HKEx Fact Book 2013

Fact Book 2013

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The Year 2013 in Review

The HKEx markets showed healthy signs of growth in 2013 in terms of market capitalisation and trading activity. The total market capitalisation of the securities market (including the Main Board and the Growth Enterprise Market (GEM) of the Stock Exchange of Hong Kong (SEHK or the Exchange)) at the end of 2013 was HK$24,042.8 billion, 10% higher than at year-end 2012. The year's highest single-day turnover in the securities market was HK$128.4 billion on 4 February 2013 while the lowest level was HK$38.6 billion on 22 July 2013 (compared to the high of HK$127.3 billion and low of HK$32.8 billion the previous year).

At the end of 2013, there were a total of 1,643 companies listed on the Main Board and GEM. Of these, 797 were Mainland enterprises, constituting 57% by market capitalisation and 72% by annual equity turnover value. In 2013, there were 110 newly listed companies on the Main Board and GEM. Total equity funds raised was HK$374.3 billion, of which the funds raised through initial public offering (IPO) increased year-on-year by 85% to HK$166.5 billion.

The Hang Seng Index (HSI) reached its highest daily closing level in 2013 on 2 December (24,038 points) and closed the year at 23,306 points, up 3% from 2012. The Hang Seng China Enterprises Index (HSCEI or H-shares Index) dropped by 5% to 10,816 points over the year. The Hang Seng China-Affiliated Corporations Index (the Red-chips Index) closed at 4,553 points, less than 1% higher than the level at the end of 2012. The S&P/HKEx GEM Index rose by 21% to 461 points over 2013.

Total securities market turnover in 2013 was HK$15,264.6 billion, up 15% year-on-year. The average daily turnover for the overall market in 2013 increased year-on-year by 16% to HK$62.6 billion — HK$62.2 billion for the Main Board (up 16% from 2012) and HK$323 million for GEM (up 138% from 2012).

The HKEx derivatives (futures and options) market in 2013 recorded total trading volume of 130 million contracts and average daily trading volume of 532,905 contracts (both up 9% from 2012). The average daily trading volumes of futures products and options products were 210,553 contracts and 322,353 contracts respectively.

In 2013, the London Metal Exchange (LME) traded a total of 171 million futures and options contracts (up 7% from 2012), with an 84% share in the notional volume of global exchange-traded base metal futures.

SECURITIES MARKET

Market development

Listing and regulation

In 2013, regulators and HKEx continued to strengthen market regulation and promote the development of new products and services in Hong Kong.

On 1 October, the Securities and Futures Commission (SFC)'s new ***regulation on sponsors of initial public offerings (IPOs)*** came into effect. The regulation was complemented by related changes made by the SEHK to its Listing Rules, listing vetting process and procedures. New and revised guidance materials have been published under the Rules & Regulations (Rules and Guidance on Listing Matters) section of the HKEx website to explain the logistics and key aspects of the Rule amendments and provide templates for action.

The SFC also sought to improve regulation in other areas. In March, the SFC published consultation conclusions about enhancing the ***regulatory framework for electronic trading*** in Hong Kong and ***non-corporate entities listed on the SEHK***. Most of the proposed initiatives for regulation of electronic trading came into effect on 1 January 2014. To enhance investor protection, the SFC launched a three-month consultation in May to seek comments about the ***professional investor regime*** and client agreement requirements in the Code of Conduct for persons licensed by or registered with the SFC.

In August, the SFC issued a set of guidelines for recognised clearing houses concerning the application of the ***"Principles for financial market infrastructures" (PFMIs)***. The PFMIs are international standards issued jointly by the Bank for International Settlements (BIS) and the International Organisation of Securities Commissions (IOSCO) concerning the resilience, safety and efficiency of financial market infrastructures (FMIs). Both the Hong Kong Monetary Authority (HKMA) and the SFC have committed to comply with the PFMIs.

In 2013, the SFC signed memoranda of understanding (MOUs) concerning the ***supervision of Alternative Investment Fund Managers Directive (AIFMD)*** entities with the competent authorities of 28 European Union or European Economic Area countries. These MOUs came into effect in July.

To maintain the status of the ***Hong Kong Interbank Offered Rate*** (HIBOR, formally the HKD Interest Settlement Rate) as a key benchmark in the financial market, the HKMA announced a package of measures to strengthen the HIBOR fixing mechanism in February. These include transferring the administrator function of the HIBOR fixing process to the Treasury Markets Association (TMA). In addition, a statutory guideline on the "Code of Conduct for Benchmark Submitters" was developed for banks submitting interest rate quotes and took effect in May. As a benchmark of offshore RMB liquidity, the CNH HIBOR fixing launched in June by the TMA is also subject to HKMA's governance to ensure the integrity of the fixing.

The accounting industry, amid public calls for ***more independent auditor regulation***, began a regulatory overhaul. A consultation was launched in October by the Hong Kong Institute of Certified Public Accountants (HKICPA) about a proposed framework with an independent regulator. Such a system would enable the Hong Kong regulator to become a member of International Forum of Independent Audit Regulators (IFIAR) and be recognised by the European Commission.

In December 2013, the ***Trust Law (Amendment) Ordinance*** came into effect. The purpose of the Amendment Ordinance is to update and modernise the trust law in Hong Kong, which has certain parts not updated since 1934, thereby bolstering the competitiveness of the Hong Kong's trust services industry.

In the year, HKEx took steps to facilitate new listings and streamline the regulation of listed companies.

In April, the SEHK published (i) the ***consultation paper*** on review of connected transaction Rules and (ii) the consultation paper on proposed changes to align the definitions of "connected person" and "associate" in the Listing Rules. Most of the consultation proposals are supported by a majority of the respondents. The SEHK plans to publish the consultation conclusions and the Rule amendments in the first quarter of 2014.

In September, the SEHK published jointly with the SFC a ***revised Joint Policy Statement (revised JPS)*** regarding the listing of overseas companies on the SEHK to replace the JPS issued in March 2007. The objective of the revised JPS is to promote transparency in the listing process, provide regulatory certainty for overseas companies seeking either primary or secondary listing in Hong Kong. In the same month, the SEHK also published a new statement on its approach to enforcement of the Listing Rules (Enforcement Statement), and implemented new procedures for disciplinary matters involving breaches of the Listing Rules (New Procedures). The ***Enforcement Statement and New Procedures*** are the results of a review conducted by the SEHK with a view towards expediting the resolution of enforcement matters and increasing market transparency. In December, the SEHK published ***Country Guides*** for each of the 20 acceptable overseas jurisdictions, which aimed at enhancing applicants' understanding of the SEHK's expectations, practices, procedures and considerations when applying the Listing Rules to overseas issuers.

During the year, the SEHK also published ***new and revised guidance letters*** on what information should be included in certain sections of the prospectus as well as simplification of the white and yellow application forms.

Mainland and Hong Kong authorities further consolidated the position of Hong Kong as an ***offshore RMB centre*** with a number of measures in 2013 to enhance market infrastructure and relax the restrictions on RMB business.

The HKMA introduced a series of new measures between January and July to facilitate the ***RMB liquidity management*** of authorised institutions (AIs). Firstly, enhancements were made to the RMB liquidity facility to improve the efficiency and flexibility of the facility in providing RMB liquidity for AIs. Secondly, the HKMA relaxed certain requirements in respect of AIs' RMB net open positions and liquidity ratio. In September, the HKMA also lowered the daily minimum settlement amounts and relaxed the settlement time limit in the RMB Real Time Gross Settlement (RTGS) system so as to facilitate banks' management of RMB liquidity within the system.

In 2013, market participants saw ***further relaxation of financial policies by the China Securities Regulatory Commission (CSRC)***. In February, the CSRC relaxed eligibility requirements to allow non-fund management companies — securities firms and banks — to issue physical A-shares exchange-traded funds (ETFs). This measure was followed in March by the expansion of the eligibility scope of RMB Qualified Foreign Institutional Investors (RQFIIs) to cover Hong Kong-based financial institutions and Hong Kong subsidiaries of Mainland financial institutions. Both measures would facilitate the development of RMB fund products.

The ***Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA)*** continued to bear fruit with the signing of the tenth Supplement (CEPA10) in August. Measures related to financial services in CEPA10 include allowing RMB banking services in Hong Kong to be offered to Hong Kong-owned Mainland enterprises, relaxing certain requirements for Hong Kong-funded securities firms in applying for Qualified Foreign Institutional Investor (QFII) status and raising the foreign shareholding limit in Mainland fund management joint ventures. Mutual recognition of fund products between Mainland China and Hong Kong would also be explored.

In January, the ***Financial Services Development Council (FSDC)*** was established by the Hong Kong government as a high-level advisory body. The FSDC's first batch of six research reports were published in November, providing a wide range of recommendations on the long-term development of Hong Kong's financial services industry. Among these recommendations, emphasis was put on the development of offshore RMB business in Hong Kong and the listing of more international companies.

In 2013, HKEx signed a number of ***Memoranda of Understanding (MOUs)*** on cooperation with market bodies in the Mainland — the financial offices of the provincial governments of Chongqing, Anhui, Tianjin, Jiangxi, Hunan, Hubei and Henan between April and September; and the China Futures Association (CFA) in December. Additionally, HKEx and Singapore Exchange (SGX) signed an MOU on cooperation in December, with RMB business development as a key area of cooperation.

Trading, clearing and settlement

In 2013, HKEx continued to enhance its IT infrastructure and offered incentives for market participants' to gain support for various development plans.

HKEx officially opened its new ***Data Centre*** in the Tseung Kwan O Industrial Estate in January. Built to tier-4 standards, the new Data Centre consolidates all of HKEx's markets and clearing house systems under one roof, and facilitates the launch of Hosting Services which provide market participants with a low-latency co-location access to HKEx markets. The new Data Centre is also the centrepiece of the HKEx Orion Technology Initiative, which is a comprehensive programme designed to enhance HKEx's technological infrastructure.

In September, HKEx launched the first phase of the ***Orion Market Data (OMD) system***. OMD provides low-latency market data and allows HKEx to disseminate a range of datafeed products with different contents and other features to meet the varying needs of information vendors, Exchange Participants and investors. The first phase supports the HKEx securities market. Subsequent phases supporting the derivatives market as well as the extension of the system into the Mainland will be launched in 2014.

HKEx launched the ***Founding Member Programme for the Mainland Market Data Hub*** (MMDH) in February, with 5 information vendors currently under the programme. The MMDH is HKEx's first significant technical infrastructure footprint in the Mainland and enables these founding members to access HKEx's securities market and index datafeed products through a reliable, scalable and cost-effective infrastructure.

In September, HKEx launched new historical securities and derivatives ***data products*** for subscription, including its new Historical Full Book (securities market) product with complete order book information for every order and trade of HKEx's Main Board and GEM shares. The suite of enhanced historical data products provides further support for trading in HKEx's markets and market research.

In December, China Securities Depository and Clearing Corporation Ltd (ChinaClear) was admitted to Central Clearing and Settlement System (CCASS) as a ***Clearing Agency Participant***, thereby enabling ChinaClear to perform specific custody functions for B-to-H shares conversion.

During the year, Hang Seng Indexes Co Ltd increased the ***dissemination frequency of its indices*** from 15-second intervals to 2-second intervals. China Exchanges Services Co Ltd (CESC, HKEx's joint venture with the two Mainland stock exchanges) also increased the update frequency for its Cross Border Index Series from 15-second intervals to 5-second intervals. Additionally, several ***new stock indices*** were launched in 2013 — the Hang Seng China A Top 100 Index, the Hang Seng China A Top 500 Index and the CES China A80 Index (CES A80) on Mainland-listed stocks; the Hang Seng Low Volatility Index, the Hang Seng High Beta Index and the CES China HK Mainland Index (CES HKMI) on Hong Kong-listed stocks; and the Hang Seng China AH Smart Index on dually listed A and H shares. Among these new indices, the CES A80 and the CES HKMI are the sub-indices of the CES China 120 Index. In April, CESC granted its first index licences to allow three fund managers to develop fund products on the CES China 120 Index and its two sub-indices.

Main Board equities

There were 1,451 listed companies on the Main Board at the end of 2013 (1,368 in 2012), with a total market capitalisation of HK$23,908.8 billion, a 9% increase from HK$21,871.7 billion of 2012. Among the listed companies on the Main Board, 722 (50%) were Mainland enterprises — 158 H-share companies, 116 red-chip companies and 448 Mainland private enterprises[1]. They had a year-end market capitalisation of HK$4,906.6 billion, HK$4,815.3 billion and HK$3,906.1 billion respectively, constituting in total 57% of the Main Board market capitalisation. During the year, 87 companies were newly listed on the Main Board. Excluding eight companies (three H-share companies) transferred from GEM, the 79 newly listed companies with share offerings (including 8 H-share companies) raised a total of HK$163.3 billion of new capital.

At the end of 2013, the HSI recorded a year-on-year increase of 3% to close at 23,306 points, with two sectors going up — 3% for Finance sector and 7% for Commerce & Industry. The other two sectors, Utilities and Properties, dropped by 7% and 9% respectively. The S&P/HKEx LargeCap Index closed at 28,109 points (a year-on-year increase of 4%).

The total turnover of Main Board equities was HK$11,143.0 billion in 2013, up 17% from 2012. Equity turnover constituted 73% of the total turnover of all securities on the Main Board, up from 72% in 2012.

Equity warrants

Three equity warrants were newly issued on the Main Board in 2013 without any premium (the same as 2012). At the end of 2013, there were 8 equity warrants listed on the Main Board, with an annual turnover value of HK$80.84 million (up 2% year-on-year).

On GEM, there was no newly listed equity warrant in 2013 and no equity warrant was listed at the end of year. The annual turnover value of equity warrants on GEM in 2013 was HK$0.85 million.

[1] H-share companies are enterprises that are incorporated in the Mainland which are either controlled by Mainland Government entities or individuals. Red-chip companies are enterprises that are incorporated outside of the Mainland and are controlled by Mainland Government entities. Mainland private enterprises are companies that are incorporated outside of the Mainland and are controlled by Mainland individuals.

Debt securities

At the end of 2013, there were 403 debt securities (79 RMB bonds) listed on the Main Board, compared to 269 (47 RMB bonds) at the end of 2012. The total turnover of debt securities in 2013 was HK$4.46 billion, up 61% from HK$2.77 billion in 2012. A total of 170 debt securities were newly listed during the year (compared to 109 in 2012), raising new funds of HK$576.4 billion (69% more than in 2012). Among the newly listed debt securities were 17 new RMB bonds with an aggregate principal amount of RMB56.8 billion. In November, the Ministry of Finance (MOF) issued sovereign bonds of RMB10 billion and let retail investors subscribe for the bonds through CCASS Participants — the first time the MOF has used HKEx's facilities for public bond offering.

iBonds, the inflation-protected retail bonds issued by the Hong Kong Government, continued to be the dominant type of debt securities in terms of trading value (HK$4.42 billion, constituting 99% of total turnover of debt securities in the year). In October, Hang Seng Indexes Co Ltd launched the Hang Seng iBond Index Series as a measure of the overall performance of all iBonds available in the market.

Unit trusts and mutual funds (including ETFs and REITs)

At the end of 2013, there were 129 listed unit trusts/mutual funds on the Main Board, compared with 111 at the end of 2012. Among them, 116 were ETFs and 11 real estate investment trusts (REITs). The annual turnover value of this segment soared 67% to a record high of HK$985.7 billion, of which HK$903.1 billion (92%) and HK$82.4 billion (8%) were contributed by ETFs and REITs respectively. The total turnover in this segment, of which the ETF segment had an average daily turnover of HK$3.7 billion, constituted 6% of the total turnover of all securities on the Main Board, compared to 4% in 2012.

In 2013, there were 3 new ETF managers on the securities market of HKEx. This brought the total number of ETF managers to 24.

There were 18 newly listed unit trusts/mutual funds in 2013, 16 of which ETFs. These included the iShares RMB Bond Index ETF (the first offshore RMB bond ETF in Asia), the E Fund CES China 120 Index ETF (the world's first ETF which invests in both Hong Kong and Mainland stock markets) and the Hang Seng China A Industry Top Index ETF (the world's first RQFII ETF from a fund manager based outside Mainland China). Among the newly listed ETFs, seven were dual-counter (DC) securities tradable in both HK dollars and RMB; RMB trading accounted for 10% of the total turnover of these DC ETFs. ETF trading continued to be dominated by A-share ETFs which recorded a total turnover value of HK$667.1 billion in 2013, accounting for 74% of the annual turnover of all ETFs.

Structured products (Derivative Warrants and Callable Bull/Bear Contracts (CBBC))

In 2013, HKEx continued to rank first among global exchanges by turnover of securitised derivatives (including derivative warrants and CBBCs). There were 7,264 newly listed derivative warrants (5,886 in 2012) on the Main Board, with a total notional amount of HK$174.9 billion (close to the HK$174.2 billion in 2012). The year-end number of listed derivative warrants was 4,715 (3,747 in 2012). The total turnover value of derivative warrants for the year was HK$1,783.3 billion (up 8% from 2012), accounting for 12% of the total turnover of all securities on the Main Board (similar to 2012).

The number of newly listed CBBCs in 2013 was 8,948 (6,056 in 2012), with a total notional amount of HK$482.6 billion (up 38% from HK$349.4 billion in 2012). The year-end number of listed CBBCs on the Main Board was 1,620. In 2013, the total turnover value of CBBCs decreased year-on-year by 17% to HK$1,269.2 billion, which was 8% of the total turnover of all securities on the Main Board compared with 12% in 2012.

In aggregate, derivative warrants and CBBCs contributed 20% of total turnover value of all securities on the Main Board in 2013, compared with 24% in 2012.

RMB-traded securities products

Across the different securities product types, there was one equity security, 79 debt securities, seven derivative warrants, 14 ETFs and one REIT traded in RMB at the end of 2013. There were 14 DC securities at the year-end, comprising one equity security and 13 ETFs. During the year, all RMB-traded securities products recorded a total turnover of RMB23.5 billion, of which RMB21.1 billion (90% of total) came from ETFs, RMB1.8 billion (8%) from REITs, RMB402 million (2%) from derivative warrants, RMB186 million (1%) from equities and RMB33.29 million (0.1%) from debt securities.

Growth Enterprise Market (GEM)

At the end of 2013, there were 192 listed companies on GEM (179 in 2012) with a total market capitalisation of HK$134.0 billion, an increase of 71% from HK$78.4 billion as at the previous year-end. Among these companies, 75 (39%) were Mainland enterprises — 24 H-share companies, 6 red-chip companies and 45 Mainland private enterprises. They had a year-end market capitalisation of HK$6.0 billion, HK$13.1 billion and HK$43.5 billion respectively, constituting in total 47% of the GEM market capitalisation. There were 23 newly listed companies on GEM in 2013, raising capital of HK$3.2 billion. The total turnover value on GEM in 2013 was HK$78.8 billion, up 135% from the previous year.

There were 8 companies transferred from GEM to the Main Board in 2013, compared to 2 in 2012.

DERIVATIVES MARKET

Market and product development

During the year, HKEx implemented a number of major developments in its derivatives market and launched several new derivatives products.

The ***stock options market revamp*** commenced in March, with a new Stock Options Corner launched on HKEx's website to provide more than 10 options analytical tools for public users. In May, a new Primary Market Maker (PMM) programme in the stock options market came into effect, with four financial institutions being the PMMs for five option classes. A new trading fee tier classification system also took effect in the same month to rationalise the trading fee structure and made stock options more cost-effective for trading. Alongside, a fourth expiry month was added for all stock option classes to provide for trading of a maturity of 3 months or longer. In December, the minimum trading spread of 4 option classes was narrowed from HK$0.01 to HK$0.001 to facilitate pricing and trading of low-premium options.

To help investors respond more flexibly to the changes in overseas markets during US and European trading hours, HKEx launched ***After-Hours Futures Trading (AHFT)*** in April. The AHFT Session (5:00 pm – 11:00 pm) was first introduced for trading of HSI futures and H-shares Index futures. A liquidity provider (LP) programme for AHFT was introduced to provide reference prices for investors until the end of December 2013. In January 2014, AHFT was extended to Mini HSI futures and Mini HHI futures to facilitate retail investors' management of risk exposure during the European and US trading hours. Block trading facility in the day session was also extended to the AHFT Session in the same month to facilitate brokers' execution of large orders without affecting the open market prices.

Other key developments include the opening of the new Data Centre in Tseung Kwan O, the launch of the first phase of the OMD system and the new historical data products, all of which serve both the HKEx securities market and derivatives market (see the section "SECURITIES MARKET" above). To promote ***derivatives market data*** in Mainland China, Japan, Korea, Singapore and Taiwan, HKEx introduced a ***marketing programme*** in September, with a focus on new derivatives products. Thirty four information vendors had enrolled in the programme and they could enjoy fee waiver by displaying real-time data of HKEx's new derivatives products including the CES China 120 Index Futures and USD/CNH Futures on their services. The programme could further enhance market transparency and raise the profile of the Hong Kong derivatives markets overseas.

In October, Hong Kong Futures Automated Trading System (HKATS) and Derivatives Clearing and Settlement System (DCASS) were upgraded to ***Genium INET technology platform*** provided by NASDAQ OMX. The upgraded HKATS includes a new trading function called "Tailor-made Combo", which facilitates brokers' execution of futures and options combinations based on single prices.

In respect of product development, seven ***new stock option classes*** were introduced in 2013 — CSOP FTSE China A50 ETF and ChinaAMC CSI 300 Index ETF introduced in January; China Minsheng Banking Corporation Ltd, Great Wall Motor Company Ltd, Lenovo Group Ltd, MGM China Holdings Ltd and The People's Insurance Co (Group) of China Ltd introduced in August.

The market also saw several ***new futures products*** in the year — futures on China AMC CSI 300 index ETF, CSOP FTSE China A50 ETF and iShares FTSE A50 China Index ETF were launched in June, which are the first futures products on A-share ETFs of HKEx; and the CES China 120 Index futures were launched in August. In September, the CES China 120 Index futures as well as the HSI Volatility Index (VHSI) futures were certified by the Commodity Futures Trading Commission (CFTC) for offering to US citizens.

For the off-exchange market, HKEx commenced its clearing business in 2013 for ***over-the-counter (OTC) derivatives*** in Hong Kong after major progress in the related legislation and regulatory procedures. The legislative process for regulation of OTC derivatives market in Hong Kong came close to the final stage when the Securities and Futures (Amendment) Bill 2013 was gazetted in June. This Bill seeks to provide a regulatory framework for OTC derivatives market in Hong Kong and incorporate other technical improvements to financial market regulation. In September, the HKMA and the SFC published the conclusions on the supplemental consultation regarding the proposed scope of activities to be regulated under the new OTC derivatives regime, and regulatory oversight of systemically important participants. As for market infrastructure, the reporting service of the ***OTC Derivatives Trade Repository (TR)*** of the HKMA was launched in July.

HKEx's OTC derivatives clearing house — ***OTC Clearing Hong Kong Ltd (OTC Clear)*** — obtained the recognition as a clearing house under section 37 of the Securities and Futures Ordinance (SFO) from the SFC in October. After obtaining the recognition, HKEx completed the subscription agreement under which 12 financial institutions agreed to subscribe for non-voting ordinary shares of OTC Clear as founding shareholders. The 12 founding shareholders together hold 25% of OTC Clear's total issued share capital. HKEx holds the remaining 75% and continues to hold 100% of OTC Clear's voting ordinary shares. The founding shareholders represent a cross-section of financial institutions with local, Mainland Chinese and international interests to provide a good foundation for OTC Clear's future development. OTC Clear completed its soft launch in November.

Trading activities

The total trading volume of HKEx's futures and options market in 2013 increased year-on-year by 9% to 130.03 million contracts. The annual trading volumes of five products — H-shares Index futures and options, Mini H-shares Index futures, Flexible H-shares Index options and stock futures — reached record highs in 2013.

The biggest volume contributor in the futures market in 2013 was H-shares Index futures (41%), with a total trading volume of 20.87 million contracts (up 31% from 2012) and a year-end open interest of 217,646 contracts. The second largest contributor was HSI futures with a 38% contribution, trading 19.58 million contracts in 2013 (down 4% from 2012) and with a year-end open interest of 107,304 contracts. Mini-HSI futures, the third largest contract, had a trading volume of 7.85 million contracts (contributing 15% of futures volume), down 8% from 2012. The annual trading volumes of Mini H-shares Index futures and stock futures increased significantly by 44% and 42% to 2.25 million contracts and 0.46 million contracts respectively. CES China 120 Index futures, the only new index futures contract launched in 2013, recorded a total trading volume of 50,213 contracts. At the end of 2013, there were 41 stock futures classes, including 3 ETF futures classes (compared to 38, without any ETF futures class, at the end of 2012).

In 2013, the trading volume of all options products increased year-on-year by 8% to 78.65 million contracts. Stock options dominated the options market with a trading volume of 60.83 million contracts (up 8% from 2012), contributing 77% of the total options volume and 47% of total futures and options volume. Year-end stock options open interest was 4.74 million contracts, up 18% from the previous year. At the end of 2013, there were 70 options classes, compared to 63 at the end of 2012. The total premium of stock options trading for 2012 was HK$38.7 billion, an increase of 17% from 2012.

The key index options products — HSI options, H-shares Index options and Mini-HSI options — contributed 11%, 10% and 1% respectively of total options trading volume in 2013. They recorded annual trading volumes of 8.60 million contracts (down 7%), 8.03 million contracts (up 27%) and 1.16 million contracts (down 6%) respectively. The annual trading volume of flexible H-shares Index options increased significantly by 176% to 30,789 contracts while that of the flexible HSI options dropped by 35% to 9,197 contracts.

The trading of BRICS equity index futures, gold futures, HIBOR futures and Exchange Fund Note futures remained negligible in 2013.

COMMODITIES MARKET

In 2013, LME made progress in the establishment of its ***self-clearing platform, LME Clear***. The LME and LCH.Clearnet Ltd reached an agreement in June 2013 to migrate LME contracts currently cleared by LCH.Clearnet to LME Clear on 22 September 2014. In 2013 the senior management team for LME Clear was finalised and key financial infrastructure providers were chosen. The draft Rule Book and procedures consultation was completed and the LME Clear application for central counterparty (CCP) authorisation was submitted to The Bank of England.

In November 2013, LME Clear announced the selection of the Depository Trust & Clearing Corporation (DTCC), an approved trade repository, as its ***strategic partner*** for the new LME reporting service, ***LMEwire***. The confirmed start date for the service was announced as 12 February 2014. In December 2013, the formation of the Board of LME Clear was announced and became effective on 16 December 2013.

The LME also made a number of ***enhancements to its services and systems***. The year began with the appointment of the LME User Committee, created to represent the views of key LME stakeholders, and chaired by John Smillie. A new Asian Helpdesk was opened at the HKEx New Generation Data Centre in Tseung Kwan O, to provide full technology support for users throughout the Asian trading day.

The LME also enhanced its ***Asian Benchmark pricing*** by shortening the pricing window from 15 minutes to five minutes. This change was made in order to focus liquidity in response to growth in Asian trading on LMEselect, the Exchange's electronic trading platform.

The LME listed Kaohsiung, Taiwan as a ***new delivery point*** for metals, which is the Exchange's ninth location in Asia. The excellent logistical facilities at Kaohsiung ensure that the surrounding region, which also has a high demand for metal, can be well serviced by warehouses operating there. The location became active on 21 November 2013 after the first warehouses were approved.

In June, HKEx and LME signed an MOU on cooperation with the China Beijing International Mining Exchange (CBMX) and, for cooperation on clearing of RMB commodity products, with Bank of China (BOC).

In July, the LME announced a three-month consultation with market users on a proposal designed to cut queues at warehouses. In November, following feedback from the global metals industry, the LME announced a ***comprehensive package of warehouse reforms***.

In December, the LME announced the successful completion of its technical delivery for the ***European Market Infrastructure Regulation (EMIR)*** requirements. The on-schedule delivery of the technology changes allowed LME members to begin making the necessary modifications to their own systems in advance of EMIR coming into force.

The LME registered another record trading year in 2013, with 171.1 million lots transacted, up 7% from 2012. This is equivalent to US$14.6 trillion and 4.0 billion tonnes of material.

New trading records were also registered in 2013 for copper (up 12% from 2012) and aluminium (up 8%). The LME nickel contract saw the greatest surge in trading, with volumes up 23% year-on-year to 14 million lots. Zinc, cobalt and molybdenum also set new records, with volumes up 2%, 4% and 11% respectively.

Average daily volume for the whole of 2013 was 676,283 lots, up 7% from 2012. Market open interest in LME futures also peaked at 2.74 million lots, up from 2.70 million lots in 2012.

By the end of 2013, LME's market share on a notional tonnage basis reached 84% of global exchange-traded base metal futures, up 1.3 percentage points from 2012. Zinc experienced the greatest increase, rising from 84% to 89% year-on-year.

Disclaimer: Information presented in this section on market initiatives taken by institutions other than HKEx is compiled based on publicly available information, and has not necessarily been independently verified.

Major Events of the Hong Kong Securities and Derivatives Markets 2013

Month	Event
January	On 15 January, the Hong Kong Monetary Authority (HKMA) introduced two measures to support offshore renminbi (RMB) business in Hong Kong: (1) shortening the notice period for authorised institutions (AIs) to tap the RMB liquidity facility; and (2) allowing AIs to use net positions in RMB currency futures (as well as RMB deliverable forwards) to offset their RMB net open position in the opposite direction. On 16 January, the Financial Services Development Council (FSDC) was established as a high-level government advisory body. The first six research reports of the FSDC were subsequently published in November, with a wide range of recommendations about facilitating and enhancing the long-term development of Hong Kong's financial services industry. On 31 January, HKEx opened its Next Generation Data Centre (NGDC) in the Tseung Kwan O Industrial Estate.
February	On 6 February, the HKMA announced a package of measures to strengthen the fixing mechanism for the Hong Kong Interbank Offered Rate (HIBOR). One of the measures is to develop a Code of Conduct for compliance by the reference banks, with the related statutory guideline coming into effect in May. On 6 February, HKEx launched the Mainland Market Data Hub (MMDH) Founding Members Programme to allow information vendors and other interested parties to participate in the early set-up of HKEx's MMDH in Shanghai.
March	On 6 March, the China Securities Regulatory Commission (CSRC) expanded the RMB Qualified Foreign Institutional Investor (RQFII) eligibility scope to cover Hong Kong-based financial institutions and Hong Kong subsidiaries of Mainland financial institutions. Restrictions on RQFII asset allocation were also relaxed to enable product diversification. On 15 March, HKEx published consultation conclusions on the proposed model for trading halts which would allow an issuer's inside information announcement to be published during trading hours subject to a short trading halt. To minimise the development and testing efforts required by market participants, the implementation of the trading halt proposals will coincide with the rollout of other upcoming major market infrastructure initiatives and will not be earlier than mid-2014. On 18 March, Hang Seng Indexes Co Ltd launched the Hang Seng China A Top 100 Index, the Hang Seng China A Top 500 Index, the Hang Seng Low Volatility Index and the Hang Seng High Beta Index. On 18 March, China Exchanges Services Co Ltd (CESC, a joint venture between HKEx and the two Mainland stock exchanges) launched the CES China A80 Index and the CES China HK Mainland Index, which are the sub-indices of the CES China 120 Index. CESC also granted index licences to three fund managers in April to allow them to develop products on these indices. On 20 March, HKEx launched a revamp of its stock options market. The revamp measures were implemented in phases through to the end of 2013, including: (1) a new Stock Options Corner on HKEx website; (2) a reduction of trading fees; (3) a new Primary Market Maker (PMM) programme; (4) a new expiry month for all stock options classes; and (5) a one-year waiver of subscription fees for real-time stock option prices on a snapshot basis.

Month	Event
	On 22 March, the Securities and Futures Commission (SFC) released the consultation conclusions on proposals to enhance the regulatory framework for electronic trading. The new regime came into effect on 1 January 2014.
	On 27 March, the SFC released the consultation conclusions on proposals to enhance the regulatory regime for non-corporate entities that are listed on the SEHK, with supportive responses in general.
April	On 8 April, Hang Seng Indexes Co Ltd started the latest round of increasing the dissemination frequency of its indices from 15-second intervals to 2-second intervals. The first phase involved four indices. The second phase took place on 6 May, involving, among others, the Hang Seng Index (HSI) and the Hang Seng China Enterprises Index (HSCEI or H-shares Index). The changes for the rest of its indices were completed by the end of 2013.
	On 8 April, HKEx launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.
	On 8 April, OTC Clearing Hong Kong Ltd (OTC Clear), the clearing house established by HKEx to provide clearing services for over-the-counter (OTC) derivatives, welcomed 12 financial institutions which had either signed or would sign a formal subscription agreement with HKEx and OTC Clear to join OTC Clear as its non-voting ordinary shareholders, with rights to receive dividends, appoint directors to the board and nominate representatives to the clearing house's User Committee. The completion of the subscription agreement was subject to OTC Clear obtaining clearing house recognition from the SFC.
	On 22 April, CESC increased the update frequency from 15-second intervals to 5-second intervals for its Cross Border Index Series.
	On 25 April, the HKMA relaxed a number of requirements for AIs to facilitate RMB liquidity management. These changes were made in view of the improving liquidity and continuous development of Hong Kong's offshore RMB market.
	On 26 April, the SEHK published consultation papers to seek views on proposed changes to its Listing Rules on connected transactions and proposed changes to align the definitions of "connected person" and "associate" in its Rules.
May	On 18 May, Hong Kong Mercantile Exchange (HKMEx) voluntarily surrendered the authorisation granted by the SFC to provide automated trading services (ATS) in view of its deteriorating financial condition. In its investigation into HKMEx's financial affairs, the SFC obtained evidence suggesting some serious irregularities, which were subsequently referred to the Commercial Crime Bureau (CCB) for further investigation.
June	On 10 June, HKEx launched futures on the China AMC CSI 300 index ETF, CSOP FTSE China A50 ETF and iShares FTSE A50 China Index ETF. These are HKEx's first futures products on A-shares ETFs.
	On 18 June, the iShares RMB Bond Index ETF was listed on the SEHK as the first offshore RMB bond ETF in Asia.
	On 24 June, the Treasury Markets Association (TMA) launched the CNH HIBOR fixing as a new benchmark of the offshore RMB market.

Month	Event
	On 28 June, the Securities and Futures (Amendment) Bill 2013 was gazetted. This Bill seeks to provide a regulatory framework for the OTC derivatives market in Hong Kong and incorporate other technical improvements to the regulation of the financial market.
July	On 22 July, Hang Seng Indexes Co Ltd launched the Hang Seng China AH Smart Index, a cross-market strategy index comprising stocks with H shares listed in Hong Kong and A shares listed in the Mainland. The index measures the performance of the investment strategy of gaining additional return from switches between the two share classes based on their relative prices.
	On 22 July, the memoranda of understanding (MOUs) that the SFC signed respectively with the competent authorities of 28 European Union or European Economic Area countries went into effect. These MOUs concern regulatory cooperation related to the supervision under the Alternative Investment Fund Managers Directive (AIFMD).
	On 26 July, the HKMA introduced two new types of RMB funds that can be provided to AIs through its RMB liquidity facility — one-day funds available on a next-day basis (T+1) and overnight funds available on a same-day basis (T+0) — to facilitate AIs' RMB liquidity management.
	On 29 July, the reporting service of the OTC Derivatives Trade Repository (TR) of the HKMA was launched.
August	On 9 August, the SFC issued a set of guidelines for recognised clearing houses concerning the application of the "Principles for Financial Market Infrastructures" (PFMIs) issued by the Bank for International Settlements (BIS) and the International Organisation of Securities Commissions (IOSCO) to improve the robustness of financial market infrastructures (FMI).
	On 12 August, HKEx launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.
	On 29 August, the Mainland and Hong Kong Governments signed Supplement X to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA10), providing for a series of services liberalisation and trade and investment facilitation measures.
September	On 5 September, the Chinese Asset Management Association of Hong Kong was formally established in Hong Kong. One of its objectives is to promote the communication, interaction and cooperation between Chinese asset management companies in Hong Kong and the Asset Management Association of China (AMAC).
	On 6 September, the HKMA and the SFC published the conclusions to a joint supplemental consultation regarding the proposed scope of activities to be regulated under the new OTC derivatives regime and regulatory oversight of systemically important participants, with supportive responses in general.
	On 9 September, changes to the Hang Seng Industry Classification System (HSICS) took effect. Under the new system, Hong Kong-listed stocks are classified into 11 industries, 30 sectors and 86 subsectors instead of 11 industries and 28 sectors. In addition, two industry classifications were renamed.

Month	Event
	On 10 September, HKEx Information Services Ltd (HKEx-IS) launched a marketing programme to promote derivatives market data in Mainland China, Japan, Korea, Singapore and Taiwan with a focus on new derivatives products.
	On 13 September, the SEHK published a new statement about its approach to enforcement of its Listing Rules. New procedures were also implemented for disciplinary matters involving breaches of the Listing Rules to streamline the SEHK's enforcement actions.
	On 23 September, the Hong Kong Futures Exchange (HKFE) announced that CES China 120 Index futures and HSI Volatility Index (VHSI) futures have been certified by the Commodity Futures Trading Commission (CFTC) in the US. Investors in the US can now trade these contracts directly from within the country.
	On 27 September, the SFC and the SEHK published a revised Joint Policy Statement (JPS) regarding the listing of overseas companies. The objectives are to promote transparency in the listing process, to provide regulatory certainty for overseas companies seeking to list in Hong Kong and to maintain the quality of Hong Kong's financial market.
	On 30 September, HKEx rolled out the first phase of its Orion Market Data Platform (OMD) with the introduction of the new system in its securities market.
October	On 1 October, the SFC's new regulation on sponsors came into effect. The related new requirements in the SEHK Listing Rules together with new and revised guidance materials on certain interpretations and procedures also took effect on the same day (subject to certain transitional arrangements).
	On 21 October, the E Fund CES China 120 Index ETF was listed on the SEHK as the world's first ETF which invests in both the Hong Kong and Mainland stock markets.
	On 25 October, OTC Clear obtained the recognition as a clearing house under section 37 of the Securities and Futures Ordinance from the SFC.
	On 30 October, following the SFC's recognition of OTC Clear, HKEx completed the subscription agreement under which 12 financial institutions agreed to subscribe for non-voting ordinary shares of OTC Clear as founding shareholders.
November	On 18 November, HKEx announced that after-hours trading of Mini HSI futures and Mini H-shares Index futures would be launched on 6 January 2014. The block trading facility for AHFT would also be launched on the same day.
	On 25 November, OTC Clear completed its soft launch.
	On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.
	On 26 November, the Hang Seng China A Industry Top Index ETF, managed by Hong Kong-based Hang Seng Investment Management Ltd, was listed on the SEHK. This is the world's first RQFII ETF from a fund manager based outside Mainland China.

Month	Event
December	On 1 December, the Trust Law (Amendment) Ordinance came into effect. The purpose of the Amendment Ordinance is to update and modernise the trust law in Hong Kong, thereby bolstering the competitiveness of the Hong Kong's trust services industry. On 4 December, HKEx and Singapore Exchange (SGX) signed a MOU on collaboration in several areas of common interest, including joint product development to promote RMB internationalisation, connectivity enhancement, technology development and regulatory issues. On 20 December, the SEHK published Country Guides for 20 acceptable overseas jurisdictions to enhance listing applicants' understanding of the SEHK's expectations, practices, procedures and considerations when applying the Listing Rules to overseas issuers. On 27 December, HKEx announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS). This enabled ChinaClear to perform specific custody functions for B-to-H share conversions in the future.

Stock market highlights – Main Board

	Year		
	2011	2012	2013
Listed securities (as at year end)			
No. of listed companies	1,326	1,368	1,451
No. of listed securities	6,551	6,723	8,330
No. of new listed companies (1)	88	52	87
New listing companies	76	50	79
Transfer of listing from GEM	12	2	8
Total issued capital (HK$mil)	1,280,438.28	1,306,671.34	1,373,154.22
Total market capitalisation (HK$mil)	17,452,667.08	21,871,730.17	23,908,803.80
Equity funds raised (HK$mil)	482,834.30	300,230.72	369,821.68
Trading			
No. of trading days	246	247	244
Total turnover value (HK$mil) (2)			
Annual	17,091,116.87	13,267,508.72	15,185,792.16
Average daily	69,476.08	53,714.61	62,236.85
Total turnover volume (mil shs)			
Annual	39,621,773.27	33,812,747.98	34,210,452.09
Average daily	161,064.12	136,893.72	140,206.77
Total number of trades (deals)			
Annual	213,131,902	190,501,387	227,229,216
Average daily	866,390	771,261	931,267
Stock price indices			
S&P/HKEx LargeCap Index (28 February 2003 = 10000)			
High	29060.75	27110.52	28936.41
Low	19639.36	21822.38	23663.18
Year-end	22252.19	27082.16	28109.10
Hang Seng Index (31 July 1964 = 100)			
High	24419.62	22666.59	24038.55
Low	16250.27	18185.59	19813.98
Year-end	18434.39	22656.92	23306.39
Market ratios (3)			
Average dividend yield (%)	3.31	2.81	2.99
Average P/E ratio (times)	9.68	10.50	11.24
Average book value ratio (times)	1.41	1.50	1.45
Annual turnover velocity (%)	68.79	43.41	46.61
Number of Exchange participants	534	550	543

Notes:

(1) Figures includes the number of companies for transfer of listing from GEM.

(2) Turnover values have been adjusted for late reported and rejected sales.

(3) Calculations are based on year-end figures of all listed stocks.

Stock market highlights – Growth Enterprise Market (GEM)

	Year		
	2011	2012	2013
Listed securities (as at year end)			
No. of listed companies	170	179	192
No. of listed securities	172	180	192
No. of new listed companies	13	12	23
Total issued capital (HK$mil)	9,088.52	8,766.62	9,750.42
Total market capitalisation (HK$mil)	84,589.06	78,398.98	134,002.06
Equity funds raised (HK$mil)	7,556.20	5,130.28	9,041.13
Trading			
No. of trading days	246	247	244
Total turnover value (HK$mil) [1]			
Annual	62,957.51	33,541.03	78,837.80
Average daily	255.92	135.79	323.11
Total turnover volume (mil shs)			
Annual	285,503.28	154,803.15	229,284.85
Average daily	1,160.58	626.73	939.69
Total number of trades (deals)			
Annual	1,721,719	1,107,126	2,241,521
Average daily	6,999	4,482	9,187
Stock price indices			
S&P/HKEx GEM Index (28 February 2003 = 1000)			
High	824.10	497.70	474.62
Low	425.82	341.55	378.60
Year-end	474.80	381.51	461.58
Market ratios [2]			
Average dividend yield (%)	0.52	0.88	0.52
Average P/E ratio (times)	22.16	18.38	49.25
Average book value ratio (times)	1.39	1.57	2.67
Annual turnover velocity (%)	74.42	42.78	58.83

Notes:

(1) Turnover values have been adjusted for late reported and rejected sales.

(2) Calculations are based on year-end figures of GEM stocks.

Stock market highlights – Main Board and GEM

	Year														
	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Listed securities (as at year end)															
No. of listed companies	708	790	867	978	1,037	1,096	1,135	1,173	1,241	1,261	1,319	1,413	1,496	1,547	1,643
No. of listed securities	1,212	1,349	1,189	1,586	1,785	2,176	2,649	3,383	6,092	5,831	6,616	7,900	6,723	6,903	8,522
No. of new listed companies [1]	38	90	88	117	73	70	67	62	84	49	73	113	101	64	110
New listing Companies	38	90	88	115	67	68	65	60	80	31	69	101	89	62	102
Transfer of listing from GEM	–	–	–	2	6	2	2	2	4	18	4	12	12	2	8
Total issued capital (HK$bil)	225.87	288.92	328.63	387.34	409.08	441.06	712.75	901.10	963.89	980.56	1,043.52	1,240.11	1,289.53	1,315.44	1,382.90
Total market capitalisation (HK$bil)	4,734.76	4,862.44	3,946.31	3,611.32	5,547.85	6,695.89	8,179.94	13,337.71	20,697.54	10,298.75	17,874.31	21,076.96	17,537.26	21,950.13	24,042.81
Equity funds raised (HK$bil)	149.70	467.34	64.43	110.51	213.76	281.80	301.71	524.54	590.85	427.25	642.12	858.72	490.39	305.36	378.86
Initial public offers	17.14	132.12	25.71	51.98	59.14	97.16	165.65	333.85	292.44	65.98	248.23	449.48	259.79	90.04	168.96
Secondary market	132.56	335.22	38.71	58.53	154.62	184.64	136.06	190.69	298.41	361.27	393.89	409.24	230.60	215.32	209.90
Trading															
No. of trading days	247	247	243	247	248	249	247	247	246	245	249	249	246	247	244
Total turnover value (HK$bil) [2]															
Annual	1,919.55	3,131.85	1,989.50	1,643.05	2,583.83	3,974.11	4,520.43	8,376.31	21,665.53	17,652.80	15,515.25	17,210.08	17,154.07	13,301.05	15,264.63
Average daily	7.77	12.68	8.19	6.65	10.42	15.96	18.30	33.91	88.07	72.05	62.31	69.12	69.73	53.85	62.56
Total turnover volume (bil shs)															
Annual	1,393.78	2,354.69	1,532.37	1,611.93	2,410.38	4,023.55	5,779.81	9,481.27	23,163.37	27,104.29	24,793.87	34,991.18	39,907.28	33,967.55	34,439.74
Average daily	5.64	9.53	6.31	6.53	9.72	16.16	23.40	38.39	94.16	110.63	99.57	140.53	162.22	137.52	141.15
Total number of trades (deals)															
Annual	23,063,257	36,871,325	24,123,635	20,536,648	28,803,397	37,243,835	36,956,081	64,077,041	151,585,525	141,394,810	177,641,518	193,940,737	214,853,621	191,608,513	229,470,737
Average daily	93,374	149,277	99,274	83,144	116,143	149,574	149,620	259,421	616,201	577,122	713,420	778,878	873,389	775,743	940,454
Trading by products (HK$bil)															
Equities	1,776.78	2,944.41	1,860.43	1,515.26	2,304.81	3,422.93	3,609.92	6,466.94	16,670.57	12,683.92	11,639.95	12,411.31	12,068.96	9,529.07	11,221.86
Equity warrants	10.38	8.05	3.22	1.75	1.16	2.92	2.28	2.71	5.13	1.13	0.52	1.81	0.93	0.08	0.08
Derivative warrants	119.79	159.36	105.00	112.78	264.01	524.48	856.61	1,790.06	4,693.86	3,433.74	1,654.89	2,692.46	2,629.89	1,646.44	1,783.31
CBBC	–	–	–	–	–	–	–	11.34	71.38	1,039.56	1,676.06	1,455.40	1,852.14	1,533.15	1,269.23
ELI	–	–	–	0.33	0.48	0.24	0.00	–	–	–	–	–	–	–	–
Debt securities	0.14	0.06	0.04	0.03	0.02	0.04	0.01	0.01	0.01	0.01	0.00	0.00	0.84	2.77	4.46
Unit trusts and mutual funds	12.45	19.97	20.81	12.91	13.34	23.50	51.61	105.26	224.58	494.45	543.81	649.09	601.32	589.54	985.69
ETFs	*12.45*	*19.97*	*20.81*	*12.91*	*13.34*	*23.50*	*20.70*	*57.82*	*160.57*	*441.10*	*499.69*	*604.46*	*545.30*	*522.08*	*903.13*
REITs	–	–	–	–	–	–	*30.91*	*47.44*	*51.50*	*51.49*	*43.08*	*43.89*	*55.52*	*67.15*	*82.36*
Others	*0.00*	*0.00*	*0.00*	*0.00*	*0.00*	*0.00*	*0.00*	*0.00*	*12.52*	*1.85*	*1.05*	*0.74*	*0.50*	*0.31*	*0.20*
Market ratios [3]															
Average dividend yield (%)	2.20	1.99	2.89	3.36	2.92	2.83	2.87	2.19	2.20	5.37	2.32	2.30	3.30	2.80	2.98
Average P/E ratio (times)	26.77	12.95	12.30	14.98	19.08	18.80	15.61	17.39	22.56	7.27	18.19	16.72	9.71	10.52	11.28
Average book value ratio (times)	2.16	1.81	1.35	1.11	1.68	1.89	1.93	2.52	2.81	1.17	1.91	2.00	1.41	1.50	1.45
Annual turnover velocity (%)	37.53	60.55	47.14	41.96	41.54	51.12	44.13	48.49	80.54	123.16	65.12	58.89	68.82	43.41	46.67

Notes:

(1) Figures includes the number of companies for transfer of listing from GEM.

(2) Turnover values have been adjusted for late reported and rejected sales.

(3) Calculations are based on year-end figures of all listed stocks.

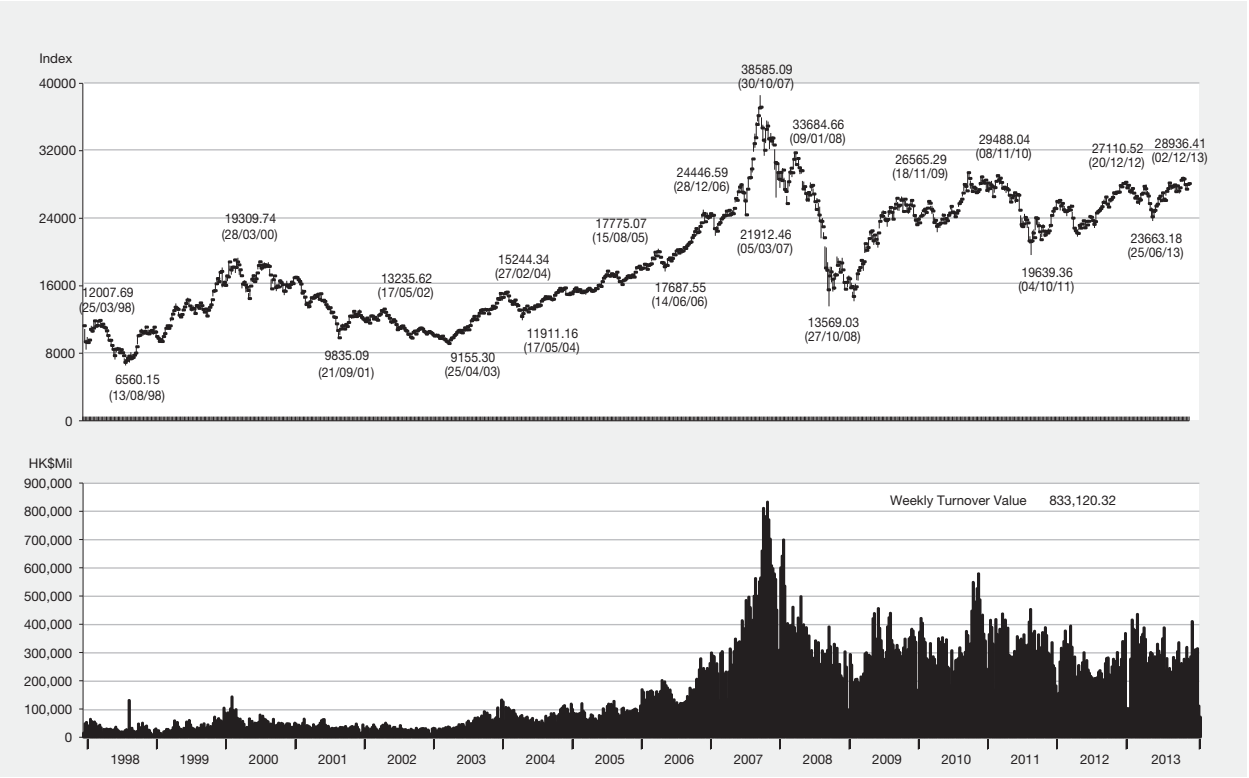
Market highlights – Derivatives market highlights

	Year		
	2011	2012	2013
All futures and options products (excluding stock options)			
No. of trading days	246	245.5	244.0
Contract volume	**66,168,404**	**63,721,093**	**69,200,889**
Equity index products	65,720,015	63,377,939	68,602,944
Equity products	444,014	322,715	459,190
Interest rates products	659	160	47
RMB Currency Futures	–	20,277	138,708
Gold Futures	3,716	2	0
Average daily	269,525	259,556	283,610
Open interest at the year end	**607,304**	**1,285,991**	**1,489,264**
Equity index products	595,978	1,262,802	1,452,153
Equity products	11,277	19,516	18,409
Interest rates products	49	0	1
RMB Currency Futures	–	3,673	18,701
Gold Futures	0	0	0
Stock options			
No. of stock options classes at the year end	60	63	70
No. of stock options series at the year end	15,450	12,788	16,900
No. of newly introduced stock options classes	3	3	0
No. of trading days	246	245.5	244.0
Contract volume			
Annual total	74,325,068	56,081,545	60,827,975
Average daily	302,750	228,438	249,295
Premium (HK$ Mil.)			
Annual total	72,482	33,031	38,733
Average daily	295	135	159
Number of trades			
Annual total	2,964,696	2,407,866	2,761,730
Average daily	12,076	9,808	11,319
Open interest at the year end	5,329,494	4,031,961	4,740,818
Contracts exercised	8,310,452	8,193,654	7,261,867
Average premium per contract (HK$)	975	589	637
Average contract per trade (contracts)	25	23	22
No. of market makers	32	33	34
Put volume/call volume	1.31	1.12	1.11
Average daily contract volume/open interest	5.7%	5.7%	5.3%
All futures and options products			
Contract volume	140,493,472	119,802,638	130,028,864
Open interest at the year end	5,936,798	5,317,952	6,230,082

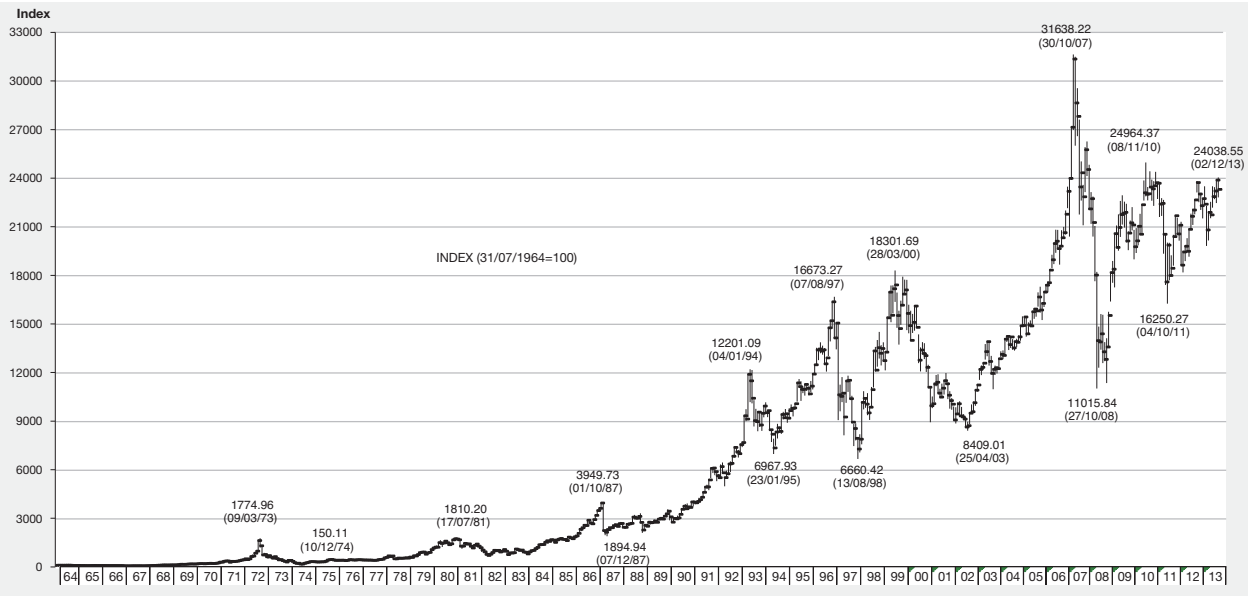
Market highlights – Clearing and Settlement

	Year		
	2011	2012	2013
CCASS Statistics			
Average Daily Exchange Trades Handled by CCASS			
– Number of trades	873,654	775,742	940,454
– Value of trades (HK$bil.)	69.8	53.9	62.6
– Share quantity Involved (bil. shs)	162.2	137.5	141.1
Average Daily Settlement Instructions ("SIs") Settled by CCASS			
– Number of SIs	83,833	73,247	83,055
– Value of SIs (HK$bil.)	211.2	178.5	216.7
– Share quantity involved (bil. shs)	47.8	44.2	54.6
Average Daily Investor SIs ("ISIs") Settled by CCASS			
– Number of ISIs	469	343	402
– Value of ISIs (HK$mil.)	261.1	227.2	213.7
– Share quantity involved (mil. shs)	123.7	98.7	124.1
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.88	99.91	99.91
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99	99.99
Average Daily Buy-ins Executed on T+3			
– Number of brokers involved	6	4	4
– Number of buy-ins	7	4	5
– Value of buy-ins (HK$mil)	2.4	1.5	2.2
Shares Deposited in the CCASS Depository			
– Number of shares (bil. shs)	3,694.2	3,663.7	3,955.2
– Percentage of total issued shares of the admitted securities	70.17	70.30	70.87
– Value of shares (HK$bil)	9,599.9	12,330.1	13,878.9
– Percentage of the total market capitalisation of the admitted securities	50.23	51.73	52.68
DCASS Statistics			
Open Interest as at the year end (contracts)			
– Equity Index Futures	238,065	381,023	390,291
– Stock Futures	11,277	19,516	18,409
– Gold Futures	0	0	0
– Interest Rates Futures	49	0	1
– RMB Currency Futures	–	3,673	18,701
– Equity Index Options	357,913	881,779	1,061,862
– Stock Options	5,329,494	4,031,961	4,740,818
Cumulative Stock Options Exercised			
– Share quantity involved (mil. shs)	8,045	8,480	8,285
– Value of shares (HK$bil.)	150	123	138

S&P/HKEx LargeCap Index, weekly movement (1998 – 2013)



Hang Seng Index, monthly movement (1964 – 2013)

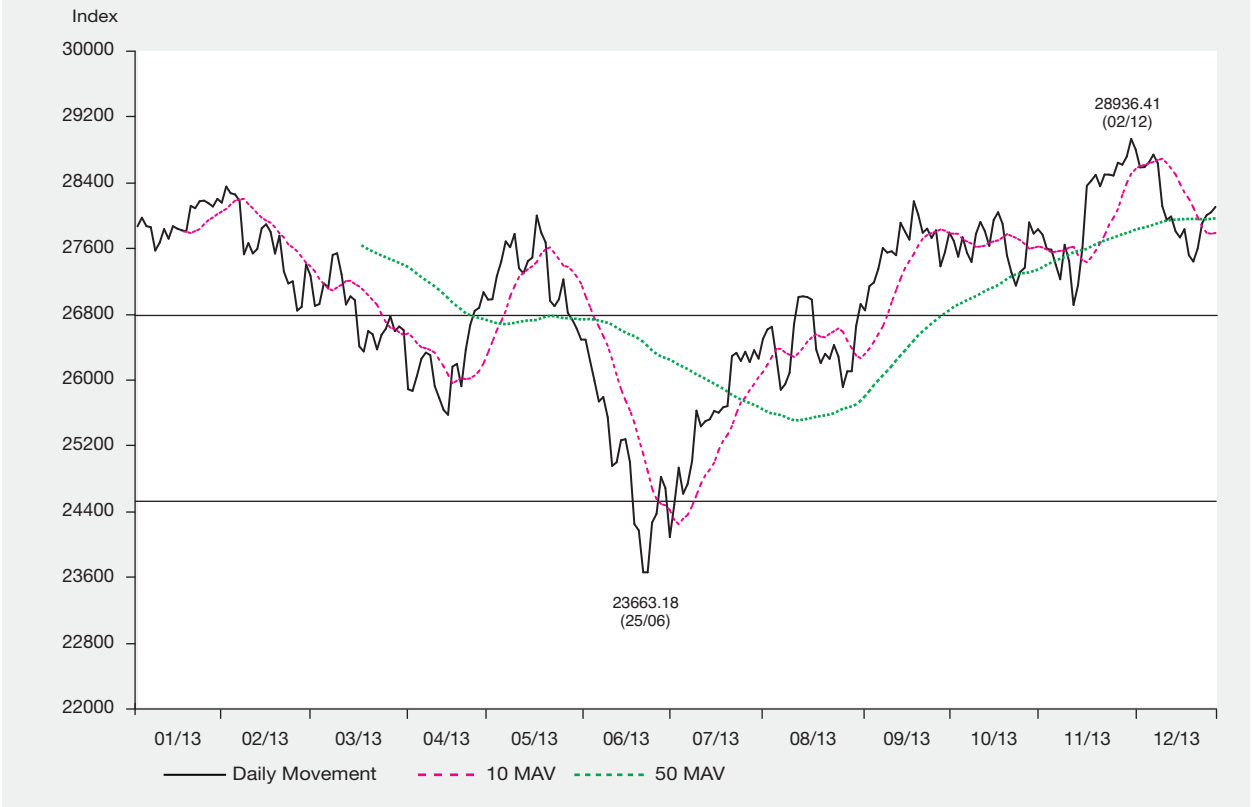


S&P/HKEx LargeCap Index, daily closing (2013)

28 February 2003 = 10000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	28261.15	27271.06	–	–	–	–	26504.58	–	–	27837.47	–
2	27873.29	–	–	26653.94	26981.24	–	24687.34	26618.53	26663.62	27556.54	–	28936.41
3	27978.22	–	–	26610.01	26985.31	26495.04	24092.24	–	26926.62	27796.97	–	28808.91
4	27875.85	28184.05	26905.55	–	–	26494.52	24487.21	–	26851.06	27696.17	27773.18	28589.91
5	–	27533.02	26926.95	25890.75	–	26241.77	24936.83	26650.65	27145.93	–	27598.12	28593.69
6	–	27669.17	27178.55	–	27266.94	25995.24	–	26284.82	27189.68	–	27589.06	28650.94
7	27862.40	27543.41	27129.53	–	27446.29	25741.81	–	25884.46	–	27503.70	27404.28	–
8	27578.15	27597.42	27525.39	25870.35	27689.93	–	24620.01	25951.99	–	27739.95	27228.52	–
9	27674.14	–	–	26054.82	27618.61	–	24735.96	26093.39	27355.90	27549.63	–	28745.76
10	27840.18	–	–	26262.53	27780.43	25796.09	25012.63	–	27608.47	27439.68	–	28639.98
11	27722.54	–	27544.73	26336.49	–	25548.67	25630.66	–	27553.42	27779.72	27648.81	28118.74
12	–	–	27288.71	26306.11	–	–	25439.46	26685.37	27569.52	–	27447.89	27952.86
13	–	–	26921.50	–	27363.14	24957.96	–	27013.22	27521.32	–	26916.41	27992.83
14	27874.78	27848.89	27021.42	–	27300.96	25001.47	–	–	–	–	27154.70	–
15	27844.30	27897.79	26974.93	25929.89	27449.33	–	25501.51	27020.98	–	27925.89	27608.75	–
16	27824.20	–	–	25786.00	27492.48	–	25524.86	27011.97	27916.54	27815.97	–	27812.54
17	27812.62	–	–	25638.82	–	25270.84	25626.76	–	27810.40	27635.89	–	27737.35
18	28120.83	27805.59	26411.82	25579.86	–	25282.36	25605.62	–	27710.88	27950.95	28366.12	27837.53
19	–	27541.25	26350.08	26165.53	–	25005.80	25670.78	26981.27	28177.76	–	28428.67	27521.40
20	–	27759.37	26598.98	–	28004.35	24251.49	–	26371.82	–	–	28499.11	27444.69
21	28093.33	27321.73	26559.12	–	27796.01	24172.58	–	26209.05	–	28044.68	28360.84	–
22	28178.23	27177.73	26375.39	26199.46	27677.86	–	25685.31	26321.37	–	27902.97	28502.96	–
23	28185.90	–	–	25927.14	26967.26	–	26293.50	26260.69	28013.98	27517.66	–	27606.41
24	28154.25	–	–	26371.41	26901.79	23663.49	26333.52	–	27794.63	27310.80	–	27913.46
25	28113.86	27204.23	26551.48	26671.56	–	23663.18	26234.73	–	27844.68	27151.25	28502.39	–
26	–	26847.76	26629.01	26843.84	–	24269.24	26346.95	26428.48	27732.24	–	28490.14	–
27	–	26893.75	26777.97	–	26985.60	24375.04	–	26289.42	27825.13	–	28646.77	28009.26
28	28206.61	27409.45	26597.26	–	27227.51	24823.71	–	25918.28	–	27319.23	28623.08	–
29	28161.23	–	–	26882.00	26820.64	–	26221.73	26108.63	–	27368.72	28721.91	–
30	28357.52	–	–	27071.53	26737.19	–	26365.96	26109.41	27386.46	27920.56	–	28043.14
31	28275.95	–	–	–	26630.43	–	26263.24	–	–	27784.47	–	28109.10
High	28357.52	28261.15	27544.73	27071.53	28004.35	26495.04	26365.96	27020.98	28177.76	28044.68	28721.91	**28936.41**
Low	27578.15	26847.76	26350.08	25579.86	26630.43	**23663.18**	24092.24	25884.46	26663.62	27151.25	26916.41	27444.69
Avg	27982.20	27558.57	26876.97	26252.60	27291.59	25107.91	25514.40	26415.16	27529.91	27652.92	27969.01	28153.25

S&P/HKEx LargeCap Index, daily movement (2013)



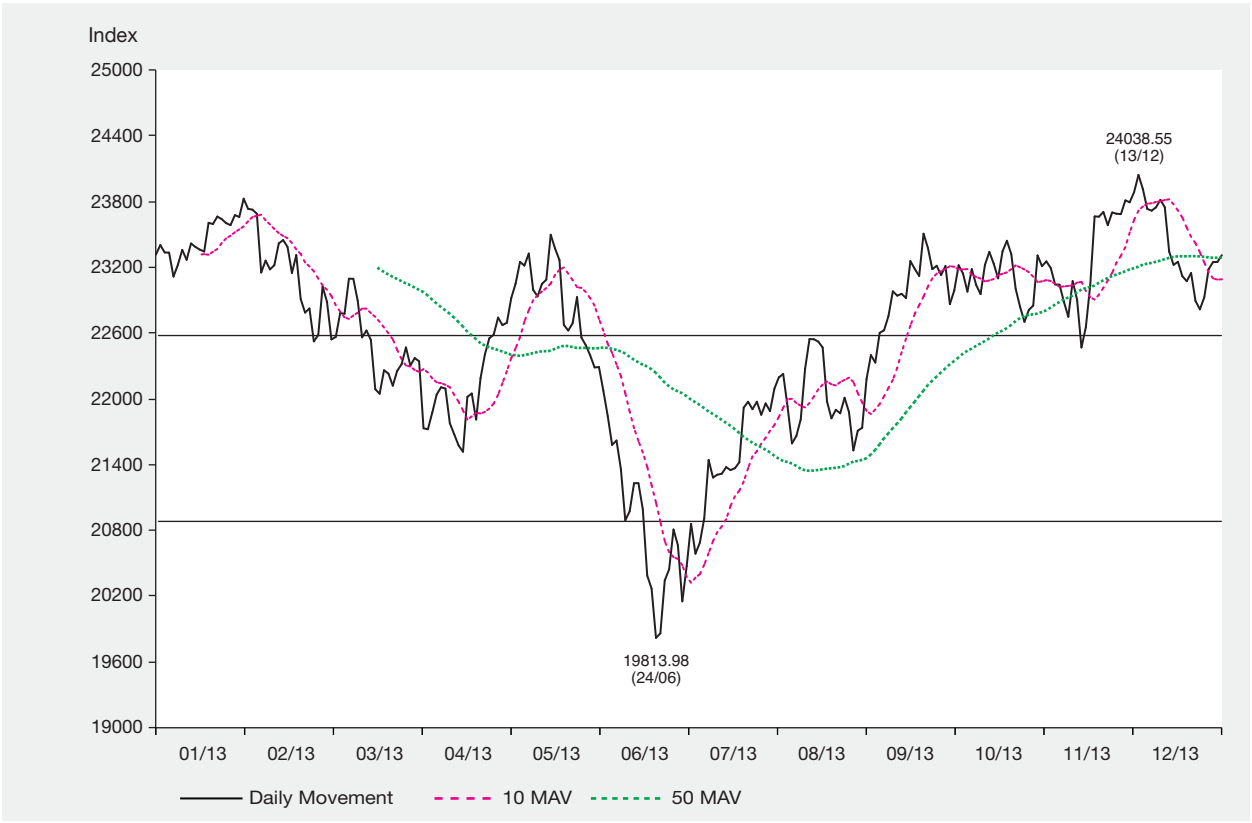
Hang Seng Index, daily closing (2013)

31 July 1964 = 100

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	23721.84	22880.22	–	–	–	–	22088.79	–	–	23249.79	–
2	23311.98	–	–	22367.82	22668.30	–	20658.65	22190.97	22175.34	22984.48	–	24038.55
3	23398.60	–	–	22337.49	22689.96	22282.19	20147.31	–	22394.58	23214.40	–	23910.47
4	23331.09	23685.01	22537.81	–	–	22285.52	20468.67	–	22326.22	23138.54	23189.62	23728.70
5	–	23148.53	22560.50	21726.90	–	22069.24	20854.67	22222.01	22597.97	–	23038.95	23712.57
6	–	23256.93	22777.84	–	22915.09	21838.43	–	21923.70	22621.22	–	23036.94	23743.10
7	23329.75	23177.00	22771.44	–	23047.09	21575.26	–	21588.84	–	22973.95	22881.03	–
8	23111.19	23215.16	23091.95	21718.05	23244.35	–	20582.19	21655.88	–	23178.85	22744.39	–
9	23218.47	–	–	21870.34	23211.48	–	20683.01	21807.56	22750.65	23033.97	–	23811.17
10	23354.31	–	–	22034.56	23321.22	21615.09	20904.56	–	22976.65	22951.30	–	23744.19
11	23264.07	–	23090.82	22101.27	–	21354.66	21437.49	–	22937.14	23218.32	23069.85	23338.24
12	–	–	22890.60	22089.05	–	–	21277.28	22271.28	22953.72	–	22901.41	23218.12
13	–	–	22556.65	–	22989.81	20887.04	–	22541.13	22915.28	–	22463.83	23245.96
14	23413.26	23413.25	22619.18	–	22930.28	20969.14	–	–	–	–	22649.15	–
15	23381.51	23444.56	22533.11	21772.67	23044.24	–	21303.31	22539.25	–	23336.52	23032.15	–
16	23356.99	–	–	21672.03	23082.68	–	21312.38	22517.81	23252.41	23228.33	–	23114.66
17	23339.76	–	–	21569.67	–	21225.90	21371.87	–	23180.52	23094.88	–	23069.23
18	23601.78	23381.94	22083.36	21512.52	–	21225.88	21345.22	–	23117.45	23340.10	23660.06	23143.82
19	–	23143.91	22041.86	22013.57	–	20986.89	21362.42	22463.70	23502.51	–	23657.81	22888.75
20	–	23307.41	22256.44	–	23493.03	20382.87	–	21970.29	–	–	23700.86	22812.18
21	23590.91	22906.67	22225.88	–	23366.37	20263.31	–	21817.73	–	23438.15	23580.29	–
22	23658.99	22782.44	22115.30	22044.37	23261.08	–	21416.50	21895.40	–	23315.99	23696.28	–
23	23635.10	–	–	21806.61	22669.68	–	21915.42	21863.51	23371.54	22999.95	–	22921.56
24	23598.90	–	–	22183.05	22618.67	19813.98	21968.93	–	23179.04	22835.82	–	23179.55
25	23580.43	22820.08	22251.15	22401.24	–	19855.72	21900.96	–	23209.63	22698.34	23684.45	–
26	–	22519.69	22311.08	22547.71	–	20338.55	21968.95	22005.32	23125.03	–	23681.28	–
27	–	22577.01	22464.82	–	22686.05	20440.08	–	21874.77	23207.04	–	23806.35	23243.24
28	23671.88	23020.27	22299.63	–	22924.25	20803.29	–	21524.65	–	22806.58	23789.09	–
29	23655.17	–	–	22580.77	22554.93	–	21850.15	21704.78	–	22846.54	23881.29	–
30	23822.06	–	–	22737.01	22484.31	–	21953.96	21731.37	22859.86	23304.02	–	23244.87
31	23729.53	–	–	–	22392.16	–	21883.66	–	–	23206.37	–	23306.39
High	23822.06	23721.84	23091.95	22737.01	23493.03	22285.52	21968.95	22541.13	23502.51	23438.15	23881.29	**24038.55**
Low	23111.19	22519.69	22041.86	21512.52	22392.16	**19813.98**	20147.31	21524.65	22175.34	22698.34	22463.83	22812.18
Avg	23470.72	23148.34	22517.98	22054.34	22933.10	21063.84	21298.53	22009.46	22932.69	23102.16	23304.52	23370.77

Note: HSI is compiled by Hang Seng Indexes Company Limited

Hang Seng Index, daily movement (2013)

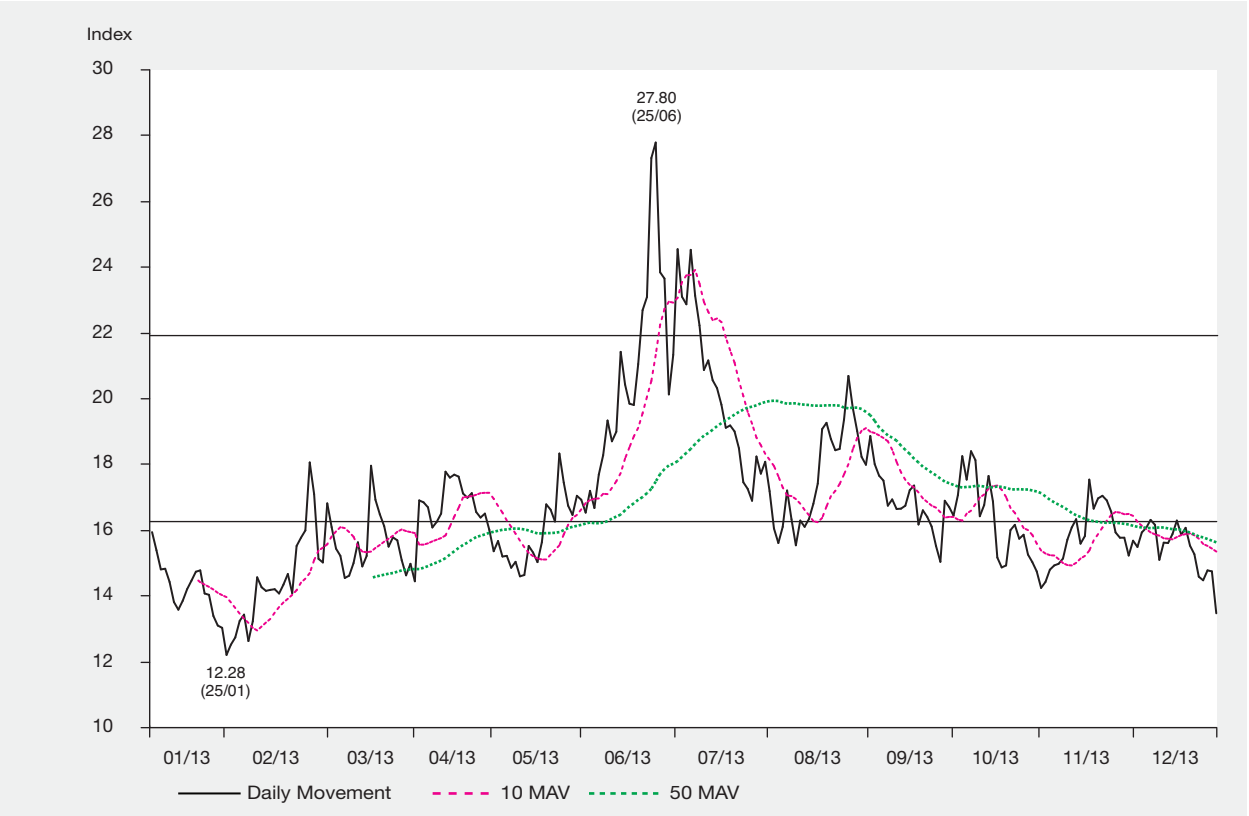


HSI Volaitlity Index, daily closing (2013)

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	12.70	15.08	–	–	–	–	17.24	–	–	14.31	–
2	16.00	–	–	15.04	15.73	–	21.37	16.11	18.28	16.76	–	15.74
3	15.45	–	–	14.51	15.26	17.25	24.57	–	18.04	16.50	–	15.55
4	14.87	13.30	16.87	–	–	16.73	23.13	–	18.91	17.12	14.49	15.99
5	–	14.63	16.15	16.96	–	17.74	22.90	15.67	18.06	–	14.86	16.13
6	–	14.33	15.50	–	15.28	18.33	–	16.16	17.71	–	14.99	16.37
7	14.89	14.22	15.28	–	14.92	19.38	–	17.26	–	18.30	15.04	–
8	14.49	14.25	14.61	16.90	15.10	–	24.55	16.39	–	17.59	15.20	–
9	13.88	–	–	16.75	14.66	–	23.16	15.60	17.56	18.45	–	16.22
10	13.65	–	–	16.14	14.70	18.75	22.26	–	16.80	18.18	–	15.16
11	13.92	–	14.68	16.31	–	19.04	20.91	–	16.99	16.48	15.77	15.68
12	–	–	15.06	16.56	–	–	21.20	16.35	16.70	–	16.14	15.67
13	–	–	15.69	–	15.58	21.46	–	16.16	16.71	–	16.39	15.94
14	14.27	14.27	14.96	–	15.38	20.47	–	–	–	–	15.65	–
15	14.53	14.14	15.28	17.83	15.09	–	20.60	16.40	–	16.82	15.88	–
16	14.80	–	–	17.65	15.69	–	20.36	16.86	16.80	17.70	–	16.35
17	14.84	–	–	17.74	–	19.89	19.86	–	17.27	16.93	–	15.92
18	14.14	14.41	18.01	17.68	–	19.85	19.16	–	17.41	15.23	17.59	16.13
19	–	14.73	16.98	17.17	–	21.09	19.23	17.47	16.23	–	16.71	15.59
20	–	14.15	16.54	–	16.84	22.72	–	19.12	–	–	17.02	15.33
21	14.10	15.58	16.18	–	16.68	23.12	–	19.31	–	14.93	17.10	–
22	13.46	15.83	15.56	17.04	16.32	–	19.05	18.82	–	14.99	16.96	–
23	13.17	–	–	17.18	18.38	–	18.54	18.48	16.66	16.06	–	14.65
24	13.10	–	–	16.61	17.50	27.33	17.51	–	16.46	16.22	–	14.54
25	12.28	16.05	15.84	16.44	–	27.80	17.31	–	16.17	15.80	16.62	–
26	–	18.11	15.75	16.56	–	23.87	16.95	18.52	15.58	–	15.99	–
27	–	17.15	15.15	–	16.80	23.68	–	19.41	15.10	–	15.83	14.84
28	12.59	15.19	14.69	–	16.52	20.17	–	20.73	–	15.92	15.83	–
29	12.81	–	–	16.07	17.10	–	18.29	19.76	–	15.32	15.29	–
30	13.31	–	–	15.42	16.98	–	17.77	19.06	16.95	15.09	–	14.81
31	13.50	–	–	–	16.59	–	18.13	–	–	14.81	–	13.54
High	16.00	18.11	18.01	17.83	18.38	**27.80**	24.57	20.73	18.91	18.45	17.59	16.37
Low	**12.28**	12.70	14.61	14.51	14.66	16.73	16.95	15.60	15.10	14.81	14.31	13.54
Avg	14.00	14.88	15.69	16.63	16.05	20.98	20.31	17.66	17.02	16.44	15.89	15.51

Note: VHSI is compiled by Hang Seng Indexes Company Limited

HSI Volatility Index, daily movement (2013)



Hang Seng China Enterprises Index, daily closing (2013)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	12215.03	11344.24	–	–	–	–	9723.93	–	–	10678.69	–
2	11897.66	–	–	10811.44	10825.35	–	9203.85	9734.81	10055.05	10333.59	–	11548.07
3	11987.23	–	–	10758.80	10845.99	10548.13	8900.25	–	10250.97	10514.55	–	11448.35
4	11937.45	12156.58	11104.65	–	–	10537.49	9024.01	–	10233.03	10517.28	10686.06	11368.78
5	–	11813.39	11169.52	10429.31	–	10473.18	9209.34	9725.96	10338.89	–	10637.15	11395.74
6	–	11849.25	11359.04	–	11001.77	10361.22	–	9650.42	10334.07	–	10561.57	11376.17
7	11973.07	11681.96	11311.45	–	11115.05	10187.27	–	9446.38	–	10427.17	10474.33	–
8	11714.15	11649.78	11484.35	10429.76	11284.74	–	9063.30	9484.64	–	10534.94	10390.73	–
9	11817.77	–	–	10610.77	11266.89	–	9051.23	9599.46	10528.69	10505.51	–	11432.92
10	11931.47	–	–	10694.23	11347.41	10126.97	9215.08	–	10697.44	10460.18	–	11382.16
11	11842.59	–	11435.82	10708.25	–	9959.74	9551.61	–	10636.71	10580.75	10582.90	11073.93
12	–	–	11292.14	10655.68	–	–	9433.66	9928.04	10637.53	–	10561.61	10962.18
13	–	–	11037.41	–	11109.27	9688.30	–	10185.55	10538.94	–	10276.61	11025.82
14	12003.75	11821.44	11101.96	–	11032.79	9667.42	–	–	–	–	10391.13	–
15	12006.82	11845.20	11020.89	10440.76	11083.29	–	9445.56	10206.74	–	10671.17	10702.70	–
16	11907.52	–	–	10426.56	11019.48	–	9419.51	10220.20	10706.63	10629.78	–	10932.27
17	11858.21	–	–	10300.93	–	9744.48	9480.85	–	10650.64	10568.71	–	10894.29
18	12105.71	11735.22	10794.70	10266.59	–	9733.54	9492.07	–	10588.01	10644.04	11307.33	10961.54
19	–	11525.70	10740.05	10587.32	–	9584.54	9448.51	10199.08	10769.54	–	11365.45	10777.91
20	–	11683.04	10978.75	–	11186.54	9265.30	–	9905.76	–	–	11437.44	10628.50
21	12125.89	11426.22	10944.35	–	11083.23	9237.47	–	9856.86	–	10668.20	11333.14	–
22	12196.74	11317.13	10896.48	10590.75	11053.04	–	9415.06	9967.77	–	10653.43	11448.74	–
23	12166.70	–	–	10425.09	10746.70	–	9780.16	9932.35	10717.72	10457.32	–	10647.35
24	12095.77	–	–	10634.37	10722.30	8938.63	9778.51	–	10599.15	10322.12	–	10834.43
25	12001.81	11333.98	10978.00	10772.76	–	8871.28	9752.60	–	10598.18	10177.82	11387.21	–
26	–	11104.10	10925.13	10834.08	–	9164.64	9755.66	10075.09	10541.03	–	11302.03	–
27	–	11144.34	11033.61	–	10753.49	9158.61	–	9988.23	10494.36	–	11401.96	10830.10
28	12100.08	11437.17	10896.22	–	10920.58	9311.44	–	9765.19	–	10258.42	11385.29	–
29	12077.87	–	–	10785.58	10751.29	–	9641.07	9850.72	–	10390.56	11441.27	–
30	12172.24	–	–	10917.97	10689.99	–	9669.61	9825.21	10316.12	10640.89	–	10770.69
31	12130.59	–	–	–	10599.21	–	9658.54	–	–	10627.02	–	10816.14
High	12196.74	**12215.03**	11484.35	10917.97	11347.41	10548.13	9780.16	10220.20	10769.54	10671.17	11448.74	11548.07
Low	11714.15	11104.10	10740.05	10266.59	10599.21	**8871.28**	8900.25	9446.38	10055.05	10177.82	10276.61	10628.50
Avg	12002.32	11631.74	11092.44	10604.05	10973.26	9713.67	9426.82	9870.11	10511.64	10503.97	10940.64	11055.37

Note: HSCEI is compiled by Hang Seng Indexes Company Limited

Hang Seng China Enterprises Index, daily movement (2013)

Hang Seng China-Affiliated Corporations Index, daily closing (2013)

3 January 2000 = 2000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	4690.96	4588.31	–	–	–	–	4119.64	–	–	4536.50	–
2	4674.02	–	–	4361.30	4428.75	–	3947.31	4160.26	4292.33	4402.32	–	4703.32
3	4715.42	–	–	4355.90	4437.48	4342.25	3829.20	–	4320.73	4479.83	–	4679.71
4	4743.77	4694.31	4455.64	–	–	4330.04	3890.92	–	4301.99	4499.57	4520.39	4651.30
5	–	4630.47	4453.63	4237.56	–	4299.58	3954.11	4160.99	4329.17	–	4511.97	4643.51
6	–	4642.87	4498.35	–	4473.14	4220.83	–	4153.12	4324.43	–	4510.55	4646.24
7	4736.53	4608.92	4499.69	–	4499.86	4199.11	–	4110.21	–	4485.79	4491.23	–
8	4669.55	4614.96	4558.88	4242.18	4532.91	–	3924.94	4133.67	–	4545.17	4451.21	–
9	4712.27	–	–	4283.98	4517.66	–	3952.04	4212.93	4354.25	4531.69	–	4664.23
10	4722.67	–	–	4337.85	4501.41	4192.43	3971.07	–	4418.31	4520.25	–	4661.03
11	4665.43	–	4515.54	4335.21	–	4130.23	4107.24	–	4430.52	4552.60	4489.27	4609.49
12	–	–	4469.42	4319.08	–	–	4102.67	4300.68	4418.34	–	4443.65	4572.98
13	–	–	4362.94	–	4424.76	4015.92	–	4347.78	4418.32	–	4373.97	4569.66
14	4715.17	4638.17	4380.52	–	4391.65	4049.90	–	–	–	–	4431.05	–
15	4690.98	4681.24	4338.49	4236.39	4410.78	–	4112.42	4365.40	–	4576.83	4514.06	–
16	4667.87	–	–	4219.25	4407.29	–	4100.86	4340.74	4461.33	4546.09	–	4531.74
17	4649.91	–	–	4200.37	–	4114.35	4068.36	–	4483.09	4525.31	–	4540.21
18	4711.00	4667.93	4289.90	4200.18	–	4093.65	4032.02	–	4453.27	4532.93	4653.57	4554.67
19	–	4586.88	4299.96	4347.62	–	4027.17	3999.01	4316.97	4488.14	–	4627.82	4534.03
20	–	4596.56	4387.18	–	4463.83	3896.78	–	4228.67	–	–	4620.61	4471.35
21	4721.71	4536.00	4374.71	–	4466.47	3862.71	–	4225.70	–	4571.00	4602.56	–
22	4737.12	4532.27	4402.25	4382.88	4435.94	–	4024.08	4253.73	–	4551.28	4608.84	–
23	4707.86	–	–	4305.26	4338.52	–	4132.83	4263.47	4484.49	4467.10	–	4478.92
24	4677.60	–	–	4376.38	4326.16	3777.43	4137.59	–	4434.88	4437.54	–	4525.28
25	4635.92	4532.81	4428.34	4409.71	–	3756.43	4151.61	–	4436.27	4422.62	4605.37	–
26	–	4473.03	4422.21	4417.31	–	3871.66	4139.06	4269.83	4415.43	–	4612.40	–
27	–	4488.92	4445.51	–	4337.84	3925.36	–	4254.62	4423.23	–	4618.10	4537.62
28	4655.58	4591.60	4362.55	–	4424.11	4028.72	–	4164.70	–	4424.97	4641.32	–
29	4707.26	–	–	4421.48	4367.50	–	4092.91	4213.66	–	4451.92	4683.69	–
30	4738.94	–	–	4451.00	4358.86	–	4081.29	4247.26	4380.23	4541.06	–	4525.28
31	4698.83	–	–	–	4365.44	–	4082.56	–	–	4550.95	–	4553.64
High	**4743.77**	4694.31	4588.31	4451.00	4532.91	4342.25	4151.61	4365.40	4488.14	4576.83	4683.69	4703.32
Low	4635.92	4473.03	4289.90	4200.18	4326.16	**3756.43**	3829.20	4110.21	4292.33	4402.32	4373.97	4471.35
Avg	4697.97	4600.46	4426.70	4322.04	4424.30	4059.71	4037.91	4230.67	4403.44	4505.56	4549.91	4582.71

Note: HSCCI is compiled by Hang Seng Indexes Company Limited

Hang Seng China-Affiliated Corporation Index, daily movement (2013)

Number of securities by type, 2009 – 2013

Type	Year				
	2009	2010	2011	2012	2013
Ordinary shares	1,146	1,245	1327	1,369	1,452
Preference shares	2	3	3	3	3
Equity warrants	25	22	13	10	8
Derivative warrants	3,367	5,148	4,027	3,747	4,715
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	1,692	1,064	901	1,214	1,620
Unit trusts/Mutual funds	52	79	88	111	129
– Exchange Traded Funds (ETFs)	*43*	*69*	*77*	*100*	*116*
– REITs	*7*	*8*	*9*	*9*	*11*
– Others	*2*	*2*	*2*	*2*	*2*
Debt securities	157	169	192	269	403
Total	6,441	7,730	6,551	6,723	8,330

Number of newly listed securities, 2009 – 2013

Type	Year				
	2009	2010	2011	2012	2013
Ordinary shares *	68	106	88	52	87
– Newly listed companies	*64*	*94*	*76*	*50*	*79*
– Transfer of listing from GEM	*4*	*12*	*12*	*2*	*8*
Preference shares	0	1	0	0	0
Equity warrants	11	12	7	3	3
Derivative warrants	4,230	7,826	6,917	5,886	7,264
Equity link instruments	0	0	0	0	0
Callable bull/bear contracts	8,072	6,541	5,334	6,056	8,948
Unit trusts/Mutual funds	19	27	9	35	18
– Exchange Traded Funds (ETFs)	*19*	*26*	*8*	*35*	*16*
– REITs	*0*	*1*	*1*	*0*	*2*
– Others	*0*	*0*	*0*	*0*	*0*
Debt securities	23	38	50	109	170
Total	12,423	14,551	12,405	12,141	16,490

* Includes the number of companies for transfer of listing from GEM.

Number of delisted securities, 2009-2013

Type	Year				
	2009	2010	2011	2012	2013
Ordinary shares	10	7	6	10	4
Preference shares	0	0	0	0	0
Equity warrants	20	15	16	6	5
Derivative warrants	3,874	6,045	8,038	6,166	6,296
Equity linked instruments	0	0	0	0	0
Callable bull/bear contracts	7,694	7,169	5,497	5,743	8,542
Unit trusts/mutual funds	0	0	0	12	0
– Exchange Traded Funds (ETFs)	*0*	*0*	*0*	*12*	*0*
– REITs	*0*	*0*	*0*	*0*	*0*
– Others	*0*	*0*	*0*	*0*	*0*
Debt securities	38	26	27	32	36
Total	11,636	13,262	13,584	11,969	14,883

Total market capitalisation by Hang Seng Industry Classification System, 2013

HK$mil

Industry Classification *	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	1,652,442.47	1,606,564.39	1,580,003.14	1,514,594.74	1,483,303.03	1,357,870.97	1,398,675.45	1,454,000.28	1,482,668.79	1,527,421.59	1,581,477.91	1,482,756.24
Materials	572,204.94	559,238.78	522,145.10	500,167.60	498,911.28	431,843.67	425,221.00	437,913.59	447,872.22	464,709.04	478,760.80	469,275.05
Industrial	405,106.97	406,967.24	385,752.03	380,724.91	405,778.42	359,144.87	375,341.49	388,388.39	744,776.89	774,286.33	815,209.81	855,994.96
Consumer Goods	2,456,409.62	2,452,405.75	2,420,417.18	2,438,925.45	2,478,503.09	2,308,280.48	2,372,405.62	2,467,402.00	2,592,229.86	2,717,131.11	2,819,962.46	2,808,608.74
Consumer Services	2,197,091.45	2,128,180.91	2,119,590.49	2,156,739.64	2,218,116.11	2,067,210.55	2,174,750.87	2,255,689.71	2,121,467.42	2,301,351.58	2,369,881.83	2,535,067.31
Telecommunications	2,193,876.12	2,173,163.58	2,085,251.36	2,166,318.68	2,106,354.74	2,056,502.02	2,117,945.90	2,135,482.99	2,205,783.55	2,108,114.10	2,148,875.50	2,070,962.55
Utilities	1,126,964.51	1,162,925.42	1,219,776.31	1,264,445.85	1,210,266.78	1,155,036.46	1,190,338.77	1,143,572.79	1,178,012.75	1,205,187.04	1,256,148.60	1,291,040.67
Financials	7,218,213.21	7,015,571.98	6,768,990.01	6,911,154.67	6,811,051.76	6,174,011.19	6,463,099.57	6,371,591.56	6,733,306.31	6,878,128.02	7,153,727.98	6,945,428.14
Properties & Construction	3,568,820.17	3,452,067.33	3,229,090.65	3,363,476.71	3,261,932.01	3,032,848.44	3,115,957.33	3,144,689.22	3,251,729.14	3,310,281.89	3,301,507.17	3,221,695.40
Information Technology	893,747.58	899,989.09	844,993.86	890,373.68	1,035,569.29	997,212.81	1,079,624.21	1,129,542.13	1,210,981.12	1,263,432.51	1,316,194.10	1,428,651.93
Conglomerates	728,481.63	715,282.43	689,909.49	700,266.62	696,640.22	667,757.92	689,533.38	699,755.33	728,678.08	752,597.38	763,279.60	799,322.80
Total	23,013,358.67	22,572,356.90	21,865,919.62	22,287,188.57	22,206,426.72	20,607,719.38	21,402,893.60	21,628,027.99	22,697,506.14	23,302,640.58	24,005,025.76	23,908,803.80

Note: 1, Month-end figures

2. New Hang Seng Industry Classification System took effect on 9 September 2013.

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation trends by Hang Seng Industry Classification System, 2009 – 2013

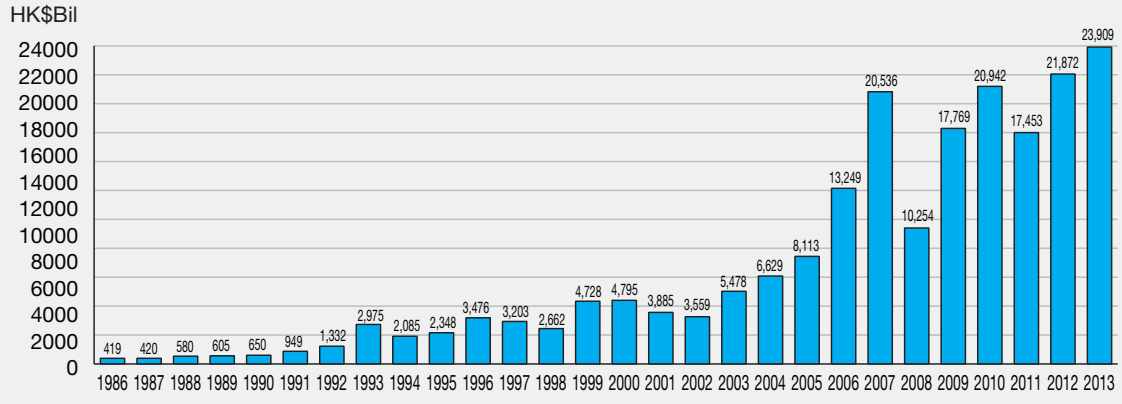
HK$mil

Industry Classification *	2009		2010		2011		2012		2013	
Energy	1,290,804.22	(7.26%)	1,687,281.21	(8.06%)	1,368,530.62	(7.84%)	1,666,653.89	(7.62%)	1,482,756.24	(6.20%)
Materials	538,691.51	(3.03%)	688,304.03	(3.29%)	457,345.49	(2.62%)	538,514.95	(2.46%)	469,275.05	(1.96%)
Industrial	269,968.50	(1.52%)	462,485.44	(2.21%)	277,170.93	(1.59%)	384,864.58	(1.76%)	855,994.96	(3.58%)
Consumer Goods	1,647,187.20	(9.27%)	2,215,851.46	(10.58%)	2,004,405.29	(11.48%)	2,384,569.46	(10.90%)	2,808,608.74	(11.75%)
Consumer Services	1,190,542.81	(6.70%)	1,695,676.46	(8.10%)	1,605,705.74	(9.20%)	2,000,483.80	(9.15%)	2,535,067.31	(10.60%)
Telecommunications	1,803,635.55	(10.15%)	1,942,463.26	(9.28%)	2,070,928.00	(11.87%)	2,292,688.57	(10.48%)	2,070,962.55	(8.66%)
Utilities	640,495.20	(3.60%)	716,469.80	(3.42%)	742,408.36	(4.25%)	1,068,308.19	(4.88%)	1,291,040.67	(5.40%)
Financials	6,123,556.56	(34.46%)	6,885,627.90	(32.88%)	5,331,304.00	(30.55%)	6,706,661.48	(30.66%)	6,945,428.14	(29.05%)
Properties & Construction	2,465,706.38	(13.88%)	2,647,533.65	(12.64%)	2,016,954.32	(11.56%)	3,320,089.99	(15.18%)	3,221,695.40	(13.47%)
Information Technology	835,241.90	(4.70%)	827,871.04	(3.95%)	650,437.54	(3.73%)	822,786.42	(3.76%)	1,428,651.93	(5.98%)
Conglomerates	963,441.58	(5.42%)	1,172,720.14	(5.60%)	927,476.78	(5.31%)	686,108.84	(3.14%)	799,322.80	(3.34%)
Equity total	17,769,271.41	(100.00%)	20,942,284.39	(100.00%)	17,452,667.08	(100.00%)	21,871,730.17	(100.00%)	23,908,803.80	(100.00%)

( ) % of equity market total
Year-end figures

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Market capitalisation, 1986 – 2013



50 leading companies in market capitalisation

End of 2013

Rank	Code	Company	Issued capital (shares)	Closing price (HK$)	Market capitalisation (HK$mil)	% of equity total
1	00941	China Mobile Ltd.	20,102,297,665	80.400	1,616,224.73	6.76
2	00005	HSBC Holdings plc	18,829,627,469	84.150	1,584,513.15	6.63
3	00939	China Construction Bank Corporation – H Shares	240,417,319,880	5.850	1,406,441.32	5.88
4	00700	Tencent Holdings Ltd.	1,861,376,847	494.600	920,636.99	3.85
5	00883	CNOOC Ltd.	44,647,455,984	14.420	643,816.32	2.69
6	00805	Glencore Xstrata plc *	13,263,405,466	40.150	– 532,525.73	N.A.
7	01928	Sands China Ltd.	8,062,998,845	63.350	510,790.98	2.14
8	01299	AIA Group Ltd.	12,044,000,001	38.900	468,511.60	1.96
9	01398	Industrial and Commercial Bank of China Ltd. – H Shares	86,794,044,550	5.240	454,800.79	1.90
10	00013	Hutchison Whampoa Ltd.	4,263,370,780	105.400	449,359.28	1.88
11	02378	Prudential plc *	2,560,381,736	175.000	– 448,066.80	N.A.
12	02888	Standard Chartered PLC	2,426,829,726	173.400	420,812.27	1.76
13	03988	Bank of China Ltd. – H Shares	83,622,276,395	3.570	298,531.53	1.25
14	00027	Galaxy Entertainment Group Ltd.	4,219,403,530	69.550	293,459.52	1.23
15	00001	Cheung Kong (Holdings) Ltd.	2,316,164,338	122.400	283,498.51	1.19
16	00945	Manulife Financial Corporation *	1,847,904,179	152.300	– 281,435.81	N.A.
17	00762	China Unicom (Hong Kong) Ltd.	23,777,431,000	11.600	275,818.20	1.15
18	00016	Sun Hung Kai Properties Ltd.	2,704,538,776	98.350	265,991.39	1.11
19	02388	BOC Hong Kong (Holdings) Ltd.	10,572,780,266	24.850	262,733.59	1.10
20	00011	Hang Seng Bank Ltd.	1,911,842,736	125.700	240,318.63	1.01
21	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	3,129,732,456	69.450	217,359.92	0.91
22	03328	Bank of Communications Co., Ltd. – H Shares	35,011,862,630	5.470	191,514.89	0.80
23	01128	Wynn Macau, Ltd.	5,187,550,000	35.150	182,342.38	0.76
24	02628	China Life Insurance Co. Ltd. – H Shares	7,441,175,000	24.250	180,448.49	0.75
25	00004	Wharf (Holdings) Ltd., The	3,030,127,327	59.300	179,686.55	0.75
26	00857	PetroChina Co. Ltd. – H Shares	21,098,900,000	8.500	179,340.65	0.75
27	00688	China Overseas Land & Investment Ltd.	8,172,616,172	21.800	178,163.03	0.75
28	01913	PRADA S.p.A.	2,558,824,000	69.000	176,558.86	0.74
29	00066	MTR Corporation Ltd.	5,798,300,650	29.350	170,180.12	0.71
30	00003	Hong Kong and China Gas Co. Ltd., The	9,559,670,503	17.780	169,970.94	0.71
31	06883	Melco Crown Entertainment Ltd.	1,666,633,448	101.400	168,996.63	0.71
32	00386	China Petroleum & Chemical Corporation – H Shares	25,513,438,600	6.330	161,500.07	0.68
33	00002	CLP Holdings Ltd.	2,526,450,570	61.300	154,871.42	0.65
34	00388	Hong Kong Exchanges and Clearing Ltd.	1,161,493,053	129.300	150,181.05	0.63
35	00151	Want Want China Holdings Ltd.	13,223,739,385	11.200	148,105.88	0.62
36	00880	SJM Holdings Ltd.	5,553,309,293	26.000	144,386.04	0.60
37	00019	Swire Pacific Ltd. “A”	905,578,500 “A”	90.900	134,733.44	0.56
	00087	Swire Pacific Ltd. “B”	2,995,220,000 “B”	17.500		
38	00006	Power Assets Holdings Ltd.	2,134,261,654	61.650	131,577.23	0.55
39	02282	MGM China Holdings Ltd.	3,800,000,001	33.100	125,780.00	0.53
40	00322	Tingyi (Cayman Islands) Holding Corp.	5,596,001,360	22.400	125,350.43	0.52
41	01038	Cheung Kong Infrastructure Holdings Ltd.	2,495,845,400	48.950	122,171.63	0.51
42	00012	Henderson Land Development Co. Ltd.	2,698,996,140	44.250	119,430.58	0.50
43	01288	Agricultural Bank of China Ltd. – H Shares	30,738,823,096	3.810	117,114.92	0.49
44	01929	Chow Tai Fook Jewellery Group Ltd.	10,000,000,000	11.560	115,600.00	0.48
45	01972	Swire Properties Ltd.	5,850,000,000	19.600	114,660.00	0.48
46	01044	Hengan International Group Co. Ltd.	1,231,384,721	91.600	112,794.84	0.47
47	01109	China Resources Land Ltd.	5,830,628,790	19.220	112,064.69	0.47
48	00135	Kunlun Energy Co. Ltd.	8,062,490,303	13.660	110,133.62	0.46
49	00101	Hang Lung Properties Ltd.	4,478,895,670	24.500	109,732.94	0.46
50	06808	Sun Art Retail Group Ltd.	9,539,704,700	10.940	104,364.37	0.44
		Total			14,805,374.41	61.92
		Equity total			23,908,803.80	100.00

* Figures is not included in Total

20 Stocks with largest price changes (increase)

Rank	Stock Code	Stock Name	Closing price (HK$) 2012	2013	% up
1	01063	SUNCORP TECH	0.021 A	0.400	1,804.76
2	01165	SHUNFENG PV	0.360	6.190	1,619.44
3	00986	CH ENV ENERGY	0.305 A	4.980	1,532.79
4	00102	SUMMIT ASCENT	0.831 A	12.380	1,389.77
5	00745	CH RAILSMEDIA	0.181	1.830	911.05
6	01009	INT'L ENT	1.080	8.440	681.48
7	00204	CHINA INV DEV	0.240	1.650	587.50
8	01236	QIANLONG TECH	0.710	4.680	559.15
9	00759	CEC INT'L HOLD	0.580	3.520	506.90
10	03886	TOWN HEALTH	0.500	2.980	496.00
11	00869	PLAYMATES TOYS	0.620	3.640	487.10
12	01076	FIRST NATURAL	0.620	3.560	474.19
13	01180	PARADISE ENT	0.780 A	4.090	424.36
14	01613	SYNERTONE	0.540	2.580	377.78
15	01045	APT SATELLITE	1.950	9.200	371.79
16	00500	DVN (HOLDINGS)	0.265	1.210	356.60
17	01046	UNIVERSE INT'L	0.056	0.255	355.36
18	00928	TACK FIORI	0.980 A	4.350	343.88
19	00024	BURWILL	0.123	0.540	339.02
20	02668	PAK TAK INT'L	0.405	1.700	319.75

(A) Adjusted

20 Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK$) 2012	2013	% down
1	01175	FU JI CATERING	38.370 A	1.640	95.73
2	00149	CH AGRI-PROD EX	0.405	0.068	83.21
3	00723	SUSTAIN FOREST	0.804 A	0.157	80.47
4	00975	MONGOL MINING	3.800	1.030	72.89
5	00847	KAZAKHMYS-S	91.500	27.350	70.11
6	00030	ABC COM (HOLD)	0.490	0.149	69.59
7	00616	EASYKNIT ENT	1.787 A	0.570	68.10
8	00210	DAPHNE INT'L	10.620	3.490	67.14
9	01818	ZHAOJIN MINING	12.100	4.430	63.39
10	01733	WINSWAY	1.300	0.490	62.31
11	00337	GREENLAND HK	9.900 A	3.770	61.92
12	03368	PARKSON GROUP	6.250	2.390	61.76
13	00632	PEARLORIENT OIL	0.650	0.260	60.00
14	00033	HARMONIC STR	0.335	0.134	60.00
15	03335	DBA TELECOM	5.060	2.030	59.88
16	00104	ASIA COMM HOLD	0.468 A	0.192	58.97
17	02133	CH POLYMETAL	1.610	0.680	57.76
18	01383	SUNCENTURY GP	0.880	0.375	57.39
19	03330	LINGBAO GOLD	3.160	1.350	57.28
20	01878	SOUTHGOBI-S	15.780	6.880	56.40

(A) Adjusted

Trading value and volume, 2009 – 2013

Year/Month		No. of trading days	Value (HK$mil)		Volume (mil of shares)		Deals	
			Total	Average daily	Total	Average daily	Total	Average daily
2009		249	15,439,486.88	62,005.97	24,497,626.62	98,384.04	175,535,322	704,961
2010		249	17,076,412.14	68,579.97	34,544,258.75	138,731.96	190,573,054	765,354
2011		246	17,091,116.87	69,476.08	39,621,773.27	161,064.12	213,131,902	866,390
2012		247	13,267,508.72	53,714.61	33,812,747.98	136,893.72	190,501,387	771,261
2013		244	15,185,792.16	62,236.85	34,210,452.09	140,206.77	227,229,216	931,267
2013	Jan	22	1,716,797.21	78,036.24	3,506,547.91	159,388.54	22,699,033	1,031,774
	Feb	17	1,216,741.80	71,573.05	2,768,715.96	162,865.64	15,644,077	920,240
	Mar	20	1,441,102.77	72,055.14	3,855,536.07	192,776.80	19,813,397	990,670
	Apr	20	1,165,422.10	58,271.10	3,157,858.75	157,892.94	17,850,353	892,518
	May	21	1,262,445.48	60,116.45	2,697,749.97	128,464.28	19,143,496	911,595
	Jun	19	1,295,088.20	68,162.54	2,857,266.77	150,382.46	20,264,715	1,066,564
	Jul	22	1,132,270.64	51,466.85	2,855,772.07	129,807.82	18,450,626	838,665
	Aug	21	1,141,127.04	54,339.38	2,903,832.55	138,277.74	18,297,859	871,327
	Sep	20	1,186,356.80	59,317.84	2,428,857.63	121,442.88	18,042,387	902,119
	Oct	21	1,216,258.84	57,917.09	2,592,380.39	123,446.69	19,230,193	915,723
	Nov	21	1,293,368.41	61,588.97	2,573,204.65	122,533.55	19,565,847	931,707
	Dec	20	1,118,812.87	55,940.64	2,012,729.37	100,636.47	18,227,233	911,362

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value and volume of depositary receipts, 2010 – 2013

Year/Month		No. of trading days	No. of listed companies	No. of issues	Value (HK$thousand)		Volume (thousands of shares)		Deals	
					Total	Average daily	Total	Average daily	Total	Average daily
2010		18	1	2	119,437.92	6,635.44	460.90	25.61	1,220	68
2011		246	3	4	571,802.35	2,324.40	4,681.30	19.03	6,106	25
2012		247	3	4	81,508.69	329.99	1,011.11	4.09	2,916	12
2013		244	3	4	49,392.92	202.43	1,813.28	7.43	1,432	6
2013	Jan	22	3	4	6,825.35	310.24	52.40	2.38	196	9
	Feb	17	3	4	4,686.08	275.65	37.25	2.19	113	7
	Mar	20	3	4	3,563.09	178.15	37.45	1.87	99	5
	Apr	20	3	4	7,310.99	365.55	358.40	17.92	209	10
	May	21	3	4	11,277.73	537.03	560.60	26.70	280	13
	Jun	19	3	4	2,260.61	118.98	33.25	1.75	90	5
	Jul	22	3	4	754.69	34.30	25.25	1.15	51	2
	Aug	21	3	4	1,242.39	59.16	59.15	2.82	41	2
	Sep	20	3	4	5,695.96	284.80	289.50	14.48	127	6
	Oct	21	3	4	1,701.31	81.01	60.40	2.88	58	3
	Nov	21	3	4	2,757.41	131.31	214.38	10.21	109	5
	Dec	20	3	4	1,317.34	65.87	85.25	4.26	59	3

Note: The first HDRs, which are the Depositary Reciepts on the Common Shares and Class A Preferred Shares of Vale S.A., commenced trading on 8 December 2010

Trading value by type of securities, 2013

Month	Equities		Warrants	ELI	CBBC	Unit trusts	Debt securities	iShare	Market total
	Mil of shares	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil	HK$mil
Jan	315,294.84	1,234,708.25	221,558.13	0.00	128,707.73	131,534.31	288.79	0.00	1,716,797.21
Feb	164,300.00	850,056.58	178,714.02	0.00	91,472.74	96,351.51	146.96	0.00	1,216,741.80
Mar	188,499.21	996,287.66	205,571.26	0.00	135,731.76	103,362.19	149.90	0.00	1,441,102.77
Apr	154,123.52	809,753.95	152,627.58	0.00	117,793.41	85,162.86	84.30	0.00	1,165,422.10
May	191,434.13	949,700.48	135,048.74	0.00	95,564.25	81,985.49	146.52	0.00	1,262,445.48
Jun	189,756.63	946,760.06	141,322.25	0.00	112,651.01	92,767.09	1,587.78	0.00	1,295,088.20
Jul	179,252.39	799,299.83	152,538.63	0.00	111,717.88	68,131.20	583.10	0.00	1,132,270.64
Aug	174,913.14	833,281.30	122,830.18	0.00	119,595.56	65,136.91	283.08	0.00	1,141,127.04
Sep	193,537.62	898,433.83	114,617.04	0.00	99,466.18	73,533.96	305.79	0.00	1,186,356.80
Oct	244,860.81	936,372.04	143,011.78	0.00	86,004.31	50,618.42	252.29	0.00	1,216,258.84
Nov	238,369.33	1,000,101.46	122,133.81	0.00	96,146.55	74,698.82	287.77	0.00	1,293,368.41
Dec	213,289.64	888,262.95	93,422.10	0.00	74,376.88	62,404.33	346.61	0.00	1,118,812.87
Total	2,447,631.27	11,143,018.39	1,783,395.52	0.00	1,269,228.26	985,687.09	4,462.90	0.00	15,185,792.16

Notes:
1. Turnover values and shares have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
3. Turnover for equities included the trading only of NASDAQ stocks transaction.

Trading value by Hang Seng Industry Classification System, 2013

Industry Classification *	No. of issue #	Equity turnover		
		HK$mil	% of total	Annual turnover velocity (%)
Energy	71	1,077,656.51	9.67	72.68
Materials	134	303,916.88	2.73	64.76
Industrial	198	555,856.72	4.99	64.94
Consumer Goods	357	1,469,087.59	13.18	52.31
Consumer Services	155	863,822.33	7.75	34.07
Telecommunications	13	526,936.92	4.73	25.44
Utilities	42	572,977.91	5.14	44.38
Financials	123	3,177,190.19	28.51	45.75
Properties & Construction	232	1,536,409.23	13.79	47.69
Information Technology	111	821,137.58	7.37	57.48
Conglomerates	15	238,026.15	2.14	29.78
Sub-total	1451	11,143,018.01	100.00	46.61
NASDAQ stocks	7	0.38	0.00	n.a.
Equity total	1458	11,143,018.39	100.00	n.a.

Notes:
1. Equity turnover values have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.
Year-end figures
* Industry Classification is provided by Hang Seng Indexes Company Limited.
3. New Hang Seng Industry Classification System took effect on 9 September 2013.

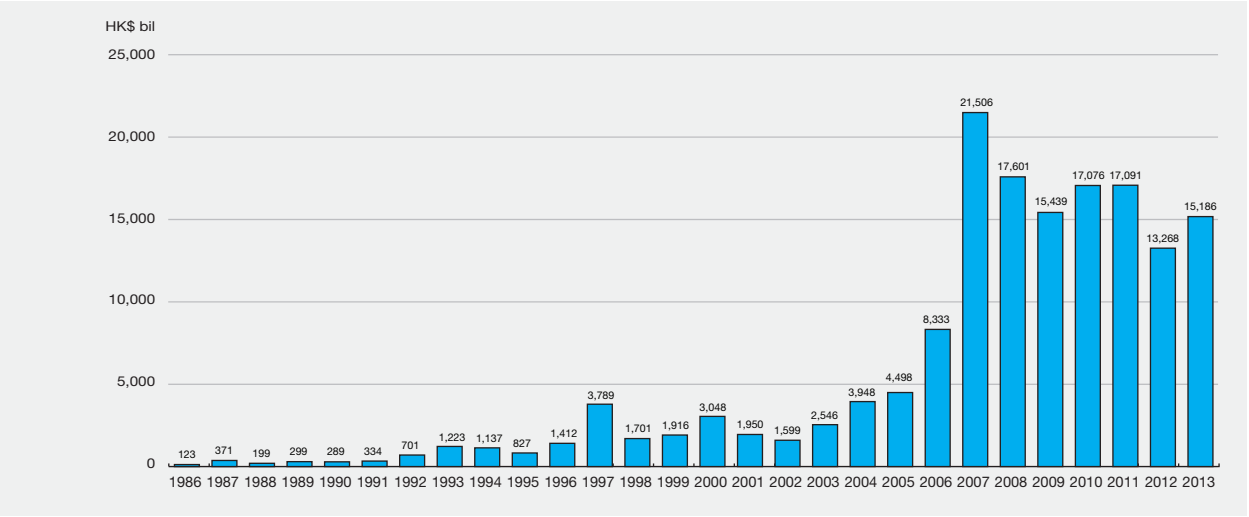
20 most active stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK$mil)	% of equity total
1	00939	CCB	392,352.71	3.52
2	01398	ICBC	364,319.46	3.27
3	00941	CHINA MOBILE	354,725.08	3.18
4	00700	TENCENT	339,995.93	3.05
5	00005	HSBC HOLDINGS	283,265.00	2.54
6	03988	BANK OF CHINA	276,384.49	2.48
7	02318	PING AN	272,121.50	2.44
8	01299	AIA	231,034.76	2.07
9	00883	CNOOC	208,152.78	1.87
10	02628	CHINA LIFE	187,251.09	1.68
11	00857	PETROCHINA	182,291.68	1.64
12	00386	SINOPEC CORP	167,498.21	1.50
13	00013	HUTCHISON	135,346.40	1.21
14	00016	SHK PPT	129,804.38	1.17
15	01988	MINSHENG BANK	129,353.38	1.16
16	00027	GALAXY ENT	123,801.28	1.11
17	01088	CHINA SHENHUA	121,990.22	1.09
18	01928	SANDS CHINA LTD	121,587.95	1.09
19	01288	ABC	119,817.23	1.08
20	00388	HKEX	119,780.85	1.08
		Total	4,260,874.35	38.24
		Equity total	11,143,018.39	100.00

20 most active stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of equity total
1	03988	BANK OF CHINA	78,401.16	3.20
2	01398	ICBC	68,490.25	2.80
3	00939	CCB	65,041.53	2.66
4	00397	JUNYANG SOLAR	42,030.20	1.72
5	03800	GCL-POLY ENERGY	41,048.49	1.68
6	00566	HANERGY SOLAR	38,821.64	1.59
7	00981	SMIC	37,177.94	1.52
8	01288	ABC	32,927.55	1.35
9	00493	GOME	28,462.74	1.16
10	00152	SHENZHEN INT'L	28,211.16	1.15
11	00076	SOUTH SEA PETRO	23,481.63	0.96
12	00386	SINOPEC CORP	23,366.01	0.95
13	00702	SINO OIL & GAS	22,821.81	0.93
14	00326	CHINA STAR ENT	20,169.13	0.82
15	00857	PETROCHINA	19,513.76	0.80
16	00070	NEPTUNE GROUP	18,287.14	0.75
17	00221	PNG RESOURCES	17,214.95	0.70
18	00175	GEELY AUTO	15,670.71	0.64
19	03333	EVERGRANDE	15,536.67	0.63
20	00149	CH AGRI-PROD EX	14,432.08	0.59
		Total	651,106.58	26.60
		Equity total	2,447,631.27	100.00

Trading value, 1986 – 2013



Market capitalisation and trading value of listed companies by incorporation place

End of 2013

Country	No. of listed companies [1]	Market capitalisation (HK$mil) [2]	% of total	Turnover (HK$mil)	% of equity total
Bermuda	461	2,210,362.65	9.24	1,007,299.25	9.04
Brazil	1	–	–	30.85	0.00
British Virgin Islands	4	9,506.48	0.04	8,303.15	0.07
Canada	4	12,936.41	0.05	9,426.55	0.08
Cayman Islands	599	5,754,783.20	24.07	2,564,098.57	23.01
England	4	2,005,325.43	8.39	316,731.37	2.84
Hong Kong	203	8,702,528.00	36.40	2,928,460.49	26.28
Italy	1	176,558.86	0.74	37,994.76	0.34
Japan	2	20,168.39	0.08	6,451.71	0.06
Jersey	3	40,173.21	0.17	5,346.42	0.05
Luxembourg	2	57,578.35	0.24	39,318.39	0.35
PRC	158	4,906,583.21	20.52	4,218,111.32	37.85
Singapore	8	12,299.61	0.05	1,444.33	0.01
USA	1	–	–	0.83	0.00
USA – NASDAQ [3]	7	–	–	0.38	0.00
Total	1,451	23,908,803.80	100.00	11,143,018.39	100.00

Notes:

(1) Total no. of listed companies are excluded the NASDAQ Stocks.

(2) Foreign companies not solely listed in Hong Kong and stock suspended over 1 year are excluded.

(3) NASDAQ stocks are quoted on the Exchange for trading only.

Statistics on Hang Seng Index Stocks, 2013

End of 2013

Sector	Stock code	Stock name	Turnover (HK$mil)	% of equity total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
Finance (12)									
	00005	HSBC HOLDINGS	283,265.00	2.54	1,584,513.15	6.63	84.150	3.51	14.58
	00939	CCB	392,352.71	3.52	1,406,441.32	5.88	5.850	-5.95	6.06
	01299	AIA	231,034.76	2.07	468,511.60	1.96	38.900	28.60	19.82
	01398	ICBC	364,319.46	3.27	454,800.79	1.90	5.240	-4.73	6.14
	03988	BANK OF CHINA	276,384.49	2.48	298,531.53	1.25	3.570	3.18	5.72
	02388	BOC HONG KONG	71,359.42	0.64	262,733.59	1.10	24.850	3.11	10.05
	00011	HANG SENG BANK	41,326.55	0.37	240,318.63	1.01	125.700	5.90	12.37
	02318	PING AN	272,121.50	2.44	217,359.92	0.91	69.450	7.01	21.97
	03328	BANKCOMM	42,142.83	0.38	191,514.89	0.80	5.470	-6.34	4.95
	02628	CHINA LIFE	187,251.09	1.68	180,448.49	0.75	24.250	-4.15	49.61
	00388	HKEX	119,780.85	1.07	150,181.05	0.63	129.300	-1.97	34.46
	00023	BANK OF E ASIA	17,740.36	0.16	75,210.42	0.31	32.850	10.79	12.08
Utilities (4)									
	00003	HK & CHINA GAS	41,637.90	0.37	169,970.94	0.71	17.780	-7.53	21.99
	00002	CLP HOLDINGS	50,266.06	0.45	154,871.42	0.65	61.300	-5.47	17.77
	00006	POWER ASSETS	45,767.92	0.41	131,577.23	0.55	61.650	-6.87	13.52
	00836	CHINA RES POWER	42,337.40	0.38	88,064.08	0.37	18.380	-7.08	11.59
Properties (9)									
	00001	CHEUNG KONG	116,258.91	1.04	283,498.51	1.19	122.400	2.86	8.82
	00016	SHK PPT	129,804.38	1.16	265,991.39	1.11	98.350	-15.36	6.44
	00004	WHARF HOLDINGS	74,835.68	0.67	179,686.55	0.75	59.300	-2.15	3.80
	00688	CHINA OVERSEAS	113,164.00	1.02	178,163.03	0.75	21.800	-5.63	9.52
	00012	HENDERSON LAND	47,604.96	0.43	119,430.58	0.50	44.250	-11.01	5.75
	01109	CHINA RES LAND	49,216.42	0.44	112,064.69	0.47	19.220	-8.91	10.57
	00101	HANG LUNG PPT	40,536.08	0.36	109,732.94	0.46	24.500	-20.45	13.06
	00083	SINO LAND	20,974.62	0.19	63,405.02	0.27	10.600	-23.96	5.38
	00017	NEW WORLD DEV	46,385.24	0.42	61,794.28	0.26	9.790	-18.55	4.30
Commerce & Industry (25)									
	00941	CHINA MOBILE	354,725.08	3.18	1,616,224.73	6.76	80.400	-10.91	10.01
	00700	TENCENT	339,995.93	3.05	920,636.99	3.85	494.600	98.63	56.88
	00883	CNOOC	208,152.78	1.87	643,816.32	2.69	14.420	-14.06	8.09
	01928	SANDS CHINA LTD	121,587.95	1.09	510,790.98	2.14	63.350	86.60	52.91
	00013	HUTCHISON	135,346.40	1.21	449,359.28	1.88	105.400	30.28	17.20
	00027	GALAXY ENT	123,801.28	1.11	293,459.52	1.23	69.550	129.16	39.47
	00762	CHINA UNICOM	71,339.57	0.64	275,818.20	1.15	11.600	-6.60	30.83
	00857	PETROCHINA	182,291.68	1.64	179,340.65	0.75	8.500	-22.59	10.80
	00066	MTR CORPORATION	17,656.47	0.16	170,180.12	0.71	29.350	-3.77	12.55
	00386	SINOPEC CORP	167,498.21	1.50	161,500.07	0.68	6.330	-6.28	8.96
	00151	WANT WANT CHINA	39,840.57	0.36	148,105.88	0.62	11.200	4.87	34.27
	00322	TINGYI	32,597.97	0.29	125,350.43	0.52	22.400	3.94	35.28
	01044	HENGAN INT'L	46,845.09	0.42	112,794.84	0.47	91.600	30.95	31.99
	00135	KUNLUN ENERGY	71,284.68	0.64	110,133.62	0.46	13.660	-15.57	16.35
	00992	LENOVO GROUP	85,502.86	0.77	98,086.03	0.41	9.430	34.33	19.63
	00494	LI & FUNG	68,736.96	0.62	83,603.98	0.35	10.000	-26.90	17.21
	01088	CHINA SHENHUA	121,990.22	1.09	83,095.34	0.35	24.450	-27.98	7.97
	00019	SWIRE PACIFIC A	33,121.67	0.30	82,317.09	0.34	90.900	-5.16	7.82
	01880	BELLE INT'L	72,242.94	0.65	75,655.07	0.32	8.970	-46.67	13.91
	00144	CHINA MER HOLD	24,545.41	0.22	71,501.09	0.30	28.300	13.88	18.46
	00293	CATHAY PAC AIR	13,600.25	0.12	64,515.05	0.27	16.400	15.33	70.45
	00291	CHINA RESOURCES	23,186.01	0.21	61,887.63	0.26	25.750	-7.87	15.67
	00267	CITIC PACIFIC	24,096.53	0.22	43,282.41	0.18	11.860	2.60	6.22
	01199	COSCO PACIFIC	18,001.03	0.16	30,987.14	0.13	10.640	-3.62	10.90
	01898	CHINA COAL	55,033.62	0.49	17,905.05	0.07	4.360	-48.22	5.24
	Total (50)		5,570,889.71	49.99	13,879,163.57	58.05			
	Equity total		11,143,018.39	100.00	23,908,803.80	100.00			

Notes:

* As compared with previous year.

( ) The number of constituent stocks.

Statistics on Hang Seng China Enterprises Index stocks, 2013

End of 2013

Stock code	Stock name	Turnover (HK$mil)	% of equity total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
00939	CCB	392,352.71	3.52	1,406,441.32	5.88	5.850	-5.95	6.06
01398	ICBC	364,319.46	3.27	454,800.79	1.90	5.240	-4.73	6.14
03988	BANK OF CHINA	276,384.49	2.48	298,531.53	1.25	3.570	3.18	5.72
02318	PING AN	272,121.50	2.44	217,359.92	0.91	69.450	7.01	21.97
03328	BANKCOMM	42,142.83	0.38	191,514.89	0.80	5.470	-6.34	4.95
02628	CHINA LIFE	187,251.09	1.68	180,448.49	0.75	24.250	-4.15	49.61
00857	PETROCHINA	182,291.68	1.64	179,340.65	0.75	8.500	-22.59	10.80
00386	SINOPEC CORP	167,498.21	1.50	161,500.07	0.68	6.330	-6.28	8.96
01288	ABC	119,817.23	1.08	117,114.92	0.49	3.810	-0.52	6.83
02601	CPIC	79,472.94	0.71	84,369.12	0.35	30.400	6.11	41.42
01088	CHINA SHENHUA	121,990.22	1.09	83,095.34	0.35	24.450	-27.98	7.97
03968	CM BANK	81,936.60	0.74	75,841.69	0.32	16.520	1.37	6.45
00998	CITIC BANK	43,882.94	0.39	62,653.91	0.26	4.210	-8.48	5.08
00728	CHINA TELECOM	53,392.11	0.48	54,399.45	0.23	3.920	-9.05	17.03
01988	MINSHENG BANK	129,353.38	1.16	49,748.43	0.21	8.610	-3.91	5.13
02328	PICC P&C	47,301.23	0.42	48,527.14	0.20	11.500	11.47	11.34
02333	GREATWALL MOTOR	63,879.46	0.57	44,220.10	0.18	42.800	75.05	18.31
00168	TSINGTAO BREW	18,466.52	0.17	42,939.78	0.18	65.550	43.28	40.31
00914	ANHUI CONCH	82,139.23	0.74	37,363.50	0.16	28.750	1.95	19.27
02883	CHINA OILFIELD	29,129.83	0.26	36,913.19	0.15	24.050	50.50	18.99
00489	DONGFENG GROUP	45,042.98	0.40	34,668.59	0.15	12.140	1.51	9.21
00916	CHINA LONGYUAN	33,729.99	0.30	33,366.89	0.14	9.990	86.38	23.07
01339	PICC GROUP	57,564.76	0.52	32,723.38	0.14	3.750	-4.09	15.36
01800	CHINA COMM CONS	32,417.49	0.29	27,671.88	0.12	6.250	-16.44	6.51
01336	NCI	30,360.69	0.27	26,886.79	0.11	26.000	-11.71	22.14
00753	AIR CHINA	18,880.52	0.17	26,417.94	0.11	5.790	-11.60	12.13
00902	HUANENG POWER	43,124.71	0.39	24,923.24	0.10	7.010	-2.23	14.32
06030	CITIC SEC	46,268.70	0.42	24,921.63	0.10	21.150	7.91	44.03
03323	CNBM	85,564.98	0.77	24,012.29	0.10	8.340	-26.46	6.46
01099	SINOPHARM	26,773.07	0.24	22,116.70	0.09	22.250	-8.25	21.69
06837	HAITONG SEC	41,411.97	0.37	20,149.97	0.08	13.500	1.50	32.64
01066	WEIGAO GROUP	21,498.62	0.19	19,703.84	0.08	10.460	35.67	37.61
00358	JIANGXI COPPER	45,713.29	0.41	19,424.75	0.08	14.000	-31.37	7.51
02238	GAC GROUP	18,139.80	0.16	18,768.79	0.08	8.480	23.44	38.10
01898	CHINA COAL	55,033.62	0.49	17,905.05	0.07	4.360	-48.22	5.24
00390	CHINA RAILWAY	21,161.60	0.19	16,829.56	0.07	4.000	-11.70	9.28
02338	WEICHAI POWER	22,353.75	0.20	15,180.00	0.06	31.250	-9.16	16.72
01171	YANZHOU COAL	59,405.21	0.53	13,865.47	0.06	7.080	-44.77	4.48
01157	ZOOMLION	29,512.20	0.26	10,353.41	0.04	7.240	-36.60	6.09
02899	ZIJIN MINING	24,487.88	0.22	9,973.55	0.04	1.660	-45.57	5.56
		3,513,569.46	31.53	4,266,987.93	17.85			
Equity total		11,143,018.39	100.00	23,908,803.80	100.00			

* As compared with previous year.

Statistics on Hang Seng China-Affiliated Corporations Index stocks, 2013

End of 2013

Stock code	Stock name	Turnover (HK$mil)	% of equity total	Market capitalisation (HK$mil)	% of equity total	Closing price (HK$)	Change* (%)	P/E ratio (times)
00941	CHINA MOBILE	354,725.08	3.18	1,616,224.73	6.76	80.400	-10.91	10.01
00883	CNOOC	208,152.78	1.87	643,816.32	2.69	14.420	-14.06	8.09
00762	CHINA UNICOM	71,339.57	0.64	275,818.20	1.15	11.600	-6.60	30.83
00688	CHINA OVERSEAS	113,164.00	1.02	178,163.03	0.75	21.800	-5.63	9.52
01109	CHINA RES LAND	49,216.42	0.44	112,064.69	0.47	19.220	-8.91	10.57
00135	KUNLUN ENERGY	71,284.68	0.64	110,133.62	0.46	13.660	-15.57	16.35
00992	LENOVO GROUP	85,502.86	0.77	98,086.03	0.41	9.430	34.33	19.63
00392	BEIJING ENT	26,380.43	0.24	97,541.37	0.41	76.900	52.28	26.75
00836	CHINA RES POWER	42,337.40	0.38	88,064.08	0.37	18.380	-7.08	11.59
00144	CHINA MER HOLD	24,545.41	0.22	71,501.09	0.30	28.300	13.88	18.46
01114	BRILLIANCE CHI	32,813.14	0.29	63,525.73	0.27	12.640	32.49	22.09
00291	CHINA RESOURCES	23,186.01	0.21	61,887.63	0.26	25.750	-7.87	15.67
01193	CHINA RES GAS	21,022.04	0.19	60,048.35	0.25	27.000	68.75	32.97
00270	GUANGDONG INV	13,372.74	0.12	47,293.02	0.20	7.580	24.47	13.84
00257	CHINA EB INT'L	26,154.56	0.23	46,540.93	0.19	10.380	164.80	35.01
00267	CITIC PACIFIC	24,096.53	0.22	43,282.41	0.18	11.860	2.60	6.22
01313	CHINARES CEMENT	16,642.03	0.15	34,036.61	0.14	5.210	2.56	14.61
01199	COSCO PACIFIC	18,001.03	0.16	30,987.14	0.13	10.640	-3.62	10.90
00363	SHANGHAI IND H	8,189.67	0.07	30,656.52	0.13	28.350	4.04	8.91
00966	CHINA TAIPING	11,480.18	0.10	27,021.06	0.11	15.840	0.89	28.82
00817	FRANSHION PPT	3,854.73	0.03	24,736.02	0.10	2.700	-3.23	7.32
00165	CHINA EB LTD	10,705.19	0.10	21,088.42	0.09	12.260	-17.94	18.48
00606	CHINA AGRI	9,978.06	0.09	20,317.04	0.08	3.870	-10.83	13.59
00123	YUEXIU PROPERTY	12,076.62	0.11	17,801.32	0.07	1.910	-22.04	5.72
00119	POLY PROPERTY	15,851.72	0.14	15,088.80	0.06	4.140	-31.68	5.68
		1,294,072.88	11.61	3,835,724.14	16.04			
Equity total		11,143,018.39	100.00	23,908,803.80	100.00			

* As compared with previous year.

Statistics on China enterprises (H shares), 2009 – 2013

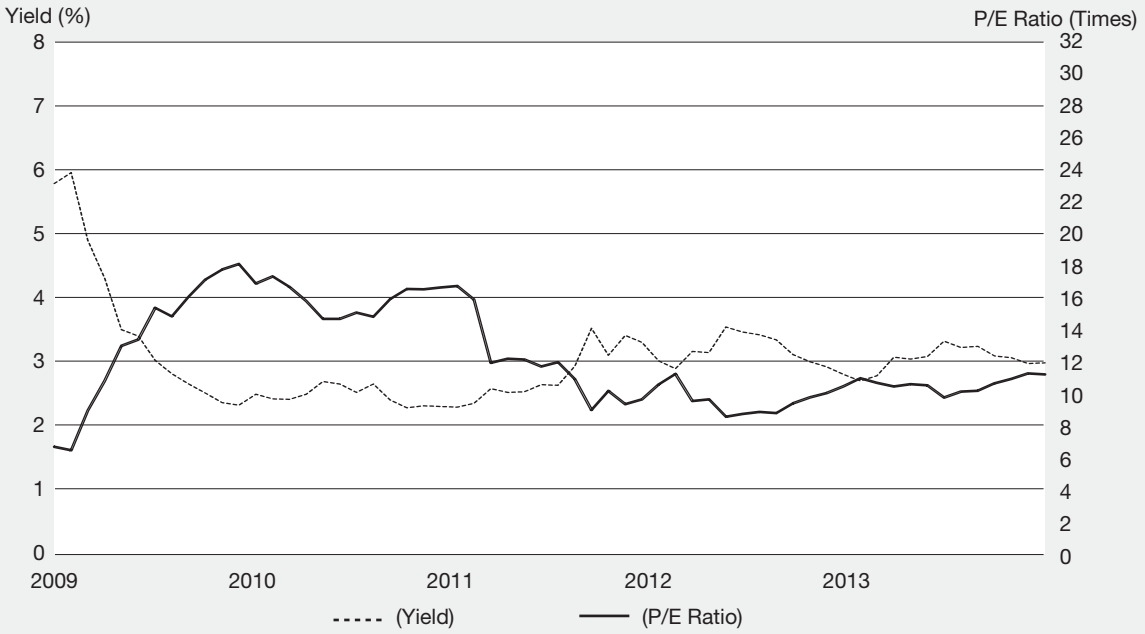
Year/Month		No. of issues	Turnover				Market capitalisation	
			Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2009		116	848,968.80	23.55	5,152,805.63	44.56	4,686,418.75	26.37
2010		128	597,873.58	17.33	4,700,842.42	38.29	5,210,324.73	24.88
2011		139	633,718.06	23.75	4,662,787.32	38.84	4,096,659.80	23.47
2012		148	569,310.59	29.07	3,681,421.40	38.77	4,890,925.94	22.36
2013		158	631,298.16	25.79	4,217,366.31	37.85	4,906,583.21	20.52
2013	Jan	148	63,164.96	20.03	448,756.95	36.35	5,229,735.22	22.72
	Feb	148	43,858.61	26.69	370,152.93	43.54	5,008,963.21	22.19
	Mar	148	56,034.73	29.73	371,104.48	37.25	4,823,299.14	22.06
	Apr	148	49,021.44	31.81	326,967.14	40.38	4,870,560.23	21.85
	May	149	54,047.88	28.23	351,743.72	37.04	4,785,624.39	21.55
	Jun	150	62,142.01	32.75	359,077.11	37.93	4,211,996.09	20.44
	Jul	151	51,413.61	28.68	313,134.62	39.18	4,366,917.38	20.40
	Aug	151	48,116.85	27.51	308,372.61	37.01	4,409,371.98	20.39
	Sep	151	55,087.11	28.46	346,830.07	38.60	4,640,319.86	20.44
	Oct	151	44,817.07	18.30	295,092.55	31.51	4,764,452.00	20.45
	Nov	153	55,727.75	23.38	401,698.64	40.17	5,089,650.75	21.20
	Dec	158	47,866.15	22.44	324,435.50	36.52	4,906,583.21	20.52

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on red chips stocks, 2009 – 2013

Year/Month		No. of issues	Turnover				Market capitalisation	
			Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2009		92	352,961.37	9.79	1,936,589.39	16.75	3,862,143.29	21.73
2010		97	252,722.57	7.32	1,928,712.11	15.71	4,380,687.29	20.92
2011		102	175,882.10	6.59	1,699,518.79	14.16	3,999,091.91	22.91
2012		103	156,923.72	8.01	1,459,847.85	15.37	4,835,257.67	20.22
2013		116	251,052.12	10.26	1,704,419.16	15.30	4,815,316.86	20.14
2013	Jan	115	36,701.92	11.64	210,897.11	17.08	4,913,005.98	21.35
	Feb	115	19,654.72	11.96	121,217.77	14.26	4,821,989.08	21.36
	Mar	115	20,764.66	11.02	156,666.66	15.73	4,633,223.14	21.19
	Apr	115	18,841.71	12.23	129,408.46	15.98	4,735,354.56	21.25
	May	115	25,632.20	13.39	149,158.77	15.71	4,667,729.92	21.02
	Jun	115	19,320.94	10.18	140,337.52	14.82	4,427,964.01	21.49
	Jul	115	16,576.14	9.25	121,625.74	15.22	4,527,370.98	21.15
	Aug	116	19,865.33	11.36	137,918.58	16.55	4,668,413.04	21.59
	Sep	116	16,985.95	8.78	132,791.66	14.78	4,803,652.81	21.16
	Oct	116	19,897.24	8.13	139,903.25	14.94	4,816,248.14	20.67
	Nov	116	20,079.85	8.42	139,814.32	13.98	4,963,236.84	20.68
	Dec	116	16,731.47	7.84	124,679.32	14.04	4,815,316.86	20.14

Average dividend yields and average price earnings ratios movement, 2009 – 2013



Average dividend yields and average price earning ratios of HSI, HSCEI and HSCCI stocks*, 2009 – 2013

Year/Month		Average dividend yields (%)			Average price earning ratios (Times)		
		HSI	HSCEI	HSCCI	HSI	HSCEI	HSCCI
2009		2.99	2.20	2.77	19.57	19.88	15.82
2010		2.65	2.23	1.74	17.06	16.90	19.63
2011		3.53	3.20	2.29	10.16	9.56	12.82
2012		3.18	3.29	2.10	10.86	9.58	12.93
2013		3.20	3.76	2.18	11.43	8.84	12.93
2013	Jan	3.04	3.10	2.02	11.38	10.17	13.41
	Feb	3.14	3.29	2.07	10.88	9.59	13.11
	Mar	3.39	3.74	2.26	10.80	9.09	12.39
	Apr	3.33	3.74	2.22	11.01	9.01	12.55
	May	3.38	3.85	2.31	10.83	8.76	12.17
	Jun	3.59	4.31	2.51	10.11	7.70	11.21
	Jul	3.41	4.16	2.48	10.64	7.98	11.36
	Aug	3.43	4.09	2.38	10.55	8.10	11.82
	Sep	3.26	3.91	2.27	11.19	8.49	12.44
	Oct	3.90	3.80	2.19	10.45	8.75	12.93
	Nov	3.12	3.53	2.12	11.69	9.42	13.31
	Dec	3.20	3.76	2.18	11.43	8.84	12.93

* Month-end or year-end figures

Average dividend yields of all listed stocks by Hang Seng Industry Classification System*, 2009 – 2013

(%)

Year/Month		All listed stocks	Energy	Materials	Industrial	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2009		2.33	2.54	0.79	1.00	1.77	1.84	3.95	2.45	2.68	1.69	0.78	2.52
2010		2.31	2.04	1.11	1.05	1.88	1.76	3.40	2.42	2.81	1.98	1.09	2.26
2011		3.31	3.25	2.44	3.31	2.36	3.36	3.42	2.59	3.98	3.23	1.64	3.69
2012		2.81	2.63	1.69	2.25	2.14	2.34	3.45	2.46	3.63	2.31	0.97	3.18
2013		2.99	3.56	1.85	2.11	2.01	1.87	3.93	2.25	4.13	3.05	1.02	2.70
2013	Jan	2.71	2.68	1.59	2.14	2.07	2.38	3.61	2.33	3.38	2.25	0.89	3.00
	Feb	2.79	2.82	1.62	2.18	2.13	2.51	3.71	2.26	3.47	2.35	0.87	2.95
	Mar	3.08	2.96	1.55	2.17	2.17	2.57	3.85	2.29	4.09	2.74	0.99	3.12
	Apr	3.05	3.09	1.63	2.00	2.22	2.74	3.71	2.22	4.01	2.62	0.94	3.07
	May	3.09	3.16	1.68	1.88	2.27	2.61	3.82	2.32	4.10	2.74	0.99	3.09
	Jun	3.33	3.46	1.95	2.06	2.43	2.79	3.91	2.44	4.53	2.97	1.04	3.22
	Jul	3.23	3.36	1.98	2.04	2.36	2.67	3.80	2.40	4.33	2.97	0.95	3.18
	Aug	3.25	3.63	1.90	2.01	2.30	2.56	3.83	2.52	4.44	3.00	0.93	3.07
	Sep	3.10	3.56	1.93	2.39	2.11	2.23	3.69	2.44	4.20	2.98	0.85	2.96
	Oct	3.07	3.45	1.86	2.35	2.03	2.06	3.87	2.39	4.17	3.01	1.13	2.87
	Nov	2.98	3.33	1.81	2.23	2.00	2.00	3.79	2.30	4.00	3.01	1.10	2.83
	Dec	2.99	3.56	1.85	2.11	2.01	1.87	3.93	2.25	4.13	3.05	1.02	2.70

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Average price earnings ratios of all listed stocks by Hang Seng Industry Classification System*, 2009 – 2013

(Times)

Year/Month		All listed stocks	Energy	Materials	Industrial	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2009		18.13	13.93	29.23	23.29	23.87	12.74	10.52	20.37	20.12	20.62	41.71	20.04
2010		16.67	21.01	21.93	28.30	23.31	24.37	13.00	18.73	18.28	9.56	33.42	12.02
2011		9.68	10.42	7.25	7.24	16.02	11.26	13.26	15.86	9.54	5.71	18.49	6.11
2012		10.50	10.42	9.35	9.38	15.81	16.00	13.15	18.73	10.15	7.04	12.98	5.68
2013		11.24	8.76	11.94	17.52	19.53	23.34	11.45	18.32	9.53	6.19	36.56	10.19
2013	Jan	11.00	10.33	9.94	9.88	16.20	17.57	12.58	19.74	10.92	7.46	14.08	5.94
	Feb	10.72	9.86	10.21	9.94	16.14	16.61	12.47	20.60	10.43	7.22	14.34	5.82
	Mar	10.49	10.51	11.97	12.11	17.05	16.07	11.52	18.25	9.51	6.37	22.51	8.60
	Apr	10.63	8.98	11.78	13.17	16.42	16.21	11.97	18.62	9.65	6.73	23.69	9.82
	May	10.56	8.77	11.75	14.03	16.40	16.46	11.63	17.81	9.50	6.52	26.64	9.76
	Jun	9.80	8.03	11.58	12.93	16.01	15.19	11.35	16.79	8.62	5.94	25.37	9.36
	Jul	10.17	8.26	11.10	13.54	16.54	15.96	11.69	17.11	9.01	6.10	27.42	9.66
	Aug	10.22	8.59	11.43	14.17	17.27	16.58	11.78	16.43	8.86	6.12	28.64	8.75
	Sep	10.69	8.77	11.47	15.40	18.38	18.68	12.20	16.93	9.26	6.30	31.21	9.29
	Oct	10.96	9.06	11.89	15.91	18.95	20.45	11.66	17.27	9.45	6.39	32.45	9.59
	Nov	11.30	9.36	12.26	16.74	19.65	21.79	11.88	17.93	9.83	6.37	33.78	9.73
	Dec	11.24	8.76	11.94	17.52	19.53	23.34	11.45	18.32	9.53	6.19	36.56	10.19

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Cash dividend payout by Hang Seng Industry Classification System*, 2009 – 2013

Year/Month		No. of companies declared	No. of companies paid	Energy (HK$mil)	Materials (HK$mil)	Industrial (HK$mil)	Consumer Goods (HK$mil)	Consumer Services (HK$mil)	Telecommunications (HK$mil)	Utilities (HK$mil)	Financials (HK$mil)	Properties & Construction (HK$mil)	Information Technology (HK$mil)	Conglomerates (HK$mil)	Total dividend payout (HK$mil)
2009		1,098	577	32,662.50	4,342.12	2,873.49	25,742.58	19,323.80	71,335.07	15,298.83	141,661.94	40,123.59	6,254.33	21,394.52	381,012.77
2010		1,206	684	34,384.65	7,584.88	4,878.70	42,022.06	29,681.69	65,858.59	17,336.18	184,929.76	56,842.08	7,171.14	26,438.18	477,127.90
2011		1,294	776	44,172.04	11,293.82	9,579.80	46,429.11	54,059.34	71,056.09	19,373.54	210,246.61	59,287.70	10,866.65	34,170.02	570,534.72
2012		1,314	731	43,962.96	8,528.14	8,190.38	39,267.42	34,105.26	79,129.19	26,032.12	252,345.82	80,026.40	8,742.32	21,740.66	602,070.68
2013		1,372	730	54,436.13	8,381.74	18,694.44	42,662.99	26,009.10	81,408.61	28,931.30	282,270.84	98,550.39	14,222.51	21,552.04	677,120.07
2013	Jan	19	7	–	668.91	15.73	2,106.35	121.35	–	100.49	102.02	2,021.69	–	–	5,136.53
	Feb	139	60	–	–	5.25	322.46	87.82	–	–	499.86	2,091.30	21.13	–	3,027.83
	Mar	909	495	453.14	–	–	131.86	3.02	–	2,475.92	–	921.75	41.47	393.73	4,420.90
	Apr	19	3	–	3.69	770.50	1,050.38	558.73	629.93	–	5,234.82	4,793.37	–	–	13,041.43
	May	59	25	123.98	724.86	1,764.65	4,828.14	1,870.11	2,012.63	153.89	41,798.89	9,111.38	2,093.82	4,818.28	69,300.64
	Jun	237	118	10,581.34	3,243.88	3,499.85	11,991.14	13,030.42	40,294.25	13,999.63	13,055.10	28,753.30	1,947.67	8,499.43	148,896.01
	Jul	36	15	19,455.45	2,131.31	5,055.34	3,274.59	1,049.45	1,883.73	2,137.72	164,766.30	10,017.29	2,990.83	1,091.67	213,853.68
	Aug	972	250	4,616.41	739.27	1,257.50	3,079.68	1,400.09	–	1,309.96	4,635.10	5,965.24	864.73	–	23,867.99
	Sep	67	24	3,307.53	88.78	1,600.45	8,569.22	4,248.38	35,819.89	4,462.84	12,619.83	12,231.90	845.92	3,376.94	87,171.67
	Oct	34	12	15,614.83	529.73	2,114.74	4,862.91	1,841.37	492.88	2,951.82	21,423.54	4,719.13	360.65	2,416.32	57,327.91
	Nov	294	87	20.78	27.09	2,582.28	431.67	962.44	46.94	–	3,501.75	6,142.67	4,034.12	–	17,749.74
	Dec	24	6	262.65	224.23	28.15	2,014.60	835.91	228.35	1,339.02	14,633.64	11,781.37	1,022.17	955.66	33,325.74

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

Short selling transactions by designated stock, 2013

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01361	361 DEGREES	18,182,000	542,678,166	3.35	40,703,610	1,159,533,300	3.51
02018	AAC TECH	260,618,500	1,393,908,696	18.70	9,335,370,125	49,843,786,476	18.73
01288	ABC	4,184,654,920	32,927,551,018	12.71	15,501,717,575	119,817,226,672	12.94
03383	AGILE PROPERTY	326,242,000	2,143,242,349	15.22	3,021,899,640	20,028,444,429	15.09
01299	AIA	685,191,800	6,737,000,248	10.17	23,854,692,750	231,034,756,261	10.33
00753	AIR CHINA	490,130,000	3,182,210,210	15.40	2,917,022,000	18,880,515,640	15.45
00538	AJISEN (CHINA)	61,723,000	646,036,292	9.55	401,630,350	4,445,596,242	9.03
00056	ALLIED PPT (HK)	1,158,000	205,368,226	0.56	1,489,800	250,685,961	0.59
02300	AMVIG HOLDINGS	1,412,000	125,860,193	1.12	4,307,000	408,251,126	1.05
00347	ANGANG STEEL	508,444,000	3,781,759,750	13.44	2,572,508,080	18,966,807,756	13.56
00914	ANHUI CONCH	641,013,642	3,129,715,922	20.48	16,806,704,732	82,139,229,752	20.46
00995	ANHUIEXPRESSWAY	8,642,000	356,339,524	2.43	35,372,820	1,472,748,833	2.40
02020	ANTA SPORTS	237,987,000	1,537,407,223	15.48	1,973,390,470	13,203,685,103	14.95
03337	ANTON OILFIELD	301,014,000	4,669,343,520	6.45	1,484,243,800	22,677,382,770	6.55
01045	APT SATELLITE	631,500	540,075,354	0.12	5,951,700	3,144,762,954	0.19
00743	ASIA CEMENT CH	3,050,000	214,121,635	1.42	12,358,715	868,797,916	1.42
00662	ASIA FINANCIAL	4,000	17,066,033	0.02	14,340	58,768,621	0.02
00522	ASM PACIFIC	41,479,300	239,033,200	17.35	3,422,129,060	20,080,375,608	17.04
02357	AVICHINA	154,328,000	2,727,560,195	5.66	622,593,200	10,838,638,320	5.74
00874	BAIYUNSHAN PH	26,570,000	514,711,036	5.16	695,513,920	13,378,062,920	5.20
03988	BANK OF CHINA	12,141,797,000	78,401,163,713	15.49	43,025,703,450	276,384,489,472	15.57
00023	BANK OF E ASIA	121,757,200	571,063,319	21.32	3,735,225,370	17,740,364,997	21.05
03328	BANKCOMM	998,396,000	7,353,638,445	13.58	5,700,213,530	42,142,825,772	13.53
01293	BAOXIN AUTO	155,333,500	926,991,961	16.76	1,019,031,440	6,361,877,681	16.02
02355	BAOYE GROUP	2,244,000	140,510,813	1.60	12,931,600	805,001,660	1.61
02009	BBMG	129,526,000	1,773,590,865	7.30	781,823,915	10,790,992,649	7.25
00694	BEIJING AIRPORT	98,856,000	1,275,803,039	7.75	564,667,140	7,121,878,992	7.93
00392	BEIJING ENT	87,952,500	451,124,562	19.50	5,208,742,700	26,380,428,380	19.74
00588	BEIJING N STAR	38,000	286,965,743	0.01	64,700	557,239,065	0.01
01880	BELLE INT'L	1,187,950,000	5,925,613,356	20.05	13,926,860,844	72,242,936,275	19.28
02299	BILLION IND	3,387,500	154,928,648	2.19	17,534,550	787,806,173	2.23
01112	BIOSTIME	37,682,500	325,366,262	11.58	1,820,262,275	15,050,958,550	12.09
02868	BJ CAPITAL LAND	38,588,000	783,185,524	4.93	115,837,780	2,380,485,993	4.87
00371	BJ ENT WATER	440,658,000	6,159,611,559	7.15	1,568,971,760	19,261,135,205	8.15
00963	BLOOMAGE BIOTEC	997,500	212,562,015	0.47	16,507,050	2,127,530,943	0.78
02388	BOC HONG KONG	274,230,000	2,799,171,230	9.80	6,968,503,200	71,359,420,263	9.77
01685	BOER POWER	161,000	229,795,322	0.07	1,096,300	1,191,105,632	0.09
01190	BOLINA	9,124,000	1,211,115,501	0.75	30,353,380	3,843,739,643	0.79
00653	BONJOUR HOLD	25,650,000	1,474,024,116	1.74	37,074,280	2,002,956,255	1.85
02832	BOSERA FA50	176,000	66,201,000	0.27	858,484	303,257,397	0.28
82832	BOSERA FA50-R	3,664,000	22,272,800	16.45	16,673,015	102,775,370	16.22
03998	BOSIDENG	486,938,000	3,893,864,213	12.51	909,550,480	7,321,421,544	12.42
00933	BRIGHTOIL	82,562,000	841,574,267	9.81	123,660,920	1,351,156,203	9.15
01114	BRILLIANCE CHI	387,344,000	3,094,899,428	12.52	3,985,893,480	32,813,143,366	12.15
00159	BROCKMAN MINING	17,936,000	216,446,862	8.29	8,293,620	97,863,500	8.47
01211	BYD COMPANY	113,468,000	1,018,132,904	11.14	3,360,313,350	31,658,624,448	10.61
00285	BYD ELECTRONIC	123,662,500	2,500,451,579	4.95	494,548,365	9,900,336,466	5.00
00940	C ANIMAL HEALTH	950,000	503,830,186	0.19	2,543,670	1,308,242,624	0.19
01224	C C LAND	15,962,000	625,689,937	2.55	38,929,340	1,594,744,001	2.44
00721	C FIN INT INV	28,530,000	2,169,470,121	1.32	10,458,670	806,113,959	1.30
00735	C P NEW ENERGY	33,440,000	4,520,017,498	0.74	14,752,800	2,178,411,680	0.68
01194	C PRECIOUSMETAL	111,246,000	2,393,713,728	4.65	136,414,260	2,969,575,013	4.59
00658	C TRANSMISSION	256,756,000	2,914,550,790	8.81	947,415,940	10,963,165,605	8.64
01028	C.BANNER	23,000	47,857,144	0.05	69,820	144,049,425	0.05
00043	C.P. POKPHAND	10,474,000	627,158,814	1.67	9,085,680	532,226,240	1.71
00341	CAFE DE CORAL H	3,464,000	101,982,602	3.40	84,837,100	2,485,018,184	3.41
03180	CAM CES A80	8,000	7,876,200	0.10	66,460	69,107,220	0.10
83180	CAM CES A80-R	4,000	11,178,000	0.04	33,129	96,681,623	0.03
03188	CAM CSI300	658,880,800	2,037,529,317	32.34	20,072,623,270	62,819,154,642	31.95
83188	CAM CSI300-R	111,546,000	310,032,399	35.98	3,421,043,414	9,555,457,484	35.80
00293	CATHAY PAC AIR	150,273,000	945,045,443	15.90	2,154,554,860	13,600,246,631	15.84
00939	CCB	12,518,835,000	65,041,532,939	19.25	76,124,041,380	392,352,714,308	19.40
00661	CDAYENONFER	15,618,000	1,363,397,377	1.15	3,808,486	308,508,149	1.23
00832	CENTRAL CHINA	8,369,000	472,146,464	1.77	20,119,290	1,230,535,994	1.64
06881	CGS	42,979,500	2,935,529,491	1.46	262,694,040	16,422,540,967	1.60
00633	CH ALL ACCESS	28,126,000	2,003,060,219	1.40	73,739,980	5,172,057,603	1.43
00773	CH METAL RECYCL	19,676,400	98,266,027	20.02	186,474,834	923,593,435	20.19
01117	CH MODERN D	338,389,000	4,305,883,409	7.86	1,113,686,170	13,795,188,707	8.07
01146	CH OUTFITTERS	836,000	128,525,727	0.65	1,283,680	169,504,740	0.76
00081	CH OVS G OCEANS	137,525,000	870,316,236	15.80	1,431,451,700	8,820,060,684	16.23
02133	CH POLYMETAL	14,000	167,969,586	0.01	12,600	171,008,921	0.01
01101	CH RONGSHENG	312,030,000	6,934,055,513	4.50	367,149,220	8,454,003,147	4.34
02600	CHALCO	792,236,000	4,344,068,402	18.24	2,354,105,860	13,291,831,809	17.71
02778	CHAMPION REIT	316,335,000	1,654,856,148	19.12	1,210,938,970	6,205,099,614	19.52
01006	CHANGSHOUHUA	5,146,000	456,301,264	1.13	49,741,050	2,958,395,903	1.68
00951	CHAOWEI POWER	43,452,000	838,514,916	5.18	156,795,130	3,083,048,425	5.09
00001	CHEUNG KONG	134,581,700	1,003,843,463	13.41	15,553,933,690	116,258,905,248	13.38
00025	CHEVALIER INT'L	4,000	15,254,465	0.03	52,000	205,493,093	0.03
00976	CHIHO-TIANDE	574,000	74,783,407	0.77	2,300,760	255,452,608	0.90
00031	CHINA AEROSPACE	16,356,000	2,840,657,553	0.58	14,397,820	2,555,727,640	0.56
00606	CHINA AGRI	290,743,000	2,468,940,404	11.78	1,203,917,250	9,978,064,781	12.07
03883	CHINA AOYUAN	8,793,000	889,203,075	0.99	13,116,740	1,419,955,822	0.92
03983	CHINA BLUECHEM	155,356,000	1,339,347,712	11.60	716,772,560	6,388,202,813	11.22
01898	CHINA COAL	1,971,225,000	10,271,633,693	19.19	10,313,747,840	55,033,624,954	18.74
01800	CHINA COMM CONS	413,764,000	4,792,702,819	8.63	2,908,988,510	32,417,491,078	8.97
01919	CHINA COSCO	586,551,500	3,334,180,185	17.59	2,228,813,795	12,895,333,703	17.28

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
03818	CHINA DONGXIANG	606,360,000	5,412,045,984	11.20	781,034,910	6,897,731,745	11.32
00670	CHINA EAST AIR	273,660,000	2,457,867,342	11.13	805,741,180	7,122,305,539	11.31
00257	CHINA EB INT'L	391,534,000	3,964,912,384	9.87	2,550,766,150	26,154,560,584	9.75
00165	CHINA EB LTD	53,722,000	862,923,541	6.23	651,965,980	10,705,190,567	6.09
00506	CHINA FOODS	249,296,000	1,571,506,687	15.86	1,112,622,880	6,450,852,970	17.25
00384	CHINA GAS HOLD	176,154,000	2,144,444,065	8.21	1,425,465,780	17,403,001,186	8.19
00256	CHINA HAIDIAN	4,630,000	775,341,933	0.60	3,574,500	595,259,936	0.60
01900	CHINA ITS	29,805,000	1,424,606,588	2.09	59,018,000	2,681,503,814	2.20
02128	CHINA LESSO	41,785,000	1,473,800,591	2.84	203,117,910	7,149,257,101	2.84
02628	CHINA LIFE	892,873,960	8,610,033,545	10.37	20,168,455,766	187,251,085,747	10.77
01234	CHINA LILANG	28,242,000	436,788,199	6.47	131,964,070	2,063,655,342	6.39
00916	CHINA LONGYUAN	409,775,000	4,329,619,264	9.46	3,183,056,630	33,729,988,669	9.44
00144	CHINA MER HOLD	99,590,000	929,228,560	10.72	2,644,624,200	24,545,405,556	10.77
00133	CHINA MERCHANTS	450,000	51,217,321	0.88	5,003,440	595,546,069	0.84
00941	CHINA MOBILE	507,516,724	4,263,531,754	11.90	42,197,318,412	354,725,080,912	11.90
00026	CHINA MOTOR BUS	200	1,119,417	0.02	13,260	74,506,883	0.02
00603	CHINA OIL & GAS	222,060,000	8,700,648,097	2.55	288,787,400	11,822,425,910	2.44
02883	CHINA OILFIELD	128,092,000	1,626,245,156	7.88	2,263,831,720	29,129,829,424	7.77
00688	CHINA OVERSEAS	816,011,216	5,012,700,332	16.28	18,404,460,606	113,163,998,260	16.26
02380	CHINA POWER	456,886,000	3,310,681,385	13.80	1,307,009,790	9,487,576,759	13.78
01186	CHINA RAIL CONS	523,469,500	3,146,987,704	16.63	4,138,360,800	25,007,934,767	16.55
00390	CHINA RAILWAY	778,679,000	5,066,795,835	15.37	3,230,816,250	21,161,596,298	15.27
00769	CHINA RAREEARTH	40,762,000	932,512,373	4.37	53,827,700	1,323,709,454	4.07
01193	CHINA RES GAS	81,096,000	1,066,023,729	7.61	1,599,494,400	21,022,044,863	7.61
01109	CHINA RES LAND	284,638,000	2,275,973,502	12.51	6,172,387,980	49,216,420,606	12.54
00836	CHINA RES POWER	123,496,000	2,139,122,061	5.77	2,518,447,860	42,337,400,193	5.95
00291	CHINA RESOURCES	164,406,000	922,793,400	17.82	4,128,308,700	23,186,005,566	17.81
02198	CHINA SANJIANG	4,357,000	1,145,908,308	0.38	19,118,910	4,352,415,137	0.44
01966	CHINA SCE PPT	4,921,000	405,075,381	1.21	8,895,080	749,331,277	1.19
01088	CHINA SHENHUA	496,876,000	4,719,685,797	10.53	12,474,860,635	121,990,215,160	10.23
01138	CHINA SHIP DEV	536,524,000	4,066,423,201	13.19	2,208,884,140	17,127,208,788	12.90
01055	CHINA SOUTH AIR	403,766,000	3,590,441,521	11.25	1,351,218,300	12,281,415,660	11.00
03311	CHINA STATE CON	124,550,000	1,555,710,166	8.01	1,470,433,540	17,684,570,512	8.31
00956	CHINA SUNTIEN	87,467,000	2,592,059,445	3.37	227,811,600	6,205,865,448	3.67
00966	CHINA TAIPING	65,815,000	850,510,042	7.74	909,549,838	11,480,184,181	7.92
00728	CHINA TELECOM	1,140,441,000	13,401,447,354	8.51	4,511,306,866	53,392,109,533	8.45
00308	CHINA TRAVEL HK	20,118,000	1,257,860,564	1.60	31,812,320	2,008,460,356	1.58
00762	CHINA UNICOM	1,075,158,000	6,177,086,777	17.41	12,449,413,050	71,339,573,087	17.45
00855	CHINA WATER	13,258,000	547,834,037	2.42	37,414,500	1,507,342,541	2.48
01333	CHINA ZHONGWANG	38,029,200	876,640,505	4.34	100,596,292	2,395,245,453	4.20
00552	CHINACOMSERVICE	172,810,000	2,296,860,949	7.52	857,121,040	11,393,864,916	7.52
02099	CHINAGOLDINTL	75,200	27,817,386	0.27	1,831,364	675,595,613	0.27
01378	CHINAHONGQIAO	44,658,500	1,127,444,947	3.96	195,344,325	4,840,953,438	4.04
03668	CHINALCO-CMC	128,000	1,173,243,000	0.01	146,480	1,749,458,830	0.01
01278	CHINANEWTOWN	10,000	3,174,384,059	0.00	6,200	2,123,182,444	0.00
01838	CHINAPROPERTIES	992,000	194,904,123	0.51	2,283,910	456,499,072	0.50
01313	CHINARES CEMENT	378,884,000	3,424,595,685	11.06	1,753,630,360	16,642,027,238	10.54
00354	CHINASOFT INT'L	36,108,000	1,709,472,781	2.11	78,935,200	3,765,476,898	2.10
01668	CHINASOUTHCITY	76,518,000	2,370,520,613	3.23	152,882,140	4,442,022,539	3.44
01060	CHINAVISION	25,630,000	5,105,068,554	0.50	11,415,250	2,303,187,329	0.50
00127	CHINESE EST H	2,687,000	73,439,898	3.66	41,375,940	1,069,221,980	3.87
01111	CHONG HING BANK	3,235,000	106,020,396	3.05	88,849,700	2,898,107,555	3.07
02722	CHONGQING M&E	24,528,000	1,721,843,750	1.42	26,799,060	1,947,024,327	1.38
00116	CHOW SANG SANG	13,710,000	178,603,411	7.68	284,071,110	3,744,950,651	7.59
01929	CHOW TAI FOOK	248,491,200	1,599,969,894	15.53	2,586,431,774	16,967,842,146	15.24
01938	CHU KONG PIPE	6,506,000	862,120,676	0.75	17,377,470	2,630,807,601	0.66
00884	CIFI HOLD GP	23,882,000	3,160,476,732	0.76	34,833,320	5,043,222,311	0.69
00998	CITIC BANK	1,274,780,000	10,139,419,766	12.57	5,626,921,420	43,882,935,389	12.82
00267	CITIC PACIFIC	406,637,000	2,268,453,539	17.93	4,271,923,190	24,096,532,794	17.73
01205	CITIC RESOURCES	25,034,000	1,036,949,487	2.41	26,937,460	1,140,996,813	2.36
06030	CITIC SEC	412,805,000	2,658,549,386	15.53	7,246,878,290	46,268,697,203	15.66
01883	CITIC TELECOM	67,541,000	1,284,224,342	5.26	170,581,980	3,256,136,140	5.24
01038	CKI HOLDINGS	53,811,000	475,001,819	11.33	2,858,159,900	24,813,965,198	11.52
00775	CKLIFE SCIENCES	5,198,000	1,016,143,717	0.51	3,646,720	714,951,325	0.51
00100	CLEAR MEDIA	274,000	102,656,377	0.27	1,597,660	584,976,802	0.27
00002	CLP HOLDINGS	121,760,000	777,804,650	15.65	7,773,847,475	50,266,055,770	15.47
03968	CM BANK	994,218,704	5,346,745,576	18.59	15,304,391,381	81,936,597,837	18.68
01829	CMEC	26,002,000	1,380,969,906	1.88	135,002,900	8,041,551,978	1.68
03993	CMOC	119,424,000	1,457,359,457	8.19	388,973,370	5,004,182,888	7.77
00867	CMS	78,286,000	958,753,329	8.17	533,760,940	6,698,787,323	7.97
03323	CNBM	1,441,782,000	9,809,243,201	14.70	12,580,717,780	85,564,977,304	14.70
00883	CNOOC	1,422,656,000	13,956,427,401	10.19	21,329,017,100	208,152,775,934	10.25
00906	COFCO PACKAGING	24,237,000	362,075,824	6.69	143,828,340	2,140,266,569	6.72
02342	COMBA	155,035,500	2,972,079,051	5.22	414,399,885	8,270,822,867	5.01
00712	COMTEC SOLAR	19,050,000	6,471,885,859	0.29	30,328,500	11,249,919,137	0.27
00517	COSCO INTL HOLD	8,130,000	265,544,932	3.06	26,178,360	862,600,536	3.03
01199	COSCO PACIFIC	138,570,000	1,599,330,187	8.66	1,556,691,420	18,001,025,290	8.65
02007	COUNTRY GARDEN	696,247,000	6,146,752,986	11.33	3,085,046,510	27,317,061,940	11.29
02601	CPIC	399,306,800	2,830,782,459	14.11	11,073,229,260	79,472,935,716	13.93
03618	CQRC BANK	392,514,000	4,272,764,413	9.19	1,564,683,410	17,030,541,043	9.19
00831	CR ASIA	10,000	35,445,731	0.03	53,820	198,481,792	0.03
00032	CROSS-HAR(HOLD)	7,000	20,996,101	0.03	44,310	134,298,214	0.03
00269	CRTG	60,100,000	7,281,356,201	0.83	22,196,500	2,684,479,017	0.83
02866	CSCL	1,092,841,000	9,740,876,696	11.22	2,291,689,280	20,817,938,723	11.01
03008	C-SHS CSI 300	11,200	5,011,200	0.22	167,988	71,949,612	0.23
83008	C-SHS CSI 300-R	8,000	9,798,200	0.08	119,167	139,722,362	0.09

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00497	CSI PROPERTIES	16,150,000	3,800,574,713	0.42	5,266,750	1,266,842,617	0.42
02822	CSOP A50 ETF	4,488,245,600	17,360,282,101	25.85	44,112,418,598	172,345,202,154	25.60
82822	CSOP A50 ETF-R	275,575,800	1,116,168,208	24.69	2,758,944,339	11,009,323,893	25.06
03137	CSOP CES A80	819,400	11,014,600	7.44	22,514,750	295,084,220	7.63
83137	CSOP CES A80-R	901,000	10,766,600	8.37	23,912,294	287,145,234	8.33
01093	CSPC PHARMA	140,900,000	2,972,866,661	4.74	598,990,400	11,913,353,550	5.03
01766	CSR	542,996,000	4,226,605,645	12.85	3,151,505,400	24,866,179,466	12.67
03898	CSR TIMES-500	94,980,000	785,001,935	12.10	2,306,608,940	19,119,623,125	12.06
00343	CULTURECOM HOLD	2,235,000	483,917,053	0.46	2,890,300	747,209,459	0.39
00440	DAH SING	3,580,400	100,663,205	3.56	142,811,780	4,090,258,460	3.49
02356	DAHSING BANKING	24,486,800	411,135,048	5.96	298,795,924	4,880,379,403	6.12
02880	DALIAN PORT	14,582,000	621,088,289	2.35	26,181,760	1,116,078,909	2.35
00210	DAPHNE INT'L	395,972,000	1,566,473,436	25.28	2,624,970,920	9,315,408,785	28.18
00991	DATANG POWER	344,764,000	3,881,078,524	8.88	1,173,030,640	13,198,106,531	8.89
01798	DATANG RENEW	64,949,000	2,981,082,943	2.18	108,708,910	4,724,284,998	2.30
03335	DBA TELECOM	1,788,000	214,645,867	0.83	8,848,480	530,607,721	1.67
01828	DCH HOLDINGS	172,915,000	838,507,691	20.62	1,187,835,300	5,713,678,919	20.79
00861	DIGITAL CHINA	103,449,000	1,105,422,929	9.36	1,029,748,240	11,645,699,150	8.84
01072	DONGFANG ELEC	96,697,600	734,278,813	13.17	1,282,009,976	9,535,823,652	13.44
00489	DONGFENG GROUP	335,220,000	3,898,214,413	8.60	3,928,595,320	45,042,982,868	8.72
00895	DONGJIANG ENV	642,800	49,804,263	1.29	17,457,270	1,529,803,983	1.14
00189	DONGYUE GROUP	237,444,000	2,125,157,036	11.17	1,081,790,400	9,040,588,271	11.97
06889	DYNAM JAPAN	7,421,400	298,862,597	2.48	160,837,632	6,433,993,705	2.50
03120	EFUND CES 120	9,700	1,955,100	0.50	122,318	24,441,502	0.50
83120	EFUND CES 120-R	8,400	1,460,800	0.58	106,327	18,250,302	0.58
03100	EFUND CSI100	3,808,800	196,276,003	1.94	107,093,015	5,923,795,387	1.81
83100	EFUND CSI100-R	693,200	116,202,717	0.60	19,778,256	3,394,056,279	0.58
00296	EMPEROR E HOTEL	305,000	412,976,432	0.07	1,250,600	1,288,995,970	0.10
00163	EMPEROR INT'L	21,920,000	791,878,615	2.77	49,386,800	1,798,798,344	2.75
00887	EMPEROR WATCH&J	217,130,000	4,043,065,085	5.37	172,997,500	3,121,300,474	5.54
02688	ENN ENERGY	82,472,000	683,692,552	12.06	3,551,535,600	29,309,686,947	12.12
00330	ESPRIT HOLDINGS	339,295,900	1,993,532,930	17.02	3,927,444,551	23,901,038,393	16.43
02830	ETFS GOLD	216	24,680	0.88	211,756	27,530,573	0.77
03119	ETFS PLATINUM	498	15,922	3.13	631,522	18,363,264	3.44
03117	ETFS SILVER	30,040	737,990	4.07	5,518,269	137,691,272	4.01
03333	EVERGRANDE	1,648,901,000	15,536,669,055	10.61	5,570,922,360	55,205,958,633	10.09
00858	EXTRAWELL PHAR	930,000	1,737,992,400	0.05	484,900	1,113,341,044	0.04
00052	FAIRWOOD HOLD	143,000	36,913,854	0.39	2,334,720	605,790,287	0.39
01777	FANTASIA	10,468,500	1,631,914,895	0.64	13,674,195	2,173,555,703	0.63
00830	FAR EAST GLOBAL	4,568,000	2,120,960,174	0.22	8,272,440	4,912,890,681	0.17
00035	FE CONSORT INTL	18,059,000	534,075,059	3.38	47,466,540	1,365,138,530	3.48
03360	FE HORIZON	122,433,000	1,397,526,777	8.76	661,748,840	7,769,070,336	8.52
02038	FIH	325,225,000	3,579,084,530	9.09	1,196,778,180	14,267,624,082	8.39
00142	FIRST PACIFIC	236,310,000	1,509,302,525	15.66	2,110,216,420	13,909,911,436	15.17
00038	FIRST TRACTOR	56,950,000	802,118,197	7.10	319,523,440	4,765,083,058	6.71
00778	FORTUNE REIT	20,181,000	736,690,090	2.74	138,595,220	5,056,220,786	2.74
00656	FOSUN INTL	148,810,500	1,162,995,942	12.80	990,517,885	7,308,211,959	13.55
02196	FOSUN PHARMA	22,085,500	748,535,807	2.95	393,468,520	11,453,274,973	3.44
00817	FRANSHION PPT	209,414,000	1,447,085,566	14.47	560,356,360	3,854,731,204	14.54
06888	FREETECH	781,000	1,032,197,832	0.08	2,635,370	3,233,128,244	0.08
00546	FUFENG GROUP	88,146,000	1,083,585,516	8.13	269,177,150	3,414,622,658	7.88
01030	FUTURE LAND	15,934,000	2,054,979,520	0.78	16,261,840	2,872,187,139	0.57
02238	GAC GROUP	438,650,000	2,316,035,162	18.94	3,246,925,980	18,139,801,288	17.90
00027	GALAXY ENT	414,836,500	2,894,039,372	14.33	17,157,734,525	123,801,275,374	13.86
00468	GAPACK	35,185,000	1,038,332,364	3.39	167,578,290	4,877,166,304	3.44
03800	GCL-POLY ENERGY	2,195,977,182	41,048,492,942	5.35	4,567,756,787	82,199,481,594	5.56
00124	GD LAND	2,048,000	740,496,707	0.28	3,695,280	2,137,834,481	0.17
00175	GEELY AUTO	1,585,810,000	15,670,713,120	10.12	6,117,711,100	60,817,336,812	10.06
00709	GIORDANO INT'L	54,680,000	536,489,816	10.19	410,579,340	4,029,290,616	10.19
00809	GLOBAL BIO-CHEM	53,178,000	1,777,196,409	2.99	37,370,780	1,305,444,766	2.86
00845	GLORIOUS PPT H	155,618,000	1,748,520,484	8.90	210,601,940	2,493,803,573	8.45
03308	GOLDEN EAGLE	242,460,000	1,056,529,392	22.95	3,019,356,510	13,666,580,436	22.09
00801	GOLDEN MEDITECH	20,744,000	974,887,153	2.13	18,954,360	912,141,000	2.08
00283	GOLDIN PPT	9,752,000	354,623,358	2.75	38,891,680	1,413,590,112	2.75
00533	GOLDLION HOLD	271,000	65,376,525	0.41	1,008,290	257,952,674	0.39
00686	GOLDPOLY NEW EN	67,886,000	4,909,766,326	1.38	117,248,660	8,176,320,345	1.43
02208	GOLDWIND	126,940,600	1,503,058,268	8.45	748,233,454	8,442,823,622	8.86
00493	GOME	3,047,260,000	28,462,737,911	10.71	3,057,907,750	29,298,069,474	10.44
01086	GOODBABY INTL	98,276,000	2,106,844,129	4.66	365,233,040	7,848,451,538	4.65
00041	GREAT EAGLE H	13,053,000	190,930,572	6.84	373,682,350	5,638,321,127	6.63
02333	GREATWALL MOTOR	247,053,000	1,759,833,212	14.04	8,918,291,350	63,879,459,783	13.96
03900	GREENTOWN CHINA	108,056,000	1,005,122,694	10.75	1,512,041,620	14,421,427,051	10.48
01051	G-RESOURCES	789,666,000	10,842,348,222	7.28	192,808,596	3,157,246,532	6.11
00270	GUANGDONG INV	280,706,000	1,986,763,238	14.13	1,923,629,940	13,372,740,385	14.38
00525	GUANGSHEN RAIL	139,252,000	1,277,498,150	10.90	515,305,500	4,740,369,138	10.87
00317	GUANGZHOU SHIP	5,044,000	336,265,967	1.50	80,000,460	4,101,334,401	1.95
00053	GUOCO GROUP	400,000	37,422,813	1.07	37,131,000	3,496,963,530	1.06
01296	GUODIAN TECH	60,198,000	3,075,284,453	1.96	118,447,530	6,900,896,469	1.72
01788	GUOTAI JUNAN I	24,090,000	1,327,273,059	1.81	79,740,830	4,575,648,968	1.74
00044	HAECO	224,800	9,180,236	2.45	24,393,000	995,497,513	2.45
01169	HAIER ELEC	110,091,000	1,310,012,425	8.40	1,596,157,880	19,123,473,992	8.35
01882	HAITIAN INT'L	26,890,000	452,451,369	5.94	365,117,530	6,031,625,385	6.05
00665	HAITONG INT'L	3,440,000	450,606,142	0.76	13,798,080	1,683,004,123	0.82
06837	HAITONG SEC	215,912,400	3,524,422,212	6.13	2,534,956,736	41,411,974,039	6.12
00566	HANERGY SOLAR	1,370,660,000	38,821,636,598	3.53	1,402,642,180	31,028,356,445	4.52
00010	HANG LUNG GROUP	16,337,000	202,237,249	8.08	704,798,600	8,798,992,054	8.01

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00101	HANG LUNG PPT	198,853,000	1,471,766,587	13.51	5,367,365,750	40,536,076,794	13.24
00011	HANG SENG BANK	58,044,300	334,519,418	17.35	7,080,227,110	41,326,553,724	17.13
01133	HARBIN ELECTRIC	62,464,000	844,329,554	7.40	369,871,340	4,975,769,237	7.43
00051	HARBOUR CENTRE	18,000	11,772,452	0.15	253,460	172,689,271	0.15
03836	HARMONY AUTO	311,000	185,618,814	0.17	1,748,840	1,038,001,935	0.17
08292	HC INTL	832,000	358,697,001	0.23	7,855,720	2,757,657,582	0.28
00012	HENDERSON LAND	140,305,000	942,629,826	14.88	6,937,279,900	47,604,963,812	14.57
01044	HENGAN INT'L	74,272,500	566,773,887	13.10	6,225,446,800	46,845,094,387	13.29
03389	HENGDELI	303,484,000	2,570,833,244	11.80	643,213,120	5,671,622,595	11.34
03118	HGI MSCI CN A	5,655,600	209,596,542	2.70	59,106,601	2,242,182,692	2.64
83118	HGI MSCI CN A-R	4,937,000	123,485,774	4.00	53,076,081	1,309,732,013	4.05
03136	HGI MSCIA50	336,000	40,594,000	0.83	3,284,520	367,688,770	0.89
83136	HGI MSCIA50-R	132,000	27,202,600	0.49	1,151,455	245,184,428	0.47
00818	HI SUN TECH	11,913,000	940,354,220	1.27	17,695,620	1,365,281,534	1.30
01393	HIDILI INDUSTRY	102,791,000	1,571,932,530	6.54	171,424,400	2,833,716,692	6.05
01623	HILONG	63,533,000	2,093,223,719	3.04	318,201,860	8,887,482,007	3.58
00003	HK & CHINA GAS	344,807,855	2,088,321,841	16.51	6,837,341,619	41,637,895,416	16.42
00655	HK CHINESE LTD	434,000	118,230,745	0.37	706,260	185,403,255	0.38
00050	HK FERRY (HOLD)	1,000	11,364,580	0.01	8,540	90,979,553	0.01
00045	HK&S HOTELS	2,680,500	84,660,354	3.17	34,248,530	1,043,041,651	3.28
00190	HKC (HOLDINGS)	15,754,000	2,194,367,837	0.72	4,524,556	611,127,982	0.74
00388	HKEX	110,011,000	906,921,836	12.13	14,301,167,060	119,780,847,458	11.94
00480	HKR INT'L	2,339,200	118,399,715	1.98	9,473,648	471,878,171	2.01
06823	HKT-SS	101,635,000	1,752,868,938	5.80	738,931,240	12,846,886,637	5.75
00958	HN RENEWABLES	310,074,000	5,745,851,169	5.40	844,565,440	14,763,453,924	5.72
02626	HNC	73,170,000	1,115,364,249	6.56	175,060,700	2,739,098,146	6.39
08137	HONBRIDGE	3,306,000	1,929,996,635	0.17	3,937,860	2,258,065,848	0.17
00196	HONGHUA GROUP	357,687,000	7,742,393,506	4.62	1,041,031,550	24,359,005,706	4.27
00054	HOPEWELL HOLD	26,642,500	351,042,417	7.59	736,644,875	9,897,186,866	7.44
00737	HOPEWELL INFR	35,062,500	571,368,243	6.14	136,803,260	2,238,000,165	6.11
00754	HOPSON DEV HOLD	65,864,000	587,650,685	11.21	781,139,520	7,035,275,322	11.10
03054	HORIZONS EMACON	1,000	1,458,000	0.07	21,225	32,361,853	0.07
03056	HORIZONS GL CON	5,500	1,662,000	0.33	167,550	42,101,365	0.40
03110	HORIZONS HS HDY	459,200	2,504,300	18.34	9,282,365	49,664,015	18.69
02835	HORIZONS KS200	28,500	1,246,000	2.29	564,560	23,797,905	2.37
03040	HORIZONS MSCICH	747,700	14,111,200	5.30	14,889,884	288,953,382	5.15
02200	HOSA INT'L	3,026,000	374,735,137	0.81	8,558,740	1,040,775,061	0.82
02838	HS FCI25 ETF	37,800	373,535	10.12	6,435,370	62,307,486	10.33
02828	HS H-SHARE ETF	159,874,000	620,016,945	25.79	17,151,802,440	66,929,521,398	25.63
02833	HS HSI ETF	3,539,200	12,967,334	27.29	791,113,500	2,971,324,874	26.62
83168	HS RMB GOLD ETF	14,900	545,500	2.73	460,351	18,837,516	2.44
00005	HSBC HOLDINGS	404,482,151	3,341,204,644	12.11	34,199,092,052	283,264,995,007	12.07
03000	HSBC MSCI HK	353,500	4,197,757	8.42	11,736,200	139,290,150	8.43
03083	HSBC MSCI TW	1,318,000	6,867,598	19.19	59,339,100	311,541,731	19.05
00404	HSIN CHONG CONS	2,690,000	1,018,116,744	0.26	3,030,480	1,256,302,630	0.24
00587	HUA HAN	54,704,000	2,367,637,379	2.31	105,492,480	4,846,013,287	2.18
00336	HUABAO INTL	187,116,000	2,521,759,353	7.42	693,158,690	9,451,905,688	7.33
00816	HUADIAN FUXIN	54,898,000	7,387,377,160	0.74	139,047,880	16,388,001,546	0.85
01071	HUADIAN POWER	119,070,000	2,827,306,698	4.21	414,833,080	9,788,510,001	4.24
00902	HUANENG POWER	875,708,000	5,493,344,555	15.94	6,908,178,840	43,124,713,061	16.02
06863	HUISHAN DAIRY	467,916,000	10,650,268,642	4.39	1,384,456,420	30,326,418,687	4.57
00715	HUTCH HARB RING	3,056,000	942,640,441	0.32	1,906,340	599,315,847	0.32
00013	HUTCHISON	201,209,000	1,531,862,654	13.13	17,700,849,900	135,346,400,177	13.08
00215	HUTCHTEL HK	128,872,000	1,499,858,673	8.59	442,476,800	5,250,839,088	8.43
00014	HYSAN DEV	25,310,000	334,254,650	7.57	923,607,700	12,073,503,587	7.65
00999	I.T	32,556,000	497,820,978	6.54	97,683,760	1,404,354,584	6.96
01398	ICBC	4,590,798,000	68,490,254,708	6.70	24,524,555,090	364,319,459,551	6.73
01833	INTIME	213,008,000	1,610,744,822	13.22	1,854,343,210	14,300,347,376	12.97
02801	ISHARES CHINA	698,000	106,877,304	0.65	13,702,312	2,068,630,255	0.66
02836	ISHARES INDIA	12,811,300	133,889,033	9.57	199,244,296	1,998,784,306	9.97
00177	JIANGSU EXPRESS	168,392,000	1,022,383,053	16.47	1,466,650,360	8,759,892,428	16.74
00358	JIANGXI COPPER	371,566,000	2,909,345,772	12.77	5,579,410,420	45,713,287,255	12.21
02362	JINCHUAN INTL	3,315,000	241,931,738	1.37	5,350,890	376,869,361	1.42
02006	JINJIANG HOTELS	12,072,000	980,101,872	1.23	21,299,440	1,817,302,590	1.17
00579	JNCEC	34,196,000	4,726,382,566	0.72	111,035,120	12,741,707,973	0.87
00179	JOHNSON ELEC H	44,155,000	657,040,084	6.72	247,752,550	3,807,764,708	6.51
03336	JU TENG INTL	134,362,000	1,760,052,934	7.63	640,289,600	8,006,584,406	8.00
00173	K. WAH INT'L	69,094,000	1,097,777,280	6.29	279,628,700	4,555,344,484	6.14
01215	KAI YUAN HLDGS	1,280,000	1,124,312,830	0.11	252,480	216,850,206	0.12
01638	KAISA GROUP	203,581,000	3,426,385,580	5.94	467,059,690	7,949,988,442	5.87
01888	KB LAMINATES	25,830,000	506,362,550	5.10	91,098,650	1,765,943,802	5.16
00683	KERRY PPT	57,108,500	470,232,683	12.14	1,944,763,150	15,981,220,874	12.17
00148	KINGBOARD CHEM	35,187,500	552,515,477	6.37	706,078,475	11,084,009,587	6.37
00268	KINGDEE INT'L	164,348,000	3,245,971,292	5.06	310,043,820	6,522,482,364	4.75
03888	KINGSOFT	186,283,000	3,197,606,023	5.83	2,913,278,460	45,557,206,709	6.39
01031	KINGSTON FIN	5,512,000	1,199,970,812	0.46	3,930,840	827,481,733	0.48
00034	KOWLOON DEV	4,858,000	150,122,155	3.24	49,760,640	1,538,877,678	3.23
00135	KUNLUN ENERGY	651,766,000	5,241,338,267	12.44	9,451,525,960	71,284,683,326	13.26
01813	KWG PROPERTY	120,984,500	1,661,088,417	7.28	604,885,605	8,305,802,933	7.28
01262	LABIXIAOXIN	41,429,000	1,485,939,703	2.79	172,982,150	6,000,955,474	2.88
00488	LAI SUN DEV	97,204,000	10,054,349,218	0.97	26,913,041	2,763,051,025	0.97
01270	LANGHAM-SS	2,007,000	835,844,757	0.24	7,757,970	3,519,109,428	0.22
02314	LEE & MAN PAPER	204,534,000	1,714,523,265	11.93	1,047,211,580	8,870,734,616	11.81
00992	LENOVO GROUP	1,198,180,000	10,922,874,099	10.97	9,328,404,940	85,502,861,101	10.91
00494	LI & FUNG	1,369,872,000	6,264,470,422	21.87	15,008,286,500	68,736,961,242	21.83
02331	LI NING	173,067,500	1,564,441,610	11.06	948,443,740	8,456,614,835	11.22

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00980	LIANHUA	26,561,000	442,619,956	6.00	135,152,470	2,414,029,407	5.60
01212	LIFESTYLE INT'L	13,466,000	269,593,015	4.99	236,875,145	4,639,582,030	5.11
02005	LIJUN INT'L	12,836,000	855,042,955	1.50	29,237,260	1,966,977,981	1.49
00823	LINK REIT	146,148,000	1,475,695,499	9.90	5,729,820,225	58,018,011,070	9.88
02824	LIPPO HK&M PROP	1,500	376,400	0.40	61,350	15,906,360	0.39
00194	LIU CHONG HING	982,000	79,448,829	1.24	15,781,300	1,198,535,501	1.32
00973	L'OCCITANE	71,845,250	421,066,219	17.06	1,437,608,845	8,439,572,222	17.03
00960	LONGFOR PPT	240,818,000	1,478,064,575	16.29	3,053,609,670	19,099,687,148	15.99
03339	LONKING	552,222,000	6,467,572,508	8.54	957,154,920	11,567,958,233	8.27
00577	LOUIS XIII H	2,410,500	3,848,434,187	0.06	10,182,450	3,642,035,145	0.28
00195	L'SEA RESOURCES	18,380,000	3,541,004,143	0.52	6,517,350	1,308,199,007	0.50
00590	LUK FOOK HOLD	81,904,000	589,163,765	13.90	1,949,178,930	14,455,611,545	13.48
00067	LUMENA NEWMAT	294,236,000	7,426,011,674	3.96	500,397,940	12,585,638,719	3.98
08058	LUOXIN PHARMA	962,000	117,835,742	0.82	7,866,160	1,015,599,353	0.77
00323	MAANSHAN IRON	451,622,000	4,050,463,449	11.15	1,004,802,600	8,772,857,177	11.45
01680	MACAU LEGEND	29,762,000	4,053,514,561	0.73	218,055,960	20,534,068,394	1.06
01633	MAGIC HOLDINGS	22,062,000	732,386,104	3.01	98,088,230	3,573,627,668	2.74
01999	MAN WAH HLDGS	15,906,000	497,185,906	3.20	149,355,524	4,772,631,564	3.13
00848	MAOYE INT'L	43,536,000	1,139,900,775	3.82	68,333,370	1,820,325,166	3.75
01618	MCC	94,899,000	1,560,097,806	6.08	152,597,040	2,503,570,404	6.10
06883	MELCO CROWN	56,700	7,722,272	0.73	4,519,920	584,271,280	0.77
00200	MELCO INT'L DEV	110,331,000	1,535,652,204	7.18	1,851,103,620	25,032,102,542	7.39
02319	MENGNIU DAIRY	149,450,000	1,510,212,488	9.90	4,271,771,550	42,964,115,409	9.94
02282	MGM CHINA	103,246,400	1,339,832,601	7.71	2,134,567,140	27,942,549,416	7.64
00853	MICROPORT	43,692,000	560,812,280	7.79	240,683,610	3,002,248,431	8.02
01200	MIDLAND HOLDING	67,486,000	689,475,563	9.79	226,273,680	2,340,036,270	9.67
01555	MIE HOLDINGS	73,072,000	1,234,741,432	5.92	135,315,000	2,413,836,120	5.61
00846	MINGFA GROUP	6,607,000	369,627,123	1.79	14,603,980	851,112,030	1.72
00230	MINMETALS LAND	13,394,000	1,183,079,135	1.13	17,151,060	1,543,035,004	1.11
01988	MINSHENG BANK	2,769,097,500	14,236,212,676	19.45	24,689,451,570	129,353,378,094	19.09
00425	MINTH GROUP	20,154,000	326,682,380	6.17	255,583,160	4,256,919,553	6.00
01208	MMG	235,440,000	2,020,825,392	11.65	555,887,880	4,731,327,683	11.75
00975	MONGOL MINING	181,073,000	909,805,874	19.90	430,834,440	2,001,580,706	21.52
00066	MTR CORPORATION	57,038,000	583,175,465	9.78	1,723,856,350	17,656,471,458	9.76
01336	NCI	92,210,400	1,181,294,346	7.81	2,506,551,250	30,360,692,846	8.26
02689	ND PAPER	462,609,000	3,573,030,382	12.95	2,862,376,440	22,273,221,938	12.85
00777	NETDRAGON	41,715,500	998,716,770	4.18	772,109,435	17,967,995,682	4.30
00917	NEW WORLD CHINA	74,766,000	764,607,099	9.78	276,996,840	2,812,355,825	9.85
00017	NEW WORLD DEV	321,525,000	3,790,827,696	8.48	3,945,948,370	46,385,238,650	8.51
00342	NEWOCEAN ENERGY	111,760,000	2,121,947,421	5.27	531,529,320	9,965,843,666	5.33
02222	NVC LIGHTING	107,098,000	2,471,549,900	4.33	224,214,350	5,194,616,193	4.32
00825	NWDS CHINA	5,897,000	194,114,752	3.04	25,456,820	851,220,005	2.99
00659	NWS HOLDINGS	33,125,000	323,644,243	10.24	431,146,520	4,132,835,797	10.43
00316	OOIL	38,674,500	254,641,578	15.19	1,742,460,550	11,656,079,152	14.95
00018	ORIENTAL PRESS	86,000	193,046,345	0.04	77,920	176,062,956	0.04
02343	PACIFIC BASIN	143,399,000	1,285,114,198	11.16	692,586,830	6,314,912,194	10.97
00543	PACIFIC ONLINE	474,000	271,182,828	0.17	1,720,490	921,301,991	0.19
01382	PACIFICTEXTILES	4,993,000	185,591,345	2.69	49,584,920	1,731,512,529	2.86
03368	PARKSON GROUP	391,477,000	1,858,586,951	21.06	1,388,330,020	6,251,090,799	22.21
00008	PCCW	166,551,000	2,400,336,272	6.94	600,264,640	8,563,884,493	7.01
00331	PCD STORES	34,656,000	2,650,574,114	1.31	39,971,200	3,069,181,021	1.30
01968	PEAK SPORT	25,653,000	751,645,186	3.41	34,680,500	1,196,511,658	2.90
00857	PETROCHINA	1,609,364,000	19,513,764,051	8.25	15,054,676,140	182,291,681,815	8.26
02178	PETRO-KING	5,149,000	1,000,399,715	0.51	20,707,340	4,536,048,626	0.46
02008	PHOENIX TV	52,468,000	1,403,297,851	3.74	153,826,220	3,981,220,856	3.86
01339	PICC GROUP	850,870,000	14,004,001,706	6.08	3,402,053,800	57,564,757,309	5.91
02328	PICC P&C	651,119,000	4,447,441,840	14.64	6,917,507,478	47,301,229,014	14.62
00752	PICO FAR EAST	1,160,000	225,214,935	0.52	2,850,580	552,756,462	0.52
02318	PING AN	576,677,000	4,471,476,547	12.90	36,260,841,550	272,121,504,788	13.33
03070	PING AN HKDIV	2,000	8,353,850	0.02	43,400	180,737,178	0.02
03072	PING AN HKMID	23,000	475,200	4.84	464,600	10,281,110	4.52
03098	PING AN RHK50	5,600	709,300	0.79	105,412	12,537,770	0.84
00119	POLY PROPERTY	202,583,000	3,170,551,145	6.39	992,008,400	15,851,715,567	6.26
00208	POLYTEC ASSET	9,950,000	822,606,394	1.21	9,854,150	822,814,613	1.20
00589	PORTS	18,387,500	222,035,045	8.28	117,789,135	1,377,742,724	8.55
00006	POWER ASSETS	72,957,000	673,369,094	10.83	5,053,199,475	45,767,923,720	11.04
01148	POWER XINCHEN	7,498,000	1,812,893,411	0.41	31,025,830	5,314,621,374	0.58
01238	POWERLONG	10,039,000	480,581,833	2.09	17,774,800	880,458,139	2.02
01913	PRADA	62,414,500	518,136,897	12.05	4,581,234,040	37,994,764,005	12.06
01259	PRINCE FROG	121,211,000	1,983,015,711	6.11	565,778,210	8,276,460,922	6.84
00808	PROSPERITY REIT	15,312,000	677,345,394	2.26	37,369,230	1,708,718,320	2.19
00626	PUBLIC FIN HOLD	10,000	70,626,890	0.01	36,000	271,010,594	0.01
01122	QINGLING MOTORS	8,388,000	456,296,075	1.84	18,130,280	972,409,662	1.86
02777	R&F PROPERTIES	223,387,000	1,564,148,611	14.28	2,797,652,612	19,921,106,753	14.04
02010	REAL NUTRI	56,221,000	1,155,902,550	4.86	122,593,270	2,601,216,902	4.71
00078	REGAL INT'L	1,794,000	182,468,517	0.98	7,493,560	740,531,226	1.01
01881	REGAL REIT	5,932,000	362,431,899	1.64	14,094,690	852,673,508	1.65
01387	RENHE COMM	270,510,000	6,882,141,444	3.93	165,880,850	3,933,833,787	4.22
00555	REXLOT HOLDINGS	564,100,000	11,096,598,572	5.08	433,471,750	7,384,237,924	5.87
01098	ROAD KING INFRA	1,542,000	87,604,813	1.76	11,214,290	640,448,852	1.75
00486	RUSAL	86,323,000	552,850,446	15.61	248,968,750	1,725,188,455	14.43
00178	SA SA INT'L	112,360,000	1,147,293,988	9.79	885,996,000	9,158,048,819	9.67
00531	SAMSON HOLDING	6,053,000	828,876,628	0.73	6,613,160	1,082,771,109	0.61
01910	SAMSONITE	71,231,700	1,596,686,796	4.46	1,412,244,702	30,878,819,947	4.57
01928	SANDS CHINA LTD	375,040,400	2,749,768,578	13.64	15,799,500,880	121,587,948,086	12.99
00631	SANY INT'L	158,637,000	1,585,795,787	10.00	507,128,900	4,771,374,215	10.63

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
00251	SEA HOLDINGS	4,000	10,492,148	0.04	18,260	51,663,474	0.04
02727	SH ELECTRIC	476,106,000	3,417,839,226	13.93	1,420,500,960	10,222,705,410	13.90
00563	SH IND URBAN	73,002,000	2,571,596,030	2.84	130,404,080	4,755,300,379	2.74
02607	SH PHARMA	48,624,800	568,535,181	8.55	776,653,828	9,062,733,309	8.57
08102	SHANGHAI FUDAN	386,000	175,708,075	0.22	3,197,820	1,069,127,395	0.30
00363	SHANGHAI IND H	23,720,000	316,927,605	7.48	618,195,750	8,189,666,118	7.55
00338	SHANGHAI PECHEM	242,710,000	4,529,177,882	5.36	669,295,960	12,470,194,728	5.37
00069	SHANGRI-LA ASIA	83,314,000	584,107,730	14.26	1,213,141,560	8,591,401,054	14.12
00691	SHANSHUI CEMENT	365,061,000	3,106,924,628	11.75	1,262,019,560	11,234,807,866	11.23
00829	SHENGUAN HLDGS	68,666,000	701,577,667	9.79	253,703,040	2,538,257,938	10.00
00152	SHENZHEN INT'L	337,692,500	28,211,157,043	1.20	340,550,575	28,390,679,405	1.20
00604	SHENZHEN INVEST	169,564,000	3,249,768,410	5.22	538,448,100	10,450,754,021	5.15
00548	SHENZHENEXPRESS	11,692,000	675,680,222	1.73	36,817,460	2,091,184,441	1.76
02313	SHENZHOU INTL	53,048,000	669,316,234	7.93	1,311,925,140	15,387,373,191	8.53
00813	SHIMAO PROPERTY	237,137,000	2,032,195,375	11.67	3,952,502,010	33,858,866,226	11.67
02877	SHINEWAY PHARM	14,210,000	251,808,762	5.64	182,977,010	3,209,545,292	5.70
00016	SHK PPT	140,605,412	1,212,579,639	11.60	15,247,628,395	129,804,378,238	11.75
00697	SHOUGANG INT'L	68,114,000	4,230,309,299	1.61	29,070,480	1,940,436,250	1.50
00639	SHOUGANG RES	977,088,000	4,551,483,038	21.47	2,933,817,280	13,585,976,263	21.59
00272	SHUI ON LAND	635,524,000	3,336,093,493	19.05	1,826,272,285	9,748,316,700	18.73
00242	SHUN TAK HOLD	60,454,000	1,452,656,982	4.16	253,697,320	6,085,401,789	4.17
00107	SICHUAN EXPRESS	31,892,000	824,885,444	3.87	75,048,660	1,990,009,161	3.77
00460	SIHUAN PHARM	257,401,000	4,006,392,238	6.42	1,323,653,240	19,795,319,788	6.69
00886	SILVER BASE	56,060,000	854,149,234	6.56	103,921,540	1,671,253,745	6.22
00171	SILVER GRANT	4,132,000	331,271,671	1.25	5,513,560	413,075,080	1.33
00716	SINGAMAS CONT	50,882,000	1,059,464,821	4.80	96,180,120	2,085,868,705	4.61
00750	SINGYES SOLAR	95,271,000	1,671,027,858	5.70	751,298,280	13,200,848,652	5.69
01177	SINO BIOPHARM	414,656,000	4,407,808,336	9.41	2,153,261,000	22,781,782,838	9.45
00083	SINO LAND	207,766,000	1,741,320,307	11.93	2,436,009,320	20,974,621,052	11.61
00702	SINO OIL & GAS	250,600,000	22,821,812,585	1.10	50,162,715	4,582,610,764	1.09
00297	SINOFERT	311,680,000	3,445,489,613	9.05	486,874,520	5,472,084,720	8.90
01168	SINOLINK HOLD	6,474,000	1,757,665,683	0.37	4,782,440	1,302,608,880	0.37
01893	SINOMA	113,735,000	1,392,303,954	8.17	240,003,680	2,845,746,206	8.43
00623	SINOMEDIA	16,386,000	796,539,275	2.06	96,459,220	4,361,597,336	2.21
03377	SINO-OCEAN LAND	245,065,000	2,000,619,438	12.25	1,202,650,710	9,912,662,482	12.13
00386	SINOPEC CORP	1,966,448,616	23,366,006,987	8.42	14,661,043,088	167,498,206,069	8.75
00934	SINOPEC KANTONS	102,350,000	1,832,797,063	5.58	738,834,860	12,802,028,956	5.77
02386	SINOPEC SEG	42,448,000	1,721,535,511	2.47	488,318,750	18,391,092,220	2.66
01099	SINOPHARM	184,842,800	1,210,168,999	15.27	4,131,458,868	26,773,073,508	15.43
00729	SINOPOLYBATTERY	101,620,000	8,607,558,116	1.18	31,973,420	2,897,173,102	1.10
00598	SINOTRANS	42,042,000	1,654,623,637	2.54	83,403,080	3,311,256,784	2.52
00368	SINOTRANS SHIP	34,972,000	630,309,412	5.55	77,991,015	1,436,462,936	5.43
03808	SINOTRUK	27,992,000	317,908,333	8.81	129,912,070	1,459,002,265	8.90
01308	SITC	15,298,000	552,599,280	2.77	45,312,800	1,607,501,857	2.82
00880	SJM HOLDINGS	113,136,000	2,093,348,828	5.40	2,337,701,720	44,220,866,589	5.29
00751	SKYWORTHDIGITAL	393,078,000	5,573,972,042	7.05	1,725,208,780	25,170,093,414	6.85
00315	SMARTONE TELE	76,912,500	712,676,756	10.79	856,490,240	7,964,311,179	10.75
00981	SMIC	1,103,536,000	37,177,942,561	2.97	636,824,475	21,037,177,989	3.03
00983	SOCAM DEV	1,716,000	93,777,850	1.83	16,403,040	891,410,613	1.84
00410	SOHO CHINA	588,868,500	1,971,253,849	29.87	3,861,140,120	12,843,675,585	30.06
00967	SOUND GLOBAL	305,000	76,827,260	0.40	1,354,100	312,399,955	0.43
00878	SOUNDWILL HOLD	474,000	53,906,536	0.88	7,653,660	1,012,594,988	0.76
02840	SPDR GOLD TRUST	62,540	4,439,538	1.41	63,035,830	4,713,744,661	1.34
03073	SPDR GREATCHINA	2,000	4,270,900	0.05	55,800	116,815,377	0.05
01700	SPRINGLAND	19,547,000	923,511,994	2.12	81,301,310	3,800,206,970	2.14
01251	SPT ENERGY	50,274,000	1,872,734,238	2.68	209,088,120	7,769,013,007	2.69
02888	STANCHART	21,393,200	179,635,768	11.91	3,899,237,165	33,402,942,745	11.67
01836	STELLA HOLDINGS	9,663,000	111,188,850	8.69	196,437,085	2,297,579,282	8.55
00102	SUMMIT ASCENT	3,124,000	1,058,898,784	0.30	39,049,440	9,233,316,571	0.42
00086	SUN HUNG KAI CO	3,877,000	217,372,017	1.78	18,735,300	1,045,619,603	1.79
01918	SUNAC	331,527,000	4,558,821,321	7.27	1,780,367,740	25,833,963,440	6.89
06808	SUNART RETAIL	380,908,000	1,549,064,809	24.59	4,283,566,280	17,284,089,625	24.78
08008	SUNEVISION	2,003,000	603,628,006	0.33	4,648,320	1,295,580,705	0.36
00435	SUNLIGHT REIT	2,966,000	358,003,008	0.83	9,810,810	1,189,899,046	0.82
02382	SUNNY OPTICAL	156,889,000	2,003,196,357	7.83	1,298,030,340	16,460,915,122	7.89
02012	SUNSHINE OIL	15,138,000	1,928,903,949	0.78	37,797,310	4,288,136,003	0.88
00019	SWIRE PACIFIC A	59,233,000	349,982,134	16.92	5,601,908,600	33,121,666,685	16.91
01972	SWIREPROPERTIES	78,927,000	763,817,293	10.33	1,987,280,520	18,705,114,577	10.62
00088	TAI CHEUNG HOLD	271,000	48,617,479	0.56	1,638,410	301,422,770	0.54
00573	TAO HEUNG HLDGS	316,000	57,996,898	0.54	1,841,650	305,204,464	0.60
01136	TCC INT'L HOLD	15,626,000	785,309,548	1.99	39,482,460	2,275,339,535	1.74
00669	TECHTRONIC IND	86,675,000	1,238,287,062	7.00	1,617,146,330	22,593,348,650	7.16
00700	TENCENT	142,203,400	1,027,655,685	13.84	48,672,937,640	339,995,932,510	14.32
06868	TENFU	38,000	58,325,459	0.07	158,430	234,304,601	0.07
02678	TEXHONG TEXTILE	6,484,000	278,174,616	2.33	75,959,400	2,838,851,134	2.68
00321	TEXWINCA HOLD	14,890,000	271,035,169	5.49	114,712,680	2,088,872,076	5.49
00028	TIAN AN	321,000	136,199,480	0.24	1,735,690	767,145,516	0.23
01600	TIAN LUN GAS	2,079,000	257,546,685	0.81	14,421,165	1,554,459,400	0.93
00826	TIANGONG INT'L	84,676,000	1,652,256,185	5.12	183,218,040	3,621,689,412	5.06
00882	TIANJIN DEV	21,536,000	917,887,248	2.35	122,488,480	4,936,492,986	2.48
03382	TIANJINPORT DEV	18,276,000	1,614,771,118	1.13	23,612,360	1,985,546,605	1.19
00819	TIANNENG POWER	96,568,000	1,024,774,738	9.42	355,323,820	3,924,288,064	9.05
01115	TIBET 5100	14,553,000	690,061,183	2.11	41,510,430	1,874,263,948	2.21
00322	TINGYI	303,366,000	1,574,905,375	19.26	6,274,867,480	32,597,965,682	19.25
02383	TOM GROUP	570,000	392,146,572	0.15	855,540	507,033,431	0.17
00258	TOMSON GROUP	6,000	31,772,974	0.02	13,300	69,339,778	0.02

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01666	TONG REN TANG	16,088,000	251,476,401	6.40	381,775,560	5,988,740,832	6.37
08138	TONGRENTANGCM	266,000	642,551,338	0.04	2,987,550	6,306,114,704	0.05
03688	TOP SPRING	2,500	276,337,670	0.00	9,315	1,015,622,983	0.00
01083	TOWNGAS CHINA	65,567,000	942,109,829	6.96	478,709,250	6,924,169,166	6.91
00903	TPV TECHNOLOGY	30,440,000	748,673,821	4.07	59,909,500	1,568,632,242	3.82
02800	TRACKER FUND	3,045,180,500	6,589,119,684	46.22	69,151,528,250	150,748,969,231	45.87
00062	TRANSPORT INT'L	125,200	14,519,726	0.86	2,111,520	245,875,977	0.86
00696	TRAVELSKY TECH	12,642,000	499,480,211	2.53	73,983,320	2,900,780,401	2.55
01300	TRIGIANT	9,260,000	1,422,759,579	0.65	25,113,180	3,841,173,605	0.65
00891	TRINITY	223,964,000	1,305,701,920	17.15	785,876,780	4,559,467,961	17.24
00732	TRULY INT'L	265,310,000	3,675,469,295	7.22	1,123,334,460	15,100,717,916	7.44
00168	TSINGTAO BREW	64,776,000	338,312,616	19.15	3,417,158,400	18,466,519,264	18.50
01314	TSUI WAH HLDG	9,094,000	1,093,698,088	0.83	46,393,680	4,665,741,630	0.99
00511	TVB	10,655,000	136,093,975	7.83	552,414,980	7,264,796,177	7.60
03933	UNITED LAB	90,568,000	824,049,818	10.99	285,305,040	2,671,475,884	10.68
00467	UNITEDENERGY GP	9,780,000	348,928,443	2.80	11,508,700	415,289,106	2.77
00220	U-PRESID CHINA	484,643,000	1,613,418,479	30.04	3,846,406,380	13,201,498,467	29.14
03046	VALUE CHINA ETF	58,000	2,942,202	1.97	2,027,100	111,938,475	1.81
03084	VALUE JAPAN ETF	24,000	31,943,717	0.08	304,860	400,676,696	0.08
03041	VALUE KOREA ETF	1,000	1,391,100	0.07	32,200	41,536,145	0.08
00806	VALUE PARTNERS	29,028,000	748,817,852	3.88	143,323,220	3,728,940,223	3.84
03081	VALUEGOLD ETF	89,000	8,093,924	1.10	2,902,575	279,769,667	1.04
83081	VALUEGOLD ETF-R	100	2,300	4.35	3,034	70,159	4.32
00710	VARITRONIX INTL	2,063,000	277,795,137	0.74	15,295,310	1,682,408,350	0.91
02805	VG FTSE ASIAXJ	1,000	4,102,660	0.02	18,600	79,304,738	0.02
03331	VINDA INT'L	45,083,000	1,029,549,866	4.38	446,819,080	10,795,749,682	4.14
00345	VITASOY INT'L	4,088,000	149,941,030	2.73	40,011,500	1,439,823,135	2.78
08032	VIVA CHINA	2,960,000	742,793,758	0.40	1,275,680	349,564,773	0.36
00082	VODONE	64,590,000	6,620,620,502	0.98	47,266,580	4,833,733,392	0.98
00303	VTECH HOLDINGS	11,737,300	93,989,027	12.49	1,192,038,915	9,728,423,795	12.25
00151	WANT WANT CHINA	612,848,000	3,604,850,634	17.00	6,834,117,880	39,840,565,780	17.15
03393	WASION GROUP	6,570,000	466,051,089	1.41	30,849,980	2,168,707,965	1.42
01129	WATER INDUSTRY	1,440,000	1,229,845,811	0.12	2,450,080	1,667,641,012	0.15
02338	WEICHAI POWER	162,619,400	755,750,688	21.52	4,811,544,780	22,353,747,386	21.52
01066	WEIGAO GROUP	465,128,000	2,697,236,521	17.24	3,702,280,920	21,498,624,991	17.22
02698	WEIQIAO TEXTILE	31,213,000	626,125,937	4.99	137,699,615	2,806,801,384	4.91
00382	WELLING HOLDING	1,148,000	805,161,194	0.14	2,310,700	1,401,478,749	0.16
02233	WESTCHINACEMENT	139,568,000	2,200,368,035	6.34	175,037,760	2,861,440,912	6.12
00004	WHARF HOLDINGS	176,111,000	1,121,628,667	15.70	11,793,089,500	74,835,677,524	15.76
00020	WHEELOCK	19,103,000	282,714,874	6.76	796,792,650	11,675,387,512	6.82
00854	WILLAS-ARRAY	1,160,000	1,685,000	68.84	1,339,050	1,897,200	70.58
00302	WING HANG BANK	13,860,500	115,308,985	12.02	1,133,578,000	10,208,117,187	11.10
00289	WING ON CO	1,000	7,493,234	0.01	22,800	173,304,812	0.01
01733	WINSWAY	53,549,000	1,955,065,347	2.74	52,599,480	1,489,972,922	3.53
02236	WISON ENGRG	15,633,000	893,140,058	1.75	47,063,430	2,964,867,458	1.59
01025	WUMART	38,591,000	280,370,409	13.76	549,855,800	3,886,050,114	14.15
01128	WYNN MACAU	247,985,200	1,962,285,718	12.64	5,855,871,020	46,965,583,457	12.47
03015	X DBCNXNIFTY	1,240	25,330	4.90	937,160	19,463,709	4.81
03049	X DBCSI300	168,900	1,023,262,837	0.02	1,029,609	6,092,419,982	0.02
02844	X DBCSI300FIN	435,200	66,026,361	0.66	4,376,496	631,959,639	0.69
02816	X DBCSI300REAL	82,200	16,112,810	0.51	905,600	176,371,971	0.51
03055	X DBMSCICHINA	1,000	28,600	3.50	97,950	2,798,140	3.50
03045	X DBMSCIINDIA	1,000	263,400	0.38	66,450	16,307,412	0.41
03099	X DBMSCIINDO	16,175	1,163,325	1.39	1,502,176	114,077,890	1.32
03065	X DBMSCISG	45,000	1,454,000	3.09	456,300	14,861,360	3.07
03036	X DBMSCITAIWAN	17,500	146,150	11.97	2,660,150	21,547,287	12.35
03092	X DBMSCITHAI	2,500	304,450	0.82	367,000	47,120,117	0.78
03019	X DBMSCIWORLD	217,625	1,336,750	16.28	6,621,993	40,437,761	16.38
02823	X ISHARES A50	9,557,497,100	36,907,803,197	25.90	97,136,603,689	379,918,773,968	25.57
02846	X ISHARESCSI300	11,000	30,206,429	0.04	231,310	652,116,148	0.04
02827	X WISECSI300ETF	9,777,200	287,551,183	3.40	276,055,000	7,951,133,772	3.47
03091	X XIE INDIA	112,200	4,638,900	2.42	880,956	32,140,629	2.74
03031	X XIE INDO	234,000	4,282,900	5.46	2,014,839	32,319,887	6.23
03090	X XIE KOREA	88,200	1,991,400	4.43	717,153	15,925,335	4.50
03029	X XIE MALAY	154,800	2,155,200	7.18	1,356,435	18,991,515	7.14
03037	X XIE PHIL	408,600	3,455,900	11.82	4,307,268	37,359,307	11.53
03089	X XIE TAIWAN	156,900	932,100	16.83	1,290,714	7,734,159	16.69
03069	X XIE THAI	98,100	4,746,200	2.07	1,050,504	49,613,166	2.12
01899	XINGDA INT'L	29,523,000	614,110,827	4.81	99,575,510	2,270,571,658	4.39
00811	XINHUA WINSHARE	4,229,000	377,189,491	1.12	17,418,780	1,613,187,151	1.08
03833	XINXIN MINING	1,902,000	326,253,141	0.58	2,485,340	483,026,213	0.51
00868	XINYI GLASS	342,112,000	4,028,590,198	8.49	2,243,365,240	26,309,864,962	8.53
01368	XTEP INT'L	12,809,000	327,162,538	3.92	42,997,680	1,140,105,864	3.77
00346	YANCHANG PETRO	73,390,000	3,693,524,781	1.99	31,629,200	1,681,803,601	1.88
01171	YANZHOU COAL	1,209,572,000	6,936,772,955	17.44	10,196,107,460	59,405,206,857	17.16
00375	YGM TRADING	345,000	14,524,815	2.38	6,692,960	279,064,068	2.40
02168	YINGDE GASES	108,579,000	745,418,317	14.57	861,948,200	5,877,463,618	14.67
00408	YIP'S CHEMICAL	2,628,000	105,687,327	2.49	19,128,140	754,540,511	2.54
03948	YITAI COAL	84,900	39,285,536	0.22	3,372,615	1,267,031,250	0.27
01033	YIZHENG CHEM	98,202,000	2,966,318,590	3.31	191,976,220	5,858,152,803	3.28
03669	YONGDA AUTO	3,614,500	186,750,651	1.94	27,763,800	1,446,265,287	1.92
02789	YUANDA CHINA	21,624,000	1,671,891,892	1.29	14,122,930	1,201,790,889	1.18
00551	YUE YUEN IND	72,202,500	494,535,897	14.60	1,665,681,845	11,514,111,326	14.47
00123	YUEXIU PROPERTY	270,838,000	5,312,899,812	5.10	596,295,500	12,076,617,164	4.94
00405	YUEXIU REIT	69,963,000	1,560,238,022	4.48	281,283,890	6,396,879,979	4.40
01052	YUEXIUTRANSPORT	7,818,000	299,604,500	2.61	31,365,980	1,212,219,155	2.59

Short selling transactions by designated stock, 2013 *(continued)*

Stock code	Name	Short selling turnover* (shares)	Total turnover (shares)	% of total	Short selling turnover* Value (HK$)	Total turnover Value (HK$)	% of total
01068	YURUN FOOD	267,190,000	3,139,405,295	8.51	1,413,877,000	17,102,767,436	8.27
01628	YUZHOU PPT	16,354,000	1,227,934,686	1.33	32,204,670	2,475,299,919	1.30
01818	ZHAOJIN MINING	330,628,500	2,302,188,191	14.36	2,274,569,110	16,375,067,377	13.89
00576	ZHEJIANGEXPRESS	82,154,000	1,103,660,793	7.44	539,559,720	7,225,929,887	7.47
01728	ZHENGTONGAUTO	99,606,000	1,425,038,321	6.99	510,634,115	7,360,554,496	6.94
00672	ZHONGAN REALEST	589,000	602,408,530	0.10	872,460	927,213,813	0.09
00881	ZHONGSHENG HLDG	113,958,500	501,553,784	22.72	1,198,630,130	5,298,110,348	22.62
03633	ZHONGYU GAS	412,000	401,712,690	0.10	895,160	894,786,999	0.10
01176	ZHUGUANG HOLD	2,270,000	555,559,867	0.41	6,265,080	1,251,033,045	0.50
02899	ZIJIN MINING	1,759,554,000	11,698,318,831	15.04	3,772,590,360	24,487,879,342	15.41
01157	ZOOMLION	507,123,400	3,677,530,534	13.79	4,119,279,038	29,512,199,018	13.96
00763	ZTE	172,156,800	1,955,863,978	8.80	2,536,385,820	28,919,199,311	8.77
Total		**153,517,646,366**	**1,597,738,818,167**	**9.61**	**1,498,903,911,029**	**11,525,650,872,496**	**13.00**

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Monthly short selling transactions, 2013

Month	No. of designated securities (month-end figure)	Number of shares*	Value of transactions* (HK$)	No. of designated securities recording short selling
Jan	581	13,876,059,080	137,620,067,722	455
Feb	602	10,930,602,754	119,941,391,293	476
Mar	603	14,672,507,275	149,450,012,770	476
Apr	608	13,115,968,081	122,069,541,184	469
May	634	12,575,647,775	124,119,024,069	493
Jun	640	15,862,625,003	156,137,493,987	497
Jul	643	13,276,457,100	129,111,109,525	494
Aug	643	12,798,702,565	123,870,068,777	508
Sep	645	12,231,284,800	109,522,919,881	504
Oct	647	11,775,178,460	105,480,205,666	504
Nov	675	11,316,540,387	112,422,191,652	550
Dec	676	11,086,073,086	109,159,884,503	540
Total		**153,517,646,366**	**1,498,903,911,029**	

* Figures are adjusted for late report.

Note: Turnover value traded in non-HKD currency securities have been included.

Newly listed companies

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$Mil)	Listing date/ subscription rate (times)	Sponsor
00540	Speedy Global Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Accessories	75,000,000 (a) 75,000,000 (b)	0.82 0.82	61.50 61.50	2013/01/15 426	Cinda International Capital Ltd.
01232	Golden Wheel Tiandi Holdings Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	180,000,000 (a) 272,456,000 (b)	1.68 1.68	302.40 457.73	2013/01/16 81.41	BNP Paribas Capital (Asia Pacific) Ltd.
01348	Quali-Smart Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	30,000,000 (a) 30,000,000 (b)	1.50 1.50	45.00 45.00	2013/01/23 721	CIMB Securities Ltd.
03668	Chinalco Mining Corporation International	Offer for subscription Offer for placing Materials – Diversified Metals & Minerals	529,476,000 (a) 1,287,135,000 (b)	1.75 1.75	926.58 2,252.49	2013/01/31 26.27	Morgan Stanley Asia Ltd. BNP Paribas Capital (Asia Pacific) Ltd. China International Capital Corporation Hong Kong Securities Ltd. Standard Chartered Securities (Hong Kong) Ltd.
02078	PanAsialum Holdings Co. Ltd.	Offer for subscription Offer for placing Materials – Diversified Metals & Minerals	120,000,000 (a) 180,000,000 (b)	4.13 4.13	495.60 743.40	2013/02/05 53.8	HSBC Corporate Finance (Hong Kong) Ltd. J.P. Morgan Securities (Far East) Ltd.
02033	Time Watch Investments Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Textiles, Clothing & Accessories	300,000,000 (a) 100,000,000 (b) 279,946,000 (b)	1.35 1.35 1.35	405.00 135.00 377.93	2013/02/05 651	DBS Asia Capital Ltd. CIMB Securities Ltd.
02178	Termbray Petro-king Oilfield Services Ltd.	Offer for subscription Offer for placing Energy – Oil & Gas	75,000,000 (a) 212,500,000 (b)	3.28 3.28	246.00 697.00	2013/03/06 34.3	CCB International Capital Ltd.
01319	Oi Wah Pawnshop Credit Holdings Ltd.	Offer for subscription Offer for placing Financials – Other Financials	50,000,000 (a) 50,000,000 (b)	0.98 0.98	49.00 49.00	2013/03/12 1,086	Cinda International Capital Ltd.
01148	Xinchen China Power Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Automobiles	94,020,000 (a) 253,188,000 (b)	2.23 2.23	209.66 564.61	2013/03/13 20.4	Merrill Lynch Far East Ltd.
00864	Wing Lee Property Investments Ltd.	Introduction Properties & Construction – Properties	n.a.	n.a.	n.a.	2013/03/19 n.a.	Optima Capital Ltd.
06881	China Galaxy Securities Co., Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Financials – Other Financials	470,300,000 (a) 67,665,000 (b) 1,068,639,500 (b)	5.30 5.30 5.30	2,492.59 358.62 5,663.79	2013/05/22 29.95	Goldman Sachs (Asia) L.L.C. J.P. Morgan Securities (Far East) Ltd. China Galaxy International Securities (Hong Kong) Co., Ltd.
02386	SINOPEC Engineering (Group) Co., Ltd. – H Shares	Offer for subscription Offer for placing Energy – Oil & Gas	99,600,000 (a) 1,228,400,000 (b)	10.50 10.50	1,045.80 12,898.20	2013/05/23 29.31	J.P. Morgan Securities (Far East) Ltd. CITIC Securities Corporate Finance (HK) Ltd. UBS Securities Hong Kong Ltd.
01270	Langham Hospitality Investments and Langham Hospitality Investments Ltd. -SS	Offer for subscription Offer for placing Services – Hotels, Casinos & Leisure Facilities	85,218,000 (a) 766,956,000 (b)	5.00 5.00	426.09 3,834.78	2013/05/30 11.7	Deutsche Securities Asia Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
03836	China Harmony Auto Holding Ltd.	Offer for subscription Offer for placing Consumer Goods – Automobiles	27,513,000 (a) 247,613,000 (b)	6.08 6.08	167.28 1,505.49	2013/06/13 1.66	Goldman Sachs (Asia) L.L.C. China International Capital Corporation Hong Kong Securities Ltd.
01369	Wuzhou International Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	64,972,000 (a) 1,168,844,000 (b)	1.22 1.22	79.27 1,425.99	2013/06/13 0.57	BOCOM International (Asia) Ltd. Macquarie Capital Securities Ltd.
06888	Freetech Road Recycling Technology (Holdings) Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	26,000,000 (a) 273,000,000 (b)	2.43 2.43	63.18 663.39	2013/06/26 7.16	CITIC Securities Corporate Finance (HK) Ltd. Guotai Junan Capital Ltd.
0954#	Changmao Biochemical Engineering Co. Ltd. – H Shares	Transfer of listing Materials – Basic Materials	n.a.	n.a.	n.a.	2013/06/28 n.a.	+ n.a.
02112	CAA Resources Ltd.	Offer for subscription Offer for placing Materials – Diversified Metals & Minerals	8,878,000 (a) 366,122,000 (b)	1.30 1.30	11.54 475.96	2013/07/03 0.237	China Everbright Capital Ltd.
01250	Jin Cai Holdings Co. Ltd.	Offer for subscription Offer for placing Services – Support Services	32,000,000 (a) 48,000,000 (b)	0.82 0.82	26.24 39.36	2013/07/05 62.62	First Shanghai Capital Ltd.
01680	Macau Legend Development Ltd.	Offer for subscription Offer for placing Services – Hotels, Casinos & Leisure Facilities	34,899,000 (a) 899,928,000 (b)	2.35 2.35	82.01 2,114.83	2013/07/05 0.17	CLSA Equity Capital Markets Ltd.
01297	Sinosoft Technology Group Ltd.	Offer for subscription Offer for sales Offer for placing Information Technology – Software & Services	30,000,000 (a) 50,000,000 (b) 252,258,000 (b)	1.45 1.45 1.45	43.50 72.50 365.77	2013/07/09 7.99	CMB International Capital Ltd.
01255	S. Culture International Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Accessories	15,000,000 (a) 35,000,000 (b)	2.13 2.13	31.95 74.55	2013/07/11 25.4	RHB OSK Capital Hong Kong Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$Mil)	Listing date/ subscription rate (times)	Sponsor
01661	Wisdom Holdings Group	Offer for subscription Offer for placing Services – Media & Entertainment	11,038,000 (a) 398,007,000 (b)	2.11 2.11	23.29 839.79	2013/07/11 0.28	BOCI Asia Ltd.
06898	China Aluminum Cans Holdings Ltd.	Offer for subscription Offer for placing Services – Support Services	10,000,000 (a) 90,000,000 (b)	1.00 1.00	10.00 90.00	2013/07/12 3.15	Shenyin Wanguo Capital (H.K.) Ltd.
01107	Modern Land (China) Co., Ltd.	Offer for subscription Offer for placing Properites & Construction – Properties	4,676,000 (a) 395,324,000 (b)	1.49 1.49	6.97 589.03	2013/07/12 0.12	Daiwa Capital Markets Hong Kong Ltd.
01292#	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	Transfer of listing Services – Transportation	n.a.	n.a.	n.a.	2013/07/18 n.a.	+ n.a.
01271	Grand Ming Group Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	50,000,000 (a) 50,000,000 (b)	1.11 1.11	55.50 55.50	2013/08/09 177	Cinda International Capital Ltd.
01249	Tonly Electronics Holdings Ltd.	Introduction Consumer Goods – Household Goods & Electronics	n.a.	n.a.	n.a.	2013/08/15 n.a.	BNP Paribas Securities (Asia) Ltd. Kim Eng Securities (Hong Kong) Ltd.
01355#	Legend Strategy International Holdings Group Co. Ltd.	Transfer of listing Services – Hotels, Casinos & Leisure Facilities	n.a.	n.a.	n.a.	2013/08/21 n.a.	+ n.a.
02183	Lifestyle Properties Development Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	8,360,000 (a) 78,554,000 (b)	1.98 1.98	16.55 155.54	2013/09/12 2.69	BNP Paribas Securities (Asia) Ltd. Platinum Securities Co. Ltd.
01219	Tenwow International Holdings Ltd.	Offer for subscription Offer for placing Consumer Goods – Food & Beverages	200,000,000 (a) 373,612,000 (b)	3.15 3.15	630.00 1,176.88	2013/09/17 56.14	Deutsche Securities Asia Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
01363	CT Environmental Group Ltd.	Offer for subscription Offer for placing Utilities	34,000,000 (a) 328,082,000 (b)	1.48 1.48	50.32 485.56	2013/09/25 7.24	BOCI Asia Ltd.
01373	International Housewares Retail Co. Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Household Goods & Electronics	108,000,000 (a) 66,461,000 (b) 72,000,000 (b)	2.81 2.81 2.81	303.48 186.76 202.32	2013/09/25 146.55	BOCI Asia Ltd.
06863	China Huishan Dairy Holdings Co. Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Food & Beverages	568,140,000 (a) 1,442,203,000 (b) 2,345,394,000 (b)	2.67 2.67 2.67	1,516.93 3,850.68 6,262.20	2013/09/27 12.69	Deutsche Securities Asia Ltd. Goldman Sachs (Asia) L.L.C. HSBC Corporate Finance (Hong Kong) Ltd. UBS Securities Hong Kong Ltd.
01273	Hong Kong Finance Group Ltd.	Offer for subscription Offer for placing Financials – Other Financials	50,000,000 (a) 65,000,000 (b)	1.03 1.03	51.50 66.95	2013/10/02 413	Asian Capital (Corporate Finance) Ltd.
00484	Forgame Holdings Ltd.	Offer for subscription Offer for sales Offer for placing Information Technology – Software & Services	15,685,000 (a) 15,685,000 (b) 4,705,500 (b)	51.00 51.00 51.00	799.94 799.94 239.98	2013/10/03 313.41	Morgan Stanley Aisa Ltd. J.P. Morgan Securities (Far East) Ltd.
01316	Nexteer Automotive Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Automobiles	72,000,000 (a) 745,804,000 (b)	2.80 2.80	201.60 2,088.25	2013/10/07 1.1	BOCI Asia Ltd. J.P. Morgan Securities (Far East) Ltd.
02023	China Ludao Technology Co. Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	30,000,000 (a) 70,000,000 (b)	0.80 0.80	24.00 56.00	2013/10/11 31.83	Essence Corporate Finance (Hong Kong) Ltd.
00401	Wanjia Group Holdings Ltd.	Introduction Consumer Goods – Healthcare	n.a.	n.a.	n.a.	2013/10/11 n.a.	Messis Capital Ltd.
02393	Yestar International Holdings Co. Ltd.	Offer for subscription Offer for placing Industrials – Commercial & Professional Services	11,250,000 (a) 118,125,000 (b)	1.38 1.38	15.53 163.01	2013/10/11 7.92	Haitong International Capital Ltd.
01371#	China LotSynergy Holdings Ltd.	Transfer of listing Consumer Services – Hotels & Entertainment	n.a.	n.a.	n.a.	2013/10/16 n.a.	+ n.a.
01246	Ngai Shun Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Construction	30,000,000 (a) 85,000,000 (b)	0.93 0.93	27.90 79.05	2013/10/16 23.6	Ample Capital Ltd.
02030	Cabbeen Fashion Ltd.	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	17,500,000 (a) 168,450,000 (b)	2.53 2.53	44.28 426.18	2013/10/28 12	Merrill Lynch Far East Ltd. CCB International Capital Ltd.
01290	China Huirong Financial Holdings Ltd.	Offer for subscription Offer for placing Financials – Other Financials	187,620,000 (a) 187,617,000 (b)	2.18 2.18	409.01 409.01	2013/10/28 243.1	UBS Securities Hong Kong Ltd.
01396	Hydoo International Holding Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	76,826,000 (a) 703,380,000 (b)	2.15 2.15	165.18 1,512.27	2013/10/31 3.73	UBS Securities Hong Kong Ltd. Morgan Stanley Asia Ltd.
01862	Jingrui Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	31,344,000 (a) 282,086,000 (b)	4.45 4.45	139.48 1,255.28	2013/10/31 1.56	Deutsche Securities Asia Ltd. UBS Securities Hong Kong Ltd.

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$Mil)	Listing date/ subscription rate (times)	Sponsor
00153	China Saite Group Co. Ltd.	Offer for subscription	120,000,000 (a)	1.03	123.60	2013/11/01	DBS Asia Capital Ltd.
		Offer for placing	280,000,000 (b)	1.03	288.40	15.51	Kim Eng Securities (Hong Kong) Ltd.
		Properties & Construction – Construction					
01345	China Pioneer Pharma Holdings Ltd.	Offer for subscription	100,002,000 (a)	4.10	410.01	2013/11/05	UBS Securities Hong Kong Ltd.
		Offer for sale	546,000 (b)	4.10	2.24	17.4	
		Offer for placing	233,332,000 (b)	4.10	956.66		
		Consumer Goods – Healthcare					
01963	Bank of Chongqing Co., Ltd. – H Shares	Offer for subscription	70,752,000 (a)	6.00	424.51	2013/11/06	Goldman Sachs (Asia) L.L.C.
		Offer for sale	37,520,000 (b)	6.00	225.12	8.81	
		Offer for placing	614,675,000 (b)	6.00	3,688.05		
		Financials – Banks					
01302#	LifeTech Scientific Corporation	Transfer of listing	n.a.	n.a.	n.a.	2013/11/06	+ n.a.
		Consumer Goods – Healthcare				n.a.	
01360	Mega Expo Holdings Ltd.	Offer for subscription	30,000,000 (a)	1.33	39.90	2013/11/06	Halcyon Capital Ltd.
		Offer for sale	10,000,000 (b)	1.33	13.30	342.71	
		Offer for placing	20,000,000 (b)	1.33	26.60		
		Consumer Services – Media & Entertainment					
01358	PW Medtech Group Ltd.	Offer for subscription	120,000,000 (a)	3.18	381.60	2013/11/08	Morgan Stanley Asia Ltd.
		Offer for placing	340,000,000 (b)	3.18	1,081.20	20.33	
		Consumer Goods – Healthcare					
00434	Boyaa Interactive International Ltd.	Offer for subscription	92,196,000 (a)	5.35	493.25	2013/11/12	Credit Suisse (Hong Kong) Ltd.
		Offer for sale	35,034,000 (b)	5.35	187.43	832.33	
		Offer for placing	84,818,000 (b)	5.35	453.78		
		Information Technology – Software & Services					
03698	Huishang Bank Corporation Ltd. – H Shares	Offer for subscription	261,278,000 (a)	3.53	922.31	2013/11/12	CITIC Securities Corporate Finance (HK) Ltd.
		Offer for sale	129,691,000 (b)	3.53	457.81	10.79	UBS Securities Hong Kong Ltd.
		Offer for placing	2,613,722,000 (b)	3.53	9,226.44		J.P. Morgan Securities (Far East) Ltd.
		Financials – Banks					Morgan Stanley Asia Ltd.
							BOCI Asia Ltd.
03623	China Success Finance Group Holdings Ltd.	Offer for subscription	30,000,000 (a)	2.68	80.40	2013/11/13	RaffAello Capital Ltd.
		Offer for placing	84,044,000 (b)	2.68	225.24	21.53	First Shanghai Capital Ltd.
		Financials – Other Financials					
03628#	RENHENG Enterprise Holdings Ltd.	Transfer of listing	n.a.	n.a.	n.a.	2013/11/25	+ n.a.
		Industrials – Industrial Engineering				n.a.	
01431	YuanShengTai Dairy Farm Ltd.	Offer for subscription	122,150,000 (a)	2.70	329.81	2013/11/26	China Securities (International) Corporate Finance Co. Ltd.
		Offer for sale	321,588,000 (b)	2.70	868.29	13.89	Credit Suisse (Hong Kong) Ltd.
		Offer for placing	855,037,000 (b)	2.70	2,308.60		Macquarie Capital Securities Ltd.
		Consumer Goods – Food & Beverages					
03608	Yongsheng Advanced Materials Co. Ltd.	Offer for subscription	30,000,000 (a)	1.18	35.40	2013/11/27	Haitong International Capital Ltd.
		Offer for placing	70,000,000 (b)	1.18	82.60	17.22	
		Consumer Goods – Textiles, Clothing & Personal Care					
01370	Hengshi Mining Investments Ltd.	Offer for subscription	29,682,000 (a)	3.20	94.98	2013/11/28	Merrill Lynch Far East Ltd.
		Offer for placing	353,161,000 (b)	3.20	1,130.12	0.79	Credit Suisse (Hong Kong) Ltd.
		Materials – Diversified Metals & Minerals					
01515	Phoenix Healthcare Group Co. Ltd.	Offer for subscription	100,454,000 (a)	7.38	741.35	2013/11/29	Deutsche Securities Asia Ltd.
		Offer for placing	130,589,000 (b)	7.38	963.75	534.4	Goldman Sachs (Asia) L.L.C.
		Consumer Goods – Healthcare					
01884	eprint Group Ltd.	Offer for subscription	37,500,000 (a)	0.78	29.25	2013/12/03	Cinda International Capital Ltd.
		Offer for placing	87,500,000 (b)	0.78	68.25	18.48	
		Industrials – Commercial & Professional Services					
03315	Goldpac Group Ltd.	Offer for subscription	60,000,000 (a)	5.39	323.40	2013/12/04	BOCI Asia Ltd.
		Offer for placing	170,000,000 (b)	5.39	916.30	44.13	Shenyin Wanguo Capital (H.K.) Ltd.
		Information Technology – IT Hardware					
01268	China MeiDong Auto Holdings Ltd.	Offer for subscription	25,000,000 (a)	1.80	45.00	2013/12/05	CCB International Capital Ltd.
		Offer for placing	225,000,000 (b)	1.80	405.00	1.75	
		Consumer Goods – Automobiles					
01522#	China City Railway Transportation Technology Hldgs Co. Ltd.	Transfer of listing	n.a.	n.a.	n.a.	2013/12/06	+ n.a.
		Information Technology – Software & Services				n.a.	
01689	Huaxi Holdings Co. Ltd.	Offer for subscription	7,500,000 (a)	1.35	10.13	2013/12/06	Haitong International Capital Ltd.
		Offer for sales	15,000,000 (b)	1.35	20.25	9.84	
		Offer for placing	63,750,000 (b)	1.35	86.06		
		Industrials – Commercial & Professional Services					
00854	Willas-Array Electronics (Holdings) Ltd.	Introduction	n.a.	n.a.	n.a.	2013/12/06	Octal Capital Ltd.
		Information Technology – Semiconductors				n.a.	
03386	Dongpeng Holdings Co. Ltd.	Offer for subscription	14,906,000 (a)	2.94	43.82	2013/12/09	Goldman Sachs (Asia) L.L.C.
		Offer for placing	234,494,000 (b)	2.94	689.41	0.6	
		Properties & Construction – Construction					
01372	Excel Development (Holdings) Ltd.	Offer for subscription	15,000,000 (a)	1.20	18.00	2013/12/11	Mizuho Securities Asia Ltd.
		Offer for placing	35,000,000 (b)	1.20	42.00	31.74	
		Properties & Construction – Construction					

Newly listed companies *(continued)*

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$Mil)	Listing date/ subscription rate (times)	Sponsor
01233	Times Property Holdings Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	43,074,000 (a) 387,666,000 (b)	3.60 3.60	155.07 1,395.60	2013/12/11 1.21	UBS Securities Hong Kong Ltd. Haitong International Capital Ltd.
02213	Yi Hua Department Store Holdings Ltd.	Offer for subscription Offer for placing Consumer Services – Retailers	9,000,000 (a) 81,000,000 (b)	1.40 1.40	12.60 113.40	2013/12/11 3.24	Kingsway Capital Ltd.
01359	China Cinda Asset Management Co., Ltd. – H Shares	Offer for subscription Offer for placing Financials – Other Financials	1,063,768,000 (a) 5,052,898,000 (b)	3.58 3.58	3,808.29 18,089.37	2013/12/12 161.13	Merrill Lynch Far East Ltd. Credit Suisse (Hong Kong) Ltd. Goldman Sachs (Asia) L.L.C. Morgan Stanley Asia Ltd.
02211	Jintian Pharmaceutical Group Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Healthcare	50,000,000 (a) 100,000,000 (b) 350,000,000 (b)	2.91 2.91 2.91	145.50 291.00 1,018.50	2013/12/12 7.65	Morgan Stanley Asia Ltd.
03369	Qinhuangdao Port Co., Ltd. – H Shares	Offer for subscription Offer for sales Offer for placing Industrials – Industrial Transportation	60,598,000 (a) 75,441,000 (b) 693,814,000 (b)	5.25 5.25 5.25	318.14 396.07 3,642.52	2013/12/12 0.73	Citigroup Global Markets Asis Ltd. China International Capital Corporation Hong Kong Securities Ltd. HSBC Corporate Finance (Hong Kong) Ltd.
00968	Xinyi Solar Holdings Ltd.	Introduction Industrials – Industrial Engineering	n.a.	n.a.	n.a.	2013/12/12 n.a.	Citigroup Global Markets Asia Ltd.
01349#	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	Transfer of listing Consumer Goods – Healthcare	n.a.	n.a.	n.a.	2013/12/16 n.a.	+ n.a.
00586	China Conch Venture Holdings Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	79,500,000 (a) 225,250,000 (b)	13.56 13.56	1,078.02 3,054.39	2013/12/19 16.51	Deutsche Securities Asia Ltd.
01681	Consun Pharmaceutical Group Ltd.	Offer for subscription Offer for sales Offer for placing Consumer Goods – Healthcare	125,000,000 (a) 37,500,000 (b) 125,000,000 (b)	4.36 4.36 4.36	545.00 163.50 545.00	2013/12/19 129.5	BOCI Asia Ltd.
01390	econtext Asia Ltd.	Offer for subscription Offer for placing Information Technology – Software & Services	50,000,000 (a) 93,750,000 (b)	3.59 3.59	179.50 336.56	2013/12/19 86.7	Daiwa Capital Markets Hong Kong Ltd.
01448	Fu Shou Yuan International Group Ltd.	Offer for subscription Offer for placing Consumer Services – Support Services	250,000,000 (a) 325,000,000 (b)	3.33 3.33	832.50 1,082.25	2013/12/19 681.3	Citigroup Global Markets Asia Ltd.
00636	Kerry Logistics Network Ltd.	Offer for subscription Offer for placing Industrials – Industrial Transportation	64,821,500 (a) 183,660,500 (b)	10.20 10.20	661.18 1,873.34	2013/12/19 37	BOCI Asia Ltd. Citigroup Global Markets Asia Ltd. HSBC Corporate Finance (Hong Kong) Ltd. Morgan Stanley Asia Ltd.
01678	China Creative Home Group Ltd.	Offer for subscription Offer for placing Consumer Goods – Household Goods & Electronics	36,000,000 (a) 324,000,000 (b)	1.80 1.80	64.80 583.20	2013/12/20 1.29	Guotai Junan Capital Ltd.
06818	China Everbright Bank Co. Ltd. – H Shares	Offer for subscription Offer for placing Financials – Banks	292,100,000 (a) 5,952,205,000 (b)	3.98 3.98	1,162.56 23,689.78	2013/12/20 1.91	China International Capital Corporation UBS Securities Hong Kong Ltd. Morgan Stanley Asia Ltd. China Everbright Capital Ltd. BNP Paribas Securities (Asia) Ltd. BOCI Asia Ltd.
01819	Fuguiniao Co., Ltd. – H Shares	Offer for subscription Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	9,059,600 (a) 125,849,600 (b)	8.81 8.81	79.82 1,108.73	2013/12/20 0.68	CITIC Securities Corporate Finance (HK) Ltd.
03380	Logan Property Holdings Co. Ltd.	Offer for subscription Offer for placing Properties & Construction – Properties	75,000,000 (a) 675,000,000 (b)	2.10 2.10	157.50 1,417.50	2013/12/20 1.0188	Macquarie Capital Securities Ltd.
02283	TK Group (Holdings) Ltd.	Offer for subscription Offer for placing Industrials – Industrial Engineering	20,000,000 (a) 206,600,000 (b)	1.25 1.25	25.00 258.25	2013/12/20 3.33	China Merchants Securities (HK) Co., Ltd.
03313	ArtGo Mining Holdings Ltd.	Offer for subscription Offer for placing Materials – Diversified Metals & Minerals	10,169,000 (a) 323,165,000 (b)	2.65 2.65	26.95 856.39	2013/12/30 0.31	Merrill Lynch Far East Ltd.
01421	Kingbo Strike Ltd.	Offer for subscription Offer for sales Offer for placing Properties & Construction – Construction	48,000,000 (a) 32,000,000 (b) 80,000,000 (b)	0.50 0.50 0.50	24.00 16.00 40.00	2013/12/30 15.9	Grand Vinco Capital Ltd.
				Total	165,776.07		

(a) Hong Kong offers
(b) International offers

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

\# Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

\+ Effective date of the transfer of listing from GEM to Main Board

Withdrawal of listed companies

Code	Company	Last trading date	Delisted date
00739	Zhejiang Glass Co. Ltd. – H Shares	2010/04/30	2013/05/31
00325	Trauson Holdings Co. Ltd.	2013/03/01	2013/07/15
00946	Hontex International Holdings Co. Ltd.	2010/03/29	2013/09/23
00331	PCD Stores (Group) Ltd.	2013/08/30	2013/12/05

Company name changes

Code	Former name	New name	Effective date	Adoption date
00195	Goodtop Tin International Holdings Ltd.	L'sea Resources International Holdings Ltd.	2012/11/30	2013/01/10
00566	Apollo Solar Energy Technology Holdings Ltd.	Hanergy Solar Group Ltd.	2013/01/14	2013/01/21
01036	Winsor Properties Holdings Ltd.	Vanke Property (Overseas) Ltd.	2012/12/31	2013/01/21
01137	City Telecom (H.K.) Ltd.	Hong Kong Television Network Ltd.	2013/01/10	2013/01/28
00908	Jiuzhou Development Co. Ltd.	Zhuhai Holdings Investment Group Ltd.	2013/01/08	2013/02/01
00007	Karl Thomson Holdings Ltd.	Hoifu Energy Group Ltd.	2013/01/03	2013/02/04
00161	CATIC Shenzhen Holdings Ltd. – H Shares	AVIC International Holdings Ltd. – H Shares	2013/01/04	2013/02/08
01093	China Pharmaceutical Group Ltd.	CSPC Pharmaceutical Group Ltd.	2013/03/01	2013/03/08
00535	Frasers Property (China) Ltd.	Gemdale Properties and Investment Corporation Ltd.	2013/03/12	2013/03/12
00021	Waytung Global Group Ltd.	Great China Properties Holdings Ltd.	2013/03/05	2013/03/12
00039	Bio-Dynamic Group Ltd.	Sino Distillery Group Ltd.	2013/02/07	2013/03/13
00692	Bao Yuan Holdings Ltd.	China Household Holdings Ltd.	2013/02/07	2013/03/18
00419	Media China Corporation Ltd.	China Jiuhao Health Industry Corporation Ltd.	2013/02/28	2013/03/20
02310	Kwang Sung Electronics H.K. Co. Ltd.	Forebase International Holdings Ltd.	2013/03/12	2013/03/21
00873	International Taifeng Holdings Ltd.	China Taifeng Beddings Holdings Ltd.	2013/01/25	2013/03/27
00397	China Gogreen Assets Investment Ltd.	Jun Yang Solar Power Investments Ltd.	2013/03/21	2013/05/08
00542	Morning Star Resources Ltd.	Ceneric (Holdings) Ltd.	2013/04/08	2013/05/09
00577	Paul Y. Engineering Group Ltd.	Louis XIII Holdings Ltd.	2013/04/03	2013/05/15
00805	Glencore International plc	Glencore Xstrata plc	2013/05/02	2013/05/29
00559	Hua Yi Copper Holdings Ltd.	Guocang Group Ltd.	2013/05/02	2013/05/31
00112	Chi Cheung Investment Co., Ltd.	LT Holdings Ltd.	2013/06/19	2013/06/27
00527	China Ruifeng Galaxy Renewable Energy Holdings Ltd.	China Ruifeng Renewable Energy Holdings Ltd.	2013/05/08	2013/06/27
00099	Wong's International (Holdings) Ltd.	Wong's International Holdings Ltd.	2013/06/27	2013/06/27
00126	Tak Sing Alliance Holdings Ltd.	Carrianna Group Holdings Co. Ltd.	2013/05/20	2013/07/02
02038	Foxconn International Holdings Ltd.	FIH Mobile Ltd.	2013/05/30	2013/07/03
01082	Modern Education Group Ltd.	Hong Kong Education (Int'l) Investments Ltd.	2013/06/24	2013/07/04
01833	Intime Department Store (Group) Co. Ltd.	Intime Retail (Group) Co. Ltd.	2013/06/04	2013/07/05
02083	China Flooring Holding Co. Ltd.	Nature Flooring Holding Co. Ltd.	2013/06/05	2013/07/10
02128	China Liansu Group Holdings Ltd. *	China Lesso Group Holdings Ltd.	2013/07/02	2013/07/17
00169	Hengli Commercial Properties (Group) Ltd.	Wanda Commercial Properties (Group) Co., Ltd.	2013/06/25	2013/08/09
00706	FinTronics Holdings Co. Ltd.	Beautiful China Holdings Co. Ltd.	2013/07/09	2013/08/14
00923	Fook Woo Group Holdings Ltd.	Integrated Waste Solutions Group Holdings Ltd.	2013/07/03	2013/08/16
00922	China Boon Holdings Ltd.	Anxian Yuan China Holdings Ltd.	2013/07/04	2013/08/21
00261	CCT Tech International Ltd.	CCT Land Holdings Ltd.	2013/07/08	2013/08/23
00138	CCT Telecom Holdings Ltd.	CCT Fortis Holdings Ltd.	2013/07/08	2013/08/23
03399	Guangdong Nan Yue Logistics Co. Ltd. – H Shares	Guangdong Yueyun Transportation Co. Ltd. – H Shares	2013/08/05	2013/08/23
00874	Guangzhou Pharmaceutical Co. Ltd. – H Shares	Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shs	2013/08/23	2013/08/29
00106	Shenzhen High-Tech Holdings Ltd.	Landsea Green Properties Co., Ltd.	2013/08/05	2013/08/29
00582	Greenfield Chemical Holdings Ltd.	Landing International Development Ltd.	2013/08/08	2013/09/11
01069	Jiangchen International Holdings Ltd.	China Agroforestry Low-Carbon Holdings Ltd.	2013/08/28	2013/09/12
00377	New Island Printing Holdings Ltd.	New Island Development Holdings Ltd.	2013/08/19	2013/09/18
00402	Mongolia Investment Group Ltd.	Peace Map Holding Ltd.	2013/09/04	2013/10/02
00337	SPG Land (Holdings) Ltd.	Greenland Hong Kong Holdings Ltd.	2013/08/27	2013/10/07
00124	Kingway Brewery Holdings Ltd.	Guangdong Land Holdings Ltd.	2013/09/17	2013/10/09
00907	Elegance International Holdings Ltd.	Elegance Optical International Holdings Ltd.	2013/09/04	2013/10/10
02088	Xiwang Sugar Holdings Co. Ltd.	Xiwang Property Holdings Co. Ltd.	2013/09/12	2013/10/18
00185	Xpress Group Ltd.	Heng Fai Enterprises Ltd.	2013/10/07	2013/10/22
00364	Huafeng Group Holdings Ltd.	Ping Shan Tea Group Ltd.	2013/09/24	2013/10/23
00474	Hao Tian Resources Group Ltd.	Hao Tian Development Group Ltd.	2013/10/02	2013/10/24
00959	Amax Holdings Ltd.	Amax International Holdings Ltd.	2013/09/27	2013/11/12
00091	New Smart Energy Group Ltd.	International Standard Resources Holdings Ltd.	2013/11/11	2013/11/19
00570	Winteam Pharmaceutical Group Ltd.	China Traditional Chinese Medicine Co. Ltd.	2013/11/12	2013/11/22
00978	Tonic Industries Holdings Ltd.	China Merchants Land Ltd.	2013/11/01	2013/11/29
00618	EC-Founder (Holdings) Co. Ltd.	Peking University Resources (Holdings) Co. Ltd.	2013/10/25	2013/12/03
02327	Jiwa Bio-Pharm Holdings Ltd.	U-Home Group Holdings Ltd.	2013/11/08	2013/12/17

* Change of English name only
# Change of Chinese name only

Investment companies as at the end of 2013

Code	Name	Classification	Incorporation	Listing date
00170	China Assets (Holdings) Ltd.	Financials	Hong Kong	1992/04/15
02324	Capital VC Ltd.	Financials	Cayman Islands	2003/10/27
01062	China Development Bank International Investment Ltd.	Financials	Cayman Islands	1994/05/10
00721	China Financial International Investments Ltd.	Financials	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Financials	Cayman Islands	2002/10/28
01217	China Innovation Investment Ltd.	Financials	Cayman Islands	2002/08/28
01226	China Investment and Finance Group Ltd.	Financials	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Financials	Bermuda	2000/12/12
00612	China Investments Fund Co. Ltd.	Financials	Cayman Islands	2002/01/02
00133	China Merchants China Direct Investments Ltd.	Financials	Hong Kong	1993/07/22
00080	China New Economy Fund Ltd.	Financials	Cayman Islands	2011/01/06
00339	Earnest Investments Holdings Ltd.	Financials	Bermuda	2000/07/26
01160	Grand Investment International Ltd.	Financials	Bermuda	2004/04/02
00428	Harmony Assets Ltd.	Financials	Cayman Islands	1993/12/14
00356	Incutech Investments Ltd.	Financials	Cayman Islands	2002/06/07
00905	Mastermind Capital Ltd.	Financials	Cayman Islands	1998/07/17
01227	National Investments Fund Ltd.	Financials	Cayman Islands	2002/09/27
01140	OP Financial Investments Ltd.	Financials	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Financials	Bermuda	2002/02/28
00310	Prosperity Investment Holdings Ltd.	Financials	Bermuda	1992/12/21
00901	Radford Capital Investment Ltd.	Financials	Cayman Islands	2002/02/28
00770	Shanghai International Shanghai Growth Investment Ltd.	Financials	Cayman Islands	1993/11/30
00666	SHK Hong Kong Industries Ltd.	Financials	Hong Kong	1990/12/21
00768	UBA Investments Ltd.	Financials	Cayman Islands	2000/01/18
00913	Unity Investments Holdings Ltd.	Financials	Cayman Islands	1999/10/27

Secondary listing stocks as at the end of 2013

					Primary list
Code	Name	Classification	Incorporation	Listing date	Exchange
00945	Manulife Financial Corporation	Financials	Canada	1999/09/27	Toronto
01878	SouthGobi Energy Resources Ltd.	Energy	Canada	2010/01/29	Toronto
01021	Midas Holdings Ltd.	Materials	Singapore	2010/10/06	Singapore
06210	Vale S.A. Common – DR	Materials	Brazil	2010/12/08	Brazil/New York
06230	Vale S.A. Class A Preferred – DR	Materials	Brazil	2010/12/08	Brazil/New York
06488	SBI Holdings, Inc. – DR	Financials	Japan	2011/04/14	Tokyo/Osaka
00805	Glencore Xstrata plc	Materials	Jersey	2011/05/25	London
00847	Kazakhmys PLC	Materials	England	2011/06/29	London
06813	CapitaMalls Asia Ltd.	Properties & Construction	Singapore	2011/10/18	Singapore
06388	Coach, Inc. -RS	Consumer Goods	USA	2011/12/01	New York

Reorganisations / demergers

Code	Company	Particulars	Proposed date Completed/EGM date
00093	Termbray Industries International (Holdings) Ltd. (Termbray)	Termbray proposed a separate listing of Termbray Petro-king Oilfield Services Ltd. (Termbray Petro-king) by way of a new issue and placing. The shares of Termbray Petro-king would be listed on the Stock Exchange.	2012/08/16 2013/03/06
00876	Wing Lee Holdings Ltd. (Wing Lee Hldg)	Wing Lee Hldg proposed a separate listing of Wing Lee Property Investments Ltd. (Wing Lee Ppt) by way of introduction. Shareholders of Wing Lee Hldg entitled to receive distribution in specie 1 Wing Lee Ppt share for each Wing Lee Hldg share. The shares of Wing Lee Ppt would be listed on the Stock Exchange.	2012/08/31 2013/03/19
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares (Tong Ren Tang Tech)	Tong Ren Tang Tech proposed a separate listing of Beijing Tong Ren Tang Chinese Medicine Co. Ltd. (Tong Ren Tang CM) by way of a new issue and placing. Shareholders of Tong Ren Tang Tech entitled to participate in the preferential offer 170 Tong Ren Tang CM shares for every 1,000 Tong Ren Tang Tech shares at a price of HK$3.04 per share. The shares of Tong Ren Tang CM would be listed on the Stock Exchange.	2012/10/31 2013/05/07
00041	Great Eagle Holdings Ltd. (Great Eagle)	Great Eagle proposed a separate listing of Langham Hospitality Investments and Langham Hospitality Investments Ltd. (Langham Hospitality) by way of a new issue and placing. Shareholders of Great Eagle entitled to participate in the preferential offer 1 Langham Hospitality share stapled unit for every 15 Great Eagle shares at a price of HK$5.00 per unit. The share stapled units of Langham Hospitality would be listed on the Stock Exchange.	2013/01/24 2013/05/30
01070	TCL Multimedia Technology Holdings Ltd. (TCL)	TCL proposed a separate listing of Tonly Electronics Holdings Ltd. (Tonly) by way of introduction. Shareholders of TCL entitled to receive distribution in specie 1 Tonly share for every 10 TCL shares. The shares of Tonly would be listed on the Stock Exchange.	2013/04/19 2013/08/15
01212	Lifestyle International Holdings Ltd. (Lifestyle Int'l)	Lifestyle Int'l proposed a separate listing of Lifestyle Properties Development Ltd. (Lifestyle Ppt) by way of a new issue and placing. Shareholders of Lifestyle Int'l entitled to receive distribution in specie 1 Lifestyle Ppt share for every 20 Lifestyle Int'l shares. The shares of Lfestyle Ppt would be listed on the Stock Exchange.	2012/08/10 2013/09/12
00015	Vantage International (Holdings) Ltd. (Vantage)	Vantage proposed a separate listing of Excel Development (Holdings) Ltd. (Excel Dev) by way of a new issue and placing. Shareholders of Vantage entitled to participate in the preferential offer 1 Excel Dev share for every 350 Vantage shares at a price of HK$1.20 per share. The shares of Excel Dev would be listed on the Stock Exchange.	2013/05/03 2013/12/11
00868	Xinyi Glass Holdings Ltd. (Xinyi Glass)	Xinyi Glass proposed a separate listing of Xinyi Solar Holdings Ltd. (Xinyi Solar) by way of introduction. Shareholders of Xinyi Glass entitled to receive distribution in specie 1 Xinyi Solar share for each Xinyi Glass share. The shares of Xinyi Solar would be listed on the Stock Exchange.	2013/09/24 2013/12/12
00683	Kerry Properties Ltd. (Kerry Ppt)	Kerry Ppt proposed a separate listing of Kerry Logistics Network Ltd. (Kerry Logistics) by way of a new issue and placing. Shareholders of Kerry Ppt entitled to receive distribution in specie 1 Kerry Logistics share for every 2 Kerry Ppt shares. The shares of Kerry Logistics would be listed on the Stock Exchange.	2013/08/19 2013/12/19

Privatisations by major shareholder

Code	Company	Particulars	Last trading date	Delisted date
NIL				

Change of domicile

Code	Former company	New company	Place of incorporation	Effective date
0399	United Gene High-Tech Group Ltd.	United Gene High-Tech Group Ltd.	Bermuda	2013/03/01

Companies under suspension as at the end of 2013

Code	Stock	Last closing price (HK$)	Last closing price (Date)	Date of suspension
01717	Ausnutria Dairy Corporation Ltd.	1.460	(2012/03/28)	2012/03/29
00761	Bel Global Resources Holdings Ltd.	0.143	(2011/06/30)	2011/07/04
02309	Birmingham International Holdings Ltd.	0.154	(2011/06/29)	2011/06/30
01698	Boshiwa International Holding Ltd.	1.680	(2012/03/15)	2012/03/15
00682	Chaoda Modern Agriculture (Holdings) Ltd.	1.100	(2011/09/26)	2011/09/26
00370	China Best Group Holding Ltd.	0.089	(2013/08/15)	2013/08/16
00274	China Billion Resources Ltd.	0.087	(2011/06/28)	2011/06/29
00646	China Environmental Technology Holdings Ltd.	0.171	(2013/03/28)	2013/04/02
00930	China Forestry Holdings Co., Ltd.	2.950	(2011/01/26)	2011/01/26
00591	China High Precision Automation Group Ltd.	1.240	(2012/08/21)	2012/08/22
01380	China Kingstone Mining Holdings Ltd.	0.275	(2012/09/17)	2012/09/17
00773	China Metal Recycling (Holdings) Ltd.	9.430	(2013/01/25)	2013/01/28
00155	China Solar Energy Holdings Ltd.	0.180	(2013/08/16)	2013/08/16
00209	China Tycoon Beverage Holdings Ltd.	0.089	(2012/03/07)	2012/03/08
01007	Daqing Dairy Holdings Ltd.	1.680	(2012/03/21)	2012/03/22
03335	DBA Telecommunication (Asia) Holdings Ltd.	2.030	(2013/06/05)	2013/06/06
00508	Dingyi Group Investment Ltd.	0.570	(2013/06/28)	2013/07/02
00828	Dynasty Fine Wines Group Ltd.	1.440	(2013/03/21)	2013/03/22
00901	Eagle Ride Investment Holdings Ltd.	3.160	(2013/11/11)	2013/11/12
00117	EYANG Holdings (Group) Co., Ltd.	0.650	(2013/03/28)	2013/04/02
00865	First Mobile Group Holdings Ltd.	0.168	(2009/11/26)	2009/11/27
00186	Grande Holdings Ltd., The	0.410	(2011/05/27)	2011/05/30
00566	Hanergy Solar Group Ltd.	0.790	(2013/12/20)	2013/12/20
00356	Incutech Investments Ltd.	0.480	(2008/06/12)	2008/06/13
00923	Integrated Waste Solutions Group Holdings Ltd.	1.370	(2011/11/25)	2011/11/28
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	6.070	(2013/12/10)	2013/12/11
01201	Kith Holdings Ltd.	0.700	(2013/12/17)	2013/12/18
01116	Mayer Holdings Ltd.	0.123	(2012/01/06)	2012/01/09
00462	Natural Dairy (NZ) Holdings Ltd.	2.200	(2010/09/06)	2010/09/07
02339	Norstar Founders Group Ltd.	0.730	(2009/01/19)	2009/01/19
02668	Pak Tak International Ltd.	1.700	(2013/10/25)	2013/10/28
00334	Proview International Holdings Ltd.	0.202	(2010/08/02)	2010/08/02
00516	Pyxis Group Ltd.	0.170	(2010/07/02)	2010/07/05
03868	Qunxing Paper Holdings Co. Ltd.	2.180	(2011/03/29)	2011/03/30
00246	Real Gold Mining Ltd.	8.860	(2011/05/26)	2011/05/27
00583	SCMP Group Ltd.	1.950	(2013/02/25)	2013/02/26
01142	Siberian Mining Group Co. Ltd.	0.229	(2013/04/19)	2013/04/22
01863	Sijia Group Co. Ltd.	1.370	(2013/02/14)	2013/02/14
00299	SinoCom Software Group Ltd.	0.870	(2013/03/28)	2013/04/02
00058	Sunway International Holdings Ltd.	0.350	(2013/10/02)	2013/10/02
00621	Taung Gold International Ltd.	0.159	(2012/06/07)	2012/06/08
01192	Titan Petrochemicals Group Ltd.	0.246	(2012/06/18)	2012/06/19
02468	Trony Solar Holdings Co. Ltd.	0.630	(2012/06/20)	2012/06/21
02236	Wison Engineering Services Co. Ltd.	1.980	(2013/09/02)	2013/09/02
00909	Zhongda International Holdings Ltd.	0.425	(2011/09/02)	2011/09/05

Takeovers and mergers

Code	Company	Proposed date Offer closing date
02310	**Kwang Sung Electronics H.K. Co. Ltd.** **(Name changed to Forebase International Holdings Ltd.)** Mandatory unconditional cash offer was made by Ultra Harvest Ltd. at a price of HK$0.9263 for each share	2012/12/14 2013/01/04
00747	**Shenyang Public Utility Holdings Co. Ltd. – H Shares** Mandatory unconditional cash offer was made by Sky Earth Ltd. at a price of HK$0.214 (equivalent to RMB0.175) for each H share	2012/12/21 2013/01/11
00572	**China Packaging Group Co. Ltd.** Mandatory unconditional cash offer was made by Able Success Asia Ltd. at a price of HK$0.1213 for each share, HK$0.0001 for every share option	2013/01/29 2013/02/19
00112	**Chi Cheung Investment Co., Ltd.** **(Name changed to LT Holdings Ltd.)** Mandatory unconditional cash offer was made by China Lerthai Commercial Real Estate Holdings Ltd. at a price of HK$2.6701 for each share	2013/02/07 2013/02/28
00570	**Winteam Pharmaceutical Group Ltd.** **(Name changed to China Traditional Chinese Medicine Co. Ltd.)** Voluntary conditional cash offer was made by Sinopharm Group Hongkong Co., Ltd. at a price of HK$1.70 for each share	2013/02/05 2013/02/28
00094	**Greenheart Group Ltd.** Mandatory unconditional cash offer was made by Emerald Plantation Group Ltd. at a price of HK$0.58 for each share, HK$0.079 for each share option with exercise price of HK$0.501, HK$0.001 for each share option with exercise price of HK$1.266, HK$1.952, HK$1.65, HK$2.18, HK$2.50, HK$2.71 and HK$2.93, HK$2.252 for each US$1.00 face value of convertible notes	2013/02/21 2013/03/21
01315	**Vision Fame International Holding Ltd.** Mandatory unconditional cash offer was made by Grand Silver Group Ltd. at a price of HK$1.56 for each share	2013/04/19 2013/05/10
00053	**Guoco Group Ltd.** Voluntary unconditional cash offer was made by Guoline Overseas Ltd. at a price of HK$88.00 for each share	2013/04/30 2013/05/30
00325	**Trauson Holdings Co. Ltd.** Voluntary conditional cash offer was made by Stryker International Acquisitions B.V. at a price of HK$7.50 for each share, HK$5.33 for each share option with exercise price of HK$2.17, HK$4.44 for each share option with exercise price of HK$3.06, HK$4.648 for each share option with exercise price of HK$2.852	2013/02/07 2013/06/06
01002	**V.S. International Group Ltd.** Voluntary unconditional partial cash offer was made by V.S. Industry Berhad at a price of HK$0.355 for each share, HK$0.355 less the exercise price in respect of the relevant share option/bonus warrants	2013/06/07 2013/06/28
01007	**Daqing Dairy Holdings Ltd.** Mandatory unconditional cash offer was made by Radiant State Ltd. at a price of HK$0.1 for each share, HK$0.0001 for the each share option	2013/06/07 2013/07/05
00169	**Hengli Commercial Properties (Group) Ltd.** **(Name changed to Wanda Commercial Properties (Group) Co., Ltd.** Mandatory unconditional cash offer was made by Wanda Commercial Properties Overseas Ltd. at a price of HK$0.334 for each share	2013/07/02 2013/07/23
00106	**Shenzhen High-Tech Holdings Ltd.** **(Name changed to Landsea Green Properties Co., Ltd.)** Mandatory unconditional cash offer was made by Greensheid Corporation at a price of HK$0.685 for each share	2013/07/02 2013/07/30
02228	**CECEP COSTIN New Materials Group Ltd.** Mandatory unconditional cash offer was made by Hong Kong (Rong An) Investment Ltd. at a price of HK$3.25 for each share	2013/07/15 2013/08/05
00582	**Greenfield Chemical Holdings Ltd.** **(Name changed to Landing International Development Ltd.)** Mandatory unconditional cash offer was made by Landing International Ltd. at a price of HK$0.339 for each share	2013/07/18 2013/08/08
01230	**Yashili International Holdings Ltd.** Voluntary conditional general offer was made by China Mengniu International Co. Ltd. at a price of HK$3.50 for each share or HK$2.82 plus 0.681 ordinary share of China Mengniu International Co. Ltd. for each share, HK$3.361 for each option with an exercise price of RMB0.11, HK$2.00 for each option with an exercise price of HK$1.50, HK$1.171 for each option with an exercise price of RMB1.84	2013/07/23 2013/08/13
00331	**PCD Stores (Group) Ltd.** Mandatory conditional cash offer was made by Belmont Hong Kong Ltd. at a price of HK$1.20 for each share	2013/07/02 2013/08/30
02327	**Jiwa Bio-Pharm Holdings Ltd.** **(Name changed to U-Home Group Holdings Ltd.)** Mandatory unconditional cash offer was made by U-Home Group International Ltd. at a price of HK$0.2378 for each share	2013/08/30 2013/09/23
00901	**Radford Capital Investment Ltd.** Voluntary conditional cash offer was made by Eagle Ride Investments Ltd. at a price of HK$1.4412 for each share, HK$1.0512 for each warrant with exercise price of HK$0.39	2013/10/07 2013/11/11
03331	**Vinda International Holdings Ltd.** Voluntary conditional cash offer was made by SCA Group Holding BV at a price of HK$11.00 for each share, HK$8.02 for each option with an exercise price of HK$2.98, HK$5.58 for each option with an exercise price of HK$5.42, HK$2.352 for each option with an exercise price of HK$8.648, HK$0.66 for each option with an exercise price of HK$10.34, HK$0.0001 for each option with an exercise price of HK$14.06	2013/10/07 2013/11/11

Takeovers and mergers *(continued)*

Code	Company	Proposed date Offer closing date
01160	**Grand Investment International Ltd.** Mandatory conditional cash offer was made by Mr. Lee Tak Lun at a price of HK$0.36 for each share	2013/10/22 2013/11/13
01236	**Qianlong Technology International Holdings Ltd.** Mandatory conditional cash offer was made by Parko (Hong Kong) Ltd. at a price of HK$1.90 for each share	2013/10/15 2013/11/12
01076	**First Natural Foods Holdings Ltd.** Mandatory unconditional cash offer was made by Inventive Star Ltd. at a price of HK$1.00 for each share	2013/10/24 2013/11/14
00989	**China Motion Telecom International Ltd.** Mandatory unconditional cash offer was made by Charm Success Group Ltd. at a price of HK$0.157 for each share	2013/11/05 2013/11/26
00120	**Cosmopolitan International Holdings Ltd.** Mandatory unconditional cash offer was made by P&R Strategic Ltd. (a wholly-owned subsidiary of P&R Holdings Ltd.) at a price of HK$0.07 for each share	2013/11/11 2013/12/02
02218	**Yantai North Andre Juice Co. Ltd. – H Shares** Mandatory unconditional cash offer was made by Hongan International Investment Co. Ltd. at a price of HK$1.78 for each H share	2013/11/13 2013/12/10
01329	**Juda International Holdings Ltd.** Mandatory unconditional cash offer was made by Get Thrive Ltd. at a price of HK$2.66 for each share	2013/11/29 2013/12/20

Share subdivisions and share consolidations

Code	Stock	Particulars	New par value (HK$)	Effective date
00104	Asia Commercial Holdings Ltd.	Consolidation 10 into 1	0.2000	2013/01/08
01163	Dejin Resources Group Co. Ltd.	Consolidation 10 into 1	0.0100 *	2013/01/10
00399	United Gene High-Tech Group Ltd.	Consolidation 20 into 1	0.2000	2013/01/21
02218	Yantai North Andre Juice Co. Ltd. – H Shares	Consolidation 10 into 1	RMB 1.0000	2013/01/28
00928	Tack Fiori International Group Ltd.	Consolidation 10 into 1	0.1000	2013/01/31
00381	Kiu Hung Energy Holdings Ltd.	Consolidation 5 into 1	0.1000	2013/02/08
02366	Qin Jia Yuan Media Services Co. Ltd.	Consolidation 10 into 1	USD 0.0100 *	2013/02/26
01130	China Environmental Resources Group Ltd.	Consolidation 20 into 1	0.0200 *	2013/03/01
00630	AMCO United Holding Ltd.	Consolidation 10 into 1	0.0100 *	2013/03/26
00139	ICube Technology Holdings Ltd.	Consolidation 5 into 1	0.0100 *	2013/03/26
00959	Amax International Holdings Ltd. (Formerly: Amax Holdings Ltd.)	Consolidation 20 into 1	0.2000	2013/03/28
00055	Neway Group Holdings Ltd.	Consolidation 10 into 1	0.0500	2013/04/05
01191	Yueshou Environmental Holdings Ltd.	Consolidation 20 into 1	0.2000	2013/04/18
01175	FU JI Food and Catering Services Holdings Ltd.	Consolidation 10 into 1	0.0100 *	2013/05/07
00155	China Solar Energy Holdings Ltd.	Consolidation 10 into 1	0.0100 *	2013/05/16
00091	International Standard Resources Holdings Ltd. (Formerly: New Smart Energy Group Ltd.)	Consolidation 2 into 1	0.0200	2013/05/21
00901	Radford Capital Investment Ltd.	Consolidation 10 into 1	0.1000	2013/05/21
01803	ASR Holdings Ltd.	Split 1 into 2	0.0050	2013/05/24
00577	Louis XIII Holdings Ltd. (Formerly: Paul Y. Engineering Group Ltd.)	Consolidation 10 into 1	2.0000	2013/05/27
00279	Freeman Financial Corporation Ltd.	Consolidation 10 into 1	0.0100 *	2013/05/31
00102	Summit Ascent Holdings Ltd.	Split 1 into 2	0.0500	2013/06/03
00899	Asia Resources Holdings Ltd.	Consolidation 25 into 1	0.2500 *	2013/06/03
01008	Brilliant Circle Holdings International Ltd.	Split 1 into 2	0.0050	2013/06/11
02324	Capital VC Ltd.	Consolidation 10 into 1	0.0010 *	2013/06/14
01180	Paradise Entertainment Ltd.	Consolidation 10 into 1	0.0010 *	2013/06/19
02366	Qin Jia Yuan Media Services Co. Ltd.	Consolidation 10 into 1	USD 0.1000	2013/07/10
00986	China Environmental Energy Investment Ltd.	Split 1 into 4	0.0025	2013/07/18
00705	CVM Minerals Ltd.	Consolidation 4 into 1	0.1000	2013/07/30
00767	Pacific Plywood Holdings Ltd.	Consolidation 4 into 1	0.0010 *	2013/08/15
00337	Greenland Hong Kong Holdings Ltd. (Formerly: SPG Land (Holdings) Ltd.)	Consolidation 5 into 1	0.5000	2013/08/23
00627	U-RIGHT International Holdings Ltd.	Consolidation 100 into 1	0.0100 *	2013/08/23
00628	Dore Holdings Ltd.	Consolidation 10 into 1	0.1000	2013/09/02
00723	Sustainable Forest Holdings Ltd.	Consolidation 6 into 1	0.0100 *	2013/10/02
00993	Simsen International Corporation Ltd.	Consolidation 40 into 1	0.0010 *	2013/10/11
00718	Bestway International Holdings Ltd.	Consolidation 5 into 1	0.0500	2013/11/07
00254	China Outdoor Media Group Ltd.	Consolidation 5 into 1	0.0500	2013/11/13
00596	Inspur International Ltd.	Consolidation 5 into 1	0.0100	2013/11/18
00616	Easyknit Enterprises Holdings Ltd.	Consolidation 40 into 1	0.0100 *	2013/11/19
01063	Suncorp Technologies Ltd.	Split 1 into 10	0.0003	2013/12/19
02339	Norstar Founders Group Ltd.	Consolidation 5 into 1	0.0100 *	2013/12/31

* New par value after capital reorganisation

Bonus issues/bonus warrants

Code	Stock	Particulars	Ex-date
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	1 for 5	2013/01/02
00896	Hanison Construction Holdings Ltd.	1 for 10	2013/02/05
00723	Sustainable Forest Holdings Ltd.	1 wt for 1 offer sh	2013/03/28
01127	1010 Printing Group Ltd.	1 for 10	2013/04/24
02268	Youyuan International Holdings Ltd.	1 for 10	2013/05/07
00148	Kingboard Chemical Holdings Ltd.	2 for 10	2013/05/08
03389	Hengdeli Holdings Ltd.	1 for 10	2013/05/20
03688	Top Spring International Holdings Ltd.	2 for 5 (with option to receive Bonus perpetual subordinated convertible securities in lieu of all or part of the bonus shs)	2013/05/20
00091	International Standard Resources Holdings Ltd.	2 2014 wts for 1 rts shs	2013/05/22
00856	VST Holdings Ltd.	2 for 10	2013/05/27
01628	Yuzhou Properties Co. Ltd.	2 for 10	2013/05/31
00833	Alltronics Holdings Ltd.	1 for 10	2013/06/03
00012	Henderson Land Development Co. Ltd.	1 for 10	2013/06/05
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2013/06/07
00386	China Petroleum & Chemical Corporation – H Shares	3 for 10	2013/06/10
00131	Cheuk Nang (Holdings) Ltd.	1 2014 wt for 50	2013/06/13
00895	Dongjiang Environmental Co. Ltd. – H Shares	5 for 10	2013/06/18
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	10 for 10	2013/07/12
00337	Greenland Hong Kong Holdings Ltd.	4 for 1 (with option to elect convertible preference shs)	2013/08/07
00248	HKC International Holdings Ltd.	1 for 10	2013/08/15
00627	U-RIGHT International Holdings Ltd.	2 for 1 (after consolidation 100 into 1)	2013/08/27
00690	Uni-Bio Science Group Ltd.	1 for 1 offer sh	2013/09/02
00690	Uni-Bio Science Group Ltd.	1 wt for 2 offer shs	2013/09/02
01966	China SCE Property Holdings Ltd.	2 for 10	2013/09/13
00065	DeTeam Co. Ltd.	2 for 10	2013/09/19
00767	Pacific Plywood Holdings Ltd.	1 for 1 rts shares	2013/10/08
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	5 for 10	2013/10/24
00572	China Packaging Group Co. Ltd.	1 for 1	2013/10/29
01033	Sinopec Yizheng Chemical Fibre Co. Ltd. – H Shares	5 for 10	2013/11/07
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	1 for 5	2013/12/27

Rights issues/open offers

Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
00616	Easyknit Enterprises Holdings Ltd.	Rts 5 for 1 @$0.40 (after consolidation 20 into 1)	114.43	2012/12/12 2013/01/07
01383	Sun Century Group Ltd.	Open offer 6 for 1 @$0.25	313.55	2012/12/19 2013/01/15
00036	Far East Holdings International Ltd.	Open offer 1 for 2 @$0.20	30.28	2012/12/28 2013/01/23
02882	Hong Kong Resources Holdings Co. Ltd.	Rts 2 for 5 @$0.15 with bonus wt 1 for 4 rts shs	118.15	2012/12/27 2013/01/23
00104	Asia Commercial Holdings Ltd.	Rts 3 for 1 @$0.20 (after consolidation 10 into 1)	205.03	2013/01/09 2013/01/30
00329	Dragonite International Ltd.	Rts 1 for 1 @$1.00	105.97	2013/01/07 2013/01/30
00885	Forefront Group Ltd.	Rts 1 for 2 @$0.25	50.25	2013/02/08 2013/03/11
01822	Perception Digital Holdings Ltd.	Open offer 1 for 2 @$0.1286	40.03	2013/02/15 2013/03/13
00800	A8 Digital Music Holdings Ltd.	Rts 2 for 1 @$0.36	342.92	2013/02/21 2013/03/14
00757	Solargiga Energy Holdings Ltd.	Open offer 1 for 5 @$0.51	254.11	2013/02/19 2013/03/14
02366	Qin Jia Yuan Media Services Co. Ltd.	Rts 1 for 1 @$0.35 (after consolidation 10 into 1)	164.08	2013/02/27 2013/03/22
00307	Up Energy Development Group Ltd.	Rts 1 for 2 @$0.50	424.45	2013/03/04 2013/03/22
01127	1010 Printing Group Ltd.	Rts 2 for 5 @$0.50	100.00	2013/03/06 2013/04/02
00665	Haitong International Securities Group Ltd.	Rts 1 for 2 @$2.55	1,167.06	2013/03/14 2013/04/09
00102	Summit Ascent Holdings Ltd.	Open offer 3 for 10 @$1.20	85.66	2013/03/12 2013/04/10
00764	Eternity Investment Ltd.	Open offer 1 for 2 @$1.20	182.67	2013/03/27 2013/04/22
00993	Simsen International Corporation Ltd.	Rts 3 for 1 @$0.095	181.17	2013/03/27 2013/04/22
00546	Fufeng Group Ltd.	Rts 1 for 5 @$1.80	626.78	2013/04/03 2013/04/25
00723	Sustainable Forest Holdings Ltd.	Open offer 1 for 6 @$0.0534 with bonus 1 wt for 1 offer sh	63.06	2013/03/28 2013/04/25
00272	Shui On Land Ltd.	Rts 1 for 3 @$1.84	3,680.79	2013/04/18 2013/05/13
00136	Mascotte Holdings Ltd.	Rts 4 for 1 @$0.07	515.61	2013/04/22 2013/05/14
00412	Heritage International Holdings Ltd.	Rts 1 for 2 @$0.215	202.04	2013/04/30 2013/05/27
00518	Tungtex (Holdings) Co. Ltd.	Rts 1 for 5 @$0.80	56.28	2013/04/29 2013/05/27
01883	CITIC Telecom International Holdings Ltd.	Rts 3 for 8 @$2.02	1,825.52	2013/05/08 2013/05/30
01175	FU JI Food and Catering Services Holdings Ltd.	Open offer 1 for 1 @$0.74 (after consolidation 10 into 1)	40.06	2013/05/08 2013/06/03
01049	Celestial Asia Securities Holdings Ltd.	Rts 1 for 2 @$0.30	55.41	2013/05/14 2013/06/05
00616	Easyknit Enterprises Holdings Ltd.	Rts 3 for 1 @$0.10	123.58	2013/05/21 2013/06/13
00091	International Standard Resources Holdings Ltd. (Formerly: New Smart Energy Group Ltd.)	Rts 1 for 10 @$0.13 with bonus 2 2014 wts for 1 rts sh (after consolidation 2 into 1)	43.15	2013/05/22 2013/06/14
02328	PICC Property and Casualty Co. Ltd. – H Shares	Rts 1.1 H shares for 10 H shares @$5.38	2,249.77	2013/05/28 2013/06/18
00280	King Fook Holdings Ltd.	Rts 1 for 2 @$0.55	119.64	2013/05/31 2013/06/21
03989	New Environmental Energy Holdings Ltd.	Rts 3 for 2 @$0.20	558.68	2013/06/04 2013/06/28
00142	First Pacific Co. Ltd.	Rts 1 for 8 @$8.10	3,889.58	2013/06/07 2013/07/03
00279	Freeman Financial Corporation Ltd.	Rts 2 for 1 @$0.85	162.51	2013/06/18 2013/07/12
02324	Capital VC Ltd.	Rts 1 for 2 @$0.65 (after consolidation 10 into 1)	32.80	2013/06/25 2013/07/18
00862	Vision Values Holdings Ltd.	Rts 1 for 2 @$0.10	70.52	2013/06/27 2013/07/23
02366	Qin Jia Yuan Media Services Co. Ltd.	Rts 5 for 1 @$0.78 (after consolidation 10 into 1)	365.66	2013/07/11 2013/08/01
01227	National Investments Fund Ltd.	Rts 1 for 2 @$0.17	18.79	2013/08/02 2013/08/27
00139	ICube Technology Holdings Ltd.	Rts 2 for 1 @$0.10	135.58	2013/08/19 2013/09/11
00627	U-RIGHT International Holdings Ltd.	Open offer 5 for 1 @$ 0.15 (after consolidation 100 into 1)	26.77	2013/08/27 2013/09/16
03968	China Merchants Bank Co., Ltd. – H Shares	Rts 1.74 H shares for 10 H shares @$11.68	7,947.34	2013/08/29 2013/09/19
00690	Uni-Bio Science Group Ltd.	Open offer 1 for 1 @$0.16 with bonus 1 for 1 offer sh and bonus 1 wt for 2 offer shs	250.38	2013/09/02 2013/09/24

Rights issues/open offers *(continued)*

Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
01051	G-Resources Group Ltd.	Rts 2 for 5 @$0.16	1,210.97	2013/09/03 2013/09/26
00767	Pacific Plywood Holdings Ltd.	Rts 2 for 1 @$0.18 with bonus 1 for 1 rts shs	200.31	2013/10/08 2013/10/30
01130	China Environmental Resources Group Ltd.	Open offer 33 for 10 @$0.27	162.81	2013/10/22 2013/11/14
00717	Emperor Capital Group Ltd.	Open offer 1 bond with face value $700 for 6,000	303.03*	2013/10/28 2013/11/22
00996	Carnival Group International Holdings Ltd.	Open offer 1 for 2 @$0.20	439.61	2013/11/12 2013/12/04
00607	Warderly International Holdings Ltd.	Open offer 4 for 1 @$0.05	84.40	2013/11/15 2013/12/05
00616	Easyknit Enterprises Holdings Ltd.	Rts 5 for 1 @$0.60 (after consolidation 40 into 1)	148.30	2013/11/20 2013/12/09
00430	Oriental Explorer Holdings Ltd.	Open offer 1 for 2 @$0.10	90.00	2013/11/28 2013/12/19
02358	Mitsumaru East Kit (Holdings) Ltd.	Open offer 6 for 1 @$0.10	240.00	2013/12/02 2013/12/20
00801	Golden Meditech Holdings Ltd.	Open offer 1 for 2 @$0.50	569.60	2013/12/04 2013/12/23
00106	Landsea Green Properties Co., Ltd. (Formerly: Shenzhen High-Tech Holdings Ltd.)	Rts 1 for 2 @$0.75	744.98	2013/12/02 2013/12/23
Total			**30,831.11**	

* Figures are excluded from the total of fund raised.
Notes: Figures may not add up to total due to rounding.

Placings

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$ mil)	Allotment date
00928	Tack Fiori International Group Ltd.	127,280,496	0.0850	10.82	2013/01/03
01191	Yueshou Environmental Holdings Ltd.	800,000,000	0.0120	9.60	2013/01/03
01788	Guotai Junan International Holdings Ltd.	85,000,000	2.2000	187.00	2013/01/03
00897	Wai Yuen Tong Medicine Holdings Ltd.	157,000,000	0.1250	19.63	2013/01/07
01082	Modern Education Group Ltd. (Name changed to Hong Kong Education (Int'l) Investments Ltd.)	96,000,000	0.3250	31.20	2013/01/08
00159	Brockman Mining Ltd.	190,243,902	0.4100	78.00	2013/01/09
00273	Willie International Holdings Ltd.	16,728,240	1.0000	16.73	2013/01/09
00166	New Times Energy Corporation Ltd.	35,000,000	0.9100	31.85	2013/01/14
00633	China All Access (Holdings) Ltd.	112,000,000	1.8000	201.60	2013/01/15
01083	Towngas China Co. Ltd.	150,000,000	6.3100	946.50	2013/01/16
03333	Evergrande Real Estate Group Ltd.	1,000,000,000	4.3500	4,350.00	2013/01/22
00886	Silver Base Group Holdings Ltd.	135,000,000	2.4500	330.75	2013/01/23
00764	Eternity Investment Ltd.	47,000,000	0.6450	30.32	2013/01/24
00544	Daido Group Ltd.	360,000,000	0.1280	46.08	2013/01/25
00260	China Environmental Investment Holdings Ltd.	730,000,000	0.2000	146.00	2013/01/28
00166	New Times Energy Corporation Ltd.	22,000,000	0.9100	20.02	2013/01/29
01918	Sunac China Holdings Ltd.	300,000,000	6.7000	2,010.00	2013/01/29
00326	China Star Entertainment Ltd.	400,000,000	0.1400	56.00	2013/01/30
00663	King Stone Energy Group Ltd.	1,555,555,000	0.4500	700.00	2013/01/31
00712	Comtec Solar Systems Group Ltd.	120,000,000	1.7400	208.80	2013/01/31
01003	21 Holdings Ltd.	53,000,000	0.2300	12.19	2013/01/31
00535	Frasers Property (China) Ltd. (Name changed to Gemdale Properties and Investment Corporation Ltd.)	900,000,000	0.7800	702.00	2013/02/04
00070	Neptune Group Ltd.	769,000,000	0.1730	133.04	2013/02/05
00577	Paul Y. Engineering Group Ltd. (Name changed to Louis XIII Holdings Ltd.)	2,938,236,000	0.6800	1,998.00	2013/02/05
00166	New Times Energy Corporation Ltd.	14,000,000	0.9800	13.72	2013/02/06
00379	PME Group Ltd.	1,000,000,000	0.3550	355.00	2013/02/07
00386	China Petroleum & Chemical Corporation – H Shares	2,845,234,000	8.4500	24,042.23	2013/02/14
00616	Easyknit Enterprises Holdings Ltd.	68,656,000	0.4400	30.21	2013/02/18
00195	L'sea Resources International Holdings Ltd.	1,804,000,000	0.2000	360.80	2013/02/22
00566	Hanergy Solar Group Ltd.	1,964,611,584	0.2390	469.54	2013/02/27
00566	Hanergy Solar Group Ltd.	6,000,000,000	0.1000	600.00	2013/02/27
00009	Cheung Wo International Holdings Ltd.	68,640,000	0.4150	28.49	2013/02/28
00136	Mascotte Holdings Ltd.	306,910,020	0.1000	30.69	2013/02/28
00154	Beijing Development (Hong Kong) Ltd.	177,000,000	1.1300	200.01	2013/02/28
00689	EPI (Holdings) Ltd.	125,000,000	0.1800	22.50	2013/03/01
01191	Yueshou Environmental Holdings Ltd.	2,500,000,000	0.0190	47.50	2013/03/06
00835	Asia Coal Ltd.	6,465,850,314	0.0235	151.95	2013/03/07
00986	China Environmental Energy Investment Ltd.	6,560,000	1.2000	7.87	2013/03/11
01142	Siberian Mining Group Co. Ltd.	84,000,000	0.2600	21.84	2013/03/14
06828	China Print Power Group Ltd.	34,840,000	0.9800	34.14	2013/03/19
01166	Solartech International Holdings Ltd.	31,240,000	0.4000	12.50	2013/03/20
00164	China Gamma Group Ltd.	420,000,000	0.0970	40.74	2013/03/21
00885	Forefront Group Ltd.	80,404,339	0.2100	16.88	2013/03/25
00897	Wai Yuen Tong Medicine Holdings Ltd.	488,000,000	0.2200	107.36	2013/03/26
01188	Hybrid Kinetic Group Ltd.	1,638,000,000	0.1000	163.80	2013/03/26
03918	NagaCorp Ltd.	200,000,000	6.0500	1,210.00	2013/03/27
00204	China Investment Development Ltd.	14,600,000	0.5000	7.30	2013/03/28
00348	Lung Cheong International Holdings Ltd.	2,000,000,000	0.2000	400.00	2013/04/02
01130	China Environmental Resources Group Ltd.	24,000,000	0.2650	6.36	2013/04/03
01029	IRC Ltd.	817,536,000	0.9400	768.48	2013/04/05
01099	Sinopharm Group Co. Ltd. – H Shares	165,668,190	24.6000	4,075.44	2013/04/10
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	58,000,000	2.0200	117.16	2013/04/22
00024	Burwill Holdings Ltd.	397,052,000	0.1220	48.44	2013/04/26
00342	NewOcean Energy Holdings Ltd.	166,500,000	4.6800	779.22	2013/04/29
00835	Asia Coal Ltd.	400,000,000	0.1200	48.00	2013/04/29
00729	Sinopoly Battery Ltd.	1,200,000,000	0.2200	264.00	2013/05/06
00901	Radford Capital Investment Ltd.	242,079,719	0.0650	15.74	2013/05/06
00928	Tack Fiori International Group Ltd.	22,910,489	0.5400	12.37	2013/05/07
00690	Uni-Bio Science Group Ltd.	260,000,000	0.1500	39.00	2013/05/08
00940	China Animal Healthcare Ltd.	106,158,540	1.8790	199.47	2013/05/08
00934	Sinopec Kantons Holdings Ltd.	412,500,000	6.5000	2,681.25	2013/05/09
01102	Enviro Energy International Holdings Ltd.	77,500,000	0.1500	11.63	2013/05/10
00402	Mongolia Investment Group Ltd. (Name changed to Peace Map Holding Ltd.)	360,000,000	0.2500	90.00	2013/05/15
01269	China Vehicle Components Technology Holdings Ltd.	64,000,000	1.3000	83.20	2013/05/22
01019	Convoy Financial Services Holdings Ltd.	19,000,000	2.3000	43.70	2013/05/23
03303	Jutal Offshore Oil Services Ltd.	50,000,000	1.7300	86.50	2013/05/23
00643	Carry Wealth Holdings Ltd.	89,234,000	0.3500	31.23	2013/05/27
00757	Solargiga Energy Holdings Ltd.	222,220,000	0.3600	80.00	2013/05/27
00508	Dingyi Group Investment Ltd.	475,000,000	0.3000	142.50	2013/05/28
00279	Freeman Financial Corporation Ltd.	42,067,480	0.1000	4.21	2013/05/30
00913	Unity Investments Holdings Ltd.	38,807,040	0.6900	26.78	2013/05/30
00570	Winteam Pharmaceutical Group Ltd. (Name changed to China Traditional Chinese Medicine Co. Ltd.)	225,000,000	3.1000	697.50	2013/06/04
00745	China Railsmedia Corporation Ltd.	88,200,000	0.3400	29.99	2013/06/04
00439	Climax International Co. Ltd.	241,580,000	0.1000	24.16	2013/06/05
00061	North Asia Resources Holdings Ltd.	351,122,243	0.1700	59.69	2013/06/07
00802	RCG Holdings Ltd.	98,600,000	0.3550	35.00	2013/06/10

Placings *(continued)*

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$ mil)	Allotment date
02882	Hong Kong Resources Holdings Co. Ltd.	196,908,603	0.1800	35.44	2013/06/13
00376	ReOrient Group Ltd.	27,000,000	3.0500	82.35	2013/06/17
01130	China Environmental Resources Group Ltd.	30,453,958	0.2000	6.09	2013/06/19
02349	China Water Property Group Ltd.	50,000,000	0.6600	33.00	2013/06/19
00223	Sino Resources Group Ltd.	412,470,000	0.0800	33.00	2013/06/21
00670	China Eastern Airlines Corporation Ltd. – H Shares	698,865,000	2.3200	1,621.37	2013/06/21
01323	Newtree Group Holdings Ltd.	40,000,000	2.1000	84.00	2013/06/25
01159	Karce International Holdings Co. Ltd.	140,468,000	0.2100	29.50	2013/06/26
00702	Sino Oil and Gas Holdings Ltd.	928,000,000	0.1670	154.98	2013/06/27
01227	National Investments Fund Ltd.	36,850,000	0.2850	10.50	2013/06/28
02313	Shenzhou International Group Holdings Ltd.	69,000,000	22.4000	1,545.60	2013/06/28
00959	Amax Holdings Ltd.	9,600,000	0.8300	7.97	2013/06/30
	(Name changed to Amax International Holdings Ltd.)				
01175	FU JI Food and Catering Services Holdings Ltd.	202,702,703	0.7400	150.00	2013/07/02
00030	ABC Communications (Holdings) Ltd.	211,660,000	0.1500	31.75	2013/07/05
00166	New Times Energy Corporation Ltd.	34,370,000	0.5800	19.93	2013/07/05
01006	Changshouhua Food Co. Ltd.	26,310,000	5.8000	152.60	2013/07/05
00570	Winteam Pharmaceutical Group Ltd.	125,000,000	3.1000	387.50	2013/07/09
	(Name changed to China Traditional Chinese Medicine Co. Ltd.)				
00755	Shanghai Zendai Property Ltd.	2,442,000,000	0.1400	341.88	2013/07/16
00899	Asia Resources Holdings Ltd.	30,772,661	0.6000	18.46	2013/07/23
01026	Universal Technologies Holdings Ltd.	192,000,000	0.5800	111.36	2013/07/23
01060	ChinaVision Media Group Ltd.	582,630,000	0.4600	268.01	2013/07/25
03366	Overseas Chinese Town (Asia) Holdings Ltd.	140,000,000	4.0500	567.00	2013/07/26
00651	China Ocean Shipbuilding Industry Group Ltd.	200,000,000	0.1020	20.40	2013/07/31
00290	China Fortune Financial Group Ltd.	252,300,000	0.1000	25.23	2013/08/01
01198	Royale Furniture Holdings Ltd.	232,000,000	0.3390	78.65	2013/08/02
01166	Solartech International Holdings Ltd.	75,000,000	0.3000	22.50	2013/08/09
00595	AV Concept Holdings Ltd.	72,600,000	0.6000	43.56	2013/08/12
01019	Convoy Financial Services Holdings Ltd.	44,000,000	1.8500	81.40	2013/08/12
00155	China Solar Energy Holdings Ltd.	122,380,000	0.1680	20.56	2013/08/13
00471	CMMB Vision Holdings Ltd.	20,000,000	0.4650	9.30	2013/08/13
02668	Pak Tak International Ltd.	46,598,000	0.8800	41.01	2013/08/19
02700	Green International Holdings Ltd.	126,800,000	0.2050	25.99	2013/08/21
00337	Greenland Hong Kong Holdings Ltd.	685,374,853	1.9000	1,302.21	2013/08/27
00689	EPI (Holdings) Ltd.	650,000,000	0.1900	123.50	2013/08/27
00736	China Properties Investment Holdings Ltd.	50,000,000	0.1360	6.80	2013/08/27
00360	New Focus Auto Tech Holdings Ltd.	2,323,235,000	0.3000	696.97	2013/08/28
00986	China Environmental Energy Investment Ltd.	12,800,000	1.1300	14.46	2013/08/28
00471	CMMB Vision Holdings Ltd.	52,000,000	0.4650	24.18	2013/08/29
00269	China Resources and Transportation Group Ltd.	2,500,000,000	0.3000	750.00	2013/08/30
00705	CVM Minerals Ltd.	268,030,000	0.1680	45.03	2013/09/02
01822	Perception Digital Holdings Ltd.	186,750,000	0.1760	32.87	2013/09/02
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	52,392,000	23.0000	1,205.02	2013/09/06
00204	China Investment Development Ltd.	40,000,000	0.5000	20.00	2013/09/10
01191	Yueshou Environmental Holdings Ltd.	169,385,540	0.2000	33.88	2013/09/13
00395	Sino Dragon New Energy Holdings Ltd.	100,000,000	0.2150	21.50	2013/09/16
00868	Xinyi Glass Holdings Ltd.	120,000,000	6.7000	804.00	2013/09/16
00627	U-RIGHT International Holdings Ltd.	970,000,000	0.1500	145.50	2013/09/18
00810	Opes Asia Development Ltd.	59,860,000	0.2000	11.97	2013/09/18
00736	China Properties Investment Holdings Ltd.	58,000,000	0.1400	8.12	2013/09/23
02322	Noble Century Investment Holdings Ltd.	120,000,000	0.2800	33.60	2013/09/23
00566	Hanergy Solar Group Ltd.	6,000,000,000	0.1000	600.00	2013/09/24
00616	Easyknit Enterprises Holdings Ltd.	329,540,000	0.0630	20.76	2013/09/24
02882	Hong Kong Resources Holdings Co. Ltd.	217,000,000	0.1800	39.06	2013/09/24
00404	Hsin Chong Construction Group Ltd.	1,350,000,000	1.0000	1,350.00	2013/09/25
00802	RCG Holdings Ltd.	139,230,000	0.2500	34.81	2013/09/26
00948	Z-Obee Holdings Ltd.	127,114,000	0.8000	101.69	2013/09/26
02200	Hosa International Ltd.	54,500,000	2.8500	155.33	2013/09/26
00164	China Gamma Group Ltd.	500,000,000	0.1000	50.00	2013/09/27
01037	Daiwa Associate Holdings Ltd.	43,000,000	0.2900	12.47	2013/09/27
00471	CMMB Vision Holdings Ltd.	55,945,957	0.5200	29.09	2013/09/30
00729	Sinopoly Battery Ltd.	220,000,000	0.2940	64.68	2013/09/30
03777	China Fiber Optic Network System Group Ltd.	240,000,000	1.0800	259.20	2013/09/30
00295	Kong Sun Holdings Ltd.	293,700,000	0.0860	25.26	2013/10/03
00940	China Animal Healthcare Ltd.	240,860,938	1.8320	441.26	2013/10/04
02382	Sunny Optical Technology (Group) Co. Ltd.	97,000,000	8.0600	781.82	2013/10/07
01163	Dejin Resources Group Co. Ltd.	69,160,000	0.2500	17.29	2013/10/08
00928	Tack Fiori International Group Ltd.	29,783,635	0.5900	17.57	2013/10/09
02371	China Oriental Culture Group Ltd.	30,000,000	0.3150	9.45	2013/10/15
00198	SMI Corporation Ltd.	350,000,000	0.1800	63.00	2013/10/16
00371	Beijing Enterprises Water Group Ltd.	400,000,000	2.9500	1,180.00	2013/10/16
01223	Symphony Holdings Ltd.	787,500,000	0.3800	299.25	2013/10/16
00718	Bestway International Holdings Ltd.	746,000,000	0.0470	35.06	2013/10/17
01300	Trigiant Group Ltd.	115,000,000	3.1500	362.25	2013/10/17
00958	Huaneng Renewables Corporation Ltd. – H Shares	582,317,360	2.7100	1,578.08	2013/10/21
01165	Shunfeng Photovoltaic International Ltd.	400,000,000	2.8000	1,120.00	2013/10/22
00030	ABC Communications (Holdings) Ltd.	275,890,000	0.1500	41.38	2013/10/23
00570	Winteam Pharmaceutical Group Ltd.	66,488,379	3.1000	206.11	2013/10/23
	(Name changed to China Traditional Chinese Medicine Co. Ltd.)				

Placings *(continued)*

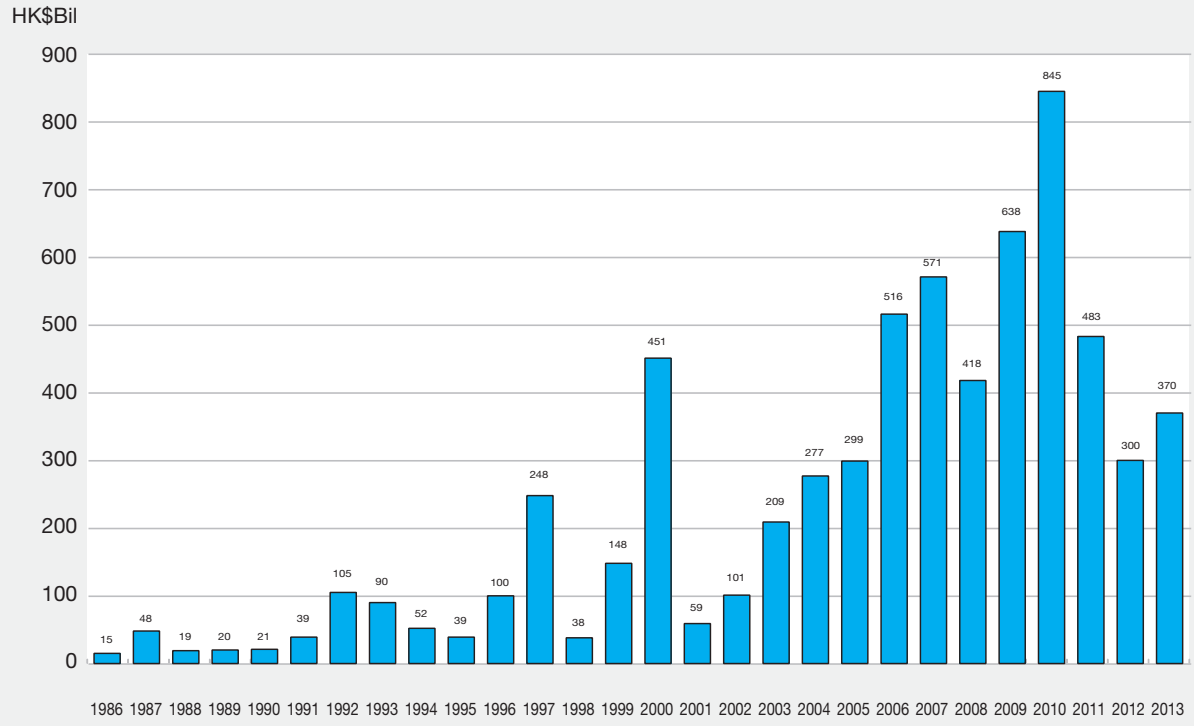
Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$ mil)	Allotment date
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	327,508,000	2.8200	923.57	2013/10/23
00884	CIFI Holdings (Group) Co. Ltd.	256,579,000	1.5200	390.00	2013/10/23
00736	China Properties Investment Holdings Ltd.	40,000,000	0.1040	4.16	2013/10/25
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	91,221,000	25.0000	2,280.53	2013/10/25
00491	See Corporation Ltd.	249,000,000	0.0610	15.19	2013/10/29
00371	Beijing Enterprises Water Group Ltd.	350,000,000	3.2100	1,123.50	2013/10/30
00102	Summit Ascent Holdings Ltd.	53,918,000	10.0000	539.18	2013/10/31
00351	Asia Energy Logistics Group Ltd.	553,000,000	0.0720	39.82	2013/11/01
00978	China Merchants Land Ltd.	939,760,297	2.0500	1,926.51	2013/11/01
00149	China Agri-Products Exchange Ltd.	490,000,000	0.1120	54.88	2013/11/06
00841	Asia Cassava Resources Holdings Ltd.	49,800,000	0.6850	34.11	2013/11/08
00479	CIL Holdings Ltd.	260,000,000	0.1490	38.74	2013/11/13
00476	Sinocop Resources (Holdings) Ltd.	132,000,000	0.6000	79.20	2013/11/15
00577	Louis XIII Holdings Ltd.	72,070,500	8.2300	593.14	2013/11/15
01180	Paradise Entertainment Ltd.	33,739,130	2.3000	77.60	2013/11/15
01195	Kingwell Group Ltd.	302,746,064	0.4800	145.32	2013/11/18
00136	Mascotte Holdings Ltd.	1,250,000,000	0.0850	106.25	2013/11/20
00686	Goldpoly New Energy Holdings Ltd.	55,000,000	1.7000	93.50	2013/11/20
00750	China Singyes Solar Technologies Holdings Ltd.	40,000,000	8.1000	324.00	2013/11/22
03377	Sino-Ocean Land Holdings Ltd.	1,322,553,178	4.7400	6,268.90	2013/11/22
02700	Green International Holdings Ltd.	54,000,000	0.2400	12.96	2013/11/26
00221	PNG Resources Holdings Ltd.	1,538,000,000	0.1000	153.80	2013/11/27
00378	CIAM Group Ltd.	200,000,000	1.2500	250.00	2013/11/27
00397	Jun Yang Solar Power Investments Ltd.	1,480,000,000	0.0700	103.60	2013/11/28
01082	Hong Kong Education (Int'l) Investments Ltd.	272,800,000	0.2200	60.02	2013/11/29
00007	Hoifu Energy Group Ltd.	32,000,000	1.4200	45.44	2013/12/06
00223	Sino Resources Group Ltd.	286,000,000	0.1150	32.89	2013/12/09
02012	Sunshine Oilsands Ltd.	106,800,000	1.7000	181.56	2013/12/09
00166	New Times Energy Corporation Ltd.	90,163,934	0.6100	55.00	2013/12/11
01886	China Huiyuan Juice Group Ltd.	75,000,000	5.1000	382.50	2013/12/11
00279	Freeman Financial Corporation Ltd.	57,356,318	0.7000	40.15	2013/12/12
00702	Sino Oil and Gas Holdings Ltd.	721,350,000	0.2000	144.27	2013/12/13
00577	Louis XIII Holdings Ltd.	17,172,000	8.2300	141.33	2013/12/16
00986	China Environmental Energy Investment Ltd.	45,680,000	2.0100	91.82	2013/12/16
01166	Solartech International Holdings Ltd.	52,490,000	0.3200	16.80	2013/12/17
00471	CMMB Vision Holdings Ltd.	92,500,000	0.4000	37.00	2013/12/18
00862	Vision Values Holdings Ltd.	420,000,000	0.2500	105.00	2013/12/18
00207	Hong Kong Parkview Group Ltd., The	1,955,174,000	2.0000	3,910.35	2013/12/19
00658	China High Speed Transmission Equipment Group Co., Ltd.	272,548,000	3.9800	1,084.74	2013/12/19
00257	China Everbright International Ltd.	430,000,000	8.5200	3,663.60	2013/12/20
00476	Sinocop Resources (Holdings) Ltd.	51,050,000	0.6000	30.63	2013/12/23
00618	Peking University Resources (Holdings) Co. Ltd.	326,792,000	0.8000	261.43	2013/12/23
00928	Tack Fiori International Group Ltd.	35,740,362	2.1600	77.20	2013/12/23
00182	China WindPower Group Ltd.	600,000,000	0.4400	264.00	2013/12/24
00313	Richly Field China Development Ltd.	34,090,000	0.0880	3.00	2013/12/24
00419	China Jiuhao Health Industry Corporation Ltd.	237,100,000	0.3500	82.99	2013/12/27
02358	Mitsumaru East Kit (Holdings) Ltd.	1,000,000,000	0.1000	100.00	2013/12/27
01029	IRC Ltd.	218,340,000	0.9400	205.24	2013/12/30
02123	Golden Shield Holdings (Industrial) Ltd.	75,000,000	0.2900	21.75	2013/12/31
Total				**98,004.71**	

Funds raised by classification, 2009 – 2013

HK$ bil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	51.08	41.63	16.23	6.66	25.52
	Offer for sale	21.41	175.23	46.43	1.30	8.04
	Offer for placing	175.38	231.97	195.80	80.96	132.21
	Placing	140.59	130.96	62.99	134.63	98.00
	Rights issue	168.41	176.06	57.98	26.18	28.02
	Open offer	8.90	5.80	5.87	3.40	2.81
	Consideration issue	62.13	67.12	77.03	21.68	51.31
	Warrants exercised	2.02	2.12	11.86	1.82	1.60
	Share option scheme	7.80	14.60	8.65	23.60	22.30
	Equities funds raised	**637.73**	**845.49**	**482.83**	**300.23**	**369.82**
Debt securities		47.38	143.94	152.49	341.24	576.40
Unit trusts/Mutual funds		–	–	12.51	5.28	3.32
Derivative warrants – premium		263.29	328.11	273.30	174.16	174.94
Equity warrants – premium		–	0.03	0.03	–	–
Equity linked instruments – premium		–	–	–	–	–
Callable bull/bear contracts – premium		519.33	360.05	310.70	349.42	482.60

Equity funds raised, 1986 – 2013



Funds raised by Mainland enterprises H shares, 2009 – 2013

HK$ bil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	21.02	9.59	1.91	5.12	10.25
	Offer for sale	4.18	–	0.02	–	1.44
	Offer for placing	88.98	128.87	49.97	58.71	78.01
	Placing	7.55	11.96	25.44	58.58	35.73
	Rights issue	–	125.07	11.85	1.27	10.20
	Open offer	–	–	–	–	–
	Consideration issue	–	15.39	–	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised	121.73	290.88	89.19	123.67	135.62

Funds raised by Red Chip stocks, 2009 – 2013

HK$ bil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	3.09	2.46	0.51	0.01	0.93
	Offer for sale	–	–	–	–	–
	Offer for placing	4.92	3.84	5.39	1.95	2.25
	Placing	33.69	20.55	5.06	24.02	22.37
	Rights issue	11.34	–	6.21	7.60	1.83
	Open offer	2.51	3.84	3.54	0.11	–
	Consideration issue	21.18	23.46	39.28	4.58	34.48
	Warrants exercised	0.18	0.10	–	–	0.11
	Share option scheme	1.09	1.18	0.79	1.74	4.36
	Equities funds raised	78.01	55.42	60.78	40.01	66.32

Newly listed equity warrants

Code	Warrant	Subscription price per unit (HK$)	Subscription period	Initial issued amount (HK$)	Listing date
01350	Hong Kong Resources Holdings Co. Ltd. Warrants 2018	0.245	2013/01/31 – 2018/01/30	48,242,607	2013/02/01
00944	Cheuk Nang (Holdings) Ltd. Warrants 2014	4.000	2013/06/24 – 2014/06/24	35,772,792	2013/06/25
01042	New Smart Energy Group Ltd. Warrants 2014	0.100	2013/06/24 – 2014/06/23	66,384,732	2013/06/25

List of expired equity warrants, 2013

Code	Warrant	Last trading date	Delisted date
01067	Cheuk Nang (Holdings) Ltd. Warrants 2013	2013/04/16	2013/04/22
00824	Culturecom Holdings Ltd. Warrants 2013	2013/04/26	2013/05/03
00134	Hop Hing Group Holdings Ltd. Warrants 2013	2013/05/28	2013/06/03
01032	Chun Wo Development Holdings Ltd. Warrants 2013	2013/09/09	2013/09/13
01248	Heritage International Holdings Ltd. Warrants 2013	2013/10/22	2013/10/28

Market value and trading for equity warrants, 2009 – 2013

Year/Month		Number*	Market value* (HK$ mil.)	Turnover value (HK$ mil.)	(%) of market total
2009		25	1,103.37	524.07	0.00
2010		22	2,049.41	1,790.05	0.01
2011		13	602.75	923.93	0.01
2012		10	521.90	79.45	0.00
2013		8	356.40	80.84	0.00
2013	Jan	10	553.06	13.47	0.00
	Feb	11	435.30	6.63	0.00
	Mar	11	359.52	5.59	0.00
	Apr	10	357.38	1.89	0.00
	May	9	255.90	1.45	0.00
	Jun	10	231.90	2.14	0.00
	Jul	10	224.90	3.44	0.00
	Aug	10	241.01	1.85	0.00
	Sep	9	280.27	7.18	0.00
	Oct	8	343.89	10.87	0.00
	Nov	8	330.82	16.24	0.00
	Dec	8	356.40	10.08	0.00

* Month-end or year-end figures.

