2011 B	2011/06/21	2011/06/22
64830	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	2011/06/21	2011/06/22
64793	Credit Suisse AG – TCH R Bear CBBC Nov 2011 B	2011/06/22	2011/06/23
64835	Credit Suisse AG – HSI R Bull CBBC Nov 2011 G	2011/06/22	2011/06/23
64117	HK Bank – A50 R Bull CBBC Dec 2011 A	2011/06/23	2011/06/24
64839	UBS AG – HSI R Bull CBBC Sep 2011 C	2011/06/23	2011/06/24
64838	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11Y	2011/06/23	2011/06/24
64844	Credit Suisse AG – HSI R Bull CBBC Dec 2011 M	2011/06/23	2011/06/24
63342	RB of Scotland N.V. – BOCL R Bull CBBC Aug 2011 A	2011/06/23	2011/06/24
64905	Credit Suisse AG – TCH R Bear CBBC Nov 2011 C	2011/06/24	2011/06/27
68063	Deutsche Bank AG – HSBC R Bear CBBC Jun 2011 F	2011/06/24	2011/06/28
64855	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 C	2011/06/24	2011/06/27
67421	UBS AG – A50 China R Bull CBBC Jun 2011 B	2011/06/24	2011/06/28
67415	UBS AG – Sinopec Corp R Bull CBBC Jun 2011 A	2011/06/24	2011/06/28
63113	Credit Suisse AG – TCH R Bear CBBC Jun 2011 D	2011/06/24	2011/06/28

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64876	UBS AG – HSI R Bear CBBC Sep 2011 W	2011/06/24	2011/06/27
64878	Credit Suisse AG – HSI R Bear CBBC Sep 2011 T	2011/06/24	2011/06/27
64913	Credit Suisse AG – HSI R Bear CBBC Oct 2011 P	2011/06/24	2011/06/27
64933	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 J	2011/06/24	2011/06/27
64929	UBS AG – HSI R Bear CBBC Oct 2011 K	2011/06/24	2011/06/27
64909	Credit Suisse AG – HSI R Bear CBBC Oct 2011 X	2011/06/24	2011/06/27
64911	Credit Suisse AG – HSI R Bull CBBC Dec 2011 O	2011/06/24	2011/06/27
64932	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 H	2011/06/24	2011/06/27
64931	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 K	2011/06/24	2011/06/27
64930	UBS AG – HSI R Bear CBBC Nov 2011 V	2011/06/24	2011/06/27
64927	UBS AG – TCH R Bear CBBC Nov 2011 E	2011/06/24	2011/06/27
64928	UBS AG – HSI R Bear CBBC Sep 2011 K	2011/06/24	2011/06/27
64904	UBS AG – HSI R Bull CBBC Oct 2011 F	2011/06/24	2011/06/27
64840	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11Z	2011/06/24	2011/06/27
64985	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11A	2011/06/27	2011/06/28
67946	HK Bank – Sinopec Corp R Bear CBBC Jun 2011 E	2011/06/27	2011/06/29
63259	Deutsche Bank AG – HSBC R Bull CBBC Sep 2011 C	2011/06/27	2011/06/28
67513	Deutsche Bank AG – China Life R Bear CBBC Jun 2011 B	2011/06/27	2011/06/29
67517	Deutsche Bank AG – HSBC R Bear CBBC Jun 2011 E	2011/06/27	2011/06/29
65009	UBS AG – HSI R Bear CBBC Oct 2011 P	2011/06/27	2011/06/28
64987	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11E	2011/06/27	2011/06/28
64986	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11B	2011/06/27	2011/06/28
64546	SGA Societe Generale Acceptance N.V. -HSBC R Bull CBBC Oct11C	2011/06/27	2011/06/28
65004	Credit Suisse AG – HSI R Bear CBBC Sep 2011 C	2011/06/27	2011/06/28
64948	UBS AG – HSI R Bear CBBC Nov 2011 W	2011/06/27	2011/06/28
67387	HK Bank – Sinopec Corp R Bull CBBC Jun 2011 D	2011/06/27	2011/06/29
64949	Credit Suisse AG – HSI R Bear CBBC Oct 2011 K	2011/06/27	2011/06/28
64982	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11D	2011/06/27	2011/06/28
63062	UBS AG – HSBC R Bull CBBC Sep 2011 A	2011/06/27	2011/06/28
67320	UBS AG – HSI R Bear CBBC Jun 2011 P	2011/06/28	2011/06/30
65015	HK Bank – HSI R Bull CBBC Jun 2011 A	2011/06/28	2011/06/30
67321	UBS AG – HSI R Bear CBBC Jun 2011 Q	2011/06/28	2011/06/30
67572	Credit Suisse AG – HSI R Bear CBBC Jun 2011 G	2011/06/28	2011/06/30
67629	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 E	2011/06/28	2011/06/30
66385	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 O	2011/06/28	2011/06/30
65861	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 I	2011/06/28	2011/06/30
65535	Credit Suisse AG – HSI R Bear CBBC Jun 2011 A	2011/06/28	2011/06/30
68449	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 O	2011/06/28	2011/06/30
68450	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 P	2011/06/28	2011/06/30
68452	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 R	2011/06/28	2011/06/30
68453	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 S	2011/06/28	2011/06/30
68455	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 T	2011/06/28	2011/06/30
65620	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 E	2011/06/28	2011/06/30
65621	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 F	2011/06/28	2011/06/30
65622	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 G	2011/06/28	2011/06/30
64372	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 G	2011/06/28	2011/06/30
65529	Credit Suisse AG – HSI R Bear CBBC Jun 2011 D	2011/06/28	2011/06/30
64725	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 B	2011/06/28	2011/06/30
66379	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 M	2011/06/28	2011/06/30
66636	UBS AG – HSI R Bull CBBC Jun 2011 C	2011/06/28	2011/06/30
67006	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 O	2011/06/28	2011/06/30
67007	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 P	2011/06/28	2011/06/30
67005	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 N	2011/06/28	2011/06/30
67794	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 M	2011/06/28	2011/06/30
63099	Nomura Int'l plc – HSI R Bear CBBC Jun 2011 C	2011/06/28	2011/06/30
66642	UBS AG – HSI R Bear CBBC Jun 2011 B	2011/06/28	2011/06/30
63093	Nomura Int'l plc – HSI R Bull CBBC Jun 2011 A	2011/06/28	2011/06/30
67004	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 M	2011/06/28	2011/06/30
63134	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2011 G	2011/06/28	2011/06/30
67795	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 N	2011/06/28	2011/06/30
67009	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 Q	2011/06/28	2011/06/30
67323	UBS AG – HSI R Bear CBBC Jun 2011 R	2011/06/28	2011/06/30
67501	Credit Suisse AG – HSI R Bear CBBC Jun 2011 U	2011/06/28	2011/06/30
64727	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 C	2011/06/28	2011/06/30
67456	Credit Suisse AG – HSI R Bear CBBC Jun 2011 Q	2011/06/28	2011/06/30
67000	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 L	2011/06/28	2011/06/30
67455	Credit Suisse AG – HSI R Bear CBBC Jun 2011 P	2011/06/28	2011/06/30
65022	HK Bank – HSI R Bear CBBC Jun 2011 E	2011/06/28	2011/06/30
65021	HK Bank – HSI R Bear CBBC Jun 2011 D	2011/06/28	2011/06/30
67827	Deutsche Bank AG – HSCEI R Bull CBBC Jun 2011 C	2011/06/28	2011/06/30
67632	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 W	2011/06/28	2011/06/30
66726	Credit Suisse AG – HSI R Bull CBBC Jun 2011 R	2011/06/28	2011/06/30
65020	HK Bank – HSI R Bear CBBC Jun 2011 C	2011/06/28	2011/06/30
67696	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 Y	2011/06/28	2011/06/30
66253	UBS AG – HSI R Bear CBBC Jun 2011 D	2011/06/28	2011/06/30
67073	UBS AG – HSI R Bull CBBC Jun 2011 H	2011/06/28	2011/06/30
67011	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 S	2011/06/28	2011/06/30
66838	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 P	2011/06/28	2011/06/30
66224	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jun11A	2011/06/28	2011/06/30
66948	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 E	2011/06/28	2011/06/30
67670	UBS AG – HSI R Bear CBBC Jun 2011 W	2011/06/28	2011/06/30
64910	Credit Suisse AG – HSI R Bear CBBC Oct 2011 J	2011/06/28	2011/06/29
68019	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 V	2011/06/28	2011/06/30
65751	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2011 A	2011/06/28	2011/06/30
66892	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 B	2011/06/28	2011/06/30
65778	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 H	2011/06/28	2011/06/30
67675	UBS AG – HSI R Bear CBBC Jun 2011 X	2011/06/28	2011/06/30

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66907	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 F	2011/06/28	2011/06/30
68137	Deutsche Bank AG – HSCEI R Bull CBBC Jun 2011 E	2011/06/28	2011/06/30
67157	UBS AG – HSI R Bear CBBC Jun 2011 M	2011/06/28	2011/06/30
67802	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 S	2011/06/28	2011/06/30
66285	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11K	2011/06/28	2011/06/30
67801	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 R	2011/06/28	2011/06/30
67800	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 Q	2011/06/28	2011/06/30
64856	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 D	2011/06/28	2011/06/29
67010	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 R	2011/06/28	2011/06/30
63763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 A	2011/06/28	2011/06/30
66900	RB of Scotland N.V. – HSCEI R Bull CBBC Jun 2011 A	2011/06/28	2011/06/30
66891	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 A	2011/06/28	2011/06/30
66740	Credit Suisse AG – HSI R Bear CBBC Jun 2011 T	2011/06/28	2011/06/30
64841	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11C	2011/06/28	2011/06/29
64877	UBS AG – HSI R Bear CBBC Nov 2011 U	2011/06/28	2011/06/29
67798	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 O	2011/06/28	2011/06/30
64950	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Q	2011/06/28	2011/06/29
65514	HK Bank – HSI R Bear CBBC Jun 2011 I	2011/06/28	2011/06/30
65515	HK Bank – HSI R Bear CBBC Jun 2011 J	2011/06/28	2011/06/30
67223	Credit Suisse AG – HSI R Bear CBBC Jun 2011 K	2011/06/28	2011/06/30
68018	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 U	2011/06/28	2011/06/30
67226	Credit Suisse AG – HSI R Bear CBBC Jun 2011 J	2011/06/28	2011/06/30
67227	Credit Suisse AG – HSI R Bear CBBC Jun 2011 I	2011/06/28	2011/06/30
66715	SGA Societe Generale Acceptance N.V. –ICBC R Bull CBBC Jun11A	2011/06/28	2011/06/30
65516	HK Bank – HSI R Bear CBBC Jun 2011 K	2011/06/28	2011/06/30
66908	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 G	2011/06/28	2011/06/30
64113	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 D	2011/06/28	2011/06/30
67793	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 L	2011/06/28	2011/06/30
67792	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2011 K	2011/06/28	2011/06/30
66233	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11E	2011/06/28	2011/06/30
66225	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11B	2011/06/28	2011/06/30
67799	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2011 P	2011/06/28	2011/06/30
66910	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 H	2011/06/28	2011/06/30
64579	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 A	2011/06/28	2011/06/30
66229	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11C	2011/06/28	2011/06/30
66230	SGA Societe Generale Acceptance N.V. –HSI R Bull CBBC Jun11D	2011/06/28	2011/06/30
67842	UBS AG – China Life R Bear CBBC Jun 2011 B	2011/06/29	2011/07/04
64809	Credit Suisse AG – HSI R Bear CBBC Sep 2011 V	2011/06/30	2011/07/04
64842	Credit Suisse AG – HSI R Bear CBBC Oct 2011 U	2011/06/30	2011/07/04
68192	UBS AG – HKEx R Bear CBBC Jul 2011 B	2011/06/30	2011/07/05
64795	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11N	2011/06/30	2011/07/04
67379	UBS AG – TCH R Bull CBBC Jul 2011 A	2011/06/30	2011/07/05
68186	UBS AG – HKEx R Bull CBBC Jul 2011 A	2011/06/30	2011/07/05
64863	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 F	2011/06/30	2011/07/04
64810	UBS AG – HSI R Bear CBBC Sep 2011 Y	2011/06/30	2011/07/04
65033	UBS AG – A50 China R Bear CBBC Dec 2011 A	2011/06/30	2011/07/04
64836	UBS AG – HSI R Bear CBBC Sep 2011 B	2011/06/30	2011/07/04
64796	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11O	2011/06/30	2011/07/04
64860	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 I	2011/06/30	2011/07/04
65039	HK Bank – HSI R Bear CBBC Feb 2012 A	2011/07/04	2011/07/05
64712	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11K	2011/07/04	2011/07/05
65077	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 G	2011/07/04	2011/07/05
65076	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 F	2011/07/04	2011/07/05
65075	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 E	2011/07/04	2011/07/05
64926	UBS AG – China Life R Bear CBBC Dec 2011 B	2011/07/04	2011/07/05
64679	Credit Suisse AG – HSI R Bear CBBC Sep 2011 U	2011/07/04	2011/07/05
64837	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 X	2011/07/04	2011/07/05
64690	UBS AG – HSI R Bear CBBC Nov 2011 O	2011/07/04	2011/07/05
64703	Credit Suisse AG – HSI R Bear CBBC Sep 2011 J	2011/07/04	2011/07/05
64898	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 K	2011/07/04	2011/07/05
64711	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11J	2011/07/04	2011/07/05
64713	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 F	2011/07/04	2011/07/05
64721	UBS AG – HSI R Bear CBBC Oct 2011 L	2011/07/04	2011/07/05
64728	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 V	2011/07/04	2011/07/05
65043	UBS AG – HSI R Bear CBBC Nov 2011 Z	2011/07/04	2011/07/05
65037	Barclays Bank plc – HSI R Bear CBBC Jan 2012 C	2011/07/04	2011/07/05
64705	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 S	2011/07/04	2011/07/05
64780	UBS AG – HSI R Bear CBBC Sep 2011 S	2011/07/04	2011/07/05
65050	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	2011/07/04	2011/07/05
64775	Credit Suisse AG – HSI R Bear CBBC Sep 2011 E	2011/07/04	2011/07/05
64769	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 E	2011/07/04	2011/07/05
64758	UBS AG – HSI R Bear CBBC Oct 2011 X	2011/07/04	2011/07/05
65049	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11Y	2011/07/04	2011/07/05
64741	Credit Suisse AG – HSI R Bear CBBC Sep 2011 P	2011/07/04	2011/07/05
64734	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11M	2011/07/04	2011/07/05
64732	SGA Societe Generale Acceptance N.V. –HSI R Bear CBBC Oct11L	2011/07/04	2011/07/05
64729	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 W	2011/07/04	2011/07/05
65088	UBS AG – HSI R Bear CBBC Oct 2011 J	2011/07/05	2011/07/06
64789	HK Bank – HSI R Bear CBBC Jan 2012 C	2011/07/05	2011/07/06
64969	Credit Suisse AG – HKEx R Bear CBBC Nov 2011 B	2011/07/05	2011/07/06
64043	UBS AG – COVS R Bear CBBC Oct 2011 A	2011/07/05	2011/07/06
64502	HK Bank – China Shenhua R Bear CBBC Feb 2012 A	2011/07/05	2011/07/06
65089	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	2011/07/06	2011/07/07
65091	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 P	2011/07/06	2011/07/07
65096	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 O	2011/07/06	2011/07/07
65097	UBS AG – HSI R Bear CBBC Nov 2011 M	2011/07/06	2011/07/07
65119	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	2011/07/07	2011/07/08

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65118	Credit Suisse AG – TCH R Bear CBBC Nov 2011 E	2011/07/07	2011/07/08
66797	HK Bank – CC Bank R Bull CBBC Jul 2011 B	2011/07/07	2011/07/11
67376	UBS AG – Petrochina R Bull CBBC Jul 2011 A	2011/07/08	2011/07/12
68089	UBS AG – China Mobile R Bear CBBC Jul 2011 A	2011/07/08	2011/07/12
68201	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2011 C	2011/07/08	2011/07/12
65136	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	2011/07/08	2011/07/11
65123	UBS AG – HSI R Bear CBBC Oct 2011 M	2011/07/08	2011/07/11
67419	UBS AG – HSBC R Bear CBBC Jul 2011 A	2011/07/08	2011/07/12
67420	UBS AG – Hutchison R Bear CBBC Jul 2011 A	2011/07/08	2011/07/12
65128	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11D	2011/07/11	2011/07/12
65173	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 B	2011/07/11	2011/07/12
66358	HK Bank – A50 R Bear CBBC Jul 2011 A	2011/07/11	2011/07/13
65192	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	2011/07/11	2011/07/12
65172	Credit Suisse AG – TCH R Bull CBBC Nov 2011 F	2011/07/11	2011/07/12
65189	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	2011/07/11	2011/07/12
65177	UBS AG – HSI R Bull CBBC Oct 2011 U	2011/07/11	2011/07/12
64779	UBS AG – CC Bank R Bull CBBC Nov 2011 A	2011/07/11	2011/07/12
65195	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	2011/07/11	2011/07/12
65179	UBS AG – HSI R Bull CBBC Nov 2011 V	2011/07/11	2011/07/12
65152	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11E	2011/07/11	2011/07/12
65194	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/07/11	2011/07/12
65138	UBS AG – HSI R Bull CBBC Nov 2011 Q	2011/07/11	2011/07/12
65169	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	2011/07/11	2011/07/12
65163	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	2011/07/11	2011/07/12
65156	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11N	2011/07/11	2011/07/12
65149	UBS AG – HSI R Bear CBBC Nov 2011 H	2011/07/11	2011/07/12
65137	Credit Suisse AG – HSI R Bull CBBC Dec 2011 T	2011/07/11	2011/07/12
65148	UBS AG – HSI R Bear CBBC Oct 2011 R	2011/07/11	2011/07/12
65171	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	2011/07/11	2011/07/12
67751	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2011 A	2011/07/11	2011/07/13
66799	HK Bank – CC Bank R Bull CBBC Jul 2011 C	2011/07/11	2011/07/12
65153	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11C	2011/07/11	2011/07/12
65046	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11H	2011/07/12	2011/07/13
65175	UBS AG – TCH R Bull CBBC Jan 2012 B	2011/07/12	2011/07/13
65071	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 B	2011/07/12	2011/07/13
65045	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11G	2011/07/12	2011/07/13
65180	UBS AG – HSI R Bull CBBC Jan 2012 D	2011/07/12	2011/07/13
65081	UBS AG – HSI R Bull CBBC Oct 2011 N	2011/07/12	2011/07/13
65178	UBS AG – HSI R Bull CBBC Nov 2011 I	2011/07/12	2011/07/13
65086	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	2011/07/12	2011/07/13
64751	UBS AG – ICBC R Bull CBBC Dec 2011 A	2011/07/12	2011/07/13
65047	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11I	2011/07/12	2011/07/13
65069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	2011/07/12	2011/07/13
65068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	2011/07/12	2011/07/13
65067	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/07/12	2011/07/13
65065	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	2011/07/12	2011/07/13
65084	UBS AG – HSI R Bull CBBC Nov 2011 J	2011/07/12	2011/07/13
65048	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11V	2011/07/12	2011/07/13
65204	UBS AG – HSI R Bull CBBC Oct 2011 V	2011/07/12	2011/07/13
65058	UBS AG – HSI R Bull CBBC Oct 2011 C	2011/07/12	2011/07/13
65056	Credit Suisse AG – TCH R Bull CBBC Dec 2011 B	2011/07/12	2011/07/13
65202	Credit Suisse AG – HSI R Bull CBBC Dec 2011 V	2011/07/12	2011/07/13
65203	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	2011/07/12	2011/07/13
65064	Credit Suisse AG – HSI R Bull CBBC Dec 2011 S	2011/07/12	2011/07/13
65134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	2011/07/12	2011/07/13
68045	SGA Societe Generale Acceptance N.V. -CCB R Bull CBBC Jul11B	2011/07/12	2011/07/13
64914	Credit Suisse AG – HSI R Bull CBBC Nov 2011 E	2011/07/12	2011/07/13
65120	UBS AG – HSI R Bull CBBC Nov 2011 P	2011/07/12	2011/07/13
65121	UBS AG – HSI R Bull CBBC Dec 2011 M	2011/07/12	2011/07/13
65124	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11Z	2011/07/12	2011/07/13
65018	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Q	2011/07/12	2011/07/13
65126	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11B	2011/07/12	2011/07/13
64912	UBS AG – HSI R Bull CBBC Sep 2011 J	2011/07/12	2011/07/13
65135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 R	2011/07/12	2011/07/13
63098	HK Bank – ICBC R Bull CBBC Sep 2011 A	2011/07/12	2011/07/13
68048	SGA Societe Generale Acceptance N.V.-ICBC R Bull CBBC Jul11A	2011/07/12	2011/07/13
65142	UBS AG – HSI R Bull CBBC Dec 2011 O	2011/07/12	2011/07/13
65146	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 A	2011/07/12	2011/07/13
65170	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	2011/07/12	2011/07/13
65125	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11A	2011/07/12	2011/07/13
65090	Credit Suisse AG – HSI R Bull CBBC Nov 2011 D	2011/07/12	2011/07/13
65029	UBS AG – HSI R Bull CBBC Nov 2011 Y	2011/07/12	2011/07/13
65031	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Z	2011/07/12	2011/07/13
65070	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 A	2011/07/12	2011/07/13
65209	UBS AG – HSI R Bull CBBC Dec 2011 Q	2011/07/13	2011/07/14
65208	UBS AG – HSI R Bull CBBC Nov 2011 U	2011/07/13	2011/07/14
65214	UBS AG – HSI R Bull CBBC Dec 2011 R	2011/07/14	2011/07/15
68149	UBS AG – HSBC R Bear CBBC Jul 2011 C	2011/07/15	2011/07/19
68131	RB of Scotland N.V. – CM Bank R Bull CBBC Jul 2011 A	2011/07/15	2011/07/19
68478	Deutsche Bank AG – HSBC R Bear CBBC Jul 2011 E	2011/07/15	2011/07/19
65217	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 Q	2011/07/15	2011/07/18
67418	UBS AG – TCH R Bull CBBC Jul 2011 B	2011/07/15	2011/07/19
65225	Credit Suisse AG – CCB R Bull CBBC Nov 2011 A	2011/07/15	2011/07/18
68132	RB of Scotland N.V. – HKEx R Bull CBBC Jul 2011 A	2011/07/15	2011/07/19
68308	Deutsche Bank AG – HSBC R Bear CBBC Jul 2011 D	2011/07/15	2011/07/19
68083	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2011 B	2011/07/15	2011/07/19
65216	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 P	2011/07/15	2011/07/18

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65247	Credit Suisse AG – HSI R Bull CBBC Dec 2011 W	2011/07/18	2011/07/19
65246	UBS AG – HSI R Bull CBBC Nov 2011 Z	2011/07/18	2011/07/19
65229	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 A	2011/07/18	2011/07/19
65212	UBS AG – HKEx R Bull CBBC Jan 2012 B	2011/07/18	2011/07/19
65245	UBS AG – HSI R Bull CBBC Oct 2011 F	2011/07/18	2011/07/19
65250	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 B	2011/07/18	2011/07/19
65232	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 H	2011/07/18	2011/07/19
65231	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 G	2011/07/18	2011/07/19
65230	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 B	2011/07/18	2011/07/19
65248	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	2011/07/18	2011/07/19
65249	RB of Scotland N.V. – HSI R Bull CBBC Nov 2011 A	2011/07/18	2011/07/19
65215	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 O	2011/07/19	2011/07/20
65278	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11L	2011/07/19	2011/07/20
65267	UBS AG – HSI R Bull CBBC Oct 2011 K	2011/07/19	2011/07/20
65268	UBS AG – HSI R Bull CBBC Nov 2011 Q	2011/07/19	2011/07/20
65269	UBS AG – HSI R Bull CBBC Nov 2011 V	2011/07/19	2011/07/20
65273	Credit Suisse AG – HSI R Bull CBBC Nov 2011 N	2011/07/19	2011/07/20
65274	Credit Suisse AG – HSI R Bull CBBC Nov 2011 O	2011/07/19	2011/07/20
65275	Credit Suisse AG – HSI R Bull CBBC Dec 2011 X	2011/07/19	2011/07/20
65276	Credit Suisse AG – TCH R Bear CBBC Nov 2011 G	2011/07/19	2011/07/20
65295	Credit Suisse AG – HSI R Bear CBBC Oct 2011 U	2011/07/19	2011/07/20
65277	UBS AG – HSI R Bull CBBC Oct 2011 P	2011/07/19	2011/07/20
65291	UBS AG – HSI R Bear CBBC Nov 2011 H	2011/07/19	2011/07/20
65293	UBS AG – HSI R Bear CBBC Dec 2011 T	2011/07/19	2011/07/20
65302	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 K	2011/07/19	2011/07/20
65305	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 W	2011/07/19	2011/07/20
65303	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 M	2011/07/19	2011/07/20
65296	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	2011/07/19	2011/07/20
65301	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 J	2011/07/19	2011/07/20
65297	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	2011/07/19	2011/07/20
65322	Credit Suisse AG – HSI R Bear CBBC Oct 2011 V	2011/07/20	2011/07/21
65321	Credit Suisse AG – HSI R Bear CBBC Oct 2011 C	2011/07/20	2011/07/21
65306	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Z	2011/07/20	2011/07/21
65318	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 H	2011/07/20	2011/07/21
65317	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 G	2011/07/20	2011/07/21
65313	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 11G	2011/07/20	2011/07/21
65314	UBS AG – HSI R Bear CBBC Oct 2011 J	2011/07/20	2011/07/21
65279	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11M	2011/07/20	2011/07/21
65341	UBS AG – HSI R Bull CBBC Nov 2011 J	2011/07/20	2011/07/21
65289	UBS AG – HSI R Bear CBBC Oct 2011 L	2011/07/20	2011/07/21
65312	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 11B	2011/07/20	2011/07/21
65300	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 I	2011/07/20	2011/07/21
65311	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 11A	2011/07/20	2011/07/21
64181	HK Bank – CNOOC R Bull CBBC Dec 2011 A	2011/07/21	2011/07/22
64179	UBS AG – CNOOC R Bull CBBC Oct 2011 A	2011/07/21	2011/07/22
68080	RB of Scotland N.V. – China Coal R Bull CBBC Jul 2011 A	2011/07/22	2011/07/26
65344	UBS AG – HSI R Bear CBBC Dec 2011 V	2011/07/22	2011/07/25
65352	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	2011/07/22	2011/07/25
68041	SGA Societe Generale Acceptance N.V. -CCB R Bull CBBC Jul11A	2011/07/22	2011/07/26
65343	UBS AG – HSI R Bear CBBC Oct 2011 U	2011/07/22	2011/07/25
68047	SGA Societe Generale Acceptance N.V. -CCB R Bear CBBC Jul11D	2011/07/22	2011/07/26
65281	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11C	2011/07/22	2011/07/25
65299	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 H	2011/07/22	2011/07/25
65260	UBS AG – HSI R Bear CBBC Nov 2011 M	2011/07/22	2011/07/25
65264	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 E	2011/07/22	2011/07/25
65266	Credit Suisse AG – HSI R Bear CBBC Oct 2011 X	2011/07/22	2011/07/25
65271	Credit Suisse AG – HSI R Bear CBBC Oct 2011 J	2011/07/22	2011/07/25
65280	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11Y	2011/07/22	2011/07/25
65282	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11D	2011/07/22	2011/07/25
65283	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11E	2011/07/22	2011/07/25
65298	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 G	2011/07/22	2011/07/25
65323	Credit Suisse AG – HSI R Bear CBBC Oct 2011 W	2011/07/22	2011/07/25
65307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 Y	2011/07/22	2011/07/25
65308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 A	2011/07/22	2011/07/25
65309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 B	2011/07/22	2011/07/25
65310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 C	2011/07/22	2011/07/25
65319	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 K	2011/07/22	2011/07/25
68050	SGA Societe Generale Acceptance N.V.- TCH R Bull CBBC Jul11A	2011/07/22	2011/07/26
65292	UBS AG – HSI R Bear CBBC Nov 2011 I	2011/07/22	2011/07/25
68396	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC Jul11C	2011/07/22	2011/07/26
67613	UBS AG – Hutchison R Bear CBBC Jul 2011 B	2011/07/22	2011/07/26
68337	UBS AG – China Mobile R Bear CBBC Aug 2011 B	2011/07/22	2011/07/25
68475	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2011 D	2011/07/22	2011/07/26
68398	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC Jul11E	2011/07/22	2011/07/26
63114	Credit Suisse AG – TCH R Bear CBBC Jul 2011 C	2011/07/22	2011/07/26
68397	SGA Societe Generale Acceptance N.V.-CLife R Bear CBBC Jul11D	2011/07/22	2011/07/26
68586	Credit Suisse AG – HKEx R Bear CBBC Jul 2011 B	2011/07/22	2011/07/26
68405	SGA Societe Generale Acceptance N.V.-CMob R Bear CBBC Jul11B	2011/07/22	2011/07/26
68403	SGA Societe Generale Acceptance N.V.-CMob R Bull CBBC Jul11A	2011/07/22	2011/07/26
68602	Credit Suisse AG – A50 China R Bear CBBC Jul 2011 A	2011/07/22	2011/07/26
68234	Deutsche Bank AG – A50 China R Bull CBBC Jul 2011 B	2011/07/25	2011/07/27
65357	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 T	2011/07/25	2011/07/26
65364	UBS AG – AIA R Bear CBBC Jan 2012 A	2011/07/25	2011/07/26
65256	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 U	2011/07/26	2011/07/27
65358	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 U	2011/07/26	2011/07/27
65284	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11N	2011/07/26	2011/07/27
65272	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Q	2011/07/26	2011/07/27

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65368	Credit Suisse AG – HSI R Bear CBBC Oct 2011 K	2011/07/26	2011/07/27
65290	UBS AG – HSI R Bear CBBC Oct 2011 X	2011/07/26	2011/07/27
65367	UBS AG – HSI R Bear CBBC Oct 2011 C	2011/07/26	2011/07/27
65263	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 D	2011/07/26	2011/07/27
67465	Credit Suisse AG – HSI R Bear CBBC Jul 2011 X	2011/07/27	2011/07/29
68159	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11N	2011/07/27	2011/07/29
63386	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2011 B	2011/07/27	2011/07/29
65257	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 V	2011/07/27	2011/07/28
66843	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 P	2011/07/27	2011/07/29
67080	UBS AG – HSI R Bull CBBC Jul 2011 B	2011/07/27	2011/07/29
67031	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11E	2011/07/27	2011/07/29
65379	UBS AG – HSI R Bear CBBC Oct 2011 V	2011/07/27	2011/07/28
68022	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 E	2011/07/27	2011/07/29
66730	Credit Suisse AG – HSI R Bull CBBC Jul 2011 C	2011/07/27	2011/07/29
68145	UBS AG – HSI R Bull CBBC Jul 2011 T	2011/07/27	2011/07/29
67678	UBS AG – HSI R Bear CBBC Jul 2011 I	2011/07/27	2011/07/29
63795	UBS AG – HSI R Bear CBBC Jul 2011 X	2011/07/27	2011/07/29
66779	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 M	2011/07/27	2011/07/29
66207	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 J	2011/07/27	2011/07/29
66729	Credit Suisse AG – HSI R Bull CBBC Jul 2011 B	2011/07/27	2011/07/29
63451	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 O	2011/07/27	2011/07/29
63450	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 N	2011/07/27	2011/07/29
63796	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 O	2011/07/27	2011/07/29
63799	Credit Suisse AG – HSI R Bear CBBC Jul 2011 R	2011/07/27	2011/07/29
67193	Credit Suisse AG – HSI R Bull CBBC Jul 2011 N	2011/07/27	2011/07/29
63786	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 T	2011/07/27	2011/07/29
67229	Credit Suisse AG – HSI R Bear CBBC Jul 2011 K	2011/07/27	2011/07/29
63787	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 S	2011/07/27	2011/07/29
68021	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 D	2011/07/27	2011/07/29
67230	Credit Suisse AG – HSI R Bear CBBC Jul 2011 L	2011/07/27	2011/07/29
63788	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 R	2011/07/27	2011/07/29
67357	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11R	2011/07/27	2011/07/29
67356	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11Q	2011/07/27	2011/07/29
67355	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11P	2011/07/27	2011/07/29
63790	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 Q	2011/07/27	2011/07/29
67189	Credit Suisse AG – HSI R Bull CBBC Jul 2011 M	2011/07/27	2011/07/29
67238	Credit Suisse AG – HSI R Bear CBBC Jul 2011 P	2011/07/27	2011/07/29
67677	UBS AG – HSI R Bear CBBC Jul 2011 H	2011/07/27	2011/07/29
67354	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11O	2011/07/27	2011/07/29
63860	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 W	2011/07/27	2011/07/29
67360	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11S	2011/07/27	2011/07/29
67326	UBS AG – HSI R Bear CBBC Jul 2011 D	2011/07/27	2011/07/29
67325	UBS AG – HSI R Bear CBBC Jul 2011 C	2011/07/27	2011/07/29
67466	Credit Suisse AG – HSI R Bear CBBC Jul 2011 Y	2011/07/27	2011/07/29
68053	SGA Societe Generale Acceptance NV -HSCEI R Bull CBBC Jul11A	2011/07/27	2011/07/29
67676	UBS AG – HSI R Bear CBBC Jul 2011 G	2011/07/27	2011/07/29
63449	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 M	2011/07/27	2011/07/29
63789	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 P	2011/07/27	2011/07/29
67205	Credit Suisse AG – HSI R Bear CBBC Jul 2011 O	2011/07/27	2011/07/29
67028	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11B	2011/07/27	2011/07/29
65093	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 D	2011/07/27	2011/07/29
65206	Credit Suisse AG – HSI R Bear CBBC Oct 2011 P	2011/07/27	2011/07/28
63100	Nomura Int'l plc – HSI R Bear CBBC Jul 2011 B	2011/07/27	2011/07/29
65092	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 C	2011/07/27	2011/07/29
64984	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 B	2011/07/27	2011/07/29
64983	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 A	2011/07/27	2011/07/29
65210	UBS AG – HSI R Bear CBBC Nov 2011 O	2011/07/27	2011/07/28
67030	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11D	2011/07/27	2011/07/29
67965	UBS AG – HSI R Bear CBBC Jul 2011 R	2011/07/27	2011/07/29
65320	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 L	2011/07/27	2011/07/28
67029	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11C	2011/07/27	2011/07/29
65624	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 F	2011/07/27	2011/07/29
65375	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct 11Z	2011/07/27	2011/07/28
63812	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11G	2011/07/27	2011/07/29
63887	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11M	2011/07/27	2011/07/29
68023	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jul 2011 F	2011/07/27	2011/07/29
68157	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11I	2011/07/27	2011/07/29
67383	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11Y	2011/07/27	2011/07/29
63878	UBS AG – HSI R Bear CBBC Jul 2011 K	2011/07/27	2011/07/29
63900	BOCI Asia Ltd. – HSI R Bear CBBC Jul 2011 B	2011/07/27	2011/07/29
67303	Credit Suisse AG – HSI R Bear CBBC Jul 2011 U	2011/07/27	2011/07/29
68158	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11H	2011/07/27	2011/07/29
68431	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 L	2011/07/27	2011/07/29
67361	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11T	2011/07/27	2011/07/29
63447	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 K	2011/07/27	2011/07/29
63445	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 I	2011/07/27	2011/07/29
68055	SGA Societe Generale Acceptance NV -HSCEI R Bear CBBC Jul11C	2011/07/27	2011/07/29
67382	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11X	2011/07/27	2011/07/29
65223	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11J	2011/07/27	2011/07/28
63446	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 J	2011/07/27	2011/07/29
63776	Credit Suisse AG – HSI R Bear CBBC Jul 2011 W	2011/07/27	2011/07/29
67027	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Jul11A	2011/07/27	2011/07/29
63863	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 E	2011/07/27	2011/07/29
68430	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2011 K	2011/07/27	2011/07/29
65623	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 E	2011/07/27	2011/07/29
65265	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 F	2011/07/27	2011/07/28
65376	Credit Suisse AG – HSI R Bear CBBC Oct 2011 T	2011/07/27	2011/07/28

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67385	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11F	2011/07/27	2011/07/29
65786	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 I	2011/07/27	2011/07/29
67362	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11U	2011/07/27	2011/07/29
65780	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 H	2011/07/27	2011/07/29
67363	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11V	2011/07/27	2011/07/29
67364	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11W	2011/07/27	2011/07/29
65779	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 G	2011/07/27	2011/07/29
63095	Nomura Int'l plc – HSI R Bull CBBC Jul 2011 A	2011/07/27	2011/07/29
67302	Credit Suisse AG – HSI R Bear CBBC Jul 2011 T	2011/07/27	2011/07/29
63448	J P Morgan SP BV – HSI R Bull CBBC Jul 2011 L	2011/07/27	2011/07/29
67384	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Jul11Z	2011/07/27	2011/07/29
65213	UBS AG – A50 China R Bull CBBC Jan 2012 A	2011/07/28	2011/07/29
64968	Credit Suisse AG – Hutchison R Bear CBBC Nov 2011 B	2011/07/28	2011/07/29
66806	HK Bank – A50 R Bull CBBC Jul 2011 B	2011/07/28	2011/08/01
65032	UBS AG – Hutchison R Bear CBBC Dec 2011 B	2011/07/28	2011/07/29
65406	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 M	2011/07/29	2011/08/01
65391	UBS AG – HSI R Bear CBBC Nov 2011 P	2011/07/29	2011/08/01
65392	UBS AG – HSI R Bear CBBC Dec 2011 W	2011/07/29	2011/08/01
65393	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11 A	2011/07/29	2011/08/01
65394	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11 B	2011/07/29	2011/08/01
65396	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11 C	2011/07/29	2011/08/01
65397	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	2011/07/29	2011/08/01
65398	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	2011/07/29	2011/08/01
65409	UBS AG – HSI R Bull CBBC Nov 2011 Y	2011/07/29	2011/08/01
65405	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11 G	2011/07/29	2011/08/01
65404	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	2011/07/29	2011/08/01
65423	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	2011/08/01	2011/08/02
65205	J P Morgan SP BV – HSI R Bear CBBC Nov 2011 C	2011/08/01	2011/08/02
65345	UBS AG – HSI R Bear CBBC Jan 2012 G	2011/08/01	2011/08/02
65201	Credit Suisse AG – HSI R Bear CBBC Oct 2011 R	2011/08/01	2011/08/02
65200	UBS AG – HSI R Bear CBBC Oct 2011 Y	2011/08/01	2011/08/02
65337	HK Bank – HSI R Bear CBBC Feb 2012 D	2011/08/01	2011/08/02
63518	HK Bank – China Mobile R Bear CBBC Oct 2011 B	2011/08/01	2011/08/02
65359	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2012 V	2011/08/01	2011/08/02
65157	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11O	2011/08/01	2011/08/02
65294	UBS AG – HSI R Bear CBBC Dec 2011 U	2011/08/01	2011/08/02
65422	UBS AG – HSI R Bear CBBC Jan 2012 I	2011/08/01	2011/08/02
65419	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	2011/08/01	2011/08/02
65224	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Oct11K	2011/08/01	2011/08/02
65147	UBS AG – HSI R Bear CBBC Dec 2011 P	2011/08/01	2011/08/02
64203	UBS AG – AIA R Bear CBBC Nov 2011 A	2011/08/01	2011/08/02
66815	HK Bank – China Mobile R Bear CBBC Aug 2011 B	2011/08/01	2011/08/03
65259	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 F	2011/08/01	2011/08/02
68383	Deutsche Bank AG – HSBC R Bear CBBC Aug 2011 A	2011/08/01	2011/08/03
65258	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 D	2011/08/01	2011/08/02
65167	Credit Suisse AG – HSI R Bear CBBC Oct 2011 G	2011/08/01	2011/08/02
65426	Credit Suisse AG – HSI R Bull CBBC Dec 2011 D	2011/08/02	2011/08/03
65384	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 A	2011/08/02	2011/08/03
65221	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 B	2011/08/02	2011/08/03
65431	UBS AG – HSI R Bull CBBC Nov 2011 U	2011/08/02	2011/08/03
65432	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Y	2011/08/02	2011/08/03
65424	UBS AG – HSI R Bear CBBC Dec 2011 Z	2011/08/02	2011/08/03
65418	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 11H	2011/08/02	2011/08/03
65425	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	2011/08/02	2011/08/03
65412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 U	2011/08/03	2011/08/04
65402	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov11 E	2011/08/03	2011/08/04
65417	UBS AG – HSI R Bull CBBC Jan 2012 H	2011/08/03	2011/08/04
65410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 T	2011/08/03	2011/08/04
65420	Credit Suisse AG – HSI R Bull CBBC Dec 2011 G	2011/08/03	2011/08/04
65385	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 L	2011/08/03	2011/08/04
65408	UBS AG – HSI R Bull CBBC Dec 2011 X	2011/08/03	2011/08/04
65407	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 N	2011/08/03	2011/08/04
65427	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	2011/08/03	2011/08/04
65383	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	2011/08/03	2011/08/04
65414	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 X	2011/08/03	2011/08/04
65388	UBS AG – HSI R Bull CBBC Oct 2011 K	2011/08/03	2011/08/04
65411	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 W	2011/08/03	2011/08/04
65434	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 B	2011/08/03	2011/08/04
65403	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov11 F	2011/08/03	2011/08/04
65436	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov11 I	2011/08/03	2011/08/04
65435	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 C	2011/08/03	2011/08/04
65401	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov11 D	2011/08/03	2011/08/04
65399	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	2011/08/03	2011/08/04
65413	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 V	2011/08/03	2011/08/04
65373	HK Bank – A50 R Bull CBBC Apr 2012 A	2011/08/03	2011/08/04
65374	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 11V	2011/08/03	2011/08/04
65389	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Z	2011/08/03	2011/08/04
65387	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct11 L	2011/08/03	2011/08/04
65330	Credit Suisse AG – A50 China R Bull CBBC Nov 2011 A	2011/08/03	2011/08/04
65380	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	2011/08/03	2011/08/04
65381	UBS AG – HSI R Bull CBBC Oct 2011 F	2011/08/03	2011/08/04
65416	UBS AG – HSI R Bull CBBC Dec 2011 Y	2011/08/03	2011/08/04
65349	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 C	2011/08/04	2011/08/05
65316	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 11I	2011/08/04	2011/08/05
65324	Credit Suisse AG – HSI R Bull CBBC Dec 2011 Y	2011/08/04	2011/08/05
65174	UBS AG – China Life R Bull CBBC Dec 2011 C	2011/08/04	2011/08/05
65386	J P Morgan SP BV – HSI R Bull CBBC Nov 2011 M	2011/08/04	2011/08/05



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65455	UBS AG – Hutchison R Bull CBBC Feb 2012 A	2011/08/04	2011/08/05
63562	Deutsche Bank AG – DJIA R Bull CBBC Dec 2011 C	2011/08/04	2011/08/05
65382	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 H	2011/08/04	2011/08/05
65365	UBS AG – HSI R Bull CBBC Oct 2011 R	2011/08/04	2011/08/05
63780	UBS AG – Ping An R Bull CBBC Sep 2011 A	2011/08/04	2011/08/05
65348	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 S	2011/08/05	2011/08/08
63135	Barclays Bank plc – HSI R Bull CBBC Sep 2011 A	2011/08/05	2011/08/08
63136	Barclays Bank plc – HSI R Bull CBBC Sep 2011 B	2011/08/05	2011/08/08
65346	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 C	2011/08/05	2011/08/08
63284	Credit Suisse AG – HSI R Bull CBBC Sep 2011 R	2011/08/05	2011/08/08
63285	Credit Suisse AG – HSI R Bull CBBC Sep 2011 S	2011/08/05	2011/08/08
68176	Credit Suisse AG – HSI R Bull CBBC Oct 2011 E	2011/08/05	2011/08/08
65233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 C	2011/08/05	2011/08/08
65366	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	2011/08/05	2011/08/08
65347	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 D	2011/08/05	2011/08/08
65353	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	2011/08/05	2011/08/08
63289	Credit Suisse AG – HSI R Bull CBBC Oct 2011 H	2011/08/05	2011/08/08
65235	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
65362	Credit Suisse AG – CCB R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
65356	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 S	2011/08/05	2011/08/08
65354	UBS AG – CNOOC R Bull CBBC Jan 2012 A	2011/08/05	2011/08/08
63290	Credit Suisse AG – HSI R Bull CBBC Oct 2011 I	2011/08/05	2011/08/08
65448	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
68373	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug11V	2011/08/05	2011/08/08
65288	UBS AG – HSI R Bull CBBC Dec 2011 S	2011/08/05	2011/08/08
65433	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 A	2011/08/05	2011/08/08
65360	RB of Scotland N.V. – A50 China R Bull CBBC Jan 2012 A	2011/08/05	2011/08/08
68350	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 B	2011/08/05	2011/08/08
66185	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 B	2011/08/05	2011/08/08
65443	HK Bank – CNOOC R Bull CBBC Jun 2012 A	2011/08/05	2011/08/08
68488	HK Bank – HSI R Bull CBBC Aug 2011 D	2011/08/05	2011/08/08
65449	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
65454	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
65468	UBS AG – AIA R Bull CBBC Feb 2012 A	2011/08/05	2011/08/08
65465	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	2011/08/05	2011/08/08
65456	UBS AG – ABC R Bull CBBC Jan 2012 A	2011/08/05	2011/08/08
65463	UBS AG – HSI R Bull CBBC Dec 2011 C	2011/08/05	2011/08/08
65440	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 C	2011/08/05	2011/08/08
65315	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 11H	2011/08/05	2011/08/08
68174	Credit Suisse AG – HSI R Bull CBBC Sep 2011 G	2011/08/05	2011/08/08
65329	Credit Suisse AG – TCH R Bull CBBC Nov 2011 H	2011/08/05	2011/08/08
65328	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	2011/08/05	2011/08/08
65326	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	2011/08/05	2011/08/08
65325	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	2011/08/05	2011/08/08
65428	UBS AG – Hutchison R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
68171	Credit Suisse AG – HSI R Bull CBBC Aug 2011 M	2011/08/05	2011/08/08
65339	UBS AG – HSI R Bull CBBC Oct 2011 M	2011/08/05	2011/08/08
66109	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 O	2011/08/05	2011/08/08
65415	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 E	2011/08/05	2011/08/08
66108	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 N	2011/08/05	2011/08/08
68185	UBS AG – CNOOC R Bull CBBC Aug 2011 B	2011/08/05	2011/08/09
65421	UBS AG – AIA R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
68486	HK Bank – HSI R Bull CBBC Aug 2011 C	2011/08/05	2011/08/08
65035	Barclays Bank plc – HSI R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
65006	UBS AG – HSI R Bull CBBC Sep 2011 X	2011/08/05	2011/08/08
64687	Credit Suisse AG – HSI R Bull CBBC Dec 2011 H	2011/08/05	2011/08/08
64668	UBS AG – HSBC R Bull CBBC Nov 2011 B	2011/08/05	2011/08/08
66376	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 S	2011/08/05	2011/08/08
64537	UBS AG – HSI R Bull CBBC Oct 2011 T	2011/08/05	2011/08/08
64532	HK Bank – Sinopec Corp R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
64967	Credit Suisse AG – HKEx R Bull CBBC Nov 2011 A	2011/08/05	2011/08/08
64972	Credit Suisse AG – HSI R Bull CBBC Dec 2011 P	2011/08/05	2011/08/08
64499	Credit Suisse AG – A50 China R Bull CBBC Oct 2011 A	2011/08/05	2011/08/08
63528	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 P	2011/08/05	2011/08/08
64488	CC Rabobank B.A. – HSI R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
64486	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2011 C	2011/08/05	2011/08/08
64991	UBS AG – HKEx R Bull CBBC Dec 2011 B	2011/08/05	2011/08/08
63469	Credit Suisse AG – HSI R Bull CBBC Sep 2011 Y	2011/08/05	2011/08/08
65005	UBS AG – HSI R Bull CBBC Nov 2011 X	2011/08/05	2011/08/08
64946	HK Bank – HSI R Bull CBBC Dec 2011 F	2011/08/05	2011/08/08
65016	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 A	2011/08/05	2011/08/08
65017	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
65019	UBS AG – CC Bank R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
65030	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 D	2011/08/05	2011/08/08
64468	UBS AG – HSI R Bull CBBC Aug 2011 N	2011/08/05	2011/08/08
65044	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11F	2011/08/05	2011/08/08
65052	Credit Suisse AG – TCH R Bull CBBC Nov 2011 D	2011/08/05	2011/08/08
65060	UBS AG – HSI R Bull CBBC Nov 2011 A	2011/08/05	2011/08/08
64866	UBS AG – Ping An R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
65062	UBS AG – HSI R Bull CBBC Dec 2011 K	2011/08/05	2011/08/08
63472	Credit Suisse AG – HSI R Bull CBBC Dec 2011 C	2011/08/05	2011/08/08
64384	RB of Scotland N.V. – HSCEI R Bull CBBC Nov 2011 A	2011/08/05	2011/08/08
63470	Credit Suisse AG – HSI R Bull CBBC Oct 2011 L	2011/08/05	2011/08/08
68099	Credit Suisse AG – HSI R Bull CBBC Aug 2011 J	2011/08/05	2011/08/08
64799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct11R	2011/08/05	2011/08/08
65227	UBS AG – CC Bank R Bull CBBC Dec 2011 B	2011/08/05	2011/08/08
63572	SGA Societe Generale Acceptance N.V.-HKEx R Bull CBBC Sep11B	2011/08/05	2011/08/08

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64870	UBS AG – HSI R Bull CBBC Dec 2011 H	2011/08/05	2011/08/08
64833	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11X	2011/08/05	2011/08/08
64832	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11W	2011/08/05	2011/08/08
64826	Credit Suisse AG – HSI R Bull CBBC Jan 2012 D	2011/08/05	2011/08/08
64825	Credit Suisse AG – HSI R Bull CBBC Jan 2012 C	2011/08/05	2011/08/08
64822	Credit Suisse AG – HSI R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
64819	Credit Suisse AG – HSI R Bull CBBC Dec 2011 K	2011/08/05	2011/08/08
64813	UBS AG – HSI R Bull CBBC Nov 2011 S	2011/08/05	2011/08/08
64802	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11U	2011/08/05	2011/08/08
64896	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 I	2011/08/05	2011/08/08
64801	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11T	2011/08/05	2011/08/08
64689	UBS AG – A50 China R Bull CBBC Nov 2011 A	2011/08/05	2011/08/08
67713	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 A	2011/08/05	2011/08/08
65061	UBS AG – HSI R Bull CBBC Dec 2011 J	2011/08/05	2011/08/08
64945	HK Bank – HSI R Bull CBBC Dec 2011 E	2011/08/05	2011/08/08
64748	UBS AG – HSI R Bull CBBC Dec 2011 D	2011/08/05	2011/08/08
64762	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 B	2011/08/05	2011/08/08
64767	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 C	2011/08/05	2011/08/08
64897	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 J	2011/08/05	2011/08/08
64777	UBS AG – HSI R Bull CBBC Oct 2011 W	2011/08/05	2011/08/08
64800	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11S	2011/08/05	2011/08/08
64783	HK Bank – A50 R Bull CBBC Jan 2012 A	2011/08/05	2011/08/08
67828	Deutsche Bank AG – DJIA R Bull CBBC Sep 2011 C	2011/08/05	2011/08/08
64788	HK Bank – HSI R Bull CBBC Dec 2011 D	2011/08/05	2011/08/08
64797	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11P	2011/08/05	2011/08/08
64798	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Oct11Q	2011/08/05	2011/08/08
64747	UBS AG – HSI R Bull CBBC Nov 2011 R	2011/08/05	2011/08/08
64941	HK Bank – CM Bank R Bull CBBC Feb 2012 B	2011/08/05	2011/08/08
65198	HK Bank – SHK Ppt R Bull CBBC Apr 2012 A	2011/08/05	2011/08/08
65099	UBS AG – HSI R Bull CBBC Dec 2011 L	2011/08/05	2011/08/08
65100	UBS AG – HSI R Bull CBBC Nov 2011 E	2011/08/05	2011/08/08
65107	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 I	2011/08/05	2011/08/08
65109	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 J	2011/08/05	2011/08/08
64220	Credit Suisse AG – HSI R Bull CBBC Nov 2011 J	2011/08/05	2011/08/08
65116	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 N	2011/08/05	2011/08/08
65117	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 O	2011/08/05	2011/08/08
66782	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 P	2011/08/05	2011/08/08
65085	HK Bank – HKEx R Bull CBBC Feb 2012 A	2011/08/05	2011/08/08
66753	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 G	2011/08/05	2011/08/08
63408	Deutsche Bank AG – A50 China R Bull CBBC Sep 2011 D	2011/08/05	2011/08/08
63341	RB of Scotland N.V. – A50 China R Bull CBBC Aug 2011 A	2011/08/05	2011/08/08
63343	RB of Scotland N.V. – Sinopec Corp R Bull CBBC Aug 2011 A	2011/08/05	2011/08/08
66751	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 F	2011/08/05	2011/08/08
66749	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 R	2011/08/05	2011/08/08
66743	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 X	2011/08/05	2011/08/08
64485	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 C	2011/08/05	2011/08/08
63319	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 H	2011/08/05	2011/08/08
65220	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
65143	UBS AG – Sinopec Corp R Bull CBBC Dec 2011 A	2011/08/05	2011/08/08
64359	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC Oct11C	2011/08/05	2011/08/08
64342	SGA Societe Generale Acceptance N.V.-CLife R Bull CBBC Oct11A	2011/08/05	2011/08/08
68106	Credit Suisse AG – HSI R Bull CBBC Oct 2011 A	2011/08/05	2011/08/08
64274	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2011 K	2011/08/05	2011/08/08
63771	RB of Scotland N.V. – Ping An R Bull CBBC Oct 2011 A	2011/08/05	2011/08/08
66904	HK Bank – HSI R Bull CBBC Aug 2011 A	2011/08/05	2011/08/08
63434	UBS AG – HSI R Bull CBBC Aug 2011 B	2011/08/05	2011/08/08
68108	Credit Suisse AG – HSI R Bull CBBC Oct 2011 B	2011/08/05	2011/08/08
63436	UBS AG – HSI R Bull CBBC Sep 2011 G	2011/08/05	2011/08/08
68102	Credit Suisse AG – HSI R Bull CBBC Sep 2011 B	2011/08/05	2011/08/08
64276	HK Bank – China Life R Bull CBBC Nov 2011 A	2011/08/05	2011/08/08
68101	Credit Suisse AG – HSI R Bull CBBC Sep 2011 A	2011/08/05	2011/08/08
68371	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11T	2011/08/08	2011/08/09
68372	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Aug11U	2011/08/08	2011/08/09
65437	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 A	2011/08/08	2011/08/09
68480	Deutsche Bank AG – A50 China R Bull CBBC Aug 2011 B	2011/08/08	2011/08/09
65439	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 B	2011/08/08	2011/08/09
66781	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 O	2011/08/08	2011/08/09
63571	SGA Societe Generale Acceptance N.V.-HKEx R Bull CBBC Sep11A	2011/08/08	2011/08/09
64102	UBS AG – China Life R Bull CBBC Oct 2011 A	2011/08/08	2011/08/09
64812	UBS AG – HSI R Bull CBBC Oct 2011 D	2011/08/08	2011/08/09
64348	SGA Societe Generale Acceptance N.V.- HWL R Bull CBBC Oct11B	2011/08/08	2011/08/09
65453	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 A	2011/08/08	2011/08/09
66746	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 Q	2011/08/08	2011/08/09
65390	UBS AG – China Mobile R Bull CBBC Jan 2012 B	2011/08/08	2011/08/09
64092	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 B	2011/08/08	2011/08/09
68434	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 E	2011/08/08	2011/08/09
68436	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2011 F	2011/08/08	2011/08/09
64811	UBS AG – HSI R Bull CBBC Sep 2011 Z	2011/08/08	2011/08/09
64270	RB of Scotland N.V. – CPIC R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
64476	UBS AG – A50 China R Bull CBBC Oct 2011 C	2011/08/08	2011/08/09
64483	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2011 B	2011/08/08	2011/08/09
65475	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov11N	2011/08/08	2011/08/09
66208	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 J	2011/08/08	2011/08/09
67203	Credit Suisse AG – HSI R Bull CBBC Aug 2011 E	2011/08/08	2011/08/09
66887	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 K	2011/08/08	2011/08/09
65480	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 L	2011/08/08	2011/08/09
66890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 L	2011/08/08	2011/08/09

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65481	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	2011/08/08	2011/08/09
65482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	2011/08/08	2011/08/09
63083	Deutsche Bank AG – A50 China R Bear CBBC Aug 2011 F	2011/08/08	2011/08/10
64581	UBS AG – Hutchison R Bull CBBC Nov 2011 A	2011/08/08	2011/08/09
64132	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2011 A	2011/08/08	2011/08/09
66335	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 L	2011/08/08	2011/08/09
64360	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC Oct11D	2011/08/08	2011/08/09
64465	UBS AG – HSI R Bull CBBC Oct 2011 O	2011/08/08	2011/08/09
64746	Credit Suisse AG – HSI R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
65488	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Z	2011/08/08	2011/08/09
65490	UBS AG – HSI R Bull CBBC Nov 2011 V	2011/08/08	2011/08/09
66886	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 J	2011/08/08	2011/08/09
66885	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 I	2011/08/08	2011/08/09
65476	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Nov11O	2011/08/08	2011/08/09
64156	UBS AG – Sinopec Corp R Bull CBBC Oct 2011 A	2011/08/08	2011/08/09
65483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	2011/08/08	2011/08/09
64545	SGA Societe Generale Acceptance N.V. -HSBC R Bull CBBC Oct11B	2011/08/08	2011/08/09
63393	HK Bank – HSI R Bull CBBC Sep 2011 K	2011/08/08	2011/08/09
64923	UBS AG – HSCEI R Bull CBBC Nov 2011 A	2011/08/08	2011/08/09
68124	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 H	2011/08/08	2011/08/09
63433	UBS AG – HSI R Bull CBBC Aug 2011 Z	2011/08/08	2011/08/09
64934	HK Bank – China Life R Bull CBBC Dec 2011 B	2011/08/08	2011/08/09
63429	UBS AG – HSBC R Bull CBBC Sep 2011 C	2011/08/08	2011/08/09
64943	HK Bank – Hutchison R Bull CBBC Dec 2011 B	2011/08/08	2011/08/09
64944	HK Bank – A50 R Bull CBBC Jan 2012 B	2011/08/08	2011/08/09
65113	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 L	2011/08/08	2011/08/09
65112	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 K	2011/08/08	2011/08/09
63258	Deutsche Bank AG – HSBC R Bull CBBC Sep 2011 B	2011/08/08	2011/08/09
63514	HK Bank – HKEx R Bull CBBC Sep 2011 C	2011/08/08	2011/08/09
63425	Credit Suisse AG – HSI R Bull CBBC Dec 2011 B	2011/08/08	2011/08/09
64966	Credit Suisse AG – Hutchison R Bull CBBC Nov 2011 A	2011/08/08	2011/08/09
65355	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 R	2011/08/08	2011/08/09
65327	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	2011/08/08	2011/08/09
63398	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11B	2011/08/08	2011/08/09
63399	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11C	2011/08/08	2011/08/09
63400	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11D	2011/08/08	2011/08/09
63401	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11E	2011/08/08	2011/08/09
65034	Barclays Bank plc – HSI R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
65057	UBS AG – TCH R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
68173	Credit Suisse AG – HSI R Bull CBBC Sep 2011 F	2011/08/08	2011/08/09
63471	Credit Suisse AG – HSI R Bull CBBC Nov 2011 C	2011/08/08	2011/08/09
63466	Credit Suisse AG – HKEx R Bull CBBC Aug 2011 A	2011/08/08	2011/08/09
63428	UBS AG – HKEx R Bull CBBC Sep 2011 A	2011/08/08	2011/08/09
64958	Credit Suisse AG – HSI R Bull CBBC Mar 2012 D	2011/08/08	2011/08/09
64867	UBS AG – HSI R Bull CBBC Nov 2011 T	2011/08/08	2011/08/09
65207	UBS AG – CC Bank R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
65226	Credit Suisse AG – ABC R Bull CBBC Nov 2011 A	2011/08/08	2011/08/09
63316	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 V	2011/08/08	2011/08/09
65363	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
68175	Credit Suisse AG – HSI R Bull CBBC Oct 2011 D	2011/08/08	2011/08/09
64891	Credit Suisse AG – HSI R Bull CBBC Feb 2012 D	2011/08/08	2011/08/09
64885	Credit Suisse AG – HSI R Bull CBBC Jan 2012 G	2011/08/08	2011/08/09
64882	Credit Suisse AG – HSI R Bull CBBC Dec 2011 N	2011/08/08	2011/08/09
64873	UBS AG – HSI R Bull CBBC Jan 2012 B	2011/08/08	2011/08/09
63336	UBS AG – HSI R Bull CBBC Aug 2011 V	2011/08/08	2011/08/09
65234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 A	2011/08/08	2011/08/09
66750	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 E	2011/08/09	2011/08/10
63327	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 D	2011/08/09	2011/08/10
63397	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Sep11A	2011/08/09	2011/08/10
63326	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 C	2011/08/09	2011/08/10
63392	HK Bank – HSI R Bull CBBC Sep 2011 J	2011/08/09	2011/08/10
65287	UBS AG – ICBC R Bull CBBC Dec 2011 B	2011/08/09	2011/08/10
63325	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 B	2011/08/09	2011/08/10
63318	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 G	2011/08/09	2011/08/10
64091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 A	2011/08/09	2011/08/10
65461	SGA Societe Generale Acceptance N.V. -A50 R Bull CBBC Jan12A	2011/08/09	2011/08/10
65286	UBS AG – CC Bank R Bull CBBC Jan 2012 B	2011/08/09	2011/08/10
65495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 I	2011/08/09	2011/08/10
66844	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 Q	2011/08/09	2011/08/10
68437	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2011 B	2011/08/09	2011/08/10
63423	Credit Suisse AG – HSI R Bull CBBC Nov 2011 B	2011/08/09	2011/08/10
66884	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 H	2011/08/09	2011/08/10
63422	Credit Suisse AG – HSI R Bull CBBC Nov 2011 A	2011/08/09	2011/08/10
63338	UBS AG – HSI R Bull CBBC Sep 2011 E	2011/08/09	2011/08/10
65503	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 F	2011/08/09	2011/08/10
63391	HK Bank – HSI R Bull CBBC Sep 2011 I	2011/08/09	2011/08/10
63337	UBS AG – HSI R Bull CBBC Sep 2011 D	2011/08/09	2011/08/10
65115	J P Morgan SP BV – HSI R Bull CBBC Oct 2011 M	2011/08/09	2011/08/10
65493	UBS AG – HSI R Bull CBBC Dec 2011 O	2011/08/09	2011/08/10
64052	UBS AG – CUni R Bull CBBC Oct 2011 D	2011/08/09	2011/08/10
63407	Deutsche Bank AG – HSBC R Bull CBBC Sep 2011 E	2011/08/09	2011/08/10
68122	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 N	2011/08/09	2011/08/10
64032	UBS AG – AIA R Bull CBBC Oct 2011 B	2011/08/09	2011/08/10
63424	Credit Suisse AG – HSI R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
63335	UBS AG – HSI R Bull CBBC Aug 2011 U	2011/08/09	2011/08/10
65500	UBS AG – HSI R Bull CBBC Feb 2012 A	2011/08/09	2011/08/10
64887	Credit Suisse AG – HSI R Bull CBBC Feb 2012 A	2011/08/09	2011/08/10

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67327	Deutsche Bank AG – DJIA R Bull CBBC Sep 2011 A	2011/08/09	2011/08/10
64925	UBS AG – HSI R Bull CBBC Jan 2012 C	2011/08/09	2011/08/10
64782	HK Bank – Sinopec Corp R Bull CBBC Dec 2011 B	2011/08/09	2011/08/10
64848	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11A	2011/08/09	2011/08/10
64869	UBS AG – HSI R Bull CBBC Dec 2011 G	2011/08/09	2011/08/10
64872	UBS AG – HSI R Bull CBBC Jan 2012 A	2011/08/09	2011/08/10
64345	SGA Societe Generale Acceptance N.V.- HWL R Bull CBBC Oct11A	2011/08/09	2011/08/10
63102	HK Bank – TCH R Bull CBBC Nov 2011 A	2011/08/09	2011/08/10
63432	UBS AG – HSI R Bull CBBC Aug 2011 Y	2011/08/09	2011/08/10
64883	Credit Suisse AG – HSI R Bull CBBC Jan 2012 E	2011/08/09	2011/08/10
64851	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11C	2011/08/09	2011/08/10
64884	Credit Suisse AG – HSI R Bull CBBC Jan 2012 F	2011/08/09	2011/08/10
64853	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11D	2011/08/09	2011/08/10
64815	Credit Suisse AG – Sinopec Corp R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
64889	Credit Suisse AG – HSI R Bull CBBC Feb 2012 B	2011/08/09	2011/08/10
67806	HK Bank – PetCh R Bull CBBC Sep 2011 A	2011/08/09	2011/08/10
64921	UBS AG – A50 China R Bull CBBC Nov 2011 B	2011/08/09	2011/08/10
65369	UBS AG – GCL-Poly R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
64890	Credit Suisse AG – HSI R Bull CBBC Feb 2012 C	2011/08/09	2011/08/10
64792	Credit Suisse AG – TCH R Bull CBBC Nov 2011 A	2011/08/09	2011/08/10
64917	UBS AG – TCH R Bull CBBC Nov 2011 D	2011/08/09	2011/08/10
63553	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 G	2011/08/09	2011/08/10
63088	UBS AG – Hutchison R Bull CBBC Aug 2011 C	2011/08/09	2011/08/10
64924	UBS AG – HSI R Bull CBBC Dec 2011 I	2011/08/09	2011/08/10
65333	HK Bank – ICBC R Bull CBBC Jun 2012 A	2011/08/09	2011/08/10
64864	UBS AG – Cheung Kong R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
63435	UBS AG – HSI R Bull CBBC Sep 2011 F	2011/08/09	2011/08/10
63461	Credit Suisse AG – TCH R Bull CBBC Aug 2011 A	2011/08/09	2011/08/10
65042	UBS AG – Petrochina R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
64980	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11J	2011/08/09	2011/08/10
64481	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2011 A	2011/08/09	2011/08/10
64979	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11I	2011/08/09	2011/08/10
64975	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11H	2011/08/09	2011/08/10
64974	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11G	2011/08/09	2011/08/10
64973	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11F	2011/08/09	2011/08/10
64850	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11B	2011/08/09	2011/08/10
65331	HK Bank – CC Bank R Bull CBBC Feb 2012 A	2011/08/09	2011/08/10
66336	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 M	2011/08/09	2011/08/10
64957	Credit Suisse AG – HSI R Bull CBBC Mar 2012 C	2011/08/09	2011/08/10
64956	Credit Suisse AG – HSI R Bull CBBC Mar 2012 B	2011/08/09	2011/08/10
64953	Credit Suisse AG – HSI R Bull CBBC Feb 2012 F	2011/08/09	2011/08/10
64952	Credit Suisse AG – HSI R Bull CBBC Feb 2012 E	2011/08/09	2011/08/10
64951	Credit Suisse AG – HSI R Bull CBBC Jan 2012 H	2011/08/09	2011/08/10
64947	HK Bank – HSI R Bull CBBC Jan 2012 D	2011/08/09	2011/08/10
64761	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2012 A	2011/08/09	2011/08/10
65350	UBS AG – Hutchison R Bull CBBC Jan 2012 A	2011/08/09	2011/08/10
64854	SGA Societe Generale Acceptance N.V. -HSI R Bull CBBC Dec11E	2011/08/09	2011/08/10
64531	HK Bank – Sinopec Corp R Bull CBBC Nov 2011 A	2011/08/09	2011/08/10
65511	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12G	2011/08/10	2011/08/11
65512	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12H	2011/08/10	2011/08/11
65505	UBS AG – HSI R Bull CBBC Nov 2011 H	2011/08/10	2011/08/11
65506	UBS AG – HSI R Bull CBBC Dec 2011 R	2011/08/10	2011/08/11
65507	UBS AG – HSI R Bull CBBC Jan 2012 L	2011/08/10	2011/08/11
65508	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12D	2011/08/10	2011/08/11
65509	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12E	2011/08/10	2011/08/11
65517	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12I	2011/08/10	2011/08/11
65510	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12F	2011/08/10	2011/08/11
65566	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 I	2011/08/10	2011/08/11
65518	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 11P	2011/08/10	2011/08/11
65545	Credit Suisse AG – HSI R Bull CBBC Dec 2011 J	2011/08/10	2011/08/11
65546	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	2011/08/10	2011/08/11
65547	Credit Suisse AG – HSI R Bull CBBC Feb 2012 J	2011/08/10	2011/08/11
65548	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2012 E	2011/08/10	2011/08/11
65549	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	2011/08/10	2011/08/11
65575	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12P	2011/08/11	2011/08/12
65584	UBS AG – HSI R Bull CBBC Jan 2012 N	2011/08/11	2011/08/12
65597	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	2011/08/11	2011/08/12
65574	HK Bank – A50 R Bull CBBC Mar 2012 A	2011/08/11	2011/08/12
65578	HK Bank – HSI R Bull CBBC Mar 2012 A	2011/08/11	2011/08/12
65585	UBS AG – HSI R Bull CBBC Jan 2012 O	2011/08/11	2011/08/12
65573	HK Bank – A50 R Bull CBBC Jun 2012 A	2011/08/11	2011/08/12
65572	HK Bank – Cheung Kong R Bull CBBC Jun 2012 B	2011/08/11	2011/08/12
65570	UBS AG – HSI R Bull CBBC Nov 2011 I	2011/08/11	2011/08/12
65599	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Q	2011/08/12	2011/08/15
65702	UBS AG – HSI R Bull CBBC Jan 2012 R	2011/08/12	2011/08/15
65706	UBS AG – HSI R Bull CBBC Feb 2012 B	2011/08/12	2011/08/15
65668	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	2011/08/12	2011/08/15
65656	Credit Suisse AG – HSI R Bull CBBC Jan 2012 M	2011/08/12	2011/08/15
65658	Credit Suisse AG – HSI R Bull CBBC Jan 2012 N	2011/08/12	2011/08/15
65659	Macquarie Bank Ltd. – HSI R Bull CBBC Dec 2011 D	2011/08/12	2011/08/15
65607	UBS AG – HSI R Bull CBBC Jan 2012 P	2011/08/12	2011/08/15
65667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/08/12	2011/08/15
65665	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	2011/08/12	2011/08/15
65669	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 J	2011/08/12	2011/08/15
65601	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12S	2011/08/12	2011/08/15
65608	HK Bank – A50 R Bull CBBC Jun 2012 B	2011/08/12	2011/08/15
65609	HK Bank – HSI R Bull CBBC May 2012 A	2011/08/12	2011/08/15

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65610	HK Bank – HSI R Bull CBBC May 2012 B	2011/08/12	2011/08/15
68236	UBS AG – ABC R Bull CBBC Aug 2011 A	2011/08/12	2011/08/16
65606	UBS AG – HSI R Bull CBBC Dec 2011 U	2011/08/12	2011/08/15
65605	UBS AG – HSI R Bull CBBC Dec 2011 P	2011/08/12	2011/08/15
65707	UBS AG – HSI R Bull CBBC Feb 2012 C	2011/08/12	2011/08/15
65603	UBS AG – HSI R Bull CBBC Nov 2011 Y	2011/08/12	2011/08/15
65600	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12R	2011/08/12	2011/08/15
65614	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 H	2011/08/12	2011/08/15
65615	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 I	2011/08/12	2011/08/15
65638	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	2011/08/12	2011/08/15
65639	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	2011/08/12	2011/08/15
65703	UBS AG – HSI R Bull CBBC Jan 2012 S	2011/08/12	2011/08/15
65641	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	2011/08/12	2011/08/15
65604	UBS AG – HSI R Bull CBBC Dec 2011 W	2011/08/12	2011/08/15
65744	UBS AG – HSI R Bull CBBC Dec 2011 X	2011/08/15	2011/08/16
65745	UBS AG – HSI R Bull CBBC Jan 2012 U	2011/08/15	2011/08/16
65746	UBS AG – HSI R Bull CBBC Nov 2011 A	2011/08/15	2011/08/16
65749	UBS AG – HSI R Bull CBBC Nov 2011 E	2011/08/15	2011/08/16
65754	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12V	2011/08/15	2011/08/16
65782	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12X	2011/08/15	2011/08/16
65756	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12W	2011/08/15	2011/08/16
65783	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	2011/08/15	2011/08/16
65792	Credit Suisse AG – HSI R Bull CBBC Dec 2011 U	2011/08/15	2011/08/16
65793	Credit Suisse AG – HSI R Bull CBBC Jan 2012 W	2011/08/15	2011/08/16
65801	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	2011/08/15	2011/08/16
65857	UBS AG – HSI R Bear CBBC Nov 2011 S	2011/08/15	2011/08/16
65871	HK Bank – HSI R Bull CBBC Apr 2012 C	2011/08/15	2011/08/16
65753	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12U	2011/08/15	2011/08/16
66026	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 C	2011/08/16	2011/08/17
65785	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	2011/08/16	2011/08/17
65885	UBS AG – HSI R Bear CBBC Apr 2012 C	2011/08/16	2011/08/17
65898	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	2011/08/16	2011/08/17
65900	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	2011/08/16	2011/08/17
65901	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	2011/08/16	2011/08/17
65893	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12H	2011/08/16	2011/08/17
65892	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12G	2011/08/16	2011/08/17
65896	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	2011/08/16	2011/08/17
65921	Credit Suisse AG – HSI R Bull CBBC Jan 2012 O	2011/08/16	2011/08/17
65922	Credit Suisse AG – HSI R Bull CBBC Jan 2012 P	2011/08/16	2011/08/17
65894	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12I	2011/08/16	2011/08/17
65897	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	2011/08/16	2011/08/17
66027	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	2011/08/16	2011/08/17
66025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 B	2011/08/16	2011/08/17
65986	UBS AG – HSI R Bear CBBC Dec 2011 H	2011/08/16	2011/08/17
65987	UBS AG – HSI R Bear CBBC Mar 2012 H	2011/08/16	2011/08/17
65991	UBS AG – HSI R Bear CBBC Jan 2012 Y	2011/08/16	2011/08/17
66024	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 A	2011/08/16	2011/08/17
65992	UBS AG – HSI R Bear CBBC Dec 2011 J	2011/08/16	2011/08/17
65951	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	2011/08/16	2011/08/17
65971	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	2011/08/16	2011/08/17
65853	UBS AG – HSI R Bear CBBC Dec 2011 D	2011/08/16	2011/08/17
65998	UBS AG – HSI R Bear CBBC Feb 2012 H	2011/08/16	2011/08/17
66071	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 N	2011/08/17	2011/08/18
66068	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 R	2011/08/17	2011/08/18
65902	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	2011/08/17	2011/08/18
66088	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	2011/08/17	2011/08/18
65904	Credit Suisse AG – HSI R Bear CBBC Jun 2012 A	2011/08/17	2011/08/18
65725	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	2011/08/17	2011/08/18
66087	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	2011/08/17	2011/08/18
66086	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	2011/08/17	2011/08/18
65895	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12J	2011/08/17	2011/08/18
66083	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	2011/08/17	2011/08/18
66126	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 U	2011/08/17	2011/08/18
65851	UBS AG – HSI R Bear CBBC Nov 2011 R	2011/08/17	2011/08/18
65941	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	2011/08/18	2011/08/19
66142	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 F	2011/08/18	2011/08/19
66020	UBS AG – HSI R Bull CBBC Dec 2011 L	2011/08/18	2011/08/19
65974	Credit Suisse AG – HKEx R Bear CBBC Dec 2011 B	2011/08/18	2011/08/19
66112	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 B	2011/08/18	2011/08/19
66159	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 H	2011/08/18	2011/08/19
67803	HK Bank – ABC R Bull CBBC Aug 2011 A	2011/08/18	2011/08/22
66077	Credit Suisse AG – HSI R Bull CBBC Jan 2012 R	2011/08/19	2011/08/22
65885	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2012 C	2011/08/19	2011/08/22
64072	UBS AG – HSCEI R Bear CBBC Oct 2011 A	2011/08/19	2011/08/22
66078	Credit Suisse AG – HSI R Bull CBBC Feb 2012 W	2011/08/19	2011/08/22
66049	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12J	2011/08/19	2011/08/22
66017	UBS AG – HSI R Bull CBBC Nov 2011 X	2011/08/19	2011/08/22
66018	UBS AG – HSI R Bull CBBC Jan 2012 Z	2011/08/19	2011/08/22
66019	UBS AG – HSI R Bull CBBC Jan 2012 D	2011/08/19	2011/08/22
65940	Credit Suisse AG – HSI R Bull CBBC Feb 2012 U	2011/08/19	2011/08/22
65939	Credit Suisse AG – HSI R Bull CBBC Feb 2012 T	2011/08/19	2011/08/22
66063	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 M	2011/08/19	2011/08/22
65612	RB of Scotland N.V. – HSI R Bull CBBC Dec 2011 G	2011/08/19	2011/08/22
68260	UBS AG – A50 China R Bear CBBC Aug 2011 A	2011/08/19	2011/08/23
66056	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12K	2011/08/19	2011/08/22
65920	Credit Suisse AG – HSI R Bull CBBC Jan 2012 D	2011/08/19	2011/08/22
65919	Credit Suisse AG – HSI R Bull CBBC Jan 2012 C	2011/08/19	2011/08/22

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66057	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12L	2011/08/19	2011/08/22
66097	Credit Suisse AG – CNBM R Bull CBBC Dec 2011 A	2011/08/19	2011/08/22
66060	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 Q	2011/08/19	2011/08/22
63266	UBS AG – China Mobile R Bear CBBC Aug 2011 C	2011/08/19	2011/08/23
68507	UBS AG – Cheung Kong R Bear CBBC Aug 2011 A	2011/08/19	2011/08/23
67959	UBS AG – Sinopec Corp R Bear CBBC Aug 2011 A	2011/08/19	2011/08/23
66090	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 B	2011/08/19	2011/08/22
66151	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 B	2011/08/19	2011/08/22
68521	UBS AG – Hutchison R Bear CBBC Aug 2011 A	2011/08/19	2011/08/23
66137	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 A	2011/08/19	2011/08/22
63426	UBS AG – China Mobile R Bull CBBC Aug 2011 D	2011/08/19	2011/08/23
65837	UBS AG – HSI R Bull CBBC Jan 2012 V	2011/08/19	2011/08/22
65838	UBS AG – HSI R Bull CBBC Feb 2012 F	2011/08/19	2011/08/22
65664	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	2011/08/19	2011/08/22
64906	Credit Suisse AG – TCH R Bull CBBC Dec 2011 A	2011/08/19	2011/08/22
66226	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 C	2011/08/22	2011/08/23
65918	Credit Suisse AG – HSI R Bull CBBC Jan 2012 B	2011/08/22	2011/08/23
66099	Credit Suisse AG – Evergrande R Bull CBBC Jan 2012 A	2011/08/22	2011/08/23
66218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	2011/08/22	2011/08/23
66219	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	2011/08/22	2011/08/23
66220	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	2011/08/22	2011/08/23
66221	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/08/22	2011/08/23
65661	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	2011/08/22	2011/08/23
65662	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	2011/08/22	2011/08/23
65663	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	2011/08/22	2011/08/23
65338	UBS AG – COVS R Bull CBBC Jan 2012 A	2011/08/22	2011/08/23
66222	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	2011/08/22	2011/08/23
66014	UBS AG – HSI R Bull CBBC Feb 2012 J	2011/08/22	2011/08/23
64865	UBS AG – HSBC R Bull CBBC Nov 2011 D	2011/08/22	2011/08/23
65950	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	2011/08/22	2011/08/23
66228	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Y	2011/08/22	2011/08/23
66231	Credit Suisse AG – HSI R Bull CBBC Jan 2012 A	2011/08/22	2011/08/23
65462	SGA Societe Generale Acceptance N.V.-HWL R Bull CBBC Jan12A	2011/08/22	2011/08/23
65696	UBS AG – CNOOC R Bull CBBC Feb 2012 A	2011/08/22	2011/08/23
63531	Deutsche Bank AG – Hutchison R Bear CBBC Aug 2011 A	2011/08/22	2011/08/24
66111	Credit Suisse AG – GCL-Poly Energy R Bull CBBC Mar 2012 A	2011/08/22	2011/08/23
64097	RB of Scotland N.V. – Yanzhou Coal R Bull CBBC Feb 2012 A	2011/08/22	2011/08/23
66082	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	2011/08/22	2011/08/23
65833	UBS AG – HSI R Bull CBBC Feb 2012 E	2011/08/22	2011/08/23
66076	Credit Suisse AG – HSI R Bull CBBC Jan 2012 Q	2011/08/22	2011/08/23
64995	UBS AG – TCH R Bull CBBC Dec 2011 B	2011/08/22	2011/08/23
65891	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12I	2011/08/22	2011/08/23
66216	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	2011/08/22	2011/08/23
64992	UBS AG – Hutchison R Bull CBBC Dec 2011 A	2011/08/22	2011/08/23
66215	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	2011/08/22	2011/08/23
64544	SGA Societe Generale Acceptance N.V.-HSBC R Bull CBBC Oct11A	2011/08/22	2011/08/23
66212	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Y	2011/08/22	2011/08/23
66214	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12C	2011/08/22	2011/08/23
66191	HK Bank – Hutchison R Bull CBBC Jun 2012 A	2011/08/22	2011/08/23
66213	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Z	2011/08/22	2011/08/23
66138	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 B	2011/08/22	2011/08/23
66239	Credit Suisse AG – HSI R Bull CBBC Jan 2012 G	2011/08/23	2011/08/24
66237	Credit Suisse AG – TCH R Bull CBBC Dec 2011 D	2011/08/23	2011/08/24
66235	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Y	2011/08/23	2011/08/24
66205	Credit Suisse AG – HSI R Bear CBBC Nov 2011 C	2011/08/23	2011/08/24
66236	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	2011/08/23	2011/08/24
66249	Credit Suisse AG – HSI R Bull CBBC Jan 2012 E	2011/08/24	2011/08/25
64916	UBS AG – China Life R Bull CBBC Dec 2011 A	2011/08/24	2011/08/25
66251	Credit Suisse AG – HSI R Bull CBBC Feb 2012 H	2011/08/24	2011/08/25
63532	Deutsche Bank AG – Hutchison R Bear CBBC Aug 2011 B	2011/08/24	2011/08/26
66264	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 F	2011/08/25	2011/08/26
66195	HK Bank – China Life R Bull CBBC Jul 2012 A	2011/08/25	2011/08/26
66301	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 I	2011/08/25	2011/08/26
66295	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 D	2011/08/25	2011/08/26
66294	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 B	2011/08/25	2011/08/26
66265	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 G	2011/08/25	2011/08/26
66308	Credit Suisse AG – HSI R Bull CBBC Feb 2012 D	2011/08/25	2011/08/26
66337	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	2011/08/26	2011/08/29
63731	Credit Suisse AG – HKEx R Bear CBBC Aug 2011 C	2011/08/26	2011/08/30
63349	RB of Scotland N.V. – A50 China R Bear CBBC Aug 2011 B	2011/08/26	2011/08/30
66340	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 M	2011/08/26	2011/08/29
66338	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	2011/08/26	2011/08/29
63643	Credit Suisse AG – Hutchison R Bear CBBC Aug 2011 A	2011/08/26	2011/08/30
66333	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	2011/08/26	2011/08/29
66331	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 Q	2011/08/26	2011/08/29
66328	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Y	2011/08/26	2011/08/29
66327	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	2011/08/26	2011/08/29
66326	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12O	2011/08/26	2011/08/29
66325	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12N	2011/08/26	2011/08/29
66320	Credit Suisse AG – HSI R Bull CBBC Jan 2012 F	2011/08/26	2011/08/29
66317	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	2011/08/26	2011/08/29
63065	UBS AG – Hutchison R Bear CBBC Aug 2011 B	2011/08/26	2011/08/30
65698	UBS AG – Ping An R Bull CBBC Feb 2012 A	2011/08/26	2011/08/29
66339	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 L	2011/08/26	2011/08/29
65863	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 F	2011/08/29	2011/08/31
67545	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11N	2011/08/29	2011/08/31
67512	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11L	2011/08/29	2011/08/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67510	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11K	2011/08/29	2011/08/31
67508	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11I	2011/08/29	2011/08/31
67823	UBS AG – HSI R Bear CBBC Aug 2011 D	2011/08/29	2011/08/31
67504	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11H	2011/08/29	2011/08/31
65865	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 G	2011/08/29	2011/08/31
67242	Credit Suisse AG – HSI R Bear CBBC Aug 2011 G	2011/08/29	2011/08/31
63712	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 H	2011/08/29	2011/08/31
67546	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11O	2011/08/29	2011/08/31
67241	Credit Suisse AG – HSI R Bear CBBC Aug 2011 F	2011/08/29	2011/08/31
63711	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 I	2011/08/29	2011/08/31
67554	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11P	2011/08/29	2011/08/31
68125	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 Y	2011/08/29	2011/08/31
65868	UBS AG – China Life R Bull CBBC Feb 2012 B	2011/08/29	2011/08/30
67555	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11Q	2011/08/29	2011/08/31
67556	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11R	2011/08/29	2011/08/31
63699	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2011 A	2011/08/29	2011/08/31
66355	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	2011/08/29	2011/08/30
66352	Credit Suisse AG – TCH R Bear CBBC Jan 2012 B	2011/08/29	2011/08/30
66350	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	2011/08/29	2011/08/30
66346	Credit Suisse AG – HSI R Bear CBBC Nov 2011 V	2011/08/29	2011/08/30
68025	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 B	2011/08/29	2011/08/31
68026	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 C	2011/08/29	2011/08/31
68027	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 D	2011/08/29	2011/08/31
67679	UBS AG – HSI R Bear CBBC Aug 2011 C	2011/08/29	2011/08/31
63817	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 I	2011/08/29	2011/08/31
67445	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11E	2011/08/29	2011/08/31
65787	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 E	2011/08/29	2011/08/31
67509	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11J	2011/08/29	2011/08/31
66539	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 N	2011/08/29	2011/08/31
63906	UBS AG – HSI R Bear CBBC Aug 2011 R	2011/08/29	2011/08/31
63813	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 E	2011/08/29	2011/08/31
63814	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 F	2011/08/29	2011/08/31
65626	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 C	2011/08/29	2011/08/31
63815	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 G	2011/08/29	2011/08/31
64013	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 L	2011/08/29	2011/08/31
65625	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 B	2011/08/29	2011/08/31
64010	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 K	2011/08/29	2011/08/31
63818	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 J	2011/08/29	2011/08/31
63819	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 K	2011/08/29	2011/08/31
65114	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 A	2011/08/29	2011/08/31
63820	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 L	2011/08/29	2011/08/31
63822	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 M	2011/08/29	2011/08/31
63823	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 N	2011/08/29	2011/08/31
63824	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 O	2011/08/29	2011/08/31
63825	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 P	2011/08/29	2011/08/31
63826	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 Q	2011/08/29	2011/08/31
63816	J P Morgan SP BV – HSI R Bear CBBC Aug 2011 H	2011/08/29	2011/08/31
64034	UBS AG – HSI R Bear CBBC Aug 2011 Q	2011/08/29	2011/08/31
63831	Credit Suisse AG – HSI R Bear CBBC Aug 2011 V	2011/08/29	2011/08/31
64076	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 P	2011/08/29	2011/08/31
64075	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 O	2011/08/29	2011/08/31
64074	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 N	2011/08/29	2011/08/31
63773	RB of Scotland N.V. – HSCEI R Bear CBBC Aug 2011 B	2011/08/29	2011/08/31
63777	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 T	2011/08/29	2011/08/31
64127	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 Q	2011/08/29	2011/08/31
64054	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11B	2011/08/29	2011/08/31
64130	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 R	2011/08/29	2011/08/31
63151	Deutsche Bank AG – China Life R Bear CBBC Aug 2011 E	2011/08/29	2011/08/31
64067	UBS AG – HSI R Bear CBBC Aug 2011 L	2011/08/29	2011/08/31
64023	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 M	2011/08/29	2011/08/31
63778	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 D	2011/08/29	2011/08/31
64216	Credit Suisse AG – HSI R Bear CBBC Aug 2011 W	2011/08/29	2011/08/31
63772	RB of Scotland N.V. – HSCEI R Bear CBBC Aug 2011 A	2011/08/29	2011/08/31
63756	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2011 L	2011/08/29	2011/08/31
67443	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11C	2011/08/29	2011/08/31
67444	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11D	2011/08/29	2011/08/31
64217	Credit Suisse AG – HSI R Bear CBBC Aug 2011 X	2011/08/29	2011/08/31
64053	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Aug11A	2011/08/29	2011/08/31
66314	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 S	2011/08/30	2011/08/31
66313	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12M	2011/08/30	2011/08/31
66309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 E	2011/08/30	2011/08/31
66307	Credit Suisse AG – HSI R Bear CBBC Nov 2011 P	2011/08/30	2011/08/31
66296	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb12 K	2011/08/30	2011/08/31
66232	Credit Suisse AG – HSI R Bear CBBC Nov 2011 B	2011/08/30	2011/08/31
66310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 F	2011/08/30	2011/08/31
66316	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	2011/08/30	2011/08/31
66370	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 L	2011/08/30	2011/08/31
66227	Credit Suisse AG – HSI R Bear CBBC Nov 2011 A	2011/08/30	2011/08/31
66343	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 N	2011/08/30	2011/08/31
66344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 O	2011/08/30	2011/08/31
66389	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12P	2011/08/30	2011/08/31
66390	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12Q	2011/08/30	2011/08/31
66391	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12R	2011/08/30	2011/08/31
66392	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12S	2011/08/30	2011/08/31
66393	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12T	2011/08/30	2011/08/31
66400	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	2011/08/30	2011/08/31
66402	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	2011/08/30	2011/08/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66329	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 V	2011/08/30	2011/08/31
66306	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Q	2011/08/31	2011/09/01
66156	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 G	2011/08/31	2011/09/01
66244	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	2011/08/31	2011/09/01
66298	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb12 L	2011/08/31	2011/09/01
66305	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 J	2011/08/31	2011/09/01
66394	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12U	2011/08/31	2011/09/01
66311	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 G	2011/08/31	2011/09/01
66312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 H	2011/08/31	2011/09/01
66330	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 W	2011/08/31	2011/09/01
66143	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 G	2011/08/31	2011/09/01
66176	HK Bank – HSI R Bear CBBC Mar 2012 B	2011/09/01	2011/09/02
65693	UBS AG – HSI R Bear CBBC Jan 2012 Q	2011/09/01	2011/09/02
65981	UBS AG – HSI R Bear CBBC Mar 2012 F	2011/09/01	2011/09/02
65671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	2011/09/01	2011/09/02
65726	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Z	2011/09/01	2011/09/02
65727	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	2011/09/01	2011/09/02
63645	Credit Suisse AG – China Mobile R Bear CBBC Sep 2011 B	2011/09/01	2011/09/02
65984	UBS AG – HSI R Bear CBBC Mar 2012 G	2011/09/01	2011/09/02
65737	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12A	2011/09/01	2011/09/02
66302	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 M	2011/09/01	2011/09/02
65740	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12B	2011/09/01	2011/09/02
65899	Credit Suisse AG – HSI R Bear CBBC Nov 2011 J	2011/09/01	2011/09/02
66396	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12W	2011/09/01	2011/09/02
66144	CC Rabobank B.A. – HSI R Bear CBBC Feb 2012 H	2011/09/01	2011/09/02
65850	UBS AG – HSI R Bear CBBC Jan 2012 X	2011/09/01	2011/09/02
66069	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 S	2011/09/01	2011/09/02
66089	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	2011/09/01	2011/09/02
66332	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 R	2011/09/01	2011/09/02
66119	Credit Suisse AG – AIA R Bear CBBC Dec 2011 B	2011/09/01	2011/09/02
65975	Credit Suisse AG – TCH R Bear CBBC Nov 2011 I	2011/09/01	2011/09/02
66395	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12V	2011/09/01	2011/09/02
65784	Credit Suisse AG – HSI R Bear CBBC Nov 2011 S	2011/09/01	2011/09/02
65858	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	2011/09/01	2011/09/02
65633	Credit Suisse AG – HSI R Bear CBBC Nov 2011 E	2011/09/01	2011/09/02
66440	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	2011/09/02	2011/09/05
63066	UBS AG – HSBC R Bear CBBC Sep 2011 B	2011/09/02	2011/09/06
63507	UBS AG – China Life R Bear CBBC Sep 2011 C	2011/09/02	2011/09/06
63270	UBS AG – China Life R Bear CBBC Sep 2011 B	2011/09/02	2011/09/06
63117	UBS AG – TCH R Bear CBBC Sep 2011 B	2011/09/02	2011/09/06
66092	Credit Suisse AG – ABC R Bull CBBC Mar 2012 A	2011/09/05	2011/09/06
66427	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12I	2011/09/05	2011/09/06
66429	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Q	2011/09/05	2011/09/06
66423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 R	2011/09/05	2011/09/06
66420	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Q	2011/09/05	2011/09/06
66404	Credit Suisse AG – HSI R Bull CBBC Feb 2012 B	2011/09/05	2011/09/06
66428	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12P	2011/09/05	2011/09/06
66441	Credit Suisse AG – HSI R Bull CBBC Mar 2012 W	2011/09/05	2011/09/06
66403	Credit Suisse AG – HSI R Bull CBBC Jan 2012 K	2011/09/05	2011/09/06
66388	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12G	2011/09/06	2011/09/07
66399	Credit Suisse AG – HSI R Bull CBBC Mar 2012 U	2011/09/06	2011/09/07
66299	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 F	2011/09/06	2011/09/07
66481	Credit Suisse AG – HSI R Bull CBBC Mar 2012 X	2011/09/06	2011/09/07
66482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 S	2011/09/06	2011/09/07
66483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 T	2011/09/06	2011/09/07
66472	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12W	2011/09/06	2011/09/07
66166	Credit Suisse AG – HSBC R Bull CBBC Dec 2011 B	2011/09/06	2011/09/07
66477	Credit Suisse AG – HSI R Bull CBBC Jan 2012 I	2011/09/06	2011/09/07
66387	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12F	2011/09/06	2011/09/07
66351	Credit Suisse AG – HSI R Bull CBBC Feb 2012 A	2011/09/06	2011/09/07
66319	Credit Suisse AG – HSI R Bull CBBC Feb 2012 G	2011/09/06	2011/09/07
66322	Credit Suisse AG – HKEx R Bull CBBC Jan 2012 B	2011/09/06	2011/09/07
66186	RB of Scotland N.V. – Anhui Conch R Bull CBBC Jun 2012 A	2011/09/06	2011/09/07
66484	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 U	2011/09/06	2011/09/07
66425	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12H	2011/09/06	2011/09/07
66256	Credit Suisse AG – HSI R Bull CBBC May 2012 E	2011/09/06	2011/09/07
66465	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	2011/09/06	2011/09/07
66468	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12R	2011/09/06	2011/09/07
66478	Credit Suisse AG – HSI R Bull CBBC Feb 2012 C	2011/09/06	2011/09/07
66448	Credit Suisse AG – Hutchison R Bull CBBC Dec 2011 D	2011/09/06	2011/09/07
66263	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 E	2011/09/06	2011/09/07
66463	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 I	2011/09/06	2011/09/07
66234	Credit Suisse AG – HSI R Bull CBBC Feb 2012 X	2011/09/06	2011/09/07
66469	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12S	2011/09/06	2011/09/07
66470	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12U	2011/09/06	2011/09/07
66250	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Z	2011/09/06	2011/09/07
66410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 P	2011/09/06	2011/09/07
66409	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	2011/09/06	2011/09/07
66408	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	2011/09/06	2011/09/07
66471	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12V	2011/09/06	2011/09/07
66491	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	2011/09/07	2011/09/08
66462	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	2011/09/07	2011/09/08
66499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 V	2011/09/07	2011/09/08
65103	UBS AG – China Mobile R Bear CBBC Jan 2012 A	2011/09/07	2011/09/08
66500	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 W	2011/09/07	2011/09/08
66498	Credit Suisse AG – HSI R Bull CBBC Jan 2012 J	2011/09/07	2011/09/08
66495	Credit Suisse AG – HSI R Bull CBBC Feb 2012 E	2011/09/07	2011/09/08



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66488	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 B	2011/09/07	2011/09/08
66446	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12H	2011/09/07	2011/09/08
66473	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	2011/09/07	2011/09/08
66496	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	2011/09/07	2011/09/08
66503	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12C	2011/09/08	2011/09/09
66525	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Z	2011/09/08	2011/09/09
66502	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12X	2011/09/08	2011/09/09
66504	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Y	2011/09/08	2011/09/09
66506	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Z	2011/09/08	2011/09/09
66507	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12B	2011/09/08	2011/09/09
66514	Credit Suisse AG – HSI R Bull CBBC Jan 2012 L	2011/09/08	2011/09/09
66520	Credit Suisse AG – HSI R Bull CBBC Apr 2012 M	2011/09/08	2011/09/09
66524	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Y	2011/09/08	2011/09/09
66523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 X	2011/09/08	2011/09/09
66515	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Z	2011/09/08	2011/09/09
63664	UBS AG – CNOOC R Bear CBBC Sep 2011 A	2011/09/09	2011/09/14
66546	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 F	2011/09/09	2011/09/12
68522	UBS AG – SHK Ppt R Bear CBBC Sep 2011 A	2011/09/09	2011/09/14
66547	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 G	2011/09/09	2011/09/12
63267	UBS AG – A50 China R Bear CBBC Sep 2011 C	2011/09/09	2011/09/14
66533	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 B	2011/09/09	2011/09/12
66544	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 E	2011/09/09	2011/09/12
66386	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12E	2011/09/12	2011/09/14
66570	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12D	2011/09/12	2011/09/14
66580	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12I	2011/09/12	2011/09/14
66595	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	2011/09/12	2011/09/14
66578	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	2011/09/12	2011/09/14
66059	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Nov 2011 P	2011/09/12	2011/09/14
66152	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 C	2011/09/12	2011/09/14
66398	Credit Suisse AG – HSI R Bull CBBC Jan 2012 H	2011/09/12	2011/09/14
66439	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 V	2011/09/12	2011/09/14
66401	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	2011/09/12	2011/09/14
66293	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan12 A	2011/09/12	2011/09/14
66541	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 C	2011/09/12	2011/09/14
66406	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	2011/09/12	2011/09/14
66260	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2012 D	2011/09/12	2011/09/14
66407	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	2011/09/12	2011/09/14
66660	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	2011/09/12	2011/09/14
66600	Credit Suisse AG – ABC R Bull CBBC May 2012 A	2011/09/12	2011/09/14
65890	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12H	2011/09/12	2011/09/14
66438	Credit Suisse AG – HSI R Bull CBBC Mar 2012 V	2011/09/12	2011/09/14
66490	HK Bank – ABC R Bull CBBC Aug 2012 A	2011/09/12	2011/09/14
66168	Credit Suisse AG – A50 China R Bull CBBC Dec 2011 A	2011/09/12	2011/09/14
66522	Credit Suisse AG – AIA R Bull CBBC Jan 2012 A	2011/09/12	2011/09/14
66521	Credit Suisse AG – TCH R Bull CBBC Jan 2012 E	2011/09/12	2011/09/14
66136	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 C	2011/09/12	2011/09/14
66012	UBS AG – HSI R Bull CBBC Dec 2011 K	2011/09/12	2011/09/14
66501	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 E	2011/09/12	2011/09/14
65370	RB of Scotland N.V. – CNBM R Bull CBBC Jul 2012 A	2011/09/12	2011/09/14
65928	Credit Suisse AG – HSI R Bull CBBC Feb 2012 R	2011/09/12	2011/09/14
66486	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 A	2011/09/12	2011/09/14
66543	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 D	2011/09/12	2011/09/14
66489	HK Bank – Hutchison R Bull CBBC Jun 2012 B	2011/09/12	2011/09/14
66275	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Mar12 C	2011/09/12	2011/09/14
66530	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 A	2011/09/12	2011/09/14
66369	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 K	2011/09/12	2011/09/14
66664	Credit Suisse AG – HSI R Bull CBBC Mar 2012 D	2011/09/14	2011/09/15
65655	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	2011/09/14	2011/09/15
66209	HK Bank – CNOOC R Bull CBBC Jun 2012 C	2011/09/14	2011/09/15
65772	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	2011/09/14	2011/09/15
66494	Credit Suisse AG – CNOOC R Bull CBBC Jan 2012 A	2011/09/14	2011/09/15
64915	UBS AG – AIA R Bull CBBC Dec 2011 A	2011/09/14	2011/09/15
66437	Credit Suisse AG – HSBC R Bull CBBC Jan 2012 A	2011/09/14	2011/09/15
65781	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12G	2011/09/14	2011/09/15
65771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 S	2011/09/14	2011/09/15
65869	HK Bank – A50 R Bull CBBC Aug 2012 A	2011/09/14	2011/09/15
64988	UBS AG – Cheung Kong R Bull CBBC Dec 2011 B	2011/09/14	2011/09/15
66587	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 M	2011/09/14	2011/09/15
65774	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	2011/09/14	2011/09/15
65859	UBS AG – CNOOC R Bull CBBC Feb 2012 B	2011/09/14	2011/09/15
66846	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 E	2011/09/14	2011/09/15
65936	Credit Suisse AG – HSI R Bull CBBC Feb 2012 S	2011/09/14	2011/09/15
66139	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 C	2011/09/14	2011/09/15
65831	UBS AG – HSI R Bull CBBC Mar 2012 E	2011/09/14	2011/09/15
66044	UBS AG – Hutchison R Bull CBBC Feb 2012 C	2011/09/14	2011/09/15
66010	UBS AG – HSI R Bull CBBC Feb 2012 I	2011/09/14	2011/09/15
64366	UBS AG – COVS R Bull CBBC Nov 2011 A	2011/09/14	2011/09/15
66383	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12R	2011/09/14	2011/09/15
66384	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12S	2011/09/14	2011/09/15
66845	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 G	2011/09/14	2011/09/15
65917	Credit Suisse AG – HSI R Bull CBBC Jan 2012 X	2011/09/14	2011/09/15
65640	Credit Suisse AG – HSI R Bull CBBC Feb 2012 K	2011/09/14	2011/09/15
66096	Credit Suisse AG – AIA R Bull CBBC Dec 2011 A	2011/09/14	2011/09/15
66665	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	2011/09/14	2011/09/15
66009	UBS AG – HSI R Bull CBBC Mar 2012 K	2011/09/14	2011/09/15
66629	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12G	2011/09/14	2011/09/15
66627	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12Q	2011/09/14	2011/09/15

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66625	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12P	2011/09/14	2011/09/15
66668	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12F	2011/09/14	2011/09/15
66291	SGA Societe Generale Acceptance N.V.-HWL R Bull CBBC Mar12 B	2011/09/14	2011/09/15
66666	Credit Suisse AG – HSI R Bull CBBC Mar 2012 B	2011/09/14	2011/09/15
65650	Credit Suisse AG – HSI R Bull CBBC Mar 2012 H	2011/09/14	2011/09/15
63324	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 A	2011/09/14	2011/09/15
66147	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2012 B	2011/09/14	2011/09/15
66243	HK Bank – HSI R Bull CBBC May 2012 D	2011/09/14	2011/09/15
66603	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 D	2011/09/14	2011/09/15
66673	Credit Suisse AG – HSI R Bull CBBC Mar 2012 C	2011/09/15	2011/09/16
66672	Credit Suisse AG – HSI R Bull CBBC Feb 2012 F	2011/09/15	2011/09/16
66670	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12H	2011/09/15	2011/09/16
66697	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 E	2011/09/15	2011/09/16
66703	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 F	2011/09/15	2011/09/16
66704	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 G	2011/09/15	2011/09/16
66690	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 C	2011/09/15	2011/09/16
66686	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 B	2011/09/15	2011/09/16
66696	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 D	2011/09/15	2011/09/16
66678	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 A	2011/09/15	2011/09/16
66677	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 T	2011/09/15	2011/09/16
66675	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	2011/09/15	2011/09/16
66674	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12R	2011/09/15	2011/09/16
66706	Credit Suisse AG – HSI R Bull CBBC May 2012 F	2011/09/16	2011/09/19
67833	Deutsche Bank AG – DJIA R Bear CBBC Sep 2011 E	2011/09/16	2011/09/20
66656	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12B	2011/09/16	2011/09/19
66631	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 J	2011/09/16	2011/09/19
66637	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 B	2011/09/16	2011/09/19
63427	UBS AG – China Mobile R Bull CBBC Sep 2011 A	2011/09/16	2011/09/20
66655	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12A	2011/09/16	2011/09/19
66657	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	2011/09/16	2011/09/19
66709	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 12S	2011/09/19	2011/09/20
67811	HK Bank – TCH R Bull CBBC Sep 2011 A	2011/09/19	2011/09/21
66712	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 L	2011/09/19	2011/09/20
66775	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	2011/09/20	2011/09/21
65372	RB of Scotland N.V. – GCL-Poly R Bull CBBC Jun 2012 A	2011/09/20	2011/09/21
66774	Credit Suisse AG – HSI R Bull CBBC Mar 2012 P	2011/09/20	2011/09/21
66778	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	2011/09/20	2011/09/21
66039	UBS AG – ICBC R Bull CBBC Feb 2012 A	2011/09/20	2011/09/21
66738	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12T	2011/09/20	2011/09/21
66820	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	2011/09/21	2011/09/22
63621	Credit Suisse AG – TCH R Bull CBBC Sep 2011 A	2011/09/21	2011/09/22
66822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 I	2011/09/21	2011/09/22
66821	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 H	2011/09/21	2011/09/22
66823	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	2011/09/21	2011/09/22
66818	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	2011/09/21	2011/09/22
66812	Credit Suisse AG – HSI R Bear CBBC Jan 2012 P	2011/09/21	2011/09/22
66810	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	2011/09/21	2011/09/22
66800	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 D	2011/09/21	2011/09/22
66713	HK Bank – TCH R Bull CBBC Jun 2012 A	2011/09/21	2011/09/22
66461	Credit Suisse AG – TCH R Bull CBBC Jan 2012 D	2011/09/21	2011/09/22
66033	UBS AG – CNOOC R Bull CBBC Mar 2012 A	2011/09/22	2011/09/23
65371	RB of Scotland N.V. – Evergrande R Bull CBBC Jun 2012 A	2011/09/22	2011/09/23
65777	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12F	2011/09/22	2011/09/23
65926	Credit Suisse AG – HSI R Bull CBBC Feb 2012 Q	2011/09/22	2011/09/23
65949	Credit Suisse AG – HSI R Bull CBBC Mar 2012 N	2011/09/22	2011/09/23
65962	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	2011/09/22	2011/09/23
66008	UBS AG – HSI R Bull CBBC Apr 2012 G	2011/09/22	2011/09/23
66007	UBS AG – HSI R Bull CBBC Apr 2012 F	2011/09/22	2011/09/23
64273	RB of Scotland N.V. – Jiangxi Copper R Bull CBBC Jan 2012 A	2011/09/22	2011/09/23
66004	UBS AG – HSI R Bull CBBC Mar 2012 I	2011/09/22	2011/09/23
66835	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 D	2011/09/22	2011/09/23
65972	Credit Suisse AG – HKEx R Bull CBBC Dec 2011 A	2011/09/22	2011/09/23
64918	UBS AG – TCH R Bull CBBC Dec 2011 A	2011/09/22	2011/09/23
66005	UBS AG – HSI R Bull CBBC Mar 2012 J	2011/09/22	2011/09/23
64469	UBS AG – HSI R Bull CBBC Dec 2011 B	2011/09/22	2011/09/23
65642	Credit Suisse AG – HSI R Bull CBBC Mar 2012 E	2011/09/22	2011/09/23
66062	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Dec 2011 L	2011/09/22	2011/09/23
66064	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 D	2011/09/22	2011/09/23
66836	Credit Suisse AG – HSI R Bull CBBC Mar 2012 O	2011/09/22	2011/09/23
66833	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 L	2011/09/22	2011/09/23
66832	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 K	2011/09/22	2011/09/23
66826	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12W	2011/09/22	2011/09/23
65884	HK Bank – HSI R Bull CBBC Jul 2012 A	2011/09/22	2011/09/23
65776	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12E	2011/09/22	2011/09/23
66825	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12V	2011/09/22	2011/09/23
66824	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12U	2011/09/22	2011/09/23
66644	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 G	2011/09/22	2011/09/23
66808	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2012 C	2011/09/22	2011/09/23
66194	HK Bank – ICBC R Bull CBBC Jun 2012 C	2011/09/22	2011/09/23
65654	Credit Suisse AG – HSI R Bull CBBC Apr 2012 D	2011/09/22	2011/09/23
65764	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 N	2011/09/22	2011/09/23
65763	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 M	2011/09/22	2011/09/23
66140	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 D	2011/09/22	2011/09/23
65762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	2011/09/22	2011/09/23
65653	Credit Suisse AG – HSI R Bull CBBC Apr 2012 C	2011/09/22	2011/09/23
65736	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12C	2011/09/22	2011/09/23
66375	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12P	2011/09/22	2011/09/23

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66382	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12Q	2011/09/22	2011/09/23
66464	SGA Societe Generale Acceptance N.V.- TCH R Bull CBBC Mar12A	2011/09/22	2011/09/23
65766	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 O	2011/09/22	2011/09/23
66148	J P Morgan SP BV – HSI R Bull CBBC Dec 2011 A	2011/09/22	2011/09/23
64955	Credit Suisse AG – HSI R Bull CBBC Mar 2012 A	2011/09/22	2011/09/23
65810	Credit Suisse AG – HSI R Bull CBBC Apr 2012 I	2011/09/22	2011/09/23
65649	Credit Suisse AG – HSI R Bull CBBC Mar 2012 G	2011/09/22	2011/09/23
65643	Credit Suisse AG – HSI R Bull CBBC Mar 2012 F	2011/09/22	2011/09/23
65824	UBS AG – HSI R Bull CBBC Feb 2012 D	2011/09/22	2011/09/23
63438	UBS AG – HSI R Bull CBBC Oct 2011 B	2011/09/22	2011/09/23
63437	UBS AG – HSI R Bull CBBC Oct 2011 A	2011/09/22	2011/09/23
66289	SGA Societe Generale Acceptance N.V.-HWL R Bull CBBC Mar12 A	2011/09/22	2011/09/23
64990	UBS AG – HKEx R Bull CBBC Dec 2011 A	2011/09/22	2011/09/23
65652	Credit Suisse AG – HSI R Bull CBBC Apr 2012 B	2011/09/22	2011/09/23
66135	RB of Scotland N.V. – HSI R Bull CBBC Jan 2012 B	2011/09/22	2011/09/23
66149	J P Morgan SP BV – HSI R Bull CBBC Jan 2012 A	2011/09/22	2011/09/23
66199	HK Bank – HSI R Bull CBBC Jun 2012 B	2011/09/22	2011/09/23
66324	Credit Suisse AG – TCH R Bull CBBC Jan 2012 A	2011/09/22	2011/09/23
66315	Deutsche Bank AG – HSI R Bull CBBC Nov 2011 N	2011/09/22	2011/09/23
66368	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/09/22	2011/09/23
66609	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 F	2011/09/22	2011/09/23
66372	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12N	2011/09/22	2011/09/23
66648	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 S	2011/09/22	2011/09/23
66602	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 C	2011/09/22	2011/09/23
66141	CC Rabobank B.A. – HSI R Bull CBBC Feb 2012 E	2011/09/22	2011/09/23
66204	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Q	2011/09/22	2011/09/23
65775	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12D	2011/09/22	2011/09/23
66373	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12O	2011/09/22	2011/09/23
65767	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 P	2011/09/22	2011/09/23
65769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 R	2011/09/22	2011/09/23
65768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Q	2011/09/22	2011/09/23
66131	RB of Scotland N.V. – HSCEI R Bull CBBC Jan 2012 A	2011/09/23	2011/09/26
66366	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	2011/09/23	2011/09/26
65913	Credit Suisse AG – HSI R Bull CBBC Jan 2012 U	2011/09/23	2011/09/26
65860	UBS AG – CC Bank R Bull CBBC Feb 2012 A	2011/09/23	2011/09/26
65651	Credit Suisse AG – HSI R Bull CBBC Apr 2012 A	2011/09/23	2011/09/26
66354	Credit Suisse AG – TCH R Bull CBBC Jan 2012 C	2011/09/23	2011/09/26
66367	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	2011/09/23	2011/09/26
65954	Credit Suisse AG – HSI R Bull CBBC Apr 2012 L	2011/09/23	2011/09/26
65961	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	2011/09/23	2011/09/26
64993	UBS AG – SHK Ppt R Bull CBBC Dec 2011 A	2011/09/23	2011/09/26
63933	UBS AG – ICBC R Bear CBBC Sep 2011 A	2011/09/23	2011/09/27
66371	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12M	2011/09/23	2011/09/26
66654	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12I	2011/09/23	2011/09/26
64498	Credit Suisse AG – A50 China R Bear CBBC Sep 2011 D	2011/09/23	2011/09/27
63785	UBS AG – Ping An R Bear CBBC Sep 2011 B	2011/09/23	2011/09/27
65925	Credit Suisse AG – HSI R Bull CBBC Feb 2012 P	2011/09/23	2011/09/26
63194	UBS AG – TCH R Bear CBBC Sep 2011 C	2011/09/23	2011/09/27
63573	SGA Societe Generale Acceptance N.V.-HSBC R Bear CBBC Sep11A	2011/09/23	2011/09/27
64264	Credit Suisse AG – A50 China R Bear CBBC Sep 2011 C	2011/09/23	2011/09/27
63779	Credit Suisse AG – A50 China R Bear CBBC Sep 2011 B	2011/09/23	2011/09/27
65948	Credit Suisse AG – HSI R Bull CBBC Mar 2012 M	2011/09/23	2011/09/26
64462	UBS AG – HSI R Bull CBBC Nov 2011 F	2011/09/23	2011/09/26
66881	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	2011/09/23	2011/09/26
65947	Credit Suisse AG – HSI R Bull CBBC Mar 2012 L	2011/09/23	2011/09/26
66192	HK Bank – SHK Ppt R Bull CBBC Apr 2012 B	2011/09/23	2011/09/26
64258	Credit Suisse AG – HKEx R Bear CBBC Sep 2011 A	2011/09/23	2011/09/27
64262	Credit Suisse AG – TCH R Bear CBBC Sep 2011 E	2011/09/23	2011/09/27
63723	UBS AG – HKEx R Bear CBBC Sep 2011 C	2011/09/23	2011/09/27
65966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 W	2011/09/23	2011/09/26
66880	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	2011/09/23	2011/09/26
66242	HK Bank – PetCh R Bull CBBC Jun 2012 A	2011/09/23	2011/09/26
66091	Credit Suisse AG – CCB R Bull CBBC Jan 2012 A	2011/09/23	2011/09/26
65729	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12A	2011/09/23	2011/09/26
65734	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12B	2011/09/23	2011/09/26
66805	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 E	2011/09/23	2011/09/26
66003	UBS AG – HSI R Bull CBBC Apr 2012 E	2011/09/23	2011/09/26
66188	RB of Scotland N.V. – Ping An R Bull CBBC Jun 2012 A	2011/09/23	2011/09/26
66724	HK Bank – Cheung Kong R Bull CBBC Jun 2012 C	2011/09/23	2011/09/26
65720	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Apr 2012 A	2011/09/23	2011/09/26
66608	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 E	2011/09/23	2011/09/26
66618	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 C	2011/09/23	2011/09/26
66179	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 G	2011/09/23	2011/09/26
65862	UBS AG – HSBC R Bull CBBC Feb 2012 A	2011/09/23	2011/09/26
63662	UBS AG – ABC R Bear CBBC Sep 2011 C	2011/09/23	2011/09/27
65809	Credit Suisse AG – HSI R Bull CBBC Apr 2012 H	2011/09/23	2011/09/26
66652	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12H	2011/09/23	2011/09/26
66758	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 C	2011/09/23	2011/09/26
66856	HK Bank – HSI R Bull CBBC Jun 2012 C	2011/09/23	2011/09/26
66879	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	2011/09/23	2011/09/26
66878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	2011/09/23	2011/09/26
66875	Credit Suisse AG – HSI R Bull CBBC May 2012 G	2011/09/23	2011/09/26
66874	Credit Suisse AG – HSI R Bull CBBC Mar 2012 T	2011/09/23	2011/09/26
66872	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Q	2011/09/23	2011/09/26
65721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 K	2011/09/23	2011/09/26
66868	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 W	2011/09/23	2011/09/26
63583	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 H	2011/09/23	2011/09/26

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66011	UBS AG – HSCEI R Bull CBBC Feb 2012 A	2011/09/23	2011/09/26
65870	HK Bank – A50 R Bull CBBC Jun 2012 C	2011/09/23	2011/09/26
66839	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 I	2011/09/23	2011/09/26
66365	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	2011/09/23	2011/09/26
63640	Credit Suisse AG – China Mobile R Bull CBBC Sep 2011 A	2011/09/23	2011/09/27
66840	HK Bank – CNOOC R Bull CBBC Apr 2012 A	2011/09/23	2011/09/26
66869	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 H	2011/09/23	2011/09/26
63570	SGA Societe Generale Acceptance N.V.-CMob R Bull CBBC Sep11A	2011/09/23	2011/09/27
63883	Deutsche Bank AG – China Life R Bear CBBC Sep 2011 F	2011/09/26	2011/09/28
66323	Credit Suisse AG – A50 China R Bull CBBC Jan 2012 A	2011/09/26	2011/09/27
65818	UBS AG – HSI R Bull CBBC May 2012 A	2011/09/26	2011/09/27
66364	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	2011/09/26	2011/09/27
66363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	2011/09/26	2011/09/27
66888	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12Y	2011/09/26	2011/09/27
66001	UBS AG – HSI R Bull CBBC Apr 2012 D	2011/09/26	2011/09/27
65964	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 V	2011/09/26	2011/09/27
66725	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 G	2011/09/26	2011/09/27
66897	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12J	2011/09/26	2011/09/27
65883	HK Bank – HSI R Bull CBBC Aug 2012 A	2011/09/26	2011/09/27
65867	UBS AG – A50 China R Bull CBBC Feb 2012 B	2011/09/26	2011/09/27
64868	UBS AG – HSI R Bull CBBC Dec 2011 F	2011/09/26	2011/09/27
65806	Credit Suisse AG – HSI R Bull CBBC Mar 2012 I	2011/09/26	2011/09/27
65889	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12B	2011/09/26	2011/09/27
66789	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 K	2011/09/26	2011/09/27
66274	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Mar12 B	2011/09/26	2011/09/27
66210	HK Bank – CC Bank R Bull CBBC Aug 2012 A	2011/09/26	2011/09/27
66203	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 P	2011/09/26	2011/09/27
63736	Deutsche Bank AG – A50 China R Bear CBBC Sep 2011 G	2011/09/26	2011/09/28
63406	Deutsche Bank AG – China Mobile R Bull CBBC Sep 2011 A	2011/09/26	2011/09/28
66650	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12F	2011/09/26	2011/09/27
66651	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12G	2011/09/26	2011/09/27
66790	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 L	2011/09/26	2011/09/27
66193	HK Bank – HKEx R Bull CBBC May 2012 A	2011/09/26	2011/09/27
66035	UBS AG – HKEx R Bull CBBC Feb 2012 A	2011/09/26	2011/09/27
65924	Credit Suisse AG – HSI R Bull CBBC Feb 2012 O	2011/09/26	2011/09/27
65923	Credit Suisse AG – HSI R Bull CBBC Feb 2012 N	2011/09/26	2011/09/27
65808	Credit Suisse AG – HSI R Bull CBBC Apr 2012 G	2011/09/26	2011/09/27
65807	Credit Suisse AG – HSI R Bull CBBC Apr 2012 F	2011/09/26	2011/09/27
66065	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 A	2011/09/26	2011/09/27
66032	UBS AG – CC Bank R Bull CBBC Feb 2012 B	2011/09/26	2011/09/27
66902	Credit Suisse AG – HSI R Bull CBBC Apr 2012 V	2011/09/26	2011/09/27
66898	Macquarie Bank Ltd. – HSCEI R Bull CBBC Mar 2012 A	2011/09/26	2011/09/27
66649	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12E	2011/09/26	2011/09/27
66889	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12Z	2011/09/26	2011/09/27
66882	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12X	2011/09/26	2011/09/27
67815	HK Bank – HKEx R Bear CBBC Sep 2011 A	2011/09/26	2011/09/28
67334	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 I	2011/09/28	2011/09/30
67333	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 H	2011/09/28	2011/09/30
64622	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Q	2011/09/28	2011/09/30
64650	UBS AG – HSI R Bear CBBC Sep 2011 I	2011/09/28	2011/09/30
64649	UBS AG – HSI R Bear CBBC Sep 2011 H	2011/09/28	2011/09/30
64646	Credit Suisse AG – HSI R Bear CBBC Sep 2011 W	2011/09/28	2011/09/30
64645	Credit Suisse AG – HSI R Bear CBBC Sep 2011 D	2011/09/28	2011/09/30
64642	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11F	2011/09/28	2011/09/30
64641	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Z	2011/09/28	2011/09/30
64332	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 A	2011/09/28	2011/09/30
64637	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11R	2011/09/28	2011/09/30
64657	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 M	2011/09/28	2011/09/30
64597	Credit Suisse AG – HSI R Bear CBBC Sep 2011 Z	2011/09/28	2011/09/30
64596	Credit Suisse AG – HSI R Bear CBBC Sep 2011 H	2011/09/28	2011/09/30
64580	Credit Suisse AG – HSI R Bear CBBC Sep 2011 M	2011/09/28	2011/09/30
64576	Credit Suisse AG – HSI R Bear CBBC Sep 2011 L	2011/09/28	2011/09/30
64569	UBS AG – HSI R Bear CBBC Sep 2011 A	2011/09/28	2011/09/30
64334	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 C	2011/09/28	2011/09/30
64333	J P Morgan SP BV – HSI R Bear CBBC Sep 2011 B	2011/09/28	2011/09/30
64638	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11Y	2011/09/28	2011/09/30
64671	Credit Suisse AG – HSI R Bear CBBC Sep 2011 I	2011/09/28	2011/09/30
66944	Credit Suisse AG – HSI R Bull CBBC Apr 2012 X	2011/09/28	2011/09/29
66927	Credit Suisse AG – HSI R Bull CBBC Apr 2012 W	2011/09/28	2011/09/29
67668	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 L	2011/09/28	2011/09/30
64653	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11G	2011/09/28	2011/09/30
64752	UBS AG – HSI R Bear CBBC Sep 2011 P	2011/09/28	2011/09/30
64742	Credit Suisse AG – HSI R Bear CBBC Sep 2011 N	2011/09/28	2011/09/30
64708	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 T	2011/09/28	2011/09/30
64656	Credit Suisse AG – HSI R Bear CBBC Sep 2011 X	2011/09/28	2011/09/30
64676	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11H	2011/09/28	2011/09/30
63840	UBS AG – HSI R Bear CBBC Sep 2011 N	2011/09/28	2011/09/30
64670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 Y	2011/09/28	2011/09/30
64669	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 Z	2011/09/28	2011/09/30
64663	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 R	2011/09/28	2011/09/30
64662	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 Q	2011/09/28	2011/09/30
64661	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 P	2011/09/28	2011/09/30
64660	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 L	2011/09/28	2011/09/30
64696	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11V	2011/09/28	2011/09/30
64677	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11O	2011/09/28	2011/09/30
65094	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 A	2011/09/28	2011/09/30
64055	UBS AG – HSI R Bear CBBC Sep 2011 T	2011/09/28	2011/09/30

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
63996	UBS AG – HSI R Bear CBBC Sep 2011 R	2011/09/28	2011/09/30
63909	UBS AG – HSI R Bear CBBC Sep 2011 O	2011/09/28	2011/09/30
63839	UBS AG – HSI R Bear CBBC Sep 2011 M	2011/09/28	2011/09/30
65630	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 D	2011/09/28	2011/09/30
65628	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 C	2011/09/28	2011/09/30
64073	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2011 D	2011/09/28	2011/09/30
65095	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 B	2011/09/28	2011/09/30
63806	UBS AG – HSI R Bear CBBC Sep 2011 L	2011/09/28	2011/09/30
63146	Deutsche Bank AG – HSCEI R Bear CBBC Sep 2011 B	2011/09/28	2011/09/30
63143	Barclays Bank plc – HSI R Bear CBBC Sep 2011 E	2011/09/28	2011/09/30
63141	Barclays Bank plc – HSI R Bear CBBC Sep 2011 D	2011/09/28	2011/09/30
68028	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2011 A	2011/09/28	2011/09/30
64302	Credit Suisse AG – HSI R Bear CBBC Sep 2011 Q	2011/09/28	2011/09/30
64900	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 G	2011/09/28	2011/09/30
64709	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 U	2011/09/28	2011/09/30
63713	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 Y	2011/09/28	2011/09/30
64183	RB of Scotland N.V. – HSI R Bear CBBC Sep 2011 A	2011/09/28	2011/09/30
64094	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11M	2011/09/28	2011/09/30
64099	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11N	2011/09/28	2011/09/30
64115	UBS AG – HSI R Bear CBBC Sep 2011 V	2011/09/28	2011/09/30
63770	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 S	2011/09/28	2011/09/30
64250	Credit Suisse AG – HSI R Bear CBBC Sep 2011 K	2011/09/28	2011/09/30
64093	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Sep11L	2011/09/28	2011/09/30
64212	RB of Scotland N.V. – HSI R Bear CBBC Sep 2011 C	2011/09/28	2011/09/30
64251	Credit Suisse AG – HSI R Bear CBBC Sep 2011 O	2011/09/28	2011/09/30
64213	RB of Scotland N.V. – HSI R Bear CBBC Sep 2011 D	2011/09/28	2011/09/30
64185	RB of Scotland N.V. – HSI R Bear CBBC Sep 2011 B	2011/09/28	2011/09/30
66958	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12K	2011/09/30	2011/10/03
67178	Credit Suisse AG – HSI R Bear CBBC Jan 2012 B	2011/09/30	2011/10/03
67182	Credit Suisse AG – HSI R Bull CBBC Feb 2012 M	2011/09/30	2011/10/03
67197	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	2011/09/30	2011/10/03
67198	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	2011/09/30	2011/10/03
67126	UBS AG – HSI R Bull CBBC Dec 2011 G	2011/09/30	2011/10/03
67125	UBS AG – HSI R Bull CBBC Feb 2012 N	2011/09/30	2011/10/03
67008	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12T	2011/09/30	2011/10/03
67285	UBS AG – HSI R Bull CBBC Jan 2012 A	2011/09/30	2011/10/03
67322	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 P	2011/09/30	2011/10/03
67183	Credit Suisse AG – HSI R Bull CBBC Feb 2012 V	2011/09/30	2011/10/03
66962	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12L	2011/09/30	2011/10/03
66964	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12H	2011/09/30	2011/10/03
67131	UBS AG – HSI R Bull CBBC Dec 2011 I	2011/09/30	2011/10/03
66965	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12G	2011/09/30	2011/10/03
66968	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12R	2011/09/30	2011/10/03
66969	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12S	2011/09/30	2011/10/03
67351	Credit Suisse AG – TCH R Bear CBBC Jan 2012 F	2011/09/30	2011/10/03
67159	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 L	2011/09/30	2011/10/03
67457	UBS AG – HSI R Bear CBBC Apr 2012 M	2011/09/30	2011/10/03
66984	UBS AG – HSI R Bull CBBC Mar 2012 M	2011/09/30	2011/10/03
66974	UBS AG – HSI R Bull CBBC Dec 2011 S	2011/09/30	2011/10/03
66980	UBS AG – HSI R Bull CBBC Feb 2012 L	2011/09/30	2011/10/03
66975	UBS AG – HSI R Bull CBBC Jan 2012 I	2011/09/30	2011/10/03
66963	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12I	2011/09/30	2011/10/03
67436	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	2011/09/30	2011/10/03
67021	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 A	2011/09/30	2011/10/03
67390	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Q	2011/09/30	2011/10/03
63932	UBS AG – CC Bank R Bear CBBC Oct 2011 A	2011/09/30	2011/10/04
67458	UBS AG – HSI R Bear CBBC Mar 2012 R	2011/09/30	2011/10/03
67529	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12D	2011/10/03	2011/10/04
67716	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12W	2011/10/03	2011/10/04
67709	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12V	2011/10/03	2011/10/04
67664	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	2011/10/03	2011/10/04
67640	UBS AG – HSI R Bull CBBC Apr 2012 S	2011/10/03	2011/10/04
67639	UBS AG – HSI R Bull CBBC Jan 2012 L	2011/10/03	2011/10/04
67552	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12F	2011/10/03	2011/10/04
67599	Credit Suisse AG – HSI R Bull CBBC May 2012 N	2011/10/03	2011/10/04
67537	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 A	2011/10/03	2011/10/04
67124	UBS AG – HSI R Bull CBBC Mar 2012 O	2011/10/03	2011/10/04
67123	UBS AG – HSI R Bull CBBC Feb 2012 M	2011/10/03	2011/10/04
67107	UBS AG – Hutchison R Bull CBBC Mar 2012 A	2011/10/03	2011/10/04
67097	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 X	2011/10/03	2011/10/04
67091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 W	2011/10/03	2011/10/04
67088	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 V	2011/10/03	2011/10/04
67598	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	2011/10/03	2011/10/04
67042	Credit Suisse AG – A50 China R Bull CBBC Feb 2012 A	2011/10/03	2011/10/04
67037	Credit Suisse AG – TCH R Bull CBBC Feb 2012 B	2011/10/03	2011/10/04
67553	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12N	2011/10/03	2011/10/04
67208	Credit Suisse AG – HSI R Bull CBBC May 2012 K	2011/10/03	2011/10/04
67389	Credit Suisse AG – CCB R Bull CBBC Mar 2012 A	2011/10/03	2011/10/04
67315	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 G	2011/10/03	2011/10/04
67304	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 A	2011/10/03	2011/10/04
67299	UBS AG – HSI R Bull CBBC Apr 2012 K	2011/10/03	2011/10/04
67292	UBS AG – HSI R Bull CBBC Feb 2012 P	2011/10/03	2011/10/04
67435	Credit Suisse AG – HSI R Bull CBBC Mar 2012 Y	2011/10/03	2011/10/04
67282	UBS AG – HSI R Bull CBBC Jan 2012 B	2011/10/03	2011/10/04
67271	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 M	2011/10/03	2011/10/04
67539	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 B	2011/10/03	2011/10/04
67209	Credit Suisse AG – HSI R Bull CBBC May 2012 L	2011/10/03	2011/10/04

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67452	UBS AG – HSI R Bull CBBC Apr 2012 L	2011/10/03	2011/10/04
67496	UBS AG – TCH R Bull CBBC Apr 2012 A	2011/10/03	2011/10/04
67497	UBS AG – CC Bank R Bull CBBC Apr 2012 A	2011/10/03	2011/10/04
67498	UBS AG – AIA R Bull CBBC Apr 2012 A	2011/10/03	2011/10/04
67511	UBS AG – Ping An R Bull CBBC Mar 2012 A	2011/10/03	2011/10/04
67528	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12C	2011/10/03	2011/10/04
67532	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12E	2011/10/03	2011/10/04
67367	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 C	2011/10/03	2011/10/04
67538	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 A	2011/10/03	2011/10/04
67210	Credit Suisse AG – HSI R Bull CBBC May 2012 M	2011/10/03	2011/10/04
66178	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2012 F	2011/10/03	2011/10/04
66036	UBS AG – A50 China R Bull CBBC Feb 2012 C	2011/10/03	2011/10/04
64163	HK Bank – China Shenhua R Bull CBBC Dec 2011 A	2011/10/03	2011/10/04
65953	Credit Suisse AG – HSI R Bull CBBC Apr 2012 K	2011/10/03	2011/10/04
65945	Credit Suisse AG – HSI R Bull CBBC Mar 2012 K	2011/10/03	2011/10/04
66933	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 U	2011/10/03	2011/10/04
66842	HK Bank – A50 R Bull CBBC Jul 2012 A	2011/10/03	2011/10/04
66850	HK Bank – A50 R Bull CBBC Aug 2012 B	2011/10/03	2011/10/04
65909	Credit Suisse AG – HSI R Bull CBBC Jan 2012 T	2011/10/03	2011/10/04
66807	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 F	2011/10/03	2011/10/04
66273	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Mar12 A	2011/10/03	2011/10/04
67020	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12Q	2011/10/03	2011/10/04
66735	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12K	2011/10/03	2011/10/04
65887	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12A	2011/10/04	2011/10/06
65817	UBS AG – HSI R Bull CBBC Jun 2012 A	2011/10/04	2011/10/06
67506	UBS AG – ICBC R Bull CBBC Apr 2012 A	2011/10/04	2011/10/06
65816	Credit Suisse AG – HSI R Bull CBBC May 2012 B	2011/10/04	2011/10/06
65955	Credit Suisse AG – HSI R Bull CBBC May 2012 C	2011/10/04	2011/10/06
65959	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	2011/10/04	2011/10/06
65944	Credit Suisse AG – HSI R Bull CBBC Mar 2012 J	2011/10/04	2011/10/06
65960	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	2011/10/04	2011/10/06
67314	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 F	2011/10/04	2011/10/06
66161	Credit Suisse AG – HSI R Bull CBBC Mar 2012 S	2011/10/04	2011/10/06
67451	UBS AG – HSI R Bull CBBC May 2012 G	2011/10/04	2011/10/06
67450	UBS AG – HSI R Bull CBBC May 2012 F	2011/10/04	2011/10/06
67449	UBS AG – HSI R Bull CBBC Jun 2012 C	2011/10/04	2011/10/06
66180	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 B	2011/10/04	2011/10/06
66255	Credit Suisse AG – HSI R Bull CBBC May 2012 D	2011/10/04	2011/10/06
67381	Credit Suisse AG – TCH R Bull CBBC Feb 2012 F	2011/10/04	2011/10/06
66362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	2011/10/04	2011/10/06
66734	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12J	2011/10/04	2011/10/06
66160	Credit Suisse AG – HSI R Bull CBBC Mar 2012 R	2011/10/04	2011/10/06
67771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	2011/10/04	2011/10/06
67834	Credit Suisse AG – HSI R Bull CBBC May 2012 P	2011/10/04	2011/10/06
67831	Credit Suisse AG – HSI R Bull CBBC May 2012 O	2011/10/04	2011/10/06
67830	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	2011/10/04	2011/10/06
67825	UBS AG – ABC R Bull CBBC Apr 2012 A	2011/10/04	2011/10/06
67821	UBS AG – Cheung Kong R Bull CBBC Apr 2012 A	2011/10/04	2011/10/06
67820	UBS AG – HSI R Bull CBBC Apr 2012 V	2011/10/04	2011/10/06
67817	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 K	2011/10/04	2011/10/06
67816	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 E	2011/10/04	2011/10/06
67813	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12U	2011/10/04	2011/10/06
67810	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12Q	2011/10/04	2011/10/06
67807	UBS AG – HSI R Bull CBBC Jul 2012 B	2011/10/04	2011/10/06
67791	UBS AG – HSI R Bull CBBC Jun 2012 H	2011/10/04	2011/10/06
67787	UBS AG – HSI R Bull CBBC Mar 2012 L	2011/10/04	2011/10/06
65690	UBS AG – HSI R Bull CBBC Mar 2012 B	2011/10/04	2011/10/06
67754	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12P	2011/10/04	2011/10/06
67829	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	2011/10/04	2011/10/06
67719	UBS AG – TCH R Bull CBBC Apr 2012 B	2011/10/04	2011/10/06
67723	UBS AG – AIA R Bull CBBC May 2012 A	2011/10/04	2011/10/06
67730	UBS AG – A50 China R Bull CBBC May 2012 A	2011/10/04	2011/10/06
67732	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 B	2011/10/04	2011/10/06
67779	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	2011/10/04	2011/10/06
67748	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12O	2011/10/04	2011/10/06
67778	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	2011/10/04	2011/10/06
67757	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 S	2011/10/04	2011/10/06
67763	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 T	2011/10/04	2011/10/06
67767	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 D	2011/10/04	2011/10/06
67768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	2011/10/04	2011/10/06
67769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	2011/10/04	2011/10/06
64271	RB of Scotland N.V. – China Shenhua R Bull CBBC Jan 2012 A	2011/10/04	2011/10/06
67745	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 Q	2011/10/04	2011/10/06
66928	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 Z	2011/10/04	2011/10/06
67168	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 Q	2011/10/04	2011/10/06
67181	Credit Suisse AG – HSI R Bull CBBC Feb 2012 L	2011/10/04	2011/10/06
67196	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	2011/10/04	2011/10/06
67019	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12P	2011/10/04	2011/10/06
67158	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 K	2011/10/04	2011/10/06
67265	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 L	2011/10/04	2011/10/06
67017	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12O	2011/10/04	2011/10/06
67077	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	2011/10/04	2011/10/06
67119	UBS AG – HSI R Bull CBBC Apr 2012 H	2011/10/04	2011/10/06
67117	UBS AG – HSI R Bull CBBC Mar 2012 N	2011/10/04	2011/10/06
66788	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2012 J	2011/10/04	2011/10/06
67087	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	2011/10/04	2011/10/06
67084	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	2011/10/04	2011/10/06