Newly listed derivative warrants

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
89003	BNP Paribas Arbit Issu B.V.	CAM CSI300 Euro Wts Jul 2014 A	0.317	28.88	2014/07/03	43,000,000	2013/01/02
89004	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Sep 2013 A	0.448	10 per unit	2013/09/03	30,000,000	2013/01/02
22188	CC Rabobank B.A.	BOCL European Warrants Aug 2013 A	0.15	3.69 per unit	2013/08/01	120,000,000	2013/01/02
22190	CC Rabobank B.A.	BankComm European Warrants Jun 2013 A	0.18	6.81 per unit	2013/06/17	60,000,000	2013/01/02
22191	CC Rabobank B.A.	China Shenhua European Wts Jul 2013 A	0.15	38.06	2013/07/08	90,000,000	2013/01/02
22187	CC Rabobank B.A.	Zijin Mining European Warrants Jul 2013 A	0.25	3.50 per unit	2013/07/02	70,000,000	2013/01/02
22175	Standard Chartered Bank	Lenovo European Wts Aug 2013 A	0.32	8.88 per unit	2013/08/08	50,000,000	2013/01/02
22174	Standard Chartered Bank	PetroChina European Wts Aug 2013 A	0.3	13.38 per unit	2013/08/08	50,000,000	2013/01/02
22193	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Sep 2013 A	0.17	4.49 per unit	2013/09/16	120,000,000	2013/01/02
22186	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jun 2013 B	0.18	30.88	2013/06/03	100,000,000	2013/01/02
22192	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Aug 2013 A	0.15	3.68 per unit	2013/08/05	100,000,000	2013/01/02
22185	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Apr 2013 C	0.33	6.46 per unit	2013/04/22	150,000,000	2013/01/02
22179	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jun13D	0.55	11 per unit	2013/06/24	50,000,000	2013/01/02
22177	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt May 2013A	0.62	11.12 per unit	2013/05/27	50,000,000	2013/01/02
22178	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Apr 2014A	0.155	10.02	2014/04/30	300,000,000	2013/01/02
22184	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul13A	0.345	4.28 per unit	2013/07/03	50,000,000	2013/01/02
22183	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2013 D	0.21	86.88	2013/05/06	168,000,000	2013/01/02
22182	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2013 B	0.39	84.05	2013/04/29	150,000,000	2013/01/02
22180	SGA Societe Generale Acceptance N.V.	KECL Euro Wts Jun 13A	0.68	17.38 per unit	2013/06/03	30,000,000	2013/01/02
22181	SGA Societe Generale Acceptance N.V.	KECL Euro Wts Apr 14A	0.18	16.9	2014/04/30	100,000,000	2013/01/02
22196	UBS AG	CNOOC European Warrants Feb 2014 A	0.25	17.88	2014/02/17	200,000,000	2013/01/02
22198	UBS AG	CNOOC European Warrants Jun 2014 A	0.25	18.88	2014/06/16	200,000,000	2013/01/02
22194	UBS AG	China Tel European Warrants Sep 2013 A	0.25	5.28 per unit	2013/09/16	100,000,000	2013/01/02
22236	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2014 A	0.25	18.28	2014/12/02	100,000,000	2013/01/04
22235	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Dec 2013 A	0.26	11.50 per unit	2013/12/03	100,000,000	2013/01/04
22240	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Nov 2013 A	0.25	37.8	2013/11/04	100,000,000	2013/01/04
22234	BNP Paribas Arbit Issu B.V.	KECL European Wts Oct 2015 A	0.25	19.28	2015/10/05	100,000,000	2013/01/04
22238	BNP Paribas Arbit Issu B.V.	MGM China Euro Wts Jun 2014 A	0.26	16.8	2014/06/04	45,000,000	2013/01/04
22237	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Dec 2013 A	0.27	14.80 per unit	2013/12/03	100,000,000	2013/01/04
22233	BNP Paribas Arbit Issu B.V.	Prada European Wts Nov 2015 A	0.25	78 per 100 units	2015/11/03	100,000,000	2013/01/04
22239	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Oct 2013 A	0.25	43.8	2013/10/03	100,000,000	2013/01/04
22224	Daiwa Capital Mkt	CSOP A50 ETF European Wts Jul 2013 A	0.25	13 per unit	2013/07/03	128,000,000	2013/01/04
22225	Daiwa Capital Mkt	CSOP A50 ETF European Put Wts Jun 2013 B	0.26	9.108 per unit	2013/06/18	98,000,000	2013/01/04
22200	Goldman Sachs SP (Asia)	CAM CSI300 Euro Put Wts Oct 2013 A	0.25	28	2013/10/03	80,000,000	2013/01/04
22203	Goldman Sachs SP (Asia)	Brilliance Chi Euro Wts Apr 2014 A	0.25	10	2014/04/02	80,000,000	2013/01/04
22202	Goldman Sachs SP (Asia)	BYD European Warrants Oct 2013 A	0.252	24	2013/10/03	80,000,000	2013/01/04
22201	Goldman Sachs SP (Asia)	Ch Comm Cons Euro Wts Nov 2014 A	0.15	9	2014/11/26	80,000,000	2013/01/04
22204	Goldman Sachs SP (Asia)	Chi Res Land Euro Wts Dec 2013 A	0.26	24	2013/12/03	80,000,000	2013/01/04
22208	HK Bank	CITIC Sec European Warrants Oct 2013 A	0.25	20.18	2013/10/28	68,000,000	2013/01/04
22220	HK Bank	China Life European Warrants Aug 2013 A	0.21	25.55	2013/08/30	80,000,000	2013/01/04
22207	HK Bank	China Mobile European Warrants Jun 2013 C	0.2	100.08	2013/06/04	80,000,000	2013/01/04
22205	HK Bank	Geely Auto European Warrants Aug 2013 A	0.25	4.48 per unit	2013/08/05	60,000,000	2013/01/04
22206	HK Bank	Link REIT European Warrants Aug 2013 A	0.25	41.88	2013/08/05	60,000,000	2013/01/04

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22209	HK Bank	Zoomlion European Warrants Apr 2014 A	0.25	13.38	2014/04/14	80,000,000	2013/01/04
22228	J P Morgan SP BV	CAM CSI300 European Warrants Sep 2013 A	0.188	32.68	2013/09/02	180,000,000	2013/01/04
22227	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Oct 2013 A	0.25	12,000 per 4,200 units	2013/10/30	300,000,000	2013/01/04
22226	J P Morgan SP BV	HSI European Warrants Aug 2013 A	0.15	24,000 per 6,000 units	2013/08/29	300,000,000	2013/01/04
22215	CC Rabobank B.A.	CAM CSI300 European Warrants Sep 2013 B	0.15	34.05	2013/09/24	150,000,000	2013/01/04
22218	CC Rabobank B.A.	CAM CSI300 European Put Wts Sep 2013 C	0.25	28.2	2013/09/09	150,000,000	2013/01/04
22212	CC Rabobank B.A.	China EB Ltd European Warrants Apr 2014 A	0.25	14.3	2014/04/22	80,000,000	2013/01/04
22210	CC Rabobank B.A.	CITIC Sec European Warrants Nov 2013 A	0.25	20.05	2013/11/25	90,000,000	2013/01/04
22214	CC Rabobank B.A.	Dongfeng Motor European Wts Feb 2014 A	0.25	13.8	2014/02/24	120,000,000	2013/01/04
22221	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Sep13C	0.19	34.05	2013/09/30	150,000,000	2013/01/04
22223	UBS AG	CAM CSI300 European Warrants Jul 2013 A	0.25	33.68	2013/07/15	200,000,000	2013/01/04
22229	UBS AG	CC Bank European Warrants Jul 2013 A	0.25	7.05 per unit	2013/07/15	100,000,000	2013/01/04
22242	UBS AG	China National Building European Wts Jun 2013 B	0.52	14.02 per unit	2013/06/28	100,000,000	2013/01/04
22241	UBS AG	China National Building European Wts Apr 2014 A	0.23	12.9	2014/04/07	100,000,000	2013/01/04
22222	UBS AG	CSOP A50 ETF European Warrants Jul 2013 A	0.25	13.38 per unit	2013/07/15	100,000,000	2013/01/04
22230	UBS AG	Evergrande European Warrants Jun 2013 A	0.4	4.89 per unit	2013/06/25	100,000,000	2013/01/04
22232	UBS AG	PICC European Warrants Jun 2013 B	0.32	13.52 per unit	2013/06/28	100,000,000	2013/01/04
22288	Credit Suisse AG	Agricultural Bank European Wts Sep 2013 A	0.16	4.49 per unit	2013/09/16	70,000,000	2013/01/07
22284	Credit Suisse AG	CAM CSI300 European Warrants Jul 2013 A	0.25	33.38	2013/07/29	100,000,000	2013/01/07
22290	Credit Suisse AG	CAM CSI300 European Warrants Sep 2013 C	0.25	35.08	2013/09/27	100,000,000	2013/01/07
22286	Credit Suisse AG	CM Bank European Warrants Jul 2013 A	0.25	20.98 per unit	2013/07/08	70,000,000	2013/01/07
22287	Credit Suisse AG	CNOOC European Warrants Aug 2013 A	0.16	16.3	2013/08/05	70,000,000	2013/01/07
22281	Credit Suisse AG	CSOP A50 ETF European Warrants Jul 2013 D	0.25	13.50 per unit	2013/07/15	100,000,000	2013/01/07
22283	Credit Suisse AG	A50 China European Warrants Jul 2013 A	0.25	13.58 per unit	2013/07/08	100,000,000	2013/01/07
22279	Citigroup Global Mkt H Inc.	China Life Euro Wts Jan 2014 A	0.25	28.2	2014/01/27	100,000,000	2013/01/07
22269	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt Sep 2013 A	0.25	39	2013/09/16	100,000,000	2013/01/07
22294	Citigroup Global Mkt H Inc.	Ch Unicom Eu Put Wt Aug 2013 B	0.17	12.88	2013/08/23	100,000,000	2013/01/07
22277	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Aug 2013 A	0.25	33.28	2013/08/12	100,000,000	2013/01/07
22319	Citigroup Global Mkt H Inc.	HSI European Wts Jun 2013 C	0.19	22,500 per 6,400 units	2013/06/27	100,000,000	2013/01/07
22317	Citigroup Global Mkt H Inc.	HSI European Wts Jul 2013 B	0.25	22,800 per 6,800 units	2013/07/30	100,000,000	2013/01/07
22315	Citigroup Global Mkt H Inc.	HSI European Wts Sep 2013 B	0.25	23,600 per 6,500 units	2013/09/27	100,000,000	2013/01/07
22311	Citigroup Global Mkt H Inc.	HSI European Wts Dec 2013 B	0.25	23,000 per 8,000 units	2013/12/30	100,000,000	2013/01/07
22313	Citigroup Global Mkt H Inc.	HSI European Wts Dec 2013 D	0.25	23,800 per 7,000 units	2013/12/30	100,000,000	2013/01/07
22318	Citigroup Global Mkt H Inc.	HSI European Put Wt Jun 2013 B	0.17	22,100 per 7,000 units	2013/06/27	100,000,000	2013/01/07
22316	Citigroup Global Mkt H Inc.	HSI European Put Wt Jul 2013 A	0.15	21,800 per 8,000 units	2013/07/30	100,000,000	2013/01/07
22314	Citigroup Global Mkt H Inc.	HSI European Put Wt Sep 2013 A	0.25	21,200 per 6,500 units	2013/09/27	100,000,000	2013/01/07
22310	Citigroup Global Mkt H Inc.	HSI European Put Wt Dec 2013 A	0.25	21,000 per 8,000 units	2013/12/30	100,000,000	2013/01/07
22312	Citigroup Global Mkt H Inc.	HSI European Put Wt Dec 2013 C	0.25	20,400 per 7,000 units	2013/12/30	100,000,000	2013/01/07
22258	Citigroup Global Mkt H Inc.	KECL European Wts Apr 2014 A	0.2	16.9	2014/04/28	100,000,000	2013/01/07
22280	Citigroup Global Mkt H Inc.	Link Reit Euro Wts Sep 2013 A	0.25	42.88	2013/09/02	100,000,000	2013/01/07
22274	Citigroup Global Mkt H Inc.	Luk Fook Hold Eu Wt Jul 2013 A	0.25	26.88	2013/07/08	100,000,000	2013/01/07
22268	Citigroup Global Mkt H Inc.	PetroChina Eur Put Wt Dec 13 B	0.25	11.98	2013/12/30	100,000,000	2013/01/07
22276	Citigroup Global Mkt H Inc.	Sands China Euro Wt Aug 2013 A	0.25	38	2013/08/19	100,000,000	2013/01/07

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22261	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Sep 2013 A	0.2	20.2	2013/09/24	100,000,000	2013/01/07
22275	Citigroup Global Mkt H Inc.	Tencent European Wts Aug 2013 A	0.22	258.88 per 100 units	2013/08/05	100,000,000	2013/01/07
22295	Citigroup Global Mkt H Inc.	YZ Coal Euro Put Wt Dec 2013 A	0.25	11.7	2013/12/23	100,000,000	2013/01/07
22243	Goldman Sachs SP (Asia)	HSI European Warrants May 2013 B	0.156	23,300 per 6,000 units	2013/05/30	100,000,000	2013/01/07
22244	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2013 A	0.159	24,000 per 6,000 units	2013/08/29	100,000,000	2013/01/07
22245	Goldman Sachs SP (Asia)	HSI European Put Wts May 2013 C	0.152	22,500 per 9,000 units	2013/05/30	100,000,000	2013/01/07
22246	Goldman Sachs SP (Asia)	HSI European Put Wts Jun 2013 C	0.168	23,000 per 10,000 units	2013/06/27	100,000,000	2013/01/07
22255	HK Bank	Agricultural Bank European Warrants Sep 2013 A	0.18	4.39 per unit	2013/09/23	80,000,000	2013/01/07
22256	HK Bank	BOCL European Warrants Nov 2013 A	0.15	3.93 per unit	2013/11/26	80,000,000	2013/01/07
22250	HK Bank	CITIC Bank European Warrants Jul 2013 A	0.25	4.98 per unit	2013/07/15	68,000,000	2013/01/07
22249	HK Bank	CM Bank European Warrants Jun 2014 A	0.25	17.88	2014/06/30	100,000,000	2013/01/07
22252	HK Bank	CNBM European Warrants Apr 2014 B	0.19	12.9	2014/04/07	100,000,000	2013/01/07
22248	HK Bank	CSOP A50 ETF European Warrants Jul 2013 A	0.35	11.88 per unit	2013/07/09	68,000,000	2013/01/07
22247	HK Bank	China Tel European Warrants Jul 2013 A	0.25	4.98 per unit	2013/07/15	68,000,000	2013/01/07
22251	HK Bank	HWL European Warrants Jul 2013 C	0.25	93.88	2013/07/09	80,000,000	2013/01/07
22253	HK Bank	SHK Ppt European Warrants Jul 2013 A	0.3	129.88	2013/07/09	68,000,000	2013/01/07
22296	J P Morgan SP BV	BYD European Warrants Aug 2013 A	0.25	26	2013/08/01	100,000,000	2013/01/07
22302	CC Rabobank B.A.	CC Bank European Warrants Apr 2013 A	0.25	6.46 per unit	2013/04/22	90,000,000	2013/01/07
22303	CC Rabobank B.A.	CC Bank European Warrants May 2013 B	0.25	6.82 per unit	2013/05/27	90,000,000	2013/01/07
22306	CC Rabobank B.A.	Ch Mobile European Warrants May 2013 B	0.25	97	2013/05/20	60,000,000	2013/01/07
22305	CC Rabobank B.A.	Ch Mobile European Put Wts Jun 2013 C	0.3	81.95	2013/06/25	60,000,000	2013/01/07
22308	CC Rabobank B.A.	Chi Resources European Wts Jul 2013 A	0.25	32	2013/07/08	60,000,000	2013/01/07
22299	CC Rabobank B.A.	HSBC European Warrants May 2013 A	0.25	83.9	2013/05/20	70,000,000	2013/01/07
22300	CC Rabobank B.A.	HSBC European Warrants Jun 2013 C	0.15	90.05	2013/06/24	80,000,000	2013/01/07
22301	CC Rabobank B.A.	HSBC European Warrants Sep 2013 A	0.15	93.93	2013/09/02	80,000,000	2013/01/07
22298	CC Rabobank B.A.	Tencent Holdings European Wts Sep 2013 A	0.15	289 per 100 units	2013/09/02	150,000,000	2013/01/07
22297	CC Rabobank B.A.	Tencent Holdings Euro Put Wt Jul 2013 B	0.15	228.80 per 100 units	2013/07/15	150,000,000	2013/01/07
22289	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2013 A	0.195	6.31 per unit	2013/08/21	150,000,000	2013/01/07
22321	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jul 2013 A	0.205	4.19 per unit	2013/07/29	150,000,000	2013/01/08
22323	SGA Societe Generale Acceptance N.V.	CPIC Euro Wt Aug2013 A	0.18	30.88	2013/08/26	100,000,000	2013/01/08
16269 #	Goldman Sachs SP (Asia)	A50 China European Wts Oct 2013	0.065	13	2013/10/30	180,000,000	2013/01/08
22330	BOCI Asia Ltd.	China Life European Warrants Jul 2013 C	0.25	28	2013/07/29	100,000,000	2013/01/09
22328	BOCI Asia Ltd.	China Life European Warrants Oct 2013 A	0.25	29.98	2013/10/28	100,000,000	2013/01/09
22329	BOCI Asia Ltd.	China Life European Put Warrants Jul 2013 B	0.25	25	2013/07/22	100,000,000	2013/01/09
22354	BNP Paribas Arbit Issu B.V.	CAM CSI300 Euro Wts Jan 2014 B	0.25	37	2014/01/03	130,000,000	2013/01/09
22372	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Oct 2013 A	0.25	7.88 per unit	2013/10/03	100,000,000	2013/01/09
22352	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Oct 2013 A	0.25	23	2013/10/03	100,000,000	2013/01/09
22356	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2014 A	0.25	30	2014/01/03	100,000,000	2013/01/09
22371	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2013 A	0.17	111	2013/10/03	100,000,000	2013/01/09
22355	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Aug 2013 A	0.3	13.80 per unit	2013/08/02	80,000,000	2013/01/09
22370	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Oct 2013 A	0.36	5.20 per unit	2013/10/03	100,000,000	2013/01/09
22353	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Jan 2014 A	0.25	1.92 per unit	2014/01/03	100,000,000	2013/01/09
22368	BNP Paribas Arbit Issu B.V.	R&F Ppt Euro Wts Jan 2015 A	0.25	14.6	2015/01/05	100,000,000	2013/01/09

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22375	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jan 2015 A	0.25	142 per 100 units	2015/01/05	100,000,000	2013/01/09
22360	Credit Suisse AG	CAM CSI300 European Warrants Jul 2013 B	0.25	34.88	2013/07/15	100,000,000	2013/01/09
22361	Credit Suisse AG	CAM CSI300 European Warrants Aug 2013 A	0.25	36.08	2013/08/12	100,000,000	2013/01/09
22363	Credit Suisse AG	China Life European Warrants Aug 2013 A	0.25	28.28	2013/08/12	80,000,000	2013/01/09
22364	Credit Suisse AG	HKEx European Put Warrants Jul 2013 B	0.35	115.88	2013/07/15	80,000,000	2013/01/09
22362	Credit Suisse AG	Ping An European Warrants Jul 2013 C	0.25	82.38	2013/07/22	100,000,000	2013/01/09
22334	Daiwa Capital Mkt	China Life European Warrants Aug 2013 A	0.25	28	2013/08/01	188,000,000	2013/01/09
22336	Daiwa Capital Mkt	China Mobile European Wts Jun 2013 B	0.29	96.98	2013/06/03	138,000,000	2013/01/09
22335	Daiwa Capital Mkt	Ping An European Warrants Jul 2013 A	0.25	84	2013/07/15	138,000,000	2013/01/09
22367	Bank of East Asia	CAM CSI300 European Warrants Jul 2013 A	0.26	33.88	2013/07/24	60,000,000	2013/01/09
22366	Bank of East Asia	CAM CSI300 European Warrants Dec 2013 A	0.25	38.88	2013/12/24	60,000,000	2013/01/09
22365	Bank of East Asia	CSOP A50 ETF European Wts Dec 2015 A	0.25	11	2015/12/10	100,000,000	2013/01/09
22345	J P Morgan SP BV	CAM CSI300 European Warrants Aug 2013 A	0.25	35	2013/08/01	180,000,000	2013/01/09
22326	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2013 B	0.172	6.82 per unit	2013/05/20	200,000,000	2013/01/09
22327	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Aug 2013 A	0.25	7.08 per unit	2013/08/02	200,000,000	2013/01/09
22350	J P Morgan SP BV	China Life European Warrants Sep 2013 A	0.251	28.88	2013/09/02	300,000,000	2013/01/09
22351	J P Morgan SP BV	CPIC European Warrants Aug 2013 A	0.25	33.88	2013/08/01	150,000,000	2013/01/09
22325	J P Morgan SP BV	HSCEI European Put Warrants Jun 2013 E	0.176	11,500 per 5,000 units	2013/06/27	300,000,000	2013/01/09
22324	J P Morgan SP BV	HSI European Put Warrants Jul 2013 A	0.25	23,200 per 8,800 units	2013/07/30	300,000,000	2013/01/09
22347	J P Morgan SP BV	ICBC European Warrants Aug 2013 A	0.25	6.38 per unit	2013/08/01	200,000,000	2013/01/09
22358	Macquarie Bank Ltd.	China Life European Wts Nov 2013 A	0.25	30	2013/11/04	180,000,000	2013/01/09
22357	Macquarie Bank Ltd.	HKEx European Warrants Jun 2014 A	0.15	170 per 100 units	2014/06/04	250,000,000	2013/01/09
22359	Macquarie Bank Ltd.	PICC P&C European Warrants Oct 2014 A	0.25	12.5	2014/10/06	180,000,000	2013/01/09
22343	Standard Chartered Bank	CAM CSI300 European Wts Jan 2014 A	0.25	36.38	2014/01/03	100,000,000	2013/01/09
22341	Standard Chartered Bank	China Life European Wts Nov 2013 A	0.25	29.28	2013/11/08	100,000,000	2013/01/09
22344	Standard Chartered Bank	A50 China Euro Warrants Sep 2013 A	0.3	14.28 per unit	2013/09/06	50,000,000	2013/01/09
22342	Standard Chartered Bank	NCI European Warrants Jan 2014 A	0.25	44.88	2014/01/03	100,000,000	2013/01/09
22337	SGA Societe Generale Acceptance NV	CSOP A50 Eu Put Wt Jul13C	0.53	10 per unit	2013/07/10	50,000,000	2013/01/09
22333	UBS AG	China Life European Warrants Jul 2013 C	0.25	27.88	2013/07/29	300,000,000	2013/01/09
22332	UBS AG	China Life European Warrants Sep 2013 A	0.25	28.88	2013/09/09	300,000,000	2013/01/09
22338	UBS AG	HSI European Warrants Aug 2013 A	0.18	23,800 per 6,000 units	2013/08/29	300,000,000	2013/01/09
22340	UBS AG	HSI European Put Warrants Jun 2013 E	0.2	23,300 per 8,000 units	2013/06/27	300,000,000	2013/01/09
22339	UBS AG	HSI European Put Warrants Jul 2013 A	0.16	22,200 per 7,200 units	2013/07/30	300,000,000	2013/01/09
22379	BOCI Asia Ltd.	HSI European Warrants Jul 2013 C	0.25	23,500 per 6,000 units	2013/07/30	100,000,000	2013/01/10
22377	BOCI Asia Ltd.	HSI European Warrants Aug 2013 A	0.19	23,900 per 6,000 units	2013/08/29	100,000,000	2013/01/10
22376	BOCI Asia Ltd.	HSI European Put Warrants Jun 2013 E	0.2	23,400 per 8,000 units	2013/06/27	100,000,000	2013/01/10
22403	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Aug 2013 A	0.34	3.50 per unit	2013/08/02	100,000,000	2013/01/10
22404	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Aug 2013 A	0.25	6.88 per unit	2013/08/02	52,000,000	2013/01/10
22402	BNP Paribas Arbit Issu B.V.	Ch Oilfield Eur Wts Dec 2013 A	0.25	18	2013/12/03	100,000,000	2013/01/10
22401	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Aug 2013 A	0.25	2.88 per unit	2013/08/02	100,000,000	2013/01/10
22405	BNP Paribas Arbit Issu B.V.	HSI European Wts Aug 2013 A	0.15	23,900 per 6,000 units	2013/08/29	200,000,000	2013/01/10
22400	BNP Paribas Arbit Issu B.V.	Nine Dragons Eur Wt Jan 2014 A	0.15	8.01	2014/01/03	100,000,000	2013/01/10
22434	Credit Suisse AG	HSI European Put Warrants Jun 2013 G	0.19	23,300 per 8,000 units	2013/06/27	180,000,000	2013/01/10

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22436	Credit Suisse AG	Hutchison European Warrants Jul 2013 A	0.25	96.38	2013/07/12	80,000,000	2013/01/10
22435	Credit Suisse AG	ICBC European Warrants Jul 2013 C	0.25	6.58 per unit	2013/07/12	80,000,000	2013/01/10
22437	Credit Suisse AG	Ping An European Warrants Jul 2013 D	0.25	86.08	2013/07/29	100,000,000	2013/01/10
22438	Credit Suisse AG	Ping An European Put Warrants Jul 2013 E	0.25	56.88	2013/07/29	100,000,000	2013/01/10
22419	Daiwa Capital Mkt	BOCL European Warrants Sep 2013 A	0.15	4 per unit	2013/09/19	108,000,000	2013/01/10
22418	Daiwa Capital Mkt	CSOP A50 ETF European Wts Jul 2013 B	0.25	14.48 per unit	2013/07/15	128,000,000	2013/01/10
22413	HK Bank	CAM CSI300 European Warrants Oct 2013 A	0.25	36.28	2013/10/28	100,000,000	2013/01/10
22411	HK Bank	China Life European Warrants Sep 2013 A	0.25	29.38	2013/09/09	100,000,000	2013/01/10
22415	HK Bank	Minsheng Bank European Warrants Jul 2013 C	0.43	10.98 per unit	2013/07/15	60,000,000	2013/01/10
22412	HK Bank	CSOP A50 ETF European Warrants Jul 2013 B	0.3	12.88 per unit	2013/07/10	80,000,000	2013/01/10
22410	HK Bank	HKEx European Warrants Jul 2013 A	0.15	133.98 per 100 units	2013/07/25	100,000,000	2013/01/10
22398	J P Morgan SP BV	BOCL European Warrants May 2013 B	0.15	3.88 per unit	2013/05/28	200,000,000	2013/01/10
22399	J P Morgan SP BV	CSOP A50 ETF European Warrants Jun 2013 C	0.25	12.88 per unit	2013/06/21	100,000,000	2013/01/10
22396	J P Morgan SP BV	CSOP A50 ETF Euro Put Wts Jul 2013 B	0.276	10 per unit	2013/07/09	100,000,000	2013/01/10
22397	J P Morgan SP BV	HSBC European Warrants Jul 2013 A	0.25	92	2013/07/09	200,000,000	2013/01/10
22417	J P Morgan SP BV	Hutchison European Warrants Jun 2013 C	0.253	90	2013/06/17	150,000,000	2013/01/10
22416	J P Morgan SP BV	ZTE European Warrants Sep 2013 A	0.25	15	2013/09/02	120,000,000	2013/01/10
22423	Macquarie Bank Ltd.	Ch Comm Cons European Wts Aug 2013 A	0.25	9.88 per unit	2013/08/02	100,000,000	2013/01/10
22380	Macquarie Bank Ltd.	Xinyi Glass European Wts Jul 2013 A	0.258	6.38 per unit	2013/07/30	100,000,000	2013/01/10
22383	Merrill Lynch Int'l & Co. C.V.	BOCL European Wt Sep 2013 A	0.172	4.01 per unit	2013/09/30	60,000,000	2013/01/10
22388	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Jul 2013 B	0.25	7.41 per unit	2013/07/29	40,000,000	2013/01/10
22382	Merrill Lynch Int'l & Co. C.V.	CC Bank Eu Put Wt Aug2013 A	0.415	5.69 per unit	2013/08/19	40,000,000	2013/01/10
22385	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Sep 2013 A	0.255	29.01	2013/09/23	40,000,000	2013/01/10
22384	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Aug2013A	0.255	25.49	2013/08/26	40,000,000	2013/01/10
22387	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Jul2013A	0.5	85.99	2013/07/29	40,000,000	2013/01/10
22389	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts May 2013 A	0.52	158.98	2013/05/27	40,000,000	2013/01/10
22386	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jun 2013 B	0.265	6.01 per unit	2013/06/26	40,000,000	2013/01/10
22381	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Put Wt Aug 2013 A	0.345	4.99 per unit	2013/08/19	40,000,000	2013/01/10
22429	CC Rabobank B.A.	AAC Acoustic European Warrants Jul 2013 A	0.25	33.5	2013/07/22	60,000,000	2013/01/10
22426	CC Rabobank B.A.	BYD European Warrants Jul 2013 B	0.25	27	2013/07/15	70,000,000	2013/01/10
22430	CC Rabobank B.A.	CPIC European Warrants Jul 2013 A	0.25	35	2013/07/15	70,000,000	2013/01/10
22424	CC Rabobank B.A.	China Res Gas European Wts Jun 2013 A	0.15	18.02	2013/06/25	70,000,000	2013/01/10
22428	CC Rabobank B.A.	Chi Res Land European Warrants Aug 2013 A	0.25	26.8	2013/08/12	70,000,000	2013/01/10
22431	CC Rabobank B.A.	Evergrande European Warrants Jul 2013 A	0.35	5.30 per unit	2013/07/09	40,000,000	2013/01/10
22427	CC Rabobank B.A.	NCI European Warrants Jul 2013 A	0.25	42	2013/07/09	45,000,000	2013/01/10
22432	CC Rabobank B.A.	Nine Dragons European Warrants Jan 2014 A	0.15	8.01	2014/01/03	200,000,000	2013/01/10
22425	CC Rabobank B.A.	Ping An European Put Warrants Aug 2013 A	0.3	58.83	2013/08/05	70,000,000	2013/01/10
22439	CC Rabobank B.A.	Zoomlion European Warrants Jun 2013 B	0.15	12.9	2013/06/24	100,000,000	2013/01/10
22406	Standard Chartered Bank	CSOP A50 ETF Euro Wts Oct 2013 A	0.25	15.28 per unit	2013/10/11	50,000,000	2013/01/10
22420	Standard Chartered Bank	A50 China Euro Warrants Sep 2014 A	0.185	11.02	2014/09/15	150,000,000	2013/01/10
22409	Standard Chartered Bank	HSBC European Warrants Nov 2013 A	0.25	96.68	2013/11/08	65,000,000	2013/01/10
22408	Standard Chartered Bank	ICBC European Warrants Sep 2013 A	0.188	6.69 per unit	2013/09/12	65,000,000	2013/01/10
22407	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2013 A	0.25	288.28 per 100 units	2013/12/03	100,000,000	2013/01/10

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22395	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Oct13A	0.26	34.88	2013/10/28	150,000,000	2013/01/10
22392	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul2013 C	0.38	13.88 per unit	2013/07/15	50,000,000	2013/01/10
22394	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug2013 A	0.295	14.88 per unit	2013/08/05	50,000,000	2013/01/10
22391	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2013 C	0.395	178.88	2013/07/15	30,000,000	2013/01/10
22390	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 13 E	0.2	23,400 per 8,000 units	2013/06/27	300,000,000	2013/01/10
22414	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 13 B	0.255	85	2013/08/05	150,000,000	2013/01/10
22440	UBS AG	AIA European Warrants Jun 2013 C	0.15	32.93	2013/06/03	100,000,000	2013/01/10
22421	UBS AG	HKEx European Warrants May 2014 A	0.15	170.10 per 100 units	2014/05/26	300,000,000	2013/01/10
22422	UBS AG	HSBC European Warrants Sep 2013 A	0.2	93.93	2013/09/02	100,000,000	2013/01/10
18629 #	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul 13 A	0.144	10.59	2013/07/29	300,000,000	2013/01/10
20758 #	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2013 A	0.066	22,100 per 8,000 units	2013/04/29	200,000,000	2013/01/10
21646 #	Credit Suisse AG	China Mobile European Warrants May 2013 B	0.174	100	2013/05/31	80,000,000	2013/01/10
22470	BOCI Asia Ltd.	BOCL European Warrants Sep 2013 A	0.15	4 per unit	2013/09/27	100,000,000	2013/01/11
22468	BOCI Asia Ltd.	CC Bank European Warrants Jul 2013 A	0.25	7.01 per unit	2013/07/03	100,000,000	2013/01/11
22467	BOCI Asia Ltd.	HKEx European Put Warrants Oct 2013 A	0.16	133.90 per 100 units	2013/10/28	100,000,000	2013/01/11
22469	BOCI Asia Ltd.	ICBC European Warrants Sep 2013 A	0.22	6.68 per unit	2013/09/19	100,000,000	2013/01/11
10168	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Sep 2013 B	0.25	93 per 1 units	2013/09/30	100,000,000	2013/01/11
10167	BNP Paribas Arbit Issu B.V.	USD/YEN Eur Put Wts Sep 2013 A	0.25	83 per 1 units	2013/09/30	100,000,000	2013/01/11
22465	Goldman Sachs SP (Asia)	CC Bank European Wts Aug 2013 A	0.278	7.38 per unit	2013/08/01	80,000,000	2013/01/11
22459	Goldman Sachs SP (Asia)	China Life Euro Wts Aug 2013 A	0.25	28.5	2013/08/05	100,000,000	2013/01/11
22466	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2014 A	0.187	138.98 per 100 units	2014/06/20	100,000,000	2013/01/11
22464	Goldman Sachs Sp (Asia)	ICBC European Warrants Oct 2013 A	0.258	6.80 per unit	2013/10/03	50,000,000	2013/01/11
22463	Goldman Sachs SP (Asia)	Ping An European Wts Aug 2013 B	0.381	80	2013/08/05	80,000,000	2013/01/11
22490	HK Bank	CAM CSI300 European Put Warrants Sep 2013 A	0.25	29.38	2013/09/30	100,000,000	2013/01/11
22488	HK Bank	China Mobile European Warrants Sep 2013 A	0.25	108.88	2013/09/24	80,000,000	2013/01/11
22489	HK Bank	CSOP A50 ETF European Put Warrants Jul 2013 C	0.3	9.88 per unit	2013/07/11	80,000,000	2013/01/11
22494	HK Bank	Evergrande European Warrants Jul 2013 A	0.25	5.60 per unit	2013/07/15	60,000,000	2013/01/11
22492	HK Bank	HSI European Warrants Dec 2013 A	0.25	24,500 per 6,000 units	2013/12/30	150,000,000	2013/01/11
22491	HK Bank	HSI European Put Warrants Jul 2013 A	0.25	24,000 per 7,500 units	2013/07/30	150,000,000	2013/01/11
22493	HK Bank	HSI European Put Warrants Feb 2015 A	0.25	21,000 per 9,000 units	2015/02/26	150,000,000	2013/01/11
22473	J P Morgan SP BV	Brilliance Chi European Wts Aug 2014 A	0.25	12	2014/08/01	200,000,000	2013/01/11
22475	J P Morgan SP BV	CITIC Sec European Warrants Aug 2013 A	0.25	23	2013/08/01	200,000,000	2013/01/11
22476	J P Morgan SP BV	CNBM European Warrants Aug 2013 A	0.695	15 per unit	2013/08/01	100,000,000	2013/01/11
22478	J P Morgan SP BV	China Shenhua European Wts Aug 2013 A	0.251	40	2013/08/01	200,000,000	2013/01/11
22474	J P Morgan SP BV	Zhuzhou CSR European Warrants Dec 2014 B	0.25	7	2014/12/29	200,000,000	2013/01/11
22472	J P Morgan SP BV	Haitong Sec European Warrants Oct 2013 A	0.25	15	2013/10/02	150,000,000	2013/01/11
22480	J P Morgan SP BV	KECL European Warrants Jun 2013 A	0.367	18.88 per unit	2013/06/24	60,000,000	2013/01/11
22481	J P Morgan SP BV	Lumena Newmat European Wts Jul 2013 A	0.25	2 per unit	2013/07/10	100,000,000	2013/01/11
22479	J P Morgan SP BV	Skyworth Digital European Wts Aug 2013 A	0.253	4.88 per unit	2013/08/01	60,000,000	2013/01/11
22477	J P Morgan SP BV	Zoomlion European Warrants May 2014 A	0.25	13.88	2014/05/02	200,000,000	2013/01/11
22483	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Sep 2013 A	0.163	4.48 per unit	2013/09/16	100,000,000	2013/01/11
22482	Macquarie Bank Ltd.	BOCHK European Warrants Mar 2014 A	0.15	26.88	2014/03/17	150,000,000	2013/01/11
22485	Macquarie Bank Ltd.	China COSCO European Wts Jun 2013 A	0.25	5.20 per unit	2013/06/04	80,000,000	2013/01/11

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22484	Macquarie Bank Ltd.	CITIC Sec European Warrants Sep 2013 A	0.25	23	2013/09/03	150,000,000	2013/01/11
22445	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2013 B	0.25	23,800 per 6,000 units	2013/08/29	100,000,000	2013/01/11
22444	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2013 D	0.25	24,000 per 6,000 units	2013/09/27	100,000,000	2013/01/11
22443	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2013 A	0.25	24,800 per 6,000 units	2013/11/28	100,000,000	2013/01/11
22446	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts May 2013 C	0.15	22,600 per 9,000 units	2013/05/30	100,000,000	2013/01/11
22442	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2013 A	0.255	23,800 per 10,000 units	2013/10/30	100,000,000	2013/01/11
22449	Nomura Int'l plc	HKEx European Warrants Jul 2013 A	0.5	160	2013/07/03	30,000,000	2013/01/11
22447	Nomura Int'l plc	HSBC European Warrants Aug 2013 A	0.25	90	2013/08/02	60,000,000	2013/01/11
22448	Nomura Int'l plc	JIANC European Warrants Oct 2013 A	0.25	24	2013/10/03	60,000,000	2013/01/11
22451	Nomura Int'l plc	Ping An European Warrants Aug 2013 A	0.5	72.5	2013/08/02	30,000,000	2013/01/11
22450	Nomura Int'l plc	Yanzhou Coal European Warrants Apr 2014 A	0.25	15	2014/04/02	60,000,000	2013/01/11
22507	CC Rabobank B.A.	CSOP A50 ETF European Warrants Jul 2013 A	0.25	13.60 per unit	2013/07/12	50,000,000	2013/01/11
22510	CC Rabobank B.A.	A50 China European Warrants Jul 2013 C	0.48	12.60 per unit	2013/07/12	40,000,000	2013/01/11
22509	CC Rabobank B.A.	A50 China European Warrants Nov 2014 A	0.155	11.6	2014/11/04	70,000,000	2013/01/11
22508	CC Rabobank B.A.	A50 China European Put Wts Jul 2013 B	0.28	10 per unit	2013/07/12	50,000,000	2013/01/11
22500	Standard Chartered Bank	Cheung Kong Euro Wts Sep 2013 A	0.25	144.88	2013/09/06	65,000,000	2013/01/11
22496	Standard Chartered Bank	R&F Ppt European Wts Feb 2014 A	0.25	16.88	2014/02/07	100,000,000	2013/01/11
22497	Standard Chartered Bank	Hutchison Euro Euro Wts Aug 2013 A	0.25	100	2013/08/30	65,000,000	2013/01/11
22452	SGA Societe Generale Acceptance NV	AMCSI300 Eu Put Wt Sep13D	0.15	27.88	2013/09/23	150,000,000	2013/01/11
22455	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jul 13 A	0.29	5.18 per unit	2013/07/15	50,000,000	2013/01/11
22453	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Jul13A	0.6	16 per unit	2013/07/15	30,000,000	2013/01/11
22454	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Nov13A	0.17	28.88	2013/11/25	100,000,000	2013/01/11
22457	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Oct 13 A	0.27	38.5	2013/10/23	100,000,000	2013/01/11
22503	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2013 E	0.18	23,308 per 6,000 units	2013/05/30	300,000,000	2013/01/11
22504	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2013 A	0.205	23,600 per 6,000 units	2013/08/29	300,000,000	2013/01/11
22506	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Aug 2013 B	0.18	24,000 per 6,000 units	2013/08/29	300,000,000	2013/01/11
22458	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2013 B	0.255	95	2013/07/15	150,000,000	2013/01/11
22495	UBS AG	HSCEI European Warrants Sep 2013 B	0.25	12,600 per 4,200 units	2013/09/27	100,000,000	2013/01/11
22501	UBS AG	Hutchison European Warrants Jun 2013 D	0.29	92.93	2013/06/28	100,000,000	2013/01/11
22502	UBS AG	Ping An European Warrants Aug 2013 A	0.25	82.88	2013/08/12	100,000,000	2013/01/11
14442 #	Standard Chartered Bank	A50 China Euro Warrants Oct 2013	0.054	13.02	2013/10/28	200,000,000	2013/01/11
20235 #	UBS AG	AIA European Warrants Jun 2013 B	0.133	31.38	2013/06/17	100,000,000	2013/01/11
20820 #	BOCI Asia Ltd.	HSI European Put Warrants Mar 2013 E	0.027	21,400 per 8,000 units	2013/03/27	400,000,000	2013/01/11
22524	BOCI Asia Ltd.	AIA European Warrants Sep 2013 A	0.15	33.88	2013/09/16	100,000,000	2013/01/14
22521	BOCI Asia Ltd.	China Mobile European Warrants Jul 2013 A	0.23	100	2013/07/02	100,000,000	2013/01/14
22523	BOCI Asia Ltd.	Hutchison European Warrants Jul 2013 A	0.29	92.88	2013/07/02	100,000,000	2013/01/14
22525	BOCI Asia Ltd.	PetroChina European Warrants Jul 2013 A	0.24	13.08 per unit	2013/07/02	100,000,000	2013/01/14
22563	BNP Paribas Arbit Issu B.V.	Agile Ppt Euro Wt Jan 2014 A	0.26	13.8	2014/01/03	100,000,000	2013/01/14
22565	BNP Paribas Arbit Issu B.V.	BOCHK European Wts Mar 2014 A	0.19	26.88	2014/03/31	100,000,000	2013/01/14
22568	BNP Paribas Arbit Issu B.V.	Brilliance Chi Eu Wt Oct2014 A	0.27	11.3	2014/10/06	100,000,000	2013/01/14
22567	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Oct 2013 A	0.34	12 per unit	2013/10/03	45,000,000	2013/01/14
22566	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt Oct 2013 A	0.39	13 per unit	2013/10/03	65,000,000	2013/01/14
22564	BNP Paribas Arbit Issu B.V.	CPIC European Wts Oct 2013 A	0.27	35.5	2013/10/03	100,000,000	2013/01/14

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22569	BNP Paribas Arbit Issu B.V.	China Taiping Eu Wt Jan 2014 B	0.32	16.5	2014/01/03	33,000,000	2013/01/14
22571	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Oct 2013 A	0.36	5.38 per unit	2013/10/03	100,000,000	2013/01/14
22570	BNP Paribas Arbit Issu B.V.	Luk Fook Hold Eu Wt Oct 2013B	0.33	36	2013/10/03	60,000,000	2013/01/14
22572	BNP Paribas Arbit Issu B.V.	Want Want Ch Eu Wts Feb 2014 A	0.15	11.8	2014/02/05	100,000,000	2013/01/14
22549	Credit Suisse AG	China Mobile European Warrants Jul 2013 B	0.15	105	2013/07/16	80,000,000	2013/01/14
22546	Credit Suisse AG	HKEx European Warrants Jul 2013 C	0.47	172.88	2013/07/15	80,000,000	2013/01/14
22547	Credit Suisse AG	HKEx European Warrants Jul 2013 D	0.35	180.88	2013/07/15	80,000,000	2013/01/14
22548	Credit Suisse AG	HKEx European Put Warrants Jul 2013 E	0.48	125.88	2013/07/15	80,000,000	2013/01/14
22534	Daiwa Capital Mkt	HKEx European Warrants May 2014 A	0.15	169.98 per 100 units	2014/05/22	128,000,000	2013/01/14
22535	Daiwa Capital Mkt	HSBC European Warrants Oct 2013 A	0.25	99.88	2013/10/15	158,000,000	2013/01/14
22544	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Sep 2013 A	0.259	33	2013/09/03	80,000,000	2013/01/14
22543	Goldman Sachs SP (Asia)	CITIC Pacific Euro Wts Apr 2014 A	0.254	14.18	2014/04/02	80,000,000	2013/01/14
22541	Goldman Sachs SP (Asia)	CPIC European Warrants Sep 2013 B	0.252	34.8	2013/09/02	100,000,000	2013/01/14
22542	Goldman Sachs SP (Asia)	Sands China Euro Wts Aug 2013 A	0.254	43	2013/08/05	80,000,000	2013/01/14
22520	HK Bank	Henderson Land Dev European Warrants Sep 2013 A	0.25	66.88	2013/09/24	68,000,000	2013/01/14
22518	HK Bank	HSI European Put Warrants Jun 2013 C	0.15	22,500 per 9,000 units	2013/06/27	200,000,000	2013/01/14
22519	HK Bank	PICC European Warrants Sep 2014 A	0.25	12.88	2014/09/29	80,000,000	2013/01/14
22517	J P Morgan SP BV	Ch Railway Cons European Wts Jan 2015 A	0.25	9.88	2015/01/02	200,000,000	2013/01/14
22516	J P Morgan SP BV	R&F Ppt European Warrants Jan 2014 A	0.25	16.88	2014/01/02	100,000,000	2013/01/14
22515	J P Morgan SP BV	Stanchart European Warrants Jan 2014 A	0.15	230 per 100 units	2014/01/02	150,000,000	2013/01/14
22536	Macquarie Bank Ltd.	CAM CSI300 European Wts Aug 2013 A	0.25	35	2013/08/02	200,000,000	2013/01/14
22532	Macquarie Bank Ltd.	Sinopec Corp European Wts Aug 2013 A	0.25	10.98 per unit	2013/08/02	200,000,000	2013/01/14
22531	Macquarie Bank Ltd.	KECL European Warrants Sep 2014 A	0.25	18	2014/09/02	200,000,000	2013/01/14
22537	Macquarie Bank Ltd.	Ping An European Warrants Aug 2013 A	0.355	80	2013/08/02	150,000,000	2013/01/14
22514	Merrill Lynch Int'l & Co. C.V.	CAM CSI300 Eu Wt Sep 2013 A	0.255	34.1	2013/09/23	40,000,000	2013/01/14
22513	Merrill Lynch Int'l & Co. C.V.	CSOP A50 ETF Eu Wt Jul 13 A	0.305	12.89 per unit	2013/07/09	40,000,000	2013/01/14
22511	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Jun 2013 B	0.305	90.05	2013/06/17	40,000,000	2013/01/14
22512	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Jul 2013 B	0.25	97.01	2013/07/29	40,000,000	2013/01/14
22556	CC Rabobank B.A.	Cheung Kong European Warrants May 2013 A	0.3	135.1	2013/05/20	50,000,000	2013/01/14
22555	CC Rabobank B.A.	Cheung Kong European Warrants Jul 2013 A	0.3	140	2013/07/15	50,000,000	2013/01/14
22560	CC Rabobank B.A.	China Mer Hold European Wts Jul 2013 A	0.25	29	2013/07/30	60,000,000	2013/01/14
22551	CC Rabobank B.A.	CNOOC European Warrants Jul 2013 A	0.6	19.80 per unit	2013/07/15	30,000,000	2013/01/14
22554	CC Rabobank B.A.	GCL-Poly Energy European Wts Jul 2013 A	0.25	2.40 per unit	2013/07/15	100,000,000	2013/01/14
22553	CC Rabobank B.A.	Hutchison European Warrants Jul 2013 A	0.25	96	2013/07/15	60,000,000	2013/01/14
22550	CC Rabobank B.A.	Ping An European Warrants Jul 2013 B	0.25	80	2013/07/30	70,000,000	2013/01/14
22557	CC Rabobank B.A.	Sinopharm European Warrants Jul 2013 A	0.25	28.8	2013/07/15	60,000,000	2013/01/14
22561	CC Rabobank B.A.	Skyworth Digital European Wts Jul 2013 A	0.5	5 per unit	2013/07/22	30,000,000	2013/01/14
22558	CC Rabobank B.A.	SPDR Gold Trust European Wts May 2013 A	0.15	1,481 per 100 units	2013/05/27	70,000,000	2013/01/14
22559	CC Rabobank B.A.	SPDR Gold Trust European Wts Jul 2013 A	0.4	1,380 per 100 units	2013/07/15	40,000,000	2013/01/14
22562	CC Rabobank B.A.	Ting Yi European Warrants Aug 2013 A	0.25	21	2013/08/05	50,000,000	2013/01/14
22526	Standard Chartered Bank	CC Bank European Wts Nov 2013 B	0.25	8.28 per unit	2013/11/01	65,000,000	2013/01/14
22527	Standard Chartered Bank	Ch Comm Cons Eur Wts Aug 2013 A	0.41	8.88 per unit	2013/08/08	65,000,000	2013/01/14
22528	Standard Chartered Bank	HKEx European Warrants Sep 2013 A	0.4	182.8	2013/09/27	100,000,000	2013/01/14

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22529	Standard Chartered Bank	HSI European Warrants Sep 2013 A	0.222	24,000 per 6,000 units	2013/09/27	60,000,000	2013/01/14
22530	Standard Chartered Bank	HSI European Put Wts Jul 2013 A	0.25	24,400 per 10,000 units	2013/07/30	60,000,000	2013/01/14
89005	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jul13A	0.267	27.28	2013/07/31	70,000,000	2013/01/14
89006	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jul13A	0.672	9.68 per unit	2013/07/31	20,000,000	2013/01/14
22533	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 13 A	0.205	39	2013/09/09	100,000,000	2013/01/14
22540	UBS AG	CSOP A50 ETF European Warrants Jul 2013 B	0.25	14.58 per unit	2013/07/29	100,000,000	2013/01/14
22538	UBS AG	HKEx European Warrants Jul 2013 C	0.38	180	2013/07/15	100,000,000	2013/01/14
22539	UBS AG	ICBC European Warrants Jul 2013 B	0.25	6.38 per unit	2013/07/15	100,000,000	2013/01/14
20540 #	Goldman Sachs SP (Asia)	China Mobile Euro Wts Feb 2013 C	0.099	94.15	2013/02/25	110,000,000	2013/01/14
22576	BOCI Asia Ltd.	Anhui Conch European Warrants Jul 2013 A	0.23	33	2013/07/03	100,000,000	2013/01/15
22578	BOCI Asia Ltd.	China Mobile European Put Wts Jun 2013 A	0.25	78.88	2013/06/10	100,000,000	2013/01/15
22577	BOCI Asia Ltd.	Sinopec Corp European Warrants Aug 2013 A	0.21	10.88 per unit	2013/08/15	100,000,000	2013/01/15
22620	BNP Paribas Arbit Issu B.V.	CHALCO European Wts Oct 2013 A	0.34	4.40 per unit	2013/10/03	33,000,000	2013/01/15
22624	BNP Paribas Arbit Issu B.V.	HKT-SS European Wts Oct 2014 A	0.5	9 per unit	2014/10/03	21,000,000	2013/01/15
22626	BNP Paribas Arbit Issu B.V.	HS H-SHARE Euro Wts Oct 2013 A	0.39	135	2013/10/03	37,000,000	2013/01/15
22625	BNP Paribas Arbit Issu B.V.	Haitong Sec Eu Wts May 2014 A	0.28	14.8	2014/05/05	100,000,000	2013/01/15
22611	Credit Suisse AG	CNBM European Warrants Jul 2013 A	0.46	14.88 per unit	2013/07/15	80,000,000	2013/01/15
22573	Credit Suisse AG	CSOP A50 ETF European Warrants Jul 2013 E	0.25	14.60 per unit	2013/07/15	80,000,000	2013/01/15
22610	Credit Suisse AG	CSOP A50 ETF Euro Put Wts Jun 2013 D	0.18	9.10 per unit	2013/06/17	80,000,000	2013/01/15
22612	Credit Suisse AG	HSI European Warrants Aug 2013 B	0.16	24,000 per 6,000 units	2013/08/29	150,000,000	2013/01/15
22617	Goldman Sachs SP (Asia)	Ch Overseas European Wts Sep 2013 B	0.257	27.5	2013/09/16	80,000,000	2013/01/15
22613	Goldman Sachs SP (Asia)	HSCEI European Warrants Sep 2013 A	0.25	12,288 per 3,600 units	2013/09/27	150,000,000	2013/01/15
22614	Goldman Sachs SP (Asia)	HSCEI European Warrants Dec 2013 A	0.253	12,538 per 4,000 units	2013/12/30	150,000,000	2013/01/15
22616	Goldman Sachs SP (Asia)	HSCEI European Put Wts Sep 2013 B	0.251	11,288 per 4,500 units	2013/09/27	150,000,000	2013/01/15
22590	Macquarie Bank Ltd.	CITIC Bank European Wts Aug 2013 A	0.25	5.30 per unit	2013/08/02	100,000,000	2013/01/15
22593	Macquarie Bank Ltd.	China Overseas European Wts Oct 2013 A	0.25	28.88	2013/10/03	200,000,000	2013/01/15
22592	Macquarie Bank Ltd.	Ping An European Put Wts Aug 2013 B	0.25	60	2013/08/02	200,000,000	2013/01/15
22585	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Apr 2013 A	0.224	137.99	2013/04/15	50,000,000	2013/01/15
22586	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Sep 2013 A	0.152	110.99	2013/09/26	80,000,000	2013/01/15
22587	Merrill Lynch Int'l & Co. C.V.	CSOP A50 ETF Eu Wt Aug2013A	0.76	11.49 per unit	2013/08/19	40,000,000	2013/01/15
22584	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Aug 2013 A	0.224	148	2013/08/29	50,000,000	2013/01/15
22606	CC Rabobank B.A.	Agile Ppt European Warrants Dec 2013 A	0.25	13.8	2013/12/30	120,000,000	2013/01/15
22599	CC Rabobank B.A.	CHALCO European Warrants Jul 2013 A	0.3	4.60 per unit	2013/07/15	40,000,000	2013/01/15
22609	CC Rabobank B.A.	CAM CSI300 European Warrants Jul 2013 A	0.25	35	2013/07/15	150,000,000	2013/01/15
22603	CC Rabobank B.A.	China COSCO European Warrants Jul 2013 A	0.3	5.60 per unit	2013/07/15	40,000,000	2013/01/15
22607	CC Rabobank B.A.	Ch LongYuan European Warrants Jul 2013 A	0.5	6.80 per unit	2013/07/15	50,000,000	2013/01/15
22598	CC Rabobank B.A.	China Railway European Wts Jul 2013 A	0.3	5.51 per unit	2013/07/03	40,000,000	2013/01/15
22602	CC Rabobank B.A.	Chinares Cement European Wts Sep 2013 A	0.6	6 per unit	2013/09/27	40,000,000	2013/01/15
22600	CC Rabobank B.A.	Galaxy Ent European Warrants Jul 2013 A	0.25	36.5	2013/07/15	90,000,000	2013/01/15
22608	CC Rabobank B.A.	Hang Lung Ppt European Wts Aug 2013 A	0.25	32.8	2013/08/26	50,000,000	2013/01/15
22601	CC Rabobank B.A.	Jiangxi Copper European Wts Aug 2013 A	0.15	24.5	2013/08/19	80,000,000	2013/01/15
22605	CC Rabobank B.A.	Shougang Res European Warrants Jul 2013 A	0.3	3.80 per unit	2013/07/22	50,000,000	2013/01/15
22575	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2013 A	0.25	268.88 per 100 units	2013/09/03	100,000,000	2013/01/15

Newly listed derivative warrants *(continued)*

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22589	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Nov2013 B	0.18	16.88	2013/11/27	300,000,000	2013/01/15
22574	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 13 C	0.255	90	2013/08/28	100,000,000	2013/01/15
22588	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jul2013 B	0.255	144.88	2013/07/15	50,000,000	2013/01/15
22594	UBS AG	China Mobile European Warrants Jul 2013 A	0.25	108	2013/07/15	200,000,000	2013/01/15
22580	UBS AG	CPIC European Warrants Aug 2013 A	0.25	36.88	2013/08/19	100,000,000	2013/01/15
22582	UBS AG	A50 China European Warrants Jul 2013 C	0.25	14.88 per unit	2013/07/29	100,000,000	2013/01/15
22583	UBS AG	A50 China European Warrants Jul 2013 D	0.29	13.98 per unit	2013/07/15	100,000,000	2013/01/15
22596	UBS AG	HKEx European Warrants Jul 2013 D	0.73	158.88	2013/07/22	100,000,000	2013/01/15
22597	UBS AG	Stanchart European Warrants Dec 2013 A	0.15	230.20 per 100 units	2013/12/20	100,000,000	2013/01/15
20651 #	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Jun 2013 B	0.107	31.41	2013/06/10	100,000,000	2013/01/15
22635	BOCI Asia Ltd.	CC Bank European Put Warrants Jul 2013 B	0.19	5.38 per unit	2013/07/02	100,000,000	2013/01/16
22636	BOCI Asia Ltd.	China Tel European Warrants Aug 2013 A	0.21	4.90 per unit	2013/08/02	100,000,000	2013/01/16
22634	BOCI Asia Ltd.	Tracker Fund European Warrants Dec 2013 A	0.25	24	2013/12/30	100,000,000	2013/01/16
22633	BOCI Asia Ltd.	Tracker Fund European Put Wts Nov 2013 A	0.25	22.5	2013/11/25	100,000,000	2013/01/16
22645	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Oct 2013 A	0.25	6.10 per unit	2013/10/03	85,000,000	2013/01/16
22644	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Oct 2013 A	0.25	39.8	2013/10/03	100,000,000	2013/01/16
22646	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Oct 2014 A	0.15	7.99	2014/10/03	100,000,000	2013/01/16
22648	BNP Paribas Arbit Issu B.V.	New World Dev Eu Wt Feb 2015 A	0.16	14	2015/02/03	100,000,000	2013/01/16
22647	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Oct 2013 A	0.25	280 per 100 units	2013/10/03	100,000,000	2013/01/16
22662	Credit Suisse AG	New World Dev European Wts Jul 2013 A	0.37	15.28 per unit	2013/07/15	50,000,000	2013/01/16
22629	Daiwa Capital Mkt	A50 China European Put Wts Nov 2013 B	0.25	12.98	2013/11/01	108,000,000	2013/01/16
22650	Daiwa Capital Mkt	Ping An European Put Warrants Jul 2013 B	0.25	60	2013/07/15	108,000,000	2013/01/16
22652	HK Bank	CSOP A50 ETF European Warrants Dec 2015 B	0.21	11.02	2015/12/03	150,000,000	2013/01/16
22628	HK Bank	GCL-Poly Energy European Warrants Oct 2013 A	0.25	2.40 per unit	2013/10/09	60,000,000	2013/01/16
22627	HK Bank	SHK Ppt European Warrants Jul 2013 B	0.25	148	2013/07/29	60,000,000	2013/01/16
22632	J P Morgan SP BV	Dongfeng Motor European Wts Feb 2014 A	0.25	13.88	2014/02/14	200,000,000	2013/01/16
22630	J P Morgan SP BV	Zoomlion European Warrants Dec 2013 A	0.25	12	2013/12/02	200,000,000	2013/01/16
22654	Macquarie Bank Ltd.	BYD European Warrants Aug 2013 A	0.25	28.88	2013/08/02	200,000,000	2013/01/16
22653	Macquarie Bank Ltd.	HSBC European Warrants Sep 2013 A	0.15	93.88	2013/09/03	300,000,000	2013/01/16
22660	Macquarie Bank Ltd.	ICBC European Warrants Aug 2013 A	0.25	6.68 per unit	2013/08/02	120,000,000	2013/01/16
22637	Macquarie Bank Ltd.	Zoomlion European Warrants Apr 2014 A	0.25	13.88	2014/04/02	150,000,000	2013/01/16
22655	CC Rabobank B.A.	CITIC Pacific European Wts Jul 2013 A	0.6	16.20 per unit	2013/07/15	20,000,000	2013/01/16
22656	CC Rabobank B.A.	CNBM European Warrants Jul 2013 B	0.55	15.10 per unit	2013/07/15	20,000,000	2013/01/16
22658	CC Rabobank B.A.	WISE CSI European Warrants Jul 2013 A	0.25	31	2013/07/15	70,000,000	2013/01/16
22657	CC Rabobank B.A.	Haitong Sec European Warrants Sep 2013 A	0.25	15	2013/09/23	120,000,000	2013/01/16
22659	CC Rabobank B.A.	Yanzhou Coal European Warrants Jul 2013 A	0.7	16.40 per unit	2013/07/02	15,000,000	2013/01/16
22649	Standard Chartered Bank	BYD European Warrants Aug 2013 A	0.25	28.88	2013/08/08	100,000,000	2013/01/16
22639	Standard Chartered Bank	CNBM European Warrants Aug 2013 A	0.25	17.28 per unit	2013/08/01	50,000,000	2013/01/16
22640	Standard Chartered Bank	MTRC European Warrants Jan 2015 A	0.25	33.88	2015/01/12	200,000,000	2013/01/16
22651	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul2013 C	0.19	27.88	2013/07/24	200,000,000	2013/01/16
22643	UBS AG	BOC HK European Warrants Aug 2013 A	0.15	25.93	2013/08/27	100,000,000	2013/01/16
22661	UBS AG	Galaxy Ent European Warrants Aug 2013 A	0.25	40.88	2013/08/26	100,000,000	2013/01/16
15127 #	HK Bank	A50 China European Warrants Dec 2014	0.141	11.28	2014/12/30	150,000,000	2013/01/16

Newly listed derivative warrants *(continued)*

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20391 #	HK Bank	CNOOC European Warrants Jun 2013 A	0.057	17.98	2013/06/17	180,000,000	2013/01/16
21126 #	Macquarie Bank Ltd.	AIA European Warrants Jun 2013 A	0.076	33	2013/06/04	150,000,000	2013/01/16
22222 #	UBS AG	CSOP A50 ETF European Warrants Jul 2013 A	0.195	13.38 per unit	2013/07/15	100,000,000	2013/01/16
22668	BOCI Asia Ltd.	CAM CSI300 European Warrants Jul 2013 B	0.23	33.88	2013/07/24	100,000,000	2013/01/17
22666	BOCI Asia Ltd.	CSOP A50 ETFEuropean Warrants Jul 2013 B	0.25	13.38 per unit	2013/07/29	100,000,000	2013/01/17
22669	BOCI Asia Ltd.	HKEx European Warrants May 2013 A	0.4	165.88	2013/05/13	100,000,000	2013/01/17
22679	BNP Paribas Arbit Issu B.V.	BYD European Wts Sep 2013 A	0.27	30	2013/09/03	70,000,000	2013/01/17
22676	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Dec2013 A	0.25	29.3	2013/12/03	100,000,000	2013/01/17
22680	BNP Paribas Arbit Issu B.V.	Chow Tai Fook Eu Wt Mar 2015 A	0.25	15.88	2015/03/03	100,000,000	2013/01/17
22678	BNP Paribas Arbit Issu B.V.	Wharf European Wts Sep 2013 A	0.39	72	2013/09/03	63,000,000	2013/01/17
22677	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts Oct 2013 A	0.29	28	2013/10/03	96,000,000	2013/01/17
22688	Daiwa Capital Mkt	China Mobile European Wts Sep 2013 A	0.15	111.08	2013/09/26	128,000,000	2013/01/17
22689	Daiwa Capital Mkt	Sinopec Corp European Wts Sep 2013 A	0.25	11.20 per unit	2013/09/16	108,000,000	2013/01/17
22705	Goldman Sachs SP (Asia)	Brilliance Chi Euro Wts Feb 2014 A	0.257	11	2014/02/17	100,000,000	2013/01/17
22704	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Jul 2013 C	0.429	10.98 per unit	2013/07/08	80,000,000	2013/01/17
22703	Goldman Sachs SP (Asia)	Lenovo European Wts Jun 2013 B	0.261	9.08 per unit	2013/06/17	80,000,000	2013/01/17
22675	HK Bank	CNOOC European Warrants Aug 2013 A	0.19	16.29	2013/08/05	100,000,000	2013/01/17
22670	HK Bank	Chinares Cement European Warrants Oct 2013 A	0.35	6.18 per unit	2013/10/15	60,000,000	2013/01/17
22671	HK Bank	CSOP A50 ETF European Put Warrants Jan 2015 A	0.15	9.4	2015/01/09	100,000,000	2013/01/17
22665	J P Morgan SP BV	Agricultural Bk Euro Warrants Sep 2013 A	0.25	4.50 per unit	2013/09/02	120,000,000	2013/01/17
22685	Macquarie Bank Ltd.	Minsheng Bank European Wts Jun 2015 A	0.25	10.88	2015/06/02	250,000,000	2013/01/17
22684	Macquarie Bank Ltd.	China Mobile European Wts May 2013 A	0.15	100	2013/05/24	180,000,000	2013/01/17
22686	Macquarie Bank Ltd.	China Mobile European Wts Jul 2013 A	0.334	93	2013/07/03	180,000,000	2013/01/17
22683	Macquarie Bank Ltd.	Evergrande European Wts Aug 2013 A	0.356	6 per unit	2013/08/02	100,000,000	2013/01/17
22687	Macquarie Bank Ltd.	Haitong Sec European Wts Feb 2014 A	0.25	15.38	2014/02/05	180,000,000	2013/01/17
22696	CC Rabobank B.A.	CITIC Sec European Warrants Jul 2013 A	0.25	22.98	2013/07/16	120,000,000	2013/01/17
22699	CC Rabobank B.A.	China Overseas Euro Put Wts Sep 2013 A	0.25	22.5	2013/09/30	80,000,000	2013/01/17
22698	CC Rabobank B.A.	Ch Ship Cont European Warrants Jul 2013 A	0.25	3.28 per unit	2013/07/16	60,000,000	2013/01/17
22697	CC Rabobank B.A.	China Tel European Warrants Jul 2013 A	0.16	4.99 per unit	2013/07/08	70,000,000	2013/01/17
22700	CC Rabobank B.A.	Xinyi Glass European Warrants Jul 2013 A	0.4	6.60 per unit	2013/07/22	30,000,000	2013/01/17
22672	Standard Chartered Bank	CC Bank European Wts Jul 2013 A	0.245	7.12 per unit	2013/07/08	100,000,000	2013/01/17
22674	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2015 A	0.25	10	2015/03/06	200,000,000	2013/01/17
22673	Standard Chartered Bank	Ping An European Wts Oct 2013 A	0.25	88	2013/10/04	100,000,000	2013/01/17
22663	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jul13A	0.18	35	2013/07/29	150,000,000	2013/01/17
22681	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Jul13B	0.64	17.88 per unit	2013/07/31	20,000,000	2013/01/17
22692	UBS AG	Cheung Kong European Warrants Jul 2013 A	0.25	148.88	2013/07/16	100,000,000	2013/01/17
22693	UBS AG	China Mobile European Warrants Jul 2013 B	0.25	100.88	2013/07/22	200,000,000	2013/01/17
22690	UBS AG	New World European Warrants Jul 2013 B	0.56	15.88 per unit	2013/07/16	100,000,000	2013/01/17
22694	UBS AG	SHK Ppt European Warrants Jul 2013 A	0.25	148.88	2013/07/16	100,000,000	2013/01/17
22711	BOCI Asia Ltd.	CPIC European Warrants Jul 2013 A	0.19	35	2013/07/22	100,000,000	2013/01/18
22712	BOCI Asia Ltd.	China Shenhua European Warrants Aug 2013 A	0.19	38	2013/08/05	100,000,000	2013/01/18
22752	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Sep 2013 B	0.28	6 per unit	2013/09/03	41,000,000	2013/01/18
22754	BNP Paribas Arbit Issu B.V.	CR Cement Euro Wts Aug 2013 A	0.36	6.20 per unit	2013/08/02	60,000,000	2013/01/18

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22753	BNP Paribas Arbit Issu B.V.	NCI European Wts Oct 2013 B	0.33	38	2013/10/03	60,000,000	2013/01/18
22750	BNP Paribas Arbit Issu B.V.	PICC European Wts Aug 2014 A	0.25	12.3	2014/08/04	100,000,000	2013/01/18
22751	BNP Paribas Arbit Issu B.V.	Ping An European Wt Aug 2013 A	0.17	86.1	2013/08/02	100,000,000	2013/01/18
22713	Credit Suisse AG	PICC European Warrants Apr 2013 B	0.25	15.52 per unit	2013/04/29	60,000,000	2013/01/18
22731	Bank of East Asia	Lenovo European Warrants Sep 2014 A	0.15	8.01	2014/09/25	80,000,000	2013/01/18
22728	HK Bank	China Mobile European Warrants Jul 2013 A	0.16	105.1	2013/07/16	100,000,000	2013/01/18
22729	HK Bank	Dongfeng Motor European Warrants Mar 2014 A	0.25	14.88	2014/03/03	100,000,000	2013/01/18
22727	HK Bank	Tencent European Put Warrants Jun 2013 A	0.18	246.80 per 100 units	2013/06/13	100,000,000	2013/01/18
22723	J P Morgan SP BV	BYD European Warrants Aug 2013 B	0.25	30	2013/08/01	100,000,000	2013/01/18
22736	J P Morgan SP BV	China Mob European Wts Jun 2013 D	0.15	103.88	2013/06/03	200,000,000	2013/01/18
22722	J P Morgan SP BV	China Railway European Wts Sep 2013 A	0.25	5.68 per unit	2013/09/02	68,000,000	2013/01/18
22721	J P Morgan SP BV	Chinares Cement Euro Warrants Aug 2013 A	0.251	6.28 per unit	2013/08/01	80,000,000	2013/01/18
22717	J P Morgan SP BV	A50 China European Warrants Jul 2013 B	0.477	12.68 per unit	2013/07/17	200,000,000	2013/01/18
22720	J P Morgan SP BV	HKEx European Warrants Jun 2013 B	0.548	168.88	2013/06/25	100,000,000	2013/01/18
22719	J P Morgan SP BV	HKEx European Warrants Sep 2014 A	0.25	150 per 100 units	2014/09/15	500,000,000	2013/01/18
22718	J P Morgan SP BV	Hutchison European Warrants Aug 2013 A	0.25	95	2013/08/01	180,000,000	2013/01/18
22756	J P Morgan SP BV	PICC European Warrants Aug 2013 A	0.707	13.88 per unit	2013/08/01	50,000,000	2013/01/18
22757	J P Morgan SP BV	PICC European Warrants Jul 2014 A	0.25	12.88	2014/07/02	300,000,000	2013/01/18
22716	Macquarie Bank Ltd.	China Railway European Wts Aug 2013 A	0.255	6 per unit	2013/08/02	100,000,000	2013/01/18
22715	Macquarie Bank Ltd.	Yuexiu Property Euro Wts Aug 2013 A	0.25	3.28 per unit	2013/08/02	100,000,000	2013/01/18
22710	Nomura Int'l plc	BOCL European Warrants Oct 2013 A	0.258	3.68 per unit	2013/10/07	50,000,000	2013/01/18
22708	Nomura Int'l plc	CC Bank European Warrants Aug 2013 A	0.25	7 per unit	2013/08/02	60,000,000	2013/01/18
22709	Nomura Int'l plc	CNBM European Warrants Jun 2013 A	0.15	12	2013/06/17	100,000,000	2013/01/18
22707	Nomura Int'l plc	CNOOC European Warrants Nov 2013 A	0.15	16.88	2013/11/26	100,000,000	2013/01/18
22706	Nomura Int'l plc	Hutchison European Warrants Aug 2013 A	0.26	92.5	2013/08/02	50,000,000	2013/01/18
22744	CC Rabobank B.A.	Agricultural Bk Euro Warrants Sep 2013 A	0.18	4.49 per unit	2013/09/16	130,000,000	2013/01/18
22743	CC Rabobank B.A.	BOCL European Warrants Nov 2013 A	0.19	3.93 per unit	2013/11/26	130,000,000	2013/01/18
22745	CC Rabobank B.A.	HKEx European Warrants Jul 2013 A	0.38	178.98	2013/07/08	40,000,000	2013/01/18
22742	CC Rabobank B.A.	ICBC European Warrants Sep 2013 A	0.19	6.69 per unit	2013/09/12	90,000,000	2013/01/18
22741	CC Rabobank B.A.	PICC P&C European Warrants Jun 2013 A	0.7	13.52 per unit	2013/06/28	25,000,000	2013/01/18
22724	Standard Chartered Bank	China COSCO European Wt Nov 2013 A	0.4	6.38 per unit	2013/11/28	50,000,000	2013/01/18
22726	Standard Chartered Bank	CSOP A50 ETF Euro Wts Aug 2013 B	0.25	14.34 per unit	2013/08/20	50,000,000	2013/01/18
22749	Standard Chartered Bank	Ch Ship Cont Euro Wts Sep 2013 A	0.385	3.08 per unit	2013/09/30	50,000,000	2013/01/18
22725	Standard Chartered Bank	A50 China Euro Warrants Jul 2013 B	0.25	14 per unit	2013/07/19	50,000,000	2013/01/18
22737	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul2013 D	0.18	28.5	2013/07/31	200,000,000	2013/01/18
22740	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Jun2013 A	0.155	22.88	2013/06/26	100,000,000	2013/01/18
22747	UBS AG	BYD European Warrants Jul 2013 B	0.25	30.88	2013/07/17	100,000,000	2013/01/18
22758	UBS AG	Li & Fung European Warrants Aug 2013 A	0.15	15.02	2013/08/19	100,000,000	2013/01/18
22748	UBS AG	Wynn Macau European Warrants Jul 2013 A	0.25	26.88	2013/07/17	100,000,000	2013/01/18
20153 #	Standard Chartered Bank	Li & Fung European Wts Aug 2013 A	0.118	12.28	2013/08/20	100,000,000	2013/01/18
22776	BNP Paribas Arbit Issu B.V.	Air China Euro Wts Dec 2015 A	0.25	7	2015/12/02	100,000,000	2013/01/21
22777	BNP Paribas Arbit Issu B.V.	ASM Pacific Eu Wts Nov 2014 A	0.25	102 per 100 units	2014/11/04	89,000,000	2013/01/21
22775	BNP Paribas Arbit Issu B.V.	China Unicom Euro Wt Mar2014 A	0.17	14.82	2014/03/04	100,000,000	2013/01/21

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Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22782	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jun 2014 A	0.15	170.10 per 100 units	2014/06/04	100,000,000	2013/01/21
22774	BNP Paribas Arbit Issu B.V.	ZTE European Wts Dec 2013 A	0.27	16.88	2013/12/03	100,000,000	2013/01/21
22792	Credit Suisse AG	A50 China European Warrants Jul 2013 B	0.25	14.88 per unit	2013/07/22	80,000,000	2013/01/21
22790	Credit Suisse AG	HKEx European Warrants Jun 2013 B	0.48	168.9	2013/06/28	80,000,000	2013/01/21
22791	Credit Suisse AG	Tencent Holdings Euro Put Wts Jun 2013 B	0.2	246.85 per 100 units	2013/06/11	80,000,000	2013/01/21
22769	Daiwa Capital Mkt	A50 China European Warrants May 2013 A	0.44	12.02 per unit	2013/05/10	128,000,000	2013/01/21
22770	Daiwa Capital Mkt	A50 China European Warrants Jul 2013 B	0.25	14.68 per unit	2013/07/22	128,000,000	2013/01/21
22762	HK Bank	China Mobile European Warrants Jul 2013 B	0.35	93.88	2013/07/22	80,000,000	2013/01/21
22761	HK Bank	Yanzhou Coal European Warrants Aug 2014 A	0.25	15.68	2014/08/04	80,000,000	2013/01/21
22771	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Jan 2014 A	0.25	16.88	2014/01/03	150,000,000	2013/01/21
22767	Macquarie Bank Ltd.	Country Garden European Wts Aug 2013 A	0.25	5.50 per unit	2013/08/02	100,000,000	2013/01/21
22765	Macquarie Bank Ltd.	Cheung Kong European Wts Sep 2013 A	0.305	150	2013/09/03	180,000,000	2013/01/21
22763	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Aug 2013 A	0.25	2.68 per unit	2013/08/02	100,000,000	2013/01/21
22768	Macquarie Bank Ltd.	Li & Fung European Warrants Oct 2013 A	0.25	14	2013/10/03	100,000,000	2013/01/21
22766	Macquarie Bank Ltd.	Poly HK Inv European Wts Aug 2013 A	0.25	8 per unit	2013/08/02	100,000,000	2013/01/21
22764	Macquarie Bank Ltd.	SHK Ppt European Warrants Jul 2013 A	0.293	141.88	2013/07/03	100,000,000	2013/01/21
22784	CC Rabobank B.A.	CITIC Bank European Warrants Jul 2013 B	0.25	6 per unit	2013/07/22	60,000,000	2013/01/21
22785	CC Rabobank B.A.	Chinares Cement European Wts Jul 2013 A	0.35	6.38 per unit	2013/07/22	30,000,000	2013/01/21
22783	CC Rabobank B.A.	Sino Land European Warrants Jul 2013 A	0.7	17.50 per unit	2013/07/22	15,000,000	2013/01/21
22772	Standard Chartered Bank	AIA European Warrants Jun 2013 A	0.21	29.9	2013/06/17	100,000,000	2013/01/21
22759	Standard Chartered Bank	CNOOC European Warrants Apr 2013 A	0.163	15.3	2013/04/29	100,000,000	2013/01/21
22773	Standard Chartered Bank	Haitong Sec Euro Wts Nov 2013 A	0.25	16	2013/11/29	100,000,000	2013/01/21
22760	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Jul13 B	0.21	12.88	2013/07/22	100,000,000	2013/01/21
22789	UBS AG	CAM CSI300 European Warrants Aug 2013 A	0.2	36.13	2013/08/05	100,000,000	2013/01/21
22786	UBS AG	CSOP A50 ETF European Put Warrants Jul 2013 C	0.41	9.87 per unit	2013/07/04	100,000,000	2013/01/21
22788	UBS AG	Li & Fung European Warrants Dec 2013 A	0.18	12.52	2013/12/24	100,000,000	2013/01/21
22787	UBS AG	Ping An European Warrants Jul 2013 B	0.2	86.13	2013/07/22	100,000,000	2013/01/21
21613 #	Daiwa Capital Mkt	China Mobile European Wts May 2013 B	0.084	103.88	2013/05/30	400,000,000	2013/01/21
22802	Goldman Sachs SP (Asia)	BOCL European Warrants Mar 2014 A	0.251	4.18 per unit	2014/03/03	80,000,000	2013/01/22
22801	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Oct 2013 A	0.327	150	2013/10/02	80,000,000	2013/01/22
22807	Goldman Sachs SP (Asia)	China Life Euro Wts Oct 2013 A	0.183	30	2013/10/30	100,000,000	2013/01/22
22808	Goldman Sachs SP (Asia)	KECL European Warrants Dec 2014 A	0.25	18.28	2014/12/15	80,000,000	2013/01/22
22803	Goldman Sachs SP (Asia)	Stanchart European Wts Dec 2013 A	0.156	230 per 100 units	2013/12/30	100,000,000	2013/01/22
22796	HK Bank	China COSCO European Warrants Sep 2013 A	0.3	5.38 per unit	2013/09/02	80,000,000	2013/01/22
22795	HK Bank	China Life European Warrants Aug 2013 B	0.2	28.33	2013/08/05	100,000,000	2013/01/22
22798	HK Bank	China Overseas European Put Warrants Aug 2013 B	0.25	22.88	2013/08/19	100,000,000	2013/01/22
22809	HK Bank	CPIC European Warrants Aug 2013 A	0.2	36.93	2013/08/12	100,000,000	2013/01/22
22797	HK Bank	Li & Fung European Warrants Aug 2013 A	0.15	12.9	2013/08/05	100,000,000	2013/01/22
22818	Macquarie Bank Ltd.	A50 China European Warrants Jul 2013 B	0.328	13.60 per unit	2013/07/03	40,000,000	2013/01/22
22817	Macquarie Bank Ltd.	NCI European Warrants Oct 2013 A	0.25	45	2013/10/03	50,000,000	2013/01/22
22814	CC Rabobank B.A.	China EB Ltd European Warrants Nov 2013 A	0.25	17	2013/11/25	100,000,000	2013/01/22
22816	CC Rabobank B.A.	Ch Mobile European Warrants Jul 2013 A	0.18	102.1	2013/07/16	100,000,000	2013/01/22
22824	Standard Chartered Bank	BOC HK European Wts Mar 2014 A	0.25	27.28	2014/03/03	100,000,000	2013/01/22

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22822	Standard Chartered Bank	CITIC Sec European Wts Dec 2013 A	0.25	23.88	2013/12/31	100,000,000	2013/01/22
22799	Standard Chartered Bank	China Mobile Euro Wts Aug 2013 A	0.31	99.28	2013/08/30	100,000,000	2013/01/22
22800	Standard Chartered Bank	China Mobile Eur Put Wt Sep 2013 A	0.5	82.88	2013/09/18	70,000,000	2013/01/22
22821	Standard Chartered Bank	Li & Fung Euro Put Wts Sep 2013 A	0.25	12	2013/09/10	100,000,000	2013/01/22
22812	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Jul 13 A	0.265	4.60 per unit	2013/07/08	50,000,000	2013/01/22
22813	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jul 13B	0.53	11.88 per unit	2013/07/22	30,000,000	2013/01/22
22810	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 13 B	0.15	102	2013/06/10	168,000,000	2013/01/22
22811	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 13 A	0.155	105	2013/07/17	168,000,000	2013/01/22
22819	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul 13 A	0.155	22,200 per 7,200 units	2013/07/30	300,000,000	2013/01/22
22794	UBS AG	BOCL European Warrants Sep 2013 A	0.15	4.01 per unit	2013/09/19	100,000,000	2013/01/22
22823	UBS AG	Esprit European Warrants Aug 2013 A	0.25	11.08	2013/08/19	100,000,000	2013/01/22
15032 #	UBS AG	A50 China European Warrants Oct 2013	0.049	13.04	2013/10/28	300,000,000	2013/01/22
18990 #	CC Rabobank B.A.	ZTE European Warrants Jun 2013 A	0.089	17.2	2013/06/03	120,000,000	2013/01/22
22840	BOCI Asia Ltd.	China Mobile European Warrants Oct 2013 A	0.25	108.8	2013/10/15	100,000,000	2013/01/23
22841	BOCI Asia Ltd.	Ping An European Warrants Jul 2013 A	0.18	82.38	2013/07/29	100,000,000	2013/01/23
22857	Credit Suisse AG	Cheung Kong European Warrants Jun 2013 C	0.31	142.88	2013/06/11	70,000,000	2013/01/23
22850	Credit Suisse AG	Minsheng Bank European Wts Jul 2013 A	0.53	11.38 per unit	2013/07/29	50,000,000	2013/01/23
22848	Credit Suisse AG	HKEx European Warrants Jul 2013 F	0.25	191.88	2013/07/29	80,000,000	2013/01/23
22856	Credit Suisse AG	SHK Ppt European Warrants Jul 2013 A	0.25	149.28	2013/07/24	80,000,000	2013/01/23
22855	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Jun 2013 A	0.2	246.98 per 100 units	2013/06/26	128,000,000	2013/01/23
22843	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Dec 2013 A	0.25	36.88	2013/12/02	80,000,000	2013/01/23
22846	Goldman Sachs SP (Asia)	China Tel European Wts Aug 2013 A	0.251	5.08 per unit	2013/08/05	80,000,000	2013/01/23
22844	Goldman Sachs SP (Asia)	HSBC European Warrants Jul 2013 C	0.19	91.05	2013/07/15	80,000,000	2013/01/23
22845	Goldman Sachs SP (Asia)	HSBC European Put Wts Jul 2013 D	0.455	80	2013/07/29	80,000,000	2013/01/23
22847	Goldman Sachs SP (Asia)	Hutchison European Wts Aug 2013 A	0.253	100	2013/08/02	80,000,000	2013/01/23
22834	HK Bank	BOC HK European Warrants Feb 2014 A	0.25	27.18	2014/02/17	100,000,000	2013/01/23
22835	HK Bank	HKEx European Warrants Jul 2013 B	0.45	173.88	2013/07/23	60,000,000	2013/01/23
22836	Macquarie Bank Ltd.	Ch LongYuan European Wts Aug 2013 A	0.488	7.88 per unit	2013/08/02	100,000,000	2013/01/23
22854	Macquarie Bank Ltd.	CM Bank European Warrants Aug 2014 A	0.25	20	2014/08/04	180,000,000	2013/01/23
22839	Macquarie Bank Ltd.	HKEx European Warrants May 2013 A	0.379	163.88	2013/05/09	150,000,000	2013/01/23
22837	Macquarie Bank Ltd.	HKEx European Warrants Jan 2015 A	0.25	150 per 100 units	2015/01/05	250,000,000	2013/01/23
22853	Macquarie Bank Ltd.	Swrie Pacific A Euro Wts Aug 2013 A	0.25	115	2013/08/02	150,000,000	2013/01/23
22852	Macquarie Bank Ltd.	Wharf European Warrants Aug 2013 A	0.261	72.88	2013/08/02	150,000,000	2013/01/23
22851	Macquarie Bank Ltd.	Zijin Mining European Wts Dec 2013 A	0.25	4 per unit	2013/12/03	100,000,000	2013/01/23
22860	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Jul 2013 A	0.52	138	2013/07/15	40,000,000	2013/01/23
22864	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jul 2013 B	0.41	90.99	2013/07/23	40,000,000	2013/01/23
22862	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Nov 2013 C	0.151	16.9	2013/11/26	70,000,000	2013/01/23
22861	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Feb 2014 A	0.154	17.9	2014/02/10	70,000,000	2013/01/23
22858	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Jul 2013 A	0.255	10.18 per unit	2013/07/03	40,000,000	2013/01/23
22863	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2014 A	0.25	148.99 per 100 units	2014/07/23	40,000,000	2013/01/23
22859	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Jul 2013 A	0.64	129.88	2013/07/09	40,000,000	2013/01/23
22831	Standard Chartered Bank	Galaxy Ent Euro Put Wts Jul 2013 A	0.25	28.88	2013/07/31	100,000,000	2013/01/23
22832	Standard Chartered Bank	Galaxy Ent Euro Put Wts Dec 2013 B	0.25	27	2013/12/27	100,000,000	2013/01/23

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22830	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jul13D	0.24	13.50 per unit	2013/07/08	80,000,000	2013/01/23
22829	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Dec13 A	0.155	12.5	2013/12/30	100,000,000	2013/01/23
22827	UBS AG	CSOP A50 ETF European Warrants Sep 2013 A	0.51	12.88 per unit	2013/09/09	100,000,000	2013/01/23
22828	UBS AG	CSOP A50 ETF European Warrants Sep 2013 B	0.35	13.88 per unit	2013/09/16	100,000,000	2013/01/23
22826	UBS AG	HKEx European Warrants Jul 2013 E	0.58	172.98	2013/07/08	100,000,000	2013/01/23
22825	UBS AG	Hutchison European Warrants Jul 2013 A	0.25	96.43	2013/07/05	100,000,000	2013/01/23
22866	BOCI Asia Ltd.	Tencent Holdings European Wts Jul 2013 B	0.18	278 per 100 units	2013/07/04	100,000,000	2013/01/24
22865	BOCI Asia Ltd.	Tencent Holdings European Put Wts Jul 2013 A	0.2	258 per 100 units	2013/07/05	100,000,000	2013/01/24
22905	Daiwa Capital Mkt	CAM CSI300 European Warrants Aug 2013 A	0.17	36.1	2013/08/05	128,000,000	2013/01/24
22903	Daiwa Capital Mkt	SHK Ppt European Warrants Jun 2013 A	0.39	138	2013/06/25	98,000,000	2013/01/24
22904	Daiwa Capital Mkt	SHK Ppt European Put Warrants Jul 2013 A	0.25	102	2013/07/24	98,000,000	2013/01/24
22886	Goldman Sachs SP (Asia)	BYD European Warrants Nov 2013 A	0.255	32	2013/11/04	80,000,000	2013/01/24
22883	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2013 C	0.343	92.88	2013/07/31	80,000,000	2013/01/24
22884	Goldman Sachs SP (Asia)	CNBM European Warrants Jul 2013 A	0.264	14.88 per unit	2013/07/08	80,000,000	2013/01/24
22881	Goldman Sachs SP (Asia)	A50 China European Wts Jul 2013 B	0.202	13.90 per unit	2013/07/08	80,000,000	2013/01/24
22882	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Dec 2013 A	0.251	16	2013/12/30	80,000,000	2013/01/24
22885	Goldman Sachs SP (Asia)	PICC European Warrants Sep 2013 A	0.835	14 per unit	2013/09/30	80,000,000	2013/01/24
22870	HK Bank	Cheung Kong European Warrants Jul 2013 A	0.25	153.88	2013/07/24	68,000,000	2013/01/24
22878	J P Morgan SP BV	CM Bank European Warrants Jul 2013 A	0.39	22 per unit	2013/07/23	80,000,000	2013/01/24
22876	J P Morgan SP BV	CM Bank European Warrants Jul 2014 A	0.25	20	2014/07/02	300,000,000	2013/01/24
22875	J P Morgan SP BV	Minsheng Bank European Wts Sep 2013 A	0.277	12 per unit	2013/09/09	100,000,000	2013/01/24
22874	J P Morgan SP BV	CSOP A50 ETF European Warrants Jun 2013 D	0.286	12 per unit	2013/06/10	100,000,000	2013/01/24
22872	J P Morgan SP BV	China Unicom European Warrants Mar 2014 A	0.15	15	2014/03/03	200,000,000	2013/01/24
22871	J P Morgan SP BV	SHK Ppt European Warrants Aug 2013 A	0.25	142.88	2013/08/01	100,000,000	2013/01/24
22873	J P Morgan SP BV	SJM Holdings European Warrants Sep 2013 A	0.25	22.88	2013/09/02	120,000,000	2013/01/24
22868	Macquarie Bank Ltd.	Belle Int'l European Wts Jan 2014 A	0.25	21	2014/01/03	150,000,000	2013/01/24
22867	Macquarie Bank Ltd.	Brilliance Chi Euro Wats Apr 2014 B	0.25	12.12	2014/04/02	100,000,000	2013/01/24
22901	Macquarie Bank Ltd.	CQRC Bank European Warrants Jul 2013 A	0.25	5.88 per unit	2013/07/24	80,000,000	2013/01/24
22895	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Oct 2013 A	0.251	13.50 per unit	2013/10/03	50,000,000	2013/01/24
22869	Macquarie Bank Ltd.	Lumena Newmat European Wts Jul 2013 A	0.25	2.28 per unit	2013/07/24	80,000,000	2013/01/24
22894	Macquarie Bank Ltd.	Want Want China European Wts Jan 2014 A	0.15	11.8	2014/01/20	150,000,000	2013/01/24
22899	UBS AG	CAM CSI300 European Put Warrants Jul 2013 B	0.28	30.88	2013/07/29	100,000,000	2013/01/24
22897	UBS AG	CITIC Sec European Warrants Jul 2013 B	0.19	23.05	2013/07/25	100,000,000	2013/01/24
22893	UBS AG	CSOP A50 ETF European Warrants Nov 2015 A	0.25	11.18	2015/11/09	100,000,000	2013/01/24
22898	UBS AG	Evergrande European Warrants Jul 2013 A	0.27	5.61 per unit	2013/07/08	100,000,000	2013/01/24
22888	UBS AG	A50 China European Warrants Aug 2016 A	0.15	15.02	2016/08/01	300,000,000	2013/01/24
22906	UBS AG	HSI European Warrants Dec 2013 B	0.25	25,000 per 7,000 units	2013/12/30	300,000,000	2013/01/24
22900	UBS AG	Ping An European Put Warrants Jul 2013 C	0.222	56.83	2013/07/22	100,000,000	2013/01/24
22887	UBS AG	Tencent Holdings European Put Warrants Jun 2013 D	0.19	257.80 per 100 units	2013/06/26	100,000,000	2013/01/24
22896	UBS AG	Zijin Mining European Warrants Jul 2013 A	0.15	3.69 per unit	2013/07/02	100,000,000	2013/01/24
22922	BOCI Asia Ltd.	Cheung Kong European Warrants Jun 2013 A	0.39	142.88	2013/06/18	100,000,000	2013/01/25
22921	BOCI Asia Ltd.	Li & Fung European Warrants Aug 2013 A	0.16	12.88	2013/08/02	100,000,000	2013/01/25
22954	BNP Paribas Arbit Issu B.V.	Brilliance Chi Eu Wt Aug2014 A	0.25	11.8	2014/08/04	100,000,000	2013/01/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22951	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Aug 2013 A	0.26	11.28 per unit	2013/08/02	100,000,000	2013/01/25
22956	BNP Paribas Arbit Issu B.V.	Chi Res Land Eu Wt Dec 2013 A	0.26	28	2013/12/03	100,000,000	2013/01/25
22950	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Oct 13 A	0.28	35	2013/10/03	100,000,000	2013/01/25
22953	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Aug 2013 B	0.3	75	2013/08/02	100,000,000	2013/01/25
22952	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Oct 2013 A	0.58	80	2013/10/03	96,000,000	2013/01/25
22957	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Sep 2013 B	0.15	46.9	2013/09/03	100,000,000	2013/01/25
22945	BNP Paribas Arbit Issu B.V.	Shimao Ppt Euro Wts Dec 2013 A	0.25	19.88	2013/12/03	100,000,000	2013/01/25
22949	BNP Paribas Arbit Issu B.V.	Weichai Power Eu Wt Nov 2013 A	0.35	42.28	2013/11/04	59,000,000	2013/01/25
22947	BNP Paribas Arbit Issu B.V.	Zhaojin Mining Eu Wt Mar2014 A	0.25	13.2	2014/03/04	100,000,000	2013/01/25
22935	Daiwa Capital Mkt	HSCEI European Warrants Sep 2013 C	0.25	12,500 per 3,500 units	2013/09/27	100,000,000	2013/01/25
22934	Daiwa Capital Mkt	ICBC European Put Warrants Aug 2013 B	0.25	5.10 per unit	2013/08/01	80,000,000	2013/01/25
22930	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Aug 2013 B	0.258	13.48 per unit	2013/08/01	80,000,000	2013/01/25
22931	Goldman Sachs Sp (Asia)	ICBC European Warrants Jul 2013 A	0.217	6.38 per unit	2013/07/30	55,000,000	2013/01/25
22929	HK Bank	CNOOC European Warrants Nov 2013 B	0.15	16.89	2013/11/26	150,000,000	2013/01/25
22928	HK Bank	Tencent European Warrants Jul 2013 D	0.25	266.80 per 100 units	2013/07/29	100,000,000	2013/01/25
22907	J P Morgan SP BV	China Mob European Wts Aug 2013 A	0.251	96.88	2013/08/01	200,000,000	2013/01/25
22932	J P Morgan SP BV	HSI European Warrants Oct 2013 A	0.25	24,600 per 7,000 units	2013/10/30	300,000,000	2013/01/25
22925	Macquarie Bank Ltd.	Ch Oilfield European Wts Dec 2013 A	0.163	18	2013/12/03	150,000,000	2013/01/25
22913	Macquarie Bank Ltd.	Chi Res Land European Wts Aug 2013 A	0.25	27.28	2013/08/02	150,000,000	2013/01/25
22927	Macquarie Bank Ltd.	China Taiping European Wts Nov 2013 A	0.25	18	2013/11/04	150,000,000	2013/01/25
22926	Macquarie Bank Ltd.	Digital China European Wts Jul 2014 A	0.169	16	2014/07/03	150,000,000	2013/01/25
22912	Nomura Int'l plc	CAM CSI300 European Warrants Aug 2013 A	0.15	35	2013/08/02	100,000,000	2013/01/25
22910	Nomura Int'l plc	CSOP A50 ETF European Warrants Aug 2013 A	0.42	12.50 per unit	2013/08/02	35,000,000	2013/01/25
22911	Nomura Int'l plc	CSOP A50 ETF European Put Wts Jan 2015 A	0.15	9.4	2015/01/05	100,000,000	2013/01/25
22908	Nomura Int'l plc	A50 China European Warrants Aug 2013 A	0.39	12.28 per unit	2013/08/02	35,000,000	2013/01/25
22909	Nomura Int'l plc	A50 China European Put Wts Aug 2013 B	0.46	10.50 per unit	2013/08/02	30,000,000	2013/01/25
22942	CC Rabobank B.A.	Evergrande European Warrants Jun 2013 B	0.35	4.89 per unit	2013/06/25	70,000,000	2013/01/25
22936	CC Rabobank B.A.	HKEx European Warrants Jun 2013 A	0.7	160.1	2013/06/25	40,000,000	2013/01/25
22937	CC Rabobank B.A.	HKEx European Warrants Jun 2013 B	0.45	168.98	2013/06/25	40,000,000	2013/01/25
22944	CC Rabobank B.A.	Lumena Newmat European Wts Jul 2013 A	0.25	2.38 per unit	2013/07/24	80,000,000	2013/01/25
22940	CC Rabobank B.A.	SHK Ppt European Warrants Jun 2013 A	0.4	141.98	2013/06/21	40,000,000	2013/01/25
22916	Standard Chartered Bank	China COSCO European Wt Sep 2013 A	0.455	4.99 per unit	2013/09/09	50,000,000	2013/01/25
22917	Standard Chartered Bank	China Mobile Euro Wts Aug 2013 B	0.47	88.88	2013/08/01	100,000,000	2013/01/25
22918	Standard Chartered Bank	HSBC European Warrants Jul 2013 A	0.25	94.88	2013/07/31	100,000,000	2013/01/25
22914	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Aug13 A	0.15	25.88	2013/08/28	100,000,000	2013/01/25
22915	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Mar14 A	0.165	26.88	2014/03/17	100,000,000	2013/01/25
22920	UBS AG	Anhui Conch European Warrants Jun 2013 A	0.15	33.23	2013/06/24	100,000,000	2013/01/25
22939	UBS AG	CC Bank European Warrants Jul 2013 B	0.24	7.39 per unit	2013/07/03	100,000,000	2013/01/25
22938	UBS AG	CC Bank European Warrants Sep 2013 A	0.21	7.89 per unit	2013/09/25	100,000,000	2013/01/25
22923	UBS AG	Sinopec Corp European Put Warrants Sep 2014 A	0.15	8.38	2014/09/23	100,000,000	2013/01/25
22924	UBS AG	PICC European Warrants Jul 2013 A	0.25	18.88 per unit	2013/07/31	100,000,000	2013/01/25
22999	BNP Paribas Arbit Issu B.V.	AIA European Wts Nov 2013 B	0.15	32.9	2013/11/04	100,000,000	2013/01/28
23011	BNP Paribas Arbit Issu B.V.	China COSCO Euro Wt Nov 2013 A	0.28	6.45 per unit	2013/11/04	37,000,000	2013/01/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23010	BNP Paribas Arbit Issu B.V.	China EB Ltd Eu Wt Aug 2014 A	0.25	18.28	2014/08/04	67,000,000	2013/01/28
23009	BNP Paribas Arbit Issu B.V.	Cathay Pacific Eu Wt Jan2014 A	0.18	16.88	2014/01/03	57,000,000	2013/01/28
22997	BNP Paribas Arbit Issu B.V.	China Taiping Eu Wt Dec 2013 A	0.26	18.68	2013/12/03	42,000,000	2013/01/28
22995	BNP Paribas Arbit Issu B.V.	Digital China Eu Wt Dec 2013 A	0.16	14.52	2013/12/02	96,000,000	2013/01/28
22994	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Sep 2013 A	0.29	2.48 per unit	2013/09/03	100,000,000	2013/01/28
23001	BNP Paribas Arbit Issu B.V.	HSBC European Wts Oct 2013 A	0.2	99.9	2013/10/08	100,000,000	2013/01/28
22993	BNP Paribas Arbit Issu B.V.	Lumena Newmat Eu Wt Dec 2013 A	0.34	2.40 per unit	2013/12/03	95,000,000	2013/01/28
22989	BNP Paribas Arbit Issu B.V.	MGM China Euro Wts Jan 2014 A	0.29	19.28	2014/01/03	36,000,000	2013/01/28
23014	BNP Paribas Arbit Issu B.V.	New World Dev Eu Wt Jan 2015 A	0.25	17.02	2015/01/05	100,000,000	2013/01/28
22988	BNP Paribas Arbit Issu B.V.	Samsonite Euro Wts Oct 2013 A	0.25	18.68	2013/10/03	99,000,000	2013/01/28
22990	BNP Paribas Arbit Issu B.V.	Sinopharm Euro Wts Dec 2013 A	0.26	28.88	2013/12/03	43,000,000	2013/01/28
22991	BNP Paribas Arbit Issu B.V.	Sino Land Euro Wt Jul 2014 A	0.25	16.18	2014/07/03	100,000,000	2013/01/28
23013	BNP Paribas Arbit Issu B.V.	SJM Holdings Eu Wts Jan 2014 A	0.25	26	2014/01/03	95,000,000	2013/01/28
23003	Credit Suisse AG	AIA European Warrants Jun 2013 B	0.15	29.9	2013/06/17	80,000,000	2013/01/28
23007	Credit Suisse AG	CNOOC European Warrants Jul 2013 B	0.75	17.58 per unit	2013/07/29	80,000,000	2013/01/28
23005	Credit Suisse AG	HSBC European Wts Jul 2013 B	0.25	95.88	2013/07/29	80,000,000	2013/01/28
22975	HK Bank	ICBC European Warrants Jul 2013 C	0.25	6.48 per unit	2013/07/29	80,000,000	2013/01/28
22959	J P Morgan SP BV	AAC Acoustic European Warrants Oct 2013 A	0.25	33.88	2013/10/02	60,000,000	2013/01/28
22966	J P Morgan SP BV	BOCL European Warrants Sep 2013 A	0.25	4 per unit	2013/09/02	200,000,000	2013/01/28
22963	J P Morgan SP BV	China COSCO European Warrants Aug 2013 A	0.25	5.38 per unit	2013/08/01	68,000,000	2013/01/28
22965	J P Morgan SP BV	CNOOC European Warrants Aug 2013 A	0.269	18.88 per unit	2013/08/01	100,000,000	2013/01/28
22964	J P Morgan SP BV	CNOOC European Warrants Jul 2014 A	0.15	17.2	2014/07/02	200,000,000	2013/01/28
22962	J P Morgan SP BV	CPIC European Warrants Aug 2013 B	0.25	36.88	2013/08/01	200,000,000	2013/01/28
22958	J P Morgan SP BV	Ch Ship Cont European Warrants Sep 2013 A	0.25	3.08 per unit	2013/09/02	88,000,000	2013/01/28
23002	J P Morgan SP BV	Henderson Land Dev European Wt Aug 2013 A	0.25	68.88	2013/08/01	88,000,000	2013/01/28
22961	J P Morgan SP BV	NCI European Warrants Sep 2013 A	0.25	38.88	2013/09/02	100,000,000	2013/01/28
22960	J P Morgan SP BV	Swrie Pacific A European Wts Aug 2013 A	0.25	110	2013/08/01	40,000,000	2013/01/28
22979	Macquarie Bank Ltd.	CSR Times European Warrants Aug 2013 A	0.25	32.88	2013/08/02	150,000,000	2013/01/28
22980	Macquarie Bank Ltd.	R&F Ppt European Warrants Jan 2014 A	0.15	16.9	2014/01/02	150,000,000	2013/01/28
22981	Macquarie Bank Ltd.	Hysan Dev European Warrants Jul 2013 A	0.25	45	2013/07/29	150,000,000	2013/01/28
22982	Macquarie Bank Ltd.	MTRC European Warrants Jan 2014 A	0.15	35	2014/01/03	150,000,000	2013/01/28
22978	Macquarie Bank Ltd.	Prada European Warrants Jul 2015 A	0.25	80 per 100 units	2015/07/02	250,000,000	2013/01/28
22976	Standard Chartered Bank	Agricultural Bk Eur Wts Nov 2013 A	0.25	4.28 per unit	2013/11/05	80,000,000	2013/01/28
22977	Standard Chartered Bank	Ch Ship Cont Euro Wts Nov 2013 A	0.25	3.88 per unit	2013/11/06	80,000,000	2013/01/28
22967	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Sep 2013 A	0.19	4.01 per unit	2013/09/23	150,000,000	2013/01/28
22983	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Jul2013 B	0.25	11 per unit	2013/07/29	50,000,000	2013/01/28
22986	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 13 C	0.32	93	2013/06/26	120,000,000	2013/01/28
22987	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 13 D	0.21	98	2013/06/24	120,000,000	2013/01/28
22969	SGA Societe Generale Acceptance N.V.	CRCH Eu Wt Jul 2013 A	0.27	6.50 per unit	2013/07/29	50,000,000	2013/01/28
22970	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Jun13A	0.245	2.99 per unit	2013/06/24	80,000,000	2013/01/28
22972	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Jul 2013 A	0.205	4.98 per unit	2013/07/15	50,000,000	2013/01/28
22984	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Aug13A	0.15	40.88	2013/08/26	100,000,000	2013/01/28
22985	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jul 2013 A	0.38	20.88 per unit	2013/07/29	30,000,000	2013/01/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
22973	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Jul13A	0.16	42.88	2013/07/15	80,000,000	2013/01/28
22974	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Jul13A	0.17	43	2013/07/29	100,000,000	2013/01/28
23008	UBS AG	KECL European Warrants Aug 2013 A	0.76	19.88 per unit	2013/08/12	100,000,000	2013/01/28
10172	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Wts Dec 2013 B	0.25	1.42 per 1 units	2013/12/12	100,000,000	2013/01/29
10171	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wt Dec 2013 A	0.25	1.24 per 1 units	2013/12/12	100,000,000	2013/01/29
10170	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt Nov 2013 B	0.25	104 per 400 units	2013/11/18	100,000,000	2013/01/29
10169	BNP Paribas Arbit Issu B.V.	Oil Futures Eu Put Wt Nov2013A	0.25	88 per 400 units	2013/11/18	100,000,000	2013/01/29
23098	Credit Suisse AG	Minsheng Bank European Wts Jul 2013 B	0.57	12.88 per unit	2013/07/29	60,000,000	2013/01/29
23095	Credit Suisse	Henderson Land European Warrants Apr 2013 A	0.18	63.9	2013/04/29	60,000,000	2013/01/29
23094	Credit Suisse AG	HSI European Put Warrants Jun 2013 H	0.15	22,900 per 10,000 units	2013/06/27	150,000,000	2013/01/29
23096	Credit Suisse AG	New World Dev European Wts Jul 2013 B	0.63	16.88 per unit	2013/07/29	60,000,000	2013/01/29
23101	Credit Suisse AG	SHK Ppt European Put Warrants Jul 2013 B	0.25	101.88	2013/07/29	60,000,000	2013/01/29
23078	Daiwa Capital Mkt	Hutchison European Warrants Jun 2013 A	0.52	84.98	2013/06/07	128,000,000	2013/01/29
23077	Daiwa Capital Mkt	Hutchison European Warrants Jul 2013 A	0.39	90.68	2013/07/29	128,000,000	2013/01/29
23093	Bank of East Asia	China Coal European Warrants Nov 2013 A	0.32	12.898 per unit	2013/11/04	32,000,000	2013/01/29
23089	Bank of East Asia	China Overseas Euro Warrants Jan 2014 A	0.25	30	2014/01/02	60,000,000	2013/01/29
23086	Bank of East Asia	CPIC European Warrants Dec 2013 A	0.25	36	2013/12/06	50,000,000	2013/01/29
23087	Bank of East Asia	HKEx European Warrants Jan 2015 A	0.25	150 per 100 units	2015/01/21	80,000,000	2013/01/29
23088	Bank of East Asia	PICC European Warrants Nov 2014 A	0.25	12.88	2014/11/25	80,000,000	2013/01/29
23085	Bank of East Asia	Ping An European Warrants Sep 2013 A	0.3	88.88	2013/09/30	35,000,000	2013/01/29
23091	Bank of East Asia	SJM Holdings European Wts Nov 2013 A	0.25	24.88	2013/11/22	60,000,000	2013/01/29
23092	Bank of East Asia	Yanzhou Coal European Wts Sep 2013 B	0.46	20 per unit	2013/09/23	22,000,000	2013/01/29
23058	Goldman Sachs SP (Asia)	CITIC Bank European Wts Sep 2013 A	0.266	6.28 per unit	2013/09/30	80,000,000	2013/01/29
23049	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts May 2015 A	0.195	10.88	2015/05/29	100,000,000	2013/01/29
23054	Goldman Sachs SP (Asia)	Cathay Pacific Euro Wts Dec 2013 A	0.152	16.88	2013/12/19	80,000,000	2013/01/29
23059	Goldman Sachs SP (Asia)	CQRC Bank European Wts Sep 2013 A	0.332	6 per unit	2013/09/30	80,000,000	2013/01/29
23048	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Sep 2013 A	0.254	36	2013/09/02	80,000,000	2013/01/29
23050	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Aug 2013 A	0.251	38.88	2013/08/01	80,000,000	2013/01/29
23053	Goldman Sachs SP (Asia)	Poly HK Inv Euro Wts Nov 2013 A	0.709	7.50 per unit	2013/11/18	80,000,000	2013/01/29
23057	Goldman Sachs SP (Asia)	SJM Holdings Euro Wts Dec 2013 A	0.252	25	2013/12/23	80,000,000	2013/01/29
23071	HK Bank	AIA European Warrants Jun 2013 C	0.15	29.93	2013/06/17	100,000,000	2013/01/29
23070	J P Morgan SP BV	China BlueChem European Wts Aug 2013 A	0.268	7 per unit	2013/08/01	40,000,000	2013/01/29
23065	J P Morgan SP BV	Evergrande European Warrants Jul 2013 A	0.25	5.30 per unit	2013/07/02	100,000,000	2013/01/29
23062	J P Morgan SP BV	HSI European Put Warrants Jul 2013 B	0.25	23,800 per 8,000 units	2013/07/30	300,000,000	2013/01/29
23080	Macquarie Bank Ltd.	CPIC European Warrants Oct 2013 A	0.252	38	2013/10/03	100,000,000	2013/01/29
23072	Macquarie Bank Ltd.	Ch State Con European Wts Jul 2014 A	0.25	12.88	2014/07/03	150,000,000	2013/01/29
23069	Macquarie Bank Ltd.	Longfor PPT European Wts Nov 2013 A	0.177	17.88	2013/11/25	100,000,000	2013/01/29
23068	Macquarie Bank Ltd.	New World Dev European Wts Jan 2015 A	0.25	16	2015/01/05	150,000,000	2013/01/29
23073	Macquarie Bank Ltd.	Sino Land European Warrants Feb 2015 A	0.25	16.88	2015/02/03	150,000,000	2013/01/29
23031	Nomura Int'l plc	ASM Pacific European Warrants Sep 2014 A	0.25	99.88 per 100 units	2014/09/18	50,000,000	2013/01/29
23038	Nomura Int'l plc	AviChina European Warrants Aug 2013 A	0.251	5.08 per unit	2013/08/28	50,000,000	2013/01/29
23029	Nomura Int'l plc	BJ Ent Water European Warrants Nov 2013 A	0.258	2.68 per unit	2013/11/18	50,000,000	2013/01/29
23030	Nomura Int'l plc	China Gas Hold European Wts Dec 2014 A	0.251	7.28	2014/12/18	50,000,000	2013/01/29

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23044	Nomura Int'l plc	CITIC Sec European Warrants Dec 2013 A	0.256	24.88	2013/12/23	50,000,000	2013/01/29
23046	Nomura Int'l plc	CITIC Sec European Put Wts Dec 2013 B	0.256	16.98	2013/12/18	50,000,000	2013/01/29
23033	Nomura Int'l plc	CKI Holdings European Warrants Dec 2013 A	0.251	56.88	2013/12/23	50,000,000	2013/01/29
23017	Nomura Int'l plc	CLP European Warrants Oct 2013 A	0.15	77.05	2013/10/28	80,000,000	2013/01/29
23034	Nomura Int'l plc	CSR European Warrants Dec 2014 A	0.155	7.01	2014/12/18	80,000,000	2013/01/29
23032	Nomura Int'l plc	Datang European Put Warrants Oct 2013 A	0.251	2.68 per unit	2013/10/28	50,000,000	2013/01/29
23040	Nomura Int'l plc	ENN Energy European Warrants Aug 2013 A	0.261	44.88	2013/08/28	50,000,000	2013/01/29
23043	Nomura Int'l plc	FE Horizon European Warrants Dec 2014 A	0.251	7.88	2014/12/18	50,000,000	2013/01/29
23041	Nomura Int'l plc	Golden Eagle European Warrants Dec 2013 A	0.25	23.8	2013/12/23	50,000,000	2013/01/29
23047	Nomura Int'l plc	Haitong Sec European Warrants Dec 2013 A	0.25	16.08	2013/12/18	50,000,000	2013/01/29
23026	Nomura Int'l plc	Jiansu Express European Wts Dec 2014 A	0.25	8.28	2014/12/18	50,000,000	2013/01/29
23025	Nomura Int'l plc	Lumena Newmat European Wts Oct 2013 A	0.25	2.48 per unit	2013/10/18	50,000,000	2013/01/29
23024	Nomura Int'l plc	MTRC European Warrants Jan 2014 A	0.182	32.05	2014/01/20	80,000,000	2013/01/29
23036	Nomura Int'l plc	PICC European Warrants Jun 2014 A	0.192	12.9	2014/06/24	80,000,000	2013/01/29
23018	Nomura Int'l plc	PWR Assets European Warrants Oct 2013 A	0.251	72.88	2013/10/18	50,000,000	2013/01/29
23035	Nomura Int'l plc	Shenzhou Intl European Wts Dec 2013 A	0.254	20.88	2013/12/23	50,000,000	2013/01/29
23102	CC Rabobank B.A.	AIA European Warrants Oct 2013 A	0.15	32.93	2013/10/21	120,000,000	2013/01/29
23106	CC Rabobank B.A.	Belle Int'l European Warrants Nov 2013 A	0.25	21	2013/11/25	120,000,000	2013/01/29
23111	CC Rabobank B.A.	Datang European Warrants Aug 2013 B	0.25	3.68 per unit	2013/08/12	50,000,000	2013/01/29
23110	CC Rabobank B.A.	GAC European Warrants Jul 2013 A	0.5	8.60 per unit	2013/07/29	25,000,000	2013/01/29
23107	CC Rabobank B.A.	R&F Ppt European Warrants Dec 2013 A	0.18	16.9	2013/12/23	100,000,000	2013/01/29
23105	CC Rabobank B.A.	KECL European Warrants Jul 2013 A	0.3	21 per unit	2013/07/29	35,000,000	2013/01/29
23104	CC Rabobank B.A.	Li & Fung European Warrants Jul 2013 B	0.15	12.9	2013/07/22	170,000,000	2013/01/29
23112	CC Rabobank B.A.	MGM China European Warrants Sep 2013 B	0.25	20	2013/09/23	90,000,000	2013/01/29
23109	CC Rabobank B.A.	Tsingtao Brew European Wts Jul 2013 A	0.25	53	2013/07/29	40,000,000	2013/01/29
23103	CC Rabobank B.A.	Tencent Holdings European Wts Jul 2013 C	0.15	300.20 per 100 units	2013/07/26	180,000,000	2013/01/29
23076	Standard Chartered Bank	China Life Euro Put Wts Oct 2013 A	0.3	26.28	2013/10/04	100,000,000	2013/01/29
23075	Standard Chartered Bank	HSBC European Put Wts Nov 2013 B	0.45	77.88	2013/11/01	100,000,000	2013/01/29
23074	Standard Chartered Bank	SHK P European Put Wts Feb 2014 A	0.3	100	2014/02/07	80,000,000	2013/01/29
23061	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2013 C	0.19	7.39 per unit	2013/07/08	120,000,000	2013/01/29
23084	UBS AG	AIA European Warrants Jul 2013 A	0.15	30.93	2013/07/25	100,000,000	2013/01/29
23082	UBS AG	CITIC Bank European Warrants Jul 2013 B	0.25	6.38 per unit	2013/07/29	100,000,000	2013/01/29
23081	UBS AG	Minsheng Bank European Warrants Jul 2013 A	0.68	12.38 per unit	2013/07/29	100,000,000	2013/01/29
23083	UBS AG	GCL-Poly Energy European Warrants Jul 2013 A	0.23	2.68 per unit	2013/07/26	100,000,000	2013/01/29
23015	UBS AG	Haitong Sec European Warrants Sep 2013 A	0.2	15.02	2013/09/24	100,000,000	2013/01/29
21672 #	UBS AG	China Mobile European Warrants Jun 2013 B	0.127	96.93	2013/06/10	100,000,000	2013/01/29
23146	Goldman Sachs SP (Asia)	BOC HK European Wts Sep 2014 A	0.25	30	2014/09/30	80,000,000	2013/01/30
23126	Goldman Sachs SP (Asia)	CITIC Sec European Wts Sep 2013 A	0.256	24	2013/09/23	100,000,000	2013/01/30
23125	Goldman Sachs SP (Asia)	HKEx European Warrants May 2014 A	0.151	170 per 100 units	2014/05/22	120,000,000	2013/01/30
23120	HK Bank	AIA European Warrants Mar 2014 B	0.16	38.05	2014/03/17	150,000,000	2013/01/30
23119	HK Bank	Minsheng Bank European Warrants Jul 2013 D	0.44	12.38 per unit	2013/07/29	60,000,000	2013/01/30
23121	HK Bank	CNOOC European Warrants Jun 2014 A	0.16	18.9	2014/06/13	200,000,000	2013/01/30
23141	J P Morgan SP BV	Minsheng Bank European Put Wts Dec 2014 A	0.25	9	2014/12/31	200,000,000	2013/01/30

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23128	J P Morgan SP BV	Tencent European Warrants Aug 2013 A	0.15	312 per 100 units	2013/08/01	200,000,000	2013/01/30
23132	J P Morgan SP BV	Tencent European Put Warrants Sep 2013 A	0.25	250 per 100 units	2013/09/02	200,000,000	2013/01/30
23124	Macquarie Bank Ltd.	Ch Merchants European Wts Jul 2013 A	0.25	31	2013/07/30	120,000,000	2013/01/30
23129	Macquarie Bank Ltd.	GAC European Wts Jun 2015 A	0.25	7.58	2015/06/02	120,000,000	2013/01/30
23122	Macquarie Bank Ltd.	Greatwall Motor European Wts Aug 2013 A	0.25	35.88	2013/08/02	120,000,000	2013/01/30
23133	Macquarie Bank Ltd.	Ping An European Warrants Oct 2013 A	0.25	90	2013/10/03	70,000,000	2013/01/30
23123	Macquarie Bank Ltd.	Sh Ind H European Warrants Feb 2014 A	0.25	32	2014/02/05	120,000,000	2013/01/30
23134	Macquarie Bank Ltd.	SJM Holdings European Wts Apr 2014 A	0.25	28	2014/04/02	150,000,000	2013/01/30
23138	CC Rabobank B.A.	Ch Mobile European Warrants Jun 2013 D	0.15	100.1	2013/06/24	100,000,000	2013/01/30
23140	CC Rabobank B.A.	China Railway European Wts Jul 2013 B	0.25	5.10 per unit	2013/07/29	40,000,000	2013/01/30
23139	CC Rabobank B.A.	SHK Ppt European Put Warrants Jul 2013 A	0.3	110	2013/07/29	40,000,000	2013/01/30
23116	Standard Chartered Bank	Minsheng Bank Euro Wts Nov 2013 A	0.4	12.88 per unit	2013/11/08	50,000,000	2013/01/30
23115	Standard Chartered Bank	Minsheng Bank Euro Wts May 2015 A	0.213	10.88	2015/05/26	100,000,000	2013/01/30
23136	Standard Chartered Bank	China Mobile Euro Wts Jun 2013 B	0.27	93.02	2013/06/26	100,000,000	2013/01/30
23135	Standard Chartered Bank	China Mobile Euro Wts Jul 2013 B	0.188	100.88	2013/07/15	100,000,000	2013/01/30
23117	Standard Chartered Bank	KECL European Warrants Nov 2014 A	0.25	18.38	2014/11/10	100,000,000	2013/01/30
23130	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul13B	0.25	5.38 per unit	2013/07/31	50,000,000	2013/01/30
23131	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2013 C	0.25	100	2013/07/31	100,000,000	2013/01/30
23118	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2013 C	0.165	6.59 per unit	2013/07/10	120,000,000	2013/01/30
10173	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Jul13 A	0.255	89.50 per 1 units	2013/07/31	50,000,000	2013/01/30
10174	SGA Societe Generale Acceptance N.V	USD/YEN Eu Put Wt Jul13B	0.255	87.50 per 1 units	2013/07/31	50,000,000	2013/01/30
23142	UBS AG	Agricultural Bank European Warrants Sep 2013 B	0.25	4.58 per unit	2013/09/09	100,000,000	2013/01/30
23145	UBS AG	China Life European Warrants Aug 2013 A	0.16	28.33	2013/08/12	300,000,000	2013/01/30
23143	UBS AG	HSBC European Put Warrants Jul 2013 A	0.27	74.88	2013/07/30	100,000,000	2013/01/30
20845 #	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jun 2013 A	0.059	101.99	2013/06/10	170,000,000	2013/01/30
20846 #	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt May 2013 B	0.082	96.99	2013/05/27	130,000,000	2013/01/30
23149	BOCI Asia Ltd.	Ping An European Put Warrants Jul 2013 B	0.18	56.88	2013/07/29	100,000,000	2013/01/31
23165	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Jan14A	0.25	4.92 per unit	2014/01/03	100,000,000	2013/01/31
23166	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Nov 2013 A	0.25	105	2013/11/04	98,000,000	2013/01/31
23168	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wt Aug2014 A	0.25	26	2014/08/04	100,000,000	2013/01/31
23170	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt Mar 14 A	0.25	16.98	2014/03/04	95,000,000	2013/01/31
23167	BNP Paribas Arbit Issu B.V.	Yuexiu Property Eu Wt Dec2013A	0.27	3.68 per unit	2013/12/03	50,000,000	2013/01/31
23171	Credit Suisse AG	BOC HK European Warrants Jul 2013 A	0.99	28.28 per unit	2013/07/31	28,000,000	2013/01/31
23172	Credit Suisse AG	CC Bank European Warrants Jul 2013 C	0.22	7.42 per unit	2013/07/22	60,000,000	2013/01/31
23173	Credit Suisse AG	CC Bank European Put Warrants Jul 2013 D	0.25	5.68 per unit	2013/07/31	60,000,000	2013/01/31
23174	Credit Suisse AG	HSBC European Put Warrants Jun 2013 C	0.15	70	2013/06/28	70,000,000	2013/01/31
23153	HK Bank	AIA European Warrants May 2013 A	0.15	30.93	2013/05/28	150,000,000	2013/01/31
23154	HK Bank	CM Bank European Warrants Jul 2014 A	0.25	20.88	2014/07/08	120,000,000	2013/01/31
23158	HK Bank	Minsheng Bank European Warrants Jan 2015 A	0.25	10.98	2015/01/26	150,000,000	2013/01/31
23148	J P Morgan SP BV	HS Bank European Warrants Aug 2013 A	0.25	132.88	2013/08/01	40,000,000	2013/01/31
23155	Macquarie Bank Ltd.	COSCO Pacific European Wts Feb 2014 A	0.25	15	2014/02/05	150,000,000	2013/01/31
23152	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Dec 2013 A	0.25	15	2013/12/03	150,000,000	2013/01/31
23151	Macquarie Bank Ltd.	Shougang Res European Wts Jul 2013 A	0.25	4 per unit	2013/07/31	80,000,000	2013/01/31

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23157	Macquarie Bank Ltd.	Wynn Macau European Wts Oct 2013 A	0.164	28.05	2013/10/03	150,000,000	2013/01/31
23183	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Jul 2013 A	0.194	30.93	2013/07/25	60,000,000	2013/01/31
23179	Merrill Lynch Int'l & Co. C.V.	CAM CSI300 Eu Wt Jul 2013 A	0.193	33.73	2013/07/08	60,000,000	2013/01/31
23180	Merrill Lynch Int'l & Co. C.V.	CSOP A50 ETF Eu Wt Jul 13 B	0.42	12.30 per unit	2013/07/25	40,000,000	2013/01/31
23177	Merrill Lynch Int'l & Co. C.V.	HS Bank Euro Wts Aug 2013 A	0.265	135.01	2013/08/05	40,000,000	2013/01/31
23175	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Oct 2013 A	0.25	12,350 per 3,800 units	2013/10/30	100,000,000	2013/01/31
23176	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Nov 2013 A	0.25	12,650 per 3,800 units	2013/11/28	100,000,000	2013/01/31
23182	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jul 2013 C	0.158	6.59 per unit	2013/07/09	70,000,000	2013/01/31
23184	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Aug 2013 A	0.355	12.81 per unit	2013/08/12	40,000,000	2013/01/31
23181	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jul 2013 C	0.28	80.05	2013/07/30	40,000,000	2013/01/31
23185	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Jun2013 A	0.15	246.68 per 100 units	2013/06/17	80,000,000	2013/01/31
23150	Standard Chartered Bank	Geely Auto European Wts Nov 2013 A	0.3	5.55 per unit	2013/11/01	50,000,000	2013/01/31
23160	UBS AG	China Mobile European Warrants Jul 2013 C	0.25	97.68	2013/07/30	200,000,000	2013/01/31
23162	UBS AG	Lenovo European Warrants Jul 2013 A	0.35	10.38 per unit	2013/07/30	100,000,000	2013/01/31
23159	UBS AG	Link REIT European Warrants Sep 2013 A	0.25	43.88	2013/09/27	100,000,000	2013/01/31
23189	BOCI Asia Ltd.	China Mobile European Warrants Jul 2013 B	0.29	90.99	2013/07/16	100,000,000	2013/02/01
23209	BNP Paribas Arbit Issu B.V.	BOCL European Wts Oct 2013 B	0.15	4.01 per unit	2013/10/03	100,000,000	2013/02/01
23211	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Sep 2013 A	0.15	99.3	2013/09/03	200,000,000	2013/02/01
23213	BNP Paribas Arbit Issu B.V.	Foxconn Euro Wts Aug 2013 A	0.27	4 per unit	2013/08/02	42,000,000	2013/02/01
23214	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Aug 2013 A	0.26	13.88 per unit	2013/08/02	100,000,000	2013/02/01
23207	BNP Paribas Arbit Issu B.V.	R&F Ppt Euro Wts Jan 2014 A	0.15	16.9	2014/01/03	100,000,000	2013/02/01
23202	Daiwa Capital Mkt	China Mobile European Wts Jun 2013 C	0.15	92.48	2013/06/21	138,000,000	2013/02/01
23204	Daiwa Capital Mkt	China Mobile European Wts Jun 2013 D	0.2	88.9	2013/06/03	138,000,000	2013/02/01
23199	HK Bank	China Mobile European Warrants Jun 2013 D	0.28	89.93	2013/06/24	100,000,000	2013/02/01
23200	HK Bank	China Mobile European Warrants Aug 2013 A	0.46	84.88	2013/08/01	80,000,000	2013/02/01
23198	HK Bank	China Tel European Warrants Aug 2013 A	0.25	4.50 per unit	2013/08/05	80,000,000	2013/02/01
23201	HK Bank	China Unicom European Warrants Nov 2013 A	0.15	14.02	2013/11/27	100,000,000	2013/02/01
23192	J P Morgan SP BV	China Mob European Wts Aug 2013 B	0.3	90	2013/08/01	200,000,000	2013/02/01
23191	J P Morgan SP BV	Huaneng Power European Wts Aug 2013 A	0.25	8.50 per unit	2013/08/01	50,000,000	2013/02/01
23190	J P Morgan SP BV	Lifestyle Int European Wts Nov 2013 A	0.25	23.88	2013/11/01	50,000,000	2013/02/01
23193	Macquarie Bank Ltd.	Air China European Warrants Jun 2015 A	0.25	7.58	2015/06/02	150,000,000	2013/02/01
23216	Macquarie Bank Ltd.	Galaxy Ent European Wts Oct 2013 A	0.251	45	2013/10/03	100,000,000	2013/02/01
23195	Macquarie Bank Ltd.	Gome European Warrants Oct 2013 A	0.15	1.21 per unit	2013/10/03	200,000,000	2013/02/01
23194	Macquarie Bank Ltd.	Haier Elec European Wts Mar 2014 A	0.25	15	2014/03/04	120,000,000	2013/02/01
23218	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jul 2013 C	0.33	88.93	2013/07/25	40,000,000	2013/02/01
23219	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Aug 2013 A	0.48	85.01	2013/08/05	40,000,000	2013/02/01
23221	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jun 2013 A	0.53	11.90 per unit	2013/06/24	40,000,000	2013/02/01
23197	Standard Chartered Bank	A50 China Euro Put Wts Oct 2013 A	0.225	12.96	2013/10/25	200,000,000	2013/02/01
23196	Standard Chartered Bank	A50 China Euro Put Wts Nov 2014 B	0.25	12.08	2014/11/28	200,000,000	2013/02/01
23205	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May13C	0.22	88.88	2013/05/29	150,000,000	2013/02/01
23188	UBS AG	CAM CSI300 European Warrants Aug 2013 B	0.26	34.18	2013/08/19	100,000,000	2013/02/01
23187	UBS AG	BOC HK European Warrants Feb 2014 A	0.2	27.33	2014/02/24	100,000,000	2013/02/01
23186	UBS AG	CC Bank European Put Warrants Jul 2014 A	0.15	6.87	2014/07/02	100,000,000	2013/02/01

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23215	UBS AG	HKEx European Put Warrants Jul 2013 F	0.22	115.78	2013/07/08	100,000,000	2013/02/01
22386 #	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jun 2013 B	0.213	6.01 per unit	2013/06/26	50,000,000	2013/02/01
23242	Daiwa Capital Mkt	HSBC European Put Warrants Aug 2013 A	0.25	74	2013/08/05	128,000,000	2013/02/04
23230	Goldman Sachs SP (Asia)	CM Bank European Wts Jun 2014 A	0.177	20	2014/06/24	80,000,000	2013/02/04
23236	Goldman Sachs SP (Asia)	CSR European Warrants Dec 2014 A	0.157	6.99	2014/12/22	80,000,000	2013/02/04
23231	Goldman Sachs SP (Asia)	Hutchison European Wts Aug 2013 B	0.301	96	2013/08/05	80,000,000	2013/02/04
23232	Goldman Sachs SP (Asia)	Li & Fung European Wts Feb 2014 A	0.153	12.36	2014/02/25	80,000,000	2013/02/04
23235	Goldman Sachs SP (Asia)	Mongol Mining Euro Wt Dec 2013 A	0.39	4.88 per unit	2013/12/23	50,000,000	2013/02/04
23228	HK Bank	CITIC Sec European Warrants Jul 2013 A	0.18	23.05	2013/07/29	100,000,000	2013/02/04
23227	HK Bank	China Mobile European Put Warrants Aug 2013 B	0.25	73	2013/08/05	80,000,000	2013/02/04
23225	HK Bank	HSCEI European Warrants Sep 2013 C	0.15	12,650 per 4,200 units	2013/09/27	150,000,000	2013/02/04
23226	HK Bank	HSCEI European Put Warrants Sep 2013 D	0.15	11,233 per 4,500 units	2013/09/27	150,000,000	2013/02/04
23247	J P Morgan SP BV	Lumena Newmat European Wts Oct 2013 A	0.25	2.50 per unit	2013/10/02	100,000,000	2013/02/04
23229	Macquarie Bank Ltd.	ASM Pacific European Wts Sep 2014 A	0.25	108 per 100 units	2014/09/02	250,000,000	2013/02/04
23238	Macquarie Bank Ltd.	CITIC Bank European Wts Oct 2013 A	0.25	6.88 per unit	2013/10/03	80,000,000	2013/02/04
23245	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jul 2013 C	0.154	28.05	2013/07/23	70,000,000	2013/02/04
23243	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Oct 2013 A	0.158	30.05	2013/10/28	70,000,000	2013/02/04
23246	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jul 2013 D	0.195	95.93	2013/07/23	60,000,000	2013/02/04
23237	CC Rabobank B.A.	Ch Mobile European Warrants Jun 2013 E	0.16	93.05	2013/06/26	70,000,000	2013/02/04
23240	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jul2013 A	0.26	19.10 per unit	2013/07/03	50,000,000	2013/02/04
23239	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jul 2013 B	0.225	94.88	2013/07/24	150,000,000	2013/02/04
23224	UBS AG	China Life European Warrants Oct 2013 A	0.15	30.03	2013/10/21	300,000,000	2013/02/04
23241	UBS AG	ZTE European Warrants Nov 2013 A	0.25	16.88	2013/11/18	100,000,000	2013/02/04
18068 #	Goldman Sachs SP (Asia)	CNOOC European Warrants Jun 2013 A	0.028	18	2013/06/10	360,000,000	2013/02/04
23257	Credit Suisse AG	CITIC Bank European Warrants Aug 2013 A	0.25	6.48 per unit	2013/08/05	50,000,000	2013/02/05
23262	Credit Suisse AG	A50 China European Put Wts Aug 2013 A	0.32	10.08 per unit	2013/08/05	50,000,000	2013/02/05
23259	Credit Suisse AG	HSBC European Wts Aug 2013 A	0.25	99.18	2013/08/05	80,000,000	2013/02/05
23258	Credit Suisse AG	Lenovo European Warrants Aug 2013 A	0.37	10.88 per unit	2013/08/05	50,000,000	2013/02/05
23255	Daiwa Capital Mkt	BOC HK European Warrants Feb 2014 A	0.19	27.2	2014/02/10	128,000,000	2013/02/05
23254	Daiwa Capital Mkt	China Life European Warrants Aug 2013 B	0.33	23.48	2013/08/05	138,000,000	2013/02/05
23253	Goldman Sachs SP (Asia)	AIA European Warrants Dec 2013 A	0.154	36	2013/12/31	100,000,000	2013/02/05
23249	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Jul 2013 A	0.157	34.88	2013/07/08	80,000,000	2013/02/05
23250	Goldman Sachs SP (Asia)	Ch Overseas Euro Put Wt Aug 2013 A	0.172	22.88	2013/08/12	80,000,000	2013/02/05
23252	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Dec 2014 A	0.15	10.5	2014/12/01	100,000,000	2013/02/05
23251	Goldman Sachs SP (Asia)	Wharf European Warrants Aug 2013 A	0.266	80	2013/08/05	80,000,000	2013/02/05
23273	CC Rabobank B.A.	China Agri European Warrants Aug 2013 A	0.33	5.80 per unit	2013/08/12	35,000,000	2013/02/05
23271	CC Rabobank B.A.	China Coal European Warrants Aug 2013 A	0.5	9.30 per unit	2013/08/05	35,000,000	2013/02/05
23276	CC Rabobank B.A.	Minsheng Bank European Wts Jul 2013 A	0.5	12.40 per unit	2013/07/22	25,000,000	2013/02/05
23269	CC Rabobank B.A.	China Shenhua European Wts Jun 2013 A	0.15	35.05	2013/06/24	90,000,000	2013/02/05
23275	CC Rabobank B.A.	CSR European Warrants Aug 2013 A	0.5	7.28 per unit	2013/08/05	35,000,000	2013/02/05
23268	CC Rabobank B.A.	Galaxy Ent European Warrants Aug 2013 A	0.25	39.2	2013/08/05	90,000,000	2013/02/05
23274	CC Rabobank B.A.	Greatwall Motor European Wts Aug 2013 A	0.25	36	2013/08/05	50,000,000	2013/02/05
23272	CC Rabobank B.A.	HSBC European Warrants Jul 2013 A	0.22	95.93	2013/07/22	60,000,000	2013/02/05

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23277	CC Rabobank B.A.	New World Dev European Wts Jul 2013 A	0.6	16.90 per unit	2013/07/22	25,000,000	2013/02/05
23267	CC Rabobank B.A.	Sands China European Warrants Jul 2013 B	0.17	43.05	2013/07/29	80,000,000	2013/02/05
23263	UBS AG	China COSCO European Warrants Aug 2013 A	0.32	4.88 per unit	2013/08/08	40,000,000	2013/02/05
23264	UBS AG	Chinares Cement European Warrants Sep 2013 A	0.48	6.08 per unit	2013/09/23	30,000,000	2013/02/05
23265	UBS AG	HS Bank European Warrants Sep 2013 A	0.25	138.88	2013/09/09	40,000,000	2013/02/05
23266	UBS AG	ICBC European Warrants Aug 2013 A	0.29	6.08 per unit	2013/08/08	100,000,000	2013/02/05
12830 #	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt May 2013	0.037	86.99 per 100 units	2013/05/13	280,000,000	2013/02/05
21809 #	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2013 B	0.049	22,300 per 12,000 units	2013/06/27	210,000,000	2013/02/05
23308	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Oct 2013 A	0.32	7.48 per unit	2013/10/03	55,000,000	2013/02/06
23310	BNP Paribas Arbit Issu B.V.	CM Bank Euro Warrants Aug 2014 A	0.25	21	2014/08/04	100,000,000	2013/02/06
23313	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt Oct 2015 A	0.25	11.8	2015/10/05	64,000,000	2013/02/06
23314	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jan 2014 B	0.26	7 per unit	2014/01/03	100,000,000	2013/02/06
23311	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Jun 2013 A	0.24	9.91 per unit	2013/06/06	69,000,000	2013/02/06
23317	Credit Suisse AG	HSBC European Put Warrants Jul 2013 C	0.23	80	2013/07/22	60,000,000	2013/02/06
23316	Credit Suisse AG	PetroChina European Warrants Jun 2013 A	0.15	13.10 per unit	2013/06/17	70,000,000	2013/02/06
23293	Daiwa Capital Mkt	China Life European Put Wts Aug 2013 C	0.25	26	2013/08/05	98,000,000	2013/02/06
23292	Daiwa Capital Mkt	China Mobile European Put Wts Jun 2013 E	0.15	74.98	2013/06/18	108,000,000	2013/02/06
23305	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Sep 2013 A	0.537	13.28 per unit	2013/09/30	80,000,000	2013/02/06
23307	Goldman Sachs SP (Asia)	Lenovo European Wts Sep 2013 A	0.392	10 per unit	2013/09/30	80,000,000	2013/02/06
23278	HK Bank	BYD European Warrants Aug 2013 A	0.25	31.28	2013/08/26	80,000,000	2013/02/06
23289	HK Bank	CITIC Bank European Warrants Aug 2013 A	0.25	6.08 per unit	2013/08/12	80,000,000	2013/02/06
23279	HK Bank	Minsheng Bank European Warrants Aug 2013 A	0.5	13.38 per unit	2013/08/06	68,000,000	2013/02/06
23285	HK Bank	HSI European Warrants Aug 2013 C	0.25	22,500 per 7,500 units	2013/08/29	180,000,000	2013/02/06
23283	HK Bank	HSI European Put Warrants Aug 2013 B	0.25	24,600 per 8,000 units	2013/08/29	180,000,000	2013/02/06
23294	J P Morgan SP BV	Ch Merchants European Warrants Oct 2013 A	0.25	32	2013/10/09	100,000,000	2013/02/06
23298	Macquarie Bank Ltd.	Bank of EA European Wts Nov 2013 A	0.25	33.38	2013/11/04	150,000,000	2013/02/06
23299	Macquarie Bank Ltd.	BankComm European Warrants Aug 2013 A	0.25	7.88 per unit	2013/08/06	80,000,000	2013/02/06
23302	Macquarie Bank Ltd.	Minsheng Bank European Wts Aug 2013 A	0.38	13.88 per unit	2013/08/06	80,000,000	2013/02/06
23300	Macquarie Bank Ltd.	CRCC European Warrants Aug 2013 A	0.25	10 per unit	2013/08/06	100,000,000	2013/02/06
23290	Macquarie Bank Ltd.	Lenovo European Warrants Sep 2013 A	0.25	10.88 per unit	2013/09/03	100,000,000	2013/02/06
23319	CC Rabobank B.A.	ICBC European Warrants Jul 2013 A	0.15	6.39 per unit	2013/07/08	100,000,000	2013/02/06
23322	CC Rabobank B.A.	Lenovo European Warrants Jun 2013 B	0.25	9.91 per unit	2013/06/07	50,000,000	2013/02/06
23320	CC Rabobank B.A.	Yuexiu Property Euro Warrants Jul 2013 A	0.21	3.29 per unit	2013/07/29	100,000,000	2013/02/06
23324	UBS AG	CAM CSI300 European Warrants Sep 2013 C	0.25	37.38	2013/09/09	100,000,000	2013/02/06
23331	UBS AG	China Life European Warrants Aug 2013 B	0.25	26.88	2013/08/19	200,000,000	2013/02/06
23326	UBS AG	Sinopec Corp European Warrants Jul 2013 A	0.4	10.02 per unit	2013/07/03	100,000,000	2013/02/06
23330	UBS AG	A50 China European Warrants Aug 2013 A	0.25	13.28 per unit	2013/08/19	50,000,000	2013/02/06
23333	UBS AG	HKEx European Warrants Dec 2014 A	0.25	155.88 per 100 units	2014/12/15	300,000,000	2013/02/06
23325	UBS AG	HSBC European Warrants Jul 2013 B	0.2	95.93	2013/07/22	100,000,000	2013/02/06
23328	UBS AG	HSI European Warrants Oct 2013 A	0.2	24,700 per 7,000 units	2013/10/30	300,000,000	2013/02/06
23329	UBS AG	HSI European Put Warrants Jul 2013 B	0.25	24,000 per 7,500 units	2013/07/30	300,000,000	2013/02/06
23323	UBS AG	ICBC European Warrants Sep 2013 A	0.17	6.69 per unit	2013/09/12	100,000,000	2013/02/06
23327	UBS AG	Lenovo European Warrants Sep 2014 A	0.165	8.01	2014/09/25	100,000,000	2013/02/06

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20841 #	Merrill Lynch Int'l & Co. C.V.	A50 China Eu Put Wt May13 A	0.057	11.86	2013/05/14	180,000,000	2013/02/06
23356	BOCI Asia Ltd.	HSI European Warrants Oct 2013 A	0.25	24,300 per 6,000 units	2013/10/30	100,000,000	2013/02/07
23352	BOCI Asia Ltd.	HSI European Put Warrants Jul 2013 D	0.22	23,800 per 8,000 units	2013/07/30	100,000,000	2013/02/07
23366	Credit Suisse AG	Minsheng Bank European Wts Aug 2013 A	0.41	13.98 per unit	2013/08/09	60,000,000	2013/02/07
23365	Credit Suisse AG	HKEx European Warrants Jun 2013 C	0.6	160	2013/06/28	60,000,000	2013/02/07
23359	Daiwa Capital Mkt	BOCL European Put Warrants Sep 2013 B	0.25	3.30 per unit	2013/09/30	80,000,000	2013/02/07
23358	Daiwa Capital Mkt	China Life European Warrants Oct 2013 A	0.15	30	2013/10/21	138,000,000	2013/02/07
23338	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2013 B	0.153	24,600 per 7,000 units	2013/10/30	150,000,000	2013/02/07
23336	Goldman Sachs SP (Asia)	HSI European Put Wts Jun 2013 D	0.153	23,500 per 8,000 units	2013/06/27	150,000,000	2013/02/07
23344	HK Bank	A50 China European Warrants Jul 2013 B	0.15	10.6	2013/07/25	150,000,000	2013/02/07
23342	HK Bank	Golden Eagle European Warrants Dec 2013 A	0.25	20.88	2013/12/02	80,000,000	2013/02/07
23343	HK Bank	Tencent European Put Warrants Aug 2013 A	0.25	270 per 100 units	2013/08/12	100,000,000	2013/02/07
23341	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Sep 2013 A	0.25	7.68 per unit	2013/09/02	200,000,000	2013/02/07
23364	J P Morgan SP BV	CNOOC European Warrants Nov 2013 A	0.15	16.2	2013/11/20	300,000,000	2013/02/07
23363	J P Morgan SP BV	HSBC European Warrants Sep 2013 A	0.25	100	2013/09/02	200,000,000	2013/02/07
23367	J P Morgan SP BV	HSBC European Put Warrants Aug 2013 A	0.28	82	2013/08/06	200,000,000	2013/02/07
23362	J P Morgan SP BV	ICBC European Put Wts Sep 2013 A	0.259	5.38 per unit	2013/09/02	200,000,000	2013/02/07
23350	Macquarie Bank Ltd.	CNOOC European Warrants Feb 2014 A	0.25	16	2014/02/05	180,000,000	2013/02/07
23348	Macquarie Bank Ltd.	HSBC European Put Warrants Sep 2013 B	0.25	72.88	2013/09/03	200,000,000	2013/02/07
23347	Macquarie Bank Ltd.	Sino Ocean Land European Wts Nov 2013 A	0.25	8.50 per unit	2013/11/04	80,000,000	2013/02/07
23349	Macquarie Bank Ltd.	Wharf European Warrants Oct 2013 A	0.25	82	2013/10/03	160,000,000	2013/02/07
23335	Merrill Lynch Int'l & Co. C.V.	BOC HK Euro Wts Sep 2014 A	0.153	29.95	2014/09/24	70,000,000	2013/02/07
23334	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts May 2014 A	0.15	169.99 per 100 units	2014/05/29	70,000,000	2013/02/07
23370	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Sep 2013 A	0.177	12,500 per 3,500 units	2013/09/27	70,000,000	2013/02/07
23369	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2013 C	0.19	23,600 per 6,000 units	2013/08/29	100,000,000	2013/02/07
23368	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2013 A	0.212	23,000 per 8,000 units	2013/12/30	100,000,000	2013/02/07
23357	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Nov15A	0.19	11.18	2015/11/02	300,000,000	2013/02/07
23340	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2013 B	0.45	168.88	2013/06/26	30,000,000	2013/02/07
23360	SGA Societe Generale Acceptance N.V.	HSCEI Eu Put Wt Aug13A	0.255	12,800 per 6,000 units	2013/08/29	200,000,000	2013/02/07
23361	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul2013B	0.195	24,400 per 10,000 units	2013/07/30	300,000,000	2013/02/07
12308 #	Standard Chartered Bank	Ping An European Warrants Jun 2013	0.024	82.28 per 100 units	2013/06/24	420,000,000	2013/02/07
20153 #	Standard Chartered Bank	Li & Fung European Wts Aug 2013 A	0.05	12.28	2013/08/20	200,000,000	2013/02/07
22419 #	Daiwa Capital Mkt	BOCL European Warrants Sep 2013 A	0.2	4 per unit	2013/09/19	350,000,000	2013/02/07
23379	BOCI Asia Ltd.	HSBC European Warrants Jul 2013 B	0.2	95.88	2013/07/29	100,000,000	2013/02/08
23378	BOCI Asia Ltd.	HSBC European Put Warrants Aug 2013 A	0.17	75	2013/08/02	100,000,000	2013/02/08
23381	BOCI Asia Ltd.	Lenovo European Warrants Jun 2013 A	0.2	9.90 per unit	2013/06/21	100,000,000	2013/02/08
23400	Citigroup Global Mkt H Inc.	China Life Euro Wts Oct 2013 A	0.15	30	2013/10/30	100,000,000	2013/02/08
23395	Daiwa Capital Mkt	CCB European Warrants Jun 2013 C	0.34	6.82 per unit	2013/06/03	128,000,000	2013/02/08
23396	Daiwa Capital Mkt	ICBC European Warrants Jul 2013 B	0.38	5.51 per unit	2013/07/02	128,000,000	2013/02/08
23383	Goldman Sachs SP (Asia)	Ch Mer Hold Euro Wts Dec 2013 A	0.259	32.8	2013/12/30	80,000,000	2013/02/08
23382	Goldman Sachs SP (Asia)	Henderson Land Euro Wts Jul 2013 A	0.151	68.88	2013/07/30	80,000,000	2013/02/08
23386	Goldman Sachs SP (Asia)	Sinopharm European Wts Oct 2013 A	0.25	30	2013/10/31	80,000,000	2013/02/08
23387	Goldman Sachs SP (Asia)	Shanghai Ind Euro Wts Jan 2014 A	0.196	32	2014/01/29	80,000,000	2013/02/08

Newly listed derivative warrants *(continued)*

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23384	Goldman Sachs SP (Asia)	Weichai Power Euro Wts Oct 2013 A	0.271	40	2013/10/31	80,000,000	2013/02/08
23376	HK Bank	A50 China European Warrants Aug 2016 A	0.15	15	2016/08/01	200,000,000	2013/02/08
23374	HK Bank	Galaxy Ent European Warrants Sep 2013 A	0.25	41.88	2013/09/30	80,000,000	2013/02/08
23372	HK Bank	Huaneng Power European Warrants Aug 2013 A	0.32	9.38 per unit	2013/08/12	60,000,000	2013/02/08
23377	HK Bank	Li & Fung European Warrants Feb 2014 A	0.15	12.38	2014/02/25	150,000,000	2013/02/08
23373	HK Bank	Sands China European Warrants Sep 2013 A	0.25	46.28	2013/09/23	80,000,000	2013/02/08
23393	Macquarie Bank Ltd.	Datang European Warrants Sep 2013 A	0.254	3.88 per unit	2013/09/03	120,000,000	2013/02/08
23390	Standard Chartered Bank	PetroChina European Wts Jun 2013 A	0.15	12.88 per unit	2013/06/27	70,000,000	2013/02/08
23388	Standard Chartered Bank	SJM Hold European Wts Aug 2013 A	0.25	22.28	2013/08/29	100,000,000	2013/02/08
23389	Standard Chartered Bank	SJM Hold European Wts May 2014 A	0.25	26.88	2014/05/02	80,000,000	2013/02/08
23371	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug13 A	0.395	13.98 per unit	2013/08/07	30,000,000	2013/02/08
23394	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Jul 13 B	0.295	10.38 per unit	2013/07/24	50,000,000	2013/02/08
23392	UBS AG	CNOOC European Warrants Jun 2013 D	0.15	15.32	2013/06/24	100,000,000	2013/02/08
23391	UBS AG	Li & Fung European Warrants Dec 2013 B	0.15	11.48	2013/12/02	100,000,000	2013/02/08
20738 #	Standard Chartered Bank	China Life European Wts Jul 2013 A	0.127	26.62	2013/07/22	100,000,000	2013/02/08
23410	BOCI Asia Ltd.	CAM CSI300 European Warrants Aug 2013 B	0.19	36.08	2013/08/12	100,000,000	2013/02/14
23443	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wt Dec 2013 A	0.3	5.28 per unit	2013/12/03	100,000,000	2013/02/14
23457	BNP Paribas Arbit Issu B.V.	Country Garden Eur Wt Oct2013A	0.26	5.68 per unit	2013/10/03	51,000,000	2013/02/14
23446	BNP Paribas Arbit Issu B.V.	China Life Eu Put Wt Dec2014 A	0.25	19.88	2014/12/02	100,000,000	2013/02/14
23442	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Dec 2013 A	0.25	69.88	2013/12/03	100,000,000	2013/02/14
23448	BNP Paribas Arbit Issu B.V.	Golden Eagle Eu Wt Jan 2014 A	0.26	20.88	2014/01/03	59,000,000	2013/02/14
23445	BNP Paribas Arbit Issu B.V.	ICBC Euro Put Wts Dec 2013 B	0.31	4.80 per unit	2013/12/03	100,000,000	2013/02/14
23450	BNP Paribas Arbit Issu B.V.	Longfor PPT Euro Wt Sep 2014 A	0.26	18.8	2014/09/02	100,000,000	2013/02/14
23447	BNP Paribas Arbit Issu B.V.	Lifestyle Int Eu Wt Jan 2014 A	0.25	25.88	2014/01/03	42,000,000	2013/02/14
23449	BNP Paribas Arbit Issu B.V.	China Mengniu Eu Wt Jan 2014 A	0.27	30.88	2014/01/03	100,000,000	2013/02/14
23451	BNP Paribas Arbit Issu B.V.	Poly HK Inv Eur Wts Oct 2013 A	0.34	8.08 per unit	2013/10/03	32,000,000	2013/02/14
23427	Credit Suisse AG	Agricultural Bank European Wts Aug 2013 A	0.25	4.828 per unit	2013/08/16	50,000,000	2013/02/14
23424	Credit Suisse AG	BankComm European Warrants Aug 2013 A	0.42	7.28 per unit	2013/08/16	50,000,000	2013/02/14
23423	Credit Suisse AG	Li & Fung European Warrants Aug 2013 A	0.79	11.38 per unit	2013/08/16	50,000,000	2013/02/14
23459	Daiwa Capital Mkt	Agricultural Bank European Wts Oct 2013 A	0.25	5.08 per unit	2013/10/02	128,000,000	2013/02/14
23417	Daiwa Capital Mkt	Agricultural Bank Euro Put Wt Sep 2013 C	0.25	3.68 per unit	2013/09/25	98,000,000	2013/02/14
23463	Daiwa Capital Mkt	BOCL European Warrants Dec 2013 A	0.25	4.38 per unit	2013/12/02	168,000,000	2013/02/14
23464	Daiwa Capital Mkt	CNOOC European Put Warrants Dec 2013 A	0.25	15.38	2013/12/20	80,000,000	2013/02/14
23407	Bank of East Asia	R&F Ppt European Warrants Dec 2013 B	0.15	16.9	2013/12/23	70,000,000	2013/02/14
23406	Bank of East Asia	Zoomlion European Warrants Apr 2014 A	0.15	13.4	2014/04/07	70,000,000	2013/02/14
23412	Goldman Sachs SP (Asia)	Agri Bk European Wts Sep 2013 A	0.251	4.88 per unit	2013/09/30	80,000,000	2013/02/14
23411	Goldman Sachs SP (Asia)	China Life Euro Wts Sep 2013 B	0.251	27	2013/09/30	80,000,000	2013/02/14
23415	Goldman Sachs SP (Asia)	China Mobile Euro Wts Sep 2013 A	0.253	95	2013/09/30	80,000,000	2013/02/14
23416	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Aug 2013 A	0.256	11 per unit	2013/08/30	80,000,000	2013/02/14
23414	Goldman Sachs SP (Asia)	Yanzhou Coal Euro Wts Mar 2014 A	0.153	15	2014/03/31	80,000,000	2013/02/14
23409	HK Bank	KECL European Warrants Nov 2014 A	0.18	18.4	2014/11/03	100,000,000	2013/02/14
89007	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Sep 2013 A	0.426	10.88 per unit	2013/09/03	25,000,000	2013/02/14
23429	Macquarie Bank Ltd.	Lee & Man Paper European Wt Sep 2013 A	0.347	6.88 per unit	2013/09/03	80,000,000	2013/02/14

Newly listed derivative warrants *(continued)*

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23428	Macquarie Bank Ltd.	Weichai Power European Wts Oct 2013 A	0.25	42.38	2013/10/03	150,000,000	2013/02/14
23405	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jul 2013 B	0.199	13.90 per unit	2013/07/08	60,000,000	2013/02/14
23432	CC Rabobank B.A.	Anhui Conch European Warrants Aug 2013 A	0.25	35	2013/08/13	70,000,000	2013/02/14
23431	CC Rabobank B.A.	China Overseas European Wts Sep 2013 B	0.15	27.55	2013/09/09	70,000,000	2013/02/14
23430	CC Rabobank B.A.	Sinopec Corp European Warrants Aug 2013 A	0.16	10.90 per unit	2013/08/01	70,000,000	2013/02/14
23433	CC Rabobank B.A.	Zoomlion European Warrants Nov 2013 A	0.15	12.02	2013/11/25	200,000,000	2013/02/14
23421	Standard Chartered Bank	A50 China Euro Warrants Jan 2014 A	0.25	10	2014/01/06	200,000,000	2013/02/14
23419	Standard Chartered Bank	Galaxy Ent European Wts Nov 2013 A	0.25	42.88	2013/11/08	100,000,000	2013/02/14
23418	Standard Chartered Bank	Sands China Euro Wts Nov 2013 A	0.25	48	2013/11/08	100,000,000	2013/02/14
23458	Standard Chartered Bank	YZ Coal European Wts Mar 2014 A	0.25	12.02	2014/03/24	100,000,000	2013/02/14
23437	UBS AG	BOCL European Warrants Oct 2013 B	0.25	4.38 per unit	2013/10/28	100,000,000	2013/02/14
23441	UBS AG	Minsheng Bank European Warrants Aug 2013 A	0.6	14.18 per unit	2013/08/13	30,000,000	2013/02/14
23434	UBS AG	CPIC European Warrants Jul 2013 C	0.17	33.93	2013/07/25	80,000,000	2013/02/14
23438	UBS AG	China Shenhua European Warrants Aug 2013 A	0.25	34.88	2013/08/26	80,000,000	2013/02/14
23435	UBS AG	Ping An European Warrants Jun 2013 A	0.46	70.93	2013/06/17	100,000,000	2013/02/14
16549 #	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Nov 2013	0.067	13.04	2013/11/11	150,000,000	2013/02/14
20558 #	Standard Chartered Bank	Li & Fung European Wts Dec 2013 A	0.139	10	2013/12/02	80,000,000	2013/02/14
23473	Credit Suisse AG	BOCL European Warrants Aug 2013 B	0.25	4.18 per unit	2013/08/16	50,000,000	2013/02/15
23491	Daiwa Capital Mkt	Ch Overseas European Warrants Dec 2013 A	0.15	29.28	2013/12/02	80,000,000	2013/02/15
23492	Daiwa Capital Mkt	Hutchison European Warrants Oct 2013 A	0.25	101.88	2013/10/08	128,000,000	2013/02/15
23468	HK Bank	Minsheng Bank European Put Warrants Dec 2014 A	0.17	8.99	2014/12/22	300,000,000	2013/02/15
23465	J P Morgan SP BV	BOCL European Warrants Nov 2013 A	0.25	4.38 per unit	2013/11/01	200,000,000	2013/02/15
23489	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Dec 2013 A	0.25	5 per unit	2013/12/03	100,000,000	2013/02/15
23469	Macquarie Bank Ltd.	BOCHK European Warrants Oct 2014 A	0.151	30	2014/10/06	150,000,000	2013/02/15
23488	Macquarie Bank Ltd.	BOCL European Warrants Mar 2014 A	0.25	4.50 per unit	2014/03/04	100,000,000	2013/02/15
23490	Macquarie Bank Ltd.	CC Bank European Warrants Oct 2013 A	0.25	7.50 per unit	2013/10/03	100,000,000	2013/02/15
23487	Macquarie Bank Ltd.	CSR European Warrants Dec 2014 A	0.166	7	2014/12/18	150,000,000	2013/02/15
23499	CC Rabobank B.A.	HKEx European Put Warrants Jul 2013 B	0.4	125.78	2013/07/08	60,000,000	2013/02/15
23498	CC Rabobank B.A.	HSBC European Put Warrants Jul 2013 B	0.21	74.83	2013/07/23	80,000,000	2013/02/15
23486	Standard Chartered Bank	China Life European Wts Aug 2014 A	0.25	28.88	2014/08/08	100,000,000	2013/02/15
23484	Standard Chartered Bank	Sinopec Corp Euro Wts Feb 2014 A	0.25	11.88 per unit	2014/02/05	100,000,000	2013/02/15
23475	Standard Chartered Bank	Ping An European Wts Aug 2013 C	0.221	82.88	2013/08/05	100,000,000	2013/02/15
23485	Standard Chartered Bank	Zijin Mining Euro Wts Sep 2013 A	0.25	3.38 per unit	2013/09/03	80,000,000	2013/02/15
23500	UBS AG	CC Bank European Warrants Jul 2013 C	0.21	6.79 per unit	2013/07/08	100,000,000	2013/02/15
23502	UBS AG	CC Bank European Warrants Aug 2013 A	0.395	6.36 per unit	2013/08/05	100,000,000	2013/02/15
23471	UBS AG	Sinopec Corp European Warrants Aug 2013 B	0.55	9.68 per unit	2013/08/14	100,000,000	2013/02/15
23495	UBS AG	New World European Warrants Dec 2014 B	0.18	16.02	2014/12/24	150,000,000	2013/02/15
23497	UBS AG	SHK Ppt European Warrants Aug 2013 A	0.55	133.88	2013/08/14	100,000,000	2013/02/15
13981 #	J P Morgan SP BV	Ping An European Warrants Nov 2013	0.035	78.80 per 100 units	2013/11/28	500,000,000	2013/02/15
23525	BNP Paribas Arbit Issu B.V.	AIA European Wts Jul 2013 A	0.15	31.05	2013/07/03	100,000,000	2013/02/18
23527	BNP Paribas Arbit Issu B.V.	BOCL European Wts Aug 2014 A	0.25	4.26 per unit	2014/08/04	100,000,000	2013/02/18
23524	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2013 A	0.16	16.2	2013/12/03	100,000,000	2013/02/18
23523	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Mar 2014 A	0.15	17.82	2014/03/04	100,000,000	2013/02/18

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23521	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2013 A	0.23	93.9	2013/07/03	94,000,000	2013/02/18
23540	Credit Suisse	China Railway European Warrants Aug 2013 A	0.28	5.11 per unit	2013/08/02	50,000,000	2013/02/18
23542	Credit Suisse AG	Chinares Cement European Wts Aug 2013 A	0.38	5.88 per unit	2013/08/19	50,000,000	2013/02/18
23541	Credit Suisse AG	EvergrandeEuropean Warrants Jun 2013 A	0.2	4.59 per unit	2013/06/17	50,000,000	2013/02/18
23544	Credit Suisse AG	A50 China European Warrants Jul 2013 C	0.3	13.99 per unit	2013/07/08	50,000,000	2013/02/18
23533	Credit Suisse AG	HSI European Warrants Oct 2013 A	0.15	24,700 per 7,000 units	2013/10/30	150,000,000	2013/02/18
23543	Credit Suisse AG	Jiangxi Copper European Wts May 2013 A	0.16	21	2013/05/31	70,000,000	2013/02/18
23539	Credit Suisse AG	Ping An European Warrants Jul 2013 F	0.16	80	2013/07/29	80,000,000	2013/02/18
23537	Daiwa Capital Mkt	Galaxy Ent European Warrants Aug 2013 A	0.51	29.88	2013/08/19	98,000,000	2013/02/18
23520	Daiwa Capital Mkt	Galaxy Ent European Warrants Oct 2013 A	0.25	41.88	2013/10/02	98,000,000	2013/02/18
23534	Daiwa Capital Mkt	Galaxy Ent European Put Wts Oct 2013 B	0.25	26.8	2013/10/15	80,000,000	2013/02/18
23536	Daiwa Capital Mkt	Sands China European Warrants Sep 2013 A	0.28	39.8	2013/09/16	98,000,000	2013/02/18
23505	Goldman Sachs SP (Asia)	BankComm European Wts Sep 2013 A	0.25	7.50 per unit	2013/09/30	80,000,000	2013/02/18
23515	Goldman Sachs SP (Asia)	CC Bank European Wts Jul 2013 B	0.164	7.11 per unit	2013/07/08	80,000,000	2013/02/18
23504	Goldman Sachs SP (Asia)	CC Bank Euro Put Wts Sep 2013 A	0.352	6 per unit	2013/09/30	80,000,000	2013/02/18
23516	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2013 D	0.301	88.88	2013/07/25	80,000,000	2013/02/18
23510	Goldman Sachs SP (Asia)	HSBC European Warrants Oct 2013 A	0.154	99.9	2013/10/08	80,000,000	2013/02/18
23514	Goldman Sachs SP (Asia)	Hutchison European Wts Sep 2013 B	0.374	88.88	2013/09/30	80,000,000	2013/02/18
23517	HK Bank	AIA European Put Warrants Dec 2013 A	0.25	28.28	2013/12/31	100,000,000	2013/02/18
23530	HK Bank	China Life European Warrants Aug 2013 C	0.17	26.93	2013/08/12	150,000,000	2013/02/18
23532	HK Bank	HKEx European Warrants Dec 2014 A	0.2	155.98 per 100 units	2014/12/08	200,000,000	2013/02/18
23503	Macquarie Bank Ltd.	Foxconn European Warrants Aug 2013 A	0.202	4 per unit	2013/08/02	80,000,000	2013/02/18
23538	Macquarie Bank Ltd.	Hang Lung Ppt European Wts Mar 2014 A	0.25	35	2014/03/04	150,000,000	2013/02/18
23545	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Jul 2013 C	0.22	14.70 per unit	2013/07/15	50,000,000	2013/02/18
23529	UBS AG	Galaxy Ent European Warrants Sep 2013 A	0.17	45.05	2013/09/25	80,000,000	2013/02/18
23528	UBS AG	Wynn Macau European Warrants Aug 2013 A	0.36	20.88	2013/08/19	50,000,000	2013/02/18
23580	Credit Suisse	Henderson Land European Warrants Aug 2013 A	0.28	58.98	2013/08/19	50,000,000	2013/02/19
23578	Credit Suisse AG	Sands China European Warrants Jun 2013 A	0.28	36.9	2013/06/21	50,000,000	2013/02/19
23581	Credit Suisse AG	SUNAC European Warrants Aug 2013 A	0.58	6.78 per unit	2013/08/19	50,000,000	2013/02/19
23582	Credit Suisse AG	Shenzhen Int'l European Wts Sep 2013 A	0.25	1.10 per unit	2013/09/30	50,000,000	2013/02/19
23570	Daiwa Capital Mkt	Sands China European Warrants Aug 2013 A	0.53	32.8	2013/08/19	80,000,000	2013/02/19
23569	Daiwa Capital Mkt	Sands China European Put Wts Dec 2013 A	0.25	28.8	2013/12/02	80,000,000	2013/02/19
23561	Goldman Sachs SP (Asia)	China Life Euro Wts Aug 2013 B	0.166	25.55	2013/08/30	100,000,000	2013/02/19
23563	J P Morgan SP BV	China Life European Warrants Aug 2013 A	0.15	26.88	2013/08/12	300,000,000	2013/02/19
23558	J P Morgan SP BV	Minsheng Bank European Wts Aug 2013 A	0.389	13.88 per unit	2013/08/01	100,000,000	2013/02/19
23557	J P Morgan SP BV	Ch Railway Cons European Wts Sep 2013 A	0.251	10.68 per unit	2013/09/02	58,000,000	2013/02/19
23556	J P Morgan SP BV	Galaxy Ent European Warrants Aug 2013 A	0.15	39.2	2013/08/01	200,000,000	2013/02/19
23553	J P Morgan SP BV	HSI European Warrants Sep 2013 A	0.25	22,800 per 8,000 units	2013/09/27	300,000,000	2013/02/19
23554	J P Morgan SP BV	HSI European Warrants Sep 2013 B	0.25	23,400 per 7,300 units	2013/09/27	300,000,000	2013/02/19
23555	J P Morgan SP BV	HSI European Put Warrants Sep 2013 C	0.25	22,600 per 8,000 units	2013/09/27	300,000,000	2013/02/19
23552	J P Morgan SP BV	Ping An European Warrants Jul 2013 A	0.199	75	2013/07/02	88,000,000	2013/02/19
23559	Macquarie Bank Ltd.	CNBM European Warrants Oct 2014 A	0.25	14.5	2014/10/06	200,000,000	2013/02/19
23560	Macquarie Bank Ltd.	Li & Fung European Warrants Jan 2015 A	0.25	11.25	2015/01/05	150,000,000	2013/02/19

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23565	CC Rabobank B.A.	Cheung Kong European Warrants Jun 2013 A	0.43	130.1	2013/06/25	40,000,000	2013/02/19
23564	CC Rabobank B.A.	Ch Railway Cons European Wts Jun 2013 A	0.3	10.02 per unit	2013/06/21	40,000,000	2013/02/19
23567	CC Rabobank B.A.	Evergrande European Warrants Jul 2013 B	0.3	4.39 per unit	2013/07/25	70,000,000	2013/02/19
23568	CC Rabobank B.A.	Jiangxi Copper European Wts Aug 2013 B	0.15	21.85	2013/08/27	140,000,000	2013/02/19
23566	CC Rabobank B.A.	Zijin Mining European Warrants Aug 2013 A	0.25	3.10 per unit	2013/08/19	70,000,000	2013/02/19
23549	Standard Chartered Bank	Cheung Kong Euro Wts Dec 2013 A	0.197	108.10 per 100 units	2013/12/20	100,000,000	2013/02/19
23546	Standard Chartered Bank	China Shenhua Euro Wts Aug 2013 A	0.167	36.02	2013/08/28	100,000,000	2013/02/19
23577	UBS AG	CITIC Sec European Warrants Aug 2013 A	0.27	20.88	2013/08/26	80,000,000	2013/02/19
23573	UBS AG	Evergrande European Warrants Jul 2013 B	0.31	4.39 per unit	2013/07/25	50,000,000	2013/02/19
23562	UBS AG	Haitong Sec European Warrants Aug 2013 A	0.25	13.88	2013/08/19	100,000,000	2013/02/19
23572	UBS AG	Hutchison European Warrants Aug 2013 A	0.48	86.88	2013/08/19	200,000,000	2013/02/19
23571	UBS AG	Li & Fung European Warrants Nov 2013 A	0.15	10.02	2013/11/25	100,000,000	2013/02/19
23574	UBS AG	SJM Holdings European Warrants Sep 2013 A	0.15	22.93	2013/09/09	80,000,000	2013/02/19
23593	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Aug 2013 A	0.23	270 per 100 units	2013/08/05	98,000,000	2013/02/20
23590	HK Bank	Haitong Sec European Warrants Sep 2013 A	0.16	15.02	2013/09/13	100,000,000	2013/02/20
23591	HK Bank	Li & Fung European Warrants Dec 2013 A	0.15	9.99	2013/12/09	100,000,000	2013/02/20
23588	J P Morgan SP BV	Lenovo European Warrants Aug 2013 B	0.25	10.88 per unit	2013/08/02	100,000,000	2013/02/20
23587	J P Morgan SP BV	SUNAC European Warrants Aug 2013 A	0.258	6.88 per unit	2013/08/19	50,000,000	2013/02/20
23586	J P Morgan SP BV	Wynn Macau European Warrants Oct 2013 A	0.25	23	2013/10/03	200,000,000	2013/02/20
23594	CC Rabobank B.A.	Ch LongYuan European Warrants Aug 2013 A	0.6	8.28 per unit	2013/08/19	45,000,000	2013/02/20
23595	CC Rabobank B.A.	China Tel European Warrants Jul 2013 B	0.18	4.51 per unit	2013/07/29	80,000,000	2013/02/20
23596	CC Rabobank B.A.	Tencent Holdings Euro Put Wt Jun 2013 A	0.16	257.80 per 100 units	2013/06/26	150,000,000	2013/02/20
23584	Standard Chartered Bank	Minsheng Bank Euro Wts Aug 2013 A	0.25	14.38 per unit	2013/08/20	80,000,000	2013/02/20
23607	Credit Suisse AG	Minsheng Bank European Wts Aug 2013 B	0.7	12.98 per unit	2013/08/23	60,000,000	2013/02/21
23600	Goldman Sachs SP (Asia)	BEA European Warrants Dec 2013 A	0.254	34	2013/12/30	80,000,000	2013/02/21
23598	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Sep 2013 B	0.262	6.58 per unit	2013/09/02	200,000,000	2013/02/21
23597	J P Morgan SP BV	China Life European Warrants Sep 2013 B	0.15	25.5	2013/09/02	388,000,000	2013/02/21
23602	UBS AG	Agricultural Bank European Warrants Sep 2013 C	0.15	5.09 per unit	2013/09/24	100,000,000	2013/02/21
23605	UBS AG	China Unicom European Warrants Sep 2014 A	0.18	12.8	2014/09/25	100,000,000	2013/02/21
23606	UBS AG	GCL-Poly Energy European Warrants Sep 2013 A	0.35	2.38 per unit	2013/09/16	60,000,000	2013/02/21
17196 #	Daiwa Capital Mkt	A50 China European Warrants Nov 2013 A	0.05	13	2013/11/01	612,000,000	2013/02/21
21499 #	CC Rabobank B.A.	Sands China European Put Wts Jun 2013 A	0.074	30	2013/06/24	140,000,000	2013/02/21
23614	Daiwa Capital Mkt	Sinopec Corp European Wts Jul 2013 A	0.41	9.088 per unit	2013/07/02	98,000,000	2013/02/22
23609	HK Bank	Agricultural Bank European Warrants Aug 2013 B	0.15	4.83 per unit	2013/08/09	80,000,000	2013/02/22
23608	HK Bank	BOCL European Warrants Oct 2013 B	0.16	4.39 per unit	2013/10/21	80,000,000	2013/02/22
23610	Macquarie Bank Ltd.	Geely Auto European Wts Oct 2013 A	0.25	6 per unit	2013/10/03	80,000,000	2013/02/22
23611	UBS AG	AIA European Warrants Oct 2013 A	0.185	32.93	2013/10/21	100,000,000	2013/02/22
23612	UBS AG	China Life European Warrants Aug 2013 C	0.175	25.55	2013/08/30	200,000,000	2013/02/22
19623 #	Daiwa Capital Mkt	CNOOC European Warrants Oct 2013 A	0.091	16.28	2013/10/07	300,000,000	2013/02/22
23620	J P Morgan SP BV	CAM CSI300 European Warrants Nov 2013 A	0.25	38.88	2013/11/01	180,000,000	2013/02/25
23621	Macquarie Bank Ltd.	Angang Steel European Wts Oct 2013 A	0.251	8.80 per unit	2013/10/03	45,000,000	2013/02/25
23615	Macquarie Bank Ltd.	BYD European Warrants Sep 2013 A	0.25	40.88	2013/09/03	180,000,000	2013/02/25
23618	Macquarie Bank Ltd.	Minsheng Bank European Wts Oct 2013 A	0.376	15 per unit	2013/10/03	80,000,000	2013/02/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23617	Macquarie Bank Ltd.	Minsheng Bank European Wts Aug 2014 A	0.25	11	2014/08/04	250,000,000	2013/02/25
23625	UBS AG	CNOOC European Warrants Nov 2013 C	0.17	16.2	2013/11/20	100,000,000	2013/02/25
23624	UBS AG	Geely Auto European Warrants Jul 2013 A	0.37	5.39 per unit	2013/07/24	50,000,000	2013/02/25
23623	UBS AG	HSBC European Warrants Aug 2013 A	0.15	100.1	2013/08/26	100,000,000	2013/02/25
23622	UBS AG	Zhaojin Mining European Warrants Sep 2013 A	0.15	11.42	2013/09/25	100,000,000	2013/02/25
23626	UBS AG	Zijin Mining European Warrants Jul 2013 B	0.175	3.01 per unit	2013/07/29	60,000,000	2013/02/25
23668	Credit Suisse AG	BYD European Warrants Aug 2013 A	0.38	33.68	2013/08/26	70,000,000	2013/02/26
23667	Credit Suisse AG	China Life European Warrants Aug 2013 B	0.15	25.5	2013/08/30	70,000,000	2013/02/26
23669	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2013 E	0.18	13 per unit	2013/06/28	80,000,000	2013/02/26
23670	Credit Suisse AG	A50 China European Warrants Aug 2013 B	0.41	12.98 per unit	2013/08/26	80,000,000	2013/02/26
23665	Credit Suisse AG	Galaxy Ent European Warrants Jun 2013 A	0.21	35	2013/06/28	70,000,000	2013/02/26
23666	Credit Suisse AG	Lumena Newmat European Wts Jul 2013 A	0.16	2.29 per unit	2013/07/22	70,000,000	2013/02/26
23664	Credit Suisse AG	Tencent Holdings Euro Put Wts Jun 2013 C	0.15	268.80 per 100 units	2013/06/24	70,000,000	2013/02/26
23691	Daiwa Capital Mkt	CCB European Warrants Jul 2013 B	0.39	6.20 per unit	2013/07/22	128,000,000	2013/02/26
23656	Goldman Sachs SP (Asia)	AAC Acoustic Euro Wts Dec 2013 A	0.251	36	2013/12/16	80,000,000	2013/02/26
23651	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Dec 2013 B	0.171	38.9	2013/12/17	80,000,000	2013/02/26
23653	Goldman Sachs SP (Asia)	CQRC Bank European Wts Dec 2013 A	0.404	5.28 per unit	2013/12/02	80,000,000	2013/02/26
23652	Goldman Sachs SP (Asia)	A50 China European Wts Sep 2013 C	0.252	14.28 per unit	2013/09/09	80,000,000	2013/02/26
23645	HK Bank	CHALCO European Warrants Aug 2013 A	0.25	4.18 per unit	2013/08/28	50,000,000	2013/02/26
23644	HK Bank	China Shenhua European Warrants Nov 2013 A	0.25	33.28	2013/11/29	100,000,000	2013/02/26
23643	HK Bank	Zijin Mining European Warrants Aug 2013 A	0.18	3.09 per unit	2013/08/28	60,000,000	2013/02/26
23676	J P Morgan SP BV	Ch LongYuan European Warrants Sep 2013 A	0.277	8.50 per unit	2013/09/02	60,000,000	2013/02/26
23675	J P Morgan SP BV	Galaxy Ent European Put Wts Sep 2013 A	0.25	30	2013/09/02	200,000,000	2013/02/26
23637	J P Morgan SP BV	Henderson Land Dev European Wt Sep 2013 A	0.25	60.88	2013/09/02	80,000,000	2013/02/26
23672	J P Morgan SP BV	Sands China European Put Wts Sep 2013 A	0.25	32	2013/09/02	200,000,000	2013/02/26
23671	J P Morgan SP BV	SPDR Gold Trust European Wts Sep 2013 B	0.387	1,300 per 100 units	2013/09/02	100,000,000	2013/02/26
23690	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Aug 2013 A	0.3	12.50 per unit	2013/08/02	50,000,000	2013/02/26
23687	Macquarie Bank Ltd.	A50 China European Warrants Jul 2013 C	0.383	12.40 per unit	2013/07/03	50,000,000	2013/02/26
23663	Macquarie Bank Ltd.	Yurun Food European Wts Jul 2013 B	0.412	6.68 per unit	2013/07/09	80,000,000	2013/02/26
23635	Merrill Lynch Int'l & Co. C.V.	CAM CSI300 Eu Wt Aug 2013 A	0.15	36.13	2013/08/05	70,000,000	2013/02/26
23628	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Jun 2013 B	0.21	6.69 per unit	2013/06/27	50,000,000	2013/02/26
23630	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Sep 2013 A	0.29	9.89 per unit	2013/09/23	40,000,000	2013/02/26
23632	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Aug 2013 A	0.153	100.05	2013/08/26	70,000,000	2013/02/26
23631	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Jul 2013 E	0.285	79.95	2013/07/26	40,000,000	2013/02/26
23634	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Aug 2013 B	0.28	268.98 per 100 units	2013/08/27	60,000,000	2013/02/26
23633	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2013 A	0.201	288.98 per 100 units	2013/09/02	60,000,000	2013/02/26
23629	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Aug 2013A	0.216	269.80 per 100 units	2013/08/05	50,000,000	2013/02/26
23657	CC Rabobank B.A.	BOCL European Warrants Aug 2013 B	0.15	4.19 per unit	2013/08/09	100,000,000	2013/02/26
23658	CC Rabobank B.A.	CNBM European Warrants Jun 2013 A	0.56	14.02 per unit	2013/06/28	50,000,000	2013/02/26
23659	CC Rabobank B.A.	China Ship Dev European Wts Sep 2013 A	0.5	4.90 per unit	2013/09/09	50,000,000	2013/02/26
23660	CC Rabobank B.A.	GCL-Poly Energy European Wts Jul 2013 B	0.23	2.69 per unit	2013/07/26	100,000,000	2013/02/26
23661	CC Rabobank B.A.	Geely Auto European Warrants Jul 2013 B	0.35	5.39 per unit	2013/07/24	50,000,000	2013/02/26
23650	Standard Chartered Bank	BYD European Warrants Oct 2013 A	0.26	38.38	2013/10/08	100,000,000	2013/02/26

Newly listed derivative warrants *(continued)*

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23649	Standard Chartered Bank	A50 China Euro Warrants Oct 2014 A	0.16	11.62	2014/10/28	200,000,000	2013/02/26
23678	UBS AG	AIA European Warrants Oct 2013 B	0.15	34.33	2013/10/07	100,000,000	2013/02/26
23681	UBS AG	BYD European Warrants Aug 2013 A	0.3	34.88	2013/08/26	100,000,000	2013/02/26
23684	UBS AG	China Life European Warrants Aug 2013 D	0.2	23.53	2013/08/05	200,000,000	2013/02/26
23677	UBS AG	China Mobile European Warrants Jul 2013 D	0.205	92.93	2013/07/24	200,000,000	2013/02/26
23692	UBS AG	CSOP A50 ETF European Warrants Aug 2013 A	0.55	11.88 per unit	2013/08/26	100,000,000	2013/02/26
23693	UBS AG	HSI European Warrants Jul 2013 C	0.15	23,500 per 6,000 units	2013/07/30	300,000,000	2013/02/26
23709	BOCI Asia Ltd.	China Life European Put Warrants Sep 2013 A	0.15	20.88	2013/09/30	100,000,000	2013/02/27
23708	BOCI Asia Ltd.	Ping An European Warrants Aug 2013 A	0.2	76	2013/08/09	100,000,000	2013/02/27
23744	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Mar 2014 A	0.26	51	2014/03/04	100,000,000	2013/02/27
23746	BNP Paribas Arbit Issu B.V.	Sh Pharma Euro Wts Oct 2014 A	0.34	17.88	2014/10/06	31,000,000	2013/02/27
23745	BNP Paribas Arbit Issu B.V.	Shenzhen Int'l Eu Wt Feb 2014A	0.3	1.30 per unit	2014/02/05	100,000,000	2013/02/27
23743	BNP Paribas Arbit Issu B.V.	Yurun Food Euro Wts Oct 2013 A	0.55	7 per unit	2013/10/03	38,000,000	2013/02/27
23730	Credit Suisse AG	Anhui Conch European Warrants Jun 2013 A	0.17	30.9	2013/06/21	70,000,000	2013/02/27
23727	Credit Suisse AG	CITIC Sec European Warrants Aug 2013 A	0.2	20.9	2013/08/19	50,000,000	2013/02/27
23728	Credit Suisse AG	CITIC Sec European Warrants Sep 2013 A	0.15	24	2013/09/13	70,000,000	2013/02/27
23739	Credit Suisse AG	CSR European Warrants Aug 2013 A	0.5	7.88 per unit	2013/08/30	50,000,000	2013/02/27
23738	Credit Suisse AG	Datang European Warrants Aug 2013 A	0.28	3.78 per unit	2013/08/30	50,000,000	2013/02/27
23724	Credit Suisse AG	GCL-Poly Energy European Wts Jul 2013 A	0.2	2.69 per unit	2013/07/29	50,000,000	2013/02/27
23722	Credit Suisse AG	PICC European Warrants Jul 2013 A	0.75	12.02 per unit	2013/07/29	50,000,000	2013/02/27
23723	Credit Suisse AG	SJM Holdings European Warrants Jun 2013 A	0.18	19.3	2013/06/24	60,000,000	2013/02/27
23733	Credit Suisse AG	Shenzhen Int'l European Wts Sep 2013 B	0.25	1.20 per unit	2013/09/06	50,000,000	2013/02/27
23731	Credit Suisse AG	Yanzhou Coal European Warrants May 2013 B	0.4	13.90 per unit	2013/05/31	50,000,000	2013/02/27
23741	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2013 A	0.4	165	2013/09/26	100,000,000	2013/02/27
23742	Citigroup Global Mkt H Inc.	HSBC European Wts Nov 2013 A	0.26	96.68	2013/11/01	100,000,000	2013/02/27
23740	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Oct 2013 A	0.3	93.88	2013/10/28	100,000,000	2013/02/27
23747	Daiwa Capital Mkt	AIA European Warrants Sep 2013 B	0.25	32.88	2013/09/16	128,000,000	2013/02/27
23749	Daiwa Capital Mkt	AIA European Put Warrants Dec 2013 A	0.25	29.8	2013/12/20	98,000,000	2013/02/27
23710	Goldman Sachs SP (Asia)	Agile Ppt European Wts Jul 2014 A	0.152	11.66	2014/07/28	80,000,000	2013/02/27
23718	Goldman Sachs SP (Asia)	Belle Int'l Euro Wts Nov 2013 A	0.181	21	2013/11/18	80,000,000	2013/02/27
23720	Goldman Sachs SP (Asia)	Brilliance Chi Euro Wts Mar 2014 A	0.188	12.14	2014/03/26	80,000,000	2013/02/27
23719	Goldman Sachs SP (Asia)	China Res Gas Euro Wt Feb 2014 A	0.25	19.88	2014/02/24	80,000,000	2013/02/27
23714	Goldman Sachs SP (Asia)	SPDR Gold Trust Euro Wt Nov 2013 A	0.259	1,338 per 100 units	2013/11/25	80,000,000	2013/02/27
23716	Goldman Sachs SP (Asia)	Swire Properties Eu Wts Dec 2013 A	0.251	32.88	2013/12/23	80,000,000	2013/02/27
23694	HK Bank	Ch Ship Cont European Warrants Sep 2013 A	0.25	2.68 per unit	2013/09/09	60,000,000	2013/02/27
23695	HK Bank	Foxconn European Warrants Oct 2013 A	0.25	4.18 per unit	2013/10/28	60,000,000	2013/02/27
23702	Macquarie Bank Ltd.	CAM CSI300 European Wts Oct 2013 A	0.25	36	2013/10/03	100,000,000	2013/02/27
23703	Macquarie Bank Ltd.	China Coal European Wts Oct 2013 A	0.25	9.50 per unit	2013/10/03	100,000,000	2013/02/27
23704	Macquarie Bank Ltd.	China Railway European Wts Oct 2013 A	0.25	5.28 per unit	2013/10/03	100,000,000	2013/02/27
23707	Macquarie Bank Ltd.	China Ship Dev European Wts Aug 2013 A	0.25	5.28 per unit	2013/08/27	80,000,000	2013/02/27
23706	Macquarie Bank Ltd.	MGM China European Warrants Oct 2013 A	0.25	18.5	2013/10/03	100,000,000	2013/02/27
23698	Standard Chartered Bank	CAM CSI300 European Wts Aug 2013 B	0.15	36.13	2013/08/05	100,000,000	2013/02/27
23697	Standard Chartered Bank	CAM CSI300 European Wts Sep 2013 A	0.25	33.88	2013/09/06	150,000,000	2013/02/27

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23701	Standard Chartered Bank	Cheung Kong Euro Wts Jul 2013 A	0.26	138.08	2013/07/15	50,000,000	2013/02/27
23696	Standard Chartered Bank	CSOP A50 ETF Euro Wts Sep 2013 B	0.25	13.88 per unit	2013/09/06	80,000,000	2013/02/27
23699	Standard Chartered Bank	Esprit European Wts Sep 2013 A	0.25	8.88	2013/09/10	100,000,000	2013/02/27
12399 #	Standard Chartered Bank	Evergrande European Wts Aug 2013	0.033	4.28	2013/08/01	310,000,000	2013/02/27
23800	Credit Suisse AG	AIA European Put Warrants Jun 2013 C	0.15	30.95	2013/06/07	70,000,000	2013/02/28
23797	Credit Suisse AG	China Coal European Warrants Jun 2013 A	0.2	8.89 per unit	2013/06/24	50,000,000	2013/02/28
23798	Credit Suisse AG	China Tel European Warrants Jul 2013 A	0.255	4.29 per unit	2013/07/29	50,000,000	2013/02/28
23799	Credit Suisse AG	Geely Auto European Warrants Aug 2013 A	0.26	5.58 per unit	2013/08/30	50,000,000	2013/02/28
23801	Credit Suisse	SPDR Gold Trust European Warrants Aug 2013 A	0.43	1,288.80 per 100 units	2013/08/30	50,000,000	2013/02/28
23796	Credit Suisse AG	Stanchart European Warrants Dec 2013 A	0.15	230 per 100 units	2013/12/20	70,000,000	2013/02/28
23757	Daiwa Capital Mkt	HSI European Warrants Aug 2013 B	0.25	22,600 per 8,200 units	2013/08/29	200,000,000	2013/02/28
23760	Daiwa Capital Mkt	HSI European Warrants Aug 2013 C	0.25	21,400 per 9,800 units	2013/08/29	200,000,000	2013/02/28
23792	Daiwa Capital Mkt	HSI European Put Warrants Aug 2013 D	0.25	22,600 per 7,800 units	2013/08/29	200,000,000	2013/02/28
23795	Daiwa Capital Mkt	HSI European Put Warrants Aug 2013 E	0.25	23,800 per 10,000 units	2013/08/29	200,000,000	2013/02/28
23751	Goldman Sachs SP (Asia)	BOCL European Warrants Aug 2013 A	0.15	4.18 per unit	2013/08/16	80,000,000	2013/02/28
23754	Goldman Sachs SP (Asia)	China Coal European Wts Jun 2013 A	0.151	8.88 per unit	2013/06/26	80,000,000	2013/02/28
23750	Goldman Sachs SP (Asia)	CPIC European Warrants Dec 2013 A	0.252	31.88	2013/12/30	80,000,000	2013/02/28
23755	Goldman Sachs SP (Asia)	China Unicom Euro Wts Sep 2014 A	0.152	12.78	2014/09/29	80,000,000	2013/02/28
23756	Goldman Sachs SP (Asia)	Yurun Food European Wts Dec 2013 B	0.518	7.50 per unit	2013/12/30	80,000,000	2013/02/28
23769	HK Bank	Geely Auto European Warrants Oct 2013 A	0.25	5.68 per unit	2013/10/15	60,000,000	2013/02/28
23770	HK Bank	HSI European Put Warrants Jul 2013 B	0.15	22,100 per 7,200 units	2013/07/30	250,000,000	2013/02/28
23781	J P Morgan SP BV	BYD European Warrants Sep 2013 A	0.25	36.88	2013/09/02	100,000,000	2013/02/28
23780	J P Morgan SP BV	China Life European Warrants Sep 2013 C	0.25	24	2013/09/02	300,000,000	2013/02/28
23779	J P Morgan SP BV	CNOOC European Warrants Jul 2013 A	0.448	17.60 per unit	2013/07/22	100,000,000	2013/02/28
23776	J P Morgan SP BV	HKEx European Warrants Sep 2013 A	0.324	165	2013/09/02	100,000,000	2013/02/28
23775	J P Morgan SP BV	HSBC European Warrants Sep 2013 B	0.25	95	2013/09/02	200,000,000	2013/02/28
23778	J P Morgan SP BV	HSCEI European Put Warrants Sep 2013 B	0.25	11,400 per 4,200 units	2013/09/27	300,000,000	2013/02/28
23774	J P Morgan SP BV	Tencent European Warrants Oct 2013 B	0.25	300 per 100 units	2013/10/02	200,000,000	2013/02/28
23773	J P Morgan SP BV	Tencent European Put Warrants Oct 2013 A	0.25	265 per 100 units	2013/10/02	200,000,000	2013/02/28
23768	Macquarie Bank Ltd.	Sinopharm European Warrants Oct 2013 A	0.25	28.88	2013/10/03	200,000,000	2013/02/28
23766	Macquarie Bank Ltd.	Sh Pharma European Warrants Mar 2014 A	0.25	18.88	2014/03/04	200,000,000	2013/02/28
23767	Macquarie Bank Ltd.	Shenzhen Int'l European Wts Oct 2013 A	0.25	1.38 per unit	2013/10/03	80,000,000	2013/02/28
23765	Macquarie Bank Ltd.	Zijin Mining European Wts Oct 2013 A	0.25	3.10 per unit	2013/10/03	100,000,000	2013/02/28
23761	CC Rabobank B.A.	Galaxy Ent European Put Wts Aug 2013 B	0.25	30.8	2013/08/30	100,000,000	2013/02/28
23763	CC Rabobank B.A.	Lumena Newmat European Wts Aug 2013 A	0.25	2.10 per unit	2013/08/30	80,000,000	2013/02/28
23762	CC Rabobank B.A.	Sands China European Put Wts Aug 2013 A	0.25	32.8	2013/08/30	100,000,000	2013/02/28
23764	CC Rabobank B.A.	SPDR Gold Trust European Wts Aug 2013 A	0.5	1,280 per 100 units	2013/08/30	80,000,000	2013/02/28
23784	Standard Chartered Bank	CITIC Pacific Euro Wts Feb 2014 A	0.25	10.78	2014/02/07	100,000,000	2013/02/28
23782	Standard Chartered Bank	HSI European Warrants Aug 2013 A	0.15	23,900 per 6,000 units	2013/08/29	100,000,000	2013/02/28
23785	Standard Chartered Bank	HSI European Put Wts Jul 2013 B	0.17	23,500 per 9,000 units	2013/07/30	100,000,000	2013/02/28
23783	Standard Chartered Bank	Zijin Mining Euro Wts Oct 2013 A	0.25	2.88 per unit	2013/10/08	80,000,000	2013/02/28
23788	UBS AG	CAM CSI300 European Warrants Sep 2013 D	0.24	32.73	2013/09/02	100,000,000	2013/02/28
23790	UBS AG	HKEx European Warrants Jul 2014 A	0.18	149.09 per 100 units	2014/07/16	300,000,000	2013/02/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23787	UBS AG	HSI European Warrants Jul 2013 D	0.18	22,900 per 6,800 units	2013/07/30	300,000,000	2013/02/28
23789	UBS AG	SPDR Gold Trust European Warrants Aug 2013 A	0.42	1,288 per 100 units	2013/08/27	100,000,000	2013/02/28
14442 #	Standard Chartered Bank	A50 China Euro Warrants Oct 2013	0.031	13.02	2013/10/28	350,000,000	2013/02/28
23843	Daiwa Capital Mkt	China Life European Warrants Oct 2013 B	0.25	24.28	2013/10/18	188,000,000	2013/03/01
23845	Daiwa Capital Mkt	China Mobile European Wts Jul 2013 A	0.32	90.05	2013/07/25	138,000,000	2013/03/01
23846	Daiwa Capital Mkt	China Mobile European Put Wts Jul 2013 B	0.25	79.95	2013/07/02	98,000,000	2013/03/01
23842	Daiwa Capital Mkt	HSBC European Warrants Sep 2013 A	0.15	93.93	2013/09/02	158,000,000	2013/03/01
23833	Bank of East Asia	BYD European Warrants Nov 2013 A	0.25	42.211	2013/11/22	50,000,000	2013/03/01
23834	Bank of East Asia	Ch Mobile European Warrants Feb 2014 A	0.25	105	2014/02/06	40,000,000	2013/03/01
23835	Bank of East Asia	Foxconn European Warrants Sep 2013 A	0.25	4.20 per unit	2013/09/06	40,000,000	2013/03/01
23832	Bank of East Asia	Ping An European Warrants Sep 2013 B	0.3	73.88	2013/09/06	35,000,000	2013/03/01
23847	Goldman Sachs SP (Asia)	AIA European Put Wts Sep 2013 B	0.264	31.68	2013/09/30	80,000,000	2013/03/01
23848	Goldman Sachs SP (Asia)	CR Cement European Wts Dec 2013 A	0.333	5.80 per unit	2013/12/30	80,000,000	2013/03/01
23825	J P Morgan SP BV	Cheung Kong European Warrants Sep 2013 A	0.25	140	2013/09/02	100,000,000	2013/03/01
23818	J P Morgan SP BV	China Shenhua European Wts Sep 2013 A	0.251	30	2013/09/02	200,000,000	2013/03/01
23819	J P Morgan SP BV	China Shenhua European Wts Oct 2013 A	0.25	32.5	2013/10/02	200,000,000	2013/03/01
23821	J P Morgan SP BV	Geely Auto European Warrants Sep 2013 B	0.251	5.50 per unit	2013/09/02	200,000,000	2013/03/01
23823	J P Morgan SP BV	Greatwall Motor European Wts Sep 2013 A	0.25	35	2013/09/02	200,000,000	2013/03/01
23824	J P Morgan SP BV	Prada European Warrants Sep 2013 A	0.414	90	2013/09/02	80,000,000	2013/03/01
23822	J P Morgan SP BV	Sinopharm European Warrants Sep 2013 A	0.251	30	2013/09/02	80,000,000	2013/03/01
23829	Macquarie Bank Ltd.	BJ Ent Water European Wts Nov 2013 A	0.25	2.88 per unit	2013/11/04	80,000,000	2013/03/01
23838	Macquarie Bank Ltd.	China Gas Hold Euro Wts Dec 2014 A	0.163	7.28	2014/12/11	180,000,000	2013/03/01
23830	Macquarie Bank Ltd.	CKI Holdings European Wts Nov 2013 A	0.25	60	2013/11/04	180,000,000	2013/03/01
23836	Macquarie Bank Ltd.	PWR Assets European Wts Feb 2014 A	0.25	78.88	2014/02/05	180,000,000	2013/03/01
23840	Macquarie Bank Ltd.	SUNAC European Warrants Oct 2013 A	0.761	7.38 per unit	2013/10/03	80,000,000	2013/03/01
23826	Macquarie Bank Ltd.	Tsingtao Brew European Wts Sep 2013 A	0.25	55	2013/09/03	80,000,000	2013/03/01
23802	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Sep 2013 B	0.154	33.93	2013/09/09	70,000,000	2013/03/01
23808	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Sep 2013 A	0.58	126.01	2013/09/02	40,000,000	2013/03/01
23804	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eu Wt Nov 2013 A	0.25	31.01	2013/11/25	40,000,000	2013/03/01
23806	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Sep 2013 A	0.79	150.01	2013/09/02	40,000,000	2013/03/01
23807	Merrill Lynch Int'l & Co. C.V.	JX Copper Eu Wts Dec 2013 A	0.25	19.61	2013/12/16	40,000,000	2013/03/01
23812	Standard Chartered Bank	China Coal European Wts Sep 2013 A	0.35	8.88 per unit	2013/09/05	80,000,000	2013/03/01
23810	Standard Chartered Bank	CSOP A50 ETF Euro Wts Nov 2015 A	0.255	11.2	2015/11/02	100,000,000	2013/03/01
23816	Standard Chartered Bank	CSR European Warrants Sep 2013 A	0.35	8.88 per unit	2013/09/05	60,000,000	2013/03/01
23817	Standard Chartered Bank	CSR European Warrants Dec 2014 A	0.208	5.88	2014/12/15	200,000,000	2013/03/01
23865	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Sep 2013 A	0.31	135	2013/09/04	60,000,000	2013/03/04
23863	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Oct 2013 B	0.35	136	2013/10/03	70,000,000	2013/03/04
23866	Credit Suisse AG	China Shenhua European Wts Sep 2013 A	0.99	31.88 per unit	2013/09/03	50,000,000	2013/03/04
23868	Credit Suisse AG	Evergrande European Warrants Jun 2013 B	0.31	3.99 per unit	2013/06/21	50,000,000	2013/03/04
23867	Credit Suisse AG	SJM Holdings European Warrants Sep 2013 A	0.15	22.88	2013/09/09	70,000,000	2013/03/04
23869	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Aug 2013 B	0.185	10.18 per unit	2013/08/29	100,000,000	2013/03/04
23861	Daiwa Capital Mkt	CCB European Warrants Jul 2013 C	0.15	7 per unit	2013/07/26	128,000,000	2013/03/04
23860	Daiwa Capital Mkt	China Life European Put Wts Oct 2013 C	0.15	20.85	2013/10/02	98,000,000	2013/03/04

Newly listed derivative warrants *(continued)*

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23862	Daiwa Capital Mkt	Ping An European Warrants Aug 2013 A	0.24	72.9	2013/08/19	98,000,000	2013/03/04
23854	HK Bank	HSI European Warrants Sep 2013 C	0.15	23,500 per 7,300 units	2013/09/27	250,000,000	2013/03/04
23853	HK Bank	HSI European Warrants Oct 2013 A	0.19	23,100 per 6,800 units	2013/10/30	250,000,000	2013/03/04
23852	HK Bank	HSI European Put Warrants Sep 2013 B	0.25	23,100 per 6,800 units	2013/09/27	250,000,000	2013/03/04
23855	HK Bank	HSI European Put Warrants Sep 2013 D	0.15	21,100 per 6,000 units	2013/09/27	250,000,000	2013/03/04
23851	Macquarie Bank Ltd.	Cheung Kong European Wts Jun 2013 A	0.15	133.88	2013/06/25	200,000,000	2013/03/04
23850	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Nov 2013 A	0.25	60	2013/11/04	180,000,000	2013/03/04
23858	Macquarie Bank Ltd.	Link REIT European Put Wts Dec 2013 A	0.25	35	2013/12/03	200,000,000	2013/03/04
23849	Macquarie Bank Ltd.	SHK Ppt European Warrants Jul 2013 B	0.217	129.88	2013/07/03	200,000,000	2013/03/04
23859	Macquarie Bank Ltd.	Tencent European Put Wts Sep 2013 A	0.154	250 per 100 units	2013/09/03	250,000,000	2013/03/04
23856	Standard Chartered Bank	China Coal European Wts Nov 2014 A	0.25	5.48	2014/11/03	200,000,000	2013/03/04
23873	BOCI Asia Ltd.	China Life European Warrants Aug 2013 A	0.15	23.48	2013/08/05	100,000,000	2013/03/05
23874	BOCI Asia Ltd.	SHK Ppt European Warrants Jul 2013 A	0.23	129.88	2013/07/12	100,000,000	2013/03/05
23892	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Oct 2013 B	0.25	29.5	2013/10/03	100,000,000	2013/03/05
23889	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Oct 2013 B	0.25	4.50 per unit	2013/10/03	100,000,000	2013/03/05
23893	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jul 2014 A	0.16	149 per 100 units	2014/07/30	100,000,000	2013/03/05
23890	BNP Paribas Arbit Issu B.V.	Ping An European Wt Nov 2013 A	0.31	75	2013/11/04	100,000,000	2013/03/05
23891	BNP Paribas Arbit Issu B.V.	Zoomlion Euro Wts Apr 2014 A	0.17	10.7	2014/04/02	100,000,000	2013/03/05
23897	Daiwa Capital Mkt	CCB European Put Warrants Jul 2014 A	0.15	6.87	2014/07/02	128,000,000	2013/03/05
23895	Daiwa Capital Mkt	Tencent Holdings European Wts Sep 2013 A	0.15	289 per 100 units	2013/09/02	128,000,000	2013/03/05
23894	J P Morgan SP BV	HSI European Put Warrants Sep 2013 D	0.25	22,000 per 7,600 units	2013/09/27	300,000,000	2013/03/05
23870	Macquarie Bank Ltd.	Cheung Kong Euro Put Wts Dec 2013 A	0.25	95	2013/12/03	200,000,000	2013/03/05
23884	CC Rabobank B.A.	BBMG European Warrants Sep 2013 A	0.7	7.80 per unit	2013/09/09	50,000,000	2013/03/05
23885	CC Rabobank B.A.	Haier Elec European Warrants Dec 2013 A	0.25	14.5	2013/12/16	150,000,000	2013/03/05
23881	Standard Chartered Bank	China Coal European Wts Jun 2013 A	0.25	8.38 per unit	2013/06/25	70,000,000	2013/03/05
23882	Standard Chartered Bank	China Coal European Wts Sep 2013 B	0.76	7.28 per unit	2013/09/05	70,000,000	2013/03/05
23883	Standard Chartered Bank	A50 China Euro Warrants Jul 2013 C	0.196	12.70 per unit	2013/07/10	100,000,000	2013/03/05
23880	UBS AG	CAM CSI300 European Warrants Oct 2013 C	0.16	36.33	2013/10/21	100,000,000	2013/03/05
23898	UBS AG	China Life European Warrants Sep 2013 B	0.25	22.88	2013/09/04	200,000,000	2013/03/05
23878	UBS AG	Minsheng Bank European Warrants May 2015 A	0.22	10.9	2015/05/26	60,000,000	2013/03/05
23876	UBS AG	China Unicom European Warrants Apr 2014 A	0.18	12	2014/04/22	100,000,000	2013/03/05
23886	UBS AG	Evergrande European Warrants Sep 2013 A	0.4	3.88 per unit	2013/09/09	50,000,000	2013/03/05
23879	UBS AG	Galaxy Ent European Warrants Jul 2013 A	0.15	36.55	2013/07/08	80,000,000	2013/03/05
23888	UBS AG	Hutchison European Warrants Jul 2013 B	0.22	90.73	2013/07/22	200,000,000	2013/03/05
23875	UBS AG	PICC European Warrants Jun 2014 A	0.15	12.9	2014/06/24	100,000,000	2013/03/05
23887	UBS AG	SHK Ppt European Warrants Sep 2013 A	0.6	120.88	2013/09/09	100,000,000	2013/03/05
23906	BOCI Asia Ltd.	CC Bank European Warrants Aug 2013 A	0.23	6.58 per unit	2013/08/26	100,000,000	2013/03/06
23907	BOCI Asia Ltd.	ICBC European Warrants Jul 2013 A	0.15	5.91 per unit	2013/07/29	100,000,000	2013/03/06
23941	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Nov 2013 A	0.15	35.05	2013/11/04	100,000,000	2013/03/06
23944	BNP Paribas Arbit Issu B.V.	AIA European Wts Apr 2014 A	0.25	38.68	2014/04/02	100,000,000	2013/03/06
23942	BNP Paribas Arbit Issu B.V.	Belle Int'l Euro Wt Nov 2013 A	0.17	15.02	2013/11/04	100,000,000	2013/03/06
23943	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jul 2014 B	0.16	138.98 per 100 units	2014/07/03	100,000,000	2013/03/06
10175	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Nov 2013 A	0.25	99 per 1 units	2013/11/29	100,000,000	2013/03/06

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23930	Credit Suisse AG	China Gas Hold European Wts Sep 2013 A	1	8.38 per unit	2013/09/09	50,000,000	2013/03/06
23928	Credit Suisse AG	Sinopharm European Warrants Sep 2013 A	0.25	29.28	2013/09/09	50,000,000	2013/03/06
23945	Citigroup Global Mkt H Inc.	AIA European Wts Dec 2013 A	0.16	36	2013/12/24	100,000,000	2013/03/06
23946	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt Nov 2013 B	0.25	56	2013/11/11	100,000,000	2013/03/06
23934	Daiwa Capital Mkt	ICBC European Warrants Aug 2013 C	0.15	6.08 per unit	2013/08/01	128,000,000	2013/03/06
23908	HK Bank	HSI European Put Warrants Jul 2013 C	0.15	21,700 per 8,000 units	2013/07/30	250,000,000	2013/03/06
23910	HK Bank	HWL European Warrants Oct 2013 A	0.43	84.38	2013/10/09	80,000,000	2013/03/06
23902	J P Morgan SP BV	CITIC Sec European Warrants Sep 2013 A	0.25	20	2013/09/05	100,000,000	2013/03/06
23901	J P Morgan SP BV	Haitong Sec European Warrants Dec 2013 A	0.25	12.88	2013/12/02	150,000,000	2013/03/06
23900	J P Morgan SP BV	Li & Fung European Warrants Dec 2013 A	0.15	10	2013/12/02	180,000,000	2013/03/06
23904	J P Morgan SP BV	Lumena Newmat European Wts Sep 2013 A	0.25	1.80 per unit	2013/09/05	100,000,000	2013/03/06
23903	J P Morgan SP BV	Yurun Food European Warrants Sep 2013 A	0.351	6 per unit	2013/09/05	50,000,000	2013/03/06
23899	J P Morgan SP BV	Yanzhou Coal European Warrants Sep 2013 A	0.429	12.50 per unit	2013/09/05	50,000,000	2013/03/06
89008	Macquarie Bank Ltd.	CAM CSI300 European Wts Apr 2014 A	0.311	28.88	2014/04/02	40,000,000	2013/03/06
23922	Macquarie Bank Ltd.	Poly HK Inv European Wts Nov 2013 A	0.25	6.60 per unit	2013/11/04	80,000,000	2013/03/06
23925	Macquarie Bank Ltd.	Sh Electric European Wts Sep 2013 A	0.25	3.98 per unit	2013/09/05	80,000,000	2013/03/06
23921	CC Rabobank B.A.	Chi Resources European Wts Sep 2013 A	0.25	28	2013/09/09	60,000,000	2013/03/06
23919	CC Rabobank B.A.	Haitong Sec European Warrants Aug 2013 A	0.15	13.9	2013/08/12	120,000,000	2013/03/06
23920	CC Rabobank B.A.	Yanzhou Coal European Warrants Mar 2014 B	0.15	12.02	2014/03/24	120,000,000	2013/03/06
23916	Standard Chartered Bank	China Life European Wts Aug 2013 A	0.15	23.5	2013/08/12	100,000,000	2013/03/06
23915	Standard Chartered Bank	China Life European Wts Nov 2013 B	0.25	22.88	2013/11/22	100,000,000	2013/03/06
23913	Standard Chartered Bank	China Life Euro Put Wts Jul 2013 B	0.188	22.66	2013/07/08	100,000,000	2013/03/06
23914	Standard Chartered Bank	China Life Euro Put Wts Sep 2013 B	0.15	20.86	2013/09/24	100,000,000	2013/03/06
23911	Standard Chartered Bank	Ping An European Wts Jun 2013 A	0.34	66.02	2013/06/17	100,000,000	2013/03/06
23935	UBS AG	AIA European Warrants Jan 2014 A	0.25	38	2014/01/27	100,000,000	2013/03/06
23936	UBS AG	China Mobile European Warrants Jul 2013 E	0.41	85.06	2013/07/29	200,000,000	2013/03/06
23940	UBS AG	Lenovo European Warrants Sep 2013 B	0.58	9.38 per unit	2013/09/30	40,000,000	2013/03/06
23968	BOCI Asia Ltd.	AIA European Warrants Dec 2013 A	0.25	36	2013/12/30	100,000,000	2013/03/07
23970	BOCI Asia Ltd.	Ch Overseas European Warrants Nov 2013 A	0.25	28.88	2013/11/26	100,000,000	2013/03/07
23969	BOCI Asia Ltd.	China Shenhua European Warrants Nov 2013 A	0.25	33.28	2013/11/29	100,000,000	2013/03/07
23997	Bank of East Asia	Nine Dragons European Wts Dec 2013 A	0.15	8.01	2013/12/27	100,000,000	2013/03/07
23988	Goldman Sachs SP (Asia)	CHALCO European Wts Dec 2013 A	0.261	4 per unit	2013/12/23	80,000,000	2013/03/07
23983	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Feb 2014 A	0.25	31.88	2014/02/24	100,000,000	2013/03/07
23995	J P Morgan SP BV	CAM CSI300 European Warrants Oct 2013 A	0.15	36	2013/10/02	180,000,000	2013/03/07
23996	J P Morgan SP BV	BOC HK European Warrants Oct 2014 A	0.15	30	2014/10/03	180,000,000	2013/03/07
23965	J P Morgan SP BV	CPIC European Warrants Aug 2013 C	0.15	30.88	2013/08/26	200,000,000	2013/03/07
23957	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Dec 2013 B	0.187	11,500 per 4,000 units	2013/12/30	300,000,000	2013/03/07
23956	J P Morgan SP BV	HSCEI European Put Warrants Oct 2013 B	0.25	10,800 per 4,500 units	2013/10/30	300,000,000	2013/03/07
23958	J P Morgan SP BV	HSI European Warrants Sep 2013 E	0.25	22,200 per 7,600 units	2013/09/27	300,000,000	2013/03/07
23959	J P Morgan SP BV	Hutchison European Warrants Sep 2013 A	0.25	88.88	2013/09/06	150,000,000	2013/03/07
23994	J P Morgan SP BV	ICBC European Warrants Aug 2013 B	0.15	6.08 per unit	2013/08/01	200,000,000	2013/03/07
23990	Macquarie Bank Ltd.	Chinares Cement European Wt Jul 2013 A	0.15	6.50 per unit	2013/07/22	80,000,000	2013/03/07
23991	Macquarie Bank Ltd.	China Res Gas European Wts Nov 2013 A	0.25	20	2013/11/04	180,000,000	2013/03/07

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23989	Macquarie Bank Ltd.	Shui On Land European Wts Feb 2014 A	0.25	4.28 per unit	2014/02/05	80,000,000	2013/03/07
23950	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Mar 2014 A	0.24	38.05	2014/03/31	60,000,000	2013/03/07
23953	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jun 2013 D	0.15	22.83	2013/06/17	80,000,000	2013/03/07
23952	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Aug 2013 B	0.15	23.53	2013/08/05	80,000,000	2013/03/07
23949	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Aug 2013 A	0.59	11.26 per unit	2013/08/01	40,000,000	2013/03/07
23948	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Jun 2013 C	0.28	83.93	2013/06/28	40,000,000	2013/03/07
23955	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Jun 2013 A	0.66	116.98	2013/06/26	40,000,000	2013/03/07
23982	Standard Chartered Bank	BOCL European Warrants Oct 2013 B	0.169	3.69 per unit	2013/10/02	100,000,000	2013/03/07
23974	Standard Chartered Bank	BOCL European Warrants Dec 2013 A	0.209	3.66 per unit	2013/12/09	100,000,000	2013/03/07
23977	Standard Chartered Bank	BOCL European Put Wts Sep 2013 B	0.25	3.33 per unit	2013/09/09	100,000,000	2013/03/07
23972	Standard Chartered Bank	CITIC Pacific Euro Wts Jul 2013 A	0.275	13.88 per unit	2013/07/29	80,000,000	2013/03/07
23971	Standard Chartered Bank	China Mobile Euro Wts Jun 2013 C	0.166	88.88	2013/06/11	100,000,000	2013/03/07
23973	Standard Chartered Bank	CNOOC European Warrants Jul 2013 A	0.24	17.60 per unit	2013/07/22	60,000,000	2013/03/07
23981	Standard Chartered Bank	Jiangxi Copper Euro Wts Dec 2013 A	0.25	18	2013/12/06	100,000,000	2013/03/07
23978	Standard Chartered Bank	Li & Fung Euro Put Wts Sep 2013 B	0.3	8 per unit	2013/09/09	80,000,000	2013/03/07
24001	BOCI Asia Ltd.	A50 China European Warrants Sep 2013 A	0.2	14.28 per unit	2013/09/09	100,000,000	2013/03/08
24039	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Nov 2013 A	0.45	132	2013/11/18	100,000,000	2013/03/08
24013	Goldman Sachs SP (Asia)	AIA European Warrants Feb 2014 A	0.251	38	2014/02/04	120,000,000	2013/03/08
24014	Goldman Sachs SP (Asia)	SHK Ppt European Wts Oct 2013 A	0.544	132	2013/10/31	100,000,000	2013/03/08
24016	Goldman Sachs SP (Asia)	Tencent European Wt Sep 2013 A	0.151	300 per 100 units	2013/09/30	120,000,000	2013/03/08
24019	Goldman Sachs SP (Asia)	Tingyi European Wts Feb 2014 A	0.258	23.88	2014/02/04	120,000,000	2013/03/08
24017	Goldman Sachs SP (Asia)	ZTE European Warrants Aug 2013 A	0.158	15	2013/08/26	120,000,000	2013/03/08
24008	HK Bank	Cheung Kong European Warrants Sep 2013 A	0.4	129.8	2013/09/30	60,000,000	2013/03/08
24025	J P Morgan SP BV	Zhaojin Mining European Wts Sep 2013 A	0.15	11.42	2013/09/25	100,000,000	2013/03/08
24021	Macquarie Bank Ltd.	Agile Ppt European Warrants Jul 2014 A	0.152	11.66	2014/07/28	180,000,000	2013/03/08
24020	Macquarie Bank Ltd.	AIA European Warrants Jan 2014 A	0.25	36.5	2014/01/03	75,000,000	2013/03/08
24012	Macquarie Bank Ltd.	Tingyi European Warrants Sep 2014 A	0.25	26.88	2014/09/02	180,000,000	2013/03/08
24022	Macquarie Bank Ltd.	Zhaojin Mining Euro Wts Mar 2014 A	0.15	13.22	2014/03/04	150,000,000	2013/03/08
24038	CC Rabobank B.A.	AIA European Warrants Sep 2013 B	0.15	35.05	2013/09/25	120,000,000	2013/03/08
24034	CC Rabobank B.A.	China Agri European Warrants Sep 2013 A	0.4	5 per unit	2013/09/09	50,000,000	2013/03/08
24031	CC Rabobank B.A.	China Coal European Warrants Mar 2014 A	0.47	9 per unit	2014/03/28	50,000,000	2013/03/08
24037	CC Rabobank B.A.	Sinopec Corp European Warrants Aug 2013 B	0.28	9.69 per unit	2013/08/07	50,000,000	2013/03/08
24030	CC Rabobank B.A.	Chinares Cement Euro Put Wts Nov 2013 A	0.4	4.50 per unit	2013/11/04	60,000,000	2013/03/08
24035	CC Rabobank B.A.	China Shenhua European Wts Sep 2013 A	0.18	32.55	2013/09/24	80,000,000	2013/03/08
24033	CC Rabobank B.A.	PICC P&C European Warrants Aug 2014 A	0.25	11.8	2014/08/28	150,000,000	2013/03/08
24032	CC Rabobank B.A.	Sino Land European Warrants Jul 2014 A	0.25	14.5	2014/07/29	120,000,000	2013/03/08
24036	CC Rabobank B.A.	Shenzhen Int'l European Wts Aug 2013 A	0.2	1.21 per unit	2013/08/30	50,000,000	2013/03/08
24005	Standard Chartered Bank	Anhui Conch Euro Wts Sep 2013 A	0.17	36.85	2013/09/30	100,000,000	2013/03/08
24007	Standard Chartered Bank	CAM CSI300 European Wts Jul 2013 A	0.237	33.4	2013/07/22	100,000,000	2013/03/08
24002	Standard Chartered Bank	CITIC Sec European Wts Sep 2013 A	0.15	24.02	2013/09/13	100,000,000	2013/03/08
24004	Standard Chartered Bank	China Shenhua Eur Put Wt Oct 2013A	0.25	28	2013/10/11	100,000,000	2013/03/08
24000	UBS AG	CNOOC European Warrants Jan 2014 A	0.15	16.02	2014/01/28	100,000,000	2013/03/08
23999	UBS AG	CNOOC European Warrants Jun 2014 B	0.15	17.2	2014/06/27	100,000,000	2013/03/08

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24010	UBS AG	Sinopec Corp European Warrants Aug 2013 C	0.195	10.20 per unit	2013/08/29	100,000,000	2013/03/08
24011	UBS AG	KECL European Warrants Nov 2014 A	0.19	18.4	2014/11/03	100,000,000	2013/03/08
24009	UBS AG	Tencent Holdings European Warrants Sep 2013 A	0.18	289.08 per 100 units	2013/09/02	100,000,000	2013/03/08
12309 #	Standard Chartered Bank	Ping An European Warrants Dec 2013	0.022	78.78 per 100 units	2013/12/02	460,000,000	2013/03/08
24057	Credit Suisse AG	Belle Int'l European Warrants Mar 2014 A	0.15	19.9	2014/03/28	100,000,000	2013/03/11
24053	Credit Suisse AG	China Life European Warrants Aug 2013 C	0.15	24	2013/08/26	70,000,000	2013/03/11
24055	Credit Suisse AG	Minsheng Bank European Wts Jul 2013 C	0.56	11.90 per unit	2013/07/15	50,000,000	2013/03/11
24054	Credit Suisse AG	Minsheng Bank European Wts Jan 2015 A	0.15	11	2015/01/19	100,000,000	2013/03/11
24050	Credit Suisse AG	China Mobile European Warrants Jul 2013 C	0.24	88.9	2013/07/29	70,000,000	2013/03/11
24052	Credit Suisse AG	China Mobile European Warrants Jul 2013 D	0.35	85	2013/07/29	70,000,000	2013/03/11
24059	Credit Suisse AG	Lenovo European Warrants Mar 2015 A	0.25	9.28	2015/03/30	100,000,000	2013/03/11
24058	Credit Suisse AG	Xinyi Glass European Warrants Sep 2013 A	0.44	6.98 per unit	2013/09/16	70,000,000	2013/03/11
24056	Credit Suisse AG	Zijin Mining European Warrants Aug 2013 A	0.15	3.09 per unit	2013/08/30	70,000,000	2013/03/11
24073	Daiwa Capital Mkt	BOCL European Warrants Sep 2013 C	0.25	3.38 per unit	2013/09/11	128,000,000	2013/03/11
24074	Daiwa Capital Mkt	China Mobile European Wts Jul 2013 C	0.33	84.9	2013/07/25	138,000,000	2013/03/11
24072	Daiwa Capital Mkt	Ch Overseas European Warrants Aug 2013 A	0.23	20.4	2013/08/05	80,000,000	2013/03/11
24042	Daiwa Capital Mkt	Hutchison European Warrants Aug 2013 A	0.15	96	2013/08/01	128,000,000	2013/03/11
24047	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Aug 2013 A	0.15	36.08	2013/08/05	120,000,000	2013/03/11
24044	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jul 2013 A	0.307	11.88 per unit	2013/07/02	120,000,000	2013/03/11
24046	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2013 C	0.282	12.40 per unit	2013/06/27	120,000,000	2013/03/11
24040	J P Morgan SP BV	China EB Int'l European Wts Sep 2013 A	0.25	6.88 per unit	2013/09/10	50,000,000	2013/03/11
24068	CC Rabobank B.A.	Ch Comm Cons European Warrants Oct 2013 A	0.55	8 per unit	2013/10/30	40,000,000	2013/03/11
24065	CC Rabobank B.A.	Ch Mobile European Warrants Jul 2013 B	0.22	88.93	2013/07/25	60,000,000	2013/03/11
24063	CC Rabobank B.A.	Chi Res Land European Warrants Sep 2013 B	0.25	23.8	2013/09/23	80,000,000	2013/03/11
24066	CC Rabobank B.A.	HKEx European Warrants Sep 2013 B	0.4	155	2013/09/16	40,000,000	2013/03/11
24064	CC Rabobank B.A.	Ping An European Warrants Aug 2013 B	0.3	71	2013/08/27	60,000,000	2013/03/11
24070	CC Rabobank B.A.	Poly HK Inv European Warrants Sep 2013 A	0.33	6 per unit	2013/09/10	50,000,000	2013/03/11
24069	CC Rabobank B.A.	Weichai Power European Wts Feb 2014 A	0.48	30	2014/02/20	60,000,000	2013/03/11
24048	Standard Chartered Bank	China Shenhua Euro Wts Aug 2013 B	0.19	30.05	2013/08/26	80,000,000	2013/03/11
24062	UBS AG	China Overseas European Warrants Nov 2013 A	0.25	22.88	2013/11/15	100,000,000	2013/03/11
24060	UBS AG	CSOP A50 ETF European Warrants Sep 2013 C	0.72	10.88 per unit	2013/09/23	100,000,000	2013/03/11
24061	UBS AG	A50 China European Warrants Dec 2013 A	0.15	10.02	2013/12/27	300,000,000	2013/03/11
18864 #	Standard Chartered Bank	China Unicom Euro Wts Oct 2014 A	0.169	11.88	2014/10/30	100,000,000	2013/03/11
24099	Daiwa Capital Mkt	China Telecom European Wts Aug 2013 A	0.21	4.27 per unit	2013/08/08	80,000,000	2013/03/12
24100	Daiwa Capital Mkt	China Telecom Euro Put Wts Oct 2013 A	0.31	3.68 per unit	2013/10/08	80,000,000	2013/03/12
24118	Daiwa Capital Mkt	HSBC European Warrants Jul 2013 B	0.54	78.9	2013/07/09	128,000,000	2013/03/12
24116	Daiwa Capital Mkt	HSBC European Warrants Sep 2013 B	0.39	83.38	2013/09/11	128,000,000	2013/03/12
24089	Goldman Sachs SP (Asia)	CC Bank European Wts Oct 2013 A	0.251	6.50 per unit	2013/10/02	80,000,000	2013/03/12
24092	Goldman Sachs SP (Asia)	China Life Euro Wts Aug 2013 C	0.154	24	2013/08/28	80,000,000	2013/03/12
24093	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jul 2013 E	0.325	84.88	2013/07/31	80,000,000	2013/03/12
24095	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2013 A	0.151	22,900 per 6,800 units	2013/07/30	150,000,000	2013/03/12
24098	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2013 B	0.168	22,200 per 7,200 units	2013/07/30	150,000,000	2013/03/12
24097	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2013 A	0.158	21,100 per 6,000 units	2013/09/27	150,000,000	2013/03/12

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24091	Goldman Sachs Sp (Asia)	ICBC European Warrants Jun 2013 A	0.154	5.74 per unit	2013/06/24	80,000,000	2013/03/12
24078	HK Bank	CSOP A50 ETF European Warrants Sep 2013 A	0.39	11.38 per unit	2013/09/16	80,000,000	2013/03/12
24077	HK Bank	Evergrande European Warrants Sep 2013 A	0.25	4.08 per unit	2013/09/30	100,000,000	2013/03/12
24101	J P Morgan SP BV	JX Copper European Warrants Dec 2013 A	0.15	19.6	2013/12/09	100,000,000	2013/03/12
24115	CC Rabobank B.A.	CAM CSI300 European Warrants Nov 2013 A	0.21	33.05	2013/11/18	70,000,000	2013/03/12
24109	CC Rabobank B.A.	CSOP A50 ETF European Warrants Aug 2013 B	0.42	11.30 per unit	2013/08/01	50,000,000	2013/03/12
24102	CC Rabobank B.A.	A50 China European Warrants Aug 2013 A	0.55	11.30 per unit	2013/08/01	40,000,000	2013/03/12
24081	Standard Chartered Bank	ICBC European Warrants Jul 2013 B	0.2	5.41 per unit	2013/07/02	100,000,000	2013/03/12
24083	Standard Chartered Bank	ICBC European Put Wts Oct 2013 A	0.25	4.78 per unit	2013/10/04	80,000,000	2013/03/12
24123	UBS AG	AIA European Put Warrants Sep 2013 C	0.2	31.63	2013/09/23	100,000,000	2013/03/12
24143	Credit Suisse AG	AIA European Warrants Sep 2013 C	0.15	35	2013/09/30	80,000,000	2013/03/13
24142	Credit Suisse AG	AIA European Warrants Jan 2014 A	0.24	38	2014/01/20	100,000,000	2013/03/13
24144	Credit Suisse AG	China EB Int'l European Wts Sep 2013 A	0.45	7.28 per unit	2013/09/16	50,000,000	2013/03/13
24151	Citigroup Global Mkt H Inc.	BOCL European Wts Jan 2014 A	0.25	3.90 per unit	2014/01/20	100,000,000	2013/03/13
24150	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt Nov 2013 A	0.15	33.28	2013/11/22	100,000,000	2013/03/13
24132	Goldman Sachs SP (Asia)	CC Bank European Wts Sep 2013 B	0.251	6.80 per unit	2013/09/30	80,000,000	2013/03/13
24130	Goldman Sachs SP (Asia)	PetroChina European Wts Sep 2013 A	0.366	11.50 per unit	2013/09/30	80,000,000	2013/03/13
24149	Goldman Sachs SP (Asia)	Tencent European Put Wt Sep 2013 B	0.25	273.88 per 100 units	2013/09/30	100,000,000	2013/03/13
24128	Goldman Sachs SP (Asia)	Yanzhou Coal Euro Wts Mar 2014 B	0.151	12.02	2014/03/24	120,000,000	2013/03/13
24126	J P Morgan SP BV	Chi Res Power European Wts Oct 2013 A	0.25	26	2013/10/02	80,000,000	2013/03/13
24127	J P Morgan SP BV	Xinyi Glass European Warrants Sep 2013 A	0.286	6.88 per unit	2013/09/12	40,000,000	2013/03/13
24135	Macquarie Bank Ltd.	Huaneng Power European Wts Oct 2013 A	0.251	10.88 per unit	2013/10/03	80,000,000	2013/03/13
24137	Macquarie Bank Ltd.	ZTE European Warrants Dec 2013 A	0.173	16.88	2013/12/03	100,000,000	2013/03/13
24125	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jul 2013 B	0.15	21,700 per 8,000 units	2013/07/30	100,000,000	2013/03/13
24124	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2013 B	0.25	21,300 per 7,000 units	2013/11/28	100,000,000	2013/03/13
24140	CC Rabobank B.A.	Evergrande European Warrants Sep 2013 A	0.39	3.89 per unit	2013/09/02	70,000,000	2013/03/13
24139	CC Rabobank B.A.	Jiangxi Copper European Wts Dec 2013 A	0.2	19.63	2013/12/09	100,000,000	2013/03/13
24141	CC Rabobank B.A.	Link REIT European Warrants Sep 2013 A	0.18	43.93	2013/09/19	60,000,000	2013/03/13
24152	UBS AG	China Life European Warrants Sep 2013 C	0.15	24.05	2013/09/09	200,000,000	2013/03/13
24145	UBS AG	Galaxy Ent European Warrants Jul 2013 B	0.22	33.32	2013/07/26	100,000,000	2013/03/13
24146	UBS AG	KECL European Warrants Sep 2013 B	0.63	18.28 per unit	2013/09/23	50,000,000	2013/03/13
24147	UBS AG	Tencent Holdings European Put Warrants Aug 2013 B	0.18	269.80 per 100 units	2013/08/05	100,000,000	2013/03/13
21511 #	UBS AG	KECL European Warrants Jul 2014 A	0.161	16.88	2014/07/28	100,000,000	2013/03/13
24165	BNP Paribas Arbit Issu B.V.	Ch LongYuan Eu Wts Oct 2013 A	0.39	8.80 per unit	2013/10/03	38,000,000	2013/03/14
24166	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Dec 2013 A	0.25	5 per unit	2013/12/03	96,000,000	2013/03/14
24163	BNP Paribas Arbit Issu B.V.	Esprit European Wts Feb 2014 A	0.26	10.08	2014/02/05	100,000,000	2013/03/14
24164	BNP Paribas Arbit Issu B.V.	Henganintl Euro Wts Nov 2013 A	0.48	97	2013/11/04	47,000,000	2013/03/14
24167	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Feb 2014 A	0.25	303 per 100 units	2014/02/05	100,000,000	2013/03/14
24154	HK Bank	AIA European Put Warrants Sep 2013 B	0.2	31.65	2013/09/24	80,000,000	2013/03/14
24155	HK Bank	Minsheng Bank European Warrants Sep 2013 A	0.6	12.68 per unit	2013/09/30	60,000,000	2013/03/14
24156	HK Bank	Minsheng Bank European Warrants Sep 2013 B	0.87	11.68 per unit	2013/09/30	50,000,000	2013/03/14
24153	HK Bank	Galaxy Ent European Warrants Aug 2013 A	0.23	33.33	2013/08/05	80,000,000	2013/03/14
24162	J P Morgan SP BV	China Gas Hold European Wts Nov 2014 A	0.25	10	2014/11/03	128,000,000	2013/03/14

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24161	J P Morgan SP BV	CSOP A50 ETF European Warrants Aug 2013 A	0.329	11.90 per unit	2013/08/19	100,000,000	2013/03/14
24160	J P Morgan SP BV	CSOP A50 ETF European Warrants Sep 2013 A	0.25	12.90 per unit	2013/09/02	100,000,000	2013/03/14
24159	J P Morgan SP BV	A50 China European Warrants Aug 2013 A	0.431	12 per unit	2013/08/26	100,000,000	2013/03/14
24158	J P Morgan SP BV	A50 China European Warrants Sep 2013 A	0.335	12.50 per unit	2013/09/13	100,000,000	2013/03/14
24157	Macquarie Bank Ltd.	Angang Steel European Wts Jan 2014 A	0.25	7.50 per unit	2014/01/03	50,000,000	2013/03/14
24168	Macquarie Bank Ltd.	China Mengniu European Wts Dec 2013 A	0.253	30	2013/12/03	100,000,000	2013/03/14
24170	BOCI Asia Ltd.	Tencent Holdings European Wts Aug 2013 B	0.15	308.88 per 100 units	2013/08/05	100,000,000	2013/03/15
24169	BOCI Asia Ltd.	Tencent Holdings European Put Wts Aug 2013 A	0.16	270 per 100 units	2013/08/12	100,000,000	2013/03/15
24197	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Oct 2013 A	0.35	11.88 per unit	2013/10/21	100,000,000	2013/03/15
24204	Goldman Sachs SP (Asia)	China Mobile Euro Wts Sep 2013 B	0.25	88.88	2013/09/30	80,000,000	2013/03/15
24203	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Sep 2013 A	0.259	33.88	2013/09/30	80,000,000	2013/03/15
24200	Goldman Sachs SP (Asia)	HSBC European Warrants Sep 2013 B	0.28	88	2013/09/30	80,000,000	2013/03/15
24201	Goldman Sachs SP (Asia)	Hutchison European Wts Sep 2013 C	0.252	94.8	2013/09/30	80,000,000	2013/03/15
24195	HK Bank	China Life European Warrants Oct 2013 A	0.16	24.33	2013/10/10	100,000,000	2013/03/15
24199	Macquarie Bank Ltd.	CHALCO European Warrants Jan 2014 A	0.25	4.50 per unit	2014/01/10	50,000,000	2013/03/15
24171	Macquarie Bank Ltd.	Geely Auto European Wts Oct 2013 B	0.255	4.99 per unit	2013/10/03	100,000,000	2013/03/15
24174	Merrill Lynch Int'l & Co. C.V.	Ch Ship Dev Eu Wt Sep2013 A	0.35	5.18 per unit	2013/09/23	40,000,000	2013/03/15
24176	Merrill Lynch Int'l & Co. C.V.	Ch Ship Dev Eu Wt Sep2013 B	0.47	4.78 per unit	2013/09/16	40,000,000	2013/03/15
24177	Merrill Lynch Int'l & Co. C.V.	Huaneng Power Eu Wt Sep13 A	0.56	8.38 per unit	2013/09/16	40,000,000	2013/03/15
24179	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Jun 2013 A	0.3	68.89	2013/06/24	40,000,000	2013/03/15
24178	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Jul 2013 A	0.25	72.89	2013/07/26	40,000,000	2013/03/15
24192	Standard Chartered Bank	CNBM European Warrants Jul 2013 A	0.285	14.88 per unit	2013/07/08	50,000,000	2013/03/15
24191	Standard Chartered Bank	CNBM European Warrants Apr 2014 A	0.19	12.88	2014/04/07	100,000,000	2013/03/15
24190	Standard Chartered Bank	Tencent Hldg Eu Put Wts Aug 2013 B	0.17	269.80 per 100 units	2013/08/05	80,000,000	2013/03/15
24193	Standard Chartered Bank	ZTE European Warrants Aug 2013 A	0.17	15.02	2013/08/26	100,000,000	2013/03/15
24194	Standard Chartered Bank	ZTE European Warrants Oct 2013 A	0.25	12.88	2013/10/04	100,000,000	2013/03/15
24180	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul2013 E	0.15	23.5	2013/07/29	200,000,000	2013/03/15
24181	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug2013 A	0.15	24	2013/08/26	200,000,000	2013/03/15
24182	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct2013 A	0.165	24.28	2013/10/16	200,000,000	2013/03/15
24183	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul2013B	0.215	88.88	2013/07/29	150,000,000	2013/03/15
24184	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul2013C	0.15	91	2013/07/17	150,000,000	2013/03/15
24185	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep2013A	0.155	95	2013/09/30	150,000,000	2013/03/15
24186	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jul2013 B	0.18	17.58 per unit	2013/07/22	70,000,000	2013/03/15
24189	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jul2013 D	0.36	12.28 per unit	2013/07/29	50,000,000	2013/03/15
24187	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep2013A	0.185	22,600 per 8,000 units	2013/09/27	300,000,000	2013/03/15
24209	UBS AG	CC Bank European Put Warrants Sep 2013 B	0.5	6.18 per unit	2013/09/23	100,000,000	2013/03/15
24210	UBS AG	China Mobile European Put Warrants Oct 2013 A	0.52	80.88	2013/10/09	100,000,000	2013/03/15
24207	UBS AG	Galaxy Ent European Warrants Sep 2013 B	0.3	31.88	2013/09/16	80,000,000	2013/03/15
24208	UBS AG	HSBC European Put Warrants Sep 2013 B	0.51	80.88	2013/09/16	100,000,000	2013/03/15
24205	UBS AG	HSI European Put Warrants Sep 2013 C	0.25	23,000 per 8,000 units	2013/09/27	300,000,000	2013/03/15
24239	HK Bank	CC Bank European Warrants Jul 2013 D	0.15	7.09 per unit	2013/07/29	80,000,000	2013/03/18
24235	HK Bank	CC Bank European Warrants Sep 2013 A	0.32	6.50 per unit	2013/09/30	60,000,000	2013/03/18
24226	HK Bank	Datang Power European Warrants Sep 2013 A	0.25	3.98 per unit	2013/09/18	60,000,000	2013/03/18

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24232	HK Bank	Huaneng Power European Warrants Sep 2013 A	0.36	8.68 per unit	2013/09/18	60,000,000	2013/03/18
24265	Macquarie Bank Ltd.	Evergrande European Wts Oct 2013 A	0.25	4.38 per unit	2013/10/03	100,000,000	2013/03/18
24264	Macquarie Bank Ltd.	First Pacific European Wts Apr 2015 A	0.25	13.88	2015/04/10	150,000,000	2013/03/18
24241	Macquarie Bank Ltd.	Golden Eagle European Wts Dec 2013 A	0.15	20.88	2013/12/03	120,000,000	2013/03/18
24261	Macquarie Bank Ltd.	Jiansu Express European Wts Dec 2014 A	0.15	8.28	2014/12/11	150,000,000	2013/03/18
24243	Macquarie Bank Ltd.	Luk Fook Hold European Wts Oct 2013 A	0.25	30.88	2013/10/03	120,000,000	2013/03/18
24271	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Sep 2013 C	0.16	31.67	2013/09/23	80,000,000	2013/03/18
24213	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jul 2013 D	0.15	92.93	2013/07/24	80,000,000	2013/03/18
24212	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Sep 2013 B	0.151	94.99	2013/09/23	80,000,000	2013/03/18
24217	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Sep 2013 C	0.25	87.99	2013/09/18	40,000,000	2013/03/18
24218	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Oct 2013 A	0.395	83.99	2013/10/28	40,000,000	2013/03/18
24274	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Jul 2013 A	0.15	4.51 per unit	2013/07/29	80,000,000	2013/03/18
24273	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Sep 2013 A	0.151	5.01 per unit	2013/09/24	80,000,000	2013/03/18
24278	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2013 B	0.194	158.89	2013/07/15	60,000,000	2013/03/18
24277	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Aug 2013 A	0.224	165.01	2013/08/26	50,000,000	2013/03/18
24211	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2014 A	0.165	142.10 per 100 units	2014/12/24	70,000,000	2013/03/18
24269	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Oct 2013 B	0.153	12,000 per 4,200 units	2013/10/30	100,000,000	2013/03/18
24270	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2013 E	0.185	22,600 per 8,000 units	2013/09/27	100,000,000	2013/03/18
24275	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Oct2013 A	0.3	289.99 per 100 units	2013/10/28	40,000,000	2013/03/18
24247	CC Rabobank B.A.	AIA European Warrants Mar 2014 A	0.25	40	2014/03/03	120,000,000	2013/03/18
24248	CC Rabobank B.A.	AIA European Put Warrants Sep 2013 C	0.15	31.63	2013/09/23	120,000,000	2013/03/18
24245	CC Rabobank B.A.	CHALCO European Warrants Sep 2013 A	0.3	3.70 per unit	2013/09/23	50,000,000	2013/03/18
24244	CC Rabobank B.A.	Angang Steel European Warrants Sep 2013 A	0.5	6.28 per unit	2013/09/23	40,000,000	2013/03/18
24246	CC Rabobank B.A.	Ch State Con European Warrants Sep 2013 A	1.2	12.38 per unit	2013/09/17	35,000,000	2013/03/18
24240	Standard Chartered Bank	CC Bank European Wts Sep 2013 A	0.15	7.88 per unit	2013/09/27	100,000,000	2013/03/18
24250	SGA Societe Generale Acceptance N.V	Belle Int'l Eu Wt Sep13A	0.15	16.88	2013/09/23	100,000,000	2013/03/18
24255	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Sep13A	0.205	23	2013/09/30	100,000,000	2013/03/18
24252	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct13 A	0.65	13.88 per unit	2013/10/07	30,000,000	2013/03/18
24253	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Sep13B	0.15	25.38	2013/09/11	100,000,000	2013/03/18
24219	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug13A	0.46	11.88 per unit	2013/08/19	50,000,000	2013/03/18
24254	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 13 B	0.17	32.5	2013/09/25	100,000,000	2013/03/18
24256	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Dec13A	0.15	12	2013/12/02	150,000,000	2013/03/18
24259	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Sep13A	0.32	2.48 per unit	2013/09/02	100,000,000	2013/03/18
24258	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Oct13A	0.23	36.28	2013/10/21	100,000,000	2013/03/18
24224	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2013 D	0.295	158.88	2013/07/15	50,000,000	2013/03/18
24225	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2013 A	0.32	168.88	2013/09/30	50,000,000	2013/03/18
24249	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Aug 13 C	0.175	23,800 per 10,000 units	2013/08/29	300,000,000	2013/03/18
24260	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Sep13B	0.56	13.88 per unit	2013/09/18	25,000,000	2013/03/18
24268	UBS AG	China Tel European Warrants Jul 2013 A	0.275	4.29 per unit	2013/07/29	100,000,000	2013/03/18
24267	UBS AG	Tencent Holdings European Warrants Sep 2013 B	0.19	300.20 per 100 units	2013/09/24	200,000,000	2013/03/18
24317	Credit Suisse AG	HSI European Warrants Jun 2013 I	0.15	22,600 per 6,400 units	2013/06/27	150,000,000	2013/03/19
24318	Credit Suisse AG	HSI European Warrants Oct 2013 B	0.17	23,000 per 6,800 units	2013/10/30	150,000,000	2013/03/19
24315	Credit Suisse AG	Jiangxi Copper European Wts Nov 2013 A	0.19	18	2013/11/29	70,000,000	2013/03/19

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24312	Credit Suisse AG	KECL European Warrants Apr 2014 A	0.16	16.9	2014/04/30	70,000,000	2013/03/19
24310	Credit Suisse AG	Lumena Newmat European Wts Jul 2013 B	0.15	2 per unit	2013/07/03	70,000,000	2013/03/19
24294	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Oct 2013 A	0.263	9.88 per unit	2013/10/02	120,000,000	2013/03/19
24298	Goldman Sachs SP (Asia)	CRCC European Warrants Oct 2013 A	0.25	10 per unit	2013/10/02	120,000,000	2013/03/19
24297	Goldman Sachs SP (Asia)	HKEx European Warrants Oct 2013 A	0.333	160	2013/10/02	120,000,000	2013/03/19
24301	Goldman Sachs SP (Asia)	Ping An European Wts Oct 2013 A	0.331	70	2013/10/03	120,000,000	2013/03/19
24299	Goldman Sachs SP (Asia)	Sands China Euro Wts Oct 2013 A	0.25	40	2013/10/30	120,000,000	2013/03/19
24293	HK Bank	AIA European Warrants Sep 2013 C	0.15	36.93	2013/09/23	100,000,000	2013/03/19
24320	HK Bank	EFUND CSI100 European Warrants Sep 2013 A	0.25	32.88	2013/09/19	60,000,000	2013/03/19
24323	J P Morgan SP BV	EFUND CSI100 European Warrants Sep 2013 B	0.25	33	2013/09/18	100,000,000	2013/03/19
24322	J P Morgan SP BV	EFUND CSI100 European Warrants Oct 2013 A	0.25	35	2013/10/02	100,000,000	2013/03/19
24321	J P Morgan SP BV	EFUND CSI100 European Put Wts Sep 2013 A	0.25	28.88	2013/09/18	100,000,000	2013/03/19
24309	Macquarie Bank Ltd.	China EB Int'l European Wts Sep 2013 A	0.365	6.88 per unit	2013/09/03	80,000,000	2013/03/19
24292	Macquarie Bank Ltd.	Ch LongYuan European Wts Oct 2013 A	0.383	8.88 per unit	2013/10/03	80,000,000	2013/03/19
24325	Macquarie Bank Ltd.	EFUND CSI100 European Wts Oct 2013 A	0.25	33	2013/10/03	120,000,000	2013/03/19
24306	Macquarie Bank Ltd.	Hutchison European Warrants Jul 2013 A	0.15	93.88	2013/07/03	70,000,000	2013/03/19
24280	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Mar 2014 B	0.25	147.33 per 100 units	2014/03/24	40,000,000	2013/03/19
24305	Standard Chartered Bank	CNOOC European Warrants Jul 2013 B	0.15	18.88 per unit	2013/07/29	80,000,000	2013/03/19
24304	Standard Chartered Bank	HSBC European Put Wts Jul 2013 B	0.165	74.83	2013/07/23	80,000,000	2013/03/19
24282	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt Oct2013 A	0.285	6.70 per unit	2013/10/16	40,000,000	2013/03/19
24284	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Apr 2014 A	0.17	12.88	2014/04/07	150,000,000	2013/03/19
24285	SGA Societe Generale Acceptance N.V.	CRCC Eu Wt Aug 2013 A	0.3	10 per unit	2013/08/05	35,000,000	2013/03/19
24324	SGA Societe Generale Acceptance N.V.	EF CSI100 Eu Wt Oct13A	0.25	30.68	2013/10/07	70,000,000	2013/03/19
24287	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Jul13 B	0.165	4.38 per unit	2013/07/29	70,000,000	2013/03/19
24288	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jul13A	0.175	36	2013/07/29	70,000,000	2013/03/19
24289	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Nov13 A	0.18	10	2013/11/25	100,000,000	2013/03/19
24290	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Oct13A	0.165	20.2	2013/10/02	80,000,000	2013/03/19
24286	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt Aug13A	0.355	6.50 per unit	2013/08/05	30,000,000	2013/03/19
24283	SGA Societe Generale Acceptance N.V.	Zoomlion Eu Wt Nov13A	0.15	10	2013/11/25	150,000,000	2013/03/19
24291	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Oct13A	0.21	2.88 per unit	2013/10/02	50,000,000	2013/03/19
24326	UBS AG	EFUND CSI100 European Warrants Sep 2013 A	0.25	33.88	2013/09/18	100,000,000	2013/03/19
21001 #	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jul 2013 A	0.096	74.81	2013/07/29	110,000,000	2013/03/19
24342	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jan 2014 A	0.4	88.88	2014/01/13	100,000,000	2013/03/20
24343	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jan 2014 A	0.5	65.2	2014/01/13	100,000,000	2013/03/20
24338	Macquarie Bank Ltd.	CITIC Pacific European Wts Nov 2013 A	0.343	13 per unit	2013/11/04	80,000,000	2013/03/20
24337	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Oct 2013 A	0.25	2.20 per unit	2013/10/03	100,000,000	2013/03/20
24336	Macquarie Bank Ltd.	Stanchart European Warrants Jan 2014 A	0.15	230 per 100 units	2014/01/03	350,000,000	2013/03/20
24335	Standard Chartered Bank	HSI European Put Wts Sep 2013 B	0.15	22,500 per 8,000 units	2013/09/27	100,000,000	2013/03/20
24330	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Aug 2013 B	0.26	6.59 per unit	2013/08/26	120,000,000	2013/03/20
24331	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Sep13 A	0.31	4.88 per unit	2013/09/23	80,000,000	2013/03/20
24328	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Sep13 A	0.25	5.50 per unit	2013/09/23	50,000,000	2013/03/20
24334	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Sep2013 A	0.22	10.18 per unit	2013/09/02	50,000,000	2013/03/20
24332	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Aug13A	0.15	46.88	2013/08/26	80,000,000	2013/03/20

Newly listed derivative warrants *(continued)*

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24333	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 13 D	0.27	71	2013/08/28	100,000,000	2013/03/20
24339	UBS AG	China Life European Warrants Sep 2013 D	0.25	21.88	2013/09/23	200,000,000	2013/03/20
24365	Credit Suisse AG	CC Bank European Warrants Aug 2013 B	0.2	6.59 per unit	2013/08/30	80,000,000	2013/03/21
24364	Credit Suisse AG	Cheung Kong European Warrants Jun 2013 D	0.15	130	2013/06/28	70,000,000	2013/03/21
24366	Credit Suisse AG	China Life European Warrants Aug 2013 D	0.15	22.9	2013/08/30	80,000,000	2013/03/21
24375	Credit Suisse AG	CSOP A50 ETF European Warrants Aug 2013 A	0.35	11.50 per unit	2013/08/12	70,000,000	2013/03/21
24374	Credit Suisse AG	ChiUnicom European Warrants Apr 2014 A	0.15	12	2014/04/22	70,000,000	2013/03/21
24378	Credit Suisse AG	A50 China European Warrants Jul 2013 D	0.19	12.30 per unit	2013/07/29	70,000,000	2013/03/21
24376	Credit Suisse AG	A50 China European Warrants Apr 2014 A	0.15	10	2014/04/28	80,000,000	2013/03/21
24373	Credit Suisse AG	Geely Auto European Warrants Jul 2013 A	0.2	4.49 per unit	2013/07/29	60,000,000	2013/03/21
24371	Credit Suisse AG	HKEx European Warrants Jul 2014 A	0.15	149 per 100 units	2014/07/21	80,000,000	2013/03/21
24372	Credit Suisse AG	SHK Ppt European Warrants Sep 2013 A	0.33	120.9	2013/09/02	60,000,000	2013/03/21
24353	HK Bank	China Life European Warrants Aug 2013 D	0.15	22.93	2013/08/28	120,000,000	2013/03/21
24351	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2013 A	0.25	6.68 per unit	2013/10/02	200,000,000	2013/03/21
24350	J P Morgan SP BV	Geely Auto European Warrants Jul 2013 A	0.15	4.31 per unit	2013/07/26	100,000,000	2013/03/21
24347	J P Morgan SP BV	KECL European Warrants Apr 2014 A	0.15	16.9	2014/04/28	200,000,000	2013/03/21
24379	J P Morgan SP BV	SHK Ppt European Warrants Sep 2013 A	0.25	120.88	2013/09/02	100,000,000	2013/03/21
24357	Standard Chartered Bank	Geely Auto European Wts Jul 2013 A	0.215	4.31 per unit	2013/07/26	50,000,000	2013/03/21
24355	Standard Chartered Bank	Zhaojin Mining Euro Wts Sep 2013 A	0.15	11.4	2013/09/27	100,000,000	2013/03/21
24356	Standard Chartered Bank	Zijin Mining Euro Wts Sep 2013 B	0.35	2.33 per unit	2013/09/27	80,000,000	2013/03/21
24359	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jul 13 D	0.25	85	2013/07/29	150,000,000	2013/03/21
24358	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 13 F	0.15	23,000 per 10,000 units	2013/06/27	300,000,000	2013/03/21
24346	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2013 A	0.34	88.88	2013/09/02	100,000,000	2013/03/21
10176	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Sep13 A	0.255	99 per 1 units	2013/09/30	50,000,000	2013/03/21
10177	SGA Societe Generale Acceptance N.V	USD/YEN Eu Put Wt Sep13B	0.255	93 per 1 units	2013/09/30	50,000,000	2013/03/21
24363	UBS AG	A50 China European Warrants Sep 2013 A	0.25	11.88 per unit	2013/09/23	100,000,000	2013/03/21
24360	UBS AG	ICBC European Warrants Jul 2013 C	0.37	5.01 per unit	2013/07/26	100,000,000	2013/03/21
17713 #	Daiwa Capital Mkt	A50 China European Warrants Sep 2014 A	0.106	10.98	2014/09/15	672,000,000	2013/03/21
24443	Credit Suisse AG	Anhui Conch European Warrants Sep 2013 A	0.26	27.38	2013/09/23	50,000,000	2013/03/22
24438	Credit Suisse AG	AIA European Put Warrants Sep 2013 D	0.15	31.65	2013/09/23	70,000,000	2013/03/22
24442	Credit Suisse AG	CNBM European Warrants Sep 2013 A	0.8	12.58 per unit	2013/09/23	50,000,000	2013/03/22
24440	Credit Suisse AG	CNBM European Warrants Apr 2014 A	0.15	12.5	2014/04/11	70,000,000	2013/03/22
24439	Credit Suisse AG	Tencent Holdings European Wts Sep 2013 B	0.15	300 per 100 units	2013/09/27	70,000,000	2013/03/22
24464	Citigroup Global Mkt H Inc.	AIA European Wts Nov 2013 A	0.25	38	2013/11/18	100,000,000	2013/03/22
24457	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jan 2014 B	0.4	91.88	2014/01/20	100,000,000	2013/03/22
24460	Citigroup Global Mkt H Inc.	CNOOC European Wts Nov 2013 A	0.8	15.28 per unit	2013/11/18	100,000,000	2013/03/22
24459	Citigroup Global Mkt H Inc.	CNOOC European Wts Dec 2013 A	0.5	15.78 per unit	2013/12/16	100,000,000	2013/03/22
24454	Citigroup Global Mkt H Inc.	Sinopec Corp Eu Wts Sep 2013 A	0.35	9 per unit	2013/09/23	100,000,000	2013/03/22
24453	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts Sep 2013 A	0.8	10.80 per unit	2013/09/23	100,000,000	2013/03/22
24451	Citigroup Global Mkt H Inc.	ChiUnicom Euro Wts Jan 2014 A	0.8	11.80 per unit	2014/01/20	100,000,000	2013/03/22
24469	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Dec 2013 A	0.6	81.5	2013/12/16	100,000,000	2013/03/22
24466	Citigroup Global Mkt H Inc.	Hutchison Eu Put Wt Jan 2014 A	0.35	75	2014/01/20	60,000,000	2013/03/22
24461	Citigroup Global Mkt H Inc.	Ping An Euro Wts Nov 2013 C	0.3	68.5	2013/11/18	100,000,000	2013/03/22

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24472	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Dec 2013 A	0.6	115	2013/12/16	100,000,000	2013/03/22
24470	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Jan 2014 A	0.5	120	2014/01/20	100,000,000	2013/03/22
24450	Daiwa Capital Mkt	CAM CSI300 European Warrants Oct 2013 B	0.35	29.18	2013/10/15	100,000,000	2013/03/22
24446	Daiwa Capital Mkt	CSOP A50 ETF European Wts Sep 2013 A	0.54	11.02 per unit	2013/09/16	80,000,000	2013/03/22
24445	Daiwa Capital Mkt	HSI European Put Warrants Sep 2013 C	0.17	22,000 per 7,600 units	2013/09/27	200,000,000	2013/03/22
24444	Daiwa Capital Mkt	Hutchison European Warrants Sep 2013 B	0.35	85.88	2013/09/23	128,000,000	2013/03/22
24423	HK Bank	BOC HK European Warrants Sep 2014 A	0.15	30.05	2014/09/23	100,000,000	2013/03/22
24417	HK Bank	China Mobile European Warrants Sep 2013 B	0.25	89.41	2013/09/30	100,000,000	2013/03/22
24418	HK Bank	China Mobile European Warrants Sep 2013 C	0.15	95.05	2013/09/30	100,000,000	2013/03/22
24421	HK Bank	China Shenhua European Warrants Sep 2013 A	0.15	32.55	2013/09/30	100,000,000	2013/03/22
24415	HK Bank	A50 China European Warrants Jan 2014 A	0.15	10.02	2014/01/02	200,000,000	2013/03/22
24420	HK Bank	SHK Ppt European Warrants Sep 2013 A	0.25	123.8	2013/09/23	100,000,000	2013/03/22
24435	HK Bank	Zoomlion European Warrants Apr 2014 B	0.15	10.52	2014/04/14	100,000,000	2013/03/22
24414	J P Morgan SP BV	BOCL European Warrants Oct 2013 A	0.25	3.70 per unit	2013/10/02	200,000,000	2013/03/22
24413	J P Morgan SP BV	Cheung Kong European Warrants Sep 2013 B	0.293	128.88	2013/09/23	100,000,000	2013/03/22
24412	J P Morgan SP BV	Sinopec Corp European Warrants Sep 2013 A	0.25	9.80 per unit	2013/09/23	200,000,000	2013/03/22
24426	J P Morgan SP BV	Ping An European Warrants Aug 2013 A	0.15	72.88	2013/08/05	120,000,000	2013/03/22
24428	J P Morgan SP BV	Ping An European Warrants Oct 2013 A	0.285	68	2013/10/02	120,000,000	2013/03/22
24382	Nomura Int'l plc	Angang Steel European Warrants Dec 2013 A	0.172	7.51 per unit	2013/12/24	80,000,000	2013/03/22
24393	Nomura Int'l plc	CC Bank European Warrants Sep 2013 A	0.26	6.55 per unit	2013/09/26	50,000,000	2013/03/22
24395	Nomura Int'l plc	CITIC Bank European Warrants Oct 2013 A	0.256	5.40 per unit	2013/10/02	80,000,000	2013/03/22
24381	Nomura Int'l plc	CITIC P European Warrants Jan 2014 A	0.15	10.8	2014/01/30	80,000,000	2013/03/22
24407	Nomura Int'l plc	Minsheng Bank European Wts Sep 2013 A	0.49	12.98 per unit	2013/09/30	80,000,000	2013/03/22
24408	Nomura Int'l plc	Minsheng Bank European Wts May 2015 A	0.25	10.68	2015/05/28	100,000,000	2013/03/22
24410	Nomura Int'l plc	Ch State Con European Warrants Apr 2014 A	0.25	13	2014/04/28	50,000,000	2013/03/22
24391	Nomura Int'l plc	China Telecom European Wts Nov 2013 A	0.154	5 per unit	2013/11/26	80,000,000	2013/03/22
24392	Nomura Int'l plc	China Unicom European Warrants Apr 2014 A	0.15	12	2014/04/22	80,000,000	2013/03/22
24394	Nomura Int'l plc	Datang European Warrants Mar 2014 A	0.265	4.18 per unit	2014/03/28	50,000,000	2013/03/22
24409	Nomura Int'l plc	Foxconn European Warrants Oct 2013 A	0.169	4.19 per unit	2013/10/21	80,000,000	2013/03/22
24387	Nomura Int'l plc	Gome European Warrants May 2014 A	0.154	1.06 per unit	2014/05/19	80,000,000	2013/03/22
24383	Nomura Int'l plc	HKEx European Warrants Sep 2014 A	0.15	150.08 per 100 units	2014/09/05	80,000,000	2013/03/22
24402	Nomura Int'l plc	ICBC European Warrants Dec 2013 A	0.257	6 per unit	2013/12/31	80,000,000	2013/03/22
24389	Nomura Int'l plc	Li & Fung European Warrants Nov 2013 A	0.15	10.02	2013/11/25	80,000,000	2013/03/22
24390	Nomura Int'l plc	Li & Fung European Warrants Dec 2014 A	0.151	11.268	2014/12/24	80,000,000	2013/03/22
24411	Nomura Int'l plc	Sunart Retail European Wts Aug 2014 A	0.253	13	2014/08/28	50,000,000	2013/03/22
24397	Nomura Int'l plc	Yurun Food European Warrants Sep 2013 A	0.254	7.28 per unit	2013/09/26	50,000,000	2013/03/22
24399	Nomura Int'l plc	Yanzhou Coal European Warrants Mar 2014 B	0.155	10.82	2014/03/21	80,000,000	2013/03/22
24436	UBS AG	KECL European Warrants Apr 2014 A	0.174	16.9	2014/04/28	100,000,000	2013/03/22
24437	UBS AG	Ping An European Warrants Aug 2013 B	0.31	66.93	2013/08/15	100,000,000	2013/03/22
24495	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Dec 2013 B	0.26	6.88 per unit	2013/12/03	100,000,000	2013/03/25
24496	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Nov 2013 A	0.17	22.9	2013/11/29	100,000,000	2013/03/25
24499	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Feb 2014 A	0.15	105.05	2014/02/05	100,000,000	2013/03/25
24497	BNP Paribas Arbit Issu B.V.	Ping An European Wt Aug 2013 B	0.21	66.88	2013/08/29	100,000,000	2013/03/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24501	Credit Suisse	Henderson Land European Warrants Sep 2013 A	0.33	52.38	2013/09/30	50,000,000	2013/03/25
24504	Credit Suisse AG	HSI European Warrants Jun 2013 J	0.15	22,300 per 5,400 units	2013/06/27	150,000,000	2013/03/25
24503	Credit Suisse AG	HSI European Warrants Aug 2013 C	0.15	21,500 per 9,800 units	2013/08/29	150,000,000	2013/03/25
24505	Credit Suisse AG	HSI European Warrants Sep 2013 C	0.16	22,100 per 6,000 units	2013/09/27	150,000,000	2013/03/25
24502	Credit Suisse AG	Ping An European Warrants Jul 2013 G	0.2	66	2013/07/22	70,000,000	2013/03/25
24487	Daiwa Capital Mkt	Ping An European Warrants Aug 2013 B	0.21	66.9	2013/08/15	108,000,000	2013/03/25
24474	HK Bank	CPIC European Warrants Sep 2013 B	0.25	28.38	2013/09/30	80,000,000	2013/03/25
24475	HK Bank	GCL-Poly Energy European Warrants Sep 2013 A	0.25	1.98 per unit	2013/09/30	60,000,000	2013/03/25
24473	HK Bank	Henderson Land Dev European Warrants Oct 2013 A	0.25	55.88	2013/10/15	80,000,000	2013/03/25
24480	HK Bank	Jiangxi Copper European Warrants Dec 2013 A	0.15	19.62	2013/12/09	100,000,000	2013/03/25
24519	J P Morgan SP BV	China Life European Warrants Sep 2013 D	0.15	22.88	2013/09/02	300,000,000	2013/03/25
24518	J P Morgan SP BV	China Mob European Wts Oct 2013 A	0.25	83.88	2013/10/02	200,000,000	2013/03/25
24510	J P Morgan SP BV	HSBC European Warrants Oct 2013 A	0.273	86.88	2013/10/02	200,000,000	2013/03/25
24512	J P Morgan SP BV	HSI European Warrants Sep 2013 F	0.25	21,600 per 7,300 units	2013/09/27	300,000,000	2013/03/25
24513	J P Morgan SP BV	HSI European Put Warrants Sep 2013 G	0.25	22,000 per 8,000 units	2013/09/27	300,000,000	2013/03/25
24509	J P Morgan SP BV	Hutchison European Warrants Oct 2013 A	0.346	82.88	2013/10/02	150,000,000	2013/03/25
24491	Macquarie Bank Ltd.	CC Bank European Warrants Dec 2013 A	0.251	7 per unit	2013/12/03	100,000,000	2013/03/25
24488	Macquarie Bank Ltd.	China Life European Wts Sep 2013 A	0.158	22.9	2013/09/03	100,000,000	2013/03/25
24490	Macquarie Bank Ltd.	ICBC European Warrants Dec 2013 A	0.251	5.80 per unit	2013/12/03	100,000,000	2013/03/25
24483	Macquarie Bank Ltd.	Tencent European Warrants Nov 2013 A	0.251	280 per 100 units	2013/11/04	200,000,000	2013/03/25
24481	Standard Chartered Bank	China Mobile Eur Put Wt Jul 2013 C	0.15	72.95	2013/07/30	80,000,000	2013/03/25
24494	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Aug13A	0.17	21.28	2013/08/05	100,000,000	2013/03/25
24493	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Dec2013 A	0.17	18	2013/12/02	100,000,000	2013/03/25
24508	UBS AG	Cheung Kong European Warrants Aug 2013 A	0.235	126.11	2013/08/26	50,000,000	2013/03/25
24485	UBS AG	CNOOC European Warrants Nov 2014 A	0.25	14.68	2014/11/24	100,000,000	2013/03/25
24507	UBS AG	Chinares Cement European Warrants Sep 2013 B	0.39	4.88 per unit	2013/09/30	30,000,000	2013/03/25
24506	UBS AG	GCL-Poly Energy European Warrants Oct 2013 A	0.28	1.73 per unit	2013/10/11	60,000,000	2013/03/25
24522	HK Bank	HSI European Warrants Sep 2013 E	0.25	21,500 per 6,500 units	2013/09/27	100,000,000	2013/03/26
24525	HK Bank	HSI European Warrants Sep 2013 F	0.19	22,100 per 6,000 units	2013/09/27	100,000,000	2013/03/26
24526	HK Bank	Ping An European Warrants Jul 2013 A	0.18	66.06	2013/07/15	100,000,000	2013/03/26
24527	HK Bank	Ping An European Warrants Oct 2013 A	0.18	75.05	2013/10/28	100,000,000	2013/03/26
24529	HK Bank	Tencent European Put Warrants Aug 2013 B	0.15	249.80 per 100 units	2013/08/27	100,000,000	2013/03/26
24528	HK Bank	Yanzhou Coal European Warrants Oct 2014 A	0.15	12.7	2014/10/28	80,000,000	2013/03/26
24535	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2013 A	0.19	6.81 per unit	2013/09/30	100,000,000	2013/03/26
24531	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Sep2013 A	0.245	126.1	2013/09/02	80,000,000	2013/03/26
24533	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Nov2013 A	0.155	22.88	2013/11/18	200,000,000	2013/03/26
24540	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct2013 A	0.49	16.28 per unit	2013/10/02	50,000,000	2013/03/26
24543	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Dec2013 A	0.155	7.28	2013/12/04	300,000,000	2013/03/26
24541	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Sep 2013 B	0.5	148.88	2013/09/30	50,000,000	2013/03/26
24536	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Sep 2013 B	0.25	5.48 per unit	2013/09/30	100,000,000	2013/03/26
24538	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Dec 2013 A	0.185	5.89 per unit	2013/12/02	100,000,000	2013/03/26
24542	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug2013E	0.2	66.88	2013/08/19	100,000,000	2013/03/26
24544	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Sep2013 A	0.295	120.88	2013/09/02	50,000,000	2013/03/26

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24547	UBS AG	HSBC European Warrants Sep 2013 C	0.25	88.88	2013/09/25	100,000,000	2013/03/26
24546	UBS AG	HSI European Warrants Sep 2013 D	0.25	21,700 per 7,300 units	2013/09/27	300,000,000	2013/03/26
24545	UBS AG	Ping An European Warrants Oct 2013 A	0.34	63.88	2013/10/09	100,000,000	2013/03/26
21748 #	HK Bank	HSCEI European Warrants Oct 2013 A	0.142	10,888 per 5,000 units	2013/10/30	150,000,000	2013/03/26
24600	Daiwa Capital Mkt	China Life European Warrants Sep 2013 A	0.16	21.88	2013/09/16	198,000,000	2013/03/27
24588	Goldman Sachs SP (Asia)	China Life Euro Wts Sep 2013 C	0.152	22.88	2013/09/02	100,000,000	2013/03/27
24590	Goldman Sachs SP (Asia)	China Life Euro Wts Nov 2013 A	0.251	22	2013/11/04	100,000,000	2013/03/27
24593	Goldman Sachs SP (Asia)	Henderson Land Euro Wts Dec 2013 A	0.264	55	2013/12/30	80,000,000	2013/03/27
24596	Goldman Sachs SP (Asia)	JIANC European Warrants Dec 2013 A	0.169	19.63	2013/12/09	80,000,000	2013/03/27
24594	Goldman Sachs SP (Asia)	SHK Ppt European Wts Dec 2013 A	0.438	120	2013/12/30	80,000,000	2013/03/27
24585	HK Bank	China Life European Warrants Sep 2013 B	0.18	21.93	2013/09/13	120,000,000	2013/03/27
24556	HK Bank	China Mobile European Warrants Oct 2013 A	0.25	82.98	2013/10/09	80,000,000	2013/03/27
24557	HK Bank	Tencent European Warrants Sep 2013 B	0.15	279.80 per 100 units	2013/09/25	120,000,000	2013/03/27
24551	J P Morgan SP BV	Anhui Conch European Warrants Oct 2013 A	0.25	28	2013/10/02	100,000,000	2013/03/27
24552	J P Morgan SP BV	China Agri European Warrants Oct 2013 A	0.252	4.68 per unit	2013/10/02	40,000,000	2013/03/27
24553	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Oct 2013 B	0.25	6.18 per unit	2013/10/02	200,000,000	2013/03/27
24554	J P Morgan SP BV	CNOOC European Warrants Oct 2013 A	0.349	16 per unit	2013/10/02	100,000,000	2013/03/27
24555	J P Morgan SP BV	HKEx European Warrants Oct 2013 A	0.291	150	2013/10/02	100,000,000	2013/03/27
24566	Macquarie Bank Ltd.	China Tel European Warrants Nov 2013 A	0.25	4.50 per unit	2013/11/04	80,000,000	2013/03/27
24573	Macquarie Bank Ltd.	Lumena Newmat European Wts Mar 2014 A	0.274	1.80 per unit	2014/03/04	80,000,000	2013/03/27
24586	Macquarie Bank Ltd.	Weichai Power European Wts Nov 2013 A	0.25	29.88	2013/11/04	120,000,000	2013/03/27
24559	Standard Chartered Bank	China Mobile Euro Wts Nov 2013 A	0.3	84.28	2013/11/04	100,000,000	2013/03/27
24560	Standard Chartered Bank	CNOOC European Warrants Nov 2013 B	0.25	12	2013/11/04	100,000,000	2013/03/27
24562	Standard Chartered Bank	Chi Res Power Euro Wts Nov 2013 A	0.25	26.88	2013/11/04	60,000,000	2013/03/27
24563	Standard Chartered Bank	HSI European Warrants Sep 2013 C	0.157	21,100 per 9,000 units	2013/09/27	100,000,000	2013/03/27
24561	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2013 A	0.4	84.88	2013/10/10	100,000,000	2013/03/27
24597	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Jan 2014 A	0.18	3.91 per unit	2014/01/15	100,000,000	2013/03/27
24577	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep2013 A	0.15	21.88	2013/09/16	200,000,000	2013/03/27
24578	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Aug2013A	0.15	30	2013/08/26	100,000,000	2013/03/27
24582	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Sep 13A	0.2	3.88 per unit	2013/09/02	50,000,000	2013/03/27
24599	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt Nov13A	0.15	12.88	2013/11/25	100,000,000	2013/03/27
24583	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2013 D	0.325	5 per unit	2013/07/31	100,000,000	2013/03/27
21311 #	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Jul 2013 B	0.063	278.01 per 100 units	2013/07/02	160,000,000	2013/03/27
21927 #	Daiwa Capital Mkt	BOCL European Warrants Aug 2013 A	0.094	3.68 per unit	2013/08/08	200,000,000	2013/03/27
24651	Credit Suisse AG	Lumena Newmat European Wts Aug 2013 A	0.18	1.81 per unit	2013/08/30	70,000,000	2013/03/28
24641	Credit Suisse AG	Tencent Holdings European Wts Nov 2013 A	0.15	288.30 per 100 units	2013/11/29	100,000,000	2013/03/28
24650	Credit Suisse AG	Yanzhou Coal European Warrants Aug 2013 A	0.45	12.52 per unit	2013/08/30	70,000,000	2013/03/28
24647	Daiwa Capital Mkt	Tencent Holdings European Wts Aug 2013 B	0.18	258.98 per 100 units	2013/08/08	128,000,000	2013/03/28
24648	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Oct 2013 A	0.25	242 per 100 units	2013/10/25	128,000,000	2013/03/28
24639	Goldman Sachs SP (Asia)	China Coal European Wts Dec 2013 A	0.491	7.88 per unit	2013/12/30	80,000,000	2013/03/28
24635	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Aug 2013 A	0.214	126	2013/08/30	80,000,000	2013/03/28
24636	Goldman Sachs SP (Asia)	Ch Overseas European Wts Nov 2013 A	0.189	22.88	2013/11/18	80,000,000	2013/03/28
24638	Goldman Sachs SP (Asia)	Lenovo European Wts Nov 2013 A	0.472	8.88 per unit	2013/11/04	80,000,000	2013/03/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24640	Goldman Sachs SP (Asia)	Ping An European Wts Nov 2013 A	0.343	66.88	2013/11/04	80,000,000	2013/03/28
24637	Goldman Sachs SP (Asia)	Tencent European Put Wt Oct 2013 A	0.254	248.88 per 100 units	2013/10/02	100,000,000	2013/03/28
24644	HK Bank	HSCEI European Warrants Dec 2013 B	0.15	11,555 per 4,000 units	2013/12/30	150,000,000	2013/03/28
24646	HK Bank	ICBC European Warrants Nov 2013 A	0.25	5.83 per unit	2013/11/25	80,000,000	2013/03/28
24645	HK Bank	Ping An European Warrants Oct 2013 B	0.57	57.88	2013/10/09	80,000,000	2013/03/28
24602	J P Morgan SP BV	Tencent European Warrants Oct 2013 C	0.25	275 per 100 units	2013/10/02	200,000,000	2013/03/28
24652	Macquarie Bank Ltd.	CQRC Bank European Warrants Dec 2013 A	0.255	5 per unit	2013/12/03	80,000,000	2013/03/28
24619	Macquarie Bank Ltd.	Chi Resources European Wts Jan 2014 A	0.255	28	2014/01/03	120,000,000	2013/03/28
24617	Macquarie Bank Ltd.	Foxconn European Warrants Nov 2013 A	0.285	3.40 per unit	2013/11/04	120,000,000	2013/03/28
24649	Macquarie Bank Ltd.	HKT-SS European Warrants Nov 2013 A	0.257	8.88 per unit	2013/11/04	100,000,000	2013/03/28
24660	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2013 H	0.15	22,300 per 7,600 units	2013/09/27	100,000,000	2013/03/28
24658	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2013 F	0.28	23,100 per 6,800 units	2013/09/27	100,000,000	2013/03/28
24659	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Sep 2013 G	0.165	22,000 per 7,600 units	2013/09/27	100,000,000	2013/03/28
24621	Nomura Int'l plc	BBMG European Put Warrants Jun 2015 A	0.25	6.18	2015/06/29	50,000,000	2013/03/28
24616	Nomura Int'l plc	CNOOC European Warrants Nov 2013 B	0.84	15.28 per unit	2013/11/01	30,000,000	2013/03/28
24611	Nomura Int'l plc	EFUND CSI100 European Warrants Sep 2013 A	0.15	33.9	2013/09/11	80,000,000	2013/03/28
24612	Nomura Int'l plc	EFUND CSI100 European Put Wts Sep 2013 B	0.15	28.86	2013/09/11	80,000,000	2013/03/28
24629	Nomura Int'l plc	Evergrande European Warrants Dec 2013 A	0.272	4.38 per unit	2013/12/02	80,000,000	2013/03/28
24608	Nomura Int'l plc	A50 China European Warrants Oct 2013 A	0.48	12 per unit	2013/10/02	50,000,000	2013/03/28
24609	Nomura Int'l plc	A50 China European Warrants Oct 2013 B	0.264	13.08 per unit	2013/10/02	50,000,000	2013/03/28
24610	Nomura Int'l plc	A50 China European Put Wts Nov 2013 A	0.292	9 per unit	2013/11/01	50,000,000	2013/03/28
24613	Nomura Int'l plc	Hutchison European Warrants Oct 2013 A	0.294	90	2013/10/02	80,000,000	2013/03/28
24615	Nomura Int'l plc	Tencent Holdings Euro Warrants Mar 2014 A	0.337	248 per 100 units	2014/03/31	50,000,000	2013/03/28
24642	Standard Chartered Bank	Datang Power Euro Wts Nov 2013 A	0.25	3.88 per unit	2013/11/04	80,000,000	2013/03/28
24643	Standard Chartered Bank	A50 China Euro Put Wts Jan 2015 A	0.184	10.98	2015/01/14	100,000,000	2013/03/28
24603	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Sep13A	0.28	4.68 per unit	2013/09/30	50,000,000	2013/03/28
24606	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Sep 2013 A	0.53	19 per unit	2013/09/30	30,000,000	2013/03/28
24607	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Sep 13 A	0.61	9 per unit	2013/09/30	50,000,000	2013/03/28
24634	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Nov 13 A	0.195	288.28 per 100 units	2013/11/27	300,000,000	2013/03/28
24656	UBS AG	China Shenhua European Warrants Aug 2013 B	0.163	30.05	2013/08/26	80,000,000	2013/03/28
24654	UBS AG	ICBC European Put Warrants Aug 2013 B	0.31	4.98 per unit	2013/08/12	80,000,000	2013/03/28
24655	UBS AG	Tencent Holdings European Warrants Sep 2013 C	0.15	280.20 per 100 units	2013/09/25	100,000,000	2013/03/28
24653	UBS AG	Tencent Holdings European Put Warrants Aug 2013 C	0.19	249.80 per 100 units	2013/08/26	100,000,000	2013/03/28
22529 #	Standard Chartered Bank	HSI European Warrants Sep 2013 A	0.063	24,000 per 6,000 units	2013/09/27	160,000,000	2013/03/28
21294 #	Standard Chartered Bank	Tencent Hldg Euro Wts Jul 2013 C	0.112	248.88 per 100 units	2013/07/10	100,000,000	2013/03/28
24683	BOCI Asia Ltd.	China Mobile European Warrants Aug 2013 A	0.17	88.88	2013/08/08	100,000,000	2013/04/02
24681	BOCI Asia Ltd.	Ping An European Warrants Sep 2013 A	0.19	70.99	2013/09/03	100,000,000	2013/04/02
24678	BOCI Asia Ltd.	Tencent Holdings European Wts Sep 2013 A	0.15	268.88 per 100 units	2013/09/03	100,000,000	2013/04/02
24699	Credit Suisse AG	KECL European Warrants Aug 2013 A	0.17	19.90 per unit	2013/08/05	70,000,000	2013/04/02
24700	Daiwa Capital Mkt	CCB European Warrants Aug 2013 A	0.18	6.59 per unit	2013/08/26	128,000,000	2013/04/02
24695	Goldman Sachs SP (Asia)	Dongfeng European Wts Jun 2014 A	0.167	12	2014/06/19	80,000,000	2013/04/02
24696	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2013 C	0.153	258.80 per 100 units	2013/08/05	80,000,000	2013/04/02
24677	J P Morgan SP BV	China Railway European Wts Oct 2013 A	0.25	4.68 per unit	2013/10/02	60,000,000	2013/04/02

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24672	J P Morgan SP BV	Henderson Land Dev European Wt Oct 2013 A	0.25	52.88	2013/10/02	200,000,000	2013/04/02
24671	J P Morgan SP BV	Kerry Ppt European Warrants Nov 2013 A	0.25	38.88	2013/11/01	40,000,000	2013/04/02
24668	J P Morgan SP BV	Sa Sa Int'l European Warrants Dec 2014 A	0.25	8.88	2014/12/31	80,000,000	2013/04/02
24666	J P Morgan SP BV	Tencent European Warrants Oct 2013 E	0.25	260 per 100 units	2013/10/02	200,000,000	2013/04/02
24664	J P Morgan SP BV	Tencent European Put Warrants Oct 2013 D	0.25	240 per 100 units	2013/10/02	200,000,000	2013/04/02
24663	J P Morgan SP BV	Tingyi European Warrants Dec 2013 A	0.25	22	2013/12/02	100,000,000	2013/04/02
24662	J P Morgan SP BV	Yuexiu Property European Wts Nov 2013 A	0.25	2.90 per unit	2013/11/11	80,000,000	2013/04/02
24692	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Sep13A	0.25	27.38	2013/09/16	100,000,000	2013/04/02
24693	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Sep 2013 A	0.69	12.58 per unit	2013/09/16	30,000,000	2013/04/02
24687	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Sep2013 A	0.44	11.88 per unit	2013/09/16	50,000,000	2013/04/02
24701	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2013 B	0.15	22,100 per 7,600 units	2013/09/27	300,000,000	2013/04/02
24685	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Aug13 A	0.17	258.88 per 100 units	2013/08/05	300,000,000	2013/04/02
24694	UBS AG	HSI European Put Warrants Dec 2013 C	0.15	20,900 per 8,000 units	2013/12/30	300,000,000	2013/04/02
21625 #	Goldman Sachs SP (Asia)	Tencent European Wt Aug 2013 B	0.085	268.80 per 100 units	2013/08/05	150,000,000	2013/04/02
24723	Credit Suisse	Cheung Kong European Put Warrants Oct 2013 A	0.38	98.88	2013/10/02	70,000,000	2013/04/03
24719	Credit Suisse AG	Tencent Holdings European Wts Jul 2013 E	0.15	245 per 100 units	2013/07/16	100,000,000	2013/04/03
24717	J P Morgan SP BV	China Coal European Warrants Oct 2013 A	0.305	7.88 per unit	2013/10/02	40,000,000	2013/04/03
24718	J P Morgan SP BV	CKI Holdings European Warrants Oct 2013 A	0.25	62.88	2013/10/02	50,000,000	2013/04/03
24731	J P Morgan SP BV	CNBM European Warrants Oct 2013 A	0.496	12 per unit	2013/10/02	80,000,000	2013/04/03
24730	J P Morgan SP BV	CNBM European Warrants Jun 2014 A	0.25	10	2014/06/09	200,000,000	2013/04/03
24716	J P Morgan SP BV	China Tel European Warrants Oct 2013 B	0.253	4.38 per unit	2013/10/02	180,000,000	2013/04/03
24713	J P Morgan SP BV	Datang European Wts Oct 2013 A	0.25	4.08 per unit	2013/10/02	40,000,000	2013/04/03
24711	J P Morgan SP BV	HSI European Put Warrants Oct 2013 B	0.25	21,400 per 7,500 units	2013/10/30	200,000,000	2013/04/03
24710	J P Morgan SP BV	Samsonite European Warrants Oct 2013 A	0.25	22	2013/10/02	100,000,000	2013/04/03
24706	J P Morgan SP BV	Weigao Group European Warrants Sep 2014 A	0.25	8.2	2014/09/01	200,000,000	2013/04/03
24722	Macquarie Bank Ltd.	China Mobile European Wts Aug 2013 A	0.15	88.88	2013/08/02	120,000,000	2013/04/03
24724	Macquarie Bank Ltd.	Chi Res Power European Wts Oct 2013 A	0.255	25	2013/10/03	120,000,000	2013/04/03
24720	Macquarie Bank Ltd.	Tencent European Put Wts Oct 2013 A	0.251	237.50 per 100 units	2013/10/03	250,000,000	2013/04/03
24704	Standard Chartered Bank	Tencent Hldg Euro Wts Aug 2013 C	0.15	258.88 per 100 units	2013/08/05	100,000,000	2013/04/03
24702	Standard Chartered Bank	Tencent Hldg Euro Wts Oct 2013 A	0.25	238.88 per 100 units	2013/10/04	100,000,000	2013/04/03
24725	UBS AG	China Mobile European Warrants Jul 2013 F	0.15	88.93	2013/07/29	200,000,000	2013/04/03
24727	UBS AG	HKEx European Warrants Sep 2013 C	0.3	155.1	2013/09/16	100,000,000	2013/04/03
24729	UBS AG	Tencent Holdings European Warrants Oct 2013 A	0.25	246.88 per 100 units	2013/10/09	200,000,000	2013/04/03
24759	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Nov 2013 A	0.25	91.38	2013/11/04	100,000,000	2013/04/05
24756	BNP Paribas Arbit Issu B.V.	HLand Dev Euro Wts Nov 2013 A	0.19	60.05	2013/11/04	69,000,000	2013/04/05
24757	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wt Dec2013 A	0.15	18.02	2013/12/03	100,000,000	2013/04/05
24753	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Nov 2013 A	0.25	124.8	2013/11/04	92,000,000	2013/04/05
24758	BNP Paribas Arbit Issu B.V.	Ting Yi European Wts Feb 2014 A	0.25	23.1	2014/02/05	100,000,000	2013/04/05
24765	Credit Suisse AG	GCL-Poly Energy European Wts Aug 2013 A	0.16	1.80 per unit	2013/08/26	70,000,000	2013/04/05
24770	Daiwa Capital Mkt	CNOOC European Warrants Feb 2014 A	0.25	14.88	2014/02/12	158,000,000	2013/04/05
24739	Goldman Sachs SP (Asia)	BOCL European Warrants Nov 2013 A	0.158	3.93 per unit	2013/11/26	80,000,000	2013/04/05
24746	Goldman Sachs SP (Asia)	CITIC Bank European Wts Dec 2013 A	0.257	5.50 per unit	2013/12/02	80,000,000	2013/04/05
24742	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Oct 2013 A	0.701	11.80 per unit	2013/10/31	80,000,000	2013/04/05

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24766	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Nov 2013 A	0.151	12.88	2013/11/25	80,000,000	2013/04/05
24751	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2013 A	0.252	250 per 100 units	2013/12/02	120,000,000	2013/04/05
24752	HK Bank	Tencent European Warrants Aug 2013 C	0.15	259.08 per 100 units	2013/08/05	160,000,000	2013/04/05
24738	HK Bank	Tencent European Warrants Oct 2013 A	0.25	248 per 100 units	2013/10/07	160,000,000	2013/04/05
24737	J P Morgan SP BV	China Life European Warrants Sep 2013 E	0.15	21.88	2013/09/13	300,000,000	2013/04/05
24736	J P Morgan SP BV	China Life European Warrants Nov 2013 A	0.25	20.88	2013/11/01	300,000,000	2013/04/05
24735	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Oct 2013 C	0.25	10,800 per 4,200 units	2013/10/30	300,000,000	2013/04/05
24734	J P Morgan SP BV	HSCEI European Put Warrants Dec 2013 C	0.25	10,200 per 4,000 units	2013/12/30	300,000,000	2013/04/05
24733	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Oct13 A	0.25	238.88 per 100 units	2013/10/28	200,000,000	2013/04/05
24782	BNP Paribas Arbit Issu B.V.	HSI European Wts Sep 2013 A	0.16	22,000 per 6,000 units	2013/09/27	200,000,000	2013/04/08
24781	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Oct 2013 B	0.27	23,200 per 10,000 units	2013/10/30	200,000,000	2013/04/08
24780	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Nov 2013 A	0.25	21,200 per 7,000 units	2013/11/28	200,000,000	2013/04/08
24783	Credit Suisse AG	Sands China European Warrants Oct 2013 A	0.25	49.88	2013/10/09	50,000,000	2013/04/08
24778	Daiwa Capital Mkt	China Life European Warrants Oct 2013 D	0.25	19.68	2013/10/08	168,000,000	2013/04/08
24777	Goldman Sachs SP (Asia)	EFUND CSI100 Euro Wts Sep 2013 A	0.156	33.88	2013/09/11	100,000,000	2013/04/08
24775	Macquarie Bank Ltd.	Evergrande European Wts Oct 2013 B	0.254	4 per unit	2013/10/07	80,000,000	2013/04/08
24776	Macquarie Bank Ltd.	NCI European Warrants Jan 2014 A	0.25	35	2014/01/03	100,000,000	2013/04/08
24779	Macquarie Bank Ltd.	Sands China European Wts Nov 2013 A	0.25	47	2013/11/04	100,000,000	2013/04/08
24772	Standard Chartered Bank	SHK P European Warrants Oct 2013 A	0.3	118.88	2013/10/08	60,000,000	2013/04/08
24808	Credit Suisse AG	A50 China European Warrants Aug 2013 C	0.38	11.28 per unit	2013/08/08	70,000,000	2013/04/09
24809	Credit Suisse AG	Hutchison European Warrants Aug 2013 A	0.23	86.88	2013/08/13	70,000,000	2013/04/09
24786	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Dec 2013 A	0.181	36.55	2013/12/24	60,000,000	2013/04/09
24802	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Aug 2013 A	0.151	135.1	2013/08/28	80,000,000	2013/04/09
24788	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Oct 2013 B	0.153	22.05	2013/10/28	80,000,000	2013/04/09
24795	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Nov 2013 A	0.15	22.93	2013/11/15	80,000,000	2013/04/09
24793	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2014 A	0.27	91.93	2014/01/13	60,000,000	2013/04/09
24792	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Oct 2013 A	0.355	65.01	2013/10/15	40,000,000	2013/04/09
24797	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Sep 2013 B	0.25	120.98	2013/09/02	40,000,000	2013/04/09
24796	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Oct 2013 A	0.255	128.88	2013/10/28	40,000,000	2013/04/09
24791	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Aug2013 C	0.195	249.80 per 100 units	2013/08/26	80,000,000	2013/04/09
24805	Standard Chartered Bank	CC Bank European Wts Oct 2013 A	0.3	6.76 per unit	2013/10/10	60,000,000	2013/04/09
24804	Standard Chartered Bank	China Life European Wts Oct 2013 B	0.25	20	2013/10/24	100,000,000	2013/04/09
24806	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct13 B	0.75	11.28 per unit	2013/10/09	30,000,000	2013/04/09
24807	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Nov13A	0.19	48	2013/11/04	100,000,000	2013/04/09
24815	UBS AG	China Life European Warrants Nov 2013 A	0.25	20.88	2013/11/11	200,000,000	2013/04/09
24814	UBS AG	CSOP A50 ETF European Warrants Aug 2013 B	0.65	10.40 per unit	2013/08/22	100,000,000	2013/04/09
24811	UBS AG	ICBC European Warrants Nov 2013 A	0.2	5.84 per unit	2013/11/18	100,000,000	2013/04/09
20303 #	HK Bank	Zoomlion European Warrants Sep 2013 A	0.061	9.18	2013/09/30	170,000,000	2013/04/09
24860	Credit Suisse AG	China Coal European Warrants Oct 2013 A	0.28	8 per unit	2013/10/15	70,000,000	2013/04/10
24846	Credit Suisse AG	Cheung Kong European Warrants Aug 2013 A	0.28	126	2013/08/26	70,000,000	2013/04/10
24858	Credit Suisse AG	CM Bank European Warrants May 2014 A	0.15	16.7	2014/05/26	70,000,000	2013/04/10
24849	Credit Suisse AG	Sinopec Corp European Warrants Aug 2013 B	0.18	10.20 per unit	2013/08/30	70,000,000	2013/04/10
24851	Credit Suisse AG	HKEx European Warrants Sep 2013 B	0.42	148.9	2013/09/23	70,000,000	2013/04/10

Newly listed derivative warrants *(continued)*

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24835	Credit Suisse AG	Nine Dragons European Warrants Dec 2013 A	0.15	8	2013/12/24	70,000,000	2013/04/10
24848	Credit Suisse AG	New World Dev European Wts Jul 2013 C	0.45	14.52 per unit	2013/07/12	50,000,000	2013/04/10
24856	Credit Suisse AG	Tencent Holdings European Wts Sep 2013 C	0.19	260 per 100 units	2013/09/27	70,000,000	2013/04/10
24857	Credit Suisse AG	Tencent Holdings European Wts Oct 2013 A	0.15	275 per 100 units	2013/10/04	70,000,000	2013/04/10
24847	Credit Suisse AG	Wharf European Warrants Jul 2013 A	0.15	80	2013/07/29	70,000,000	2013/04/10
24834	Daiwa Capital Mkt	ICBC European Warrants Sep 2013 B	0.24	5.48 per unit	2013/09/23	128,000,000	2013/04/10
24868	Goldman Sachs SP (Asia)	HSCEI European Warrants Dec 2013 B	0.15	11,538 per 4,000 units	2013/12/30	150,000,000	2013/04/10
24874	Goldman Sachs SP (Asia)	HSCEI European Put Wts Mar 2014 A	0.259	10,338 per 4,000 units	2014/03/28	150,000,000	2013/04/10
24875	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2013 C	0.154	23,100 per 5,000 units	2013/10/30	150,000,000	2013/04/10
24827	Macquarie Bank Ltd.	China COSCO European Wts Oct 2013 A	0.25	4.58 per unit	2013/10/09	80,000,000	2013/04/10
24837	Macquarie Bank Ltd.	Ch LongYuan European Wts Oct 2014 A	0.15	6.51	2014/10/06	150,000,000	2013/04/10
24833	Macquarie Bank Ltd.	CSR Times European Warrants Mar 2014 A	0.25	28	2014/03/04	150,000,000	2013/04/10
24832	Macquarie Bank Ltd.	Jiangxi Copper European Wts Dec 2013 A	0.15	19.61	2013/12/23	150,000,000	2013/04/10
24829	Macquarie Bank Ltd.	Shui On Land European Wts Nov 2013 A	0.25	3.60 per unit	2013/11/04	80,000,000	2013/04/10
24820	Standard Chartered Bank	Evergrande European Wts Nov 2013 A	0.28	3.88 per unit	2013/11/04	60,000,000	2013/04/10
24822	Standard Chartered Bank	Hutchison Euro Euro Wts Oct 2013 B	0.25	90.88	2013/10/11	100,000,000	2013/04/10
24823	Standard Chartered Bank	ICBC European Warrants Oct 2013 B	0.25	5.55 per unit	2013/10/10	100,000,000	2013/04/10
24865	UBS AG	CC Bank European Warrants Aug 2013 B	0.21	6.59 per unit	2013/08/26	100,000,000	2013/04/10
24862	UBS AG	Minsheng Bank European Warrants Oct 2013 A	0.48	11.38 per unit	2013/10/09	30,000,000	2013/04/10
24866	UBS AG	HSCEI European Warrants Dec 2013 C	0.15	11,500 per 4,000 units	2013/12/30	100,000,000	2013/04/10
24864	UBS AG	Ping An European Warrants Aug 2013 C	0.15	71.04	2013/08/27	100,000,000	2013/04/10
24923	BOCI Asia Ltd.	China Life European Warrants Sep 2013 B	0.15	21.88	2013/09/23	100,000,000	2013/04/11
24921	BOCI Asia Ltd.	HSI European Warrants Sep 2013 A	0.15	22,200 per 7,600 units	2013/09/27	100,000,000	2013/04/11
24922	BOCI Asia Ltd.	Sands China European Warrants Sep 2013 A	0.16	46.28	2013/09/23	100,000,000	2013/04/11
24924	BOCI Asia Ltd.	Tencent Holdings European Put Wts Dec 2013 A	0.25	235 per 100 units	2013/12/02	100,000,000	2013/04/11
24900	Goldman Sachs SP (Asia)	BYD European Warrants Dec 2013 A	0.259	28	2013/12/02	80,000,000	2013/04/11
24926	Goldman Sachs SP (Asia)	CC Bank European Wts Nov 2013 A	0.269	6.88 per unit	2013/11/28	80,000,000	2013/04/11
24925	Goldman Sachs SP (Asia)	China Life Euro Put Wts Feb 2014 A	0.251	19	2014/02/27	80,000,000	2013/04/11
24893	Goldman Sachs SP (Asia)	Cathay Pacific Euro Wts Dec 2014 A	0.253	14.38	2014/12/30	80,000,000	2013/04/11
24895	Goldman Sachs SP (Asia)	Chi Resources Euro Wt Dec 2013 A	0.25	26.88	2013/12/31	80,000,000	2013/04/11
24899	Goldman Sachs SP (Asia)	China Tel European Wts Dec 2013 A	0.258	4.40 per unit	2013/12/02	80,000,000	2013/04/11
24894	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Dec 2013 A	0.254	37.5	2013/12/31	80,000,000	2013/04/11
24929	Goldman Sachs SP (Asia)	HSBC European Put Wts Aug 2013 A	0.157	72.88	2013/08/29	80,000,000	2013/04/11
24902	HK Bank	Minsheng Bank European Warrants Oct 2013 A	0.7	9.88 per unit	2013/10/15	50,000,000	2013/04/11
24901	HK Bank	Minsheng Bank European Warrants Nov 2013 A	0.52	10.68 per unit	2013/11/04	60,000,000	2013/04/11
24931	Macquarie Bank Ltd.	Anhui Conch European Wts Dec 2013 A	0.25	30	2013/12/03	150,000,000	2013/04/11
24935	Macquarie Bank Ltd.	Chinares Cement European Wt Oct 2013 A	0.25	5.38 per unit	2013/10/10	80,000,000	2013/04/11
24876	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Aug 2013 B	0.228	6.59 per unit	2013/08/26	60,000,000	2013/04/11
24884	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Sep 2013 A	0.206	6.81 per unit	2013/09/23	60,000,000	2013/04/11
24890	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2013 A	0.4	83.39	2013/09/04	40,000,000	2013/04/11
24891	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Sep 2013 B	0.234	88.89	2013/09/17	60,000,000	2013/04/11
24885	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Oct 2013 A	0.375	86.01	2013/10/29	40,000,000	2013/04/11
24886	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Oct 2013 B	0.51	81.39	2013/10/21	40,000,000	2013/04/11

Newly listed derivative warrants *(continued)*

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24882	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Sep 2013 B	0.25	5.49 per unit	2013/09/23	60,000,000	2013/04/11
24877	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Nov 2013 A	0.213	5.84 per unit	2013/11/18	60,000,000	2013/04/11
24936	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Oct 2013 A	0.15	3.71 per unit	2013/10/02	150,000,000	2013/04/11
23915 #	Standard Chartered Bank	China Life European Wts Nov 2013 B	0.077	22.88	2013/11/22	130,000,000	2013/04/11
24951	BOCI Asia Ltd.	HSBC European Warrants Aug 2013 B	0.16	88.88	2013/08/08	100,000,000	2013/04/12
24953	BOCI Asia Ltd.	Hutchison European Warrants Aug 2013 A	0.21	86.88	2013/08/19	100,000,000	2013/04/12
24950	BOCI Asia Ltd.	PetroChina European Warrants Oct 2013 A	0.18	11.88 per unit	2013/10/21	100,000,000	2013/04/12
24992	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Jan 2014 A	0.27	28.8	2014/01/03	100,000,000	2013/04/12
24990	BNP Paribas Arbit Issu B.V.	AIA European Put Wt Jan 2014 B	0.26	31	2014/01/03	100,000,000	2013/04/12
24991	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Jan 2014 A	0.27	4.80 per unit	2014/01/03	85,000,000	2013/04/12
24988	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2014 B	0.25	20	2014/01/03	100,000,000	2013/04/12
25002	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Jan 2014A	0.25	21.1	2014/01/03	100,000,000	2013/04/12
24986	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Jan 2014 A	0.34	3.50 per unit	2014/01/03	100,000,000	2013/04/12
25007	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Nov 2013 B	0.3	87	2013/11/04	100,000,000	2013/04/12
25006	BNP Paribas Arbit Issu B.V.	Li & Fung Euro Wts Apr 2015 A	0.25	10.2	2015/04/02	100,000,000	2013/04/12
24998	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jan 2014 B	0.25	250 per 100 units	2014/01/03	100,000,000	2013/04/12
24993	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jan 2014 A	0.25	230 per 100 units	2014/01/03	100,000,000	2013/04/12
24954	HK Bank	CITIC Bank European Warrants Nov 2013 A	0.25	4.58 per unit	2013/11/18	60,000,000	2013/04/12
24963	HK Bank	China Life European Warrants Oct 2013 B	0.15	20.93	2013/10/28	200,000,000	2013/04/12
24957	HK Bank	Minsheng Bank European Warrants Dec 2014 B	0.25	8.88	2014/12/31	200,000,000	2013/04/12
24959	HK Bank	Minsheng Bank European Warrants Jun 2015 A	0.2	10.7	2015/06/04	250,000,000	2013/04/12
24962	Macquarie Bank Ltd.	Angang Steel European Wts Oct 2013 B	0.321	5.88 per unit	2013/10/15	80,000,000	2013/04/12
24961	Macquarie Bank Ltd.	Ch Southern Air Euro Wts Oct 2013 A	0.36	5.38 per unit	2013/10/15	80,000,000	2013/04/12
24960	Macquarie Bank Ltd.	Ping An European Warrants Nov 2013 A	0.25	67.5	2013/11/04	180,000,000	2013/04/12
24949	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Sep 2013 B	0.57	9.01 per unit	2013/09/16	40,000,000	2013/04/12
24943	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Jul 2013 B	0.151	4.29 per unit	2013/07/30	80,000,000	2013/04/12
24940	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Sep 2013 A	0.255	11.52 per unit	2013/09/23	40,000,000	2013/04/12
24938	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Nov 2013 B	0.25	250.01 per 100 units	2013/11/25	80,000,000	2013/04/12
24937	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Nov 2013A	0.25	236.99 per 100 units	2013/11/18	80,000,000	2013/04/12
24939	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Jul 2013 B	0.158	80.05	2013/07/29	70,000,000	2013/04/12
24973	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Sep 2013 D	0.155	21,000 per 9,000 units	2013/09/27	300,000,000	2013/04/12
24965	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jul13 C	0.15	21,800 per 8,000 units	2013/07/30	300,000,000	2013/04/12
24964	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep13 C	0.18	21,200 per 6,500 units	2013/09/27	300,000,000	2013/04/12
24981	UBS AG	CNOOC European Warrants Feb 2014 B	0.175	14.9	2014/02/05	100,000,000	2013/04/12
24979	UBS AG	Hutchison European Warrants Oct 2013 A	0.355	84.93	2013/10/03	100,000,000	2013/04/12
24977	UBS AG	ICBC European Warrants Sep 2013 B	0.2	5.49 per unit	2013/09/23	100,000,000	2013/04/12
24984	UBS AG	PICC European Warrants Dec 2013 A	0.15	9.36	2013/12/16	100,000,000	2013/04/12
24975	UBS AG	Yurun Food European Warrants Sep 2013 A	0.255	6.01 per unit	2013/09/05	50,000,000	2013/04/12
25009	BOCI Asia Ltd.	China Life European Warrants Nov 2013 A	0.15	20.88	2013/11/01	100,000,000	2013/04/15
25008	BOCI Asia Ltd.	Ch Overseas European Warrants Nov 2013 B	0.18	22.88	2013/11/15	100,000,000	2013/04/15
25011	BOCI Asia Ltd.	Ping An European Warrants Oct 2013 A	0.25	66.88	2013/10/31	100,000,000	2013/04/15
10180	BNP Paribas Arbit Issu B.V.	AUD/USD European Wts Nov 2013 B	0.25	1.04 per 1 units	2013/11/28	100,000,000	2013/04/15
10179	BNP Paribas Arbit Issu B.V.	AUD/USD Euro Put Wts Nov 2013 A	0.25	1 per 1 units	2013/11/28	100,000,000	2013/04/15

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
10178	BNP Paribas Arbit Issu B.V.	USD/YEN Eur Put Wts Nov 2013 B	0.25	92 per 1 units	2013/11/28	100,000,000	2013/04/15
25053	Credit Suisse AG	AIA European Warrants Dec 2013 A	0.15	36	2013/12/24	80,000,000	2013/04/15
25035	Credit Suisse AG	China Life European Warrants Oct 2013 A	0.15	20.9	2013/10/28	70,000,000	2013/04/15
25051	Credit Suisse	China Railway European Warrants Sep 2013 A	0.15	4.69 per unit	2013/09/30	70,000,000	2013/04/15
25027	Credit Suisse AG	China Shenhua European Wts Sep 2013 B	0.15	29.5	2013/09/30	70,000,000	2013/04/15
25052	Credit Suisse AG	Evergrande European Warrants Sep 2013 A	0.15	3.89 per unit	2013/09/02	70,000,000	2013/04/15
25049	Credit Suisse AG	Galaxy Ent European Warrants Oct 2013 A	0.15	36.3	2013/10/21	70,000,000	2013/04/15
25022	Credit Suisse AG	HSI European Warrants Oct 2013 C	0.15	22,200 per 6,500 units	2013/10/30	150,000,000	2013/04/15
25031	Credit Suisse AG	ICBC European Warrants Sep 2013 B	0.18	5.49 per unit	2013/09/30	70,000,000	2013/04/15
25030	Credit Suisse AG	ICBC European Warrants Nov 2013 A	0.15	5.84 per unit	2013/11/25	70,000,000	2013/04/15
25032	Credit Suisse AG	Ping An European Warrants Sep 2013 A	0.15	68	2013/09/30	70,000,000	2013/04/15
25023	Credit Suisse AG	Tencent Holdings European Wts Sep 2013 D	0.15	248 per 100 units	2013/09/30	100,000,000	2013/04/15
25025	Credit Suisse AG	Tencent Holdings European Wts Sep 2013 E	0.2	238.90 per 100 units	2013/09/30	100,000,000	2013/04/15
25090	Daiwa Capital Mkt	HSCEI European Put Warrants Nov 2013 A	0.25	10,800 per 5,500 units	2013/11/28	100,000,000	2013/04/15
25089	Daiwa Capital Mkt	HSI European Put Warrants Oct 2013 B	0.25	21,000 per 6,600 units	2013/10/30	200,000,000	2013/04/15
25042	Goldman Sachs SP (Asia)	HSI European Put Wts Jul 2013 C	0.152	21,700 per 8,000 units	2013/07/30	200,000,000	2013/04/15
25014	HK Bank	CAM CSI300 European Warrants Sep 2013 B	0.15	32.63	2013/09/16	100,000,000	2013/04/15
25015	HK Bank	CNOOC European Warrants Nov 2014 A	0.23	14.7	2014/11/17	150,000,000	2013/04/15
25012	HK Bank	China Shenhua European Warrants Nov 2013 B	0.15	31.05	2013/11/18	80,000,000	2013/04/15
25013	HK Bank	HKEx European Warrants Nov 2013 B	0.25	143.8	2013/11/18	60,000,000	2013/04/15
25125	J P Morgan SP BV	AIA European Warrants Jan 2014 A	0.15	36	2014/01/03	200,000,000	2013/04/15
25075	J P Morgan SP BV	China Life European Warrants Oct 2013 B	0.15	19.68	2013/10/02	300,000,000	2013/04/15
25083	J P Morgan SP BV	A50 China European Warrants Oct 2013 A	0.356	11 per unit	2013/10/15	200,000,000	2013/04/15
25081	J P Morgan SP BV	A50 China European Warrants Nov 2013 A	0.265	11.50 per unit	2013/11/04	200,000,000	2013/04/15
25084	J P Morgan SP BV	Ping An European Warrants Oct 2013 B	0.17	63.88	2013/10/02	200,000,000	2013/04/15
25124	J P Morgan SP BV	Yurun Food European Warrants Oct 2013 A	0.25	5.08 per unit	2013/10/15	50,000,000	2013/04/15
25047	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Nov 2013 A	0.25	11.75 per unit	2013/11/04	50,000,000	2013/04/15
25048	Macquarie Bank Ltd.	A50 China European Warrants Oct 2013 A	0.2	12 per unit	2013/10/03	50,000,000	2013/04/15
25112	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Sep 2013 B	0.275	6.19 per unit	2013/09/24	40,000,000	2013/04/15
25117	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2013 I	0.153	21,700 per 7,300 units	2013/09/27	100,000,000	2013/04/15
25119	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2013 B	0.159	20,400 per 7,000 units	2013/12/30	100,000,000	2013/04/15
25123	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2013 C	0.169	20,900 per 8,000 units	2013/12/30	100,000,000	2013/04/15
25118	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2014 A	0.25	20,000 per 7,000 units	2014/03/28	100,000,000	2013/04/15
25111	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Oct 2013 A	0.255	5.29 per unit	2013/10/15	40,000,000	2013/04/15
25106	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Oct 2013 B	0.365	60.01	2013/10/28	40,000,000	2013/04/15
25108	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Oct 2013 B	0.56	108.01	2013/10/22	40,000,000	2013/04/15
25109	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Oct 2013 B	0.15	260.01 per 100 units	2013/10/02	80,000,000	2013/04/15
25043	Standard Chartered Bank	BOCL European Warrants Jan 2014 A	0.46	3 per unit	2014/01/03	100,000,000	2013/04/15
25038	Standard Chartered Bank	CC Bank European Wts Dec 2013 A	0.45	6 per unit	2013/12/31	100,000,000	2013/04/15
25037	Standard Chartered Bank	China Life European Wts Dec 2013 B	0.25	18.88	2013/12/31	150,000,000	2013/04/15
25040	Standard Chartered Bank	CNOOC European Warrants Feb 2014 A	0.15	14.88	2014/02/05	150,000,000	2013/04/15
25039	Standard Chartered Bank	ICBC European Warrants Dec 2013 A	0.4	5 per unit	2013/12/04	100,000,000	2013/04/15
25041	Standard Chartered Bank	PetroChina European Wts Dec 2013 A	0.25	11.88 per unit	2013/12/04	150,000,000	2013/04/15

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25044	Standard Chartered Bank	Ping An European Wts Oct 2013 B	0.4	59.88	2013/10/30	100,000,000	2013/04/15
25046	Standard Chartered Bank	Ping An Euro Put Wts Jul 2013 B	0.15	49.8	2013/07/29	100,000,000	2013/04/15
25017	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Nov 2013 A	0.165	3.81 per unit	2013/11/18	80,000,000	2013/04/15
25021	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jan 2014 A	0.15	38	2014/01/27	100,000,000	2013/04/15
25063	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt Oct 2013 A	0.445	8 per unit	2013/10/16	30,000,000	2013/04/15
25066	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Oct13 A	0.27	4 per unit	2013/10/16	40,000,000	2013/04/15
25062	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Oct 2013 A	0.335	7.50 per unit	2013/10/16	30,000,000	2013/04/15
25054	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Oct2013A	0.25	4.50 per unit	2013/10/16	50,000,000	2013/04/15
25085	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct2013 B	0.175	19.68	2013/10/07	200,000,000	2013/04/15
25086	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct2013 C	0.15	20.88	2013/10/30	200,000,000	2013/04/15
25018	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Feb2014 A	0.15	14.9	2014/02/05	120,000,000	2013/04/15
25069	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Sep13B	0.35	3.80 per unit	2013/09/18	50,000,000	2013/04/15
25068	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Sep13A	0.27	31.88	2013/09/09	100,000,000	2013/04/15
25087	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2013 A	0.31	83.38	2013/09/04	150,000,000	2013/04/15
25088	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2013 B	0.18	88.88	2013/09/23	150,000,000	2013/04/15
25019	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2013 A	0.385	82.9	2013/10/02	100,000,000	2013/04/15
25020	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Oct13 A	0.395	60.88	2013/10/16	100,000,000	2013/04/15
25067	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt Oct13A	0.48	5 per unit	2013/10/16	30,000,000	2013/04/15
25072	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Oct13A	0.49	10.88 per unit	2013/10/16	25,000,000	2013/04/15
25104	UBS AG	China Life European Warrants Sep 2013 E	0.17	19.7	2013/09/30	200,000,000	2013/04/15
25101	UBS AG	China Life European Warrants Oct 2013 B	0.25	18.88	2013/10/15	200,000,000	2013/04/15
25105	UBS AG	HSBC European Warrants Sep 2013 D	0.31	83.43	2013/09/10	100,000,000	2013/04/15
25100	UBS AG	HSI European Warrants Sep 2013 F	0.15	21,100 per 9,000 units	2013/09/27	300,000,000	2013/04/15
25091	UBS AG	HSI European Put Warrants Sep 2013 E	0.21	21,900 per 7,600 units	2013/09/27	300,000,000	2013/04/15
25170	Credit Suisse AG	Angang Steel European Warrants Oct 2013 A	0.28	5.98 per unit	2013/10/18	60,000,000	2013/04/16
25164	Credit Suisse AG	Ch LongYuan European Warrants Sep 2013 A	0.35	8.81 per unit	2013/09/30	60,000,000	2013/04/16
25166	Credit Suisse AG	Minsheng Bank European Wts Oct 2013 A	0.86	10.78 per unit	2013/10/18	60,000,000	2013/04/16
25167	Credit Suisse AG	ChiUnicom European Warrants Oct 2013 A	0.55	11.18 per unit	2013/10/18	60,000,000	2013/04/16
25163	Credit Suisse AG	HSI European Put Warrants Dec 2013 A	0.15	21,000 per 8,000 units	2013/12/30	150,000,000	2013/04/16
25172	Credit Suisse AG	Ping An European Warrants Oct 2013 A	0.27	64.68	2013/10/18	80,000,000	2013/04/16
25150	Goldman Sachs SP (Asia)	China Life Euro Wts Oct 2013 B	0.159	20.88	2013/10/30	120,000,000	2013/04/16
25149	Goldman Sachs SP (Asia)	CNOOC European Warrants Oct 2013 A	0.453	15.88 per unit	2013/10/30	80,000,000	2013/04/16
25145	Goldman Sachs SP (Asia)	Evergrande European Wts Nov 2013 A	0.255	3.50 per unit	2013/11/28	80,000,000	2013/04/16
25151	Goldman Sachs SP (Asia)	A50 China European Wts Oct 2013 A	0.531	10.88 per unit	2013/10/30	80,000,000	2013/04/16
25146	Goldman Sachs SP (Asia)	Ping An European Wts Nov 2013 B	0.444	60	2013/11/28	80,000,000	2013/04/16
25148	Goldman Sachs SP (Asia)	Weichai Power Euro Wts Feb 2014 A	0.312	28.88	2014/02/27	80,000,000	2013/04/16
25131	HK Bank	China Life European Warrants Sep 2013 C	0.16	19.7	2013/09/30	120,000,000	2013/04/16
25136	HK Bank	HSI European Warrants Sep 2013 G	0.15	21,000 per 9,000 units	2013/09/27	200,000,000	2013/04/16
25132	HK Bank	Ping An European Warrants Oct 2013 C	0.27	61.88	2013/10/16	80,000,000	2013/04/16
25134	HK Bank	SHK Ppt European Warrants Dec 2013 A	0.3	116.88	2013/12/31	60,000,000	2013/04/16
25143	J P Morgan SP BV	Angang Steel European Warrants Oct 2013 A	0.25	5.50 per unit	2013/10/15	40,000,000	2013/04/16
25126	J P Morgan SP BV	HSI European Warrants Oct 2013 C	0.25	21,000 per 8,000 units	2013/10/30	300,000,000	2013/04/16
25160	Macquarie Bank Ltd.	Cheung Kong European Wts Nov 2013 A	0.25	128	2013/11/04	150,000,000	2013/04/16

Newly listed derivative warrants *(continued)*

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25161	Macquarie Bank Ltd.	China Life European Wts Nov 2013 B	0.15	22	2013/11/04	75,000,000	2013/04/16
25156	Macquarie Bank Ltd.	SHK Ppt European Warrants Nov 2013 A	0.25	128	2013/11/04	150,000,000	2013/04/16
25162	Macquarie Bank Ltd.	Yanzhou Coal European Wts Apr 2014 A	0.15	10.82	2014/04/02	150,000,000	2013/04/16
25137	Standard Chartered Bank	Galaxy Ent European Wts Oct 2013 A	0.15	36.28	2013/10/21	100,000,000	2013/04/16
25152	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Dec13A	0.25	31	2013/12/04	100,000,000	2013/04/16
25139	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Oct13A	0.205	16.68	2013/10/28	100,000,000	2013/04/16
25138	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Dec2013 A	0.25	4.50 per unit	2013/12/09	50,000,000	2013/04/16
25177	UBS AG	A50 China European Warrants Oct 2013 A	0.45	11.28 per unit	2013/10/15	100,000,000	2013/04/16
25178	UBS AG	Lumena Newmat European Warrants Jan 2014 A	0.25	1.68 per unit	2014/01/20	100,000,000	2013/04/16
25186	BOCI Asia Ltd.	BOCL European Warrants Dec 2013 A	0.22	3.60 per unit	2013/12/16	100,000,000	2013/04/17
25187	BOCI Asia Ltd.	HSI European Warrants Sep 2013 B	0.17	21,500 per 6,500 units	2013/09/27	100,000,000	2013/04/17
25188	BOCI Asia Ltd.	HSI European Put Warrants Oct 2013 B	0.2	21,400 per 7,500 units	2013/10/30	100,000,000	2013/04/17
25195	Credit Suisse AG	CNOOC European Warrants Feb 2014 A	0.15	14.9	2014/02/10	70,000,000	2013/04/17
25197	Credit Suisse AG	Hutchison European Warrants Jul 2013 B	0.15	90.73	2013/07/22	80,000,000	2013/04/17
25198	Credit Suisse AG	Lumena Newmat European Wts Dec 2013 A	0.25	1.69 per unit	2013/12/23	60,000,000	2013/04/17
25215	Goldman Sachs SP (Asia)	BankComm European Wts Feb 2014 A	0.251	6.50 per unit	2014/02/27	80,000,000	2013/04/17
25217	Goldman Sachs SP (Asia)	Esprit European Wts Sep 2014 A	0.25	12	2014/09/29	80,000,000	2013/04/17
25223	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Oct 2013 B	0.337	9 per unit	2013/10/30	80,000,000	2013/04/17
25218	Goldman Sachs SP (Asia)	Yurun Food European Wts Feb 2014 A	0.386	5.50 per unit	2014/02/27	80,000,000	2013/04/17
25189	HK Bank	Agricultural Bank European Warrants Nov 2013 A	0.17	3.81 per unit	2013/11/18	80,000,000	2013/04/17
25184	HK Bank	HSCEI European Put Warrants Dec 2013 C	0.21	10,150 per 4,000 units	2013/12/30	180,000,000	2013/04/17
25185	HK Bank	HWL European Warrants Sep 2013 A	0.15	90.05	2013/09/24	100,000,000	2013/04/17
25181	J P Morgan SP BV	Evergrande European Warrants Oct 2013 A	0.25	3.68 per unit	2013/10/16	150,000,000	2013/04/17
25190	J P Morgan SP BV	GCL-Poly European Warrants Nov 2013 A	0.25	1.80 per unit	2013/11/04	200,000,000	2013/04/17
25180	J P Morgan SP BV	Sands China European Warrants Nov 2013 A	0.25	45	2013/11/04	200,000,000	2013/04/17
25191	Macquarie Bank Ltd.	China Railway European Wts Oct 2013 B	0.15	4.68 per unit	2013/10/03	80,000,000	2013/04/17
25182	Macquarie Bank Ltd.	China Shenhua European Wts Feb 2014 A	0.15	31.88	2014/02/17	150,000,000	2013/04/17
25183	Macquarie Bank Ltd.	Geely Auto European Wts Nov 2013 A	0.25	4.33 per unit	2013/11/04	80,000,000	2013/04/17
25194	Macquarie Bank Ltd.	Yurun Food European Wts Oct 2013 A	0.283	5.88 per unit	2013/10/17	80,000,000	2013/04/17
25203	UBS AG	China Life European Put Warrants Nov 2013 B	0.25	19.88	2013/11/19	100,000,000	2013/04/17
25199	UBS AG	A50 China European Put Warrants Oct 2013 B	0.43	9.38 per unit	2013/10/16	50,000,000	2013/04/17
25209	UBS AG	HSI European Warrants Oct 2013 B	0.15	22,300 per 6,500 units	2013/10/30	300,000,000	2013/04/17
25200	UBS AG	Hutchison European Warrants Sep 2013 B	0.165	90.05	2013/09/24	200,000,000	2013/04/17
25202	UBS AG	Hutchison European Warrants Oct 2013 B	0.43	81.68	2013/10/16	200,000,000	2013/04/17
25230	BOCI Asia Ltd.	CNBM European Warrants Jan 2014 A	0.15	10.28	2014/01/27	100,000,000	2013/04/18
25231	BOCI Asia Ltd.	China Shenhua European Warrants Nov 2013 B	0.15	31	2013/11/25	100,000,000	2013/04/18
25245	BNP Paribas Arbit Issu B.V.	Minsheng Bk Euro Wt Jun 2015 A	0.17	10.89	2015/06/02	100,000,000	2013/04/18
25244	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Nov 2013 A	0.27	10 per unit	2013/11/04	100,000,000	2013/04/18
25243	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Sep 2013 A	0.19	11.90 per unit	2013/09/02	100,000,000	2013/04/18
25246	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Dec 2013 A	0.16	13.66 per unit	2013/12/03	100,000,000	2013/04/18
25224	Goldman Sachs Sp (Asia)	ICBC European Warrants Nov 2013 A	0.168	5.80 per unit	2013/11/28	60,000,000	2013/04/18
25225	Goldman Sachs SP (Asia)	Mongol Mining Euro Wt Mar 2014 A	0.256	3.28 per unit	2014/03/31	50,000,000	2013/04/18
25228	HK Bank	ICBC European Warrants Oct 2013 A	0.16	5.56 per unit	2013/10/03	80,000,000	2013/04/18