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66785	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12M	2011/10/04	2011/10/06
67079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	2011/10/04	2011/10/06
67086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	2011/10/04	2011/10/06
66784	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12L	2011/10/04	2011/10/06
67863	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	2011/10/06	2011/10/07
67865	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	2011/10/06	2011/10/07
67864	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	2011/10/06	2011/10/07
67861	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	2011/10/06	2011/10/07
67718	UBS AG – HKEx R Bull CBBC Mar 2012 A	2011/10/06	2011/10/07
63836	UBS AG – HKEx R Bear CBBC Oct 2011 A	2011/10/07	2011/10/11
67548	Deutsche Bank AG – HSI R Bear CBBC Feb 2012 A	2011/10/07	2011/10/10
67747	UBS AG – HSI R Bear CBBC Jan 2012 G	2011/10/07	2011/10/10
67562	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12A	2011/10/07	2011/10/10
67563	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12B	2011/10/07	2011/10/10
67564	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12S	2011/10/07	2011/10/10
67535	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12H	2011/10/07	2011/10/10
67641	UBS AG – HSI R Bear CBBC Mar 2012 X	2011/10/07	2011/10/10
67596	Credit Suisse AG – HSI R Bear CBBC Feb 2012 H	2011/10/07	2011/10/10
67661	Credit Suisse AG – HSI R Bear CBBC Jan 2012 G	2011/10/07	2011/10/10
67045	Credit Suisse AG – TCH R Bear CBBC Feb 2012 C	2011/10/07	2011/10/10
67534	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12Q	2011/10/07	2011/10/10
67660	UBS AG – HSI R Bear CBBC Mar 2012 Y	2011/10/07	2011/10/10
67671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 A	2011/10/07	2011/10/10
67826	Credit Suisse AG – HSI R Bear CBBC Feb 2012 A	2011/10/07	2011/10/10
67662	Credit Suisse AG – HSI R Bear CBBC Feb 2012 B	2011/10/07	2011/10/10
67593	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Y	2011/10/07	2011/10/10
67867	UBS AG – HSI R Bull CBBC Feb 2012 V	2011/10/10	2011/10/11
67866	UBS AG – HSI R Bull CBBC Jan 2012 N	2011/10/10	2011/10/11
67868	Deutsche Bank AG – HSI R Bear CBBC Feb 2012 G	2011/10/10	2011/10/11
67869	Credit Suisse AG – HSI R Bear CBBC Jan 2012 E	2011/10/10	2011/10/11
67874	UBS AG – HSI R Bear CBBC Feb 2012 W	2011/10/10	2011/10/11
67876	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 N	2011/10/10	2011/10/11
63809	Deutsche Bank AG – A50 China R Bear CBBC Oct 2011 A	2011/10/10	2011/10/12
67871	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	2011/10/10	2011/10/11
67997	Credit Suisse AG – China Life R Bear CBBC Feb 2012 B	2011/10/11	2011/10/12
67982	Credit Suisse AG – TCH R Bear CBBC Feb 2012 G	2011/10/11	2011/10/12
67984	Credit Suisse AG – TCH R Bear CBBC Feb 2012 H	2011/10/11	2011/10/12
67985	Credit Suisse AG – CNOOC R Bear CBBC Mar 2012 A	2011/10/11	2011/10/12
67056	Credit Suisse AG – HSI R Bear CBBC Dec 2011 O	2011/10/11	2011/10/12
67063	Credit Suisse AG – HSI R Bear CBBC Jan 2012 R	2011/10/11	2011/10/12
67992	Credit Suisse AG – CCB R Bear CBBC Mar 2012 B	2011/10/11	2011/10/12
67994	Credit Suisse AG – CCB R Bear CBBC Mar 2012 C	2011/10/11	2011/10/12
67996	Credit Suisse AG – Ping An R Bear CBBC Feb 2012 C	2011/10/11	2011/10/12
67311	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 D	2011/10/11	2011/10/12
67999	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 A	2011/10/11	2011/10/12
68009	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 B	2011/10/11	2011/10/12
67098	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 M	2011/10/11	2011/10/12
63103	HK Bank – Sinopec Corp R Bear CBBC Oct 2011 A	2011/10/11	2011/10/13
66994	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12D	2011/10/11	2011/10/12
67995	Credit Suisse AG – AIA R Bear CBBC Mar 2012 A	2011/10/11	2011/10/12
67942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	2011/10/11	2011/10/12
67975	Credit Suisse AG – HSBC R Bear CBBC Mar 2012 C	2011/10/11	2011/10/12
67875	UBS AG – HSI R Bear CBBC Jan 2012 O	2011/10/11	2011/10/12
67877	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 O	2011/10/11	2011/10/12
67888	UBS AG – HSI R Bear CBBC Feb 2012 Z	2011/10/11	2011/10/12
67889	UBS AG – HSI R Bear CBBC Jan 2012 S	2011/10/11	2011/10/12
67915	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 F	2011/10/11	2011/10/12
67980	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 F	2011/10/11	2011/10/12
67928	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	2011/10/11	2011/10/12
67976	Credit Suisse AG – Hutchison R Bear CBBC Mar 2012 C	2011/10/11	2011/10/12
67943	UBS AG – HSI R Bear CBBC Feb 2012 K	2011/10/11	2011/10/12
67944	Credit Suisse AG – HSI R Bear CBBC Jan 2012 F	2011/10/11	2011/10/12
67835	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 U	2011/10/11	2011/10/12
67945	Credit Suisse AG – HSI R Bear CBBC Feb 2012 G	2011/10/11	2011/10/12
67947	Credit Suisse AG – HSI R Bear CBBC Feb 2012 X	2011/10/11	2011/10/12
67870	Credit Suisse AG – HSI R Bear CBBC Feb 2012 C	2011/10/11	2011/10/12
67924	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 T	2011/10/11	2011/10/12
67977	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 E	2011/10/11	2011/10/12
67597	Credit Suisse AG – HSI R Bear CBBC Feb 2012 D	2011/10/11	2011/10/12
67412	Credit Suisse AG – HSI R Bear CBBC Feb 2012 T	2011/10/11	2011/10/12
67644	UBS AG – HSI R Bear CBBC Jun 2012 E	2011/10/11	2011/10/12
67746	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 R	2011/10/11	2011/10/12
67133	UBS AG – HSI R Bear CBBC Dec 2011 O	2011/10/11	2011/10/12
67672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 B	2011/10/11	2011/10/12
67673	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 C	2011/10/11	2011/10/12
67674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	2011/10/11	2011/10/12
67536	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12R	2011/10/11	2011/10/12
67680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	2011/10/11	2011/10/12
67459	UBS AG – HSI R Bear CBBC May 2012 H	2011/10/11	2011/10/12
67132	UBS AG – HSI R Bear CBBC Feb 2012 O	2011/10/11	2011/10/12
66988	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 T	2011/10/11	2011/10/12
67447	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 J	2011/10/11	2011/10/12
67460	UBS AG – HSI R Bear CBBC Mar 2012 S	2011/10/11	2011/10/12
66990	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12Y	2011/10/11	2011/10/12
67422	Credit Suisse AG – HSI R Bear CBBC Feb 2012 U	2011/10/11	2011/10/12
67742	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 D	2011/10/11	2011/10/12
67275	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 N	2011/10/11	2011/10/12

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
67281	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12P	2011/10/11	2011/10/12
66993	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12A	2011/10/11	2011/10/12
67255	UBS AG – HSI R Bear CBBC Dec 2011 W	2011/10/11	2011/10/12
66991	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12Z	2011/10/11	2011/10/12
68224	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 P	2011/10/12	2011/10/13
68228	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 L	2011/10/12	2011/10/13
68231	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 F	2011/10/12	2011/10/13
68221	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12I	2011/10/12	2011/10/13
68209	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12H	2011/10/12	2011/10/13
68223	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12K	2011/10/12	2011/10/13
68202	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12G	2011/10/12	2011/10/13
68115	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 A	2011/10/12	2011/10/13
68096	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12V	2011/10/12	2011/10/13
68107	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12W	2011/10/12	2011/10/13
68110	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12A	2011/10/12	2011/10/13
68112	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12B	2011/10/12	2011/10/13
68279	Credit Suisse AG – HSI R Bear CBBC Feb 2012 K	2011/10/12	2011/10/13
68113	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12M	2011/10/12	2011/10/13
68170	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 B	2011/10/12	2011/10/13
68352	UBS AG – HSI R Bear CBBC Apr 2012 Z	2011/10/12	2011/10/13
68416	UBS AG – CC Bank R Bear CBBC May 2012 B	2011/10/12	2011/10/13
68413	UBS AG – ICBC R Bear CBBC Apr 2012 B	2011/10/12	2011/10/13
68412	UBS AG – China Life R Bear CBBC May 2012 A	2011/10/12	2011/10/13
68404	UBS AG – A50 China R Bear CBBC May 2012 C	2011/10/12	2011/10/13
68399	UBS AG – Hutchison R Bear CBBC Apr 2012 C	2011/10/12	2011/10/13
68389	UBS AG – HKEx R Bear CBBC Mar 2012 C	2011/10/12	2011/10/13
68370	UBS AG – TCH R Bear CBBC May 2012 A	2011/10/12	2011/10/13
68369	UBS AG – HSI R Bear CBBC Apr 2012 C	2011/10/12	2011/10/13
68366	UBS AG – HSI R Bear CBBC Feb 2012 B	2011/10/12	2011/10/13
68262	Credit Suisse AG – HSI R Bear CBBC Feb 2012 E	2011/10/12	2011/10/13
68362	UBS AG – HSI R Bear CBBC Mar 2012 E	2011/10/12	2011/10/13
68233	Credit Suisse AG – HSI R Bear CBBC Jan 2012 K	2011/10/12	2011/10/13
68328	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	2011/10/12	2011/10/13
68326	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	2011/10/12	2011/10/13
68312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	2011/10/12	2011/10/13
68336	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	2011/10/12	2011/10/13
68278	Credit Suisse AG – HSI R Bear CBBC Feb 2012 R	2011/10/12	2011/10/13
68261	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Z	2011/10/12	2011/10/13
68258	Credit Suisse AG – HSI R Bear CBBC Jan 2012 H	2011/10/12	2011/10/13
68257	Credit Suisse AG – HSI R Bear CBBC Jan 2012 L	2011/10/12	2011/10/13
68249	Credit Suisse AG – HSI R Bear CBBC Jan 2012 J	2011/10/12	2011/10/13
68246	Credit Suisse AG – HSI R Bear CBBC Jan 2012 I	2011/10/12	2011/10/13
68365	UBS AG – HSI R Bear CBBC Jan 2012 Y	2011/10/12	2011/10/13
68354	UBS AG – HSI R Bear CBBC Mar 2012 G	2011/10/12	2011/10/13
68432	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 G	2011/10/13	2011/10/14
67134	UBS AG – HSI R Bear CBBC Dec 2011 R	2011/10/13	2011/10/14
68448	Credit Suisse AG – HSI R Bear CBBC Jan 2012 P	2011/10/13	2011/10/14
68444	UBS AG – HSI R Bear CBBC Apr 2012 F	2011/10/13	2011/10/14
68440	UBS AG – HSI R Bear CBBC Feb 2012 C	2011/10/13	2011/10/14
67855	UBS AG – China Life R Bear CBBC Mar 2012 C	2011/10/13	2011/10/14
67839	UBS AG – Hutchison R Bear CBBC Mar 2012 B	2011/10/13	2011/10/14
68435	UBS AG – HSI R Bear CBBC Jan 2012 D	2011/10/13	2011/10/14
68433	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 H	2011/10/13	2011/10/14
67100	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 O	2011/10/13	2011/10/14
67064	Credit Suisse AG – HSI R Bear CBBC Jan 2012 A	2011/10/13	2011/10/14
68456	Credit Suisse AG – HSI R Bear CBBC Jan 2012 B	2011/10/13	2011/10/14
68421	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 F	2011/10/13	2011/10/14
67755	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 R	2011/10/13	2011/10/14
67002	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12F	2011/10/13	2011/10/14
67001	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12I	2011/10/13	2011/10/14
67733	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 C	2011/10/13	2011/10/14
67099	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 N	2011/10/13	2011/10/14
66995	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12E	2011/10/13	2011/10/14
67058	Credit Suisse AG – HSI R Bear CBBC Dec 2011 S	2011/10/13	2011/10/14
68520	Credit Suisse AG – AIA R Bear CBBC Mar 2012 D	2011/10/13	2011/10/14
67310	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 C	2011/10/13	2011/10/14
67338	Credit Suisse AG – Hutchison R Bear CBBC Mar 2012 A	2011/10/13	2011/10/14
67260	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 I	2011/10/13	2011/10/14
67432	Credit Suisse AG – HSI R Bear CBBC Feb 2012 W	2011/10/13	2011/10/14
67461	UBS AG – HSI R Bear CBBC Feb 2012 Q	2011/10/13	2011/10/14
67592	Credit Suisse AG – Ping An R Bear CBBC Feb 2012 B	2011/10/13	2011/10/14
68524	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 F	2011/10/13	2011/10/14
68454	Credit Suisse AG – HSI R Bear CBBC Jan 2012 U	2011/10/13	2011/10/14
68519	Credit Suisse AG – CNOOC R Bear CBBC Mar 2012 D	2011/10/13	2011/10/14
68463	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 I	2011/10/13	2011/10/14
68462	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 H	2011/10/13	2011/10/14
68458	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Q	2011/10/13	2011/10/14
66986	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 M	2011/10/13	2011/10/14
68457	Credit Suisse AG – HSI R Bear CBBC Feb 2012 F	2011/10/13	2011/10/14
67135	UBS AG – HSI R Bear CBBC Mar 2012 P	2011/10/13	2011/10/14
68531	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Q	2011/10/14	2011/10/17
68536	Credit Suisse AG – HSI R Bear CBBC Feb 2012 P	2011/10/14	2011/10/17
68537	Credit Suisse AG – HSI R Bear CBBC Feb 2012 N	2011/10/14	2011/10/17
68528	UBS AG – HSI R Bear CBBC Mar 2012 J	2011/10/14	2011/10/17
68527	UBS AG – HSI R Bear CBBC Jan 2012 Z	2011/10/14	2011/10/17
68533	Credit Suisse AG – HSI R Bear CBBC Jan 2012 T	2011/10/14	2011/10/17
64199	UBS AG – China Life R Bear CBBC Oct 2011 B	2011/10/14	2011/10/18



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
64475	UBS AG – A50 China R Bear CBBC Oct 2011 B	2011/10/14	2011/10/18
67462	UBS AG – HSI R Bear CBBC Feb 2012 R	2011/10/17	2011/10/18
67101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 P	2011/10/17	2011/10/18
67433	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Y	2011/10/17	2011/10/18
67276	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 O	2011/10/17	2011/10/18
67263	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 D	2011/10/17	2011/10/18
66942	Credit Suisse AG – HSI R Bear CBBC Dec 2011 A	2011/10/17	2011/10/18
66951	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12X	2011/10/17	2011/10/18
67174	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 R	2011/10/17	2011/10/18
67140	UBS AG – HSI R Bear CBBC Dec 2011 P	2011/10/17	2011/10/18
68400	UBS AG – HSBC R Bear CBBC Apr 2012 B	2011/10/17	2011/10/18
67003	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12G	2011/10/17	2011/10/18
67645	UBS AG – HSI R Bear CBBC Jun 2012 F	2011/10/17	2011/10/18
63513	HK Bank – China Mobile R Bull CBBC Oct 2011 A	2011/10/17	2011/10/19
63844	Deutsche Bank AG – A50 China R Bear CBBC Oct 2011 B	2011/10/17	2011/10/19
68622	UBS AG – HSI R Bear CBBC Jan 2012 Q	2011/10/18	2011/10/19
68627	UBS AG – HSI R Bull CBBC Mar 2012 R	2011/10/18	2011/10/19
68626	UBS AG – HSI R Bull CBBC Jan 2012 I	2011/10/18	2011/10/19
68623	UBS AG – HSI R Bear CBBC Jan 2012 X	2011/10/18	2011/10/19
68624	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 K	2011/10/18	2011/10/19
68655	UBS AG – HSI R Bull CBBC Jan 2012 B	2011/10/19	2011/10/20
68648	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 J	2011/10/19	2011/10/20
68646	UBS AG – HSI R Bear CBBC May 2012 R	2011/10/19	2011/10/20
68645	UBS AG – HSI R Bear CBBC Apr 2012 D	2011/10/19	2011/10/20
68654	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	2011/10/19	2011/10/20
68676	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/10/20	2011/10/21
68678	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 L	2011/10/20	2011/10/21
68656	UBS AG – HSI R Bull CBBC Feb 2012 J	2011/10/20	2011/10/21
68679	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 M	2011/10/20	2011/10/21
68658	UBS AG – HSI R Bull CBBC Apr 2012 M	2011/10/20	2011/10/21
68665	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 M	2011/10/20	2011/10/21
68675	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	2011/10/20	2011/10/21
68666	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 I	2011/10/20	2011/10/21
68668	UBS AG – HSI R Bull CBBC Jan 2012 G	2011/10/20	2011/10/21
68672	UBS AG – China Life R Bull CBBC Jun 2012 A	2011/10/20	2011/10/21
68677	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	2011/10/20	2011/10/21
68667	UBS AG – HSI R Bull CBBC Feb 2012 I	2011/10/20	2011/10/21
63516	HK Bank – China Life R Bear CBBC Oct 2011 B	2011/10/20	2011/10/24
68662	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 L	2011/10/20	2011/10/21
68625	UBS AG – HSI R Bull CBBC Jan 2012 A	2011/10/20	2011/10/21
68653	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	2011/10/20	2011/10/21
68657	UBS AG – ICBC R Bull CBBC Apr 2012 E	2011/10/20	2011/10/21
68631	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	2011/10/20	2011/10/21
68638	UBS AG – China Life R Bull CBBC May 2012 B	2011/10/20	2011/10/21
68650	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	2011/10/20	2011/10/21
68651	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	2011/10/20	2011/10/21
68652	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	2011/10/20	2011/10/21
64155	UBS AG – A50 China R Bear CBBC Oct 2011 A	2011/10/21	2011/10/25
68684	UBS AG – HSI R Bull CBBC Jan 2012 N	2011/10/21	2011/10/24
68685	UBS AG – HSI R Bull CBBC Mar 2012 B	2011/10/21	2011/10/24
64740	Credit Suisse AG – TCH R Bear CBBC Oct 2011 E	2011/10/21	2011/10/25
64263	Credit Suisse AG – TCH R Bear CBBC Oct 2011 C	2011/10/21	2011/10/25
64178	UBS AG – China Mobile R Bull CBBC Oct 2011 A	2011/10/21	2011/10/25
68717	UBS AG – HSI R Bull CBBC Mar 2012 N	2011/10/24	2011/10/25
68687	UBS AG – HSI R Bear CBBC Feb 2012 D	2011/10/24	2011/10/25
68689	UBS AG – HSI R Bear CBBC Feb 2012 N	2011/10/24	2011/10/25
68716	UBS AG – HSI R Bull CBBC Mar 2012 L	2011/10/24	2011/10/25
68661	UBS AG – HSI R Bear CBBC Jan 2012 L	2011/10/24	2011/10/25
68664	UBS AG – HSI R Bear CBBC Mar 2012 O	2011/10/24	2011/10/25
68682	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	2011/10/24	2011/10/25
68715	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	2011/10/24	2011/10/25
68727	UBS AG – HSI R Bear CBBC Mar 2012 Y	2011/10/25	2011/10/26
68719	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	2011/10/25	2011/10/26
68720	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	2011/10/25	2011/10/26
68725	UBS AG – HSI R Bear CBBC Jan 2012 Y	2011/10/25	2011/10/26
68726	UBS AG – HSI R Bear CBBC Mar 2012 X	2011/10/25	2011/10/26
66786	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12W	2011/10/26	2011/10/27
67070	Credit Suisse AG – HSI R Bear CBBC Feb 2012 I	2011/10/26	2011/10/27
66765	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Y	2011/10/26	2011/10/27
67646	UBS AG – HSI R Bear CBBC May 2012 K	2011/10/26	2011/10/27
68737	UBS AG – HSI R Bear CBBC Apr 2012 K	2011/10/26	2011/10/27
68736	UBS AG – HSI R Bear CBBC Feb 2012 M	2011/10/26	2011/10/27
68728	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	2011/10/26	2011/10/27
67309	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 B	2011/10/26	2011/10/27
66903	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12C	2011/10/26	2011/10/27
67463	UBS AG – HSI R Bear CBBC Jan 2012 C	2011/10/26	2011/10/27
66937	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 A	2011/10/26	2011/10/27
66945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 A	2011/10/26	2011/10/27
68735	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 K	2011/10/26	2011/10/27
65632	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 B	2011/10/27	2011/10/31
65631	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 A	2011/10/27	2011/10/31
64901	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 E	2011/10/27	2011/10/31
66870	Credit Suisse AG – HSI R Bear CBBC Jan 2012 D	2011/10/27	2011/10/28
64519	UBS AG – HSI R Bear CBBC Oct 2011 S	2011/10/27	2011/10/31
66946	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 L	2011/10/27	2011/10/28
66936	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 V	2011/10/27	2011/10/28
65080	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 H	2011/10/27	2011/10/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
65074	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 D	2011/10/27	2011/10/31
65073	J P Morgan SP BV – HSI R Bear CBBC Oct 2011 C	2011/10/27	2011/10/31
66909	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2012 E	2011/10/27	2011/10/28
66906	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 J	2011/10/27	2011/10/28
66899	Macquarie Bank Ltd. – HSCEI R Bear CBBC Mar 2012 B	2011/10/27	2011/10/28
67049	Credit Suisse AG – TCH R Bear CBBC Feb 2012 D	2011/10/27	2011/10/28
66894	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12W	2011/10/27	2011/10/28
67054	Credit Suisse AG – A50 China R Bear CBBC Feb 2012 B	2011/10/27	2011/10/28
64756	UBS AG – HSI R Bear CBBC Oct 2011 Q	2011/10/27	2011/10/31
64651	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 D	2011/10/27	2011/10/31
64625	Credit Suisse AG – HSI R Bear CBBC Oct 2011 F	2011/10/27	2011/10/31
64615	Macquarie Bank Ltd. – HSI R Bear CBBC Oct 2011 A	2011/10/27	2011/10/31
64601	Credit Suisse AG – HSI R Bear CBBC Oct 2011 M	2011/10/27	2011/10/31
64600	Credit Suisse AG – HSI R Bear CBBC Oct 2011 N	2011/10/27	2011/10/31
64593	UBS AG – HSI R Bear CBBC Oct 2011 G	2011/10/27	2011/10/31
66829	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 J	2011/10/27	2011/10/28
64578	UBS AG – HSI R Bear CBBC Oct 2011 Z	2011/10/27	2011/10/31
67335	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 F	2011/10/27	2011/10/31
66896	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12H	2011/10/27	2011/10/28
67468	UBS AG – HSI R Bear CBBC Apr 2012 N	2011/10/27	2011/10/28
68752	UBS AG – HSI R Bear CBBC Jan 2012 D	2011/10/27	2011/10/28
68732	HK Bank – HSI R Bear CBBC Jun 2012 E	2011/10/27	2011/10/28
68693	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 Q	2011/10/27	2011/10/28
68686	UBS AG – HSI R Bear CBBC Jan 2012 O	2011/10/27	2011/10/28
66811	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Q	2011/10/27	2011/10/28
68683	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	2011/10/27	2011/10/28
68644	UBS AG – Ping An R Bear CBBC Jun 2012 B	2011/10/27	2011/10/28
68280	Credit Suisse AG – HSI R Bear CBBC Feb 2012 S	2011/10/27	2011/10/28
67858	UBS AG – HSI R Bear CBBC Jun 2012 I	2011/10/27	2011/10/28
66949	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 W	2011/10/27	2011/10/28
67650	UBS AG – HSI R Bear CBBC Apr 2012 T	2011/10/27	2011/10/28
64680	Credit Suisse AG – HSI R Bear CBBC Oct 2011 O	2011/10/27	2011/10/31
67464	UBS AG – HSI R Bear CBBC Mar 2012 T	2011/10/27	2011/10/28
67324	Credit Suisse AG – HSBC R Bear CBBC Mar 2012 A	2011/10/27	2011/10/28
65237	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 F	2011/10/27	2011/10/31
65236	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2011 E	2011/10/27	2011/10/31
65197	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 N	2011/10/27	2011/10/31
65196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 I	2011/10/27	2011/10/31
67177	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 T	2011/10/27	2011/10/28
67175	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 S	2011/10/27	2011/10/28
67151	UBS AG – HSI R Bear CBBC Dec 2011 U	2011/10/27	2011/10/28
67150	UBS AG – HSI R Bear CBBC Apr 2012 I	2011/10/27	2011/10/28
67782	UBS AG – HSCEI R Bear CBBC May 2012 B	2011/10/27	2011/10/28
66752	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 A	2011/10/27	2011/10/28
66817	Credit Suisse AG – HSI R Bear CBBC Jan 2012 C	2011/10/27	2011/10/28
66727	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 H	2011/10/27	2011/10/28
66731	Credit Suisse AG – HSI R Bear CBBC Dec 2011 U	2011/10/27	2011/10/28
66733	Credit Suisse AG – HSI R Bear CBBC Dec 2011 C	2011/10/27	2011/10/28
66739	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12U	2011/10/27	2011/10/28
64070	UBS AG – HSI R Bear CBBC Oct 2011 I	2011/10/27	2011/10/31
66744	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12V	2011/10/27	2011/10/28
64068	UBS AG – HSI R Bear CBBC Oct 2011 H	2011/10/27	2011/10/31
66747	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 X	2011/10/27	2011/10/28
64252	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Y	2011/10/27	2011/10/31
63842	UBS AG – HSI R Bear CBBC Oct 2011 E	2011/10/27	2011/10/31
66745	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 E	2011/10/27	2011/10/28
66756	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 B	2011/10/27	2011/10/28
63832	Credit Suisse AG – HSI R Bear CBBC Oct 2011 S	2011/10/27	2011/10/31
66763	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 M	2011/10/27	2011/10/28
63697	HK Bank – CNOOC R Bear CBBC Oct 2011 B	2011/10/27	2011/10/31
63717	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 L	2011/10/27	2011/10/31
63636	Deutsche Bank AG – HSCEI R Bear CBBC Oct 2011 B	2011/10/27	2011/10/31
66764	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 G	2011/10/27	2011/10/28
66787	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 12B	2011/10/27	2011/10/28
67336	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 G	2011/10/27	2011/10/31
63875	HK Bank – ABC R Bear CBBC Oct 2011 B	2011/10/27	2011/10/31
63876	HK Bank – CM Bank R Bear CBBC Oct 2011 B	2011/10/27	2011/10/31
64253	Credit Suisse AG – HSI R Bear CBBC Oct 2011 Z	2011/10/27	2011/10/31
64358	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Oct11B	2011/10/28	2011/11/01
66579	Credit Suisse AG – HSI R Bear CBBC Jan 2012 N	2011/10/28	2011/10/31
68733	HK Bank – HSI R Bear CBBC Jul 2012 D	2011/10/28	2011/10/31
64349	SGA Societe Generale Acceptance N.V.-HWL R Bear CBBC Oct11C	2011/10/28	2011/11/01
64350	SGA Societe Generale Acceptance N.V.-HWL R Bear CBBC Oct11D	2011/10/28	2011/11/01
67860	UBS AG – HSI R Bear CBBC Jul 2012 C	2011/10/28	2011/10/31
67872	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 D	2011/10/28	2011/10/31
68259	Credit Suisse AG – HSI R Bear CBBC Jan 2012 X	2011/10/28	2011/10/31
64354	SGA Societe Generale Acceptance N.V. -TCH R Bear CBBC Oct11B	2011/10/28	2011/11/01
68640	UBS AG – HKEx R Bear CBBC May 2012 D	2011/10/28	2011/10/31
68643	UBS AG – ICBC R Bear CBBC Apr 2012 D	2011/10/28	2011/10/31
66582	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 I	2011/10/28	2011/10/31
67542	UBS AG – HSI R Bear CBBC Mar 2012 U	2011/10/28	2011/10/31
64355	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Oct11A	2011/10/28	2011/11/01
67652	UBS AG – HSI R Bear CBBC May 2012 L	2011/10/28	2011/10/31
64547	SGA Societe Generale Acceptance N.V.-HSBC R Bear CBBC Oct11D	2011/10/28	2011/11/01
68690	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 N	2011/10/28	2011/10/31
68691	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 O	2011/10/28	2011/10/31
68692	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 P	2011/10/28	2011/10/31

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
66793	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2012 M	2011/10/28	2011/10/31
68713	UBS AG – CNOOC R Bear CBBC May 2012 C	2011/10/28	2011/10/31
66809	Credit Suisse AG – TCH R Bear CBBC Feb 2012 A	2011/10/28	2011/10/31
68760	HK Bank – HSI R Bear CBBC Jun 2012 F	2011/10/28	2011/10/31
68754	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 O	2011/10/28	2011/10/31
68753	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 N	2011/10/28	2011/10/31
66588	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 K	2011/10/28	2011/10/31
68663	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 M	2011/10/28	2011/10/31
66705	Credit Suisse AG – HSI R Bear CBBC Dec 2011 P	2011/10/28	2011/10/31
66630	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12U	2011/10/28	2011/10/31
66633	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 K	2011/10/28	2011/10/31
66634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 L	2011/10/28	2011/10/31
66638	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 C	2011/10/28	2011/10/31
66658	Credit Suisse AG – HSI R Bear CBBC Jan 2012 W	2011/10/28	2011/10/31
66661	Credit Suisse AG – HSI R Bear CBBC Jan 2012 O	2011/10/28	2011/10/31
66669	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12V	2011/10/28	2011/10/31
66022	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2011 C	2011/10/28	2011/10/31
66671	Credit Suisse AG – HSI R Bear CBBC Dec 2011 J	2011/10/28	2011/10/31
67470	UBS AG – HSI R Bear CBBC Jun 2012 D	2011/10/28	2011/10/31
66571	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12R	2011/10/28	2011/10/31
66572	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12S	2011/10/28	2011/10/31
66573	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12T	2011/10/28	2011/10/31
66576	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 H	2011/10/28	2011/10/31
66748	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2012 Y	2011/10/28	2011/10/31
66591	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 B	2011/10/28	2011/10/31
66620	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 D	2011/10/28	2011/10/31
66577	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 I	2011/10/28	2011/10/31
66594	Credit Suisse AG – HSI R Bear CBBC Dec 2011 N	2011/10/28	2011/10/31
66861	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 G	2011/10/28	2011/10/31
66828	J P Morgan SP BV – HSI R Bear CBBC Jan 2012 I	2011/10/28	2011/10/31
66575	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2012 G	2011/10/28	2011/10/31
66574	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 12L	2011/10/28	2011/10/31
67153	UBS AG – HSI R Bear CBBC Mar 2012 Q	2011/10/28	2011/10/31
67152	UBS AG – HSI R Bear CBBC Jan 2012 H	2011/10/28	2011/10/31
67469	UBS AG – HSI R Bear CBBC Feb 2012 S	2011/10/28	2011/10/31
68781	UBS AG – TCH R Bear CBBC May 2012 D	2011/10/31	2011/11/01
68751	UBS AG – China Life R Bear CBBC May 2012 D	2011/10/31	2011/11/01
68765	HK Bank – HKEx R Bear CBBC Sep 2012 A	2011/10/31	2011/11/01
68767	HK Bank – China Life R Bear CBBC Oct 2012 A	2011/10/31	2011/11/01
68768	UBS AG – HSI R Bear CBBC Feb 2012 K	2011/10/31	2011/11/01
68779	UBS AG – HKEx R Bear CBBC Jun 2012 A	2011/10/31	2011/11/01
68769	UBS AG – HSI R Bear CBBC Mar 2012 E	2011/10/31	2011/11/01
68791	UBS AG – HSI R Bear CBBC Apr 2012 H	2011/11/01	2011/11/02
68812	UBS AG – HSI R Bear CBBC Feb 2012 C	2011/11/02	2011/11/03
68827	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 M	2011/11/03	2011/11/04
67052	Credit Suisse AG – China Life R Bear CBBC Jan 2012 A	2011/11/03	2011/11/04
68824	UBS AG – HSI R Bear CBBC Feb 2012 R	2011/11/03	2011/11/04
68835	UBS AG – HSI R Bull CBBC Mar 2012 L	2011/11/03	2011/11/04
68834	UBS AG – HSI R Bull CBBC Mar 2012 B	2011/11/03	2011/11/04
68833	UBS AG – HSI R Bull CBBC Feb 2012 I	2011/11/03	2011/11/04
68832	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	2011/11/03	2011/11/04
68828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 E	2011/11/03	2011/11/04
68826	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 L	2011/11/03	2011/11/04
68819	UBS AG – HSI R Bear CBBC Feb 2012 Q	2011/11/03	2011/11/04
68825	UBS AG – HSI R Bear CBBC Apr 2012 C	2011/11/03	2011/11/04
68866	UBS AG – China Life R Bear CBBC Jul 2012 B	2011/11/04	2011/11/07
67856	UBS AG – A50 China R Bear CBBC Mar 2012 A	2011/11/04	2011/11/07
68869	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 N	2011/11/04	2011/11/07
68856	UBS AG – HSI R Bear CBBC May 2012 W	2011/11/04	2011/11/07
68857	UBS AG – HSI R Bull CBBC Feb 2012 N	2011/11/04	2011/11/07
68870	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 O	2011/11/04	2011/11/07
68871	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 G	2011/11/04	2011/11/07
68876	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 H	2011/11/04	2011/11/07
64814	UBS AG – HSBC R Bear CBBC Nov 2011 C	2011/11/04	2011/11/08
68747	HK Bank – A50 R Bear CBBC Jun 2012 E	2011/11/04	2011/11/07
64058	UBS AG – ABC R Bear CBBC Nov 2011 A	2011/11/04	2011/11/08
68854	UBS AG – HSI R Bear CBBC Feb 2012 D	2011/11/04	2011/11/07
68674	UBS AG – China Life R Bear CBBC May 2012 C	2011/11/04	2011/11/07
68766	HK Bank – ABC R Bear CBBC Oct 2012 B	2011/11/04	2011/11/07
68823	Macquarie Bank Ltd. – HSI R Bear CBBC May 2012 D	2011/11/04	2011/11/07
68858	UBS AG – HSI R Bull CBBC Mar 2012 O	2011/11/04	2011/11/07
68892	UBS AG – HSI R Bear CBBC Apr 2012 M	2011/11/07	2011/11/08
68885	UBS AG – HSI R Bull CBBC Apr 2012 D	2011/11/07	2011/11/08
68877	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 I	2011/11/07	2011/11/08
68868	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 F	2011/11/07	2011/11/08
68961	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 T	2011/11/08	2011/11/09
68958	Credit Suisse AG – HSI R Bear CBBC Feb 2012 A	2011/11/08	2011/11/09
68960	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 S	2011/11/08	2011/11/09
64501	HK Bank – Sinopec Corp R Bear CBBC Feb 2012 A	2011/11/08	2011/11/09
68948	Credit Suisse AG – China Life R Bear CBBC Mar 2012 A	2011/11/08	2011/11/09
68927	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 D	2011/11/08	2011/11/09
68926	UBS AG – HSI R Bull CBBC Apr 2012 K	2011/11/08	2011/11/09
68953	UBS AG – HSI R Bear CBBC Mar 2012 X	2011/11/08	2011/11/09
68909	Credit Suisse AG – HSI R Bull CBBC May 2012 L	2011/11/08	2011/11/09
68957	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	2011/11/08	2011/11/09
68959	Credit Suisse AG – HSI R Bear CBBC Feb 2012 B	2011/11/08	2011/11/09
68901	Credit Suisse AG – HSI R Bear CBBC Feb 2012 M	2011/11/08	2011/11/09

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
68962	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 U	2011/11/08	2011/11/09
68952	Credit Suisse AG – TCH R Bull CBBC May 2012 B	2011/11/08	2011/11/09
68887	Macquarie Bank Ltd. – HSCEI R Bear CBBC May 2012 B	2011/11/09	2011/11/10
68965	Credit Suisse AG – HSI R Bear CBBC Feb 2012 H	2011/11/09	2011/11/10
68985	UBS AG – China Life R Bear CBBC Aug 2012 C	2011/11/09	2011/11/10
68993	UBS AG – TCH R Bull CBBC Apr 2012 C	2011/11/09	2011/11/10
68855	UBS AG – HSI R Bear CBBC Mar 2012 N	2011/11/09	2011/11/10
68974	UBS AG – HSI R Bear CBBC Mar 2012 Y	2011/11/09	2011/11/10
68967	Credit Suisse AG – HSI R Bear CBBC Feb 2012 O	2011/11/09	2011/11/10
68928	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 A	2011/11/09	2011/11/10
68903	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Z	2011/11/09	2011/11/10
68902	Credit Suisse AG – HSI R Bear CBBC Feb 2012 V	2011/11/09	2011/11/10
68891	UBS AG – HSI R Bear CBBC Feb 2012 S	2011/11/09	2011/11/10
68966	Credit Suisse AG – HSI R Bear CBBC Mar 2012 D	2011/11/09	2011/11/10
66460	Credit Suisse AG – China Life R Bear CBBC Dec 2011 B	2011/11/09	2011/11/10
68963	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	2011/11/09	2011/11/10
68895	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 R	2011/11/09	2011/11/10
68988	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	2011/11/10	2011/11/11
68986	Credit Suisse AG – HSI R Bull CBBC May 2012 B	2011/11/10	2011/11/11
68968	UBS AG – HSI R Bull CBBC Feb 2012 K	2011/11/10	2011/11/11
68989	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	2011/11/10	2011/11/11
68990	Credit Suisse AG – HSI R Bull CBBC Mar 2012 H	2011/11/10	2011/11/11
68813	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	2011/11/10	2011/11/11
68992	UBS AG – HSI R Bull CBBC May 2012 A	2011/11/10	2011/11/11
68994	UBS AG – HKEx R Bull CBBC Jul 2012 A	2011/11/10	2011/11/11
68995	UBS AG – HSI R Bull CBBC Feb 2012 I	2011/11/10	2011/11/11
68997	UBS AG – HSI R Bull CBBC Mar 2012 U	2011/11/10	2011/11/11
69004	UBS AG – HSI R Bull CBBC Mar 2012 E	2011/11/10	2011/11/11
69007	UBS AG – HSI R Bull CBBC Jun 2012 S	2011/11/10	2011/11/11
69013	UBS AG – A50 China R Bull CBBC Jun 2012 B	2011/11/10	2011/11/11
68991	UBS AG – HSI R Bull CBBC Mar 2012 T	2011/11/10	2011/11/11
68943	Credit Suisse AG – HKEx R Bull CBBC May 2012 A	2011/11/10	2011/11/11
68899	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 B	2011/11/10	2011/11/11
68893	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 H	2011/11/10	2011/11/11
68910	Credit Suisse AG – HSI R Bull CBBC May 2012 M	2011/11/10	2011/11/11
68911	Credit Suisse AG – HSI R Bull CBBC May 2012 N	2011/11/10	2011/11/11
68912	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	2011/11/10	2011/11/11
68913	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	2011/11/10	2011/11/11
68884	UBS AG – HSI R Bull CBBC Apr 2012 F	2011/11/10	2011/11/11
68814	UBS AG – HSI R Bull CBBC Mar 2012 R	2011/11/10	2011/11/11
68936	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 A	2011/11/10	2011/11/11
68701	UBS AG – TCH R Bull CBBC May 2012 C	2011/11/10	2011/11/11
68879	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 K	2011/11/10	2011/11/11
68878	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 J	2011/11/10	2011/11/11
68951	Credit Suisse AG – TCH R Bull CBBC May 2012 A	2011/11/10	2011/11/11
68860	UBS AG – Hutchison R Bull CBBC Jul 2012 A	2011/11/10	2011/11/11
68859	UBS AG – HSI R Bull CBBC Jun 2012 P	2011/11/10	2011/11/11
68894	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Q	2011/11/10	2011/11/11
68820	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 A	2011/11/10	2011/11/11
68900	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 C	2011/11/10	2011/11/11
68883	UBS AG – HSI R Bull CBBC Feb 2012 M	2011/11/10	2011/11/11
69034	UBS AG – HSI R Bull CBBC Feb 2012 N	2011/11/11	2011/11/14
69030	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 C	2011/11/11	2011/11/14
69042	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Z	2011/11/11	2011/11/14
69041	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Y	2011/11/11	2011/11/14
69040	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 X	2011/11/11	2011/11/14
69037	UBS AG – HSI R Bull CBBC Mar 2012 O	2011/11/11	2011/11/14
64716	UBS AG – TCH R Bear CBBC Nov 2011 C	2011/11/11	2011/11/15
64059	UBS AG – TCH R Bear CBBC Nov 2011 A	2011/11/11	2011/11/15
69029	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 B	2011/11/11	2011/11/14
69023	UBS AG – HSI R Bear CBBC Apr 2012 T	2011/11/14	2011/11/15
69054	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 B	2011/11/14	2011/11/15
69005	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 V	2011/11/14	2011/11/15
68982	UBS AG – CNOOC R Bear CBBC Jul 2012 B	2011/11/14	2011/11/15
68939	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 A	2011/11/14	2011/11/15
69006	UBS AG – HSI R Bear CBBC Feb 2012 Q	2011/11/14	2011/11/15
68790	HK Bank – PetCh R Bear CBBC Oct 2012 B	2011/11/14	2011/11/15
69072	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 N	2011/11/15	2011/11/16
69056	UBS AG – China Life R Bull CBBC Jul 2012 D	2011/11/16	2011/11/17
69066	Daiwa Capital Mkt – A50 China R Bull CBBC Apr 2012 B	2011/11/16	2011/11/17
68642	UBS AG – China Mobile R Bear CBBC Jun 2012 A	2011/11/16	2011/11/17
69070	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 L	2011/11/16	2011/11/17
69031	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 A	2011/11/16	2011/11/17
69025	Credit Suisse AG – China Life R Bull CBBC Jun 2012 B	2011/11/16	2011/11/17
69010	UBS AG – HSI R Bull CBBC Jul 2012 I	2011/11/16	2011/11/17
68915	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	2011/11/16	2011/11/17
68914	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Q	2011/11/16	2011/11/17
68880	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 D	2011/11/16	2011/11/17
68821	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 B	2011/11/16	2011/11/17
68806	UBS AG – HSI R Bull CBBC Mar 2012 J	2011/11/16	2011/11/17
68799	UBS AG – HSI R Bull CBBC Feb 2012 B	2011/11/16	2011/11/17
68797	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	2011/11/16	2011/11/17
68796	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/11/16	2011/11/17
68793	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 A	2011/11/16	2011/11/17
69014	UBS AG – HKEx R Bull CBBC Jun 2012 D	2011/11/16	2011/11/17
69120	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 A	2011/11/16	2011/11/17
69135	UBS AG – HSI R Bull CBBC Mar 2012 X	2011/11/16	2011/11/17

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69134	UBS AG – China Life R Bull CBBC Aug 2012 D	2011/11/16	2011/11/17
69123	Daiwa Capital Mkt – HSCEI R Bull CBBC Apr 2012 A	2011/11/16	2011/11/17
69052	Credit Suisse AG – China Life R Bull CBBC Jun 2012 C	2011/11/16	2011/11/17
69121	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 B	2011/11/16	2011/11/17
69071	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 M	2011/11/16	2011/11/17
69118	Credit Suisse AG – HSI R Bull CBBC Jun 2012 X	2011/11/16	2011/11/17
69117	Credit Suisse AG – HSI R Bull CBBC May 2012 O	2011/11/16	2011/11/17
69113	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12K	2011/11/16	2011/11/17
69112	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12I	2011/11/16	2011/11/17
69111	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12H	2011/11/16	2011/11/17
69110	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12G	2011/11/16	2011/11/17
69103	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12N	2011/11/16	2011/11/17
69108	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12A	2011/11/16	2011/11/17
69107	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12U	2011/11/16	2011/11/17
69106	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12Q	2011/11/16	2011/11/17
69105	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12P	2011/11/16	2011/11/17
69104	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12O	2011/11/16	2011/11/17
69122	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 C	2011/11/16	2011/11/17
69109	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12B	2011/11/16	2011/11/17
69102	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12F	2011/11/16	2011/11/17
69146	UBS AG – HSI R Bull CBBC Mar 2012 Y	2011/11/17	2011/11/18
68831	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	2011/11/17	2011/11/18
69148	UBS AG – HSI R Bull CBBC Apr 2012 D	2011/11/17	2011/11/18
69155	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Y	2011/11/17	2011/11/18
69144	UBS AG – HSI R Bull CBBC Feb 2012 I	2011/11/17	2011/11/18
69157	Credit Suisse AG – HSI R Bull CBBC May 2012 P	2011/11/17	2011/11/18
68969	UBS AG – HSI R Bull CBBC May 2012 X	2011/11/17	2011/11/18
69158	Credit Suisse AG – HSI R Bull CBBC Mar 2012 E	2011/11/17	2011/11/18
69176	UBS AG – HSI R Bull CBBC Mar 2012 E	2011/11/17	2011/11/18
69177	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 G	2011/11/17	2011/11/18
69156	Credit Suisse AG – HSI R Bull CBBC Mar 2012 A	2011/11/17	2011/11/18
69137	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12W	2011/11/17	2011/11/18
69136	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 E	2011/11/17	2011/11/18
68916	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	2011/11/17	2011/11/18
69101	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12E	2011/11/17	2011/11/18
69058	UBS AG – COVS R Bull CBBC Aug 2012 A	2011/11/17	2011/11/18
68998	Credit Suisse AG – HSI R Bull CBBC May 2012 C	2011/11/17	2011/11/18
69145	UBS AG – HSI R Bull CBBC Mar 2012 N	2011/11/17	2011/11/18
68785	UBS AG – HSI R Bull CBBC Apr 2012 S	2011/11/17	2011/11/18
69011	UBS AG – HSI R Bull CBBC Aug 2012 D	2011/11/17	2011/11/18
69021	UBS AG – China Life R Bull CBBC Jul 2012 C	2011/11/17	2011/11/18
68933	Credit Suisse AG – A50 China R Bull CBBC May 2012 A	2011/11/17	2011/11/18
69147	UBS AG – HSI R Bull CBBC Apr 2012 C	2011/11/17	2011/11/18
68669	UBS AG – HSBC R Bull CBBC Jun 2012 B	2011/11/17	2011/11/18
68841	UBS AG – HSCEI R Bull CBBC Jun 2012 A	2011/11/18	2011/11/21
69208	Credit Suisse AG – HSI R Bull CBBC May 2012 K	2011/11/18	2011/11/21
68634	UBS AG – TCH R Bull CBBC Jun 2012 B	2011/11/18	2011/11/21
69100	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12D	2011/11/18	2011/11/21
69009	UBS AG – HSI R Bull CBBC Jul 2012 H	2011/11/18	2011/11/21
69099	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12C	2011/11/18	2011/11/21
68847	UBS AG – ICBC R Bull CBBC Jul 2012 A	2011/11/18	2011/11/21
68798	UBS AG – HSI R Bull CBBC Feb 2012 Z	2011/11/18	2011/11/21
69098	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12T	2011/11/18	2011/11/21
69213	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 L	2011/11/18	2011/11/21
68843	UBS AG – A50 China R Bull CBBC May 2012 F	2011/11/18	2011/11/21
68853	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 C	2011/11/18	2011/11/21
68970	UBS AG – HSI R Bull CBBC Apr 2012 N	2011/11/18	2011/11/21
68550	Credit Suisse AG – TCH R Bull CBBC Feb 2012 K	2011/11/18	2011/11/21
68999	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	2011/11/18	2011/11/21
68830	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	2011/11/18	2011/11/21
69026	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 C	2011/11/18	2011/11/21
69008	UBS AG – HSI R Bull CBBC Jun 2012 T	2011/11/18	2011/11/21
69012	UBS AG – HSI R Bull CBBC Aug 2012 E	2011/11/18	2011/11/21
68800	UBS AG – HSI R Bull CBBC May 2012 T	2011/11/18	2011/11/21
68908	Credit Suisse AG – HSI R Bull CBBC Aug 2012 H	2011/11/18	2011/11/21
68918	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	2011/11/18	2011/11/21
68783	UBS AG – HSI R Bull CBBC Feb 2012 O	2011/11/18	2011/11/21
68942	Credit Suisse AG – CCB R Bull CBBC May 2012 A	2011/11/18	2011/11/21
68794	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 B	2011/11/18	2011/11/21
68776	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	2011/11/18	2011/11/21
68775	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	2011/11/18	2011/11/21
68898	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 A	2011/11/18	2011/11/21
68919	Credit Suisse AG – HSI R Bull CBBC Jun 2012 S	2011/11/18	2011/11/21
68917	Credit Suisse AG – HSI R Bull CBBC Jul 2012 L	2011/11/18	2011/11/21
69214	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	2011/11/18	2011/11/21
68861	UBS AG – Ping An R Bull CBBC Jul 2012 A	2011/11/18	2011/11/21
69000	Credit Suisse AG – HSI R Bull CBBC May 2012 D	2011/11/21	2011/11/22
69003	Credit Suisse AG – China Life R Bull CBBC Jun 2012 A	2011/11/21	2011/11/22
68829	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	2011/11/21	2011/11/22
68897	UBS AG – China Life R Bull CBBC Jun 2012 D	2011/11/21	2011/11/22
68886	Macquarie Bank Ltd. – HSCEI R Bull CBBC May 2012 A	2011/11/21	2011/11/22
68710	UBS AG – BOCL R Bull CBBC Apr 2012 A	2011/11/21	2011/11/22
68971	UBS AG – HSI R Bull CBBC May 2012 Y	2011/11/21	2011/11/22
68920	Credit Suisse AG – HSI R Bull CBBC Jul 2012 M	2011/11/21	2011/11/22
68845	UBS AG – HKEx R Bull CBBC Jun 2012 B	2011/11/21	2011/11/22
68921	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	2011/11/21	2011/11/22
68922	Credit Suisse AG – HSI R Bull CBBC Jun 2012 T	2011/11/21	2011/11/22

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
68934	Credit Suisse AG – ABC R Bull CBBC Jul 2012 A	2011/11/21	2011/11/22
68846	UBS AG – CC Bank R Bull CBBC May 2012 F	2011/11/21	2011/11/22
68697	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 U	2011/11/21	2011/11/22
68944	Credit Suisse AG – HKEx R Bull CBBC May 2012 B	2011/11/21	2011/11/22
68836	UBS AG – HSI R Bull CBBC Jun 2012 O	2011/11/21	2011/11/22
68784	UBS AG – HSI R Bull CBBC Mar 2012 G	2011/11/21	2011/11/22
69246	UBS AG – HSI R Bull CBBC Jun 2012 W	2011/11/21	2011/11/22
69245	UBS AG – HSI R Bull CBBC May 2012 H	2011/11/21	2011/11/22
69243	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 A	2011/11/21	2011/11/22
69240	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 S	2011/11/21	2011/11/22
69239	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 F	2011/11/21	2011/11/22
69238	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 O	2011/11/21	2011/11/22
69237	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	2011/11/21	2011/11/22
68773	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	2011/11/21	2011/11/22
68774	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	2011/11/21	2011/11/22
69047	Credit Suisse AG – AIA R Bull CBBC May 2012 A	2011/11/21	2011/11/22
69235	Credit Suisse AG – HSI R Bull CBBC Jul 2012 R	2011/11/21	2011/11/22
69015	UBS AG – Hutchison R Bull CBBC Aug 2012 A	2011/11/21	2011/11/22
68818	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 B	2011/11/21	2011/11/22
68740	UBS AG – HSI R Bull CBBC Feb 2012 P	2011/11/21	2011/11/22
69097	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12S	2011/11/21	2011/11/22
69096	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12R	2011/11/21	2011/11/22
68807	HK Bank – TCH R Bull CBBC Aug 2012 A	2011/11/21	2011/11/22
69095	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12L	2011/11/21	2011/11/22
69067	Daiwa Capital Mkt – A50 China R Bull CBBC May 2012 A	2011/11/21	2011/11/22
68741	UBS AG – HSI R Bull CBBC Apr 2012 L	2011/11/21	2011/11/22
69236	Credit Suisse AG – HSI R Bull CBBC Jun 2012 A	2011/11/21	2011/11/22
68882	HK Bank – HSI R Bull CBBC Oct 2012 B	2011/11/21	2011/11/22
69028	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 F	2011/11/21	2011/11/22
68822	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 C	2011/11/21	2011/11/22
69258	Credit Suisse AG – TCH R Bear CBBC Apr 2012 B	2011/11/22	2011/11/23
69254	HK Bank – HSI R Bull CBBC Jul 2012 E	2011/11/22	2011/11/23
69249	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	2011/11/22	2011/11/23
69247	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 B	2011/11/22	2011/11/23
69049	Credit Suisse AG – CNOOC R Bull CBBC Jun 2012 B	2011/11/22	2011/11/23
68938	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 A	2011/11/22	2011/11/23
69125	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2012 B	2011/11/22	2011/11/23
69253	HK Bank – China Life R Bull CBBC Jul 2012 D	2011/11/22	2011/11/23
69255	HK Bank – HSI R Bull CBBC Jun 2012 G	2011/11/22	2011/11/23
69277	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	2011/11/23	2011/11/24
68923	Credit Suisse AG – HSI R Bull CBBC Jul 2012 N	2011/11/23	2011/11/24
68795	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 C	2011/11/23	2011/11/24
68738	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 N	2011/11/23	2011/11/24
68805	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 A	2011/11/23	2011/11/24
69094	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12P	2011/11/23	2011/11/24
69093	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12O	2011/11/23	2011/11/24
68924	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	2011/11/23	2011/11/24
68907	Credit Suisse AG – HSI R Bull CBBC Sep 2012 B	2011/11/23	2011/11/24
68698	UBS AG – Cheung Kong R Bull CBBC Jun 2012 A	2011/11/23	2011/11/24
69276	UBS AG – HSI R Bull CBBC Apr 2012 M	2011/11/23	2011/11/24
69273	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12F	2011/11/23	2011/11/24
69267	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12B	2011/11/23	2011/11/24
68881	HK Bank – HSI R Bull CBBC Oct 2012 A	2011/11/23	2011/11/24
69262	UBS AG – HSI R Bull CBBC Jul 2012 J	2011/11/23	2011/11/24
68718	UBS AG – HSI R Bull CBBC Jan 2012 S	2011/11/23	2011/11/24
69261	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	2011/11/23	2011/11/24
68761	UBS AG – HSI R Bull CBBC Mar 2012 S	2011/11/23	2011/11/24
68981	UBS AG – CNOOC R Bull CBBC Jul 2012 A	2011/11/23	2011/11/24
69027	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 E	2011/11/23	2011/11/24
68771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	2011/11/23	2011/11/24
68772	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	2011/11/23	2011/11/24
68975	UBS AG – ABC R Bull CBBC Jul 2012 A	2011/11/23	2011/11/24
69264	UBS AG – HSI R Bull CBBC Jun 2012 Y	2011/11/23	2011/11/24
69265	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12A	2011/11/23	2011/11/24
68670	UBS AG – Hutchison R Bull CBBC Jun 2012 C	2011/11/24	2011/11/25
68932	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 G	2011/11/24	2011/11/25
68996	UBS AG – HSI R Bull CBBC Mar 2012 Q	2011/11/24	2011/11/25
68931	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 B	2011/11/24	2011/11/25
68696	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 T	2011/11/24	2011/11/25
69091	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12L	2011/11/24	2011/11/25
68630	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	2011/11/24	2011/11/25
68541	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	2011/11/24	2011/11/25
68547	Credit Suisse AG – HSI R Bull CBBC May 2012 G	2011/11/24	2011/11/25
68815	UBS AG – HSI R Bull CBBC Jun 2012 M	2011/11/24	2011/11/25
68604	UBS AG – HSI R Bull CBBC Feb 2012 E	2011/11/24	2011/11/25
69092	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr 12M	2011/11/24	2011/11/25
68749	UBS AG – HSI R Bull CBBC Feb 2012 W	2011/11/24	2011/11/25
69294	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	2011/11/24	2011/11/25
69295	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12G	2011/11/24	2011/11/25
69297	UBS AG – HSI R Bull CBBC Feb 2012 N	2011/11/24	2011/11/25
68925	Credit Suisse AG – HSI R Bull CBBC Jul 2012 O	2011/11/24	2011/11/25
69305	UBS AG – COVS R Bull CBBC Aug 2012 B	2011/11/24	2011/11/25
69306	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 R	2011/11/24	2011/11/25
68770	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	2011/11/24	2011/11/25
69181	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 F	2011/11/24	2011/11/25
69313	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12K	2011/11/25	2011/11/28
68711	UBS AG – A50 China R Bull CBBC Jun 2012 A	2011/11/25	2011/11/28

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69311	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12H	2011/11/25	2011/11/28
69312	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12I	2011/11/25	2011/11/28
65976	Credit Suisse AG – TCH R Bear CBBC Nov 2011 J	2011/11/25	2011/11/29
69319	Credit Suisse AG – HSI R Bull CBBC May 2012 L	2011/11/25	2011/11/28
69322	UBS AG – HSI R Bull CBBC Mar 2012 J	2011/11/25	2011/11/28
69343	UBS AG – ICBC R Bull CBBC Jul 2012 D	2011/11/25	2011/11/28
69321	UBS AG – HSI R Bull CBBC Feb 2012 Q	2011/11/25	2011/11/28
69320	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	2011/11/25	2011/11/28
68699	UBS AG – SHK Ppt R Bull CBBC May 2012 A	2011/11/25	2011/11/28
65710	UBS AG – HSI R Bear CBBC Nov 2011 P	2011/11/28	2011/11/30
65567	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 O	2011/11/28	2011/11/30
65543	Credit Suisse AG – HSI R Bear CBBC Nov 2011 F	2011/11/28	2011/11/30
65473	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11L	2011/11/28	2011/11/30
65474	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11M	2011/11/28	2011/11/30
65559	UBS AG – HSI R Bear CBBC Nov 2011 J	2011/11/28	2011/11/30
63558	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 T	2011/11/28	2011/11/30
65581	UBS AG – HSI R Bear CBBC Nov 2011 M	2011/11/28	2011/11/30
65487	Credit Suisse AG – HSI R Bear CBBC Nov 2011 L	2011/11/28	2011/11/30
65634	Credit Suisse AG – HSI R Bear CBBC Nov 2011 N	2011/11/28	2011/11/30
65635	Credit Suisse AG – HSI R Bear CBBC Nov 2011 O	2011/11/28	2011/11/30
63173	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 D	2011/11/28	2011/11/30
65636	Credit Suisse AG – HSI R Bear CBBC Nov 2011 R	2011/11/28	2011/11/30
65499	Credit Suisse AG – HSI R Bear CBBC Nov 2011 K	2011/11/28	2011/11/30
65583	UBS AG – HSI R Bear CBBC Nov 2011 O	2011/11/28	2011/11/30
65595	Credit Suisse AG – HSI R Bear CBBC Nov 2011 D	2011/11/28	2011/11/30
65472	UBS AG – HSI R Bear CBBC Nov 2011 Q	2011/11/28	2011/11/30
69347	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 J	2011/11/28	2011/11/29
69351	UBS AG – HSI R Bull CBBC Mar 2012 E	2011/11/28	2011/11/29
64771	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2011 B	2011/11/28	2011/11/30
69353	UBS AG – HSI R Bull CBBC Feb 2012 O	2011/11/28	2011/11/29
69358	Credit Suisse AG – AIA R Bear CBBC Apr 2012 B	2011/11/28	2011/11/29
69355	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	2011/11/28	2011/11/29
65542	Credit Suisse AG – HSI R Bear CBBC Nov 2011 Z	2011/11/28	2011/11/30
65590	Credit Suisse AG – HSI R Bear CBBC Nov 2011 G	2011/11/28	2011/11/30
69356	Credit Suisse AG – TCH R Bull CBBC May 2012 C	2011/11/28	2011/11/29
64700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2011 A	2011/11/28	2011/11/30
69346	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12N	2011/11/28	2011/11/29
65714	UBS AG – HSI R Bear CBBC Nov 2011 U	2011/11/28	2011/11/30
65594	Credit Suisse AG – HSI R Bear CBBC Nov 2011 M	2011/11/28	2011/11/30
69354	Credit Suisse AG – HSI R Bull CBBC May 2012 B	2011/11/28	2011/11/29
64604	Credit Suisse AG – HSI R Bear CBBC Nov 2011 T	2011/11/28	2011/11/30
64088	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 I	2011/11/28	2011/11/30
64119	HK Bank – TCH R Bear CBBC Nov 2011 B	2011/11/28	2011/11/30
64209	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 J	2011/11/28	2011/11/30
64279	HK Bank – HSI R Bear CBBC Nov 2011 A	2011/11/28	2011/11/30
64281	HK Bank – HSI R Bear CBBC Nov 2011 B	2011/11/28	2011/11/30
63843	UBS AG – HSI R Bear CBBC Nov 2011 B	2011/11/28	2011/11/30
64520	UBS AG – HSI R Bear CBBC Nov 2011 G	2011/11/28	2011/11/30
64585	UBS AG – HSI R Bear CBBC Nov 2011 K	2011/11/28	2011/11/30
65446	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11 J	2011/11/28	2011/11/30
65447	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov11K	2011/11/28	2011/11/30
65445	Credit Suisse AG – HSI R Bear CBBC Nov 2011 X	2011/11/28	2011/11/30
65444	UBS AG – HSI R Bear CBBC Nov 2011 Z	2011/11/28	2011/11/30
64605	Credit Suisse AG – HSI R Bear CBBC Nov 2011 U	2011/11/28	2011/11/30
64626	Credit Suisse AG – HSI R Bear CBBC Nov 2011 W	2011/11/28	2011/11/30
65254	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 D	2011/11/28	2011/11/30
65253	RB of Scotland N.V. – HSI R Bear CBBC Nov 2011 C	2011/11/28	2011/11/30
64652	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 D	2011/11/28	2011/11/30
64664	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 E	2011/11/28	2011/11/30
64665	UBS AG – HSI R Bear CBBC Nov 2011 N	2011/11/28	2011/11/30
65239	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 J	2011/11/28	2011/11/30
65238	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2011 I	2011/11/28	2011/11/30
65186	UBS AG – HSI R Bear CBBC Nov 2011 W	2011/11/28	2011/11/30
64586	UBS AG – HSI R Bear CBBC Nov 2011 L	2011/11/28	2011/11/30
64085	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 G	2011/11/28	2011/11/30
64069	UBS AG – HSI R Bear CBBC Nov 2011 D	2011/11/28	2011/11/30
63910	UBS AG – HSI R Bear CBBC Nov 2011 C	2011/11/28	2011/11/30
63834	Credit Suisse AG – HSI R Bear CBBC Nov 2011 I	2011/11/28	2011/11/30
63833	Credit Suisse AG – HSI R Bear CBBC Nov 2011 H	2011/11/28	2011/11/30
64081	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 E	2011/11/28	2011/11/30
64082	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 F	2011/11/28	2011/11/30
63750	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 E	2011/11/28	2011/11/30
63566	Deutsche Bank AG – HSI R Bear CBBC Nov 2011 V	2011/11/28	2011/11/30
64087	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2011 H	2011/11/28	2011/11/30
69275	UBS AG – TCH R Bull CBBC Jun 2012 G	2011/11/29	2011/11/30
69387	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	2011/11/29	2011/11/30
69369	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 K	2011/11/29	2011/11/30
69386	Credit Suisse AG – HSI R Bear CBBC Mar 2012 R	2011/11/29	2011/11/30
69395	UBS AG – TCH R Bull CBBC Jul 2012 D	2011/11/29	2011/11/30
68582	UBS AG – TCH R Bull CBBC Jun 2012 A	2011/11/29	2011/11/30
68551	Credit Suisse AG – TCH R Bull CBBC Mar 2012 A	2011/11/29	2011/11/30
69388	UBS AG – HSI R Bear CBBC Apr 2012 T	2011/11/29	2011/11/30
69385	UBS AG – HSI R Bull CBBC Apr 2012 F	2011/11/29	2011/11/30
69389	UBS AG – HSI R Bear CBBC May 2012 R	2011/11/29	2011/11/30
69398	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	2011/11/29	2011/11/30
69362	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12S	2011/11/29	2011/11/30
69361	Credit Suisse AG – HSI R Bear CBBC Feb 2012 W	2011/11/29	2011/11/30

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69397	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	2011/11/29	2011/11/30
69365	UBS AG – HSI R Bear CBBC Feb 2012 Z	2011/11/29	2011/11/30
69380	CC Rabobank B.A. – HSI R Bull CBBC Jun 2012 A	2011/11/30	2011/12/01
69428	UBS AG – HSI R Bear CBBC Mar 2012 G	2011/11/30	2011/12/01
69412	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 L	2011/11/30	2011/12/01
69429	UBS AG – HSI R Bear CBBC Jun 2012 C	2011/11/30	2011/12/01
69425	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	2011/11/30	2011/12/01
69427	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 N	2011/11/30	2011/12/01
69423	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 D	2011/11/30	2011/12/01
69413	UBS AG – HSI R Bull CBBC Mar 2012 Y	2011/11/30	2011/12/01
69410	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12O	2011/11/30	2011/12/01
69408	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	2011/11/30	2011/12/01
69433	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	2011/11/30	2011/12/01
69422	Credit Suisse AG – HSI R Bear CBBC Mar 2012 S	2011/11/30	2011/12/01
69426	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 M	2011/11/30	2011/12/01
69298	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12Q	2011/12/01	2011/12/02
69304	UBS AG – HSI R Bear CBBC Apr 2012 K	2011/12/01	2011/12/02
69462	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 Q	2011/12/01	2011/12/02
69303	Credit Suisse AG – HSI R Bear CBBC Feb 2012 F	2011/12/01	2011/12/02
69307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 H	2011/12/01	2011/12/02
69308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 Q	2011/12/01	2011/12/02
69299	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 K	2011/12/01	2011/12/02
69453	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	2011/12/01	2011/12/02
69296	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12P	2011/12/01	2011/12/02
69278	Credit Suisse AG – HSI R Bear CBBC Mar 2012 T	2011/12/01	2011/12/02
69435	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 A	2011/12/01	2011/12/02
69438	Macquarie Bank Ltd. – HSCEI R Bear CBBC Jun 2012 B	2011/12/01	2011/12/02
69454	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	2011/12/01	2011/12/02
69431	UBS AG – China Life R Bear CBBC Jul 2012 F	2011/12/01	2011/12/02
69461	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 M	2011/12/01	2011/12/02
69437	Macquarie Bank Ltd. – HSCEI R Bear CBBC Jun 2012 A	2011/12/01	2011/12/02
69436	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 B	2011/12/01	2011/12/02
69458	UBS AG – ICBC R Bear CBBC May 2012 C	2011/12/01	2011/12/02
69434	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12P	2011/12/01	2011/12/02
69445	HK Bank – HSI R Bear CBBC Apr 2012 I	2011/12/01	2011/12/02
69359	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Y	2011/12/01	2011/12/02
69330	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12R	2011/12/01	2011/12/02
69374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 T	2011/12/01	2011/12/02
69373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	2011/12/01	2011/12/02
69390	UBS AG – HKEx R Bear CBBC Jun 2012 F	2011/12/01	2011/12/02
69407	Daiwa Capital Mkt – HSCEI R Bear CBBC Apr 2012 C	2011/12/01	2011/12/02
69400	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 S	2011/12/01	2011/12/02
69329	Credit Suisse AG – HSI R Bear CBBC Mar 2012 K	2011/12/01	2011/12/02
69357	Credit Suisse AG – China Life R Bear CBBC Apr 2012 C	2011/12/01	2011/12/02
69345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 T	2011/12/01	2011/12/02
69360	Credit Suisse AG – HSI R Bear CBBC Mar 2012 J	2011/12/01	2011/12/02
69363	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12T	2011/12/01	2011/12/02
69396	UBS AG – HSI R Bear CBBC Jun 2012 A	2011/12/01	2011/12/02
69366	UBS AG – HSI R Bear CBBC Feb 2012 P	2011/12/01	2011/12/02
69367	UBS AG – HSI R Bear CBBC Mar 2012 N	2011/12/01	2011/12/02
69372	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	2011/12/01	2011/12/02
69399	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 R	2011/12/01	2011/12/02
69371	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 C	2011/12/01	2011/12/02
69416	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 E	2011/12/01	2011/12/02
69415	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 D	2011/12/01	2011/12/02
69323	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 D	2011/12/01	2011/12/02
69324	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 C	2011/12/01	2011/12/02
69327	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Q	2011/12/01	2011/12/02
69328	Credit Suisse AG – HSI R Bear CBBC Mar 2012 I	2011/12/01	2011/12/02
69376	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12V	2011/12/01	2011/12/02
69331	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 A	2011/12/01	2011/12/02
69375	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12U	2011/12/01	2011/12/02
69381	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 B	2011/12/01	2011/12/02
69333	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 L	2011/12/01	2011/12/02
69334	UBS AG – HSI R Bear CBBC Feb 2012 B	2011/12/01	2011/12/02
69335	UBS AG – HSI R Bear CBBC Feb 2012 I	2011/12/01	2011/12/02
69336	UBS AG – HSI R Bear CBBC Mar 2012 X	2011/12/01	2011/12/02
69344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	2011/12/01	2011/12/02
69424	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 E	2011/12/01	2011/12/02
69411	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12X	2011/12/01	2011/12/02
69183	UBS AG – HSI R Bear CBBC Feb 2012 K	2011/12/01	2011/12/02
64787	HK Bank – A50 R Bear CBBC Dec 2011 D	2011/12/01	2011/12/05
69182	Credit Suisse AG – HSI R Bear CBBC Feb 2012 E	2011/12/01	2011/12/02
69189	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 H	2011/12/01	2011/12/02
69332	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 B	2011/12/01	2011/12/02
69468	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Z	2011/12/02	2011/12/05
69472	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 O	2011/12/02	2011/12/05
69471	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 V	2011/12/02	2011/12/05
69470	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 Z	2011/12/02	2011/12/05
69300	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	2011/12/02	2011/12/05
69194	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	2011/12/02	2011/12/05
69326	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 D	2011/12/02	2011/12/05
69201	Credit Suisse AG – HSI R Bear CBBC Mar 2012 N	2011/12/02	2011/12/05
69207	UBS AG – HSI R Bear CBBC Mar 2012 U	2011/12/02	2011/12/05
69378	HK Bank – HSI R Bear CBBC Apr 2012 G	2011/12/02	2011/12/05
69377	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12W	2011/12/02	2011/12/05
69348	Macquarie Bank Ltd. – HSI R Bear CBBC May 2012 E	2011/12/02	2011/12/05



## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69469	UBS AG – HSI R Bear CBBC Apr 2012 C	2011/12/02	2011/12/05
69250	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 B	2011/12/05	2011/12/06
69473	Credit Suisse AG – HSI R Bear CBBC Mar 2012 H	2011/12/05	2011/12/06
69474	UBS AG – HSI R Bear CBBC May 2012 W	2011/12/05	2011/12/06
69475	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12Y	2011/12/05	2011/12/06
69478	Credit Suisse AG – HSBC R Bear CBBC Apr 2012 C	2011/12/05	2011/12/06
69476	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12Z	2011/12/05	2011/12/06
69489	UBS AG – HSI R Bear CBBC Apr 2012 D	2011/12/06	2011/12/07
69506	UBS AG – HSI R Bear CBBC May 2012 A	2011/12/06	2011/12/07
69517	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 P	2011/12/06	2011/12/07
69496	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12S	2011/12/06	2011/12/07
69491	Credit Suisse AG – TCH R Bear CBBC Apr 2012 D	2011/12/06	2011/12/07
69492	Credit Suisse AG – HSI R Bear CBBC Mar 2012 A	2011/12/06	2011/12/07
69516	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 O	2011/12/06	2011/12/07
69493	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 P	2011/12/06	2011/12/07
69497	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	2011/12/06	2011/12/07
69515	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 N	2011/12/06	2011/12/07
69498	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	2011/12/06	2011/12/07
69502	UBS AG – TCH R Bear CBBC Apr 2012 E	2011/12/06	2011/12/07
69530	UBS AG – HSI R Bear CBBC Mar 2012 J	2011/12/07	2011/12/08
69186	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 R	2011/12/07	2011/12/08
69382	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 C	2011/12/07	2011/12/08
69518	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Q	2011/12/07	2011/12/08
69528	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 S	2011/12/07	2011/12/08
69282	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 T	2011/12/07	2011/12/08
69171	Credit Suisse AG – HSI R Bear CBBC Feb 2012 X	2011/12/07	2011/12/08
69519	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 R	2011/12/07	2011/12/08
69339	UBS AG – China Life R Bear CBBC Jun 2012 E	2011/12/07	2011/12/08
69184	UBS AG – HSI R Bear CBBC Feb 2012 M	2011/12/07	2011/12/08
69195	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	2011/12/07	2011/12/08
69529	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 T	2011/12/07	2011/12/08
69325	Credit Suisse AG – China Life R Bear CBBC Apr 2012 B	2011/12/07	2011/12/08
69159	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12G	2011/12/07	2011/12/08
69560	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 F	2011/12/08	2011/12/09
69553	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	2011/12/08	2011/12/09
69541	UBS AG – HSI R Bear CBBC May 2012 Y	2011/12/08	2011/12/09
69539	UBS AG – HSI R Bear CBBC Apr 2012 L	2011/12/08	2011/12/09
69542	UBS AG – HSI R Bull CBBC Mar 2012 Y	2011/12/08	2011/12/09
69538	UBS AG – HSI R Bear CBBC Apr 2012 N	2011/12/08	2011/12/09
69537	UBS AG – HSI R Bear CBBC Mar 2012 E	2011/12/08	2011/12/09
69535	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12R	2011/12/08	2011/12/09
69534	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12Q	2011/12/08	2011/12/09
69533	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 12P	2011/12/08	2011/12/09
69485	Credit Suisse AG – TCH R Bear CBBC Apr 2012 C	2011/12/08	2011/12/09
69540	UBS AG – HSI R Bear CBBC May 2012 H	2011/12/08	2011/12/09
69571	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	2011/12/09	2011/12/12
69570	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	2011/12/09	2011/12/12
69572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	2011/12/09	2011/12/12
69561	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 G	2011/12/09	2011/12/12
69555	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 B	2011/12/09	2011/12/12
69552	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	2011/12/09	2011/12/12
69549	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12L	2011/12/09	2011/12/12
69574	UBS AG – HSI R Bull CBBC May 2012 R	2011/12/09	2011/12/12
69543	UBS AG – HSI R Bull CBBC Mar 2012 G	2011/12/09	2011/12/12
69575	UBS AG – HSI R Bull CBBC Jun 2012 F	2011/12/09	2011/12/12
65087	UBS AG – HSBC R Bear CBBC Dec 2011 A	2011/12/09	2011/12/13
69545	UBS AG – HSI R Bull CBBC Apr 2012 F	2011/12/09	2011/12/12
69588	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12B	2011/12/09	2011/12/12
69587	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12A	2011/12/09	2011/12/12
69603	UBS AG – HSI R Bull CBBC Apr 2012 T	2011/12/12	2011/12/13
69602	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 20I	2011/12/12	2011/12/13
69601	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 20H	2011/12/12	2011/12/13
69599	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 20G	2011/12/12	2011/12/13
69607	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	2011/12/12	2011/12/13
69608	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	2011/12/12	2011/12/13
69596	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 E	2011/12/12	2011/12/13
69576	UBS AG – HSI R Bull CBBC Jun 2012 I	2011/12/13	2011/12/14
69548	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12T	2011/12/13	2011/12/14
69551	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	2011/12/13	2011/12/14
69444	UBS AG – Hutchison R Bull CBBC Jun 2012 E	2011/12/13	2011/12/14
69606	UBS AG – China Life R Bull CBBC Mar 2012 E	2011/12/13	2011/12/14
69544	UBS AG – HSI R Bull CBBC Apr 2012 M	2011/12/13	2011/12/14
69610	UBS AG – HSI R Bull CBBC Jun 2012 D	2011/12/13	2011/12/14
69611	UBS AG – HSI R Bull CBBC Jul 2012 P	2011/12/13	2011/12/14
69618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	2011/12/13	2011/12/14
69619	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	2011/12/13	2011/12/14
69620	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	2011/12/13	2011/12/14
69547	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12D	2011/12/13	2011/12/14
69563	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 I	2011/12/13	2011/12/14
69562	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 H	2011/12/13	2011/12/14
69598	Macquarie Bank Ltd. – HSCEI R Bull CBBC Jun 2012 D	2011/12/13	2011/12/14
69568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	2011/12/13	2011/12/14
69586	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12S	2011/12/13	2011/12/14
69573	UBS AG – HSI R Bull CBBC Mar 2012 N	2011/12/13	2011/12/14
69578	UBS AG – A50 China R Bull CBBC Mar 2012 B	2011/12/13	2011/12/14
69633	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	2011/12/14	2011/12/15
69632	UBS AG – China Life R Bull CBBC Apr 2012 A	2011/12/14	2011/12/15

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69631	UBS AG – TCH R Bull CBBC Apr 2012 F	2011/12/14	2011/12/15
69630	UBS AG – HSBC R Bull CBBC Apr 2012 C	2011/12/14	2011/12/15
69629	UBS AG – CC Bank R Bull CBBC May 2012 I	2011/12/14	2011/12/15
69627	UBS AG – HSI R Bull CBBC Apr 2012 C	2011/12/14	2011/12/15
69628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 C	2011/12/14	2011/12/15
69483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 A	2011/12/15	2011/12/16
69524	UBS AG – HSI R Bull CBBC Mar 2012 Q	2011/12/15	2011/12/16
69525	UBS AG – HSI R Bull CBBC May 2012 T	2011/12/15	2011/12/16
69511	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	2011/12/15	2011/12/16
69508	UBS AG – HSI R Bull CBBC Apr 2012 S	2011/12/15	2011/12/16
69251	HK Bank – PetCh R Bull CBBC Nov 2012 A	2011/12/15	2011/12/16
69522	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	2011/12/15	2011/12/16
69512	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	2011/12/15	2011/12/16
69585	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12R	2011/12/15	2011/12/16
69641	UBS AG – HSI R Bull CBBC May 2012 W	2011/12/15	2011/12/16
69640	UBS AG – HSI R Bull CBBC Mar 2012 U	2011/12/15	2011/12/16
69637	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 M	2011/12/15	2011/12/16
69636	HK Bank – HSI R Bull CBBC Jul 2012 F	2011/12/15	2011/12/16
69617	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	2011/12/15	2011/12/16
69604	UBS AG – HSI R Bull CBBC Jul 2012 N	2011/12/15	2011/12/16
69597	Macquarie Bank Ltd. – HSCEI R Bull CBBC Jun 2012 C	2011/12/15	2011/12/16
69564	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 J	2011/12/15	2011/12/16
69594	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 C	2011/12/15	2011/12/16
69526	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 S	2011/12/15	2011/12/16
69582	UBS AG – CNOOC R Bull CBBC Apr 2012 C	2011/12/15	2011/12/16
69567	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	2011/12/15	2011/12/16
69565	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 K	2011/12/15	2011/12/16
69554	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 A	2011/12/15	2011/12/16
69550	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 J	2011/12/15	2011/12/16
69546	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12C	2011/12/15	2011/12/16
69495	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12E	2011/12/15	2011/12/16
69595	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 D	2011/12/15	2011/12/16
64133	RB of Scotland N.V. – DJIA R Bear CBBC Dec 2011 B	2011/12/15	2011/12/19
69455	Credit Suisse AG – HSI R Bull CBBC Jul 2012 U	2011/12/15	2011/12/16
68977	UBS AG – China Life R Bull CBBC Aug 2012 A	2011/12/15	2011/12/16
69459	UBS AG – HSI R Bull CBBC May 2012 L	2011/12/15	2011/12/16
68949	Credit Suisse AG – China Life R Bull CBBC May 2012 A	2011/12/15	2011/12/16
69527	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12W	2011/12/15	2011/12/16
69081	HK Bank – A50 R Bull CBBC Jul 2012 B	2011/12/15	2011/12/16
64781	HK Bank – China Mobile R Bull CBBC Dec 2011 A	2011/12/15	2011/12/19
66023	RB of Scotland N.V. – DJIA R Bull CBBC Dec 2011 D	2011/12/15	2011/12/19
65007	UBS AG – HKEx R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
65008	UBS AG – TCH R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
69647	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	2011/12/16	2011/12/19
66118	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
65145	UBS AG – COVS R Bear CBBC Dec 2011 A	2011/12/16	2011/12/20
69658	UBS AG – HSI R Bull CBBC Jun 2012 O	2011/12/16	2011/12/19
69069	Daiwa Capital Mkt – A50 China R Bull CBBC Jun 2012 A	2011/12/16	2011/12/19
69648	UBS AG – HSI R Bull CBBC May 2012 A	2011/12/16	2011/12/19
66457	Credit Suisse AG – Hutchison R Bear CBBC Dec 2011 E	2011/12/16	2011/12/20
66458	Credit Suisse AG – China Mobile R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
66175	Credit Suisse AG – A50 China R Bear CBBC Dec 2011 B	2011/12/16	2011/12/20
65977	Credit Suisse AG – TCH R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
69649	UBS AG – HSI R Bull CBBC Jun 2012 T	2011/12/16	2011/12/19
66169	Credit Suisse AG – HSBC R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
68518	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 E	2011/12/16	2011/12/19
66238	Credit Suisse AG – AIA R Bear CBBC Dec 2011 C	2011/12/16	2011/12/20
68659	UBS AG – A50 China R Bull CBBC May 2012 E	2011/12/16	2011/12/19
68270	Deutsche Bank AG – DJIA R Bear CBBC Dec 2011 A	2011/12/16	2011/12/20
66451	Credit Suisse AG – China Mobile R Bull CBBC Dec 2011 B	2011/12/16	2011/12/20
66456	Credit Suisse AG – China Life R Bull CBBC Dec 2011 A	2011/12/16	2011/12/20
68792	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Sep 2012 B	2011/12/16	2011/12/19
69488	HK Bank – HSI R Bull CBBC Aug 2012 H	2011/12/19	2011/12/20
68862	UBS AG – China Life R Bull CBBC Jul 2012 A	2011/12/19	2011/12/20
69612	UBS AG – HSI R Bull CBBC Jul 2012 Q	2011/12/19	2011/12/20
69666	UBS AG – HSI R Bull CBBC Jul 2012 T	2011/12/19	2011/12/20
69667	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12P	2011/12/19	2011/12/20
68980	UBS AG – Petrochina R Bull CBBC Jul 2012 A	2011/12/19	2011/12/20
69510	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	2011/12/19	2011/12/20
69665	UBS AG – HSI R Bull CBBC Mar 2012 Y	2011/12/19	2011/12/20
69668	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 12A	2011/12/20	2011/12/21
69671	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May 12V	2011/12/20	2011/12/21
69672	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May 12X	2011/12/20	2011/12/21
69673	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May 12W	2011/12/20	2011/12/21
69678	UBS AG – HSI R Bull CBBC Mar 2012 E	2011/12/20	2011/12/21
69679	UBS AG – HSI R Bull CBBC Jun 2012 W	2011/12/20	2011/12/21
69676	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 A	2011/12/20	2011/12/21
69687	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 A	2011/12/20	2011/12/21
69688	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 B	2011/12/20	2011/12/21
69689	UBS AG – HSI R Bull CBBC Apr 2012 N	2011/12/21	2011/12/22
69695	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12H	2011/12/21	2011/12/22
69690	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 F	2011/12/21	2011/12/22
69691	UBS AG – HSI R Bear CBBC Apr 2012 F	2011/12/21	2011/12/22
69716	UBS AG – HSI R Bear CBBC Apr 2012 M	2011/12/22	2011/12/23
69717	UBS AG – HSI R Bear CBBC May 2012 R	2011/12/22	2011/12/23
69712	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12D	2011/12/22	2011/12/23
69624	HK Bank – CNOOC R Bull CBBC Oct 2012 B	2011/12/22	2011/12/23

## List of expired CBBC, 2011 (continued)

Code	CBBC	Last trading date	Delisted date
69479	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 D	2011/12/22	2011/12/23
69715	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	2011/12/22	2011/12/23
69714	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	2011/12/22	2011/12/23
69713	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12S	2011/12/22	2011/12/23
69699	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	2011/12/22	2011/12/23
69708	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12I	2011/12/22	2011/12/23
69680	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12L	2011/12/23	2011/12/28
69697	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	2011/12/23	2011/12/28
69659	UBS AG – HSI R Bear CBBC Jul 2012 S	2011/12/23	2011/12/28
69681	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar 12G	2011/12/23	2011/12/28
69660	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 C	2011/12/23	2011/12/28
69686	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	2011/12/23	2011/12/28
69682	UBS AG – HSI R Bear CBBC Mar 2012 G	2011/12/23	2011/12/28
69722	UBS AG – HSI R Bear CBBC Mar 2012 Q	2011/12/23	2011/12/28
66155	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 F	2011/12/28	2011/12/30
69749	UBS AG – HSI R Bear CBBC Mar 2012 U	2011/12/28	2011/12/29
66154	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
69750	UBS AG – HSI R Bear CBBC Jun 2012 M	2011/12/28	2011/12/29
69748	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 N	2011/12/28	2011/12/29
69753	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	2011/12/28	2011/12/29
66223	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 R	2011/12/28	2011/12/30
66153	J P Morgan SP BV – HSI R Bear CBBC Dec 2011 D	2011/12/28	2011/12/30
69752	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	2011/12/28	2011/12/29
69747	Credit Suisse AG – HSI R Bear CBBC Mar 2012 J	2011/12/28	2011/12/29
69746	Credit Suisse AG – HSI R Bear CBBC Mar 2012 I	2011/12/28	2011/12/29
66202	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 O	2011/12/28	2011/12/30
69744	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 H	2011/12/28	2011/12/29
66245	Credit Suisse AG – HSI R Bear CBBC Dec 2011 H	2011/12/28	2011/12/30
66246	Credit Suisse AG – HSI R Bear CBBC Dec 2011 K	2011/12/28	2011/12/30
69739	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	2011/12/28	2011/12/29
69736	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	2011/12/28	2011/12/29
66466	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 X	2011/12/28	2011/12/30
66201	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 N	2011/12/28	2011/12/30
66493	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
65158	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11N	2011/12/28	2011/12/30
65162	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11R	2011/12/28	2011/12/30
65133	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11M	2011/12/28	2011/12/30
65132	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11L	2011/12/28	2011/12/30
65129	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11K	2011/12/28	2011/12/30
66467	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 Y	2011/12/28	2011/12/30
64827	UBS AG – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
65580	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 K	2011/12/28	2011/12/30
64628	Credit Suisse AG – HSI R Bear CBBC Dec 2011 F	2011/12/28	2011/12/30
65240	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 D	2011/12/28	2011/12/30
65616	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 J	2011/12/28	2011/12/30
65241	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
65849	UBS AG – HSI R Bear CBBC Dec 2011 C	2011/12/28	2011/12/30
65243	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 G	2011/12/28	2011/12/30
65122	UBS AG – HSI R Bear CBBC Dec 2011 N	2011/12/28	2011/12/30
65582	UBS AG – HSI R Bear CBBC Dec 2011 V	2011/12/28	2011/12/30
66585	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 L	2011/12/28	2011/12/30
66550	Credit Suisse AG – HSI R Bear CBBC Dec 2011 B	2011/12/28	2011/12/30
66549	Credit Suisse AG – HSI R Bear CBBC Dec 2011 Z	2011/12/28	2011/12/30
66513	Credit Suisse AG – HSI R Bear CBBC Dec 2011 X	2011/12/28	2011/12/30
66512	Credit Suisse AG – HSI R Bear CBBC Dec 2011 V	2011/12/28	2011/12/30
66497	Credit Suisse AG – HSI R Bear CBBC Dec 2011 G	2011/12/28	2011/12/30
65560	UBS AG – HSI R Bear CBBC Dec 2011 T	2011/12/28	2011/12/30
65523	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11Y	2011/12/28	2011/12/30
65568	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 J	2011/12/28	2011/12/30
65525	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11Z	2011/12/28	2011/12/30
65544	Credit Suisse AG – HSI R Bear CBBC Dec 2011 I	2011/12/28	2011/12/30
65558	Credit Suisse AG – HSI R Bear CBBC Dec 2011 L	2011/12/28	2011/12/30
65160	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11P	2011/12/28	2011/12/30
65159	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11O	2011/12/28	2011/12/30
64627	Credit Suisse AG – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
65161	SGA Societe Generale Acceptance N.V. -HSI R Bear CBBC Dec11Q	2011/12/28	2011/12/30
65521	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11W	2011/12/28	2011/12/30
65479	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 K	2011/12/28	2011/12/30
65242	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 F	2011/12/28	2011/12/30
65715	UBS AG – HSI R Bear CBBC Dec 2011 Z	2011/12/28	2011/12/30
65788	Credit Suisse AG – HSI R Bear CBBC Dec 2011 R	2011/12/28	2011/12/30
65504	RB of Scotland N.V. – HSCEI R Bear CBBC Dec 2011 B	2011/12/28	2011/12/30
65519	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11U	2011/12/28	2011/12/30
65441	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec11 S	2011/12/28	2011/12/30
65789	Credit Suisse AG – HSI R Bear CBBC Dec 2011 T	2011/12/28	2011/12/30
66474	Credit Suisse AG – HSI R Bear CBBC Dec 2011 D	2011/12/28	2011/12/30
66584	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 F	2011/12/28	2011/12/30
65847	UBS AG – HSI R Bear CBBC Dec 2011 Y	2011/12/28	2011/12/30
65903	Credit Suisse AG – HSI R Bear CBBC Dec 2011 W	2011/12/28	2011/12/30
65522	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11X	2011/12/28	2011/12/30
66073	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 O	2011/12/28	2011/12/30
66074	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2011 P	2011/12/28	2011/12/30
65520	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec 11V	2011/12/28	2011/12/30
64121	HK Bank – HSI R Bear CBBC Dec 2011 A	2011/12/28	2011/12/30
65442	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec11 T	2011/12/28	2011/12/30
64493	CC Rabobank B.A. – HSI R Bear CBBC Dec 2011 E	2011/12/28	2011/12/30
64284	HK Bank – HSI R Bear CBBC Dec 2011 C	2011/12/28	2011/12/30

**List of expired CBBC, 2011 (continued)**

Code	CBBC	Last trading date	Delisted date
64196	UBS AG – HSI R Bear CBBC Dec 2011 A	2011/12/28	2011/12/30
65501	UBS AG – HSI R Bear CBBC Dec 2011 Q	2011/12/28	2011/12/30
64122	HK Bank – HSI R Bear CBBC Dec 2011 B	2011/12/28	2011/12/30
66643	Deutsche Bank AG – HSI R Bull CBBC Dec 2011 D	2011/12/28	2011/12/30
64120	HK Bank – A50 R Bear CBBC Dec 2011 C	2011/12/28	2011/12/30
65470	UBS AG – HSI R Bear CBBC Dec 2011 M	2011/12/28	2011/12/30
65617	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 K	2011/12/28	2011/12/30
65627	RB of Scotland N.V. – HSI R Bear CBBC Dec 2011 L	2011/12/28	2011/12/30
65629	Macquarie Bank Ltd. – HSI R Bear CBBC Dec 2011 C	2011/12/28	2011/12/30
65477	Deutsche Bank AG – HSI R Bear CBBC Dec 2011 J	2011/12/28	2011/12/30
65637	Credit Suisse AG – HSI R Bear CBBC Dec 2011 M	2011/12/28	2011/12/30
64159	HK Bank – Hutchison R Bear CBBC Dec 2011 A	2011/12/28	2011/12/30
69764	J P Morgan SP BV – HSI R Bear CBBC May 2012 A	2011/12/29	2011/12/30
69756	UBS AG – HSI R Bear CBBC Jun 2012 C	2011/12/29	2011/12/30
69759	Credit Suisse AG – HSI R Bear CBBC Mar 2012 K	2011/12/29	2011/12/30
69760	Credit Suisse AG – HSI R Bear CBBC Mar 2012 T	2011/12/29	2011/12/30

**Market value and trading for Callable Bull/Bear Contracts, 2007 – 2011**

Year/Month	Number*	Market value* (HK\$ mil.)	Turnover value (HK\$ mil.)	(%) of market total
2007	131	20,406.20	71,379.93	0.33
2008	1,314	195,229.08	1,039,556.77	5.91
2009	1,692	91,190.57	1,676,064.95	10.86
2010	1,064	49,816.76	1,455,404.28	8.52
2011	901	43,598.79	1,852,136.14	10.84
2011 Jan	1,134	50,319.78	122,137.06	7.79
Feb	988	43,432.41	107,789.70	8.27
Mar	974	43,399.41	137,170.29	7.57
Apr	853	38,332.31	102,933.04	7.22
May	791	33,963.53	95,643.70	7.40
Jun	711	32,550.52	127,252.52	8.73
Jul	690	29,867.44	123,701.80	9.27
Aug	652	35,768.06	184,287.25	10.19
Sep	642	45,153.85	210,158.40	14.80
Oct	700	43,392.06	241,086.46	16.90
Nov	926	49,029.70	240,430.62	18.27
Dec	901	43,598.79	159,545.30	17.24

\* Month-end or year-end figures

## Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04505*	Hongkong Electric Finance Ltd.	4.25% Notes 2020	96.289	2020/12/14	2011/01/20
04506	CNOOC Finance (2011) Ltd.	4.25% Guaranteed Notes 2021	98.590	2021/01/26	2011/01/27
04507	CNOOC Finance (2011) Ltd.	5.75% Guaranteed Notes 2041	99.448	2041/01/26	2011/01/27
04011	Hong Kong Monetary Authority	0.58% Exchange Fund Notes 2013	99.630 99.610	2013/02/18	2011/02/17
04012	Hong Kong Monetary Authority	3.32% Exchange Fund Notes 2026	103.970	2026/02/25	2011/02/28
04207	The Govt of the HKSAR of the PRC	0.85% Bonds 2013	100.320	2013/03/04	2011/03/04
04508	Xpress Group Ltd.	15.0% Non-Convertible Bonds 2016	100.000	2016/03/10	2011/03/15
04188*	Hong Kong Monetary Authority	1.67% Exchange Fund Notes 2014	101.950	2014/03/24	2011/03/21
04013	Hong Kong Monetary Authority	1.91% Exchange Fund Notes 2016	100.760	2016/03/21	2011/03/22
86003	HKCG (Finance) Ltd.	1.40% RMB Fixed Rate Notes 2016	100.000	2016/04/11	2011/04/11
04509	Hong Kong Mortgage Corporation Ltd.	Floating Rate Notes 2013	99.839	2013/04/15	2011/04/18
04510	CITIC Pacific Ltd.	6.625% Notes 2021	100.000	2021/04/15	2011/04/18
04511	CITIC Pacific Ltd.	7.875% Perpetual Subordinated Capital Sec	100.000	Perpetual	2011/04/18
04512	CNPC (HK) Overseas Capital Ltd.	3.125% Guaranteed Senior N 2016	98.968	2016/04/28	2011/04/29
04513	CNPC (HK) Overseas Capital Ltd.	4.50% Guaranteed Senior N 2021	97.717	2021/04/28	2011/04/29
04514	CNPC (HK) Overseas Capital Ltd.	5.95% Guaranteed Senior N 2041	97.812	2041/04/28	2011/04/29
04515	China Huiyuan Juice Group Ltd.	4% Convertible Bonds 2016	100.000	2016/04/29	2011/05/03
04206*	The Govt of the HKSAR of the PRC	1.43% Bonds 2015	99.080	2015/12/02	2011/05/05
04516	China Resources Power East Foundation Co., Ltd.	Perpetual Subordinated Guaranteed Capital Securities	100.000	Perpetual	2011/05/11
04517	China Power International Development Ltd.	USD Settled 2.25% Convertible Bonds 2016	100.000	2016/05/17	2011/05/17
04014	Hong Kong Monetary Authority	0.59% Exchange Fund Notes 2013	100.200	2013/05/20	2011/05/19
04191*	Hong Kong Monetary Authority	2.46% Exchange Fund Notes 2014	105.400	2014/06/23	2011/06/13
04015	Hong Kong Monetary Authority	1.57% Exchange Fund Notes 2016	101.190	2016/06/13	2011/06/14
04016	Hong Kong Monetary Authority	2.31% Exchange Fund Notes 2021	100.700	2021/06/21	2011/06/21
86004	Rainbow Days Ltd. 2%	2% RMB Guaranteed Bonds 2014	100.000	2014/06/30	2011/07/04
86005	Rainbow Days Ltd. 3%	3% RMB Guaranteed Bonds 2016	100.000	2016/06/30	2011/07/04
86006	Genting Hong Kong Ltd.	3.95% RMB Bonds 2014	100.000	2014/06/30	2011/07/05
04518	Wakala Global Sukuk Berhad	USD Trust Certificates 2016	100.000	2016/07/06	2011/07/07
04519	Wakala Global Sukuk Berhad	USD Trust Certificates 2021	100.000	2021/07/06	2011/07/07
04520	CLP Power Hong Kong Financing Ltd.	4.75% Notes 2021	99.324	2021/07/12	2011/07/13
86007	Intime Depart Store (Group) Co. Ltd.	4.65% RMB Guaranteed Bonds 2014	100.000	2014/07/19	2011/07/20
04208	The Government of the HKSAR of the PRC	iBonds 2014	100.000	2014/07/28	2011/07/29
04209	The Government of the HKSAR of the PRC	2.46% Bonds 2021	103.110	2021/08/04	2011/08/05
04017	Hong Kong Monetary Authority	0.26% Exchange Fund Notes 2013	100.210	2013/08/19	2011/08/18
04018	Hong Kong Monetary Authority	2.07% Exchange Fund Notes 2026	100.880	2026/08/26	2011/08/29
86008	Tesco PLC	1.75% RMB Notes 2014	100.000	2014/09/01	2011/09/02
04210	The Government of the HKSAR of the PRC	0.32% Bonds 2013	100.220	2013/09/09	2011/09/09
04521	The Hong Kong Mortgage Corp Ltd.	Floating Rate Notes 2012	100.000	2012/09/12	2011/09/14
04522	Wharf Finance (No.1) Ltd.	2.875% Fixed Rate Notes 2026	100.000	2026/09/16	2011/09/19
04194*	Hong Kong Monetary Authority, The	1.69% Exchange Fund Notes 2014	104.290	2014/09/29	2011/09/19
04019	Hong Kong Monetary Authority	0.79% Exchange Fund Notes 2016	100.210	2016/09/19	2011/09/20
86009	Asia Cement (China) Holdings Corporation	2.95% RMB Notes 2014	100.000	2014/09/30	2011/10/03
04523	CLP Power Hong Kong Financing Ltd.	2.50% Notes 2026	100.000	2026/10/13	2011/10/14
04524	The Hong Kong Mortgage Corporation Ltd.	Floating Rate Notes Oct 2012	100.000	2012/10/17	2011/10/18
04525	The Hong Kong Mortgage Corporation Ltd.	Floating Rate Notes Oct 2012 A	100.000	2012/10/20	2011/10/24
86010	CNPC Golden Autumn Ltd.	2.55% Guaranteed RMB Bonds 2013	99.903	2013/10/26	2011/10/27

## Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
86011	CNPC Golden Autumn Ltd.	2.95% Guaranteed RMB Bonds 2014	99.857	2014/10/26	2011/10/27
04526	Standard Chartered Bank (Hong Kong) Ltd.	4.15% Subordinated Notes 2021	100.000	2021/10/27	2011/10/28
04527	Sun Hung Kai Properties (Capital Market) Ltd.	3.5% Instruments 2016	99.901	2016/11/02	2011/11/03
04202 #	The Govt of the HKSAR of the PRC	2.07% Bonds 2014	104.770	2014/11/03	2011/11/03
04528	Bank of China (Hong Kong) Ltd.	3.75% Notes 2016	99.711	2016/11/08	2011/11/09
04020	Hong Kong Monetary Authority	0.26% Exchange Fund Notes 2013	100.030	2013/11/18	2011/11/17
04021	Hong Kong Monetary Authority	1.19% Exchange Fund Notes 2021	98.590	2021/12/06	2011/12/06
04529	Skysea International Capital Management Ltd.	4.875% Guaranteed Notes 2021	97.708	2021/12/07	2011/12/08
04211	The Government of the HKSAR of the PRC	0.97% Bonds 2016	99.420	2016/12/08	2011/12/09
04197 #	Hong Kong Monetary Authority, The	1.69% Exchange Fund Notes 2014	103.420	2014/12/22	2011/12/19
04022	Hong Kong Monetary Authority, The	0.82% Exchange Fund Notes 2016	99.540	2016/12/19	2011/12/20

# Further issue

## Withdrawal of listed debt securities

Code	Issuer	Particulars	Last trading date	Delisted date
04304	HBAP	Redemption of its issue of 7.9% State Treasury of Vietnam Credit Linked Notes due 2011	2011/01/13	2011/01/19
04186	Hong Kong Monetary Authority	Redemption of its issue of 0.75% Exchange Fund Notes due 2011	2011/02/11	2011/02/16
04120	Hong Kong Monetary Authority	Redemption of its issue of 3.27% Exchange Fund Notes due 2011	2011/02/22	2011/02/25
02548	HBAP	Redemption of its issue of 5.00% Republic of Korea Credit Linked Notes due 2011	2011/03/08	2011/03/14
04149	Hong Kong Monetary Authority	Redemption of its issue of 4.23% Exchange Fund Notes due 2011	2011/03/16	2011/03/21
01606	PB Issuer Ltd.	Early redemption of its issue of 3.3% Guaranteed Convertible Bonds due 2013	2011/03/18	2011/03/24
01504	Rafflesia Capital	Redemption of its issue of 1.25% Exchangeable Trust Certificates due 2011	2011/04/06	2011/04/12
04305	Magnificent Estates Ltd.	Redemption of its issue of 5% Mandatorily Conv Bonds due 2011	2011/04/08	2011/04/14
02588	Hong Kong Link 2004 Ltd.	Redemption of its issue of 4.28% Secured Retail Bonds due due 2011	2011/05/04	2011/05/11
04189	Hong Kong Monetary Authority	Redemption of its issue of 0.64% Exchange Fund Notes due 2011	2011/05/13	2011/05/18
02554	People's Republic of China – 10	Redemption of its issue of 6.80% Notes due 2011	2011/05/18	2011/05/24
02556	People's Republic of China – 100		2011/05/18	2011/05/24
02555	People's Republic of China – 1000		2011/05/18	2011/05/24
01511	Treasure Source Ltd.	Redemption of its issue of 2% Guaranteed Convertible Bonds due 2011	2011/05/18	2011/05/24
04123	Hong Kong Monetary Authority	Redemption of its issue of 4.50% Exchange Fund Notes due 2011	2011/05/24	2011/05/27
04153	Hong Kong Monetary Authority	Redemption of its issue of 4.57% Exchange Fund Notes due 2011	2011/06/08	2011/06/13
02528	Shanghai Industrial Urban Dev	Redemption of its issue of Zero Coupon Conv Bonds due 2011	2011/06/08	2011/06/14
04083	Hong Kong Monetary Authority	Redemption of its issue of 6.13% Exchange Fund Notes due 2011	2011/06/15	2011/06/20
02551	Hang Seng Bank Ltd.	Early redemption of its issue of Floating Rate Subordinated Notes due 2016	2011/07/15	2011/07/21
02552	Sinofert Holdings Ltd.	Redemption of its issue of Zero Coupon Conv Bonds due due 2011	2011/08/03	2011/08/09
04192	Hong Kong Monetary Authority	Redemption of its issue of 0.43% Exchange Fund Notes due 2011	2011/08/12	2011/08/17
04127	Hong Kong Monetary Authority	Redemption of its issue of 3.93% Exchange Fund Notes due 2011	2011/08/23	2011/08/26
04201	The Govt of the HKSAR of the PRC	Redemption of its issue of 0.92% Bonds due 2011	2011/08/31	2011/09/05
04156	Hong Kong Monetary Authority	Redemption of its issue of 3.97% Exchange Fund Notes due 2011	2011/09/14	2011/09/19
04195	Hong Kong Monetary Authority	Redemption of its issue of 0.55% Exchange Fund Notes due 2011	2011/11/11	2011/11/16
01507	Yue Yuen Industrial (Holdings)	Redemption of its issue of Zero Coupon Conv. Bonds due 2011	2011/11/14	2011/11/18
04130	Hong Kong Monetary Authority	Redemption of its issue of 3.12% Exchange Fund Notes due 2011	2011/11/22	2011/11/25
04089	Hong Kong Monetary Authority	Redemption of its issue of 5.92% Exchange Fund Notes due 2011	2011/11/30	2011/12/05
04160	Hong Kong Monetary Authority	Redemption of its issue of 3.63% Exchange Fund Notes due 2011	2011/12/14	2011/12/19

## Debt securities trading by type of issue, 2007 – 2011

HK\$ mil

Year/month	No. of issues	Bank	Corporation	State			Total
				State	corporation	Supranational	
2007	175	0.00	0.00	3.29	6.79	0.00	10.09
2008	172	0.00	0.00	1.30	6.10	0.00	7.39
2009	157	0.00	0.00	0.00	3.97	0.00	3.97
2010	169	0.00	0.00	0.00	1.58	0.00	1.58
2011	169	0.00	0.98	840.33	1.95	0.00	843.26
2011 Jan	170	0.00	0.00	0.00	0.80	0.00	0.80
Feb	170	0.00	0.00	0.00	0.25	0.00	0.25
Mar	170	0.00	0.02	0.00	0.90	0.00	0.92
Apr	175	0.00	0.34	0.00	0.00	0.00	0.34
May	174	0.00	0.15	0.00	0.00	0.00	0.15
Jun	173	0.00	0.11	0.00	0.00	0.00	0.11
Jul	180	0.00	0.00	159.22	0.00	0.00	159.22
Aug	180	0.00	0.00	363.94	0.00	0.00	363.94
Sep	183	0.00	0.33	87.62	0.00	0.00	87.95
Oct	190	0.00	0.01	99.99	0.00	0.00	100.00
Nov	190	0.00	0.02	67.91	0.00	0.00	67.94
Dec	192	0.00	0.00	61.65	0.00	0.00	61.65

## Market value of listed debt securities as at the end of 2011

Major group	No. of issuers	No. of issues	Nominal amount
			(HK\$mil)
Bank	3	14	43,469.87
Corporation	41	78	285,746.71
State	6	86	203,691.25
State corporation	6	13	54,561.54
Supranational	1	1	1,406.10
<b>Total</b>	<b>57</b>	<b>192</b>	<b>588,875.47</b>

## Listed debt securities, 2007 – 2011

Country	2007		2008		2009		2010		2011	
	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)	No. of issues	Nominal amount (HK\$mil)
Hong Kong	141	219,316.12	141	230,173.89	132	232,960.25	143	319,904.84	163	406,150.23
PRC	15	80,611.04	13	69,183.50	11	61,383.50	10	58,270.00	10	62,170.00
Foreign	19	127,886.08	18	114,644.30	14	97,564.63	15	108,837.77	18	119,149.14
Supranational	0	0.00	0	0.00	0	–	1	1,406.10	1	1,406.10
<b>Total</b>	<b>175</b>	<b>427,813.24</b>	<b>172</b>	<b>414,001.69</b>	<b>157</b>	<b>391,908.38</b>	<b>169</b>	<b>488,418.71</b>	<b>192</b>	<b>588,875.47</b>

## Newly listed unit trusts and mutual funds

Code	Unit trust / mutual fund	Fund manager	Issue		Listing date
			price (HK\$)	Amount raised (HK\$mil)	
02839	W.I.S.E. – CSI HK Listed Mainland Real Estate Tracker	BOCI-Prudential Asset Management Ltd.	n.a.	n.a.	2011/01/11
02817	W.I.S.E. – CSI HK Listed Mainland Consumption Tracker	BOCI-Prudential Asset Management Ltd.	n.a.	n.a.	2011/01/11
02835	Mirae Asset TIGER KOSPI 200 ETF	Mirae Asset Global Investments (Hong Kong) Ltd.	n.a.	n.a.	2011/01/17
03088	HSBC MSCI Golden Dragon ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03000	HSBC MSCI Hong Kong ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03033	HSBC MSCI China ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
03083	HSBC MSCI Taiwan ETF	HSBC Investment Fund (Hong Kong) Ltd.	n.a.	n.a.	2011/04/18
87001	Hui Xian Real Estate Investment Trust	Hui Xian Asset Management Ltd.	RMB 5.24	RMB 10,480.00 (equivalent to HKD 12,507.88)	2011/04/29
02842	CMS CSI Overseas Mainland Enterprises ETF	CMS Asset Management (HK) Co. Limited	n.a.	n.a.	2011/11/01

## Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
Nil			

Note: No unit trusts and mutual funds was withdrawal of listing in 2011



## Individual performance on unit trusts and mutual funds, 2011

Code	Name	Closing price (HK\$) 2011	Closing price (HK\$) 2010	Change (%)	Turnover value (HK\$mil)	Market value (HK\$mil)
<b>Exchange Traded Funds (ETFs)</b>						
02819	ABF HK IDX ETF	103.00	102.00	0.98	25.67	2,595.19
02821	ABF PAIF	US\$124.95	US\$122.45	2.04	1,291.24	20,130.37
02842	CMS CSI OME ETF	10.80	n.a.	-	2.93	97.20
03022	DCI HK POME	6.90	9.58	-27.97	14.69	6.90
03077	DCI HK SOME	8.08	9.85	-17.97	1.03	12.12
02838	HS FCI25 ETF	153.80	190.70	-19.35	97.91	180.43
02828	HS H-SHARE ETF	99.45	127.00	-21.69	57,108.96	17,638.32
02833	HS HSI ETF	185.30	231.40	-19.92	2,809.97	25,983.81
03033	HSBC MSCI CHINA	23.85	n.a.	-	338.18	202.73
03088	HSBC MSCI G DRA	30.60	n.a.	-	330.08	110.16
03000	HSBC MSCI HK	25.05	n.a.	-	178.59	90.18
03083	HSBC MSCI TW	38.35	n.a.	-	1,660.24	268.45
03010	ISHARES APEX 50	33.60	39.55	-15.04	48.60	295.68
03032	ISHARES APEX M	33.00	45.20	-26.99	60.27	105.60
03004	ISHARES APEX S	35.50	48.40	-26.65	76.28	56.80
02801	ISHARES CHINA	17.20	21.85	-21.28	1,128.33	1,135.20
02802	ISHARES EM ASIA	37.25	44.70	-16.67	240.68	208.60
02836	ISHARES INDIA	12.94	20.20	-35.94	2,606.24	1,423.40
02835	MIRAE KS200	16.96	n.a.	-	290.61	474.88
03002	POLARISTAIWAN50	10.66	13.96	-23.64	45.26	31.98
02840	SPDR GOLD TRUST	1178.00	1070.00	10.09	10,665.88	505,479.80
03073	SPDR GREATCHINA	21.85	26.85	-18.62	20.88	240.35
02800	TRACKER FUND	18.58	23.20	-19.91	99,528.61	48,103.48
03046	VALUE CHINA ETF	32.10	39.75	-19.25	243.02	218.28
03081	VALUE GOLD ETF	38.90	35.25	10.35	1,165.47	1,003.62
02817	WISE HKLMCONS	7.82	n.a.	-	31.94	46.92
02839	WISE HKLMREAL	4.64	n.a.	-	47.66	23.20
02825	WISECSIHK100ETF	15.68	19.38	-19.09	3.17	78.40
03051	X CICC-SZSE 100	24.15	44.25	-45.42	30.05	9.06
03049	X DBCSI300	6.16	8.23	-25.15	54,473.10	2,755.37
03061	X DBCSI300BANK	8.80	9.38	-6.18	6,960.99	171.07
03025	X DBCSI300CONDI	7.39	10.08	-26.69	2,009.76	167.90
03017	X DBCSI300ENER	7.60	10.10	-24.75	2,609.02	63.99
02844	X DBCSI300FIN	8.39	10.06	-16.60	2,631.29	103.28
03057	X DBCSI300HEAL	11.12	16.78	-33.73	1,908.37	109.98
03005	X DBCSI300IND	4.84	7.48	-35.29	1,287.45	28.94
03062	X DBCSI300MAT	5.42	8.79	-38.34	3,311.39	44.77
02816	X DBCSI300REAL	8.70	10.58	-17.77	3,491.25	69.95
03063	X DBCSI300TRANS	2.82	4.15	-32.05	958.33	15.28
03052	X DBCSI300UTIL	3.58	4.41	-18.82	1,078.41	14.79
03087	X DBFSEVIET	142.10	287.60	-50.59	1,453.29	1,525.36
03007	X DBFXIC25	201.00	244.60	-17.83	9.03	2,029.75
03048	X DBMSCIBRAZIL	44.60	57.70	-22.70	88.56	43.04
03009	X DBMSCIEM	27.25	33.80	-19.38	8.84	49.60
03035	X DBMSCIEMASIA	25.45	31.25	-18.56	US\$31.75	43.52
02848	X DBMSCIKOREA	399.20	463.00	-13.78	501.11	1,257.18
03043	X DBMSCIPACEXJ	30.20	34.85	-13.34	7.83	14.50
03027	X DBMSCIRUSSIA	20.90	26.80	-22.01	168.07	32.92
03036	X DBMSCITAIWAN	123.60	157.60	-21.57	719.38	835.07
03020	X DBMSCIUSA	235.80	232.60	1.38	572.69	14,297.60
03019	X DBMSCIWORLD	22.75	24.25	-6.19	2.81	41.86
03015	X DBSPCNXNIFTY	693.50	1072.00	-35.31	178.70	1,838.92
03011	X DBUSDCASH	US\$170.80	US\$170.80	-	-	306.31
03071	X DCI CH CONS	8.78	11.20	-21.61	30.39	65.85
03001	X ISHARES A DIS	14.56	19.14	-23.93	227.40	378.56
03050	X ISHARES A ENR	11.20	14.92	-24.93	258.52	341.60
02829	X ISHARES A FIN	9.87	12.18	-18.97	281.91	819.21
03006	X ISHARES A INF	10.18	13.34	-23.69	90.28	234.14
03039	X ISHARES A MAT	10.50	16.80	-37.50	252.35	441.00
02841	X ISHARES A STA	20.25	22.90	-11.57	247.22	526.50
02823	X ISHARES A50	10.34	12.74	-18.84	264,824.59	45,558.04
02846	X ISHARESCSI300	21.15	29.95	-29.38	2,146.74	1,142.10
02815	X LYXORETF APEJ	30.30	37.70	-19.63	348.43	364.55
02809	X LYXORETF CMTY	22.25	24.50	-9.18	321.65	418.30
02820	X LYXORETF EMKT	70.00	89.05	-21.39	32.37	477.20
02810	X LYXORETF IND	88.30	141.20	-37.46	248.48	1,050.13
02814	X LYXORETF JPN	7.22	8.59	-15.95	305.37	131.24
02813	X LYXORETF KOR	35.20	40.80	-13.73	224.37	190.04
02826	X LYXORETF NDX	70.70	69.50	1.73	51.34	120.46
02806	X LYXORETF RFEU	39.05	48.75	-19.90	61.20	46.27
02803	X LYXORETF RFUS	43.05	44.45	-3.15	35.10	34.31
02831	X LYXORETF RUS	28.25	35.85	-21.20	257.10	167.25
02837	X LYXORETF TWN	6.55	8.75	-25.14	284.18	241.55
02812	X LYXORETF WLD	9.12	10.06	-9.34	22.33	110.10
02818	X PING AN CRA50	24.30	29.30	-17.06	35.52	388.80
03024	X WISE SSE50ETF	15.56	21.45	-27.46	448.03	186.72
02827	X WISECSI300ETF	27.65	37.80	-26.85	9,706.71	6,591.76
<b>Real Estates Investment Trusts (REITs)</b>						
02778	CHAMPION REIT	2.92	4.59	-36.38	7,079.36	14,473.24
00778	FORTUNE REIT	3.79	4.01	-5.49	1,147.47	6,369.84
87001	HUI XIAN REIT	RMB3.56	n.a.	-	9,577.84	21,712.77
00823	LINK REIT	28.60	24.15	18.43	33,963.76	64,336.87
00808	PROSPERITY REIT	1.50	1.76	-14.77	680.03	2,040.66
01881	REGAL REIT	1.79	2.23	-19.73	1,039.18	5,830.80
00625	RREEF CCT REIT	4.35	4.35	-	-	2,019.10
00435	SUNLIGHT REIT	2.22	2.39	-7.11	710.67	3,550.61
00405	YUEXIU REIT	3.43	4.34	-20.97	1,325.87	3,656.29
<b>Others</b>						
00820	HSBCDRAGON FUND	6.32	8.50	-25.65	498.52	2,210.02
02301	NEW ERA PRC F	n.a.	n.a.	-	-	-
<b>Total</b>					<b>601,318.19</b>	<b>838,337.95</b>

n.a. Closing price is not available.

## Market value for unit trusts and mutual funds, 2007 – 2011

Year/Month	Exchange Traded Funds (ETFs)		Real Estate Investment Trusts (REITs)		Others		Total	
	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)	No. of issues	Market value (HK\$mil)
2007	17	105,623.36	7	66,089.08	2	4,098.45	26	175,810.89
2008	24	266,475.46	7	46,405.95	2	1,439.29	33	314,320.70
2009	43	496,812.50	7	73,818.20	2	3,038.50	52	573,669.20
2010	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2011 Jan	72	674,434.72	8	105,665.47	2	2,941.96	82	783,042.15
2011 Feb	72	686,142.80	8	102,529.82	2	2,906.26	82	791,578.88
2011 Mar	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
2011 Apr	76	724,560.64	9	132,906.45	2	3,034.79	87	860,501.88
2011 May	76	735,213.82	9	137,638.34	2	2,961.21	87	875,813.37
2011 Jun	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
2011 Jul	76	742,169.03	9	138,556.69	2	2,841.98	87	883,567.70
2011 Aug	76	818,577.34	9	129,624.26	2	2,505.20	87	950,706.80
2011 Sep	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
2011 Oct	76	756,008.55	9	121,069.56	2	2,144.53	87	879,222.63
2011 Nov	77	738,786.50	9	120,683.94	2	1,954.75	88	861,425.20
2011 Dec	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95

## Trading value for unit trusts and mutual funds, 2007 – 2011

Year/Month	No. of trading days	Exchange Traded Funds (ETFs)		Real Estate Investment Trusts (REITs)		Others		Total	
		Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)	Turnover value (HK\$mil)	Average daily (HK\$mil)
2007	246	160,565.55	652.71	51,497.26	209.34	12,521.15	50.90	224,583.96	912.94
2008	245	441,102.94	1,800.42	51,494.26	210.18	1,853.57	7.57	494,450.77	2,018.17
2009	249	499,685.87	2,006.77	43,078.11	173.00	1,047.20	4.21	543,811.17	2,183.98
2010	249	604,457.98	2,427.54	43,890.09	176.27	741.32	2.98	649,089.39	2,606.78
2011	246	545,295.49	2,216.65	55,524.18	225.71	498.52	2.03	601,318.19	2,444.38
2011 Jan	21	51,265.24	2,441.20	5,492.48	261.55	78.21	3.72	56,835.93	2,706.47
2011 Feb	18	49,417.01	2,745.39	3,758.87	208.83	51.65	2.87	53,227.53	2,957.08
2011 Mar	23	57,598.88	2,504.30	4,371.77	190.08	90.15	3.92	62,060.80	2,698.30
2011 Apr	18	45,577.48	2,532.08	4,760.38	264.47	57.72	3.21	50,395.58	2,799.75
2011 May	20	38,672.67	1,933.63	7,138.16	356.91	22.22	1.11	45,833.05	2,291.65
2011 Jun	21	43,097.12	2,052.24	4,754.32	226.40	25.71	1.22	47,877.15	2,279.86
2011 Jul	20	38,634.28	1,931.71	2,757.16	137.86	24.49	1.22	41,415.92	2,070.80
2011 Aug	23	66,183.45	2,877.54	5,576.76	242.47	43.62	1.90	71,803.83	3,121.91
2011 Sep	20	48,518.95	2,425.95	5,013.85	250.69	25.83	1.29	53,558.63	2,677.93
2011 Oct	20	40,859.77	2,042.99	4,901.38	245.07	23.05	1.15	45,784.19	2,289.21
2011 Nov	22	38,103.59	1,731.98	3,702.51	168.30	21.29	0.97	41,827.39	1,901.24
2011 Dec	20	27,367.07	1,368.35	3,296.54	164.83	34.58	1.73	30,698.19	1,534.91

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

## Trading value and volume for NASDAQ stocks, 2007 – 2011

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2007	7	246	16,045,288	65,225	79,100	322	244	1	
2008	7	245	1,529,100	6,241	8,060	33	43	0	
2009	7	249	2,072,100	8,322	13,140	53	70	0	
2010	7	249	1,977,730	7,943	10,780	43	47	0	
2011	7	246	517,270	2,103	3,750	15	22	0	
2011	Jan	7	21	26,560	1,265	130	6	2	0
	Feb	7	18	22,400	1,244	140	8	3	0
	Mar	7	23	12,430	540	90	4	3	0
	Apr	7	18	52,100	2,894	300	17	2	0
	May	7	20	144,980	7,249	1,000	50	4	0
	Jun	7	21	125,200	5,962	850	40	5	0
	Jul	7	20	108,600	5,430	1,040	52	2	0
	Aug	7	23	25,000	1,087	200	9	1	0
	Sep	7	20	-	-	-	-	-	-
	Oct	7	20	-	-	-	-	-	-
	Nov	7	22	-	-	-	-	-	-
	Dec	7	20	-	-	-	-	-	-

## Trading value and volume for iShares, 2007 – 2011

Year/month	No. of issues	No. of trading days	Value (HK\$)		Volume (shares)		Deals		
			Total	Average daily	Total	Average daily	Total	Average daily	
2007	2	246	87,360	355	350	1	3	0	
2008	2	245	-	-	-	-	-	-	
2009	2	249	-	-	-	-	-	-	
2010	2	249	40,123	161	400	2	1	0	
2011	2	246	-	-	-	-	-	-	
2011	Jan	2	21	-	-	-	-	-	-
	Feb	2	18	-	-	-	-	-	-
	Mar	2	23	-	-	-	-	-	-
	Apr	2	18	-	-	-	-	-	-
	May	2	20	-	-	-	-	-	-
	Jun	2	21	-	-	-	-	-	-
	Jul	2	20	-	-	-	-	-	-
	Aug	2	23	-	-	-	-	-	-
	Sep	2	20	-	-	-	-	-	-
	Oct	2	20	-	-	-	-	-	-
	Nov	2	22	-	-	-	-	-	-
	Dec	2	20	-	-	-	-	-	-

Note: The exchange rate of HK\$7.8/US\$1 was used to calculate the equivalent value in Hong Kong dollars.

## Stock trading value, 1970 – 2011

HK\$mil

Year	Whole year value	No. of trading days	Average daily	High day (*)		Low day (*)	
				Value	Date	Value	Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19
2007	21,506,271.44	246	87,423.87	209,808.30	10/03	35,013.62	03/15
2008	17,600,713.87	245	71,839.65	156,353.59	01/23	21,951.90	12/29
2009	15,439,486.88	249	62,005.97	146,624.25	05/13	28,531.97	03/12
2010	17,076,412.14	249	68,579.97	138,533.28	10/14	33,995.19	02/18
2011	17,091,116.87	246	69,476.08	124,773.84	08/05	24,466.29	12/30

Records since 1970

High year: 2007

High day: 2007/10/03

Low year: 1970

Low day: 1970/06/09

- (\*) Only full trading days are included for the records.  
Market turnover values since 1993 were adjusted for late reported and rejected sales.  
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

## Key statistics for listed stocks (1976 – 2011)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK\$mil)	Total issued capital (HK\$mil)	Market capitalisation (HK\$mil)
1976	295	319	n.a.	23,258	56,675
1977	284	315	n.a.	23,427	51,278
1978	265	298	n.a.	24,916	65,939
1979	262	298	n.a.	26,853	112,809
1980	262	309	n.a.	33,080	209,753
1981	269	335	n.a.	59,274	232,331
1982	273	342	n.a.	63,561	131,640
1983	277	351	n.a.	67,941	142,094
1984	278	348	n.a.	69,945	184,642
1985	279	340	n.a.	72,235	269,511
1986	253	335	14,707	73,106	419,281
1987	276	412	48,082	90,713	419,612
1988	304	479	18,506	106,214	580,378
1989	298	479	20,345	103,404	605,010
1990	299	520	21,233	108,808	650,410
1991	357	597	39,457	117,547	949,172
1992	413	749	105,113	136,111	1,332,184
1993	477	891	90,005	164,062	2,975,379
1994	529	1,006	51,861	177,568	2,085,182
1995	542	1,033	39,202	180,423	2,348,310
1996	583	1,272	100,018	202,861	3,475,965
1997	658	1,533	247,577	236,716	3,202,630
1998	680	1,246	38,257	238,612	2,661,713
1999	701	1,205	148,120	225,577	4,727,527
2000	736	1,294	451,281	283,356	4,795,150
2001	756	1,075	58,593	321,071	3,885,342
2002	812	1,416	101,413	378,586	3,559,099
2003	852	1,598	209,116	400,365	5,477,670
2004	892	1,971	276,521	431,927	6,629,177
2005	934	2,448	298,660	704,903	8,113,333
2006	975	3,184	516,025	892,349	13,248,821
2007	1,048	5,896	571,078	953,098	20,536,463
2008	1,087	5,654	418,188	972,136	10,253,589
2009	1,145	6,441	637,734	1,034,447	17,769,271
2010	1,244	7,730	845,486	1,230,965	20,942,284
2011	1,326	6,551	482,834	1,280,438	17,452,667

Year-end figures

n.a. Not available

\* Equities funds raised prior to 1990 do not include share option scheme.

## Highest turnover records Main Board

Year		
Rank	Value (HK\$mil)	Year
1	21,506,271.44	2007
2	17,600,713.87	2008
3	17,091,116.87	2011
4	17,076,412.14	2010
5	15,439,486.88	2009
6	8,332,633.24	2006
7	4,498,095.11	2005
8	3,948,350.84	2004
9	3,788,959.79	1997
10	3,047,565.32	2000

Quarter		
Rank	Value (HK\$mil)	Year/Quarter
1	8,306,624.27	2007/04
2	6,102,056.58	2007/03
3	5,901,036.22	2008/01
4	5,494,945.12	2010/04
5	4,684,220.74	2011/01
6	4,623,402.28	2008/02
7	4,562,366.77	2011/03
8	4,353,187.76	2009/02
9	4,312,121.38	2009/03
10	4,175,836.48	2011/02

Month		
Rank	Value (HK\$mil)	Year/Month
1	3,473,846.35	2007/10
2	2,993,364.29	2007/11
3	2,623,012.43	2008/01
4	2,154,141.65	2010/11
5	2,152,013.07	2007/08
6	2,071,514.56	2007/09
7	1,955,375.05	2010/10
8	1,878,528.95	2007/07
9	1,839,413.64	2007/12
10	1,813,627.99	2008/04

Day		
Rank	Value (HK\$mil)	Date
1	209,808.30	2007/10/03
2	200,833.43	2007/10/16
3	195,257.20	2007/10/12
4	185,024.96	2007/10/30
5	178,712.17	2007/10/11
6	177,627.69	2007/10/29
7	174,395.47	2007/10/15
8	169,636.11	2007/11/06
9	168,521.99	2007/10/18
10	165,175.30	2007/10/25

## Main Board and GEM

Year		
Rank	Value (HK\$mil)	Year
1	21,665,529.92	2007
2	17,652,800.86	2008
3	17,210,077.74	2010
4	17,154,074.37	2011
5	15,515,248.69	2009
6	8,376,311.12	2006
7	4,520,431.80	2005
8	3,974,111.69	2004
9	3,788,959.79	1997
10	3,131,852.45	2000

Quarter		
Rank	Value (HK\$mil)	Year/Quarter
1	8,339,767.42	2007/04
2	6,156,615.82	2007/03
3	5,922,420.08	2008/01
4	5,533,486.38	2010/04
5	4,706,837.99	2011/01
6	4,640,846.32	2008/02
7	4,572,502.61	2011/03
8	4,372,749.49	2009/02
9	4,338,516.02	2009/03
10	4,196,069.47	2011/02

Month		
Rank	Value (HK\$mil)	Year/Month
1	3,487,325.59	2007/10
2	3,007,223.67	2007/11
3	2,629,311.19	2008/01
4	2,168,466.42	2007/08
5	2,168,191.68	2010/11
6	2,083,138.17	2007/09
7	1,968,932.72	2010/10
8	1,905,011.22	2007/07
9	1,845,218.16	2007/12
10	1,821,243.71	2011/04

Day		
Rank	Value (HK\$mil)	Date
1	210,505.53	2007/10/03
2	201,413.37	2007/10/16
3	195,962.20	2007/10/12
4	185,681.50	2007/10/30
5	179,409.27	2007/10/11
6	178,382.19	2007/10/29
7	174,984.45	2007/10/15
8	170,352.76	2007/11/06
9	169,121.20	2007/10/18
10	165,926.12	2007/10/25

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.

Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

## 10 largest day-to-day fluctuations in stock price indices

S&P/HKEx LargeCap Index 1998-2011

28 February 2003 = 10000

Rank	% up	Date	Closing index
1	15.04	1998/02/02	11001.95
2	14.29	2008/10/28	15507.37
3	12.64	2008/10/30	17632.61
4	10.97	2008/01/23	29340.77
5	10.09	2008/10/13	19931.74
6	9.95	1998/10/16	10078.68
7	9.29	2008/09/19	23629.69
8	9.20	1998/09/07	8013.39
9	8.75	1998/08/14	7133.91
10	8.28	2008/12/08	18707.83

Rank	% down	Date	Closing index
1	12.59	2008/10/27	13569.03
2	9.82	1998/01/12	8439.81
3	8.87	2001/09/12	10522.63
4	8.70	2000/04/17	15693.12
5	8.67	2008/01/22	26440.70
6	8.19	2008/10/24	15523.90
7	8.14	2008/10/08	18980.80
8	7.53	1998/08/31	7089.75
9	7.49	2008/10/10	18104.89
10	6.91	2000/01/05	16613.10

Rank	Points up	Date	Closing index
1	2900.07	2008/01/23	29340.77
2	2009.16	2008/09/19	23629.69
3	1978.01	2008/10/30	17632.61
4	1938.34	2008/10/28	15507.37
5	1907.46	2008/01/25	30474.42
6	1826.85	2008/10/13	19931.74
7	1690.88	2007/11/14	35076.20
8	1594.62	2008/03/25	27333.87
9	1478.44	2007/08/20	25881.95
10	1438.53	1998/02/02	11001.95

Rank	Points down	Date	Closing index
1	2509.29	2008/01/22	26440.70
2	1954.87	2008/10/27	13569.03
3	1916.47	2007/11/05	35248.33
4	1703.15	2008/01/16	29740.34
5	1682.08	2008/10/08	18980.80
6	1654.93	2008/01/21	28949.99
7	1592.80	2008/02/06	28621.00
8	1495.17	2000/04/17	15693.12
9	1466.46	2008/10/10	18104.89
10	1434.90	2011/08/09	22919.84

Hang Seng Index 1970-2011

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.35	2008/10/28	12596.29
4	14.33	1998/02/02	10578.60
5	13.36	1974/11/27	185.17
6	13.20	1973/02/02	1098.86
7	13.20	1973/04/11	926.45
8	12.82	2008/10/30	14329.85
9	12.35	1975/01/27	209.62
10	11.71	1973/07/18	602.34

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	12.70	2008/10/27	11015.84
6	11.48	1973/04/10	818.39
7	11.43	1972/11/13	672.62
8	11.12	1987/10/19	3362.39
9	10.78	1989/05/22	2806.57
10	10.41	1997/10/23	10426.30

Rank	Points up	Date	Closing index
1	2332.54	2008/01/23	24090.17
2	1705.41	1997/10/29	10765.30
3	1695.27	2008/09/19	19327.73
4	1627.78	2008/10/30	14329.85
5	1583.10	2008/01/25	25122.37
6	1580.45	2008/10/28	12596.29
7	1515.29	2008/10/13	16312.16
8	1362.66	2007/11/14	29166.01
9	1356.30	2008/03/25	22464.52
10	1326.24	1998/02/02	10578.60

Rank	Points down	Date	Closing index
1	2061.23	2008/01/22	21757.63
2	1602.54	2008/10/27	11015.84
3	1526.02	2007/11/05	28942.32
4	1438.31	1997/10/28	9059.89
5	1386.93	2008/01/16	24450.85
6	1383.01	2008/01/21	23818.86
7	1380.39	2000/04/17	14762.37
8	1372.03	2008/10/08	15431.73
9	1339.24	2008/02/06	23469.46
10	1226.10	2000/01/05	15846.72

## World stock market indices (2007 – 2011)

	AUSTRALIA	GERMANY	HONG KONG	KOREA	KUALA LUMPUR	LONDON	NEW YORK	PARIS
Year/ Month	AI Ordinaries Index	DAX Capital Value Index	Hang Seng Index	Composite Stock Index	Composite Index	FTSE 100 Index	DJIA Index	CAC 40 Index
2007	6421.00	5277.23	27812.65	1897.13	1445.03	6456.90	13264.82	5614.08
2008	3659.30	3041.60	14387.48	1124.47	876.75	4434.20	8776.39	3217.97
2009	4882.72	3604.02	21872.50	1682.77	1272.78	5412.90	10428.05	3936.33
2010	4846.88	4053.71	23035.45	2051.00	1518.91	5899.90	11577.51	3804.78
2011	4111.04	3346.06	18434.39	1825.74	1530.73	5572.30	12217.56	3159.81
2011								
Jan	4849.95	4136.11	23447.34	2069.73	1519.94	5862.90	11891.93	4005.50
Feb	4922.60	4249.07	23338.02	1939.30	1491.25	5994.00	12226.34	4110.35
Mar	4928.61	4114.03	23527.52	2106.70	1545.13	5908.80	12319.73	3989.18
Apr	4899.05	4360.07	23720.81	2192.36	1534.95	6069.90	12810.54	4106.92
May	4788.92	4138.33	23684.13	2142.47	1558.29	5990.00	12569.79	4006.94
Jun	4659.79	4184.30	22398.10	2100.69	1579.07	5945.70	12414.34	3982.21
Jul	4500.53	4061.01	22440.25	2133.21	1548.81	5815.20	12143.24	3672.77
Aug	4369.85	3281.65	20534.85	1880.11	1447.27	5394.50	11613.53	3256.76
Sep	4070.09	3121.22	17592.41	1769.65	1387.13	5128.50	10913.38	2981.96
Oct	4360.46	3483.90	19864.87	1909.03	1491.89	5544.20	11955.01	3242.84
Nov	4184.67	3454.12	17989.35	1847.51	1472.10	5505.40	12045.68	3154.62
Dec	4111.04	3346.06	18434.39	1825.74	1530.73	5572.30	12217.56	3159.81

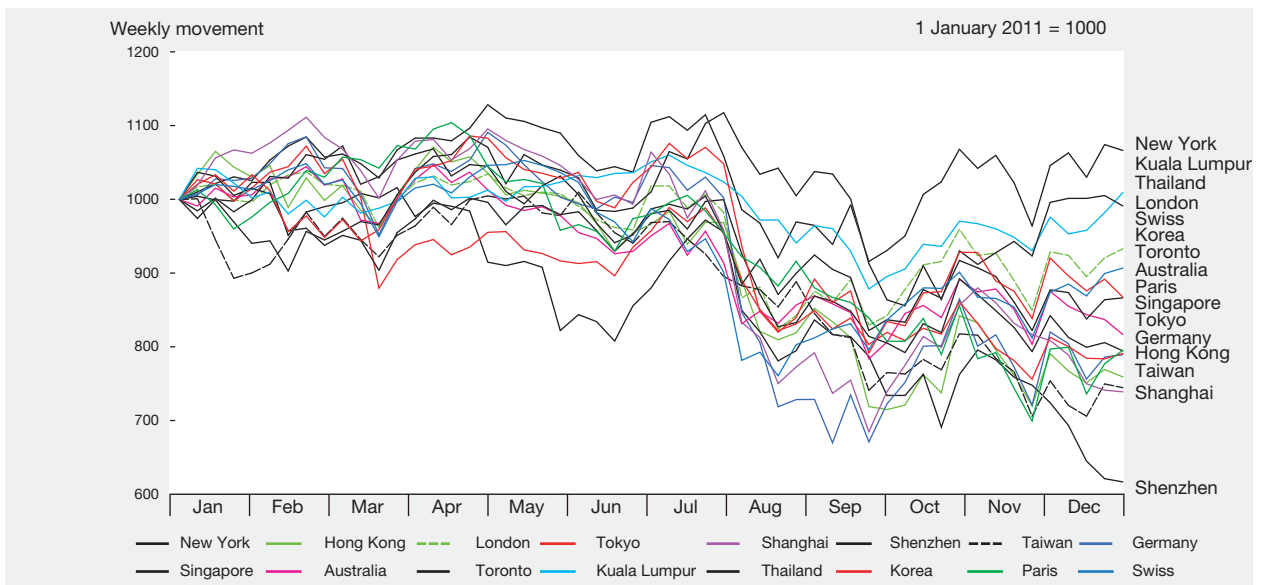
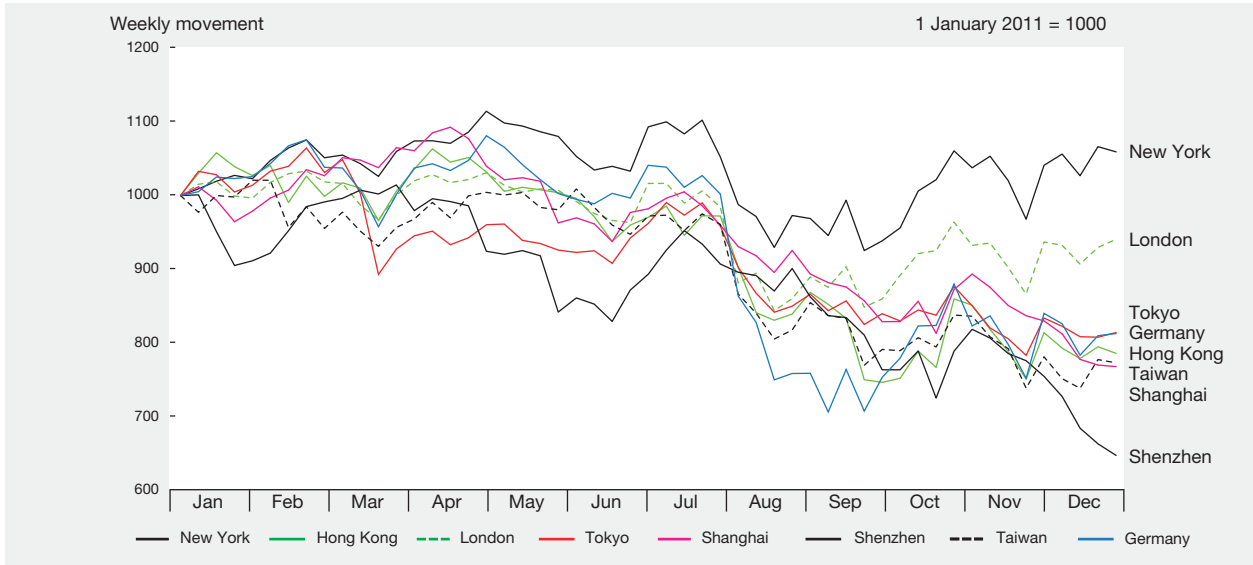
Note: Month-end or year-end figures.