Newly listed derivative warrants *(continued)*

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25242	J P Morgan SP BV	Sh Electric European Warrants Dec 2013 A	0.25	3.50 per unit	2013/12/02	50,000,000	2013/04/18
25240	J P Morgan SP BV	Wharf European Warrants Dec 2013 A	0.25	78.88	2013/12/02	100,000,000	2013/04/18
25236	Macquarie Bank Ltd.	CPIC European Warrants Jan 2014 A	0.17	31.88	2014/01/03	60,000,000	2013/04/18
25226	Macquarie Bank Ltd.	HK Gas European Warrants Dec 2014 A	0.25	24	2014/12/02	150,000,000	2013/04/18
25239	Macquarie Bank Ltd.	HSCEI European Warrants Dec 2013 B	0.15	11,500 per 4,000 units	2013/12/30	70,000,000	2013/04/18
25232	Macquarie Bank Ltd.	HSCEI European Put Warrants Dec 2013 A	0.169	10,200 per 4,000 units	2013/12/30	70,000,000	2013/04/18
25233	Macquarie Bank Ltd.	Hutchison European Warrants Aug 2013 A	0.25	88.88	2013/08/30	60,000,000	2013/04/18
25247	UBS AG	HKEx European Warrants Dec 2014 B	0.15	142.10 per 100 units	2014/12/24	300,000,000	2013/04/18
25254	Macquarie Bank Ltd.	BankComm European Warrants Oct 2013 A	0.15	6.70 per unit	2013/10/09	80,000,000	2013/04/19
25248	Macquarie Bank Ltd.	China Coal European Wts Nov 2013 A	0.25	8.28 per unit	2013/11/04	80,000,000	2013/04/19
25263	Macquarie Bank Ltd.	A50 China European Put Wts Jul 2014 A	0.15	10.16	2014/07/03	200,000,000	2013/04/19
25251	Macquarie Bank Ltd.	Sh Electric European Wts Dec 2013 A	0.25	3.50 per unit	2013/12/03	80,000,000	2013/04/19
25264	Standard Chartered Bank	China COSCO European Wt Nov 2013 B	0.35	4 per unit	2013/11/04	60,000,000	2013/04/19
25265	Standard Chartered Bank	Lenovo European Wts Jul 2014 A	0.25	4.44	2014/07/02	100,000,000	2013/04/19
25259	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Oct 13 A	0.5	7.75 per unit	2013/10/21	50,000,000	2013/04/19
25277	BOCI Asia Ltd.	Lenovo European Warrants Nov 2013 A	0.25	8.88 per unit	2013/11/04	100,000,000	2013/04/22
25299	Credit Suisse AG	Sinopec Corp European Warrants Sep 2013 A	0.33	9 per unit	2013/09/13	50,000,000	2013/04/22
25303	Credit Suisse AG	HKEx European Put Warrants Oct 2013 A	0.32	107.88	2013/10/25	70,000,000	2013/04/22
25302	Credit Suisse AG	Lenovo European Warrants Oct 2013 A	0.35	7.86 per unit	2013/10/25	50,000,000	2013/04/22
25301	Credit Suisse AG	Yanzhou Coal European Warrants Oct 2013 A	0.35	10.90 per unit	2013/10/08	50,000,000	2013/04/22
25311	Citigroup Global Mkt H Inc.	CC Bank European Wt Sep 2013 A	0.2	6.50 per unit	2013/09/23	100,000,000	2013/04/22
25317	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Sep 2013 A	0.16	88.88	2013/09/23	100,000,000	2013/04/22
25319	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Oct 2013 B	0.3	82.98	2013/10/02	100,000,000	2013/04/22
25325	Citigroup Global Mkt H Inc.	HKEx European Wts Sep 2013 B	0.25	150	2013/09/24	100,000,000	2013/04/22
25332	Citigroup Global Mkt H Inc.	HKEx Euro Put Wts Jul 2013 A	0.63	125.88	2013/07/22	70,000,000	2013/04/22
25313	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Sep 2013 B	0.35	85.88	2013/09/16	100,000,000	2013/04/22
25304	Citigroup Global Mkt H Inc.	Hutchison Eu Put Wt Sep 2013 A	0.58	80	2013/09/25	70,000,000	2013/04/22
25268	HK Bank	Evergrande European Warrants Oct 2013 A	0.25	3.58 per unit	2013/10/28	60,000,000	2013/04/22
25271	HK Bank	Lenovo European Warrants Oct 2013 A	0.53	6.98 per unit	2013/10/22	60,000,000	2013/04/22
25267	HK Bank	Lenovo European Warrants Dec 2013 A	0.36	7.78 per unit	2013/12/09	60,000,000	2013/04/22
25286	J P Morgan SP BV	China Mob European Wts Nov 2013 A	0.25	88.88	2013/11/01	200,000,000	2013/04/22
25274	J P Morgan SP BV	Evergrande European Warrants Nov 2013 A	0.25	3.68 per unit	2013/11/04	100,000,000	2013/04/22
25285	J P Morgan SP BV	Zijin Mining European Warrants Nov 2013 A	0.25	2.50 per unit	2013/11/01	88,000,000	2013/04/22
25279	Standard Chartered Bank	HKEx European Warrants Sep 2013 B	0.25	155.1	2013/09/09	100,000,000	2013/04/22
25288	Standard Chartered Bank	SPDR Gold Trust Euro Wt Apr 2014 A	0.5	1,288 per 100 units	2014/04/25	50,000,000	2013/04/22
25273	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Oct13 B	0.445	11.50 per unit	2013/10/23	30,000,000	2013/04/22
25290	UBS AG	China Mobile European Warrants Sep 2013 A	0.15	89.46	2013/09/23	100,000,000	2013/04/22
25289	UBS AG	HKEx European Warrants Sep 2013 D	0.31	148.98	2013/09/23	100,000,000	2013/04/22
25293	UBS AG	SPDR Gold Trust European Warrants Oct 2013 A	0.38	1,188 per 100 units	2013/10/21	100,000,000	2013/04/22
25292	UBS AG	Yanzhou Coal European Wts Oct 2013 A	0.38	10.38 per unit	2013/10/21	30,000,000	2013/04/22
25278	UBS AG	Zhaojin Mining European Warrants Aug 2014 A	0.25	9.08	2014/08/11	100,000,000	2013/04/22
25294	UBS AG	Zijin Mining European Warrants Sep 2013 A	0.225	2.34 per unit	2013/09/19	60,000,000	2013/04/22
18212 #	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2013 A	0.034	133.98 per 100 units	2013/07/25	300,000,000	2013/04/22

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25396	BOCI Asia Ltd.	Anhui Conch European Warrants Nov 2013 A	0.25	29.5	2013/11/25	100,000,000	2013/04/23
25394	BOCI Asia Ltd.	Agricultural Bk European Warrants Nov2013 A	0.15	3.80 per unit	2013/11/25	100,000,000	2013/04/23
25393	BOCI Asia Ltd.	Sinopec Corp European Warrants Oct 2013 A	0.19	9.88 per unit	2013/10/02	100,000,000	2013/04/23
25392	BOCI Asia Ltd.	SHK Ppt European Warrants Sep 2013 A	0.21	123.8	2013/09/23	100,000,000	2013/04/23
10183	BNP Paribas Arbit Issu B.V.	Gold European Wts Dec 2013 B	0.25	1,500 per 3,500 units	2013/12/06	100,000,000	2013/04/23
10182	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Dec13 A	0.25	1,200 per 3,500 units	2013/12/06	100,000,000	2013/04/23
25481	Credit Suisse	SPDR Gold Trust European Warrants Oct 2013 B	0.25	1,198 per 100 units	2013/10/25	50,000,000	2013/04/23
25480	Credit Suisse AG	SPDR Gold Trust Euro Put Wts Oct 2013 A	0.25	900 per 100 units	2013/10/25	50,000,000	2013/04/23
25484	Credit Suisse AG	Zhaojin Mining European Wts Oct 2013 A	0.64	10.28 per unit	2013/10/25	50,000,000	2013/04/23
25482	Credit Suisse AG	Zijin Mining European Warrants Oct 2013 A	0.25	2.53 per unit	2013/10/25	50,000,000	2013/04/23
25488	Daiwa Capital Mkt	CCB European Warrants Dec 2013 A	0.16	7 per unit	2013/12/02	128,000,000	2013/04/23
25489	Daiwa Capital Mkt	CCB European Put Warrants Oct 2013 A	0.27	5.38 per unit	2013/10/23	80,000,000	2013/04/23
25397	Goldman Sachs SP (Asia)	Agri Bk European Wts Nov 2013 A	0.151	3.81 per unit	2013/11/18	80,000,000	2013/04/23
25404	Goldman Sachs SP (Asia)	Angang Steel Euro Wts Dec 2013 A	0.446	5.20 per unit	2013/12/30	50,000,000	2013/04/23
25398	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Sep 2013 B	0.152	29.5	2013/09/27	80,000,000	2013/04/23
25416	Goldman Sachs SP (Asia)	Lenovo European Wts Oct 2013 A	0.46	7.28 per unit	2013/10/30	80,000,000	2013/04/23
25415	Goldman Sachs SP (Asia)	Yanzhou Coal Euro Wts Dec 2013 B	0.631	9.88 per unit	2013/12/30	80,000,000	2013/04/23
25367	J P Morgan SP BV	CHALCO European Warrants Nov 2013 A	0.252	3.38 per unit	2013/11/01	48,000,000	2013/04/23
25365	J P Morgan SP BV	CPIC European Warrants Nov 2013 A	0.25	28.88	2013/11/01	180,000,000	2013/04/23
25341	J P Morgan SP BV	HSI European Warrants Apr 2014 A	0.25	24,000 per 6,000 units	2014/04/29	500,000,000	2013/04/23
25350	J P Morgan SP BV	HSI European Put Warrants Oct 2013 D	0.25	21,000 per 6,000 units	2013/10/30	500,000,000	2013/04/23
25362	J P Morgan SP BV	Haitong Sec European Warrants Sep 2014 A	0.25	10.88	2014/09/01	150,000,000	2013/04/23
25337	J P Morgan SP BV	PICC European Warrants Dec 2013 A	0.15	9.36	2013/12/20	150,000,000	2013/04/23
25426	J P Morgan SP BV	SPDR Gold Trust European Wts Nov 2013 A	0.25	1,150 per 100 units	2013/11/20	100,000,000	2013/04/23
25420	J P Morgan SP BV	SPDR Gold Trust Euro Put Wts Dec 2013 A	0.25	900 per 100 units	2013/12/18	100,000,000	2013/04/23
25389	J P Morgan SP BV	Wharf European Warrants Nov 2013 A	0.25	73.88	2013/11/01	100,000,000	2013/04/23
25335	Macquarie Bank Ltd.	CNOOC European Warrants Nov 2014 A	0.15	14.68	2014/11/17	150,000,000	2013/04/23
25405	Macquarie Bank Ltd.	Zhaojin Mining Euro Wts Dec 2014 A	0.25	9.5	2014/12/02	150,000,000	2013/04/23
25406	Macquarie Bank Ltd.	Zijin Mining European Wts Jul 2014 A	0.25	3 per unit	2014/07/03	75,000,000	2013/04/23
25413	Standard Chartered Bank	CTel European Warrants Nov 2013 A	0.25	3.88 per unit	2013/11/05	60,000,000	2013/04/23
25409	Standard Chartered Bank	Lenovo European Wts Dec 2013 A	0.4	8.28 per unit	2013/12/02	60,000,000	2013/04/23
25414	Standard Chartered Bank	SPDR Gold Trust Euro Wt Oct 2013 A	1	1,088 per 100 units	2013/10/28	50,000,000	2013/04/23
25408	Standard Chartered Bank	YZ Coal European Wts Sep 2014 A	0.25	7	2014/09/02	100,000,000	2013/04/23
25407	Standard Chartered Bank	Zhaojin Mining Euro Wts Mar 2014 A	0.25	6.88	2014/03/31	100,000,000	2013/04/23
25451	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Oct2013 B	0.17	12.02	2013/10/30	100,000,000	2013/04/23
25477	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Aug13B	0.405	10.38 per unit	2013/08/26	80,000,000	2013/04/23
25464	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Oct13A	0.68	10 per unit	2013/10/23	80,000,000	2013/04/23
25439	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Oct 13 B	0.235	65.06	2013/10/09	100,000,000	2013/04/23
10181	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Nov13 A	0.25	102 per 1 units	2013/11/27	50,000,000	2013/04/23
25454	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Sep13A	0.2	2.33 per unit	2013/09/23	50,000,000	2013/04/23
25333	UBS AG	CC Bank European Warrants Sep 2013 C	0.335	6.21 per unit	2013/09/19	100,000,000	2013/04/23
25564	BOCI Asia Ltd.	Cheung Kong European Warrants Sep 2013 A	0.28	128.88	2013/09/30	100,000,000	2013/04/24
25563	BOCI Asia Ltd.	Chi Res Land European Warrants Dec 2013 A	0.25	24.88	2013/12/10	100,000,000	2013/04/24

Newly listed derivative warrants *(continued)*

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25568	BOCI Asia Ltd.	A50 China European Warrants Sep 2013 B	0.2	11.88 per unit	2013/09/23	100,000,000	2013/04/24
25560	Credit Suisse AG	CNOOC European Warrants Sep 2013 A	0.25	16 per unit	2013/09/27	70,000,000	2013/04/24
25593	Credit Suisse AG	Greatwall Motor European Wts Aug 2013 A	0.15	35	2013/08/30	70,000,000	2013/04/24
25579	Credit Suisse AG	HSCEI European Warrants Dec 2013 A	0.15	10,650 per 5,000 units	2013/12/30	70,000,000	2013/04/24
25585	Credit Suisse AG	PetroChina European Warrants Nov 2013 A	0.15	11.90 per unit	2013/11/29	70,000,000	2013/04/24
25607	Credit Suisse AG	Poly HK Inv European Warrants Sep 2013 A	0.2	6 per unit	2013/09/06	70,000,000	2013/04/24
25597	Credit Suisse AG	Yurun Food European Warrants Oct 2013 A	0.25	5.89 per unit	2013/10/11	70,000,000	2013/04/24
25605	Credit Suisse AG	ZTE European Warrants Sep 2013 A	0.15	11.82	2013/09/27	70,000,000	2013/04/24
25610	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Sep 2013 A	0.2	126.1	2013/09/02	100,000,000	2013/04/24
25612	Citigroup Global Mkt H Inc.	ICBC European Wts Oct 2013 A	0.2	5.29 per unit	2013/10/08	100,000,000	2013/04/24
25531	Goldman Sachs SP (Asia)	China Coal European Wts Dec 2013 B	0.347	7 per unit	2013/12/30	60,000,000	2013/04/24
25528	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Oct 2013 B	0.587	9.88 per unit	2013/10/11	60,000,000	2013/04/24
25559	Goldman Sachs SP (Asia)	CRCC European Warrants Dec 2013 A	0.506	7.80 per unit	2013/12/30	60,000,000	2013/04/24
25502	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 B	0.153	20,300 per 7,000 units	2013/12/30	150,000,000	2013/04/24
25516	HK Bank	China Tel European Warrants Nov 2013 A	0.25	4.08 per unit	2013/11/04	80,000,000	2013/04/24
25514	HK Bank	A50 China European Warrants Aug 2013 A	0.15	12.02 per unit	2013/08/26	80,000,000	2013/04/24
25512	HK Bank	Ping An European Warrants Nov 2013 A	0.25	68.88	2013/11/04	80,000,000	2013/04/24
25510	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Nov 2014 A	0.15	14.7	2014/11/17	80,000,000	2013/04/24
25511	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Dec 2013 A	0.445	10 per unit	2013/12/23	80,000,000	2013/04/24
25571	Standard Chartered Bank	Jiangxi Copper Euro Wts Nov 2013 A	0.25	13.38	2013/11/04	100,000,000	2013/04/24
25573	Standard Chartered Bank	Li & Fung European Wts Dec 2014 A	0.15	11.27	2014/12/24	100,000,000	2013/04/24
25569	Standard Chartered Bank	Zijin Mining Euro Wts Nov 2013 B	0.35	1.99 per unit	2013/11/29	50,000,000	2013/04/24
25608	UBS AG	CNOOC European Warrants Oct 2013 A	0.185	12.02	2013/10/28	100,000,000	2013/04/24
25578	UBS AG	China Tel European Warrants Oct 2013 A	0.25	3.88 per unit	2013/10/23	100,000,000	2013/04/24
25609	UBS AG	Tencent Holdings European Warrants Oct 2013 B	0.175	260.20 per 100 units	2013/10/02	200,000,000	2013/04/24
25658	BOCI Asia Ltd.	CC Bank European Put Warrants Nov 2013 A	0.25	5.30 per unit	2013/11/11	100,000,000	2013/04/25
25657	BOCI Asia Ltd.	China Life European Put Warrants Dec 2013 A	0.25	19.28	2013/12/16	100,000,000	2013/04/25
25660	BOCI Asia Ltd.	ICBC European Warrants Dec 2013 A	0.15	5.80 per unit	2013/12/03	100,000,000	2013/04/25
25661	Daiwa Capital Mkt	Hutchison European Warrants Oct 2013 B	0.44	81.4	2013/10/15	128,000,000	2013/04/25
25665	Daiwa Capital Mkt	Hutchison European Put Wts Oct 2013 C	0.28	70	2013/10/25	80,000,000	2013/04/25
25646	Goldman Sachs SP (Asia)	CC Bank European Wts Jul 2013 C	0.159	6.21 per unit	2013/07/29	80,000,000	2013/04/25
25647	Goldman Sachs SP (Asia)	China Mobile Euro Wts Oct 2013 A	0.218	82.98	2013/10/02	80,000,000	2013/04/25
25641	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Oct 2013 B	0.383	8.88 per unit	2013/10/30	80,000,000	2013/04/25
25644	Goldman Sachs SP (Asia)	HKEx European Warrants Oct 2013 B	0.559	138.8	2013/10/30	80,000,000	2013/04/25
25631	Goldman Sachs SP (Asia)	HSBC European Warrants Nov 2013 A	0.366	82.8	2013/11/28	80,000,000	2013/04/25
25630	J P Morgan SP BV	Minsheng Bank European Wts Dec 2014 B	0.15	8.89	2014/12/29	200,000,000	2013/04/25
25668	Macquarie Bank Ltd.	HSBC European Warrants Oct 2013 A	0.15	86.88	2013/10/03	150,000,000	2013/04/25
25667	Macquarie Bank Ltd.	Hang Seng Bank European Wts Sep 2013 A	0.15	138.88	2013/09/03	150,000,000	2013/04/25
25656	Standard Chartered Bank	R&F Ppt European Wts Nov 2013 A	0.25	12.38	2013/11/04	100,000,000	2013/04/25
25648	Standard Chartered Bank	HS H-SHARE European Wts Nov 2013 A	0.33	122	2013/11/04	100,000,000	2013/04/25
25649	Standard Chartered Bank	HS H-SHARE European Wts Nov 2014 A	0.25	82.28 per 100 units	2014/11/04	150,000,000	2013/04/25
25613	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep13 B	0.26	83.88	2013/09/30	150,000,000	2013/04/25
25614	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Sep13 C	0.15	88.88	2013/09/25	150,000,000	2013/04/25

Newly listed derivative warrants *(continued)*

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25697	UBS AG	HKEx European Warrants Oct 2013 A	0.68	130.88	2013/10/24	100,000,000	2013/04/25
25687	UBS AG	KECL European Warrants Oct 2013 A	0.15	14.52	2013/10/28	100,000,000	2013/04/25
25877	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2013 B	0.19	88.9	2013/10/03	100,000,000	2013/04/26
25880	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Aug 2014 A	0.19	9.19	2014/08/04	100,000,000	2013/04/26
25876	BNP Paribas Arbit Issu B.V.	HKEx European Wts Oct 2013 A	0.25	150.1	2013/10/03	57,000,000	2013/04/26
25878	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Oct 2013 B	0.17	90.05	2013/10/03	100,000,000	2013/04/26
25879	BNP Paribas Arbit Issu B.V.	Zijin Mining Eu Wts Sep 2014 A	0.32	2.63 per unit	2014/09/02	79,000,000	2013/04/26
25836	Credit Suisse AG	CSOP A50 ETF European Warrants Aug 2013 B	0.2	11.90 per unit	2013/08/19	80,000,000	2013/04/26
25828	Credit Suisse AG	HSBC European Wts Sep 2013 B	0.27	83.4	2013/09/09	80,000,000	2013/04/26
25829	Credit Suisse AG	HSBC European Put Warrants Jul 2013 D	0.19	75	2013/07/29	80,000,000	2013/04/26
25834	Credit Suisse AG	HSI European Put Warrants Oct 2013 D	0.17	21,400 per 7,500 units	2013/10/30	150,000,000	2013/04/26
25835	Credit Suisse AG	HSI European Put Warrants Oct 2013 E	0.16	21,000 per 6,600 units	2013/10/30	150,000,000	2013/04/26
25882	Citigroup Global Mkt H Inc.	Ch Overseas Eu Put Wt Sep 13 B	0.25	22.5	2013/09/23	100,000,000	2013/04/26
25887	Citigroup Global Mkt H Inc.	HSBC European Wts Dec 2013 A	0.3	85.2	2013/12/23	100,000,000	2013/04/26
25886	Citigroup Global Mkt H Inc.	PetroChina Euro Wts Dec 2013 C	0.4	9.98 per unit	2013/12/23	100,000,000	2013/04/26
25881	Citigroup Global Mkt H Inc.	Sands China Euro Wt Jul 2013 C	0.16	43	2013/07/29	100,000,000	2013/04/26
25883	Citigroup Global Mkt H Inc.	Sands China Eu Put Wt Dec 13 A	0.4	37.4	2013/12/23	70,000,000	2013/04/26
25837	Daiwa Capital Mkt	BOCL European Warrants Oct 2013 A	0.15	3.688 per unit	2013/10/02	158,000,000	2013/04/26
25868	Daiwa Capital Mkt	CSOP A50 ETF European Wts Sep 2013 B	0.21	11.90 per unit	2013/09/02	108,000,000	2013/04/26
25867	Daiwa Capital Mkt	CSOP A50 ETF European Wts Dec 2013 A	0.15	13.38 per unit	2013/12/23	128,000,000	2013/04/26
25838	Daiwa Capital Mkt	ICBC European Warrants Nov 2013 A	0.15	5.888 per unit	2013/11/26	128,000,000	2013/04/26
25779	Goldman Sachs SP (Asia)	CAM CSI300 European Wts Dec 2013 C	0.25	30.88	2013/12/30	80,000,000	2013/04/26
25787	Goldman Sachs SP (Asia)	SPDR Gold Trust Euro Wt Dec 2013 A	0.329	1,188 per 100 units	2013/12/30	80,000,000	2013/04/26
25759	HK Bank	China Mobile European Warrants Nov 2013 A	0.25	86.88	2013/11/04	100,000,000	2013/04/26
25755	HK Bank	HSI European Warrants Oct 2013 B	0.25	20,500 per 8,000 units	2013/10/30	150,000,000	2013/04/26
25758	HK Bank	HSI European Put Warrants Dec 2013 B	0.15	20,300 per 7,000 units	2013/12/30	250,000,000	2013/04/26
25732	J P Morgan SP BV	Agricultural Bk Euro Warrants Dec 2013 A	0.25	3.68 per unit	2013/12/02	100,000,000	2013/04/26
25703	J P Morgan SP BV	BOCL European Warrants Nov 2013 B	0.25	3.50 per unit	2013/11/04	200,000,000	2013/04/26
25704	J P Morgan SP BV	CSOP A50 ETF European Warrants Nov 2013 A	0.34	10.78 per unit	2013/11/04	150,000,000	2013/04/26
25729	J P Morgan SP BV	Luk Fook Hold European Wts Dec 2013 A	0.25	23.88	2013/12/02	60,000,000	2013/04/26
25788	Standard Chartered Bank	CNOOC European Warrants Sep 2013 A	0.185	16.30 per unit	2013/09/25	60,000,000	2013/04/26
25789	Standard Chartered Bank	China Shenhua Euro Wts Nov 2013 A	0.25	24.88	2013/11/05	100,000,000	2013/04/26
25799	Standard Chartered Bank	HSI European Put Wts Jul 2013 C	0.156	21,800 per 8,000 units	2013/07/30	100,000,000	2013/04/26
25795	Standard Chartered Bank	ICBC European Warrants Nov 2013 A	0.25	5.20 per unit	2013/11/05	100,000,000	2013/04/26
10184	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 A	0.25	13,300 per 340 units	2013/12/13	100,000,000	2013/04/26
10185	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 B	0.25	13,800 per 280 units	2013/12/13	100,000,000	2013/04/26
10186	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Dec 2013 C	0.25	13,050 per 340 units	2013/12/13	100,000,000	2013/04/26
25814	UBS AG	Geely Auto European Warrants Oct 2013 A	0.28	4.38 per unit	2013/10/25	50,000,000	2013/04/26
25875	UBS AG	Jiangxi Copper European Warrants Oct 2014 A	0.25	16.88	2014/10/27	60,000,000	2013/04/26
25889	BOCI Asia Ltd.	Galaxy Ent European Warrants Nov 2013 A	0.17	36.27	2013/11/04	100,000,000	2013/04/29
25891	BOCI Asia Ltd.	HKEx European Warrants Oct 2013 C	0.24	150	2013/10/02	100,000,000	2013/04/29
25888	BOCI Asia Ltd.	ICBC European Put Warrants Nov 2013 A	0.25	4.60 per unit	2013/11/04	100,000,000	2013/04/29
25948	BNP Paribas Arbit Issu B.V.	CHALCO European Wts Feb 2014 A	0.33	3.18 per unit	2014/02/05	31,000,000	2013/04/29

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25945	BNP Paribas Arbit Issu B.V.	China Res Gas Eu Wt Dec 2013 A	0.25	24.68	2013/12/05	60,000,000	2013/04/29
25951	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Dec 13 A	0.29	39.9	2013/12/03	100,000,000	2013/04/29
25946	BNP Paribas Arbit Issu B.V.	Shanghai Electric Eu Wt Feb14A	0.33	3 per unit	2014/02/05	32,000,000	2013/04/29
25949	BNP Paribas Arbit Issu B.V.	Weichai Power Eu Wt Dec 2013 A	0.29	32	2013/12/03	64,000,000	2013/04/29
25942	Macquarie Bank Ltd.	Ping An European Warrants Oct 2013 B	0.15	70	2013/10/03	70,000,000	2013/04/29
25904	UBS AG	HSCEI European Warrants Oct 2013 A	0.15	10,800 per 4,200 units	2013/10/30	100,000,000	2013/04/29
26065	BOCI Asia Ltd.	Minsheng Bank European Warrants Sep 2013 A	0.18	12 per unit	2013/09/09	100,000,000	2013/04/30
26064	BOCI Asia Ltd.	China Unicom European Warrants Nov 2013 A	0.15	10	2013/11/11	100,000,000	2013/04/30
26050	BOCI Asia Ltd.	Hutchison European Warrants Oct 2013 A	0.19	90.88	2013/10/18	100,000,000	2013/04/30
26070	Credit Suisse AG	Sinopharm European Warrants Sep 2013 B	0.15	28.9	2013/09/30	70,000,000	2013/04/30
26071	Credit Suisse AG	SJM Holdings European Warrants Sep 2013 B	0.15	20.22	2013/09/30	70,000,000	2013/04/30
25959	Goldman Sachs SP (Asia)	CITIC Bank European Wts Mar 2014 A	0.252	4.68 per unit	2014/03/28	80,000,000	2013/04/30
25966	Goldman Sachs SP (Asia)	CNOOC European Warrants Nov 2014 A	0.153	14.68	2014/11/17	100,000,000	2013/04/30
25955	HK Bank	Anhui Conch European Warrants Nov 2013 A	0.25	32.88	2013/11/04	60,000,000	2013/04/30
25958	HK Bank	China Unicom European Warrants Oct 2013 A	0.15	10.01	2013/10/29	100,000,000	2013/04/30
26014	J P Morgan SP BV	Galaxy Ent European Warrants Nov 2013 A	0.25	35	2013/11/04	200,000,000	2013/04/30
25979	J P Morgan SP BV	Yanzhou Coal European Warrants Nov 2013 B	0.25	10.58 per unit	2013/11/15	50,000,000	2013/04/30
26067	Macquarie Bank Ltd.	BYD European Warrants Nov 2013 A	0.25	30	2013/11/04	150,000,000	2013/04/30
26074	Macquarie Bank Ltd.	Galaxy Ent European Wts Nov 2013 A	0.25	36	2013/11/04	50,000,000	2013/04/30
26066	Macquarie Bank Ltd.	Greatwall Motor European Wts Nov 2013 A	0.25	39.88	2013/11/04	150,000,000	2013/04/30
26046	Standard Chartered Bank	NCI European Warrants Dec 2013 A	0.25	33.88	2013/12/31	60,000,000	2013/04/30
26048	UBS AG	Anhui Conch European Warrants Oct 2013 A	0.3	29.88	2013/10/29	100,000,000	2013/04/30
26077	UBS AG	Ping An European Warrants Oct 2013 B	0.45	60.06	2013/10/21	100,000,000	2013/04/30
26216	Credit Suisse AG	Hutchison European Put Wts Aug 2013 B	0.15	71.98	2013/08/30	70,000,000	2013/05/02
26221	Credit Suisse AG	ZTE European Warrants Nov 2013 A	0.18	13.82	2013/11/05	60,000,000	2013/05/02
26226	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Dec 2013 A	0.523	10.80 per unit	2013/12/30	80,000,000	2013/05/02
26178	J P Morgan SP BV	CITIC Bank European Warrants Nov 2013 A	0.25	4.38 per unit	2013/11/04	100,000,000	2013/05/02
26233	J P Morgan SP BV	CNOOC European Warrants Nov 2014 A	0.15	14.7	2014/11/17	300,000,000	2013/05/02
26185	J P Morgan SP BV	Link Reit European Warrants Dec 2013 A	0.25	46.88	2013/12/02	80,000,000	2013/05/02
26158	J P Morgan SP BV	PetroChina European Warrants Nov 2013 A	0.25	10.38 per unit	2013/11/01	100,000,000	2013/05/02
26228	J P Morgan SP BV	Sands China European Put Wts Nov 2013 B	0.25	38	2013/11/04	200,000,000	2013/05/02
26157	J P Morgan SP BV	Weichai Power European Wts Dec 2013 A	0.25	33	2013/12/02	80,000,000	2013/05/02
26156	Macquarie Bank Ltd.	A50 China European Warrants Aug 2013 A	0.2	11.30 per unit	2013/08/02	50,000,000	2013/05/02
26152	Macquarie Bank Ltd.	Shui On Land European Wts Mar 2014 A	0.25	3 per unit	2014/03/04	60,000,000	2013/05/02
26097	Merrill Lynch Int'l & Co. C.V.	CAM CSI300 Eu Wt Oct 2013 A	0.185	30.9	2013/10/15	60,000,000	2013/05/02
26147	Merrill Lynch Int'l & Co. C.V.	CAM CSI300 Eu Wt Dec 2013 A	0.25	30.05	2013/12/09	40,000,000	2013/05/02
26150	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Oct 2013 C	0.152	20.93	2013/10/25	70,000,000	2013/05/02
26148	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Feb 14 A	0.205	18.98	2014/02/20	60,000,000	2013/05/02
26087	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Dec 13 D	0.213	10,150 per 4,000 units	2013/12/30	100,000,000	2013/05/02
26132	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Aug 2013 A	0.176	88.93	2013/08/30	60,000,000	2013/05/02
26130	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Oct 2013 C	0.176	90.93	2013/10/04	60,000,000	2013/05/02
26151	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Nov 13 A	0.38	71.99	2013/11/11	40,000,000	2013/05/02
26093	Merrill Lynch Int'l & Co. C.V.	Wharf Euro Wts Dec 2013 A	0.415	72.89	2013/12/30	25,000,000	2013/05/02

Newly listed derivative warrants *(continued)*

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26271	Credit Suisse AG	CC Bank European Warrants Sep 2013 A	0.17	6.81 per unit	2013/09/23	70,000,000	2013/05/03
26328	Credit Suisse AG	HSCEI European Warrants Oct 2013 A	0.15	10,850 per 4,200 units	2013/10/30	70,000,000	2013/05/03
26287	Credit Suisse AG	HSI European Put Warrants Sep 2013 D	0.15	22,000 per 7,600 units	2013/09/27	150,000,000	2013/05/03
26327	Credit Suisse AG	HSI European Put Warrants Nov 2013 A	0.15	21,200 per 7,000 units	2013/11/28	150,000,000	2013/05/03
26270	Credit Suisse AG	ICBC European Warrants Oct 2013 A	0.18	5.56 per unit	2013/10/03	70,000,000	2013/05/03
26259	Bank of East Asia	BYD European Warrants Oct 2013 A	0.21	32.05	2013/10/28	50,000,000	2013/05/03
26387	Bank of East Asia	China COSCO European Warrants Feb 2014 A	0.25	4.88 per unit	2014/02/04	50,000,000	2013/05/03
26334	Bank of East Asia	Ch Mobile European Warrants Jan 2014 A	0.27	90	2014/01/02	50,000,000	2013/05/03
26366	Bank of East Asia	China Overseas Euro Warrants Feb 2014 A	0.25	25	2014/02/04	60,000,000	2013/05/03
26393	Bank of East Asia	Galaxy Ent European Warrants Feb 2014 A	0.25	40.88	2014/02/04	50,000,000	2013/05/03
26368	Bank of East Asia	Zhaojin Mining European Wts Feb 2015 A	0.25	10	2015/02/02	90,000,000	2013/05/03
26264	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Feb 2014 A	0.25	4 per unit	2014/02/05	80,000,000	2013/05/03
26260	Macquarie Bank Ltd.	CITIC Bank European Wts Jan 2014 A	0.25	5 per unit	2014/01/03	80,000,000	2013/05/03
26234	Merrill Lynch Int'l & Co. C.V.	ChiUnicom Eu Wts Apr 2014 A	0.15	12	2014/04/22	80,000,000	2013/05/03
26246	Standard Chartered Bank	Agricultural Bk Eur Wts Dec 2013 A	0.25	3.68 per unit	2013/12/04	60,000,000	2013/05/03
26252	Standard Chartered Bank	HuanengPower Euro Wts Aug 2013 A	0.42	9.39 per unit	2013/08/05	50,000,000	2013/05/03
26251	Standard Chartered Bank	HuanengPower Euro Wts Dec 2013 A	0.35	11.28 per unit	2013/12/06	50,000,000	2013/05/03
89010	UBS AG	CSOP A50 ETF European Warrants Dec 2013 B	0.415	9.88 per unit	2013/12/30	25,000,000	2013/05/03
26445	HK Bank	HSI European Put Warrants Aug 2013 D	0.15	22,600 per 7,800 units	2013/08/29	180,000,000	2013/05/06
26442	HK Bank	Sands China European Warrants Oct 2013 A	0.15	49.93	2013/10/02	80,000,000	2013/05/06
26514	Macquarie Bank Ltd.	CC Bank European Put Wts Dec 2013 B	0.25	5.28 per unit	2013/12/03	100,000,000	2013/05/06
26447	Macquarie Bank Ltd.	Ch Comm Cons European Wts Dec 2014 A	0.15	9	2014/12/02	150,000,000	2013/05/06
26486	Macquarie Bank Ltd.	CM Bank European Warrants Jul 2014 A	0.15	17.88	2014/07/03	150,000,000	2013/05/06
26511	Macquarie Bank Ltd.	ICBC European Put Warrants Dec 2013 B	0.25	4.50 per unit	2013/12/03	100,000,000	2013/05/06
26473	Macquarie Bank Ltd.	Link REIT European Warrants Mar 2014 A	0.15	51	2014/03/04	150,000,000	2013/05/06
26494	Standard Chartered Bank	Sinopec Corp Euro Wts Nov 2013 A	0.25	9.58 per unit	2013/11/08	80,000,000	2013/05/06
26552	UBS AG	Galaxy Ent European Warrants Nov 2013 A	0.25	40.88	2013/11/05	80,000,000	2013/05/06
26534	UBS AG	HSI European Warrants Oct 2013 C	0.15	23,000 per 6,800 units	2013/10/30	300,000,000	2013/05/06
26543	UBS AG	HSI European Put Warrants Sep 2013 G	0.17	22,500 per 8,000 units	2013/09/27	300,000,000	2013/05/06
26536	UBS AG	Hutchison European Warrants Sep 2013 C	0.195	94.85	2013/09/30	200,000,000	2013/05/06
26545	UBS AG	Lumena Newmat European Warrants Dec 2013 A	0.25	1.98 per unit	2013/12/30	100,000,000	2013/05/06
26560	UBS AG	Sands China European Warrants Sep 2013 A	0.2	46.33	2013/09/16	60,000,000	2013/05/06
26551	UBS AG	Tencent Holdings European Put Warrants Sep 2013 D	0.15	237.30 per 100 units	2013/09/25	100,000,000	2013/05/06
26786	Credit Suisse AG	China Coal European Warrants Aug 2013 A	0.2	7.29 per unit	2013/08/30	60,000,000	2013/05/07
26651	Credit Suisse AG	Jiangxi Copper European Wts Dec 2013 A	0.25	15.38	2013/12/02	70,000,000	2013/05/07
26914	Goldman Sachs SP (Asia)	HSI European Put Wts Aug 2013 B	0.155	22,500 per 7,800 units	2013/08/29	150,000,000	2013/05/07
26916	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2013 B	0.152	21,900 per 7,600 units	2013/09/27	150,000,000	2013/05/07
26616	HK Bank	CNOOC European Warrants Feb 2014 A	0.15	14.89	2014/02/11	120,000,000	2013/05/07
26583	HK Bank	CSOP A50 ETF European Warrants Dec 2013 A	0.38	10.88 per unit	2013/12/31	80,000,000	2013/05/07
26692	Macquarie Bank Ltd.	Agricultural Bk Euro Put Wt Oct 2013 A	0.189	3.28 per unit	2013/10/09	100,000,000	2013/05/07
26637	Macquarie Bank Ltd.	CRCC European Warrants Jan 2015 A	0.15	9.89	2015/01/05	150,000,000	2013/05/07
26679	Macquarie Bank Ltd.	Stanchart European Warrants Jul 2014 A	0.25	200 per 100 units	2014/07/03	250,000,000	2013/05/07
26645	Standard Chartered Bank	HSI European Warrants Sep 2013 D	0.15	22,200 per 7,600 units	2013/09/27	100,000,000	2013/05/07

Newly listed derivative warrants *(continued)*

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26755	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Nov13A	0.51	9.30 per unit	2013/11/11	25,000,000	2013/05/07
26884	UBS AG	AIA European Warrants Dec 2013 A	0.19	36.05	2013/12/24	100,000,000	2013/05/07
26883	UBS AG	China Coal European Warrants Nov 2013 A	0.3	6.68 per unit	2013/11/06	40,000,000	2013/05/07
26835	UBS AG	HSCEI European Put Warrants Dec 2013 D	0.2	10,150 per 4,000 units	2013/12/30	100,000,000	2013/05/07
26858	UBS AG	Wynn Macau European Warrants Nov 2013 A	0.25	23.88	2013/11/06	50,000,000	2013/05/07
26948	Credit Suisse AG	AIA European Warrants Nov 2013 A	0.17	38	2013/11/11	80,000,000	2013/05/08
26947	Credit Suisse AG	CNBM European Warrants Nov 2013 A	0.62	10.88 per unit	2013/11/11	60,000,000	2013/05/08
26949	Daiwa Capital Mkt	Galaxy Ent European Warrants Nov 2013 A	0.28	36	2013/11/01	98,000,000	2013/05/08
26928	Goldman Sachs SP (Asia)	JIANC European Warrants Jun 2014 A	0.251	16.68	2014/06/27	80,000,000	2013/05/08
26917	Goldman Sachs SP (Asia)	KECL European Warrants Dec 2014 B	0.152	16.68	2014/12/15	80,000,000	2013/05/08
26945	Goldman Sachs SP (Asia)	Tencent European Wt Oct 2013 B	0.151	280 per 100 units	2013/10/31	80,000,000	2013/05/08
26972	UBS AG	Sinopec Corp European Warrants Nov 2013 A	0.26	9.18 per unit	2013/11/07	100,000,000	2013/05/08
26979	UBS AG	HSI European Warrants Aug 2013 B	0.15	22,600 per 7,500 units	2013/08/29	300,000,000	2013/05/08
26976	UBS AG	Ping An European Put Warrants Nov 2013 A	0.4	57.38	2013/11/07	100,000,000	2013/05/08
26977	UBS AG	Sands China European Warrants Nov 2013 A	0.35	42.88	2013/11/07	60,000,000	2013/05/08
27094	Credit Suisse AG	SUNAC European Warrants Nov 2013 A	0.72	7.58 per unit	2013/11/11	50,000,000	2013/05/09
27089	Credit Suisse AG	Tencent Holdings Euro Put Wts Sep 2013 F	0.17	265 per 100 units	2013/09/30	80,000,000	2013/05/09
27021	HK Bank	Minsheng Bank European Warrants Dec 2013 A	1.27	9.28 per unit	2013/12/02	50,000,000	2013/05/09
27022	HK Bank	HSI European Put Warrants Sep 2013 H	0.15	22,000 per 7,600 units	2013/09/27	150,000,000	2013/05/09
27023	HK Bank	HSI European Put Warrants Nov 2013 A	0.15	21,200 per 7,000 units	2013/11/28	150,000,000	2013/05/09
27020	HK Bank	Tencent European Put Warrants Oct 2013 B	0.15	241.80 per 100 units	2013/10/18	100,000,000	2013/05/09
27026	Standard Chartered Bank	AIA European Warrants Feb 2014 A	0.19	40	2014/02/26	100,000,000	2013/05/09
27041	Standard Chartered Bank	China Mobile Eur Put Wt Nov 2013 B	0.25	74.88	2013/11/15	80,000,000	2013/05/09
27025	Standard Chartered Bank	Ping An European Wts Aug 2013 D	0.15	71.04	2013/08/27	100,000,000	2013/05/09
27024	Standard Chartered Bank	Tencent Hldg Euro Wts Sep 2013 B	0.15	280.20 per 100 units	2013/09/25	100,000,000	2013/05/09
27136	UBS AG	CITIC Bank European Warrants Nov 2013 A	0.25	4.58 per unit	2013/11/08	50,000,000	2013/05/09
27117	UBS AG	Esprit European Warrants Aug 2014 A	0.25	12.18	2014/08/08	100,000,000	2013/05/09
27141	UBS AG	Tencent Holdings European Warrants Nov 2013 A	0.25	270.88 per 100 units	2013/11/08	100,000,000	2013/05/09
27221	Daiwa Capital Mkt	Agricultural Bank European Wts Nov 2013 A	0.25	4 per unit	2013/11/11	108,000,000	2013/05/10
27180	Daiwa Capital Mkt	CCB European Warrants Nov 2013 A	0.15	7.60 per unit	2013/11/11	128,000,000	2013/05/10
27216	Daiwa Capital Mkt	HSBC European Warrants Oct 2013 B	0.28	88.9	2013/10/02	128,000,000	2013/05/10
27217	Daiwa Capital Mkt	HSBC European Put Warrants Sep 2013 C	0.35	80.88	2013/09/09	98,000,000	2013/05/10
27182	Daiwa Capital Mkt	Hutchison European Warrants Oct 2013 D	0.26	93.9	2013/10/21	108,000,000	2013/05/10
27488	Goldman Sachs SP (Asia)	CC Bank European Wts Dec 2013 A	0.25	7.08 per unit	2013/12/27	80,000,000	2013/05/10
27331	Goldman Sachs SP (Asia)	CITIC Pacific Euro Wts Nov 2013 A	0.426	10.38 per unit	2013/11/29	60,000,000	2013/05/10
27305	Goldman Sachs SP (Asia)	Ch Mer Hold Euro Wts Jan 2014 A	0.25	26.88	2014/01/30	60,000,000	2013/05/10
27491	Goldman Sachs SP (Asia)	Geely Auto European Wts Jan 2014 A	0.263	4.58 per unit	2014/01/30	60,000,000	2013/05/10
27149	HK Bank	A50 China European Warrants Aug 2014 A	0.15	9.19	2014/08/01	200,000,000	2013/05/10
27170	HK Bank	HWL European Warrants Oct 2013 B	0.5	82.83	2013/10/03	80,000,000	2013/05/10
27179	HK Bank	HWL European Warrants Dec 2013 A	0.7	81.73	2013/12/31	80,000,000	2013/05/10
27257	UBS AG	China Shenhua European Warrants Jan 2014 A	0.25	27.68	2014/01/27	80,000,000	2013/05/10
27242	UBS AG	HSI European Warrants Feb 2014 A	0.15	24,300 per 6,000 units	2014/02/27	300,000,000	2013/05/10
27251	UBS AG	New World European Warrants Oct 2014 A	0.25	14.38	2014/10/20	100,000,000	2013/05/10

Newly listed derivative warrants *(continued)*

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27248	UBS AG	Tencent Holdings European Put Warrants Sep 2013 E	0.18	264.80 per 100 units	2013/09/24	100,000,000	2013/05/10
27301	UBS AG	ZTE European Warrants Nov 2013 B	0.2	13.82	2013/11/05	100,000,000	2013/05/10
20867 #	Daiwa Capital Mkt	HSCEI European Warrants Jun 2013 D	0.065	11,000 per 3,900 units	2013/06/27	300,000,000	2013/05/10
11058	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Apr 2014 A	0.25	3.03 per unit	2014/04/02	100,000,000	2013/05/13
11059	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Jan 2015 A	0.25	14.4	2015/01/05	100,000,000	2013/05/13
11061	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Jan 2014 A	0.25	45	2014/01/03	100,000,000	2013/05/13
11062	BNP Paribas Arbit Issu B.V.	PICC Group Euro Wts Jan 2014 A	0.25	4.88 per unit	2014/01/03	90,000,000	2013/05/13
11060	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jan 2014 C	0.25	262 per 100 units	2014/01/03	100,000,000	2013/05/13
29330	Credit Suisse AG	Ch Oil & Gas European Warrants Dec 2013 A	0.25	1.68 per unit	2013/12/23	50,000,000	2013/05/13
27495	Credit Suisse AG	HSI European Put Warrants Aug 2013 D	0.15	22,600 per 7,800 units	2013/08/29	150,000,000	2013/05/13
11010	Credit Suisse AG	PICC Group European Warrants Nov 2013 A	0.25	4.48 per unit	2013/11/12	60,000,000	2013/05/13
11011	Credit Suisse AG	PICC Group European Warrants Nov 2013 B	0.25	4.686 per unit	2013/11/12	60,000,000	2013/05/13
11040	Daiwa Capital Mkt	BOC HK European Put Warrants May 2014 A	0.25	23.88	2014/05/02	80,000,000	2013/05/13
11019	Daiwa Capital Mkt	China Life European Warrants Nov 2013 B	0.15	22.9	2013/11/15	168,000,000	2013/05/13
11014	Daiwa Capital Mkt	China Life European Put Wts Nov 2013 A	0.31	22.88	2013/11/12	80,000,000	2013/05/13
11020	Daiwa Capital Mkt	ICBC European Warrants Dec 2013 A	0.15	6.26 per unit	2013/12/24	128,000,000	2013/05/13
11018	Daiwa Capital Mkt	ICBC European Put Warrants Nov 2013 B	0.3	5 per unit	2013/11/12	80,000,000	2013/05/13
11057	Bank of East Asia	PICC Group European Warrants Jan 2014 A	0.26	4.88 per unit	2014/01/13	50,000,000	2013/05/13
27517	HK Bank	Esprit European Warrants Jun 2014 A	0.25	12.28	2014/06/30	120,000,000	2013/05/13
27501	HK Bank	Tencent European Warrants Oct 2013 C	0.15	299.80 per 100 units	2013/10/07	150,000,000	2013/05/13
27497	Macquarie Bank Ltd.	Esprit European Warrants Sep 2014 A	0.25	12.7	2014/09/02	120,000,000	2013/05/13
11012	Macquarie Bank Ltd.	PICC Group European Wts Dec 2013 A	0.25	4.78 per unit	2013/12/03	50,000,000	2013/05/13
27546	Nomura Int'l plc	BOCL European Warrants Aug 2014 A	0.157	4.27 per unit	2014/08/01	100,000,000	2013/05/13
27987	Nomura Int'l plc	China Agri European Warrants Feb 2014 A	0.25	4.98 per unit	2014/02/18	50,000,000	2013/05/13
27978	Nomura Int'l plc	China Coal European Warrants Dec 2013 A	0.255	7.68 per unit	2013/12/31	50,000,000	2013/05/13
27940	Nomura Int'l plc	CITIC Bank European Warrants Dec 2013 A	0.252	5 per unit	2013/12/31	50,000,000	2013/05/13
27555	Nomura Int'l plc	China Life European Warrants Nov 2013 A	0.15	22.9	2013/11/28	100,000,000	2013/05/13
27991	Nomura Int'l plc	Ch Oil & Gas European Warrants Aug 2014 A	0.259	1.98 per unit	2014/08/28	50,000,000	2013/05/13
27545	Nomura Int'l plc	China Shenhua European Wts Feb 2014 A	0.15	31.888	2014/02/17	80,000,000	2013/05/13
27844	Nomura Int'l plc	PICC Group European Warrants Dec 2013 A	0.275	4.50 per unit	2013/12/02	50,000,000	2013/05/13
27802	Nomura Int'l plc	Ping An European Warrants Dec 2013 A	0.279	70	2013/12/02	50,000,000	2013/05/13
27544	Nomura Int'l plc	Renhe Comm European Warrants Dec 2015 A	0.255	0.688 per unit	2015/12/18	50,000,000	2013/05/13
27996	SGA Societe Generale Acceptance N.V.	PICC Gp Eu Wts Nov13A	0.275	4.60 per unit	2013/11/13	50,000,000	2013/05/13
11044	UBS AG	CNOOC European Put Warrants Nov 2014 B	0.25	13.68	2014/11/07	80,000,000	2013/05/13
11056	UBS AG	PICC Group European Warrants Nov 2013 A	0.25	4.68 per unit	2013/11/12	60,000,000	2013/05/13
12833 #	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Dec 2013	0.052	82.99 per 100 units	2013/12/11	200,000,000	2013/05/13
22339 #	UBS AG	HSI European Put Warrants Jul 2013 A	0.072	22,200 per 7,200 units	2013/07/30	200,000,000	2013/05/13
11197	Credit Suisse AG	HKEx European Warrants Aug 2013 B	0.15	165	2013/08/26	70,000,000	2013/05/14
11211	Credit Suisse AG	HKEx European Warrants Sep 2013 C	0.42	150	2013/09/27	70,000,000	2013/05/14
11237	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Dec 2013 A	0.4	38.88	2013/12/18	100,000,000	2013/05/14
11235	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Mar 2014 A	0.4	40.68	2014/03/19	100,000,000	2013/05/14
11240	Citigroup Global Mkt H Inc.	Sands China Euro Wt Nov 2013 A	0.25	43.68	2013/11/20	100,000,000	2013/05/14
11239	Citigroup Global Mkt H Inc.	Sands China Euro Wt Feb 2014 A	0.25	45.78	2014/02/19	100,000,000	2013/05/14

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11136	Daiwa Capital Mkt	AIA European Warrants Mar 2014 A	0.2	40.05	2014/03/03	108,000,000	2013/05/14
11168	Daiwa Capital Mkt	AIA European Put Warrants Dec 2013 B	0.25	32.8	2013/12/13	80,000,000	2013/05/14
11129	Daiwa Capital Mkt	Ping An European Warrants Oct 2013 A	0.28	68.05	2013/10/02	108,000,000	2013/05/14
11132	Daiwa Capital Mkt	Ping An European Warrants Nov 2013 A	0.16	75.05	2013/11/01	108,000,000	2013/05/14
11133	Daiwa Capital Mkt	Ping An European Put Warrants Nov 2013 B	0.5	62	2013/11/14	80,000,000	2013/05/14
11138	Goldman Sachs SP (Asia)	CNBM European Warrants Jun 2014 A	0.16	10	2014/06/16	80,000,000	2013/05/14
11149	Goldman Sachs SP (Asia)	Ch Ship Dev Euro Wts Nov 2013 A	0.337	3.88 per unit	2013/11/28	60,000,000	2013/05/14
11165	Goldman Sachs SP (Asia)	Chow Tai Fook Euro Wts Aug 2014 B	0.15	12.7	2014/08/18	80,000,000	2013/05/14
11064	Goldman Sachs SP (Asia)	HSCEI European Put Wts Oct 2013 A	0.18	10,800 per 4,500 units	2013/10/30	150,000,000	2013/05/14
11160	Goldman Sachs SP (Asia)	Li & Fung European Wts Dec 2014 A	0.153	11.268	2014/12/24	80,000,000	2013/05/14
11167	Goldman Sachs SP (Asia)	Zhaojin Mining Euro Wts Nov 2014 A	0.154	9.5	2014/11/26	80,000,000	2013/05/14
11073	HK Bank	Haitong Sec European Warrants Dec 2013 A	0.15	12.9	2013/12/05	100,000,000	2013/05/14
11074	HK Bank	Jiangxi Copper European Warrants Aug 2014 A	0.25	17.38	2014/08/04	80,000,000	2013/05/14
11077	HK Bank	PICC Group European Warrants Nov 2013 A	0.25	4.58 per unit	2013/11/13	100,000,000	2013/05/14
11075	HK Bank	Ping An European Warrants Aug 2013 A	0.15	71.03	2013/08/30	100,000,000	2013/05/14
11070	HK Bank	SPDR Gold Trust European Warrants Nov 2013 A	0.25	1,238 per 100 units	2013/11/18	80,000,000	2013/05/14
11079	Standard Chartered Bank	China Coal European Wts Jan 2014 A	0.4	6.66 per unit	2014/01/09	60,000,000	2013/05/14
11090	Standard Chartered Bank	Jiangxi Copper Euro Wts Jan 2014 A	0.25	15	2014/01/09	100,000,000	2013/05/14
11103	Standard Chartered Bank	SPDR Gold Trust Euro Wt Jul 2014 A	0.44	1,388 per 100 units	2014/07/25	100,000,000	2013/05/14
11063	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Sep13B	0.15	41.88	2013/09/23	100,000,000	2013/05/14
11122	UBS AG	AIA European Warrants Nov 2013 A	0.2	38.05	2013/11/11	100,000,000	2013/05/14
11128	UBS AG	AIA European Warrants Feb 2014 A	0.25	40.88	2014/02/17	100,000,000	2013/05/14
11234	UBS AG	CC Bank European Warrants Sep 2013 D	0.2	6.81 per unit	2013/09/27	100,000,000	2013/05/14
11112	UBS AG	CITIC Sec European Warrants Oct 2013 A	0.19	18.9	2013/10/29	80,000,000	2013/05/14
11115	UBS AG	Galaxy Ent European Warrants Nov 2013 B	0.19	42.93	2013/11/01	80,000,000	2013/05/14
11121	UBS AG	Haitong Sec European Warrants May 2014 A	0.25	11.88	2014/05/26	80,000,000	2013/05/14
24125 #	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jul 2013 B	0.042	21,700 per 8,000 units	2013/07/30	240,000,000	2013/05/14
12104	Credit Suisse AG	BOC HK European Warrants Sep 2014 A	0.18	30	2014/09/26	70,000,000	2013/05/15
12101	Credit Suisse AG	BYD European Warrants Sep 2013 A	0.16	38.4	2013/09/30	70,000,000	2013/05/15
11880	Credit Suisse AG	CC Bank European Warrants Nov 2013 A	0.25	7.28 per unit	2013/11/15	70,000,000	2013/05/15
11957	Credit Suisse AG	CC Bank European Put Warrants Sep 2013 B	0.3	5.99 per unit	2013/09/23	60,000,000	2013/05/15
12103	Credit Suisse AG	China Mobile European Warrants Sep 2013 A	0.15	95	2013/09/23	70,000,000	2013/05/15
12102	Credit Suisse AG	China Mobile European Warrants Oct 2013 A	0.17	91.4	2013/10/28	70,000,000	2013/05/15
12105	Credit Suisse AG	Greatwall Motor European Wts Oct 2013 A	0.28	39.9	2013/10/28	50,000,000	2013/05/15
11783	Credit Suisse AG	HSBC European Put Warrants Sep 2013 C	0.28	80.85	2013/09/09	60,000,000	2013/05/15
11439	Credit Suisse AG	HSI European Put Warrants Sep 2013 E	0.15	22,600 per 8,000 units	2013/09/27	150,000,000	2013/05/15
11782	Credit Suisse AG	HSI European Put Warrants Sep 2013 F	0.18	23,000 per 6,800 units	2013/09/27	150,000,000	2013/05/15
12106	Credit Suisse AG	Jiangxi Copper European Wts Jan 2014 A	0.25	16.68	2014/01/27	60,000,000	2013/05/15
11879	Credit Suisse AG	ZTE European Warrants Sep 2013 B	0.15	15	2013/09/09	70,000,000	2013/05/15
12108	Daiwa Capital Mkt	China Mobile European Wts Sep 2013 B	0.15	94.98	2013/09/23	128,000,000	2013/05/15
12109	Daiwa Capital Mkt	China Mobile European Wts Nov 2013 A	0.33	89.88	2013/11/15	128,000,000	2013/05/15
12110	Daiwa Capital Mkt	China Mobile European Put Wts Nov 2013 B	0.37	78	2013/11/15	80,000,000	2013/05/15
12107	Daiwa Capital Mkt	HKEx European Warrants Sep 2014 A	0.15	150.08 per 100 units	2014/09/05	128,000,000	2013/05/15

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12111	Daiwa Capital Mkt	HKEx European Put Warrants Jul 2014 A	0.25	139.80 per 100 units	2014/07/02	80,000,000	2013/05/15
11387	Goldman Sachs SP (Asia)	AIA European Put Wts Dec 2013 B	0.252	32.8	2013/12/30	80,000,000	2013/05/15
11394	Goldman Sachs SP (Asia)	China Life Euro Wts Jan 2014 A	0.25	23	2014/01/30	80,000,000	2013/05/15
11370	Goldman Sachs SP (Asia)	A50 China European Wts Oct 2013 C	0.39	11.50 per unit	2013/10/30	80,000,000	2013/05/15
11438	Goldman Sachs SP (Asia)	HSBC European Put Wts Nov 2013 B	0.45	83.08	2013/11/29	80,000,000	2013/05/15
11368	Goldman Sachs SP (Asia)	Yurun Food European Wts Mar 2014 A	0.517	6 per unit	2014/03/31	60,000,000	2013/05/15
11351	Goldman Sachs SP (Asia)	Zoomlion European Wts Dec 2013 A	0.524	8.80 per unit	2013/12/31	60,000,000	2013/05/15
11307	HK Bank	AIA European Put Warrants Dec 2013 B	0.25	33.18	2013/12/02	80,000,000	2013/05/15
11302	HK Bank	China Unicom European Warrants Apr 2014 A	0.15	11.99	2014/04/23	100,000,000	2013/05/15
11329	Macquarie Bank Ltd.	China Gas Hold Euro Wts Oct 2014 A	0.18	10	2014/10/28	150,000,000	2013/05/15
11331	Macquarie Bank Ltd.	MGM China European Warrants Apr 2014 A	0.25	23.88	2014/04/02	100,000,000	2013/05/15
11333	Macquarie Bank Ltd	Skyworth Digital European Wts Feb 2016 A	0.25	7	2016/02/15	120,000,000	2013/05/15
11242	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2013 A	0.164	96.68	2013/11/01	70,000,000	2013/05/15
11283	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2013 B	0.155	23,100 per 6,800 units	2013/10/30	100,000,000	2013/05/15
11241	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Oct 2013 C	0.162	75	2013/10/28	70,000,000	2013/05/15
11244	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Sep 2013 B	0.151	300.01 per 100 units	2013/09/24	80,000,000	2013/05/15
11258	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Dec 2013 A	0.206	288.01 per 100 units	2013/12/16	60,000,000	2013/05/15
12112	Standard Chartered Bank	BOC HK European Wts Sep 2014 A	0.15	30	2014/09/23	100,000,000	2013/05/15
11308	Standard Chartered Bank	China COSCO European Wt Jan 2014 A	0.25	4.60 per unit	2014/01/06	60,000,000	2013/05/15
11312	Standard Chartered Bank	Ch Ship Cont Euro Wts Dec 2013 A	0.25	2.38 per unit	2013/12/03	60,000,000	2013/05/15
12113	Standard Chartered Bank	HSBC European Put Wts Jan 2014 A	0.39	80.88	2014/01/08	100,000,000	2013/05/15
11635	UBS AG	CC Bank European Warrants Nov 2013 A	0.16	7.38 per unit	2013/11/21	100,000,000	2013/05/15
11448	UBS AG	Esprit European Warrants Apr 2014 A	0.25	10.68	2014/04/08	100,000,000	2013/05/15
11481	UBS AG	HSBC European Warrants Nov 2013 A	0.21	96.73	2013/11/06	100,000,000	2013/05/15
11581	UBS AG	HSCEI European Put Warrants Sep 2013 C	0.19	11,250 per 4,500 units	2013/09/27	100,000,000	2013/05/15
11704	UBS AG	HSI European Warrants Dec 2013 D	0.15	23,900 per 7,000 units	2013/12/30	300,000,000	2013/05/15
11744	UBS AG	HSI European Put Warrants Nov 2013 A	0.29	23,600 per 8,000 units	2013/11/28	300,000,000	2013/05/15
11478	UBS AG	ICBC European Warrants Dec 2013 A	0.15	6.26 per unit	2013/12/24	100,000,000	2013/05/15
11455	UBS AG	PetroChina European Warrants Sep 2013 A	0.22	11.52 per unit	2013/09/23	60,000,000	2013/05/15
11534	UBS AG	SPDR Gold Trust European Warrants Nov 2013 A	0.25	1,388 per 100 units	2013/11/25	100,000,000	2013/05/15
12158	BNP Paribas Arbit Issu B.V.	AIA European Wts Sep 2014 A	0.25	42	2014/09/02	100,000,000	2013/05/16
12156	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Sep 2013 A	0.16	21.9	2013/09/30	100,000,000	2013/05/16
12157	BNP Paribas Arbit Issu B.V.	CNOOC European Wts Dec 2014 B	0.27	14.7	2014/12/01	100,000,000	2013/05/16
12154	BNP Paribas Arbit Issu B.V.	HSBC European Wts Sep 2013 A	0.16	93.9	2013/09/03	100,000,000	2013/05/16
12153	BNP Paribas Arbit Issu B.V.	HSCEI European Wts Dec 2013 C	0.15	11,500 per 4,000 units	2013/12/30	100,000,000	2013/05/16
12152	BNP Paribas Arbit Issu B.V.	HSCEI Euro Put Wts Dec 2013 B	0.16	10,200 per 4,000 units	2013/12/30	100,000,000	2013/05/16
12151	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2014 A	0.15	24,300 per 6,000 units	2014/02/27	100,000,000	2013/05/16
12155	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jul 2014 A	0.28	80.88	2014/07/03	100,000,000	2013/05/16
12143	Credit Suisse AG	AIA European Warrants Jan 2014 B	0.25	41	2014/01/27	70,000,000	2013/05/16
12144	Credit Suisse AG	AIA European Put Warrants Nov 2013 B	0.25	33	2013/11/25	70,000,000	2013/05/16
12136	Credit Suisse	Cheung Kong European Put Warrants Sep 2013 A	0.18	98.85	2013/09/30	70,000,000	2013/05/16
12140	Credit Suisse AG	ChiUnicom European Warrants Sep 2014 A	0.15	12.8	2014/09/29	70,000,000	2013/05/16
12134	Credit Suisse AG	A50 China European Warrants Sep 2013 A	0.18	12 per unit	2013/09/30	70,000,000	2013/05/16

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12139	Credit Suisse AG	Geely Auto European Warrants Sep 2013 A	0.15	5.39 per unit	2013/09/30	70,000,000	2013/05/16
12141	Credit Suisse AG	Galaxy Ent European Warrants Sep 2013 A	0.15	45	2013/09/30	70,000,000	2013/05/16
12142	Credit Suisse AG	Galaxy Ent European Put Wts Nov 2013 A	0.25	32.88	2013/11/15	70,000,000	2013/05/16
12135	Credit Suisse AG	HSI European Warrants Dec 2013 B	0.15	23,800 per 7,000 units	2013/12/30	150,000,000	2013/05/16
12137	Credit Suisse AG	Ping An European Warrants Aug 2013 B	0.18	71	2013/08/30	70,000,000	2013/05/16
12146	Credit Suisse AG	Sands China European Put Wts Nov 2013 A	0.25	36.8	2013/11/15	60,000,000	2013/05/16
12138	Credit Suisse AG	SHK Ppt European Warrants Oct 2013 A	0.28	128.9	2013/10/28	60,000,000	2013/05/16
12145	Credit Suisse AG	SHK Ppt European Put Warrants Nov 2013 B	0.53	102.88	2013/11/15	60,000,000	2013/05/16
12133	Citigroup Global Mkt H Inc.	A50 China Euro Wts Nov 2013 B	0.5	11.11 per unit	2013/11/20	100,000,000	2013/05/16
12132	Citigroup Global Mkt H Inc.	A50 China Euro Wts Feb 2014 A	0.4	11.68 per unit	2014/02/19	100,000,000	2013/05/16
12131	Citigroup Global Mkt H Inc.	A50 China Eu Put Wt Nov 2013 A	0.35	9.50 per unit	2013/11/20	150,000,000	2013/05/16
12161	Daiwa Capital Mkt	HSI European Put Warrants Oct 2013 C	0.15	23,200 per 10,000 units	2013/10/30	200,000,000	2013/05/16
12162	Daiwa Capital Mkt	HSI European Put Warrants Nov 2013 A	0.25	24,200 per 10,000 units	2013/11/28	200,000,000	2013/05/16
12159	Daiwa Capital Mkt	Tencent Holdings European Wts Feb 2014 A	0.18	302.88 per 100 units	2014/02/10	168,000,000	2013/05/16
12160	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Dec 2013 A	0.25	268 per 100 units	2013/12/12	108,000,000	2013/05/16
12125	HK Bank	HSI European Put Warrants Oct 2013 C	0.18	23,700 per 10,000 units	2013/10/30	200,000,000	2013/05/16
12124	HK Bank	HSI European Put Warrants Nov 2013 B	0.25	23,500 per 8,000 units	2013/11/28	150,000,000	2013/05/16
12126	HK Bank	PICC Group European Warrants Dec 2013 A	0.25	4.88 per unit	2013/12/02	80,000,000	2013/05/16
12120	J P Morgan SP BV	AIA European Warrants Dec 2013 A	0.25	38.88	2013/12/02	200,000,000	2013/05/16
12118	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Dec 2013 A	0.25	7.08 per unit	2013/12/02	200,000,000	2013/05/16
12119	J P Morgan SP BV	China Life European Warrants Oct 2013 C	0.15	24.28	2013/10/10	200,000,000	2013/05/16
12114	J P Morgan SP BV	Galaxy Ent European Warrants Dec 2013 A	0.25	43.88	2013/12/02	200,000,000	2013/05/16
12115	J P Morgan SP BV	Galaxy Ent European Put Wts Dec 2013 B	0.25	34	2013/12/02	200,000,000	2013/05/16
12116	J P Morgan SP BV	Skyworth Digital European Wts Dec 2013 A	0.25	7 per unit	2013/12/30	50,000,000	2013/05/16
12117	J P Morgan SP BV	Zhaojin Mining European Wts Nov 2014 A	0.15	9.5	2014/11/25	150,000,000	2013/05/16
12127	Macquarie Bank Ltd.	Avichina European Warrants Dec 2013 A	0.25	4.88 per unit	2013/12/03	50,000,000	2013/05/16
12128	Macquarie Bank Ltd.	China Life European Wts Apr 2014 A	0.25	24	2014/04/02	100,000,000	2013/05/16
12130	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Jan 2014 A	0.25	18.88	2014/01/03	100,000,000	2013/05/16
12129	Macquarie Bank Ltd.	Shougang Res European Wts Jan 2014 A	0.25	3.50 per unit	2014/01/03	50,000,000	2013/05/16
12123	Standard Chartered Bank	Minsheng Bank Euro Wts Feb 2015 A	0.17	11	2015/02/02	100,000,000	2013/05/16
12122	Standard Chartered Bank	China Mobile Euro Wts Dec 2013 A	0.25	93.18	2013/12/19	100,000,000	2013/05/16
12121	Standard Chartered Bank	PetroChina European Wts Feb 2014 A	0.25	13.18 per unit	2014/02/05	100,000,000	2013/05/16
12150	UBS AG	BYD European Warrants Nov 2013 A	0.25	40.88	2013/11/15	80,000,000	2013/05/16
12147	UBS AG	China Mobile European Warrants Sep 2013 B	0.15	95.05	2013/09/30	100,000,000	2013/05/16
12149	UBS AG	Galaxy Ent European Put Warrants Nov 2013 C	0.25	33.88	2013/11/15	40,000,000	2013/05/16
12148	UBS AG	Sands China European Put Warrants Nov 2013 B	0.25	35.88	2013/11/15	40,000,000	2013/05/16
12199	Credit Suisse AG	GCL-Poly Energy European Wts Sep 2013 A	0.15	1.99 per unit	2013/09/23	70,000,000	2013/05/20
12200	Credit Suisse AG	HSCEI European Warrants Dec 2013 B	0.15	11,500 per 4,000 units	2013/12/30	100,000,000	2013/05/20
12201	Credit Suisse AG	HSCEI European Warrants Sep 2014 A	0.15	12,000 per 4,800 units	2014/09/29	100,000,000	2013/05/20
12198	Daiwa Capital Mkt	Sands China European Warrants Nov 2013 A	0.22	48	2013/11/01	98,000,000	2013/05/20
12172	HK Bank	CC Bank European Warrants Dec 2013 A	0.25	7.18 per unit	2013/12/02	80,000,000	2013/05/20
12173	HK Bank	China Life European Warrants Nov 2013 A	0.15	22.92	2013/11/18	150,000,000	2013/05/20
12175	HK Bank	China Mobile European Warrants Dec 2013 A	0.25	92.28	2013/12/02	80,000,000	2013/05/20

Newly listed derivative warrants *(continued)*

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12174	HK Bank	Zhaojin Mining European Warrants Oct 2014 A	0.25	9.88	2014/10/06	150,000,000	2013/05/20
12195	HK Bank	Zijin Mining European Warrants Jan 2014 A	0.25	2.68 per unit	2014/01/02	150,000,000	2013/05/20
12193	J P Morgan SP BV	Cheung Kong European Warrants Dec 2013 B	0.25	135	2013/12/03	100,000,000	2013/05/20
12192	J P Morgan SP BV	China Mob European Wts Dec 2013 A	0.25	95	2013/12/02	200,000,000	2013/05/20
12197	J P Morgan SP BV	Geely Auto European Warrants Nov 2013 A	0.25	4.70 per unit	2013/11/20	100,000,000	2013/05/20
12187	J P Morgan SP BV	HSBC European Warrants Dec 2013 A	0.25	90	2013/12/03	200,000,000	2013/05/20
12186	J P Morgan SP BV	Hutchison European Warrants Dec 2013 B	0.25	93.88	2013/12/02	100,000,000	2013/05/20
12191	J P Morgan SP BV	Lenovo European Warrants Dec 2013 A	0.25	7.88 per unit	2013/12/03	100,000,000	2013/05/20
12190	J P Morgan SP BV	PetroChina European Warrants Dec 2013 A	0.25	12 per unit	2013/12/02	100,000,000	2013/05/20
12189	J P Morgan SP BV	Ping An European Warrants Dec 2013 A	0.25	72.88	2013/12/03	150,000,000	2013/05/20
12188	J P Morgan SP BV	Tencent European Warrants Dec 2013 A	0.25	288.88 per 100 units	2013/12/03	200,000,000	2013/05/20
12196	Macquarie Bank Ltd.	AAC Acoustic European Wts Nov 2013 A	0.25	48.88	2013/11/19	100,000,000	2013/05/20
12166	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Nov 2013 A	0.25	7.01 per unit	2013/11/25	40,000,000	2013/05/20
12167	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Nov 2013 B	0.157	7.38 per unit	2013/11/21	70,000,000	2013/05/20
12169	Merrill Lynch Int'l & Co. C.V.	Ch Life Eur Put Wt Nov 13 B	0.25	22.38	2013/11/25	40,000,000	2013/05/20
12168	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2013 B	0.26	92.88	2013/11/25	40,000,000	2013/05/20
12164	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Dec 2013 A	0.17	6.26 per unit	2013/12/27	70,000,000	2013/05/20
12165	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Dec 2013 B	0.25	5.95 per unit	2013/12/02	40,000,000	2013/05/20
12163	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts Nov13A	0.37	60.88	2013/11/20	40,000,000	2013/05/20
12184	Nomura Int'l plc	CITIC Sec European Warrants Oct 2013 A	0.159	20.2	2013/10/25	100,000,000	2013/05/20
12183	Nomura Int'l plc	Ch Ship Cont European Warrants Dec 2013 A	0.25	2.48 per unit	2013/12/30	50,000,000	2013/05/20
12185	Nomura Int'l plc	Lenovo European Warrants Oct 2013 A	0.154	8.89 per unit	2013/10/28	80,000,000	2013/05/20
12181	Nomura Int'l plc	MCC European Warrants Dec 2014 A	0.252	2.28 per unit	2014/12/18	50,000,000	2013/05/20
12178	Nomura Int'l plc	New World Dev European Wts Mar 2015 A	0.252	15	2015/03/02	50,000,000	2013/05/20
12179	Nomura Int'l plc	Poly European Warrants Nov 2013 A	0.365	6.88 per unit	2013/11/29	50,000,000	2013/05/20
12177	Nomura Int'l plc	SHK P European Warrants Dec 2013 A	0.367	128.8	2013/12/02	50,000,000	2013/05/20
12176	Nomura Int'l plc	Wharf European Warrants Dec 2013 A	0.335	85	2013/12/02	50,000,000	2013/05/20
12182	Nomura Int'l plc	Weichai Power European Warrants Feb2014 A	0.25	39.68	2014/02/28	50,000,000	2013/05/20
12180	Nomura Int'l plc	Yanzhou Coal European Warrants Nov 2013 A	0.251	10.68 per unit	2013/11/20	50,000,000	2013/05/20
12170	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Oct 2013 A	0.15	99.93	2013/10/09	120,000,000	2013/05/20
12194	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Oct 2013 A	0.15	23,000 per 6,800 units	2013/10/30	300,000,000	2013/05/20
12171	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Sep 2013 B	0.205	94.85	2013/09/30	100,000,000	2013/05/20
10187	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Nov13 B	0.25	105 per 1 units	2013/11/27	50,000,000	2013/05/20
10188	SGA Societe Generale Acceptance N.V	USD/YEN Eu Put Wt Nov13C	0.26	98 per 1 units	2013/11/27	50,000,000	2013/05/20
12213	Daiwa Capital Mkt	Galaxy Ent European Put Wts Nov 2013 B	0.25	34	2013/11/21	80,000,000	2013/05/21
12217	J P Morgan SP BV	PICC Group European Warrants Nov 2013 A	0.15	4.68 per unit	2013/11/05	68,000,000	2013/05/21
12208	Macquarie Bank Ltd.	AIA European Warrants Apr 2014 A	0.251	41	2014/04/02	50,000,000	2013/05/21
12202	Merrill Lynch Int'l & Co. C.V.	China Coal Eur Wts Dec 13 A	0.25	6.80 per unit	2013/12/23	40,000,000	2013/05/21
12204	Merrill Lynch Int'l & Co. C.V.	Ch Telecom Eu Wt Sep 2013 B	0.155	4.39 per unit	2013/09/30	70,000,000	2013/05/21
12205	Merrill Lynch Int'l & Co. C.V.	Galaxy Ent Eu Wts Nov2013 A	0.25	41.8	2013/11/22	40,000,000	2013/05/21
12203	Merrill Lynch Int'l & Co. C.V.	Lenovo Euro Wts Dec 2013 A	0.42	7.50 per unit	2013/12/02	40,000,000	2013/05/21
12207	Standard Chartered Bank	Chi Res Power Euro Wts Mar 2014 A	0.25	28.88	2014/03/05	80,000,000	2013/05/21
12209	Standard Chartered Bank	Galaxy Ent European Wts Sep 2013 A	0.206	41.9	2013/09/23	100,000,000	2013/05/21

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12210	Standard Chartered Bank	Galaxy Ent Euro Put Wts Jan 2014 A	0.25	33.88	2014/01/08	100,000,000	2013/05/21
12211	Standard Chartered Bank	PICC Group European Wts Nov 2013 A	0.27	4.69 per unit	2013/11/05	60,000,000	2013/05/21
12212	Standard Chartered Bank	PICC Group European Wts May 2014 A	0.25	5.55 per unit	2014/05/08	60,000,000	2013/05/21
12206	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Dec13A	0.15	25	2013/12/16	80,000,000	2013/05/21
12214	UBS AG	Anhui Conch European Warrants Nov 2013 A	0.15	32.93	2013/11/05	100,000,000	2013/05/21
12216	UBS AG	BankComm European Warrants Oct 2013 A	0.2	6.71 per unit	2013/10/08	50,000,000	2013/05/21
12215	UBS AG	CITIC Pacific European Warrants Nov 2013 A	0.28	12.38 per unit	2013/11/20	36,000,000	2013/05/21
12226	Credit Suisse AG	Agricultural Bank European Wts Nov 2013 A	0.16	4 per unit	2013/11/04	70,000,000	2013/05/22
12225	Credit Suisse AG	Stanchart European Warrants Sep 2013 A	0.16	180 per 100 units	2013/09/23	70,000,000	2013/05/22
12232	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Oct 2013 A	0.153	45	2013/10/10	80,000,000	2013/05/22
12230	Goldman Sachs SP (Asia)	PICC Group European Wts Dec 2013 A	0.25	4.80 per unit	2013/12/30	80,000,000	2013/05/22
12233	Goldman Sachs SP (Asia)	Sands China Euro Wts Feb 2014 A	0.25	48.88	2014/02/28	80,000,000	2013/05/22
12231	Goldman Sachs SP (Asia)	Wharf European Warrants Feb 2014 A	0.401	83	2014/02/27	60,000,000	2013/05/22
12222	HK Bank	Tencent European Warrants Dec 2013 A	0.25	265 per 100 units	2013/12/02	100,000,000	2013/05/22
12219	J P Morgan SP BV	HSBC European Put Warrants Dec 2013 B	0.278	80.88	2013/12/02	100,000,000	2013/05/22
12218	J P Morgan SP BV	Stanchart European Warrants Jul 2014 A	0.15	200 per 100 units	2014/07/02	80,000,000	2013/05/22
12224	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Dec 2013 B	0.157	32.75	2013/12/09	80,000,000	2013/05/22
12220	Merrill Lynch Int'l & Co. C.V.	CNOOC Eu Put Wts Sep 2014 A	0.25	13.38	2014/09/08	40,000,000	2013/05/22
12221	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Nov 2013 C	0.25	22,300 per 7,000 units	2013/11/28	100,000,000	2013/05/22
12223	Standard Chartered Bank	Zhaojin Mining Euro Wts Aug 2014 A	0.15	9.08	2014/08/08	100,000,000	2013/05/22
12229	UBS AG	PICC Group European Warrants Nov 2013 B	0.22	4.49 per unit	2013/11/05	60,000,000	2013/05/22
12227	UBS AG	Ping An European Warrants Oct 2013 C	0.24	68.93	2013/10/28	100,000,000	2013/05/22
12228	UBS AG	Ping An European Warrants Nov 2013 B	0.15	75.05	2013/11/04	100,000,000	2013/05/22
24653 #	UBS AG	Tencent Holdings European Put Warrants Aug 2013 C	0.043	249.80 per 100 units	2013/08/26	250,000,000	2013/05/22
12245	BOCI Asia Ltd.	China Life European Warrants Nov 2013 B	0.15	22.88	2013/11/22	100,000,000	2013/05/23
12246	BOCI Asia Ltd.	CNOOC European Warrants Feb 2014 A	0.15	14.88	2014/02/12	100,000,000	2013/05/23
12244	BOCI Asia Ltd.	HSI European Warrants Dec 2013 B	0.15	23,000 per 8,000 units	2013/12/30	100,000,000	2013/05/23
12243	BOCI Asia Ltd.	HSI European Put Warrants Dec 2013 A	0.25	22,900 per 7,500 units	2013/12/30	100,000,000	2013/05/23
12255	Credit Suisse AG	Anhui Conch European Warrants Nov 2013 A	0.19	31	2013/11/29	60,000,000	2013/05/23
12256	Credit Suisse AG	China Coal European Warrants Oct 2013 B	0.2	6.69 per unit	2013/10/31	60,000,000	2013/05/23
12258	Credit Suisse AG	CITIC Bank European Warrants Nov 2013 A	0.32	4.59 per unit	2013/11/01	50,000,000	2013/05/23
12257	Credit Suisse AG	CITIC Pacific European Warrants Sep 13 A	0.15	11.90 per unit	2013/09/30	70,000,000	2013/05/23
12259	Credit Suisse AG	Li & Fung European Warrants Nov 2013 A	0.48	12.98 per unit	2013/11/22	50,000,000	2013/05/23
12254	Credit Suisse	SPDR Gold Trust European Warrants Nov 2013 A	0.18	1,388 per 100 units	2013/11/18	60,000,000	2013/05/23
12251	J P Morgan SP BV	Anhui Conch European Put Warrants Dec13 A	0.25	25	2013/12/02	200,000,000	2013/05/23
12237	J P Morgan SP BV	AIA European Put Warrants Dec 2013 B	0.25	32.8	2013/12/02	100,000,000	2013/05/23
12250	J P Morgan SP BV	Esprit European Put Warrants Jul 2014 A	0.25	10	2014/07/02	80,000,000	2013/05/23
12236	J P Morgan SP BV	Want Want Ch European Warrants Aug 2014 A	0.25	12.88	2014/08/01	120,000,000	2013/05/23
12240	Macquarie Bank Ltd.	China Coal European Wts Dec 2013 A	0.25	7 per unit	2013/12/03	80,000,000	2013/05/23
12239	Standard Chartered Bank	AIA European Put Warrants Mar 14 A	0.25	32.5	2014/03/28	80,000,000	2013/05/23
12247	Standard Chartered Bank	China Coal European Wts Dec 2013 A	0.45	5.80 per unit	2013/12/02	100,000,000	2013/05/23
12248	Standard Chartered Bank	China Coal European Put Wt Jul14 A	0.225	6.89	2014/07/04	100,000,000	2013/05/23
12238	Standard Chartered Bank	ICBC European Warrants Dec 2013 B	0.15	6.26 per unit	2013/12/24	100,000,000	2013/05/23

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12249	Standard Chartered Bank	Li & Fung European Wts Nov 2013 A	0.45	13.38 per unit	2013/11/28	50,000,000	2013/05/23
12241	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Nov 2013 A	0.285	6.28 per unit	2013/11/25	40,000,000	2013/05/23
12234	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Feb 14 A	0.15	31.88	2014/02/17	100,000,000	2013/05/23
12242	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Oct13 A	0.305	3.68 per unit	2013/10/09	50,000,000	2013/05/23
12252	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Sep 2013 C	0.15	95.05	2013/09/02	120,000,000	2013/05/23
12235	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2013 A	0.275	93.88	2013/11/25	100,000,000	2013/05/23
12253	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Oct 13 C	0.15	70	2013/10/02	120,000,000	2013/05/23
12263	UBS AG	CPIC European Warrants Dec 2013 A	0.25	30.88	2013/12/09	80,000,000	2013/05/23
12261	UBS AG	HSCEI European Put Warrants Oct 2013 B	0.15	10,800 per 4,500 units	2013/10/30	100,000,000	2013/05/23
12260	UBS AG	PICC European Warrants Aug 2014 A	0.15	11.82	2014/08/21	100,000,000	2013/05/23
12262	UBS AG	SJM Holdings European Warrants Dec 2013 A	0.195	25.05	2013/12/16	80,000,000	2013/05/23
24147 #	UBS AG	Tencent Holdings European Put Warrants Aug 2013 B	0.038	269.80 per 100 units	2013/08/05	300,000,000	2013/05/23
24275 #	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Oct2013 A	0.155	289.99 per 100 units	2013/10/28	70,000,000	2013/05/23
12275	BOCI Asia Ltd.	China Mobile European Put Wts Nov 2013 A	0.18	74.88	2013/11/15	100,000,000	2013/05/24
12276	BOCI Asia Ltd.	HSBC European Put Warrants Nov 2013 A	0.19	77.88	2013/11/08	100,000,000	2013/05/24
12277	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2013 B	0.18	288.28 per 100 units	2013/12/03	100,000,000	2013/05/24
12289	BNP Paribas Arbit Issu B.V.	BYD European Wts Dec 2013 A	0.3	42.28	2013/12/03	67,000,000	2013/05/24
12292	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Dec 2013 A	0.15	19.98	2013/12/03	100,000,000	2013/05/24
12285	BNP Paribas Arbit Issu B.V.	First Pacific Eu Wt Feb 2015 A	0.26	12.88	2015/02/03	79,000,000	2013/05/24
12293	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Jan 2017 A	0.25	8.86	2017/01/04	100,000,000	2013/05/24
12287	BNP Paribas Arbit Issu B.V.	Poly HK Inv Eur Wts Dec 2013 A	0.47	6.10 per unit	2013/12/03	22,000,000	2013/05/24
12291	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Dec 2014 A	0.34	308 per 100 units	2014/12/02	77,000,000	2013/05/24
12290	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Nov 2013 A	0.24	290 per 100 units	2013/11/04	77,000,000	2013/05/24
12286	BNP Paribas Arbit Issu B.V.	Yuexiu Property Eu Wt Feb 14 A	0.26	2.60 per unit	2014/02/05	40,000,000	2013/05/24
12288	BNP Paribas Arbit Issu B.V.	Zhaojin Mining Eu Wt Aug 14 A	0.15	9.09	2014/08/04	100,000,000	2013/05/24
12280	Credit Suisse AG	Tencent Holdings Euro Put Wts Sep 2013 G	0.15	273.80 per 100 units	2013/09/23	80,000,000	2013/05/24
12294	Daiwa Capital Mkt	Tencent Holdings European Wts Feb 2014 B	0.25	330 per 100 units	2014/02/18	168,000,000	2013/05/24
12279	HK Bank	China Coal European Warrants Jan 2014 A	0.25	6.18 per unit	2014/01/06	80,000,000	2013/05/24
12278	HK Bank	Yanzhou Coal European Warrants Jan 2014 A	0.4	8.98 per unit	2014/01/06	70,000,000	2013/05/24
12295	Macquarie Bank Ltd.	Tencent European Warrants Jan 2014 A	0.25	320 per 100 units	2014/01/03	250,000,000	2013/05/24
12274	Macquarie Bank Ltd.	Tencent European Put Wts Dec 2013 A	0.25	270 per 100 units	2013/12/03	250,000,000	2013/05/24
12273	Nomura Int'l plc	BOC HK European Warrants Dec 2014 A	0.259	28	2014/12/01	50,000,000	2013/05/24
12266	Nomura Int'l plc	BYD European Warrants Nov 2013 A	0.303	42.88	2013/11/25	50,000,000	2013/05/24
12265	Nomura Int'l plc	CITIC Bank European Put Warrants Dec 13 B	0.254	3.80 per unit	2013/12/02	50,000,000	2013/05/24
12269	Nomura Int'l plc	Esprit European Warrants May 2014 A	0.251	13	2014/05/20	50,000,000	2013/05/24
12271	Nomura Int'l plc	Esprit European Put Warrants Mar 2014 A	0.258	10.98	2014/03/31	50,000,000	2013/05/24
12268	Nomura Int'l plc	HSBC European Warrants Feb 2014 A	0.261	100	2014/02/28	50,000,000	2013/05/24
12267	Nomura Int'l plc	HSBC European Put Warrants Dec 2013 A	0.258	77.28	2013/12/02	50,000,000	2013/05/24
12264	Nomura Int'l plc	Link REIT European Put Wts Mar 2014 A	0.293	40	2014/03/31	50,000,000	2013/05/24
12272	Nomura Int'l plc	Ping An European Put Warrants Dec 2013 B	0.254	52	2013/12/02	50,000,000	2013/05/24
12270	Nomura Int'l plc	Tencent Holdings Euro Warrants Jun 2014 A	0.254	328 per 100 units	2014/06/30	80,000,000	2013/05/24
12282	Standard Chartered Bank	Anhui Conch Euro Wts Nov 2013 A	0.15	32.85	2013/11/01	100,000,000	2013/05/24
12281	Standard Chartered Bank	CITIC Pacific Euro Wts Nov 2014 A	0.25	8.08	2014/11/04	100,000,000	2013/05/24

Newly listed derivative warrants *(continued)*

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12283	UBS AG	Tencent Holdings European Warrants Jan 2014 A	0.25	318.88 per 100 units	2014/01/27	100,000,000	2013/05/24
12284	UBS AG	Tencent Holdings European Put Warrants Dec 2013 A	0.195	267.80 per 100 units	2013/12/05	100,000,000	2013/05/24
14630	BOCI Asia Ltd.	Tencent Holdings European Wts Dec 2013 C	0.25	325 per 100 units	2013/12/30	100,000,000	2013/05/27
14944	BOCI Asia Ltd.	Tencent Holdings European Put Wts Nov 2013 A	0.18	289.99 per 100 units	2013/11/04	100,000,000	2013/05/27
10189	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Nov 2013 C	0.25	107 per 1 units	2013/11/29	100,000,000	2013/05/27
15597	Credit Suisse AG	Tencent Holdings European Wts Nov 2013 B	0.25	319.28 per 100 units	2013/11/26	100,000,000	2013/05/27
17394	Credit Suisse AG	Tencent Holdings European Wts Nov 2013 C	0.25	332.80 per 100 units	2013/11/26	100,000,000	2013/05/27
15586	Credit Suisse AG	Tencent Holdings Euro Put Wts Oct 2013 B	0.16	289.90 per 100 units	2013/10/28	100,000,000	2013/05/27
15415	Credit Suisse AG	Tencent Holdings Euro Put Wts Dec 2013 A	0.15	268 per 100 units	2013/12/05	100,000,000	2013/05/27
12662	HK Bank	BYD European Warrants Jan 2014 A	0.28	39.88	2014/01/06	60,000,000	2013/05/27
12299	HK Bank	CITIC Bank European Warrants Dec 2013 A	0.25	4.98 per unit	2013/12/02	60,000,000	2013/05/27
12297	HK Bank	Datang Power European Warrants Dec 2013 A	0.25	4.28 per unit	2013/12/02	60,000,000	2013/05/27
12298	HK Bank	Link REIT European Warrants Jan 2014 A	0.25	49.88	2014/01/06	60,000,000	2013/05/27
12695	HK Bank	Tencent European Warrants Dec 2013 B	0.25	328.88 per 100 units	2013/12/02	100,000,000	2013/05/27
13462	HK Bank	Tencent European Put Warrants Oct 2013 D	0.17	289.80 per 100 units	2013/10/21	120,000,000	2013/05/27
14428	HK Bank	Tencent European Put Warrants Dec 2013 C	0.15	267.80 per 100 units	2013/12/05	120,000,000	2013/05/27
12296	J P Morgan SP BV	China Coal European Warrants Dec 2013 A	0.25	5.88 per unit	2013/12/02	40,000,000	2013/05/27
17271	J P Morgan SP BV	China Shenhua Euro Put Wts Jan 2014 A	0.25	24	2014/01/02	100,000,000	2013/05/27
17277	J P Morgan SP BV	Tencent European Warrants Dec 2013 C	0.25	325 per 100 units	2013/12/02	200,000,000	2013/05/27
17094	J P Morgan SP BV	Tencent European Warrants Feb 2014 A	0.25	350 per 100 units	2014/02/04	200,000,000	2013/05/27
17092	J P Morgan SP BV	Tencent European Put Warrants Dec 2013 B	0.25	285 per 100 units	2013/12/02	200,000,000	2013/05/27
16722	Macquarie Bank Ltd.	Dongfeng Motor European Wts Mar 2014 A	0.15	14.88	2014/03/04	120,000,000	2013/05/27
16878	Macquarie Bank Ltd.	Hysan Dev European Warrants Feb 2014 A	0.25	45	2014/02/05	120,000,000	2013/05/27
15201	Standard Chartered Bank	Tencent Hldg Euro Wts Dec 2013 B	0.25	320 per 100 units	2013/12/04	100,000,000	2013/05/27
15225	Standard Chartered Bank	Tencent Hldg Eu Put Wts Oct 2013 B	0.16	289.79 per 100 units	2013/10/21	100,000,000	2013/05/27
15869	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Nov 2013 B	0.175	7.28 per unit	2013/11/11	120,000,000	2013/05/27
16298	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Dec13A	0.355	12.18 per unit	2013/12/02	50,000,000	2013/05/27
16661	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Nov2013 A	0.25	12.82 per unit	2013/11/11	50,000,000	2013/05/27
16299	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Sep 13 E	0.18	23,200 per 6,800 units	2013/09/27	150,000,000	2013/05/27
17360	UBS AG	Galaxy Ent European Warrants Nov 2013 D	0.25	48.88	2013/11/29	80,000,000	2013/05/27
17321	UBS AG	HSBC European Put Warrants Nov 2013 B	0.36	83.03	2013/11/22	100,000,000	2013/05/27
17396	UBS AG	Tencent Holdings European Warrants Nov 2013 B	0.25	338.88 per 100 units	2013/11/26	200,000,000	2013/05/27
17393	UBS AG	Tencent Holdings European Put Warrants Oct 2013 C	0.16	289.79 per 100 units	2013/10/21	200,000,000	2013/05/27
19187	BOCI Asia Ltd.	AIA European Warrants Jan 2014 A	0.25	40	2014/01/13	100,000,000	2013/05/28
19136	BOCI Asia Ltd.	CAM CSI300 European Warrants Dec 2013 A	0.15	36.38	2013/12/30	100,000,000	2013/05/28
19386	BOCI Asia Ltd.	HSBC European Warrants Sep 2013 A	0.2	95	2013/09/09	100,000,000	2013/05/28
20578	BNP Paribas Arbit Issu B.V.	AAC Acoustic Eu Wts Dec 2013 A	0.34	55	2013/12/03	72,000,000	2013/05/28
20425	BNP Paribas Arbit Issu B.V.	Agile Ppt Euro Wt Aug 2014 A	0.17	10.5	2014/08/04	100,000,000	2013/05/28
20588	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Jan 2014 A	0.32	6.60 per unit	2014/01/03	53,000,000	2013/05/28
20431	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Jan 2014 A	0.25	2.36 per unit	2014/01/03	53,000,000	2013/05/28
20411	BNP Paribas Arbit Issu B.V.	Datang Power Eu Wts Feb 2014 A	0.36	4.30 per unit	2014/02/05	28,000,000	2013/05/28
20480	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Dec 2013 A	0.46	86	2013/12/03	100,000,000	2013/05/28
20420	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Dec 2013 B	0.25	11.60 per unit	2013/12/03	100,000,000	2013/05/28

Newly listed derivative warrants *(continued)*

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20475	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Mar 2014 A	0.26	335 per 100 units	2014/03/04	100,000,000	2013/05/28
20537	BNP Paribas Arbit Issu B.V.	Wharf European Wts Dec 2013 A	0.25	88.88	2013/12/03	80,000,000	2013/05/28
20626	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Dec 2013 A	0.27	10.60 per unit	2013/12/03	44,000,000	2013/05/28
21331	Credit Suisse AG	GCL-Poly Energy European Wts Aug 2013 B	0.16	2.49 per unit	2013/08/30	70,000,000	2013/05/28
21348	Credit Suisse	Huaneng Power European Warrants Sep 2013 A	0.56	8.89 per unit	2013/09/23	60,000,000	2013/05/28
21325	Credit Suisse AG	Hutchison European Warrants Sep 2013 A	0.2	94.85	2013/09/23	80,000,000	2013/05/28
21350	Credit Suisse AG	Link REIT European Warrants Dec 2013 A	0.25	47.98	2013/12/09	60,000,000	2013/05/28
10190	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2013 A	0.25	17,200 per 370 units	2013/12/13	250,000,000	2013/05/28
10191	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2013 B	0.25	15,800 per 490 units	2013/12/13	250,000,000	2013/05/28
10192	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2013 C	0.251	14,200 per 710 units	2013/12/13	250,000,000	2013/05/28
10193	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 D	0.25	16,000 per 710 units	2013/12/13	250,000,000	2013/05/28
10194	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 E	0.251	14,800 per 470 units	2013/12/13	250,000,000	2013/05/28
10195	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 F	0.25	13,800 per 330 units	2013/12/13	250,000,000	2013/05/28
19477	Goldman Sachs SP (Asia)	Ch Overseas European Wts Dec 2013 A	0.256	24.88	2013/12/30	80,000,000	2013/05/28
19428	Goldman Sachs SP (Asia)	HKEx European Warrants Nov 2013 B	0.484	153.88	2013/11/28	80,000,000	2013/05/28
19839	Goldman Sachs SP (Asia)	Tencent European Wt Dec 2013 B	0.25	330 per 100 units	2013/12/30	80,000,000	2013/05/28
19845	Goldman Sachs SP (Asia)	Tencent European Put Wt Dec 2013 C	0.25	295 per 100 units	2013/12/30	80,000,000	2013/05/28
20114	Goldman Sachs SP (Asia)	ZTE European Warrants May 2014 A	0.251	14.88	2014/05/30	80,000,000	2013/05/28
18785	HK Bank	HSCEI European Warrants Sep 2014 A	0.15	12,038 per 4,800 units	2014/09/29	200,000,000	2013/05/28
18795	HK Bank	HSCEI European Put Warrants Oct 2013 B	0.15	10,768 per 4,500 units	2013/10/30	200,000,000	2013/05/28
18796	HK Bank	HWL European Warrants Sep 2013 B	0.2	94.83	2013/09/23	100,000,000	2013/05/28
18783	HK Bank	Tencent European Warrants Jul 2014 A	0.25	388.88 per 100 units	2014/07/02	150,000,000	2013/05/28
18617	HK Bank	Tencent European Put Warrants Dec 2013 D	0.27	302.88 per 100 units	2013/12/02	100,000,000	2013/05/28
21129	J P Morgan SP BV	HKEx European Warrants Jun 2014 A	0.15	138.98 per 100 units	2014/06/20	300,000,000	2013/05/28
21157	J P Morgan SP BV	HSI European Warrants Dec 2013 A	0.25	24,200 per 6,600 units	2013/12/30	300,000,000	2013/05/28
21132	J P Morgan SP BV	HSI European Put Warrants Nov 2013 A	0.25	23,000 per 8,000 units	2013/11/28	300,000,000	2013/05/28
20267	Macquarie Bank Ltd.	Golden Eagle European Wts Aug 2014 A	0.25	15	2014/08/04	120,000,000	2013/05/28
20169	Macquarie Bank Ltd.	Kerry Ppt European Warrants Feb 2014 A	0.25	42	2014/02/05	120,000,000	2013/05/28
17773	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Dec 2013 D	0.25	39.99	2013/12/23	40,000,000	2013/05/28
17758	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Apr 2014 A	0.25	42.88	2014/04/24	40,000,000	2013/05/28
17752	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Dec 2013 C	0.25	35.38	2013/12/02	40,000,000	2013/05/28
17497	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Oct 2013 B	0.163	91.35	2013/10/29	80,000,000	2013/05/28
17680	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2013 A	0.25	97	2013/12/09	40,000,000	2013/05/28
17994	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Dec 2013 C	0.255	315 per 100 units	2013/12/02	40,000,000	2013/05/28
17984	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Jan 2014 A	0.255	330 per 100 units	2014/01/20	40,000,000	2013/05/28
17851	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Feb 2014 A	0.25	345 per 100 units	2014/02/17	40,000,000	2013/05/28
17840	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Dec 2013B	0.25	300 per 100 units	2013/12/10	40,000,000	2013/05/28
17810	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Mar 2014A	0.25	280 per 100 units	2014/03/28	40,000,000	2013/05/28
18876	Standard Chartered Bank	Belle Int'l European Wts Mar 2014A	0.25	12	2014/03/24	80,000,000	2013/05/28
17997	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Nov 2013 A	0.3	40.88	2013/11/11	40,000,000	2013/05/28
18094	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Nov13A	0.155	20	2013/11/25	100,000,000	2013/05/28
20663	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2014A	0.2	23	2014/01/29	180,000,000	2013/05/28
20785	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Mar 2014A	0.2	24	2014/03/31	180,000,000	2013/05/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
18531	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jan15 A	0.16	11	2015/01/19	200,000,000	2013/05/28
20954	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Oct 13 A	0.15	91.38	2013/10/28	150,000,000	2013/05/28
18052	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Jun 2014 A	0.15	10	2014/06/04	150,000,000	2013/05/28
18079	SGA Societe Generale Acceptance N.V.	CPIC Euro Wt Dec 2013A	0.2	31.88	2013/12/23	100,000,000	2013/05/28
18160	SGA Societe Generale Acceptance N.V.	Esprit Eu Wt Jun2014A	0.19	12.28	2014/06/23	150,000,000	2013/05/28
18239	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Dec13A	0.25	46.88	2013/12/23	100,000,000	2013/05/28
18265	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Jan 2014A	0.15	16.68	2014/01/22	100,000,000	2013/05/28
18267	SGA Societe Generale Acceptance NV	NewWorld Dev Eu Wt Jan15A	0.15	16	2015/01/05	100,000,000	2013/05/28
18344	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Sep13A	0.15	46.28	2013/09/16	100,000,000	2013/05/28
21125	UBS AG	Link REIT European Warrants Jan 2014 A	0.25	48.38	2014/01/09	100,000,000	2013/05/28
23369 #	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2013 C	0.061	23,600 per 6,000 units	2013/08/29	170,000,000	2013/05/28
21818	BNP Paribas Arbit Issu B.V.	China EB Int'l Eu Wts Dec 13 A	0.5	7.68 per unit	2013/12/03	53,000,000	2013/05/29
21813	BNP Paribas Arbit Issu B.V.	CKI Holdings Eu Wts Feb 2014 A	0.25	64.68	2014/02/05	80,000,000	2013/05/29
21816	BNP Paribas Arbit Issu B.V.	Esprit European Wts Sep 2014 A	0.26	12.88	2014/09/02	72,000,000	2013/05/29
21821	BNP Paribas Arbit Issu B.V.	HK Gas European Wts Jan 2015 A	0.25	24.1	2015/01/05	44,000,000	2013/05/29
21812	Daiwa Capital Mkt	Hutchison European Put Wts Nov 2013 A	0.42	80	2013/11/28	80,000,000	2013/05/29
21711	Goldman Sachs SP (Asia)	BYD European Warrants Nov 2013 B	0.202	40.88	2013/11/08	80,000,000	2013/05/29
21707	Goldman Sachs SP (Asia)	China Mobile Euro Wts Dec 2013 B	0.282	90	2013/12/30	80,000,000	2013/05/29
21729	Goldman Sachs SP (Asia)	China Mobile Euro Wts Dec 2013 C	0.251	96.88	2013/12/30	80,000,000	2013/05/29
21588	HK Bank	HSI European Warrants Sep 2013 I	0.15	22,888 per 8,000 units	2013/09/27	200,000,000	2013/05/29
21419	HK Bank	HSI European Warrants Oct 2013 D	0.15	24,188 per 6,000 units	2013/10/30	200,000,000	2013/05/29
21563	HK Bank	HSI European Warrants Dec 2013 C	0.15	23,888 per 7,000 units	2013/12/30	200,000,000	2013/05/29
21416	J P Morgan Int'l Der. Ltd.	COSCO Pac Euro Wts Nov 2014 A	0.15	12.88	2014/11/03	100,000,000	2013/05/29
21404	J P Morgan SP BV	HS Bank European Warrants Dec 2013 A	0.25	138.88	2013/12/02	40,000,000	2013/05/29
21352	J P Morgan SP BV	HSI European Warrants Dec 2014 A	0.25	28,000 per 6,400 units	2014/12/30	500,000,000	2013/05/29
21648	Macquarie Bank Ltd.	Luk Fook Hold European Wts Jul 2014 A	0.25	26	2014/07/03	50,000,000	2013/05/29
21785	UBS AG	HSI European Warrants Nov 2013 B	0.25	23,600 per 6,000 units	2013/11/28	300,000,000	2013/05/29
10198	UBS AG	Nikkei 225 European Warrants Dec 2013 C	0.25	16,500 per 480 units	2013/12/13	100,000,000	2013/05/29
10199	UBS AG	Nikkei 225 European Warrants Dec 2013 D	0.25	17,500 per 380 units	2013/12/13	100,000,000	2013/05/29
10196	UBS AG	Nikkei 225 European Put Warrants Dec 2013 A	0.25	14,500 per 400 units	2013/12/13	100,000,000	2013/05/29
10197	UBS AG	Nikkei 225 European Put Warrants Dec 2013 B	0.25	15,000 per 480 units	2013/12/13	100,000,000	2013/05/29
22244 #	Goldman Sachs SP (Asia)	HSI European Warrants Aug 2013 A	0.044	24,000 per 6,000 units	2013/08/29	230,000,000	2013/05/29
21876	HK Bank	AIA European Warrants Dec 2013 C	0.25	40.28	2013/12/31	100,000,000	2013/05/30
21922	HK Bank	HKEx European Warrants Sep 2013 A	0.25	150.08	2013/09/24	80,000,000	2013/05/30
22024	HK Bank	HKEx European Warrants Dec 2013 B	0.26	158.88	2013/12/02	80,000,000	2013/05/30
21859	HK Bank	Ping An European Warrants Sep 2013 A	0.16	68.03	2013/09/24	120,000,000	2013/05/30
21864	HK Bank	Ping An European Warrants Oct 2013 D	0.28	65.05	2013/10/07	100,000,000	2013/05/30
21852	J P Morgan SP BV	Chi Res Power European Wts Dec 2013 A	0.25	22	2013/12/02	80,000,000	2013/05/30
21822	J P Morgan SP BV	HSBC European Warrants Dec 2013 C	0.25	98.88	2013/12/02	200,000,000	2013/05/30
22211	Macquarie Bank Ltd.	CITIC Sec European Warrants Nov 2013 A	0.15	20.05	2013/11/25	120,000,000	2013/05/30
22217	Macquarie Bank Ltd.	China Mobile European Wts Dec 2013 B	0.15	92.28	2013/12/02	120,000,000	2013/05/30
22116	Merrill Lynch Int'l & Co. C.V.	CNOOC Eu Put Wts Mar 2014 A	0.206	14.99	2014/03/24	60,000,000	2013/05/30
22156	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Dec 2013 A	0.255	9.51 per unit	2013/12/02	40,000,000	2013/05/30

Newly listed derivative warrants *(continued)*

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22064	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eu Wt Feb 2014 A	0.15	31.93	2014/02/17	70,000,000	2013/05/30
22033	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eu Wt Mar 2014 A	0.255	28.01	2014/03/10	40,000,000	2013/05/30
22199	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Oct 2013 D	0.154	101.93	2013/10/15	70,000,000	2013/05/30
22030	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2013 A	0.31	98.01	2013/12/23	40,000,000	2013/05/30
22197	Merrill Lynch Int'l & Co. C.V.	Hutchison Eu Put Wt Jan 14 A	0.435	79.99	2014/01/13	40,000,000	2013/05/30
22090	Merrill Lynch Int'l & Co. C.V.	JX Copper Eu Wts Jun 2014 A	0.181	16.7	2014/06/20	60,000,000	2013/05/30
22259	UBS AG	China Mobile European Warrants Oct 2013 B	0.27	84.33	2013/10/28	100,000,000	2013/05/30
22257	UBS AG	HKEx European Warrants Oct 2013 B	0.61	138.9	2013/10/30	100,000,000	2013/05/30
22254	UBS AG	Li & Fung European Warrants Dec 2014 A	0.2	11.27	2014/12/24	100,000,000	2013/05/30
22307	BOCI Asia Ltd.	CNOOC European Put Warrants Jan 2014 A	0.25	14.88	2014/01/27	100,000,000	2013/05/31
22309	Macquarie Bank Ltd.	Henganintl European Wts Dec 2013 A	0.25	108	2013/12/03	120,000,000	2013/05/31
22272	Nomura Int'l plc	CSOP A50 ETF European Warrants Dec 2013 A	0.254	12.68 per unit	2013/12/02	50,000,000	2013/05/31
22273	Nomura Int'l plc	CSOP A50 ETF European Warrants Dec 2013 B	0.15	13.40 per unit	2013/12/23	80,000,000	2013/05/31
22278	Nomura Int'l plc	CSOP A50 ETF European Warrants Nov 2015 A	0.152	11.188	2015/11/02	100,000,000	2013/05/31
22282	Nomura Int'l plc	A50 China European Warrants Nov 2013 B	0.198	12.82 per unit	2013/11/11	80,000,000	2013/05/31
22304	Nomura Int'l plc	A50 China European Warrants Mar 2014 A	0.296	13.28 per unit	2014/03/31	50,000,000	2013/05/31
22291	Nomura Int'l plc	A50 China European Put Wts Dec 2014 A	0.161	10.48	2014/12/01	80,000,000	2013/05/31
22270	Nomura Int'l plc	HKEx European Warrants Dec 2014 A	0.15	142.08 per 100 units	2014/12/24	80,000,000	2013/05/31
22271	Nomura Int'l plc	HKEx European Warrants Dec 2015 A	0.25	126.68 per 100 units	2015/12/31	50,000,000	2013/05/31
22265	Nomura Int'l plc	JIANC European Warrants Jan 2014 A	0.15	16.688	2014/01/20	80,000,000	2013/05/31
22267	Nomura Int'l plc	JIANC European Warrants Mar 2015 A	0.259	18.88	2015/03/31	50,000,000	2013/05/31
22292	Nomura Int'l plc	Zhaojin Mining European Wts Mar 2014 A	0.15	6.888	2014/03/24	80,000,000	2013/05/31
22320	Standard Chartered Bank	ICBC European Warrants Nov 2013 B	0.15	5.89 per unit	2013/11/26	100,000,000	2013/05/31
22260	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Oct 2013 A	0.15	6.69 per unit	2013/10/02	120,000,000	2013/05/31
22262	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Oct 2013 A	0.53	138.8	2013/10/23	50,000,000	2013/05/31
22263	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Nov 13 A	0.195	67.55	2013/11/04	120,000,000	2013/05/31
22346	BOCI Asia Ltd.	CC Bank European Warrants Dec 2013 A	0.16	7 per unit	2013/12/09	100,000,000	2013/06/03
22331	BOCI Asia Ltd.	China Mobile European Warrants Nov 2013 B	0.15	89.88	2013/11/15	100,000,000	2013/06/03
22487	Credit Suisse AG	Tencent Holdings European Wts Jan 2014 A	0.18	350.20 per 100 units	2014/01/24	100,000,000	2013/06/03
22460	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Dec 13 B	0.25	36	2013/12/02	60,000,000	2013/06/03
22471	Citigroup Global Mkt H Inc.	Ping An Euro Wts Oct 2013 A	0.305	61.9	2013/10/09	100,000,000	2013/06/03
22456	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2013 A	0.25	308 per 100 units	2013/12/04	100,000,000	2013/06/03
22581	Bank of East Asia	JIANC European Warrants Feb 2014 A	0.25	14.358	2014/02/24	60,000,000	2013/06/03
22552	Bank of East Asia	Li & Fung European Warrants Dec 2014 A	0.17	11.27	2014/12/24	80,000,000	2013/06/03
22322	Goldman Sachs SP (Asia)	HSI European Put Wts Sep 2013 C	0.15	22,500 per 8,000 units	2013/09/27	150,000,000	2013/06/03
22545	J P Morgan SP BV	Ch Overseas European Warrants Mar 2014 A	0.25	25.88	2014/03/03	100,000,000	2013/06/03
22505	J P Morgan SP BV	ICBC European Warrants Dec 2013 A	0.265	5.58 per unit	2013/12/02	180,000,000	2013/06/03
22522	J P Morgan SP BV	ICBC European Warrants Dec 2013 B	0.25	6 per unit	2013/12/02	180,000,000	2013/06/03
22369	Standard Chartered Bank	China Life European Wts Apr 2014 A	0.15	23.98	2014/04/01	100,000,000	2013/06/03
22349	Standard Chartered Bank	HSBC European Warrants Feb 2014 A	0.2	100.1	2014/02/21	100,000,000	2013/06/03
22441	Standard Chartered Bank	Link REIT European Wts Dec 2013 A	0.15	46.9	2013/12/02	80,000,000	2013/06/03
22433	Standard Chartered Bank	PetroChina European Wts Oct 2013 A	0.25	10.39 per unit	2013/10/28	80,000,000	2013/06/03
22498	Standard Chartered Bank	Ping An European Wts Oct 2013 C	0.17	68.93	2013/10/28	100,000,000	2013/06/03

Newly listed derivative warrants *(continued)*

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10200	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 D	0.25	13,800 per 550 units	2013/12/13	100,000,000	2013/06/03
10201	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 E	0.25	14,500 per 450 units	2013/12/13	100,000,000	2013/06/03
10202	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 F	0.25	15,200 per 360 units	2013/12/13	100,000,000	2013/06/03
10203	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Dec 2013 G	0.25	13,700 per 330 units	2013/12/13	100,000,000	2013/06/03
10204	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Dec 2013 H	0.25	14,400 per 415 units	2013/12/13	100,000,000	2013/06/03
22615	UBS AG	Wynn Macau European Warrants Dec 2013 A	0.25	26.88	2013/12/02	50,000,000	2013/06/03
22682	Credit Suisse AG	China Gas Hold European Wts Dec 2013 A	0.58	9.98 per unit	2013/12/03	50,000,000	2013/06/04
22641	Credit Suisse AG	China Mobile European Warrants Oct 2013 B	0.15	88.9	2013/10/28	70,000,000	2013/06/04
22631	Credit Suisse AG	CSOP A50 ETF European Warrants Sep 2013 A	0.39	11.10 per unit	2013/09/12	80,000,000	2013/06/04
22691	Credit Suisse AG	Xinyi Glass European Warrants Dec 2013 A	0.53	7.98 per unit	2013/12/03	50,000,000	2013/06/04
22733	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Oct 2013 A	0.151	29.88	2013/10/22	80,000,000	2013/06/04
22735	Goldman Sachs SP (Asia)	Ch LongYuan Euro Wts Dec 2013 A	0.545	9.18 per unit	2013/12/30	60,000,000	2013/06/04
22738	Goldman Sachs SP (Asia)	Huaneng European Wts Jan 2014 A	0.674	8.98 per unit	2014/01/30	60,000,000	2013/06/04
22734	Goldman Sachs SP (Asia)	Lenovo European Wts Jan 2014 A	0.357	9.28 per unit	2014/01/30	68,000,000	2013/06/04
22732	Goldman Sachs SP (Asia)	PetroChina European Wts Dec 2013 A	0.367	10.28 per unit	2013/12/30	80,000,000	2013/06/04
10205	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 I	0.155	14,200 per 710 units	2013/12/13	150,000,000	2013/06/04
22621	UBS AG	China Life European Warrants Jan 2014 A	0.18	23.05	2014/01/23	300,000,000	2013/06/04
22714	UBS AG	CSOP A50 ETF European Warrants Oct 2013 A	0.35	11.77 per unit	2013/10/28	50,000,000	2013/06/04
10208	UBS AG	Nikkei 225 European Warrants Dec 2013 G	0.25	14,500 per 480 units	2013/12/13	100,000,000	2013/06/04
10209	UBS AG	Nikkei 225 European Warrants Dec 2013 H	0.25	15,500 per 360 units	2013/12/13	100,000,000	2013/06/04
10206	UBS AG	Nikkei 225 European Put Warrants Dec 2013 E	0.25	13,500 per 420 units	2013/12/13	100,000,000	2013/06/04
10207	UBS AG	Nikkei 225 European Put Warrants Dec 2013 F	0.25	14,000 per 500 units	2013/12/13	100,000,000	2013/06/04
22622	UBS AG	Tencent Holdings European Warrants Jun 2014 A	0.15	388.88 per 100 units	2014/06/24	200,000,000	2013/06/04
22902	Daiwa Capital Mkt	China Mobile European Wts Oct 2013 A	0.24	82.98	2013/10/02	128,000,000	2013/06/05
22919	Daiwa Capital Mkt	China Mobile European Wts Nov 2013 C	0.17	86.9	2013/11/01	128,000,000	2013/06/05
22890	Goldman Sachs SP (Asia)	CAM CSI300 Euro Put Wts Mar 2014 A	0.25	30	2014/03/31	80,000,000	2013/06/05
22879	Goldman Sachs SP (Asia)	China Life Euro Wts Mar 2014 A	0.15	24	2014/03/28	120,000,000	2013/06/05
22842	Goldman Sachs SP (Asia)	A50 China European Wts Dec 2013 A	0.395	12.28 per unit	2013/12/30	80,000,000	2013/06/05
22849	Goldman Sachs Sp (Asia)	ICBC European Warrants Mar 2014 A	0.253	6.28 per unit	2014/03/28	68,000,000	2013/06/05
22880	Goldman Sachs SP (Asia)	China Mengniu Euro Wt Dec 2013 A	0.253	28.88	2013/12/31	80,000,000	2013/06/05
22838	Macquarie Bank Ltd.	Xinyi Glass European Wts Dec 2013 A	0.284	8.33 per unit	2013/12/06	80,000,000	2013/06/05
22739	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Sep 2013 A	0.15	12.52 per unit	2013/09/13	80,000,000	2013/06/05
22746	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Oct 2013 A	0.385	11.52 per unit	2013/10/28	40,000,000	2013/06/05
22820	Standard Chartered Bank	Anhui Conch Euro Wts Jan 2014 A	0.25	29.88	2014/01/17	100,000,000	2013/06/05
22781	Standard Chartered Bank	BYD European Warrants Dec 2013 A	0.25	43.88	2013/12/04	50,000,000	2013/06/05
22806	Standard Chartered Bank	China Mobile Euro Wts Nov 2013 C	0.16	89.89	2013/11/11	100,000,000	2013/06/05
22804	Standard Chartered Bank	Lenovo European Wts Dec 2013 B	0.25	9.50 per unit	2013/12/04	100,000,000	2013/06/05
22815	Standard Chartered Bank	YZ Coal European Wts Dec 2013 B	0.25	11.11 per unit	2013/12/20	50,000,000	2013/06/05
22889	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Dec 2013 A	0.15	39.99	2013/12/16	100,000,000	2013/06/05
22755	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt May14A	0.155	9.35 per 10 units	2014/05/26	150,000,000	2013/06/05
22946	UBS AG	China Mobile European Warrants Dec 2013 A	0.15	90.05	2013/12/23	100,000,000	2013/06/05
22833	UBS AG	Hutchison European Warrants Oct 2013 C	0.43	87.05	2013/10/28	100,000,000	2013/06/05
22941	UBS AG	Link REIT European Warrants Dec 2013 A	0.25	40.88	2013/12/16	80,000,000	2013/06/05

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23020	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Jan 2014 A	0.26	6.68 per unit	2014/01/03	52,000,000	2013/06/06
23027	BNP Paribas Arbit Issu B.V.	CQRC Bank Euro Wts Feb 2014 A	0.34	4.80 per unit	2014/02/05	30,000,000	2013/06/06
23021	BNP Paribas Arbit Issu B.V.	CSR European Wts Jan 2014 A	0.54	7 per unit	2014/01/03	27,000,000	2013/06/06
23037	BNP Paribas Arbit Issu B.V.	Huaneng Euro Wts Jan 2014 A	0.32	10.38 per unit	2014/01/03	38,000,000	2013/06/06
23100	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Dec 2013 A	0.2	339 per 100 units	2013/12/03	100,000,000	2013/06/06
23039	BNP Paribas Arbit Issu B.V.	Zoomlion Euro Wts Jan 2016 A	0.25	8	2016/01/05	100,000,000	2013/06/06
23064	Daiwa Capital Mkt	Agricultural Bank Euro Put Wt Apr 2014 A	0.25	3.08 per unit	2014/04/01	80,000,000	2013/06/06
23090	Daiwa Capital Mkt	CNOOC European Put Warrants Jun 2014 A	0.25	13.88	2014/06/03	80,000,000	2013/06/06
23067	Daiwa Capital Mkt	Ping An European Warrants Nov 2013 C	0.38	59.88	2013/11/01	108,000,000	2013/06/06
23066	Daiwa Capital Mkt	Ping An European Put Warrants Dec 2013 A	0.25	50.88	2013/12/05	80,000,000	2013/06/06
23016	Goldman Sachs SP (Asia)	HSBC European Warrants Nov 2013 C	0.191	93.88	2013/11/18	80,000,000	2013/06/06
23012	Goldman Sachs SP (Asia)	Link REIT European Wts May 2014 A	0.25	46.8	2014/05/30	80,000,000	2013/06/06
23006	Goldman Sachs SP (Asia)	Swrie Pacific A Euro Wt Dec 2013 A	0.251	112.88	2013/12/30	68,000,000	2013/06/06
22998	J P Morgan SP BV	BankComm European Warrants Dec 2013 A	0.25	7 per unit	2013/12/05	80,000,000	2013/06/06
22996	J P Morgan SP BV	Sinopec Corp European Warrants Dec 2013 A	0.25	9 per unit	2013/12/05	150,000,000	2013/06/06
22968	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2013 A	0.25	12 per unit	2013/12/30	200,000,000	2013/06/06
22992	J P Morgan SP BV	China Shenhua European Wts Feb 2014 A	0.25	28	2014/02/04	200,000,000	2013/06/06
22955	J P Morgan SP BV	Datang European Wts Jan 2014 A	0.265	3.68 per unit	2014/01/02	40,000,000	2013/06/06
22948	J P Morgan SP BV	SHK Ppt European Warrants Jan 2014 A	0.252	118	2014/01/02	100,000,000	2013/06/06
23004	Macquarie Bank Ltd.	GAC European Wts Dec 2014 A	0.25	9.5	2014/12/02	120,000,000	2013/06/06
23000	Macquarie Bank Ltd.	Link REIT European Warrants Mar 2014 B	0.25	45	2014/03/04	120,000,000	2013/06/06
23060	Standard Chartered Bank	Agricultural Bk Eur Wts Jan 2014 A	0.18	4.01 per unit	2014/01/30	80,000,000	2013/06/06
23063	Standard Chartered Bank	A50 China Euro Warrants Dec 2013 B	0.25	12.50 per unit	2013/12/05	100,000,000	2013/06/06
23052	Standard Chartered Bank	Ping An European Wts Feb 2014 A	0.25	72.88	2014/02/06	100,000,000	2013/06/06
23056	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2014 A	0.195	350.20 per 100 units	2014/01/24	100,000,000	2013/06/06
23055	Standard Chartered Bank	Tencent Hldg Eu Put Wts Dec 2013 C	0.3	308.88 per 100 units	2013/12/05	100,000,000	2013/06/06
23099	UBS AG	Cheung Kong European Warrants Dec 2013 B	0.45	118	2013/12/09	50,000,000	2013/06/06
23223	Credit Suisse AG	HSI European Warrants Nov 2013 B	0.17	23,600 per 6,000 units	2013/11/28	150,000,000	2013/06/07
23233	Credit Suisse AG	Link REIT European Warrants Sep 2013 A	0.15	42.9	2013/09/09	70,000,000	2013/06/07
23234	Credit Suisse AG	New World Dev European Wts Oct 2014 A	0.15	14.4	2014/10/13	70,000,000	2013/06/07
23244	Credit Suisse AG	New World Dev European Wts Dec 2014 A	0.18	12.9	2014/12/22	70,000,000	2013/06/07
10213	Daiwa Capital Mkt	Nikkei 225 Index European Wts Dec 2013 J	0.25	12,800 per 640 units	2013/12/13	250,000,000	2013/06/07
10210	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 G	0.25	12,600 per 350 units	2013/12/13	250,000,000	2013/06/07
10211	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 H	0.25	13,600 per 510 units	2013/12/13	250,000,000	2013/06/07
10212	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Dec 2013 I	0.25	14,200 per 620 units	2013/12/13	250,000,000	2013/06/07
23144	HK Bank	AIA European Warrants Dec 2013 D	0.25	37.28	2013/12/30	120,000,000	2013/06/07
23178	HK Bank	China Mobile European Warrants Dec 2013 B	0.25	89.28	2013/12/30	120,000,000	2013/06/07
23203	HK Bank	China Mobile European Warrants Dec 2013 C	0.28	84.68	2013/12/09	120,000,000	2013/06/07
23156	HK Bank	Geely Auto European Warrants Dec 2013 A	0.32	4.36 per unit	2013/12/09	60,000,000	2013/06/07
23169	HK Bank	HSI European Put Warrants Nov 2013 C	0.19	22,200 per 7,000 units	2013/11/28	250,000,000	2013/06/07
23113	HK Bank	PICC Group European Warrants Dec 2013 B	0.25	4.28 per unit	2013/12/09	80,000,000	2013/06/07
23220	Macquarie Bank Ltd.	A50 China European Warrants Jan 2014 A	0.25	12.50 per unit	2014/01/03	50,000,000	2013/06/07
23222	Macquarie Bank Ltd.	A50 China European Put Wts Jan 2014 B	0.25	9.25 per unit	2014/01/03	50,000,000	2013/06/07

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23206	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Dec13A	0.155	24.88	2013/12/30	100,000,000	2013/06/07
23208	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2013 B	0.25	90.88	2013/10/09	80,000,000	2013/06/07
23210	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Apr14A	0.22	43.8	2014/04/28	60,000,000	2013/06/07
23212	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Dec 2013A	0.26	120.1	2013/12/30	50,000,000	2013/06/07
23217	UBS AG	CC Bank European Warrants Oct 2013 A	0.17	6.51 per unit	2013/10/07	100,000,000	2013/06/07
23291	BNP Paribas Arbit Issu B.V.	Cheung Kong Eu Put Wt Jan 14 A	0.25	90	2014/01/03	70,000,000	2013/06/10
23287	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Jan 2014 A	0.26	73	2014/01/03	100,000,000	2013/06/10
23286	BNP Paribas Arbit Issu B.V.	SHK Ppt Eu Put Wts Jan 2014 A	0.26	85	2014/01/03	100,000,000	2013/06/10
23270	Daiwa Capital Mkt	China Telecom European Wts Feb 2014 A	0.25	4.18 per unit	2014/02/10	80,000,000	2013/06/10
23248	J P Morgan SP BV	Sinopharm European Warrants Jan 2014 A	0.25	23.88	2014/01/02	80,000,000	2013/06/10
23282	Macquarie Bank Ltd.	CITIC Pacific European Wts Jan 2014 B	0.25	11.50 per unit	2014/01/03	80,000,000	2013/06/10
23256	Macquarie Bank Ltd.	China Life European Wts Jan 2014 A	0.185	20	2014/01/03	55,000,000	2013/06/10
23260	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Sep 2013 B	0.275	6.20 per unit	2013/09/25	100,000,000	2013/06/10
21969 #	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Sep 2014 A	0.092	10.98	2014/09/15	110,000,000	2013/06/10
23439	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jan 2014 B	0.28	131	2014/01/03	65,000,000	2013/06/11
23444	BNP Paribas Arbit Issu B.V.	Fortune Reit Eu Wts Dec 2015 A	0.25	7.1	2015/12/01	50,000,000	2013/06/11
23426	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Jan 2014 A	0.34	4.65 per unit	2014/01/03	100,000,000	2013/06/11
23425	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Jan 2014 A	0.37	10.50 per unit	2014/01/03	90,000,000	2013/06/11
23422	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jan 2014 A	0.25	69.8	2014/01/03	100,000,000	2013/06/11
23380	Citigroup Global Mkt H Inc.	AIA European Wts Dec 2013 B	0.41	31.8	2013/12/16	100,000,000	2013/06/11
23355	Citigroup Global Mkt H Inc.	Ping An Euro Wts Dec 2013 A	0.46	58	2013/12/10	100,000,000	2013/06/11
23375	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Dec 2013 A	0.25	21.8	2013/12/23	100,000,000	2013/06/11
23354	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Dec 2013 B	0.25	303 per 100 units	2013/12/10	70,000,000	2013/06/11
23351	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts Jan 2014 A	0.304	125	2014/01/30	80,000,000	2013/06/11
23420	Goldman Sachs SP (Asia)	Ch Overseas Euro Put Wt Feb 2014 A	0.25	22	2014/02/27	80,000,000	2013/06/11
23345	Goldman Sachs SP (Asia)	China Railway Euro Wts Feb 2014 A	0.26	4.50 per unit	2014/02/27	68,000,000	2013/06/11
23346	Goldman Sachs SP (Asia)	Henganintl European Wts Oct 2015 A	0.154	82.88 per 100 units	2015/10/02	100,000,000	2013/06/11
23353	Goldman Sachs SP (Asia)	Poly HK Inv Euro Wts Jan 2014 A	0.326	5.99 per unit	2014/01/30	60,000,000	2013/06/11
23404	Macquarie Bank Ltd.	Galaxy Ent European Wts Jan 2014 B	0.25	48	2014/01/03	40,000,000	2013/06/11
23403	Macquarie Bank Ltd.	Galaxy Ent European Put Wts Jan 2014 A	0.25	35	2014/01/03	40,000,000	2013/06/11
23312	Standard Chartered Bank	Foxconn European Wts Dec 2013 A	0.4	5.28 per unit	2013/12/10	40,000,000	2013/06/11
23318	Standard Chartered Bank	Foxconn European Wts Dec 2016 A	0.25	3	2016/12/02	100,000,000	2013/06/11
23332	Standard Chartered Bank	Galaxy Ent European Wts Jan 2014 B	0.25	48.88	2014/01/03	100,000,000	2013/06/11
23337	Standard Chartered Bank	Sands China Euro Wts Jan 2014 A	0.25	48.88	2014/01/03	100,000,000	2013/06/11
23297	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Dec14 A	0.18	8.88	2014/12/29	150,000,000	2013/06/11
23301	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Jan 14 A	0.18	27.68	2014/01/20	100,000,000	2013/06/11
23303	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Dec 2014 A	0.15	16.68	2014/12/22	100,000,000	2013/06/11
23309	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Dec14 A	0.185	11.25	2014/12/29	100,000,000	2013/06/11
23397	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Oct 14 A	0.15	8	2014/10/06	150,000,000	2013/06/11
23401	SGA Societe Generale Acceptance N.V.	Zhaojin Eu Wt Aug14 A	0.15	9.08	2014/08/11	100,000,000	2013/06/11
23408	UBS AG	Ping An European Warrants Dec 2013 A	0.15	70.05	2013/12/09	100,000,000	2013/06/11
21237 #	Standard Chartered Bank	PetroChina European Wts Nov 2013 A	0.024	9.8	2013/11/01	420,000,000	2013/06/11
23507	BOCI Asia Ltd.	CSOP A50 ETFEuropean Warrants Sep 2013 A	0.27	11 per unit	2013/09/16	100,000,000	2013/06/13

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23519	Credit Suisse AG	CC Bank European Warrants Sep 2013 C	0.15	6.51 per unit	2013/09/23	70,000,000	2013/06/13
23550	Credit Suisse AG	CITIC Sec European Warrants Nov 2013 A	0.15	20	2013/11/29	70,000,000	2013/06/13
23547	Credit Suisse AG	CSR European Warrants Dec 2013 A	0.35	6.88 per unit	2013/12/13	50,000,000	2013/06/13
23518	Credit Suisse AG	Geely Auto European Warrants Oct 2013 A	0.23	4.39 per unit	2013/10/18	50,000,000	2013/06/13
23535	Credit Suisse	Henderson Land European Warrants Dec 2013 A	0.33	51.28	2013/12/13	50,000,000	2013/06/13
23512	Credit Suisse AG	SHK Ppt European Warrants Oct 2013 B	0.32	108.1	2013/10/15	60,000,000	2013/06/13
23522	Credit Suisse AG	Wharf European Warrants Dec 2013 A	0.4	78.88	2013/12/13	50,000,000	2013/06/13
23551	Goldman Sachs SP (Asia)	Lenovo European Put Wts Jan 2014 B	0.702	7.50 per unit	2014/01/30	68,000,000	2013/06/13
23472	HK Bank	Anhui Conch European Warrants Nov 2013 B	0.15	29.48	2013/11/18	100,000,000	2013/06/13
23470	HK Bank	Cheung Kong European Warrants Dec 2013 B	0.31	119.88	2013/12/31	80,000,000	2013/06/13
23466	HK Bank	China Life European Warrants Oct 2013 C	0.16	20.05	2013/10/17	128,000,000	2013/06/13
23467	HK Bank	China Life European Warrants Feb 2014 A	0.15	22.98	2014/02/04	128,000,000	2013/06/13
23477	Macquarie Bank Ltd.	Hang Seng Bank European Wts Dec 2013 A	0.15	138.88	2013/12/03	120,000,000	2013/06/13
23480	Macquarie Bank Ltd.	Swrie Pacific A Euro Wts Feb 2014 A	0.25	118	2014/02/05	120,000,000	2013/06/13
23454	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Oct 2013 B	0.57	138.9	2013/10/23	40,000,000	2013/06/13
23460	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Dec 2013 A	0.255	115	2013/12/23	40,000,000	2013/06/13
23482	Standard Chartered Bank	HSI European Warrants Nov 2013 A	0.15	23,600 per 6,000 units	2013/11/28	100,000,000	2013/06/13
23494	Standard Chartered Bank	HSI European Put Wts Oct 2013 A	0.19	23,200 per 10,000 units	2013/10/30	150,000,000	2013/06/13
23493	Standard Chartered Bank	HSI European Put Wts Nov 2013 B	0.15	21,200 per 7,000 units	2013/11/28	100,000,000	2013/06/13
23496	Standard Chartered Bank	Wynn Macau European Wts Nov 2013 A	0.22	26.93	2013/11/25	60,000,000	2013/06/13
23508	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Dec13B	0.25	26	2013/12/16	100,000,000	2013/06/13
23476	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Sep14A	0.15	7	2014/09/01	100,000,000	2013/06/13
23509	UBS AG	HSI European Put Warrants Oct 2013 D	0.15	21,300 per 7,500 units	2013/10/30	300,000,000	2013/06/13
23686	Daiwa Capital Mkt	CCB European Warrants Oct 2013 B	0.25	6.188 per unit	2013/10/08	128,000,000	2013/06/14
23715	Daiwa Capital Mkt	CCB European Warrants Dec 2013 B	0.25	6.50 per unit	2013/12/13	128,000,000	2013/06/14
23679	Bank of East Asia	CITIC Pacific European Wts Oct 2014 A	0.17	8.09	2014/10/28	80,000,000	2013/06/14
23683	Bank of East Asia	CM Bank European Warrants Dec 2013 A	0.15	14.5	2013/12/24	80,000,000	2013/06/14
23674	Bank of East Asia	China Overseas Eur Put Wts Jan 2014 B	0.25	21.688	2014/01/07	60,000,000	2013/06/14
23682	Bank of East Asia	PICC European Warrants May 2014 A	0.15	9.35 per 10 units	2014/05/23	80,000,000	2013/06/14
23585	Goldman Sachs SP (Asia)	China Mobile Euro Wts Nov 2013 A	0.152	86.88	2013/11/04	100,000,000	2013/06/14
23579	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Dec 2013 B	0.253	12 per unit	2013/12/30	80,000,000	2013/06/14
23648	Goldman Sachs SP (Asia)	HSI European Put Wts Oct 2013 D	0.151	21,500 per 7,500 units	2013/10/30	150,000,000	2013/06/14
23646	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 C	0.252	21,800 per 6,000 units	2013/12/30	150,000,000	2013/06/14
23647	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 D	0.158	20,900 per 8,000 units	2013/12/30	150,000,000	2013/06/14
23589	HK Bank	Cheung Kong European Warrants Oct 2013 A	0.16	92.05 per 100 units	2013/10/25	150,000,000	2013/06/14
23592	HK Bank	Sands China European Warrants Oct 2013 B	0.34	42.93	2013/10/31	80,000,000	2013/06/14
23721	J P Morgan SP BV	HSI European Warrants Dec 2013 B	0.25	21,800 per 7,200 units	2013/12/30	300,000,000	2013/06/14
23726	J P Morgan SP BV	HSI European Warrants Dec 2013 C	0.25	22,400 per 6,000 units	2013/12/30	300,000,000	2013/06/14
23673	Macquarie Bank Ltd.	Country Garden European Wts Dec 2013 A	0.25	5.28 per unit	2013/12/13	50,000,000	2013/06/14
23599	Macquarie Bank Ltd.	Foxconn European Warrants Jan 2014 A	0.371	4.88 per unit	2014/01/03	50,000,000	2013/06/14
23604	Macquarie Bank Ltd.	Zoomlion European Warrants Dec 2015 A	0.15	8	2015/12/28	120,000,000	2013/06/14
23627	Standard Chartered Bank	Dongfeng European Wts Nov 2013 A	0.15	12.02	2013/11/25	100,000,000	2013/06/14
23638	Standard Chartered Bank	Dongfeng European Wts Dec 2014 A	0.22	13.03	2014/12/03	100,000,000	2013/06/14

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Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23639	Standard Chartered Bank	Wharf European Warrants Nov 2013 A	0.175	88.88	2013/11/26	100,000,000	2013/06/14
23654	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Dec 2013 A	0.15	14.5	2013/12/30	150,000,000	2013/06/14
23655	SGA Societe Generale Acceptance N.V.	CPIC Eur Wt Oct 2013 A	0.15	28.88	2013/10/30	80,000,000	2013/06/14
10214	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Dec 13 J	0.25	12,750 per 420 units	2013/12/13	150,000,000	2013/06/14
10215	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Dec 2013 K	0.25	12,800 per 360 units	2013/12/13	150,000,000	2013/06/14
10217	UBS AG	Nikkei 225 European Warrants Dec 2013 J	0.25	13,000 per 480 units	2013/12/13	100,000,000	2013/06/14
10218	UBS AG	Nikkei 225 European Warrants Dec 2013 K	0.15	13,800 per 550 units	2013/12/13	100,000,000	2013/06/14
10216	UBS AG	Nikkei 225 European Put Warrants Dec 2013 I	0.25	12,500 per 420 units	2013/12/13	100,000,000	2013/06/14
23662	UBS AG	PetroChina European Warrants Nov 2013 A	0.15	10.40 per unit	2013/11/04	70,000,000	2013/06/14
23917	BOCI Asia Ltd.	Sands China European Warrants Nov 2013 A	0.22	43.68	2013/11/20	100,000,000	2013/06/17
24024	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Aug 2014 A	0.25	7.60 per unit	2014/08/04	100,000,000	2013/06/17
23992	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Oct 2013 C	0.16	83	2013/10/03	100,000,000	2013/06/17
23987	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Jan 2014 A	0.15	91.9	2014/01/27	100,000,000	2013/06/17
24026	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2014 B	0.25	92.6	2014/01/03	100,000,000	2013/06/17
24006	BNP Paribas Arbit Issu B.V.	ICBC European Wts Aug 2014 A	0.25	6.50 per unit	2014/08/04	100,000,000	2013/06/17
23984	Credit Suisse AG	Ch Agri-Ind European Warrants Dec 2013 A	0.25	4.38 per unit	2013/12/16	50,000,000	2013/06/17
23976	Credit Suisse AG	China Mobile European Warrants Oct 2013 C	0.15	82.99	2013/10/02	70,000,000	2013/06/17
23954	Credit Suisse AG	China Mobile European Put Wts Nov 2013 A	0.15	69.85	2013/11/29	70,000,000	2013/06/17
23947	Credit Suisse AG	HKEx European Warrants Oct 2013 B	0.42	138.9	2013/10/28	50,000,000	2013/06/17
23951	Credit Suisse AG	Hutchison European Warrants Sep 2013 B	0.15	88.9	2013/09/30	70,000,000	2013/06/17
23986	Credit Suisse AG	China Mengniu European Warrants Dec2013 A	0.25	32.88	2013/12/16	50,000,000	2013/06/17
23979	Credit Suisse AG	Nine Dragons European Warrants Dec 2013 B	0.43	6.28 per unit	2013/12/16	50,000,000	2013/06/17
23960	Credit Suisse AG	PICC Group European Warrants Dec 2013 A	0.15	4.29 per unit	2013/12/02	70,000,000	2013/06/17
23985	Credit Suisse AG	Sinopharm European Warrants Dec 2013 A	0.25	22.48	2013/12/16	50,000,000	2013/06/17
23909	HK Bank	CC Bank European Warrants Nov 2013 A	0.15	6.89 per unit	2013/11/26	120,000,000	2013/06/17
23905	HK Bank	China Mobile European Warrants Dec 2013 D	0.25	80.28	2013/12/23	120,000,000	2013/06/17
23872	HK Bank	Link REIT European Warrants Feb 2014 A	0.25	41.88	2014/02/04	120,000,000	2013/06/17
23912	HK Bank	PetroChina European Warrants Jan 2014 A	0.25	10.18 per unit	2014/01/06	120,000,000	2013/06/17
23857	HK Bank	Zoomlion European Warrants Dec 2015 A	0.15	8.01	2015/12/28	120,000,000	2013/06/17
23966	J P Morgan SP BV	Lenovo European Warrants Oct 2013 A	0.25	8.88 per unit	2013/10/28	80,000,000	2013/06/17
23962	Macquarie Bank Ltd.	Angang Steel European Wts Jan 2014 B	0.25	5.25 per unit	2014/01/03	40,000,000	2013/06/17
23964	Macquarie Bank Ltd.	CLP European Warrants May 2014 A	0.25	70	2014/05/05	120,000,000	2013/06/17
23961	Macquarie Bank Ltd.	Ping An European Warrants Jan 2014 A	0.25	65	2014/01/03	40,000,000	2013/06/17
23748	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Dec 2013 B	0.265	118.1	2013/12/02	40,000,000	2013/06/17
23736	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Nov 2013 A	0.15	86.9	2013/11/01	80,000,000	2013/06/17
23734	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2014 B	0.25	90	2014/01/13	40,000,000	2013/06/17
23752	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Nov 2013 A	0.15	12.82 per unit	2013/11/18	80,000,000	2013/06/17
23753	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2013 A	0.15	12.52 per unit	2013/12/02	80,000,000	2013/06/17
23758	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2013 B	0.25	12.20 per unit	2013/12/18	40,000,000	2013/06/17
23771	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2013 C	0.25	11.70 per unit	2013/12/17	40,000,000	2013/06/17
23791	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Sep 2013 B	0.157	10,000 per 4,800 units	2013/09/27	100,000,000	2013/06/17
23809	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2013 E	0.155	22,300 per 6,500 units	2013/10/30	100,000,000	2013/06/17
23793	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Nov 2013 D	0.151	23,700 per 6,000 units	2013/11/28	100,000,000	2013/06/17

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
23794	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2013 C	0.155	21,000 per 6,600 units	2013/10/30	100,000,000	2013/06/17
23805	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2013 D	0.156	21,400 per 7,500 units	2013/10/30	100,000,000	2013/06/17
23772	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2013 D	0.25	21,700 per 7,000 units	2013/12/30	100,000,000	2013/06/17
23937	Nomura Int'l plc	China Life European Warrants Dec 2013 A	0.152	20.05	2013/12/24	80,000,000	2013/06/17
23839	Nomura Int'l plc	Henganintl European Warrants Sep 2015 A	0.162	82.92 per 100 units	2015/09/24	80,000,000	2013/06/17
23831	Nomura Int'l plc	PetroChina European Warrants Oct 2013 A	0.15	10.40 per unit	2013/10/30	80,000,000	2013/06/17
23828	Nomura Int'l plc	Shui On Land EEuropean Warrants Jun2014 A	0.25	3.18 per unit	2014/06/18	50,000,000	2013/06/17
23923	Nomura Int'l plc	SMIC European Warrants Jun 2015 A	0.251	0.68 per unit	2015/06/18	50,000,000	2013/06/17
23932	Standard Chartered Bank	Minsheng Bank Euro Wts Dec 2014 A	0.174	8.88	2014/12/22	100,000,000	2013/06/17
23927	Standard Chartered Bank	HKEx European Warrants Dec 2013 A	0.4	146.5	2013/12/16	80,000,000	2013/06/17
23967	Standard Chartered Bank	PICC Group European Wts Dec 2013 A	0.15	4.29 per unit	2013/12/02	100,000,000	2013/06/17
23975	Standard Chartered Bank	PICC Group European Wts Jan 2014 A	0.25	3.88 per unit	2014/01/06	100,000,000	2013/06/17
23931	Standard Chartered Bank	Wharf European Warrants Nov 2013 B	0.305	78.88	2013/11/25	100,000,000	2013/06/17
23814	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Dec 2013 A	0.25	6.48 per unit	2013/12/16	100,000,000	2013/06/17
23938	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Dec 13 A	0.18	84.68	2013/12/02	150,000,000	2013/06/17
23827	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Nov 2013 A	0.175	5.58 per unit	2013/11/25	100,000,000	2013/06/17
23939	SGA Societe Generale Acceptance N.V.	PICC Gp Eu Wts Jan14A	0.25	4 per unit	2014/01/13	50,000,000	2013/06/17
24003	UBS AG	HSI European Warrants Oct 2013 E	0.18	20,600 per 8,000 units	2013/10/30	300,000,000	2013/06/17
23841	UBS AG	HSI European Put Warrants Dec 2013 E	0.15	20,300 per 7,000 units	2013/12/30	300,000,000	2013/06/17
23993	UBS AG	KECL European Warrants Dec 2014 A	0.15	16.7	2014/12/15	80,000,000	2013/06/17
24107	BOCI Asia Ltd.	Sinopec Corp European Warrants Dec 2013 A	0.15	6.923 per 1 units	2013/12/05	100,000,000	2013/06/18
24108	Credit Suisse AG	Sinopec Corp European Warrants Dec 2013 A	0.25	6.38 per unit	2013/12/20	60,000,000	2013/06/18
24110	Citigroup Global Mkt H Inc.	HSI European Wts Oct 2013 A	0.15	21,000 per 8,000 units	2013/10/30	100,000,000	2013/06/18
24105	HK Bank	BOCL European Warrants Dec 2013 A	0.16	3.60 per unit	2013/12/10	100,000,000	2013/06/18
24090	HK Bank	China Life European Warrants Oct 2013 D	0.15	18.9	2013/10/07	120,000,000	2013/06/18
24104	HK Bank	HSI European Put Warrants Oct 2013 E	0.15	20,900 per 6,600 units	2013/10/30	150,000,000	2013/06/18
24103	HK Bank	HSI European Put Warrants Dec 2013 D	0.25	21,700 per 7,000 units	2013/12/30	120,000,000	2013/06/18
24041	J P Morgan SP BV	China Agri European Warrants Dec 2013 A	0.25	4.10 per unit	2013/12/31	50,000,000	2013/06/18
24029	J P Morgan SP BV	China Unicom European Warrants Apr 2014 A	0.15	12	2014/04/22	200,000,000	2013/06/18
24027	J P Morgan SP BV	PICC Group European Warrants Dec 2013 A	0.25	4.10 per unit	2013/12/31	100,000,000	2013/06/18
24088	Macquarie Bank Ltd.	Bank of EA European Wts Dec 2014 A	0.25	31.5	2014/12/02	120,000,000	2013/06/18
24075	Macquarie Bank Ltd.	Minsheng Bank European Wts Jan 2014 A	0.25	13 per unit	2014/01/03	80,000,000	2013/06/18
24106	Macquarie Bank Ltd.	Tencent European Put Wts Jan 2014 B	0.241	295 per 100 units	2014/01/03	200,000,000	2013/06/18
24045	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 A	0.25	21,100 per 6,500 units	2013/12/30	300,000,000	2013/06/18
24067	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 13 B	0.26	21,600 per 6,500 units	2013/12/30	300,000,000	2013/06/18
24043	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Nov13B	0.2	43.68	2013/11/18	100,000,000	2013/06/18
24111	UBS AG	China Mobile European Warrants Dec 2013 B	0.3	80.88	2013/12/17	100,000,000	2013/06/18
24114	UBS AG	HSBC European Warrants Nov 2013 C	0.17	92.93	2013/11/18	80,000,000	2013/06/18
24112	UBS AG	SHK Ppt European Warrants Dec 2013 A	0.33	110	2013/12/30	80,000,000	2013/06/18
24113	UBS AG	SHK Ppt European Warrants Dec 2013 B	0.15	120.1	2013/12/19	80,000,000	2013/06/18
24173	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Feb14A	0.15	4 per unit	2014/02/05	100,000,000	2013/06/19
24138	BNP Paribas Arbit Issu B.V.	Angang Steel Eur Wt Feb 2014 A	0.47	4.30 per unit	2014/02/05	22,000,000	2013/06/19
24148	BNP Paribas Arbit Issu B.V.	Chi Resources Eu Wt Jul 2014 A	0.27	30	2014/07/03	78,000,000	2013/06/19

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24196	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Mar 2014 A	0.15	28	2014/03/04	100,000,000	2013/06/19
24172	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Feb 2014 A	0.25	4.08 per unit	2014/02/05	85,000,000	2013/06/19
24202	BNP Paribas Arbit Issu B.V.	HS H-SHARE Euro Wts Jan 2014 A	0.28	126.88	2014/01/03	38,000,000	2013/06/19
24198	BNP Paribas Arbit Issu B.V.	Lumena Newmat Eu Wt Jul 2014 A	0.25	1.88 per unit	2014/07/03	43,000,000	2013/06/19
24188	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Jan 2014 B	0.26	10 per unit	2014/01/03	92,000,000	2013/06/19
24237	Credit Suisse AG	Anhui Conch European Warrants Jan 2014 A	0.25	24.88	2014/01/27	50,000,000	2013/06/19
24242	Credit Suisse AG	CITIC Bank European Warrants Dec 2013 A	0.34	4.18 per unit	2013/12/20	50,000,000	2013/06/19
24234	Credit Suisse AG	Evergrande European Warrants Oct 2013 A	0.16	3.59 per unit	2013/10/28	70,000,000	2013/06/19
24233	Credit Suisse AG	HSBC European Wts Sep 2013 D	0.18	88.9	2013/09/23	70,000,000	2013/06/19
24229	Credit Suisse AG	HSI European Warrants Oct 2013 F	0.17	20,600 per 8,000 units	2013/10/30	150,000,000	2013/06/19
24230	Credit Suisse AG	HSI European Put Warrants Dec 2013 C	0.15	20,400 per 7,000 units	2013/12/30	150,000,000	2013/06/19
24238	Credit Suisse AG	KECL European Warrants Dec 2013 A	0.67	15.38 per unit	2013/12/20	50,000,000	2013/06/19
24262	Credit Suisse AG	Shenzhen Int'l European Wts Apr 2014 A	0.25	1.02 per unit	2014/04/28	50,000,000	2013/06/19
24131	Goldman Sachs SP (Asia)	CC Bank European Wts Dec 2013 B	0.252	6.50 per unit	2013/12/30	80,000,000	2013/06/19
24136	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2013 A	0.167	20	2013/12/30	120,000,000	2013/06/19
24122	Goldman Sachs SP (Asia)	China Mobile Euro Wts Dec 2013 D	0.251	84	2013/12/30	120,000,000	2013/06/19
24129	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Dec 2013 A	0.254	6.50 per unit	2013/12/30	120,000,000	2013/06/19
24133	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Jan 2014 A	0.157	28	2014/01/30	80,000,000	2013/06/19
24120	HK Bank	CITIC Sec European Warrants Apr 2014 A	0.25	17.88	2014/04/30	100,000,000	2013/06/19
24121	HK Bank	Sinopec Corp European Warrants Jan 2014 A	0.25	6.38 per unit	2014/01/06	80,000,000	2013/06/19
24119	Macquarie Bank Ltd.	BankComm European Warrants Dec 2013 A	0.15	7 per unit	2013/12/03	80,000,000	2013/06/19
24214	Macquarie Bank Ltd.	China Agri European Wts Jan 2014 A	0.25	4.50 per unit	2014/01/03	80,000,000	2013/06/19
24206	Macquarie Bank Ltd.	Cheung Kong European Wts Jan 2014 B	0.25	120	2014/01/03	120,000,000	2013/06/19
24215	Macquarie Bank Ltd.	New World Dev European Wts Jan 2015 B	0.15	12.88	2015/01/05	120,000,000	2013/06/19
24220	Macquarie Bank Ltd.	SHK Ppt European Warrants Jan 2014 A	0.15	118	2014/01/03	120,000,000	2013/06/19
24221	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Oct 2013 C	0.26	87.05	2013/10/30	100,000,000	2013/06/19
24222	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Dec 13 A	0.25	63.88	2013/12/18	100,000,000	2013/06/19
24263	UBS AG	HSCEI European Put Warrants Mar 2014 A	0.25	9,200 per 5,000 units	2014/03/28	100,000,000	2013/06/19
24228	UBS AG	Link REIT European Warrants Dec 2013 B	0.25	38.88	2013/12/23	80,000,000	2013/06/19
24223	UBS AG	SJM Holdings European Warrants Dec 2013 B	0.17	21.85	2013/12/23	80,000,000	2013/06/19
15115 #	HK Bank	HSCEI European Warrants Dec 2013	0.074	10,000 per 6,800 units	2013/12/30	200,000,000	2013/06/19
24415 #	HK Bank	A50 China European Warrants Jan 2014 A	0.054	10.02	2014/01/02	200,000,000	2013/06/19
24575	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jan 2014 B	0.28	6 per unit	2014/01/03	100,000,000	2013/06/20
24589	BNP Paribas Arbit Issu B.V.	Ch Ship Dev Euro Wts Mar 2014A	0.46	3.38 per unit	2014/03/04	22,000,000	2013/06/20
24568	BNP Paribas Arbit Issu B.V.	HSI European Wts Feb 2014 B	0.26	20,600 per 6,000 units	2014/02/27	100,000,000	2013/06/20
24570	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Feb 2014 C	0.3	21,000 per 6,000 units	2014/02/27	100,000,000	2013/06/20
24574	BNP Paribas Arbit Issu B.V.	Poly HK Inv Eur Wts Feb 2014 A	0.5	5 per unit	2014/02/05	20,000,000	2013/06/20
24580	BNP Paribas Arbit Issu B.V.	Sinopharm Euro Wts Mar 2014 A	0.26	22.9	2014/03/04	92,000,000	2013/06/20
24571	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Jan 2014 B	0.26	113.6	2014/01/02	100,000,000	2013/06/20
24572	BNP Paribas Arbit Issu B.V.	Sino Land Euro Wt Aug 2015 A	0.25	10	2015/08/04	100,000,000	2013/06/20
24587	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts Feb 2014 A	0.25	25.8	2014/02/05	100,000,000	2013/06/20
24579	BNP Paribas Arbit Issu B.V.	Yurun Food Euro Wts Jan 2014 B	0.47	5.88 per unit	2014/01/03	22,000,000	2013/06/20
24406	Credit Suisse AG	BOCL European Warrants Dec 2013 A	0.15	3.60 per unit	2013/12/09	70,000,000	2013/06/20

Newly listed derivative warrants *(continued)*

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24463	Credit Suisse AG	CC Bank European Warrants Oct 2013 A	0.15	6.17 per unit	2013/10/09	70,000,000	2013/06/20
24476	Credit Suisse AG	China Coal European Warrants Nov 2013 A	0.16	5.89 per unit	2013/11/25	70,000,000	2013/06/20
24455	Credit Suisse AG	Cheung Kong European Warrants Dec 2013 B	0.27	118	2013/12/02	60,000,000	2013/06/20
24471	Credit Suisse AG	Minsheng Bank European Wts Oct 2013 B	0.31	9.89 per unit	2013/10/11	60,000,000	2013/06/20
24467	Credit Suisse AG	China Mobile European Warrants Dec 2013 A	0.25	80.38	2013/12/20	70,000,000	2013/06/20
24477	Credit Suisse AG	CSOP A50 ETF European Warrants Oct 2013 A	0.25	10.80 per unit	2013/10/28	70,000,000	2013/06/20
24405	Credit Suisse AG	China Shenhua European Wts Jan 2014 A	0.15	27.7	2014/01/27	70,000,000	2013/06/20
24482	Credit Suisse AG	A50 China European Warrants Oct 2013 A	0.18	10.98 per unit	2013/10/07	70,000,000	2013/06/20
24400	Credit Suisse AG	HSCEI European Warrants Sep 2013 A	0.17	9,000 per 6,000 units	2013/09/27	80,000,000	2013/06/20
24492	Credit Suisse AG	HSI European Warrants Sep 2013 G	0.19	20,000 per 7,000 units	2013/09/27	150,000,000	2013/06/20
24486	Credit Suisse AG	HSI European Put Warrants Dec 2013 D	0.18	21,000 per 8,000 units	2013/12/30	150,000,000	2013/06/20
24462	Credit Suisse AG	Hutchison European Warrants Oct 2013 A	0.22	84.9	2013/10/03	70,000,000	2013/06/20
24465	Credit Suisse AG	ICBC European Warrants Oct 2013 B	0.15	5.30 per unit	2013/10/15	70,000,000	2013/06/20
24468	Credit Suisse AG	Ping An European Warrants Oct 2013 B	0.18	60.9	2013/10/11	70,000,000	2013/06/20
24548	Credit Suisse AG	Yanzhou Coal European Warrants Nov 2013 A	0.16	9.31 per unit	2013/11/04	70,000,000	2013/06/20
24433	Daiwa Capital Mkt	China Mobile European Wts Dec 2013 A	0.29	79.88	2013/12/19	128,000,000	2013/06/20
24441	Daiwa Capital Mkt	China Mobile European Put Wts Nov 2013 D	0.2	69.88	2013/11/26	80,000,000	2013/06/20
24432	Daiwa Capital Mkt	HSBC European Put Warrants Dec 2013 A	0.25	72.88	2013/12/19	80,000,000	2013/06/20
24447	Daiwa Capital Mkt	ICBC European Warrants Nov 2013 C	0.18	5.20 per unit	2013/11/01	128,000,000	2013/06/20
24385	Bank of East Asia	Belle European Warrants Mar 2014 A	0.15	12.02	2014/03/20	80,000,000	2013/06/20
24396	Bank of East Asia	CITIC Sec European Warrants Jan 2014 A	0.15	16.52	2014/01/02	80,000,000	2013/06/20
24549	Bank of East Asia	Minsheng Bank European Warrants Jan 15 A	0.15	8.88	2015/01/08	80,000,000	2013/06/20
24567	Bank of East Asia	Foxconn European Warrants Feb 2014 A	0.26	6 per unit	2014/02/06	40,000,000	2013/06/20
24558	Bank of East Asia	HKEx European Warrants Feb 2014 A	0.156	112.88 per 100 units	2014/02/04	80,000,000	2013/06/20
24565	Bank of East Asia	Wynn Macau European Warrants Feb 2014 A	0.25	25	2014/02/12	60,000,000	2013/06/20
24424	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jan 2014 A	0.254	50	2014/01/30	120,000,000	2013/06/20
24416	Goldman Sachs SP (Asia)	HSBC European Warrants Jan 2014 A	0.294	88.88	2014/01/30	120,000,000	2013/06/20
24281	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2013 E	0.15	21,100 per 8,000 units	2013/10/30	150,000,000	2013/06/20
24422	Goldman Sachs SP (Asia)	Link REIT European Wts Mar 2014 A	0.252	39.88	2014/03/31	120,000,000	2013/06/20
24419	Goldman Sachs SP (Asia)	New World Dev Euro Wts Dec 2013 A	0.4	13 per unit	2013/12/30	68,000,000	2013/06/20
24498	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jan 2014 A	0.25	6 per unit	2014/01/02	200,000,000	2013/06/20
24266	J P Morgan SP BV	CITIC Sec European Warrants May 2014 A	0.25	18	2014/05/02	100,000,000	2013/06/20
24511	J P Morgan SP BV	China Life European Warrants Jan 2014 A	0.25	18.28	2014/01/02	300,000,000	2013/06/20
24349	J P Morgan SP BV	China Mob European Wts Jan 2014 A	0.25	83.88	2014/01/02	200,000,000	2013/06/20
24514	J P Morgan SP BV	HSI European Put Warrants Dec 2013 D	0.25	20,400 per 6,800 units	2013/12/30	300,000,000	2013/06/20
24515	J P Morgan SP BV	Hutchison European Warrants Jan 2014 A	0.326	83.88	2014/01/02	150,000,000	2013/06/20
24517	J P Morgan SP BV	ICBC European Warrants Jan 2014 A	0.25	5.18 per unit	2014/01/02	200,000,000	2013/06/20
24523	J P Morgan SP BV	PetroChina European Warrants Jan 2014 B	0.25	9.18 per unit	2014/01/02	100,000,000	2013/06/20
24524	J P Morgan SP BV	Ping An European Warrants Dec 2013 B	0.25	60	2013/12/31	200,000,000	2013/06/20
24352	Macquarie Bank Ltd.	China Coal European Wts Dec 2013 B	0.203	5.88 per unit	2013/12/03	80,000,000	2013/06/20
24429	Macquarie Bank Ltd.	China Mobile European Wts Dec 2013 C	0.151	84.68	2013/12/03	120,000,000	2013/06/20
24537	Macquarie Bank Ltd.	Huaneng Power European Wts Jan 2014 A	0.25	8.50 per unit	2014/01/03	50,000,000	2013/06/20
24354	Macquarie Bank Ltd.	PetroChina European Wts Jan 2014 B	0.202	10.28 per unit	2014/01/03	80,000,000	2013/06/20

Newly listed derivative warrants *(continued)*

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24398	Standard Chartered Bank	Sinopec Corp Euro Wts Dec 2013 A	0.25	6.20 per unit	2013/12/27	80,000,000	2013/06/20
24296	SGA Societe Generale Acceptance N.V	Belle Int'l Eu Wt Mar14A	0.15	12	2014/03/24	150,000,000	2013/06/20
24300	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Dec 2013 A	0.385	5.45 per unit	2013/12/23	40,000,000	2013/06/20
24302	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Oct14A	0.16	8.08	2014/10/29	150,000,000	2013/06/20
24361	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2013D	0.17	18.88	2013/10/09	120,000,000	2013/06/20
24362	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2013A	0.165	20	2013/12/30	120,000,000	2013/06/20
24448	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Dec 13 B	0.25	80	2013/12/23	150,000,000	2013/06/20
24452	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Nov13A	0.315	41.8	2013/11/18	100,000,000	2013/06/20
24449	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2014 A	0.15	114.88 per 100 units	2014/01/06	300,000,000	2013/06/20
24380	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2013 A	0.425	85.25	2013/12/18	120,000,000	2013/06/20
24327	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 C	0.28	20,600 per 6,500 units	2013/12/30	300,000,000	2013/06/20
24329	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 D	0.25	21,600 per 5,400 units	2013/12/30	300,000,000	2013/06/20
24348	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 13 E	0.25	21,100 per 6,300 units	2013/12/30	300,000,000	2013/06/20
24368	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Nov 2013 B	0.19	5.20 per unit	2013/11/04	100,000,000	2013/06/20
24311	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Oct 2013B	0.215	108.1	2013/10/21	100,000,000	2013/06/20
24313	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Nov13A	0.15	1.99 per unit	2013/11/27	100,000,000	2013/06/20
24319	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt May 2014 A	0.15	14.88	2014/05/26	100,000,000	2013/06/20
24425	UBS AG	China Life European Warrants Dec 2013 A	0.25	18	2013/12/23	200,000,000	2013/06/20
24427	UBS AG	HSI European Warrants Dec 2013 F	0.25	21,500 per 6,500 units	2013/12/30	300,000,000	2013/06/20
24661	BOCI Asia Ltd.	A50 China European Warrants Oct 2013 A	0.26	10.88 per unit	2013/10/30	100,000,000	2013/06/21
24633	BOCI Asia Ltd.	Ping An European Warrants Oct 2013 B	0.24	59.88	2013/10/30	100,000,000	2013/06/21
24657	BOCI Asia Ltd.	SHK Ppt European Warrants Dec 2013 A	0.25	109.88	2013/12/23	100,000,000	2013/06/21
10219	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Dec13A	0.243	13,800 per 280 units	2013/12/13	100,000,000	2013/06/21
10220	BNP Paribas Arbit Issu B.V.	Nikkei 225 Eu Put Wt Mar14A	0.25	12,000 per 400 units	2014/03/14	100,000,000	2013/06/21
24707	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Dec 2013 B	0.31	78	2013/12/30	100,000,000	2013/06/21
24712	Citigroup Global Mkt H Inc.	Ping An Euro Wts Dec 2013 B	0.57	55	2013/12/30	100,000,000	2013/06/21
24721	Citigroup Global Mkt H Inc.	Sands China Euro Wt Dec 2013 B	0.33	40.8	2013/12/30	100,000,000	2013/06/21
24709	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Dec 2013 B	0.28	108	2013/12/30	100,000,000	2013/06/21
24732	Citigroup Global Mkt H Inc.	Tencent European Wts Dec 2013 C	0.33	292 per 100 units	2013/12/30	100,000,000	2013/06/21
24667	Goldman Sachs SP (Asia)	HSCEI European Put Wts Jun 2014 A	0.257	9,200 per 4,000 units	2014/06/27	150,000,000	2013/06/21
24673	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2013 F	0.163	20,400 per 8,000 units	2013/10/30	150,000,000	2013/06/21
24674	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2014 A	0.254	19,600 per 6,000 units	2014/04/29	150,000,000	2013/06/21
24669	Goldman Sachs SP (Asia)	Yanzhou Coal Euro Wts Feb 2014 A	0.326	8.88 per unit	2014/02/27	80,000,000	2013/06/21
24632	HK Bank	Agricultural Bank European Warrants Jan 2014 A	0.25	3.58 per unit	2014/01/06	80,000,000	2013/06/21
24624	HK Bank	CC Bank European Warrants Dec 2013 B	0.25	6.08 per unit	2013/12/31	80,000,000	2013/06/21
24625	HK Bank	HKEx European Warrants Jan 2014 A	0.15	114.98 per 100 units	2014/01/06	200,000,000	2013/06/21
24618	HK Bank	HSI European Put Warrants Jan 2014 A	0.25	20,500 per 7,000 units	2014/01/29	200,000,000	2013/06/21
24591	J P Morgan SP BV	China Life European Warrants Jan 2014 B	0.15	20	2014/01/02	300,000,000	2013/06/21
24750	Macquarie Bank Ltd.	Lee & Man Paper European Wt Jan 2014 A	0.301	5.50 per unit	2014/01/14	50,000,000	2013/06/21
24760	Macquarie Bank Ltd.	Poly HK Inv European Wts Jan 2014 A	0.25	5.88 per unit	2014/01/02	50,000,000	2013/06/21
24763	Macquarie Bank Ltd.	Wharf European Warrants Jan 2014 A	0.25	82.88	2014/01/03	120,000,000	2013/06/21
24755	Macquarie Bank Ltd.	Yuexiu Property Euro Wts Jan 2014 A	0.15	2.60 per unit	2014/01/27	70,000,000	2013/06/21
24686	Standard Chartered Bank	CAM CSI300 European Wts Oct 2013 A	0.2	29.2	2013/10/07	100,000,000	2013/06/21

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24684	Standard Chartered Bank	CC Bank European Wts Jan 2014 A	0.25	6.33 per unit	2014/01/07	100,000,000	2013/06/21
24680	Standard Chartered Bank	China Mobile Euro Wts Dec 2013 B	0.27	78.88	2013/12/23	100,000,000	2013/06/21
24689	Standard Chartered Bank	CSOP A50 ETF Euro Wts Oct 2013 B	0.26	10.80 per unit	2013/10/28	50,000,000	2013/06/21
24676	Standard Chartered Bank	HSI European Warrants Oct 2013 B	0.15	20,500 per 8,000 units	2013/10/30	150,000,000	2013/06/21
24675	Standard Chartered Bank	HSI European Put Wts Dec 2013 A	0.15	20,300 per 7,000 units	2013/12/30	100,000,000	2013/06/21
24688	Standard Chartered Bank	PetroChina European Wts Dec 2013 B	0.25	9.50 per unit	2013/12/23	80,000,000	2013/06/21
24691	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Dec 2013 B	0.265	6 per unit	2013/12/23	100,000,000	2013/06/21
24698	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct13 C	0.385	9.88 per unit	2013/10/21	30,000,000	2013/06/21
24598	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Nov 2013B	0.255	10.88 per unit	2013/11/06	50,000,000	2013/06/21
24614	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan 2014A	0.29	11 per unit	2014/01/06	50,000,000	2013/06/21
24595	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 13 F	0.25	20,600 per 6,000 units	2013/12/30	300,000,000	2013/06/21
24705	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Dec 2013B	0.34	9.30 per unit	2013/12/23	60,000,000	2013/06/21
24749	UBS AG	Agricultural Bank European Warrants Nov 2013 A	0.15	3.69 per unit	2013/11/25	70,000,000	2013/06/21
24740	UBS AG	BOCL European Warrants Dec 2013 A	0.15	3.60 per unit	2013/12/09	100,000,000	2013/06/21
24748	UBS AG	CC Bank European Warrants Dec 2013 A	0.26	6.01 per unit	2013/12/20	100,000,000	2013/06/21
24745	UBS AG	ICBC European Warrants Oct 2013 A	0.19	5.21 per unit	2013/10/29	100,000,000	2013/06/21
24817	BOCI Asia Ltd.	PetroChina European Warrants Dec 2013 A	0.2	10.28 per unit	2013/12/30	100,000,000	2013/06/24
24771	Goldman Sachs SP (Asia)	CC Bank European Wts Dec 2013 C	0.257	6 per unit	2013/12/30	80,000,000	2013/06/24
24773	Goldman Sachs SP (Asia)	CC Bank Euro Put Wts Jan 2014 A	0.382	5.28 per unit	2014/01/30	80,000,000	2013/06/24
24774	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jan 2014 A	0.251	80	2014/01/30	80,000,000	2013/06/24
24840	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Jan 2014 B	0.294	72.88	2014/01/30	80,000,000	2013/06/24
24769	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Jan 2014 B	0.265	38	2014/01/30	80,000,000	2013/06/24
24821	HK Bank	CM Bank European Warrants Dec 2013 A	0.15	14.52	2013/12/30	100,000,000	2013/06/24
24831	HK Bank	Galaxy Ent European Warrants Dec 2013 A	0.25	47.88	2013/12/23	80,000,000	2013/06/24
24818	HK Bank	HSI European Warrants Dec 2013 E	0.15	21,900 per 7,200 units	2013/12/30	200,000,000	2013/06/24
24819	HK Bank	HSI European Warrants Dec 2013 F	0.15	22,500 per 6,000 units	2013/12/30	200,000,000	2013/06/24
24794	J P Morgan SP BV	HSCEI European Put Warrants Dec 2013 D	0.25	9,600 per 3,900 units	2013/12/30	300,000,000	2013/06/24
24767	Macquarie Bank Ltd.	CHALCO European Warrants Jul 2014 A	0.25	3.50 per unit	2014/07/03	50,000,000	2013/06/24
24830	Macquarie Bank Ltd.	China COSCO European Wts Feb 2014 A	0.25	4 per unit	2014/02/05	50,000,000	2013/06/24
24768	Macquarie Bank Ltd.	Chinares Cement European Wt Dec 2013 A	0.25	4.80 per unit	2013/12/24	50,000,000	2013/06/24
24825	Macquarie Bank Ltd.	Chi Res Land European Wts Dec 2013 A	0.15	24.88	2013/12/03	120,000,000	2013/06/24
24839	Standard Chartered Bank	BOCL European Warrants May 2014 A	0.25	3.48 per unit	2014/05/09	60,000,000	2013/06/24
24836	Standard Chartered Bank	BOCL European Warrants Dec 2014 A	0.164	3.80 per unit	2014/12/24	100,000,000	2013/06/24
24801	Standard Chartered Bank	Galaxy Ent European Wts Mar 2014 A	0.25	51.88	2014/03/07	100,000,000	2013/06/24
24803	Standard Chartered Bank	HSBC European Warrants Nov 2013 C	0.22	90.05	2013/11/26	100,000,000	2013/06/24
24764	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jan14A	0.34	10.78 per unit	2014/01/06	50,000,000	2013/06/24
24911	Credit Suisse AG	Ch Oil & Gas European Warrants Dec 2013 B	0.25	1.78 per unit	2013/12/24	50,000,000	2013/06/25
24889	Credit Suisse AG	Galaxy Ent European Warrants Dec 2013 A	0.25	51.68	2013/12/24	70,000,000	2013/06/25
24888	Credit Suisse AG	Galaxy Ent European Put Wts Nov 2013 B	0.15	36	2013/11/25	70,000,000	2013/06/25
24958	Citigroup Global Mkt H Inc.	HSI European Wts Dec 2013 E	0.18	21,800 per 7,200 units	2013/12/30	100,000,000	2013/06/25
24933	Goldman Sachs SP (Asia)	Belle Int'l Euro Wts Mar 2014 A	0.151	12	2014/03/17	80,000,000	2013/06/25
24930	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Jan 2014 A	0.296	6 per unit	2014/01/30	80,000,000	2013/06/25
24927	Goldman Sachs SP (Asia)	A50 China European Wts Aug 2014 A	0.15	9.19	2014/08/01	120,000,000	2013/06/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
24928	Goldman Sachs SP (Asia)	SHK Ppt European Wts Jan 2014 A	0.505	108	2014/01/30	80,000,000	2013/06/25
24872	HK Bank	China Shenhua European Warrants Feb 2014 A	0.15	28	2014/02/04	100,000,000	2013/06/25
24869	HK Bank	HSCEI European Warrants Mar 2014 A	0.25	9,488 per 4,800 units	2014/03/28	200,000,000	2013/06/25
24867	HK Bank	ICBC European Warrants Oct 2013 B	0.16	5.21 per unit	2013/10/30	100,000,000	2013/06/25
24945	J P Morgan SP BV	Galaxy Ent European Warrants Dec 2013 D	0.25	49.88	2013/12/30	150,000,000	2013/06/25
24944	J P Morgan SP BV	Galaxy Ent European Put Wts Dec 2013 C	0.25	40	2013/12/30	150,000,000	2013/06/25
24934	J P Morgan SP BV	Sands China European Warrants Dec 2013 A	0.25	46.88	2013/12/30	150,000,000	2013/06/25
24914	Macquarie Bank Ltd.	PICC Group European Wts Apr 2014 A	0.25	4.50 per unit	2014/04/02	40,000,000	2013/06/25
24913	Macquarie Bank Ltd.	Sands China European Wts Jan 2014 A	0.25	49	2014/01/03	40,000,000	2013/06/25
24852	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Dec 2013 A	0.25	6 per unit	2013/12/27	40,000,000	2013/06/25
24855	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2013 B	0.25	80.93	2013/12/10	40,000,000	2013/06/25
24842	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Nov 13 B	0.152	69.85	2013/11/26	80,000,000	2013/06/25
24853	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Nov 2013 B	0.255	5.01 per unit	2013/11/27	40,000,000	2013/06/25
24845	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2013 A	0.25	66	2013/12/27	40,000,000	2013/06/25
24854	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Dec 2013 B	0.15	120.1	2013/12/19	80,000,000	2013/06/25
24892	Standard Chartered Bank	CITIC Sec European Wts Dec 2013 B	0.15	16.52	2013/12/24	100,000,000	2013/06/25
24878	Standard Chartered Bank	CNOOC European Warrants Jan 2015 A	0.16	14.38	2015/01/05	100,000,000	2013/06/25
24896	Standard Chartered Bank	HuanengPower Euro Wts Jan 2014 A	0.4	8.88 per unit	2014/01/06	60,000,000	2013/06/25
24861	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Jan 2014A	0.315	126.88	2014/01/06	50,000,000	2013/06/25
24880	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Feb14A	0.25	51.88	2014/02/05	100,000,000	2013/06/25
24863	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt May14A	0.16	11.88	2014/05/19	100,000,000	2013/06/25
24887	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Dec13B	0.435	7.80 per unit	2013/12/30	25,000,000	2013/06/25
24908	UBS AG	China Tel European Warrants Nov 2013 A	0.15	4.41 per unit	2013/11/25	70,000,000	2013/06/25
24910	UBS AG	GCL-Poly Energy European Warrants Dec 2013 A	0.28	2.08 per unit	2013/12/24	60,000,000	2013/06/25
24907	UBS AG	Galaxy Ent European Warrants Dec 2013 A	0.25	51.88	2013/12/24	80,000,000	2013/06/25
24905	UBS AG	KECL European Warrants Aug 2014 A	0.25	13	2014/08/25	100,000,000	2013/06/25
24920	UBS AG	Sands China European Warrants Dec 2013 A	0.25	50.88	2013/12/24	60,000,000	2013/06/25
24906	UBS AG	Yanzhou Coal European Wts Dec 2013 A	0.38	8.38 per unit	2013/12/24	30,000,000	2013/06/25
20013 #	Standard Chartered Bank	CNBM European Warrants Dec 2013 A	0.054	8.23	2013/12/13	200,000,000	2013/06/25
24980	BOCI Asia Ltd.	CC Bank European Warrants Dec 2013 B	0.17	6.50 per unit	2013/12/13	100,000,000	2013/06/26
24978	BOCI Asia Ltd.	Galaxy Ent European Warrants Nov 2013 B	0.28	48.88	2013/11/29	100,000,000	2013/06/26
24982	BOCI Asia Ltd.	ICBC European Warrants Nov 2013 B	0.18	5.20 per unit	2013/11/05	100,000,000	2013/06/26
24996	BNP Paribas Arbit Issu B.V.	Belle Int'l Euro Wt Jul 2015 A	0.25	12	2015/07/03	100,000,000	2013/06/26
24994	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2014 A	0.25	3.36 per unit	2014/03/04	100,000,000	2013/06/26
24987	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Jan 2014 A	0.28	10.88 per unit	2014/01/03	100,000,000	2013/06/26
24985	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Feb 2014 A	0.26	11.68 per unit	2014/02/05	100,000,000	2013/06/26
24989	BNP Paribas Arbit Issu B.V.	Huaneng Euro Wts Jan 2014 B	0.42	8.50 per unit	2014/01/03	40,000,000	2013/06/26
25059	Goldman Sachs Sp (Asia)	ICBC European Warrants Oct 2013 B	0.15	5.21 per unit	2013/10/29	80,000,000	2013/06/26
25057	Goldman Sachs SP (Asia)	PetroChina European Wts Jan 2014 A	0.274	9.50 per unit	2014/01/30	80,000,000	2013/06/26
25060	Goldman Sachs SP (Asia)	Ping An European Wts Dec 2013 A	0.25	62.28	2013/12/30	100,000,000	2013/06/26
24976	HK Bank	HSI European Warrants Dec 2013 G	0.15	21,200 per 6,500 units	2013/12/30	200,000,000	2013/06/26
24972	HK Bank	Sands China European Warrants Dec 2013 A	0.18	48.93	2013/12/24	100,000,000	2013/06/26
24970	Macquarie Bank Ltd.	CC Bank European Warrants Jan 2014 A	0.254	6.30 per unit	2014/01/03	120,000,000	2013/06/26

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25034	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Jan 2014 A	0.25	11 per unit	2014/01/03	40,000,000	2013/06/26
25033	Macquarie Bank Ltd.	Huaneng Power European Wts Jan 2014 B	0.265	9 per unit	2014/01/03	50,000,000	2013/06/26
24969	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Dec 2013 B	0.15	6.39 per unit	2013/12/13	70,000,000	2013/06/26
24968	Merrill Lynch Int'l & Co. C.V.	A50 China Eu Put Wt Oct13 B	0.219	8.99 per unit	2013/10/28	50,000,000	2013/06/26
24967	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Dec 2013 A	0.25	75	2013/12/31	40,000,000	2013/06/26
25000	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2013 F	0.15	21,100 per 8,000 units	2013/10/30	100,000,000	2013/06/26
24966	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Dec 2013 B	0.28	9.28 per unit	2013/12/27	40,000,000	2013/06/26
25001	Standard Chartered Bank	Galaxy Ent European Wts May 2014 A	0.25	58.88	2014/05/30	80,000,000	2013/06/26
25003	Standard Chartered Bank	Galaxy Ent Euro Put Wts May 2014 B	0.33	38.38	2014/05/02	80,000,000	2013/06/26
25005	Standard Chartered Bank	MGM China European Wts Jan 2014 A	0.25	23.88	2014/01/07	80,000,000	2013/06/26
25010	Standard Chartered Bank	MGM China European Wts May 2014 A	0.25	28.88	2014/05/05	80,000,000	2013/06/26
25004	Standard Chartered Bank	Sands China Eu Put Wts Apr 2014 A	0.25	33.88	2014/04/04	80,000,000	2013/06/26
25036	UBS AG	CC Bank European Warrants Dec 2013 B	0.38	5.68 per unit	2013/12/31	100,000,000	2013/06/26
25056	UBS AG	Evergrande European Warrants Oct 2013 A	0.2	3.59 per unit	2013/10/28	50,000,000	2013/06/26
25055	UBS AG	GCL-Poly Energy European Warrants Jan 2014 A	0.19	2.39 per unit	2014/01/13	60,000,000	2013/06/26
25050	UBS AG	PICC Group European Warrants Dec 2013 A	0.25	3.88 per unit	2013/12/27	60,000,000	2013/06/26
24522 #	HK Bank	HSI European Warrants Sep 2013 E	0.054	21,500 per 6,500 units	2013/09/27	200,000,000	2013/06/26
25110	BOCI Asia Ltd.	China Life European Warrants Dec 2013 B	0.18	18.88	2013/12/31	100,000,000	2013/06/27
25107	BOCI Asia Ltd.	China Mobile European Warrants Jan 2014 A	0.18	83.88	2014/01/02	100,000,000	2013/06/27
25193	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Feb 2014 B	0.25	81	2014/02/05	100,000,000	2013/06/27
25192	BNP Paribas Arbit Issu B.V.	CPIC European Wts Feb 2014 A	0.25	28	2014/02/05	100,000,000	2013/06/27
25201	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Feb 2014 A	0.25	51.5	2014/02/05	100,000,000	2013/06/27
25196	BNP Paribas Arbit Issu B.V.	ICBC European Wts Jan 2014 C	0.15	5.19 per unit	2014/01/03	100,000,000	2013/06/27
25174	BNP Paribas Arbit Issu B.V.	Zijin Mining Eu Wts Jan 2015 A	0.25	1.96 per unit	2015/01/05	65,000,000	2013/06/27
25283	Credit Suisse AG	CAM CSI300 European Warrants Dec 2013 A	0.16	30	2013/12/09	70,000,000	2013/06/27
25212	Credit Suisse AG	CC Bank European Warrants Dec 2013 A	0.16	6 per unit	2013/12/23	70,000,000	2013/06/27
25229	Credit Suisse AG	China Life European Warrants Oct 2013 B	0.15	18.9	2013/10/07	70,000,000	2013/06/27
25235	Credit Suisse AG	CNOOC European Warrants Nov 2014 A	0.15	14.7	2014/11/17	70,000,000	2013/06/27
25238	Credit Suisse AG	CSOP A50 ETF European Warrants Aug 2014 A	0.15	8.89	2014/08/01	70,000,000	2013/06/27
25241	Credit Suisse AG	HSI European Warrants Dec 2013 E	0.16	21,200 per 6,500 units	2013/12/30	200,000,000	2013/06/27
25249	Credit Suisse AG	HSI European Warrants Dec 2013 F	0.19	20,600 per 6,500 units	2013/12/30	200,000,000	2013/06/27
25253	Credit Suisse AG	HSI European Warrants Dec 2013 G	0.15	21,800 per 7,200 units	2013/12/30	200,000,000	2013/06/27
25255	Credit Suisse AG	HSI European Put Warrants Mar 2014 A	0.22	20,000 per 7,000 units	2014/03/28	200,000,000	2013/06/27
25256	Credit Suisse AG	HSI European Put Warrants Mar 2014 B	0.25	19,600 per 6,000 units	2014/03/28	200,000,000	2013/06/27
25213	Credit Suisse AG	ICBC European Warrants Nov 2013 B	0.17	5 per unit	2013/11/29	70,000,000	2013/06/27
25237	Credit Suisse AG	PICC Group European Warrants Jan 2014 A	0.2	3.99 per unit	2014/01/10	70,000,000	2013/06/27
25222	Credit Suisse AG	Tencent Holdings European Wts Nov 2013 D	0.15	328.90 per 100 units	2013/11/25	70,000,000	2013/06/27
25219	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 A	0.15	388.90 per 100 units	2014/06/30	70,000,000	2013/06/27
25169	Daiwa Capital Mkt	CCB European Warrants Dec 2013 C	0.17	5.99 per unit	2013/12/23	128,000,000	2013/06/27
25210	Daiwa Capital Mkt	HSCEI European Put Warrants Dec 2013 C	0.25	9,800 per 5,000 units	2013/12/30	100,000,000	2013/06/27
25211	Daiwa Capital Mkt	HSCEI European Put Warrants Mar 2014 A	0.25	8,800 per 3,800 units	2014/03/28	100,000,000	2013/06/27
25206	Daiwa Capital Mkt	HSI European Put Warrants Dec 2013 B	0.25	20,200 per 6,800 units	2013/12/30	200,000,000	2013/06/27
25207	Daiwa Capital Mkt	HSI European Put Warrants Dec 2013 C	0.25	19,600 per 5,400 units	2013/12/30	200,000,000	2013/06/27

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25297	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Jan 2014 A	0.706	8.80 per unit	2014/01/30	80,000,000	2013/06/27
25291	Goldman Sachs SP (Asia)	CQRC Bank European Wts Mar 2014 A	0.251	4 per unit	2014/03/31	68,000,000	2013/06/27
25287	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts Jan 2014 A	0.328	40	2014/01/30	100,000,000	2013/06/27
25296	Goldman Sachs SP (Asia)	Lenovo European Wts Mar 2014 A	0.584	7.88 per unit	2014/03/31	80,000,000	2013/06/27
25093	HK Bank	AIA European Warrants Jan 2014 A	0.25	33.98	2014/01/06	88,000,000	2013/06/27
25079	HK Bank	Minsheng Bank European Warrants Dec 2013 B	0.51	9.58 per unit	2013/12/31	60,000,000	2013/06/27
25082	HK Bank	China Mobile European Warrants Dec 2013 E	0.28	76.88	2013/12/31	88,000,000	2013/06/27
25080	HK Bank	HWL European Warrants Dec 2013 B	0.73	77.88	2013/12/31	60,000,000	2013/06/27
25078	J P Morgan SP BV	Anhui Conch European Warrants Jan 2014 A	0.15	24.88	2014/01/20	150,000,000	2013/06/27
25315	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jan 2014 B	0.25	5.50 per unit	2014/01/02	200,000,000	2013/06/27
25314	J P Morgan SP BV	China Mob European Wts Jan 2014 B	0.25	78.88	2014/01/02	200,000,000	2013/06/27
25064	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2013 B	0.25	10.50 per unit	2013/12/31	200,000,000	2013/06/27
25070	J P Morgan SP BV	CSOP A50 ETF European Warrants Dec 2013 C	0.294	10 per unit	2013/12/31	200,000,000	2013/06/27
25061	J P Morgan SP BV	A50 China European Warrants Dec 2013 A	0.25	10.50 per unit	2013/12/31	200,000,000	2013/06/27
25071	J P Morgan SP BV	A50 China European Warrants Dec 2013 B	0.366	10 per unit	2013/12/31	200,000,000	2013/06/27
25310	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jan 2014 A	0.25	9,400 per 4,000 units	2014/01/29	300,000,000	2013/06/27
25312	J P Morgan SP BV	HSCEI European Put Warrants Feb 2014 A	0.25	9,000 per 4,000 units	2014/02/27	300,000,000	2013/06/27
25074	J P Morgan SP BV	HSI European Warrants Dec 2013 F	0.25	21,200 per 6,600 units	2013/12/30	300,000,000	2013/06/27
25307	J P Morgan SP BV	HSI European Warrants Dec 2013 G	0.25	20,000 per 7,400 units	2013/12/30	500,000,000	2013/06/27
25306	J P Morgan SP BV	HSI European Warrants Jan 2014 B	0.253	20,600 per 6,800 units	2014/01/29	500,000,000	2013/06/27
25305	J P Morgan SP BV	HSI European Put Warrants Jan 2014 A	0.25	19,800 per 6,600 units	2014/01/29	500,000,000	2013/06/27
25300	J P Morgan SP BV	ICBC European Warrants Jan 2014 B	0.25	4.80 per unit	2014/01/02	200,000,000	2013/06/27
25077	J P Morgan SP BV	Yanzhou Coal European Warrants Dec 2013 A	0.25	7.88 per unit	2013/12/31	60,000,000	2013/06/27
25076	J P Morgan SP BV	Zijin Mining European Warrants Feb 2014 A	0.25	1.88 per unit	2014/02/05	80,000,000	2013/06/27
25140	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Feb 2014 B	0.25	3.50 per unit	2014/02/05	100,000,000	2013/06/27
25141	Macquarie Bank Ltd.	CITIC Bank European Wts Feb 2014 A	0.25	4.50 per unit	2014/02/05	100,000,000	2013/06/27
25159	Macquarie Bank Ltd.	ICBC European Warrants Feb 2014 A	0.26	5.10 per unit	2014/02/05	100,000,000	2013/06/27
25102	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Oct 2013 C	0.315	130.93	2013/10/17	40,000,000	2013/06/27
25097	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jan 2014 A	0.15	114.93 per 100 units	2014/01/06	80,000,000	2013/06/27
25095	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Dec 2015 A	0.15	126.73 per 100 units	2015/12/23	80,000,000	2013/06/27
25171	Standard Chartered Bank	AIA European Warrants Dec 2013 A	0.25	31.85	2013/12/09	100,000,000	2013/06/27
25113	Standard Chartered Bank	China Railway Euro Wts Dec 2013 A	0.16	4.51 per unit	2013/12/02	70,000,000	2013/06/27
25115	Standard Chartered Bank	HSI European Warrants Dec 2013 B	0.25	19,500 per 8,000 units	2013/12/30	100,000,000	2013/06/27
25165	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jan 2014 A	0.25	5.78 per unit	2014/01/06	100,000,000	2013/06/27
25173	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Dec13 A	0.42	3 per unit	2013/12/30	50,000,000	2013/06/27
25272	UBS AG	BOCL European Warrants Jan 2014 A	0.25	3.28 per unit	2014/01/13	100,000,000	2013/06/27
25281	UBS AG	BYD European Warrants Dec 2013 A	0.33	34.88	2013/12/27	80,000,000	2013/06/27
25133	UBS AG	Minsheng Bank European Warrants Dec 2014 A	0.15	8.89	2014/12/22	70,000,000	2013/06/27
25270	UBS AG	China Mobile European Warrants Dec 2013 C	0.32	76.88	2013/12/27	100,000,000	2013/06/27
25282	UBS AG	CNOOC European Warrants Dec 2014 A	0.25	13	2014/12/08	100,000,000	2013/06/27
25280	UBS AG	CSOP A50 ETF European Warrants Dec 2013 A	0.5	9.88 per unit	2013/12/27	100,000,000	2013/06/27
25284	UBS AG	A50 China European Warrants Aug 2014 B	0.15	9.17	2014/08/15	300,000,000	2013/06/27
25135	UBS AG	HSCEI European Warrants Sep 2013 D	0.15	9,000 per 6,000 units	2013/09/27	100,000,000	2013/06/27

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25116	UBS AG	HSI European Warrants Dec 2013 G	0.15	21,900 per 7,200 units	2013/12/30	300,000,000	2013/06/27
25122	UBS AG	HSI European Warrants Dec 2013 H	0.25	19,500 per 8,000 units	2013/12/30	300,000,000	2013/06/27
25257	UBS AG	HSI European Put Warrants Dec 2013 I	0.25	19,800 per 6,000 units	2013/12/30	300,000,000	2013/06/27
25275	UBS AG	ICBC European Warrants Dec 2013 B	0.28	4.88 per unit	2013/12/27	100,000,000	2013/06/27
25261	UBS AG	Ping An European Warrants Dec 2013 B	0.42	55.88	2013/12/27	100,000,000	2013/06/27
25377	BOCI Asia Ltd.	HSBC European Warrants Dec 2013 A	0.32	85.2	2013/12/16	100,000,000	2013/06/28
25376	BOCI Asia Ltd.	HSI European Warrants Dec 2013 D	0.18	20,500 per 6,500 units	2013/12/30	100,000,000	2013/06/28
25375	BOCI Asia Ltd.	HSI European Put Warrants Dec 2013 C	0.2	20,300 per 7,000 units	2013/12/30	100,000,000	2013/06/28
25385	Credit Suisse AG	Agricultural Bank European Wts Jan 2014 A	0.15	3.59 per unit	2014/01/10	70,000,000	2013/06/28
25384	Credit Suisse AG	AIA European Warrants Oct 2013 A	0.18	32.9	2013/10/21	70,000,000	2013/06/28
25423	Credit Suisse AG	BOCL European Warrants Jan 2014 A	0.25	3.28 per unit	2014/01/13	70,000,000	2013/06/28
25421	Credit Suisse AG	CC Bank European Warrants Dec 2013 B	0.31	5.78 per unit	2013/12/30	70,000,000	2013/06/28
25381	Credit Suisse AG	CSOP A50 ETF European Warrants Jul 2015 A	0.17	9.09	2015/07/06	100,000,000	2013/06/28
25386	Credit Suisse AG	HKEx European Warrants Oct 2013 C	0.35	130.9	2013/10/21	70,000,000	2013/06/28
25390	Credit Suisse AG	HKEx European Warrants Jan 2014 A	0.15	112.90 per 100 units	2014/01/27	70,000,000	2013/06/28
25422	Credit Suisse AG	ICBC European Warrants Dec 2013 A	0.34	4.78 per unit	2013/12/30	70,000,000	2013/06/28
25382	Credit Suisse AG	Link REIT European Warrants Jan 2014 A	0.18	41.9	2014/01/27	60,000,000	2013/06/28
25391	Credit Suisse AG	Ping An European Warrants Oct 2013 C	0.16	57.9	2013/10/02	70,000,000	2013/06/28
25380	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Jan 2014 B	0.25	18.8	2014/01/30	100,000,000	2013/06/28
25379	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Dec 2013 D	0.17	261.80 per 100 units	2013/12/27	100,000,000	2013/06/28
25403	Daiwa Capital Mkt	CSOP A50 ETF European Wts Dec 2013 B	0.33	10.28 per unit	2013/12/27	98,000,000	2013/06/28
25418	Daiwa Capital Mkt	CSOP A50 ETF European Put Wts Dec 2014 A	0.15	9.38	2014/12/23	98,000,000	2013/06/28
25410	Daiwa Capital Mkt	HSI European Warrants Dec 2013 D	0.17	20,600 per 6,500 units	2013/12/30	200,000,000	2013/06/28
25417	Daiwa Capital Mkt	HSI European Warrants Dec 2013 E	0.15	21,200 per 6,500 units	2013/12/30	200,000,000	2013/06/28
25326	HK Bank	HSI European Warrants Dec 2013 H	0.15	20,700 per 6,500 units	2013/12/30	200,000,000	2013/06/28
25336	J P Morgan SP BV	AIA European Warrants Jan 2014 B	0.25	32.88	2014/01/02	200,000,000	2013/06/28
25374	J P Morgan SP BV	CAM CSI300 European Warrants Mar 2014 A	0.25	31.88	2014/03/31	200,000,000	2013/06/28
25355	J P Morgan SP BV	BEA European Warrants Jul 2014 A	0.25	30	2014/07/02	50,000,000	2013/06/28
25334	J P Morgan SP BV	BOCL European Warrants Dec 2013 A	0.25	3.25 per unit	2013/12/31	200,000,000	2013/06/28
25354	J P Morgan SP BV	BYD European Warrants Jan 2014 A	0.267	35	2014/01/02	80,000,000	2013/06/28
25352	J P Morgan SP BV	Ch Overseas European Warrants Jul 2014 A	0.25	21.88	2014/07/02	180,000,000	2013/06/28
25351	J P Morgan SP BV	Chi Res Land European Warrants Feb 2014 A	0.25	21.88	2014/02/05	100,000,000	2013/06/28
25327	J P Morgan SP BV	Foxconn European Warrants Jan 2014 A	0.302	5 per unit	2014/01/02	40,000,000	2013/06/28
25348	J P Morgan SP BV	HS Bank European Warrants Jan 2014 A	0.25	126.88	2014/01/02	40,000,000	2013/06/28
25347	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Dec 2013 E	0.25	8,800 per 4,800 units	2013/12/30	200,000,000	2013/06/28
25330	J P Morgan SP BV	HSI European Warrants Dec 2013 H	0.25	19,400 per 8,600 units	2013/12/30	500,000,000	2013/06/28
25346	J P Morgan SP BV	JX Copper European Warrants Jul 2014 A	0.151	16.68	2014/07/02	100,000,000	2013/06/28
25353	J P Morgan SP BV	Lumena Newmat European Wts Jan 2014 A	0.168	1.68 per unit	2014/01/13	60,000,000	2013/06/28
25328	J P Morgan SP BV	Ping An European Warrants Dec 2013 C	0.25	57.5	2013/12/31	200,000,000	2013/06/28
25345	J P Morgan SP BV	Sands China European Warrants Jan 2014 A	0.25	43.88	2014/01/02	150,000,000	2013/06/28
25340	J P Morgan SP BV	ZTE European Warrants Jul 2014 A	0.25	12.88	2014/07/02	80,000,000	2013/06/28
25318	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Mar 2014 A	0.151	11.5	2014/03/03	100,000,000	2013/06/28
25419	Macquarie Bank Ltd.	Haitong Sec European Wts May 2014 A	0.15	11.88	2014/05/26	80,000,000	2013/06/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25357	Standard Chartered Bank	Anhui Conch Euro Wts Mar 2014 A	0.25	22.88	2014/03/05	80,000,000	2013/06/28
25369	Standard Chartered Bank	BOCL European Put Wts Feb 2014 A	0.25	3 per unit	2014/02/05	100,000,000	2013/06/28
25361	Standard Chartered Bank	BYD European Warrants Oct 2013 B	0.3	32.05	2013/10/28	80,000,000	2013/06/28
25356	Standard Chartered Bank	CC Bank European Wts Jan 2014 B	0.25	5.65 per unit	2014/01/09	100,000,000	2013/06/28
25371	Standard Chartered Bank	China Coal European Wts Jan 2014 B	0.4	4.88 per unit	2014/01/28	100,000,000	2013/06/28
25372	Standard Chartered Bank	China Life European Wts Jun 2014 B	0.3	18	2014/06/06	100,000,000	2013/06/28
25370	Standard Chartered Bank	China Life Euro Put Wts Jun 2014 A	0.25	18.18	2014/06/27	100,000,000	2013/06/28
25363	Standard Chartered Bank	ICBC European Warrants Jan 2014 A	0.26	4.80 per unit	2014/01/06	100,000,000	2013/06/28
25368	Standard Chartered Bank	ICBC European Put Wts Jan 2014 B	0.26	4.38 per unit	2014/01/06	100,000,000	2013/06/28
25320	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Dec13B	0.15	21.1	2013/12/30	100,000,000	2013/06/28
25321	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 G	0.25	19,500 per 7,300 units	2013/12/30	300,000,000	2013/06/28
25322	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 H	0.25	20,000 per 6,300 units	2013/12/30	300,000,000	2013/06/28
25323	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 13 I	0.25	20,100 per 5,600 units	2013/12/30	300,000,000	2013/06/28
25324	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Dec 13 J	0.33	22,200 per 8,000 units	2013/12/30	300,000,000	2013/06/28
25395	UBS AG	HSCEI European Put Warrants Feb 2014 A	0.25	8,800 per 4,000 units	2014/02/27	100,000,000	2013/06/28
25400	UBS AG	PetroChina European Warrants Dec 2013 B	0.25	9.38 per unit	2013/12/30	70,000,000	2013/06/28
25401	UBS AG	SPDR Gold Trust European Warrants Dec 2013 A	0.45	1,068 per 100 units	2013/12/30	100,000,000	2013/06/28
25399	UBS AG	Tencent Holdings European Warrants Dec 2013 B	0.18	308.20 per 100 units	2013/12/03	100,000,000	2013/06/28
25460	Credit Suisse AG	CSOP A50 ETF European Warrants Jun 2015 A	0.15	8.5	2015/06/29	100,000,000	2013/07/02
25462	Credit Suisse AG	A50 China European Warrants Jan 2014 A	0.36	9.78 per unit	2014/01/03	70,000,000	2013/07/02
25463	Credit Suisse AG	A50 China European Warrants Jan 2014 B	0.26	10.18 per unit	2014/01/03	70,000,000	2013/07/02
25467	Credit Suisse AG	HSCEI European Warrants Mar 2014 A	0.25	8,500 per 4,800 units	2014/03/28	80,000,000	2013/07/02
25466	Credit Suisse AG	HSI European Warrants Jan 2014 A	0.25	19,100 per 8,000 units	2014/01/29	200,000,000	2013/07/02
25465	Credit Suisse AG	Hutchison European Warrants Oct 2013 B	0.29	81.68	2013/10/16	70,000,000	2013/07/02
25461	Credit Suisse AG	Sands China European Warrants Oct 2013 B	0.15	42.93	2013/10/31	70,000,000	2013/07/02
25429	Daiwa Capital Mkt	Agricultural Bank European Wts Jan 2014 A	0.25	3.18 per unit	2014/01/02	128,000,000	2013/07/02
25430	Daiwa Capital Mkt	BOCL European Warrants Jan 2014 A	0.26	3.08 per unit	2014/01/02	128,000,000	2013/07/02
25428	Daiwa Capital Mkt	China Life European Warrants Dec 2013 A	0.19	18.3	2013/12/23	168,000,000	2013/07/02
25476	Goldman Sachs SP (Asia)	CC Bank European Wts Jan 2014 B	0.333	5.38 per unit	2014/01/29	80,000,000	2013/07/02
25478	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2013 B	0.152	18.3	2013/12/30	120,000,000	2013/07/02
25475	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2014 A	0.251	19,700 per 7,000 units	2014/01/29	150,000,000	2013/07/02
25447	J P Morgan SP BV	Sinopec Corp European Warrants Jan 2014 A	0.25	6 per unit	2014/01/02	200,000,000	2013/07/02
25446	J P Morgan SP BV	China Shenhua European Wts Feb 2014 B	0.25	22.88	2014/02/28	200,000,000	2013/07/02
25445	J P Morgan SP BV	HKEx European Warrants Jan 2014 A	0.339	130	2014/01/02	100,000,000	2013/07/02
25441	J P Morgan SP BV	HSBC European Warrants Jan 2014 A	0.261	82.88	2014/01/02	200,000,000	2013/07/02
25442	J P Morgan SP BV	HSBC European Warrants Jan 2014 B	0.25	85	2014/01/02	200,000,000	2013/07/02
25444	J P Morgan SP BV	KECL European Warrants Jan 2014 A	0.25	14.68 per unit	2014/01/02	50,000,000	2013/07/02
25424	Macquarie Bank Ltd.	Belle Int'l European Wts Oct 2014 A	0.25	11.5	2014/10/06	50,000,000	2013/07/02
25455	Macquarie Bank Ltd.	BankComm European Warrants Mar 2014 A	0.15	6.50 per unit	2014/03/04	70,000,000	2013/07/02
25456	Macquarie Bank Ltd.	CM Bank European Warrants Sep 2014 A	0.15	15	2014/09/02	80,000,000	2013/07/02
25457	Macquarie Bank Ltd.	A50 China European Warrants Jan 2014 C	0.25	10.50 per unit	2014/01/03	40,000,000	2013/07/02
25458	Macquarie Bank Ltd.	Galaxy Ent European Wts Nov 2013 B	0.2	42.88	2013/11/04	50,000,000	2013/07/02
25459	Macquarie Bank Ltd.	MTRC European Warrants Nov 2014 A	0.25	31	2014/11/04	100,000,000	2013/07/02

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25438	Standard Chartered Bank	BOC HK European Wts Dec 2013 A	0.2	23.05	2013/12/24	80,000,000	2013/07/02
25433	Standard Chartered Bank	Minsheng Bank Euro Wts Mar 2014 A	0.4	11.18 per unit	2014/03/03	50,000,000	2013/07/02
25440	Standard Chartered Bank	China Overseas Euro Wt Dec 2013 A	0.15	21.12	2013/12/24	100,000,000	2013/07/02
25431	Standard Chartered Bank	Sands China Euro Wts May 2014 A	0.48	38.88	2014/05/30	100,000,000	2013/07/02
25432	Standard Chartered Bank	Sands China Eu Put Wts Jul 2014 A	0.44	33.88	2014/07/03	100,000,000	2013/07/02
25435	Standard Chartered Bank	Tencent Hldg Eu Put Wts Dec 2013 D	0.15	261.80 per 100 units	2013/12/24	100,000,000	2013/07/02
25427	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Jan 2014 A	0.15	3.59 per unit	2014/01/06	100,000,000	2013/07/02
25453	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec2013 B	0.175	18.02	2013/12/23	120,000,000	2013/07/02
25449	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jan14A	0.355	3.60 per unit	2014/01/06	50,000,000	2013/07/02
25425	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Dec 2013 B	0.17	90	2013/12/02	120,000,000	2013/07/02
25450	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Dec 2013 B	0.165	5 per unit	2013/12/02	100,000,000	2013/07/02
25452	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Dec 13 B	0.25	58	2013/12/09	100,000,000	2013/07/02
25470	UBS AG	BYD European Warrants Oct 2013 A	0.24	30.05	2013/10/28	80,000,000	2013/07/02
25483	UBS AG	CC Bank European Warrants Jan 2014 A	0.4	5.28 per unit	2014/01/09	100,000,000	2013/07/02
25471	UBS AG	China Mobile European Warrants Dec 2013 D	0.15	84.73	2013/12/02	100,000,000	2013/07/02
25473	UBS AG	CSOP A50 ETF European Warrants Jan 2014 A	0.5	9.28 per unit	2014/01/09	100,000,000	2013/07/02
25472	UBS AG	CSOP A50 ETF European Warrants Jun 2015 A	0.15	8.51	2015/06/24	300,000,000	2013/07/02
25474	UBS AG	A50 China European Warrants Jan 2014 A	0.4	9.58 per unit	2014/01/09	100,000,000	2013/07/02
25469	UBS AG	HSCEI European Warrants Jan 2014 A	0.25	8,800 per 4,500 units	2014/01/29	100,000,000	2013/07/02
25486	UBS AG	HSI European Warrants Dec 2013 J	0.15	21,100 per 6,500 units	2013/12/30	300,000,000	2013/07/02
25485	UBS AG	HSI European Put Warrants Jan 2014 A	0.25	19,000 per 6,000 units	2014/01/29	300,000,000	2013/07/02
25479	UBS AG	Sands China European Warrants Jan 2014 A	0.3	38.88	2014/01/09	60,000,000	2013/07/02
21970 #	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Apr 2014 A	0.041	10.02	2014/04/29	250,000,000	2013/07/02
25570	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Jan 2014 B	0.25	21.8	2014/01/03	100,000,000	2013/07/03
25549	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Jan 2014 B	0.36	4.60 per unit	2014/01/03	60,000,000	2013/07/03
25550	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Jan 2014 B	0.31	3.60 per unit	2014/01/03	60,000,000	2013/07/03
25547	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Apr 2014 A	0.25	13.8	2014/04/02	100,000,000	2013/07/03
25554	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Jan 2014 A	0.29	5.50 per unit	2014/01/03	100,000,000	2013/07/03
25552	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jan 2016 A	0.16	126.70 per 100 units	2016/01/05	100,000,000	2013/07/03
25517	BNP Paribas Arbit Issu B.V.	Hang Lung Ppt Eu Wt Dec 2014 A	0.25	31.8	2014/12/02	100,000,000	2013/07/03
25557	BNP Paribas Arbit Issu B.V.	HSBC European Wts Jan 2014 C	0.28	85	2014/01/03	100,000,000	2013/07/03
25513	BNP Paribas Arbit Issu B.V.	Jiangxi Copper Eu Wt Nov 2014A	0.21	16.9	2014/11/03	100,000,000	2013/07/03
25555	BNP Paribas Arbit Issu B.V.	KECL European Wts Sep 2014 A	0.15	12.98	2014/09/01	100,000,000	2013/07/03
25515	BNP Paribas Arbit Issu B.V.	Luk Fook Hold Eu Wt Nov 2014 A	0.28	22	2014/11/04	36,000,000	2013/07/03
25556	BNP Paribas Arbit Issu B.V.	New World Dev Eu Wt Oct 2014 A	0.17	9.99	2014/10/06	100,000,000	2013/07/03
25551	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Jan 2014 C	0.32	8.80 per unit	2014/01/03	100,000,000	2013/07/03
25548	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jan 2014 B	0.25	57	2014/01/03	100,000,000	2013/07/03
25509	BNP Paribas Arbit Issu B.V.	Yanzhou Coal Eur Wt Jan 2014 A	0.28	8.50 per unit	2014/01/03	43,000,000	2013/07/03
25523	Daiwa Capital Mkt	AIA European Warrants Jan 2014 A	0.28	30.88	2014/01/02	108,000,000	2013/07/03
25522	Daiwa Capital Mkt	BOC HK European Warrants Apr 2014 A	0.25	23.88	2014/04/25	128,000,000	2013/07/03
25524	Daiwa Capital Mkt	BOCL European Put Warrants Jan 2014 B	0.25	2.90 per unit	2014/01/08	128,000,000	2013/07/03
25525	Daiwa Capital Mkt	HSI European Put Warrants Jan 2014 A	0.25	18,600 per 5,600 units	2014/01/29	200,000,000	2013/07/03
25529	Daiwa Capital Mkt	HSI European Put Warrants Jan 2014 B	0.28	21,200 per 10,000 units	2014/01/29	200,000,000	2013/07/03

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25567	Goldman Sachs SP (Asia)	AIA European Warrants Mar 2014 A	0.25	33.88	2014/03/31	100,000,000	2013/07/03
25565	Goldman Sachs SP (Asia)	HKEx European Warrants Dec 2015 A	0.15	126.68 per 100 units	2015/12/24	120,000,000	2013/07/03
25562	Goldman Sachs Sp (Asia)	ICBC European Warrants Jan 2014 A	0.25	4.80 per unit	2014/01/29	80,000,000	2013/07/03
25566	Goldman Sachs SP (Asia)	Ping An European Wts Jan 2014 A	0.253	58	2014/01/24	80,000,000	2013/07/03
25508	J P Morgan SP BV	BOCL European Warrants Jan 2014 A	0.25	3.25 per unit	2014/01/02	200,000,000	2013/07/03
25507	J P Morgan SP BV	BYD European Warrants Jan 2014 B	0.25	30	2014/01/02	60,000,000	2013/07/03
25505	J P Morgan SP BV	China EB Int'l European Wts Mar 2014 A	0.311	6.50 per unit	2014/03/03	50,000,000	2013/07/03
25506	J P Morgan SP BV	CITIC Bank European Warrants Jul 2014 A	0.263	4 per unit	2014/07/02	100,000,000	2013/07/03
25561	J P Morgan SP BV	China Life European Warrants Jan 2014 C	0.25	17	2014/01/02	300,000,000	2013/07/03
25504	J P Morgan SP BV	CPIC European Warrants Jan 2014 A	0.25	25.88	2014/01/02	100,000,000	2013/07/03
25501	J P Morgan SP BV	China Tel European Warrants Jan 2014 A	0.292	3.90 per unit	2014/01/02	100,000,000	2013/07/03
25498	J P Morgan SP BV	HSI European Put Warrants Feb 2014 A	0.25	19,000 per 6,400 units	2014/02/27	500,000,000	2013/07/03
25496	J P Morgan SP BV	Sands China European Warrants Jan 2014 B	0.25	40	2014/01/02	200,000,000	2013/07/03
25494	J P Morgan SP BV	SJM Holdings European Warrants Feb 2014 A	0.25	20	2014/02/05	100,000,000	2013/07/03
25493	J P Morgan SP BV	Zoomlion European Warrants Mar 2014 A	0.275	6.50 per unit	2014/03/03	50,000,000	2013/07/03
25491	Macquarie Bank Ltd.	CAM CSI300 European Wts Apr 2014 A	0.25	30	2014/04/02	50,000,000	2013/07/03
25536	Macquarie Bank Ltd.	Ping An European Warrants Feb 2014 A	0.25	60	2014/02/04	40,000,000	2013/07/03
25521	Standard Chartered Bank	Chi Res Power Euro Wts Feb 2014 A	0.25	18.88	2014/02/05	80,000,000	2013/07/03
25520	Standard Chartered Bank	Datang Power Euro Wts Aug 2014 A	0.3	3.38 per unit	2014/08/08	50,000,000	2013/07/03
25519	Standard Chartered Bank	Galaxy Ent European Wts Jun 2014 A	0.4	44.88	2014/06/30	100,000,000	2013/07/03
25530	Standard Chartered Bank	HSI European Put Wts May 2014 A	0.15	19,400 per 13,000 units	2014/05/29	150,000,000	2013/07/03
25487	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jan14A	0.25	27.38	2014/01/06	300,000,000	2013/07/03
25490	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Feb14A	0.33	26.08	2014/02/05	300,000,000	2013/07/03
25532	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Dec13B	0.405	37.5	2013/12/30	100,000,000	2013/07/03
25518	UBS AG	CAM CSI300 European Warrants Jan 2014 A	0.25	28.88	2014/01/09	200,000,000	2013/07/03
25545	UBS AG	BYD European Warrants Jan 2014 A	0.3	26.88	2014/01/09	80,000,000	2013/07/03
25537	UBS AG	China Unicom European Warrants Dec 2014 A	0.165	10.1	2014/12/22	100,000,000	2013/07/03
25541	UBS AG	A50 China European Warrants Aug 2016 B	0.16	8.49	2016/08/01	300,000,000	2013/07/03
25544	UBS AG	HSBC European Warrants Dec 2013 A	0.295	85.25	2013/12/16	100,000,000	2013/07/03
25538	UBS AG	Hutchison European Warrants Dec 2013 A	0.395	83.93	2013/12/23	200,000,000	2013/07/03
25627	BNP Paribas Arbit Issu B.V.	CNBM European Wts Jan 2014 A	0.46	8.99 per unit	2014/01/03	75,000,000	2013/07/04
25633	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Jan 2014 B	0.34	3.99 per unit	2014/01/03	85,000,000	2013/07/04
25632	BNP Paribas Arbit Issu B.V.	HKEx Euro Put Wts Jan 2015 B	0.25	113 per 100 units	2015/01/05	100,000,000	2013/07/04
25629	BNP Paribas Arbit Issu B.V.	Link Reit Eu Put Wt Jan 2014 A	0.25	34.5	2014/01/03	100,000,000	2013/07/04
25625	BNP Paribas Arbit Issu B.V.	PICC Group Euro Wts Jan 2014 B	0.36	3.60 per unit	2014/01/03	80,000,000	2013/07/04
25620	Credit Suisse AG	China EB Int'l European Wts Jan 2014 A	0.45	6.68 per unit	2014/01/03	70,000,000	2013/07/04
25619	Credit Suisse AG	CNBM European Warrants Jan 2014 A	0.5	8.38 per unit	2014/01/03	70,000,000	2013/07/04
25602	Credit Suisse AG	CSOP A50 ETF European Warrants Oct 2013 B	0.15	10 per unit	2013/10/16	80,000,000	2013/07/04
25604	Credit Suisse AG	CSOP A50 ETF European Warrants Jan 2014 A	0.35	9.68 per unit	2014/01/03	80,000,000	2013/07/04
25617	Credit Suisse AG	China Shenhua European Wts Jan 2014 B	0.25	23.38	2014/01/27	70,000,000	2013/07/04
25621	Credit Suisse AG	Geely Auto European Warrants Jan 2014 A	0.26	3.98 per unit	2014/01/03	70,000,000	2013/07/04
25616	Credit Suisse AG	Galaxy Ent European Warrants Nov 2013 C	0.21	42.9	2013/11/01	70,000,000	2013/07/04
25606	Credit Suisse AG	HSCEI European Warrants Jan 2014 A	0.25	8,800 per 4,500 units	2014/01/29	70,000,000	2013/07/04

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25611	Credit Suisse AG	HSCEI European Warrants Mar 2014 B	0.15	9,500 per 4,800 units	2014/03/28	70,000,000	2013/07/04
25615	Credit Suisse AG	KECL European Warrants Aug 2014 A	0.19	13	2014/08/18	70,000,000	2013/07/04
25618	Credit Suisse AG	Yanzhou Coal European Warrants Jan 2014 A	0.28	7.88 per unit	2014/01/03	70,000,000	2013/07/04
25575	Citigroup Global Mkt H Inc.	A50 China Euro Wts Jan 2014 A	0.5	9.20 per unit	2014/01/30	100,000,000	2013/07/04
25574	Citigroup Global Mkt H Inc.	A50 China Euro Wts Feb 2014 B	0.34	10 per unit	2014/02/27	100,000,000	2013/07/04
25622	Daiwa Capital Mkt	CCB European Put Warrants Jan 2014 A	0.25	4.80 per unit	2014/01/03	80,000,000	2013/07/04
25623	Daiwa Capital Mkt	China Life European Put Wts Mar 2014 A	0.25	18.18	2014/03/18	80,000,000	2013/07/04
25624	Daiwa Capital Mkt	ICBC European Put Warrants Jan 2014 A	0.25	4.18 per unit	2014/01/15	80,000,000	2013/07/04
25591	Bank of East Asia	China Life European Warrants Dec 2014 A	0.25	20	2014/12/19	60,000,000	2013/07/04
25587	Bank of East Asia	CSOP A50 ETF European Wts Jun 2015 A	0.15	8.5	2015/06/29	90,000,000	2013/07/04
25592	Bank of East Asia	New World Dev European Wts Oct 2014 A	0.19	10.02	2014/10/06	60,000,000	2013/07/04
25636	Goldman Sachs SP (Asia)	Agri Bk European Wts Jan 2014 A	0.15	3.59 per unit	2014/01/06	80,000,000	2013/07/04
25637	Goldman Sachs SP (Asia)	Ch Overseas European Wts Aug 2014 A	0.25	22	2014/08/29	100,000,000	2013/07/04
25638	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jan 2014 A	0.285	9.90 per unit	2014/01/30	80,000,000	2013/07/04
25635	Goldman Sachs SP (Asia)	China Tel European Wts Feb 2014 A	0.289	3.88 per unit	2014/02/28	80,000,000	2013/07/04
25634	Goldman Sachs SP (Asia)	Tencent European Wt Feb 2014 A	0.277	300 per 100 units	2014/02/28	100,000,000	2013/07/04
25584	Macquarie Bank Ltd.	Anhui Conch European Wts Feb 2014 A	0.15	24.88	2014/02/05	100,000,000	2013/07/04
25583	Macquarie Bank Ltd.	Chi Res Power European Wts Apr 2014 A	0.25	20	2014/04/02	100,000,000	2013/07/04
25586	Macquarie Bank Ltd.	SJM Holdings European Wts Oct 2014 A	0.25	23	2014/10/06	40,000,000	2013/07/04
25582	Macquarie Bank Ltd.	ZTE European Warrants Mar 2015 A	0.25	15	2015/03/03	100,000,000	2013/07/04
25581	Standard Chartered Bank	KECL European Warrants Aug 2014 A	0.15	13	2014/08/18	80,000,000	2013/07/04
25580	Standard Chartered Bank	Ping An European Wts Jan 2014 A	0.3	53.88	2014/01/06	100,000,000	2013/07/04
25577	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Dec13A	0.23	40.8	2013/12/23	100,000,000	2013/07/04
25599	UBS AG	Cheung Kong European Warrants Dec 2013 C	0.21	126.98	2013/12/27	50,000,000	2013/07/04
25594	UBS AG	HKEx European Warrants Dec 2015 A	0.15	126.78 per 100 units	2015/12/23	300,000,000	2013/07/04
25595	UBS AG	KECL European Warrants Dec 2013 A	0.37	15.40 per unit	2013/12/13	50,000,000	2013/07/04
25600	UBS AG	Ping An European Warrants Dec 2013 C	0.15	63.93	2013/12/11	100,000,000	2013/07/04
25598	UBS AG	Yurun Food European Warrants Dec 2013 A	0.415	5.89 per unit	2013/12/24	50,000,000	2013/07/04
25706	Credit Suisse AG	CC Bank European Warrants Dec 2013 C	0.16	6.31 per unit	2013/12/24	70,000,000	2013/07/05
25708	Credit Suisse AG	ICBC European Warrants Oct 2013 C	0.16	5.21 per unit	2013/10/29	70,000,000	2013/07/05
25709	Credit Suisse AG	Lenovo European Warrants Nov 2013 A	0.42	7.89 per unit	2013/11/26	70,000,000	2013/07/05
25710	Credit Suisse	SPDR Gold Trust European Warrants Jan 2014 A	0.32	1,078 per 100 units	2014/01/06	70,000,000	2013/07/05
25712	Credit Suisse AG	SPDR Gold Trust Euro Put Wts Jan 2014 B	0.28	800 per 100 units	2014/01/06	70,000,000	2013/07/05
25689	Daiwa Capital Mkt	HSCEI European Warrants Mar 2014 B	0.25	8,500 per 6,200 units	2014/03/28	100,000,000	2013/07/05
25690	Daiwa Capital Mkt	HSCEI European Warrants Mar 2014 C	0.18	9,500 per 4,800 units	2014/03/28	100,000,000	2013/07/05
25691	Daiwa Capital Mkt	HSI European Warrants Jan 2014 C	0.25	18,800 per 11,500 units	2014/01/29	200,000,000	2013/07/05
25692	Daiwa Capital Mkt	HSI European Warrants Jan 2014 D	0.25	19,800 per 9,000 units	2014/01/29	200,000,000	2013/07/05
25693	Daiwa Capital Mkt	ICBC European Warrants Feb 2014 A	0.25	5.48 per unit	2014/02/12	128,000,000	2013/07/05
25718	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Aug 2014 A	0.151	10.89	2014/08/28	100,000,000	2013/07/05
25713	Goldman Sachs SP (Asia)	New World Dev Euro Wts Mar 2014 A	0.588	12 per unit	2014/03/31	68,000,000	2013/07/05
25714	Goldman Sachs SP (Asia)	Sinopharm European Wts Feb 2014 A	0.157	22.9	2014/02/28	100,000,000	2013/07/05
25717	Goldman Sachs SP (Asia)	Shimao Ppt European Wts Dec 2014 A	0.251	16.08	2014/12/30	100,000,000	2013/07/05
25654	J P Morgan SP BV	CAM CSI300 European Warrants Feb 2014 A	0.25	30	2014/02/17	200,000,000	2013/07/05

Newly listed derivative warrants *(continued)*

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25664	J P Morgan SP BV	CC Bank Euro Put Wts Jan 2014 C	0.432	5.50 per unit	2014/01/06	200,000,000	2013/07/05
25662	J P Morgan SP BV	China Life European Put Wts Mar 2014 A	0.25	18	2014/03/03	200,000,000	2013/07/05
25659	J P Morgan SP BV	China Mob European Put Wts Jan 2014 C	0.343	75	2014/01/06	200,000,000	2013/07/05
25655	J P Morgan SP BV	China Railway European Wts Jan 2014 A	0.25	4 per unit	2014/01/06	50,000,000	2013/07/05
25651	J P Morgan SP BV	A50 China European Put Wts Jan 2014 A	0.644	8.50 per unit	2014/01/06	200,000,000	2013/07/05
25653	J P Morgan SP BV	Huaneng Power European Wts Dec 2013 A	0.311	8.50 per unit	2013/12/31	50,000,000	2013/07/05
25652	J P Morgan SP BV	ICBC European Put Wts Jan 2014 C	0.25	4.50 per unit	2014/01/06	200,000,000	2013/07/05
25650	J P Morgan SP BV	Ping An European Put Warrants Jan 2014 A	0.343	50	2014/01/06	200,000,000	2013/07/05
25645	J P Morgan SP BV	Zhaojin Mining European Wts Jan 2014 A	0.297	6 per unit	2014/01/06	40,000,000	2013/07/05
25695	Macquarie Bank Ltd.	Ch Comm Cons European Wts Feb 2014 A	0.25	7.88 per unit	2014/02/05	60,000,000	2013/07/05
25698	Macquarie Bank Ltd.	Ch Oil & Gas European Warrants Mar14 A	0.25	1.88 per unit	2014/03/04	50,000,000	2013/07/05
25694	Macquarie Bank Ltd.	China Railway European Wts Dec 2013 A	0.15	4.50 per unit	2013/12/02	70,000,000	2013/07/05
25702	Macquarie Bank Ltd.	SUNAC European Warrants Jan 2014 A	0.273	6.28 per unit	2014/01/06	60,000,000	2013/07/05
25671	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jan 2014 A	0.229	7.88 per unit	2014/01/03	50,000,000	2013/07/05
25675	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Dec 2013 B	0.33	5.69 per unit	2013/12/20	40,000,000	2013/07/05
25673	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Dec 2013 A	0.158	18.28	2013/12/30	70,000,000	2013/07/05
25682	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Dec 2014 A	0.15	13.02	2014/12/01	70,000,000	2013/07/05
25686	Merrill Lynch Int'l & Co. C.V.	Ch Shenhua Eu Wt Apr 2014 A	0.25	23.5	2014/04/29	40,000,000	2013/07/05
25643	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Oct 2013 G	0.15	20,600 per 7,000 units	2013/10/30	100,000,000	2013/07/05
25642	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2013 F	0.158	20,700 per 6,500 units	2013/12/30	100,000,000	2013/07/05
25639	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Dec 2013 E	0.192	19,750 per 6,000 units	2013/12/30	100,000,000	2013/07/05
25684	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Dec 2013 C	0.305	4.81 per unit	2013/12/23	40,000,000	2013/07/05
25677	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Nov 13 C	0.15	270 per 100 units	2013/11/26	70,000,000	2013/07/05
25679	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Dec 13 D	0.15	261.80 per 100 units	2013/12/24	70,000,000	2013/07/05
25688	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan 14 A	0.15	88.88	2014/01/08	150,000,000	2013/07/05
25669	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Dec 2013 A	0.185	93.9	2013/12/02	100,000,000	2013/07/05
25700	UBS AG	AIA European Warrants Jan 2014 B	0.25	33.68	2014/01/07	100,000,000	2013/07/05
25701	UBS AG	CC Bank European Warrants Dec 2013 C	0.15	6.51 per unit	2013/12/06	100,000,000	2013/07/05
89011	UBS AG	CSOP A50 ETF European Warrants Jan 2014 A	0.631	7.58 per unit	2014/01/09	20,000,000	2013/07/05
25699	UBS AG	HSCEI European Warrants Jan 2014 B	0.21	9,400 per 4,000 units	2014/01/29	100,000,000	2013/07/05
25776	Daiwa Capital Mkt	Sinopec Corp European Wts Dec 2013 A	0.15	6.20 per unit	2013/12/20	80,000,000	2013/07/08
25777	Daiwa Capital Mkt	Sinopec Corp European Put Wts Jan 2014 A	0.3	5 per unit	2014/01/08	68,000,000	2013/07/08
25775	Daiwa Capital Mkt	HSBC European Warrants Dec 2013 B	0.35	82.8	2013/12/02	128,000,000	2013/07/08
25768	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Jul 2014 A	0.253	22.88	2014/07/28	100,000,000	2013/07/08
25770	Goldman Sachs SP (Asia)	HSI European Warrants Dec 2013 E	0.15	21,200 per 6,500 units	2013/12/30	150,000,000	2013/07/08
25771	Goldman Sachs SP (Asia)	HSI European Warrants Sep 2014 A	0.15	22,100 per 8,000 units	2014/09/29	150,000,000	2013/07/08
25769	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2014 A	0.25	20,400 per 7,000 units	2014/02/27	150,000,000	2013/07/08
25766	Goldman Sachs SP (Asia)	Yanzhou Coal Euro Wts Mar 2014 C	0.563	6.28 per unit	2014/03/31	68,000,000	2013/07/08
25781	Macquarie Bank Ltd.	Sands China European Wts Nov 2013 B	0.198	42.88	2013/11/04	60,000,000	2013/07/08
25740	Nomura Int'l plc	Angang Steel European Warrants Jan 2014 A	0.33	4.80 per unit	2014/01/08	50,000,000	2013/07/08
25756	Nomura Int'l plc	AviChina European Warrants Jan 2014 A	0.26	5.20 per unit	2014/01/08	80,000,000	2013/07/08
25737	Nomura Int'l plc	China EB Int'l European Wts Jan 2014 A	0.43	6.88 per unit	2014/01/08	50,000,000	2013/07/08
25734	Nomura Int'l plc	China EB Int'l European Wts Apr 2014 A	0.38	7.88 per unit	2014/04/02	50,000,000	2013/07/08

Newly listed derivative warrants *(continued)*

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25738	Nomura Int'l plc	CITIC P European Warrants Oct 2014 A	0.15	8.08	2014/10/28	80,000,000	2013/07/08
25761	Nomura Int'l plc	CITIC Sec European Warrants May 2014 A	0.255	13.98	2014/05/05	80,000,000	2013/07/08
25728	Nomura Int'l plc	China Mer Hold European Wts Apr 2014 A	0.251	27	2014/04/02	80,000,000	2013/07/08
25752	Nomura Int'l plc	CNOOC European Warrants Dec 2014 A	0.15	14.42	2014/12/24	80,000,000	2013/07/08
25742	Nomura Int'l plc	Sinopec European Warrants Dec 2013 A	0.151	6.21 per unit	2013/12/18	80,000,000	2013/07/08
25745	Nomura Int'l plc	Sinopec European Warrants Jan 2014 A	0.376	5.48 per unit	2014/01/08	50,000,000	2013/07/08
25753	Nomura Int'l plc	China Shenhua European Wts Jan 2014 A	0.261	20.8	2014/01/08	50,000,000	2013/07/08
25754	Nomura Int'l plc	China Shenhua European Wts Mar 2014 A	0.251	22.88	2014/03/31	50,000,000	2013/07/08
25760	Nomura Int'l plc	Evergrande European Warrants Jan 2014 A	0.32	3.28 per unit	2014/01/08	50,000,000	2013/07/08
25726	Nomura Int'l plc	Henderson Land Dev Euro Wts Jan 2014 A	0.258	52	2014/01/08	50,000,000	2013/07/08
25722	Nomura Int'l plc	HSBC European Warrants Nov 2013 A	0.155	90	2013/11/26	80,000,000	2013/07/08
25723	Nomura Int'l plc	HSBC European Warrants Mar 2014 A	0.445	82.88	2014/03/28	50,000,000	2013/07/08
25774	Nomura Int'l plc	HSBC European Warrants Apr 2016 A	0.15	78 per 100 units	2016/04/01	100,000,000	2013/07/08
25727	Nomura Int'l plc	Hutchison European Warrants Jan 2014 A	0.427	84.88	2014/01/08	50,000,000	2013/07/08
25741	Nomura Int'l plc	JIANC European Warrants Feb 2014 A	0.15	14.368	2014/02/18	80,000,000	2013/07/08
25733	Nomura Int'l plc	Sa Sa Int'l European Warrants Dec 2014 A	0.218	8.89	2014/12/24	80,000,000	2013/07/08
25731	Nomura Int'l plc	Shenzhen Int'l European Wts Oct 2014 A	0.25	1.08 per unit	2014/10/03	80,000,000	2013/07/08
25749	Nomura Int'l plc	Tencent Holdings Euro Warrants Jan 2014 A	0.251	308.80 per 100 units	2014/01/08	80,000,000	2013/07/08
25747	Nomura Int'l plc	Tencent Holdings Euro Warrants Feb 2014 A	0.175	330.20 per 100 units	2014/02/12	100,000,000	2013/07/08
25757	Nomura Int'l plc	Zijin Mining European Warrants Mar 2014 A	0.254	1.48 per unit	2014/03/31	50,000,000	2013/07/08
25751	Nomura Int'l plc	ZTE European Warrants Jul 2014 A	0.252	13.88	2014/07/02	80,000,000	2013/07/08
25765	Standard Chartered Bank	CSOP A50 ETF Euro Wts Mar 2014 A	0.365	10 per unit	2014/03/31	50,000,000	2013/07/08
25762	Standard Chartered Bank	China Shenhua Euro Wts Feb 2014 A	0.25	20.88	2014/02/06	100,000,000	2013/07/08
25764	Standard Chartered Bank	A50 China Euro Put Wts Mar 2014 A	0.25	7 per unit	2014/03/31	50,000,000	2013/07/08
25763	Standard Chartered Bank	Zijin Mining Euro Wts Feb 2014 A	0.25	1.38 per unit	2014/02/05	80,000,000	2013/07/08
25780	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Dec 2013 B	0.25	3.25 per unit	2013/12/30	100,000,000	2013/07/08
25720	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Mar 14 A	0.27	4 per unit	2014/03/03	50,000,000	2013/07/08
25721	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt Jan14A	0.38	6 per unit	2014/01/08	30,000,000	2013/07/08
10221	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Dec13 B	0.18	15,200 per 360 units	2013/12/13	100,000,000	2013/07/09
25800	Macquarie Bank Ltd.	Agile Ppt European Warrants Aug 2014 A	0.15	11.66	2014/08/04	100,000,000	2013/07/09
25782	Macquarie Bank Ltd.	China Shenhua European Wts Mar 2014 A	0.164	22.88	2014/03/04	100,000,000	2013/07/09
25801	Macquarie Bank Ltd.	Evergrande European Wts Jan 2014 A	0.15	3.50 per unit	2014/01/03	100,000,000	2013/07/09
25794	Macquarie Bank Ltd.	Hang Seng Bank European Wts Jan 2014 A	0.15	126.88	2014/01/03	100,000,000	2013/07/09
25785	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Dec2013 E	0.244	9,600 per 3,900 units	2013/12/30	100,000,000	2013/07/09
25784	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Feb2014 A	0.184	9,000 per 4,000 units	2014/02/27	100,000,000	2013/07/09
25783	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Dec 2013 B	0.25	57.55	2013/12/20	40,000,000	2013/07/09
25790	Standard Chartered Bank	CAM CSI300 European Wts Mar 2014 A	0.168	31.88	2014/03/24	100,000,000	2013/07/09
25786	Standard Chartered Bank	A50 China Euro Warrants Dec 2015 A	0.25	7	2015/12/02	100,000,000	2013/07/09
25791	Standard Chartered Bank	SPDR Gold Trust Euro Wt Mar 2014 A	0.25	1,188 per 100 units	2014/03/28	50,000,000	2013/07/09
25798	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Feb 14 B	0.15	22.88	2014/02/24	100,000,000	2013/07/09
25797	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Feb2014 A	0.15	14.36	2014/02/24	100,000,000	2013/07/09
25796	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Jan14A	0.245	18.8	2014/01/27	80,000,000	2013/07/09
25803	UBS AG	CAM CSI300 European Warrants Dec 2013 A	0.15	30.93	2013/12/19	100,000,000	2013/07/09

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25804	UBS AG	China Life European Warrants Dec 2013 B	0.15	18.9	2013/12/31	100,000,000	2013/07/09
25802	UBS AG	China Shenhua European Warrants Feb 2014 A	0.165	22.93	2014/02/21	80,000,000	2013/07/09
25805	UBS AG	Galaxy Ent European Warrants Nov 2013 E	0.365	38.93	2013/11/27	80,000,000	2013/07/09
25807	BOCI Asia Ltd.	Agricultural Bk European Warrants Jan 2014A	0.15	3.58 per unit	2014/01/09	100,000,000	2013/07/10
25806	BOCI Asia Ltd.	CC Bank European Warrants Jan 2014 A	0.25	6 per unit	2014/01/24	100,000,000	2013/07/10
25808	BOCI Asia Ltd.	China Shenhua European Warrants Feb 2014 A	0.17	22.88	2014/02/24	100,000,000	2013/07/10
89012	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Jan 2016 A	0.253	6.22	2016/01/05	78,000,000	2013/07/10
25830	Credit Suisse AG	China Mobile European Warrants Oct 2013 D	0.15	84.3	2013/10/28	70,000,000	2013/07/10
25823	Credit Suisse AG	HSI European Put Warrants Jan 2014 B	0.18	18,600 per 5,600 units	2014/01/29	200,000,000	2013/07/10
25822	Credit Suisse AG	HSI European Put Warrants Feb 2014 A	0.2	19,000 per 6,400 units	2014/02/27	200,000,000	2013/07/10
25831	Credit Suisse AG	Ping An European Warrants Dec 2013 A	0.23	55.9	2013/12/18	70,000,000	2013/07/10
25839	Daiwa Capital Mkt	AIA European Warrants Jan 2014 B	0.25	33.68	2014/01/20	128,000,000	2013/07/10
25832	Daiwa Capital Mkt	CNOOC European Warrants Dec 2014 A	0.17	12.98	2014/12/01	128,000,000	2013/07/10
25840	Daiwa Capital Mkt	PetroChina European Warrants Jan 2014 A	0.28	10.28 per unit	2014/01/02	98,000,000	2013/07/10
25833	Daiwa Capital Mkt	PetroChina European Put Wts Jul 2014 A	0.25	10.18	2014/07/02	80,000,000	2013/07/10
25847	Goldman Sachs SP (Asia)	HSCEI European Warrants Dec 2013 C	0.161	8,838 per 4,800 units	2013/12/30	150,000,000	2013/07/10
25844	Goldman Sachs SP (Asia)	HSCEI European Warrants Jan 2014 A	0.153	9,388 per 4,000 units	2014/01/29	150,000,000	2013/07/10
25824	J P Morgan SP BV	CNOOC European Warrants Jan 2014 A	0.25	15 per unit	2014/01/09	100,000,000	2013/07/10
25825	J P Morgan SP BV	SPDR Gold Trust European Wts Feb 2014 A	0.33	1,000 per 100 units	2014/02/04	100,000,000	2013/07/10
25826	J P Morgan SP BV	Tencent European Warrants Feb 2014 B	0.25	333 per 100 units	2014/02/04	200,000,000	2013/07/10
25827	J P Morgan SP BV	Tencent European Put Warrants Feb 2014 C	0.252	300 per 100 units	2014/02/04	200,000,000	2013/07/10
25819	Macquarie Bank Ltd.	CQRC Bank European Warrants Feb 2014 A	0.25	4 per unit	2014/02/05	50,000,000	2013/07/10
25817	Macquarie Bank Ltd.	China Taiping European Wts Sep 2014 A	0.25	12.88	2014/09/02	50,000,000	2013/07/10
25818	Macquarie Bank Ltd.	Dongyue Group European Wts Feb 2014 A	0.25	4 per unit	2014/02/04	40,000,000	2013/07/10
25815	Standard Chartered Bank	Sinopec Corp Euro Wts Jun 2014 A	0.4	5.88 per unit	2014/06/03	80,000,000	2013/07/10
25810	Standard Chartered Bank	PetroChina European Wts Mar 2014 A	0.385	10.28 per unit	2014/03/07	80,000,000	2013/07/10
25811	Standard Chartered Bank	PetroChina European Wts Nov 2014 A	0.25	6.28	2014/11/07	100,000,000	2013/07/10
25812	Standard Chartered Bank	PetroChina Euro Put Wts Jun 2014 A	0.48	7.28 per unit	2014/06/10	80,000,000	2013/07/10
25820	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Jan14A	0.47	6.25 per unit	2014/01/29	25,000,000	2013/07/10
25842	UBS AG	China Life European Warrants Jan 2014 B	0.25	17.18	2014/01/09	200,000,000	2013/07/10
25841	UBS AG	China National Building European Wts Jan 2014 B	0.35	7.88 per unit	2014/01/09	30,000,000	2013/07/10
25809	UBS AG	KECL European Warrants Nov 2013 B	0.16	12.02	2013/11/15	100,000,000	2013/07/10
25843	UBS AG	PetroChina European Warrants Dec 2013 C	0.31	10.20 per unit	2013/12/27	70,000,000	2013/07/10
25821	UBS AG	Tencent Holdings European Warrants Jan 2014 B	0.15	350.20 per 100 units	2014/01/24	100,000,000	2013/07/10
25816	UBS AG	ZTE European Warrants Jun 2014 A	0.25	13.08	2014/06/16	100,000,000	2013/07/10
25859	BOCI Asia Ltd.	Sinopec Corp European Warrants Jan 2014 A	0.26	5.80 per unit	2014/01/27	100,000,000	2013/07/11
25858	BOCI Asia Ltd.	A50 China European Warrants Jan 2014 A	0.3	9.88 per unit	2014/01/20	100,000,000	2013/07/11
25861	Credit Suisse AG	HSI European Warrants Dec 2013 H	0.15	21,600 per 6,500 units	2013/12/30	200,000,000	2013/07/11
25860	Daiwa Capital Mkt	HSCEI European Put Warrants Mar 2014 D	0.25	10,200 per 7,500 units	2014/03/28	100,000,000	2013/07/11
25864	Goldman Sachs SP (Asia)	Angang Steel Euro Wts Jun 2014 A	0.431	4.20 per unit	2014/06/27	80,000,000	2013/07/11
25866	Goldman Sachs SP (Asia)	BOCL European Warrants Feb 2014 A	0.25	3.30 per unit	2014/02/28	80,000,000	2013/07/11
25865	Goldman Sachs SP (Asia)	CITIC Sec European Wts May 2014 A	0.25	13.68	2014/05/29	80,000,000	2013/07/11
25862	Goldman Sachs SP (Asia)	CNBM European Warrants Jan 2014 B	0.511	7.18 per unit	2014/01/29	80,000,000	2013/07/11

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25863	Goldman Sachs SP (Asia)	KECL European Warrants Aug 2014 A	0.15	13.02	2014/08/18	120,000,000	2013/07/11
25872	J P Morgan SP BV	BOC HK European Warrants Aug 2014 A	0.25	25	2014/08/01	150,000,000	2013/07/11
25874	J P Morgan SP BV	BankComm European Warrants Feb 2014 A	0.364	5.50 per unit	2014/02/04	60,000,000	2013/07/11
25871	J P Morgan SP BV	Evergrande European Warrants Feb 2014 A	0.268	3.18 per unit	2014/02/04	80,000,000	2013/07/11
25870	J P Morgan SP BV	Geely Auto European Warrants Feb 2014 A	0.272	3.68 per unit	2014/02/04	100,000,000	2013/07/11
25869	J P Morgan SP BV	Haitong Sec European Warrants Dec 2014 A	0.25	9	2014/12/01	150,000,000	2013/07/11
25884	Macquarie Bank Ltd.	KECL European Warrants Aug 2014 A	0.15	13.02	2014/08/18	100,000,000	2013/07/11
25848	Nomura Int'l plc	CSOP A50 ETF European Warrants Feb 2014 A	0.355	9.88 per unit	2014/02/04	50,000,000	2013/07/11
25849	Nomura Int'l plc	CSOP A50 ETF European Warrants Oct 2015 A	0.154	8.88	2015/10/02	100,000,000	2013/07/11
25853	Nomura Int'l plc	A50 China European Warrants Jan 2014 A	0.35	9.68 per unit	2014/01/13	50,000,000	2013/07/11
25850	Nomura Int'l plc	A50 China European Warrants Feb 2014 A	0.26	10.38 per unit	2014/02/05	80,000,000	2013/07/11
25851	Nomura Int'l plc	A50 China European Warrants Oct 2014 B	0.155	7.79	2014/10/28	100,000,000	2013/07/11
25854	Nomura Int'l plc	A50 China European Put Wts Mar 2014 B	0.265	6.98 per unit	2014/03/31	80,000,000	2013/07/11
25856	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Jan 2014 A	0.49	7.50 per unit	2014/01/13	30,000,000	2013/07/11
25885	UBS AG	Jiangxi Copper European Warrants Feb 2014 A	0.15	14.37	2014/02/17	70,000,000	2013/07/11
25909	BOCI Asia Ltd.	HSBC European Warrants Dec 2013 B	0.16	90	2013/12/09	100,000,000	2013/07/12
25908	BOCI Asia Ltd.	HSI European Warrants Dec 2013 E	0.25	19,500 per 7,300 units	2013/12/30	100,000,000	2013/07/12
25907	BOCI Asia Ltd.	HSI European Put Warrants Feb 2014 A	0.18	19,000 per 6,400 units	2014/02/27	100,000,000	2013/07/12
25925	Goldman Sachs SP (Asia)	Geely Auto European Wts Jun 2014 A	0.295	3.40 per unit	2014/06/30	60,000,000	2013/07/12
25905	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2014 B	0.264	19,000 per 8,500 units	2014/01/29	150,000,000	2013/07/12
25906	Goldman Sachs SP (Asia)	HSI European Put Wts Jan 2014 C	0.151	19,000 per 6,000 units	2014/01/29	150,000,000	2013/07/12
25892	J P Morgan SP BV	China Mob European Wts Feb 2014 A	0.251	90	2014/02/04	200,000,000	2013/07/12
25890	J P Morgan SP BV	Xinyi Glass European Warrants Feb 2014 A	0.358	6.88 per unit	2014/02/04	30,000,000	2013/07/12
25903	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Nov 2013 C	0.167	90.05	2013/11/26	70,000,000	2013/07/12
25894	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Dec 2013 B	0.285	85.25	2013/12/16	40,000,000	2013/07/12
25893	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2014 A	0.25	89	2014/01/13	40,000,000	2013/07/12
25898	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Dec 2013 F	0.173	8,800 per 4,800 units	2013/12/30	100,000,000	2013/07/12
25901	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Jan 2014 A	0.157	9,400 per 4,000 units	2014/01/29	100,000,000	2013/07/12
25895	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2013 G	0.152	21,200 per 6,500 units	2013/12/30	100,000,000	2013/07/12
25902	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2013 H	0.152	21,700 per 5,400 units	2013/12/30	100,000,000	2013/07/12
25896	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2014 B	0.169	19,000 per 6,000 units	2014/01/29	100,000,000	2013/07/12
25912	Standard Chartered Bank	China Life European Wts Mar 2014 A	0.28	16	2014/03/07	100,000,000	2013/07/12
25910	Standard Chartered Bank	Zijin Mining Euro Wts Jan 2014 A	0.179	1.88 per unit	2014/01/27	60,000,000	2013/07/12
25911	Standard Chartered Bank	Zijin Mining Euro Wts Jun 2014 A	0.38	1.48 per unit	2014/06/03	60,000,000	2013/07/12
25913	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec 2013C	0.15	18.88	2013/12/30	120,000,000	2013/07/12
25916	UBS AG	China Coal European Warrants Dec 2013 A	0.4	4.61 per unit	2013/12/24	40,000,000	2013/07/12
25919	UBS AG	CITIC Sec European Warrants Mar 2014 A	0.25	13.88	2014/03/17	80,000,000	2013/07/12
25917	UBS AG	CPIC European Warrants Jan 2014 A	0.25	26.88	2014/01/13	80,000,000	2013/07/12
25922	UBS AG	Geely Auto European Warrants Dec 2013 A	0.18	3.99 per unit	2013/12/24	60,000,000	2013/07/12
25924	UBS AG	HSBC European Put Warrants Nov 2013 D	0.29	77.23	2013/11/25	100,000,000	2013/07/12
25920	UBS AG	Haitong Sec European Warrants Aug 2014 A	0.15	10.9	2014/08/25	80,000,000	2013/07/12
25921	UBS AG	SJM Holdings European Warrants Jan 2014 A	0.25	20.18	2014/01/13	80,000,000	2013/07/12
25915	UBS AG	Yanzhou Coal European Wts Jan 2014 A	0.4	6.88 per unit	2014/01/13	30,000,000	2013/07/12

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
25928	Citigroup Global Mkt H Inc.	Tencent European Wts Jan 2014 B	0.25	330 per 100 units	2014/01/17	100,000,000	2013/07/15
25927	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Jan 2014 A	0.34	312 per 100 units	2014/01/17	70,000,000	2013/07/15
25934	Daiwa Capital Mkt	A50 China European Warrants Nov 2014 A	0.16	7.788	2014/11/03	308,000,000	2013/07/15
25933	Daiwa Capital Mkt	Hutchison European Warrants Jan 2014 A	0.39	88	2014/01/14	128,000,000	2013/07/15
25931	UBS AG	Anhui Conch European Warrants Dec 2013 A	0.21	21.85	2013/12/24	100,000,000	2013/07/15
25929	UBS AG	Zhaojin Mining European Warrants Dec 2013 A	0.3	6.01 per unit	2013/12/27	35,000,000	2013/07/15
25926	UBS AG	Zijin Mining European Warrants Jan 2014 A	0.17	1.89 per unit	2014/01/27	60,000,000	2013/07/15
25936	BOCI Asia Ltd.	CAM CSI300 European Warrants Feb 2014 A	0.16	30	2014/02/17	100,000,000	2013/07/16
25935	BOCI Asia Ltd.	HSI European Warrants Dec 2013 F	0.15	21,600 per 6,500 units	2013/12/30	100,000,000	2013/07/16
25940	BOCI Asia Ltd.	Ping An European Warrants Jan 2014 A	0.25	56.88	2014/01/27	100,000,000	2013/07/16
25943	Credit Suisse AG	Tencent Holdings European Wts Nov 2013 E	0.16	325 per 100 units	2013/11/25	80,000,000	2013/07/16
25947	Credit Suisse AG	Tencent Holdings European Wts Dec 2013 C	0.165	330 per 100 units	2013/12/20	80,000,000	2013/07/16
25941	UBS AG	China Shenhua European Warrants Dec 2013 A	0.16	20.85	2013/12/31	80,000,000	2013/07/16
25969	BOCI Asia Ltd.	AIA European Warrants Feb 2014 A	0.15	38	2014/02/07	100,000,000	2013/07/17
25970	BOCI Asia Ltd.	BOCL European Warrants Feb 2014 A	0.25	3.38 per unit	2014/02/24	100,000,000	2013/07/17
25971	BOCI Asia Ltd.	China Mobile European Warrants Jan 2014 B	0.17	88.88	2014/01/20	100,000,000	2013/07/17
25978	Credit Suisse AG	HSI European Put Warrants Dec 2013 I	0.15	19,800 per 6,000 units	2013/12/30	200,000,000	2013/07/17
25956	Citigroup Global Mkt H Inc.	AIA European Wts Feb 2014 A	0.25	34.4	2014/02/27	100,000,000	2013/07/17
25953	Citigroup Global Mkt H Inc.	AIA European Put Wt Dec 2013 C	0.158	31	2013/12/27	70,000,000	2013/07/17
25983	Daiwa Capital Mkt	AIA European Warrants Jan 2014 C	0.15	37.28	2014/01/02	128,000,000	2013/07/17
25981	Bank of East Asia	CNBM European Warrants Feb 2014 A	0.517	7.50 per unit	2014/02/21	20,000,000	2013/07/17
25982	Bank of East Asia	A50 China European Warrants Nov 2014 A	0.162	7.78	2014/11/03	120,000,000	2013/07/17
25980	Bank of East Asia	Nine Dragons European Wts Feb 2014 A	0.286	6.70 per unit	2014/02/04	40,000,000	2013/07/17
25976	Macquarie Bank Ltd.	AAC Acoustic European Wts Feb 2014 A	0.283	42	2014/02/05	100,000,000	2013/07/17
25975	Macquarie Bank Ltd.	BYD European Warrants Feb 2014 A	0.25	39	2014/02/04	40,000,000	2013/07/17
25974	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Apr 2014 A	0.25	2.50 per unit	2014/04/02	40,000,000	2013/07/17
25977	Macquarie Bank Ltd.	Greatwall Motor European Wts Feb 2014 A	0.25	48	2014/02/04	40,000,000	2013/07/17
25964	Standard Chartered Bank	BOCL European Warrants Dec 2013 B	0.17	3.26 per unit	2013/12/20	100,000,000	2013/07/17
25965	Standard Chartered Bank	CITIC Sec European Wts Mar 2014 A	0.2	13.82	2014/03/26	100,000,000	2013/07/17
25967	Standard Chartered Bank	CNBM European Warrants Jan 2014 B	0.44	7.28 per unit	2014/01/16	50,000,000	2013/07/17
25968	Standard Chartered Bank	HSI European Put Wts Dec 2013 C	0.18	20,900 per 8,000 units	2013/12/30	100,000,000	2013/07/17
25963	Standard Chartered Bank	KECL European Warrants Jul 2014 A	0.25	11.38	2014/07/03	100,000,000	2013/07/17
25957	Standard Chartered Bank	Ping An European Wts Dec 2013 A	0.15	57.55	2013/12/20	100,000,000	2013/07/17
25950	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Dec13C	0.215	21.8	2013/12/30	100,000,000	2013/07/17
25952	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Jan14 A	0.26	3.68 per unit	2014/01/20	50,000,000	2013/07/17
25973	UBS AG	Esprit European Warrants May 2014 A	0.25	11.68	2014/05/12	100,000,000	2013/07/17
25972	UBS AG	Tencent Holdings European Warrants Feb 2014 A	0.25	338.88 per 100 units	2014/02/11	100,000,000	2013/07/17
25502 #	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 B	0.111	20,300 per 7,000 units	2013/12/30	100,000,000	2013/07/17
25985	Daiwa Capital Mkt	CAM CSI300 European Warrants Jan 2014 A	0.15	30.9	2014/01/02	100,000,000	2013/07/18
25997	Daiwa Capital Mkt	BOCL European Warrants Feb 2014 A	0.25	3.48 per unit	2014/02/20	128,000,000	2013/07/18
25986	Daiwa Capital Mkt	Ping An European Warrants Jan 2014 A	0.17	62.3	2014/01/02	108,000,000	2013/07/18
25987	Daiwa Capital Mkt	Tencent Holdings European Wts Jun 2014 A	0.25	380 per 100 units	2014/06/18	158,000,000	2013/07/18
25984	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Feb 2014 A	0.212	22.88	2014/02/28	80,000,000	2013/07/18

Newly listed derivative warrants *(continued)*

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25988	Macquarie Bank Ltd.	China Unicom European Wts May 2014 A	0.15	12	2014/05/05	150,000,000	2013/07/18
25994	UBS AG	CSOP A50 ETF European Warrants Dec 2013 B	0.35	10.52 per unit	2013/12/20	100,000,000	2013/07/18
25993	UBS AG	CSOP A50 ETF European Warrants Nov 2015 B	0.15	10.32	2015/11/26	300,000,000	2013/07/18
25992	UBS AG	A50 China European Warrants Jan 2014 B	0.34	10.52 per unit	2014/01/06	100,000,000	2013/07/18
25990	UBS AG	HSI European Put Warrants Nov 2013 C	0.25	22,200 per 7,000 units	2013/11/28	300,000,000	2013/07/18
25989	UBS AG	HSI European Put Warrants Dec 2013 K	0.26	21,700 per 6,000 units	2013/12/30	300,000,000	2013/07/18
25995	UBS AG	ICBC European Warrants Nov 2013 B	0.15	5.59 per unit	2013/11/25	100,000,000	2013/07/18
25996	UBS AG	Ping An European Warrants Nov 2013 C	0.15	60.05	2013/11/28	100,000,000	2013/07/18
25991	UBS AG	Tencent Holdings European Put Warrants Dec 2013 C	0.22	294.80 per 100 units	2013/12/19	100,000,000	2013/07/18
26049	BOCI Asia Ltd.	Anhui Conch European Warrants Feb 2014 A	0.16	24.88	2014/02/04	100,000,000	2013/07/19
26051	BOCI Asia Ltd.	Galaxy Ent European Warrants Jan 2014 A	0.28	44	2014/01/30	100,000,000	2013/07/19
26047	BOCI Asia Ltd.	HSI European Warrants Dec 2013 G	0.15	22,500 per 6,000 units	2013/12/30	100,000,000	2013/07/19
26045	Credit Suisse AG	Link REIT European Warrants Feb 2014 A	0.15	45	2014/02/28	70,000,000	2013/07/19
26068	Daiwa Capital Mkt	BOC HK European Warrants Dec 2014 A	0.15	25.98	2014/12/15	108,000,000	2013/07/19
26063	Daiwa Capital Mkt	China Life European Warrants Dec 2013 B	0.15	20.05	2013/12/24	168,000,000	2013/07/19
26069	Daiwa Capital Mkt	HSI European Put Warrants Jan 2014 E	0.25	22,000 per 8,400 units	2014/01/29	200,000,000	2013/07/19
26062	Bank of East Asia	CNBM European Warrants Oct 2015 A	0.25	6	2015/10/26	100,000,000	2013/07/19
26059	J P Morgan SP BV	HSI European Put Warrants Dec 2013 I	0.156	20,900 per 8,000 units	2013/12/30	500,000,000	2013/07/19
26060	J P Morgan SP BV	HSI European Put Warrants Jan 2014 C	0.25	21,400 per 7,500 units	2014/01/29	500,000,000	2013/07/19
26061	J P Morgan SP BV	HSI European Put Warrants Jan 2014 D	0.25	22,000 per 8,000 units	2014/01/29	500,000,000	2013/07/19
26044	Nomura Int'l plc	BOCL European Warrants Mar 2014 A	0.252	3.30 per unit	2014/03/31	80,000,000	2013/07/19
26043	Nomura Int'l plc	BOCL European Warrants Apr 2014 A	0.198	3.49 per unit	2014/04/30	80,000,000	2013/07/19
26027	Nomura Int'l plc	BYD European Warrants Feb 2014 A	0.28	38	2014/02/05	50,000,000	2013/07/19
26023	Nomura Int'l plc	CITIC Bank European Warrants Feb 2014 A	0.275	4.08 per unit	2014/02/28	80,000,000	2013/07/19
26024	Nomura Int'l plc	CITIC Bank European Warrants Mar 2014 A	0.155	4.688 per unit	2014/03/21	80,000,000	2013/07/19
26031	Nomura Int'l plc	Minsheng Bank European Wts Feb 2014 A	0.28	12.18 per unit	2014/02/05	50,000,000	2013/07/19
26032	Nomura Int'l plc	Minsheng Bank European Wts Jan 2015 A	0.15	8.88	2015/01/08	200,000,000	2013/07/19
26019	Nomura Int'l plc	China Mobile European Warrants Jan 2014 A	0.166	91.93	2014/01/13	80,000,000	2013/07/19
26020	Nomura Int'l plc	China Mobile European Warrants Mar 2014 A	0.251	99	2014/03/31	80,000,000	2013/07/19
26018	Nomura Int'l plc	China Mobile European Warrants Jun 2015 A	0.15	70.90 per 100 units	2015/06/29	300,000,000	2013/07/19
26057	Nomura Int'l plc	CMS European Warrants Jul 2017 A	0.25	7	2017/07/31	50,000,000	2013/07/19
26042	Nomura Int'l plc	CNBM European Warrants Jan 2014 B	1.057	6.88 per unit	2014/01/30	50,000,000	2013/07/19
26041	Nomura Int'l plc	CNBM European Warrants Dec 2016 A	0.251	5.68	2016/12/30	80,000,000	2013/07/19
26016	Nomura Int'l plc	CNOOC European Warrants Dec 2014 B	0.253	13.18	2014/12/08	80,000,000	2013/07/19
26017	Nomura Int'l plc	CNOOC European Warrants Dec 2016 A	0.25	16.88	2016/12/08	80,000,000	2013/07/19
26007	Nomura Int'l plc	Sinopec European Warrants Feb 2014 B	0.303	6.08 per unit	2014/02/28	80,000,000	2013/07/19
26006	Nomura Int'l plc	Sinopec European Put Warrants Feb 2014 A	0.32	4.88 per unit	2014/02/28	50,000,000	2013/07/19
26021	Nomura Int'l plc	China Taiping European Warrants Jan 2015A	0.25	13.88	2015/01/05	50,000,000	2013/07/19
26013	Nomura Int'l plc	Digital China European Wts Apr 2016 A	0.26	9.88	2016/04/06	50,000,000	2013/07/19
26033	Nomura Int'l plc	Foxconn European Warrants Apr 2014 A	0.44	5.50 per unit	2014/04/02	50,000,000	2013/07/19
26026	Nomura Int'l plc	Haier Elec European Warrants Jan 2015 A	0.255	16	2015/01/06	50,000,000	2013/07/19
26008	Nomura Int'l plc	HKEx European Put Warrants Dec 2014 B	0.151	112.90 per 100 units	2014/12/24	80,000,000	2013/07/19
26002	Nomura Int'l plc	Hutchison European Warrants Mar 2014 A	0.523	90	2014/03/31	50,000,000	2013/07/19

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26003	Nomura Int'l plc	Hutchison European Warrants Mar 2014 B	0.26	100	2014/03/31	50,000,000	2013/07/19
26004	Nomura Int'l plc	Hutchison European Put Warrants Mar 2014C	0.258	70	2014/03/31	50,000,000	2013/07/19
26028	Nomura Int'l plc	ICBC European Warrants Jan 2014 A	0.26	5.28 per unit	2014/01/20	50,000,000	2013/07/19
26034	Nomura Int'l plc	Lee & Man Paper European Wts Jan 2014 A	0.32	6.28 per unit	2014/01/20	50,000,000	2013/07/19
26022	Nomura Int'l plc	Lenovo European Warrants Apr 2014 A	0.66	7.88 per unit	2014/04/02	50,000,000	2013/07/19
26005	Nomura Int'l plc	Lumena Newmat European Wts Jan 2015 A	0.26	2 per unit	2015/01/06	50,000,000	2013/07/19
26037	Nomura Int'l plc	Nine Dragons European Warrants Feb 2014 A	0.315	6.88 per unit	2014/02/05	50,000,000	2013/07/19
26035	Nomura Int'l plc	Ping An European Warrants Dec 2013 C	0.334	53.9	2013/12/31	80,000,000	2013/07/19
26036	Nomura Int'l plc	Ping An European Warrants Jan 2014 A	0.254	59.88	2014/01/20	50,000,000	2013/07/19
26038	Nomura Int'l plc	Sh Electric European Warrants Jan 2015 A	0.25	3.88 per unit	2015/01/06	50,000,000	2013/07/19
26058	Nomura Int'l plc	Stanchart European Put Wts Sep 2014 A	0.258	171.80 per 100 units	2014/09/30	50,000,000	2013/07/19
26012	Nomura Int'l plc	Tencent Holdings Euro Put Wts Mar 2014 B	0.188	279.80 per 100 units	2014/03/21	80,000,000	2013/07/19
26015	Nomura Int'l plc	Xinyi Glass European Warrants Jan 2014 A	0.3	8 per unit	2014/01/20	50,000,000	2013/07/19
26025	Nomura Int'l plc	Zoomlion European Warrants Feb 2014 A	0.27	8 per unit	2014/02/05	50,000,000	2013/07/19
26029	Nomura Int'l plc	Zhaojin Mining European Wts Jul 2014 A	0.28	8 per unit	2014/07/03	50,000,000	2013/07/19
26039	Nomura Int'l plc	Zijin Mining European Warrants Jan 2014 A	0.16	1.89 per unit	2014/01/27	80,000,000	2013/07/19
26056	Nomura Int'l plc	Zhejiangexpress European Wts Dec 2017 A	0.25	6.38	2017/12/28	50,000,000	2013/07/19
26052	Standard Chartered Bank	CTel European Warrants Nov 2013 B	0.15	4.41 per unit	2013/11/25	80,000,000	2013/07/19
26055	Standard Chartered Bank	HSI European Warrants Dec 2013 D	0.15	21,900 per 7,200 units	2013/12/30	100,000,000	2013/07/19
26054	Standard Chartered Bank	YZ Coal European Wts Dec 2013 C	0.17	7.88 per unit	2013/12/31	60,000,000	2013/07/19
25999	UBS AG	China Life European Warrants Jan 2014 C	0.15	20.05	2014/01/08	100,000,000	2013/07/19
25998	UBS AG	China Mobile European Warrants Jan 2014 A	0.25	94.88	2014/01/27	100,000,000	2013/07/19
26000	UBS AG	HKEx European Warrants Nov 2013 B	0.15	143.9	2013/11/11	100,000,000	2013/07/19
10222	BNP Paribas Arbit Issu B.V.	AUD/USD European Wts Mar 2014 A	0.25	0.93 per 1 units	2014/03/21	100,000,000	2013/07/22
10223	BNP Paribas Arbit Issu B.V.	AUD/USD Euro Put Wts Mar 2014 B	0.25	0.84 per 1 units	2014/03/21	100,000,000	2013/07/22
26075	BNP Paribas Arbit Issu B.V.	CITIC Pacific Eu Wt Nov 2014 A	0.16	8.08	2014/11/03	100,000,000	2013/07/22
26079	BNP Paribas Arbit Issu B.V.	HLand Dev Euro Wts Jul 2014 A	0.32	58	2014/07/03	78,000,000	2013/07/22
26080	BNP Paribas Arbit Issu B.V.	HSBC European Wts Mar 2014 A	0.16	100	2014/03/04	100,000,000	2013/07/22
26076	BNP Paribas Arbit Issu B.V.	Sa Sa Int'l Eu Wts Jan 2015 A	0.25	8.38	2015/01/05	83,000,000	2013/07/22
26078	BNP Paribas Arbit Issu B.V.	Swrie Pacific A Eu Wt Feb 14 A	0.42	105.5	2014/02/05	24,000,000	2013/07/22
26085	Credit Suisse AG	Tencent Holdings Euro Put Wts Dec 2013 D	0.18	300 per 100 units	2013/12/03	80,000,000	2013/07/22
26082	Citigroup Global Mkt H Inc.	Lenovo European Wts Jan 2014 B	0.47	7.80 per unit	2014/01/30	100,000,000	2013/07/22
26081	Citigroup Global Mkt H Inc.	Lenovo Euro Put Wts Jan 2014 A	0.69	7 per unit	2014/01/30	100,000,000	2013/07/22
26091	Goldman Sachs SP (Asia)	Tencent European Wt Jan 2014 A	0.251	355 per 100 units	2014/01/30	150,000,000	2013/07/22
26072	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Feb 2014 A	0.25	6.58 per unit	2014/02/04	200,000,000	2013/07/22
26084	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Apr 2014 A	0.25	11.75 per unit	2014/04/02	40,000,000	2013/07/22
26083	UBS AG	Link REIT European Warrants Feb 2014 A	0.15	45.05	2014/02/25	80,000,000	2013/07/22
26088	UBS AG	Tencent Holdings European Warrants Feb 2014 B	0.25	380 per 100 units	2014/02/28	100,000,000	2013/07/22
26096	BNP Paribas Arbit Issu B.V.	Ch Railway Euro Wts Aug 2014 A	0.39	4.68 per unit	2014/08/04	27,000,000	2013/07/23
26094	BNP Paribas Arbit Issu B.V.	ICBC European Wts Mar 2014 A	0.25	5.60 per unit	2014/03/04	100,000,000	2013/07/23
26095	BNP Paribas Arbit Issu B.V.	NCI European Wts Feb 2014 A	0.25	26.8	2014/02/05	58,000,000	2013/07/23
26092	BNP Paribas Arbit Issu B.V.	SUNAC European Wts Feb 2014 A	0.49	6.50 per unit	2014/02/05	29,000,000	2013/07/23
26099	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jul 2014 A	0.25	390 per 100 units	2014/07/03	100,000,000	2013/07/23

Newly listed derivative warrants *(continued)*

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26104	Credit Suisse AG	China EB Int'l European Wts Jan 2014 B	0.45	8.68 per unit	2014/01/24	70,000,000	2013/07/23
26102	Credit Suisse	China Railway European Warrants Dec 2013 A	0.15	4.51 per unit	2013/12/02	70,000,000	2013/07/23
26103	Credit Suisse AG	Datang European Warrants Jan 2014 A	0.26	3.83 per unit	2014/01/24	70,000,000	2013/07/23
26105	Credit Suisse AG	Tencent Holdings European Wts Jan 2014 B	0.25	380.88 per 100 units	2014/01/24	100,000,000	2013/07/23
26107	Citigroup Global Mkt H Inc.	Lenovo European Wts Mar 2014 A	0.44	8.20 per unit	2014/03/28	100,000,000	2013/07/23
26106	Citigroup Global Mkt H Inc.	Tencent European Wts Mar 2014 A	0.25	368 per 100 units	2014/03/28	100,000,000	2013/07/23
26108	Goldman Sachs SP (Asia)	Tencent European Put Wt Feb 2014 B	0.274	314.80 per 100 units	2014/02/27	120,000,000	2013/07/23
26100	J P Morgan SP BV	Esprit European Wts Jun 2014 A	0.25	12.88	2014/06/03	150,000,000	2013/07/23
26136	Credit Suisse AG	Anhui Conch European Warrants Dec 2013 A	0.15	26	2013/12/09	70,000,000	2013/07/24
26117	Credit Suisse AG	China Mobile European Warrants Jan 2014 A	0.18	91.9	2014/01/13	80,000,000	2013/07/24
26135	Credit Suisse AG	GCL-Poly Energy European Wts Jan 2014 A	0.18	2.39 per unit	2014/01/13	70,000,000	2013/07/24
26129	Credit Suisse AG	Hutchison European Warrants Oct 2013 C	0.16	93.9	2013/10/25	80,000,000	2013/07/24
26131	Credit Suisse AG	Jiangxi Copper European Wts Feb 2014 A	0.15	14.36	2014/02/24	70,000,000	2013/07/24
26118	Credit Suisse AG	Ping An European Warrants Dec 2013 B	0.21	58	2013/12/03	80,000,000	2013/07/24
26137	Credit Suisse AG	SHK Ppt European Put Warrants Dec 2013 A	0.22	85	2013/12/24	70,000,000	2013/07/24
26134	Credit Suisse AG	SJM Holdings European Warrants Jan 2014 A	0.23	20.2	2014/01/10	70,000,000	2013/07/24
26124	J P Morgan SP BV	Tencent European Warrants Mar 2014 A	0.25	375 per 100 units	2014/03/10	200,000,000	2013/07/24
26125	J P Morgan SP BV	Tencent European Warrants Jun 2014 A	0.25	400 per 100 units	2014/06/03	200,000,000	2013/07/24
26121	Macquarie Bank Ltd.	China Coal European Wts Feb 2014 A	0.25	5.50 per unit	2014/02/05	40,000,000	2013/07/24
26123	Macquarie Bank Ltd.	Ch LongYuan European Wts Feb 2014 A	0.25	11.50 per unit	2014/02/05	40,000,000	2013/07/24
26119	Macquarie Bank Ltd.	R&F Ppt European Warrants Jan 2015 A	0.15	14.6	2015/01/05	100,000,000	2013/07/24
26120	Macquarie Bank Ltd.	Jiangxi Copper European Wts Jul 2014 A	0.15	16.68	2014/07/03	70,000,000	2013/07/24
26122	Macquarie Bank Ltd.	Zijin Mining European Wts Apr 2014 A	0.25	1.80 per unit	2014/04/02	40,000,000	2013/07/24
26112	Standard Chartered Bank	AIA European Warrants Dec 2013 B	0.19	36	2013/12/24	100,000,000	2013/07/24
26114	Standard Chartered Bank	HKEx European Warrants Dec 2013 B	0.5	130	2013/12/24	80,000,000	2013/07/24
26116	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2014 B	0.25	380.88 per 100 units	2014/01/23	100,000,000	2013/07/24
26110	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 14 A	0.25	360 per 100 units	2014/02/05	200,000,000	2013/07/24
26111	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Dec13A	0.2	309.08 per 100 units	2013/12/02	200,000,000	2013/07/24
26126	UBS AG	CC Bank European Warrants Jan 2014 B	0.25	6.80 per unit	2014/01/23	100,000,000	2013/07/24
26128	UBS AG	Esprit European Warrants May 2014 B	0.18	13.02	2014/05/19	100,000,000	2013/07/24
26127	UBS AG	Tencent Holdings European Put Warrants Nov 2013 C	0.2	308.68 per 100 units	2013/11/28	100,000,000	2013/07/24
26141	Daiwa Capital Mkt	HSBC European Warrants Feb 2014 A	0.29	90.88	2014/02/04	128,000,000	2013/07/25
26142	Daiwa Capital Mkt	HSBC European Put Warrants Jan 2014 A	0.42	81.8	2014/01/24	98,000,000	2013/07/25
26138	Daiwa Capital Mkt	Hutchison European Warrants Jan 2014 B	0.31	95.8	2014/01/24	128,000,000	2013/07/25
26140	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Dec 2013 B	0.16	300 per 100 units	2013/12/17	108,000,000	2013/07/25
26139	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Mar 2014 A	0.3	318 per 100 units	2014/03/03	108,000,000	2013/07/25
26149	Macquarie Bank Ltd.	A50 China European Warrants Apr 2014 A	0.25	11.50 per unit	2014/04/02	40,000,000	2013/07/25
26146	Standard Chartered Bank	A50 China Euro Warrants Apr 2016 A	0.25	7.78	2016/04/01	100,000,000	2013/07/25
26145	Standard Chartered Bank	A50 China Euro Put Wts Jun 2014 A	0.164	10.16	2014/06/24	100,000,000	2013/07/25
26143	Standard Chartered Bank	Tencent Hldg Eu Put Wts Feb 2014 A	0.31	328.88 per 100 units	2014/02/04	100,000,000	2013/07/25
26195	BNP Paribas Arbit Issu B.V.	HSI European Wts Dec 2013 A	0.17	21,600 per 6,500 units	2013/12/30	100,000,000	2013/07/26
26196	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Jan 2014 A	0.15	21,200 per 10,000 units	2014/01/29	100,000,000	2013/07/26
26159	Macquarie Bank Ltd.	CNBM European Warrants Apr 2014 A	0.25	9.90 per unit	2014/04/02	40,000,000	2013/07/26

Newly listed derivative warrants *(continued)*

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26155	Macquarie Bank Ltd.	China Mengniu European Wts Apr 2014 A	0.25	39.88	2014/04/02	40,000,000	2013/07/26
26154	Macquarie Bank Ltd.	SMIC European Warrants Jul 2015 A	0.25	0.78 per unit	2015/07/03	40,000,000	2013/07/26
26172	Nomura Int'l plc	Anhui Conch European Warrants Jun 2014 A	0.252	28	2014/06/18	50,000,000	2013/07/26
26182	Nomura Int'l plc	CAM CSI300 European Warrants Mar 2014 A	0.162	31.9	2014/03/24	80,000,000	2013/07/26
26183	Nomura Int'l plc	CAM CSI300 European Warrants Jan 2015 A	0.254	35	2015/01/02	50,000,000	2013/07/26
26186	Nomura Int'l plc	CAM CSI300 European Put Warrants Jun 14 A	0.278	26.88	2014/06/04	50,000,000	2013/07/26
26164	Nomura Int'l plc	BJ Ent Water European Warrants Jan 2015 A	0.53	3.88 per unit	2015/01/05	38,000,000	2013/07/26
26176	Nomura Int'l plc	Brilliance Chi European Warrants Apr 14 A	0.152	10	2014/04/09	80,000,000	2013/07/26
26173	Nomura Int'l plc	CC Bank European Warrants Feb 2014 A	0.341	6.28 per unit	2014/02/18	50,000,000	2013/07/26
26189	Nomura Int'l plc	CC Bank European Warrants Sep 2014 A	0.257	7.28 per unit	2014/09/18	50,000,000	2013/07/26
26165	Nomura Int'l plc	Ch Com Service European Wts Jan 2015 A	0.615	6.28 per unit	2015/01/05	28,000,000	2013/07/26
26175	Nomura Int'l plc	China Mobile European Warrants Jan 2014 B	0.44	81.88	2014/01/28	50,000,000	2013/07/26
26166	Nomura Int'l plc	Ch Overseas European Warrants Mar 2014 A	0.25	22	2014/03/28	50,000,000	2013/07/26
26167	Nomura Int'l plc	Ch Overseas European Warrants Dec 2014 A	0.251	26.88	2014/12/18	50,000,000	2013/07/26
26163	Nomura Int'l plc	Chi Resources European Warrants May2014 A	0.255	26.88	2014/05/05	50,000,000	2013/07/26
26177	Nomura Int'l plc	GAC European Warrants Nov 2014 A	0.151	9.51	2014/11/27	80,000,000	2013/07/26
26162	Nomura Int'l plc	Geely Auto European Warrants Dec 2013 A	0.204	3.99 per unit	2013/12/24	80,000,000	2013/07/26
26180	Nomura Int'l plc	Greatwall Motor European Wts Feb 2014 A	0.31	44.88	2014/02/05	50,000,000	2013/07/26
26171	Nomura Int'l plc	PetroChina European Warrants Mar 2014 A	0.272	12 per unit	2014/03/28	50,000,000	2013/07/26
26188	Nomura Int'l plc	PetroChina European Put Wts Mar 2014 B	0.422	7.68 per unit	2014/03/28	50,000,000	2013/07/26
26179	Nomura Int'l plc	PICC European Warrants Dec 2015 A	0.251	8.68	2015/12/31	50,000,000	2013/07/26
26160	Nomura Int'l plc	Poly European Warrants Feb 2014 A	0.31	5.10 per unit	2014/02/05	50,000,000	2013/07/26
26168	Nomura Int'l plc	Tencent Holdings Euro Warrants Feb 2014 B	0.26	360 per 100 units	2014/02/18	50,000,000	2013/07/26
26169	Nomura Int'l plc	Tencent Holdings Euro Warrants Apr 2014 A	0.25	390 per 100 units	2014/04/28	50,000,000	2013/07/26
26161	Nomura Int'l plc	Want Want China European Wts Jul 2014 A	0.15	12.88	2014/07/31	80,000,000	2013/07/26
26153	Standard Chartered Bank	Hutchison Euro Euro Wts Feb 2014 A	0.25	99.99	2014/02/26	100,000,000	2013/07/26
26190	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jan14A	0.29	40.88	2014/01/27	70,000,000	2013/07/26
26191	UBS AG	Evergrande European Warrants Jan 2014 A	0.275	3.69 per unit	2014/01/13	50,000,000	2013/07/26
26197	UBS AG	Greatwall Motor European Warrants Nov 2013 A	0.31	39.95	2013/11/26	60,000,000	2013/07/26
26193	UBS AG	Hutchison European Warrants Nov 2013 A	0.25	93.93	2013/11/25	100,000,000	2013/07/26
26192	UBS AG	Tencent Holdings European Put Warrants Jan 2014 C	0.32	320.88 per 100 units	2014/01/27	100,000,000	2013/07/26
26211	BNP Paribas Arbit Issu B.V.	China Life Euro Wts May 2014 A	0.26	18	2014/05/05	100,000,000	2013/07/29
26207	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Jan 2014 B	0.18	75	2014/01/03	100,000,000	2013/07/29
26212	BNP Paribas Arbit Issu B.V.	Shui On Land Eu Wt May 2014 A	0.37	2.38 per unit	2014/05/05	28,000,000	2013/07/29
26208	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Aug 2014 A	0.25	400 per 100 units	2014/08/04	100,000,000	2013/07/29
26209	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Feb 2014 B	0.25	305 per 100 units	2014/02/05	100,000,000	2013/07/29
26199	Credit Suisse AG	Tencent Holdings European Wts Jan 2014 C	0.25	368.88 per 100 units	2014/01/28	80,000,000	2013/07/29
26200	Credit Suisse AG	Tencent Holdings European Wts Feb 2014 A	0.25	398.88 per 100 units	2014/02/28	80,000,000	2013/07/29
26206	Citigroup Global Mkt H Inc.	MGM China Euro Wts Jan 2014 A	0.28	22.8	2014/01/30	100,000,000	2013/07/29
26205	Citigroup Global Mkt H Inc.	Ping An Euro Wts Feb 2014 A	0.5	50	2014/02/27	100,000,000	2013/07/29
26202	Macquarie Bank Ltd.	Shenzhen Int'l European Wts Sep 2014 A	0.25	1.18 per unit	2014/09/02	40,000,000	2013/07/29
26201	Macquarie Bank Ltd.	Tencent European Warrants Feb 2014 A	0.256	360 per 100 units	2014/02/05	250,000,000	2013/07/29
26198	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2014 A	0.46	90.88	2014/02/05	100,000,000	2013/07/29

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26204	UBS AG	AIA European Warrants Jan 2014 C	0.16	41.05	2014/01/20	100,000,000	2013/07/29
26203	UBS AG	Hutchison European Warrants Jan 2014 A	0.45	90.88	2014/01/28	100,000,000	2013/07/29
24415 #	HK Bank	A50 China European Warrants Jan 2014 A	0.029	10.02	2014/01/02	350,000,000	2013/07/29
26244	Credit Suisse AG	Angang Steel European Warrants Dec 2013 A	0.25	5.26 per unit	2013/12/24	50,000,000	2013/07/30
26247	Credit Suisse AG	CC Bank European Warrants Dec 2013 D	0.17	6.51 per unit	2013/12/06	70,000,000	2013/07/30
26240	Credit Suisse AG	CITIC Sec European Warrants Apr 2014 A	0.15	17.9	2014/04/28	70,000,000	2013/07/30
26239	Credit Suisse AG	Greatwall Motor European Wts Jan 2014 A	0.25	48	2014/01/27	50,000,000	2013/07/30
26242	Credit Suisse AG	HSBC European Wts Nov 2013 A	0.16	93.9	2013/11/18	70,000,000	2013/07/30
26245	Credit Suisse AG	ICBC European Warrants Nov 2013 C	0.15	5.59 per unit	2013/11/25	70,000,000	2013/07/30
26241	Credit Suisse AG	Stanchart European Warrants Jun 2014 A	0.15	200 per 100 units	2014/06/30	70,000,000	2013/07/30
26238	Credit Suisse AG	SUNAC European Warrants Dec 2013 A	0.46	6.29 per unit	2013/12/27	50,000,000	2013/07/30
26243	Credit Suisse AG	Yurun Food European Warrants Dec 2013 A	0.45	6 per unit	2013/12/31	50,000,000	2013/07/30
26224	HK Bank	Minsheng Bank European Warrants Jan 2014 A	0.56	8.58 per unit	2014/01/30	68,000,000	2013/07/30
26222	HK Bank	HSCEI European Put Warrants Feb 2014 A	0.15	8,788 per 4,000 units	2014/02/27	180,000,000	2013/07/30
26215	HK Bank	HSI European Warrants Oct 2013 F	0.15	20,988 per 8,000 units	2013/10/30	180,000,000	2013/07/30
26218	HK Bank	HSI European Warrants Jan 2014 B	0.25	21,400 per 6,500 units	2014/01/29	150,000,000	2013/07/30
26220	HK Bank	HSI European Put Warrants Oct 2013 G	0.15	21,388 per 7,500 units	2013/10/30	180,000,000	2013/07/30
26229	HK Bank	Ping An European Warrants Dec 2013 A	0.16	58.05	2013/12/03	100,000,000	2013/07/30
26230	HK Bank	Ping An European Warrants Dec 2013 B	0.2	54.98	2013/12/19	100,000,000	2013/07/30
26225	HK Bank	Tencent European Warrants Jan 2014 A	0.25	368.80 per 100 units	2014/01/30	100,000,000	2013/07/30
26227	HK Bank	Tencent European Put Warrants Dec 2013 E	0.16	294.88 per 100 units	2013/12/19	150,000,000	2013/07/30
26232	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Dec13A	0.15	29.95	2013/12/23	300,000,000	2013/07/30
26235	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Apr14A	0.15	31.88	2014/04/02	300,000,000	2013/07/30
26231	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jan14B	0.18	91.88	2014/01/13	150,000,000	2013/07/30
26236	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jan14B	0.285	10.26 per unit	2014/01/06	80,000,000	2013/07/30
26237	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan2014 B	0.25	10.48 per unit	2014/01/06	80,000,000	2013/07/30
26213	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Feb 2014 A	0.18	100	2014/02/24	100,000,000	2013/07/30
26254	UBS AG	Anhui Conch European Warrants Dec 2013 B	0.15	26.05	2013/12/09	100,000,000	2013/07/30
26248	UBS AG	CC Bank European Put Warrants Dec 2013 D	0.37	5.49 per unit	2013/12/27	100,000,000	2013/07/30
26253	UBS AG	HSI European Warrants Jan 2014 B	0.25	22,800 per 6,000 units	2014/01/29	300,000,000	2013/07/30
26250	UBS AG	HSI European Put Warrants Dec 2013 L	0.25	22,800 per 7,500 units	2013/12/30	300,000,000	2013/07/30
26261	HK Bank	China Life European Warrants Dec 2013 A	0.16	18.9	2013/12/20	150,000,000	2013/07/31
26273	HK Bank	China Life European Warrants Jan 2014 A	0.15	19.98	2014/01/06	150,000,000	2013/07/31
26277	HK Bank	CSOP A50 ETF European Warrants Jan 2014 A	0.26	9.98 per unit	2014/01/30	80,000,000	2013/07/31
26269	HK Bank	Galaxy Ent European Warrants Dec 2013 B	0.26	45.05	2013/12/24	100,000,000	2013/07/31
26265	HK Bank	HSI European Warrants Nov 2013 D	0.15	23,488 per 6,000 units	2013/11/28	200,000,000	2013/07/31
26272	HK Bank	HSI European Put Warrants Jan 2014 C	0.15	21,188 per 10,000 units	2014/01/29	200,000,000	2013/07/31
26263	HK Bank	HSI European Put Warrants Mar 2014 B	0.16	19,588 per 6,000 units	2014/03/28	200,000,000	2013/07/31
26262	HK Bank	HWL European Warrants Nov 2013 A	0.26	93.93	2013/11/25	80,000,000	2013/07/31
26257	HK Bank	ICBC European Warrants Nov 2013 B	0.15	5.59 per unit	2013/11/26	100,000,000	2013/07/31
26280	Macquarie Bank Ltd.	BJ Ent Water European Wts Feb 2014 A	0.25	3.88 per unit	2014/02/05	40,000,000	2013/07/31
26278	Macquarie Bank Ltd.	BOCL European Warrants Sep 2014 A	0.25	3.80 per unit	2014/09/02	70,000,000	2013/07/31
26279	Macquarie Bank Ltd.	HSBC European Warrants Feb 2014 A	0.25	95.88	2014/02/05	120,000,000	2013/07/31

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26276	Standard Chartered Bank	NCI European Warrants Jan 2014 B	0.18	26.85	2014/01/29	80,000,000	2013/07/31
26275	Standard Chartered Bank	ZTE European Warrants May 2014 A	0.23	14.9	2014/05/30	80,000,000	2013/07/31
26255	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 K	0.16	21,800 per 7,200 units	2013/12/30	300,000,000	2013/07/31
26256	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Dec 2013 L	0.15	22,400 per 6,000 units	2013/12/30	300,000,000	2013/07/31
26284	UBS AG	BOCL European Warrants Jan 2014 B	0.15	3.91 per unit	2014/01/13	100,000,000	2013/07/31
26285	UBS AG	Minsheng Bank European Warrants Mar 2016 A	0.25	7.38	2016/03/08	60,000,000	2013/07/31
26281	UBS AG	HSBC European Warrants Jan 2014 A	0.25	100.08	2014/01/30	100,000,000	2013/07/31
26283	UBS AG	Sands China European Warrants Dec 2013 B	0.28	46.93	2013/12/31	60,000,000	2013/07/31
24376 #	Credit Suisse AG	A50 China European Warrants Apr 2014 A	0.046	10	2014/04/28	220,000,000	2013/07/31
26305	BNP Paribas Arbit Issu B.V.	CQRC Bank Euro Wts Jul 2014 A	0.36	3.90 per unit	2014/07/03	29,000,000	2013/08/01
26307	BNP Paribas Arbit Issu B.V.	Samsonite Euro Wts Mar 2014 A	0.26	23	2014/03/04	91,000,000	2013/08/01
26309	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt May 2014 A	0.34	50	2014/05/05	100,000,000	2013/08/01
26306	BNP Paribas Arbit Issu B.V.	Sunart Retail Eu Wt Jul 2015A	0.25	12	2015/07/03	93,000,000	2013/08/01
26304	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Jan 2014 D	0.18	380.88 per 100 units	2014/01/30	100,000,000	2013/08/01
26291	HK Bank	AIA European Warrants Nov 2013 A	0.15	38.93	2013/11/25	150,000,000	2013/08/01
26292	HK Bank	AIA European Put Warrants Nov 2013 B	0.2	35.33	2013/11/25	100,000,000	2013/08/01
26296	HK Bank	CAM CSI300 European Warrants Dec 2013 B	0.15	30.92	2013/12/23	150,000,000	2013/08/01
26299	HK Bank	CC Bank European Warrants Feb 2014 A	0.25	6.63 per unit	2014/02/04	80,000,000	2013/08/01
26297	HK Bank	A50 China European Warrants Feb 2014 A	0.25	10.48 per unit	2014/02/04	80,000,000	2013/08/01
26298	HK Bank	Tencent European Warrants Jan 2014 B	0.15	381.08 per 100 units	2014/01/16	150,000,000	2013/08/01
26295	HK Bank	Yanzhou Coal European Warrants Feb 2014 A	0.4	6.58 per unit	2014/02/04	68,000,000	2013/08/01
26289	J P Morgan SP BV	AIA European Warrants Jan 2014 C	0.15	41	2014/01/20	200,000,000	2013/08/01
26300	Standard Chartered Bank	CC Bank European Wts Mar 2014 A	0.25	6.78 per unit	2014/03/05	100,000,000	2013/08/01
26302	Standard Chartered Bank	HSCEI European Warrants Jan 2014 A	0.225	9,400 per 4,000 units	2014/01/29	100,000,000	2013/08/01
26301	Standard Chartered Bank	HSCEI European Put Wts Dec 2013 A	0.15	9,800 per 5,000 units	2013/12/30	100,000,000	2013/08/01
26303	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Jan14A	0.23	48.88	2014/01/06	100,000,000	2013/08/01
26310	UBS AG	Tencent Holdings European Warrants Jan 2014 D	0.21	381.08 per 100 units	2014/01/17	100,000,000	2013/08/01
26336	Credit Suisse AG	Tencent Holdings European Wts Feb 2014 B	0.25	405.80 per 100 units	2014/02/04	80,000,000	2013/08/02
26333	Credit Suisse AG	Tencent Holdings Euro Put Wts Nov 2013 F	0.15	308.68 per 100 units	2013/11/29	80,000,000	2013/08/02
26335	Credit Suisse AG	Tencent Holdings Euro Put Wts Jan 2014 D	0.25	320.68 per 100 units	2014/01/20	80,000,000	2013/08/02
26346	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Feb 2014 A	0.264	330 per 100 units	2014/02/04	100,000,000	2013/08/02
26348	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Feb 2014 B	0.38	350 per 100 units	2014/02/27	100,000,000	2013/08/02
26318	HK Bank	China Overseas European Warrants Jan 2014 A	0.15	25.05	2014/01/24	100,000,000	2013/08/02
26314	HK Bank	Henderson Land Dev European Warrants Feb 2014 A	0.25	54.88	2014/02/04	68,000,000	2013/08/02
26317	HK Bank	PICC Group European Warrants Dec 2013 C	0.16	3.89 per unit	2013/12/18	100,000,000	2013/08/02
26319	HK Bank	Sands China European Warrants Feb 2014 A	0.25	45.88	2014/02/04	80,000,000	2013/08/02
26320	HK Bank	Tencent European Put Warrants Jan 2014 C	0.29	328.68 per 100 units	2014/01/24	100,000,000	2013/08/02
26313	J P Morgan SP BV	HSI European Warrants Nov 2013 B	0.15	23,500 per 6,000 units	2013/11/28	500,000,000	2013/08/02
26344	J P Morgan SP BV	Tencent European Put Warrants Mar 2014 B	0.25	325 per 100 units	2014/03/03	200,000,000	2013/08/02
26343	J P Morgan SP BV	Tencent European Put Warrants Apr 2014 A	0.35	350 per 100 units	2014/04/01	200,000,000	2013/08/02
26340	Macquarie Bank Ltd.	BYD European Warrants Dec 2013 A	0.15	43.88	2013/12/03	70,000,000	2013/08/02
26322	Macquarie Bank Ltd.	Longfor PPT European Wts Apr 2015 A	0.252	12.88	2015/04/02	120,000,000	2013/08/02
26342	Macquarie Bank Ltd.	Xinyi Glass European Wts Feb 2014 A	0.251	9.50 per unit	2014/02/05	40,000,000	2013/08/02

Newly listed derivative warrants *(continued)*

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26323	Standard Chartered Bank	PetroChina European Wts Feb 2014 B	0.25	11.08 per unit	2014/02/07	80,000,000	2013/08/02
26332	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Apr14A	0.155	17.88	2014/04/30	100,000,000	2013/08/02
26326	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Feb 14 B	0.255	380 per 100 units	2014/02/05	200,000,000	2013/08/02
26325	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Apr 14 A	0.25	400 per 100 units	2014/04/02	200,000,000	2013/08/02
26329	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Feb14C	0.275	330 per 100 units	2014/02/05	200,000,000	2013/08/02
26331	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Feb14D	0.375	350 per 100 units	2014/02/05	200,000,000	2013/08/02
26338	UBS AG	HSCEI European Put Warrants Dec 2013 E	0.15	9,800 per 5,000 units	2013/12/30	100,000,000	2013/08/02
26339	UBS AG	Tencent Holdings European Warrants Feb 2014 C	0.25	408.88 per 100 units	2014/02/11	100,000,000	2013/08/02
26337	UBS AG	ZTE European Warrants May 2014 A	0.22	14.9	2014/05/23	100,000,000	2013/08/02
26362	Credit Suisse AG	HN Renewables European Wts Feb 2014 A	0.32	3.38 per unit	2014/02/04	60,000,000	2013/08/05
26363	Credit Suisse AG	Kingsoft European Warrants Feb 2014 A	0.25	15.38	2014/02/04	60,000,000	2013/08/05
26377	Credit Suisse AG	Tencent Holdings Euro Put Wts Jan 2014 E	0.22	320.80 per 100 units	2014/01/20	80,000,000	2013/08/05
26378	Goldman Sachs SP (Asia)	A50 China European Wts Dec 2016 A	0.158	8.87	2016/12/28	100,000,000	2013/08/05
26380	Goldman Sachs SP (Asia)	Tencent European Wt May 2014 A	0.252	408 per 100 units	2014/05/29	150,000,000	2013/08/05
26379	Goldman Sachs SP (Asia)	Tencent European Put Wt Feb 2014 C	0.277	330 per 100 units	2014/02/27	150,000,000	2013/08/05
26353	HK Bank	China Railway European Warrants Feb 2014 A	0.29	4.78 per unit	2014/02/05	68,000,000	2013/08/05
26352	HK Bank	CRCC European Warrants Dec 2014 A	0.15	9.89	2014/12/24	100,000,000	2013/08/05
26351	HK Bank	KECL European Warrants Aug 2014 A	0.15	13.01	2014/08/19	120,000,000	2013/08/05
26354	HK Bank	Tencent European Put Warrants Feb 2014 A	0.35	348.88 per 100 units	2014/02/28	100,000,000	2013/08/05
26374	J P Morgan SP BV	Cheung Kong European Warrants Feb 2014 A	0.25	128.88	2014/02/04	100,000,000	2013/08/05
26373	J P Morgan SP BV	Ch LongYuan European Warrants Nov 2015 A	0.25	8.88	2015/11/02	200,000,000	2013/08/05
26372	J P Morgan SP BV	Greatwall Motor European Wts Feb 2014 A	0.25	42.88	2014/02/04	100,000,000	2013/08/05
26371	J P Morgan SP BV	Hutchison European Warrants Feb 2014 A	0.25	98.88	2014/02/04	100,000,000	2013/08/05
26361	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Feb 2014 A	0.251	10.50 per unit	2014/02/05	40,000,000	2013/08/05
26370	Macquarie Bank Ltd.	Geely Auto European Wts Mar 2014 A	0.25	4.50 per unit	2014/03/04	40,000,000	2013/08/05
26358	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Feb 2014 A	0.355	134.38	2014/02/05	40,000,000	2013/08/05
26355	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Dec 2013 B	0.25	93.93	2013/12/02	50,000,000	2013/08/05
26359	Standard Chartered Bank	Tencent Hldg Euro Wts Feb 2014 B	0.25	400 per 100 units	2014/02/04	100,000,000	2013/08/05
26349	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Nov 2013 B	0.205	92.88	2013/11/20	120,000,000	2013/08/05
26365	UBS AG	Hutchison European Warrants Feb 2014 A	0.3	98.88	2014/02/04	100,000,000	2013/08/05
26367	UBS AG	KECL European Warrants Jun 2014 A	0.17	11.4	2014/06/25	100,000,000	2013/08/05
26369	UBS AG	Tencent Holdings European Put Warrants Feb 2014 D	0.35	348.88 per 100 units	2014/02/04	100,000,000	2013/08/05
20218 #	HK Bank	CNBM European Warrants Dec 2013 A	0.039	8.23	2013/12/17	258,000,000	2013/08/05
26399	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2013 C	0.15	18.9	2013/12/24	100,000,000	2013/08/06
26398	Goldman Sachs SP (Asia)	Tencent European Put Wt Feb 2014 D	0.268	348.80 per 100 units	2014/02/27	120,000,000	2013/08/06
26382	HK Bank	Anhui Conch European Warrants Feb 2014 A	0.25	25.88	2014/02/28	80,000,000	2013/08/06
26385	HK Bank	China Shenhua European Warrants Feb 2014 B	0.25	25.38	2014/02/28	100,000,000	2013/08/06
26384	HK Bank	CSR Times European Warrants Feb 2014 A	0.25	25.88	2014/02/28	80,000,000	2013/08/06
26383	HK Bank	A50 China European Warrants Oct 2014 B	0.16	7.79	2014/10/29	200,000,000	2013/08/06
26381	HK Bank	GCL-Poly Energy European Warrants Feb 2014 A	0.25	2.28 per unit	2014/02/06	80,000,000	2013/08/06
26388	HK Bank	HKEx European Warrants Feb 2014 A	0.35	135.88	2014/02/06	68,000,000	2013/08/06
26402	J P Morgan SP BV	AIA European Warrants Feb 2014 A	0.25	38.88	2014/02/05	200,000,000	2013/08/06
26401	J P Morgan SP BV	HSBC European Warrants Nov 2013 A	0.15	93.9	2013/11/18	200,000,000	2013/08/06

Newly listed derivative warrants *(continued)*

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26400	J P Morgan SP BV	Kingsoft European Warrants Feb 2014 A	0.25	15.88	2014/02/05	200,000,000	2013/08/06
26390	Standard Chartered Bank	China Overseas Euro Wt Feb 2014 A	0.15	25.93	2014/02/24	80,000,000	2013/08/06
26389	Standard Chartered Bank	Tencent Hldg Eu Put Wts Feb 2014 C	0.33	348.88 per 100 units	2014/02/05	100,000,000	2013/08/06
26395	UBS AG	Yanzhou Coal European Wts Jan 2014 B	0.5	6.26 per unit	2014/01/22	30,000,000	2013/08/06
26420	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Mar 2014 A	0.25	16.5	2014/03/04	100,000,000	2013/08/07
26428	Credit Suisse AG	KECL European Warrants Feb 2014 A	0.41	14.08 per unit	2014/02/10	70,000,000	2013/08/07
26427	Credit Suisse AG	KECL European Warrants Jun 2014 A	0.15	11.4	2014/06/30	70,000,000	2013/08/07
26429	Citigroup Global Mkt H Inc.	Tencent European Wts Feb 2014 D	0.26	393 per 100 units	2014/02/06	100,000,000	2013/08/07
26418	Citigroup Global Mkt H Inc.	Tencent European Wts May 2014 A	0.25	428 per 100 units	2014/05/19	100,000,000	2013/08/07
26416	Daiwa Capital Mkt	Tencent Holdings European Wts Mar 2014 B	0.25	408 per 100 units	2014/03/03	128,000,000	2013/08/07
26417	HK Bank	CITIC Sec European Warrants Jan 2014 A	0.15	16.48	2014/01/06	100,000,000	2013/08/07
26410	HK Bank	CNBM European Warrants Jun 2014 A	0.5	8.88 per unit	2014/06/30	68,000,000	2013/08/07
26409	HK Bank	Ch Ship Cont European Warrants Mar 2014 A	0.25	2.28 per unit	2014/03/03	80,000,000	2013/08/07
26405	HK Bank	CSR European Warrants Feb 2014 A	0.3	6.18 per unit	2014/02/28	68,000,000	2013/08/07
26406	HK Bank	Geely Auto European Warrants Feb 2014 A	0.25	3.88 per unit	2014/02/28	80,000,000	2013/08/07
26404	HK Bank	Haitong Sec European Warrants May 2014 A	0.15	11.9	2014/05/20	100,000,000	2013/08/07
26403	HK Bank	Jiangxi Copper European Warrants Feb 2014 A	0.15	14.35	2014/02/19	128,000,000	2013/08/07
26407	HK Bank	Tencent European Warrants Feb 2014 B	0.25	428.88 per 100 units	2014/02/28	100,000,000	2013/08/07
26408	HK Bank	Tencent European Put Warrants Feb 2014 C	0.4	368.88 per 100 units	2014/02/07	80,000,000	2013/08/07
26426	J P Morgan SP BV	Anton Oilfield European Wts Feb 2014 A	0.474	6 per unit	2014/02/06	50,000,000	2013/08/07
26430	J P Morgan SP BV	Li & Fung European Warrants Dec 2014 A	0.15	11.268	2014/12/24	200,000,000	2013/08/07
26425	J P Morgan SP BV	Yanzhou Coal European Warrants Jan 2014 A	0.309	6.26 per unit	2014/01/22	50,000,000	2013/08/07
26423	Macquarie Bank Ltd.	HN Renewables European Wts Mar 2014 A	0.25	3.90 per unit	2014/03/04	40,000,000	2013/08/07
26412	Standard Chartered Bank	AIA European Warrants Apr 2014 A	0.15	42.88	2014/04/16	100,000,000	2013/08/07
26413	Standard Chartered Bank	YZ Coal European Wts Jan 2014 A	0.4	6.25 per unit	2014/01/22	60,000,000	2013/08/07
26421	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Feb 2014 B	0.215	95.88	2014/02/05	120,000,000	2013/08/07
26424	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Dec 2013 B	0.265	98	2013/12/23	100,000,000	2013/08/07
26422	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Feb 14 A	0.16	60.05	2014/02/05	100,000,000	2013/08/07
26411	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 14 A	0.25	430.08 per 100 units	2014/05/05	200,000,000	2013/08/07
24927 #	Goldman Sachs SP (Asia)	A50 China European Wts Aug 2014 A	0.091	9.19	2014/08/01	128,000,000	2013/08/07
26456	Credit Suisse AG	Minsheng Bank European Wts Feb 2014 A	0.31	10.98 per unit	2014/02/10	60,000,000	2013/08/08
26452	Credit Suisse AG	HSI European Warrants Dec 2013 J	0.15	22,400 per 6,000 units	2013/12/30	200,000,000	2013/08/08
26453	Credit Suisse AG	HSI European Put Warrants Nov 2013 C	0.18	22,200 per 7,000 units	2013/11/28	200,000,000	2013/08/08
26455	Credit Suisse AG	HSI European Put Warrants Dec 2013 K	0.15	21,600 per 7,000 units	2013/12/30	200,000,000	2013/08/08
26440	HK Bank	Agricultural Bank European Warrants Feb 2014 A	0.25	3.38 per unit	2014/02/28	80,000,000	2013/08/08
26438	HK Bank	China Coal European Warrants Mar 2014 A	0.26	4.98 per unit	2014/03/03	68,000,000	2013/08/08
26433	HK Bank	CPIC European Warrants Jun 2014 A	0.25	29.88	2014/06/30	80,000,000	2013/08/08
26434	HK Bank	Evergrande European Warrants Mar 2014 A	0.25	3.38 per unit	2014/03/03	100,000,000	2013/08/08
26437	HK Bank	HSCEI European Warrants Jan 2014 A	0.2	9,438 per 4,000 units	2014/01/29	200,000,000	2013/08/08
26435	HK Bank	HSCEI European Warrants Jun 2014 A	0.25	10,188 per 3,900 units	2014/06/27	200,000,000	2013/08/08
26436	HK Bank	HSCEI European Put Warrants Dec 2013 D	0.15	9,588 per 3,900 units	2013/12/30	150,000,000	2013/08/08
26432	HK Bank	Lenovo European Warrants Mar 2014 A	0.33	8.38 per unit	2014/03/31	80,000,000	2013/08/08
26450	Macquarie Bank Ltd.	China EB Int'l European Wts Mar 2014 A	0.357	9.28 per unit	2014/03/03	40,000,000	2013/08/08

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26444	Standard Chartered Bank	Sands China Euro Wts Mar 2014 A	0.35	44.88	2014/03/07	100,000,000	2013/08/08
26441	Standard Chartered Bank	Tencent Hldg Euro Wts Jan 2014 C	0.28	369.08 per 100 units	2014/01/21	150,000,000	2013/08/08
26443	Standard Chartered Bank	Tencent Hldg Eu Put Wts Feb 2014 D	0.17	314.60 per 100 units	2014/02/20	150,000,000	2013/08/08
26449	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Mar16 A	0.23	7.38	2016/03/07	200,000,000	2013/08/08
26446	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Nov 2014A	0.15	14.7	2014/11/17	300,000,000	2013/08/08
26448	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jul 2014 A	0.15	11.38	2014/07/02	100,000,000	2013/08/08
26497	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Oct15A	0.32	3.60 per unit	2015/10/05	100,000,000	2013/08/09
26498	BNP Paribas Arbit Issu B.V.	Anta Sports Euro Wt Apr 2016 A	0.25	9	2016/04/05	100,000,000	2013/08/09
26496	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Apr2014 A	0.25	27.2	2014/04/02	100,000,000	2013/08/09
26500	BNP Paribas Arbit Issu B.V.	Ch State Con Eu Wts Apr 2015 A	0.25	14.4	2015/04/02	100,000,000	2013/08/09
26499	BNP Paribas Arbit Issu B.V.	MGM China Euro Wts Mar 2014 A	0.28	24	2014/03/04	75,000,000	2013/08/09
26477	Credit Suisse AG	Hutchison European Warrants Dec 2013 A	0.39	98	2013/12/16	80,000,000	2013/08/09
26478	Credit Suisse AG	Hutchison European Warrants Feb 2014 A	0.37	102.88	2014/02/10	80,000,000	2013/08/09
26479	Credit Suisse AG	Hutchison European Put Wts Nov 2013 A	0.16	80	2013/11/25	80,000,000	2013/08/09
26492	Citigroup Global Mkt H Inc.	MGM China Euro Wts Feb 2014 A	0.25	25.88	2014/02/10	50,000,000	2013/08/09
26495	Citigroup Global Mkt H Inc.	Wynn Macau Euro Wts Feb 2014 A	0.25	23.88	2014/02/10	50,000,000	2013/08/09
26475	Daiwa Capital Mkt	HSI European Warrants Feb 2014 A	0.25	22,200 per 6,700 units	2014/02/27	200,000,000	2013/08/09
26476	Daiwa Capital Mkt	Hutchison European Warrants Feb 2014 A	0.28	102.88	2014/02/10	128,000,000	2013/08/09
26466	Goldman Sachs SP (Asia)	AIA European Warrants Apr 2014 A	0.15	42.9	2014/04/28	120,000,000	2013/08/09
26469	HK Bank	CITIC Bank European Warrants Dec 2013 B	0.15	4.19 per unit	2013/12/16	80,000,000	2013/08/09
26470	HK Bank	China Mobile European Warrants Feb 2014 A	0.25	86.88	2014/02/28	100,000,000	2013/08/09
26467	HK Bank	China Tel European Warrants Mar 2014 A	0.25	4.38 per unit	2014/03/03	68,000,000	2013/08/09
26468	HK Bank	Huaneng Power European Warrants Mar 2014 A	0.5	9.38 per unit	2014/03/03	50,000,000	2013/08/09
26474	HK Bank	HWL European Warrants Dec 2013 C	0.21	98.06	2013/12/16	100,000,000	2013/08/09
26491	J P Morgan SP BV	AIA European Put Warrants Feb 2014 B	0.25	34.88	2014/02/10	150,000,000	2013/08/09
26490	J P Morgan SP BV	CSOP A50 ETF European Warrants Feb 2014 A	0.319	9.50 per unit	2014/02/10	200,000,000	2013/08/09
26488	J P Morgan SP BV	HSI European Warrants Feb 2014 B	0.25	23,000 per 6,600 units	2014/02/27	300,000,000	2013/08/09
26489	J P Morgan SP BV	HSI European Put Warrants Feb 2014 C	0.255	22,600 per 8,800 units	2014/02/27	300,000,000	2013/08/09
26487	J P Morgan SP BV	Ping An European Warrants Mar 2014 A	0.25	58.88	2014/03/03	200,000,000	2013/08/09
26485	J P Morgan SP BV	Sands China European Put Wts Feb 2014 A	0.25	40	2014/02/10	150,000,000	2013/08/09
26481	Macquarie Bank Ltd.	Hutchison European Warrants Mar 2014 A	0.25	105	2014/03/04	100,000,000	2013/08/09
26480	Macquarie Bank Ltd.	MGM China European Warrants Mar 2014 A	0.25	27	2014/03/04	40,000,000	2013/08/09
26460	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Dec 2013 I	0.15	22,500 per 6,000 units	2013/12/30	100,000,000	2013/08/09
26459	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2014 E	0.186	22,800 per 6,000 units	2014/01/29	100,000,000	2013/08/09
26463	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jan 2014 F	0.215	21,500 per 6,500 units	2014/01/29	100,000,000	2013/08/09
26462	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2014 B	0.25	22,000 per 6,000 units	2014/02/27	100,000,000	2013/08/09
26457	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2014 C	0.15	21,400 per 7,500 units	2014/01/29	100,000,000	2013/08/09
26458	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jan 2014 D	0.152	22,000 per 8,000 units	2014/01/29	100,000,000	2013/08/09
26482	UBS AG	Cheung Kong European Warrants Feb 2014 A	0.25	138.88	2014/02/10	100,000,000	2013/08/09
26484	UBS AG	Hutchison European Warrants Feb 2014 B	0.4	103.88	2014/02/10	100,000,000	2013/08/09
26483	UBS AG	SPDR Gold Trust European Warrants Jan 2014 A	0.66	1,000 per 100 units	2014/01/24	100,000,000	2013/08/09
26515	BNP Paribas Arbit Issu B.V.	Evergrande Euro Wts Aug 2014 A	0.25	4.90 per unit	2014/08/04	95,000,000	2013/08/12
26510	BNP Paribas Arbit Issu B.V.	HKEx European Wts Apr 2014 A	0.27	150	2014/04/02	60,000,000	2013/08/12

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26505	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Nov 2013 B	0.17	22,200 per 7,000 units	2013/11/28	100,000,000	2013/08/12
26512	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Apr 2014 A	0.25	450 per 100 units	2014/04/02	100,000,000	2013/08/12
26513	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Apr 2014 B	0.25	325 per 100 units	2014/04/02	100,000,000	2013/08/12
26516	Credit Suisse AG	Kingsoft European Warrants Feb 2014 B	0.29	16.88	2014/02/11	60,000,000	2013/08/12
26517	Credit Suisse AG	Tencent Holdings European Wts Feb 2014 C	0.25	439.38 per 100 units	2014/02/24	100,000,000	2013/08/12
26518	Credit Suisse AG	Tencent Holdings European Wts Feb 2014 D	0.25	420.88 per 100 units	2014/02/11	100,000,000	2013/08/12
26519	Credit Suisse AG	Tencent Holdings Euro Put Wts Jan 2014 F	0.27	348.80 per 100 units	2014/01/27	100,000,000	2013/08/12
26525	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Feb 2014 E	0.44	380 per 100 units	2014/02/14	80,000,000	2013/08/12
26509	Daiwa Capital Mkt	HSBC European Warrants Feb 2014 B	0.18	99.98	2014/02/21	128,000,000	2013/08/12
26530	Goldman Sachs SP (Asia)	BOCL European Warrants Apr 2014 A	0.178	3.49 per unit	2014/04/30	80,000,000	2013/08/12
26526	Goldman Sachs SP (Asia)	HSBC European Warrants Jan 2014 B	0.15	100.09	2014/01/23	120,000,000	2013/08/12
26527	Goldman Sachs Sp (Asia)	ICBC European Warrants Feb 2014 A	0.252	5.50 per unit	2014/02/27	80,000,000	2013/08/12
26504	HK Bank	Esprit European Warrants Jul 2014 A	0.25	15.38	2014/07/31	100,000,000	2013/08/12
26501	HK Bank	HWL European Warrants Feb 2014 A	0.25	105.88	2014/02/12	88,000,000	2013/08/12
26503	HK Bank	HWL European Warrants Feb 2014 B	0.3	100.88	2014/02/12	88,000,000	2013/08/12
26506	UBS AG	China Overseas European Warrants Jan 2014 A	0.17	25.05	2014/01/24	100,000,000	2013/08/12
26524	UBS AG	HSI European Warrants Feb 2014 C	0.25	22,200 per 7,000 units	2014/02/27	300,000,000	2013/08/12
26507	UBS AG	HSI European Put Warrants Jan 2014 C	0.15	21,900 per 8,000 units	2014/01/29	300,000,000	2013/08/12
26508	UBS AG	HSI European Put Warrants Feb 2014 B	0.15	20,900 per 6,000 units	2014/02/27	300,000,000	2013/08/12
26521	UBS AG	Tencent Holdings European Warrants Feb 2014 E	0.25	428.88 per 100 units	2014/02/18	100,000,000	2013/08/12
26542	Citigroup Global Mkt H Inc.	HSBC European Wts Jan 2014 A	0.16	100.1	2014/01/24	80,000,000	2013/08/13
26567	Goldman Sachs SP (Asia)	HSI European Warrants Jan 2014 D	0.15	22,900 per 6,000 units	2014/01/29	150,000,000	2013/08/13
26566	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2014 B	0.167	23,100 per 5,500 units	2014/04/29	150,000,000	2013/08/13
26561	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 F	0.228	22,900 per 7,500 units	2013/12/30	150,000,000	2013/08/13
26562	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2014 B	0.257	22,400 per 6,500 units	2014/02/27	150,000,000	2013/08/13
26537	HK Bank	BYD European Warrants Jun 2014 A	0.35	35.88	2014/06/30	50,000,000	2013/08/13
26538	HK Bank	Cheung Kong European Warrants Feb 2014 A	0.3	128.88	2014/02/28	80,000,000	2013/08/13
26539	HK Bank	China Mobile European Warrants Jan 2014 A	0.17	94.93	2014/01/20	100,000,000	2013/08/13
26540	HK Bank	China Mobile European Warrants Jan 2014 B	0.15	91.93	2014/01/13	100,000,000	2013/08/13
26541	HK Bank	HSI European Warrants Jan 2014 D	0.15	22,900 per 6,000 units	2014/01/29	200,000,000	2013/08/13
26548	J P Morgan SP BV	MGM China European Warrants Feb 2014 B	0.252	26.88	2014/02/12	60,000,000	2013/08/13
26547	J P Morgan SP BV	MGM China European Put Wts Feb 2014 A	0.251	20	2014/02/12	60,000,000	2013/08/13
26546	J P Morgan SP BV	Tencent European Warrants Feb 2014 E	0.25	425 per 100 units	2014/02/12	200,000,000	2013/08/13
26544	J P Morgan SP BV	Tencent European Put Warrants Feb 2014 D	0.358	375 per 100 units	2014/02/12	200,000,000	2013/08/13
26558	Macquarie Bank Ltd.	Kingsoft European Warrants Feb 2014 A	0.25	18.88	2014/02/13	80,000,000	2013/08/13
26549	Standard Chartered Bank	China Life European Wts Mar 2014 B	0.25	17.88	2014/03/28	100,000,000	2013/08/13
26550	Standard Chartered Bank	China Shenhua Euro Wts Mar 2014 A	0.25	22.88	2014/03/05	100,000,000	2013/08/13
26554	Standard Chartered Bank	HSI European Put Wts Dec 2013 E	0.2	22,800 per 7,500 units	2013/12/30	100,000,000	2013/08/13
26553	Standard Chartered Bank	Tencent Hldg Euro Wts Feb 2014 E	0.25	428.88 per 100 units	2014/02/12	150,000,000	2013/08/13
26531	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Dec2013 D	0.17	18.3	2013/12/30	120,000,000	2013/08/13
26559	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Feb 2014 B	0.465	8.80 per unit	2014/02/12	30,000,000	2013/08/13
26532	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jan 2014 A	0.52	88.88	2014/01/27	120,000,000	2013/08/13
26555	UBS AG	HSBC European Warrants Dec 2013 B	0.17	92.65	2013/12/24	100,000,000	2013/08/13

Newly listed derivative warrants *(continued)*

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26556	UBS AG	HSBC European Warrants Feb 2014 A	0.16	95.93	2014/02/07	100,000,000	2013/08/13
26591	Credit Suisse AG	AIA European Warrants Jan 2014 C	0.16	38.9	2014/01/27	80,000,000	2013/08/14
26590	Credit Suisse AG	China Life European Warrants Dec 2013 A	0.15	18.9	2013/12/23	80,000,000	2013/08/14
26589	Credit Suisse AG	HSBC European Wts Nov 2013 B	0.15	90	2013/11/29	80,000,000	2013/08/14
26577	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Dec 2013 C	0.212	46.88	2013/12/16	80,000,000	2013/08/14
26576	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Feb 14 A	0.33	38.8	2014/02/14	60,000,000	2013/08/14
26575	Citigroup Global Mkt H Inc.	MGM China Eu Put Wt Feb 2014 B	0.25	20.18	2014/02/13	80,000,000	2013/08/14
26587	Bank of East Asia	Brilliance Chi European Wts Jul 2014 A	0.258	9.114	2014/07/22	60,000,000	2013/08/14
26584	Bank of East Asia	SJM Holdings European Wts Jul 2014 A	0.254	22.666	2014/07/22	40,000,000	2013/08/14
26568	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Jun 2014 A	0.251	28.8	2014/06/30	80,000,000	2013/08/14
26572	Goldman Sachs SP (Asia)	A50 China European Wts Feb 2014 A	0.251	10.50 per unit	2014/02/28	80,000,000	2013/08/14
26569	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Dec 2013 B	0.251	46.88	2013/12/16	80,000,000	2013/08/14
26570	Goldman Sachs SP (Asia)	Tencent European Wt Feb 2014 E	0.252	428 per 100 units	2014/02/27	120,000,000	2013/08/14
26574	J P Morgan SP BV	HSBC European Warrants Jan 2014 C	0.15	95.88	2014/01/27	200,000,000	2013/08/14
26582	J P Morgan SP BV	Kingsoft European Warrants Feb 2014 B	0.25	19	2014/02/13	200,000,000	2013/08/14
26573	J P Morgan SP BV	Melco Int'l Dev European Wts Mar 2014 A	0.25	20	2014/03/04	70,000,000	2013/08/14
26585	Macquarie Bank Ltd.	Tencent European Warrants Mar 2014 A	0.25	420 per 100 units	2014/03/04	150,000,000	2013/08/14
26578	Standard Chartered Bank	China Mobile Euro Wts Jan 2014 A	0.19	88.88	2014/01/06	100,000,000	2013/08/14
26579	Standard Chartered Bank	HKEx European Warrants Feb 2014 B	0.25	155.55	2014/02/21	100,000,000	2013/08/14
26580	Standard Chartered Bank	Tencent Hldg Eu Put Wts Feb 2014 F	0.31	368.88 per 100 units	2014/02/13	150,000,000	2013/08/14
26592	UBS AG	Tencent Holdings European Put Warrants Feb 2014 F	0.15	304.80 per 100 units	2014/02/07	100,000,000	2013/08/14
26595	HK Bank	Minsheng Bank European Warrants Feb 2014 A	0.35	9.18 per unit	2014/02/28	68,000,000	2013/08/15
26596	HK Bank	Sinopec Corp European Warrants Jan 2014 B	0.34	5.81 per unit	2014/01/20	68,000,000	2013/08/15
26594	HK Bank	Foxconn European Warrants Mar 2014 A	0.4	4.58 per unit	2014/03/03	50,000,000	2013/08/15
26598	HK Bank	PetroChina European Warrants Jan 2014 B	0.35	9.51 per unit	2014/01/23	68,000,000	2013/08/15
26602	Macquarie Bank Ltd.	Datang European Warrants Apr 2014 A	0.25	4.50 per unit	2014/04/02	40,000,000	2013/08/15
26603	Macquarie Bank Ltd.	Esprit European Warrants Aug 2014 A	0.25	15.88	2014/08/04	100,000,000	2013/08/15
26601	Macquarie Bank Ltd.	Nine Dragons European Wts Feb 2014 A	0.22	6.88 per unit	2014/02/05	50,000,000	2013/08/15
26599	Standard Chartered Bank	Anhui Conch Euro Wts Mar 2014 B	0.25	26.88	2014/03/17	100,000,000	2013/08/15
26604	UBS AG	China Mobile European Warrants Jan 2014 B	0.2	88.93	2014/01/06	100,000,000	2013/08/15
26600	UBS AG	HSCEI European Warrants Jun 2014 A	0.17	10,200 per 3,900 units	2014/06/27	100,000,000	2013/08/15
26607	UBS AG	HSCEI European Warrants Jun 2014 B	0.25	9,800 per 4,000 units	2014/06/27	100,000,000	2013/08/15
26605	UBS AG	Ping An European Warrants Jan 2014 A	0.15	59.93	2014/01/13	100,000,000	2013/08/15
26606	UBS AG	Tencent Holdings European Warrants Jan 2014 E	0.33	369.08 per 100 units	2014/01/30	100,000,000	2013/08/15
26336 #	Credit Suisse AG	Tencent Holdings European Wts Feb 2014 B	0.196	405.80 per 100 units	2014/02/04	120,000,000	2013/08/15
26622	BNP Paribas Arbit Issu B.V.	Angang Steel Eur Wt Jul 2014 A	0.57	6 per unit	2014/07/03	26,000,000	2013/08/16
26623	BNP Paribas Arbit Issu B.V.	China Gas Hold Euro Wt Nov14 A	0.16	10	2014/11/04	100,000,000	2013/08/16
26624	BNP Paribas Arbit Issu B.V.	Ch Oil & Gas Eur Wt Jul 2014 A	0.27	1.60 per unit	2014/07/03	57,000,000	2013/08/16
26621	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Mar 2014 A	0.26	105	2014/03/04	100,000,000	2013/08/16
26625	BNP Paribas Arbit Issu B.V.	ZTE European Wts Aug 2014 A	0.27	14.88	2014/08/04	87,000,000	2013/08/16
26620	Credit Suisse	Yanzhou Coal European Put Wts Feb 2014 A	0.43	5 per unit	2014/02/17	50,000,000	2013/08/16
26626	Goldman Sachs SP (Asia)	Ch Overseas European Wts Sep 2014 A	0.25	26.88	2014/09/29	120,000,000	2013/08/16
26608	HK Bank	AIA European Put Warrants Mar 2014 C	0.15	32.45	2014/03/24	80,000,000	2013/08/16

Newly listed derivative warrants *(continued)*

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26609	HK Bank	Tencent European Warrants Jan 2014 D	0.18	406 per 100 units	2014/01/24	100,000,000	2013/08/16
26615	Standard Chartered Bank	Agricultural Bk Eur Wts Feb 2014 A	0.17	3.39 per unit	2014/02/21	80,000,000	2013/08/16
26614	Standard Chartered Bank	China Mobile Eur Put Wt Feb 2014 A	0.25	72.88	2014/02/27	100,000,000	2013/08/16
26613	Standard Chartered Bank	Kingsoft European Wts Feb 2014 A	0.25	18	2014/02/17	50,000,000	2013/08/16
26617	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2014 A	0.212	430.10 per 100 units	2014/04/25	100,000,000	2013/08/16
26618	Standard Chartered Bank	Tencent Hldg Euro Wts Aug 2014 A	0.25	448.48 per 100 units	2014/08/08	100,000,000	2013/08/16
26619	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jun 2014 A	0.355	333.38 per 100 units	2014/06/03	100,000,000	2013/08/16
26611	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Feb 2014 A	0.2	5.48 per unit	2014/02/05	100,000,000	2013/08/16
26610	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jan 14 A	0.235	53.9	2014/01/06	100,000,000	2013/08/16
26612	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Mar 14 A	0.25	118	2014/03/03	100,000,000	2013/08/16
26647	Credit Suisse AG	Kingsoft European Warrants Feb 2014 C	0.26	19.48	2014/02/24	60,000,000	2013/08/19
26638	Standard Chartered Bank	AIA European Warrants Jul 2014 A	0.25	38.88	2014/07/18	100,000,000	2013/08/19
26633	Standard Chartered Bank	Galaxy Ent European Wts Aug 2014 A	0.38	48.88	2014/08/08	100,000,000	2013/08/19
26636	Standard Chartered Bank	Ping An European Wts Feb 2014 B	0.19	58.93	2014/02/24	100,000,000	2013/08/19
26632	Standard Chartered Bank	Sands China Euro Wts Aug 2014 A	0.38	48	2014/08/08	100,000,000	2013/08/19
26634	Standard Chartered Bank	SHK P European Warrants Jan 2014 A	0.155	120.01	2014/01/13	80,000,000	2013/08/19
26635	Standard Chartered Bank	SHK P European Warrants Jun 2014 A	0.25	128	2014/06/30	50,000,000	2013/08/19
26628	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Feb14A	0.19	4.59 per unit	2014/02/05	60,000,000	2013/08/19
26641	UBS AG	HSI European Warrants Dec 2013 M	0.15	22,400 per 6,000 units	2013/12/30	300,000,000	2013/08/19
26639	UBS AG	HSI European Warrants Mar 2014 A	0.25	23,000 per 6,000 units	2014/03/28	300,000,000	2013/08/19
26631	UBS AG	HSI European Put Warrants Feb 2014 D	0.15	20,300 per 7,000 units	2014/02/27	300,000,000	2013/08/19
26643	UBS AG	Hutchison European Warrants Feb 2014 C	0.3	108.88	2014/02/18	100,000,000	2013/08/19
26676	Credit Suisse AG	China Life European Warrants Dec 2013 B	0.15	20	2013/12/24	80,000,000	2013/08/20
26677	Credit Suisse AG	A50 China European Warrants Dec 2013 A	0.22	11 per unit	2013/12/27	80,000,000	2013/08/20
26673	Credit Suisse AG	Ping An European Warrants Nov 2013 A	0.15	60	2013/11/25	80,000,000	2013/08/20
26674	Credit Suisse AG	Ping An European Warrants Dec 2013 C	0.15	63.9	2013/12/16	80,000,000	2013/08/20
26675	Credit Suisse AG	SJM Holdings European Warrants Dec 2013 A	0.15	21.85	2013/12/16	70,000,000	2013/08/20
26668	Daiwa Capital Mkt	Agricultural Bank European Wts Mar 2014 A	0.25	3.60 per unit	2014/03/03	108,000,000	2013/08/20
26678	Goldman Sachs SP (Asia)	Tencent European Wt Jan 2014 B	0.27	393 per 100 units	2014/01/30	120,000,000	2013/08/20
26680	Goldman Sachs SP (Asia)	Tencent European Put Wt Feb 2014 F	0.444	380 per 100 units	2014/02/07	48,000,000	2013/08/20
26657	HK Bank	Anhui Conch European Warrants Jan 2014 A	0.15	29.93	2014/01/10	100,000,000	2013/08/20
26653	HK Bank	AIA European Put Warrants Jan 2014 B	0.18	34.83	2014/01/30	80,000,000	2013/08/20
26655	HK Bank	China Unicom European Warrants Sep 2014 A	0.15	12.79	2014/09/29	100,000,000	2013/08/20
26650	HK Bank	HSI European Put Warrants Dec 2013 I	0.18	22,788 per 7,500 units	2013/12/30	180,000,000	2013/08/20
26649	HK Bank	HSI European Put Warrants Jan 2014 E	0.15	22,100 per 8,000 units	2014/01/29	200,000,000	2013/08/20
26656	HK Bank	Jiangxi Copper European Warrants Jan 2014 A	0.15	16.7	2014/01/20	100,000,000	2013/08/20
26654	HK Bank	Ping An European Warrants Dec 2013 C	0.15	62.33	2013/12/19	80,000,000	2013/08/20
26667	J P Morgan SP BV	BOCL European Warrants Mar 2014 A	0.25	3.70 per unit	2014/03/04	200,000,000	2013/08/20
26659	J P Morgan SP BV	ICBC European Warrants Mar 2014 A	0.25	5.68 per unit	2014/03/03	200,000,000	2013/08/20
26660	J P Morgan SP BV	Yurun Food European Warrants Jun 2014 A	0.25	6.50 per unit	2014/06/17	50,000,000	2013/08/20
26663	Standard Chartered Bank	CSOP A50 ETF Euro Wts May 2014 A	0.25	11.22 per unit	2014/05/09	100,000,000	2013/08/20
26664	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jun 2015 A	0.179	8.51	2015/06/25	80,000,000	2013/08/20
26661	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Feb 2014 A	0.2	6.59 per unit	2014/02/05	100,000,000	2013/08/20

Newly listed derivative warrants *(continued)*

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26662	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Mar 2014 A	0.19	6.79 per unit	2014/03/03	100,000,000	2013/08/20
26670	UBS AG	China National Building European Wts Feb 2014 A	0.48	9.88 per unit	2014/02/19	30,000,000	2013/08/20
26671	UBS AG	A50 China European Warrants Feb 2014 A	0.25	11.88 per unit	2014/02/19	100,000,000	2013/08/20
26672	UBS AG	HSCEI European Warrants Mar 2014 B	0.25	9,800 per 5,000 units	2014/03/28	100,000,000	2013/08/20
26669	UBS AG	Tencent Holdings European Warrants Mar 2014 A	0.19	450.20 per 100 units	2014/03/26	100,000,000	2013/08/20
26724	Citigroup Global Mkt H Inc.	Minsheng Bank Euro Wts Dec14 A	0.16	8.88	2014/12/23	200,000,000	2013/08/22
26729	Citigroup Global Mkt H Inc.	Minsheng Bank Eu Put Wt Dec14B	0.2	8.99	2014/12/22	200,000,000	2013/08/22
26730	Citigroup Global Mkt H Inc.	Ch Overseas Euro Wt Mar 2014 A	0.21	27.18	2014/03/27	80,000,000	2013/08/22
26728	Citigroup Global Mkt H Inc.	Ch Overseas Eu Put Wt Feb 14 A	0.255	24.28	2014/02/21	80,000,000	2013/08/22
26707	Goldman Sachs SP (Asia)	CNBM European Warrants Feb 2014 A	0.52	8.80 per unit	2014/02/05	48,000,000	2013/08/22
26706	Goldman Sachs SP (Asia)	Kingsoft European Wts Mar 2014 A	0.252	17.8	2014/03/31	80,000,000	2013/08/22
26695	HK Bank	Agricultural Bank European Warrants Jul 2014 A	0.25	3.68 per unit	2014/07/31	80,000,000	2013/08/22
26694	HK Bank	CM Bank European Warrants Aug 2014 A	0.15	15.02	2014/08/26	100,000,000	2013/08/22
26696	HK Bank	China Overseas European Warrants Mar 2014 A	0.18	27.25	2014/03/26	88,000,000	2013/08/22
26697	HK Bank	HKEx European Warrants Dec 2015 A	0.17	126.78 per 100 units	2015/12/24	120,000,000	2013/08/22
26689	HK Bank	HSI European Warrants Feb 2014 A	0.18	23,100 per 6,600 units	2014/02/27	180,000,000	2013/08/22
26693	HK Bank	HSI European Warrants Apr 2014 A	0.15	24,088 per 6,000 units	2014/04/29	180,000,000	2013/08/22
26688	J P Morgan SP BV	Anhui Conch European Warrants Mar 2014 A	0.25	30	2014/03/04	200,000,000	2013/08/22
26687	J P Morgan SP BV	CPIC European Warrants Mar 2014 A	0.25	30	2014/03/03	150,000,000	2013/08/22
26685	J P Morgan SP BV	HKEx European Put Wts Dec 2014 A	0.15	113 per 100 units	2014/12/31	300,000,000	2013/08/22
26686	J P Morgan SP BV	HSI European Put Warrants Feb 2014 D	0.25	23,200 per 8,800 units	2014/02/27	300,000,000	2013/08/22
26683	J P Morgan SP BV	Hutchison European Put Wts Mar 2014 A	0.25	83.88	2014/03/03	80,000,000	2013/08/22
26682	J P Morgan SP BV	ICBC European Warrants Mar 2014 B	0.25	6.18 per unit	2014/03/03	200,000,000	2013/08/22
26681	J P Morgan SP BV	Sands China European Warrants Mar 2014 A	0.25	49.88	2014/03/04	200,000,000	2013/08/22
26720	Macquarie Bank Ltd.	Chinares Cement European Wt Apr 2014 A	0.25	6.75 per unit	2014/04/02	40,000,000	2013/08/22
26708	Macquarie Bank Ltd.	HKEx European Put Warrants Jan 2015 B	0.15	113 per 100 units	2015/01/05	250,000,000	2013/08/22
26723	Macquarie Bank Ltd.	SUNAC European Warrants Feb 2014 A	0.442	8 per unit	2014/02/24	50,000,000	2013/08/22
26712	Standard Chartered Bank	China Coal European Wts Mar 2014 A	0.35	6.18 per unit	2014/03/03	50,000,000	2013/08/22
26711	Standard Chartered Bank	China Shenhua Euro Wts Apr 2014 A	0.25	28.28	2014/04/08	100,000,000	2013/08/22
26710	Standard Chartered Bank	HSCEI European Warrants Mar 2014 B	0.25	10,000 per 3,800 units	2014/03/28	100,000,000	2013/08/22
26709	Standard Chartered Bank	HSCEI European Put Wts Mar 2014 A	0.25	10,400 per 4,000 units	2014/03/28	100,000,000	2013/08/22
26713	Standard Chartered Bank	Jiangxi Copper Euro Wts Mar 2014 A	0.25	14.48	2014/03/10	100,000,000	2013/08/22
26714	Standard Chartered Bank	Jiangxi Copper Euro Wts Jul 2014 A	0.16	17.4	2014/07/28	100,000,000	2013/08/22
26704	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Apr 14 A	0.18	4.68 per unit	2014/04/02	60,000,000	2013/08/22
26716	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb 14 A	0.18	94.93	2014/02/05	150,000,000	2013/08/22
26702	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Jan 2014A	0.225	6.39 per unit	2014/01/06	70,000,000	2013/08/22
26698	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jan 2014 A	0.15	22,900 per 6,000 units	2014/01/29	200,000,000	2013/08/22
26700	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Feb 2014 B	0.24	105.88	2014/02/05	100,000,000	2013/08/22
26705	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Apr 2014 A	0.155	6.29 per unit	2014/04/02	100,000,000	2013/08/22
26701	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Sep 2014 A	0.165	13.02	2014/09/01	120,000,000	2013/08/22
26703	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Mar 14 A	0.185	439.58 per 100 units	2014/03/03	150,000,000	2013/08/22
26717	UBS AG	AIA European Put Warrants Jan 2014 D	0.23	34.83	2014/01/30	50,000,000	2013/08/22
26719	UBS AG	CC Bank European Put Warrants Feb 2014 A	0.38	5.88 per unit	2014/02/21	50,000,000	2013/08/22

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26718	UBS AG	ICBC European Put Warrants Feb 2014 A	0.25	4.88 per unit	2014/02/21	50,000,000	2013/08/22
26760	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2015 A	0.25	22	2015/01/05	100,000,000	2013/08/23
26761	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Mar 2014 A	0.25	2.40 per unit	2014/03/04	100,000,000	2013/08/23
26764	BNP Paribas Arbit Issu B.V.	Hang Seng Bank Eu Wt Mar 2014A	0.44	135	2014/03/04	23,000,000	2013/08/23
26763	BNP Paribas Arbit Issu B.V.	China Mengniu Eu Wt Mar 2014 A	0.28	36.88	2014/03/04	100,000,000	2013/08/23
26762	BNP Paribas Arbit Issu B.V.	Ping An European Wt Mar 2014 A	0.29	60.88	2014/03/04	100,000,000	2013/08/23
26766	Bank of East Asia	BYD European Warrants May 2014 A	0.251	50	2014/05/16	40,000,000	2013/08/23
26765	Bank of East Asia	Kingsoft European Warrants May 2014 A	0.25	20	2014/05/16	40,000,000	2013/08/23
26745	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2014 C	0.252	23,300 per 5,000 units	2014/02/27	150,000,000	2013/08/23
26753	HK Bank	AIA European Warrants Feb 2014 A	0.15	40.05	2014/02/24	120,000,000	2013/08/23
26750	HK Bank	BOCL European Warrants Aug 2014 A	0.15	3.81 per unit	2014/08/26	80,000,000	2013/08/23
26751	HK Bank	Zijin Mining European Warrants Jul 2014 A	0.25	2.08 per unit	2014/07/31	80,000,000	2013/08/23
26734	J P Morgan SP BV	Minsheng Bank European Wts Mar 2014 A	0.25	10.50 per unit	2014/03/04	40,000,000	2013/08/23
26733	J P Morgan SP BV	Shimao Property European Wts May 2014 A	0.25	20.88	2014/05/02	100,000,000	2013/08/23
26732	J P Morgan SP BV	ZTE European Warrants Jul 2014 B	0.25	16.88	2014/07/02	80,000,000	2013/08/23
26737	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Jan 2014 A	0.209	6.34 per unit	2014/01/06	50,000,000	2013/08/23
26735	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Feb 2014 A	0.203	6.79 per unit	2014/02/26	50,000,000	2013/08/23
26736	Merrill Lynch Int'l & Co. C.V.	CC Bank Eu Put Wt Feb 2014B	0.315	5.70 per unit	2014/02/24	40,000,000	2013/08/23
26742	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Feb 2014 B	0.3	86.93	2014/02/24	40,000,000	2013/08/23
26739	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Feb 14 A	0.26	78	2014/02/25	40,000,000	2013/08/23
26743	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2014 B	0.151	100.08	2014/01/23	70,000,000	2013/08/23
26744	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Jan 2014 C	0.153	95.93	2014/01/27	70,000,000	2013/08/23
26748	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Feb14 A	0.435	10.99 per unit	2014/02/05	30,000,000	2013/08/23
26746	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Apr 15 A	0.15	9.29	2015/04/01	100,000,000	2013/08/23
26747	SGA Societe Generale Acceptance N.V.	PICC Gp Eu Wts Apr14A	0.18	4.51 per unit	2014/04/02	60,000,000	2013/08/23
26756	UBS AG	China Coal European Warrants Jan 2014 A	0.16	6.19 per unit	2014/01/02	65,000,000	2013/08/23
26754	UBS AG	China Life European Warrants Apr 2014 A	0.25	21.38	2014/04/28	100,000,000	2013/08/23
26757	UBS AG	PICC Group European Warrants Feb 2014 A	0.25	4.18 per unit	2014/02/24	60,000,000	2013/08/23
26759	UBS AG	SPDR Gold Trust European Warrants Feb 2014 A	0.25	1,188 per 100 units	2014/02/24	100,000,000	2013/08/23
26758	UBS AG	Yanzhou Coal European Wts Feb 2014 A	0.48	7.80 per unit	2014/02/24	30,000,000	2013/08/23
26778	Goldman Sachs SP (Asia)	CNOOC European Warrants Feb 2014 A	0.34	16.88 per unit	2014/02/28	80,000,000	2013/08/26
26777	Goldman Sachs SP (Asia)	Shimao Ppt European Wts May 2014 A	0.25	20.8	2014/05/30	80,000,000	2013/08/26
26771	HK Bank	Minsheng Bank European Warrants Sep 2015 A	0.25	8.38	2015/09/30	200,000,000	2013/08/26
26770	HK Bank	CSOP A50 ETF European Warrants Dec 2015 C	0.15	10.28	2015/12/10	150,000,000	2013/08/26
26769	HK Bank	A50 China European Warrants Aug 2016 B	0.2	8.49	2016/08/02	200,000,000	2013/08/26
26768	HK Bank	Lenovo European Warrants Apr 2015 A	0.15	9.27	2015/04/08	150,000,000	2013/08/26
26767	J P Morgan SP BV	PetroChina European Warrants Mar 2014 A	0.253	10.28 per unit	2014/03/03	100,000,000	2013/08/26
26773	Macquarie Bank Ltd.	Anhui Conch European Wts Apr 2014 A	0.25	32	2014/04/02	40,000,000	2013/08/26
26775	Macquarie Bank Ltd.	Geely Auto European Wts Apr 2014 A	0.25	5.50 per unit	2014/04/02	40,000,000	2013/08/26
26774	Macquarie Bank Ltd.	Greatwall Motor European Wts Apr 2014 A	0.25	55	2014/04/02	40,000,000	2013/08/26
26779	UBS AG	AIA European Warrants Feb 2014 B	0.25	36.88	2014/02/25	100,000,000	2013/08/26
17773 #	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Dec 2013 D	0.039	39.99	2013/12/23	260,000,000	2013/08/26
26800	Credit Suisse AG	HSI European Warrants Jan 2014 C	0.15	22,800 per 6,000 units	2014/01/29	200,000,000	2013/08/27

Newly listed derivative warrants *(continued)*

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26801	Credit Suisse AG	HSI European Warrants Feb 2014 B	0.15	23,000 per 6,600 units	2014/02/27	200,000,000	2013/08/27
26802	Credit Suisse AG	HSI European Put Warrants Feb 2014 C	0.15	21,000 per 6,000 units	2014/02/27	200,000,000	2013/08/27
26805	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt Jan 2014 A	0.15	28	2014/01/28	80,000,000	2013/08/27
26804	Citigroup Global Mkt H Inc.	China Shenhua Eu Wt Feb 2014 A	0.25	25.88	2014/02/26	80,000,000	2013/08/27
26797	Bank of East Asia	AAC Acoustic European Warrants Feb2014 A	0.265	46	2014/02/26	40,000,000	2013/08/27
26795	Bank of East Asia	CITIC Bank European Warrants Mar 2014 A	0.18	4.68 per unit	2014/03/21	60,000,000	2013/08/27
26794	Bank of East Asia	CM Bank European Warrants Aug 2014 A	0.15	15	2014/08/26	70,000,000	2013/08/27
26803	Bank of East Asia	Galaxy Ent European Warrants Feb 2014 B	0.25	52.27	2014/02/26	40,000,000	2013/08/27
26798	Bank of East Asia	JIANC European Put Warrants Oct 2014 A	0.25	14.358	2014/10/10	60,000,000	2013/08/27
26792	Bank of East Asia	Li & Fung European Warrants Jan 2015 A	0.25	10.8	2015/01/29	60,000,000	2013/08/27
26793	Bank of East Asia	Li & Fung European Put Wts Jan 2015 B	0.25	12	2015/01/29	60,000,000	2013/08/27
26799	Bank of East Asia	Tencent Holdings European Wts Jun 2014 A	0.25	450 per 100 units	2014/06/20	40,000,000	2013/08/27
26790	J P Morgan SP BV	Geely Auto European Warrants Mar 2014 A	0.25	4.50 per unit	2014/03/03	100,000,000	2013/08/27
26789	J P Morgan SP BV	Lenovo European Warrants Mar 2014 A	0.25	8.88 per unit	2014/03/04	100,000,000	2013/08/27
26788	J P Morgan SP BV	Link Reit European Warrants Apr 2014 A	0.25	40	2014/04/01	80,000,000	2013/08/27
26807	Macquarie Bank Ltd.	CNBM European Warrants Apr 2014 B	0.25	11.50 per unit	2014/04/02	40,000,000	2013/08/27
26781	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Mar 2014 B	0.154	32.45	2014/03/21	80,000,000	2013/08/27
26780	Merrill Lynch Int'l & Co. C.V.	A50 China Eur Wt Dec 2013 D	0.201	10.50 per unit	2013/12/30	50,000,000	2013/08/27
26784	Merrill Lynch Int'l & Co. C.V.	A50 China Eu Put Wt Jan14 A	0.255	8.50 per unit	2014/01/02	40,000,000	2013/08/27
26782	Merrill Lynch Int'l & Co. C.V.	HKEx Eur Put Wts Dec 2014 B	0.151	113 per 100 units	2014/12/24	80,000,000	2013/08/27
26787	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Feb 2014 A	0.6	83	2014/02/27	40,000,000	2013/08/27
26785	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Feb 2014 A	0.285	5.51 per unit	2014/02/20	50,000,000	2013/08/27
26806	UBS AG	AIA European Warrants Mar 2014 A	0.15	38.73	2014/03/26	100,000,000	2013/08/27
26808	UBS AG	Galaxy Ent European Warrants Jan 2014 A	0.38	45.05	2014/01/03	100,000,000	2013/08/27
26811	UBS AG	Zhaojin Mining European Warrants Feb 2014 A	0.53	7.88 per unit	2014/02/26	35,000,000	2013/08/27
26339 #	UBS AG	Tencent Holdings European Warrants Feb 2014 C	0.172	408.88 per 100 units	2014/02/11	100,000,000	2013/08/27
26812	BOCI Asia Ltd.	HSI European Put Warrants Jan 2014 A	0.15	22,000 per 8,000 units	2014/01/29	100,000,000	2013/08/28
26813	BOCI Asia Ltd.	Hutchison European Warrants Mar 2014 A	0.25	102.5	2014/03/10	100,000,000	2013/08/28
26815	BOCI Asia Ltd.	Tencent Holdings European Wts Feb 2014 B	0.15	425 per 100 units	2014/02/11	100,000,000	2013/08/28
26814	BOCI Asia Ltd.	Tencent Holdings European Put Wts Feb 2014 A	0.15	318 per 100 units	2014/02/24	100,000,000	2013/08/28
26834	Credit Suisse AG	Anhui Conch European Warrants Dec 2013 B	0.15	28.85	2013/12/24	70,000,000	2013/08/28
26836	Credit Suisse AG	CAM CSI300 European Warrants Mar 2014 A	0.15	31.9	2014/03/24	80,000,000	2013/08/28
26822	Credit Suisse AG	CC Bank European Warrants Jan 2014 A	0.15	6.64 per unit	2014/01/27	80,000,000	2013/08/28
26824	Credit Suisse AG	China Coal European Warrants Dec 2013 A	0.15	6.19 per unit	2013/12/27	70,000,000	2013/08/28
26833	Credit Suisse	Cheung Kong European Put Warrants Dec 2013 C	0.15	90	2013/12/24	70,000,000	2013/08/28
26829	Credit Suisse AG	China Mobile European Warrants Jan 2014 B	0.16	88.9	2014/01/06	80,000,000	2013/08/28
26825	Credit Suisse AG	CNOOC European Warrants Feb 2014 B	0.43	17.38 per unit	2014/02/28	80,000,000	2013/08/28
26827	Credit Suisse AG	CNOOC European Put Warrants Mar 2014 A	0.15	14.98	2014/03/24	80,000,000	2013/08/28
26831	Credit Suisse AG	HKEx European Warrants Jan 2014 B	0.35	135.9	2014/01/30	80,000,000	2013/08/28
26832	Credit Suisse AG	HKEx European Put Warrants Feb 2014 A	0.33	102.88	2014/02/28	80,000,000	2013/08/28
26823	Credit Suisse AG	ICBC European Warrants Feb 2014 A	0.19	5.69 per unit	2014/02/24	80,000,000	2013/08/28
26830	Credit Suisse AG	SHK Ppt European Warrants Feb 2014 A	0.16	118	2014/02/24	70,000,000	2013/08/28
26838	Citigroup Global Mkt H Inc.	AIA European Put Wt Feb 2014 B	0.25	33.66	2014/02/27	50,000,000	2013/08/28

Newly listed derivative warrants *(continued)*

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26840	Citigroup Global Mkt H Inc.	Yanzhou Coal Eur Wts Feb 2014 B	0.36	8 per unit	2014/02/27	30,000,000	2013/08/28
26837	Citigroup Global Mkt H Inc.	YZ Coal Euro Put Wt Feb 2014 A	0.34	5.38 per unit	2014/02/27	30,000,000	2013/08/28
26828	Macquarie Bank Ltd.	Digital China European Wts Jul 2015 A	0.25	9.88	2015/07/03	120,000,000	2013/08/28
26821	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Feb 2014 A	0.15	54.88	2014/02/05	80,000,000	2013/08/28
26819	UBS AG	AIA European Warrants Mar 2014 B	0.26	34.88	2014/03/04	100,000,000	2013/08/28
26818	UBS AG	China Life European Warrants Feb 2014 A	0.25	18.68	2014/02/27	100,000,000	2013/08/28
26820	UBS AG	HSI European Warrants Feb 2014 E	0.25	21,600 per 7,000 units	2014/02/27	300,000,000	2013/08/28
26866	BOCI Asia Ltd.	China Shenhua European Warrants Mar 2014 A	0.15	28	2014/03/03	100,000,000	2013/08/29
26869	BOCI Asia Ltd.	Hutchison European Put Warrants Mar 2014 B	0.25	80	2014/03/10	100,000,000	2013/08/29
26867	BOCI Asia Ltd.	Sands China European Warrants Feb 2014 A	0.25	48.88	2014/02/24	100,000,000	2013/08/29
26864	BNP Paribas Arbit Issu B.V.	BOCL European Wts Mar 2014 B	0.15	3.71 per unit	2014/03/04	100,000,000	2013/08/29
26860	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Feb 2014 A	0.15	6.59 per unit	2014/02/05	100,000,000	2013/08/29
26861	BNP Paribas Arbit Issu B.V.	China Coal Euro Wts Mar 2014 A	0.3	5.60 per unit	2014/03/04	73,000,000	2013/08/29
26862	BNP Paribas Arbit Issu B.V.	Country Garden Eu Wt Mar 2014A	0.34	5.50 per unit	2014/03/04	41,000,000	2013/08/29
26863	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Aug 2014 A	0.25	19.65	2014/08/04	100,000,000	2013/08/29
26874	Credit Suisse AG	CSOP A50 ETF European Warrants Dec 2013 A	0.15	10.52 per unit	2013/12/20	70,000,000	2013/08/29
26878	Citigroup Global Mkt H Inc.	A50 China Euro Wts Apr 2014 A	0.47	10.50 per unit	2014/04/25	50,000,000	2013/08/29
26876	Citigroup Global Mkt H Inc.	A50 China Eu Put Wt Nov 2014 A	0.25	11	2014/11/25	200,000,000	2013/08/29
26879	Citigroup Global Mkt H Inc.	HSBC European Wts Jan 2014 B	0.24	88.88	2014/01/24	80,000,000	2013/08/29
26889	Goldman Sachs SP (Asia)	CAM CSI300 European Wts May 2014 A	0.256	32	2014/05/29	120,000,000	2013/08/29
26859	Goldman Sachs SP (Asia)	China Life Euro Wts Jun 2014 A	0.25	20	2014/06/27	120,000,000	2013/08/29
26849	HK Bank	HSI European Warrants Feb 2014 B	0.17	22,300 per 7,000 units	2014/02/27	180,000,000	2013/08/29
26852	HK Bank	HSI European Put Warrants Dec 2013 J	0.15	21,000 per 6,300 units	2013/12/30	180,000,000	2013/08/29
26850	HK Bank	HSI European Put Warrants Jan 2014 F	0.15	21,500 per 7,500 units	2014/01/29	180,000,000	2013/08/29
26843	J P Morgan SP BV	AIA European Warrants Mar 2014 A	0.25	35.88	2014/03/03	200,000,000	2013/08/29
26865	Macquarie Bank Ltd.	Ch Ship Cont European Wts May 2014 A	0.25	2.50 per unit	2014/05/05	40,000,000	2013/08/29
26844	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Dec 2013 C	0.25	84.05	2013/12/30	40,000,000	2013/08/29
26845	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Wts Jun 2014 A	0.152	10,200 per 3,900 units	2014/06/27	100,000,000	2013/08/29
26848	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Feb 2014 E	0.15	23,100 per 6,600 units	2014/02/27	100,000,000	2013/08/29
26846	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Feb 2014 C	0.255	22,400 per 6,500 units	2014/02/27	100,000,000	2013/08/29
26847	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Feb 2014 D	0.158	21,000 per 6,000 units	2014/02/27	100,000,000	2013/08/29
26871	Standard Chartered Bank	AIA European Warrants Mar 2014 B	0.17	38.05	2014/03/28	100,000,000	2013/08/29
89014	Standard Chartered Bank	CAM CSI300 Euro Wts Apr 2014 A	0.317	22.88	2014/04/08	100,000,000	2013/08/29
26872	Standard Chartered Bank	CNOOC European Warrants Mar 2014 A	0.25	19.28 per unit	2014/03/05	80,000,000	2013/08/29
89013	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jan 2016 A	0.254	6.23	2016/01/08	100,000,000	2013/08/29
26856	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Jan14C	0.15	75	2014/01/06	150,000,000	2013/08/29
26855	SGA Societe Generale Acceptance N.V.	Esprit Eu Wt Aug 14 A	0.19	15.88	2014/08/04	150,000,000	2013/08/29
26857	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Mar14A	0.25	50	2014/03/05	70,000,000	2013/08/29
26853	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Jan14 B	0.15	74.95	2014/01/06	100,000,000	2013/08/29
26886	UBS AG	Greatwall Motor European Warrants Jan 2014 A	0.34	44.93	2014/01/27	60,000,000	2013/08/29
26880	UBS AG	HSI European Put Warrants Mar 2014 B	0.15	20,000 per 7,000 units	2014/03/28	300,000,000	2013/08/29
26881	UBS AG	KECL European Warrants Jul 2014 B	0.25	10.88	2014/07/28	100,000,000	2013/08/29
26885	UBS AG	SHK Ppt European Warrants Feb 2014 A	0.17	118.1	2014/02/24	60,000,000	2013/08/29

Newly listed derivative warrants *(continued)*

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23794 #	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Oct 2013 C	0.052	21,000 per 6,600 units	2013/10/30	200,000,000	2013/08/29
26902	Credit Suisse AG	Tencent Holdings European Wts Jan 2014 G	0.22	380.90 per 100 units	2014/01/16	100,000,000	2013/08/30
26895	Daiwa Capital Mkt	Galaxy Ent European Warrants Jan 2014 A	0.21	48.9	2014/01/02	80,000,000	2013/08/30
26896	Daiwa Capital Mkt	Galaxy Ent European Put Wts Mar 2014 A	0.29	40.8	2014/03/03	80,000,000	2013/08/30
26890	Goldman Sachs SP (Asia)	HSI European Warrants Dec 2013 G	0.15	21,900 per 7,200 units	2013/12/30	150,000,000	2013/08/30
26897	Macquarie Bank Ltd.	Minsheng Bank European Wts Mar 2014 A	0.25	11.68 per unit	2014/03/04	80,000,000	2013/08/30
26899	Macquarie Bank Ltd.	Yurun Food European Wts Mar 2014 A	0.295	6.50 per unit	2014/03/04	40,000,000	2013/08/30
26892	Standard Chartered Bank	China Railway Euro Wts Jul 2014 A	0.37	5.28 per unit	2014/07/04	50,000,000	2013/08/30
26893	Standard Chartered Bank	Geely Auto European Wts Mar 2014 A	0.335	4.44 per unit	2014/03/10	50,000,000	2013/08/30
26894	Standard Chartered Bank	HKEx European Put Wts Apr 2014 A	0.25	139.88 per 100 units	2014/04/03	100,000,000	2013/08/30
26891	Standard Chartered Bank	Hutchison Euro Euro Wts Mar 2014 A	0.3	104.48	2014/03/28	100,000,000	2013/08/30
26907	Credit Suisse AG	Jiangxi Copper Euro Put Wts Jun 2014 A	0.25	14.08	2014/06/06	60,000,000	2013/09/02
26905	Credit Suisse AG	SPDR Gold Trust Euro Put Wts Dec 2013 A	0.17	900 per 100 units	2013/12/11	60,000,000	2013/09/02
26906	Credit Suisse AG	ZTE European Warrants Jun 2014 A	0.24	16.9	2014/06/30	60,000,000	2013/09/02
26904	HK Bank	SHK Ppt European Warrants Mar 2014 A	0.25	111.88	2014/03/31	60,000,000	2013/09/02
26903	HK Bank	Yanzhou Coal European Warrants Mar 2014 A	0.45	7.98 per unit	2014/03/03	50,000,000	2013/09/02
26908	UBS AG	Agricultural Bank European Warrants Mar 2014 A	0.25	3.88 per unit	2014/03/10	100,000,000	2013/09/02
26909	UBS AG	BYD European Warrants Jan 2014 B	0.15	38.05	2014/01/27	80,000,000	2013/09/02
27149 #	HK Bank	A50 China European Warrants Aug 2014 A	0.105	9.19	2014/08/01	180,000,000	2013/09/02
26913	BOCI Asia Ltd.	BOC HK European Warrants Jul 2014 A	0.17	25	2014/07/28	100,000,000	2013/09/03
26910	BOCI Asia Ltd.	Li & Fung European Warrants Jun 2014 A	0.15	13	2014/06/09	100,000,000	2013/09/03
26932	Credit Suisse AG	AIA European Warrants Feb 2014 A	0.15	36.9	2014/02/18	70,000,000	2013/09/03
26935	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Mar 2014 A	0.4	118	2014/03/03	60,000,000	2013/09/03
26933	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2014 A	0.34	100	2014/03/03	80,000,000	2013/09/03
26922	J P Morgan SP BV	AAC Acoustic European Warrants Jul 2014 A	0.36	42.88	2014/07/02	80,000,000	2013/09/03
26921	J P Morgan SP BV	China Life European Warrants Apr 2014 A	0.15	21.38	2014/04/17	200,000,000	2013/09/03
26920	J P Morgan SP BV	Galaxy Ent European Warrants Mar 2014 A	0.25	52.88	2014/03/04	200,000,000	2013/09/03
26919	J P Morgan SP BV	Henderson Land Dev European Wt Mar 2014 A	0.25	52.88	2014/03/03	60,000,000	2013/09/03
26915	J P Morgan SP BV	Yanzhou Coal European Put Wts Mar 2014 A	0.25	5.80 per unit	2014/03/04	50,000,000	2013/09/03
26925	Standard Chartered Bank	CSOP A50 ETF Euro Wts Dec 2013 B	0.15	10.90 per unit	2013/12/24	80,000,000	2013/09/03
26926	Standard Chartered Bank	A50 China Euro Warrants Jan 2014 B	0.27	10.50 per unit	2014/01/24	50,000,000	2013/09/03
26929	Standard Chartered Bank	Hutchison Euro Put Wt May 2014 A	0.4	80.88	2014/05/30	100,000,000	2013/09/03
26923	Standard Chartered Bank	Tracker Fund Euro Wts Mar 2014 A	0.25	20.38	2014/03/18	100,000,000	2013/09/03
26924	Standard Chartered Bank	Tracker Fund Eu Put Wt Jun 2014 A	0.25	23	2014/06/03	100,000,000	2013/09/03
26931	UBS AG	China National Building European Wts Nov 2015 A	0.25	6.18	2015/11/09	100,000,000	2013/09/03
26930	UBS AG	Geely Auto European Warrants Jan 2014 A	0.32	4.59 per unit	2014/01/23	60,000,000	2013/09/03
26957	Credit Suisse AG	CC Bank European Warrants Dec 2013 E	0.15	6.34 per unit	2013/12/30	70,000,000	2013/09/04
26938	Goldman Sachs SP (Asia)	Esprit European Wts Jul 2014 A	0.151	15.38	2014/07/24	80,000,000	2013/09/04
26937	Goldman Sachs SP (Asia)	HSBC European Put Wts Mar 2014 A	0.311	75	2014/03/28	80,000,000	2013/09/04
26936	HK Bank	Galaxy Ent European Warrants Jan 2014 A	0.3	51.55	2014/01/27	80,000,000	2013/09/04
26955	J P Morgan SP BV	KECL European Warrants Jun 2014 A	0.151	11.38	2014/06/25	200,000,000	2013/09/04
26939	Macquarie Bank Ltd.	Galaxy Ent European Wts Apr 2014 A	0.251	58	2014/04/02	40,000,000	2013/09/04
26943	Macquarie Bank Ltd.	Longfor PPT European Wts Dec 2014 A	0.25	15.88	2014/12/02	120,000,000	2013/09/04

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
26941	Macquarie Bank Ltd.	SHK Ppt European Warrants Jun 2014 A	0.25	128	2014/06/04	120,000,000	2013/09/04
26942	Macquarie Bank Ltd.	Shimao Ppt European Wts Aug 2014 A	0.25	23	2014/08/04	120,000,000	2013/09/04
26940	Macquarie Bank Ltd.	Wharf European Warrants May 2014 A	0.25	75	2014/05/05	120,000,000	2013/09/04
26950	Standard Chartered Bank	AIA European Warrants Dec 2013 C	0.2	34	2013/12/27	100,000,000	2013/09/04
26951	Standard Chartered Bank	AIA European Warrants Apr 2014 B	0.3	33.88	2014/04/30	100,000,000	2013/09/04
26944	Standard Chartered Bank	HKEx European Put Wts May 2014 A	0.25	140 per 100 units	2014/05/05	100,000,000	2013/09/04
26952	Standard Chartered Bank	Li & Fung European Wts Nov 2014 A	0.25	10.28	2014/11/03	100,000,000	2013/09/04
26953	Standard Chartered Bank	Li & Fung Euro Put Wts May 2014 A	0.25	13	2014/05/30	100,000,000	2013/09/04
26960	UBS AG	HSI European Put Warrants Jan 2014 D	0.15	21,300 per 7,500 units	2014/01/29	300,000,000	2013/09/04
26958	UBS AG	PetroChina European Warrants Jan 2014 A	0.32	8.81 per unit	2014/01/08	70,000,000	2013/09/04
26959	UBS AG	PetroChina European Warrants Feb 2014 A	0.15	10.30 per unit	2014/02/24	70,000,000	2013/09/04
26963	BOCI Asia Ltd.	ICBC European Warrants Feb 2014 A	0.24	5.50 per unit	2014/02/24	100,000,000	2013/09/05
26962	BOCI Asia Ltd.	ICBC European Put Warrants Mar 2014 A	0.25	4.50 per unit	2014/03/17	100,000,000	2013/09/05
26964	BOCI Asia Ltd.	PetroChina European Warrants Feb 2014 A	0.2	9.50 per unit	2014/02/04	100,000,000	2013/09/05
26961	BOCI Asia Ltd.	Ping An European Warrants Mar 2014 A	0.25	62.5	2014/03/17	100,000,000	2013/09/05
10225	BNP Paribas Arbit Issu B.V.	Oil Futures Euro Wt May 2014 A	0.25	110 per 300 units	2014/05/16	100,000,000	2013/09/05
10224	BNP Paribas Arbit Issu B.V.	Oil Futures Eu Put Wt Nov 14 A	0.25	90 per 400 units	2014/11/18	100,000,000	2013/09/05
26987	Credit Suisse AG	Geely Auto European Warrants Dec 2013 A	0.25	4.66 per unit	2013/12/24	60,000,000	2013/09/05
26988	Credit Suisse AG	Kingsoft European Put Warrants Mar 2014 A	0.25	14.28	2014/03/10	60,000,000	2013/09/05
26968	Goldman Sachs SP (Asia)	HSI European Put Wts Dec 2013 H	0.157	20,600 per 6,000 units	2013/12/30	150,000,000	2013/09/05
26989	Goldman Sachs SP (Asia)	PICC European Warrants Jul 2014 A	0.15	11.76 per 10 units	2014/07/28	80,000,000	2013/09/05
26966	Macquarie Bank Ltd.	Sinopharm European Warrants Mar 2014 A	0.15	22.9	2014/03/04	80,000,000	2013/09/05
26985	Macquarie Bank Ltd.	Sino Ocean Land European Wts May 2014 A	0.255	6 per unit	2014/05/05	80,000,000	2013/09/05
26973	Standard Chartered Bank	China Life European Wts Feb 2014 A	0.22	18.7	2014/02/20	100,000,000	2013/09/05
26975	Standard Chartered Bank	HSBC European Warrants Aug 2014 A	0.25	96.88	2014/08/08	80,000,000	2013/09/05
26974	Standard Chartered Bank	HSBC European Put Wts Mar 2014 A	0.28	73.88	2014/03/31	80,000,000	2013/09/05
26978	Standard Chartered Bank	PetroChina European Wts Dec 2013 C	0.3	8.81 per unit	2013/12/27	80,000,000	2013/09/05
26983	Standard Chartered Bank	PetroChina European Wts May 2014 A	0.4	9.28 per unit	2014/05/28	60,000,000	2013/09/05
26980	Standard Chartered Bank	Ping An European Wts Aug 2014 A	0.27	66.88	2014/08/08	80,000,000	2013/09/05
26981	Standard Chartered Bank	Ping An Euro Put Wts Oct 2014 A	0.355	44.88	2014/10/06	80,000,000	2013/09/05
26969	UBS AG	CC Bank European Warrants Jan 2014 C	0.15	6.34 per unit	2014/01/06	100,000,000	2013/09/05
26971	UBS AG	ICBC European Warrants Jan 2014 A	0.21	5.29 per unit	2014/01/13	100,000,000	2013/09/05
26970	UBS AG	ICBC European Warrants Feb 2014 B	0.17	5.69 per unit	2014/02/24	100,000,000	2013/09/05
26990	BOCI Asia Ltd.	Haitong Sec European Warrants May 2014 A	0.15	11.88	2014/05/19	100,000,000	2013/09/06
26992	BOCI Asia Ltd.	KECL European Warrants Jun 2014 A	0.15	11.4	2014/06/30	100,000,000	2013/09/06
26991	BOCI Asia Ltd.	Ping An European Put Warrants Mar 2014 B	0.25	46.88	2014/03/24	100,000,000	2013/09/06
27009	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Mar 2014 B	0.43	120	2014/03/07	50,000,000	2013/09/06
27010	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Feb 2014 A	0.26	86.88	2014/02/21	100,000,000	2013/09/06
27008	Citigroup Global Mkt H Inc.	A50 China Eu Put Wt Mar 2014 A	0.46	8.50 per unit	2014/03/07	60,000,000	2013/09/06
27004	Bank of East Asia	Ch Ship Cont European Wts May 2014 A	0.25	2.46 per unit	2014/05/26	40,000,000	2013/09/06
27002	Bank of East Asia	Foxconn European Warrants Sep 2015 A	0.15	5.49	2015/09/29	100,000,000	2013/09/06
27006	Goldman Sachs SP (Asia)	CITIC Bank European Wts Jun 2014 A	0.25	4.18 per unit	2014/06/30	68,000,000	2013/09/06
26998	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Mar 2014 A	0.274	41.8	2014/03/28	80,000,000	2013/09/06

Newly listed derivative warrants *(continued)*

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26999	Goldman Sachs SP (Asia)	HKEx European Put Wts Dec 2014 A	0.152	113 per 100 units	2014/12/29	80,000,000	2013/09/06
26997	Goldman Sachs SP (Asia)	Wharf European Warrants Jun 2014 A	0.254	73.88	2014/06/30	68,000,000	2013/09/06
27007	Goldman Sachs SP (Asia)	Zoomlion European Wts Jul 2014 A	0.406	7.20 per unit	2014/07/07	68,000,000	2013/09/06
27012	J P Morgan SP BV	Galaxy Ent European Put Wts Apr 2014 A	0.25	44	2014/04/02	150,000,000	2013/09/06
27011	J P Morgan SP BV	Kingsoft European Put Warrants Mar 2014 A	0.25	14	2014/03/10	100,000,000	2013/09/06
26993	UBS AG	Sinopec Corp European Warrants Jan 2014 A	0.15	6.39 per unit	2014/01/03	100,000,000	2013/09/06
27001	UBS AG	Galaxy Ent European Warrants Mar 2014 A	0.25	58.88	2014/03/05	80,000,000	2013/09/06
27005	UBS AG	HSBC European Warrants Jan 2014 B	0.2	88.93	2014/01/23	100,000,000	2013/09/06
26996	UBS AG	Lenovo European Warrants Mar 2014 A	0.32	8.88 per unit	2014/03/05	100,000,000	2013/09/06
26995	UBS AG	Sands China European Warrants Jan 2014 B	0.35	45.93	2014/01/24	60,000,000	2013/09/06
27045	Credit Suisse AG	Galaxy Ent European Warrants Mar 2014 A	0.25	59.38	2014/03/10	80,000,000	2013/09/09
27044	Credit Suisse AG	Galaxy Ent European Put Wts Feb 2014 A	0.15	38.8	2014/02/10	80,000,000	2013/09/09
27051	Credit Suisse AG	HSI European Put Warrants Jan 2014 D	0.15	21,400 per 7,500 units	2014/01/29	200,000,000	2013/09/09
27046	Credit Suisse AG	HSI European Put Warrants Feb 2014 D	0.15	21,000 per 6,000 units	2014/02/27	200,000,000	2013/09/09
27042	Credit Suisse AG	Sands China European Warrants Mar 2014 A	0.25	56.88	2014/03/10	80,000,000	2013/09/09
27040	Credit Suisse AG	Sands China European Put Wts Jan 2014 A	0.18	40	2014/01/30	80,000,000	2013/09/09
27052	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Mar 2014 B	0.31	55.8	2014/03/10	60,000,000	2013/09/09
27030	Citigroup Global Mkt H Inc.	Ping An Euro Wts Jan 2014 B	0.21	60	2014/01/24	100,000,000	2013/09/09
27053	Citigroup Global Mkt H Inc.	Sands China Euro Wt Mar 2014 A	0.25	52.8	2014/03/10	60,000,000	2013/09/09
27027	Citigroup Global Mkt H Inc.	Tencent European Wts Feb 2014 F	0.2	408 per 100 units	2014/02/24	100,000,000	2013/09/09
27036	Daiwa Capital Mkt	BOCL European Warrants Aug 2014 A	0.18	3.80 per unit	2014/08/26	128,000,000	2013/09/09
27034	Daiwa Capital Mkt	China Life European Warrants Apr 2014 A	0.15	21.38	2014/04/17	128,000,000	2013/09/09
27035	Daiwa Capital Mkt	China Life European Put Wts Apr 2014 B	0.25	20	2014/04/15	80,000,000	2013/09/09
27031	Daiwa Capital Mkt	Galaxy Ent European Warrants Mar 2014 B	0.25	59.8	2014/03/10	80,000,000	2013/09/09
27037	Daiwa Capital Mkt	Tencent Holdings European Wts Apr 2014 A	0.17	450.18 per 100 units	2014/04/03	80,000,000	2013/09/09
27059	Goldman Sachs SP (Asia)	China Mobile Eu Put Wts Mar 2014 A	0.399	80.88	2014/03/31	80,000,000	2013/09/09
27058	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Mar 2014 B	0.338	56.8	2014/03/31	80,000,000	2013/09/09
27013	HK Bank	Foxconn European Warrants Sep 2015 A	0.15	5.51	2015/09/24	120,000,000	2013/09/09
27065	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2014 B	0.25	57	2014/04/02	150,000,000	2013/09/09
27064	J P Morgan SP BV	Ping An European Warrants Apr 2014 A	0.25	65	2014/04/02	200,000,000	2013/09/09
27062	J P Morgan SP BV	Sands China European Warrants Apr 2014 B	0.25	55	2014/04/02	150,000,000	2013/09/09
27061	J P Morgan SP BV	Sands China European Put Wts Apr 2014 A	0.376	45	2014/04/02	150,000,000	2013/09/09
27060	Macquarie Bank Ltd.	Zijin Mining European Wts May 2014 A	0.25	2.33 per unit	2014/05/05	40,000,000	2013/09/09
27014	Standard Chartered Bank	Sinopec Corp Euro Wts Apr 2014 A	0.25	6.68 per unit	2014/04/30	80,000,000	2013/09/09
27038	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr14A	0.25	62	2014/04/02	100,000,000	2013/09/09
27039	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Apr14A	0.25	54	2014/04/02	100,000,000	2013/09/09
27017	UBS AG	China Mobile European Put Warrants Feb 2014 A	0.15	72.83	2014/02/20	100,000,000	2013/09/09
27057	UBS AG	Esprit European Warrants Jul 2014 A	0.17	15.9	2014/07/28	100,000,000	2013/09/09
27016	UBS AG	HSBC European Put Warrants Jan 2014 C	0.15	72.95	2014/01/02	100,000,000	2013/09/09
27018	UBS AG	HSCEI European Put Warrants Mar 2014 C	0.25	10,000 per 4,500 units	2014/03/28	100,000,000	2013/09/09
27054	UBS AG	HSI European Warrants Mar 2014 C	0.25	22,600 per 6,000 units	2014/03/28	300,000,000	2013/09/09
27055	UBS AG	Sands China European Warrants Mar 2014 A	0.25	54.88	2014/03/10	60,000,000	2013/09/09
27056	UBS AG	Tencent Holdings European Warrants Mar 2014 B	0.27	403.88 per 100 units	2014/03/17	100,000,000	2013/09/09

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27090	Credit Suisse AG	CC Bank European Warrants Jan 2014 B	0.16	6.81 per unit	2014/01/20	80,000,000	2013/09/10
27092	Credit Suisse AG	China Mobile European Warrants Jan 2014 C	0.23	94.9	2014/01/20	80,000,000	2013/09/10
27093	Credit Suisse AG	HSBC European Wts Jan 2014 A	0.15	90.9	2014/01/27	80,000,000	2013/09/10
27091	Credit Suisse AG	ICBC European Warrants Feb 2014 B	0.15	6.19 per unit	2014/02/24	80,000,000	2013/09/10
27095	Credit Suisse AG	Lenovo European Warrants Feb 2014 A	0.38	8.89 per unit	2014/02/25	80,000,000	2013/09/10
27096	Credit Suisse AG	Tencent Holdings European Wts Mar 2014 A	0.25	450.80 per 100 units	2014/03/10	100,000,000	2013/09/10
27074	Daiwa Capital Mkt	CAM CSI300 European Warrants May 2014 A	0.25	32.05	2014/05/22	100,000,000	2013/09/10
27076	Daiwa Capital Mkt	CCB European Warrants Mar 2014 A	0.16	6.79 per unit	2014/03/05	128,000,000	2013/09/10
27072	Daiwa Capital Mkt	A50 China European Warrants Dec 2013 A	0.21	10.52 per unit	2013/12/31	128,000,000	2013/09/10
27073	Daiwa Capital Mkt	A50 China European Warrants Feb 2014 A	0.15	11.68 per unit	2014/02/17	208,000,000	2013/09/10
27075	Daiwa Capital Mkt	ICBC European Warrants Mar 2014 A	0.15	6.19 per unit	2014/03/03	128,000,000	2013/09/10
27066	Goldman Sachs SP (Asia)	China Life Euro Wts Apr 2014 A	0.151	21.38	2014/04/25	100,000,000	2013/09/10
27101	Goldman Sachs SP (Asia)	KECL European Warrants Jun 2014 A	0.15	11.4	2014/06/25	100,000,000	2013/09/10
27102	Goldman Sachs SP (Asia)	Sh Pharma European Wts Jun 2014 A	0.251	16.28	2014/06/30	68,000,000	2013/09/10
27069	HK Bank	Agricultural Bank European Warrants Mar 2014 A	0.19	3.89 per unit	2014/03/03	80,000,000	2013/09/10
27067	HK Bank	HSI European Put Warrants Feb 2014 C	0.16	22,500 per 8,800 units	2014/02/27	180,000,000	2013/09/10
27068	HK Bank	Sands China European Warrants Mar 2014 A	0.25	52.93	2014/03/10	68,000,000	2013/09/10
27070	Macquarie Bank Ltd.	Galaxy Ent European Put Wts Apr 2014 B	0.25	40	2014/04/02	40,000,000	2013/09/10
27083	Standard Chartered Bank	Anhui Conch Eur Put Wt Jul 2014 A	0.25	22.88	2014/07/02	100,000,000	2013/09/10
27085	Standard Chartered Bank	CNOOC European Put Wts Oct 2014 A	0.15	13.66	2014/10/31	100,000,000	2013/09/10
27088	Standard Chartered Bank	Sinopec Corp Eu Put Wt May 2014 A	0.285	5 per unit	2014/05/30	50,000,000	2013/09/10
27084	Standard Chartered Bank	Galaxy Ent European Wts Mar 2014 B	0.25	62.28	2014/03/25	100,000,000	2013/09/10
27081	Standard Chartered Bank	Galaxy Ent Euro Put Wts Feb 2014 A	0.16	40.78	2014/02/24	100,000,000	2013/09/10
27082	Standard Chartered Bank	Sands China Eu Put Wts Jan 2014 B	0.165	39.98	2014/01/30	100,000,000	2013/09/10
27071	SGA Societe Generale Acceptance N.V.	CLife Eu Wt May 2014 A	0.15	21.38	2014/05/05	120,000,000	2013/09/10
27080	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 14 A	0.25	67	2014/04/02	70,000,000	2013/09/10
27098	UBS AG	BOCL European Warrants Feb 2014 A	0.16	3.71 per unit	2014/02/25	100,000,000	2013/09/10
27097	UBS AG	CC Bank European Warrants Mar 2014 A	0.25	7.08 per unit	2014/03/10	100,000,000	2013/09/10
27099	UBS AG	China Mobile European Warrants Feb 2014 B	0.2	90.05	2014/02/05	100,000,000	2013/09/10
27078	UBS AG	HSI European Put Warrants Feb 2014 F	0.19	22,300 per 6,500 units	2014/02/27	300,000,000	2013/09/10
27100	UBS AG	Kingsoft European Warrants Mar 2014 A	0.25	21.88	2014/03/10	100,000,000	2013/09/10
27079	UBS AG	Lenovo European Warrants Mar 2015 A	0.15	9.29	2015/03/23	100,000,000	2013/09/10
27077	UBS AG	Tencent Holdings European Put Warrants Feb 2014 G	0.285	368.68 per 100 units	2014/02/11	100,000,000	2013/09/10
25188 #	BOCI Asia Ltd.	HSI European Put Warrants Oct 2013 B	0.041	21,400 per 7,500 units	2013/10/30	245,000,000	2013/09/10
27121	BOCI Asia Ltd.	Galaxy Ent European Warrants Mar 2014 A	0.25	57.88	2014/03/31	100,000,000	2013/09/11
27108	BNP Paribas Arbit Issu B.V.	Ch Comm Cons Eur Wt Sep 2014 A	0.53	7.38 per unit	2014/09/02	37,000,000	2013/09/11
27103	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Apr 2014 A	0.32	60	2014/04/02	100,000,000	2013/09/11
27104	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Jul 2014 A	0.34	55	2014/07/03	100,000,000	2013/09/11
27107	BNP Paribas Arbit Issu B.V.	SJM Holdings Eu Wts Oct 2014 A	0.26	23	2014/10/06	100,000,000	2013/09/11
27106	BNP Paribas Arbit Issu B.V.	Wharf European Wts Oct 2014 A	0.48	78	2014/10/06	79,000,000	2013/09/11
27130	Credit Suisse AG	CITIC Pacific European Wts Dec 2013 A	0.15	11.52 per unit	2013/12/24	70,000,000	2013/09/11
27133	Credit Suisse	Foxconn European Warrants Mar 2014 A	0.48	6.88 per unit	2014/03/10	60,000,000	2013/09/11
27131	Credit Suisse AG	Kingsoft European Warrants Mar 2014 B	0.25	21.98	2014/03/10	60,000,000	2013/09/11

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27129	Credit Suisse AG	Sinopharm European Warrants Feb 2014 A	0.15	22.95	2014/02/25	70,000,000	2013/09/11
27140	Citigroup Global Mkt H Inc.	China Shenhua Eu Put Wt Mar14A	0.25	24.38	2014/03/11	80,000,000	2013/09/11
27142	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Mar 14 C	0.29	43.8	2014/03/11	60,000,000	2013/09/11
27128	Daiwa Capital Mkt	Agricultural Bank European Wts Mar 2014 B	0.16	3.88 per unit	2014/03/05	108,000,000	2013/09/11
27123	Daiwa Capital Mkt	China Mobile European Wts Feb 2014 A	0.22	90.05	2014/02/04	128,000,000	2013/09/11
27125	Daiwa Capital Mkt	China Mobile European Wts Apr 2014 A	0.16	99	2014/04/01	128,000,000	2013/09/11
27126	Daiwa Capital Mkt	China Mobile European Put Wts Mar 2014 A	0.25	78	2014/03/03	80,000,000	2013/09/11
27127	Daiwa Capital Mkt	Ch Overseas European Warrants Jun 2014 A	0.25	26.18	2014/06/30	80,000,000	2013/09/11
27148	Goldman Sachs SP (Asia)	AAC Acoustic Euro Wts Jun 2014 A	0.3	42.8	2014/06/03	68,000,000	2013/09/11
27145	Goldman Sachs SP (Asia)	AIA European Warrants Apr 2014 B	0.251	36	2014/04/28	80,000,000	2013/09/11
27144	Goldman Sachs SP (Asia)	Link REIT European Wts May 2014 B	0.151	42.88	2014/05/30	80,000,000	2013/09/11
27143	Goldman Sachs SP (Asia)	Sino Land European Wts Jul 2014 A	0.403	12.50 per unit	2014/07/30	48,000,000	2013/09/11
27150	Goldman Sachs SP (Asia)	ZTE European Warrants Jul 2014 A	0.25	17.08	2014/07/30	68,000,000	2013/09/11
27112	HK Bank	China Life European Warrants Apr 2014 A	0.15	21.43	2014/04/29	100,000,000	2013/09/11
27111	HK Bank	Tencent European Warrants Mar 2014 A	0.18	450.18 per 100 units	2014/03/26	100,000,000	2013/09/11
27110	J P Morgan SP BV	Chi Res Power European Wts Apr 2014 A	0.25	19.28	2014/04/01	120,000,000	2013/09/11
27109	J P Morgan SP BV	Wynn Macau European Warrants Apr 2014 A	0.25	26	2014/04/01	80,000,000	2013/09/11
27137	Macquarie Bank Ltd.	Foxconn European Warrants Apr 2014 A	0.426	6.50 per unit	2014/04/02	50,000,000	2013/09/11
27135	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Jul 2014 A	0.25	23.5	2014/07/03	40,000,000	2013/09/11
27134	Macquarie Bank Ltd.	PetroChina European Wts May 2014 A	0.25	10.88 per unit	2014/05/05	80,000,000	2013/09/11
27122	Macquarie Bank Ltd.	Tencent European Put Wts Jul 2014 A	0.25	330 per 100 units	2014/07/03	150,000,000	2013/09/11
27118	Standard Chartered Bank	MTRC European Warrants Jun 2014 A	0.25	30	2014/06/06	100,000,000	2013/09/11
27120	Standard Chartered Bank	Sands China Euro Wts Apr 2014 B	0.25	55.88	2014/04/08	100,000,000	2013/09/11
27116	Standard Chartered Bank	Sands China Euro Wts Jun 2014 A	0.25	60	2014/06/06	100,000,000	2013/09/11
27115	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Mar 2014 A	0.15	3.70 per unit	2014/03/05	100,000,000	2013/09/11
27114	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2014 A	0.17	23,000 per 6,000 units	2014/03/28	300,000,000	2013/09/11
27138	UBS AG	Galaxy Ent European Warrants Mar 2014 B	0.25	63.88	2014/03/12	100,000,000	2013/09/11
27139	UBS AG	Galaxy Ent European Put Warrants Mar 2014 C	0.25	43.88	2014/03/10	40,000,000	2013/09/11
27153	BOCI Asia Ltd.	China Life European Warrants Apr 2014 A	0.15	21.38	2014/04/17	100,000,000	2013/09/12
27152	BOCI Asia Ltd.	Tencent Holdings European Put Wts Feb 2014 C	0.2	348.88 per 100 units	2014/02/10	100,000,000	2013/09/12
27185	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts Apr 2014 A	0.28	23	2014/04/02	100,000,000	2013/09/12
27183	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Apr 2014 C	0.25	472 per 100 units	2014/04/02	100,000,000	2013/09/12
27184	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Apr 2014 D	0.25	350 per 100 units	2014/04/02	100,000,000	2013/09/12
10226	BNP Paribas Arbit Issu B.V.	USD/YEN Euro Wts Jun 2014 A	0.25	109 per 1 units	2014/06/20	100,000,000	2013/09/12
10227	BNP Paribas Arbit Issu B.V.	USD/YEN Eur Put Wts Jun 2014 B	0.25	90 per 1 units	2014/06/20	100,000,000	2013/09/12
27189	Credit Suisse AG	HSBC European Put Warrants Dec 2013 A	0.23	80.83	2013/12/31	60,000,000	2013/09/12
27186	Credit Suisse AG	HSI European Warrants Feb 2014 E	0.18	23,400 per 5,000 units	2014/02/27	200,000,000	2013/09/12
27187	Credit Suisse AG	HSI European Warrants Apr 2014 A	0.15	24,000 per 6,000 units	2014/04/29	200,000,000	2013/09/12
27188	Credit Suisse AG	HSI European Put Warrants Dec 2013 L	0.15	22,800 per 7,500 units	2013/12/30	200,000,000	2013/09/12
27190	Citigroup Global Mkt H Inc.	Sands China Eu Put Wt Mar 14 B	0.36	42.8	2014/03/12	60,000,000	2013/09/12
27192	Citigroup Global Mkt H Inc.	SJM Holdings Eu Put Wt Mar14 A	0.25	18.88	2014/03/12	80,000,000	2013/09/12
27191	Citigroup Global Mkt H Inc.	Wynn Macau Eu Put Wt Mar 14 A	0.25	21.88	2014/03/12	50,000,000	2013/09/12
27163	Bank of East Asia	Agricultural Bank Euro Wts Jul 2014 A	0.25	3.68 per unit	2014/07/25	40,000,000	2013/09/12

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27164	Bank of East Asia	Agricultural Bk Euro Put Wts May 2014 A	0.25	3.28 per unit	2014/05/30	40,000,000	2013/09/12
27165	Bank of East Asia	China Life European Put Wts May 2014 A	0.25	20.88	2014/05/30	60,000,000	2013/09/12
27162	Bank of East Asia	HKEx European Put Warrants Sep 2015 A	0.25	128.80 per 100 units	2015/09/29	100,000,000	2013/09/12
27168	Bank of East Asia	HSBC European Warrants Apr 2014 A	0.25	90	2014/04/30	40,000,000	2013/09/12
27159	Bank of East Asia	Ping An European Warrants Mar 2014 A	0.25	65	2014/03/28	40,000,000	2013/09/12
27157	Bank of East Asia	Ping An European Put Warrants Apr 2014 A	0.25	49.88	2014/04/30	40,000,000	2013/09/12
27174	J P Morgan SP BV	Kingsoft European Warrants Apr 2014 A	0.25	22	2014/04/11	80,000,000	2013/09/12
27173	J P Morgan SP BV	Ping An European Put Warrants Apr 2014 B	0.25	55	2014/04/02	100,000,000	2013/09/12
27172	J P Morgan SP BV	SHK Ppt European Warrants Jun 2014 A	0.25	118.88	2014/06/03	60,000,000	2013/09/12
27171	J P Morgan SP BV	Tencent European Warrants Apr 2014 B	0.25	450 per 100 units	2014/04/02	200,000,000	2013/09/12
27169	J P Morgan SP BV	Wharf European Warrants May 2014 A	0.287	75	2014/05/02	60,000,000	2013/09/12
27176	Standard Chartered Bank	CLP European Warrants Aug 2014 A	0.25	66.88	2014/08/01	50,000,000	2013/09/12
27177	Standard Chartered Bank	China Mobile Euro Wts Mar 2014 A	0.15	99.05	2014/03/31	100,000,000	2013/09/12
27178	Standard Chartered Bank	China Shenhua Eu Put Wt Mar 2014 B	0.25	24.88	2014/03/21	100,000,000	2013/09/12
27181	Standard Chartered Bank	Evergrande European Wts Mar 2014 A	0.25	4.08 per unit	2014/03/17	50,000,000	2013/09/12
27154	Standard Chartered Bank	Nine Dragons Euro Wts Sep 2015 A	0.25	6.88	2015/09/08	50,000,000	2013/09/12
27193	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Mar14A	0.18	30	2014/03/05	100,000,000	2013/09/12
27195	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2014 A	0.25	7 per unit	2014/06/04	100,000,000	2013/09/12
27194	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Apr 2014 A	0.35	6 per unit	2014/04/02	40,000,000	2013/09/12
27196	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jan 2016 A	0.185	126.68 per 100 units	2016/01/04	200,000,000	2013/09/12
27200	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2014 B	0.31	21,200 per 7,000 units	2014/03/28	300,000,000	2013/09/12
27201	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2014 C	0.26	21,800 per 7,000 units	2014/03/28	300,000,000	2013/09/12
27203	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Apr 2014 A	0.155	24,000 per 6,000 units	2014/04/29	300,000,000	2013/09/12
27204	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Feb 14 A	0.185	22,400 per 6,500 units	2014/02/27	300,000,000	2013/09/12
27206	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 14 D	0.255	22,800 per 6,300 units	2014/03/28	300,000,000	2013/09/12
27207	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 14 E	0.275	23,400 per 7,000 units	2014/03/28	300,000,000	2013/09/12
27208	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Mar 14 F	0.33	24,000 per 7,000 units	2014/03/28	300,000,000	2013/09/12
27197	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Mar 2014 A	0.255	5.68 per unit	2014/03/05	100,000,000	2013/09/12
27198	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Aug2014 A	0.155	17.38	2014/08/04	100,000,000	2013/09/12
27199	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Sep14A	0.15	2.63 per unit	2014/09/03	70,000,000	2013/09/12
27151	UBS AG	HSI European Warrants Apr 2014 A	0.15	24,100 per 6,000 units	2014/04/29	300,000,000	2013/09/12
27175	UBS AG	Link REIT European Warrants Mar 2014 A	0.15	40.05	2014/03/25	100,000,000	2013/09/12
27222	BOCI Asia Ltd.	Lenovo European Warrants Mar 2014 A	0.28	8.88 per unit	2014/03/03	100,000,000	2013/09/13
27219	BOCI Asia Ltd.	Yanzhou Coal European Warrants Aug 2014 A	0.15	7	2014/08/26	100,000,000	2013/09/13
27234	Citigroup Global Mkt H Inc.	Agricultural Bk Eu Wt Mar 14 A	0.25	3.68 per unit	2014/03/12	100,000,000	2013/09/13
27231	Citigroup Global Mkt H Inc.	China Coal Euro Wts Mar 2014 B	0.46	5.28 per unit	2014/03/13	50,000,000	2013/09/13
27230	Citigroup Global Mkt H Inc.	China Coal Eur Put Wts Mar14 A	0.39	4.38 per unit	2014/03/13	50,000,000	2013/09/13
27235	Citigroup Global Mkt H Inc.	Ch Mobile Eu Put Wt Mar 2014 A	0.27	80.08	2014/03/12	60,000,000	2013/09/13
27236	Goldman Sachs SP (Asia)	CC Bank European Wts Mar 2014 A	0.284	6.30 per unit	2014/03/28	80,000,000	2013/09/13
27238	Goldman Sachs SP (Asia)	CC Bank European Wts Mar 2014 B	0.251	6.80 per unit	2014/03/28	80,000,000	2013/09/13
27239	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Mar 2014 C	0.334	46.88	2014/03/28	68,000,000	2013/09/13
27218	Macquarie Bank Ltd.	Ch Oilfield European Wts May 2014 A	0.25	22.88	2014/05/05	100,000,000	2013/09/13
27233	Macquarie Bank Ltd.	Link REIT European Warrants Jul 2014 A	0.25	38.88	2014/07/03	100,000,000	2013/09/13

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27232	Macquarie Bank Ltd.	Sands China European Wts Apr 2014 A	0.25	58	2014/04/02	40,000,000	2013/09/13
27214	Standard Chartered Bank	China COSCO European Wt Mar 2014 A	0.25	5 per unit	2014/03/21	50,000,000	2013/09/13
27209	Standard Chartered Bank	China COSCO European Wt Aug 2014 A	0.25	5.80 per unit	2014/08/08	50,000,000	2013/09/13
27229	Standard Chartered Bank	Ch Ship Cont Euro Wts May 2014 A	0.25	2.75 per unit	2014/05/30	50,000,000	2013/09/13
27227	Standard Chartered Bank	Ch Ship Cont Euro Wts Sep 2014 A	0.25	3 per unit	2014/09/08	50,000,000	2013/09/13
27213	Standard Chartered Bank	Foxconn European Wts May 2014 A	0.46	7.48 per unit	2014/05/08	50,000,000	2013/09/13
27210	Standard Chartered Bank	Foxconn European Wts Aug 2014 A	0.4	8.88 per unit	2014/08/08	50,000,000	2013/09/13
27225	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 14 A	0.15	99	2014/04/02	150,000,000	2013/09/13
10230	UBS AG	Nikkei 225 European Warrants Mar 2014 C	0.25	12,800 per 650 units	2014/03/14	100,000,000	2013/09/13
10231	UBS AG	Nikkei 225 European Warrants Mar 2014 D	0.25	13,800 per 500 units	2014/03/14	100,000,000	2013/09/13
10232	UBS AG	Nikkei 225 European Warrants Mar 2014 E	0.25	14,300 per 440 units	2014/03/14	100,000,000	2013/09/13
10233	UBS AG	Nikkei 225 European Warrants Mar 2014 F	0.25	14,800 per 390 units	2014/03/14	100,000,000	2013/09/13
10228	UBS AG	Nikkei 225 European Put Warrants Mar 2014 A	0.25	13,300 per 400 units	2014/03/14	100,000,000	2013/09/13
10229	UBS AG	Nikkei 225 European Put Warrants Mar 2014 B	0.25	14,200 per 550 units	2014/03/14	100,000,000	2013/09/13
27243	BOCI Asia Ltd.	Galaxy Ent European Put Warrants Mar 2014 B	0.25	42.88	2014/03/31	100,000,000	2013/09/16
27241	BOCI Asia Ltd.	HSI European Warrants Apr 2014 A	0.15	24,000 per 6,000 units	2014/04/29	100,000,000	2013/09/16
27272	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Apr 2014 A	0.17	98.95	2014/04/02	100,000,000	2013/09/16
27273	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Mar 2014 A	0.18	78	2014/03/04	100,000,000	2013/09/16
27275	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Apr 2014 A	0.27	7.50 per unit	2014/04/02	100,000,000	2013/09/16
27271	BNP Paribas Arbit Issu B.V.	HSI European Wts Apr 2014 B	0.15	24,000 per 6,000 units	2014/04/29	100,000,000	2013/09/16
27274	BNP Paribas Arbit Issu B.V.	Link REIT Euro Wts Oct 2014 A	0.26	38.8	2014/10/06	100,000,000	2013/09/16
27280	Credit Suisse AG	A50 China European Put Wts Feb 2014 A	0.24	8.49 per unit	2014/02/28	80,000,000	2013/09/16
27283	Credit Suisse AG	Galaxy Ent European Warrants Mar 2014 B	0.26	65.88	2014/03/17	80,000,000	2013/09/16
27279	Credit Suisse AG	HSI European Warrants Feb 2014 F	0.15	24,400 per 6,000 units	2014/02/27	200,000,000	2013/09/16
27282	Credit Suisse AG	Tencent Holdings European Wts Mar 2014 B	0.25	468.88 per 100 units	2014/03/17	80,000,000	2013/09/16
27281	Credit Suisse AG	Tencent Holdings Euro Put Wts Feb 2014 E	0.26	368.80 per 100 units	2014/02/06	80,000,000	2013/09/16
27263	Citigroup Global Mkt H Inc.	HSBC European Wts Mar 2014 A	0.25	91.88	2014/03/17	80,000,000	2013/09/16
27264	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt Mar 2014 A	0.285	52.88	2014/03/17	80,000,000	2013/09/16
27270	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wt Mar 2014 B	0.55	1,128 per 100 units	2014/03/17	60,000,000	2013/09/16
27266	Citigroup Global Mkt H Inc.	SPDR Gold T Eu Put Wts Mar14 A	0.5	950 per 100 units	2014/03/17	60,000,000	2013/09/16
27253	Daiwa Capital Mkt	CCB European Warrants Apr 2014 A	0.25	7.38 per unit	2014/04/15	128,000,000	2013/09/16
27258	Daiwa Capital Mkt	HSBC European Warrants Apr 2014 A	0.25	96	2014/04/15	128,000,000	2013/09/16
27256	Daiwa Capital Mkt	Hutchison European Put Wts Mar 2014 A	0.22	80	2014/03/14	80,000,000	2013/09/16
27254	Daiwa Capital Mkt	ICBC European Put Warrants Mar 2014 B	0.25	4.68 per unit	2014/03/18	80,000,000	2013/09/16
27259	Daiwa Capital Mkt	Ping An European Warrants Jun 2014 A	0.15	80.9	2014/06/25	98,000,000	2013/09/16
27240	Goldman Sachs SP (Asia)	HSI European Warrants Apr 2014 C	0.151	24,100 per 6,000 units	2014/04/29	150,000,000	2013/09/16
27260	J P Morgan SP BV	China Life European Warrants Sep 2014 A	0.25	22.88	2014/09/01	300,000,000	2013/09/16
27262	J P Morgan SP BV	China Mob European Wts Apr 2014 B	0.25	95	2014/04/01	200,000,000	2013/09/16
27261	J P Morgan SP BV	China Mob European Put Wts Apr 2014 A	0.25	78.88	2014/04/01	200,000,000	2013/09/16
27289	J P Morgan SP BV	HSI European Warrants Apr 2014 D	0.253	23,000 per 7,800 units	2014/04/29	300,000,000	2013/09/16
27290	J P Morgan SP BV	HSI European Warrants Apr 2014 E	0.25	22,400 per 9,000 units	2014/04/29	300,000,000	2013/09/16
27286	J P Morgan SP BV	HSI European Put Warrants Apr 2014 B	0.25	22,200 per 7,600 units	2014/04/29	300,000,000	2013/09/16
27287	J P Morgan SP BV	HSI European Put Warrants Apr 2014 C	0.25	22,800 per 8,800 units	2014/04/29	300,000,000	2013/09/16

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27277	Macquarie Bank Ltd.	A50 China European Warrants Feb 2014 A	0.202	11.70 per unit	2014/02/05	50,000,000	2013/09/16
27276	Macquarie Bank Ltd.	Sh Electric European Wts Jul 2014 A	0.25	3.60 per unit	2014/07/03	40,000,000	2013/09/16
27247	Standard Chartered Bank	BYD European Warrants Feb 2014 A	0.2	38.05	2014/02/05	50,000,000	2013/09/16
27252	Standard Chartered Bank	Greatwall Motor Euro Wt Mar 2014 A	0.335	50.05	2014/03/05	50,000,000	2013/09/16
27244	Standard Chartered Bank	HSI European Warrants Jan 2014 A	0.15	22,900 per 6,000 units	2014/01/29	100,000,000	2013/09/16
27245	Standard Chartered Bank	HSI European Put Wts Mar 2014 A	0.25	23,200 per 6,500 units	2014/03/28	100,000,000	2013/09/16
27249	Standard Chartered Bank	ICBC European Warrants Feb 2014 A	0.24	5.69 per unit	2014/02/24	100,000,000	2013/09/16
27246	Standard Chartered Bank	Xinyi Glass Euro Wts Apr 2014 A	0.35	10 per unit	2014/04/30	50,000,000	2013/09/16
27284	UBS AG	HSCEI European Put Warrants Mar 2014 D	0.17	10,300 per 4,000 units	2014/03/28	100,000,000	2013/09/16
27285	UBS AG	HSI European Put Warrants Mar 2014 D	0.25	23,000 per 7,000 units	2014/03/28	300,000,000	2013/09/16
27306	BOCI Asia Ltd.	China Mobile European Put Wts Mar 2014 A	0.25	78	2014/03/24	100,000,000	2013/09/17
27307	BOCI Asia Ltd.	A50 China European Warrants Aug 2014 B	0.15	9.19	2014/08/04	100,000,000	2013/09/17
27343	Credit Suisse AG	AIA European Put Warrants Mar 2014 A	0.15	32.48	2014/03/21	70,000,000	2013/09/17
27342	Credit Suisse AG	China Mobile European Put Wts Feb 2014 A	0.16	78	2014/02/18	70,000,000	2013/09/17
27345	Credit Suisse AG	Esprit European Warrants May 2014 A	0.15	13	2014/05/13	70,000,000	2013/09/17
27348	Credit Suisse AG	Evergrande European Warrants Mar 2014 A	0.25	4.48 per unit	2014/03/17	70,000,000	2013/09/17
27347	Credit Suisse AG	KECL European Warrants Mar 2014 A	0.28	15.08 per unit	2014/03/17	70,000,000	2013/09/17
27341	Credit Suisse AG	Ping An European Put Warrants Mar 2014 A	0.15	46.9	2014/03/17	70,000,000	2013/09/17
27346	Credit Suisse AG	Yanzhou Coal European Warrants Dec 2013 A	0.2	9.89 per unit	2013/12/20	70,000,000	2013/09/17
27352	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jan 2014 C	0.2	94.88	2014/01/20	100,000,000	2013/09/17
27351	Citigroup Global Mkt H Inc.	A50 China Euro Wts Mar 2014 B	0.43	11 per unit	2014/03/17	50,000,000	2013/09/17
27308	Citigroup Global Mkt H Inc.	Tencent European Wts Mar 2014 B	0.2	450.80 per 100 units	2014/03/03	100,000,000	2013/09/17
27315	Daiwa Capital Mkt	A50 China European Warrants Mar 2014 A	0.15	13.28 per unit	2014/03/24	128,000,000	2013/09/17
27316	Daiwa Capital Mkt	HSI European Warrants Feb 2014 B	0.2	23,000 per 6,600 units	2014/02/27	200,000,000	2013/09/17
27320	Daiwa Capital Mkt	HSI European Warrants Mar 2014 A	0.25	21,600 per 9,000 units	2014/03/28	200,000,000	2013/09/17
27319	Daiwa Capital Mkt	HSI European Put Warrants Feb 2014 C	0.15	22,600 per 8,800 units	2014/02/27	200,000,000	2013/09/17
27321	Daiwa Capital Mkt	HSI European Put Warrants Feb 2014 D	0.18	23,200 per 8,800 units	2014/02/27	200,000,000	2013/09/17
27340	Bank of East Asia	Minsheng Bank Euro Put Wts Dec 2014 A	0.18	8.99	2014/12/22	80,000,000	2013/09/17
27338	Bank of East Asia	Yanzhou Coal European Wts Aug 2014 A	0.17	7.01	2014/08/26	80,000,000	2013/09/17
27299	Goldman Sachs SP (Asia)	HSBC European Warrants Dec 2013 A	0.152	92.65	2013/12/24	68,000,000	2013/09/17
27355	Goldman Sachs SP (Asia)	HSI European Warrants Oct 2014 A	0.254	25,000 per 5,000 units	2014/10/30	150,000,000	2013/09/17
27298	Goldman Sachs SP (Asia)	Ping An European Wts Mar 2014 A	0.252	68	2014/03/31	68,000,000	2013/09/17
27297	Goldman Sachs SP (Asia)	Ping An Euro Put Wts Jun 2014 A	0.308	52.8	2014/06/30	50,000,000	2013/09/17
27294	HK Bank	CAM CSI300 European Warrants Aug 2014 A	0.25	33.38	2014/08/29	80,000,000	2013/09/17
27291	HK Bank	CSOP A50 ETF European Warrants Aug 2014 A	0.15	8.89	2014/08/04	180,000,000	2013/09/17
27293	HK Bank	A50 China European Warrants Mar 2014 A	0.25	11.38 per unit	2014/03/31	60,000,000	2013/09/17
27296	HK Bank	HSI European Warrants Feb 2014 D	0.15	24,238 per 6,000 units	2014/02/27	200,000,000	2013/09/17
27292	HK Bank	ICBC European Warrants Jul 2014 A	0.25	5.98 per unit	2014/07/08	80,000,000	2013/09/17
27336	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Apr 2014 B	0.251	10,200 per 5,500 units	2014/04/29	300,000,000	2013/09/17
27337	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Apr 2014 C	0.25	10,800 per 4,400 units	2014/04/29	300,000,000	2013/09/17
27334	J P Morgan SP BV	HSCEI European Put Warrants Apr 2014 A	0.254	10,800 per 4,800 units	2014/04/29	300,000,000	2013/09/17
27300	Standard Chartered Bank	Sinopec Corp Euro Wts Apr 2014 B	0.25	7.37 per unit	2014/04/30	80,000,000	2013/09/17
27304	Standard Chartered Bank	CRCC European Warrants Dec 2014 A	0.15	9.89	2014/12/23	100,000,000	2013/09/17

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27302	Standard Chartered Bank	Zijin Mining Euro Wts Aug 2014 A	0.15	2.64 per unit	2014/08/26	80,000,000	2013/09/17
27325	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt May 14 A	0.25	4.98 per unit	2014/05/05	40,000,000	2013/09/17
27327	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Oct15 A	0.165	11.8	2015/10/05	120,000,000	2013/09/17
27324	SGA Societe Generale Acceptance N.V	Ch Overseas Eu Wt Apr14A	0.15	27.2	2014/04/02	100,000,000	2013/09/17
27328	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Apr14 A	0.31	4.18 per unit	2014/04/02	50,000,000	2013/09/17
27322	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Apr 2015A	0.23	18.88	2015/04/08	100,000,000	2013/09/17
27326	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Mar14A	0.66	8.88 per unit	2014/03/05	25,000,000	2013/09/17
27349	UBS AG	China Mobile European Warrants Mar 2014 A	0.25	99.88	2014/03/17	100,000,000	2013/09/17
27330	UBS AG	CSOP A50 ETF European Warrants Mar 2014 A	0.25	11.38 per unit	2014/03/17	100,000,000	2013/09/17
27333	UBS AG	A50 China European Warrants Mar 2014 A	0.25	12.48 per unit	2014/03/17	100,000,000	2013/09/17
27314	UBS AG	HSCEI European Put Warrants Mar 2014 E	0.25	10,800 per 4,500 units	2014/03/28	100,000,000	2013/09/17
27329	UBS AG	HSI European Warrants Mar 2014 E	0.25	23,600 per 6,000 units	2014/03/28	300,000,000	2013/09/17
27309	UBS AG	Link REIT European Warrants Mar 2014 B	0.25	36.88	2014/03/17	100,000,000	2013/09/17
27424	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Apr 2014 A	0.25	33.3	2014/04/02	100,000,000	2013/09/18
27429	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Jun 2014 A	0.25	19.5	2014/06/04	100,000,000	2013/09/18
27428	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Jul 2014 A	0.25	3.10 per unit	2014/07/03	60,000,000	2013/09/18
27426	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Apr 2014 A	0.25	13.70 per unit	2014/04/02	100,000,000	2013/09/18
27423	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Apr 2014 A	0.25	118	2014/04/02	100,000,000	2013/09/18
27425	BNP Paribas Arbit Issu B.V.	Nine Dragons Eur Wt Apr 2016 A	0.25	7.8	2016/04/05	100,000,000	2013/09/18
27403	Credit Suisse AG	Agricultural Bank European Wts Jan 2014 B	0.15	4 per unit	2014/01/27	70,000,000	2013/09/18
27406	Credit Suisse AG	CC Bank European Put Warrants Jan 2014 C	0.15	5.27 per unit	2014/01/27	80,000,000	2013/09/18
27400	Credit Suisse AG	Minsheng Bank European Wts Jan 2014 A	0.25	12.20 per unit	2014/01/27	70,000,000	2013/09/18
27401	Credit Suisse AG	Minsheng Bank Euro Put Wts Dec 2014 A	0.16	9	2014/12/22	70,000,000	2013/09/18
27408	Credit Suisse AG	CSOP A50 ETF European Warrants Dec 2013 B	0.18	11 per unit	2013/12/24	80,000,000	2013/09/18
27407	Credit Suisse AG	Galaxy Ent European Put Wts Mar 2014 C	0.15	41.75	2014/03/24	70,000,000	2013/09/18
27409	Credit Suisse AG	HSI European Put Warrants Feb 2014 G	0.15	22,600 per 8,800 units	2014/02/27	200,000,000	2013/09/18
27402	Credit Suisse AG	Ping An European Warrants Mar 2014 B	0.26	69.38	2014/03/17	80,000,000	2013/09/18
27422	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Mar 2014 C	0.25	23	2014/03/17	80,000,000	2013/09/18
27421	Citigroup Global Mkt H Inc.	SJM Holdings Eu Put Wts Mar14B	0.25	19.5	2014/03/17	80,000,000	2013/09/18
27390	Daiwa Capital Mkt	HSCEI European Warrants Jun 2014 A	0.25	10,000 per 5,800 units	2014/06/27	80,000,000	2013/09/18
27392	Daiwa Capital Mkt	HSCEI European Put Warrants Jun 2014 B	0.25	11,000 per 6,600 units	2014/06/27	80,000,000	2013/09/18
27389	Daiwa Capital Mkt	Ping An European Put Warrants Mar 2014 A	0.28	53.88	2014/03/17	80,000,000	2013/09/18
27387	Daiwa Capital Mkt	Tencent Holdings European Wts Apr 2014 B	0.25	501.88 per 100 units	2014/04/15	108,000,000	2013/09/18
27388	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Feb 2014 C	0.25	374.80 per 100 units	2014/02/05	80,000,000	2013/09/18
27430	Goldman Sachs SP (Asia)	Kingsoft European Wts May 2014 A	0.251	22.08	2014/05/30	48,000,000	2013/09/18
27432	Goldman Sachs SP (Asia)	Tencent European Wt Apr 2014 A	0.25	460 per 100 units	2014/04/29	80,000,000	2013/09/18
27357	HK Bank	CITIC Sec European Warrants Jul 2014 A	0.25	18.98	2014/07/31	100,000,000	2013/09/18
27358	HK Bank	Minsheng Bank European Warrants Mar 2014 A	0.68	10.78 per unit	2014/03/17	60,000,000	2013/09/18
27363	HK Bank	Minsheng Bank European Warrants Sep 2015 B	0.15	11.82	2015/09/29	180,000,000	2013/09/18
27360	HK Bank	China Mobile European Warrants Mar 2014 A	0.15	99.05	2014/03/24	100,000,000	2013/09/18
27362	HK Bank	HSCEI European Put Warrants Mar 2014 B	0.15	10,288 per 4,000 units	2014/03/28	180,000,000	2013/09/18
27356	HK Bank	Haitong Sec European Warrants Apr 2014 A	0.15	14.82	2014/04/29	100,000,000	2013/09/18
27359	HK Bank	Tencent European Warrants Apr 2014 A	0.25	500 per 100 units	2014/04/30	100,000,000	2013/09/18

Newly listed derivative warrants *(continued)*

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27378	J P Morgan SP BV	China Life European Put Wts Aug 2014 A	0.25	20	2014/08/01	200,000,000	2013/09/18
27376	J P Morgan SP BV	Ch Overseas European Put Wts Jul 2014 B	0.25	21.88	2014/07/02	200,000,000	2013/09/18
27374	J P Morgan SP BV	Galaxy Ent European Warrants Apr 2014 D	0.251	62.88	2014/04/01	150,000,000	2013/09/18
27372	J P Morgan SP BV	Galaxy Ent European Put Wts Apr 2014 C	0.416	50	2014/04/01	100,000,000	2013/09/18
27379	J P Morgan SP BV	HSI European Warrants Apr 2014 F	0.25	23,400 per 7,700 units	2014/04/29	300,000,000	2013/09/18
27370	J P Morgan SP BV	Hutchison European Warrants Apr 2014 A	0.251	106	2014/04/01	80,000,000	2013/09/18
27369	J P Morgan SP BV	ICBC European Put Wts Mar 2014 C	0.25	5 per unit	2014/03/17	100,000,000	2013/09/18
27382	Macquarie Bank Ltd.	Ch Merchants European Wts May 2014 A	0.25	33	2014/05/05	80,000,000	2013/09/18
27380	Macquarie Bank Ltd.	China Tel European Warrants May 2014 A	0.25	5 per unit	2014/05/05	70,000,000	2013/09/18
27381	Macquarie Bank Ltd.	Evergrande European Wts May 2014 A	0.25	4.18 per unit	2014/05/05	70,000,000	2013/09/18
27419	Macquarie Bank Ltd.	KECL European Warrants Jul 2014 A	0.15	11.4	2014/07/03	70,000,000	2013/09/18
27383	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2014 B	0.26	23,400 per 5,500 units	2014/03/28	100,000,000	2013/09/18
27384	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2014 C	0.265	22,400 per 6,500 units	2014/03/28	100,000,000	2013/09/18
27386	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Apr 2014 A	0.159	24,100 per 6,000 units	2014/04/29	100,000,000	2013/09/18
27385	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2014 D	0.255	22,800 per 6,500 units	2014/03/28	100,000,000	2013/09/18
27397	Standard Chartered Bank	China Mobile Eur Put Wt Feb 2014 B	0.19	78	2014/02/18	100,000,000	2013/09/18
27398	Standard Chartered Bank	Link REIT European Wts Mar 2014 A	0.25	34.5	2014/03/28	80,000,000	2013/09/18
27394	Standard Chartered Bank	Tencent Hldg Euro Wts Mar 2014 A	0.28	450.88 per 100 units	2014/03/03	100,000,000	2013/09/18
27396	Standard Chartered Bank	Tencent Hldg Euro Wts Mar 2014 B	0.25	473.88 per 100 units	2014/03/17	100,000,000	2013/09/18
27367	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jan15A	0.205	35	2015/01/05	150,000,000	2013/09/18
27414	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Apr 14 A	0.3	5 per unit	2014/04/02	50,000,000	2013/09/18
27416	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Dec14A	0.25	21	2014/12/03	100,000,000	2013/09/18
27365	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt May14A	0.25	12 per unit	2014/05/05	50,000,000	2013/09/18
27364	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt May2014 A	0.265	12.20 per unit	2014/05/05	80,000,000	2013/09/18
27418	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt May14B	0.15	14.8	2014/05/05	100,000,000	2013/09/18
27413	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Mar2014 A	0.215	10.28 per unit	2014/03/05	60,000,000	2013/09/18
27415	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Feb 14 B	0.15	72.88	2014/02/05	70,000,000	2013/09/18
27368	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Apr14B	0.295	370 per 100 units	2014/04/02	150,000,000	2013/09/18
27411	UBS AG	ZTE European Warrants Jun 2014 B	0.19	16.9	2014/06/24	100,000,000	2013/09/18
22007 #	HK Bank	A50 China European Warrants Sep 2014 A	0.085	11.01	2014/09/15	280,000,000	2013/09/18
27437	BOCI Asia Ltd.	CC Bank European Put Warrants Mar 2014 A	0.25	5.40 per unit	2014/03/24	100,000,000	2013/09/19
27439	BOCI Asia Ltd.	China Mobile European Warrants Jan 2014 C	0.16	94.93	2014/01/30	100,000,000	2013/09/19
27438	BOCI Asia Ltd.	Ch Overseas European Warrants Mar 2014 A	0.15	25.88	2014/03/03	100,000,000	2013/09/19
27436	BOCI Asia Ltd.	Ping An European Warrants Mar 2014 C	0.25	70	2014/03/26	100,000,000	2013/09/19
27473	Credit Suisse AG	CNBM European Warrants Feb 2014 A	0.38	9.89 per unit	2014/02/12	60,000,000	2013/09/19
27474	Credit Suisse AG	CNBM European Put Warrants Mar 2014 A	0.38	6.58 per unit	2014/03/18	60,000,000	2013/09/19
27477	Credit Suisse AG	A50 China European Warrants Dec 2013 B	0.16	12.22 per unit	2013/12/23	80,000,000	2013/09/19
27476	Credit Suisse AG	Kingsoft European Warrants Mar 2014 C	0.25	23.98	2014/03/18	60,000,000	2013/09/19
27475	Credit Suisse AG	Tencent Holdings European Wts Mar 2014 C	0.25	496.80 per 100 units	2014/03/18	100,000,000	2013/09/19
27490	Citigroup Global Mkt H Inc.	China Life Euro Wts Apr 2014 A	0.205	21.38	2014/04/17	120,000,000	2013/09/19
27492	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Mar 2014 D	0.26	63.88	2014/03/05	60,000,000	2013/09/19
27489	Citigroup Global Mkt H Inc.	HSBC Euro Put Wts Mar 2014 B	0.27	78	2014/03/18	50,000,000	2013/09/19
27485	Daiwa Capital Mkt	Sinopec Corp European Wts Mar 2014 A	0.25	7.48 per unit	2014/03/18	80,000,000	2013/09/19

Newly listed derivative warrants *(continued)*

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27486	Daiwa Capital Mkt	Sinopec Corp European Put Wts May 2014 A	0.29	5.28 per unit	2014/05/02	68,000,000	2013/09/19
27484	Daiwa Capital Mkt	Galaxy Ent European Warrants Mar 2014 C	0.25	68	2014/03/18	80,000,000	2013/09/19
27483	Daiwa Capital Mkt	Hutchison European Warrants Apr 2014 A	0.25	116.88	2014/04/02	128,000,000	2013/09/19
27487	Daiwa Capital Mkt	Sands China European Warrants Mar 2014 A	0.16	56.88	2014/03/03	80,000,000	2013/09/19
27493	Goldman Sachs SP (Asia)	Tencent European Put Wt Apr 2014 B	0.381	408 per 100 units	2014/04/29	80,000,000	2013/09/19
27434	HK Bank	HSI European Put Warrants Feb 2014 E	0.15	23,088 per 8,800 units	2014/02/27	200,000,000	2013/09/19
27433	HK Bank	HSI European Put Warrants Mar 2014 C	0.25	23,688 per 8,000 units	2014/03/28	150,000,000	2013/09/19
27435	HK Bank	PICC Group European Warrants Apr 2014 A	0.25	4.38 per unit	2014/04/30	80,000,000	2013/09/19
27453	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Apr 2014 A	0.25	7.18 per unit	2014/04/01	200,000,000	2013/09/19
27449	J P Morgan SP BV	Tencent European Warrants Apr 2014 C	0.25	475 per 100 units	2014/04/01	200,000,000	2013/09/19
27450	J P Morgan SP BV	Tencent European Put Warrants Apr 2014 D	0.326	400 per 100 units	2014/04/01	200,000,000	2013/09/19
27459	Macquarie Bank Ltd.	CSOP A50 ETF European Wts Apr 2014 B	0.252	12.75 per unit	2014/04/02	40,000,000	2013/09/19
27457	Macquarie Bank Ltd.	A50 China European Warrants Apr 2014 B	0.25	13 per unit	2014/04/02	40,000,000	2013/09/19
27465	Macquarie Bank Ltd.	Galaxy Ent European Wts Apr 2014 C	0.25	69	2014/04/02	40,000,000	2013/09/19
27440	Macquarie Bank Ltd.	Kingsoft European Warrants Apr 2014 A	0.25	23.88	2014/04/02	40,000,000	2013/09/19
27441	Macquarie Bank Ltd.	Tencent European Warrants Apr 2014 A	0.25	490 per 100 units	2014/04/02	40,000,000	2013/09/19
27447	Standard Chartered Bank	Ping An European Wts Jan 2014 B	0.21	65.25	2014/01/06	100,000,000	2013/09/19
27445	Standard Chartered Bank	Tencent Hldg Eu Put Wts Mar 2014 C	0.3	388.88 per 100 units	2014/03/18	100,000,000	2013/09/19
27472	SGA Societe Generale Acceptance N.V.	CPIC Eur Wt Aug 2014 A	0.25	35	2014/08/04	100,000,000	2013/09/19
27443	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Mar 2014 A	0.255	4.38 per unit	2014/03/03	60,000,000	2013/09/19
27442	SGA Societe Generale Acceptance N.V.	CTel Eu Wt Oct 2014 A	0.25	5 per unit	2014/10/06	60,000,000	2013/09/19
27444	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Oct14 A	0.175	12.8	2014/10/06	150,000,000	2013/09/19
27469	SGA Societe Generale Acceptance N.V.	Dongfeng Eu Wt Dec14A	0.165	13.02	2014/12/03	150,000,000	2013/09/19
27467	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Apr14A	0.2	5.50 per unit	2014/04/02	50,000,000	2013/09/19
27471	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Apr 14 C	0.24	472 per 100 units	2014/04/02	150,000,000	2013/09/19
27481	UBS AG	SJM Holdings European Warrants Apr 2014 A	0.25	22.88	2014/04/14	80,000,000	2013/09/19
27478	UBS AG	Tencent Holdings European Put Warrants Feb 2014 H	0.15	348.68 per 100 units	2014/02/21	100,000,000	2013/09/19
27480	UBS AG	Tencent Holdings European Put Warrants Mar 2014 D	0.32	388.88 per 100 units	2014/03/18	100,000,000	2013/09/19
20485 #	Daiwa Capital Mkt	HKEx European Warrants Jun 2014 A	0.082	138.98 per 100 units	2014/06/20	300,000,000	2013/09/19
27505	BOCI Asia Ltd.	Agricultural Bk European Wts May 2014 A	0.25	4.20 per unit	2014/05/12	100,000,000	2013/09/23
27500	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Jul 2014 A	0.42	135	2014/07/03	67,000,000	2013/09/23
27503	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Apr 2014 B	0.27	81	2014/04/02	100,000,000	2013/09/23
27499	BNP Paribas Arbit Issu B.V.	Haier Elec Euro Wts Nov 2014 A	0.26	15.38	2014/11/04	73,000,000	2013/09/23
27498	BNP Paribas Arbit Issu B.V.	HSI Euro Put Wts Apr 2014 C	0.27	22,800 per 6,000 units	2014/04/29	100,000,000	2013/09/23
27502	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Jun 2014 A	0.26	124	2014/06/04	68,000,000	2013/09/23
27504	Bank of East Asia	CITIC Sec European Warrants Aug 2014 A	0.25	20	2014/08/26	40,000,000	2013/09/23
27519	Bank of East Asia	Kingsoft European Warrants Apr 2014 A	0.25	25	2014/04/01	40,000,000	2013/09/23
27496	HK Bank	A50 China European Warrants Dec 2015 A	0.25	9.38	2015/12/31	180,000,000	2013/09/23
27494	HK Bank	HSI European Warrants Feb 2014 F	0.2	23,400 per 5,000 units	2014/02/27	180,000,000	2013/09/23
27506	Macquarie Bank Ltd.	CAM CSI300 European Wts Oct 2014 A	0.25	35	2014/10/06	40,000,000	2013/09/23
27508	Macquarie Bank Ltd.	CMS European Warrants Apr 2014 A	0.25	8.50 per unit	2014/04/02	40,000,000	2013/09/23
27515	Standard Chartered Bank	Anhui Conch Euro Wts Aug 2014 A	0.25	33.88	2014/08/08	100,000,000	2013/09/23
27516	Standard Chartered Bank	Agricultural Bk Eur Wts Jun 2014 A	0.25	4.28 per unit	2014/06/10	100,000,000	2013/09/23

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27513	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Apr14A	0.16	20	2014/04/09	100,000,000	2013/09/23
27512	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt Mar14A	0.22	80.08	2014/03/05	100,000,000	2013/09/23
27510	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Mar14A	0.185	102.88	2014/03/05	60,000,000	2013/09/23
27509	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Apr14 A	0.16	73.93	2014/04/02	100,000,000	2013/09/23
27514	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Apr14 B	0.265	78	2014/04/02	100,000,000	2013/09/23
10234	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Mar 14 A	0.205	14,300 per 440 units	2014/03/14	150,000,000	2013/09/23
10235	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Mar 14 B	0.19	14,800 per 390 units	2014/03/14	150,000,000	2013/09/23
10236	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Mar 2014 C	0.155	14,200 per 550 units	2014/03/14	150,000,000	2013/09/23
27547	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jan 2015 B	0.25	25	2015/01/05	100,000,000	2013/09/24
27543	BNP Paribas Arbit Issu B.V.	HSBC European Wts Oct 2014 A	0.25	105	2014/10/03	100,000,000	2013/09/24
27531	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt Apr 2014 E	0.25	515 per 100 units	2014/04/02	100,000,000	2013/09/24
27548	Citigroup Global Mkt H Inc.	Minsheng Bank Euro Wts Jan15 A	0.15	11	2015/01/19	200,000,000	2013/09/24
27541	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Mar 2014 B	0.25	99	2014/03/24	100,000,000	2013/09/24
27536	Citigroup Global Mkt H Inc.	Galaxy Ent Eu Put Wt Mar 14 E	0.37	50	2014/03/24	60,000,000	2013/09/24
27540	Citigroup Global Mkt H Inc.	Tencent European Wts Mar 2014 C	0.25	500 per 100 units	2014/03/24	100,000,000	2013/09/24
27527	J P Morgan SP BV	CITIC Bank European Warrants Apr 2014 A	0.25	4.88 per unit	2014/04/02	100,000,000	2013/09/24
27549	J P Morgan SP BV	China Tel European Warrants Apr 2014 A	0.25	4.50 per unit	2014/04/01	100,000,000	2013/09/24
27525	J P Morgan SP BV	Yurun Food European Warrants Mar 2014 A	0.25	5.88 per unit	2014/03/24	40,000,000	2013/09/24
27524	J P Morgan SP BV	Yanzhou Coal European Warrants Apr 2014 A	0.482	8.80 per unit	2014/04/02	100,000,000	2013/09/24
27520	J P Morgan SP BV	Zhaojin Mining European Wts Mar 2014 A	0.578	7.50 per unit	2014/03/28	30,000,000	2013/09/24
27528	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2014 B	0.25	502.88 per 100 units	2014/04/02	100,000,000	2013/09/24
27529	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt Mar14A	0.275	83.88	2014/03/05	80,000,000	2013/09/24
27532	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 14 B	0.25	500 per 100 units	2014/05/07	150,000,000	2013/09/24
27530	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Apr14D	0.38	400 per 100 units	2014/04/02	150,000,000	2013/09/24
27534	UBS AG	CITIC Sec European Warrants May 2014 A	0.25	17.88	2014/05/08	80,000,000	2013/09/24
27533	UBS AG	China Life European Put Warrants Apr 2014 B	0.15	19.98	2014/04/08	100,000,000	2013/09/24
27535	UBS AG	Haitong Sec European Warrants Apr 2014 A	0.15	14.82	2014/04/25	80,000,000	2013/09/24
27542	UBS AG	Tencent Holdings European Warrants Apr 2014 A	0.25	498 per 100 units	2014/04/02	100,000,000	2013/09/24
27554	BOCI Asia Ltd.	CITIC Sec European Warrants Apr 2014 A	0.21	18	2014/04/29	100,000,000	2013/09/25
27557	BOCI Asia Ltd.	Sands China European Warrants Mar 2014 A	0.25	57.88	2014/03/31	100,000,000	2013/09/25
27556	BOCI Asia Ltd.	Tencent Holdings European Wts Apr 2014 A	0.25	490 per 100 units	2014/04/07	100,000,000	2013/09/25
27597	Credit Suisse AG	A50 China European Warrants Mar 2014 A	0.35	11.52 per unit	2014/03/31	80,000,000	2013/09/25
27594	Credit Suisse AG	Tencent Holdings European Wts Mar 2014 D	0.25	512.88 per 100 units	2014/03/24	100,000,000	2013/09/25
27592	Credit Suisse AG	Tencent Holdings Euro Put Wts Feb 2014 F	0.22	380 per 100 units	2014/02/07	100,000,000	2013/09/25
27596	Credit Suisse AG	Xinyi Glass European Warrants Mar 2014 A	0.32	8.68 per unit	2014/03/24	60,000,000	2013/09/25
27575	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Mar 2014 F	0.375	59.8	2014/03/03	60,000,000	2013/09/25
27576	Citigroup Global Mkt H Inc.	Tencent European Wts Jul 2014 A	0.25	588 per 100 units	2014/07/07	80,000,000	2013/09/25
27558	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2014 D	0.153	24,400 per 6,000 units	2014/02/27	150,000,000	2013/09/25
27559	Goldman Sachs SP (Asia)	HSI European Put Wts Feb 2014 E	0.152	23,100 per 8,800 units	2014/02/27	150,000,000	2013/09/25
27562	HK Bank	China Mobile European Warrants Mar 2014 B	0.25	93.88	2014/03/31	80,000,000	2013/09/25
27561	HK Bank	Galaxy Ent European Warrants Mar 2014 A	0.25	69.88	2014/03/31	60,000,000	2013/09/25
27560	HK Bank	Tencent European Put Warrants Apr 2014 B	0.32	393.88 per 100 units	2014/04/30	100,000,000	2013/09/25
27571	J P Morgan SP BV	CSOP A50 ETF European Warrants Apr 2014 A	0.25	12 per unit	2014/04/02	150,000,000	2013/09/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27570	J P Morgan SP BV	A50 China European Warrants Apr 2014 A	0.25	12 per unit	2014/04/02	200,000,000	2013/09/25
27602	J P Morgan SP BV	HSBC European Put Warrants Apr 2014 A	0.479	85	2014/04/02	200,000,000	2013/09/25
27591	Macquarie Bank Ltd.	Ping An European Warrants Feb 2014 B	0.15	72.88	2014/02/05	67,000,000	2013/09/25
27552	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Jan 2014 C	0.175	94.93	2014/01/23	60,000,000	2013/09/25
27553	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Mar 2014 A	0.158	99.05	2014/03/24	70,000,000	2013/09/25
27551	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Feb 2014 A	0.154	72.93	2014/02/04	70,000,000	2013/09/25
27550	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Mar 2014 A	0.265	68.88	2014/03/26	40,000,000	2013/09/25
27566	Standard Chartered Bank	Galaxy Ent European Wts May 2014 C	0.25	68.88	2014/05/09	100,000,000	2013/09/25
27564	Standard Chartered Bank	Galaxy Ent Euro Put Wts Mar 2014 C	0.16	43.98	2014/03/26	100,000,000	2013/09/25
27563	Standard Chartered Bank	HSCEI European Warrants Dec 2014 A	0.15	11,600 per 5,000 units	2014/12/30	100,000,000	2013/09/25
27589	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Mar 2014 A	0.22	3.88 per unit	2014/03/05	100,000,000	2013/09/25
27569	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Mar 2014 A	0.265	35.93	2014/03/03	100,000,000	2013/09/25
27588	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt Apr2014 A	0.31	138	2014/04/02	50,000,000	2013/09/25
27582	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jun15 A	0.16	10.7	2015/06/03	150,000,000	2013/09/25
27567	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Aug2014 A	0.155	9.19	2014/08/04	300,000,000	2013/09/25
27601	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Jul 14 A	0.195	58	2014/07/02	60,000,000	2013/09/25
27600	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Apr14A	0.255	25.88	2014/04/14	60,000,000	2013/09/25
27599	SGA Societe Generale Acceptance N.V.	Link REIT Eu Wt Apr14B	0.15	40	2014/04/02	70,000,000	2013/09/25
27598	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Jul 2014 A	0.18	16.9	2014/07/02	80,000,000	2013/09/25
27573	UBS AG	A50 China European Put Warrants Dec 2014 A	0.16	10.47	2014/12/01	100,000,000	2013/09/25
27637	Goldman Sachs SP (Asia)	Brilliance Chi Euro Wts Dec 2014 A	0.25	13.8	2014/12/30	80,000,000	2013/09/26
27635	Goldman Sachs SP (Asia)	A50 China European Wts Feb 2014 B	0.15	11.70 per unit	2014/02/05	80,000,000	2013/09/26
27636	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Mar 2014 D	0.251	66.8	2014/03/31	80,000,000	2013/09/26
27619	J P Morgan SP BV	CAM CSI300 European Warrants Dec 2014 A	0.187	35	2014/12/31	200,000,000	2013/09/26
27638	J P Morgan SP BV	BOCL European Warrants May 2014 A	0.25	4 per unit	2014/05/30	200,000,000	2013/09/26
27639	J P Morgan SP BV	Minsheng Bank European Wts Jan 2015 A	0.15	11	2015/01/19	200,000,000	2013/09/26
27622	J P Morgan SP BV	Sinopec Corp European Warrants Apr 2014 A	0.25	6.80 per unit	2014/04/01	200,000,000	2013/09/26
27603	J P Morgan SP BV	A50 China European Put Wts Dec 2014 A	0.15	10.48	2014/12/01	200,000,000	2013/09/26
27604	J P Morgan SP BV	HSBC European Warrants Apr 2014 B	0.252	90	2014/04/01	200,000,000	2013/09/26
27611	Macquarie Bank Ltd.	Bank of EA European Wts Jun 2014 A	0.25	37	2014/06/04	100,000,000	2013/09/26
27609	Standard Chartered Bank	CC Bank European Wts Apr 2014 A	0.22	7.39 per unit	2014/04/15	100,000,000	2013/09/26
27607	Standard Chartered Bank	HSI European Put Wts Feb 2014 A	0.15	22,300 per 6,500 units	2014/02/27	100,000,000	2013/09/26
27605	Standard Chartered Bank	Tracker Fund Euro Wts Dec 2014 A	0.25	23.8	2014/12/29	100,000,000	2013/09/26
27608	Standard Chartered Bank	YZ Coal European Wts Apr 2014 A	0.5	10 per unit	2014/04/11	60,000,000	2013/09/26
27625	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Apr 2014 A	0.18	38.7	2014/04/02	100,000,000	2013/09/26
27626	SGA Societe Generale Acceptance N.V.	AIA Eu Put Wt Mar14 B	0.165	33.64	2014/03/05	100,000,000	2013/09/26
27615	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Sep 2014 A	0.195	14.68 per 10 units	2014/09/03	100,000,000	2013/09/26
27627	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Mar14 A	0.81	10.50 per unit	2014/03/05	40,000,000	2013/09/26
27614	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Apr14 A	0.53	12.48 per unit	2014/04/02	60,000,000	2013/09/26
27624	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Apr14B	0.15	41.8	2014/04/02	100,000,000	2013/09/26
27613	SGA Societe Generale Acceptance N.V.	HWL Eur Wt May 2014 A	0.25	110	2014/05/05	50,000,000	2013/09/26
27623	SGA Societe Generale Acceptance N.V.	PICC P&C Eu Wt Jul14A	0.15	12.33 per 10 units	2014/07/02	150,000,000	2013/09/26
27617	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt May14A	0.25	22	2014/05/05	80,000,000	2013/09/26

Newly listed derivative warrants *(continued)*

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27629	UBS AG	Galaxy Ent European Warrants Mar 2014 D	0.4	60.88	2014/03/25	80,000,000	2013/09/26
27632	UBS AG	HSI European Put Warrants Mar 2014 F	0.25	23,600 per 7,000 units	2014/03/28	300,000,000	2013/09/26
27633	UBS AG	Kingsoft European Warrants Feb 2014 A	0.31	19.5	2014/02/17	100,000,000	2013/09/26
27641	BOCI Asia Ltd.	China Life European Put Warrants Apr 2014 B	0.15	20	2014/04/08	100,000,000	2013/09/27
27659	Credit Suisse AG	Cheung Kong European Warrants Feb 2014 A	0.34	138.88	2014/02/10	70,000,000	2013/09/27
27658	Credit Suisse	Cheung Kong European Put Warrants Mar 2014 A	0.25	105.88	2014/03/26	70,000,000	2013/09/27
27649	Credit Suisse AG	HSI European Put Warrants Feb 2014 H	0.15	23,200 per 8,800 units	2014/02/27	200,000,000	2013/09/27
27650	Credit Suisse AG	HSI European Put Warrants Mar 2014 C	0.194	23,688 per 8,000 units	2014/03/28	200,000,000	2013/09/27
27660	Credit Suisse AG	SHK Ppt European Put Warrants Feb 2014 B	0.44	100	2014/02/07	70,000,000	2013/09/27
27661	Credit Suisse	Yanzhou Coal European Put Wts Mar 2014 A	0.25	6.18 per unit	2014/03/26	50,000,000	2013/09/27
27679	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Mar 2014 A	0.405	11 per unit	2014/03/26	50,000,000	2013/09/27
27680	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Dec 2015 A	0.155	10.3	2015/12/03	300,000,000	2013/09/27
27681	Citigroup Global Mkt H Inc.	HKEx European Wts Mar 2014 A	0.415	150	2014/03/26	50,000,000	2013/09/27
27682	Citigroup Global Mkt H Inc.	Link Reit Euro Wts Mar 2014 A	0.25	40.8	2014/03/26	60,000,000	2013/09/27
27678	Citigroup Global Mkt H Inc.	Ping An Euro Wts Mar 2014 B	0.25	70	2014/03/26	80,000,000	2013/09/27
27643	HK Bank	Foxconn European Warrants Apr 2014 A	0.48	5.38 per unit	2014/04/30	50,000,000	2013/09/27
27644	HK Bank	Tencent European Warrants Mar 2014 B	0.19	474.08 per 100 units	2014/03/10	100,000,000	2013/09/27
27691	J P Morgan SP BV	Cheung Kong European Warrants May 2014 A	0.3	138.88	2014/05/02	100,000,000	2013/09/27
27690	J P Morgan SP BV	Ping An European Warrants Apr 2014 C	0.25	70.88	2014/04/02	200,000,000	2013/09/27
27689	J P Morgan SP BV	SPDR Gold Trust European Wts Jun 2014 A	0.261	1,200 per 100 units	2014/06/03	100,000,000	2013/09/27
27648	Macquarie Bank Ltd.	China Res Gas European Wts Oct 2014 A	0.25	25	2014/10/06	40,000,000	2013/09/27
27647	Macquarie Bank Ltd.	Tencent European Put Wts Jul 2014 B	0.281	360 per 100 units	2014/07/03	150,000,000	2013/09/27
27662	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Apr 2014 B	0.155	42.88	2014/04/30	100,000,000	2013/09/27
27663	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Apr 2014 A	0.205	7.18 per unit	2014/04/02	100,000,000	2013/09/27
27666	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Apr 2014 A	0.325	155	2014/04/02	50,000,000	2013/09/27
27665	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Feb 14 A	0.175	54.88	2014/02/05	60,000,000	2013/09/27
27645	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2014 G	0.25	22,400 per 7,000 units	2014/03/28	200,000,000	2013/09/27
27664	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Mar 2014 H	0.25	23,400 per 6,000 units	2014/03/28	200,000,000	2013/09/27
27667	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2014 A	0.185	6.50 per unit	2014/08/04	100,000,000	2013/09/27
27668	SGA Societe Generale Acceptance N.V	Ping An Eu Put Wt Apr14B	0.25	52.88	2014/04/02	100,000,000	2013/09/27
27670	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt May2014 A	0.25	130	2014/05/07	50,000,000	2013/09/27
27669	SGA Societe Generale Acceptance N.V.	Sino Land Eu Wt May14A	0.415	14.88 per unit	2014/05/07	30,000,000	2013/09/27
27671	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt May14A	0.185	2.33 per unit	2014/05/07	70,000,000	2013/09/27
27677	UBS AG	China Mobile European Put Warrants Mar 2014 B	0.195	80.03	2014/03/05	100,000,000	2013/09/27
27674	UBS AG	HSBC European Put Warrants Jan 2014 D	0.15	80.83	2014/01/09	100,000,000	2013/09/27
27683	UBS AG	HSCEI European Warrants Mar 2014 F	0.25	10,800 per 4,000 units	2014/03/28	100,000,000	2013/09/27
27672	UBS AG	Ping An European Put Warrants Mar 2014 A	0.23	52.83	2014/03/10	100,000,000	2013/09/27
27701	Credit Suisse AG	Sands China European Put Wts Mar 2014 B	0.28	42.8	2014/03/05	60,000,000	2013/09/30
27709	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Wts Apr 2014 A	0.23	12 per unit	2014/04/28	50,000,000	2013/09/30
27706	Citigroup Global Mkt H Inc.	Link Reit Euro Wts Mar 2014 B	0.34	36	2014/03/31	50,000,000	2013/09/30
27708	Citigroup Global Mkt H Inc.	Link Reit Euro Wts Mar 2014 C	0.175	40	2014/03/25	60,000,000	2013/09/30
27702	Citigroup Global Mkt H Inc.	Link Reit Euro Wts May 2014 A	0.15	42.88	2014/05/27	70,000,000	2013/09/30
27692	Daiwa Capital Mkt	CCB European Put Warrants Mar 2014 B	0.18	5.40 per unit	2014/03/18	80,000,000	2013/09/30

Newly listed derivative warrants *(continued)*

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27710	J P Morgan SP BV	AIA European Warrants May 2014 A	0.25	40	2014/05/02	200,000,000	2013/09/30
27711	J P Morgan SP BV	AIA European Warrants May 2014 B	0.15	42.88	2014/05/02	200,000,000	2013/09/30
27694	Standard Chartered Bank	Cheung Kong Euro Wts Apr 2014 A	0.25	148.88	2014/04/30	60,000,000	2013/09/30
27696	Standard Chartered Bank	HSI European Warrants Feb 2014 B	0.18	24,400 per 6,000 units	2014/02/27	100,000,000	2013/09/30
27693	Standard Chartered Bank	SHK P European Put Wts Apr 2014 A	0.25	88.88	2014/04/11	60,000,000	2013/09/30
10237	SGA Societe Generale Acceptance N.V.	USD/YEN Eu Wt Mar14 A	0.25	102 per 1 units	2014/03/31	50,000,000	2013/09/30
10238	SGA Societe Generale Acceptance N.V	USD/YEN Eu Put Wt Mar14B	0.25	96 per 1 units	2014/03/31	50,000,000	2013/09/30
27699	UBS AG	BOCL European Warrants Mar 2014 A	0.25	4.05 per unit	2014/03/31	100,000,000	2013/09/30
27700	UBS AG	ICBC European Warrants Feb 2014 C	0.17	6.19 per unit	2014/02/24	100,000,000	2013/09/30
27729	Citigroup Global Mkt H Inc.	China Life Euro Wts Sep 2014 A	0.18	22.88	2014/09/01	150,000,000	2013/10/02
27726	Citigroup Global Mkt H Inc.	China Life Euro Wts Dec 2014 A	0.16	25	2014/12/24	150,000,000	2013/10/02
27727	Citigroup Global Mkt H Inc.	China Life Eu Put Wt Apr2014 B	0.16	20	2014/04/08	100,000,000	2013/10/02
27734	Citigroup Global Mkt H Inc.	A50 China Euro Wts Aug 2014 A	0.15	9.18	2014/08/01	200,000,000	2013/10/02
27733	Citigroup Global Mkt H Inc.	A50 China Eu Put Wt Dec 2014 A	0.155	10.5	2014/12/01	200,000,000	2013/10/02
27730	Citigroup Global Mkt H Inc.	HSBC European Wts Jan 2014 C	0.19	90.88	2014/01/27	100,000,000	2013/10/02
10244	Daiwa Capital Mkt	Nikkei 225 Index European Wts Mar 2014 B	0.26	14,300 per 440 units	2014/03/14	250,000,000	2013/10/02
10239	Daiwa Capital Mkt	Nikkei 225 Index European Wts Jun 2014 A	0.25	13,600 per 760 units	2014/06/13	250,000,000	2013/10/02
10240	Daiwa Capital Mkt	Nikkei 225 Index European Wts Jun 2014 B	0.25	15,400 per 520 units	2014/06/13	250,000,000	2013/10/02
10243	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Mar 2014 A	0.15	13,300 per 400 units	2014/03/14	250,000,000	2013/10/02
10241	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Jun 2014 C	0.25	15,000 per 700 units	2014/06/13	250,000,000	2013/10/02
10242	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Jun 2014 D	0.25	14,000 per 520 units	2014/06/13	250,000,000	2013/10/02
27713	HK Bank	Cheung Kong European Warrants Jun 2014 A	0.31	139.88	2014/06/30	60,000,000	2013/10/02
27714	HK Bank	SHK Ppt European Warrants Apr 2014 A	0.25	123.88	2014/04/07	60,000,000	2013/10/02
27712	J P Morgan SP BV	China Mob European Wts Mar 2014 A	0.15	99.88	2014/03/10	200,000,000	2013/10/02
27716	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt May 2014 A	0.185	4.20 per unit	2014/05/07	100,000,000	2013/10/02
27717	SGA Societe Generale Acceptance N.V.	BYD Eu Wt May 2014 A	0.385	46.88	2014/05/07	50,000,000	2013/10/02
27719	SGA Societe Generale Acceptance N.V.	CCCCL Eu Wt May 2014 A	0.365	8 per unit	2014/05/07	30,000,000	2013/10/02
27722	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt May14A	0.34	12.80 per unit	2014/05/07	30,000,000	2013/10/02
27720	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Jun14A	0.19	2.76 per unit	2014/06/04	60,000,000	2013/10/02
27725	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Put Wt Dec14A	0.15	10.49	2014/12/03	200,000,000	2013/10/02
27723	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2014 A	0.23	91.88	2014/03/10	100,000,000	2013/10/02
27724	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Jun14A	0.25	60.88	2014/06/04	50,000,000	2013/10/02
27721	SGA Societe Generale Acceptance N.V.	Yurun Food Eu Wt May14A	0.255	7 per unit	2014/05/07	40,000,000	2013/10/02
27732	UBS AG	Geely Auto European Warrants Apr 2014 A	0.47	4.30 per unit	2014/04/08	60,000,000	2013/10/02
27744	Credit Suisse AG	Lumena Newmat European Wts Jul 2014 A	0.16	1.88 per unit	2014/07/03	70,000,000	2013/10/03
27745	Credit Suisse AG	China Mengniu European Wts Apr 2014 A	0.25	41.38	2014/04/03	70,000,000	2013/10/03
27743	Credit Suisse AG	SJM Holdings European Warrants May 2014 A	0.17	26.88	2014/05/02	70,000,000	2013/10/03
27756	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Apr 2014 A	0.295	21.5	2014/04/02	80,000,000	2013/10/03
27735	J P Morgan SP BV	Galaxy Ent European Warrants May 2014 A	0.25	70	2014/05/02	200,000,000	2013/10/03
27739	Macquarie Bank Ltd.	Cheung Kong European Wts Jun 2014 A	0.25	150	2014/06/04	40,000,000	2013/10/03
27740	Macquarie Bank Ltd.	Lenovo European Warrants Apr 2014 A	0.25	9.98 per unit	2014/04/02	40,000,000	2013/10/03
27741	Macquarie Bank Ltd.	Yanzhou Coal European Wts Apr 2014 B	0.374	10 per unit	2014/04/02	40,000,000	2013/10/03
27737	Standard Chartered Bank	Agricultural Bk Eur Wts Mar 2014 A	0.21	3.88 per unit	2014/03/03	80,000,000	2013/10/03

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27738	Standard Chartered Bank	China Mobile Euro Wts Apr 2014 A	0.25	95.55	2014/04/30	100,000,000	2013/10/03
27749	SGA Societe Generale Acceptance N.V.	CLife Eu Wt May 2014 B	0.27	20	2014/05/07	100,000,000	2013/10/03
27750	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Sep 2014 A	0.19	22.88	2014/09/03	100,000,000	2013/10/03
27751	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jan 2015 A	0.175	25	2015/01/05	100,000,000	2013/10/03
27752	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr14C	0.205	69	2014/04/02	100,000,000	2013/10/03
27753	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Mar 2014 B	0.43	100	2014/03/05	100,000,000	2013/10/03
27742	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Mar 14 A	0.57	8.88 per unit	2014/03/05	30,000,000	2013/10/03
27754	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Apr2014 A	0.445	9.50 per unit	2014/04/02	60,000,000	2013/10/03
27736	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Apr14B	0.19	58.05	2014/04/02	60,000,000	2013/10/03
27755	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Apr14C	0.25	41.88	2014/04/02	60,000,000	2013/10/03
27748	UBS AG	Ping An European Warrants Feb 2014 A	0.4	60.93	2014/02/25	100,000,000	2013/10/03
27747	UBS AG	Ping An European Warrants Mar 2014 B	0.29	65.05	2014/03/21	100,000,000	2013/10/03
27757	UBS AG	ZTE European Warrants Jun 2014 C	0.25	18.88	2014/06/30	100,000,000	2013/10/03
27764	BOCI Asia Ltd.	AIA European Put Warrants Mar 2014 A	0.15	33.68	2014/03/03	100,000,000	2013/10/04
27765	BOCI Asia Ltd.	CNOOC European Put Warrants Aug 2014 A	0.25	16	2014/08/11	100,000,000	2013/10/04
27801	BNP Paribas Arbit Issu B.V.	CC Bank Euro Put Wt Oct 2014 A	0.33	4.85 per unit	2014/10/06	100,000,000	2013/10/04
27800	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Mar 2014 B	0.24	83.9	2014/03/04	100,000,000	2013/10/04
27803	BNP Paribas Arbit Issu B.V.	ICBC Euro Put Wts Oct 2014 A	0.32	4.50 per unit	2014/10/06	100,000,000	2013/10/04
27804	BNP Paribas Arbit Issu B.V.	Ping An Eur Put Wts Jul 2014 B	0.25	47.8	2014/07/03	100,000,000	2013/10/04
27794	Credit Suisse AG	Li & Fung European Warrants Dec 2014 A	0.17	11.27	2014/12/24	70,000,000	2013/10/04
27787	Citigroup Global Mkt H Inc.	HSI European Wts Apr 2014 A	0.175	24,000 per 6,000 units	2014/04/29	150,000,000	2013/10/04
27785	Citigroup Global Mkt H Inc.	HSI European Put Wt Mar 2014 A	0.18	22,800 per 6,500 units	2014/03/28	150,000,000	2013/10/04
27780	Goldman Sachs SP (Asia)	Agri Bk European Wts May 2014 A	0.152	4.20 per unit	2014/05/02	68,000,000	2013/10/04
27781	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2014 A	0.25	96.88	2014/04/04	80,000,000	2013/10/04
27784	Goldman Sachs SP (Asia)	Evergrande European Wts Jun 2014 A	0.251	3.80 per unit	2014/06/30	48,000,000	2013/10/04
27783	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2014 A	0.251	93.88	2014/04/04	80,000,000	2013/10/04
27782	Goldman Sachs SP (Asia)	Shanghai Ind Euro Wts Jun 2014 A	0.252	28.88	2014/06/30	80,000,000	2013/10/04
27763	Macquarie Bank Ltd.	Weigao Group European Wts Aug 2015 A	0.25	9.5	2015/08/04	40,000,000	2013/10/04
27759	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts May 2014 A	0.25	40	2014/05/02	40,000,000	2013/10/04
27761	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Feb 2014 D	0.26	428.90 per 100 units	2014/02/11	40,000,000	2013/10/04
27762	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Mar 2014 B	0.217	450.90 per 100 units	2014/03/03	50,000,000	2013/10/04
27758	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Feb2014 B	0.204	380 per 100 units	2014/02/07	50,000,000	2013/10/04
27760	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Feb2014 C	0.15	350 per 100 units	2014/02/20	70,000,000	2013/10/04
27772	Standard Chartered Bank	CNBM European Warrants Feb 2014 A	0.45	8.80 per unit	2014/02/05	50,000,000	2013/10/04
27770	Standard Chartered Bank	HSBC European Warrants Jan 2014 B	0.15	90.88	2014/01/24	100,000,000	2013/10/04
27769	Standard Chartered Bank	HSI European Warrants Apr 2014 A	0.16	24,100 per 6,000 units	2014/04/29	100,000,000	2013/10/04
27771	Standard Chartered Bank	ICBC European Put Wts Mar 2014 A	0.16	4.99 per unit	2014/03/10	100,000,000	2013/10/04
27795	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Feb14 B	0.305	90	2014/02/05	80,000,000	2013/10/04
27791	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt Jan2016 A	0.25	15.8	2016/01/06	300,000,000	2013/10/04
27793	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt May14A	0.3	2.80 per unit	2014/05/07	60,000,000	2013/10/04
27796	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr14D	0.5	57	2014/04/02	100,000,000	2013/10/04
27797	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Apr14E	0.28	46.88	2014/04/02	100,000,000	2013/10/04
27788	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Mar 2014 B	0.56	83	2014/03/05	100,000,000	2013/10/04

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27799	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt May14B	0.15	26.88	2014/05/07	80,000,000	2013/10/04
27778	UBS AG	China Life European Warrants Jul 2014 A	0.25	22.38	2014/07/28	100,000,000	2013/10/04
27774	UBS AG	China Mobile European Warrants Mar 2014 C	0.26	95.05	2014/03/25	100,000,000	2013/10/04
27777	UBS AG	Galaxy Ent European Put Warrants Mar 2014 E	0.385	49.95	2014/03/17	40,000,000	2013/10/04
27776	UBS AG	HKEx European Put Warrants Dec 2014 C	0.15	112.90 per 100 units	2014/12/24	100,000,000	2013/10/04
27775	UBS AG	HSBC European Warrants Mar 2014 B	0.28	90.05	2014/03/31	100,000,000	2013/10/04
27779	UBS AG	KECL European Warrants Oct 2014 A	0.25	10.08	2014/10/20	100,000,000	2013/10/04
27822	BNP Paribas Arbit Issu B.V.	Anton Oilfield Euro Wts May14A	0.56	7.50 per unit	2014/05/05	30,000,000	2013/10/07
27819	BNP Paribas Arbit Issu B.V.	BJ Ent Water Euro Wts May14A	0.29	3.90 per unit	2014/05/05	35,000,000	2013/10/07
27820	BNP Paribas Arbit Issu B.V.	Chi Res Power Euro Wt Jun 14 A	0.25	21.3	2014/06/04	100,000,000	2013/10/07
27823	BNP Paribas Arbit Issu B.V.	CSR Times European Wts Jun14 A	0.29	27	2014/06/04	50,000,000	2013/10/07
27821	BNP Paribas Arbit Issu B.V.	Xinyi Glass Euro Wts May14 A	0.33	9.50 per unit	2014/05/05	31,000,000	2013/10/07
27813	Credit Suisse AG	AIA European Warrants Mar 2014 B	0.19	40.05	2014/03/03	70,000,000	2013/10/07
27814	Credit Suisse AG	AIA European Warrants Apr 2014 A	0.15	42.88	2014/04/24	70,000,000	2013/10/07
27815	Credit Suisse AG	Galaxy Ent European Warrants Apr 2014 A	0.25	71.28	2014/04/07	70,000,000	2013/10/07
27818	Citigroup Global Mkt H Inc.	CC Bank European Wt Mar 2014 A	0.21	6.80 per unit	2014/03/21	80,000,000	2013/10/07
27816	Citigroup Global Mkt H Inc.	ICBC European Wts Feb 2014 A	0.325	5.68 per unit	2014/02/24	80,000,000	2013/10/07
27817	Citigroup Global Mkt H Inc.	ICBC European Wts Mar 2014 A	0.165	6.28 per unit	2014/03/21	80,000,000	2013/10/07
27807	HK Bank	ICBC European Warrants Feb 2014 A	0.15	6.17 per unit	2014/02/26	80,000,000	2013/10/07
27806	HK Bank	Ping An European Warrants Jan 2014 A	0.15	65.23	2014/01/06	100,000,000	2013/10/07
27805	HK Bank	Tencent European Put Warrants Feb 2014 D	0.19	374.90 per 100 units	2014/02/05	100,000,000	2013/10/07
27808	Standard Chartered Bank	CTel European Warrants Jun 2014 A	0.25	4.48 per unit	2014/06/05	80,000,000	2013/10/07
27809	Standard Chartered Bank	ZTE European Warrants Jun 2014 A	0.25	16.88	2014/06/05	100,000,000	2013/10/07
27810	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt May 2014 A	0.195	4 per unit	2014/05/28	120,000,000	2013/10/07
27811	UBS AG	Galaxy Ent European Warrants Apr 2014 A	0.25	70.88	2014/04/08	100,000,000	2013/10/07
27812	UBS AG	Wynn Macau European Warrants Apr 2014 A	0.26	29.88	2014/04/08	50,000,000	2013/10/07
27826	Credit Suisse AG	China Coal European Warrants Feb 2014 A	0.15	6.18 per unit	2014/02/24	70,000,000	2013/10/08
27830	Credit Suisse AG	HSI European Warrants Apr 2014 B	0.17	23,400 per 7,700 units	2014/04/29	200,000,000	2013/10/08
27833	Credit Suisse AG	HSI European Put Warrants Feb 2014 I	0.15	22,300 per 6,500 units	2014/02/27	200,000,000	2013/10/08
27829	Citigroup Global Mkt H Inc.	Henganintl Euro Wts Apr 2014 A	0.535	108	2014/04/07	50,000,000	2013/10/08
27827	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Apr 2014 B	0.165	26.88	2014/04/25	80,000,000	2013/10/08
27828	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wt Apr 2014 A	0.25	1,200 per 100 units	2014/04/07	60,000,000	2013/10/08
27846	Goldman Sachs SP (Asia)	CITIC Sec European Wts Oct 2014 A	0.25	18.68	2014/10/30	48,000,000	2013/10/08
27843	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2014 B	0.327	88.8	2014/04/08	80,000,000	2013/10/08
27845	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2014 B	0.252	86.88	2014/04/08	80,000,000	2013/10/08
27835	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt May14A	0.255	26.5	2014/05/07	100,000,000	2013/10/08
27824	SGA Societe Generale Acceptance N.V.	BOCom Eu Wt May2014 A	0.25	6.70 per unit	2014/05/07	60,000,000	2013/10/08
27841	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Apr 2014 B	0.325	6.30 per unit	2014/04/02	100,000,000	2013/10/08
27836	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt May 2014 A	0.39	5.40 per unit	2014/05/07	40,000,000	2013/10/08
27825	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Oct 14 A	0.25	27.2	2014/10/06	100,000,000	2013/10/08
10247	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Wts Jun 2014 A	0.25	1.41 per 1 units	2014/06/12	100,000,000	2013/10/09
10248	BNP Paribas Arbit Issu B.V.	EUR/USD Euro Put Wt Jun 2014 B	0.25	1.27 per 1 units	2014/06/12	100,000,000	2013/10/09
10245	BNP Paribas Arbit Issu B.V.	Gold European Wts Jun 2014 A	0.25	1,400 per 3,500 units	2014/06/06	100,000,000	2013/10/09

Newly listed derivative warrants *(continued)*

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10246	BNP Paribas Arbit Issu B.V.	Gold European Put Wts Jun 14 B	0.25	1,200 per 3,500 units	2014/06/06	100,000,000	2013/10/09
27860	Credit Suisse AG	Hutchison European Warrants Feb 2014 B	0.21	108.9	2014/02/11	100,000,000	2013/10/09
27861	Credit Suisse AG	Hutchison European Put Wts Feb 2014 C	0.18	83.83	2014/02/24	100,000,000	2013/10/09
27862	Credit Suisse AG	Wynn Macau European Warrants Apr 2014 A	0.28	31.88	2014/04/08	70,000,000	2013/10/09
27854	Macquarie Bank Ltd.	China Overseas European Wts Apr 2014 A	0.15	27.2	2014/04/02	100,000,000	2013/10/09
27856	Macquarie Bank Ltd.	Gome European Warrants Dec 2014 A	0.25	1.20 per unit	2014/12/09	40,000,000	2013/10/09
27855	Macquarie Bank Ltd.	HSBC European Warrants Feb 2014 B	0.15	90.88	2014/02/06	100,000,000	2013/10/09
27847	Standard Chartered Bank	Galaxy Ent Euro Put Wts Jun 2014 B	0.33	46.88	2014/06/06	100,000,000	2013/10/09
27849	Standard Chartered Bank	ICBC European Warrants Feb 2014 B	0.15	6.19 per unit	2014/02/24	100,000,000	2013/10/09
27852	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt May2014 A	0.175	7.38 per unit	2014/05/07	70,000,000	2013/10/09
27853	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Oct 2014 A	0.25	18.88	2014/10/06	80,000,000	2013/10/09
27858	UBS AG	Kingsoft European Warrants Apr 2014 A	0.23	25.93	2014/04/07	100,000,000	2013/10/09
27912	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Jul 2014 A	0.25	89.9	2014/07/03	100,000,000	2013/10/10
27915	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt Oct 2014 A	0.25	80.8	2014/10/06	100,000,000	2013/10/10
27914	BNP Paribas Arbit Issu B.V.	ZTE European Wts May 2014 A	0.25	18.5	2014/05/05	100,000,000	2013/10/10
27890	Credit Suisse AG	Anton Oilfield European Warrants Apr 14 A	0.63	7.28 per unit	2014/04/09	60,000,000	2013/10/10
27893	Credit Suisse AG	GCL-Poly Energy European Wts Apr 2014 A	0.33	2.98 per unit	2014/04/09	60,000,000	2013/10/10
27909	Credit Suisse AG	Galaxy Ent European Warrants Apr 2014 C	0.25	76.28	2014/04/09	80,000,000	2013/10/10
27888	Credit Suisse AG	Galaxy Ent European Put Wts Mar 2014 D	0.21	46.83	2014/03/21	80,000,000	2013/10/10
27891	Credit Suisse AG	Nine Dragons European Warrants Apr 2014 A	0.27	7.08 per unit	2014/04/09	60,000,000	2013/10/10
27867	Goldman Sachs SP (Asia)	AIA European Put Wts May 2014 A	0.251	35	2014/05/02	80,000,000	2013/10/10
27866	Goldman Sachs SP (Asia)	COSCO Pacific Euro Wts Jun 2015 A	0.251	13	2015/06/30	80,000,000	2013/10/10
27864	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Aug 2014 A	0.253	26.88	2014/08/29	48,000,000	2013/10/10
27865	Goldman Sachs SP (Asia)	Tencent European Wt Apr 2014 C	0.25	485 per 100 units	2014/04/29	80,000,000	2013/10/10
27871	HK Bank	HSCEI European Warrants Mar 2014 C	0.18	10,838 per 4,000 units	2014/03/28	200,000,000	2013/10/10
27873	HK Bank	HSCEI European Put Warrants Jun 2014 B	0.15	9,168 per 4,000 units	2014/06/27	200,000,000	2013/10/10
27869	HK Bank	HSI European Warrants Mar 2014 D	0.18	23,700 per 6,000 units	2014/03/28	250,000,000	2013/10/10
27870	HK Bank	HSI European Put Warrants Apr 2014 B	0.15	22,100 per 7,600 units	2014/04/29	250,000,000	2013/10/10
27868	HK Bank	HWL European Warrants Mar 2014 A	0.18	116.98	2014/03/26	100,000,000	2013/10/10
27863	J P Morgan SP BV	Lumena Newmat European Wts Jul 2014 A	0.25	1.80 per unit	2014/07/21	60,000,000	2013/10/10
27884	Macquarie Bank Ltd.	Galaxy Ent European Wts May 2014 A	0.25	75	2014/05/05	40,000,000	2013/10/10
27886	Macquarie Bank Ltd.	Sands China European Wts May 2014 A	0.25	64	2014/05/05	40,000,000	2013/10/10
27874	Standard Chartered Bank	HKEx European Warrants Apr 2014 B	0.25	148.88	2014/04/09	100,000,000	2013/10/10
27878	Standard Chartered Bank	Hutchison Euro Euro Wts Mar 2014 B	0.15	118	2014/03/31	80,000,000	2013/10/10
27879	Standard Chartered Bank	Hutchison Euro Put Wt May 2014 B	0.44	88	2014/05/30	80,000,000	2013/10/10
27875	SGA Societe Generale Acceptance N.V.	CCB Euro Put Wt Mar14B	0.19	5.40 per unit	2014/03/31	80,000,000	2013/10/10
27880	SGA Societe Generale Acceptance N.V.	CK(H) Eu Wt May2014 A	0.25	156	2014/05/07	50,000,000	2013/10/10
27894	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt May14A	0.25	75	2014/05/07	100,000,000	2013/10/10
27876	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2014 A	0.15	118	2014/04/02	70,000,000	2013/10/10
27877	SGA Societe Generale Acceptance N.V.	HWL Eur Put Wt May14B	0.5	90	2014/05/07	70,000,000	2013/10/10
27882	SGA Societe Generale Acceptance N.V.	ICBC Eu Put Wt May14A	0.25	5.10 per unit	2014/05/07	80,000,000	2013/10/10
27895	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Apr14A	0.39	10 per unit	2014/04/02	30,000,000	2013/10/10
27904	UBS AG	Hutchison European Warrants Mar 2014 A	0.15	118.1	2014/03/26	100,000,000	2013/10/10

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27905	UBS AG	Sands China European Warrants Mar 2014 B	0.25	58.05	2014/03/26	60,000,000	2013/10/10
27906	UBS AG	Wynn Macau European Warrants Apr 2014 B	0.25	32.88	2014/04/15	50,000,000	2013/10/10
21108 #	HK Bank	HSCEI European Warrants Dec 2014 A	0.115	11,600 per 5,000 units	2014/12/30	150,000,000	2013/10/10
27952	Credit Suisse AG	China Mobile European Warrants Jan 2014 D	0.17	90	2014/01/27	80,000,000	2013/10/11
27953	Credit Suisse AG	China Mobile European Warrants Feb 2014 B	0.32	86.9	2014/02/24	80,000,000	2013/10/11
27954	Credit Suisse AG	Kingsoft European Warrants Apr 2014 A	0.25	26.88	2014/04/10	80,000,000	2013/10/11
27926	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Apr 2014 A	0.315	70.8	2014/04/10	60,000,000	2013/10/11
27928	Citigroup Global Mkt H Inc.	MGM China Euro Wts Apr 2014 A	0.255	30	2014/04/10	80,000,000	2013/10/11
27925	Citigroup Global Mkt H Inc.	Sands China Euro Wt Apr 2014 A	0.265	60	2014/04/10	60,000,000	2013/10/11
27941	Daiwa Capital Mkt	Cheung Kong European Warrants Apr 2014 A	0.25	148.988	2014/04/10	108,000,000	2013/10/11
27944	Daiwa Capital Mkt	Ch Overseas European Put Wts Jul 2014 A	0.25	21.38	2014/07/02	80,000,000	2013/10/11
27945	Daiwa Capital Mkt	Galaxy Ent European Put Wts Apr 2014 A	0.15	43.95	2014/04/01	80,000,000	2013/10/11
27942	Daiwa Capital Mkt	HKEx European Put Warrants Mar 2014 A	0.15	102.8	2014/03/03	108,000,000	2013/10/11
27933	HK Bank	AIA European Warrants Apr 2014 A	0.15	42.93	2014/04/15	100,000,000	2013/10/11
27934	HK Bank	China Tel European Warrants Apr 2014 A	0.15	5 per unit	2014/04/28	80,000,000	2013/10/11
27930	HK Bank	GCL-Poly Energy European Warrants Apr 2014 A	0.25	2.88 per unit	2014/04/14	50,000,000	2013/10/11
27929	HK Bank	Ping An European Warrants Mar 2014 A	0.17	70.93	2014/03/31	100,000,000	2013/10/11
27931	HK Bank	Sands China European Warrants Apr 2014 A	0.25	60.28	2014/04/14	80,000,000	2013/10/11
27938	Macquarie Bank Ltd.	Anton Oilfield European Wts May 2014 A	0.442	7.60 per unit	2014/05/05	40,000,000	2013/10/11
27939	Macquarie Bank Ltd.	China Agri European Wts Aug 2014 A	0.25	5.20 per unit	2014/08/04	40,000,000	2013/10/11
27935	Macquarie Bank Ltd.	China Unicom European Wts Jan 2015 A	0.25	13	2015/01/05	100,000,000	2013/10/11
27937	Macquarie Bank Ltd.	Wynn Macau European Wts Jun 2014 A	0.25	36.5	2014/06/04	40,000,000	2013/10/11
27919	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt Apr 14 A	0.28	82	2014/04/11	40,000,000	2013/10/11
27923	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Mar 2014 F	0.184	23,700 per 6,000 units	2014/03/28	100,000,000	2013/10/11
27924	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Sep 2014 A	0.25	25,000 per 5,000 units	2014/09/29	100,000,000	2013/10/11
27922	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Feb 2014 F	0.156	23,200 per 8,800 units	2014/02/27	100,000,000	2013/10/11
27921	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Mar 2014 E	0.215	23,600 per 7,000 units	2014/03/28	100,000,000	2013/10/11
27920	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Feb 2014 A	0.193	105.93	2014/02/05	60,000,000	2013/10/11
27949	Standard Chartered Bank	Sands China Eu Put Wts Mar 2014 B	0.2	42.8	2014/03/05	100,000,000	2013/10/11
27950	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt May14 A	0.425	16.88 per unit	2014/05/07	30,000,000	2013/10/11
27951	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2014 B	0.45	145	2014/06/04	60,000,000	2013/10/11
10249	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Mar 14 D	0.19	13,800 per 500 units	2014/03/14	150,000,000	2013/10/11
27958	UBS AG	China Tel European Warrants Jan 2014 A	0.295	4.09 per unit	2014/01/27	70,000,000	2013/10/11
27957	UBS AG	China Tel European Warrants Mar 2014 A	0.2	4.51 per unit	2014/03/25	70,000,000	2013/10/11
27956	UBS AG	GCL-Poly Energy European Warrants Apr 2014 A	0.34	3.08 per unit	2014/04/10	60,000,000	2013/10/11
27955	UBS AG	ZTE European Warrants Jul 2014 B	0.25	20.88	2014/07/21	100,000,000	2013/10/11
27959	BOCI Asia Ltd.	Galaxy Ent European Warrants Apr 2014 A	0.25	75	2014/04/30	100,000,000	2013/10/15
27960	BOCI Asia Ltd.	HKEx European Warrants Jun 2014 A	0.27	150	2014/06/30	100,000,000	2013/10/15
27962	BOCI Asia Ltd.	HSBC European Warrants Apr 2014 B	0.15	93.88	2014/04/11	100,000,000	2013/10/15
27961	BOCI Asia Ltd.	HSBC European Put Warrants Apr 2014 A	0.18	75	2014/04/04	100,000,000	2013/10/15
27971	Credit Suisse AG	Hutchison European Warrants Mar 2014 A	0.16	118.1	2014/03/31	100,000,000	2013/10/15
27966	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Mar 2014 C	0.395	138	2014/03/26	50,000,000	2013/10/15
27965	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Apr 2014 A	0.34	108	2014/04/14	50,000,000	2013/10/15

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
27967	Citigroup Global Mkt H Inc.	Wynn Macau Euro Wts Apr 2014 A	0.25	31.88	2014/04/14	60,000,000	2013/10/15
27975	Goldman Sachs SP (Asia)	Minsheng Bank Euro Wts Apr 2014 A	0.586	10.68 per unit	2014/04/29	48,000,000	2013/10/15
27976	Goldman Sachs SP (Asia)	Sands China Euro Wts Apr 2014 A	0.25	58.8	2014/04/30	48,000,000	2013/10/15
27974	J P Morgan SP BV	Hutchison European Warrants May 2014 A	0.251	113.88	2014/05/02	100,000,000	2013/10/15
27963	Macquarie Bank Ltd.	Henganintl European Wts May 2014 A	0.254	118	2014/05/05	80,000,000	2013/10/15
27969	Standard Chartered Bank	China Mobile Euro Wts Feb 2014 C	0.3	86.86	2014/02/21	100,000,000	2013/10/15
27968	Standard Chartered Bank	China Mobile Euro Wts Apr 2014 B	0.25	90.9	2014/04/15	100,000,000	2013/10/15
27970	Standard Chartered Bank	Kingsoft European Wts Apr 2014 A	0.25	26	2014/04/28	100,000,000	2013/10/15
27964	SGA Societe Generale Acceptance N.V.	CMob Eu Put Wt May14A	0.25	76	2014/05/07	100,000,000	2013/10/15
10250	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Mar 2014 E	0.15	13,300 per 400 units	2014/03/14	150,000,000	2013/10/15
27973	UBS AG	AIA European Warrants Apr 2014 A	0.15	42.93	2014/04/15	100,000,000	2013/10/15
27972	UBS AG	BYD European Warrants Apr 2014 A	0.35	43.88	2014/04/14	80,000,000	2013/10/15
27994	BOCI Asia Ltd.	Cheung Kong European Put Warrants Apr 2014A	0.23	105.88	2014/04/02	100,000,000	2013/10/16
27990	BOCI Asia Ltd.	Kingsoft European Warrants May 2014 A	0.3	25	2014/05/19	100,000,000	2013/10/16
27992	BOCI Asia Ltd.	SJM Holdings European Warrants May 2014 A	0.25	25	2014/05/26	100,000,000	2013/10/16
27993	BOCI Asia Ltd.	ZTE European Warrants Jun 2014 A	0.25	19.28	2014/06/30	100,000,000	2013/10/16
27986	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Apr 2014 A	0.15	7.18 per unit	2014/04/02	100,000,000	2013/10/16
27989	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt May 2014 A	0.26	151	2014/05/05	61,000,000	2013/10/16
27984	BNP Paribas Arbit Issu B.V.	China Life Euro Wts May 2014 B	0.15	21.38	2014/05/05	100,000,000	2013/10/16
27988	BNP Paribas Arbit Issu B.V.	Galaxy Ent Euro Wts Apr 2014 B	0.23	71.28	2014/04/02	100,000,000	2013/10/16
27985	BNP Paribas Arbit Issu B.V.	HSBC European Wts Apr 2014 A	0.15	93.88	2014/04/02	100,000,000	2013/10/16
28006	Credit Suisse AG	Geely Auto European Warrants Mar 2014 A	0.34	5.51 per unit	2014/03/31	60,000,000	2013/10/16
28009	Credit Suisse AG	ZTE European Warrants May 2014 A	0.25	20.38	2014/05/30	60,000,000	2013/10/16
28008	Bank of East Asia	Galaxy Ent European Put Warrants Apr14 A	0.15	44.05	2014/04/09	70,000,000	2013/10/16
28007	Bank of East Asia	Hutchison European Warrants Dec 2015 A	0.25	80 per 100 units	2015/12/31	80,000,000	2013/10/16
27980	HK Bank	BYD European Warrants Apr 2014 A	0.3	47.88	2014/04/28	50,000,000	2013/10/16
27979	HK Bank	China Life European Warrants Jul 2014 A	0.16	22.43	2014/07/21	100,000,000	2013/10/16
27982	HK Bank	Dongfeng Motor European Warrants Nov 2014 A	0.15	13.04	2014/11/19	80,000,000	2013/10/16
27981	HK Bank	HKEx European Warrants Mar 2014 A	0.21	150.1	2014/03/19	150,000,000	2013/10/16
27983	HK Bank	Ping An European Warrants Feb 2014 A	0.42	58.93	2014/02/24	100,000,000	2013/10/16
28004	Macquarie Bank Ltd.	China Mobile European Wts May 2014 A	0.25	93.88	2014/05/05	100,000,000	2013/10/16
28003	Macquarie Bank Ltd.	Hutchison European Warrants May 2014 A	0.25	115	2014/05/05	100,000,000	2013/10/16
28001	Standard Chartered Bank	BOCL European Warrants May 2014 B	0.16	4.01 per unit	2014/05/23	100,000,000	2013/10/16
28000	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 14 B	0.35	88.8	2014/04/02	100,000,000	2013/10/16
28002	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Mar 14 A	0.16	25.88	2014/03/05	100,000,000	2013/10/16
28005	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jul 14 A	0.15	588 per 100 units	2014/07/02	150,000,000	2013/10/16
27998	UBS AG	HSCEI European Warrants Dec 2014 A	0.15	11,650 per 5,000 units	2014/12/30	100,000,000	2013/10/16
27997	UBS AG	HSCEI European Put Warrants Jun 2014 C	0.15	9,200 per 4,000 units	2014/06/27	100,000,000	2013/10/16
27995	UBS AG	KECL European Warrants Mar 2014 A	0.17	15.10 per unit	2014/03/10	70,000,000	2013/10/16
28034	BOCI Asia Ltd.	CC Bank European Warrants Apr 2014 A	0.25	6.88 per unit	2014/04/29	100,000,000	2013/10/17
28035	BOCI Asia Ltd.	Cheung Kong European Warrants May 2014 A	0.3	145	2014/05/07	100,000,000	2013/10/17
28036	BOCI Asia Ltd.	Hutchison European Warrants May 2014 A	0.25	115	2014/05/02	100,000,000	2013/10/17
28033	BOCI Asia Ltd.	ICBC European Warrants Apr 2014 A	0.25	6.28 per unit	2014/04/30	100,000,000	2013/10/17

Newly listed derivative warrants *(continued)*

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28032	Credit Suisse AG	Esprit European Warrants Aug 2014 A	0.15	15.9	2014/08/08	70,000,000	2013/10/17
28059	Citigroup Global Mkt H Inc.	HSI European Put Wt Feb 2014 A	0.15	22,400 per 6,500 units	2014/02/27	150,000,000	2013/10/17
28011	Goldman Sachs Sp (Asia)	ICBC European Warrants Jun 2014 A	0.192	5.99 per unit	2014/06/30	68,000,000	2013/10/17
28010	Goldman Sachs Sp (Asia)	ICBC European Warrants Jul 2014 A	0.152	6.50 per unit	2014/07/30	68,000,000	2013/10/17
28028	HK Bank	CITIC Bank European Warrants Jun 2014 A	0.25	4.78 per unit	2014/06/23	50,000,000	2013/10/17
28025	HK Bank	Minsheng Bank European Warrants Apr 2014 A	0.99	10.18 per unit	2014/04/16	60,000,000	2013/10/17
28021	HK Bank	Evergrande European Warrants Apr 2014 A	0.25	4.28 per unit	2014/04/23	50,000,000	2013/10/17
28030	HK Bank	HSI European Warrants Jul 2014 A	0.25	24,888 per 5,000 units	2014/07/30	250,000,000	2013/10/17
28031	HK Bank	HSI European Warrants Sep 2014 B	0.25	25,188 per 5,500 units	2014/09/29	250,000,000	2013/10/17
28024	HK Bank	Tencent European Put Warrants Apr 2014 C	0.35	412.88 per 100 units	2014/04/16	120,000,000	2013/10/17
28041	J P Morgan SP BV	Tencent European Warrants May 2014 A	0.37	440 per 100 units	2014/05/02	150,000,000	2013/10/17
28044	J P Morgan SP BV	Tencent European Warrants Jul 2014 A	0.25	518 per 100 units	2014/07/03	200,000,000	2013/10/17
28043	J P Morgan SP BV	Tencent European Put Warrants Apr 2014 E	0.25	365 per 100 units	2014/04/16	200,000,000	2013/10/17
28057	Macquarie Bank Ltd.	Country Garden European Wts Jul 2014 A	0.25	7.20 per unit	2014/07/04	40,000,000	2013/10/17
28040	Macquarie Bank Ltd.	CITIC Sec European Warrants Aug 2014 A	0.163	20	2014/08/19	100,000,000	2013/10/17
28038	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Apr 2014 B	0.25	3.60 per unit	2014/04/16	40,000,000	2013/10/17
28058	Macquarie Bank Ltd.	Yuexiu Property Euro Wts Nov 2014 A	0.25	2.88 per unit	2014/11/04	40,000,000	2013/10/17
28017	Nomura Int'l plc	Ch Ship Cont European Warrants Aug 2014 A	0.261	2.48 per unit	2014/08/28	50,000,000	2013/10/17
28019	Nomura Int'l plc	CSR Times European Warrants Aug 2014 A	0.322	29.88	2014/08/28	50,000,000	2013/10/17
28016	Nomura Int'l plc	Foxconn European Warrants Aug 2014 A	0.505	6.28 per unit	2014/08/08	50,000,000	2013/10/17
28012	Nomura Int'l plc	Geely Auto European Warrants Apr 2014 A	0.402	5 per unit	2014/04/28	50,000,000	2013/10/17
28014	Nomura Int'l plc	ZTE European Warrants Aug 2014 A	0.259	20.88	2014/08/08	50,000,000	2013/10/17
28051	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Apr14A	0.49	10 per unit	2014/04/02	50,000,000	2013/10/17
28052	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Apr14B	0.25	11 per unit	2014/04/02	50,000,000	2013/10/17
28049	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Mar14A	0.405	10.50 per unit	2014/03/05	50,000,000	2013/10/17
28050	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Apr 2014B	0.25	11.50 per unit	2014/04/02	50,000,000	2013/10/17
28046	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt May14B	0.45	52.5	2014/05/07	100,000,000	2013/10/17
28020	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2014 C	0.28	86.88	2014/04/02	120,000,000	2013/10/17
28053	UBS AG	CSOP A50 ETF European Warrants Apr 2014 A	0.36	10.68 per unit	2014/04/16	100,000,000	2013/10/17
28056	UBS AG	Galaxy Ent European Warrants Apr 2014 B	0.41	65.88	2014/04/28	40,000,000	2013/10/17
28055	UBS AG	Hutchison European Put Warrants Feb 2014 D	0.155	83.83	2014/02/24	70,000,000	2013/10/17
21933 #	HK Bank	A50 China European Warrants Apr 2014 A	0.062	10.02	2014/04/29	200,000,000	2013/10/17
10251	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Mar14 B	0.151	14,800 per 390 units	2014/03/14	100,000,000	2013/10/18
10252	BNP Paribas Arbit Issu B.V.	Nikkei 225 Index Eu Wt Jun14 A	0.16	13,600 per 760 units	2014/06/13	100,000,000	2013/10/18
10253	BNP Paribas Arbit Issu B.V.	Nikkei 225 Eu Put Wt Jun 2014B	0.196	14,000 per 520 units	2014/06/13	100,000,000	2013/10/18
28071	Credit Suisse AG	Ping An European Warrants Mar 2014 C	0.2	65	2014/03/24	80,000,000	2013/10/18
28072	Credit Suisse AG	Xinyi Glass European Warrants Apr 2014 A	0.36	10.28 per unit	2014/04/17	60,000,000	2013/10/18
28074	Macquarie Bank Ltd.	China Railway European Wts May 2014 A	0.25	5.50 per unit	2014/05/05	40,000,000	2013/10/18
28073	Macquarie Bank Ltd.	China Shenhua European Wts Mar 2014 B	0.15	25.88	2014/03/04	70,000,000	2013/10/18
28061	SGA Societe Generale Acceptance N.V.	CHALCO Eu Wt Jul 14 A	0.2	3.50 per unit	2014/07/02	60,000,000	2013/10/18
28069	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jul 2014 A	0.215	5.98 per unit	2014/07/02	100,000,000	2013/10/18
28060	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Mar 2014 A	0.205	15.10 per unit	2014/03/10	50,000,000	2013/10/18
28070	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Mar 14 A	0.275	62.55	2014/03/24	100,000,000	2013/10/18

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28067	UBS AG	CAM CSI300 European Warrants Mar 2014 A	0.17	31.93	2014/03/24	100,000,000	2013/10/18
28062	UBS AG	CC Bank European Warrants Feb 2014 B	0.15	6.79 per unit	2014/02/26	100,000,000	2013/10/18
28065	UBS AG	CC Bank European Warrants Mar 2014 B	0.34	6.31 per unit	2014/03/21	100,000,000	2013/10/18
28066	UBS AG	Minsheng Bank European Warrants Feb 2014 A	0.37	11.70 per unit	2014/02/25	30,000,000	2013/10/18
28068	UBS AG	Greatwall Motor European Warrants Mar 2014 A	0.33	55.05	2014/03/26	60,000,000	2013/10/18
28088	BNP Paribas Arbit Issu B.V.	Biostime Euro Wts Feb 2015 A	0.25	76 per 100 units	2015/02/03	100,000,000	2013/10/21
28089	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Apr 2014 A	0.15	5.50 per unit	2014/04/02	100,000,000	2013/10/21
28087	BNP Paribas Arbit Issu B.V.	China Mengniu Eu Wt Jul 2014 A	0.29	46	2014/07/03	82,000,000	2013/10/21
28085	BNP Paribas Arbit Issu B.V.	Ping An European Wt Apr 2014 A	0.19	67	2014/04/02	100,000,000	2013/10/21
28086	BNP Paribas Arbit Issu B.V.	Shenzhen Int'l Eu Wt Oct2014 A	0.19	1.08 per unit	2014/10/03	100,000,000	2013/10/21
28084	Goldman Sachs SP (Asia)	China Life Euro Wts Aug 2014 A	0.15	22.88	2014/08/27	80,000,000	2013/10/21
28077	HK Bank	AIA European Put Warrants Apr 2014 B	0.25	34.88	2014/04/22	120,000,000	2013/10/21
28076	HK Bank	Geely Auto European Warrants Apr 2014 A	0.35	4.88 per unit	2014/04/29	50,000,000	2013/10/21
28083	J P Morgan SP BV	HSI European Put Warrants Apr 2014 G	0.25	23,800 per 8,000 units	2014/04/29	500,000,000	2013/10/21
28095	Macquarie Bank Ltd.	Anta Sports European Wts Jul 2014 A	0.25	13.88	2014/07/03	70,000,000	2013/10/21
28093	Macquarie Bank Ltd.	BYD European Warrants May 2014 A	0.25	65	2014/05/05	40,000,000	2013/10/21
28094	Macquarie Bank Ltd.	Chi Res Land European Wts Aug 2014 A	0.25	28	2014/08/04	70,000,000	2013/10/21
28078	Macquarie Bank Ltd.	ZTE European Warrants Jul 2014 A	0.175	20.88	2014/07/14	70,000,000	2013/10/21
28079	Standard Chartered Bank	CITIC Pacific Euro Wts Apr 2014 A	0.43	13.88 per unit	2014/04/30	50,000,000	2013/10/21
28082	Standard Chartered Bank	China Life European Wts May 2014 A	0.15	21.4	2014/05/05	100,000,000	2013/10/21
28081	Standard Chartered Bank	Lenovo European Wts Apr 2014 A	0.27	9.98 per unit	2014/04/02	60,000,000	2013/10/21
28080	Standard Chartered Bank	YZ Coal European Wts Feb 2014 A	0.38	8.89 per unit	2014/02/20	80,000,000	2013/10/21
28092	UBS AG	HSI European Put Warrants Apr 2014 B	0.15	22,100 per 7,600 units	2014/04/29	300,000,000	2013/10/21
28090	UBS AG	Sands China European Put Warrants Mar 2014 C	0.17	42.75	2014/03/05	60,000,000	2013/10/21
28114	BOCI Asia Ltd.	BYD European Warrants Jul 2014 A	0.375	47.88	2014/07/07	100,000,000	2013/10/22
28113	BOCI Asia Ltd.	Lenovo European Warrants Sep 2014 A	0.15	8	2014/09/30	100,000,000	2013/10/22
28128	Credit Suisse AG	CC Bank European Warrants Feb 2014 A	0.15	6.79 per unit	2014/02/26	80,000,000	2013/10/22
28127	Credit Suisse AG	CSOP A50 ETF European Warrants Mar 2014 A	0.2	11 per unit	2014/03/19	80,000,000	2013/10/22
28129	Credit Suisse AG	Sands China European Warrants Apr 2014 A	0.25	66.88	2014/04/22	60,000,000	2013/10/22
28118	Citigroup Global Mkt H Inc.	MGM China Eu Put Wt Apr 2014 B	0.27	24.8	2014/04/22	80,000,000	2013/10/22
28120	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Mar 2014 D	0.15	28	2014/03/26	80,000,000	2013/10/22
28119	Citigroup Global Mkt H Inc.	SJM Holdings Eu Put Wts Apr14C	0.28	22.8	2014/04/22	80,000,000	2013/10/22
28117	Citigroup Global Mkt H Inc.	Wynn Macau Euro Wts May 2014 A	0.27	35	2014/05/15	60,000,000	2013/10/22
28124	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2014 A	0.25	6.68 per unit	2014/05/02	200,000,000	2013/10/22
28123	J P Morgan SP BV	China Mob European Wts May 2014 A	0.29	88.88	2014/05/02	200,000,000	2013/10/22
28121	J P Morgan SP BV	ICBC European Warrants May 2014 A	0.371	5.58 per unit	2014/05/02	200,000,000	2013/10/22
28131	J P Morgan SP BV	Sands China European Warrants May 2014 A	0.25	62	2014/05/02	150,000,000	2013/10/22
28115	Macquarie Bank Ltd.	Haier Elec European Wts May 2014 A	0.25	18	2014/05/05	40,000,000	2013/10/22
28116	Macquarie Bank Ltd.	PWR Assets European Wts Oct 2014 A	0.25	72.88	2014/10/06	40,000,000	2013/10/22
28109	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Apr 2014 A	0.152	21.43	2014/04/29	80,000,000	2013/10/22
28098	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Mar 2014 B	0.161	91.93	2014/03/10	80,000,000	2013/10/22
28100	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Apr 2014 A	0.315	86	2014/04/22	40,000,000	2013/10/22
28111	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Put Wt Mar 2014 C	0.183	74.95	2014/03/21	60,000,000	2013/10/22

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28106	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Apr 2014 A	0.34	62.08	2014/04/22	40,000,000	2013/10/22
28101	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Apr 2014 A	0.26	478 per 100 units	2014/04/22	40,000,000	2013/10/22
28105	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt Apr2014 B	0.405	410 per 100 units	2014/04/22	40,000,000	2013/10/22
28130	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jan 2015 A	0.25	47.88	2015/01/05	150,000,000	2013/10/22
28112	SGA Societe Generale Acceptance N.V.	ZTE Eu Wt Jul 2014 B	0.19	20.88	2014/07/21	80,000,000	2013/10/22
28126	UBS AG	AIA European Warrants Jul 2014 A	0.25	44.88	2014/07/09	100,000,000	2013/10/22
28125	UBS AG	Wynn Macau European Warrants May 2014 A	0.25	38.88	2014/05/08	50,000,000	2013/10/22
26680 #	Goldman Sachs SP (Asia)	Tencent European Put Wt Feb 2014 F	0.158	380 per 100 units	2014/02/07	80,000,000	2013/10/22
28153	Credit Suisse AG	Angang Steel European Warrants May 2014 A	0.32	5.88 per unit	2014/05/12	60,000,000	2013/10/23
28152	Credit Suisse	Henderson Land European Warrants Apr 2014 A	0.26	51.88	2014/04/22	60,000,000	2013/10/23
28139	HK Bank	China Railway European Warrants May 2014 A	0.25	5.18 per unit	2014/05/26	50,000,000	2013/10/23
28137	HK Bank	CSR European Warrants Jun 2014 A	0.5	6.80 per unit	2014/06/30	50,000,000	2013/10/23
28136	HK Bank	CSR Times European Warrants Jul 2014 A	0.25	31	2014/07/14	80,000,000	2013/10/23
28160	J P Morgan SP BV	GCL-Poly European Warrants Apr 2014 A	0.251	3.10 per unit	2014/04/22	150,000,000	2013/10/23
28159	J P Morgan SP BV	HSBC European Warrants Apr 2014 C	0.15	93.88	2014/04/02	200,000,000	2013/10/23
28158	J P Morgan SP BV	HSBC European Put Warrants May 2014 A	0.25	77.5	2014/05/02	200,000,000	2013/10/23
28135	Macquarie Bank Ltd.	Ch Southern Air Euro Wts Oct 2014 A	0.25	3.88 per unit	2014/10/06	40,000,000	2013/10/23
28142	Standard Chartered Bank	AIA European Warrants Nov 2014 A	0.25	44.88	2014/11/28	100,000,000	2013/10/23
28141	Standard Chartered Bank	AIA European Put Wts May 2014 A	0.25	36	2014/05/30	100,000,000	2013/10/23
28144	Standard Chartered Bank	China Mobile Eur Put Wt Apr 2014 C	0.35	81.95	2014/04/17	80,000,000	2013/10/23
28147	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Apr14B	0.295	4.88 per unit	2014/04/23	60,000,000	2013/10/23
28140	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Jun14B	0.25	68.88	2014/06/04	100,000,000	2013/10/23
28149	SGA Societe Generale Acceptance N.V.	SJM Hold Eu Wt Sep14A	0.25	30	2014/09/03	80,000,000	2013/10/23
28150	UBS AG	Kingsoft European Warrants Jun 2014 A	0.38	23.88	2014/06/23	100,000,000	2013/10/23
28151	UBS AG	Ping An European Warrants Mar 2014 C	0.15	70.93	2014/03/28	100,000,000	2013/10/23
28163	BOCI Asia Ltd.	HSI European Warrants Sep 2014 A	0.25	24,400 per 6,500 units	2014/09/29	100,000,000	2013/10/24
28164	BOCI Asia Ltd.	HSI European Put Warrants Mar 2014 A	0.16	22,800 per 6,500 units	2014/03/28	100,000,000	2013/10/24
28167	Citigroup Global Mkt H Inc.	China Life Euro Wts Apr 2014 C	0.205	20	2014/04/30	150,000,000	2013/10/24
28168	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Apr 2014 A	0.165	95.5	2014/04/23	100,000,000	2013/10/24
28165	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2014 A	0.15	96	2014/04/08	100,000,000	2013/10/24
28170	Goldman Sachs SP (Asia)	Cheung Kong Euro Wts May 2014 A	0.275	139.8	2014/05/30	68,000,000	2013/10/24
28169	Goldman Sachs SP (Asia)	China Mengniu Euro Wt Aug 2014 A	0.25	41.88	2014/08/04	68,000,000	2013/10/24
28171	Goldman Sachs SP (Asia)	ZTE European Warrants Jun 2014 A	0.151	19.3	2014/06/27	68,000,000	2013/10/24
28173	J P Morgan SP BV	Greatwall Motor European Wts May 2014 A	0.341	54	2014/05/02	100,000,000	2013/10/24
28172	J P Morgan SP BV	Kingsoft European Warrants Apr 2014 B	0.25	27	2014/04/25	100,000,000	2013/10/24
28166	Standard Chartered Bank	TVB European Warrants Aug 2014 A	0.9	52.88	2014/08/08	25,000,000	2013/10/24
28161	SGA Societe Generale Acceptance N.V.	CPIC Eur Wt Mar 2014 A	0.195	30.05	2014/03/05	100,000,000	2013/10/24
28162	SGA Societe Generale Acceptance N.V.	CPIC Eu Put Wt Jul14A	0.25	25.5	2014/07/02	100,000,000	2013/10/24
28205	BNP Paribas Arbit Issu B.V.	AIA European Wts Dec 2014 A	0.26	48	2014/12/02	100,000,000	2013/10/25
28206	BNP Paribas Arbit Issu B.V.	AIA European Put Wt Dec 2014 B	0.26	34	2014/12/02	100,000,000	2013/10/25
28207	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Apr 2014 C	0.18	95	2014/04/02	100,000,000	2013/10/25
28208	BNP Paribas Arbit Issu B.V.	Sands China Euro Wt May 2014 B	0.28	70	2014/05/05	100,000,000	2013/10/25
28210	BNP Paribas Arbit Issu B.V.	Wynn Macau Euro Wts May 2014 A	0.25	41.5	2014/05/05	100,000,000	2013/10/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28193	Credit Suisse AG	Sands China European Put Wts Apr 2014 B	0.37	48.38	2014/04/25	80,000,000	2013/10/25
28211	Citigroup Global Mkt H Inc.	Sands China Euro Wt Apr 2014 B	0.415	63.8	2014/04/24	60,000,000	2013/10/25
28212	Citigroup Global Mkt H Inc.	Sands China Eu Put Wt Apr 14 C	0.38	50	2014/04/24	60,000,000	2013/10/25
28214	Citigroup Global Mkt H Inc.	SJM Holdings Eu Wt Apr 2014 D	0.25	30.8	2014/04/24	80,000,000	2013/10/25
28200	Daiwa Capital Mkt	AIA European Warrants May 2014 A	0.25	43.68	2014/05/28	128,000,000	2013/10/25
28203	Daiwa Capital Mkt	AIA European Put Warrants Apr 2014 A	0.15	34.95	2014/04/25	80,000,000	2013/10/25
28198	Daiwa Capital Mkt	Sands China European Warrants May 2014 A	0.25	78.88	2014/05/08	98,000,000	2013/10/25
28199	Daiwa Capital Mkt	Sands China European Put Wts Mar 2014 B	0.15	44.95	2014/03/26	80,000,000	2013/10/25
28197	Goldman Sachs SP (Asia)	Ch Mer Hold Euro Wts May 2014 A	0.25	30.8	2014/05/29	68,000,000	2013/10/25
28196	Goldman Sachs SP (Asia)	CNOOC European Warrants Nov 2015 A	0.251	16	2015/11/30	68,000,000	2013/10/25
28195	Goldman Sachs SP (Asia)	SHK Ppt European Put Wt Jun 2014 A	0.541	92.8	2014/06/30	48,000,000	2013/10/25
28186	HK Bank	BOCL European Warrants Feb 2014 A	0.17	3.71 per unit	2014/02/28	80,000,000	2013/10/25
28188	HK Bank	China Coal European Warrants Jun 2014 A	0.35	5.88 per unit	2014/06/30	50,000,000	2013/10/25
28190	HK Bank	Sinopec Corp European Warrants Apr 2014 A	0.15	7.38 per unit	2014/04/23	80,000,000	2013/10/25
28187	HK Bank	China Shenhua European Warrants Aug 2014 A	0.25	27.38	2014/08/04	100,000,000	2013/10/25
28189	HK Bank	Yanzhou Coal European Warrants Apr 2014 A	0.48	9.68 per unit	2014/04/29	50,000,000	2013/10/25
28230	J P Morgan SP BV	AIA European Put Warrants May 2014 C	0.25	38.88	2014/05/02	200,000,000	2013/10/25
28226	J P Morgan SP BV	China Mob European Wts May 2014 B	0.25	94.88	2014/05/02	200,000,000	2013/10/25
28225	J P Morgan SP BV	CSOP A50 ETF European Warrants May 2014 A	0.25	10.80 per unit	2014/05/05	150,000,000	2013/10/25
28223	J P Morgan SP BV	Galaxy Ent European Warrants Jul 2014 A	0.25	81.88	2014/07/02	150,000,000	2013/10/25
28222	J P Morgan SP BV	Galaxy Ent European Put Wts Apr 2014 E	0.402	55	2014/04/24	100,000,000	2013/10/25
28219	J P Morgan SP BV	Sands China European Warrants May 2014 B	0.25	70	2014/05/05	150,000,000	2013/10/25
28220	J P Morgan SP BV	Sands China European Put Wts Apr 2014 C	0.25	50	2014/04/24	150,000,000	2013/10/25
28234	J P Morgan SP BV	Tencent European Put Warrants May 2014 B	0.395	425 per 100 units	2014/05/05	150,000,000	2013/10/25
28179	Merrill Lynch Int'l & Co. C.V.	CC Bank Euro Wts Apr 2014 A	0.255	7 per unit	2014/04/30	40,000,000	2013/10/25
28174	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt Apr 2014 B	0.21	93.93	2014/04/25	50,000,000	2013/10/25
28184	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Mar 2014 A	0.153	6.29 per unit	2014/03/21	80,000,000	2013/10/25
28180	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Jun 2014 A	0.26	6 per unit	2014/06/23	40,000,000	2013/10/25
28177	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Put Wts Mar14B	0.216	52.83	2014/03/31	50,000,000	2013/10/25
28235	Standard Chartered Bank	Sands China Euro Wts Jun 2014 B	0.37	68	2014/06/04	100,000,000	2013/10/25
28236	Standard Chartered Bank	Sands China Eu Put Wts May 2014 B	0.25	48	2014/05/05	100,000,000	2013/10/25
28194	SGA Societe Generale Acceptance N.V.	AIA Eu Put Wt Jun14 A	0.25	35.88	2014/06/04	100,000,000	2013/10/25
28191	SGA Societe Generale Acceptance N.V.	Foxconn Eu Wt May14 A	0.3	5.88 per unit	2014/05/07	35,000,000	2013/10/25
28192	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt May14A	0.38	58	2014/05/07	60,000,000	2013/10/25
28231	SGA Societe Generale Acceptance N.V	Sands China Eu Wt May14A	0.28	68.88	2014/05/07	100,000,000	2013/10/25
28232	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt May14B	0.25	46.88	2014/05/07	100,000,000	2013/10/25
28218	UBS AG	AIA European Put Warrants Apr 2014 B	0.25	37.88	2014/04/24	50,000,000	2013/10/25
28215	UBS AG	Galaxy Ent European Put Warrants Apr 2014 C	0.45	53.88	2014/04/24	30,000,000	2013/10/25
28217	UBS AG	Sands China European Warrants Apr 2014 B	0.28	68.88	2014/04/24	60,000,000	2013/10/25
28216	UBS AG	Sands China European Put Warrants Apr 2014 A	0.3	48.88	2014/04/24	40,000,000	2013/10/25
28233	UBS AG	Tencent Holdings European Put Warrants Apr 2014 B	0.38	412.68 per 100 units	2014/04/09	100,000,000	2013/10/25
26578 #	Standard Chartered Bank	China Mobile Euro Wts Jan 2014 A	0.089	88.88	2014/01/06	115,000,000	2013/10/25
28248	BOCI Asia Ltd.	AIA European Warrants Apr 2014 A	0.19	42.88	2014/04/22	100,000,000	2013/10/28

Newly listed derivative warrants *(continued)*

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28249	BOCI Asia Ltd.	AIA European Warrants Jul 2014 A	0.25	44.88	2014/07/07	100,000,000	2013/10/28
28250	BOCI Asia Ltd.	Sands China European Warrants May 2014 A	0.25	73.88	2014/05/30	100,000,000	2013/10/28
28251	BOCI Asia Ltd.	Tencent Holdings European Put Wts Apr 2014 B	0.25	380 per 100 units	2014/04/30	100,000,000	2013/10/28
28273	BNP Paribas Arbit Issu B.V.	Angang Steel Eur Wt Jun 2014 A	0.35	6.90 per unit	2014/06/04	29,000,000	2013/10/28
28280	BNP Paribas Arbit Issu B.V.	BBMG European Wts Dec 2016 A	0.25	5.5	2016/12/02	75,000,000	2013/10/28
28306	BNP Paribas Arbit Issu B.V.	CRCC European Wts Jan 2015 A	0.15	9.87	2015/01/05	100,000,000	2013/10/28
28302	BNP Paribas Arbit Issu B.V.	Gome European Wts May 2015 A	0.29	1.25 per unit	2015/05/05	100,000,000	2013/10/28
28307	BNP Paribas Arbit Issu B.V.	Kingsoft Euro Wts May 2014 A	0.27	29.9	2014/05/05	100,000,000	2013/10/28
28301	BNP Paribas Arbit Issu B.V.	Melco Int'l Dev Eu Wt May 14 A	0.25	33.3	2014/05/05	65,000,000	2013/10/28
28305	BNP Paribas Arbit Issu B.V.	SJM Holdings Eu Wts May 2014 A	0.25	35	2014/05/05	100,000,000	2013/10/28
28274	BNP Paribas Arbit Issu B.V.	Tencent Hldgs Eu Wt May 2014 A	0.25	575 per 100 units	2014/05/05	100,000,000	2013/10/28
28276	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Apr 2014 F	0.15	370 per 100 units	2014/04/02	100,000,000	2013/10/28
28278	BNP Paribas Arbit Issu B.V.	Zhaojin Mining Eu Wt Oct2016 A	0.25	6.6	2016/10/04	100,000,000	2013/10/28
28290	Credit Suisse AG	AIA European Put Warrants Apr 2014 B	0.15	34.98	2014/04/28	70,000,000	2013/10/28
28281	Credit Suisse AG	Galaxy Ent European Warrants Apr 2014 D	0.25	83.88	2014/04/28	70,000,000	2013/10/28
28261	Credit Suisse AG	Galaxy Ent European Put Wts Mar 2014 E	0.15	50	2014/03/17	70,000,000	2013/10/28
28284	Credit Suisse AG	Greatwall Motor European Wts Apr 2014 A	0.45	58.88	2014/04/28	60,000,000	2013/10/28
28285	Credit Suisse AG	Kingsoft European Warrants Apr 2014 B	0.26	29.28	2014/04/28	70,000,000	2013/10/28
28286	Credit Suisse AG	Kingsoft European Put Warrants Apr 2014 C	0.25	18.88	2014/04/28	70,000,000	2013/10/28
28282	Credit Suisse AG	Sands China European Warrants Apr 2014 C	0.25	78.38	2014/04/28	70,000,000	2013/10/28
28283	Credit Suisse AG	SJM Holdings European Warrants Apr 2014 A	0.25	31.88	2014/04/28	70,000,000	2013/10/28
28287	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 A	0.25	548.88 per 100 units	2014/04/28	90,000,000	2013/10/28
28288	Credit Suisse AG	Tencent Holdings Euro Put Wts Mar 2014 E	0.21	400 per 100 units	2014/03/28	90,000,000	2013/10/28
28264	Citigroup Global Mkt H Inc.	SJM Holdings Eu Put Wts Apr14E	0.265	25	2014/04/28	80,000,000	2013/10/28
28317	Citigroup Global Mkt H Inc.	SPDR Gold T Eu Put Wts Apr14 B	0.25	800 per 100 units	2014/04/28	50,000,000	2013/10/28
28263	Citigroup Global Mkt H Inc.	Wynn Macau Eu Put Wt Apr2014 B	0.31	28.8	2014/04/28	60,000,000	2013/10/28
28271	Daiwa Capital Mkt	Tencent Holdings European Wts May 2014 A	0.25	568 per 100 units	2014/05/08	108,000,000	2013/10/28
28272	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Apr 2014 C	0.33	414.88 per 100 units	2014/04/28	80,000,000	2013/10/28
28258	Goldman Sachs SP (Asia)	AIA European Put Wts Apr 2014 C	0.251	37.8	2014/04/29	68,000,000	2013/10/28
28259	Goldman Sachs SP (Asia)	Galaxy Ent Euro Put Wts Apr 2014 A	0.342	53.88	2014/04/30	60,000,000	2013/10/28
28260	Goldman Sachs SP (Asia)	Sands China Eur Put Wts Jun 2014 A	0.324	50	2014/06/30	60,000,000	2013/10/28
28316	Goldman Sachs SP (Asia)	Tencent European Wt Mar 2014 A	0.179	512.88 per 100 units	2014/03/26	80,000,000	2013/10/28
28257	Goldman Sachs SP (Asia)	Tencent European Put Wt Apr 2014 D	0.41	428 per 100 units	2014/04/29	68,000,000	2013/10/28
28240	HK Bank	AIA European Warrants Jun 2014 A	0.25	45	2014/06/30	120,000,000	2013/10/28
28242	HK Bank	AIA European Put Warrants Apr 2014 C	0.25	36.95	2014/04/28	100,000,000	2013/10/28
28243	HK Bank	PetroChina European Warrants Apr 2014 A	0.25	10.48 per unit	2014/04/30	80,000,000	2013/10/28
28244	HK Bank	Sands China European Warrants Apr 2014 B	0.25	69.28	2014/04/29	120,000,000	2013/10/28
28247	J P Morgan SP BV	Agricultural Bk Euro Warrants May 2014 A	0.15	4.20 per unit	2014/05/02	100,000,000	2013/10/28
28246	J P Morgan SP BV	BankComm European Warrants Apr 2014 A	0.151	6.70 per unit	2014/04/28	70,000,000	2013/10/28
28245	J P Morgan SP BV	China Coal European Warrants Mar 2014 A	0.156	6 per unit	2014/03/28	70,000,000	2013/10/28
28297	J P Morgan SP BV	A50 China European Warrants Apr 2014 B	0.399	10.50 per unit	2014/04/28	150,000,000	2013/10/28
28296	J P Morgan SP BV	SJM Holdings European Warrants May 2014 A	0.25	31.8	2014/05/02	100,000,000	2013/10/28
28294	J P Morgan SP BV	ZTE European Warrants Jun 2014 A	0.25	22	2014/06/27	100,000,000	2013/10/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28293	Macquarie Bank Ltd.	Galaxy Ent European Put Wts May 2014 B	0.25	48	2014/05/05	40,000,000	2013/10/28
28291	Macquarie Bank Ltd.	Sands China European Wts May 2014 B	0.25	77	2014/05/05	40,000,000	2013/10/28
28292	Macquarie Bank Ltd.	Sands ChinaEuropean Put Wts May 2014 C	0.25	47	2014/05/05	40,000,000	2013/10/28
28253	Standard Chartered Bank	AIA European Warrants Dec 2014 A	0.25	48.88	2014/12/30	100,000,000	2013/10/28
28252	Standard Chartered Bank	CC Bank European Put Wts Jun2014 A	0.25	5 per unit	2014/06/30	80,000,000	2013/10/28
28255	Standard Chartered Bank	HKEx European Warrants Jun 2014 A	0.25	158.88	2014/06/30	100,000,000	2013/10/28
28300	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Apr2014 A	0.26	6.80 per unit	2014/04/02	70,000,000	2013/10/28
28299	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jun14A	0.25	82.5	2014/06/04	100,000,000	2013/10/28
28298	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 14 C	0.25	540 per 100 units	2014/05/07	150,000,000	2013/10/28
28309	UBS AG	BYD European Warrants Apr 2014 B	0.43	50.88	2014/04/28	80,000,000	2013/10/28
28310	UBS AG	China Life European Warrants May 2014 A	0.21	20.05	2014/05/09	100,000,000	2013/10/28
28315	UBS AG	Galaxy Ent European Warrants May 2014 A	0.25	81.88	2014/05/12	40,000,000	2013/10/28
28312	UBS AG	HKEx European Warrants Apr 2014 A	0.24	148.98	2014/04/02	100,000,000	2013/10/28
28311	UBS AG	HSI European Warrants Jun 2014 A	0.25	24,600 per 6,000 units	2014/06/27	300,000,000	2013/10/28
28314	UBS AG	Tencent Holdings European Warrants Apr 2014 C	0.25	538 per 100 units	2014/04/28	100,000,000	2013/10/28
26604 #	UBS AG	China Mobile European Warrants Jan 2014 B	0.052	88.93	2014/01/06	200,000,000	2013/10/28
28356	Credit Suisse AG	Yanzhou Coal European Warrants Mar 2014 B	0.41	10 per unit	2014/03/28	60,000,000	2013/10/29
28345	Goldman Sachs SP (Asia)	AAC Acoustic Euro Wts May 2014 A	0.25	38.8	2014/05/05	40,000,000	2013/10/29
28346	Goldman Sachs SP (Asia)	China Coal European Wts Jun 2014 A	0.251	6 per unit	2014/06/30	68,000,000	2013/10/29
28328	HK Bank	A50 China European Put Warrants Dec 2014 A	0.15	10.47	2014/12/01	180,000,000	2013/10/29
28332	HK Bank	Galaxy Ent European Warrants Apr 2014 A	0.25	80	2014/04/29	100,000,000	2013/10/29
28329	HK Bank	HSI European Put Warrants Apr 2014 C	0.25	23,288 per 6,200 units	2014/04/29	180,000,000	2013/10/29
28330	HK Bank	HSI European Put Warrants Apr 2014 D	0.25	24,188 per 7,800 units	2014/04/29	180,000,000	2013/10/29
28335	HK Bank	HWL European Warrants Apr 2014 A	0.25	109.88	2014/04/30	100,000,000	2013/10/29
28333	HK Bank	Tencent European Warrants Apr 2014 D	0.25	538 per 100 units	2014/04/30	100,000,000	2013/10/29
28325	J P Morgan SP BV	AIA European Warrants Jun 2014 A	0.25	45	2014/06/03	200,000,000	2013/10/29
28323	J P Morgan SP BV	HSI European Warrants Jun 2014 A	0.25	24,600 per 6,400 units	2014/06/27	500,000,000	2013/10/29
28337	Macquarie Bank Ltd.	Melco Int'l Dev Euro Wts Jul 2014 B	0.25	31	2014/07/03	40,000,000	2013/10/29
28338	Macquarie Bank Ltd.	MGM China European Warrants Jun 2014 A	0.25	38	2014/06/04	40,000,000	2013/10/29
28322	Merrill Lynch Int'l & Co. C.V.	AIA Euro Put Wts Jun 2014 A	0.265	36.98	2014/06/10	40,000,000	2013/10/29
28321	Merrill Lynch Int'l & Co. C.V.	CK(H) Euro Wts Mar 2014 A	0.26	138	2014/03/26	40,000,000	2013/10/29
28320	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Euro Wts Mar 2014 A	0.25	111.93	2014/03/24	50,000,000	2013/10/29
28319	Merrill Lynch Int'l & Co. C.V.	SHK Ppt Eu Put Wt Apr 14 A	0.355	92	2014/04/29	40,000,000	2013/10/29
28318	Merrill Lynch Int'l & Co. C.V.	Tencent Euro Wts Jun 2014 A	0.35	520 per 100 units	2014/06/10	40,000,000	2013/10/29
28348	Standard Chartered Bank	BYD European Warrants Jun 2014 A	0.51	48.88	2014/06/04	50,000,000	2013/10/29
28342	Standard Chartered Bank	HSI European Warrants Apr 2014 B	0.17	23,388 per 7,700 units	2014/04/29	100,000,000	2013/10/29
28343	Standard Chartered Bank	HSI European Put Wts Apr 2014 C	0.174	22,800 per 6,000 units	2014/04/29	100,000,000	2013/10/29
28347	Standard Chartered Bank	Kingsoft European Wts May 2014 A	0.25	30	2014/05/08	100,000,000	2013/10/29
28339	Standard Chartered Bank	Tencent Hldg Euro Wts May 2014 A	0.25	548.88 per 100 units	2014/05/02	100,000,000	2013/10/29
28341	Standard Chartered Bank	Tencent Hldg Eu Put Wts Apr 2014 C	0.31	418.88 per 100 units	2014/04/28	100,000,000	2013/10/29
28349	SGA Societe Generale Acceptance N.V.	AIA Eur Wt Jul 2014 A	0.165	44.88	2014/07/02	150,000,000	2013/10/29
28336	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 14 B	0.25	24,600 per 8,000 units	2014/04/29	300,000,000	2013/10/29
28357	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt May 2014B	0.3	110	2014/05/07	50,000,000	2013/10/29

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28355	UBS AG	China Mobile European Warrants Feb 2014 C	0.22	86.93	2014/02/21	100,000,000	2013/10/29
28354	UBS AG	China Mobile European Warrants Apr 2014 A	0.45	83.88	2014/04/28	100,000,000	2013/10/29
28353	UBS AG	Hutchison European Warrants Apr 2014 A	0.27	113.88	2014/04/28	100,000,000	2013/10/29
28350	UBS AG	SJM Holdings European Warrants Mar 2014 A	0.22	28.05	2014/03/26	80,000,000	2013/10/29
28384	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts May 2014 A	0.18	11.99 per unit	2014/05/05	90,000,000	2013/10/30
28382	BNP Paribas Arbit Issu B.V.	China Tel Euro Wts Jan 2015 A	0.25	5.99 per unit	2015/01/05	95,000,000	2013/10/30
28385	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts May 2014 A	0.2	12.21 per unit	2014/05/05	100,000,000	2013/10/30
28383	BNP Paribas Arbit Issu B.V.	Greatwall Motor Eu Wt Jul 14 A	0.29	85	2014/07/03	85,000,000	2013/10/30
28380	Credit Suisse AG	Angang Steel European Warrants Jul 2014 A	0.25	7.08 per unit	2014/07/28	60,000,000	2013/10/30
28376	Credit Suisse AG	China Mobile European Warrants Jan 2014 E	0.24	81.9	2014/01/30	80,000,000	2013/10/30
28381	Credit Suisse AG	Ch Oil & Gas European Warrants Jul 2014 A	0.25	1.38 per unit	2014/07/28	60,000,000	2013/10/30
28378	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 B	0.16	588 per 100 units	2014/06/30	80,000,000	2013/10/30
28361	HK Bank	Agricultural Bank European Warrants Jul 2014 B	0.25	3.80 per unit	2014/07/28	80,000,000	2013/10/30
28358	HK Bank	China Mobile European Warrants Apr 2014 A	0.17	90.95	2014/04/08	100,000,000	2013/10/30
28360	HK Bank	China Mobile European Warrants Apr 2014 B	0.2	88.85	2014/04/01	100,000,000	2013/10/30
28362	HK Bank	ICBC European Warrants Jul 2014 B	0.25	5.78 per unit	2014/07/31	80,000,000	2013/10/30
28366	Standard Chartered Bank	CITIC Sec European Wts May 2014 A	0.18	19.52	2014/05/28	100,000,000	2013/10/30
28373	Standard Chartered Bank	China Mobile Eur Put Wt Jun 2014 A	0.25	70.88	2014/06/30	80,000,000	2013/10/30
28368	Standard Chartered Bank	Wynn Macau European Wts May 2014 A	0.25	35.88	2014/05/07	60,000,000	2013/10/30
28365	Standard Chartered Bank	ZTE European Warrants Jul 2014 A	0.19	20.88	2014/07/14	80,000,000	2013/10/30
28363	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Aug14A	0.185	33.88	2014/08/04	100,000,000	2013/10/30
28364	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Apr14F	0.195	76.28	2014/04/02	100,000,000	2013/10/30
28375	UBS AG	CSOP A50 ETF European Warrants Mar 2014 B	0.4	10.02 per unit	2014/03/24	100,000,000	2013/10/30
28374	UBS AG	Greatwall Motor European Warrants Apr 2014 A	0.4	63.88	2014/04/29	60,000,000	2013/10/30
28394	BOCI Asia Ltd.	Minsheng Bank European Warrants Dec 2014 A	0.15	8.88	2014/12/22	100,000,000	2013/10/31
28393	BOCI Asia Ltd.	China Mobile European Warrants Apr 2014 A	0.16	88.8	2014/04/07	100,000,000	2013/10/31
28398	BOCI Asia Ltd.	Tracker Fund European Warrants Oct 2014 A	0.25	23.88	2014/10/30	100,000,000	2013/10/31
28396	BOCI Asia Ltd.	Tracker Fund European Put Wts May 2014 A	0.18	23	2014/05/30	100,000,000	2013/10/31
28429	Bank of East Asia	Angang Steel European Warrants Aug 2014A	0.3	7.347 per unit	2014/08/26	40,000,000	2013/10/31
28425	Bank of East Asia	BOCHK European Warrants Dec 2014 A	0.25	25	2014/12/30	50,000,000	2013/10/31
28426	Bank of East Asia	BOCHK European Put Warrants Sep 2014 A	0.25	24	2014/09/30	50,000,000	2013/10/31
28434	Bank of East Asia	CNBM European Warrants May 2014 A	0.27	10.50 per unit	2014/05/26	40,000,000	2013/10/31
28431	Bank of East Asia	R&F Ppt European Warrants Dec 2014 A	0.15	14.62	2014/12/24	80,000,000	2013/10/31
28430	Bank of East Asia	R&F Ppt European Put Warrants Sep 2015 A	0.25	10	2015/09/22	80,000,000	2013/10/31
28418	Bank of East Asia	Great Wall Motor European Wts Oct 2016 A	0.25	38 per 100 units	2016/10/24	150,000,000	2013/10/31
28424	Bank of East Asia	PICC Group European Warrants Apr 2014 A	0.15	4.38 per unit	2014/04/30	70,000,000	2013/10/31
28432	Bank of East Asia	SJM Holdings European Wts Aug 2014 A	0.25	32	2014/08/29	40,000,000	2013/10/31
28417	Bank of East Asia	Zhaojin Mining European Wts Jul 2015 A	0.25	5	2015/07/31	100,000,000	2013/10/31
28389	J P Morgan SP BV	China Mob European Wts May 2014 C	0.289	83.88	2014/05/02	200,000,000	2013/10/31
28392	Macquarie Bank Ltd.	Angang Steel European Wts Sep 2014 A	0.25	7.88 per unit	2014/09/02	40,000,000	2013/10/31
28391	Macquarie Bank Ltd.	Ch Oil & Gas European Wts Apr 2015 A	0.25	1.68 per unit	2015/04/02	40,000,000	2013/10/31
28399	Macquarie Bank Ltd.	Greatwall Motor European Wts Jun 2014 A	0.25	72.5	2014/06/04	40,000,000	2013/10/31
28390	Macquarie Bank Ltd.	ICBC European Warrants Jul 2014 A	0.25	6 per unit	2014/07/03	60,000,000	2013/10/31

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
28410	Standard Chartered Bank	CC Bank European Wts May 2014 A	0.25	6.48 per unit	2014/05/28	100,000,000	2013/10/31
28412	Standard Chartered Bank	CSOP A50 ETF Euro Wts Jul 2015 A	0.152	9.09	2015/07/06	150,000,000	2013/10/31
28413	Standard Chartered Bank	CSOP A50 ETF Eu Put Wts Oct 2016 A	0.25	10.08	2016/10/07	150,000,000	2013/10/31
28411	Standard Chartered Bank	A50 China Euro Put Wts Dec 2014 A	0.18	10.48	2014/12/01	150,000,000	2013/10/31
28409	Standard Chartered Bank	Zhaojin Mining Euro Wts Dec 2015 A	0.25	4.68	2015/12/28	150,000,000	2013/10/31
28407	SGA Societe Generale Acceptance N.V.	BOCHK Eu Wt Aug 2014A	0.19	25.05	2014/08/04	80,000,000	2013/10/31
28401	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt May 2014 B	0.25	3.65 per unit	2014/05/07	100,000,000	2013/10/31
28421	SGA Societe Generale Acceptance N.V.	CCB Eur Wt May 2014 A	0.365	6 per unit	2014/05/07	100,000,000	2013/10/31
28422	SGA Societe Generale Acceptance N.V.	CNOOC Eu Wt May 2014A	0.475	17.50 per unit	2014/05/07	30,000,000	2013/10/31
28403	SGA Societe Generale Acceptance N.V	COVS Eu Wts Jul 2014 A	0.175	26.23	2014/07/02	100,000,000	2013/10/31
28406	SGA Societe Generale Acceptance N.V.	CTel Eu Wt May 2014 A	0.25	4.38 per unit	2014/05/07	60,000,000	2013/10/31
28402	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt May 14A	0.385	3.68 per unit	2014/05/07	50,000,000	2013/10/31
28408	SGA Societe Generale Acceptance N.V.	PICC Eu Wts May 2014A	0.25	3.90 per unit	2014/05/07	60,000,000	2013/10/31
28419	UBS AG	CC Bank European Warrants Apr 2014 A	0.43	5.88 per unit	2014/04/30	100,000,000	2013/10/31
28386	UBS AG	Sinopec Corp European Warrants Mar 2014 A	0.2	6.81 per unit	2014/03/25	80,000,000	2013/10/31
28420	UBS AG	Geely Auto European Warrants Apr 2014 B	0.19	4.89 per unit	2014/04/14	60,000,000	2013/10/31
28457	BNP Paribas Arbit Issu B.V.	Cheung Kong Euro Wt Apr 2017 A	0.25	128 per 100 units	2017/04/04	100,000,000	2013/11/01
28458	BNP Paribas Arbit Issu B.V.	ZTE Euro Put Wts Jul 2014 A	0.25	14.7	2014/07/03	100,000,000	2013/11/01
28481	Credit Suisse AG	Anhui Conch European Warrants Jun 2014 A	0.2	28.85	2014/06/23	70,000,000	2013/11/01
28480	Credit Suisse AG	CC Bank European Warrants Mar 2014 A	0.18	6.31 per unit	2014/03/24	80,000,000	2013/11/01
28479	Credit Suisse AG	Minsheng Bank European Wts Dec 2014 B	0.15	8.89	2014/12/22	70,000,000	2013/11/01
28477	Credit Suisse AG	HSI European Warrants Mar 2014 D	0.15	23,600 per 6,000 units	2014/03/28	200,000,000	2013/11/01
28476	Credit Suisse AG	HSI European Warrants Sep 2014 A	0.15	25,200 per 5,500 units	2014/09/29	200,000,000	2013/11/01
28478	Credit Suisse AG	Jiangxi Copper European Wts Jun 2014 B	0.15	16.7	2014/06/23	70,000,000	2013/11/01
28464	Citigroup Global Mkt H Inc.	AIA European Wts May 2014 A	0.17	43.68	2014/05/21	100,000,000	2013/11/01
28466	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Apr 2014 B	0.185	88.88	2014/04/25	100,000,000	2013/11/01
28451	HK Bank	China Life European Warrants Apr 2014 B	0.18	20.05	2014/04/29	100,000,000	2013/11/01
28450	HK Bank	China Life European Warrants Dec 2014 A	0.15	24.98	2014/12/29	100,000,000	2013/11/01
28454	HK Bank	Minsheng Bank European Warrants Jul 2014 A	0.78	9.68 per unit	2014/07/31	40,000,000	2013/11/01
28453	HK Bank	China Mobile European Warrants Apr 2014 C	0.25	85.18	2014/04/30	80,000,000	2013/11/01
28449	HK Bank	HSI European Warrants Mar 2014 E	0.15	23,488 per 6,000 units	2014/03/28	80,000,000	2013/11/01
28448	HK Bank	HSI European Warrants Apr 2014 F	0.15	23,288 per 7,700 units	2014/04/29	180,000,000	2013/11/01
28447	HK Bank	HSI European Put Warrants Apr 2014 E	0.15	22,688 per 8,800 units	2014/04/29	180,000,000	2013/11/01
28452	HK Bank	Ping An European Warrants Apr 2014 A	0.25	63.88	2014/04/30	80,000,000	2013/11/01
28440	J P Morgan SP BV	CHALCO European Warrants Jul 2014 A	0.25	3.58 per unit	2014/07/02	40,000,000	2013/11/01
28439	J P Morgan SP BV	Brilliance Chi European Wts Nov 2014 A	0.25	15.88	2014/11/03	100,000,000	2013/11/01
28438	J P Morgan SP BV	BYD European Warrants May 2014 A	0.286	48	2014/05/02	60,000,000	2013/11/01
28472	J P Morgan Int'l Der. Ltd.	CC Bank European Wts May 2014 B	0.253	6.08 per unit	2014/05/02	200,000,000	2013/11/01
28486	J P Morgan SP BV	Dongfeng Motor European Wts Nov 2014 A	0.15	13.04	2014/11/19	150,000,000	2013/11/01
28437	J P Morgan SP BV	Wynn Macau European Warrants May 2014 A	0.25	35	2014/05/02	80,000,000	2013/11/01
28474	Macquarie Bank Ltd.	Brilliance Chi Euro Wts Oct 2014 A	0.25	15.5	2014/10/06	40,000,000	2013/11/01
28445	Macquarie Bank Ltd.	Sinopec Corp European Wts Jun 2014 A	0.25	7.25 per unit	2014/06/04	40,000,000	2013/11/01
28473	Macquarie Bank Ltd.	Ping An European Warrants May 2014 A	0.25	67.5	2014/05/05	40,000,000	2013/11/01

Newly listed derivative warrants *(continued)*

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28446	Macquarie Bank Ltd.	Zhaojin Mining Euro Wts May 2014 A	0.25	8.88 per unit	2014/05/05	40,000,000	2013/11/01
28463	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eur Wt May 2014 B	0.255	89	2014/05/14	40,000,000	2013/11/01
28462	Merrill Lynch Int'l & Co. C.V.	Ch Mobile Eu Put Wt May 14 A	0.25	70	2014/05/26	40,000,000	2013/11/01
28459	Merrill Lynch Int'l & Co. C.V.	HSCEI Euro Put Wt Jun 2014B	0.15	9,200 per 4,000 units	2014/06/27	80,000,000	2013/11/01
28460	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Apr 2014 B	0.159	22,100 per 7,600 units	2014/04/29	80,000,000	2013/11/01
28461	Merrill Lynch Int'l & Co. C.V.	ICBC Euro Wts Aug 2014 A	0.25	5.78 per unit	2014/08/04	40,000,000	2013/11/01
28456	Standard Chartered Bank	A50 China Euro Warrants Mar 2014 B	0.201	11 per unit	2014/03/10	50,000,000	2013/11/01
28441	Standard Chartered Bank	Galaxy Ent European Wts May 2014 D	0.25	78.88	2014/05/02	80,000,000	2013/11/01
28444	Standard Chartered Bank	Greatwall Motor Euro Wt May 2014 A	0.31	64.88	2014/05/30	50,000,000	2013/11/01
28442	Standard Chartered Bank	Tencent Hldg Euro Wts Apr 2014 D	0.21	490 per 100 units	2014/04/02	100,000,000	2013/11/01
28455	SGA Societe Generale Acceptance N.V.	AIA Eur Wt May 2014 A	0.24	40.05	2014/05/07	100,000,000	2013/11/01
28469	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt May 2014 B	0.465	8.80 per unit	2014/05/07	30,000,000	2013/11/01
28524	BNP Paribas Arbit Issu B.V.	BOCHK European Wts May 2015 A	0.25	27	2015/05/05	100,000,000	2013/11/04
28525	BNP Paribas Arbit Issu B.V.	BYD European Wts Jun 2014 A	0.32	52.8	2014/06/04	70,000,000	2013/11/04
28522	BNP Paribas Arbit Issu B.V.	China Overseas Eu Wt Dec 2014A	0.25	27.5	2014/12/02	100,000,000	2013/11/04
28526	BNP Paribas Arbit Issu B.V.	Li Ning European Wts Sep 2015 A	0.26	9	2015/09/02	100,000,000	2013/11/04
28527	BNP Paribas Arbit Issu B.V.	Sinopec Seg Eur Wts Feb 2015 A	0.25	13.9	2015/02/03	100,000,000	2013/11/04
28510	Daiwa Capital Mkt	China Mobile European Wts Feb 2014 B	0.27	81.88	2014/02/04	128,000,000	2013/11/04
28507	Daiwa Capital Mkt	China Mobile European Wts Apr 2014 B	0.15	88.8	2014/04/01	128,000,000	2013/11/04
28511	Daiwa Capital Mkt	China Mobile European Put Wts Jun 2014 A	0.25	69.88	2014/06/20	80,000,000	2013/11/04
28513	Daiwa Capital Mkt	Ping An European Warrants Mar 2014 B	0.21	64.98	2014/03/26	108,000,000	2013/11/04
28494	HK Bank	Anhui Conch European Warrants Jun 2014 A	0.18	28.85	2014/06/23	100,000,000	2013/11/04
28493	HK Bank	CC Bank European Warrants Mar 2014 A	0.16	6.30 per unit	2014/03/21	80,000,000	2013/11/04
28495	HK Bank	CNBM European Warrants Nov 2015 A	0.2	6.19	2015/11/02	150,000,000	2013/11/04
28492	HK Bank	Geely Auto European Warrants May 2014 A	0.25	4.38 per unit	2014/05/05	60,000,000	2013/11/04
28491	HK Bank	HSI European Warrants Mar 2014 F	0.15	22,888 per 6,000 units	2014/03/28	200,000,000	2013/11/04
28490	HK Bank	HSI European Warrants Jul 2015 A	0.25	21,000 per 10,000 units	2015/07/30	150,000,000	2013/11/04
28489	HK Bank	HSI European Put Warrants May 2015 A	0.26	23,000 per 10,000 units	2015/05/28	150,000,000	2013/11/04
28488	J P Morgan SP BV	Haitong Sec European Warrants Jan 2015 A	0.25	12.88	2015/01/02	100,000,000	2013/11/04
28521	J P Morgan SP BV	Sinopec Seg European Warrants Sep 2014 A	0.25	13.88	2014/09/19	100,000,000	2013/11/04
28498	Macquarie Bank Ltd.	Li Ning European Warrants Feb 2015 A	0.25	7.88	2015/02/03	40,000,000	2013/11/04
28517	Standard Chartered Bank	China Coal European Wts Jun 2014 A	0.25	5.88 per unit	2014/06/18	50,000,000	2013/11/04
28502	Standard Chartered Bank	Foxconn European Wts May 2014 B	0.38	5.88 per unit	2014/05/08	80,000,000	2013/11/04
28506	Standard Chartered Bank	MGM China European Wts Jul 2014 A	0.25	33.88	2014/07/07	100,000,000	2013/11/04
28504	Standard Chartered Bank	SJM Hold European Wts Mar 2014 A	0.188	28.02	2014/03/26	100,000,000	2013/11/04
28505	Standard Chartered Bank	SJM Hold European Wts Aug 2014 A	0.25	30	2014/08/08	100,000,000	2013/11/04
28518	Standard Chartered Bank	Tencent Hldg Euro Wts Jun 2014 B	0.15	588 per 100 units	2014/06/27	100,000,000	2013/11/04
28500	Standard Chartered Bank	Tracker Fund Eu Put Wt Aug 2014 A	0.28	24.28	2014/08/29	100,000,000	2013/11/04
28515	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Mar 14 B	0.17	86.88	2014/03/05	100,000,000	2013/11/04
28519	UBS AG	China Coal European Warrants Mar 2014 A	0.28	5.29 per unit	2014/03/06	40,000,000	2013/11/04
28520	UBS AG	China Shenhua European Warrants Feb 2014 B	0.15	25.43	2014/02/21	80,000,000	2013/11/04
28548	Credit Suisse AG	AIA European Warrants May 2014 A	0.15	43.7	2014/05/26	80,000,000	2013/11/05
28551	Credit Suisse AG	AIA European Warrants Dec 2014 A	0.15	48.9	2014/12/22	80,000,000	2013/11/05

Newly listed derivative warrants *(continued)*

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28546	Daiwa Capital Mkt	BOCL European Put Warrants Jul 2014 A	0.25	3.18 per unit	2014/07/02	80,000,000	2013/11/05
28543	Daiwa Capital Mkt	CCB European Warrants Mar 2014 C	0.18	6.30 per unit	2014/03/21	128,000,000	2013/11/05
28547	Daiwa Capital Mkt	China Telecom Euro Put Wts Jun 2014 A	0.25	3.58 per unit	2014/06/18	68,000,000	2013/11/05
28544	Daiwa Capital Mkt	A50 China European Warrants Apr 2014 A	0.15	11.38 per unit	2014/04/03	128,000,000	2013/11/05
28535	J P Morgan SP BV	China Life European Warrants Jul 2014 A	0.25	20	2014/07/03	300,000,000	2013/11/05
28534	J P Morgan SP BV	Evergrande European Warrants May 2014 A	0.25	3.88 per unit	2014/05/05	50,000,000	2013/11/05
28531	Merrill Lynch Int'l & Co. C.V.	AIA Euro Wts Jun 2014 B	0.162	45.05	2014/06/23	80,000,000	2013/11/05
28529	Merrill Lynch Int'l & Co. C.V.	CNOOC Euro Wts Nov 2015 A	0.184	16.02	2015/11/23	60,000,000	2013/11/05
28533	Merrill Lynch Int'l & Co. C.V.	HSBC Euro Wts Apr 2014 B	0.15	96.05	2014/04/08	80,000,000	2013/11/05
28530	Merrill Lynch Int'l & Co. C.V.	Hutchison Eur Wt Apr 2014 A	0.201	115.1	2014/04/29	50,000,000	2013/11/05
28528	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt May 2014 A	0.31	9.88 per unit	2014/05/23	40,000,000	2013/11/05
28536	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 14 A	0.25	70	2014/07/02	100,000,000	2013/11/05
28541	UBS AG	Kingsoft European Warrants Feb 2014 B	0.37	18.02	2014/02/10	100,000,000	2013/11/05
28538	UBS AG	Lumena Newmat European Warrants Jun 2014 A	0.19	1.89 per unit	2014/06/25	80,000,000	2013/11/05
28572	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts May 2014 A	0.18	32	2014/05/22	100,000,000	2013/11/06
28573	Citigroup Global Mkt H Inc.	China Life Euro Wts Jul 2014 A	0.17	22.38	2014/07/21	150,000,000	2013/11/06
28570	Daiwa Capital Mkt	China Life European Warrants Jul 2014 A	0.15	22.38	2014/07/21	168,000,000	2013/11/06
28561	HK Bank	Ch Ship Cont European Warrants Jun 2014 A	0.25	2.38 per unit	2014/06/30	80,000,000	2013/11/06
28558	HK Bank	HSCEI European Put Warrants Oct 2014 A	0.25	9,688 per 4,200 units	2014/10/30	120,000,000	2013/11/06
28560	HK Bank	HSI European Warrants Jun 2014 A	0.15	24,588 per 6,400 units	2014/06/27	200,000,000	2013/11/06
28552	HK Bank	Tencent European Warrants Apr 2014 E	0.2	485.20 per 100 units	2014/04/22	100,000,000	2013/11/06
28557	HK Bank	Tencent European Put Warrants Mar 2014 C	0.22	388.68 per 100 units	2014/03/11	100,000,000	2013/11/06
28563	Macquarie Bank Ltd.	CITIC Pacific European Wts Sep 2014 A	0.25	14.88 per unit	2014/09/02	40,000,000	2013/11/06
28564	Macquarie Bank Ltd.	Nine Dragons European Wts May 2014 A	0.255	8.88 per unit	2014/05/08	40,000,000	2013/11/06
28565	Macquarie Bank Ltd.	Sinopec Seg European Wts Sep 2014 A	0.25	14.28	2014/09/02	80,000,000	2013/11/06
28568	UBS AG	Anhui Conch European Warrants Feb 2014 A	0.15	30.05	2014/02/25	70,000,000	2013/11/06
28566	UBS AG	CPIC European Warrants Feb 2014 A	0.15	30.05	2014/02/24	80,000,000	2013/11/06
28567	UBS AG	SHK Ppt European Warrants Mar 2014 A	0.175	111.98	2014/03/31	60,000,000	2013/11/06
28569	UBS AG	Yanzhou Coal European Wts Mar 2014 A	0.49	8.89 per unit	2014/03/03	30,000,000	2013/11/06
28602	Citigroup Global Mkt H Inc.	CGS European Warrants May 14 A	0.435	6.18 per unit	2014/05/07	25,000,000	2013/11/07
28603	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Put Wts May14A	0.5	8.50 per unit	2014/05/07	60,000,000	2013/11/07
28604	Citigroup Global Mkt H Inc.	CSOP A50 ETF Eu Put Wts Dec14A	0.15	9.4	2014/12/23	200,000,000	2013/11/07
28584	Daiwa Capital Mkt	HSCEI European Warrants Mar 2014 E	0.15	10,800 per 4,000 units	2014/03/28	100,000,000	2013/11/07
28586	Daiwa Capital Mkt	HSCEI European Put Warrants May 2014 A	0.25	11,800 per 7,000 units	2014/05/29	100,000,000	2013/11/07
28580	Daiwa Capital Mkt	HSI European Warrants Mar 2014 B	0.15	23,700 per 6,000 units	2014/03/28	200,000,000	2013/11/07
28581	Daiwa Capital Mkt	HSI European Put Warrants May 2014 A	0.25	24,000 per 8,600 units	2014/05/29	200,000,000	2013/11/07
28574	HK Bank	Galaxy Ent European Warrants May 2014 A	0.5	63	2014/05/07	60,000,000	2013/11/07
28576	HK Bank	Li & Fung European Warrants Dec 2014 A	0.15	11.26	2014/12/29	128,000,000	2013/11/07
28577	HK Bank	Tencent European Put Warrants Apr 2014 F	0.16	364.80 per 100 units	2014/04/09	128,000,000	2013/11/07
28589	Standard Chartered Bank	Esprit European Wts Jul 2014 A	0.17	15.4	2014/07/25	80,000,000	2013/11/07
28594	Standard Chartered Bank	Esprit European Put Wts Dec 2014 A	0.25	12.2	2014/12/30	80,000,000	2013/11/07
28590	Standard Chartered Bank	PetroChina European Wts Jul 2014 A	0.25	10.88 per unit	2014/07/25	80,000,000	2013/11/07
28579	SGA Societe Generale Acceptance N.V.	CCB Eur Wt May 2014 B	0.22	6.68 per unit	2014/05/07	100,000,000	2013/11/07

Newly listed derivative warrants *(continued)*

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28600	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt May14 A	0.45	11.28 per unit	2014/05/07	40,000,000	2013/11/07
28596	UBS AG	Agricultural Bank European Warrants May 2014 A	0.15	4.21 per unit	2014/05/02	70,000,000	2013/11/07
28599	UBS AG	China National Building European Wts Feb 2014 B	0.28	8.81 per unit	2014/02/06	40,000,000	2013/11/07
28598	UBS AG	China Overseas European Warrants Jun 2014 A	0.195	26.23	2014/06/23	100,000,000	2013/11/07
28588	UBS AG	HKEx European Warrants Feb 2014 A	0.24	135.98	2014/02/06	100,000,000	2013/11/07
28595	UBS AG	PICC Group European Warrants Apr 2014 A	0.24	3.91 per unit	2014/04/28	60,000,000	2013/11/07
28622	Credit Suisse AG	CITIC Bank European Warrants Mar 2014 A	0.28	4.69 per unit	2014/03/21	60,000,000	2013/11/08
28625	Credit Suisse	Foxconn European Warrants May 2014 A	0.28	5.98 per unit	2014/05/07	60,000,000	2013/11/08
28623	Credit Suisse AG	Geely Auto European Warrants Apr 2014 A	0.2	4.89 per unit	2014/04/14	60,000,000	2013/11/08
28621	Credit Suisse AG	SPDR Gold Trust Euro Put Wts Apr 2014 A	0.18	800 per 100 units	2014/04/17	60,000,000	2013/11/08
28628	Credit Suisse AG	Tencent Holdings European Wts Mar 2014 F	0.15	502.90 per 100 units	2014/03/31	80,000,000	2013/11/08
28629	Citigroup Global Mkt H Inc.	Sands China Euro Wt Apr 2014 D	0.24	68.88	2014/04/30	60,000,000	2013/11/08
28618	Daiwa Capital Mkt	Agricultural Bank European Wts Jun 2014 A	0.15	4.28 per unit	2014/06/03	108,000,000	2013/11/08
28620	Daiwa Capital Mkt	A50 China European Warrants May 2014 B	0.15	12.22 per unit	2014/05/02	168,000,000	2013/11/08
28619	Daiwa Capital Mkt	Galaxy Ent European Warrants May 2014 A	0.15	83.9	2014/05/05	80,000,000	2013/11/08
28611	Nomura Int'l plc	China Mobile European Warrants Apr 2014 A	0.192	88.9	2014/04/25	100,000,000	2013/11/08
28612	Nomura Int'l plc	China Mobile European Warrants Jul 2014 A	0.25	91.88	2014/07/28	100,000,000	2013/11/08
28613	Nomura Int'l plc	ICBC European Warrants Jun 2014 A	0.157	5.99 per unit	2014/06/30	100,000,000	2013/11/08
28614	Nomura Int'l plc	Ping An European Warrants Mar 2014 A	0.152	69.4	2014/03/10	80,000,000	2013/11/08
28610	Nomura Int'l plc	Tencent Holdings Euro Warrants Apr 2014 B	0.156	502.08 per 100 units	2014/04/08	100,000,000	2013/11/08
28616	Standard Chartered Bank	China Mobile Euro Wts Apr 2014 D	0.35	83.9	2014/04/17	100,000,000	2013/11/08
28626	Standard Chartered Bank	ICBC European Warrants Jun 2014 A	0.15	6.01 per unit	2014/06/16	100,000,000	2013/11/08
28615	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2014 A	0.15	24,600 per 6,400 units	2014/06/27	300,000,000	2013/11/08
27099 #	UBS AG	China Mobile European Warrants Feb 2014 B	0.054	90.05	2014/02/05	200,000,000	2013/11/08
28638	Daiwa Capital Mkt	CNOOC European Warrants Mar 2015 A	0.25	16	2015/03/02	108,000,000	2013/11/11
28639	Daiwa Capital Mkt	CNOOC European Put Warrants Aug 2014 A	0.2	15.98	2014/08/04	80,000,000	2013/11/11
28637	Daiwa Capital Mkt	Ping An European Warrants Mar 2014 C	0.19	70.05	2014/03/19	108,000,000	2013/11/11
28645	Macquarie Bank Ltd.	Xinyi Glass European Wts May 2014 A	0.339	10 per unit	2014/05/05	30,000,000	2013/11/11
28630	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2014 A	0.16	22.43	2014/08/04	120,000,000	2013/11/11
28633	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Apr 14 C	0.19	70.93	2014/04/02	100,000,000	2013/11/11
28634	SGA Societe Generale Acceptance N.V	Sands China Eu Wt May14C	0.155	77.05	2014/05/07	100,000,000	2013/11/11
28642	UBS AG	CITIC Bank European Warrants Mar 2014 A	0.22	4.89 per unit	2014/03/26	50,000,000	2013/11/11
28643	UBS AG	PetroChina European Warrants Mar 2014 A	0.385	9.51 per unit	2014/03/26	70,000,000	2013/11/11
28641	UBS AG	Stanchart European Warrants Jan 2015 A	0.15	208.20 per 100 units	2015/01/27	70,000,000	2013/11/11
28644	UBS AG	Yurun Food European Warrants Mar 2014 A	0.42	6.01 per unit	2014/03/24	40,000,000	2013/11/11
28659	BOCI Asia Ltd.	SHK Ppt European Warrants May 2014 A	0.25	120	2014/05/26	100,000,000	2013/11/12
28657	BOCI Asia Ltd.	Wynn Macau European Warrants May 2014 A	0.25	36.88	2014/05/19	100,000,000	2013/11/12
28656	Citigroup Global Mkt H Inc.	CC Bank European Wt May 2014 A	0.175	7 per unit	2014/05/27	80,000,000	2013/11/12
28646	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Mar 2014 B	0.15	118	2014/03/26	70,000,000	2013/11/12
28669	Daiwa Capital Mkt	HSBC European Warrants Mar 2014 B	0.15	91.9	2014/03/10	128,000,000	2013/11/12
28670	Daiwa Capital Mkt	HSBC European Warrants Apr 2014 B	0.29	86.88	2014/04/01	128,000,000	2013/11/12
28673	Daiwa Capital Mkt	ICBC European Warrants May 2014 A	0.25	5.88 per unit	2014/05/12	128,000,000	2013/11/12
28678	Macquarie Bank Ltd.	AAC Acoustic European Wts Jun 2014 A	0.25	40	2014/06/30	80,000,000	2013/11/12

Newly listed derivative warrants *(continued)*

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28683	Macquarie Bank Ltd.	CGS European Warrants May 2014 A	0.28	6.50 per unit	2014/05/13	40,000,000	2013/11/12
28665	Macquarie Bank Ltd.	CNBM European Warrants Aug 2014 A	0.3	10.50 per unit	2014/08/04	34,000,000	2013/11/12
28668	Macquarie Bank Ltd.	Yurun Food European Wts Jun 2014 A	0.4	5.88 per unit	2014/06/04	25,000,000	2013/11/12
28671	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2014 D	0.205	90	2014/04/02	120,000,000	2013/11/12
28672	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt May14A	0.255	21.88	2014/05/12	60,000,000	2013/11/12
28688	UBS AG	China Mobile European Warrants Apr 2014 B	0.15	90.95	2014/04/08	100,000,000	2013/11/12
28684	UBS AG	HSBC European Warrants Apr 2014 A	0.16	96.05	2014/04/08	100,000,000	2013/11/12
28685	UBS AG	KECL European Warrants Oct 2014 B	0.25	11.88	2014/10/13	100,000,000	2013/11/12
27542 #	UBS AG	Tencent Holdings European Warrants Apr 2014 A	0.131	498 per 100 units	2014/04/02	80,000,000	2013/11/12
28705	Credit Suisse AG	HSBC European Wts Mar 2014 A	0.16	93.9	2014/03/31	80,000,000	2013/11/13
28707	Credit Suisse AG	HSBC European Put Warrants Mar 2014 B	0.17	77.95	2014/03/31	80,000,000	2013/11/13
28703	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Apr 2014 C	0.35	83.88	2014/04/17	100,000,000	2013/11/13
28704	Citigroup Global Mkt H Inc.	Ping An Euro Wts Apr 2014 A	0.25	67.5	2014/04/25	100,000,000	2013/11/13
28698	Daiwa Capital Mkt	Tencent Holdings European Wts Mar 2014 C	0.18	474.98 per 100 units	2014/03/25	108,000,000	2013/11/13
28699	Daiwa Capital Mkt	Tencent Holdings European Wts Apr 2014 D	0.15	538 per 100 units	2014/04/22	108,000,000	2013/11/13
28690	HK Bank	CSOP A50 ETF European Warrants May 2014 A	0.25	10.58 per unit	2014/05/13	60,000,000	2013/11/13
28694	HK Bank	Evergrande European Warrants May 2014 A	0.25	3.78 per unit	2014/05/30	60,000,000	2013/11/13
28696	HK Bank	Tencent European Warrants Apr 2014 G	0.23	460.20 per 100 units	2014/04/22	100,000,000	2013/11/13
28712	J P Morgan SP BV	China Shenhua European Wts May 2014 A	0.25	26.38	2014/05/30	150,000,000	2013/11/13
28713	J P Morgan Int'l Der. Ltd.	HSCEI European Wts May 2014 A	0.25	10,200 per 4,800 units	2014/05/29	300,000,000	2013/11/13
28700	Standard Chartered Bank	HSBC European Warrants Mar 2014 B	0.17	91.88	2014/03/19	100,000,000	2013/11/13
28708	UBS AG	China EB Int'l European Warrants May 2014 A	0.45	9.88 per unit	2014/05/26	30,000,000	2013/11/13
28711	UBS AG	Xinyi Glass European Warrants Jun 2014 A	0.47	10.38 per unit	2014/06/23	30,000,000	2013/11/13
25850 #	Nomura Int'l plc	A50 China European Warrants Feb 2014 A	0.159	10.38 per unit	2014/02/05	70,000,000	2013/11/13
26300 #	Standard Chartered Bank	CC Bank European Wts Mar 2014 A	0.068	6.78 per unit	2014/03/05	150,000,000	2013/11/13
28724	BOCI Asia Ltd.	Anhui Conch European Warrants May 2014 A	0.25	30	2014/05/26	100,000,000	2013/11/14
28716	BOCI Asia Ltd.	BOCL European Warrants May 2014 A	0.15	4 per unit	2014/05/30	100,000,000	2013/11/14
28721	BOCI Asia Ltd.	CM Bank European Warrants Aug 2014 A	0.15	14.68 per 10 units	2014/08/26	100,000,000	2013/11/14
28722	BOCI Asia Ltd.	KECL European Warrants Aug 2014 A	0.15	13	2014/08/18	100,000,000	2013/11/14
28746	BNP Paribas Arbit Issu B.V.	CITIC Pacific Eu Wt Nov 2017 A	0.25	10.88	2017/11/02	100,000,000	2013/11/14
28743	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts May 2014 A	0.2	88.88	2014/05/05	100,000,000	2013/11/14
28744	BNP Paribas Arbit Issu B.V.	Ch Mobile Eu Put Wt Jul 2014 A	0.18	70.88	2014/07/03	100,000,000	2013/11/14
28748	BNP Paribas Arbit Issu B.V.	Esprit European Wts Jan 2015 A	0.28	15.8	2015/01/05	94,000,000	2013/11/14
28747	BNP Paribas Arbit Issu B.V.	Gome European Wts Dec 2014 A	0.26	1.43 per unit	2014/12/02	100,000,000	2013/11/14
28742	BNP Paribas Arbit Issu B.V.	HSBC European Wts Aug 2014 A	0.17	96.88	2014/08/04	100,000,000	2013/11/14
28745	BNP Paribas Arbit Issu B.V.	HSI European Wts Sep 2014 A	0.15	25,000 per 5,000 units	2014/09/29	100,000,000	2013/11/14
28750	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Jun 2014 A	0.26	9.88 per unit	2014/06/04	100,000,000	2013/11/14
28751	BNP Paribas Arbit Issu B.V.	Shimao Ppt Euro Wts Aug 2014 A	0.26	20.88	2014/08/04	100,000,000	2013/11/14
28752	Credit Suisse AG	A50 China European Warrants Feb 2014 B	0.22	10.52 per unit	2014/02/24	80,000,000	2013/11/14
28753	Credit Suisse AG	China Mengniu European Wts May 2014 A	0.27	38.28	2014/05/19	60,000,000	2013/11/14
28757	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wt May 2014 A	0.55	1,050 per 100 units	2014/05/13	60,000,000	2013/11/14
28754	Daiwa Capital Mkt	Galaxy Ent European Warrants Apr 2014 B	0.15	76.3	2014/04/02	98,000,000	2013/11/14
28756	Daiwa Capital Mkt	Galaxy Ent European Put Wts Mar 2014 D	0.24	49.98	2014/03/17	80,000,000	2013/11/14

Newly listed derivative warrants *(continued)*

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28727	J P Morgan SP BV	Beijing Ent European Warrants May 2014 A	0.517	70	2014/05/13	30,000,000	2013/11/14
28728	Macquarie Bank Ltd.	Foxconn European Warrants Jun 2014 A	0.295	5.28 per unit	2014/06/04	40,000,000	2013/11/14
28729	Macquarie Bank Ltd.	Gome European Warrants Feb 2015 A	0.25	1.60 per unit	2015/02/03	40,000,000	2013/11/14
28733	Macquarie Bank Ltd.	Huaneng Power European Wts Jun 2014 A	0.25	11 per unit	2014/06/04	40,000,000	2013/11/14
28730	Macquarie Bank Ltd.	PetroChina European Wts Jun 2014 A	0.25	9.75 per unit	2014/06/04	40,000,000	2013/11/14
28738	Standard Chartered Bank	Agricultural Bk Eur Wts Aug 2014 A	0.25	3.80 per unit	2014/08/28	80,000,000	2013/11/14
28739	UBS AG	Chi Res Power European Warrants May 2014 A	0.25	22	2014/05/13	40,000,000	2013/11/14
28741	UBS AG	Zhuzhou CSR European Warrants May 2014 A	0.57	7.66 per unit	2014/05/13	20,000,000	2013/11/14
28737	UBS AG	HSI European Warrants Apr 2014 C	0.15	24,000 per 6,000 units	2014/04/29	300,000,000	2013/11/14
28740	UBS AG	Tencent Holdings European Warrants Mar 2014 E	0.15	474.08 per 100 units	2014/03/10	100,000,000	2013/11/14
19440 #	J P Morgan SP BV	A50 China European Warrants Sep 2014 A	0.039	11	2014/09/15	300,000,000	2013/11/14
19744 #	Goldman Sachs SP (Asia)	A50 China European Wts Apr 2014 A	0.044	10	2014/04/29	228,000,000	2013/11/14
27865 #	Goldman Sachs SP (Asia)	Tencent European Wt Apr 2014 C	0.162	485 per 100 units	2014/04/29	88,000,000	2013/11/14
28770	BOCI Asia Ltd.	HSI European Warrants Apr 2014 B	0.15	23,400 per 7,700 units	2014/04/29	100,000,000	2013/11/15
28782	Credit Suisse AG	SUNAC European Warrants May 2014 A	0.46	6.88 per unit	2014/05/19	50,000,000	2013/11/15
28769	Citigroup Global Mkt H Inc.	CC Bank European Wt Apr 2014 A	0.32	6.08 per unit	2014/04/24	100,000,000	2013/11/15
28788	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Jul 2014 A	0.165	91.88	2014/07/21	100,000,000	2013/11/15
28786	Citigroup Global Mkt H Inc.	HSBC European Wts Apr 2014 B	0.3	86.88	2014/04/01	100,000,000	2013/11/15
28773	Goldman Sachs SP (Asia)	Ch LongYuan Euro Wts Sep 2014 A	0.898	10 per unit	2014/09/29	38,000,000	2013/11/15
28776	Goldman Sachs SP (Asia)	China Mobile Euro Wts Apr 2014 C	0.219	85.18	2014/04/28	80,000,000	2013/11/15
28761	Goldman Sachs SP (Asia)	Sinopec Corp European Wts Apr 2014 A	0.155	7.38 per unit	2014/04/28	68,000,000	2013/11/15
28780	Goldman Sachs SP (Asia)	Foxconn European Wts Mar 2014 A	0.215	4.58 per unit	2014/03/03	48,000,000	2013/11/15
28778	Goldman Sachs SP (Asia)	Greatwall Motor Eur Wts Mar 2014 A	0.292	50.05	2014/03/05	60,000,000	2013/11/15
28765	Goldman Sachs SP (Asia)	Lenovo European Wts Mar 2015 A	0.152	9.29	2015/03/23	80,000,000	2013/11/15
28762	Goldman Sachs SP (Asia)	PetroChina European Wts Jun 2014 A	0.252	10 per unit	2014/06/30	68,000,000	2013/11/15
28758	Goldman Sachs SP (Asia)	SHK Ppt European Wts Jun 2014 B	0.25	120	2014/06/30	48,000,000	2013/11/15
28768	HK Bank	BYD European Warrants May 2014 A	0.35	41.88	2014/05/30	60,000,000	2013/11/15
28767	HK Bank	Lenovo European Warrants May 2014 B	0.28	9.68 per unit	2014/05/15	60,000,000	2013/11/15
28785	UBS AG	Belle Int'l European Warrants Sep 2014 A	0.15	11.52	2014/09/25	80,000,000	2013/11/15
28771	UBS AG	BYD European Warrants May 2014 A	0.6	38.88	2014/05/14	50,000,000	2013/11/15
28772	UBS AG	Geely Auto European Warrants May 2014 A	0.48	4 per unit	2014/05/14	60,000,000	2013/11/15
28794	BOCI Asia Ltd.	A50 China European Warrants Jun 2014 A	0.25	11 per unit	2014/06/03	100,000,000	2013/11/18
28796	BOCI Asia Ltd.	Galaxy Ent European Warrants Mar 2014 C	0.25	66.8	2014/03/24	100,000,000	2013/11/18
28791	BOCI Asia Ltd.	Kingsoft European Warrants May 2014 B	0.25	21.88	2014/05/23	100,000,000	2013/11/18
28795	BOCI Asia Ltd.	Sands China European Warrants Apr 2014 A	0.18	68.88	2014/04/29	100,000,000	2013/11/18
28813	Citigroup Global Mkt H Inc.	Ch Mobile Eu Put Wt Apr 2014 D	0.205	76	2014/04/28	80,000,000	2013/11/18
28809	Bank of East Asia	Belle European Warrants Jun 2015 A	0.15	11.98	2015/06/26	70,000,000	2013/11/18
28812	Bank of East Asia	BYD European Warrants Jun 2014 A	0.25	62	2014/06/26	40,000,000	2013/11/18
28790	J P Morgan SP BV	CNBM European Warrants Dec 2016 A	0.25	8	2016/12/01	200,000,000	2013/11/18
28789	J P Morgan SP BV	KECL European Warrants Sep 2014 A	0.15	13	2014/09/01	120,000,000	2013/11/18
28801	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Apr14C	0.26	4.30 per unit	2014/04/02	60,000,000	2013/11/18
28804	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jun14A	0.365	52.5	2014/06/04	60,000,000	2013/11/18
28797	UBS AG	AAC Acoustic European Warrants Apr 2014 A	0.33	38.85	2014/04/25	40,000,000	2013/11/18

Newly listed derivative warrants *(continued)*

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28800	UBS AG	GCL-Poly Energy European Warrants Apr 2014 B	0.33	2.81 per unit	2014/04/28	60,000,000	2013/11/18
28799	UBS AG	SPDR Gold Trust European Warrants May 2014 A	0.35	1,088 per 100 units	2014/05/19	100,000,000	2013/11/18
28830	BNP Paribas Arbit Issu B.V.	Ch Mer Hold Euro Wts Jul 2014A	0.26	30	2014/07/03	70,000,000	2013/11/19
28831	BNP Paribas Arbit Issu B.V.	Geely Auto Euro Wts Jul 2014 A	0.25	4.90 per unit	2014/07/03	100,000,000	2013/11/19
28829	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jun 2014 A	0.26	109	2014/06/04	96,000,000	2013/11/19
28833	BNP Paribas Arbit Issu B.V.	Jiansu Express Euro Wt Dec15A	0.25	9.68	2015/12/02	59,000,000	2013/11/19
28834	BNP Paribas Arbit Issu B.V.	Ting Yi European Wts Jul 2014 A	0.25	24.8	2014/07/03	100,000,000	2013/11/19
28836	Credit Suisse AG	CNOOC European Warrants Feb 2015 A	0.16	16	2015/02/23	70,000,000	2013/11/19
28837	Credit Suisse AG	CNOOC European Put Warrants Oct 2014 A	0.15	13.66	2014/10/31	70,000,000	2013/11/19
28835	Credit Suisse AG	Lenovo European Warrants Mar 2014 A	0.35	9.99 per unit	2014/03/31	70,000,000	2013/11/19
28839	Citigroup Global Mkt H Inc.	CC Bank European Wt Apr 2014 B	0.18	6.68 per unit	2014/04/24	100,000,000	2013/11/19
28822	Goldman Sachs SP (Asia)	CSOP A50 ETF Euro Wts Jun 2014 A	0.251	10.88 per unit	2014/06/03	80,000,000	2013/11/19
28826	Goldman Sachs SP (Asia)	China Tel European Wts Aug 2014 A	0.25	4.58 per unit	2014/08/04	80,000,000	2013/11/19
28824	Goldman Sachs SP (Asia)	PICC Group European Wts Jun 2014 A	0.252	4.08 per unit	2014/06/30	80,000,000	2013/11/19
28815	HK Bank	AIA European Warrants May 2014 A	0.15	43.73	2014/05/23	100,000,000	2013/11/19
28827	HK Bank	CSR European Warrants Jan 2015 A	0.15	6.99	2015/01/05	100,000,000	2013/11/19
28816	HK Bank	Esprit European Warrants Dec 2014 A	0.25	17.98	2014/12/31	100,000,000	2013/11/19
28818	HK Bank	HSCEI European Put Warrants Jun 2014 C	0.17	10,950 per 6,600 units	2014/06/27	150,000,000	2013/11/19
28820	HK Bank	Haitong Sec European Warrants Dec 2014 A	0.15	12.9	2014/12/23	100,000,000	2013/11/19
28814	J P Morgan SP BV	HKEx European Warrants Jan 2016 A	0.15	126.68 per 100 units	2016/01/04	300,000,000	2013/11/19
28828	Standard Chartered Bank	Lenovo European Wts Jul 2014 B	0.3	11 per unit	2014/07/04	60,000,000	2013/11/19
28838	UBS AG	Hutchison European Warrants Mar 2014 B	0.23	104.58	2014/03/21	100,000,000	2013/11/19
27307 #	BOCI Asia Ltd.	A50 China European Warrants Aug 2014 B	0.089	9.19	2014/08/04	150,000,000	2013/11/19
28876	Credit Suisse AG	BOC HK European Warrants Dec 2014 A	0.15	26	2014/12/15	70,000,000	2013/11/20
28880	Credit Suisse AG	China Mobile European Warrants Apr 2014 A	0.15	88.85	2014/04/01	70,000,000	2013/11/20
28873	Credit Suisse AG	A50 China European Warrants Dec 2015 A	0.15	9.39	2015/12/24	100,000,000	2013/11/20
28874	Credit Suisse AG	A50 China European Warrants Aug 2016 A	0.2	8.49	2016/08/01	100,000,000	2013/11/20
28878	Credit Suisse AG	HKEx European Warrants Dec 2015 A	0.15	126.70 per 100 units	2015/12/24	100,000,000	2013/11/20
28879	Credit Suisse AG	Li & Fung European Warrants Jan 2015 A	0.15	10.82	2015/01/26	80,000,000	2013/11/20
28875	Credit Suisse AG	Lenovo European Warrants Sep 2014 A	0.15	8	2014/09/29	70,000,000	2013/11/20
28877	Credit Suisse AG	New World Dev European Wts Sep 2014 A	0.15	10	2014/09/29	70,000,000	2013/11/20
28890	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt May 2014 A	0.25	55	2014/05/19	100,000,000	2013/11/20
28887	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Jun 2014 A	0.25	112	2014/06/03	60,000,000	2013/11/20
28864	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts May 2014 B	0.15	333.18 per 100 units	2014/05/27	98,000,000	2013/11/20
28842	Goldman Sachs SP (Asia)	BOCL European Warrants May 2014 A	0.15	4.01 per unit	2014/05/23	80,000,000	2013/11/20
28844	Goldman Sachs SP (Asia)	CNBM European Warrants Jun 2014 B	0.434	8.50 per unit	2014/06/30	48,000,000	2013/11/20
28841	Goldman Sachs SP (Asia)	Ping An European Wts May 2014 A	0.378	63.88	2014/05/05	80,000,000	2013/11/20
28845	HK Bank	HSI European Put Warrants Mar 2014 G	0.16	22,888 per 6,500 units	2014/03/28	200,000,000	2013/11/20
28846	HK Bank	HWL European Warrants Jun 2014 A	0.45	97.88	2014/06/30	60,000,000	2013/11/20
28847	HK Bank	Link REIT European Warrants Jul 2014 A	0.25	41.88	2014/07/30	80,000,000	2013/11/20
28891	J P Morgan SP BV	PetroChina European Warrants Jun 2014 A	0.25	9.60 per unit	2014/06/03	200,000,000	2013/11/20
28840	J P Morgan SP BV	Tencent European Warrants Jun 2015 A	0.25	700 per 100 units	2015/06/08	200,000,000	2013/11/20
28851	Macquarie Bank Ltd.	Chi Resources European Wts Nov 2014 A	0.25	32	2014/11/04	50,000,000	2013/11/20

Newly listed derivative warrants *(continued)*

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28881	Macquarie Bank Ltd.	Dongfeng Motor European Wts Dec 2014 A	0.15	13	2014/12/02	70,000,000	2013/11/20
28849	Macquarie Bank Ltd.	Tencent European Warrants Jun 2014 A	0.294	450 per 100 units	2014/06/04	40,000,000	2013/11/20
28863	Standard Chartered Bank	China Life European Wts Aug 2014 B	0.15	22.9	2014/08/25	150,000,000	2013/11/20
28861	Standard Chartered Bank	China Mobile Euro Wts Jul 2014 A	0.25	87.77	2014/07/11	100,000,000	2013/11/20
28862	Standard Chartered Bank	CNOOC European Warrants Nov 2015 A	0.18	16.02	2015/11/23	150,000,000	2013/11/20
28852	Standard Chartered Bank	SPDR Gold Trust Euro Wt Jul 2014 B	0.35	1,088 per 100 units	2014/07/04	80,000,000	2013/11/20
28871	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Jun14 A	0.64	9.70 per unit	2014/06/04	40,000,000	2013/11/20
28872	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt Aug14 A	0.475	11 per unit	2014/08/04	40,000,000	2013/11/20
28883	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 14 B	0.26	85.18	2014/05/07	100,000,000	2013/11/20
28884	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 14 C	0.165	88.88	2014/05/07	100,000,000	2013/11/20
28882	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Jun 14 A	0.39	82.5	2014/06/04	100,000,000	2013/11/20
28885	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Aug 14 A	0.16	91.88	2014/08/04	100,000,000	2013/11/20
28886	SGA Societe Generale Acceptance N.V.	HSI Eur Wt May 2014 A	0.25	21,600 per 6,700 units	2014/05/29	200,000,000	2013/11/20
28869	SGA Societe Generale Acceptance N.V	Sands China Eu Wt May14D	0.295	64.05	2014/05/07	60,000,000	2013/11/20
28866	UBS AG	BOCL European Warrants Apr 2014 A	0.18	3.66 per unit	2014/04/28	100,000,000	2013/11/20
28867	UBS AG	ICBC European Warrants Apr 2014 A	0.22	5.59 per unit	2014/04/24	100,000,000	2013/11/20
28868	UBS AG	ICBC European Warrants May 2014 A	0.17	5.89 per unit	2014/05/02	100,000,000	2013/11/20
28865	UBS AG	Tencent Holdings European Put Warrants Apr 2014 D	0.23	364.80 per 100 units	2014/04/16	100,000,000	2013/11/20
28918	BNP Paribas Arbit Issu B.V.	CC Bank Euro Wts Jun 2014 A	0.22	6.48 per unit	2014/06/04	100,000,000	2013/11/21
28922	BNP Paribas Arbit Issu B.V.	Ch Shenhua Euro Wts Sep 2014 A	0.19	26.88	2014/09/02	100,000,000	2013/11/21
28919	BNP Paribas Arbit Issu B.V.	ICBC European Wts May 2014 A	0.16	5.88 per unit	2014/05/05	100,000,000	2013/11/21
28920	BNP Paribas Arbit Issu B.V.	PetroCh Euro Wts Aug 2014 A	0.15	10.88 per unit	2014/08/01	100,000,000	2013/11/21
28921	BNP Paribas Arbit Issu B.V.	Weigao Group Eu Wts Feb 2015 A	0.25	9.68	2015/02/03	100,000,000	2013/11/21
28923	Credit Suisse AG	Anhui Conch European Warrants Aug 2014 A	0.15	33.9	2014/08/01	70,000,000	2013/11/21
28925	Credit Suisse AG	BOC HK European Warrants Apr 2015 A	0.16	27	2015/04/27	70,000,000	2013/11/21
28927	Credit Suisse AG	Minsheng Bank European Wts Sep 2015 A	0.16	8.39	2015/09/25	70,000,000	2013/11/21
28924	Credit Suisse AG	CNOOC European Warrants Nov 2015 A	0.17	16	2015/11/23	70,000,000	2013/11/21
28930	Credit Suisse AG	Ch Overseas European Warrants Jun 2014 A	0.15	26.2	2014/06/23	70,000,000	2013/11/21
28931	Credit Suisse AG	Ch Overseas European Warrants Nov 2014 A	0.17	27.55	2014/11/28	70,000,000	2013/11/21
28932	Credit Suisse AG	Esprit European Put Warrants Dec 2014 A	0.15	12.18	2014/12/24	70,000,000	2013/11/21
28929	Credit Suisse AG	Jiangxi Copper European Wts Mar 2015 A	0.15	18.9	2015/03/30	70,000,000	2013/11/21
28926	Credit Suisse AG	KECL European Warrants Jul 2014 A	0.25	10.9	2014/07/21	70,000,000	2013/11/21
28928	Credit Suisse AG	Sa Sa Int'l European Warrants Dec 2014 A	0.18	8.89	2014/12/22	70,000,000	2013/11/21
28937	Citigroup Global Mkt H Inc.	CC Bank European Wt May 2014 B	0.2	6.48 per unit	2014/05/21	100,000,000	2013/11/21
28901	HK Bank	China COSCO European Warrants Jun 2014 A	0.25	4.18 per unit	2014/06/30	60,000,000	2013/11/21
28895	HK Bank	HSI European Warrants Mar 2014 H	0.2	21,888 per 7,000 units	2014/03/28	200,000,000	2013/11/21
28896	HK Bank	HSI European Warrants Mar 2014 I	0.15	22,500 per 7,000 units	2014/03/28	200,000,000	2013/11/21
28893	HK Bank	HSI European Put Warrants May 2014 B	0.25	22,388 per 6,400 units	2014/05/29	150,000,000	2013/11/21
28894	HK Bank	HSI European Put Warrants May 2014 C	0.25	22,988 per 7,500 units	2014/05/29	150,000,000	2013/11/21
28892	HK Bank	HSI European Put Warrants Jun 2014 B	0.25	21,888 per 6,300 units	2014/06/27	150,000,000	2013/11/21
28899	HK Bank	KECL European Warrants Jun 2014 A	0.17	11.39	2014/06/26	100,000,000	2013/11/21
28905	J P Morgan SP BV	CM Bank European Warrants Sep 2014 A	0.153	14.68 per 10 units	2014/09/01	280,000,000	2013/11/21
28904	J P Morgan SP BV	China Mob European Wts Apr 2014 C	0.187	85.18	2014/04/23	200,000,000	2013/11/21

Newly listed derivative warrants *(continued)*

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28903	J P Morgan SP BV	Ch Overseas European Warrants Aug 2014 A	0.25	23.88	2014/08/04	200,000,000	2013/11/21
28915	Macquarie Bank Ltd.	CNOOC European Warrants Jun 2014 A	0.25	18.98 per unit	2014/06/04	40,000,000	2013/11/21
28914	Macquarie Bank Ltd.	Esprit European Warrants Oct 2014 A	0.25	19.98	2014/10/06	40,000,000	2013/11/21
28916	Macquarie Bank Ltd.	Poly HK Inv European Wts Jun 2014 A	0.25	5.88 per unit	2014/06/04	50,000,000	2013/11/21
28917	Macquarie Bank Ltd.	SHK Ppt European Warrants Jun 2014 B	0.154	118.88	2014/06/04	70,000,000	2013/11/21
28933	Standard Chartered Bank	Esprit European Wts Jun 2014 A	0.25	18.18	2014/06/30	80,000,000	2013/11/21
28909	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2014 C	0.42	135	2014/06/04	50,000,000	2013/11/21
28910	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2014 A	0.25	88.88	2014/06/04	100,000,000	2013/11/21
28908	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 14 C	0.15	22,200 per 7,600 units	2014/04/29	200,000,000	2013/11/21
28912	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Apr 2014 B	0.23	104.5	2014/04/02	100,000,000	2013/11/21
28911	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2014 A	0.47	100	2014/07/02	100,000,000	2013/11/21
28913	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Jun 2014 A	0.305	5.38 per unit	2014/06/04	100,000,000	2013/11/21
10254	SGA Societe Generale Acceptance NV	N225 Eu Put Wt Jun 2014 A	0.25	15,200 per 450 units	2014/06/13	150,000,000	2013/11/21
28906	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jun 14 A	0.25	450 per 100 units	2014/06/04	150,000,000	2013/11/21
28907	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Jul14B	0.185	330 per 100 units	2014/07/02	150,000,000	2013/11/21
28999	Credit Suisse AG	Anhui Conch European Warrants Apr 2014 A	0.33	26.55	2014/04/28	70,000,000	2013/11/22
29003	Credit Suisse AG	Agricultural Bank European Wts Mar 2014 A	0.18	3.89 per unit	2014/03/03	70,000,000	2013/11/22
29006	Credit Suisse AG	Agricultural Bank European Wts Jun 2014 A	0.15	4.29 per unit	2014/06/03	70,000,000	2013/11/22
28986	Credit Suisse AG	Brilliance Chi European Warrants Sep 14 A	0.19	15.52	2014/09/29	60,000,000	2013/11/22
29001	Credit Suisse AG	China Gas Hold European Wts Oct 2014 A	0.15	10	2014/10/27	70,000,000	2013/11/22
29007	Credit Suisse AG	Sinopec Corp European Warrants May 2014 A	0.25	7.38 per unit	2014/05/26	70,000,000	2013/11/22
28997	Credit Suisse AG	ChiUnicom European Warrants Oct 2014 A	0.16	11.9	2014/10/27	70,000,000	2013/11/22
28995	Credit Suisse AG	Dongfeng Motor European Warrants Jun 14 A	0.15	12	2014/06/23	70,000,000	2013/11/22
28996	Credit Suisse AG	Dongfeng Motor European Warrants Nov 14 A	0.15	13.04	2014/11/24	70,000,000	2013/11/22
28990	Credit Suisse AG	GAC European Warrants Nov 2014 A	0.23	9.51	2014/11/28	60,000,000	2013/11/22
28985	Credit Suisse AG	Link REIT European Warrants May 2014 A	0.17	42.9	2014/05/26	60,000,000	2013/11/22
28991	Credit Suisse AG	Nine Dragons European Warrants Sep 2015 A	0.25	6.89	2015/09/01	60,000,000	2013/11/22
28994	Credit Suisse AG	Nine Dragons European Warrants Mar 2016 A	0.23	7.81	2016/03/24	60,000,000	2013/11/22
28989	Credit Suisse AG	SHK Ppt European Put Warrants Feb 2015 A	0.19	107.98 per 100 units	2015/02/18	60,000,000	2013/11/22
29000	Credit Suisse AG	Yanzhou Coal European Warrants Aug 2014 A	0.15	7	2014/08/29	70,000,000	2013/11/22
28988	Credit Suisse AG	Zoomlion European Warrants Dec 2015 A	0.19	8	2015/12/28	60,000,000	2013/11/22
29002	Daiwa Capital Mkt	A50 China European Warrants Apr 2014 B	0.37	10.52 per unit	2014/04/16	128,000,000	2013/11/22
10255	Daiwa Capital Mkt	Nikkei 225 Index European Wts Jun 2014 E	0.25	16,800 per 340 units	2014/06/13	250,000,000	2013/11/22
10256	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Jun 2014 F	0.25	16,000 per 680 units	2014/06/13	250,000,000	2013/11/22
10257	Daiwa Capital Mkt	Nikkei 225 Index Euro Put Wts Jun 2014 G	0.25	17,000 per 890 units	2014/06/13	250,000,000	2013/11/22
28957	Goldman Sachs SP (Asia)	CPIC European Warrants Jun 2014 A	0.152	29.88	2014/06/27	80,000,000	2013/11/22
28958	Goldman Sachs SP (Asia)	Henderson Land Euro Wts Aug 2014 A	0.25	50	2014/08/04	80,000,000	2013/11/22
28960	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2014 A	0.256	468 per 100 units	2014/06/30	100,000,000	2013/11/22
28964	Goldman Sachs SP (Asia)	Tencent European Put Wt Jun 2014 B	0.285	378 per 100 units	2014/06/30	100,000,000	2013/11/22
28953	HK Bank	CITIC Sec European Warrants Jul 2014 B	0.25	15.88	2014/07/31	100,000,000	2013/11/22
28952	HK Bank	China Mobile European Warrants Jun 2014 A	0.27	82.88	2014/06/30	80,000,000	2013/11/22
28949	HK Bank	Huaneng Power European Warrants Jul 2014 A	0.35	8.88 per unit	2014/07/31	60,000,000	2013/11/22
28950	HK Bank	PetroChina European Warrants Jun 2014 A	0.25	9.68 per unit	2014/06/30	80,000,000	2013/11/22

Newly listed derivative warrants *(continued)*

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28954	HK Bank	Ping An European Warrants Mar 2014 B	0.18	68.05	2014/03/28	100,000,000	2013/11/22
28971	J P Morgan SP BV	CC Bank Euro Put Wts Jul 2014 A	0.28	5.38 per unit	2014/07/03	200,000,000	2013/11/22
28945	J P Morgan SP BV	China Mob European Put Wts Jun 2014 A	0.25	72	2014/06/03	200,000,000	2013/11/22
28947	J P Morgan SP BV	Lenovo European Warrants Jun 2014 A	0.25	10.88 per unit	2014/06/03	100,000,000	2013/11/22
28970	J P Morgan SP BV	SHK Ppt European Warrants Jul 2014 A	0.306	108	2014/07/03	80,000,000	2013/11/22
28944	J P Morgan SP BV	SPDR Gold Trust Euro Put Wts Jun 2014 B	0.292	850 per 100 units	2014/06/03	35,000,000	2013/11/22
28977	Macquarie Bank Ltd.	Henderson Land Dev Euro Wts Jul 2014 A	0.25	52	2014/07/03	50,000,000	2013/11/22
28984	Macquarie Bank Ltd.	Sino Land European Warrants Jun 2014 A	0.25	13.88 per unit	2014/06/04	50,000,000	2013/11/22
28941	Nomura Int'l plc	BYD European Warrants May 2014 A	0.205	48.92	2014/05/28	80,000,000	2013/11/22
28938	Nomura Int'l plc	Esprit European Warrants Dec 2014 A	0.273	18.88	2014/12/18	50,000,000	2013/11/22
28939	Nomura Int'l plc	Esprit European Put Warrants Oct 2014 A	0.25	14.88	2014/10/28	50,000,000	2013/11/22
28943	Nomura Int'l plc	Evergrande European Warrants May 2014 A	0.258	3.88 per unit	2014/05/28	50,000,000	2013/11/22
28940	Nomura Int'l plc	Gome European Warrants Dec 2014 A	0.25	1.62 per unit	2014/12/18	50,000,000	2013/11/22
28972	SGA Societe Generale Acceptance N.V.	CCB Eur Wt May 2014 C	0.15	6.88 per unit	2014/05/07	100,000,000	2013/11/22
28967	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jun 2014 B	0.235	6.48 per unit	2014/06/04	100,000,000	2013/11/22
28965	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jun 2014A	0.445	10.20 per unit	2014/06/04	50,000,000	2013/11/22
28966	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jun 2014B	0.25	10.98 per unit	2014/06/04	50,000,000	2013/11/22
28968	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt May 2014 B	0.215	5.58 per unit	2014/05/07	100,000,000	2013/11/22
28969	SGA Societe Generale Acceptance N.V.	ICBC Eu Wt Aug 2014 B	0.175	5.78 per unit	2014/08/04	100,000,000	2013/11/22
10258	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Jun 14 B	0.25	15,200 per 420 units	2014/06/13	150,000,000	2013/11/22
10259	SGA Societe Generale Acceptance NV	Nikkei 225 Eu Wt Jun 14 C	0.25	15,600 per 370 units	2014/06/13	150,000,000	2013/11/22
28975	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt May 2014C	0.17	120	2014/05/28	60,000,000	2013/11/22
10260	UBS AG	Nikkei 225 European Warrants Jun 2014 A	0.25	16,000 per 390 units	2014/06/13	100,000,000	2013/11/22
10261	UBS AG	Nikkei 225 European Warrants Jun 2014 B	0.19	15,400 per 520 units	2014/06/13	100,000,000	2013/11/22
10262	UBS AG	Nikkei 225 European Put Warrants Jun 2014 C	0.15	15,000 per 700 units	2014/06/13	100,000,000	2013/11/22
10263	UBS AG	Nikkei 225 European Put Warrants Jun 2014 D	0.15	14,000 per 520 units	2014/06/13	100,000,000	2013/11/22
29067	BNP Paribas Arbit Issu B.V.	ICBC European Wts Apr 2015 A	0.25	7.70 per unit	2015/04/02	100,000,000	2013/11/25
29068	BNP Paribas Arbit Issu B.V.	Ping An European Wt Jan 2015 A	0.25	97	2015/01/05	100,000,000	2013/11/25
29058	BNP Paribas Arbit Issu B.V.	Poly HK Inv Eur Wts Dec 2014 A	0.64	5.20 per unit	2014/12/02	16,000,000	2013/11/25
29055	BNP Paribas Arbit Issu B.V.	SHK Ppt Euro Wts Jul 2014 A	0.3	118	2014/07/03	65,000,000	2013/11/25
29056	BNP Paribas Arbit Issu B.V.	SHK Ppt Eu Put Wts Jul 2014 B	0.3	85	2014/07/03	65,000,000	2013/11/25
29021	Credit Suisse AG	CC Bank European Warrants Apr 2014 A	0.21	7 per unit	2014/04/30	70,000,000	2013/11/25
29024	Credit Suisse AG	Sinopec Corp European Warrants Apr 2014 A	0.25	7.50 per unit	2014/04/02	70,000,000	2013/11/25
29029	Credit Suisse AG	HSI European Put Warrants Mar 2014 E	0.15	23,400 per 7,000 units	2014/03/28	200,000,000	2013/11/25
29030	Credit Suisse AG	HSI European Put Warrants Mar 2014 F	0.16	22,800 per 6,500 units	2014/03/28	200,000,000	2013/11/25
29023	Credit Suisse AG	ICBC European Warrants Apr 2014 A	0.15	6.28 per unit	2014/04/30	70,000,000	2013/11/25
29019	Credit Suisse AG	Ping An European Warrants May 2014 A	0.25	75.38	2014/05/30	70,000,000	2013/11/25
29020	Credit Suisse AG	Ping An European Warrants Jun 2014 A	0.22	80.88	2014/06/26	70,000,000	2013/11/25
29025	Credit Suisse AG	Tencent Holdings Euro Put Wts Apr 2014 B	0.15	365 per 100 units	2014/04/16	70,000,000	2013/11/25
29026	Credit Suisse AG	Tencent Holdings Euro Put Wts Apr 2014 C	0.21	380 per 100 units	2014/04/30	70,000,000	2013/11/25
29033	Citigroup Global Mkt H Inc.	A50 China Euro Wts Apr 2014 B	0.15	12.20 per unit	2014/04/25	70,000,000	2013/11/25
29034	Citigroup Global Mkt H Inc.	ICBC European Wts May 2014 A	0.23	5.88 per unit	2014/05/02	100,000,000	2013/11/25
29096	Citigroup Global Mkt H Inc.	Ping An Euro Wts May 2014 B	0.285	78.88	2014/05/26	80,000,000	2013/11/25

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29037	Daiwa Capital Mkt	CCB European Warrants Apr 2014 B	0.16	6.99 per unit	2014/04/23	128,000,000	2013/11/25
29038	Daiwa Capital Mkt	ICBC European Warrants Apr 2014 A	0.15	6.288 per unit	2014/04/23	128,000,000	2013/11/25
29071	Daiwa Capital Mkt	Ping An European Warrants May 2014 A	0.25	88.88	2014/05/28	108,000,000	2013/11/25
29082	Goldman Sachs SP (Asia)	AIA European Warrants May 2014 B	0.15	43.68	2014/05/26	120,000,000	2013/11/25
29086	Goldman Sachs SP (Asia)	CC Bank European Wts May 2014 A	0.25	7 per unit	2014/05/27	100,000,000	2013/11/25
29077	Goldman Sachs SP (Asia)	China Life Euro Wts Dec 2014 A	0.175	25	2014/12/24	120,000,000	2013/11/25
29079	Goldman Sachs SP (Asia)	China Mobile Euro Wts May 2014 A	0.25	88.88	2014/05/30	120,000,000	2013/11/25
29076	Goldman Sachs SP (Asia)	Ping An European Wts May 2014 B	0.324	74.88	2014/05/30	80,000,000	2013/11/25
29090	J P Morgan SP BV	CITIC Sec European Warrants Sep 2014 A	0.25	21.88	2014/09/01	100,000,000	2013/11/25
29093	J P Morgan SP BV	China Life European Warrants Jul 2014 B	0.25	24	2014/07/02	300,000,000	2013/11/25
29091	J P Morgan SP BV	CPIC European Warrants Jul 2014 A	0.25	35	2014/07/02	100,000,000	2013/11/25
29089	J P Morgan SP BV	Ping An European Warrants Jun 2014 A	0.259	80	2014/06/03	180,000,000	2013/11/25
29013	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Apr 2014 C	0.22	23,000 per 5,500 units	2014/04/29	100,000,000	2013/11/25
29011	Merrill Lynch Int'l & Co. C.V.	HSI European Wts May 2014 A	0.25	23,200 per 6,800 units	2014/05/29	100,000,000	2013/11/25
29008	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jun 2014 A	0.15	24,700 per 6,000 units	2014/06/27	100,000,000	2013/11/25
29010	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Jul 2014 A	0.15	25,000 per 5,000 units	2014/07/30	100,000,000	2013/11/25
29014	Merrill Lynch Int'l & Co. C.V.	Tencent Eu Put Wt May 2014A	0.15	333.33 per 100 units	2014/05/26	80,000,000	2013/11/25
29015	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Jul14A	0.25	22	2014/07/02	100,000,000	2013/11/25
29054	SGA Societe Generale Acceptance N.V.	Lenovo Eu Wt Jun 14 A	0.375	11.25 per unit	2014/06/04	30,000,000	2013/11/25
29018	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Jul14C	0.205	360 per 100 units	2014/07/02	150,000,000	2013/11/25
29051	UBS AG	AIA European Warrants Jun 2014 A	0.25	41.88	2014/06/10	100,000,000	2013/11/25
29050	UBS AG	CC Bank European Warrants Apr 2014 B	0.22	6.69 per unit	2014/04/24	100,000,000	2013/11/25
29095	UBS AG	China Life European Warrants Aug 2014 A	0.25	24.38	2014/08/08	100,000,000	2013/11/25
29016	UBS AG	China Life European Put Warrants Jul 2014 B	0.15	19.98	2014/07/25	100,000,000	2013/11/25
29048	UBS AG	HSBC European Put Warrants Apr 2014 B	0.19	77.45	2014/04/24	100,000,000	2013/11/25
29039	UBS AG	HSCEI European Warrants Jun 2014 D	0.25	11,200 per 4,000 units	2014/06/27	100,000,000	2013/11/25
29040	UBS AG	HSCEI European Put Warrants Jun 2014 E	0.15	11,000 per 6,600 units	2014/06/27	100,000,000	2013/11/25
29042	UBS AG	HSI European Warrants Apr 2014 D	0.16	23,500 per 7,700 units	2014/04/29	300,000,000	2013/11/25
29043	UBS AG	HSI European Put Warrants Apr 2014 E	0.17	23,300 per 6,200 units	2014/04/29	300,000,000	2013/11/25
29049	UBS AG	Ping An European Warrants Jun 2014 A	0.32	76.88	2014/06/10	100,000,000	2013/11/25
22466 #	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2014 A	0.09	138.98 per 100 units	2014/06/20	148,000,000	2013/11/25
24371 #	Credit Suisse AG	HKEx European Warrants Jul 2014 A	0.072	149 per 100 units	2014/07/21	150,000,000	2013/11/25
29152	BOCI Asia Ltd.	China Life European Warrants Dec 2014 A	0.19	25	2014/12/24	100,000,000	2013/11/26
29151	BOCI Asia Ltd.	ICBC European Put Warrants May 2014 A	0.19	5.10 per unit	2014/05/05	100,000,000	2013/11/26
29149	BOCI Asia Ltd.	Ping An European Warrants Jun 2014 A	0.24	80.88	2014/06/30	100,000,000	2013/11/26
29146	BOCI Asia Ltd.	Ping An European Put Warrants Jul 2014 A	0.25	58.88	2014/07/08	100,000,000	2013/11/26
29278	Credit Suisse AG	Agricultural Bank European Wts Jun 2014 B	0.21	4.28 per unit	2014/06/03	70,000,000	2013/11/26
29216	Credit Suisse AG	BOCL European Warrants Mar 2014 A	0.2	4.05 per unit	2014/03/31	70,000,000	2013/11/26
29287	Credit Suisse AG	CC Bank European Put Warrants Feb 2014 B	0.17	5.88 per unit	2014/02/28	70,000,000	2013/11/26
29201	Credit Suisse AG	Minsheng Bank European Wts Mar 2014 A	0.23	11.68 per unit	2014/03/04	70,000,000	2013/11/26
29286	Credit Suisse AG	Minsheng Bank European Wts May 2015 A	0.15	10.88	2015/05/29	70,000,000	2013/11/26
29199	Credit Suisse AG	China Mobile European Warrants Apr 2014 B	0.15	90.9	2014/04/08	70,000,000	2013/11/26
29200	Credit Suisse AG	China Mobile European Warrants May 2014 A	0.15	93.88	2014/05/05	70,000,000	2013/11/26

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29217	Credit Suisse AG	China Shenhua European Wts Mar 2014 A	0.15	28.01	2014/03/03	70,000,000	2013/11/26
29271	Credit Suisse AG	HKEx European Warrants Apr 2014 A	0.29	150	2014/04/02	70,000,000	2013/11/26
29269	Credit Suisse AG	HKEx European Put Warrants May 2014 A	0.37	116.88	2014/05/27	70,000,000	2013/11/26
29270	Credit Suisse AG	HKEx European Put Warrants Jul 2014 B	0.16	139.80 per 100 units	2014/07/02	70,000,000	2013/11/26
29285	Credit Suisse AG	HSCEI European Put Warrants May 2014 A	0.15	11,800 per 7,000 units	2014/05/29	70,000,000	2013/11/26
29215	Credit Suisse AG	Hutchison European Warrants May 2014 A	0.17	113.88	2014/05/02	70,000,000	2013/11/26
29208	Credit Suisse AG	ICBC European Warrants Jul 2014 A	0.15	6.50 per unit	2014/07/30	70,000,000	2013/11/26
29284	Credit Suisse AG	ICBC European Put Warrants May 2014 A	0.17	5.10 per unit	2014/05/07	70,000,000	2013/11/26
29283	Credit Suisse AG	PetroChina European Warrants May 2014 A	0.26	10.88 per unit	2014/05/05	70,000,000	2013/11/26
29288	Citigroup Global Mkt H Inc.	China Life Euro Wts May 2014 A	0.25	25	2014/05/26	150,000,000	2013/11/26
29289	Citigroup Global Mkt H Inc.	HKEx European Wts May 2014 A	0.29	158	2014/05/26	50,000,000	2013/11/26
29098	Daiwa Capital Mkt	China Life European Warrants Apr 2014 C	0.15	24.05	2014/04/01	168,000,000	2013/11/26
29265	Daiwa Capital Mkt	China Life European Warrants Jul 2014 B	0.25	26.08	2014/07/18	138,000,000	2013/11/26
29266	Daiwa Capital Mkt	China Life European Put Wts Jul 2014 C	0.25	22.8	2014/07/18	98,000,000	2013/11/26
29100	Daiwa Capital Mkt	HSCEI European Warrants Sep 2014 A	0.15	12,000 per 4,800 units	2014/09/29	100,000,000	2013/11/26
29268	Daiwa Capital Mkt	Ping An European Put Warrants Jun 2014 B	0.27	60	2014/06/03	80,000,000	2013/11/26
29223	HK Bank	CC Bank European Warrants Apr 2014 A	0.2	7.01 per unit	2014/04/29	100,000,000	2013/11/26
29222	HK Bank	CC Bank European Warrants Jul 2014 A	0.25	7.20 per unit	2014/07/14	80,000,000	2013/11/26
29221	HK Bank	China Life European Warrants May 2014 A	0.25	24.88	2014/05/30	100,000,000	2013/11/26
29220	HK Bank	China Life European Warrants Jul 2014 B	0.25	25.98	2014/07/31	100,000,000	2013/11/26
29105	HK Bank	HSI European Warrants May 2014 D	0.25	23,400 per 6,000 units	2014/05/29	150,000,000	2013/11/26
29107	HK Bank	HSI European Put Warrants May 2014 E	0.15	23,888 per 8,600 units	2014/05/29	150,000,000	2013/11/26
29108	HK Bank	HSI European Put Warrants May 2014 F	0.25	23,488 per 6,000 units	2014/05/29	150,000,000	2013/11/26
29219	HK Bank	Ping An European Warrants May 2014 A	0.3	80.88	2014/05/30	80,000,000	2013/11/26
29170	J P Morgan SP BV	BEA European Warrants Oct 2014 A	0.25	38	2014/10/03	50,000,000	2013/11/26
29189	J P Morgan SP BV	CITIC Pacific Euro Wts Jul 2015 A	0.69	14 per unit	2015/07/03	18,000,000	2013/11/26
29229	J P Morgan SP BV	China Life European Warrants Jul 2014 C	0.25	25.88	2014/07/02	300,000,000	2013/11/26
29195	J P Morgan SP BV	Chi Res Power European Wts May 2014 A	0.25	22.5	2014/05/26	100,000,000	2013/11/26
29188	J P Morgan SP BV	Zhuzhou CSR European Warrants Jul 2014 A	0.25	8.50 per unit	2014/07/03	40,000,000	2013/11/26
29228	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jun 2014 A	0.252	11,400 per 4,800 units	2014/06/27	300,000,000	2013/11/26
29227	J P Morgan Int'l Der. Ltd.	HSCEI European Wts Jul 2014 A	0.251	12,200 per 4,000 units	2014/07/30	300,000,000	2013/11/26
29225	J P Morgan SP BV	HSI European Warrants Jul 2014 A	0.25	25,200 per 6,200 units	2014/07/30	300,000,000	2013/11/26
29187	J P Morgan SP BV	Haitong Sec European Warrants Dec 2014 B	0.25	14.8	2014/12/03	100,000,000	2013/11/26
29184	J P Morgan SP BV	Luk Fook Hold European Wts May 2014 A	0.25	35	2014/05/28	50,000,000	2013/11/26
29183	J P Morgan SP BV	PICC European Warrants Feb 2015 A	0.25	13.8	2015/02/03	150,000,000	2013/11/26
29196	J P Morgan SP BV	Ping An European Put Warrants Jun 2014 B	0.25	62	2014/06/03	100,000,000	2013/11/26
29175	J P Morgan SP BV	Sinopharm European Warrants Sep 2014 A	0.25	28	2014/09/01	68,000,000	2013/11/26
29174	J P Morgan SP BV	SJM Holdings European Warrants Jul 2014 A	0.25	28.8	2014/07/02	100,000,000	2013/11/26
29160	Standard Chartered Bank	CC Bank European Wts Sep 2014 A	0.15	7.28 per unit	2014/09/11	80,000,000	2013/11/26
29155	Standard Chartered Bank	China Life European Wts Aug 2014 C	0.25	24.08	2014/08/29	150,000,000	2013/11/26
29159	Standard Chartered Bank	Sinopec Corp Euro Wts Jul 2014 A	0.25	8.08 per unit	2014/07/11	60,000,000	2013/11/26
29169	Standard Chartered Bank	HSCEI European Put Wts Jun 2014 A	0.15	10,988 per 6,600 units	2014/06/27	100,000,000	2013/11/26
29158	Standard Chartered Bank	PetroChina European Wts Aug 2014 A	0.25	11.68 per unit	2014/08/18	80,000,000	2013/11/26

Newly listed derivative warrants *(continued)*

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29164	Standard Chartered Bank	Ping An European Wts Jul 2014 A	0.31	78.88	2014/07/04	100,000,000	2013/11/26
29163	Standard Chartered Bank	Ping An Euro Put Wts Jun 2014 A	0.25	58.88	2014/06/05	100,000,000	2013/11/26
29109	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Jun14A	0.18	32	2014/06/04	150,000,000	2013/11/26
29111	SGA Societe Generale Acceptance N.V.	CAM CSI300 Eu Wt Sep14A	0.175	33.38	2014/09/03	150,000,000	2013/11/26
29240	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2014 A	0.25	25	2014/10/06	100,000,000	2013/11/26
29241	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2015 A	0.25	27.5	2015/06/03	100,000,000	2013/11/26
29242	SGA Societe Generale Acceptance N.V.	CMB Eu Wts Jul 2014 A	0.42	22 per unit	2014/07/02	30,000,000	2013/11/26
29144	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Aug 2014A	0.26	8 per unit	2014/08/04	70,000,000	2013/11/26
29218	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jun14A	0.25	11.50 per unit	2014/06/04	50,000,000	2013/11/26
29247	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Jun 2014 B	0.25	92	2014/06/04	100,000,000	2013/11/26
29254	SGA Societe Generale Acceptance N.V.	HSBC Eu Put Wt Jun14 C	0.355	80	2014/06/04	100,000,000	2013/11/26
29243	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 14 D	0.16	23,288 per 6,200 units	2014/04/29	300,000,000	2013/11/26
29246	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Apr 14 E	0.16	23,800 per 8,000 units	2014/04/29	300,000,000	2013/11/26
29113	SGA Societe Generale Acceptance N.V.	Petch Eu Wt May 2014A	0.215	10.88 per unit	2014/05/07	60,000,000	2013/11/26
29115	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 14 B	0.455	75	2014/07/02	100,000,000	2013/11/26
29131	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 14 C	0.3	80.88	2014/07/02	100,000,000	2013/11/26
29132	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 14 A	0.295	85	2014/08/04	100,000,000	2013/11/26
29134	SGA Societe Generale Acceptance N.V	Ping An Eu Put Wt Jul14D	0.3	60	2014/07/02	100,000,000	2013/11/26
29256	UBS AG	CC Bank European Put Warrants May 2014 A	0.25	5.58 per unit	2014/05/26	50,000,000	2013/11/26
29263	UBS AG	China Life European Warrants Mar 2014 A	0.19	24.05	2014/03/26	100,000,000	2013/11/26
29262	UBS AG	China Life European Warrants Jul 2014 C	0.25	25.38	2014/07/09	100,000,000	2013/11/26
29261	UBS AG	Sinopec Corp European Warrants Jun 2014 A	0.3	7.88 per unit	2014/06/30	80,000,000	2013/11/26
29257	UBS AG	HKEx European Warrants Mar 2014 A	0.24	155.1	2014/03/26	100,000,000	2013/11/26
29259	UBS AG	HSCEI European Warrants Sep 2014 A	0.15	12,000 per 4,800 units	2014/09/29	100,000,000	2013/11/26
29264	UBS AG	Ping An European Put Warrants Jun 2014 B	0.3	60	2014/06/10	100,000,000	2013/11/26
29343	BOCI Asia Ltd.	China Life European Put Warrants Oct 2014 A	0.25	22	2014/10/30	100,000,000	2013/11/27
29342	BOCI Asia Ltd.	Sinopec Corp European Warrants May 2014 A	0.33	7.38 per unit	2014/05/07	100,000,000	2013/11/27
29338	BOCI Asia Ltd.	HSI European Put Warrants Mar 2014 B	0.15	23,400 per 7,000 units	2014/03/28	100,000,000	2013/11/27
29409	BNP Paribas Arbit Issu B.V.	Agricultural Bank Eu Wt Jan15A	0.25	5.38 per unit	2015/01/05	100,000,000	2013/11/27
29582	BNP Paribas Arbit Issu B.V.	CNBM European Wts Jul 2016 A	0.26	8.88	2016/07/05	100,000,000	2013/11/27
29565	BNP Paribas Arbit Issu B.V.	Ch Oilfield Eur Wts Aug 2014 A	0.32	27.38	2014/08/04	100,000,000	2013/11/27
29402	BNP Paribas Arbit Issu B.V.	Sinopec Corp Eu Wts Jan 2015 A	0.25	9.88 per unit	2015/01/05	100,000,000	2013/11/27
29415	BNP Paribas Arbit Issu B.V.	CPIC European Wts Oct 2014 A	0.25	38	2014/10/06	100,000,000	2013/11/27
29564	BNP Paribas Arbit Issu B.V.	Ch Ship Cont Eur Wt Aug 2014 A	0.28	2.28 per unit	2014/08/04	75,000,000	2013/11/27
29403	BNP Paribas Arbit Issu B.V.	China Taiping Eu Wt Jul 2015 A	0.25	17.5	2015/07/03	60,000,000	2013/11/27
29401	BNP Paribas Arbit Issu B.V.	Esprit European Wts Oct 2014 A	0.25	19.3	2014/10/06	100,000,000	2013/11/27
29416	BNP Paribas Arbit Issu B.V.	GCL-Poly Euro Wts Aug 2014 A	0.25	3.60 per unit	2014/08/04	100,000,000	2013/11/27
29581	BNP Paribas Arbit Issu B.V.	Golden Eagle Eu Wt Jul 2015 A	0.26	12.68	2015/07/03	53,000,000	2013/11/27
29407	BNP Paribas Arbit Issu B.V.	Haier Elec Euro Wts Jun 2014 A	0.25	22.4	2014/06/04	75,000,000	2013/11/27
29412	BNP Paribas Arbit Issu B.V.	NCI European Wts Jun 2014 A	0.25	29.9	2014/06/04	40,000,000	2013/11/27
29414	BNP Paribas Arbit Issu B.V.	PICC European Wts Jan 2016 A	0.25	16	2016/01/05	100,000,000	2013/11/27
29413	BNP Paribas Arbit Issu B.V.	PICC Group Euro Wts Jan 2015 A	0.25	4.80 per unit	2015/01/05	55,000,000	2013/11/27
29562	BNP Paribas Arbit Issu B.V.	Ping An European Wt Aug 2014 A	0.25	88	2014/08/04	100,000,000	2013/11/27

Newly listed derivative warrants *(continued)*

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29499	Credit Suisse AG	China Coal European Warrants Mar 2014 A	0.2	6 per unit	2014/03/31	70,000,000	2013/11/27
29502	Credit Suisse AG	China Coal European Warrants Jun 2014 A	0.27	6 per unit	2014/06/23	70,000,000	2013/11/27
29524	Credit Suisse AG	China Life European Warrants May 2014 A	0.25	25.58	2014/05/26	80,000,000	2013/11/27
29525	Credit Suisse AG	CSR European Warrants Dec 2014 A	0.15	7	2014/12/22	70,000,000	2013/11/27
29527	Credit Suisse AG	A50 China European Warrants Aug 2014 A	0.15	9.18	2014/08/15	80,000,000	2013/11/27
29514	Credit Suisse AG	GCL-Poly Energy European Wts Apr 2014 B	0.18	3.61 per unit	2014/04/09	70,000,000	2013/11/27
29522	Credit Suisse AG	HKEx European Warrants May 2014 B	0.28	160	2014/05/26	70,000,000	2013/11/27
29496	Credit Suisse AG	HSBC European Wts Aug 2014 A	0.19	96.9	2014/08/01	70,000,000	2013/11/27
29528	Credit Suisse	SPDR Gold Trust European Warrants May 2014 A	0.37	1,050 per 100 units	2014/05/05	70,000,000	2013/11/27
29497	Credit Suisse AG	Shenzhen Int'l European Wts Sep 2014 A	0.16	1.09 per unit	2014/09/29	70,000,000	2013/11/27
29550	Citigroup Global Mkt H Inc.	HKEx Euro Put Wts Apr 2014 A	0.15	140 per 100 units	2014/04/28	100,000,000	2013/11/27
29531	Citigroup Global Mkt H Inc.	HSBC European Wts Mar 2014 C	0.2	90	2014/03/26	100,000,000	2013/11/27
29386	Daiwa Capital Mkt	HSCEI European Put Warrants Jun 2014 C	0.25	13,000 per 9,800 units	2014/06/27	100,000,000	2013/11/27
29379	Daiwa Capital Mkt	HSI European Warrants Jun 2014 A	0.15	24,500 per 6,400 units	2014/06/27	138,000,000	2013/11/27
29383	Daiwa Capital Mkt	HSI European Warrants Sep 2014 A	0.16	25,200 per 5,500 units	2014/09/29	138,000,000	2013/11/27
29384	Daiwa Capital Mkt	HSI European Put Warrants Jun 2014 B	0.25	25,000 per 10,800 units	2014/06/27	138,000,000	2013/11/27
29385	Daiwa Capital Mkt	Hutchison European Put Wts Jun 2014 A	0.35	86	2014/06/03	80,000,000	2013/11/27
29347	Goldman Sachs SP (Asia)	China Life Euro Put Wts Sep 2014 A	0.25	22.88	2014/09/29	80,000,000	2013/11/27
29358	Goldman Sachs SP (Asia)	HKEx European Warrants Jun 2014 B	0.25	160	2014/06/30	80,000,000	2013/11/27
29434	Goldman Sachs SP (Asia)	HSI European Warrants Jun 2014 A	0.156	24,700 per 6,000 units	2014/06/27	150,000,000	2013/11/27
29424	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2014 D	0.183	23,300 per 6,200 units	2014/04/29	150,000,000	2013/11/27
29432	Goldman Sachs SP (Asia)	HSI European Put Wts Apr 2014 E	0.151	22,700 per 6,000 units	2014/04/29	150,000,000	2013/11/27
29344	Goldman Sachs SP (Asia)	Haitong Sec Euro Wts Dec 2014 A	0.25	14	2014/12/31	80,000,000	2013/11/27
29312	HK Bank	Agricultural Bank European Warrants Jul 2014 C	0.25	4.38 per unit	2014/07/21	80,000,000	2013/11/27
29313	HK Bank	BOCL European Warrants Mar 2014 A	0.15	4.05 per unit	2014/03/24	80,000,000	2013/11/27
29320	HK Bank	CITIC Sec European Warrants Aug 2014 A	0.25	21.38	2014/08/29	100,000,000	2013/11/27
29317	HK Bank	Sinopec Corp European Warrants Jun 2014 A	0.25	7.98 per unit	2014/06/30	80,000,000	2013/11/27
29318	HK Bank	CPIC European Warrants Jun 2014 B	0.25	35.38	2014/06/30	80,000,000	2013/11/27
29335	HK Bank	HSCEI European Warrants Jun 2014 D	0.25	11,800 per 4,000 units	2014/06/27	200,000,000	2013/11/27
29336	HK Bank	HSCEI European Put Warrants May 2014 A	0.17	11,750 per 7,000 units	2014/05/29	200,000,000	2013/11/27
29322	HK Bank	HSI European Warrants Apr 2014 G	0.18	23,928 per 6,000 units	2014/04/29	200,000,000	2013/11/27
29325	HK Bank	HSI European Warrants Jul 2014 B	0.25	24,200 per 6,000 units	2014/07/30	200,000,000	2013/11/27
29332	HK Bank	HSI European Put Warrants Mar 2014 J	0.16	23,500 per 7,000 units	2014/03/28	200,000,000	2013/11/27
29311	J P Morgan SP BV	China Life European Warrants Oct 2014 A	0.15	28	2014/10/03	300,000,000	2013/11/27
29397	J P Morgan SP BV	China Life European Put Wts Oct 2014 B	0.25	22.5	2014/10/03	200,000,000	2013/11/27
29298	J P Morgan SP BV	China Railway European Wts Jun 2014 A	0.25	5.28 per unit	2014/06/04	50,000,000	2013/11/27
29396	J P Morgan SP BV	China Taiping European Wts Nov 2014 A	0.25	18	2014/11/03	60,000,000	2013/11/27
29297	J P Morgan SP BV	Esprit European Wts Oct 2014 A	0.25	19.88	2014/10/06	100,000,000	2013/11/27
29583	J P Morgan SP BV	HKEx European Warrants Apr 2014 A	0.348	155	2014/04/01	50,000,000	2013/11/27
29310	J P Morgan SP BV	HSCEI European Put Warrants May 2014 B	0.25	11,400 per 4,800 units	2014/05/29	300,000,000	2013/11/27
29309	J P Morgan SP BV	HSI European Put Warrants Jun 2014 B	0.25	23,200 per 8,000 units	2014/06/27	500,000,000	2013/11/27
29393	J P Morgan SP BV	Melco Int'l Dev European Wts Jul 2014 A	0.25	32	2014/07/03	80,000,000	2013/11/27
29388	J P Morgan SP BV	MGM China European Warrants Jun 2014 A	0.25	32	2014/06/04	80,000,000	2013/11/27

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29307	J P Morgan SP BV	Weichai Power European Wts Jun 2014 A	0.25	38.88	2014/06/04	50,000,000	2013/11/27
29480	Macquarie Bank Ltd.	China Life European Wts Aug 2014 A	0.252	25.75	2014/08/04	40,000,000	2013/11/27
29291	Macquarie Bank Ltd.	Sinopec Corp European Wts Sep 2014 A	0.25	8.78 per unit	2014/09/02	40,000,000	2013/11/27
29465	Macquarie Bank Ltd.	CPIC European Warrants Jun 2014 A	0.25	35	2014/06/04	40,000,000	2013/11/27
29290	Macquarie Bank Ltd.	China Shenhua European Wts Sep 2014 A	0.25	29.98	2014/09/02	40,000,000	2013/11/27
29361	Macquarie Bank Ltd.	Ping An European Warrants Jun 2014 A	0.25	85	2014/06/04	40,000,000	2013/11/27
29295	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts May 2014 B	0.25	21,988 per 6,000 units	2014/05/29	100,000,000	2013/11/27
29296	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts May 2014 C	0.25	22,900 per 6,000 units	2014/05/29	100,000,000	2013/11/27
29292	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts May 2014 A	0.25	80	2014/05/29	40,000,000	2013/11/27
29495	Standard Chartered Bank	HKEx European Warrants Jul 2014 B	0.25	172	2014/07/02	80,000,000	2013/11/27
29481	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Oct 2014 B	0.15	28	2014/10/06	180,000,000	2013/11/27
29482	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jun 2014 D	0.385	160	2014/06/04	50,000,000	2013/11/27
29483	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt Jun14E	0.415	120	2014/06/04	50,000,000	2013/11/27
29494	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 14 D	0.205	485 per 100 units	2014/05/07	150,000,000	2013/11/27
29464	UBS AG	Cheung Kong European Warrants Apr 2014 A	0.15	149	2014/04/03	100,000,000	2013/11/27
29435	UBS AG	China Life European Warrants Sep 2014 A	0.15	28.05	2014/09/25	100,000,000	2013/11/27
29458	UBS AG	China Mobile European Warrants May 2014 A	0.31	85.23	2014/05/07	100,000,000	2013/11/27
29363	UBS AG	Esprit European Warrants Jun 2014 A	0.25	18.88	2014/06/30	100,000,000	2013/11/27
29463	UBS AG	A50 China European Warrants Apr 2014 A	0.5	10.52 per unit	2014/04/16	100,000,000	2013/11/27
29461	UBS AG	A50 China European Warrants Dec 2015 A	0.17	9.39	2015/12/23	300,000,000	2013/11/27
29436	UBS AG	ICBC European Warrants Apr 2014 B	0.16	6.29 per unit	2014/04/30	100,000,000	2013/11/27
29459	UBS AG	Tencent Holdings European Put Warrants Jun 2014 B	0.15	333.18 per 100 units	2014/06/03	100,000,000	2013/11/27
29633	BOCI Asia Ltd.	HKEx European Warrants Dec 2014 A	0.15	142 per 100 units	2014/12/24	100,000,000	2013/11/28
29626	BOCI Asia Ltd.	HKEx European Put Warrants Jun 2014 B	0.15	139.80 per 100 units	2014/06/30	100,000,000	2013/11/28
29620	BOCI Asia Ltd.	Lenovo European Warrants Jun 2014 A	0.34	11 per unit	2014/06/30	100,000,000	2013/11/28
29621	BOCI Asia Ltd.	PetroChina European Warrants May 2014 A	0.3	10.88 per unit	2014/05/13	100,000,000	2013/11/28
29754	Credit Suisse AG	CC Bank European Put Warrants May 2014 A	0.25	5.53 per unit	2014/05/30	70,000,000	2013/11/28
29769	Credit Suisse AG	CITIC Pacific European Wts Apr 2014 A	0.32	13.90 per unit	2014/04/28	60,000,000	2013/11/28
29780	Credit Suisse AG	CSR Times European Warrants May 2014 A	0.25	32.88	2014/05/30	60,000,000	2013/11/28
29765	Credit Suisse AG	Esprit European Warrants Jun 2014 A	0.19	18.2	2014/06/23	70,000,000	2013/11/28
29775	Credit Suisse AG	Gome European Warrants Oct 2014 A	0.25	1.72 per unit	2014/10/06	60,000,000	2013/11/28
29635	Credit Suisse AG	HSI European Warrants Jun 2014 A	0.15	24,600 per 6,000 units	2014/06/27	200,000,000	2013/11/28
29770	Credit Suisse AG	Kingsoft European Warrants May 2014 A	0.21	22.1	2014/05/30	80,000,000	2013/11/28
29646	Credit Suisse AG	Ping An European Warrants May 2014 B	0.25	86.88	2014/05/30	80,000,000	2013/11/28
29774	Credit Suisse AG	SkyworthDig European Warrants May 2014 A	0.25	5.28 per unit	2014/05/30	60,000,000	2013/11/28
29811	Citigroup Global Mkt H Inc.	CC Bank European Wt Apr 2014 C	0.195	6.88 per unit	2014/04/22	100,000,000	2013/11/28
29809	Citigroup Global Mkt H Inc.	CGS European Warrants May 14 B	0.51	7.18 per unit	2014/05/27	35,000,000	2013/11/28
29735	Daiwa Capital Mkt	Galaxy Ent European Warrants Apr 2014 C	0.21	69.98	2014/04/24	80,000,000	2013/11/28
29729	Daiwa Capital Mkt	HSBC European Warrants Sep 2014 A	0.15	104.98	2014/09/24	128,000,000	2013/11/28
29734	Daiwa Capital Mkt	HSBC European Put Warrants Apr 2014 C	0.43	84.95	2014/04/08	80,000,000	2013/11/28
29737	Daiwa Capital Mkt	Hutchison European Warrants Apr 2014 B	0.25	105.98	2014/04/08	128,000,000	2013/11/28
29738	Daiwa Capital Mkt	PetroChina European Warrants May 2014 A	0.25	10.88 per unit	2014/05/02	98,000,000	2013/11/28
29614	HK Bank	CNOOC European Warrants Feb 2015 A	0.16	16.02	2015/02/23	100,000,000	2013/11/28

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
29615	HK Bank	HKEx European Warrants May 2014 A	0.3	159.88	2014/05/30	60,000,000	2013/11/28
29616	HK Bank	HKEx European Warrants Dec 2014 B	0.15	141.90 per 100 units	2014/12/31	150,000,000	2013/11/28
29619	HK Bank	HSCEI European Warrants Jun 2014 E	0.25	11,800 per 4,200 units	2014/06/27	150,000,000	2013/11/28
29618	HK Bank	HSI European Warrants May 2014 G	0.25	24,400 per 6,400 units	2014/05/29	150,000,000	2013/11/28
29617	HK Bank	ICBC European Warrants Jul 2014 C	0.15	6.49 per unit	2014/07/31	100,000,000	2013/11/28
29613	J P Morgan SP BV	Anhui Conch European Warrants Jul 2014 A	0.25	35	2014/07/03	100,000,000	2013/11/28
29605	J P Morgan SP BV	China Mob European Wts Apr 2014 D	0.151	88.8	2014/04/01	200,000,000	2013/11/28
29604	J P Morgan SP BV	Sinopec Corp European Warrants Jul 2014 A	0.25	8.28 per unit	2014/07/02	80,000,000	2013/11/28
29586	J P Morgan SP BV	China Shenhua European Wts Sep 2014 A	0.25	30	2014/09/01	200,000,000	2013/11/28
29585	J P Morgan SP BV	A50 China European Warrants Jun 2014 A	0.355	11.28 per unit	2014/06/03	120,000,000	2013/11/28
29793	Macquarie Bank Ltd.	Avichina European Warrants Jun 2014 A	0.25	5.75 per unit	2014/06/04	100,000,000	2013/11/28
29795	Macquarie Bank Ltd.	China COSCO European Wts Jul 2014 A	0.251	5 per unit	2014/07/03	100,000,000	2013/11/28
29719	Macquarie Bank Ltd.	China Coal European Wts Jul 2014 A	0.251	6.28 per unit	2014/07/03	100,000,000	2013/11/28
29783	Macquarie Bank Ltd.	China EB Ltd Euro Warrants Jan 2015 A	0.25	13.88	2015/01/05	100,000,000	2013/11/28
29794	Macquarie Bank Ltd.	Cathay Pacific European Wts Apr 2015 A	0.25	18.5	2015/04/02	100,000,000	2013/11/28
29698	Standard Chartered Bank	BOCL European Put Wts Jun 2014 A	0.15	3.17 per unit	2014/06/24	80,000,000	2013/11/28
29694	Standard Chartered Bank	China Life Euro Put Wts Aug 2014 D	0.25	22.88	2014/08/25	100,000,000	2013/11/28
29665	Standard Chartered Bank	A50 China Euro Warrants Jun 2014 B	0.25	11.88 per unit	2014/06/10	80,000,000	2013/11/28
29666	Standard Chartered Bank	A50 China Euro Warrants Dec 2016 A	0.214	8.87	2016/12/28	100,000,000	2013/11/28
29693	Standard Chartered Bank	HKEx European Warrants Dec 2014 A	0.15	142.10 per 100 units	2014/12/24	150,000,000	2013/11/28
29713	Standard Chartered Bank	HSBC European Warrants Apr 2014 A	0.32	86.9	2014/04/01	100,000,000	2013/11/28
29716	Standard Chartered Bank	HSBC European Put Wts Jun 2014 A	0.27	78.88	2014/06/05	100,000,000	2013/11/28
29663	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt Apr 14 C	0.15	90.9	2014/04/09	100,000,000	2013/11/28
29742	UBS AG	CAM CSI300 European Warrants Aug 2014 A	0.18	33.43	2014/08/22	100,000,000	2013/11/28
29741	UBS AG	Evergrande European Warrants Apr 2014 A	0.22	3.89 per unit	2014/04/25	50,000,000	2013/11/28
29740	UBS AG	Sands China European Warrants May 2014 A	0.32	64.05	2014/05/05	40,000,000	2013/11/28
29753	UBS AG	SHK Ppt European Warrants May 2014 A	0.17	120.1	2014/05/19	60,000,000	2013/11/28
29748	UBS AG	SPDR Gold Trust European Warrants May 2014 B	0.5	988 per 100 units	2014/05/27	100,000,000	2013/11/28
29739	UBS AG	Yanzhou Coal European Wts Aug 2014 A	0.15	7.01	2014/08/26	100,000,000	2013/11/28
11005	BNP Paribas Arbit Issu B.V.	China EB Int'l Eu Wts Oct 16 A	0.26	8.28	2016/10/04	150,000,000	2013/11/29
11008	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Jun 2015 A	0.25	30	2015/06/02	100,000,000	2013/11/29
11007	BNP Paribas Arbit Issu B.V.	Haier Elec Euro Wts Nov 2014 B	0.38	20	2014/11/04	75,000,000	2013/11/29
11006	BNP Paribas Arbit Issu B.V.	Sino Land Euro Wt Dec 2016 A	0.25	11.8	2016/12/02	100,000,000	2013/11/29
11009	Credit Suisse AG	Ping An European Warrants May 2014 C	0.25	94.88	2014/05/30	80,000,000	2013/11/29
29943	Daiwa Capital Mkt	Sinopec Corp European Wts Sep 2014 A	0.25	9.28 per unit	2014/09/01	80,000,000	2013/11/29
29957	Daiwa Capital Mkt	CSOP A50 ETF European Wts May 2014 A	0.15	11.98 per unit	2014/05/02	80,000,000	2013/11/29
29944	Daiwa Capital Mkt	HKEx European Warrants Jul 2014 B	0.25	180	2014/07/02	80,000,000	2013/11/29
29972	Daiwa Capital Mkt	Ping An European Warrants Aug 2014 A	0.25	100	2014/08/01	108,000,000	2013/11/29
29946	Daiwa Capital Mkt	Tencent Holdings Euro Put Wts Jun 2014 B	0.25	378 per 100 units	2014/06/03	98,000,000	2013/11/29
29842	Goldman Sachs SP (Asia)	HSCEI European Warrants Sep 2014 A	0.159	12,000 per 4,800 units	2014/09/29	150,000,000	2013/11/29
29834	Goldman Sachs SP (Asia)	HSCEI European Warrants Oct 2014 A	0.25	11,800 per 4,000 units	2014/10/30	150,000,000	2013/11/29
29833	Goldman Sachs SP (Asia)	HSCEI European Put Wts May 2014 A	0.168	11,788 per 7,000 units	2014/05/29	150,000,000	2013/11/29
29825	Goldman Sachs SP (Asia)	HSCEI European Put Wts Jun 2014 B	0.15	10,988 per 6,600 units	2014/06/27	150,000,000	2013/11/29

Newly listed derivative warrants *(continued)*

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29845	HK Bank	AIA European Warrants Mar 2014 D	0.15	41.05	2014/03/26	100,000,000	2013/11/29
29869	HK Bank	China Overseas European Warrants Jun 2014 A	0.15	26.23	2014/06/23	100,000,000	2013/11/29
29868	HK Bank	Ping An European Warrants May 2014 B	0.25	83.88	2014/05/28	60,000,000	2013/11/29
29880	HK Bank	Yanzhou Coal European Warrants Aug 2014 B	0.16	7.01	2014/08/26	100,000,000	2013/11/29
11001	Macquarie Bank Ltd.	AIA European Warrants Jul 2014 A	0.25	44.5	2014/07/03	40,000,000	2013/11/29
11004	Macquarie Bank Ltd.	KECL European Warrants Aug 2015 A	0.251	15.88	2015/08/04	80,000,000	2013/11/29
11003	Macquarie Bank Ltd.	Luk Fook Hold European Wts Jul 2014 B	0.25	38	2014/07/17	40,000,000	2013/11/29
11002	Macquarie Bank Ltd.	PICC P&C European Warrants Jul 2015 A	0.25	14.25	2015/07/03	40,000,000	2013/11/29
29941	Standard Chartered Bank	China Life European Wts Sep 2014 A	0.17	28.05	2014/09/25	150,000,000	2013/11/29
29922	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jun 2014 A	0.25	25	2014/06/04	180,000,000	2013/11/29
29905	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Dec14A	0.15	19.88	2014/12/03	180,000,000	2013/11/29
29921	SGA Societe Generale Acceptance N.V.	Foxconn Eu Wt Jun14 A	0.47	4.50 per unit	2014/06/04	35,000,000	2013/11/29
29939	SGA Societe Generale Acceptance N.V.	HKEx Eu Wt Jul 2014 A	0.27	175	2014/07/02	50,000,000	2013/11/29
29940	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 14 E	0.25	90	2014/07/02	100,000,000	2013/11/29
22863 #	Merrill Lynch Int'l & Co. C.V.	HKEx Euro Wts Jul 2014 A	0.063	148.99 per 100 units	2014/07/23	160,000,000	2013/11/29
11031	Citigroup Global Mkt H Inc.	Ping An Euro Wts May 2014 C	0.25	88.88	2014/05/23	80,000,000	2013/12/02
11021	Daiwa Capital Mkt	China Life European Warrants Aug 2014 A	0.15	28.88	2014/08/01	138,000,000	2013/12/02
11026	Daiwa Capital Mkt	China Mobile European Wts May 2014 A	0.27	85.2	2014/05/02	128,000,000	2013/12/02
11025	Daiwa Capital Mkt	China Mobile European Wts Jul 2014 A	0.19	91.9	2014/07/21	128,000,000	2013/12/02
11027	Daiwa Capital Mkt	China Mobile European Put Wts Jun 2014 B	0.47	80	2014/06/09	80,000,000	2013/12/02
11028	Daiwa Capital Mkt	ICBC European Put Warrants May 2014 B	0.17	5.09 per unit	2014/05/02	80,000,000	2013/12/02
11022	Goldman Sachs SP (Asia)	Belle Int'l Euro Wts Dec 2015 A	0.25	10.88	2015/12/30	80,000,000	2013/12/02
11023	Goldman Sachs SP (Asia)	China Life Euro Wts Sep 2014 B	0.172	28	2014/09/29	150,000,000	2013/12/02
11024	Goldman Sachs SP (Asia)	China Shenhua Euro Wts Oct 2014 A	0.25	30	2014/10/30	100,000,000	2013/12/02
11016	HK Bank	China Life European Warrants Sep 2014 A	0.15	28.03	2014/09/25	100,000,000	2013/12/02
11017	HK Bank	Foxconn European Warrants Jun 2014 A	0.3	4.38 per unit	2014/06/30	60,000,000	2013/12/02
11013	HK Bank	A50 China European Warrants Jun 2014 B	0.33	10.88 per unit	2014/06/03	60,000,000	2013/12/02
11029	UBS AG	China Shenhua European Warrants Apr 2014 A	0.17	28.33	2014/04/01	80,000,000	2013/12/02
11030	UBS AG	Ping An European Warrants Jun 2014 C	0.38	80.88	2014/06/17	100,000,000	2013/12/02
11048	BOCI Asia Ltd.	China Life European Warrants Sep 2014 A	0.16	28	2014/09/29	100,000,000	2013/12/03
11084	Credit Suisse AG	CITIC Sec European Warrants Aug 2014 A	0.23	21.9	2014/08/25	60,000,000	2013/12/03
11086	Credit Suisse AG	China Life European Warrants Sep 2014 A	0.15	28	2014/09/29	70,000,000	2013/12/03
11087	Credit Suisse AG	China Life European Put Warrants Jun 14 A	0.15	21.98	2014/06/30	70,000,000	2013/12/03
11085	Credit Suisse AG	Esprit European Warrants Sep 2014 A	0.23	19.99	2014/09/29	60,000,000	2013/12/03
11083	Credit Suisse AG	A50 China European Warrants Apr 2014 B	0.15	12.22 per unit	2014/04/28	80,000,000	2013/12/03
11089	Credit Suisse	PetroChina European Put Warrants Jun 2014 A	0.15	10.16	2014/06/30	70,000,000	2013/12/03
11093	Citigroup Global Mkt H Inc.	Henganintl Euro Wts Jun 2014 A	0.29	123	2014/06/03	50,000,000	2013/12/03
11091	Citigroup Global Mkt H Inc.	HKEx Euro Put Wts Sep 2015 A	0.19	128.80 per 100 units	2015/09/21	200,000,000	2013/12/03
11094	Citigroup Global Mkt H Inc.	SPDR Gold T Euro Wt Jun 2014 A	0.5	1,000 per 100 units	2014/06/03	60,000,000	2013/12/03
11095	Citigroup Global Mkt H Inc.	Tencent European Wts Apr 2014 B	0.24	478 per 100 units	2014/04/15	80,000,000	2013/12/03
11092	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Apr 2014 A	0.26	410 per 100 units	2014/04/15	80,000,000	2013/12/03
11071	Daiwa Capital Mkt	AIA European Warrants Dec 2014 A	0.15	48.88	2014/12/19	128,000,000	2013/12/03
11069	Daiwa Capital Mkt	AIA European Put Warrants Apr 2014 B	0.21	37.88	2014/04/15	80,000,000	2013/12/03

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11072	Daiwa Capital Mkt	China Telecom European Wts Jun 2014 B	0.25	4.48 per unit	2014/06/18	80,000,000	2013/12/03
11067	Daiwa Capital Mkt	A50 China European Put Wts Nov 2014 B	0.17	10.98	2014/11/18	108,000,000	2013/12/03
11068	Daiwa Capital Mkt	Tencent Holdings European Wts Dec 2014 A	0.25	688 per 100 units	2014/12/01	108,000,000	2013/12/03
11038	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2014 A	0.298	11 per unit	2014/06/30	100,000,000	2013/12/03
11039	Goldman Sachs SP (Asia)	A50 China Euro Put Wts Jun 2014 B	0.323	9 per unit	2014/06/30	100,000,000	2013/12/03
11053	J P Morgan SP BV	Biostime European Warrants Jul 2015 A	0.25	83 per 100 units	2015/07/02	150,000,000	2013/12/03
11052	J P Morgan SP BV	China Mengniu European Warrants Jun 2014A	0.25	42	2014/06/03	80,000,000	2013/12/03
11051	J P Morgan SP BV	PICC Group European Warrants Jun 2014 A	0.25	4.50 per unit	2014/06/04	100,000,000	2013/12/03
11041	Macquarie Bank Ltd.	CC Bank European Warrants Aug 2014 A	0.255	7 per unit	2014/08/04	100,000,000	2013/12/03
11043	Macquarie Bank Ltd.	China Overseas European Wts Sep 2014 A	0.161	26.88	2014/09/29	100,000,000	2013/12/03
11047	Macquarie Bank Ltd.	Haier Elec European Wts Oct 2014 A	0.256	22	2014/10/06	100,000,000	2013/12/03
11046	Macquarie Bank Ltd.	HKEx European Warrants Aug 2014 A	0.257	180	2014/08/04	100,000,000	2013/12/03
11042	Macquarie Bank Ltd.	Shui On Land European Wts Jan 2015 A	0.252	3 per unit	2015/01/05	100,000,000	2013/12/03
11066	Macquarie Bank Ltd.	Yanzhou Coal European Wts Aug 2014 A	0.303	11.98 per unit	2014/08/04	100,000,000	2013/12/03
11032	Merrill Lynch Int'l & Co. C.V.	China Life Eu Wt Jul 2014 A	0.193	26.1	2014/07/11	60,000,000	2013/12/03
11035	Merrill Lynch Int'l & Co. C.V.	Sinopec Euro Wts Jun 2014 A	0.285	7.60 per unit	2014/06/03	40,000,000	2013/12/03
11037	Merrill Lynch Int'l & Co. C.V.	HSI European Wts Aug 2014 A	0.25	25,300 per 6,000 units	2014/08/28	100,000,000	2013/12/03
11033	Merrill Lynch Int'l & Co. C.V.	HSI Euro Put Wts Jun 2014 C	0.25	23,400 per 7,000 units	2014/06/27	100,000,000	2013/12/03
11036	Merrill Lynch Int'l & Co. C.V.	PetroChina Eu Wt Jun 2014 A	0.27	10.60 per unit	2014/06/03	40,000,000	2013/12/03
11034	Merrill Lynch Int'l & Co. C.V.	Ping An Euro Wts Jul 2014 A	0.265	86	2014/07/04	40,000,000	2013/12/03
11050	Standard Chartered Bank	Agricultural Bk Eur Wts Sep 2014 A	0.25	4.55 per unit	2014/09/29	80,000,000	2013/12/03
11049	Standard Chartered Bank	China Mobile Euro Wts Jul 2014 B	0.17	91.9	2014/07/28	100,000,000	2013/12/03
11078	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Jul 2014 B	0.195	26.08	2014/07/14	180,000,000	2013/12/03
11055	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Jul 14 D	0.25	525 per 100 units	2014/07/02	150,000,000	2013/12/03
11065	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Jun14B	0.25	390 per 100 units	2014/06/04	150,000,000	2013/12/03
23486 #	Standard Chartered Bank	China Life European Wts Aug 2014 A	0.117	28.88	2014/08/08	100,000,000	2013/12/03
11120	Credit Suisse AG	CC Bank European Warrants Mar 2014 B	0.15	7.19 per unit	2014/03/31	70,000,000	2013/12/04
11118	Credit Suisse AG	CC Bank European Warrants Sep 2014 A	0.18	7.29 per unit	2014/09/15	70,000,000	2013/12/04
11124	Credit Suisse AG	CM Bank European Warrants Jun 2014 A	0.27	22 per unit	2014/06/30	60,000,000	2013/12/04
11123	Credit Suisse AG	CNBM European Warrants Mar 2014 B	0.18	11.52 per unit	2014/03/31	60,000,000	2013/12/04
11130	Credit Suisse AG	KECL European Warrants Jun 2014 B	0.25	18.58 per unit	2014/06/09	60,000,000	2013/12/04
11131	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 D	0.15	540 per 100 units	2014/04/28	100,000,000	2013/12/04
11127	Credit Suisse AG	Yanzhou Coal European Warrants Jun 2014 A	0.25	11.68 per unit	2014/06/03	60,000,000	2013/12/04
11144	Citigroup Global Mkt H Inc.	CAM CSI300 Euro Wts Jun 2014 A	0.265	30	2014/06/03	100,000,000	2013/12/04
11137	Daiwa Capital Mkt	Agricultural Bank Euro Put Wt Jul 2014 A	0.25	3.48 per unit	2014/07/02	80,000,000	2013/12/04
11139	Daiwa Capital Mkt	China Life European Put Wts Jul 2014 D	0.3	25	2014/07/02	98,000,000	2013/12/04
11135	Daiwa Capital Mkt	Sinopec Corp European Put Wts Jun 2014 A	0.34	6.18 per unit	2014/06/18	68,000,000	2013/12/04
11134	Daiwa Capital Mkt	CPIC European Warrants Aug 2014 A	0.25	38.08	2014/08/01	98,000,000	2013/12/04
11141	Daiwa Capital Mkt	PICC European Warrants Jan 2015 A	0.19	13.8	2015/01/27	98,000,000	2013/12/04
11105	Goldman Sachs SP (Asia)	CR Cement European Wts Jun 2014 A	0.25	6 per unit	2014/06/30	48,000,000	2013/12/04
11106	Goldman Sachs SP (Asia)	HSBC European Warrants Aug 2014 A	0.153	96.88	2014/08/01	80,000,000	2013/12/04
11107	Goldman Sachs SP (Asia)	Lenovo European Wts Jun 2014 A	0.357	10.48 per unit	2014/06/30	80,000,000	2013/12/04
11102	Goldman Sachs SP (Asia)	PICC European Warrants Jan 2015 A	0.15	13.8	2015/01/27	80,000,000	2013/12/04