	SHANGHAI	SHENZHEN	SINGAPORE	SWITZERLAND	TAIWAN	THAILAND	TOKYO	TORONTO
Year/ Month	A-Share Index	A-Share Index	FTSE ST Index	SPI General Index	Taipei Weighted Stock Index	Bangkok SET Index	Nikkei 225 Index	Composite Index
2007	5521.49	1520.99	3465.63	6925.44	8506.28	858.10	15307.78	13833.06
2008	1911.79	581.51	1761.56	4567.57	4591.22	449.96	8859.56	8987.70
2009	3437.46	1261.26	2897.62	5626.40	8188.11	734.54	10546.44	11746.11
2010	2940.24	1351.14	3190.04	5790.62	8972.50	1032.76	10228.92	13443.22
2011	2304.12	906.91	2646.35	5343.52	7072.08	1025.32	8455.35	11955.09
2011								
Jan	2921.82	1252.12	3179.72	5814.95	9145.35	964.10	10237.92	13551.99
Feb	3041.77	1356.49	3010.51	5952.30	8599.65	987.91	10624.09	14136.50
Mar	3065.92	1311.83	3105.85	5791.55	8683.30	1047.48	9755.10	14116.10
Apr	3049.19	1255.94	3179.86	6013.04	9007.87	1093.56	9849.74	13944.79
May	2873.06	1162.99	3159.93	6023.04	8988.84	1073.83	9693.73	13802.88
Jun	2893.53	1210.12	3120.44	5684.50	8652.59	1041.48	9816.09	13300.87
Jul	2829.47	1234.08	3189.26	5315.45	8644.18	1133.53	9833.03	12945.63
Aug	2689.08	1197.70	2885.26	5049.37	7741.36	1070.05	8955.20	12768.70
Sep	2471.10	1053.14	2675.16	5006.46	7225.38	916.21	8700.29	11623.84
Oct	2585.10	1090.57	2855.77	5211.91	7587.69	974.75	8988.39	12252.06
Nov	2444.45	1041.62	2702.46	5131.31	6904.12	995.33	8434.61	12204.11
Dec	2304.12	906.91	2646.35	5343.52	7072.08	1025.32	8455.35	11955.09

Note: Month-end or year-end figures.



## World stock market indices (2011)



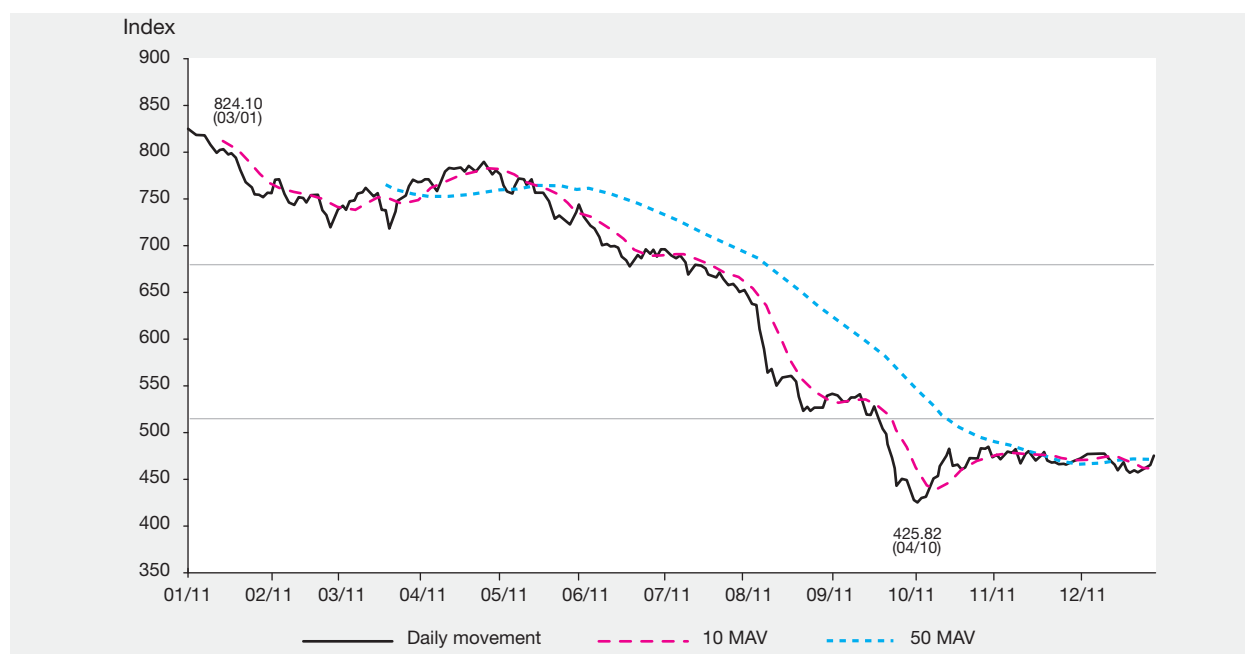
## S&P/HKEx GEM Index, daily closing (2011)

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	755.34	743.09	764.34	–	733.16	–	651.80	540.74	–	471.87	470.85
2	–	770.51	736.26	–	–	726.23	–	645.54	538.61	–	475.69	473.21
3	824.10	–	747.60	–	763.11	720.96	–	637.01	–	428.71	471.33	–
4	822.25	–	748.05	757.62	757.45	–	696.19	636.11	–	425.82	475.94	–
5	818.42	–	–	–	754.60	–	691.22	610.00	533.58	–	–	476.37
6	817.61	–	–	768.03	763.77	–	687.20	–	531.98	429.45	–	477.58
7	817.54	771.34	754.88	778.28	–	717.72	685.55	–	537.83	431.78	478.60	477.01
8	–	761.07	757.23	782.43	–	709.76	688.87	586.69	536.66	–	478.13	476.88
9	–	750.07	761.38	–	770.59	700.18	–	563.39	540.46	–	481.24	477.05
10	809.41	743.72	758.94	–	–	701.39	–	568.39	–	438.75	465.67	–
11	805.80	742.45	752.43	781.37	770.24	–	681.87	548.93	–	451.54	474.73	–
12	798.52	–	–	781.86	764.42	–	667.62	555.87	532.59	453.88	–	474.58
13	802.77	–	–	782.61	770.61	698.54	676.92	–	–	465.40	–	469.73
14	803.28	751.68	755.36	778.50	–	699.87	678.81	–	518.81	472.42	479.32	465.92
15	–	750.44	737.84	785.23	–	697.25	677.60	558.43	517.89	–	476.90	458.79
16	–	744.60	737.33	–	755.77	686.94	–	559.94	527.19	–	470.21	468.91
17	797.20	753.81	716.10	–	756.21	685.16	–	560.48	–	482.31	473.37	–
18	798.68	754.05	728.68	780.30	756.33	–	675.40	553.88	–	463.98	477.99	–
19	792.88	–	–	778.99	749.71	–	667.87	535.57	515.83	466.67	–	460.67
20	782.53	–	–	784.61	741.34	677.11	666.19	–	503.02	461.17	–	456.30
21	771.76	754.08	747.52	788.85	–	683.10	665.30	–	499.90	462.72	470.62	459.16
22	–	736.34	749.93	–	–	689.54	671.81	522.47	476.85	–	467.82	455.88
23	–	732.76	753.36	–	727.27	685.17	–	528.39	464.92	–	467.71	459.11
24	764.15	718.70	762.59	–	731.60	695.20	–	523.35	–	472.68	465.37	–
25	760.58	727.45	769.50	–	727.28	–	661.61	526.36	–	472.85	466.51	–
26	754.36	–	–	783.77	724.14	–	657.64	526.08	442.00	471.78	–	–
27	753.56	–	–	775.57	720.74	690.35	658.80	–	451.06	481.97	–	–
28	750.68	738.23	767.47	780.48	–	695.48	654.79	–	450.12	482.38	464.95	462.08
29	–	–	767.79	775.99	–	686.66	649.79	526.26	–	–	469.03	465.10
30	–	–	770.51	–	732.17	695.54	–	539.23	441.40	–	467.62	474.80
31	756.15	–	769.66	–	744.80	–	–	541.65	–	484.29	–	–
<b>High</b>	<b>824.10</b>	771.34	770.51	788.85	770.61	733.16	696.19	651.80	540.74	484.29	481.24	477.58
<b>Low</b>	750.68	718.70	716.10	757.62	720.74	677.11	649.79	522.47	441.40	<b>425.82</b>	464.95	455.88
<b>Avg</b>	790.58	747.59	751.89	778.27	749.11	698.82	673.05	565.47	505.07	460.03	472.30	468.00

Note: S&amp;P/HKEx GEM Index is compiled by Standard &amp; Poors

## S&P/HKEx GEM Index, daily movement (2011)



## Number of securities by type on GEM, 2007 – 2011

Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares	193	174	174	169	170
Warrants	3	3	1	1	2
<b>Total</b>	<b>196</b>	<b>177</b>	<b>175</b>	<b>170</b>	<b>172</b>

## Number of newly listed securities on GEM, 2007 – 2011

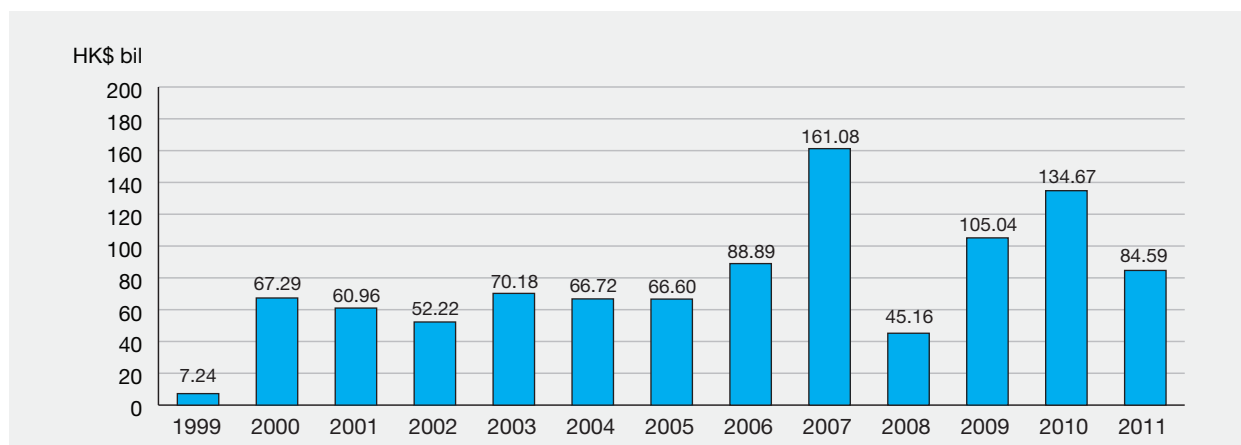
Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares	2	2	5	7	13
Warrants	2	1	0	1	1
<b>Total</b>	<b>4</b>	<b>3</b>	<b>5</b>	<b>8</b>	<b>14</b>

## Number of delisted securities from GEM, 2007 – 2011

Type	Year				
	2007	2008	2009	2010	2011
Ordinary shares	7	21	5	12	12
– Withdrawal of listing	3	3	1	0	0
– Transfer of listing on Main Board*	4	18	4	12	12
Warrants	0	1	2	1	0
<b>Total</b>	<b>7</b>	<b>22</b>	<b>7</b>	<b>13</b>	<b>12</b>

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

## Market capitalisation of GEM, 1999 – 2011



## Market capitalisation of GEM by Hang Seng Industry Classification System, 2011

HK\$mil

Industry Classification *	2011											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,163.49	1,112.89	1,371.89	1,208.98	1,275.24	998.90	930.05	945.33	5,202.05	5,203.64	4,094.99	3,645.71
Materials	23,634.94	24,596.82	28,941.93	27,323.50	25,651.25	22,652.42	20,348.00	13,381.94	12,702.42	12,174.75	11,706.02	9,617.36
Industrial Goods	7,420.48	6,836.13	4,599.13	5,818.61	5,491.84	4,195.88	3,455.28	3,475.38	3,653.58	4,453.99	5,400.24	5,673.49
Consumer Goods	20,042.46	19,779.73	20,782.73	21,381.38	19,992.62	16,844.99	14,916.85	12,857.43	8,567.86	9,404.80	10,541.25	10,599.90
Services	20,433.26	20,895.81	22,064.50	22,201.27	22,102.67	8,004.25	9,180.51	7,640.27	8,109.08	10,149.29	10,261.01	11,044.50
Telecommunications	1,133.39	895.17	1,043.69	1,024.99	1,147.01	988.62	1,034.77	943.07	213.53	218.39	191.35	170.59
Utilities	5,388.54	5,017.51	4,773.77	5,384.74	5,249.37	5,195.69	5,063.44	4,683.52	3,855.87	3,718.84	3,656.37	4,039.92
Financials	4,585.21	4,564.31	4,947.73	5,135.59	6,246.70	6,055.29	5,711.10	4,043.20	3,103.87	3,611.69	3,427.77	3,958.77
Properties & Construction	2,494.65	2,444.94	2,718.34	2,427.00	2,431.63	2,176.12	2,200.10	1,954.62	1,914.68	2,678.53	2,525.14	3,454.73
Information Technology	41,948.70	43,549.44	45,599.00	44,651.55	43,316.77	43,785.58	42,019.39	37,270.89	29,629.43	31,762.41	29,620.00	31,909.46
Conglomerates	1,060.55	761.42	992.56	910.98	882.47	638.09	556.63	556.63	393.72	407.29	542.43	474.63
<b>Total</b>	<b>129,305.67</b>	<b>130,454.17</b>	<b>137,835.27</b>	<b>137,468.59</b>	<b>133,787.58</b>	<b>111,535.83</b>	<b>105,416.13</b>	<b>87,752.28</b>	<b>77,346.08</b>	<b>83,783.62</b>	<b>81,966.59</b>	<b>84,589.06</b>

Note: Month-end figures

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2007 – 2011

HK\$mil

Industry Classification *	2007		2008		2009		2010		2011	
Energy	3,262.81	(2.03%)	1,760.29	(3.90%)	4,066.76	(3.87%)	1,468.18	(1.09%)	3,645.71	(4.31%)
Materials	2,925.40	(1.82%)	2,913.84	(6.45%)	15,423.16	(14.68%)	24,258.08	(18.01%)	9,617.36	(11.37%)
Industrial Goods	4,671.16	(2.90%)	1,720.51	(3.81%)	2,007.40	(1.91%)	7,173.86	(5.33%)	5,673.49	(6.71%)
Consumer Goods	41,086.11	(25.51%)	11,232.87	(24.87%)	23,776.91	(22.64%)	23,351.87	(17.34%)	10,599.90	(12.53%)
Services	36,000.21	(22.35%)	9,989.03	(22.12%)	20,378.65	(19.40%)	22,223.51	(16.50%)	11,044.50	(13.06%)
Telecommunications	266.73	(0.17%)	49.86	(0.11%)	2,261.48	(2.15%)	1,312.73	(0.97%)	170.59	(0.20%)
Utilities	3,150.08	(1.96%)	999.47	(2.21%)	7,283.78	(6.93%)	5,603.33	(4.16%)	4,039.92	(4.78%)
Financials	5,447.98	(3.38%)	1,149.34	(2.54%)	1,676.50	(1.60%)	5,074.92	(3.77%)	3,958.77	(4.68%)
Properties & Construction	1,818.61	(1.13%)	433.05	(0.96%)	4,951.98	(4.71%)	2,632.00	(1.95%)	3,454.73	(4.08%)
Information Technology	62,451.88	(38.77%)	14,915.91	(33.03%)	23,152.33	(22.04%)	40,338.30	(29.95%)	31,909.46	(37.72%)
Conglomerates	–	(0.00%)	–	(0.00%)	57.48	(0.05%)	1,237.31	(0.92%)	474.63	(0.56%)
<b>Equity total</b>	<b>161,080.97</b>	<b>(100.00%)</b>	<b>45,164.18</b>	<b>(100.00%)</b>	<b>105,036.43</b>	<b>(100.00%)</b>	<b>134,674.08</b>	<b>(100.00%)</b>	<b>84,589.06</b>	<b>(100.00%)</b>

( ) % of equity market total

Year-end figures

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## 50 leading companies in market capitalisation on GEM

End of 2011

Rank	Code	Company	Issued capital (shares)	Closing price (HK\$)	Market capitalisation (HK\$mil)	% of market total
1	08137	Honbridge Holdings Ltd.	6,205,679,716	1.210	7,508.87	8.88
2	08086	ePRO Ltd.	5,378,559,570	1.360	7,314.84	8.65
3	08043	ERA Mining Machinery Ltd.	5,688,274,395	0.850	4,835.03	5.72
4	08035	Binhai Investment Co. Ltd.	5,992,812,000	0.390	2,337.20	2.76
5	08075	Media Asia Group Holdings Ltd.	13,140,257,612	0.167	2,194.42	2.59
6	08192	Global Energy Resources International Group Ltd.	12,548,000,000	0.169	2,120.61	2.51
7	08008	Sunevision Holdings Ltd.	2,322,208,031	0.910	2,113.21	2.50
8	08356	Tsun Yip Holdings Ltd.	1,664,735,664	1.260	2,097.57	2.48
9	08032	Viva China Holdings Ltd.	19,081,859,785	0.103	1,965.43	2.32
10	08158	China Bio-Med Regeneration Technology Ltd.	7,056,880,000	0.248	1,750.11	2.07
11	08070	Zhongyu Gas Holdings Ltd.	2,398,207,684	0.710	1,702.73	2.01
12	08046	Heng Xin China Holdings Ltd.	2,487,091,991	0.620	1,542.00	1.82
13	08207	Credit China Holdings Ltd.	1,750,000,000	0.810	1,417.50	1.68
14	08270	China Leason CBM & Shale Gas Group Co. Ltd.	3,942,505,023	0.340	1,340.45	1.58
15	08029	Sun International Resources Ltd.	927,600,000	1.290	1,196.60	1.41
16	08179	Gayety Holdings Ltd.	320,000,000	3.700	1,184.00	1.40
17	08066	Phoenitron Holdings Ltd.	3,027,205,000	0.385	1,165.47	1.38
18	08122	LifeTech Scientific Corporation	500,000,000	2.250	1,125.00	1.33
19	08279	AGTech Holdings Ltd.	3,713,953,375	0.300	1,114.19	1.32
20	08155	South China Land Ltd.	11,178,498,344	0.099	1,106.67	1.31
21	08206	China Communication Telecom Services Co. Ltd.	1,194,697,017	0.920	1,099.12	1.30
22	08292	HC International, Inc.	545,048,645	1.900	1,035.59	1.22
23	08005	Yuxing InfoTech Investment Holdings Ltd.	1,735,120,000	0.590	1,023.72	1.21
24	08161	China LotSynergy Holdings Ltd.	7,411,964,000	0.129	956.14	1.13
25	08085	ZMAY Holdings Ltd.	2,492,786,138	0.370	922.33	1.09
26	08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	164,560,000	5.250	863.94	1.02
27	08083	China Innovationpay Group Ltd.	3,964,639,457	0.215	852.40	1.01
28	08071	China Netcom Technology Holdings Ltd.	9,271,175,247	0.089	825.13	0.98
29	08096	Ruifeng Petroleum Chemical Holdings Ltd.	3,998,275,000	0.201	803.65	0.95
30	08102	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	242,330,000	3.200	775.46	0.92
31	08203	Kaisun Energy Group Ltd.	2,593,005,700	0.290	751.97	0.89
32	08351	Larry Jewelry International Co. Ltd.	862,854,500	0.760	655.77	0.78
33	08116	China Public Healthcare (Holding) Ltd.	11,272,498,882	0.057	642.53	0.76
34	08167	Neo Telemedia Ltd.	2,271,570,000	0.280	636.04	0.75
35	08011	Polyard Petroleum International Group Ltd.	1,822,506,129	0.340	619.65	0.73
36	08016	China Data Broadcasting Holdings Ltd.	334,000,000	1.820	607.88	0.72
37	08175	China Digital Licensing (Group) Ltd.	2,253,101,039	0.265	597.07	0.71
38	08123	First China Financial Network Holdings Ltd.	4,028,964,120	0.142	572.11	0.68
39	08228	National Arts Holdings Ltd.	801,254,139	0.690	552.87	0.65
40	08061	AcrossAsia Ltd.	5,064,615,385	0.102	516.59	0.61
41	08109	Creative Energy Solutions Holdings Ltd.	176,000,000	2.900	510.40	0.60
42	08128	China Ground Source Energy Ltd.	2,065,307,117	0.242	499.80	0.59
43	08156	China Vanguard Group Ltd.	3,271,893,839	0.149	487.51	0.58
44	08080	North Asia Strategic Holdings Ltd.	13,560,763,487	0.035	474.63	0.56
45	08129	China Bio Cassava Holdings Ltd.	8,203,300,000	0.057	467.59	0.55
46	08189	Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	705,000,000	0.650	458.25	0.54
47	08190	Combest Holdings Ltd.	3,201,500,000	0.136	435.40	0.51
48	08306	China Nonferrous Metals Co. Ltd.	5,006,539,747	0.086	430.56	0.51
49	08233	CIG Yangtze Ports PLC	1,177,056,180	0.360	423.74	0.50
50	08041	China Post E-Commerce (Holdings) Ltd.	3,097,093,296	0.134	415.01	0.49
Total					67,044.78	79.26
Equity total					84,589.06	100.00

## Trading value and volume for GEM, 2007 – 2011

Year/Month	No. of trading days	Value (HK\$mil)		Volume (mil of shares)		Deals	
		Total	Average daily	Total	Average daily	Total	Average daily
2007	246	159,258.48	647.39	250,147.84	1,016.86	3,270,681	13,295
2008	245	52,086.99	212.60	161,545.11	659.37	1,395,036	5,694
2009	249	75,761.81	304.26	296,240.90	1,189.72	2,106,196	8,459
2010	249	133,665.60	536.81	446,921.79	1,794.87	3,367,683	13,525
2011	246	62,957.51	255.92	285,503.28	1,160.58	1,721,719	6,999
2011 Jan	21	7,054.56	335.93	28,349.04	1,349.95	199,685	9,509
2011 Feb	18	6,878.92	382.16	56,759.02	3,153.28	172,719	9,596
2011 Mar	23	8,683.77	377.56	39,611.47	1,722.24	236,553	10,285
2011 Apr	18	6,606.31	367.02	35,216.58	1,956.48	186,409	10,356
2011 May	20	8,060.18	403.01	26,188.01	1,309.40	196,414	9,821
2011 Jun	21	5,566.49	265.07	17,821.20	848.63	124,393	5,923
2011 Jul	20	3,691.08	184.55	11,541.78	577.09	98,127	4,906
2011 Aug	23	4,087.63	177.72	16,655.48	724.15	128,460	5,585
2011 Sep	20	2,357.13	117.86	12,094.68	604.73	90,232	4,512
2011 Oct	20	2,697.32	134.87	14,831.45	741.57	102,242	5,112
2011 Nov	22	3,945.33	179.33	13,835.96	628.91	97,601	4,436
2011 Dec	20	3,328.78	166.44	12,598.61	629.93	88,884	4,444

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

## Trading value for GEM by Hang Seng Industry Classification System, 2011

Industry Classification *	No. of issue #	Equity turnover		Annual turnover velocity (%)
		HK\$mil	% of total	
Energy	5	1,089.38	1.73	29.88
Materials	11	9,225.38	14.65	95.92
Industrial Goods	8	5,180.19	8.23	91.31
Consumer Goods	36	8,886.24	14.12	83.83
Services	27	8,469.07	13.45	76.68
Telecommunications	2	303.23	0.48	177.75
Utilities	2	551.41	0.88	13.65
Financials	12	6,869.23	10.91	173.52
Properties & Construction	4	1,378.00	2.19	39.89
Information Technology	62	20,698.25	32.88	64.87
Conglomerates	1	303.05	0.48	63.85
<b>Total</b>	<b>170</b>	<b>62,953.43</b>	<b>100.00</b>	<b>74.42</b>

Notes:

- Equity turnover values have been adjusted for late reported and rejected sales.
  - Figures may not add up to total due to rounding.
- # Year-end figures  
\* Industry Classification is provided by Hang Seng Indexes Company Limited.

## 20 most active GEM stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK\$mil)	% of market total
1	08137	HONBRIDGE	5,101.43	8.10
2	08046	HENG XIN CHINA	4,399.42	6.99
3	08207	CREDIT CHINA	3,268.62	5.19
4	08043	ERA MINING MACH	3,251.29	5.16
5	08009	IMERCHANTS	2,775.44	4.41
6	08277	WUMART	1,734.54	2.76
7	08071	CH NETCOMTECH	1,726.59	2.74
8	08032	VIVA CHINA	1,514.34	2.41
9	08161	CHINALOTSNERGY	1,485.52	2.36
10	08029	SUN INT'L	1,288.13	2.05
11	08351	LARRY JEWELRY	1,240.58	1.97
12	08356	TSUN YIP HLDGS	1,211.20	1.92
13	08075	MEDIA ASIA	1,154.79	1.83
14	08078	CHINA3D DIGIT	1,085.59	1.72
15	08086	EPRO	1,068.61	1.70
16	08167	NEO TELEMEDIA	1,013.21	1.61
17	08270	CHINA LEASON	989.58	1.57
18	08066	PHOENITRON HOLD	983.30	1.56
19	08150	SEAMLESS GREEN	971.91	1.54
20	08132	FAIRSON HLDGS	851.56	1.35
		<b>Total</b>	<b>37,115.65</b>	<b>58.96</b>
		<b>Equity total</b>	<b>62,953.43</b>	<b>100.00</b>

## 20 most active GEM stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of market total
1	08009	IMERCHANTS	73,263.19	25.68
2	08078	CHINA3D DIGIT	25,555.56	8.96
3	08167	NEO TELEMEDIA	9,034.18	3.17
4	08130	ZHI CHENG H	9,006.05	3.16
5	08103	TAI SHING	7,606.23	2.67
6	08161	CHINALOTSYNERGY	7,366.20	2.58
7	08116	C PUBLIC HEALTH	7,203.95	2.53
8	08071	CH NETCOMTECH	6,804.35	2.38
9	08032	VIVA CHINA	6,549.72	2.30
10	08080	NAS HOLDINGS	5,753.22	2.02
11	08171	CHINA TRENDS	5,616.46	1.97
12	08202	INNO-TECH HOLD	5,475.11	1.92
13	08017	LONG SUCCESS	5,173.00	1.81
14	08163	MERDEKA RES	4,874.53	1.71
15	08043	ERA MINING MACH	4,649.22	1.63
16	08382	CH 3D DIGIT RTS	4,636.69	1.63
17	08075	MEDIA ASIA	4,337.34	1.52
18	08212	HK LIFE GROUP	4,174.67	1.46
19	08022	TLT LOTTOTAIN	3,559.65	1.25
20	08046	HENG XIN CHINA	3,407.30	1.19
Total			204,046.63	71.52
Equity total			285,302.28	100.00

## 20 GEM Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% up
			2010	2011	
1	08233	CIG PORTS	0.081	0.360	344.44
2	08356	TSUN YIP HLDGS	0.290	1.260	334.48
3	08085	ZMAY HOLDINGS	0.154	0.370	140.26
4	08189	TEDA BIOMEDICAL	0.315	0.650	106.35
5	08270	CHINA LEASON	0.178	0.340	91.01
6	08292	HC INTL	1.010	1.900	88.12
7	08028	TIMELESS	0.089	0.150	68.54
8	08086	EPRO	0.910	1.360	49.45
9	08050	FLEXSYSTEM	0.241	0.360	49.38
10	08266	M TEL	0.171 A	0.255	49.12
11	08109	CREATIVE ENERGY	2.150	2.900	34.88
12	08016	CHINA DATACAST	1.360	1.820	33.82
13	08198	MELCOLOT	0.149	0.185	24.16
14	08325	ORIENTAL CITY	0.510	0.560	9.80
15	08011	POLYARD PETRO	0.312 A	0.340	8.97
16	08258	NW INDUSTRY	0.240	0.260	8.33
17	08351	LARRY JEWELRY	0.710	0.760	7.04
18	08070	ZHONGYU GAS	0.680	0.710	4.41
19	08043	ERA MINING MACH	0.830	0.850	2.41
20	08150	SEAMLESS GREEN	0.920	0.940	2.17

(A) Adjusted

## 20 GEM Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing price (HK\$)		% down
			2010	2011	
1	08202	INNO-TECH HOLD	7.200 A	0.405	94.38
2	08212	HK LIFE GROUP	4.080 A	0.350	91.42
3	08009	IMERCHANTS	0.095	0.010	89.47
4	08103	TAI SHING	1.760 A	0.191	89.15
5	08078	CHINA3D DIGIT	1.350 A	0.155	88.52
6	08186	CHINA ASEAN RES	0.580	0.098	83.10
7	08321	C AUTO INT DECO	1.260	0.236	81.27
8	08022	TLT LOTTOTAIN	1.650 A	0.310	81.21
9	08130	ZHI CHENG H	1.800 A	0.350	80.56
10	08060	GLOBAL LINK	0.520	0.103	80.19
11	08143	HUA XIA HEALTH	0.640	0.128	80.00
12	08167	NEO TELEMEDIA	1.300 A	0.280	78.46
13	08096	RUIFENG PETRO	0.930	0.201	78.39
14	08117	CHINA P RES	0.191	0.047	75.39
15	08271	GDC	1.010	0.250	75.25
16	08173	PAN ASIA MINING	0.633 A	0.165	73.93
17	08071	CH NETCOMTECH	0.325	0.089	72.62
18	08272	C FOOD&BEV GP	0.149 A	0.042	71.81
19	08032	VIVA CHINA	0.340	0.103	69.71
20	08053	CH ELEC POWER	0.194	0.065	66.49

(A) Adjusted

## Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2011

Country	No. of listed companies	Market capitalisation (HK\$mil)	% of equity total	Turnover (HK\$mil)	% of equity total
Bermuda	36	19,080.44	22.56	18,035.24	28.65
Cayman Islands	101	60,300.58	71.29	37,296.03	59.24
Hong Kong	4	596.37	0.71	3,549.71	5.64
PRC	29	4,611.67	5.45	4,072.46	6.47
<b>Total</b>	<b>170</b>	<b>84,589.06</b>	<b>100.00</b>	<b>62,953.43</b>	<b>100.00</b>

## Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System\*, 2007 – 2011

(%)

Year/Month	All listed stocks	Energy	Materials	Industrial Goods	Consumer Goods	Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2007	0.68	0.00	1.37	0.36	0.94	1.23	0.00	0.00	1.35	0.59	0.19	0.00
2008	2.76	0.00	0.00	0.77	2.87	1.50	0.00	0.00	0.00	6.62	4.91	0.00
2009	0.77	0.00	0.02	0.47	0.66	0.79	0.00	0.12	0.03	0.29	1.97	0.00
2010	0.95	0.00	0.00	0.03	0.51	0.88	0.00	0.00	0.00	0.29	1.03	43.96
2011	0.52	0.00	0.10	0.07	0.26	0.16	3.04	0.00	1.33	0.50	0.96	0.00
2011 Jan	0.98	0.00	0.00	0.05	0.62	0.96	0.00	0.00	0.00	0.36	0.94	51.28
2011 Feb	1.01	0.00	0.00	0.06	0.62	0.94	0.00	0.00	0.00	0.37	1.00	71.43
2011 Mar	0.98	0.00	0.07	0.08	0.24	1.07	0.50	0.00	0.63	0.77	0.95	54.79
2011 Apr	0.97	0.00	0.03	0.07	0.24	1.06	0.51	0.00	0.60	0.86	0.97	59.70
2011 May	0.97	0.00	0.04	0.07	0.13	1.06	0.45	0.00	0.50	0.86	0.97	61.54
2011 Jun	1.00	0.00	0.04	0.09	0.16	0.17	0.52	0.00	0.87	0.96	1.00	85.11
2011 Jul	1.06	0.00	0.05	0.11	0.18	0.15	0.50	0.00	0.92	0.98	1.04	97.56
2011 Aug	0.54	0.00	0.07	0.11	0.21	0.23	0.55	0.00	1.30	0.81	0.92	0.00
2011 Sep	0.65	0.00	0.07	0.11	0.32	0.22	2.43	0.00	1.70	0.83	1.25	0.00
2011 Oct	0.60	0.00	0.08	0.09	0.29	0.17	2.38	0.00	1.46	0.59	1.17	0.00
2011 Nov	0.53	0.00	0.08	0.07	0.26	0.17	2.71	0.00	1.54	0.63	1.03	0.00
2011 Dec	0.52	0.00	0.10	0.07	0.26	0.16	3.04	0.00	1.33	0.50	0.96	0.00

Note: Month-end or year-end figures

\* Industry Classification is provided by Hang Seng Indexes Company Limited.

## Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System\*, 2007 – 2011

(Times)

Year/Month	All listed stocks	Energy	Materials	Industrial Goods	Consumer Goods	Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2007	44.91	69.57	12.69	32.77	35.99	55.45	0.00	160.34	58.66	29.21	52.02	0.00
2008	8.01	27.13	4.99	1.23	8.31	15.50	0.00	28.88	130.11	6.11	10.10	0.00
2009	38.98	108.75	155.11	17.81	20.93	36.12	0.00	237.62	501.48	127.73	34.42	0.00
2010	31.10	2.32	182.62	264.44	20.63	37.48	0.00	30.06	1204.91	2060.55	27.96	6.95
2011	22.16	0.00	68.12	29.87	22.33	33.28	7.24	62.76	23.60	4.49	19.55	21.87
2011 Jan	29.57	1.84	171.25	235.72	17.96	34.46	0.00	28.91	1088.64	1953.02	28.13	5.95
2011 Feb	29.71	1.76	178.22	217.15	17.57	35.24	0.00	26.92	1083.68	1914.10	29.05	4.27
2011 Mar	25.78	0.00	204.99	24.24	17.37	32.08	6.26	24.41	60.21	3.61	25.95	5.57
2011 Apr	25.71	0.00	193.52	30.66	17.85	32.25	6.15	27.54	62.50	3.23	25.43	5.11
2011 May	24.42	0.00	181.68	28.94	15.58	32.10	6.88	26.23	76.02	3.23	24.11	4.96
2011 Jun	23.61	0.00	160.44	22.11	13.27	24.61	5.93	50.14	36.10	2.85	27.80	29.38
2011 Jul	22.60	0.00	144.12	18.21	12.31	28.23	6.21	48.86	34.05	2.88	26.74	25.63
2011 Aug	18.90	0.00	94.78	18.31	10.56	23.40	5.66	45.19	24.11	2.56	24.13	25.63
2011 Sep	20.23	0.00	89.97	19.25	18.75	24.84	9.07	37.21	18.51	2.50	18.20	18.12
2011 Oct	22.07	0.00	86.23	23.47	20.58	30.58	9.27	58.08	21.53	3.50	19.44	18.75
2011 Nov	21.53	0.00	82.91	28.46	22.46	30.92	8.12	56.80	20.44	3.30	18.14	25.00
2011 Dec	22.16	0.00	68.12	29.87	22.33	33.28	7.24	62.76	23.60	4.49	19.55	21.87

Note: Month-end or year-end figures

\* Industry Classification is provided by Hang Seng Indexes Company Limited.



## Statistics on China enterprises (H shares) for GEM, 2007 – 2011

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
2007	42	12,219.59	4.89	23,632.65	14.84	22,695.38	14.09	
2008	40	3,604.15	2.23	7,861.73	15.09	11,550.65	25.57	
2009	40	7,978.91	2.69	15,501.38	20.46	27,059.82	25.76	
2010	35	8,512.17	1.91	16,933.86	12.67	20,154.24	14.97	
2011	29	2,498.11	0.87	4,072.46	6.47	4,611.67	5.45	
2011	Jan	33	339.39	1.20	720.28	10.21	17,431.05	13.48
	Feb	33	204.19	0.36	542.06	7.88	17,353.07	13.30
	Mar	31	277.15	0.70	695.85	8.01	16,541.33	12.00
	Apr	31	227.39	0.65	427.31	6.47	16,970.36	12.34
	May	31	292.04	1.12	538.57	6.68	16,474.95	12.31
	Jun	30	190.36	1.07	376.70	6.77	6,325.66	5.67
	Jul	30	149.91	1.30	171.53	4.65	6,096.66	5.78
	Aug	30	176.51	1.06	188.55	4.61	5,641.42	6.43
	Sep	30	117.66	0.97	118.33	5.02	4,957.81	6.41
	Oct	29	86.20	0.58	81.23	3.01	4,949.73	5.91
	Nov	29	245.64	1.78	127.47	3.23	4,872.23	5.94
	Dec	29	191.67	1.52	84.57	2.54	4,611.67	5.45

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

## Statistics on Red chips stocks for GEM, 2007 – 2011

Year/Month	No. of issues	Turnover				Market capitalisation		
		Shares (mil)	% of equity total	Value (HK\$mil)	% of equity total	HK\$mil	% of equity total	
2007	4	18,215.14	7.29	10,839.99	6.81	10,378.89	6.44	
2008	4	3,982.77	2.47	1,845.01	3.54	988.62	2.19	
2009	5	2,067.92	0.70	1,456.88	1.92	6,551.60	6.24	
2010	5	3,362.76	0.75	2,320.16	1.74	5,285.11	3.92	
2011	5	1,168.32	0.41	629.06	1.00	3,432.64	4.06	
2011	Jan	5	124.98	0.44	96.72	1.37	5,086.96	3.93
	Feb	5	99.23	0.17	79.00	1.15	5,052.47	3.87
	Mar	5	148.55	0.38	107.05	1.23	4,833.68	3.51
	Apr	5	89.93	0.26	59.01	0.89	4,620.22	3.36
	May	5	166.79	0.64	87.46	1.09	4,306.90	3.22
	Jun	5	93.68	0.53	43.16	0.78	4,199.53	3.77
	Jul	5	90.68	0.79	42.82	1.16	4,052.23	3.84
	Aug	5	65.31	0.39	24.64	0.60	3,393.16	3.87
	Sep	5	31.88	0.26	10.14	0.43	2,714.49	3.51
	Oct	5	99.20	0.67	29.73	1.10	3,248.43	3.88
	Nov	5	48.32	0.35	15.76	0.40	3,041.12	3.71
	Dec	5	109.76	0.87	33.56	1.01	3,432.64	4.06

## Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System\*, 2007 – 2011

Year/Month	No. of companies declared	No. of companies paid	Energy (HK\$mil)	Materials (HK\$mil)	Industrial Goods (HK\$mil)	Consumer Goods (HK\$mil)	Services (HK\$mil)	Telecommunications (HK\$mil)	Utilities (HK\$mil)	Financials (HK\$mil)	Properties & Construction (HK\$mil)	Information Technology (HK\$mil)	Conglomerates (HK\$mil)	Total dividend payout (HK\$mil)
2007	192	41	8.51	41.41	16.83	372.95	444.12	-	15.86	58.84	7.41	118.81	-	1,084.74
2008	188	35	-	15.11	13.23	154.74	251.12	-	-	-	28.66	977.09	-	1,439.96
2009	174	28	-	3.12	9.34	176.19	146.92	-	8.50	0.50	16.42	847.94	-	1,208.93
2010	178	35	-	-	22.26	204.54	200.79	-	-	-	7.69	408.67	543.87	1,387.81
2011	175	27	-	9.44	3.90	36.01	207.54	5.19	-	51.04	13.24	306.24	-	632.59
2011	Jan	11	2	-	-	-	-	-	-	-	-	-	-	-
	Feb	50	1	-	-	-	-	-	-	-	1.29	-	-	1.29
	Mar	105	18	-	-	-	-	-	-	-	-	-	-	-
	Apr	8	0	-	-	3.90	-	-	-	-	-	41.58	-	45.48
	May	114	0	-	-	-	8.68	65.25	5.19	31.04	-	14.06	-	124.22
	Jun	44	3	-	-	-	17.39	127.54	-	-	-	17.61	-	162.54
	Jul	5	0	-	9.44	-	-	-	-	-	11.95	13.91	-	35.31
	Aug	144	2	-	-	-	-	-	-	20.00	-	3.49	-	23.49
	Sep	19	2	-	-	-	9.94	11.30	-	-	-	-	-	21.23
	Oct	9	0	-	-	-	-	-	-	-	-	-	-	-
	Nov	160	0	-	-	-	-	3.45	-	-	-	153.73	-	157.18
	Dec	6	0	-	-	-	-	-	-	-	-	61.85	-	61.85

Notes:

\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

## Newly listed companies on GEM

### Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK\$)	Funds raised (HK\$Mil)	Listing date/ subscription rate (times)	Sponsor
08265	Powerwell Pacific Holdings Ltd.	Offer for placing Services – Support Services	42,000,000	0.800	33.60	2011/01/26 n.a.	Access Capital Ltd. CIMB Securities (HK) Ltd.
08087	China 33 Media Group Ltd.	Offer for placing Offer for sale Services – Media & Publishing	153,090,000 12,000,000	1.800 1.800	275.56 21.60	2011/02/28 n.a.	Daiwa Capital Markets Hong Kong Ltd.
08098	CL Group (Holdings) Ltd.	Offer for placing Financials – Other Financials	250,000,000	0.485	121.25	2011/03/08 n.a.	VC Capital Ltd.
08132	Fairson Holdings Ltd.	Offer for placing Industrial Goods	165,000,000	0.300	49.50	2011/05/18 n.a.	Partners Capital International Ltd.
08193	GreaterChina Professional Services Ltd.	Offer for placing Financials – Other Financials	125,000,000	0.720	90.00	2011/05/31 n.a.	Grand Vinco Capital Limited Emperor Capitals Ltd.
08179	Gayety Holdings Ltd.	Offer for placing Services – Hotels, Casinos & Leisure Facilities	80,000,000	1.000	80.00	2011/07/08 n.a.	Quam Capital Ltd.
08160	Legend Strategy International Holdings Group Co. Ltd.	Offer for placing Services – Hotels, Casinos & Leisure Facilities	45,000,000	1.200	54.00	2011/07/15 n.a.	Quam Capital Ltd.
08112	Focus Media Network Ltd.	Offer for placing Services – Media & Entertainment	82,000,000	0.720	59.04	2011/07/28 n.a.	CIMB Securities (HK) Ltd.
08020	Chanceton Financial Group Ltd.	Offer for placing Offer for sale Financials – Other Financials	120,000,000 80,000,000	0.300 0.300	36.00 24.00	2011/10/12 n.a.	Grand Vinco Capital Ltd. Ample Capital Ltd.
08122	LifeTech Scientific Corporation	Offer for subscription Offer for placing Offer for sale Consumer Goods – Health & Personal Care	8,404,000 84,096,000 41,874,000	2.000 2.000 2.000	16.81 168.19 83.75	2011/11/10 0.6723	Piper Jaffray Asia Ltd.
08012	RENHENG Enterprise Holdings Ltd.	Offer for placing Industrial Goods	50,000,000	1.200	60.00	2011/11/18 n.a.	Shenyin Wanguo Capital (H.K.) Ltd.
08215	First Credit Holdings Ltd.	Offer for placing Offer for sale Financials – Other Financials	200,000,000 100,000,000	0.300 0.300	60.00 30.00	2011/12/13 n.a.	Altus Capital Ltd.
08246	Noble House (China) Holdings Ltd.	Offer for placing Offer for sale Services – Hotels, Casinos & Leisure Facilities	70,000,000 28,000,000	0.720 0.720	50.40 20.16	2011/12/30 n.a.	Quam Capital Ltd.
<b>Total</b>					<b>1,333.86</b>		

# Industry Classification is provided by Hang Seng Indexes Company Limited.

## Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08259 <sup>#</sup>	Yantai North Andre Juice Co. Ltd. – H Shares	2011/01/18	2011/01/19*
08157 <sup>#</sup>	Capinfo Co. Ltd. – H Shares	2011/01/20	2011/01/21*
08331 <sup>#</sup>	Zhejiang Shibao Co. Ltd. – H Shares	2011/03/08	2011/03/09*
08196 <sup>#</sup>	Launch Tech Co. Ltd. – H Shares	2011/03/25	2011/03/28*
08065 <sup>#</sup>	Sino Haijing Holdings Ltd.	2011/05/11	2011/05/12*
08305 <sup>#</sup>	Jiangchen International Holdings Ltd.	2011/05/13	2011/05/16*
08248 <sup>#</sup>	Perception Digital Holdings Ltd.	2011/06/02	2011/06/03*
08052 <sup>#</sup>	Convenience Retail Asia Ltd.	2011/06/17	2011/06/20*
08151 <sup>#</sup>	Essex Bio-Technology Ltd.	2011/06/22	2011/06/23*
08277 <sup>#</sup>	Wumart Stores, Inc. – H Shares	2011/06/29	2011/06/30*
08015 <sup>#</sup>	Qianlong Technology International Holdings Ltd.	2011/08/26	2011/08/29*
08290 <sup>#</sup>	Tianjin Tianlian Public Utilities Co. Ltd. – H Shares	2011/10/17	2011/10/18*

<sup>#</sup> Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

\* Effective date of the transfer of listing from GEM to Main Board

## Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08071	China Metal Resources Holdings Ltd.	China Netcom Technology Holdings Ltd.	2010/12/10	2011/01/13
08102	Shanghai Fudan Microelectronics Co. Ltd. – H Shares	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	2010/12/30	2011/01/17
08226	Sonavox International Holdings Ltd.	Sunrise (China) Technology Group Ltd.	2011/01/04	2011/02/17
08130	Xing Lin Medical Information Technology Co. Ltd.	Zhi Cheng Holdings Ltd.	2011/02/25	2011/03/17
08096	ThinSoft (Holdings) Inc.	Ruifeng Petroleum Chemical Holdings Ltd.	2011/03/11	2011/04/15
08213	Armitage Technologies Holding Ltd.	Epicurean and Co., Ltd.	2011/03/15	2011/04/19
08083	SYSCAN Technology Holdings Ltd.	China Innovationpay Group Ltd.	2011/05/02	2011/06/07
08043	ERA Holdings Global Ltd.	ERA Mining Machinery Ltd.	2011/05/09	2011/06/27
08005	Yuxing InfoTech Holdings Ltd.	Yuxing InfoTech Investment Holdings Ltd.	2011/05/26	2011/07/14
08111	Soluteck Holdings Ltd.	China Technology Solar Power Holdings Ltd.	2011/07/14	2011/08/18
08029	Sun International Group Ltd.	Sun International Resources Ltd.	2011/08/05	2011/09/15
08075	Rojam Entertainment Holdings Ltd.	Media Asia Group Holdings Ltd.	2011/08/23	2011/09/22
08272	Best Miracle International Ltd.	Chinese Food and Beverage Group Ltd.	2011/08/31	2011/10/10
08270	China Leason Investment Group Co. Ltd.	China Leason CBM & Shale Gas Group Co. Ltd.	2011/10/20	2011/11/09
08351	Eternite International Co. Ltd.	Larry Jewelry International Co. Ltd.	2011/10/28	2011/12/08

## Reorganisations / demergers on GEM

Code	Company	Particulars	Proposed date Completed / EGM date
NIL			

## Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
Nil				

## Change of domicile of listed companies on GEM

Code	Former company	New company	Place of	
			incorporation	Effective date
08317	Finet Group Ltd.	Finet Group Ltd.	Bermuda	2011/06/16

## Companies under suspension on GEM as at the end of 2011

Code		Last closing price		Date of suspension
		(HK\$)	(Date)	
08148	Aurum Pacific (China) Group Ltd.	0.310	(2007/03/21)	2007/03/22
08176	China AU Group Holdings Ltd.	0.161	(2011/09/28)	2011/09/30
08178	China Information Technology Development Ltd.	0.101	(2009/01/23)	2009/01/29
08299	Grand T G Gold Holdings Ltd.	0.072	(2010/11/10)	2010/11/11
08019	Hao Wen Holdings Ltd.	0.059	(2011/03/31)	2011/04/01
08198	MelcoLot Ltd.	0.185	(2011/12/23)	2011/12/23
08188	Mudan Automobile Shares Co. Ltd. – H Shares	0.345	(2005/03/29)	2005/03/29
08249	Ningbo Yidong Electronic Co. Ltd. – H Shares	0.172	(2011/12/19)	2011/12/20
08150	Seamless Green China (Holdings) Ltd.	0.940	(2011/12/14)	2012/12/15
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	0.190	(2006/12/20)	2006/12/21
08010	SMI Publishing Group Ltd.	0.015	(2005/04/28)	2005/04/28
08229	Tungda Innovative Lighting Holdings Ltd.	0.180	(2004/07/28)	2004/07/29
08211	Zhejiang Yonglong Enterprises Co. Ltd. – H Shares	0.077	(2008/10/23)	2008/10/24

## Takeovers and mergers for GEM

Code	Company	Proposed date Offer closing date
08325	<b>Oriental City Group Holdings Ltd.</b> Mandatory unconditional cash offer was made by Tian Li Holdings Ltd. at a price of HK\$0.10 for each share	2011/03/03 2011/03/24
08050	<b>FlexSystem Holdings Ltd.</b> Mandatory unconditional cash offer was made by Excel Score Ltd. at a price of HK\$0.26372 for each share	2011/04/15 2011/05/06
08075	<b>Rojam Entertainment Holdings Ltd.</b> <b>(Name changed to Media Asia Group Holdings Ltd.)</b> Mandatory unconditional cash offer was made by Perfect Sky Holdings Ltd. at a price of HK\$0.02785 for each share, HK\$0.00001 for each share option	2011/06/16 2011/07/07
08117	<b>China Primary Resources Holdings Ltd.</b> Mandatory unconditional cash offer was made by Ms. Ma Zheng at a price of HK\$0.04 for each share	2011/06/24 2011/07/15
08172	<b>KH Investment Holdings Ltd.</b> Voluntary conditional cash offer was made by Splendor Glow Ltd. at a price of HK\$0.25 for each share, HK\$0.5133 for each HK\$1 face value of convertible note, HK\$0.00001 for each share option	2011/08/17 2011/09/21
08233	<b>CIG Yangtze Ports PLC</b> Mandatory unconditional cash offer was made by Zall Infrastructure Investments Co. Ltd. at a price of HK\$0.360 for each share, HK\$0.260 for each share option with exercise price at HK\$0.10, HK\$0.183 for each share option with exercise price at HK\$0.177, HK\$0.178 for each share option with exercise price at HK\$0.182	2011/10/21 2011/11/11

## Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	New par value (HK\$)	Effective date
08066	Phoenitron Holdings Ltd.	Split 1 into 5	0.020	2011/01/18
08047	Palmpay China (Holdings) Ltd.	Consolidation 5 into 1	0.050 *	2011/01/31
08173	Pan Asia Mining Ltd.	Consolidation 50 into 1	0.500	2011/02/10
08196	Launch Tech Co. Ltd. - H Shares	Consolidation 10 into 1	RMB 1.0000	2011/02/15
08277	Wumart Stores, Inc. - H Shares	Consolidation 4 into 1	RMB 1.0000	2011/04/12
08317	Finet Group Ltd.	Consolidation 5 into 1	0.250	2011/05/20
08011	Polyard Petroleum International Group Ltd.	Consolidation 2 into 1	0.020	2011/05/20
08130	Zhi Cheng Holdings Ltd. (Formerly: Xing Lin Medical Information Technology Co. Ltd.)	Consolidation 20 into 1	0.010 *	2011/06/01
08202	Inno-Tech Holdings Ltd.	Consolidation 20 into 1	0.001 *	2011/06/28
08167	Neo Telemedia Ltd.	Consolidation 10 into 1	0.100	2011/06/30
08100	M Dream Inworld Ltd.	Consolidation 2 into 1	0.100	2011/07/18
08088	Crosby Capital Ltd.	Consolidation 10 into 1	USD 0.1000	2011/08/15
08212	Hong Kong Life Group Holdings Ltd.	Consolidation 20 into 1	2.000	2011/08/23
08079	Unlimited Creativity Holdings Ltd.	Consolidation 10 into 1	0.010 *	2011/08/25
08011	Polyard Petroleum International Group Ltd.	Consolidation 2 into 1	0.040	2011/08/30
08082	Sage International Group Ltd.	Split 1 into 4	0.003	2011/09/01
08022	TLT Lottotainment Group Ltd.	Consolidation 5 into 1	0.050	2011/10/19
08120	China Medical and Bio Science Ltd.	Consolidation 40 into 1	0.040 *	2011/10/20
08103	Tai Shing International (Holdings) Ltd.	Consolidation 10 into 1	0.050	2011/11/11
08078	China 3D Digital Entertainment Ltd.	Consolidation 25 into 1	0.005 *	2011/12/19

\* New par value after capital reorganisation

## Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
08239	Ming Kei Holdings Ltd.	1 for 1	2011/01/18
08277	Wumart Stores, Inc. – H Shares	3 for 1	2011/04/04

## Rights issues/open offers on GEM

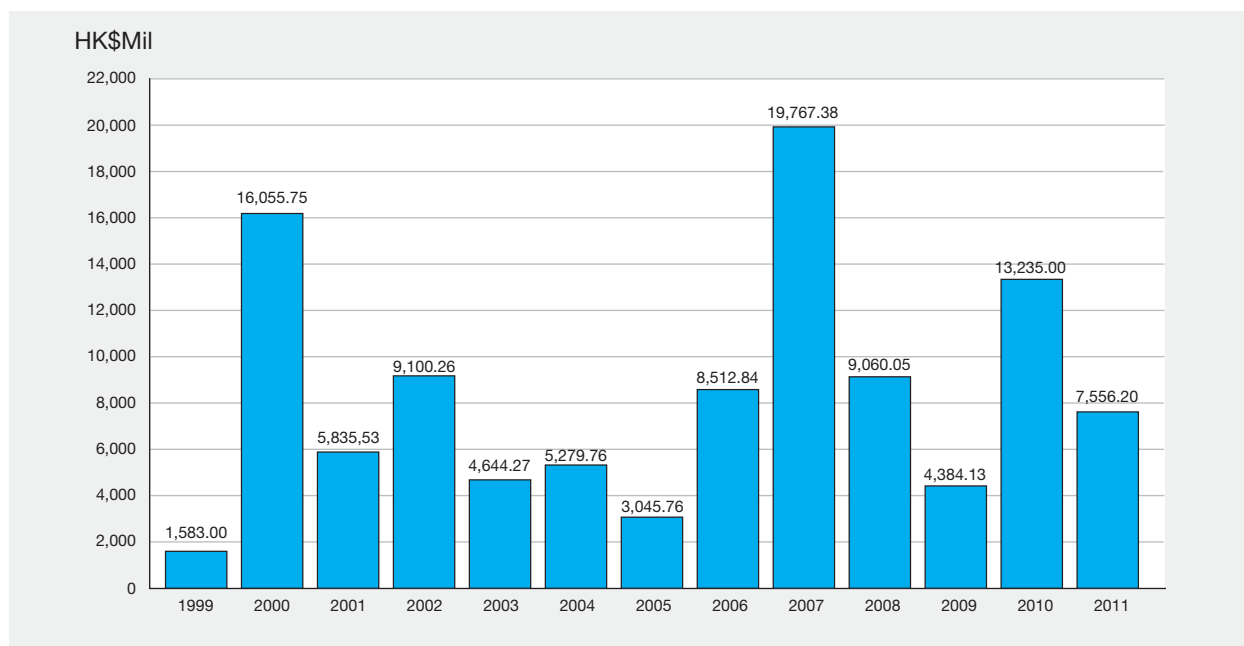
Code	Stock	Particulars	Funds raised (HK\$mil)	Ex-date payment date
08202	Inno-Tech Holdings Ltd.	Rts 10 for 1 @\$0.18 (after consolidation 10 into 1)	216.05	2010/12/10 2011/01/05
08100	M Dream Inworld Ltd.	Open offer 4 for 1 @\$0.10 with bonus 3 for 4 offer shs (after consolidation 5 into 1)	104.89	2010/12/17 2011/01/12
08173	Pan Asia Mining Ltd.	Rts 5 for 1 @\$0.50 (after consolidation 50 into 1)	380.08	2011/02/11 2011/03/07
08066	Phoenitron Holdings Ltd.	Open offer 1 for 10 @\$0.36	99.35	2011/02/11 2011/03/07
08078	China 3D Digital Entertainment Ltd.	Rts 7 for 1 @\$0.015	114.02	2011/03/22 2011/04/14
08317	Finet Group Ltd.	Open offer 4 for 1 @\$0.25 (after consolidation 5 into 1)	88.16	2011/05/09 2011/06/03
08011	Polyard Petroleum International Group Ltd.	Rts 1 for 1 @\$0.12 (after consolidation 2 into 1)	54.93	2011/05/12 2011/06/03
08266	Mobile Telecom Network (Holdings) Ltd.	Open offer 1 for 1 @\$0.10	58.86	2011/05/12 2011/06/09
08068	New Universe International Group Ltd.	Rts 1 for 10 @\$0.15	30.18	2011/06/22 2011/07/22
08088	Crosby Capital Ltd.	Rts 1 for 1 @\$0.80 (after consolidation 10 into 1)	39.25	2011/08/16 2011/09/05
08047	Palmpay China (Holdings) Ltd.	Open offer 1 for 1 @\$0.05	31.38	2011/08/17 2011/09/09
08079	Unlimited Creativity Holdings Ltd.	Rts 10 for 1 @\$0.15 (after consolidation 10 into 1)	95.35	2011/08/26 2011/09/19
08213	Epicurean and Co., Ltd. (Formerly: Armitage Technologies Holding Ltd.)	Rts 1 for 2 @\$0.06	32.86	2011/10/21 2011/11/11
<b>Total</b>			<b>1,345.35</b>	

\* Notes: Figures may not add up to total due to rounding.

## Placings on GEM

Code	Company	New shares placed	Placing price (HK\$)	Funds raised (HK\$ mil)	Allotment date
08022	TLT Lottotainment Group Ltd.	94,000,000	0.320	30.08	2011/01/06
08186	China Asean Resources Ltd.	106,680,000	0.600	64.01	2011/01/07
08128	China Ground Source Energy Ltd.	234,704,000	0.318	74.73	2011/01/18
08022	TLT Lottotainment Group Ltd.	64,000,000	0.295	18.88	2011/01/25
08306	China Nonferrous Metals Co. Ltd.	200,000,000	0.220	44.00	2011/01/26
08079	Unlimited Creativity Holdings Ltd.	85,000,000	0.165	14.03	2011/01/26
08083	SYSCAN Technology Holdings Ltd. (Name changed to China Innovationpay Group Ltd.)	625,000,000	0.320	200.00	2011/03/09
08250	China Natural Investment Co. Ltd.	505,700,000	0.165	83.44	2011/03/16
08021	WLS Holdings Ltd.	70,000,000	0.120	8.40	2011/03/16
08270	China Leason Investment Group Co. Ltd. (Name changed to China Leason CBM & Shale Gas Group Co. Ltd.)	326,830,000	0.225	73.54	2011/03/17
08202	Inno-Tech Holdings Ltd.	264,062,079	0.059	15.58	2011/03/18
08150	Seamless Green China (Holdings) Ltd.	28,000,000	0.700	19.60	2011/03/30
08351	Eternite International Co. Ltd. (Name changed to Larry Jewelry International Co. Ltd.)	64,500,000	0.553	35.67	2011/03/31
08022	TLT Lottotainment Group Ltd.	100,000,000	0.295	29.50	2011/04/13
08070	Zhongyu Gas Holdings Ltd.	394,000,000	0.410	161.54	2011/04/18
08019	Hao Wen Holdings Ltd.	300,000,000	0.055	16.50	2011/04/21
08351	Eternite International Co. Ltd. (Name changed to Larry Jewelry International Co. Ltd.)	26,000,000	0.553	14.38	2011/04/29
08165	Jian ePayment Systems Ltd.	179,600,000	0.205	36.82	2011/04/29
08089	China Railway Logistics Ltd.	112,000,000	0.430	48.16	2011/05/05
08016	China Data Broadcasting Holdings Ltd.	16,000,000	0.500	8.00	2011/05/11
08022	TLT Lottotainment Group Ltd.	65,000,000	0.245	15.93	2011/05/12
08079	Unlimited Creativity Holdings Ltd.	105,000,000	0.105	11.03	2011/05/13
08269	Wealth Glory Holdings Ltd.	110,400,000	0.370	40.85	2011/05/13
08103	Tai Shing International (Holdings) Ltd.	393,500,000	0.161	63.35	2011/05/18
08021	WLS Holdings Ltd.	118,380,000	0.188	22.26	2011/05/20
08212	Hong Kong Life Group Holdings Ltd.	356,160,000	0.100	35.62	2011/05/24
08207	Credit China Holdings Ltd.	90,000,000	1.750	157.50	2011/06/07
08075	Rojam Entertainment Holdings Ltd. (Name changed to Media Asia Group Holdings Ltd.)	6,918,343,209	0.017	118.58	2011/06/09
08150	Seamless Green China (Holdings) Ltd.	35,000,000	1.450	50.75	2011/06/17
08100	M Dream Inworld Ltd.	400,000,000	0.065	26.00	2011/06/21
08292	HC International, Inc.	53,809,685	1.500	80.71	2011/06/24
08048	Excel Technology International Holdings Ltd.	30,000,000	0.100	3.00	2011/06/30
08202	Inno-Tech Holdings Ltd.	15,843,500	0.440	6.97	2011/06/30
08356	Tsun Yip Holdings Ltd.	198,400,000	0.173	34.32	2011/07/21
08176	China AU Group Holdings Ltd.	110,000,000	0.150	16.50	2011/08/04
08041	China Post E-Commerce (Holdings) Ltd.	11,236,249	0.191	2.14	2011/08/10
08270	China Leason Investment Group Co. Ltd. (Name changed to China Leason CBM & Shale Gas Group Co. Ltd.)	244,000,000	0.600	146.40	2011/08/17
08022	TLT Lottotainment Group Ltd.	86,000,000	0.100	8.60	2011/08/18
08351	Eternite International Co. Ltd. (Name changed to Larry Jewelry International Co. Ltd.)	61,800,000	0.750	46.35	2011/08/31
08165	Jian ePayment Systems Ltd.	200,000,000	0.130	26.00	2011/08/31
08011	Polyard Petroleum International Group Ltd.	583,333,333	0.240	140.00	2011/09/06
08041	China Post E-Commerce (Holdings) Ltd.	30,511,060	0.131	4.00	2011/09/08
08075	Rojam Entertainment Holdings Ltd. (Name changed to Media Asia Group Holdings Ltd.)	1,467,500,000	0.200	293.50	2011/09/08
08137	Honbridge Holdings Ltd.	51,866,666	1.500	77.80	2011/09/09
08351	Eternite International Co. Ltd. (Name changed to Larry Jewelry International Co. Ltd.)	72,700,000	0.750	54.53	2011/09/30
08271	Global Digital Creations Holdings Ltd.	223,000,000	0.350	78.05	2011/10/04
08085	ZMAY Holdings Ltd.	415,000,000	0.069	28.64	2011/10/12
08096	Ruifeng Petroleum Chemical Holdings Ltd.	60,000,000	0.700	42.00	2011/10/13
08120	China Medical and Bio Science Ltd.	272,000,000	0.200	54.40	2011/10/26
08321	China Automotive Interior Decoration Holdings Ltd.	40,000,000	0.250	10.00	2011/11/03
08028	Timeless Software Ltd.	168,000,000	0.150	25.20	2011/11/03
08137	Honbridge Holdings Ltd.	25,893,334	1.500	38.84	2011/11/04
08130	Zhi Cheng Holdings Ltd.	30,000,000	0.257	7.71	2011/11/07
08103	Tai Shing International (Holdings) Ltd.	12,820,000	0.210	2.69	2011/12/01
08167	Neo Telemedia Ltd.	270,000,000	0.300	81.00	2011/12/02
08130	Zhi Cheng Holdings Ltd.	23,600,000	0.257	6.07	2011/12/08
08096	Ruifeng Petroleum Chemical Holdings Ltd.	232,000,000	0.250	58.00	2011/12/13
08111	China Technology Solar Power Holdings Ltd.	32,400,000	0.300	9.72	2011/12/16
08351	Larry Jewelry International Co. Ltd.	30,700,000	0.760	23.33	2011/12/21
<b>Total</b>				<b>2,945.17</b>	

## Equity funds raised for GEM, 1999 – 2011



## Funds raised by classification for GEM, 1999 – 2011

HK\$mil

Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Equities	Offer for subscription	231.04	817.28	90.92	1,415.28	93.44	391.64	12.66	297.00	-	-	54.00	-	67.21
	Offer for sale	220.38	249.38	370.32	457.38	108.64	61.07	22.10	73.50	163.43	20.00	31.10	26.54	159.35
	Offer for placing	1,131.57	13,748.16	3,654.58	5,138.00	1,873.21	2,241.42	630.68	1,398.71	1,830.12	196.84	271.10	622.75	1,107.30
	Placing	-	508.66	274.19	1,216.57	1,512.16	1,650.81	1,646.28	3,163.57	10,910.49	3,728.85	2,535.18	7,651.88	2,945.17
	Rights issue	-	-	153.83	154.90	220.70	191.11	58.22	2,146.02	1,853.63	133.46	237.49	767.95	962.71
	Open offer	-	-	-	-	128.47	5.96	16.47	78.57	248.79	181.99	437.27	670.96	382.64
	Consideration issue	-	682.43	1,245.48	638.87	664.93	656.66	537.42	1,199.87	4,187.92	4,594.00	608.10	2,873.73	1,744.22
	Warrants exercised	-	-	28.20	0.00	0.14	0.06	7.62	2.24	140.46	31.71	4.85	140.25	15.87
	Share option scheme	-	49.84	18.02	79.25	42.57	80.98	114.31	153.36	432.55	173.21	205.03	480.95	171.72
<b>Equities funds raised</b>		<b>1,583.00</b>	<b>16,055.75</b>	<b>5,835.53</b>	<b>9,100.26</b>	<b>4,644.27</b>	<b>5,279.69</b>	<b>3,045.76</b>	<b>8,512.84</b>	<b>19,767.38</b>	<b>9,060.05</b>	<b>4,384.13</b>	<b>13,235.00</b>	<b>7,556.20</b>
<b>Equity warrants – premium</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>6.25</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>6.23</b>	<b>-</b>	<b>-</b>	<b>7.17</b>	<b>8.00</b>

## Funds raised by Mainland enterprises H shares for GEM, 1999 – 2011

HK\$mil

Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Equities	Offer for subscription	-	-	8.70	-	80.32	22.36	5.46	297.00	-	-	-	-	-
	Offer for sale	-	-	42.89	39.59	15.47	32.17	3.89	73.50	-	-	-	-	-
	Offer for placing	-	644.18	712.40	1,020.02	1,122.12	639.07	166.13	1,398.71	-	176.84	-	-	-
	Placing	-	-	-	113.00	204.00	459.33	272.90	594.25	1,317.33	1,770.67	122.40	638.72	-
	Rights issue	-	-	-	-	-	-	-	-	-	-	100.81	-	-
	Open offer	-	-	-	-	-	-	-	-	82.71	-	-	-	-
	Consideration issue	-	-	-	-	-	-	-	-	-	-	-	-	-
	Warrants exercised	-	-	-	-	-	-	-	-	-	-	-	-	-
	Share option scheme	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Equity warrants – premium</b>		<b>-</b>	<b>644.18</b>	<b>763.99</b>	<b>1,172.60</b>	<b>1,421.91</b>	<b>1,152.93</b>	<b>448.37</b>	<b>2,363.46</b>	<b>1,400.04</b>	<b>1,947.51</b>	<b>223.21</b>	<b>638.72</b>	<b>-</b>

## Funds raised by Red Chip stocks for GEM, 1999 – 2011

HK\$mil

Type	Listing method	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Equities	Offer for subscription	70.35	-	-	-	-	-	-	-	-	-	-	-	-
	Offer for sale	172.45	-	-	-	-	-	-	-	-	-	-	-	-
	Offer for placing	161.44	-	-	-	-	92.00	-	-	-	-	-	-	-
	Placing	-	-	-	-	-	-	18.72	-	707.76	125.00	-	-	86.05
	Rights issue	-	-	-	-	-	-	-	-	-	-	-	-	-
	Open offer	-	-	-	-	-	-	-	-	-	-	-	-	-
	Consideration issue	-	-	-	-	-	-	20.81	-	299.75	95.22	-	-	-
	Warrants exercised	-	-	-	-	-	-	-	-	-	-	-	-	-
	Share option scheme	-	-	-	-	0.68	-	-	6.90	42.09	-	-	-	0.03
<b>Equities funds raised</b>		<b>404.24</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.68</b>	<b>92.00</b>	<b>39.53</b>	<b>6.90</b>	<b>1,049.61</b>	<b>220.22</b>	<b>-</b>	<b>0.03</b>	<b>86.05</b>



## Newly listed equity warrants on GEM, 2011

Code	Warrant	Subscription price per unit (HK\$)	Subscription period	Initial issued amount (HK\$)	Listing date
08187	China Bio Cassava Holdings Ltd. Warrants 2013	0.059	2011/02/18 – 2013/02/17	47,200,000	2011/02/17

## List of expired equity warrants for GEM, 2011

Code	Warrant	Last trading date	Delisted date
Nil			

## Market value and trading for equity warrants on GEM, 2007 – 2011

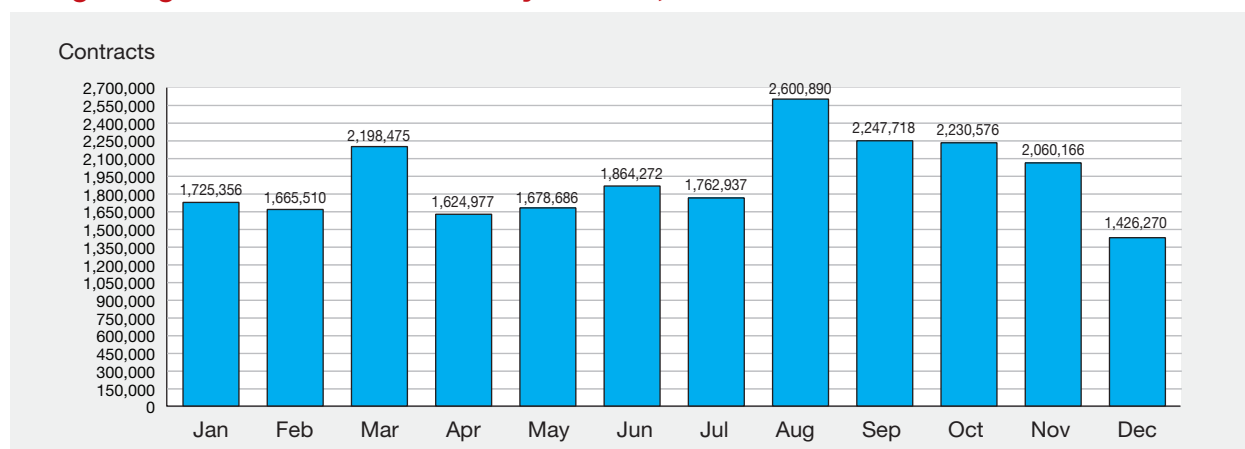
Year/Month	Number *	Market value * HK\$mil	Turnover value HK\$mil	(%) of market total
2007	3	71.23	26.40	0.02
2008	3	10.34	2.93	0.01
2009	1	1.49	0.34	0.00
2010	1	40.15	22.08	0.02
2011	2	15.97	4.07	0.01
2011 Jan	1	28.68	0.29	0.00
Feb	2	38.78	1.31	0.02
Mar	2	43.08	1.14	0.01
Apr	2	33.51	0.51	0.01
May	2	31.91	0.40	0.00
Jun	2	21.87	0.11	0.00
Jul	2	22.59	0.14	0.00
Aug	2	26.17	0.09	0.00
Sep	2	25.46	0.00	0.00
Oct	2	20.77	0.05	0.00
Nov	2	15.97	0.02	0.00
Dec	2	15.97	0.00	0.00

\* Month-end or year-end figures.