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11109	Goldman Sachs SP (Asia)	Ping An European Wts Jun 2014 B	0.25	86.88	2014/06/30	68,000,000	2013/12/04
11099	HK Bank	China Life European Put Warrants Jun 2014 A	0.15	21.95	2014/06/24	80,000,000	2013/12/04
11101	HK Bank	China Mobile European Warrants Apr 2014 D	0.15	88.93	2014/04/24	80,000,000	2013/12/04
11096	HK Bank	HSCEI European Warrants Jul 2014 A	0.19	12,260 per 4,000 units	2014/07/30	150,000,000	2013/12/04
11098	HK Bank	PICC European Warrants Jan 2015 A	0.19	13.82	2015/01/27	80,000,000	2013/12/04
11097	HK Bank	Ping An European Put Warrants Jun 2014 A	0.3	63.88	2014/06/30	80,000,000	2013/12/04
11145	J P Morgan SP BV	HS Bank European Warrants Jun 2014 A	0.251	138.88	2014/06/04	40,000,000	2013/12/04
11142	SGA Societe Generale Acceptance N.V.	Ch Mob Eu Wt May 14 D	0.15	94.88	2014/05/07	100,000,000	2013/12/04
11143	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2014 E	0.15	96.05	2014/04/09	100,000,000	2013/12/04
11110	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2014 A	0.25	100	2014/08/04	100,000,000	2013/12/04
11117	UBS AG	Anhui Conch European Warrants Jul 2014 A	0.25	33.88	2014/07/21	70,000,000	2013/12/04
11116	UBS AG	China Life European Warrants Aug 2014 B	0.15	28.93	2014/08/01	100,000,000	2013/12/04
11113	UBS AG	PICC Group European Warrants Jun 2014 A	0.25	4.88 per unit	2014/06/03	60,000,000	2013/12/04
11114	UBS AG	Yurun Food European Warrants Apr 2014 A	0.15	7.01 per unit	2014/04/28	70,000,000	2013/12/04
11162	BNP Paribas Arbit Issu B.V.	Anhui Conch Euro Wt Jan 2015 A	0.25	39.9	2015/01/05	100,000,000	2013/12/05
11166	BNP Paribas Arbit Issu B.V.	BOCL European Put Wts Jul 2014 A	0.28	3.40 per unit	2014/07/03	100,000,000	2013/12/05
11163	BNP Paribas Arbit Issu B.V.	Brilliance Chi Eu Wt Apr 2015A	0.25	16.6	2015/04/02	100,000,000	2013/12/05
11164	BNP Paribas Arbit Issu B.V.	China Life Euro Wts Oct 2015 A	0.25	36.6	2015/10/05	100,000,000	2013/12/05
11159	BNP Paribas Arbit Issu B.V.	Tencent Eu Put Wt Jun 2014 A	0.25	390 per 100 units	2014/06/04	100,000,000	2013/12/05
11205	Daiwa Capital Mkt	Agricultural Bank European Wts Jul 2014 B	0.25	4.68 per unit	2014/07/15	108,000,000	2013/12/05
11206	Daiwa Capital Mkt	BOCL European Warrants Aug 2014 B	0.25	4.28 per unit	2014/08/01	108,000,000	2013/12/05
11209	Daiwa Capital Mkt	BOCL European Put Warrants Jun 2014 A	0.6	4.08 per unit	2014/06/16	68,000,000	2013/12/05
11208	Daiwa Capital Mkt	Galaxy Ent European Put Wts Jun 2014 A	0.3	51.8	2014/06/18	80,000,000	2013/12/05
11207	Daiwa Capital Mkt	Sands China European Put Wts Jun 2014 A	0.25	46	2014/06/10	80,000,000	2013/12/05
11170	Goldman Sachs SP (Asia)	Anhui Conch Euro Wts Jul 2014 A	0.25	33	2014/07/02	80,000,000	2013/12/05
11171	Goldman Sachs SP (Asia)	CR Cement European Wts Jul 2014 A	0.253	6 per unit	2014/07/02	68,000,000	2013/12/05
11169	Goldman Sachs SP (Asia)	Chi Res Land Euro Wts Sep 2014 A	0.251	22.88	2014/09/29	80,000,000	2013/12/05
11172	Goldman Sachs SP (Asia)	Huaneng European Wts Jul 2014 A	0.362	8.38 per unit	2014/07/30	68,000,000	2013/12/05
11146	HK Bank	Agricultural Bank European Warrants May 2014 A	0.2	4.21 per unit	2014/05/02	80,000,000	2013/12/05
11147	HK Bank	China Life European Warrants Jun 2014 B	0.3	23.88	2014/06/30	80,000,000	2013/12/05
11148	HK Bank	Minsheng Bank European Warrants Jul 2014 B	0.45	10.88 per unit	2014/07/31	50,000,000	2013/12/05
11200	J P Morgan SP BV	Agricultural Bk Euro Warrants Jun 2014 A	0.251	4.60 per unit	2014/06/04	150,000,000	2013/12/05
11199	J P Morgan SP BV	Belle Int'l European Warrants Dec 2015 A	0.25	11	2015/12/01	100,000,000	2013/12/05
11192	J P Morgan SP BV	CITIC Pacific Euro Wts Oct 2016 A	0.251	11.8	2016/10/04	100,000,000	2013/12/05
11195	J P Morgan SP BV	Ch LongYuan European Warrants Dec 2015 A	0.25	11.88	2015/12/02	150,000,000	2013/12/05
11196	J P Morgan SP BV	Cathay Pacific European Warrants Feb 15 A	0.251	18.8	2015/02/05	70,000,000	2013/12/05
11194	J P Morgan SP BV	Chi Res Land European Warrants Jun 2014 A	0.25	22.88	2014/06/04	150,000,000	2013/12/05
11202	J P Morgan SP BV	Ch Ship Cont European Warrants Jun 2014 A	0.224	2.38 per unit	2014/06/23	100,000,000	2013/12/05
11193	J P Morgan SP BV	China Unicom European Warrants Dec 2014 A	0.15	13	2014/12/24	200,000,000	2013/12/05
11191	J P Morgan SP BV	Datang European Wts Jul 2014 A	0.251	3.88 per unit	2014/07/25	40,000,000	2013/12/05
11190	J P Morgan SP BV	Esprit European Put Warrants Aug 2014 A	0.25	15	2014/08/04	100,000,000	2013/12/05
11189	J P Morgan SP BV	Foxconn European Warrants Jun 2014 A	0.25	5 per unit	2014/06/04	40,000,000	2013/12/05
11201	J P Morgan SP BV	Henganintl European Warrants Dec 2015 A	0.25	100.88 per 100 units	2015/12/30	150,000,000	2013/12/05

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11187	J P Morgan SP BV	Henderson Land Dev European Wt Jul 2014 A	0.25	50	2014/07/03	80,000,000	2013/12/05
11186	J P Morgan SP BV	HSBC European Warrants Jun 2014 A	0.25	96	2014/06/04	200,000,000	2013/12/05
11185	J P Morgan SP BV	Huaneng Power European Wts Jun 2014 A	0.251	9.88 per unit	2014/06/04	50,000,000	2013/12/05
11184	J P Morgan SP BV	ICBC European Warrants Jul 2014 B	0.251	6.08 per unit	2014/07/03	200,000,000	2013/12/05
11183	J P Morgan SP BV	ICBC European Put Wts Jul 2014 A	0.251	4.80 per unit	2014/07/03	200,000,000	2013/12/05
11151	Macquarie Bank Ltd.	BYD European Warrants Jul 2014 A	0.295	49.98	2014/07/03	100,000,000	2013/12/05
11152	Macquarie Bank Ltd.	CLP European Warrants Nov 2014 A	0.25	69.98	2014/11/04	100,000,000	2013/12/05
11154	SGA Societe Generale Acceptance N.V.	AAC Tech Eu Wt Jul14A	0.4	40.18	2014/07/02	50,000,000	2013/12/05
11156	SGA Societe Generale Acceptance N.V.	AAC Tech Eu Wt Aug14A	0.26	48.88	2014/08/04	50,000,000	2013/12/05
11179	SGA Societe Generale Acceptance N.V.	Anton Oil Eu Wt Jul14A	0.71	6 per unit	2014/07/02	35,000,000	2013/12/05
11215	SGA Societe Generale Acceptance N.V.	CITIC Pac Eu Wt Jul14A	0.37	14.50 per unit	2014/07/02	30,000,000	2013/12/05
11221	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Oct14A	0.25	23.5	2014/10/06	100,000,000	2013/12/05
11213	SGA Societe Generale Acceptance N.V.	CLife Eu Wt Aug 2014 B	0.15	28.88	2014/08/04	180,000,000	2013/12/05
11214	SGA Societe Generale Acceptance N.V.	CLife Eu Put Wt Aug14C	0.25	24.5	2014/08/04	150,000,000	2013/12/05
11176	SGA Societe Generale Acceptance N.V.	CRCC Eu Wt Jun 2014 A	0.44	10.50 per unit	2014/06/04	30,000,000	2013/12/05
11177	SGA Societe Generale Acceptance N.V.	Shenhua Eu Wt Sep 14 A	0.19	30	2014/09/03	100,000,000	2013/12/05
11157	SGA Societe Generale Acceptance N.V.	CSR Euro Wts Jul 14 A	0.48	8.60 per unit	2014/07/02	25,000,000	2013/12/05
11216	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Jul14A	0.25	3.30 per unit	2014/07/02	60,000,000	2013/12/05
11217	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Jul14A	0.25	5.25 per unit	2014/07/02	60,000,000	2013/12/05
11181	SGA Societe Generale Acceptance NV	HuanengPower Eu Wt Jun14A	0.385	8.80 per unit	2014/06/04	40,000,000	2013/12/05
11158	SGA Societe Generale Acceptance N.V.	HWL Eur Wt May 2014 C	0.225	115	2014/05/07	70,000,000	2013/12/05
11222	SGA Societe Generale Acceptance N.V.	KECL Eu Wt Jul 2014 B	0.51	18.50 per unit	2014/07/02	20,000,000	2013/12/05
11174	SGA Societe Generale Acceptance N.V.	Ch Mengniu Eu Wt Jun14A	0.25	40.8	2014/06/04	70,000,000	2013/12/05
11219	SGA Societe Generale Acceptance N.V.	PICC Eu Wts Jun 2014A	0.255	4.50 per unit	2014/06/04	60,000,000	2013/12/05
11220	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt May 14 E	0.15	568 per 100 units	2014/05/07	150,000,000	2013/12/05
11178	SGA Societe Generale Acceptance N.V.	Wharf Eu Wts Aug 14 A	0.255	76	2014/08/04	40,000,000	2013/12/05
11223	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Jun14A	0.335	11.50 per unit	2014/06/04	30,000,000	2013/12/05
11180	SGA Societe Generale Acceptance N.V.	Zoomlion Eu Wt Jun14A	0.63	9.58 per unit	2014/06/04	30,000,000	2013/12/05
11224	SGA Societe Generale Acceptance NV	Zijin Mining Eu Wt Aug14A	0.155	2.08 per unit	2014/08/04	70,000,000	2013/12/05
11153	UBS AG	HSI European Put Warrants Apr 2014 F	0.15	22,700 per 6,000 units	2014/04/29	300,000,000	2013/12/05
11204	UBS AG	HSI European Put Warrants Apr 2014 G	0.16	24,100 per 7,800 units	2014/04/29	300,000,000	2013/12/05
11203	UBS AG	Hutchison European Put Warrants May 2014 A	0.27	89.95	2014/05/07	70,000,000	2013/12/05
11252	BNP Paribas Arbit Issu B.V.	CSOP A50 ETF Eu Wts Oct 2018 A	0.25	11.7	2018/10/03	100,000,000	2013/12/06
11251	BNP Paribas Arbit Issu B.V.	CSR European Wts Dec 2018 A	0.25	8.8	2018/12/04	100,000,000	2013/12/06
11253	BNP Paribas Arbit Issu B.V.	A50 China Euro Wts Oct 2018 A	0.25	12.1	2018/10/03	300,000,000	2013/12/06
11250	BNP Paribas Arbit Issu B.V.	HKEx European Wts Jul 2014 C	0.25	188	2014/07/03	50,000,000	2013/12/06
11247	BNP Paribas Arbit Issu B.V.	HSBC Euro Put Wts Jul 2014 A	0.29	78	2014/07/03	100,000,000	2013/12/06
11248	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Jul 2014 A	0.25	132	2014/07/03	88,000,000	2013/12/06
11249	BNP Paribas Arbit Issu B.V.	Hutchison Euro Wts Apr 2018 A	0.25	104 per 100 units	2018/04/04	300,000,000	2013/12/06
11259	Daiwa Capital Mkt	BOC HK European Warrants Nov 2014 A	0.15	28	2014/11/24	98,000,000	2013/12/06
11255	Goldman Sachs SP (Asia)	CC Bank Euro Put Wts Jun 2014 A	0.355	5.68 per unit	2014/06/30	68,000,000	2013/12/06
11256	Goldman Sachs SP (Asia)	Geely Auto European Wts Jul 2014 A	0.252	4.48 per unit	2014/07/30	68,000,000	2013/12/06
11257	Goldman Sachs SP (Asia)	SPDR Gold Trust Euro Wt May 2014 A	0.155	1,088 per 100 units	2014/05/12	80,000,000	2013/12/06

Newly listed derivative warrants *(continued)*

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11238	HK Bank	Henderson Land Dev European Warrants Jun 2014 A	0.25	50.88	2014/06/30	60,000,000	2013/12/06
11245	HK Bank	HSI European Warrants Jul 2014 C	0.18	25,318 per 6,200 units	2014/07/30	180,000,000	2013/12/06
11243	HK Bank	New World Dev European Warrants Sep 2014 A	0.15	10.01	2014/09/23	80,000,000	2013/12/06
11246	HK Bank	Tingyi European Warrants Jul 2014 A	0.25	25.88	2014/07/31	50,000,000	2013/12/06
11226	J P Morgan SP BV	BJ Ent Water European Warrants Jul 2014 A	0.313	4.88 per unit	2014/07/02	40,000,000	2013/12/06
11229	J P Morgan SP BV	Ch State Con European Warrants Mar 2015 A	0.25	16	2015/03/02	60,000,000	2013/12/06
11228	J P Morgan SP BV	GAC European Warrants Mar 2015 A	0.25	12	2015/03/02	100,000,000	2013/12/06
11227	J P Morgan SP BV	Techtronic Ind European Warrants Nov 14 A	0.25	26	2014/11/03	60,000,000	2013/12/06
11230	Nomura Int'l plc	China Gas Hold European Wts Jun 2015 A	0.25	10.88	2015/06/30	80,000,000	2013/12/06
11233	Nomura Int'l plc	Greatwall Motor European Wts Jun 2014 A	0.298	60	2014/06/18	50,000,000	2013/12/06
11236	Nomura Int'l plc	Haitong Sec European Warrants Aug 2015 A	0.278	15.8	2015/08/18	80,000,000	2013/12/06
11231	Nomura Int'l plc	Yanzhou Coal European Warrants Jul 2014 A	0.455	10.98 per unit	2014/07/18	50,000,000	2013/12/06
11232	Nomura Int'l plc	Yanzhou Coal European Put Warrants Aug15A	0.25	8.18	2015/08/18	80,000,000	2013/12/06
11263	Standard Chartered Bank	Anhui Conch Euro Wts Sep 2014 A	0.25	36.88	2014/09/29	80,000,000	2013/12/06
11264	Standard Chartered Bank	China Shenhua Euro Wts Sep 2014 A	0.22	30	2014/09/02	80,000,000	2013/12/06
11260	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Jul 14 A	0.25	5.25 per unit	2014/07/02	50,000,000	2013/12/06
11261	SGA Societe Generale Acceptance N.V.	CRail Eu Wt Jun 2014A	0.25	5.29 per unit	2014/06/04	50,000,000	2013/12/06
11262	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jul 2014 A	0.15	25,200 per 6,200 units	2014/07/30	300,000,000	2013/12/06
11284	Citigroup Global Mkt H Inc.	CGS European Warrants Jun 14 A	0.39	9 per unit	2014/06/09	30,000,000	2013/12/09
11267	J P Morgan SP BV	Ping An European Warrants Jun 2014 C	0.196	88.88	2014/06/04	180,000,000	2013/12/09
11269	Macquarie Bank Ltd.	CGS European Warrants Jun 2014 A	0.355	8.28 per unit	2014/06/09	50,000,000	2013/12/09
11271	Macquarie Bank Ltd.	CITIC Sec European Warrants Sep 2014 A	0.29	24	2014/09/30	120,000,000	2013/12/09
11274	Macquarie Bank Ltd.	China Life European Wts Aug 2014 B	0.15	28.88	2014/08/04	100,000,000	2013/12/09
11273	Macquarie Bank Ltd.	GCL-Poly Energy European Wts Jun 2014 A	0.25	3 per unit	2014/06/17	40,000,000	2013/12/09
11270	Macquarie Bank Ltd.	Haitong Sec European Wts Dec 2014 A	0.25	15	2014/12/02	120,000,000	2013/12/09
11272	Macquarie Bank Ltd.	Lumena Newmat European Wts Nov 2014 A	0.25	2 per unit	2014/11/04	40,000,000	2013/12/09
11276	UBS AG	CITIC Sec European Warrants Jun 2014 A	0.25	23.38	2014/06/10	80,000,000	2013/12/09
11279	UBS AG	China Life European Put Warrants Jul 2014 D	0.16	22.75	2014/07/11	100,000,000	2013/12/09
11277	UBS AG	HSI European Warrants May 2014 A	0.21	24,500 per 6,400 units	2014/05/29	300,000,000	2013/12/09
11278	UBS AG	HSI European Warrants Jul 2014 A	0.21	25,300 per 6,200 units	2014/07/30	300,000,000	2013/12/09
11275	UBS AG	Haitong Sec European Warrants Oct 2014 A	0.25	15.68	2014/10/30	80,000,000	2013/12/09
11281	UBS AG	Ping An European Put Warrants Jun 2014 D	0.48	68.88	2014/06/17	50,000,000	2013/12/09
11298	BOCI Asia Ltd.	BOC HK European Warrants Nov 2014 A	0.15	28	2014/11/24	100,000,000	2013/12/10
11297	BOCI Asia Ltd.	Haitong Sec European Warrants Dec 2014 A	0.25	14.8	2014/12/01	100,000,000	2013/12/10
11300	BOCI Asia Ltd.	Ping An European Warrants Jul 2014 B	0.28	90	2014/07/09	100,000,000	2013/12/10
11301	BOCI Asia Ltd.	Tencent Holdings European Wts Jun 2014 A	0.25	520 per 100 units	2014/06/16	100,000,000	2013/12/10
11314	BNP Paribas Arbit Issu B.V.	BankComm Euro Wts Oct 2014 A	0.26	6.80 per unit	2014/10/06	44,000,000	2013/12/10
11315	BNP Paribas Arbit Issu B.V.	CGS European Warrants Dec 15 A	0.25	7.3	2015/12/02	100,000,000	2013/12/10
11310	BNP Paribas Arbit Issu B.V.	CITIC Sec Euro Wts Jan 2015 A	0.33	24.2	2015/01/05	100,000,000	2013/12/10
11303	BNP Paribas Arbit Issu B.V.	Haitong Sec Eu Wts Jan 2015 A	0.25	16.8	2015/01/05	100,000,000	2013/12/10
11311	BNP Paribas Arbit Issu B.V.	Ping An European Wt Oct 2014 A	0.25	100	2014/10/06	100,000,000	2013/12/10
11335	Credit Suisse AG	China Life European Warrants Aug 2014 A	0.15	28.9	2014/08/01	70,000,000	2013/12/10
11336	Credit Suisse AG	Galaxy Ent European Warrants Apr 2014 E	0.22	78.9	2014/04/28	70,000,000	2013/12/10

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11337	Credit Suisse AG	Hutchison European Put Wts Apr 2014 A	0.21	89.98	2014/04/28	70,000,000	2013/12/10
11355	Citigroup Global Mkt H Inc.	A50 China Euro Wts May 2014 A	0.45	11 per unit	2014/05/26	50,000,000	2013/12/10
11343	Bank of East Asia	China Life European Warrants Sep 2014 A	0.25	26.8	2014/09/30	50,000,000	2013/12/10
11344	Bank of East Asia	China Life European Put Wts Jul 2014 A	0.25	25	2014/07/30	50,000,000	2013/12/10
11334	Bank of East Asia	Ch Mobile European Warrants Oct 2014 A	0.25	92.5	2014/10/10	40,000,000	2013/12/10
11346	Bank of East Asia	Ch Mobile European Put Warrants Oct 14 B	0.25	72.5	2014/10/10	40,000,000	2013/12/10
11327	Bank of East Asia	China Overseas Eur Put Wts Jun 2014 A	0.15	21.4	2014/06/26	70,000,000	2013/12/10
11326	Bank of East Asia	JIANC European Warrants Apr 2015 A	0.25	17.358	2015/04/22	50,000,000	2013/12/10
11328	Bank of East Asia	Nine Dragons European Wts Feb 2016 A	0.25	8	2016/02/12	90,000,000	2013/12/10
11341	Bank of East Asia	PICC European Warrants Jun 2015 A	0.25	13.88	2015/06/24	70,000,000	2013/12/10
11345	Bank of East Asia	Ping An European Warrants Jun 2014 A	0.25	88.8	2014/06/30	40,000,000	2013/12/10
11342	Bank of East Asia	Tencent Holdings European Wts Nov 2014 A	0.25	600 per 100 units	2014/11/14	40,000,000	2013/12/10
11348	Goldman Sachs SP (Asia)	Ch Overseas Euro Put Wt Sep 2014 B	0.253	22.8	2014/09/29	80,000,000	2013/12/10
11347	Goldman Sachs SP (Asia)	R&F Ppt European Warrants Dec 14 A	0.15	14.6	2014/12/24	80,000,000	2013/12/10
11291	HK Bank	CITIC Sec European Warrants Sep 2014 A	0.25	24.98	2014/09/30	100,000,000	2013/12/10
11289	HK Bank	China Life European Warrants Aug 2014 A	0.15	28.93	2014/08/01	100,000,000	2013/12/10
11294	HK Bank	HSI European Warrants Sep 2014 C	0.25	25,788 per 6,000 units	2014/09/29	180,000,000	2013/12/10
11292	HK Bank	HSI European Put Warrants Apr 2014 H	0.19	24,488 per 8,000 units	2014/04/29	180,000,000	2013/12/10
11290	HK Bank	Haitong Sec European Warrants Dec 2014 B	0.25	15.98	2014/12/31	100,000,000	2013/12/10
11295	HK Bank	PICC Group European Warrants Jun 2014 A	0.25	4.68 per unit	2014/06/30	60,000,000	2013/12/10
11288	HK Bank	Ping An European Warrants Dec 2015 A	0.25	60 per 100 units	2015/12/31	180,000,000	2013/12/10
11286	HK Bank	Tencent European Warrants Jun 2014 A	0.25	560 per 100 units	2014/06/30	100,000,000	2013/12/10
11287	HK Bank	Tencent European Put Warrants May 2014 A	0.17	377.80 per 100 units	2014/05/26	100,000,000	2013/12/10
11296	J P Morgan SP BV	CGS European Warrants Jun 2014 A	0.267	8.88 per unit	2014/06/10	40,000,000	2013/12/10
11285	J P Morgan SP BV	CITIC Sec European Warrants Aug 2014 A	0.25	25.88	2014/08/04	100,000,000	2013/12/10
11353	J P Morgan SP BV	Hutchison European Warrants Apr 2014 B	0.339	108	2014/04/07	80,000,000	2013/12/10
11352	J P Morgan SP BV	Hutchison European Warrants Jun 2014 B	0.25	120	2014/06/20	80,000,000	2013/12/10
11350	J P Morgan SP BV	Hutchison European Put Wts Jun 2014 A	0.25	88.88	2014/06/10	80,000,000	2013/12/10
11349	J P Morgan SP BV	Sands China European Put Wts Jun 2014 A	0.386	55	2014/06/30	150,000,000	2013/12/10
11325	Standard Chartered Bank	CITIC Sec European Wts Jun 2014 A	0.25	23.88	2014/06/09	100,000,000	2013/12/10
11323	Standard Chartered Bank	Foxconn European Wts Jun 2014 A	0.28	4.80 per unit	2014/06/30	80,000,000	2013/12/10
11316	Standard Chartered Bank	NCI European Warrants Jun 2014 A	0.25	36.88	2014/06/25	80,000,000	2013/12/10
11321	Standard Chartered Bank	PICC Group European Wts Apr 2014 A	0.195	4.39 per unit	2014/04/23	100,000,000	2013/12/10
11317	Standard Chartered Bank	Ping An European Wts May 2014 A	0.25	94.88	2014/05/23	100,000,000	2013/12/10
11318	Standard Chartered Bank	Ping An European Wts May 2014 B	0.36	86.88	2014/05/23	100,000,000	2013/12/10
11319	Standard Chartered Bank	Ping An Euro Put Wts Jun 2014 B	0.375	66.88	2014/06/09	100,000,000	2013/12/10
11340	UBS AG	Ping An European Warrants Jun 2014 E	0.25	90.88	2014/06/24	100,000,000	2013/12/10
11338	UBS AG	Sands China European Put Warrants Jun 2014 A	0.43	53.88	2014/06/23	40,000,000	2013/12/10
11364	BNP Paribas Arbit Issu B.V.	Ch Mobile Euro Wts Aug 2014 A	0.27	92	2014/08/04	100,000,000	2013/12/11
11362	BNP Paribas Arbit Issu B.V.	Hutchison Eu Put Wt Jun 2014 B	0.25	86	2014/06/04	90,000,000	2013/12/11
11388	Credit Suisse AG	Anhui Conch European Warrants Jun 2014 B	0.25	36.88	2014/06/16	70,000,000	2013/12/11
11380	Credit Suisse AG	Agricultural Bank European Wts Sep 2014 A	0.19	4.56 per unit	2014/09/22	70,000,000	2013/12/11
11378	Credit Suisse AG	AIA European Warrants Jun 2014 A	0.15	45	2014/06/30	80,000,000	2013/12/11

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11395	Credit Suisse AG	Anton Oilfield European Warrants Jun 14 A	0.56	6.68 per unit	2014/06/30	70,000,000	2013/12/11
11384	Credit Suisse AG	CITIC Sec European Warrants Jun 2014 A	0.25	24.28	2014/06/16	70,000,000	2013/12/11
11389	Credit Suisse AG	HKEx European Warrants Jun 2014 A	0.28	173.8	2014/06/16	80,000,000	2013/12/11
11390	Credit Suisse AG	HKEx European Warrants Jun 2014 B	0.25	186.88	2014/06/30	80,000,000	2013/12/11
11376	Credit Suisse AG	HSCEI European Warrants Oct 2014 A	0.2	11,800 per 4,000 units	2014/10/30	100,000,000	2013/12/11
11377	Credit Suisse AG	HSI European Put Warrants Apr 2014 C	0.15	22,800 per 6,000 units	2014/04/29	200,000,000	2013/12/11
11393	Credit Suisse AG	PICC Group European Warrants Jun 2014 A	0.25	4.78 per unit	2014/06/16	70,000,000	2013/12/11
11381	Credit Suisse AG	Ping An European Put Warrants May 2014 D	0.15	58.85	2014/05/30	80,000,000	2013/12/11
11383	Credit Suisse AG	Ping An European Put Warrants Jun 2014 B	0.27	63.88	2014/06/16	80,000,000	2013/12/11
11400	Citigroup Global Mkt H Inc.	China Mobile Eur Wt Apr 2014 E	0.23	88.8	2014/04/01	100,000,000	2013/12/11
11361	Goldman Sachs SP (Asia)	HSBC European Put Wts Mar 2014 B	0.151	78	2014/03/31	80,000,000	2013/12/11
11360	HK Bank	Galaxy Ent European Warrants Jun 2014 A	0.35	73.28	2014/06/30	80,000,000	2013/12/11
11357	HK Bank	HWL European Warrants Jun 2014 B	0.25	128.13	2014/06/30	80,000,000	2013/12/11
11358	HK Bank	HWL European Warrants Jun 2014 C	0.5	112.88	2014/06/30	80,000,000	2013/12/11
11356	HK Bank	HWL European Warrants Nov 2015 A	0.25	88.88 per 100 units	2015/11/30	250,000,000	2013/12/11
11359	HK Bank	Sands China European Warrants Jun 2014 A	0.3	72.28	2014/06/30	80,000,000	2013/12/11
11396	J P Morgan SP BV	HSBC European Warrants Jun 2014 B	0.251	88.88	2014/06/03	200,000,000	2013/12/11
11397	Macquarie Bank Ltd.	Agricultural Bk Euro Warrants Jul 2014 A	0.15	4.38 per unit	2014/07/14	70,000,000	2013/12/11
11399	Macquarie Bank Ltd.	New World Dev European Wts Jul 2014 A	0.25	12.88 per unit	2014/07/03	40,000,000	2013/12/11
11398	Macquarie Bank Ltd.	SUNAC European Warrants Jul 2014 A	0.25	6.38 per unit	2014/07/03	40,000,000	2013/12/11
11373	Nomura Int'l plc	Minsheng Bank European Wts Apr 2016 A	0.25	9.18	2016/04/28	150,000,000	2013/12/11
11371	Nomura Int'l plc	Hutchison European Warrants Jun 2014 A	0.251	128	2014/06/18	50,000,000	2013/12/11
11372	Nomura Int'l plc	Li & Fung European Warrants Jun 2016 A	0.251	10.98	2016/06/28	50,000,000	2013/12/11
11375	Nomura Int'l plc	Ping An European Warrants Jun 2014 A	0.26	89.99	2014/06/30	50,000,000	2013/12/11
11374	Nomura Int'l plc	Ping An European Warrants Oct 2016 A	0.252	78 per 100 units	2016/10/28	100,000,000	2013/12/11
11367	Standard Chartered Bank	Haitong Sec Euro Wts Oct 2014 A	0.25	15.88	2014/10/30	100,000,000	2013/12/11
11369	Standard Chartered Bank	Sands China Euro Wts May 2014 C	0.15	78.88	2014/05/02	100,000,000	2013/12/11
12218 #	J P Morgan SP BV	Stanchart European Warrants Jul 2014 A	0.043	200 per 100 units	2014/07/02	240,000,000	2013/12/11
26241 #	Credit Suisse AG	Stanchart European Warrants Jun 2014 A	0.036	200 per 100 units	2014/06/30	300,000,000	2013/12/11
11406	BOCI Asia Ltd.	China Mobile European Warrants May 2014 A	0.16	93.88	2014/05/12	100,000,000	2013/12/12
11409	BOCI Asia Ltd.	HSBC European Warrants Aug 2014 A	0.15	96.88	2014/08/01	100,000,000	2013/12/12
11407	BOCI Asia Ltd.	Hutchison European Put Warrants May 2014 B	0.22	90	2014/05/07	100,000,000	2013/12/12
11404	Credit Suisse AG	AIA European Warrants Jun 2014 B	0.2	41.88	2014/06/10	80,000,000	2013/12/12
11432	Credit Suisse AG	BOCL European Warrants May 2014 A	0.16	4.01 per unit	2014/05/23	70,000,000	2013/12/12
11431	Credit Suisse AG	BOCL European Warrants Jul 2014 A	0.21	4.28 per unit	2014/07/25	70,000,000	2013/12/12
11430	Credit Suisse AG	CC Bank European Warrants May 2014 B	0.17	7 per unit	2014/05/28	70,000,000	2013/12/12
11429	Credit Suisse AG	CC Bank European Warrants Jul 2014 A	0.15	7.20 per unit	2014/07/07	70,000,000	2013/12/12
11426	Credit Suisse AG	China Mobile European Put Wts Apr 2014 C	0.15	76	2014/04/30	70,000,000	2013/12/12
11405	Credit Suisse AG	China Shenhua European Wts Aug 2014 A	0.19	30	2014/08/25	70,000,000	2013/12/12
11414	Credit Suisse AG	HSBC European Wts Jun 2014 A	0.21	88.88	2014/06/04	70,000,000	2013/12/12
11415	Credit Suisse AG	HSBC European Wts Jun 2014 B	0.17	92	2014/06/04	70,000,000	2013/12/12
11427	Credit Suisse AG	ICBC European Warrants Jun 2014 A	0.22	6.08 per unit	2014/06/26	70,000,000	2013/12/12
11424	Citigroup Global Mkt H Inc.	CC Bank European Wt Jul 2014 A	0.15	7.20 per unit	2014/07/08	100,000,000	2013/12/12

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11423	Daiwa Capital Mkt	China Mobile European Wts Apr 2014 C	0.15	93.9	2014/04/25	128,000,000	2013/12/12
11420	Daiwa Capital Mkt	Hutchison European Warrants Jun 2014 B	0.25	128.88	2014/06/11	128,000,000	2013/12/12
11445	Goldman Sachs SP (Asia)	HSI European Warrants Jul 2014 A	0.154	25,300 per 6,200 units	2014/07/30	150,000,000	2013/12/12
11446	Goldman Sachs SP (Asia)	HSI European Put Wts Mar 2014 A	0.15	23,588 per 8,000 units	2014/03/28	150,000,000	2013/12/12
11403	J P Morgan SP BV	HSBC European Warrants Apr 2014 D	0.237	86.88	2014/04/01	200,000,000	2013/12/12
11411	Macquarie Bank Ltd.	Country Garden European Wts Jul 2014 B	0.25	6 per unit	2014/07/03	40,000,000	2013/12/12
11412	Macquarie Bank Ltd.	HSBC European Warrants Jul 2014 A	0.25	92.88	2014/07/03	100,000,000	2013/12/12
11410	Macquarie Bank Ltd.	Hang Seng Bank European Wts Jul 2014 A	0.25	140	2014/07/03	80,000,000	2013/12/12
11413	Macquarie Bank Ltd.	Stanchart European Warrants Jul 2015 A	0.25	192.50 per 100 units	2015/07/03	100,000,000	2013/12/12
11419	Standard Chartered Bank	AIA European Warrants Jun 2014 A	0.15	44.5	2014/06/27	100,000,000	2013/12/12
11418	Standard Chartered Bank	China Mobile Euro Wts Aug 2014 A	0.25	98.88	2014/08/29	100,000,000	2013/12/12
11443	Standard Chartered Bank	China Overseas Euro Wt Jul 2014 A	0.15	26.18	2014/07/02	100,000,000	2013/12/12
11416	Standard Chartered Bank	China Unicom Euro Wts Sep 2014 A	0.15	12.8	2014/09/25	100,000,000	2013/12/12
11417	Standard Chartered Bank	Datang Power Euro Wts Nov 2014 A	0.25	4.38 per unit	2014/11/04	50,000,000	2013/12/12
11433	Standard Chartered Bank	Hutchison Euro Euro Wts Jul 2014 A	0.25	125	2014/07/02	100,000,000	2013/12/12
11440	UBS AG	China Mobile European Warrants Apr 2014 C	0.29	88.93	2014/04/24	100,000,000	2013/12/12
11435	UBS AG	China Tel European Warrants May 2014 A	0.2	4.39 per unit	2014/05/12	70,000,000	2013/12/12
11436	UBS AG	HKEx European Warrants Jun 2014 B	0.35	160.1	2014/06/04	100,000,000	2013/12/12
11442	UBS AG	HSBC European Warrants Apr 2014 C	0.22	86.93	2014/04/15	100,000,000	2013/12/12
11441	UBS AG	Hutchison European Warrants Jun 2014 A	0.45	110.88	2014/06/11	100,000,000	2013/12/12
11434	UBS AG	ICBC European Put Warrants Apr 2014 C	0.18	5.09 per unit	2014/04/28	60,000,000	2013/12/12
11449	BOCI Asia Ltd.	CITIC Sec European Warrants Sep 2014 A	0.26	23.5	2014/09/29	100,000,000	2013/12/13
11450	BOCI Asia Ltd.	HSBC European Warrants Jun 2014 A	0.15	92	2014/06/03	100,000,000	2013/12/13
11467	Credit Suisse AG	Cheung Kong European Warrants Apr 2014 A	0.29	138.9	2014/04/28	60,000,000	2013/12/13
11470	Credit Suisse AG	China Mobile European Warrants Apr 2014 D	0.15	95.6	2014/04/28	80,000,000	2013/12/13
11474	Credit Suisse AG	Sinopharm European Warrants Jul 2014 A	0.25	27.18	2014/07/28	60,000,000	2013/12/13
11468	Credit Suisse AG	SHK Ppt European Warrants May 2014 A	0.18	112	2014/05/26	60,000,000	2013/12/13
11469	Credit Suisse AG	SHK Ppt European Put Warrants Jun 2014 A	0.25	84.88	2014/06/16	60,000,000	2013/12/13
11471	Credit Suisse AG	SJM Holdings European Warrants Jul 2014 A	0.25	29.28	2014/07/28	60,000,000	2013/12/13
11475	Credit Suisse AG	Stanchart European Warrants Jul 2015 A	0.25	170 per 100 units	2015/07/27	60,000,000	2013/12/13
11447	J P Morgan SP BV	Stanchart European Warrants Apr 2015 A	0.25	182 per 100 units	2015/04/02	130,000,000	2013/12/13
11480	J P Morgan SP BV	Tencent European Warrants May 2014 C	0.274	500 per 100 units	2014/05/02	200,000,000	2013/12/13
11477	J P Morgan SP BV	Tencent European Warrants Jul 2014 C	0.25	550 per 100 units	2014/07/02	200,000,000	2013/12/13
11476	J P Morgan SP BV	Tencent European Put Warrants Jul 2014 B	0.441	450 per 100 units	2014/07/02	200,000,000	2013/12/13
11459	Macquarie Bank Ltd.	BJ Ent Water European Wts Jun 2014 A	0.25	5.68 per unit	2014/06/13	40,000,000	2013/12/13
11463	Macquarie Bank Ltd.	CITIC Bank European Wts Jul 2014 A	0.25	5 per unit	2014/07/03	70,000,000	2013/12/13
11461	Macquarie Bank Ltd.	Datang European Warrants Dec 2014 A	0.25	4.80 per unit	2014/12/02	40,000,000	2013/12/13
11464	Macquarie Bank Ltd.	Greatwall Motor European Wts Jul 2014 A	0.25	65	2014/07/03	40,000,000	2013/12/13
11458	Macquarie Bank Ltd.	Lee & Man Paper European Wt Jun 2014 A	0.25	7.38 per unit	2014/06/13	40,000,000	2013/12/13
11460	Macquarie Bank Ltd.	Want Want China European Wts Aug 2014 A	0.15	12.88	2014/08/04	100,000,000	2013/12/13
11452	Standard Chartered Bank	CNBM European Warrants May 2014 A	0.53	10.52 per unit	2014/05/30	50,000,000	2013/12/13
11453	Standard Chartered Bank	HSBC European Warrants Mar 2014 C	0.33	82.93	2014/03/21	100,000,000	2013/12/13
11454	Standard Chartered Bank	HSCEI European Warrants Jul 2014 A	0.15	12,260 per 4,000 units	2014/07/30	100,000,000	2013/12/13

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11457	Standard Chartered Bank	HSCEI European Put Wts May 2014 A	0.15	11,748 per 7,000 units	2014/05/29	150,000,000	2013/12/13
11451	Standard Chartered Bank	HuanengPower Euro Wts Jun 2014 A	0.39	8.80 per unit	2014/06/03	50,000,000	2013/12/13
24730 #	J P Morgan SP BV	CNBM European Warrants Jun 2014 A	0.053	10	2014/06/09	200,000,000	2013/12/13
23856 #	Standard Chartered Bank	China Coal European Wts Nov 2014 A	0.046	5.48	2014/11/03	220,000,000	2013/12/13
11486	BOCI Asia Ltd.	CC Bank European Warrants May 2014 A	0.2	7 per unit	2014/05/30	100,000,000	2013/12/16
11485	BOCI Asia Ltd.	CSOP A50 ETFEuropean Warrants Jun 2014 A	0.26	11.50 per unit	2014/06/03	100,000,000	2013/12/16
11494	BNP Paribas Arbit Issu B.V.	Lenovo European Wts Jul 2014 A	0.33	12.50 per unit	2014/07/03	50,000,000	2013/12/16
11493	BNP Paribas Arbit Issu B.V.	Stanchart Euro Wts Jul 2016 A	0.25	190 per 100 units	2016/07/05	100,000,000	2013/12/16
11506	Credit Suisse AG	Ch Overseas European Warrants Sep 2014 A	0.15	26.9	2014/09/22	70,000,000	2013/12/16
11507	Credit Suisse AG	China Shenhua European Wts Oct 2014 A	0.19	30	2014/10/27	70,000,000	2013/12/16
11505	Credit Suisse AG	KECL European Warrants Jul 2015 A	0.22	15.9	2015/07/31	70,000,000	2013/12/16
11504	Credit Suisse AG	SHK Ppt European Warrants Jun 2014 B	0.15	84 per 100 units	2014/06/23	70,000,000	2013/12/16
11501	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 E	0.15	575 per 100 units	2014/04/28	100,000,000	2013/12/16
11500	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 C	0.18	588 per 100 units	2014/06/30	100,000,000	2013/12/16
11503	Credit Suisse AG	Tencent Holdings Euro Put Wts Apr 2014 F	0.2	418.80 per 100 units	2014/04/17	100,000,000	2013/12/16
11502	Credit Suisse AG	Tencent Holdings Euro Put Wts Jun 2014 D	0.15	378 per 100 units	2014/06/23	100,000,000	2013/12/16
11510	Goldman Sachs SP (Asia)	HSBC European Warrants Apr 2014 C	0.175	90	2014/04/30	80,000,000	2013/12/16
11508	Goldman Sachs SP (Asia)	Sands China Euro Wts May 2014 A	0.199	77	2014/05/05	80,000,000	2013/12/16
11509	Goldman Sachs SP (Asia)	Tencent European Wt Apr 2014 E	0.166	548.88 per 100 units	2014/04/28	80,000,000	2013/12/16
11482	HK Bank	Jiangxi Copper European Warrants Oct 2014 A	0.15	16.9	2014/10/20	88,000,000	2013/12/16
11484	HK Bank	Tencent European Warrants Aug 2014 A	0.25	600 per 100 units	2014/08/29	100,000,000	2013/12/16
11483	HK Bank	Tencent European Put Warrants Jun 2014 B	0.35	432.88 per 100 units	2014/06/30	100,000,000	2013/12/16
11488	Macquarie Bank Ltd.	CSR Times European Warrants Jul 2014 A	0.25	35.5	2014/07/03	40,000,000	2013/12/16
11491	Macquarie Bank Ltd.	Huaneng Power European Wts Aug 2014 A	0.25	9.68 per unit	2014/08/04	40,000,000	2013/12/16
11490	Macquarie Bank Ltd.	PICC Group European Wts Oct 2014 A	0.25	5 per unit	2014/10/06	40,000,000	2013/12/16
11492	Macquarie Bank Ltd.	Sh Ind H European Warrants Dec 2014 A	0.25	32.88	2014/12/02	40,000,000	2013/12/16
11499	Standard Chartered Bank	Tencent Hldg Eu Put Wts Jun 2014 C	0.3	438.88 per 100 units	2014/06/16	100,000,000	2013/12/16
11496	UBS AG	China National Building European Wts Jun 2014 A	0.35	11.88 per unit	2014/06/16	30,000,000	2013/12/16
11487	UBS AG	Galaxy Ent European Put Warrants May 2014 B	0.15	47.95	2014/05/05	70,000,000	2013/12/16
11497	UBS AG	Tencent Holdings European Warrants Jun 2014 C	0.25	588 per 100 units	2014/06/16	100,000,000	2013/12/16
11498	UBS AG	Tencent Holdings European Put Warrants Apr 2014 E	0.24	427.80 per 100 units	2014/04/25	100,000,000	2013/12/16
11495	UBS AG	ZTE European Warrants May 2014 B	0.19	18.52	2014/05/05	100,000,000	2013/12/16
11518	BOCI Asia Ltd.	Anhui Conch European Warrants Jul 2014 A	0.2	35	2014/07/09	100,000,000	2013/12/17
11519	BOCI Asia Ltd.	CAM CSI300 European Warrants Oct 2014 A	0.15	35	2014/10/13	100,000,000	2013/12/17
11517	BOCI Asia Ltd.	HSI European Warrants Aug 2014 A	0.16	25,300 per 6,000 units	2014/08/28	100,000,000	2013/12/17
11516	BOCI Asia Ltd.	HSI European Put Warrants May 2014 A	0.17	24,000 per 8,600 units	2014/05/29	100,000,000	2013/12/17
11525	BNP Paribas Arbit Issu B.V.	CITIC Bank Euro Wts Sep 2014 A	0.25	5.48 per unit	2014/09/02	62,000,000	2013/12/17
11529	BNP Paribas Arbit Issu B.V.	Cathay Pacific Eu Wt Feb 2015A	0.25	18.68	2015/02/03	54,000,000	2013/12/17
11524	BNP Paribas Arbit Issu B.V.	Dongfeng Motor Eur Wt Oct 2015 A	0.25	16	2015/10/05	100,000,000	2013/12/17
11528	BNP Paribas Arbit Issu B.V.	NagaCorp Euro Wts Apr 2015 A	0.25	9.5	2015/04/02	94,000,000	2013/12/17
11526	BNP Paribas Arbit Issu B.V.	SMIC European Wts Jul 2016 A	0.25	0.82 per unit	2016/07/05	100,000,000	2013/12/17
11540	Credit Suisse AG	CNOOC European Put Warrants Aug 2014 A	0.18	15.98	2014/08/04	70,000,000	2013/12/17
11538	Credit Suisse AG	China Shenhua European Wts Jul 2014 A	0.18	27.4	2014/07/28	70,000,000	2013/12/17

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11536	Credit Suisse AG	China Shenhua European Wts Aug 2014 B	0.15	29.99	2014/08/29	70,000,000	2013/12/17
11539	Credit Suisse AG	HKEx European Put Warrants Sep 2015 A	0.17	128.70 per 100 units	2015/09/21	70,000,000	2013/12/17
11535	Credit Suisse AG	Jiangxi Copper European Wts Oct 2014 A	0.16	16.9	2014/10/20	70,000,000	2013/12/17
11533	Credit Suisse AG	Link REIT European Warrants Jul 2014 A	0.17	41.9	2014/07/28	70,000,000	2013/12/17
11543	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 A	0.25	631.80 per 100 units	2014/08/28	100,000,000	2013/12/17
11544	Credit Suisse AG	Tencent Holdings European Wts Nov 2014 A	0.25	698 per 100 units	2014/11/24	100,000,000	2013/12/17
11560	Citigroup Global Mkt H Inc.	CGS European Wts Nov 2015 A	0.185	7.3	2015/11/25	100,000,000	2013/12/17
11530	Daiwa Capital Mkt	HSBC European Put Warrants Jun 2014 A	0.25	74	2014/06/16	80,000,000	2013/12/17
11515	Goldman Sachs SP (Asia)	Ch COSCO European Wts Jun 2014 A	0.272	4.80 per unit	2014/06/30	80,000,000	2013/12/17
11514	Goldman Sachs SP (Asia)	China Life Euro Wts May 2015 A	0.238	30	2015/05/26	80,000,000	2013/12/17
11512	Goldman Sachs SP (Asia)	A50 China European Wts Jun 2014 C	0.262	12.08 per unit	2014/06/17	80,000,000	2013/12/17
11520	Standard Chartered Bank	Anhui Conch Eur Put Wt Jul 2014 B	0.25	26.26	2014/07/28	80,000,000	2013/12/17
11521	Standard Chartered Bank	China COSCO European Wt Aug 2014 B	0.3	4.98 per unit	2014/08/29	50,000,000	2013/12/17
11523	Standard Chartered Bank	Zijin Mining Euro Wts Jul 2014 A	0.15	2.09 per unit	2014/07/31	100,000,000	2013/12/17
11545	SGA Societe Generale Acceptance N.V	Belle Int'l Eu Wt Dec15A	0.19	11	2015/12/02	150,000,000	2013/12/17
11559	SGA Societe Generale Acceptance N.V.	BYD Eu Wt Jul 2014 A	0.25	55	2014/07/02	50,000,000	2013/12/17
11546	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt May 2014 A	0.185	90	2014/05/07	100,000,000	2013/12/17
11513	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2014 B	0.15	132	2014/07/02	70,000,000	2013/12/17
11547	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Aug 14 B	0.205	100	2014/08/04	100,000,000	2013/12/17
11548	SGA Societe Generale Acceptance N.V.	Tencent Eu Wt Dec 14 A	0.205	688 per 100 units	2014/12/03	150,000,000	2013/12/17
11549	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Jul14E	0.295	420 per 100 units	2014/07/02	150,000,000	2013/12/17
11553	UBS AG	BOCL European Warrants May 2014 A	0.17	4.01 per unit	2014/05/23	100,000,000	2013/12/17
11551	UBS AG	BOCL European Warrants Jul 2014 A	0.15	4.29 per unit	2014/07/25	100,000,000	2013/12/17
11555	UBS AG	CC Bank European Warrants Jul 2014 B	0.16	7.21 per unit	2014/07/07	100,000,000	2013/12/17
11558	UBS AG	HSBC European Warrants Jun 2014 A	0.18	92.05	2014/06/04	100,000,000	2013/12/17
11556	UBS AG	HSCEI European Warrants Jul 2014 A	0.15	12,200 per 4,000 units	2014/07/30	100,000,000	2013/12/17
11550	UBS AG	PetroChina European Warrants Jun 2014 A	0.25	10.18 per unit	2014/06/16	70,000,000	2013/12/17
11629	Credit Suisse AG	BOCL European Warrants Aug 2014 A	0.22	3.81 per unit	2014/08/29	80,000,000	2013/12/18
11634	Credit Suisse AG	CC Bank European Warrants Apr 2014 B	0.15	6.89 per unit	2014/04/22	80,000,000	2013/12/18
11641	Credit Suisse AG	China EB Int'l European Wts Mar 2015 A	0.25	9	2015/03/30	60,000,000	2013/12/18
11632	Credit Suisse AG	China Mobile European Warrants May 2014 B	0.2	89	2014/05/07	80,000,000	2013/12/18
11633	Credit Suisse AG	China Mobile European Warrants Jul 2014 A	0.15	91.9	2014/07/21	80,000,000	2013/12/18
11637	Credit Suisse AG	Esprit European Warrants Dec 2014 B	0.22	18.88	2014/12/15	60,000,000	2013/12/18
11638	Credit Suisse AG	Esprit European Put Warrants Oct 2014 A	0.25	14.58	2014/10/27	60,000,000	2013/12/18
11630	Credit Suisse AG	HSBC European Wts Apr 2014 A	0.18	86.9	2014/04/01	80,000,000	2013/12/18
11631	Credit Suisse AG	HSBC European Wts Apr 2014 B	0.15	90	2014/04/28	80,000,000	2013/12/18
11639	Credit Suisse AG	Hutchison European Warrants Apr 2014 B	0.33	109.9	2014/04/28	80,000,000	2013/12/18
11636	Credit Suisse AG	ICBC European Warrants Jul 2014 B	0.22	5.79 per unit	2014/07/28	80,000,000	2013/12/18
11640	Credit Suisse AG	Jiangxi Copper Euro Put Wts Oct 2014 B	0.25	14.08	2014/10/20	60,000,000	2013/12/18
11622	Goldman Sachs SP (Asia)	China Mobile Euro Wts Jun 2014 A	0.25	94.88	2014/06/30	80,000,000	2013/12/18
11621	Goldman Sachs SP (Asia)	Galaxy Ent Euro Wts Jun 2014 A	0.427	73.88	2014/06/30	80,000,000	2013/12/18
11578	HK Bank	China Mobile European Put Warrants Jul 2014 A	0.34	78.88	2014/07/31	60,000,000	2013/12/18
11573	HK Bank	CNBM European Warrants Jun 2016 A	0.22	8.89	2016/06/27	200,000,000	2013/12/18

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11577	HK Bank	CSR European Warrants Jun 2014 B	0.3	7.88 per unit	2014/06/30	50,000,000	2013/12/18
11576	HK Bank	GCL-Poly Energy European Warrants Jun 2014 A	0.25	2.58 per unit	2014/06/30	68,000,000	2013/12/18
11594	J P Morgan SP BV	Sands China European Warrants Jul 2014 A	0.25	78	2014/07/02	200,000,000	2013/12/18
11595	Macquarie Bank Ltd.	CNBM European Warrants Nov 2015 A	0.25	8.5	2015/11/03	150,000,000	2013/12/18
11596	Macquarie Bank Ltd.	CQRC Bank European Warrants Jul 2014 A	0.25	4.50 per unit	2014/07/03	50,000,000	2013/12/18
11593	Macquarie Bank Ltd.	Sinopharm European Warrants Sep 2014 A	0.25	32	2014/09/02	80,000,000	2013/12/18
11591	Macquarie Bank Ltd.	SHK Ppt European Warrants Oct 2014 A	0.25	115	2014/10/06	88,000,000	2013/12/18
11592	Macquarie Bank Ltd.	SHK Ppt European Put Wts Oct 2014 B	0.25	85	2014/10/06	88,000,000	2013/12/18
11568	Nomura Int'l plc	A50 China European Warrants Jun 2014 A	0.25	11.92 per unit	2014/06/18	80,000,000	2013/12/18
11570	Nomura Int'l plc	A50 China European Warrants Oct 2014 C	0.276	12.96 per unit	2014/10/31	80,000,000	2013/12/18
11598	Nomura Int'l plc	A50 China European Put Wts Aug 2015 A	0.25	10.88	2015/08/18	150,000,000	2013/12/18
11561	Nomura Int'l plc	Link REIT European Warrants Oct 2014 A	0.25	43	2014/10/28	50,000,000	2013/12/18
11562	Nomura Int'l plc	Link REIT European Put Wts Oct 2014 B	0.26	33.88	2014/10/08	50,000,000	2013/12/18
11584	Standard Chartered Bank	Belle Int'l European Wts Apr 2015A	0.25	9.08	2015/04/29	100,000,000	2013/12/18
11599	Standard Chartered Bank	China Coal European Wts Aug 2014 A	0.445	5 per unit	2014/08/08	80,000,000	2013/12/18
11600	Standard Chartered Bank	China Coal European Put Wt Sep14 A	0.365	4 per unit	2014/09/02	80,000,000	2013/12/18
11582	Standard Chartered Bank	Sinopec Corp Eu Put Wt Aug 2014 A	0.32	6 per unit	2014/08/04	80,000,000	2013/12/18
11579	Standard Chartered Bank	A50 China Euro Warrants Sep 2014 B	0.25	12.68 per unit	2014/09/02	100,000,000	2013/12/18
11583	Standard Chartered Bank	HSI European Warrants Sep 2014 A	0.25	23,808 per 6,000 units	2014/09/29	100,000,000	2013/12/18
11601	Standard Chartered Bank	HSI European Put Wts May 2014 B	0.184	23,888 per 8,600 units	2014/05/29	100,000,000	2013/12/18
11580	Standard Chartered Bank	Sands China Eu Put Wts Jun 2014 C	0.42	55.88	2014/06/18	100,000,000	2013/12/18
11585	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Oct 2014 A	0.17	4.55 per unit	2014/10/06	100,000,000	2013/12/18
11586	SGA Societe Generale Acceptance N.V.	CCB Eur Wt Jul 2014 A	0.15	7.20 per unit	2014/07/07	100,000,000	2013/12/18
11628	SGA Societe Generale Acceptance N.V	Chi COSCO Eu Wt Aug 14 A	0.305	4.50 per unit	2014/08/04	50,000,000	2013/12/18
11602	SGA Societe Generale Acceptance N.V.	CCoal Eu Wt Jul 2014 A	0.44	5 per unit	2014/07/02	40,000,000	2013/12/18
11623	SGA Societe Generale Acceptance N.V.	CITBK Eu Wt Jul 14 A	0.25	4.70 per unit	2014/07/02	40,000,000	2013/12/18
11624	SGA Societe Generale Acceptance NV	Minsheng Bk Eu Wt May14 B	0.34	10.70 per unit	2014/05/07	50,000,000	2013/12/18
11625	SGA Societe Generale Acceptance N.V.	CSR Euro Wts May 14 A	0.42	7.67 per unit	2014/05/12	25,000,000	2013/12/18
11605	SGA Societe Generale Acceptance N.V.	Galaxy Eu Put Wt Jul14A	0.49	58	2014/07/02	100,000,000	2013/12/18
11606	SGA Societe Generale Acceptance N.V.	HKEx Eu Put Wt May14A	0.15	140 per 100 units	2014/05/07	300,000,000	2013/12/18
11587	SGA Societe Generale Acceptance N.V.	HLand Eur Wt Jul 14 B	0.16	50.88	2014/07/02	70,000,000	2013/12/18
11588	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Apr 2014 F	0.26	86	2014/04/28	100,000,000	2013/12/18
11607	SGA Societe Generale Acceptance N.V.	HSBC Eu Wt Aug 2014 B	0.15	96.88	2014/08/04	100,000,000	2013/12/18
11627	SGA Societe Generale Acceptance N.V.	JIANC Eu Wt Feb 2015A	0.25	14.5	2015/02/04	100,000,000	2013/12/18
11608	SGA Societe Generale Acceptance N.V	Ping An Eu Put Wt Jun14A	0.4	66.88	2014/06/04	100,000,000	2013/12/18
11610	SGA Societe Generale Acceptance N.V	Sands China Eu Wt Aug14A	0.25	82	2014/08/04	100,000,000	2013/12/18
11589	SGA Societe Generale Acceptance NV	Sands Ch Eu Put Wt Jul14A	0.37	52.88	2014/07/02	80,000,000	2013/12/18
11615	UBS AG	Belle Int'l European Warrants Aug 2015 A	0.25	9.88	2015/08/24	80,000,000	2013/12/18
11614	UBS AG	CNOOC European Warrants Feb 2015 A	0.15	16.02	2015/02/23	100,000,000	2013/12/18
11611	UBS AG	Greatwall Motor European Warrants Jun 2014 A	0.37	52.55	2014/06/04	60,000,000	2013/12/18
11619	UBS AG	SHK Ppt European Warrants Jun 2014 A	0.4	103.88	2014/06/17	60,000,000	2013/12/18
11680	Credit Suisse AG	AIA European Warrants Apr 2014 C	0.15	40	2014/04/28	100,000,000	2013/12/19
11677	Credit Suisse AG	AIA European Warrants Jul 2014 A	0.25	38.9	2014/07/14	100,000,000	2013/12/19

Newly listed derivative warrants *(continued)*

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11678	Credit Suisse AG	AIA European Warrants Nov 2014 A	0.16	44.9	2014/11/24	100,000,000	2013/12/19
11679	Credit Suisse AG	AIA European Warrants Dec 2014 B	0.15	47.9	2014/12/24	100,000,000	2013/12/19
11672	Credit Suisse AG	Minsheng Bank European Wts Apr 2014 A	0.3	10.70 per unit	2014/04/22	80,000,000	2013/12/19
11673	Credit Suisse AG	Minsheng Bank European Wts Jul 2014 A	0.45	10.90 per unit	2014/07/28	80,000,000	2013/12/19
11683	Credit Suisse AG	China Mobile European Warrants Apr 2014 E	0.26	85.2	2014/04/28	100,000,000	2013/12/19
11684	Credit Suisse AG	China Mobile European Warrants Jun 2014 A	0.25	87.9	2014/06/23	100,000,000	2013/12/19
11671	Credit Suisse AG	HKEx European Warrants Jun 2014 C	0.3	158.9	2014/06/23	100,000,000	2013/12/19
11681	Credit Suisse AG	Hutchison European Warrants Jun 2014 A	0.36	110.9	2014/06/04	100,000,000	2013/12/19
11682	Credit Suisse AG	Hutchison European Warrants Jun 2014 B	0.15	128.2	2014/06/23	100,000,000	2013/12/19
11676	Credit Suisse AG	ICBC European Warrants Apr 2014 B	0.26	5.59 per unit	2014/04/28	100,000,000	2013/12/19
11690	Credit Suisse AG	Li & Fung European Warrants Mar 2015 A	0.15	10.22	2015/03/30	70,000,000	2013/12/19
11674	Credit Suisse AG	Ping An European Warrants Jun 2014 C	0.18	90	2014/06/30	100,000,000	2013/12/19
11675	Credit Suisse AG	Ping An European Warrants Jul 2014 A	0.3	85	2014/07/28	100,000,000	2013/12/19
11686	Citigroup Global Mkt H Inc.	CGS European Put Wts Jun 2014B	0.8	6 per unit	2014/06/18	15,000,000	2013/12/19
11687	Citigroup Global Mkt H Inc.	China Life Euro Wts Jun 2014 A	0.19	25.88	2014/06/24	150,000,000	2013/12/19
11688	Citigroup Global Mkt H Inc.	HSBC European Wts May 2014 A	0.235	88.88	2014/05/27	100,000,000	2013/12/19
11689	Citigroup Global Mkt H Inc.	HSBC European Wts Jul 2014 A	0.155	100	2014/07/28	100,000,000	2013/12/19
11645	HK Bank	AIA European Warrants Jun 2014 B	0.25	39.38	2014/06/30	100,000,000	2013/12/19
11644	HK Bank	AIA European Put Warrants Sep 2014 A	0.25	33.88	2014/09/30	80,000,000	2013/12/19
11647	HK Bank	CC Bank European Warrants Jul 2014 B	0.25	6.58 per unit	2014/07/31	80,000,000	2013/12/19
11643	HK Bank	China Tel European Warrants Jun 2014 A	0.15	4.49 per unit	2014/06/25	80,000,000	2013/12/19
11653	HK Bank	HSCEI European Warrants Jun 2014 F	0.25	11,000 per 4,300 units	2014/06/27	200,000,000	2013/12/19
11651	HK Bank	HSI European Warrants Apr 2014 I	0.15	23,088 per 7,800 units	2014/04/29	200,000,000	2013/12/19
11652	HK Bank	HSI European Warrants Jun 2014 C	0.25	22,688 per 6,800 units	2014/06/27	200,000,000	2013/12/19
11650	HK Bank	Huaneng Power European Warrants Jul 2014 B	0.33	8 per unit	2014/07/31	60,000,000	2013/12/19
11648	HK Bank	SHK Ppt European Warrants Jun 2014 A	0.25	108.88	2014/06/18	60,000,000	2013/12/19
11649	HK Bank	SHK Ppt European Warrants Jun 2014 B	0.15	84.05 per 100 units	2014/06/30	120,000,000	2013/12/19
11670	Macquarie Bank Ltd.	CITIC Pacific European Wts Jan 2016 A	0.25	12.18	2016/01/05	80,000,000	2013/12/19
11668	Macquarie Bank Ltd.	Weigao Group European Wts Sep 2014 A	0.25	12	2014/09/29	80,000,000	2013/12/19
11655	SGA Societe Generale Acceptance N.V	Anhui Conch Eu Wt Jun14A	0.15	36.88	2014/06/09	100,000,000	2013/12/19
11656	SGA Societe Generale Acceptance N.V.	BOCL Eu Wt Sep 2014 A	0.215	3.81 per unit	2014/09/03	100,000,000	2013/12/19
11658	SGA Societe Generale Acceptance N.V.	CITIC Sec Eu Wt Aug14A	0.165	25.88	2014/08/04	100,000,000	2013/12/19
11665	SGA Societe Generale Acceptance N.V.	CP&CC Eu Wt Aug 2014B	0.345	7 per unit	2014/08/04	70,000,000	2013/12/19
11657	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Sep14A	0.195	2.48 per unit	2014/09/03	60,000,000	2013/12/19
11692	SGA Societe Generale Acceptance N.V.	ChiUnicom Eu Wt Nov14 A	0.15	11.88	2014/11/05	150,000,000	2013/12/19
11659	SGA Societe Generale Acceptance N.V.	Esprit Eu Wt Jul 14 A	0.15	18.88	2014/07/02	150,000,000	2013/12/19
11660	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Jul14A	0.315	3.50 per unit	2014/07/02	50,000,000	2013/12/19
11661	SGA Societe Generale Acceptance N.V.	Geely Auto Eu Wt Aug14A	0.28	4.60 per unit	2014/08/04	60,000,000	2013/12/19
11654	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt May 14 B	0.15	22,400 per 6,400 units	2014/05/29	300,000,000	2013/12/19
11662	SGA Societe Generale Acceptance N.V	Haitong Sec Eu Wt Oct14A	0.15	15.88	2014/10/27	100,000,000	2013/12/19
11695	SGA Societe Generale Acceptance N.V.	HWL Eur Wt Jul 2014 C	0.15	125	2014/07/02	70,000,000	2013/12/19
11696	SGA Societe Generale Acceptance N.V.	Li & Fung Eu Wt Apr15 A	0.15	10.22	2015/04/08	100,000,000	2013/12/19
11664	SGA Societe Generale Acceptance N.V.	Ping An Eu Wt Jul 14 F	0.23	86.93	2014/07/02	100,000,000	2013/12/19

Newly listed derivative warrants *(continued)*

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11666	SGA Societe Generale Acceptance N.V.	SHK P Eu Wt Jul 2014A	0.15	84.05 per 100 units	2014/07/02	300,000,000	2013/12/19
11667	SGA Societe Generale Acceptance N.V	Tencent Eu Put Wt Jul14F	0.435	460 per 100 units	2014/07/02	150,000,000	2013/12/19
11699	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt May14A	0.225	9.68 per unit	2014/05/07	45,000,000	2013/12/19
11697	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Jul14A	0.73	8 per unit	2014/07/02	30,000,000	2013/12/19
11698	SGA Societe Generale Acceptance NV	Yanzhou Coal Eu Wt Jul14B	0.455	9 per unit	2014/07/02	30,000,000	2013/12/19
27558 #	Goldman Sachs SP (Asia)	HSI European Warrants Feb 2014 D	0.052	24,400 per 6,000 units	2014/02/27	200,000,000	2013/12/19
28004 #	Macquarie Bank Ltd.	China Mobile European Wts May 2014 A	0.084	93.88	2014/05/05	150,000,000	2013/12/19
11745	Credit Suisse AG	CNBM European Warrants May 2014 A	0.38	10.52 per unit	2014/05/19	70,000,000	2013/12/20
11736	Credit Suisse AG	CNOOC European Warrants Apr 2014 A	0.25	17.52 per unit	2014/04/28	70,000,000	2013/12/20
11735	Credit Suisse AG	CSOP A50 ETF European Warrants Apr 2014 A	0.19	10.82 per unit	2014/04/28	80,000,000	2013/12/20
11734	Credit Suisse AG	CSOP A50 ETF European Warrants May 2014 A	0.18	11.52 per unit	2014/05/30	80,000,000	2013/12/20
11732	Credit Suisse AG	A50 China European Warrants May 2014 A	0.23	11.30 per unit	2014/05/26	80,000,000	2013/12/20
11733	Credit Suisse AG	A50 China European Warrants Jun 2014 A	0.15	11.90 per unit	2014/06/03	80,000,000	2013/12/20
11746	Credit Suisse AG	GCL-Poly Energy European Wts Jun 2014 A	0.19	3 per unit	2014/06/10	60,000,000	2013/12/20
11738	Credit Suisse AG	Galaxy Ent European Warrants Jun 2014 A	0.53	73.3	2014/06/23	80,000,000	2013/12/20
11740	Credit Suisse AG	Galaxy Ent European Warrants Jun 2014 B	0.35	81.9	2014/06/30	80,000,000	2013/12/20
11741	Credit Suisse AG	Galaxy Ent European Put Wts Apr 2014 F	0.15	52.48	2014/04/28	70,000,000	2013/12/20
11748	Credit Suisse AG	Greatwall Motor European Wts May 2014 A	0.31	52.55	2014/05/30	60,000,000	2013/12/20
11750	Credit Suisse AG	Greatwall Motor European Wts Jun 2014 A	0.18	65	2014/06/30	60,000,000	2013/12/20
11757	Credit Suisse AG	HSI European Warrants Aug 2014 A	0.15	25,400 per 6,000 units	2014/08/28	200,000,000	2013/12/20
11758	Credit Suisse AG	HSI European Warrants Sep 2014 B	0.15	25,800 per 6,000 units	2014/09/29	200,000,000	2013/12/20
11755	Credit Suisse AG	HSI European Put Warrants May 2014 A	0.15	22,400 per 6,400 units	2014/05/29	200,000,000	2013/12/20
11756	Credit Suisse AG	HSI European Put Warrants May 2014 B	0.15	23,000 per 7,500 units	2014/05/29	200,000,000	2013/12/20
11754	Credit Suisse AG	HSI European Put Warrants Jun 2014 B	0.15	21,800 per 6,300 units	2014/06/27	200,000,000	2013/12/20
11743	Credit Suisse AG	Sands China European Warrants Jun 2014 A	0.33	72.3	2014/06/23	70,000,000	2013/12/20
11752	Credit Suisse AG	SJM Holdings European Warrants Aug 2014 A	0.16	32	2014/08/25	70,000,000	2013/12/20
11768	Citigroup Global Mkt H Inc.	China Coal Euro Wts Jun 2014 A	0.25	5.50 per unit	2014/06/23	40,000,000	2013/12/20
11769	Citigroup Global Mkt H Inc.	Cheung Kong Euro Wt Apr 2014 A	0.22	138.88	2014/04/24	50,000,000	2013/12/20
11762	Citigroup Global Mkt H Inc.	CNBM Euro Warrants Apr 2014 B	0.72	8.80 per unit	2014/04/28	40,000,000	2013/12/20
11763	Citigroup Global Mkt H Inc.	MGM China Euro Wts May 2014 A	0.35	32	2014/05/27	50,000,000	2013/12/20
11767	Citigroup Global Mkt H Inc.	Ping An Euro Wts May 2014 E	0.25	83.88	2014/05/21	100,000,000	2013/12/20
11766	Citigroup Global Mkt H Inc.	Ping An Euro Put Wt May 2014 D	0.195	62	2014/05/26	80,000,000	2013/12/20
11770	Citigroup Global Mkt H Inc.	SHK Ppt Euro Wts Jun 2014 B	0.15	120	2014/06/23	100,000,000	2013/12/20
11765	Citigroup Global Mkt H Inc.	Tencent European Wts Apr 2014 C	0.17	540 per 100 units	2014/04/28	100,000,000	2013/12/20
11761	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Jun 2014 A	0.35	438 per 100 units	2014/06/19	80,000,000	2013/12/20
11764	Citigroup Global Mkt H Inc.	Tencent Euro Put Wt Jun 2014 B	0.15	378 per 100 units	2014/06/23	80,000,000	2013/12/20
11722	HK Bank	Ch Comm Cons European Warrants Jul 2014 A	0.25	7.50 per unit	2014/07/31	60,000,000	2013/12/20
11719	HK Bank	China Mobile European Warrants Jun 2014 B	0.25	87.88	2014/06/30	80,000,000	2013/12/20
11717	HK Bank	China Mobile European Warrants Jul 2014 B	0.19	92.05	2014/07/28	100,000,000	2013/12/20
11716	HK Bank	China Mobile European Warrants Aug 2014 A	0.15	98.93	2014/08/25	100,000,000	2013/12/20
11723	HK Bank	HSI European Put Warrants Jun 2014 D	0.28	24,388 per 8,000 units	2014/06/27	150,000,000	2013/12/20
11720	HK Bank	ICBC European Warrants May 2014 A	0.15	5.89 per unit	2014/05/02	80,000,000	2013/12/20
11721	HK Bank	Lenovo European Warrants Jun 2014 A	0.3	10.68 per unit	2014/06/30	60,000,000	2013/12/20

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11725	HK Bank	PICC Group European Warrants Jul 2014 A	0.25	4.18 per unit	2014/07/31	60,000,000	2013/12/20
11726	HK Bank	Wharf European Warrants Jun 2014 A	0.25	69.88	2014/06/30	60,000,000	2013/12/20
11724	HK Bank	Yanzhou Coal European Warrants Jul 2014 A	0.4	8.88 per unit	2014/07/31	60,000,000	2013/12/20
11730	Macquarie Bank Ltd.	Ch LongYuan European Wts Jul 2015 A	0.25	10.88	2015/07/03	80,000,000	2013/12/20
11753	Macquarie Bank Ltd.	China Mobile European Wts Jul 2014 A	0.25	90	2014/07/03	120,000,000	2013/12/20
11715	Macquarie Bank Ltd.	Shougang Res European Wts Nov 2014 A	0.25	3.50 per unit	2014/11/03	40,000,000	2013/12/20
11702	Nomura Int'l plc	BJ Ent Water European Warrants Jun 2014 A	0.289	5.68 per unit	2014/06/30	50,000,000	2013/12/20
11701	Nomura Int'l plc	China EB Int'l European Wts Oct 2015 A	0.252	10.88	2015/10/28	50,000,000	2013/12/20
11703	Nomura Int'l plc	Haier Elec European Warrants Aug 2014 A	0.251	28.88	2014/08/18	80,000,000	2013/12/20
11700	Nomura Int'l plc	Hutchison European Put Warrants Jun 2014B	0.251	83.88	2014/06/30	50,000,000	2013/12/20
11727	Nomura Int'l plc	Xinyi Glass European Warrants Nov 2016 A	0.25	8.28	2016/11/28	150,000,000	2013/12/20
11712	Standard Chartered Bank	BOC HK European Wts Aug 2014 A	0.15	25.05	2014/08/04	100,000,000	2013/12/20
11714	Standard Chartered Bank	China Mobile Euro Wts Apr 2014 E	0.18	88.88	2014/04/30	100,000,000	2013/12/20
11713	Standard Chartered Bank	Chi Res Power Euro Wts Jun 2014 A	0.15	22.5	2014/06/03	100,000,000	2013/12/20
11711	Standard Chartered Bank	Jiangxi Copper Euro Wts Oct 2014 A	0.15	16.88	2014/10/31	100,000,000	2013/12/20
11707	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Jul14A	0.395	10.50 per unit	2014/07/02	30,000,000	2013/12/20
11706	SGA Societe Generale Acceptance NV	CSOP A50 ETF Eu Wt Oct15A	0.155	8.88	2015/10/05	300,000,000	2013/12/20
11709	SGA Societe Generale Acceptance N.V	Evergrande Eu Wt Jul14 B	0.345	3.38 per unit	2014/07/02	50,000,000	2013/12/20
11708	SGA Societe Generale Acceptance N.V.	A50 Ch Eu Wt Jan 2016A	0.15	9.39	2016/01/06	300,000,000	2013/12/20
11728	SGA Societe Generale Acceptance N.V.	Stanchart Eu Wt Apr15A	0.15	182.10 per 100 units	2015/04/08	100,000,000	2013/12/20
11729	SGA Societe Generale Acceptance NV	Stanchart Eu Put WtSep14A	0.25	172.80 per 100 units	2014/09/03	100,000,000	2013/12/20
11760	UBS AG	Stanchart European Warrants Jun 2015 A	0.15	192.60 per 100 units	2015/06/25	100,000,000	2013/12/20
21656 #	Standard Chartered Bank	HKEx European Warrants Jul 2014 A	0.072	138.88 per 100 units	2014/07/03	150,000,000	2013/12/20
22964 #	J P Morgan SP BV	CNOOC European Warrants Jul 2014 A	0.029	17.2	2014/07/02	350,000,000	2013/12/20
11774	BOCI Asia Ltd.	AIA European Warrants May 2014 A	0.15	40	2014/05/07	100,000,000	2013/12/23
11777	BOCI Asia Ltd.	Galaxy Ent European Warrants Jun 2014 A	0.27	82.5	2014/06/03	100,000,000	2013/12/23
11776	BOCI Asia Ltd.	Galaxy Ent European Put Warrants May 2014 A	0.19	55	2014/05/02	100,000,000	2013/12/23
11803	Credit Suisse AG	CC Bank European Warrants Apr 2014 C	0.15	6.69 per unit	2014/04/28	80,000,000	2013/12/23
11805	Credit Suisse AG	CC Bank European Warrants May 2014 C	0.22	6.49 per unit	2014/05/26	80,000,000	2013/12/23
11804	Credit Suisse AG	CC Bank European Warrants Jul 2014 B	0.15	7 per unit	2014/07/28	80,000,000	2013/12/23
11810	Credit Suisse AG	CNBM European Warrants Jun 2016 A	0.21	8.89	2016/06/30	100,000,000	2013/12/23
11806	Credit Suisse AG	Ch Overseas European Warrants Jul 2014 A	0.18	23.9	2014/07/28	70,000,000	2013/12/23
11807	Credit Suisse AG	Ch Overseas European Warrants Dec 2014 A	0.15	26.9	2014/12/15	70,000,000	2013/12/23
11802	Credit Suisse AG	CSOP A50 ETF European Warrants Sep 2015 A	0.15	8.89	2015/09/29	100,000,000	2013/12/23
11812	Credit Suisse AG	Galaxy Ent European Put Wts Apr 2014 G	0.15	55	2014/04/22	70,000,000	2013/12/23
11801	Credit Suisse AG	HSI European Warrants May 2014 C	0.16	23,400 per 6,000 units	2014/05/29	200,000,000	2013/12/23
11800	Credit Suisse AG	HSI European Warrants Jul 2014 A	0.15	24,800 per 5,000 units	2014/07/30	200,000,000	2013/12/23
11814	Credit Suisse AG	Hutchison European Warrants Jun 2014 C	0.48	105.88	2014/06/23	70,000,000	2013/12/23
11813	Credit Suisse AG	Kingsoft European Warrants Jun 2014 A	0.26	24.38	2014/06/23	70,000,000	2013/12/23
11816	Credit Suisse AG	Sands China European Warrants Jun 2014 B	0.25	85.58	2014/06/23	70,000,000	2013/12/23
11808	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 E	0.3	528 per 100 units	2014/06/23	100,000,000	2013/12/23
11788	HK Bank	Minsheng Bank European Warrants Apr 2016 A	0.17	9.19	2016/04/21	150,000,000	2013/12/23
11790	HK Bank	A50 China European Warrants Jun 2014 C	0.37	10.38 per unit	2014/06/30	60,000,000	2013/12/23

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11789	HK Bank	HSCEI European Put Warrants Aug 2014 A	0.25	10,388 per 4,500 units	2014/08/28	150,000,000	2013/12/23
11817	J P Morgan SP BV	ENN Energy European Warrants Jun 2014 A	0.299	60	2014/06/24	70,000,000	2013/12/23
11787	J P Morgan SP BV	Galaxy Ent European Put Wts Jul 2014 B	0.317	60	2014/07/03	150,000,000	2013/12/23
11781	J P Morgan SP BV	HSI European Put Warrants Jun 2014 C	0.25	22,000 per 6,400 units	2014/06/27	300,000,000	2013/12/23
11784	J P Morgan SP BV	HSI European Put Warrants Jun 2014 D	0.25	22,400 per 7,200 units	2014/06/27	300,000,000	2013/12/23
11779	J P Morgan SP BV	Wynn Macau European Warrants Jul 2014 A	0.25	42.88	2014/07/03	150,000,000	2013/12/23
11818	Macquarie Bank Ltd.	Galaxy Ent European Wts Jul 2014 A	0.251	85	2014/07/03	40,000,000	2013/12/23
11772	Macquarie Bank Ltd.	Tencent European Warrants Jul 2014 C	0.25	600 per 100 units	2014/07/03	200,000,000	2013/12/23
11773	Macquarie Bank Ltd.	Xinyi Glass European Wts Aug 2014 A	0.288	9.50 per unit	2014/08/04	35,000,000	2013/12/23
11791	Standard Chartered Bank	Galaxy Ent European Wts Aug 2014 B	0.4	88.88	2014/08/29	100,000,000	2013/12/23
11792	Standard Chartered Bank	Galaxy Ent European Wts Oct 2014 A	0.32	98.88	2014/10/08	100,000,000	2013/12/23
11778	SGA Societe Generale Acceptance N.V.	Stanchart Eu Wt Jul15A	0.25	150 per 100 units	2015/07/06	100,000,000	2013/12/23
11797	UBS AG	Anhui Conch European Warrants Jun 2014 A	0.3	30.88	2014/06/30	70,000,000	2013/12/23
11793	UBS AG	CITIC Sec European Warrants Jul 2014 A	0.27	21.88	2014/07/21	80,000,000	2013/12/23
11796	UBS AG	Cheung Kong European Warrants Apr 2014 B	0.24	138.98	2014/04/24	100,000,000	2013/12/23
11799	UBS AG	Galaxy Ent European Warrants Jun 2014 B	0.28	89.88	2014/06/23	40,000,000	2013/12/23
11798	UBS AG	Galaxy Ent European Put Warrants Jun 2014 A	0.43	58.88	2014/06/23	40,000,000	2013/12/23
11794	UBS AG	Li & Fung European Warrants Mar 2015 A	0.15	10.22	2015/03/26	100,000,000	2013/12/23
11795	UBS AG	Lenovo European Warrants May 2014 B	0.43	10.90 per unit	2014/05/26	50,000,000	2013/12/23
11832	BOCI Asia Ltd.	ICBC European Warrants Jul 2014 A	0.15	6 per unit	2014/07/08	100,000,000	2013/12/24
11831	BOCI Asia Ltd.	Sands China European Warrants Oct 2014 A	0.32	80.8	2014/10/03	100,000,000	2013/12/24
11836	BOCI Asia Ltd.	Tencent Holdings European Put Wts May 2014 A	0.2	425 per 100 units	2014/05/12	100,000,000	2013/12/24
11887	Credit Suisse AG	Anhui Conch European Warrants Sep 2014 A	0.18	36.9	2014/09/22	70,000,000	2013/12/24
11889	Credit Suisse AG	Brilliance Chi European Warrants Dec 14 A	0.19	13.82	2014/12/22	70,000,000	2013/12/24
11888	Credit Suisse AG	Brilliance Chi European Warrants Mar 15 A	0.15	16.62	2015/03/30	70,000,000	2013/12/24
11885	Credit Suisse AG	CNOOC European Warrants Dec 2014 A	0.15	14.42	2014/12/29	70,000,000	2013/12/24
11886	Credit Suisse AG	CNOOC European Warrants Dec 2015 A	0.15	15.82	2015/12/30	80,000,000	2013/12/24
11891	Credit Suisse AG	Galaxy Ent European Warrants Jun 2014 C	0.25	93.88	2014/06/23	80,000,000	2013/12/24
11892	Credit Suisse AG	Galaxy Ent European Put Wts Jun 2014 D	0.35	59.88	2014/06/23	80,000,000	2013/12/24
11893	Credit Suisse AG	HSBC European Put Warrants Jun 2014 C	0.25	72.38	2014/06/23	80,000,000	2013/12/24
11876	Credit Suisse AG	HSI European Put Warrants Apr 2014 D	0.18	23,800 per 8,000 units	2014/04/29	200,000,000	2013/12/24
11878	Credit Suisse AG	HSI European Put Warrants Apr 2014 E	0.18	23,200 per 6,200 units	2014/04/29	200,000,000	2013/12/24
11877	Credit Suisse AG	HSI European Put Warrants May 2014 D	0.25	23,400 per 6,000 units	2014/05/29	200,000,000	2013/12/24
11895	Credit Suisse AG	PICC Group European Warrants Jul 2014 A	0.25	4.38 per unit	2014/07/28	70,000,000	2013/12/24
11881	Credit Suisse AG	SJM Holdings European Warrants Aug 2014 B	0.22	30	2014/08/29	70,000,000	2013/12/24
11896	Credit Suisse AG	Xinyi Glass European Warrants Jun 2014 A	0.39	8.68 per unit	2014/06/23	70,000,000	2013/12/24
11890	Credit Suisse AG	Yurun Food European Warrants Jun 2014 A	0.22	6.51 per unit	2014/06/10	70,000,000	2013/12/24
11884	Credit Suisse AG	ZTE European Warrants Jul 2014 A	0.16	17.1	2014/07/28	70,000,000	2013/12/24
11894	Credit Suisse AG	ZTE European Warrants Jul 2014 B	0.25	15.88	2014/07/28	70,000,000	2013/12/24
11882	Credit Suisse AG	ZTE European Put Warrants Jun 2014 B	0.2	14.68	2014/06/30	60,000,000	2013/12/24
11844	Citigroup Global Mkt H Inc.	CC Bank European Wt Jul 2014 B	0.15	7 per unit	2014/07/28	100,000,000	2013/12/24
11839	Citigroup Global Mkt H Inc.	China Life Euro Wts Sep 2014 B	0.15	28.05	2014/09/25	150,000,000	2013/12/24
11838	Citigroup Global Mkt H Inc.	China Mobile Eur Wt May 2014 A	0.36	82.5	2014/05/27	100,000,000	2013/12/24

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11837	Citigroup Global Mkt H Inc.	Galaxy Ent Euro Wts Jun 2014 A	0.4	89.95	2014/06/27	60,000,000	2013/12/24
11845	Citigroup Global Mkt H Inc.	HSBC European Wts May 2014 C	0.15	92	2014/05/27	100,000,000	2013/12/24
11842	Citigroup Global Mkt H Inc.	HSBC Euro Put Wts May 2014 B	0.46	80	2014/05/27	100,000,000	2013/12/24
11840	Citigroup Global Mkt H Inc.	Hutchison Euro Wts Jun 2014 A	0.25	120	2014/06/13	50,000,000	2013/12/24
11843	Citigroup Global Mkt H Inc.	ICBC European Wts Jun 2014 A	0.15	6.08 per unit	2014/06/25	100,000,000	2013/12/24
11849	Citigroup Global Mkt H Inc.	MGM China Euro Wts May 2014 B	0.19	38	2014/05/29	80,000,000	2013/12/24
11846	Citigroup Global Mkt H Inc.	Sands China Euro Wt Apr 2014 E	0.235	78.4	2014/04/22	60,000,000	2013/12/24
11820	Daiwa Capital Mkt	AIA European Warrants Jun 2014 A	0.15	41.9	2014/06/03	128,000,000	2013/12/24
11853	Goldman Sachs SP (Asia)	AIA European Warrants Jun 2014 A	0.195	41.88	2014/06/06	80,000,000	2013/12/24
11854	Goldman Sachs SP (Asia)	China Coal European Wts May 2014 A	0.194	5.41 per unit	2014/05/02	80,000,000	2013/12/24
11855	Goldman Sachs SP (Asia)	Tencent European Put Wt Jun 2014 C	0.364	448 per 100 units	2014/06/27	80,000,000	2013/12/24
11850	HK Bank	Evergrande European Warrants Jul 2014 A	0.25	3.30 per unit	2014/07/31	60,000,000	2013/12/24
11851	HK Bank	Galaxy Ent European Warrants Jun 2014 B	0.3	82.88	2014/06/30	60,000,000	2013/12/24
11852	HK Bank	HKEx European Put Warrants Sep 2015 A	0.2	128.70 per 100 units	2015/09/22	150,000,000	2013/12/24
11823	Macquarie Bank Ltd.	Anhui Conch European Wts Jul 2014 A	0.25	32	2014/07/03	40,000,000	2013/12/24
11824	Macquarie Bank Ltd.	Jiangxi Copper European Wts Nov 2014 A	0.25	14	2014/11/04	80,000,000	2013/12/24
11828	Standard Chartered Bank	China Life European Wts Jul 2014 A	0.17	26	2014/07/30	150,000,000	2013/12/24
11829	Standard Chartered Bank	CNOOC European Warrants Jun 2014 A	0.25	17.88 per unit	2014/06/30	50,000,000	2013/12/24
11830	Standard Chartered Bank	HSBC European Warrants Apr 2014 B	0.2	86.05	2014/04/15	100,000,000	2013/12/24
11856	SGA Societe Generale Acceptance N.V	COVS Eu Wts Aug 2014 A	0.18	23.88	2014/08/04	100,000,000	2013/12/24
11857	SGA Societe Generale Acceptance N.V.	Galaxy Ent Eu Wt Jul14B	0.25	95	2014/07/02	100,000,000	2013/12/24
11858	SGA Societe Generale Acceptance N.V.	Kingsoft Eu Wt Jul14A	0.25	26.38	2014/07/02	60,000,000	2013/12/24
11859	SGA Societe Generale Acceptance N.V.	Petch Eu Wt Jul 2014A	0.225	10 per unit	2014/07/02	60,000,000	2013/12/24
11875	UBS AG	Cheung Kong European Warrants Jun 2014 A	0.5	126	2014/06/23	40,000,000	2013/12/24
11873	UBS AG	Cheung Kong European Warrants Mar 2017 A	0.17	128.10 per 100 units	2017/03/28	100,000,000	2013/12/24
11860	UBS AG	China Overseas European Warrants Jul 2014 A	0.2	23.93	2014/07/28	100,000,000	2013/12/24
11862	UBS AG	Sinopec Corp European Warrants Jul 2014 A	0.3	6.88 per unit	2014/07/14	80,000,000	2013/12/24
11866	UBS AG	Geely Auto European Warrants Jun 2014 A	0.25	4.58 per unit	2014/06/23	60,000,000	2013/12/24
11867	UBS AG	Galaxy Ent European Warrants Jun 2014 C	0.6	73.88	2014/06/30	40,000,000	2013/12/24
11861	UBS AG	HKEx European Warrants Jun 2014 C	0.44	145.1	2014/06/11	100,000,000	2013/12/24
11872	UBS AG	HSBC European Warrants Jun 2014 B	0.25	86.88	2014/06/23	100,000,000	2013/12/24
11871	UBS AG	HSBC European Warrants Aug 2014 A	0.15	96.93	2014/08/01	100,000,000	2013/12/24
11869	UBS AG	Hutchison European Warrants Jun 2014 B	0.28	120.1	2014/06/19	100,000,000	2013/12/24
11870	UBS AG	Hutchison European Warrants Jun 2014 C	0.7	103.88	2014/06/27	100,000,000	2013/12/24
11865	UBS AG	Jiangxi Copper European Warrants Nov 2014 A	0.25	14.88	2014/11/10	50,000,000	2013/12/24
11868	UBS AG	SHK Ppt European Warrants Jun 2014 B	0.15	118.1	2014/06/25	100,000,000	2013/12/24
11948	Credit Suisse AG	AIA European Put Warrants Jun 2014 C	0.24	36.95	2014/06/03	70,000,000	2013/12/27
11947	Credit Suisse AG	China Coal European Put Warrants Jun 14 B	0.26	6.89	2014/06/23	70,000,000	2013/12/27
11949	Credit Suisse AG	CITIC Bank European Warrants Jun 2014 A	0.2	4.79 per unit	2014/06/16	70,000,000	2013/12/27
11955	Credit Suisse	Cheung Kong European Put Warrants Jun 2014 A	0.33	106.88	2014/06/30	70,000,000	2013/12/27
11939	Credit Suisse AG	China Life European Warrants Aug 2014 B	0.27	24.4	2014/08/01	70,000,000	2013/12/27
11943	Credit Suisse AG	Minsheng Bank European Wts Mar 2016 A	0.28	7.39	2016/03/01	70,000,000	2013/12/27
11946	Credit Suisse AG	Ch Overseas European Put Warrants Jun 14B	0.16	21.35	2014/06/30	70,000,000	2013/12/27

Newly listed derivative warrants *(continued)*

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11950	Credit Suisse AG	Sinopec Corp European Warrants Jul 2014 A	0.25	6.98 per unit	2014/07/14	70,000,000	2013/12/27
11941	Credit Suisse AG	CSR European Warrants Dec 2014 B	0.15	5.89	2014/12/22	70,000,000	2013/12/27
11954	Credit Suisse AG	Esprit European Warrants Oct 2014 B	0.25	14.8	2014/10/27	70,000,000	2013/12/27
11952	Credit Suisse AG	Evergrande European Warrants Jul 2014 A	0.25	3.58 per unit	2014/07/07	70,000,000	2013/12/27
11944	Credit Suisse AG	GAC European Warrants Feb 2015 A	0.16	12	2015/02/23	70,000,000	2013/12/27
11940	Credit Suisse AG	Geely Auto European Warrants Jul 2014 A	0.26	4.49 per unit	2014/07/28	70,000,000	2013/12/27
11945	Credit Suisse AG	Sands China European Put Wts Jun 2014 C	0.21	49.98	2014/06/23	70,000,000	2013/12/27
11942	Credit Suisse AG	Wynn Macau European Warrants Apr 2014 B	0.18	41.55	2014/04/28	70,000,000	2013/12/27
11937	Citigroup Global Mkt H Inc.	HSI European Wts May 2014 A	0.165	23,400 per 6,000 units	2014/05/29	150,000,000	2013/12/27
11938	Citigroup Global Mkt H Inc.	HSI European Put Wt Apr 2014 B	0.175	23,288 per 6,200 units	2014/04/29	150,000,000	2013/12/27
11968	Goldman Sachs SP (Asia)	CC Bank European Wts Jun 2014 B	0.26	6.25 per unit	2014/06/30	80,000,000	2013/12/27
11967	Goldman Sachs SP (Asia)	China Life Euro Wts Jul 2014 A	0.211	25.4	2014/07/02	80,000,000	2013/12/27
11964	Goldman Sachs SP (Asia)	HSBC European Warrants Jun 2014 A	0.257	87.5	2014/06/30	80,000,000	2013/12/27
11965	Goldman Sachs SP (Asia)	HSBC European Warrants Jul 2014 A	0.25	92.5	2014/07/30	80,000,000	2013/12/27
11966	Goldman Sachs SP (Asia)	Tencent European Wt Jun 2014 D	0.284	530 per 100 units	2014/06/30	80,000,000	2013/12/27
11911	HK Bank	Sinopec Corp European Warrants Jul 2014 A	0.26	6.98 per unit	2014/07/31	80,000,000	2013/12/27
11915	HK Bank	Foxconn European Warrants Jul 2014 A	0.41	3.98 per unit	2014/07/31	50,000,000	2013/12/27
11914	HK Bank	Geely Auto European Warrants Jun 2014 A	0.27	4.18 per unit	2014/06/30	60,000,000	2013/12/27
11909	HK Bank	HSI European Warrants Jun 2014 E	0.25	23,588 per 6,000 units	2014/06/27	150,000,000	2013/12/27
11910	HK Bank	HSI European Warrants Jun 2014 F	0.25	22,100 per 8,000 units	2014/06/27	150,000,000	2013/12/27
11919	HK Bank	HSI European Put Warrants Jun 2014 G	0.25	22,588 per 7,000 units	2014/06/27	150,000,000	2013/12/27
11918	HK Bank	KECL European Warrants Jun 2015 A	0.25	14.88	2015/06/30	60,000,000	2013/12/27
11913	HK Bank	Li & Fung European Warrants Apr 2015 A	0.15	10.22	2015/04/09	100,000,000	2013/12/27
11916	HK Bank	Ping An European Warrants Jun 2014 B	0.38	75.88	2014/06/30	60,000,000	2013/12/27
11912	HK Bank	Stanchart European Warrants Jun 2015 A	0.15	192.40 per 100 units	2015/06/30	100,000,000	2013/12/27
11969	J P Morgan SP BV	Anton Oilfield European Wts Jun 2014 A	0.358	6.20 per unit	2014/06/27	40,000,000	2013/12/27
11897	J P Morgan SP BV	Galaxy Ent European Warrants Jun 2014 A	0.25	90	2014/06/27	150,000,000	2013/12/27
11970	J P Morgan SP BV	Zijin Mining European Warrants Jul 2014 A	0.152	2.08 per unit	2014/07/28	70,000,000	2013/12/27
11961	Macquarie Bank Ltd.	BOCHK European Warrants Dec 2014 A	0.15	26	2014/12/30	80,000,000	2013/12/27
11904	Macquarie Bank Ltd.	China EB Int'l European Wts Mar 2015 A	0.217	9	2015/03/23	70,000,000	2013/12/27
11962	Macquarie Bank Ltd.	Cheung Kong Euro Put Wts Jul 2014 A	0.25	100	2014/07/03	80,000,000	2013/12/27
11963	Macquarie Bank Ltd.	CNOOC European Warrants Aug 2016 A	0.25	16.5	2016/08/02	100,000,000	2013/12/27
11905	Macquarie Bank Ltd.	ENN Energy European Warrants Jul 2014A	0.283	63.88	2014/07/18	40,000,000	2013/12/27
11900	Nomura Int'l plc	China Mer Hold European Wts Nov 2014 A	0.254	33.88	2014/11/18	50,000,000	2013/12/27
11903	Nomura Int'l plc	CSR European Warrants Jun 2014 A	0.256	8.68 per unit	2014/06/30	50,000,000	2013/12/27
11902	Nomura Int'l plc	Henganintl European Warrants Mar 2014 A	0.155	108.05	2014/03/31	80,000,000	2013/12/27
11901	Nomura Int'l plc	SMIC European Warrants Dec 2015 A	0.25	0.60 per unit	2015/12/29	50,000,000	2013/12/27
11899	Nomura Int'l plc	Wharf European Warrants Sep 2014 A	0.156	78.05	2014/09/25	80,000,000	2013/12/27
11906	Standard Chartered Bank	Galaxy Ent Euro Put Wts Jun 2014 C	0.25	56.88	2014/06/26	80,000,000	2013/12/27
11908	SGA Societe Generale Acceptance N.V.	CNBM Eu Wt Jul 2014 A	0.4	11 per unit	2014/07/02	30,000,000	2013/12/27
11920	SGA Societe Generale Acceptance NV	Ch Ship Cont Eu Wt Jul14A	0.17	2.39 per unit	2014/07/02	60,000,000	2013/12/27
11921	SGA Societe Generale Acceptance N.V.	GCL-Poly Eu Wt Jul14B	0.32	2.40 per unit	2014/07/02	60,000,000	2013/12/27
11922	SGA Societe Generale Acceptance N.V.	Greatwall Eu Wt Jul14A	0.425	48	2014/07/02	60,000,000	2013/12/27

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11956	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 14 B	0.255	23,600 per 6,800 units	2014/06/27	300,000,000	2013/12/27
11960	SGA Societe Generale Acceptance N.V.	HSI Eu Put Wt Jun 14 C	0.265	24,200 per 8,000 units	2014/06/27	300,000,000	2013/12/27
11924	UBS AG	CC Bank European Warrants Jul 2014 C	0.15	7.01 per unit	2014/07/28	100,000,000	2013/12/27
11925	UBS AG	CC Bank European Warrants Aug 2014 A	0.25	6.38 per unit	2014/08/08	100,000,000	2013/12/27
11923	UBS AG	China Mobile European Warrants Jun 2014 A	0.4	83.88	2014/06/26	100,000,000	2013/12/27
11926	UBS AG	CNOOC European Warrants Nov 2015 A	0.15	16.02	2015/11/23	100,000,000	2013/12/27
11932	UBS AG	China Tel European Warrants Jul 2014 A	0.15	4.59 per unit	2014/07/28	70,000,000	2013/12/27
11933	UBS AG	China Tel European Warrants Jul 2014 B	0.25	4.08 per unit	2014/07/09	70,000,000	2013/12/27
11931	UBS AG	China Unicom European Warrants Oct 2014 A	0.15	11.9	2014/10/23	100,000,000	2013/12/27
11930	UBS AG	Link REIT European Warrants Jul 2014 A	0.17	38.93	2014/07/10	100,000,000	2013/12/27
10266	UBS AG	Nikkei 225 European Warrants Jun 2014 F	0.23	16,800 per 340 units	2014/06/13	100,000,000	2013/12/27
10268	UBS AG	Nikkei 225 European Warrants Sep 2014 B	0.25	14,800 per 700 units	2014/09/12	100,000,000	2013/12/27
10270	UBS AG	Nikkei 225 European Warrants Sep 2014 C	0.25	13,800 per 850 units	2014/09/12	100,000,000	2013/12/27
10264	UBS AG	Nikkei 225 European Warrants Dec 2014 A	0.25	18,000 per 480 units	2014/12/12	100,000,000	2013/12/27
10265	UBS AG	Nikkei 225 European Put Warrants Jun 2014 E	0.228	17,000 per 890 units	2014/06/13	100,000,000	2013/12/27
10267	UBS AG	Nikkei 225 European Put Warrants Sep 2014 A	0.25	15,500 per 680 units	2014/09/12	100,000,000	2013/12/27
10269	UBS AG	Nikkei 225 European Put Warrants Dec 2014 B	0.25	14,500 per 600 units	2014/12/12	100,000,000	2013/12/27
11929	UBS AG	PetroChina European Warrants Jun 2014 B	0.5	8.88 per unit	2014/06/26	70,000,000	2013/12/27
11928	UBS AG	SJM Holdings European Warrants Jun 2014 A	0.3	28.38	2014/06/30	80,000,000	2013/12/27
11927	UBS AG	SJM Holdings European Warrants Jul 2014 A	0.25	30.88	2014/07/09	80,000,000	2013/12/27
11936	UBS AG	Tencent Holdings European Warrants Jun 2014 D	0.35	508 per 100 units	2014/06/26	100,000,000	2013/12/27
11935	UBS AG	Tencent Holdings European Warrants Jul 2014 A	0.25	548 per 100 units	2014/07/09	100,000,000	2013/12/27
27962 #	BOCI Asia Ltd.	HSBC European Warrants Apr 2014 B	0.037	93.88	2014/04/11	275,000,000	2013/12/27
28034 #	BOCI Asia Ltd.	CC Bank European Warrants Apr 2014 A	0.072	6.88 per unit	2014/04/29	140,000,000	2013/12/27
28249 #	BOCI Asia Ltd.	AIA European Warrants Jul 2014 A	0.08	44.88	2014/07/07	125,000,000	2013/12/27
11985	BOCI Asia Ltd.	CNOOC European Warrants Nov 2014 A	0.15	14.7	2014/11/17	100,000,000	2013/12/30
11984	BOCI Asia Ltd.	CNOOC European Put Warrants Oct 2014 A	0.16	13.68	2014/10/31	100,000,000	2013/12/30
11983	BOCI Asia Ltd.	PetroChina European Warrants Jun 2014 A	0.18	9.88 per unit	2014/06/03	100,000,000	2013/12/30
11995	Credit Suisse AG	AIA European Put Warrants Sep 2014 A	0.19	33.85	2014/09/29	70,000,000	2013/12/30
11996	Credit Suisse AG	Hutchison European Warrants Jun 2014 D	0.2	132	2014/06/30	80,000,000	2013/12/30
11987	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 G	0.28	500 per 100 units	2014/04/28	100,000,000	2013/12/30
11988	Credit Suisse AG	Tencent Holdings European Wts Apr 2014 H	0.33	485 per 100 units	2014/04/28	100,000,000	2013/12/30
11986	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 F	0.29	520 per 100 units	2014/06/03	100,000,000	2013/12/30
11989	Credit Suisse AG	Tencent Holdings European Wts Jun 2014 G	0.52	468 per 100 units	2014/06/23	100,000,000	2013/12/30
11990	Credit Suisse AG	Tencent Holdings European Wts Aug 2014 B	0.66	448.50 per 100 units	2014/08/01	100,000,000	2013/12/30
11992	Credit Suisse AG	Tencent Holdings Euro Put Wts May 2014 A	0.15	390 per 100 units	2014/05/30	100,000,000	2013/12/30
11991	Credit Suisse AG	Tencent Holdings Euro Put Wts Jun 2014 H	0.31	438.80 per 100 units	2014/06/09	100,000,000	2013/12/30
11993	Credit Suisse AG	Tencent Holdings Euro Put Wts Jun 2014 I	0.38	450 per 100 units	2014/06/30	100,000,000	2013/12/30
11978	HK Bank	AIA European Warrants Jun 2014 C	0.17	41.93	2014/06/05	100,000,000	2013/12/30
11981	HK Bank	CM Bank European Warrants Dec 2014 A	0.25	16.28	2014/12/31	80,000,000	2013/12/30
11982	HK Bank	China Railway European Warrants Aug 2014 A	0.3	4.58 per unit	2014/08/29	60,000,000	2013/12/30
11974	HK Bank	China Shenhua European Warrants Sep 2014 A	0.25	25.88	2014/09/30	80,000,000	2013/12/30
11980	HK Bank	Dongfeng Motor European Warrants Jul 2015 A	0.25	14.88	2015/07/31	80,000,000	2013/12/30

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
11973	HK Bank	Greatwall Motor European Warrants Jun 2014 A	0.25	52.55	2014/06/11	60,000,000	2013/12/30
11975	HK Bank	HSI European Warrants Apr 2014 J	0.17	22,288 per 9,000 units	2014/04/29	150,000,000	2013/12/30
11976	HK Bank	HSI European Put Warrants Jun 2014 H	0.2	23,088 per 8,000 units	2014/06/27	150,000,000	2013/12/30
11979	HK Bank	Jiangxi Copper European Warrants Jan 2015 A	0.24	14.52	2015/01/28	80,000,000	2013/12/30
11977	HK Bank	Ping An European Warrants Jun 2014 C	0.19	88.85	2014/06/23	80,000,000	2013/12/30
12319	J P Morgan SP BV	China Mob European Wts Jul 2014 A	0.25	86.88	2014/07/03	200,000,000	2013/12/30
11972	Macquarie Bank Ltd.	China Coal European Wts Oct 2014 A	0.25	5.50 per unit	2014/10/06	40,000,000	2013/12/30
11971	Macquarie Bank Ltd.	Yanzhou Coal European Wts Jul 2014 A	0.3	8.75 per unit	2014/07/03	35,000,000	2013/12/30
12316	SGA Societe Generale Acceptance N.V.	Henganintl Eu Wt Jan16A	0.16	100.88 per 100 units	2016/01/04	150,000,000	2013/12/30
12315	SGA Societe Generale Acceptance N.V.	HSI Eur Wt Jun 2014 D	0.185	22,700 per 6,800 units	2014/06/27	300,000,000	2013/12/30
12317	SGA Societe Generale Acceptance N.V.	Wynn Macau Eu Wt Jul14A	0.25	42.8	2014/07/02	50,000,000	2013/12/30
12300	UBS AG	Agricultural Bank European Warrants Jul 2014 A	0.15	4.39 per unit	2014/07/21	70,000,000	2013/12/30
12301	UBS AG	Agricultural Bank European Warrants Jul 2014 B	0.25	4.08 per unit	2014/07/28	70,000,000	2013/12/30
12000	UBS AG	AIA European Warrants Jun 2014 B	0.25	38.88	2014/06/30	100,000,000	2013/12/30
12302	UBS AG	BYD European Warrants Jun 2014 A	0.4	43.88	2014/06/30	80,000,000	2013/12/30
12310	UBS AG	China Mobile European Warrants Jul 2014 A	0.15	91.93	2014/07/21	100,000,000	2013/12/30
12304	UBS AG	China Shenhua European Warrants Aug 2014 A	0.15	30.03	2014/08/29	80,000,000	2013/12/30
12305	UBS AG	China Shenhua European Warrants Aug 2014 B	0.165	26.93	2014/08/22	80,000,000	2013/12/30
12311	UBS AG	HSCEI European Warrants Jun 2015 A	0.25	12,600 per 4,000 units	2015/06/29	100,000,000	2013/12/30
12313	UBS AG	HSCEI European Put Warrants May 2014 A	0.18	11,800 per 7,000 units	2014/05/29	100,000,000	2013/12/30
12312	UBS AG	HSCEI European Put Warrants Sep 2014 B	0.25	10,800 per 5,500 units	2014/09/29	100,000,000	2013/12/30
11997	UBS AG	ICBC European Warrants Jul 2014 A	0.15	6.09 per unit	2014/07/10	100,000,000	2013/12/30
11998	UBS AG	ICBC European Warrants Jul 2014 B	0.23	5.79 per unit	2014/07/28	100,000,000	2013/12/30
11999	UBS AG	PICC Group European Warrants Jul 2014 A	0.25	4.18 per unit	2014/07/28	60,000,000	2013/12/30
12303	UBS AG	Wynn Macau European Warrants Jun 2014 A	0.35	40.88	2014/06/30	50,000,000	2013/12/30
12306	UBS AG	Yurun Food European Warrants Jun 2014 A	0.22	6.51 per unit	2014/06/17	50,000,000	2013/12/30
12350	Credit Suisse AG	CSOP A50 ETF European Warrants Aug 2016 A	0.16	8.89	2016/08/01	100,000,000	2013/12/31
12351	Credit Suisse AG	A50 China European Put Wts Dec 2014 A	0.19	10.48	2014/12/01	70,000,000	2013/12/31
12353	Credit Suisse AG	HKEx European Warrants May 2014 C	0.33	145	2014/05/30	80,000,000	2013/12/31
12352	Credit Suisse AG	HSBC European Wts Apr 2014 C	0.18	86	2014/04/14	80,000,000	2013/12/31
12349	Credit Suisse AG	Link REIT European Warrants Sep 2014 A	0.28	38.85	2014/09/29	70,000,000	2013/12/31
12354	Credit Suisse AG	PetroChina European Warrants May 2014 B	0.15	9.89 per unit	2014/05/19	70,000,000	2013/12/31
12355	Credit Suisse AG	Yanzhou Coal European Warrants Apr 2014 A	0.15	9.69 per unit	2014/04/22	70,000,000	2013/12/31
12326	HK Bank	CAM CSI300 European Warrants May 2014 A	0.18	30.05	2014/05/26	120,000,000	2013/12/31
12320	HK Bank	BOC HK European Warrants Apr 2015 A	0.15	27.05	2015/04/27	80,000,000	2013/12/31
12324	HK Bank	CSOP A50 ETF European Warrants Jul 2014 A	0.35	10.08 per unit	2014/07/02	60,000,000	2013/12/31
12325	HK Bank	CSOP A50 ETF European Warrants Jul 2014 B	0.25	11.08 per unit	2014/07/02	60,000,000	2013/12/31
12322	HK Bank	A50 China European Warrants Dec 2016 A	0.17	8.87	2016/12/28	300,000,000	2013/12/31
12321	HK Bank	GCL-Poly Energy European Warrants Jul 2014 A	0.25	2.83 per unit	2014/07/02	50,000,000	2013/12/31
12366	J P Morgan Int'l Der. Ltd.	CC Bank European Wts Jul 2014 B	0.25	6.30 per unit	2014/07/08	200,000,000	2013/12/31
12356	J P Morgan SP BV	KECL European Warrants Jul 2015 A	0.15	15.9	2015/07/28	200,000,000	2013/12/31
12365	J P Morgan SP BV	Kingsoft European Warrants Jul 2014 A	0.25	24	2014/07/03	150,000,000	2013/12/31
12348	SGA Societe Generale Acceptance N.V.	AGBK Eu Wt Aug 2014 A	0.25	3.90 per unit	2014/08/04	100,000,000	2013/12/31

Newly listed derivative warrants *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike level/ Subscription price (HK$) per 10 units	Subscription/ exercise period	Unit issued on initial listing	Listing date
12346	UBS AG	Minsheng Bank European Warrants Jul 2014 A	0.38	10.68 per unit	2014/07/28	30,000,000	2013/12/31
12338	UBS AG	China National Building European Wts Jun 2014 B	0.25	10.38 per unit	2014/06/30	50,000,000	2013/12/31
12342	UBS AG	CSOP A50 ETF European Warrants Jul 2014 A	0.53	9.88 per unit	2014/07/21	60,000,000	2013/12/31
12343	UBS AG	CSOP A50 ETF European Warrants Jul 2014 B	0.3	10.90 per unit	2014/07/28	60,000,000	2013/12/31
12337	UBS AG	Evergrande European Warrants Jun 2014 A	0.35	3.28 per unit	2014/06/30	50,000,000	2013/12/31
12336	UBS AG	Evergrande European Warrants Jul 2014 A	0.3	3.48 per unit	2014/07/09	50,000,000	2013/12/31
12340	UBS AG	A50 China European Warrants May 2014 C	0.15	11.30 per unit	2014/05/26	100,000,000	2013/12/31
12339	UBS AG	A50 China European Warrants Jun 2014 B	0.4	10.38 per unit	2014/06/30	100,000,000	2013/12/31
12341	UBS AG	A50 China European Warrants Jul 2014 A	0.25	11.88 per unit	2014/07/09	100,000,000	2013/12/31
12334	UBS AG	GCL-Poly Energy European Warrants Jun 2014 A	0.35	2.68 per unit	2014/06/30	60,000,000	2013/12/31
12344	UBS AG	Greatwall Motor European Warrants Jun 2014 B	0.37	48.88	2014/06/30	60,000,000	2013/12/31
12332	UBS AG	HSI European Warrants Jul 2014 B	0.15	24,300 per 6,000 units	2014/07/30	300,000,000	2013/12/31
12330	UBS AG	HSI European Warrants Sep 2014 A	0.15	23,100 per 8,000 units	2014/09/29	300,000,000	2013/12/31
12329	UBS AG	HSI European Put Warrants May 2014 B	0.155	22,300 per 6,400 units	2014/05/29	300,000,000	2013/12/31
12327	UBS AG	HSI European Put Warrants Jun 2014 B	0.22	23,100 per 8,000 units	2014/06/27	300,000,000	2013/12/31
12328	UBS AG	HSI European Put Warrants Jun 2014 C	0.16	21,800 per 6,300 units	2014/06/27	300,000,000	2013/12/31
12333	UBS AG	Kingsoft European Warrants Jun 2014 B	0.3	21.88	2014/06/30	100,000,000	2013/12/31
12345	UBS AG	Ping An European Warrants Jul 2014 A	0.55	72.88	2014/07/09	100,000,000	2013/12/31
12347	UBS AG	Sands China European Warrants Jun 2014 B	0.3	73.88	2014/06/30	40,000,000	2013/12/31

Further issue

List of expired derivative warrants, 2013

Code	Warrant	Last trading date	Delisted date
23288	Nomura Int'l plc – Cheung Kong European Warrants Dec 2012	2012/12/20	2013/01/02
26040	BOCI Asia Ltd. – BankComm European Warrants Dec 2012	2012/12/20	2013/01/02
26644	BNP Paribas Arbit Issu B.V-BankComm European Warrants Dec 12	2012/12/20	2013/01/02
28176	BOCI Asia Ltd. – Ch Agri-Ind European Warrants Dec 2012	2012/12/20	2013/01/02
28465	J P Morgan SP BV – Cheung Kong European Warrants Dec 2012	2012/12/20	2013/01/02
11472	Nomura Int'l plc – PetroChina European Warrants Dec 2012	2012/12/20	2013/01/02
12528	Citigroup Global Mkt H Inc. – Angang Steel European Wt Dec12	2012/12/20	2013/01/02
14895	Credit Suisse AG – HKEx European Put Warrants Dec 2012	2012/12/20	2013/01/02
15864	Nomura Int'l plc – CITIC P European Warrants Dec 2012	2012/12/20	2013/01/02
16156	J P Morgan SP BV – CNBM European Warrants Dec 2012	2012/12/20	2013/01/02
16585	Standard Chartered Bank – ICBC European Warrants Dec 2012 A	2012/12/20	2013/01/02
16676	BOCI Asia Ltd. -Tencent Holdings European Put Wts Dec 2012	2012/12/20	2013/01/02
17664	Daiwa Capital Mkt – HKEx European Put Warrants Dec 2012 C	2012/12/20	2013/01/02
17961	Goldman Sachs SP (Asia) – Agri Bk European Wts Dec 2012 A	2012/12/20	2013/01/02
18701	Goldman Sachs SP (Asia) – Hutchison European Wts Dec 2012 B	2012/12/20	2013/01/02
18756	Nomura Int'l plc -Jiangxi Copper European Put Wts Dec 2012 A	2012/12/20	2013/01/02
18760	Nomura Int'l plc – CNBM European Warrants Dec 2012 A	2012/12/20	2013/01/02
18929	J P Morgan SP BV – A50 China European Warrants Dec 2012 A	2012/12/20	2013/01/02
19061	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2012 E	2012/12/20	2013/01/02
19065	Goldman Sachs Sp (Asia) – ICBC European Warrants Dec 2012 A	2012/12/20	2013/01/02
19264	J P Morgan SP BV – China Mob European Wts Dec 2012 B	2012/12/20	2013/01/02
19549	UBS AG – HSBC European Warrants Dec 2012 C	2012/12/20	2013/01/02
19720	UBS AG – SHK Ppt European Warrants Dec 2012 A	2012/12/20	2013/01/02
19858	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2012 D	2012/12/20	2013/01/02
20457	Credit Suisse AG – China Mobile European Warrants Dec 2012 C	2012/12/20	2013/01/02
25309	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Jan13	2012/12/20	2013/01/03
26956	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jan 2013	2012/12/20	2013/01/03
11710	SGA Societe Generale Acceptance N.V-Chi COSCO Euro Wt Jan 13	2012/12/20	2013/01/03
13559	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Jan 2013	2012/12/20	2013/01/03
17615	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 A	2012/12/20	2013/01/03
17711	Daiwa Capital Mkt – A50 China European Warrants Jan 2013 A	2012/12/20	2013/01/03
18190	Standard Chartered Bank – BOCL European Warrants Jan 2013 A	2012/12/20	2013/01/03
18809	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Jan 2013 A	2012/12/20	2013/01/03
18839	J P Morgan SP BV – China Mob European Put Wts Jan 2013 A	2012/12/20	2013/01/03
18840	J P Morgan SP BV – China Life European Warrants Jan 2013 A	2012/12/20	2013/01/03
18843	J P Morgan SP BV – Hutchison European Warrants Jan 2013 A	2012/12/20	2013/01/03
18844	J P Morgan SP BV – Hutchison European Warrants Jan 2013 B	2012/12/20	2013/01/03
18853	J P Morgan SP BV – ICBC European Warrants Jan 2013 A	2012/12/20	2013/01/03
18855	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2013 A	2012/12/20	2013/01/03
18878	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2013 A	2012/12/20	2013/01/03
19036	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2013B	2012/12/20	2013/01/03
19413	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2013 A	2012/12/20	2013/01/03
19473	Daiwa Capital Mkt – China Mobile European Wts Jan 2013 A	2012/12/20	2013/01/03
19475	Daiwa Capital Mkt – ICBC European Warrants Jan 2013 A	2012/12/20	2013/01/03
19490	Credit Suisse AG – China Mobile European Put Wts Jan 2013 A	2012/12/20	2013/01/03
19847	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 C	2012/12/20	2013/01/03
19879	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 B	2012/12/20	2013/01/03
19892	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 C	2012/12/20	2013/01/03
19932	UBS AG – HSBC European Warrants Jan 2013 A	2012/12/20	2013/01/03
20339	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2013 B	2012/12/20	2013/01/03
27916	BNP Paribas Arbit Issu B.V. – China Agri European Wts Jan13	2012/12/24	2013/01/04
27917	BNP Paribas Arbit Issu B.V. – China COSCO European Wts Jan13	2012/12/24	2013/01/04
27911	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wts Jan 2013	2012/12/24	2013/01/04
29763	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jan 2013	2012/12/24	2013/01/04
12726	Macquarie Bank Ltd. – HKEx European Warrants Jan 2013	2012/12/24	2013/01/04
14748	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jan 2013	2012/12/24	2013/01/04
14992	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2013	2012/12/24	2013/01/04
15209	Macquarie Bank Ltd. – Stanchart European Warrants Jan 2013	2012/12/24	2013/01/04
15315	Daiwa Capital Mkt – HKEx European Put Warrants Jan 2013	2012/12/24	2013/01/04
15732	BNP Paribas Arbit Issu B.V. – Weigao Group Euro Wts Jan 2013	2012/12/24	2013/01/04
15733	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Jan 2013	2012/12/24	2013/01/04
15734	BNP Paribas Arbit Issu B.V. – Anta Sports Euro Wts Jan 2013	2012/12/24	2013/01/04
15736	BNP Paribas Arbit Issu B.V. – First Pacific Euro Wt Jan 2013	2012/12/24	2013/01/04
15843	Macquarie Bank Ltd. – A50 China European Warrants Jan 2013	2012/12/24	2013/01/04
16168	BNP Paribas Arbit Issu B.V. – Weichai Power Euro Wt Jan 2013	2012/12/24	2013/01/04
16193	BNP Paribas Arbit Issu B.V. – CITIC Pacific Eu Wt Jan 2013	2012/12/24	2013/01/04
16451	Macquarie Bank Ltd. – China Unicom European Put Wts Jan 2013	2012/12/24	2013/01/04
16743	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jan 2013	2012/12/24	2013/01/04
16844	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013	2012/12/24	2013/01/04
16846	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jan 2013	2012/12/24	2013/01/04
16958	Macquarie Bank Ltd. – Esprit European Warrants Jan 2013 A	2012/12/24	2013/01/04
17040	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Jan 2013	2012/12/24	2013/01/04
17159	Macquarie Bank Ltd. – Esprit European Put Warrants Jan 2013	2012/12/24	2013/01/04
17202	Macquarie Bank Ltd. – AIA European Put Warrants Jan 2013 A	2012/12/24	2013/01/04
17378	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Jan 2013 A	2012/12/24	2013/01/04
17383	Macquarie Bank Ltd. – CITIC Pacific European Wts Jan 2013 A	2012/12/24	2013/01/04
17444	BNP Paribas Arbit Issu B.V. – CLP European Wts Jan 2013 A	2012/12/24	2013/01/04
17463	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wt Jan 2013A	2012/12/24	2013/01/04
17466	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2013 A	2012/12/24	2013/01/04
17467	BNP Paribas Arbit Issu B.V. – CSR European Wts Jan 2013 A	2012/12/24	2013/01/04
17469	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Jan 2013 A	2012/12/24	2013/01/04
17774	Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2013 A	2012/12/24	2013/01/04
17845	Macquarie Bank Ltd. – CRCC European Warrants Jan 2013 A	2012/12/24	2013/01/04
17903	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Jan 2013 A	2012/12/24	2013/01/04
17925	BNP Paribas Arbit Issu B.V. – CKI Holdings Eu Wts Jan 2013 A	2012/12/24	2013/01/04
17927	BNP Paribas Arbit Issu B.V. – Anhui Conch Eu Put Wt Jan 13 A	2012/12/24	2013/01/04
17938	BNP Paribas Arbit Issu B.V. – AAC Acoustic Eu Wts Jan 2013 A	2012/12/24	2013/01/04

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
18016	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2013 A	2012/12/24	2013/01/04
18062	J P Morgan SP BV – GCL-Poly European Warrants Jan 2013 A	2012/12/24	2013/01/04
18085	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Eu Wt Jan 2013 A	2012/12/24	2013/01/04
18086	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jan 2013 A	2012/12/24	2013/01/04
18118	Macquarie Bank Ltd. – Tencent European Warrants Jan 2013 A	2012/12/24	2013/01/04
18153	BNP Paribas Arbit Issu B.V. – Air China Euro Wts Jan 2013 A	2012/12/24	2013/01/04
18227	Citigroup Global Mkt H Inc. – Li & Fung Euro Wt Jan 2013 A	2012/12/24	2013/01/04
18266	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2013 A	2012/12/24	2013/01/04
18268	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jan 2013 A	2012/12/24	2013/01/04
18325	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jan 2013 A	2012/12/24	2013/01/04
18331	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Jan 2013 A	2012/12/24	2013/01/04
18354	Macquarie Bank Ltd. – China Tel European Warrants Jan 2013 A	2012/12/24	2013/01/04
18360	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Jan 2013A	2012/12/24	2013/01/04
18364	Macquarie Bank Ltd. – ICBC European Warrants Jan 2013 A	2012/12/24	2013/01/04
18365	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2013 A	2012/12/24	2013/01/04
18366	BNP Paribas Arbit Issu B.V. – Gome European Wts Jan 2013 A	2012/12/24	2013/01/04
18372	BNP Paribas Arbit Issu B.V. – ICBC Euro Put Wts Jan 2013 A	2012/12/24	2013/01/04
18373	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Jan 2013B	2012/12/24	2013/01/04
18405	BNP Paribas Arbit Issu B.V. – NCI European Wts Jan 2013 A	2012/12/24	2013/01/04
18408	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wt Jan13A	2012/12/24	2013/01/04
18592	Macquarie Bank Ltd. – HSBC European Warrants Jan 2013 A	2012/12/24	2013/01/04
18604	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jan 2013 A	2012/12/24	2013/01/04
18815	Macquarie Bank Ltd. – China Mobile European Wts Jan 2013 A	2012/12/24	2013/01/04
18816	Macquarie Bank Ltd. – China Mobile European Wts Jan 2013 B	2012/12/24	2013/01/04
18902	Macquarie Bank Ltd. – CHALCO European Warrants Jan 2013 A	2012/12/24	2013/01/04
18907	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jan 2013 A	2012/12/24	2013/01/04
18961	BOCI Asia Ltd. – HSBC European Warrants Jan 2013 A	2012/12/24	2013/01/04
18971	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Jan 2013 A	2012/12/24	2013/01/04
18998	Macquarie Bank Ltd. – Chow Tai Fook European Wts Jan 2013 A	2012/12/24	2013/01/04
18999	Macquarie Bank Ltd. – CQRC Bank European Warrants Jan 2013 A	2012/12/24	2013/01/04
19000	Macquarie Bank Ltd. – ICBC European Warrants Jan 2013 B	2012/12/24	2013/01/04
19012	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Jan 2013 B	2012/12/24	2013/01/04
19016	Macquarie Bank Ltd. – BankComm European Warrants Jan 2013 A	2012/12/24	2013/01/04
19074	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2013 A	2012/12/24	2013/01/04
19078	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Jan 2013 A	2012/12/24	2013/01/04
19081	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2013 B	2012/12/24	2013/01/04
19147	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Jan 2013 A	2012/12/24	2013/01/04
19168	Macquarie Bank Ltd. – Huaneng Power European Wts Jan 2013 A	2012/12/24	2013/01/04
19446	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jan 2013 B	2012/12/24	2013/01/04
19515	Macquarie Bank Ltd. – SHK Ppt European Warrants Jan 2013 A	2012/12/24	2013/01/04
19543	Macquarie Bank Ltd. – HSBC European Warrants Jan 2013 B	2012/12/24	2013/01/04
19705	Macquarie Bank Ltd. – AIA European Warrants Jan 2013 B	2012/12/24	2013/01/04
19772	BOCI Asia Ltd. – China Tel European Warrants Jan 2013 A	2012/12/24	2013/01/04
19785	Macquarie Bank Ltd. – Ping An European Put Wts Jan 2013 A	2012/12/24	2013/01/04
20026	Macquarie Bank Ltd. – Hutchison European Warrants Jan 2013 A	2012/12/24	2013/01/04
20059	Macquarie Bank Ltd. – Ping An European Warrants Jan 2013 B	2012/12/24	2013/01/04
20079	J P Morgan SP BV – China Mob European Wts Jan 2013 B	2012/12/24	2013/01/04
14538	J P Morgan SP BV – MTRC European Warrants Jan 2013	2012/12/27	2013/01/07
15661	Macquarie Bank Ltd. – KECL European Warrants Jan 2013	2012/12/27	2013/01/07
16222	Standard Chartered Bank – MTRC European Warrants Jan 2013	2012/12/27	2013/01/07
17790	Macquarie Bank Ltd. – ZTE European Warrants Jan 2013 A	2012/12/27	2013/01/07
18131	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2013 A	2012/12/27	2013/01/07
18322	Standard Chartered Bank – China Mobile Euro Wts Jan 2013 A	2012/12/27	2013/01/07
18323	Standard Chartered Bank – AIA European Warrants Jan 2013 A	2012/12/27	2013/01/07
18454	Standard Chartered Bank-China Life European Wts Jan 2013 A	2012/12/27	2013/01/07
18640	BOCI Asia Ltd. – China Life European Warrants Jan 2013 A	2012/12/27	2013/01/07
19041	Standard Chartered Bank – ICBC European Warrants Jan 2013 A	2012/12/27	2013/01/07
19183	Credit Suisse AG – ChiUnicom European Warrants Jan 2013 A	2012/12/27	2013/01/07
20206	UBS AG – Ping An European Warrants Jan 2013 B	2012/12/27	2013/01/07
16417	Citigroup Global Mkt H Inc. – Haier Elec Euro Wts Jan 2013	2012/12/31	2013/01/08
17479	CC Rabobank B.A. – CITIC Pacific European Wts Jan 2013 A	2012/12/31	2013/01/08
18109	Standard Chartered Bank – KECL European Warrants Jan 2013 A	2012/12/31	2013/01/08
18485	Daiwa Capital Mkt – CNOOC European Warrants Jan 2013 A	2012/12/31	2013/01/08
18715	Credit Suisse AG – China Shenhua European Wts Jan 2013 A	2012/12/31	2013/01/08
18946	BOCI Asia Ltd. – Sinopec Corp European Warrants Jan 2013 A	2012/12/31	2013/01/08
18977	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jan 13 B	2012/12/31	2013/01/08
19090	CC Rabobank B.A. – Lonking European Warrants Jan 2013 A	2012/12/31	2013/01/08
19167	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jan 2013 B	2012/12/31	2013/01/08
19178	CC Rabobank B.A. – China Shenhua European Warrants Jan 2013B	2012/12/31	2013/01/08
19192	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jan13A	2012/12/31	2013/01/08
19195	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jan 2013 A	2012/12/31	2013/01/08
19209	J P Morgan SP BV – Tencent European Warrants Jan 2013 A	2012/12/31	2013/01/08
19223	Credit Suisse AG – Chow Tai Fook European Wts Jan 2013 A	2012/12/31	2013/01/08
19304	UBS AG – ICBC European Warrants Jan 2013 A	2012/12/31	2013/01/08
19421	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jan 2013 A	2012/12/31	2013/01/08
19505	Standard Chartered Bank – CTel European Warrants Jan 2013 A	2012/12/31	2013/01/08
19583	Standard Chartered Bank – HSBC European Put Wts Jan 2013 A	2012/12/31	2013/01/08
19617	Daiwa Capital Mkt – HSBC European Put Warrants Jan 2013 C	2012/12/31	2013/01/08
19765	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2013 A	2012/12/31	2013/01/08
19856	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 13 A	2012/12/31	2013/01/08
19920	Credit Suisse AG – Lenovo European Warrants Jan 2013 A	2012/12/31	2013/01/08
19987	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 C	2012/12/31	2013/01/08
20132	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jan 2013 A	2012/12/31	2013/01/08
20222	UBS AG – Lenovo European Warrants Jan 2013 A	2012/12/31	2013/01/08
20434	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jan 2013 C	2012/12/31	2013/01/08
17971	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Jan 2013 A	2013/01/02	2013/01/09
19474	Daiwa Capital Mkt – China Mobile European Put Wts Jan 2013 B	2013/01/02	2013/01/09

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
13482	Citigroup Global Mkt H Inc. – C Transmission Eur Wt Jan 2013	2013/01/03	2013/01/10
16814	Citigroup Global Mkt H Inc. – Sunart Retail Euro Wt Jan 2013	2013/01/03	2013/01/10
18642	BOCI Asia Ltd. – BOCL European Warrants Jan 2013 A	2013/01/03	2013/01/10
19764	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jan 2013A	2013/01/03	2013/01/10
20415	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2013 A	2013/01/03	2013/01/10
20416	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2013 C	2013/01/03	2013/01/10
20137	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2013 B	2013/01/07	2013/01/14
20180	Credit Suisse AG – BOCL European Warrants Jan 2013 A	2013/01/07	2013/01/14
16513	Citigroup Global Mkt H Inc. – KECL European Wts Jan 2013	2013/01/08	2013/01/15
18002	CC Rabobank B.A. – Lenovo European Put Warrants Jan 2013 A	2013/01/08	2013/01/15
18087	Citigroup Global Mkt H Inc. – Luk Fook Hold Eu Wt Jan 2013 A	2013/01/08	2013/01/15
18121	UBS AG – Tencent Holdings European Warrants Jan 2013 A	2013/01/08	2013/01/15
18137	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Jan 2013 A	2013/01/08	2013/01/15
18138	Citigroup Global Mkt H Inc.-Tencent European Wts Jan 2013 A	2013/01/08	2013/01/15
18513	UBS AG – China Shenhua European Warrants Jan 2013 A	2013/01/08	2013/01/15
18665	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2013 A	2013/01/08	2013/01/15
18666	Goldman Sachs SP (Asia) – Anhui Conch European Wts Jan 2013A	2013/01/08	2013/01/15
18835	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2013 B	2013/01/08	2013/01/15
19043	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jan 2013 A	2013/01/08	2013/01/15
19150	Goldman Sachs SP (Asia) – China Tel European Wts Jan 2013 A	2013/01/08	2013/01/15
19151	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Jan 2013 A	2013/01/08	2013/01/15
19166	Goldman Sachs SP (Asia) – HKEx European Warrants Jan 2013 A	2013/01/08	2013/01/15
19199	HK Bank – Tencent European Warrants Jan 2013 A	2013/01/08	2013/01/15
19200	HK Bank – ICBC European Warrants Jan 2013 A	2013/01/08	2013/01/15
19202	Goldman Sachs SP (Asia) – Lenovo European Put Wts Jan 2013 A	2013/01/08	2013/01/15
19212	Macquarie Bank Ltd. – Luk Fook Hold European Wts Jan 2013 A	2013/01/08	2013/01/15
19270	CC Rabobank B.A. – Lenovo European Warrants Jan 2013 B	2013/01/08	2013/01/15
19493	Macquarie Bank Ltd. – ICBC European Put Warrants Jan 2013 C	2013/01/08	2013/01/15
19728	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jan 2013 A	2013/01/08	2013/01/15
19868	UBS AG – A50 China European Warrants Jan 2013 A	2013/01/08	2013/01/15
19895	HK Bank – A50 China European Warrants Jan 2013 B	2013/01/08	2013/01/15
19943	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jan 2013A	2013/01/08	2013/01/15
19962	UBS AG – Ping An European Warrants Jan 2013 A	2013/01/08	2013/01/15
20053	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jan 2013 A	2013/01/08	2013/01/15
20056	UBS AG – HKEx European Warrants Jan 2013 A	2013/01/08	2013/01/15
20298	UBS AG – HSBC European Put Warrants Jan 2013 B	2013/01/08	2013/01/15
20430	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2013 B	2013/01/08	2013/01/15
20459	Credit Suisse AG – HSBC European Put Warrants Jan 2013 A	2013/01/08	2013/01/15
19246	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 A	2013/01/10	2013/01/17
19247	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 A	2013/01/10	2013/01/17
20680	Standard Chartered Bank – HKEx European Warrants Jan 2013 A	2013/01/10	2013/01/17
16415	Citigroup Global Mkt H Inc. – Brilliance Chi Eu Wts Jan 2013	2013/01/15	2013/01/22
16432	Goldman Sachs SP (Asia) – Brilliance Chi Euro Wts Jan 2013	2013/01/15	2013/01/22
17243	Citigroup Global Mkt H Inc. – CNOOC European Wts Jan 2013 A	2013/01/15	2013/01/22
17356	Macquarie Bank Ltd. – Brilliance Chi Euro Warrants Jan 2013A	2013/01/15	2013/01/22
17535	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2013 A	2013/01/15	2013/01/22
17616	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 B	2013/01/15	2013/01/22
18038	UBS AG – BOC HK European Warrants Jan 2013 A	2013/01/15	2013/01/22
18101	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2013 A	2013/01/15	2013/01/22
18159	CC Rabobank B.A. – Tencent Holdings European Wts Jan 2013 A	2013/01/15	2013/01/22
18264	Goldman Sachs SP (Asia) – Esprit European Wts Jan 2013 A	2013/01/15	2013/01/22
18650	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Jan 2013 A	2013/01/15	2013/01/22
18774	CC Rabobank B.A. – Luk Fook Hold European Wts Jan 2013 A	2013/01/15	2013/01/22
18849	CC Rabobank B.A. – Datang European Warrants Jan 2013 A	2013/01/15	2013/01/22
18988	CC Rabobank B.A. – Dongfang Elec European Wts Jan 2013 A	2013/01/15	2013/01/22
19086	Goldman Sachs SP (Asia) -Tencent European Wt Jan 2013 A	2013/01/15	2013/01/22
19267	CC Rabobank B.A. – HKEx European Put Warrants Jan 2013 A	2013/01/15	2013/01/22
19268	CC Rabobank B.A. – HKEx European Warrants Jan 2013 B	2013/01/15	2013/01/22
19269	CC Rabobank B.A. – Ping An European Warrants Jan 2013 A	2013/01/15	2013/01/22
19320	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Jan 2013 A	2013/01/15	2013/01/22
19321	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Jan 2013A	2013/01/15	2013/01/22
19342	J P Morgan SP BV – HKEx European Warrants Jan 2013 A	2013/01/15	2013/01/22
19362	BOCI Asia Ltd. – ICBC European Put Warrants Jan 2013 A	2013/01/15	2013/01/22
19384	J P Morgan SP BV – A50 China European Warrants Jan 2013 A	2013/01/15	2013/01/22
19398	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jan2013A	2013/01/15	2013/01/22
19399	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jan 2013 B	2013/01/15	2013/01/22
19410	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 B	2013/01/15	2013/01/22
19747	CC Rabobank B.A. – CSR European Warrants Jan 2013 A	2013/01/15	2013/01/22
19820	BOCI Asia Ltd. – Ping An European Warrants Jan 2013 A	2013/01/15	2013/01/22
19986	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 B	2013/01/15	2013/01/22
20043	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jan13A	2013/01/15	2013/01/22
20278	Daiwa Capital Mkt – HKEx European Warrants Jan 2013 A	2013/01/15	2013/01/22
20324	UBS AG – HKEx European Warrants Jan 2013 B	2013/01/15	2013/01/22
20638	BOCI Asia Ltd. – HSBC European Put Warrants Jan 2013 B	2013/01/15	2013/01/22
19407	Credit Suisse AG – Yanzhou Coal European Warrants Jan 2013 A	2013/01/16	2013/01/23
19713	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 C	2013/01/16	2013/01/23
16899	UBS AG – Tencent Holdings European Warrants Jan 2013	2013/01/17	2013/01/24
18237	Bank of East Asia – Tencent Holdings European Wts Jan 2013 A	2013/01/17	2013/01/24
19800	BOCI Asia Ltd. – Tencent Holdings European Wts Jan 2013 A	2013/01/17	2013/01/24
19814	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jan 2013 B	2013/01/17	2013/01/24
16911	Daiwa Capital Mkt – BOC HK European Warrants Jan 2013	2013/01/18	2013/01/25
17809	BOCI Asia Ltd. – BOC HK European Warrants Jan 2013 A	2013/01/18	2013/01/25
18347	Standard Chartered Bank – Agricultural Bk Euro Wts Jan 2013A	2013/01/18	2013/01/25
19462	CC Rabobank B.A. – Ch State Con European Warrants Jan 2013 A	2013/01/18	2013/01/25
27634	Credit Suisse AG – HSBC European Wts Jan 2013	2013/01/21	2013/01/28
18440	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2013 A	2013/01/21	2013/01/28
19189	UBS AG – China Life European Warrants Jan 2013 A	2013/01/21	2013/01/28

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19265	J P Morgan SP BV – China Life European Warrants Jan 2013 C	2013/01/21	2013/01/28
19388	Credit Suisse AG – China Life European Warrants Jan 2013 A	2013/01/21	2013/01/28
19497	Credit Suisse AG – CC Bank European Put Warrants Jan 2013 A	2013/01/21	2013/01/28
19498	Credit Suisse AG – HKEx European Warrants Jan 2013 A	2013/01/21	2013/01/28
19766	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2013 A	2013/01/21	2013/01/28
20238	Citigroup Global Mkt H Inc. – China Life Euro Wts Jan 2013 A	2013/01/21	2013/01/28
20529	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2013 B	2013/01/21	2013/01/28
24812	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jan 2013	2013/01/22	2013/01/29
25129	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2013	2013/01/22	2013/01/29
25130	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013	2013/01/22	2013/01/29
27537	UBS AG – HSBC European Warrants Jan 2013	2013/01/22	2013/01/29
14021	J P Morgan SP BV – Chinares Cement Euro Warrants Jan 2013	2013/01/22	2013/01/29
14220	J P Morgan SP BV – Brilliance Chi European Warrants Jan 2013	2013/01/22	2013/01/29
14405	Nomura Int'l plc – SHK P European Warrants Jan 2013	2013/01/22	2013/01/29
14782	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jan 2013A	2013/01/22	2013/01/29
14803	J P Morgan SP BV – SHK Ppt European Warrants Jan 2013	2013/01/22	2013/01/29
14846	UBS AG – SHK Ppt European Warrants Jan 2013	2013/01/22	2013/01/29
14923	UBS AG – PetroChina European Warrants Jan 2013	2013/01/22	2013/01/29
15559	UBS AG – China Coal European Warrants Jan 2013	2013/01/22	2013/01/29
15721	CC Rabobank B.A. – China Coal European Warrants Jan 2013	2013/01/22	2013/01/29
15992	Nomura Int'l plc – China Coal European Warrants Jan 2013	2013/01/22	2013/01/29
16046	HK Bank – PetroChina European Warrants Jan 2013	2013/01/22	2013/01/29
16939	UBS AG – CNOOC European Warrants Jan 2013	2013/01/22	2013/01/29
17062	Goldman Sachs SP (Asia) – HKEx European Put Wts Jan 2013	2013/01/22	2013/01/29
17312	Nomura Int'l plc – Link REIT European Warrants Jan 2013 A	2013/01/22	2013/01/29
17352	Standard Chartered Bank – CNOOC European Warrants Jan 2013 A	2013/01/22	2013/01/29
17524	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jan13A	2013/01/22	2013/01/29
18303	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2013 A	2013/01/22	2013/01/29
18336	Goldman Sachs SP (Asia) – Agri Bk European Wts Jan 2013 A	2013/01/22	2013/01/29
18357	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jan 2013 A	2013/01/22	2013/01/29
18638	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jan 2013 A	2013/01/22	2013/01/29
18654	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jan 2013 A	2013/01/22	2013/01/29
18910	Goldman Sachs SP (Asia) – Hutchison European Wts Jan 2013 A	2013/01/22	2013/01/29
18985	UBS AG – Tencent Holdings European Put Warrants Jan 2013 B	2013/01/22	2013/01/29
19035	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2013A	2013/01/22	2013/01/29
19069	HK Bank – China Life European Warrants Jan 2013 A	2013/01/22	2013/01/29
19196	HK Bank – Sands China European Warrants Jan 2013 A	2013/01/22	2013/01/29
19197	HK Bank – A50 China European Warrants Jan 2013 A	2013/01/22	2013/01/29
19233	Nomura Int'l plc – Lenovo European Warrants Jan 2013 A	2013/01/22	2013/01/29
19234	Nomura Int'l plc – HuanengPower European Warrants Jan 2013 A	2013/01/22	2013/01/29
19435	UBS AG – ICBC European Put Warrants Jan 2013 B	2013/01/22	2013/01/29
19460	CC Rabobank B.A. – Skyworth Digital European Wts Jan 2013 A	2013/01/22	2013/01/29
19484	UBS AG – ICBC European Warrants Jan 2013 C	2013/01/22	2013/01/29
19495	UBS AG – CC Bank European Warrants Jan 2013 B	2013/01/22	2013/01/29
19502	UBS AG – CC Bank European Warrants Jan 2013 C	2013/01/22	2013/01/29
19504	Standard Chartered Bank – Hutchison Euro Euro Wts Jan 2013 A	2013/01/22	2013/01/29
19518	Macquarie Bank Ltd. – CSR European Warrants Jan 2013 A	2013/01/22	2013/01/29
19521	Macquarie Bank Ltd. – Xinyi Glass European Warrants Jan 13 A	2013/01/22	2013/01/29
19537	Credit Suisse AG – China Unicom European Put Wts Jan 2013 B	2013/01/22	2013/01/29
19556	Daiwa Capital Mkt – CCB European Put Warrants Jan 2013 A	2013/01/22	2013/01/29
19604	UBS AG – Hutchison European Warrants Jan 2013 A	2013/01/22	2013/01/29
19707	UBS AG – Jiangxi Copper European Warrants Jan 2013 A	2013/01/22	2013/01/29
19724	Credit Suisse AG – China Mobile European Warrants Jan 2013 B	2013/01/22	2013/01/29
19725	Credit Suisse AG – Hutchison European Warrants Jan 2013 A	2013/01/22	2013/01/29
19777	CC Rabobank B.A. – Ch Mobile European Warrants Jan 2013 A	2013/01/22	2013/01/29
19815	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jan 2013 A	2013/01/22	2013/01/29
19816	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2013 A	2013/01/22	2013/01/29
19869	UBS AG – China Mobile European Warrants Jan 2013 A	2013/01/22	2013/01/29
19887	CC Rabobank B.A. – Anhui Conch European Warrants Jan 2013 A	2013/01/22	2013/01/29
19940	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jan 2013 B	2013/01/22	2013/01/29
20071	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jan 2013 C	2013/01/22	2013/01/29
20135	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jan13B	2013/01/22	2013/01/29
20160	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jan 2013 A	2013/01/22	2013/01/29
20275	BOCI Asia Ltd. – SHK Ppt European Warrants Jan 2013 A	2013/01/22	2013/01/29
20314	Credit Suisse AG – SHK Ppt European Warrants Jan 2013 A	2013/01/22	2013/01/29
20315	Credit Suisse AG – HKEx European Warrants Jan 2013 B	2013/01/22	2013/01/29
20503	CC Rabobank B.A. – China Coal European Warrants Jan 2013 A	2013/01/22	2013/01/29
20714	Credit Suisse AG – CC Bank European Warrants Jan 2013 C	2013/01/22	2013/01/29
19377	UBS AG – CC Bank European Warrants Jan 2013 A	2013/01/23	2013/01/30
19712	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 B	2013/01/23	2013/01/30
20296	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jan2013B	2013/01/23	2013/01/30
24534	J P Morgan SP BV – HSBC European Warrants Jan 2013	2013/01/24	2013/01/31
13021	HK Bank – PetroChina European Put Warrants Jan 2013	2013/01/24	2013/01/31
15848	J P Morgan SP BV – PetroChina European Warrants Jan 2013	2013/01/24	2013/01/31
16724	HK Bank – Tencent European Warrants Jan 2013	2013/01/24	2013/01/31
18299	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2013 A	2013/01/24	2013/01/31
18392	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2013 A	2013/01/24	2013/01/31
18509	CC Rabobank B.A. – China Shenhua European Warrants Jan 2013A	2013/01/24	2013/01/31
18529	Daiwa Capital Mkt – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
18576	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jan 13 A	2013/01/24	2013/01/31
18886	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Jan 2013A	2013/01/24	2013/01/31
18892	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2013 B	2013/01/24	2013/01/31
19042	Standard Chartered Bank – CC Bank European Wts Jan 2013 A	2013/01/24	2013/01/31
19100	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2013 A	2013/01/24	2013/01/31
19131	J P Morgan SP BV – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19181	Credit Suisse AG – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19230	Nomura Int'l plc – Sany Int'l European Warrants Jan 2013 A	2013/01/24	2013/01/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19276	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2013 B	2013/01/24	2013/01/31
19277	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2013 C	2013/01/24	2013/01/31
19279	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2013 D	2013/01/24	2013/01/31
19359	Goldman Sachs SP (Asia) – Evergrande European Wts Jan 2013 A	2013/01/24	2013/01/31
19360	Goldman Sachs SP (Asia) – Yurun Food European Wts Jan 2013 A	2013/01/24	2013/01/31
19373	J P Morgan SP BV – HSI European Warrants Jan 2013 B	2013/01/24	2013/01/31
19433	HK Bank – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19513	Daiwa Capital Mkt – HSI European Put Warrants Jan 2013 B	2013/01/24	2013/01/31
19529	BOCI Asia Ltd. – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19540	UBS AG – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19584	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2013 A	2013/01/24	2013/01/31
19605	UBS AG – HSI European Warrants Jan 2013 B	2013/01/24	2013/01/31
19647	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jan 2013 B	2013/01/24	2013/01/31
19701	Standard Chartered Bank – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19731	Goldman Sachs SP (Asia) – HSI European Warrants Jan 2013 A	2013/01/24	2013/01/31
19828	BOCI Asia Ltd. – HSI European Warrants Jan 2013 B	2013/01/24	2013/01/31
19969	Credit Suisse AG – HSI European Put Warrants Jan 2013 B	2013/01/24	2013/01/31
19970	Credit Suisse AG – HSI European Warrants Jan 2013 C	2013/01/24	2013/01/31
19971	Credit Suisse AG – HSI European Warrants Jan 2013 D	2013/01/24	2013/01/31
20007	UBS AG – HSI European Put Warrants Jan 2013 C	2013/01/24	2013/01/31
20015	HK Bank – HSI European Warrants Jan 2013 B	2013/01/24	2013/01/31
20031	Citigroup Global Mkt H Inc. – HSI European Put Wt Jan 2013 A	2013/01/24	2013/01/31
20033	Citigroup Global Mkt H Inc. – HSI European Wts Jan 2013 B	2013/01/24	2013/01/31
20075	Standard Chartered Bank – HSI European Warrants Jan 2013 B	2013/01/24	2013/01/31
20221	UBS AG – HSI European Warrants Jan 2013 D	2013/01/24	2013/01/31
23281	Nomura Int'l plc – HSBC European Warrants Jan 2013	2013/01/25	2013/02/01
25220	Nomura Int'l plc – PetroChina European Warrants Jan 2013	2013/01/25	2013/02/01
28224	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jan 2013	2013/01/25	2013/02/01
14628	J P Morgan SP BV – Zoomlion European Warrants Jan 2013	2013/01/25	2013/02/01
15737	Nomura Int'l plc – HK Gas European Warrants Jan 2013	2013/01/25	2013/02/01
17186	Daiwa Capital Mkt – PetroChina European Put Wts Jan 2013	2013/01/25	2013/02/01
17311	Nomura Int'l plc – Tencent Holdings Euro Warrants Jan 2013 A	2013/01/25	2013/02/01
17313	Nomura Int'l plc – PetroChina European Put Wts Jan 2013 A	2013/01/25	2013/02/01
17721	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jan 2013 A	2013/01/25	2013/02/01
18659	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 A	2013/01/25	2013/02/01
18922	Credit Suisse AG – PetroChina European Warrants Jan 2013 A	2013/01/25	2013/02/01
19294	Nomura Int'l plc – Sinopec European Warrants Jan 2013 A	2013/01/25	2013/02/01
19335	Nomura Int'l plc – China Telecom European Wts Jan 2013 A	2013/01/25	2013/02/01
19452	Nomura Int'l plc – JIANC European Warrants Jan 2013 A	2013/01/25	2013/02/01
19457	Nomura Int'l plc – ICBC European Warrants Jan 2013 A	2013/01/25	2013/02/01
19997	Credit Suisse AG – CC Bank European Warrants Jan 2013 B	2013/01/25	2013/02/01
19998	Credit Suisse AG – ICBC European Warrants Jan 2013 A	2013/01/25	2013/02/01
20008	UBS AG – Evergrande European Warrants Jan 2013 A	2013/01/25	2013/02/01
20730	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 D	2013/01/25	2013/02/01
22780	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2013	2013/01/28	2013/02/04
25258	BNP Paribas Arbit Issu B.V. – HKEx European Wts Feb 2013	2013/01/28	2013/02/04
18173	Standard Chartered Bank-China Life European Wts Feb 2013 A	2013/01/28	2013/02/04
18983	Standard Chartered Bank – ICBC European Warrants Feb 2013 A	2013/01/28	2013/02/04
19158	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Feb 2013 A	2013/01/28	2013/02/04
19401	J P Morgan SP BV – Henderson Land Dev European Wts Feb 2013A	2013/01/28	2013/02/04
19403	J P Morgan SP BV – Geely Auto European Warrants Feb 2013 A	2013/01/28	2013/02/04
19404	J P Morgan SP BV – Evergrande European Warrants Feb 2013 A	2013/01/28	2013/02/04
19541	J P Morgan SP BV – China Mob European Wts Feb 2013 A	2013/01/28	2013/02/04
19554	Daiwa Capital Mkt – BOCL European Put Warrants Feb 2013 A	2013/01/28	2013/02/04
19555	Daiwa Capital Mkt – ICBC European Put Warrants Feb 2013 A	2013/01/28	2013/02/04
19659	Daiwa Capital Mkt – CCB European Warrants Feb 2013 B	2013/01/28	2013/02/04
19674	BNP Paribas Arbit Issu B.V. – HSBC European Wts Feb 2013 A	2013/01/28	2013/02/04
19767	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Feb 13 A	2013/01/28	2013/02/04
19819	BOCI Asia Ltd. – ICBC European Warrants Feb 2013 A	2013/01/28	2013/02/04
19843	Credit Suisse AG – China Mobile European Put Wts Feb 2013 A	2013/01/28	2013/02/04
23929	Macquarie Bank Ltd. – PetroChina European Wts Feb 2013	2013/01/29	2013/02/05
29135	Deutsche Bank AG – HSBC European Warrants Feb 2013	2013/01/29	2013/02/05
29140	Macquarie Bank Ltd. – HSBC European Warrants Feb 2013	2013/01/29	2013/02/05
29486	BNP Paribas Arbit Issu B.V. – CR Cement Euro Wts Feb 2013	2013/01/29	2013/02/05
11771	Nomura Int'l plc – Chinares Cement European Warrants Feb 13	2013/01/29	2013/02/05
11841	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Feb 2013	2013/01/29	2013/02/05
14274	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2013	2013/01/29	2013/02/05
14614	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Feb2013	2013/01/29	2013/02/05
14750	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Warrants Feb 2013	2013/01/29	2013/02/05
15708	Macquarie Bank Ltd. – China Coal European Warrants Feb 2013	2013/01/29	2013/02/05
16233	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Feb 2013	2013/01/29	2013/02/05
16263	Macquarie Bank Ltd. – A50 China European Warrants Feb 2013	2013/01/29	2013/02/05
16458	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Feb 2013	2013/01/29	2013/02/05
16615	Macquarie Bank Ltd. – SJM Holdings European Wts Feb 2013	2013/01/29	2013/02/05
16656	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013	2013/01/29	2013/02/05
16803	Macquarie Bank Ltd. – A50 China European Put Wts Feb 2013	2013/01/29	2013/02/05
16892	Macquarie Bank Ltd. – HKEx European Put Warrants Feb 2013	2013/01/29	2013/02/05
16947	Macquarie Bank Ltd. – Tencent European Warrants Feb 2013 A	2013/01/29	2013/02/05
17353	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Feb 2013 A	2013/01/29	2013/02/05
17500	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Feb 13 A	2013/01/29	2013/02/05
17582	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Feb 13 B	2013/01/29	2013/02/05
17650	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Feb 2013 A	2013/01/29	2013/02/05
17651	Macquarie Bank Ltd. – Link REIT European Warrants Feb 2013 A	2013/01/29	2013/02/05
17677	CC Rabobank B.A. – Yanzhou Coal European Warrants Feb 2013 A	2013/01/29	2013/02/05
17689	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Feb 2013 A	2013/01/29	2013/02/05
17789	Macquarie Bank Ltd. – Li & Fung European Warrants Feb 2013 A	2013/01/29	2013/02/05
17852	Macquarie Bank Ltd. – Yanzhou Coal European Wts Feb 2013 A	2013/01/29	2013/02/05

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
18011	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Feb13A	2013/01/29	2013/02/05
18142	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Feb 13A	2013/01/29	2013/02/05
18144	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Feb 2013 A	2013/01/29	2013/02/05
18146	BNP Paribas Arbit Issu B.V. – Dongfang Elec Eu Wt Feb 2013 A	2013/01/29	2013/02/05
18148	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2013 A	2013/01/29	2013/02/05
18150	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Feb 2013 A	2013/01/29	2013/02/05
18151	BNP Paribas Arbit Issu B.V. – Huaneng Euro Wts Feb 2013 A	2013/01/29	2013/02/05
18152	BNP Paribas Arbit Issu B.V. – ZTE European Wts Feb 2013 A	2013/01/29	2013/02/05
18288	Standard Chartered Bank – Zijin Mining Euro Wts Feb 2013 A	2013/01/29	2013/02/05
18369	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Feb 2013 A	2013/01/29	2013/02/05
18423	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2013 A	2013/01/29	2013/02/05
18601	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2013 A	2013/01/29	2013/02/05
18754	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Feb13A	2013/01/29	2013/02/05
18908	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Feb 13 A	2013/01/29	2013/02/05
19193	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2013 A	2013/01/29	2013/02/05
19248	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2013 A	2013/01/29	2013/02/05
19303	Macquarie Bank Ltd. – Lenovo European Warrants Feb 2013 A	2013/01/29	2013/02/05
19313	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Feb 2013A	2013/01/29	2013/02/05
19314	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Feb 2013A	2013/01/29	2013/02/05
19316	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Feb 2013A	2013/01/29	2013/02/05
19346	Goldman Sachs SP (Asia) – BankComm European Wts Feb 2013 A	2013/01/29	2013/02/05
19356	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2013 B	2013/01/29	2013/02/05
19390	BNP Paribas Arbit Issu B.V. – Henganintl Euro Wts Feb 2013 A	2013/01/29	2013/02/05
19391	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2013 B	2013/01/29	2013/02/05
19393	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Feb 2013 A	2013/01/29	2013/02/05
19406	Macquarie Bank Ltd. – CC Bank European Put Wts Feb 2013 A	2013/01/29	2013/02/05
19414	Macquarie Bank Ltd. – China Life European Wts Feb 2013 A	2013/01/29	2013/02/05
19436	UBS AG – CC Bank European Put Warrants Feb 2013 A	2013/01/29	2013/02/05
19486	BOCI Asia Ltd. – HSBC European Put Warrants Feb 2013 A	2013/01/29	2013/02/05
19507	Macquarie Bank Ltd. – China Railway European Wts Feb 2013 A	2013/01/29	2013/02/05
19516	Macquarie Bank Ltd. – Wharf European Warrants Feb 2013 A	2013/01/29	2013/02/05
19517	Macquarie Bank Ltd. – Swrie Pacific A Euro Wts Feb 2013 A	2013/01/29	2013/02/05
19525	Macquarie Bank Ltd. – A50 China European Warrants Feb 2013 A	2013/01/29	2013/02/05
19527	Macquarie Bank Ltd. – Poly HK Inv European Wts Feb 2013 A	2013/01/29	2013/02/05
19536	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 A	2013/01/29	2013/02/05
19539	Macquarie Bank Ltd. – Zoomlion European Warrants Feb 2013 A	2013/01/29	2013/02/05
19546	Macquarie Bank Ltd. – China Coal European Wts Feb 2013 A	2013/01/29	2013/02/05
19562	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Feb13B	2013/01/29	2013/02/05
19564	Macquarie Bank Ltd. – Yurun Food European Wts Feb 2013 A	2013/01/29	2013/02/05
19576	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2013 A	2013/01/29	2013/02/05
19577	CC Rabobank B.A. – Sands China European Warrants Feb 2013 A	2013/01/29	2013/02/05
19586	Macquarie Bank Ltd. – NCI European Warrants Feb 2013 A	2013/01/29	2013/02/05
19587	Macquarie Bank Ltd. – Ch Comm Cons European Wts Feb 2013 A	2013/01/29	2013/02/05
19588	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt Feb 13A	2013/01/29	2013/02/05
19596	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2013 A	2013/01/29	2013/02/05
19599	Macquarie Bank Ltd. – Nine Dragons European Wts Feb 2013 A	2013/01/29	2013/02/05
19601	Macquarie Bank Ltd. – HKEx European Put Warrants Feb 2013 B	2013/01/29	2013/02/05
19616	Daiwa Capital Mkt – HSBC European Warrants Feb 2013 B	2013/01/29	2013/02/05
19620	Daiwa Capital Mkt – Hutchison European Warrants Feb 2013 B	2013/01/29	2013/02/05
19625	Daiwa Capital Mkt – China Mobile European Wts Feb 2013 A	2013/01/29	2013/02/05
19629	Macquarie Bank Ltd. – China Agri European Wts Feb 2013 A	2013/01/29	2013/02/05
19631	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Feb 2013 A	2013/01/29	2013/02/05
19706	Macquarie Bank Ltd. – Hutchison European Warrants Feb 2013 B	2013/01/29	2013/02/05
19734	Macquarie Bank Ltd. – Ping An European Warrants Feb 2013 A	2013/01/29	2013/02/05
19741	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Feb 13 A	2013/01/29	2013/02/05
19822	Macquarie Bank Ltd. – Evergrande European Wts Feb 2013 A	2013/01/29	2013/02/05
19857	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 13 A	2013/01/29	2013/02/05
19955	Goldman Sachs SP (Asia) – Ping An European Wts Feb 2013 A	2013/01/29	2013/02/05
19993	Macquarie Bank Ltd. – SHK Ppt European Warrants Feb 2013 A	2013/01/29	2013/02/05
20009	UBS AG – Sinopec Corp European Warrants Feb 2013 A	2013/01/29	2013/02/05
20062	CC Rabobank B.A. – CITIC Bank European Warrants Feb 2013 A	2013/01/29	2013/02/05
20260	BOCI Asia Ltd. – Hutchison European Warrants Feb 2013 A	2013/01/29	2013/02/05
20283	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Feb 2013 A	2013/01/29	2013/02/05
20491	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2013 B	2013/01/29	2013/02/05
20640	UBS AG – China Mobile European Warrants Feb 2013 E	2013/01/29	2013/02/05
18768	Standard Chartered Bank – Lenovo European Wts Feb 2013 A	2013/01/30	2013/02/06
18770	Standard Chartered Bank – CC Bank European Wts Feb 2013 A	2013/01/30	2013/02/06
19481	Macquarie Bank Ltd. – Hutchison European Put Wts Feb 2013 A	2013/01/30	2013/02/06
20639	UBS AG – CC Bank European Warrants Feb 2013 C	2013/01/30	2013/02/06
23079	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2013	2013/01/31	2013/02/07
18390	Standard Chartered Bank – CNOOC European Warrants Feb 2013 A	2013/01/31	2013/02/07
18588	Standard Chartered Bank – Ping An European Wts Feb 2013 A	2013/01/31	2013/02/07
19077	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2013 A	2013/01/31	2013/02/07
19447	Macquarie Bank Ltd. – ICBC European Warrants Feb 2013 A	2013/01/31	2013/02/07
19451	Macquarie Bank Ltd. – HSBC European Warrants Feb 2013 A	2013/01/31	2013/02/07
19470	Daiwa Capital Mkt – HSBC European Warrants Feb 2013 A	2013/01/31	2013/02/07
19472	Daiwa Capital Mkt – CCB European Warrants Feb 2013 A	2013/01/31	2013/02/07
19809	UBS AG – Agricultural Bank European Warrants Feb 2013 A	2013/01/31	2013/02/07
19870	UBS AG – China Mobile European Put Warrants Feb 2013 A	2013/01/31	2013/02/07
19960	UBS AG – China Tel European Warrants Feb 2013 A	2013/01/31	2013/02/07
19999	Credit Suisse AG – ICBC European Warrants Feb 2013 A	2013/01/31	2013/02/07
20002	Credit Suisse AG – Agricultural Bank European Wts Feb 2013 A	2013/01/31	2013/02/07
20074	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Feb 2013 A	2013/01/31	2013/02/07
20078	UBS AG – ICBC European Warrants Feb 2013 B	2013/01/31	2013/02/07
20085	Citigroup Global Mkt H Inc. – HSBC European Wts Feb 2013 A	2013/01/31	2013/02/07
20155	UBS AG – HSBC European Warrants Feb 2013 A	2013/01/31	2013/02/07
20227	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2013 B	2013/01/31	2013/02/07

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20264	UBS AG – Cheung Kong European Warrants Feb 2013 A	2013/01/31	2013/02/07
20365	UBS AG – CNOOC European Warrants Feb 2013 A	2013/01/31	2013/02/07
18015	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Feb 2013 A	2013/02/01	2013/02/08
18067	J P Morgan SP BV – CNOOC European Warrants Feb 2013 A	2013/02/01	2013/02/08
19657	CC Rabobank B.A. – Evergrande European Warrants Feb 2013 A	2013/02/01	2013/02/08
19553	Daiwa Capital Mkt – China Life European Warrants Feb 2013 B	2013/02/04	2013/02/14
19558	Daiwa Capital Mkt – Hutchison European Put Wts Feb 2013 A	2013/02/04	2013/02/14
19579	UBS AG – AIA European Warrants Feb 2013 A	2013/02/04	2013/02/14
19582	Standard Chartered Bank – HSBC European Warrants Feb 2013 B	2013/02/04	2013/02/14
19660	Daiwa Capital Mkt – China Mobile European Put Wts Feb 2013 B	2013/02/04	2013/02/14
19973	Credit Suisse AG – HSBC European Wts Feb 2013 A	2013/02/04	2013/02/14
17253	HK Bank – AIA European Put Warrants Feb 2013 A	2013/02/05	2013/02/15
18125	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2013A	2013/02/05	2013/02/15
19656	CC Rabobank B.A. – Sinopharm European Warrants Feb 2013 A	2013/02/05	2013/02/15
19692	J P Morgan SP BV – CITIC Bank European Warrants Feb 2013 A	2013/02/05	2013/02/15
19716	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Feb 2013 A	2013/02/05	2013/02/15
19717	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Feb 2013 A	2013/02/05	2013/02/15
20159	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2013 A	2013/02/05	2013/02/15
20658	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 D	2013/02/05	2013/02/15
17697	Macquarie Bank Ltd. – CM Bank European Warrants Feb 2013 A	2013/02/06	2013/02/18
18096	CC Rabobank B.A. – CM Bank European Warrants Feb 2013 A	2013/02/06	2013/02/18
19155	Macquarie Bank Ltd. – CITIC Sec European Warrants Feb 2013 A	2013/02/06	2013/02/18
19756	UBS AG – Ping An European Warrants Feb 2013 A	2013/02/06	2013/02/18
15699	CC Rabobank B.A. – CNOOC European Warrants Feb 2013	2013/02/07	2013/02/19
16088	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Feb 2013	2013/02/07	2013/02/19
16412	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2013	2013/02/07	2013/02/19
16479	Credit Suisse AG – CNOOC European Warrants Feb 2013	2013/02/07	2013/02/19
17917	Standard Chartered Bank – SHK P European Warrants Feb 2013 A	2013/02/07	2013/02/19
17953	HK Bank – SHK Ppt European Warrants Feb 2013 A	2013/02/07	2013/02/19
18093	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2013 A	2013/02/07	2013/02/19
18170	Macquarie Bank Ltd. – HKEx European Warrants Feb 2013 A	2013/02/07	2013/02/19
18195	CC Rabobank B.A. – Belle Int'l European Warrants Feb 2013 A	2013/02/07	2013/02/19
18214	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Feb 2013 A	2013/02/07	2013/02/19
19326	Barclays Bank plc – Agricultural Bank European Wts Feb 2013A	2013/02/07	2013/02/19
19327	Barclays Bank plc – ICBC European Warrants Feb 2013 A	2013/02/07	2013/02/19
19328	Barclays Bank plc – CC Bank European Warrants Feb 2013 A	2013/02/07	2013/02/19
19329	Barclays Bank plc – HSBC European Warrants Feb 2013 A	2013/02/07	2013/02/19
19419	UBS AG – BYD European Warrants Feb 2013 A	2013/02/07	2013/02/19
19450	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2013 A	2013/02/07	2013/02/19
19650	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2013 B	2013/02/07	2013/02/19
19654	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Feb 2013 A	2013/02/07	2013/02/19
19750	CC Rabobank B.A. – Ch Mobile European Put Wts Feb 2013 A	2013/02/07	2013/02/19
19775	J P Morgan SP BV – HSBC European Warrants Feb 2013 A	2013/02/07	2013/02/19
19778	CC Rabobank B.A. – China Tel European Warrants Feb 2013 A	2013/02/07	2013/02/19
19779	CC Rabobank B.A. – Agricultural Bk Euro Warrants Feb 2013 A	2013/02/07	2013/02/19
19793	J P Morgan SP BV – HSBC European Put Warrants Feb 2013 B	2013/02/07	2013/02/19
19798	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Feb13A	2013/02/07	2013/02/19
19806	J P Morgan SP BV – Cheung Kong European Warrants Feb 2013 A	2013/02/07	2013/02/19
19991	UBS AG – A50 China European Warrants Feb 2013 A	2013/02/07	2013/02/19
20018	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2013 B	2013/02/07	2013/02/19
20025	UBS AG – China Mobile European Warrants Feb 2013 C	2013/02/07	2013/02/19
20040	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Feb 2013B	2013/02/07	2013/02/19
20134	Citigroup Global Mkt H Inc.-Tencent European Wts Feb 2013 A	2013/02/07	2013/02/19
20162	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2013 B	2013/02/07	2013/02/19
20196	Goldman Sachs SP (Asia) – Agri Bk European Wts Feb 2013 A	2013/02/07	2013/02/19
20252	UBS AG – Agricultural Bank European Warrants Feb 2013 B	2013/02/07	2013/02/19
20271	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2013A	2013/02/07	2013/02/19
20276	BOCI Asia Ltd. – CC Bank European Warrants Feb 2013 B	2013/02/07	2013/02/19
20445	Macquarie Bank Ltd. – China Life European Wts Feb 2013 B	2013/02/07	2013/02/19
20571	Standard Chartered Bank-China Life European Wts Feb 2013 C	2013/02/07	2013/02/19
20574	Credit Suisse AG – China Mobile European Put Wts Feb 2013 C	2013/02/07	2013/02/19
20637	BOCI Asia Ltd. – China Mobile European Put Wts Feb 2013 A	2013/02/07	2013/02/19
20695	Credit Suisse AG – Lenovo European Warrants Feb 2013 A	2013/02/07	2013/02/19
20698	UBS AG – Tencent Holdings European Warrants Feb 2013 B	2013/02/07	2013/02/19
20701	Standard Chartered Bank – China Mobile Euro Wts Feb 2013 B	2013/02/07	2013/02/19
20777	Standard Chartered Bank – A50 China Euro Warrants Feb 2013 A	2013/02/07	2013/02/19
13027	UBS AG – PetroChina European Warrants Feb 2013	2013/02/14	2013/02/21
18247	Citigroup Global Mkt H Inc. – HKEx European Wts Feb 2013 A	2013/02/14	2013/02/21
18287	Macquarie Bank Ltd. – Gome European Warrants Feb 2013 A	2013/02/14	2013/02/21
17892	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Feb 2013 A	2013/02/15	2013/02/22
18341	Macquarie Bank Ltd. – SJM Holdings European Wts Feb 2013 A	2013/02/15	2013/02/22
18676	BOCI Asia Ltd. – Ch Overseas European Warrants Feb 2013 A	2013/02/15	2013/02/22
19836	Bank of East Asia – Ping An European Warrants Feb 2013 A	2013/02/15	2013/02/22
19837	Bank of East Asia – Ping An European Put Warrants Feb 2013 B	2013/02/15	2013/02/22
20649	UBS AG – BOCL European Warrants Feb 2013 A	2013/02/15	2013/02/22
15792	HK Bank – CM Bank European Warrants Feb 2013	2013/02/18	2013/02/25
17531	Macquarie Bank Ltd. – Longfor PPT European Wts Feb 2013 A	2013/02/18	2013/02/25
19070	HK Bank – Esprit European Warrants Feb 2013 A	2013/02/18	2013/02/25
19170	Standard Chartered Bank – HSBC European Put Wts Feb 2013 A	2013/02/18	2013/02/25
19171	Standard Chartered Bank – Tencent Hldg Eu Put Wts Feb 2013 A	2013/02/18	2013/02/25
19172	Standard Chartered Bank – CC Bank European Put Wts Feb 2013B	2013/02/18	2013/02/25
19173	Standard Chartered Bank – China Life Euro Put Wts Feb 2013 B	2013/02/18	2013/02/25
19215	Bank of East Asia – BYD European Warrants Feb 2013 A	2013/02/18	2013/02/25
19363	BOCI Asia Ltd. – CC Bank European Put Warrants Feb 2013 A	2013/02/18	2013/02/25
19557	Daiwa Capital Mkt -Agricultural Bank European Wts Feb 2013 A	2013/02/18	2013/02/25
19575	Goldman Sachs SP (Asia) – China Life Euro Wts Feb 2013 A	2013/02/18	2013/02/25
20181	Credit Suisse AG – Agricultural Bank European Wts Feb 2013 B	2013/02/18	2013/02/25

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20291	Daiwa Capital Mkt – ICBC European Warrants Feb 2013 B	2013/02/18	2013/02/25
20383	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Feb 2013 A	2013/02/18	2013/02/25
20442	UBS AG – CC Bank European Warrants Feb 2013 B	2013/02/18	2013/02/25
20793	Credit Suisse AG – Ping An European Warrants Feb 2013 C	2013/02/18	2013/02/25
19426	Credit Suisse AG – BOCL European Warrants Feb 2013	2013/02/19	2013/02/26
27688	Credit Suisse AG – HKEx European Warrants Feb 2013	2013/02/19	2013/02/26
13505	J P Morgan SP BV – New World Dev European Warrants Feb 2013	2013/02/19	2013/02/26
14647	CC Rabobank B.A. – Cheung Kong European Warrants Feb 2013	2013/02/19	2013/02/26
14845	UBS AG – Cheung Kong European Warrants Feb 2013	2013/02/19	2013/02/26
15396	UBS AG – SHK Ppt European Warrants Feb 2013	2013/02/19	2013/02/26
16014	UBS AG – A50 China European Warrants Feb 2013	2013/02/19	2013/02/26
16796	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Feb 2013	2013/02/19	2013/02/26
16913	Goldman Sachs SP (Asia) – PetroChina European Wts Feb 2013	2013/02/19	2013/02/26
17285	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Feb 2013 A	2013/02/19	2013/02/26
17320	Nomura Int'l plc – Li Ning European Warrants Feb 2013 A	2013/02/19	2013/02/26
17376	UBS AG – HKEx European Warrants Feb 2013 A	2013/02/19	2013/02/26
17414	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Feb 2013 A	2013/02/19	2013/02/26
17482	CC Rabobank B.A. – Agile Ppt European Warrants Feb 2013 A	2013/02/19	2013/02/26
17972	Citigroup Global Mkt H Inc. – PICC P&C Euro Wts Feb 2013 A	2013/02/19	2013/02/26
18018	Goldman Sachs SP (Asia) – PICC European Warrants Feb 2013 A	2013/02/19	2013/02/26
18130	BOCI Asia Ltd. – SHK Ppt European Warrants Feb 2013 A	2013/02/19	2013/02/26
18259	Goldman Sachs SP (Asia) -Tencent European Wt Feb 2013 A	2013/02/19	2013/02/26
18312	Credit Suisse AG – HKEx European Warrants Feb 2013 A	2013/02/19	2013/02/26
18445	Nomura Int'l plc – CNOOC European Warrants Feb 2013 A	2013/02/19	2013/02/26
18799	Goldman Sachs SP (Asia) – Lenovo European Wts Feb 2013 A	2013/02/19	2013/02/26
18964	CC Rabobank B.A. – MGM China European Warrants Feb 2013 A	2013/02/19	2013/02/26
19067	Goldman Sachs SP (Asia) – CPIC European Warrants Feb 2013 A	2013/02/19	2013/02/26
19108	CC Rabobank B.A. – Ch LongYuan European Warrants Feb 2013 A	2013/02/19	2013/02/26
19149	Goldman Sachs SP (Asia) – Chi Resources Euro Wt Feb 2013 A	2013/02/19	2013/02/26
19201	HK Bank – Tencent European Put Warrants Feb 2013 A	2013/02/19	2013/02/26
19331	UBS AG – China Life European Warrants Feb 2013 A	2013/02/19	2013/02/26
19476	Daiwa Capital Mkt – China Life European Warrants Feb 2013 A	2013/02/19	2013/02/26
19531	BOCI Asia Ltd. – China Life European Warrants Feb 2013 A	2013/02/19	2013/02/26
19573	Goldman Sachs SP (Asia) – Hutchison European Wts Feb 2013 A	2013/02/19	2013/02/26
19574	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 A	2013/02/19	2013/02/26
19641	HK Bank – Sinopec Corp European Warrants Feb 2013 A	2013/02/19	2013/02/26
19665	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Feb 2013 B	2013/02/19	2013/02/26
19721	Credit Suisse – Cheung Kong European Put Warrants Feb 2013 A	2013/02/19	2013/02/26
19742	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Feb 2013 A	2013/02/19	2013/02/26
19783	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2013 A	2013/02/19	2013/02/26
19813	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Feb 2013 A	2013/02/19	2013/02/26
19818	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Feb 2013 A	2013/02/19	2013/02/26
19830	CC Rabobank B.A. – Hysan Dev European Warrants Feb 2013 A	2013/02/19	2013/02/26
19831	CC Rabobank B.A. – Swire Properties European Wts Feb 2013 A	2013/02/19	2013/02/26
19832	CC Rabobank B.A. – China COSCO European Warrants Feb 2013 A	2013/02/19	2013/02/26
19842	Credit Suisse AG – SHK Ppt European Put Warrants Feb 2013 B	2013/02/19	2013/02/26
19844	UBS AG – ICBC European Warrants Feb 2013 A	2013/02/19	2013/02/26
19861	UBS AG – Evergrande European Warrants Feb 2013 A	2013/02/19	2013/02/26
19876	Credit Suisse AG – A50 China European Warrants Feb 2013 A	2013/02/19	2013/02/26
19877	J P Morgan SP BV – Tencent European Warrants Feb 2013 A	2013/02/19	2013/02/26
19878	J P Morgan SP BV – A50 China European Warrants Feb 2013 A	2013/02/19	2013/02/26
19884	CC Rabobank B.A. – Galaxy Ent European Warrants Feb 2013 A	2013/02/19	2013/02/26
19900	J P Morgan SP BV – Tencent European Put Warrants Feb 2013 B	2013/02/19	2013/02/26
20022	Credit Suisse AG – China Mobile European Warrants Feb 2013 B	2013/02/19	2013/02/26
20023	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 B	2013/02/19	2013/02/26
20029	Citigroup Global Mkt H Inc. – A50 China Euro Wts Feb 2013 A	2013/02/19	2013/02/26
20049	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2013 A	2013/02/19	2013/02/26
20065	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Feb 2013 A	2013/02/19	2013/02/26
20125	UBS AG – AIA European Warrants Feb 2013 B	2013/02/19	2013/02/26
20129	Credit Suisse AG – Ping An European Put Warrants Feb 2013 A	2013/02/19	2013/02/26
20138	Goldman Sachs Sp (Asia) – ICBC European Warrants Feb 2013 A	2013/02/19	2013/02/26
20158	Credit Suisse AG – AIA European Warrants Feb 2013 A	2013/02/19	2013/02/26
20164	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Feb 2013 A	2013/02/19	2013/02/26
20168	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2013 B	2013/02/19	2013/02/26
20211	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2013 A	2013/02/19	2013/02/26
20212	BOCI Asia Ltd. – AIA European Warrants Feb 2013 A	2013/02/19	2013/02/26
20223	UBS AG – Tencent Holdings European Put Warrants Feb 2013 A	2013/02/19	2013/02/26
20228	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Feb 2013 B	2013/02/19	2013/02/26
20247	Standard Chartered Bank – CTel European Warrants Feb 2013 A	2013/02/19	2013/02/26
20265	Credit Suisse AG – Ping An European Warrants Feb 2013 B	2013/02/19	2013/02/26
20302	UBS AG – China Tel European Warrants Feb 2013 B	2013/02/19	2013/02/26
20313	Credit Suisse AG – Cheung Kong European Warrants Feb 2013 B	2013/02/19	2013/02/26
20318	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2013 C	2013/02/19	2013/02/26
20395	UBS AG – A50 China European Warrants Feb 2013 B	2013/02/19	2013/02/26
20440	UBS AG – China Mobile European Warrants Feb 2013 D	2013/02/19	2013/02/26
20455	Daiwa Capital Mkt – China Mobile European Wts Feb 2013 C	2013/02/19	2013/02/26
20477	UBS AG – Galaxy Ent European Warrants Feb 2013 A	2013/02/19	2013/02/26
20516	CC Rabobank B.A. – China Tel European Warrants Feb 2013 B	2013/02/19	2013/02/26
20517	CC Rabobank B.A. – Ping An European Warrants Feb 2013 A	2013/02/19	2013/02/26
20530	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Feb 2013A	2013/02/19	2013/02/26
20536	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2013 C	2013/02/19	2013/02/26
20540	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 C	2013/02/19	2013/02/26
20557	Standard Chartered Bank – Ping An European Wts Feb 2013 B	2013/02/19	2013/02/26
20595	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb2013B	2013/02/19	2013/02/26
20696	Credit Suisse AG – China Mobile European Warrants Feb 2013 D	2013/02/19	2013/02/26
20799	UBS AG – Ping An European Warrants Feb 2013 B	2013/02/19	2013/02/26
20949	Standard Chartered Bank – SHK P European Warrants Feb 2013 B	2013/02/19	2013/02/26

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Code	Warrant	Last trading date	Delisted date
20963	UBS AG – SHK Ppt European Warrants Feb 2013 A	2013/02/19	2013/02/26
20982	Goldman Sachs SP (Asia) – Ping An European Wts Feb 2013 B	2013/02/19	2013/02/26
21175	Credit Suisse AG – CC Bank European Warrants Feb 2013 B	2013/02/19	2013/02/26
21224	Credit Suisse AG – Sinopec Corp European Warrants Feb 2013 A	2013/02/19	2013/02/26
21262	Credit Suisse AG – Tencent Holdings European Wts Feb 2013 D	2013/02/19	2013/02/26
19608	Bank of East Asia – China Railway European Wts Feb 2013 A	2013/02/20	2013/02/27
11268	J P Morgan SP BV – PetroChina European Warrants Feb 2013	2013/02/21	2013/02/28
14602	HK Bank – Esprit European Warrants Feb 2013	2013/02/21	2013/02/28
14636	Standard Chartered Bank – Cheung Kong European Wts Feb 2013	2013/02/21	2013/02/28
15557	CC Rabobank B.A. – KECL European Warrants Feb 2013	2013/02/21	2013/02/28
16871	Macquarie Bank Ltd. – HSCEI European Warrants Feb 2013	2013/02/21	2013/02/28
17951	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Feb13A	2013/02/21	2013/02/28
17996	J P Morgan SP BV – Ch Overseas European Warrants Feb 2013 A	2013/02/21	2013/02/28
18058	J P Morgan SP BV – Gome European Warrants Feb 2013 A	2013/02/21	2013/02/28
18304	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2013A	2013/02/21	2013/02/28
18496	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 A	2013/02/21	2013/02/28
18694	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Feb13A	2013/02/21	2013/02/28
18882	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Feb13A	2013/02/21	2013/02/28
19219	UBS AG – China Overseas European Warrants Feb 2013 A	2013/02/21	2013/02/28
19274	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Feb 2013A	2013/02/21	2013/02/28
19275	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Feb 2013 B	2013/02/21	2013/02/28
19464	HK Bank – HSI European Put Warrants Feb 2013 A	2013/02/21	2013/02/28
19499	Goldman Sachs SP (Asia) – HSCEI European Put Wts Feb 2013 A	2013/02/21	2013/02/28
19580	J P Morgan SP BV – HSI European Put Warrants Feb 2013 A	2013/02/21	2013/02/28
19615	J P Morgan SP BV – HSI European Put Warrants Feb 2013 B	2013/02/21	2013/02/28
19632	UBS AG – HSI European Put Warrants Feb 2013 A	2013/02/21	2013/02/28
19648	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb 13 A	2013/02/21	2013/02/28
19685	J P Morgan SP BV – HSI European Put Warrants Feb 2013 C	2013/02/21	2013/02/28
19805	J P Morgan SP BV – HSI European Warrants Feb 2013 D	2013/02/21	2013/02/28
19827	BOCI Asia Ltd. – HSI European Put Warrants Feb 2013 A	2013/02/21	2013/02/28
19903	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 B	2013/02/21	2013/02/28
19904	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2013 C	2013/02/21	2013/02/28
19965	Credit Suisse AG – HSI European Put Warrants Feb 2013 A	2013/02/21	2013/02/28
19972	Credit Suisse AG – HSI European Warrants Feb 2013 B	2013/02/21	2013/02/28
20010	UBS AG – HSI European Put Warrants Feb 2013 B	2013/02/21	2013/02/28
20020	Credit Suisse AG – HSI European Put Warrants Feb 2013 C	2013/02/21	2013/02/28
20037	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2013 A	2013/02/21	2013/02/28
20052	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 D	2013/02/21	2013/02/28
20177	Standard Chartered Bank – HSI European Put Wts Feb 2013 A	2013/02/21	2013/02/28
20268	Credit Suisse AG – HSI European Put Warrants Feb 2013 D	2013/02/21	2013/02/28
20274	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013B	2013/02/21	2013/02/28
20281	UBS AG – HSI European Put Warrants Feb 2013 C	2013/02/21	2013/02/28
20311	Standard Chartered Bank – HSI European Put Wts Feb 2013 B	2013/02/21	2013/02/28
20337	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Feb2013C	2013/02/21	2013/02/28
20346	BOCI Asia Ltd. – HSI European Warrants Feb 2013 B	2013/02/21	2013/02/28
20366	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Feb 2013 A	2013/02/21	2013/02/28
20389	HK Bank – HSI European Put Warrants Feb 2013 B	2013/02/21	2013/02/28
20489	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2013 B	2013/02/21	2013/02/28
20509	UBS AG – HSCEI European Warrants Feb 2013 A	2013/02/21	2013/02/28
20564	UBS AG – HSCEI European Put Warrants Feb 2013 B	2013/02/21	2013/02/28
28662	Standard Chartered Bank-China Life European Wts Feb 2013	2013/02/22	2013/03/01
11473	Nomura Int'l plc – PetroChina European Warrants Feb 2013	2013/02/22	2013/03/01
13483	Citigroup Global Mkt H Inc. – CSR European Warrants Feb 2013	2013/02/22	2013/03/01
15981	Nomura Int'l plc – SHK P European Warrants Feb 2013	2013/02/22	2013/03/01
16647	Credit Suisse AG – Cheung Kong European Warrants Feb 2013	2013/02/22	2013/03/01
17606	Bank of East Asia – SJM Holdings European Warrants Feb 2013A	2013/02/22	2013/03/01
17864	Credit Suisse AG – SHK Ppt European Warrants Feb 2013 A	2013/02/22	2013/03/01
18238	Bank of East Asia – Esprit European Warrants Feb 2013 A	2013/02/22	2013/03/01
18286	Standard Chartered Bank – Sands China Euro Wts Feb 2013 A	2013/02/22	2013/03/01
18711	Standard Chartered Bank – Ch Comm Cons Eur Wts Feb 2013 A	2013/02/22	2013/03/01
18865	Standard Chartered Bank – China Mobile Euro Wts Feb 2013 A	2013/02/22	2013/03/01
19287	J P Morgan SP BV – China Tel European Warrants Feb 2013 A	2013/02/22	2013/03/01
19455	Nomura Int'l plc – Ch Overseas European Warrants Feb 2013 A	2013/02/22	2013/03/01
19561	J P Morgan SP BV – BOCL European Warrants Feb 2013 A	2013/02/22	2013/03/01
19930	UBS AG – China Mobile European Put Warrants Feb 2013 B	2013/02/22	2013/03/01
19935	UBS AG – Lenovo European Warrants Feb 2013 A	2013/02/22	2013/03/01
19996	Credit Suisse AG – CC Bank European Warrants Feb 2013 A	2013/02/22	2013/03/01
20497	Nomura Int'l plc – CC Bank European Warrants Feb 2013 A	2013/02/22	2013/03/01
20498	Nomura Int'l plc – China Shenhua European Wts Feb 2013 A	2013/02/22	2013/03/01
21265	Credit Suisse AG – Lenovo European Warrants Feb 2013 B	2013/02/22	2013/03/01
21323	Credit Suisse AG – China Mobile European Warrants Feb 2013 E	2013/02/22	2013/03/01
14888	Standard Chartered Bank – BOCL European Put Wts Mar 2013	2013/02/25	2013/03/04
17712	Daiwa Capital Mkt – A50 China European Put Wts Mar 2013 A	2013/02/25	2013/03/04
18284	Standard Chartered Bank – ICBC European Warrants Mar 2013 A	2013/02/25	2013/03/04
19488	Standard Chartered Bank – Sinopec Corp Euro Wts Mar 2013 A	2013/02/25	2013/03/04
19663	Daiwa Capital Mkt – Ping An European Warrants Mar 2013 A	2013/02/25	2013/03/04
19774	J P Morgan SP BV – Hutchison European Warrants Mar 2013 A	2013/02/25	2013/03/04
19784	Macquarie Bank Ltd. – China Life European Put Wts Mar 2013 A	2013/02/25	2013/03/04
19926	J P Morgan SP BV – China Mob European Wts Mar 2013 B	2013/02/25	2013/03/04
19927	J P Morgan SP BV – BYD European Warrants Mar 2013 A	2013/02/25	2013/03/04
19957	J P Morgan SP BV – ICBC European Warrants Mar 2013 A	2013/02/25	2013/03/04
19958	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 A	2013/02/25	2013/03/04
19959	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 B	2013/02/25	2013/03/04
20249	Daiwa Capital Mkt – Cheung Kong European Warrants Mar 2013 A	2013/02/25	2013/03/04
20290	Daiwa Capital Mkt – China Mobile European Wts Mar 2013 B	2013/02/25	2013/03/04
20452	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Mar 2013 A	2013/02/25	2013/03/04
20525	J P Morgan SP BV – China Mob European Wts Mar 2013 C	2013/02/25	2013/03/04

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20618	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2013 B	2013/02/25	2013/03/04
20807	UBS AG – China Mobile European Warrants Mar 2013 B	2013/02/25	2013/03/04
20905	Daiwa Capital Mkt – ICBC European Warrants Mar 2013 A	2013/02/25	2013/03/04
21073	BOCI Asia Ltd. – ICBC European Warrants Mar 2013 A	2013/02/25	2013/03/04
29505	BNP Paribas Arbit Issu B.V. – PICC European Wts Mar 2013	2013/02/26	2013/03/05
12862	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wts Mar2013	2013/02/26	2013/03/05
14278	Macquarie Bank Ltd. – Cheung Kong European Warrants Mar 2013	2013/02/26	2013/03/05
16072	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eur Wt Mar 2013	2013/02/26	2013/03/05
16632	BNP Paribas Arbit Issu B.V. – COSCO Pacific Euro Wt Mar 2013	2013/02/26	2013/03/05
16635	Macquarie Bank Ltd. – PetroChina European Wts Mar 2013	2013/02/26	2013/03/05
16776	Macquarie Bank Ltd. – CM Bank European Warrants Mar 2013	2013/02/26	2013/03/05
17106	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wt Mar 2013	2013/02/26	2013/03/05
17152	Macquarie Bank Ltd. – Li Ning European Warrants Mar 2013	2013/02/26	2013/03/05
17366	Macquarie Bank Ltd. – Zoomlion European Warrants Mar 2013 A	2013/02/26	2013/03/05
17699	Macquarie Bank Ltd. – BOCL European Warrants Mar 2013 A	2013/02/26	2013/03/05
17856	Macquarie Bank Ltd. – Sh Ind H European Warrants Mar 2013 A	2013/02/26	2013/03/05
18110	Standard Chartered Bank – China Overseas Euro Wt Mar 2013 A	2013/02/26	2013/03/05
18388	Macquarie Bank Ltd. – CPIC European Warrants Mar 2013 A	2013/02/26	2013/03/05
18459	Goldman Sachs SP (Asia) – Prada European Warrants Mar 2013 A	2013/02/26	2013/03/05
18615	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013A	2013/02/26	2013/03/05
18645	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2013A	2013/02/26	2013/03/05
18648	Standard Chartered Bank – China Shenhua Euro Wts Mar 2013 A	2013/02/26	2013/03/05
18847	J P Morgan SP BV – China Life European Warrants Mar 2013 A	2013/02/26	2013/03/05
18945	BOCI Asia Ltd. – China Life European Put Warrants Mar 2013 A	2013/02/26	2013/03/05
19076	Macquarie Bank Ltd. – China Overseas European Wts Mar 2013 A	2013/02/26	2013/03/05
19175	Goldman Sachs SP (Asia) – BYD European Warrants Mar 2013 A	2013/02/26	2013/03/05
19237	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Mar 2013 A	2013/02/26	2013/03/05
19289	HK Bank – Ch Ship Cont European Warrants Mar 2013 A	2013/02/26	2013/03/05
19290	HK Bank – Shanghai Electric European Warrants Mar 2013 A	2013/02/26	2013/03/05
19310	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2013A	2013/02/26	2013/03/05
19318	HK Bank – China Mobile European Put Warrants Mar 2013 A	2013/02/26	2013/03/05
19339	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Mar 2013 A	2013/02/26	2013/03/05
19431	HK Bank – CC Bank European Warrants Mar 2013 B	2013/02/26	2013/03/05
19448	Macquarie Bank Ltd. – ZTE European Warrants Mar 2013 A	2013/02/26	2013/03/05
19565	Macquarie Bank Ltd. – BYD European Warrants Mar 2013 A	2013/02/26	2013/03/05
19637	HK Bank – HWL European Warrants Mar 2013 A	2013/02/26	2013/03/05
19638	HK Bank – China Life European Warrants Mar 2013 B	2013/02/26	2013/03/05
19658	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 A	2013/02/26	2013/03/05
19667	HK Bank – AIA European Warrants Mar 2013 A	2013/02/26	2013/03/05
19673	BNP Paribas Arbit Issu B.V. – Ping An European Wt Mar 2013 A	2013/02/26	2013/03/05
19677	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Mar 2013 A	2013/02/26	2013/03/05
19684	BNP Paribas Arbit Issu B.V. – HKEx European Wts Mar 2013 A	2013/02/26	2013/03/05
19688	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Mar 13 B	2013/02/26	2013/03/05
19693	J P Morgan SP BV – BankComm European Warrants Mar 2013 A	2013/02/26	2013/03/05
19696	HK Bank – China Mobile European Warrants Mar 2013 C	2013/02/26	2013/03/05
19709	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Mar 2013 A	2013/02/26	2013/03/05
19718	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2013 A	2013/02/26	2013/03/05
19753	HK Bank – Angang Steel European Warrants Mar 2013 A	2013/02/26	2013/03/05
19786	Macquarie Bank Ltd. – A50 China European Put Wts Mar 2013 A	2013/02/26	2013/03/05
19799	Macquarie Bank Ltd. – Li & Fung European Warrants Mar 2013 A	2013/02/26	2013/03/05
19804	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Mar 2013 A	2013/02/26	2013/03/05
19808	Macquarie Bank Ltd. – China Life European Wts Mar 2013 B	2013/02/26	2013/03/05
19852	J P Morgan SP BV – China Mob European Wts Mar 2013 A	2013/02/26	2013/03/05
19860	Macquarie Bank Ltd. -Sino Ocean Land European Wts Mar 2013 A	2013/02/26	2013/03/05
19864	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Mar 2013 A	2013/02/26	2013/03/05
19866	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Mar 2013 A	2013/02/26	2013/03/05
19873	Credit Suisse AG – Tencent Holdings European Wts Mar 2013 A	2013/02/26	2013/03/05
19881	Macquarie Bank Ltd. – Tencent European Put Wts Mar 2013 A	2013/02/26	2013/03/05
19883	CC Rabobank B.A. – Sands China European Put Wts Mar 2013 A	2013/02/26	2013/03/05
19905	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 B	2013/02/26	2013/03/05
19906	Macquarie Bank Ltd. – China Mobile European Wts Mar 2013 C	2013/02/26	2013/03/05
19953	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Mar 2013 A	2013/02/26	2013/03/05
19954	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2013 B	2013/02/26	2013/03/05
19964	UBS AG – China Life European Warrants Mar 2013 B	2013/02/26	2013/03/05
19989	Macquarie Bank Ltd. – China Tel European Warrants Mar 2013 A	2013/02/26	2013/03/05
19992	Macquarie Bank Ltd. – Cheung Kong European Wts Mar 2013 A	2013/02/26	2013/03/05
20005	Credit Suisse AG – CNBM European Warrants Mar 2013 A	2013/02/26	2013/03/05
20014	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 13 A	2013/02/26	2013/03/05
20017	Macquarie Bank Ltd. – A50 China European Warrants Mar 2013 B	2013/02/26	2013/03/05
20019	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 A	2013/02/26	2013/03/05
20319	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 C	2013/02/26	2013/03/05
20361	CC Rabobank B.A. – Galaxy Ent European Warrants Mar 2013 A	2013/02/26	2013/03/05
20371	UBS AG – A50 China European Warrants Mar 2013 A	2013/02/26	2013/03/05
20444	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2013 A	2013/02/26	2013/03/05
20468	Macquarie Bank Ltd. – Ping An European Warrants Mar 2013 A	2013/02/26	2013/03/05
20520	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2013 B	2013/02/26	2013/03/05
20541	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2013A	2013/02/26	2013/03/05
20597	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar2013A	2013/02/26	2013/03/05
20622	BOCI Asia Ltd. -Tencent Holdings European Put Wts Mar 2013 B	2013/02/26	2013/03/05
20656	Standard Chartered Bank – Tencent Hldg Euro Wts Mar 2013 A	2013/02/26	2013/03/05
20776	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2013 A	2013/02/26	2013/03/05
20812	Daiwa Capital Mkt – SHK Ppt European Warrants Mar 2013 B	2013/02/26	2013/03/05
20899	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Mar2013 B	2013/02/26	2013/03/05
20919	UBS AG – Tencent Holdings European Put Warrants Mar 2013 B	2013/02/26	2013/03/05
21128	Macquarie Bank Ltd. – A50 China European Warrants Mar 2013 C	2013/02/26	2013/03/05
21370	BOCI Asia Ltd. – China Mobile European Warrants Mar 2013 B	2013/02/26	2013/03/05
20057	J P Morgan SP BV – Hutchison European Warrants Mar 2013 B	2013/02/27	2013/03/06

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20090	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Mar 2013 A	2013/02/27	2013/03/06
20041	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Mar2013A	2013/02/28	2013/03/07
20042	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Mar13A	2013/02/28	2013/03/07
20058	SGA Societe Generale Acceptance N.V.-CK(H) Eu Put Wt Mar13B	2013/02/28	2013/03/07
20072	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Mar 13A	2013/02/28	2013/03/07
20528	UBS AG – Ping An European Warrants Mar 2013 A	2013/02/28	2013/03/07
21282	Standard Chartered Bank – Ping An European Wts Mar 2013 A	2013/02/28	2013/03/07
21322	Credit Suisse AG – Ping An European Warrants Mar 2013 C	2013/02/28	2013/03/07
18108	Standard Chartered Bank – KECL European Warrants Mar 2013 A	2013/03/04	2013/03/11
19453	Nomura Int'l plc – HKEx European Warrants Mar 2013 A	2013/03/04	2013/03/11
19917	Daiwa Capital Mkt – China Mobile European Put Wts Mar 2013 A	2013/03/04	2013/03/11
19995	UBS AG – Hutchison European Warrants Mar 2013 A	2013/03/04	2013/03/11
20148	Macquarie Bank Ltd. – HKEx European Warrants Mar 2013 A	2013/03/04	2013/03/11
20239	Daiwa Capital Mkt – China Telecom European Wts Mar 2013 A	2013/03/04	2013/03/11
20811	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013 C	2013/03/04	2013/03/11
27766	UBS AG – HKEx European Warrants Mar 2013	2013/03/05	2013/03/12
16447	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2013	2013/03/05	2013/03/12
18122	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Mar 13 A	2013/03/05	2013/03/12
18742	CC Rabobank B.A. – AIA European Warrants Mar 2013 A	2013/03/05	2013/03/12
19256	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2013 B	2013/03/05	2013/03/12
19417	UBS AG – China Life European Put Warrants Mar 2013 A	2013/03/05	2013/03/12
19492	UBS AG – HKEx European Warrants Mar 2013 B	2013/03/05	2013/03/12
19653	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013C	2013/03/05	2013/03/12
19668	HK Bank – China Mobile European Warrants Mar 2013 B	2013/03/05	2013/03/12
19715	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Mar 2013 A	2013/03/05	2013/03/12
19773	BOCI Asia Ltd. – China Mobile European Warrants Mar 2013 A	2013/03/05	2013/03/12
19791	UBS AG – Tencent Holdings European Put Warrants Mar 2013 A	2013/03/05	2013/03/12
19985	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 B	2013/03/05	2013/03/12
20064	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2013 A	2013/03/05	2013/03/12
20068	Goldman Sachs SP (Asia) – A50 China European Wts Mar 2013 A	2013/03/05	2013/03/12
20082	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2013 A	2013/03/05	2013/03/12
20083	Citigroup Global Mkt H Inc. – Ping An Euro Wts Mar 2013 A	2013/03/05	2013/03/12
20087	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Mar 2013 A	2013/03/05	2013/03/12
20088	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Mar 13 A	2013/03/05	2013/03/12
20093	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Mar 2013 A	2013/03/05	2013/03/12
20094	SGA Societe Generale Acceptance N.V. -HLand Eu Put Wt Mar13A	2013/03/05	2013/03/12
20097	CC Rabobank B.A. – China Mer Hold European Warrants Mar 13 A	2013/03/05	2013/03/12
20119	J P Morgan SP BV – Minsheng Bank European Wts Mar 2013 A	2013/03/05	2013/03/12
20123	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Mar 13 B	2013/03/05	2013/03/12
20131	Citigroup Global Mkt H Inc. – CC Bank European Wt Mar 2013 A	2013/03/05	2013/03/12
20133	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Mar 2013 A	2013/03/05	2013/03/12
20143	CC Rabobank B.A. – Ch Railway Cons European Wts Mar 2013 A	2013/03/05	2013/03/12
20144	CC Rabobank B.A. – Tsingtao Brew European Wts Mar 2013 A	2013/03/05	2013/03/12
20145	CC Rabobank B.A. – Evergrande European Put Wts Mar 2013 A	2013/03/05	2013/03/12
20146	CC Rabobank B.A. – Angang Steel European Warrants Mar 2013 A	2013/03/05	2013/03/12
20147	Macquarie Bank Ltd. – Minsheng Bank European Wts Mar 2013 A	2013/03/05	2013/03/12
20157	Credit Suisse AG – A50 China European Warrants Mar 2013 A	2013/03/05	2013/03/12
20197	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Mar 2013 B	2013/03/05	2013/03/12
20199	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Mar 2013 A	2013/03/05	2013/03/12
20388	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2013 A	2013/03/05	2013/03/12
20633	Nomura Int'l plc – China Mobile European Warrants Mar 2013 A	2013/03/05	2013/03/12
20682	Daiwa Capital Mkt – China Mobile European Put Wts Mar 2013 C	2013/03/05	2013/03/12
20821	Standard Chartered Bank – China Mobile Euro Wts Mar 2013 A	2013/03/05	2013/03/12
20827	Credit Suisse AG – Ping An European Warrants Mar 2013 A	2013/03/05	2013/03/12
20832	UBS AG – China Mobile European Warrants Mar 2013 C	2013/03/05	2013/03/12
20868	Credit Suisse AG – Ping An European Put Warrants Mar 2013 B	2013/03/05	2013/03/12
20888	UBS AG – China National Building European Wts Mar 2013 A	2013/03/05	2013/03/12
20916	Credit Suisse AG – CNBM European Warrants Mar 2013 B	2013/03/05	2013/03/12
21585	J P Morgan SP BV – Ping An European Warrants Mar 2013 A	2013/03/05	2013/03/12
19052	J P Morgan SP BV – China Shenhua European Wts Mar 2013 A	2013/03/06	2013/03/13
10153	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Mar 2013 A	2013/03/06	2013/03/13
20170	J P Morgan SP BV – Yurun Food European Warrants Mar 2013 A	2013/03/06	2013/03/13
20699	Standard Chartered Bank – CC Bank European Wts Mar 2013 A	2013/03/06	2013/03/13
20794	Credit Suisse AG – CC Bank European Warrants Mar 2013 A	2013/03/06	2013/03/13
20860	UBS AG – CC Bank European Warrants Mar 2013 C	2013/03/06	2013/03/13
20201	CC Rabobank B.A. – Ping An European Warrants Mar 2013 A	2013/03/07	2013/03/14
20205	J P Morgan SP BV – Tsingtao Brew European Warrants Mar 2013A	2013/03/07	2013/03/14
20632	Nomura Int'l plc – Hutchison European Warrants Mar 2013 A	2013/03/07	2013/03/14
20977	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Mar2013 C	2013/03/07	2013/03/14
21037	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2013 A	2013/03/07	2013/03/14
21041	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Mar 13 C	2013/03/07	2013/03/14
21266	UBS AG – Ping An European Warrants Mar 2013 B	2013/03/07	2013/03/14
16940	Goldman Sachs SP (Asia) – Li Ning European Warrants Mar 2013	2013/03/14	2013/03/15
20282	UBS AG – Hutchison European Warrants Mar 2013 C	2013/03/08	2013/03/15
20286	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013 A	2013/03/08	2013/03/15
22978	Macquarie Bank Ltd. – Prada European Warrants Jul 2015 A	2013/03/14	2013/03/15
18877	Daiwa Capital Mkt – HKEx European Warrants Mar 2013 A	2013/03/11	2013/03/18
20141	HK Bank – Cheung Kong European Warrants Mar 2013 A	2013/03/11	2013/03/18
20182	Credit Suisse AG – Yanzhou Coal European Warrants Mar 2013 B	2013/03/11	2013/03/18
17922	Standard Chartered Bank – SHK P European Put Wts Mar 2013 A	2013/03/12	2013/03/19
18134	BOCI Asia Ltd. – HKEx European Put Warrants Mar 2013 A	2013/03/12	2013/03/19
18139	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Mar 2013 A	2013/03/12	2013/03/19
18739	CC Rabobank B.A. – Esprit European Warrants Mar 2013 A	2013/03/12	2013/03/19
19097	Goldman Sachs SP (Asia) – Chow Tai Fook Euro Wts Mar 2013 A	2013/03/12	2013/03/19
19098	Goldman Sachs SP (Asia) – China Life Euro Put Wts Mar 2013 A	2013/03/12	2013/03/19
19099	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2013 A	2013/03/12	2013/03/19
19461	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2013 A	2013/03/12	2013/03/19

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19760	Macquarie Bank Ltd. – Henganintl European Wts Mar 2013 A	2013/03/12	2013/03/19
19811	J P Morgan SP BV – CNBM European Warrants Mar 2013 A	2013/03/12	2013/03/19
19914	Standard Chartered Bank – HSBC European Warrants Mar 2013 A	2013/03/12	2013/03/19
19981	Credit Suisse AG – China Tel European Warrants Mar 2013 A	2013/03/12	2013/03/19
20069	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Mar 2013 B	2013/03/12	2013/03/19
20100	CC Rabobank B.A. – China Railway European Wts Mar 2013 A	2013/03/12	2013/03/19
20150	BOCI Asia Ltd. -Tencent Holdings European Put Wts Mar 2013 A	2013/03/12	2013/03/19
20200	CC Rabobank B.A. – AAC Acoustic European Warrants Mar 2013 A	2013/03/12	2013/03/19
20202	CC Rabobank B.A. – Poly HK Inv European Warrants Mar 2013 A	2013/03/12	2013/03/19
20240	Daiwa Capital Mkt – Ping An European Warrants Mar 2013 B	2013/03/12	2013/03/19
20241	Daiwa Capital Mkt – Ping An European Put Warrants Mar 2013 C	2013/03/12	2013/03/19
20250	Daiwa Capital Mkt – Cheung Kong European Put Wts Mar 2013 B	2013/03/12	2013/03/19
20251	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2013 A	2013/03/12	2013/03/19
20253	UBS AG – CC Bank European Warrants Mar 2013 A	2013/03/12	2013/03/19
20254	UBS AG – ICBC European Warrants Mar 2013 A	2013/03/12	2013/03/19
20301	UBS AG – HKEx European Put Warrants Mar 2013 C	2013/03/12	2013/03/19
20476	UBS AG – China Tel European Warrants Mar 2013 A	2013/03/12	2013/03/19
20642	UBS AG – HSBC European Warrants Mar 2013 A	2013/03/12	2013/03/19
20685	CC Rabobank B.A. – HSBC European Warrants Mar 2013 A	2013/03/12	2013/03/19
20740	CC Rabobank B.A. – Cheung Kong European Warrants Mar 2013 A	2013/03/12	2013/03/19
20770	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2013 B	2013/03/12	2013/03/19
20795	Goldman Sachs SP (Asia) – Hutchison European Wts Mar 2013 B	2013/03/12	2013/03/19
20894	Credit Suisse AG – HKEx European Warrants Mar 2013 C	2013/03/12	2013/03/19
21067	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 C	2013/03/12	2013/03/19
21074	Credit Suisse AG – Hutchison European Warrants Mar 2013 D	2013/03/12	2013/03/19
21320	BOCI Asia Ltd. – Ping An European Warrants Mar 2013 A	2013/03/12	2013/03/19
19153	Goldman Sachs SP (Asia) – Dongfeng European Wts Mar 2013 A	2013/03/13	2013/03/20
19849	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2013 A	2013/03/13	2013/03/20
20244	HK Bank – Evergrande European Warrants Mar 2013 A	2013/03/13	2013/03/20
20802	BOCI Asia Ltd. – CC Bank European Warrants Mar 2013 A	2013/03/13	2013/03/20
20270	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 B	2013/03/14	2013/03/21
20272	HK Bank – HWL European Warrants Mar 2013 B	2013/03/14	2013/03/21
20280	UBS AG – Hutchison European Put Warrants Mar 2013 B	2013/03/14	2013/03/21
20287	Daiwa Capital Mkt – Hutchison European Put Wts Mar 2013 B	2013/03/14	2013/03/21
20956	HK Bank – HWL European Warrants Mar 2013 C	2013/03/14	2013/03/21
17380	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 A	2013/03/15	2013/03/22
18726	Standard Chartered Bank – Jiangxi Copper Euro Wts Mar 2013 A	2013/03/15	2013/03/22
18903	Macquarie Bank Ltd. – Jiangxi Copper European Wts Mar 2013 A	2013/03/15	2013/03/22
19089	HK Bank – HKEx European Warrants Mar 2013 A	2013/03/15	2013/03/22
17252	HK Bank – China Life European Warrants Mar 2013 A	2013/03/18	2013/03/25
19886	CC Rabobank B.A. – CITIC Sec European Warrants Mar 2013 A	2013/03/18	2013/03/25
19888	CC Rabobank B.A. – A50 China European Warrants Mar 2013 A	2013/03/18	2013/03/25
20080	UBS AG – BYD European Warrants Mar 2013 A	2013/03/18	2013/03/25
26877	UBS AG – CC Bank European Warrants Mar 2013	2013/03/19	2013/03/26
14585	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2013	2013/03/19	2013/03/26
15437	UBS AG – China Mobile European Warrants Mar 2013	2013/03/19	2013/03/26
16335	Goldman Sachs SP (Asia) – KECL European Warrants Mar 2013	2013/03/19	2013/03/26
16819	Bank of East Asia – Esprit European Warrants Mar 2013	2013/03/19	2013/03/26
16973	Nomura Int'l plc – HKEx European Put Warrants Mar 2013	2013/03/19	2013/03/26
17603	Bank of East Asia – CM Bank European Put Warrants Mar 2013 A	2013/03/19	2013/03/26
18097	CC Rabobank B.A. – Dongfeng Motor European Wts Mar 2013 A	2013/03/19	2013/03/26
18430	Credit Suisse AG – Yanzhou Coal European Warrants Mar 2013 A	2013/03/19	2013/03/26
18570	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Mar 2013 A	2013/03/19	2013/03/26
18821	HK Bank – CC Bank European Warrants Mar 2013 A	2013/03/19	2013/03/26
18884	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Mar 2013 A	2013/03/19	2013/03/26
19045	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2013 A	2013/03/19	2013/03/26
19046	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2013 A	2013/03/19	2013/03/26
19107	CC Rabobank B.A. – MTRC European Warrants Mar 2013 A	2013/03/19	2013/03/26
19164	Goldman Sachs SP (Asia) – JIANC European Warrants Mar 2013 A	2013/03/19	2013/03/26
19207	UBS AG – Jiangxi Copper European Warrants Mar 2013 A	2013/03/19	2013/03/26
19382	Goldman Sachs SP (Asia) – ZTE European Warrants Mar 2013 A	2013/03/19	2013/03/26
19603	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2013 B	2013/03/19	2013/03/26
19607	Goldman Sachs SP (Asia) – Yurun Food European Wts Mar 2013 A	2013/03/19	2013/03/26
19622	Daiwa Capital Mkt – Sinopec Corp European Wts Mar 2013 A	2013/03/19	2013/03/26
19666	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2013 A	2013/03/19	2013/03/26
19745	UBS AG – China Mobile European Warrants Mar 2013 A	2013/03/19	2013/03/26
19746	CC Rabobank B.A. – CSR Times European Warrants Mar 2013 A	2013/03/19	2013/03/26
19801	UBS AG – Li & Fung European Warrants Mar 2013 A	2013/03/19	2013/03/26
19826	Goldman Sachs SP (Asia) – ZTE European Warrants Mar 2013 B	2013/03/19	2013/03/26
20038	Goldman Sachs SP (Asia) – China Tel European Wts Mar 2013 A	2013/03/19	2013/03/26
20089	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Mar 13 A	2013/03/19	2013/03/26
20098	CC Rabobank B.A. – Xinyi Glass European Warrants Mar 2013 A	2013/03/19	2013/03/26
20161	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013D	2013/03/19	2013/03/26
20163	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2013 B	2013/03/19	2013/03/26
20167	Goldman Sachs SP (Asia) – China Coal European Wts Mar 2013 A	2013/03/19	2013/03/26
20185	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2013 A	2013/03/19	2013/03/26
20284	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Mar 2013 B	2013/03/19	2013/03/26
20297	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Mar 2013 A	2013/03/19	2013/03/26
20316	Credit Suisse AG – Hutchison European Warrants Mar 2013 C	2013/03/19	2013/03/26
20323	UBS AG – CNOOC European Put Warrants Mar 2013 A	2013/03/19	2013/03/26
20328	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Mar 2013 A	2013/03/19	2013/03/26
20330	Citigroup Global Mkt H Inc. – Zijin Mining Eu Wts Mar 2013 A	2013/03/19	2013/03/26
20332	UBS AG – Hutchison European Warrants Mar 2013 D	2013/03/19	2013/03/26
20364	CC Rabobank B.A. – Huaneng Power European Wts Mar 2013 A	2013/03/19	2013/03/26
20369	Credit Suisse AG – HKEx European Put Warrants Mar 2013 B	2013/03/19	2013/03/26
20370	Credit Suisse AG – CNOOC European Warrants Mar 2013 A	2013/03/19	2013/03/26
20378	Goldman Sachs SP (Asia) – PetroChina European Wts Mar 2013 A	2013/03/19	2013/03/26

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20381	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Mar 2013 B	2013/03/19	2013/03/26
20409	J P Morgan SP BV – HSBC European Warrants Mar 2013 A	2013/03/19	2013/03/26
20419	UBS AG – HKEx European Warrants Mar 2013 D	2013/03/19	2013/03/26
20426	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Mar 2013 A	2013/03/19	2013/03/26
20450	Daiwa Capital Mkt – HSBC European Warrants Mar 2013 A	2013/03/19	2013/03/26
20568	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Mar 2013A	2013/03/19	2013/03/26
20605	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2013 A	2013/03/19	2013/03/26
20608	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Mar13C	2013/03/19	2013/03/26
20641	UBS AG – China Life European Warrants Mar 2013 C	2013/03/19	2013/03/26
20697	Credit Suisse AG – ICBC European Warrants Mar 2013 A	2013/03/19	2013/03/26
20768	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 C	2013/03/19	2013/03/26
20787	UBS AG – CC Bank European Warrants Mar 2013 B	2013/03/19	2013/03/26
20800	UBS AG – ICBC European Warrants Mar 2013 B	2013/03/19	2013/03/26
20869	Credit Suisse AG – CNOOC European Put Warrants Mar 2013 B	2013/03/19	2013/03/26
20882	UBS AG – Evergrande European Warrants Mar 2013 A	2013/03/19	2013/03/26
20885	UBS AG – China Shenhua European Warrants Mar 2013 A	2013/03/19	2013/03/26
20904	Daiwa Capital Mkt – CCB European Warrants Mar 2013 A	2013/03/19	2013/03/26
20942	CC Rabobank B.A. – Hang Lung Ppt European Wts Mar 2013 A	2013/03/19	2013/03/26
21133	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 C	2013/03/19	2013/03/26
21173	Credit Suisse AG – A50 China European Warrants Mar 2013 B	2013/03/19	2013/03/26
21226	UBS AG – China Tel European Warrants Mar 2013 B	2013/03/19	2013/03/26
21338	BNP Paribas Arbit Issu B.V. – Ping An European Wt Mar 2013 B	2013/03/19	2013/03/26
21363	UBS AG – China Mobile European Put Warrants Mar 2013 D	2013/03/19	2013/03/26
18055	Macquarie Bank Ltd. – ASM Pacific European Wts Mar 2013 A	2013/03/20	2013/03/27
18788	Bank of East Asia – Dongfeng European Warrants Mar 2013 A	2013/03/20	2013/03/27
19945	Standard Chartered Bank – A50 China Euro Warrants Mar 2013 A	2013/03/20	2013/03/27
20460	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Mar 2013 A	2013/03/20	2013/03/27
21481	UBS AG – A50 China European Warrants Mar 2013 B	2013/03/20	2013/03/27
16685	HK Bank – HSI European Put Warrants Mar 2013	2013/03/21	2013/03/28
17742	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
17801	Daiwa Capital Mkt – HSCEI European Warrants Mar 2013 A	2013/03/21	2013/03/28
18380	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2013 A	2013/03/21	2013/03/28
18491	Daiwa Capital Mkt – HSCEI European Put Warrants Mar 2013 B	2013/03/21	2013/03/28
18508	CC Rabobank B.A. – Jiangxi Copper European Wts Mar 2013 A	2013/03/21	2013/03/28
18520	Daiwa Capital Mkt – HSI European Warrants Mar 2013 B	2013/03/21	2013/03/28
18521	Daiwa Capital Mkt – HSI European Warrants Mar 2013 C	2013/03/21	2013/03/28
18614	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 D	2013/03/21	2013/03/28
18813	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
18827	J P Morgan SP BV – HSI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
18871	J P Morgan SP BV – China Life European Put Wts Mar 2013 B	2013/03/21	2013/03/28
18894	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Mar 2013 A	2013/03/21	2013/03/28
19226	J P Morgan SP BV – JX Copper European Warrants Mar 2013 A	2013/03/21	2013/03/28
19347	UBS AG – HSI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
19389	UBS AG – HSCEI European Warrants Mar 2013 A	2013/03/21	2013/03/28
19434	HK Bank – HSI European Warrants Mar 2013 A	2013/03/21	2013/03/28
19522	HK Bank – HSCEI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
19559	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 E	2013/03/21	2013/03/28
19581	J P Morgan SP BV – HSI European Warrants Mar 2013 B	2013/03/21	2013/03/28
19594	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Mar 2013 B	2013/03/21	2013/03/28
19711	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Mar 2013A	2013/03/21	2013/03/28
19916	Standard Chartered Bank – HSI European Put Wts Mar 2013 A	2013/03/21	2013/03/28
19966	UBS AG – HSI European Warrants Mar 2013 B	2013/03/21	2013/03/28
20076	Standard Chartered Bank – HSI European Warrants Mar 2013 B	2013/03/21	2013/03/28
20092	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2013 A	2013/03/21	2013/03/28
20171	HK Bank – China Life European Warrants Mar 2013 C	2013/03/21	2013/03/28
20178	Standard Chartered Bank – HSI European Put Wts Mar 2013 C	2013/03/21	2013/03/28
20183	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2013 A	2013/03/21	2013/03/28
20192	HK Bank – HSI European Put Warrants Mar 2013 B	2013/03/21	2013/03/28
20210	J P Morgan SP BV – HSI European Put Warrants Mar 2013 C	2013/03/21	2013/03/28
20214	BOCI Asia Ltd. – HSI European Warrants Mar 2013 B	2013/03/21	2013/03/28
20232	Daiwa Capital Mkt – HSI European Warrants Mar 2013 F	2013/03/21	2013/03/28
20237	Citigroup Global Mkt H Inc. – China Life Euro Wts Mar 2013 A	2013/03/21	2013/03/28
20304	J P Morgan SP BV – HSI European Put Warrants Mar 2013 D	2013/03/21	2013/03/28
20320	Credit Suisse AG – HSI European Put Warrants Mar 2013 A	2013/03/21	2013/03/28
20325	Goldman Sachs SP (Asia) – Hutchison European Wts Mar 2013 A	2013/03/21	2013/03/28
20333	UBS AG – HSI European Put Warrants Mar 2013 C	2013/03/21	2013/03/28
20334	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2013 A	2013/03/21	2013/03/28
20335	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013B	2013/03/21	2013/03/28
20338	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar2013C	2013/03/21	2013/03/28
20382	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Mar 2013 A	2013/03/21	2013/03/28
20392	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2013 A	2013/03/21	2013/03/28
20393	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 C	2013/03/21	2013/03/28
20394	BOCI Asia Ltd. – HSI European Warrants Mar 2013 D	2013/03/21	2013/03/28
20429	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Mar 2013 A	2013/03/21	2013/03/28
20443	J P Morgan SP BV – HSI European Warrants Mar 2013 E	2013/03/21	2013/03/28
20448	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 G	2013/03/21	2013/03/28
20518	CC Rabobank B.A. – CITIC Bank European Warrants Mar 2013 A	2013/03/21	2013/03/28
20521	Standard Chartered Bank – HSI European Warrants Mar 2013 D	2013/03/21	2013/03/28
20522	Credit Suisse AG – HSI European Put Warrants Mar 2013 B	2013/03/21	2013/03/28
20535	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2013 A	2013/03/21	2013/03/28
20538	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2013 B	2013/03/21	2013/03/28
20555	UBS AG – HSI European Warrants Mar 2013 D	2013/03/21	2013/03/28
20565	UBS AG – HSI European Put Warrants Mar 2013 E	2013/03/21	2013/03/28
20566	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2013 A	2013/03/21	2013/03/28
20567	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2013 B	2013/03/21	2013/03/28
20573	Credit Suisse AG – HSI European Warrants Mar 2013 C	2013/03/21	2013/03/28
20600	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2013 D	2013/03/21	2013/03/28

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20646	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2013 C	2013/03/21	2013/03/28
20674	UBS AG – HSCEI European Put Warrants Mar 2013 B	2013/03/21	2013/03/28
20700	Standard Chartered Bank – ICBC European Warrants Mar 2013 B	2013/03/21	2013/03/28
20719	HK Bank – HSI European Put Warrants Mar 2013 C	2013/03/21	2013/03/28
20736	HK Bank – Agricultural Bank European Warrants Mar 2013 A	2013/03/21	2013/03/28
20754	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2013 A	2013/03/21	2013/03/28
20755	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2013 B	2013/03/21	2013/03/28
20759	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2013 D	2013/03/21	2013/03/28
20820	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 E	2013/03/21	2013/03/28
20886	UBS AG – Zijin Mining European Warrants Mar 2013 A	2013/03/21	2013/03/28
21225	Credit Suisse AG – HSI European Warrants Mar 2013 D	2013/03/21	2013/03/28
21359	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar2013B	2013/03/21	2013/03/28
13580	J P Morgan SP BV – New World Dev European Warrants Mar 2013	2013/03/22	2013/04/02
15227	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013	2013/03/22	2013/04/02
15317	Daiwa Capital Mkt – China Mobile European Warrants Mar 2013	2013/03/22	2013/04/02
15652	J P Morgan SP BV – HK Gas European Warrants Mar 2013	2013/03/22	2013/04/02
15980	Nomura Int'l plc – Hutchison European Warrants Mar 2013	2013/03/22	2013/04/02
17492	Daiwa Capital Mkt – SHK Ppt European Put Warrants Mar 2013 A	2013/03/22	2013/04/02
18443	Nomura Int'l plc – JIANC European Warrants Mar 2013 A	2013/03/22	2013/04/02
18544	UBS AG – HKEx European Warrants Mar 2013 A	2013/03/22	2013/04/02
18548	Credit Suisse AG – Jiangxi Copper European Wts Mar 2013 A	2013/03/22	2013/04/02
18705	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 B	2013/03/22	2013/04/02
18921	Credit Suisse AG – Jiangxi Copper European Wts Mar 2013 B	2013/03/22	2013/04/02
18924	Credit Suisse AG – HKEx European Warrants Mar 2013 A	2013/03/22	2013/04/02
18987	Credit Suisse AG – Hutchison European Warrants Mar 2013 A	2013/03/22	2013/04/02
19102	Nomura Int'l plc – Link REIT European Warrants Mar 2013 A	2013/03/22	2013/04/02
19103	Nomura Int'l plc – Ch LongYuan European Warrants Mar 2013 A	2013/03/22	2013/04/02
19104	Nomura Int'l plc – Sh Electric European Warrants Mar 2013 A	2013/03/22	2013/04/02
19977	Credit Suisse AG – Hutchison European Warrants Mar 2013 B	2013/03/22	2013/04/02
20003	Credit Suisse AG – China Shenhua European Wts Mar 2013 A	2013/03/22	2013/04/02
20117	HK Bank – SHK Ppt European Warrants Mar 2013 A	2013/03/22	2013/04/02
20122	HK Bank – China Mobile European Warrants Mar 2013 D	2013/03/22	2013/04/02
20266	Credit Suisse AG – China Life European Warrants Mar 2013 A	2013/03/22	2013/04/02
10161	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Mar 2013A	2013/03/22	2013/04/02
10162	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Mar 2013 B	2013/03/22	2013/04/02
10163	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Put Wts Mar 2013A	2013/03/22	2013/04/02
10164	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Warrants Mar2013B	2013/03/22	2013/04/02
10165	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Mar 2013B	2013/03/22	2013/04/02
20441	UBS AG – SPDR Gold Trust European Put Warrants Mar 2013 A	2013/03/22	2013/04/02
20492	Nomura Int'l plc – HSBC European Warrants Mar 2013 A	2013/03/22	2013/04/02
20650	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Mar 2013 A	2013/03/22	2013/04/02
20914	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 D	2013/03/22	2013/04/02
21725	Standard Chartered Bank – CC Bank European Wts Mar 2013 B	2013/03/22	2013/04/02
24627	BNP Paribas Arbit Issu B.V. – Henganintl European Wts Apr13	2013/03/25	2013/04/03
11612	BNP Paribas Arbit Issu B.V. – Nine Dragons European Wt Apr13	2013/03/25	2013/04/03
18557	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2013 A	2013/03/25	2013/04/03
18746	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2013 A	2013/03/25	2013/04/03
18802	Goldman Sachs SP (Asia) – China Unicom Euro Wts Apr 2013 A	2013/03/25	2013/04/03
18825	Macquarie Bank Ltd. – HKEx European Warrants Apr 2013 A	2013/03/25	2013/04/03
18956	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Apr 2013 A	2013/03/25	2013/04/03
19437	J P Morgan SP BV – ZTE European Warrants Apr 2013 A	2013/03/25	2013/04/03
19681	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Apr 2013 A	2013/03/25	2013/04/03
19682	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2013 A	2013/03/25	2013/04/03
19781	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Apr 2013 B	2013/03/25	2013/04/03
19782	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Apr 2013 A	2013/03/25	2013/04/03
19867	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Apr 2013 A	2013/03/25	2013/04/03
19911	Standard Chartered Bank – HSBC European Put Wts Apr 2013 A	2013/03/25	2013/04/03
19947	BOCI Asia Ltd. – A50 China European Warrants Apr 2013 A	2013/03/25	2013/04/03
20030	Citigroup Global Mkt H Inc. – A50 China Euro Wts Apr 2013 A	2013/03/25	2013/04/03
20204	J P Morgan SP BV – ICBC European Warrants Apr 2013 A	2013/03/25	2013/04/03
20357	J P Morgan SP BV – Henderson Land Dev European Wt Apr 2013 A	2013/03/25	2013/04/03
20358	J P Morgan SP BV – HS Bank European Warrants Apr 2013 A	2013/03/25	2013/04/03
20412	J P Morgan SP BV – China Railway European Wts Apr 2013 A	2013/03/25	2013/04/03
20428	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2013 A	2013/03/25	2013/04/03
20454	Daiwa Capital Mkt – ICBC European Warrants Apr 2013 A	2013/03/25	2013/04/03
20471	Daiwa Capital Mkt -Agricultural Bank European Wts Apr 2013 A	2013/03/25	2013/04/03
20506	CC Rabobank B.A. – Weichai Power European Wts Apr 2013 A	2013/03/25	2013/04/03
20507	CC Rabobank B.A. – CHALCO European Warrants Apr 2013 A	2013/03/25	2013/04/03
20583	J P Morgan SP BV – Hutchison European Warrants Apr 2013 A	2013/03/25	2013/04/03
20607	J P Morgan SP BV – ICBC European Warrants Apr 2013 B	2013/03/25	2013/04/03
20655	UBS AG – HSBC European Put Warrants Apr 2013 B	2013/03/25	2013/04/03
20908	Daiwa Capital Mkt – Hutchison European Warrants Apr 2013 B	2013/03/25	2013/04/03
21047	CC Rabobank B.A. – Lenovo European Warrants Apr 2013 A	2013/03/25	2013/04/03
21233	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2013 A	2013/03/25	2013/04/03
22006	Citigroup Global Mkt H Inc. – HKEx European Wts Apr 2013 A	2013/03/25	2013/04/03
11108	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2013	2013/03/26	2013/04/05
17923	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Apr 2013 A	2013/03/26	2013/04/05
17924	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Apr 2013 A	2013/03/26	2013/04/05
17937	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Apr 2013A	2013/03/26	2013/04/05
17939	BNP Paribas Arbit Issu B.V. – ASM Pacific Eu Wts Apr 2013 A	2013/03/26	2013/04/05
18332	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2013 A	2013/03/26	2013/04/05
18358	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2013 A	2013/03/26	2013/04/05
18359	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Apr 2013A	2013/03/26	2013/04/05
18402	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Apr13A	2013/03/26	2013/04/05
18542	Macquarie Bank Ltd. – China Unicom European Wts Apr 2013 A	2013/03/26	2013/04/05
18691	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Apr 2013A	2013/03/26	2013/04/05
18698	BOCI Asia Ltd. – China Shenhua European Warrants Apr 2013 A	2013/03/26	2013/04/05

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
18970	BNP Paribas Arbit Issu B.V. – ZTE European Wts Apr 2013 A	2013/03/26	2013/04/05
19308	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Apr 2013B	2013/03/26	2013/04/05
19311	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013A	2013/03/26	2013/04/05
19349	BNP Paribas Arbit Issu B.V. – CQRC Bank Euro Wts Apr 2013 A	2013/03/26	2013/04/05
19350	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Apr 2013 A	2013/03/26	2013/04/05
19351	BNP Paribas Arbit Issu B.V. – BYD European Wts Apr 2013 A	2013/03/26	2013/04/05
19352	BNP Paribas Arbit Issu B.V. – Beijing Ent Euro Wt Apr 2013 A	2013/03/26	2013/04/05
19354	BNP Paribas Arbit Issu B.V. – Weichai Power Eu Wt Apr 2013 A	2013/03/26	2013/04/05
19355	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Apr 2013 A	2013/03/26	2013/04/05
19357	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Apr 2013 A	2013/03/26	2013/04/05
19358	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Apr 2013 A	2013/03/26	2013/04/05
19367	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Apr 2013 A	2013/03/26	2013/04/05
19368	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2013 B	2013/03/26	2013/04/05
19519	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Apr 2013 A	2013/03/26	2013/04/05
19643	Standard Chartered Bank – AIA European Warrants Apr 2013 A	2013/03/26	2013/04/05
19710	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 13 A	2013/03/26	2013/04/05
19738	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Apr13A	2013/03/26	2013/04/05
19739	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr 13 A	2013/03/26	2013/04/05
19803	Macquarie Bank Ltd. – A50 China European Warrants Apr 2013 A	2013/03/26	2013/04/05
19821	BOCI Asia Ltd. – Anhui Conch European Warrants Apr 2013 A	2013/03/26	2013/04/05
19846	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2013 A	2013/03/26	2013/04/05
19848	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2013 A	2013/03/26	2013/04/05
19918	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Apr 2013 A	2013/03/26	2013/04/05
20060	Macquarie Bank Ltd. – China Life European Wts Apr 2013 A	2013/03/26	2013/04/05
20070	J P Morgan SP BV – China Life European Warrants Apr 2013 A	2013/03/26	2013/04/05
20111	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Apr 2013 A	2013/03/26	2013/04/05
10158	SGA Societe Generale Acceptance N.V-EUR/USD Eu Put Wt Apr13A	2013/03/26	2013/04/05
10159	SGA Societe Generale Acceptance N.V. – EUR/USD Eu Wt Apr 13B	2013/03/26	2013/04/05
20173	Macquarie Bank Ltd. – HSBC European Warrants Apr 2013 A	2013/03/26	2013/04/05
20188	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2013 B	2013/03/26	2013/04/05
20257	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Apr 13 A	2013/03/26	2013/04/05
20258	Macquarie Bank Ltd. – Hutchison European Warrants Apr 2013 A	2013/03/26	2013/04/05
20288	Daiwa Capital Mkt – Ch Overseas European Warrants Apr 2013 A	2013/03/26	2013/04/05
20348	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Apr 2013A	2013/03/26	2013/04/05
20356	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2013 B	2013/03/26	2013/04/05
20367	Macquarie Bank Ltd. – Luk Fook Hold European Wts Apr 2013 A	2013/03/26	2013/04/05
20423	Daiwa Capital Mkt – A50 China European Put Wts Apr 2013 A	2013/03/26	2013/04/05
20446	Macquarie Bank Ltd. – AIA European Warrants Apr 2013 A	2013/03/26	2013/04/05
20466	Macquarie Bank Ltd. – A50 China European Warrants Apr 2013 B	2013/03/26	2013/04/05
20467	Macquarie Bank Ltd. – A50 China European Put Wts Apr 2013 C	2013/03/26	2013/04/05
20487	Daiwa Capital Mkt – CNOOC European Put Warrants Apr 2013 A	2013/03/26	2013/04/05
20490	Goldman Sachs SP (Asia) -Ch Overseas European Wts Apr 2013 B	2013/03/26	2013/04/05
20514	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Apr 2013 B	2013/03/26	2013/04/05
20614	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2013 B	2013/03/26	2013/04/05
20624	Macquarie Bank Ltd. – HSBC European Warrants Apr 2013 B	2013/03/26	2013/04/05
20630	Macquarie Bank Ltd. – Esprit European Warrants Apr 2013 A	2013/03/26	2013/04/05
20643	Macquarie Bank Ltd. – Sands China European Wts Apr 2013 A	2013/03/26	2013/04/05
20660	CC Rabobank B.A. – Sands China European Warrants Apr 2013 A	2013/03/26	2013/04/05
20672	Macquarie Bank Ltd. – Zijin Mining European Wts Apr 2013 A	2013/03/26	2013/04/05
20801	BOCI Asia Ltd. – ICBC European Warrants Apr 2013 A	2013/03/26	2013/04/05
21071	BOCI Asia Ltd. – Ch Overseas European Warrants Apr 2013 A	2013/03/26	2013/04/05
17023	Citigroup Global Mkt H Inc. – New World European Wt Apr 2013	2013/03/27	2013/04/08
19345	Goldman Sachs SP (Asia) – Agri Bk European Wts Apr 2013 A	2013/03/28	2013/04/09
20362	CC Rabobank B.A. – Zijin Mining European Warrants Apr 2013 A	2013/03/28	2013/04/09
20380	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Apr 2013 A	2013/03/28	2013/04/09
20515	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Apr13A	2013/03/28	2013/04/09
20563	Macquarie Bank Ltd. – Tencent European Put Wts Apr 2013 A	2013/03/28	2013/04/09
20620	Standard Chartered Bank – Galaxy Ent European Wts Apr 2013 A	2013/03/28	2013/04/09
20654	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2013 A	2013/03/28	2013/04/09
20668	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2013 A	2013/03/28	2013/04/09
20790	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2013 A	2013/03/28	2013/04/09
20822	Standard Chartered Bank-China Life European Wts Apr 2013 A	2013/03/28	2013/04/09
20909	Daiwa Capital Mkt – China Life European Warrants Apr 2013 B	2013/03/28	2013/04/09
21039	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2013 B	2013/03/28	2013/04/09
21077	Credit Suisse AG – CC Bank European Warrants Apr 2013 B	2013/03/28	2013/04/09
21220	Credit Suisse AG – HKEx European Warrants Apr 2013 A	2013/03/28	2013/04/09
21223	Credit Suisse AG – Hutchison European Warrants Apr 2013 A	2013/03/28	2013/04/09
21229	J P Morgan SP BV – HSBC European Warrants Apr 2013 A	2013/03/28	2013/04/09
21269	UBS AG – HKEx European Warrants Apr 2013 A	2013/03/28	2013/04/09
21480	UBS AG – Hutchison European Warrants Apr 2013 A	2013/03/28	2013/04/09
21523	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2013 B	2013/03/28	2013/04/09
21760	J P Morgan SP BV – HKEx European Warrants Apr 2013 A	2013/03/28	2013/04/09
22091	Credit Suisse AG – Cheung Kong European Warrants Apr 2013 A	2013/03/28	2013/04/09
17554	Nomura Int'l plc – New World Dev European Wts Apr 2013 A	2013/04/02	2013/04/10
20464	Standard Chartered Bank – HKEx European Warrants Apr 2013 A	2013/04/02	2013/04/10
20772	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2013 A	2013/04/02	2013/04/10
19621	Daiwa Capital Mkt – Hutchison European Warrants Apr 2013 A	2013/04/03	2013/04/11
19897	HK Bank – China Tel European Warrants Apr 2013 A	2013/04/03	2013/04/11
20179	Daiwa Capital Mkt – BOCL European Warrants Apr 2013 A	2013/04/03	2013/04/11
20217	HK Bank – Lenovo European Warrants Apr 2013 A	2013/04/03	2013/04/11
20483	Daiwa Capital Mkt – HSBC European Put Warrants Apr 2013 A	2013/04/03	2013/04/11
20502	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Apr13A	2013/04/03	2013/04/11
20570	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2013 A	2013/04/03	2013/04/11
20575	Credit Suisse AG – Sands China European Warrants Apr 2013 A	2013/04/03	2013/04/11
20590	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013B	2013/04/03	2013/04/11
20593	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013C	2013/04/03	2013/04/11
20594	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr2013B	2013/04/03	2013/04/11

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20604	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2013 A	2013/04/03	2013/04/11
14022	J P Morgan SP BV – SHK Ppt European Warrants Apr 2013	2013/04/05	2013/04/12
17305	Nomura Int'l plc – SHK P European Warrants Apr 2013 A	2013/04/05	2013/04/12
19344	Goldman Sachs SP (Asia) – JIANC European Warrants Apr 2013 A	2013/04/05	2013/04/12
20242	HK Bank – China Mobile European Warrants Apr 2013 A	2013/04/05	2013/04/12
20628	UBS AG – ICBC European Warrants Apr 2013 A	2013/04/05	2013/04/12
20690	UBS AG – BOCL European Warrants Apr 2013 A	2013/04/05	2013/04/12
13771	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2013	2013/04/08	2013/04/15
18671	Standard Chartered Bank – China Coal European Wts Apr 2013 A	2013/04/08	2013/04/15
20505	CC Rabobank B.A. – Chi Resources European Wts Apr 2013 A	2013/04/08	2013/04/15
17367	UBS AG – SHK Ppt European Warrants Apr 2013 A	2013/04/09	2013/04/16
18953	BOCI Asia Ltd. – Tracker Fund European Put Warrants Apr 13 A	2013/04/09	2013/04/16
18963	CC Rabobank B.A. – Chi Res Land European Warrants Apr 2013 A	2013/04/09	2013/04/16
19087	Goldman Sachs SP (Asia) -Ch Overseas European Wts Apr 2013 A	2013/04/09	2013/04/16
19636	HK Bank – Tencent European Warrants Apr 2013 A	2013/04/09	2013/04/16
19714	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Apr 2013 A	2013/04/09	2013/04/16
19794	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2013A	2013/04/09	2013/04/16
19936	J P Morgan SP BV – SPDR Gold Trust European Warrants Apr 13A	2013/04/09	2013/04/16
19944	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2013A	2013/04/09	2013/04/16
19963	Credit Suisse AG – CNOOC European Warrants Apr 2013 A	2013/04/09	2013/04/16
20095	SGA Societe Generale Acceptance N.V. – NWD Eu Put Wts Apr13A	2013/04/09	2013/04/16
20262	Macquarie Bank Ltd. – CNOOC European Warrants Apr 2013 A	2013/04/09	2013/04/16
20321	UBS AG – China Life European Warrants Apr 2013 A	2013/04/09	2013/04/16
20387	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2013 A	2013/04/09	2013/04/16
20462	HK Bank – Galaxy Ent European Warrants Apr 2013 B	2013/04/09	2013/04/16
20531	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2013 A	2013/04/09	2013/04/16
20611	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2013 A	2013/04/09	2013/04/16
20648	HK Bank – AIA European Put Warrants Apr 2013 A	2013/04/09	2013/04/16
20766	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2013 A	2013/04/09	2013/04/16
20969	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr2013 A	2013/04/09	2013/04/16
21121	CC Rabobank B.A. – SHK Ppt European Warrants Apr 2013 B	2013/04/09	2013/04/16
21147	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Apr2013 B	2013/04/09	2013/04/16
21166	J P Morgan SP BV – A50 China European Warrants Apr 2013 A	2013/04/09	2013/04/16
21194	UBS AG – Tencent Holdings European Put Warrants Apr 2013 B	2013/04/09	2013/04/16
21584	J P Morgan SP BV – Ping An European Warrants Apr 2013 A	2013/04/09	2013/04/16
22585	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Apr 2013 A	2013/04/09	2013/04/16
13373	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Apr 2013	2013/04/10	2013/04/17
14400	Credit Suisse AG – Ch Comm Cons European Warrants Apr 2013	2013/04/10	2013/04/17
18105	Macquarie Bank Ltd. – PICC P&C European Warrants Apr 2013 A	2013/04/10	2013/04/17
20661	CC Rabobank B.A. – Wharf European Warrants Apr 2013 A	2013/04/10	2013/04/17
20662	CC Rabobank B.A. – Ch Comm Cons European Warrants Apr 2013 A	2013/04/10	2013/04/17
20681	Daiwa Capital Mkt – Sinopec Corp European Put Wts Apr 2013 A	2013/04/10	2013/04/17
21139	UBS AG – Evergrande European Warrants Apr 2013 A	2013/04/10	2013/04/17
21156	CC Rabobank B.A. – Evergrande European Warrants Apr 2013 A	2013/04/10	2013/04/17
20686	CC Rabobank B.A. – Geely Auto European Warrants Apr 2013 A	2013/04/11	2013/04/18
20964	UBS AG – Sands China European Warrants Apr 2013 A	2013/04/11	2013/04/18
13240	HK Bank – SHK Ppt European Warrants Apr 2013	2013/04/12	2013/04/19
20486	Daiwa Capital Mkt – BOCL European Warrants Apr 2013 B	2013/04/12	2013/04/19
19929	Standard Chartered Bank – CC Bank European Wts Apr 2013 A	2013/04/15	2013/04/22
19165	Goldman Sachs SP (Asia) – CNOOC European Warrants Apr 2013 A	2013/04/16	2013/04/23
19570	UBS AG – CNOOC European Warrants Apr 2013 B	2013/04/16	2013/04/23
19691	J P Morgan SP BV – Ch Merchants European Warrants Apr 2013 A	2013/04/16	2013/04/23
19700	HK Bank – CITIC Bank European Warrants Apr 2013 A	2013/04/16	2013/04/23
19792	UBS AG – Tencent Holdings European Warrants Apr 2013 A	2013/04/16	2013/04/23
20063	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2013 A	2013/04/16	2013/04/23
20101	CC Rabobank B.A. – SHK Ppt European Warrants Apr 2013 A	2013/04/16	2013/04/23
20224	UBS AG – SHK Ppt European Warrants Apr 2013 B	2013/04/16	2013/04/23
20269	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2013 A	2013/04/16	2013/04/23
20385	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2013 A	2013/04/16	2013/04/23
20543	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Apr2013A	2013/04/16	2013/04/23
20577	HK Bank – Tencent European Put Warrants Apr 2013 D	2013/04/16	2013/04/23
20615	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2013 A	2013/04/16	2013/04/23
20617	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2013 B	2013/04/16	2013/04/23
20623	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2013 A	2013/04/16	2013/04/23
20665	Credit Suisse AG – New World Dev European Wts Apr 2013 A	2013/04/16	2013/04/23
20734	HK Bank – A50 China European Warrants Apr 2013 A	2013/04/16	2013/04/23
20737	HK Bank – CC Bank European Warrants Apr 2013 A	2013/04/16	2013/04/23
20751	UBS AG – CC Bank European Warrants Apr 2013 A	2013/04/16	2013/04/23
20915	Credit Suisse AG – Jiangxi Copper European Wts Apr 2013 A	2013/04/16	2013/04/23
20995	UBS AG – ICBC European Warrants Apr 2013 C	2013/04/16	2013/04/23
20997	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2013 A	2013/04/16	2013/04/23
20999	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2013 A	2013/04/16	2013/04/23
21069	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Apr2013 A	2013/04/16	2013/04/23
21217	CC Rabobank B.A. – Ch Mobile European Warrants Apr 2013 A	2013/04/16	2013/04/23
21318	Standard Chartered Bank – Sinopec Corp Euro Wts Apr 2013 A	2013/04/16	2013/04/23
21381	UBS AG – China Mobile European Warrants Apr 2013 A	2013/04/16	2013/04/23
21571	Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Apr 13 A	2013/04/16	2013/04/23
21601	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2013 C	2013/04/16	2013/04/23
21751	Credit Suisse AG – A50 China European Warrants Apr 2013 B	2013/04/16	2013/04/23
22185	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2013 C	2013/04/16	2013/04/23
22302	CC Rabobank B.A. – CC Bank European Warrants Apr 2013 A	2013/04/16	2013/04/23
11363	Bank of East Asia – Ch Comm Cons European Warrants Apr 2013	2013/04/17	2013/04/24
15901	Bank of East Asia – China Overseas Euro Warrants Apr 2013	2013/04/17	2013/04/24
16317	Bank of East Asia – PICC European Warrants Apr 2013	2013/04/17	2013/04/24
20728	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Apr 2013 B	2013/04/17	2013/04/24
20733	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2013 A	2013/04/17	2013/04/24
20783	Macquarie Bank Ltd. – Evergrande European Wts Apr 2013 A	2013/04/17	2013/04/24

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21267	UBS AG – HSBC European Put Warrants Apr 2013 C	2013/04/17	2013/04/24
19672	UBS AG – HSBC European Warrants Apr 2013 A	2013/04/18	2013/04/25
20372	Citigroup Global Mkt H Inc. – Sands China Euro Wt Apr 2013 A	2013/04/18	2013/04/25
20375	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2013 A	2013/04/18	2013/04/25
20708	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2013 B	2013/04/18	2013/04/25
20763	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Apr 2013 A	2013/04/18	2013/04/25
20779	CC Rabobank B.A. – Ch Ship Cont European Warrants Apr 2013 A	2013/04/18	2013/04/25
20780	CC Rabobank B.A. – CQRC Bank European Warrants Apr 2013 A	2013/04/18	2013/04/25
20781	CC Rabobank B.A. – China Ship Dev European Wts Apr 2013 A	2013/04/18	2013/04/25
20782	CC Rabobank B.A. – Ch Rongsheng European Warrants Apr 2013 A	2013/04/18	2013/04/25
15362	UBS AG – HSBC European Warrants Apr 2013	2013/04/19	2013/04/26
15379	Credit Suisse AG – HSBC European Wts Apr 2013	2013/04/19	2013/04/26
18738	CC Rabobank B.A. – CITIC Pacific European Wts Apr 2013 A	2013/04/19	2013/04/26
20809	Daiwa Capital Mkt – China Life European Warrants Apr 2013 A	2013/04/19	2013/04/26
20810	Daiwa Capital Mkt – HSBC European Warrants Apr 2013 B	2013/04/19	2013/04/26
20943	CC Rabobank B.A. – Sino Land European Warrants Apr 2013 A	2013/04/19	2013/04/26
13413	HK Bank – C Transmission European Warrants Apr 2013	2013/04/22	2013/04/29
18786	Bank of East Asia – CITIC Pacific European Wts Apr 2013 A	2013/04/22	2013/04/29
19101	Nomura Int'l plc – Gome European Warrants Apr 2013 A	2013/04/22	2013/04/29
19898	HK Bank – Tencent European Warrants Apr 2013 B	2013/04/22	2013/04/29
21216	CC Rabobank B.A. – China Shenhua European Warrants Apr2013 A	2013/04/22	2013/04/29
21301	Citigroup Global Mkt H Inc. – Ping An Euro Wts Apr 2013 A	2013/04/22	2013/04/29
21332	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2013 B	2013/04/22	2013/04/29
21549	HK Bank – China Mobile European Warrants Apr 2013 B	2013/04/22	2013/04/29
21637	Standard Chartered Bank – Ping An European Wts Apr 2013 A	2013/04/22	2013/04/29
16163	Goldman Sachs SP (Asia) – Cathay Pacific Euro Wts Apr 2013	2013/04/23	2013/04/30
16560	Goldman Sachs SP (Asia) – Chi Res Land European Wts Apr 2013	2013/04/23	2013/04/30
17779	Goldman Sachs SP (Asia) – Sino Land European Wts Apr 2013 A	2013/04/23	2013/04/30
18313	Credit Suisse AG – PICC European Warrants Apr 2013 A	2013/04/23	2013/04/30
18334	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2013 A	2013/04/23	2013/04/30
18562	UBS AG – CNOOC European Warrants Apr 2013 A	2013/04/23	2013/04/30
18651	Goldman Sachs SP (Asia) – CITIC Bank European Wts Apr 2013 A	2013/04/23	2013/04/30
18721	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Apr 2013 A	2013/04/23	2013/04/30
18728	SGA Societe Generale Acceptance N.V. -CPIC Euro Wts Apr 13 A	2013/04/23	2013/04/30
19034	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Apr13A	2013/04/23	2013/04/30
19273	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Apr 2013A	2013/04/23	2013/04/30
19626	Daiwa Capital Mkt – AIA European Warrants Apr 2013 A	2013/04/23	2013/04/30
19651	Daiwa Capital Mkt – HSI European Warrants Apr 2013 A	2013/04/23	2013/04/30
19743	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2013 A	2013/04/23	2013/04/30
19896	HK Bank – Galaxy Ent European Warrants Apr 2013 A	2013/04/23	2013/04/30
19899	HK Bank – Tencent European Put Warrants Apr 2013 C	2013/04/23	2013/04/30
19923	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 A	2013/04/23	2013/04/30
20077	J P Morgan SP BV – HSI European Put Warrants Apr 2013 A	2013/04/23	2013/04/30
20118	J P Morgan SP BV – Wharf European Warrants Apr 2013 A	2013/04/23	2013/04/30
20331	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Apr 2013 A	2013/04/23	2013/04/30
20363	CC Rabobank B.A. – Jiangxi Copper European Wts Apr 2013 A	2013/04/23	2013/04/30
20386	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Apr 2013 A	2013/04/23	2013/04/30
20458	Credit Suisse AG – HSBC European Wts Apr 2013 A	2013/04/23	2013/04/30
20534	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2013 A	2013/04/23	2013/04/30
20603	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr2013A	2013/04/23	2013/04/30
20657	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Apr 2013 B	2013/04/23	2013/04/30
20683	J P Morgan SP BV – HSI European Put Warrants Apr 2013 B	2013/04/23	2013/04/30
20743	CC Rabobank B.A. – Hutchison European Warrants Apr 2013 A	2013/04/23	2013/04/30
20758	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2013 A	2013/04/23	2013/04/30
20769	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Apr 2013 A	2013/04/23	2013/04/30
20786	UBS AG – A50 China European Warrants Apr 2013 A	2013/04/23	2013/04/30
20791	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2013 A	2013/04/23	2013/04/30
20798	UBS AG – HSI European Put Warrants Apr 2013 A	2013/04/23	2013/04/30
20815	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Apr2013 B	2013/04/23	2013/04/30
20826	Credit Suisse AG – HSI European Put Warrants Apr 2013 A	2013/04/23	2013/04/30
20829	Credit Suisse AG – ChiUnicom European Warrants Apr 2013 B	2013/04/23	2013/04/30
20830	Credit Suisse AG – ICBC European Warrants Apr 2013 A	2013/04/23	2013/04/30
20835	UBS AG – HSI European Warrants Apr 2013 B	2013/04/23	2013/04/30
20838	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Apr 2013 A	2013/04/23	2013/04/30
20840	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr13 B	2013/04/23	2013/04/30
20847	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2013 A	2013/04/23	2013/04/30
20853	Goldman Sachs SP (Asia) – HSCEI European Warrants Apr 2013 A	2013/04/23	2013/04/30
20855	Goldman Sachs SP (Asia) – HSCEI European Put Wts Apr 2013 B	2013/04/23	2013/04/30
20862	UBS AG – Jiangxi Copper European Warrants Apr 2013 A	2013/04/23	2013/04/30
20870	Credit Suisse AG – HSBC European Put Warrants Apr 2013 D	2013/04/23	2013/04/30
20871	UBS AG – ICBC European Put Warrants Apr 2013 B	2013/04/23	2013/04/30
20880	UBS AG – Sinopec Corp European Warrants Apr 2013 A	2013/04/23	2013/04/30
20893	Credit Suisse AG – Sinopec Corp European Warrants Apr 2013 A	2013/04/23	2013/04/30
20896	Credit Suisse AG – A50 China European Warrants Apr 2013 A	2013/04/23	2013/04/30
20925	HK Bank – HSI European Put Warrants Apr 2013 A	2013/04/23	2013/04/30
20959	Credit Suisse AG – HSI European Warrants Apr 2013 B	2013/04/23	2013/04/30
20960	Daiwa Capital Mkt – HSI European Warrants Apr 2013 B	2013/04/23	2013/04/30
20991	Citigroup Global Mkt H Inc. – HSI European Put Wt Apr 2013 A	2013/04/23	2013/04/30
21026	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2013 B	2013/04/23	2013/04/30
21056	UBS AG – HSI European Put Warrants Apr 2013 C	2013/04/23	2013/04/30
21076	Credit Suisse AG – CC Bank European Warrants Apr 2013 A	2013/04/23	2013/04/30
21098	Standard Chartered Bank – HSI European Warrants Apr 2013 A	2013/04/23	2013/04/30
21103	HK Bank – HSI European Warrants Apr 2013 B	2013/04/23	2013/04/30
21127	J P Morgan SP BV – HSI European Warrants Apr 2013 C	2013/04/23	2013/04/30
21130	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2013 A	2013/04/23	2013/04/30
21131	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2013 B	2013/04/23	2013/04/30
21307	UBS AG – Tencent Holdings European Warrants Apr 2013 C	2013/04/23	2013/04/30

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21308	UBS AG – Ping An European Warrants Apr 2013 A	2013/04/23	2013/04/30
21312	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2013 C	2013/04/23	2013/04/30
21335	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr2013B	2013/04/23	2013/04/30
21341	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2013 B	2013/04/23	2013/04/30
21368	Standard Chartered Bank – HSI European Put Wts Apr 2013 B	2013/04/23	2013/04/30
21398	Credit Suisse AG – HSI European Put Warrants Apr 2013 C	2013/04/23	2013/04/30
21503	Macquarie Bank Ltd. – Ping An European Warrants Apr 2013 A	2013/04/23	2013/04/30
21642	Credit Suisse AG – Ping An European Warrants Apr 2013 A	2013/04/23	2013/04/30
21754	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 D	2013/04/23	2013/04/30
21758	Credit Suisse AG – SHK Ppt European Warrants Apr 2013 A	2013/04/23	2013/04/30
22182	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2013 B	2013/04/23	2013/04/30
22713	Credit Suisse AG – PICC European Warrants Apr 2013 B	2013/04/23	2013/04/30
22759	Standard Chartered Bank – CNOOC European Warrants Apr 2013 A	2013/04/23	2013/04/30
23095	Credit Suisse – Henderson Land European Warrants Apr 2013 A	2013/04/23	2013/04/30
13531	Standard Chartered Bank – Ch Comm Cons Eur Warrants Apr 2013	2013/04/24	2013/05/02
17875	Credit Suisse AG – China Unicom European Put Wts Apr 2013 A	2013/04/24	2013/05/02
20226	J P Morgan SP BV – Tencent European Put Warrants Apr 2013 A	2013/04/24	2013/05/02
20493	Nomura Int'l plc – HSBC European Put Warrants Apr 2013 A	2013/04/24	2013/05/02
20544	Credit Suisse AG – HSBC European Wts Apr 2013 C	2013/04/24	2013/05/02
20631	Nomura Int'l plc – Hutchison European Warrants Apr 2013 A	2013/04/24	2013/05/02
20634	Nomura Int'l plc – China Mobile European Put Wts Apr 2013 A	2013/04/24	2013/05/02
21066	Nomura Int'l plc – HSBC European Warrants Apr 2013 B	2013/04/24	2013/05/02
21207	CC Rabobank B.A. – CITIC Pacific European Wts Apr 2013 B	2013/04/24	2013/05/02
21238	Standard Chartered Bank – A50 China Euro Warrants Apr 2013 A	2013/04/24	2013/05/02
21264	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 B	2013/04/24	2013/05/02
21717	Credit Suisse AG – HKEx European Warrants Apr 2013 B	2013/04/24	2013/05/02
22124	Standard Chartered Bank – SHK P European Warrants Apr 2013 A	2013/04/24	2013/05/02
22171	UBS AG – Lenovo European Warrants Apr 2013 A	2013/04/24	2013/05/02
15246	Daiwa Capital Mkt – HSBC European Warrants May 2013	2013/04/25	2013/05/03
17418	Standard Chartered Bank – HSBC European Warrants May 2013 A	2013/04/25	2013/05/03
17420	Standard Chartered Bank – CITIC Pacific Euro Wts May 2013 A	2013/04/25	2013/05/03
18273	Bank of East Asia – Chow Tai Fook European Wts May 2013 A	2013/04/25	2013/05/03
19010	J P Morgan SP BV – CITIC Sec European Warrants May 2013 A	2013/04/25	2013/05/03
19487	BOCI Asia Ltd. – HSBC European Warrants May 2013 A	2013/04/25	2013/05/03
19854	Daiwa Capital Mkt – CCB European Put Warrants May 2013 A	2013/04/25	2013/05/03
19909	Standard Chartered Bank-China Life European Wts May 2013 A	2013/04/25	2013/05/03
20285	Daiwa Capital Mkt – Tencent Holdings European Wts May 2013 A	2013/04/25	2013/05/03
20586	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt May 2013A	2013/04/25	2013/05/03
20679	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2013 A	2013/04/25	2013/05/03
20890	J P Morgan SP BV – CPIC European Warrants May 2013 A	2013/04/25	2013/05/03
20945	CC Rabobank B.A. – GAC European Warrants May 2013 A	2013/04/25	2013/05/03
20953	HK Bank – SHK Ppt European Warrants May 2013 A	2013/04/25	2013/05/03
21185	J P Morgan SP BV – ICBC European Warrants May 2013 A	2013/04/25	2013/05/03
21608	BNP Paribas Arbit Issu B.V. – Ping An European Wt May 2013 A	2013/04/25	2013/05/03
21790	BOCI Asia Ltd. – SHK Ppt European Warrants May 2013 A	2013/04/25	2013/05/03
21926	Daiwa Capital Mkt – Ping An European Warrants May 2013 A	2013/04/25	2013/05/03
17250	Macquarie Bank Ltd. – Haier Elec European Wts May 2013 A	2013/04/26	2013/05/06
17584	Macquarie Bank Ltd. – Sino Land European Warrants May 2013 A	2013/04/26	2013/05/06
17881	Macquarie Bank Ltd. – BJ Ent Water European Wts May 2013 A	2013/04/26	2013/05/06
17986	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2013 A	2013/04/26	2013/05/06
18012	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts May 2013 A	2013/04/26	2013/05/06
18798	Macquarie Bank Ltd. – Gome European Warrants May 2013 A	2013/04/26	2013/05/06
19439	J P Morgan SP BV – Luk Fook Hold European Warrants May 2013A	2013/04/26	2013/05/06
19662	Daiwa Capital Mkt – China Telecom Euro Put Wts May 2013 B	2013/04/26	2013/05/06
19894	Macquarie Bank Ltd. – Tencent European Warrants May 2013 A	2013/04/26	2013/05/06
19994	Macquarie Bank Ltd. – Wharf European Warrants May 2013 A	2013/04/26	2013/05/06
20046	Macquarie Bank Ltd. – AIA European Warrants May 2013 A	2013/04/26	2013/05/06
20096	Macquarie Bank Ltd. – Chow Sang Sang European Wts May 2013 A	2013/04/26	2013/05/06
20109	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2013 B	2013/04/26	2013/05/06
20510	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts May 2013 C	2013/04/26	2013/05/06
20644	Macquarie Bank Ltd. – Galaxy Ent European Wts May 2013 A	2013/04/26	2013/05/06
20671	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts May 2013 A	2013/04/26	2013/05/06
20694	Macquarie Bank Ltd. – PICC P&C European Warrants May 2013 A	2013/04/26	2013/05/06
20704	Macquarie Bank Ltd. – GAC European Wts May 2013 A	2013/04/26	2013/05/06
20710	Macquarie Bank Ltd. – Angang Steel European Wts May 2013 A	2013/04/26	2013/05/06
20748	Macquarie Bank Ltd. – China COSCO European Wts May 2013 A	2013/04/26	2013/05/06
20752	Macquarie Bank Ltd. – A50 China European Warrants May 2013 A	2013/04/26	2013/05/06
20917	Credit Suisse AG – Yanzhou Coal European Warrants May 2013 A	2013/04/26	2013/05/06
20989	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts May 2013 A	2013/04/26	2013/05/06
21140	Macquarie Bank Ltd. – Geely Auto European Wts May 2013 A	2013/04/26	2013/05/06
17804	Daiwa Capital Mkt – HSBC European Put Warrants May 2013 A	2013/04/29	2013/05/07
17808	Standard Chartered Bank – Geely Auto European Wts May 2013 A	2013/04/29	2013/05/07
17829	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt May 13 A	2013/04/29	2013/05/07
19726	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 A	2013/04/29	2013/05/07
19915	Standard Chartered Bank – China Shenhua Euro Wts May 2013 A	2013/04/29	2013/05/07
20526	J P Morgan SP BV – HKEx European Warrants May 2013 A	2013/04/29	2013/05/07
20596	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May2013A	2013/04/29	2013/05/07
20610	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 A	2013/04/29	2013/05/07
20613	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 C	2013/04/29	2013/05/07
20767	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt May 13 A	2013/04/29	2013/05/07
20824	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 B	2013/04/29	2013/05/07
20844	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt May13 A	2013/04/29	2013/05/07
20946	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt May2013 A	2013/04/29	2013/05/07
21189	CC Rabobank B.A. – Poly HK Inv European Warrants May 2013 A	2013/04/29	2013/05/07
21319	BOCI Asia Ltd. – Sinopec Corp European Warrants May 2013 A	2013/04/29	2013/05/07
21551	BOCI Asia Ltd. – Tencent Holdings European Wts May 2013 A	2013/04/29	2013/05/07
21731	Goldman Sachs SP (Asia) – HSBC European Warrants May 2013 A	2013/04/29	2013/05/07

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21877	Citigroup Global Mkt H Inc. – HSBC European Wts May 2013 B	2013/04/29	2013/05/07
21909	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt May2013 A	2013/04/29	2013/05/07
22056	BOCI Asia Ltd. – Ping An European Warrants May 2013 A	2013/04/29	2013/05/07
22183	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 D	2013/04/29	2013/05/07
21012	CC Rabobank B.A. – Chinares Cement European Wts May 2013 A	2013/04/30	2013/05/08
21415	CC Rabobank B.A. – BYD European Warrants May 2013 A	2013/04/30	2013/05/08
22098	Credit Suisse AG – HSBC European Put Warrants May 2013 C	2013/04/30	2013/05/08
19853	Daiwa Capital Mkt – China Mobile European Wts May 2013 A	2013/05/02	2013/05/09
20341	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2013A	2013/05/02	2013/05/09
20453	Daiwa Capital Mkt – CCB European Warrants May 2013 B	2013/05/02	2013/05/09
21030	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt May13A	2013/05/02	2013/05/09
21031	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt May13 A	2013/05/02	2013/05/09
21032	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 B	2013/05/02	2013/05/09
21034	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt May 13 A	2013/05/02	2013/05/09
21051	Macquarie Bank Ltd. – Sh Electric European Wts May 2013 A	2013/05/02	2013/05/09
21329	CC Rabobank B.A. – China Tel European Warrants May 2013 A	2013/05/02	2013/05/09
21377	CC Rabobank B.A. – Agricultural Bk Euro Warrants May 2013 A	2013/05/02	2013/05/09
21510	UBS AG – China Tel European Warrants May 2013 A	2013/05/02	2013/05/09
21530	Citigroup Global Mkt H Inc. – China Tel Euro Wts May 2013 A	2013/05/02	2013/05/09
20994	UBS AG – SPDR Gold Trust European Warrants May 2013 A	2013/05/03	2013/05/10
21003	UBS AG – ICBC European Warrants May 2013 A	2013/05/03	2013/05/10
22839	Macquarie Bank Ltd. – HKEx European Warrants May 2013 A	2013/05/03	2013/05/10
18708	CC Rabobank B.A. – SJM Holdings European Warrants May 2013 A	2013/05/10	2013/05/13
18965	Standard Chartered Bank – Tencent Hldg Euro Wts May 2013 B	2013/05/06	2013/05/13
19748	CC Rabobank B.A. – Shimao Ppt European Warrants May 2013 A	2013/05/10	2013/05/13
19749	CC Rabobank B.A. – China Overseas European Wts May 2013 A	2013/05/10	2013/05/13
19776	CC Rabobank B.A. – BOCL European Warrants May 2013 A	2013/05/10	2013/05/13
19834	CC Rabobank B.A. – R&F Ppt European Warrants Feb 2014 A	2013/05/10	2013/05/13
20142	CC Rabobank B.A. – Chi Res Land European Warrants Sep 2013 A	2013/05/10	2013/05/13
20360	CC Rabobank B.A. – CM Bank European Warrants Dec 2013 A	2013/05/10	2013/05/13
20979	Bank of East Asia – Angang Steel European Warrants May2013 A	2013/05/06	2013/05/13
21046	CC Rabobank B.A. – HKEx European Put Warrants Sep 2013 A	2013/05/10	2013/05/13
21215	CC Rabobank B.A. – Ch LongYuan European Warrants May 2013 A	2013/05/10	2013/05/13
21247	CC Rabobank B.A. – CC Bank European Warrants May 2013 A	2013/05/10	2013/05/13
21414	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2013 A	2013/05/10	2013/05/13
21496	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2013 B	2013/05/10	2013/05/13
21697	CC Rabobank B.A. – COSCO Pacific European Wts Oct 2014 A	2013/05/10	2013/05/13
21847	CC Rabobank B.A. – HSBC European Warrants Jun 2013 A	2013/05/10	2013/05/13
21848	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2013 A	2013/05/10	2013/05/13
22297	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Jul 2013 B	2013/05/10	2013/05/13
22299	CC Rabobank B.A. – HSBC European Warrants May 2013 A	2013/05/10	2013/05/13
22300	CC Rabobank B.A. – HSBC European Warrants Jun 2013 C	2013/05/10	2013/05/13
22305	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2013 C	2013/05/10	2013/05/13
22556	CC Rabobank B.A. – Cheung Kong European Warrants May 2013 A	2013/05/10	2013/05/13
22558	CC Rabobank B.A. – SPDR Gold Trust European Wts May 2013 A	2013/05/10	2013/05/13
22598	CC Rabobank B.A. – China Railway European Wts Jul 2013 A	2013/05/10	2013/05/13
22606	CC Rabobank B.A. – Agile Ppt European Warrants Dec 2013 A	2013/05/10	2013/05/13
22658	CC Rabobank B.A. – WISE CSI European Warrants Jul 2013 A	2013/05/10	2013/05/13
22699	CC Rabobank B.A. – China Overseas Euro Put Wts Sep 2013 A	2013/05/10	2013/05/13
22745	CC Rabobank B.A. – HKEx European Warrants Jul 2013 A	2013/05/10	2013/05/13
22769	Daiwa Capital Mkt – A50 China European Warrants May 2013 A	2013/05/06	2013/05/13
22784	CC Rabobank B.A. – CITIC Bank European Warrants Jul 2013 B	2013/05/10	2013/05/13
22816	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2013 A	2013/05/10	2013/05/13
22936	CC Rabobank B.A. – HKEx European Warrants Jun 2013 A	2013/05/10	2013/05/13
22937	CC Rabobank B.A. – HKEx European Warrants Jun 2013 B	2013/05/10	2013/05/13
22940	CC Rabobank B.A. – SHK Ppt European Warrants Jun 2013 A	2013/05/10	2013/05/13
23109	CC Rabobank B.A. – Tsingtao Brew European Wts Jul 2013 A	2013/05/10	2013/05/13
23140	CC Rabobank B.A. – China Railway European Wts Jul 2013 B	2013/05/10	2013/05/13
23275	CC Rabobank B.A. – CSR European Warrants Aug 2013 A	2013/05/10	2013/05/13
23277	CC Rabobank B.A. – New World Dev European Wts Jul 2013 A	2013/05/10	2013/05/13
23430	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2013 A	2013/05/10	2013/05/13
23431	CC Rabobank B.A. – China Overseas European Wts Sep 2013 B	2013/05/10	2013/05/13
23498	CC Rabobank B.A. – HSBC European Put Warrants Jul 2013 B	2013/05/10	2013/05/13
23499	CC Rabobank B.A. – HKEx European Put Warrants Jul 2013 B	2013/05/10	2013/05/13
23566	CC Rabobank B.A. – Zijin Mining European Warrants Aug 2013 A	2013/05/10	2013/05/13
23596	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Jun 2013 A	2013/05/10	2013/05/13
23658	CC Rabobank B.A. – CNBM European Warrants Jun 2013 A	2013/05/10	2013/05/13
23659	CC Rabobank B.A. – China Ship Dev European Wts Sep 2013 A	2013/05/10	2013/05/13
23761	CC Rabobank B.A. – Galaxy Ent European Put Wts Aug 2013 B	2013/05/10	2013/05/13
23763	CC Rabobank B.A. – Lumena Newmat European Wts Aug 2013 A	2013/05/10	2013/05/13
23764	CC Rabobank B.A. – SPDR Gold Trust European Wts Aug 2013 A	2013/05/10	2013/05/13
23884	CC Rabobank B.A. – BBMG European Warrants Sep 2013 A	2013/05/10	2013/05/13
23919	CC Rabobank B.A. – Haitong Sec European Warrants Aug 2013 A	2013/05/10	2013/05/13
23921	CC Rabobank B.A. – Chi Resources European Wts Sep 2013 A	2013/05/10	2013/05/13
24035	CC Rabobank B.A. – China Shenhua European Wts Sep 2013 A	2013/05/10	2013/05/13
24036	CC Rabobank B.A. – Shenzhen Int'l European Wts Aug 2013 A	2013/05/10	2013/05/13
24037	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2013 B	2013/05/10	2013/05/13
24038	CC Rabobank B.A. – AIA European Warrants Sep 2013 B	2013/05/10	2013/05/13
24063	CC Rabobank B.A. – Chi Res Land European Warrants Sep 2013 B	2013/05/10	2013/05/13
24065	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2013 B	2013/05/10	2013/05/13
24066	CC Rabobank B.A. – HKEx European Warrants Sep 2013 B	2013/05/10	2013/05/13
24070	CC Rabobank B.A. – Poly HK Inv European Warrants Sep 2013 A	2013/05/10	2013/05/13
24109	CC Rabobank B.A. – CSOP A50 ETF European Warrants Aug 2013 B	2013/05/10	2013/05/13
24139	CC Rabobank B.A. – Jiangxi Copper European Wts Dec 2013 A	2013/05/10	2013/05/13
24140	CC Rabobank B.A. – Evergrande European Warrants Sep 2013 A	2013/05/10	2013/05/13
24141	CC Rabobank B.A. – Link REIT European Warrants Sep 2013 A	2013/05/10	2013/05/13
24244	CC Rabobank B.A. – Angang Steel European Warrants Sep 2013 A	2013/05/10	2013/05/13

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Code	Warrant	Last trading date	Delisted date
24245	CC Rabobank B.A. – CHALCO European Warrants Sep 2013 A	2013/05/10	2013/05/13
24246	CC Rabobank B.A. – Ch State Con European Warrants Sep 2013 A	2013/05/10	2013/05/13
24248	CC Rabobank B.A. – AIA European Put Warrants Sep 2013 C	2013/05/10	2013/05/13
12830	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2013	2013/05/07	2013/05/14
19018	CC Rabobank B.A. – CPIC European Warrants May 2013 A	2013/05/07	2013/05/14
20773	Goldman Sachs SP (Asia) – A50 China European Wts May 2013 A	2013/05/07	2013/05/14
20839	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2013 A	2013/05/07	2013/05/14
20842	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt May 2013 B	2013/05/07	2013/05/14
20861	Macquarie Bank Ltd. – Poly HK Inv European Wts May 2013 A	2013/05/07	2013/05/14
20941	CC Rabobank B.A. – Galaxy Ent European Put Wts May 2013 A	2013/05/07	2013/05/14
20998	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2013 A	2013/05/07	2013/05/14
21005	UBS AG – HKEx European Warrants May 2013 A	2013/05/07	2013/05/14
21049	CC Rabobank B.A. – Shanghai Electric European Wts May 2013 A	2013/05/07	2013/05/14
21054	UBS AG – CC Bank European Put Warrants May 2013 A	2013/05/07	2013/05/14
21078	Credit Suisse AG – CC Bank European Put Warrants May 2013 A	2013/05/07	2013/05/14
21080	Credit Suisse AG – HKEx European Warrants May 2013 B	2013/05/07	2013/05/14
21087	Credit Suisse AG – HSBC European Wts May 2013 A	2013/05/07	2013/05/14
21124	CC Rabobank B.A. – China Agri European Warrants May 2013 A	2013/05/07	2013/05/14
21505	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wt May 2013 A	2013/05/07	2013/05/14
21667	Credit Suisse AG – A50 China European Warrants May 2013 B	2013/05/07	2013/05/14
22669	BOCI Asia Ltd. – HKEx European Warrants May 2013 A	2013/05/07	2013/05/14
20841	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt May13 A	2013/05/08	2013/05/15
21042	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2013 B	2013/05/08	2013/05/15
21141	UBS AG – BYD European Warrants May 2013 A	2013/05/08	2013/05/15
19309	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 A	2013/05/09	2013/05/16
19661	Daiwa Capital Mkt – China Telecom European Wts May 2013 A	2013/05/09	2013/05/16
20352	Standard Chartered Bank – China Mobile Euro Wts May 2013 A	2013/05/09	2013/05/16
21035	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2013 A	2013/05/09	2013/05/16
21161	J P Morgan SP BV – BOCL European Warrants May 2013 A	2013/05/09	2013/05/16
21869	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt May13A	2013/05/09	2013/05/16
21718	Credit Suisse AG – HKEx European Warrants May 2013 C	2013/05/10	2013/05/20
12832	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants May13	2013/05/13	2013/05/21
13036	HK Bank – China Agri European Warrants May 2013	2013/05/13	2013/05/21
14171	J P Morgan SP BV – A50 China European Put Warrants May 2013	2013/05/13	2013/05/21
19241	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts May 2013 A	2013/05/13	2013/05/21
10156	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt May 13 A	2013/05/13	2013/05/21
10157	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt May 2013 B	2013/05/13	2013/05/21
19432	HK Bank – A50 China European Warrants May 2013 A	2013/05/13	2013/05/21
20519	CC Rabobank B.A. – Anhui Conch European Warrants May 2013 A	2013/05/13	2013/05/21
20612	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 B	2013/05/13	2013/05/21
20729	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt May 2013A	2013/05/13	2013/05/21
20859	BOCI Asia Ltd. – A50 China European Warrants May 2013 A	2013/05/13	2013/05/21
20863	Goldman Sachs SP (Asia) – China Tel European Wts May 2013 A	2013/05/13	2013/05/21
21188	CC Rabobank B.A. – Galaxy Ent European Warrants May 2013 B	2013/05/13	2013/05/21
21193	UBS AG – Anhui Conch European Warrants May 2013 A	2013/05/13	2013/05/21
21208	CC Rabobank B.A. – Sinopec Corp European Warrants May 2013 A	2013/05/13	2013/05/21
21209	CC Rabobank B.A. – CNBM European Warrants May 2013 A	2013/05/13	2013/05/21
21210	CC Rabobank B.A. – China Railway European Wts May 2013 A	2013/05/13	2013/05/21
21333	CC Rabobank B.A. – CAM CSI300 European Warrants May 2013 A	2013/05/13	2013/05/21
21408	UBS AG – CAM CSI300 European Warrants May 2013 A	2013/05/13	2013/05/21
21469	Daiwa Capital Mkt – CAM CSI300 European Warrants May 2013 A	2013/05/13	2013/05/21
21537	Standard Chartered Bank -PetroChina European Wts May 2013 A	2013/05/13	2013/05/21
21906	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt May 2013 A	2013/05/13	2013/05/21
21929	Goldman Sachs SP (Asia) – CC Bank European Wts May 2013 A	2013/05/13	2013/05/21
21966	UBS AG – Galaxy Ent European Warrants May 2013 A	2013/05/13	2013/05/21
22071	SGA Societe Generale Acceptance N.V. – CRail Eu Wt May 2013A	2013/05/13	2013/05/21
22306	CC Rabobank B.A. – Ch Mobile European Warrants May 2013 B	2013/05/13	2013/05/21
22326	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2013 B	2013/05/13	2013/05/21
21248	CC Rabobank B.A. – Ch State Con European Warrants May 2013 A	2013/05/14	2013/05/22
21227	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2013 A	2013/05/15	2013/05/23
15789	HK Bank – Geely Auto European Warrants May 2013	2013/05/16	2013/05/24
21803	UBS AG – SHK Ppt European Warrants May 2013 A	2013/05/16	2013/05/24
18283	Standard Chartered Bank – Tencent Hldg Euro Wts May 2013 A	2013/05/20	2013/05/27
19910	Standard Chartered Bank – ICBC European Warrants May 2013 A	2013/05/20	2013/05/27
20932	Standard Chartered Bank – China Mobile Euro Wts May 2013 B	2013/05/20	2013/05/27
21479	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2013 B	2013/05/20	2013/05/27
21581	UBS AG – CC Bank European Warrants May 2013 C	2013/05/20	2013/05/27
22014	UBS AG – A50 China European Put Warrants May 2013 A	2013/05/20	2013/05/27
22684	Macquarie Bank Ltd. – China Mobile European Wts May 2013 A	2013/05/20	2013/05/27
18220	CC Rabobank B.A. – Zhaojin Mining European Wts May 2013 A	2013/05/21	2013/05/28
19022	HK Bank – HWL European Warrants May 2013 A	2013/05/21	2013/05/28
19066	Goldman Sachs SP (Asia) – BOCL European Warrants May 2013 A	2013/05/21	2013/05/28
19231	Nomura Int'l plc – ZTE European Warrants May 2013 A	2013/05/21	2013/05/28
19480	Daiwa Capital Mkt – BOCL European Warrants May 2013 A	2013/05/21	2013/05/28
19606	UBS AG – China Unicom European Warrants May 2013 A	2013/05/21	2013/05/28
19751	HK Bank – Esprit European Warrants May 2013 A	2013/05/21	2013/05/28
19938	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2013 A	2013/05/21	2013/05/28
20048	Citigroup Global Mkt H Inc. – China Life Eu Put Wt May 2013A	2013/05/21	2013/05/28
20322	UBS AG – BOCL European Warrants May 2013 A	2013/05/21	2013/05/28
20373	Citigroup Global Mkt H Inc. – Jiangxi Copper Eu Wt May 2013A	2013/05/21	2013/05/28
20424	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts May 2013 A	2013/05/21	2013/05/28
20666	Credit Suisse AG – HKEx European Put Warrants May 2013 A	2013/05/21	2013/05/28
20711	Daiwa Capital Mkt – ICBC European Warrants May 2013 A	2013/05/21	2013/05/28
20717	J P Morgan SP BV – HSBC European Warrants May 2013 A	2013/05/21	2013/05/28
20731	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2013 A	2013/05/21	2013/05/28
20732	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts May 2013 B	2013/05/21	2013/05/28
20753	Macquarie Bank Ltd. – Luk Fook Hold European Wts May 2013 A	2013/05/21	2013/05/28

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20789	Citigroup Global Mkt H Inc. – ICBC European Wts May 2013 A	2013/05/21	2013/05/28
20792	Citigroup Global Mkt H Inc. – BOCL European Wts May 2013 A	2013/05/21	2013/05/28
20846	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2013 B	2013/05/21	2013/05/28
20920	Goldman Sachs SP (Asia) – SHK Ppt European Wts May 2013 A	2013/05/21	2013/05/28
20938	Credit Suisse AG – AIA European Warrants May 2013 A	2013/05/21	2013/05/28
21000	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts May 2013 A	2013/05/21	2013/05/28
21045	Goldman Sachs Sp (Asia) – ICBC European Warrants May 2013 A	2013/05/21	2013/05/28
21075	Credit Suisse AG – ICBC European Warrants May 2013 A	2013/05/21	2013/05/28
21088	Credit Suisse AG – Tencent Holdings European Wts May 2013 A	2013/05/21	2013/05/28
21102	HK Bank – ICBC European Warrants May 2013 A	2013/05/21	2013/05/28
21158	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 C	2013/05/21	2013/05/28
21219	Credit Suisse AG – CAM CSI300 European Warrants May 2013 A	2013/05/21	2013/05/28
21230	J P Morgan SP BV – Cheung Kong European Warrants May 2013 A	2013/05/21	2013/05/28
21295	CC Rabobank B.A. – China Coal European Warrants May 2013 A	2013/05/21	2013/05/28
21297	CC Rabobank B.A. – Chinares Cement European Wts May 2013 B	2013/05/21	2013/05/28
21298	CC Rabobank B.A. – Weichai Power European Wts May 2013 A	2013/05/21	2013/05/28
21309	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts May 2013 A	2013/05/21	2013/05/28
21328	CC Rabobank B.A. – PetroChina European Warrants May 2013 A	2013/05/21	2013/05/28
21400	J P Morgan SP BV – Tencent European Warrants May 2013 A	2013/05/21	2013/05/28
21410	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 13 B	2013/05/21	2013/05/28
21497	CC Rabobank B.A. – Ch Mobile European Warrants May 2013 A	2013/05/21	2013/05/28
21527	UBS AG – Ping An European Warrants May 2013 A	2013/05/21	2013/05/28
21579	UBS AG – CSOP A50 ETF European Warrants May 2013 A	2013/05/21	2013/05/28
21598	Credit Suisse AG – Ping An European Warrants May 2013 A	2013/05/21	2013/05/28
21659	Credit Suisse AG – Hutchison European Warrants May 2013 A	2013/05/21	2013/05/28
21665	Credit Suisse AG – A50 China European Warrants May 2013 A	2013/05/21	2013/05/28
21688	HK Bank – CSOP A50 ETF European Warrants May 2013 A	2013/05/21	2013/05/28
21713	Credit Suisse AG – CC Bank European Warrants May 2013 B	2013/05/21	2013/05/28
21720	Credit Suisse AG – HKEx European Warrants May 2013 D	2013/05/21	2013/05/28
21844	HK Bank – HKEx European Warrants May 2013 B	2013/05/21	2013/05/28
22059	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 D	2013/05/21	2013/05/28
22068	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 C	2013/05/21	2013/05/28
22114	Credit Suisse AG – AIA European Warrants May 2013 C	2013/05/21	2013/05/28
22149	Credit Suisse AG – A50 China European Put Wts May 2013 C	2013/05/21	2013/05/28
22177	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt May 2013A	2013/05/21	2013/05/28
22303	CC Rabobank B.A. – CC Bank European Warrants May 2013 B	2013/05/21	2013/05/28
22389	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2013 A	2013/05/21	2013/05/28
20102	Standard Chartered Bank – Anhui Conch Euro Wts May 2013 A	2013/05/22	2013/05/29
21010	CC Rabobank B.A. – AIA European Put Warrants May 2013 A	2013/05/22	2013/05/29
21494	CC Rabobank B.A. – Ping An European Warrants May 2013 A	2013/05/22	2013/05/29
21529	UBS AG – CC Bank European Warrants May 2013 B	2013/05/22	2013/05/29
21578	UBS AG – Wynn Macau European Warrants May 2013 A	2013/05/22	2013/05/29
21599	Credit Suisse AG – Ping An European Put Warrants May 2013 B	2013/05/22	2013/05/29
21602	Credit Suisse AG – AIA European Put Warrants May 2013 B	2013/05/22	2013/05/29
21616	Daiwa Capital Mkt – AIA European Put Warrants May 2013 A	2013/05/22	2013/05/29
21669	Daiwa Capital Mkt – CSOP A50 ETF European Wts May 2013 A	2013/05/22	2013/05/29
21670	CC Rabobank B.A. – CSOP A50 ETF European Warrants May 2013 A	2013/05/22	2013/05/29
21741	CC Rabobank B.A. – Ch Railway Cons European Wts May 2013 A	2013/05/22	2013/05/29
21752	Credit Suisse AG – CSOP A50 ETF European Warrants May 2013 A	2013/05/22	2013/05/29
21757	Credit Suisse AG – SHK Ppt European Warrants May 2013 A	2013/05/22	2013/05/29
21873	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts May 2013 A	2013/05/22	2013/05/29
21959	CC Rabobank B.A. – CSOP A50 ETF European Warrants May 2013 B	2013/05/22	2013/05/29
22398	J P Morgan SP BV – BOCL European Warrants May 2013 B	2013/05/22	2013/05/29
23153	HK Bank – AIA European Warrants May 2013 A	2013/05/22	2013/05/29
17916	Standard Chartered Bank – Hutchison Euro Euro Wts May 2013 A	2013/05/23	2013/05/30
18575	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts May13A	2013/05/23	2013/05/30
18975	UBS AG – Hutchison European Warrants May 2013 A	2013/05/23	2013/05/30
20547	Bank of East Asia – Esprit European Put Warrants May 2013 A	2013/05/23	2013/05/30
21565	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13A	2013/05/23	2013/05/30
21723	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13B	2013/05/23	2013/05/30
21724	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13C	2013/05/23	2013/05/30
21914	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt May13A	2013/05/23	2013/05/30
23205	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May13C	2013/05/23	2013/05/30
10803	Merrill Lynch Int'l & Co. C.V. – TWNOI Euro PC May 2013 A	2013/05/24	2013/05/31
11565	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2013	2013/05/24	2013/05/31
17921	Standard Chartered Bank – China Unicom Euro Wts May 2013 A	2013/05/24	2013/05/31
19251	HK Bank – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
19512	Daiwa Capital Mkt – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
20256	Standard Chartered Bank – SHK P European Warrants May 2013 B	2013/05/24	2013/05/31
20479	Daiwa Capital Mkt – HSI European Warrants May 2013 B	2013/05/24	2013/05/31
20598	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 A	2013/05/24	2013/05/31
20601	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 B	2013/05/24	2013/05/31
20709	Goldman Sachs SP (Asia) – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
20796	Goldman Sachs SP (Asia) – Angang Steel Euro Wts May 2013 A	2013/05/24	2013/05/31
20854	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2013 A	2013/05/24	2013/05/31
20856	Goldman Sachs SP (Asia) – HSCEI European Put Wts May 2013 B	2013/05/24	2013/05/31
20928	J P Morgan SP BV – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
20929	J P Morgan SP BV – HSI European Put Warrants May 2013 B	2013/05/24	2013/05/31
20970	HK Bank – HSI European Warrants May 2013 B	2013/05/24	2013/05/31
20971	HK Bank – HSI European Warrants May 2013 C	2013/05/24	2013/05/31
21027	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2013 A	2013/05/24	2013/05/31
21068	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt May 13 C	2013/05/24	2013/05/31
21082	J P Morgan SP BV – HSI European Warrants May 2013 C	2013/05/24	2013/05/31
21093	Daiwa Capital Mkt – HSI European Put Warrants May 2013 C	2013/05/24	2013/05/31
21094	Daiwa Capital Mkt – HSI European Put Warrants May 2013 D	2013/05/24	2013/05/31
21095	Daiwa Capital Mkt – HSI European Warrants May 2013 E	2013/05/24	2013/05/31
21107	HK Bank – HSI European Put Warrants May 2013 D	2013/05/24	2013/05/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21182	J P Morgan SP BV – HSI European Warrants May 2013 D	2013/05/24	2013/05/31
21234	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2013 A	2013/05/24	2013/05/31
21366	UBS AG – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
21380	UBS AG – HSI European Warrants May 2013 B	2013/05/24	2013/05/31
21393	CC Rabobank B.A. – A50 China European Warrants May 2013 A	2013/05/24	2013/05/31
21542	Standard Chartered Bank – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
21556	Credit Suisse AG – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
21557	Credit Suisse AG – HSI European Warrants May 2013 B	2013/05/24	2013/05/31
21558	Credit Suisse AG – HSI European Warrants May 2013 C	2013/05/24	2013/05/31
21560	Credit Suisse AG – HSI European Warrants May 2013 D	2013/05/24	2013/05/31
21562	Credit Suisse AG – HSI European Put Warrants May 2013 E	2013/05/24	2013/05/31
21611	UBS AG – HSI European Warrants May 2013 C	2013/05/24	2013/05/31
21613	Daiwa Capital Mkt – China Mobile European Wts May 2013 B	2013/05/24	2013/05/31
21631	J P Morgan SP BV – HSI European Warrants May 2013 E	2013/05/24	2013/05/31
21658	BOCI Asia Ltd. – HSI European Warrants May 2013 A	2013/05/24	2013/05/31
21692	HK Bank – HSI European Warrants May 2013 E	2013/05/24	2013/05/31
21824	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2013 B	2013/05/24	2013/05/31
21880	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2013 B	2013/05/24	2013/05/31
21889	UBS AG – HSI European Put Warrants May 2013 D	2013/05/24	2013/05/31
21890	UBS AG – HSI European Put Warrants May 2013 E	2013/05/24	2013/05/31
21958	Credit Suisse AG – HSI European Warrants May 2013 F	2013/05/24	2013/05/31
22062	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 D	2013/05/24	2013/05/31
22243	Goldman Sachs SP (Asia) – HSI European Warrants May 2013 B	2013/05/24	2013/05/31
22245	Goldman Sachs SP (Asia) – HSI European Put Wts May 2013 C	2013/05/24	2013/05/31
22446	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2013 C	2013/05/24	2013/05/31
22503	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 E	2013/05/24	2013/05/31
17533	J P Morgan SP BV – Zhaojin Mining European Wts May 2013 A	2013/05/27	2013/06/03
20001	Credit Suisse AG – BOCL European Warrants May 2013 A	2013/05/27	2013/06/03
20191	HK Bank – Minsheng Bank European Warrants May 2013 A	2013/05/27	2013/06/03
20495	Nomura Int'l plc – JIANC European Warrants May 2013 A	2013/05/27	2013/06/03
20635	Nomura Int'l plc – Agricultural Bk Euro Warrants May 2013 A	2013/05/27	2013/06/03
21180	Nomura Int'l plc – A50 China European Warrants May 2013 A	2013/05/27	2013/06/03
21181	Nomura Int'l plc – A50 China European Put Wts May 2013 B	2013/05/27	2013/06/03
21201	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2013 A	2013/05/27	2013/06/03
21255	Standard Chartered Bank – BOCL European Warrants May 2013 A	2013/05/27	2013/06/03
21274	Nomura Int'l plc – CC Bank European Warrants May 2013 A	2013/05/27	2013/06/03
21644	Credit Suisse AG – China Mobile European Warrants May 2013 A	2013/05/27	2013/06/03
21646	Credit Suisse AG – China Mobile European Warrants May 2013 B	2013/05/27	2013/06/03
21662	Credit Suisse AG – Hutchison European Warrants May 2013 B	2013/05/27	2013/06/03
21709	Credit Suisse AG – ICBC European Warrants May 2013 B	2013/05/27	2013/06/03
21954	Credit Suisse AG – China Mobile European Warrants May 2013 C	2013/05/27	2013/06/03
22034	Credit Suisse AG – CC Bank European Warrants May 2013 C	2013/05/27	2013/06/03
22036	Credit Suisse AG – CC Bank European Warrants May 2013 D	2013/05/27	2013/06/03
22038	Credit Suisse AG – Sinopec Corp European Warrants May 2013 A	2013/05/27	2013/06/03
22094	Credit Suisse AG – HSBC European Wts May 2013 B	2013/05/27	2013/06/03
22115	Credit Suisse AG – Sands China European Warrants May 2013 A	2013/05/27	2013/06/03
23543	Credit Suisse AG – Jiangxi Copper European Wts May 2013 A	2013/05/27	2013/06/03
23731	Credit Suisse AG – Yanzhou Coal European Warrants May 2013 B	2013/05/27	2013/06/03
26454	BNP Paribas Arbit Issu B.V. – Ch Southern Air Euro Wts Jun13	2013/05/28	2013/06/04
26810	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2013	2013/05/28	2013/06/04
27312	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2013	2013/05/28	2013/06/04
17824	Macquarie Bank Ltd. – China Res Gas European Wts Jun 2013 A	2013/05/28	2013/06/04
18005	J P Morgan SP BV – Anhui Conch European Warrants Jun 2013 A	2013/05/28	2013/06/04
18132	Standard Chartered Bank – ICBC European Warrants Jun 2013 A	2013/05/28	2013/06/04
18348	Standard Chartered Bank – BOCL European Put Wts Jun 2013 B	2013/05/28	2013/06/04
18730	Macquarie Bank Ltd. – BOCL European Warrants Jun 2013 A	2013/05/28	2013/06/04
18743	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jun 2013 A	2013/05/28	2013/06/04
18990	CC Rabobank B.A. – ZTE European Warrants Jun 2013 A	2013/05/28	2013/06/04
19272	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jun 2013 A	2013/05/28	2013/06/04
19282	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2013 A	2013/05/28	2013/06/04
19283	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2013A	2013/05/28	2013/06/04
19312	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2013A	2013/05/28	2013/06/04
19478	Daiwa Capital Mkt – China Life European Warrants Jun 2013 A	2013/05/28	2013/06/04
19676	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2013 A	2013/05/28	2013/06/04
19812	J P Morgan SP BV – SHK Ppt European Warrants Jun 2013 A	2013/05/28	2013/06/04
20176	J P Morgan SP BV – Luk Fook Hold European Warrants Jun 2013A	2013/05/28	2013/06/04
20186	J P Morgan SP BV – Evergrande European Warrants Jun 2013 A	2013/05/28	2013/06/04
20220	Macquarie Bank Ltd. -Greatwall Motor European Wts Jun 2013 A	2013/05/28	2013/06/04
20390	HK Bank – AIA European Warrants Jun 2013 A	2013/05/28	2013/06/04
20414	J P Morgan SP BV – Luk Fook Hold European Wts Jun 2013 B	2013/05/28	2013/06/04
20435	J P Morgan SP BV – Stanchart European Warrants Jun 2013 A	2013/05/28	2013/06/04
20606	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2013 A	2013/05/28	2013/06/04
20609	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 13 A	2013/05/28	2013/06/04
20678	J P Morgan SP BV – China Life European Warrants Jun 2013 A	2013/05/28	2013/06/04
20702	BOCI Asia Ltd. – AIA European Warrants Jun 2013 A	2013/05/28	2013/06/04
20703	BOCI Asia Ltd. – Chi Res Land European Warrants Jun 2013 A	2013/05/28	2013/06/04
20715	J P Morgan SP BV – AIA European Warrants Jun 2013 A	2013/05/28	2013/06/04
20761	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jun 2013 A	2013/05/28	2013/06/04
20878	Macquarie Bank Ltd. – Chi Res Land European Wts Jun 2013 A	2013/05/28	2013/06/04
20923	J P Morgan SP BV – HKEx European Warrants Jun 2013 A	2013/05/28	2013/06/04
20947	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2013 A	2013/05/28	2013/06/04
20984	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jun2013 A	2013/05/28	2013/06/04
21013	BOCI Asia Ltd. – Anhui Conch European Warrants Jun 2013 A	2013/05/28	2013/06/04
21014	BOCI Asia Ltd. – BOCL European Warrants Jun 2013 A	2013/05/28	2013/06/04
21105	HK Bank – Galaxy Ent European Warrants Jun 2013 A	2013/05/28	2013/06/04
21144	Credit Suisse AG – China Mobile European Warrants Jun 2013 A	2013/05/28	2013/06/04
21162	J P Morgan SP BV – China Mob European Wts Jun 2013 A	2013/05/28	2013/06/04

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21164	J P Morgan SP BV – China Mob European Wts Jun 2013 B	2013/05/28	2013/06/04
21165	J P Morgan SP BV – Hutchison European Warrants Jun 2013 A	2013/05/28	2013/06/04
21186	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2013 A	2013/05/28	2013/06/04
21290	Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Jun 2013 A	2013/05/28	2013/06/04
21293	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2013 A	2013/05/28	2013/06/04
21296	CC Rabobank B.A. – KECL European Warrants Jun 2013 A	2013/05/28	2013/06/04
21310	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jun 2013 A	2013/05/28	2013/06/04
21313	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jun 2013 A	2013/05/28	2013/06/04
21353	J P Morgan SP BV – BYD European Warrants Jun 2013 A	2013/05/28	2013/06/04
21389	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jun 2013 B	2013/05/28	2013/06/04
21397	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2013 B	2013/05/28	2013/06/04
21411	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 13 A	2013/05/28	2013/06/04
21412	CC Rabobank B.A. – Link REIT European Warrants Jun 2013 A	2013/05/28	2013/06/04
21426	J P Morgan SP BV – A50 China European Put Wts Jun 2013 A	2013/05/28	2013/06/04
21448	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jun 2013 A	2013/05/28	2013/06/04
21524	Credit Suisse AG – China Mobile European Put Wts Jun 2013 B	2013/05/28	2013/06/04
21609	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jun 2013 A	2013/05/28	2013/06/04
21732	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2013 B	2013/05/28	2013/06/04
21805	UBS AG – China Mobile European Put Warrants Jun 2013 C	2013/05/28	2013/06/04
21806	UBS AG – China Mobile European Warrants Jun 2013 D	2013/05/28	2013/06/04
21861	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Jun 2013 B	2013/05/28	2013/06/04
21884	UBS AG – HSBC European Warrants Jun 2013 D	2013/05/28	2013/06/04
21935	HK Bank – China Mobile European Warrants Jun 2013 B	2013/05/28	2013/06/04
21967	UBS AG – CC Bank European Put Warrants Jun 2013 A	2013/05/28	2013/06/04
22060	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13B	2013/05/28	2013/06/04
22123	Standard Chartered Bank – HSBC European Warrants Jun 2013 B	2013/05/28	2013/06/04
22133	CC Rabobank B.A. – HSBC European Put Warrants Jun 2013 B	2013/05/28	2013/06/04
22180	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Jun 13A	2013/05/28	2013/06/04
22186	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jun 2013 B	2013/05/28	2013/06/04
22336	Daiwa Capital Mkt – China Mobile European Wts Jun 2013 B	2013/05/28	2013/06/04
22440	UBS AG – AIA European Warrants Jun 2013 C	2013/05/28	2013/06/04
22736	J P Morgan SP BV – China Mob European Wts Jun 2013 D	2013/05/28	2013/06/04
23204	Daiwa Capital Mkt – China Mobile European Wts Jun 2013 D	2013/05/28	2013/06/04
23395	Daiwa Capital Mkt – CCB European Warrants Jun 2013 C	2013/05/28	2013/06/04
15541	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2013	2013/05/29	2013/06/05
18071	Standard Chartered Bank – BOCL European Warrants Jun 2013 A	2013/05/29	2013/06/05
18262	Standard Chartered Bank – China Life Euro Put Wts Jun 2013 A	2013/05/29	2013/06/05
18538	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2013 A	2013/05/29	2013/06/05
18581	Macquarie Bank Ltd. – China Unicom Euro Put Wts Jun 2013 A	2013/05/29	2013/06/05
19242	Macquarie Bank Ltd. – Shimao Ppt European Wts Jun 2013 A	2013/05/29	2013/06/05
19348	BNP Paribas Arbit Issu B.V. – HK Gas European Wts Jun 2013 A	2013/05/29	2013/06/05
19613	J P Morgan SP BV – SJM Holdings European Warrants Jun 2013 A	2013/05/29	2013/06/05
19614	J P Morgan SP BV – BEA European Warrants Jun 2013 A	2013/05/29	2013/06/05
19694	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jun 2013 A	2013/05/29	2013/06/05
19733	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2013 A	2013/05/29	2013/06/05
19736	Macquarie Bank Ltd. – Esprit European Put Warrants Jun 2013A	2013/05/29	2013/06/05
19950	BNP Paribas Arbit Issu B.V. -MGM China Euro Wts Jun 2013 A	2013/05/29	2013/06/05
20236	Macquarie Bank Ltd. – China Life European Wts Jun 2013 A	2013/05/29	2013/06/05
20312	Macquarie Bank Ltd. – Shimao Ppt European Wts Jun 2013 B	2013/05/29	2013/06/05
20350	BNP Paribas Arbit Issu B.V. – AIA European Put Wt Jun 2013 A	2013/05/29	2013/06/05
20584	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jun 2013 A	2013/05/29	2013/06/05
20589	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Jun 2013 A	2013/05/29	2013/06/05
20625	Macquarie Bank Ltd. – Swire Properties Euro Wts Jun 2013 A	2013/05/29	2013/06/05
20692	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Jun 2013 A	2013/05/29	2013/06/05
20723	BNP Paribas Arbit Issu B.V. – Swrie Pacific A Eu Wt Jun 13 A	2013/05/29	2013/06/05
20744	Macquarie Bank Ltd. – ICBC European Warrants Jun 2013 A	2013/05/29	2013/06/05
20745	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2013 A	2013/05/29	2013/06/05
20939	Daiwa Capital Mkt – AIA European Warrants Jun 2013 B	2013/05/29	2013/06/05
21015	Macquarie Bank Ltd. – Ping An European Put Wts Jun 2013 A	2013/05/29	2013/06/05
21017	Macquarie Bank Ltd. – Minsheng Bank European Wts Jun 2013 A	2013/05/29	2013/06/05
21072	BOCI Asia Ltd. – China Life European Warrants Jun 2013 A	2013/05/29	2013/06/05
21110	Macquarie Bank Ltd. – Foxconn European Warrants Jun 2013 A	2013/05/29	2013/06/05
21126	Macquarie Bank Ltd. – AIA European Warrants Jun 2013 A	2013/05/29	2013/06/05
21168	Macquarie Bank Ltd. – Sands China European Wts Jun 2013 A	2013/05/29	2013/06/05
21170	Macquarie Bank Ltd. – CRCC European Warrants Jun 2013 A	2013/05/29	2013/06/05
21171	Macquarie Bank Ltd. – Chinares Cement European Wt Jun 2013 A	2013/05/29	2013/06/05
21232	BNP Paribas Arbit Issu B.V. – CR Cement Euro Wts Jun 2013 A	2013/05/29	2013/06/05
21243	Macquarie Bank Ltd. – Ch LongYuan European Wts Jun 2013 A	2013/05/29	2013/06/05
21244	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jun 2013 A	2013/05/29	2013/06/05
21253	J P Morgan SP BV – China Mob European Wts Jun 2013 C	2013/05/29	2013/06/05
21289	Macquarie Bank Ltd. – Ping An European Warrants Jun 2013 B	2013/05/29	2013/06/05
21429	J P Morgan SP BV – Link Reit European Warrants Jun 2013 A	2013/05/29	2013/06/05
21435	HK Bank – CSOP A50 ETF European Warrants Jun 2013 A	2013/05/29	2013/06/05
21443	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jun 2013 A	2013/05/29	2013/06/05
21446	CC Rabobank B.A. – CSOP A50 ETF European Warrants Jun 2013 A	2013/05/29	2013/06/05
21457	J P Morgan SP BV – CSOP A50 ETF European Warrants Jun 2013 A	2013/05/29	2013/06/05
21460	UBS AG – CSOP A50 ETF European Warrants Jun 2013 A	2013/05/29	2013/06/05
21462	J P Morgan SP BV – Wynn Macau European Warrants Jun 2013 A	2013/05/29	2013/06/05
21474	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 A	2013/05/29	2013/06/05
21476	J P Morgan SP BV – CSOP A50 ETF Euro Put Wts Jun 2013 B	2013/05/29	2013/06/05
21477	J P Morgan SP BV – CAM CSI300 European Warrants Jun 2013 A	2013/05/29	2013/06/05
21478	Credit Suisse AG – CAM CSI300 European Warrants Jun 2013 B	2013/05/29	2013/06/05
21482	UBS AG – PICC European Warrants Jun 2013 A	2013/05/29	2013/06/05
21517	Nomura Int'l plc – Ping An European Put Warrants Jun 2013 B	2013/05/29	2013/06/05
21826	BOCI Asia Ltd. – CSOP A50 ETFEuropean Warrants Jun 2013 A	2013/05/29	2013/06/05
22207	HK Bank – China Mobile European Warrants Jun 2013 C	2013/05/29	2013/06/05
22485	Macquarie Bank Ltd. – China COSCO European Wts Jun 2013 A	2013/05/29	2013/06/05

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19627	Daiwa Capital Mkt – AIA European Put Warrants Jun 2013 A	2013/05/30	2013/06/06
20572	Standard Chartered Bank – A50 China European Put Wts Jun13A	2013/05/30	2013/06/06
20591	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2013B	2013/05/30	2013/06/06
21464	Daiwa Capital Mkt – CCB European Warrants Jun 2013 A	2013/05/30	2013/06/06
21465	Daiwa Capital Mkt – CCB European Put Warrants Jun 2013 B	2013/05/30	2013/06/06
21975	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 C	2013/05/30	2013/06/06
22070	SGA Societe Generale Acceptance N.V-Chi COSCO Eu Wt Jun 13 A	2013/05/30	2013/06/06
14936	UBS AG – A50 China European Warrants Jun 2013	2013/05/31	2013/06/07
10154	BNP Paribas Arbit Issu B.V. – Gold European Wts Jun 2013 A	2013/05/31	2013/06/07
10155	BNP Paribas Arbit Issu B.V. – Gold European Wts Jun 2013 B	2013/05/31	2013/06/07
10160	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Jun2013C	2013/05/31	2013/06/07
20404	J P Morgan SP BV – CNOOC European Warrants Jun 2013 A	2013/05/31	2013/06/07
21020	Bank of East Asia – China COSCO European Warrants Jun 2013 A	2013/05/31	2013/06/07
21507	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2013 A	2013/05/31	2013/06/07
21518	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2013 A	2013/05/31	2013/06/07
21519	J P Morgan SP BV – A50 China European Warrants Jun 2013 B	2013/05/31	2013/06/07
21985	Nomura Int'l plc – Lenovo European Warrants Jun 2013 A	2013/05/31	2013/06/07
23311	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Jun 2013 A	2013/05/31	2013/06/07
18927	Credit Suisse AG – A50 China European Warrants Jun 2013 A	2013/06/03	2013/06/10
20215	Standard Chartered Bank – Galaxy Ent European Wts Jun 2013 A	2013/06/03	2013/06/10
20524	Credit Suisse AG – AIA European Warrants Jun 2013 A	2013/06/03	2013/06/10
20741	CC Rabobank B.A. – AIA European Warrants Jun 2013 A	2013/06/03	2013/06/10
21491	UBS AG – CSOP A50 ETF European Warrants Jun 2013 B	2013/06/03	2013/06/10
21520	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jun 2013 A	2013/06/03	2013/06/10
21660	Credit Suisse AG – Hutchison European Warrants Jun 2013 A	2013/06/03	2013/06/10
21955	Credit Suisse AG – China Mobile European Warrants Jun 2013 E	2013/06/03	2013/06/10
23078	Daiwa Capital Mkt – Hutchison European Warrants Jun 2013 A	2013/06/03	2013/06/10
23322	CC Rabobank B.A. – Lenovo European Warrants Jun 2013 B	2013/06/03	2013/06/10
23800	Credit Suisse AG – AIA European Put Warrants Jun 2013 C	2013/06/03	2013/06/10
17657	UBS AG – CNOOC European Warrants Jun 2013 A	2013/06/04	2013/06/11
18020	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 13 A	2013/06/04	2013/06/11
18029	J P Morgan SP BV – CNBM European Warrants Jun 2013 A	2013/06/04	2013/06/11
18068	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2013 A	2013/06/04	2013/06/11
18960	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun13A	2013/06/04	2013/06/11
18979	Goldman Sachs SP (Asia) – China Res Gas European Wt Jun 13 A	2013/06/04	2013/06/11
19002	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Jun 2013 A	2013/06/04	2013/06/11
19252	HK Bank – China Life European Warrants Jun 2013 A	2013/06/04	2013/06/11
19337	Nomura Int'l plc – Yanzhou Coal European Warrants Jun 2013 A	2013/06/04	2013/06/11
19642	Standard Chartered Bank – China Mobile Eur Put Wt Jun 2013 A	2013/06/04	2013/06/11
20225	J P Morgan SP BV – Zhaojin Mining European Wts Jun 2013 A	2013/06/04	2013/06/11
20317	Credit Suisse AG – CNOOC European Put Warrants Jun 2013 A	2013/06/04	2013/06/11
20438	UBS AG – CNOOC European Put Warrants Jun 2013 C	2013/06/04	2013/06/11
20556	UBS AG – HSBC European Warrants Jun 2013 A	2013/06/04	2013/06/11
20651	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jun 2013 B	2013/06/04	2013/06/11
20712	Daiwa Capital Mkt – BOCL European Put Warrants Jun 2013 A	2013/06/04	2013/06/11
20797	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Jun 2013 B	2013/06/04	2013/06/11
20845	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2013 A	2013/06/04	2013/06/11
21002	UBS AG – China Mobile European Warrants Jun 2013 A	2013/06/04	2013/06/11
21099	Goldman Sachs SP (Asia) – HSBC European Put Wts Jun 2013 A	2013/06/04	2013/06/11
21116	Goldman Sachs SP (Asia) – Tencent European Put Wt Jun 2013 A	2013/06/04	2013/06/11
21314	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 A	2013/06/04	2013/06/11
21399	Credit Suisse AG – Link REIT European Warrants Jun 2013 A	2013/06/04	2013/06/11
21458	UBS AG – PetroChina European Warrants Jun 2013 A	2013/06/04	2013/06/11
21463	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2013 B	2013/06/04	2013/06/11
21504	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Jun 2013 C	2013/06/04	2013/06/11
21509	UBS AG – SPDR Gold Trust European Warrants Jun 2013 A	2013/06/04	2013/06/11
21526	UBS AG – HSBC European Put Warrants Jun 2013 C	2013/06/04	2013/06/11
21528	UBS AG – China Shenhua European Warrants Jun 2013 A	2013/06/04	2013/06/11
21554	Standard Chartered Bank – Link REIT European Wts Jun 2013 A	2013/06/04	2013/06/11
21594	CC Rabobank B.A. – Luk Fook Hold European Wts Jun 2013 A	2013/06/04	2013/06/11
21633	BOCI Asia Ltd. – HSBC European Put Warrants Jun 2013 A	2013/06/04	2013/06/11
21645	Credit Suisse AG – China Mobile European Warrants Jun 2013 C	2013/06/04	2013/06/11
21672	UBS AG – China Mobile European Warrants Jun 2013 B	2013/06/04	2013/06/11
21768	UBS AG – Hutchison European Warrants Jun 2013 A	2013/06/04	2013/06/11
21776	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2013 A	2013/06/04	2013/06/11
21791	Standard Chartered Bank – Hutchison Euro Euro Wts Jun 2013 A	2013/06/04	2013/06/11
22026	Citigroup Global Mkt H Inc. – AIA European Put Wt Jun 2013 A	2013/06/04	2013/06/11
22578	BOCI Asia Ltd. – China Mobile European Put Wts Jun 2013 A	2013/06/04	2013/06/11
22810	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 13 B	2013/06/04	2013/06/11
22874	J P Morgan SP BV – CSOP A50 ETF European Warrants Jun 2013 D	2013/06/04	2013/06/11
19293	UBS AG – China Life European Warrants Jun 2013 A	2013/06/05	2013/06/13
20379	Merrill Lynch Int'l & Co. C.V. – CNOOC Eu Put Wts Jun 2013 A	2013/06/05	2013/06/13
21508	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Jun 2013 A	2013/06/05	2013/06/13
21590	CC Rabobank B.A. – Xinyi Glass European Warrants Jun 2013 A	2013/06/05	2013/06/13
22791	Credit Suisse AG – Tencent Holdings Euro Put Wts Jun 2013 B	2013/06/05	2013/06/13
22857	Credit Suisse AG – Cheung Kong European Warrants Jun 2013 C	2013/06/05	2013/06/13
23971	Standard Chartered Bank – China Mobile Euro Wts Jun 2013 C	2013/06/05	2013/06/13
14773	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013	2013/06/06	2013/06/14
21614	Daiwa Capital Mkt – China Mobile European Put Wts Jun 2013 A	2013/06/06	2013/06/14
22727	HK Bank – Tencent European Put Warrants Jun 2013 A	2013/06/06	2013/06/14
20342	HK Bank – China Overseas European Put Warrants Jun 2013 A	2013/06/07	2013/06/17
21587	Standard Chartered Bank – Lenovo European Wts Jun 2013 A	2013/06/07	2013/06/17
13028	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Jun 2013	2013/06/10	2013/06/18
13829	Citigroup Global Mkt H Inc. – CHALCO European Wts Jun 2013	2013/06/10	2013/06/18
14020	J P Morgan SP BV – CHALCO European Warrants Jun 2013	2013/06/10	2013/06/18
18690	UBS AG – Yanzhou Coal European Wts Jun 2013 A	2013/06/10	2013/06/18
19093	Macquarie Bank Ltd. – ZTE European Warrants Jun 2013 A	2013/06/10	2013/06/18

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19833	CC Rabobank B.A. – Foxconn European Warrants Jun 2013 A	2013/06/10	2013/06/18
19934	UBS AG – CNOOC European Warrants Jun 2013 B	2013/06/10	2013/06/18
20091	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jun 2013 A	2013/06/10	2013/06/18
20130	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Jun 13 A	2013/06/10	2013/06/18
20235	UBS AG – AIA European Warrants Jun 2013 B	2013/06/10	2013/06/18
20391	HK Bank – CNOOC European Warrants Jun 2013 A	2013/06/10	2013/06/18
20718	J P Morgan SP BV – SPDR Gold Trust European Wts Jun 2013 B	2013/06/10	2013/06/18
20764	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jun 13 A	2013/06/10	2013/06/18
20883	UBS AG – BOCL European Put Warrants Jun 2013 A	2013/06/10	2013/06/18
21104	HK Bank – AIA European Put Warrants Jun 2013 B	2013/06/10	2013/06/18
21154	HK Bank – Luk Fook Hold European Warrants Jun 2013 A	2013/06/10	2013/06/18
21241	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2013 A	2013/06/10	2013/06/18
21252	J P Morgan SP BV – Hutchison European Warrants Jun 2013 B	2013/06/10	2013/06/18
21280	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2013 A	2013/06/10	2013/06/18
21375	CC Rabobank B.A. – China COSCO European Warrants Jun 2013 A	2013/06/10	2013/06/18
21385	Credit Suisse AG – CAM CSI300 European Warrants Jun 2013 A	2013/06/10	2013/06/18
21388	BOCI Asia Ltd. – Chi Res Land European Warrants Jun 2013 B	2013/06/10	2013/06/18
21390	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jun 2013 A	2013/06/10	2013/06/18
21405	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2013 A	2013/06/10	2013/06/18
21545	UBS AG – China National Building European Wts Jun 2013 A	2013/06/10	2013/06/18
21548	UBS AG – CITIC Sec European Warrants Jun 2013 A	2013/06/10	2013/06/18
21566	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13A	2013/06/10	2013/06/18
21569	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 13 B	2013/06/10	2013/06/18
21575	CC Rabobank B.A. – CAM CSI300 European Warrants Jun 2013 A	2013/06/10	2013/06/18
21583	UBS AG – Yurun Food European Warrants Jun 2013 A	2013/06/10	2013/06/18
21589	CC Rabobank B.A. – Huaneng Power European Wts Jun 2013 A	2013/06/10	2013/06/18
21595	HK Bank – CAM CSI300 European Warrants Jun 2013 A	2013/06/10	2013/06/18
21596	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jun13B	2013/06/10	2013/06/18
21600	Credit Suisse AG – HSBC European Put Warrants Jun 2013 B	2013/06/10	2013/06/18
21661	Credit Suisse AG – Hutchison European Warrants Jun 2013 B	2013/06/10	2013/06/18
21683	J P Morgan SP BV – HSBC European Warrants Jun 2013 B	2013/06/10	2013/06/18
21684	J P Morgan SP BV – Geely Auto European Warrants Jun 2013 A	2013/06/10	2013/06/18
21685	J P Morgan SP BV – Yurun Food European Warrants Jun 2013 A	2013/06/10	2013/06/18
21698	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2013 B	2013/06/10	2013/06/18
21702	CC Rabobank B.A. – Minsheng Bank European Wts Jun 2013 A	2013/06/10	2013/06/18
21796	Credit Suisse AG – Sinopec Corp European Warrants Jun 2013 A	2013/06/10	2013/06/18
22172	UBS AG – Lenovo European Warrants Jun 2013 A	2013/06/10	2013/06/18
22190	CC Rabobank B.A. – BankComm European Warrants Jun 2013 A	2013/06/10	2013/06/18
22417	J P Morgan SP BV – Hutchison European Warrants Jun 2013 C	2013/06/10	2013/06/18
22511	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2013 B	2013/06/10	2013/06/18
22610	Credit Suisse AG – CSOP A50 ETF Euro Put Wts Jun 2013 D	2013/06/10	2013/06/18
22703	Goldman Sachs SP (Asia) – Lenovo European Wts Jun 2013 B	2013/06/10	2013/06/18
22709	Nomura Int'l plc – CNBM European Warrants Jun 2013 A	2013/06/10	2013/06/18
22772	Standard Chartered Bank – AIA European Warrants Jun 2013 A	2013/06/10	2013/06/18
23003	Credit Suisse AG – AIA European Warrants Jun 2013 B	2013/06/10	2013/06/18
23071	HK Bank – AIA European Warrants Jun 2013 C	2013/06/10	2013/06/18
23185	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Jun2013 A	2013/06/10	2013/06/18
23316	Credit Suisse AG – PetroChina European Warrants Jun 2013 A	2013/06/10	2013/06/18
23435	UBS AG – Ping An European Warrants Jun 2013 A	2013/06/10	2013/06/18
23541	Credit Suisse AG – EvergrandeEuropean Warrants Jun 2013 A	2013/06/10	2013/06/18
23911	Standard Chartered Bank – Ping An European Wts Jun 2013 A	2013/06/10	2013/06/18
23953	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jun 2013 D	2013/06/10	2013/06/18
19624	Daiwa Capital Mkt – CNOOC European Put Warrants Jun 2013 A	2013/06/11	2013/06/19
20344	HK Bank – China Shenhua European Warrants Jun 2013 A	2013/06/11	2013/06/19
20403	J P Morgan SP BV – China Tel European Warrants Jun 2013 A	2013/06/11	2013/06/19
20621	BOCI Asia Ltd. – CNOOC European Put Warrants Jun 2013 A	2013/06/11	2013/06/19
20955	HK Bank – Cheung Kong European Warrants Jun 2013 A	2013/06/11	2013/06/19
20957	HK Bank – China Mobile European Warrants Jun 2013 A	2013/06/11	2013/06/19
21364	UBS AG – Tencent Holdings European Warrants Jun 2013 B	2013/06/11	2013/06/19
21445	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2013 A	2013/06/11	2013/06/19
21618	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Jun 2013 A	2013/06/11	2013/06/19
21762	Daiwa Capital Mkt – CSOP A50 ETF European Wts Jun 2013 A	2013/06/11	2013/06/19
21804	UBS AG – Cheung Kong European Warrants Jun 2013 B	2013/06/11	2013/06/19
21807	UBS AG – HKEx European Warrants Jun 2013 A	2013/06/11	2013/06/19
22225	Daiwa Capital Mkt – CSOP A50 ETF European Put Wts Jun 2013 B	2013/06/11	2013/06/19
22922	BOCI Asia Ltd. – Cheung Kong European Warrants Jun 2013 A	2013/06/11	2013/06/19
23292	Daiwa Capital Mkt – China Mobile European Put Wts Jun 2013 E	2013/06/11	2013/06/19
21849	CC Rabobank B.A. – Sinopec Corp European Warrants Jun 2013 A	2013/06/13	2013/06/20
21850	CC Rabobank B.A. – Greatwall Motor European Wts Jun 2013 A	2013/06/13	2013/06/20
21853	CC Rabobank B.A. – Ch Comm Cons European Warrants Jun 2013 A	2013/06/13	2013/06/20
21855	CC Rabobank B.A. – China Coal European Warrants Jun 2013 A	2013/06/13	2013/06/20
21883	UBS AG – SHK Ppt European Warrants Jun 2013 A	2013/06/13	2013/06/20
21885	UBS AG – Hutchison European Put Warrants Jun 2013 B	2013/06/13	2013/06/20
21892	UBS AG – Tencent Holdings European Put Warrants Jun 2013 C	2013/06/13	2013/06/20
22061	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13C	2013/06/13	2013/06/20
22298	CC Rabobank B.A. – Tencent Holdings European Wts Sep 2013 A	2013/06/19	2013/06/20
22308	CC Rabobank B.A. – Chi Resources European Wts Jul 2013 A	2013/06/19	2013/06/20
22425	CC Rabobank B.A. – Ping An European Put Warrants Aug 2013 A	2013/06/19	2013/06/20
22429	CC Rabobank B.A. – AAC Acoustic European Warrants Jul 2013 A	2013/06/19	2013/06/20
22553	CC Rabobank B.A. – Hutchison European Warrants Jul 2013 A	2013/06/19	2013/06/20
22602	CC Rabobank B.A. – Chinares Cement European Wts Sep 2013 A	2013/06/19	2013/06/20
23104	CC Rabobank B.A. – Li & Fung European Warrants Jul 2013 B	2013/06/19	2013/06/20
23139	CC Rabobank B.A. – SHK Ppt European Put Warrants Jul 2013 A	2013/06/19	2013/06/20
23594	CC Rabobank B.A. – Ch LongYuan European Warrants Aug 2013 A	2013/06/19	2013/06/20
24064	CC Rabobank B.A. – Ping An European Warrants Aug 2013 B	2013/06/19	2013/06/20
18346	Standard Chartered Bank – CC Bank European Wts Jun 2013 A	2013/06/14	2013/06/21
19297	Nomura Int'l plc – A50 China European Warrants Jun 2013 A	2013/06/14	2013/06/21

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
19862	Bank of East Asia – Swire Properties European Wts Jun 2013 A	2013/06/14	2013/06/21
20289	Daiwa Capital Mkt – Ch Overseas European Put Wts Jun 2013 A	2013/06/14	2013/06/21
21840	HK Bank – PetroChina European Warrants Jun 2013 A	2013/06/14	2013/06/21
19214	Bank of East Asia – China Overseas Eur Put Wts Jun 2013 B	2013/06/17	2013/06/24
19319	HK Bank – Foxconn European Warrants Jun 2013 A	2013/06/17	2013/06/24
21905	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Jun 2013 A	2013/06/17	2013/06/24
22399	J P Morgan SP BV – CSOP A50 ETF European Warrants Jun 2013 C	2013/06/17	2013/06/24
23202	Daiwa Capital Mkt – China Mobile European Wts Jun 2013 C	2013/06/17	2013/06/24
23381	BOCI Asia Ltd. – Lenovo European Warrants Jun 2013 A	2013/06/17	2013/06/24
23564	CC Rabobank B.A. – Ch Railway Cons European Wts Jun 2013 A	2013/06/17	2013/06/24
23578	Credit Suisse AG – Sands China European Warrants Jun 2013 A	2013/06/17	2013/06/24
23730	Credit Suisse AG – Anhui Conch European Warrants Jun 2013 A	2013/06/17	2013/06/24
23868	Credit Suisse AG – Evergrande European Warrants Jun 2013 B	2013/06/17	2013/06/24
29596	J P Morgan SP BV – Sh Electric European Warrants Jun 2013	2013/06/18	2013/06/25
11847	Bank of East Asia – China Coal European Warrants Jun 2013	2013/06/18	2013/06/25
11848	Bank of East Asia – CHALCO European Warrants Jun 2013	2013/06/18	2013/06/25
12308	Standard Chartered Bank – Ping An European Warrants Jun 2013	2013/06/18	2013/06/25
12314	Barclays Bank plc – CHALCO European Warrants Jun 2013	2013/06/18	2013/06/25
13194	J P Morgan SP BV – Poly HK Inv European Warrants Jun 2013	2013/06/18	2013/06/25
16547	Goldman Sachs SP (Asia) – KECL European Warrants Jun 2013	2013/06/18	2013/06/25
17138	Nomura Int'l plc – Zoomlion European Warrants Jun 2013	2013/06/18	2013/06/25
18667	Goldman Sachs SP (Asia) – ZTE European Warrants Jun 2013 A	2013/06/18	2013/06/25
18941	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Jun 2013 A	2013/06/18	2013/06/25
19044	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2013 A	2013/06/18	2013/06/25
19145	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jun 2013 A	2013/06/18	2013/06/25
19288	CC Rabobank B.A. – Gome European Warrants Jun 2013 A	2013/06/18	2013/06/25
19727	Goldman Sachs SP (Asia) – Wharf European Warrants Jun 2013 A	2013/06/18	2013/06/25
19882	UBS AG – AIA European Warrants Jun 2013 A	2013/06/18	2013/06/25
19901	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 13 B	2013/06/18	2013/06/25
20067	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts Jun 2013 A	2013/06/18	2013/06/25
20139	Goldman Sachs SP (Asia) – Poly HK Inv Euro Wts Jun 2013 A	2013/06/18	2013/06/25
20203	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2013 A	2013/06/18	2013/06/25
20554	UBS AG – Cheung Kong European Warrants Jun 2013 A	2013/06/18	2013/06/25
20664	CC Rabobank B.A. – SJM Holdings European Warrants Jun 2013 A	2013/06/18	2013/06/25
20788	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2013 A	2013/06/18	2013/06/25
20865	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2013 A	2013/06/18	2013/06/25
20866	Goldman Sachs SP (Asia) – BankComm European Wts Jun 2013 A	2013/06/18	2013/06/25
20952	HK Bank – A50 China European Warrants Jun 2013 A	2013/06/18	2013/06/25
20968	UBS AG – Galaxy Ent European Warrants Jun 2013 A	2013/06/18	2013/06/25
21044	UBS AG – HSBC European Warrants Jun 2013 B	2013/06/18	2013/06/25
21055	UBS AG – China Life European Put Warrants Jun 2013 B	2013/06/18	2013/06/25
21086	Credit Suisse AG – HSBC European Wts Jun 2013 A	2013/06/18	2013/06/25
21152	HK Bank – Evergrande European Warrants Jun 2013 A	2013/06/18	2013/06/25
21228	J P Morgan SP BV – HSBC European Put Warrants Jun 2013 A	2013/06/18	2013/06/25
21239	Goldman Sachs SP (Asia) – Ping An European Wts Jun 2013 A	2013/06/18	2013/06/25
21242	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2013 B	2013/06/18	2013/06/25
21257	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2013 A	2013/06/18	2013/06/25
21291	UBS AG – CAM CSI300 European Warrants Jun 2013 A	2013/06/18	2013/06/25
21360	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jun13A	2013/06/18	2013/06/25
21402	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jun 2013 A	2013/06/18	2013/06/25
21459	UBS AG – Sinopec Corp European Warrants Jun 2013 A	2013/06/18	2013/06/25
21470	J P Morgan SP BV – Sands China European Warrants Jun 2013 A	2013/06/18	2013/06/25
21498	CC Rabobank B.A. – Hutchison European Warrants Jun 2013 A	2013/06/18	2013/06/25
21499	CC Rabobank B.A. – Sands China European Put Wts Jun 2013 A	2013/06/18	2013/06/25
21502	CC Rabobank B.A. – Galaxy Ent European Put Wts Jun 2013 A	2013/06/18	2013/06/25
21525	UBS AG – Sands China European Warrants Jun 2013 A	2013/06/18	2013/06/25
21546	UBS AG – A50 China European Warrants Jun 2013 A	2013/06/18	2013/06/25
21612	Daiwa Capital Mkt – HSBC European Warrants Jun 2013 A	2013/06/18	2013/06/25
21627	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jun2013 B	2013/06/18	2013/06/25
21643	Credit Suisse AG – Ping An European Warrants Jun 2013 A	2013/06/18	2013/06/25
21647	Credit Suisse AG – China Mobile European Put Wts Jun 2013 D	2013/06/18	2013/06/25
21655	Goldman Sachs SP (Asia) – Prada European Warrants Jun 2013 A	2013/06/18	2013/06/25
21663	Credit Suisse AG – Hutchison European Put Wts Jun 2013 C	2013/06/18	2013/06/25
21666	Credit Suisse AG – A50 China European Warrants Jun 2013 C	2013/06/18	2013/06/25
21705	CC Rabobank B.A. – CSR European Warrants Jun 2013 A	2013/06/18	2013/06/25
21706	Credit Suisse AG – ICBC European Warrants Jun 2013 A	2013/06/18	2013/06/25
21708	Credit Suisse AG – ICBC European Warrants Jun 2013 B	2013/06/18	2013/06/25
21712	Credit Suisse AG – ICBC European Put Warrants Jun 2013 C	2013/06/18	2013/06/25
21719	Credit Suisse AG – HKEx European Warrants Jun 2013 A	2013/06/18	2013/06/25
21738	CC Rabobank B.A. – NCI European Warrants Jun 2013 A	2013/06/18	2013/06/25
21740	CC Rabobank B.A. – Lenovo European Warrants Jun 2013 A	2013/06/18	2013/06/25
21753	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 B	2013/06/18	2013/06/25
21851	CC Rabobank B.A. – Country Garden European Wts Jun 2013 A	2013/06/18	2013/06/25
21886	UBS AG – Hutchison European Warrants Jun 2013 C	2013/06/18	2013/06/25
21887	UBS AG – CSOP A50 ETF European Warrants Jun 2013 C	2013/06/18	2013/06/25
21891	UBS AG – China Tel European Warrants Jun 2013 A	2013/06/18	2013/06/25
21937	HK Bank – Sands China European Warrants Jun 2013 B	2013/06/18	2013/06/25
21938	HK Bank – Lenovo European Warrants Jun 2013 A	2013/06/18	2013/06/25
21940	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jun 2013 A	2013/06/18	2013/06/25
21945	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Jun13B	2013/06/18	2013/06/25
21950	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun2013C	2013/06/18	2013/06/25
21956	Credit Suisse AG – China Mobile European Warrants Jun 2013 F	2013/06/18	2013/06/25
21960	CC Rabobank B.A. – CSOP A50 ETF European Warrants Jun 2013 B	2013/06/18	2013/06/25
21961	CC Rabobank B.A. – CSOP A50 ETF European Put Wts Jun 2013 C	2013/06/18	2013/06/25
21977	UBS AG – CSOP A50 ETF European Warrants Jun 2013 D	2013/06/18	2013/06/25
22111	Credit Suisse AG – ICBC European Warrants Jun 2013 D	2013/06/18	2013/06/25
22179	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13D	2013/06/18	2013/06/25

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22439	CC Rabobank B.A. – Zoomlion European Warrants Jun 2013 B	2013/06/18	2013/06/25
22480	J P Morgan SP BV – KECL European Warrants Jun 2013 A	2013/06/18	2013/06/25
22920	UBS AG – Anhui Conch European Warrants Jun 2013 A	2013/06/18	2013/06/25
22970	SGA Societe Generale Acceptance NV-Ch Ship Cont Eu Wt Jun13A	2013/06/18	2013/06/25
22987	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 13 D	2013/06/18	2013/06/25
23138	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2013 D	2013/06/18	2013/06/25
23199	HK Bank – China Mobile European Warrants Jun 2013 D	2013/06/18	2013/06/25
23221	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013 A	2013/06/18	2013/06/25
23269	CC Rabobank B.A. – China Shenhua European Wts Jun 2013 A	2013/06/18	2013/06/25
23392	UBS AG – CNOOC European Warrants Jun 2013 D	2013/06/18	2013/06/25
23664	Credit Suisse AG – Tencent Holdings Euro Put Wts Jun 2013 C	2013/06/18	2013/06/25
23723	Credit Suisse AG – SJM Holdings European Warrants Jun 2013 A	2013/06/18	2013/06/25
23797	Credit Suisse AG – China Coal European Warrants Jun 2013 A	2013/06/18	2013/06/25
24091	Goldman Sachs Sp (Asia) – ICBC European Warrants Jun 2013 A	2013/06/18	2013/06/25
24179	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Jun 2013 A	2013/06/18	2013/06/25
14050	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2013	2013/06/19	2013/06/26
16140	UBS AG – KECL European Warrants Jun 2013	2013/06/19	2013/06/26
19177	CC Rabobank B.A. – Belle Int'l European Warrants Jun 2013 A	2013/06/19	2013/06/26
19385	J P Morgan SP BV – Galaxy Ent European Warrants Jun 2013 A	2013/06/19	2013/06/26
19699	HK Bank – Li & Fung European Warrants Jun 2013 A	2013/06/19	2013/06/26
19823	Goldman Sachs SP (Asia) – Esprit European Wts Jun 2013 A	2013/06/19	2013/06/26
19824	Goldman Sachs SP (Asia) – Dongfeng European Wts Jun 2013 A	2013/06/19	2013/06/26
19982	Credit Suisse AG – Jiangxi Copper Euro Put Wts Jun 2013 A	2013/06/19	2013/06/26
20245	Goldman Sachs SP (Asia) – AIA European Put Wts Jun 2013 B	2013/06/19	2013/06/26
20246	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2013 C	2013/06/19	2013/06/26
20400	J P Morgan SP BV – Tencent European Warrants Jun 2013 A	2013/06/19	2013/06/26
20401	J P Morgan SP BV – SPDR Gold Trust Euro Put Wts Jun 2013 A	2013/06/19	2013/06/26
20553	UBS AG – Esprit European Warrants Jun 2013 A	2013/06/19	2013/06/26
20922	J P Morgan SP BV – Tencent European Put Warrants Jun 2013 B	2013/06/19	2013/06/26
21315	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 B	2013/06/19	2013/06/26
21401	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jun 2013 C	2013/06/19	2013/06/26
21427	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2013 A	2013/06/19	2013/06/26
21512	UBS AG – China Life European Warrants Jun 2013 C	2013/06/19	2013/06/26
21550	HK Bank – China Life European Warrants Jun 2013 B	2013/06/19	2013/06/26
21700	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2013 A	2013/06/19	2013/06/26
21772	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Jun 2013 A	2013/06/19	2013/06/26
22230	UBS AG – Evergrande European Warrants Jun 2013 A	2013/06/19	2013/06/26
22424	CC Rabobank B.A. – China Res Gas European Wts Jun 2013 A	2013/06/19	2013/06/26
22720	J P Morgan SP BV – HKEx European Warrants Jun 2013 B	2013/06/19	2013/06/26
22903	Daiwa Capital Mkt – SHK Ppt European Warrants Jun 2013 A	2013/06/19	2013/06/26
22942	CC Rabobank B.A. – Evergrande European Warrants Jun 2013 B	2013/06/19	2013/06/26
23565	CC Rabobank B.A. – Cheung Kong European Warrants Jun 2013 A	2013/06/19	2013/06/26
23851	Macquarie Bank Ltd. – Cheung Kong European Wts Jun 2013 A	2013/06/19	2013/06/26
23881	Standard Chartered Bank – China Coal European Wts Jun 2013 A	2013/06/19	2013/06/26
15826	Macquarie Bank Ltd. – Li Ning European Warrants Jun 2013	2013/06/20	2013/06/27
18789	Bank of East Asia – China Overseas Euro Warrants Jun 2013 A	2013/06/20	2013/06/27
18890	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Jun 2013A	2013/06/20	2013/06/27
18891	Standard Chartered Bank – Anhui Conch Euro Wts Jun 2013 A	2013/06/20	2013/06/27
19228	Bank of East Asia – JIANC European Put Warrants Jun 2013 A	2013/06/20	2013/06/27
19395	Goldman Sachs SP (Asia) – Agile Ppt European Wts Jun 2013 A	2013/06/20	2013/06/27
20762	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Jun13A	2013/06/20	2013/06/27
21021	Bank of East Asia – AAC Acoustic European Warrants Jun2013 A	2013/06/20	2013/06/27
21300	Citigroup Global Mkt H Inc.-Tencent European Wts Jun 2013 B	2013/06/20	2013/06/27
21336	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Jun2013A	2013/06/20	2013/06/27
21433	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Jun2013A	2013/06/20	2013/06/27
21759	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jun 13 A	2013/06/20	2013/06/27
21770	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2013 A	2013/06/20	2013/06/27
22015	UBS AG – CSOP A50 ETF European Put Warrants Jun 2013 E	2013/06/20	2013/06/27
22041	UBS AG – China Mobile European Warrants Jun 2013 E	2013/06/20	2013/06/27
22063	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2013 B	2013/06/20	2013/06/27
22386	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jun 2013 B	2013/06/20	2013/06/27
22740	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Jun2013 A	2013/06/20	2013/06/27
22855	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Jun 2013 A	2013/06/20	2013/06/27
22887	UBS AG – Tencent Holdings European Put Warrants Jun 2013 D	2013/06/20	2013/06/27
22986	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 13 C	2013/06/20	2013/06/27
23136	Standard Chartered Bank – China Mobile Euro Wts Jun 2013 B	2013/06/20	2013/06/27
23237	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2013 E	2013/06/20	2013/06/27
23340	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2013 B	2013/06/20	2013/06/27
23754	Goldman Sachs SP (Asia) – China Coal European Wts Jun 2013 A	2013/06/20	2013/06/27
23955	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jun 2013 A	2013/06/20	2013/06/27
12916	J P Morgan SP BV – Shimao Property European Wts Jun 2013	2013/06/21	2013/06/28
16189	CC Rabobank B.A. – Agile Ppt European Warrants Jun 2013	2013/06/21	2013/06/28
16686	HK Bank – HSI European Warrants Jun 2013	2013/06/21	2013/06/28
18164	BOCI Asia Ltd. – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28
18831	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
19400	J P Morgan SP BV – Li & Fung European Warrants Jun 2013 A	2013/06/21	2013/06/28
19514	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
19633	UBS AG – HSCEI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
19644	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
19645	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2013 C	2013/06/21	2013/06/28
19646	Daiwa Capital Mkt – HSI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
19851	J P Morgan SP BV – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28
20195	Goldman Sachs SP (Asia) – Anhui Conch European Wts Jun 2013A	2013/06/21	2013/06/28
20213	BOCI Asia Ltd. – HSI European Warrants Jun 2013 B	2013/06/21	2013/06/28
20469	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2013 A	2013/06/21	2013/06/28
20481	Daiwa Capital Mkt – HSI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
20508	UBS AG – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20539	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2013 A	2013/06/21	2013/06/28
20599	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2013 B	2013/06/21	2013/06/28
20602	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun2013C	2013/06/21	2013/06/28
20647	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28
20684	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
20720	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2013 A	2013/06/21	2013/06/28
20721	Standard Chartered Bank – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28
20742	CC Rabobank B.A. – Esprit European Warrants Jun 2013 A	2013/06/21	2013/06/28
20756	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jun 2013A	2013/06/21	2013/06/28
20819	BOCI Asia Ltd. – HSI European Warrants Jun 2013 C	2013/06/21	2013/06/28
20825	Credit Suisse AG – HSI European Warrants Jun 2013 A	2013/06/21	2013/06/28
20867	Daiwa Capital Mkt – HSCEI European Warrants Jun 2013 D	2013/06/21	2013/06/28
20879	Citigroup Global Mkt H Inc. – HSI European Wts Jun 2013 A	2013/06/21	2013/06/28
20889	UBS AG – HSI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
20897	UBS AG – HSCEI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
20898	UBS AG – HSCEI European Warrants Jun 2013 C	2013/06/21	2013/06/28
20931	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2013 A	2013/06/21	2013/06/28
20948	Standard Chartered Bank – HSI European Put Wts Jun 2013 B	2013/06/21	2013/06/28
20986	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jun 2013 C	2013/06/21	2013/06/28
21006	UBS AG – HSCEI European Warrants Jun 2013 D	2013/06/21	2013/06/28
21007	UBS AG – HSCEI European Put Warrants Jun 2013 E	2013/06/21	2013/06/28
21029	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jun13A	2013/06/21	2013/06/28
21081	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 D	2013/06/21	2013/06/28
21113	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2013 A	2013/06/21	2013/06/28
21114	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
21183	J P Morgan SP BV – HSI European Warrants Jun 2013 B	2013/06/21	2013/06/28
21184	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 D	2013/06/21	2013/06/28
21235	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2013 B	2013/06/21	2013/06/28
21268	UBS AG – Tencent Holdings European Warrants Jun 2013 A	2013/06/21	2013/06/28
21321	Credit Suisse AG – HSI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
21343	J P Morgan SP BV – HSI European Put Warrants Jun 2013 C	2013/06/21	2013/06/28
21344	J P Morgan SP BV – HSI European Put Warrants Jun 2013 D	2013/06/21	2013/06/28
21365	UBS AG – HSI European Put Warrants Jun 2013 C	2013/06/21	2013/06/28
21383	HK Bank – HSI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
21559	Credit Suisse AG – HSI European Warrants Jun 2013 C	2013/06/21	2013/06/28
21561	Credit Suisse AG – HSI European Warrants Jun 2013 D	2013/06/21	2013/06/28
21564	Credit Suisse AG – HSI European Put Warrants Jun 2013 E	2013/06/21	2013/06/28
21636	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2013 B	2013/06/21	2013/06/28
21657	BOCI Asia Ltd. – HSI European Warrants Jun 2013 D	2013/06/21	2013/06/28
21714	Credit Suisse AG – CC Bank European Warrants Jun 2013 A	2013/06/21	2013/06/28
21809	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2013 B	2013/06/21	2013/06/28
21815	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jun2013 B	2013/06/21	2013/06/28
21820	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2013 C	2013/06/21	2013/06/28
21839	HK Bank – HSCEI European Put Warrants Jun 2013 A	2013/06/21	2013/06/28
21841	HK Bank – HSI European Put Warrants Jun 2013 B	2013/06/21	2013/06/28
21888	UBS AG – HSI European Put Warrants Jun 2013 D	2013/06/21	2013/06/28
21989	Nomura Int'l plc – Tencent Holdings Euro Warrants Jun 2013 A	2013/06/21	2013/06/28
21995	HK Bank – CSOP A50 ETF European Warrants Jun 2013 B	2013/06/21	2013/06/28
22141	Credit Suisse AG – HSI European Warrants Jun 2013 F	2013/06/21	2013/06/28
22167	UBS AG – HSCEI European Put Warrants Jun 2013 F	2013/06/21	2013/06/28
22246	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2013 C	2013/06/21	2013/06/28
22318	Citigroup Global Mkt H Inc. – HSI European Put Wt Jun 2013 B	2013/06/21	2013/06/28
22319	Citigroup Global Mkt H Inc. – HSI European Wts Jun 2013 C	2013/06/21	2013/06/28
22325	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 E	2013/06/21	2013/06/28
22340	UBS AG – HSI European Put Warrants Jun 2013 E	2013/06/21	2013/06/28
22376	BOCI Asia Ltd. – HSI European Put Warrants Jun 2013 E	2013/06/21	2013/06/28
22390	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 E	2013/06/21	2013/06/28
22434	Credit Suisse AG – HSI European Put Warrants Jun 2013 G	2013/06/21	2013/06/28
22518	HK Bank – HSI European Put Warrants Jun 2013 C	2013/06/21	2013/06/28
23094	Credit Suisse AG – HSI European Put Warrants Jun 2013 H	2013/06/21	2013/06/28
23336	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2013 D	2013/06/21	2013/06/28
23390	Standard Chartered Bank -PetroChina European Wts Jun 2013 A	2013/06/21	2013/06/28
23628	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jun 2013 B	2013/06/21	2013/06/28
24046	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2013 C	2013/06/21	2013/06/28
24317	Credit Suisse AG – HSI European Warrants Jun 2013 I	2013/06/21	2013/06/28
24358	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 F	2013/06/21	2013/06/28
24504	Credit Suisse AG – HSI European Warrants Jun 2013 J	2013/06/21	2013/06/28
15740	Nomura Int'l plc – Sino Land European Warrants Jun 2013	2013/06/24	2013/07/02
15863	Nomura Int'l plc – Yuexiu Property European Wts Jun 2013	2013/06/24	2013/07/02
18281	Goldman Sachs SP (Asia) – Shimao Ppt European Wts Jun 2013 A	2013/06/24	2013/07/02
19004	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Jun 2013 A	2013/06/24	2013/07/02
20066	CC Rabobank B.A. – Evergrande European Warrants Jun 2013 A	2013/06/24	2013/07/02
20105	Standard Chartered Bank – CITIC Sec European Wts Jun 2013 A	2013/06/24	2013/07/02
20151	Standard Chartered Bank-China Life European Wts Jun 2013 B	2013/06/24	2013/07/02
20299	Standard Chartered Bank – China COSCO European Wt Jun 2013 A	2013/06/24	2013/07/02
20500	Nomura Int'l plc – BOC HK European Warrants Jun 2013 A	2013/06/24	2013/07/02
20560	HK Bank – Anhui Conch European Warrants Jun 2013 A	2013/06/24	2013/07/02
20579	HK Bank – Sands China European Warrants Jun 2013 A	2013/06/24	2013/07/02
20823	Standard Chartered Bank – HSBC European Put Wts Jun 2013 A	2013/06/24	2013/07/02
20852	Nomura Int'l plc -CITIC Bank European Warrants Jun 2013 A	2013/06/24	2013/07/02
20887	UBS AG – China Coal European Warrants Jun 2013 A	2013/06/24	2013/07/02
20976	Nomura Int'l plc – Evergrande European Warrants Jun 2013 A	2013/06/24	2013/07/02
21063	Nomura Int'l plc – ICBC European Warrants Jun 2013 A	2013/06/24	2013/07/02
21261	Goldman Sachs SP (Asia) – CRCC European Warrants Jun 2013 A	2013/06/24	2013/07/02
21275	Nomura Int'l plc – China Mobile European Warrants Jun 2013 A	2013/06/24	2013/07/02
21276	Nomura Int'l plc – Ping An European Warrants Jun 2013 A	2013/06/24	2013/07/02
21292	UBS AG – ICBC European Warrants Jun 2013 A	2013/06/24	2013/07/02

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21324	Credit Suisse AG – Tencent Holdings European Wts Jun 2013 A	2013/06/24	2013/07/02
21473	Standard Chartered Bank – CAM CSI300 European Wts Jun 2013 A	2013/06/24	2013/07/02
10166	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Jun 2013 A	2013/06/24	2013/07/02
21664	Credit Suisse AG – A50 China European Warrants Jun 2013 B	2013/06/24	2013/07/02
21716	Credit Suisse AG – CC Bank European Put Warrants Jun 2013 B	2013/06/24	2013/07/02
21797	Credit Suisse AG – Sinopec Corp European Warrants Jun 2013 B	2013/06/24	2013/07/02
21798	Credit Suisse AG – China Tel European Warrants Jun 2013 A	2013/06/24	2013/07/02
21799	Credit Suisse AG – China Shenhua European Wts Jun 2013 A	2013/06/24	2013/07/02
21800	Credit Suisse AG – CNBM European Warrants Jun 2013 A	2013/06/24	2013/07/02
22040	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 C	2013/06/24	2013/07/02
22092	Credit Suisse AG – Cheung Kong European Warrants Jun 2013 A	2013/06/24	2013/07/02
22093	Credit Suisse – Cheung Kong European Put Warrants Jun 2013 B	2013/06/24	2013/07/02
22099	Credit Suisse AG – Hutchison European Warrants Jun 2013 D	2013/06/24	2013/07/02
22101	Credit Suisse AG – SHK Ppt European Warrants Jun 2013 A	2013/06/24	2013/07/02
22103	Credit Suisse AG – KECL European Warrants Jun 2013 A	2013/06/24	2013/07/02
22112	Credit Suisse AG – ICBC European Warrants Jun 2013 E	2013/06/24	2013/07/02
22232	UBS AG – PICC European Warrants Jun 2013 B	2013/06/24	2013/07/02
22242	UBS AG – China National Building European Wts Jun 2013 B	2013/06/24	2013/07/02
22501	UBS AG – Hutchison European Warrants Jun 2013 D	2013/06/24	2013/07/02
22741	CC Rabobank B.A. – PICC P&C European Warrants Jun 2013 A	2013/06/24	2013/07/02
22790	Credit Suisse AG – HKEx European Warrants Jun 2013 B	2013/06/24	2013/07/02
23174	Credit Suisse AG – HSBC European Put Warrants Jun 2013 C	2013/06/24	2013/07/02
23365	Credit Suisse AG – HKEx European Warrants Jun 2013 C	2013/06/24	2013/07/02
23665	Credit Suisse AG – Galaxy Ent European Warrants Jun 2013 A	2013/06/24	2013/07/02
23669	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 E	2013/06/24	2013/07/02
23948	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2013 C	2013/06/24	2013/07/02
24364	Credit Suisse AG – Cheung Kong European Warrants Jun 2013 D	2013/06/24	2013/07/02
26184	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wts Jul13	2013/06/25	2013/07/03
29046	BNP Paribas Arbit Issu B.V. – Poly HK Inv European Wts Jul13	2013/06/25	2013/07/03
29157	BNP Paribas Arbit Issu B.V. – AviChina European Wts Jul13	2013/06/25	2013/07/03
29603	BNP Paribas Arbit Issu B.V. – Ping An European Wts Jul 2013	2013/06/25	2013/07/03
13702	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013	2013/06/25	2013/07/03
18013	BNP Paribas Arbit Issu B.V. – Zoomlion Euro Wts Jul 2013 A	2013/06/25	2013/07/03
18042	Citigroup Global Mkt H Inc. – BOC HK European Wts Jul 2013 A	2013/06/25	2013/07/03
18697	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2013 A	2013/06/25	2013/07/03
18704	Standard Chartered Bank – BOC HK European Wts Jul 2013 A	2013/06/25	2013/07/03
19438	J P Morgan SP BV – Sands China European Warrants Jul 2013 A	2013/06/25	2013/07/03
19443	J P Morgan SP BV – Ch Overseas European Warrants Jul 2013 A	2013/06/25	2013/07/03
19479	Daiwa Capital Mkt – China Life European Put Wts Jul 2013 A	2013/06/25	2013/07/03
19807	J P Morgan Int'l Der. Ltd. – Hysan Dev Euro Wts Jul 2013 A	2013/06/25	2013/07/03
19863	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2013 A	2013/06/25	2013/07/03
19865	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2013 A	2013/06/25	2013/07/03
20233	Daiwa Capital Mkt – AIA European Warrants Jul 2013 A	2013/06/25	2013/07/03
20259	BOCI Asia Ltd. – China Life European Put Warrants Jul 2013 A	2013/06/25	2013/07/03
20261	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2013 A	2013/06/25	2013/07/03
20359	J P Morgan SP BV – JX Copper European Warrants Jul 2013 A	2013/06/25	2013/07/03
20399	J P Morgan SP BV – SJM Holdings European Warrants Jul 2013 A	2013/06/25	2013/07/03
20408	J P Morgan SP BV – Weichai Power European Wts Jul 2013 A	2013/06/25	2013/07/03
20616	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jul 2013 A	2013/06/25	2013/07/03
20735	HK Bank – ICBC European Warrants Jul 2013 A	2013/06/25	2013/07/03
20784	J P Morgan SP BV – ICBC European Warrants Jul 2013 A	2013/06/25	2013/07/03
20837	Citigroup Global Mkt H Inc. – HSBC European Wts Jul 2013 A	2013/06/25	2013/07/03
20881	J P Morgan SP BV – A50 China European Warrants Jul 2013 A	2013/06/25	2013/07/03
20892	J P Morgan SP BV – PetroChina European Warrants Jul 2013 A	2013/06/25	2013/07/03
20903	J P Morgan SP BV – BOCL European Warrants Jul 2013 B	2013/06/25	2013/07/03
20921	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Jul 2013 A	2013/06/25	2013/07/03
20987	J P Morgan SP BV – ICBC European Put Wts Jul 2013 B	2013/06/25	2013/07/03
20988	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2013 A	2013/06/25	2013/07/03
20990	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jul 2013 A	2013/06/25	2013/07/03
21119	HK Bank – Ch Ship Cont European Warrants Jul 2013 A	2013/06/25	2013/07/03
21240	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2013 B	2013/06/25	2013/07/03
21251	J P Morgan SP BV – ICBC European Warrants Jul 2013 C	2013/06/25	2013/07/03
21311	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 B	2013/06/25	2013/07/03
21327	CC Rabobank B.A. – CNBM European Put Warrants Jul 2013 A	2013/06/25	2013/07/03
21354	J P Morgan SP BV – CHALCO European Warrants Jul 2013 A	2013/06/25	2013/07/03
21358	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2013 A	2013/06/25	2013/07/03
21369	J P Morgan SP BV – Hutchison European Put Wts Jul 2013 A	2013/06/25	2013/07/03
21378	J P Morgan SP BV – China Shenhua European Wts Jul 2013 A	2013/06/25	2013/07/03
21392	CC Rabobank B.A. – A50 China European Warrants Jul 2013 A	2013/06/25	2013/07/03
21394	J P Morgan SP BV – China Mob European Put Wts Jul 2013 A	2013/06/25	2013/07/03
21456	J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2013 A	2013/06/25	2013/07/03
21521	J P Morgan SP BV – CITIC Bank European Warrants Jul 2013 A	2013/06/25	2013/07/03
21535	BOCI Asia Ltd. – CSOP A50 ETFEuropean Warrants Jul 2013 A	2013/06/25	2013/07/03
21634	BOCI Asia Ltd. – HSBC European Warrants Jul 2013 A	2013/06/25	2013/07/03
21650	J P Morgan SP BV – Wharf European Warrants Jul 2013 A	2013/06/25	2013/07/03
21690	HK Bank – Anhui Conch European Warrants Jul 2013 A	2013/06/25	2013/07/03
21739	CC Rabobank B.A. – CITIC Bank European Warrants Jul 2013 A	2013/06/25	2013/07/03
21746	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2013 A	2013/06/25	2013/07/03
21775	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jul 13 A	2013/06/25	2013/07/03
21795	HK Bank – ICBC European Warrants Jul 2013 B	2013/06/25	2013/07/03
21802	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Jul 2013 A	2013/06/25	2013/07/03
22004	Citigroup Global Mkt H Inc. – Sands China Euro Wt Jul 2013 A	2013/06/25	2013/07/03
22047	Citigroup Global Mkt H Inc. – KECL European Wts Jul 2013 A	2013/06/25	2013/07/03
22088	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2013 A	2013/06/25	2013/07/03
22127	HK Bank – HWL European Warrants Jul 2013 B	2013/06/25	2013/07/03
22131	CC Rabobank B.A. – Ping An European Warrants Jul 2013 A	2013/06/25	2013/07/03
22132	CC Rabobank B.A. – CC Bank European Warrants Jul 2013 A	2013/06/25	2013/07/03

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22134	CC Rabobank B.A. – CM Bank European Warrants Jul 2013 A	2013/06/25	2013/07/03
22135	CC Rabobank B.A. – CQRC Bank European Warrants Jul 2013 A	2013/06/25	2013/07/03
22187	CC Rabobank B.A. – Zijin Mining European Warrants Jul 2013 A	2013/06/25	2013/07/03
22521	BOCI Asia Ltd. – China Mobile European Warrants Jul 2013 A	2013/06/25	2013/07/03
22523	BOCI Asia Ltd. – Hutchison European Warrants Jul 2013 A	2013/06/25	2013/07/03
22525	BOCI Asia Ltd. – PetroChina European Warrants Jul 2013 A	2013/06/25	2013/07/03
22635	BOCI Asia Ltd. – CC Bank European Put Warrants Jul 2013 B	2013/06/25	2013/07/03
22659	CC Rabobank B.A. – Yanzhou Coal European Warrants Jul 2013 A	2013/06/25	2013/07/03
22896	UBS AG – Zijin Mining European Warrants Jul 2013 A	2013/06/25	2013/07/03
23065	J P Morgan SP BV – Evergrande European Warrants Jul 2013 A	2013/06/25	2013/07/03
23396	Daiwa Capital Mkt – ICBC European Warrants Jul 2013 B	2013/06/25	2013/07/03
23552	J P Morgan SP BV – Ping An European Warrants Jul 2013 A	2013/06/25	2013/07/03
23614	Daiwa Capital Mkt – Sinopec Corp European Wts Jul 2013 A	2013/06/25	2013/07/03
23846	Daiwa Capital Mkt – China Mobile European Put Wts Jul 2013 B	2013/06/25	2013/07/03
24044	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2013 A	2013/06/25	2013/07/03
24081	Standard Chartered Bank – ICBC European Warrants Jul 2013 B	2013/06/25	2013/07/03
27918	BNP Paribas Arbit Issu B.V. – Lee & Man Paper Euro Wt Jul13	2013/06/26	2013/07/04
15432	BNP Paribas Arbit Issu B.V. -Li Ning European Wts Jul 2013	2013/06/26	2013/07/04
15604	BNP Paribas Arbit Issu B.V. – Sh Ind H European Wts Jul 2013	2013/06/26	2013/07/04
15728	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt Jul 2013	2013/06/26	2013/07/04
16172	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Jul 2013	2013/06/26	2013/07/04
17041	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wts Jul 2013	2013/06/26	2013/07/04
17164	Macquarie Bank Ltd. – Shimao Ppt European Warrants Jul 2013	2013/06/26	2013/07/04
17165	Macquarie Bank Ltd. – Agile Ppt European Warrants Jul 2013	2013/06/26	2013/07/04
17684	Macquarie Bank Ltd. – Stanchart European Warrants Jul 2013 A	2013/06/26	2013/07/04
18008	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Jul 2013 A	2013/06/26	2013/07/04
18957	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Jul 2013 A	2013/06/26	2013/07/04
18958	BNP Paribas Arbit Issu B.V.-Dongfeng Motor Eur Wt Jul 2013 A	2013/06/26	2013/07/04
18959	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2013 A	2013/06/26	2013/07/04
19225	J P Morgan SP BV – Shimao Property European Wts Jul 2013 A	2013/06/26	2013/07/04
19306	J P Morgan SP BV – China Life European Warrants Jul 2013 A	2013/06/26	2013/07/04
19524	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Jul 2013 A	2013/06/26	2013/07/04
19630	Macquarie Bank Ltd. – Shui On Land European Wts Jul 2013 A	2013/06/26	2013/07/04
19802	Citigroup Global Mkt H Inc. – Stanchart Euro Wts Jul 2013 A	2013/06/26	2013/07/04
19951	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Jul 2013 A	2013/06/26	2013/07/04
20115	Macquarie Bank Ltd. – Yurun Food European Wts Jul 2013 A	2013/06/26	2013/07/04
20116	Macquarie Bank Ltd. – China Coal European Wts Jul 2013 A	2013/06/26	2013/07/04
20219	Macquarie Bank Ltd. – AIA European Warrants Jul 2013 A	2013/06/26	2013/07/04
20273	HK Bank – HWL European Warrants Jul 2013 A	2013/06/26	2013/07/04
20561	Macquarie Bank Ltd. – CITIC Bank European Wts Jul 2013 A	2013/06/26	2013/07/04
20562	Macquarie Bank Ltd. – Esprit European Warrants Jul 2013 A	2013/06/26	2013/07/04
20592	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2013A	2013/06/26	2013/07/04
20645	Macquarie Bank Ltd. – AIA European Put Warrants Jul 2013 B	2013/06/26	2013/07/04
20667	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Jul 2013 A	2013/06/26	2013/07/04
20691	Standard Chartered Bank – SHK P European Warrants Jul 2013 A	2013/06/26	2013/07/04
20875	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wt Jul13A	2013/06/26	2013/07/04
20933	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jul 2013 A	2013/06/26	2013/07/04
20965	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wts Jul2013 A	2013/06/26	2013/07/04
20966	BNP Paribas Arbit Issu B.V. – Kerry Ppt Euro Wts Jul 2013 A	2013/06/26	2013/07/04
21016	Macquarie Bank Ltd. – ICBC European Put Warrants Jul 2013 A	2013/06/26	2013/07/04
21018	Macquarie Bank Ltd. – BOCL European Put Warrants Jul 2013 A	2013/06/26	2013/07/04
21019	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jul 2013 A	2013/06/26	2013/07/04
21025	Macquarie Bank Ltd. – CC Bank European Put Wts Jul 2013 A	2013/06/26	2013/07/04
21052	Macquarie Bank Ltd. – Chi Resources European Wts Jul 2013 A	2013/06/26	2013/07/04
21053	Macquarie Bank Ltd. – Tingyi European Warrants Jul 2013 A	2013/06/26	2013/07/04
21090	Macquarie Bank Ltd. – Anhui Conch European Wts Jul 2013 A	2013/06/26	2013/07/04
21142	Macquarie Bank Ltd. – BYD European Warrants Jul 2013 A	2013/06/26	2013/07/04
21169	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2013 A	2013/06/26	2013/07/04
21198	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Jul2013A	2013/06/26	2013/07/04
21202	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2013 A	2013/06/26	2013/07/04
21245	Macquarie Bank Ltd. – China Tel European Warrants Jul 2013 A	2013/06/26	2013/07/04
21340	BNP Paribas Arbit Issu B.V. – Air China Euro Wts Jul 2013 A	2013/06/26	2013/07/04
21362	Macquarie Bank Ltd. -Greatwall Motor European Wts Jul 2013 A	2013/06/26	2013/07/04
21371	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul2013 A	2013/06/26	2013/07/04
21372	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 A	2013/06/26	2013/07/04
21437	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13A	2013/06/26	2013/07/04
21438	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13B	2013/06/26	2013/07/04
21454	BNP Paribas Arbit Issu B.V. – Shougang Res Eu Wts Jul 2013 A	2013/06/26	2013/07/04
21492	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jul 2013B	2013/06/26	2013/07/04
21514	Nomura Int'l plc – China Shenhua European Wts Jul 2013 A	2013/06/26	2013/07/04
21516	Nomura Int'l plc – ICBC European Warrants Jul 2013 A	2013/06/26	2013/07/04
21605	BNP Paribas Arbit Issu B.V. – PWR Assets Euro Wts Jul 2013 A	2013/06/26	2013/07/04
21607	BNP Paribas Arbit Issu B.V. – China Res Gas Eu Wt Jul 2013 A	2013/06/26	2013/07/04
21620	Macquarie Bank Ltd. – Evergrande European Wts Jul 2013 A	2013/06/26	2013/07/04
21640	Standard Chartered Bank – Sands China Euro Wts Jul 2013 A	2013/06/26	2013/07/04
21677	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2013 A	2013/06/26	2013/07/04
21681	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2013 B	2013/06/26	2013/07/04
21755	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 B	2013/06/26	2013/07/04
21786	Goldman Sachs SP (Asia) – HKEx European Warrants Jul 2013 A	2013/06/26	2013/07/04
21829	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts Jul 2013 B	2013/06/26	2013/07/04
21832	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2013 A	2013/06/26	2013/07/04
21837	Macquarie Bank Ltd. – AAC Acoustic European Wts Jul 2013 A	2013/06/26	2013/07/04
21860	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jul 2013 B	2013/06/26	2013/07/04
21862	Standard Chartered Bank – HKEx European Warrants Jul 2013 A	2013/06/26	2013/07/04
21907	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jul2013 A	2013/06/26	2013/07/04
21912	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Jul 13 A	2013/06/26	2013/07/04
21915	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jul 2013 A	2013/06/26	2013/07/04

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Code	Warrant	Last trading date	Delisted date
21931	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jul2013 A	2013/06/26	2013/07/04
21932	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul2013 A	2013/06/26	2013/07/04
21942	Standard Chartered Bank – Ping An European Wts Jul 2013 A	2013/06/26	2013/07/04
21993	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jul 2013 A	2013/06/26	2013/07/04
22025	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jul 2013 A	2013/06/26	2013/07/04
22051	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2013 A	2013/06/26	2013/07/04
22069	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 B	2013/06/26	2013/07/04
22072	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Jul13A	2013/06/26	2013/07/04
22073	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Jul 13 A	2013/06/26	2013/07/04
22136	Macquarie Bank Ltd. – A50 China European Put Wts Jul 2013 A	2013/06/26	2013/07/04
22150	Macquarie Bank Ltd. – BankComm European Warrants Jul 2013 A	2013/06/26	2013/07/04
22184	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul13A	2013/06/26	2013/07/04
22224	Daiwa Capital Mkt – CSOP A50 ETF European Wts Jul 2013 A	2013/06/26	2013/07/04
22449	Nomura Int'l plc – HKEx European Warrants Jul 2013 A	2013/06/26	2013/07/04
22468	BOCI Asia Ltd. – CC Bank European Warrants Jul 2013 A	2013/06/26	2013/07/04
22576	BOCI Asia Ltd. – Anhui Conch European Warrants Jul 2013 A	2013/06/26	2013/07/04
22686	Macquarie Bank Ltd. – China Mobile European Wts Jul 2013 A	2013/06/26	2013/07/04
22764	Macquarie Bank Ltd. – SHK Ppt European Warrants Jul 2013 A	2013/06/26	2013/07/04
22818	Macquarie Bank Ltd. – A50 China European Warrants Jul 2013 B	2013/06/26	2013/07/04
22858	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Jul 2013 A	2013/06/26	2013/07/04
22939	UBS AG – CC Bank European Warrants Jul 2013 B	2013/06/26	2013/07/04
23240	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul2013 A	2013/06/26	2013/07/04
23326	UBS AG – Sinopec Corp European Warrants Jul 2013 A	2013/06/26	2013/07/04
23521	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2013 A	2013/06/26	2013/07/04
23525	BNP Paribas Arbit Issu B.V. – AIA European Wts Jul 2013 A	2013/06/26	2013/07/04
23687	Macquarie Bank Ltd. – A50 China European Warrants Jul 2013 C	2013/06/26	2013/07/04
23849	Macquarie Bank Ltd. – SHK Ppt European Warrants Jul 2013 B	2013/06/26	2013/07/04
24306	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2013 A	2013/06/26	2013/07/04
24310	Credit Suisse AG – Lumena Newmat European Wts Jul 2013 B	2013/06/26	2013/07/04
18271	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jul 2013 A	2013/06/27	2013/07/05
20669	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2013 A	2013/06/27	2013/07/05
20936	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jul 2013 B	2013/06/27	2013/07/05
21734	Macquarie Bank Ltd. – Avichina European Warrants Jul 2013 A	2013/06/27	2013/07/05
22786	UBS AG – CSOP A50 ETF European Put Warrants Jul 2013 C	2013/06/27	2013/07/05
22866	BOCI Asia Ltd. – Tencent Holdings European Wts Jul 2013 B	2013/06/27	2013/07/05
18982	Standard Chartered Bank – Wynn Macau European Wts Jul 2013 A	2013/06/28	2013/07/08
19466	Standard Chartered Bank – ICBC European Warrants Jul 2013 A	2013/06/28	2013/07/08
19506	Standard Chartered Bank – A50 China Euro Warrants Jul 2013 A	2013/06/28	2013/07/08
19551	Daiwa Capital Mkt – A50 China European Warrants Jul 2013 A	2013/06/28	2013/07/08
21442	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 B	2013/06/28	2013/07/08
21449	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 C	2013/06/28	2013/07/08
22081	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jul 2013 A	2013/06/28	2013/07/08
22825	UBS AG – Hutchison European Warrants Jul 2013 A	2013/06/28	2013/07/08
22865	BOCI Asia Ltd. -Tencent Holdings European Put Wts Jul 2013 A	2013/06/28	2013/07/08
18883	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 B	2013/07/02	2013/07/09
19907	UBS AG – A50 China European Warrants Jul 2013 B	2013/07/02	2013/07/09
20402	J P Morgan SP BV – BOCL European Warrants Jul 2013 A	2013/07/02	2013/07/09
20569	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Jul 13 A	2013/07/02	2013/07/09
20580	UBS AG – BYD European Warrants Jul 2013 A	2013/07/02	2013/07/09
20727	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Jul 2013 A	2013/07/02	2013/07/09
20808	Macquarie Bank Ltd. – HSBC European Warrants Jul 2013 A	2013/07/02	2013/07/09
21033	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2013 A	2013/07/02	2013/07/09
21057	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2013 A	2013/07/02	2013/07/09
21070	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul2013 A	2013/07/02	2013/07/09
21407	UBS AG – Link REIT European Warrants Jul 2013 C	2013/07/02	2013/07/09
21788	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jul13 A	2013/07/02	2013/07/09
21794	HK Bank – CAM CSI300 European Warrants Jul 2013 A	2013/07/02	2013/07/09
21808	UBS AG – ICBC European Warrants Jul 2013 A	2013/07/02	2013/07/09
21866	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt Jul13 A	2013/07/02	2013/07/09
21870	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Jul13A	2013/07/02	2013/07/09
21908	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jul 2013 A	2013/07/02	2013/07/09
21946	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jul 2013 A	2013/07/02	2013/07/09
21947	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul13A	2013/07/02	2013/07/09
22016	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Jul13 B	2013/07/02	2013/07/09
22018	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Put Wt Jul13 B	2013/07/02	2013/07/09
22035	Credit Suisse AG – CC Bank European Warrants Jul 2013 B	2013/07/02	2013/07/09
22095	Credit Suisse AG – HSBC European Wts Jul 2013 A	2013/07/02	2013/07/09
22105	Credit Suisse AG – ChiUnicom European Warrants Jul 2013 A	2013/07/02	2013/07/09
22106	Credit Suisse AG – China Unicom European Put Wts Jul 2013 B	2013/07/02	2013/07/09
22107	Credit Suisse AG – CNOOC European Warrants Jul 2013 A	2013/07/02	2013/07/09
22108	Credit Suisse AG – Lenovo European Warrants Jul 2013 A	2013/07/02	2013/07/09
22109	Credit Suisse AG – Yanzhou Coal European Warrants Jul 2013 A	2013/07/02	2013/07/09
89002	Credit Suisse AG – CAM CSI300 European Warrants Jul 2013 A	2013/07/02	2013/07/09
22191	CC Rabobank B.A. – China Shenhua European Wts Jul 2013 A	2013/07/02	2013/07/09
22274	Citigroup Global Mkt H Inc. – Luk Fook Hold Eu Wt Jul 2013 A	2013/07/02	2013/07/09
22283	Credit Suisse AG – A50 China European Warrants Jul 2013 A	2013/07/02	2013/07/09
22286	Credit Suisse AG – CM Bank European Warrants Jul 2013 A	2013/07/02	2013/07/09
22672	Standard Chartered Bank – CC Bank European Wts Jul 2013 A	2013/07/02	2013/07/09
22697	CC Rabobank B.A. – China Tel European Warrants Jul 2013 A	2013/07/02	2013/07/09
22704	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2013 C	2013/07/02	2013/07/09
22812	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jul 13 A	2013/07/02	2013/07/09
22826	UBS AG – HKEx European Warrants Jul 2013 E	2013/07/02	2013/07/09
22830	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13D	2013/07/02	2013/07/09
22881	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2013 B	2013/07/02	2013/07/09
22884	Goldman Sachs SP (Asia) – CNBM European Warrants Jul 2013 A	2013/07/02	2013/07/09
22898	UBS AG – Evergrande European Warrants Jul 2013 A	2013/07/02	2013/07/09
23061	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2013 C	2013/07/02	2013/07/09

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
23179	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Jul 2013 A	2013/07/02	2013/07/09
23215	UBS AG – HKEx European Put Warrants Jul 2013 F	2013/07/02	2013/07/09
23249	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jul 2013 A	2013/07/02	2013/07/09
23319	CC Rabobank B.A. – ICBC European Warrants Jul 2013 A	2013/07/02	2013/07/09
23405	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2013 B	2013/07/02	2013/07/09
23500	UBS AG – CC Bank European Warrants Jul 2013 C	2013/07/02	2013/07/09
23515	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2013 B	2013/07/02	2013/07/09
23544	Credit Suisse AG – A50 China European Warrants Jul 2013 C	2013/07/02	2013/07/09
23879	UBS AG – Galaxy Ent European Warrants Jul 2013 A	2013/07/02	2013/07/09
23913	Standard Chartered Bank – China Life Euro Put Wts Jul 2013 B	2013/07/02	2013/07/09
24192	Standard Chartered Bank – CNBM European Warrants Jul 2013 A	2013/07/02	2013/07/09
18781	Daiwa Capital Mkt – BOC HK European Warrants Jul 2013 A	2013/07/03	2013/07/10
20559	HK Bank – Zijin Mining European Warrants Jul 2013 A	2013/07/03	2013/07/10
20831	Credit Suisse AG – ICBC European Put Warrants Jul 2013 A	2013/07/03	2013/07/10
21117	HK Bank – Foxconn European Warrants Jul 2013 A	2013/07/03	2013/07/10
21493	HK Bank – Link REIT European Warrants Jul 2013 A	2013/07/03	2013/07/10
21547	UBS AG – Tencent Holdings European Warrants Jul 2013 B	2013/07/03	2013/07/10
21767	UBS AG – CITIC Bank European Warrants Jul 2013 A	2013/07/03	2013/07/10
21838	HK Bank – CC Bank European Warrants Jul 2013 B	2013/07/03	2013/07/10
21895	UBS AG – HKEx European Warrants Jul 2013 B	2013/07/03	2013/07/10
22055	UBS AG – Esprit European Warrants Jul 2013 B	2013/07/03	2013/07/10
22165	UBS AG – Sands China European Warrants Jul 2013 A	2013/07/03	2013/07/10
22168	UBS AG – CPIC European Warrants Jul 2013 B	2013/07/03	2013/07/10
22248	HK Bank – CSOP A50 ETF European Warrants Jul 2013 A	2013/07/03	2013/07/10
22251	HK Bank – HWL European Warrants Jul 2013 C	2013/07/03	2013/07/10
22253	HK Bank – SHK Ppt European Warrants Jul 2013 A	2013/07/03	2013/07/10
22396	J P Morgan SP BV – CSOP A50 ETF Euro Put Wts Jul 2013 B	2013/07/03	2013/07/10
22397	J P Morgan SP BV – HSBC European Warrants Jul 2013 A	2013/07/03	2013/07/10
22427	CC Rabobank B.A. – NCI European Warrants Jul 2013 A	2013/07/03	2013/07/10
22431	CC Rabobank B.A. – Evergrande European Warrants Jul 2013 A	2013/07/03	2013/07/10
22513	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Jul 13 A	2013/07/03	2013/07/10
22859	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Jul 2013 A	2013/07/03	2013/07/10
23182	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2013 C	2013/07/03	2013/07/10
23663	Macquarie Bank Ltd. – Yurun Food European Wts Jul 2013 B	2013/07/03	2013/07/10
24118	Daiwa Capital Mkt – HSBC European Warrants Jul 2013 B	2013/07/03	2013/07/10
19595	Standard Chartered Bank – China Railway Euro Wts Jul 2013 A	2013/07/04	2013/07/11
20488	Daiwa Capital Mkt – ICBC European Put Warrants Jul 2013 A	2013/07/04	2013/07/11
21294	Standard Chartered Bank – Tencent Hldg Euro Wts Jul 2013 C	2013/07/04	2013/07/11
21868	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Jul13 A	2013/07/04	2013/07/11
21910	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Jul2013 A	2013/07/04	2013/07/11
21911	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wt Jul13 A	2013/07/04	2013/07/11
22337	SGA Societe Generale Acceptance NV-CSOP A50 Eu Put Wt Jul13C	2013/07/04	2013/07/11
22412	HK Bank – CSOP A50 ETF European Warrants Jul 2013 B	2013/07/04	2013/07/11
22481	J P Morgan SP BV – Lumena Newmat European Wts Jul 2013 A	2013/07/04	2013/07/11
23118	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 C	2013/07/04	2013/07/11
23883	Standard Chartered Bank – A50 China Euro Warrants Jul 2013 C	2013/07/04	2013/07/11
15579	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Jul 2013	2013/07/05	2013/07/12
22489	HK Bank – CSOP A50 ETF European Put Warrants Jul 2013 C	2013/07/05	2013/07/12
16318	Bank of East Asia – A50 China European Warrants Jul 2013	2013/07/08	2013/07/15
21756	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 C	2013/07/08	2013/07/15
22435	Credit Suisse AG – ICBC European Warrants Jul 2013 C	2013/07/08	2013/07/15
22436	Credit Suisse AG – Hutchison European Warrants Jul 2013 A	2013/07/08	2013/07/15
22507	CC Rabobank B.A. – CSOP A50 ETF European Warrants Jul 2013 A	2013/07/08	2013/07/15
22508	CC Rabobank B.A. – A50 China European Put Wts Jul 2013 B	2013/07/08	2013/07/15
22510	CC Rabobank B.A. – A50 China European Warrants Jul 2013 C	2013/07/08	2013/07/15
23874	BOCI Asia Ltd. – SHK Ppt European Warrants Jul 2013 A	2013/07/08	2013/07/15
24848	Credit Suisse AG – New World Dev European Wts Jul 2013 C	2013/07/08	2013/07/15
15756	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jul 2013	2013/07/09	2013/07/16
19754	HK Bank – Jiangxi Copper European Warrants Jul 2013 A	2013/07/09	2013/07/16
19956	Goldman Sachs SP (Asia) – New World Dev Euro Wts Jul 2013 A	2013/07/09	2013/07/16
20482	Daiwa Capital Mkt – HSBC European Warrants Jul 2013 A	2013/07/09	2013/07/16
20504	CC Rabobank B.A. – BYD European Warrants Jul 2013 A	2013/07/09	2013/07/16
20707	Goldman Sachs SP (Asia) – Swire Properties Eu Wts Jul 2013 A	2013/07/09	2013/07/16
20857	HK Bank – A50 China European Warrants Jul 2013 A	2013/07/09	2013/07/16
20927	HK Bank – Minsheng Bank European Warrants Jul 2013 A	2013/07/09	2013/07/16
21122	CC Rabobank B.A. – Henganintl European Warrants Jul 2013 A	2013/07/09	2013/07/16
21149	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2013 A	2013/07/09	2013/07/16
21176	J P Morgan SP BV – CAM CSI300 European Warrants Jul 2013 A	2013/07/09	2013/07/16
21271	UBS AG – Link REIT European Warrants Jul 2013 B	2013/07/09	2013/07/16
21277	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2013 A	2013/07/09	2013/07/16
21281	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013 A	2013/07/09	2013/07/16
21406	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2013 A	2013/07/09	2013/07/16
21417	BOCI Asia Ltd. – CAM CSI300 European Warrants Jul 2013 A	2013/07/09	2013/07/16
21531	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Jul 2013 A	2013/07/09	2013/07/16
21610	UBS AG – Tencent Holdings European Put Warrants Jul 2013 C	2013/07/09	2013/07/16
21617	Daiwa Capital Mkt – CCB European Warrants Jul 2013 A	2013/07/09	2013/07/16
21619	Daiwa Capital Mkt – China Life European Put Wts Jul 2013 C	2013/07/09	2013/07/16
21737	CC Rabobank B.A. – BBMG European Warrants Jul 2013 A	2013/07/09	2013/07/16
21779	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jul 2013 A	2013/07/09	2013/07/16
21789	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Jul13A	2013/07/09	2013/07/16
21858	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2013 A	2013/07/09	2013/07/16
21872	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jul 2013 A	2013/07/09	2013/07/16
21901	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2013 A	2013/07/09	2013/07/16
21902	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Jul2013 C	2013/07/09	2013/07/16
21948	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul13A	2013/07/09	2013/07/16
21990	Nomura Int'l plc – Tencent Holdings Euro Put Wts Jul 2013 A	2013/07/09	2013/07/16
21998	HK Bank – Tencent European Put Warrants Jul 2013 C	2013/07/09	2013/07/16

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Code	Warrant	Last trading date	Delisted date
22076	UBS AG – Yurun Food European Warrants Jul 2013 A	2013/07/09	2013/07/16
22128	HK Bank – CC Bank European Warrants Jul 2013 C	2013/07/09	2013/07/16
22222	UBS AG – CSOP A50 ETF European Warrants Jul 2013 A	2013/07/09	2013/07/16
22223	UBS AG – CAM CSI300 European Warrants Jul 2013 A	2013/07/09	2013/07/16
22229	UBS AG – CC Bank European Warrants Jul 2013 A	2013/07/09	2013/07/16
22247	HK Bank – China Tel European Warrants Jul 2013 A	2013/07/09	2013/07/16
22250	HK Bank – CITIC Bank European Warrants Jul 2013 A	2013/07/09	2013/07/16
22281	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 D	2013/07/09	2013/07/16
22335	Daiwa Capital Mkt – Ping An European Warrants Jul 2013 A	2013/07/09	2013/07/16
22360	Credit Suisse AG – CAM CSI300 European Warrants Jul 2013 B	2013/07/09	2013/07/16
22364	Credit Suisse AG – HKEx European Put Warrants Jul 2013 B	2013/07/09	2013/07/16
22391	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 C	2013/07/09	2013/07/16
22392	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jul2013 C	2013/07/09	2013/07/16
22415	HK Bank – Minsheng Bank European Warrants Jul 2013 C	2013/07/09	2013/07/16
22418	Daiwa Capital Mkt – CSOP A50 ETF European Wts Jul 2013 B	2013/07/09	2013/07/16
22426	CC Rabobank B.A. – BYD European Warrants Jul 2013 B	2013/07/09	2013/07/16
22430	CC Rabobank B.A. – CPIC European Warrants Jul 2013 A	2013/07/09	2013/07/16
22453	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Jul13A	2013/07/09	2013/07/16
22455	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jul 13 A	2013/07/09	2013/07/16
22458	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013 B	2013/07/09	2013/07/16
22494	HK Bank – Evergrande European Warrants Jul 2013 A	2013/07/09	2013/07/16
22538	UBS AG – HKEx European Warrants Jul 2013 C	2013/07/09	2013/07/16
22539	UBS AG – ICBC European Warrants Jul 2013 B	2013/07/09	2013/07/16
22546	Credit Suisse AG – HKEx European Warrants Jul 2013 C	2013/07/09	2013/07/16
22547	Credit Suisse AG – HKEx European Warrants Jul 2013 D	2013/07/09	2013/07/16
22548	Credit Suisse AG – HKEx European Put Warrants Jul 2013 E	2013/07/09	2013/07/16
22551	CC Rabobank B.A. – CNOOC European Warrants Jul 2013 A	2013/07/09	2013/07/16
22554	CC Rabobank B.A. – GCL-Poly Energy European Wts Jul 2013 A	2013/07/09	2013/07/16
22555	CC Rabobank B.A. – Cheung Kong European Warrants Jul 2013 A	2013/07/09	2013/07/16
22557	CC Rabobank B.A. – Sinopharm European Warrants Jul 2013 A	2013/07/09	2013/07/16
22559	CC Rabobank B.A. – SPDR Gold Trust European Wts Jul 2013 A	2013/07/09	2013/07/16
22573	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 E	2013/07/09	2013/07/16
22583	UBS AG – A50 China European Warrants Jul 2013 D	2013/07/09	2013/07/16
22588	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul2013 B	2013/07/09	2013/07/16
22594	UBS AG – China Mobile European Warrants Jul 2013 A	2013/07/09	2013/07/16
22599	CC Rabobank B.A. – CHALCO European Warrants Jul 2013 A	2013/07/09	2013/07/16
22600	CC Rabobank B.A. – Galaxy Ent European Warrants Jul 2013 A	2013/07/09	2013/07/16
22603	CC Rabobank B.A. – China COSCO European Warrants Jul 2013 A	2013/07/09	2013/07/16
22607	CC Rabobank B.A. – Ch LongYuan European Warrants Jul 2013 A	2013/07/09	2013/07/16
22609	CC Rabobank B.A. – CAM CSI300 European Warrants Jul 2013 A	2013/07/09	2013/07/16
22611	Credit Suisse AG – CNBM European Warrants Jul 2013 A	2013/07/09	2013/07/16
22650	Daiwa Capital Mkt – Ping An European Put Warrants Jul 2013 B	2013/07/09	2013/07/16
22655	CC Rabobank B.A. – CITIC Pacific European Wts Jul 2013 A	2013/07/09	2013/07/16
22656	CC Rabobank B.A. – CNBM European Warrants Jul 2013 B	2013/07/09	2013/07/16
22662	Credit Suisse AG – New World Dev European Wts Jul 2013 A	2013/07/09	2013/07/16
22844	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2013 C	2013/07/09	2013/07/16
22860	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Jul 2013 A	2013/07/09	2013/07/16
22972	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jul 2013 A	2013/07/09	2013/07/16
22973	SGA Societe Generale Acceptance N.V. -Link REIT Eu Wt Jul13A	2013/07/09	2013/07/16
23135	Standard Chartered Bank – China Mobile Euro Wts Jul 2013 B	2013/07/09	2013/07/16
23545	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2013 C	2013/07/09	2013/07/16
23701	Standard Chartered Bank – Cheung Kong Euro Wts Jul 2013 A	2013/07/09	2013/07/16
24055	Credit Suisse AG – Minsheng Bank European Wts Jul 2013 C	2013/07/09	2013/07/16
24224	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 D	2013/07/09	2013/07/16
24278	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2013 B	2013/07/09	2013/07/16
24526	HK Bank – Ping An European Warrants Jul 2013 A	2013/07/09	2013/07/16
20193	HK Bank – Agricultural Bank European Warrants Jul 2013 A	2013/07/10	2013/07/17
20326	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Jul 2013 A	2013/07/10	2013/07/17
21285	HK Bank – Tencent European Warrants Jul 2013 B	2013/07/10	2013/07/17
21978	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 D	2013/07/10	2013/07/17
22549	Credit Suisse AG – China Mobile European Warrants Jul 2013 B	2013/07/10	2013/07/17
22690	UBS AG – New World European Warrants Jul 2013 B	2013/07/10	2013/07/17
22692	UBS AG – Cheung Kong European Warrants Jul 2013 A	2013/07/10	2013/07/17
22694	UBS AG – SHK Ppt European Warrants Jul 2013 A	2013/07/10	2013/07/17
22696	CC Rabobank B.A. – CITIC Sec European Warrants Jul 2013 A	2013/07/10	2013/07/17
22698	CC Rabobank B.A. – Ch Ship Cont European Warrants Jul 2013 A	2013/07/10	2013/07/17
22728	HK Bank – China Mobile European Warrants Jul 2013 A	2013/07/10	2013/07/17
23189	BOCI Asia Ltd. – China Mobile European Warrants Jul 2013 B	2013/07/10	2013/07/17
24719	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 E	2013/07/10	2013/07/17
21570	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jul 13 A	2013/07/11	2013/07/18
22717	J P Morgan SP BV – A50 China European Warrants Jul 2013 B	2013/07/11	2013/07/18
22747	UBS AG – BYD European Warrants Jul 2013 B	2013/07/11	2013/07/18
22748	UBS AG – Wynn Macau European Warrants Jul 2013 A	2013/07/11	2013/07/18
22811	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 13 A	2013/07/11	2013/07/18
24184	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul2013C	2013/07/11	2013/07/18
15427	Daiwa Capital Mkt – Sinopec Corp European Warrants Jul 2013	2013/07/12	2013/07/19
22725	Standard Chartered Bank – A50 China Euro Warrants Jul 2013 B	2013/07/15	2013/07/22
19598	Macquarie Bank Ltd. – Bank of EA European Wts Jul 2013 A	2013/07/16	2013/07/23
19729	Goldman Sachs SP (Asia) – China Mengniu European Wt Jul 13 A	2013/07/16	2013/07/23
19790	UBS AG – China Life European Warrants Jul 2013 A	2013/07/16	2013/07/23
19825	Goldman Sachs SP (Asia) – Henganintl European Wts Jul 2013 A	2013/07/16	2013/07/23
20343	HK Bank – China Life European Warrants Jul 2013 A	2013/07/16	2013/07/23
20376	Goldman Sachs SP (Asia) – JIANC European Warrants Jul 2013 A	2013/07/16	2013/07/23
20377	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2013 A	2013/07/16	2013/07/23
20421	UBS AG – BOCL European Warrants Jul 2013 A	2013/07/16	2013/07/23
20456	Daiwa Capital Mkt – China Life European Warrants Jul 2013 B	2013/07/16	2013/07/23
20653	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2013 A	2013/07/16	2013/07/23

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20677	J P Morgan SP BV – China Life European Warrants Jul 2013 B	2013/07/16	2013/07/23
20738	Standard Chartered Bank-China Life European Wts Jul 2013 A	2013/07/16	2013/07/23
20749	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2013B	2013/07/16	2013/07/23
20814	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2013 B	2013/07/16	2013/07/23
20913	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 A	2013/07/16	2013/07/23
21040	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21058	Goldman Sachs SP (Asia) – Swrie Pacific A Euro Wt Jul 2013 A	2013/07/16	2013/07/23
21109	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Jul 2013 B	2013/07/16	2013/07/23
21118	HK Bank – Tencent European Warrants Jul 2013 A	2013/07/16	2013/07/23
21146	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21256	Goldman Sachs SP (Asia) – CQRC Bank European Wts Jul 2013 A	2013/07/16	2013/07/23
21287	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jul 2013 B	2013/07/16	2013/07/23
21299	CC Rabobank B.A. – Geely Auto European Warrants Jul 2013 A	2013/07/16	2013/07/23
21484	UBS AG – Link REIT European Warrants Jul 2013 D	2013/07/16	2013/07/23
21495	CC Rabobank B.A. – Sands China European Warrants Jul 2013 A	2013/07/16	2013/07/23
21555	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21623	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21641	Credit Suisse AG – Ping An European Warrants Jul 2013 A	2013/07/16	2013/07/23
21671	UBS AG – China Life European Put Warrants Jul 2013 B	2013/07/16	2013/07/23
21689	HK Bank – Sands China European Warrants Jul 2013 A	2013/07/16	2013/07/23
21691	HK Bank – Minsheng Bank European Warrants Jul 2013 B	2013/07/16	2013/07/23
21773	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Jul 2013 A	2013/07/16	2013/07/23
21778	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2013 C	2013/07/16	2013/07/23
21828	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21874	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jul 2013 A	2013/07/16	2013/07/23
21898	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013 B	2013/07/16	2013/07/23
21957	Credit Suisse AG – China Mobile European Warrants Jul 2013 A	2013/07/16	2013/07/23
22110	Credit Suisse AG – ICBC European Warrants Jul 2013 B	2013/07/16	2013/07/23
22121	Credit Suisse AG – China Life European Warrants Jul 2013 A	2013/07/16	2013/07/23
22122	Credit Suisse AG – Link REIT European Warrants Jul 2013 A	2013/07/16	2013/07/23
22129	HK Bank – China Shenhua European Warrants Jul 2013 A	2013/07/16	2013/07/23
22144	Credit Suisse AG – China Shenhua European Wts Jul 2013 A	2013/07/16	2013/07/23
22151	Macquarie Bank Ltd. – Link REIT European Warrants Jul 2013 A	2013/07/16	2013/07/23
22173	UBS AG – China Shenhua European Warrants Jul 2013 A	2013/07/16	2013/07/23
22329	BOCI Asia Ltd. – China Life European Put Warrants Jul 2013 B	2013/07/16	2013/07/23
22362	Credit Suisse AG – Ping An European Warrants Jul 2013 C	2013/07/16	2013/07/23
22561	CC Rabobank B.A. – Skyworth Digital European Wts Jul 2013 A	2013/07/16	2013/07/23
22596	UBS AG – HKEx European Warrants Jul 2013 D	2013/07/16	2013/07/23
22605	CC Rabobank B.A. – Shougang Res European Warrants Jul 2013 A	2013/07/16	2013/07/23
22693	UBS AG – China Mobile European Warrants Jul 2013 B	2013/07/16	2013/07/23
22700	CC Rabobank B.A. – Xinyi Glass European Warrants Jul 2013 A	2013/07/16	2013/07/23
22711	BOCI Asia Ltd. – CPIC European Warrants Jul 2013 A	2013/07/16	2013/07/23
22760	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Jul13 B	2013/07/16	2013/07/23
22762	HK Bank – China Mobile European Warrants Jul 2013 B	2013/07/16	2013/07/23
22770	Daiwa Capital Mkt – A50 China European Warrants Jul 2013 B	2013/07/16	2013/07/23
22783	CC Rabobank B.A. – Sino Land European Warrants Jul 2013 A	2013/07/16	2013/07/23
22785	CC Rabobank B.A. – Chinares Cement European Wts Jul 2013 A	2013/07/16	2013/07/23
22787	UBS AG – Ping An European Warrants Jul 2013 B	2013/07/16	2013/07/23
22792	Credit Suisse AG – A50 China European Warrants Jul 2013 B	2013/07/16	2013/07/23
22813	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jul 13B	2013/07/16	2013/07/23
22900	UBS AG – Ping An European Put Warrants Jul 2013 C	2013/07/16	2013/07/23
23172	Credit Suisse AG – CC Bank European Warrants Jul 2013 C	2013/07/16	2013/07/23
23272	CC Rabobank B.A. – HSBC European Warrants Jul 2013 A	2013/07/16	2013/07/23
23276	CC Rabobank B.A. – Minsheng Bank European Wts Jul 2013 A	2013/07/16	2013/07/23
23317	Credit Suisse AG – HSBC European Put Warrants Jul 2013 C	2013/07/16	2013/07/23
23325	UBS AG – HSBC European Warrants Jul 2013 B	2013/07/16	2013/07/23
23666	Credit Suisse AG – Lumena Newmat European Wts Jul 2013 A	2013/07/16	2013/07/23
23691	Daiwa Capital Mkt – CCB European Warrants Jul 2013 B	2013/07/16	2013/07/23
23779	J P Morgan SP BV – CNOOC European Warrants Jul 2013 A	2013/07/16	2013/07/23
23888	UBS AG – Hutchison European Warrants Jul 2013 B	2013/07/16	2013/07/23
23973	Standard Chartered Bank – CNOOC European Warrants Jul 2013 A	2013/07/16	2013/07/23
23990	Macquarie Bank Ltd. – Chinares Cement European Wt Jul 2013 A	2013/07/16	2013/07/23
24007	Standard Chartered Bank – CAM CSI300 European Wts Jul 2013 A	2013/07/16	2013/07/23
24186	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul2013 B	2013/07/16	2013/07/23
24502	Credit Suisse AG – Ping An European Warrants Jul 2013 G	2013/07/16	2013/07/23
25197	Credit Suisse AG – Hutchison European Warrants Jul 2013 B	2013/07/16	2013/07/23
25332	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Jul 2013 A	2013/07/16	2013/07/23
13504	J P Morgan SP BV – Angang Steel European Warrants Jul 2013	2013/07/17	2013/07/24
16652	Macquarie Bank Ltd. – Angang Steel European Wts Jul 2013	2013/07/17	2013/07/24
19152	Goldman Sachs SP (Asia) – Li & Fung European Wts Jul 2013 A	2013/07/17	2013/07/24
20374	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Jul 13 A	2013/07/17	2013/07/24
21653	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2013 A	2013/07/17	2013/07/24
21944	Standard Chartered Bank – China Mobile Euro Wts Jul 2013 A	2013/07/17	2013/07/24
22835	HK Bank – HKEx European Warrants Jul 2013 B	2013/07/17	2013/07/24
22864	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2013 B	2013/07/17	2013/07/24
22878	J P Morgan SP BV – CM Bank European Warrants Jul 2013 A	2013/07/17	2013/07/24
23245	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2013 C	2013/07/17	2013/07/24
23246	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2013 D	2013/07/17	2013/07/24
24304	Standard Chartered Bank – HSBC European Put Wts Jul 2013 B	2013/07/17	2013/07/24
20473	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jul 2013 A	2013/07/18	2013/07/25
22367	Bank of East Asia – CAM CSI300 European Warrants Jul 2013 A	2013/07/18	2013/07/25
22651	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul2013 C	2013/07/18	2013/07/25
22668	BOCI Asia Ltd. – CAM CSI300 European Warrants Jul 2013 B	2013/07/18	2013/07/25
22856	Credit Suisse AG – SHK Ppt European Warrants Jul 2013 A	2013/07/18	2013/07/25
22869	Macquarie Bank Ltd. – Lumena Newmat European Wts Jul 2013 A	2013/07/18	2013/07/25
22870	HK Bank – Cheung Kong European Warrants Jul 2013 A	2013/07/18	2013/07/25
22901	Macquarie Bank Ltd. – CQRC Bank European Warrants Jul 2013 A	2013/07/18	2013/07/25

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Code	Warrant	Last trading date	Delisted date
22904	Daiwa Capital Mkt – SHK Ppt European Put Warrants Jul 2013 A	2013/07/18	2013/07/25
22944	CC Rabobank B.A. – Lumena Newmat European Wts Jul 2013 A	2013/07/18	2013/07/25
23239	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2013 B	2013/07/18	2013/07/25
23394	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Jul 13 B	2013/07/18	2013/07/25
23624	UBS AG – Geely Auto European Warrants Jul 2013 A	2013/07/18	2013/07/25
23661	CC Rabobank B.A. – Geely Auto European Warrants Jul 2013 B	2013/07/18	2013/07/25
23677	UBS AG – China Mobile European Warrants Jul 2013 D	2013/07/18	2013/07/25
24213	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2013 D	2013/07/18	2013/07/25
15149	Barclays Bank plc – HKEx European Warrants Jul 2013	2013/07/19	2013/07/26
17387	UBS AG – A50 China European Warrants Jul 2013 A	2013/07/19	2013/07/26
18126	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2013 A	2013/07/19	2013/07/26
18212	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2013 A	2013/07/19	2013/07/26
22410	HK Bank – HKEx European Warrants Jul 2013 A	2013/07/19	2013/07/26
22897	UBS AG – CITIC Sec European Warrants Jul 2013 B	2013/07/19	2013/07/26
23084	UBS AG – AIA European Warrants Jul 2013 A	2013/07/19	2013/07/26
23180	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Jul 13 B	2013/07/19	2013/07/26
23183	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jul 2013 A	2013/07/19	2013/07/26
23218	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jul 2013 C	2013/07/19	2013/07/26
23344	HK Bank – A50 China European Warrants Jul 2013 B	2013/07/19	2013/07/26
23434	UBS AG – CPIC European Warrants Jul 2013 C	2013/07/19	2013/07/26
23516	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2013 D	2013/07/19	2013/07/26
23567	CC Rabobank B.A. – Evergrande European Warrants Jul 2013 B	2013/07/19	2013/07/26
23573	UBS AG – Evergrande European Warrants Jul 2013 B	2013/07/19	2013/07/26
23845	Daiwa Capital Mkt – China Mobile European Wts Jul 2013 A	2013/07/19	2013/07/26
24074	Daiwa Capital Mkt – China Mobile European Wts Jul 2013 C	2013/07/19	2013/07/26
15872	Nomura Int'l plc – Nine Dragons European Warrants Jul 2013	2013/07/22	2013/07/29
16868	CC Rabobank B.A. – Nine Dragons European Warrants Jul 2013	2013/07/22	2013/07/29
16917	HK Bank – BOC HK European Warrants Jul 2013	2013/07/22	2013/07/29
17191	Standard Chartered Bank – SPDR Gold Trust Euro Wt Jul 2013 A	2013/07/22	2013/07/29
20993	UBS AG – CPIC European Warrants Jul 2013 A	2013/07/22	2013/07/29
21260	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2013 A	2013/07/22	2013/07/29
21580	UBS AG – Agricultural Bank European Warrants Jul 2013 A	2013/07/22	2013/07/29
21695	Standard Chartered Bank – Agricultural Bk Eur Wts Jul 2013 A	2013/07/22	2013/07/29
23083	UBS AG – GCL-Poly Energy European Warrants Jul 2013 A	2013/07/22	2013/07/29
23103	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2013 C	2013/07/22	2013/07/29
23631	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jul 2013 E	2013/07/22	2013/07/29
23660	CC Rabobank B.A. – GCL-Poly Energy European Wts Jul 2013 B	2013/07/22	2013/07/29
23861	Daiwa Capital Mkt – CCB European Warrants Jul 2013 C	2013/07/22	2013/07/29
24145	UBS AG – Galaxy Ent European Warrants Jul 2013 B	2013/07/22	2013/07/29
24178	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Jul 2013 A	2013/07/22	2013/07/29
24350	J P Morgan SP BV – Geely Auto European Warrants Jul 2013 A	2013/07/22	2013/07/29
24357	Standard Chartered Bank – Geely Auto European Wts Jul 2013 A	2013/07/22	2013/07/29
24360	UBS AG – ICBC European Warrants Jul 2013 C	2013/07/22	2013/07/29
11045	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2013	2013/07/23	2013/07/30
11609	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013	2013/07/23	2013/07/30
11616	UBS AG – Hutchison European Warrants Jul 2013	2013/07/23	2013/07/30
15077	Barclays Bank plc – China Life European Warrants Jul 2013	2013/07/23	2013/07/30
16639	Citigroup Global Mkt H Inc. – Nine Dragons Euro Wts Jul 2013	2013/07/23	2013/07/30
17907	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2013 A	2013/07/23	2013/07/30
18092	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 A	2013/07/23	2013/07/30
18367	UBS AG – HKEx European Warrants Jul 2013 A	2013/07/23	2013/07/30
18629	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 13 A	2013/07/23	2013/07/30
19458	Goldman Sachs SP (Asia) – BEA European Warrants Jul 2013 A	2013/07/23	2013/07/30
19459	Goldman Sachs SP (Asia) – Dongfang Elec Euro Wts Jul 2013 A	2013/07/23	2013/07/30
19942	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Jul 2013 A	2013/07/23	2013/07/30
20422	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Jul 13A	2013/07/23	2013/07/30
20581	UBS AG – Tencent Holdings European Warrants Jul 2013 A	2013/07/23	2013/07/30
20836	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wts Jul 2013A	2013/07/23	2013/07/30
20978	UBS AG – New World European Warrants Jul 2013 A	2013/07/23	2013/07/30
20981	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jul 2013 A	2013/07/23	2013/07/30
21001	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013 A	2013/07/23	2013/07/30
21100	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2013 A	2013/07/23	2013/07/30
21101	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jul 2013 A	2013/07/23	2013/07/30
21150	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jul 13 B	2013/07/23	2013/07/30
21172	Goldman Sachs SP (Asia) – Chi Resources Euro Wt Jul 2013 A	2013/07/23	2013/07/30
21174	Credit Suisse AG – Agricultural Bank European Wts Jul 2013 A	2013/07/23	2013/07/30
21250	Goldman Sachs SP (Asia) – CPIC European Warrants Jul 2013 A	2013/07/23	2013/07/30
21403	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2013 B	2013/07/23	2013/07/30
21699	CC Rabobank B.A. – Weichai Power European Wts Jul 2013 A	2013/07/23	2013/07/30
21701	CC Rabobank B.A. – CSR Times European Warrants Jul 2013 A	2013/07/23	2013/07/30
21715	Credit Suisse AG – CC Bank European Warrants Jul 2013 A	2013/07/23	2013/07/30
21777	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jul 2013 B	2013/07/23	2013/07/30
21900	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2013 B	2013/07/23	2013/07/30
21903	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Jul 2013 A	2013/07/23	2013/07/30
21953	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2013 D	2013/07/23	2013/07/30
22029	Citigroup Global Mkt H Inc. – Li & Fung Euro Wt Jul 2013 A	2013/07/23	2013/07/30
22031	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2013 B	2013/07/23	2013/07/30
22043	UBS AG – Esprit European Warrants Jul 2013 A	2013/07/23	2013/07/30
22044	UBS AG – Ping An European Warrants Jul 2013 A	2013/07/23	2013/07/30
22118	Credit Suisse AG – Agricultural Bank European Wts Jul 2013 B	2013/07/23	2013/07/30
22148	Credit Suisse AG – Ping An European Put Warrants Jul 2013 B	2013/07/23	2013/07/30
22170	UBS AG – CITIC Sec European Warrants Jul 2013 A	2013/07/23	2013/07/30
22284	Credit Suisse AG – CAM CSI300 European Warrants Jul 2013 A	2013/07/23	2013/07/30
22321	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jul 2013 A	2013/07/23	2013/07/30
22330	BOCI Asia Ltd. – China Life European Warrants Jul 2013 C	2013/07/23	2013/07/30
22333	UBS AG – China Life European Warrants Jul 2013 C	2013/07/23	2013/07/30
22387	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Jul2013A	2013/07/23	2013/07/30

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Code	Warrant	Last trading date	Delisted date
22388	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2013 B	2013/07/23	2013/07/30
22437	Credit Suisse AG – Ping An European Warrants Jul 2013 D	2013/07/23	2013/07/30
22438	Credit Suisse AG – Ping An European Put Warrants Jul 2013 E	2013/07/23	2013/07/30
22512	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jul 2013 B	2013/07/23	2013/07/30
22540	UBS AG – CSOP A50 ETF European Warrants Jul 2013 B	2013/07/23	2013/07/30
22582	UBS AG – A50 China European Warrants Jul 2013 C	2013/07/23	2013/07/30
22627	HK Bank – SHK Ppt European Warrants Jul 2013 B	2013/07/23	2013/07/30
22663	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jul13A	2013/07/23	2013/07/30
22666	BOCI Asia Ltd. – CSOP A50 ETFEuropean Warrants Jul 2013 B	2013/07/23	2013/07/30
22841	BOCI Asia Ltd. – Ping An European Warrants Jul 2013 A	2013/07/23	2013/07/30
22845	Goldman Sachs SP (Asia) – HSBC European Put Wts Jul 2013 D	2013/07/23	2013/07/30
22848	Credit Suisse AG – HKEx European Warrants Jul 2013 F	2013/07/23	2013/07/30
22850	Credit Suisse AG – Minsheng Bank European Wts Jul 2013 A	2013/07/23	2013/07/30
22899	UBS AG – CAM CSI300 European Put Warrants Jul 2013 B	2013/07/23	2013/07/30
22928	HK Bank – Tencent European Warrants Jul 2013 D	2013/07/23	2013/07/30
22969	SGA Societe Generale Acceptance N.V. – CRCH Eu Wt Jul 2013 A	2013/07/23	2013/07/30
22974	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Jul13A	2013/07/23	2013/07/30
22975	HK Bank – ICBC European Warrants Jul 2013 C	2013/07/23	2013/07/30
22981	Macquarie Bank Ltd. – Hysan Dev European Warrants Jul 2013 A	2013/07/23	2013/07/30
22983	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jul2013 B	2013/07/23	2013/07/30
22985	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Jul 2013 A	2013/07/23	2013/07/30
23005	Credit Suisse AG – HSBC European Wts Jul 2013 B	2013/07/23	2013/07/30
23007	Credit Suisse AG – CNOOC European Warrants Jul 2013 B	2013/07/23	2013/07/30
23077	Daiwa Capital Mkt – Hutchison European Warrants Jul 2013 A	2013/07/23	2013/07/30
23081	UBS AG – Minsheng Bank European Warrants Jul 2013 A	2013/07/23	2013/07/30
23082	UBS AG – CITIC Bank European Warrants Jul 2013 B	2013/07/23	2013/07/30
23096	Credit Suisse AG – New World Dev European Wts Jul 2013 B	2013/07/23	2013/07/30
23098	Credit Suisse AG – Minsheng Bank European Wts Jul 2013 B	2013/07/23	2013/07/30
23101	Credit Suisse AG – SHK Ppt European Put Warrants Jul 2013 B	2013/07/23	2013/07/30
23105	CC Rabobank B.A. – KECL European Warrants Jul 2013 A	2013/07/23	2013/07/30
23110	CC Rabobank B.A. – GAC European Warrants Jul 2013 A	2013/07/23	2013/07/30
23119	HK Bank – Minsheng Bank European Warrants Jul 2013 D	2013/07/23	2013/07/30
23149	BOCI Asia Ltd. – Ping An European Put Warrants Jul 2013 B	2013/07/23	2013/07/30
23228	HK Bank – CITIC Sec European Warrants Jul 2013 A	2013/07/23	2013/07/30
23267	CC Rabobank B.A. – Sands China European Warrants Jul 2013 B	2013/07/23	2013/07/30
23320	CC Rabobank B.A. – Yuexiu Property Euro Warrants Jul 2013 A	2013/07/23	2013/07/30
23379	BOCI Asia Ltd. – HSBC European Warrants Jul 2013 B	2013/07/23	2013/07/30
23539	Credit Suisse AG – Ping An European Warrants Jul 2013 F	2013/07/23	2013/07/30
23595	CC Rabobank B.A. – China Tel European Warrants Jul 2013 B	2013/07/23	2013/07/30
23626	UBS AG – Zijin Mining European Warrants Jul 2013 B	2013/07/23	2013/07/30
23722	Credit Suisse AG – PICC European Warrants Jul 2013 A	2013/07/23	2013/07/30
23724	Credit Suisse AG – GCL-Poly Energy European Wts Jul 2013 A	2013/07/23	2013/07/30
23798	Credit Suisse AG – China Tel European Warrants Jul 2013 A	2013/07/23	2013/07/30
23907	BOCI Asia Ltd. – ICBC European Warrants Jul 2013 A	2013/07/23	2013/07/30
23936	UBS AG – China Mobile European Warrants Jul 2013 E	2013/07/23	2013/07/30
23972	Standard Chartered Bank – CITIC Pacific Euro Wts Jul 2013 A	2013/07/23	2013/07/30
24050	Credit Suisse AG – China Mobile European Warrants Jul 2013 C	2013/07/23	2013/07/30
24052	Credit Suisse AG – China Mobile European Warrants Jul 2013 D	2013/07/23	2013/07/30
24180	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul2013 E	2013/07/23	2013/07/30
24183	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul2013B	2013/07/23	2013/07/30
24189	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jul2013 D	2013/07/23	2013/07/30
24239	HK Bank – CC Bank European Warrants Jul 2013 D	2013/07/23	2013/07/30
24268	UBS AG – China Tel European Warrants Jul 2013 A	2013/07/23	2013/07/30
24274	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jul 2013 A	2013/07/23	2013/07/30
24287	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Jul13 B	2013/07/23	2013/07/30
24288	SGA Societe Generale Acceptance N.V. -Greatwall Eu Wt Jul13A	2013/07/23	2013/07/30
24305	Standard Chartered Bank – CNOOC European Warrants Jul 2013 B	2013/07/23	2013/07/30
24359	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 13 D	2013/07/23	2013/07/30
24373	Credit Suisse AG – Geely Auto European Warrants Jul 2013 A	2013/07/23	2013/07/30
24378	Credit Suisse AG – A50 China European Warrants Jul 2013 D	2013/07/23	2013/07/30
24725	UBS AG – China Mobile European Warrants Jul 2013 F	2013/07/23	2013/07/30
24847	Credit Suisse AG – Wharf European Warrants Jul 2013 A	2013/07/23	2013/07/30
24939	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Jul 2013 B	2013/07/23	2013/07/30
25046	Standard Chartered Bank – Ping An Euro Put Wts Jul 2013 B	2013/07/23	2013/07/30
25646	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2013 C	2013/07/23	2013/07/30
25829	Credit Suisse AG – HSBC European Put Warrants Jul 2013 D	2013/07/23	2013/07/30
25881	Citigroup Global Mkt H Inc. – Sands China Euro Wt Jul 2013 C	2013/07/23	2013/07/30
12732	HK Bank – Angang Steel European Warrants Jul 2013	2013/07/24	2013/07/31
13337	HK Bank – Evergrande European Warrants Jul 2013	2013/07/24	2013/07/31
18060	J P Morgan SP BV – BOC HK European Warrants Jul 2013 A	2013/07/24	2013/07/31
18511	CC Rabobank B.A. – Li & Fung European Warrants Jul 2013 A	2013/07/24	2013/07/31
18967	Standard Chartered Bank – Zijin Mining Euro Wts Jul 2013 A	2013/07/24	2013/07/31
19652	Daiwa Capital Mkt – HSI European Warrants Jul 2013 A	2013/07/24	2013/07/31
21632	BOCI Asia Ltd. – HSI European Put Warrants Jul 2013 A	2013/07/24	2013/07/31
21817	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2013 A	2013/07/24	2013/07/31
21827	BOCI Asia Ltd. – HSI European Put Warrants Jul 2013 B	2013/07/24	2013/07/31
21879	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2013 A	2013/07/24	2013/07/31
22138	Credit Suisse AG – HSI European Put Warrants Jul 2013 A	2013/07/24	2013/07/31
22139	Credit Suisse AG – HSI European Put Warrants Jul 2013 B	2013/07/24	2013/07/31
22140	Credit Suisse AG – HSI European Put Warrants Jul 2013 C	2013/07/24	2013/07/31
22316	Citigroup Global Mkt H Inc. – HSI European Put Wt Jul 2013 A	2013/07/24	2013/07/31
22317	Citigroup Global Mkt H Inc. – HSI European Wts Jul 2013 B	2013/07/24	2013/07/31
22324	J P Morgan SP BV – HSI European Put Warrants Jul 2013 A	2013/07/24	2013/07/31
22339	UBS AG – HSI European Put Warrants Jul 2013 A	2013/07/24	2013/07/31
22379	BOCI Asia Ltd. – HSI European Warrants Jul 2013 C	2013/07/24	2013/07/31
22380	Macquarie Bank Ltd. – Xinyi Glass European Wts Jul 2013 A	2013/07/24	2013/07/31
22491	HK Bank – HSI European Put Warrants Jul 2013 A	2013/07/24	2013/07/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22530	Standard Chartered Bank – HSI European Put Wts Jul 2013 A	2013/07/24	2013/07/31
22550	CC Rabobank B.A. – Ping An European Warrants Jul 2013 B	2013/07/24	2013/07/31
22560	CC Rabobank B.A. – China Mer Hold European Wts Jul 2013 A	2013/07/24	2013/07/31
22819	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jul 13 A	2013/07/24	2013/07/31
22931	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2013 A	2013/07/24	2013/07/31
23062	J P Morgan SP BV – HSI European Put Warrants Jul 2013 B	2013/07/24	2013/07/31
23124	Macquarie Bank Ltd. – Ch Merchants European Wts Jul 2013 A	2013/07/24	2013/07/31
23143	UBS AG – HSBC European Put Warrants Jul 2013 A	2013/07/24	2013/07/31
23160	UBS AG – China Mobile European Warrants Jul 2013 C	2013/07/24	2013/07/31
23162	UBS AG – Lenovo European Warrants Jul 2013 A	2013/07/24	2013/07/31
23181	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013 C	2013/07/24	2013/07/31
23329	UBS AG – HSI European Put Warrants Jul 2013 B	2013/07/24	2013/07/31
23352	BOCI Asia Ltd. – HSI European Put Warrants Jul 2013 D	2013/07/24	2013/07/31
23361	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jul2013B	2013/07/24	2013/07/31
23382	Goldman Sachs SP (Asia) – Henderson Land Euro Wts Jul 2013 A	2013/07/24	2013/07/31
23693	UBS AG – HSI European Warrants Jul 2013 C	2013/07/24	2013/07/31
23770	HK Bank – HSI European Put Warrants Jul 2013 B	2013/07/24	2013/07/31
23785	Standard Chartered Bank – HSI European Put Wts Jul 2013 B	2013/07/24	2013/07/31
23787	UBS AG – HSI European Warrants Jul 2013 D	2013/07/24	2013/07/31
23908	HK Bank – HSI European Put Warrants Jul 2013 C	2013/07/24	2013/07/31
24095	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2013 A	2013/07/24	2013/07/31
24098	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2013 B	2013/07/24	2013/07/31
24125	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2013 B	2013/07/24	2013/07/31
24481	Standard Chartered Bank – China Mobile Eur Put Wt Jul 2013 C	2013/07/24	2013/07/31
24943	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Jul 2013 B	2013/07/24	2013/07/31
24965	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jul13 C	2013/07/24	2013/07/31
25042	Goldman Sachs SP (Asia) – HSI European Put Wts Jul 2013 C	2013/07/24	2013/07/31
25799	Standard Chartered Bank – HSI European Put Wts Jul 2013 C	2013/07/24	2013/07/31
17438	Nomura Int'l plc – A50 China European Warrants Jul 2013 A	2013/07/25	2013/08/01
18080	Credit Suisse AG – HKEx European Warrants Jul 2013 A	2013/07/25	2013/08/01
19908	Standard Chartered Bank – MTRC European Warrants Jul 2013 A	2013/07/25	2013/08/01
20496	Nomura Int'l plc -Jiangxi Copper European Put Wts Jul 2013 A	2013/07/25	2013/08/01
21273	Nomura Int'l plc – New World Dev European Wts Jul 2013 A	2013/07/25	2013/08/01
21629	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2013 B	2013/07/25	2013/08/01
22058	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul 2013B	2013/07/25	2013/08/01
22066	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Jul13A	2013/07/25	2013/08/01
89005	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jul13A	2013/07/25	2013/08/01
89006	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13A	2013/07/25	2013/08/01
22681	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul13B	2013/07/25	2013/08/01
22737	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul2013 D	2013/07/25	2013/08/01
22831	Standard Chartered Bank – Galaxy Ent Euro Put Wts Jul 2013 A	2013/07/25	2013/08/01
22883	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2013 C	2013/07/25	2013/08/01
22918	Standard Chartered Bank – HSBC European Warrants Jul 2013 A	2013/07/25	2013/08/01
22924	UBS AG – PICC European Warrants Jul 2013 A	2013/07/25	2013/08/01
10173	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Jul13 A	2013/07/25	2013/08/01
10174	SGA Societe Generale Acceptance N.V-USD/YEN Eu Put Wt Jul13B	2013/07/25	2013/08/01
23130	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jul13B	2013/07/25	2013/08/01
23131	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013 C	2013/07/25	2013/08/01
23151	Macquarie Bank Ltd. – Shougang Res European Wts Jul 2013 A	2013/07/25	2013/08/01
23171	Credit Suisse AG – BOC HK European Warrants Jul 2013 A	2013/07/25	2013/08/01
23173	Credit Suisse AG – CC Bank European Put Warrants Jul 2013 D	2013/07/25	2013/08/01
24093	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2013 E	2013/07/25	2013/08/01
24583	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 D	2013/07/25	2013/08/01
12399	Standard Chartered Bank – Evergrande European Wts Aug 2013	2013/07/26	2013/08/02
14918	Standard Chartered Bank – HKEx European Warrants Aug 2013	2013/07/26	2013/08/02
17224	Daiwa Capital Mkt – A50 China European Warrants Aug 2013 A	2013/07/26	2013/08/02
18166	BOCI Asia Ltd. – HKEx European Warrants Aug 2013 A	2013/07/26	2013/08/02
20152	Standard Chartered Bank – Geely Auto European Wts Aug 2013 A	2013/07/26	2013/08/02
20255	Standard Chartered Bank – AIA European Warrants Aug 2013 A	2013/07/26	2013/08/02
20292	Daiwa Capital Mkt – ICBC European Warrants Aug 2013 A	2013/07/26	2013/08/02
21167	J P Morgan SP BV – Zijin Mining European Warrants Aug 2013 B	2013/07/26	2013/08/02
21356	J P Morgan SP BV – Evergrande European Warrants Aug 2013 A	2013/07/26	2013/08/02
21367	Standard Chartered Bank – KECL European Warrants Aug 2013 A	2013/07/26	2013/08/02
22012	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Aug 2013 A	2013/07/26	2013/08/02
22039	Credit Suisse AG – Sinopec Corp European Warrants Aug 2013 A	2013/07/26	2013/08/02
22086	Goldman Sachs SP (Asia) – PICC European Warrants Aug 2013 A	2013/07/26	2013/08/02
22164	UBS AG – Sinopec Corp European Warrants Aug 2013 A	2013/07/26	2013/08/02
22188	CC Rabobank B.A. – BOCL European Warrants Aug 2013 A	2013/07/26	2013/08/02
22296	J P Morgan SP BV – BYD European Warrants Aug 2013 A	2013/07/26	2013/08/02
22334	Daiwa Capital Mkt – China Life European Warrants Aug 2013 A	2013/07/26	2013/08/02
22345	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2013 A	2013/07/26	2013/08/02
22347	J P Morgan SP BV – ICBC European Warrants Aug 2013 A	2013/07/26	2013/08/02
22351	J P Morgan SP BV – CPIC European Warrants Aug 2013 A	2013/07/26	2013/08/02
22465	Goldman Sachs SP (Asia) – CC Bank European Wts Aug 2013 A	2013/07/26	2013/08/02
22475	J P Morgan SP BV – CITIC Sec European Warrants Aug 2013 A	2013/07/26	2013/08/02
22476	J P Morgan SP BV – CNBM European Warrants Aug 2013 A	2013/07/26	2013/08/02
22478	J P Morgan SP BV – China Shenhua European Wts Aug 2013 A	2013/07/26	2013/08/02
22479	J P Morgan SP BV – Skyworth Digital European Wts Aug 2013 A	2013/07/26	2013/08/02
22639	Standard Chartered Bank – CNBM European Warrants Aug 2013 A	2013/07/26	2013/08/02
22718	J P Morgan SP BV – Hutchison European Warrants Aug 2013 A	2013/07/26	2013/08/02
22721	J P Morgan SP BV – Chinares Cement Euro Warrants Aug 2013 A	2013/07/26	2013/08/02
22723	J P Morgan SP BV – BYD European Warrants Aug 2013 B	2013/07/26	2013/08/02
22756	J P Morgan SP BV – PICC European Warrants Aug 2013 A	2013/07/26	2013/08/02
22871	J P Morgan SP BV – SHK Ppt European Warrants Aug 2013 A	2013/07/26	2013/08/02
22907	J P Morgan SP BV – China Mob European Wts Aug 2013 A	2013/07/26	2013/08/02
22917	Standard Chartered Bank – China Mobile Euro Wts Aug 2013 B	2013/07/26	2013/08/02
22930	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Aug 2013 B	2013/07/26	2013/08/02

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22934	Daiwa Capital Mkt – ICBC European Put Warrants Aug 2013 B	2013/07/26	2013/08/02
22960	J P Morgan SP BV – Swrie Pacific A European Wts Aug 2013 A	2013/07/26	2013/08/02
22962	J P Morgan SP BV – CPIC European Warrants Aug 2013 B	2013/07/26	2013/08/02
22963	J P Morgan SP BV – China COSCO European Warrants Aug 2013 A	2013/07/26	2013/08/02
22965	J P Morgan SP BV – CNOOC European Warrants Aug 2013 A	2013/07/26	2013/08/02
23002	J P Morgan SP BV – Henderson Land Dev European Wt Aug 2013 A	2013/07/26	2013/08/02
23050	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Aug 2013 A	2013/07/26	2013/08/02
23070	J P Morgan SP BV – China BlueChem European Wts Aug 2013 A	2013/07/26	2013/08/02
23128	J P Morgan SP BV – Tencent European Warrants Aug 2013 A	2013/07/26	2013/08/02
23148	J P Morgan SP BV – HS Bank European Warrants Aug 2013 A	2013/07/26	2013/08/02
23191	J P Morgan SP BV – Huaneng Power European Wts Aug 2013 A	2013/07/26	2013/08/02
23192	J P Morgan SP BV – China Mob European Wts Aug 2013 B	2013/07/26	2013/08/02
23200	HK Bank – China Mobile European Warrants Aug 2013 A	2013/07/26	2013/08/02
23556	J P Morgan SP BV – Galaxy Ent European Warrants Aug 2013 A	2013/07/26	2013/08/02
23558	J P Morgan SP BV – Minsheng Bank European Wts Aug 2013 A	2013/07/26	2013/08/02
23934	Daiwa Capital Mkt – ICBC European Warrants Aug 2013 C	2013/07/26	2013/08/02
23949	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2013 A	2013/07/26	2013/08/02
23994	J P Morgan SP BV – ICBC European Warrants Aug 2013 B	2013/07/26	2013/08/02
24042	Daiwa Capital Mkt – Hutchison European Warrants Aug 2013 A	2013/07/26	2013/08/02
24102	CC Rabobank B.A. – A50 China European Warrants Aug 2013 A	2013/07/26	2013/08/02
12863	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Aug 2013	2013/07/29	2013/08/05
13485	Macquarie Bank Ltd. – CSR European Warrants Aug 2013	2013/07/29	2013/08/05
13792	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2013	2013/07/29	2013/08/05
16171	BNP Paribas Arbit Issu B.V. – Ch Oilfield Euro Wts Aug 2013	2013/07/29	2013/08/05
16401	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Aug 2013	2013/07/29	2013/08/05
16617	Macquarie Bank Ltd. – BOCHK European Warrants Aug 2013	2013/07/29	2013/08/05
17505	Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2013 A	2013/07/29	2013/08/05
17919	Standard Chartered Bank – Galaxy Ent European Wts Aug 2013 A	2013/07/29	2013/08/05
17978	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2013 A	2013/07/29	2013/08/05
18010	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wts Aug 2013A	2013/07/29	2013/08/05
18169	Macquarie Bank Ltd. – HKEx European Warrants Aug 2013 A	2013/07/29	2013/08/05
18194	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Aug 2013 A	2013/07/29	2013/08/05
19068	Macquarie Bank Ltd. – Esprit European Warrants Aug 2013 A	2013/07/29	2013/08/05
19224	J P Morgan SP BV – Esprit European Wts Aug 2013 A	2013/07/29	2013/08/05
19675	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2013 A	2013/07/29	2013/08/05
19948	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Aug 2013 A	2013/07/29	2013/08/05
20110	BNP Paribas Arbit Issu B.V. – CPIC European Wts Aug 2013 A	2013/07/29	2013/08/05
20175	J P Morgan SP BV – Zijin Mining European Warrants Aug 2013 A	2013/07/29	2013/08/05
20187	J P Morgan SP BV – Chi Res Land European Warrants Aug 2013 A	2013/07/29	2013/08/05
20349	BNP Paribas Arbit Issu B.V. – Dongfang Elec Eu Wt Aug 2013 A	2013/07/29	2013/08/05
20368	Macquarie Bank Ltd. – Tencent European Warrants Aug 2013 A	2013/07/29	2013/08/05
20437	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2013 A	2013/07/29	2013/08/05
20511	BNP Paribas Arbit Issu B.V. – Chi Resources Eu Wt Aug 2013 A	2013/07/29	2013/08/05
20512	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Aug 2013 A	2013/07/29	2013/08/05
20585	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Eu Wt Aug 2013 A	2013/07/29	2013/08/05
20693	Macquarie Bank Ltd. – China Life European Wts Aug 2013 A	2013/07/29	2013/08/05
20746	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Aug 2013 A	2013/07/29	2013/08/05
20803	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Aug 2013 A	2013/07/29	2013/08/05
20874	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Aug 2013 A	2013/07/29	2013/08/05
21085	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wt Aug 2013 A	2013/07/29	2013/08/05
21089	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2013 A	2013/07/29	2013/08/05
21145	Citigroup Global Mkt H Inc. – CC Bank European Wt Aug 2013 A	2013/07/29	2013/08/05
21196	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Aug 2013 A	2013/07/29	2013/08/05
21199	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2013 B	2013/07/29	2013/08/05
21203	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2013 A	2013/07/29	2013/08/05
21346	J P Morgan SP BV – Chi Res Land European Warrants Aug 2013 B	2013/07/29	2013/08/05
21430	Macquarie Bank Ltd. – Link REIT European Warrants Aug 2013 A	2013/07/29	2013/08/05
21488	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2013 A	2013/07/29	2013/08/05
21674	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Aug13A	2013/07/29	2013/08/05
21676	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2013 A	2013/07/29	2013/08/05
21766	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Aug 2013 A	2013/07/29	2013/08/05
21801	Macquarie Bank Ltd. – Huaneng Power European Wts Aug 2013 A	2013/07/29	2013/08/05
89001	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Aug 2013 A	2013/07/29	2013/08/05
22054	Macquarie Bank Ltd. – Tencent European Warrants Aug 2013 B	2013/07/29	2013/08/05
22327	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Aug 2013 A	2013/07/29	2013/08/05
22355	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Aug 2013 A	2013/07/29	2013/08/05
22401	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Aug 2013 A	2013/07/29	2013/08/05
22403	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Aug 2013 A	2013/07/29	2013/08/05
22404	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Aug 2013 A	2013/07/29	2013/08/05
22423	Macquarie Bank Ltd. – Ch Comm Cons European Wts Aug 2013 A	2013/07/29	2013/08/05
22447	Nomura Int'l plc – HSBC European Warrants Aug 2013 A	2013/07/29	2013/08/05
22451	Nomura Int'l plc – Ping An European Warrants Aug 2013 A	2013/07/29	2013/08/05
22532	Macquarie Bank Ltd. – Sinopec Corp European Wts Aug 2013 A	2013/07/29	2013/08/05
22536	Macquarie Bank Ltd. – CAM CSI300 European Wts Aug 2013 A	2013/07/29	2013/08/05
22537	Macquarie Bank Ltd. – Ping An European Warrants Aug 2013 A	2013/07/29	2013/08/05
22590	Macquarie Bank Ltd. – CITIC Bank European Wts Aug 2013 A	2013/07/29	2013/08/05
22592	Macquarie Bank Ltd. – Ping An European Put Wts Aug 2013 B	2013/07/29	2013/08/05
22636	BOCI Asia Ltd. – China Tel European Warrants Aug 2013 A	2013/07/29	2013/08/05
22654	Macquarie Bank Ltd. – BYD European Warrants Aug 2013 A	2013/07/29	2013/08/05
22660	Macquarie Bank Ltd. – ICBC European Warrants Aug 2013 A	2013/07/29	2013/08/05
22683	Macquarie Bank Ltd. – Evergrande European Wts Aug 2013 A	2013/07/29	2013/08/05
22706	Nomura Int'l plc – Hutchison European Warrants Aug 2013 A	2013/07/29	2013/08/05
22708	Nomura Int'l plc – CC Bank European Warrants Aug 2013 A	2013/07/29	2013/08/05
22715	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Aug 2013 A	2013/07/29	2013/08/05
22716	Macquarie Bank Ltd. – China Railway European Wts Aug 2013 A	2013/07/29	2013/08/05
22751	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2013 A	2013/07/29	2013/08/05
22754	BNP Paribas Arbit Issu B.V. – CR Cement Euro Wts Aug 2013 A	2013/07/29	2013/08/05

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22763	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Aug 2013 A	2013/07/29	2013/08/05
22766	Macquarie Bank Ltd. – Poly HK Inv European Wts Aug 2013 A	2013/07/29	2013/08/05
22767	Macquarie Bank Ltd. – Country Garden European Wts Aug 2013 A	2013/07/29	2013/08/05
22836	Macquarie Bank Ltd. – Ch LongYuan European Wts Aug 2013 A	2013/07/29	2013/08/05
22847	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2013 A	2013/07/29	2013/08/05
22852	Macquarie Bank Ltd. – Wharf European Warrants Aug 2013 A	2013/07/29	2013/08/05
22853	Macquarie Bank Ltd. – Swrie Pacific A Euro Wts Aug 2013 A	2013/07/29	2013/08/05
22908	Nomura Int'l plc – A50 China European Warrants Aug 2013 A	2013/07/29	2013/08/05
22909	Nomura Int'l plc – A50 China European Put Wts Aug 2013 B	2013/07/29	2013/08/05
22910	Nomura Int'l plc – CSOP A50 ETF European Warrants Aug 2013 A	2013/07/29	2013/08/05
22912	Nomura Int'l plc – CAM CSI300 European Warrants Aug 2013 A	2013/07/29	2013/08/05
22913	Macquarie Bank Ltd. – Chi Res Land European Wts Aug 2013 A	2013/07/29	2013/08/05
22921	BOCI Asia Ltd. – Li & Fung European Warrants Aug 2013 A	2013/07/29	2013/08/05
22951	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Aug 2013 A	2013/07/29	2013/08/05
22953	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Aug 2013 B	2013/07/29	2013/08/05
22979	Macquarie Bank Ltd. – CSR Times European Warrants Aug 2013 A	2013/07/29	2013/08/05
23122	Macquarie Bank Ltd. -Greatwall Motor European Wts Aug 2013 A	2013/07/29	2013/08/05
23213	BNP Paribas Arbit Issu B.V. – Foxconn Euro Wts Aug 2013 A	2013/07/29	2013/08/05
23214	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2013 A	2013/07/29	2013/08/05
23378	BOCI Asia Ltd. – HSBC European Put Warrants Aug 2013 A	2013/07/29	2013/08/05
23503	Macquarie Bank Ltd. – Foxconn European Warrants Aug 2013 A	2013/07/29	2013/08/05
23540	Credit Suisse – China Railway European Warrants Aug 2013 A	2013/07/29	2013/08/05
23588	J P Morgan SP BV – Lenovo European Warrants Aug 2013 B	2013/07/29	2013/08/05
23690	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Aug 2013 A	2013/07/29	2013/08/05
24722	Macquarie Bank Ltd. – China Mobile European Wts Aug 2013 A	2013/07/29	2013/08/05
26156	Macquarie Bank Ltd. – A50 China European Warrants Aug 2013 A	2013/07/29	2013/08/05
28710	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Aug 2013	2013/07/30	2013/08/06
12933	Macquarie Bank Ltd. – New World Dev European Wts Aug 2013	2013/07/30	2013/08/06
19697	HK Bank – China Overseas European Warrants Aug 2013 A	2013/07/30	2013/08/06
19913	Standard Chartered Bank – CTel European Warrants Aug 2013 A	2013/07/30	2013/08/06
20208	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2013A	2013/07/30	2013/08/06
20329	Citigroup Global Mkt H Inc. – CNOOC European Wts Aug 2013 A	2013/07/30	2013/08/06
20652	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Aug 2013 A	2013/07/30	2013/08/06
20937	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Aug 2013 A	2013/07/30	2013/08/06
21115	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2013 A	2013/07/30	2013/08/06
21283	Standard Chartered Bank – Esprit European Wts Aug 2013 A	2013/07/30	2013/08/06
21302	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Aug 2013 A	2013/07/30	2013/08/06
21413	CC Rabobank B.A. – Yuexiu Property Euro Warrants Aug 2013 A	2013/07/30	2013/08/06
21544	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Aug13A	2013/07/30	2013/08/06
21625	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2013 B	2013/07/30	2013/08/06
21735	BOCI Asia Ltd. – Ch Overseas European Warrants Aug 2013 A	2013/07/30	2013/08/06
21743	J P Morgan SP BV – Lenovo European Warrants Aug 2013 A	2013/07/30	2013/08/06
21831	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Aug 2013 A	2013/07/30	2013/08/06
21867	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Aug13A	2013/07/30	2013/08/06
21917	Goldman Sachs SP (Asia) – Yurun Food European Wts Aug 2013 A	2013/07/30	2013/08/06
21943	Standard Chartered Bank – Ping An Euro Put Wts Aug 2013 B	2013/07/30	2013/08/06
21951	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug2013A	2013/07/30	2013/08/06
22037	Credit Suisse AG – CC Bank European Warrants Aug 2013 A	2013/07/30	2013/08/06
22154	Standard Chartered Bank – CNOOC European Warrants Aug 2013 A	2013/07/30	2013/08/06
22192	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2013 A	2013/07/30	2013/08/06
22205	HK Bank – Geely Auto European Warrants Aug 2013 A	2013/07/30	2013/08/06
22206	HK Bank – Link REIT European Warrants Aug 2013 A	2013/07/30	2013/08/06
22275	Citigroup Global Mkt H Inc.-Tencent European Wts Aug 2013 A	2013/07/30	2013/08/06
22287	Credit Suisse AG – CNOOC European Warrants Aug 2013 A	2013/07/30	2013/08/06
22394	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Aug2013 A	2013/07/30	2013/08/06
22414	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 B	2013/07/30	2013/08/06
22459	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2013 A	2013/07/30	2013/08/06
22463	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2013 B	2013/07/30	2013/08/06
22542	Goldman Sachs SP (Asia) – Sands China Euro Wts Aug 2013 A	2013/07/30	2013/08/06
22562	CC Rabobank B.A. – Ting Yi European Warrants Aug 2013 A	2013/07/30	2013/08/06
22675	HK Bank – CNOOC European Warrants Aug 2013 A	2013/07/30	2013/08/06
22712	BOCI Asia Ltd. – China Shenhua European Warrants Aug 2013 A	2013/07/30	2013/08/06
22789	UBS AG – CAM CSI300 European Warrants Aug 2013 A	2013/07/30	2013/08/06
22795	HK Bank – China Life European Warrants Aug 2013 B	2013/07/30	2013/08/06
22797	HK Bank – Li & Fung European Warrants Aug 2013 A	2013/07/30	2013/08/06
22846	Goldman Sachs SP (Asia) – China Tel European Wts Aug 2013 A	2013/07/30	2013/08/06
22905	Daiwa Capital Mkt – CAM CSI300 European Warrants Aug 2013 A	2013/07/30	2013/08/06
23177	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Aug 2013 A	2013/07/30	2013/08/06
23198	HK Bank – China Tel European Warrants Aug 2013 A	2013/07/30	2013/08/06
23219	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Aug 2013 A	2013/07/30	2013/08/06
23227	HK Bank – China Mobile European Put Warrants Aug 2013 B	2013/07/30	2013/08/06
23231	Goldman Sachs SP (Asia) – Hutchison European Wts Aug 2013 B	2013/07/30	2013/08/06
23242	Daiwa Capital Mkt – HSBC European Put Warrants Aug 2013 A	2013/07/30	2013/08/06
23251	Goldman Sachs SP (Asia) – Wharf European Warrants Aug 2013 A	2013/07/30	2013/08/06
23254	Daiwa Capital Mkt – China Life European Warrants Aug 2013 B	2013/07/30	2013/08/06
23257	Credit Suisse AG – CITIC Bank European Warrants Aug 2013 A	2013/07/30	2013/08/06
23258	Credit Suisse AG – Lenovo European Warrants Aug 2013 A	2013/07/30	2013/08/06
23259	Credit Suisse AG – HSBC European Wts Aug 2013 A	2013/07/30	2013/08/06
23262	Credit Suisse AG – A50 China European Put Wts Aug 2013 A	2013/07/30	2013/08/06
23268	CC Rabobank B.A. – Galaxy Ent European Warrants Aug 2013 A	2013/07/30	2013/08/06
23271	CC Rabobank B.A. – China Coal European Warrants Aug 2013 A	2013/07/30	2013/08/06
23274	CC Rabobank B.A. – Greatwall Motor European Wts Aug 2013 A	2013/07/30	2013/08/06
23293	Daiwa Capital Mkt – China Life European Put Wts Aug 2013 C	2013/07/30	2013/08/06
23475	Standard Chartered Bank – Ping An European Wts Aug 2013 C	2013/07/30	2013/08/06
23502	UBS AG – CC Bank European Warrants Aug 2013 A	2013/07/30	2013/08/06
23593	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Aug 2013 A	2013/07/30	2013/08/06
23629	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Aug 2013A	2013/07/30	2013/08/06

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
23635	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Aug 2013 A	2013/07/30	2013/08/06
23684	UBS AG – China Life European Warrants Aug 2013 D	2013/07/30	2013/08/06
23698	Standard Chartered Bank – CAM CSI300 European Wts Aug 2013 B	2013/07/30	2013/08/06
23873	BOCI Asia Ltd. – China Life European Warrants Aug 2013 A	2013/07/30	2013/08/06
23952	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Aug 2013 B	2013/07/30	2013/08/06
24047	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Aug 2013 A	2013/07/30	2013/08/06
24072	Daiwa Capital Mkt – Ch Overseas European Warrants Aug 2013 A	2013/07/30	2013/08/06
24147	UBS AG – Tencent Holdings European Put Warrants Aug 2013 B	2013/07/30	2013/08/06
24153	HK Bank – Galaxy Ent European Warrants Aug 2013 A	2013/07/30	2013/08/06
24170	BOCI Asia Ltd. – Tencent Holdings European Wts Aug 2013 B	2013/07/30	2013/08/06
24190	Standard Chartered Bank – Tencent Hldg Eu Put Wts Aug 2013 B	2013/07/30	2013/08/06
24285	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Aug 2013 A	2013/07/30	2013/08/06
24286	SGA Societe Generale Acceptance N.V.-Yurun Food Eu Wt Aug13A	2013/07/30	2013/08/06
24426	J P Morgan SP BV – Ping An European Warrants Aug 2013 A	2013/07/30	2013/08/06
24494	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Aug13A	2013/07/30	2013/08/06
24685	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Aug13 A	2013/07/30	2013/08/06
24696	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2013 C	2013/07/30	2013/08/06
24699	Credit Suisse AG – KECL European Warrants Aug 2013 A	2013/07/30	2013/08/06
24704	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2013 C	2013/07/30	2013/08/06
24752	HK Bank – Tencent European Warrants Aug 2013 C	2013/07/30	2013/08/06
26252	Standard Chartered Bank – HuanengPower Euro Wts Aug 2013 A	2013/07/30	2013/08/06
20054	Bank of East Asia – Galaxy Ent European Warrants Aug 2013 A	2013/07/31	2013/08/07
23279	HK Bank – Minsheng Bank European Warrants Aug 2013 A	2013/07/31	2013/08/07
23299	Macquarie Bank Ltd. – BankComm European Warrants Aug 2013 A	2013/07/31	2013/08/07
23300	Macquarie Bank Ltd. – CRCC European Warrants Aug 2013 A	2013/07/31	2013/08/07
23302	Macquarie Bank Ltd. – Minsheng Bank European Wts Aug 2013 A	2013/07/31	2013/08/07
23367	J P Morgan SP BV – HSBC European Put Warrants Aug 2013 A	2013/07/31	2013/08/07
23371	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Aug13 A	2013/08/01	2013/08/08
18285	Standard Chartered Bank – Sands China Euro Wts Aug 2013 A	2013/08/02	2013/08/09
18771	Standard Chartered Bank – CC Bank European Wts Aug 2013 A	2013/08/02	2013/08/09
19489	Standard Chartered Bank – BOCL European Warrants Aug 2013 A	2013/08/02	2013/08/09
20354	Standard Chartered Bank – A50 China Euro Warrants Aug 2013 A	2013/08/02	2013/08/09
20432	Standard Chartered Bank – Jiangxi Copper Euro Wts Aug 2013 A	2013/08/02	2013/08/09
20472	Standard Chartered Bank – SPDR Gold Trust Eu Put Wt Aug2013A	2013/08/02	2013/08/09
20619	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2013 A	2013/08/02	2013/08/09
20636	Standard Chartered Bank – China Overseas Euro Wt Aug 2013 A	2013/08/02	2013/08/09
21096	Standard Chartered Bank – Galaxy Ent European Wts Aug 2013 B	2013/08/02	2013/08/09
21097	Standard Chartered Bank – HSBC European Warrants Aug 2013 A	2013/08/02	2013/08/09
21386	Standard Chartered Bank – CAM CSI300 European Wts Aug 2013 A	2013/08/02	2013/08/09
21440	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2013 A	2013/08/02	2013/08/09
21553	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2013 A	2013/08/02	2013/08/09
21728	Standard Chartered Bank – Sinopec Corp Euro Wts Aug 2013 A	2013/08/02	2013/08/09
21927	Daiwa Capital Mkt – BOCL European Warrants Aug 2013 A	2013/08/02	2013/08/09
22174	Standard Chartered Bank -PetroChina European Wts Aug 2013 A	2013/08/02	2013/08/09
22175	Standard Chartered Bank – Lenovo European Wts Aug 2013 A	2013/08/02	2013/08/09
22527	Standard Chartered Bank – Ch Comm Cons Eur Wts Aug 2013 A	2013/08/02	2013/08/09
22649	Standard Chartered Bank – BYD European Warrants Aug 2013 A	2013/08/02	2013/08/09
23263	UBS AG – China COSCO European Warrants Aug 2013 A	2013/08/02	2013/08/09
23266	UBS AG – ICBC European Warrants Aug 2013 A	2013/08/02	2013/08/09
24099	Daiwa Capital Mkt – China Telecom European Wts Aug 2013 A	2013/08/02	2013/08/09
24647	Daiwa Capital Mkt – Tencent Holdings European Wts Aug 2013 B	2013/08/02	2013/08/09
24683	BOCI Asia Ltd. – China Mobile European Warrants Aug 2013 A	2013/08/02	2013/08/09
24808	Credit Suisse AG – A50 China European Warrants Aug 2013 C	2013/08/02	2013/08/09
24951	BOCI Asia Ltd. – HSBC European Warrants Aug 2013 B	2013/08/02	2013/08/09
23366	Credit Suisse AG – Minsheng Bank European Wts Aug 2013 A	2013/08/05	2013/08/12
23609	HK Bank – Agricultural Bank European Warrants Aug 2013 B	2013/08/05	2013/08/12
23657	CC Rabobank B.A. – BOCL European Warrants Aug 2013 B	2013/08/05	2013/08/12
23708	BOCI Asia Ltd. – Ping An European Warrants Aug 2013 A	2013/08/05	2013/08/12
19703	UBS AG – CNOOC European Warrants Aug 2013 A	2013/08/06	2013/08/13
21022	Bank of East Asia – New World Dev European Warrants Aug 13 A	2013/08/06	2013/08/13
21206	CC Rabobank B.A. – Datang European Warrants Aug 2013 A	2013/08/06	2013/08/13
21279	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2013 A	2013/08/06	2013/08/13
21500	CC Rabobank B.A. – China Overseas European Wts Aug 2013 A	2013/08/06	2013/08/13
21622	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2013 A	2013/08/06	2013/08/13
21774	Citigroup Global Mkt H Inc. – Luk Fook Hold Eu Wt Aug 2013 A	2013/08/06	2013/08/13
21893	UBS AG – Tencent Holdings European Warrants Aug 2013 A	2013/08/06	2013/08/13
22277	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Aug 2013 A	2013/08/06	2013/08/13
22361	Credit Suisse AG – CAM CSI300 European Warrants Aug 2013 A	2013/08/06	2013/08/13
22363	Credit Suisse AG – China Life European Warrants Aug 2013 A	2013/08/06	2013/08/13
22428	CC Rabobank B.A. – Chi Res Land European Warrants Aug 2013 A	2013/08/06	2013/08/13
22502	UBS AG – Ping An European Warrants Aug 2013 A	2013/08/06	2013/08/13
22809	HK Bank – CPIC European Warrants Aug 2013 A	2013/08/06	2013/08/13
23008	UBS AG – KECL European Warrants Aug 2013 A	2013/08/06	2013/08/13
23111	CC Rabobank B.A. – Datang European Warrants Aug 2013 B	2013/08/06	2013/08/13
23145	UBS AG – China Life European Warrants Aug 2013 A	2013/08/06	2013/08/13
23184	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Aug 2013 A	2013/08/06	2013/08/13
23250	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Aug 2013 A	2013/08/06	2013/08/13
23273	CC Rabobank B.A. – China Agri European Warrants Aug 2013 A	2013/08/06	2013/08/13
23289	HK Bank – CITIC Bank European Warrants Aug 2013 A	2013/08/06	2013/08/13
23343	HK Bank – Tencent European Put Warrants Aug 2013 A	2013/08/06	2013/08/13
23372	HK Bank – Huaneng Power European Warrants Aug 2013 A	2013/08/06	2013/08/13
23410	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2013 B	2013/08/06	2013/08/13
23530	HK Bank – China Life European Warrants Aug 2013 C	2013/08/06	2013/08/13
23563	J P Morgan SP BV – China Life European Warrants Aug 2013 A	2013/08/06	2013/08/13
23916	Standard Chartered Bank-China Life European Wts Aug 2013 A	2013/08/06	2013/08/13
24169	BOCI Asia Ltd. -Tencent Holdings European Put Wts Aug 2013 A	2013/08/06	2013/08/13
24375	Credit Suisse AG – CSOP A50 ETF European Warrants Aug 2013 A	2013/08/06	2013/08/13

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Code	Warrant	Last trading date	Delisted date
24654	UBS AG – ICBC European Put Warrants Aug 2013 B	2013/08/06	2013/08/13
23432	CC Rabobank B.A. – Anhui Conch European Warrants Aug 2013 A	2013/08/07	2013/08/14
23441	UBS AG – Minsheng Bank European Warrants Aug 2013 A	2013/08/07	2013/08/14
24809	Credit Suisse AG – Hutchison European Warrants Aug 2013 A	2013/08/07	2013/08/14
23471	UBS AG – Sinopec Corp European Warrants Aug 2013 B	2013/08/08	2013/08/15
23497	UBS AG – SHK Ppt European Warrants Aug 2013 A	2013/08/08	2013/08/15
22577	BOCI Asia Ltd. – Sinopec Corp European Warrants Aug 2013 A	2013/08/09	2013/08/16
24437	UBS AG – Ping An European Warrants Aug 2013 B	2013/08/09	2013/08/16
24487	Daiwa Capital Mkt – Ping An European Warrants Aug 2013 B	2013/08/09	2013/08/16
21845	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2013 A	2013/08/12	2013/08/19
23423	Credit Suisse AG – Li & Fung European Warrants Aug 2013 A	2013/08/12	2013/08/19
23424	Credit Suisse AG – BankComm European Warrants Aug 2013 A	2013/08/12	2013/08/19
23427	Credit Suisse AG – Agricultural Bank European Wts Aug 2013 A	2013/08/12	2013/08/19
23473	Credit Suisse AG – BOCL European Warrants Aug 2013 B	2013/08/12	2013/08/19
23751	Goldman Sachs SP (Asia) – BOCL European Warrants Aug 2013 A	2013/08/12	2013/08/19
20726	Macquarie Bank Ltd. – Jiangxi Copper European Wts Aug 2013 A	2013/08/13	2013/08/20
21036	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2013 A	2013/08/13	2013/08/20
21148	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Aug 2013 A	2013/08/13	2013/08/20
21374	CC Rabobank B.A. – Minsheng Bank European Wts Aug 2013 A	2013/08/13	2013/08/20
21704	CC Rabobank B.A. – Lenovo European Put Warrants Aug 2013 A	2013/08/13	2013/08/20
21747	HK Bank – Agricultural Bank European Warrants Aug 2013 A	2013/08/13	2013/08/20
21749	HK Bank – Jiangxi Copper European Warrants Aug 2013 A	2013/08/13	2013/08/20
21835	UBS AG – Link REIT European Warrants Aug 2013 B	2013/08/13	2013/08/20
22002	Citigroup Global Mkt H Inc. – Jiangxi Copper Eu Wt Aug2013 A	2013/08/13	2013/08/20
22077	UBS AG – CITIC Bank European Warrants Aug 2013 A	2013/08/13	2013/08/20
22145	Credit Suisse AG – Jiangxi Copper European Wts Aug 2013 A	2013/08/13	2013/08/20
22276	Citigroup Global Mkt H Inc. – Sands China Euro Wt Aug 2013 A	2013/08/13	2013/08/20
22381	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Aug 2013 A	2013/08/13	2013/08/20
22382	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Aug2013 A	2013/08/13	2013/08/20
22580	UBS AG – CPIC European Warrants Aug 2013 A	2013/08/13	2013/08/20
22587	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Aug2013A	2013/08/13	2013/08/20
22601	CC Rabobank B.A. – Jiangxi Copper European Wts Aug 2013 A	2013/08/13	2013/08/20
22758	UBS AG – Li & Fung European Warrants Aug 2013 A	2013/08/13	2013/08/20
22798	HK Bank – China Overseas European Put Warrants Aug 2013 B	2013/08/13	2013/08/20
22823	UBS AG – Esprit European Warrants Aug 2013 A	2013/08/13	2013/08/20
23188	UBS AG – CAM CSI300 European Warrants Aug 2013 B	2013/08/13	2013/08/20
23330	UBS AG – A50 China European Warrants Aug 2013 A	2013/08/13	2013/08/20
23331	UBS AG – China Life European Warrants Aug 2013 B	2013/08/13	2013/08/20
23528	UBS AG – Wynn Macau European Warrants Aug 2013 A	2013/08/13	2013/08/20
23537	Daiwa Capital Mkt – Galaxy Ent European Warrants Aug 2013 A	2013/08/13	2013/08/20
23542	Credit Suisse AG – Chinares Cement European Wts Aug 2013 A	2013/08/13	2013/08/20
23562	UBS AG – Haitong Sec European Warrants Aug 2013 A	2013/08/13	2013/08/20
23570	Daiwa Capital Mkt – Sands China European Warrants Aug 2013 A	2013/08/13	2013/08/20
23572	UBS AG – Hutchison European Warrants Aug 2013 A	2013/08/13	2013/08/20
23580	Credit Suisse – Henderson Land European Warrants Aug 2013 A	2013/08/13	2013/08/20
23581	Credit Suisse AG – SUNAC European Warrants Aug 2013 A	2013/08/13	2013/08/20
23587	J P Morgan SP BV – SUNAC European Warrants Aug 2013 A	2013/08/13	2013/08/20
23727	Credit Suisse AG – CITIC Sec European Warrants Aug 2013 A	2013/08/13	2013/08/20
23862	Daiwa Capital Mkt – Ping An European Warrants Aug 2013 A	2013/08/13	2013/08/20
24161	J P Morgan SP BV – CSOP A50 ETF European Warrants Aug 2013 A	2013/08/13	2013/08/20
24219	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Aug13A	2013/08/13	2013/08/20
24542	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug2013E	2013/08/13	2013/08/20
24953	BOCI Asia Ltd. – Hutchison European Warrants Aug 2013 A	2013/08/13	2013/08/20
25836	Credit Suisse AG – CSOP A50 ETF European Warrants Aug 2013 B	2013/08/13	2013/08/20
20153	Standard Chartered Bank – Li & Fung European Wts Aug 2013 A	2013/08/14	2013/08/21
22726	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2013 B	2013/08/14	2013/08/21
23584	Standard Chartered Bank – Minsheng Bank Euro Wts Aug 2013 A	2013/08/14	2013/08/21
22289	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2013 A	2013/08/15	2013/08/22
21540	Standard Chartered Bank – Ping An European Wts Aug 2013 A	2013/08/16	2013/08/23
22152	Standard Chartered Bank – MTRC European Warrants Aug 2013 A	2013/08/16	2013/08/23
24814	UBS AG – CSOP A50 ETF European Warrants Aug 2013 B	2013/08/16	2013/08/23
12323	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Aug 13	2013/08/19	2013/08/26
12432	UBS AG – GCL-Poly Energy European Warrants Aug 2013	2013/08/19	2013/08/26
14023	J P Morgan SP BV – GCL-Poly European Warrants Aug 2013	2013/08/19	2013/08/26
18242	Bank of East Asia – CITIC Pacific European Wts Aug 2013 A	2013/08/19	2013/08/26
20016	HK Bank – KECL European Warrants Aug 2013 A	2013/08/19	2013/08/26
20907	Daiwa Capital Mkt -Agricultural Bank European Wts Aug 2013 A	2013/08/19	2013/08/26
21988	Nomura Int'l plc – Zijin Mining European Warrants Aug 2013 A	2013/08/19	2013/08/26
22294	Citigroup Global Mkt H Inc. – Ch Unicom Eu Put Wt Aug 2013 B	2013/08/19	2013/08/26
23607	Credit Suisse AG – Minsheng Bank European Wts Aug 2013 B	2013/08/19	2013/08/26
12335	Credit Suisse AG – GCL-Poly Energy European Warrants Aug 13	2013/08/20	2013/08/27
19465	J P Morgan SP BV – Ch Ship Dev European Warrants Aug 2013 A	2013/08/20	2013/08/27
19611	Bank of East Asia – Li & Fung European Warrants Aug 2013 A	2013/08/20	2013/08/27
20243	HK Bank – BOCL European Warrants Aug 2013 A	2013/08/20	2013/08/27
20340	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Aug 2013A	2013/08/20	2013/08/27
20876	Goldman Sachs SP (Asia) – JIANC European Warrants Aug 2013 A	2013/08/20	2013/08/27
20895	Credit Suisse AG – HKEx European Put Warrants Aug 2013 A	2013/08/20	2013/08/27
21061	Goldman Sachs SP (Asia) – Evergrande European Wts Aug 2013 A	2013/08/20	2013/08/27
21205	HK Bank – China Railway European Warrants Aug 2013 A	2013/08/20	2013/08/27
21218	Credit Suisse AG – Link REIT European Warrants Aug 2013 A	2013/08/20	2013/08/27
21421	Bank of East Asia – BYD European Warrants Aug 2013 A	2013/08/20	2013/08/27
21573	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wt Aug 2013 B	2013/08/20	2013/08/27
21834	UBS AG – Link REIT European Warrants Aug 2013 A	2013/08/20	2013/08/27
21854	CC Rabobank B.A. – GCL-Poly Energy European Wts Aug 2013 A	2013/08/20	2013/08/27
22003	Citigroup Global Mkt H Inc. – Zijin Mining Eu Wts Aug 2013 A	2013/08/20	2013/08/27
22074	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Aug13A	2013/08/20	2013/08/27
22100	Credit Suisse AG – SHK Ppt European Put Warrants Aug 2013 A	2013/08/20	2013/08/27

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22113	Credit Suisse AG – ICBC European Warrants Aug 2013 A	2013/08/20	2013/08/27
22119	Credit Suisse AG – BOCL European Warrants Aug 2013 A	2013/08/20	2013/08/27
22120	Credit Suisse AG – Ping An European Warrants Aug 2013 A	2013/08/20	2013/08/27
22323	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Aug2013 A	2013/08/20	2013/08/27
22384	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Aug2013A	2013/08/20	2013/08/27
22608	CC Rabobank B.A. – Hang Lung Ppt European Wts Aug 2013 A	2013/08/20	2013/08/27
22661	UBS AG – Galaxy Ent European Warrants Aug 2013 A	2013/08/20	2013/08/27
22984	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Aug13A	2013/08/20	2013/08/27
23278	HK Bank -BYD European Warrants Aug 2013 A	2013/08/20	2013/08/27
23438	UBS AG – China Shenhua European Warrants Aug 2013 A	2013/08/20	2013/08/27
23577	UBS AG – CITIC Sec European Warrants Aug 2013 A	2013/08/20	2013/08/27
23623	UBS AG – HSBC European Warrants Aug 2013 A	2013/08/20	2013/08/27
23632	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2013 A	2013/08/20	2013/08/27
23668	Credit Suisse AG – BYD European Warrants Aug 2013 A	2013/08/20	2013/08/27
23670	Credit Suisse AG – A50 China European Warrants Aug 2013 B	2013/08/20	2013/08/27
23681	UBS AG – BYD European Warrants Aug 2013 A	2013/08/20	2013/08/27
23692	UBS AG – CSOP A50 ETF European Warrants Aug 2013 A	2013/08/20	2013/08/27
23906	BOCI Asia Ltd. – CC Bank European Warrants Aug 2013 A	2013/08/20	2013/08/27
23965	J P Morgan SP BV – CPIC European Warrants Aug 2013 C	2013/08/20	2013/08/27
24017	Goldman Sachs SP (Asia) – ZTE European Warrants Aug 2013 A	2013/08/20	2013/08/27
24048	Standard Chartered Bank – China Shenhua Euro Wts Aug 2013 B	2013/08/20	2013/08/27
24053	Credit Suisse AG – China Life European Warrants Aug 2013 C	2013/08/20	2013/08/27
24159	J P Morgan SP BV – A50 China European Warrants Aug 2013 A	2013/08/20	2013/08/27
24181	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug2013 A	2013/08/20	2013/08/27
24193	Standard Chartered Bank – ZTE European Warrants Aug 2013 A	2013/08/20	2013/08/27
24277	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Aug 2013 A	2013/08/20	2013/08/27
24330	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2013 B	2013/08/20	2013/08/27
24332	SGA Societe Generale Acceptance N.V. -Link REIT Eu Wt Aug13A	2013/08/20	2013/08/27
24508	UBS AG – Cheung Kong European Warrants Aug 2013 A	2013/08/20	2013/08/27
24578	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Aug2013A	2013/08/20	2013/08/27
24653	UBS AG – Tencent Holdings European Put Warrants Aug 2013 C	2013/08/20	2013/08/27
24656	UBS AG – China Shenhua European Warrants Aug 2013 B	2013/08/20	2013/08/27
24700	Daiwa Capital Mkt – CCB European Warrants Aug 2013 A	2013/08/20	2013/08/27
24765	Credit Suisse AG – GCL-Poly Energy European Wts Aug 2013 A	2013/08/20	2013/08/27
24791	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Aug2013 C	2013/08/20	2013/08/27
24846	Credit Suisse AG – Cheung Kong European Warrants Aug 2013 A	2013/08/20	2013/08/27
24865	UBS AG – CC Bank European Warrants Aug 2013 B	2013/08/20	2013/08/27
24876	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Aug 2013 B	2013/08/20	2013/08/27
25477	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Aug13B	2013/08/20	2013/08/27
25514	HK Bank – A50 China European Warrants Aug 2013 A	2013/08/20	2013/08/27
11197	Credit Suisse AG – HKEx European Warrants Aug 2013 B	2013/08/20	2013/08/27
18672	Standard Chartered Bank -YZ Coal European Warrants Aug 2013A	2013/08/21	2013/08/28
18888	UBS AG – China Unicom European Warrants Aug 2013 A	2013/08/21	2013/08/28
19058	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Aug 2013 A	2013/08/21	2013/08/28
20778	CC Rabobank B.A. – BOC HK European Warrants Aug 2013 A	2013/08/21	2013/08/28
21190	CC Rabobank B.A. – Brilliance Chi European Warrants Aug2013A	2013/08/21	2013/08/28
21771	Citigroup Global Mkt H Inc. – Brilliance Chi Eu Wts Aug 13 A	2013/08/21	2013/08/28
22000	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Aug 2013 A	2013/08/21	2013/08/28
22042	UBS AG – Jiangxi Copper European Warrants Aug 2013 A	2013/08/21	2013/08/28
22643	UBS AG – BOC HK European Warrants Aug 2013 A	2013/08/21	2013/08/28
23568	CC Rabobank B.A. – Jiangxi Copper European Wts Aug 2013 B	2013/08/21	2013/08/28
23634	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Aug 2013 B	2013/08/21	2013/08/28
23707	Macquarie Bank Ltd. – China Ship Dev European Wts Aug 2013 A	2013/08/21	2013/08/28
23789	UBS AG – SPDR Gold Trust European Warrants Aug 2013 A	2013/08/21	2013/08/28
24529	HK Bank – Tencent European Put Warrants Aug 2013 B	2013/08/21	2013/08/28
24864	UBS AG – Ping An European Warrants Aug 2013 C	2013/08/21	2013/08/28
27025	Standard Chartered Bank – Ping An European Wts Aug 2013 D	2013/08/21	2013/08/28
18411	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Aug 2013 A	2013/08/22	2013/08/29
22574	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 C	2013/08/22	2013/08/29
22914	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Aug13 A	2013/08/22	2013/08/29
23038	Nomura Int'l plc – AviChina European Warrants Aug 2013 A	2013/08/22	2013/08/29
23040	Nomura Int'l plc – ENN Energy European Warrants Aug 2013 A	2013/08/22	2013/08/29
23546	Standard Chartered Bank – China Shenhua Euro Wts Aug 2013 A	2013/08/22	2013/08/29
23643	HK Bank – Zijin Mining European Warrants Aug 2013 A	2013/08/22	2013/08/29
23645	HK Bank – CHALCO European Warrants Aug 2013 A	2013/08/22	2013/08/29
24092	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2013 C	2013/08/22	2013/08/29
24333	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug 13 D	2013/08/22	2013/08/29
24353	HK Bank – China Life European Warrants Aug 2013 D	2013/08/22	2013/08/29
24802	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Aug 2013 A	2013/08/22	2013/08/29
15095	HK Bank – HSI European Warrants Aug 2013	2013/08/23	2013/08/30
16026	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2013	2013/08/23	2013/08/30
19325	Barclays Bank plc – HSI European Put Warrants Aug 2013 A	2013/08/23	2013/08/30
20050	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2013 A	2013/08/23	2013/08/30
21447	CC Rabobank B.A. – CSOP A50 ETF European Warrants Aug 2013 A	2013/08/23	2013/08/30
21506	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Aug 2013 A	2013/08/23	2013/08/30
21936	HK Bank – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
21971	Daiwa Capital Mkt – HSI European Put Warrants Aug 2013 A	2013/08/23	2013/08/30
22143	Credit Suisse AG – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
22226	J P Morgan SP BV – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
22244	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
22338	UBS AG – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
22377	BOCI Asia Ltd. – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
22405	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2013 A	2013/08/23	2013/08/30
22445	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2013 B	2013/08/23	2013/08/30
22504	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2013 A	2013/08/23	2013/08/30
22506	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2013 B	2013/08/23	2013/08/30
22584	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Aug 2013 A	2013/08/23	2013/08/30

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22612	Credit Suisse AG – HSI European Warrants Aug 2013 B	2013/08/23	2013/08/30
23283	HK Bank – HSI European Put Warrants Aug 2013 B	2013/08/23	2013/08/30
23285	HK Bank – HSI European Warrants Aug 2013 C	2013/08/23	2013/08/30
23360	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Aug13A	2013/08/23	2013/08/30
23369	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2013 C	2013/08/23	2013/08/30
23388	Standard Chartered Bank – SJM Hold European Wts Aug 2013 A	2013/08/23	2013/08/30
23757	Daiwa Capital Mkt – HSI European Warrants Aug 2013 B	2013/08/23	2013/08/30
23760	Daiwa Capital Mkt – HSI European Warrants Aug 2013 C	2013/08/23	2013/08/30
23782	Standard Chartered Bank – HSI European Warrants Aug 2013 A	2013/08/23	2013/08/30
23792	Daiwa Capital Mkt – HSI European Put Warrants Aug 2013 D	2013/08/23	2013/08/30
23795	Daiwa Capital Mkt – HSI European Put Warrants Aug 2013 E	2013/08/23	2013/08/30
23869	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Aug 2013 B	2013/08/23	2013/08/30
24010	UBS AG – Sinopec Corp European Warrants Aug 2013 C	2013/08/23	2013/08/30
24249	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Aug 13 C	2013/08/23	2013/08/30
24497	BNP Paribas Arbit Issu B.V. – Ping An European Wt Aug 2013 B	2013/08/23	2013/08/30
24503	Credit Suisse AG – HSI European Warrants Aug 2013 C	2013/08/23	2013/08/30
24929	Goldman Sachs SP (Asia) – HSBC European Put Wts Aug 2013 A	2013/08/23	2013/08/30
26445	HK Bank – HSI European Put Warrants Aug 2013 D	2013/08/23	2013/08/30
26914	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2013 B	2013/08/23	2013/08/30
26979	UBS AG – HSI European Warrants Aug 2013 B	2013/08/23	2013/08/30
27495	Credit Suisse AG – HSI European Put Warrants Aug 2013 D	2013/08/23	2013/08/30
11907	Standard Chartered Bank – GCL-Poly Energy European Wts Aug13	2013/08/26	2013/09/02
12696	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Aug 2013	2013/08/26	2013/09/02
16977	Nomura Int'l plc – China Unicom European Put Wts Aug 2013	2013/08/26	2013/09/02
17421	Standard Chartered Bank – CITIC Pacific Euro Wts Aug 2013 A	2013/08/26	2013/09/02
18066	J P Morgan SP BV – KECL European Warrants Aug 2013 A	2013/08/26	2013/09/02
18074	Credit Suisse AG – PetroChina European Warrants Aug 2013 A	2013/08/26	2013/09/02
19064	UBS AG – PetroChina European Warrants Aug 2013 A	2013/08/26	2013/09/02
19336	Nomura Int'l plc – China Unicom European Warrants Aug 2013 A	2013/08/26	2013/09/02
19338	Nomura Int'l plc – BOC HK European Warrants Aug 2013 A	2013/08/26	2013/09/02
19510	J P Morgan SP BV – Ch Oilfield Euro Wts Aug 2013 A	2013/08/26	2013/09/02
19761	Credit Suisse AG – Stanchart European Warrants Aug 2013 A	2013/08/26	2013/09/02
20417	Standard Chartered Bank – HKEx European Put Wts Aug 2013 A	2013/08/26	2013/09/02
20833	Macquarie Bank Ltd. – PetroChina European Wts Aug 2013 A	2013/08/26	2013/09/02
21160	Standard Chartered Bank – Zijin Mining Euro Wts Aug 2013 A	2013/08/26	2013/09/02
21178	Nomura Int'l plc – Link REIT European Warrants Aug 2013 A	2013/08/26	2013/09/02
22117	Credit Suisse AG – BOC HK European Warrants Aug 2013 A	2013/08/26	2013/09/02
22220	HK Bank – China Life European Warrants Aug 2013 A	2013/08/26	2013/09/02
22497	Standard Chartered Bank – Hutchison Euro Euro Wts Aug 2013 A	2013/08/26	2013/09/02
22799	Standard Chartered Bank – China Mobile Euro Wts Aug 2013 A	2013/08/26	2013/09/02
23416	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Aug 2013 A	2013/08/26	2013/09/02
23561	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2013 B	2013/08/26	2013/09/02
23612	UBS AG – China Life European Warrants Aug 2013 C	2013/08/26	2013/09/02
23667	Credit Suisse AG – China Life European Warrants Aug 2013 B	2013/08/26	2013/09/02
23738	Credit Suisse AG – Datang European Warrants Aug 2013 A	2013/08/26	2013/09/02
23739	Credit Suisse AG – CSR European Warrants Aug 2013 A	2013/08/26	2013/09/02
23762	CC Rabobank B.A. – Sands China European Put Wts Aug 2013 A	2013/08/26	2013/09/02
23799	Credit Suisse AG – Geely Auto European Warrants Aug 2013 A	2013/08/26	2013/09/02
23801	Credit Suisse – SPDR Gold Trust European Warrants Aug 2013 A	2013/08/26	2013/09/02
24056	Credit Suisse AG – Zijin Mining European Warrants Aug 2013 A	2013/08/26	2013/09/02
24365	Credit Suisse AG – CC Bank European Warrants Aug 2013 B	2013/08/26	2013/09/02
24366	Credit Suisse AG – China Life European Warrants Aug 2013 D	2013/08/26	2013/09/02
24635	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Aug 2013 A	2013/08/26	2013/09/02
24650	Credit Suisse AG – Yanzhou Coal European Warrants Aug 2013 A	2013/08/26	2013/09/02
24651	Credit Suisse AG – Lumena Newmat European Wts Aug 2013 A	2013/08/26	2013/09/02
24849	Credit Suisse AG – Sinopec Corp European Warrants Aug 2013 B	2013/08/26	2013/09/02
25233	Macquarie Bank Ltd. – Hutchison European Warrants Aug 2013 A	2013/08/26	2013/09/02
25593	Credit Suisse AG – Greatwall Motor European Wts Aug 2013 A	2013/08/26	2013/09/02
26132	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Aug 2013 A	2013/08/26	2013/09/02
26216	Credit Suisse AG – Hutchison European Put Wts Aug 2013 B	2013/08/26	2013/09/02
26786	Credit Suisse AG – China Coal European Warrants Aug 2013 A	2013/08/26	2013/09/02
11075	HK Bank – Ping An European Warrants Aug 2013 A	2013/08/26	2013/09/02
12137	Credit Suisse AG – Ping An European Warrants Aug 2013 B	2013/08/26	2013/09/02
21331	Credit Suisse AG – GCL-Poly Energy European Wts Aug 2013 B	2013/08/26	2013/09/02
17760	Daiwa Capital Mkt – PetroChina European Warrants Sep 2013 A	2013/08/27	2013/09/03
19795	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Sep 2013 A	2013/08/27	2013/09/03
19796	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Sep13A	2013/08/27	2013/09/03
19941	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Sep 2013 A	2013/08/27	2013/09/03
20263	UBS AG – Hutchison European Put Warrants Sep 2013 A	2013/08/27	2013/09/03
20293	Daiwa Capital Mkt -Agricultural Bank European Wts Sep 2013 A	2013/08/27	2013/09/03
20451	Daiwa Capital Mkt – HKEx European Put Warrants Sep 2013 A	2013/08/27	2013/09/03
20891	J P Morgan SP BV – BOC HK European Warrants Sep 2013 A	2013/08/27	2013/09/03
21236	Standard Chartered Bank – CTel European Warrants Sep 2013 A	2013/08/27	2013/09/03
21351	J P Morgan SP BV – Brilliance Chi European Wts Sep 2013 A	2013/08/27	2013/09/03
21467	Goldman Sachs SP (Asia) – Link REIT European Wts Sep 2013 A	2013/08/27	2013/09/03
21733	Goldman Sachs SP (Asia) – SHK Ppt European Wts Sep 2013 A	2013/08/27	2013/09/03
21830	Citigroup Global Mkt H Inc.-Tencent European Wts Sep 2013 A	2013/08/27	2013/09/03
21899	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2013 A	2013/08/27	2013/09/03
21952	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 A	2013/08/27	2013/09/03
21973	Goldman Sachs SP (Asia) – China Railway Euro Wts Sep 2013 A	2013/08/27	2013/09/03
21999	HK Bank – CPIC European Warrants Sep 2013 A	2013/08/27	2013/09/03
22011	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2013 B	2013/08/27	2013/09/03
22097	Credit Suisse AG – HSBC European Wts Sep 2013 A	2013/08/27	2013/09/03
22228	J P Morgan SP BV – CAM CSI300 European Warrants Sep 2013 A	2013/08/27	2013/09/03
22280	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Sep 2013 A	2013/08/27	2013/09/03
22301	CC Rabobank B.A. – HSBC European Warrants Sep 2013 A	2013/08/27	2013/09/03
22350	J P Morgan SP BV – China Life European Warrants Sep 2013 A	2013/08/27	2013/09/03

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22416	J P Morgan SP BV – ZTE European Warrants Sep 2013 A	2013/08/27	2013/09/03
22422	UBS AG – HSBC European Warrants Sep 2013 A	2013/08/27	2013/09/03
22541	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2013 B	2013/08/27	2013/09/03
22665	J P Morgan SP BV – Agricultural Bk Euro Warrants Sep 2013 A	2013/08/27	2013/09/03
22722	J P Morgan SP BV – China Railway European Wts Sep 2013 A	2013/08/27	2013/09/03
22796	HK Bank – China COSCO European Warrants Sep 2013 A	2013/08/27	2013/09/03
22873	J P Morgan SP BV – SJM Holdings European Warrants Sep 2013 A	2013/08/27	2013/09/03
22958	J P Morgan SP BV – Ch Ship Cont European Warrants Sep 2013 A	2013/08/27	2013/09/03
22961	J P Morgan SP BV – NCI European Warrants Sep 2013 A	2013/08/27	2013/09/03
22966	J P Morgan SP BV – BOCL European Warrants Sep 2013 A	2013/08/27	2013/09/03
23048	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Sep 2013 A	2013/08/27	2013/09/03
23132	J P Morgan SP BV – Tencent European Put Warrants Sep 2013 A	2013/08/27	2013/09/03
23341	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2013 A	2013/08/27	2013/09/03
23362	J P Morgan SP BV – ICBC European Put Wts Sep 2013 A	2013/08/27	2013/09/03
23363	J P Morgan SP BV – HSBC European Warrants Sep 2013 A	2013/08/27	2013/09/03
23557	J P Morgan SP BV – Ch Railway Cons European Wts Sep 2013 A	2013/08/27	2013/09/03
23597	J P Morgan SP BV – China Life European Warrants Sep 2013 B	2013/08/27	2013/09/03
23598	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Sep 2013 B	2013/08/27	2013/09/03
23633	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2013 A	2013/08/27	2013/09/03
23637	J P Morgan SP BV – Henderson Land Dev European Wt Sep 2013 A	2013/08/27	2013/09/03
23671	J P Morgan SP BV – SPDR Gold Trust European Wts Sep 2013 B	2013/08/27	2013/09/03
23672	J P Morgan SP BV – Sands China European Put Wts Sep 2013 A	2013/08/27	2013/09/03
23675	J P Morgan SP BV – Galaxy Ent European Put Wts Sep 2013 A	2013/08/27	2013/09/03
23676	J P Morgan SP BV – Ch LongYuan European Warrants Sep 2013 A	2013/08/27	2013/09/03
23775	J P Morgan SP BV – HSBC European Warrants Sep 2013 B	2013/08/27	2013/09/03
23776	J P Morgan SP BV – HKEx European Warrants Sep 2013 A	2013/08/27	2013/09/03
23780	J P Morgan SP BV – China Life European Warrants Sep 2013 C	2013/08/27	2013/09/03
23781	J P Morgan SP BV – BYD European Warrants Sep 2013 A	2013/08/27	2013/09/03
23788	UBS AG – CAM CSI300 European Warrants Sep 2013 D	2013/08/27	2013/09/03
23806	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Sep 2013 A	2013/08/27	2013/09/03
23808	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Sep 2013 A	2013/08/27	2013/09/03
23818	J P Morgan SP BV – China Shenhua European Wts Sep 2013 A	2013/08/27	2013/09/03
23821	J P Morgan SP BV – Geely Auto European Warrants Sep 2013 B	2013/08/27	2013/09/03
23822	J P Morgan SP BV – Sinopharm European Warrants Sep 2013 A	2013/08/27	2013/09/03
23823	J P Morgan SP BV – Greatwall Motor European Wts Sep 2013 A	2013/08/27	2013/09/03
23824	J P Morgan SP BV – Prada European Warrants Sep 2013 A	2013/08/27	2013/09/03
23825	J P Morgan SP BV – Cheung Kong European Warrants Sep 2013 A	2013/08/27	2013/09/03
23842	Daiwa Capital Mkt – HSBC European Warrants Sep 2013 A	2013/08/27	2013/09/03
23895	Daiwa Capital Mkt – Tencent Holdings European Wts Sep 2013 A	2013/08/27	2013/09/03
24009	UBS AG – Tencent Holdings European Warrants Sep 2013 A	2013/08/27	2013/09/03
24160	J P Morgan SP BV – CSOP A50 ETF European Warrants Sep 2013 A	2013/08/27	2013/09/03
24259	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Sep13A	2013/08/27	2013/09/03
24334	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Sep2013 A	2013/08/27	2013/09/03
24346	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2013 A	2013/08/27	2013/09/03
24372	Credit Suisse AG – SHK Ppt European Warrants Sep 2013 A	2013/08/27	2013/09/03
24379	J P Morgan SP BV – SHK Ppt European Warrants Sep 2013 A	2013/08/27	2013/09/03
24519	J P Morgan SP BV – China Life European Warrants Sep 2013 D	2013/08/27	2013/09/03
24531	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Sep2013 A	2013/08/27	2013/09/03
24544	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Sep2013 A	2013/08/27	2013/09/03
24582	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Sep 13A	2013/08/27	2013/09/03
24588	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2013 C	2013/08/27	2013/09/03
24797	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Sep 2013 B	2013/08/27	2013/09/03
25052	Credit Suisse AG – Evergrande European Warrants Sep 2013 A	2013/08/27	2013/09/03
25243	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Sep 2013 A	2013/08/27	2013/09/03
25610	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Sep 2013 A	2013/08/27	2013/09/03
25868	Daiwa Capital Mkt – CSOP A50 ETF European Wts Sep 2013 B	2013/08/27	2013/09/03
12252	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2013 C	2013/08/27	2013/09/03
11557	BNP Paribas Arbit Issu B.V. – CQRC Bank European Wts Sep13	2013/08/28	2013/09/04
14432	BNP Paribas Arbit Issu B.V. – Sany Int'l Euro Wts Sep 2013	2013/08/28	2013/09/04
16800	Macquarie Bank Ltd. – Hutchison European Put Wts Sep 2013	2013/08/28	2013/09/04
17979	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Sep 2013 A	2013/08/28	2013/09/04
18143	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eur Wt Sep 13 A	2013/08/28	2013/09/04
18353	Macquarie Bank Ltd. – China Unicom Euro Put Wts Sep 2013 A	2013/08/28	2013/09/04
18406	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Sep 2013 A	2013/08/28	2013/09/04
18407	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Sep 2013 A	2013/08/28	2013/09/04
18541	Macquarie Bank Ltd. – China Unicom European Wts Sep 2013 B	2013/08/28	2013/09/04
18722	Macquarie Bank Ltd. – China Unicom European Wts Sep 2013 C	2013/08/28	2013/09/04
19106	Daiwa Capital Mkt – BOC HK European Warrants Sep 2013 A	2013/08/28	2013/09/04
19132	J P Morgan SP BV – China Unicom European Warrants Sep 2013 A	2013/08/28	2013/09/04
19485	BOCI Asia Ltd. – BOC HK European Warrants Sep 2013 A	2013/08/28	2013/09/04
19771	BOCI Asia Ltd. – China Unicom European Warrants Sep 2013 A	2013/08/28	2013/09/04
20112	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Sep13A	2013/08/28	2013/09/04
20198	Standard Chartered Bank – Agricultural Bk Euro Wts Sep 2013A	2013/08/28	2013/09/04
20873	BNP Paribas Arbit Issu B.V. – CLP European Wts Sep 2013 A	2013/08/28	2013/09/04
21195	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Sep2013 A	2013/08/28	2013/09/04
21211	BNP Paribas Arbit Issu B.V. – CKI Holdings Eu Wts Sep 2013 A	2013/08/28	2013/09/04
21214	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Sep 2013 A	2013/08/28	2013/09/04
21792	Standard Chartered Bank – CSOP A50 ETF Euro Wts Sep 2013 A	2013/08/28	2013/09/04
21856	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Sep 2013 A	2013/08/28	2013/09/04
21979	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Sep 2013 A	2013/08/28	2013/09/04
89004	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Sep 2013 A	2013/08/28	2013/09/04
22484	Macquarie Bank Ltd. – CITIC Sec European Warrants Sep 2013 A	2013/08/28	2013/09/04
22544	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Sep 2013 A	2013/08/28	2013/09/04
22575	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2013 A	2013/08/28	2013/09/04
22653	Macquarie Bank Ltd. – HSBC European Warrants Sep 2013 A	2013/08/28	2013/09/04
22678	BNP Paribas Arbit Issu B.V. – Wharf European Wts Sep 2013 A	2013/08/28	2013/09/04
22679	BNP Paribas Arbit Issu B.V. – BYD European Wts Sep 2013 A	2013/08/28	2013/09/04

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22752	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Sep 2013 B	2013/08/28	2013/09/04
22765	Macquarie Bank Ltd. – Cheung Kong European Wts Sep 2013 A	2013/08/28	2013/09/04
22957	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Sep 2013 B	2013/08/28	2013/09/04
22994	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Sep 2013 A	2013/08/28	2013/09/04
23211	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Sep 2013 A	2013/08/28	2013/09/04
23290	Macquarie Bank Ltd. – Lenovo European Warrants Sep 2013 A	2013/08/28	2013/09/04
23348	Macquarie Bank Ltd. – HSBC European Put Warrants Sep 2013 B	2013/08/28	2013/09/04
23393	Macquarie Bank Ltd. – Datang European Warrants Sep 2013 A	2013/08/28	2013/09/04
23429	Macquarie Bank Ltd. – Lee & Man Paper European Wt Sep 2013 A	2013/08/28	2013/09/04
89007	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Sep 2013 A	2013/08/28	2013/09/04
23485	Standard Chartered Bank – Zijin Mining Euro Wts Sep 2013 A	2013/08/28	2013/09/04
23615	Macquarie Bank Ltd. – BYD European Warrants Sep 2013 A	2013/08/28	2013/09/04
23826	Macquarie Bank Ltd. – Tsingtao Brew European Wts Sep 2013 A	2013/08/28	2013/09/04
23859	Macquarie Bank Ltd. – Tencent European Put Wts Sep 2013 A	2013/08/28	2013/09/04
23866	Credit Suisse AG – China Shenhua European Wts Sep 2013 A	2013/08/28	2013/09/04
24309	Macquarie Bank Ltd. – China EB Int'l European Wts Sep 2013 A	2013/08/28	2013/09/04
24488	Macquarie Bank Ltd. – China Life European Wts Sep 2013 A	2013/08/28	2013/09/04
24678	BOCI Asia Ltd. – Tencent Holdings European Wts Sep 2013 A	2013/08/28	2013/09/04
24681	BOCI Asia Ltd. – Ping An European Warrants Sep 2013 A	2013/08/28	2013/09/04
25667	Macquarie Bank Ltd. – Hang Seng Bank European Wts Sep 2013 A	2013/08/28	2013/09/04
12154	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2013 A	2013/08/28	2013/09/04
20935	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Sep 2013 A	2013/08/29	2013/09/05
21436	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Sep13A	2013/08/29	2013/09/05
23865	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Sep 2013 A	2013/08/29	2013/09/05
23898	UBS AG – China Life European Warrants Sep 2013 B	2013/08/29	2013/09/05
24890	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2013 A	2013/08/29	2013/09/05
25087	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2013 A	2013/08/29	2013/09/05
20950	Standard Chartered Bank – SHK P European Warrants Sep 2013 A	2013/08/30	2013/09/06
22153	Standard Chartered Bank – Sinopec Corp Euro Wts Sep 2013 A	2013/08/30	2013/09/06
23812	Standard Chartered Bank – China Coal European Wts Sep 2013 A	2013/08/30	2013/09/06
23816	Standard Chartered Bank – CSR European Warrants Sep 2013 A	2013/08/30	2013/09/06
23882	Standard Chartered Bank – China Coal European Wts Sep 2013 B	2013/08/30	2013/09/06
23899	J P Morgan SP BV – Yanzhou Coal European Warrants Sep 2013 A	2013/08/30	2013/09/06
23902	J P Morgan SP BV – CITIC Sec European Warrants Sep 2013 A	2013/08/30	2013/09/06
23903	J P Morgan SP BV – Yurun Food European Warrants Sep 2013 A	2013/08/30	2013/09/06
23904	J P Morgan SP BV – Lumena Newmat European Wts Sep 2013 A	2013/08/30	2013/09/06
23925	Macquarie Bank Ltd. – Sh Electric European Wts Sep 2013 A	2013/08/30	2013/09/06
24975	UBS AG – Yurun Food European Warrants Sep 2013 A	2013/08/30	2013/09/06
17345	Standard Chartered Bank -PetroChina European Wts Sep 2013 A	2013/09/02	2013/09/09
21428	Goldman Sachs SP (Asia) – HKEx European Put Wts Sep 2013 A	2013/09/02	2013/09/09
21603	UBS AG – HKEx European Put Warrants Sep 2013 A	2013/09/02	2013/09/09
21996	HK Bank – Tencent European Warrants Sep 2013 A	2013/09/02	2013/09/09
22008	Standard Chartered Bank-China Life European Wts Sep 2013 A	2013/09/02	2013/09/09
22344	Standard Chartered Bank – A50 China Euro Warrants Sep 2013 A	2013/09/02	2013/09/09
22500	Standard Chartered Bank – Cheung Kong Euro Wts Sep 2013 A	2013/09/02	2013/09/09
23696	Standard Chartered Bank – CSOP A50 ETF Euro Wts Sep 2013 B	2013/09/02	2013/09/09
23697	Standard Chartered Bank – CAM CSI300 European Wts Sep 2013 A	2013/09/02	2013/09/09
23733	Credit Suisse AG – Shenzhen Int'l European Wts Sep 2013 B	2013/09/02	2013/09/09
23832	Bank of East Asia – Ping An European Warrants Sep 2013 B	2013/09/02	2013/09/09
23835	Bank of East Asia – Foxconn European Warrants Sep 2013 A	2013/09/02	2013/09/09
23959	J P Morgan SP BV – Hutchison European Warrants Sep 2013 A	2013/09/02	2013/09/09
25607	Credit Suisse AG – Poly HK Inv European Warrants Sep 2013 A	2013/09/02	2013/09/09
16449	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Sep 2013	2013/09/03	2013/09/10
17310	Nomura Int'l plc – Sany Int'l European Warrants Sep 2013 A	2013/09/03	2013/09/10
19787	UBS AG – Stanchart European Warrants Sep 2013 A	2013/09/03	2013/09/10
19880	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Sep 23 A	2013/09/03	2013/09/10
19980	Credit Suisse AG – KECL European Warrants Sep 2013 A	2013/09/03	2013/09/10
20484	Daiwa Capital Mkt – Hutchison European Put Wts Sep 2013 A	2013/09/03	2013/09/10
20716	J P Morgan SP BV – AIA European Warrants Sep 2013 A	2013/09/03	2013/09/10
21004	UBS AG – KECL European Warrants Sep 2013 A	2013/09/03	2013/09/10
21011	CC Rabobank B.A. – AIA European Warrants Sep 2013 A	2013/09/03	2013/09/10
21574	Citigroup Global Mkt H Inc. – AIA European Wts Sep 2013 A	2013/09/03	2013/09/10
21652	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2013 A	2013/09/03	2013/09/10
21920	Credit Suisse AG – CAM CSI300 European Warrants Sep 2013 B	2013/09/03	2013/09/10
22218	CC Rabobank B.A. – CAM CSI300 European Put Wts Sep 2013 C	2013/09/03	2013/09/10
22332	UBS AG – China Life European Warrants Sep 2013 A	2013/09/03	2013/09/10
22411	HK Bank – China Life European Warrants Sep 2013 A	2013/09/03	2013/09/10
22533	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Sep 13 A	2013/09/03	2013/09/10
22827	UBS AG – CSOP A50 ETF European Warrants Sep 2013 A	2013/09/03	2013/09/10
22875	J P Morgan SP BV – Minsheng Bank European Wts Sep 2013 A	2013/09/03	2013/09/10
22916	Standard Chartered Bank – China COSCO European Wt Sep 2013 A	2013/09/03	2013/09/10
23142	UBS AG – Agricultural Bank European Warrants Sep 2013 B	2013/09/03	2013/09/10
23265	UBS AG – HS Bank European Warrants Sep 2013 A	2013/09/03	2013/09/10
23324	UBS AG – CAM CSI300 European Warrants Sep 2013 C	2013/09/03	2013/09/10
23574	UBS AG – SJM Holdings European Warrants Sep 2013 A	2013/09/03	2013/09/10
23652	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2013 C	2013/09/03	2013/09/10
23694	HK Bank – Ch Ship Cont European Warrants Sep 2013 A	2013/09/03	2013/09/10
23802	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Sep 2013 B	2013/09/03	2013/09/10
23867	Credit Suisse AG – SJM Holdings European Warrants Sep 2013 A	2013/09/03	2013/09/10
23886	UBS AG – Evergrande European Warrants Sep 2013 A	2013/09/03	2013/09/10
23887	UBS AG – SHK Ppt European Warrants Sep 2013 A	2013/09/03	2013/09/10
23928	Credit Suisse AG – Sinopharm European Warrants Sep 2013 A	2013/09/03	2013/09/10
23930	Credit Suisse AG – China Gas Hold European Wts Sep 2013 A	2013/09/03	2013/09/10
23977	Standard Chartered Bank – BOCL European Put Wts Sep 2013 B	2013/09/03	2013/09/10
23978	Standard Chartered Bank – Li & Fung Euro Put Wts Sep 2013 B	2013/09/03	2013/09/10
24001	BOCI Asia Ltd. – A50 China European Warrants Sep 2013 A	2013/09/03	2013/09/10
24034	CC Rabobank B.A. – China Agri European Warrants Sep 2013 A	2013/09/03	2013/09/10

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
24152	UBS AG – China Life European Warrants Sep 2013 C	2013/09/03	2013/09/10
25068	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Sep13A	2013/09/03	2013/09/10
25279	Standard Chartered Bank – HKEx European Warrants Sep 2013 B	2013/09/03	2013/09/10
25828	Credit Suisse AG – HSBC European Wts Sep 2013 B	2013/09/03	2013/09/10
26065	BOCI Asia Ltd. – Minsheng Bank European Warrants Sep 2013 A	2013/09/03	2013/09/10
27217	Daiwa Capital Mkt – HSBC European Put Warrants Sep 2013 C	2013/09/03	2013/09/10
11783	Credit Suisse AG – HSBC European Put Warrants Sep 2013 C	2013/09/03	2013/09/10
11879	Credit Suisse AG – ZTE European Warrants Sep 2013 B	2013/09/03	2013/09/10
19386	BOCI Asia Ltd. – HSBC European Warrants Sep 2013 A	2013/09/03	2013/09/10
23233	Credit Suisse AG – Link REIT European Warrants Sep 2013 A	2013/09/03	2013/09/10
22821	Standard Chartered Bank – Li & Fung Euro Put Wts Sep 2013 A	2013/09/04	2013/09/11
23699	Standard Chartered Bank – Esprit European Wts Sep 2013 A	2013/09/04	2013/09/11
24040	J P Morgan SP BV – China EB Int'l European Wts Sep 2013 A	2013/09/04	2013/09/11
25105	UBS AG – HSBC European Warrants Sep 2013 D	2013/09/04	2013/09/11
24073	Daiwa Capital Mkt – BOCL European Warrants Sep 2013 C	2013/09/05	2013/09/12
24116	Daiwa Capital Mkt – HSBC European Warrants Sep 2013 B	2013/09/05	2013/09/12
24253	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Sep13B	2013/09/05	2013/09/12
24611	Nomura Int'l plc – EFUND CSI100 European Warrants Sep 2013 A	2013/09/05	2013/09/12
24612	Nomura Int'l plc – EFUND CSI100 European Put Wts Sep 2013 B	2013/09/05	2013/09/12
24777	Goldman Sachs SP (Asia) – EFUND CSI100 Euro Wts Sep 2013 A	2013/09/05	2013/09/12
18039	Citigroup Global Mkt H Inc. – HS Bank Euro Wts Sep 2013 A	2013/09/06	2013/09/13
21833	Citigroup Global Mkt H Inc. – ICBC European Wts Sep 2013 A	2013/09/06	2013/09/13
22408	Standard Chartered Bank – ICBC European Warrants Sep 2013 A	2013/09/06	2013/09/13
22742	CC Rabobank B.A. – ICBC European Warrants Sep 2013 A	2013/09/06	2013/09/13
23323	UBS AG – ICBC European Warrants Sep 2013 A	2013/09/06	2013/09/13
24127	J P Morgan SP BV – Xinyi Glass European Warrants Sep 2013 A	2013/09/06	2013/09/13
22631	Credit Suisse AG – CSOP A50 ETF European Warrants Sep 2013 A	2013/09/06	2013/09/13
22104	Credit Suisse AG – HKEx European Put Warrants Sep 2013 A	2013/09/09	2013/09/16
23590	HK Bank – Haitong Sec European Warrants Sep 2013 A	2013/09/09	2013/09/16
23728	Credit Suisse AG – CITIC Sec European Warrants Sep 2013 A	2013/09/09	2013/09/16
24002	Standard Chartered Bank – CITIC Sec European Wts Sep 2013 A	2013/09/09	2013/09/16
24158	J P Morgan SP BV – A50 China European Warrants Sep 2013 A	2013/09/09	2013/09/16
24585	HK Bank – China Life European Warrants Sep 2013 B	2013/09/09	2013/09/16
24737	J P Morgan SP BV – China Life European Warrants Sep 2013 E	2013/09/09	2013/09/16
25299	Credit Suisse AG – Sinopec Corp European Warrants Sep 2013 A	2013/09/09	2013/09/16
22739	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2013 A	2013/09/09	2013/09/16
16118	UBS AG – Ping An European Warrants Sep 2013	2013/09/10	2013/09/17
16780	Goldman Sachs SP (Asia) – Mongol Mining European Wt Sep 2013	2013/09/10	2013/09/17
17286	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Sep 2013 A	2013/09/10	2013/09/17
17703	Goldman Sachs SP (Asia) – KECL European Warrants Sep 2013 A	2013/09/10	2013/09/17
19216	Bank of East Asia – Yanzhou Coal European Wts Sep 2013 A	2013/09/10	2013/09/17
19366	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep13A	2013/09/10	2013/09/17
19544	Macquarie Bank Ltd. – Yanzhou Coal European Wts Sep 2013 A	2013/09/10	2013/09/17
19639	HK Bank – Sinopec Corp European Warrants Sep 2013 A	2013/09/10	2013/09/17
20004	Credit Suisse AG – Yanzhou Coal European Warrants Sep 2013 A	2013/09/10	2013/09/17
20582	UBS AG – AIA European Warrants Sep 2013 A	2013/09/10	2013/09/17
21137	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Sep 2013 A	2013/09/10	2013/09/17
21159	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2013 A	2013/09/10	2013/09/17
21376	CC Rabobank B.A. – SJM Holdings European Warrants Sep 2013 A	2013/09/10	2013/09/17
21395	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Sep 2013 A	2013/09/10	2013/09/17
21710	Credit Suisse AG – ICBC European Warrants Sep 2013 A	2013/09/10	2013/09/17
21894	UBS AG – HKEx European Put Warrants Sep 2013 B	2013/09/10	2013/09/17
21968	UBS AG – Agricultural Bank European Warrants Sep 2013 A	2013/09/10	2013/09/17
22193	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Sep 2013 A	2013/09/10	2013/09/17
22194	UBS AG – China Tel European Warrants Sep 2013 A	2013/09/10	2013/09/17
22269	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Sep 2013 A	2013/09/10	2013/09/17
22288	Credit Suisse AG – Agricultural Bank European Wts Sep 2013 A	2013/09/10	2013/09/17
22483	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Sep 2013 A	2013/09/10	2013/09/17
22524	BOCI Asia Ltd. – AIA European Warrants Sep 2013 A	2013/09/10	2013/09/17
22617	Goldman Sachs SP (Asia) -Ch Overseas European Wts Sep 2013 B	2013/09/10	2013/09/17
22689	Daiwa Capital Mkt – Sinopec Corp European Wts Sep 2013 A	2013/09/10	2013/09/17
22744	CC Rabobank B.A. – Agricultural Bk Euro Warrants Sep 2013 A	2013/09/10	2013/09/17
22828	UBS AG – CSOP A50 ETF European Warrants Sep 2013 B	2013/09/10	2013/09/17
23536	Daiwa Capital Mkt – Sands China European Warrants Sep 2013 A	2013/09/10	2013/09/17
23606	UBS AG – GCL-Poly Energy European Warrants Sep 2013 A	2013/09/10	2013/09/17
23747	Daiwa Capital Mkt – AIA European Warrants Sep 2013 B	2013/09/10	2013/09/17
24058	Credit Suisse AG – Xinyi Glass European Warrants Sep 2013 A	2013/09/10	2013/09/17
24078	HK Bank – CSOP A50 ETF European Warrants Sep 2013 A	2013/09/10	2013/09/17
24144	Credit Suisse AG – China EB Int'l European Wts Sep 2013 A	2013/09/10	2013/09/17
24176	Merrill Lynch Int'l & Co. C.V. – Ch Ship Dev Eu Wt Sep2013 B	2013/09/10	2013/09/17
24177	Merrill Lynch Int'l & Co. C.V. – Huaneng Power Eu Wt Sep13 A	2013/09/10	2013/09/17
24207	UBS AG – Galaxy Ent European Warrants Sep 2013 B	2013/09/10	2013/09/17
24208	UBS AG – HSBC European Put Warrants Sep 2013 B	2013/09/10	2013/09/17
24446	Daiwa Capital Mkt – CSOP A50 ETF European Wts Sep 2013 A	2013/09/10	2013/09/17
24577	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep2013 A	2013/09/10	2013/09/17
24600	Daiwa Capital Mkt – China Life European Warrants Sep 2013 A	2013/09/10	2013/09/17
24687	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Sep2013 A	2013/09/10	2013/09/17
24692	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Sep13A	2013/09/10	2013/09/17
24693	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Sep 2013 A	2013/09/10	2013/09/17
24727	UBS AG – HKEx European Warrants Sep 2013 C	2013/09/10	2013/09/17
24949	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Sep 2013 B	2013/09/10	2013/09/17
25014	HK Bank – CAM CSI300 European Warrants Sep 2013 B	2013/09/10	2013/09/17
25313	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Sep 2013 B	2013/09/10	2013/09/17
26560	UBS AG – Sands China European Warrants Sep 2013 A	2013/09/10	2013/09/17
18344	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Sep13A	2013/09/10	2013/09/17
23507	BOCI Asia Ltd. – CSOP A50 ETFEuropean Warrants Sep 2013 A	2013/09/10	2013/09/17
19759	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Sep 2013 A	2013/09/11	2013/09/18

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Code	Warrant	Last trading date	Delisted date
24891	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2013 B	2013/09/11	2013/09/18
19238	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Sep 2013 A	2013/09/12	2013/09/19
19855	Daiwa Capital Mkt – BOC HK European Put Warrants Sep 2013 B	2013/09/12	2013/09/19
22800	Standard Chartered Bank – China Mobile Eur Put Wt Sep 2013 A	2013/09/12	2013/09/19
24217	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2013 C	2013/09/12	2013/09/19
24226	HK Bank – Datang Power European Warrants Sep 2013 A	2013/09/12	2013/09/19
24232	HK Bank – Huaneng Power European Warrants Sep 2013 A	2013/09/12	2013/09/19
24260	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Sep13B	2013/09/12	2013/09/19
24321	J P Morgan SP BV – EFUND CSI100 European Put Wts Sep 2013 A	2013/09/12	2013/09/19
24323	J P Morgan SP BV – EFUND CSI100 European Warrants Sep 2013 B	2013/09/12	2013/09/19
24326	UBS AG – EFUND CSI100 European Warrants Sep 2013 A	2013/09/12	2013/09/19
25069	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Sep13B	2013/09/12	2013/09/19
14545	Macquarie Bank Ltd. – Hang Seng Bank European Wts Sep 2013	2013/09/13	2013/09/23
18191	Standard Chartered Bank – BOCL European Warrants Sep 2013 A	2013/09/13	2013/09/23
20771	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2013 A	2013/09/13	2013/09/23
20906	Daiwa Capital Mkt – ICBC European Warrants Sep 2013 A	2013/09/13	2013/09/23
21432	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Sep2013A	2013/09/13	2013/09/23
22419	Daiwa Capital Mkt – BOCL European Warrants Sep 2013 A	2013/09/13	2013/09/23
22469	BOCI Asia Ltd. – ICBC European Warrants Sep 2013 A	2013/09/13	2013/09/23
22794	UBS AG – BOCL European Warrants Sep 2013 A	2013/09/13	2013/09/23
24320	HK Bank – EFUND CSI100 European Warrants Sep 2013 A	2013/09/13	2013/09/23
25294	UBS AG – Zijin Mining European Warrants Sep 2013 A	2013/09/13	2013/09/23
25333	UBS AG – CC Bank European Warrants Sep 2013 C	2013/09/13	2013/09/23
15302	Bank of East Asia – Ping An European Warrants Sep 2013	2013/09/16	2013/09/24
18241	Bank of East Asia – HKEx European Put Warrants Sep 2013 A	2013/09/16	2013/09/24
18850	CC Rabobank B.A. – Yanzhou Coal European Warrants Sep 2013 A	2013/09/16	2013/09/24
19005	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Sep 2013 A	2013/09/16	2013/09/24
20433	Standard Chartered Bank – HSBC European Warrants Sep 2013 A	2013/09/16	2013/09/24
20659	CC Rabobank B.A. – China Oilfield European Wts Sep 2013 A	2013/09/16	2013/09/24
20687	CC Rabobank B.A. – MGM China European Warrants Sep 2013 A	2013/09/16	2013/09/24
21079	Credit Suisse AG – AIA European Warrants Sep 2013 A	2013/09/16	2013/09/24
21120	CC Rabobank B.A. – Belle Int'l European Warrants Sep 2013 A	2013/09/16	2013/09/24
21334	CC Rabobank B.A. – CAM CSI300 European Warrants Sep 2013 A	2013/09/16	2013/09/24
21373	CC Rabobank B.A. – CITIC Sec European Warrants Sep 2013 A	2013/09/16	2013/09/24
21468	Daiwa Capital Mkt – CAM CSI300 European Warrants Sep 2013 A	2013/09/16	2013/09/24
21615	Daiwa Capital Mkt – AIA European Warrants Sep 2013 A	2013/09/16	2013/09/24
21742	J P Morgan SP BV – SPDR Gold Trust European Wts Sep 2013 A	2013/09/16	2013/09/24
21750	Credit Suisse AG – CAM CSI300 European Warrants Sep 2013 A	2013/09/16	2013/09/24
21928	Daiwa Capital Mkt -Agricultural Bank European Wts Sep 2013 B	2013/09/16	2013/09/24
21982	Nomura Int'l plc – Luk Fook Hold European Wts Sep 2013 A	2013/09/16	2013/09/24
21984	Nomura Int'l plc – HuanengPower European Warrants Sep 2013 A	2013/09/16	2013/09/24
22079	UBS AG – CAM CSI300 European Put Warrants Sep 2013 B	2013/09/16	2013/09/24
22146	Credit Suisse AG – AIA European Warrants Sep 2013 B	2013/09/16	2013/09/24
22255	HK Bank – Agricultural Bank European Warrants Sep 2013 A	2013/09/16	2013/09/24
22385	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2013 A	2013/09/16	2013/09/24
22452	SGA Societe Generale Acceptance NV-AMCSI300 Eu Put Wt Sep13D	2013/09/16	2013/09/24
22514	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Sep 2013 A	2013/09/16	2013/09/24
22657	CC Rabobank B.A. – Haitong Sec European Warrants Sep 2013 A	2013/09/16	2013/09/24
22967	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2013 A	2013/09/16	2013/09/24
23092	Bank of East Asia – Yanzhou Coal European Wts Sep 2013 B	2013/09/16	2013/09/24
23112	CC Rabobank B.A. – MGM China European Warrants Sep 2013 B	2013/09/16	2013/09/24
23126	Goldman Sachs SP (Asia) – CITIC Sec European Wts Sep 2013 A	2013/09/16	2013/09/24
23264	UBS AG – Chinares Cement European Warrants Sep 2013 A	2013/09/16	2013/09/24
23373	HK Bank – Sands China European Warrants Sep 2013 A	2013/09/16	2013/09/24
23630	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Sep 2013 A	2013/09/16	2013/09/24
24060	UBS AG – CSOP A50 ETF European Warrants Sep 2013 C	2013/09/16	2013/09/24
24123	UBS AG – AIA European Put Warrants Sep 2013 C	2013/09/16	2013/09/24
24146	UBS AG – KECL European Warrants Sep 2013 B	2013/09/16	2013/09/24
24174	Merrill Lynch Int'l & Co. C.V. – Ch Ship Dev Eu Wt Sep2013 A	2013/09/16	2013/09/24
24209	UBS AG – CC Bank European Put Warrants Sep 2013 B	2013/09/16	2013/09/24
24212	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2013 B	2013/09/16	2013/09/24
24250	SGA Societe Generale Acceptance N.V-Belle Int'l Eu Wt Sep13A	2013/09/16	2013/09/24
24271	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Sep 2013 C	2013/09/16	2013/09/24
24293	HK Bank – AIA European Warrants Sep 2013 C	2013/09/16	2013/09/24
24328	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Sep13 A	2013/09/16	2013/09/24
24331	SGA Societe Generale Acceptance N.V-Chi COSCO Eu Wt Sep13 A	2013/09/16	2013/09/24
24339	UBS AG – China Life European Warrants Sep 2013 D	2013/09/16	2013/09/24
24363	UBS AG – A50 China European Warrants Sep 2013 A	2013/09/16	2013/09/24
24412	J P Morgan SP BV – Sinopec Corp European Warrants Sep 2013 A	2013/09/16	2013/09/24
24413	J P Morgan SP BV – Cheung Kong European Warrants Sep 2013 B	2013/09/16	2013/09/24
24420	HK Bank – SHK Ppt European Warrants Sep 2013 A	2013/09/16	2013/09/24
24438	Credit Suisse AG – AIA European Put Warrants Sep 2013 D	2013/09/16	2013/09/24
24442	Credit Suisse AG – CNBM European Warrants Sep 2013 A	2013/09/16	2013/09/24
24443	Credit Suisse AG – Anhui Conch European Warrants Sep 2013 A	2013/09/16	2013/09/24
24444	Daiwa Capital Mkt – Hutchison European Warrants Sep 2013 B	2013/09/16	2013/09/24
24453	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Sep 2013 A	2013/09/16	2013/09/24
24454	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Sep 2013 A	2013/09/16	2013/09/24
24834	Daiwa Capital Mkt – ICBC European Warrants Sep 2013 B	2013/09/16	2013/09/24
24851	Credit Suisse AG – HKEx European Warrants Sep 2013 B	2013/09/16	2013/09/24
24882	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2013 B	2013/09/16	2013/09/24
24884	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Sep 2013 A	2013/09/16	2013/09/24
24922	BOCI Asia Ltd. – Sands China European Warrants Sep 2013 A	2013/09/16	2013/09/24
24923	BOCI Asia Ltd. – China Life European Warrants Sep 2013 B	2013/09/16	2013/09/24
24940	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Sep 2013 A	2013/09/16	2013/09/24
24977	UBS AG – ICBC European Warrants Sep 2013 B	2013/09/16	2013/09/24
25088	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2013 B	2013/09/16	2013/09/24
25289	UBS AG – HKEx European Warrants Sep 2013 D	2013/09/16	2013/09/24

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
25290	UBS AG – China Mobile European Warrants Sep 2013 A	2013/09/16	2013/09/24
25311	Citigroup Global Mkt H Inc. – CC Bank European Wt Sep 2013 A	2013/09/16	2013/09/24
25317	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Sep 2013 A	2013/09/16	2013/09/24
25392	BOCI Asia Ltd. – SHK Ppt European Warrants Sep 2013 A	2013/09/16	2013/09/24
25454	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Sep13A	2013/09/16	2013/09/24
25568	BOCI Asia Ltd. – A50 China European Warrants Sep 2013 B	2013/09/16	2013/09/24
25882	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Sep 13 B	2013/09/16	2013/09/24
26271	Credit Suisse AG – CC Bank European Warrants Sep 2013 A	2013/09/16	2013/09/24
11063	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Sep13B	2013/09/16	2013/09/24
11455	UBS AG – PetroChina European Warrants Sep 2013 A	2013/09/16	2013/09/24
11957	Credit Suisse AG – CC Bank European Put Warrants Sep 2013 B	2013/09/16	2013/09/24
12103	Credit Suisse AG – China Mobile European Warrants Sep 2013 A	2013/09/16	2013/09/24
12108	Daiwa Capital Mkt – China Mobile European Wts Sep 2013 B	2013/09/16	2013/09/24
12199	Credit Suisse AG – GCL-Poly Energy European Wts Sep 2013 A	2013/09/16	2013/09/24
12209	Standard Chartered Bank – Galaxy Ent European Wts Sep 2013 A	2013/09/16	2013/09/24
12225	Credit Suisse AG – Stanchart European Warrants Sep 2013 A	2013/09/16	2013/09/24
12280	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2013 G	2013/09/16	2013/09/24
18796	HK Bank – HWL European Warrants Sep 2013 B	2013/09/16	2013/09/24
21325	Credit Suisse AG – Hutchison European Warrants Sep 2013 A	2013/09/16	2013/09/24
21348	Credit Suisse – Huaneng Power European Warrants Sep 2013 A	2013/09/16	2013/09/24
23519	Credit Suisse AG – CC Bank European Warrants Sep 2013 C	2013/09/16	2013/09/24
24233	Credit Suisse AG – HSBC European Wts Sep 2013 D	2013/09/16	2013/09/24
21444	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2013 A	2013/09/17	2013/09/25
21654	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2013 A	2013/09/17	2013/09/25
22005	Citigroup Global Mkt H Inc. – China Life Eu Put Wt Sep2013 A	2013/09/17	2013/09/25
22215	CC Rabobank B.A. – CAM CSI300 European Warrants Sep 2013 B	2013/09/17	2013/09/25
22261	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Sep 2013 A	2013/09/17	2013/09/25
22488	HK Bank – China Mobile European Warrants Sep 2013 A	2013/09/17	2013/09/25
22520	HK Bank – Henderson Land Dev European Warrants Sep 2013 A	2013/09/17	2013/09/25
23015	UBS AG – Haitong Sec European Warrants Sep 2013 A	2013/09/17	2013/09/25
23602	UBS AG – Agricultural Bank European Warrants Sep 2013 C	2013/09/17	2013/09/25
23914	Standard Chartered Bank – China Life Euro Put Wts Sep 2013 B	2013/09/17	2013/09/25
24154	HK Bank – AIA European Put Warrants Sep 2013 B	2013/09/17	2013/09/25
24267	UBS AG – Tencent Holdings European Warrants Sep 2013 B	2013/09/17	2013/09/25
24273	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Sep 2013 A	2013/09/17	2013/09/25
25112	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Sep 2013 B	2013/09/17	2013/09/25
25185	HK Bank – HWL European Warrants Sep 2013 A	2013/09/17	2013/09/25
25200	UBS AG – Hutchison European Warrants Sep 2013 B	2013/09/17	2013/09/25
25325	Citigroup Global Mkt H Inc. – HKEx European Wts Sep 2013 B	2013/09/17	2013/09/25
27248	UBS AG – Tencent Holdings European Put Warrants Sep 2013 E	2013/09/17	2013/09/25
11244	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2013 B	2013/09/17	2013/09/25
21859	HK Bank – Ping An European Warrants Sep 2013 A	2013/09/17	2013/09/25
21922	HK Bank – HKEx European Warrants Sep 2013 A	2013/09/17	2013/09/25
27458	Nomura Int'l plc – Lenovo European Warrants Sep 2013	2013/09/18	2013/09/26
12587	HK Bank – CHALCO European Warrants Sep 2013	2013/09/18	2013/09/26
18486	Daiwa Capital Mkt – SHK Ppt European Put Warrants Sep 2013 A	2013/09/18	2013/09/26
19232	Nomura Int'l plc – Zhaojin Mining European Wts Sep 2013 A	2013/09/18	2013/09/26
19763	Goldman Sachs SP (Asia) – CM Bank European Wts Sep 2013 A	2013/09/18	2013/09/26
20207	UBS AG – Lenovo European Warrants Sep 2013 A	2013/09/18	2013/09/26
20902	J P Morgan SP BV – Geely Auto European Warrants Sep 2013 A	2013/09/18	2013/09/26
21761	Daiwa Capital Mkt – Ch Overseas European Put Wts Sep 2013 A	2013/09/18	2013/09/26
21842	HK Bank – China Overseas European Put Warrants Sep 2013 A	2013/09/18	2013/09/26
22938	UBS AG – CC Bank European Warrants Sep 2013 A	2013/09/18	2013/09/26
23417	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Sep 2013 C	2013/09/18	2013/09/26
23529	UBS AG – Galaxy Ent European Warrants Sep 2013 A	2013/09/18	2013/09/26
23622	UBS AG – Zhaojin Mining European Warrants Sep 2013 A	2013/09/18	2013/09/26
24025	J P Morgan SP BV – Zhaojin Mining European Wts Sep 2013 A	2013/09/18	2013/09/26
24254	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Sep 13 B	2013/09/18	2013/09/26
24547	UBS AG – HSBC European Warrants Sep 2013 C	2013/09/18	2013/09/26
24557	HK Bank – Tencent European Warrants Sep 2013 B	2013/09/18	2013/09/26
24655	UBS AG – Tencent Holdings European Warrants Sep 2013 C	2013/09/18	2013/09/26
25304	Citigroup Global Mkt H Inc. – Hutchison Eu Put Wt Sep 2013 A	2013/09/18	2013/09/26
25614	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep13 C	2013/09/18	2013/09/26
25788	Standard Chartered Bank – CNOOC European Warrants Sep 2013 A	2013/09/18	2013/09/26
26551	UBS AG – Tencent Holdings European Put Warrants Sep 2013 D	2013/09/18	2013/09/26
27024	Standard Chartered Bank – Tencent Hldg Euro Wts Sep 2013 B	2013/09/18	2013/09/26
23260	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2013 B	2013/09/18	2013/09/26
15957	Citigroup Global Mkt H Inc. – BBMG European Wts Sep 2013	2013/09/19	2013/09/27
18213	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Eu Put Wt Sep 2013A	2013/09/19	2013/09/27
18737	Bank of East Asia – Belle European Warrants Sep 2013 A	2013/09/19	2013/09/27
19758	UBS AG – China Unicom European Warrants Sep 2013 A	2013/09/19	2013/09/27
19939	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Sep 2013 A	2013/09/19	2013/09/27
20926	HK Bank – AIA European Warrants Sep 2013 A	2013/09/19	2013/09/27
21008	CC Rabobank B.A. – ChiUnicom European Warrants Sep 2013 A	2013/09/19	2013/09/27
21425	Bank of East Asia – Swire Properties European Wts Sep 2013 A	2013/09/19	2013/09/27
22586	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Sep 2013 A	2013/09/19	2013/09/27
22688	Daiwa Capital Mkt – China Mobile European Wts Sep 2013 A	2013/09/19	2013/09/27
23741	Citigroup Global Mkt H Inc. – HKEx European Wts Sep 2013 A	2013/09/19	2013/09/27
24393	Nomura Int'l plc – CC Bank European Warrants Sep 2013 A	2013/09/19	2013/09/27
24397	Nomura Int'l plc – Yurun Food European Warrants Sep 2013 A	2013/09/19	2013/09/27
12740	HK Bank – HSI European Warrants Sep 2013	2013/09/23	2013/09/30
16341	Credit Suisse AG – Ping An European Warrants Sep 2013	2013/09/23	2013/09/30
16556	HK Bank – BOCL European Warrants Sep 2013	2013/09/23	2013/09/30
17722	Macquarie Bank Ltd. – SHK Ppt European Put Wts Sep 2013 A	2013/09/23	2013/09/30
18021	HK Bank – HSI European Put Warrants Sep 2013 A	2013/09/23	2013/09/30
18779	HK Bank – HSCEI European Warrants Sep 2013 A	2013/09/23	2013/09/30
18780	HK Bank – HSCEI European Put Warrants Sep 2013 B	2013/09/23	2013/09/30

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Code	Warrant	Last trading date	Delisted date
18833	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Sep 2013 A	2013/09/23	2013/09/30
19496	UBS AG – HSI European Warrants Sep 2013 A	2013/09/23	2013/09/30
19550	UBS AG – HSCEI European Warrants Sep 2013 A	2013/09/23	2013/09/30
19762	J P Morgan SP BV – Stanchart European Warrants Sep 2013 A	2013/09/23	2013/09/30
20006	UBS AG – HSI European Warrants Sep 2013 B	2013/09/23	2013/09/30
20294	Daiwa Capital Mkt – HSCEI European Warrants Sep 2013 A	2013/09/23	2013/09/30
20295	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 A	2013/09/23	2013/09/30
20447	Daiwa Capital Mkt – HSI European Warrants Sep 2013 A	2013/09/23	2013/09/30
20449	Daiwa Capital Mkt – HSCEI European Warrants Sep 2013 B	2013/09/23	2013/09/30
21134	HK Bank – CM Bank European Warrants Sep 2013 A	2013/09/23	2013/09/30
21246	CC Rabobank B.A. – CC Bank European Warrants Sep 2013 A	2013/09/23	2013/09/30
21487	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2013 A	2013/09/23	2013/09/30
21823	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2013 B	2013/09/23	2013/09/30
21825	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 C	2013/09/23	2013/09/30
21846	CC Rabobank B.A. – Shimao Ppt European Warrants Sep 2013 A	2013/09/23	2013/09/30
21924	Daiwa Capital Mkt – HSI European Warrants Sep 2013 B	2013/09/23	2013/09/30
22137	Credit Suisse AG – HSI European Put Warrants Sep 2013 A	2013/09/23	2013/09/30
22142	Credit Suisse AG – HSI European Warrants Sep 2013 B	2013/09/23	2013/09/30
22290	Credit Suisse AG – CAM CSI300 European Warrants Sep 2013 C	2013/09/23	2013/09/30
22314	Citigroup Global Mkt H Inc. – HSI European Put Wt Sep 2013 A	2013/09/23	2013/09/30
22315	Citigroup Global Mkt H Inc. – HSI European Wts Sep 2013 B	2013/09/23	2013/09/30
22444	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 D	2013/09/23	2013/09/30
22470	BOCI Asia Ltd. – BOCL European Warrants Sep 2013 A	2013/09/23	2013/09/30
22495	UBS AG – HSCEI European Warrants Sep 2013 B	2013/09/23	2013/09/30
22528	Standard Chartered Bank – HKEx European Warrants Sep 2013 A	2013/09/23	2013/09/30
22529	Standard Chartered Bank – HSI European Warrants Sep 2013 A	2013/09/23	2013/09/30
22613	Goldman Sachs SP (Asia) – HSCEI European Warrants Sep 2013 A	2013/09/23	2013/09/30
22616	Goldman Sachs SP (Asia) – HSCEI European Put Wts Sep 2013 B	2013/09/23	2013/09/30
22935	Daiwa Capital Mkt – HSCEI European Warrants Sep 2013 C	2013/09/23	2013/09/30
23159	UBS AG – Link REIT European Warrants Sep 2013 A	2013/09/23	2013/09/30
23225	HK Bank – HSCEI European Warrants Sep 2013 C	2013/09/23	2013/09/30
23226	HK Bank – HSCEI European Put Warrants Sep 2013 D	2013/09/23	2013/09/30
23370	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Sep 2013 A	2013/09/23	2013/09/30
23553	J P Morgan SP BV – HSI European Warrants Sep 2013 A	2013/09/23	2013/09/30
23554	J P Morgan SP BV – HSI European Warrants Sep 2013 B	2013/09/23	2013/09/30
23555	J P Morgan SP BV – HSI European Put Warrants Sep 2013 C	2013/09/23	2013/09/30
23778	J P Morgan SP BV – HSCEI European Put Warrants Sep 2013 B	2013/09/23	2013/09/30
23852	HK Bank – HSI European Put Warrants Sep 2013 B	2013/09/23	2013/09/30
23854	HK Bank – HSI European Warrants Sep 2013 C	2013/09/23	2013/09/30
23855	HK Bank – HSI European Put Warrants Sep 2013 D	2013/09/23	2013/09/30
23894	J P Morgan SP BV – HSI European Put Warrants Sep 2013 D	2013/09/23	2013/09/30
23958	J P Morgan SP BV – HSI European Warrants Sep 2013 E	2013/09/23	2013/09/30
24097	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2013 A	2013/09/23	2013/09/30
24187	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep2013A	2013/09/23	2013/09/30
24205	UBS AG – HSI European Put Warrants Sep 2013 C	2013/09/23	2013/09/30
24240	Standard Chartered Bank – CC Bank European Wts Sep 2013 A	2013/09/23	2013/09/30
24270	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2013 E	2013/09/23	2013/09/30
24335	Standard Chartered Bank – HSI European Put Wts Sep 2013 B	2013/09/23	2013/09/30
24355	Standard Chartered Bank – Zhaojin Mining Euro Wts Sep 2013 A	2013/09/23	2013/09/30
24356	Standard Chartered Bank – Zijin Mining Euro Wts Sep 2013 B	2013/09/23	2013/09/30
24439	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 B	2013/09/23	2013/09/30
24445	Daiwa Capital Mkt – HSI European Put Warrants Sep 2013 C	2013/09/23	2013/09/30
24505	Credit Suisse AG – HSI European Warrants Sep 2013 C	2013/09/23	2013/09/30
24512	J P Morgan SP BV – HSI European Warrants Sep 2013 F	2013/09/23	2013/09/30
24513	J P Morgan SP BV – HSI European Put Warrants Sep 2013 G	2013/09/23	2013/09/30
24522	HK Bank – HSI European Warrants Sep 2013 E	2013/09/23	2013/09/30
24525	HK Bank – HSI European Warrants Sep 2013 F	2013/09/23	2013/09/30
24546	UBS AG – HSI European Warrants Sep 2013 D	2013/09/23	2013/09/30
24563	Standard Chartered Bank – HSI European Warrants Sep 2013 C	2013/09/23	2013/09/30
24658	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2013 F	2013/09/23	2013/09/30
24659	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2013 G	2013/09/23	2013/09/30
24660	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 H	2013/09/23	2013/09/30
24701	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2013 B	2013/09/23	2013/09/30
24782	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2013 A	2013/09/23	2013/09/30
24856	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 C	2013/09/23	2013/09/30
24921	BOCI Asia Ltd. – HSI European Warrants Sep 2013 A	2013/09/23	2013/09/30
24964	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep13 C	2013/09/23	2013/09/30
24973	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2013 D	2013/09/23	2013/09/30
25091	UBS AG – HSI European Put Warrants Sep 2013 E	2013/09/23	2013/09/30
25100	UBS AG – HSI European Warrants Sep 2013 F	2013/09/23	2013/09/30
25117	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 I	2013/09/23	2013/09/30
25136	HK Bank – HSI European Warrants Sep 2013 G	2013/09/23	2013/09/30
25187	BOCI Asia Ltd. – HSI European Warrants Sep 2013 B	2013/09/23	2013/09/30
25398	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Sep 2013 B	2013/09/23	2013/09/30
25560	Credit Suisse AG – CNOOC European Warrants Sep 2013 A	2013/09/23	2013/09/30
25605	Credit Suisse AG – ZTE European Warrants Sep 2013 A	2013/09/23	2013/09/30
26287	Credit Suisse AG – HSI European Put Warrants Sep 2013 D	2013/09/23	2013/09/30
26543	UBS AG – HSI European Put Warrants Sep 2013 G	2013/09/23	2013/09/30
26645	Standard Chartered Bank – HSI European Warrants Sep 2013 D	2013/09/23	2013/09/30
26916	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2013 B	2013/09/23	2013/09/30
27022	HK Bank – HSI European Put Warrants Sep 2013 H	2013/09/23	2013/09/30
11211	Credit Suisse AG – HKEx European Warrants Sep 2013 C	2013/09/23	2013/09/30
11234	UBS AG – CC Bank European Warrants Sep 2013 D	2013/09/23	2013/09/30
11439	Credit Suisse AG – HSI European Put Warrants Sep 2013 E	2013/09/23	2013/09/30
11581	UBS AG – HSCEI European Put Warrants Sep 2013 C	2013/09/23	2013/09/30
11782	Credit Suisse AG – HSI European Put Warrants Sep 2013 F	2013/09/23	2013/09/30
16299	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep 13 E	2013/09/23	2013/09/30

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Code	Warrant	Last trading date	Delisted date
21588	HK Bank – HSI European Warrants Sep 2013 I	2013/09/23	2013/09/30
22322	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2013 C	2013/09/23	2013/09/30
23791	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Sep 2013 B	2013/09/23	2013/09/30
24400	Credit Suisse AG – HSCEI European Warrants Sep 2013 A	2013/09/23	2013/09/30
24492	Credit Suisse AG – HSI European Warrants Sep 2013 G	2013/09/23	2013/09/30
25135	UBS AG – HSCEI European Warrants Sep 2013 D	2013/09/23	2013/09/30
15994	Nomura Int'l plc – Ping An European Warrants Sep 2013	2013/09/24	2013/10/02
16544	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Sep 13	2013/09/24	2013/10/02
17433	Nomura Int'l plc – Link REIT European Put Wts Sep 2013 A	2013/09/24	2013/10/02
18863	HK Bank – China Unicom European Warrants Sep 2013 A	2013/09/24	2013/10/02
19780	CC Rabobank B.A. – Stanchart European Warrants Sep 2013 A	2013/09/24	2013/10/02
19829	Goldman Sachs SP (Asia) – Stanchart European Wts Sep 2013 A	2013/09/24	2013/10/02
19979	Credit Suisse AG – ChiUnicom European Warrants Sep 2013 A	2013/09/24	2013/10/02
20039	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Sep 2013 A	2013/09/24	2013/10/02
20156	J P Morgan SP BV – Link Reit European Warrants Sep 2013 A	2013/09/24	2013/10/02
20303	HK Bank – Zoomlion European Warrants Sep 2013 A	2013/09/24	2013/10/02
20706	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Sep 2013 A	2013/09/24	2013/10/02
20848	Nomura Int'l plc -Henderson Land Dev Euro Wts Sep 2013 A	2013/09/24	2013/10/02
20851	Nomura Int'l plc – Digital China European Wts Sep 2013 A	2013/09/24	2013/10/02
20918	UBS AG – AIA European Warrants Sep 2013 B	2013/09/24	2013/10/02
20973	Nomura Int'l plc – Esprit European Warrants Sep 2013 C	2013/09/24	2013/10/02
20996	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Sep 2013 A	2013/09/24	2013/10/02
21060	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2013 A	2013/09/24	2013/10/02
21138	HK Bank -BYD European Warrants Sep 2013 A	2013/09/24	2013/10/02
21187	UBS AG – CAM CSI300 European Warrants Sep 2013 A	2013/09/24	2013/10/02
21270	UBS AG – Esprit European Warrants Sep 2013 A	2013/09/24	2013/10/02
21361	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13A	2013/09/24	2013/10/02
21409	Goldman Sachs SP (Asia) -Ch Overseas European Wts Sep 2013 A	2013/09/24	2013/10/02
21679	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13B	2013/09/24	2013/10/02
21919	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Sep 2013 B	2013/09/24	2013/10/02
21939	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Sep 13 A	2013/09/24	2013/10/02
22022	Citigroup Global Mkt H Inc. – China Tel Euro Wts Sep 2013 A	2013/09/24	2013/10/02
22050	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Sep 2013 B	2013/09/24	2013/10/02
22067	SGA Societe Generale Acceptance N.V. – COVS Eu Put Wt Sep13A	2013/09/24	2013/10/02
22221	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13C	2013/09/24	2013/10/02
22383	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Sep 2013 A	2013/09/24	2013/10/02
10167	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Sep 2013 A	2013/09/24	2013/10/02
10168	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Sep 2013 B	2013/09/24	2013/10/02
22490	HK Bank – CAM CSI300 European Put Warrants Sep 2013 A	2013/09/24	2013/10/02
22749	Standard Chartered Bank – Ch Ship Cont Euro Wts Sep 2013 A	2013/09/24	2013/10/02
22885	Goldman Sachs SP (Asia) – PICC European Warrants Sep 2013 A	2013/09/24	2013/10/02
23058	Goldman Sachs SP (Asia) – CITIC Bank European Wts Sep 2013 A	2013/09/24	2013/10/02
23059	Goldman Sachs SP (Asia) – CQRC Bank European Wts Sep 2013 A	2013/09/24	2013/10/02
23085	Bank of East Asia – Ping An European Warrants Sep 2013 A	2013/09/24	2013/10/02
23305	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Sep 2013 A	2013/09/24	2013/10/02
23307	Goldman Sachs SP (Asia) – Lenovo European Wts Sep 2013 A	2013/09/24	2013/10/02
23359	Daiwa Capital Mkt – BOCL European Put Warrants Sep 2013 B	2013/09/24	2013/10/02
23374	HK Bank – Galaxy Ent European Warrants Sep 2013 A	2013/09/24	2013/10/02
23411	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2013 B	2013/09/24	2013/10/02
23412	Goldman Sachs SP (Asia) – Agri Bk European Wts Sep 2013 A	2013/09/24	2013/10/02
23415	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2013 A	2013/09/24	2013/10/02
23504	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Sep 2013 A	2013/09/24	2013/10/02
23505	Goldman Sachs SP (Asia) – BankComm European Wts Sep 2013 A	2013/09/24	2013/10/02
23514	Goldman Sachs SP (Asia) – Hutchison European Wts Sep 2013 B	2013/09/24	2013/10/02
23582	Credit Suisse AG – Shenzhen Int'l European Wts Sep 2013 A	2013/09/24	2013/10/02
23709	BOCI Asia Ltd. – China Life European Put Warrants Sep 2013 A	2013/09/24	2013/10/02
23847	Goldman Sachs SP (Asia) – AIA European Put Wts Sep 2013 B	2013/09/24	2013/10/02
23940	UBS AG – Lenovo European Warrants Sep 2013 B	2013/09/24	2013/10/02
24005	Standard Chartered Bank – Anhui Conch Euro Wts Sep 2013 A	2013/09/24	2013/10/02
24008	HK Bank – Cheung Kong European Warrants Sep 2013 A	2013/09/24	2013/10/02
24016	Goldman Sachs SP (Asia) -Tencent European Wt Sep 2013 A	2013/09/24	2013/10/02
24077	HK Bank – Evergrande European Warrants Sep 2013 A	2013/09/24	2013/10/02
24130	Goldman Sachs SP (Asia) – PetroChina European Wts Sep 2013 A	2013/09/24	2013/10/02
24132	Goldman Sachs SP (Asia) – CC Bank European Wts Sep 2013 B	2013/09/24	2013/10/02
24143	Credit Suisse AG – AIA European Warrants Sep 2013 C	2013/09/24	2013/10/02
24149	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2013 B	2013/09/24	2013/10/02
24155	HK Bank – Minsheng Bank European Warrants Sep 2013 A	2013/09/24	2013/10/02
24156	HK Bank – Minsheng Bank European Warrants Sep 2013 B	2013/09/24	2013/10/02
24185	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep2013A	2013/09/24	2013/10/02
24200	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2013 B	2013/09/24	2013/10/02
24201	Goldman Sachs SP (Asia) – Hutchison European Wts Sep 2013 C	2013/09/24	2013/10/02
24203	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Sep 2013 A	2013/09/24	2013/10/02
24204	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2013 B	2013/09/24	2013/10/02
24225	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2013 A	2013/09/24	2013/10/02
24235	HK Bank – CC Bank European Warrants Sep 2013 A	2013/09/24	2013/10/02
24255	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Sep13A	2013/09/24	2013/10/02
10176	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Sep13 A	2013/09/24	2013/10/02
10177	SGA Societe Generale Acceptance N.V-USD/YEN Eu Put Wt Sep13B	2013/09/24	2013/10/02
24407	Nomura Int'l plc – Minsheng Bank European Wts Sep 2013 A	2013/09/24	2013/10/02
24417	HK Bank – China Mobile European Warrants Sep 2013 B	2013/09/24	2013/10/02
24418	HK Bank – China Mobile European Warrants Sep 2013 C	2013/09/24	2013/10/02
24421	HK Bank – China Shenhua European Warrants Sep 2013 A	2013/09/24	2013/10/02
24474	HK Bank – CPIC European Warrants Sep 2013 B	2013/09/24	2013/10/02
24475	HK Bank – GCL-Poly Energy European Warrants Sep 2013 A	2013/09/24	2013/10/02
24501	Credit Suisse – Henderson Land European Warrants Sep 2013 A	2013/09/24	2013/10/02
24507	UBS AG – Chinares Cement European Warrants Sep 2013 B	2013/09/24	2013/10/02
24535	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2013 A	2013/09/24	2013/10/02

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
24536	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2013 B	2013/09/24	2013/10/02
24541	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2013 B	2013/09/24	2013/10/02
24603	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Sep13A	2013/09/24	2013/10/02
24606	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Sep 2013 A	2013/09/24	2013/10/02
24607	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Sep 13 A	2013/09/24	2013/10/02
25023	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 D	2013/09/24	2013/10/02
25025	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 E	2013/09/24	2013/10/02
25027	Credit Suisse AG – China Shenhua European Wts Sep 2013 B	2013/09/24	2013/10/02
25031	Credit Suisse AG – ICBC European Warrants Sep 2013 B	2013/09/24	2013/10/02
25032	Credit Suisse AG – Ping An European Warrants Sep 2013 A	2013/09/24	2013/10/02
25051	Credit Suisse – China Railway European Warrants Sep 2013 A	2013/09/24	2013/10/02
25104	UBS AG – China Life European Warrants Sep 2013 E	2013/09/24	2013/10/02
25131	HK Bank – China Life European Warrants Sep 2013 C	2013/09/24	2013/10/02
25164	Credit Suisse AG – Ch LongYuan European Warrants Sep 2013 A	2013/09/24	2013/10/02
25564	BOCI Asia Ltd. – Cheung Kong European Warrants Sep 2013 A	2013/09/24	2013/10/02
25613	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Sep13 B	2013/09/24	2013/10/02
26070	Credit Suisse AG – Sinopharm European Warrants Sep 2013 B	2013/09/24	2013/10/02
26071	Credit Suisse AG – SJM Holdings European Warrants Sep 2013 B	2013/09/24	2013/10/02
26536	UBS AG – Hutchison European Warrants Sep 2013 C	2013/09/24	2013/10/02
27089	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2013 F	2013/09/24	2013/10/02
12101	Credit Suisse AG – BYD European Warrants Sep 2013 A	2013/09/24	2013/10/02
12134	Credit Suisse AG – A50 China European Warrants Sep 2013 A	2013/09/24	2013/10/02
12136	Credit Suisse – Cheung Kong European Put Warrants Sep 2013 A	2013/09/24	2013/10/02
12139	Credit Suisse AG – Geely Auto European Warrants Sep 2013 A	2013/09/24	2013/10/02
12141	Credit Suisse AG – Galaxy Ent European Warrants Sep 2013 A	2013/09/24	2013/10/02
12147	UBS AG – China Mobile European Warrants Sep 2013 B	2013/09/24	2013/10/02
12156	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2013 A	2013/09/24	2013/10/02
12171	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2013 B	2013/09/24	2013/10/02
12204	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eu Wt Sep 2013 B	2013/09/24	2013/10/02
12257	Credit Suisse AG – CITIC Pacific European Warrants Sep 13 A	2013/09/24	2013/10/02
23951	Credit Suisse AG – Hutchison European Warrants Sep 2013 B	2013/09/24	2013/10/02
29704	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Oct 2013	2013/09/25	2013/10/03
17839	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Oct13A	2013/09/25	2013/10/03
19180	Daiwa Capital Mkt – A50 China European Put Wts Oct 2013 A	2013/09/25	2013/10/03
19380	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Oct 2013 A	2013/09/25	2013/10/03
19402	J P Morgan SP BV – Gome European Warrants Oct 2013 A	2013/09/25	2013/10/03
19740	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Oct13A	2013/09/25	2013/10/03
19797	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Oct 2013A	2013/09/25	2013/10/03
20107	J P Morgan SP BV – China Unicom European Warrants Oct 2013 A	2013/09/25	2013/10/03
20305	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Oct 2013 A	2013/09/25	2013/10/03
20306	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wt Oct 2013 A	2013/09/25	2013/10/03
20308	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts Oct 2013 A	2013/09/25	2013/10/03
20309	BNP Paribas Arbit Issu B.V. – Gome European Wts Oct 2013 A	2013/09/25	2013/10/03
20413	J P Morgan SP BV – Shimao Property European Wts Oct 2013 A	2013/09/25	2013/10/03
20474	J P Morgan SP BV – Longfor PPT European Warrants Oct 2013 A	2013/09/25	2013/10/03
20934	Standard Chartered Bank – AIA European Warrants Oct 2013 A	2013/09/25	2013/10/03
21163	J P Morgan SP BV – BEA European Warrants Oct 2013 A	2013/09/25	2013/10/03
21254	J P Morgan SP BV – China Life European Put Wts Oct 2013 A	2013/09/25	2013/10/03
21396	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Oct 13 A	2013/09/25	2013/10/03
21745	J P Morgan SP BV – China Tel European Warrants Oct 2013 A	2013/09/25	2013/10/03
21857	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Oct 2013 A	2013/09/25	2013/10/03
21882	Daiwa Capital Mkt – Ch Overseas European Warrants Oct 2013 A	2013/09/25	2013/10/03
22052	Daiwa Capital Mkt – CAM CSI300 European Warrants Oct 2013 A	2013/09/25	2013/10/03
22472	J P Morgan SP BV – Haitong Sec European Warrants Oct 2013 A	2013/09/25	2013/10/03
22801	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Oct 2013 A	2013/09/25	2013/10/03
22959	J P Morgan SP BV – AAC Acoustic European Warrants Oct 2013 A	2013/09/25	2013/10/03
23247	J P Morgan SP BV – Lumena Newmat European Wts Oct 2013 A	2013/09/25	2013/10/03
23459	Daiwa Capital Mkt -Agricultural Bank European Wts Oct 2013 A	2013/09/25	2013/10/03
23520	Daiwa Capital Mkt – Galaxy Ent European Warrants Oct 2013 A	2013/09/25	2013/10/03
23773	J P Morgan SP BV – Tencent European Put Warrants Oct 2013 A	2013/09/25	2013/10/03
23774	J P Morgan SP BV – Tencent European Warrants Oct 2013 B	2013/09/25	2013/10/03
23819	J P Morgan SP BV – China Shenhua European Wts Oct 2013 A	2013/09/25	2013/10/03
23860	Daiwa Capital Mkt – China Life European Put Wts Oct 2013 C	2013/09/25	2013/10/03
23982	Standard Chartered Bank – BOCL European Warrants Oct 2013 B	2013/09/25	2013/10/03
23995	J P Morgan SP BV – CAM CSI300 European Warrants Oct 2013 A	2013/09/25	2013/10/03
24089	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2013 A	2013/09/25	2013/10/03
24126	J P Morgan SP BV – Chi Res Power European Wts Oct 2013 A	2013/09/25	2013/10/03
24290	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Oct13A	2013/09/25	2013/10/03
24291	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Oct13A	2013/09/25	2013/10/03
24294	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Oct 2013 A	2013/09/25	2013/10/03
24297	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2013 A	2013/09/25	2013/10/03
24298	Goldman Sachs SP (Asia) – CRCC European Warrants Oct 2013 A	2013/09/25	2013/10/03
24322	J P Morgan SP BV – EFUND CSI100 European Warrants Oct 2013 A	2013/09/25	2013/10/03
24351	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2013 A	2013/09/25	2013/10/03
24395	Nomura Int'l plc -CITIC Bank European Warrants Oct 2013 A	2013/09/25	2013/10/03
24414	J P Morgan SP BV – BOCL European Warrants Oct 2013 A	2013/09/25	2013/10/03
24428	J P Morgan SP BV – Ping An European Warrants Oct 2013 A	2013/09/25	2013/10/03
24509	J P Morgan SP BV – Hutchison European Warrants Oct 2013 A	2013/09/25	2013/10/03
24510	J P Morgan SP BV – HSBC European Warrants Oct 2013 A	2013/09/25	2013/10/03
24518	J P Morgan SP BV – China Mob European Wts Oct 2013 A	2013/09/25	2013/10/03
24540	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct2013 A	2013/09/25	2013/10/03
24551	J P Morgan SP BV – Anhui Conch European Warrants Oct 2013 A	2013/09/25	2013/10/03
24552	J P Morgan SP BV – China Agri European Warrants Oct 2013 A	2013/09/25	2013/10/03
24553	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Oct 2013 B	2013/09/25	2013/10/03
24554	J P Morgan SP BV – CNOOC European Warrants Oct 2013 A	2013/09/25	2013/10/03
24555	J P Morgan SP BV – HKEx European Warrants Oct 2013 A	2013/09/25	2013/10/03
24602	J P Morgan SP BV – Tencent European Warrants Oct 2013 C	2013/09/25	2013/10/03

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Code	Warrant	Last trading date	Delisted date
24608	Nomura Int'l plc – A50 China European Warrants Oct 2013 A	2013/09/25	2013/10/03
24609	Nomura Int'l plc – A50 China European Warrants Oct 2013 B	2013/09/25	2013/10/03
24613	Nomura Int'l plc – Hutchison European Warrants Oct 2013 A	2013/09/25	2013/10/03
24637	Goldman Sachs SP (Asia) – Tencent European Put Wt Oct 2013 A	2013/09/25	2013/10/03
24664	J P Morgan SP BV – Tencent European Put Warrants Oct 2013 D	2013/09/25	2013/10/03
24666	J P Morgan SP BV – Tencent European Warrants Oct 2013 E	2013/09/25	2013/10/03
24672	J P Morgan SP BV – Henderson Land Dev European Wt Oct 2013 A	2013/09/25	2013/10/03
24677	J P Morgan SP BV – China Railway European Wts Oct 2013 A	2013/09/25	2013/10/03
24710	J P Morgan SP BV – Samsonite European Warrants Oct 2013 A	2013/09/25	2013/10/03
24713	J P Morgan SP BV – Datang European Wts Oct 2013 A	2013/09/25	2013/10/03
24716	J P Morgan SP BV – China Tel European Warrants Oct 2013 B	2013/09/25	2013/10/03
24717	J P Morgan SP BV – China Coal European Warrants Oct 2013 A	2013/09/25	2013/10/03
24718	J P Morgan SP BV – CKI Holdings European Warrants Oct 2013 A	2013/09/25	2013/10/03
24723	Credit Suisse – Cheung Kong European Put Warrants Oct 2013 A	2013/09/25	2013/10/03
24731	J P Morgan SP BV – CNBM European Warrants Oct 2013 A	2013/09/25	2013/10/03
24936	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2013 A	2013/09/25	2013/10/03
25019	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2013 A	2013/09/25	2013/10/03
25075	J P Morgan SP BV – China Life European Warrants Oct 2013 B	2013/09/25	2013/10/03
25084	J P Morgan SP BV – Ping An European Warrants Oct 2013 B	2013/09/25	2013/10/03
25109	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2013 B	2013/09/25	2013/10/03
25319	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2013 B	2013/09/25	2013/10/03
25393	BOCI Asia Ltd. – Sinopec Corp European Warrants Oct 2013 A	2013/09/25	2013/10/03
25609	UBS AG – Tencent Holdings European Warrants Oct 2013 B	2013/09/25	2013/10/03
25647	Goldman Sachs SP (Asia) – China Mobile Euro Wts Oct 2013 A	2013/09/25	2013/10/03
25837	Daiwa Capital Mkt – BOCL European Warrants Oct 2013 A	2013/09/25	2013/10/03
25891	BOCI Asia Ltd. – HKEx European Warrants Oct 2013 C	2013/09/25	2013/10/03
26442	HK Bank – Sands China European Warrants Oct 2013 A	2013/09/25	2013/10/03
27216	Daiwa Capital Mkt – HSBC European Warrants Oct 2013 B	2013/09/25	2013/10/03
11129	Daiwa Capital Mkt – Ping An European Warrants Oct 2013 A	2013/09/25	2013/10/03
12253	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Oct 13 C	2013/09/25	2013/10/03
22260	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2013 A	2013/09/25	2013/10/03
22902	Daiwa Capital Mkt – China Mobile European Wts Oct 2013 A	2013/09/25	2013/10/03
23976	Credit Suisse AG – China Mobile European Warrants Oct 2013 C	2013/09/25	2013/10/03
25391	Credit Suisse AG – Ping An European Warrants Oct 2013 C	2013/09/25	2013/10/03
24520	BNP Paribas Arbit Issu B.V. – Lenovo European Warrants Oct13	2013/09/26	2013/10/04
25411	BOCI Asia Ltd. – Lenovo European Warrants Oct 2013	2013/09/26	2013/10/04
15521	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Oct 2013	2013/09/26	2013/10/04
15522	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Put Wts Oct 2013	2013/09/26	2013/10/04
15684	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013	2013/09/26	2013/10/04
17171	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013 A	2013/09/26	2013/10/04
18149	BNP Paribas Arbit Issu B.V. – Datang Power Eu Wts Oct 2013 A	2013/09/26	2013/10/04
18969	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Oct 2013A	2013/09/26	2013/10/04
19015	Macquarie Bank Ltd. – CM Bank European Warrants Oct 2013 A	2013/09/26	2013/10/04
19169	Macquarie Bank Ltd. – MTRC European Warrants Oct 2013 A	2013/09/26	2013/10/04
19600	Macquarie Bank Ltd. – Country Garden European Wts Oct 2013 A	2013/09/26	2013/10/04
19755	Macquarie Bank Ltd. – Cathay Pacific European Wts Oct 2013 A	2013/09/26	2013/10/04
19919	BNP Paribas Arbit Issu B.V. – ZTE European Wts Oct 2013 A	2013/09/26	2013/10/04
20124	Macquarie Bank Ltd. – China Agri European Wts Oct 2013 A	2013/09/26	2013/10/04
20627	Macquarie Bank Ltd. – AIA European Warrants Oct 2013 A	2013/09/26	2013/10/04
20676	J P Morgan SP BV – Esprit European Wts Oct 2013 A	2013/09/26	2013/10/04
20725	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Oct 2013 A	2013/09/26	2013/10/04
20750	Macquarie Bank Ltd. – Ch Ship Cont European Wts Oct 2013 A	2013/09/26	2013/10/04
21111	Macquarie Bank Ltd. – Datang European Warrants Oct 2013 A	2013/09/26	2013/10/04
21213	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct2013 A	2013/09/26	2013/10/04
21337	BNP Paribas Arbit Issu B.V. – NCI European Wts Oct 2013 A	2013/09/26	2013/10/04
21453	BNP Paribas Arbit Issu B.V. – Wharf European Wts Oct 2013 A	2013/09/26	2013/10/04
21485	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2013 A	2013/09/26	2013/10/04
21513	Nomura Int'l plc – Ch Overseas European Put Wts Oct 2013 A	2013/09/26	2013/10/04
21678	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Oct2013 A	2013/09/26	2013/10/04
21765	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Oct 2013 A	2013/09/26	2013/10/04
21781	Goldman Sachs SP (Asia) – New World Dev Euro Wts Oct 2013 A	2013/09/26	2013/10/04
21787	Goldman Sachs SP (Asia) – Shimao Ppt European Wts Oct 2013 A	2013/09/26	2013/10/04
21871	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2013 A	2013/09/26	2013/10/04
21972	Goldman Sachs SP (Asia) – Agri Bk European Wts Oct 2013 A	2013/09/26	2013/10/04
22048	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct13A	2013/09/26	2013/10/04
22085	Goldman Sachs SP (Asia) – CM Bank European Wts Oct 2013 A	2013/09/26	2013/10/04
22200	Goldman Sachs SP (Asia) – CAM CSI300 Euro Put Wts Oct 2013 A	2013/09/26	2013/10/04
22202	Goldman Sachs SP (Asia) – BYD European Warrants Oct 2013 A	2013/09/26	2013/10/04
22239	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Oct 2013 A	2013/09/26	2013/10/04
22352	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22370	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22371	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22372	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22448	Nomura Int'l plc – JIANC European Warrants Oct 2013 A	2013/09/26	2013/10/04
22464	Goldman Sachs Sp (Asia) – ICBC European Warrants Oct 2013 A	2013/09/26	2013/10/04
22564	BNP Paribas Arbit Issu B.V. – CPIC European Wts Oct 2013 A	2013/09/26	2013/10/04
22566	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wt Oct 2013 A	2013/09/26	2013/10/04
22567	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22570	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct 2013B	2013/09/26	2013/10/04
22571	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22593	Macquarie Bank Ltd. – China Overseas European Wts Oct 2013 A	2013/09/26	2013/10/04
22620	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Oct 2013 A	2013/09/26	2013/10/04
22626	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22644	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22645	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Oct 2013 A	2013/09/26	2013/10/04
22647	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Oct 2013 A	2013/09/26	2013/10/04
22677	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Oct 2013 A	2013/09/26	2013/10/04

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
22753	BNP Paribas Arbit Issu B.V. – NCI European Wts Oct 2013 B	2013/09/26	2013/10/04
22768	Macquarie Bank Ltd. – Li & Fung European Warrants Oct 2013 A	2013/09/26	2013/10/04
22817	Macquarie Bank Ltd. – NCI European Warrants Oct 2013 A	2013/09/26	2013/10/04
22895	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Oct 2013 A	2013/09/26	2013/10/04
22950	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Oct 13 A	2013/09/26	2013/10/04
22952	BNP Paribas Arbit Issu B.V. – Hutchison Eu Put Wt Oct 2013 A	2013/09/26	2013/10/04
22988	BNP Paribas Arbit Issu B.V. – Samsonite Euro Wts Oct 2013 A	2013/09/26	2013/10/04
23080	Macquarie Bank Ltd. – CPIC European Warrants Oct 2013 A	2013/09/26	2013/10/04
23133	Macquarie Bank Ltd. – Ping An European Warrants Oct 2013 A	2013/09/26	2013/10/04
23157	Macquarie Bank Ltd. – Wynn Macau European Wts Oct 2013 A	2013/09/26	2013/10/04
23195	Macquarie Bank Ltd. – Gome European Warrants Oct 2013 A	2013/09/26	2013/10/04
23209	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2013 B	2013/09/26	2013/10/04
23216	Macquarie Bank Ltd. – Galaxy Ent European Wts Oct 2013 A	2013/09/26	2013/10/04
23238	Macquarie Bank Ltd. – CITIC Bank European Wts Oct 2013 A	2013/09/26	2013/10/04
23308	BNP Paribas Arbit Issu B.V. – BankComm Euro Wts Oct 2013 A	2013/09/26	2013/10/04
23349	Macquarie Bank Ltd. – Wharf European Warrants Oct 2013 A	2013/09/26	2013/10/04
23428	Macquarie Bank Ltd. – Weichai Power European Wts Oct 2013 A	2013/09/26	2013/10/04
23451	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Oct 2013 A	2013/09/26	2013/10/04
23457	BNP Paribas Arbit Issu B.V. – Country Garden Eur Wt Oct2013A	2013/09/26	2013/10/04
23490	Macquarie Bank Ltd. – CC Bank European Warrants Oct 2013 A	2013/09/26	2013/10/04
23586	J P Morgan SP BV – Wynn Macau European Warrants Oct 2013 A	2013/09/26	2013/10/04
23610	Macquarie Bank Ltd. – Geely Auto European Wts Oct 2013 A	2013/09/26	2013/10/04
23618	Macquarie Bank Ltd. – Minsheng Bank European Wts Oct 2013 A	2013/09/26	2013/10/04
23621	Macquarie Bank Ltd. – Angang Steel European Wts Oct 2013 A	2013/09/26	2013/10/04
23702	Macquarie Bank Ltd. – CAM CSI300 European Wts Oct 2013 A	2013/09/26	2013/10/04
23703	Macquarie Bank Ltd. – China Coal European Wts Oct 2013 A	2013/09/26	2013/10/04
23704	Macquarie Bank Ltd. – China Railway European Wts Oct 2013 A	2013/09/26	2013/10/04
23706	Macquarie Bank Ltd. – MGM China European Warrants Oct 2013 A	2013/09/26	2013/10/04
23743	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Oct 2013 A	2013/09/26	2013/10/04
23765	Macquarie Bank Ltd. – Zijin Mining European Wts Oct 2013 A	2013/09/26	2013/10/04
23767	Macquarie Bank Ltd. – Shenzhen Int'l European Wts Oct 2013 A	2013/09/26	2013/10/04
23768	Macquarie Bank Ltd. – Sinopharm European Warrants Oct 2013 A	2013/09/26	2013/10/04
23840	Macquarie Bank Ltd. – SUNAC European Warrants Oct 2013 A	2013/09/26	2013/10/04
23863	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Oct 2013 B	2013/09/26	2013/10/04
23889	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Oct 2013 B	2013/09/26	2013/10/04
23892	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Oct 2013 B	2013/09/26	2013/10/04
24135	Macquarie Bank Ltd. – Huaneng Power European Wts Oct 2013 A	2013/09/26	2013/10/04
24165	BNP Paribas Arbit Issu B.V. – Ch LongYuan Eu Wts Oct 2013 A	2013/09/26	2013/10/04
24171	Macquarie Bank Ltd. – Geely Auto European Wts Oct 2013 B	2013/09/26	2013/10/04
24243	Macquarie Bank Ltd. – Luk Fook Hold European Wts Oct 2013 A	2013/09/26	2013/10/04
24265	Macquarie Bank Ltd. – Evergrande European Wts Oct 2013 A	2013/09/26	2013/10/04
24292	Macquarie Bank Ltd. – Ch LongYuan European Wts Oct 2013 A	2013/09/26	2013/10/04
24301	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2013 A	2013/09/26	2013/10/04
24325	Macquarie Bank Ltd. – EFUND CSI100 European Wts Oct 2013 A	2013/09/26	2013/10/04
24337	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Oct 2013 A	2013/09/26	2013/10/04
24720	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2013 A	2013/09/26	2013/10/04
24724	Macquarie Bank Ltd. – Chi Res Power European Wts Oct 2013 A	2013/09/26	2013/10/04
24979	UBS AG – Hutchison European Warrants Oct 2013 A	2013/09/26	2013/10/04
25048	Macquarie Bank Ltd. – A50 China European Warrants Oct 2013 A	2013/09/26	2013/10/04
25191	Macquarie Bank Ltd. – China Railway European Wts Oct 2013 B	2013/09/26	2013/10/04
25228	HK Bank – ICBC European Warrants Oct 2013 A	2013/09/26	2013/10/04
25668	Macquarie Bank Ltd. – HSBC European Warrants Oct 2013 A	2013/09/26	2013/10/04
25876	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2013 A	2013/09/26	2013/10/04
25877	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2013 B	2013/09/26	2013/10/04
25878	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Oct 2013 B	2013/09/26	2013/10/04
25942	Macquarie Bank Ltd. – Ping An European Warrants Oct 2013 B	2013/09/26	2013/10/04
26270	Credit Suisse AG – ICBC European Warrants Oct 2013 A	2013/09/26	2013/10/04
27170	HK Bank – HWL European Warrants Oct 2013 B	2013/09/26	2013/10/04
23992	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Oct 2013 C	2013/09/26	2013/10/04
24462	Credit Suisse AG – Hutchison European Warrants Oct 2013 A	2013/09/26	2013/10/04
18455	Standard Chartered Bank – China Unicom Euro Wts Oct 2013 A	2013/09/27	2013/10/07
21638	Standard Chartered Bank – China Mobile Euro Wts Oct 2013 A	2013/09/27	2013/10/07
21639	Standard Chartered Bank – AIA European Warrants Oct 2013 B	2013/09/27	2013/10/07
21865	Standard Chartered Bank – Evergrande European Wts Oct 2013 A	2013/09/27	2013/10/07
22001	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2013 A	2013/09/27	2013/10/07
22053	Macquarie Bank Ltd. – BOCL European Warrants Oct 2013 A	2013/09/27	2013/10/07
22673	Standard Chartered Bank – Ping An European Wts Oct 2013 A	2013/09/27	2013/10/07
23076	Standard Chartered Bank – China Life Euro Put Wts Oct 2013 A	2013/09/27	2013/10/07
24083	Standard Chartered Bank – ICBC European Put Wts Oct 2013 A	2013/09/27	2013/10/07
24194	Standard Chartered Bank – ZTE European Warrants Oct 2013 A	2013/09/27	2013/10/07
24702	Standard Chartered Bank – Tencent Hldg Euro Wts Oct 2013 A	2013/09/27	2013/10/07
24857	Credit Suisse AG – Tencent Holdings European Wts Oct 2013 A	2013/09/27	2013/10/07
26130	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013 C	2013/09/27	2013/10/07
19623	Daiwa Capital Mkt – CNOOC European Warrants Oct 2013 A	2013/09/30	2013/10/08
21288	Macquarie Bank Ltd. – Shimao Ppt European Wts Oct 2013 A	2013/09/30	2013/10/08
22710	Nomura Int'l plc – BOCL European Warrants Oct 2013 A	2013/09/30	2013/10/08
23678	UBS AG – AIA European Warrants Oct 2013 B	2013/09/30	2013/10/08
24252	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct13 A	2013/09/30	2013/10/08
24324	SGA Societe Generale Acceptance N.V. -EF CSI100 Eu Wt Oct13A	2013/09/30	2013/10/08
24738	HK Bank – Tencent European Warrants Oct 2013 A	2013/09/30	2013/10/08
24775	Macquarie Bank Ltd. – Evergrande European Wts Oct 2013 B	2013/09/30	2013/10/08
25085	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct2013 B	2013/09/30	2013/10/08
27501	HK Bank – Tencent European Warrants Oct 2013 C	2013/09/30	2013/10/08
21864	HK Bank – Ping An European Warrants Oct 2013 D	2013/09/30	2013/10/08
23217	UBS AG – CC Bank European Warrants Oct 2013 A	2013/09/30	2013/10/08
24090	HK Bank – China Life European Warrants Oct 2013 D	2013/09/30	2013/10/08
24482	Credit Suisse AG – A50 China European Warrants Oct 2013 A	2013/09/30	2013/10/08

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
24686	Standard Chartered Bank – CAM CSI300 European Wts Oct 2013 A	2013/09/30	2013/10/08
25229	Credit Suisse AG – China Life European Warrants Oct 2013 B	2013/09/30	2013/10/08
20527	Standard Chartered Bank – Geely Auto European Wts Oct 2013 A	2013/10/02	2013/10/09
21136	HK Bank – China Overseas European Warrants Oct 2013 A	2013/10/02	2013/10/09
21151	HK Bank – BOCL European Warrants Oct 2013 A	2013/10/02	2013/10/09
22021	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wt Oct 2013 A	2013/10/02	2013/10/09
23001	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2013 A	2013/10/02	2013/10/09
23492	Daiwa Capital Mkt – Hutchison European Warrants Oct 2013 A	2013/10/02	2013/10/09
23510	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2013 A	2013/10/02	2013/10/09
23650	Standard Chartered Bank – BYD European Warrants Oct 2013 A	2013/10/02	2013/10/09
23783	Standard Chartered Bank – Zijin Mining Euro Wts Oct 2013 A	2013/10/02	2013/10/09
24100	Daiwa Capital Mkt – China Telecom Euro Put Wts Oct 2013 A	2013/10/02	2013/10/09
24772	Standard Chartered Bank – SHK P European Warrants Oct 2013 A	2013/10/02	2013/10/09
24778	Daiwa Capital Mkt – China Life European Warrants Oct 2013 D	2013/10/02	2013/10/09
25301	Credit Suisse AG – Yanzhou Coal European Warrants Oct 2013 A	2013/10/02	2013/10/09
25612	Citigroup Global Mkt H Inc. – ICBC European Wts Oct 2013 A	2013/10/02	2013/10/09
12216	UBS AG – BankComm European Warrants Oct 2013 A	2013/10/02	2013/10/09
23686	Daiwa Capital Mkt – CCB European Warrants Oct 2013 B	2013/10/02	2013/10/09
21896	UBS AG – BOCL European Warrants Oct 2013 A	2013/10/03	2013/10/10
22169	UBS AG – China Overseas European Warrants Oct 2013 A	2013/10/03	2013/10/10
22628	HK Bank – GCL-Poly Energy European Warrants Oct 2013 A	2013/10/03	2013/10/10
23294	J P Morgan SP BV – Ch Merchants European Warrants Oct 2013 A	2013/10/03	2013/10/10
23910	HK Bank – HWL European Warrants Oct 2013 A	2013/10/03	2013/10/10
24210	UBS AG – China Mobile European Put Warrants Oct 2013 A	2013/10/03	2013/10/10
24545	UBS AG – Ping An European Warrants Oct 2013 A	2013/10/03	2013/10/10
24556	HK Bank – China Mobile European Warrants Oct 2013 A	2013/10/03	2013/10/10
24645	HK Bank – Ping An European Warrants Oct 2013 B	2013/10/03	2013/10/10
24729	UBS AG – Tencent Holdings European Warrants Oct 2013 A	2013/10/03	2013/10/10
24783	Credit Suisse AG – Sands China European Warrants Oct 2013 A	2013/10/03	2013/10/10
24806	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct13 B	2013/10/03	2013/10/10
24827	Macquarie Bank Ltd. – China COSCO European Wts Oct 2013 A	2013/10/03	2013/10/10
24862	UBS AG – Minsheng Bank European Warrants Oct 2013 A	2013/10/03	2013/10/10
25254	Macquarie Bank Ltd. – BankComm European Warrants Oct 2013 A	2013/10/03	2013/10/10
25439	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Oct 13 B	2013/10/03	2013/10/10
26692	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Oct 2013 A	2013/10/03	2013/10/10
12170	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2013 A	2013/10/03	2013/10/10
12242	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Oct13 A	2013/10/03	2013/10/10
22471	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2013 A	2013/10/03	2013/10/10
23208	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2013 B	2013/10/03	2013/10/10
24361	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2013D	2013/10/03	2013/10/10
24463	Credit Suisse AG – CC Bank European Warrants Oct 2013 A	2013/10/03	2013/10/10
24195	HK Bank – China Life European Warrants Oct 2013 A	2013/10/04	2013/10/11
24561	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2013 A	2013/10/04	2013/10/11
24805	Standard Chartered Bank – CC Bank European Wts Oct 2013 A	2013/10/04	2013/10/11
24823	Standard Chartered Bank – ICBC European Warrants Oct 2013 B	2013/10/04	2013/10/11
24935	Macquarie Bank Ltd. – Chinares Cement European Wt Oct 2013 A	2013/10/04	2013/10/11
12119	J P Morgan SP BV – China Life European Warrants Oct 2013 C	2013/10/04	2013/10/11
12232	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Oct 2013 A	2013/10/04	2013/10/11
22406	Standard Chartered Bank – CSOP A50 ETF Euro Wts Oct 2013 A	2013/10/07	2013/10/15
24004	Standard Chartered Bank – China Shenhua Eur Put Wt Oct 2013A	2013/10/07	2013/10/15
24506	UBS AG – GCL-Poly Energy European Warrants Oct 2013 A	2013/10/07	2013/10/15
24822	Standard Chartered Bank – Hutchison Euro Euro Wts Oct 2013 B	2013/10/07	2013/10/15
25528	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Oct 2013 B	2013/10/07	2013/10/15
25597	Credit Suisse AG – Yurun Food European Warrants Oct 2013 A	2013/10/07	2013/10/15
24468	Credit Suisse AG – Ping An European Warrants Oct 2013 B	2013/10/07	2013/10/15
24471	Credit Suisse AG – Minsheng Bank European Wts Oct 2013 B	2013/10/07	2013/10/15
21582	UBS AG – Zhaojin Mining European Warrants Oct 2013 A	2013/10/08	2013/10/16
22535	Daiwa Capital Mkt – HSBC European Warrants Oct 2013 A	2013/10/08	2013/10/16
22670	HK Bank – Chinares Cement European Warrants Oct 2013 A	2013/10/08	2013/10/16
22840	BOCI Asia Ltd. – China Mobile European Warrants Oct 2013 A	2013/10/08	2013/10/16
23534	Daiwa Capital Mkt – Galaxy Ent European Put Wts Oct 2013 B	2013/10/08	2013/10/16
23769	HK Bank – Geely Auto European Warrants Oct 2013 A	2013/10/08	2013/10/16
24450	Daiwa Capital Mkt – CAM CSI300 European Warrants Oct 2013 B	2013/10/08	2013/10/16
24473	HK Bank – Henderson Land Dev European Warrants Oct 2013 A	2013/10/08	2013/10/16
24792	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2013 A	2013/10/08	2013/10/16
24860	Credit Suisse AG – China Coal European Warrants Oct 2013 A	2013/10/08	2013/10/16
24902	HK Bank – Minsheng Bank European Warrants Oct 2013 A	2013/10/08	2013/10/16
24961	Macquarie Bank Ltd. – Ch Southern Air Euro Wts Oct 2013 A	2013/10/08	2013/10/16
24962	Macquarie Bank Ltd. – Angang Steel European Wts Oct 2013 B	2013/10/08	2013/10/16
25083	J P Morgan SP BV – A50 China European Warrants Oct 2013 A	2013/10/08	2013/10/16
25101	UBS AG – China Life European Warrants Oct 2013 B	2013/10/08	2013/10/16
25111	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Oct 2013 A	2013/10/08	2013/10/16
25124	J P Morgan SP BV – Yurun Food European Warrants Oct 2013 A	2013/10/08	2013/10/16
25143	J P Morgan SP BV – Angang Steel European Warrants Oct 2013 A	2013/10/08	2013/10/16
25177	UBS AG – A50 China European Warrants Oct 2013 A	2013/10/08	2013/10/16
25661	Daiwa Capital Mkt – Hutchison European Warrants Oct 2013 B	2013/10/08	2013/10/16
26097	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Oct 2013 A	2013/10/08	2013/10/16
22199	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013 D	2013/10/08	2013/10/16
23512	Credit Suisse AG – SHK Ppt European Warrants Oct 2013 B	2013/10/08	2013/10/16
24465	Credit Suisse AG – ICBC European Warrants Oct 2013 B	2013/10/08	2013/10/16
22157	Bank of East Asia – Agricultural Bk Euro Put Wts Oct 2013 A	2013/10/09	2013/10/17
24182	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct2013 A	2013/10/09	2013/10/17
24282	SGA Societe Generale Acceptance N.V. – BOCom Eu Wt Oct2013 A	2013/10/09	2013/10/17
25020	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Oct13 A	2013/10/09	2013/10/17
25054	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Oct2013A	2013/10/09	2013/10/17
25062	SGA Societe Generale Acceptance N.V. -CCoal Eu Wt Oct 2013 A	2013/10/09	2013/10/17
25063	SGA Societe Generale Acceptance N.V. -CCCCL Eu Wt Oct 2013 A	2013/10/09	2013/10/17

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Code	Warrant	Last trading date	Delisted date
25066	SGA Societe Generale Acceptance N.V-Chi COSCO Eu Wt Oct13 A	2013/10/09	2013/10/17
25067	SGA Societe Generale Acceptance N.V.-Yurun Food Eu Wt Oct13A	2013/10/09	2013/10/17
25072	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Oct13A	2013/10/09	2013/10/17
25132	HK Bank – Ping An European Warrants Oct 2013 C	2013/10/09	2013/10/17
25181	J P Morgan SP BV – Evergrande European Warrants Oct 2013 A	2013/10/09	2013/10/17
25199	UBS AG – A50 China European Put Warrants Oct 2013 B	2013/10/09	2013/10/17
25202	UBS AG – Hutchison European Warrants Oct 2013 B	2013/10/09	2013/10/17
25465	Credit Suisse AG – Hutchison European Warrants Oct 2013 B	2013/10/09	2013/10/17
25602	Credit Suisse AG – CSOP A50 ETF European Warrants Oct 2013 B	2013/10/09	2013/10/17
25194	Macquarie Bank Ltd. – Yurun Food European Wts Oct 2013 A	2013/10/10	2013/10/18
23466	HK Bank – China Life European Warrants Oct 2013 C	2013/10/10	2013/10/18
25102	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2013 C	2013/10/10	2013/10/18
26817	Credit Suisse AG – CC Bank European Warrants Oct 2013	2013/10/11	2013/10/21
20248	Daiwa Capital Mkt – Cheung Kong European Warrants Oct 2013 A	2013/10/11	2013/10/21
23018	Nomura Int'l plc – PWR Assets European Warrants Oct 2013 A	2013/10/11	2013/10/21
23025	Nomura Int'l plc – Lumena Newmat European Wts Oct 2013 A	2013/10/11	2013/10/21
23843	Daiwa Capital Mkt – China Life European Warrants Oct 2013 B	2013/10/11	2013/10/21
25166	Credit Suisse AG – Minsheng Bank European Wts Oct 2013 A	2013/10/11	2013/10/21
25167	Credit Suisse AG – ChiUnicom European Warrants Oct 2013 A	2013/10/11	2013/10/21
25170	Credit Suisse AG – Angang Steel European Warrants Oct 2013 A	2013/10/11	2013/10/21
25172	Credit Suisse AG – Ping An European Warrants Oct 2013 A	2013/10/11	2013/10/21
26050	BOCI Asia Ltd. – Hutchison European Warrants Oct 2013 A	2013/10/11	2013/10/21
27020	HK Bank – Tencent European Put Warrants Oct 2013 B	2013/10/11	2013/10/21
23518	Credit Suisse AG – Geely Auto European Warrants Oct 2013 A	2013/10/11	2013/10/21
20533	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2013 A	2013/10/15	2013/10/22
21769	UBS AG – CAM CSI300 European Warrants Oct 2013 A	2013/10/15	2013/10/22
22126	HK Bank – AIA European Warrants Oct 2013 A	2013/10/15	2013/10/22
23102	CC Rabobank B.A. – AIA European Warrants Oct 2013 A	2013/10/15	2013/10/22
23224	UBS AG – China Life European Warrants Oct 2013 A	2013/10/15	2013/10/22
23358	Daiwa Capital Mkt – China Life European Warrants Oct 2013 A	2013/10/15	2013/10/22
23608	HK Bank – BOCL European Warrants Oct 2013 B	2013/10/15	2013/10/22
23611	UBS AG – AIA European Warrants Oct 2013 A	2013/10/15	2013/10/22
23880	UBS AG – CAM CSI300 European Warrants Oct 2013 C	2013/10/15	2013/10/22
24197	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Oct 2013 A	2013/10/15	2013/10/22
24258	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Oct13A	2013/10/15	2013/10/22
24409	Nomura Int'l plc – Foxconn European Warrants Oct 2013 A	2013/10/15	2013/10/22
24886	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013 B	2013/10/15	2013/10/22
24950	BOCI Asia Ltd. – PetroChina European Warrants Oct 2013 A	2013/10/15	2013/10/22
25049	Credit Suisse AG – Galaxy Ent European Warrants Oct 2013 A	2013/10/15	2013/10/22
25137	Standard Chartered Bank – Galaxy Ent European Wts Oct 2013 A	2013/10/15	2013/10/22
25259	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Oct 13 A	2013/10/15	2013/10/22
25292	UBS AG – Yanzhou Coal European Wts Oct 2013 A	2013/10/15	2013/10/22
25293	UBS AG – SPDR Gold Trust European Warrants Oct 2013 A	2013/10/15	2013/10/22
26077	UBS AG – Ping An European Warrants Oct 2013 B	2013/10/15	2013/10/22
27182	Daiwa Capital Mkt – Hutchison European Warrants Oct 2013 D	2013/10/15	2013/10/22
13462	HK Bank – Tencent European Put Warrants Oct 2013 D	2013/10/15	2013/10/22
15225	Standard Chartered Bank – Tencent Hldg Eu Put Wts Oct 2013 B	2013/10/15	2013/10/22
17393	UBS AG – Tencent Holdings European Put Warrants Oct 2013 C	2013/10/15	2013/10/22
24311	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2013B	2013/10/15	2013/10/22
24698	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Oct13 C	2013/10/15	2013/10/22
25384	Credit Suisse AG – AIA European Warrants Oct 2013 A	2013/10/15	2013/10/22
25386	Credit Suisse AG – HKEx European Warrants Oct 2013 C	2013/10/15	2013/10/22
19838	Bank of East Asia – SJM Holdings European Warrants Oct 2013A	2013/10/16	2013/10/23
21843	HK Bank – China Overseas European Warrants Oct 2013 B	2013/10/16	2013/10/23
25108	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2013 B	2013/10/16	2013/10/23
25271	HK Bank – Lenovo European Warrants Oct 2013 A	2013/10/16	2013/10/23
22733	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Oct 2013 A	2013/10/16	2013/10/23
14646	Bank of East Asia – CHALCO European Put Warrants Oct 2013	2013/10/17	2013/10/24
20975	Nomura Int'l plc – Zhaojin Mining European Wts Oct 2013 A	2013/10/17	2013/10/24
22457	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Oct 13 A	2013/10/17	2013/10/24
25273	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wt Oct13 B	2013/10/17	2013/10/24
25464	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Oct13A	2013/10/17	2013/10/24
25489	Daiwa Capital Mkt – CCB European Put Warrants Oct 2013 A	2013/10/17	2013/10/24
25578	UBS AG – China Tel European Warrants Oct 2013 A	2013/10/17	2013/10/24
22262	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2013 A	2013/10/17	2013/10/24
23454	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2013 B	2013/10/17	2013/10/24
24804	Standard Chartered Bank-China Life European Wts Oct 2013 B	2013/10/18	2013/10/25
25697	UBS AG – HKEx European Warrants Oct 2013 A	2013/10/18	2013/10/25
18800	Goldman Sachs SP (Asia) – PetroChina European Wts Oct 2013 A	2013/10/21	2013/10/28
23197	Standard Chartered Bank – A50 China Euro Put Wts Oct 2013 A	2013/10/21	2013/10/28
24648	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Oct 2013 A	2013/10/21	2013/10/28
25302	Credit Suisse AG – Lenovo European Warrants Oct 2013 A	2013/10/21	2013/10/28
25303	Credit Suisse AG – HKEx European Put Warrants Oct 2013 A	2013/10/21	2013/10/28
25480	Credit Suisse AG – SPDR Gold Trust Euro Put Wts Oct 2013 A	2013/10/21	2013/10/28
25481	Credit Suisse – SPDR Gold Trust European Warrants Oct 2013 B	2013/10/21	2013/10/28
25482	Credit Suisse AG – Zijin Mining European Warrants Oct 2013 A	2013/10/21	2013/10/28
25484	Credit Suisse AG – Zhaojin Mining European Wts Oct 2013 A	2013/10/21	2013/10/28
25665	Daiwa Capital Mkt – Hutchison European Put Wts Oct 2013 C	2013/10/21	2013/10/28
25814	UBS AG – Geely Auto European Warrants Oct 2013 A	2013/10/21	2013/10/28
26150	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2013 C	2013/10/21	2013/10/28
12184	Nomura Int'l plc – CITIC Sec European Warrants Oct 2013 A	2013/10/21	2013/10/28
23589	HK Bank – Cheung Kong European Warrants Oct 2013 A	2013/10/21	2013/10/28
26129	Credit Suisse AG – Hutchison European Warrants Oct 2013 C	2013/10/21	2013/10/28
14442	Standard Chartered Bank – A50 China Euro Warrants Oct 2013	2013/10/22	2013/10/29
14726	UBS AG – Hutchison European Warrants Oct 2013	2013/10/22	2013/10/29
14754	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013	2013/10/22	2013/10/29
15032	UBS AG – A50 China European Warrants Oct 2013	2013/10/22	2013/10/29

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
15087	Macquarie Bank Ltd. – A50 China European Warrants Oct 2013	2013/10/22	2013/10/29
15243	Nomura Int'l plc – A50 China European Warrants Oct 2013	2013/10/22	2013/10/29
16429	UBS AG – PetroChina European Warrants Oct 2013	2013/10/22	2013/10/29
16520	J P Morgan SP BV – A50 China European Warrants Oct 2013	2013/10/22	2013/10/29
16610	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2013	2013/10/22	2013/10/29
17324	Nomura Int'l plc – Stanchart European Put Wts Oct 2013 A	2013/10/22	2013/10/29
18048	Goldman Sachs SP (Asia) – BOC HK European Wts Oct 2013 A	2013/10/22	2013/10/29
18464	CC Rabobank B.A. – KECL European Warrants Oct 2013 A	2013/10/22	2013/10/29
18630	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2013A	2013/10/22	2013/10/29
19138	UBS AG – China Unicom European Warrants Oct 2013 A	2013/10/22	2013/10/29
19463	CC Rabobank B.A. – ZTE European Warrants Oct 2013 A	2013/10/22	2013/10/29
19752	HK Bank – Esprit European Warrants Oct 2013 A	2013/10/22	2013/10/29
20011	Standard Chartered Bank – KECL European Warrants Oct 2013 A	2013/10/22	2013/10/29
20103	Standard Chartered Bank – Cathay Pac Air Euro Wts Oct 2013 A	2013/10/22	2013/10/29
20229	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2013 A	2013/10/22	2013/10/29
20985	Goldman Sachs SP (Asia) – CITIC Sec European Wts Oct 2013 A	2013/10/22	2013/10/29
21043	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2013 A	2013/10/22	2013/10/29
21693	HK Bank – Galaxy Ent European Warrants Oct 2013 A	2013/10/22	2013/10/29
21921	Credit Suisse AG – CAM CSI300 European Warrants Oct 2013 A	2013/10/22	2013/10/29
21934	HK Bank – A50 China European Warrants Oct 2013 A	2013/10/22	2013/10/29
21987	Nomura Int'l plc – Sh Electric European Warrants Oct 2013 A	2013/10/22	2013/10/29
22017	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Oct 2013 A	2013/10/22	2013/10/29
22208	HK Bank – CITIC Sec European Warrants Oct 2013 A	2013/10/22	2013/10/29
22328	BOCI Asia Ltd. – China Life European Warrants Oct 2013 A	2013/10/22	2013/10/29
22395	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Oct13A	2013/10/22	2013/10/29
22413	HK Bank – CAM CSI300 European Warrants Oct 2013 A	2013/10/22	2013/10/29
22467	BOCI Asia Ltd. – HKEx European Put Warrants Oct 2013 A	2013/10/22	2013/10/29
23017	Nomura Int'l plc – CLP European Warrants Oct 2013 A	2013/10/22	2013/10/29
23032	Nomura Int'l plc – Datang European Put Warrants Oct 2013 A	2013/10/22	2013/10/29
23243	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2013 A	2013/10/22	2013/10/29
23437	UBS AG – BOCL European Warrants Oct 2013 B	2013/10/22	2013/10/29
23695	HK Bank – Foxconn European Warrants Oct 2013 A	2013/10/22	2013/10/29
23740	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2013 A	2013/10/22	2013/10/29
24218	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2013 A	2013/10/22	2013/10/29
24275	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Oct2013 A	2013/10/22	2013/10/29
24527	HK Bank – Ping An European Warrants Oct 2013 A	2013/10/22	2013/10/29
24733	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Oct13 A	2013/10/22	2013/10/29
24788	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2013 B	2013/10/22	2013/10/29
24796	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2013 A	2013/10/22	2013/10/29
24963	HK Bank – China Life European Warrants Oct 2013 B	2013/10/22	2013/10/29
25035	Credit Suisse AG – China Life European Warrants Oct 2013 A	2013/10/22	2013/10/29
25106	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2013 B	2013/10/22	2013/10/29
25139	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Oct13A	2013/10/22	2013/10/29
25268	HK Bank – Evergrande European Warrants Oct 2013 A	2013/10/22	2013/10/29
25414	Standard Chartered Bank – SPDR Gold Trust Euro Wt Oct 2013 A	2013/10/22	2013/10/29
25608	UBS AG – CNOOC European Warrants Oct 2013 A	2013/10/22	2013/10/29
25687	UBS AG – KECL European Warrants Oct 2013 A	2013/10/22	2013/10/29
26259	Bank of East Asia – BYD European Warrants Oct 2013 A	2013/10/22	2013/10/29
11241	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Oct 2013 C	2013/10/22	2013/10/29
12102	Credit Suisse AG – China Mobile European Warrants Oct 2013 A	2013/10/22	2013/10/29
12105	Credit Suisse AG – Greatwall Motor European Wts Oct 2013 A	2013/10/22	2013/10/29
12138	Credit Suisse AG – SHK Ppt European Warrants Oct 2013 A	2013/10/22	2013/10/29
12185	Nomura Int'l plc – Lenovo European Warrants Oct 2013 A	2013/10/22	2013/10/29
12227	UBS AG – Ping An European Warrants Oct 2013 C	2013/10/22	2013/10/29
15586	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2013 B	2013/10/22	2013/10/29
20954	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 13 A	2013/10/22	2013/10/29
22259	UBS AG – China Mobile European Warrants Oct 2013 B	2013/10/22	2013/10/29
22433	Standard Chartered Bank -PetroChina European Wts Oct 2013 A	2013/10/22	2013/10/29
22498	Standard Chartered Bank – Ping An European Wts Oct 2013 C	2013/10/22	2013/10/29
22641	Credit Suisse AG – China Mobile European Warrants Oct 2013 B	2013/10/22	2013/10/29
22714	UBS AG – CSOP A50 ETF European Warrants Oct 2013 A	2013/10/22	2013/10/29
22746	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2013 A	2013/10/22	2013/10/29
22833	UBS AG – Hutchison European Warrants Oct 2013 C	2013/10/22	2013/10/29
23947	Credit Suisse AG – HKEx European Warrants Oct 2013 B	2013/10/22	2013/10/29
23966	J P Morgan SP BV – Lenovo European Warrants Oct 2013 A	2013/10/22	2013/10/29
24234	Credit Suisse AG – Evergrande European Warrants Oct 2013 A	2013/10/22	2013/10/29
24477	Credit Suisse AG – CSOP A50 ETF European Warrants Oct 2013 A	2013/10/22	2013/10/29
24689	Standard Chartered Bank – CSOP A50 ETF Euro Wts Oct 2013 B	2013/10/22	2013/10/29
24968	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Oct13 B	2013/10/22	2013/10/29
25056	UBS AG – Evergrande European Warrants Oct 2013 A	2013/10/22	2013/10/29
25361	Standard Chartered Bank – BYD European Warrants Oct 2013 B	2013/10/22	2013/10/29
25470	UBS AG – BYD European Warrants Oct 2013 A	2013/10/22	2013/10/29
25830	Credit Suisse AG – China Mobile European Warrants Oct 2013 D	2013/10/22	2013/10/29
14527	Macquarie Bank Ltd. -Want Want China European Wts Oct 2013	2013/10/23	2013/10/30
24885	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2013 A	2013/10/23	2013/10/30
25958	HK Bank – China Unicom European Warrants Oct 2013 A	2013/10/23	2013/10/30
26048	UBS AG – Anhui Conch European Warrants Oct 2013 A	2013/10/23	2013/10/30
11112	UBS AG – CITIC Sec European Warrants Oct 2013 A	2013/10/23	2013/10/30
17497	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2013 B	2013/10/23	2013/10/30
24745	UBS AG – ICBC European Warrants Oct 2013 A	2013/10/23	2013/10/30
25059	Goldman Sachs Sp (Asia) – ICBC European Warrants Oct 2013 B	2013/10/23	2013/10/30
25708	Credit Suisse AG – ICBC European Warrants Oct 2013 C	2013/10/23	2013/10/30
16269	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2013	2013/10/24	2013/10/31
19324	Barclays Bank plc – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
20355	Standard Chartered Bank – Zhaojin Mining Euro Wts Oct 2013 A	2013/10/24	2013/10/31
20901	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
21135	HK Bank – Zhaojin Mining European Warrants Oct 2013 A	2013/10/24	2013/10/31

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21533	Citigroup Global Mkt H Inc. – Zhaojin Mining Eu Wt Oct2013 A	2013/10/24	2013/10/31
21748	HK Bank – HSCEI European Warrants Oct 2013 A	2013/10/24	2013/10/31
21878	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2013 A	2013/10/24	2013/10/31
21925	Daiwa Capital Mkt – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
22227	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Oct 2013 A	2013/10/24	2013/10/31
22442	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2013 A	2013/10/24	2013/10/31
22807	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2013 A	2013/10/24	2013/10/31
22932	J P Morgan SP BV – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
23175	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Oct 2013 A	2013/10/24	2013/10/31
23328	UBS AG – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
23338	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 B	2013/10/24	2013/10/31
23356	BOCI Asia Ltd. – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
23400	Citigroup Global Mkt H Inc. – China Life Euro Wts Oct 2013 A	2013/10/24	2013/10/31
23533	Credit Suisse AG – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
23853	HK Bank – HSI European Warrants Oct 2013 A	2013/10/24	2013/10/31
23956	J P Morgan SP BV – HSCEI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
24068	CC Rabobank B.A. – Ch Comm Cons European Warrants Oct 2013 A	2013/10/24	2013/10/31
24269	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Oct 2013 B	2013/10/24	2013/10/31
24299	Goldman Sachs SP (Asia) – Sands China Euro Wts Oct 2013 A	2013/10/24	2013/10/31
24318	Credit Suisse AG – HSI European Warrants Oct 2013 B	2013/10/24	2013/10/31
24711	J P Morgan SP BV – HSI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
24735	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Oct 2013 C	2013/10/24	2013/10/31
24781	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2013 B	2013/10/24	2013/10/31
24875	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 C	2013/10/24	2013/10/31
25022	Credit Suisse AG – HSI European Warrants Oct 2013 C	2013/10/24	2013/10/31
25044	Standard Chartered Bank – Ping An European Wts Oct 2013 B	2013/10/24	2013/10/31
25086	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct2013 C	2013/10/24	2013/10/31
25089	Daiwa Capital Mkt – HSI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
25126	J P Morgan SP BV – HSI European Warrants Oct 2013 C	2013/10/24	2013/10/31
25149	Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2013 A	2013/10/24	2013/10/31
25150	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2013 B	2013/10/24	2013/10/31
25151	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2013 A	2013/10/24	2013/10/31
25188	BOCI Asia Ltd. – HSI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
25209	UBS AG – HSI European Warrants Oct 2013 B	2013/10/24	2013/10/31
25223	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Oct 2013 B	2013/10/24	2013/10/31
25350	J P Morgan SP BV – HSI European Put Warrants Oct 2013 D	2013/10/24	2013/10/31
25416	Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2013 A	2013/10/24	2013/10/31
25451	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct2013 B	2013/10/24	2013/10/31
25641	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Oct 2013 B	2013/10/24	2013/10/31
25644	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2013 B	2013/10/24	2013/10/31
25755	HK Bank – HSI European Warrants Oct 2013 B	2013/10/24	2013/10/31
25834	Credit Suisse AG – HSI European Put Warrants Oct 2013 D	2013/10/24	2013/10/31
25835	Credit Suisse AG – HSI European Put Warrants Oct 2013 E	2013/10/24	2013/10/31
25904	UBS AG – HSCEI European Warrants Oct 2013 A	2013/10/24	2013/10/31
26328	Credit Suisse AG – HSCEI European Warrants Oct 2013 A	2013/10/24	2013/10/31
26534	UBS AG – HSI European Warrants Oct 2013 C	2013/10/24	2013/10/31
11064	Goldman Sachs SP (Asia) – HSCEI European Put Wts Oct 2013 A	2013/10/24	2013/10/31
11283	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2013 B	2013/10/24	2013/10/31
11370	Goldman Sachs SP (Asia) – A50 China European Wts Oct 2013 C	2013/10/24	2013/10/31
12125	HK Bank – HSI European Put Warrants Oct 2013 C	2013/10/24	2013/10/31
12161	Daiwa Capital Mkt – HSI European Put Warrants Oct 2013 C	2013/10/24	2013/10/31
12194	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2013 A	2013/10/24	2013/10/31
12261	UBS AG – HSCEI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
18795	HK Bank – HSCEI European Put Warrants Oct 2013 B	2013/10/24	2013/10/31
21419	HK Bank – HSI European Warrants Oct 2013 D	2013/10/24	2013/10/31
22257	UBS AG – HKEx European Warrants Oct 2013 B	2013/10/24	2013/10/31
23494	Standard Chartered Bank – HSI European Put Wts Oct 2013 A	2013/10/24	2013/10/31
23509	UBS AG – HSI European Put Warrants Oct 2013 D	2013/10/24	2013/10/31
23648	Goldman Sachs SP (Asia) – HSI European Put Wts Oct 2013 D	2013/10/24	2013/10/31
23655	SGA Societe Generale Acceptance N.V. -CPIC Eur Wt Oct 2013 A	2013/10/24	2013/10/31
23794	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2013 C	2013/10/24	2013/10/31
23805	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2013 D	2013/10/24	2013/10/31
23809	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2013 E	2013/10/24	2013/10/31
23831	Nomura Int'l plc – PetroChina European Warrants Oct 2013 A	2013/10/24	2013/10/31
24003	UBS AG – HSI European Warrants Oct 2013 E	2013/10/24	2013/10/31
24104	HK Bank – HSI European Put Warrants Oct 2013 E	2013/10/24	2013/10/31
24110	Citigroup Global Mkt H Inc. – HSI European Wts Oct 2013 A	2013/10/24	2013/10/31
24221	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2013 C	2013/10/24	2013/10/31
24229	Credit Suisse AG – HSI European Warrants Oct 2013 F	2013/10/24	2013/10/31
24281	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 E	2013/10/24	2013/10/31
24633	BOCI Asia Ltd. – Ping An European Warrants Oct 2013 B	2013/10/24	2013/10/31
24661	BOCI Asia Ltd. – A50 China European Warrants Oct 2013 A	2013/10/24	2013/10/31
24673	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 F	2013/10/24	2013/10/31
24676	Standard Chartered Bank – HSI European Warrants Oct 2013 B	2013/10/24	2013/10/31
24867	HK Bank – ICBC European Warrants Oct 2013 B	2013/10/24	2013/10/31
25000	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2013 F	2013/10/24	2013/10/31
25643	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2013 G	2013/10/24	2013/10/31
26215	HK Bank – HSI European Warrants Oct 2013 F	2013/10/24	2013/10/31
26220	HK Bank – HSI European Put Warrants Oct 2013 G	2013/10/24	2013/10/31
16645	Credit Suisse AG – A50 China European Warrants Oct 2013	2013/10/25	2013/11/01
20739	Standard Chartered Bank – BOCL European Warrants Oct 2013 A	2013/10/25	2013/11/01
22080	UBS AG – CAM CSI300 European Warrants Oct 2013 B	2013/10/25	2013/11/01
23384	Goldman Sachs SP (Asia) – Weichai Power Euro Wts Oct 2013 A	2013/10/25	2013/11/01
23386	Goldman Sachs SP (Asia) – Sinopharm European Wts Oct 2013 A	2013/10/25	2013/11/01
24014	Goldman Sachs SP (Asia) – SHK Ppt European Wts Oct 2013 A	2013/10/25	2013/11/01
24742	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Oct 2013 A	2013/10/25	2013/11/01
25011	BOCI Asia Ltd. – Ping An European Warrants Oct 2013 A	2013/10/25	2013/11/01

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26945	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2013 B	2013/10/25	2013/11/01
12256	Credit Suisse AG – China Coal European Warrants Oct 2013 B	2013/10/25	2013/11/01
23592	HK Bank – Sands China European Warrants Oct 2013 B	2013/10/25	2013/11/01
25461	Credit Suisse AG – Sands China European Warrants Oct 2013 B	2013/10/25	2013/11/01
14524	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2013	2013/10/28	2013/11/04
16291	Daiwa Capital Mkt – PetroChina European Warrants Nov 2013	2013/10/28	2013/11/04
16324	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2013	2013/10/28	2013/11/04
17196	Daiwa Capital Mkt – A50 China European Warrants Nov 2013 A	2013/10/28	2013/11/04
18873	Standard Chartered Bank – NCI European Warrants Nov 2013 A	2013/10/28	2013/11/04
21237	Standard Chartered Bank -PetroChina European Wts Nov 2013 A	2013/10/28	2013/11/04
21538	Standard Chartered Bank – HKEx European Warrants Nov 2013 B	2013/10/28	2013/11/04
21586	Standard Chartered Bank – China Overseas Euro Wt Nov 2013 A	2013/10/28	2013/11/04
22526	Standard Chartered Bank – CC Bank European Wts Nov 2013 B	2013/10/28	2013/11/04
22629	Daiwa Capital Mkt – A50 China European Put Wts Nov 2013 B	2013/10/28	2013/11/04
23075	Standard Chartered Bank – HSBC European Put Wts Nov 2013 B	2013/10/28	2013/11/04
23150	Standard Chartered Bank – Geely Auto European Wts Nov 2013 A	2013/10/28	2013/11/04
23190	J P Morgan SP BV – Lifestyle Int European Wts Nov 2013 A	2013/10/28	2013/11/04
23465	J P Morgan SP BV – BOCL European Warrants Nov 2013 A	2013/10/28	2013/11/04
23620	J P Morgan SP BV – CAM CSI300 European Warrants Nov 2013 A	2013/10/28	2013/11/04
23742	Citigroup Global Mkt H Inc. – HSBC European Wts Nov 2013 A	2013/10/28	2013/11/04
24610	Nomura Int'l plc – A50 China European Put Wts Nov 2013 A	2013/10/28	2013/11/04
24616	Nomura Int'l plc – CNOOC European Warrants Nov 2013 B	2013/10/28	2013/11/04
24671	J P Morgan SP BV – Kerry Ppt European Warrants Nov 2013 A	2013/10/28	2013/11/04
24736	J P Morgan SP BV – China Life European Warrants Nov 2013 A	2013/10/28	2013/11/04
25009	BOCI Asia Ltd. – China Life European Warrants Nov 2013 A	2013/10/28	2013/11/04
25285	J P Morgan SP BV – Zijin Mining European Warrants Nov 2013 A	2013/10/28	2013/11/04
25286	J P Morgan SP BV – China Mob European Wts Nov 2013 A	2013/10/28	2013/11/04
25365	J P Morgan SP BV – CPIC European Warrants Nov 2013 A	2013/10/28	2013/11/04
25367	J P Morgan SP BV – CHALCO European Warrants Nov 2013 A	2013/10/28	2013/11/04
25389	J P Morgan SP BV – Wharf European Warrants Nov 2013 A	2013/10/28	2013/11/04
26158	J P Morgan SP BV – PetroChina European Warrants Nov 2013 A	2013/10/28	2013/11/04
26949	Daiwa Capital Mkt – Galaxy Ent European Warrants Nov 2013 A	2013/10/28	2013/11/04
11115	UBS AG – Galaxy Ent European Warrants Nov 2013 B	2013/10/28	2013/11/04
11132	Daiwa Capital Mkt – Ping An European Warrants Nov 2013 A	2013/10/28	2013/11/04
11242	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2013 A	2013/10/28	2013/11/04
12198	Daiwa Capital Mkt – Sands China European Warrants Nov 2013 A	2013/10/28	2013/11/04
12258	Credit Suisse AG – CITIC Bank European Warrants Nov 2013 A	2013/10/28	2013/11/04
12282	Standard Chartered Bank – Anhui Conch Euro Wts Nov 2013 A	2013/10/28	2013/11/04
22919	Daiwa Capital Mkt – China Mobile European Wts Nov 2013 C	2013/10/28	2013/11/04
23067	Daiwa Capital Mkt – Ping An European Warrants Nov 2013 C	2013/10/28	2013/11/04
23736	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2013 A	2013/10/28	2013/11/04
24447	Daiwa Capital Mkt – ICBC European Warrants Nov 2013 C	2013/10/28	2013/11/04
25616	Credit Suisse AG – Galaxy Ent European Warrants Nov 2013 C	2013/10/28	2013/11/04
29647	BNP Paribas Arbit Issu B.V. – China BlueChem Eur Wt Nov 2013	2013/10/29	2013/11/05
29651	BNP Paribas Arbit Issu B.V. – Want Want Ch Euro Wts Nov 2013	2013/10/29	2013/11/05
29856	BNP Paribas Arbit Issu B.V. – China Res Gas Euro Wts Nov13	2013/10/29	2013/11/05
14078	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2013	2013/10/29	2013/11/05
15433	BNP Paribas Arbit Issu B.V. – Cathay Pacific Eu Wts Nov 2013	2013/10/29	2013/11/05
16253	Macquarie Bank Ltd. – PetroChina European Wts Nov 2013	2013/10/29	2013/11/05
17355	Macquarie Bank Ltd. – KECL European Warrants Nov 2013 A	2013/10/29	2013/11/05
17428	BOCI Asia Ltd. – A50 China European Warrants Nov 2013 A	2013/10/29	2013/11/05
18275	Macquarie Bank Ltd. – Weigao Group European Wts Nov 2013 A	2013/10/29	2013/11/05
18723	Macquarie Bank Ltd. – Stanchart European Warrants Nov 2013 A	2013/10/29	2013/11/05
18901	Macquarie Bank Ltd. – China Unicom European Wts Nov 2013 A	2013/10/29	2013/11/05
19020	Macquarie Bank Ltd. – Belle Int'l European Wts Nov 2013 A	2013/10/29	2013/11/05
19530	BOCI Asia Ltd. – PetroChina European Warrants Nov 2013 A	2013/10/29	2013/11/05
19563	Macquarie Bank Ltd. – Dongfang Elec European Wts Nov 2013 A	2013/10/29	2013/11/05
19683	BNP Paribas Arbit Issu B.V. – AIA European Wts Nov 2013 A	2013/10/29	2013/11/05
20126	Macquarie Bank Ltd. – China Shenhua European Wts Nov 2013 A	2013/10/29	2013/11/05
20465	Standard Chartered Bank – Zijin Mining Euro Wts Nov 2013 A	2013/10/29	2013/11/05
21050	Macquarie Bank Ltd. – CLP European Warrants Nov 2013 A	2013/10/29	2013/11/05
21177	Macquarie Bank Ltd. – CAM CSI300 European Warrants Nov2013 A	2013/10/29	2013/11/05
21200	BNP Paribas Arbit Issu B.V. – AAC Acoustic Eu Wts Nov 2013 A	2013/10/29	2013/11/05
21552	BOCI Asia Ltd. – CAM CSI300 European Warrants Nov 2013 A	2013/10/29	2013/11/05
21686	J P Morgan SP BV – Anhui Conch European Warrants Nov 2013 A	2013/10/29	2013/11/05
21730	Goldman Sachs SP (Asia) – Wharf European Warrants Nov 2013 A	2013/10/29	2013/11/05
22240	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Nov 2013 A	2013/10/29	2013/11/05
22358	Macquarie Bank Ltd. – China Life European Wts Nov 2013 A	2013/10/29	2013/11/05
22886	Goldman Sachs SP (Asia) – BYD European Warrants Nov 2013 A	2013/10/29	2013/11/05
22927	Macquarie Bank Ltd. – China Taiping European Wts Nov 2013 A	2013/10/29	2013/11/05
22949	BNP Paribas Arbit Issu B.V. – Weichai Power Eu Wt Nov 2013 A	2013/10/29	2013/11/05
22999	BNP Paribas Arbit Issu B.V. – AIA European Wts Nov 2013 B	2013/10/29	2013/11/05
23011	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wt Nov 2013 A	2013/10/29	2013/11/05
23093	Bank of East Asia – China Coal European Warrants Nov 2013 A	2013/10/29	2013/11/05
23166	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Nov 2013 A	2013/10/29	2013/11/05
23298	Macquarie Bank Ltd. – Bank of EA European Wts Nov 2013 A	2013/10/29	2013/11/05
23347	Macquarie Bank Ltd. -Sino Ocean Land European Wts Nov 2013 A	2013/10/29	2013/11/05
23829	Macquarie Bank Ltd. – BJ Ent Water European Wts Nov 2013 A	2013/10/29	2013/11/05
23830	Macquarie Bank Ltd. – CKI Holdings European Wts Nov 2013 A	2013/10/29	2013/11/05
23850	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Nov 2013 A	2013/10/29	2013/11/05
23890	BNP Paribas Arbit Issu B.V. – Ping An European Wt Nov 2013 A	2013/10/29	2013/11/05
23922	Macquarie Bank Ltd. – Poly HK Inv European Wts Nov 2013 A	2013/10/29	2013/11/05
23941	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Nov 2013 A	2013/10/29	2013/11/05
23942	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wt Nov 2013 A	2013/10/29	2013/11/05
23991	Macquarie Bank Ltd. – China Res Gas European Wts Nov 2013 A	2013/10/29	2013/11/05
24030	CC Rabobank B.A. – Chinares Cement Euro Put Wts Nov 2013 A	2013/10/29	2013/11/05
24164	BNP Paribas Arbit Issu B.V. – Henganintl Euro Wts Nov 2013 A	2013/10/29	2013/11/05

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
24338	Macquarie Bank Ltd. – CITIC Pacific European Wts Nov 2013 A	2013/10/29	2013/11/05
24483	Macquarie Bank Ltd. – Tencent European Warrants Nov 2013 A	2013/10/29	2013/11/05
24559	Standard Chartered Bank – China Mobile Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24560	Standard Chartered Bank – CNOOC European Warrants Nov 2013 B	2013/10/29	2013/11/05
24562	Standard Chartered Bank – Chi Res Power Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24566	Macquarie Bank Ltd. – China Tel European Warrants Nov 2013 A	2013/10/29	2013/11/05
24586	Macquarie Bank Ltd. – Weichai Power European Wts Nov 2013 A	2013/10/29	2013/11/05
24590	Goldman Sachs SP (Asia) – China Life Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24617	Macquarie Bank Ltd. – Foxconn European Warrants Nov 2013 A	2013/10/29	2013/11/05
24638	Goldman Sachs SP (Asia) – Lenovo European Wts Nov 2013 A	2013/10/29	2013/11/05
24640	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2013 A	2013/10/29	2013/11/05
24642	Standard Chartered Bank – Datang Power Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24649	Macquarie Bank Ltd. – HKT-SS European Warrants Nov 2013 A	2013/10/29	2013/11/05
24753	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24756	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24759	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2013 A	2013/10/29	2013/11/05
24779	Macquarie Bank Ltd. – Sands China European Wts Nov 2013 A	2013/10/29	2013/11/05
24807	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Nov13A	2013/10/29	2013/11/05
24820	Standard Chartered Bank – Evergrande European Wts Nov 2013 A	2013/10/29	2013/11/05
24829	Macquarie Bank Ltd. – Shui On Land European Wts Nov 2013 A	2013/10/29	2013/11/05
24901	HK Bank – Minsheng Bank European Warrants Nov 2013 A	2013/10/29	2013/11/05
24960	Macquarie Bank Ltd. – Ping An European Warrants Nov 2013 A	2013/10/29	2013/11/05
25007	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Nov 2013 B	2013/10/29	2013/11/05
25047	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Nov 2013 A	2013/10/29	2013/11/05
25081	J P Morgan SP BV – A50 China European Warrants Nov 2013 A	2013/10/29	2013/11/05
25156	Macquarie Bank Ltd. – SHK Ppt European Warrants Nov 2013 A	2013/10/29	2013/11/05
25160	Macquarie Bank Ltd. – Cheung Kong European Wts Nov 2013 A	2013/10/29	2013/11/05
25161	Macquarie Bank Ltd. – China Life European Wts Nov 2013 B	2013/10/29	2013/11/05
25180	J P Morgan SP BV – Sands China European Warrants Nov 2013 A	2013/10/29	2013/11/05
25183	Macquarie Bank Ltd. – Geely Auto European Wts Nov 2013 A	2013/10/29	2013/11/05
25190	J P Morgan SP BV – GCL-Poly European Warrants Nov 2013 A	2013/10/29	2013/11/05
25244	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Nov 2013 A	2013/10/29	2013/11/05
25248	Macquarie Bank Ltd. – China Coal European Wts Nov 2013 A	2013/10/29	2013/11/05
25264	Standard Chartered Bank – China COSCO European Wt Nov 2013 B	2013/10/29	2013/11/05
25274	J P Morgan SP BV – Evergrande European Warrants Nov 2013 A	2013/10/29	2013/11/05
25277	BOCI Asia Ltd. – Lenovo European Warrants Nov 2013 A	2013/10/29	2013/11/05
25512	HK Bank – Ping An European Warrants Nov 2013 A	2013/10/29	2013/11/05
25516	HK Bank – China Tel European Warrants Nov 2013 A	2013/10/29	2013/11/05
25571	Standard Chartered Bank – Jiangxi Copper Euro Wts Nov 2013 A	2013/10/29	2013/11/05
25648	Standard Chartered Bank – HS H-SHARE European Wts Nov 2013 A	2013/10/29	2013/11/05
25656	Standard Chartered Bank – R&F Ppt European Wts Nov 2013 A	2013/10/29	2013/11/05
25703	J P Morgan SP BV – BOCL European Warrants Nov 2013 B	2013/10/29	2013/11/05
25704	J P Morgan SP BV – CSOP A50 ETF European Warrants Nov 2013 A	2013/10/29	2013/11/05
25759	HK Bank – China Mobile European Warrants Nov 2013 A	2013/10/29	2013/11/05
25888	BOCI Asia Ltd. – ICBC European Put Warrants Nov 2013 A	2013/10/29	2013/11/05
25889	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2013 A	2013/10/29	2013/11/05
25955	HK Bank – Anhui Conch European Warrants Nov 2013 A	2013/10/29	2013/11/05
26014	J P Morgan SP BV – Galaxy Ent European Warrants Nov 2013 A	2013/10/29	2013/11/05
26066	Macquarie Bank Ltd. -Greatwall Motor European Wts Nov 2013 A	2013/10/29	2013/11/05
26067	Macquarie Bank Ltd. – BYD European Warrants Nov 2013 A	2013/10/29	2013/11/05
26074	Macquarie Bank Ltd. – Galaxy Ent European Wts Nov 2013 A	2013/10/29	2013/11/05
26178	J P Morgan SP BV – CITIC Bank European Warrants Nov 2013 A	2013/10/29	2013/11/05
26228	J P Morgan SP BV – Sands China European Put Wts Nov 2013 B	2013/10/29	2013/11/05
12226	Credit Suisse AG – Agricultural Bank European Wts Nov 2013 A	2013/10/29	2013/11/05
12228	UBS AG – Ping An European Warrants Nov 2013 B	2013/10/29	2013/11/05
12290	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Nov 2013 A	2013/10/29	2013/11/05
14944	BOCI Asia Ltd. -Tencent Holdings European Put Wts Nov 2013 A	2013/10/29	2013/11/05
22263	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Nov 13 A	2013/10/29	2013/11/05
23585	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2013 A	2013/10/29	2013/11/05
23662	UBS AG – PetroChina European Warrants Nov 2013 A	2013/10/29	2013/11/05
24368	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2013 B	2013/10/29	2013/11/05
24548	Credit Suisse AG – Yanzhou Coal European Warrants Nov 2013 A	2013/10/29	2013/11/05
25458	Macquarie Bank Ltd. – Galaxy Ent European Wts Nov 2013 B	2013/10/29	2013/11/05
25781	Macquarie Bank Ltd. – Sands China European Wts Nov 2013 B	2013/10/29	2013/11/05
21727	Standard Chartered Bank – CITIC Sec European Wts Nov 2013 A	2013/10/30	2013/11/06
22087	Goldman Sachs SP (Asia) – China Mengniu Euro Wt Nov 2013 A	2013/10/30	2013/11/06
22976	Standard Chartered Bank – Agricultural Bk Eur Wts Nov 2013 A	2013/10/30	2013/11/06
25413	Standard Chartered Bank – CTel European Warrants Nov 2013 A	2013/10/30	2013/11/06
25789	Standard Chartered Bank – China Shenhua Euro Wts Nov 2013 A	2013/10/30	2013/11/06
25795	Standard Chartered Bank – ICBC European Warrants Nov 2013 A	2013/10/30	2013/11/06
26221	Credit Suisse AG – ZTE European Warrants Nov 2013 A	2013/10/30	2013/11/06
26552	UBS AG – Galaxy Ent European Warrants Nov 2013 A	2013/10/30	2013/11/06
27301	UBS AG – ZTE European Warrants Nov 2013 B	2013/10/30	2013/11/06
12211	Standard Chartered Bank – PICC Group European Wts Nov 2013 A	2013/10/30	2013/11/06
12214	UBS AG – Anhui Conch European Warrants Nov 2013 A	2013/10/30	2013/11/06
12217	J P Morgan SP BV – PICC Group European Warrants Nov 2013 A	2013/10/30	2013/11/06
12229	UBS AG – PICC Group European Warrants Nov 2013 B	2013/10/30	2013/11/06
24982	BOCI Asia Ltd. – ICBC European Warrants Nov 2013 B	2013/10/30	2013/11/06
20545	Bank of East Asia – Zhaojin Mining European Wts Nov 2013 A	2013/10/31	2013/11/07
22977	Standard Chartered Bank – Ch Ship Cont Euro Wts Nov 2013 A	2013/10/31	2013/11/07
26858	UBS AG – Wynn Macau European Warrants Nov 2013 A	2013/10/31	2013/11/07
26883	UBS AG – China Coal European Warrants Nov 2013 A	2013/10/31	2013/11/07
11481	UBS AG – HSBC European Warrants Nov 2013 A	2013/10/31	2013/11/07
24598	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Nov 2013B	2013/10/31	2013/11/07
26972	UBS AG – Sinopec Corp European Warrants Nov 2013 A	2013/11/01	2013/11/08
26976	UBS AG – Ping An European Put Warrants Nov 2013 A	2013/11/01	2013/11/08
26977	UBS AG – Sands China European Warrants Nov 2013 A	2013/11/01	2013/11/08

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
17761	Daiwa Capital Mkt – CNOOC European Warrants Nov 2013 A	2013/11/04	2013/11/11
18391	Standard Chartered Bank – CNOOC European Warrants Nov 2013 A	2013/11/04	2013/11/11
20384	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2013 B	2013/11/04	2013/11/11
20436	UBS AG – CNOOC European Warrants Nov 2013 A	2013/11/04	2013/11/11
22341	Standard Chartered Bank-China Life European Wts Nov 2013 A	2013/11/04	2013/11/11
22409	Standard Chartered Bank – HSBC European Warrants Nov 2013 A	2013/11/04	2013/11/11
23116	Standard Chartered Bank – Minsheng Bank Euro Wts Nov 2013 A	2013/11/04	2013/11/11
23418	Standard Chartered Bank – Sands China Euro Wts Nov 2013 A	2013/11/04	2013/11/11
23419	Standard Chartered Bank – Galaxy Ent European Wts Nov 2013 A	2013/11/04	2013/11/11
26494	Standard Chartered Bank – Sinopec Corp Euro Wts Nov 2013 A	2013/11/04	2013/11/11
27136	UBS AG – CITIC Bank European Warrants Nov 2013 A	2013/11/04	2013/11/11
27141	UBS AG – Tencent Holdings European Warrants Nov 2013 A	2013/11/04	2013/11/11
12276	BOCI Asia Ltd. – HSBC European Put Warrants Nov 2013 A	2013/11/04	2013/11/11
21711	Goldman Sachs SP (Asia) – BYD European Warrants Nov 2013 B	2013/11/04	2013/11/11
16549	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2013	2013/11/05	2013/11/12
16746	Citigroup Global Mkt H Inc. – Want Want Ch Euro Wts Nov 2013	2013/11/05	2013/11/12
18124	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2013A	2013/11/05	2013/11/12
22019	Citigroup Global Mkt H Inc. – Ping An Euro Wts Nov 2013 A	2013/11/05	2013/11/12
23946	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Nov 2013 B	2013/11/05	2013/11/12
24662	J P Morgan SP BV – Yuexiu Property European Wts Nov 2013 A	2013/11/05	2013/11/12
24815	UBS AG – China Life European Warrants Nov 2013 A	2013/11/05	2013/11/12
25658	BOCI Asia Ltd. – CC Bank European Put Warrants Nov 2013 A	2013/11/05	2013/11/12
26064	BOCI Asia Ltd. – China Unicom European Warrants Nov 2013 A	2013/11/05	2013/11/12
26151	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Nov 13 A	2013/11/05	2013/11/12
26755	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Nov13A	2013/11/05	2013/11/12
26947	Credit Suisse AG – CNBM European Warrants Nov 2013 A	2013/11/05	2013/11/12
26948	Credit Suisse AG – AIA European Warrants Nov 2013 A	2013/11/05	2013/11/12
27094	Credit Suisse AG – SUNAC European Warrants Nov 2013 A	2013/11/05	2013/11/12
27180	Daiwa Capital Mkt – CCB European Warrants Nov 2013 A	2013/11/05	2013/11/12
27221	Daiwa Capital Mkt -Agricultural Bank European Wts Nov 2013 A	2013/11/05	2013/11/12
11122	UBS AG – AIA European Warrants Nov 2013 A	2013/11/05	2013/11/12
15869	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2013 B	2013/11/05	2013/11/12
16661	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Nov2013 A	2013/11/05	2013/11/12
17997	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Nov 2013 A	2013/11/05	2013/11/12
22282	Nomura Int'l plc – A50 China European Warrants Nov 2013 B	2013/11/05	2013/11/12
22806	Standard Chartered Bank – China Mobile Euro Wts Nov 2013 C	2013/11/05	2013/11/12
26000	UBS AG – HKEx European Warrants Nov 2013 B	2013/11/05	2013/11/12
21703	CC Rabobank B.A. – ZTE European Warrants Nov 2013 A	2013/11/06	2013/11/13
11010	Credit Suisse AG – PICC Group European Warrants Nov 2013 A	2013/11/06	2013/11/13
11011	Credit Suisse AG – PICC Group European Warrants Nov 2013 B	2013/11/06	2013/11/13
11014	Daiwa Capital Mkt – China Life European Put Wts Nov 2013 A	2013/11/06	2013/11/13
11018	Daiwa Capital Mkt – ICBC European Put Warrants Nov 2013 B	2013/11/06	2013/11/13
11056	UBS AG – PICC Group European Warrants Nov 2013 A	2013/11/06	2013/11/13
17822	Citigroup Global Mkt H Inc. – China Coal Euro Wts Nov 2013 A	2013/11/07	2013/11/14
18004	J P Morgan SP BV – China Coal European Warrants Nov 2013 A	2013/11/07	2013/11/14
27996	SGA Societe Generale Acceptance N.V. – PICC Gp Eu Wts Nov13A	2013/11/07	2013/11/14
11077	HK Bank – PICC Group European Warrants Nov 2013 A	2013/11/07	2013/11/14
11133	Daiwa Capital Mkt – Ping An European Put Warrants Nov 2013 B	2013/11/08	2013/11/15
17251	HK Bank – CNOOC European Warrants Nov 2013 A	2013/11/11	2013/11/18
24062	UBS AG – China Overseas European Warrants Nov 2013 A	2013/11/11	2013/11/18
24795	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2013 A	2013/11/11	2013/11/18
25008	BOCI Asia Ltd. – Ch Overseas European Warrants Nov 2013 B	2013/11/11	2013/11/18
25979	J P Morgan SP BV – Yanzhou Coal European Warrants Nov 2013 B	2013/11/11	2013/11/18
27041	Standard Chartered Bank – China Mobile Eur Put Wt Nov 2013 B	2013/11/11	2013/11/18
11019	Daiwa Capital Mkt – China Life European Warrants Nov 2013 B	2013/11/11	2013/11/18
11880	Credit Suisse AG – CC Bank European Warrants Nov 2013 A	2013/11/11	2013/11/18
12109	Daiwa Capital Mkt – China Mobile European Wts Nov 2013 A	2013/11/11	2013/11/18
12110	Daiwa Capital Mkt – China Mobile European Put Wts Nov 2013 B	2013/11/11	2013/11/18
12142	Credit Suisse AG – Galaxy Ent European Put Wts Nov 2013 A	2013/11/11	2013/11/18
12145	Credit Suisse AG – SHK Ppt European Put Warrants Nov 2013 B	2013/11/11	2013/11/18
12146	Credit Suisse AG – Sands China European Put Wts Nov 2013 A	2013/11/11	2013/11/18
12148	UBS AG – Sands China European Put Warrants Nov 2013 B	2013/11/11	2013/11/18
12149	UBS AG – Galaxy Ent European Put Warrants Nov 2013 C	2013/11/11	2013/11/18
12150	UBS AG – BYD European Warrants Nov 2013 A	2013/11/11	2013/11/18
12275	BOCI Asia Ltd. – China Mobile European Put Wts Nov 2013 A	2013/11/11	2013/11/18
22331	BOCI Asia Ltd. – China Mobile European Warrants Nov 2013 B	2013/11/11	2013/11/18
25809	UBS AG – KECL European Warrants Nov 2013 B	2013/11/11	2013/11/18
18412	Goldman Sachs SP (Asia) – China Coal European Wts Nov 2013 A	2013/11/12	2013/11/19
21387	Standard Chartered Bank – CAM CSI300 European Wts Nov 2013 A	2013/11/12	2013/11/19
21391	CC Rabobank B.A. – A50 China European Warrants Nov 2013 A	2013/11/12	2013/11/19
21626	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Nov 2013 A	2013/11/12	2013/11/19
21649	UBS AG – CAM CSI300 European Warrants Nov 2013 A	2013/11/12	2013/11/19
21668	Credit Suisse AG – CAM CSI300 European Warrants Nov 2013 A	2013/11/12	2013/11/19
21722	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Nov13A	2013/11/12	2013/11/19
21897	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Nov 2013 A	2013/11/12	2013/11/19
21904	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Nov 2013 A	2013/11/12	2013/11/19
21949	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2013 A	2013/11/12	2013/11/19
22020	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Nov 2013 A	2013/11/12	2013/11/19
10169	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov2013A	2013/11/12	2013/11/19
10170	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2013 B	2013/11/12	2013/11/19
23029	Nomura Int'l plc – BJ Ent Water European Warrants Nov 2013 A	2013/11/12	2013/11/19
23053	Goldman Sachs SP (Asia) – Poly HK Inv Euro Wts Nov 2013 A	2013/11/12	2013/11/19
23241	UBS AG – ZTE European Warrants Nov 2013 A	2013/11/12	2013/11/19
23718	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Nov 2013 A	2013/11/12	2013/11/19
24039	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Nov 2013 A	2013/11/12	2013/11/19
24115	CC Rabobank B.A. – CAM CSI300 European Warrants Nov 2013 A	2013/11/12	2013/11/19
24460	Citigroup Global Mkt H Inc. – CNOOC European Wts Nov 2013 A	2013/11/12	2013/11/19

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
24461	Citigroup Global Mkt H Inc. – Ping An Euro Wts Nov 2013 C	2013/11/12	2013/11/19
24464	Citigroup Global Mkt H Inc. – AIA European Wts Nov 2013 A	2013/11/12	2013/11/19
24533	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov2013 A	2013/11/12	2013/11/19
24636	Goldman Sachs SP (Asia) -Ch Overseas European Wts Nov 2013 A	2013/11/12	2013/11/19
24811	UBS AG – ICBC European Warrants Nov 2013 A	2013/11/12	2013/11/19
24877	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Nov 2013 A	2013/11/12	2013/11/19
24937	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Nov 2013A	2013/11/12	2013/11/19
24954	HK Bank – CITIC Bank European Warrants Nov 2013 A	2013/11/12	2013/11/19
25012	HK Bank – China Shenhua European Warrants Nov 2013 B	2013/11/12	2013/11/19
25013	HK Bank – HKEx European Warrants Nov 2013 B	2013/11/12	2013/11/19
25017	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Nov 2013 A	2013/11/12	2013/11/19
25189	HK Bank – Agricultural Bank European Warrants Nov 2013 A	2013/11/12	2013/11/19
25397	Goldman Sachs SP (Asia) – Agri Bk European Wts Nov 2013 A	2013/11/12	2013/11/19
11070	HK Bank – SPDR Gold Trust European Warrants Nov 2013 A	2013/11/12	2013/11/19
12173	HK Bank – China Life European Warrants Nov 2013 A	2013/11/12	2013/11/19
12254	Credit Suisse – SPDR Gold Trust European Warrants Nov 2013 A	2013/11/12	2013/11/19
23016	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2013 C	2013/11/12	2013/11/19
23472	HK Bank – Anhui Conch European Warrants Nov 2013 B	2013/11/12	2013/11/19
23752	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Nov 2013 A	2013/11/12	2013/11/19
24043	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Nov13B	2013/11/12	2013/11/19
24114	UBS AG – HSBC European Warrants Nov 2013 C	2013/11/12	2013/11/19
24452	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Nov13A	2013/11/12	2013/11/19
26242	Credit Suisse AG – HSBC European Wts Nov 2013 A	2013/11/12	2013/11/19
26401	J P Morgan SP BV – HSBC European Warrants Nov 2013 A	2013/11/12	2013/11/19
25203	UBS AG – China Life European Put Warrants Nov 2013 B	2013/11/13	2013/11/20
12196	Macquarie Bank Ltd. – AAC Acoustic European Wts Nov 2013 A	2013/11/13	2013/11/20
15057	Bank of East Asia – R&F Ppt European Warrants Nov 2013	2013/11/14	2013/11/21
15058	Bank of East Asia – China Coal European Warrants Nov 2013	2013/11/14	2013/11/21
23364	J P Morgan SP BV – CNOOC European Warrants Nov 2013 A	2013/11/14	2013/11/21
23625	UBS AG – CNOOC European Warrants Nov 2013 C	2013/11/14	2013/11/21
25426	J P Morgan SP BV – SPDR Gold Trust European Wts Nov 2013 A	2013/11/14	2013/11/21
11240	Citigroup Global Mkt H Inc. – Sands China Euro Wt Nov 2013 A	2013/11/14	2013/11/21
12131	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Nov 2013 A	2013/11/14	2013/11/21
12133	Citigroup Global Mkt H Inc. – A50 China Euro Wts Nov 2013 B	2013/11/14	2013/11/21
12163	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Nov13A	2013/11/14	2013/11/21
12180	Nomura Int'l plc – Yanzhou Coal European Warrants Nov 2013 A	2013/11/14	2013/11/21
12197	J P Morgan SP BV – Geely Auto European Warrants Nov 2013 A	2013/11/14	2013/11/21
12215	UBS AG – CITIC Pacific European Warrants Nov 2013 A	2013/11/14	2013/11/21
23917	BOCI Asia Ltd. – Sands China European Warrants Nov 2013 A	2013/11/14	2013/11/21
26349	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2013 B	2013/11/14	2013/11/21
11635	UBS AG – CC Bank European Warrants Nov 2013 A	2013/11/15	2013/11/22
12167	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2013 B	2013/11/15	2013/11/22
12213	Daiwa Capital Mkt – Galaxy Ent European Put Wts Nov 2013 B	2013/11/15	2013/11/22
15773	HK Bank – KECL European Warrants Nov 2013	2013/11/18	2013/11/25
23091	Bank of East Asia – SJM Holdings European Wts Nov 2013 A	2013/11/18	2013/11/25
23833	Bank of East Asia – BYD European Warrants Nov 2013 A	2013/11/18	2013/11/25
23915	Standard Chartered Bank-China Life European Wts Nov 2013 B	2013/11/18	2013/11/25
24150	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Nov 2013 A	2013/11/18	2013/11/25
12205	Merrill Lynch Int'l & Co. C.V. – Galaxy Ent Eu Wts Nov2013 A	2013/11/18	2013/11/25
12245	BOCI Asia Ltd. – China Life European Warrants Nov 2013 B	2013/11/18	2013/11/25
12259	Credit Suisse AG – Li & Fung European Warrants Nov 2013 A	2013/11/18	2013/11/25
17321	UBS AG – HSBC European Put Warrants Nov 2013 B	2013/11/18	2013/11/25
27310	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Nov 2013	2013/11/19	2013/11/26
15276	UBS AG – Ping An European Warrants Nov 2013	2013/11/19	2013/11/26
20099	CC Rabobank B.A. – Dongfeng Motor European Wts Nov 2013 A	2013/11/19	2013/11/26
20154	UBS AG – China Unicom European Warrants Nov 2013 A	2013/11/19	2013/11/26
20327	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Nov 2013 A	2013/11/19	2013/11/26
20550	Bank of East Asia – Li & Fung European Warrants Nov 2013 A	2013/11/19	2013/11/26
20688	CC Rabobank B.A. – Digital China European Wts Nov 2013 A	2013/11/19	2013/11/26
21191	HK Bank – CAM CSI300 European Warrants Nov 2013 A	2013/11/19	2013/11/26
21420	Bank of East Asia – Agricultural Bank Euro Wts Nov 2013 A	2013/11/19	2013/11/26
21682	J P Morgan SP BV – Zoomlion European Warrants Nov 2013 A	2013/11/19	2013/11/26
21875	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Nov 2013 A	2013/11/19	2013/11/26
22210	CC Rabobank B.A. – CITIC Sec European Warrants Nov 2013 A	2013/11/19	2013/11/26
22454	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Nov13A	2013/11/19	2013/11/26
22633	BOCI Asia Ltd. – Tracker Fund European Put Wts Nov 2013 A	2013/11/19	2013/11/26
22814	CC Rabobank B.A. – China EB Ltd European Warrants Nov 2013 A	2013/11/19	2013/11/26
23069	Macquarie Bank Ltd. – Longfor PPT European Wts Nov 2013 A	2013/11/19	2013/11/26
23106	CC Rabobank B.A. – Belle Int'l European Warrants Nov 2013 A	2013/11/19	2013/11/26
23433	CC Rabobank B.A. – Zoomlion European Warrants Nov 2013 A	2013/11/19	2013/11/26
23571	UBS AG – Li & Fung European Warrants Nov 2013 A	2013/11/19	2013/11/26
23714	Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Nov 2013 A	2013/11/19	2013/11/26
23804	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Nov 2013 A	2013/11/19	2013/11/26
24283	SGA Societe Generale Acceptance N.V. – Zoomlion Eu Wt Nov13A	2013/11/19	2013/11/26
24289	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Nov13 A	2013/11/19	2013/11/26
24389	Nomura Int'l plc – Li & Fung European Warrants Nov 2013 A	2013/11/19	2013/11/26
24599	SGA Societe Generale Acceptance N.V-Haitong Sec Eu Wt Nov13A	2013/11/19	2013/11/26
24646	HK Bank – ICBC European Warrants Nov 2013 A	2013/11/19	2013/11/26
24766	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Nov 2013 A	2013/11/19	2013/11/26
24938	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Nov 2013 B	2013/11/19	2013/11/26
25030	Credit Suisse AG – ICBC European Warrants Nov 2013 A	2013/11/19	2013/11/26
25231	BOCI Asia Ltd. – China Shenhua European Warrants Nov 2013 B	2013/11/19	2013/11/26
25394	BOCI Asia Ltd. – Agricultural Bk European Warrants Nov2013 A	2013/11/19	2013/11/26
25396	BOCI Asia Ltd. – Anhui Conch European Warrants Nov 2013 A	2013/11/19	2013/11/26
11534	UBS AG – SPDR Gold Trust European Warrants Nov 2013 A	2013/11/19	2013/11/26
12144	Credit Suisse AG – AIA European Put Warrants Nov 2013 B	2013/11/19	2013/11/26
12166	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2013 A	2013/11/19	2013/11/26