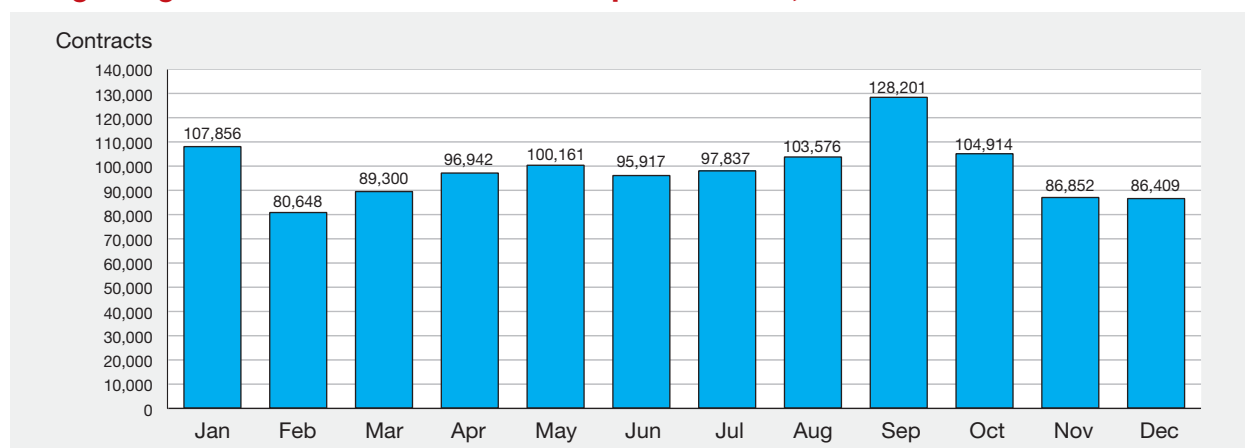
## Hang Seng Index futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2007	245.0	17,160,964	70,045	111,513	
2008	243.0	21,716,508	89,368	73,034	
2009	247.5	20,728,034	83,750	68,456	
2010	248.0	21,031,085	84,803	88,816	
2011	245.5	23,085,833	94,036	86,409	
2011	Jan	21.0	1,725,356	82,160	107,856
	Feb	17.5	1,665,510	95,172	80,648
	Mar	23.0	2,198,475	95,586	89,300
	Apr	18.0	1,624,977	90,277	96,942
	May	20.0	1,678,686	83,934	100,161
	Jun	21.0	1,864,272	88,775	95,917
	Jul	20.0	1,762,937	88,147	97,837
	Aug	23.0	2,600,890	113,082	103,576
	Sep	20.0	2,247,718	112,386	128,201
	Oct	20.0	2,230,576	111,529	104,914
	Nov	22.0	2,060,166	93,644	86,852
	Dec	20.0	1,426,270	71,314	86,409
2011	Highest (date)		<b>235,385</b> (09/08)		<b>154,594</b> (28/09)

## Hang Seng Index futures – monthly volume, 2011



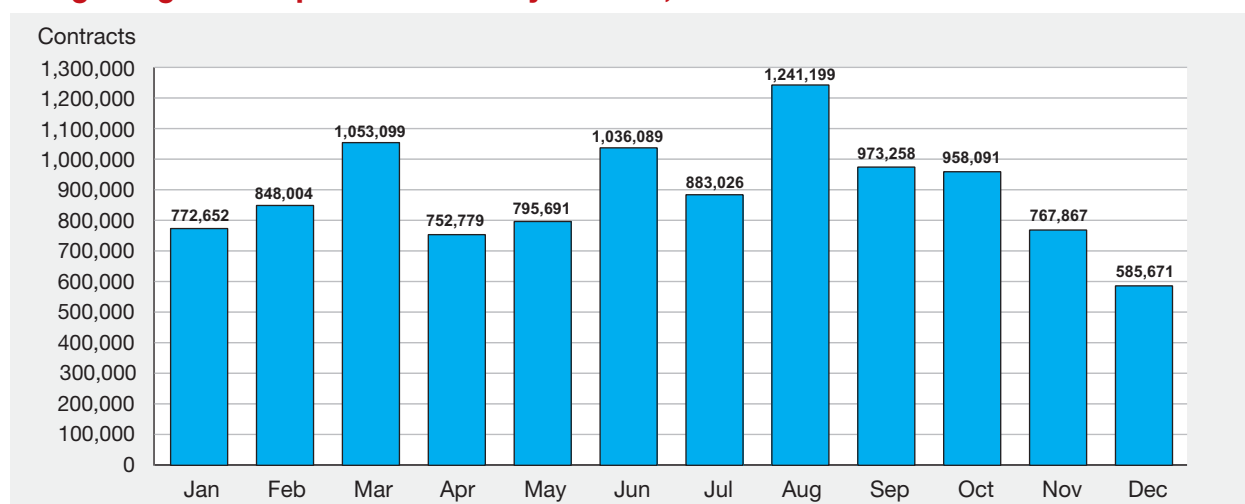
## Hang Seng Index futures – month-end open interest, 2011



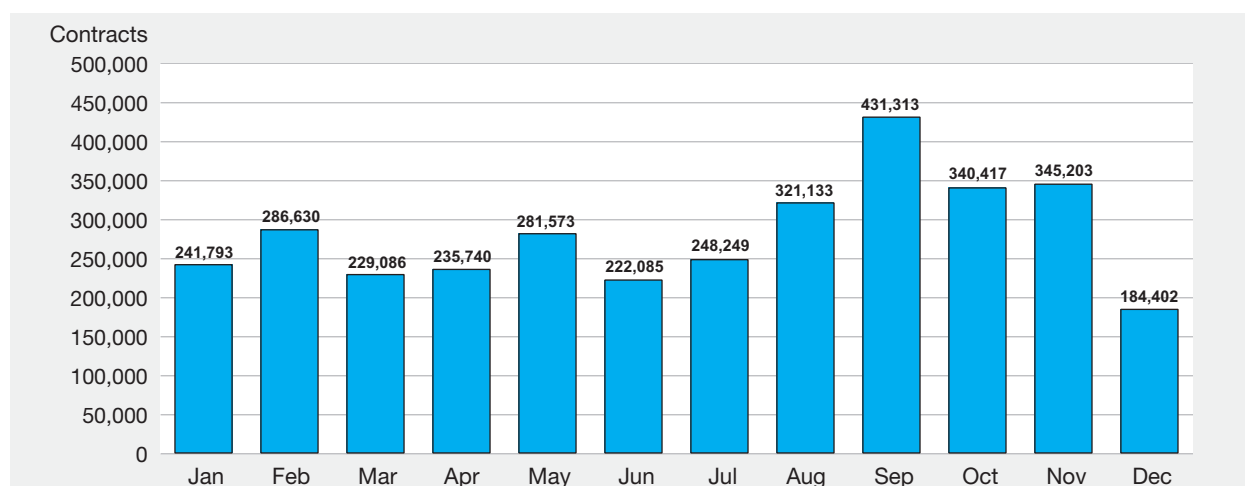
## Hang Seng Index options – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Volume (contracts)			Average daily	Period end open interest (contracts)
		Call	Put	Total		
2007	245.0	2,882,001	4,598,182	7,480,183	30,531	174,368
2008	243.0	1,847,637	1,973,160	3,820,797	15,723	75,829
2009	247.5	2,544,351	2,823,052	5,367,403	21,686	175,720
2010	248.0	3,980,315	4,534,734	8,515,049	34,335	187,784
2011	245.5	4,975,186	5,692,240	10,667,426	43,452	184,402
2011 Jan	21.0	341,756	430,896	772,652	36,793	241,793
2011 Feb	17.5	409,408	438,596	848,004	48,457	286,630
2011 Mar	23.0	449,089	604,010	1,053,099	45,787	229,086
2011 Apr	18.0	362,296	390,483	752,779	41,821	235,740
2011 May	20.0	378,385	417,306	795,691	39,785	281,573
2011 Jun	21.0	481,696	554,393	1,036,089	49,338	222,085
2011 Jul	20.0	408,610	474,416	883,026	44,151	248,249
2011 Aug	23.0	545,080	696,119	1,241,199	53,965	321,133
2011 Sep	20.0	480,886	492,372	973,258	48,663	431,313
2011 Oct	20.0	458,265	499,826	958,091	47,905	340,417
2011 Nov	22.0	377,033	390,834	767,867	34,903	345,203
2011 Dec	20.0	282,682	302,989	585,671	29,284	184,402
2011 Highest (date)				<b>116,835</b> (05/08)		<b>483,835</b> (28/09)

## Hang Seng Index options – monthly volume, 2011



## Hang Seng Index options – month-end open interest 2011



## Mini-Hang Seng Index futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2007	245.0	4,325,977	17,657	3,457
2008	243.0	7,961,028	32,761	2,945
2009	247.5	9,279,877	37,494	4,447
2010	248.0	8,300,654	33,470	7,359
2011	245.5	10,294,537	41,933	5,129
2011 Jan	21.0	662,358	31,541	9,043
Feb	17.5	613,195	35,040	9,243
Mar	23.0	901,325	39,188	8,475
Apr	18.0	623,069	34,615	9,108
May	20.0	705,327	35,266	6,974
Jun	21.0	832,039	39,621	10,213
Jul	20.0	786,672	39,334	10,572
Aug	23.0	1,177,570	51,199	7,492
Sep	20.0	1,119,780	55,989	11,015
Oct	20.0	1,097,908	54,895	6,870
Nov	22.0	1,068,433	48,565	6,475
Dec	20.0	706,861	35,343	5,129
2011 Highest (date)		<b>86,812</b> (09/08)		<b>21,682</b> (17/06)

## Mini-Hang Seng Index options – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2007	245.0	31,060	38,452	69,512	284	443
2008	243.0	79,776	77,181	156,957	646	1,796
2009	247.5	141,819	144,772	286,591	1,158	3,551
2010	248.0	233,478	249,213	482,691	1,946	4,859
2011	245.5	472,500	481,914	954,414	3,888	3,873
2011 Jan	21.0	27,224	24,702	51,926	2,473	5,202
Feb	17.5	25,991	27,751	53,742	3,071	5,467
Mar	23.0	44,567	45,185	89,752	3,902	7,571
Apr	18.0	34,678	32,052	66,730	3,707	5,071
May	20.0	34,001	37,529	71,530	3,577	8,116
Jun	21.0	41,064	40,601	81,665	3,889	5,681
Jul	20.0	40,731	40,586	81,317	4,066	6,964
Aug	23.0	50,905	53,135	104,040	4,523	6,063
Sep	20.0	47,844	49,512	97,356	4,868	13,028
Oct	20.0	46,460	53,178	99,638	4,982	6,641
Nov	22.0	45,273	45,156	90,429	4,110	5,676
Dec	20.0	33,762	32,527	66,289	3,314	3,873
2011 Highest (date)				<b>10,618</b> (05/08)		<b>22,086</b> (29/08)

## H-shares Index futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total	Average	Period end
		volume	daily volume	open interest
2007	245.0	10,846,277	44,271	91,786
2008	243.0	14,440,965	59,428	96,120
2009	247.5	12,394,116	50,077	74,324
2010	248.0	12,429,800	50,120	94,734
2011	245.5	15,003,870	61,116	106,277
2011 Jan	21.0	901,148	42,912	96,764
Feb	17.5	987,965	56,455	99,444
Mar	23.0	1,317,060	57,263	107,348
Apr	18.0	1,082,289	60,127	117,238
May	20.0	1,073,226	53,661	113,246
Jun	21.0	1,216,372	57,922	114,333
Jul	20.0	1,159,756	57,988	120,465
Aug	23.0	1,711,641	74,419	113,201
Sep	20.0	1,487,388	74,369	155,125
Oct	20.0	1,533,052	76,653	133,137
Nov	22.0	1,442,071	65,549	125,814
Dec	20.0	1,091,902	54,595	106,277
2011 Highest (date)		<b>220,600</b> (27/09)		<b>177,892</b> (28/09)

## H-shares Index options – trading statistics, 2007- 2011

Year/Month	No. of trading days	Volume (contracts)				Period end
				Total	Average	open
		Call	Put	volume	daily volume	interest
2007	245.0	651,452	1,076,395	1,727,847	7,052	76,326
2008	243.0	819,437	794,551	1,613,988	6,642	59,592
2009	247.5	915,184	1,045,947	1,961,131	7,924	124,030
2010	248.0	1,386,525	1,524,188	2,910,713	11,737	104,994
2011	245.5	1,803,380	1,968,419	3,771,799	15,364	158,628
2011 Jan	21.0	104,102	156,309	260,411	12,401	126,556
Feb	17.5	109,594	119,934	229,528	13,116	163,417
Mar	23.0	160,472	205,092	365,564	15,894	134,324
Apr	18.0	143,033	110,167	253,200	14,067	163,127
May	20.0	133,925	157,511	291,436	14,572	209,084
Jun	21.0	167,624	257,587	425,211	20,248	163,542
Jul	20.0	164,273	138,600	302,873	15,144	186,974
Aug	23.0	203,486	193,842	397,328	17,275	244,681
Sep	20.0	136,094	144,970	281,064	14,053	275,972
Oct	20.0	171,174	158,884	330,058	16,503	252,347
Nov	22.0	144,429	161,138	305,567	13,889	281,414
Dec	20.0	165,174	164,385	329,559	16,478	158,628
2011 Highest (date)				<b>35,001</b> (03/06)		<b>380,344</b> (28/12)

## Mini H-shares Index futures – trading statistics, 2008 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2008 *	184.5	318,395	1,726	345
2009	247.5	799,894	3,232	741
2010	248.0	992,224	4,001	1,867
2011	245.5	1,845,116	7,516	1,520
2011 Jan	21.0	97,117	4,625	2,229
Feb	17.5	98,814	5,647	2,569
Mar	23.0	153,489	6,673	2,109
Apr	18.0	116,042	6,447	2,349
May	20.0	130,690	6,535	2,375
Jun	21.0	163,622	7,792	2,945
Jul	20.0	152,277	7,614	3,062
Aug	23.0	231,773	10,077	1,534
Sep	20.0	200,967	10,048	2,977
Oct	20.0	176,366	8,818	1,275
Nov	22.0	188,199	8,555	1,709
Dec	20.0	135,760	6,788	1,520
2011 Highest (date)		<b>19,556</b> (09/08)		<b>6,030</b> (17/06)

\* Trading in Mini H-shares Index futures commenced on 31 March 2008

## HSI Dividend Point Index Futures – trading statistics, 2010 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010 *	43.0	2,123	49	1,270
2011	245.5	11,196	46	4,460
2011 Jan	21.0	2,438	116	2,575
Feb	17.5	4,147	237	5,439
Mar	23.0	500	22	5,439
Apr	18.0	400	22	5,539
May	20.0	771	39	5,539
Jun	21.0	610	29	5,644
Jul	20.0	100	5	5,644
Aug	23.0	708	31	5,614
Sep	20.0	1	0	5,614
Oct	20.0	450	23	5,854
Nov	22.0	771	35	5,933
Dec	20.0	300	15	4,460
2011 Highest (date)		<b>1,600</b> (02/02)		<b>6,008</b> (29/12)

\* Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

## HSCEI Dividend Point Index Futures – trading statistics, 2010 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2010 *	43.0	4,667	109	1,138
2011	245.5	53,054	216	34,270
2011 Jan	21.0	803	38	1,621
Feb	17.5	789	45	2,280
Mar	23.0	1,387	60	3,122
Apr	18.0	2,340	130	3,887
May	20.0	1	0	3,888
Jun	21.0	600	29	4,111
Jul	20.0	4,650	233	7,008
Aug	23.0	2,543	111	7,588
Sep	20.0	17,201	860	24,538
Oct	20.0	3,380	169	26,608
Nov	22.0	8,698	395	31,691
Dec	20.0	10,662	533	34,270
2011 Highest (date)		<b>15,000</b> (14/09)		<b>36,441</b> (29/12)

\* Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

## Flexible Hang Seng Index Options – trading statistics, 2010 – 2011

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2010 *	223.0	3,610	7,612	11,222	50	500
2011	245.5	2,750	6,510	9,260	38	7,510
2011 Jan	21.0	400	0	400	19	900
Feb	17.5	0	0	0	0	900
Mar	23.0	0	4,410	4,410	192	4,810
Apr	18.0	600	0	600	33	5,410
May	20.0	0	0	0	0	5,410
Jun	21.0	0	0	0	0	5,410
Jul	20.0	0	0	0	0	5,410
Aug	23.0	0	0	0	0	5,410
Sep	20.0	0	0	0	0	5,410
Oct	20.0	1,450	1,550	3,000	150	7,460
Nov	22.0	0	0	0	0	7,460
Dec	20.0	300	550	850	43	7,510
2011 Highest (date)				<b>1,750</b> (04/03)		<b>8,310</b> (29/12)

\* Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

## Trading in Flexible H-shares Index Options – trading statistics, 2010 – 2011

Year/Month	No. of trading days	Volume (contracts)			Average daily volume	Period end open interest (contracts)
		Call	Put	Total volume		
2010 *	223.0	1,350	1,182	2,532	11	0
2011	245.5	16,840	6,670	23,510	96	3,500
2011 Jan	21.0	0	0	0	0	0
Feb	17.5	0	0	0	0	0
Mar	23.0	0	0	0	0	0
Apr	18.0	0	0	0	0	0
May	20.0	2,400	200	2,600	130	2,600
Jun	21.0	0	0	0	0	2,600
Jul	20.0	400	0	400	20	3,000
Aug	23.0	0	0	0	0	600
Sep	20.0	2,885	2,885	5,770	289	6,370
Oct	20.0	5,385	3,585	8,970	449	12,074
Nov	22.0	2,985	0	2,985	136	9,375
Dec	20.0	2,785	0	2,785	139	3,500
2011 Highest (date)				<b>5,770</b> (26/09)		<b>12,074</b> (03/11)

\* Trading in Flexible H-shares Index Options commenced on 08 Feb 2010



### Three-month HIBOR futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total	Average	Period end
		volume	daily volume	open interest
2007	245.0	31,678	129	1,992
2008	243.0	23,818	98	2,435
2009	247.5	2,573	10	103
2010	248.0	1,055	4	267
2011	245.5	414	2	24
2011 Jan	21.0	56	3	278
Feb	17.5	45	3	293
Mar	23.0	26	1	134
Apr	18.0	5	0	129
May	20.0	43	2	167
Jun	21.0	10	0	83
Jul	20.0	75	4	107
Aug	23.0	80	3	147
Sep	20.0	10	1	85
Oct	20.0	20	1	105
Nov	22.0	35	2	110
Dec	20.0	9	0	24
2011 Highest (date)		<b>50</b> (15/08)		<b>300</b> (13/01)

### One-month HIBOR futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total	Average	Period end
		volume	daily volume	open interest
2007	245.0	574	2	140
2008	243.0	891	4	91
2009	247.5	204	1	0
2010	248.0	14	0	0
2011	245.5	245	1	25
2011 Jan	21.0	0	0	0
Feb	17.5	0	0	0
Mar	23.0	55	2	39
Apr	18.0	41	2	30
May	20.0	30	2	20
Jun	21.0	51	2	55
Jul	20.0	36	2	61
Aug	23.0	6	0	1
Sep	20.0	1	0	1
Oct	20.0	0	0	0
Nov	22.0	0	0	0
Dec	20.0	25	1	25
2011 Highest (date)		<b>20</b> (31/05)		<b>75</b> (18/07)

### Three-Year Exchange Fund Note futures – trading statistics, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2007	245.0	150	1	0
2008	243.0	0	0	0
2009	247.5	0	0	0
2010	248.0	0	0	0
2011	245.5	0	0	0
2011 Jan	21.0	0	0	0
Feb	17.5	0	0	0
Mar	23.0	0	0	0
Apr	18.0	0	0	0
May	20.0	0	0	0
Jun	21.0	0	0	0
Jul	20.0	0	0	0
Aug	23.0	0	0	0
Sep	20.0	0	0	0
Oct	20.0	0	0	0
Nov	22.0	0	0	0
Dec	20.0	0	0	0
2011 Highest (date)		<b>0</b> (30/12)		<b>0</b> (30/12)

## Stock futures contracts as at the end of 2011

Underlying Stock	Launch Date	Contract Multiplier
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Bank of China Ltd.	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
BOC Hong Kong (Holdings) Ltd.	2003/03/17	500
Cathay Pacific Airways Ltd.	2001/08/27	1,000
Cheung Kong (Holdings) Ltd.	1995/09/25	1,000
China Communications Construction Company Ltd.	2007/03/19	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Life Insurance Company Ltd.	2004/06/14	1,000
China Merchants Bank Co., Ltd.	2006/10/27	500
China Mobile Ltd.	1998/06/05	500
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Telecom Corporation Ltd.	2004/06/14	2,000
China Unicom (Hong Kong) Ltd.	2001/08/27	2,000
CITIC Pacific Ltd.	1997/05/23	1,000
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
Esprit Holdings Ltd.	2005/07/11	100
Foxconn International Holdings Ltd.	2007/03/19	1,000
Hang Seng Bank Ltd.	1997/09/19	100
Henderson Land Development Company Ltd.	1997/09/19	1,000
Hong Kong Exchanges and Clearing Ltd.	2001/08/27	100
HSBC Holdings Plc.	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Hutchison Whampoa Ltd.	1997/09/19	1,000
Industrial and Commercial Bank of China Ltd.	2006/10/27	1,000
Li & Fung Ltd.	2001/08/27	2,000
MTR Corporation Ltd.	2001/08/27	500
New World Development Company Ltd.	1997/10/17	1,000
PetroChina Company Ltd.	2001/08/27	2,000
PICC Property and Casualty Company Ltd.	2007/03/19	2,000
Ping An Insurance (Group) Company of China, Ltd.	2005/11/07	500
Power Assets Holdings Ltd.	1998/03/18	500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Swire Pacific Ltd. 'A'	1997/05/23	500
The Bank of East Asia, Ltd.	2001/01/08	200
The Wharf (Holdings) Ltd.	1997/10/17	1,000
The Hong Kong and China Gas Company Ltd.	2001/08/27	1,000

## Stock futures contract volume and open interest, 2007 – 2011

Year/Month	No. of trading days	Contracts		
		Total volume	Average daily volume	Period end open interest
2007	245.0	351,514	1,435	5,954
2008	243.0	257,015	1,058	9,449
2009	247.5	271,766	1,098	4,407
2010	248.0	239,259	965	11,514
2011	245.5	444,014	1,809	11,277
2011	Jan	35,641	1,697	16,644
	Feb	28,605	1,635	17,062
	Mar	43,884	1,908	18,118
	Apr	36,662	2,037	19,442
	May	26,296	1,315	19,077
	Jun	39,607	1,886	19,267
	Jul	31,867	1,593	21,512
	Aug	51,117	2,222	20,567
	Sep	49,749	2,487	24,653
	Oct	49,861	2,493	18,381
	Nov	28,249	1,284	17,577
	Dec	22,476	1,124	11,277
2011	Highest (date)	<b>5,807</b> (25/10)		<b>26,482</b> (25/08)

## Stock futures – trading statistics by underlying stock, 2011

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Aluminum Corporation of China Ltd.	3,160	0.71	58	0.51
Bank of China Ltd.	23,317	5.25	944	8.37
Bank of Communications Co., Ltd.	2,429	0.55	35	0.31
The Bank of East Asia Ltd.	950	0.21	6	0.05
BOC Hong Kong (Holdings) Limited	11,547	2.60	26	0.23
Cathay Pacific Airways Limited	1,605	0.36	3	0.03
Cheung Kong (Hldgs.) Ltd.	8,300	1.87	46	0.41
China Communications Construction Company Limited	8,367	1.88	10	0.09
China Construction Bank Corporation	30,862	6.95	1,467	13.01
China Life Insurance Company Ltd.	17,844	4.02	1,062	9.42
China Merchants Bank Co., Ltd.	13,469	3.03	1,249	11.08
China Mobile Ltd.	27,365	6.16	1,129	10.01
China Petroleum & Chemical Corp.	5,973	1.35	101	0.90
China Telecom Corporation Ltd.	2,386	0.54	42	0.37
China Unicom (Hong Kong) Limited	5,028	1.13	73	0.65
CITIC Pacific Ltd.	3,185	0.72	55	0.49
CLP Holdings Ltd.	2,595	0.58	76	0.67
CNOOC Ltd	13,038	2.94	821	7.28
Esprit Holdings Ltd.	8,868	2.00	325	2.88
Foxconn International Holdings Limited	3,988	0.90	157	1.39
Hang Seng Bank Ltd.	2,713	0.61	53	0.47
Henderson Land Development Co. Ltd.	4,278	0.96	16	0.14
Hong Kong & China Gas Co Ltd	577	0.13	1	0.01
Hong Kong Exchanges & Clearing Ltd	65,356	14.72	823	7.30
HSBC Holdings Plc	72,148	16.25	1,083	9.60
Huaneng Power International, Inc.	709	0.16	0	0.00
Hutchison Whampoa Ltd.	28,135	6.34	209	1.85
Industrial and Commercial Bank of China Limited	23,298	5.25	763	6.77
Li & Fung Limited	2,470	0.56	104	0.92
MTR Corporation Limited	866	0.20	29	0.26
New World Development Co., Ltd.	2,988	0.67	97	0.86
Petrochina Company Limited	8,874	2.00	42	0.37
PICC Property and Casualty Company Limited	3,246	0.73	54	0.48
Ping An Insurance (Group) Co. of China Ltd.	13,496	3.04	181	1.61
Power Assets Holdings Ltd.	5,174	1.17	32	0.28
Sun Hung Kai Properties Ltd.	7,538	1.70	86	0.76
Swire Pacific Ltd. – A	3,627	0.82	7	0.06
The Wharf (Holdings) Ltd.	4,245	0.96	12	0.11

## Stock options classes as at the end of 2011

Class	Code	Launch date	Lot size (shares)
iShares FTSE A50 China Index ETF	A50	2010/07/12	5000
Agricultural Bank of China Limited	ABC	2010/07/16	10000
Anhui Conch Cement Co. Limited	ACC	2011/11/21	500
AIA Group Ltd.	AIA	2010/10/29	1000
Aluminum Corporation of China Limited	ALC	2004/06/14	2000
Bank of China Limited	BCL	2006/07/03	1000
Bank of Communications Co., Limited	BCM	2005/11/07	1000
The Bank of East Asia, Limited	BEA	2001/01/08	200
Belle International Holdings Limited	BIH	2011/11/21	1000
BOC Hong Kong (Holdings) Limited	BOC	2003/03/17	500
BYD Company Limited	BYD	2009/11/16	500
China Construction Bank Corporation	CCB	2005/12/19	1000
China Communications Construction Company Limited	CCC	2007/03/19	1000
China Coal Energy Company Limited	CCE	2007/09/03	1000
China COSCO Holdings Company Limited	CCE	2009/11/16	500
China Mobile Limited	CHT	1998/05/15	500
China Unicom (Hong Kong) Limited	CHU	2001/08/27	2000
CITIC Pacific Limited	CIT	1995/10/23	1000
Cheung Kong (Holdings) Limited	CKH	1995/09/25	1000
China Life Insurance Company Limited	CLI	2004/06/14	1000
CLP Holdings Limited	CLP	1995/12/18	500
China Merchants Bank Co., Limited	CMB	2006/10/27	500
CNOOC Limited	CNC	2002/07/22	1000
China Overseas Land & Investment Limited	COL	2009/07/06	2000
Cathay Pacific Airways Limited	CPA	2001/08/27	1000
China Petroleum & Chemical Corporation	CPC	2002/07/22	2000
China Pacific Insurance (Group) Co., Limited	CPI	2010/12/13	1000
China Railway Construction Corporation Limited	CRC	2008/06/10	500
China Railway Group Limited	CRG	2008/06/10	1000
W.I.S.E. – CSI 300 China Tracker	CS3	2010/07/12	1000
China Shenhua Energy Company Limited	CSE	2008/06/10	500
China CITIC Bank Corporation Limited	CTB	2007/09/03	1000
China Telecom Corporation Limited	CTC	2004/06/14	2000
Esprit Holdings Limited	ESP	2005/07/11	100
Foxconn International Holdings Limited	FIH	2007/03/19	1000
Power Assets Holdings Limited	HEH	1998/02/16	500
Hong Kong Exchanges and Clearing Limited	HEX	2001/08/27	100
HSBC Holdings Plc.	HKB	1995/09/08	400
The Hong Kong and China Gas Company Limited	HKG	2001/08/27	1000
Henderson Land Development Company Limited	HLD	1995/12/18	1000
Huaneng Power International, Inc.	HNP	2002/07/22	2000
Hang Seng Bank Limited	HSB	1996/12/09	100
Hutchison Whampoa Limited	HWL	1995/12/18	1000
Industrial and Commercial Bank of China Limited	ICB	2006/10/27	1000
Jiangxi Copper Company Limited	JXC	2007/09/03	1000
Li & Fung Limited	LIF	2001/08/27	2000
MTR Corporation Limited	MTR	2001/08/27	500
China National Building Material Co. Limited	NBM	2011/11/21	2000
New World Development Company Limited	NWD	1996/08/05	1000
Ping An Insurance (Group) Company of China, Limited	PAI	2005/11/07	500
PetroChina Company Limited	PEC	2001/08/27	2000
PICC Property and Casualty Company Limited	PIC	2007/03/19	2000
Guangzhou R&F Properties Company Limited	RFP	2009/11/16	400
Sun Hung Kai Properties Limited	SHK	1995/12/18	1000
Swire Pacific Limited 'A'	SWA	1995/10/09	500
Tencent Holdings Limited	TCH	2009/07/06	100
Tracker Fund of Hong Kong	TRF	2000/03/27	500
The Wharf (Holdings) Limited	WHL	1996/08/05	1000
Yanzhou Coal Mining Company Limited	YZC	2010/12/13	2000
Zijin Mining Group Company Limited	ZJM	2009/07/06	2000

## Options contract value, volume and open interest, 2007-2011

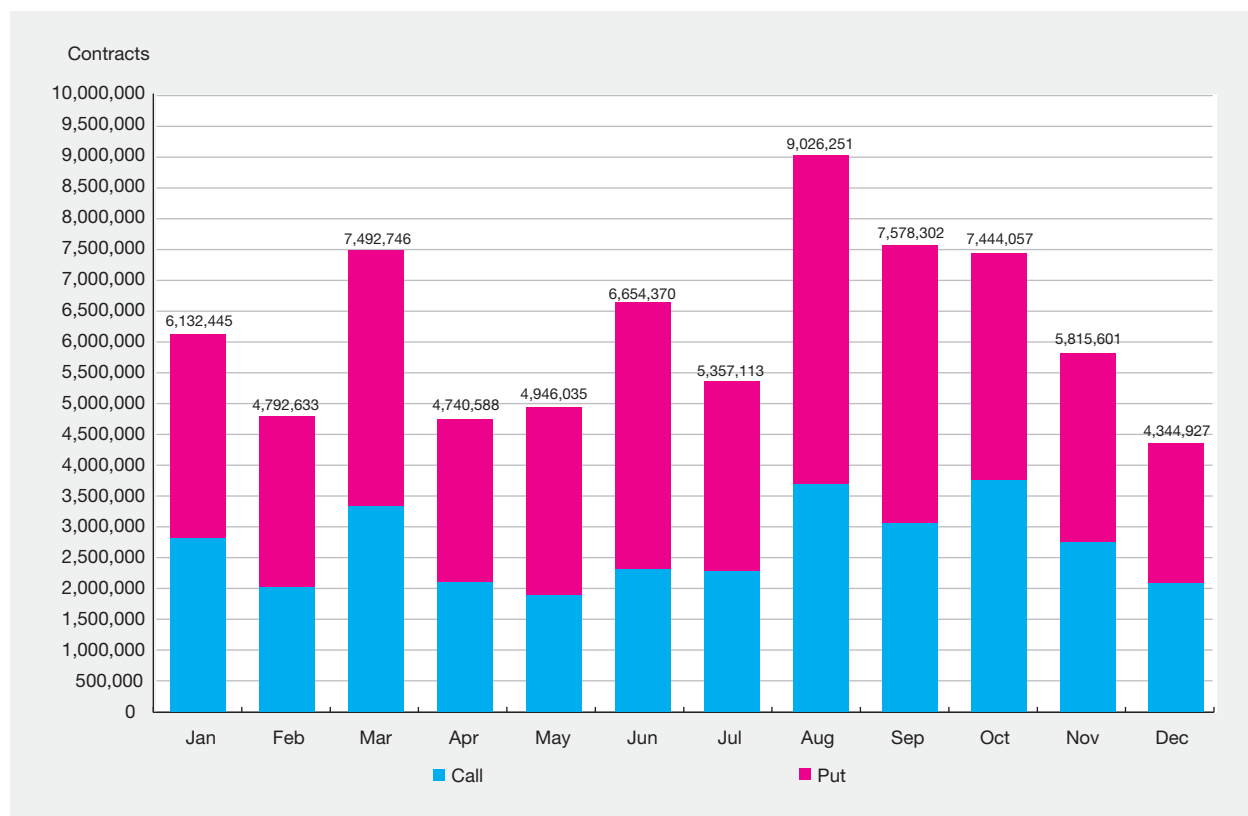
Year/Month	No. of trading days	Contract value (HK\$mil)				Contract volume (contracts)				Open interest (contracts)		
		Call	Put	Total	Average daily	Call	Put	Total	Average daily	Call	Put	Total
2007	245.0	58,995.30	47,169.87	106,165.17	433.33	22,122,340	23,860,628	45,982,968	187,686	2,297,059	3,017,859	5,314,918
2008	243.0	43,149.33	84,700.93	127,850.26	526.13	26,264,670	28,428,195	54,692,865	225,074	1,589,480	2,394,866	3,984,346
2009	247.5	30,290.02	44,349.34	74,639.36	301.57	21,506,958	25,932,938	47,439,896	191,676	1,370,733	2,477,384	3,848,117
2010	248.0	21,539.63	34,043.98	55,583.61	224.13	27,189,335	33,936,312	61,125,647	246,474	1,969,501	3,411,714	5,381,215
2011	245.5	21,190.60	51,291.49	72,482.09	295.24	32,200,985	42,124,083	74,325,068	302,750	2,420,694	2,908,800	5,329,494
2011 Jan	21.0	2,180.02	2,589.20	4,769.22	227.11	2,827,353	3,305,092	6,132,445	292,021	2,372,355	3,904,469	6,276,824
Feb	17.5	1,340.32	2,746.51	4,086.83	233.53	2,020,956	2,771,677	4,792,633	273,865	2,563,547	4,020,373	6,583,920
Mar	23.0	2,377.74	5,212.50	7,590.23	330.01	3,346,334	4,146,412	7,492,746	325,772	2,334,683	3,578,089	5,912,772
Apr	18.0	1,233.04	1,820.05	3,053.09	169.62	2,098,719	2,641,869	4,740,588	263,366	2,242,224	3,705,553	5,947,777
May	20.0	1,003.28	2,204.80	3,208.08	160.40	1,906,598	3,039,437	4,946,035	247,302	2,195,470	4,069,154	6,264,624
Jun	21.0	1,646.21	6,584.41	8,230.62	391.93	3,322,371	4,331,999	6,654,370	316,875	1,782,639	3,839,389	5,622,028
Jul	20.0	1,298.44	2,008.70	3,307.14	165.36	2,283,689	3,073,424	5,357,113	267,856	2,154,342	4,159,543	6,313,885
Aug	23.0	2,264.25	6,832.30	9,096.55	395.50	3,699,929	5,326,322	9,026,251	392,446	2,832,832	4,290,998	7,123,830
Sep	20.0	1,942.71	10,220.31	12,163.02	608.15	3,073,205	4,505,097	7,578,302	378,915	3,983,287	4,129,282	8,112,569
Oct	20.0	2,970.19	3,898.01	6,868.20	343.41	3,769,025	3,675,032	7,444,057	372,203	3,267,526	4,113,457	7,380,983
Nov	22.0	1,850.11	3,439.24	5,289.35	240.43	2,764,011	3,051,590	5,815,601	264,346	3,458,321	4,343,419	7,801,740
Dec	20.0	1,084.29	3,735.46	4,819.75	240.99	2,088,795	2,256,132	4,344,927	217,246	2,420,694	2,908,800	5,329,494

Note: Figures may not add up to total due to rounding.

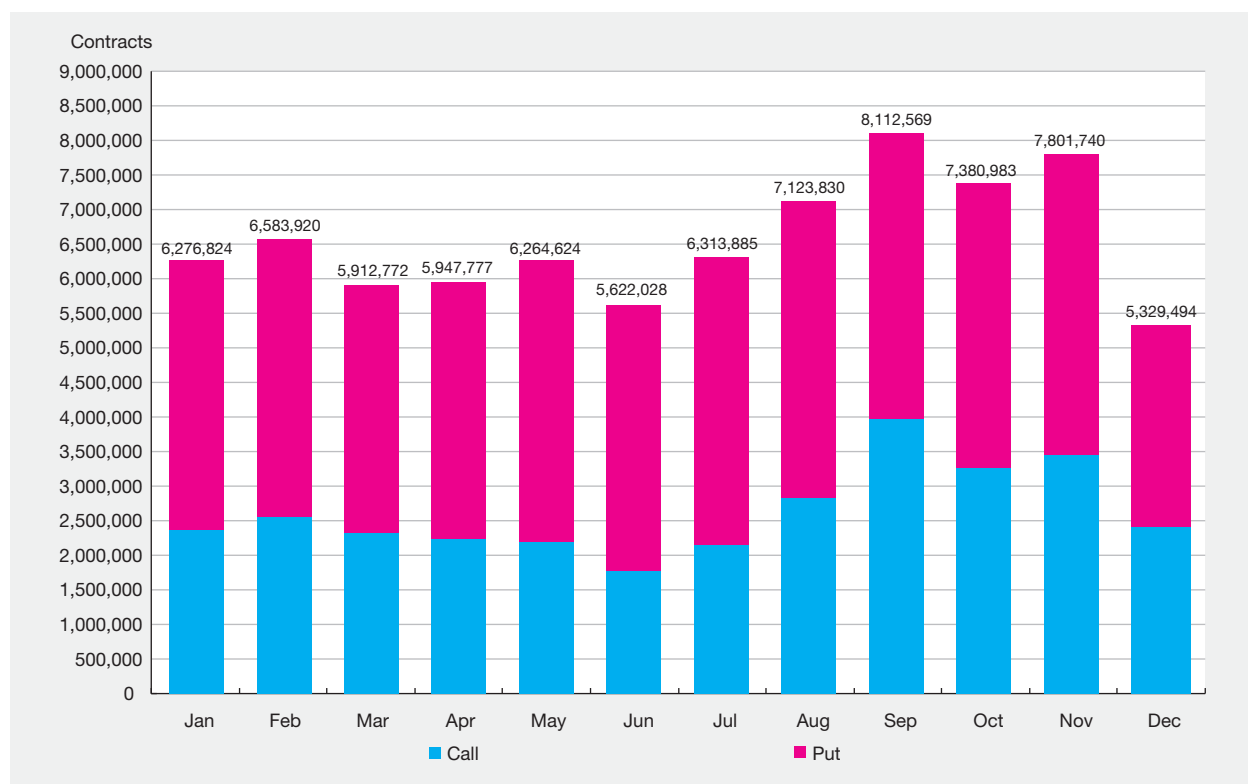
## Options contract value, volume and open interest by class, 2011

Class	Contract value (HK\$mil)				Contract volume (contracts)				Year-end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
ABC	322.61	606.50	929.11	1.28	202,993	287,476	490,469	0.66	27,690	38,046	65,736	1.23
AIA	503.79	723.42	1,227.21	1.69	663,725	833,323	1,497,048	2.01	32,915	47,568	80,483	1.51
ANHUI CONCH	3.78	4.46	8.24	0.01	7,134	8,176	15,310	0.02	1,855	1,627	3,482	0.07
CHALCO	57.16	140.77	197.94	0.27	103,346	164,465	267,811	0.36	12,691	12,864	25,555	0.48
BANK OF CHINA	336.62	1,485.76	1,822.38	2.51	2,653,488	3,583,765	6,237,253	8.39	312,950	338,519	651,469	12.22
BANKCOMM	56.00	201.88	257.88	0.36	221,477	408,737	630,214	0.85	26,983	35,696	62,679	1.18
BANK OF E ASIA	16.03	44.21	60.24	0.08	83,148	159,263	242,411	0.33	15,725	16,667	32,392	0.61
BELLE INT'L	2.02	1.48	3.50	0.00	3,800	3,091	6,891	0.01	1,009	1,080	2,089	0.04
BOC HONG KONG	156.97	847.38	1,004.35	1.39	407,414	674,514	1,081,928	1.46	55,818	78,156	133,974	2.51
BYD COMPANY	112.09	352.17	464.26	0.64	196,187	234,071	430,258	0.58	11,633	7,488	19,121	0.36
CATHAY PAC AIR	20.47	52.48	72.95	0.10	34,799	64,488	99,287	0.13	3,669	2,563	6,232	0.12
CHEUNG KONG	789.14	1,558.51	2,347.65	3.24	267,300	351,710	619,010	0.83	14,445	17,573	32,018	0.60
CCB	945.98	1,862.59	2,808.57	3.87	4,375,769	4,614,689	8,990,458	12.10	464,434	365,914	830,348	15.58
CM BANK	203.26	396.03	599.29	0.83	573,137	825,913	1,399,050	1.88	57,777	96,720	154,497	2.90
CITIC BANK	22.65	69.79	92.45	0.13	110,216	187,634	297,850	0.40	8,586	7,801	16,387	0.31
CHINA COAL	111.61	319.10	430.71	0.59	210,053	427,181	637,234	0.86	39,772	81,929	121,701	2.28
CHINA COMM CONS	46.82	95.03	141.85	0.20	187,117	250,009	437,126	0.59	7,612	13,343	20,955	0.39
CHINA COSCO	24.16	112.45	136.61	0.19	189,326	306,287	495,613	0.67	15,879	20,202	36,081	0.68
CHINA MOBILE	1,676.89	6,122.26	7,799.15	10.76	2,091,971	2,784,334	4,876,305	6.56	67,906	109,954	177,860	3.34
SINOPEC CORP	312.20	580.35	892.55	1.23	497,667	686,012	1,183,679	1.59	70,330	71,465	141,795	2.66
CHINA LIFE	1,105.10	6,729.68	7,834.79	10.81	1,556,435	2,134,252	3,690,687	4.97	93,412	124,785	218,197	4.09
CHINA RAIL CONS	13.34	55.05	68.39	0.09	84,707	146,483	231,190	0.31	8,343	9,545	17,888	0.34
CHINA RAILWAY	13.34	55.49	68.84	0.09	75,443	135,022	210,465	0.28	4,721	6,442	11,163	0.21
CHINA SHENHUA	257.28	453.72	711.00	0.98	389,950	530,238	920,188	1.24	58,148	76,125	134,273	2.52
CHINA TELECOM	308.77	107.53	416.30	0.57	351,502	253,247	604,749	0.81	25,190	32,432	57,622	1.08
CHINA OVERSEAS	195.79	268.73	464.52	0.64	140,434	176,575	317,009	0.43	12,317	25,654	37,971	0.71
CHINA UNICOM	303.11	344.52	647.63	0.89	232,843	305,027	537,870	0.72	20,402	24,548	44,950	0.84
CITIC PACIFIC	47.16	138.72	185.88	0.26	64,355	105,050	169,405	0.23	4,212	24,051	28,263	0.53
CLP HOLDINGS	100.88	156.29	257.17	0.35	173,243	294,815	468,058	0.63	7,065	12,202	19,267	0.36
CNOOC	1,052.34	1,768.17	2,820.50	3.89	911,467	1,471,103	2,382,570	3.21	83,083	140,404	223,487	4.19
CPIC	112.42	194.16	306.58	0.42	122,642	178,598	301,240	0.41	9,502	7,168	16,670	0.31
ESPRIT HOLDINGS	45.06	133.42	178.48	0.25	483,911	560,695	1,044,606	1.41	26,538	20,331	46,869	0.88
FIH	11.31	17.64	28.95	0.04	52,674	42,872	95,546	0.13	2,767	2,054	4,821	0.09
HANG SENG BANK	562.22	146.70	708.92	0.98	593,157	465,696	1,058,853	1.42	20,310	30,045	50,355	0.94
HENDERSON LAND	74.97	96.72	171.68	0.24	57,130	51,407	108,537	0.15	2,825	4,159	6,984	0.13
HK & CHINA GAS	16.22	24.62	40.85	0.06	32,844	51,249	84,093	0.11	1,500	2,961	4,461	0.08
HKEX	789.52	1,635.30	2,424.83	3.35	1,863,786	2,699,135	4,562,921	6.14	82,834	80,192	163,026	3.06
HSBC HOLDINGS	2,492.60	6,495.93	8,988.53	12.40	3,311,077	4,158,585	7,469,662	10.05	115,240	157,860	273,100	5.12
HUANENG POWER	14.92	35.70	50.61	0.07	50,837	78,126	128,963	0.17	3,626	2,798	6,424	0.12
HUTCHISON	2,495.79	3,413.66	5,909.44	8.15	993,507	1,085,734	2,079,241	2.80	28,349	32,710	61,059	1.15
ICBC	601.04	1,581.33	2,182.37	3.01	2,936,107	3,914,488	6,850,595	9.22	279,666	350,956	630,622	11.83
JIANGXI COPPER	276.44	511.72	788.16	1.09	274,837	356,698	631,535	0.85	17,451	21,299	38,750	0.73
LI & FUNG	139.71	293.07	432.78	0.60	85,877	140,246	226,123	0.30	3,732	5,333	9,065	0.17
MTR CORPORATION	22.38	78.96	101.34	0.14	70,835	159,373	230,208	0.31	6,450	10,035	16,485	0.31
CNBM	3.88	7.26	11.13	0.02	3,329	6,389	9,718	0.01	1,705	2,248	3,953	0.07
NEW WORLD DEV	21.60	64.46	86.06	0.12	54,678	76,069	130,747	0.18	8,553	10,331	18,884	0.35
PETROCHINA	526.05	859.18	1,385.22	1.91	692,357	939,389	1,631,746	2.20	51,026	86,099	137,125	2.57
PICC P&C	140.28	206.44	346.71	0.48	119,398	161,443	280,841	0.38	8,584	8,324	16,908	0.32
PING AN	667.25	2,983.29	3,650.54	5.04	630,604	885,486	1,516,090	2.04	27,860	45,664	73,524	1.38
POWER ASSETS	85.49	113.51	199.00	0.27	118,863	203,311	322,174	0.43	7,218	11,716	18,934	0.36
R&F PROPERTIES	13.21	43.51	56.72	0.08	59,472	157,887	217,359	0.29	6,045	18,598	24,643	0.46
SHK PPT	770.95	3,331.29	4,102.24	5.66	277,420	397,096	674,516	0.91	11,860	16,782	28,642	0.54
SWIRE PACIFIC A	97.98	146.99	244.97	0.34	79,768	100,800	180,568	0.24	3,935	4,010	7,945	0.15
TENCENT	1,192.69	1,622.63	2,815.33	3.88	1,602,570	1,937,814	3,540,384	4.76	68,969	63,780	132,749	2.49
TRACKER FUND	15.72	54.60	70.31	0.10	48,259	183,928	232,187	0.31	3,880	1		

## Monthly stock options contract volume, 2011



## Month-end stock options open interest, 2011





## Gold futures – trading statistics, 2008 – 2011

Year/Month	No. of trading days	Contracts			
		Total volume	Average daily volume	Period end open interest	
2008 *	50.0	3,075	62	132	
2009	247.5	6,773	27	119	
2010	248.0	5,642	23	85	
2011	245.5	3,716	15	0	
2011	Jan	21.0	725	35	207
	Feb	17.5	358	20	170
	Mar	23.0	493	21	178
	Apr	18.0	128	7	83
	May	20.0	463	23	53
	Jun	21.0	118	6	41
	Jul	20.0	223	11	42
	Aug	23.0	722	31	89
	Sep	20.0	366	18	132
	Oct	20.0	47	2	61
	Nov	22.0	58	3	21
	Dec	20.0	15	1	0
2011	Highest (date)		<b>183</b> (09/08)		<b>337</b> (21/01)

\* Trading in Gold futures commenced on 20 Oct 2008

## Record high of futures and options products (up to 31 December 2011)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	235,385	2011/08/09	198,789	2007/06/27
Mini Hang Seng Index Futures	86,812	2011/08/09	21,682	2011/06/17
H-shares Index Futures	220,600	2011/09/27	177,892	2011/09/28
Mini H-shares Index Futures	19,556	2011/08/09	6,030	2011/06/17
HSI Dividend Point Index Futures	1,600	2011/02/02	6,008	2011/12/29
HSCEI Dividend Point Index Futures	15,000	2011/09/14	36,441	2011/12/29
Hang Seng Index Options	116,835	2011/08/05	483,835	2011/09/28
Mini Hang Seng Index Options	10,618	2011/08/05	22,086	2011/08/29
Flexible Hang Seng Index Options	3,210	2010/12/02	8,310	2011/12/29
H-shares Index Options	41,229	2009/12/11	380,344	2011/12/28
Flexible H-shares Index Options	5,770	2011/09/26	12,074	2011/11/03
Stock Futures	27,966	2007/03/28	26,482	2011/08/25
Stock Options	805,947	2008/03/27	9,218,955	2011/11/28
3-Month HIBOR Futures <sup>1</sup>	10,514	2002/04/04	80,964	2001/11/15
1-Month HIBOR Futures <sup>2</sup>	800	2001/01/09	3,250	2001/04/12
3-Year Exchange Fund Note Futures	250	2003/12/12	981	2002/02/18
Gold Futures	480	2008/10/20	403	2010/11/26

<sup>1</sup> The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

<sup>2</sup> The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

## Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month	No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK\$ mil)	% of market turnover
<b>HKD</b>						
2007	616,195	100.00	94,160	100.00	88,071	100.00
2008	577,110	100.00	110,630	100.00	72,052	100.00
2009	713,412	100.00	99,574	100.00	62,310	100.00
2010	778,854	100.00	140,527	100.00	69,115	100.00
2011	872,796	100.00	162,217	100.00	69,688	100.00
2011 Jan	801,317	100.00	163,658	100.00	75,009	100.00
Feb	809,981	100.00	165,090	100.00	72,787	100.00
Mar	939,562	100.00	165,885	100.00	79,173	100.00
Apr	953,760	100.00	160,243	100.00	79,469	100.00
May	821,603	100.00	141,084	100.00	64,834	100.00
Jun	842,820	100.00	168,797	100.00	69,661	100.00
Jul	794,642	100.00	164,175	100.00	66,861	100.00
Aug	981,685	100.00	163,268	100.00	78,729	100.00
Sep	937,988	100.00	169,278	100.00	71,097	100.00
Oct	1,039,005	100.00	171,715	100.00	71,432	100.00
Nov	851,759	100.00	174,452	100.00	59,982	100.00
Dec	682,069	100.00	136,719	100.00	46,433	100.00
			(Thousand)	(US\$ Thousand)		
<b>USD</b>						
2007	4	78.80	3	69.82	365	90.54
2008	11	95.05	4	88.07	438	97.97
2009	7	91.24	3	82.52	287	96.53
2010	23	91.57	7	78.73	824	97.33
2011	25	88.32	5	70.04	677	93.64
2011 Jan	42	96.19	8	80.46	969	97.29
Feb	43	93.79	5	67.58	602	95.86
Mar	15	58.30	12	36.50	1,388	78.04
Apr	22	70.95	4	31.57	545	78.05
May	12	82.34	4	63.26	459	90.95
Jun	12	83.70	2	59.24	232	90.66
Jul	49	94.42	5	74.16	586	98.12
Aug	34	94.55	4	77.36	458	98.81
Sep	23	94.08	14	74.14	1,702	97.55
Oct	14	98.90	2	93.06	210	99.68
Nov	15	96.01	4	89.92	483	98.63
Dec	15	98.01	3	91.12	419	99.69
			(Thousand)	(RMB Thousand)		
<b>RMB</b>						
2011	833	100.00	11,203	100.00	48,122	100.00
2011 Apr	13,024	100.00	344,190	100.00	1,663,643	100.00
May	1,536	100.00	30,527	100.00	145,572	100.00
Jun	473	100.00	3,788	100.00	18,213	100.00
Jul	550	100.00	3,457	100.00	16,152	100.00
Aug	1,042	100.00	9,716	100.00	41,943	100.00
Sep	925	100.00	7,033	100.00	24,479	100.00
Oct	1,000	100.00	11,042	100.00	33,446	100.00
Nov	377	100.00	5,069	100.00	17,456	100.00
Dec	186	100.00	3,550	100.00	12,425	100.00

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month	Number of SIs	SIs by shares (mil)	SIs by value (HK\$ mil)
<b>HKD</b>			
2007	66,818	48,505	205,408
2008	63,433	49,985	193,069
2009	69,658	40,561	164,032
2010	80,149	47,203	187,865
2011	83,760	47,722	210,714
2011 Jan	83,822	44,896	185,230
2011 Feb	88,307	52,651	207,128
2011 Mar	87,058	45,040	215,077
2011 Apr	93,956	51,216	247,311
2011 May	83,673	47,647	216,915
2011 Jun	85,604	48,394	236,252
2011 Jul	82,056	48,080	204,319
2011 Aug	87,543	50,808	233,362
2011 Sep	83,932	52,272	225,155
2011 Oct	84,249	50,382	206,974
2011 Nov	74,283	43,615	181,232
2011 Dec	72,126	39,204	171,631
		(Thousand)	(US\$ Thousand)
<b>USD</b>			
2007	6	24	2,627
2008	17	23	2,505
2009	8	56	5,363
2010	14	36	4,266
2011	14	35	4,381
2011 Jan	15	20	2,475
2011 Feb	18	30	3,596
2011 Mar	12	45	5,383
2011 Apr	12	9	1,129
2011 May	12	14	1,806
2011 Jun	10	8	1,019
2011 Jul	13	50	6,344
2011 Aug	19	39	4,870
2011 Sep	22	81	10,247
2011 Oct	11	36	4,425
2011 Nov	9	36	4,507
2011 Dec	12	51	6,357
		(Thousand)	(RMB Thousand)
<b>RMB</b>			
2011	59	80,777	368,420
2011 Apr	430	6,802,530	32,565,737
2011 May	111	158,213	758,154
2011 Jun	50	24,953	119,864
2011 Jul	50	12,136	56,710
2011 Aug	61	38,312	166,531
2011 Sep	53	32,195	114,769
2011 Oct	55	26,346	78,984
2011 Nov	40	15,606	53,546
2011 Dec	32	18,043	63,139

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month	Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK\$ mil)
<b>HKD</b>			
2007	945	253	352
2008	566	182	220
2009	560	112	292
2010	574	117	271
2011	468	124	258
2011 Jan	537	66	208
Feb	500	413	163
Mar	509	108	293
Apr	614	171	487
May	473	73	146
Jun	504	88	353
Jul	425	76	280
Aug	531	129	439
Sep	505	166	421
Oct	467	112	100
Nov	314	78	113
Dec	249	62	77
		(Thousand)	(US\$ Thousand)
<b>USD</b>			
2007	0	1	92
2008	0	1	80
2009	0	0	0
2010	0	2	213
2011	0	3	383
2011 Jan	1	6	742
Feb	0	1	92
Mar	0	0	5
Apr	0	6	690
May	0	0	0
Jun	0	0	0
Jul	0	25	3,206
Aug	0	0	0
Sep	0	0	0
Oct	0	0	0
Nov	0	0	0
Dec	0	0	1
		(Thousand)	(RMB Thousand)
<b>RMB</b>			
2011	1	85	345
2011 Apr	3	17	87
May	2	222	1,070
Jun	1	21	100
Jul	1	48	225
Aug	2	70	300
Sep	1	42	147
Oct	1	220	724
Nov	1	52	179
Dec	0	22	78

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Average daily settlement efficiency of CNS stock positions

Year/month	Settlement Efficiency (%)	
	S day	S + 1 day
<b>HKD</b>		
2007	99.70	99.97
2008	99.81	99.98
2009	99.85	99.99
2010	99.88	99.99
2011	99.88	99.99
2011 Jan	99.89	99.99
2011 Feb	99.92	99.99
2011 Mar	99.88	99.99
2011 Apr	99.87	99.99
2011 May	99.85	99.99
2011 Jun	99.90	99.99
2011 Jul	99.89	99.99
2011 Aug	99.86	99.99
2011 Sep	99.86	99.99
2011 Oct	99.88	99.99
2011 Nov	99.88	99.99
2011 Dec	99.91	99.99
<b>USD</b>		
2007	98.19	99.19
2008	98.70	99.90
2009	96.31	97.83
2010	98.86	99.75
2011	96.94	99.11
2011 Jan	93.31	97.35
2011 Feb	88.72	99.21
2011 Mar	94.69	99.60
2011 Apr	99.31	100.00
2011 May	100.00	100.00
2011 Jun	100.00	100.00
2011 Jul	100.00	100.00
2011 Aug	100.00	100.00
2011 Sep	99.17	100.00
2011 Oct	100.00	100.00
2011 Nov	98.18	100.00
2011 Dec	94.11	98.04
<b>RMB</b>		
2011	99.89	100.00
2011 Apr	98.19	100.00
2011 May	99.72	100.00
2011 Jun	100.00	100.00
2011 Jul	100.00	100.00
2011 Aug	100.00	100.00
2011 Sep	99.43	100.00
2011 Oct	100.00	100.00
2011 Nov	100.00	100.00
2011 Dec	100.00	100.00

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
<b>HKD</b>				
2007	73,817	93.50	31,700	80.48
2008	53,474	95.10	23,037	89.00
2009	62,233	95.43	24,683	87.41
2010	71,384	95.17	27,266	89.98
2011	66,348	95.94	22,628	92.77
2011 Jan	75,779	95.06	26,954	91.69
Feb	70,512	95.38	26,515	91.52
Mar	76,471	95.71	27,646	91.49
Apr	79,815	95.60	30,063	90.39
May	67,952	95.63	24,589	91.17
Jun	65,189	95.94	22,062	93.35
Jul	65,781	95.65	21,073	93.50
Aug	66,598	96.37	21,036	92.94
Sep	58,320	96.65	18,478	94.45
Oct	61,807	96.81	19,369	94.29
Nov	58,185	96.35	18,647	94.56
Dec	50,375	95.97	15,935	93.50
		(%)	(Thousand)	(%)
<b>USD</b>				
2007	3	40.66	4	4.77
2008	4	67.06	6	6.54
2009	3	50.58	5	4.80
2010	7	67.38	12	7.96
2011	7	65.98	9	7.91
2011 Jan	8	77.18	13	12.64
Feb	8	78.44	8	13.53
Mar	6	64.25	20	8.68
Apr	6	70.55	9	6.20
May	7	59.44	7	5.55
Jun	6	56.70	3	4.91
Jul	7	73.27	7	9.75
Aug	7	66.88	6	9.05
Sep	9	69.58	19	9.83
Oct	6	52.92	3	5.05
Nov	6	57.78	7	5.37
Dec	6	67.31	6	4.67
		(%)	(Thousand)	(%)
<b>RMB</b>				
2011	41	96.02	14,164	21.17
2011 Apr	166	99.32	366,740	39.41
May	59	97.45	36,809	23.72
Jun	37	95.33	5,392	23.01
Jul	35	96.49	6,154	8.53
Aug	44	97.24	12,774	18.69
Sep	43	97.26	9,709	26.42
Oct	48	97.23	16,169	22.50
Nov	33	93.68	5,031	30.38
Dec	22	93.40	5,204	14.53

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Average daily netting efficiency of CNS Trades – in money obligations

Year/month	Money obligations arising from novated contracts (HK\$mil)	Money obligations after netting (HK\$mil)	Funds netting efficiency (%)
<b>HKD</b>			
2007	167,197	18,568	88.89
2008	138,559	17,171	87.61
2009	117,788	14,513	87.68
2010	130,333	14,796	88.65
2011	130,748	14,335	89.04
2011 Jan	139,665	14,178	89.85
2011 Feb	144,827	17,504	87.91
2011 Mar	149,503	17,116	88.55
2011 Apr	148,926	16,575	88.87
2011 May	121,326	14,908	87.71
2011 Jun	130,405	14,495	88.88
2011 Jul	121,714	12,236	89.95
2011 Aug	146,260	16,511	88.71
2011 Sep	133,374	13,622	89.79
2011 Oct	135,217	13,845	89.76
2011 Nov	111,338	11,492	89.68
2011 Dec	86,725	9,778	88.73
	(US\$ Thousand)	(US\$ Thousand)	(%)
<b>USD</b>			
2007	523	462	11.65
2008	801	688	14.09
2009	558	532	4.61
2010	1,623	1,490	8.14
2011	1,330	1,117	16.04
2011 Jan	1,907	1,565	17.90
2011 Feb	1,219	947	22.27
2011 Mar	2,735	2,345	14.25
2011 Apr	1,065	1,046	1.74
2011 May	904	838	7.21
2011 Jun	444	400	9.89
2011 Jul	1,109	933	15.85
2011 Aug	902	806	10.68
2011 Sep	3,380	2,336	30.90
2011 Oct	414	387	6.49
2011 Nov	957	920	3.96
2011 Dec	792	743	6.13
	(US\$ Thousand)	(RMB Thousand)	(%)
<b>RMB</b>			
2011	82,987	60,306	27.33
2011 Apr	2,922,684	1,772,506	39.35
2011 May	247,552	175,353	29.17
2011 Jun	33,381	25,925	22.34
2011 Jul	31,154	28,745	7.73
2011 Aug	66,730	55,152	17.35
2011 Sep	45,153	33,783	25.18
2011 Oct	62,017	49,083	20.86
2011 Nov	25,047	17,366	30.67
2011 Dec	21,590	18,221	15.61

Note: RMB-denominated securities commenced trading on 29 April 2011.



## Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month	No. of transactions	No. of shares (mil)	Value of transactions (HK\$mil)
<b>HKD</b>			
2007	4,397	3,388	7,156
2008	1,592	1,885	3,398
2009	1,548	2,292	4,629
2010	1,635	3,386	6,216
2011	1,066	2,256	4,607
2011 Jan	1,370	2,647	4,577
Feb	1,091	1,845	2,354
Mar	1,255	2,062	3,382
Apr	1,564	2,844	5,397
May	1,204	2,803	4,723
Jun	1,148	1,809	5,650
Jul	1,068	2,193	4,507
Aug	1,087	3,422	10,205
Sep	912	2,624	3,265
Oct	840	1,583	2,528
Nov	664	1,374	2,933
Dec	629	1,833	5,073
		(Thousand)	(US\$ Thousand)
<b>USD</b>			
2007	-	-	-
2008	-	-	-
2009	-	-	-
2010	-	-	-
2011	-	-	-
2011 Jan	0	0	0
Feb	0	0	0
Mar	0	0	0
Apr	0	0	0
May	0	0	0
Jun	0	0	0
Jul	0	0	0
Aug	0	0	0
Sep	0	0	0
Oct	0	0	0
Nov	0	0	0
Dec	0	0	0

Note: No transaction in US dollars handled for the years from 2007 to 2011

		(Thousand)	(RMB Thousand)
<b>RMB</b>			
2011	6	11,428	54,259
2011 Apr	11	1,900,033	9,025,157
May	21	167	780
Jun	6	35	169
Jul	4	29	137
Aug	5	59	250
Sep	4	41	140
Oct	4	45	140
Nov	2	24	84
Dec	2	13	44

Note: RMB-denominated securities commenced trading on 29 April 2011.

## Securities under CCASS custody (balances at the end of each month)

Year/month	No. of shares (mil)	% of issued shares of admitted securities	Market value (HK\$mil)	% of market capitalisation of admitted securities
<b>All currencies in HKD equivalent</b>				
2007	3,570,426	74.95	10,438,858	47.02
2008	3,408,317	72.57	5,040,542	44.62
2009	3,286,618	70.93	9,245,111	49.19
2010	3,834,082	71.80	11,294,451	49.57
2011	3,694,236	70.17	9,599,910	50.23
2011 Jan	3,877,261	72.10	11,422,810	50.30
Feb	3,881,464	72.05	11,116,806	49.90
Mar	3,933,193	72.49	11,539,570	50.44
Apr	3,934,403	72.42	11,799,409	51.15
May	3,891,041	71.70	12,054,196	50.08
Jun	3,707,093	69.37	11,612,870	50.11
Jul	3,693,276	70.34	11,643,802	50.10
Aug	3,771,127	71.37	10,761,707	50.78
Sep	3,771,065	71.19	8,909,870	49.96
Oct	3,722,758	70.75	10,199,210	50.44
Nov	3,732,145	70.82	9,393,006	50.58
Dec	3,694,236	70.17	9,599,910	50.23

Note: No. of shares and market value of securities in non-HKD currency have been included.

	(Thousand)		(US\$ Thousand)	
<b>USD</b>				
2007	14,363	100.00	1,628,754	100.00
2008	14,977	100.00	1,677,477	100.00
2009	16,375	100.00	1,879,050	100.00
2010	19,692	100.00	2,412,786	100.00
2011	21,051	100.00	2,630,840	100.00
2011 Jan	19,852	100.00	2,365,973	100.00
Feb	20,042	100.00	2,397,615	100.00
Mar	19,762	100.00	2,413,472	100.00
Apr	19,602	100.00	2,437,982	100.00
May	19,662	100.00	2,455,256	100.00
Jun	19,662	100.00	2,450,348	100.00
Jul	20,362	100.00	2,551,766	100.00
Aug	20,731	100.00	2,637,316	100.00
Sep	20,931	100.00	2,585,410	100.00
Oct	21,071	100.00	2,647,933	100.00
Nov	20,831	100.00	2,575,146	100.00
Dec	21,051	100.00	2,630,840	100.00

	(Thousand)		(RMB Thousand)	
<b>RMB</b>				
2011	2,281,308	45.53	8,121,456	45.53
2011 Apr	2,283,598	45.67	10,847,091	45.67
May	2,284,258	45.68	11,170,022	45.68
Jun	2,284,056	45.68	11,031,990	45.68
Jul	2,283,830	45.67	10,505,618	45.67
Aug	2,282,806	45.65	9,017,084	45.65
Sep	2,282,170	45.64	7,051,905	45.64
Oct	2,281,896	45.60	7,872,541	45.60
Nov	2,281,406	45.59	7,962,107	45.59
Dec	2,281,308	45.53	8,121,456	45.53

## Distribution of market participants' market shares, 2007 – 2011

Year/Month	Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)		Total turnover (HK\$bil)	Average daily turnover (HK\$mil)
	Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)		
2007	5.59 – 2.45	50.37	2.23 – 0.23	37.75	0.23 – 0.00	11.85	21,859.39	88,859.31
2008	6.06 – 2.35	53.02	2.28 – 0.23	36.30	0.23 – 0.00	10.68	17,868.32	72,931.92
2009	5.46 – 2.24	52.02	2.23 – 0.26	35.34	0.25 – 0.00	12.64	15,620.36	62,732.37
2010	5.99 – 2.36	51.08	2.28 – 0.25	36.15	0.24 – 0.00	12.77	17,319.79	69,557.39
2011	7.52 – 2.47	53.63	2.27 – 0.22	35.00	0.22 – 0.00	11.37	17,303.74	70,340.41
2011 Jan	6.19 – 2.12	50.71	2.11 – 0.25	37.15	0.24 – 0.00	12.14	1,584.01	75,429.20
2011 Feb	7.56 – 2.40	51.81	2.38 – 0.24	37.01	0.24 – 0.00	11.18	1,318.49	73,249.21
2011 Mar	6.97 – 2.24	51.72	2.14 – 0.25	36.21	0.24 – 0.00	12.07	1,839.09	79,960.58
2011 Apr	7.20 – 2.07	52.02	2.00 – 0.23	35.75	0.23 – 0.00	12.23	1,441.87	80,103.86
2011 May	7.29 – 2.26	52.19	2.16 – 0.22	35.79	0.22 – 0.00	12.02	1,309.53	65,476.75
2011 Jun	7.41 – 2.66	53.66	2.48 – 0.22	35.51	0.22 – 0.00	10.83	1,479.36	70,445.85
2011 Jul	6.85 – 2.59	54.80	2.27 – 0.23	34.41	0.22 – 0.00	10.79	1,344.50	67,224.81
2011 Aug	7.62 – 2.43	55.25	2.38 – 0.21	34.36	0.20 – 0.00	10.39	1,825.18	79,355.45
2011 Sep	8.81 – 2.68	56.82	2.46 – 0.19	33.63	0.18 – 0.00	9.55	1,447.05	72,352.66
2011 Oct	8.32 – 2.71	57.74	2.33 – 0.21	32.11	0.20 – 0.00	10.15	1,438.81	71,940.49
2011 Nov	8.40 – 2.32	59.65	2.09 – 0.20	30.58	0.19 – 0.00	9.77	1,328.40	60,381.74
2011 Dec	8.14 – 2.63	58.78	2.40 – 0.20	31.50	0.19 – 0.00	9.72	947.45	47,372.48

Note: The report includes all Exchange Participant firms that had paid Transaction Levy, Investor Compensation Levy (if applicable) and Trading Fee to the Exchange.

## Statistics on Exchange participants

### Stock Exchange

Year / month	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2007	518 (921)	439 (835)	39 (46)	40 (40)
2008	522 (931)	449 (854)	38 (42)	35 (35)
2009	525 (940)	463 (873)	32 (37)	30 (30)
2010	538 (960)	485 (904)	31 (34)	22 (22)
2011	555 (980)	498 (916)	36 (43)	21 (21)
2011 Q1	544 (965)	489 (907)	33 (36)	22 (22)
2011 Q2	547 (970)	490 (906)	35 (42)	22 (22)
2011 Q3	549 (973)	494 (911)	34 (41)	21 (21)
2011 Q4	555 (980)	498 (916)	36 (43)	21 (21)

### Futures Exchange

Year / month	No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2007	194 (224)	140 (168)	–	54 (56)
2008	200 (226)	148 (172)	–	52 (54)
2009	211 (238)	167 (192)	–	44 (46)
2010	221 (246)	179 (202)	–	42 (44)
2011	228 (252)	182 (204)	–	46 (48)
2011 Q1	222 (246)	180 (202)	–	42 (44)
2011 Q2	228 (252)	184 (206)	–	44 (46)
2011 Q3	228 (252)	185 (207)	–	43 (45)
2011 Q4	228 (252)	182 (204)	–	46 (48)

Notes:

- (1) The figures in brackets are the number of trading rights held
- (2) An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

## HKCC Participants

Year/quarter	Clearing Participants	General Clearing Participants
2007	128	7
2008	133	7
2009	144	10
2010	153	9
2011	156	10
2011 Q1	156	9
Q2	159	9
Q3	160	9
Q4	156	10

## SEOCH Participants

Year/quarter	Direct Clearing Participants	General Clearing Participants
2007	48	4
2008	49	5
2009	53	6
2010	56	6
2011	59	7
2011 Q1	57	7
Q2	57	7
Q3	58	7
Q4	59	7

## HKSCC Participants

Year/month	Direct Clearing Participant (Note 1)	General Clearing Participant (Note 1)	Broker Participants (Note 1)	Non-broker Participants (Note 2)
2007*			445	46
2007**	442	2		46
2008	452	5		41
2009	452	7		41
2010	468	7		44
2011	482	8		47
2011 Q1	473	8		46
Q2	474	8		46
Q3	478	8		46
Q4	482	8		47

Note 1: Broker Participants were replaced by Direct Clearing Participants and General Clearing Participants when Third Party Clearing was introduced on 3 December 2007.

Note 2: Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

\* figures up to 2 December 2007

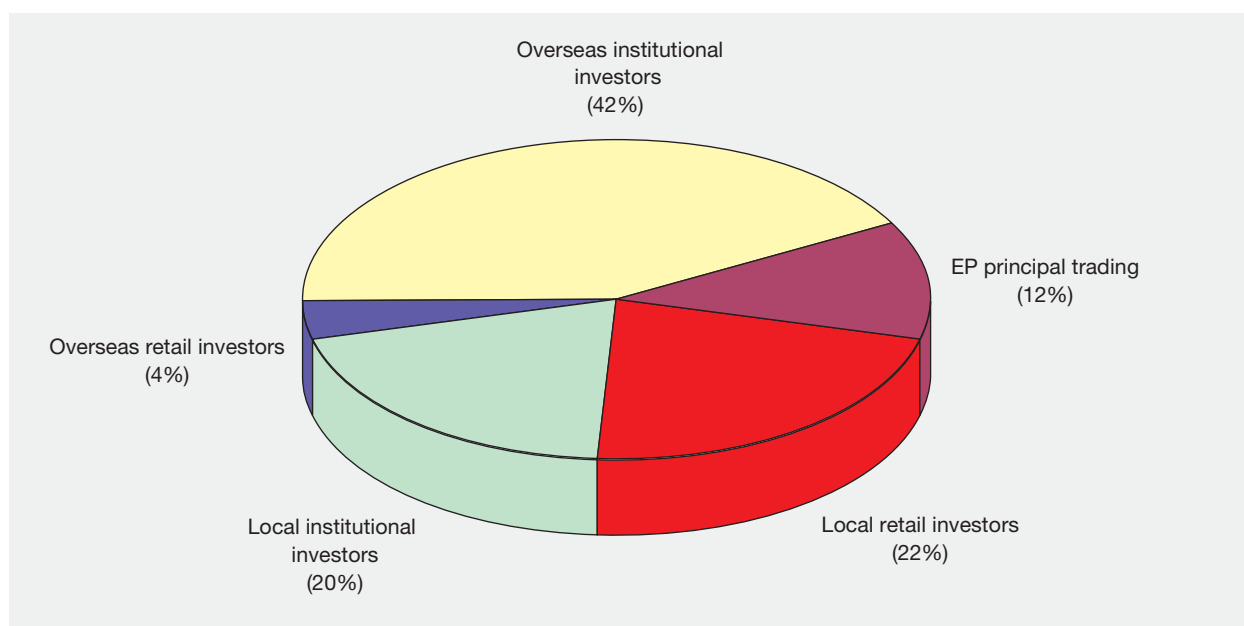
\*\* figures for the periods from 3 December 2007 to 31 December 2007

## Cash market – distribution of market trading by investor type

The Cash Market Transaction Survey 2010/11 covered trading in the HKEx securities market during the 12-month period from October 2010 to September 2011. The survey found that overseas investors remained the major contributors to total market turnover value in 2010/11, with a contribution of 46% in aggregate. Among them, overseas institutional investors contributed 42% to the total market turnover value. Local investors' contribution dropped further to 42% of the total market turnover value, with local retail investors and local institutional investors contributing 22% and 20% respectively. The contribution from Exchange Participants' principal trading was 12%.

In 2010/11, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 26% of total retail turnover value or 7% of total market turnover value<sup>1</sup>.

**Distribution of cash market trading value by investor type (Oct 2010 – Sep 2011)**



Note: Numbers may not add up to 100% due to rounding.

**Distribution of cash market trading value by investor type (2008/09 – 2010/11)**

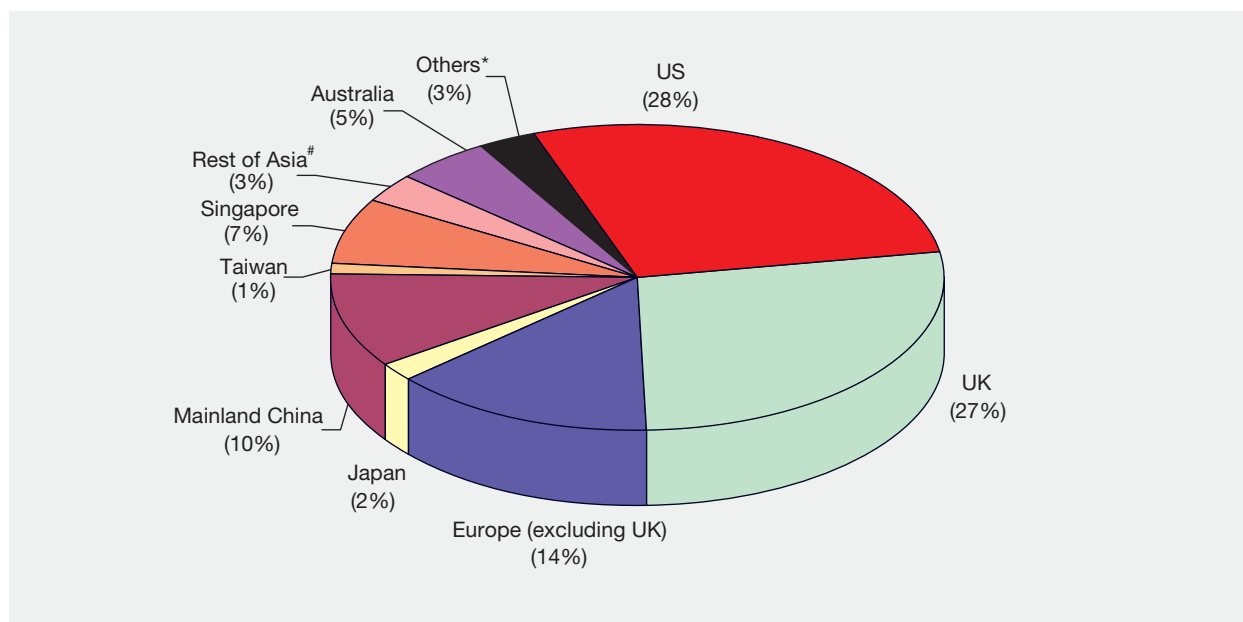
	2008/09	2009/10	2010/11
<b>Exchange Participants' principal trading</b>	8%	10%	12%
<b>Local investor trading</b>	50%	44%	42%
Retail	25%	21%	22%
Institutional	24%	23%	20%
<b>Overseas investor trading</b>	42%	46%	46%
Retail	4%	4%	4%
Institutional	38%	42%	42%
<b>Total</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

Note: Numbers may not add up to sub-totals or to totals due to rounding.

<sup>1</sup> Since survey respondents, i.e. the Exchange Participants (EPs), might not be able to identify retail online trading channelled via banks (which might be regarded as their institutional clients), the level of retail online trading might be under-estimated.

In 2010/11, US investors were the largest contributors among overseas investors, with a contribution of 28% of total overseas investor trading. They were followed by UK investors who contributed 27% to total overseas investor trading. Investors from Europe (excluding UK) were the third largest contributors, at 14%. The aggregate contribution of Asian investors was 22%. Among them, Mainland China investors contributed the most – 10% of total overseas investors trading – and Singaporean investors came second with a 7% share.

**Distribution of overseas investor trading value in cash market by origin (Oct 2010 – Sep 2011)**



# Reported origins in "Rest of Asia" were Bangladesh, Brunei, Cambodia, India, Indonesia, Kazakhstan, Laos, Macau, Malaysia, Maldives, Mongolia, Myanmar, Pakistan, Philippines, South Korea, Thailand and Vietnam.

\* Reported origins in "Others" included Algeria, Anguilla, Bahamas, Bahrain, Belize, Bermuda, Brazil, British Virgin Islands, Canada, Cayman Islands, Chile, Colombia, Cyprus, Commonwealth of Dominica, Dutch Antilles, Fiji, Ghana, Guatemala, Island of Nevis, Israel, Kuwait, Liberia, Mauritius, Mexico, Monaco, Nauru, New Zealand, Panama, Russia, Samoa, Saudi Arabia, Seychelles, South Africa, Turkey, United Arab Emirates and Venezuela.

Note: Numbers may not add up to 100% due to rounding.

**Distribution of overseas investor trading value in cash market by origin (2008/09 – 2010/11)**

	2008/09	2009/10	2010/11
<b>US</b>	36%	24%	28%
<b>UK &amp; Europe</b>	34%	45%	41%
UK	23%	29%	27%
Europe (excluding UK)	10%	16%	14%
<b>Asia</b>	26%	27%	22%
Japan	2%	3%	2%
Mainland China	12%	11%	10%
Taiwan	1%	1%	1%
Singapore	8%	9%	7%
Rest of Asia	3%	3%	3%
<b>Australia</b>	2%	2%	5%
<b>Others</b>	2%	3%	3%
<b>Total</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

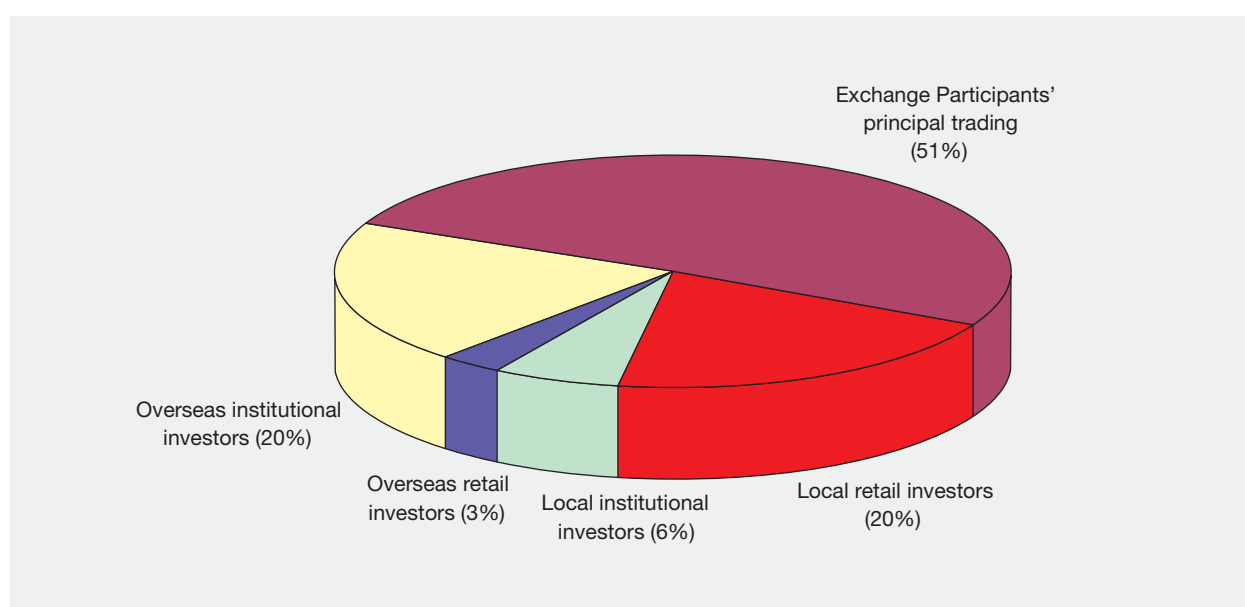
Note: Numbers may not add up to sub-totals or to totals due to rounding.

## Derivatives market – distribution of market trading by investor type

The Derivatives Market Transaction Survey 2010/11 covered trading in the HKEx derivatives (futures and options) market during the 12-month period from July 2010 to June 2011. The survey findings revealed that Exchange Participants' principal trading contributed 51% of the total contract volume; local investors contributed 26% and overseas investors (mainly institutions) contributed 23%. For stock options, which accounted for 55% of the total market volume during the period, the majority of turnover was Exchange Participants' principal trading (69% of the product's total volume). Exchange Participants' principal trading was also the major contributor to trading in H-shares Index (HHI) Options and Hang Seng Index (HSI) Options (45% and 52% respectively). For HSI Futures, overseas institutional investors were the major contributors (43%), followed by local retail investors (25%). For HHI Futures, overseas institutional investors were the dominant contributors (57%). For Mini-HSI futures and Mini-HHI futures, local retail investors were the dominant participant type (49% and 53% respectively).

In 2010/11, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 54% of total retail investor trading or 12% of total market turnover volume.

### Distribution of derivatives market trading volume by investor type (Jul 2010 – Jun 2011)



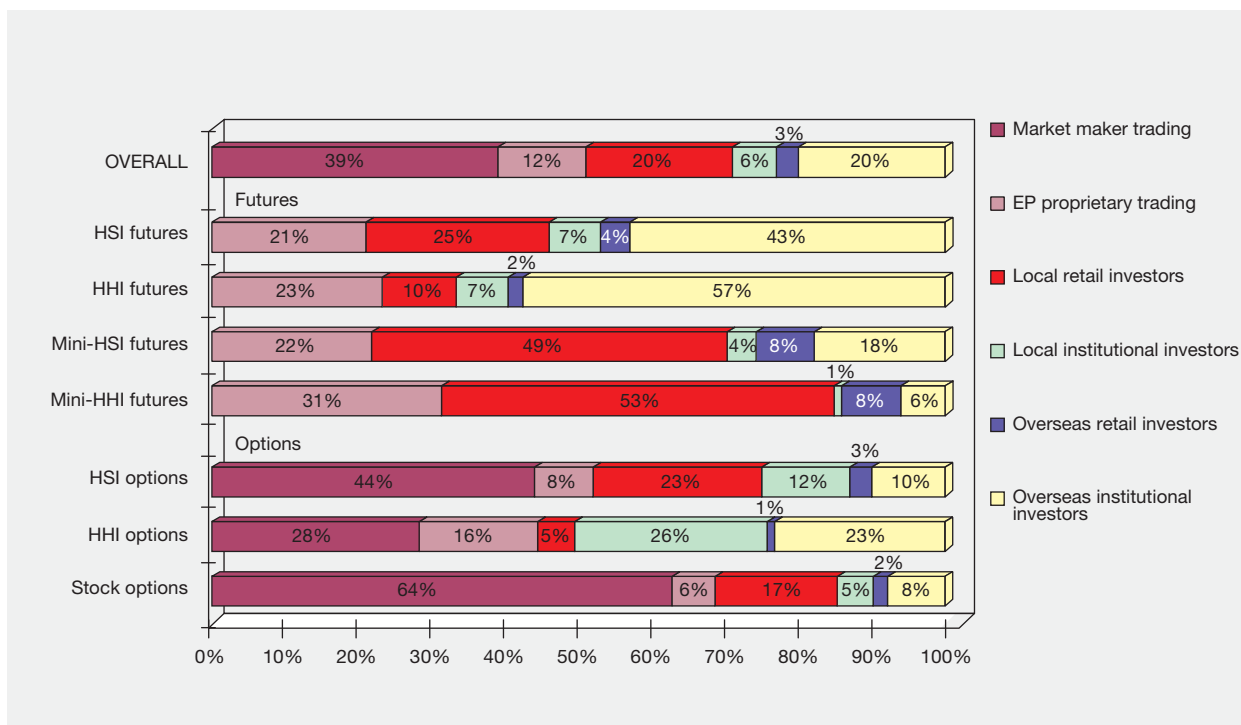
### Distribution of derivatives market trading volume by investor type (2008/09 – 2010/11)

	2008/09	2009/10	2010/11
<b>Exchange Participants' principal trading*</b>	53%	50%	51%
<b>Local investor trading</b>	25%	26%	26%
Retail	20%	20%	20%
Institutional	5%	6%	6%
<b>Overseas investor trading</b>	22%	24%	23%
Retail	3%	4%	3%
Institutional	19%	21%	20%
<b>Total</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

\* Exchange Participants' principal trading comprises market maker trading and proprietary trading.

Note: Numbers may not add up to sub-totals and totals due to rounding.

**Distribution of derivatives trading volume by investor type for overall market and each product (Jul 2010 – Jun 2011)**

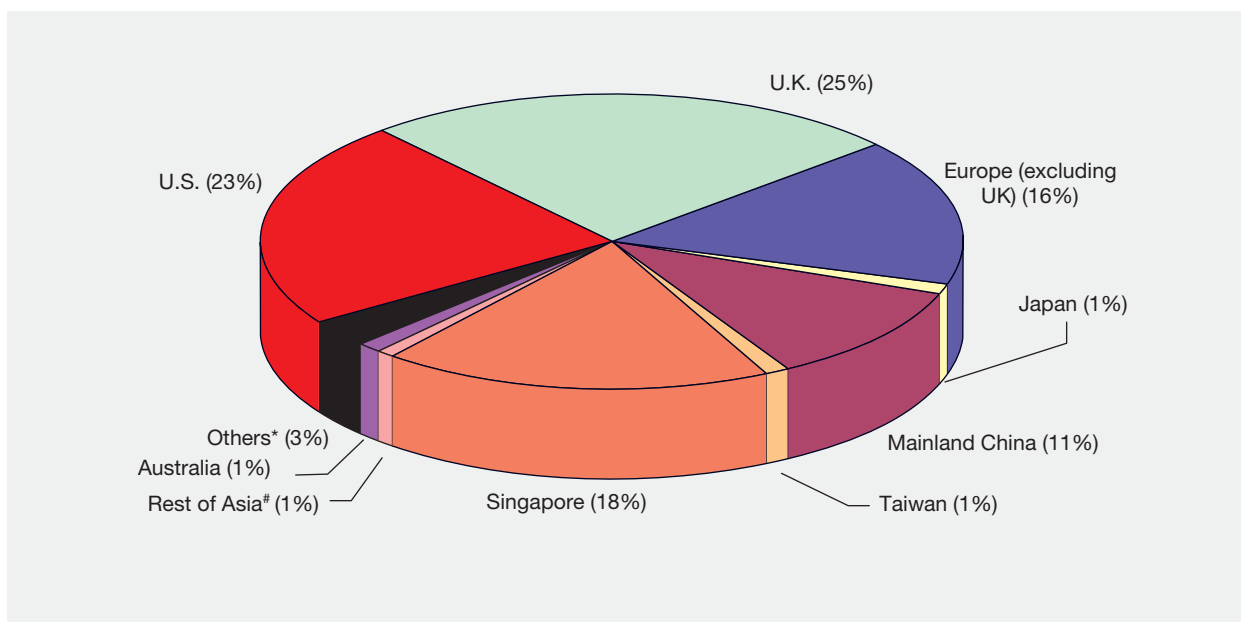


Notes:

- (1) Market maker trading and EP proprietary trading are components of EP principal trading.
- (2) Numbers may not add up to 100% due to rounding.

Among overseas investors, UK investors were the largest contributing group to overseas investor trading (25%). They were followed by US investors (23%). The contributions from Singaporean, European (excluding UK) and Mainland China investors were also significant (18%, 16% and 11% respectively). Asian investors contributed in aggregate 33% of total overseas investor trading.

**Distribution of overseas investor trading volume in derivatives by origin (Jul 2010 – Jun 2011)**



# Reported origins in "Rest of Asia" are India, Indonesia, Macau, Malaysia, South Korea and Thailand.

\* Reported origins in "Others" are Africa, Bermuda, British Virgin Islands, Canada, Caribbean, Cayman Islands, Liberia, Middle East, New Zealand and Republic of Seychelles.

Note: Numbers may not add up to 100% due to rounding.



## Distribution of overseas investor trading volume in derivatives by origin (2008/09 – 2010/11)

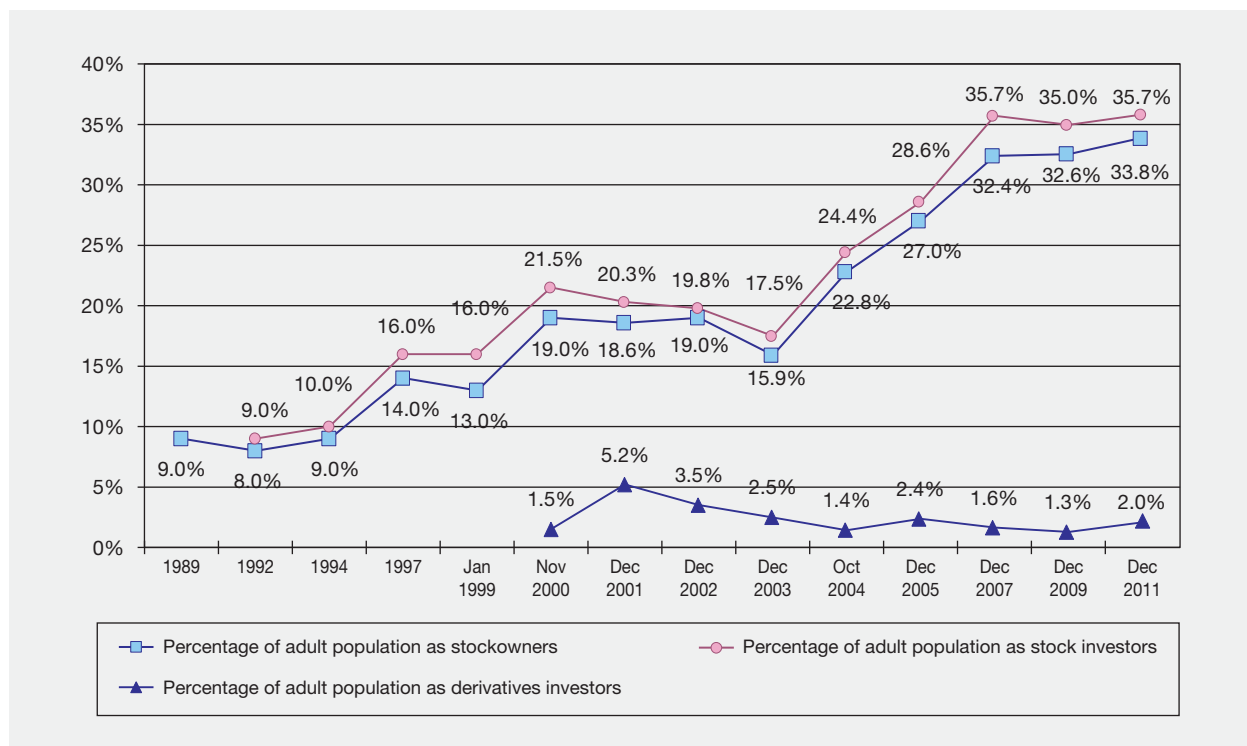
	2008/09	2009/10	2010/11
<b>US</b>	19%	25%	23%
<b>UK &amp; Europe</b>	40%	40%	41%
<i>UK</i>	29%	25%	25%
<i>Europe (excluding UK)</i>	11%	14%	16%
<b>Asia</b>	24%	30%	33%
<i>Japan</i>	2%	2%	1%
<i>Mainland China</i>	11%	13%	11%
<i>Taiwan</i>	1%	1%	1%
<i>Singapore</i>	10%	14%	18%
<i>Rest of Asia</i>	1%	1%	1%
<b>Australia</b>	14%	4%	1%
<b>Others</b>	3%	2%	3%
<b>Total</b>	<b>100%</b>	<b>100%</b>	<b>100%</b>

Note: Numbers may not add up to sub-totals and totals due to rounding.

## Retail investors

According to the findings of the HKEx Retail Investor Survey 2011<sup>1</sup>, 33.8% of the adult population (about 2.0 million individuals) were stockowners<sup>2</sup>; 35.7% (about 2.1 million individuals) were stock investors<sup>3</sup>; 3.5% (about 208,000 individuals) were warrant or Callable Bull/Bear Contract (CBBC) investors<sup>4</sup>; 2.0% (about 122,000 individuals) were derivatives investors<sup>5, 6</sup>; and 35.8% (about 2.2 million individuals) were retail investors who were either stock investor or derivatives investor or both.

Retail participation rates in stocks and derivatives on HKEx



### Projected number of retail investors by type

('000)

	Oct 2004	Dec 2005	Dec 2007	Dec 2009	Dec 2011
Retail investors	1,360	1,631	2,024	2,069	2,154
Stockowners	1,264	1,531	1,834	1,917	2,035
Stock investors	1,354	1,618	2,022	2,059	2,147
Warrant/CBBC investors	NA	NA	NA	249	208
Derivatives investors	80	135	93	75	122

NA: Not available

Note: Projected numbers were based on survey findings and are subject to errors.

<sup>1</sup> Fieldwork of the survey was carried out during November and December 2011. Annotation of "Dec 2011" is made in tables and charts for easy reference only.

<sup>2</sup> Stockowner: An individual who was, at the time of the interview, holding stocks — including shares, warrants, Callable Bull/Bear Contracts (CBBCs), Exchange Traded Funds (ETFs), Real Estate Investment Trusts (REITs) and other cash market products — listed or traded on HKEx.

<sup>3</sup> Stock investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded stocks — including shares, warrants, Callable Bull/Bear Contracts (CBBCs), Exchange Traded Funds (ETFs), Real Estate Investment Trusts (REITs) and other cash market products — listed or traded on HKEx.

<sup>4</sup> Warrant/CBBC investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded warrants or Callable Bull/Bear Contracts (CBBCs) on HKEx.

<sup>5</sup> Derivatives investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded derivative products (futures and options) on HKEx.

<sup>6</sup> The achieved sample of derivatives investors in the survey was rather small. The percentages on derivatives investors are subject to relatively large error.

The typical Hong Kong retail stock investor is a 47 year-old person with matriculation or above education and a monthly personal income of about HK\$16,250. The typical Hong Kong retail derivatives investor is a 40 year-old person with tertiary or above education and a monthly personal income of about HK\$22,500.

The median number of stock transactions by stock investors during the 12 months preceding the interview was 6, compared to 10 in 2009 (including those with zero stock transactions in the period). The average value per stock transaction by stock investors had a median of about HK\$40,000, up from HK\$35,000 in 2009. The implied total stock transaction value per stock investor<sup>7</sup> during the 12-month period had a median of about HK\$200,000, down from HK\$300,000 in 2009.

The 2011 Survey asked for the first time about the trading activity of stock investors in warrants/CBBCs. For warrant/CBBC investors, the median number of warrant/CBBC transactions in the 12-month period was 10 (including those with zero warrant/CBBC transactions in the period), and the average transaction value had a median of HK\$20,000. The implied total warrant/CBBC transaction value per warrant/CBBC investor<sup>8</sup> during the 12-month period had a median of about HK\$300,000.

The median number of derivatives transactions by derivatives investors during the 12-month period was 12, up from 10 in 2009. The average number of contracts per derivatives transaction by derivatives investors had a median of 2, the same level as in 2009. The implied total contract volume per derivatives investor<sup>9</sup> during the 12-month period had a median of 40, up from 25 in 2009.

The survey also found that 69% of stock traders<sup>10</sup> and 67% of derivatives traders<sup>11</sup> were online traders, i.e. investors who had traded the respective products on HKEx during the 12-month period, either always or sometimes, through electronic media such as the Internet.

<sup>7</sup> The implied total stock transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in stocks during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per stock investor, stock investors who did not trade stocks during the 12-month period are included.

<sup>8</sup> The implied total warrant/CBBC transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in the products during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per warrant/CBBC investor, warrant/CBBC investors who did not trade in the products during the 12-month period are included.

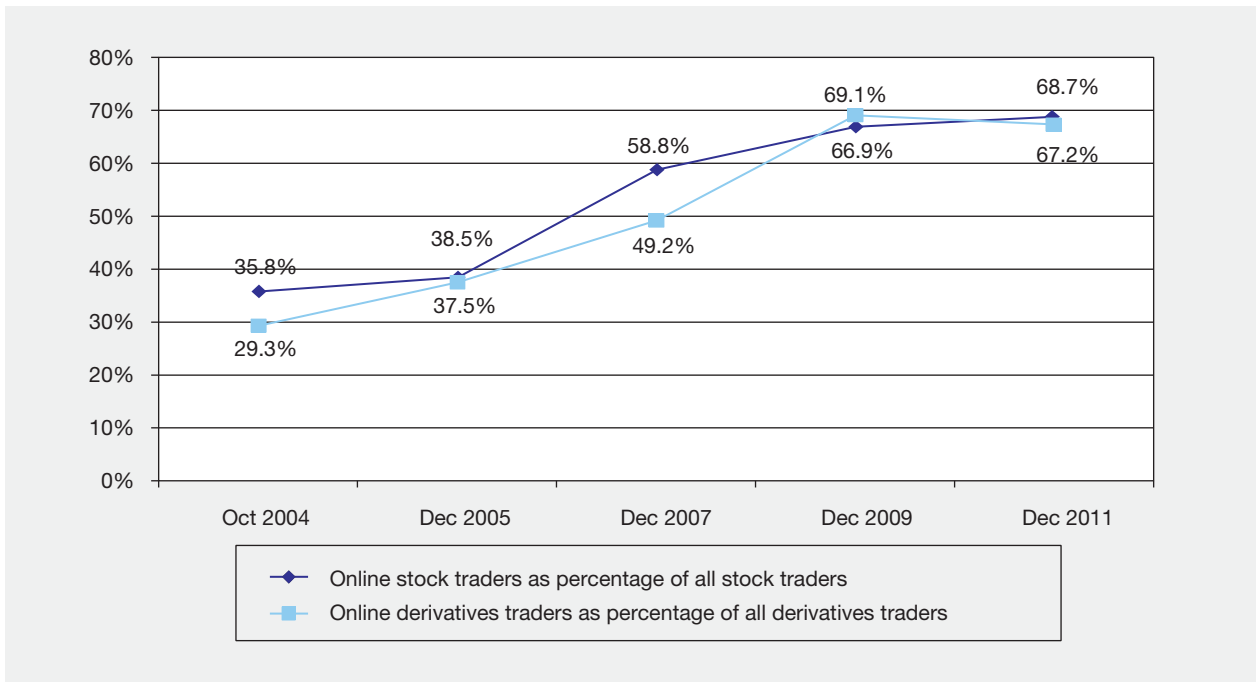
<sup>9</sup> The implied total contract volume during the 12-month period for a respondent was deduced from the respondent's number of derivatives transactions and his/her average number of contracts per derivatives transaction. The figure counts both buying and selling transactions and is subject to estimation error.

<sup>10</sup> Stock trader: An individual who, in the 12 months preceding the interview, had traded stocks — including shares, warrants, Callable Bull/Bear Contracts (CBBCs), Exchange Traded Funds (ETFs), Real Estate Investment Trusts (REITs) and other cash market products — listed or traded on HKEx.

<sup>11</sup> Reflecting the nature of derivatives trading, all derivatives investors had traded derivatives in the 12 months preceding the interview, i.e. all derivatives investors are derivatives traders.

Online stock traders tended to trade stocks more frequently than non-online stock traders — they had a median of 10 stock transactions in the 12-month period versus 6 for the non-online stock traders. Similarly, online derivatives traders tended to trade derivatives more frequently than non-online derivatives traders — a median of 20 derivatives transactions for online derivatives traders versus 10 transactions for non-online derivatives traders.

**Proportions of stock/derivatives traders as online traders**



Among the stock traders, 22% traded mainly through broker firms and 77% mainly through banks (about 0.4% used the service of broker firms and banks to a similar extent). Among the derivatives traders, 64% traded mainly through broker firms and 36% mainly through banks.

## HONG KONG SECURITIES AND DERIVATIVES MARKETS HISTORICAL EVENTS

- 1891 “The Association of Stockbrokers in Hong Kong”, the first formal stock exchange in Hong Kong was formed.
- 1914 The name of the Association of Stockbrokers in Hong Kong was changed to “The Hong Kong Stock Exchange”.
- 1921 A second stock exchange, “The Hong Kong Stockbrokers’ Association”, was incorporated.
- 1947 The Hong Kong Stock Exchange and the Hong Kong Stockbrokers’ Association were merged to form a joint exchange under the name of “The Hong Kong Stock Exchange Ltd”.
- 1969 Dec The Far East Exchange Ltd commenced business on 17 December.
- 1970 Feb The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
- 1971 Mar The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
- 1972 Jan The Kowloon Stock Exchange Ltd commenced business on 5 January.
- 1973 Jan The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
- A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
- Feb The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
- The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
- 1974 Feb The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
- Apr The Federation of Share Registrars was established.
- Jul The Hong Kong Federation of Stock Exchange was established.
- Aug Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.
- Oct Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.

- 1975 Aug The Securities Commission approved the Code on Takeovers and Mergers.
- Dec The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares-other than on a pro-rata basis to existing shareholders-without the prior approval of shareholders.
- 1976 The Commodities Trading Ordinance was passed by the Legislative Council.
- Aug Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
- Dec On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
- 1977 May Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
- On 9 May, The HKCE commenced the trading of its first commodity product – cotton futures.
- Nov On 15 November, The HKCE commenced trading of sugar futures.
- Dec An Insider Dealing Tribunal was set up.
- 1978 Mar Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
- 1979 Aug A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
- Nov The HKCE commenced trading of soybean futures.
- 1980 Jul The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
- Aug The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council. On 19 August, The HKCE commenced trading of gold futures.
- 1981 Jan The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
- Jul Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
- Oct The first committee members of the SEHK were elected.
- 1982 A Financial Futures Working Party was formed to study the introduction of financial futures.
- 1983 Mar The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.

- 1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.
- 1985 May On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).
- Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.
- The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.
- 1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.
- The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.
- The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.
- The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.
- Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.
- Apr The SEHK commenced trading on 2 April.
- May On 6 May, HKFE commenced the trading of its first financial product – Hang Seng Index (HSI) Futures.
- Sep The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.
- Oct The grand opening of the SEHK took place on 6 October.
- 1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.
- Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.
- The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.
- The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.

- The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK\$4 billion of which HK\$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK\$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.
- Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK\$500,000 to HK\$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.
- 1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.
- Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.
- Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.
- Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.
- Oct The first 22-member Council of the SEHK was formed on 18 October.
- Nov The first Nominating Committee of the SEHK was formed on 7 November.
- 1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).
- Feb The All Ordinaries Index was launched by the SEHK on 1 February.
- Mar The SEHK announced the formation of the HKSCC, a company limited by guarantee.
- Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.
- May The new Securities and Futures Commission (SFC) was formally established on 1 May.
- Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.
- Oct The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.
- Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.



- 1990 HKFE introduced its first interest rate futures – futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).
- Feb The minimum brokerage for each securities transaction traded on the SEHK was raised from HK\$25 to HK\$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.
- Apr On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.
- Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.
- 1991 HKFE introduced three futures based on sub-indices of the HSI.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).
- On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.
- Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.
- Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total – 18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.
- On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.
- 1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.
- May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.
- Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.
- On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.

- Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.
- The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK\$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK\$2 million.
- On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.
- On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.
- 1993 Mar On 5 March, HKFE introduced its first options product – Hang Seng Index Options.
- Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).
- Jun On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.
- Jul Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.
- Aug On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.
- Nov On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).
- 1994 Jan On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.
- Mar Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.
- Jul With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.
- 1995 Mar On 31 March, HKFE introduced its first single-stock product – stock futures on two stocks.
- Sep On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.
- Nov On 3 November, HKFE introduced its first currency futures – Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.
- 1996 Jan On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.

- 1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.
- Nov On 28 November, the Hong Kong Securities Institute was incorporated.
- 1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).
- May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.
- 1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.
- Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.
- Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.
- On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.
- 2000 Mar On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEx). Mr Charles Lee was the first Chairman of HKEx. Mr Kwong Ki-chi was appointed the Chief Executive of HKEx.
- On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.
- Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).
- May On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.
- Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system-Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.
- On 27 June, shares of the HKEx were listed by introduction on the SEHK with a stock code of "388".
- Sep On 15 September, HKEx announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.
- Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEx's iIPO.
- On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.

- 2001 Feb On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.
- Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.
- Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).
- On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.
- 2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from \$1.0 to \$0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from \$0.5 to \$0.1 per contract per side.
- On 25 March, HKEx introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.
- May On 16 May, HKEx introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.
- Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.
- Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.
- Dec On 9 December, HKEx introduced the second phase of CCASS/3.
- 2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.
- Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.
- May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEx.
- On 26 May, HKEx introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.
- Nov On 17 November, HKEx opened its first Mainland representative office in Beijing.
- Dec On 8 December, HKEx introduced H-shares Index futures.

- 2004 Apr On 6 April, HKEx introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEx markets.
- Jun On 14 June, HKEx introduced H-shares Index options.
- 2005 May On 23 May, HKEx introduced FTSE/Xinhua China 25 Index futures and options.
- Oct On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds \$1.4 billion, and subsequently reinstated when the NAV of the ICF falls below \$1 billion.
- Nov On 2 November, legislation was passed to abolish the estate duty.
- On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
- 2006 Jan On 16 January, renovated Trading Hall opened for trading.
- Apr On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEx.
- Jun On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
- On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
- Jul On 3 July, HKEx introduced Bank of China Ltd Futures and Options.
- On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
- Oct On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US\$19.1.billion) in world stock market history and the funds raised by H shares was also an all-time high.
- Dec On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
- 2007 Jan On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
- Mar On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEx. This was the first time that derivative warrants were issued on Mainland A-share assets.
- Apr On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.

- Jun On 25 June, Phase 1 of the HKEx Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEx website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEx website.
- Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.
- On 25 December, Phase 2 of the HKEx Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.
- 2008 Feb On 4 February, the HKExnews.com.hk website was launched. HKExnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEx about listed companies.
- Mar On 31 March, HKEx launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.
- Apr On 7 April, HKEx introduced 5-digit stock codes in its securities market.
- May On 26 May, the closing auction session for HKEx's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.
- Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEx relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.
- On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEx.
- Oct On 20 October, HKEx reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.
- 2009 Mar On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.
- Apr On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.
- Nov On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.

- Dec On 18 December, HKEx published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged.
- On 29 December, HKEx published its consultation conclusions on CER Futures in which HKEx considers that there is no imminent need for a CER futures market in Hong Kong.
- 2010 Apr On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEx's securities market, thereby broadening asset classes in its eligible securities.
- Jun On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.
- Jul On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.
- Oct On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect.
- On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK.
- On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK\$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.
- Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.
- On 15 December, amendments to the Listing Rules came into effect for HKEx to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.
- 2011 Mar On 7 March, HKEx extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.
- Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.
- May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).

- Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC.
- On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.
- On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorized funds and investment-linked assurance schemes marketed in Hong Kong.
- Jul On 25 July, HKEx implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS).
- On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK\$10 billion were listed on the SEHK.
- Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included Mainland exchange-traded funds (ETFs) on Hong Kong stocks; and the RMB Qualified Foreign Institutional Investor (RQFII) scheme.
- Oct On 24 October, HKEx's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.
- On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.
- Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.
- On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.
- Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).
- On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.



## List of listed companies on Main Board

(End of 2011)

Code	Company name	Incorporation	Listing date
01127	1010 Printing Group Ltd.	Bermuda	2011/07/25
01003	21 Holdings Ltd.	Bermuda	1994/02/21
01361	361 Degrees International Ltd.	Cayman Islands	2009/06/30
00800	A8 Digital Music Holdings Ltd.	Cayman Islands	2008/06/12
02018	AAC Technologies Holdings Inc.	Cayman Islands	2005/08/09
00030	ABC Communications (Holdings) Ltd.	Bermuda	1991/10/09
01096	Active Group Holdings Ltd.	Cayman Islands	2011/09/28
03344	Addchance Holdings Ltd.	Cayman Islands	2005/10/05
03355	Advanced Semiconductor Manufacturing Corporation Ltd. -H Shs	PRC	2006/04/07
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
03383	Agile Property Holdings Ltd.	Cayman Islands	2005/12/15
01288	Agricultural Bank of China Ltd. – H Shares	PRC	2010/07/16
01131	Agritrade Resources Ltd.	Bermuda	1997/03/19
01299	AIA Group Ltd.	Hong Kong	2010/10/29
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00538	Ajisen (China) Holdings Ltd.	Cayman Islands	2007/03/30
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
01688	Alibaba.com Ltd.	Cayman Islands	2007/11/06
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00593	Allied Overseas Ltd.	Bermuda	1993/07/27
00056	Allied Properties (HK) Ltd.	Hong Kong	n.a.
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
00959	Amax Holdings Ltd.	Bermuda	1997/10/03
00090	Amber Energy Ltd.	Cayman Islands	2009/07/10
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
02300	AMVIG Holdings Ltd.	Cayman Islands	2004/03/26
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
00839	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	PRC	2007/12/24
02020	ANTA Sports Products Ltd.	Cayman Islands	2007/07/10
03337	Anton Oilfield Services Group	Cayman Islands	2007/12/14
01149	Anxin-China Holdings Ltd.	Cayman Islands	2003/11/24
01104	APAC Resources Ltd.	Bermuda	1998/12/29
00566	Apollo Solar Energy Technology Holdings Ltd.	Bermuda	1996/01/29
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
00565	Art Textile Technology International Co. Ltd.	Cayman Islands	2003/09/10
00931	Artel Solutions Group Holdings Ltd.	Cayman Islands	2001/10/24
00789	Artini China Co. Ltd.	Bermuda	2008/05/16
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
00841	Asia Cassava Resources Holdings Ltd.	Cayman Islands	2009/03/23
00743	Asia Cement (China) Holdings Corporation	Cayman Islands	2008/05/20
00835	Asia Coal Ltd.	Bermuda	1997/12/18
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00351	Asia Energy Logistics Group Ltd.	Hong Kong	1992/08/18
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
01135	Asia Satellite Telecommunications Holdings Ltd.	Bermuda	1996/06/19
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00073	Asian Citrus Holdings Ltd.	Bermuda	2009/11/26
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
00477	AUPU Group Holding Co. Ltd.	Cayman Islands	2006/12/08
01717	Ausnutria Dairy Corporation Ltd.	Cayman Islands	2009/10/08
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
00232	AVIC International Holding (HK) Ltd.	Bermuda	1991/12/12
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
00692	Bao Yuan Holdings Ltd.	Bermuda	2000/01/19
01121	Baofeng Modern International Holdings Co. Ltd.	Cayman Islands	2011/01/28
01293	Baoxin Auto Group Ltd.	Cayman Islands	2011/12/14
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
01338	BaWang International (Group) Holding Ltd.	Cayman Islands	2009/07/03
02009	BBMG Corporation – H Shares	PRC	2009/07/29
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02868	Beijing Capital Land Ltd. – H Shares	PRC	2003/06/19
00154	Beijing Development (Hong Kong) Ltd.	Hong Kong	n.a.
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29
00371	Beijing Enterprises Water Group Ltd.	Bermuda	1993/04/19
00814	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2008/02/26
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	PRC	2011/12/22
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00925	Beijing Properties (Holdings) Ltd.	Bermuda	1998/01/15
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	Bermuda	1998/03/12

## List of listed companies on Main Board (continued)

(End of 2011)

Code	Company name	Incorporation	Listing date
00187	Beiren Printing Machinery Holdings Ltd. – H Shares	PRC	1993/08/06
00761	Bel Global Resources Holdings Ltd.	Bermuda	1997/03/07
01880	Belle International Holdings Ltd.	Cayman Islands	2007/05/23
02326	BEP International Holdings Ltd.	Bermuda	2003/03/03
00718	Bestway International Holdings Ltd.	Bermuda	1995/10/02
00926	Besunyen Holdings Co. Ltd.	Cayman Islands	2010/09/29
02299	Billion Industrial Holdings Ltd.	Cayman Islands	2011/05/18
00039	Bio-Dynamic Group Ltd.	Cayman Islands	2001/01/16
01112	Biostime International Holdings Ltd.	Cayman Islands	2010/12/17
02309	Birmingham International Holdings Ltd.	Cayman Islands	2002/11/12
00963	Bloomage BioTechnology Corporation Ltd.	Cayman Islands	2008/10/03
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
01685	Boer Power Holdings Ltd.	Cayman Islands	2010/10/20
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
01698	Boshiwa International Holding Ltd.	Cayman Islands	2010/09/29
03998	Bosideng International Holdings Ltd.	Cayman Islands	2007/10/11
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
01428	Bright Smart Securities & Commodities Group Ltd.	Cayman Islands	2010/08/25
00933	Brightoil Petroleum (Holdings) Ltd.	Bermuda	1995/11/16
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
01008	Brilliant Circle Holdings International Ltd.	Cayman Islands	2009/03/30
00240	Build King Holdings Ltd.	Bermuda	n.a.
00108	Buildmore International Ltd.	Hong Kong	1973/02/09
00024	Burwill Holdings Ltd.	Bermuda	1983/09/15
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00285	BYD Electronic (International) Co. Ltd.	Hong Kong	2007/12/20
01224	C C Land Holdings Ltd.	Bermuda	1999/04/30
01182	C Y Foundation Group Ltd.	Bermuda	1994/10/17
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
00121	C.P. Lotus Corporation	Cayman Islands	1981/03/27
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
01075	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
02324	Capital VC Ltd.	Cayman Islands	2003/10/27
06813	CapitaMalls Asia Ltd.	Singapore	2011/10/18
00469	Capxon International Electronic Co. Ltd.	Cayman Islands	2007/05/07
00837	Carpenter Tan Holdings Ltd.	Cayman Islands	2009/12/29
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
00510	CASH Financial Services Group Ltd.	Bermuda	2008/03/03
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00161	CATIC Shenzhen Holdings Ltd. – H Shares	PRC	1997/09/29
00261	CCT Tech International Ltd.	Bermuda	1991/12/30
00138	CCT Telecom Holdings Ltd.	Bermuda	1991/11/11
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
00832	Central China Real Estate Ltd.	Cayman Islands	2008/06/06
01155	Centron Telecom International Holding Ltd.	Cayman Islands	2007/07/05
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00162	Century Ginwa Retail Holdings Ltd.	Bermuda	2000/10/23
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
00509	Century Sunshine Group Holdings Ltd.	Cayman Islands	2004/02/17
01164	CGN Mining Co. Ltd.	Cayman Islands	2003/08/04
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
00264	Chanco International Group Ltd.	Cayman Islands	2003/03/12
01039	Changfeng Axle (China) Co. Ltd.	Cayman Islands	2010/09/24
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
00951	Chaowei Power Holdings Ltd.	Cayman Islands	2010/07/07
00147	Chaoyue Group Ltd.	Bermuda	1988/06/06
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
01196	Cheong Ming Investments Ltd.	Bermuda	1997/01/20
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00001	Cheung Kong (Holdings) Ltd.	Hong Kong	1972/11/01
01038	Cheung Kong Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
00508	Chevalier Pacific Holdings Ltd.	Bermuda	1988/10/26
00112	Chi Cheung Investment Co., Ltd.	Hong Kong	1972/12/06
00449	Chigo Holding Ltd.	Cayman Islands	2009/07/13
00976	Chiho-Tiande Group Ltd.	Cayman Islands	2010/07/12
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
00606	China Agri-Industries Holdings Ltd.	Hong Kong	2007/03/21
00149	China Agri-Products Exchange Ltd.	Bermuda	1973/03/12
01073	China Agrotech Holdings Ltd.	Cayman Islands	2002/01/14
00633	China All Access (Holdings) Ltd.	Cayman Islands	2009/09/16
00940	China Animal Healthcare Ltd.	Bermuda	2010/12/21
03883	China Aoyuan Property Group Ltd.	Cayman Islands	2007/10/09
00170	China Assets (Holdings) Ltd.	Hong Kong	1992/04/15
00569	China Automation Group Ltd.	Cayman Islands	2007/07/12
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
00274	China Billion Resources Ltd.	Cayman Islands	2000/12/18
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
00922	China Boon Holdings Ltd.	Bermuda	1999/12/21
00578	China CBM Group Ltd.	Bermuda	1997/05/15
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
00998	China CITIC Bank Corporation Ltd. – H Shares	PRC	2007/04/27
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15

## List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01006	China Corn Oil Co. Ltd.	Cayman Islands	2009/12/18
01919	China COSCO Holdings Co. Ltd. – H Shares	PRC	2005/06/30
01798	China Datang Corporation Renewable Power Co., Ltd. -H Shares	PRC	2010/12/17
00661	China Daye Non-Ferrous Metals Mining Ltd.	Bermuda	1990/11/21
03818	China Dongxiang (Group) Co., Ltd.	Cayman Islands	2007/10/10
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
00085	China Electronics Corporation Holdings Co. Ltd.	Bermuda	1997/07/25
01185	China Engin International (Holdings) Ltd.	Cayman Islands	1997/08/11
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
00986	China Environmental Energy Investment Ltd.	Bermuda	1994/02/02
01130	China Environmental Resources Group Ltd.	Cayman Islands	1997/06/03
00646	China Environmental Technology Holdings Ltd.	Cayman Islands	2002/03/28
00257	China Everbright International Ltd.	Hong Kong	n.a.
00165	China Everbright Ltd.	Hong Kong	1973/02/26
03777	China Fiber Optic Network System Group Ltd.	Cayman Islands	2011/07/14
00721	China Financial International Investments Ltd.	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Cayman Islands	2002/10/28
00605	China Financial Services Holdings Ltd.	Hong Kong	1993/04/07
00445	China Fire Safety Enterprise Group Ltd.	Cayman Islands	2002/09/30
02121	China First Chemical Holdings Ltd.	Cayman Islands	2011/12/09
03318	China Flavors and Fragrances Co. Ltd.	Cayman Islands	2005/12/09
02083	China Flooring Holding Co. Ltd.	Cayman Islands	2011/05/26
00506	China Foods Ltd.	Bermuda	1988/10/07
00930	China Forestry Holdings Co., Ltd.	Cayman Islands	2009/12/03
00290	China Fortune Financial Group Ltd.	Cayman Islands	2001/05/03
00110	China Fortune Holdings Ltd.	Bermuda	2004/01/26
00164	China Gamma Group Ltd.	Bermuda	1973/01/26
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
00397	China Gogreen Assets Investment Ltd.	Bermuda	1993/10/13
02099	China Gold International Resources Corp. Ltd.	Canada	2010/12/01
00910	China Grand Forestry Green Resources Group Ltd.	Bermuda	1998/12/01
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	Bermuda	1995/12/19
00904	China Green (Holdings) Ltd.	Bermuda	2004/01/13
00256	China Haidian Holdings Ltd.	Cayman Islands	1991/12/10
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04
03788	China Hanking Holdings Ltd.	Cayman Islands	2011/09/30
00673	China HealthCare Holdings Ltd.	Bermuda	1996/10/14
00591	China High Precision Automation Group Ltd.	Cayman Islands	2009/11/13
00658	China High Speed Transmission Equipment Group Co., Ltd.	Cayman Islands	2007/07/04
01378	China Hongqiao Group Ltd.	Cayman Islands	2011/03/24
01886	China Huiyuan Juice Group Ltd.	Cayman Islands	2007/02/23
00600	China Infrastructure Investment Ltd.	Cayman Islands	1993/10/05
01217	China Innovation Investment Ltd.	Cayman Islands	2002/08/28
01226	China Investment and Finance Group Ltd.	Cayman Islands	2002/09/19
00612	China Investment Fund Co. Ltd.	Cayman Islands	2002/01/02
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
01900	China ITS (Holdings) Co., Ltd.	Cayman Islands	2010/07/15
00834	China Kangda Food Co. Ltd.	Bermuda	2008/12/22
01380	China Kingstone Mining Holdings Ltd.	Cayman Islands	2011/03/18
02128	China Liansu Group Holdings Ltd.	Cayman Islands	2010/06/23
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
01262	China Lifestyle Food and Beverages Group Ltd.	Bermuda	2011/12/09
01234	China Lilang Ltd.	Cayman Islands	2009/09/25
00916	China Longyuan Power Group Corporation Ltd. – H Shares	PRC	2009/12/10
00067	China Lumena New Materials Corp.	Cayman Islands	2009/06/16
00009	China Mandarin Holdings Ltd.	Bermuda	2001/09/12
00867	China Medical System Holdings Ltd.	Cayman Islands	2010/09/28
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00144	China Merchants Holdings (International) Co. Ltd.	Hong Kong	1992/07/15
00319	China Metal International Holdings Inc.	Cayman Islands	2004/12/31
00773	China Metal Recycling (Holdings) Ltd.	Cayman Islands	2009/06/22
00340	China Mining Resources Group Ltd.	Bermuda	1997/03/26
01988	China Minsheng Banking Corp., Ltd. – H Shares	PRC	2009/11/26
00941	China Mobile Ltd.	Hong Kong	1997/10/23
01117	China Modern Dairy Holdings Ltd.	Cayman Islands	2010/11/26
03993	China Molybdenum Co., Ltd. – H Shares	PRC	2007/04/26
00989	China Motion Telecom International Ltd.	Bermuda	1997/10/20
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
01893	China National Materials Co. Ltd. – H Shares	PRC	2007/12/20
00080	China New Economy Fund Ltd.	Cayman Islands	2011/01/06
01041	China New Energy Power Group Ltd.	Bermuda	1996/12/27
01278	China New Town Development Co. Ltd.	British Virgin Islands	2010/10/22
02889	China Nickel Resources Holdings Co. Ltd.	Cayman Islands	2005/05/19
01011	China NT Pharma Group Co. Ltd.	Cayman Islands	2011/04/20
00651	China Ocean Shipbuilding Industry Group Ltd.	Bermuda	1998/07/23
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
02371	China Oriental Culture Group Ltd.	Cayman Islands	2004/11/18
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
00254	China Outdoor Media Group Ltd.	Hong Kong	1972/11/16

## List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
01146	China Outfitters Holdings Ltd.	Cayman Islands	2011/12/09
00081	China Overseas Grand Oceans Group Ltd.	Hong Kong	1984/04/26
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	PRC	2009/12/23
00572	China Packaging Group Co. Ltd.	Cayman Islands	2003/07/02
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01093	China Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
00380	China Pipe Group Ltd.	Bermuda	2000/12/21
02133	China Polymetallic Mining Ltd.	Cayman Islands	2011/12/14
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
00735	China Power New Energy Development Co. Ltd.	Bermuda	1999/11/04
01194	China Precious Metal Resources Holdings Co., Ltd.	Cayman Islands	2004/10/12
06828	China Print Power Group Ltd.	Bermuda	2011/07/12
01838	China Properties Group Ltd.	Cayman Islands	2007/02/23
00736	China Properties Investment Holdings Ltd.	Bermuda	1992/09/30
01094	China Public Procurement Ltd.	Bermuda	2002/07/03
00866	China Qinfa Group Ltd.	Cayman Islands	2009/07/03
00745	China Railsmedia Corporation Ltd.	Cayman Islands	2004/10/13
01186	China Railway Construction Corporation Ltd. – H Shares	PRC	2008/03/13
00390	China Railway Group Ltd. – H Shares	PRC	2007/12/07
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
00987	China Renewable Energy Investment Ltd.	Cayman Islands	1994/01/27
00648	China Renji Medical Group Ltd.	Hong Kong	1990/10/29
00269	China Resources and Transportation Group Ltd.	Cayman Islands	1973/03/19
01313	China Resources Cement Holdings Ltd.	Cayman Islands	2009/10/06
00291	China Resources Enterprise, Ltd.	Hong Kong	n.a.
01193	China Resources Gas Group Ltd.	Bermuda	1994/11/07
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01101	China Rongsheng Heavy Industries Group Holdings Ltd.	Cayman Islands	2010/11/19
00527	China Rui Feng Galaxy Renewable Energy Holdings Ltd.	Cayman Islands	2006/06/09
02198	China Sanjiang Fine Chemicals Co. Ltd.	Cayman Islands	2010/09/16
01966	China SCE Property Holdings Ltd.	Cayman Islands	2010/02/05
00245	China Seven Star Shopping Ltd.	Hong Kong	1972/08/25
00691	China Shanshui Cement Group Ltd.	Cayman Islands	2008/07/04
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
02866	China Shipping Container Lines Co. Ltd. – H Shares	PRC	2004/06/16
01138	China Shipping Development Co. Ltd. – H Shares	PRC	1994/11/11
00750	China Singyes Solar Technologies Holdings Ltd.	Bermuda	2009/01/13
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01668	China South City Holdings Ltd.	Hong Kong	2009/09/30
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03838	China Starch Holdings Ltd.	Cayman Islands	2007/09/27
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04
02002	China Sunshine Paper Holdings Co. Ltd.	Cayman Islands	2007/12/12
00956	China Suntien Green Energy Corporation Ltd. – H Shares	PRC	2010/10/13
00966	China Taiping Insurance Holdings Co. Ltd.	Hong Kong	2000/06/29
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
01600	China Tian Lun Gas Holdings Ltd.	Cayman Islands	2010/11/10
01252	China Tianrui Group Cement Co. Ltd.	Cayman Islands	2011/12/23
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
02188	China Titans Energy Technology Group Co., Ltd.	Cayman Islands	2010/05/28
00389	China Tontine Wines Group Ltd.	Bermuda	2009/11/19
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00209	China Tycoon Beverage Holdings Ltd.	Bermuda	2002/03/06
00762	China Unicom (Hong Kong) Ltd.	Hong Kong	2000/06/22
02330	China Uptown Group Co. Ltd.	Cayman Islands	2003/01/29
00893	China Vanadium Titano-Magnetite Mining Co. Ltd.	Cayman Islands	2009/10/08
01269	China Vehicle Components Technology Holdings Ltd.	Cayman Islands	2011/11/23
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
01129	China Water Industry Group Ltd.	Cayman Islands	2002/01/17
02349	China Water Property Group Ltd.	Cayman Islands	2003/06/25
03778	China Weaving Materials Holdings Ltd.	Cayman Islands	2011/12/22
00182	China WindPower Group Ltd.	Bermuda	1991/11/27
02369	China Wireless Technologies Ltd.	Cayman Islands	2004/12/09
01866	China XLX Fertiliser Ltd.	Singapore	2009/12/08
00263	China Yunnan Tin Minerals Group Co. Ltd.	Hong Kong	1988/04/06
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
00362	China Zenith Chemical Group Ltd.	Cayman Islands	2001/05/02
01728	China ZhengTong Auto Services Holdings Ltd.	Cayman Islands	2010/12/10
01333	China Zhongwang Holdings Ltd.	Cayman Islands	2009/05/08
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
00354	Chinasoft International Ltd.	Cayman Islands	2003/06/20
01060	ChinaVision Media Group Ltd.	Bermuda	1994/05/12
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.
00681	Chinese People Holdings Co. Ltd.	Bermuda	1997/04/24
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01111	Chong Hing Bank Ltd.	Hong Kong	1994/07/11
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
02722	Chongqing Machinery & Electric Co., Ltd. – H Shares	PRC	2008/06/13
03618	Chongqing Rural Commercial Bank Co., Ltd. – H Shares	PRC	2010/12/16
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06

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Code	Company name	Incorporation	Listing date
01929	Chow Tai Fook Jewellery Group Ltd.	Cayman Islands	2011/12/15
01938	Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd.	Cayman Islands	2010/02/10
00560	Chu Kong Shipping Development Co. Ltd.	Hong Kong	1997/05/23
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
00711	Chun Wo Development Holdings Ltd.	Bermuda	1993/02/12
00378	CIAM Group Ltd.	Bermuda	1986/09/10
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
03899	CIMC Enric Holdings Ltd.	Cayman Islands	2006/07/20
00111	Cinda International Holdings Ltd.	Bermuda	2000/08/01
00550	Cinderella Media Group Ltd.	Bermuda	2007/07/23
00241	CITIC 21CN Co. Ltd.	Bermuda	1972/07/06
01091	CITIC Dameng Holdings Ltd.	Bermuda	2010/11/18
00267	CITIC Pacific Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
06030	CITIC Securities Co. Ltd. – H Shares	PRC	2011/10/06
01883	CITIC Telecom International Holdings Ltd.	Hong Kong	2007/04/03
00557	City e-Solutions Ltd.	Cayman Islands	1989/12/29
01137	City Telecom (H.K.) Ltd.	Hong Kong	1997/08/04
00775	CK Life Sciences Int'l., (Holdings) Inc.	Cayman Islands	2002/07/16
00100	Clear Media Ltd.	Bermuda	2001/12/19
00439	Climax International Co. Ltd.	Bermuda	1992/03/11
00002	CLP Holdings Ltd.	Hong Kong	n.a.
00471	CMMB Vision Holdings Ltd.	Cayman Islands	2005/10/10
02302	CNNC International Ltd.	Cayman Islands	2003/01/06
00883	CNOOC Ltd.	Hong Kong	2001/02/28
00701	CNT Group Ltd.	Bermuda	1991/05/21
06388	Coach, Inc. -RS	USA	2011/12/01
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00383	COL Capital Ltd.	Bermuda	1991/08/01
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
00794	Come Sure Group (Holdings) Ltd.	Cayman Islands	2009/02/26
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
00712	Comtec Solar Systems Group Ltd.	Cayman Islands	2009/10/30
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08
00831	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
01019	Convoy Financial Services Holdings Ltd.	Cayman Islands	2010/07/13
00707	Co-Prosperity Holdings Ltd.	Cayman Islands	2006/03/30
00517	COSCO International Holdings Ltd	Bermuda	1992/02/11
01199	COSCO Pacific Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
02228	Costin New Materials Group Ltd.	Cayman Islands	2010/06/21
00288	Cosway Corporation Ltd.	Hong Kong	n.a.
02007	Country Garden Holdings Co. Ltd.	Cayman Islands	2007/04/20
01145	Courage Marine Group Ltd.	Bermuda	2011/06/24
00906	CPMC Holdings Ltd.	Hong Kong	2009/11/16
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
00497	CSI Properties Ltd.	Bermuda	1992/04/15
01766	CSR Corporation Ltd. – H Shares	PRC	2008/08/21
00985	CST Mining Group Ltd.	Cayman Islands	1994/02/02
00674	Culture Landmark Investment Ltd.	Bermuda	1991/01/25
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
00705	CVM Minerals Ltd.	Hong Kong	2008/12/22
01090	Da Ming International Holdings Ltd.	Cayman Islands	2010/12/01
03999	DaChan Food (Asia) Ltd.	Cayman Islands	2007/10/04
01828	Dah Chong Hong Holdings Ltd.	Hong Kong	2007/10/17
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
01037	Daiwa Associate Holdings Ltd.	Bermuda	1994/04/14
02880	Dalian Port (PDA) Co. Ltd. – H Shares	PRC	2006/04/28
00271	Dan Form Holdings Co. Ltd.	Hong Kong	1973/02/28
00210	Daphne International Holdings Ltd.	Cayman Islands	1995/11/03
01007	Daqing Dairy Holdings Ltd.	Cayman Islands	2010/10/28
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
03335	DBA Telecommunication (Asia) Holdings Ltd.	Cayman Islands	2006/05/11
00997	Decca Holdings Ltd.	Bermuda	2000/03/10
01163	Dejin Resources Group Co. Ltd.	Bermuda	1999/11/11
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
00065	DeTeam Co. Ltd.	Cayman Islands	2001/08/30
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
01072	Dongfang Electric Corporation Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07
00895	Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29
00189	Dongyue Group Ltd.	Cayman Islands	2007/12/10
00628	Dore Holdings Ltd.	Bermuda	2002/07/24
00668	Doxen Energy Group Ltd.	Hong Kong	1990/12/12
00935	Dragon Crown Group Holdings Ltd.	Cayman Islands	2011/06/10