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
12168	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2013 B	2013/11/19	2013/11/26
12169	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Nov 13 B	2013/11/19	2013/11/26
12235	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2013 A	2013/11/19	2013/11/26
12241	SGA Societe Generale Acceptance N.V. -CCoal Eu Wt Nov 2013 A	2013/11/19	2013/11/26
12266	Nomura Int'l plc – BYD European Warrants Nov 2013 A	2013/11/19	2013/11/26
18094	SGA Societe Generale Acceptance N.V. -CITIC Sec Eu Wt Nov13A	2013/11/19	2013/11/26
22211	Macquarie Bank Ltd. – CITIC Sec European Warrants Nov 2013 A	2013/11/19	2013/11/26
23496	Standard Chartered Bank – Wynn Macau European Wts Nov 2013 A	2013/11/19	2013/11/26
23627	Standard Chartered Bank – Dongfeng European Wts Nov 2013 A	2013/11/19	2013/11/26
23827	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2013 A	2013/11/19	2013/11/26
23931	Standard Chartered Bank – Wharf European Warrants Nov 2013 B	2013/11/19	2013/11/26
24476	Credit Suisse AG – China Coal European Warrants Nov 2013 A	2013/11/19	2013/11/26
24749	UBS AG – Agricultural Bank European Warrants Nov 2013 A	2013/11/19	2013/11/26
24888	Credit Suisse AG – Galaxy Ent European Put Wts Nov 2013 B	2013/11/19	2013/11/26
24908	UBS AG – China Tel European Warrants Nov 2013 A	2013/11/19	2013/11/26
25222	Credit Suisse AG – Tencent Holdings European Wts Nov 2013 D	2013/11/19	2013/11/26
25924	UBS AG – HSBC European Put Warrants Nov 2013 D	2013/11/19	2013/11/26
25943	Credit Suisse AG – Tencent Holdings European Wts Nov 2013 E	2013/11/19	2013/11/26
25995	UBS AG – ICBC European Warrants Nov 2013 B	2013/11/19	2013/11/26
26052	Standard Chartered Bank – CTel European Warrants Nov 2013 B	2013/11/19	2013/11/26
26193	UBS AG – Hutchison European Warrants Nov 2013 A	2013/11/19	2013/11/26
26245	Credit Suisse AG – ICBC European Warrants Nov 2013 C	2013/11/19	2013/11/26
26262	HK Bank – HWL European Warrants Nov 2013 A	2013/11/19	2013/11/26
26291	HK Bank – AIA European Warrants Nov 2013 A	2013/11/19	2013/11/26
26292	HK Bank – AIA European Put Warrants Nov 2013 B	2013/11/19	2013/11/26
26479	Credit Suisse AG – Hutchison European Put Wts Nov 2013 A	2013/11/19	2013/11/26
26673	Credit Suisse AG – Ping An European Warrants Nov 2013 A	2013/11/19	2013/11/26
29536	Nomura Int'l plc – Beijing Ent European Warrants Nov 2013	2013/11/20	2013/11/27
12688	Merrill Lynch Int'l & Co. C.V. – Ch Comm Cons Eu Wt Nov 2013	2013/11/20	2013/11/27
18937	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2013 A	2013/11/20	2013/11/27
18966	Standard Chartered Bank – HKEx European Warrants Nov 2013 A	2013/11/20	2013/11/27
19113	Citigroup Global Mkt H Inc. – HKEx European Wts Nov 2013 A	2013/11/20	2013/11/27
19190	UBS AG – HKEx European Warrants Nov 2013 A	2013/11/20	2013/11/27
19286	J P Morgan SP BV – Yanzhou Coal European Warrants Nov 2013 A	2013/11/20	2013/11/27
19343	J P Morgan SP BV – HKEx European Warrants Nov 2013 A	2013/11/20	2013/11/27
19698	HK Bank – HKEx European Warrants Nov 2013 A	2013/11/20	2013/11/27
19902	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2013 B	2013/11/20	2013/11/27
20277	Daiwa Capital Mkt – HKEx European Put Warrants Nov 2013 A	2013/11/20	2013/11/27
20546	Bank of East Asia – JIANC European Warrants Nov 2013 A	2013/11/20	2013/11/27
20629	UBS AG – CNOOC European Warrants Nov 2013 B	2013/11/20	2013/11/27
21303	Citigroup Global Mkt H Inc. – KECL European Wts Nov 2013 A	2013/11/20	2013/11/27
21306	UBS AG – KECL European Warrants Nov 2013 A	2013/11/20	2013/11/27
21330	CC Rabobank B.A. – CNOOC European Warrants Nov 2013 A	2013/11/20	2013/11/27
21736	BOCI Asia Ltd. – CNOOC European Warrants Nov 2013 B	2013/11/20	2013/11/27
21863	Standard Chartered Bank – China Overseas Euro Wt Nov 2013 B	2013/11/20	2013/11/27
22163	UBS AG – Yanzhou Coal European Wts Nov 2013 A	2013/11/20	2013/11/27
22256	HK Bank – BOCL European Warrants Nov 2013 A	2013/11/20	2013/11/27
22707	Nomura Int'l plc – CNOOC European Warrants Nov 2013 A	2013/11/20	2013/11/27
22743	CC Rabobank B.A. – BOCL European Warrants Nov 2013 A	2013/11/20	2013/11/27
22862	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2013 C	2013/11/20	2013/11/27
22929	HK Bank – CNOOC European Warrants Nov 2013 B	2013/11/20	2013/11/27
23970	BOCI Asia Ltd. – Ch Overseas European Warrants Nov 2013 A	2013/11/20	2013/11/27
24391	Nomura Int'l plc – China Telecom European Wts Nov 2013 A	2013/11/20	2013/11/27
24739	Goldman Sachs SP (Asia) – BOCL European Warrants Nov 2013 A	2013/11/20	2013/11/27
25838	Daiwa Capital Mkt – ICBC European Warrants Nov 2013 A	2013/11/20	2013/11/27
15597	Credit Suisse AG – Tencent Holdings European Wts Nov 2013 B	2013/11/20	2013/11/27
17394	Credit Suisse AG – Tencent Holdings European Wts Nov 2013 C	2013/11/20	2013/11/27
17396	UBS AG – Tencent Holdings European Warrants Nov 2013 B	2013/11/20	2013/11/27
22320	Standard Chartered Bank – ICBC European Warrants Nov 2013 B	2013/11/20	2013/11/27
23639	Standard Chartered Bank – Wharf European Warrants Nov 2013 A	2013/11/20	2013/11/27
23909	HK Bank – CC Bank European Warrants Nov 2013 A	2013/11/20	2013/11/27
24441	Daiwa Capital Mkt – China Mobile European Put Wts Nov 2013 D	2013/11/20	2013/11/27
24803	Standard Chartered Bank – HSBC European Warrants Nov 2013 C	2013/11/20	2013/11/27
24842	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Nov 13 B	2013/11/20	2013/11/27
25677	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Nov 13 C	2013/11/20	2013/11/27
25709	Credit Suisse AG – Lenovo European Warrants Nov 2013 A	2013/11/20	2013/11/27
25722	Nomura Int'l plc – HSBC European Warrants Nov 2013 A	2013/11/20	2013/11/27
25903	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Nov 2013 C	2013/11/20	2013/11/27
26197	UBS AG – Greatwall Motor European Warrants Nov 2013 A	2013/11/20	2013/11/27
26257	HK Bank – ICBC European Warrants Nov 2013 B	2013/11/20	2013/11/27
18578	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2013 A	2013/11/21	2013/11/28
19330	Barclays Bank plc – CNOOC European Warrants Nov 2013 A	2013/11/21	2013/11/28
19425	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Nov 2013 A	2013/11/21	2013/11/28
19770	BOCI Asia Ltd. – CNOOC European Warrants Nov 2013 A	2013/11/21	2013/11/28
20345	HK Bank – Yanzhou Coal European Warrants Nov 2013 A	2013/11/21	2013/11/28
22028	Citigroup Global Mkt H Inc. -Yanzhou Coal Eur Wts Nov 2013 A	2013/11/21	2013/11/28
22589	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov2013 B	2013/11/21	2013/11/28
23201	HK Bank – China Unicom European Warrants Nov 2013 A	2013/11/21	2013/11/28
24634	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Nov 13 A	2013/11/21	2013/11/28
10181	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Nov13 A	2013/11/21	2013/11/28
10187	SGA Societe Generale Acceptance N.V. – USD/YEN Eu Wt Nov13 B	2013/11/21	2013/11/28
10188	SGA Societe Generale Acceptance N.V-USD/YEN Eu Put Wt Nov13C	2013/11/21	2013/11/28
24313	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Nov13A	2013/11/21	2013/11/28
24853	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Nov 2013 B	2013/11/21	2013/11/28
25805	UBS AG – Galaxy Ent European Warrants Nov 2013 E	2013/11/21	2013/11/28
13981	J P Morgan SP BV – Ping An European Warrants Nov 2013	2013/11/22	2013/11/29
18525	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2013 A	2013/11/22	2013/11/29

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
21539	Standard Chartered Bank – CC Bank European Wts Nov 2013 A	2013/11/22	2013/11/29
22443	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2013 A	2013/11/22	2013/11/29
22724	Standard Chartered Bank – China COSCO European Wt Nov 2013 A	2013/11/22	2013/11/29
23176	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Nov 2013 A	2013/11/22	2013/11/29
24124	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2013 B	2013/11/22	2013/11/29
24780	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2013 A	2013/11/22	2013/11/29
24926	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2013 A	2013/11/22	2013/11/29
10178	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Nov 2013 B	2013/11/22	2013/11/29
10179	BNP Paribas Arbit Issu B.V.- AUD/USD Euro Put Wts Nov 2013 A	2013/11/22	2013/11/29
10180	BNP Paribas Arbit Issu B.V. -AUD/USD European Wts Nov 2013 B	2013/11/22	2013/11/29
25090	Daiwa Capital Mkt – HSCEI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
25145	Goldman Sachs SP (Asia) – Evergrande European Wts Nov 2013 A	2013/11/22	2013/11/29
25146	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2013 B	2013/11/22	2013/11/29
25224	Goldman Sachs Sp (Asia) – ICBC European Warrants Nov 2013 A	2013/11/22	2013/11/29
25631	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2013 A	2013/11/22	2013/11/29
26327	Credit Suisse AG – HSI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
27023	HK Bank – HSI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
27555	Nomura Int'l plc – China Life European Warrants Nov 2013 A	2013/11/22	2013/11/29
11149	Goldman Sachs SP (Asia) – Ch Ship Dev Euro Wts Nov 2013 A	2013/11/22	2013/11/29
11744	UBS AG – HSI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
12124	HK Bank – HSI European Put Warrants Nov 2013 B	2013/11/22	2013/11/29
12162	Daiwa Capital Mkt – HSI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
12221	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2013 C	2013/11/22	2013/11/29
12249	Standard Chartered Bank – Li & Fung European Wts Nov 2013 A	2013/11/22	2013/11/29
19428	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2013 B	2013/11/22	2013/11/29
21132	J P Morgan SP BV – HSI European Put Warrants Nov 2013 A	2013/11/22	2013/11/29
21785	UBS AG – HSI European Warrants Nov 2013 B	2013/11/22	2013/11/29
21812	Daiwa Capital Mkt – Hutchison European Put Wts Nov 2013 A	2013/11/22	2013/11/29
23169	HK Bank – HSI European Put Warrants Nov 2013 C	2013/11/22	2013/11/29
23223	Credit Suisse AG – HSI European Warrants Nov 2013 B	2013/11/22	2013/11/29
23482	Standard Chartered Bank – HSI European Warrants Nov 2013 A	2013/11/22	2013/11/29
23493	Standard Chartered Bank – HSI European Put Wts Nov 2013 B	2013/11/22	2013/11/29
23793	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2013 D	2013/11/22	2013/11/29
25990	UBS AG – HSI European Put Warrants Nov 2013 C	2013/11/22	2013/11/29
25996	UBS AG – Ping An European Warrants Nov 2013 C	2013/11/22	2013/11/29
26127	UBS AG – Tencent Holdings European Put Warrants Nov 2013 C	2013/11/22	2013/11/29
26265	HK Bank – HSI European Warrants Nov 2013 D	2013/11/22	2013/11/29
26313	J P Morgan SP BV – HSI European Warrants Nov 2013 B	2013/11/22	2013/11/29
26453	Credit Suisse AG – HSI European Put Warrants Nov 2013 C	2013/11/22	2013/11/29
26505	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2013 B	2013/11/22	2013/11/29
13035	HK Bank – Angang Steel European Warrants Nov 2013	2013/11/25	2013/12/02
13378	Nomura Int'l plc – Angang Steel European Warrants Nov 2013	2013/11/25	2013/12/02
15358	Nomura Int'l plc – Ping An European Warrants Nov 2013	2013/11/25	2013/12/02
18920	Credit Suisse AG – SHK Ppt European Warrants Nov 2013 A	2013/11/25	2013/12/02
18926	Credit Suisse AG – HKEx European Warrants Nov 2013 A	2013/11/25	2013/12/02
19161	Nomura Int'l plc – HKEx European Warrants Nov 2013 A	2013/11/25	2013/12/02
22773	Standard Chartered Bank – Haitong Sec Euro Wts Nov 2013 A	2013/11/25	2013/12/02
23644	HK Bank – China Shenhua European Warrants Nov 2013 A	2013/11/25	2013/12/02
10175	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Nov 2013 A	2013/11/25	2013/12/02
23969	BOCI Asia Ltd. – China Shenhua European Warrants Nov 2013 A	2013/11/25	2013/12/02
24315	Credit Suisse AG – Jiangxi Copper European Wts Nov 2013 A	2013/11/25	2013/12/02
24496	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Nov 2013 A	2013/11/25	2013/12/02
24641	Credit Suisse AG – Tencent Holdings European Wts Nov 2013 A	2013/11/25	2013/12/02
25569	Standard Chartered Bank – Zijin Mining Euro Wts Nov 2013 B	2013/11/25	2013/12/02
25585	Credit Suisse AG – PetroChina European Warrants Nov 2013 A	2013/11/25	2013/12/02
27331	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Nov 2013 A	2013/11/25	2013/12/02
11438	Goldman Sachs SP (Asia) – HSBC European Put Wts Nov 2013 B	2013/11/25	2013/12/02
12179	Nomura Int'l plc – Poly European Warrants Nov 2013 A	2013/11/25	2013/12/02
12255	Credit Suisse AG – Anhui Conch European Warrants Nov 2013 A	2013/11/25	2013/12/02
10189	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Nov 2013 C	2013/11/25	2013/12/02
17360	UBS AG – Galaxy Ent European Warrants Nov 2013 D	2013/11/25	2013/12/02
23550	Credit Suisse AG – CITIC Sec European Warrants Nov 2013 A	2013/11/25	2013/12/02
23954	Credit Suisse AG – China Mobile European Put Wts Nov 2013 A	2013/11/25	2013/12/02
24978	BOCI Asia Ltd. – Galaxy Ent European Warrants Nov 2013 B	2013/11/25	2013/12/02
25213	Credit Suisse AG – ICBC European Warrants Nov 2013 B	2013/11/25	2013/12/02
26333	Credit Suisse AG – Tencent Holdings Euro Put Wts Nov 2013 F	2013/11/25	2013/12/02
26589	Credit Suisse AG – HSBC European Wts Nov 2013 B	2013/11/25	2013/12/02
25960	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 2013	2013/11/26	2013/12/03
12309	Standard Chartered Bank – Ping An European Warrants Dec 2013	2013/11/26	2013/12/03
18070	Standard Chartered Bank – Evergrande European Wts Dec 2013 A	2013/11/26	2013/12/03
19501	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Dec13A	2013/11/26	2013/12/03
19509	J P Morgan SP BV – Dongfeng Motor European Wts Dec 2013 A	2013/11/26	2013/12/03
19990	Macquarie Bank Ltd. – China Unicom European Wts Dec 2013 A	2013/11/26	2013/12/03
20231	Standard Chartered Bank – China Life Euro Put Wts Dec 2013 A	2013/11/26	2013/12/03
20558	Standard Chartered Bank – Li & Fung European Wts Dec 2013 A	2013/11/26	2013/12/03
20765	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Dec13A	2013/11/26	2013/12/03
21059	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2013 A	2013/11/26	2013/12/03
21744	J P Morgan SP BV – Longfor PPT European Warrants Dec 2013 A	2013/11/26	2013/12/03
21918	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Dec 2013 A	2013/11/26	2013/12/03
22009	Goldman Sachs SP (Asia) – CITIC Sec European Wts Dec 2013 A	2013/11/26	2013/12/03
22630	J P Morgan SP BV – Zoomlion European Warrants Dec 2013 A	2013/11/26	2013/12/03
22843	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2013 A	2013/11/26	2013/12/03
22995	BNP Paribas Arbit Issu B.V. – Digital China Eu Wt Dec 2013 A	2013/11/26	2013/12/03
23342	HK Bank – Golden Eagle European Warrants Dec 2013 A	2013/11/26	2013/12/03
23391	UBS AG – Li & Fung European Warrants Dec 2013 B	2013/11/26	2013/12/03
23463	Daiwa Capital Mkt – BOCL European Warrants Dec 2013 A	2013/11/26	2013/12/03
23491	Daiwa Capital Mkt – Ch Overseas European Warrants Dec 2013 A	2013/11/26	2013/12/03

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
23569	Daiwa Capital Mkt – Sands China European Put Wts Dec 2013 A	2013/11/26	2013/12/03
23653	Goldman Sachs SP (Asia) – CQRC Bank European Wts Dec 2013 A	2013/11/26	2013/12/03
23900	J P Morgan SP BV – Li & Fung European Warrants Dec 2013 A	2013/11/26	2013/12/03
23901	J P Morgan SP BV – Haitong Sec European Warrants Dec 2013 A	2013/11/26	2013/12/03
24256	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Dec13A	2013/11/26	2013/12/03
24493	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Dec2013 A	2013/11/26	2013/12/03
24538	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2013 A	2013/11/26	2013/12/03
24629	Nomura Int'l plc – Evergrande European Warrants Dec 2013 A	2013/11/26	2013/12/03
24663	J P Morgan SP BV – Tingyi European Warrants Dec 2013 A	2013/11/26	2013/12/03
24746	Goldman Sachs SP (Asia) – CITIC Bank European Wts Dec 2013 A	2013/11/26	2013/12/03
24751	Goldman Sachs SP (Asia) -Tencent European Wt Dec 2013 A	2013/11/26	2013/12/03
24899	Goldman Sachs SP (Asia) – China Tel European Wts Dec 2013 A	2013/11/26	2013/12/03
24900	Goldman Sachs SP (Asia) – BYD European Warrants Dec 2013 A	2013/11/26	2013/12/03
24924	BOCI Asia Ltd. -Tencent Holdings European Put Wts Dec 2013 A	2013/11/26	2013/12/03
25240	J P Morgan SP BV – Wharf European Warrants Dec 2013 A	2013/11/26	2013/12/03
25242	J P Morgan SP BV – Sh Electric European Warrants Dec 2013 A	2013/11/26	2013/12/03
25409	Standard Chartered Bank – Lenovo European Wts Dec 2013 A	2013/11/26	2013/12/03
25488	Daiwa Capital Mkt – CCB European Warrants Dec 2013 A	2013/11/26	2013/12/03
25729	J P Morgan SP BV – Luk Fook Hold European Wts Dec 2013 A	2013/11/26	2013/12/03
25732	J P Morgan SP BV – Agricultural Bk Euro Warrants Dec 2013 A	2013/11/26	2013/12/03
26157	J P Morgan SP BV – Weichai Power European Wts Dec 2013 A	2013/11/26	2013/12/03
26185	J P Morgan SP BV – Link Reit European Warrants Dec 2013 A	2013/11/26	2013/12/03
26651	Credit Suisse AG – Jiangxi Copper European Wts Dec 2013 A	2013/11/26	2013/12/03
27021	HK Bank – Minsheng Bank European Warrants Dec 2013 A	2013/11/26	2013/12/03
27802	Nomura Int'l plc – Ping An European Warrants Dec 2013 A	2013/11/26	2013/12/03
27844	Nomura Int'l plc – PICC Group European Warrants Dec 2013 A	2013/11/26	2013/12/03
11307	HK Bank – AIA European Put Warrants Dec 2013 B	2013/11/26	2013/12/03
12114	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2013 A	2013/11/26	2013/12/03
12115	J P Morgan SP BV – Galaxy Ent European Put Wts Dec 2013 B	2013/11/26	2013/12/03
12118	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2013 A	2013/11/26	2013/12/03
12120	J P Morgan SP BV – AIA European Warrants Dec 2013 A	2013/11/26	2013/12/03
12126	HK Bank – PICC Group European Warrants Dec 2013 A	2013/11/26	2013/12/03
12165	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2013 B	2013/11/26	2013/12/03
12172	HK Bank – CC Bank European Warrants Dec 2013 A	2013/11/26	2013/12/03
12175	HK Bank – China Mobile European Warrants Dec 2013 A	2013/11/26	2013/12/03
12176	Nomura Int'l plc – Wharf European Warrants Dec 2013 A	2013/11/26	2013/12/03
12177	Nomura Int'l plc – SHK P European Warrants Dec 2013 A	2013/11/26	2013/12/03
12186	J P Morgan SP BV – Hutchison European Warrants Dec 2013 B	2013/11/26	2013/12/03
12190	J P Morgan SP BV – PetroChina European Warrants Dec 2013 A	2013/11/26	2013/12/03
12192	J P Morgan SP BV – China Mob European Wts Dec 2013 A	2013/11/26	2013/12/03
12203	Merrill Lynch Int'l & Co. C.V. – Lenovo Euro Wts Dec 2013 A	2013/11/26	2013/12/03
12219	J P Morgan SP BV – HSBC European Put Warrants Dec 2013 B	2013/11/26	2013/12/03
12222	HK Bank – Tencent European Warrants Dec 2013 A	2013/11/26	2013/12/03
12237	J P Morgan SP BV – AIA European Put Warrants Dec 2013 B	2013/11/26	2013/12/03
12247	Standard Chartered Bank – China Coal European Wts Dec 2013 A	2013/11/26	2013/12/03
12251	J P Morgan SP BV – Anhui Conch European Put Warrants Dec13 A	2013/11/26	2013/12/03
12265	Nomura Int'l plc – CITIC Bank European Put Warrants Dec 13 B	2013/11/26	2013/12/03
12267	Nomura Int'l plc – HSBC European Put Warrants Dec 2013 A	2013/11/26	2013/12/03
12272	Nomura Int'l plc – Ping An European Put Warrants Dec 2013 B	2013/11/26	2013/12/03
12296	J P Morgan SP BV – China Coal European Warrants Dec 2013 A	2013/11/26	2013/12/03
12297	HK Bank – Datang Power European Warrants Dec 2013 A	2013/11/26	2013/12/03
12299	HK Bank – CITIC Bank European Warrants Dec 2013 A	2013/11/26	2013/12/03
12695	HK Bank – Tencent European Warrants Dec 2013 B	2013/11/26	2013/12/03
16298	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Dec13A	2013/11/26	2013/12/03
17092	J P Morgan SP BV – Tencent European Put Warrants Dec 2013 B	2013/11/26	2013/12/03
17277	J P Morgan SP BV – Tencent European Warrants Dec 2013 C	2013/11/26	2013/12/03
17752	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Dec 2013 C	2013/11/26	2013/12/03
17994	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2013 C	2013/11/26	2013/12/03
18617	HK Bank – Tencent European Put Warrants Dec 2013 D	2013/11/26	2013/12/03
21404	J P Morgan SP BV – HS Bank European Warrants Dec 2013 A	2013/11/26	2013/12/03
21822	J P Morgan SP BV – HSBC European Warrants Dec 2013 C	2013/11/26	2013/12/03
21852	J P Morgan SP BV – Chi Res Power European Wts Dec 2013 A	2013/11/26	2013/12/03
22024	HK Bank – HKEx European Warrants Dec 2013 B	2013/11/26	2013/12/03
22156	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2013 A	2013/11/26	2013/12/03
22217	Macquarie Bank Ltd. – China Mobile European Wts Dec 2013 B	2013/11/26	2013/12/03
22272	Nomura Int'l plc – CSOP A50 ETF European Warrants Dec 2013 A	2013/11/26	2013/12/03
22441	Standard Chartered Bank – Link REIT European Wts Dec 2013 A	2013/11/26	2013/12/03
22460	Citigroup Global Mkt H Inc. – Galaxy Ent Eu Put Wt Dec 13 B	2013/11/26	2013/12/03
22505	J P Morgan SP BV – ICBC European Warrants Dec 2013 A	2013/11/26	2013/12/03
22522	J P Morgan SP BV – ICBC European Warrants Dec 2013 B	2013/11/26	2013/12/03
22615	UBS AG – Wynn Macau European Warrants Dec 2013 A	2013/11/26	2013/12/03
23748	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2013 B	2013/11/26	2013/12/03
23753	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2013 A	2013/11/26	2013/12/03
23938	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 13 A	2013/11/26	2013/12/03
23960	Credit Suisse AG – PICC Group European Warrants Dec 2013 A	2013/11/26	2013/12/03
23967	Standard Chartered Bank – PICC Group European Wts Dec 2013 A	2013/11/26	2013/12/03
24455	Credit Suisse AG – Cheung Kong European Warrants Dec 2013 B	2013/11/26	2013/12/03
25113	Standard Chartered Bank – China Railway Euro Wts Dec 2013 A	2013/11/26	2013/12/03
25425	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2013 B	2013/11/26	2013/12/03
25450	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2013 B	2013/11/26	2013/12/03
25471	UBS AG – China Mobile European Warrants Dec 2013 D	2013/11/26	2013/12/03
25669	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2013 A	2013/11/26	2013/12/03
25694	Macquarie Bank Ltd. – China Railway European Wts Dec 2013 A	2013/11/26	2013/12/03
25775	Daiwa Capital Mkt – HSBC European Warrants Dec 2013 B	2013/11/26	2013/12/03
26102	Credit Suisse – China Railway European Warrants Dec 2013 A	2013/11/26	2013/12/03
26111	SGA Societe Generale Acceptance N.V-Tencent Eu Put Wt Dec13A	2013/11/26	2013/12/03
26355	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2013 B	2013/11/26	2013/12/03

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
27848	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Dec 2013	2013/11/27	2013/12/04
29141	BNP Paribas Arbit Issu B.V. – Beijing Ent European Wts Dec13	2013/11/27	2013/12/04
11597	BNP Paribas Arbit Issu B.V. – CRCC European Wts Dec 2013	2013/11/27	2013/12/04
13560	BNP Paribas Arbit Issu B.V. – Angang Steel Euro Wt Dec 2013	2013/11/27	2013/12/04
14994	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2013	2013/11/27	2013/12/04
16612	BNP Paribas Arbit Issu B.V. – BBMG European Wts Dec 2013	2013/11/27	2013/12/04
17897	BNP Paribas Arbit Issu B.V. – Sino Land Euro Wt Dec 2013 A	2013/11/27	2013/12/04
17983	BNP Paribas Arbit Issu B.V. – KECL European Wts Dec 2013 A	2013/11/27	2013/12/04
18147	BNP Paribas Arbit Issu B.V. – Weigao Group Eu Wts Dec 2013 A	2013/11/27	2013/12/04
18673	Standard Chartered Bank -YZ Coal European Warrants Dec 2013A	2013/11/27	2013/12/04
18947	Macquarie Bank Ltd. – HKEx European Put Warrants Dec 2013 A	2013/11/27	2013/12/04
19532	Macquarie Bank Ltd. – CRCC European Warrants Dec 2013 A	2013/11/27	2013/12/04
19702	Macquarie Bank Ltd. – HKEx European Warrants Dec 2013 B	2013/11/27	2013/12/04
19946	BOCI Asia Ltd. – HKEx European Warrants Dec 2013 A	2013/11/27	2013/12/04
20108	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Dec 13 A	2013/11/27	2013/12/04
20279	Daiwa Capital Mkt – HKEx European Warrants Dec 2013 A	2013/11/27	2013/12/04
20347	Macquarie Bank Ltd. – Dongfeng Motor European Wts Dec 2013 A	2013/11/27	2013/12/04
20398	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2013 A	2013/11/27	2013/12/04
20724	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2013 A	2013/11/27	2013/12/04
21143	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Dec 2013 A	2013/11/27	2013/12/04
21192	Macquarie Bank Ltd. – KECL European Warrants Dec 2013 A	2013/11/27	2013/12/04
21197	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Eu Wt Dec 2013 A	2013/11/27	2013/12/04
21204	BNP Paribas Arbit Issu B.V. – Ch Mer Hold Euro Wts Dec2013 A	2013/11/27	2013/12/04
21339	BNP Paribas Arbit Issu B.V. – Swire Properties Eu Wt Dec13 A	2013/11/27	2013/12/04
21515	Nomura Int'l plc – Yanzhou Coal European Warrants Dec 2013 A	2013/11/27	2013/12/04
21963	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2013 A	2013/11/27	2013/12/04
22049	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22057	BOCI Asia Ltd. – KECL European Warrants Dec 2013 A	2013/11/27	2013/12/04
22204	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22235	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Dec 2013 A	2013/11/27	2013/12/04
22237	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22402	BNP Paribas Arbit Issu B.V. – Ch Oilfield Eur Wts Dec 2013 A	2013/11/27	2013/12/04
22407	Standard Chartered Bank – Tencent Hldg Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22676	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Dec2013 A	2013/11/27	2013/12/04
22774	BNP Paribas Arbit Issu B.V. – ZTE European Wts Dec 2013 A	2013/11/27	2013/12/04
22851	Macquarie Bank Ltd. – Zijin Mining European Wts Dec 2013 A	2013/11/27	2013/12/04
22925	Macquarie Bank Ltd. – Ch Oilfield European Wts Dec 2013 A	2013/11/27	2013/12/04
22945	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22956	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wt Dec 2013 A	2013/11/27	2013/12/04
22990	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Dec 2013 A	2013/11/27	2013/12/04
22993	BNP Paribas Arbit Issu B.V. – Lumena Newmat Eu Wt Dec 2013 A	2013/11/27	2013/12/04
22997	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Dec 2013 A	2013/11/27	2013/12/04
23152	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Dec 2013 A	2013/11/27	2013/12/04
23167	BNP Paribas Arbit Issu B.V. – Yuexiu Property Eu Wt Dec2013A	2013/11/27	2013/12/04
23442	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Dec 2013 A	2013/11/27	2013/12/04
23443	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wt Dec 2013 A	2013/11/27	2013/12/04
23445	BNP Paribas Arbit Issu B.V. – ICBC Euro Put Wts Dec 2013 B	2013/11/27	2013/12/04
23489	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Dec 2013 A	2013/11/27	2013/12/04
23524	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2013 A	2013/11/27	2013/12/04
23858	Macquarie Bank Ltd. – Link REIT European Put Wts Dec 2013 A	2013/11/27	2013/12/04
23870	Macquarie Bank Ltd. – Cheung Kong Euro Put Wts Dec 2013 A	2013/11/27	2013/12/04
24137	Macquarie Bank Ltd. – ZTE European Warrants Dec 2013 A	2013/11/27	2013/12/04
24166	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Dec 2013 A	2013/11/27	2013/12/04
24168	Macquarie Bank Ltd. – China Mengniu European Wts Dec 2013 A	2013/11/27	2013/12/04
24241	Macquarie Bank Ltd. – Golden Eagle European Wts Dec 2013 A	2013/11/27	2013/12/04
24490	Macquarie Bank Ltd. – ICBC European Warrants Dec 2013 A	2013/11/27	2013/12/04
24491	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2013 A	2013/11/27	2013/12/04
24495	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2013 B	2013/11/27	2013/12/04
24652	Macquarie Bank Ltd. – CQRC Bank European Warrants Dec 2013 A	2013/11/27	2013/12/04
24757	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Dec2013 A	2013/11/27	2013/12/04
24931	Macquarie Bank Ltd. – Anhui Conch European Wts Dec 2013 A	2013/11/27	2013/12/04
25246	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2013 A	2013/11/27	2013/12/04
25251	Macquarie Bank Ltd. – Sh Electric European Wts Dec 2013 A	2013/11/27	2013/12/04
25660	BOCI Asia Ltd. – ICBC European Warrants Dec 2013 A	2013/11/27	2013/12/04
25949	BNP Paribas Arbit Issu B.V. – Weichai Power Eu Wt Dec 2013 A	2013/11/27	2013/12/04
25951	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Dec 13 A	2013/11/27	2013/12/04
26511	Macquarie Bank Ltd. – ICBC European Put Warrants Dec 2013 B	2013/11/27	2013/12/04
26514	Macquarie Bank Ltd. – CC Bank European Put Wts Dec 2013 B	2013/11/27	2013/12/04
11012	Macquarie Bank Ltd. – PICC Group European Wts Dec 2013 A	2013/11/27	2013/12/04
11312	Standard Chartered Bank – Ch Ship Cont Euro Wts Dec 2013 A	2013/11/27	2013/12/04
12127	Macquarie Bank Ltd. – Avichina European Warrants Dec 2013 A	2013/11/27	2013/12/04
12187	J P Morgan SP BV – HSBC European Warrants Dec 2013 A	2013/11/27	2013/12/04
12188	J P Morgan SP BV – Tencent European Warrants Dec 2013 A	2013/11/27	2013/12/04
12189	J P Morgan SP BV – Ping An European Warrants Dec 2013 A	2013/11/27	2013/12/04
12191	J P Morgan SP BV – Lenovo European Warrants Dec 2013 A	2013/11/27	2013/12/04
12193	J P Morgan SP BV – Cheung Kong European Warrants Dec 2013 B	2013/11/27	2013/12/04
12240	Macquarie Bank Ltd. – China Coal European Wts Dec 2013 A	2013/11/27	2013/12/04
12274	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2013 A	2013/11/27	2013/12/04
12277	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2013 B	2013/11/27	2013/12/04
12287	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Dec 2013 A	2013/11/27	2013/12/04
12289	BNP Paribas Arbit Issu B.V. – BYD European Wts Dec 2013 A	2013/11/27	2013/12/04
12292	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts Dec 2013 A	2013/11/27	2013/12/04
20420	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Dec 2013 B	2013/11/27	2013/12/04
20480	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Dec 2013 A	2013/11/27	2013/12/04
20537	BNP Paribas Arbit Issu B.V. – Wharf European Wts Dec 2013 A	2013/11/27	2013/12/04
20578	BNP Paribas Arbit Issu B.V. – AAC Acoustic Eu Wts Dec 2013 A	2013/11/27	2013/12/04
20626	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Dec 2013 A	2013/11/27	2013/12/04

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Code	Warrant	Last trading date	Delisted date
21818	BNP Paribas Arbit Issu B.V. – China EB Int'l Eu Wts Dec 13 A	2013/11/27	2013/12/04
22309	Macquarie Bank Ltd. – Henganintl European Wts Dec 2013 A	2013/11/27	2013/12/04
22682	Credit Suisse AG – China Gas Hold European Wts Dec 2013 A	2013/11/27	2013/12/04
22691	Credit Suisse AG – Xinyi Glass European Warrants Dec 2013 A	2013/11/27	2013/12/04
23100	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Dec 2013 A	2013/11/27	2013/12/04
23477	Macquarie Bank Ltd. – Hang Seng Bank European Wts Dec 2013 A	2013/11/27	2013/12/04
24119	Macquarie Bank Ltd. – BankComm European Warrants Dec 2013 A	2013/11/27	2013/12/04
24352	Macquarie Bank Ltd. – China Coal European Wts Dec 2013 B	2013/11/27	2013/12/04
24429	Macquarie Bank Ltd. – China Mobile European Wts Dec 2013 C	2013/11/27	2013/12/04
24825	Macquarie Bank Ltd. – Chi Res Land European Wts Dec 2013 A	2013/11/27	2013/12/04
25399	UBS AG – Tencent Holdings European Warrants Dec 2013 B	2013/11/27	2013/12/04
26085	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2013 D	2013/11/27	2013/12/04
26118	Credit Suisse AG – Ping An European Warrants Dec 2013 B	2013/11/27	2013/12/04
26229	HK Bank – Ping An European Warrants Dec 2013 A	2013/11/27	2013/12/04
26340	Macquarie Bank Ltd. – BYD European Warrants Dec 2013 A	2013/11/27	2013/12/04
15904	UBS AG – Sinopec Corp European Warrants Dec 2013	2013/11/28	2013/12/05
20877	Macquarie Bank Ltd. – China Overseas European Wts Dec 2013 A	2013/11/28	2013/12/05
21541	Standard Chartered Bank – Galaxy Ent European Wts Dec 2013 A	2013/11/28	2013/12/05
24543	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Dec2013 A	2013/11/28	2013/12/05
25039	Standard Chartered Bank – ICBC European Warrants Dec 2013 A	2013/11/28	2013/12/05
25041	Standard Chartered Bank -PetroChina European Wts Dec 2013 A	2013/11/28	2013/12/05
25152	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Dec13A	2013/11/28	2013/12/05
26246	Standard Chartered Bank – Agricultural Bk Eur Wts Dec 2013 A	2013/11/28	2013/12/05
15201	Standard Chartered Bank – Tencent Hldg Euro Wts Dec 2013 B	2013/11/28	2013/12/05
22456	Citigroup Global Mkt H Inc.-Tencent European Wts Dec 2013 A	2013/11/28	2013/12/05
22781	Standard Chartered Bank – BYD European Warrants Dec 2013 A	2013/11/28	2013/12/05
22804	Standard Chartered Bank – Lenovo European Wts Dec 2013 B	2013/11/28	2013/12/05
25945	BNP Paribas Arbit Issu B.V. – China Res Gas Eu Wt Dec 2013 A	2013/11/29	2013/12/06
11073	HK Bank – Haitong Sec European Warrants Dec 2013 A	2013/11/29	2013/12/06
12284	UBS AG – Tencent Holdings European Put Warrants Dec 2013 A	2013/11/29	2013/12/06
14428	HK Bank – Tencent European Put Warrants Dec 2013 C	2013/11/29	2013/12/06
15415	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2013 A	2013/11/29	2013/12/06
22996	J P Morgan SP BV – Sinopec Corp European Warrants Dec 2013 A	2013/11/29	2013/12/06
22998	J P Morgan SP BV – BankComm European Warrants Dec 2013 A	2013/11/29	2013/12/06
23055	Standard Chartered Bank – Tencent Hldg Eu Put Wts Dec 2013 C	2013/11/29	2013/12/06
23063	Standard Chartered Bank – A50 China Euro Warrants Dec 2013 B	2013/11/29	2013/12/06
23066	Daiwa Capital Mkt – Ping An European Put Warrants Dec 2013 A	2013/11/29	2013/12/06
24107	BOCI Asia Ltd. – Sinopec Corp European Warrants Dec 2013 A	2013/11/29	2013/12/06
18426	Standard Chartered Bank – SHK P European Warrants Dec 2013 A	2013/12/02	2013/12/09
23086	Bank of East Asia – CPIC European Warrants Dec 2013 A	2013/12/02	2013/12/09
23981	Standard Chartered Bank – Jiangxi Copper Euro Wts Dec 2013 A	2013/12/02	2013/12/09
10182	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec13 A	2013/12/02	2013/12/09
10183	BNP Paribas Arbit Issu B.V. – Gold European Wts Dec 2013 B	2013/12/02	2013/12/09
26251	Standard Chartered Bank – HuanengPower Euro Wts Dec 2013 A	2013/12/02	2013/12/09
22838	Macquarie Bank Ltd. – Xinyi Glass European Wts Dec 2013 A	2013/12/02	2013/12/09
25701	UBS AG – CC Bank European Warrants Dec 2013 C	2013/12/02	2013/12/09
26247	Credit Suisse AG – CC Bank European Warrants Dec 2013 D	2013/12/02	2013/12/09
13754	RB of Scotland N.V. – Ping An European Warrants Dec 2013	2013/12/03	2013/12/10
18628	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2013 A	2013/12/03	2013/12/10
21628	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2013 A	2013/12/03	2013/12/10
21997	HK Bank – CAM CSI300 European Warrants Dec 2013 A	2013/12/03	2013/12/10
22084	Standard Chartered Bank – A50 China Euro Warrants Dec 2013 A	2013/12/03	2013/12/10
23591	HK Bank – Li & Fung European Warrants Dec 2013 A	2013/12/03	2013/12/10
23974	Standard Chartered Bank – BOCL European Warrants Dec 2013 A	2013/12/03	2013/12/10
24101	J P Morgan SP BV – JX Copper European Warrants Dec 2013 A	2013/12/03	2013/12/10
24480	HK Bank – Jiangxi Copper European Warrants Dec 2013 A	2013/12/03	2013/12/10
24596	Goldman Sachs SP (Asia) – JIANC European Warrants Dec 2013 A	2013/12/03	2013/12/10
25138	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Dec2013 A	2013/12/03	2013/12/10
25267	HK Bank – Lenovo European Warrants Dec 2013 A	2013/12/03	2013/12/10
26147	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Dec 2013 A	2013/12/03	2013/12/10
12224	Merrill Lynch Int'l & Co. C.V. – AIA Euro Put Wts Dec 2013 B	2013/12/03	2013/12/10
12263	UBS AG – CPIC European Warrants Dec 2013 A	2013/12/03	2013/12/10
17680	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2013 A	2013/12/03	2013/12/10
21350	Credit Suisse AG – Link REIT European Warrants Dec 2013 A	2013/12/03	2013/12/10
22346	BOCI Asia Ltd. – CC Bank European Warrants Dec 2013 A	2013/12/03	2013/12/10
23099	UBS AG – Cheung Kong European Warrants Dec 2013 B	2013/12/03	2013/12/10
23113	HK Bank – PICC Group European Warrants Dec 2013 B	2013/12/03	2013/12/10
23156	HK Bank – Geely Auto European Warrants Dec 2013 A	2013/12/03	2013/12/10
23203	HK Bank – China Mobile European Warrants Dec 2013 C	2013/12/03	2013/12/10
23408	UBS AG – Ping An European Warrants Dec 2013 A	2013/12/03	2013/12/10
24406	Credit Suisse AG – BOCL European Warrants Dec 2013 A	2013/12/03	2013/12/10
24740	UBS AG – BOCL European Warrants Dec 2013 A	2013/12/03	2013/12/10
25171	Standard Chartered Bank – AIA European Warrants Dec 2013 A	2013/12/03	2013/12/10
25283	Credit Suisse AG – CAM CSI300 European Warrants Dec 2013 A	2013/12/03	2013/12/10
25452	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 13 B	2013/12/03	2013/12/10
25909	BOCI Asia Ltd. – HSBC European Warrants Dec 2013 B	2013/12/03	2013/12/10
26136	Credit Suisse AG – Anhui Conch European Warrants Dec 2013 A	2013/12/03	2013/12/10
26254	UBS AG – Anhui Conch European Warrants Dec 2013 B	2013/12/03	2013/12/10
25563	BOCI Asia Ltd. – Chi Res Land European Warrants Dec 2013 A	2013/12/04	2013/12/11
17840	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Dec 2013B	2013/12/04	2013/12/11
23312	Standard Chartered Bank – Foxconn European Wts Dec 2013 A	2013/12/04	2013/12/11
23354	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Dec 2013 B	2013/12/04	2013/12/11
23355	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2013 A	2013/12/04	2013/12/11
24105	HK Bank – BOCL European Warrants Dec 2013 A	2013/12/04	2013/12/11
24855	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2013 B	2013/12/04	2013/12/11
12833	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Dec 2013	2013/12/05	2013/12/12
15713	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2013	2013/12/05	2013/12/12

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
25600	UBS AG – Ping An European Warrants Dec 2013 C	2013/12/05	2013/12/12
26905	Credit Suisse AG – SPDR Gold Trust Euro Put Wts Dec 2013 A	2013/12/05	2013/12/12
10171	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Dec 2013 A	2013/12/06	2013/12/13
10172	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Dec 2013 B	2013/12/06	2013/12/13
12160	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Dec 2013 A	2013/12/06	2013/12/13
15303	Bank of East Asia – CHALCO European Warrants Dec 2013	2013/12/09	2013/12/16
19364	HK Bank – Shimao Ppt European Warrants Dec 2013 A	2013/12/09	2013/12/16
19533	Macquarie Bank Ltd. – CNBM European Warrants Dec 2013 A	2013/12/09	2013/12/16
19810	J P Morgan SP BV – CNBM European Warrants Dec 2013 A	2013/12/09	2013/12/16
20013	Standard Chartered Bank – CNBM European Warrants Dec 2013 A	2013/12/09	2013/12/16
20427	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Dec 2013 A	2013/12/09	2013/12/16
10184	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 A	2013/12/09	2013/12/16
10185	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 B	2013/12/09	2013/12/16
10186	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Dec 2013 C	2013/12/09	2013/12/16
11168	Daiwa Capital Mkt – AIA European Put Warrants Dec 2013 B	2013/12/09	2013/12/16
10190	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2013 A	2013/12/09	2013/12/16
10191	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2013 B	2013/12/09	2013/12/16
10192	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2013 C	2013/12/09	2013/12/16
10193	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 D	2013/12/09	2013/12/16
10194	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 E	2013/12/09	2013/12/16
10195	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 F	2013/12/09	2013/12/16
10196	UBS AG – Nikkei 225 European Put Warrants Dec 2013 A	2013/12/09	2013/12/16
10197	UBS AG – Nikkei 225 European Put Warrants Dec 2013 B	2013/12/09	2013/12/16
10198	UBS AG – Nikkei 225 European Warrants Dec 2013 C	2013/12/09	2013/12/16
10199	UBS AG – Nikkei 225 European Warrants Dec 2013 D	2013/12/09	2013/12/16
10200	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 D	2013/12/09	2013/12/16
10201	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 E	2013/12/09	2013/12/16
10202	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 F	2013/12/09	2013/12/16
10203	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Dec 2013 G	2013/12/09	2013/12/16
10204	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Dec 2013 H	2013/12/09	2013/12/16
10205	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 I	2013/12/09	2013/12/16
10206	UBS AG – Nikkei 225 European Put Warrants Dec 2013 E	2013/12/09	2013/12/16
10207	UBS AG – Nikkei 225 European Put Warrants Dec 2013 F	2013/12/09	2013/12/16
10208	UBS AG – Nikkei 225 European Warrants Dec 2013 G	2013/12/09	2013/12/16
10209	UBS AG – Nikkei 225 European Warrants Dec 2013 H	2013/12/09	2013/12/16
10210	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 G	2013/12/09	2013/12/16
10211	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 H	2013/12/09	2013/12/16
10212	Daiwa Capital Mkt – Nikkei 225 Index Euro Put Wts Dec 2013 I	2013/12/09	2013/12/16
10213	Daiwa Capital Mkt – Nikkei 225 Index European Wts Dec 2013 J	2013/12/09	2013/12/16
23522	Credit Suisse AG – Wharf European Warrants Dec 2013 A	2013/12/09	2013/12/16
23535	Credit Suisse – Henderson Land European Warrants Dec 2013 A	2013/12/09	2013/12/16
23547	Credit Suisse AG – CSR European Warrants Dec 2013 A	2013/12/09	2013/12/16
10214	SGA Societe Generale Acceptance NV-Nikkei 225 Eu Wt Dec 13 J	2013/12/09	2013/12/16
10215	SGA Societe Generale Acceptance NV-N225 Eu Put Wt Dec 2013 K	2013/12/09	2013/12/16
10216	UBS AG – Nikkei 225 European Put Warrants Dec 2013 I	2013/12/09	2013/12/16
10217	UBS AG – Nikkei 225 European Warrants Dec 2013 J	2013/12/09	2013/12/16
10218	UBS AG – Nikkei 225 European Warrants Dec 2013 K	2013/12/09	2013/12/16
23673	Macquarie Bank Ltd. – Country Garden European Wts Dec 2013 A	2013/12/09	2013/12/16
23715	Daiwa Capital Mkt – CCB European Warrants Dec 2013 B	2013/12/09	2013/12/16
10219	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Dec13A	2013/12/09	2013/12/16
24969	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2013 B	2013/12/09	2013/12/16
24980	BOCI Asia Ltd. – CC Bank European Warrants Dec 2013 B	2013/12/09	2013/12/16
25595	UBS AG – KECL European Warrants Dec 2013 A	2013/12/09	2013/12/16
10221	BNP Paribas Arbit Issu B.V. – Nikkei 225 Index Eu Wt Dec13 B	2013/12/09	2013/12/16
12816	HK Bank – HWL European Warrants Dec 2013	2013/12/10	2013/12/17
12911	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Dec 2013	2013/12/10	2013/12/17
13258	UBS AG – Hutchison European Warrants Dec 2013	2013/12/10	2013/12/17
13983	J P Morgan SP BV – Hutchison European Warrants Dec 2013 A	2013/12/10	2013/12/17
14760	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Dec 2013	2013/12/10	2013/12/17
15410	UBS AG – China Mobile European Put Warrants Dec 2013	2013/12/10	2013/12/17
16765	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt Dec 2013	2013/12/10	2013/12/17
17247	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Dec 2013 A	2013/12/10	2013/12/17
17443	Macquarie Bank Ltd. – China Mobile Euro Put Wts Dec 2013 A	2013/12/10	2013/12/17
19080	Macquarie Bank Ltd. – Yurun Food European Wts Dec 2013 A	2013/12/10	2013/12/17
19305	J P Morgan SP BV – Yurun Food European Warrants Dec 2013 A	2013/12/10	2013/12/17
19545	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2013 A	2013/12/10	2013/12/17
19578	CC Rabobank B.A. – CNBM European Warrants Dec 2013 A	2013/12/10	2013/12/17
19591	UBS AG – China National Building European Wts Dec 2013 A	2013/12/10	2013/12/17
19610	Bank of East Asia – PICC European Warrants Dec 2013 A	2013/12/10	2013/12/17
19689	Goldman Sachs SP (Asia) – CNBM European Warrants Dec 2013 A	2013/12/10	2013/12/17
19695	HK Bank – PICC European Warrants Dec 2013 A	2013/12/10	2013/12/17
20166	Goldman Sachs SP (Asia) – PICC European Warrants Dec 2013 A	2013/12/10	2013/12/17
20884	UBS AG – BOC HK European Warrants Dec 2013 A	2013/12/10	2013/12/17
21038	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Dec 2013 A	2013/12/10	2013/12/17
21621	Goldman Sachs SP (Asia) – BOCL European Warrants Dec 2013 A	2013/12/10	2013/12/17
22046	Citigroup Global Mkt H Inc. – KECL Euro Put Wts Dec 2013 A	2013/12/10	2013/12/17
22125	Standard Chartered Bank – CAM CSI300 European Wts Dec 2013 A	2013/12/10	2013/12/17
23656	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts Dec 2013 A	2013/12/10	2013/12/17
23807	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Dec 2013 A	2013/12/10	2013/12/17
23885	CC Rabobank B.A. – Haier Elec European Warrants Dec 2013 A	2013/12/10	2013/12/17
24459	Citigroup Global Mkt H Inc. – CNOOC European Wts Dec 2013 A	2013/12/10	2013/12/17
24469	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2013 A	2013/12/10	2013/12/17
24472	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Dec 2013 A	2013/12/10	2013/12/17
24984	UBS AG – PICC European Warrants Dec 2013 A	2013/12/10	2013/12/17
25186	BOCI Asia Ltd. – BOCL European Warrants Dec 2013 A	2013/12/10	2013/12/17
25657	BOCI Asia Ltd. – China Life European Put Warrants Dec 2013 A	2013/12/10	2013/12/17
11258	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2013 A	2013/12/10	2013/12/17

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Code	Warrant	Last trading date	Delisted date
12206	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Dec13A	2013/12/10	2013/12/17
12262	UBS AG – SJM Holdings European Warrants Dec 2013 A	2013/12/10	2013/12/17
22889	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Dec 2013 A	2013/12/10	2013/12/17
22941	UBS AG – Link REIT European Warrants Dec 2013 A	2013/12/10	2013/12/17
23380	Citigroup Global Mkt H Inc. – AIA European Wts Dec 2013 B	2013/12/10	2013/12/17
23508	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Dec13B	2013/12/10	2013/12/17
23814	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2013 A	2013/12/10	2013/12/17
23927	Standard Chartered Bank – HKEx European Warrants Dec 2013 A	2013/12/10	2013/12/17
23979	Credit Suisse AG – Nine Dragons European Warrants Dec 2013 B	2013/12/10	2013/12/17
23984	Credit Suisse AG – Ch Agri-Ind European Warrants Dec 2013 A	2013/12/10	2013/12/17
23985	Credit Suisse AG – Sinopharm European Warrants Dec 2013 A	2013/12/10	2013/12/17
23986	Credit Suisse AG – China Mengniu European Warrants Dec2013 A	2013/12/10	2013/12/17
25377	BOCI Asia Ltd. – HSBC European Warrants Dec 2013 A	2013/12/10	2013/12/17
25544	UBS AG – HSBC European Warrants Dec 2013 A	2013/12/10	2013/12/17
25894	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2013 B	2013/12/10	2013/12/17
26469	HK Bank – CITIC Bank European Warrants Dec 2013 B	2013/12/10	2013/12/17
26474	HK Bank – HWL European Warrants Dec 2013 C	2013/12/10	2013/12/17
26477	Credit Suisse AG – Hutchison European Warrants Dec 2013 A	2013/12/10	2013/12/17
26569	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Dec 2013 B	2013/12/10	2013/12/17
26577	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Dec 2013 C	2013/12/10	2013/12/17
26674	Credit Suisse AG – Ping An European Warrants Dec 2013 C	2013/12/10	2013/12/17
26675	Credit Suisse AG – SJM Holdings European Warrants Dec 2013 A	2013/12/10	2013/12/17
20218	HK Bank – CNBM European Warrants Dec 2013 A	2013/12/11	2013/12/18
23651	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2013 B	2013/12/11	2013/12/18
23771	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2013 C	2013/12/11	2013/12/18
24111	UBS AG – China Mobile European Warrants Dec 2013 B	2013/12/11	2013/12/18
26140	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Dec 2013 B	2013/12/11	2013/12/18
20172	HK Bank – HKEx European Put Warrants Dec 2013 A	2013/12/12	2013/12/19
23046	Nomura Int'l plc – CITIC Sec European Put Wts Dec 2013 B	2013/12/12	2013/12/19
23047	Nomura Int'l plc – Haitong Sec European Warrants Dec 2013 A	2013/12/12	2013/12/19
25420	J P Morgan SP BV – SPDR Gold Trust Euro Put Wts Dec 2013 A	2013/12/12	2013/12/19
11237	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Dec 2013 A	2013/12/12	2013/12/19
23758	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2013 B	2013/12/12	2013/12/19
24222	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 13 A	2013/12/12	2013/12/19
24380	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2013 A	2013/12/12	2013/12/19
25742	Nomura Int'l plc – Sinopec European Warrants Dec 2013 A	2013/12/12	2013/12/19
25831	Credit Suisse AG – Ping An European Warrants Dec 2013 A	2013/12/12	2013/12/19
26317	HK Bank – PICC Group European Warrants Dec 2013 C	2013/12/12	2013/12/19
17308	Nomura Int'l plc – Cathay Pacific European Wts Dec 2013 A	2013/12/13	2013/12/20
19585	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2013 B	2013/12/13	2013/12/20
23054	Goldman Sachs SP (Asia) – Cathay Pacific Euro Wts Dec 2013 A	2013/12/13	2013/12/20
12122	Standard Chartered Bank – China Mobile Euro Wts Dec 2013 A	2013/12/13	2013/12/20
24113	UBS AG – SHK Ppt European Warrants Dec 2013 B	2013/12/13	2013/12/20
24432	Daiwa Capital Mkt – HSBC European Put Warrants Dec 2013 A	2013/12/13	2013/12/20
24433	Daiwa Capital Mkt – China Mobile European Wts Dec 2013 A	2013/12/13	2013/12/20
24854	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2013 B	2013/12/13	2013/12/20
25803	UBS AG – CAM CSI300 European Warrants Dec 2013 A	2013/12/13	2013/12/20
25991	UBS AG – Tencent Holdings European Put Warrants Dec 2013 C	2013/12/13	2013/12/20
26227	HK Bank – Tencent European Put Warrants Dec 2013 E	2013/12/13	2013/12/20
26230	HK Bank – Ping An European Warrants Dec 2013 B	2013/12/13	2013/12/20
26654	HK Bank – Ping An European Warrants Dec 2013 C	2013/12/13	2013/12/20
13045	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2013	2013/12/16	2013/12/23
18686	UBS AG – PetroChina European Warrants Dec 2013 A	2013/12/16	2013/12/23
18712	Standard Chartered Bank – Ch Comm Cons Eur Wts Dec 2013 A	2013/12/16	2013/12/23
19028	Macquarie Bank Ltd. – Ch Comm Cons European Wts Dec 2013 A	2013/12/16	2013/12/23
19217	Bank of East Asia – CNBM European Warrants Dec 2013 A	2013/12/16	2013/12/23
20463	HK Bank – Cheung Kong European Warrants Dec 2013 A	2013/12/16	2013/12/23
21471	J P Morgan SP BV – Cheung Kong European Warrants Dec 2013 A	2013/12/16	2013/12/23
22597	UBS AG – Stanchart European Warrants Dec 2013 A	2013/12/16	2013/12/23
23464	Daiwa Capital Mkt – CNOOC European Put Warrants Dec 2013 A	2013/12/16	2013/12/23
23549	Standard Chartered Bank – Cheung Kong Euro Wts Dec 2013 A	2013/12/16	2013/12/23
23749	Daiwa Capital Mkt – AIA European Put Warrants Dec 2013 A	2013/12/16	2013/12/23
23796	Credit Suisse AG – Stanchart European Warrants Dec 2013 A	2013/12/16	2013/12/23
25337	J P Morgan SP BV – PICC European Warrants Dec 2013 A	2013/12/16	2013/12/23
22815	Standard Chartered Bank -YZ Coal European Wts Dec 2013 B	2013/12/16	2013/12/23
24108	Credit Suisse AG – Sinopec Corp European Warrants Dec 2013 A	2013/12/16	2013/12/23
24238	Credit Suisse AG – KECL European Warrants Dec 2013 A	2013/12/16	2013/12/23
24242	Credit Suisse AG – CITIC Bank European Warrants Dec 2013 A	2013/12/16	2013/12/23
24467	Credit Suisse AG – China Mobile European Warrants Dec 2013 A	2013/12/16	2013/12/23
24748	UBS AG – CC Bank European Warrants Dec 2013 A	2013/12/16	2013/12/23
25675	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Dec 2013 B	2013/12/16	2013/12/23
25776	Daiwa Capital Mkt – Sinopec Corp European Wts Dec 2013 A	2013/12/16	2013/12/23
25783	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2013 B	2013/12/16	2013/12/23
25947	Credit Suisse AG – Tencent Holdings European Wts Dec 2013 C	2013/12/16	2013/12/23
25957	Standard Chartered Bank – Ping An European Wts Dec 2013 A	2013/12/16	2013/12/23
25964	Standard Chartered Bank – BOCL European Warrants Dec 2013 B	2013/12/16	2013/12/23
25994	UBS AG – CSOP A50 ETF European Warrants Dec 2013 B	2013/12/16	2013/12/23
26261	HK Bank – China Life European Warrants Dec 2013 A	2013/12/16	2013/12/23
26874	Credit Suisse AG – CSOP A50 ETF European Warrants Dec 2013 A	2013/12/16	2013/12/23
27346	Credit Suisse AG – Yanzhou Coal European Warrants Dec 2013 A	2013/12/16	2013/12/23
11953	Barclays Bank plc – Hutchison European Warrants Dec 2013	2013/12/17	2013/12/24
14950	UBS AG – Cheung Kong European Warrants Dec 2013	2013/12/17	2013/12/24
15295	Credit Suisse AG – China Mobile European Put Wts Dec 2013	2013/12/17	2013/12/24
15979	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013 A	2013/12/17	2013/12/24
16164	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Dec 2013	2013/12/17	2013/12/24
16710	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013A	2013/12/17	2013/12/24
16793	UBS AG – Cheung Kong European Warrants Dec 2013 A	2013/12/17	2013/12/24

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Code	Warrant	Last trading date	Delisted date
18635	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2013A	2013/12/17	2013/12/24
18652	Goldman Sachs SP (Asia) – Yurun Food European Wts Dec 2013 A	2013/12/17	2013/12/24
18787	Bank of East Asia – HKEx European Warrants Dec 2013 A	2013/12/17	2013/12/24
18978	HK Bank – PetroChina European Warrants Dec 2013 A	2013/12/17	2013/12/24
19213	Bank of East Asia – BOCHK European Warrants Dec 2013 A	2013/12/17	2013/12/24
19220	UBS AG – HKEx European Put Warrants Dec 2013 A	2013/12/17	2013/12/24
19315	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Dec13A	2013/12/17	2013/12/24
19341	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2013 A	2013/12/17	2013/12/24
19500	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Dec 2013A	2013/12/17	2013/12/24
19978	Credit Suisse AG – Cheung Kong European Warrants Dec 2013 A	2013/12/17	2013/12/24
19984	Credit Suisse AG – HKEx European Put Warrants Dec 2013 A	2013/12/17	2013/12/24
20136	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Dec13A	2013/12/17	2013/12/24
20501	Nomura Int'l plc – CNBM European Warrants Dec 2013 A	2013/12/17	2013/12/24
20972	Nomura Int'l plc – Esprit European Warrants Dec 2013 A	2013/12/17	2013/12/24
21179	Nomura Int'l plc – Link REIT European Put Wts Dec 2013 A	2013/12/17	2013/12/24
21418	Bank of East Asia – Tencent Holdings European Wts Dec 2013 A	2013/12/17	2013/12/24
21424	Bank of East Asia – CRCC European Warrants Dec 2013 A	2013/12/17	2013/12/24
22102	Credit Suisse AG – MTRC European Warrants Dec 2013 A	2013/12/17	2013/12/24
22295	Citigroup Global Mkt H Inc. – YZ Coal Euro Put Wt Dec 2013 A	2013/12/17	2013/12/24
23033	Nomura Int'l plc – CKI Holdings European Warrants Dec 2013 A	2013/12/17	2013/12/24
23035	Nomura Int'l plc – Shenzhou Intl European Wts Dec 2013 A	2013/12/17	2013/12/24
23041	Nomura Int'l plc – Golden Eagle European Warrants Dec 2013 A	2013/12/17	2013/12/24
23044	Nomura Int'l plc – CITIC Sec European Warrants Dec 2013 A	2013/12/17	2013/12/24
23057	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Dec 2013 A	2013/12/17	2013/12/24
23107	CC Rabobank B.A. – R&F Ppt European Warrants Dec 2013 A	2013/12/17	2013/12/24
23235	Goldman Sachs SP (Asia) – Mongol Mining Euro Wt Dec 2013 A	2013/12/17	2013/12/24
23407	Bank of East Asia – R&F Ppt European Warrants Dec 2013 B	2013/12/17	2013/12/24
23716	Goldman Sachs SP (Asia) – Swire Properties Eu Wts Dec 2013 A	2013/12/17	2013/12/24
23988	Goldman Sachs SP (Asia) – CHALCO European Wts Dec 2013 A	2013/12/17	2013/12/24
24832	Macquarie Bank Ltd. – Jiangxi Copper European Wts Dec 2013 A	2013/12/17	2013/12/24
25198	Credit Suisse AG – Lumena Newmat European Wts Dec 2013 A	2013/12/17	2013/12/24
25511	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2013 A	2013/12/17	2013/12/24
25867	Daiwa Capital Mkt – CSOP A50 ETF European Wts Dec 2013 A	2013/12/17	2013/12/24
25883	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Dec 13 A	2013/12/17	2013/12/24
25886	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Dec 2013 C	2013/12/17	2013/12/24
25887	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2013 A	2013/12/17	2013/12/24
29330	Credit Suisse AG – Ch Oil & Gas European Warrants Dec 2013 A	2013/12/17	2013/12/24
12202	Merrill Lynch Int'l & Co. C.V. – China Coal Eur Wts Dec 13 A	2013/12/17	2013/12/24
17773	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2013 D	2013/12/17	2013/12/24
18079	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt Dec 2013A	2013/12/17	2013/12/24
18239	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Dec13A	2013/12/17	2013/12/24
22030	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Dec 2013 A	2013/12/17	2013/12/24
22273	Nomura Int'l plc – CSOP A50 ETF European Warrants Dec 2013 B	2013/12/17	2013/12/24
22946	UBS AG – China Mobile European Warrants Dec 2013 A	2013/12/17	2013/12/24
23375	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Dec 2013 A	2013/12/17	2013/12/24
23460	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2013 A	2013/12/17	2013/12/24
23905	HK Bank – China Mobile European Warrants Dec 2013 D	2013/12/17	2013/12/24
24223	UBS AG – SJM Holdings European Warrants Dec 2013 B	2013/12/17	2013/12/24
24228	UBS AG – Link REIT European Warrants Dec 2013 B	2013/12/17	2013/12/24
24300	SGA Societe Generale Acceptance N.V. -CCoal Eu Wt Dec 2013 A	2013/12/17	2013/12/24
24425	UBS AG – China Life European Warrants Dec 2013 A	2013/12/17	2013/12/24
24448	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 13 B	2013/12/17	2013/12/24
24657	BOCI Asia Ltd. – SHK Ppt European Warrants Dec 2013 A	2013/12/17	2013/12/24
24680	Standard Chartered Bank – China Mobile Euro Wts Dec 2013 B	2013/12/17	2013/12/24
24688	Standard Chartered Bank -PetroChina European Wts Dec 2013 B	2013/12/17	2013/12/24
24691	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2013 B	2013/12/17	2013/12/24
24705	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2013B	2013/12/17	2013/12/24
24831	HK Bank – Galaxy Ent European Warrants Dec 2013 A	2013/12/17	2013/12/24
25169	Daiwa Capital Mkt – CCB European Warrants Dec 2013 C	2013/12/17	2013/12/24
25212	Credit Suisse AG – CC Bank European Warrants Dec 2013 A	2013/12/17	2013/12/24
25428	Daiwa Capital Mkt – China Life European Warrants Dec 2013 A	2013/12/17	2013/12/24
25453	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec2013 B	2013/12/17	2013/12/24
25538	UBS AG – Hutchison European Warrants Dec 2013 A	2013/12/17	2013/12/24
25577	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Dec13A	2013/12/17	2013/12/24
25684	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2013 C	2013/12/17	2013/12/24
26232	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Dec13A	2013/12/17	2013/12/24
26296	HK Bank – CAM CSI300 European Warrants Dec 2013 B	2013/12/17	2013/12/24
26424	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2013 B	2013/12/17	2013/12/24
26590	Credit Suisse AG – China Life European Warrants Dec 2013 A	2013/12/17	2013/12/24
27477	Credit Suisse AG – A50 China European Warrants Dec 2013 B	2013/12/17	2013/12/24
17309	Nomura Int'l plc – Cathay Pacific European Put Wt Dec 2013 B	2013/12/18	2013/12/27
17429	BOCI Asia Ltd. – Cheung Kong European Warrants Dec 2013 A	2013/12/18	2013/12/27
19126	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Dec 2013 A	2013/12/18	2013/12/27
19817	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2013 A	2013/12/18	2013/12/27
20055	Bank of East Asia – Belle European Warrants Dec 2013 A	2013/12/18	2013/12/27
20165	Goldman Sachs SP (Asia) – CM Bank European Wts Dec 2013 A	2013/12/18	2013/12/27
20184	Merrill Lynch Int'l & Co. C.V. – CM Bank Euro Wts Dec 2013 A	2013/12/18	2013/12/27
22366	Bank of East Asia – CAM CSI300 European Warrants Dec 2013 A	2013/12/18	2013/12/27
22788	UBS AG – Li & Fung European Warrants Dec 2013 A	2013/12/18	2013/12/27
23945	Citigroup Global Mkt H Inc. – AIA European Wts Dec 2013 A	2013/12/18	2013/12/27
24382	Nomura Int'l plc – Angang Steel European Warrants Dec 2013 A	2013/12/18	2013/12/27
24786	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2013 A	2013/12/18	2013/12/27
24835	Credit Suisse AG – Nine Dragons European Warrants Dec 2013 A	2013/12/18	2013/12/27
25053	Credit Suisse AG – AIA European Warrants Dec 2013 A	2013/12/18	2013/12/27
26884	UBS AG – AIA European Warrants Dec 2013 A	2013/12/18	2013/12/27
11020	Daiwa Capital Mkt – ICBC European Warrants Dec 2013 A	2013/12/18	2013/12/27
11478	UBS AG – ICBC European Warrants Dec 2013 A	2013/12/18	2013/12/27

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
12238	Standard Chartered Bank – ICBC European Warrants Dec 2013 B	2013/12/18	2013/12/27
23683	Bank of East Asia – CM Bank European Warrants Dec 2013 A	2013/12/18	2013/12/27
23937	Nomura Int'l plc – China Life European Warrants Dec 2013 A	2013/12/18	2013/12/27
24768	Macquarie Bank Ltd. – Chinares Cement European Wt Dec 2013 A	2013/12/18	2013/12/27
24889	Credit Suisse AG – Galaxy Ent European Warrants Dec 2013 A	2013/12/18	2013/12/27
24892	Standard Chartered Bank – CITIC Sec European Wts Dec 2013 B	2013/12/18	2013/12/27
24906	UBS AG – Yanzhou Coal European Wts Dec 2013 A	2013/12/18	2013/12/27
24907	UBS AG – Galaxy Ent European Warrants Dec 2013 A	2013/12/18	2013/12/27
24910	UBS AG – GCL-Poly Energy European Warrants Dec 2013 A	2013/12/18	2013/12/27
24911	Credit Suisse AG – Ch Oil & Gas European Warrants Dec 2013 B	2013/12/18	2013/12/27
24920	UBS AG – Sands China European Warrants Dec 2013 A	2013/12/18	2013/12/27
24972	HK Bank – Sands China European Warrants Dec 2013 A	2013/12/18	2013/12/27
25435	Standard Chartered Bank – Tencent Hldg Eu Put Wts Dec 2013 D	2013/12/18	2013/12/27
25438	Standard Chartered Bank – BOC HK European Wts Dec 2013 A	2013/12/18	2013/12/27
25440	Standard Chartered Bank – China Overseas Euro Wt Dec 2013 A	2013/12/18	2013/12/27
25598	UBS AG – Yurun Food European Warrants Dec 2013 A	2013/12/18	2013/12/27
25679	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Dec 13 D	2013/12/18	2013/12/27
25706	Credit Suisse AG – CC Bank European Warrants Dec 2013 C	2013/12/18	2013/12/27
25916	UBS AG – China Coal European Warrants Dec 2013 A	2013/12/18	2013/12/27
25922	UBS AG – Geely Auto European Warrants Dec 2013 A	2013/12/18	2013/12/27
25931	UBS AG – Anhui Conch European Warrants Dec 2013 A	2013/12/18	2013/12/27
26063	Daiwa Capital Mkt – China Life European Warrants Dec 2013 B	2013/12/18	2013/12/27
26112	Standard Chartered Bank – AIA European Warrants Dec 2013 B	2013/12/18	2013/12/27
26114	Standard Chartered Bank – HKEx European Warrants Dec 2013 B	2013/12/18	2013/12/27
26137	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2013 A	2013/12/18	2013/12/27
26162	Nomura Int'l plc – Geely Auto European Warrants Dec 2013 A	2013/12/18	2013/12/27
26244	Credit Suisse AG – Angang Steel European Warrants Dec 2013 A	2013/12/18	2013/12/27
26269	HK Bank – Galaxy Ent European Warrants Dec 2013 B	2013/12/18	2013/12/27
26399	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2013 C	2013/12/18	2013/12/27
26555	UBS AG – HSBC European Warrants Dec 2013 B	2013/12/18	2013/12/27
26676	Credit Suisse AG – China Life European Warrants Dec 2013 B	2013/12/18	2013/12/27
26833	Credit Suisse – Cheung Kong European Put Warrants Dec 2013 C	2013/12/18	2013/12/27
26834	Credit Suisse AG – Anhui Conch European Warrants Dec 2013 B	2013/12/18	2013/12/27
26925	Standard Chartered Bank – CSOP A50 ETF Euro Wts Dec 2013 B	2013/12/18	2013/12/27
26987	Credit Suisse AG – Geely Auto European Warrants Dec 2013 A	2013/12/18	2013/12/27
27130	Credit Suisse AG – CITIC Pacific European Wts Dec 2013 A	2013/12/18	2013/12/27
27299	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2013 A	2013/12/18	2013/12/27
27408	Credit Suisse AG – CSOP A50 ETF European Warrants Dec 2013 B	2013/12/18	2013/12/27
18772	CC Rabobank B.A. – China Oilfield European Wts Dec 2013 A	2013/12/18	2013/12/30
18872	HK Bank – China Unicom European Warrants Dec 2013 A	2013/12/18	2013/12/30
19127	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Dec 2013 A	2013/12/18	2013/12/30
19141	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Dec 2013 A	2013/12/18	2013/12/30
22832	Standard Chartered Bank – Galaxy Ent Euro Put Wts Dec 2013 B	2013/12/18	2013/12/30
23997	Bank of East Asia – Nine Dragons European Wts Dec 2013 A	2013/12/18	2013/12/30
24061	UBS AG – A50 China European Warrants Dec 2013 A	2013/12/18	2013/12/30
27488	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2013 A	2013/12/18	2013/12/30
12164	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2013 A	2013/12/18	2013/12/30
24398	Standard Chartered Bank – Sinopec Corp Euro Wts Dec 2013 A	2013/12/18	2013/12/30
24845	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2013 A	2013/12/18	2013/12/30
24852	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Dec 2013 A	2013/12/18	2013/12/30
24966	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Dec 2013 B	2013/12/18	2013/12/30
25050	UBS AG – PICC Group European Warrants Dec 2013 A	2013/12/18	2013/12/30
25261	UBS AG – Ping An European Warrants Dec 2013 B	2013/12/18	2013/12/30
25270	UBS AG – China Mobile European Warrants Dec 2013 C	2013/12/18	2013/12/30
25275	UBS AG – ICBC European Warrants Dec 2013 B	2013/12/18	2013/12/30
25280	UBS AG – CSOP A50 ETF European Warrants Dec 2013 A	2013/12/18	2013/12/30
25281	UBS AG – BYD European Warrants Dec 2013 A	2013/12/18	2013/12/30
25379	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Dec 2013 D	2013/12/18	2013/12/30
25403	Daiwa Capital Mkt – CSOP A50 ETF European Wts Dec 2013 B	2013/12/18	2013/12/30
25599	UBS AG – Cheung Kong European Warrants Dec 2013 C	2013/12/18	2013/12/30
25843	UBS AG – PetroChina European Warrants Dec 2013 C	2013/12/18	2013/12/30
25929	UBS AG – Zhaojin Mining European Warrants Dec 2013 A	2013/12/18	2013/12/30
25953	Citigroup Global Mkt H Inc. – AIA European Put Wt Dec 2013 C	2013/12/18	2013/12/30
26238	Credit Suisse AG – SUNAC European Warrants Dec 2013 A	2013/12/18	2013/12/30
26248	UBS AG – CC Bank European Put Warrants Dec 2013 D	2013/12/18	2013/12/30
26677	Credit Suisse AG – A50 China European Warrants Dec 2013 A	2013/12/18	2013/12/30
26824	Credit Suisse AG – China Coal European Warrants Dec 2013 A	2013/12/18	2013/12/30
26950	Standard Chartered Bank – AIA European Warrants Dec 2013 C	2013/12/18	2013/12/30
26978	Standard Chartered Bank -PetroChina European Wts Dec 2013 C	2013/12/18	2013/12/30
11489	J P Morgan SP BV – Ch Railway Cons European Warrants Dec 13	2013/12/19	2013/12/31
11567	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2013	2013/12/19	2013/12/31
12446	HK Bank – GCL-Poly Energy European Warrants Dec 2013	2013/12/19	2013/12/31
12736	HK Bank – Shimao Ppt European Warrants Dec 2013	2013/12/19	2013/12/31
12744	HK Bank – HSI European Put Warrants Dec 2013	2013/12/19	2013/12/31
13982	J P Morgan SP BV – Hutchison European Warrants Dec 2013	2013/12/19	2013/12/31
14544	J P Morgan SP BV – Cathay Pacific European Warrants Dec 2013	2013/12/19	2013/12/31
14922	Macquarie Bank Ltd. – Cathay Pacific European Wts Dec 2013	2013/12/19	2013/12/31
15115	HK Bank – HSCEI European Warrants Dec 2013	2013/12/19	2013/12/31
15694	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013	2013/12/19	2013/12/31
15817	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2013	2013/12/19	2013/12/31
17906	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2013 A	2013/12/19	2013/12/31
18832	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Dec 2013 A	2013/12/19	2013/12/31
19523	HK Bank – HSCEI European Warrants Dec 2013 A	2013/12/19	2013/12/31
19889	UBS AG – HSI European Put Warrants Dec 2013 A	2013/12/19	2013/12/31
20478	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2013 A	2013/12/19	2013/12/31
20675	UBS AG – HSCEI European Warrants Dec 2013 A	2013/12/19	2013/12/31
20757	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2013 A	2013/12/19	2013/12/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
20760	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2013 B	2013/12/19	2013/12/31
21028	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Dec 13 A	2013/12/19	2013/12/31
21439	Standard Chartered Bank – CSOP A50 ETF Euro Wts Dec 2013 A	2013/12/19	2013/12/31
21466	Daiwa Capital Mkt – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
21635	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2013 A	2013/12/19	2013/12/31
21811	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Dec2013 C	2013/12/19	2013/12/31
21881	Daiwa Capital Mkt – HSI European Warrants Dec 2013 A	2013/12/19	2013/12/31
22078	UBS AG – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
22268	Citigroup Global Mkt H Inc. – PetroChina Eur Put Wt Dec 13 B	2013/12/19	2013/12/31
22310	Citigroup Global Mkt H Inc. – HSI European Put Wt Dec 2013 A	2013/12/19	2013/12/31
22311	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2013 B	2013/12/19	2013/12/31
22312	Citigroup Global Mkt H Inc. – HSI European Put Wt Dec 2013 C	2013/12/19	2013/12/31
22313	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2013 D	2013/12/19	2013/12/31
22492	HK Bank – HSI European Warrants Dec 2013 A	2013/12/19	2013/12/31
22614	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2013 A	2013/12/19	2013/12/31
22634	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2013 A	2013/12/19	2013/12/31
22803	Goldman Sachs SP (Asia) – Stanchart European Wts Dec 2013 A	2013/12/19	2013/12/31
22829	SGA Societe Generale Acceptance N.V.-Li & Fung Eu Wt Dec13 A	2013/12/19	2013/12/31
22882	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Dec 2013 A	2013/12/19	2013/12/31
22906	UBS AG – HSI European Warrants Dec 2013 B	2013/12/19	2013/12/31
23368	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2013 A	2013/12/19	2013/12/31
23383	Goldman Sachs SP (Asia) – Ch Mer Hold Euro Wts Dec 2013 A	2013/12/19	2013/12/31
23600	Goldman Sachs SP (Asia) – BEA European Warrants Dec 2013 A	2013/12/19	2013/12/31
23750	Goldman Sachs SP (Asia) – CPIC European Warrants Dec 2013 A	2013/12/19	2013/12/31
23756	Goldman Sachs SP (Asia) – Yurun Food European Wts Dec 2013 B	2013/12/19	2013/12/31
23848	Goldman Sachs SP (Asia) – CR Cement European Wts Dec 2013 A	2013/12/19	2013/12/31
23957	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Dec 2013 B	2013/12/19	2013/12/31
23968	BOCI Asia Ltd. – AIA European Warrants Dec 2013 A	2013/12/19	2013/12/31
24593	Goldman Sachs SP (Asia) – Henderson Land Euro Wts Dec 2013 A	2013/12/19	2013/12/31
24594	Goldman Sachs SP (Asia) – SHK Ppt European Wts Dec 2013 A	2013/12/19	2013/12/31
24639	Goldman Sachs SP (Asia) – China Coal European Wts Dec 2013 A	2013/12/19	2013/12/31
24644	HK Bank – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
24694	UBS AG – HSI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
24734	J P Morgan SP BV – HSCEI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
24866	UBS AG – HSCEI European Warrants Dec 2013 C	2013/12/19	2013/12/31
24868	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
25119	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2013 B	2013/12/19	2013/12/31
25123	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2013 C	2013/12/19	2013/12/31
25163	Credit Suisse AG – HSI European Put Warrants Dec 2013 A	2013/12/19	2013/12/31
25184	HK Bank – HSCEI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
25232	Macquarie Bank Ltd. – HSCEI European Put Warrants Dec 2013 A	2013/12/19	2013/12/31
25239	Macquarie Bank Ltd. – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
25404	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Dec 2013 A	2013/12/19	2013/12/31
25415	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Dec 2013 B	2013/12/19	2013/12/31
25502	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2013 B	2013/12/19	2013/12/31
25531	Goldman Sachs SP (Asia) – China Coal European Wts Dec 2013 B	2013/12/19	2013/12/31
25559	Goldman Sachs SP (Asia) – CRCC European Warrants Dec 2013 A	2013/12/19	2013/12/31
25579	Credit Suisse AG – HSCEI European Warrants Dec 2013 A	2013/12/19	2013/12/31
25758	HK Bank – HSI European Put Warrants Dec 2013 B	2013/12/19	2013/12/31
25779	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2013 C	2013/12/19	2013/12/31
25787	Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Dec 2013 A	2013/12/19	2013/12/31
26087	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Dec 13 D	2013/12/19	2013/12/31
26093	Merrill Lynch Int'l & Co. C.V. – Wharf Euro Wts Dec 2013 A	2013/12/19	2013/12/31
26226	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Dec 2013 A	2013/12/19	2013/12/31
89010	UBS AG – CSOP A50 ETF European Warrants Dec 2013 B	2013/12/19	2013/12/31
26545	UBS AG – Lumena Newmat European Warrants Dec 2013 A	2013/12/19	2013/12/31
26835	UBS AG – HSCEI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
11387	Goldman Sachs SP (Asia) – AIA European Put Wts Dec 2013 B	2013/12/19	2013/12/31
11704	UBS AG – HSI European Warrants Dec 2013 D	2013/12/19	2013/12/31
12116	J P Morgan SP BV – Skyworth Digital European Wts Dec 2013 A	2013/12/19	2013/12/31
12135	Credit Suisse AG – HSI European Warrants Dec 2013 B	2013/12/19	2013/12/31
12152	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Dec 2013 B	2013/12/19	2013/12/31
12153	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2013 C	2013/12/19	2013/12/31
12183	Nomura Int'l plc – Ch Ship Cont European Warrants Dec 2013 A	2013/12/19	2013/12/31
12200	Credit Suisse AG – HSCEI European Warrants Dec 2013 B	2013/12/19	2013/12/31
12230	Goldman Sachs SP (Asia) – PICC Group European Wts Dec 2013 A	2013/12/19	2013/12/31
12243	BOCI Asia Ltd. – HSI European Put Warrants Dec 2013 A	2013/12/19	2013/12/31
12244	BOCI Asia Ltd. – HSI European Warrants Dec 2013 B	2013/12/19	2013/12/31
14630	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2013 C	2013/12/19	2013/12/31
19136	BOCI Asia Ltd. – CAM CSI300 European Warrants Dec 2013 A	2013/12/19	2013/12/31
19477	Goldman Sachs SP (Asia) -Ch Overseas European Wts Dec 2013 A	2013/12/19	2013/12/31
19839	Goldman Sachs SP (Asia) -Tencent European Wt Dec 2013 B	2013/12/19	2013/12/31
19845	Goldman Sachs SP (Asia) – Tencent European Put Wt Dec 2013 C	2013/12/19	2013/12/31
21157	J P Morgan SP BV – HSI European Warrants Dec 2013 A	2013/12/19	2013/12/31
21563	HK Bank – HSI European Warrants Dec 2013 C	2013/12/19	2013/12/31
21707	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2013 B	2013/12/19	2013/12/31
21729	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2013 C	2013/12/19	2013/12/31
22732	Goldman Sachs SP (Asia) – PetroChina European Wts Dec 2013 A	2013/12/19	2013/12/31
22735	Goldman Sachs SP (Asia) – Ch LongYuan Euro Wts Dec 2013 A	2013/12/19	2013/12/31
22842	Goldman Sachs SP (Asia) – A50 China European Wts Dec 2013 A	2013/12/19	2013/12/31
22968	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2013 A	2013/12/19	2013/12/31
23006	Goldman Sachs SP (Asia) – Swrie Pacific A Euro Wt Dec 2013 A	2013/12/19	2013/12/31
23144	HK Bank – AIA European Warrants Dec 2013 D	2013/12/19	2013/12/31
23178	HK Bank – China Mobile European Warrants Dec 2013 B	2013/12/19	2013/12/31
23206	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Dec13A	2013/12/19	2013/12/31
23212	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2013A	2013/12/19	2013/12/31
23579	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Dec 2013 B	2013/12/19	2013/12/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
23646	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2013 C	2013/12/19	2013/12/31
23647	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2013 D	2013/12/19	2013/12/31
23654	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Dec 2013 A	2013/12/19	2013/12/31
23721	J P Morgan SP BV – HSI European Warrants Dec 2013 B	2013/12/19	2013/12/31
23726	J P Morgan SP BV – HSI European Warrants Dec 2013 C	2013/12/19	2013/12/31
23772	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2013 D	2013/12/19	2013/12/31
23841	UBS AG – HSI European Put Warrants Dec 2013 E	2013/12/19	2013/12/31
24045	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 A	2013/12/19	2013/12/31
24067	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 13 B	2013/12/19	2013/12/31
24103	HK Bank – HSI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
24112	UBS AG – SHK Ppt European Warrants Dec 2013 A	2013/12/19	2013/12/31
24122	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2013 D	2013/12/19	2013/12/31
24129	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Dec 2013 A	2013/12/19	2013/12/31
24131	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2013 B	2013/12/19	2013/12/31
24136	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2013 A	2013/12/19	2013/12/31
24230	Credit Suisse AG – HSI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
24327	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 C	2013/12/19	2013/12/31
24329	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 D	2013/12/19	2013/12/31
24348	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 13 E	2013/12/19	2013/12/31
24362	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2013A	2013/12/19	2013/12/31
24419	Goldman Sachs SP (Asia) – New World Dev Euro Wts Dec 2013 A	2013/12/19	2013/12/31
24427	UBS AG – HSI European Warrants Dec 2013 F	2013/12/19	2013/12/31
24486	Credit Suisse AG – HSI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
24514	J P Morgan SP BV – HSI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
24595	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 13 F	2013/12/19	2013/12/31
24675	Standard Chartered Bank – HSI European Put Wts Dec 2013 A	2013/12/19	2013/12/31
24707	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Dec 2013 B	2013/12/19	2013/12/31
24709	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Dec 2013 B	2013/12/19	2013/12/31
24712	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2013 B	2013/12/19	2013/12/31
24721	Citigroup Global Mkt H Inc. – Sands China Euro Wt Dec 2013 B	2013/12/19	2013/12/31
24732	Citigroup Global Mkt H Inc.-Tencent European Wts Dec 2013 C	2013/12/19	2013/12/31
24771	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2013 C	2013/12/19	2013/12/31
24794	J P Morgan SP BV – HSCEI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
24817	BOCI Asia Ltd. – PetroChina European Warrants Dec 2013 A	2013/12/19	2013/12/31
24818	HK Bank – HSI European Warrants Dec 2013 E	2013/12/19	2013/12/31
24819	HK Bank – HSI European Warrants Dec 2013 F	2013/12/19	2013/12/31
24821	HK Bank – CM Bank European Warrants Dec 2013 A	2013/12/19	2013/12/31
24887	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Dec13B	2013/12/19	2013/12/31
24934	J P Morgan SP BV – Sands China European Warrants Dec 2013 A	2013/12/19	2013/12/31
24944	J P Morgan SP BV – Galaxy Ent European Put Wts Dec 2013 C	2013/12/19	2013/12/31
24945	J P Morgan SP BV – Galaxy Ent European Warrants Dec 2013 D	2013/12/19	2013/12/31
24958	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2013 E	2013/12/19	2013/12/31
24976	HK Bank – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
25060	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2013 A	2013/12/19	2013/12/31
25074	J P Morgan SP BV – HSI European Warrants Dec 2013 F	2013/12/19	2013/12/31
25115	Standard Chartered Bank – HSI European Warrants Dec 2013 B	2013/12/19	2013/12/31
25116	UBS AG – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
25122	UBS AG – HSI European Warrants Dec 2013 H	2013/12/19	2013/12/31
25173	SGA Societe Generale Acceptance N.V-Evergrande Eu Wt Dec13 A	2013/12/19	2013/12/31
25206	Daiwa Capital Mkt – HSI European Put Warrants Dec 2013 B	2013/12/19	2013/12/31
25207	Daiwa Capital Mkt – HSI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
25210	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
25241	Credit Suisse AG – HSI European Warrants Dec 2013 E	2013/12/19	2013/12/31
25249	Credit Suisse AG – HSI European Warrants Dec 2013 F	2013/12/19	2013/12/31
25253	Credit Suisse AG – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
25257	UBS AG – HSI European Put Warrants Dec 2013 I	2013/12/19	2013/12/31
25307	J P Morgan SP BV – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
25320	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Dec13B	2013/12/19	2013/12/31
25321	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 G	2013/12/19	2013/12/31
25322	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 H	2013/12/19	2013/12/31
25323	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 13 I	2013/12/19	2013/12/31
25324	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 13 J	2013/12/19	2013/12/31
25326	HK Bank – HSI European Warrants Dec 2013 H	2013/12/19	2013/12/31
25330	J P Morgan SP BV – HSI European Warrants Dec 2013 H	2013/12/19	2013/12/31
25347	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Dec 2013 E	2013/12/19	2013/12/31
25375	BOCI Asia Ltd. – HSI European Put Warrants Dec 2013 C	2013/12/19	2013/12/31
25376	BOCI Asia Ltd. – HSI European Warrants Dec 2013 D	2013/12/19	2013/12/31
25400	UBS AG – PetroChina European Warrants Dec 2013 B	2013/12/19	2013/12/31
25401	UBS AG – SPDR Gold Trust European Warrants Dec 2013 A	2013/12/19	2013/12/31
25410	Daiwa Capital Mkt – HSI European Warrants Dec 2013 D	2013/12/19	2013/12/31
25417	Daiwa Capital Mkt – HSI European Warrants Dec 2013 E	2013/12/19	2013/12/31
25421	Credit Suisse AG – CC Bank European Warrants Dec 2013 B	2013/12/19	2013/12/31
25422	Credit Suisse AG – ICBC European Warrants Dec 2013 A	2013/12/19	2013/12/31
25478	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2013 B	2013/12/19	2013/12/31
25486	UBS AG – HSI European Warrants Dec 2013 J	2013/12/19	2013/12/31
25532	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Dec13B	2013/12/19	2013/12/31
25639	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2013 E	2013/12/19	2013/12/31
25642	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2013 F	2013/12/19	2013/12/31
25673	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Dec 2013 A	2013/12/19	2013/12/31
25770	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2013 E	2013/12/19	2013/12/31
25780	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2013 B	2013/12/19	2013/12/31
25785	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Dec2013 E	2013/12/19	2013/12/31
25847	Goldman Sachs SP (Asia) – HSCEI European Warrants Dec 2013 C	2013/12/19	2013/12/31
25861	Credit Suisse AG – HSI European Warrants Dec 2013 H	2013/12/19	2013/12/31
25895	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2013 G	2013/12/19	2013/12/31
25898	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2013 F	2013/12/19	2013/12/31
25902	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2013 H	2013/12/19	2013/12/31

List of expired derivative warrants, 2013 *(continued)*

Code	Warrant	Last trading date	Delisted date
25908	BOCI Asia Ltd. – HSI European Warrants Dec 2013 E	2013/12/19	2013/12/31
25913	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2013C	2013/12/19	2013/12/31
25935	BOCI Asia Ltd. – HSI European Warrants Dec 2013 F	2013/12/19	2013/12/31
25950	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Dec13C	2013/12/19	2013/12/31
25968	Standard Chartered Bank – HSI European Put Wts Dec 2013 C	2013/12/19	2013/12/31
25978	Credit Suisse AG – HSI European Put Warrants Dec 2013 I	2013/12/19	2013/12/31
25989	UBS AG – HSI European Put Warrants Dec 2013 K	2013/12/19	2013/12/31
26047	BOCI Asia Ltd. – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
26055	Standard Chartered Bank – HSI European Warrants Dec 2013 D	2013/12/19	2013/12/31
26059	J P Morgan SP BV – HSI European Put Warrants Dec 2013 I	2013/12/19	2013/12/31
26195	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2013 A	2013/12/19	2013/12/31
26250	UBS AG – HSI European Put Warrants Dec 2013 L	2013/12/19	2013/12/31
26255	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 K	2013/12/19	2013/12/31
26256	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Dec 2013 L	2013/12/19	2013/12/31
26301	Standard Chartered Bank – HSCEI European Put Wts Dec 2013 A	2013/12/19	2013/12/31
26338	UBS AG – HSCEI European Put Warrants Dec 2013 E	2013/12/19	2013/12/31
26436	HK Bank – HSCEI European Put Warrants Dec 2013 D	2013/12/19	2013/12/31
26452	Credit Suisse AG – HSI European Warrants Dec 2013 J	2013/12/19	2013/12/31
26455	Credit Suisse AG – HSI European Put Warrants Dec 2013 K	2013/12/19	2013/12/31
26460	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2013 I	2013/12/19	2013/12/31
26531	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec2013 D	2013/12/19	2013/12/31
26554	Standard Chartered Bank – HSI European Put Wts Dec 2013 E	2013/12/19	2013/12/31
26561	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2013 F	2013/12/19	2013/12/31
26641	UBS AG – HSI European Warrants Dec 2013 M	2013/12/19	2013/12/31
26650	HK Bank – HSI European Put Warrants Dec 2013 I	2013/12/19	2013/12/31
26780	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Dec 2013 D	2013/12/19	2013/12/31
26844	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2013 C	2013/12/19	2013/12/31
26852	HK Bank – HSI European Put Warrants Dec 2013 J	2013/12/19	2013/12/31
26890	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2013 G	2013/12/19	2013/12/31
26957	Credit Suisse AG – CC Bank European Warrants Dec 2013 E	2013/12/19	2013/12/31
26968	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2013 H	2013/12/19	2013/12/31
27188	Credit Suisse AG – HSI European Put Warrants Dec 2013 L	2013/12/19	2013/12/31

Market value and trading for derivative warrants, 2009 – 2013

Year/Month		Number*	Market value* (HK$ mil.)	Turnover value (HK$ mil.)	(%) of market total
2009		3367	136,441.34	1,654,894.76	10.72
2010		5148	142,445.77	2,692,462.26	15.77
2011		4027	75,484.38	2,629,886.30	15.39
2012		3747	105,257.03	1,646,440.78	12.41
2013		4715	95,733.93	1,783,314.68	11.74
2013	Jan	4229	138,122.37	221,544.65	12.90
	Feb	4300	96,553.18	178,707.39	14.69
	Mar	4484	70,659.35	205,565.67	14.26
	Apr	4634	72,662.15	152,625.69	13.10
	May	4827	74,002.64	135,047.28	10.70
	Jun	4895	56,917.10	141,320.11	10.91
	Jul	4740	69,428.71	152,535.18	13.47
	Aug	4694	71,870.92	122,828.33	10.76
	Sep	4696	91,474.60	114,609.86	9.66
	Oct	4575	92,823.41	143,000.91	11.76
	Nov	4768	114,293.31	122,117.57	9.44
	Dec	4715	95,733.93	93,412.02	8.35

* Month-end or year-end figures.

Newly listed callable bull/bear contracts in 2013

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65750	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	200,000,000	2013/01/02
65754	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 U	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/04/29	200,000,000	2013/01/02
65748	Credit Suisse AG	HSI R Bull CBBC Sep 2013 G	0.250	22,410 per 10,000 units	22,610 per 10,000 units	2013/09/27	350,000,000	2013/01/02
65749	Credit Suisse AG	HSI R Bull CBBC Sep 2013 H	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/09/27	350,000,000	2013/01/02
65770	Credit Suisse AG	HSI R Bear CBBC Apr 2013 V	0.250	22,687 per 10,000 units	22,487 per 10,000 units	2013/04/29	350,000,000	2013/01/02
65756	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 13K	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/04/29	300,000,000	2013/01/02
65761	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 13L	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/04/29	300,000,000	2013/01/02
65778	UBS AG	A50 China R Bear CBBC Apr 2013 C	0.250	11.3	11	2013/04/29	100,000,000	2013/01/02
65751	UBS AG	HSI R Bull CBBC Aug 2013 R	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/08/29	300,000,000	2013/01/02
65753	UBS AG	HSI R Bull CBBC Aug 2013 S	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/01/02
65773	UBS AG	HSI R Bear CBBC Apr 2013 R	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/04/29	300,000,000	2013/01/02
65779	Credit Suisse AG	HSI R Bull CBBC Jul 2013 A	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/07/30	350,000,000	2013/01/03
65780	Credit Suisse AG	HSI R Bear CBBC Apr 2013 W	0.250	22,707 per 10,000 units	22,507 per 10,000 units	2013/04/29	350,000,000	2013/01/03
65785	UBS AG	China Mobile R Bull CBBC Jul 2013 B	0.250	84.50 per 100 units	87.50 per 100 units	2013/07/22	100,000,000	2013/01/03
65783	UBS AG	China Mobile R Bull CBBC Aug 2013 B	0.250	82 per 100 units	85 per 100 units	2013/08/05	100,000,000	2013/01/03
65782	UBS AG	HSI R Bull CBBC Jun 2013 J	0.250	22,341 per 10,000 units	22,541 per 10,000 units	2013/06/27	300,000,000	2013/01/03
65386 #	HK Bank	A50 R Bull CBBC Sep 2013 B	0.120	9.78	10.08	2013/09/23	200,000,000	2013/01/03
65792	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 R	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/04/29	200,000,000	2013/01/04
65793	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 S	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/04/29	200,000,000	2013/01/04
65800	Credit Suisse AG	HSI R Bull CBBC Aug 2013 W	0.250	22,436 per 10,000 units	22,636 per 10,000 units	2013/08/29	350,000,000	2013/01/04
65797	Credit Suisse AG	HSI R Bear CBBC Apr 2013 X	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/04/29	350,000,000	2013/01/04
65798	Credit Suisse AG	HSI R Bear CBBC Apr 2013 Y	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/04/29	350,000,000	2013/01/04
65795	HK Bank	Sinopec Corp R Bear CBBC Nov 2013 A	0.250	9.58	9.28	2013/11/08	40,000,000	2013/01/04
65796	HK Bank	Galaxy Ent R Bear CBBC Oct 2013 A	0.300	32.68	31.68	2013/10/24	40,000,000	2013/01/04
65786	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 13Y	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/06/27	300,000,000	2013/01/04
65787	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 13M	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/04/29	300,000,000	2013/01/04
65802	UBS AG	A50 China R Bull CBBC May 2013 B	0.250	10.2	10.5	2013/05/20	100,000,000	2013/01/04
65801	UBS AG	HSI R Bull CBBC Jun 2013 L	0.250	22,436 per 10,000 units	22,636 per 10,000 units	2013/06/27	300,000,000	2013/01/04
65799	UBS AG	HSI R Bear CBBC Apr 2013 I	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/04/29	300,000,000	2013/01/04
65893	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 M	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2013/04/29	200,000,000	2013/01/07
65895	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2013/04/29	200,000,000	2013/01/07
65894	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 T	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/04/29	200,000,000	2013/01/07
65896	Credit Suisse AG	HSI R Bull CBBC Aug 2013 X	0.250	22,466 per 10,000 units	22,666 per 10,000 units	2013/08/29	350,000,000	2013/01/07
65826	Credit Suisse AG	HSI R Bear CBBC Apr 2013 Z	0.250	22,820 per 10,000 units	22,620 per 10,000 units	2013/04/29	350,000,000	2013/01/07
65827	Credit Suisse AG	HSI R Bear CBBC Jun 2013 H	0.290	25,400 per 10,000 units	25,200 per 10,000 units	2013/06/27	350,000,000	2013/01/07
65828	Credit Suisse AG	HSI R Bear CBBC Jun 2013 I	0.320	25,700 per 10,000 units	25,500 per 10,000 units	2013/06/27	350,000,000	2013/01/07
65829	Credit Suisse AG	HSI R Bear CBBC Jun 2013 J	0.350	26,000 per 10,000 units	25,800 per 10,000 units	2013/06/27	350,000,000	2013/01/07
65837	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 K	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65838	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 L	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65851	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 M	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65853	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 N	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	150,000,000	2013/01/07

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65854	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 O	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65857	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 P	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65861	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Q	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65863	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 R	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65888	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 S	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65891	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/12/30	150,000,000	2013/01/07
65865	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 I	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65868	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 J	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65871	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 K	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65874	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65875	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 M	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65879	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 N	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65880	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 O	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65885	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 P	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65892	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 Q	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/06/27	150,000,000	2013/01/07
65900	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 A	0.251	22,388 per 7,000 units	22,588 per 7,000 units	2013/04/29	100,000,000	2013/01/07
65901	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 B	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2013/04/29	100,000,000	2013/01/07
65902	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 C	0.250	22,338 per 20,000 units	22,538 per 20,000 units	2013/04/29	100,000,000	2013/01/07
65813	HK Bank	CC Bank R Bull CBBC Sep 2013 C	0.250	5.78	6.08	2013/09/30	80,000,000	2013/01/07
65825	HK Bank	China Life R Bull CBBC Jul 2013 C	0.250	22.98	23.98	2013/07/22	60,000,000	2013/01/07
65814	HK Bank	CNBM R Bull CBBC Sep 2013 A	0.250	10.18	10.98	2013/09/30	50,000,000	2013/01/07
65804	HK Bank	A50 R Bull CBBC Aug 2013 D	0.250	10.48	10.78	2013/08/05	100,000,000	2013/01/07
65805	HK Bank	A50 R Bear CBBC Sep 2013 B	0.250	12.98	12.68	2013/09/16	40,000,000	2013/01/07
65811	HK Bank	ICBC R Bull CBBC Sep 2013 B	0.250	5.08	5.33	2013/09/30	80,000,000	2013/01/07
65897	UBS AG	HSI R Bull CBBC May 2013 W	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/05/30	300,000,000	2013/01/07
65898	UBS AG	HSI R Bull CBBC May 2013 X	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/05/30	300,000,000	2013/01/07
65833	UBS AG	HSI R Bear CBBC Jun 2013 K	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/06/27	300,000,000	2013/01/07
65918	Credit Suisse AG	HSI R Bull CBBC Sep 2013 I	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/09/27	350,000,000	2013/01/08
65921	Credit Suisse AG	HSI R Bull CBBC Sep 2013 J	0.250	22,450 per 12,000 units	22,650 per 12,000 units	2013/09/27	350,000,000	2013/01/08
65904	Credit Suisse AG	HSI R Bear CBBC May 2013 K	0.250	22,870 per 12,000 units	22,670 per 12,000 units	2013/05/30	350,000,000	2013/01/08
65919	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/01/08
65922	UBS AG	China Life R Bull CBBC Jul 2013 A	0.250	22.88	23.88	2013/07/08	100,000,000	2013/01/08
65929	UBS AG	China Life R Bear CBBC Jun 2013 A	0.360	28.88	27.88	2013/06/17	100,000,000	2013/01/08
65920	UBS AG	HSI R Bear CBBC Apr 2013 P	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/04/29	300,000,000	2013/01/08
64054 #	HK Bank	HKEx R Bull CBBC Sep 2013 A	0.285	112.88 per 100 units	118.88 per 100 units	2013/09/23	80,000,000	2013/01/08
65941	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 C	0.250	10.3	10.6	2013/12/30	200,000,000	2013/01/09
65940	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2013 D	0.250	12.6	12.3	2013/12/30	200,000,000	2013/01/09
65987	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 J	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/09/27	200,000,000	2013/01/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65991	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 K	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	200,000,000	2013/01/09
65986	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 U	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	200,000,000	2013/01/09
65992	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	200,000,000	2013/01/09
66078	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	200,000,000	2013/01/09
66079	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	200,000,000	2013/01/09
66082	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 C	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/12/30	200,000,000	2013/01/09
66017	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 F	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/04/29	200,000,000	2013/01/09
65943	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/04/29	200,000,000	2013/01/09
66068	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/04/29	200,000,000	2013/01/09
65998	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 A	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66013	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 B	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66014	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 C	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66083	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 D	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66086	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 E	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66087	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 F	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/05/30	200,000,000	2013/01/09
66095	Credit Suisse AG	HSI R Bull CBBC Jul 2013 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/07/30	350,000,000	2013/01/09
66099	Credit Suisse AG	HSI R Bull CBBC Jul 2013 O	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/07/30	350,000,000	2013/01/09
66107	Credit Suisse AG	HSI R Bull CBBC Aug 2013 A	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/08/29	350,000,000	2013/01/09
66088	Credit Suisse AG	HSI R Bull CBBC Aug 2013 Y	0.250	23,111 per 10,000 units	23,311 per 10,000 units	2013/08/29	350,000,000	2013/01/09
66097	Credit Suisse AG	HSI R Bull CBBC Aug 2013 Z	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/08/29	350,000,000	2013/01/09
66090	Credit Suisse AG	HSI R Bull CBBC Sep 2013 K	0.250	23,090 per 12,000 units	23,290 per 12,000 units	2013/09/27	350,000,000	2013/01/09
65970	Credit Suisse AG	HSI R Bear CBBC Apr 2013 A	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/04/29	350,000,000	2013/01/09
65971	Credit Suisse AG	HSI R Bear CBBC Apr 2013 B	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/04/29	350,000,000	2013/01/09
65968	Credit Suisse AG	HSI R Bear CBBC Apr 2013 C	0.250	22,860 per 10,000 units	22,660 per 10,000 units	2013/04/29	350,000,000	2013/01/09
65969	Credit Suisse AG	HSI R Bear CBBC Apr 2013 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/04/29	350,000,000	2013/01/09
66076	Credit Suisse AG	HSI R Bear CBBC Apr 2013 E	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/04/29	350,000,000	2013/01/09
66063	Credit Suisse AG	HSI R Bear CBBC Apr 2013 J	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/04/29	350,000,000	2013/01/09
66123	Credit Suisse AG	HSI R Bear CBBC Apr 2013 K	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2013/04/29	350,000,000	2013/01/09
66124	Credit Suisse AG	HSI R Bear CBBC Apr 2013 L	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2013/04/29	350,000,000	2013/01/09
66112	Credit Suisse AG	HSI R Bear CBBC May 2013 L	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/05/30	350,000,000	2013/01/09
66115	Credit Suisse AG	HSI R Bear CBBC May 2013 M	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2013/05/30	350,000,000	2013/01/09
66120	Credit Suisse AG	HSI R Bear CBBC May 2013 N	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2013/05/30	350,000,000	2013/01/09
66121	Credit Suisse AG	HSI R Bear CBBC May 2013 O	0.350	26,600 per 10,000 units	26,400 per 10,000 units	2013/05/30	350,000,000	2013/01/09
66122	Credit Suisse AG	HSI R Bear CBBC May 2013 P	0.390	27,000 per 10,000 units	26,800 per 10,000 units	2013/05/30	350,000,000	2013/01/09
66108	Credit Suisse AG	HSI R Bear CBBC Jun 2013 K	0.310	26,300 per 10,000 units	26,100 per 10,000 units	2013/06/27	350,000,000	2013/01/09
66109	Credit Suisse AG	HSI R Bear CBBC Jun 2013 L	0.410	27,200 per 10,000 units	27,000 per 10,000 units	2013/06/27	350,000,000	2013/01/09
66111	Credit Suisse AG	HSI R Bear CBBC Jul 2013 N	0.370	26,800 per 10,000 units	26,600 per 10,000 units	2013/07/30	350,000,000	2013/01/09
66288	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	150,000,000	2013/01/09
66053	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	150,000,000	2013/01/09
66054	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 V	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	150,000,000	2013/01/09
66055	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 W	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	150,000,000	2013/01/09
66056	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 X	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/12/30	150,000,000	2013/01/09
66285	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Y	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/12/30	150,000,000	2013/01/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66287	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Z	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	150,000,000	2013/01/09
65950	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/06/27	150,000,000	2013/01/09
65951	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	150,000,000	2013/01/09
65956	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 T	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66057	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66058	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 V	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66060	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 W	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66071	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66077	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/06/27	150,000,000	2013/01/09
66340	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 D	0.252	22,588 per 7,000 units	22,788 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66346	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 E	0.252	22,788 per 7,000 units	22,988 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66349	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 F	0.252	22,988 per 7,000 units	23,188 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66350	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 G	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2013/04/29	100,000,000	2013/01/09
66352	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 H	0.251	22,888 per 10,000 units	23,088 per 10,000 units	2013/04/29	100,000,000	2013/01/09
66356	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 A	0.253	24,488 per 7,000 units	24,288 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66357	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 B	0.253	24,288 per 7,000 units	24,088 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66358	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 C	0.251	24,088 per 7,000 units	23,888 per 7,000 units	2013/04/29	100,000,000	2013/01/09
66374	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 D	0.252	24,938 per 20,000 units	24,638 per 20,000 units	2013/04/29	100,000,000	2013/01/09
66376	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 E	0.252	24,388 per 10,000 units	24,188 per 10,000 units	2013/04/29	100,000,000	2013/01/09
66415	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 R	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66417	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 S	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66419	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66416	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 D	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/07/30	300,000,000	2013/01/09
66418	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 E	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/01/09
66421	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 F	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/07/30	300,000,000	2013/01/09
66379	J P Morgan SP BV	HSI R Bear CBBC May 2013 W	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66381	J P Morgan SP BV	HSI R Bear CBBC May 2013 X	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66414	J P Morgan SP BV	HSI R Bear CBBC May 2013 Y	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66377	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 G	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66380	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 H	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66385	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 I	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66251	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 P	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66252	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 Q	0.250	22,338 per 10,000 units	22,538 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66253	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 R	0.250	22,238 per 10,000 units	22,438 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66264	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 S	0.250	22,138 per 10,000 units	22,338 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66265	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 T	0.250	22,038 per 10,000 units	22,238 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66279	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 U	0.250	21,938 per 10,000 units	22,138 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66355	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 N	0.250	24,388 per 10,000 units	24,188 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66235	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 V	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66236	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 W	0.250	24,188 per 10,000 units	23,988 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66237	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 X	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66239	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 Y	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2013/06/27	60,000,000	2013/01/09
66249	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 Z	0.250	23,888 per 10,000 units	23,688 per 10,000 units	2013/06/27	60,000,000	2013/01/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66019	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 I	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66024	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 K	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66333	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 U	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66018	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 G	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/01/09
66020	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 H	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/07/30	300,000,000	2013/01/09
66331	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/07/30	300,000,000	2013/01/09
65934	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 N	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/04/29	300,000,000	2013/01/09
65939	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 O	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66025	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 P	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66027	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 Q	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66031	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 R	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66051	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 S	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66335	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 T	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66337	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 U	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66339	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 V	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2013/04/29	300,000,000	2013/01/09
66026	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 F	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66028	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 G	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66049	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 H	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66052	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 I	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66334	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 J	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66336	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 K	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66338	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 L	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66216	UBS AG	Anhui Conch R Bull CBBC Aug 2013 A	0.510	23.88	25.88	2013/08/12	100,000,000	2013/01/09
66212	UBS AG	ABC R Bull CBBC Sep 2013 A	0.250	3.25	3.5	2013/09/16	100,000,000	2013/01/09
66208	UBS AG	AIA R Bull CBBC Jun 2013 A	0.250	28.88	29.88	2013/06/17	100,000,000	2013/01/09
66142	UBS AG	BOCL R Bull CBBC Sep 2013 A	0.250	3	3.18	2013/09/02	100,000,000	2013/01/09
66214	UBS AG	China Mobile R Bear CBBC Jul 2013 A	0.250	103 per 100 units	100 per 100 units	2013/07/22	100,000,000	2013/01/09
66222	UBS AG	COVS R Bull CBBC Aug 2013 A	0.250	21.88	22.88	2013/08/05	100,000,000	2013/01/09
66220	UBS AG	China Tel R Bull CBBC Aug 2013 A	0.250	3.7	4	2013/08/05	100,000,000	2013/01/09
66219	UBS AG	CUni R Bull CBBC Jul 2013 A	0.250	10.38	11.38	2013/07/08	100,000,000	2013/01/09
66215	UBS AG	CC Bank R Bull CBBC Jul 2013 E	0.250	5.7	6	2013/07/22	100,000,000	2013/01/09
66191	UBS AG	China Life R Bull CBBC Jul 2013 B	0.250	24.88	25.88	2013/07/15	100,000,000	2013/01/09
66187	UBS AG	China Life R Bear CBBC Sep 2013 A	0.425	30.88	29.88	2013/09/23	100,000,000	2013/01/09
66151	UBS AG	CNBM R Bull CBBC Jul 2013 B	0.250	9.58	10.38	2013/07/15	50,000,000	2013/01/09
66218	UBS AG	CNOOC R Bear CBBC Jul 2013 A	0.365	20.68	19.88	2013/07/22	100,000,000	2013/01/09
66228	UBS AG	Sinopec Corp R Bear CBBC Jul 2013 A	0.250	10.3	10	2013/07/29	100,000,000	2013/01/09
66195	UBS AG	CPIC R Bull CBBC Jul 2013 A	0.480	25.88	26.88	2013/07/29	50,000,000	2013/01/09
66213	UBS AG	China Shenhua R Bull CBBC Jul 2013 B	0.385	30.88	32.88	2013/07/15	100,000,000	2013/01/09
66185	UBS AG	A50 China R Bull CBBC Jun 2013 B	0.250	10.7	11	2013/06/03	100,000,000	2013/01/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66159	UBS AG	A50 China R Bear CBBC Aug 2013 A	0.250	13.3	13	2013/08/26	100,000,000	2013/01/09
66229	UBS AG	Galaxy Ent R Bull CBBC Jun 2013 A	0.355	27.38	28.38	2013/06/10	50,000,000	2013/01/09
66226	UBS AG	HKEx R Bull CBBC Jun 2013 B	0.250	124 per 100 units	130 per 100 units	2013/06/10	100,000,000	2013/01/09
66225	UBS AG	HKEx R Bear CBBC Jul 2013 A	0.290	166 per 100 units	160 per 100 units	2013/07/17	100,000,000	2013/01/09
66233	UBS AG	HSBC R Bull CBBC Jun 2013 B	0.250	77 per 100 units	80 per 100 units	2013/06/03	100,000,000	2013/01/09
66137	UBS AG	HSCEI R Bull CBBC Jul 2013 D	0.250	10,800 per 10,000 units	11,000 per 10,000 units	2013/07/30	100,000,000	2013/01/09
66130	UBS AG	HSCEI R Bear CBBC May 2013 A	0.250	14,200 per 10,000 units	14,000 per 10,000 units	2013/05/30	100,000,000	2013/01/09
66300	UBS AG	HSI R Bull CBBC May 2013 Y	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66304	UBS AG	HSI R Bull CBBC May 2013 Z	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/05/30	300,000,000	2013/01/09
66308	UBS AG	HSI R Bull CBBC Jun 2013 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66297	UBS AG	HSI R Bull CBBC Jun 2013 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66301	UBS AG	HSI R Bull CBBC Jun 2013 X	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66294	UBS AG	HSI R Bull CBBC Sep 2013 J	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2013/09/27	300,000,000	2013/01/09
66295	UBS AG	HSI R Bull CBBC Oct 2013 B	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2013/10/30	300,000,000	2013/01/09
66126	UBS AG	HSI R Bear CBBC Apr 2013 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/04/29	300,000,000	2013/01/09
65974	UBS AG	HSI R Bear CBBC Apr 2013 U	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/04/29	300,000,000	2013/01/09
65973	UBS AG	HSI R Bear CBBC May 2013 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/05/30	300,000,000	2013/01/09
65985	UBS AG	HSI R Bear CBBC Jun 2013 L	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/06/27	300,000,000	2013/01/09
66325	UBS AG	HSI R Bear CBBC Aug 2013 F	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2013/08/29	300,000,000	2013/01/09
66327	UBS AG	HSI R Bear CBBC Aug 2013 G	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2013/08/29	300,000,000	2013/01/09
66320	UBS AG	HSI R Bear CBBC Sep 2013 D	0.270	26,000 per 10,000 units	25,800 per 10,000 units	2013/09/27	300,000,000	2013/01/09
66326	UBS AG	HSI R Bear CBBC Sep 2013 E	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2013/09/27	300,000,000	2013/01/09
66328	UBS AG	HSI R Bear CBBC Oct 2013 D	0.340	26,700 per 10,000 units	26,500 per 10,000 units	2013/10/30	300,000,000	2013/01/09
66317	UBS AG	HSI R Bear CBBC Nov 2013 B	0.250	27,700 per 38,000 units	27,300 per 38,000 units	2013/11/28	300,000,000	2013/01/09
66231	UBS AG	Hutchison R Bear CBBC Apr 2013 A	0.250	88 per 100 units	85 per 100 units	2013/04/22	100,000,000	2013/01/09
66207	UBS AG	ICBC R Bear CBBC Aug 2013 A	0.250	7.3	7	2013/08/19	100,000,000	2013/01/09
66224	UBS AG	Li & Fung R Bull CBBC Jun 2013 A	0.250	11.88	12.88	2013/06/14	50,000,000	2013/01/09
66138	UBS AG	Lenovo R Bull CBBC Aug 2013 B	0.250	6	6.5	2013/08/26	50,000,000	2013/01/09
66196	UBS AG	Ping An R Bull CBBC Aug 2013 C	0.250	60.20 per 100 units	65 per 100 units	2013/08/26	100,000,000	2013/01/09
66205	UBS AG	Sands China R Bull CBBC Aug 2013 A	0.370	30.88	32.88	2013/08/12	50,000,000	2013/01/09
66230	UBS AG	SHK Ppt R Bear CBBC Jun 2013 A	0.265	144 per 100 units	140 per 100 units	2013/06/24	100,000,000	2013/01/09
66221	UBS AG	TCH R Bull CBBC Jun 2013 E	0.250	242 per 100 units	250 per 100 units	2013/06/17	100,000,000	2013/01/09
66601	BOCI Asia Ltd.	HSI R Bull CBBC Aug 2013 A	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/08/29	100,000,000	2013/01/10
66605	BOCI Asia Ltd.	HSI R Bull CBBC Sep 2013 A	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/01/10
66753	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2013 E	0.250	12.9	12.6	2013/12/30	200,000,000	2013/01/10
66749	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 E	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/04/29	200,000,000	2013/01/10
66750	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 G	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2013/05/30	200,000,000	2013/01/10
66751	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 H	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2013/05/30	200,000,000	2013/01/10
66607	Credit Suisse AG	HSI R Bull CBBC Sep 2013 L	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	350,000,000	2013/01/10
66610	Credit Suisse AG	HSI R Bull CBBC Sep 2013 M	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/09/27	350,000,000	2013/01/10
66613	Credit Suisse AG	HSI R Bull CBBC Sep 2013 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	350,000,000	2013/01/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66614	Credit Suisse AG	HSI R Bull CBBC Sep 2013 O	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/09/27	350,000,000	2013/01/10
66616	Credit Suisse AG	HSI R Bull CBBC Sep 2013 P	0.250	23,198 per 10,000 units	23,398 per 10,000 units	2013/09/27	350,000,000	2013/01/10
66636	Credit Suisse AG	HSI R Bull CBBC Oct 2013 A	0.250	23,170 per 12,000 units	23,370 per 12,000 units	2013/10/30	350,000,000	2013/01/10
66480	Credit Suisse AG	HSI R Bear CBBC Apr 2013 F	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2013/04/29	350,000,000	2013/01/10
66453	Credit Suisse AG	HSI R Bear CBBC Apr 2013 M	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2013/04/29	350,000,000	2013/01/10
66479	Credit Suisse AG	HSI R Bear CBBC Apr 2013 N	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2013/04/29	350,000,000	2013/01/10
66535	Credit Suisse AG	HSI R Bear CBBC May 2013 Q	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2013/05/30	350,000,000	2013/01/10
66537	Credit Suisse AG	HSI R Bear CBBC May 2013 R	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2013/05/30	350,000,000	2013/01/10
66539	Credit Suisse AG	HSI R Bear CBBC May 2013 S	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2013/05/30	350,000,000	2013/01/10
66542	Credit Suisse AG	HSI R Bear CBBC May 2013 T	0.270	25,850 per 10,000 units	25,650 per 10,000 units	2013/05/30	350,000,000	2013/01/10
66606	Credit Suisse AG	HSI R Bear CBBC May 2013 U	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/05/30	350,000,000	2013/01/10
66487	Credit Suisse AG	HSI R Bear CBBC Jun 2013 M	0.350	26,700 per 10,000 units	26,500 per 10,000 units	2013/06/27	350,000,000	2013/01/10
66518	Credit Suisse AG	HSI R Bear CBBC Jun 2013 N	0.300	26,150 per 10,000 units	25,950 per 10,000 units	2013/06/27	350,000,000	2013/01/10
66740	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 J	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/12/30	150,000,000	2013/01/10
66746	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 H	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/06/27	150,000,000	2013/01/10
66743	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jun 2013 Z	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/06/27	150,000,000	2013/01/10
66779	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 A	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2013/07/30	150,000,000	2013/01/10
66450	HK Bank	China Life R Bull CBBC Sep 2013 A	0.320	23.98	24.98	2013/09/16	60,000,000	2013/01/10
66444	HK Bank	A50 R Bull CBBC Sep 2013 D	0.250	10.78	11.08	2013/09/30	100,000,000	2013/01/10
66452	HK Bank	Galaxy Ent R Bull CBBC Aug 2013 B	0.310	28.38	29.38	2013/08/19	40,000,000	2013/01/10
66449	HK Bank	HKEx R Bull CBBC Nov 2013 A	0.250	124.88 per 100 units	130.88 per 100 units	2013/11/25	60,000,000	2013/01/10
66422	HK Bank	HSI R Bull CBBC Oct 2013 B	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2013/10/30	100,000,000	2013/01/10
66432	HK Bank	HSI R Bull CBBC Dec 2013 A	0.250	21,728 per 20,000 units	22,028 per 20,000 units	2013/12/30	400,000,000	2013/01/10
66545	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 V	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66780	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 X	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66548	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 W	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/04/29	300,000,000	2013/01/10
66560	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 X	0.255	25,000 per 10,000 units	24,800 per 10,000 units	2013/04/29	300,000,000	2013/01/10
66781	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 Y	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/04/29	300,000,000	2013/01/10
66555	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 M	0.270	24,900 per 10,000 units	24,700 per 10,000 units	2013/05/30	300,000,000	2013/01/10
66581	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 N	0.300	25,100 per 10,000 units	24,900 per 10,000 units	2013/05/30	300,000,000	2013/01/10
66583	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 C	0.340	25,200 per 10,000 units	25,000 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66586	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 D	0.365	25,400 per 10,000 units	25,200 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66589	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 E	0.395	25,600 per 10,000 units	25,400 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66590	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 F	0.420	25,800 per 10,000 units	25,600 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66732	UBS AG	HSCEI R Bull CBBC Jun 2013 E	0.250	11,300 per 10,000 units	11,500 per 10,000 units	2013/06/27	100,000,000	2013/01/10
66642	UBS AG	HSI R Bull CBBC Jul 2013 D	0.250	23,198 per 10,000 units	23,398 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66729	UBS AG	HSI R Bull CBBC Jul 2013 G	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66730	UBS AG	HSI R Bull CBBC Jul 2013 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66726	UBS AG	HSI R Bull CBBC Aug 2013 T	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/01/10
66728	UBS AG	HSI R Bull CBBC Aug 2013 U	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/08/29	300,000,000	2013/01/10
66698	UBS AG	HSI R Bear CBBC Apr 2013 N	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/04/29	300,000,000	2013/01/10
66683	UBS AG	HSI R Bear CBBC May 2013 B	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2013/05/30	300,000,000	2013/01/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66599	UBS AG	HSI R Bear CBBC May 2013 F	0.250	23,512 per 10,000 units	23,312 per 10,000 units	2013/05/30	300,000,000	2013/01/10
66679	UBS AG	HSI R Bear CBBC Jun 2013 M	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66680	UBS AG	HSI R Bear CBBC Jun 2013 N	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66721	UBS AG	HSI R Bear CBBC Jun 2013 O	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66722	UBS AG	HSI R Bear CBBC Jun 2013 P	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66723	UBS AG	HSI R Bear CBBC Jun 2013 Q	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2013/06/27	300,000,000	2013/01/10
66667	UBS AG	HSI R Bear CBBC Jul 2013 H	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66699	UBS AG	HSI R Bear CBBC Jul 2013 I	0.290	26,200 per 10,000 units	26,000 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66715	UBS AG	HSI R Bear CBBC Jul 2013 J	0.260	25,900 per 10,000 units	25,700 per 10,000 units	2013/07/30	300,000,000	2013/01/10
66711	UBS AG	HSI R Bear CBBC Aug 2013 H	0.280	26,100 per 10,000 units	25,900 per 10,000 units	2013/08/29	300,000,000	2013/01/10
65328 #	HK Bank	China Mobile R Bull CBBC Jun 2013 B	0.078	84.38 per 100 units	86.88 per 100 units	2013/06/24	200,000,000	2013/01/10
67006	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 K	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/04/29	200,000,000	2013/01/11
66885	Credit Suisse AG	A50 China R Bull CBBC Jun 2013 A	0.250	10.2	10.5	2013/06/28	50,000,000	2013/01/11
66886	Credit Suisse AG	A50 China R Bull CBBC Jun 2013 B	0.250	10.5	10.8	2013/06/28	50,000,000	2013/01/11
66887	Credit Suisse AG	A50 China R Bull CBBC Jun 2013 C	0.250	10.9	11.2	2013/06/28	50,000,000	2013/01/11
66890	Credit Suisse AG	A50 China R Bear CBBC Jun 2013 A	0.250	13.28	12.98	2013/06/28	50,000,000	2013/01/11
66891	Credit Suisse AG	A50 China R Bear CBBC Jun 2013 B	0.250	13.8	13.5	2013/06/28	50,000,000	2013/01/11
66892	Credit Suisse AG	HSI R Bull CBBC Jul 2013 B	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/07/30	350,000,000	2013/01/11
66893	Credit Suisse AG	HSI R Bull CBBC Jul 2013 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/07/30	350,000,000	2013/01/11
66900	Credit Suisse AG	HSI R Bull CBBC Jul 2013 Y	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/07/30	350,000,000	2013/01/11
66895	Credit Suisse AG	HSI R Bull CBBC Jul 2013 Z	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/07/30	350,000,000	2013/01/11
66989	Credit Suisse AG	HSI R Bear CBBC May 2013 V	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	350,000,000	2013/01/11
66992	Credit Suisse AG	HSI R Bear CBBC May 2013 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/05/30	350,000,000	2013/01/11
67000	Credit Suisse AG	HSI R Bear CBBC May 2013 X	0.250	23,425 per 12,000 units	23,225 per 12,000 units	2013/05/30	350,000,000	2013/01/11
67011	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 A	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/11/28	150,000,000	2013/01/11
67012	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 B	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/11/28	150,000,000	2013/01/11
67009	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 B	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/07/30	150,000,000	2013/01/11
67010	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 C	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/07/30	150,000,000	2013/01/11
67004	HK Bank	China Mobile R Bull CBBC Jul 2013 B	0.250	86.38 per 100 units	88.88 per 100 units	2013/07/15	80,000,000	2013/01/11
66844	HK Bank	China Life R Bear CBBC Sep 2013 B	0.320	30.08	29.08	2013/09/30	50,000,000	2013/01/11
66815	HK Bank	HSI R Bull CBBC Nov 2013 D	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2013/11/28	100,000,000	2013/01/11
66838	HK Bank	HSI R Bear CBBC Oct 2013 D	0.250	25,388 per 15,000 units	25,188 per 15,000 units	2013/10/30	100,000,000	2013/01/11
66830	HK Bank	HSI R Bear CBBC Nov 2013 F	0.250	25,088 per 15,000 units	24,888 per 15,000 units	2013/11/28	100,000,000	2013/01/11
66843	HK Bank	HSI R Bear CBBC Dec 2013 B	0.250	26,328 per 20,000 units	26,028 per 20,000 units	2013/12/30	400,000,000	2013/01/11
67005	HK Bank	HSI R Bear CBBC Mar 2014 A	0.250	28,188 per 25,000 units	27,888 per 25,000 units	2014/03/28	400,000,000	2013/01/11
66884	HK Bank	TCH R Bull CBBC Oct 2013 A	0.250	240.88 per 100 units	248.88 per 100 units	2013/10/21	50,000,000	2013/01/11
66804	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 V	0.250	22,828 per 10,000 units	23,028 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66806	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 W	0.250	22,728 per 10,000 units	22,928 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66813	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 X	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66814	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 Y	0.250	22,528 per 10,000 units	22,728 per 10,000 units	2013/06/27	60,000,000	2013/01/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66797	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 J	0.250	24,688 per 10,000 units	24,488 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66799	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 K	0.250	24,588 per 10,000 units	24,388 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66802	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 L	0.250	24,488 per 10,000 units	24,288 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66782	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 O	0.250	24,788 per 10,000 units	24,588 per 10,000 units	2013/06/27	60,000,000	2013/01/11
66948	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 J	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/01/11
66950	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 O	0.315	25,300 per 10,000 units	25,100 per 10,000 units	2013/05/30	300,000,000	2013/01/11
66961	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 P	0.340	25,500 per 10,000 units	25,300 per 10,000 units	2013/05/30	300,000,000	2013/01/11
66977	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 Q	0.365	25,700 per 10,000 units	25,500 per 10,000 units	2013/05/30	300,000,000	2013/01/11
66981	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 G	0.460	26,200 per 10,000 units	26,000 per 10,000 units	2013/06/27	300,000,000	2013/01/11
66983	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 H	0.520	26,700 per 10,000 units	26,500 per 10,000 units	2013/06/27	300,000,000	2013/01/11
66987	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 I	0.570	27,200 per 10,000 units	27,000 per 10,000 units	2013/06/27	300,000,000	2013/01/11
66913	UBS AG	HSI R Bull CBBC May 2013 D	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/05/30	300,000,000	2013/01/11
66935	UBS AG	HSI R Bull CBBC Aug 2013 V	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/01/11
66941	UBS AG	HSI R Bull CBBC Aug 2013 W	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/08/29	300,000,000	2013/01/11
66910	UBS AG	HSI R Bear CBBC Jun 2013 R	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2013/06/27	300,000,000	2013/01/11
67007	UBS AG	HSI R Bear CBBC Jun 2013 S	0.250	23,425 per 10,000 units	23,225 per 10,000 units	2013/06/27	300,000,000	2013/01/11
66907	UBS AG	HSI R Bear CBBC Jul 2013 K	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2013/07/30	300,000,000	2013/01/11
66911	UBS AG	HSI R Bear CBBC Jul 2013 L	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2013/07/30	300,000,000	2013/01/11
66908	UBS AG	HSI R Bear CBBC Aug 2013 I	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2013/08/29	300,000,000	2013/01/11
66904	UBS AG	HSI R Bear CBBC Sep 2013 F	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2013/09/27	300,000,000	2013/01/11
67073	Credit Suisse AG	HSI R Bull CBBC Aug 2013 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/08/29	350,000,000	2013/01/14
67071	Credit Suisse AG	HSI R Bull CBBC Aug 2013 D	0.250	23,130 per 12,000 units	23,330 per 12,000 units	2013/08/29	350,000,000	2013/01/14
67029	Credit Suisse AG	HSI R Bear CBBC Jun 2013 O	0.250	23,533 per 12,000 units	23,333 per 12,000 units	2013/06/27	350,000,000	2013/01/14
67189	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/11/28	150,000,000	2013/01/14
67193	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 D	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/07/30	150,000,000	2013/01/14
67157	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 I	0.253	23,088 per 10,000 units	23,288 per 10,000 units	2013/04/29	150,000,000	2013/01/14
67171	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 J	0.253	22,638 per 20,000 units	22,838 per 20,000 units	2013/04/29	150,000,000	2013/01/14
67180	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 K	0.257	22,938 per 20,000 units	23,138 per 20,000 units	2013/04/29	150,000,000	2013/01/14
67027	HK Bank	ABC R Bull CBBC Nov 2013 C	0.250	3.38	3.63	2013/11/04	60,000,000	2013/01/14
67028	HK Bank	CUni R Bull CBBC Oct 2013 A	0.250	10.88	11.88	2013/10/28	40,000,000	2013/01/14
67022	HK Bank	HSI R Bull CBBC Aug 2013 G	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2013/08/29	100,000,000	2013/01/14
67023	HK Bank	HSI R Bear CBBC Sep 2013 F	0.250	25,588 per 15,000 units	25,388 per 15,000 units	2013/09/27	100,000,000	2013/01/14
67025	HK Bank	HSI R Bear CBBC Oct 2013 E	0.250	24,688 per 15,000 units	24,488 per 15,000 units	2013/10/30	100,000,000	2013/01/14
67024	HK Bank	HSI R Bear CBBC Feb 2014 A	0.250	25,928 per 20,000 units	25,628 per 20,000 units	2014/02/27	400,000,000	2013/01/14
67026	HK Bank	Hutchison R Bull CBBC Oct 2013 A	0.250	77.88 per 100 units	80.88 per 100 units	2013/10/07	60,000,000	2013/01/14
67066	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/07/30	300,000,000	2013/01/14
67085	UBS AG	CC Bank R Bear CBBC Aug 2013 A	0.250	7.8	7.5	2013/08/12	100,000,000	2013/01/14
67149	UBS AG	Cheung Kong R Bull CBBC Oct 2013 A	0.250	110 per 100 units	116 per 100 units	2013/10/21	100,000,000	2013/01/14
67080	UBS AG	CNBM R Bull CBBC Sep 2013 A	0.250	10.58	11.38	2013/09/16	50,000,000	2013/01/14
67094	UBS AG	HKEx R Bull CBBC Sep 2013 A	0.250	134 per 100 units	140 per 100 units	2013/09/23	100,000,000	2013/01/14
67092	UBS AG	HKEx R Bear CBBC Jul 2013 B	0.305	176 per 100 units	170 per 100 units	2013/07/29	100,000,000	2013/01/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67074	UBS AG	HSI R Bull CBBC Oct 2013 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/10/30	300,000,000	2013/01/14
67031	UBS AG	HSI R Bear CBBC Apr 2013 Q	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/04/29	300,000,000	2013/01/14
67096	UBS AG	Hutchison R Bull CBBC Jul 2013 C	0.250	77 per 100 units	80 per 100 units	2013/07/22	100,000,000	2013/01/14
67226	Credit Suisse AG	HSI R Bull CBBC Oct 2013 B	0.250	23,030 per 12,000 units	23,230 per 12,000 units	2013/10/30	350,000,000	2013/01/15
67241	Credit Suisse AG	HSI R Bear CBBC Apr 2013 S	0.250	23,312 per 12,000 units	23,112 per 12,000 units	2013/04/29	350,000,000	2013/01/15
67242	Credit Suisse AG	HSI R Bear CBBC Apr 2013 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/04/29	350,000,000	2013/01/15
67229	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 D	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/11/28	150,000,000	2013/01/15
67251	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 E	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/07/30	150,000,000	2013/01/15
67223	HK Bank	COVS R Bull CBBC Dec 2013 A	0.280	22.68	23.68	2013/12/23	40,000,000	2013/01/15
67211	HK Bank	Cheung Kong R Bear CBBC Aug 2013 B	0.250	138.88 per 100 units	132.88 per 100 units	2013/08/19	50,000,000	2013/01/15
67203	HK Bank	A50 R Bull CBBC Jun 2013 D	0.250	10.98	11.28	2013/06/17	100,000,000	2013/01/15
67207	HK Bank	A50 R Bear CBBC Aug 2013 C	0.250	13.58	13.28	2013/08/12	50,000,000	2013/01/15
67205	HK Bank	A50 R Bear CBBC Oct 2013 B	0.280	14.18	13.88	2013/10/21	50,000,000	2013/01/15
67221	HK Bank	Galaxy Ent R Bear CBBC Nov 2013 A	0.370	35.68	34.68	2013/11/25	40,000,000	2013/01/15
67201	HK Bank	HSI R Bear CBBC Oct 2013 F	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2013/10/30	100,000,000	2013/01/15
67220	HK Bank	SHK Ppt R Bull CBBC Oct 2013 A	0.250	111.68 per 100 units	115.68 per 100 units	2013/10/28	60,000,000	2013/01/15
67250	Standard Chartered Bank	HSI R Bull CBBC Jun 2013 A	0.380	19,800 per 10,000 units	20,000 per 10,000 units	2013/06/27	50,000,000	2013/01/15
67230	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 L	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/07/30	200,000,000	2013/01/15
67238	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/04/29	200,000,000	2013/01/15
67256	UBS AG	A50 China R Bear CBBC Sep 2013 A	0.255	13.8	13.5	2013/09/16	100,000,000	2013/01/15
67227	UBS AG	HSI R Bull CBBC Oct 2013 D	0.250	23,129 per 10,000 units	23,329 per 10,000 units	2013/10/30	300,000,000	2013/01/15
67252	UBS AG	HSI R Bear CBBC Apr 2013 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/04/29	300,000,000	2013/01/15
67253	UBS AG	HSI R Bear CBBC May 2013 G	0.250	23,312 per 10,000 units	23,112 per 10,000 units	2013/05/30	300,000,000	2013/01/15
67362	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	200,000,000	2013/01/16
67332	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 D	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/04/29	200,000,000	2013/01/16
67360	Credit Suisse AG	HSI R Bull CBBC Aug 2013 E	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/08/29	350,000,000	2013/01/16
67337	Credit Suisse AG	HSI R Bear CBBC Apr 2013 U	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/04/29	350,000,000	2013/01/16
67357	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 E	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/11/28	150,000,000	2013/01/16
67356	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 F	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	150,000,000	2013/01/16
67257	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 F	0.256	23,538 per 7,000 units	23,338 per 7,000 units	2013/04/29	150,000,000	2013/01/16
67261	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 G	0.257	23,438 per 10,000 units	23,238 per 10,000 units	2013/04/29	150,000,000	2013/01/16
67328	HK Bank	China Life R Bear CBBC Nov 2013 A	0.250	28.38	27.38	2013/11/25	50,000,000	2013/01/16
67326	HK Bank	HKEx R Bull CBBC Oct 2013 B	0.250	130.88 per 100 units	136.88 per 100 units	2013/10/07	60,000,000	2013/01/16
67327	HK Bank	HKEx R Bear CBBC Oct 2013 A	0.250	163.88 per 100 units	157.88 per 100 units	2013/10/28	50,000,000	2013/01/16
67325	HK Bank	HSI R Bear CBBC Oct 2013 G	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2013/10/30	100,000,000	2013/01/16
67331	HK Bank	Li & Fung R Bull CBBC Sep 2013 A	0.250	12.08	13.08	2013/09/30	40,000,000	2013/01/16
67330	HK Bank	Sands China R Bull CBBC Sep 2013 B	0.250	30.88 per 100 units	33.88 per 100 units	2013/09/23	50,000,000	2013/01/16
67349	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 M	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/07/30	200,000,000	2013/01/16
67262	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 A	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/09/27	200,000,000	2013/01/16
67267	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 B	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/09/27	200,000,000	2013/01/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67294	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 C	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2013/09/27	200,000,000	2013/01/16
67297	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 D	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2013/09/27	200,000,000	2013/01/16
67302	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 E	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2013/09/27	200,000,000	2013/01/16
67303	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 J	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/06/27	200,000,000	2013/01/16
67308	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 K	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2013/06/27	200,000,000	2013/01/16
67320	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 L	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2013/06/27	200,000,000	2013/01/16
67321	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 M	0.255	24,388 per 10,000 units	24,288 per 10,000 units	2013/06/27	200,000,000	2013/01/16
67323	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 N	0.285	24,588 per 10,000 units	24,488 per 10,000 units	2013/06/27	200,000,000	2013/01/16
67354	UBS AG	China Life R Bull CBBC Aug 2013 A	0.265	23.88	24.88	2013/08/05	100,000,000	2013/01/16
67355	UBS AG	CNOOC R Bull CBBC Oct 2013 A	0.250	15.08	15.88	2013/10/15	100,000,000	2013/01/16
67361	UBS AG	HSI R Bull CBBC May 2013 F	0.250	23,018 per 10,000 units	23,218 per 10,000 units	2013/05/30	300,000,000	2013/01/16
67342	UBS AG	HSI R Bear CBBC Apr 2013 X	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/04/29	300,000,000	2013/01/16
65624 #	HK Bank	A50 R Bull CBBC Jul 2013 B	0.116	10.28	10.58	2013/07/08	180,000,000	2013/01/16
67397	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 E	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/12/30	200,000,000	2013/01/17
67400	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 F	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	200,000,000	2013/01/17
67379	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/04/29	200,000,000	2013/01/17
67418	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Q	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/09/27	350,000,000	2013/01/17
67419	Credit Suisse AG	HSI R Bull CBBC Sep 2013 R	0.250	23,219 per 10,000 units	23,419 per 10,000 units	2013/09/27	350,000,000	2013/01/17
67420	Credit Suisse AG	HSI R Bull CBBC Sep 2013 S	0.250	23,200 per 12,000 units	23,400 per 12,000 units	2013/09/27	350,000,000	2013/01/17
67382	Credit Suisse AG	HSI R Bear CBBC Apr 2013 G	0.250	23,420 per 10,000 units	23,220 per 10,000 units	2013/04/29	350,000,000	2013/01/17
67383	Credit Suisse AG	HSI R Bear CBBC Apr 2013 H	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/04/29	350,000,000	2013/01/17
67416	Credit Suisse AG	HSI R Bear CBBC May 2013 Y	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2013/05/30	350,000,000	2013/01/17
67414	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 F	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/11/28	150,000,000	2013/01/17
67415	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 G	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/11/28	150,000,000	2013/01/17
67387	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 G	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	150,000,000	2013/01/17
67388	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 H	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/07/30	150,000,000	2013/01/17
67396	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 I	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/07/30	150,000,000	2013/01/17
67421	Bank of East Asia	Lenovo R Bull CBBC Dec 2013 A	0.250	6.4	7	2013/12/30	60,000,000	2013/01/17
67454	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 H	0.255	23,838 per 7,000 units	23,638 per 7,000 units	2013/04/29	150,000,000	2013/01/17
67455	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 I	0.250	24,638 per 7,000 units	24,438 per 7,000 units	2013/04/29	150,000,000	2013/01/17
67456	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 J	0.252	24,138 per 10,000 units	23,938 per 10,000 units	2013/04/29	150,000,000	2013/01/17
67465	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 K	0.256	24,538 per 10,000 units	24,338 per 10,000 units	2013/04/29	150,000,000	2013/01/17
67466	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 L	0.255	24,738 per 10,000 units	24,538 per 10,000 units	2013/04/29	150,000,000	2013/01/17
67494	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 M	0.251	24,588 per 20,000 units	24,388 per 20,000 units	2013/04/29	150,000,000	2013/01/17
67499	Goldman Sachs SP (Asia)	HSI R Bear CBBC Apr 2013 N	0.254	25,138 per 20,000 units	24,938 per 20,000 units	2013/04/29	150,000,000	2013/01/17
67374	HK Bank	ABC R Bear CBBC Sep 2013 B	0.250	4.68	4.43	2013/09/09	40,000,000	2013/01/17
67364	HK Bank	COVS R Bear CBBC Oct 2013 A	0.480	29.88	28.88	2013/10/18	40,000,000	2013/01/17
67363	HK Bank	CNOOC R Bull CBBC Oct 2013 A	0.360	13.18	13.98	2013/10/28	50,000,000	2013/01/17
67376	HK Bank	Galaxy Ent R Bull CBBC Sep 2013 A	0.350	30.08	31.08	2013/09/30	40,000,000	2013/01/17
67375	HK Bank	SHK Ppt R Bear CBBC Aug 2013 A	0.250	138.88 per 100 units	134.88 per 100 units	2013/08/26	50,000,000	2013/01/17
67510	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/06/27	300,000,000	2013/01/17

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67513	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 V	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/01/17
67512	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 G	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/07/30	300,000,000	2013/01/17
67517	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 H	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/07/30	300,000,000	2013/01/17
67509	J P Morgan SP BV	HSI R Bear CBBC May 2013 B	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/01/17
67504	J P Morgan SP BV	HSI R Bear CBBC May 2013 Z	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/05/30	300,000,000	2013/01/17
67501	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 J	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2013/06/27	300,000,000	2013/01/17
67508	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 K	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/06/27	300,000,000	2013/01/17
67403	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 N	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2013/07/30	200,000,000	2013/01/17
67405	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 O	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/07/30	200,000,000	2013/01/17
67428	UBS AG	HSCEI R Bull CBBC Oct 2013 A	0.250	11,550 per 10,000 units	11,750 per 10,000 units	2013/10/30	100,000,000	2013/01/17
67444	UBS AG	HSI R Bull CBBC Jun 2013 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/06/27	300,000,000	2013/01/17
67443	UBS AG	HSI R Bull CBBC Jul 2013 H	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/01/17
67445	UBS AG	HSI R Bull CBBC Jul 2013 J	0.250	23,219 per 10,000 units	23,419 per 10,000 units	2013/07/30	300,000,000	2013/01/17
67453	UBS AG	HSI R Bull CBBC Jul 2013 K	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/07/30	300,000,000	2013/01/17
67385	UBS AG	HSI R Bear CBBC May 2013 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/05/30	300,000,000	2013/01/17
67384	UBS AG	HSI R Bear CBBC May 2013 I	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/01/17
67572	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/04/29	200,000,000	2013/01/18
67541	Credit Suisse AG	HSI R Bull CBBC Aug 2013 F	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/08/29	350,000,000	2013/01/18
67571	Credit Suisse AG	HSI R Bear CBBC May 2013 G	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	350,000,000	2013/01/18
67570	Credit Suisse AG	HSI R Bear CBBC May 2013 Z	0.250	23,465 per 10,000 units	23,265 per 10,000 units	2013/05/30	350,000,000	2013/01/18
67555	HK Bank	Anhui Conch R Bull CBBC Dec 2013 D	0.250	25.28 per 100 units	27.28 per 100 units	2013/12/18	40,000,000	2013/01/18
67559	HK Bank	CC Bank R Bear CBBC Dec 2013 A	0.250	7.68	7.38	2013/12/16	40,000,000	2013/01/18
67546	HK Bank	CNOOC R Bear CBBC Oct 2013 A	0.250	18.08	17.28	2013/10/28	40,000,000	2013/01/18
67554	HK Bank	Sinopec Corp R Bear CBBC Oct 2013 A	0.250	10.58	10.28	2013/10/15	40,000,000	2013/01/18
67545	HK Bank	HSI R Bull CBBC Sep 2013 E	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2013/09/27	100,000,000	2013/01/18
67556	HK Bank	Lenovo R Bull CBBC Sep 2013 A	0.250	6.68	7.18	2013/09/09	40,000,000	2013/01/18
67595	UBS AG	AIA R Bull CBBC Oct 2013 A	0.420	25.88	26.88	2013/10/07	100,000,000	2013/01/18
67560	UBS AG	HSI R Bull CBBC Aug 2013 X	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/08/29	300,000,000	2013/01/18
67587	UBS AG	HSI R Bear CBBC Jun 2013 T	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/06/27	300,000,000	2013/01/18
67601	UBS AG	Hutchison R Bear CBBC Jun 2013 A	0.250	93 per 100 units	90 per 100 units	2013/06/10	100,000,000	2013/01/18
67594	UBS AG	Sands China R Bull CBBC Sep 2013 A	0.250	27.88 per 100 units	30.88 per 100 units	2013/09/23	100,000,000	2013/01/18
65124 #	HK Bank	A50 R Bear CBBC Nov 2013 A	0.105	12.38	12.08	2013/11/18	200,000,000	2013/01/18
67675	Credit Suisse AG	HSI R Bull CBBC Aug 2013 G	0.250	23,221 per 10,000 units	23,421 per 10,000 units	2013/08/29	350,000,000	2013/01/21
67676	Credit Suisse AG	HSI R Bull CBBC Aug 2013 H	0.250	23,170 per 12,000 units	23,370 per 12,000 units	2013/08/29	350,000,000	2013/01/21
67656	Credit Suisse AG	HSI R Bear CBBC Apr 2013 A	0.250	24,290 per 10,000 units	24,090 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67633	Credit Suisse AG	HSI R Bear CBBC Apr 2013 V	0.250	24,390 per 10,000 units	24,190 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67647	Credit Suisse AG	HSI R Bear CBBC Apr 2013 W	0.250	24,790 per 10,000 units	24,590 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67649	Credit Suisse AG	HSI R Bear CBBC Apr 2013 X	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67651	Credit Suisse AG	HSI R Bear CBBC Apr 2013 Y	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67654	Credit Suisse AG	HSI R Bear CBBC Apr 2013 Z	0.250	24,090 per 10,000 units	23,890 per 10,000 units	2013/04/29	350,000,000	2013/01/21
67657	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 H	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/11/28	150,000,000	2013/01/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67663	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 J	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/07/30	150,000,000	2013/01/21
67666	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 K	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/07/30	150,000,000	2013/01/21
67629	HK Bank	AIA R Bull CBBC Nov 2013 A	0.250	27.88	28.88	2013/11/25	60,000,000	2013/01/21
67626	HK Bank	AIA R Bull CBBC Dec 2013 A	0.400	26.28	27.28	2013/12/23	60,000,000	2013/01/21
67610	HK Bank	BOCL R Bear CBBC Nov 2013 B	0.250	4.56	4.38	2013/11/04	40,000,000	2013/01/21
67613	HK Bank	China Tel R Bull CBBC Oct 2013 A	0.250	3.13	3.43	2013/10/28	40,000,000	2013/01/21
67603	HK Bank	A50 R Bear CBBC Aug 2013 D	0.250	11.78	11.48	2013/08/19	50,000,000	2013/01/21
67605	HK Bank	A50 R Bear CBBC Aug 2013 E	0.250	13.28	12.98	2013/08/19	50,000,000	2013/01/21
67607	HK Bank	ICBC R Bear CBBC Nov 2013 A	0.250	7.03	6.78	2013/11/04	40,000,000	2013/01/21
67667	UBS AG	HSI R Bull CBBC Sep 2013 K	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/09/27	300,000,000	2013/01/21
67669	UBS AG	HSI R Bull CBBC Sep 2013 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/09/27	300,000,000	2013/01/21
67632	UBS AG	HSI R Bear CBBC Apr 2013 Y	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/04/29	300,000,000	2013/01/21
67670	UBS AG	Ping An R Bear CBBC Jun 2013 A	0.250	84.80 per 100 units	80 per 100 units	2013/06/17	100,000,000	2013/01/21
67696	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/04/29	200,000,000	2013/01/22
67699	Credit Suisse AG	HSI R Bull CBBC Jul 2013 J	0.250	23,190 per 10,000 units	23,390 per 10,000 units	2013/07/30	350,000,000	2013/01/22
67708	Credit Suisse AG	HSI R Bear CBBC May 2013 H	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/05/30	350,000,000	2013/01/22
67711	Credit Suisse AG	HSI R Bear CBBC May 2013 I	0.250	23,536 per 12,000 units	23,336 per 12,000 units	2013/05/30	350,000,000	2013/01/22
67703	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 L	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/07/30	150,000,000	2013/01/22
67705	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 M	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/07/30	150,000,000	2013/01/22
67707	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 N	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/07/30	150,000,000	2013/01/22
67693	HK Bank	CPIC R Bull CBBC Dec 2013 A	0.250	27.88 per 100 units	28.88 per 100 units	2013/12/18	40,000,000	2013/01/22
67695	HK Bank	Hutchison R Bear CBBC Nov 2013 A	0.250	97.88 per 100 units	94.88 per 100 units	2013/11/25	50,000,000	2013/01/22
67677	HK Bank	Li & Fung R Bull CBBC Oct 2013 A	0.340	8.48	9.48	2013/10/18	40,000,000	2013/01/22
67678	HK Bank	Li & Fung R Bull CBBC Nov 2013 A	0.250	9.98	10.98	2013/11/25	40,000,000	2013/01/22
67679	HK Bank	Li & Fung R Bear CBBC Nov 2013 A	0.270	14.28	13.28	2013/11/25	40,000,000	2013/01/22
67713	UBS AG	China Mobile R Bear CBBC Jun 2013 A	0.250	95.50 per 100 units	92.50 per 100 units	2013/06/17	100,000,000	2013/01/22
67712	UBS AG	HSI R Bear CBBC May 2013 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/05/30	300,000,000	2013/01/22
67714	UBS AG	Li & Fung R Bull CBBC Sep 2013 A	0.250	9.08	10.08	2013/09/16	50,000,000	2013/01/22
67715	UBS AG	SHK Ppt R Bull CBBC Dec 2013 A	0.250	112 per 100 units	116 per 100 units	2013/12/09	100,000,000	2013/01/22
67800	Credit Suisse AG	HSI R Bull CBBC Jul 2013 K	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/07/30	350,000,000	2013/01/23
67751	Credit Suisse AG	HSI R Bull CBBC Oct 2013 C	0.250	23,110 per 12,000 units	23,310 per 12,000 units	2013/10/30	350,000,000	2013/01/23
67802	Credit Suisse AG	HSI R Bear CBBC May 2013 A	0.250	23,580 per 12,000 units	23,380 per 12,000 units	2013/05/30	350,000,000	2013/01/23
67756	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/11/28	150,000,000	2013/01/23
67799	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 J	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/11/28	150,000,000	2013/01/23
67801	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 K	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/11/28	150,000,000	2013/01/23
67735	HK Bank	BOCL R Bull CBBC Nov 2013 B	0.250	3.3	3.48	2013/11/25	80,000,000	2013/01/23
67741	HK Bank	CC Bank R Bull CBBC Aug 2013 C	0.250	6.03	6.33	2013/08/26	80,000,000	2013/01/23
67725	HK Bank	China Life R Bull CBBC Jul 2013 D	0.250	24.98	25.98	2013/07/08	60,000,000	2013/01/23
67717	HK Bank	A50 R Bull CBBC Jul 2013 C	0.250	10.68	10.98	2013/07/22	100,000,000	2013/01/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67724	HK Bank	A50 R Bear CBBC Jul 2013 C	0.250	13.88	13.58	2013/07/22	50,000,000	2013/01/23
67726	HK Bank	HKEx R Bull CBBC Sep 2013 B	0.250	136.88 per 100 units	142.88 per 100 units	2013/09/16	60,000,000	2013/01/23
67727	HK Bank	HKEx R Bear CBBC Nov 2013 A	0.270	173.88 per 100 units	167.88 per 100 units	2013/11/25	50,000,000	2013/01/23
67765	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 U	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2013/06/27	200,000,000	2013/01/23
67775	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 V	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2013/06/27	200,000,000	2013/01/23
67776	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 X	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2013/06/27	200,000,000	2013/01/23
67759	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 A	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2013/08/29	200,000,000	2013/01/23
67760	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 B	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2013/08/29	200,000,000	2013/01/23
67792	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 C	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2013/04/29	200,000,000	2013/01/23
67793	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 D	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2013/04/29	200,000,000	2013/01/23
67794	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 E	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2013/04/29	200,000,000	2013/01/23
67795	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 F	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2013/04/29	200,000,000	2013/01/23
67796	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 G	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2013/04/29	200,000,000	2013/01/23
67803	UBS AG	Cheung Kong R Bear CBBC May 2013 B	0.260	156 per 100 units	150 per 100 units	2013/05/06	100,000,000	2013/01/23
67798	UBS AG	HSI R Bull CBBC Oct 2013 E	0.250	23,180 per 10,000 units	23,380 per 10,000 units	2013/10/30	300,000,000	2013/01/23
67916	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	200,000,000	2013/01/24
67832	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 O	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/04/29	200,000,000	2013/01/24
67828	Credit Suisse AG	HSI R Bull CBBC Sep 2013 T	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/09/27	350,000,000	2013/01/24
67853	Credit Suisse AG	HSI R Bear CBBC Apr 2013 B	0.250	23,480 per 12,000 units	23,280 per 12,000 units	2013/04/29	350,000,000	2013/01/24
67854	Credit Suisse AG	HSI R Bear CBBC Apr 2013 C	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/04/29	350,000,000	2013/01/24
67850	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 O	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/07/30	150,000,000	2013/01/24
67852	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/07/30	150,000,000	2013/01/24
67907	Bank of East Asia	COVS R Bear CBBC Nov 2013 A	0.280	27.2	26.6	2013/11/25	60,000,000	2013/01/24
67891	Bank of East Asia	CPIC R Bull CBBC Dec 2013 A	0.400	27	28	2013/12/11	60,000,000	2013/01/24
67896	Bank of East Asia	HKEx R Bull CBBC Dec 2013 A	0.250	130 per 100 units	135 per 100 units	2013/12/11	60,000,000	2013/01/24
67878	Bank of East Asia	Ping An R Bull CBBC Dec 2013 A	0.250	56 per 100 units	60 per 100 units	2013/12/11	60,000,000	2013/01/24
67806	HK Bank	China Mobile R Bear CBBC Jul 2013 A	0.250	93.38 per 100 units	90.88 per 100 units	2013/07/15	50,000,000	2013/01/24
67822	HK Bank	Cheung Kong R Bull CBBC Nov 2013 A	0.250	116.88 per 100 units	122.88 per 100 units	2013/11/18	60,000,000	2013/01/24
67823	HK Bank	Cheung Kong R Bear CBBC Nov 2013 A	0.270	155.88 per 100 units	149.88 per 100 units	2013/11/18	50,000,000	2013/01/24
67812	HK Bank	China Shenhua R Bear CBBC Oct 2013 B	0.250	40.48 per 100 units	38.48 per 100 units	2013/10/28	40,000,000	2013/01/24
67827	HK Bank	A50 R Bull CBBC Aug 2013 E	0.250	10.88	11.18	2013/08/26	100,000,000	2013/01/24
67917	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 C	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2013/08/29	200,000,000	2013/01/24
67926	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 H	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/04/29	200,000,000	2013/01/24
67842	UBS AG	BOCL R Bull CBBC Nov 2013 A	0.250	3.32	3.5	2013/11/04	100,000,000	2013/01/24
67961	UBS AG	CC Bank R Bull CBBC Aug 2013 B	0.250	5.95	6.25	2013/08/05	100,000,000	2013/01/24
67946	UBS AG	Sinopec Corp R Bull CBBC Oct 2013 A	0.250	8.2	8.5	2013/10/07	100,000,000	2013/01/24
67959	UBS AG	Galaxy Ent R Bull CBBC Sep 2013 A	0.250	30.38	31.38	2013/09/09	50,000,000	2013/01/24
67840	UBS AG	HSCEI R Bull CBBC Sep 2013 A	0.250	11,050 per 10,000 units	11,250 per 10,000 units	2013/09/27	100,000,000	2013/01/24
67849	UBS AG	HSI R Bull CBBC Jul 2013 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/07/30	300,000,000	2013/01/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67859	UBS AG	HSI R Bear CBBC May 2013 K	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/01/24
67862	UBS AG	HSI R Bear CBBC May 2013 L	0.250	23,471 per 10,000 units	23,271 per 10,000 units	2013/05/30	300,000,000	2013/01/24
68023	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 H	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/12/30	200,000,000	2013/01/25
68025	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 I	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/12/30	200,000,000	2013/01/25
68089	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 J	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/12/30	200,000,000	2013/01/25
68048	Credit Suisse AG	HSI R Bull CBBC Sep 2013 U	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/09/27	350,000,000	2013/01/25
68050	Credit Suisse AG	HSI R Bull CBBC Sep 2013 V	0.250	23,401 per 10,000 units	23,601 per 10,000 units	2013/09/27	350,000,000	2013/01/25
68053	Credit Suisse AG	HSI R Bull CBBC Sep 2013 W	0.250	23,380 per 12,000 units	23,580 per 12,000 units	2013/09/27	350,000,000	2013/01/25
68010	Credit Suisse AG	HSI R Bear CBBC Apr 2013 D	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/04/29	350,000,000	2013/01/25
68018	Credit Suisse AG	HSI R Bear CBBC Apr 2013 J	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/04/29	350,000,000	2013/01/25
68045	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 L	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/11/28	150,000,000	2013/01/25
68046	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 M	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/11/28	150,000,000	2013/01/25
68099	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 N	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/11/28	150,000,000	2013/01/25
68101	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 O	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/11/28	150,000,000	2013/01/25
68001	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 Q	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/07/30	150,000,000	2013/01/25
68026	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 L	0.253	22,988 per 7,000 units	23,188 per 7,000 units	2013/04/29	150,000,000	2013/01/25
68027	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 M	0.253	23,188 per 7,000 units	23,388 per 7,000 units	2013/04/29	150,000,000	2013/01/25
68033	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 N	0.255	23,088 per 10,000 units	23,288 per 10,000 units	2013/04/29	150,000,000	2013/01/25
68037	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 O	0.252	23,288 per 10,000 units	23,488 per 10,000 units	2013/04/29	150,000,000	2013/01/25
68041	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 P	0.260	23,188 per 20,000 units	23,438 per 20,000 units	2013/04/29	150,000,000	2013/01/25
68083	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 A	0.250	23,028 per 10,000 units	23,228 per 10,000 units	2013/06/27	60,000,000	2013/01/25
68080	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 Z	0.250	22,928 per 10,000 units	23,128 per 10,000 units	2013/06/27	60,000,000	2013/01/25
68081	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 I	0.250	24,988 per 10,000 units	24,788 per 10,000 units	2013/06/27	60,000,000	2013/01/25
68082	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 M	0.250	24,888 per 10,000 units	24,688 per 10,000 units	2013/06/27	60,000,000	2013/01/25
67965	Standard Chartered Bank	HSI R Bear CBBC May 2013 A	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/05/30	50,000,000	2013/01/25
68019	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 D	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2013/08/29	200,000,000	2013/01/25
68021	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 E	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2013/08/29	200,000,000	2013/01/25
68022	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 F	0.250	23,388 per 10,000 units	23,488 per 10,000 units	2013/08/29	200,000,000	2013/01/25
68063	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 G	0.250	23,488 per 10,000 units	23,588 per 10,000 units	2013/08/29	200,000,000	2013/01/25
68102	UBS AG	HKEx R Bull CBBC Sep 2013 B	0.250	137 per 100 units	143 per 100 units	2013/09/30	100,000,000	2013/01/25
68008	UBS AG	HSI R Bull CBBC Sep 2013 M	0.250	22,788 per 12,000 units	22,988 per 12,000 units	2013/09/27	300,000,000	2013/01/25
68055	UBS AG	HSI R Bull CBBC Sep 2013 N	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/09/27	300,000,000	2013/01/25
68054	UBS AG	HSI R Bull CBBC Oct 2013 F	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/10/30	300,000,000	2013/01/25
68007	UBS AG	HSI R Bear CBBC Jun 2013 U	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/06/27	300,000,000	2013/01/25
68047	UBS AG	HSI R Bear CBBC Jun 2013 V	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/06/27	300,000,000	2013/01/25
65030 #	HK Bank	China Mobile R Bull CBBC Aug 2013 B	0.053	82.38 per 100 units	84.88 per 100 units	2013/08/05	200,000,000	2013/01/25
65356 #	HK Bank	HSI R Bear CBBC Oct 2013 B	0.105	25,328 per 20,000 units	25,028 per 20,000 units	2013/10/30	280,000,000	2013/01/25
68171	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2013 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/04/29	200,000,000	2013/01/28
68132	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 I	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2013/05/30	200,000,000	2013/01/28
68135	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 J	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2013/05/30	200,000,000	2013/01/28
68137	Credit Suisse AG	HSI R Bull CBBC Aug 2013 I	0.250	23,431 per 10,000 units	23,631 per 10,000 units	2013/08/29	350,000,000	2013/01/28
68142	Credit Suisse AG	HSI R Bull CBBC Aug 2013 M	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2013/08/29	350,000,000	2013/01/28
68123	Credit Suisse AG	HSI R Bear CBBC Apr 2013 E	0.250	23,802 per 10,000 units	23,602 per 10,000 units	2013/04/29	350,000,000	2013/01/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68124	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 P	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2013/11/28	150,000,000	2013/01/28
68125	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 R	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/07/30	150,000,000	2013/01/28
68126	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 S	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/07/30	150,000,000	2013/01/28
68131	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 T	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2013/07/30	150,000,000	2013/01/28
68122	HK Bank	HSI R Bull CBBC Aug 2013 H	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2013/08/29	100,000,000	2013/01/28
68103	HK Bank	HSI R Bear CBBC Nov 2013 G	0.250	25,788 per 15,000 units	25,588 per 15,000 units	2013/11/28	100,000,000	2013/01/28
68106	HK Bank	HSI R Bear CBBC Dec 2013 C	0.250	27,328 per 20,000 units	27,028 per 20,000 units	2013/12/30	400,000,000	2013/01/28
68109	HK Bank	HSI R Bear CBBC Dec 2013 D	0.250	29,188 per 25,000 units	28,888 per 25,000 units	2013/12/30	400,000,000	2013/01/28
68108	HK Bank	HSI R Bear CBBC Feb 2014 B	0.250	27,928 per 20,000 units	27,628 per 20,000 units	2014/02/27	400,000,000	2013/01/28
68149	UBS AG	Anhui Conch R Bull CBBC Dec 2013 A	0.250	25.38 per 100 units	27.38 per 100 units	2013/12/02	100,000,000	2013/01/28
68158	UBS AG	AIA R Bull CBBC Dec 2013 A	0.250	27.88 per 100 units	28.88 per 100 units	2013/12/16	100,000,000	2013/01/28
68157	UBS AG	China Shenhua R Bull CBBC Dec 2013 A	0.250	29 per 100 units	31 per 100 units	2013/12/09	100,000,000	2013/01/28
68144	UBS AG	HSI R Bull CBBC Aug 2013 Y	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/01/28
68143	UBS AG	HSI R Bull CBBC Oct 2013 G	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/10/30	300,000,000	2013/01/28
68145	UBS AG	HSI R Bull CBBC Oct 2013 H	0.250	23,431 per 10,000 units	23,631 per 10,000 units	2013/10/30	300,000,000	2013/01/28
68159	UBS AG	HSI R Bear CBBC Apr 2013 T	0.250	23,791 per 10,000 units	23,591 per 10,000 units	2013/04/29	300,000,000	2013/01/28
60774 #	HK Bank	HSI R Bear CBBC May 2013 C	0.116	26,288 per 25,000 units	25,888 per 25,000 units	2013/05/30	200,000,000	2013/01/28
68474 #	UBS AG	China Mobile R Bull CBBC Jun 2013 A	0.074	79.50 per 100 units	82.50 per 100 units	2013/06/17	140,000,000	2013/01/28
68254	Credit Suisse AG	HSI R Bull CBBC Oct 2013 D	0.250	23,458 per 10,000 units	23,658 per 10,000 units	2013/10/30	350,000,000	2013/01/29
68256	Credit Suisse AG	HSI R Bull CBBC Oct 2013 E	0.250	23,340 per 12,000 units	23,540 per 12,000 units	2013/10/30	350,000,000	2013/01/29
68186	Credit Suisse AG	HSI R Bear CBBC Apr 2013 O	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/04/29	350,000,000	2013/01/29
68234	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Q	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/11/28	150,000,000	2013/01/29
68201	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/07/30	150,000,000	2013/01/29
68206	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 V	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/07/30	150,000,000	2013/01/29
68173	Goldman Sachs SP (Asia)	HSI R Bull CBBC Apr 2013 Q	0.255	23,388 per 7,000 units	23,588 per 7,000 units	2013/04/29	150,000,000	2013/01/29
68185	HK Bank	China Mobile R Bull CBBC Dec 2013 A	0.250	69.38 per 100 units	71.88 per 100 units	2013/12/23	80,000,000	2013/01/29
68176	HK Bank	China Tel R Bull CBBC Dec 2013 A	0.250	3.78	4.08	2013/12/18	40,000,000	2013/01/29
68174	HK Bank	HSCEI R Bull CBBC Aug 2013 A	0.250	11,088 per 10,000 units	11,288 per 10,000 units	2013/08/29	100,000,000	2013/01/29
68175	HK Bank	ICBC R Bull CBBC Aug 2013 D	0.250	5.38	5.63	2013/08/05	80,000,000	2013/01/29
68184	HK Bank	PetCh R Bull CBBC Oct 2013 A	0.250	10.38	10.78	2013/10/28	50,000,000	2013/01/29
68183	HK Bank	TCH R Bull CBBC Nov 2013 A	0.250	249.88 per 100 units	257.88 per 100 units	2013/11/25	50,000,000	2013/01/29
68264	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 W	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/06/27	300,000,000	2013/01/29
68260	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 I	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/07/30	300,000,000	2013/01/29
68266	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 J	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/07/30	300,000,000	2013/01/29
68192	UBS AG	HKEx R Bull CBBC Oct 2013 A	0.250	129 per 100 units	135 per 100 units	2013/10/21	100,000,000	2013/01/29
68236	UBS AG	HSI R Bull CBBC Jun 2013 A	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/06/27	300,000,000	2013/01/29
68200	UBS AG	HSI R Bear CBBC Apr 2013 Z	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/04/29	300,000,000	2013/01/29
68322	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 K	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/05/30	200,000,000	2013/01/30
68349	Credit Suisse AG	HSI R Bull CBBC Nov 2013 A	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/11/28	350,000,000	2013/01/30
68350	Credit Suisse AG	HSI R Bull CBBC Nov 2013 B	0.250	23,406 per 12,000 units	23,606 per 12,000 units	2013/11/28	350,000,000	2013/01/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68308	Credit Suisse AG	HSI R Bear CBBC Apr 2013 S	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/04/29	350,000,000	2013/01/30
68348	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 W	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/07/30	150,000,000	2013/01/30
68271	HK Bank	HSCEI R Bull CBBC Nov 2013 B	0.250	11,688 per 10,000 units	11,888 per 10,000 units	2013/11/28	100,000,000	2013/01/30
68267	HK Bank	HSCEI R Bull CBBC Dec 2013 B	0.250	11,388 per 10,000 units	11,588 per 10,000 units	2013/12/30	100,000,000	2013/01/30
68274	HK Bank	HSCEI R Bear CBBC Nov 2013 A	0.250	13,488 per 10,000 units	13,288 per 10,000 units	2013/11/28	68,000,000	2013/01/30
68337	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 H	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2013/08/29	200,000,000	2013/01/30
68347	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr13 I	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2013/04/29	200,000,000	2013/01/30
68383	UBS AG	China Mobile R Bull CBBC Oct 2013 A	0.250	75 per 100 units	77.50 per 100 units	2013/10/07	100,000,000	2013/01/30
68386	UBS AG	China Mobile R Bull CBBC Nov 2013 A	0.250	72.50 per 100 units	75 per 100 units	2013/11/04	100,000,000	2013/01/30
68373	UBS AG	China Mobile R Bear CBBC May 2013 A	0.250	92.50 per 100 units	90 per 100 units	2013/05/06	100,000,000	2013/01/30
68394	UBS AG	Cheung Kong R Bull CBBC Oct 2013 B	0.250	117 per 100 units	123 per 100 units	2013/10/28	100,000,000	2013/01/30
68395	UBS AG	HSCEI R Bear CBBC May 2013 B	0.250	12,950 per 10,000 units	12,750 per 10,000 units	2013/05/30	100,000,000	2013/01/30
68372	UBS AG	HSI R Bull CBBC Aug 2013 Z	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2013/08/29	300,000,000	2013/01/30
68371	UBS AG	HSI R Bear CBBC May 2013 M	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/05/30	300,000,000	2013/01/30
68396	UBS AG	ICBC R Bear CBBC Jul 2013 B	0.250	6.5	6.25	2013/07/29	100,000,000	2013/01/30
64189 #	UBS AG	Evergrande R Bull CBBC Jul 2013 B	0.143	2.6	3	2013/07/29	100,000,000	2013/01/30
68434	Credit Suisse AG	HSI R Bull CBBC Oct 2013 F	0.250	22,880 per 12,000 units	23,080 per 12,000 units	2013/10/30	350,000,000	2013/01/31
68436	Credit Suisse AG	HSI R Bull CBBC Oct 2013 G	0.250	23,399 per 12,000 units	23,599 per 12,000 units	2013/10/30	350,000,000	2013/01/31
68437	Credit Suisse AG	HSI R Bear CBBC May 2013 J	0.250	23,828 per 12,000 units	23,628 per 12,000 units	2013/05/30	350,000,000	2013/01/31
68430	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 I	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2013/08/29	200,000,000	2013/01/31
68431	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 R	0.250	24,488 per 10,000 units	24,388 per 10,000 units	2013/05/30	200,000,000	2013/01/31
68405	UBS AG	ABC R Bear CBBC Aug 2013 A	0.250	4.75	4.5	2013/08/12	100,000,000	2013/01/31
68452	UBS AG	China Mobile R Bull CBBC Nov 2013 B	0.250	67.50 per 100 units	70 per 100 units	2013/11/18	100,000,000	2013/01/31
68397	UBS AG	HSI R Bull CBBC Aug 2013 A	0.250	23,435 per 10,000 units	23,635 per 10,000 units	2013/08/29	300,000,000	2013/01/31
68403	UBS AG	Hutchison R Bull CBBC Oct 2013 A	0.250	79.50 per 100 units	82.50 per 100 units	2013/10/21	100,000,000	2013/01/31
68398	UBS AG	ICBC R Bull CBBC Jul 2013 D	0.250	5.25	5.5	2013/07/22	100,000,000	2013/01/31
68455	UBS AG	Li & Fung R Bull CBBC Oct 2013 A	0.250	10.08	11.08	2013/10/21	100,000,000	2013/01/31
68453	UBS AG	Li & Fung R Bear CBBC Jul 2013 A	0.250	14.18	13.18	2013/07/08	100,000,000	2013/01/31
68450	UBS AG	Lenovo R Bull CBBC Dec 2013 A	0.250	6.88	7.38	2013/12/16	50,000,000	2013/01/31
68449	UBS AG	Lenovo R Bear CBBC Aug 2013 A	0.265	10.88	10.38	2013/08/26	50,000,000	2013/01/31
66732 #	UBS AG	HSCEI R Bull CBBC Jun 2013 E	0.076	11,300 per 10,000 units	11,500 per 10,000 units	2013/06/27	140,000,000	2013/01/31
68586	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 K	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/12/30	200,000,000	2013/02/01
68475	Credit Suisse AG	HSI R Bull CBBC Sep 2013 X	0.250	23,380 per 10,000 units	23,580 per 10,000 units	2013/09/27	350,000,000	2013/02/01
68585	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Y	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/09/27	350,000,000	2013/02/01
68607	Credit Suisse AG	HSI R Bear CBBC May 2013 B	0.250	23,707 per 10,000 units	23,507 per 10,000 units	2013/05/30	350,000,000	2013/02/01
69999	Credit Suisse AG	HSI R Bear CBBC May 2013 C	0.250	23,730 per 12,000 units	23,530 per 12,000 units	2013/05/30	350,000,000	2013/02/01
68590	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 R	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/11/28	150,000,000	2013/02/01
60018	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 X	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/07/30	150,000,000	2013/02/01
68606	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 A	0.258	23,838 per 7,000 units	23,638 per 7,000 units	2013/05/30	150,000,000	2013/02/01
68486	HK Bank	ABC R Bull CBBC Nov 2013 D	0.250	3.58	3.83	2013/11/25	60,000,000	2013/02/01
68488	HK Bank	ABC R Bear CBBC Nov 2013 B	0.250	5.03	4.78	2013/11/25	40,000,000	2013/02/01

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68480	HK Bank	Galaxy Ent R Bear CBBC Nov 2013 B	0.550	39.88	38.88	2013/11/28	40,000,000	2013/02/01
68478	HK Bank	HSI R Bull CBBC Oct 2013 C	0.250	22,328 per 20,000 units	22,628 per 20,000 units	2013/10/30	400,000,000	2013/02/01
68507	HK Bank	Lenovo R Bear CBBC Jun 2013 B	0.250	9.78	9.28	2013/06/03	40,000,000	2013/02/01
68521	HK Bank	SHK Ppt R Bull CBBC Aug 2013 A	0.250	118.18 per 100 units	122.18 per 100 units	2013/08/19	60,000,000	2013/02/01
68465	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 J	0.250	23,488 per 10,000 units	23,588 per 10,000 units	2013/08/29	200,000,000	2013/02/01
68602	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 K	0.250	23,388 per 10,000 units	23,488 per 10,000 units	2013/08/29	200,000,000	2013/02/01
68605	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 S	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2013/05/30	200,000,000	2013/02/01
60007	UBS AG	AIA R Bear CBBC Oct 2013 A	0.250	33.88 per 100 units	32.88 per 100 units	2013/10/07	100,000,000	2013/02/01
60009	UBS AG	CNOOC R Bull CBBC Jun 2013 A	0.250	14.58	15.38	2013/06/10	100,000,000	2013/02/01
60008	UBS AG	CNOOC R Bear CBBC May 2013 A	0.250	17.68	16.88	2013/05/06	100,000,000	2013/02/01
60004	UBS AG	HSCEI R Bear CBBC May 2013 C	0.250	12,450 per 10,000 units	12,250 per 10,000 units	2013/05/30	100,000,000	2013/02/01
68588	UBS AG	HSI R Bull CBBC Sep 2013 O	0.250	23,398 per 10,000 units	23,598 per 10,000 units	2013/09/27	300,000,000	2013/02/01
60003	UBS AG	HSI R Bear CBBC May 2013 S	0.250	23,707 per 10,000 units	23,507 per 10,000 units	2013/05/30	300,000,000	2013/02/01
60006	UBS AG	ICBC R Bull CBBC Jul 2013 E	0.250	5.5	5.75	2013/07/08	100,000,000	2013/02/01
60013	UBS AG	Petrochina R Bull CBBC Oct 2013 A	0.250	9.98	10.38	2013/10/21	100,000,000	2013/02/01
60005	UBS AG	Sands China R Bull CBBC Oct 2013 A	0.250	31.88 per 100 units	34.88 per 100 units	2013/10/07	100,000,000	2013/02/01
60017	UBS AG	TCH R Bull CBBC Jul 2013 C	0.250	252 per 100 units	260 per 100 units	2013/07/15	100,000,000	2013/02/01
64157 #	HK Bank	China Mobile R Bull CBBC Oct 2013 A	0.104	73.78 per 100 units	76.28 per 100 units	2013/10/21	200,000,000	2013/02/01
64162 #	HK Bank	China Mobile R Bull CBBC Oct 2013 B	0.087	75.58 per 100 units	78.08 per 100 units	2013/10/07	200,000,000	2013/02/01
60047	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 L	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/12/30	200,000,000	2013/02/04
60050	Credit Suisse AG	HSI R Bull CBBC Sep 2013 E	0.250	23,460 per 12,000 units	23,660 per 12,000 units	2013/09/27	350,000,000	2013/02/04
60048	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Z	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2013/09/27	350,000,000	2013/02/04
60045	Credit Suisse AG	HSI R Bear CBBC May 2013 D	0.250	23,880 per 12,000 units	23,680 per 12,000 units	2013/05/30	350,000,000	2013/02/04
60044	Credit Suisse AG	HSI R Bear CBBC May 2013 F	0.250	23,781 per 10,000 units	23,581 per 10,000 units	2013/05/30	350,000,000	2013/02/04
60073	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 S	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/11/28	150,000,000	2013/02/04
60074	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 T	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/11/28	150,000,000	2013/02/04
60028	HK Bank	China Mobile R Bull CBBC Aug 2013 C	0.250	71.38 per 100 units	73.88 per 100 units	2013/08/05	80,000,000	2013/02/04
60037	HK Bank	China Mobile R Bull CBBC Nov 2013 A	0.250	65.38 per 100 units	67.88 per 100 units	2013/11/25	80,000,000	2013/02/04
60039	HK Bank	China Mobile R Bear CBBC Oct 2013 A	0.250	90.38 per 100 units	87.88 per 100 units	2013/10/28	50,000,000	2013/02/04
60025	HK Bank	HSCEI R Bear CBBC Feb 2014 A	0.250	13,788 per 10,000 units	13,588 per 10,000 units	2014/02/27	68,000,000	2013/02/04
60041	HK Bank	Hutchison R Bull CBBC Nov 2013 A	0.250	80.48 per 100 units	83.48 per 100 units	2013/11/25	60,000,000	2013/02/04
60042	HK Bank	Lenovo R Bull CBBC Oct 2013 B	0.250	7.08	7.58	2013/10/28	40,000,000	2013/02/04
60093	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 X	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/06/27	300,000,000	2013/02/04
60095	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 K	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/07/30	300,000,000	2013/02/04
60091	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 L	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2013/06/27	300,000,000	2013/02/04
60092	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 M	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2013/06/27	300,000,000	2013/02/04
60046	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 L	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2013/08/29	200,000,000	2013/02/04
60059	UBS AG	China Tel R Bear CBBC Aug 2013 A	0.250	4.8	4.5	2013/08/12	100,000,000	2013/02/04
60058	UBS AG	CUni R Bull CBBC Jul 2013 B	0.295	9.38	10.38	2013/07/22	100,000,000	2013/02/04
60068	UBS AG	Galaxy Ent R Bull CBBC Oct 2013 A	0.250	31.88	32.88	2013/10/21	40,000,000	2013/02/04
60072	UBS AG	HSBC R Bear CBBC Sep 2013 A	0.250	103 per 100 units	100 per 100 units	2013/09/09	100,000,000	2013/02/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60056	UBS AG	HSI R Bull CBBC Oct 2013 I	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2013/10/30	300,000,000	2013/02/04
60043	UBS AG	HSI R Bear CBBC Jun 2013 W	0.250	23,781 per 10,000 units	23,581 per 10,000 units	2013/06/27	300,000,000	2013/02/04
60057	UBS AG	HSI R Bear CBBC Jun 2013 X	0.250	24,188 per 12,000 units	23,988 per 12,000 units	2013/06/27	300,000,000	2013/02/04
60069	UBS AG	Hutchison R Bear CBBC Jul 2013 A	0.250	98 per 100 units	95 per 100 units	2013/07/15	100,000,000	2013/02/04
60071	UBS AG	Hutchison R Bear CBBC Aug 2013 A	0.250	103 per 100 units	100 per 100 units	2013/08/05	100,000,000	2013/02/04
60053	UBS AG	SHK Ppt R Bear CBBC Sep 2013 A	0.255	154 per 100 units	150 per 100 units	2013/09/16	100,000,000	2013/02/04
60105	Credit Suisse AG	HSI R Bull CBBC Aug 2013 S	0.250	23,468 per 10,000 units	23,668 per 10,000 units	2013/08/29	350,000,000	2013/02/05
60106	Credit Suisse AG	HSI R Bear CBBC May 2013 K	0.250	23,860 per 10,000 units	23,660 per 10,000 units	2013/05/30	350,000,000	2013/02/05
60096	UBS AG	HSI R Bull CBBC Jun 2013 B	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/06/27	300,000,000	2013/02/05
60107	UBS AG	HSI R Bear CBBC Jul 2013 M	0.250	23,856 per 10,000 units	23,656 per 10,000 units	2013/07/30	300,000,000	2013/02/05
64127 #	HK Bank	HSI R Bear CBBC Nov 2013 A	0.050	24,488 per 15,000 units	24,288 per 15,000 units	2013/11/28	200,000,000	2013/02/05
65811 #	HK Bank	ICBC R Bull CBBC Sep 2013 B	0.076	5.08	5.33	2013/09/30	140,000,000	2013/02/05
67025 #	HK Bank	HSI R Bear CBBC Oct 2013 E	0.066	24,688 per 15,000 units	24,488 per 15,000 units	2013/10/30	180,000,000	2013/02/05
60204	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 D	0.250	10.9	11.2	2013/12/30	200,000,000	2013/02/06
60205	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 E	0.250	10.6	10.9	2013/12/30	200,000,000	2013/02/06
60206	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 M	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2013/12/30	300,000,000	2013/02/06
60207	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 N	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2013/12/30	300,000,000	2013/02/06
60167	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 L	0.300	26,200 per 10,000 units	26,000 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60168	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 M	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60169	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 N	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60170	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 O	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60171	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 P	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60287	Credit Suisse AG	HSI R Bull CBBC Sep 2013 F	0.250	23,622 per 10,000 units	23,822 per 10,000 units	2013/09/27	350,000,000	2013/02/06
60288	Credit Suisse AG	HSI R Bull CBBC Sep 2013 K	0.250	23,590 per 12,000 units	23,790 per 12,000 units	2013/09/27	350,000,000	2013/02/06
60249	Credit Suisse AG	HSI R Bull CBBC Oct 2013 H	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2013/10/30	350,000,000	2013/02/06
60186	Credit Suisse AG	HSI R Bear CBBC May 2013 U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/05/30	350,000,000	2013/02/06
60192	Credit Suisse AG	HSI R Bear CBBC May 2013 V	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/05/30	350,000,000	2013/02/06
60211	Credit Suisse AG	HSI R Bear CBBC May 2013 W	0.270	26,400 per 10,000 units	26,200 per 10,000 units	2013/05/30	350,000,000	2013/02/06
60251	Credit Suisse AG	HSI R Bear CBBC May 2013 X	0.250	24,590 per 10,000 units	24,390 per 10,000 units	2013/05/30	350,000,000	2013/02/06
60182	Credit Suisse AG	HSI R Bear CBBC Jun 2013 P	0.250	23,856 per 12,000 units	23,656 per 12,000 units	2013/06/27	350,000,000	2013/02/06
60240	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Q	0.345	27,100 per 10,000 units	26,900 per 10,000 units	2013/06/27	350,000,000	2013/02/06
60252	Credit Suisse AG	HSI R Bear CBBC Jun 2013 R	0.250	24,690 per 10,000 units	24,490 per 10,000 units	2013/06/27	350,000,000	2013/02/06
60254	Credit Suisse AG	HSI R Bear CBBC Jun 2013 S	0.250	24,890 per 10,000 units	24,690 per 10,000 units	2013/06/27	350,000,000	2013/02/06
60264	Credit Suisse AG	HSI R Bear CBBC Jun 2013 T	0.250	25,090 per 10,000 units	24,890 per 10,000 units	2013/06/27	350,000,000	2013/02/06
60265	Credit Suisse AG	HSI R Bear CBBC Jun 2013 U	0.250	25,190 per 10,000 units	24,990 per 10,000 units	2013/06/27	350,000,000	2013/02/06
60250	Credit Suisse AG	HSI R Bear CBBC Jul 2013 O	0.250	24,490 per 10,000 units	24,290 per 10,000 units	2013/07/30	350,000,000	2013/02/06
60255	Credit Suisse AG	HSI R Bear CBBC Jul 2013 P	0.250	24,990 per 10,000 units	24,790 per 10,000 units	2013/07/30	350,000,000	2013/02/06
60239	Credit Suisse AG	HSI R Bear CBBC Aug 2013 I	0.325	26,900 per 10,000 units	26,700 per 10,000 units	2013/08/29	350,000,000	2013/02/06
60248	Credit Suisse AG	HSI R Bear CBBC Aug 2013 J	0.320	27,500 per 12,000 units	27,300 per 12,000 units	2013/08/29	350,000,000	2013/02/06
60285	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 U	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2013/11/28	150,000,000	2013/02/06
60286	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 V	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2013/11/28	150,000,000	2013/02/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60315	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 W	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2013/11/28	150,000,000	2013/02/06
60160	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/07/30	150,000,000	2013/02/06
60161	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 D	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2013/07/30	150,000,000	2013/02/06
60162	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 E	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2013/07/30	150,000,000	2013/02/06
60149	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 Y	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/07/30	150,000,000	2013/02/06
60151	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 Z	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/07/30	150,000,000	2013/02/06
60278	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 A	0.255	23,588 per 7,000 units	23,788 per 7,000 units	2013/05/30	150,000,000	2013/02/06
60280	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 B	0.253	23,488 per 10,000 units	23,688 per 10,000 units	2013/05/30	150,000,000	2013/02/06
60283	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 C	0.252	23,488 per 20,000 units	23,738 per 20,000 units	2013/05/30	150,000,000	2013/02/06
60279	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 B	0.258	24,888 per 7,000 units	24,688 per 7,000 units	2013/05/30	150,000,000	2013/02/06
60281	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 C	0.260	24,988 per 10,000 units	24,788 per 10,000 units	2013/05/30	150,000,000	2013/02/06
60121	HK Bank	CUni R Bear CBBC Nov 2013 A	0.250	14.48	13.48	2013/11/25	40,000,000	2013/02/06
60115	HK Bank	CNBM R Bull CBBC Oct 2013 A	0.250	10.88	11.68	2013/10/28	50,000,000	2013/02/06
60116	HK Bank	CNBM R Bear CBBC Dec 2013 A	0.310	15.18	14.38	2013/12/23	50,000,000	2013/02/06
60120	HK Bank	Sinopec Corp R Bull CBBC Nov 2013 A	0.250	8.58	8.88	2013/11/18	60,000,000	2013/02/06
60108	HK Bank	A50 R Bull CBBC Sep 2013 E	0.250	11.08	11.38	2013/09/30	100,000,000	2013/02/06
60117	HK Bank	Sands China R Bull CBBC Nov 2013 A	0.250	32.98 per 100 units	35.98 per 100 units	2013/11/25	50,000,000	2013/02/06
60301	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 B	0.250	23,428 per 10,000 units	23,628 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60309	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 C	0.250	23,328 per 10,000 units	23,528 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60310	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jun 2013 E	0.250	23,228 per 10,000 units	23,428 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60314	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 A	0.250	23,128 per 10,000 units	23,328 per 10,000 units	2013/07/30	60,000,000	2013/02/06
60294	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 G	0.250	25,388 per 10,000 units	25,188 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60299	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 H	0.250	25,088 per 10,000 units	24,888 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60296	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 P	0.250	25,288 per 10,000 units	25,088 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60298	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jun 2013 Q	0.250	25,188 per 10,000 units	24,988 per 10,000 units	2013/06/27	60,000,000	2013/02/06
60124	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug13 A	0.250	10.25	10.5	2013/08/29	100,000,000	2013/02/06
60125	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug13 B	0.250	10.5	10.75	2013/08/29	100,000,000	2013/02/06
60128	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Aug13 C	0.250	10.75	11	2013/08/29	100,000,000	2013/02/06
60139	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Aug13A	0.250	13.25	13	2013/08/29	100,000,000	2013/02/06
60208	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 M	0.250	23,688 per 10,000 units	23,788 per 10,000 units	2013/08/29	200,000,000	2013/02/06
60141	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 T	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2013/05/30	200,000,000	2013/02/06
60142	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 U	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2013/05/30	200,000,000	2013/02/06
60147	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 V	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2013/05/30	200,000,000	2013/02/06
60148	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 W	0.250	24,788 per 10,000 units	24,688 per 10,000 units	2013/05/30	200,000,000	2013/02/06
60202	UBS AG	A50 China R Bull CBBC May 2013 C	0.250	10.95	11.25	2013/05/06	100,000,000	2013/02/06
60203	UBS AG	HSBC R Bull CBBC Nov 2013 A	0.250	82 per 100 units	85 per 100 units	2013/11/18	100,000,000	2013/02/06
60318	UBS AG	HSI R Bull CBBC Jul 2013 F	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2013/07/30	300,000,000	2013/02/06
60323	UBS AG	HSI R Bull CBBC Aug 2013 P	0.250	23,622 per 10,000 units	23,822 per 10,000 units	2013/08/29	300,000,000	2013/02/06
60317	UBS AG	HSI R Bull CBBC Nov 2013 A	0.250	22,900 per 38,000 units	23,300 per 38,000 units	2013/11/28	300,000,000	2013/02/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60195	UBS AG	HSI R Bear CBBC May 2013 R	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60196	UBS AG	HSI R Bear CBBC May 2013 T	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/05/30	300,000,000	2013/02/06
60349	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 Q	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/05/30	300,000,000	2013/02/07
60344	Credit Suisse AG	HSI R Bull CBBC Aug 2013 U	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2013/08/29	350,000,000	2013/02/07
60345	Credit Suisse AG	HSI R Bull CBBC Aug 2013 V	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/08/29	350,000,000	2013/02/07
60350	Credit Suisse AG	HSI R Bear CBBC May 2013 G	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/05/30	350,000,000	2013/02/07
60355	Credit Suisse AG	HSI R Bear CBBC May 2013 Z	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/05/30	350,000,000	2013/02/07
60347	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 F	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/07/30	150,000,000	2013/02/07
60348	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 G	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/07/30	150,000,000	2013/02/07
60341	HK Bank	Galaxy Ent R Bull CBBC Oct 2013 A	0.310	32.08	33.08	2013/10/28	40,000,000	2013/02/07
60336	HK Bank	HSI R Bull CBBC Oct 2013 D	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2013/10/30	100,000,000	2013/02/07
60324	HK Bank	HSI R Bull CBBC Dec 2013 B	0.250	22,728 per 20,000 units	23,028 per 20,000 units	2013/12/30	400,000,000	2013/02/07
60342	HK Bank	Hutchison R Bear CBBC Dec 2013 A	0.250	101.88 per 100 units	98.88 per 100 units	2013/12/23	50,000,000	2013/02/07
60343	UBS AG	HSI R Bull CBBC Oct 2013 J	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2013/10/30	300,000,000	2013/02/07
60356	UBS AG	HSI R Bear CBBC Jun 2013 Y	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/06/27	300,000,000	2013/02/07
60357	UBS AG	HSI R Bear CBBC Jul 2013 N	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/07/30	300,000,000	2013/02/07
60380	Credit Suisse AG	HSI R Bull CBBC Aug 2013 B	0.250	23,528 per 12,000 units	23,728 per 12,000 units	2013/08/29	350,000,000	2013/02/08
60381	Credit Suisse AG	HSI R Bull CBBC Aug 2013 Y	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/08/29	350,000,000	2013/02/08
60424	Credit Suisse AG	HSI R Bear CBBC May 2013 H	0.250	23,825 per 10,000 units	23,625 per 10,000 units	2013/05/30	350,000,000	2013/02/08
60426	Credit Suisse AG	HSI R Bear CBBC May 2013 I	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/05/30	350,000,000	2013/02/08
60398	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 H	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/07/30	150,000,000	2013/02/08
60379	HK Bank	AIA R Bear CBBC Dec 2013 B	0.310	33.68	32.68	2013/12/23	50,000,000	2013/02/08
60366	HK Bank	China Tel R Bull CBBC Nov 2013 A	0.250	2.88	3.18	2013/11/25	40,000,000	2013/02/08
60372	HK Bank	China Tel R Bear CBBC Nov 2013 A	0.250	5.28	4.98	2013/11/25	40,000,000	2013/02/08
60364	HK Bank	CPIC R Bull CBBC Dec 2013 B	0.250	25.68 per 100 units	26.68 per 100 units	2013/12/12	40,000,000	2013/02/08
60360	HK Bank	Li & Fung R Bull CBBC Nov 2013 B	0.250	9.48	10.48	2013/11/25	40,000,000	2013/02/08
60359	HK Bank	Li & Fung R Bull CBBC Dec 2013 A	0.350	7.48	8.48	2013/12/23	40,000,000	2013/02/08
60363	HK Bank	Li & Fung R Bear CBBC Dec 2013 A	0.510	15.88	14.88	2013/12/23	40,000,000	2013/02/08
60378	HK Bank	Lenovo R Bear CBBC Oct 2013 B	0.260	10.58	10.08	2013/10/07	40,000,000	2013/02/08
60393	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 N	0.250	23,488 per 10,000 units	23,588 per 10,000 units	2013/08/29	200,000,000	2013/02/08
60396	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC May13 X	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2013/05/30	200,000,000	2013/02/08
60423	UBS AG	CUni R Bull CBBC Sep 2013 A	0.250	10.88	11.88	2013/09/16	100,000,000	2013/02/08
60422	UBS AG	CUni R Bear CBBC Jul 2013 A	0.250	14.38	13.38	2013/07/22	100,000,000	2013/02/08
60420	UBS AG	A50 China R Bull CBBC Dec 2013 A	0.250	11.2	11.5	2013/12/30	100,000,000	2013/02/08
60419	UBS AG	A50 China R Bear CBBC Oct 2013 A	0.255	14.3	14	2013/10/21	100,000,000	2013/02/08
60388	UBS AG	HSI R Bull CBBC Jun 2013 D	0.250	23,529 per 10,000 units	23,729 per 10,000 units	2013/06/27	300,000,000	2013/02/08
60410	UBS AG	HSI R Bull CBBC Aug 2013 Q	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2013/08/29	300,000,000	2013/02/08
60385	UBS AG	HSI R Bull CBBC Sep 2013 P	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/09/27	300,000,000	2013/02/08
60416	UBS AG	HSI R Bull CBBC Sep 2013 Q	0.250	23,188 per 12,000 units	23,388 per 12,000 units	2013/09/27	300,000,000	2013/02/08
60409	UBS AG	HSI R Bear CBBC May 2013 U	0.250	23,825 per 10,000 units	23,625 per 10,000 units	2013/05/30	300,000,000	2013/02/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60408	UBS AG	HSI R Bear CBBC Aug 2013 J	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/08/29	300,000,000	2013/02/08
60446	Credit Suisse AG	HSI R Bull CBBC Oct 2013 I	0.250	23,644 per 12,000 units	23,844 per 12,000 units	2013/10/30	350,000,000	2013/02/14
60447	Credit Suisse AG	HSI R Bull CBBC Oct 2013 J	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2013/10/30	350,000,000	2013/02/14
60471	Credit Suisse AG	HSI R Bear CBBC Jun 2013 W	0.250	23,886 per 10,000 units	23,686 per 10,000 units	2013/06/27	350,000,000	2013/02/14
60443	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 X	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/11/28	150,000,000	2013/02/14
60445	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Y	0.250	23,640 per 10,000 units	23,840 per 10,000 units	2013/11/28	150,000,000	2013/02/14
60487	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 C	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/07/30	150,000,000	2013/02/14
60441	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 I	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2013/07/30	150,000,000	2013/02/14
60491	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 J	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2013/07/30	150,000,000	2013/02/14
60472	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 D	0.257	23,388 per 7,000 units	23,588 per 7,000 units	2013/05/30	150,000,000	2013/02/14
60483	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 E	0.256	23,288 per 10,000 units	23,488 per 10,000 units	2013/05/30	150,000,000	2013/02/14
60484	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 D	0.257	24,038 per 7,000 units	23,838 per 7,000 units	2013/05/30	150,000,000	2013/02/14
60485	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 E	0.252	24,138 per 10,000 units	23,938 per 10,000 units	2013/05/30	150,000,000	2013/02/14
60486	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 F	0.254	23,938 per 10,000 units	23,738 per 10,000 units	2013/05/30	150,000,000	2013/02/14
60438	HK Bank	A50 R Bull CBBC Nov 2013 A	0.250	11.28	11.58	2013/11/25	100,000,000	2013/02/14
60439	HK Bank	A50 R Bear CBBC Oct 2013 C	0.260	14.48	14.18	2013/10/07	50,000,000	2013/02/14
60467	UBS AG	CC Bank R Bull CBBC Dec 2013 A	0.250	6.2	6.5	2013/12/16	100,000,000	2013/02/14
60466	UBS AG	CC Bank R Bear CBBC Oct 2013 A	0.250	8.3	8	2013/10/07	100,000,000	2013/02/14
60469	UBS AG	Sinopec Corp R Bull CBBC Oct 2013 B	0.250	8.7	9	2013/10/28	100,000,000	2013/02/14
60455	UBS AG	HSI R Bull CBBC Jul 2013 M	0.250	23,644 per 10,000 units	23,844 per 10,000 units	2013/07/30	300,000,000	2013/02/14
60454	UBS AG	HSI R Bull CBBC Oct 2013 K	0.250	23,488 per 12,000 units	23,688 per 12,000 units	2013/10/30	300,000,000	2013/02/14
60453	UBS AG	HSI R Bull CBBC Nov 2013 B	0.250	23,388 per 12,000 units	23,588 per 12,000 units	2013/11/28	300,000,000	2013/02/14
60442	UBS AG	HSI R Bear CBBC Jul 2013 O	0.250	23,922 per 10,000 units	23,722 per 10,000 units	2013/07/30	300,000,000	2013/02/14
60457	UBS AG	Ping An R Bull CBBC Oct 2013 A	0.250	62.70 per 100 units	67.50 per 100 units	2013/10/21	100,000,000	2013/02/14
60456	UBS AG	Ping An R Bear CBBC Aug 2013 A	0.250	89.80 per 100 units	85 per 100 units	2013/08/12	100,000,000	2013/02/14
60501	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 O	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/12/30	300,000,000	2013/02/15
60504	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 P	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/02/15
60540	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Q	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/02/15
60519	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 R	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60522	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 S	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60526	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 T	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60533	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60599	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60505	Credit Suisse AG	HSI R Bull CBBC Sep 2013 P	0.250	23,485 per 12,000 units	23,685 per 12,000 units	2013/09/27	350,000,000	2013/02/15
60506	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Q	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2013/09/27	350,000,000	2013/02/15
60507	Credit Suisse AG	HSI R Bull CBBC Sep 2013 R	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/09/27	350,000,000	2013/02/15
60508	Credit Suisse AG	HSI R Bull CBBC Sep 2013 S	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/09/27	350,000,000	2013/02/15
60539	Credit Suisse AG	HSI R Bull CBBC Sep 2013 U	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/09/27	350,000,000	2013/02/15
60542	Credit Suisse AG	HSI R Bull CBBC Sep 2013 V	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/09/27	350,000,000	2013/02/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60602	Credit Suisse AG	HSI R Bear CBBC May 2013 A	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/05/30	350,000,000	2013/02/15
60611	Credit Suisse AG	HSI R Bear CBBC May 2013 B	0.250	23,349 per 10,000 units	23,149 per 10,000 units	2013/05/30	350,000,000	2013/02/15
60606	Credit Suisse AG	HSI R Bear CBBC May 2013 E	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	350,000,000	2013/02/15
60610	Credit Suisse AG	HSI R Bear CBBC May 2013 J	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/05/30	350,000,000	2013/02/15
60612	Credit Suisse AG	HSI R Bear CBBC Jun 2013 X	0.250	23,380 per 12,000 units	23,180 per 12,000 units	2013/06/27	350,000,000	2013/02/15
60509	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 A	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60510	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 B	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60514	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 C	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60516	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 D	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60517	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 E	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60518	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 F	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60580	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60581	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	150,000,000	2013/02/15
60585	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 K	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60587	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 L	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60588	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 M	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60589	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 O	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60613	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60614	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60615	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 U	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60616	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 V	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/07/30	150,000,000	2013/02/15
60572	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 G	0.251	23,838 per 7,000 units	23,638 per 7,000 units	2013/05/30	150,000,000	2013/02/15
60573	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 H	0.261	23,638 per 7,000 units	23,438 per 7,000 units	2013/05/30	150,000,000	2013/02/15
60574	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 I	0.251	23,738 per 10,000 units	23,538 per 10,000 units	2013/05/30	150,000,000	2013/02/15
60576	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 J	0.251	23,538 per 10,000 units	23,338 per 10,000 units	2013/05/30	150,000,000	2013/02/15
60578	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 K	0.253	24,038 per 20,000 units	23,788 per 20,000 units	2013/05/30	150,000,000	2013/02/15
60579	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 L	0.251	23,738 per 20,000 units	23,488 per 20,000 units	2013/05/30	150,000,000	2013/02/15
60617	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 M	0.256	23,438 per 7,000 units	23,238 per 7,000 units	2013/05/30	150,000,000	2013/02/15
60618	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 N	0.252	23,368 per 10,000 units	23,168 per 10,000 units	2013/05/30	150,000,000	2013/02/15
60493	HK Bank	A50 R Bull CBBC Oct 2013 C	0.250	11.48	11.78	2013/10/21	100,000,000	2013/02/15
60534	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 N	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60535	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 O	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60536	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 P	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60537	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60538	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/07/30	300,000,000	2013/02/15
60594	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 B	0.250	22,928 per 10,000 units	23,128 per 10,000 units	2013/07/30	60,000,000	2013/02/15
60590	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 A	0.250	23,788 per 10,000 units	23,588 per 10,000 units	2013/07/30	60,000,000	2013/02/15
60591	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 B	0.250	23,888 per 10,000 units	23,688 per 10,000 units	2013/07/30	60,000,000	2013/02/15
60592	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 C	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2013/07/30	60,000,000	2013/02/15
60593	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 D	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2013/07/30	60,000,000	2013/02/15
60568	UBS AG	CC Bank R Bear CBBC Sep 2013 A	0.250	7.05	6.75	2013/09/16	100,000,000	2013/02/15
60556	UBS AG	China Life R Bear CBBC Aug 2013 A	0.250	27.38	26.38	2013/08/12	100,000,000	2013/02/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60569	UBS AG	Sinopec Corp R Bear CBBC Aug 2013 A	0.250	9.8	9.5	2013/08/12	100,000,000	2013/02/15
60624	UBS AG	HSCEI R Bear CBBC May 2013 D	0.250	12,450 per 10,000 units	12,250 per 10,000 units	2013/05/30	100,000,000	2013/02/15
60500	UBS AG	HSI R Bull CBBC Jun 2013 E	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60499	UBS AG	HSI R Bull CBBC Aug 2013 B	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/02/15
60494	UBS AG	HSI R Bull CBBC Aug 2013 W	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/08/29	300,000,000	2013/02/15
60553	UBS AG	HSI R Bull CBBC Sep 2013 R	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/09/27	300,000,000	2013/02/15
60554	UBS AG	HSI R Bull CBBC Oct 2013 L	0.250	22,888 per 12,000 units	23,088 per 12,000 units	2013/10/30	300,000,000	2013/02/15
60555	UBS AG	HSI R Bull CBBC Oct 2013 M	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	300,000,000	2013/02/15
60621	UBS AG	HSI R Bear CBBC May 2013 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60622	UBS AG	HSI R Bear CBBC May 2013 Y	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/05/30	300,000,000	2013/02/15
60620	UBS AG	HSI R Bear CBBC Jun 2013 Z	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/06/27	300,000,000	2013/02/15
60619	UBS AG	HSI R Bear CBBC Jul 2013 P	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/07/30	300,000,000	2013/02/15
60679	Credit Suisse AG	HSI R Bull CBBC Oct 2013 K	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	350,000,000	2013/02/18
60680	Credit Suisse AG	HSI R Bull CBBC Oct 2013 L	0.250	23,077 per 12,000 units	23,277 per 12,000 units	2013/10/30	350,000,000	2013/02/18
60643	Credit Suisse AG	HSI R Bear CBBC May 2013 C	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/05/30	350,000,000	2013/02/18
60646	Credit Suisse AG	HSI R Bear CBBC Jun 2013 A	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/06/27	350,000,000	2013/02/18
60644	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/06/27	350,000,000	2013/02/18
60645	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Z	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/06/27	350,000,000	2013/02/18
60683	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 F	0.256	22,988 per 7,000 units	23,188 per 7,000 units	2013/05/30	150,000,000	2013/02/18
60684	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 G	0.256	23,038 per 10,000 units	23,238 per 10,000 units	2013/05/30	150,000,000	2013/02/18
60685	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 H	0.253	22,888 per 20,000 units	23,138 per 20,000 units	2013/05/30	150,000,000	2013/02/18
60627	HK Bank	HSCEI R Bear CBBC Aug 2013 C	0.250	12,388 per 10,000 units	12,188 per 10,000 units	2013/08/29	68,000,000	2013/02/18
60628	HK Bank	HSI R Bull CBBC Sep 2013 F	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2013/09/27	100,000,000	2013/02/18
60625	HK Bank	HSI R Bear CBBC Aug 2013 E	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2013/08/29	100,000,000	2013/02/18
60626	HK Bank	HSI R Bear CBBC Sep 2013 G	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2013/09/27	100,000,000	2013/02/18
60629	HK Bank	HSI R Bear CBBC Nov 2013 H	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2013/11/28	100,000,000	2013/02/18
60669	UBS AG	China Tel R Bull CBBC Oct 2013 A	0.250	2.58	2.88	2013/10/28	100,000,000	2013/02/18
60647	UBS AG	China Life R Bull CBBC Jul 2013 C	0.415	20.88	21.88	2013/07/22	100,000,000	2013/02/18
60668	UBS AG	CNOOC R Bull CBBC Oct 2013 B	0.250	14.08	14.88	2013/10/21	100,000,000	2013/02/18
60652	UBS AG	CNOOC R Bear CBBC Aug 2013 A	0.315	18.68	17.88	2013/08/12	100,000,000	2013/02/18
60649	UBS AG	CPIC R Bull CBBC Dec 2013 A	0.600	23.88	24.88	2013/12/09	50,000,000	2013/02/18
60648	UBS AG	CPIC R Bear CBBC Aug 2013 A	0.610	35.88	34.88	2013/08/19	50,000,000	2013/02/18
60678	UBS AG	HSBC R Bear CBBC Aug 2013 A	0.250	93 per 100 units	90 per 100 units	2013/08/09	100,000,000	2013/02/18
60681	UBS AG	HSI R Bull CBBC Jul 2013 N	0.250	23,077 per 10,000 units	23,277 per 10,000 units	2013/07/30	300,000,000	2013/02/18
60682	UBS AG	HSI R Bull CBBC Jul 2013 S	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/07/30	300,000,000	2013/02/18
60630	UBS AG	HSI R Bear CBBC May 2013 C	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/05/30	300,000,000	2013/02/18
60639	UBS AG	HSI R Bear CBBC Jun 2013 C	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/02/18
60631	UBS AG	HSI R Bear CBBC Jul 2013 Q	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/07/30	300,000,000	2013/02/18
60675	UBS AG	Li & Fung R Bull CBBC Oct 2013 B	0.250	8.08	9.08	2013/10/21	100,000,000	2013/02/18
60673	UBS AG	Li & Fung R Bull CBBC Nov 2013 A	0.340	7.08	8.08	2013/11/25	100,000,000	2013/02/18
60672	UBS AG	Li & Fung R Bear CBBC Jul 2013 B	0.250	12.38	11.38	2013/07/08	100,000,000	2013/02/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60671	UBS AG	Li & Fung R Bear CBBC Sep 2013 A	0.300	13.38	12.38	2013/09/23	100,000,000	2013/02/18
60651	UBS AG	Lenovo R Bull CBBC Dec 2013 B	0.250	7.38	7.88	2013/12/16	100,000,000	2013/02/18
60650	UBS AG	Lenovo R Bear CBBC Jul 2013 A	0.250	9.38	8.88	2013/07/08	100,000,000	2013/02/18
60670	UBS AG	TCH R Bear CBBC Jul 2013 A	0.430	308 per 100 units	300 per 100 units	2013/07/29	100,000,000	2013/02/18
60704	Credit Suisse AG	CSOP A50 ETF R Bull CBBC May 2013 A	0.250	10.6	10.9	2013/05/24	50,000,000	2013/02/19
60705	Credit Suisse AG	CSOP A50 ETF R Bull CBBC May 2013 B	0.250	10.18	10.48	2013/05/24	50,000,000	2013/02/19
60707	Credit Suisse AG	CSOP A50 ETF R Bear CBBC May 2013 A	0.250	12.28	11.98	2013/05/24	50,000,000	2013/02/19
60708	Credit Suisse AG	CSOP A50 ETF R Bear CBBC May 2013 B	0.250	12.96	12.66	2013/05/24	50,000,000	2013/02/19
60710	Credit Suisse AG	HSI R Bull CBBC Jul 2013 L	0.250	23,038 per 12,000 units	23,238 per 12,000 units	2013/07/30	350,000,000	2013/02/19
60713	Credit Suisse AG	HSI R Bear CBBC Jun 2013 B	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/06/27	350,000,000	2013/02/19
60714	Credit Suisse AG	HSI R Bear CBBC Jun 2013 C	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/06/27	350,000,000	2013/02/19
60738	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Q	0.250	23,356 per 12,000 units	23,156 per 12,000 units	2013/07/30	350,000,000	2013/02/19
60740	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 N	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/07/30	150,000,000	2013/02/19
60741	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 R	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/07/30	150,000,000	2013/02/19
60691	HK Bank	ABC R Bear CBBC Jul 2013 A	0.250	4.98	4.48	2013/07/15	40,000,000	2013/02/19
60699	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2013 A	0.250	10.88	11.18	2013/08/26	100,000,000	2013/02/19
60698	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2013 A	0.250	10.48	10.78	2013/09/30	100,000,000	2013/02/19
60696	HK Bank	CSOP A50 ETF R Bull CBBC Oct 2013 A	0.250	10.2	10.38	2013/10/28	100,000,000	2013/02/19
60694	HK Bank	CSOP A50 ETF R Bear CBBC Aug 2013 A	0.250	12.18	11.88	2013/08/26	50,000,000	2013/02/19
60693	HK Bank	CSOP A50 ETF R Bear CBBC Sep 2013 A	0.250	12.98	12.68	2013/09/30	50,000,000	2013/02/19
60692	HK Bank	Evergrande R Bull CBBC Nov 2013 A	0.250	3.08	3.38	2013/11/25	50,000,000	2013/02/19
60731	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2013 A	0.250	10.2	10.5	2013/06/10	100,000,000	2013/02/19
60730	UBS AG	CSOP A50 ETF R Bear CBBC Jun 2013 A	0.250	12.3	12	2013/06/24	100,000,000	2013/02/19
60729	UBS AG	Evergrande R Bull CBBC Sep 2013 A	0.250	3.1	3.5	2013/09/30	100,000,000	2013/02/19
60728	UBS AG	Evergrande R Bear CBBC Sep 2013 A	0.250	4.9	4.5	2013/09/16	100,000,000	2013/02/19
60737	UBS AG	HKEx R Bear CBBC Jun 2013 A	0.250	156 per 100 units	150 per 100 units	2013/06/17	100,000,000	2013/02/19
60715	UBS AG	HSI R Bull CBBC Jun 2013 F	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/06/27	300,000,000	2013/02/19
60722	UBS AG	HSI R Bear CBBC May 2013 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/02/19
60717	UBS AG	HSI R Bear CBBC Jul 2013 R	0.250	23,356 per 12,000 units	23,156 per 12,000 units	2013/07/30	300,000,000	2013/02/19
60721	UBS AG	HSI R Bear CBBC Aug 2013 K	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/08/29	300,000,000	2013/02/19
60727	UBS AG	HSI R Bear CBBC Aug 2013 L	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	300,000,000	2013/02/19
60732	UBS AG	Ping An R Bear CBBC Jun 2013 B	0.250	74.80 per 100 units	70 per 100 units	2013/06/10	100,000,000	2013/02/19
60791	Credit Suisse AG	HSI R Bull CBBC Jul 2013 M	0.250	22,988 per 12,000 units	23,188 per 12,000 units	2013/07/30	350,000,000	2013/02/20
60754	Credit Suisse AG	HSI R Bear CBBC Jul 2013 R	0.250	23,428 per 12,000 units	23,228 per 12,000 units	2013/07/30	350,000,000	2013/02/20
60758	HK Bank	AIA R Bull CBBC Sep 2013 C	0.250	29.58	29.88	2013/09/09	60,000,000	2013/02/20
60760	HK Bank	COVS R Bear CBBC Dec 2013 A	0.250	24.18	23.88	2013/12/23	40,000,000	2013/02/20
60766	HK Bank	China Life R Bear CBBC Jul 2013 C	0.250	26.38	26.08	2013/07/29	50,000,000	2013/02/20
60765	HK Bank	China Shenhua R Bear CBBC Dec 2013 A	0.250	34.78 per 100 units	34.48 per 100 units	2013/12/23	40,000,000	2013/02/20
60756	HK Bank	A50 R Bear CBBC Oct 2013 D	0.250	12.38	12.08	2013/10/28	50,000,000	2013/02/20
60761	HK Bank	SHK Ppt R Bear CBBC Sep 2013 A	0.250	129.06 per 100 units	128.88 per 100 units	2013/09/30	50,000,000	2013/02/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60742	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 L	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/02/20
60744	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 R	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/06/27	300,000,000	2013/02/20
60745	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/06/27	300,000,000	2013/02/20
60781	UBS AG	Anhui Conch R Bear CBBC Aug 2013 A	0.510	34.88	32.88	2013/08/05	50,000,000	2013/02/20
60780	UBS AG	AIA R Bull CBBC Dec 2013 B	0.250	28.88 per 100 units	29.88 per 100 units	2013/12/30	100,000,000	2013/02/20
60782	UBS AG	CUni R Bull CBBC Aug 2013 A	0.345	8.38	9.38	2013/08/19	100,000,000	2013/02/20
60778	UBS AG	CSOP A50 ETF R Bull CBBC May 2013 A	0.250	10.95	11.25	2013/05/27	100,000,000	2013/02/20
60776	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2013 B	0.250	10.7	11	2013/06/24	100,000,000	2013/02/20
60777	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2013 C	0.250	10.88	11.18	2013/06/03	100,000,000	2013/02/20
60775	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2013 A	0.250	10.45	10.75	2013/07/08	100,000,000	2013/02/20
60772	UBS AG	CSOP A50 ETF R Bear CBBC May 2013 A	0.250	12.05	11.75	2013/05/27	100,000,000	2013/02/20
60769	UBS AG	CSOP A50 ETF R Bear CBBC Jul 2013 A	0.250	12.8	12.5	2013/07/22	100,000,000	2013/02/20
60792	UBS AG	HSI R Bull CBBC Aug 2013 C	0.250	23,015 per 10,000 units	23,215 per 10,000 units	2013/08/29	300,000,000	2013/02/20
60768	UBS AG	HSI R Bear CBBC Jul 2013 S	0.250	23,378 per 10,000 units	23,178 per 10,000 units	2013/07/30	300,000,000	2013/02/20
60779	UBS AG	ICBC R Bear CBBC Jul 2013 C	0.250	6.25	6	2013/07/02	100,000,000	2013/02/20
65462 #	HK Bank	Sinopec Corp R Bull CBBC Oct 2013 A	0.076	7.88	8.18	2013/10/07	140,000,000	2013/02/20
60810	Credit Suisse AG	HSI R Bull CBBC Jul 2013 N	0.250	23,234 per 10,000 units	23,434 per 10,000 units	2013/07/30	350,000,000	2013/02/21
60800	Credit Suisse AG	HSI R Bear CBBC Jul 2013 S	0.250	23,488 per 12,000 units	23,288 per 12,000 units	2013/07/30	350,000,000	2013/02/21
60809	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 I	0.250	23,230 per 10,000 units	23,430 per 10,000 units	2013/10/30	150,000,000	2013/02/21
60799	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/07/30	150,000,000	2013/02/21
60814	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 I	0.256	23,188 per 7,000 units	23,388 per 7,000 units	2013/05/30	150,000,000	2013/02/21
60796	HK Bank	AIA R Bull CBBC Dec 2013 B	0.250	29.88	30.88	2013/12/23	60,000,000	2013/02/21
60797	HK Bank	AIA R Bear CBBC Dec 2013 C	0.350	34.88	33.88	2013/12/23	50,000,000	2013/02/21
60794	HK Bank	CUni R Bull CBBC Oct 2013 B	0.290	8.88	9.88	2013/10/28	40,000,000	2013/02/21
60795	HK Bank	CUni R Bear CBBC Oct 2013 B	0.250	13.68	12.68	2013/10/28	40,000,000	2013/02/21
60793	HK Bank	CNOOC R Bear CBBC Aug 2013 A	0.250	17.18	16.38	2013/08/12	40,000,000	2013/02/21
60802	UBS AG	HSI R Bull CBBC Sep 2013 S	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/09/27	300,000,000	2013/02/21
60803	UBS AG	HSI R Bull CBBC Sep 2013 T	0.250	23,234 per 10,000 units	23,434 per 10,000 units	2013/09/27	300,000,000	2013/02/21
60801	UBS AG	HSI R Bull CBBC Nov 2013 C	0.250	22,988 per 12,000 units	23,188 per 12,000 units	2013/11/28	350,000,000	2013/02/21
60798	UBS AG	HSI R Bear CBBC Sep 2013 G	0.250	23,416 per 10,000 units	23,216 per 10,000 units	2013/09/27	300,000,000	2013/02/21
60822	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/02/22
60823	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 S	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/12/30	300,000,000	2013/02/22
60841	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 W	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	300,000,000	2013/02/22
60853	Credit Suisse AG	HSI R Bull CBBC Aug 2013 C	0.250	23,244 per 12,000 units	23,444 per 12,000 units	2013/08/29	350,000,000	2013/02/22
60860	Credit Suisse AG	HSI R Bull CBBC Aug 2013 I	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/08/29	350,000,000	2013/02/22
60836	Credit Suisse AG	HSI R Bear CBBC May 2013 D	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/05/30	350,000,000	2013/02/22
60824	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 J	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/10/30	150,000,000	2013/02/22
60832	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 K	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/10/30	150,000,000	2013/02/22
60842	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 X	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/07/30	150,000,000	2013/02/22
60821	HK Bank	Sinopec Corp R Bear CBBC Jul 2013 A	0.250	9.38	9.08	2013/07/22	40,000,000	2013/02/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60817	HK Bank	Evergrande R Bull CBBC Sep 2013 B	0.250	3.28	3.68	2013/09/30	60,000,000	2013/02/22
60815	HK Bank	HKEx R Bear CBBC Aug 2013 A	0.250	156.88 per 100 units	150.88 per 100 units	2013/08/05	50,000,000	2013/02/22
60819	HK Bank	Hutchison R Bear CBBC Aug 2013 A	0.250	91.08 per 100 units	88.08 per 100 units	2013/08/12	50,000,000	2013/02/22
60846	UBS AG	ABC R Bull CBBC Nov 2013 A	0.250	3.63	3.88	2013/11/04	100,000,000	2013/02/22
60850	UBS AG	Cheung Kong R Bear CBBC Aug 2013 A	0.250	136 per 100 units	130 per 100 units	2013/08/12	100,000,000	2013/02/22
60833	UBS AG	HSI R Bull CBBC Jul 2013 R	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/07/30	300,000,000	2013/02/22
60844	UBS AG	HSI R Bear CBBC Sep 2013 H	0.250	23,596 per 12,000 units	23,396 per 12,000 units	2013/09/27	350,000,000	2013/02/22
60849	UBS AG	SHK Ppt R Bear CBBC Sep 2013 B	0.250	134 per 100 units	130 per 100 units	2013/09/23	100,000,000	2013/02/22
60881	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60882	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60883	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 E	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60869	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 T	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60873	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 U	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60875	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 V	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60876	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 W	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60877	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 X	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60879	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Y	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60880	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Z	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/02/25
60891	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 K	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60892	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 R	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60884	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 X	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60889	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 Y	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60890	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC May 2013 Z	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60893	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 A	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2013/06/27	300,000,000	2013/02/25
60894	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 B	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/06/27	300,000,000	2013/02/25
60896	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 C	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2013/06/27	300,000,000	2013/02/25
60897	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 D	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2013/06/27	300,000,000	2013/02/25
60899	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 E	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2013/06/27	300,000,000	2013/02/25
60862	Credit Suisse AG	HSI R Bull CBBC Sep 2013 W	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/09/27	300,000,000	2013/02/25
60904	Credit Suisse AG	HSI R Bear CBBC May 2013 B	0.250	23,588 per 12,000 units	23,388 per 12,000 units	2013/05/30	300,000,000	2013/02/25
60863	Credit Suisse AG	HSI R Bear CBBC May 2013 F	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/05/30	300,000,000	2013/02/25
60866	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 L	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/10/30	150,000,000	2013/02/25
60867	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 M	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/10/30	150,000,000	2013/02/25
60868	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 K	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/07/30	150,000,000	2013/02/25
60905	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 O	0.252	23,638 per 7,000 units	23,438 per 7,000 units	2013/05/30	150,000,000	2013/02/25
60903	UBS AG	A50 China R Bear CBBC Jul 2013 A	0.250	12.8	12.5	2013/07/29	100,000,000	2013/02/25
60864	UBS AG	HSI R Bull CBBC Oct 2013 N	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/10/30	300,000,000	2013/02/25
60865	UBS AG	HSI R Bull CBBC Oct 2013 O	0.250	23,244 per 12,000 units	23,444 per 12,000 units	2013/10/30	350,000,000	2013/02/25
60902	UBS AG	HSI R Bear CBBC Jul 2013 T	0.250	23,562 per 10,000 units	23,362 per 10,000 units	2013/07/30	300,000,000	2013/02/25
60931	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 F	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/12/30	300,000,000	2013/02/26
60936	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 H	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/12/30	300,000,000	2013/02/26
60937	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/02/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60945	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 F	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60946	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60947	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60951	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 I	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60952	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 J	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60912	Credit Suisse AG	HSI R Bull CBBC Aug 2013 E	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/02/26
60916	Credit Suisse AG	HSI R Bull CBBC Aug 2013 F	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/02/26
60944	Credit Suisse AG	HSI R Bear CBBC May 2013 C	0.250	23,368 per 12,000 units	23,168 per 12,000 units	2013/05/30	300,000,000	2013/02/26
60942	Credit Suisse AG	HSI R Bear CBBC May 2013 E	0.250	23,344 per 10,000 units	23,144 per 10,000 units	2013/05/30	300,000,000	2013/02/26
60943	Credit Suisse AG	HSI R Bear CBBC May 2013 J	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/05/30	300,000,000	2013/02/26
60913	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	150,000,000	2013/02/26
60915	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 L	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/07/30	150,000,000	2013/02/26
60938	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/07/30	150,000,000	2013/02/26
60939	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	150,000,000	2013/02/26
60958	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 P	0.258	23,438 per 7,000 units	23,238 per 7,000 units	2013/05/30	150,000,000	2013/02/26
60959	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 Q	0.252	23,538 per 10,000 units	23,338 per 10,000 units	2013/05/30	150,000,000	2013/02/26
60909	HK Bank	CSOP A50 ETF R Bull CBBC Jun 2013 A	0.250	10.28	10.58	2013/06/24	100,000,000	2013/02/26
60910	HK Bank	CSOP A50 ETF R Bear CBBC Jun 2013 A	0.250	11.88	11.58	2013/06/24	50,000,000	2013/02/26
60906	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 A	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	100,000,000	2013/02/26
60907	Standard Chartered Bank	HSI R Bear CBBC May 2013 B	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/05/30	100,000,000	2013/02/26
60908	Standard Chartered Bank	HSI R Bear CBBC May 2013 C	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/05/30	100,000,000	2013/02/26
60926	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2013 B	0.250	9.7	10	2013/07/29	100,000,000	2013/02/26
60927	UBS AG	CSOP A50 ETF R Bear CBBC Jun 2013 B	0.250	11.8	11.5	2013/06/03	100,000,000	2013/02/26
60928	UBS AG	A50 China R Bear CBBC Jun 2013 A	0.250	12.3	12	2013/06/17	100,000,000	2013/02/26
60929	UBS AG	HSI R Bull CBBC Jun 2013 Q	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60911	UBS AG	HSI R Bull CBBC Aug 2013 G	0.250	23,181 per 12,000 units	23,381 per 12,000 units	2013/08/29	350,000,000	2013/02/26
60930	UBS AG	HSI R Bull CBBC Oct 2013 P	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2013/10/30	350,000,000	2013/02/26
60953	UBS AG	HSI R Bear CBBC May 2013 D	0.250	23,344 per 10,000 units	23,144 per 10,000 units	2013/05/30	300,000,000	2013/02/26
60954	UBS AG	HSI R Bear CBBC Jun 2013 B	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/06/27	300,000,000	2013/02/26
60955	UBS AG	HSI R Bear CBBC Aug 2013 M	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/08/29	300,000,000	2013/02/26
60957	UBS AG	HSI R Bear CBBC Nov 2013 C	0.250	24,200 per 38,000 units	23,800 per 38,000 units	2013/11/28	300,000,000	2013/02/26
60970	Credit Suisse AG	CSOP A50 ETF R Bull CBBC May 2013 C	0.250	9.78	10.08	2013/05/31	50,000,000	2013/02/27
60971	Credit Suisse AG	CSOP A50 ETF R Bear CBBC May 2013 C	0.250	11.78	11.48	2013/05/31	50,000,000	2013/02/27
60979	Credit Suisse AG	HSI R Bull CBBC Jul 2013 B	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/07/30	300,000,000	2013/02/27
60983	Credit Suisse AG	HSI R Bull CBBC Jul 2013 J	0.250	23,107 per 12,000 units	23,307 per 12,000 units	2013/07/30	300,000,000	2013/02/27
60975	Credit Suisse AG	HSI R Bear CBBC Jun 2013 D	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/06/27	300,000,000	2013/02/27
60973	Credit Suisse AG	HSI R Bear CBBC Jun 2013 O	0.250	23,380 per 10,000 units	23,180 per 10,000 units	2013/06/27	300,000,000	2013/02/27
60978	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 U	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	150,000,000	2013/02/27
61005	Bank of East Asia	China Mobile R Bull CBBC Dec 2013 A	0.250	80.22 per 100 units	82.94 per 100 units	2013/12/20	60,000,000	2013/02/27
60998	Bank of East Asia	CSOP A50 ETF R Bull CBBC Sep 2013 A	0.250	10	10.28	2013/09/27	60,000,000	2013/02/27
61001	Bank of East Asia	Galaxy Ent R Bull CBBC Dec 2013 A	0.370	29.27	30.27	2013/12/20	50,000,000	2013/02/27
60999	Bank of East Asia	Sands China R Bull CBBC Dec 2013 A	0.250	31.26 per 100 units	34.26 per 100 units	2013/12/20	100,000,000	2013/02/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60994	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 J	0.251	22,988 per 7,000 units	23,188 per 7,000 units	2013/05/30	150,000,000	2013/02/27
60995	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 K	0.250	23,088 per 10,000 units	23,288 per 10,000 units	2013/05/30	150,000,000	2013/02/27
60966	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2013 A	0.250	9.88	10.18	2013/07/15	100,000,000	2013/02/27
60965	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2013 B	0.250	9.68	9.98	2013/08/12	100,000,000	2013/02/27
60967	HK Bank	CSOP A50 ETF R Bear CBBC Aug 2013 B	0.250	12.58	12.28	2013/08/12	50,000,000	2013/02/27
60968	HK Bank	HSCEI R Bear CBBC Oct 2013 B	0.250	12,088 per 10,000 units	11,888 per 10,000 units	2013/10/30	68,000,000	2013/02/27
60962	Standard Chartered Bank	HSI R Bear CBBC May 2013 D	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/05/30	100,000,000	2013/02/27
60963	Standard Chartered Bank	HSI R Bear CBBC May 2013 E	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2013/05/30	100,000,000	2013/02/27
60964	Standard Chartered Bank	HSI R Bear CBBC May 2013 F	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2013/05/30	100,000,000	2013/02/27
60992	UBS AG	CUni R Bear CBBC Jun 2013 A	0.250	13.38	12.38	2013/06/17	100,000,000	2013/02/27
60991	UBS AG	China Life R Bear CBBC Jul 2013 A	0.250	25.88	24.88	2013/07/15	100,000,000	2013/02/27
60990	UBS AG	HSCEI R Bear CBBC Jul 2013 A	0.250	12,200 per 10,000 units	12,000 per 10,000 units	2013/07/30	100,000,000	2013/02/27
60985	UBS AG	HSI R Bull CBBC Jul 2013 Y	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/07/30	300,000,000	2013/02/27
60988	UBS AG	HSI R Bull CBBC Nov 2013 D	0.250	23,107 per 12,000 units	23,307 per 12,000 units	2013/11/28	350,000,000	2013/02/27
60977	UBS AG	HSI R Bear CBBC Jul 2013 U	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	300,000,000	2013/02/27
61040	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2013 F	0.250	12.2	11.9	2013/12/30	200,000,000	2013/02/28
61017	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 D	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/02/28
61019	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 G	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	300,000,000	2013/02/28
61020	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 I	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/02/28
61021	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/02/28
61041	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 K	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61042	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 L	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61043	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 M	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61044	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 N	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61048	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61025	Credit Suisse AG	HSI R Bull CBBC Oct 2013 M	0.250	23,088 per 12,000 units	23,288 per 12,000 units	2013/10/30	300,000,000	2013/02/28
61026	Credit Suisse AG	HSI R Bull CBBC Oct 2013 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/10/30	300,000,000	2013/02/28
61027	Credit Suisse AG	HSI R Bull CBBC Oct 2013 O	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/10/30	300,000,000	2013/02/28
61028	Credit Suisse AG	HSI R Bull CBBC Oct 2013 P	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/10/30	300,000,000	2013/02/28
61061	Credit Suisse AG	HSI R Bear CBBC Jun 2013 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61062	Credit Suisse AG	HSI R Bear CBBC Jun 2013 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61064	Credit Suisse AG	HSI R Bear CBBC Jun 2013 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61065	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Y	0.250	23,120 per 12,000 units	22,920 per 12,000 units	2013/06/27	300,000,000	2013/02/28
61022	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/10/30	150,000,000	2013/02/28
61023	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/10/30	150,000,000	2013/02/28
61024	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Q	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/10/30	150,000,000	2013/02/28
61039	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 R	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	150,000,000	2013/02/28
61038	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	150,000,000	2013/02/28
61037	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jul 2013 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/07/30	150,000,000	2013/02/28
61049	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 A	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	150,000,000	2013/02/28
61077	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 L	0.260	22,688 per 10,000 units	22,888 per 10,000 units	2013/05/30	150,000,000	2013/02/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61078	Goldman Sachs SP (Asia)	HSI R Bull CBBC May 2013 M	0.252	22,588 per 20,000 units	22,838 per 20,000 units	2013/05/30	150,000,000	2013/02/28
61057	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 R	0.253	23,238 per 7,000 units	23,038 per 7,000 units	2013/05/30	150,000,000	2013/02/28
61058	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 S	0.254	23,338 per 10,000 units	23,138 per 10,000 units	2013/05/30	150,000,000	2013/02/28
61059	Goldman Sachs SP (Asia)	HSI R Bear CBBC May 2013 T	0.252	23,138 per 10,000 units	22,938 per 10,000 units	2013/05/30	150,000,000	2013/02/28
61009	HK Bank	COVS R Bear CBBC Dec 2013 B	0.320	24.68	23.68	2013/12/16	40,000,000	2013/02/28
61010	HK Bank	China Life R Bear CBBC Aug 2013 C	0.280	26.48	25.48	2013/08/26	50,000,000	2013/02/28
61013	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2013 B	0.250	9.28	9.58	2013/09/30	100,000,000	2013/02/28
61015	HK Bank	CSOP A50 ETF R Bear CBBC Jul 2013 A	0.250	11.58	11.28	2013/07/15	50,000,000	2013/02/28
61011	HK Bank	China Shenhua R Bear CBBC Oct 2013 C	0.250	34.48 per 100 units	32.48 per 100 units	2013/10/28	50,000,000	2013/02/28
61016	HK Bank	A50 R Bear CBBC Jun 2013 C	0.250	12.08	11.78	2013/06/24	50,000,000	2013/02/28
61032	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 C	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/07/30	300,000,000	2013/02/28
61034	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 D	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/07/30	300,000,000	2013/02/28
61035	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/02/28
61036	J P Morgan SP BV	HSI R Bear CBBC Aug 2013 A	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/02/28
61070	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 E	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2013/07/30	60,000,000	2013/02/28
61071	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 F	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2013/07/30	60,000,000	2013/02/28
61072	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 G	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2013/07/30	60,000,000	2013/02/28
61073	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 H	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2013/07/30	60,000,000	2013/02/28
61074	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 I	0.250	23,688 per 10,000 units	23,488 per 10,000 units	2013/07/30	60,000,000	2013/02/28
61006	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 B	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/02/28
61007	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 C	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/02/28
61008	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 D	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	100,000,000	2013/02/28
61056	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2013 C	0.250	9.95	10.25	2013/07/08	100,000,000	2013/02/28
61055	UBS AG	CSOP A50 ETF R Bull CBBC Aug 2013 A	0.250	9.2	9.5	2013/08/12	100,000,000	2013/02/28
61054	UBS AG	CSOP A50 ETF R Bear CBBC Jun 2013 C	0.250	11.3	11	2013/06/10	100,000,000	2013/02/28
61053	UBS AG	A50 China R Bull CBBC Dec 2013 B	0.250	9.45	9.75	2013/12/30	100,000,000	2013/02/28
61052	UBS AG	A50 China R Bear CBBC Jul 2013 B	0.250	11.8	11.5	2013/07/08	100,000,000	2013/02/28
61051	UBS AG	HSCEI R Bear CBBC Jun 2013 A	0.250	11,950 per 10,000 units	11,750 per 10,000 units	2013/06/27	100,000,000	2013/02/28
61050	UBS AG	HSI R Bull CBBC Jun 2013 A	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61029	UBS AG	HSI R Bull CBBC Jul 2013 D	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/02/28
61030	UBS AG	HSI R Bull CBBC Jul 2013 I	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/07/30	300,000,000	2013/02/28
61031	UBS AG	HSI R Bull CBBC Sep 2013 U	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/09/27	300,000,000	2013/02/28
61069	UBS AG	HSI R Bear CBBC May 2013 N	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/05/30	300,000,000	2013/02/28
61060	UBS AG	HSI R Bear CBBC Jun 2013 D	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61066	UBS AG	HSI R Bear CBBC Jun 2013 F	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/06/27	300,000,000	2013/02/28
61068	UBS AG	HSI R Bear CBBC Aug 2013 N	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	300,000,000	2013/02/28
65566 #	HK Bank	A50 R Bull CBBC Oct 2013 B	0.099	10.08	10.38	2013/10/28	120,000,000	2013/02/28
61092	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 L	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/12/30	300,000,000	2013/03/01
61093	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 M	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/03/01
61094	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 N	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	300,000,000	2013/03/01

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61097	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 O	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/03/01
61106	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 P	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/06/27	300,000,000	2013/03/01
61125	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/03/01
61088	Credit Suisse AG	HSI R Bull CBBC Sep 2013 E	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/09/27	300,000,000	2013/03/01
61087	Credit Suisse AG	HSI R Bull CBBC Sep 2013 X	0.250	22,680 per 10,000 units	22,880 per 10,000 units	2013/09/27	300,000,000	2013/03/01
61120	Credit Suisse AG	HSI R Bear CBBC Jun 2013 C	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/03/01
61119	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Z	0.250	22,983 per 10,000 units	22,783 per 10,000 units	2013/06/27	300,000,000	2013/03/01
61121	Credit Suisse AG	HSI R Bear CBBC Jul 2013 T	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/07/30	300,000,000	2013/03/01
61122	Credit Suisse AG	HSI R Bear CBBC Jul 2013 U	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	300,000,000	2013/03/01
61124	Credit Suisse AG	HSI R Bear CBBC Jul 2013 W	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2013/07/30	300,000,000	2013/03/01
61098	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 S	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/10/30	150,000,000	2013/03/01
61103	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 T	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/10/30	150,000,000	2013/03/01
61111	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 U	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	150,000,000	2013/03/01
61117	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 B	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	150,000,000	2013/03/01
61118	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 C	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/08/29	150,000,000	2013/03/01
61126	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 A	0.253	23,038 per 7,000 units	22,838 per 7,000 units	2013/06/27	150,000,000	2013/03/01
61128	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 B	0.250	23,438 per 20,000 units	23,188 per 20,000 units	2013/06/27	150,000,000	2013/03/01
61081	HK Bank	Cheung Kong R Bear CBBC Jun 2013 A	0.250	132.88 per 100 units	126.88 per 100 units	2013/06/24	50,000,000	2013/03/01
61083	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2013 C	0.250	8.88	9.18	2013/08/26	100,000,000	2013/03/01
61084	HK Bank	CSOP A50 ETF R Bear CBBC Jun 2013 B	0.250	11.28	10.98	2013/06/24	50,000,000	2013/03/01
61086	HK Bank	A50 R Bear CBBC Jul 2013 D	0.250	11.78	11.48	2013/07/29	50,000,000	2013/03/01
61079	HK Bank	HSI R Bull CBBC Nov 2013 E	0.250	19,728 per 20,000 units	20,028 per 20,000 units	2013/11/28	400,000,000	2013/03/01
61080	HK Bank	HSI R Bull CBBC Dec 2013 C	0.250	18,728 per 20,000 units	19,028 per 20,000 units	2013/12/30	400,000,000	2013/03/01
61082	HK Bank	SHK Ppt R Bear CBBC Oct 2013 B	0.250	131.88 per 100 units	127.88 per 100 units	2013/10/07	50,000,000	2013/03/01
61107	UBS AG	CSOP A50 ETF R Bull CBBC Aug 2013 B	0.250	9.45	9.75	2013/08/26	100,000,000	2013/03/01
61104	UBS AG	HSI R Bull CBBC Aug 2013 A	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/03/01
61105	UBS AG	HSI R Bull CBBC Sep 2013 V	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/03/01
61108	UBS AG	HSI R Bear CBBC Jun 2013 E	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/06/27	300,000,000	2013/03/01
61109	UBS AG	HSI R Bear CBBC Jul 2013 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/07/30	300,000,000	2013/03/01
61110	UBS AG	HSI R Bear CBBC Sep 2013 I	0.250	22,983 per 12,000 units	22,783 per 12,000 units	2013/09/27	350,000,000	2013/03/01
61155	Credit Suisse AG	HSI R Bull CBBC Sep 2013 T	0.250	22,620 per 10,000 units	22,820 per 10,000 units	2013/09/27	300,000,000	2013/03/04
61147	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Z	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/03/04
61146	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Y	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/07/30	300,000,000	2013/03/04
61153	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Z	0.250	23,010 per 10,000 units	22,810 per 10,000 units	2013/07/30	300,000,000	2013/03/04
61148	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 V	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/10/30	150,000,000	2013/03/04
61152	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 D	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	150,000,000	2013/03/04
61141	HK Bank	HSCEI R Bull CBBC Oct 2013 B	0.250	9,688 per 10,000 units	9,888 per 10,000 units	2013/10/30	100,000,000	2013/03/04
61138	HK Bank	HSI R Bear CBBC Jul 2013 F	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2013/07/30	100,000,000	2013/03/04
61136	HK Bank	HSI R Bear CBBC Aug 2013 F	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2013/08/29	100,000,000	2013/03/04
61140	HK Bank	HSI R Bear CBBC Nov 2013 I	0.250	23,928 per 20,000 units	23,628 per 20,000 units	2013/11/28	400,000,000	2013/03/04
61135	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 C	0.250	22,528 per 10,000 units	22,728 per 10,000 units	2013/07/30	60,000,000	2013/03/04
61131	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 J	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2013/07/30	60,000,000	2013/03/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61159	UBS AG	HSI R Bull CBBC Jun 2013 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/06/27	300,000,000	2013/03/04
61168	UBS AG	HSI R Bull CBBC Nov 2013 E	0.250	22,620 per 12,000 units	22,820 per 12,000 units	2013/11/28	350,000,000	2013/03/04
61142	UBS AG	HSI R Bear CBBC Jul 2013 W	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/03/04
61144	UBS AG	HSI R Bear CBBC Jul 2013 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/04
61185	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Dec 2013 G	0.250	11.9	11.6	2013/12/30	200,000,000	2013/03/05
61200	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 C	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/03/05
61174	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 P	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/03/05
61199	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Q	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	300,000,000	2013/03/05
61201	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 R	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/03/05
61186	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 R	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61191	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 S	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61192	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 T	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61212	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 U	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61213	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 V	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61175	Credit Suisse AG	HSI R Bull CBBC Aug 2013 G	0.250	22,588 per 12,000 units	22,788 per 12,000 units	2013/08/29	300,000,000	2013/03/05
61177	Credit Suisse AG	HSI R Bull CBBC Aug 2013 H	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/08/29	300,000,000	2013/03/05
61202	Credit Suisse AG	HSI R Bull CBBC Aug 2013 M	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/08/29	300,000,000	2013/03/05
61206	Credit Suisse AG	HSI R Bear CBBC Jul 2013 A	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/07/30	300,000,000	2013/03/05
61207	Credit Suisse AG	HSI R Bear CBBC Jul 2013 B	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/07/30	300,000,000	2013/03/05
61208	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/07/30	300,000,000	2013/03/05
61209	Credit Suisse AG	HSI R Bear CBBC Jul 2013 D	0.250	22,720 per 10,000 units	22,520 per 10,000 units	2013/07/30	300,000,000	2013/03/05
61210	Credit Suisse AG	HSI R Bear CBBC Jul 2013 G	0.250	22,738 per 12,000 units	22,538 per 12,000 units	2013/07/30	300,000,000	2013/03/05
61179	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/10/30	150,000,000	2013/03/05
61203	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 X	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/10/30	150,000,000	2013/03/05
61205	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	150,000,000	2013/03/05
61230	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 E	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/08/29	150,000,000	2013/03/05
61233	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 F	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/08/29	150,000,000	2013/03/05
61234	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 G	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	150,000,000	2013/03/05
61235	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 C	0.256	22,838 per 7,000 units	22,638 per 7,000 units	2013/06/27	150,000,000	2013/03/05
61242	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 D	0.290	22,938 per 10,000 units	22,738 per 10,000 units	2013/06/27	150,000,000	2013/03/05
61243	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 E	0.265	22,738 per 10,000 units	22,538 per 10,000 units	2013/06/27	150,000,000	2013/03/05
61250	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 F	0.258	23,238 per 20,000 units	22,988 per 20,000 units	2013/06/27	150,000,000	2013/03/05
61214	UBS AG	Galaxy Ent R Bull CBBC Nov 2013 A	0.275	29.38	30.38	2013/11/18	50,000,000	2013/03/05
61183	UBS AG	HSI R Bull CBBC Sep 2013 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	300,000,000	2013/03/05
61184	UBS AG	HSI R Bull CBBC Sep 2013 X	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/09/27	300,000,000	2013/03/05
61211	UBS AG	HSI R Bull CBBC Sep 2013 Y	0.250	22,325 per 12,000 units	22,525 per 12,000 units	2013/09/27	350,000,000	2013/03/05
61221	UBS AG	HSI R Bull CBBC Nov 2013 F	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/11/28	300,000,000	2013/03/05
61216	UBS AG	HSI R Bear CBBC Jun 2013 A	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/06/27	300,000,000	2013/03/05
61215	UBS AG	HSI R Bear CBBC Aug 2013 O	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	300,000,000	2013/03/05
61217	UBS AG	HSI R Bear CBBC Sep 2013 J	0.250	22,720 per 12,000 units	22,520 per 12,000 units	2013/09/27	350,000,000	2013/03/05
61220	UBS AG	HSI R Bear CBBC Dec 2013 B	0.250	23,700 per 38,000 units	23,300 per 38,000 units	2013/12/30	300,000,000	2013/03/05
61229	UBS AG	Hutchison R Bear CBBC Oct 2013 A	0.250	90.50 per 100 units	87.50 per 100 units	2013/10/21	100,000,000	2013/03/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61228	UBS AG	TCH R Bear CBBC Aug 2013 A	0.315	298 per 100 units	290 per 100 units	2013/08/19	100,000,000	2013/03/05
61297	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 S	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/03/06
61298	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/12/30	300,000,000	2013/03/06
61292	Credit Suisse AG	HSI R Bull CBBC Jul 2013 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/06
61294	Credit Suisse AG	HSI R Bull CBBC Jul 2013 L	0.250	22,424 per 12,000 units	22,624 per 12,000 units	2013/07/30	300,000,000	2013/03/06
61281	Credit Suisse AG	HSI R Bear CBBC Jul 2013 H	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/03/06
61279	Credit Suisse AG	HSI R Bear CBBC Jul 2013 L	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/07/30	300,000,000	2013/03/06
61290	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 A	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/10/30	150,000,000	2013/03/06
61291	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	150,000,000	2013/03/06
61289	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 H	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/08/29	150,000,000	2013/03/06
61301	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 A	0.253	22,368 per 7,000 units	22,568 per 7,000 units	2013/06/27	150,000,000	2013/03/06
61305	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 B	0.251	22,288 per 10,000 units	22,488 per 10,000 units	2013/06/27	150,000,000	2013/03/06
61263	HK Bank	ABC R Bear CBBC Sep 2013 C	0.250	4.63	4.38	2013/09/30	40,000,000	2013/03/06
61261	HK Bank	CC Bank R Bear CBBC Aug 2013 B	0.250	6.78	6.48	2013/08/26	40,000,000	2013/03/06
61258	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2013 C	0.250	9.48	9.78	2013/09/16	100,000,000	2013/03/06
61259	HK Bank	CSOP A50 ETF R Bull CBBC Dec 2013 A	0.250	8.48	8.78	2013/12/09	100,000,000	2013/03/06
61257	HK Bank	HSCEI R Bear CBBC Aug 2013 D	0.250	11,788 per 10,000 units	11,588 per 10,000 units	2013/08/29	68,000,000	2013/03/06
61270	HK Bank	HSI R Bull CBBC Oct 2013 E	0.250	19,988 per 15,000 units	20,188 per 15,000 units	2013/10/30	100,000,000	2013/03/06
61255	HK Bank	HSI R Bear CBBC Sep 2013 H	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2013/09/27	100,000,000	2013/03/06
61274	HK Bank	TCH R Bear CBBC Aug 2013 B	0.250	287.88 per 100 units	279.88 per 100 units	2013/08/19	50,000,000	2013/03/06
61309	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 M	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/06
61251	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 K	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/07/30	60,000,000	2013/03/06
61253	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 L	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2013/07/30	60,000,000	2013/03/06
61275	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 E	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/09/27	100,000,000	2013/03/06
61276	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 F	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2013/09/27	100,000,000	2013/03/06
61296	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 G	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	100,000,000	2013/03/06
61277	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 A	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/07/30	100,000,000	2013/03/06
61278	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 B	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	100,000,000	2013/03/06
61333	UBS AG	AIA R Bull CBBC Dec 2013 C	0.250	30.38 per 100 units	31.38 per 100 units	2013/12/16	100,000,000	2013/03/06
61332	UBS AG	AIA R Bear CBBC Nov 2013 A	0.250	36.88 per 100 units	35.88 per 100 units	2013/11/18	100,000,000	2013/03/06
61326	UBS AG	China Life R Bull CBBC Jun 2013 D	0.490	17.88	18.88	2013/06/24	100,000,000	2013/03/06
61325	UBS AG	CSOP A50 ETF R Bull CBBC Sep 2013 A	0.250	8.95	9.25	2013/09/16	100,000,000	2013/03/06
61320	UBS AG	CSOP A50 ETF R Bear CBBC Jul 2013 B	0.250	11.55	11.25	2013/07/08	100,000,000	2013/03/06
61342	UBS AG	China Shenhua R Bull CBBC Jan 2014 A	0.250	24.38 per 100 units	26.38 per 100 units	2014/01/13	100,000,000	2013/03/06
61334	UBS AG	China Shenhua R Bear CBBC Dec 2013 A	0.250	35.88 per 100 units	33.88 per 100 units	2013/12/09	100,000,000	2013/03/06
61316	UBS AG	A50 China R Bull CBBC Jan 2014 A	0.250	8.95	9.25	2014/01/13	100,000,000	2013/03/06
61313	UBS AG	HSCEI R Bear CBBC Jul 2013 B	0.250	11,700 per 10,000 units	11,500 per 10,000 units	2013/07/30	100,000,000	2013/03/06
61300	UBS AG	HSI R Bull CBBC Nov 2013 G	0.250	22,424 per 12,000 units	22,624 per 12,000 units	2013/11/28	350,000,000	2013/03/06
61288	UBS AG	HSI R Bear CBBC Jul 2013 Y	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/07/30	300,000,000	2013/03/06
61310	UBS AG	HSI R Bear CBBC Aug 2013 Q	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	300,000,000	2013/03/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61312	UBS AG	HSI R Bear CBBC Oct 2013 E	0.250	22,778 per 12,000 units	22,578 per 12,000 units	2013/10/30	350,000,000	2013/03/06
64070	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/03/07
63427	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 U	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/12/30	300,000,000	2013/03/07
63507	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 V	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/03/07
63513	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 W	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/12/30	300,000,000	2013/03/07
64068	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 X	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/03/07
64069	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Y	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/03/07
63270	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 W	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/03/07
63324	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/03/07
63566	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Y	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/03/07
63717	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Q	0.250	22,700 per 12,000 units	22,900 per 12,000 units	2013/10/30	300,000,000	2013/03/07
63750	Credit Suisse AG	HSI R Bull CBBC Oct 2013 R	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	300,000,000	2013/03/07
63809	Credit Suisse AG	HSI R Bull CBBC Oct 2013 S	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/10/30	300,000,000	2013/03/07
63664	Credit Suisse AG	HSI R Bull CBBC Nov 2013 C	0.250	22,820 per 12,000 units	23,020 per 12,000 units	2013/11/28	300,000,000	2013/03/07
63697	Credit Suisse AG	HSI R Bull CBBC Nov 2013 D	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/11/28	300,000,000	2013/03/07
61343	Credit Suisse AG	HSI R Bear CBBC Jul 2013 M	0.250	22,778 per 12,000 units	22,578 per 12,000 units	2013/07/30	300,000,000	2013/03/07
61344	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Q	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/07/30	300,000,000	2013/03/07
63117	Credit Suisse AG	HSI R Bear CBBC Jul 2013 R	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/07/30	300,000,000	2013/03/07
63516	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 I	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2013/08/29	150,000,000	2013/03/07
63558	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 J	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/08/29	150,000,000	2013/03/07
63645	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	150,000,000	2013/03/07
63844	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 C	0.252	22,588 per 7,000 units	22,788 per 7,000 units	2013/06/27	150,000,000	2013/03/07
63875	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 D	0.250	22,788 per 7,000 units	22,988 per 7,000 units	2013/06/27	150,000,000	2013/03/07
63876	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 E	0.254	22,488 per 10,000 units	22,688 per 10,000 units	2013/06/27	150,000,000	2013/03/07
63910	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 F	0.252	22,688 per 10,000 units	22,888 per 10,000 units	2013/06/27	150,000,000	2013/03/07
64058	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 G	0.258	22,338 per 20,000 units	22,638 per 20,000 units	2013/06/27	150,000,000	2013/03/07
64059	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 H	0.251	22,638 per 20,000 units	22,938 per 20,000 units	2013/06/27	150,000,000	2013/03/07
63832	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 D	0.250	22,428 per 10,000 units	22,628 per 10,000 units	2013/07/30	60,000,000	2013/03/07
63833	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 E	0.250	22,328 per 10,000 units	22,528 per 10,000 units	2013/07/30	60,000,000	2013/03/07
63173	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 H	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	100,000,000	2013/03/07
63267	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 C	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	100,000,000	2013/03/07
63103	UBS AG	CNBM R Bull CBBC Aug 2013 A	0.250	10.58	11.38	2013/08/19	50,000,000	2013/03/07
63066	UBS AG	CNBM R Bear CBBC Oct 2013 A	0.250	13.68	12.88	2013/10/21	50,000,000	2013/03/07
62176	UBS AG	CNOOC R Bull CBBC Oct 2013 C	0.295	12.08	12.88	2013/10/21	100,000,000	2013/03/07
62175	UBS AG	CNOOC R Bear CBBC Jul 2013 B	0.250	16.68	15.88	2013/07/08	100,000,000	2013/03/07
63842	UBS AG	HSI R Bull CBBC Jul 2013 J	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/07/30	300,000,000	2013/03/07
63834	UBS AG	HSI R Bull CBBC Aug 2013 X	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/08/29	300,000,000	2013/03/07
63836	UBS AG	HSI R Bull CBBC Oct 2013 Q	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	300,000,000	2013/03/07
63843	UBS AG	HSI R Bull CBBC Nov 2013 H	0.250	22,820 per 12,000 units	23,020 per 12,000 units	2013/11/28	350,000,000	2013/03/07
63636	UBS AG	HSI R Bear CBBC Jun 2013 G	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/03/07
61349	UBS AG	HSI R Bear CBBC Sep 2013 K	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/09/27	300,000,000	2013/03/07
61345	UBS AG	HSI R Bear CBBC Oct 2013 F	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/10/30	300,000,000	2013/03/07
64133	Credit Suisse AG	HSI R Bull CBBC Aug 2013 S	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/08/29	300,000,000	2013/03/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64155	Credit Suisse AG	HSI R Bull CBBC Aug 2013 U	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/08/29	300,000,000	2013/03/08
64163	Credit Suisse AG	HSI R Bear CBBC Jun 2013 E	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/03/08
64178	Credit Suisse AG	HSI R Bear CBBC Jun 2013 X	0.250	23,081 per 12,000 units	22,881 per 12,000 units	2013/06/27	300,000,000	2013/03/08
64122	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 C	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/10/30	150,000,000	2013/03/08
64196	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	150,000,000	2013/03/08
64088	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2013 B	0.250	10.28	10.58	2013/07/29	100,000,000	2013/03/08
64087	HK Bank	A50 R Bull CBBC Jun 2013 E	0.250	9.98	10.28	2013/06/17	100,000,000	2013/03/08
64081	HK Bank	A50 R Bull CBBC Jul 2013 D	0.250	10.68	10.98	2013/07/29	100,000,000	2013/03/08
64082	HK Bank	A50 R Bull CBBC Jul 2013 E	0.250	10.48	10.78	2013/07/15	100,000,000	2013/03/08
64085	HK Bank	A50 R Bull CBBC Jul 2013 F	0.250	10.38	10.68	2013/07/02	100,000,000	2013/03/08
64119	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/03/08
64120	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 A	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/08/29	300,000,000	2013/03/08
64121	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 B	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/03/08
64209	UBS AG	A50 China R Bull CBBC Jan 2014 B	0.250	10.45	10.75	2014/01/06	100,000,000	2013/03/08
64159	UBS AG	HSI R Bull CBBC Aug 2013 Y	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/03/08
64199	UBS AG	HSI R Bear CBBC Oct 2013 G	0.250	23,081 per 12,000 units	22,881 per 12,000 units	2013/10/30	350,000,000	2013/03/08
68526 #	HK Bank	China Mobile R Bull CBBC Jun 2013 A	0.053	78.38 per 100 units	80.88 per 100 units	2013/06/17	200,000,000	2013/03/08
64271	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	300,000,000	2013/03/11
64493	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 K	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/06/27	300,000,000	2013/03/11
64475	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Z	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/03/11
64284	Credit Suisse AG	HSI R Bull CBBC Oct 2013 T	0.250	22,680 per 10,000 units	22,880 per 10,000 units	2013/10/30	300,000,000	2013/03/11
64349	Credit Suisse AG	HSI R Bull CBBC Oct 2013 U	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	300,000,000	2013/03/11
64501	Credit Suisse AG	HSI R Bull CBBC Oct 2013 V	0.250	22,380 per 10,000 units	22,580 per 10,000 units	2013/10/30	300,000,000	2013/03/11
64585	Credit Suisse AG	HSI R Bear CBBC Jun 2013 C	0.250	22,740 per 12,000 units	22,540 per 12,000 units	2013/06/27	300,000,000	2013/03/11
64578	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Y	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/03/11
64350	Credit Suisse AG	Hutchison R Bull CBBC Oct 2013 A	0.250	75 per 100 units	78 per 100 units	2013/10/25	80,000,000	2013/03/11
64354	Credit Suisse AG	Hutchison R Bull CBBC Oct 2013 B	0.250	69 per 100 units	72 per 100 units	2013/10/25	80,000,000	2013/03/11
64355	Credit Suisse AG	TCH R Bull CBBC Oct 2013 A	0.250	255 per 100 units	263 per 100 units	2013/10/25	60,000,000	2013/03/11
64358	Credit Suisse AG	TCH R Bull CBBC Oct 2013 B	0.290	244 per 100 units	252 per 100 units	2013/10/25	60,000,000	2013/03/11
64366	Credit Suisse AG	TCH R Bear CBBC Oct 2013 A	0.250	293 per 100 units	285 per 100 units	2013/10/25	60,000,000	2013/03/11
64252	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 D	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/10/30	150,000,000	2013/03/11
64253	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 E	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	150,000,000	2013/03/11
64263	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 F	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/10/30	150,000,000	2013/03/11
64605	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 G	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	150,000,000	2013/03/11
64601	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 M	0.250	22,738 per 10,000 units	22,538 per 10,000 units	2013/08/29	150,000,000	2013/03/11
64604	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 N	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	150,000,000	2013/03/11
64586	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 G	0.252	23,038 per 7,000 units	22,838 per 7,000 units	2013/06/27	150,000,000	2013/03/11
64593	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 H	0.252	23,138 per 10,000 units	22,938 per 10,000 units	2013/06/27	150,000,000	2013/03/11
64600	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 I	0.251	22,988 per 20,000 units	22,688 per 20,000 units	2013/06/27	150,000,000	2013/03/11
64626	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 F	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/07/30	300,000,000	2013/03/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64625	J P Morgan SP BV	HSI R Bear CBBC Aug 2013 B	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/03/11
64627	J P Morgan SP BV	HSI R Bear CBBC Aug 2013 C	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	300,000,000	2013/03/11
64547	UBS AG	COVS R Bull CBBC Oct 2013 A	0.290	18.88	19.88	2013/10/07	100,000,000	2013/03/11
64520	UBS AG	COVS R Bear CBBC Jul 2013 A	0.300	24.38	23.38	2013/07/08	100,000,000	2013/03/11
64279	UBS AG	HSI R Bull CBBC Jun 2013 G	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/06/27	300,000,000	2013/03/11
64519	UBS AG	HSI R Bull CBBC Jul 2013 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/11
64615	UBS AG	HSI R Bull CBBC Sep 2013 Z	0.250	22,188 per 12,000 units	22,388 per 12,000 units	2013/09/27	350,000,000	2013/03/11
64281	UBS AG	HSI R Bull CBBC Oct 2013 R	0.250	22,680 per 10,000 units	22,880 per 10,000 units	2013/10/30	300,000,000	2013/03/11
64503	UBS AG	HSI R Bear CBBC Jul 2013 Z	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/11
64504	UBS AG	HSI R Bear CBBC Aug 2013 R	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/08/29	300,000,000	2013/03/11
65358 #	HK Bank	HSCEI R Bull CBBC Oct 2013 A	0.065	10,488 per 10,000 units	10,688 per 10,000 units	2013/10/30	170,000,000	2013/03/11
64770	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 J	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/03/12
64697	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 L	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/03/12
64698	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 M	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/06/27	300,000,000	2013/03/12
64827	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Y	0.250	22,397 per 12,000 units	22,597 per 12,000 units	2013/09/27	300,000,000	2013/03/12
64740	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Z	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/03/12
64814	Credit Suisse AG	HSI R Bear CBBC Jul 2013 V	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/07/30	300,000,000	2013/03/12
64831	Credit Suisse AG	HSI R Bear CBBC Jul 2013 X	0.250	22,761 per 10,000 units	22,561 per 10,000 units	2013/07/30	300,000,000	2013/03/12
64787	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 H	0.250	22,388 per 10,000 units	22,588 per 10,000 units	2013/10/30	150,000,000	2013/03/12
64902	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 O	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	150,000,000	2013/03/12
64664	HK Bank	CNOOC R Bull CBBC Nov 2013 A	0.250	12.18	12.98	2013/11/25	60,000,000	2013/03/12
64652	HK Bank	China Shenhua R Bull CBBC Mar 2014 A	0.250	25.58 per 100 units	27.58 per 100 units	2014/03/26	60,000,000	2013/03/12
64680	HK Bank	Galaxy Ent R Bear CBBC Dec 2013 C	0.600	37.27	36.27	2013/12/23	40,000,000	2013/03/12
64628	HK Bank	HSI R Bull CBBC Dec 2013 D	0.250	19,788 per 15,000 units	19,988 per 15,000 units	2013/12/30	100,000,000	2013/03/12
64651	HK Bank	HSI R Bull CBBC Feb 2014 A	0.250	18,328 per 20,000 units	18,628 per 20,000 units	2014/02/27	400,000,000	2013/03/12
64665	HK Bank	Yanzhou Coal R Bull CBBC Aug 2013 A	0.250	10.38	10.98	2013/08/26	50,000,000	2013/03/12
64771	UBS AG	BOCL R Bear CBBC Dec 2013 A	0.250	4.18	4	2013/12/16	100,000,000	2013/03/12
64773	UBS AG	China Mobile R Bear CBBC Aug 2013 A	0.250	90 per 100 units	87.50 per 100 units	2013/08/19	100,000,000	2013/03/12
64772	UBS AG	Evergrande R Bull CBBC Oct 2013 A	0.250	2.45	2.75	2013/10/07	100,000,000	2013/03/12
64901	UBS AG	HSI R Bull CBBC Aug 2013 Z	0.250	22,375 per 12,000 units	22,575 per 12,000 units	2013/08/29	350,000,000	2013/03/12
64756	UBS AG	HSI R Bear CBBC Sep 2013 L	0.250	22,738 per 12,000 units	22,538 per 12,000 units	2013/09/27	350,000,000	2013/03/12
64899	UBS AG	HSI R Bear CBBC Oct 2013 H	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/10/30	300,000,000	2013/03/12
64781	UBS AG	TCH R Bull CBBC Aug 2013 A	0.250	262 per 100 units	270 per 100 units	2013/08/12	100,000,000	2013/03/12
65159	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Z	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/03/13
65038	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 P	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/06/27	300,000,000	2013/03/13
65145	Credit Suisse AG	HSI R Bull CBBC Sep 2013 F	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/03/13
65158	Credit Suisse AG	HSI R Bull CBBC Sep 2013 K	0.250	22,577 per 12,000 units	22,777 per 12,000 units	2013/09/27	300,000,000	2013/03/13
65041	Credit Suisse AG	HSI R Bear CBBC Jul 2013 W	0.250	22,828 per 12,000 units	22,628 per 12,000 units	2013/07/30	300,000,000	2013/03/13
65073	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Z	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/03/13
65161	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 I	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/10/30	150,000,000	2013/03/13
65087	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 P	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/08/29	150,000,000	2013/03/13
65162	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 Q	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/08/29	150,000,000	2013/03/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65160	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 J	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/06/27	150,000,000	2013/03/13
65008	HK Bank	AIA R Bear CBBC Nov 2013 A	0.350	36.78	35.78	2013/11/04	50,000,000	2013/03/13
64988	HK Bank	CUni R Bull CBBC Dec 2013 A	0.260	8.28	9.28	2013/12/23	50,000,000	2013/03/13
65007	HK Bank	China Life R Bull CBBC Oct 2013 A	0.490	17.88	18.88	2013/10/21	60,000,000	2013/03/13
64915	HK Bank	PetCh R Bull CBBC Oct 2013 B	0.250	8.78	9.18	2013/10/15	60,000,000	2013/03/13
64903	HK Bank	TCH R Bull CBBC Aug 2013 B	0.250	259.88 per 100 units	267.88 per 100 units	2013/08/19	50,000,000	2013/03/13
65129	UBS AG	HSCEI R Bull CBBC Sep 2013 B	0.250	9,550 per 10,000 units	9,750 per 10,000 units	2013/09/27	100,000,000	2013/03/13
65132	UBS AG	HSCEI R Bull CBBC Oct 2013 B	0.250	9,800 per 10,000 units	10,000 per 10,000 units	2013/10/30	100,000,000	2013/03/13
65103	UBS AG	HSI R Bull CBBC Sep 2013 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/09/27	300,000,000	2013/03/13
65122	UBS AG	HSI R Bull CBBC Nov 2013 I	0.250	22,575 per 12,000 units	22,775 per 12,000 units	2013/11/28	350,000,000	2013/03/13
65074	UBS AG	HSI R Bear CBBC Aug 2013 S	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	300,000,000	2013/03/13
65080	UBS AG	HSI R Bear CBBC Oct 2013 I	0.250	22,775 per 12,000 units	22,575 per 12,000 units	2013/10/30	350,000,000	2013/03/13
65133	UBS AG	TCH R Bear CBBC Dec 2013 A	0.680	348 per 100 units	340 per 100 units	2013/12/09	100,000,000	2013/03/13
65238	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 E	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/03/14
65240	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Y	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/10/30	300,000,000	2013/03/14
65237	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	22,978 per 12,000 units	22,778 per 12,000 units	2013/07/30	300,000,000	2013/03/14
65241	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 J	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	150,000,000	2013/03/14
65242	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 K	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/10/30	150,000,000	2013/03/14
65211	HK Bank	AIA R Bull CBBC Oct 2013 B	0.250	30.88	31.88	2013/10/15	60,000,000	2013/03/14
65236	HK Bank	GCL-Poly Energy R Bull CBBC Jul 2014 A	0.250	1.58	1.88	2014/07/18	50,000,000	2013/03/14
65222	HK Bank	Galaxy Ent R Bear CBBC Oct 2013 B	0.400	35.27	34.27	2013/10/21	40,000,000	2013/03/14
65176	HK Bank	HSI R Bull CBBC Sep 2013 G	0.250	21,618 per 10,000 units	21,818 per 10,000 units	2013/09/27	100,000,000	2013/03/14
65186	HK Bank	HSI R Bull CBBC Oct 2013 F	0.250	21,118 per 10,000 units	21,318 per 10,000 units	2013/10/30	100,000,000	2013/03/14
65187	HK Bank	HSI R Bull CBBC Nov 2013 F	0.250	20,618 per 10,000 units	20,818 per 10,000 units	2013/11/28	100,000,000	2013/03/14
65196	HK Bank	HSI R Bear CBBC Sep 2013 I	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2013/09/27	100,000,000	2013/03/14
65197	HK Bank	HSI R Bear CBBC Nov 2013 J	0.250	25,018 per 10,000 units	24,818 per 10,000 units	2013/11/28	100,000,000	2013/03/14
65244	UBS AG	HSI R Bull CBBC Jul 2013 L	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/14
65243	UBS AG	HSI R Bull CBBC Aug 2013 P	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/08/29	300,000,000	2013/03/14
65239	UBS AG	HSI R Bear CBBC Aug 2013 T	0.250	22,978 per 12,000 units	22,778 per 12,000 units	2013/08/29	350,000,000	2013/03/14
65498	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/03/15
65499	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 K	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/03/15
65501	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/03/15
65457	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Q	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/03/15
65502	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 R	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/03/15
65523	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/06/27	300,000,000	2013/03/15
65504	Credit Suisse AG	HSI R Bull CBBC Nov 2013 E	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/11/28	300,000,000	2013/03/15
65519	Credit Suisse AG	HSI R Bull CBBC Nov 2013 F	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/11/28	300,000,000	2013/03/15
65520	Credit Suisse AG	HSI R Bull CBBC Nov 2013 G	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/11/28	300,000,000	2013/03/15
65521	Credit Suisse AG	HSI R Bull CBBC Nov 2013 H	0.250	22,870 per 10,000 units	23,070 per 10,000 units	2013/11/28	300,000,000	2013/03/15
65522	Credit Suisse AG	HSI R Bull CBBC Nov 2013 I	0.250	22,891 per 12,000 units	23,091 per 12,000 units	2013/11/28	300,000,000	2013/03/15
65254	Credit Suisse AG	HSI R Bear CBBC Jun 2013 E	0.250	22,972 per 10,000 units	22,772 per 10,000 units	2013/06/27	300,000,000	2013/03/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65334	Credit Suisse AG	HSI R Bear CBBC Jun 2013 X	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/03/15
65496	Credit Suisse AG	HSI R Bear CBBC Jul 2013 B	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65253	Credit Suisse AG	HSI R Bear CBBC Jul 2013 D	0.250	23,050 per 12,000 units	22,850 per 12,000 units	2013/07/30	300,000,000	2013/03/15
65489	Credit Suisse AG	HSI R Bear CBBC Jul 2013 G	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65559	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 L	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/10/30	150,000,000	2013/03/15
65460	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 R	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	150,000,000	2013/03/15
65469	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	150,000,000	2013/03/15
65470	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 T	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	150,000,000	2013/03/15
65525	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	150,000,000	2013/03/15
65528	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 I	0.264	22,588 per 7,000 units	22,788 per 7,000 units	2013/06/27	150,000,000	2013/03/15
65533	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 J	0.250	22,788 per 7,000 units	22,988 per 7,000 units	2013/06/27	150,000,000	2013/03/15
65534	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 K	0.257	22,488 per 10,000 units	22,688 per 10,000 units	2013/06/27	150,000,000	2013/03/15
65539	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 L	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2013/06/27	150,000,000	2013/03/15
65541	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 M	0.255	22,888 per 10,000 units	23,088 per 10,000 units	2013/06/27	150,000,000	2013/03/15
65542	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 N	0.258	22,688 per 20,000 units	22,938 per 20,000 units	2013/06/27	150,000,000	2013/03/15
65477	HK Bank	China Life R Bear CBBC Oct 2013 A	0.250	25.08	24.08	2013/10/28	50,000,000	2013/03/15
65479	HK Bank	CNOOC R Bear CBBC Jul 2013 B	0.250	16.28	15.48	2013/07/29	40,000,000	2013/03/15
65487	HK Bank	Galaxy Ent R Bull CBBC Oct 2013 B	0.400	26.68	27.68	2013/10/28	50,000,000	2013/03/15
65472	HK Bank	HSI R Bull CBBC Aug 2013 I	0.250	22,118 per 10,000 units	22,318 per 10,000 units	2013/08/29	100,000,000	2013/03/15
65473	HK Bank	HSI R Bear CBBC Aug 2013 G	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2013/08/29	100,000,000	2013/03/15
65474	HK Bank	HSI R Bear CBBC Oct 2013 H	0.250	24,518 per 10,000 units	24,318 per 10,000 units	2013/10/30	100,000,000	2013/03/15
65563	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65567	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 P	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65560	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 C	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/03/15
65562	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 D	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/08/29	300,000,000	2013/03/15
65471	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	100,000,000	2013/03/15
65497	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 E	0.250	23,238 per 10,000 units	23,038 per 10,000 units	2013/07/30	100,000,000	2013/03/15
65361	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 I	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65336	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 K	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65441	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 U	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65370	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 V	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65342	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 X	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65442	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 O	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65444	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 P	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65445	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 Q	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65446	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 R	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65447	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 S	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2013/06/27	200,000,000	2013/03/15
65558	UBS AG	CSOP A50 ETF R Bull CBBC Aug 2013 C	0.250	10.2	10.5	2013/08/19	100,000,000	2013/03/15
65543	UBS AG	HSCEI R Bear CBBC Jul 2013 C	0.250	13,200 per 10,000 units	13,000 per 10,000 units	2013/07/30	100,000,000	2013/03/15
65551	UBS AG	HSI R Bull CBBC Jul 2013 F	0.250	22,891 per 10,000 units	23,091 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65550	UBS AG	HSI R Bull CBBC Aug 2013 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/03/15
65544	UBS AG	HSI R Bull CBBC Sep 2013 N	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/09/27	300,000,000	2013/03/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65554	UBS AG	HSI R Bear CBBC Jul 2013 A	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65552	UBS AG	HSI R Bear CBBC Jul 2013 B	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65458	UBS AG	HSI R Bear CBBC Jul 2013 C	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/03/15
65459	UBS AG	HSI R Bear CBBC Aug 2013 U	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	300,000,000	2013/03/15
65553	UBS AG	HSI R Bear CBBC Oct 2013 J	0.250	23,725 per 12,000 units	23,525 per 12,000 units	2013/10/30	350,000,000	2013/03/15
65627	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/12/30	300,000,000	2013/03/18
65636	Credit Suisse AG	HSI R Bull CBBC Sep 2013 P	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/03/18
65637	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	300,000,000	2013/03/18
65640	Credit Suisse AG	HSI R Bull CBBC Sep 2013 R	0.250	22,968 per 12,000 units	23,168 per 12,000 units	2013/09/27	300,000,000	2013/03/18
65650	Credit Suisse AG	HSI R Bull CBBC Sep 2013 S	0.250	22,994 per 10,000 units	23,194 per 10,000 units	2013/09/27	300,000,000	2013/03/18
65595	Credit Suisse AG	HSI R Bear CBBC Jun 2013 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/06/27	300,000,000	2013/03/18
65634	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/10/30	150,000,000	2013/03/18
65635	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/10/30	150,000,000	2013/03/18
65594	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 V	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/08/29	150,000,000	2013/03/18
65587	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 K	0.254	23,388 per 10,000 units	23,188 per 10,000 units	2013/06/27	150,000,000	2013/03/18
65582	HK Bank	China Mobile R Bull CBBC Aug 2013 D	0.250	80.18 per 100 units	82.68 per 100 units	2013/08/05	120,000,000	2013/03/18
65581	HK Bank	Cheung Kong R Bull CBBC Oct 2013 B	0.290	90.88 per 100 units	96.88 per 100 units	2013/10/28	60,000,000	2013/03/18
65580	HK Bank	HSI R Bull CBBC Aug 2013 J	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2013/08/29	100,000,000	2013/03/18
65569	HK Bank	HSI R Bull CBBC Sep 2013 H	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2013/09/27	100,000,000	2013/03/18
65568	HK Bank	HSI R Bull CBBC Oct 2013 G	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2013/10/30	100,000,000	2013/03/18
65583	HK Bank	SHK Ppt R Bear CBBC Sep 2013 B	0.250	124.98 per 100 units	120.98 per 100 units	2013/09/09	50,000,000	2013/03/18
65660	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 F	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2013/07/30	60,000,000	2013/03/18
65671	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Jul 2013 G	0.250	22,528 per 10,000 units	22,728 per 10,000 units	2013/07/30	60,000,000	2013/03/18
65655	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Jul 2013 M	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2013/07/30	60,000,000	2013/03/18
65586	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	100,000,000	2013/03/18
65616	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 W	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2013/06/27	200,000,000	2013/03/18
65602	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 Z	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2013/06/27	200,000,000	2013/03/18
65598	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 P	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2013/07/30	200,000,000	2013/03/18
65617	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 T	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2013/06/27	200,000,000	2013/03/18
65674	UBS AG	Anhui Conch R Bull CBBC Feb 2014 A	0.250	24 per 100 units	26 per 100 units	2014/02/10	100,000,000	2013/03/18
65672	UBS AG	Anhui Conch R Bear CBBC Dec 2013 A	0.250	33 per 100 units	31 per 100 units	2013/12/23	100,000,000	2013/03/18
65631	UBS AG	HSI R Bull CBBC Jul 2013 M	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/07/30	300,000,000	2013/03/18
65633	UBS AG	HSI R Bull CBBC Sep 2013 K	0.250	22,994 per 10,000 units	23,194 per 10,000 units	2013/09/27	300,000,000	2013/03/18
65632	UBS AG	HSI R Bull CBBC Sep 2013 O	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2013/09/27	300,000,000	2013/03/18
65629	UBS AG	HSI R Bull CBBC Oct 2013 S	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	300,000,000	2013/03/18
65590	UBS AG	HSI R Bear CBBC Aug 2013 V	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/03/18
65758	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 V	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/03/19
65718	Credit Suisse AG	HSI R Bull CBBC Oct 2013 A	0.250	22,680 per 12,000 units	22,880 per 12,000 units	2013/10/30	300,000,000	2013/03/19
65726	Credit Suisse AG	HSI R Bull CBBC Oct 2013 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	300,000,000	2013/03/19
65693	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Z	0.250	22,850 per 12,000 units	23,050 per 12,000 units	2013/10/30	300,000,000	2013/03/19
65727	Credit Suisse AG	HSI R Bear CBBC Jun 2013 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/03/19
65684	Credit Suisse AG	HSI R Bear CBBC Jun 2013 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/06/27	300,000,000	2013/03/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65690	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Y	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/10/30	150,000,000	2013/03/19
65686	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	150,000,000	2013/03/19
65715	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 X	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/08/29	150,000,000	2013/03/19
65759	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 L	0.257	23,438 per 7,000 units	23,238 per 7,000 units	2013/06/27	150,000,000	2013/03/19
65760	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 M	0.251	23,238 per 7,000 units	23,038 per 7,000 units	2013/06/27	150,000,000	2013/03/19
65771	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 N	0.253	23,138 per 10,000 units	22,938 per 10,000 units	2013/06/27	150,000,000	2013/03/19
65772	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 O	0.253	23,438 per 20,000 units	23,188 per 20,000 units	2013/06/27	150,000,000	2013/03/19
65678	HK Bank	AIA R Bull CBBC Oct 2013 C	0.260	31.88	32.88	2013/10/28	60,000,000	2013/03/19
65683	HK Bank	AIA R Bear CBBC Dec 2013 D	0.400	38.08	37.08	2013/12/16	50,000,000	2013/03/19
65675	HK Bank	HSCEI R Bull CBBC Aug 2013 B	0.250	11,088 per 10,000 units	11,288 per 10,000 units	2013/08/29	100,000,000	2013/03/19
65677	HK Bank	TCH R Bear CBBC Sep 2013 B	0.550	334.88 per 100 units	326.88 per 100 units	2013/09/18	50,000,000	2013/03/19
65676	HK Bank	TCH R Bear CBBC Oct 2013 A	0.420	321.88 per 100 units	313.88 per 100 units	2013/10/28	50,000,000	2013/03/19
65774	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 T	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/06/27	300,000,000	2013/03/19
65781	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 G	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/07/30	300,000,000	2013/03/19
65784	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/07/30	300,000,000	2013/03/19
65685	UBS AG	HSI R Bull CBBC Nov 2013 J	0.250	22,875 per 12,000 units	23,075 per 12,000 units	2013/11/28	350,000,000	2013/03/19
65694	UBS AG	HSI R Bull CBBC Nov 2013 K	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/11/28	300,000,000	2013/03/19
65737	UBS AG	HSI R Bear CBBC Sep 2013 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/09/27	300,000,000	2013/03/19
65740	UBS AG	HSI R Bear CBBC Sep 2013 N	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/09/27	300,000,000	2013/03/19
65757	UBS AG	HSI R Bear CBBC Sep 2013 O	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/03/19
65714	UBS AG	SHK Ppt R Bear CBBC Sep 2013 C	0.250	124 per 100 units	120 per 100 units	2013/09/02	100,000,000	2013/03/19
65710	UBS AG	Yanzhou Coal R Bull CBBC Aug 2013 A	0.250	10.4	11	2013/08/12	50,000,000	2013/03/19
65682 #	HK Bank	A50 R Bull CBBC Sep 2013 C	0.086	9.88	10.18	2013/09/02	120,000,000	2013/03/19
65890	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/12/30	300,000,000	2013/03/20
65816	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 P	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	300,000,000	2013/03/20
65869	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 R	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/12/30	300,000,000	2013/03/20
65887	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 F	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65984	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 G	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/03/20
66009	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 N	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65881	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 S	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65882	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 T	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65791	Credit Suisse AG	HSI R Bull CBBC Aug 2013 B	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/03/20
65928	Credit Suisse AG	HSI R Bull CBBC Aug 2013 D	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/08/29	300,000,000	2013/03/20
65790	Credit Suisse AG	HSI R Bull CBBC Aug 2013 V	0.250	22,630 per 12,000 units	22,830 per 12,000 units	2013/08/29	300,000,000	2013/03/20
65917	Credit Suisse AG	HSI R Bull CBBC Aug 2013 Y	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/03/20
65953	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Y	0.250	22,757 per 10,000 units	22,557 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65955	Credit Suisse AG	HSI R Bear CBBC Jun 2013 Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/06/27	300,000,000	2013/03/20
65945	Credit Suisse AG	HSI R Bear CBBC Jul 2013 H	0.250	22,780 per 12,000 units	22,580 per 12,000 units	2013/07/30	300,000,000	2013/03/20
65959	Credit Suisse AG	HSI R Bear CBBC Jul 2013 L	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65960	Credit Suisse AG	HSI R Bear CBBC Jul 2013 M	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65975	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65847	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 O	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/10/30	150,000,000	2013/03/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65936	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 P	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	150,000,000	2013/03/20
65944	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Q	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/10/30	150,000,000	2013/03/20
66066	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 Y	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/08/29	150,000,000	2013/03/20
66069	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 Z	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	150,000,000	2013/03/20
66036	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 O	0.254	21,588 per 7,000 units	21,788 per 7,000 units	2013/06/27	150,000,000	2013/03/20
66044	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 P	0.253	21,488 per 10,000 units	21,688 per 10,000 units	2013/06/27	150,000,000	2013/03/20
66059	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 Q	0.252	21,538 per 20,000 units	21,738 per 20,000 units	2013/06/27	150,000,000	2013/03/20
66010	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 P	0.256	23,038 per 7,000 units	22,838 per 7,000 units	2013/06/27	150,000,000	2013/03/20
66012	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 Q	0.259	22,838 per 7,000 units	22,638 per 7,000 units	2013/06/27	150,000,000	2013/03/20
66022	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 R	0.254	22,938 per 10,000 units	22,738 per 10,000 units	2013/06/27	150,000,000	2013/03/20
66023	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 S	0.253	22,788 per 10,000 units	22,588 per 10,000 units	2013/06/27	150,000,000	2013/03/20
65789	HK Bank	CSOP A50 ETF R Bear CBBC Jul 2013 B	0.250	11.28	10.98	2013/07/29	40,000,000	2013/03/20
65788	HK Bank	A50 R Bear CBBC Aug 2013 F	0.250	11.48	11.18	2013/08/26	50,000,000	2013/03/20
66074	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 U	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/03/20
66073	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 I	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65899	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 J	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	100,000,000	2013/03/20
65817	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 Y	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2013/06/27	200,000,000	2013/03/20
65820	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 U	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2013/06/27	200,000,000	2013/03/20
65822	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 V	0.250	23,750 per 12,000 units	23,550 per 12,000 units	2013/06/27	200,000,000	2013/03/20
65831	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 W	0.250	24,050 per 12,000 units	23,850 per 12,000 units	2013/06/27	200,000,000	2013/03/20
65859	UBS AG	China Life R Bear CBBC Aug 2013 B	0.250	24.38	23.38	2013/08/26	100,000,000	2013/03/20
65858	UBS AG	CSOP A50 ETF R Bear CBBC Jul 2013 C	0.250	11.3	11	2013/07/15	100,000,000	2013/03/20
65850	UBS AG	A50 China R Bear CBBC Aug 2013 B	0.250	11.3	11	2013/08/19	100,000,000	2013/03/20
65864	UBS AG	HKEx R Bear CBBC Aug 2013 A	0.250	150 per 100 units	145 per 100 units	2013/08/05	100,000,000	2013/03/20
65909	UBS AG	HSCEI R Bull CBBC Oct 2013 C	0.250	8,800 per 10,000 units	9,000 per 10,000 units	2013/10/30	100,000,000	2013/03/20
65903	UBS AG	HSCEI R Bear CBBC Jul 2013 D	0.250	11,700 per 10,000 units	11,500 per 10,000 units	2013/07/30	100,000,000	2013/03/20
65844	UBS AG	HSI R Bull CBBC Sep 2013 L	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/03/20
65849	UBS AG	HSI R Bull CBBC Sep 2013 P	0.250	22,025 per 12,000 units	22,225 per 12,000 units	2013/09/27	350,000,000	2013/03/20
65905	UBS AG	HSI R Bull CBBC Sep 2013 Q	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/09/27	300,000,000	2013/03/20
65846	UBS AG	HSI R Bull CBBC Oct 2013 T	0.250	22,675 per 12,000 units	22,875 per 12,000 units	2013/10/30	350,000,000	2013/03/20
65978	UBS AG	HSI R Bear CBBC Jul 2013 D	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65981	UBS AG	HSI R Bear CBBC Jul 2013 Q	0.250	22,757 per 10,000 units	22,557 per 10,000 units	2013/07/30	300,000,000	2013/03/20
65976	UBS AG	HSI R Bear CBBC Aug 2013 W	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	300,000,000	2013/03/20
65977	UBS AG	HSI R Bear CBBC Oct 2013 K	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/10/30	300,000,000	2013/03/20
65067 #	HK Bank	HSCEI R Bull CBBC Nov 2013 A	0.104	9,988 per 10,000 units	10,188 per 10,000 units	2013/11/28	130,000,000	2013/03/20
65162 #	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec11R	0.077	25,200 per 10,000 units	25,000 per 10,000 units	2011/12/29	150,000,000	2013/03/20
66147	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 U	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/12/30	300,000,000	2013/03/21
66150	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 V	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/12/30	300,000,000	2013/03/21
66152	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/12/30	300,000,000	2013/03/21
66145	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/06/27	300,000,000	2013/03/21
66146	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 W	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/06/27	300,000,000	2013/03/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66161	Credit Suisse AG	HSI R Bull CBBC Sep 2013 M	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/09/27	300,000,000	2013/03/21
66201	Credit Suisse AG	HSI R Bull CBBC Sep 2013 N	0.250	22,419 per 10,000 units	22,619 per 10,000 units	2013/09/27	300,000,000	2013/03/21
66156	Credit Suisse AG	HSI R Bull CBBC Sep 2013 U	0.250	22,338 per 12,000 units	22,538 per 12,000 units	2013/09/27	300,000,000	2013/03/21
66160	Credit Suisse AG	HSI R Bull CBBC Sep 2013 V	0.250	22,120 per 12,000 units	22,320 per 12,000 units	2013/09/27	300,000,000	2013/03/21
66180	Credit Suisse AG	HSI R Bear CBBC Jul 2013 A	0.250	22,596 per 10,000 units	22,396 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66181	Credit Suisse AG	HSI R Bear CBBC Jul 2013 R	0.250	22,650 per 12,000 units	22,450 per 12,000 units	2013/07/30	300,000,000	2013/03/21
66182	Credit Suisse AG	HSI R Bear CBBC Jul 2013 T	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66186	Credit Suisse AG	HSI R Bear CBBC Jul 2013 U	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66183	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Y	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66118	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 R	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/10/30	150,000,000	2013/03/21
66202	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 S	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/10/30	150,000,000	2013/03/21
66168	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 B	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/08/29	150,000,000	2013/03/21
66169	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 C	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/08/29	150,000,000	2013/03/21
66209	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 D	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	150,000,000	2013/03/21
66089	HK Bank	CSOP A50 ETF R Bull CBBC Oct 2013 B	0.250	9.08	9.38	2013/10/28	80,000,000	2013/03/21
66075	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2013 A	0.250	8.68	8.98	2013/11/25	80,000,000	2013/03/21
66096	HK Bank	GCL-Poly Energy R Bull CBBC Dec 2014 A	0.250	1.18	1.48	2014/12/18	50,000,000	2013/03/21
66092	HK Bank	Yanzhou Coal R Bull CBBC Oct 2013 A	0.250	9.28	9.88	2013/10/21	50,000,000	2013/03/21
66238	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 Q	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66244	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 R	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66246	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 S	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66250	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66243	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 E	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/03/21
66245	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 F	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/08/29	300,000,000	2013/03/21
66247	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 G	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/08/29	300,000,000	2013/03/21
66255	J P Morgan SP BV	HSI R Bull CBBC Aug 2013 H	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/03/21
66154	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 K	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/03/21
66155	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 L	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	100,000,000	2013/03/21
66153	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 F	0.250	23,538 per 10,000 units	23,338 per 10,000 units	2013/07/30	100,000,000	2013/03/21
66119	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 Q	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2013/07/30	200,000,000	2013/03/21
66132	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 R	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2013/07/30	200,000,000	2013/03/21
66136	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 S	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2013/07/30	200,000,000	2013/03/21
66139	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 X	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2013/06/27	200,000,000	2013/03/21
66143	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 Y	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2013/06/27	200,000,000	2013/03/21
66144	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 Z	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2013/06/27	200,000,000	2013/03/21
66234	UBS AG	Cheung Kong R Bear CBBC Jul 2013 A	0.250	126 per 100 units	120 per 100 units	2013/07/29	100,000,000	2013/03/21
66227	UBS AG	China Life R Bull CBBC Sep 2013 A	0.540	16.88	17.88	2013/09/09	100,000,000	2013/03/21
66165	UBS AG	HSI R Bull CBBC Oct 2013 U	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/10/30	300,000,000	2013/03/21
66166	UBS AG	HSI R Bull CBBC Nov 2013 L	0.250	22,325 per 12,000 units	22,525 per 12,000 units	2013/11/28	350,000,000	2013/03/21
66223	UBS AG	HSI R Bull CBBC Dec 2013 A	0.250	22,419 per 12,000 units	22,619 per 12,000 units	2013/12/30	350,000,000	2013/03/21
66175	UBS AG	HSI R Bear CBBC Jul 2013 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/07/30	300,000,000	2013/03/21
66178	UBS AG	HSI R Bear CBBC Jul 2013 S	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/03/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66176	UBS AG	HSI R Bear CBBC Aug 2013 X	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/08/29	300,000,000	2013/03/21
66177	UBS AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,725 per 12,000 units	22,525 per 12,000 units	2013/08/29	350,000,000	2013/03/21
66232	UBS AG	SHK Ppt R Bull CBBC Dec 2013 B	0.250	86 per 100 units	90 per 100 units	2013/12/30	100,000,000	2013/03/21
66296	Credit Suisse AG	HSI R Bull CBBC Oct 2013 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/10/30	300,000,000	2013/03/22
66291	Credit Suisse AG	HSI R Bull CBBC Oct 2013 D	0.250	22,590 per 10,000 units	22,790 per 10,000 units	2013/10/30	300,000,000	2013/03/22
66293	Credit Suisse AG	HSI R Bull CBBC Oct 2013 G	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/10/30	300,000,000	2013/03/22
66298	Credit Suisse AG	HSI R Bear CBBC Jun 2013 E	0.250	22,734 per 10,000 units	22,534 per 10,000 units	2013/06/27	300,000,000	2013/03/22
66270	Credit Suisse AG	HSI R Bear CBBC Jul 2013 V	0.250	22,820 per 12,000 units	22,620 per 12,000 units	2013/07/30	300,000,000	2013/03/22
66273	Credit Suisse AG	HSI R Bear CBBC Jul 2013 X	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/07/30	300,000,000	2013/03/22
66278	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 T	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	150,000,000	2013/03/22
66299	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 U	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	150,000,000	2013/03/22
66313	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 I	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66316	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 J	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66319	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 W	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66321	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 X	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66322	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Y	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66314	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Z	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	150,000,000	2013/03/22
66343	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 A	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/08/29	150,000,000	2013/03/22
66312	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 E	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	150,000,000	2013/03/22
66329	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 F	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/08/29	150,000,000	2013/03/22
66330	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 G	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/08/29	150,000,000	2013/03/22
66332	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	150,000,000	2013/03/22
66268	HK Bank	China Life R Bull CBBC Nov 2013 A	0.560	16.68	17.68	2013/11/25	60,000,000	2013/03/22
66269	HK Bank	HKEx R Bear CBBC Sep 2013 A	0.250	149.88 per 100 units	143.88 per 100 units	2013/09/30	50,000,000	2013/03/22
66263	HK Bank	HSCEI R Bull CBBC Sep 2013 C	0.250	10,788 per 10,000 units	10,988 per 10,000 units	2013/09/27	68,000,000	2013/03/22
66260	HK Bank	HSI R Bear CBBC Aug 2013 H	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2013/08/29	100,000,000	2013/03/22
66256	HK Bank	HSI R Bear CBBC Sep 2013 J	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2013/09/27	100,000,000	2013/03/22
66266	HK Bank	SHK Ppt R Bull CBBC Sep 2013 B	0.250	92.88 per 100 units	96.88 per 100 units	2013/09/30	60,000,000	2013/03/22
66267	HK Bank	SHK Ppt R Bull CBBC Oct 2013 B	0.250	84.28 per 100 units	88.28 per 100 units	2013/10/08	60,000,000	2013/03/22
66310	UBS AG	CSOP A50 ETF R Bull CBBC Oct 2013 A	0.250	8.7	9	2013/10/07	100,000,000	2013/03/22
66306	UBS AG	HSI R Bull CBBC Oct 2013 V	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/10/30	300,000,000	2013/03/22
66307	UBS AG	HSI R Bull CBBC Nov 2013 M	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/11/28	300,000,000	2013/03/22
66309	UBS AG	HSI R Bull CBBC Nov 2013 N	0.250	22,590 per 10,000 units	22,790 per 10,000 units	2013/11/28	300,000,000	2013/03/22
66305	UBS AG	HSI R Bull CBBC Dec 2013 B	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/12/30	300,000,000	2013/03/22
66302	UBS AG	HSI R Bear CBBC Jun 2013 J	0.250	22,734 per 10,000 units	22,534 per 10,000 units	2013/06/27	300,000,000	2013/03/22
66275	UBS AG	HSI R Bear CBBC Aug 2013 Z	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/03/22
66276	UBS AG	HSI R Bear CBBC Sep 2013 P	0.250	22,825 per 12,000 units	22,625 per 12,000 units	2013/09/27	350,000,000	2013/03/22
66311	UBS AG	Ping An R Bull CBBC Nov 2013 A	0.250	50.20 per 100 units	55 per 100 units	2013/11/04	100,000,000	2013/03/22
66353	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 B	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66362	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 J	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66369	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 T	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66430	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 W	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/03/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66351	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Y	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66445	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 H	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66441	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 K	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66439	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 X	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66440	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Y	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66442	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2013 Z	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66433	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 A	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66437	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 B	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66438	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 C	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66458	Credit Suisse AG	HSI R Bull CBBC Sep 2013 G	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2013/09/27	300,000,000	2013/03/25
66451	Credit Suisse AG	HSI R Bull CBBC Sep 2013 L	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/09/27	300,000,000	2013/03/25
66456	Credit Suisse AG	HSI R Bull CBBC Sep 2013 O	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2013/09/27	300,000,000	2013/03/25
66457	Credit Suisse AG	HSI R Bull CBBC Sep 2013 W	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2013/09/27	300,000,000	2013/03/25
66388	Credit Suisse AG	HSI R Bull CBBC Oct 2013 E	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66466	Credit Suisse AG	HSI R Bull CBBC Oct 2013 F	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66389	Credit Suisse AG	HSI R Bull CBBC Oct 2013 H	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66390	Credit Suisse AG	HSI R Bull CBBC Oct 2013 I	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66447	Credit Suisse AG	HSI R Bull CBBC Oct 2013 J	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66448	Credit Suisse AG	HSI R Bull CBBC Oct 2013 K	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66465	Credit Suisse AG	HSI R Bull CBBC Oct 2013 L	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66467	Credit Suisse AG	HSI R Bull CBBC Oct 2013 M	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2013/10/30	300,000,000	2013/03/25
66460	Credit Suisse AG	HSI R Bull CBBC Nov 2013 J	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66462	Credit Suisse AG	HSI R Bull CBBC Nov 2013 K	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66463	Credit Suisse AG	HSI R Bull CBBC Nov 2013 L	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66470	Credit Suisse AG	HSI R Bear CBBC Jul 2013 W	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66472	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Z	0.250	22,258 per 10,000 units	22,058 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66468	Credit Suisse AG	HSI R Bear CBBC Aug 2013 K	0.250	22,640 per 10,000 units	22,440 per 10,000 units	2013/08/29	300,000,000	2013/03/25
66469	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/03/25
66471	Credit Suisse AG	HSI R Bear CBBC Aug 2013 M	0.250	22,280 per 12,000 units	22,080 per 12,000 units	2013/08/29	300,000,000	2013/03/25
66482	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 V	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66484	J P Morgan SP BV	HSI R Bear CBBC Jun 2013 W	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66483	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 J	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66485	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 K	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66391	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 M	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/03/25
66397	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 N	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/09/27	100,000,000	2013/03/25
66446	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 O	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	100,000,000	2013/03/25
66344	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 G	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/07/30	100,000,000	2013/03/25
66396	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 H	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	100,000,000	2013/03/25
66387	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 T	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/06/27	200,000,000	2013/03/25
66424	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 U	0.250	20,788 per 10,000 units	20,888 per 10,000 units	2013/06/27	200,000,000	2013/03/25
66425	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 V	0.250	20,888 per 10,000 units	20,988 per 10,000 units	2013/06/27	200,000,000	2013/03/25
66370	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 T	0.250	20,988 per 10,000 units	21,088 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66383	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 U	0.250	21,088 per 10,000 units	21,188 per 10,000 units	2013/07/30	200,000,000	2013/03/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66384	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 V	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66386	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 W	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66410	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 X	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66420	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 Y	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66423	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 Z	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66427	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 J	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/06/27	200,000,000	2013/03/25
66428	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jun13 K	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/06/27	200,000,000	2013/03/25
66429	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 A	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2013/07/30	200,000,000	2013/03/25
66402	UBS AG	Anhui Conch R Bull CBBC Jun 2014 A	0.250	20.88 per 100 units	22.88 per 100 units	2014/06/23	100,000,000	2013/03/25
66409	UBS AG	Cheung Kong R Bull CBBC Oct 2013 C	0.265	84 per 100 units	90 per 100 units	2013/10/21	100,000,000	2013/03/25
66399	UBS AG	CSOP A50 ETF R Bull CBBC Oct 2013 B	0.250	8.2	8.5	2013/10/28	100,000,000	2013/03/25
66404	UBS AG	HKEx R Bull CBBC Aug 2013 A	0.390	95 per 100 units	100 per 100 units	2013/08/05	100,000,000	2013/03/25
66393	UBS AG	HSI R Bull CBBC Nov 2013 O	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66394	UBS AG	HSI R Bull CBBC Nov 2013 P	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66398	UBS AG	HSI R Bull CBBC Nov 2013 Q	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/03/25
66392	UBS AG	HSI R Bull CBBC Dec 2013 C	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66395	UBS AG	HSI R Bull CBBC Dec 2013 D	0.250	22,333 per 10,000 units	22,533 per 10,000 units	2013/12/30	300,000,000	2013/03/25
66473	UBS AG	HSI R Bull CBBC Dec 2013 E	0.250	21,625 per 12,000 units	21,825 per 12,000 units	2013/12/30	350,000,000	2013/03/25
66477	UBS AG	HSI R Bear CBBC Jun 2013 H	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66478	UBS AG	HSI R Bear CBBC Jun 2013 K	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66481	UBS AG	HSI R Bear CBBC Jun 2013 L	0.250	22,258 per 10,000 units	22,058 per 10,000 units	2013/06/27	300,000,000	2013/03/25
66474	UBS AG	HSI R Bear CBBC Jul 2013 V	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/03/25
66408	UBS AG	Hutchison R Bear CBBC Aug 2013 B	0.250	88 per 100 units	85 per 100 units	2013/08/12	100,000,000	2013/03/25
66401	UBS AG	ICBC R Bear CBBC Aug 2013 B	0.250	6	5.75	2013/08/26	100,000,000	2013/03/25
66400	UBS AG	Ping An R Bear CBBC Aug 2013 B	0.250	69.80 per 100 units	65 per 100 units	2013/08/19	100,000,000	2013/03/25
66407	UBS AG	SHK Ppt R Bull CBBC Jan 2014 A	0.250	91 per 100 units	95 per 100 units	2014/01/20	100,000,000	2013/03/25
66406	UBS AG	SHK Ppt R Bull CBBC Feb 2014 A	0.290	76 per 100 units	80 per 100 units	2014/02/10	100,000,000	2013/03/25
66403	UBS AG	TCH R Bear CBBC Jul 2013 B	0.250	288 per 100 units	280 per 100 units	2013/07/15	100,000,000	2013/03/25
66498	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 F	0.250	9.3	9.6	2013/12/30	200,000,000	2013/03/26
66496	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 A	0.250	9,800 per 5,000 units	10,000 per 5,000 units	2013/12/30	200,000,000	2013/03/26
66497	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 B	0.250	10,100 per 5,000 units	10,300 per 5,000 units	2013/12/30	200,000,000	2013/03/26
66520	Credit Suisse AG	HSI R Bull CBBC Sep 2013 I	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/03/26
66521	Credit Suisse AG	HSI R Bull CBBC Sep 2013 J	0.250	21,780 per 12,000 units	21,980 per 12,000 units	2013/09/27	300,000,000	2013/03/26
66500	Credit Suisse AG	HSI R Bear CBBC Aug 2013 O	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/08/29	300,000,000	2013/03/26
66501	Credit Suisse AG	HSI R Bear CBBC Aug 2013 P	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/08/29	300,000,000	2013/03/26
66522	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Q	0.250	22,242 per 12,000 units	22,042 per 12,000 units	2013/08/29	300,000,000	2013/03/26
66564	Bank of East Asia	BOCL R Bull CBBC Dec 2013 A	0.250	2.88	3.08	2013/12/20	150,000,000	2013/03/26
66515	Bank of East Asia	CSOP A50 ETF R Bull CBBC Sep 2013 B	0.250	9.3	9.6	2013/09/27	60,000,000	2013/03/26
66513	Bank of East Asia	HKEx R Bull CBBC Sep 2013 A	0.250	122.80 per 100 units	126.80 per 100 units	2013/09/27	60,000,000	2013/03/26
66514	Bank of East Asia	Ping An R Bull CBBC Sep 2013 A	0.250	51.80 per 100 units	55 per 100 units	2013/09/27	80,000,000	2013/03/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66566	Bank of East Asia	Yanzhou Coal R Bull CBBC Dec 2013 A	0.250	9.5	10	2013/12/20	60,000,000	2013/03/26
66553	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 T	0.261	22,688 per 7,000 units	22,488 per 7,000 units	2013/06/27	150,000,000	2013/03/26
66556	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 U	0.259	22,488 per 7,000 units	22,288 per 7,000 units	2013/06/27	150,000,000	2013/03/26
66557	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 V	0.251	22,438 per 10,000 units	22,238 per 10,000 units	2013/06/27	150,000,000	2013/03/26
66559	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 W	0.251	22,788 per 20,000 units	22,538 per 20,000 units	2013/06/27	150,000,000	2013/03/26
66563	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2013 X	0.251	22,638 per 20,000 units	22,388 per 20,000 units	2013/06/27	150,000,000	2013/03/26
66494	HK Bank	COVS R Bull CBBC Dec 2013 B	0.550	15.68	16.68	2013/12/23	50,000,000	2013/03/26
66493	HK Bank	CNBM R Bull CBBC Jul 2013 B	0.250	8.28	9.08	2013/07/29	50,000,000	2013/03/26
66486	HK Bank	A50 R Bull CBBC Oct 2013 D	0.250	8.68	8.98	2013/10/28	100,000,000	2013/03/26
66489	HK Bank	HSI R Bull CBBC Dec 2013 E	0.250	20,118 per 10,000 units	20,318 per 10,000 units	2013/12/30	100,000,000	2013/03/26
66488	HK Bank	HSI R Bear CBBC Jul 2013 G	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2013/07/30	100,000,000	2013/03/26
66490	HK Bank	Ping An R Bull CBBC Oct 2013 A	0.250	50.38 per 100 units	54.38 per 100 units	2013/10/07	60,000,000	2013/03/26
66491	HK Bank	Ping An R Bull CBBC Dec 2013 A	0.250	41.88 per 100 units	45.88 per 100 units	2013/12/23	60,000,000	2013/03/26
66495	HK Bank	TCH R Bear CBBC Aug 2013 C	0.290	290.88 per 100 units	282.88 per 100 units	2013/08/26	50,000,000	2013/03/26
66502	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 B	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/07/30	200,000,000	2013/03/26
66503	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 C	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2013/07/30	200,000,000	2013/03/26
66504	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 D	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/07/30	200,000,000	2013/03/26
66506	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 E	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/07/30	200,000,000	2013/03/26
66507	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 F	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/07/30	200,000,000	2013/03/26
66529	UBS AG	China Life R Bull CBBC Jun 2014 A	0.540	15.88	16.88	2014/06/27	100,000,000	2013/03/26
66528	UBS AG	China Life R Bear CBBC Jul 2013 B	0.250	22.88	21.88	2013/07/15	100,000,000	2013/03/26
66541	UBS AG	Galaxy Ent R Bull CBBC Aug 2013 A	0.250	28.88	29.88	2013/08/19	50,000,000	2013/03/26
66526	UBS AG	HSCEI R Bull CBBC Sep 2013 C	0.250	9,050 per 10,000 units	9,250 per 10,000 units	2013/09/27	100,000,000	2013/03/26
66525	UBS AG	HSCEI R Bull CBBC Jun 2014 A	0.255	8,300 per 10,000 units	8,500 per 10,000 units	2014/06/27	100,000,000	2013/03/26
66524	UBS AG	HSCEI R Bear CBBC Aug 2013 A	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2013/08/29	100,000,000	2013/03/26
66546	UBS AG	HSI R Bull CBBC Sep 2013 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/09/27	300,000,000	2013/03/26
66543	UBS AG	HSI R Bull CBBC Oct 2013 W	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/03/26
66547	UBS AG	HSI R Bull CBBC Oct 2013 X	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/03/26
66544	UBS AG	HSI R Bull CBBC Dec 2013 F	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	300,000,000	2013/03/26
66508	UBS AG	HSI R Bear CBBC Jun 2013 S	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/06/27	300,000,000	2013/03/26
66549	UBS AG	HSI R Bear CBBC Jun 2013 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/06/27	300,000,000	2013/03/26
66550	UBS AG	HSI R Bear CBBC Jul 2013 U	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/07/30	300,000,000	2013/03/26
66512	UBS AG	HSI R Bear CBBC Jul 2013 X	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/03/26
66523	UBS AG	HSI R Bear CBBC Jul 2013 Y	0.250	22,242 per 12,000 units	22,042 per 12,000 units	2013/07/30	350,000,000	2013/03/26
66551	UBS AG	HSI R Bear CBBC Dec 2013 C	0.250	23,200 per 38,000 units	22,800 per 38,000 units	2013/12/30	300,000,000	2013/03/26
66530	UBS AG	Ping An R Bull CBBC Oct 2013 B	0.250	45.20 per 100 units	50 per 100 units	2013/10/28	100,000,000	2013/03/26
66533	UBS AG	TCH R Bull CBBC Dec 2013 A	0.680	192 per 100 units	200 per 100 units	2013/12/30	100,000,000	2013/03/26
66585	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Z	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/03/27
66587	Credit Suisse AG	HSI R Bull CBBC Nov 2013 M	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/11/28	300,000,000	2013/03/27
66588	Credit Suisse AG	HSI R Bull CBBC Nov 2013 N	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/03/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66575	Credit Suisse AG	HSI R Bear CBBC Aug 2013 R	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/08/29	300,000,000	2013/03/27
66576	Credit Suisse AG	HSI R Bear CBBC Aug 2013 S	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/08/29	300,000,000	2013/03/27
66591	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 R	0.251	21,788 per 7,000 units	21,988 per 7,000 units	2013/06/27	150,000,000	2013/03/27
66594	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 S	0.252	21,988 per 7,000 units	22,188 per 7,000 units	2013/06/27	150,000,000	2013/03/27
66595	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 T	0.260	21,838 per 10,000 units	22,038 per 10,000 units	2013/06/27	150,000,000	2013/03/27
66600	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jun 2013 U	0.250	21,788 per 20,000 units	22,088 per 20,000 units	2013/06/27	150,000,000	2013/03/27
66567	HK Bank	Anhui Conch R Bull CBBC Aug 2014 A	0.250	19.88 per 100 units	21.88 per 100 units	2014/08/11	80,000,000	2013/03/27
66569	HK Bank	China Mobile R Bull CBBC Nov 2013 B	0.250	67.78 per 100 units	70.28 per 100 units	2013/11/04	120,000,000	2013/03/27
66568	HK Bank	CNBM R Bear CBBC Nov 2013 A	0.250	12.58	11.78	2013/11/25	50,000,000	2013/03/27
66572	HK Bank	China Shenhua R Bull CBBC Feb 2014 A	0.250	21.98 per 100 units	23.98 per 100 units	2014/02/26	60,000,000	2013/03/27
66570	HK Bank	Hutchison R Bull CBBC Nov 2013 B	0.250	62.88 per 100 units	65.88 per 100 units	2013/11/25	60,000,000	2013/03/27
66571	HK Bank	Hutchison R Bear CBBC Nov 2013 B	0.250	87.88 per 100 units	84.88 per 100 units	2013/11/25	50,000,000	2013/03/27
66573	HK Bank	ICBC R Bear CBBC Sep 2013 B	0.250	5.93	5.68	2013/09/30	40,000,000	2013/03/27
66622	J P Morgan SP BV	HSI R Bull CBBC Jun 2013 Y	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/06/27	300,000,000	2013/03/27
66621	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 U	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/07/30	300,000,000	2013/03/27
66625	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 V	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/07/30	300,000,000	2013/03/27
66574	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 P	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/09/27	100,000,000	2013/03/27
66579	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 N	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/06/27	200,000,000	2013/03/27
66580	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun13 Q	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/06/27	200,000,000	2013/03/27
66582	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 G	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/07/30	200,000,000	2013/03/27
66584	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/07/30	200,000,000	2013/03/27
66603	UBS AG	China Life R Bull CBBC Jul 2013 D	0.250	19.88	20.88	2013/07/29	100,000,000	2013/03/27
66620	UBS AG	HSI R Bull CBBC Oct 2013 Y	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/10/30	300,000,000	2013/03/27
66615	UBS AG	HSI R Bull CBBC Nov 2013 R	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/11/28	300,000,000	2013/03/27
66611	UBS AG	HSI R Bull CBBC Dec 2013 G	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2013/12/30	300,000,000	2013/03/27
66617	UBS AG	HSI R Bull CBBC Dec 2013 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	300,000,000	2013/03/27
66577	UBS AG	HSI R Bear CBBC Aug 2013 A	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/03/27
66578	UBS AG	HSI R Bear CBBC Aug 2013 B	0.250	22,275 per 12,000 units	22,075 per 12,000 units	2013/08/29	350,000,000	2013/03/27
66670	Credit Suisse AG	HSI R Bull CBBC Sep 2013 H	0.250	22,038 per 12,000 units	22,238 per 12,000 units	2013/09/27	300,000,000	2013/03/28
66672	Credit Suisse AG	HSI R Bear CBBC Jul 2013 A	0.250	22,428 per 12,000 units	22,228 per 12,000 units	2013/07/30	300,000,000	2013/03/28
66671	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/03/28
66665	HK Bank	ABC R Bear CBBC Oct 2013 A	0.250	4.33	4.08	2013/10/28	40,000,000	2013/03/28
66661	HK Bank	China Mobile R Bear CBBC Aug 2013 B	0.250	87.38 per 100 units	84.88 per 100 units	2013/08/19	50,000,000	2013/03/28
66666	HK Bank	CC Bank R Bear CBBC Sep 2013 B	0.250	6.78	6.48	2013/09/02	40,000,000	2013/03/28
66658	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2013 D	0.250	9.88	10.18	2013/09/02	80,000,000	2013/03/28
66664	HK Bank	HKEx R Bull CBBC Dec 2013 A	0.300	105.88 per 100 units	111.88 per 100 units	2013/12/23	60,000,000	2013/03/28
66656	HK Bank	HSCEI R Bear CBBC Nov 2013 B	0.250	11,488 per 10,000 units	11,288 per 10,000 units	2013/11/28	68,000,000	2013/03/28
66657	HK Bank	HSI R Bear CBBC Aug 2013 I	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2013/08/29	100,000,000	2013/03/28
66627	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 A	0.250	21,828 per 10,000 units	22,028 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66643	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 B	0.250	22,028 per 10,000 units	22,228 per 10,000 units	2013/09/27	60,000,000	2013/03/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66655	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 C	0.250	21,928 per 10,000 units	22,128 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66629	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 A	0.250	22,688 per 10,000 units	22,488 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66630	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 B	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66631	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 C	0.250	22,888 per 10,000 units	22,688 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66633	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 D	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66634	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 E	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66637	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 F	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66638	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 G	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2013/09/27	60,000,000	2013/03/28
66668	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 Q	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/09/27	100,000,000	2013/03/28
66669	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/07/30	100,000,000	2013/03/28
66675	UBS AG	China Mobile R Bull CBBC Jun 2014 A	0.250	62.50 per 100 units	65 per 100 units	2014/06/30	100,000,000	2013/03/28
66677	UBS AG	CUni R Bull CBBC Jun 2014 A	0.255	7.88	8.88	2014/06/16	100,000,000	2013/03/28
66690	UBS AG	HKEx R Bull CBBC Sep 2013 C	0.300	105 per 100 units	110 per 100 units	2013/09/30	100,000,000	2013/03/28
66696	UBS AG	HKEx R Bull CBBC Sep 2013 D	0.250	115 per 100 units	120 per 100 units	2013/09/09	100,000,000	2013/03/28
66674	UBS AG	HSI R Bull CBBC Nov 2013 S	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/03/28
66673	UBS AG	HSI R Bull CBBC Feb 2014 A	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/02/27	300,000,000	2013/03/28
66704	UBS AG	HSI R Bull CBBC Feb 2014 B	0.250	21,825 per 12,000 units	22,025 per 12,000 units	2014/02/27	350,000,000	2013/03/28
66703	UBS AG	HSI R Bear CBBC Jul 2013 W	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/03/28
66697	UBS AG	HSI R Bear CBBC Aug 2013 L	0.250	22,426 per 12,000 units	22,226 per 12,000 units	2013/08/29	350,000,000	2013/03/28
66686	UBS AG	TCH R Bull CBBC Aug 2013 B	0.415	212 per 100 units	220 per 100 units	2013/08/19	100,000,000	2013/03/28
66678	UBS AG	TCH R Bear CBBC Jul 2013 C	0.255	278 per 100 units	270 per 100 units	2013/07/08	100,000,000	2013/03/28
66727	Credit Suisse AG	HSI R Bull CBBC Nov 2013 O	0.250	21,988 per 12,000 units	22,188 per 12,000 units	2013/11/28	300,000,000	2013/04/02
66763	Credit Suisse AG	HSI R Bear CBBC Sep 2013 F	0.250	22,338 per 12,000 units	22,138 per 12,000 units	2013/09/27	300,000,000	2013/04/02
66733	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 A	0.252	20,988 per 7,000 units	21,188 per 7,000 units	2013/07/30	150,000,000	2013/04/02
66734	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 B	0.259	20,788 per 7,000 units	20,988 per 7,000 units	2013/07/30	150,000,000	2013/04/02
66735	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 C	0.255	20,588 per 7,000 units	20,788 per 7,000 units	2013/07/30	150,000,000	2013/04/02
66739	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 D	0.250	20,888 per 10,000 units	21,088 per 10,000 units	2013/07/30	150,000,000	2013/04/02
66744	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 E	0.261	20,388 per 20,000 units	20,688 per 20,000 units	2013/07/30	150,000,000	2013/04/02
66706	HK Bank	A50 R Bull CBBC Sep 2013 F	0.250	10.28	10.58	2013/09/16	100,000,000	2013/04/02
66709	HK Bank	A50 R Bull CBBC Nov 2013 B	0.290	7.98	8.28	2013/11/06	100,000,000	2013/04/02
66705	HK Bank	HSI R Bull CBBC Aug 2013 K	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2013/08/29	100,000,000	2013/04/02
66720	HK Bank	TCH R Bull CBBC Nov 2013 B	0.530	200.88 per 100 units	208.88 per 100 units	2013/11/25	50,000,000	2013/04/02
66712	HK Bank	TCH R Bear CBBC Aug 2013 D	0.300	280.88 per 100 units	272.88 per 100 units	2013/08/12	50,000,000	2013/04/02
66747	UBS AG	China Life R Bull CBBC Oct 2013 A	0.250	18.88	19.88	2013/10/07	100,000,000	2013/04/02
66748	UBS AG	HKEx R Bull CBBC Dec 2013 A	0.250	120 per 100 units	125 per 100 units	2013/12/16	100,000,000	2013/04/02
66756	UBS AG	HSBC R Bear CBBC Sep 2013 B	0.250	88 per 100 units	85 per 100 units	2013/09/09	100,000,000	2013/04/02
66731	UBS AG	HSI R Bull CBBC Feb 2014 C	0.250	22,025 per 12,000 units	22,225 per 12,000 units	2014/02/27	350,000,000	2013/04/02
66745	UBS AG	HSI R Bull CBBC Mar 2014 A	0.250	21,875 per 12,000 units	22,075 per 12,000 units	2014/03/28	350,000,000	2013/04/02
66764	UBS AG	HSI R Bear CBBC Sep 2013 Q	0.250	22,475 per 12,000 units	22,275 per 12,000 units	2013/09/27	350,000,000	2013/04/02
66765	UBS AG	HSI R Bear CBBC Sep 2013 R	0.250	22,316 per 10,000 units	22,116 per 10,000 units	2013/09/27	300,000,000	2013/04/02
66752	UBS AG	Hutchison R Bull CBBC Dec 2013 A	0.250	62 per 100 units	65 per 100 units	2013/12/16	100,000,000	2013/04/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66786	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/07/30	300,000,000	2013/04/03
66784	Credit Suisse AG	HSI R Bear CBBC Sep 2013 G	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/04/03
66785	Credit Suisse AG	HSI R Bear CBBC Sep 2013 H	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/09/27	300,000,000	2013/04/03
66793	Credit Suisse AG	HSI R Bear CBBC Sep 2013 I	0.250	22,488 per 12,000 units	22,288 per 12,000 units	2013/09/27	300,000,000	2013/04/03
66798	UBS AG	HSI R Bull CBBC Dec 2013 I	0.250	22,061 per 10,000 units	22,261 per 10,000 units	2013/12/30	300,000,000	2013/04/03
66807	UBS AG	HSI R Bull CBBC Dec 2013 J	0.250	21,925 per 12,000 units	22,125 per 12,000 units	2013/12/30	350,000,000	2013/04/03
66787	UBS AG	HSI R Bear CBBC Aug 2013 P	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/08/29	300,000,000	2013/04/03
66788	UBS AG	HSI R Bear CBBC Sep 2013 S	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/09/27	300,000,000	2013/04/03
66841	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 E	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/04/05
66903	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 H	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/04/05
66842	Credit Suisse AG	HSI R Bull CBBC Nov 2013 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/04/05
66845	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Q	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/04/05
66894	Credit Suisse AG	HSI R Bull CBBC Nov 2013 R	0.250	22,111 per 10,000 units	22,311 per 10,000 units	2013/11/28	300,000,000	2013/04/05
66857	Credit Suisse AG	HSI R Bear CBBC Sep 2013 J	0.250	22,378 per 10,000 units	22,178 per 10,000 units	2013/09/27	300,000,000	2013/04/05
66829	HK Bank	CC Bank R Bull CBBC Aug 2013 D	0.250	5.73	6.03	2013/08/28	80,000,000	2013/04/05
66827	HK Bank	China Life R Bear CBBC Sep 2013 C	0.280	23.68	22.68	2013/09/30	50,000,000	2013/04/05
66809	HK Bank	HSCEI R Bull CBBC Dec 2013 C	0.250	9,188 per 10,000 units	9,388 per 10,000 units	2013/12/30	100,000,000	2013/04/05
66828	HK Bank	SHK Ppt R Bear CBBC Sep 2013 C	0.250	117.88 per 100 units	113.88 per 100 units	2013/09/23	50,000,000	2013/04/05
66817	HK Bank	TCH R Bull CBBC Dec 2013 A	0.510	190.88 per 100 units	198.88 per 100 units	2013/12/23	50,000,000	2013/04/05
66811	HK Bank	TCH R Bear CBBC Nov 2013 A	0.320	270.88 per 100 units	262.88 per 100 units	2013/11/06	50,000,000	2013/04/05
66850	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 J	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/07/30	100,000,000	2013/04/05
66899	UBS AG	HSCEI R Bull CBBC Oct 2013 D	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2013/10/30	100,000,000	2013/04/05
66896	UBS AG	HSI R Bull CBBC Nov 2013 T	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/04/05
66846	UBS AG	HSI R Bull CBBC Mar 2014 B	0.250	21,975 per 12,000 units	22,175 per 12,000 units	2014/03/28	350,000,000	2013/04/05
66861	UBS AG	HSI R Bear CBBC Sep 2013 T	0.250	22,377 per 12,000 units	22,177 per 12,000 units	2013/09/27	350,000,000	2013/04/05
66870	UBS AG	HSI R Bear CBBC Sep 2013 U	0.250	22,525 per 12,000 units	22,325 per 12,000 units	2013/09/27	350,000,000	2013/04/05
66986	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 S	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/12/30	300,000,000	2013/04/08
66945	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 E	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/04/08
66936	Credit Suisse AG	HSI R Bull CBBC Nov 2013 S	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/04/08
66993	Credit Suisse AG	HSI R Bull CBBC Nov 2013 T	0.250	22,264 per 10,000 units	22,464 per 10,000 units	2013/11/28	300,000,000	2013/04/08
66994	Credit Suisse AG	HSI R Bull CBBC Nov 2013 U	0.250	22,238 per 12,000 units	22,438 per 12,000 units	2013/11/28	300,000,000	2013/04/08
66995	Credit Suisse AG	HSI R Bull CBBC Nov 2013 V	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/04/08
66937	Credit Suisse AG	HSI R Bear CBBC Sep 2013 K	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/09/27	300,000,000	2013/04/08
66942	Credit Suisse AG	HSI R Bear CBBC Sep 2013 L	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/09/27	300,000,000	2013/04/08
66906	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 F	0.253	21,988 per 20,000 units	22,288 per 20,000 units	2013/07/30	150,000,000	2013/04/08
67003	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 G	0.251	21,388 per 7,000 units	21,588 per 7,000 units	2013/07/30	150,000,000	2013/04/08
67017	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 H	0.259	21,188 per 7,000 units	21,388 per 7,000 units	2013/07/30	150,000,000	2013/04/08
67019	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 I	0.252	21,138 per 10,000 units	21,338 per 10,000 units	2013/07/30	150,000,000	2013/04/08
67020	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 J	0.257	21,588 per 20,000 units	21,888 per 20,000 units	2013/07/30	150,000,000	2013/04/08
67037	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 K	0.259	21,188 per 20,000 units	21,488 per 20,000 units	2013/07/30	150,000,000	2013/04/08
67042	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 L	0.253	20,988 per 20,000 units	21,288 per 20,000 units	2013/07/30	150,000,000	2013/04/08
66909	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 A	0.256	23,088 per 20,000 units	22,788 per 20,000 units	2013/07/30	150,000,000	2013/04/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66928	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 B	0.256	23,288 per 20,000 units	22,988 per 20,000 units	2013/07/30	150,000,000	2013/04/08
66951	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 R	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/04/08
66985	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 S	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/04/08
66933	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 K	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	100,000,000	2013/04/08
67001	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 I	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2013/07/30	200,000,000	2013/04/08
67002	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 I	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2013/07/30	200,000,000	2013/04/08
66988	UBS AG	HSI R Bull CBBC Oct 2013 Z	0.250	22,264 per 10,000 units	22,464 per 10,000 units	2013/10/30	300,000,000	2013/04/08
66991	UBS AG	HSI R Bull CBBC Feb 2014 D	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/02/27	300,000,000	2013/04/08
66990	UBS AG	HSI R Bull CBBC Mar 2014 C	0.250	22,125 per 12,000 units	22,325 per 12,000 units	2014/03/28	350,000,000	2013/04/08
66946	UBS AG	HSI R Bear CBBC Jul 2013 Z	0.250	22,512 per 10,000 units	22,312 per 10,000 units	2013/07/30	300,000,000	2013/04/08
66949	UBS AG	HSI R Bear CBBC Aug 2013 Q	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/08/29	300,000,000	2013/04/08
67056	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 D	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/04/09
67064	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 F	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/04/09
67052	Credit Suisse AG	HSI R Bull CBBC Nov 2013 W	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/04/09
67054	Credit Suisse AG	HSI R Bull CBBC Nov 2013 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/04/09
67049	Credit Suisse AG	HSI R Bear CBBC Sep 2013 M	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/09/27	300,000,000	2013/04/09
67070	Credit Suisse AG	HSI R Bear CBBC Sep 2013 N	0.250	22,415 per 10,000 units	22,215 per 10,000 units	2013/09/27	300,000,000	2013/04/09
67077	Credit Suisse AG	HSI R Bear CBBC Sep 2013 O	0.250	22,450 per 12,000 units	22,250 per 12,000 units	2013/09/27	300,000,000	2013/04/09
67079	Credit Suisse AG	HSI R Bear CBBC Sep 2013 P	0.250	22,588 per 12,000 units	22,388 per 12,000 units	2013/09/27	300,000,000	2013/04/09
67044	HK Bank	HSI R Bull CBBC Oct 2013 H	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2013/10/30	100,000,000	2013/04/09
67045	HK Bank	HSI R Bear CBBC Nov 2013 K	0.250	23,328 per 20,000 units	23,028 per 20,000 units	2013/11/28	400,000,000	2013/04/09
67063	UBS AG	HSI R Bull CBBC Nov 2013 U	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/04/09
67058	UBS AG	HSI R Bull CBBC Feb 2014 E	0.250	22,225 per 12,000 units	22,425 per 12,000 units	2014/02/27	350,000,000	2013/04/09
67084	UBS AG	HSI R Bear CBBC Jul 2013 S	0.250	22,415 per 10,000 units	22,215 per 10,000 units	2013/07/30	300,000,000	2013/04/09
67086	UBS AG	HSI R Bear CBBC Aug 2013 O	0.250	22,575 per 12,000 units	22,375 per 12,000 units	2013/08/29	350,000,000	2013/04/09
67097	Credit Suisse AG	HSI R Bull CBBC Oct 2013 N	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2013/10/30	300,000,000	2013/04/10
67098	Credit Suisse AG	HSI R Bear CBBC Jul 2013 W	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/04/10
67132	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 M	0.254	21,988 per 7,000 units	22,188 per 7,000 units	2013/07/30	150,000,000	2013/04/10
67124	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 C	0.258	22,688 per 7,000 units	22,488 per 7,000 units	2013/07/30	150,000,000	2013/04/10
67087	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 N	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/07/30	200,000,000	2013/04/10
67088	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 J	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2013/07/30	200,000,000	2013/04/10
67100	UBS AG	CNBM R Bear CBBC Sep 2013 A	0.260	12.3	11.5	2013/09/09	50,000,000	2013/04/10
67101	UBS AG	A50 China R Bear CBBC Aug 2013 C	0.250	11.3	11	2013/08/12	100,000,000	2013/04/10
67107	UBS AG	Galaxy Ent R Bear CBBC Aug 2013 A	0.470	36.88	35.88	2013/08/26	50,000,000	2013/04/10
67099	UBS AG	HKEx R Bear CBBC Sep 2013 A	0.250	145 per 100 units	140 per 100 units	2013/09/30	100,000,000	2013/04/10
67117	UBS AG	HSI R Bull CBBC Nov 2013 V	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/04/10
67123	UBS AG	HSI R Bull CBBC Dec 2013 K	0.250	22,167 per 12,000 units	22,367 per 12,000 units	2013/12/30	350,000,000	2013/04/10
67119	UBS AG	HSI R Bull CBBC Feb 2014 F	0.250	22,025 per 12,000 units	22,225 per 12,000 units	2014/02/27	350,000,000	2013/04/10
67091	UBS AG	HSI R Bear CBBC Aug 2013 R	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/04/10
67108	UBS AG	SHK Ppt R Bear CBBC Nov 2013 A	0.250	114 per 100 units	110 per 100 units	2013/11/04	100,000,000	2013/04/10
67106	UBS AG	TCH R Bear CBBC Sep 2013 A	0.255	268 per 100 units	260 per 100 units	2013/09/02	100,000,000	2013/04/10
67152	Credit Suisse AG	HSI R Bull CBBC Oct 2013 O	0.250	22,148 per 12,000 units	22,348 per 12,000 units	2013/10/30	300,000,000	2013/04/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67154	Credit Suisse AG	HSI R Bear CBBC Jul 2013 Z	0.250	22,510 per 12,000 units	22,310 per 12,000 units	2013/07/30	300,000,000	2013/04/11
67134	HK Bank	CUni R Bear CBBC Sep 2013 A	0.260	12.68	11.68	2013/09/23	40,000,000	2013/04/11
67133	HK Bank	China Life R Bull CBBC Dec 2013 A	0.450	15.68	16.68	2013/12/23	60,000,000	2013/04/11
67140	HK Bank	China Shenhua R Bear CBBC Dec 2013 B	0.250	32.48 per 100 units	30.48 per 100 units	2013/12/18	40,000,000	2013/04/11
67135	HK Bank	Yanzhou Coal R Bull CBBC Nov 2013 A	0.250	8.48	9.08	2013/11/25	50,000,000	2013/04/11
67150	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 O	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2013/07/30	200,000,000	2013/04/11
67151	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 K	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/07/30	200,000,000	2013/04/11
67160	UBS AG	CSOP A50 ETF R Bear CBBC Jul 2013 D	0.250	10.8	10.5	2013/07/22	100,000,000	2013/04/11
67153	UBS AG	HSI R Bull CBBC Oct 2013 A	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/10/30	300,000,000	2013/04/11
67158	UBS AG	HSI R Bull CBBC Dec 2013 L	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/04/11
67155	UBS AG	HSI R Bear CBBC Jul 2013 U	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/04/11
67156	UBS AG	HSI R Bear CBBC Sep 2013 V	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/09/27	300,000,000	2013/04/11
67311	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 G	0.250	9	9.3	2013/12/30	200,000,000	2013/04/12
67179	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 F	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/04/12
67181	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 G	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/04/12
67184	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 I	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/04/12
67175	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 K	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/12/30	300,000,000	2013/04/12
67177	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 N	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/12/30	300,000,000	2013/04/12
67312	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 G	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67314	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67315	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 I	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67316	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 J	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67317	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 K	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67318	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 L	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67324	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 M	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67335	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 N	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67389	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 O	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67186	Credit Suisse AG	HSI R Bull CBBC Sep 2013 E	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/09/27	300,000,000	2013/04/12
67185	Credit Suisse AG	HSI R Bull CBBC Sep 2013 X	0.250	22,137 per 10,000 units	22,337 per 10,000 units	2013/09/27	300,000,000	2013/04/12
67196	Credit Suisse AG	HSI R Bull CBBC Oct 2013 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/10/30	300,000,000	2013/04/12
67199	Credit Suisse AG	HSI R Bull CBBC Oct 2013 W	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/04/12
67359	Credit Suisse AG	HSI R Bull CBBC Nov 2013 B	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/11/28	300,000,000	2013/04/12
67208	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Y	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/04/12
67209	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Z	0.250	22,088 per 12,000 units	22,288 per 12,000 units	2013/11/28	300,000,000	2013/04/12
67432	Credit Suisse AG	HSI R Bear CBBC Jul 2013 A	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67433	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	21,927 per 10,000 units	21,727 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67367	Credit Suisse AG	HSI R Bear CBBC Jul 2013 R	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67381	Credit Suisse AG	HSI R Bear CBBC Jul 2013 T	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67378	Credit Suisse AG	HSI R Bear CBBC Aug 2013 T	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/08/29	300,000,000	2013/04/12
67412	Credit Suisse AG	HSI R Bear CBBC Aug 2013 U	0.250	22,128 per 12,000 units	21,928 per 12,000 units	2013/08/29	300,000,000	2013/04/12
67422	Credit Suisse AG	HSI R Bear CBBC Aug 2013 V	0.250	21,940 per 12,000 units	21,740 per 12,000 units	2013/08/29	300,000,000	2013/04/12