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Code	Company name	Incorporation	Listing date
00329	Dragonite International Ltd.	Cayman Islands	2001/05/09
01126	Dream International Ltd.	Hong Kong	2002/02/07
00500	DVN (Holdings) Ltd.	Bermuda	1988/07/29
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
00339	Earnest Investments Holdings Ltd.	Bermuda	2000/07/26
00616	Easyknit Enterprises Holdings Ltd.	Bermuda	1991/09/16
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
00618	EC-Founder (Holdings) Co. Ltd.	Bermuda	1991/10/07
02341	EcoGreen Fine Chemicals Group Ltd.	Cayman Islands	2004/03/09
00943	eForce Holdings Ltd.	Bermuda	1997/06/25
00048	EganaGoldpfeil (Holdings) Ltd.	Cayman Islands	1993/06/25
00524	e-Kong Group Ltd.	Bermuda	1989/04/21
01151	Elec & Eltek International Co. Ltd.	Singapore	2011/07/08
00907	Elegance International Holdings Ltd.	Bermuda	1996/04/11
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00717	Emperor Capital Group Ltd.	Bermuda	2007/04/24
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00887	Emperor Watch & Jewellery Ltd.	Hong Kong	2008/07/21
00622	Enerchina Holdings Ltd.	Bermuda	1991/09/16
00353	Energy International Investments Holdings Ltd.	Cayman Islands	2001/07/26
00128	ENM Holdings Ltd.	Hong Kong	n.a.
02688	ENN Energy Holdings Ltd.	Cayman Islands	2002/06/03
01808	Enterprise Development Holdings Ltd.	Cayman Islands	2007/01/11
01102	Enviro Energy International Holdings Ltd.	Cayman Islands	2007/06/06
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
01061	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
00571	eSun Holdings Ltd.	Bermuda	n.a.
00764	Eternity Investment Ltd.	Bermuda	2000/02/15
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
00875	Ever Fortune International Holdings Ltd.	Bermuda	1998/07/03
03333	Evergrande Real Estate Group Ltd.	Cayman Islands	2009/11/05
00238	Evergreen International Holdings Ltd.	Cayman Islands	2010/11/04
02308	EVOG Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00117	EYANG Holdings (Group) Co., Ltd.	Cayman Islands	2007/12/21
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
01777	Fantasia Holdings Group Co., Ltd.	Cayman Islands	2009/11/25
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00830	Far East Global Group Ltd.	Cayman Islands	2010/03/30
00036	Far East Holdings International Ltd.	Hong Kong	1973/02/12
03360	Far East Horizon Ltd.	Hong Kong	2011/03/30
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
00706	FinTronics Holdings Co. Ltd.	Bermuda	1998/07/22
00865	First Mobile Group Holdings Ltd.	Cayman Islands	2000/12/29
01076	First Natural Foods Holdings Ltd.	Bermuda	2002/02/11
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
02662	Fittec International Group Ltd.	Cayman Islands	2005/12/14
01998	Flyke International Holdings Ltd.	Cayman Islands	2010/03/29
00641	Fong's Industries Co. Ltd.	Bermuda	1990/10/12
00923	Fook Woo Group Holdings Ltd.	Cayman Islands	2010/03/31
01682	Ford Glory Group Holdings Ltd.	Bermuda	2010/10/05
00885	Forefront Group Ltd.	Cayman Islands	2001/07/12
01152	Fornton Group Ltd.	Bermuda	2011/10/28
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
00656	Fosun International Ltd.	Hong Kong	2007/07/16
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
02038	Foxconn International Holdings Ltd.	Cayman Islands	2005/02/03
00817	Franshion Properties (China) Ltd.	Hong Kong	2007/08/17
00535	Frasers Property (China) Ltd.	Bermuda	1989/10/16
00279	Freeman Financial Corporation Ltd.	Cayman Islands	1988/05/11
01175	FU JI Food and Catering Services Holdings Ltd.	Cayman Islands	2004/12/17
00546	Fufeng Group Ltd.	Cayman Islands	2007/02/08
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
00465	Futong Technology Development Holdings Ltd.	Cayman Islands	2009/12/04
00703	Future Bright Holdings Ltd.	Bermuda	2002/08/15
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
03800	GCL-Poly Energy Holdings Ltd.	Cayman Islands	2007/11/13
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00174	Gemini Investments (Holdings) Ltd.	Hong Kong	1988/10/05
00678	Genting Hong Kong Ltd.	Bermuda	2000/11/30
02389	Genvon Group Ltd.	Cayman Islands	2002/04/26
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
00709	Giordano International Ltd.	Bermuda	1991/06/19
00805	Glencore International plc	Jersey	2011/05/25
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
03889	Global Sweeteners Holdings Ltd.	Cayman Islands	2007/09/20

## List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00143	Global Tech (Holdings) Ltd.	Cayman Islands	1999/04/09
00845	Glorious Property Holdings Ltd.	Cayman Islands	2009/10/02
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00172	Goldbond Group Holdings Ltd.	Hong Kong	n.a.
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
00801	Golden Meditech Holdings Ltd.	Cayman Islands	2001/12/28
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
02123	Golden Shield Holdings (Industrial) Ltd.	Bermuda	2011/07/14
00530	Goldin Financial Holdings Ltd.	Bermuda	1992/10/08
00283	Goldin Properties Holdings Ltd.	Hong Kong	n.a.
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
00686	Goldpoly New Energy Holdings Ltd.	Bermuda	2000/04/13
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00493	GOME Electrical Appliances Holding Ltd.	Bermuda	1992/04/15
00109	Good Fellow Resources Holdings Ltd.	Bermuda	1998/01/12
02398	Good Friend International Holdings Inc.	Cayman Islands	2006/01/11
01086	Goodbaby International Holdings Ltd.	Cayman Islands	2010/11/24
00195	Goodtop Tin International Holdings Ltd.	Cayman Islands	2008/11/12
00286	G-Prop (Holdings) Ltd.	Bermuda	1990/09/07
00844	Grand Concord International Holdings Ltd.	British Virgin Islands	2011/11/24
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01160	Grand Investment International Ltd.	Bermuda	2004/04/02
00186	Grande Holdings Ltd., The	Bermuda	1987/07/09
00141	Great China Holdings Ltd.	Hong Kong	1973/03/07
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
03683	Great Harvest Maeta Group Holdings Ltd.	Cayman Islands	2010/10/11
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00074	Great Wall Technology Co. Ltd. – H Shares	PRC	1999/08/05
00431	Greater China Holdings Ltd.	Bermuda	1992/09/16
00468	Greatview Aseptic Packaging Co. Ltd.	Cayman Islands	2010/12/09
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
00582	Greenfield Chemical Holdings Ltd.	Cayman Islands	2002/04/30
00094	Greenheart Group Ltd.	Bermuda	1988/06/21
01318	Greens Holdings Ltd.	Cayman Islands	2009/11/06
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
01051	G-Resources Group Ltd.	Bermuda	1994/04/29
00601	Group Sense (International) Ltd.	Bermuda	1993/01/28
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
03399	Guangdong Nan Yue Logistics Co. Ltd. – H Shares	PRC	2005/10/26
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
01203	Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	PRC	2010/08/30
00874	Guangzhou Pharmaceutical Co. Ltd. – H Shares	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	PRC	1993/08/06
00053	Guoco Group Ltd.	Bermuda	1983/05/03
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	PRC	2011/12/30
00630	Guojin Resources Holdings Ltd.	Bermuda	1996/11/28
01788	Guotai Junan International Holdings Ltd.	Hong Kong	2010/07/08
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
01169	Haier Electronics Group Co., Ltd.	Bermuda	1997/12/23
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00665	Haitong International Securities Group Ltd.	Bermuda	1996/08/06
00870	Hang Fung Gold Technology Ltd.	Bermuda	1999/03/16
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
00448	Hang Ten Group Holdings Ltd.	Bermuda	1987/10/30
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00275	Hanny Holdings Ltd.	Bermuda	1991/12/16
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
00474	Hao Tian Resources Group Ltd.	Cayman Islands	2006/06/06
01133	Harbin Electric Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
00428	Harmony Asset Ltd.	Cayman Islands	1993/12/14
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
03389	Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
00169	Hengli Commercial Properties (Group) Ltd.	Bermuda	2002/06/04
01085	Hengxin Technology Ltd.	Singapore	2010/12/23
00859	Henry Group Holdings Ltd.	Bermuda	2000/06/15
00114	Herald Holdings Ltd.	Bermuda	n.a.
00412	Heritage International Holdings Ltd.	Bermuda	1992/04/15
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
01393	Hidili Industry International Development Ltd.	Cayman Islands	2007/09/21
00608	High Fashion International Ltd.	Bermuda	1992/08/04
01623	Hilong Holding Ltd.	Cayman Islands	2011/04/21
00396	Hing Lee (HK) Holdings Ltd.	Bermuda	2009/06/22
00921	Hisense Kelon Electrical Holdings Co. Ltd. – H Shares	PRC	1996/07/23
00190	HKC (Holdings) Ltd.	Bermuda	1987/05/29
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09

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Code	Company name	Incorporation	Listing date
00480	HKR International Ltd.	Cayman Islands	1988/03/11
06823	HKT Trust and HKT Ltd – SS	Cayman Islands	2011/11/29
01087	HL Technology Group Ltd.	Cayman Islands	2010/11/16
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
00044	Hong Kong Aircraft Engineering Co. Ltd.	Hong Kong	n.a.
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
00145	Hong Kong Building and Loan Agency Ltd., The	Hong Kong	1972/07/01
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.
00060	Hong Kong Food Investment Holdings Ltd.	Hong Kong	1992/12/16
00207	Hong Kong Parkview Group Ltd., The	Bermuda	1973/03/06
02882	Hong Kong Resources Holdings Co. Ltd.	Bermuda	2003/06/30
01383	Hong Long Holdings Ltd.	Cayman Islands	2007/02/22
01028	Hongguo International Holdings Ltd.	Bermuda	2011/09/23
00196	Honghua Group Ltd.	Cayman Islands	2008/03/07
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
00946	Hontex International Holdings Co. Ltd.	Cayman Islands	2009/12/24
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Group Holdings Ltd.	Cayman Islands	1988/11/16
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00737	Hopewell Highway Infrastructure Ltd.	Cayman Islands	2003/08/06
00054	Hopewell Holdings Ltd.	Hong Kong	1972/08/21
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27
02200	Hosa International Ltd.	Cayman Islands	2011/12/16
00005	HSBC Holdings plc	England	n.a.
00404	Hsin Chong Construction Group Ltd.	Bermuda	1991/08/14
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	Cayman Islands	2002/12/10
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
00559	Hua Yi Copper Holdings Ltd.	Bermuda	1997/01/03
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
00364	Huafeng Group Holdings Ltd.	Cayman Islands	2002/08/30
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
00958	Huaneng Renewables Corporation Ltd. – H Shares	PRC	2011/06/10
01823	HUAYU Expressway Group Ltd.	Cayman Islands	2009/12/23
01280	Huiyin Household Appliances (Holdings) Co., Ltd.	Cayman Islands	2010/03/25
02626	Hunan Nonferrous Metals Corporation Ltd. – H Shares	PRC	2006/03/31
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
00704	Huscoke Resources Holdings Ltd.	Bermuda	1991/05/27
00715	Hutchison Harbour Ring Ltd.	Bermuda	1991/07/05
00215	Hutchison Telecommunications Hong Kong Holdings Ltd.	Cayman Islands	2009/05/08
00013	Hutchison Whampoa Ltd.	Hong Kong	1978/01/03
01188	Hybrid Kinetic Group Ltd.	Bermuda	1995/04/06
00499	HyComm Wireless Ltd.	Bermuda	1988/07/22
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
00999	I.T Ltd.	Bermuda	2005/03/04
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
00139	ICube Technology Holdings Ltd.	Bermuda	1994/03/15
00167	IDT International Ltd.	Bermuda	1988/10/21
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
00356	Incutech Investments Ltd.	Cayman Islands	2002/06/07
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00640	Infinity Chemical Holdings Co. Ltd.	Cayman Islands	2010/08/12
00596	Inspur International Ltd.	Cayman Islands	2004/04/29
00202	Interchina Holdings Co. Ltd.	Hong Kong	n.a.
01328	International Elite Ltd.	Cayman Islands	2007/10/16
01009	International Entertainment Corporation	Cayman Islands	2000/07/31
01683	International Mining Machinery Holdings Ltd.	Cayman Islands	2010/02/10
00873	International Taifeng Holdings Ltd.	Cayman Islands	2010/06/11
01833	Intime Department Store (Group) Co. Ltd.	Cayman Islands	2007/03/20
00982	iOne Holdings Ltd.	Bermuda	2008/07/14
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
01029	IRC Ltd.	Hong Kong	2010/10/21
00438	IRICO Group Electronics Co. Ltd. – H Shares	PRC	2004/12/20
00372	ITC Corporation Ltd.	Bermuda	1992/02/13
00199	ITC Properties Group Ltd.	Bermuda	1994/03/18
00776	JF Household Furnishings Ltd.	Cayman Islands	2005/10/13
00602	Jiahua Stores Holdings Ltd.	Cayman Islands	2007/05/21
01069	Jiangchen International Holdings Ltd.	Cayman Islands	2009/10/08
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
00549	Jilin Qifeng Chemical Fiber Co., Ltd. – H Shares	PRC	2006/06/21
01239	Jin Bao Bao Holdings Ltd.	Cayman Islands	2011/11/18
02362	Jinchuan Group International Resources Co. Ltd.	Cayman Islands	2003/10/27
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	PRC	1996/02/02
00872	Jinheng Automotive Safety Technology Holdings Ltd.	Cayman Islands	2004/12/09
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
00908	Jiuzhou Development Co. Ltd.	Bermuda	1998/05/26
02327	Jiwa Bio-Pharm Holdings Ltd.	Bermuda	2003/10/14
00472	JLF Investment Co. Ltd.	Bermuda	1988/01/29
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
02028	Jolimark Holdings Ltd.	Cayman Islands	2005/06/29
00647	Joyce Boutique Holdings Ltd.	Bermuda	1990/10/16
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03



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Code	Company name	Incorporation	Listing date
00758	Junefield Department Store Group Ltd.	Bermuda	1999/12/03
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
00822	Ka Shui International Holdings Ltd.	Cayman Islands	2007/06/27
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
01215	Kai Yuan Holdings Ltd.	Bermuda	1997/01/17
01638	Kaisa Group Holdings Ltd.	Cayman Islands	2009/12/09
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01159	Karce International Holdings Co. Ltd.	Bermuda	1998/03/13
00007	Karl Thomson Holdings Ltd.	Bermuda	2000/09/08
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
00847	Kazakhmys PLC	England	2011/06/29
00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
02011	KEE Holdings Co. Ltd.	Cayman Islands	2011/01/12
00464	Kenford Group Holdings Ltd.	Cayman Islands	2005/06/16
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00663	King Stone Energy Group Ltd.	Hong Kong	1990/11/30
00148	Kingboard Chemical Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
03888	Kingsoft Corporation Ltd.	Cayman Islands	2007/10/09
01031	Kingston Financial Group Ltd.	Bermuda	1996/07/23
00124	Kingway Brewery Holdings Ltd.	Bermuda	1997/08/08
01195	Kingwell Group Ltd.	Cayman Islands	2003/01/20
01110	Kingworld Medicines Group Ltd.	Cayman Islands	2010/11/25
01201	Kith Holdings Ltd.	Bermuda	1998/06/23
00381	Kiu Hung Energy Holdings Ltd.	Cayman Islands	2001/01/22
00827	Ko Yo Chemical (Group) Ltd.	Cayman Islands	2003/07/10
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
02266	Kosmopolito Hotels International Ltd.	Cayman Islands	2010/10/11
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
00645	KTP Holdings Ltd.	Bermuda	1993/12/22
00135	Kunlun Energy Co. Ltd.	Bermuda	1973/03/13
02310	Kwang Sung Electronics H.K. Co. Ltd.	Hong Kong	2003/07/04
01813	KWG Property Holding Ltd.	Cayman Islands	2007/07/03
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
00503	Lansen Pharmaceutical Holdings Ltd.	Cayman Islands	2010/05/07
02488	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11
00746	Lee & Man Chemical Co. Ltd.	Cayman Islands	2002/01/16
01488	Lee & Man Handbags Holding Ltd.	Cayman Islands	2011/06/27
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leepport (Holdings) Ltd.	Bermuda	2003/07/10
00950	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
00842	Leoch International Technology Ltd.	Cayman Islands	2010/11/16
01225	Lerado Group (Holding) Co. Ltd.	Bermuda	1998/12/18
00494	Li & Fung Ltd.	Bermuda	1992/07/01
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co Ltd – H Shares	PRC	2003/06/27
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
02005	Lijun International Pharmaceutical (Holding) Co. Ltd.	Cayman Islands	2005/12/20
03330	Lingbao Gold Co. Ltd. – H Shares	PRC	2006/01/12
00915	Linmark Group Ltd.	Bermuda	2002/05/10
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
00526	Lisi Group (Holdings) Ltd.	Bermuda	1995/10/11
00968	Little Sheep Group Ltd.	Cayman Islands	2008/06/12
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
00973	L'Occitane International S.A.	Luxembourg	2010/05/07
00960	Longfor Properties Co. Ltd.	Cayman Islands	2009/11/19
02898	Longrun Tea Group Co. Ltd.	Cayman Islands	2002/09/05
03339	Lonking Holdings Ltd.	Cayman Islands	2005/11/17
00988	Loudong General Nice Resources (China) Holdings Ltd.	Bermuda	1994/01/21
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Group (Vietnam Holdings) Co., Ltd.	Bermuda	1987/01/07
00348	Lung Cheong International Holdings Ltd.	Cayman Islands	1997/09/30
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
00231	Madex International (Holdings) Ltd.	Bermuda	n.a.

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Code	Company name	Incorporation	Listing date
01633	Magic Holdings International Ltd.	Cayman Islands	2010/09/24
00201	Magnificent Estates Ltd.	Hong Kong	1972/11/17
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
00938	Man Sang International Ltd.	Bermuda	1997/09/26
01999	Man Wah Holdings Ltd.	Bermuda	2010/04/09
00894	Man Yue Technology Holdings Ltd.	Bermuda	1997/03/05
00936	Manta Holdings Co. Ltd.	Cayman Islands	2010/07/19
00945	Manulife Financial Corporation	Canada	1999/09/27
00848	Maoye International Holdings Ltd.	Cayman Islands	2008/05/05
00136	Mascotte Holdings Ltd.	Bermuda	1997/09/25
00905	Mastermind Capital Ltd.	Cayman Islands	1998/07/17
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
00419	Media China Corporation Ltd.	Cayman Islands	1987/07/08
00685	Media Chinese International Ltd.	Bermuda	1991/03/22
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
00953	Meike International Holdings Ltd.	Cayman Islands	2010/02/01
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
06883	Melco Crown Entertainment Ltd.	Cayman Islands	2011/12/07
00200	Melco International Development Ltd.	Hong Kong	n.a.
01618	Metallurgical Corporation of China Ltd. – H Shares	PRC	2009/09/24
00022	Mexan Ltd.	Bermuda	1972/12/14
02282	MGM China Holdings Ltd.	Cayman Islands	2011/06/03
00853	MicroPort Scientific Corporation	Cayman Islands	2010/09/24
01021	Midas Holdings Ltd.	Singapore	2010/10/06
01172	Midas International Holdings Ltd.	Cayman Islands	1996/06/12
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00459	Midland IC&I Ltd.	Cayman Islands	2001/03/15
01555	MIE Holdings Corporation	Cayman Islands	2010/12/14
01150	Milan Station Holdings Ltd.	Cayman Islands	2011/05/23
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
03828	Ming Fai International Holdings Ltd.	Cayman Islands	2007/11/02
00860	Ming Fung Jewellery Group Ltd.	Cayman Islands	2002/09/03
00846	Mingfa Group (International) Co. Ltd.	Cayman Islands	2009/11/13
00233	Mingyuan Medicare Development Co. Ltd.	Bermuda	1986/03/19
00230	Minmetals Land Ltd.	Bermuda	1991/12/20
01208	Minmetals Resources Ltd.	Hong Kong	1994/12/15
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
02358	Mitsumaru East Kit (Holdings) Ltd.	Cayman Islands	2004/07/15
00947	MOBI Development Co., Ltd.	Cayman Islands	2009/12/17
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
00919	Modern Beauty Salon Holdings Ltd.	Cayman Islands	2006/02/09
01082	Modern Education Group Ltd.	Cayman Islands	2011/07/04
00072	Modern Media Holdings Ltd.	Cayman Islands	2009/09/09
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
00276	Mongolia Energy Corporation Ltd.	Bermuda	1972/08/23
00402	Mongolia Investment Group Ltd.	Cayman Islands	2006/03/14
00975	Mongolian Mining Corporation	Cayman Islands	2010/10/13
00542	Morning Star Resources Ltd.	Cayman Islands	1989/11/23
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
01229	Nan Nan Resources Enterprise Ltd.	Bermuda	1995/04/13
00553	Nanjing Panda Electronics Co. Ltd. – H Shares	PRC	1996/05/02
01708	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
01227	National Investments Fund Ltd.	Cayman Islands	2002/09/27
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00462	Natural Dairy (NZ) Holdings Ltd.	Cayman Islands	2005/06/10
01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
00070	Neptune Group Ltd.	Hong Kong	n.a.
01049	Net2Gather (China) Holdings Ltd.	Bermuda	1994/04/27
00777	NetDragon Websoft Inc.	Cayman Islands	2008/06/24
01062	New Capital International Investment Ltd.	Cayman Islands	1994/05/10
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
01336	New China Life Insurance Co. Ltd. – H Shares	PRC	2011/12/15
00456	New City (China) Development Ltd.	Cayman Islands	1993/07/14
03989	New Environmental Energy Holdings Ltd.	Cayman Islands	2006/07/13
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
00095	New Heritage Holdings Ltd.	Cayman Islands	2005/12/02
00377	New Island Printing Holdings Ltd.	Bermuda	1993/04/19
00708	New Media Group Holdings Ltd.	Hong Kong	2008/02/12
00091	New Smart Energy Group Ltd.	Hong Kong	1981/06/29
00166	New Times Energy Corporation Ltd.	Bermuda	1998/10/13
00917	New World China Land Ltd.	Cayman Islands	1999/07/16
00825	New World Department Store China Ltd.	Cayman Islands	2007/07/12
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00055	Newway Group Holdings Ltd.	Bermuda	1992/09/10
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
01231	Newton Resources Ltd.	Cayman Islands	2011/07/04
01323	Newtree Group Holdings Ltd.	Cayman Islands	2011/01/13
00282	Next Media Ltd.	Hong Kong	n.a.
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25

## List of listed companies on Main Board (continued)

(End of 2011)

Code	Company name	Incorporation	Listing date
00332	Ngai Lik Industrial Holdings Ltd.	Bermuda	1992/09/25
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
00475	Noble Jewelry Holdings Ltd.	Cayman Islands	2007/04/17
02339	Norstar Founders Group Ltd.	Cayman Islands	2003/10/10
00061	North Asia Resources Holdings Ltd.	Bermuda	1999/07/06
00433	North Mining Shares Co. Ltd.	Bermuda	n.a.
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
01048	Novo Group Ltd.	Singapore	2010/12/06
02222	NVC Lighting Holding Ltd.	Cayman Islands	2010/05/20
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
01220	Ocean Grand Holdings Ltd.	Bermuda	1997/09/23
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
00877	O-Net Communications (Group) Ltd.	Cayman Islands	2010/04/29
01140	OP Financial Investments Ltd.	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Bermuda	2002/02/28
01132	Orange Sky Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00996	Oriental Ginza Holdings Ltd.	Bermuda	1994/02/17
00018	Oriental Press Group Ltd.	Hong Kong	1987/08/18
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
06880	OTO Holdings Ltd.	Cayman Islands	2011/12/13
03366	Overseas Chinese Town (Asia) Holdings Ltd.	Cayman Islands	2005/11/02
01174	Pacific Andes International Holdings Ltd.	Bermuda	1994/10/03
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00432	Pacific Century Premium Development Ltd.	Bermuda	1993/09/20
00543	Pacific Online Ltd.	Cayman Islands	2007/12/18
00767	Pacific Plywood Holdings Ltd.	Bermuda	1995/11/20
01382	Pacific Textiles Holdings Ltd.	Cayman Islands	2007/05/18
01010	PacMOS Technologies Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
00556	Pan Asia Environmental Protection Group Ltd.	Cayman Islands	2007/12/21
01180	Paradise Entertainment Ltd.	Bermuda	1997/01/20
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00577	Paul Y. Engineering Group Ltd.	Bermuda	1996/04/25
00327	PAX Global Technology Ltd.	Bermuda	2010/12/20
00008	PCCW Ltd.	Hong Kong	1994/10/18
00331	PCD Stores (Group) Ltd.	Cayman Islands	2009/12/15
01968	Peak Sport Products Co., Ltd.	Cayman Islands	2009/09/29
00632	Pearl Oriental Oil Ltd.	Bermuda	1993/04/30
01187	Pearl River Tyre (Holdings) Ltd.	Bermuda	1999/06/08
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
01822	Perception Digital Holdings Ltd.	Cayman Islands	2009/12/16
00725	Perennial International Ltd.	Bermuda	1996/12/30
00765	Perfectech International Holdings Ltd.	Bermuda	1992/10/23
00850	PetroAsian Energy Holdings Ltd.	Cayman Islands	2002/11/13
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07
02008	Phoenix Satellite Television Holdings Ltd.	Cayman Islands	2000/06/30
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
01079	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	PRC	2004/06/24
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
00869	Playmates Toys Ltd.	Bermuda	2008/02/01
00379	PME Group Ltd.	Cayman Islands	2002/11/13
00221	PNG Resources Holdings Ltd.	Cayman Islands	2002/11/07
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
00119	Poly (Hong Kong) Investments Ltd.	Hong Kong	1973/08/30
00208	Polytec Asset Holdings Ltd.	Cayman Islands	1998/09/09
00589	Ports Design Ltd.	Bermuda	2003/10/31
03813	Pou Sheng International (Holdings) Ltd.	Bermuda	2008/06/06
00006	Power Assets Holdings Ltd.	Hong Kong	n.a.
01238	Powerlong Real Estate Holdings Ltd.	Cayman Islands	2009/10/14
01913	PRADA S.p.A.	Italy	2011/06/24
01259	Prince Frog International Holdings Ltd.	Cayman Islands	2011/07/15
00803	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
00334	Proview International Holdings Ltd.	Bermuda	1997/06/18
02378	Prudential plc	England	2010/05/25
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
00498	PYI Corporation Ltd.	Bermuda	1993/09/21
00516	Pyxis Group Ltd.	Bermuda	1992/09/03
01236	Qianlong Technology International Holdings Ltd.	Cayman Islands	1999/12/17
02366	Qin Jia Yuan Media Services Co. Ltd.	Cayman Islands	2004/06/30
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
00952	Quam Ltd.	Bermuda	1997/09/24
03868	Qunxing Paper Holdings Co. Ltd.	Cayman Islands	2007/10/02
00901	Radford Capital Investment Ltd.	Cayman Islands	2002/02/28
00033	Rainbow Brothers Holdings Ltd.	Cayman Islands	2007/11/19
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22
00802	RCG Holdings Ltd.	Bermuda	2009/02/10

## List of listed companies on Main Board (continued)

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Code	Company name	Incorporation	Listing date
00246	Real Gold Mining Ltd.	Cayman Islands	2009/02/23
02010	Real Nutraceutical Group Ltd.	Cayman Islands	2010/02/19
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
01997	Regent Manner International Holdings Ltd.	Cayman Islands	2007/07/10
00575	Regent Pacific Group Ltd.	Cayman Islands	1997/05/19
01387	Renhe Commercial Holdings Co. Ltd.	Cayman Islands	2008/10/22
00376	ReOrient Group Ltd.	Hong Kong	1987/07/17
00555	REXLot Holdings Ltd.	Bermuda	2000/09/28
00183	Richfield Group Holdings Ltd.	Cayman Islands	2007/09/05
00313	Richly Field China Development Ltd.	Bermuda	1993/01/05
01004	Rising Development Holdings Ltd.	Bermuda	1997/10/09
00281	Rivera (Holdings) Ltd.	Hong Kong	1973/01/23
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
00888	RoadShow Holdings Ltd.	Bermuda	2001/06/28
01189	Rosedale Hotel Holdings Ltd.	Bermuda	1997/10/06
01198	Royale Furniture Holdings Ltd.	Cayman Islands	2002/05/15
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
02322	Sam Woo Holdings Ltd.	Bermuda	2003/04/09
00451	Same Time Holdings Ltd.	Bermuda	1992/03/25
03938	Samling Global Ltd.	Bermuda	2007/03/07
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
01910	Samsonite International S.A.	Luxembourg	2011/06/16
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
01928	Sands China Ltd.	Cayman Islands	2009/11/30
00631	Sany Heavy Equipment International Holdings Co. Ltd.	Cayman Islands	2009/11/25
01768	Sateri Holdings Ltd.	Bermuda	2010/12/08
06488	SBI Holdings, Inc. – DR	Japan	2011/04/14
00955	Schramm Holding AG	Germany	2009/12/29
00583	SCMP Group Ltd.	Bermuda	1990/06/29
01399	Scud Group Ltd.	Cayman Islands	2006/12/21
00491	See Corporation Ltd.	Bermuda	1992/06/10
00205	SEEC Media Group Ltd.	Cayman Islands	1993/01/05
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
01812	Shandong Chenming Paper Holdings Ltd. – H Shares	PRC	2008/06/18
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2007/02/07
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00563	Shanghai Industrial Urban Development Group Ltd.	Bermuda	1993/09/10
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares	PRC	2006/12/15
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	PRC	2011/05/20
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	PRC	2006/04/27
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
00851	Sheng Yuan Holdings Ltd.	Bermuda	1998/12/02
01080	Shengli Oil & Gas Pipe Holdings Ltd.	Cayman Islands	2009/12/18
00829	Shenguan Holdings (Group) Ltd.	Cayman Islands	2009/10/13
00300	Shenji Group Kunming Machine Tool Co. Ltd. – H Shares	PRC	1993/12/07
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00218	Shenyin Wanguo (H.K.) Ltd.	Hong Kong	n.a.
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
00106	Shenzhen High-Tech Holdings Ltd.	Bermuda	1986/03/24
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07
02313	Shenzhou International Group Holdings Ltd.	Cayman Islands	2005/11/24
01831	ShiFang Holding Ltd.	Cayman Islands	2010/12/03
00813	Shimao Property Holdings Ltd.	Cayman Islands	2006/07/05
02728	Shinhint Acoustic Link Holdings Ltd.	Cayman Islands	2005/07/14
00312	Shirble Department Store Holdings (China) Ltd.	Cayman Islands	2010/11/17
00666	SHK Hong Kong Industries Ltd.	Hong Kong	1990/12/21
00103	Shougang Concord Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00697	Shougang Concord International Enterprises Co. Ltd.	Hong Kong	1991/04/30
00521	Shougang Concord Technology Holdings Ltd.	Hong Kong	1988/12/23
00639	Shougang Fushan Resources Group Ltd.	Hong Kong	1990/10/02
01241	Shuanghua Holdings Ltd.	Cayman Islands	2011/06/30
00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00650	Shun Cheong Holdings Ltd.	Bermuda	1992/10/07
00253	Shun Ho Resources Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Technology Holdings Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
01165	Shunfeng Photovoltaic International Ltd.	Cayman Islands	2011/07/13
01142	Siberian Mining Group Co. Ltd.	Cayman Islands	2002/11/08
00107	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
00460	Sihuan Pharmaceutical Holdings Group Ltd.	Bermuda	2010/10/28
01863	Sijia Group Co. Ltd.	Cayman Islands	2010/04/29
00886	Silver Base Group Holdings Ltd.	Cayman Islands	2009/04/08
00171	Silver Grant International Industries Ltd.	Hong Kong	n.a.
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30

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Code	Company name	Incorporation	Listing date
00993	Simsen International Corporation Ltd.	Bermuda	1994/02/16
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
00395	Sino Dragon New Energy Holdings Ltd.	Cayman Islands	2002/10/28
00260	Sino Gas Group Ltd.	Hong Kong	n.a.
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01106	Sino Haijing Holdings Ltd.	Cayman Islands	2003/06/25
01663	Sino Harbour Property Group Ltd.	Bermuda	2011/07/22
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00702	Sino Oil and Gas Holdings Ltd.	Bermuda	2000/02/09
00766	Sino Prosper State Gold Resources Holdings Ltd.	Cayman Islands	2002/05/15
00223	Sino Resources Group Ltd.	Cayman Islands	2002/04/10
00346	Sino Union Energy Investment Group Ltd.	Bermuda	2001/04/19
00299	SinoCom Software Group Ltd.	Cayman Islands	2004/04/30
00476	Sinocop Resources (Holdings) Ltd.	Bermuda	1996/05/24
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
00250	Sino-i Technology Ltd.	Hong Kong	n.a.
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
00623	SinoMedia Holding Ltd.	Hong Kong	2008/07/08
03377	Sino-Ocean Land Holdings Ltd.	Hong Kong	2007/09/28
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01033	Sinopec Yizheng Chemical Fibre Co. Ltd. – H Shares	PRC	1994/03/29
01099	Sinopharm Group Co. Ltd. – H Shares	PRC	2009/09/23
00729	Sinopoly Battery Ltd.	Bermuda	1991/10/03
01020	Sinoref Holdings Ltd.	Cayman Islands	2010/07/07
00724	Sino-Tech International Holdings Ltd.	Bermuda	2000/07/03
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
00368	Sinotrans Shipping Ltd.	Hong Kong	2007/11/23
03808	Sinotruk (Hong Kong) Ltd.	Hong Kong	2007/11/28
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01308	SITC International Holdings Co. Ltd.	Cayman Islands	2010/10/06
01023	Sitoy Group Holdings Ltd.	Cayman Islands	2011/12/06
00880	SJM Holdings Ltd.	Hong Kong	2008/07/16
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
00751	Skyworth Digital Holdings Ltd.	Bermuda	2000/04/07
02700	Smart Union Group (Holdings) Ltd.	Cayman Islands	2006/09/29
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
00198	SMI Corporation Ltd.	Bermuda	1973/02/07
00983	SOCAM Development Ltd.	Bermuda	1997/02/03
00410	SOHO China Ltd.	Cayman Islands	2007/10/08
00757	Solargiga Energy Holdings Ltd.	Cayman Islands	2008/03/31
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00967	Sound Global Ltd.	Singapore	2010/09/30
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00413	South China (China) Ltd.	Cayman Islands	1987/06/16
00619	South China Financial Holdings Ltd.	Hong Kong	1993/07/16
00265	South China Holdings Ltd.	Cayman Islands	n.a.
00726	South East Group Ltd.	Bermuda	1991/07/25
00076	South Sea Petroleum Holdings Ltd.	Hong Kong	1984/09/24
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
01878	SouthGobi Resources Ltd.	Canada	2010/01/29
00970	Sparkle Roll Group Ltd.	Bermuda	1997/09/18
00337	SPG Land (Holdings) Ltd.	Cayman Islands	2006/10/10
01700	Springland International Holdings Ltd.	Cayman Islands	2010/10/21
01251	SPT Energy Group Inc.	Cayman Islands	2011/12/23
01207	SRE Group Ltd.	Bermuda	1999/12/10
02888	Standard Chartered PLC	England	2002/10/31
00485	Starlight International Holdings Ltd.	Bermuda	1988/04/12
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
01836	Stella International Holdings Ltd.	Cayman Islands	2007/07/06
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
00852	Strong Petrochemical Holdings Ltd.	Cayman Islands	2009/01/12
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
00487	Success Universe Group Ltd.	Bermuda	1988/05/03
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00102	Summit Ascent Holdings Ltd.	Bermuda	1994/01/10
01089	Sumpo Food Holdings Ltd.	Cayman Islands	2011/01/11
06808	Sun Art Retail Group Ltd.	Hong Kong	2011/07/27
00365	Sun East Technology (Holdings) Ltd.	Bermuda	2000/10/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00547	Sun Innovation Holdings Ltd.	Bermuda	1992/07/06
00580	Sun.King Power Electronics Group Ltd.	Cayman Islands	2010/10/13
01918	Sunac China Holdings Ltd.	Cayman Islands	2010/10/07
01063	Suncorp Technologies Ltd.	Bermuda	1994/05/10
02288	Sundart International Holdings Ltd.	Cayman Islands	2009/08/21
02336	Sunlink International Holdings Ltd.	Cayman Islands	2003/03/03
02382	Sunny Optical Technology (Group) Co. Ltd.	Cayman Islands	2007/06/15
00188	Sunwah Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15

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Code	Company name	Incorporation	Listing date
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
01228	Superb Summit International Timber Co. Ltd.	Cayman Islands	2001/09/18
00723	Sustainable Forest Holdings Ltd.	Bermuda	1991/07/18
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
01991	Ta Yang Group Holdings Ltd.	Cayman Islands	2007/06/08
00928	Tack Fiori International Group Ltd.	Cayman Islands	2002/04/29
00611	Tack Hsin Holdings Ltd.	Bermuda	1993/02/12
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00126	Tak Sing Alliance Holdings Ltd.	Bermuda	1991/11/01
00918	Takson Holdings Ltd.	Bermuda	1997/10/15
00760	Talent Property Group Ltd.	Bermuda	1995/08/08
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
01181	Tang Palace (China) Holdings Ltd.	Cayman Islands	2011/04/19
00812	Tanrich Financial Holdings Ltd.	Bermuda	2002/01/30
00573	Tao Heung Holdings Ltd.	Cayman Islands	2007/06/29
00621	Taung Gold International Ltd.	Bermuda	1995/12/18
00515	TC Interconnect Holdings Ltd.	Cayman Islands	2006/06/23
01136	TCC International Holdings Ltd.	Cayman Islands	1997/10/06
02618	TCL Communication Technology Holdings Ltd.	Cayman Islands	2004/09/27
01070	TCL Multimedia Technology Holdings Ltd.	Cayman Islands	1999/11/26
03823	Tech Pro Technology Development Ltd.	Cayman Islands	2007/09/06
01298	Techcomp (Holdings) Ltd.	Bermuda	2011/12/21
01206	Technovator International Ltd.	Singapore	2011/10/27
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
01143	Telefield International (Holdings) Ltd.	Cayman Islands	2011/01/27
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
00204	Temujin International Investments Ltd.	Bermuda	2000/12/12
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
06868	Tenfu (Cayman) Holdings Co. Ltd.	Cayman Islands	2011/09/26
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
02118	Tian Shan Development (Holding) Ltd.	Cayman Islands	2010/07/15
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
00455	Tianda Holdings Ltd.	Cayman Islands	1992/03/30
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
00826	Tiangong International Co. Ltd.	Cayman Islands	2007/07/26
01065	Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
01265	Tianjin Tianlian Public Utilities Co. Ltd. - H Shares	PRC	2004/01/09
00819	Tianneng Power International Ltd.	Cayman Islands	2007/06/11
00756	Tianyi Fruit Holdings Ltd.	Cayman Islands	2008/07/10
01115	Tibet 5100 Water Resources Holdings Ltd.	Cayman Islands	2011/06/30
00322	Tingyi (Cayman Islands) Holding Corp.	Cayman Islands	1996/02/05
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
00258	Tomson Group Ltd.	Cayman Islands	n.a.
01666	Tong Ren Tang Technologies Co. Ltd. - H Shares	PRC	2000/10/31
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
00978	Tonic Industries Holdings Ltd.	Cayman Islands	1997/10/16
00333	Top Form International Ltd.	Bermuda	1991/12/16
03688	Top Spring International Holdings Ltd.	Cayman Islands	2011/03/23
02323	Topsearch International (Holdings) Ltd.	Bermuda	2002/06/21
03886	Town Health International Investments Ltd.	Bermuda	2000/10/18
01083	Towngas China Co. Ltd.	Cayman Islands	2005/12/08
00903	TPV Technology Ltd.	Bermuda	1999/10/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
00062	Transport International Holdings Ltd.	Bermuda	n.a.
00325	Trauson Holdings Co. Ltd.	Cayman Islands	2010/06/29
01235	Travel Expert (Asia) Enterprises Ltd.	Cayman Islands	2011/09/29
00696	TravelSky Technology Ltd. - H Shares	PRC	2001/02/07
00891	Trinity Ltd.	Bermuda	2009/11/03
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
02468	Trony Solar Holdings Co. Ltd.	Cayman Islands	2010/10/07
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
00206	TSC Group Holdings Ltd.	Cayman Islands	2005/11/28
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. - H Shares	PRC	1993/07/15
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
00620	UDL Holdings Ltd.	Bermuda	1991/09/30
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
00220	Uni-President China Holdings Ltd.	Cayman Islands	2007/12/17
00486	United Company RUSAL Plc	Jersey	2010/01/27
00467	United Energy Group Ltd.	Bermuda	1992/04/08
00399	United Gene High-Tech Group Ltd.	Cayman Islands	2000/08/23

## List of listed companies on Main Board (continued)

(End of 2011)

Code	Company name	Incorporation	Listing date
03933	United Laboratories International Holdings Ltd., The	Cayman Islands	2007/06/15
00176	United Pacific Industries Ltd.	Bermuda	1994/04/28
00913	Unity Investments Holdings Ltd.	Cayman Islands	1999/10/27
01026	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
01046	Universe International Holdings Ltd.	Bermuda	1999/07/20
00307	Up Energy Development Group Ltd.	Bermuda	1992/12/02
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
00627	U-RIGHT International Holdings Ltd.	Bermuda	2000/11/13
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
06210	Vale S.A. – DR	Brazil	2010/12/08
00821	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
00806	Value Partners Group Ltd.	Cayman Islands	2007/11/22
01001	Van Shung Chong Holdings Ltd.	Bermuda	1994/02/18
00015	Vantage International (Holdings) Ltd.	Bermuda	2000/09/08
00710	Varitronix International Ltd.	Bermuda	1991/07/01
02317	Vedan International (Holdings) Ltd	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Cayman Islands	2007/12/20
03331	Vinda International Holdings Ltd.	Cayman Islands	2007/07/10
00862	Vision Values Holdings Ltd.	Cayman Islands	1998/10/14
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01178	Vitop Bioenergy Holdings Ltd.	Cayman Islands	2003/02/10
00082	VODone Ltd.	Bermuda	1991/10/25
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
00856	VST Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00727	VXL Capital Ltd.	Hong Kong	1998/01/16
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
00159	Wah Nam International Holdings Ltd.	Bermuda	1985/07/05
01013	Wai Chun Group Holdings Ltd.	Bermuda	1997/02/03
00660	Wai Chun Mining Industry Group Co. Ltd.	Cayman Islands	1993/02/05
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01386	Walker Group Holdings Ltd.	Cayman Islands	2007/06/07
01222	Wang On Group Ltd.	Bermuda	1995/02/28
00151	Want Want China Holdings Ltd.	Cayman Islands	2008/03/26
00607	Warderly International Holdings Ltd.	Cayman Islands	2002/12/18
03393	Wasion Group Holdings Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
00021	Waytung Global Group Ltd.	Hong Kong	n.a.
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24
00382	Welling Holding Ltd.	Hong Kong	1993/12/20
02233	West China Cement Ltd.	Jersey	2010/08/23
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
00020	Wheelock and Co. Ltd.	Hong Kong	n.a.
00273	Willie International Holdings Ltd.	Hong Kong	n.a.
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00063	Winfoong International Ltd.	Bermuda	1986/02/26
00302	Wing Hang Bank, Ltd.	Hong Kong	1993/07/02
00876	Wing Lee Holdings Ltd.	Bermuda	1997/04/10
00289	Wing On Company International Ltd.	Bermuda	n.a.
00369	Wing Tai Properties Ltd.	Bermuda	1973/02/07
06838	Winox Holdings Ltd.	Cayman Islands	2011/07/20
01036	Winsor Properties Holdings Ltd.	Cayman Islands	1996/11/08
01733	Winsway Coking Coal Holdings Ltd.	British Virgin Islands	2010/10/11
00570	Winteam Pharmaceutical Group Ltd.	Hong Kong	1993/04/07
00720	Wo Kee Hong (Holdings) Ltd.	Bermuda	1991/07/16
00099	Wong's International (Holdings) Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
01282	World Wide Touch Technology (Holdings) Ltd.	Cayman Islands	2010/12/15
00305	Wuling Motors Holdings Ltd.	Bermuda	1992/11/23
01025	Wumart Stores, Inc. – H Shares	PRC	2003/11/21
01889	Wuyi International Pharmaceutical Co. Ltd.	Cayman Islands	2007/02/01
01128	Wynn Macau, Ltd.	Cayman Islands	2009/10/09
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
00871	Xiangyu Dredging Holdings Ltd.	Cayman Islands	2011/06/20
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00098	Xingfa Aluminium Holdings Ltd.	Cayman Islands	2008/03/31
00505	Xingye Copper International Group Ltd.	Cayman Islands	2007/12/27
00309	Xinhua News Media Holdings Ltd.	Cayman Islands	2003/07/25
00811	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	PRC	2007/05/30
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	PRC	2010/10/08
00840	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2008/01/24
03833	Xinjiang Xinxin Mining Industry Co., Ltd. – H Shares	PRC	2007/10/12
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
02088	Xiwang Sugar Holdings Co. Ltd.	Bermuda	2005/12/09
00185	Xpress Group Ltd.	Hong Kong	1972/12/20
01368	Xtep International Holdings Ltd.	Cayman Islands	2008/06/03
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
02218	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22

## List of listed companies on Main Board (continued)

(End of 2011)

Code	Company name	Incorporation	Listing date
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
01230	Yashili International Holdings Ltd.	Cayman Islands	2010/11/01
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
02168	Yingde Gases Group Co. Ltd.	Cayman Islands	2009/10/08
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
02268	Youyuan International Holdings Ltd.	Cayman Islands	2010/05/27
02789	Yuanda China Holdings Ltd.	Cayman Islands	2011/05/17
00629	Yue Da Mining Holdings Ltd.	Cayman Islands	2001/11/29
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
01191	Yueshou Environmental Holdings Ltd.	Bermuda	1994/10/26
00123	Yuexiu Property Co. Ltd.	Hong Kong	1992/12/15
01052	Yuexiu Transport Infrastructure Ltd.	Bermuda	1997/01/30
00613	Yugang International Ltd.	Bermuda	1993/11/12
00096	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
01628	Yuzhou Properties Co. Ltd.	Cayman Islands	2009/11/02
02098	Zall Development (Cayman) Holding Co., Ltd.	Cayman Islands	2011/07/13
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
00739	Zhejiang Glass Co. Ltd. – H Shares	PRC	2001/12/10
01057	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
03363	Zhengye International Holdings Co. Ltd.	Bermuda	2011/06/03
03928	Zhengzhou China Resources Gas Co. Ltd. – H Shares	PRC	2007/06/29
00672	Zhong An Real Estate Ltd.	Cayman Islands	2007/11/13
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
00909	Zhongda International Holdings Ltd.	Bermuda	2001/11/01
00881	Zhongsheng Group Holdings Ltd.	Cayman Islands	2010/03/26
02379	Zhongtian International Ltd.	Cayman Islands	2004/09/22
01176	Zhuguang Holdings Group Co. Ltd.	Bermuda	1996/12/09
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
00948	Z-Obee Holdings Ltd.	Bermuda	2010/03/01
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd.-Hsh	PRC	2010/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09

n.a. Information is not available



## List of listed companies on GEM

(End of 2011)

Code	Company name	Incorporation	Listing date
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08061	AcrossAsia Ltd.	Cayman Islands	2000/07/13
08210	Advanced Card Systems Holdings Ltd.	Cayman Islands	2003/11/10
08279	AGTech Holdings Ltd.	Bermuda	2004/01/19
08298	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
08295	Asian Capital Holdings Ltd.	Cayman Islands	2010/06/18
08025	Asian Capital Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08148	Aurum Pacific (China) Group Ltd.	Cayman Islands	2004/01/09
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	PRC	2000/07/27
08220	Bingo Group Holdings Ltd.	Cayman Islands	2002/11/12
08035	Binhai Investment Co. Ltd.	Bermuda	2000/03/16
08247	Biosino Bio-Technology and Science Incorporation – H Shares	PRC	2006/02/27
08312	Brilliance Worldwide Holdings Ltd.	Cayman Islands	2010/11/25
08235	CCID Consulting Co. Ltd. – H Shares	PRC	2002/12/12
08020	Chanceton Financial Group Ltd.	Cayman Islands	2011/10/12
08217	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	PRC	2006/02/23
08208	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
08087	China 33 Media Group Ltd.	Cayman Islands	2011/02/28
08078	China 3D Digital Entertainment Ltd.	Bermuda	2000/12/19
08186	China Asean Resources Ltd.	Bermuda	2001/12/31
08176	China AU Group Holdings Ltd.	Cayman Islands	2002/02/19
08321	China Automotive Interior Decoration Holdings Ltd.	Cayman Islands	2010/09/29
08129	China Bio Cassava Holdings Ltd.	Cayman Islands	2001/05/18
08158	China Bio-Med Regeneration Technology Ltd.	Cayman Islands	2001/07/18
08206	China Communication Telecom Services Co. Ltd.	Cayman Islands	2002/11/15
08016	China Data Broadcasting Holdings Ltd.	Bermuda	2000/01/24
08175	China Digital Licensing (Group) Ltd.	Cayman Islands	2003/02/25
08166	China Eco-Farming Ltd.	Bermuda	2002/02/05
08055	China E-Learning Group Ltd.	Cayman Islands	2001/12/05
08053	China Electric Power Technology Holdings Ltd.	Cayman Islands	2004/08/06
08128	China Ground Source Energy Ltd.	Cayman Islands	2001/11/30
08178	China Information Technology Development Ltd.	Cayman Islands	2001/12/11
08083	China Innovationpay Group Ltd.	Bermuda	2000/04/14
08270	China Leason CBM & Shale Gas Group Co. Ltd.	Cayman Islands	2003/08/12
08161	China LotSynergy Holdings Ltd.	Bermuda	2001/10/26
08120	China Medical and Bio Science Ltd.	Cayman Islands	2001/04/10
08250	China Natural Investment Co. Ltd.	Cayman Islands	2004/06/18
08071	China Netcom Technology Holdings Ltd.	Cayman Islands	2001/03/02
08306	China Nonferrous Metals Co. Ltd.	Bermuda	2005/02/28
08041	China Post E-Commerce (Holdings) Ltd.	Cayman Islands	2000/07/07
08117	China Primary Resources Holdings Ltd.	Cayman Islands	2001/12/13
08116	China Public Healthcare (Holding) Ltd.	Cayman Islands	2000/07/25
08089	China Railway Logistics Ltd.	Bermuda	2000/05/18
08111	China Technology Solar Power Holdings Ltd.	Cayman Islands	2001/01/03
08171	China Trends Holdings Ltd.	Cayman Islands	2002/07/31
08156	China Vanguard Group Ltd.	Cayman Islands	2002/11/12
08006	China.com Inc.	Cayman Islands	2000/03/09
08272	Chinese Food and Beverage Group Ltd.	Cayman Islands	2003/06/27
08233	CIG Yangtze Ports PLC	Cayman Islands	2005/09/16
08098	CL Group (Holdings) Ltd.	Cayman Islands	2011/03/08
08153	Code Agriculture (Holdings) Ltd.	Bermuda	2001/03/30
08190	Combest Holdings Ltd.	Cayman Islands	2002/02/08
08081	Computech Holdings Ltd.	Cayman Islands	2000/06/19
08109	Creative Energy Solutions Holdings Ltd.	Bermuda	2002/01/31
08207	Credit China Holdings Ltd.	Cayman Islands	2010/11/19
08088	Crosby Capital Ltd.	Cayman Islands	2000/04/17
08243	Dahe Media Co. Ltd. – H Shares	PRC	2003/11/13
08007	DIGITALHONGKONG.COM	Cayman Islands	2000/04/17
08337	Directel Holdings Ltd.	Cayman Islands	2010/06/02
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08213	Epicurean and Co., Ltd.	Cayman Islands	2003/03/18
08086	ePRO Ltd.	Cayman Islands	2000/08/02
08043	ERA Mining Machinery Ltd.	Cayman Islands	2001/06/28
08048	Excel Technology International Holdings Ltd.	Bermuda	2000/06/30
08132	Fairson Holdings Ltd.	Cayman Islands	2011/05/18
08108	FAVA International Holdings Ltd.	Bermuda	2000/07/14
08317	Finet Group Ltd.	Bermuda	2005/01/07
08123	First China Financial Network Holdings Ltd.	Cayman Islands	2002/01/11
08215	First Credit Holdings Ltd.	Cayman Islands	2011/12/13
08050	FlexSystem Holdings Ltd.	Cayman Islands	2000/07/24
08112	Focus Media Network Ltd.	Cayman Islands	2011/07/28
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08179	Gayety Holdings Ltd.	Cayman Islands	2011/07/08
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08192	Global Energy Resources International Group Ltd.	Bermuda	2002/11/29
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Cayman Islands	2002/01/04
08299	Grand T G Gold Holdings Ltd.	Cayman Islands	2004/09/23
08003	Great World Company Holdings Ltd.	Cayman Islands	1999/12/02
08193	GreaterChina Professional Services Ltd.	Cayman Islands	2011/05/31
08019	Hao Wen Holdings Ltd.	Cayman Islands	2001/07/20
08292	HC International, Inc.	Cayman Islands	2003/12/17
08046	Heng Xin China Holdings Ltd.	Bermuda	2003/04/16
08137	Honbridge Holdings Ltd.	Cayman Islands	2002/01/08
08212	Hong Kong Life Group Holdings Ltd.	Cayman Islands	2002/05/14
08143	Hua Xia Healthcare Holdings Ltd.	Cayman Islands	2002/05/10

## List of listed companies on GEM (continued)

(End of 2011)

Code	Company name	Incorporation	Listing date
08009	iMerchants Ltd.	Hong Kong	2000/03/31
08202	Inno-Tech Holdings Ltd.	Bermuda	2002/08/12
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08165	Jian ePayment Systems Ltd.	Cayman Islands	2001/12/10
08045	Jiangsu Nandasoft Technology Co. Ltd. – H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08203	Kaisun Energy Group Ltd.	Cayman Islands	2004/01/20
08172	KH Investment Holdings Ltd.	Bermuda	2002/03/26
08351	Larry Jewelry International Co. Ltd.	Bermuda	2009/10/07
08160	Legend Strategy International Holdings Group Co. Ltd.	Cayman Islands	2011/07/15
08122	LifeTech Scientific Corporation	Cayman Islands	2011/11/10
08017	Long Success International (Holdings) Ltd.	Bermuda	2000/08/17
08037	Longlife Group Holdings Ltd.	Cayman Islands	2004/06/17
08100	M Dream Inworld Ltd.	Cayman Islands	2001/12/31
08075	Media Asia Group Holdings Ltd.	Bermuda	2001/05/31
08198	MelcoLot Ltd.	Cayman Islands	2002/05/17
08163	Merdeka Resources Holdings Ltd.	Cayman Islands	2002/03/07
08239	Ming Kei Holdings Ltd.	Bermuda	2002/11/15
08266	Mobile Telecom Network (Holdings) Ltd.	Cayman Islands	2003/05/09
08188	Mudan Automobile Shares Co. Ltd. – H Shares	PRC	2001/12/18
08228	National Arts Holdings Ltd.	Bermuda	2002/10/17
08167	Neo Telemedia Ltd.	Cayman Islands	2002/08/06
08256	Netel Technology (Holdings) Ltd.	Cayman Islands	2002/12/20
08068	New Universe International Group Ltd.	Cayman Islands	2000/05/18
08249	Ningbo Yidong Electronic Co. Ltd. – H Shares	PRC	2003/11/14
08246	Noble House (China) Holdings Ltd.	Cayman Islands	2011/12/30
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08197	Northeast Tiger Pharmaceutical Co. Ltd. – H Shares	PRC	2002/02/28
08325	Oriental City Group Holdings Ltd.	Cayman Islands	2009/08/28
08047	Palmpay China (Holdings) Ltd.	Bermuda	2001/11/01
08173	Pan Asia Mining Ltd.	Cayman Islands	2002/05/09
08066	Phoenitron Holdings Ltd.	Cayman Islands	2001/12/20
08011	Polyard Petroleum International Group Ltd.	Cayman Islands	2002/07/12
08236	Powerleader Science & Technology Group Ltd. – H Shares	PRC	2002/12/12
08265	Powerwell Pacific Holdings Ltd.	Bermuda	2011/01/26
08026	Prosten Technology Holdings Ltd.	Cayman Islands	2000/03/28
08012	RENHENG Enterprise Holdings Ltd.	Cayman Islands	2011/11/18
08096	Ruifeng Petroleum Chemical Holdings Ltd.	Cayman Islands	2002/02/27
08082	Sage International Group Ltd.	Bermuda	2001/11/02
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	PRC	2004/07/13
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08150	Seamless Green China (Holdings) Ltd.	Bermuda	2001/08/10
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	PRC	2005/12/09
08102	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	PRC	2000/08/04
08231	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	PRC	2002/08/13
08205	Shanghai Jiaoda Withub Information Industrial Co Ltd – H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	PRC	2004/06/30
08251	Shanghai Tonva Petrochemical Co., Ltd. – H Shares	PRC	2005/07/13
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. – H Shares	PRC	2004/05/18
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	PRC	2004/07/07
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08296	Sino-Life Group Ltd.	Cayman Islands	2009/09/09
08010	SMI Publishing Group Ltd.	Cayman Islands	2000/06/01
08155	South China Land Ltd.	Cayman Islands	2002/07/18
08029	Sun International Resources Ltd.	Cayman Islands	2000/12/14
08008	Sunevision Holdings Ltd.	Cayman Islands	2000/03/17
08226	Sunrise (China) Technology Group Ltd.	Cayman Islands	2002/07/19
08103	Tai Shing International (Holdings) Ltd.	Cayman Islands	2000/09/08
08051	TeleEye Holdings Ltd.	Cayman Islands	2001/05/08
08119	Thiz Technology Group Ltd.	Cayman Islands	2001/07/27
08348	Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs	PRC	2008/04/30
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	PRC	2002/06/18
08028	Timeless Software Ltd.	Hong Kong	1999/11/25
08022	TLT Lottotainment Group Ltd.	Hong Kong	2001/08/13
08063	Trasy Gold Ex Ltd.	Cayman Islands	2000/12/07
08356	Tsun Yip Holdings Ltd.	Cayman Islands	2010/08/30
08229	Tungda Innovative Lighting Holdings Ltd.	Cayman Islands	2002/07/26
08079	Unlimited Creativity Holdings Ltd.	Bermuda	2001/10/15
08225	Venturepharm Laboratories Ltd.	Cayman Islands	2003/07/10
08340	Vinco Financial Group Ltd.	Cayman Islands	2008/05/20
08032	Viva China Holdings Ltd.	Cayman Islands	2000/04/06
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08269	Wealth Glory Holdings Ltd.	Cayman Islands	2010/10/14
08021	WLS Holdings Ltd.	Cayman Islands	2001/12/07
08227	Xi'an Haitian Antenna Technologies Co. Ltd. – H Shares	PRC	2003/11/05
08005	Yuxing InfoTech Investment Holdings Ltd.	Bermuda	2000/01/31
08106	Zheda Lande Scitech Ltd. – H Shares	PRC	2002/05/03
08273	Zhejiang Prospect Co. Ltd. – H Shares	PRC	2004/02/18
08211	Zhejiang Yonglong Enterprises Co. Ltd. – H Shares	PRC	2002/11/08
08130	Zhi Cheng Holdings Ltd.	Bermuda	2002/08/26
08070	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05
08085	ZMAY Holdings Ltd.	Cayman Islands	2002/03/07

## List of exchange traded funds

(End of 2011)

Code	Name	Listing date
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
03051	CICC-SZSE 100 Index Tracker	2010/01/29
02842	CMS CSI Overseas Mainland Enterprises ETF	2011/11/01
03071	Da Cheng CSI China Mainland Consumer Tracker	2010/07/15
03022	Da Cheng CSI HK Private-owned Mainland Enterprises Tracker	2010/12/20
03077	Da Cheng CSI HK State-owned Mainland Enterprises Tracker	2010/12/20
03061	db x-trackers CSI300 Banks Index ETF	2010/03/25
03025	db x-trackers CSI300 Consumer Discretionary Index ETF	2010/03/25
03017	db x-trackers CSI300 Energy Index ETF	2010/03/25
02844	db x-trackers CSI300 Financials Index ETF	2010/03/25
03057	db x-trackers CSI300 Health Care Index ETF	2010/03/25
03049	db x-trackers CSI300 Index ETF	2010/03/25
03005	db x-trackers CSI300 Industrials Index ETF	2010/03/25
03062	db x-trackers CSI300 Materials Index ETF	2010/03/25
02816	db x-trackers CSI300 Real Estate Index ETF	2010/03/25
03063	db x-trackers CSI300 Transportation Index ETF	2010/03/25
03052	db x-trackers CSI300 Utilities Index ETF	2010/03/25
03007	db x-trackers FTSE China 25 ETF	2009/07/08
03087	db x-trackers FTSE Vietnam ETF	2009/07/08
03048	db x-trackers MSCI Brazil TRN Index ETF	2010/02/24
03035	db x-trackers MSCI EM Asia TRN Index ETF	2010/02/24
03009	db x-trackers MSCI Emerging Markets TRN Index ETF	2010/02/24
02848	db x-trackers MSCI Korea TRN Index ETF	2009/07/08
03043	db x-trackers MSCI Pacific Ex Japan TRN Index ETF	2010/02/24
03027	db x-trackers MSCI Russia Capped Index ETF	2010/02/24
03036	db x-trackers MSCI Taiwan TRN Index ETF	2009/06/30
03020	db x-trackers MSCI USA TRN Index ETF	2009/07/08
03019	db x-trackers MSCI World TRN Index ETF	2010/02/24
03015	db x-trackers S&P CNX NIFTY ETF	2009/07/08
03011	db x-trackers US Dollar Cash ETF	2009/08/27
02838	Hang Seng FTSE China 25 Index ETF	2005/06/08
02828	Hang Seng H-Share Index ETF	2003/12/10
02833	Hang Seng Index ETF	2004/09/21
03033	HSBC MSCI China ETF	2011/04/18
03088	HSBC MSCI Golden Dragon ETF	2011/04/18
03000	HSBC MSCI Hong Kong ETF	2011/04/18
03083	HSBC MSCI Taiwan ETF	2011/04/18
02836	iShares BSE SENSEX India Index ETF	2006/11/02
02846	iShares CSI 300 A-Share Index ETF	2009/11/18
03001	iShares CSI A-Share Consumer Discretionary Index ETF	2010/07/20
02841	iShares CSI A-Share Consumer Staples Index ETF	2010/07/20
03050	iShares CSI A-Share Energy Index ETF	2009/11/18
02829	iShares CSI A-Share Financials Index ETF	2009/11/18
03006	iShares CSI A-Share Infrastructure Index ETF	2009/11/18
03039	iShares CSI A-Share Materials Index ETF	2009/11/18
02823	iShares FTSE A50 China Index ETF	2004/11/18
03010	iShares MSCI Asia APEX 50 Index ETF	2009/04/23
03032	iShares MSCI Asia APEX Mid Cap Index ETF	2009/04/23
03004	iShares MSCI Asia APEX Small Cap Index ETF	2009/04/23
02801	iShares MSCI China Index ETF	2001/11/28
02802	iShares MSCI Emerging Asia Index ETF	2009/04/23
04362	iShares MSCI South Korea Index Fund #	n.a.

List of exchange traded funds *(continued)*

(End of 2011)

Code	Name	Listing date
04363	iShares MSCI Taiwan Index Fund #	n.a.
02809	Lyxor ETF Commodities Thomson Reuters/Jefferies CRB TR	2007/04/26
02806	Lyxor ETF FTSE RAFI Europe	2008/06/03
02803	Lyxor ETF FTSE RAFI US 1000	2008/06/03
02814	Lyxor ETF Japan (TOPIX)	2008/06/03
02815	Lyxor ETF MSCI AC Asia-Pacific ex Japan	2007/04/18
02820	Lyxor ETF MSCI Emerging Markets	2008/06/03
02810	Lyxor ETF MSCI India	2007/04/26
02813	Lyxor ETF MSCI Korea	2007/04/26
02837	Lyxor ETF MSCI Taiwan	2008/06/03
02812	Lyxor ETF MSCI World	2007/04/18
02826	Lyxor ETF NASDAQ-100	2007/04/26
02831	Lyxor ETF Russia (DJ RUSINDEX TITANS 10)	2007/05/21
02835	Mirae Asset TIGER KOSPI 200 ETF	2011/01/17
02818	Ping An of China CSI RAFI A-Share 50 ETF	2010/05/07
03002	Polaris Taiwan Top 50 Tracker Fund (H.K.)	2009/08/19
03073	SPDR FTSE Greater China ETF	2010/09/20
02840	SPDR Gold Trust	2008/07/31
02800	Tracker Fund of Hong Kong	1999/11/12
03046	Value China ETF	2009/12/15
03081	Value Gold ETF	2010/11/03
02827	W.I.S.E – CSI 300 China Tracker	2007/07/17
02825	W.I.S.E. – CSI HK 100 Tracker	2008/05/15
02817	W.I.S.E. – CSI HK Listed Mainland Consumption Tracker	2011/01/11
02839	W.I.S.E. – CSI HK Listed Mainland Real Estate Tracker	2011/01/11
03024	W.I.S.E. – SSE 50 China Tracker	2009/04/15

# Under the pilot programme for trading only stock

## Technical notes to tables

### 1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market equity turnover in value}}{\text{Total equity market capitalisation}} \times 100\%$$

### 2. Average book value ratio

$$\text{Average book value ratio} = \frac{\text{Total equity market capitalisation}}{\text{Total net assets of all listed companies}}$$

### 3. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

### 4. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

### 5. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

- (1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or
- (2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

### 6. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

## Technical notes to tables *(continued)*

**7. Foreign company**

A company is incorporated overseas AND has the core business outside Hong Kong and China.

**8. H shares**

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

**9. Listed company**

A company in respect of which all or some of the equity securities are listed on the SEHK.

**10. Number of listed securities**

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

**11. Open interest (options)**

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

**12. Premium (options)**

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

**13. Total market capitalisation**

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

**14. Trading volume and value**

Figures of trading volume and value are counted on a one-way basis.

## Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System/Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
EW	Equity Warrant
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSI	Hang Seng Index
HSICS	Hang Seng Industry Classification System
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
SS	Stapled securities
TOPS	Traded OPtions System