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67255	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 N	0.251	21,288 per 10,000 units	21,488 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67258	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 O	0.254	21,038 per 10,000 units	21,238 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67259	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 P	0.251	20,888 per 10,000 units	21,088 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67260	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 D	0.252	22,538 per 10,000 units	22,338 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67263	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 E	0.254	22,338 per 10,000 units	22,138 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67264	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 F	0.250	22,138 per 10,000 units	21,938 per 10,000 units	2013/07/30	150,000,000	2013/04/12
67265	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 L	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67271	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 M	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67275	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 N	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67276	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 O	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67281	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 P	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67435	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 D	0.250	20,828 per 10,000 units	21,028 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67459	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 E	0.250	20,628 per 10,000 units	20,828 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67460	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 F	0.250	20,728 per 10,000 units	20,928 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67447	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 H	0.250	22,688 per 10,000 units	22,488 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67449	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 I	0.250	22,588 per 10,000 units	22,388 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67450	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 J	0.250	22,388 per 10,000 units	22,188 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67451	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 K	0.250	22,288 per 10,000 units	22,088 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67452	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 L	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67461	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 M	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2013/09/27	60,000,000	2013/04/12
67174	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 T	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	100,000,000	2013/04/12
67358	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 U	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	100,000,000	2013/04/12
67336	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 L	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/07/30	100,000,000	2013/04/12
67338	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 M	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	100,000,000	2013/04/12
67168	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 H	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67299	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 J	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67161	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 M	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67282	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 O	0.250	20,488 per 10,000 units	20,588 per 10,000 units	2013/08/29	200,000,000	2013/04/12
67292	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 P	0.250	20,688 per 10,000 units	20,788 per 10,000 units	2013/08/29	200,000,000	2013/04/12
67304	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 L	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67309	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 M	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67310	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 N	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/07/30	200,000,000	2013/04/12
67529	UBS AG	China Life R Bull CBBC Aug 2013 B	0.450	14.88	15.88	2013/08/19	100,000,000	2013/04/12
67528	UBS AG	China Life R Bear CBBC Dec 2013 A	0.250	21.38	20.38	2013/12/09	100,000,000	2013/04/12
67519	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2014 A	0.250	7.7	8	2014/01/27	100,000,000	2013/04/12
67506	UBS AG	Evergrande R Bull CBBC Mar 2014 A	0.250	2.2	2.5	2014/03/17	50,000,000	2013/04/12
67511	UBS AG	A50 China R Bull CBBC Jan 2014 C	0.250	8.7	9	2014/01/27	100,000,000	2013/04/12
67462	UBS AG	HSCEI R Bear CBBC Jul 2013 E	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2013/07/30	100,000,000	2013/04/12
67463	UBS AG	HSCEI R Bear CBBC Aug 2013 B	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2013/08/29	100,000,000	2013/04/12
67210	UBS AG	HSI R Bull CBBC Oct 2013 D	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/04/12
67240	UBS AG	HSI R Bull CBBC Nov 2013 W	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/04/12
67218	UBS AG	HSI R Bull CBBC Dec 2013 M	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/04/12

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67254	UBS AG	HSI R Bull CBBC Feb 2014 G	0.250	22,137 per 10,000 units	22,337 per 10,000 units	2014/02/27	300,000,000	2013/04/12
67225	UBS AG	HSI R Bull CBBC Mar 2014 D	0.250	21,975 per 12,000 units	22,175 per 12,000 units	2014/03/28	350,000,000	2013/04/12
67497	UBS AG	HSI R Bull CBBC Mar 2014 E	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/03/28	300,000,000	2013/04/12
67498	UBS AG	HSI R Bull CBBC Mar 2014 F	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/04/12
67493	UBS AG	HSI R Bull CBBC May 2014 A	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/05/29	300,000,000	2013/04/12
67496	UBS AG	HSI R Bull CBBC May 2014 B	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/05/29	300,000,000	2013/04/12
67480	UBS AG	HSI R Bull CBBC Jul 2014 A	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/07/30	300,000,000	2013/04/12
67470	UBS AG	HSI R Bear CBBC Jul 2013 V	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67473	UBS AG	HSI R Bear CBBC Jul 2013 W	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67464	UBS AG	HSI R Bear CBBC Jul 2013 X	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67469	UBS AG	HSI R Bear CBBC Jul 2013 Y	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/07/30	300,000,000	2013/04/12
67468	UBS AG	HSI R Bear CBBC Aug 2013 N	0.250	22,475 per 12,000 units	22,275 per 12,000 units	2013/08/29	350,000,000	2013/04/12
67534	UBS AG	HSI R Bear CBBC Aug 2013 S	0.250	21,927 per 12,000 units	21,727 per 12,000 units	2013/08/29	350,000,000	2013/04/12
67532	UBS AG	Petrochina R Bull CBBC Oct 2013 B	0.250	8.38	8.88	2013/10/21	100,000,000	2013/04/12
62125 #	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2012 H	0.116	20,638 per 10,000 units	20,438 per 10,000 units	2012/08/30	180,000,000	2013/04/12
67537	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 O	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67589	Credit Suisse AG	HSI R Bull CBBC Sep 2013 T	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/09/27	300,000,000	2013/04/15
67593	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Z	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2013/09/27	300,000,000	2013/04/15
67590	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Q	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/10/30	300,000,000	2013/04/15
67637	Credit Suisse AG	HSI R Bull CBBC Oct 2013 T	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2013/10/30	300,000,000	2013/04/15
67551	Credit Suisse AG	HSI R Bull CBBC Oct 2013 X	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/10/30	300,000,000	2013/04/15
67592	Credit Suisse AG	HSI R Bull CBBC Nov 2013 A	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/11/28	300,000,000	2013/04/15
67552	Credit Suisse AG	HSI R Bull CBBC Dec 2013 A	0.330	18,600 per 10,000 units	18,800 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67553	Credit Suisse AG	HSI R Bull CBBC Dec 2013 B	0.310	18,800 per 10,000 units	19,000 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67562	Credit Suisse AG	HSI R Bull CBBC Dec 2013 C	0.285	19,100 per 10,000 units	19,300 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67563	Credit Suisse AG	HSI R Bull CBBC Dec 2013 D	0.265	19,300 per 10,000 units	19,500 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67564	Credit Suisse AG	HSI R Bull CBBC Dec 2013 E	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67547	Credit Suisse AG	HSI R Bear CBBC Aug 2013 W	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/08/29	300,000,000	2013/04/15
67548	Credit Suisse AG	HSI R Bear CBBC Aug 2013 X	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/08/29	300,000,000	2013/04/15
67549	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/04/15
67550	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Z	0.250	22,400 per 12,000 units	22,200 per 12,000 units	2013/08/29	300,000,000	2013/04/15
67644	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 G	0.258	22,488 per 7,000 units	22,288 per 7,000 units	2013/07/30	150,000,000	2013/04/15
67645	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 H	0.252	22,288 per 7,000 units	22,088 per 7,000 units	2013/07/30	150,000,000	2013/04/15
67646	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 I	0.253	22,088 per 7,000 units	21,888 per 7,000 units	2013/07/30	150,000,000	2013/04/15
67650	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 J	0.251	22,688 per 20,000 units	22,388 per 20,000 units	2013/07/30	150,000,000	2013/04/15
67652	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 K	0.253	22,488 per 20,000 units	22,188 per 20,000 units	2013/07/30	150,000,000	2013/04/15
67597	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 W	0.250	20,900 per 9,000 units	21,100 per 9,000 units	2013/07/30	300,000,000	2013/04/15
67598	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 X	0.250	21,200 per 8,000 units	21,400 per 8,000 units	2013/07/30	300,000,000	2013/04/15
67599	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 Y	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/07/30	300,000,000	2013/04/15
67600	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 Z	0.250	21,550 per 8,000 units	21,750 per 8,000 units	2013/07/30	300,000,000	2013/04/15
67596	J P Morgan SP BV	HSI R Bear CBBC Jul 2013 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	300,000,000	2013/04/15
67658	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 G	0.250	21,518 per 10,000 units	21,718 per 10,000 units	2013/09/27	60,000,000	2013/04/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67659	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 H	0.250	21,428 per 10,000 units	21,628 per 10,000 units	2013/09/27	60,000,000	2013/04/15
67535	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 V	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/09/27	100,000,000	2013/04/15
67536	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 W	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2013/09/27	100,000,000	2013/04/15
67621	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct13 A	0.250	8.6	8.85	2013/10/30	100,000,000	2013/04/15
67627	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct13 B	0.250	8.85	9.1	2013/10/30	100,000,000	2013/04/15
67628	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct13 C	0.250	9.1	9.35	2013/10/30	100,000,000	2013/04/15
67630	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBCOct13 A	0.250	11.35	11.1	2013/10/30	100,000,000	2013/04/15
67634	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Oct13B	0.250	11.6	11.35	2013/10/30	100,000,000	2013/04/15
67636	SGA Societe Generale Acceptance N.V.	A50 R Bear CBBC Oct13C	0.250	11.85	11.6	2013/10/30	100,000,000	2013/04/15
67614	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 K	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2013/07/30	200,000,000	2013/04/15
67617	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 O	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/07/30	200,000,000	2013/04/15
67638	UBS AG	HSI R Bull CBBC Dec 2013 N	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/04/15
67543	UBS AG	HSI R Bull CBBC Feb 2014 H	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/02/27	300,000,000	2013/04/15
67542	UBS AG	HSI R Bull CBBC Mar 2014 G	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/03/28	300,000,000	2013/04/15
67539	UBS AG	HSI R Bull CBBC Apr 2014 A	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/04/29	300,000,000	2013/04/15
67544	UBS AG	HSI R Bull CBBC Apr 2014 B	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/04/29	300,000,000	2013/04/15
67641	UBS AG	HSI R Bear CBBC Aug 2013 A	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/04/15
67538	UBS AG	HSI R Bear CBBC Aug 2013 T	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/08/29	300,000,000	2013/04/15
67639	UBS AG	HSI R Bear CBBC Sep 2013 W	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/09/27	300,000,000	2013/04/15
67640	UBS AG	HSI R Bear CBBC Oct 2013 L	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/10/30	300,000,000	2013/04/15
67747	Credit Suisse AG	HSI R Bull CBBC Aug 2013 Z	0.250	21,718 per 10,000 units	21,918 per 10,000 units	2013/08/29	300,000,000	2013/04/16
67748	Credit Suisse AG	HSI R Bull CBBC Oct 2013 R	0.250	21,670 per 12,000 units	21,870 per 12,000 units	2013/10/30	300,000,000	2013/04/16
67754	Credit Suisse AG	HSI R Bull CBBC Oct 2013 U	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/10/30	300,000,000	2013/04/16
67680	Credit Suisse AG	HSI R Bull CBBC Feb 2014 A	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/02/27	300,000,000	2013/04/16
67709	Credit Suisse AG	HSI R Bull CBBC Feb 2014 B	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/02/27	300,000,000	2013/04/16
67716	Credit Suisse AG	HSI R Bull CBBC Feb 2014 C	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/02/27	300,000,000	2013/04/16
67718	Credit Suisse AG	HSI R Bull CBBC Feb 2014 D	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/02/27	300,000,000	2013/04/16
67719	Credit Suisse AG	HSI R Bull CBBC Feb 2014 E	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/02/27	300,000,000	2013/04/16
67730	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/08/29	300,000,000	2013/04/16
67732	Credit Suisse AG	HSI R Bear CBBC Aug 2013 M	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/08/29	300,000,000	2013/04/16
67723	Credit Suisse AG	HSI R Bear CBBC Aug 2013 N	0.250	21,919 per 12,000 units	21,719 per 12,000 units	2013/08/29	300,000,000	2013/04/16
67733	Credit Suisse AG	HSI R Bear CBBC Aug 2013 O	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/08/29	300,000,000	2013/04/16
67671	HK Bank	A50 R Bull CBBC Sep 2013 G	0.250	8.38	8.68	2013/09/30	100,000,000	2013/04/16
67664	HK Bank	A50 R Bear CBBC Sep 2013 C	0.250	11.18	10.88	2013/09/30	50,000,000	2013/04/16
67672	HK Bank	HKEx R Bear CBBC Nov 2013 B	0.250	142.88 per 100 units	136.88 per 100 units	2013/11/11	50,000,000	2013/04/16
67662	HK Bank	HSCEI R Bear CBBC Sep 2013 B	0.250	11,188 per 10,000 units	10,988 per 10,000 units	2013/09/27	68,000,000	2013/04/16
67660	HK Bank	HSI R Bear CBBC Aug 2013 J	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2013/08/29	100,000,000	2013/04/16
67661	HK Bank	HSI R Bear CBBC Sep 2013 K	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2013/09/27	100,000,000	2013/04/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67673	HK Bank	Ping An R Bull CBBC Oct 2013 B	0.250	46.18 per 100 units	50.18 per 100 units	2013/10/21	60,000,000	2013/04/16
67674	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 N	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	100,000,000	2013/04/16
67755	UBS AG	HSI R Bull CBBC Sep 2013 M	0.250	21,718 per 10,000 units	21,918 per 10,000 units	2013/09/27	300,000,000	2013/04/16
67757	UBS AG	HSI R Bull CBBC Sep 2013 S	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/09/27	300,000,000	2013/04/16
67746	UBS AG	HSI R Bear CBBC Aug 2013 B	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/08/29	300,000,000	2013/04/16
67742	UBS AG	HSI R Bear CBBC Oct 2013 M	0.250	21,919 per 10,000 units	21,719 per 10,000 units	2013/10/30	300,000,000	2013/04/16
67744	UBS AG	HSI R Bear CBBC Nov 2013 D	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/04/16
67745	UBS AG	HSI R Bear CBBC Dec 2013 D	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/04/16
67791	Credit Suisse AG	HSI R Bull CBBC Sep 2013 F	0.250	21,750 per 12,000 units	21,950 per 12,000 units	2013/09/27	300,000,000	2013/04/17
67807	Credit Suisse AG	HSI R Bull CBBC Sep 2013 K	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	300,000,000	2013/04/17
67810	Credit Suisse AG	HSI R Bull CBBC Oct 2013 V	0.250	21,834 per 10,000 units	22,034 per 10,000 units	2013/10/30	300,000,000	2013/04/17
67779	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Q	0.250	22,088 per 12,000 units	21,888 per 12,000 units	2013/09/27	300,000,000	2013/04/17
67784	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 Q	0.253	21,588 per 7,000 units	21,788 per 7,000 units	2013/07/30	150,000,000	2013/04/17
67785	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 R	0.256	21,538 per 10,000 units	21,738 per 10,000 units	2013/07/30	150,000,000	2013/04/17
67787	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 S	0.252	21,388 per 20,000 units	21,688 per 20,000 units	2013/07/30	150,000,000	2013/04/17
67790	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 T	0.252	20,588 per 20,000 units	20,888 per 20,000 units	2013/07/30	150,000,000	2013/04/17
67769	HK Bank	BOCL R Bull CBBC Nov 2013 C	0.250	2.35	2.53	2013/11/25	80,000,000	2013/04/17
67771	HK Bank	CUni R Bull CBBC Nov 2013 A	0.270	7.483	8.483	2013/11/25	50,000,000	2013/04/17
67768	HK Bank	CNBM R Bear CBBC Sep 2013 B	0.250	11.28	10.48	2013/09/30	50,000,000	2013/04/17
67767	HK Bank	CNOOC R Bull CBBC Dec 2013 A	0.330	11.18	11.98	2013/12/23	60,000,000	2013/04/17
67763	HK Bank	CSOP A50 ETF R Bear CBBC Oct 2013 A	0.250	10.98	10.68	2013/10/28	40,000,000	2013/04/17
67778	HK Bank	PetCh R Bull CBBC Nov 2013 A	0.250	8.08	8.48	2013/11/25	60,000,000	2013/04/17
67782	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 P	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/07/30	200,000,000	2013/04/17
67811	UBS AG	China Life R Bull CBBC Jul 2013 E	0.250	18.88	19.88	2013/07/29	100,000,000	2013/04/17
67817	UBS AG	HSI R Bull CBBC Sep 2013 J	0.250	21,834 per 10,000 units	22,034 per 10,000 units	2013/09/27	300,000,000	2013/04/17
67816	UBS AG	HSI R Bull CBBC Sep 2013 T	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	300,000,000	2013/04/17
67813	UBS AG	HSI R Bull CBBC Oct 2013 C	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/10/30	300,000,000	2013/04/17
67820	UBS AG	HSI R Bear CBBC Nov 2013 E	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/04/17
67821	UBS AG	HSI R Bear CBBC Dec 2013 E	0.250	22,111 per 12,000 units	21,911 per 12,000 units	2013/12/30	350,000,000	2013/04/17
67863	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/04/18
67864	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 P	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/04/18
67865	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 R	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	300,000,000	2013/04/18
67836	Credit Suisse AG	A50 China R Bull CBBC Oct 2013 A	0.250	8.98	9.28	2013/10/25	60,000,000	2013/04/18
67837	Credit Suisse AG	A50 China R Bear CBBC Jul 2013 A	0.250	11.1	10.8	2013/07/26	60,000,000	2013/04/18
67839	Credit Suisse AG	A50 China R Bear CBBC Jul 2013 B	0.250	11.68	11.38	2013/07/26	60,000,000	2013/04/18
67844	Credit Suisse AG	A50 China R Bear CBBC Jul 2013 C	0.250	12.28	11.98	2013/07/26	60,000,000	2013/04/18
67866	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Y	0.250	22,020 per 10,000 units	22,220 per 10,000 units	2013/09/27	300,000,000	2013/04/18
67868	Credit Suisse AG	HSI R Bull CBBC Oct 2013 S	0.250	21,760 per 10,000 units	21,960 per 10,000 units	2013/10/30	300,000,000	2013/04/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67867	Credit Suisse AG	HSI R Bull CBBC Nov 2013 C	0.250	21,938 per 12,000 units	22,138 per 12,000 units	2013/11/28	300,000,000	2013/04/18
67856	Credit Suisse AG	HSI R Bear CBBC Aug 2013 K	0.250	22,390 per 10,000 units	22,190 per 10,000 units	2013/08/29	300,000,000	2013/04/18
67855	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Q	0.250	22,235 per 12,000 units	22,035 per 12,000 units	2013/08/29	300,000,000	2013/04/18
67875	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 U	0.253	21,788 per 7,000 units	21,988 per 7,000 units	2013/07/30	120,000,000	2013/04/18
67876	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 V	0.252	21,838 per 10,000 units	22,038 per 10,000 units	2013/07/30	120,000,000	2013/04/18
67877	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 W	0.258	21,588 per 20,000 units	21,888 per 20,000 units	2013/07/30	120,000,000	2013/04/18
67882	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 X	0.251	21,788 per 20,000 units	22,088 per 20,000 units	2013/07/30	120,000,000	2013/04/18
67830	HK Bank	CNBM R Bull CBBC Oct 2013 B	0.250	7.98	8.78	2013/10/21	50,000,000	2013/04/18
67829	HK Bank	CNBM R Bull CBBC Nov 2013 A	0.250	7.38	8.18	2013/11/18	50,000,000	2013/04/18
67831	HK Bank	China Shenhua R Bull CBBC Mar 2014 B	0.250	19.98 per 100 units	21.98 per 100 units	2014/03/18	60,000,000	2013/04/18
67824	HK Bank	HSI R Bull CBBC Nov 2013 G	0.250	20,788 per 15,000 units	20,988 per 15,000 units	2013/11/28	100,000,000	2013/04/18
67826	HK Bank	HSI R Bull CBBC Nov 2013 H	0.250	19,388 per 15,000 units	19,588 per 15,000 units	2013/11/28	100,000,000	2013/04/18
67825	HK Bank	HSI R Bear CBBC Oct 2013 I	0.250	23,018 per 10,000 units	22,818 per 10,000 units	2013/10/30	100,000,000	2013/04/18
67833	HK Bank	Yanzhou Coal R Bull CBBC Dec 2013 A	0.250	7.68	8.28	2013/12/23	50,000,000	2013/04/18
67873	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/04/18
67874	J P Morgan SP BV	HSI R Bull CBBC Jul 2013 H	0.250	21,700 per 8,000 units	21,900 per 8,000 units	2013/07/30	300,000,000	2013/04/18
67860	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/04/18
67861	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 O	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/07/30	100,000,000	2013/04/18
67858	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 G	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/07/30	200,000,000	2013/04/18
67834	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 L	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/07/30	200,000,000	2013/04/18
67835	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 Q	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/07/30	200,000,000	2013/04/18
67871	UBS AG	HSI R Bull CBBC Oct 2013 E	0.250	22,020 per 10,000 units	22,220 per 10,000 units	2013/10/30	300,000,000	2013/04/18
67869	UBS AG	HSI R Bull CBBC Oct 2013 H	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/10/30	300,000,000	2013/04/18
67870	UBS AG	HSI R Bull CBBC Oct 2013 I	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/04/18
67872	UBS AG	HSI R Bear CBBC Dec 2013 F	0.250	22,325 per 12,000 units	22,125 per 12,000 units	2013/12/30	350,000,000	2013/04/18
67980	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 P	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/04/19
67976	Credit Suisse AG	HSI R Bull CBBC Sep 2013 C	0.250	21,910 per 10,000 units	22,110 per 10,000 units	2013/09/27	300,000,000	2013/04/19
67902	Credit Suisse AG	HSI R Bear CBBC Jul 2013 A	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/07/30	300,000,000	2013/04/19
67977	Credit Suisse AG	HSI R Bear CBBC Sep 2013 S	0.250	22,290 per 12,000 units	22,090 per 12,000 units	2013/09/27	300,000,000	2013/04/19
67943	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Q	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	150,000,000	2013/04/19
67928	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	150,000,000	2013/04/19
67942	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 T	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	150,000,000	2013/04/19
67915	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 U	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	150,000,000	2013/04/19
67924	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 V	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	150,000,000	2013/04/19
67944	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 I	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/08/29	150,000,000	2013/04/19
67945	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 J	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	150,000,000	2013/04/19
67947	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 K	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/08/29	150,000,000	2013/04/19
67964	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 L	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/08/29	150,000,000	2013/04/19
67887	HK Bank	China Mobile R Bull CBBC Nov 2013 C	0.250	78.38 per 100 units	80.88 per 100 units	2013/11/26	120,000,000	2013/04/19
67888	HK Bank	China Life R Bull CBBC Sep 2013 B	0.250	18.88	19.88	2013/09/18	60,000,000	2013/04/19
67883	HK Bank	HSI R Bull CBBC Nov 2013 I	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2013/11/28	100,000,000	2013/04/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67884	HK Bank	Hutchison R Bull CBBC Nov 2013 C	0.250	77.88 per 100 units	80.88 per 100 units	2013/11/18	60,000,000	2013/04/19
67904	UBS AG	Sinopec Corp R Bull CBBC Nov 2013 A	0.250	7.95	8.25	2013/11/25	100,000,000	2013/04/19
67971	UBS AG	HSI R Bull CBBC Dec 2013 O	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/04/19
67968	UBS AG	HSI R Bull CBBC Mar 2014 H	0.250	21,325 per 12,000 units	21,525 per 12,000 units	2014/03/28	350,000,000	2013/04/19
67975	UBS AG	HSI R Bull CBBC Mar 2014 I	0.250	21,939 per 12,000 units	22,139 per 12,000 units	2014/03/28	350,000,000	2013/04/19
67905	UBS AG	HSI R Bear CBBC Jul 2013 S	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/07/30	300,000,000	2013/04/19
65326 #	HK Bank	A50 R Bull CBBC Jul 2013 A	0.078	9.38	9.68	2013/07/22	180,000,000	2013/04/19
67982	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 X	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/04/22
67984	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Y	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/04/22
68030	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	300,000,000	2013/04/22
68031	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 R	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/07/30	300,000,000	2013/04/22
68032	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 S	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/22
67995	Credit Suisse AG	HSI R Bull CBBC Sep 2013 D	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	300,000,000	2013/04/22
67985	Credit Suisse AG	HSI R Bull CBBC Nov 2013 D	0.250	21,888 per 12,000 units	22,088 per 12,000 units	2013/11/28	300,000,000	2013/04/22
67992	Credit Suisse AG	HSI R Bull CBBC Nov 2013 E	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/11/28	300,000,000	2013/04/22
67994	Credit Suisse AG	HSI R Bull CBBC Nov 2013 F	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/11/28	300,000,000	2013/04/22
68042	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	21,954 per 10,000 units	21,754 per 10,000 units	2013/07/30	300,000,000	2013/04/22
68043	Credit Suisse AG	HSI R Bear CBBC Aug 2013 P	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/08/29	300,000,000	2013/04/22
68061	Credit Suisse AG	HSI R Bear CBBC Aug 2013 R	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/08/29	300,000,000	2013/04/22
68071	Credit Suisse AG	HSI R Bear CBBC Sep 2013 T	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/04/22
68194	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 B	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	150,000,000	2013/04/22
67997	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	150,000,000	2013/04/22
68193	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 P	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2013/12/30	150,000,000	2013/04/22
67996	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 S	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/12/30	150,000,000	2013/04/22
68195	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 M	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/08/29	150,000,000	2013/04/22
68196	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 N	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/08/29	150,000,000	2013/04/22
68202	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 O	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/08/29	150,000,000	2013/04/22
68113	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 F	0.257	19,788 per 20,000 units	20,088 per 20,000 units	2013/07/30	150,000,000	2013/04/22
68110	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 Y	0.254	20,188 per 20,000 units	20,488 per 20,000 units	2013/07/30	150,000,000	2013/04/22
68112	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 Z	0.252	19,988 per 20,000 units	20,288 per 20,000 units	2013/07/30	150,000,000	2013/04/22
68096	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 L	0.250	22,088 per 7,000 units	21,888 per 7,000 units	2013/07/30	150,000,000	2013/04/22
68107	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 M	0.252	22,238 per 10,000 units	22,038 per 10,000 units	2013/07/30	150,000,000	2013/04/22
68170	J P Morgan SP BV	HSI R Bull CBBC Sep 2013 A	0.250	21,550 per 8,000 units	21,750 per 8,000 units	2013/09/27	300,000,000	2013/04/22
68115	J P Morgan SP BV	HSI R Bear CBBC Sep 2013 A	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/09/27	300,000,000	2013/04/22
68024	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 P	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	100,000,000	2013/04/22
68016	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 R	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/07/30	200,000,000	2013/04/22
68020	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 S	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/07/30	200,000,000	2013/04/22
68011	UBS AG	HSI R Bull CBBC Oct 2013 F	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/04/22
68009	UBS AG	HSI R Bull CBBC Dec 2013 P	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/04/22
68012	UBS AG	HSI R Bull CBBC Feb 2014 I	0.250	21,889 per 12,000 units	22,089 per 12,000 units	2014/02/27	350,000,000	2013/04/22
67999	UBS AG	HSI R Bull CBBC Mar 2014 J	0.250	21,375 per 12,000 units	21,575 per 12,000 units	2014/03/28	350,000,000	2013/04/22
68040	UBS AG	HSI R Bear CBBC Jul 2013 V	0.250	21,954 per 10,000 units	21,754 per 10,000 units	2013/07/30	300,000,000	2013/04/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68039	UBS AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/04/22
68038	UBS AG	HSI R Bear CBBC Nov 2013 F	0.250	22,275 per 12,000 units	22,075 per 12,000 units	2013/11/28	350,000,000	2013/04/22
68224	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 C	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68228	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 E	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68231	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 H	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68233	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 L	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68246	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 M	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68223	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Z	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2013/12/30	300,000,000	2013/04/23
68369	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 T	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/07/30	300,000,000	2013/04/23
68370	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 U	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/07/30	300,000,000	2013/04/23
68259	Credit Suisse AG	HSI R Bull CBBC Oct 2013 A	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/10/30	300,000,000	2013/04/23
68261	Credit Suisse AG	HSI R Bull CBBC Oct 2013 B	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/10/30	300,000,000	2013/04/23
68258	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Y	0.250	21,572 per 12,000 units	21,772 per 12,000 units	2013/10/30	300,000,000	2013/04/23
68262	Credit Suisse AG	HSI R Bull CBBC Oct 2013 Z	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/04/23
68366	Credit Suisse AG	HSI R Bull CBBC Mar 2014 A	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/03/28	300,000,000	2013/04/23
68367	Credit Suisse AG	HSI R Bull CBBC Mar 2014 B	0.260	19,200 per 10,000 units	19,400 per 10,000 units	2014/03/28	300,000,000	2013/04/23
68368	Credit Suisse AG	HSI R Bull CBBC Mar 2014 C	0.280	19,000 per 10,000 units	19,200 per 10,000 units	2014/03/28	300,000,000	2013/04/23
68354	Credit Suisse AG	HSI R Bear CBBC Jul 2013 T	0.250	21,849 per 10,000 units	21,649 per 10,000 units	2013/07/30	300,000,000	2013/04/23
68362	Credit Suisse AG	HSI R Bear CBBC Aug 2013 S	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/04/23
68365	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	21,880 per 12,000 units	21,680 per 12,000 units	2013/11/28	300,000,000	2013/04/23
68212	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 D	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/12/30	150,000,000	2013/04/23
68214	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 E	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	150,000,000	2013/04/23
68326	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 G	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/12/30	150,000,000	2013/04/23
68328	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 M	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/12/30	150,000,000	2013/04/23
68352	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 C	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/08/29	150,000,000	2013/04/23
68336	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Aug 2013 P	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/08/29	150,000,000	2013/04/23
68416	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 G	0.255	21,138 per 20,000 units	21,438 per 20,000 units	2013/07/30	150,000,000	2013/04/23
68412	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 J	0.255	21,388 per 7,000 units	21,588 per 7,000 units	2013/07/30	150,000,000	2013/04/23
68413	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 M	0.252	21,338 per 10,000 units	21,538 per 10,000 units	2013/07/30	150,000,000	2013/04/23
68209	HK Bank	HSCEI R Bear CBBC Oct 2013 C	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2013/10/30	68,000,000	2013/04/23
68278	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 Y	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/09/27	100,000,000	2013/04/23
68221	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 B	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2013/07/30	200,000,000	2013/04/23
68220	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 F	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2013/07/30	200,000,000	2013/04/23
68215	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 Q	0.250	20,588 per 10,000 units	20,688 per 10,000 units	2013/08/29	200,000,000	2013/04/23
68217	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 R	0.250	20,388 per 10,000 units	20,488 per 10,000 units	2013/08/29	200,000,000	2013/04/23
68219	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 T	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/07/30	200,000,000	2013/04/23
68270	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 U	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2013/07/30	200,000,000	2013/04/23
68400	UBS AG	China Mobile R Bear CBBC Nov 2013 A	0.250	92.50 per 100 units	90 per 100 units	2013/11/18	100,000,000	2013/04/23
68389	UBS AG	CNBM R Bull CBBC Dec 2013 A	0.250	7.7	8.5	2013/12/16	50,000,000	2013/04/23
68402	UBS AG	CNOOC R Bull CBBC Dec 2013 A	0.250	11.08	11.88	2013/12/16	100,000,000	2013/04/23
68399	UBS AG	A50 China R Bull CBBC Feb 2014 A	0.250	9.2	9.5	2014/02/17	100,000,000	2013/04/23
68404	UBS AG	HSBC R Bull CBBC Dec 2013 A	0.250	72 per 100 units	75 per 100 units	2013/12/09	100,000,000	2013/04/23
68249	UBS AG	HSI R Bull CBBC Nov 2013 X	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/11/28	300,000,000	2013/04/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68257	UBS AG	HSI R Bull CBBC Nov 2013 Y	0.250	21,572 per 12,000 units	21,772 per 12,000 units	2013/11/28	350,000,000	2013/04/23
68279	UBS AG	HSI R Bear CBBC Aug 2013 L	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/08/29	300,000,000	2013/04/23
68280	UBS AG	HSI R Bear CBBC Aug 2013 P	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/08/29	300,000,000	2013/04/23
68312	UBS AG	HSI R Bear CBBC Aug 2013 S	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/08/29	300,000,000	2013/04/23
61141 #	HK Bank	HSCEI R Bull CBBC Oct 2013 B	0.055	9,688 per 10,000 units	9,888 per 10,000 units	2013/10/30	188,000,000	2013/04/23
68533	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 V	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/07/30	300,000,000	2013/04/24
68536	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 W	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/07/30	300,000,000	2013/04/24
68506	Credit Suisse AG	HSI R Bull CBBC Sep 2013 B	0.250	21,225 per 10,000 units	21,425 per 10,000 units	2013/09/27	300,000,000	2013/04/24
68505	Credit Suisse AG	HSI R Bull CBBC Nov 2013 G	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/11/28	300,000,000	2013/04/24
68454	Credit Suisse AG	HSI R Bear CBBC Aug 2013 T	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/08/29	300,000,000	2013/04/24
68531	Credit Suisse AG	HSI R Bear CBBC Aug 2013 U	0.250	21,770 per 10,000 units	21,570 per 10,000 units	2013/08/29	300,000,000	2013/04/24
68456	Credit Suisse AG	HSI R Bear CBBC Oct 2013 A	0.250	22,038 per 12,000 units	21,838 per 12,000 units	2013/10/30	300,000,000	2013/04/24
68463	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 N	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2013/12/30	150,000,000	2013/04/24
68504	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 O	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/12/30	150,000,000	2013/04/24
68509	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 A	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/09/27	150,000,000	2013/04/24
68518	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 B	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/09/27	150,000,000	2013/04/24
68537	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 N	0.259	21,888 per 7,000 units	21,688 per 7,000 units	2013/07/30	150,000,000	2013/04/24
68541	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 O	0.260	22,938 per 10,000 units	22,738 per 10,000 units	2013/07/30	150,000,000	2013/04/24
68547	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 P	0.251	22,288 per 20,000 units	21,988 per 20,000 units	2013/07/30	150,000,000	2013/04/24
68440	HK Bank	ABC R Bear CBBC Jan 2014 A	0.250	4.03	3.78	2014/01/16	40,000,000	2013/04/24
68435	HK Bank	AIA R Bear CBBC Oct 2013 B	0.340	35.48	34.48	2013/10/07	50,000,000	2013/04/24
68448	HK Bank	Evergrande R Bull CBBC Jan 2014 A	0.250	2.48	2.88	2014/01/28	60,000,000	2013/04/24
68432	HK Bank	A50 R Bull CBBC Sep 2013 H	0.250	9.18	9.48	2013/09/16	100,000,000	2013/04/24
68433	HK Bank	A50 R Bull CBBC Sep 2013 I	0.250	8.78	9.08	2013/09/30	100,000,000	2013/04/24
68421	HK Bank	HSI R Bull CBBC Dec 2013 F	0.250	19,188 per 15,000 units	19,388 per 15,000 units	2013/12/30	100,000,000	2013/04/24
68444	HK Bank	Sands China R Bull CBBC Dec 2013 D	0.250	33.08 per 100 units	36.08 per 100 units	2013/12/18	60,000,000	2013/04/24
68550	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 A	0.250	21,350 per 8,000 units	21,550 per 8,000 units	2013/10/30	300,000,000	2013/04/24
68551	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 B	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/04/24
68552	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 C	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2013/10/30	300,000,000	2013/04/24
68566	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 D	0.250	19,900 per 8,000 units	20,100 per 8,000 units	2013/10/30	300,000,000	2013/04/24
68567	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 E	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/10/30	300,000,000	2013/04/24
68569	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 F	0.250	20,550 per 8,000 units	20,750 per 8,000 units	2013/10/30	300,000,000	2013/04/24
68549	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 A	0.250	22,200 per 11,000 units	22,000 per 11,000 units	2013/10/30	300,000,000	2013/04/24
68457	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	100,000,000	2013/04/24
68527	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 V	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2013/07/30	200,000,000	2013/04/24
68528	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 W	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/07/30	200,000,000	2013/04/24
68524	UBS AG	HSBC R Bull CBBC Jun 2014 A	0.250	62 per 100 units	65 per 100 units	2014/06/16	100,000,000	2013/04/24
68458	UBS AG	HSI R Bull CBBC Dec 2013 Q	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/12/30	300,000,000	2013/04/24
68462	UBS AG	HSI R Bull CBBC Feb 2014 J	0.250	21,472 per 12,000 units	21,672 per 12,000 units	2014/02/27	350,000,000	2013/04/24
68519	UBS AG	HSI R Bear CBBC Jul 2013 W	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/07/30	300,000,000	2013/04/24
68522	UBS AG	HSI R Bear CBBC Jul 2013 Y	0.250	21,770 per 12,000 units	21,570 per 12,000 units	2013/07/30	350,000,000	2013/04/24
68520	UBS AG	HSI R Bear CBBC Aug 2013 A	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/08/29	300,000,000	2013/04/24
63482 #	HK Bank	HSI R Bull CBBC Jul 2013 C	0.056	20,588 per 15,000 units	20,788 per 15,000 units	2013/07/30	200,000,000	2013/04/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68646	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 X	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/07/30	300,000,000	2013/04/25
68624	Credit Suisse AG	HSI R Bull CBBC Nov 2013 H	0.250	21,250 per 12,000 units	21,450 per 12,000 units	2013/11/28	300,000,000	2013/04/25
68625	Credit Suisse AG	HSI R Bull CBBC Nov 2013 I	0.250	21,369 per 10,000 units	21,569 per 10,000 units	2013/11/28	300,000,000	2013/04/25
68634	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	21,713 per 10,000 units	21,513 per 10,000 units	2013/08/29	300,000,000	2013/04/25
68626	Credit Suisse AG	HSI R Bear CBBC Aug 2013 V	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/08/29	300,000,000	2013/04/25
68631	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/08/29	300,000,000	2013/04/25
68627	Credit Suisse AG	HSI R Bear CBBC Sep 2013 U	0.250	21,928 per 12,000 units	21,728 per 12,000 units	2013/09/27	300,000,000	2013/04/25
68623	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 J	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/12/30	150,000,000	2013/04/25
68639	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 W	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/12/30	150,000,000	2013/04/25
68640	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 X	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/12/30	150,000,000	2013/04/25
68638	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 C	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/09/27	150,000,000	2013/04/25
68648	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 K	0.254	20,388 per 7,000 units	20,588 per 7,000 units	2013/07/30	150,000,000	2013/04/25
68651	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 N	0.252	20,538 per 10,000 units	20,738 per 10,000 units	2013/07/30	150,000,000	2013/04/25
68652	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 Q	0.254	19,588 per 20,000 units	19,888 per 20,000 units	2013/07/30	150,000,000	2013/04/25
68650	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 Q	0.257	21,938 per 10,000 units	21,738 per 10,000 units	2013/07/30	150,000,000	2013/04/25
68599	HK Bank	China Shenhua R Bull CBBC Jul 2014 A	0.250	17.98 per 100 units	19.98 per 100 units	2014/07/18	60,000,000	2013/04/25
68598	HK Bank	China Shenhua R Bear CBBC Jan 2014 A	0.250	30.48 per 100 units	28.48 per 100 units	2014/01/14	40,000,000	2013/04/25
68573	HK Bank	HSCEI R Bull CBBC Nov 2013 C	0.250	8,588 per 10,000 units	8,788 per 10,000 units	2013/11/28	100,000,000	2013/04/25
68571	HK Bank	HSI R Bear CBBC Oct 2013 J	0.250	22,928 per 20,000 units	22,628 per 20,000 units	2013/10/30	400,000,000	2013/04/25
68582	HK Bank	TCH R Bull CBBC Sep 2013 B	0.250	228.88 per 100 units	236.88 per 100 units	2013/09/30	50,000,000	2013/04/25
68653	J P Morgan SP BV	HSI R Bear CBBC Sep 2013 B	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/09/27	300,000,000	2013/04/25
68654	J P Morgan SP BV	HSI R Bear CBBC Sep 2013 C	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/09/27	300,000,000	2013/04/25
68655	J P Morgan SP BV	HSI R Bear CBBC Sep 2013 D	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/09/27	300,000,000	2013/04/25
68600	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 Z	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/09/27	100,000,000	2013/04/25
68601	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 R	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/07/30	100,000,000	2013/04/25
68622	UBS AG	HSCEI R Bear CBBC Aug 2013 C	0.250	10,950 per 10,000 units	10,750 per 10,000 units	2013/08/29	100,000,000	2013/04/25
68645	UBS AG	HSI R Bull CBBC Dec 2013 R	0.250	21,125 per 12,000 units	21,325 per 12,000 units	2013/12/30	350,000,000	2013/04/25
68604	UBS AG	HSI R Bull CBBC Feb 2014 K	0.250	21,369 per 10,000 units	21,569 per 10,000 units	2014/02/27	300,000,000	2013/04/25
68644	UBS AG	HSI R Bull CBBC Feb 2014 L	0.250	20,975 per 12,000 units	21,175 per 12,000 units	2014/02/27	350,000,000	2013/04/25
68643	UBS AG	HSI R Bull CBBC Mar 2014 K	0.250	20,875 per 12,000 units	21,075 per 12,000 units	2014/03/28	350,000,000	2013/04/25
68642	UBS AG	HSI R Bear CBBC Aug 2013 T	0.250	21,713 per 10,000 units	21,513 per 10,000 units	2013/08/29	300,000,000	2013/04/25
68641	UBS AG	HSI R Bear CBBC Sep 2013 X	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/09/27	300,000,000	2013/04/25
68710	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 Q	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/04/26
68709	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 W	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/12/30	300,000,000	2013/04/26
68714	Credit Suisse AG	HSI R Bull CBBC Sep 2013 P	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	300,000,000	2013/04/26
68715	Credit Suisse AG	HSI R Bull CBBC Sep 2013 Q	0.250	21,438 per 12,000 units	21,638 per 12,000 units	2013/09/27	300,000,000	2013/04/26
68712	Credit Suisse AG	HSI R Bull CBBC Oct 2013 C	0.250	21,813 per 12,000 units	22,013 per 12,000 units	2013/10/30	300,000,000	2013/04/26
68713	Credit Suisse AG	HSI R Bull CBBC Oct 2013 D	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/10/30	300,000,000	2013/04/26
68701	Credit Suisse AG	HSI R Bear CBBC Aug 2013 N	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/08/29	300,000,000	2013/04/26
68656	Credit Suisse AG	HSI R Bear CBBC Sep 2013 V	0.250	21,738 per 12,000 units	21,538 per 12,000 units	2013/09/27	300,000,000	2013/04/26
68657	Credit Suisse AG	HSI R Bear CBBC Sep 2013 W	0.250	21,988 per 12,000 units	21,788 per 12,000 units	2013/09/27	300,000,000	2013/04/26
68699	Credit Suisse AG	HSI R Bear CBBC Oct 2013 B	0.250	22,088 per 12,000 units	21,888 per 12,000 units	2013/10/30	300,000,000	2013/04/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68711	Credit Suisse AG	HSI R Bear CBBC Oct 2013 C	0.250	22,338 per 12,000 units	22,138 per 12,000 units	2013/10/30	300,000,000	2013/04/26
68727	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Y	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/12/30	150,000,000	2013/04/26
68673	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 D	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/09/27	150,000,000	2013/04/26
68697	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 E	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/09/27	150,000,000	2013/04/26
68698	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 F	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/09/27	150,000,000	2013/04/26
68728	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 R	0.259	21,588 per 7,000 units	21,788 per 7,000 units	2013/07/30	150,000,000	2013/04/26
68732	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 S	0.258	21,788 per 7,000 units	21,988 per 7,000 units	2013/07/30	150,000,000	2013/04/26
68733	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 U	0.255	21,638 per 10,000 units	21,838 per 10,000 units	2013/07/30	150,000,000	2013/04/26
68734	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jul 2013 V	0.256	21,388 per 20,000 units	21,688 per 20,000 units	2013/07/30	150,000,000	2013/04/26
68667	HK Bank	Anhui Conch R Bull CBBC Sep 2014 A	0.250	23.28 per 100 units	25.28 per 100 units	2014/09/29	50,000,000	2013/04/26
68663	HK Bank	CNOOC R Bull CBBC Oct 2013 B	0.330	10.18	10.98	2013/10/28	60,000,000	2013/04/26
68664	HK Bank	HKEx R Bull CBBC Nov 2013 B	0.320	94.88 per 100 units	100.88 per 100 units	2013/11/25	60,000,000	2013/04/26
68661	HK Bank	HSI R Bear CBBC Aug 2013 K	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2013/08/29	100,000,000	2013/04/26
68662	HK Bank	HSI R Bear CBBC Aug 2013 L	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2013/08/29	100,000,000	2013/04/26
68665	HK Bank	PetCh R Bull CBBC Dec 2013 A	0.250	7.18	7.58	2013/12/23	60,000,000	2013/04/26
68666	HK Bank	PetCh R Bear CBBC Nov 2013 A	0.250	10.78	10.38	2013/11/25	40,000,000	2013/04/26
68668	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 A	0.250	20,300 per 20,000 units	20,500 per 20,000 units	2013/12/30	200,000,000	2013/04/26
68669	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 A	0.250	22,700 per 20,000 units	22,500 per 20,000 units	2013/12/30	200,000,000	2013/04/26
68726	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 A	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/07/30	200,000,000	2013/04/26
68678	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 C	0.250	21,000 per 15,000 units	21,200 per 15,000 units	2013/07/30	200,000,000	2013/04/26
68720	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 E	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/07/30	200,000,000	2013/04/26
68725	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 P	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2013/07/30	200,000,000	2013/04/26
68658	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 S	0.250	20,188 per 10,000 units	20,288 per 10,000 units	2013/08/29	200,000,000	2013/04/26
68674	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 T	0.250	19,400 per 15,000 units	19,600 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68675	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 U	0.250	19,800 per 15,000 units	20,000 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68676	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 V	0.250	20,200 per 15,000 units	20,400 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68677	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 W	0.250	20,600 per 15,000 units	20,800 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68659	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 X	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/07/30	200,000,000	2013/04/26
68660	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 Y	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/07/30	200,000,000	2013/04/26
68679	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jul13 Z	0.250	22,400 per 15,000 units	22,200 per 15,000 units	2013/07/30	200,000,000	2013/04/26
68682	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 A	0.250	22,800 per 15,000 units	22,600 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68683	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 B	0.250	23,200 per 15,000 units	23,000 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68684	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 C	0.250	23,600 per 15,000 units	23,400 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68685	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 D	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2013/08/29	200,000,000	2013/04/26
68692	UBS AG	China Mobile R Bear CBBC Oct 2013 A	0.250	87.50 per 100 units	85 per 100 units	2013/10/21	100,000,000	2013/04/26
68691	UBS AG	China Mobile R Bear CBBC Nov 2013 B	0.250	97.50 per 100 units	95 per 100 units	2013/11/25	100,000,000	2013/04/26
68690	UBS AG	China Shenhua R Bull CBBC Apr 2014 A	0.250	22 per 100 units	24 per 100 units	2014/04/07	100,000,000	2013/04/26
68689	UBS AG	China Shenhua R Bear CBBC Dec 2013 B	0.250	32 per 100 units	30 per 100 units	2013/12/16	100,000,000	2013/04/26
68686	UBS AG	Evergrande R Bull CBBC Jan 2014 A	0.250	2.48	2.88	2014/01/20	100,000,000	2013/04/26
68718	UBS AG	HSI R Bull CBBC Dec 2013 S	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/04/26
68716	UBS AG	HSI R Bull CBBC Feb 2014 M	0.250	21,325 per 12,000 units	21,525 per 12,000 units	2014/02/27	350,000,000	2013/04/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68717	UBS AG	HSI R Bull CBBC Feb 2014 N	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	300,000,000	2013/04/26
68719	UBS AG	HSI R Bull CBBC Mar 2014 L	0.250	21,813 per 10,000 units	22,013 per 10,000 units	2014/03/28	300,000,000	2013/04/26
68695	UBS AG	HSI R Bear CBBC Sep 2013 Y	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/09/27	300,000,000	2013/04/26
68696	UBS AG	HSI R Bear CBBC Sep 2013 Z	0.250	22,025 per 12,000 units	21,825 per 12,000 units	2013/09/27	350,000,000	2013/04/26
68670	UBS AG	HSI R Bear CBBC Oct 2013 N	0.250	21,875 per 12,000 units	21,675 per 12,000 units	2013/10/30	350,000,000	2013/04/26
68672	UBS AG	HSI R Bear CBBC Oct 2013 O	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/10/30	300,000,000	2013/04/26
68693	UBS AG	Petrochina R Bear CBBC Dec 2013 A	0.250	11.28	10.88	2013/12/02	100,000,000	2013/04/26
68687	UBS AG	Sands China R Bull CBBC Mar 2014 A	0.250	32.88 per 100 units	35.88 per 100 units	2014/03/17	100,000,000	2013/04/26
68694	UBS AG	TCH R Bull CBBC Sep 2013 A	0.250	232 per 100 units	240 per 100 units	2013/09/23	100,000,000	2013/04/26
68688	UBS AG	Yanzhou Coal R Bull CBBC Nov 2013 A	0.250	7.68	8.28	2013/11/18	50,000,000	2013/04/26
68737	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/07/30	300,000,000	2013/04/29
68749	Credit Suisse AG	HSI R Bull CBBC Sep 2013 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/09/27	300,000,000	2013/04/29
68751	Credit Suisse AG	HSI R Bull CBBC Oct 2013 G	0.250	21,844 per 10,000 units	22,044 per 10,000 units	2013/10/30	300,000,000	2013/04/29
68738	Credit Suisse AG	HSI R Bear CBBC Aug 2013 W	0.250	22,214 per 10,000 units	22,014 per 10,000 units	2013/08/29	300,000,000	2013/04/29
68748	Credit Suisse AG	HSI R Bear CBBC Sep 2013 X	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/09/27	300,000,000	2013/04/29
68752	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Y	0.250	22,488 per 12,000 units	22,288 per 12,000 units	2013/09/27	300,000,000	2013/04/29
68775	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 A	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	150,000,000	2013/04/29
68776	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 F	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	150,000,000	2013/04/29
68774	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Z	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	150,000,000	2013/04/29
68764	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 G	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/09/27	150,000,000	2013/04/29
68765	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 H	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/09/27	150,000,000	2013/04/29
68766	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 I	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/09/27	150,000,000	2013/04/29
68767	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jul 2013 R	0.251	22,288 per 7,000 units	22,088 per 7,000 units	2013/07/30	150,000,000	2013/04/29
68753	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 I	0.250	21,728 per 10,000 units	21,928 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68754	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 J	0.250	21,628 per 10,000 units	21,828 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68758	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 K	0.250	21,528 per 10,000 units	21,728 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68759	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 L	0.250	21,428 per 10,000 units	21,628 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68760	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 M	0.250	21,328 per 10,000 units	21,528 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68761	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 N	0.250	21,228 per 10,000 units	21,428 per 10,000 units	2013/09/27	60,000,000	2013/04/29
68735	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 A	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	100,000,000	2013/04/29
68736	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 H	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	100,000,000	2013/04/29
68747	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul13 D	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2013/07/30	200,000,000	2013/04/29
68773	UBS AG	Anhui Conch R Bull CBBC Jul 2014 A	0.250	23.88 per 100 units	25.88 per 100 units	2014/07/07	100,000,000	2013/04/29
68772	UBS AG	HSCEI R Bull CBBC Dec 2013 A	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2013/12/30	100,000,000	2013/04/29
68771	UBS AG	HSI R Bull CBBC Nov 2013 A	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/04/29
68769	UBS AG	HSI R Bull CBBC Nov 2013 Z	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/04/29
68741	UBS AG	HSI R Bull CBBC Dec 2013 T	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/04/29
68770	UBS AG	HSI R Bull CBBC Mar 2014 M	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/03/28	300,000,000	2013/04/29
68740	UBS AG	HSI R Bear CBBC Jul 2013 V	0.250	22,214 per 10,000 units	22,014 per 10,000 units	2013/07/30	300,000,000	2013/04/29
68768	UBS AG	HSI R Bear CBBC Dec 2013 G	0.250	22,325 per 12,000 units	22,125 per 12,000 units	2013/12/30	350,000,000	2013/04/29
68785	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 D	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/04/30
68784	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 S	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/04/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68806	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 D	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/07/30	300,000,000	2013/04/30
68807	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 G	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	300,000,000	2013/04/30
68805	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jul 2013 Z	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/07/30	300,000,000	2013/04/30
68813	Credit Suisse AG	HSI R Bull CBBC Sep 2013 S	0.250	21,350 per 12,000 units	21,550 per 12,000 units	2013/09/27	300,000,000	2013/04/30
68802	Credit Suisse AG	HSI R Bull CBBC Nov 2013 M	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/11/28	300,000,000	2013/04/30
68801	Credit Suisse AG	HSI R Bull CBBC Nov 2013 N	0.250	21,788 per 12,000 units	21,988 per 12,000 units	2013/11/28	300,000,000	2013/04/30
68812	Credit Suisse AG	HSI R Bull CBBC Nov 2013 O	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/11/28	300,000,000	2013/04/30
68819	Credit Suisse AG	HSI R Bear CBBC Jul 2013 C	0.250	21,974 per 10,000 units	21,774 per 10,000 units	2013/07/30	300,000,000	2013/04/30
68820	Credit Suisse AG	HSI R Bear CBBC Jul 2013 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/07/30	300,000,000	2013/04/30
68821	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Z	0.250	22,250 per 12,000 units	22,050 per 12,000 units	2013/09/27	300,000,000	2013/04/30
68815	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/12/30	150,000,000	2013/04/30
68799	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 I	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	150,000,000	2013/04/30
68800	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 K	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	150,000,000	2013/04/30
68814	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 L	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2013/12/30	150,000,000	2013/04/30
68816	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 J	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/09/27	150,000,000	2013/04/30
68817	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 K	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/09/27	150,000,000	2013/04/30
68818	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 L	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	150,000,000	2013/04/30
68780	HK Bank	China Life R Bear CBBC Aug 2013 D	0.250	22.38	21.38	2013/08/19	50,000,000	2013/04/30
68782	HK Bank	CNBM R Bull CBBC Sep 2013 B	0.250	8.58	9.38	2013/09/30	50,000,000	2013/04/30
68783	HK Bank	Sinopec Corp R Bull CBBC Nov 2013 B	0.250	6.48	6.78	2013/11/25	80,000,000	2013/04/30
68779	HK Bank	HSI R Bull CBBC Sep 2013 I	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2013/09/27	100,000,000	2013/04/30
68781	HK Bank	Yanzhou Coal R Bull CBBC Nov 2013 B	0.250	6.78	7.38	2013/11/25	50,000,000	2013/04/30
68825	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 N	0.250	22,088 per 10,000 units	21,888 per 10,000 units	2013/09/27	60,000,000	2013/04/30
68826	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 O	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2013/09/27	60,000,000	2013/04/30
68827	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 P	0.250	22,288 per 10,000 units	22,088 per 10,000 units	2013/09/27	60,000,000	2013/04/30
68810	Standard Chartered Bank	HSI R Bear CBBC Jul 2013 S	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/07/30	100,000,000	2013/04/30
68797	UBS AG	HSI R Bull CBBC Oct 2013 G	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/04/30
68798	UBS AG	HSI R Bull CBBC Oct 2013 J	0.250	21,844 per 10,000 units	22,044 per 10,000 units	2013/10/30	300,000,000	2013/04/30
68795	UBS AG	HSI R Bull CBBC Nov 2013 B	0.250	21,175 per 12,000 units	21,375 per 12,000 units	2013/11/28	350,000,000	2013/04/30
68792	UBS AG	HSI R Bull CBBC Dec 2013 U	0.250	20,775 per 12,000 units	20,975 per 12,000 units	2013/12/30	350,000,000	2013/04/30
68793	UBS AG	HSI R Bull CBBC Dec 2013 V	0.250	20,825 per 12,000 units	21,025 per 12,000 units	2013/12/30	350,000,000	2013/04/30
68794	UBS AG	HSI R Bull CBBC Dec 2013 W	0.250	21,075 per 12,000 units	21,275 per 12,000 units	2013/12/30	350,000,000	2013/04/30
68796	UBS AG	HSI R Bull CBBC Dec 2013 X	0.250	21,525 per 12,000 units	21,725 per 12,000 units	2013/12/30	350,000,000	2013/04/30
68790	UBS AG	HSI R Bull CBBC Jan 2014 A	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/01/29	300,000,000	2013/04/30
68791	UBS AG	HSI R Bull CBBC Jan 2014 B	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/01/29	300,000,000	2013/04/30
68822	UBS AG	HSI R Bear CBBC Aug 2013 B	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/08/29	300,000,000	2013/04/30
68823	UBS AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/08/29	300,000,000	2013/04/30
68824	UBS AG	HSI R Bear CBBC Nov 2013 G	0.250	21,975 per 12,000 units	21,775 per 12,000 units	2013/11/28	350,000,000	2013/04/30
68854	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/05/02
68855	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 G	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	300,000,000	2013/05/02
68856	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 I	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/12/30	300,000,000	2013/05/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68843	Credit Suisse AG	HSI R Bull CBBC Sep 2013 M	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68845	Credit Suisse AG	HSI R Bull CBBC Sep 2013 N	0.250	21,983 per 10,000 units	22,183 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68846	Credit Suisse AG	HSI R Bull CBBC Sep 2013 U	0.250	21,888 per 12,000 units	22,088 per 12,000 units	2013/09/27	300,000,000	2013/05/02
68836	Credit Suisse AG	HSI R Bear CBBC Aug 2013 M	0.250	22,007 per 10,000 units	21,807 per 10,000 units	2013/08/29	300,000,000	2013/05/02
68837	Credit Suisse AG	HSI R Bear CBBC Aug 2013 O	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/08/29	300,000,000	2013/05/02
68838	Credit Suisse AG	HSI R Bear CBBC Aug 2013 P	0.250	22,138 per 12,000 units	21,938 per 12,000 units	2013/08/29	300,000,000	2013/05/02
68842	Credit Suisse AG	HSI R Bear CBBC Sep 2013 F	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68831	HK Bank	BOCL R Bear CBBC Dec 2013 B	0.250	3.91	3.73	2013/12/19	40,000,000	2013/05/02
68830	HK Bank	CNOOC R Bear CBBC Aug 2013 B	0.250	15.38	14.58	2013/08/19	40,000,000	2013/05/02
68833	HK Bank	ICBC R Bull CBBC Nov 2013 A	0.250	3.73	3.98	2013/11/25	80,000,000	2013/05/02
68832	HK Bank	ICBC R Bear CBBC Nov 2013 B	0.250	5.68	5.43	2013/11/25	40,000,000	2013/05/02
68828	J P Morgan SP BV	HSI R Bull CBBC Sep 2013 B	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68829	J P Morgan SP BV	HSI R Bull CBBC Sep 2013 C	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68840	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 A	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/05/02
68841	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/05/02
68850	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 O	0.250	21,928 per 10,000 units	22,128 per 10,000 units	2013/09/27	60,000,000	2013/05/02
68853	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 P	0.250	21,828 per 10,000 units	22,028 per 10,000 units	2013/09/27	60,000,000	2013/05/02
68847	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 Q	0.250	22,388 per 10,000 units	22,188 per 10,000 units	2013/09/27	60,000,000	2013/05/02
68858	UBS AG	HSI R Bull CBBC Oct 2013 K	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/10/30	300,000,000	2013/05/02
68860	UBS AG	HSI R Bull CBBC Oct 2013 L	0.250	21,983 per 10,000 units	22,183 per 10,000 units	2013/10/30	300,000,000	2013/05/02
68859	UBS AG	HSI R Bull CBBC Dec 2013 Y	0.250	21,825 per 12,000 units	22,025 per 12,000 units	2013/12/30	350,000,000	2013/05/02
68834	UBS AG	HSI R Bear CBBC Aug 2013 L	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/08/29	300,000,000	2013/05/02
68835	UBS AG	HSI R Bear CBBC Sep 2013 A	0.250	22,007 per 10,000 units	21,807 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68857	UBS AG	HSI R Bear CBBC Sep 2013 I	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/05/02
68886	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 A	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68871	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 O	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68887	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68890	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 R	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68891	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 U	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68885	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Dec 2013 V	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68869	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 A	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68870	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 B	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68877	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 C	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68895	Credit Suisse AG	HSI R Bull CBBC Oct 2013 E	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2013/10/30	300,000,000	2013/05/03
68893	Credit Suisse AG	HSI R Bull CBBC Oct 2013 I	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/05/03
68894	Credit Suisse AG	HSI R Bull CBBC Nov 2013 P	0.250	22,229 per 10,000 units	22,429 per 10,000 units	2013/11/28	300,000,000	2013/05/03
68896	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Q	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/05/03
68879	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68880	Credit Suisse AG	HSI R Bear CBBC Aug 2013 R	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68878	Credit Suisse AG	HSI R Bear CBBC Sep 2013 G	0.250	22,388 per 12,000 units	22,188 per 12,000 units	2013/09/27	300,000,000	2013/05/03
68892	Credit Suisse AG	HSI R Bear CBBC Sep 2013 H	0.250	22,688 per 12,000 units	22,488 per 12,000 units	2013/09/27	300,000,000	2013/05/03
68903	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 A	0.255	21,988 per 7,000 units	22,188 per 7,000 units	2013/08/29	150,000,000	2013/05/03

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68904	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 B	0.252	21,938 per 10,000 units	22,138 per 10,000 units	2013/08/29	150,000,000	2013/05/03
68907	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 C	0.253	21,588 per 20,000 units	21,888 per 20,000 units	2013/08/29	150,000,000	2013/05/03
68908	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 D	0.259	21,788 per 20,000 units	22,088 per 20,000 units	2013/08/29	150,000,000	2013/05/03
68862	HK Bank	Sinopec Corp R Bear CBBC Nov 2013 B	0.250	9.38	9.08	2013/11/18	40,000,000	2013/05/03
68866	HK Bank	CSOP A50 ETF R Bull CBBC Oct 2013 C	0.250	9.48	9.78	2013/10/15	80,000,000	2013/05/03
68868	HK Bank	Galaxy Ent R Bull CBBC Sep 2013 B	0.340	29.68	30.68	2013/09/30	50,000,000	2013/05/03
68861	HK Bank	HSI R Bull CBBC Oct 2013 I	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2013/10/30	100,000,000	2013/05/03
68897	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 G	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/05/03
68872	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 B	0.250	21,300 per 20,000 units	21,500 per 20,000 units	2013/12/30	200,000,000	2013/05/03
68874	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 A	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/08/29	100,000,000	2013/05/03
68873	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 B	0.250	23,200 per 20,000 units	23,000 per 20,000 units	2013/12/30	200,000,000	2013/05/03
68875	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 X	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/08/29	200,000,000	2013/05/03
68883	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 Y	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/08/29	200,000,000	2013/05/03
68884	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 Z	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2013/08/29	200,000,000	2013/05/03
68876	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 E	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/08/29	200,000,000	2013/05/03
68898	UBS AG	HSI R Bull CBBC Oct 2013 N	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/10/30	300,000,000	2013/05/03
68899	UBS AG	HSI R Bull CBBC Nov 2013 C	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/05/03
68901	UBS AG	HSI R Bull CBBC Nov 2013 D	0.250	22,229 per 10,000 units	22,429 per 10,000 units	2013/11/28	300,000,000	2013/05/03
68900	UBS AG	HSI R Bull CBBC Dec 2013 Z	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/05/03
68881	UBS AG	HSI R Bear CBBC Aug 2013 P	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68902	UBS AG	HSI R Bear CBBC Aug 2013 S	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/08/29	300,000,000	2013/05/03
68882	UBS AG	HSI R Bear CBBC Nov 2013 H	0.250	22,384 per 12,000 units	22,184 per 12,000 units	2013/11/28	350,000,000	2013/05/03
68934	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 F	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/09/27	300,000,000	2013/05/06
68935	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 G	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/09/27	300,000,000	2013/05/06
68911	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2013/08/29	300,000,000	2013/05/06
68912	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 E	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/05/06
68913	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/08/29	300,000,000	2013/05/06
68939	Credit Suisse AG	HSI R Bull CBBC Sep 2013 I	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/09/27	300,000,000	2013/05/06
68937	Credit Suisse AG	HSI R Bull CBBC Sep 2013 V	0.250	22,200 per 12,000 units	22,400 per 12,000 units	2013/09/27	300,000,000	2013/05/06
68936	Credit Suisse AG	HSI R Bull CBBC Nov 2013 R	0.250	21,950 per 12,000 units	22,150 per 12,000 units	2013/11/28	300,000,000	2013/05/06
68938	Credit Suisse AG	HSI R Bull CBBC Nov 2013 S	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/05/06
68941	Credit Suisse AG	HSI R Bull CBBC Nov 2013 T	0.250	22,399 per 10,000 units	22,599 per 10,000 units	2013/11/28	300,000,000	2013/05/06
68918	Credit Suisse AG	HSI R Bull CBBC Jan 2014 A	0.250	21,238 per 20,000 units	21,538 per 20,000 units	2014/01/29	300,000,000	2013/05/06
68919	Credit Suisse AG	HSI R Bull CBBC Jan 2014 B	0.250	20,788 per 20,000 units	21,088 per 20,000 units	2014/01/29	300,000,000	2013/05/06
68920	Credit Suisse AG	HSI R Bull CBBC Jan 2014 C	0.250	20,288 per 20,000 units	20,588 per 20,000 units	2014/01/29	300,000,000	2013/05/06
68917	Credit Suisse AG	HSI R Bear CBBC Aug 2013 X	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/08/29	300,000,000	2013/05/06
68914	Credit Suisse AG	HSI R Bear CBBC Sep 2013 I	0.250	22,618 per 12,000 units	22,418 per 12,000 units	2013/09/27	300,000,000	2013/05/06
68915	Credit Suisse AG	HSI R Bear CBBC Sep 2013 J	0.250	22,988 per 12,000 units	22,788 per 12,000 units	2013/09/27	300,000,000	2013/05/06
68916	Credit Suisse AG	HSI R Bear CBBC Sep 2013 N	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/09/27	300,000,000	2013/05/06
68921	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	23,738 per 20,000 units	23,438 per 20,000 units	2013/11/28	300,000,000	2013/05/06
68922	Credit Suisse AG	HSI R Bear CBBC Nov 2013 C	0.250	24,288 per 20,000 units	23,988 per 20,000 units	2013/11/28	300,000,000	2013/05/06
68923	Credit Suisse AG	HSI R Bear CBBC Nov 2013 D	0.250	24,788 per 20,000 units	24,488 per 20,000 units	2013/11/28	300,000,000	2013/05/06
68958	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 E	0.260	22,188 per 7,000 units	22,388 per 7,000 units	2013/08/29	150,000,000	2013/05/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68959	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 F	0.256	22,238 per 10,000 units	22,438 per 10,000 units	2013/08/29	150,000,000	2013/05/06
68960	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 G	0.254	21,988 per 20,000 units	22,288 per 20,000 units	2013/08/29	150,000,000	2013/05/06
68961	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 H	0.252	22,188 per 20,000 units	22,488 per 20,000 units	2013/08/29	150,000,000	2013/05/06
68951	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 Q	0.250	22,128 per 10,000 units	22,328 per 10,000 units	2013/09/27	60,000,000	2013/05/06
68952	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 R	0.250	22,028 per 10,000 units	22,228 per 10,000 units	2013/09/27	60,000,000	2013/05/06
68949	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 R	0.250	22,888 per 10,000 units	22,688 per 10,000 units	2013/09/27	60,000,000	2013/05/06
68950	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Sep 2013 S	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2013/09/27	60,000,000	2013/05/06
68909	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 B	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/05/06
68910	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 B	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/08/29	100,000,000	2013/05/06
68932	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 C	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	100,000,000	2013/05/06
68933	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 C	0.250	23,700 per 20,000 units	23,500 per 20,000 units	2013/12/30	200,000,000	2013/05/06
68927	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 F	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2013/08/29	200,000,000	2013/05/06
68928	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 G	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2013/08/29	200,000,000	2013/05/06
68929	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 F	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/08/29	200,000,000	2013/05/06
68931	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 G	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/08/29	200,000,000	2013/05/06
68948	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 H	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2013/08/29	200,000,000	2013/05/06
68957	UBS AG	CC Bank R Bull CBBC Dec 2013 B	0.250	5.7	6	2013/12/16	100,000,000	2013/05/06
68953	UBS AG	HSBC R Bull CBBC Nov 2013 B	0.250	77 per 100 units	80 per 100 units	2013/11/11	100,000,000	2013/05/06
68943	UBS AG	HSI R Bull CBBC Oct 2013 M	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/10/30	300,000,000	2013/05/06
68942	UBS AG	HSI R Bull CBBC Oct 2013 O	0.250	22,399 per 10,000 units	22,599 per 10,000 units	2013/10/30	300,000,000	2013/05/06
68944	UBS AG	HSI R Bull CBBC Nov 2013 E	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/05/06
68946	UBS AG	HSI R Bull CBBC Dec 2013 A	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/05/06
68924	UBS AG	HSI R Bear CBBC Aug 2013 A	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/08/29	300,000,000	2013/05/06
68926	UBS AG	HSI R Bear CBBC Sep 2013 J	0.250	22,602 per 10,000 units	22,402 per 10,000 units	2013/09/27	300,000,000	2013/05/06
68925	UBS AG	HSI R Bear CBBC Oct 2013 P	0.250	22,725 per 12,000 units	22,525 per 12,000 units	2013/10/30	350,000,000	2013/05/06
68955	UBS AG	Hutchison R Bull CBBC Oct 2013 B	0.250	77 per 100 units	80 per 100 units	2013/10/28	100,000,000	2013/05/06
68980	Credit Suisse AG	HSI R Bull CBBC Sep 2013 H	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/09/27	300,000,000	2013/05/07
68977	Credit Suisse AG	HSI R Bull CBBC Oct 2013 J	0.250	22,188 per 10,000 units	22,388 per 10,000 units	2013/10/30	300,000,000	2013/05/07
68984	Credit Suisse AG	HSI R Bull CBBC Oct 2013 N	0.250	22,380 per 12,000 units	22,580 per 12,000 units	2013/10/30	300,000,000	2013/05/07
68985	Credit Suisse AG	HSI R Bull CBBC Oct 2013 O	0.250	22,250 per 12,000 units	22,450 per 12,000 units	2013/10/30	300,000,000	2013/05/07
68972	Credit Suisse AG	HSI R Bear CBBC Aug 2013 S	0.250	22,748 per 10,000 units	22,548 per 10,000 units	2013/08/29	300,000,000	2013/05/07
68974	Credit Suisse AG	HSI R Bear CBBC Sep 2013 O	0.250	23,068 per 10,000 units	22,868 per 10,000 units	2013/09/27	300,000,000	2013/05/07
68973	Credit Suisse AG	HSI R Bear CBBC Oct 2013 D	0.250	22,928 per 12,000 units	22,728 per 12,000 units	2013/10/30	300,000,000	2013/05/07
68969	HK Bank	ABC R Bull CBBC Feb 2014 A	0.250	3.11	3.36	2014/02/28	80,000,000	2013/05/07
68967	HK Bank	China Mobile R Bull CBBC Nov 2013 D	0.250	77.88 per 100 units	80.38 per 100 units	2013/11/11	120,000,000	2013/05/07
68966	HK Bank	HSCEI R Bull CBBC Sep 2013 D	0.250	10,288 per 10,000 units	10,488 per 10,000 units	2013/09/27	100,000,000	2013/05/07
68963	HK Bank	HSI R Bull CBBC Sep 2013 J	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2013/09/27	100,000,000	2013/05/07
68962	HK Bank	HSI R Bull CBBC Nov 2013 J	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2013/11/28	100,000,000	2013/05/07
68965	HK Bank	HSI R Bull CBBC Dec 2013 G	0.250	21,328 per 20,000 units	21,628 per 20,000 units	2013/12/30	400,000,000	2013/05/07
68968	HK Bank	Hutchison R Bull CBBC Nov 2013 D	0.250	77.88 per 100 units	80.88 per 100 units	2013/11/28	60,000,000	2013/05/07
68970	HK Bank	SHK Ppt R Bull CBBC Jan 2014 A	0.250	101.48 per 100 units	105.48 per 100 units	2014/01/16	60,000,000	2013/05/07
68981	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 J	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/08/29	200,000,000	2013/05/07

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68982	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 L	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2013/08/29	200,000,000	2013/05/07
68983	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 I	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/08/29	200,000,000	2013/05/07
68989	UBS AG	HSI R Bull CBBC Dec 2013 B	0.250	22,380 per 12,000 units	22,580 per 12,000 units	2013/12/30	350,000,000	2013/05/07
68986	UBS AG	HSI R Bull CBBC Jan 2014 C	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/05/07
68988	UBS AG	HSI R Bull CBBC Jan 2014 D	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/05/07
68971	UBS AG	HSI R Bear CBBC Sep 2013 K	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/09/27	300,000,000	2013/05/07
61140 #	HK Bank	HSI R Bear CBBC Nov 2013 I	0.079	23,928 per 20,000 units	23,628 per 20,000 units	2013/11/28	280,000,000	2013/05/07
69001	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 J	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69031	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 K	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69030	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 U	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/05/08
68999	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 G	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69000	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 H	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69002	Credit Suisse AG	A50 China R Bull CBBC Oct 2013 B	0.250	9.6	9.9	2013/10/25	50,000,000	2013/05/08
69003	Credit Suisse AG	A50 China R Bull CBBC Nov 2013 A	0.250	9.29	9.59	2013/11/29	50,000,000	2013/05/08
69004	Credit Suisse AG	A50 China R Bull CBBC Nov 2013 B	0.250	8.71	9.01	2013/11/29	50,000,000	2013/05/08
69027	Credit Suisse AG	HSI R Bull CBBC Jan 2014 D	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/01/29	300,000,000	2013/05/08
69028	Credit Suisse AG	HSI R Bull CBBC Jan 2014 E	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/01/29	300,000,000	2013/05/08
69029	Credit Suisse AG	HSI R Bull CBBC Jan 2014 F	0.250	22,562 per 10,000 units	22,762 per 10,000 units	2014/01/29	300,000,000	2013/05/08
69005	Credit Suisse AG	HSI R Bear CBBC Aug 2013 K	0.250	23,510 per 10,000 units	23,310 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69015	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69007	Credit Suisse AG	HSI R Bear CBBC Aug 2013 T	0.250	23,660 per 10,000 units	23,460 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69009	Credit Suisse AG	HSI R Bear CBBC Aug 2013 U	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69020	Credit Suisse AG	HSI R Bear CBBC Aug 2013 V	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/05/08
69008	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Q	0.250	23,760 per 10,000 units	23,560 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69006	Credit Suisse AG	HSI R Bear CBBC Sep 2013 R	0.250	23,610 per 10,000 units	23,410 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69010	Credit Suisse AG	HSI R Bear CBBC Sep 2013 S	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69012	Credit Suisse AG	HSI R Bear CBBC Sep 2013 T	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/09/27	300,000,000	2013/05/08
69011	Credit Suisse AG	HSI R Bear CBBC Oct 2013 E	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/10/30	300,000,000	2013/05/08
69013	Credit Suisse AG	HSI R Bear CBBC Oct 2013 F	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/10/30	300,000,000	2013/05/08
69021	Credit Suisse AG	HSI R Bear CBBC Oct 2013 G	0.250	23,050 per 12,000 units	22,850 per 12,000 units	2013/10/30	300,000,000	2013/05/08
69014	Credit Suisse AG	HSI R Bear CBBC Nov 2013 E	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/11/28	300,000,000	2013/05/08
69032	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 A	0.255	23,088 per 7,000 units	22,888 per 7,000 units	2013/08/29	150,000,000	2013/05/08
69034	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 B	0.253	23,688 per 20,000 units	23,388 per 20,000 units	2013/08/29	150,000,000	2013/05/08
69035	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 C	0.255	24,388 per 20,000 units	24,088 per 20,000 units	2013/08/29	150,000,000	2013/05/08
69036	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 D	0.253	24,588 per 20,000 units	24,288 per 20,000 units	2013/08/29	150,000,000	2013/05/08
68992	HK Bank	COVS R Bull CBBC Nov 2013 C	0.250	21.18	22.18	2013/11/11	50,000,000	2013/05/08
68993	HK Bank	CNOOC R Bull CBBC Nov 2013 B	0.250	13.08	13.88	2013/11/11	60,000,000	2013/05/08
68990	HK Bank	Galaxy Ent R Bull CBBC Nov 2013 A	0.400	31.08	32.08	2013/11/25	50,000,000	2013/05/08
68991	HK Bank	Sands China R Bull CBBC Nov 2013 B	0.250	35.28 per 100 units	38.28 per 100 units	2013/11/25	60,000,000	2013/05/08
68994	HK Bank	Yanzhou Coal R Bull CBBC Dec 2013 B	0.250	5.98	6.58	2013/12/23	50,000,000	2013/05/08
69026	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 C	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	100,000,000	2013/05/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68995	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 D	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	100,000,000	2013/05/08
69022	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 C	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2013/08/29	200,000,000	2013/05/08
69023	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 D	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/08/29	200,000,000	2013/05/08
69024	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 J	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2013/08/29	200,000,000	2013/05/08
69025	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 K	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/08/29	200,000,000	2013/05/08
69040	UBS AG	HSI R Bull CBBC Nov 2013 G	0.250	22,562 per 10,000 units	22,762 per 10,000 units	2013/11/28	300,000,000	2013/05/08
69037	UBS AG	HSI R Bull CBBC Nov 2013 H	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/11/28	300,000,000	2013/05/08
69039	UBS AG	HSI R Bull CBBC Dec 2013 D	0.250	22,425 per 12,000 units	22,625 per 12,000 units	2013/12/30	350,000,000	2013/05/08
69038	UBS AG	HSI R Bull CBBC Jan 2014 E	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/01/29	300,000,000	2013/05/08
68996	UBS AG	HSI R Bear CBBC Aug 2013 N	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	300,000,000	2013/05/08
68997	UBS AG	HSI R Bear CBBC Sep 2013 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/05/08
68998	UBS AG	HSI R Bear CBBC Dec 2013 H	0.250	22,781 per 12,000 units	22,581 per 12,000 units	2013/12/30	350,000,000	2013/05/08
69041	Credit Suisse AG	HSI R Bull CBBC Nov 2013 U	0.250	22,537 per 12,000 units	22,737 per 12,000 units	2013/11/28	300,000,000	2013/05/09
69042	Credit Suisse AG	HSI R Bull CBBC Nov 2013 V	0.250	22,328 per 12,000 units	22,528 per 12,000 units	2013/11/28	300,000,000	2013/05/09
69043	Credit Suisse AG	HSI R Bull CBBC Nov 2013 W	0.250	22,088 per 10,000 units	22,288 per 10,000 units	2013/11/28	300,000,000	2013/05/09
69054	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Y	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/08/29	300,000,000	2013/05/09
69055	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Z	0.250	22,870 per 12,000 units	22,670 per 12,000 units	2013/08/29	300,000,000	2013/05/09
69067	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 I	0.254	22,388 per 7,000 units	22,588 per 7,000 units	2013/08/29	150,000,000	2013/05/09
69066	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 E	0.250	22,888 per 7,000 units	22,688 per 7,000 units	2013/08/29	150,000,000	2013/05/09
69047	HK Bank	Anhui Conch R Bull CBBC Sep 2014 B	0.250	25.08 per 100 units	27.08 per 100 units	2014/09/18	50,000,000	2013/05/09
69048	HK Bank	AIA R Bull CBBC Nov 2013 B	0.250	32.08	33.08	2013/11/25	60,000,000	2013/05/09
69046	HK Bank	CUni R Bull CBBC Oct 2013 C	0.250	9.08	10.08	2013/10/28	50,000,000	2013/05/09
69045	HK Bank	Galaxy Ent R Bear CBBC Jan 2014 A	0.750	41.88	40.88	2014/01/27	40,000,000	2013/05/09
69044	HK Bank	HSI R Bull CBBC Oct 2013 J	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2013/10/30	100,000,000	2013/05/09
69049	HK Bank	Ping An R Bull CBBC Nov 2013 A	0.250	54.48 per 100 units	58.48 per 100 units	2013/11/25	60,000,000	2013/05/09
69060	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 A	0.250	22,428 per 10,000 units	22,628 per 10,000 units	2013/10/30	60,000,000	2013/05/09
69061	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 B	0.250	22,328 per 10,000 units	22,528 per 10,000 units	2013/10/30	60,000,000	2013/05/09
69062	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 C	0.250	22,228 per 10,000 units	22,428 per 10,000 units	2013/10/30	60,000,000	2013/05/09
69058	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 A	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/10/30	60,000,000	2013/05/09
69052	UBS AG	HSI R Bull CBBC Jan 2014 F	0.250	22,537 per 12,000 units	22,737 per 12,000 units	2014/01/29	350,000,000	2013/05/09
69056	UBS AG	HSI R Bear CBBC Oct 2013 Q	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/10/30	300,000,000	2013/05/09
69057	UBS AG	HSI R Bear CBBC Nov 2013 I	0.250	22,870 per 12,000 units	22,670 per 12,000 units	2013/11/28	350,000,000	2013/05/09
69065	UBS AG	SHK Ppt R Bull CBBC Jan 2014 B	0.250	96 per 100 units	100 per 100 units	2014/01/27	100,000,000	2013/05/09
69063	UBS AG	TCH R Bull CBBC Nov 2013 A	0.315	242 per 100 units	250 per 100 units	2013/11/11	100,000,000	2013/05/09
69097	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 C	0.250	10,200 per 5,000 units	10,400 per 5,000 units	2013/12/30	200,000,000	2013/05/10
69099	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 T	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	300,000,000	2013/05/10
69098	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 Z	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/09/27	300,000,000	2013/05/10
69116	Credit Suisse AG	HSI R Bull CBBC Oct 2013 P	0.250	22,623 per 10,000 units	22,823 per 10,000 units	2013/10/30	300,000,000	2013/05/10
69117	Credit Suisse AG	HSI R Bull CBBC Oct 2013 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/10/30	300,000,000	2013/05/10
69078	Credit Suisse AG	HSI R Bear CBBC Aug 2013 N	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/08/29	300,000,000	2013/05/10
69081	Credit Suisse AG	HSI R Bear CBBC Sep 2013 K	0.250	23,188 per 12,000 units	22,988 per 12,000 units	2013/09/27	300,000,000	2013/05/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69082	Credit Suisse AG	HSI R Bear CBBC Oct 2013 H	0.250	23,288 per 12,000 units	23,088 per 12,000 units	2013/10/30	300,000,000	2013/05/10
69118	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 J	0.254	22,438 per 10,000 units	22,638 per 10,000 units	2013/08/29	150,000,000	2013/05/10
69076	HK Bank	CC Bank R Bull CBBC Jan 2014 A	0.250	5.78	6.08	2014/01/21	80,000,000	2013/05/10
69074	HK Bank	CNBM R Bull CBBC Dec 2013 A	0.280	6.23	7.03	2013/12/30	50,000,000	2013/05/10
69075	HK Bank	CNBM R Bull CBBC Dec 2013 B	0.250	6.83	7.63	2013/12/09	50,000,000	2013/05/10
69070	HK Bank	HSI R Bull CBBC Nov 2013 K	0.250	20,188 per 15,000 units	20,388 per 15,000 units	2013/11/28	100,000,000	2013/05/10
69069	HK Bank	HSI R Bull CBBC Dec 2013 H	0.250	20,488 per 15,000 units	20,688 per 15,000 units	2013/12/30	100,000,000	2013/05/10
69071	HK Bank	HSI R Bear CBBC Dec 2013 E	0.250	24,328 per 20,000 units	24,028 per 20,000 units	2013/12/30	400,000,000	2013/05/10
69073	HK Bank	ICBC R Bull CBBC Nov 2013 B	0.250	4.93	5.18	2013/11/04	80,000,000	2013/05/10
69072	HK Bank	TCH R Bull CBBC Oct 2013 B	0.360	238.88 per 100 units	246.88 per 100 units	2013/10/28	50,000,000	2013/05/10
69100	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	100,000,000	2013/05/10
69095	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 E	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2013/08/29	200,000,000	2013/05/10
69094	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 F	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/09/27	200,000,000	2013/05/10
69096	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 L	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	200,000,000	2013/05/10
69110	UBS AG	Anhui Conch R Bull CBBC Aug 2014 A	0.250	21.38 per 100 units	23.38 per 100 units	2014/08/18	100,000,000	2013/05/10
69111	UBS AG	CUni R Bull CBBC Dec 2013 A	0.250	9.38	10.38	2013/12/09	100,000,000	2013/05/10
69106	UBS AG	CNBM R Bull CBBC Nov 2013 A	0.250	6.7	7.5	2013/11/04	50,000,000	2013/05/10
69105	UBS AG	CNBM R Bear CBBC Nov 2013 A	0.250	11.18	10.38	2013/11/18	50,000,000	2013/05/10
69113	UBS AG	Galaxy Ent R Bull CBBC Aug 2013 B	0.300	31.38	32.38	2013/08/19	50,000,000	2013/05/10
69115	UBS AG	Galaxy Ent R Bull CBBC Sep 2013 B	0.750	26.88	27.88	2013/09/30	50,000,000	2013/05/10
69112	UBS AG	Galaxy Ent R Bear CBBC Oct 2013 A	0.670	41	40	2013/10/28	50,000,000	2013/05/10
69101	UBS AG	HSI R Bull CBBC Oct 2013 P	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/10/30	300,000,000	2013/05/10
69102	UBS AG	HSI R Bull CBBC Nov 2013 I	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/11/28	300,000,000	2013/05/10
69103	UBS AG	HSI R Bull CBBC Dec 2013 C	0.250	22,623 per 10,000 units	22,823 per 10,000 units	2013/12/30	300,000,000	2013/05/10
69083	UBS AG	HSI R Bear CBBC Aug 2013 O	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/08/29	300,000,000	2013/05/10
69091	UBS AG	HSI R Bear CBBC Aug 2013 Q	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/05/10
69092	UBS AG	HSI R Bear CBBC Aug 2013 R	0.250	23,225 per 12,000 units	23,025 per 12,000 units	2013/08/29	350,000,000	2013/05/10
69093	UBS AG	HSI R Bear CBBC Aug 2013 T	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/05/10
69104	UBS AG	Ping An R Bull CBBC Oct 2013 C	0.250	56 per 100 units	60 per 100 units	2013/10/28	100,000,000	2013/05/10
69109	UBS AG	Yanzhou Coal R Bull CBBC Nov 2013 B	0.250	6.78	7.38	2013/11/11	50,000,000	2013/05/10
69108	UBS AG	Yanzhou Coal R Bull CBBC Jun 2014 A	0.250	5.9	6.5	2014/06/30	50,000,000	2013/05/10
69107	UBS AG	Yanzhou Coal R Bear CBBC Dec 2013 A	0.250	9.98	9.38	2013/12/09	50,000,000	2013/05/10
69122	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 E	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/09/27	300,000,000	2013/05/13
69148	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 S	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/05/13
69121	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	300,000,000	2013/05/13
69150	Credit Suisse AG	HSI R Bull CBBC Jan 2014 G	0.250	22,715 per 10,000 units	22,915 per 10,000 units	2014/01/29	300,000,000	2013/05/13
69151	Credit Suisse AG	HSI R Bull CBBC Jan 2014 H	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/01/29	300,000,000	2013/05/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69152	Credit Suisse AG	HSI R Bull CBBC Jan 2014 I	0.250	22,480 per 12,000 units	22,680 per 12,000 units	2014/01/29	300,000,000	2013/05/13
69120	Credit Suisse AG	HSI R Bear CBBC Aug 2013 W	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	300,000,000	2013/05/13
69119	Credit Suisse AG	HSI R Bear CBBC Sep 2013 L	0.250	22,890 per 12,000 units	22,690 per 12,000 units	2013/09/27	300,000,000	2013/05/13
69153	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	150,000,000	2013/05/13
69167	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 R	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	150,000,000	2013/05/13
69168	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 T	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	150,000,000	2013/05/13
69169	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/12/30	150,000,000	2013/05/13
69170	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 V	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	150,000,000	2013/05/13
69137	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 K	0.257	22,588 per 7,000 units	22,788 per 7,000 units	2013/08/29	150,000,000	2013/05/13
69144	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 L	0.258	22,388 per 20,000 units	22,688 per 20,000 units	2013/08/29	150,000,000	2013/05/13
69125	HK Bank	CAM CSI300 R Bull CBBC Oct 2013 A	0.250	28.68	29.68	2013/10/21	60,000,000	2013/05/13
69123	HK Bank	CAM CSI300 R Bull CBBC Nov 2013 A	0.380	27.08	28.08	2013/11/18	60,000,000	2013/05/13
69130	HK Bank	Minsheng Bank R Bull CBBC Oct 2013 B	0.250	8.88	9.38	2013/10/15	40,000,000	2013/05/13
69131	HK Bank	Minsheng Bank R Bull CBBC Nov 2013 A	0.250	7.88	8.38	2013/11/11	40,000,000	2013/05/13
69129	HK Bank	Minsheng Bank R Bear CBBC Nov 2013 A	0.250	12.18	11.68	2013/11/11	40,000,000	2013/05/13
69126	HK Bank	CPIC R Bull CBBC Oct 2013 A	0.310	24.28	25.28	2013/10/28	60,000,000	2013/05/13
69147	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 H	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2013/08/29	200,000,000	2013/05/13
69132	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 M	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2013/08/29	200,000,000	2013/05/13
69145	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 N	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2013/08/29	200,000,000	2013/05/13
69146	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 O	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/08/29	200,000,000	2013/05/13
69155	UBS AG	CAM CSI300 R Bull CBBC Feb 2014 A	0.250	28.88	29.88	2014/02/10	40,000,000	2013/05/13
69154	UBS AG	CAM CSI300 R Bear CBBC Dec 2013 A	0.265	33.88	32.88	2013/12/16	40,000,000	2013/05/13
69162	UBS AG	Minsheng Bank R Bull CBBC Nov 2013 A	0.250	9	9.5	2013/11/25	50,000,000	2013/05/13
69161	UBS AG	Minsheng Bank R Bear CBBC Oct 2013 A	0.250	12	11.5	2013/10/28	50,000,000	2013/05/13
69157	UBS AG	CPIC R Bull CBBC Nov 2013 A	0.375	24	25	2013/11/04	30,000,000	2013/05/13
69156	UBS AG	CPIC R Bear CBBC Oct 2013 A	0.435	32	31	2013/10/07	30,000,000	2013/05/13
69160	UBS AG	HSI R Bull CBBC Oct 2013 R	0.250	20,715 per 10,000 units	20,915 per 10,000 units	2013/10/30	300,000,000	2013/05/13
69159	UBS AG	HSI R Bull CBBC Dec 2013 E	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/05/13
69158	UBS AG	HSI R Bull CBBC Jan 2014 G	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/01/29	300,000,000	2013/05/13
69135	UBS AG	HSI R Bear CBBC Aug 2013 B	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	300,000,000	2013/05/13
69133	UBS AG	HSI R Bear CBBC Aug 2013 X	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2013/08/29	300,000,000	2013/05/13
69134	UBS AG	HSI R Bear CBBC Sep 2013 L	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/09/27	300,000,000	2013/05/13
69136	UBS AG	HSI R Bear CBBC Oct 2013 R	0.250	22,925 per 12,000 units	22,725 per 12,000 units	2013/10/30	350,000,000	2013/05/13
69166	UBS AG	Lenovo R Bull CBBC Dec 2013 C	0.250	5.5	6	2013/12/09	50,000,000	2013/05/13
69165	UBS AG	Lenovo R Bear CBBC Oct 2013 A	0.250	8	7.5	2013/10/28	50,000,000	2013/05/13
69164	UBS AG	PICC Group R Bull CBBC Dec 2013 A	0.250	3.58	3.88	2013/12/16	50,000,000	2013/05/13
69163	UBS AG	PICC Group R Bear CBBC Nov 2013 A	0.250	4.8	4.5	2013/11/11	50,000,000	2013/05/13
69218	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 L	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/09/27	300,000,000	2013/05/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69223	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	300,000,000	2013/05/14
69211	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/05/14
69226	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 K	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2013/08/29	300,000,000	2013/05/14
69229	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 L	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/08/29	300,000,000	2013/05/14
69214	Credit Suisse AG	HSI R Bull CBBC Feb 2014 F	0.250	22,847 per 10,000 units	23,047 per 10,000 units	2014/02/27	300,000,000	2013/05/14
69215	Credit Suisse AG	HSI R Bull CBBC Feb 2014 G	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/02/27	300,000,000	2013/05/14
69216	Credit Suisse AG	HSI R Bull CBBC Feb 2014 H	0.250	22,600 per 12,000 units	22,800 per 12,000 units	2014/02/27	300,000,000	2013/05/14
69217	Credit Suisse AG	HSI R Bull CBBC Feb 2014 I	0.250	22,430 per 12,000 units	22,630 per 12,000 units	2014/02/27	300,000,000	2013/05/14
69192	Credit Suisse AG	HSI R Bear CBBC Aug 2013 M	0.250	23,228 per 10,000 units	23,028 per 10,000 units	2013/08/29	300,000,000	2013/05/14
69191	Credit Suisse AG	HSI R Bear CBBC Sep 2013 P	0.250	23,118 per 12,000 units	22,918 per 12,000 units	2013/09/27	300,000,000	2013/05/14
69213	Credit Suisse AG	HSI R Bear CBBC Sep 2013 U	0.250	23,422 per 12,000 units	23,222 per 12,000 units	2013/09/27	300,000,000	2013/05/14
69236	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 A	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/01/29	150,000,000	2013/05/14
69237	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 B	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/01/29	150,000,000	2013/05/14
69238	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 C	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/01/29	150,000,000	2013/05/14
69239	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/01/29	150,000,000	2013/05/14
69240	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 E	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/01/29	150,000,000	2013/05/14
69212	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Sep 2013 M	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/09/27	150,000,000	2013/05/14
69233	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 M	0.254	22,788 per 7,000 units	22,988 per 7,000 units	2013/08/29	150,000,000	2013/05/14
69234	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 N	0.251	22,738 per 10,000 units	22,938 per 10,000 units	2013/08/29	150,000,000	2013/05/14
69235	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 O	0.254	22,588 per 20,000 units	22,888 per 20,000 units	2013/08/29	150,000,000	2013/05/14
69177	HK Bank	BOCL R Bull CBBC Mar 2014 A	0.250	3.3	3.48	2014/03/18	80,000,000	2013/05/14
69182	HK Bank	China Mobile R Bull CBBC Dec 2013 B	0.250	80.38 per 100 units	82.88 per 100 units	2013/12/02	120,000,000	2013/05/14
69176	HK Bank	Cheung Kong R Bull CBBC Dec 2013 A	0.250	100.88 per 100 units	106.88 per 100 units	2013/12/02	60,000,000	2013/05/14
69174	HK Bank	A50 R Bull CBBC Sep 2013 J	0.250	9.83	10.13	2013/09/30	100,000,000	2013/05/14
69171	HK Bank	HSI R Bull CBBC Nov 2013 L	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2013/11/28	100,000,000	2013/05/14
69172	HK Bank	HSI R Bull CBBC Dec 2013 I	0.250	21,728 per 20,000 units	22,028 per 20,000 units	2013/12/30	400,000,000	2013/05/14
69183	HK Bank	Lenovo R Bear CBBC Jan 2014 A	0.250	8.58	8.08	2014/01/21	40,000,000	2013/05/14
69185	HK Bank	PICC Group R Bull CBBC Nov 2013 A	0.250	3.48	3.68	2013/11/25	50,000,000	2013/05/14
69184	HK Bank	PICC Group R Bull CBBC Dec 2013 A	0.250	3.7	3.9	2013/12/12	50,000,000	2013/05/14
69181	HK Bank	TCH R Bull CBBC Oct 2013 C	0.270	248.88 per 100 units	256.88 per 100 units	2013/10/15	50,000,000	2013/05/14
69188	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 E	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/08/29	100,000,000	2013/05/14
69189	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 D	0.250	24,200 per 20,000 units	24,000 per 20,000 units	2013/12/30	200,000,000	2013/05/14
69190	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 E	0.250	24,700 per 20,000 units	24,500 per 20,000 units	2013/12/30	200,000,000	2013/05/14
69186	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 P	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2013/08/29	200,000,000	2013/05/14
69187	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 Q	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2013/08/29	200,000,000	2013/05/14
69194	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 R	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2013/08/29	200,000,000	2013/05/14
69201	UBS AG	AIA R Bull CBBC May 2014 A	0.250	32.38 per 100 units	33.38 per 100 units	2014/05/26	100,000,000	2013/05/14
69200	UBS AG	AIA R Bear CBBC Feb 2014 A	0.250	38.88 per 100 units	37.88 per 100 units	2014/02/10	100,000,000	2013/05/14
69203	UBS AG	CNOOC R Bull CBBC Nov 2013 A	0.250	13.08	13.88	2013/11/04	100,000,000	2013/05/14
69197	UBS AG	A50 China R Bull CBBC Jan 2014 D	0.250	9.7	10	2014/01/20	100,000,000	2013/05/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69207	UBS AG	HKEx R Bull CBBC Nov 2013 A	0.250	125 per 100 units	130 per 100 units	2013/11/25	100,000,000	2013/05/14
69210	UBS AG	HSBC R Bear CBBC Dec 2013 A	0.250	98 per 100 units	95 per 100 units	2013/12/09	100,000,000	2013/05/14
69196	UBS AG	HSCEI R Bull CBBC Nov 2013 A	0.250	10,300 per 10,000 units	10,500 per 10,000 units	2013/11/28	100,000,000	2013/05/14
69195	UBS AG	HSCEI R Bear CBBC Aug 2013 D	0.250	12,700 per 10,000 units	12,500 per 10,000 units	2013/08/29	100,000,000	2013/05/14
69231	UBS AG	HSI R Bull CBBC Oct 2013 Q	0.250	22,847 per 10,000 units	23,047 per 10,000 units	2013/10/30	300,000,000	2013/05/14
69230	UBS AG	HSI R Bull CBBC Nov 2013 J	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/11/28	300,000,000	2013/05/14
69193	UBS AG	HSI R Bear CBBC Sep 2013 Q	0.250	23,116 per 10,000 units	22,916 per 10,000 units	2013/09/27	300,000,000	2013/05/14
69232	UBS AG	HSI R Bear CBBC Sep 2013 R	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/09/27	300,000,000	2013/05/14
69209	UBS AG	Hutchison R Bull CBBC Nov 2013 A	0.250	79.50 per 100 units	82.50 per 100 units	2013/11/25	100,000,000	2013/05/14
69199	UBS AG	ICBC R Bull CBBC Nov 2013 A	0.250	4.95	5.25	2013/11/04	100,000,000	2013/05/14
69198	UBS AG	Sands China R Bull CBBC Nov 2013 A	0.250	35.38 per 100 units	38.38 per 100 units	2013/11/25	100,000,000	2013/05/14
69208	UBS AG	SHK Ppt R Bull CBBC Jan 2014 C	0.250	101 per 100 units	105 per 100 units	2014/01/13	100,000,000	2013/05/14
69206	UBS AG	TCH R Bull CBBC Dec 2013 B	0.250	252 per 100 units	260 per 100 units	2013/12/16	100,000,000	2013/05/14
69267	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2013 A	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69268	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 N	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/09/27	300,000,000	2013/05/15
69289	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 P	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/09/27	300,000,000	2013/05/15
69293	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 Q	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/09/27	300,000,000	2013/05/15
69270	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 R	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/09/27	300,000,000	2013/05/15
69252	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69253	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69265	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 O	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69279	Credit Suisse AG	HSI R Bull CBBC Jan 2014 J	0.250	23,044 per 10,000 units	23,244 per 10,000 units	2014/01/29	300,000,000	2013/05/15
69282	Credit Suisse AG	HSI R Bull CBBC Jan 2014 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/05/15
69283	Credit Suisse AG	HSI R Bull CBBC Jan 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/05/15
69258	Credit Suisse AG	HSI R Bear CBBC Aug 2013 O	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69259	Credit Suisse AG	HSI R Bear CBBC Aug 2013 P	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69311	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 F	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/01/29	150,000,000	2013/05/15
69312	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 G	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/01/29	150,000,000	2013/05/15
69313	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 H	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/01/29	150,000,000	2013/05/15
69314	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 I	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/01/29	150,000,000	2013/05/15
69316	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/01/29	150,000,000	2013/05/15
69261	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 A	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/12/30	150,000,000	2013/05/15
69262	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 B	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/12/30	150,000,000	2013/05/15
69307	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 P	0.251	22,988 per 7,000 units	23,188 per 7,000 units	2013/08/29	120,000,000	2013/05/15
69309	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 Q	0.251	23,038 per 10,000 units	23,238 per 10,000 units	2013/08/29	120,000,000	2013/05/15
69310	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 R	0.251	22,788 per 20,000 units	23,088 per 20,000 units	2013/08/29	120,000,000	2013/05/15
69308	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 F	0.250	23,488 per 7,000 units	23,288 per 7,000 units	2013/08/29	120,000,000	2013/05/15
69246	HK Bank	AIA R Bear CBBC Nov 2013 B	0.490	40.08	39.08	2013/11/25	50,000,000	2013/05/15
69247	HK Bank	Cheung Kong R Bear CBBC Dec 2013 A	0.250	131.88 per 100 units	125.88 per 100 units	2013/12/02	50,000,000	2013/05/15
69245	HK Bank	HSCEI R Bull CBBC Oct 2013 C	0.250	10,588 per 10,000 units	10,788 per 10,000 units	2013/10/30	100,000,000	2013/05/15
69300	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 G	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	300,000,000	2013/05/15
69304	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 H	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/10/30	300,000,000	2013/05/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69301	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 A	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/11/28	300,000,000	2013/05/15
69305	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 B	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/05/15
69303	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 C	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/12/30	300,000,000	2013/05/15
69306	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 D	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/05/15
69241	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 D	0.250	22,828 per 10,000 units	23,028 per 10,000 units	2013/10/30	60,000,000	2013/05/15
69242	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 E	0.250	22,728 per 10,000 units	22,928 per 10,000 units	2013/10/30	60,000,000	2013/05/15
69243	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 F	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2013/10/30	60,000,000	2013/05/15
69244	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 G	0.250	22,528 per 10,000 units	22,728 per 10,000 units	2013/10/30	60,000,000	2013/05/15
69248	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	100,000,000	2013/05/15
69251	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 F	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	100,000,000	2013/05/15
69249	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 A	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/10/30	100,000,000	2013/05/15
69250	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 B	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/10/30	100,000,000	2013/05/15
69255	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 K	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2013/08/29	200,000,000	2013/05/15
69294	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 M	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2013/08/29	200,000,000	2013/05/15
69254	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 G	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2013/09/27	200,000,000	2013/05/15
69256	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 S	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2013/08/29	200,000,000	2013/05/15
69257	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 T	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2013/08/29	200,000,000	2013/05/15
69295	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 U	0.250	24,800 per 15,000 units	24,600 per 15,000 units	2013/08/29	200,000,000	2013/05/15
69273	UBS AG	AIA R Bear CBBC Mar 2014 A	0.250	40.88 per 100 units	39.88 per 100 units	2014/03/17	100,000,000	2013/05/15
69275	UBS AG	China Mobile R Bull CBBC Nov 2013 C	0.250	80 per 100 units	82.50 per 100 units	2013/11/04	100,000,000	2013/05/15
69276	UBS AG	COVS R Bull CBBC Nov 2013 A	0.280	21.38	22.38	2013/11/04	50,000,000	2013/05/15
69278	UBS AG	Cheung Kong R Bull CBBC Nov 2013 A	0.250	104 per 100 units	110 per 100 units	2013/11/04	100,000,000	2013/05/15
69272	UBS AG	China Life R Bull CBBC Oct 2013 B	0.250	19.88	20.88	2013/10/21	100,000,000	2013/05/15
69277	UBS AG	HKEx R Bear CBBC Nov 2013 A	0.255	160 per 100 units	155 per 100 units	2013/11/11	100,000,000	2013/05/15
69299	UBS AG	HSCEI R Bull CBBC Oct 2013 E	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2013/10/30	100,000,000	2013/05/15
69298	UBS AG	HSI R Bull CBBC Oct 2013 B	0.250	23,044 per 10,000 units	23,244 per 10,000 units	2013/10/30	300,000,000	2013/05/15
69297	UBS AG	HSI R Bull CBBC Nov 2013 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/11/28	300,000,000	2013/05/15
69296	UBS AG	HSI R Bull CBBC Dec 2013 H	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/05/15
69264	UBS AG	HSI R Bear CBBC Aug 2013 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69271	UBS AG	HSI R Bear CBBC Aug 2013 Y	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	300,000,000	2013/05/15
69322	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 P	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69323	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 Q	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69324	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 R	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69325	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 S	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69326	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 T	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69333	Credit Suisse AG	HSI R Bull CBBC Nov 2013 B	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/11/28	300,000,000	2013/05/16
69331	Credit Suisse AG	HSI R Bull CBBC Nov 2013 X	0.250	23,018 per 12,000 units	23,218 per 12,000 units	2013/11/28	300,000,000	2013/05/16
69338	Credit Suisse AG	HSI R Bull CBBC Jan 2014 N	0.250	22,018 per 20,000 units	22,318 per 20,000 units	2014/01/29	300,000,000	2013/05/16
69340	Credit Suisse AG	HSI R Bear CBBC Aug 2013 R	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69339	Credit Suisse AG	HSI R Bear CBBC Sep 2013 V	0.250	23,380 per 12,000 units	23,180 per 12,000 units	2013/09/27	300,000,000	2013/05/16
69341	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/12/30	150,000,000	2013/05/16
69321	HK Bank	China Life R Bull CBBC Oct 2013 B	0.250	19.88	20.88	2013/10/18	60,000,000	2013/05/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69320	HK Bank	HSI R Bull CBBC Oct 2013 K	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2013/10/30	100,000,000	2013/05/16
69319	HK Bank	HSI R Bull CBBC Nov 2013 M	0.250	22,328 per 20,000 units	22,628 per 20,000 units	2013/11/28	400,000,000	2013/05/16
69327	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/09/27	100,000,000	2013/05/16
69328	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 G	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/08/29	100,000,000	2013/05/16
69334	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 V	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2013/08/29	200,000,000	2013/05/16
69330	UBS AG	HSI R Bull CBBC Dec 2013 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/05/16
69329	UBS AG	HSI R Bull CBBC Jan 2014 H	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/05/16
69343	UBS AG	HSI R Bull CBBC Mar 2014 N	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/03/28	300,000,000	2013/05/16
69349	UBS AG	HSI R Bull CBBC Mar 2014 O	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2014/03/28	300,000,000	2013/05/16
69342	UBS AG	HSI R Bull CBBC Apr 2014 C	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/04/29	300,000,000	2013/05/16
69348	UBS AG	HSI R Bull CBBC May 2014 C	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2014/05/29	300,000,000	2013/05/16
69346	UBS AG	HSI R Bear CBBC Aug 2013 L	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69345	UBS AG	HSI R Bear CBBC Aug 2013 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/05/16
69344	UBS AG	HSI R Bear CBBC Sep 2013 S	0.250	23,380 per 12,000 units	23,180 per 12,000 units	2013/09/27	350,000,000	2013/05/16
69347	UBS AG	HSI R Bear CBBC Oct 2013 S	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2013/10/30	300,000,000	2013/05/16
69368	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 O	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/09/27	300,000,000	2013/05/20
69366	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/08/29	300,000,000	2013/05/20
69367	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 V	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/08/29	300,000,000	2013/05/20
69357	Credit Suisse AG	HSI R Bull CBBC Oct 2013 R	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	300,000,000	2013/05/20
69358	Credit Suisse AG	HSI R Bull CBBC Oct 2013 U	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2013/10/30	300,000,000	2013/05/20
69365	Credit Suisse AG	HSI R Bull CBBC Jan 2014 O	0.250	23,121 per 10,000 units	23,321 per 10,000 units	2014/01/29	300,000,000	2013/05/20
69363	Credit Suisse AG	HSI R Bear CBBC Aug 2013 S	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/05/20
69362	Credit Suisse AG	HSI R Bear CBBC Aug 2013 X	0.250	23,374 per 10,000 units	23,174 per 10,000 units	2013/08/29	300,000,000	2013/05/20
69364	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 K	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/01/29	150,000,000	2013/05/20
69353	HK Bank	China Shenhua R Bull CBBC Aug 2014 A	0.250	23.98 per 100 units	25.98 per 100 units	2014/08/25	60,000,000	2013/05/20
69354	HK Bank	Evergrande R Bull CBBC Feb 2014 A	0.250	2.68	3.08	2014/02/24	60,000,000	2013/05/20
69351	HK Bank	Lenovo R Bear CBBC Jan 2014 B	0.290	9.68	9.18	2014/01/16	40,000,000	2013/05/20
69356	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 N	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2013/08/29	200,000,000	2013/05/20
69355	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 W	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2013/08/29	200,000,000	2013/05/20
69369	UBS AG	HSI R Bull CBBC Oct 2013 S	0.250	23,121 per 10,000 units	23,321 per 10,000 units	2013/10/30	300,000,000	2013/05/20
69360	UBS AG	HSI R Bull CBBC Nov 2013 L	0.250	23,011 per 12,000 units	23,211 per 12,000 units	2013/11/28	350,000,000	2013/05/20
69359	UBS AG	HSI R Bull CBBC Jan 2014 I	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/01/29	300,000,000	2013/05/20
69361	UBS AG	HSI R Bear CBBC Oct 2013 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/10/30	300,000,000	2013/05/20
69377	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 A	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69378	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 B	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69379	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 C	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69373	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 W	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69374	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 X	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69375	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 Y	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69376	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 Z	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69371	Credit Suisse AG	HSI R Bull CBBC Nov 2013 C	0.250	22,888 per 12,000 units	23,088 per 12,000 units	2013/11/28	300,000,000	2013/05/21
69370	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Y	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/11/28	300,000,000	2013/05/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69382	Credit Suisse AG	HSI R Bear CBBC Aug 2013 K	0.250	23,190 per 10,000 units	22,990 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69380	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69381	Credit Suisse AG	HSI R Bear CBBC Aug 2013 V	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/05/21
69393	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 G	0.253	23,288 per 7,000 units	23,088 per 7,000 units	2013/08/29	150,000,000	2013/05/21
69395	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 H	0.259	23,438 per 10,000 units	23,238 per 10,000 units	2013/08/29	150,000,000	2013/05/21
69397	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 B	0.250	24,000 per 12,000 units	23,800 per 12,000 units	2013/10/30	300,000,000	2013/05/21
69398	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 C	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/10/30	300,000,000	2013/05/21
69400	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/10/30	300,000,000	2013/05/21
69396	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 A	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2013/11/28	300,000,000	2013/05/21
69399	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 B	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2013/11/28	300,000,000	2013/05/21
69383	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 B	0.250	23,198 per 10,000 units	22,998 per 10,000 units	2013/10/30	100,000,000	2013/05/21
69384	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 C	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2013/10/30	100,000,000	2013/05/21
69385	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 D	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2013/10/30	100,000,000	2013/05/21
69386	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 E	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2013/10/30	100,000,000	2013/05/21
69392	UBS AG	China Shenhua R Bull CBBC Jul 2014 A	0.250	24 per 100 units	26 per 100 units	2014/07/21	100,000,000	2013/05/21
69387	UBS AG	Evergrande R Bull CBBC Feb 2014 A	0.250	2.68	3.08	2014/02/17	100,000,000	2013/05/21
69372	UBS AG	HSI R Bull CBBC Nov 2013 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2013/11/28	300,000,000	2013/05/21
69390	UBS AG	HSI R Bull CBBC Mar 2014 P	0.250	22,880 per 10,000 units	23,080 per 10,000 units	2014/03/28	300,000,000	2013/05/21
69388	UBS AG	HSI R Bear CBBC Sep 2013 T	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/09/27	300,000,000	2013/05/21
69389	UBS AG	HSI R Bear CBBC Oct 2013 U	0.250	23,190 per 10,000 units	22,990 per 10,000 units	2013/10/30	300,000,000	2013/05/21
61013 #	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2013 B	0.075	9.28	9.58	2013/09/30	180,000,000	2013/05/21
69415	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 H	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/05/22
69408	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 E	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/08/29	300,000,000	2013/05/22
69410	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/05/22
69412	Credit Suisse AG	HSI R Bull CBBC Feb 2014 J	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2014/02/27	300,000,000	2013/05/22
69426	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Q	0.250	23,131 per 10,000 units	22,931 per 10,000 units	2013/08/29	300,000,000	2013/05/22
69413	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 L	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/01/29	150,000,000	2013/05/22
69427	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 D	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/12/30	150,000,000	2013/05/22
69428	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 E	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/12/30	150,000,000	2013/05/22
69429	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 I	0.250	23,488 per 20,000 units	23,188 per 20,000 units	2013/08/29	120,000,000	2013/05/22
69403	HK Bank	HSI R Bear CBBC Oct 2013 K	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2013/10/30	100,000,000	2013/05/22
69404	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 H	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	100,000,000	2013/05/22
69407	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 X	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2013/08/29	200,000,000	2013/05/22
69424	UBS AG	Sinopec Corp R Bull CBBC Nov 2013 B	0.250	7.2	7.5	2013/11/04	100,000,000	2013/05/22
69411	UBS AG	HSI R Bull CBBC Apr 2014 D	0.250	22,789 per 10,000 units	22,989 per 10,000 units	2014/04/29	300,000,000	2013/05/22
69416	UBS AG	HSI R Bear CBBC Sep 2013 U	0.250	23,131 per 10,000 units	22,931 per 10,000 units	2013/09/27	300,000,000	2013/05/22
69425	UBS AG	Hutchison R Bear CBBC Nov 2013 A	0.250	93 per 100 units	90 per 100 units	2013/11/11	100,000,000	2013/05/22
69422	UBS AG	Ping An R Bull CBBC Nov 2013 B	0.250	53.50 per 100 units	57.50 per 100 units	2013/11/25	100,000,000	2013/05/22
69417	UBS AG	Ping An R Bear CBBC Nov 2013 A	0.250	74 per 100 units	70 per 100 units	2013/11/18	100,000,000	2013/05/22
69423	UBS AG	TCH R Bull CBBC Dec 2013 C	0.455	222 per 100 units	230 per 100 units	2013/12/16	100,000,000	2013/05/22
69438	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	300,000,000	2013/05/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69437	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Aug 2013 G	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/08/29	300,000,000	2013/05/23
69453	Credit Suisse AG	HSI R Bull CBBC Nov 2013 D	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/11/28	300,000,000	2013/05/23
69454	Credit Suisse AG	HSI R Bull CBBC Nov 2013 E	0.250	22,846 per 10,000 units	23,046 per 10,000 units	2013/11/28	300,000,000	2013/05/23
69433	Credit Suisse AG	HSI R Bear CBBC Sep 2013 W	0.250	23,150 per 12,000 units	22,950 per 12,000 units	2013/09/27	300,000,000	2013/05/23
69434	Credit Suisse AG	HSI R Bear CBBC Sep 2013 X	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/09/27	300,000,000	2013/05/23
69455	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 M	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/01/29	150,000,000	2013/05/23
69458	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/01/29	150,000,000	2013/05/23
69459	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 O	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/01/29	150,000,000	2013/05/23
69436	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 F	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/12/30	150,000,000	2013/05/23
69460	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 G	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/12/30	150,000,000	2013/05/23
69430	HK Bank	ABC R Bull CBBC Jan 2014 A	0.250	3.33	3.58	2014/01/27	80,000,000	2013/05/23
69431	HK Bank	Minsheng Bank R Bull CBBC Dec 2013 A	0.340	6.78	7.28	2013/12/30	40,000,000	2013/05/23
69439	UBS AG	HSI R Bull CBBC Nov 2013 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/11/28	300,000,000	2013/05/23
69444	UBS AG	HSI R Bull CBBC Nov 2013 O	0.250	22,846 per 10,000 units	23,046 per 10,000 units	2013/11/28	300,000,000	2013/05/23
69435	UBS AG	HSI R Bear CBBC Jan 2014 A	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/05/23
69445	UBS AG	PICC Group R Bull CBBC Jan 2014 A	0.250	3.28	3.58	2014/01/13	50,000,000	2013/05/23
63707 #	HK Bank	HSI R Bear CBBC Dec 2013 A	0.117	24,928 per 20,000 units	24,628 per 20,000 units	2013/12/30	280,000,000	2013/05/23
69477	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2013 M	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/09/27	300,000,000	2013/05/24
69485	Credit Suisse AG	HSI R Bull CBBC Dec 2013 F	0.250	22,927 per 10,000 units	23,127 per 10,000 units	2013/12/30	300,000,000	2013/05/24
69489	Credit Suisse AG	HSI R Bull CBBC Dec 2013 H	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2013/12/30	300,000,000	2013/05/24
69491	Credit Suisse AG	HSI R Bull CBBC Dec 2013 I	0.250	22,750 per 12,000 units	22,950 per 12,000 units	2013/12/30	300,000,000	2013/05/24
69471	Credit Suisse AG	HSI R Bear CBBC Oct 2013 I	0.250	23,288 per 12,000 units	23,088 per 12,000 units	2013/10/30	300,000,000	2013/05/24
69462	HK Bank	Sinopec Corp R Bull CBBC Oct 2013 B	0.250	7.25	7.55	2013/10/28	80,000,000	2013/05/24
69461	HK Bank	Ping An R Bear CBBC Nov 2013 A	0.250	72.88 per 100 units	68.88 per 100 units	2013/11/11	40,000,000	2013/05/24
69473	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 C	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2013/10/30	100,000,000	2013/05/24
69474	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 D	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2013/10/30	100,000,000	2013/05/24
69464	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Oct13A	0.250	8.85	9.1	2013/10/30	100,000,000	2013/05/24
69465	SGA Societe Generale Acceptance N.V	CSA50 R Bull CBBC Oct13B	0.250	9.1	9.35	2013/10/30	100,000,000	2013/05/24
69468	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Oct13A	0.250	11.05	10.8	2013/10/30	100,000,000	2013/05/24
69469	SGA Societe Generale Acceptance N.V	CSA50 R Bear CBBC Oct13B	0.250	11.3	11.05	2013/10/30	100,000,000	2013/05/24
69470	SGA Societe Generale Acceptance N.V.	A50 R Bull CBBC Oct 13D	0.250	9.35	9.6	2013/10/30	100,000,000	2013/05/24
69476	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug 13B	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2013/08/29	200,000,000	2013/05/24
69475	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug 13I	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2013/08/29	200,000,000	2013/05/24
69484	UBS AG	HSI R Bull CBBC Oct 2013 T	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/10/30	300,000,000	2013/05/24
69483	UBS AG	HSI R Bull CBBC Apr 2014 E	0.250	22,927 per 10,000 units	23,127 per 10,000 units	2014/04/29	300,000,000	2013/05/24
69472	UBS AG	HSI R Bear CBBC Aug 2013 P	0.250	23,245 per 10,000 units	23,045 per 10,000 units	2013/08/29	300,000,000	2013/05/24
69478	UBS AG	TCH R Bull CBBC Dec 2013 D	0.265	262 per 100 units	270 per 100 units	2013/12/02	100,000,000	2013/05/24
69492	UBS AG	TCH R Bear CBBC Nov 2013 A	0.260	318 per 100 units	310 per 100 units	2013/11/25	100,000,000	2013/05/24
69479	UBS AG	TCH R Bear CBBC Feb 2014 A	0.800	368 per 100 units	360 per 100 units	2014/02/10	100,000,000	2013/05/24
69534	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 A	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/05/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69535	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/05/27
69537	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 C	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2013/05/27
69538	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 D	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/04/29	300,000,000	2013/05/27
69531	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 A	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2013/12/30	300,000,000	2013/05/27
69533	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 B	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2013/12/30	300,000,000	2013/05/27
69505	Credit Suisse AG	HSI R Bull CBBC Feb 2014 K	0.250	22,488 per 20,000 units	22,788 per 20,000 units	2014/02/27	300,000,000	2013/05/27
69558	Credit Suisse AG	HSI R Bull CBBC Feb 2014 L	0.250	22,950 per 12,000 units	23,150 per 12,000 units	2014/02/27	300,000,000	2013/05/27
69559	Credit Suisse AG	HSI R Bull CBBC Feb 2014 M	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/05/27
69560	Credit Suisse AG	HSI R Bull CBBC Feb 2014 N	0.250	23,180 per 10,000 units	23,380 per 10,000 units	2014/02/27	300,000,000	2013/05/27
69561	Credit Suisse AG	HSI R Bull CBBC Feb 2014 O	0.250	23,293 per 10,000 units	23,493 per 10,000 units	2014/02/27	300,000,000	2013/05/27
69511	Credit Suisse AG	HSI R Bear CBBC Aug 2013 N	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69512	Credit Suisse AG	HSI R Bear CBBC Aug 2013 W	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69509	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Y	0.250	23,283 per 10,000 units	23,083 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69510	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Z	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69506	Credit Suisse AG	HSI R Bear CBBC Oct 2013 J	0.250	25,288 per 20,000 units	24,988 per 20,000 units	2013/10/30	300,000,000	2013/05/27
69508	Credit Suisse AG	HSI R Bear CBBC Oct 2013 K	0.250	25,888 per 20,000 units	25,588 per 20,000 units	2013/10/30	300,000,000	2013/05/27
69572	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 P	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/01/29	120,000,000	2013/05/27
69573	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 Q	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/01/29	120,000,000	2013/05/27
69574	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 R	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/01/29	120,000,000	2013/05/27
69513	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 H	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/12/30	120,000,000	2013/05/27
69514	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 I	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/12/30	120,000,000	2013/05/27
69515	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 J	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/12/30	120,000,000	2013/05/27
69539	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 S	0.255	22,788 per 7,000 units	22,988 per 7,000 units	2013/08/29	150,000,000	2013/05/27
69540	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 T	0.259	22,988 per 7,000 units	23,188 per 7,000 units	2013/08/29	150,000,000	2013/05/27
69541	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 U	0.252	23,188 per 7,000 units	23,388 per 7,000 units	2013/08/29	150,000,000	2013/05/27
69542	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 V	0.256	22,738 per 10,000 units	22,938 per 10,000 units	2013/08/29	150,000,000	2013/05/27
69543	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 W	0.250	23,038 per 10,000 units	23,238 per 10,000 units	2013/08/29	150,000,000	2013/05/27
69544	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 X	0.254	22,588 per 20,000 units	22,888 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69545	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 Y	0.256	22,788 per 20,000 units	23,088 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69546	Goldman Sachs SP (Asia)	HSI R Bull CBBC Aug 2013 Z	0.250	22,988 per 20,000 units	23,288 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69575	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 J	0.257	24,188 per 7,000 units	23,988 per 7,000 units	2013/08/29	150,000,000	2013/05/27
69576	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 K	0.253	24,788 per 20,000 units	24,488 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69577	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 L	0.256	24,988 per 20,000 units	24,688 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69578	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 M	0.261	25,188 per 20,000 units	24,888 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69579	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 N	0.259	25,388 per 20,000 units	25,088 per 20,000 units	2013/08/29	150,000,000	2013/05/27
69493	HK Bank	Hutchison R Bull CBBC Oct 2013 B	0.250	80.88 per 100 units	83.88 per 100 units	2013/10/28	60,000,000	2013/05/27
69494	HK Bank	Hutchison R Bull CBBC Dec 2013 A	0.250	71.88 per 100 units	74.88 per 100 units	2013/12/30	60,000,000	2013/05/27
69522	HK Bank	TCH R Bull CBBC Nov 2013 C	0.480	258.88 per 100 units	266.88 per 100 units	2013/11/18	50,000,000	2013/05/27
69524	HK Bank	TCH R Bull CBBC Nov 2013 D	0.380	268.88 per 100 units	276.88 per 100 units	2013/11/04	50,000,000	2013/05/27
69581	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 C	0.250	23,200 per 9,000 units	23,400 per 9,000 units	2013/11/28	300,000,000	2013/05/27
69582	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/11/28	300,000,000	2013/05/27
69583	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 E	0.250	22,800 per 9,000 units	23,000 per 9,000 units	2013/12/30	300,000,000	2013/05/27
69585	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 F	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/05/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69587	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 E	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2013/10/30	300,000,000	2013/05/27
69586	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 C	0.250	24,600 per 12,000 units	24,400 per 12,000 units	2013/11/28	300,000,000	2013/05/27
69562	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 H	0.250	23,228 per 10,000 units	23,428 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69563	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 I	0.250	23,128 per 10,000 units	23,328 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69564	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 J	0.250	23,028 per 10,000 units	23,228 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69565	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 K	0.250	22,928 per 10,000 units	23,128 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69567	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Oct 2013 L	0.250	22,828 per 10,000 units	23,028 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69580	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 F	0.250	23,698 per 10,000 units	23,498 per 10,000 units	2013/10/30	100,000,000	2013/05/27
69495	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2013/09/27	100,000,000	2013/05/27
69526	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 L	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2013/09/27	100,000,000	2013/05/27
69525	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 M	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/09/27	100,000,000	2013/05/27
69496	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	100,000,000	2013/05/27
69497	Standard Chartered Bank	HSI R Bear CBBC Aug 2013 J	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/08/29	100,000,000	2013/05/27
69527	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 A	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2013/08/29	200,000,000	2013/05/27
69528	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 H	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2013/08/29	200,000,000	2013/05/27
69529	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 H	0.250	22,200 per 15,000 units	22,400 per 15,000 units	2013/09/27	200,000,000	2013/05/27
69530	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 A	0.250	24,488 per 10,000 units	24,388 per 10,000 units	2013/08/29	200,000,000	2013/05/27
69498	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 Y	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/08/29	200,000,000	2013/05/27
69501	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 Z	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2013/08/29	200,000,000	2013/05/27
69502	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 A	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2013/09/27	200,000,000	2013/05/27
69553	UBS AG	CSOP A50 ETF R Bull CBBC Nov 2013 A	0.250	9.7	10	2013/11/11	100,000,000	2013/05/27
69552	UBS AG	CSOP A50 ETF R Bear CBBC Oct 2013 A	0.250	11.8	11.5	2013/10/28	100,000,000	2013/05/27
69551	UBS AG	A50 China R Bull CBBC Jan 2014 E	0.250	9.95	10.25	2014/01/13	100,000,000	2013/05/27
69550	UBS AG	A50 China R Bear CBBC Oct 2013 B	0.250	12.3	12	2013/10/28	100,000,000	2013/05/27
69555	UBS AG	HKEx R Bear CBBC Nov 2013 B	0.250	155 per 100 units	150 per 100 units	2013/11/25	100,000,000	2013/05/27
69557	UBS AG	HSBC R Bull CBBC Dec 2013 B	0.250	82 per 100 units	85 per 100 units	2013/12/23	100,000,000	2013/05/27
69556	UBS AG	HSBC R Bear CBBC Dec 2013 B	0.250	108 per 100 units	105 per 100 units	2013/12/02	100,000,000	2013/05/27
69548	UBS AG	HSCEI R Bear CBBC Sep 2013 A	0.250	12,950 per 10,000 units	12,750 per 10,000 units	2013/09/27	100,000,000	2013/05/27
69549	UBS AG	HSCEI R Bear CBBC Sep 2013 B	0.250	12,450 per 10,000 units	12,250 per 10,000 units	2013/09/27	100,000,000	2013/05/27
69568	UBS AG	HSI R Bull CBBC Oct 2013 U	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/10/30	300,000,000	2013/05/27
69571	UBS AG	HSI R Bull CBBC Oct 2013 V	0.250	23,293 per 10,000 units	23,493 per 10,000 units	2013/10/30	300,000,000	2013/05/27
69570	UBS AG	HSI R Bull CBBC Dec 2013 J	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2013/12/30	300,000,000	2013/05/27
69517	UBS AG	HSI R Bear CBBC Aug 2013 A	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69518	UBS AG	HSI R Bear CBBC Aug 2013 N	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69516	UBS AG	HSI R Bear CBBC Aug 2013 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69519	UBS AG	HSI R Bear CBBC Aug 2013 V	0.250	23,283 per 10,000 units	23,083 per 10,000 units	2013/08/29	300,000,000	2013/05/27
69554	UBS AG	TCH R Bull CBBC Jan 2014 A	0.250	282 per 100 units	290 per 100 units	2014/01/20	100,000,000	2013/05/27
69547	UBS AG	TCH R Bear CBBC Nov 2013 B	0.330	338 per 100 units	330 per 100 units	2013/11/11	100,000,000	2013/05/27
69604	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 C	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/12/30	300,000,000	2013/05/28
69600	Credit Suisse AG	HSI R Bull CBBC Jan 2014 P	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/01/29	300,000,000	2013/05/28
69601	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Q	0.250	23,150 per 12,000 units	23,350 per 12,000 units	2014/01/29	300,000,000	2013/05/28
69602	Credit Suisse AG	HSI R Bull CBBC Jan 2014 R	0.250	22,800 per 12,000 units	23,000 per 12,000 units	2014/01/29	300,000,000	2013/05/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69611	Credit Suisse AG	HSI R Bear CBBC Aug 2013 M	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/08/29	300,000,000	2013/05/28
69612	Credit Suisse AG	HSI R Bear CBBC Aug 2013 O	0.250	23,567 per 10,000 units	23,367 per 10,000 units	2013/08/29	300,000,000	2013/05/28
69605	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 S	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/01/29	120,000,000	2013/05/28
69606	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/12/30	120,000,000	2013/05/28
69607	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 L	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2013/12/30	120,000,000	2013/05/28
69608	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 M	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2013/12/30	120,000,000	2013/05/28
69593	HK Bank	AIA R Bull CBBC Nov 2013 C	0.330	33.28	34.28	2013/11/04	60,000,000	2013/05/28
69596	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2013 B	0.250	9.73	10.03	2013/11/11	80,000,000	2013/05/28
69597	HK Bank	A50 R Bull CBBC Oct 2013 E	0.250	10.08	10.38	2013/10/28	100,000,000	2013/05/28
69598	HK Bank	HSI R Bull CBBC Dec 2013 J	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2013/12/30	100,000,000	2013/05/28
69595	HK Bank	TCH R Bull CBBC Oct 2013 D	0.320	278.88 per 100 units	286.88 per 100 units	2013/10/21	50,000,000	2013/05/28
69599	HK Bank	TCH R Bear CBBC Nov 2013 B	0.390	346.88 per 100 units	338.88 per 100 units	2013/11/25	50,000,000	2013/05/28
69594	HK Bank	TCH R Bear CBBC Dec 2013 A	0.500	357.88 per 100 units	349.88 per 100 units	2013/12/16	50,000,000	2013/05/28
69589	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 K	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2013/08/29	200,000,000	2013/05/28
69588	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 M	0.250	23,388 per 10,000 units	23,488 per 10,000 units	2013/08/29	200,000,000	2013/05/28
69590	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 E	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2013/08/29	200,000,000	2013/05/28
69591	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 F	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2013/08/29	200,000,000	2013/05/28
69592	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 H	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2013/08/29	200,000,000	2013/05/28
69617	UBS AG	HSI R Bull CBBC Sep 2013 I	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2013/09/27	300,000,000	2013/05/28
69603	UBS AG	HSI R Bull CBBC Nov 2013 P	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2013/11/28	300,000,000	2013/05/28
69616	UBS AG	HSI R Bull CBBC Dec 2013 F	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2013/12/30	300,000,000	2013/05/28
69615	UBS AG	HSI R Bear CBBC Aug 2013 R	0.250	23,567 per 12,000 units	23,367 per 12,000 units	2013/08/29	350,000,000	2013/05/28
69613	UBS AG	HSI R Bear CBBC Aug 2013 W	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/08/29	300,000,000	2013/05/28
69610	UBS AG	TCH R Bull CBBC Dec 2013 E	0.330	272 per 100 units	280 per 100 units	2013/12/23	100,000,000	2013/05/28
68951 #	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Sep 2013 Q	0.046	22,128 per 10,000 units	22,328 per 10,000 units	2013/09/27	220,000,000	2013/05/28
69624	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 E	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/05/29
69634	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 D	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69625	Credit Suisse AG	HSI R Bull CBBC Dec 2013 J	0.250	23,166 per 10,000 units	23,366 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69626	Credit Suisse AG	HSI R Bull CBBC Dec 2013 K	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69627	Credit Suisse AG	HSI R Bear CBBC Aug 2013 P	0.250	23,462 per 10,000 units	23,262 per 10,000 units	2013/08/29	300,000,000	2013/05/29
69628	Credit Suisse AG	HSI R Bear CBBC Aug 2013 R	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/08/29	300,000,000	2013/05/29
69631	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 O	0.256	23,688 per 7,000 units	23,488 per 7,000 units	2013/08/29	150,000,000	2013/05/29
69632	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 P	0.257	23,638 per 10,000 units	23,438 per 10,000 units	2013/08/29	150,000,000	2013/05/29
69633	Goldman Sachs SP (Asia)	HSI R Bear CBBC Aug 2013 Q	0.251	23,788 per 20,000 units	23,588 per 20,000 units	2013/08/29	150,000,000	2013/05/29
69640	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 E	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/05/29
69644	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 F	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/11/28	300,000,000	2013/05/29
69638	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 G	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69639	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 H	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69641	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 I	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69642	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 J	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69645	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 D	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2013/11/28	300,000,000	2013/05/29
69646	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 E	0.250	24,150 per 12,000 units	23,950 per 12,000 units	2013/11/28	300,000,000	2013/05/29
69648	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 F	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2013/11/28	300,000,000	2013/05/29

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69647	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 A	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69649	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 B	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/05/29
69629	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 G	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2013/10/30	100,000,000	2013/05/29
69630	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Oct 2013 H	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2013/10/30	100,000,000	2013/05/29
69618	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 B	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2013/08/29	200,000,000	2013/05/29
69619	UBS AG	AIA R Bull CBBC Jun 2014 A	0.250	33.38 per 100 units	34.38 per 100 units	2014/06/16	100,000,000	2013/05/29
69621	UBS AG	HSI R Bull CBBC Oct 2013 X	0.250	23,166 per 10,000 units	23,366 per 10,000 units	2013/10/30	300,000,000	2013/05/29
69620	UBS AG	HSI R Bull CBBC Nov 2013 S	0.250	23,025 per 12,000 units	23,225 per 12,000 units	2013/11/28	350,000,000	2013/05/29
69636	UBS AG	HSI R Bear CBBC Aug 2013 T	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/08/29	300,000,000	2013/05/29
69637	UBS AG	HSI R Bear CBBC Sep 2013 V	0.250	23,462 per 10,000 units	23,262 per 10,000 units	2013/09/27	300,000,000	2013/05/29
69656	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 F	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/05/30
69657	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 G	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/05/30
69693	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 H	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2013/05/30
69695	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 I	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/04/29	300,000,000	2013/05/30
69685	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 E	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69686	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 F	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69687	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 G	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69688	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69689	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 I	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69690	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69691	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 K	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69742	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 L	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69743	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 M	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69662	Credit Suisse AG	HSI R Bull CBBC Dec 2013 L	0.250	23,061 per 12,000 units	23,261 per 12,000 units	2013/12/30	300,000,000	2013/05/30
69666	Credit Suisse AG	HSI R Bull CBBC Dec 2013 N	0.250	22,900 per 12,000 units	23,100 per 12,000 units	2013/12/30	300,000,000	2013/05/30
69667	Credit Suisse AG	HSI R Bull CBBC Dec 2013 O	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69668	Credit Suisse AG	HSI R Bull CBBC Dec 2013 P	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69681	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Q	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69682	Credit Suisse AG	HSI R Bull CBBC Dec 2013 R	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69708	Credit Suisse AG	HSI R Bear CBBC Aug 2013 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69712	Credit Suisse AG	HSI R Bear CBBC Aug 2013 L	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69744	Credit Suisse AG	HSI R Bear CBBC Aug 2013 Q	0.250	23,430 per 12,000 units	23,230 per 12,000 units	2013/08/29	300,000,000	2013/05/30
69700	Credit Suisse AG	HSI R Bear CBBC Aug 2013 S	0.250	22,870 per 10,000 units	22,670 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69704	Credit Suisse AG	HSI R Bear CBBC Aug 2013 T	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69746	Credit Suisse AG	HSI R Bear CBBC Aug 2013 V	0.250	23,530 per 12,000 units	23,330 per 12,000 units	2013/08/29	300,000,000	2013/05/30
69705	Credit Suisse AG	HSI R Bear CBBC Aug 2013 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69747	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Y	0.250	23,738 per 12,000 units	23,538 per 12,000 units	2013/09/27	300,000,000	2013/05/30
69669	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 T	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69670	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 U	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69671	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 V	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69697	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 W	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69699	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 X	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69715	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 A	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/01/29	120,000,000	2013/05/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69716	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 B	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69717	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 C	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/01/29	120,000,000	2013/05/30
69748	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 A	0.256	23,488 per 7,000 units	23,288 per 7,000 units	2013/09/27	150,000,000	2013/05/30
69749	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 B	0.260	23,288 per 7,000 units	23,088 per 7,000 units	2013/09/27	150,000,000	2013/05/30
69750	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 C	0.251	23,088 per 7,000 units	22,888 per 7,000 units	2013/09/27	150,000,000	2013/05/30
69752	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 D	0.254	22,888 per 7,000 units	22,688 per 7,000 units	2013/09/27	150,000,000	2013/05/30
69753	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 E	0.253	23,438 per 10,000 units	23,238 per 10,000 units	2013/09/27	150,000,000	2013/05/30
69754	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 F	0.252	23,138 per 10,000 units	22,938 per 10,000 units	2013/09/27	150,000,000	2013/05/30
69756	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 G	0.250	23,688 per 20,000 units	23,388 per 20,000 units	2013/09/27	150,000,000	2013/05/30
69759	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 H	0.250	23,488 per 20,000 units	23,188 per 20,000 units	2013/09/27	150,000,000	2013/05/30
69760	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 I	0.253	23,288 per 20,000 units	22,988 per 20,000 units	2013/09/27	150,000,000	2013/05/30
69650	HK Bank	CAM CSI300 R Bull CBBC Nov 2013 B	0.250	30.68	31.68	2013/11/04	60,000,000	2013/05/30
69652	HK Bank	CPIC R Bull CBBC Nov 2013 A	0.250	26.28	27.28	2013/11/25	60,000,000	2013/05/30
69654	HK Bank	HKEx R Bull CBBC Oct 2013 C	0.250	120.88 per 100 units	126.88 per 100 units	2013/10/07	60,000,000	2013/05/30
69651	HK Bank	PetCh R Bear CBBC Nov 2013 B	0.250	11.08	10.68	2013/11/25	40,000,000	2013/05/30
69761	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 K	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69762	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 L	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69763	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 G	0.250	23,200 per 12,000 units	23,000 per 12,000 units	2013/11/28	300,000,000	2013/05/30
69764	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 H	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2013/11/28	300,000,000	2013/05/30
69731	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 A	0.250	22,428 per 10,000 units	22,628 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69719	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 A	0.250	22,888 per 10,000 units	22,688 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69721	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 B	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69722	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 C	0.250	23,088 per 10,000 units	22,888 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69723	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 D	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69724	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 E	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69730	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 F	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2013/11/28	100,000,000	2013/05/30
69655	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Aug13 N	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2013/08/29	200,000,000	2013/05/30
69673	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 I	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2013/09/27	200,000,000	2013/05/30
69684	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 J	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2013/09/27	200,000,000	2013/05/30
69679	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 A	0.250	21,400 per 15,000 units	21,600 per 15,000 units	2013/10/30	200,000,000	2013/05/30
69674	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 I	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2013/08/29	200,000,000	2013/05/30
69676	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 J	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2013/08/29	200,000,000	2013/05/30
69678	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 L	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2013/08/29	200,000,000	2013/05/30
69718	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Aug13 M	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/08/29	200,000,000	2013/05/30
69732	UBS AG	China Life R Bear CBBC Dec 2013 B	0.250	23.38	22.38	2013/12/23	100,000,000	2013/05/30
69672	UBS AG	HSI R Bull CBBC Oct 2013 Y	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/10/30	300,000,000	2013/05/30
69680	UBS AG	HSI R Bull CBBC Nov 2013 Q	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2013/11/28	300,000,000	2013/05/30
69658	UBS AG	HSI R Bull CBBC Dec 2013 K	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69659	UBS AG	HSI R Bull CBBC Dec 2013 L	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2013/12/30	300,000,000	2013/05/30
69660	UBS AG	HSI R Bull CBBC Mar 2014 Q	0.250	23,061 per 10,000 units	23,261 per 10,000 units	2014/03/28	300,000,000	2013/05/30
69733	UBS AG	HSI R Bear CBBC Aug 2013 B	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/08/29	300,000,000	2013/05/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69735	UBS AG	HSI R Bear CBBC Aug 2013 M	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69736	UBS AG	HSI R Bear CBBC Aug 2013 Q	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69734	UBS AG	HSI R Bear CBBC Aug 2013 U	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69739	UBS AG	HSI R Bear CBBC Aug 2013 Y	0.250	22,870 per 10,000 units	22,670 per 10,000 units	2013/08/29	300,000,000	2013/05/30
69788	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 J	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/04/29	300,000,000	2013/05/31
69789	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/04/29	300,000,000	2013/05/31
69784	Credit Suisse AG	HSI R Bull CBBC Jan 2014 S	0.250	22,469 per 12,000 units	22,669 per 12,000 units	2014/01/29	300,000,000	2013/05/31
69809	Credit Suisse AG	HSI R Bull CBBC Jan 2014 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/01/29	300,000,000	2013/05/31
69810	Credit Suisse AG	HSI R Bull CBBC Jan 2014 U	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/01/29	300,000,000	2013/05/31
69815	Credit Suisse AG	HSI R Bear CBBC Sep 2013 F	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/09/27	300,000,000	2013/05/31
69817	Credit Suisse AG	HSI R Bear CBBC Sep 2013 G	0.250	22,819 per 10,000 units	22,619 per 10,000 units	2013/09/27	300,000,000	2013/05/31
69814	Credit Suisse AG	HSI R Bear CBBC Sep 2013 Z	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/09/27	300,000,000	2013/05/31
69811	Credit Suisse AG	HSI R Bear CBBC Oct 2013 L	0.250	23,228 per 12,000 units	23,028 per 12,000 units	2013/10/30	300,000,000	2013/05/31
69816	Credit Suisse AG	HSI R Bear CBBC Oct 2013 M	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/10/30	300,000,000	2013/05/31
69819	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 Y	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/01/29	120,000,000	2013/05/31
69822	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/01/29	120,000,000	2013/05/31
69823	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 D	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/01/29	120,000,000	2013/05/31
69824	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 E	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/01/29	120,000,000	2013/05/31
69830	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 A	0.258	22,388 per 7,000 units	22,588 per 7,000 units	2013/09/27	150,000,000	2013/05/31
69825	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 J	0.250	23,838 per 7,000 units	23,638 per 7,000 units	2013/09/27	150,000,000	2013/05/31
69826	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 K	0.268	24,088 per 7,000 units	23,888 per 7,000 units	2013/09/27	150,000,000	2013/05/31
69827	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 L	0.256	23,938 per 10,000 units	23,738 per 10,000 units	2013/09/27	150,000,000	2013/05/31
69829	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 M	0.259	24,088 per 20,000 units	23,788 per 20,000 units	2013/09/27	150,000,000	2013/05/31
69775	HK Bank	CSOP A50 ETF R Bear CBBC Jan 2014 A	0.250	11.38	11.08	2014/01/13	40,000,000	2013/05/31
69776	HK Bank	CSOP A50 ETF R Bear CBBC Feb 2014 A	0.250	11.78	11.48	2014/02/17	40,000,000	2013/05/31
69766	HK Bank	HSCEI R Bull CBBC Nov 2013 D	0.250	9,438 per 10,000 units	9,638 per 10,000 units	2013/11/28	100,000,000	2013/05/31
69765	HK Bank	HSCEI R Bear CBBC Nov 2013 C	0.250	11,488 per 10,000 units	11,288 per 10,000 units	2013/11/28	68,000,000	2013/05/31
69772	HK Bank	HSI R Bear CBBC Sep 2013 L	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2013/09/27	100,000,000	2013/05/31
69768	HK Bank	HSI R Bear CBBC Oct 2013 L	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2013/10/30	100,000,000	2013/05/31
69767	HK Bank	HSI R Bear CBBC Nov 2013 L	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2013/11/28	100,000,000	2013/05/31
69774	HK Bank	HSI R Bear CBBC Nov 2013 M	0.250	23,518 per 10,000 units	23,318 per 10,000 units	2013/11/28	100,000,000	2013/05/31
69781	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 E	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/10/30	100,000,000	2013/05/31
69782	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 F	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/10/30	100,000,000	2013/05/31
69777	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 13K	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/09/27	200,000,000	2013/05/31
69787	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 13L	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2013/09/27	200,000,000	2013/05/31
69778	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13B	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2013/09/27	200,000,000	2013/05/31
69779	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13C	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2013/09/27	200,000,000	2013/05/31
69780	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13D	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/09/27	200,000,000	2013/05/31
69803	UBS AG	HSBC R Bear CBBC Nov 2013 A	0.250	93 per 100 units	90 per 100 units	2013/11/18	100,000,000	2013/05/31
69798	UBS AG	HSCEI R Bear CBBC Oct 2013 A	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2013/10/30	100,000,000	2013/05/31
69797	UBS AG	HSI R Bull CBBC Nov 2013 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/11/28	300,000,000	2013/05/31
69786	UBS AG	HSI R Bull CBBC Mar 2014 R	0.250	22,469 per 10,000 units	22,669 per 10,000 units	2014/03/28	300,000,000	2013/05/31
69818	UBS AG	HSI R Bear CBBC Sep 2013 P	0.250	22,819 per 10,000 units	22,619 per 10,000 units	2013/09/27	300,000,000	2013/05/31

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69791	UBS AG	HSI R Bear CBBC Sep 2013 W	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/09/27	300,000,000	2013/05/31
69793	UBS AG	HSI R Bear CBBC Sep 2013 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/09/27	300,000,000	2013/05/31
69802	UBS AG	TCH R Bear CBBC Dec 2013 B	0.280	328 per 100 units	320 per 100 units	2013/12/02	100,000,000	2013/05/31
69891	Credit Suisse AG	HSI R Bull CBBC Nov 2013 F	0.250	22,486 per 10,000 units	22,686 per 10,000 units	2013/11/28	300,000,000	2013/06/03
69893	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Z	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/06/03
69841	Credit Suisse AG	HSI R Bear CBBC Sep 2013 H	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/09/27	300,000,000	2013/06/03
69838	Credit Suisse AG	HSI R Bear CBBC Oct 2013 N	0.250	22,850 per 12,000 units	22,650 per 12,000 units	2013/10/30	300,000,000	2013/06/03
69840	Credit Suisse AG	HSI R Bear CBBC Oct 2013 O	0.250	23,188 per 12,000 units	22,988 per 12,000 units	2013/10/30	300,000,000	2013/06/03
69885	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 E	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/01/29	120,000,000	2013/06/03
69832	HK Bank	Hutchison R Bear CBBC Oct 2013 A	0.250	92.28 per 100 units	89.28 per 100 units	2013/10/28	50,000,000	2013/06/03
69831	HK Bank	Lenovo R Bull CBBC Jan 2014 A	0.250	6.28	6.78	2014/01/27	60,000,000	2013/06/03
69836	HK Bank	PICC Group R Bull CBBC Feb 2014 A	0.250	3.26	3.46	2014/02/26	50,000,000	2013/06/03
69837	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 O	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/09/27	100,000,000	2013/06/03
69844	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 M	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2013/09/27	200,000,000	2013/06/03
69843	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 B	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/10/30	200,000,000	2013/06/03
69845	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 E	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2013/09/27	200,000,000	2013/06/03
69849	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 F	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/09/27	200,000,000	2013/06/03
69854	UBS AG	Minsheng Bank R Bull CBBC Oct 2013 A	0.250	8.88	9.38	2013/10/07	50,000,000	2013/06/03
69853	UBS AG	Minsheng Bank R Bull CBBC Nov 2013 B	0.250	8.08	8.58	2013/11/11	50,000,000	2013/06/03
69897	UBS AG	HSI R Bull CBBC Feb 2014 O	0.250	22,486 per 10,000 units	22,686 per 10,000 units	2014/02/27	300,000,000	2013/06/03
69850	UBS AG	HSI R Bear CBBC Sep 2013 Y	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/09/27	300,000,000	2013/06/03
69851	UBS AG	HSI R Bear CBBC Sep 2013 Z	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/09/27	300,000,000	2013/06/03
69842	UBS AG	HSI R Bear CBBC Oct 2013 V	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/10/30	300,000,000	2013/06/03
69882	UBS AG	Hutchison R Bull CBBC Dec 2013 B	0.250	67 per 100 units	70 per 100 units	2013/12/16	100,000,000	2013/06/03
69881	UBS AG	Lenovo R Bull CBBC Dec 2013 D	0.250	6.38	6.88	2013/12/09	50,000,000	2013/06/03
69878	UBS AG	Lenovo R Bear CBBC Jan 2014 A	0.250	9.38	8.88	2014/01/13	50,000,000	2013/06/03
69859	UBS AG	PICC Group R Bull CBBC Jan 2014 B	0.250	3.08	3.28	2014/01/27	50,000,000	2013/06/03
69904	Credit Suisse AG	HSI R Bull CBBC Nov 2013 B	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/06/04
69912	Credit Suisse AG	HSI R Bull CBBC Nov 2013 C	0.250	22,724 per 10,000 units	22,924 per 10,000 units	2013/11/28	300,000,000	2013/06/04
69906	Credit Suisse AG	HSI R Bull CBBC Nov 2013 X	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/11/28	300,000,000	2013/06/04
69911	Credit Suisse AG	HSI R Bull CBBC Nov 2013 Y	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/11/28	300,000,000	2013/06/04
69898	Credit Suisse AG	HSI R Bear CBBC Sep 2013 I	0.250	22,888 per 12,000 units	22,688 per 12,000 units	2013/09/27	300,000,000	2013/06/04
69929	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Z	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2013/11/28	120,000,000	2013/06/04
69928	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 A	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/11/28	120,000,000	2013/06/04
69930	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 B	0.252	22,588 per 7,000 units	22,788 per 7,000 units	2013/09/27	120,000,000	2013/06/04
69935	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 C	0.252	22,538 per 10,000 units	22,738 per 10,000 units	2013/09/27	120,000,000	2013/06/04
69936	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 D	0.254	22,188 per 20,000 units	22,488 per 20,000 units	2013/09/27	120,000,000	2013/06/04
69937	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 E	0.253	22,388 per 20,000 units	22,688 per 20,000 units	2013/09/27	120,000,000	2013/06/04
69938	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 M	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/06/04
69940	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/06/04
69949	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 I	0.250	23,850 per 12,000 units	23,650 per 12,000 units	2013/11/28	300,000,000	2013/06/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69950	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 J	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/11/28	300,000,000	2013/06/04
69942	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 C	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/12/30	300,000,000	2013/06/04
69951	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 D	0.250	23,650 per 12,000 units	23,450 per 12,000 units	2013/12/30	300,000,000	2013/06/04
69913	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 B	0.250	22,328 per 10,000 units	22,528 per 10,000 units	2013/11/28	100,000,000	2013/06/04
69914	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 C	0.250	22,628 per 10,000 units	22,828 per 10,000 units	2013/11/28	100,000,000	2013/06/04
69920	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 D	0.250	22,528 per 10,000 units	22,728 per 10,000 units	2013/11/28	100,000,000	2013/06/04
69921	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 N	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2013/09/27	200,000,000	2013/06/04
69922	UBS AG	HSI R Bull CBBC Oct 2013 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2013/10/30	300,000,000	2013/06/04
69924	UBS AG	HSI R Bull CBBC Dec 2013 M	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/06/04
69925	UBS AG	HSI R Bull CBBC Mar 2014 S	0.250	22,724 per 10,000 units	22,924 per 10,000 units	2014/03/28	300,000,000	2013/06/04
69900	UBS AG	HSI R Bear CBBC Sep 2013 A	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2013/09/27	300,000,000	2013/06/04
69901	UBS AG	HSI R Bear CBBC Oct 2013 W	0.250	23,075 per 12,000 units	22,875 per 12,000 units	2013/10/30	350,000,000	2013/06/04
69984	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 N	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/12/30	300,000,000	2013/06/05
69985	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 O	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/12/30	300,000,000	2013/06/05
69955	Credit Suisse AG	HSI R Bull CBBC Jan 2014 V	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/01/29	300,000,000	2013/06/05
69968	Credit Suisse AG	HSI R Bull CBBC Jan 2014 W	0.250	22,438 per 12,000 units	22,638 per 12,000 units	2014/01/29	300,000,000	2013/06/05
69971	Credit Suisse AG	HSI R Bear CBBC Sep 2013 N	0.250	22,928 per 12,000 units	22,728 per 12,000 units	2013/09/27	300,000,000	2013/06/05
69972	Credit Suisse AG	HSI R Bear CBBC Sep 2013 O	0.250	22,755 per 10,000 units	22,555 per 10,000 units	2013/09/27	300,000,000	2013/06/05
69969	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 V	0.250	22,480 per 10,000 units	22,680 per 10,000 units	2013/10/30	120,000,000	2013/06/05
69970	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 W	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2013/10/30	120,000,000	2013/06/05
69973	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 A	0.250	22,760 per 10,000 units	22,560 per 10,000 units	2013/10/30	120,000,000	2013/06/05
69953	HK Bank	HSI R Bull CBBC Oct 2013 L	0.250	21,618 per 10,000 units	21,818 per 10,000 units	2013/10/30	100,000,000	2013/06/05
69952	HK Bank	HSI R Bull CBBC Nov 2013 N	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2013/11/28	100,000,000	2013/06/05
69986	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 O	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/06/05
69995	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 P	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/06/05
60000	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 Q	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/12/30	300,000,000	2013/06/05
60002	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 K	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2013/11/28	300,000,000	2013/06/05
60010	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/11/28	300,000,000	2013/06/05
60001	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 E	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/12/30	300,000,000	2013/06/05
69954	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 Q	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2013/09/27	100,000,000	2013/06/05
69982	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 G	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2013/09/27	200,000,000	2013/06/05
69962	UBS AG	HSI R Bull CBBC Nov 2013 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2013/11/28	300,000,000	2013/06/05
69967	UBS AG	HSI R Bull CBBC Nov 2013 U	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2013/11/28	300,000,000	2013/06/05
69957	UBS AG	HSI R Bull CBBC Dec 2013 N	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/06/05
69981	UBS AG	HSI R Bear CBBC Sep 2013 I	0.250	22,755 per 10,000 units	22,555 per 10,000 units	2013/09/27	300,000,000	2013/06/05
69974	UBS AG	HSI R Bear CBBC Oct 2013 X	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/10/30	300,000,000	2013/06/05
67824 #	HK Bank	HSI R Bull CBBC Nov 2013 G	0.100	20,788 per 15,000 units	20,988 per 15,000 units	2013/11/28	180,000,000	2013/06/05
60065	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 L	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/04/29	300,000,000	2013/06/06
60066	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 M	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/04/29	300,000,000	2013/06/06
60067	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 N	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/04/29	300,000,000	2013/06/06
60070	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 O	0.300	19,800 per 10,000 units	20,000 per 10,000 units	2014/04/29	300,000,000	2013/06/06
60062	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 P	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/12/30	300,000,000	2013/06/06
60063	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 Q	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/12/30	300,000,000	2013/06/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60035	Credit Suisse AG	HSI R Bull CBBC Jan 2014 M	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/06/06
60026	Credit Suisse AG	HSI R Bull CBBC Jan 2014 X	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/01/29	300,000,000	2013/06/06
60031	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Y	0.250	22,328 per 12,000 units	22,528 per 12,000 units	2014/01/29	300,000,000	2013/06/06
60032	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Z	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/01/29	300,000,000	2013/06/06
60027	Credit Suisse AG	HSI R Bull CBBC Feb 2014 P	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/02/27	300,000,000	2013/06/06
60038	Credit Suisse AG	HSI R Bear CBBC Sep 2013 K	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/09/27	300,000,000	2013/06/06
60036	Credit Suisse AG	HSI R Bear CBBC Sep 2013 M	0.250	22,645 per 10,000 units	22,445 per 10,000 units	2013/09/27	300,000,000	2013/06/06
60029	Credit Suisse AG	HSI R Bear CBBC Oct 2013 Q	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/10/30	300,000,000	2013/06/06
60030	Credit Suisse AG	HSI R Bear CBBC Nov 2013 F	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/11/28	300,000,000	2013/06/06
60083	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Z	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/10/30	120,000,000	2013/06/06
60075	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 B	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/10/30	120,000,000	2013/06/06
60086	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 N	0.251	22,688 per 7,000 units	22,488 per 7,000 units	2013/09/27	150,000,000	2013/06/06
60087	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 O	0.254	22,988 per 20,000 units	22,788 per 20,000 units	2013/09/27	150,000,000	2013/06/06
60019	HK Bank	CPIC R Bull CBBC Dec 2013 C	0.490	22.18	23.18	2013/12/23	60,000,000	2013/06/06
60012	HK Bank	HSI R Bull CBBC Jan 2014 A	0.250	20,328 per 20,000 units	20,628 per 20,000 units	2014/01/29	400,000,000	2013/06/06
60014	HK Bank	HSI R Bull CBBC Jan 2014 B	0.250	19,328 per 20,000 units	19,628 per 20,000 units	2014/01/29	400,000,000	2013/06/06
60011	HK Bank	HSI R Bear CBBC Oct 2013 M	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2013/10/30	100,000,000	2013/06/06
60016	HK Bank	Ping An R Bear CBBC Oct 2013 A	0.250	68.88 per 100 units	64.88 per 100 units	2013/10/28	40,000,000	2013/06/06
60015	HK Bank	SHK Ppt R Bear CBBC Dec 2013 A	0.250	119.18 per 100 units	115.18 per 100 units	2013/12/30	50,000,000	2013/06/06
60080	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 E	0.250	22,128 per 10,000 units	22,328 per 10,000 units	2013/11/28	100,000,000	2013/06/06
60082	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 F	0.250	22,228 per 10,000 units	22,428 per 10,000 units	2013/11/28	100,000,000	2013/06/06
60081	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 G	0.250	22,788 per 10,000 units	22,588 per 10,000 units	2013/11/28	100,000,000	2013/06/06
60061	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 R	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/09/27	100,000,000	2013/06/06
60024	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 G	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/10/30	100,000,000	2013/06/06
60040	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 13O	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2013/09/27	200,000,000	2013/06/06
60049	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/10/30	200,000,000	2013/06/06
60051	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13H	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2013/09/27	200,000,000	2013/06/06
60060	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13I	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/09/27	200,000,000	2013/06/06
60078	UBS AG	China Life R Bear CBBC Nov 2013 A	0.250	22.38	21.38	2013/11/18	100,000,000	2013/06/06
60033	UBS AG	HSI R Bull CBBC Oct 2013 A	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/10/30	300,000,000	2013/06/06
60079	UBS AG	HSI R Bull CBBC Dec 2013 O	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/06/06
60034	UBS AG	HSI R Bull CBBC Mar 2014 T	0.250	22,325 per 12,000 units	22,525 per 12,000 units	2014/03/28	350,000,000	2013/06/06
60023	UBS AG	HSI R Bull CBBC May 2014 D	0.250	18,400 per 38,000 units	18,800 per 38,000 units	2014/05/29	300,000,000	2013/06/06
60022	UBS AG	HSI R Bull CBBC Jun 2014 A	0.250	17,900 per 38,000 units	18,300 per 38,000 units	2014/06/27	300,000,000	2013/06/06
60077	UBS AG	HSI R Bear CBBC Sep 2013 J	0.250	22,645 per 10,000 units	22,445 per 10,000 units	2013/09/27	300,000,000	2013/06/06
60076	UBS AG	HSI R Bear CBBC Oct 2013 Y	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/10/30	300,000,000	2013/06/06
60020	UBS AG	HSI R Bear CBBC Feb 2014 A	0.250	23,700 per 38,000 units	23,300 per 38,000 units	2014/02/27	300,000,000	2013/06/06
60021	UBS AG	HSI R Bear CBBC Apr 2014 A	0.250	27,200 per 38,000 units	26,800 per 38,000 units	2014/04/29	300,000,000	2013/06/06
60112	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 R	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/12/30	300,000,000	2013/06/07
60089	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Q	0.250	22,280 per 10,000 units	22,480 per 10,000 units	2014/02/27	300,000,000	2013/06/07
60094	Credit Suisse AG	HSI R Bull CBBC Feb 2014 R	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/02/27	300,000,000	2013/06/07

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60102	Credit Suisse AG	HSI R Bear CBBC Sep 2013 L	0.250	22,593 per 10,000 units	22,393 per 10,000 units	2013/09/27	300,000,000	2013/06/07
60103	Credit Suisse AG	HSI R Bear CBBC Sep 2013 P	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/09/27	300,000,000	2013/06/07
60111	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 C	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2013/10/30	120,000,000	2013/06/07
60104	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 C	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/10/30	120,000,000	2013/06/07
60113	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 F	0.252	22,188 per 7,000 units	22,388 per 7,000 units	2013/09/27	120,000,000	2013/06/07
60088	HK Bank	HSCEI R Bear CBBC Dec 2013 C	0.250	11,188 per 10,000 units	10,988 per 10,000 units	2013/12/30	68,000,000	2013/06/07
60118	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 M	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/11/28	300,000,000	2013/06/07
60114	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 F	0.250	23,000 per 12,000 units	22,800 per 12,000 units	2013/12/30	300,000,000	2013/06/07
60098	UBS AG	HSI R Bull CBBC Nov 2013 V	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/06/07
60097	UBS AG	HSI R Bull CBBC Mar 2014 U	0.250	22,284 per 10,000 units	22,484 per 10,000 units	2014/03/28	300,000,000	2013/06/07
60101	UBS AG	HSI R Bear CBBC Sep 2013 O	0.250	22,593 per 10,000 units	22,393 per 10,000 units	2013/09/27	300,000,000	2013/06/07
60099	UBS AG	SHK Ppt R Bear CBBC Dec 2013 A	0.250	119 per 100 units	115 per 100 units	2013/12/23	100,000,000	2013/06/07
60174	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 S	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/06/10
60175	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 T	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/12/30	300,000,000	2013/06/10
60176	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 U	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/06/10
60129	Credit Suisse AG	HSI R Bull CBBC Nov 2013 D	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/06/10
60130	Credit Suisse AG	HSI R Bull CBBC Nov 2013 E	0.250	22,299 per 12,000 units	22,499 per 12,000 units	2013/11/28	300,000,000	2013/06/10
60131	Credit Suisse AG	HSI R Bull CBBC Nov 2013 U	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/06/10
60152	Credit Suisse AG	HSI R Bear CBBC Sep 2013 R	0.250	22,483 per 10,000 units	22,283 per 10,000 units	2013/09/27	300,000,000	2013/06/10
60126	Credit Suisse AG	HSI R Bear CBBC Nov 2013 G	0.250	23,788 per 20,000 units	23,488 per 20,000 units	2013/11/28	300,000,000	2013/06/10
60135	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 B	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2013/10/30	120,000,000	2013/06/10
60173	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 D	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/10/30	120,000,000	2013/06/10
60166	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 D	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/10/30	120,000,000	2013/06/10
60172	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 E	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/10/30	120,000,000	2013/06/10
60163	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 P	0.254	22,488 per 7,000 units	22,288 per 7,000 units	2013/09/27	150,000,000	2013/06/10
60164	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 Q	0.253	22,638 per 10,000 units	22,438 per 10,000 units	2013/09/27	150,000,000	2013/06/10
60165	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 R	0.258	22,788 per 20,000 units	22,588 per 20,000 units	2013/09/27	150,000,000	2013/06/10
60178	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 N	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/11/28	300,000,000	2013/06/10
60177	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 G	0.250	22,700 per 12,000 units	22,500 per 12,000 units	2013/12/30	300,000,000	2013/06/10
60155	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 H	0.250	22,688 per 10,000 units	22,488 per 10,000 units	2013/11/28	100,000,000	2013/06/10
60156	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 I	0.250	22,588 per 10,000 units	22,388 per 10,000 units	2013/11/28	100,000,000	2013/06/10
60157	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Nov 2013 J	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2013/11/28	100,000,000	2013/06/10
60122	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 H	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/10/30	100,000,000	2013/06/10
60158	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 J	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/09/27	200,000,000	2013/06/10
60140	UBS AG	HSCEI R Bear CBBC Sep 2013 C	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2013/09/27	100,000,000	2013/06/10
60144	UBS AG	HSI R Bull CBBC Nov 2013 W	0.250	22,299 per 10,000 units	22,499 per 10,000 units	2013/11/28	300,000,000	2013/06/10
60150	UBS AG	HSI R Bull CBBC Nov 2013 Y	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/06/10
60146	UBS AG	HSI R Bull CBBC Feb 2014 P	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/02/27	300,000,000	2013/06/10
60123	UBS AG	HSI R Bear CBBC Sep 2013 K	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/09/27	300,000,000	2013/06/10
60159	UBS AG	HSI R Bear CBBC Sep 2013 N	0.250	22,483 per 10,000 units	22,283 per 10,000 units	2013/09/27	300,000,000	2013/06/10
60137	UBS AG	Ping An R Bear CBBC Oct 2013 A	0.250	66.50 per 100 units	62.50 per 100 units	2013/10/07	100,000,000	2013/06/10
60184	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 P	0.300	22,000 per 10,000 units	22,200 per 10,000 units	2014/04/29	300,000,000	2013/06/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60181	Credit Suisse AG	HSI R Bull CBBC Dec 2013 S	0.250	22,082 per 12,000 units	22,282 per 12,000 units	2013/12/30	300,000,000	2013/06/11
60183	Credit Suisse AG	HSI R Bull CBBC Dec 2013 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/06/11
60190	Credit Suisse AG	HSI R Bear CBBC Sep 2013 U	0.250	22,420 per 10,000 units	22,220 per 10,000 units	2013/09/27	300,000,000	2013/06/11
60191	Credit Suisse AG	HSI R Bear CBBC Sep 2013 V	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/09/27	300,000,000	2013/06/11
60189	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 S	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/09/27	100,000,000	2013/06/11
60187	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 P	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/09/27	200,000,000	2013/06/11
60179	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 K	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2013/09/27	200,000,000	2013/06/11
60180	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 L	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2013/09/27	200,000,000	2013/06/11
60200	UBS AG	China Mobile R Bear CBBC Feb 2014 A	0.250	87.50 per 100 units	85 per 100 units	2014/02/10	100,000,000	2013/06/11
60201	UBS AG	CC Bank R Bull CBBC Jan 2014 A	0.250	5.45	5.75	2014/01/27	100,000,000	2013/06/11
60197	UBS AG	China Life R Bull CBBC Jan 2014 A	0.250	17.88	18.88	2014/01/20	100,000,000	2013/06/11
60185	UBS AG	HSI R Bull CBBC Oct 2013 D	0.250	22,082 per 10,000 units	22,282 per 10,000 units	2013/10/30	300,000,000	2013/06/11
60194	UBS AG	HSI R Bear CBBC Sep 2013 G	0.250	22,420 per 10,000 units	22,220 per 10,000 units	2013/09/27	300,000,000	2013/06/11
60193	UBS AG	HSI R Bear CBBC Nov 2013 J	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/06/11
60199	UBS AG	ICBC R Bull CBBC Feb 2014 A	0.250	4.45	4.75	2014/02/10	100,000,000	2013/06/11
60227	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 D	0.250	9,600 per 5,000 units	9,800 per 5,000 units	2013/12/30	200,000,000	2013/06/13
60217	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Q	0.300	21,900 per 10,000 units	22,100 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60236	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 R	0.300	19,300 per 10,000 units	19,500 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60237	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 S	0.300	19,600 per 10,000 units	19,800 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60238	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 T	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60241	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 U	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60242	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 V	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60243	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 W	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60233	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 V	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60234	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 W	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60235	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 X	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60293	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60277	Credit Suisse AG	HSI R Bull CBBC Jan 2014 E	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/01/29	300,000,000	2013/06/13
60282	Credit Suisse AG	HSI R Bull CBBC Jan 2014 F	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/01/29	300,000,000	2013/06/13
60220	Credit Suisse AG	HSI R Bull CBBC Jan 2014 G	0.250	22,038 per 12,000 units	22,238 per 12,000 units	2014/01/29	300,000,000	2013/06/13
60221	Credit Suisse AG	HSI R Bull CBBC Jan 2014 J	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/06/13
60245	Credit Suisse AG	HSI R Bull CBBC Jan 2014 K	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/01/29	300,000,000	2013/06/13
60246	Credit Suisse AG	HSI R Bull CBBC Jan 2014 L	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/01/29	300,000,000	2013/06/13
60256	Credit Suisse AG	HSI R Bull CBBC Jan 2014 O	0.250	19,788 per 20,000 units	20,088 per 20,000 units	2014/01/29	300,000,000	2013/06/13
60284	Credit Suisse AG	HSI R Bear CBBC Oct 2013 S	0.250	22,500 per 12,000 units	22,300 per 12,000 units	2013/10/30	300,000,000	2013/06/13
60289	Credit Suisse AG	HSI R Bear CBBC Oct 2013 T	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/10/30	300,000,000	2013/06/13
60290	Credit Suisse AG	HSI R Bear CBBC Oct 2013 U	0.250	22,270 per 10,000 units	22,070 per 10,000 units	2013/10/30	300,000,000	2013/06/13
60224	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 E	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/10/30	120,000,000	2013/06/13
60300	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 F	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/10/30	120,000,000	2013/06/13
60302	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 G	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/10/30	120,000,000	2013/06/13
60295	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 F	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/10/30	120,000,000	2013/06/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60297	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 G	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/10/30	120,000,000	2013/06/13
60340	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 G	0.256	21,788 per 7,000 units	21,988 per 7,000 units	2013/09/27	150,000,000	2013/06/13
60337	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 S	0.252	22,288 per 7,000 units	22,088 per 7,000 units	2013/09/27	150,000,000	2013/06/13
60338	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 T	0.258	22,338 per 10,000 units	22,138 per 10,000 units	2013/09/27	150,000,000	2013/06/13
60339	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 U	0.255	22,688 per 20,000 units	22,388 per 20,000 units	2013/09/27	150,000,000	2013/06/13
60214	HK Bank	China Mobile R Bear CBBC Oct 2013 B	0.250	86.38 per 100 units	83.88 per 100 units	2013/10/15	50,000,000	2013/06/13
60216	HK Bank	Cheung Kong R Bull CBBC Nov 2013 B	0.250	84.28 per 100 units	90.28 per 100 units	2013/11/18	60,000,000	2013/06/13
60213	HK Bank	China Life R Bear CBBC Nov 2013 B	0.250	22.28	21.28	2013/11/11	50,000,000	2013/06/13
60212	HK Bank	A50 R Bull CBBC Nov 2013 C	0.250	9.38	9.68	2013/11/11	100,000,000	2013/06/13
60210	HK Bank	HSI R Bull CBBC Dec 2013 K	0.250	20,988 per 15,000 units	21,188 per 15,000 units	2013/12/30	100,000,000	2013/06/13
60209	HK Bank	HSI R Bear CBBC Nov 2013 N	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2013/11/28	100,000,000	2013/06/13
60215	HK Bank	SHK Ppt R Bull CBBC Dec 2013 A	0.260	76.28 per 100 units	80.28 per 100 units	2013/12/30	60,000,000	2013/06/13
60351	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 R	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60361	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 S	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60362	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 T	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60365	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 U	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60367	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 O	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/11/28	300,000,000	2013/06/13
60369	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/06/13
60368	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 H	0.250	22,850 per 12,000 units	22,650 per 12,000 units	2013/12/30	300,000,000	2013/06/13
60325	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 G	0.250	21,828 per 10,000 units	22,028 per 10,000 units	2013/11/28	100,000,000	2013/06/13
60333	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 H	0.250	20,928 per 10,000 units	21,128 per 10,000 units	2013/11/28	100,000,000	2013/06/13
60334	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 I	0.250	21,028 per 10,000 units	21,228 per 10,000 units	2013/11/28	100,000,000	2013/06/13
60335	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Nov 2013 J	0.250	21,128 per 10,000 units	21,328 per 10,000 units	2013/11/28	100,000,000	2013/06/13
60321	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 A	0.250	20,228 per 10,000 units	20,428 per 10,000 units	2013/12/30	100,000,000	2013/06/13
60332	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 B	0.250	20,428 per 10,000 units	20,628 per 10,000 units	2013/12/30	100,000,000	2013/06/13
60257	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 T	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/09/27	100,000,000	2013/06/13
60219	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 Q	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/09/27	200,000,000	2013/06/13
60291	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 R	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/09/27	200,000,000	2013/06/13
60225	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 D	0.250	20,888 per 10,000 units	20,988 per 10,000 units	2013/10/30	200,000,000	2013/06/13
60226	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 M	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/09/27	200,000,000	2013/06/13
60292	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 N	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/09/27	200,000,000	2013/06/13
60312	UBS AG	CPIC R Bull CBBC Dec 2013 B	0.370	22.38	23.38	2013/12/09	30,000,000	2013/06/13
60320	UBS AG	HKEx R Bear CBBC Nov 2013 C	0.250	140 per 100 units	135 per 100 units	2013/11/25	100,000,000	2013/06/13
60307	UBS AG	HSCEI R Bear CBBC Oct 2013 B	0.250	10,950 per 10,000 units	10,750 per 10,000 units	2013/10/30	100,000,000	2013/06/13
60262	UBS AG	HSI R Bull CBBC Oct 2013 W	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/10/30	300,000,000	2013/06/13
60261	UBS AG	HSI R Bull CBBC Dec 2013 I	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60222	UBS AG	HSI R Bull CBBC Dec 2013 P	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/06/13
60223	UBS AG	HSI R Bull CBBC Mar 2014 V	0.250	22,085 per 12,000 units	22,285 per 12,000 units	2014/03/28	350,000,000	2013/06/13
60259	UBS AG	HSI R Bull CBBC Mar 2014 W	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/03/28	300,000,000	2013/06/13
60258	UBS AG	HSI R Bull CBBC Apr 2014 F	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/04/29	300,000,000	2013/06/13
60306	UBS AG	HSI R Bear CBBC Sep 2013 M	0.250	22,270 per 10,000 units	22,070 per 10,000 units	2013/09/27	300,000,000	2013/06/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60305	UBS AG	HSI R Bear CBBC Oct 2013 A	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/10/30	300,000,000	2013/06/13
60304	UBS AG	HSI R Bear CBBC Oct 2013 Z	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/10/30	300,000,000	2013/06/13
60319	UBS AG	Petrochina R Bull CBBC Nov 2013 A	0.250	8	8.5	2013/11/18	100,000,000	2013/06/13
65129 #	UBS AG	HSCEI R Bull CBBC Sep 2013 B	0.050	9,550 per 10,000 units	9,750 per 10,000 units	2013/09/27	200,000,000	2013/06/13
60384	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 X	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/04/29	300,000,000	2013/06/14
60428	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Y	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/04/29	300,000,000	2013/06/14
60414	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 A	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60417	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 B	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60418	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 C	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60427	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 D	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60387	Credit Suisse AG	HSI R Bull CBBC Dec 2013 U	0.250	19,188 per 20,000 units	19,488 per 20,000 units	2013/12/30	300,000,000	2013/06/14
60391	Credit Suisse AG	HSI R Bull CBBC Dec 2013 V	0.250	18,588 per 20,000 units	18,888 per 20,000 units	2013/12/30	300,000,000	2013/06/14
60392	Credit Suisse AG	HSI R Bull CBBC Dec 2013 W	0.250	21,869 per 12,000 units	22,069 per 12,000 units	2013/12/30	300,000,000	2013/06/14
60394	Credit Suisse AG	HSI R Bull CBBC Dec 2013 X	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60395	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Y	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60448	Credit Suisse AG	HSI R Bear CBBC Sep 2013 W	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/09/27	300,000,000	2013/06/14
60449	Credit Suisse AG	HSI R Bear CBBC Sep 2013 X	0.250	22,015 per 10,000 units	21,815 per 10,000 units	2013/09/27	300,000,000	2013/06/14
60436	Credit Suisse AG	HSI R Bear CBBC Oct 2013 V	0.250	22,300 per 12,000 units	22,100 per 12,000 units	2013/10/30	300,000,000	2013/06/14
60437	Credit Suisse AG	HSI R Bear CBBC Oct 2013 W	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/10/30	300,000,000	2013/06/14
60386	Credit Suisse AG	HSI R Bear CBBC Dec 2013 A	0.250	23,188 per 20,000 units	22,888 per 20,000 units	2013/12/30	300,000,000	2013/06/14
60482	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 H	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2013/10/30	120,000,000	2013/06/14
60488	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 I	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2013/10/30	120,000,000	2013/06/14
60489	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 X	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/10/30	120,000,000	2013/06/14
60490	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 H	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/10/30	120,000,000	2013/06/14
60511	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 I	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/10/30	120,000,000	2013/06/14
60480	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 V	0.256	22,088 per 7,000 units	21,888 per 7,000 units	2013/09/27	150,000,000	2013/06/14
60481	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 W	0.253	22,488 per 20,000 units	22,188 per 20,000 units	2013/09/27	150,000,000	2013/06/14
60383	HK Bank	China Mobile R Bear CBBC Nov 2013 A	0.250	94.38 per 100 units	91.88 per 100 units	2013/11/25	50,000,000	2013/06/14
60377	HK Bank	Cheung Kong R Bear CBBC Nov 2013 B	0.250	123.88 per 100 units	117.88 per 100 units	2013/11/04	50,000,000	2013/06/14
60376	HK Bank	HSI R Bear CBBC Oct 2013 N	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2013/10/30	100,000,000	2013/06/14
60373	HK Bank	HSI R Bear CBBC Nov 2013 O	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2013/11/28	100,000,000	2013/06/14
60374	HK Bank	HSI R Bear CBBC Dec 2013 F	0.250	23,328 per 20,000 units	23,028 per 20,000 units	2013/12/30	400,000,000	2013/06/14
60513	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 V	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60515	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 W	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60520	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 X	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60527	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 Y	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60529	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Q	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60528	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 I	0.250	22,200 per 12,000 units	22,000 per 12,000 units	2013/12/30	300,000,000	2013/06/14
60479	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 C	0.250	21,628 per 10,000 units	21,828 per 10,000 units	2013/12/30	100,000,000	2013/06/14
60475	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 A	0.250	22,188 per 10,000 units	21,988 per 10,000 units	2013/12/30	100,000,000	2013/06/14
60477	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 B	0.250	22,288 per 10,000 units	22,088 per 10,000 units	2013/12/30	100,000,000	2013/06/14
60478	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 C	0.250	22,388 per 10,000 units	22,188 per 10,000 units	2013/12/30	100,000,000	2013/06/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60429	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 U	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/09/27	100,000,000	2013/06/14
60430	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 I	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/10/30	100,000,000	2013/06/14
60431	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 J	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/10/30	100,000,000	2013/06/14
60401	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 E	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/10/30	200,000,000	2013/06/14
60402	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 F	0.250	20,788 per 10,000 units	20,888 per 10,000 units	2013/10/30	200,000,000	2013/06/14
60403	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 O	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/09/27	200,000,000	2013/06/14
60404	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 P	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/09/27	200,000,000	2013/06/14
60405	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 Q	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2013/09/27	200,000,000	2013/06/14
60470	UBS AG	HSCEI R Bear CBBC Nov 2013 A	0.250	11,950 per 10,000 units	11,750 per 10,000 units	2013/11/28	100,000,000	2013/06/14
60400	UBS AG	HSI R Bull CBBC Nov 2013 J	0.250	21,869 per 10,000 units	22,069 per 10,000 units	2013/11/28	300,000,000	2013/06/14
60399	UBS AG	HSI R Bull CBBC Dec 2013 C	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/06/14
60450	UBS AG	HSI R Bear CBBC Sep 2013 Q	0.250	22,015 per 10,000 units	21,815 per 10,000 units	2013/09/27	300,000,000	2013/06/14
60451	UBS AG	HSI R Bear CBBC Sep 2013 R	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/09/27	300,000,000	2013/06/14
60452	UBS AG	HSI R Bear CBBC Oct 2013 E	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/06/14
60463	UBS AG	HSI R Bear CBBC Oct 2013 F	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/10/30	300,000,000	2013/06/14
60464	UBS AG	HSI R Bear CBBC Dec 2013 I	0.250	22,700 per 38,000 units	22,300 per 38,000 units	2013/12/30	300,000,000	2013/06/14
60465	UBS AG	HSI R Bear CBBC Dec 2013 J	0.250	23,200 per 38,000 units	22,800 per 38,000 units	2013/12/30	300,000,000	2013/06/14
60473	UBS AG	Hutchison R Bear CBBC Dec 2013 A	0.250	88 per 100 units	85 per 100 units	2013/12/09	100,000,000	2013/06/14
60557	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 A	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60582	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 B	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60583	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 C	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60666	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 D	0.300	19,000 per 10,000 units	19,200 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60674	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 E	0.300	18,800 per 10,000 units	19,000 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60550	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Z	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/04/29	300,000,000	2013/06/17
60551	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 E	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60552	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 F	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60661	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 G	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60663	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 H	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60664	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 I	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60665	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 J	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60600	Credit Suisse AG	HSI R Bull CBBC Jan 2014 H	0.250	21,638 per 12,000 units	21,838 per 12,000 units	2014/01/29	300,000,000	2013/06/17
60604	Credit Suisse AG	HSI R Bull CBBC Jan 2014 I	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/01/29	300,000,000	2013/06/17
60609	Credit Suisse AG	HSI R Bull CBBC Jan 2014 P	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/01/29	300,000,000	2013/06/17
60660	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Q	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/01/29	300,000,000	2013/06/17
60655	Credit Suisse AG	HSI R Bull CBBC Mar 2014 D	0.280	18,900 per 10,000 units	19,100 per 10,000 units	2014/03/28	300,000,000	2013/06/17
60656	Credit Suisse AG	HSI R Bull CBBC Mar 2014 E	0.330	18,400 per 10,000 units	18,600 per 10,000 units	2014/03/28	300,000,000	2013/06/17
60657	Credit Suisse AG	HSI R Bull CBBC Mar 2014 F	0.250	18,088 per 20,000 units	18,388 per 20,000 units	2014/03/28	300,000,000	2013/06/17
60711	Credit Suisse AG	HSI R Bear CBBC Oct 2013 X	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60712	Credit Suisse AG	HSI R Bear CBBC Oct 2013 Y	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60716	Credit Suisse AG	HSI R Bear CBBC Oct 2013 Z	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60635	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 A	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/10/30	120,000,000	2013/06/17
60636	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 J	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/10/30	120,000,000	2013/06/17
60807	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 C	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/11/28	120,000,000	2013/06/17

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60826	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 D	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/11/28	120,000,000	2013/06/17
60804	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 B	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/11/28	120,000,000	2013/06/17
60805	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 C	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/11/28	120,000,000	2013/06/17
60709	Bank of East Asia	Ping An R Bull CBBC Jun 2014 A	0.250	46 per 100 units	50 per 100 units	2014/06/26	60,000,000	2013/06/17
60706	Bank of East Asia	Sands China R Bull CBBC Jun 2014 A	0.250	33.928 per 100 units	36.928 per 100 units	2014/06/26	60,000,000	2013/06/17
60762	Goldman Sachs SP (Asia)	HSI R Bull CBBC Sep 2013 H	0.253	21,338 per 10,000 units	21,538 per 10,000 units	2013/09/27	120,000,000	2013/06/17
60763	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 X	0.252	21,888 per 7,000 units	21,688 per 7,000 units	2013/09/27	120,000,000	2013/06/17
60767	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 Y	0.251	22,138 per 10,000 units	21,938 per 10,000 units	2013/09/27	120,000,000	2013/06/17
60771	Goldman Sachs SP (Asia)	HSI R Bear CBBC Sep 2013 Z	0.252	21,838 per 10,000 units	21,638 per 10,000 units	2013/09/27	120,000,000	2013/06/17
60546	HK Bank	CC Bank R Bear CBBC Nov 2013 A	0.250	6.78	6.48	2013/11/25	40,000,000	2013/06/17
60545	HK Bank	Minsheng Bank R Bear CBBC Dec 2013 A	0.250	11.18	10.68	2013/12/23	40,000,000	2013/06/17
60543	HK Bank	HKEx R Bear CBBC Nov 2013 C	0.250	142.88 per 100 units	136.88 per 100 units	2013/11/11	50,000,000	2013/06/17
60532	HK Bank	HSI R Bull CBBC Nov 2013 O	0.250	19,588 per 15,000 units	19,788 per 15,000 units	2013/11/28	100,000,000	2013/06/17
60531	HK Bank	HSI R Bull CBBC Dec 2013 L	0.250	20,288 per 15,000 units	20,488 per 15,000 units	2013/12/30	100,000,000	2013/06/17
60530	HK Bank	HSI R Bear CBBC Nov 2013 P	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2013/11/28	100,000,000	2013/06/17
60541	HK Bank	Hutchison R Bull CBBC Dec 2013 B	0.250	67.38 per 100 units	70.38 per 100 units	2013/12/16	60,000,000	2013/06/17
60544	HK Bank	TCH R Bear CBBC Nov 2013 C	0.260	324.88 per 100 units	316.88 per 100 units	2013/11/04	50,000,000	2013/06/17
60848	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 I	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60871	J P Morgan SP BV	HSI R Bull CBBC Oct 2013 J	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60845	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 G	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60854	J P Morgan SP BV	HSI R Bull CBBC Nov 2013 H	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60843	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 C	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/12/30	300,000,000	2013/06/17
60847	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 E	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/06/17
60840	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 Z	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/12/30	300,000,000	2013/06/17
60827	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 F	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60837	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 G	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60839	J P Morgan SP BV	HSI R Bear CBBC Oct 2013 H	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60829	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 R	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/06/17
60838	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 S	0.250	22,450 per 12,000 units	22,250 per 12,000 units	2013/11/28	300,000,000	2013/06/17
60830	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 J	0.250	22,250 per 12,000 units	22,050 per 12,000 units	2013/12/30	300,000,000	2013/06/17
60718	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 D	0.250	19,428 per 10,000 units	19,628 per 10,000 units	2013/12/30	100,000,000	2013/06/17
60720	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 E	0.250	20,028 per 10,000 units	20,228 per 10,000 units	2013/12/30	100,000,000	2013/06/17
60723	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 F	0.250	19,828 per 10,000 units	20,028 per 10,000 units	2013/12/30	100,000,000	2013/06/17
60719	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 D	0.250	21,788 per 10,000 units	21,588 per 10,000 units	2013/12/30	100,000,000	2013/06/17
60584	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 S	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/09/27	200,000,000	2013/06/17
60586	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 R	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/09/27	200,000,000	2013/06/17
60642	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 S	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/09/27	200,000,000	2013/06/17
60653	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 T	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2013/09/27	200,000,000	2013/06/17
60746	UBS AG	HKEx R Bull CBBC Dec 2013 B	0.250	110 per 100 units	115 per 100 units	2013/12/23	100,000,000	2013/06/17
60743	UBS AG	HSCEI R Bear CBBC Sep 2013 D	0.250	10,700 per 10,000 units	10,500 per 10,000 units	2013/09/27	100,000,000	2013/06/17
60641	UBS AG	HSI R Bull CBBC Oct 2013 C	0.250	21,638 per 10,000 units	21,838 per 10,000 units	2013/10/30	300,000,000	2013/06/17

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60637	UBS AG	HSI R Bull CBBC Dec 2013 D	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2013/12/30	300,000,000	2013/06/17
60640	UBS AG	HSI R Bull CBBC Dec 2013 E	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/06/17
60733	UBS AG	HSI R Bear CBBC Sep 2013 H	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/09/27	300,000,000	2013/06/17
60734	UBS AG	HSI R Bear CBBC Sep 2013 S	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/09/27	300,000,000	2013/06/17
60739	UBS AG	HSI R Bear CBBC Sep 2013 T	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/09/27	300,000,000	2013/06/17
60735	UBS AG	HSI R Bear CBBC Oct 2013 G	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60736	UBS AG	HSI R Bear CBBC Oct 2013 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/10/30	300,000,000	2013/06/17
60747	UBS AG	Hutchison R Bull CBBC Nov 2013 B	0.250	72 per 100 units	75 per 100 units	2013/11/18	100,000,000	2013/06/17
60956	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 F	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/04/29	300,000,000	2013/06/18
60976	Credit Suisse AG	HSI R Bull CBBC Feb 2014 S	0.250	21,470 per 12,000 units	21,670 per 12,000 units	2014/02/27	300,000,000	2013/06/18
60981	Credit Suisse AG	HSI R Bull CBBC Feb 2014 T	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/02/27	300,000,000	2013/06/18
60982	Credit Suisse AG	HSI R Bull CBBC Feb 2014 U	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/02/27	300,000,000	2013/06/18
60921	Credit Suisse AG	HSI R Bear CBBC Oct 2013 A	0.250	21,776 per 10,000 units	21,576 per 10,000 units	2013/10/30	300,000,000	2013/06/18
60922	Credit Suisse AG	HSI R Bear CBBC Oct 2013 B	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/10/30	300,000,000	2013/06/18
60923	Credit Suisse AG	HSI R Bear CBBC Oct 2013 C	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/10/30	300,000,000	2013/06/18
60924	Credit Suisse AG	HSI R Bear CBBC Oct 2013 D	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/10/30	300,000,000	2013/06/18
61033	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 K	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/10/30	120,000,000	2013/06/18
61045	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 J	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/10/30	120,000,000	2013/06/18
60895	HK Bank	AIA R Bear CBBC Nov 2013 C	0.350	36.08	35.08	2013/11/11	50,000,000	2013/06/18
60898	HK Bank	CNOOC R Bear CBBC Nov 2013 A	0.250	15.88	15.08	2013/11/25	40,000,000	2013/06/18
60886	HK Bank	HSCEI R Bull CBBC Jan 2014 A	0.250	8,288 per 10,000 units	8,488 per 10,000 units	2014/01/29	100,000,000	2013/06/18
60885	HK Bank	HSCEI R Bear CBBC Jan 2014 A	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2014/01/29	68,000,000	2013/06/18
60872	HK Bank	HSI R Bull CBBC Feb 2014 B	0.300	15,728 per 20,000 units	16,028 per 20,000 units	2014/02/27	400,000,000	2013/06/18
60878	HK Bank	HSI R Bear CBBC Dec 2013 G	0.250	22,928 per 20,000 units	22,628 per 20,000 units	2013/12/30	400,000,000	2013/06/18
60887	HK Bank	ICBC R Bear CBBC Nov 2013 C	0.250	5.98	5.73	2013/11/25	40,000,000	2013/06/18
60901	HK Bank	PICC Group R Bull CBBC Jan 2014 A	0.250	2.783	2.983	2014/01/27	50,000,000	2013/06/18
60969	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 E	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/09/27	100,000,000	2013/06/18
60919	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 K	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/10/30	100,000,000	2013/06/18
60950	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 T	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2013/09/27	200,000,000	2013/06/18
60914	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 U	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2013/09/27	200,000,000	2013/06/18
60918	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep13 V	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/09/27	200,000,000	2013/06/18
61003	UBS AG	CC Bank R Bear CBBC Jan 2014 A	0.250	6.8	6.5	2014/01/13	100,000,000	2013/06/18
61018	UBS AG	Cheung Kong R Bear CBBC Dec 2013 A	0.250	126 per 100 units	120 per 100 units	2013/12/23	100,000,000	2013/06/18
61014	UBS AG	Sinopec Corp R Bull CBBC Dec 2013 A	0.250	4.98	5.28	2013/12/09	100,000,000	2013/06/18
61004	UBS AG	Sinopec Corp R Bear CBBC Nov 2013 A	0.250	6.58	6.28	2013/11/25	100,000,000	2013/06/18
61002	UBS AG	A50 China R Bull CBBC Mar 2014 A	0.250	8.2	8.5	2014/03/10	100,000,000	2013/06/18
61000	UBS AG	HSCEI R Bull CBBC May 2014 A	0.250	8,550 per 10,000 units	8,750 per 10,000 units	2014/05/29	100,000,000	2013/06/18
60996	UBS AG	HSCEI R Bull CBBC Jun 2014 B	0.250	7,800 per 10,000 units	8,000 per 10,000 units	2014/06/27	100,000,000	2013/06/18
60986	UBS AG	HSI R Bull CBBC Dec 2013 H	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/12/30	300,000,000	2013/06/18
60987	UBS AG	HSI R Bull CBBC Mar 2014 X	0.250	21,471 per 12,000 units	21,671 per 12,000 units	2014/03/28	350,000,000	2013/06/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60925	UBS AG	HSI R Bear CBBC Oct 2013 I	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/10/30	300,000,000	2013/06/18
60949	UBS AG	HSI R Bear CBBC Oct 2013 L	0.250	21,776 per 12,000 units	21,576 per 12,000 units	2013/10/30	350,000,000	2013/06/18
60948	UBS AG	HSI R Bear CBBC Oct 2013 M	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/10/30	300,000,000	2013/06/18
60940	UBS AG	HSI R Bear CBBC Nov 2013 K	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/06/18
61113	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 E	0.250	9,200 per 5,000 units	9,400 per 5,000 units	2013/12/30	200,000,000	2013/06/19
61116	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 G	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/04/29	300,000,000	2013/06/19
61127	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 H	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/04/29	300,000,000	2013/06/19
61129	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 I	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/04/29	300,000,000	2013/06/19
61130	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 J	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/04/29	300,000,000	2013/06/19
61246	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 K	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/04/29	300,000,000	2013/06/19
61165	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 K	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61166	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 L	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61167	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 M	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61244	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 N	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61245	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 O	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61133	Credit Suisse AG	HSI R Bull CBBC Dec 2013 G	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/12/30	300,000,000	2013/06/19
61197	Credit Suisse AG	HSI R Bull CBBC Dec 2013 M	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2013/12/30	300,000,000	2013/06/19
61132	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Z	0.250	21,415 per 12,000 units	21,615 per 12,000 units	2013/12/30	300,000,000	2013/06/19
61218	Credit Suisse AG	HSI R Bear CBBC Sep 2013 J	0.250	21,555 per 10,000 units	21,355 per 10,000 units	2013/09/27	300,000,000	2013/06/19
61219	Credit Suisse AG	HSI R Bear CBBC Oct 2013 G	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/06/19
61134	Credit Suisse AG	HSI R Bear CBBC Dec 2013 B	0.250	22,488 per 20,000 units	22,288 per 20,000 units	2013/12/30	300,000,000	2013/06/19
61198	Credit Suisse AG	HSI R Bear CBBC Dec 2013 C	0.250	21,800 per 12,000 units	21,600 per 12,000 units	2013/12/30	300,000,000	2013/06/19
61150	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 L	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/10/30	120,000,000	2013/06/19
61231	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 F	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/11/28	120,000,000	2013/06/19
61232	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 D	0.250	21,560 per 10,000 units	21,360 per 10,000 units	2013/11/28	120,000,000	2013/06/19
61236	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 E	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/11/28	120,000,000	2013/06/19
61102	HK Bank	Sinopec Corp R Bull CBBC Dec 2013 A	0.250	4.58	4.88	2013/12/23	80,000,000	2013/06/19
61112	HK Bank	Sinopec Corp R Bear CBBC Dec 2013 A	0.250	6.78	6.48	2013/12/23	40,000,000	2013/06/19
61046	HK Bank	HSCEI R Bear CBBC Nov 2013 D	0.250	10,588 per 10,000 units	10,388 per 10,000 units	2013/11/28	68,000,000	2013/06/19
61047	HK Bank	HSI R Bear CBBC Oct 2013 O	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2013/10/30	100,000,000	2013/06/19
61095	HK Bank	Hutchison R Bear CBBC Nov 2013 C	0.250	88.28 per 100 units	85.28 per 100 units	2013/11/11	50,000,000	2013/06/19
61096	HK Bank	ICBC R Bull CBBC Dec 2013 A	0.250	4.68	4.93	2013/12/02	80,000,000	2013/06/19
61089	HK Bank	TCH R Bull CBBC Nov 2013 E	0.250	288.88 per 100 units	296.88 per 100 units	2013/11/25	50,000,000	2013/06/19
61149	Standard Chartered Bank	HSI R Bull CBBC Sep 2013 X	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/09/27	100,000,000	2013/06/19
61169	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 L	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/10/30	100,000,000	2013/06/19
61170	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 F	0.250	22,700 per 20,000 units	22,500 per 20,000 units	2013/12/30	200,000,000	2013/06/19
61157	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep 13U	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2013/09/27	200,000,000	2013/06/19
61158	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13G	0.250	20,288 per 10,000 units	20,388 per 10,000 units	2013/10/30	200,000,000	2013/06/19
61161	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13W	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2013/09/27	200,000,000	2013/06/19
61222	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13X	0.250	21,488 per 10,000 units	21,388 per 10,000 units	2013/09/27	200,000,000	2013/06/19
61223	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13Y	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/09/27	200,000,000	2013/06/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61227	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Sep 13Z	0.250	22,800 per 15,000 units	22,600 per 15,000 units	2013/09/27	200,000,000	2013/06/19
61195	UBS AG	AIA R Bear CBBC Mar 2014 B	0.250	36.88 per 100 units	35.88 per 100 units	2014/03/31	100,000,000	2013/06/19
61196	UBS AG	CNOOC R Bear CBBC Nov 2013 A	0.250	15.68	14.88	2013/11/11	100,000,000	2013/06/19
61151	UBS AG	HSI R Bull CBBC Nov 2013 K	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61156	UBS AG	HSI R Bull CBBC Nov 2013 L	0.250	21,415 per 10,000 units	21,615 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61241	UBS AG	HSI R Bull CBBC Dec 2013 B	0.250	21,125 per 12,000 units	21,325 per 12,000 units	2013/12/30	350,000,000	2013/06/19
61193	UBS AG	HSI R Bull CBBC Mar 2014 Y	0.250	20,925 per 10,000 units	21,125 per 10,000 units	2014/03/28	300,000,000	2013/06/19
61180	UBS AG	HSI R Bull CBBC Jun 2014 B	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/06/27	300,000,000	2013/06/19
61181	UBS AG	HSI R Bull CBBC Jun 2014 C	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/06/27	300,000,000	2013/06/19
61189	UBS AG	HSI R Bull CBBC Jun 2014 D	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/06/27	300,000,000	2013/06/19
61173	UBS AG	HSI R Bull CBBC Aug 2014 A	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/08/28	300,000,000	2013/06/19
61178	UBS AG	HSI R Bull CBBC Aug 2014 B	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/08/28	300,000,000	2013/06/19
61182	UBS AG	HSI R Bull CBBC Aug 2014 C	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/08/28	300,000,000	2013/06/19
61171	UBS AG	HSI R Bull CBBC Sep 2014 A	0.265	18,800 per 10,000 units	19,000 per 10,000 units	2014/09/29	300,000,000	2013/06/19
61172	UBS AG	HSI R Bull CBBC Sep 2014 B	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2014/09/29	300,000,000	2013/06/19
61240	UBS AG	HSI R Bear CBBC Sep 2013 U	0.250	21,555 per 10,000 units	21,355 per 10,000 units	2013/09/27	300,000,000	2013/06/19
61238	UBS AG	HSI R Bear CBBC Oct 2013 N	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/10/30	300,000,000	2013/06/19
61239	UBS AG	HSI R Bear CBBC Oct 2013 O	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/06/19
61237	UBS AG	HSI R Bear CBBC Nov 2013 L	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/06/19
61194	UBS AG	ICBC R Bear CBBC Nov 2013 A	0.250	6	5.75	2013/11/11	100,000,000	2013/06/19
61331	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 H	0.250	8.7	9	2013/12/30	200,000,000	2013/06/20
61337	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 F	0.250	8,800 per 5,000 units	9,000 per 5,000 units	2013/12/30	200,000,000	2013/06/20
61295	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 A	0.400	17,800 per 10,000 units	18,000 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61299	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 B	0.350	18,000 per 10,000 units	18,200 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61304	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 C	0.350	18,200 per 10,000 units	18,400 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61306	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 D	0.300	18,400 per 10,000 units	18,600 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61307	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 E	0.300	18,600 per 10,000 units	18,800 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61311	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 F	0.300	18,900 per 10,000 units	19,100 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61315	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 G	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61317	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 H	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61318	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 I	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61319	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 J	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61321	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 K	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61322	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 L	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61323	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 M	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61324	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 N	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61327	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 O	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61328	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 P	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61329	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Q	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61330	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 R	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61380	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 S	0.400	16,800 per 10,000 units	17,000 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61383	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 T	0.400	17,300 per 10,000 units	17,500 per 10,000 units	2014/03/28	300,000,000	2013/06/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61396	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 P	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61397	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 Q	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61398	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 R	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61399	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 S	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61400	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 T	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61401	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 U	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61384	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 A	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61385	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 B	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61386	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 C	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61388	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 D	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61394	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 E	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61395	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 F	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61350	Credit Suisse AG	HSI R Bull CBBC Dec 2013 F	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61351	Credit Suisse AG	HSI R Bull CBBC Dec 2013 H	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61352	Credit Suisse AG	HSI R Bull CBBC Dec 2013 I	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61353	Credit Suisse AG	HSI R Bull CBBC Dec 2013 J	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61354	Credit Suisse AG	HSI R Bull CBBC Dec 2013 K	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61408	Credit Suisse AG	HSI R Bull CBBC Jan 2014 N	0.250	19,050 per 10,000 units	19,250 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61407	Credit Suisse AG	HSI R Bull CBBC Jan 2014 R	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61409	Credit Suisse AG	HSI R Bull CBBC Jan 2014 S	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61410	Credit Suisse AG	HSI R Bull CBBC Jan 2014 T	0.280	18,100 per 10,000 units	18,300 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61411	Credit Suisse AG	HSI R Bull CBBC Jan 2014 U	0.330	17,600 per 10,000 units	17,800 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61419	Credit Suisse AG	HSI R Bull CBBC Feb 2014 V	0.250	17,088 per 20,000 units	17,388 per 20,000 units	2014/02/27	300,000,000	2013/06/20
61413	Credit Suisse AG	HSI R Bull CBBC Apr 2014 A	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61414	Credit Suisse AG	HSI R Bull CBBC Apr 2014 B	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61416	Credit Suisse AG	HSI R Bull CBBC Apr 2014 C	0.265	18,300 per 10,000 units	18,500 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61417	Credit Suisse AG	HSI R Bull CBBC Apr 2014 D	0.320	17,800 per 10,000 units	18,000 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61418	Credit Suisse AG	HSI R Bull CBBC Apr 2014 E	0.365	17,300 per 10,000 units	17,500 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61422	Credit Suisse AG	HSI R Bear CBBC Oct 2013 H	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61425	Credit Suisse AG	HSI R Bear CBBC Oct 2013 P	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61427	Credit Suisse AG	HSI R Bear CBBC Oct 2013 R	0.250	20,983 per 10,000 units	20,783 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61421	Credit Suisse AG	HSI R Bear CBBC Nov 2013 H	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61423	Credit Suisse AG	HSI R Bear CBBC Nov 2013 I	0.250	21,350 per 10,000 units	21,150 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61426	Credit Suisse AG	HSI R Bear CBBC Nov 2013 J	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61420	Credit Suisse AG	HSI R Bear CBBC Feb 2014 A	0.250	21,988 per 20,000 units	21,688 per 20,000 units	2014/02/27	300,000,000	2013/06/20
61462	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 B	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61466	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 E	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61355	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 G	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61356	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 M	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61357	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 O	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61358	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Q	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61461	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 T	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61456	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 A	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/03/28	120,000,000	2013/06/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61457	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 B	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/03/28	120,000,000	2013/06/20
61459	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 C	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/03/28	120,000,000	2013/06/20
61460	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 D	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/03/28	120,000,000	2013/06/20
61428	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 F	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61429	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 G	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61467	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 H	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61468	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 I	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/11/28	120,000,000	2013/06/20
61402	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 A	0.257	20,188 per 7,000 units	20,388 per 7,000 units	2013/10/30	150,000,000	2013/06/20
61403	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 B	0.254	19,988 per 7,000 units	20,188 per 7,000 units	2013/10/30	150,000,000	2013/06/20
61404	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 C	0.258	19,788 per 7,000 units	19,988 per 7,000 units	2013/10/30	150,000,000	2013/06/20
61405	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 D	0.255	19,588 per 7,000 units	19,788 per 7,000 units	2013/10/30	150,000,000	2013/06/20
61406	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 E	0.255	19,388 per 20,000 units	19,688 per 20,000 units	2013/10/30	150,000,000	2013/06/20
61248	HK Bank	China Mobile R Bull CBBC Feb 2014 A	0.250	61.78 per 100 units	64.28 per 100 units	2014/02/24	120,000,000	2013/06/20
61247	HK Bank	HSI R Bear CBBC Nov 2013 Q	0.250	21,888 per 15,000 units	21,688 per 15,000 units	2013/11/28	100,000,000	2013/06/20
61477	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 F	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61481	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 I	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61485	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 J	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61476	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 A	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61480	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 B	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61483	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 C	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/01/29	300,000,000	2013/06/20
61475	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 A	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/02/27	300,000,000	2013/06/20
61478	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 B	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/02/27	300,000,000	2013/06/20
61482	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 C	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/02/27	300,000,000	2013/06/20
61474	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 A	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/03/28	300,000,000	2013/06/20
61471	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 T	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61473	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 U	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61469	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 K	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61470	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 L	0.250	21,850 per 12,000 units	21,650 per 12,000 units	2013/12/30	300,000,000	2013/06/20
61472	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 M	0.250	21,700 per 12,000 units	21,500 per 12,000 units	2013/12/30	300,000,000	2013/06/20
61359	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 G	0.250	20,528 per 10,000 units	20,728 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61360	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 H	0.250	20,328 per 10,000 units	20,528 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61361	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 I	0.250	20,128 per 10,000 units	20,328 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61362	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 E	0.250	22,088 per 10,000 units	21,888 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61363	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 F	0.250	21,988 per 10,000 units	21,788 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61364	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 G	0.250	21,888 per 10,000 units	21,688 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61365	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 H	0.250	21,688 per 10,000 units	21,488 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61366	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 I	0.250	21,588 per 10,000 units	21,388 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61367	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 J	0.250	21,488 per 10,000 units	21,288 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61369	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 K	0.250	21,388 per 10,000 units	21,188 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61370	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 L	0.250	21,288 per 10,000 units	21,088 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61371	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 M	0.250	21,088 per 10,000 units	20,888 per 10,000 units	2013/12/30	100,000,000	2013/06/20
61338	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 A	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2013/11/28	100,000,000	2013/06/20
61339	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 B	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2013/11/28	100,000,000	2013/06/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61340	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 C	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2013/11/28	100,000,000	2013/06/20
61346	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 D	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/11/28	100,000,000	2013/06/20
61347	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 E	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/11/28	100,000,000	2013/06/20
61348	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 F	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2013/11/28	100,000,000	2013/06/20
61341	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 M	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/10/30	100,000,000	2013/06/20
61254	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 V	0.250	20,688 per 10,000 units	20,788 per 10,000 units	2013/09/27	200,000,000	2013/06/20
61256	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 W	0.250	20,588 per 10,000 units	20,688 per 10,000 units	2013/09/27	200,000,000	2013/06/20
61260	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 X	0.250	20,088 per 10,000 units	20,188 per 10,000 units	2013/09/27	200,000,000	2013/06/20
61264	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 Y	0.250	19,888 per 10,000 units	19,988 per 10,000 units	2013/09/27	200,000,000	2013/06/20
61266	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 Z	0.250	19,688 per 10,000 units	19,788 per 10,000 units	2013/09/27	200,000,000	2013/06/20
61262	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 H	0.250	19,988 per 10,000 units	20,088 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61265	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 I	0.250	19,788 per 10,000 units	19,888 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61267	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 J	0.255	19,588 per 10,000 units	19,688 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61268	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 K	0.280	19,388 per 10,000 units	19,488 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61453	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 L	0.250	19,188 per 10,000 units	19,288 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61454	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 M	0.250	18,800 per 15,000 units	19,000 per 15,000 units	2013/10/30	200,000,000	2013/06/20
61372	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 A	0.250	20,888 per 10,000 units	20,788 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61373	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 B	0.250	20,988 per 10,000 units	20,888 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61375	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 C	0.250	21,188 per 10,000 units	21,088 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61376	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 D	0.250	21,388 per 10,000 units	21,288 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61377	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 E	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61378	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 F	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/10/30	200,000,000	2013/06/20
61379	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 G	0.250	22,200 per 15,000 units	22,000 per 15,000 units	2013/10/30	200,000,000	2013/06/20
61440	UBS AG	China Mobile R Bull CBBC Dec 2013 A	0.250	70 per 100 units	72.50 per 100 units	2013/12/16	100,000,000	2013/06/20
61439	UBS AG	China Mobile R Bull CBBC Jun 2014 B	0.250	57.50 per 100 units	60 per 100 units	2014/06/23	100,000,000	2013/06/20
61442	UBS AG	CC Bank R Bull CBBC Feb 2014 A	0.250	4.2	4.5	2014/02/24	100,000,000	2013/06/20
61441	UBS AG	CC Bank R Bear CBBC Dec 2013 A	0.250	6.3	6	2013/12/16	100,000,000	2013/06/20
61434	UBS AG	China Life R Bull CBBC Feb 2014 A	0.485	13.88	14.88	2014/02/17	100,000,000	2013/06/20
61433	UBS AG	China Life R Bear CBBC Jan 2014 A	0.270	21.38	20.38	2014/01/06	100,000,000	2013/06/20
61432	UBS AG	CSOP A50 ETF R Bear CBBC Nov 2013 A	0.250	10.8	10.5	2013/11/25	100,000,000	2013/06/20
61431	UBS AG	A50 China R Bull CBBC Feb 2014 B	0.250	7.7	8	2014/02/10	100,000,000	2013/06/20
61430	UBS AG	A50 China R Bear CBBC Nov 2013 A	0.250	10.8	10.5	2013/11/18	100,000,000	2013/06/20
61444	UBS AG	HKEx R Bear CBBC Dec 2013 A	0.250	135 per 100 units	130 per 100 units	2013/12/16	100,000,000	2013/06/20
61446	UBS AG	HSBC R Bull CBBC Dec 2013 C	0.250	67 per 100 units	70 per 100 units	2013/12/23	100,000,000	2013/06/20
61284	UBS AG	HSI R Bull CBBC Sep 2013 U	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2013/09/27	300,000,000	2013/06/20
61287	UBS AG	HSI R Bull CBBC Sep 2013 V	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2013/09/27	300,000,000	2013/06/20
61283	UBS AG	HSI R Bull CBBC Nov 2013 M	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61293	UBS AG	HSI R Bull CBBC Dec 2013 F	0.250	21,154 per 10,000 units	21,354 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61285	UBS AG	HSI R Bull CBBC Dec 2013 J	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/12/30	300,000,000	2013/06/20
61282	UBS AG	HSI R Bull CBBC Mar 2014 Z	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/03/28	300,000,000	2013/06/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61269	UBS AG	HSI R Bull CBBC Apr 2014 G	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61271	UBS AG	HSI R Bull CBBC Apr 2014 H	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61272	UBS AG	HSI R Bull CBBC Apr 2014 I	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/04/29	300,000,000	2013/06/20
61280	UBS AG	HSI R Bull CBBC May 2014 E	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/05/29	300,000,000	2013/06/20
61448	UBS AG	HSI R Bear CBBC Oct 2013 K	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61449	UBS AG	HSI R Bear CBBC Oct 2013 P	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61450	UBS AG	HSI R Bear CBBC Oct 2013 Q	0.250	21,350 per 10,000 units	21,150 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61451	UBS AG	HSI R Bear CBBC Oct 2013 R	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61452	UBS AG	HSI R Bear CBBC Oct 2013 T	0.250	20,983 per 10,000 units	20,783 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61455	UBS AG	HSI R Bear CBBC Oct 2013 U	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	300,000,000	2013/06/20
61447	UBS AG	HSI R Bear CBBC Nov 2013 M	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/11/28	300,000,000	2013/06/20
61445	UBS AG	Hutchison R Bull CBBC Jan 2014 A	0.250	64.50 per 100 units	67.50 per 100 units	2014/01/13	100,000,000	2013/06/20
61436	UBS AG	ICBC R Bull CBBC Feb 2014 B	0.250	4	4.25	2014/02/10	100,000,000	2013/06/20
61520	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 U	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/03/28	300,000,000	2013/06/21
61526	Credit Suisse AG	HSI R Bull CBBC Jan 2014 M	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/01/29	300,000,000	2013/06/21
61524	Credit Suisse AG	HSI R Bull CBBC Jan 2014 V	0.250	20,893 per 10,000 units	21,093 per 10,000 units	2014/01/29	300,000,000	2013/06/21
61525	Credit Suisse AG	HSI R Bull CBBC Jan 2014 W	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/01/29	300,000,000	2013/06/21
61494	Credit Suisse AG	HSI R Bear CBBC Nov 2013 K	0.250	21,088 per 12,000 units	20,888 per 12,000 units	2013/11/28	300,000,000	2013/06/21
61495	Credit Suisse AG	HSI R Bear CBBC Nov 2013 L	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61496	Credit Suisse AG	HSI R Bear CBBC Nov 2013 M	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61497	Credit Suisse AG	HSI R Bear CBBC Nov 2013 N	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61498	Credit Suisse AG	HSI R Bear CBBC Nov 2013 O	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61527	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 H	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2013/11/28	120,000,000	2013/06/21
61528	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 J	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2013/11/28	120,000,000	2013/06/21
61530	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 F	0.260	20,588 per 7,000 units	20,788 per 7,000 units	2013/10/30	150,000,000	2013/06/21
61531	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 G	0.260	19,838 per 10,000 units	20,038 per 10,000 units	2013/10/30	150,000,000	2013/06/21
61532	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 H	0.252	20,388 per 20,000 units	20,688 per 20,000 units	2013/10/30	150,000,000	2013/06/21
61533	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 I	0.250	19,188 per 20,000 units	19,488 per 20,000 units	2013/10/30	150,000,000	2013/06/21
61534	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 J	0.252	18,988 per 20,000 units	19,288 per 20,000 units	2013/10/30	150,000,000	2013/06/21
61492	HK Bank	China Mobile R Bull CBBC Dec 2013 C	0.250	63.78 per 100 units	66.28 per 100 units	2013/12/20	120,000,000	2013/06/21
61493	HK Bank	CC Bank R Bear CBBC Dec 2013 B	0.250	6.48	6.18	2013/12/20	40,000,000	2013/06/21
61491	HK Bank	HSCEI R Bear CBBC Jan 2014 B	0.250	10,288 per 10,000 units	10,088 per 10,000 units	2014/01/29	68,000,000	2013/06/21
61489	HK Bank	HSI R Bull CBBC Jan 2014 C	0.250	18,988 per 15,000 units	19,188 per 15,000 units	2014/01/29	100,000,000	2013/06/21
61490	HK Bank	HSI R Bull CBBC Jan 2014 D	0.250	18,788 per 15,000 units	18,988 per 15,000 units	2014/01/29	100,000,000	2013/06/21
61486	HK Bank	HSI R Bear CBBC Nov 2013 R	0.250	21,688 per 15,000 units	21,488 per 15,000 units	2013/11/28	100,000,000	2013/06/21
61487	HK Bank	HSI R Bear CBBC Nov 2013 S	0.250	21,488 per 15,000 units	21,288 per 15,000 units	2013/11/28	100,000,000	2013/06/21
61488	HK Bank	HSI R Bear CBBC Dec 2013 H	0.250	22,328 per 20,000 units	22,028 per 20,000 units	2013/12/30	400,000,000	2013/06/21
61519	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 E	0.250	20,988 per 10,000 units	21,088 per 10,000 units	2013/09/27	200,000,000	2013/06/21
61500	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 H	0.250	21,088 per 10,000 units	20,988 per 10,000 units	2013/10/30	200,000,000	2013/06/21
61501	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 I	0.250	21,288 per 10,000 units	21,188 per 10,000 units	2013/10/30	200,000,000	2013/06/21
61513	UBS AG	CAM CSI300 R Bull CBBC Dec 2013 A	0.295	27	28	2013/12/09	40,000,000	2013/06/21
61512	UBS AG	CAM CSI300 R Bear CBBC Feb 2014 A	0.415	34	33	2014/02/17	40,000,000	2013/06/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61515	UBS AG	Minsheng Bank R Bull CBBC Jan 2014 A	0.250	7.5	8	2014/01/20	50,000,000	2013/06/21
61518	UBS AG	Sinopec Corp R Bull CBBC Dec 2013 B	0.250	4.58	4.88	2013/12/16	100,000,000	2013/06/21
61516	UBS AG	China Shenhua R Bull CBBC Sep 2014 A	0.250	18.88 per 100 units	20.88 per 100 units	2014/09/29	100,000,000	2013/06/21
61523	UBS AG	HSI R Bull CBBC Oct 2013 E	0.250	20,893 per 10,000 units	21,093 per 10,000 units	2013/10/30	300,000,000	2013/06/21
61522	UBS AG	HSI R Bull CBBC Nov 2013 G	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61521	UBS AG	HSI R Bull CBBC Dec 2013 K	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/06/21
61510	UBS AG	HSI R Bull CBBC Apr 2014 J	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2014/04/29	300,000,000	2013/06/21
61508	UBS AG	HSI R Bull CBBC Aug 2014 D	0.260	18,300 per 10,000 units	18,500 per 10,000 units	2014/08/28	300,000,000	2013/06/21
61509	UBS AG	HSI R Bull CBBC Aug 2014 E	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2014/08/28	300,000,000	2013/06/21
61506	UBS AG	HSI R Bull CBBC Sep 2014 C	0.360	17,300 per 10,000 units	17,500 per 10,000 units	2014/09/29	300,000,000	2013/06/21
61507	UBS AG	HSI R Bull CBBC Sep 2014 D	0.310	17,800 per 10,000 units	18,000 per 10,000 units	2014/09/29	300,000,000	2013/06/21
61502	UBS AG	HSI R Bear CBBC Nov 2013 N	0.250	21,088 per 10,000 units	20,888 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61503	UBS AG	HSI R Bear CBBC Nov 2013 O	0.250	21,250 per 10,000 units	21,050 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61504	UBS AG	HSI R Bear CBBC Nov 2013 P	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61505	UBS AG	HSI R Bear CBBC Nov 2013 Q	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/11/28	300,000,000	2013/06/21
61517	UBS AG	Petrochina R Bull CBBC Dec 2013 A	0.250	7.1	7.5	2013/12/16	100,000,000	2013/06/21
61514	UBS AG	Ping An R Bull CBBC Dec 2013 A	0.250	43.50 per 100 units	47.50 per 100 units	2013/12/23	100,000,000	2013/06/21
61555	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 V	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/03/28	300,000,000	2013/06/24
61556	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 W	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/03/28	300,000,000	2013/06/24
61540	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 A	0.250	8.4	8.7	2014/01/24	50,000,000	2013/06/24
61541	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 B	0.250	8.08	8.38	2014/01/24	50,000,000	2013/06/24
61542	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 A	0.250	10.68	10.38	2014/01/24	50,000,000	2013/06/24
61543	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 B	0.250	10.28	9.98	2014/01/24	50,000,000	2013/06/24
61550	Credit Suisse AG	HSCEI R Bull CBBC Oct 2013 A	0.250	9,350 per 10,000 units	9,550 per 10,000 units	2013/10/30	150,000,000	2013/06/24
61551	Credit Suisse AG	HSCEI R Bull CBBC Oct 2013 B	0.250	9,100 per 10,000 units	9,300 per 10,000 units	2013/10/30	150,000,000	2013/06/24
61552	Credit Suisse AG	HSCEI R Bull CBBC Oct 2013 C	0.250	8,850 per 10,000 units	9,050 per 10,000 units	2013/10/30	150,000,000	2013/06/24
61553	Credit Suisse AG	HSCEI R Bear CBBC Oct 2013 A	0.250	10,500 per 10,000 units	10,300 per 10,000 units	2013/10/30	150,000,000	2013/06/24
61554	Credit Suisse AG	HSCEI R Bear CBBC Oct 2013 B	0.250	10,800 per 10,000 units	10,600 per 10,000 units	2013/10/30	150,000,000	2013/06/24
61575	Credit Suisse AG	HSI R Bull CBBC Feb 2014 F	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61570	Credit Suisse AG	HSI R Bull CBBC Feb 2014 W	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61571	Credit Suisse AG	HSI R Bull CBBC Feb 2014 X	0.250	20,900 per 12,000 units	21,100 per 12,000 units	2014/02/27	300,000,000	2013/06/24
61573	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Y	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61574	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Z	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61544	Credit Suisse AG	HSI R Bear CBBC Nov 2013 P	0.250	21,170 per 12,000 units	20,970 per 12,000 units	2013/11/28	300,000,000	2013/06/24
61545	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Q	0.250	21,250 per 10,000 units	21,050 per 10,000 units	2013/11/28	300,000,000	2013/06/24
61546	Credit Suisse AG	HSI R Bear CBBC Nov 2013 R	0.250	21,488 per 12,000 units	21,288 per 12,000 units	2013/11/28	300,000,000	2013/06/24
61579	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 I	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/11/28	120,000,000	2013/06/24
61580	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 J	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/11/28	120,000,000	2013/06/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61577	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 K	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2013/11/28	120,000,000	2013/06/24
61578	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 L	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/11/28	120,000,000	2013/06/24
61594	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 D	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/06/24
61591	J P Morgan SP BV	HSI R Bull CBBC Dec 2013 H	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/12/30	300,000,000	2013/06/24
61590	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 D	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/01/29	300,000,000	2013/06/24
61593	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 E	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/01/29	300,000,000	2013/06/24
61589	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 D	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61592	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 E	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61585	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 V	0.250	22,150 per 12,000 units	21,950 per 12,000 units	2013/11/28	300,000,000	2013/06/24
61588	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 W	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/11/28	300,000,000	2013/06/24
61587	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 N	0.250	22,050 per 12,000 units	21,850 per 12,000 units	2013/12/30	300,000,000	2013/06/24
61581	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 J	0.250	19,628 per 10,000 units	19,828 per 10,000 units	2013/12/30	100,000,000	2013/06/24
61582	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 K	0.250	19,928 per 10,000 units	20,128 per 10,000 units	2013/12/30	100,000,000	2013/06/24
61583	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 L	0.250	20,928 per 10,000 units	21,128 per 10,000 units	2013/12/30	100,000,000	2013/06/24
61584	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 M	0.250	20,728 per 10,000 units	20,928 per 10,000 units	2013/12/30	100,000,000	2013/06/24
61535	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 N	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/10/30	100,000,000	2013/06/24
61549	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 C	0.250	21,088 per 10,000 units	21,188 per 10,000 units	2013/09/27	200,000,000	2013/06/24
61548	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 D	0.250	20,888 per 10,000 units	20,988 per 10,000 units	2013/09/27	200,000,000	2013/06/24
61547	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 N	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2013/10/30	200,000,000	2013/06/24
61568	UBS AG	HSCEI R Bull CBBC May 2014 B	0.250	8,050 per 10,000 units	8,250 per 10,000 units	2014/05/29	100,000,000	2013/06/24
61567	UBS AG	HSCEI R Bear CBBC Oct 2013 C	0.250	10,450 per 10,000 units	10,250 per 10,000 units	2013/10/30	100,000,000	2013/06/24
61563	UBS AG	HSI R Bull CBBC Oct 2013 H	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/10/30	300,000,000	2013/06/24
61564	UBS AG	HSI R Bull CBBC Feb 2014 Q	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61565	UBS AG	HSI R Bull CBBC Feb 2014 R	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61566	UBS AG	HSI R Bull CBBC Feb 2014 S	0.250	21,051 per 10,000 units	21,251 per 10,000 units	2014/02/27	300,000,000	2013/06/24
61558	UBS AG	HSI R Bull CBBC Jul 2014 B	0.280	18,500 per 10,000 units	18,700 per 10,000 units	2014/07/30	300,000,000	2013/06/24
61559	UBS AG	HSI R Bull CBBC Jul 2014 C	0.260	18,700 per 10,000 units	18,900 per 10,000 units	2014/07/30	300,000,000	2013/06/24
61557	UBS AG	HSI R Bull CBBC Aug 2014 F	0.450	16,800 per 10,000 units	17,000 per 10,000 units	2014/08/28	300,000,000	2013/06/24
61539	UBS AG	HSI R Bear CBBC Nov 2013 R	0.250	21,170 per 10,000 units	20,970 per 10,000 units	2013/11/28	300,000,000	2013/06/24
61536	UBS AG	HSI R Bear CBBC Dec 2013 K	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2013/12/30	300,000,000	2013/06/24
61538	UBS AG	HSI R Bear CBBC Dec 2013 L	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2013/12/30	300,000,000	2013/06/24
61569	UBS AG	ICBC R Bear CBBC Dec 2013 A	0.250	5.75	5.5	2013/12/02	100,000,000	2013/06/24
61616	Credit Suisse AG	HSI R Bull CBBC Mar 2014 G	0.250	21,025 per 12,000 units	21,225 per 12,000 units	2014/03/28	300,000,000	2013/06/25
61617	Credit Suisse AG	HSI R Bull CBBC Mar 2014 H	0.250	20,788 per 12,000 units	20,988 per 12,000 units	2014/03/28	300,000,000	2013/06/25
61620	Credit Suisse AG	HSI R Bear CBBC Nov 2013 S	0.250	21,291 per 12,000 units	21,091 per 12,000 units	2013/11/28	300,000,000	2013/06/25
61622	Credit Suisse AG	HSI R Bear CBBC Nov 2013 T	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/11/28	300,000,000	2013/06/25
61624	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 L	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/11/28	120,000,000	2013/06/25
61625	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 M	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/11/28	120,000,000	2013/06/25
61597	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 A	0.255	21,688 per 7,000 units	21,488 per 7,000 units	2013/10/30	150,000,000	2013/06/25
61599	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 B	0.254	21,488 per 7,000 units	21,288 per 7,000 units	2013/10/30	150,000,000	2013/06/25
61600	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 C	0.254	21,638 per 10,000 units	21,438 per 10,000 units	2013/10/30	150,000,000	2013/06/25
61601	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 D	0.250	22,088 per 20,000 units	21,788 per 20,000 units	2013/10/30	150,000,000	2013/06/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61602	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 E	0.250	21,888 per 20,000 units	21,588 per 20,000 units	2013/10/30	150,000,000	2013/06/25
61612	HK Bank	Sinopec Corp R Bull CBBC Jan 2014 A	0.250	4.28	4.58	2014/01/27	80,000,000	2013/06/25
61610	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2014 A	0.250	8.18	8.48	2014/01/27	80,000,000	2013/06/25
61611	HK Bank	CSOP A50 ETF R Bear CBBC Dec 2013 A	0.250	10.58	10.28	2013/12/09	40,000,000	2013/06/25
61608	HK Bank	A50 R Bull CBBC Dec 2013 A	0.250	8.98	9.28	2013/12/02	100,000,000	2013/06/25
61609	HK Bank	A50 R Bull CBBC Jan 2014 A	0.250	8.58	8.88	2014/01/20	100,000,000	2013/06/25
61607	HK Bank	HSCEI R Bull CBBC Feb 2014 A	0.250	7,988 per 10,000 units	8,188 per 10,000 units	2014/02/27	100,000,000	2013/06/25
61606	HK Bank	HSI R Bull CBBC Oct 2013 M	0.250	20,688 per 15,000 units	20,888 per 15,000 units	2013/10/30	100,000,000	2013/06/25
61603	HK Bank	HSI R Bull CBBC Nov 2013 P	0.250	20,488 per 15,000 units	20,688 per 15,000 units	2013/11/28	100,000,000	2013/06/25
61618	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 A	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/09/27	200,000,000	2013/06/25
61619	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 J	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2013/10/30	200,000,000	2013/06/25
61614	UBS AG	HSI R Bull CBBC Nov 2013 I	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/11/28	300,000,000	2013/06/25
61613	UBS AG	HSI R Bull CBBC Dec 2013 L	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/06/25
61615	UBS AG	HSI R Bull CBBC Dec 2013 Z	0.250	21,025 per 10,000 units	21,225 per 10,000 units	2013/12/30	300,000,000	2013/06/25
61623	UBS AG	HSI R Bear CBBC Feb 2014 B	0.250	21,291 per 10,000 units	21,091 per 10,000 units	2014/02/27	300,000,000	2013/06/25
61636	Credit Suisse AG	HSI R Bull CBBC Feb 2014 G	0.250	20,988 per 12,000 units	21,188 per 12,000 units	2014/02/27	300,000,000	2013/06/26
61637	Credit Suisse AG	HSI R Bull CBBC Feb 2014 H	0.250	20,818 per 10,000 units	21,018 per 10,000 units	2014/02/27	300,000,000	2013/06/26
61639	Credit Suisse AG	HSI R Bear CBBC Nov 2013 U	0.250	21,168 per 10,000 units	20,968 per 10,000 units	2013/11/28	300,000,000	2013/06/26
61640	Credit Suisse AG	HSI R Bear CBBC Nov 2013 V	0.250	21,388 per 12,000 units	21,188 per 12,000 units	2013/11/28	300,000,000	2013/06/26
61647	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 M	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/10/30	120,000,000	2013/06/26
61650	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 K	0.250	21,250 per 10,000 units	21,050 per 10,000 units	2013/10/30	120,000,000	2013/06/26
61651	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 F	0.254	21,288 per 7,000 units	21,088 per 7,000 units	2013/10/30	150,000,000	2013/06/26
61652	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 G	0.250	21,688 per 20,000 units	21,388 per 20,000 units	2013/10/30	150,000,000	2013/06/26
61626	HK Bank	China Mobile R Bull CBBC Dec 2013 D	0.250	71.48 per 100 units	73.98 per 100 units	2013/12/09	120,000,000	2013/06/26
61628	HK Bank	CC Bank R Bull CBBC Nov 2013 A	0.250	4.98	5.28	2013/11/25	80,000,000	2013/06/26
61627	HK Bank	CC Bank R Bull CBBC Dec 2013 A	0.250	4.68	4.98	2013/12/23	80,000,000	2013/06/26
61630	HK Bank	China Life R Bull CBBC Dec 2013 B	0.460	14.68	15.68	2013/12/09	60,000,000	2013/06/26
61629	HK Bank	ICBC R Bull CBBC Dec 2013 B	0.250	4.08	4.33	2013/12/23	80,000,000	2013/06/26
61643	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 X	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/11/28	300,000,000	2013/06/26
61646	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Y	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/06/26
61642	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 O	0.250	21,500 per 12,000 units	21,300 per 12,000 units	2013/12/30	300,000,000	2013/06/26
61644	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 P	0.250	21,750 per 12,000 units	21,550 per 12,000 units	2013/12/30	300,000,000	2013/06/26
61632	UBS AG	HSI R Bull CBBC Mar 2014 A	0.250	20,875 per 12,000 units	21,075 per 12,000 units	2014/03/28	350,000,000	2013/06/26
61633	UBS AG	HSI R Bull CBBC Mar 2014 B	0.250	21,020 per 12,000 units	21,220 per 12,000 units	2014/03/28	350,000,000	2013/06/26
61631	UBS AG	HSI R Bull CBBC Apr 2014 K	0.250	20,725 per 12,000 units	20,925 per 12,000 units	2014/04/29	350,000,000	2013/06/26
61641	UBS AG	HSI R Bear CBBC Dec 2013 M	0.250	21,175 per 12,000 units	20,975 per 12,000 units	2013/12/30	350,000,000	2013/06/26
61694	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 I	0.250	8.1	8.4	2013/12/30	200,000,000	2013/06/27
61692	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 G	0.250	8,300 per 5,000 units	8,500 per 5,000 units	2013/12/30	200,000,000	2013/06/27
61702	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 A	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/02/27	300,000,000	2013/06/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61703	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 B	0.250	19,550 per 10,000 units	19,750 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61704	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 C	0.250	19,450 per 10,000 units	19,650 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61705	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 D	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61706	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 E	0.250	19,250 per 10,000 units	19,450 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61679	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 P	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61676	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 X	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61677	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Y	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61678	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Z	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61707	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 L	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61708	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 M	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61709	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 P	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61695	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 G	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61697	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 H	0.250	21,350 per 10,000 units	21,150 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61698	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 I	0.250	21,250 per 10,000 units	21,050 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61699	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61700	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61701	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 L	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61762	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 M	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61670	Credit Suisse AG	HSI R Bull CBBC Apr 2014 F	0.250	20,786 per 10,000 units	20,986 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61671	Credit Suisse AG	HSI R Bull CBBC Apr 2014 G	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61672	Credit Suisse AG	HSI R Bull CBBC Apr 2014 H	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61673	Credit Suisse AG	HSI R Bull CBBC Apr 2014 I	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61675	Credit Suisse AG	HSI R Bull CBBC Apr 2014 J	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/04/29	300,000,000	2013/06/27
61710	Credit Suisse AG	HSI R Bull CBBC May 2014 A	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2014/05/29	300,000,000	2013/06/27
61711	Credit Suisse AG	HSI R Bull CBBC May 2014 B	0.265	18,000 per 10,000 units	18,200 per 10,000 units	2014/05/29	300,000,000	2013/06/27
61713	Credit Suisse AG	HSI R Bull CBBC May 2014 C	0.275	17,900 per 10,000 units	18,100 per 10,000 units	2014/05/29	300,000,000	2013/06/27
61714	Credit Suisse AG	HSI R Bull CBBC Jul 2014 A	0.315	17,500 per 10,000 units	17,700 per 10,000 units	2014/07/30	300,000,000	2013/06/27
61715	Credit Suisse AG	HSI R Bull CBBC Jul 2014 B	0.355	17,100 per 10,000 units	17,300 per 10,000 units	2014/07/30	300,000,000	2013/06/27
61716	Credit Suisse AG	HSI R Bull CBBC Aug 2014 A	0.385	16,800 per 10,000 units	17,000 per 10,000 units	2014/08/28	300,000,000	2013/06/27
61717	Credit Suisse AG	HSI R Bull CBBC Aug 2014 B	0.405	16,600 per 10,000 units	16,800 per 10,000 units	2014/08/28	300,000,000	2013/06/27
61718	Credit Suisse AG	HSI R Bull CBBC Aug 2014 C	0.250	16,388 per 20,000 units	16,688 per 20,000 units	2014/08/28	300,000,000	2013/06/27
61719	Credit Suisse AG	HSI R Bear CBBC Nov 2013 W	0.250	20,583 per 10,000 units	20,383 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61720	Credit Suisse AG	HSI R Bear CBBC Nov 2013 X	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61721	Credit Suisse AG	HSI R Bear CBBC Dec 2013 D	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61722	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61723	Credit Suisse AG	HSI R Bear CBBC Dec 2013 F	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61725	Credit Suisse AG	HSI R Bear CBBC Dec 2013 G	0.250	21,688 per 20,000 units	21,388 per 20,000 units	2013/12/30	300,000,000	2013/06/27
61680	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 N	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61682	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 O	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61757	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 P	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61758	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Q	0.250	20,180 per 10,000 units	20,380 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61681	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Y	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61748	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 E	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2014/03/28	120,000,000	2013/06/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61749	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 F	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/03/28	120,000,000	2013/06/27
61755	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 G	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/03/28	120,000,000	2013/06/27
61759	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 L	0.250	20,588 per 10,000 units	20,388 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61760	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 M	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61761	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 N	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/10/30	120,000,000	2013/06/27
61743	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 H	0.252	21,088 per 7,000 units	20,888 per 7,000 units	2013/10/30	150,000,000	2013/06/27
61744	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 I	0.250	20,888 per 7,000 units	20,688 per 7,000 units	2013/10/30	150,000,000	2013/06/27
61745	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 J	0.256	20,688 per 7,000 units	20,488 per 7,000 units	2013/10/30	150,000,000	2013/06/27
61747	Goldman Sachs SP (Asia)	HSI R Bear CBBC Oct 2013 K	0.251	21,288 per 20,000 units	20,988 per 20,000 units	2013/10/30	150,000,000	2013/06/27
61656	HK Bank	AIA R Bull CBBC Dec 2013 C	0.250	30.78	31.78	2013/12/09	60,000,000	2013/06/27
61655	HK Bank	CAM CSI300 R Bull CBBC Jan 2014 A	0.450	25.48	26.48	2014/01/27	60,000,000	2013/06/27
61660	HK Bank	BOCL R Bear CBBC Feb 2014 A	0.250	3.86	3.68	2014/02/20	40,000,000	2013/06/27
61658	HK Bank	CPIC R Bull CBBC Jan 2014 A	0.540	20.18	21.18	2014/01/27	60,000,000	2013/06/27
61653	HK Bank	A50 R Bear CBBC Nov 2013 C	0.250	10.38	10.08	2013/11/04	50,000,000	2013/06/27
61654	HK Bank	A50 R Bear CBBC Nov 2013 D	0.250	10.78	10.48	2013/11/25	50,000,000	2013/06/27
61659	HK Bank	Lenovo R Bear CBBC Dec 2013 A	0.250	8.78	8.28	2013/12/23	40,000,000	2013/06/27
61657	HK Bank	Ping An R Bull CBBC Nov 2013 B	0.250	36.88 per 100 units	40.88 per 100 units	2013/11/25	60,000,000	2013/06/27
61773	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 F	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61776	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 G	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61779	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 H	0.250	19,450 per 10,000 units	19,650 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61782	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 I	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2014/01/29	300,000,000	2013/06/27
61772	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 F	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61775	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 G	0.250	19,100 per 10,000 units	19,300 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61778	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 H	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61781	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 I	0.250	19,550 per 10,000 units	19,750 per 10,000 units	2014/02/27	300,000,000	2013/06/27
61770	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 B	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61774	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 C	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61777	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 D	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61780	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 E	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/03/28	300,000,000	2013/06/27
61768	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 B	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61765	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Z	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61763	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 Q	0.250	21,350 per 12,000 units	21,150 per 12,000 units	2013/12/30	300,000,000	2013/06/27
61766	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 R	0.250	21,200 per 12,000 units	21,000 per 12,000 units	2013/12/30	300,000,000	2013/06/27
61769	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 S	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61661	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 B	0.250	20,788 per 10,000 units	20,888 per 10,000 units	2013/09/27	200,000,000	2013/06/27
61662	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 F	0.250	20,588 per 10,000 units	20,688 per 10,000 units	2013/09/27	200,000,000	2013/06/27
61663	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 H	0.250	20,388 per 10,000 units	20,488 per 10,000 units	2013/09/27	200,000,000	2013/06/27
61688	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 I	0.250	20,288 per 10,000 units	20,388 per 10,000 units	2013/09/27	200,000,000	2013/06/27
61664	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 O	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2013/10/30	200,000,000	2013/06/27
61666	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 P	0.250	19,488 per 10,000 units	19,588 per 10,000 units	2013/10/30	200,000,000	2013/06/27
61668	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 Q	0.250	19,288 per 10,000 units	19,388 per 10,000 units	2013/10/30	200,000,000	2013/06/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61689	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 R	0.250	19,088 per 10,000 units	19,188 per 10,000 units	2013/10/30	200,000,000	2013/06/27
61690	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 K	0.250	20,588 per 10,000 units	20,488 per 10,000 units	2013/10/30	200,000,000	2013/06/27
61691	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 L	0.250	20,788 per 10,000 units	20,688 per 10,000 units	2013/10/30	200,000,000	2013/06/27
61732	UBS AG	COVS R Bull CBBC Dec 2013 A	0.375	16	17	2013/12/16	50,000,000	2013/06/27
61730	UBS AG	CC Bank R Bull CBBC Jan 2014 B	0.250	4.45	4.75	2014/01/21	100,000,000	2013/06/27
61729	UBS AG	CC Bank R Bull CBBC Mar 2014 A	0.250	3.95	4.25	2014/03/11	100,000,000	2013/06/27
61728	UBS AG	HSCEI R Bull CBBC May 2014 C	0.250	7,300 per 10,000 units	7,500 per 10,000 units	2014/05/29	100,000,000	2013/06/27
61726	UBS AG	HSCEI R Bear CBBC Sep 2013 E	0.250	10,200 per 10,000 units	10,000 per 10,000 units	2013/09/27	100,000,000	2013/06/27
61686	UBS AG	HSI R Bull CBBC Oct 2013 I	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2013/10/30	300,000,000	2013/06/27
61685	UBS AG	HSI R Bull CBBC Nov 2013 N	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61684	UBS AG	HSI R Bull CBBC Dec 2013 A	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61683	UBS AG	HSI R Bull CBBC Dec 2013 M	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61687	UBS AG	HSI R Bull CBBC Dec 2013 N	0.250	20,786 per 10,000 units	20,986 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61735	UBS AG	HSI R Bull CBBC Dec 2013 T	0.250	19,975 per 10,000 units	20,175 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61740	UBS AG	HSI R Bull CBBC Jul 2014 D	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2014/07/30	300,000,000	2013/06/27
61742	UBS AG	HSI R Bull CBBC Aug 2014 G	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2014/08/28	300,000,000	2013/06/27
61737	UBS AG	HSI R Bear CBBC Nov 2013 S	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61738	UBS AG	HSI R Bear CBBC Nov 2013 T	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61739	UBS AG	HSI R Bear CBBC Nov 2013 U	0.250	20,583 per 10,000 units	20,383 per 10,000 units	2013/11/28	300,000,000	2013/06/27
61736	UBS AG	HSI R Bear CBBC Dec 2013 N	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/06/27
61734	UBS AG	ICBC R Bull CBBC Dec 2013 A	0.250	3.75	4	2013/12/30	100,000,000	2013/06/27
61733	UBS AG	ICBC R Bear CBBC Nov 2013 B	0.250	5.25	5	2013/11/25	100,000,000	2013/06/27
61731	UBS AG	TCH R Bear CBBC Dec 2013 C	0.280	318 per 100 units	310 per 100 units	2013/12/23	100,000,000	2013/06/27
61807	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 J	0.250	7.8	8.1	2013/12/30	200,000,000	2013/06/28
61808	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2013 K	0.250	8.4	8.7	2013/12/30	200,000,000	2013/06/28
61811	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 F	0.250	18,950 per 10,000 units	19,150 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61812	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 G	0.250	19,050 per 10,000 units	19,250 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61813	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 H	0.250	19,150 per 10,000 units	19,350 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61814	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 I	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61815	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 J	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61816	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 K	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61817	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 L	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61853	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 N	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61856	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 O	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61857	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 P	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61831	Credit Suisse AG	HSI R Bull CBBC Mar 2014 I	0.250	20,182 per 12,000 units	20,382 per 12,000 units	2014/03/28	300,000,000	2013/06/28
61836	Credit Suisse AG	HSI R Bull CBBC Mar 2014 J	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61837	Credit Suisse AG	HSI R Bull CBBC Mar 2014 K	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61838	Credit Suisse AG	HSI R Bull CBBC Mar 2014 L	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61839	Credit Suisse AG	HSI R Bull CBBC Mar 2014 M	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/03/28	300,000,000	2013/06/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61870	Credit Suisse AG	HSI R Bull CBBC Jun 2014 A	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2014/06/27	300,000,000	2013/06/28
61871	Credit Suisse AG	HSI R Bull CBBC Jun 2014 B	0.285	17,400 per 10,000 units	17,600 per 10,000 units	2014/06/27	300,000,000	2013/06/28
61872	Credit Suisse AG	HSI R Bull CBBC Jun 2014 C	0.325	17,000 per 10,000 units	17,200 per 10,000 units	2014/06/27	300,000,000	2013/06/28
61873	Credit Suisse AG	HSI R Bull CBBC Jun 2014 D	0.335	16,900 per 10,000 units	17,100 per 10,000 units	2014/06/27	300,000,000	2013/06/28
61874	Credit Suisse AG	HSI R Bull CBBC Jun 2014 E	0.395	16,300 per 10,000 units	16,500 per 10,000 units	2014/06/27	300,000,000	2013/06/28
61875	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Y	0.250	20,285 per 10,000 units	20,085 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61877	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Z	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61878	Credit Suisse AG	HSI R Bear CBBC Dec 2013 H	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61879	Credit Suisse AG	HSI R Bear CBBC Dec 2013 I	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61880	Credit Suisse AG	HSI R Bear CBBC Dec 2013 J	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61861	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 R	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2013/10/30	120,000,000	2013/06/28
61858	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 H	0.250	18,800 per 10,000 units	19,000 per 10,000 units	2014/03/28	120,000,000	2013/06/28
61859	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 I	0.250	18,900 per 10,000 units	19,100 per 10,000 units	2014/03/28	120,000,000	2013/06/28
61860	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 J	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2014/03/28	120,000,000	2013/06/28
61863	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 O	0.250	20,288 per 10,000 units	20,088 per 10,000 units	2013/10/30	120,000,000	2013/06/28
61866	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 P	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2013/10/30	120,000,000	2013/06/28
61919	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 K	0.259	19,788 per 7,000 units	19,988 per 7,000 units	2013/10/30	150,000,000	2013/06/28
61920	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 L	0.254	19,988 per 7,000 units	20,188 per 7,000 units	2013/10/30	150,000,000	2013/06/28
61922	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 M	0.253	19,438 per 10,000 units	19,638 per 10,000 units	2013/10/30	150,000,000	2013/06/28
61923	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 N	0.259	18,588 per 20,000 units	18,888 per 20,000 units	2013/10/30	150,000,000	2013/06/28
61924	Goldman Sachs SP (Asia)	HSI R Bull CBBC Oct 2013 O	0.262	18,788 per 20,000 units	19,088 per 20,000 units	2013/10/30	150,000,000	2013/06/28
61799	HK Bank	CSOP A50 ETF R Bull CBBC Feb 2014 A	0.250	7.88	8.18	2014/02/17	80,000,000	2013/06/28
61797	HK Bank	A50 R Bull CBBC Jan 2014 B	0.250	8.18	8.48	2014/01/13	100,000,000	2013/06/28
61798	HK Bank	A50 R Bull CBBC Jan 2014 C	0.250	7.78	8.08	2014/01/27	100,000,000	2013/06/28
61796	HK Bank	HSCEI R Bull CBBC Mar 2014 A	0.250	7,688 per 10,000 units	7,888 per 10,000 units	2014/03/28	100,000,000	2013/06/28
61792	HK Bank	HSI R Bull CBBC Dec 2013 M	0.250	18,588 per 15,000 units	18,788 per 15,000 units	2013/12/30	100,000,000	2013/06/28
61793	HK Bank	HSI R Bull CBBC Jan 2014 E	0.250	18,188 per 15,000 units	18,388 per 15,000 units	2014/01/29	100,000,000	2013/06/28
61794	HK Bank	HSI R Bull CBBC Jan 2014 F	0.250	17,328 per 20,000 units	17,628 per 20,000 units	2014/01/29	400,000,000	2013/06/28
61795	HK Bank	HSI R Bear CBBC Dec 2013 I	0.250	21,288 per 15,000 units	21,088 per 15,000 units	2013/12/30	100,000,000	2013/06/28
61800	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 J	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61803	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 K	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61940	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 L	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61941	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 M	0.250	19,250 per 10,000 units	19,450 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61802	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 J	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61935	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 K	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61937	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 L	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61939	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 M	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61943	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 N	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2014/02/27	300,000,000	2013/06/28
61801	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 F	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61929	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 G	0.250	17,800 per 10,000 units	18,000 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61936	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 H	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61938	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 I	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2014/03/28	300,000,000	2013/06/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61942	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 J	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61933	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 T	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61932	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 A	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2014/01/29	300,000,000	2013/06/28
61934	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 B	0.250	21,250 per 12,000 units	21,050 per 12,000 units	2014/01/29	300,000,000	2013/06/28
61915	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 N	0.250	19,128 per 10,000 units	19,328 per 10,000 units	2013/12/30	100,000,000	2013/06/28
61916	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 O	0.250	18,828 per 10,000 units	19,028 per 10,000 units	2013/12/30	100,000,000	2013/06/28
61917	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 P	0.250	18,528 per 10,000 units	18,728 per 10,000 units	2013/12/30	100,000,000	2013/06/28
61913	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 N	0.250	20,788 per 10,000 units	20,588 per 10,000 units	2013/12/30	100,000,000	2013/06/28
61914	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 O	0.250	20,488 per 10,000 units	20,288 per 10,000 units	2013/12/30	100,000,000	2013/06/28
61804	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 G	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2013/11/28	100,000,000	2013/06/28
61805	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 H	0.250	19,000 per 10,000 units	19,200 per 10,000 units	2013/11/28	100,000,000	2013/06/28
61806	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 O	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	100,000,000	2013/06/28
61818	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 J	0.250	20,188 per 10,000 units	20,288 per 10,000 units	2013/09/27	200,000,000	2013/06/28
61821	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 L	0.250	19,988 per 10,000 units	20,088 per 10,000 units	2013/09/27	200,000,000	2013/06/28
61823	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Sep13 M	0.250	19,888 per 10,000 units	19,988 per 10,000 units	2013/09/27	200,000,000	2013/06/28
61824	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 S	0.250	18,988 per 10,000 units	19,088 per 10,000 units	2013/10/30	200,000,000	2013/06/28
61825	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 T	0.250	18,888 per 10,000 units	18,988 per 10,000 units	2013/10/30	200,000,000	2013/06/28
61852	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 U	0.250	18,300 per 15,000 units	18,500 per 15,000 units	2013/10/30	200,000,000	2013/06/28
61846	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 M	0.250	20,188 per 10,000 units	20,088 per 10,000 units	2013/10/30	200,000,000	2013/06/28
61847	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 N	0.250	20,388 per 10,000 units	20,288 per 10,000 units	2013/10/30	200,000,000	2013/06/28
61848	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 O	0.250	20,688 per 10,000 units	20,588 per 10,000 units	2013/10/30	200,000,000	2013/06/28
61849	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 P	0.250	21,700 per 15,000 units	21,500 per 15,000 units	2013/10/30	200,000,000	2013/06/28
61869	UBS AG	ABC R Bull CBBC Jul 2014 A	0.250	2.63	2.88	2014/07/28	100,000,000	2013/06/28
61907	UBS AG	BOCL R Bull CBBC Jul 2014 A	0.250	2.62	2.8	2014/07/21	100,000,000	2013/06/28
61867	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2014 B	0.250	8.45	8.75	2014/01/13	100,000,000	2013/06/28
61910	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2014 A	0.250	7.45	7.75	2014/03/10	100,000,000	2013/06/28
61909	UBS AG	A50 China R Bull CBBC Feb 2014 C	0.250	8.45	8.75	2014/02/17	100,000,000	2013/06/28
61908	UBS AG	A50 China R Bull CBBC Apr 2014 A	0.250	7.45	7.75	2014/04/07	100,000,000	2013/06/28
61906	UBS AG	HSCEI R Bull CBBC Apr 2014 A	0.250	7,550 per 10,000 units	7,750 per 10,000 units	2014/04/29	100,000,000	2013/06/28
61905	UBS AG	HSCEI R Bear CBBC Oct 2013 D	0.250	9,950 per 10,000 units	9,750 per 10,000 units	2013/10/30	100,000,000	2013/06/28
61842	UBS AG	HSI R Bull CBBC Nov 2013 H	0.250	20,182 per 10,000 units	20,382 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61840	UBS AG	HSI R Bull CBBC Nov 2013 O	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61841	UBS AG	HSI R Bull CBBC Dec 2013 Y	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61899	UBS AG	HSI R Bull CBBC Mar 2014 C	0.300	17,100 per 10,000 units	17,300 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61902	UBS AG	HSI R Bull CBBC Mar 2014 D	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2014/03/28	300,000,000	2013/06/28
61895	UBS AG	HSI R Bull CBBC May 2014 F	0.380	16,300 per 10,000 units	16,500 per 10,000 units	2014/05/29	300,000,000	2013/06/28
61897	UBS AG	HSI R Bull CBBC Sep 2014 E	0.250	16,900 per 38,000 units	17,300 per 38,000 units	2014/09/29	300,000,000	2013/06/28
61901	UBS AG	HSI R Bull CBBC Sep 2014 F	0.250	17,400 per 38,000 units	17,800 per 38,000 units	2014/09/29	300,000,000	2013/06/28
61903	UBS AG	HSI R Bull CBBC Dec 2014 A	0.250	18,000 per 10,000 units	18,200 per 10,000 units	2014/12/30	300,000,000	2013/06/28
61904	UBS AG	HSI R Bull CBBC Dec 2014 B	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2014/12/30	300,000,000	2013/06/28
61881	UBS AG	HSI R Bear CBBC Nov 2013 V	0.250	20,285 per 10,000 units	20,085 per 10,000 units	2013/11/28	300,000,000	2013/06/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61882	UBS AG	HSI R Bear CBBC Nov 2013 W	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61883	UBS AG	HSI R Bear CBBC Nov 2013 X	0.250	20,550 per 10,000 units	20,350 per 10,000 units	2013/11/28	300,000,000	2013/06/28
61887	UBS AG	HSI R Bear CBBC Dec 2013 O	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61890	UBS AG	HSI R Bear CBBC Dec 2013 P	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/06/28
61892	UBS AG	HSI R Bear CBBC Dec 2013 Q	0.250	21,700 per 38,000 units	21,300 per 38,000 units	2013/12/30	300,000,000	2013/06/28
61893	UBS AG	HSI R Bear CBBC Dec 2013 R	0.250	22,200 per 38,000 units	21,800 per 38,000 units	2013/12/30	300,000,000	2013/06/28
61868	UBS AG	ICBC R Bull CBBC Jun 2014 A	0.250	3.5	3.75	2014/06/30	100,000,000	2013/06/28
61977	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 H	0.250	8,000 per 5,000 units	8,200 per 5,000 units	2013/12/30	200,000,000	2013/07/02
61947	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 M	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/02/27	300,000,000	2013/07/02
61990	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 N	0.250	18,650 per 10,000 units	18,850 per 10,000 units	2014/02/27	300,000,000	2013/07/02
61991	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 O	0.250	18,750 per 10,000 units	18,950 per 10,000 units	2014/02/27	300,000,000	2013/07/02
61992	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 P	0.250	18,850 per 10,000 units	19,050 per 10,000 units	2014/02/27	300,000,000	2013/07/02
61993	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 Q	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/02/27	300,000,000	2013/07/02
61986	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Q	0.250	17,600 per 10,000 units	17,800 per 10,000 units	2014/04/29	300,000,000	2013/07/02
61987	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 W	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2014/04/29	300,000,000	2013/07/02
61989	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 X	0.250	18,100 per 10,000 units	18,300 per 10,000 units	2014/04/29	300,000,000	2013/07/02
61978	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Q	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61979	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 R	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61981	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 S	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61982	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 T	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61983	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 U	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61984	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 V	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2014/01/29	300,000,000	2013/07/02
61985	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62059	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 X	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62078	Credit Suisse AG	HSCEI R Bull CBBC Oct 2013 D	0.250	8,650 per 10,000 units	8,850 per 10,000 units	2013/10/30	150,000,000	2013/07/02
62079	Credit Suisse AG	HSCEI R Bull CBBC Oct 2013 E	0.250	8,450 per 10,000 units	8,650 per 10,000 units	2013/10/30	150,000,000	2013/07/02
62080	Credit Suisse AG	HSCEI R Bear CBBC Oct 2013 C	0.250	9,500 per 10,000 units	9,300 per 10,000 units	2013/10/30	150,000,000	2013/07/02
62081	Credit Suisse AG	HSCEI R Bear CBBC Oct 2013 D	0.250	9,700 per 10,000 units	9,500 per 10,000 units	2013/10/30	150,000,000	2013/07/02
61948	Credit Suisse AG	HSI R Bull CBBC Jun 2014 F	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/06/27	300,000,000	2013/07/02
61949	Credit Suisse AG	HSI R Bull CBBC Jun 2014 G	0.250	19,900 per 10,000 units	20,100 per 10,000 units	2014/06/27	300,000,000	2013/07/02
61950	Credit Suisse AG	HSI R Bull CBBC Jun 2014 H	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/06/27	300,000,000	2013/07/02
61951	Credit Suisse AG	HSI R Bull CBBC Jun 2014 I	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/06/27	300,000,000	2013/07/02
61952	Credit Suisse AG	HSI R Bull CBBC Jun 2014 J	0.250	19,588 per 12,000 units	19,788 per 12,000 units	2014/06/27	300,000,000	2013/07/02
61997	Credit Suisse AG	HSI R Bull CBBC Jun 2014 K	0.450	15,600 per 10,000 units	15,800 per 10,000 units	2014/06/27	300,000,000	2013/07/02
61998	Credit Suisse AG	HSI R Bull CBBC Jun 2014 L	0.480	15,300 per 10,000 units	15,500 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62013	Credit Suisse AG	HSI R Bull CBBC Jun 2014 M	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62014	Credit Suisse AG	HSI R Bull CBBC Jun 2014 N	0.250	17,288 per 20,000 units	17,588 per 20,000 units	2014/06/27	300,000,000	2013/07/02
62015	Credit Suisse AG	HSI R Bull CBBC Jun 2014 O	0.310	17,000 per 10,000 units	17,200 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62016	Credit Suisse AG	HSI R Bull CBBC Jun 2014 P	0.360	16,500 per 10,000 units	16,700 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62018	Credit Suisse AG	HSI R Bull CBBC Jun 2014 Q	0.380	16,300 per 10,000 units	16,500 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62019	Credit Suisse AG	HSI R Bull CBBC Jun 2014 R	0.390	16,200 per 10,000 units	16,400 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62020	Credit Suisse AG	HSI R Bull CBBC Jun 2014 S	0.410	16,000 per 10,000 units	16,200 per 10,000 units	2014/06/27	300,000,000	2013/07/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62024	Credit Suisse AG	HSI R Bull CBBC Jun 2014 T	0.420	15,900 per 10,000 units	16,100 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62025	Credit Suisse AG	HSI R Bull CBBC Jun 2014 U	0.430	15,800 per 10,000 units	16,000 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62077	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	20,014 per 10,000 units	19,814 per 10,000 units	2013/11/28	300,000,000	2013/07/02
61999	Credit Suisse AG	HSI R Bear CBBC Dec 2013 K	0.250	20,928 per 12,000 units	20,728 per 12,000 units	2013/12/30	300,000,000	2013/07/02
62000	Credit Suisse AG	HSI R Bear CBBC Dec 2013 L	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2013/12/30	300,000,000	2013/07/02
62002	Credit Suisse AG	HSI R Bear CBBC Dec 2013 M	0.250	20,488 per 12,000 units	20,288 per 12,000 units	2013/12/30	300,000,000	2013/07/02
62003	Credit Suisse AG	HSI R Bear CBBC Dec 2013 N	0.250	20,350 per 10,000 units	20,150 per 10,000 units	2013/12/30	300,000,000	2013/07/02
62074	Credit Suisse AG	HSI R Bear CBBC Dec 2013 O	0.250	20,138 per 12,000 units	19,938 per 12,000 units	2013/12/30	300,000,000	2013/07/02
61975	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 S	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2013/10/30	120,000,000	2013/07/02
62062	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 K	0.250	18,500 per 10,000 units	18,700 per 10,000 units	2014/03/28	120,000,000	2013/07/02
62063	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 L	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2014/03/28	120,000,000	2013/07/02
62064	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 M	0.250	18,700 per 10,000 units	18,900 per 10,000 units	2014/03/28	120,000,000	2013/07/02
62086	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 A	0.259	18,988 per 7,000 units	19,188 per 7,000 units	2013/11/28	150,000,000	2013/07/02
62095	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 B	0.254	19,188 per 7,000 units	19,388 per 7,000 units	2013/11/28	150,000,000	2013/07/02
62097	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 C	0.260	18,388 per 20,000 units	18,688 per 20,000 units	2013/11/28	150,000,000	2013/07/02
62098	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 D	0.258	18,188 per 20,000 units	18,488 per 20,000 units	2013/11/28	150,000,000	2013/07/02
62099	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 N	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62111	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 O	0.250	18,850 per 10,000 units	19,050 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62112	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 P	0.250	18,950 per 10,000 units	19,150 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62119	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 Q	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62110	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 O	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2014/02/27	300,000,000	2013/07/02
62118	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 P	0.250	19,150 per 10,000 units	19,350 per 10,000 units	2014/02/27	300,000,000	2013/07/02
62115	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 K	0.250	19,050 per 10,000 units	19,250 per 10,000 units	2014/03/28	300,000,000	2013/07/02
62102	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 C	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2014/01/29	300,000,000	2013/07/02
62105	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 D	0.250	20,850 per 12,000 units	20,650 per 12,000 units	2014/01/29	300,000,000	2013/07/02
62101	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 A	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2014/02/27	300,000,000	2013/07/02
62104	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 B	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2014/02/27	300,000,000	2013/07/02
62100	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 A	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2014/03/28	300,000,000	2013/07/02
62103	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 B	0.250	20,750 per 12,000 units	20,550 per 12,000 units	2014/03/28	300,000,000	2013/07/02
62108	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 C	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/07/02
61944	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 I	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2013/11/28	100,000,000	2013/07/02
61945	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 J	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2013/11/28	100,000,000	2013/07/02
61946	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 P	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/10/30	100,000,000	2013/07/02
61967	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 A	0.250	18,188 per 10,000 units	18,288 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61970	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 B	0.250	17,800 per 15,000 units	18,000 per 15,000 units	2013/10/30	200,000,000	2013/07/02
62061	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 C	0.250	18,088 per 10,000 units	18,188 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61955	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 V	0.250	18,788 per 10,000 units	18,888 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61956	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 W	0.250	18,588 per 10,000 units	18,688 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61957	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 X	0.250	19,788 per 10,000 units	19,888 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61958	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 Y	0.250	20,088 per 10,000 units	20,188 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61966	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 Z	0.250	18,388 per 10,000 units	18,488 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61972	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 Q	0.250	20,088 per 10,000 units	19,988 per 10,000 units	2013/10/30	200,000,000	2013/07/02
61974	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 R	0.250	20,488 per 10,000 units	20,388 per 10,000 units	2013/10/30	200,000,000	2013/07/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62060	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 S	0.250	19,988 per 10,000 units	19,888 per 10,000 units	2013/10/30	200,000,000	2013/07/02
62044	UBS AG	CAM CSI300 R Bull CBBC Dec 2013 B	0.455	24	25	2013/12/09	40,000,000	2013/07/02
62054	UBS AG	CNOOC R Bull CBBC Jan 2014 A	0.250	10.58	11.38	2014/01/20	100,000,000	2013/07/02
62057	UBS AG	Sinopec Corp R Bull CBBC Feb 2014 A	0.250	4.08	4.38	2014/02/10	100,000,000	2013/07/02
62050	UBS AG	CSOP A50 ETF R Bull CBBC Apr 2014 A	0.250	6.7	7	2014/04/14	100,000,000	2013/07/02
62051	UBS AG	CSOP A50 ETF R Bull CBBC May 2014 A	0.250	7.2	7.5	2014/05/12	100,000,000	2013/07/02
62049	UBS AG	CSOP A50 ETF R Bear CBBC Jan 2014 A	0.250	10.3	10	2014/01/27	100,000,000	2013/07/02
62047	UBS AG	A50 China R Bull CBBC Apr 2014 B	0.250	6.7	7	2014/04/28	100,000,000	2013/07/02
62048	UBS AG	A50 China R Bull CBBC Apr 2014 C	0.250	7.2	7.5	2014/04/28	100,000,000	2013/07/02
62045	UBS AG	A50 China R Bear CBBC Jan 2014 A	0.250	10.3	10	2014/01/20	100,000,000	2013/07/02
62055	UBS AG	HKEx R Bull CBBC Jan 2014 A	0.250	100 per 100 units	105 per 100 units	2014/01/20	100,000,000	2013/07/02
62043	UBS AG	HSCEI R Bull CBBC Jun 2014 C	0.250	6,800 per 10,000 units	7,000 per 10,000 units	2014/06/27	100,000,000	2013/07/02
62041	UBS AG	HSCEI R Bear CBBC Nov 2013 B	0.250	9,700 per 10,000 units	9,500 per 10,000 units	2013/11/28	100,000,000	2013/07/02
61961	UBS AG	HSI R Bull CBBC Mar 2014 H	0.250	19,800 per 10,000 units	20,000 per 10,000 units	2014/03/28	300,000,000	2013/07/02
61962	UBS AG	HSI R Bull CBBC Mar 2014 I	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/03/28	300,000,000	2013/07/02
61963	UBS AG	HSI R Bull CBBC Mar 2014 N	0.250	20,063 per 10,000 units	20,263 per 10,000 units	2014/03/28	300,000,000	2013/07/02
62040	UBS AG	HSI R Bull CBBC Apr 2014 L	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2014/04/29	300,000,000	2013/07/02
62032	UBS AG	HSI R Bull CBBC May 2014 G	0.280	17,200 per 10,000 units	17,400 per 10,000 units	2014/05/29	300,000,000	2013/07/02
62036	UBS AG	HSI R Bull CBBC May 2014 H	0.250	17,700 per 10,000 units	17,900 per 10,000 units	2014/05/29	300,000,000	2013/07/02
62030	UBS AG	HSI R Bull CBBC Jun 2014 E	0.300	17,000 per 10,000 units	17,200 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62033	UBS AG	HSI R Bull CBBC Jun 2014 F	0.250	17,500 per 10,000 units	17,700 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62039	UBS AG	HSI R Bull CBBC Jun 2014 G	0.250	17,900 per 10,000 units	18,100 per 10,000 units	2014/06/27	300,000,000	2013/07/02
62026	UBS AG	HSI R Bull CBBC Oct 2014 A	0.420	15,800 per 10,000 units	16,000 per 10,000 units	2014/10/30	300,000,000	2013/07/02
62072	UBS AG	HSI R Bear CBBC Nov 2013 Y	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2013/11/28	300,000,000	2013/07/02
62073	UBS AG	HSI R Bear CBBC Nov 2013 Z	0.250	20,014 per 10,000 units	19,814 per 10,000 units	2013/11/28	300,000,000	2013/07/02
62066	UBS AG	HSI R Bear CBBC Dec 2013 S	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/07/02
62069	UBS AG	HSI R Bear CBBC Dec 2013 T	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2013/12/30	300,000,000	2013/07/02
62071	UBS AG	HSI R Bear CBBC Dec 2013 U	0.250	20,350 per 10,000 units	20,150 per 10,000 units	2013/12/30	300,000,000	2013/07/02
62065	UBS AG	HSI R Bear CBBC Feb 2014 C	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2014/02/27	300,000,000	2013/07/02
62053	UBS AG	Ping An R Bull CBBC Jul 2014 A	0.250	36 per 100 units	40 per 100 units	2014/07/14	100,000,000	2013/07/02
62142	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 R	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62145	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 S	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62183	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 T	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62164	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Y	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2014/01/29	300,000,000	2013/07/03
62165	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Z	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2014/01/29	300,000,000	2013/07/03
62233	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 A	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62184	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 C	0.250	8.08	8.38	2014/01/24	50,000,000	2013/07/03
62185	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 D	0.250	7.78	8.08	2014/01/24	50,000,000	2013/07/03
62188	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 C	0.250	9.38	9.08	2014/01/24	50,000,000	2013/07/03

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62189	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 D	0.250	9.88	9.58	2014/01/24	50,000,000	2013/07/03
62240	Credit Suisse AG	HSI R Bull CBBC May 2014 D	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/05/29	300,000,000	2013/07/03
62244	Credit Suisse AG	HSI R Bull CBBC May 2014 E	0.250	19,550 per 10,000 units	19,750 per 10,000 units	2014/05/29	300,000,000	2013/07/03
62245	Credit Suisse AG	HSI R Bull CBBC May 2014 F	0.250	19,655 per 10,000 units	19,855 per 10,000 units	2014/05/29	300,000,000	2013/07/03
62135	Credit Suisse AG	HSI R Bull CBBC Jun 2014 V	0.250	18,888 per 12,000 units	19,088 per 12,000 units	2014/06/27	300,000,000	2013/07/03
62137	Credit Suisse AG	HSI R Bull CBBC Jun 2014 W	0.250	19,188 per 12,000 units	19,388 per 12,000 units	2014/06/27	300,000,000	2013/07/03
62138	Credit Suisse AG	HSI R Bull CBBC Jun 2014 X	0.250	19,488 per 12,000 units	19,688 per 12,000 units	2014/06/27	300,000,000	2013/07/03
62173	Credit Suisse AG	HSI R Bear CBBC Dec 2013 P	0.250	19,734 per 10,000 units	19,534 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62174	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Q	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62177	Credit Suisse AG	HSI R Bear CBBC Dec 2013 R	0.250	19,838 per 12,000 units	19,638 per 12,000 units	2013/12/30	300,000,000	2013/07/03
62178	Credit Suisse AG	HSI R Bear CBBC Dec 2013 S	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62179	Credit Suisse AG	HSI R Bear CBBC Dec 2013 T	0.250	20,100 per 10,000 units	19,900 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62180	Credit Suisse AG	HSI R Bear CBBC Dec 2013 U	0.250	20,088 per 10,000 units	19,888 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62181	Credit Suisse AG	HSI R Bear CBBC Dec 2013 V	0.250	20,200 per 10,000 units	20,000 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62182	Credit Suisse AG	HSI R Bear CBBC Dec 2013 W	0.250	20,450 per 10,000 units	20,250 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62146	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 T	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2013/10/30	120,000,000	2013/07/03
62147	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 U	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2013/10/30	120,000,000	2013/07/03
62246	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 I	0.250	18,300 per 10,000 units	18,500 per 10,000 units	2014/01/29	120,000,000	2013/07/03
62247	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 J	0.250	18,400 per 10,000 units	18,600 per 10,000 units	2014/01/29	120,000,000	2013/07/03
62235	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 Q	0.250	19,800 per 10,000 units	19,600 per 10,000 units	2013/10/30	120,000,000	2013/07/03
62239	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 R	0.250	20,000 per 10,000 units	19,800 per 10,000 units	2013/10/30	120,000,000	2013/07/03
62270	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 E	0.256	18,788 per 7,000 units	18,988 per 7,000 units	2013/11/28	150,000,000	2013/07/03
62271	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 F	0.253	19,388 per 7,000 units	19,588 per 7,000 units	2013/11/28	150,000,000	2013/07/03
62272	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 G	0.259	19,588 per 7,000 units	19,788 per 7,000 units	2013/11/28	150,000,000	2013/07/03
62273	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 H	0.257	17,988 per 20,000 units	18,288 per 20,000 units	2013/11/28	150,000,000	2013/07/03
62274	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 I	0.254	17,788 per 20,000 units	18,088 per 20,000 units	2013/11/28	150,000,000	2013/07/03
62269	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 L	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/03/28	300,000,000	2013/07/03
62259	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 Q	0.250	19,028 per 10,000 units	19,228 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62263	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 R	0.250	19,228 per 10,000 units	19,428 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62264	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 S	0.250	19,428 per 10,000 units	19,628 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62265	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 T	0.250	19,628 per 10,000 units	19,828 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62248	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 P	0.250	20,888 per 10,000 units	20,688 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62255	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 Q	0.250	20,688 per 10,000 units	20,488 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62257	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 R	0.250	20,288 per 10,000 units	20,088 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62258	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 S	0.250	20,088 per 10,000 units	19,888 per 10,000 units	2013/12/30	100,000,000	2013/07/03
62123	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 K	0.250	19,200 per 10,000 units	19,400 per 10,000 units	2013/11/28	100,000,000	2013/07/03
62125	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 L	0.250	18,600 per 10,000 units	18,800 per 10,000 units	2013/11/28	100,000,000	2013/07/03
62126	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 M	0.250	18,200 per 10,000 units	18,400 per 10,000 units	2013/11/28	100,000,000	2013/07/03
62120	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 Q	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2013/10/30	100,000,000	2013/07/03
62150	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13D	0.250	18,688 per 10,000 units	18,788 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62151	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13E	0.250	18,488 per 10,000 units	18,588 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62152	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13F	0.250	18,288 per 10,000 units	18,388 per 10,000 units	2013/10/30	200,000,000	2013/07/03

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62153	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13G	0.250	17,988 per 10,000 units	18,088 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62266	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13H	0.250	19,388 per 10,000 units	19,488 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62268	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct 13I	0.250	19,688 per 10,000 units	19,788 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62154	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 13A	0.250	17,888 per 10,000 units	17,988 per 10,000 units	2013/11/28	200,000,000	2013/07/03
62155	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 13B	0.250	17,400 per 15,000 units	17,600 per 15,000 units	2013/11/28	200,000,000	2013/07/03
62157	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov 13C	0.250	17,000 per 15,000 units	17,200 per 15,000 units	2013/11/28	200,000,000	2013/07/03
62159	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 13T	0.250	19,688 per 10,000 units	19,588 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62162	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct 13U	0.250	19,888 per 10,000 units	19,788 per 10,000 units	2013/10/30	200,000,000	2013/07/03
62231	UBS AG	CC Bank R Bull CBBC Jul 2014 A	0.250	3.7	4	2014/07/21	100,000,000	2013/07/03
62226	UBS AG	China Life R Bull CBBC Jul 2014 A	0.420	12.88	13.88	2014/07/14	100,000,000	2013/07/03
62225	UBS AG	China Life R Bear CBBC Jan 2014 B	0.285	19.88	18.88	2014/01/20	100,000,000	2013/07/03
62228	UBS AG	Minsheng Bank R Bull CBBC Mar 2014 A	0.250	5.5	6	2014/03/10	50,000,000	2013/07/03
62222	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2014 A	0.250	6.2	6.5	2014/06/09	100,000,000	2013/07/03
62221	UBS AG	A50 China R Bull CBBC Jun 2014 A	0.250	6.2	6.5	2014/06/23	100,000,000	2013/07/03
62217	UBS AG	A50 China R Bear CBBC Nov 2013 B	0.250	9.8	9.5	2013/11/18	100,000,000	2013/07/03
62232	UBS AG	HKEx R Bull CBBC Jun 2014 A	0.250	90 per 100 units	95 per 100 units	2014/06/09	100,000,000	2013/07/03
62216	UBS AG	HSCEI R Bull CBBC Apr 2014 B	0.250	7,050 per 10,000 units	7,250 per 10,000 units	2014/04/29	100,000,000	2013/07/03
62215	UBS AG	HSCEI R Bear CBBC Oct 2013 E	0.250	9,200 per 10,000 units	9,000 per 10,000 units	2013/10/30	100,000,000	2013/07/03
62149	UBS AG	HSI R Bull CBBC Dec 2013 O	0.250	19,613 per 10,000 units	19,813 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62211	UBS AG	HSI R Bull CBBC Feb 2014 T	0.250	18,750 per 10,000 units	18,950 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62212	UBS AG	HSI R Bull CBBC Feb 2014 U	0.250	18,950 per 10,000 units	19,150 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62213	UBS AG	HSI R Bull CBBC Feb 2014 V	0.250	19,150 per 10,000 units	19,350 per 10,000 units	2014/02/27	300,000,000	2013/07/03
62214	UBS AG	HSI R Bull CBBC Mar 2014 O	0.250	19,300 per 10,000 units	19,500 per 10,000 units	2014/03/28	300,000,000	2013/07/03
62148	UBS AG	HSI R Bull CBBC Mar 2014 P	0.250	19,450 per 10,000 units	19,650 per 10,000 units	2014/03/28	300,000,000	2013/07/03
62202	UBS AG	HSI R Bull CBBC Apr 2014 M	0.265	16,900 per 10,000 units	17,100 per 10,000 units	2014/04/29	300,000,000	2013/07/03
62210	UBS AG	HSI R Bull CBBC Apr 2014 N	0.250	17,400 per 10,000 units	17,600 per 10,000 units	2014/04/29	300,000,000	2013/07/03
62201	UBS AG	HSI R Bull CBBC Jun 2014 H	0.295	16,600 per 10,000 units	16,800 per 10,000 units	2014/06/27	300,000,000	2013/07/03
62200	UBS AG	HSI R Bull CBBC Jul 2014 E	0.345	16,100 per 10,000 units	16,300 per 10,000 units	2014/07/30	300,000,000	2013/07/03
62198	UBS AG	HSI R Bull CBBC Sep 2014 G	0.250	15,400 per 38,000 units	15,800 per 38,000 units	2014/09/29	300,000,000	2013/07/03
62190	UBS AG	HSI R Bear CBBC Nov 2013 D	0.250	19,734 per 10,000 units	19,534 per 10,000 units	2013/11/28	300,000,000	2013/07/03
62196	UBS AG	HSI R Bear CBBC Nov 2013 E	0.250	20,450 per 10,000 units	20,250 per 10,000 units	2013/11/28	300,000,000	2013/07/03
62191	UBS AG	HSI R Bear CBBC Nov 2013 F	0.250	19,900 per 10,000 units	19,700 per 10,000 units	2013/11/28	300,000,000	2013/07/03
62194	UBS AG	HSI R Bear CBBC Dec 2013 V	0.250	20,050 per 10,000 units	19,850 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62195	UBS AG	HSI R Bear CBBC Dec 2013 W	0.250	20,250 per 10,000 units	20,050 per 10,000 units	2013/12/30	300,000,000	2013/07/03
62197	UBS AG	HSI R Bear CBBC Feb 2014 D	0.250	21,200 per 38,000 units	20,800 per 38,000 units	2014/02/27	300,000,000	2013/07/03
62229	UBS AG	ICBC R Bull CBBC Jun 2014 B	0.250	3.25	3.5	2014/06/30	100,000,000	2013/07/03
62230	UBS AG	PICC Group R Bull CBBC Jul 2014 A	0.250	2.68	2.88	2014/07/14	50,000,000	2013/07/03
62227	UBS AG	Ping An R Bull CBBC Apr 2014 A	0.250	33.50 per 100 units	37.50 per 100 units	2014/04/14	100,000,000	2013/07/03

Newly listed callable bull/bear contracts in 2013 *(continued)*

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62289	Credit Suisse AG	HSI R Bull CBBC Apr 2014 K	0.250	19,250 per 10,000 units	19,450 per 10,000 units	2014/04/29	300,000,000	2013/07/04
62290	Credit Suisse AG	HSI R Bull CBBC Apr 2014 L	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2014/04/29	300,000,000	2013/07/04
62291	Credit Suisse AG	HSI R Bull CBBC Apr 2014 M	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2014/04/29	300,000,000	2013/07/04
62292	Credit Suisse AG	HSI R Bull CBBC Apr 2014 N	0.250	19,738 per 12,000 units	19,938 per 12,000 units	2014/04/29	300,000,000	2013/07/04
62294	Credit Suisse AG	HSI R Bull CBBC Apr 2014 O	0.250	19,888 per 12,000 units	20,088 per 12,000 units	2014/04/29	300,000,000	2013/07/04
62296	Credit Suisse AG	HSI R Bull CBBC Apr 2014 P	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/04/29	300,000,000	2013/07/04
62297	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Q	0.250	20,138 per 10,000 units	20,338 per 10,000 units	2014/04/29	300,000,000	2013/07/04
62276	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	20,150 per 10,000 units	19,950 per 10,000 units	2013/11/28	300,000,000	2013/07/04
62275	Credit Suisse AG	HSI R Bear CBBC Jan 2014 A	0.250	20,056 per 12,000 units	19,856 per 12,000 units	2014/01/29	300,000,000	2013/07/04
62277	Credit Suisse AG	HSI R Bear CBBC Jan 2014 B	0.250	20,250 per 12,000 units	20,050 per 12,000 units	2014/01/29	300,000,000	2013/07/04
62308	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 V	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2013/10/30	120,000,000	2013/07/04
62309	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 W	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2013/10/30	120,000,000	2013/07/04
62316	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 S	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2013/10/30	120,000,000	2013/07/04
62350	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 C	0.254	21,488 per 20,000 units	21,188 per 20,000 units	2013/11/28	150,000,000	2013/07/04
62351	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 D	0.251	21,088 per 20,000 units	20,788 per 20,000 units	2013/11/28	150,000,000	2013/07/04
62355	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 Q	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/02/27	300,000,000	2013/07/04
62354	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 M	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2014/03/28	300,000,000	2013/07/04
62352	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 E	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2014/01/29	300,000,000	2013/07/04
62299	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 U	0.250	20,128 per 10,000 units	20,328 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62301	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 V	0.250	19,928 per 10,000 units	20,128 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62302	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 W	0.250	19,828 per 10,000 units	20,028 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62303	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 X	0.250	19,728 per 10,000 units	19,928 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62304	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 Y	0.250	19,528 per 10,000 units	19,728 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62306	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 Z	0.250	20,028 per 10,000 units	20,228 per 10,000 units	2013/12/30	100,000,000	2013/07/04
62287	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 N	0.250	19,588 per 10,000 units	19,688 per 10,000 units	2013/10/30	200,000,000	2013/07/04
62288	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 V	0.250	20,288 per 10,000 units	20,188 per 10,000 units	2013/10/30	200,000,000	2013/07/04
62326	UBS AG	HSI R Bull CBBC Dec 2013 G	0.250	19,700 per 10,000 units	19,900 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62328	UBS AG	HSI R Bull CBBC Dec 2013 I	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62339	UBS AG	HSI R Bull CBBC Dec 2013 P	0.250	20,138 per 10,000 units	20,338 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62320	UBS AG	HSI R Bull CBBC Dec 2013 Q	0.250	19,250 per 10,000 units	19,450 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62323	UBS AG	HSI R Bull CBBC Dec 2013 R	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62318	UBS AG	HSI R Bull CBBC Dec 2013 S	0.250	18,850 per 10,000 units	19,050 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62319	UBS AG	HSI R Bull CBBC Dec 2013 X	0.250	19,050 per 10,000 units	19,250 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62325	UBS AG	HSI R Bull CBBC Feb 2014 W	0.250	19,550 per 10,000 units	19,750 per 10,000 units	2014/02/27	300,000,000	2013/07/04
62338	UBS AG	HSI R Bull CBBC Feb 2014 X	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/02/27	300,000,000	2013/07/04
62279	UBS AG	HSI R Bear CBBC Dec 2013 X	0.250	20,300 per 10,000 units	20,100 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62280	UBS AG	HSI R Bear CBBC Dec 2013 Y	0.250	20,150 per 10,000 units	19,950 per 10,000 units	2013/12/30	300,000,000	2013/07/04
62286	UBS AG	HSI R Bear CBBC Feb 2014 E	0.250	20,060 per 12,000 units	19,860 per 12,000 units	2014/02/27	350,000,000	2013/07/04
62317	UBS AG	TCH R Bull CBBC Dec 2013 F	0.290	262 per 100 units	270 per 100 units	2013/12/02	100,000,000	2013/07/04
62368	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 U	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/02/27	300,000,000	2013/07/05
62369	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 V	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/02/27	300,000,000	2013/07/05
62370	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 W	0.250	19,650 per 10,000 units	19,850 per 10,000 units	2014/02/27	300,000,000	2013/07/05
62408	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 X	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/02/27	300,000,000	2013/07/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

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62396	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Q	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/03/28	300,000,000	2013/07/05
62398	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 R	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/03/28	300,000,000	2013/07/05
62371	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2014/01/29	300,000,000	2013/07/05
62372	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2014/01/29	300,000,000	2013/07/05
62373	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 L	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2014/01/29	300,000,000	2013/07/05
62374	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 M	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2014/01/29	300,000,000	2013/07/05
62399	Credit Suisse AG	HSCEI R Bull CBBC Nov 2013 A	0.250	8,188 per 10,000 units	8,388 per 10,000 units	2013/11/28	150,000,000	2013/07/05
62400	Credit Suisse AG	HSCEI R Bear CBBC Nov 2013 A	0.250	10,200 per 10,000 units	10,000 per 10,000 units	2013/11/28	150,000,000	2013/07/05
62402	Credit Suisse AG	HSI R Bull CBBC May 2014 G	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/05/29	300,000,000	2013/07/05
62405	Credit Suisse AG	HSI R Bull CBBC May 2014 H	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/05/29	300,000,000	2013/07/05
62406	Credit Suisse AG	HSI R Bull CBBC May 2014 I	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/05/29	300,000,000	2013/07/05
62407	Credit Suisse AG	HSI R Bull CBBC May 2014 J	0.250	20,407 per 10,000 units	20,607 per 10,000 units	2014/05/29	300,000,000	2013/07/05
62382	Credit Suisse AG	HSI R Bear CBBC Nov 2013 W	0.250	20,539 per 10,000 units	20,339 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62383	Credit Suisse AG	HSI R Bear CBBC Dec 2013 X	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/07/05
62385	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Y	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/12/30	300,000,000	2013/07/05
62401	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Z	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/07/05
62410	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 C	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/10/30	120,000,000	2013/07/05
62409	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Z	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2013/10/30	120,000,000	2013/07/05
62422	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 T	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/10/30	120,000,000	2013/07/05
62425	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 J	0.255	20,188 per 7,000 units	20,388 per 7,000 units	2013/11/28	150,000,000	2013/07/05
62426	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 K	0.255	19,738 per 7,000 units	19,938 per 7,000 units	2013/11/28	150,000,000	2013/07/05
62427	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 L	0.255	19,188 per 10,000 units	19,488 per 10,000 units	2013/11/28	150,000,000	2013/07/05
62429	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 M	0.254	19,388 per 20,000 units	19,688 per 20,000 units	2013/11/28	150,000,000	2013/07/05
62432	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 N	0.253	19,588 per 20,000 units	19,888 per 20,000 units	2013/11/28	150,000,000	2013/07/05
62435	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 R	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/02/27	300,000,000	2013/07/05
62434	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 N	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2014/03/28	300,000,000	2013/07/05
62433	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 C	0.250	21,450 per 10,000 units	21,250 per 10,000 units	2014/02/27	300,000,000	2013/07/05
62356	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 N	0.250	19,400 per 10,000 units	19,600 per 10,000 units	2013/11/28	100,000,000	2013/07/05
62389	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 J	0.250	20,488 per 10,000 units	20,588 per 10,000 units	2013/10/30	200,000,000	2013/07/05
62394	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 D	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2013/11/28	200,000,000	2013/07/05
62357	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 W	0.250	20,588 per 10,000 units	20,488 per 10,000 units	2013/10/30	200,000,000	2013/07/05
62365	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 X	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/10/30	200,000,000	2013/07/05
62421	UBS AG	HSI R Bull CBBC Nov 2013 D	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62419	UBS AG	HSI R Bull CBBC Nov 2013 P	0.250	19,500 per 10,000 units	19,700 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62420	UBS AG	HSI R Bull CBBC Nov 2013 S	0.250	19,750 per 10,000 units	19,950 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62418	UBS AG	HSI R Bull CBBC Dec 2013 U	0.250	20,407 per 10,000 units	20,607 per 10,000 units	2013/12/30	300,000,000	2013/07/05
62411	UBS AG	HSI R Bull CBBC Mar 2014 Q	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/03/28	300,000,000	2013/07/05
62375	UBS AG	HSI R Bear CBBC Nov 2013 G	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62376	UBS AG	HSI R Bear CBBC Nov 2013 H	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/11/28	300,000,000	2013/07/05
62377	UBS AG	HSI R Bear CBBC Jan 2014 B	0.250	20,540 per 10,000 units	20,340 per 10,000 units	2014/01/29	300,000,000	2013/07/05
62493	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 M	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62494	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 N	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62499	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 O	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/03/28	300,000,000	2013/07/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62501	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 U	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62460	Credit Suisse AG	HSI R Bull CBBC Dec 2013 L	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62461	Credit Suisse AG	HSI R Bull CBBC Dec 2013 N	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62476	Credit Suisse AG	HSI R Bull CBBC Dec 2013 O	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62481	Credit Suisse AG	HSI R Bull CBBC Dec 2013 P	0.250	20,603 per 10,000 units	20,803 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62439	Credit Suisse AG	HSI R Bear CBBC Nov 2013 X	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/11/28	300,000,000	2013/07/08
62436	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	20,648 per 12,000 units	20,448 per 12,000 units	2013/12/30	300,000,000	2013/07/08
62440	Credit Suisse AG	HSI R Bear CBBC Dec 2013 F	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62454	Credit Suisse AG	HSI R Bear CBBC Dec 2013 H	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62482	Credit Suisse AG	HSI R Bear CBBC Dec 2013 I	0.250	21,038 per 12,000 units	20,838 per 12,000 units	2013/12/30	300,000,000	2013/07/08
62487	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 B	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/10/30	120,000,000	2013/07/08
62488	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 D	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/10/30	120,000,000	2013/07/08
62492	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 U	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2013/10/30	120,000,000	2013/07/08
62513	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 O	0.255	20,588 per 7,000 units	20,788 per 7,000 units	2013/11/28	150,000,000	2013/07/08
62514	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 P	0.253	20,338 per 10,000 units	20,538 per 10,000 units	2013/11/28	150,000,000	2013/07/08
62515	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 Q	0.258	19,788 per 20,000 units	20,088 per 20,000 units	2013/11/28	150,000,000	2013/07/08
62516	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 R	0.254	19,988 per 20,000 units	20,288 per 20,000 units	2013/11/28	150,000,000	2013/07/08
62517	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 S	0.252	20,188 per 20,000 units	20,488 per 20,000 units	2013/11/28	150,000,000	2013/07/08
62524	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 S	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62527	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 T	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62530	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 U	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62531	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 V	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62533	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 W	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62523	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 O	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62529	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 P	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62532	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 Q	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/07/08
62518	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 D	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62484	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 A	0.250	20,528 per 10,000 units	20,728 per 10,000 units	2013/12/30	100,000,000	2013/07/08
62485	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 B	0.250	20,428 per 10,000 units	20,628 per 10,000 units	2013/12/30	100,000,000	2013/07/08
62483	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 C	0.250	20,228 per 10,000 units	20,428 per 10,000 units	2013/12/30	100,000,000	2013/07/08
62486	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 G	0.250	20,328 per 10,000 units	20,528 per 10,000 units	2013/12/30	100,000,000	2013/07/08
62445	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 E	0.250	19,300 per 15,000 units	19,500 per 15,000 units	2013/11/28	200,000,000	2013/07/08
62450	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 F	0.250	20,388 per 10,000 units	20,488 per 10,000 units	2013/11/28	200,000,000	2013/07/08
62447	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 Y	0.250	20,688 per 10,000 units	20,588 per 10,000 units	2013/10/30	200,000,000	2013/07/08
62472	UBS AG	CC Bank R Bull CBBC Mar 2014 B	0.250	4.7	5	2014/03/17	100,000,000	2013/07/08
62468	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2014 B	0.250	7.95	8.25	2014/03/24	100,000,000	2013/07/08
62466	UBS AG	A50 China R Bull CBBC Mar 2014 B	0.250	8.2	8.5	2014/03/17	100,000,000	2013/07/08
62506	UBS AG	HSCEI R Bull CBBC Mar 2014 A	0.250	8,550 per 10,000 units	8,750 per 10,000 units	2014/03/28	100,000,000	2013/07/08
62505	UBS AG	HSI R Bull CBBC Dec 2013 V	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62502	UBS AG	HSI R Bull CBBC Feb 2014 Y	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62504	UBS AG	HSI R Bull CBBC Feb 2014 Z	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/02/27	300,000,000	2013/07/08
62443	UBS AG	HSI R Bear CBBC Dec 2013 D	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/12/30	300,000,000	2013/07/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62441	UBS AG	HSI R Bear CBBC Dec 2013 Z	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/07/08
62444	UBS AG	HSI R Bear CBBC Feb 2014 F	0.250	20,641 per 12,000 units	20,441 per 12,000 units	2014/02/27	350,000,000	2013/07/08
62469	UBS AG	ICBC R Bull CBBC Mar 2014 A	0.250	4.25	4.5	2014/03/03	100,000,000	2013/07/08
62534	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 V	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62538	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 W	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62572	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Nov 2013 X	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62571	Credit Suisse AG	HSI R Bull CBBC Jan 2014 A	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/01/29	300,000,000	2013/07/09
62569	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Y	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2014/01/29	300,000,000	2013/07/09
62570	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Z	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/01/29	300,000,000	2013/07/09
62587	Credit Suisse AG	HSI R Bear CBBC Oct 2013 P	0.250	20,859 per 12,000 units	20,659 per 12,000 units	2013/10/30	300,000,000	2013/07/09
62586	Credit Suisse AG	HSI R Bear CBBC Oct 2013 R	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	300,000,000	2013/07/09
62589	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Y	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62590	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Z	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62583	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 E	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/10/30	120,000,000	2013/07/09
62591	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 V	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/10/30	120,000,000	2013/07/09
62593	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 W	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/10/30	120,000,000	2013/07/09
62598	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 T	0.252	20,038 per 10,000 units	20,238 per 10,000 units	2013/11/28	150,000,000	2013/07/09
62599	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 U	0.253	20,388 per 7,000 units	20,588 per 7,000 units	2013/11/28	150,000,000	2013/07/09
62594	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 E	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2014/02/27	300,000,000	2013/07/09
62595	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 D	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2014/03/28	300,000,000	2013/07/09
62544	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Oct13 K	0.250	20,688 per 10,000 units	20,788 per 10,000 units	2013/10/30	200,000,000	2013/07/09
62545	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 G	0.250	19,900 per 15,000 units	20,100 per 15,000 units	2013/11/28	200,000,000	2013/07/09
62548	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 K	0.250	21,400 per 15,000 units	21,200 per 15,000 units	2013/10/30	200,000,000	2013/07/09
62547	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 Z	0.250	20,988 per 10,000 units	20,888 per 10,000 units	2013/10/30	200,000,000	2013/07/09
62567	UBS AG	HSI R Bull CBBC Nov 2013 E	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62549	UBS AG	HSI R Bull CBBC Nov 2013 Q	0.250	19,350 per 10,000 units	19,550 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62566	UBS AG	HSI R Bull CBBC Nov 2013 T	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2013/11/28	300,000,000	2013/07/09
62553	UBS AG	HSI R Bull CBBC Apr 2014 O	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/04/29	300,000,000	2013/07/09
62568	UBS AG	HSI R Bull CBBC Apr 2014 P	0.250	20,603 per 12,000 units	20,803 per 12,000 units	2014/04/29	350,000,000	2013/07/09
62573	UBS AG	HSI R Bear CBBC Dec 2013 E	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/07/09
62574	UBS AG	HSI R Bear CBBC Dec 2013 F	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/12/30	300,000,000	2013/07/09
62584	UBS AG	HSI R Bear CBBC Dec 2013 G	0.250	20,859 per 12,000 units	20,659 per 12,000 units	2013/12/30	350,000,000	2013/07/09
62618	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Feb 2014 Y	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/02/27	300,000,000	2013/07/10
62627	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 K	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62616	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 N	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62617	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 O	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62625	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 P	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62655	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Q	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62656	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 R	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62604	Credit Suisse AG	China Mobile R Bull CBBC Nov 2013 A	0.250	72 per 100 units	74 per 100 units	2013/11/29	50,000,000	2013/07/10
62607	Credit Suisse AG	HSI R Bull CBBC Mar 2014 N	0.250	20,458 per 12,000 units	20,658 per 12,000 units	2014/03/28	300,000,000	2013/07/10
62611	Credit Suisse AG	HSI R Bull CBBC Mar 2014 O	0.250	20,288 per 12,000 units	20,488 per 12,000 units	2014/03/28	300,000,000	2013/07/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62612	Credit Suisse AG	HSI R Bull CBBC Mar 2014 P	0.250	19,838 per 12,000 units	20,038 per 12,000 units	2014/03/28	300,000,000	2013/07/10
62613	Credit Suisse AG	HSI R Bull CBBC Mar 2014 Q	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62614	Credit Suisse AG	HSI R Bull CBBC Mar 2014 R	0.250	20,038 per 10,000 units	20,238 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62630	Credit Suisse AG	HSI R Bull CBBC Mar 2014 S	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62631	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62636	Credit Suisse AG	HSI R Bear CBBC Nov 2013 J	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62637	Credit Suisse AG	HSI R Bear CBBC Nov 2013 K	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62652	Credit Suisse AG	HSI R Bear CBBC Nov 2013 L	0.250	20,450 per 10,000 units	20,250 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62653	Credit Suisse AG	HSI R Bear CBBC Nov 2013 P	0.250	20,348 per 10,000 units	20,148 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62606	Credit Suisse AG	Ping An R Bull CBBC Nov 2013 A	0.250	42 per 100 units	46 per 100 units	2013/11/29	50,000,000	2013/07/10
62685	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 F	0.250	19,850 per 10,000 units	20,050 per 10,000 units	2013/10/30	120,000,000	2013/07/10
62673	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 X	0.250	20,550 per 10,000 units	20,350 per 10,000 units	2013/10/30	120,000,000	2013/07/10
62669	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 E	0.253	20,888 per 7,000 units	20,688 per 7,000 units	2013/11/28	120,000,000	2013/07/10
62670	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 F	0.251	20,688 per 7,000 units	20,488 per 7,000 units	2013/11/28	120,000,000	2013/07/10
62671	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 G	0.253	20,488 per 7,000 units	20,288 per 7,000 units	2013/11/28	120,000,000	2013/07/10
62672	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 H	0.251	21,038 per 10,000 units	20,838 per 10,000 units	2013/11/28	120,000,000	2013/07/10
62665	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 F	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62666	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 G	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62667	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 F	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2014/02/27	300,000,000	2013/07/10
62668	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 E	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62600	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 H	0.250	20,288 per 10,000 units	20,388 per 10,000 units	2013/11/28	200,000,000	2013/07/10
62623	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 A	0.250	20,388 per 10,000 units	20,288 per 10,000 units	2013/10/30	200,000,000	2013/07/10
62603	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 L	0.250	21,088 per 10,000 units	20,988 per 10,000 units	2013/10/30	200,000,000	2013/07/10
62624	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 M	0.250	20,788 per 10,000 units	20,688 per 10,000 units	2013/10/30	200,000,000	2013/07/10
62626	UBS AG	A50 China R Bull CBBC Mar 2014 C	0.250	7.95	8.25	2014/03/03	100,000,000	2013/07/10
62638	UBS AG	HSI R Bull CBBC Dec 2013 C	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2013/12/30	300,000,000	2013/07/10
62622	UBS AG	HSI R Bull CBBC Dec 2013 W	0.250	20,458 per 12,000 units	20,658 per 12,000 units	2013/12/30	350,000,000	2013/07/10
62620	UBS AG	HSI R Bull CBBC Jan 2014 J	0.250	20,325 per 10,000 units	20,525 per 10,000 units	2014/01/29	300,000,000	2013/07/10
62621	UBS AG	HSI R Bull CBBC Feb 2014 C	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/02/27	300,000,000	2013/07/10
62640	UBS AG	HSI R Bull CBBC Mar 2014 R	0.250	19,600 per 10,000 units	19,800 per 10,000 units	2014/03/28	300,000,000	2013/07/10
62659	UBS AG	HSI R Bear CBBC Oct 2013 R	0.250	20,650 per 10,000 units	20,450 per 10,000 units	2013/10/30	300,000,000	2013/07/10
62657	UBS AG	HSI R Bear CBBC Oct 2013 T	0.250	20,348 per 10,000 units	20,148 per 10,000 units	2013/10/30	300,000,000	2013/07/10
62658	UBS AG	HSI R Bear CBBC Nov 2013 I	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62661	UBS AG	HSI R Bear CBBC Nov 2013 S	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62662	UBS AG	HSI R Bear CBBC Nov 2013 T	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/11/28	300,000,000	2013/07/10
62660	UBS AG	HSI R Bear CBBC Dec 2013 C	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2013/12/30	300,000,000	2013/07/10
62740	Credit Suisse AG	HSI R Bull CBBC Feb 2014 I	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/02/27	300,000,000	2013/07/11
62741	Credit Suisse AG	HSI R Bull CBBC Feb 2014 J	0.250	20,301 per 10,000 units	20,501 per 10,000 units	2014/02/27	300,000,000	2013/07/11
62687	Credit Suisse AG	HSI R Bear CBBC Oct 2013 E	0.250	20,350 per 12,000 units	20,150 per 12,000 units	2013/10/30	300,000,000	2013/07/11
62697	Credit Suisse AG	HSI R Bear CBBC Oct 2013 J	0.250	20,500 per 10,000 units	20,300 per 10,000 units	2013/10/30	300,000,000	2013/07/11
62704	Credit Suisse AG	HSI R Bear CBBC Oct 2013 K	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2013/10/30	300,000,000	2013/07/11
62703	Credit Suisse AG	HSI R Bear CBBC Nov 2013 U	0.250	20,738 per 12,000 units	20,538 per 12,000 units	2013/11/28	300,000,000	2013/07/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62744	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 G	0.250	19,950 per 10,000 units	20,150 per 10,000 units	2013/10/30	120,000,000	2013/07/11
62745	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 Y	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/10/30	120,000,000	2013/07/11
62746	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 T	0.250	21,088 per 10,000 units	20,888 per 10,000 units	2013/12/30	100,000,000	2013/07/11
62747	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 U	0.250	20,688 per 10,000 units	20,488 per 10,000 units	2013/12/30	100,000,000	2013/07/11
62712	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 I	0.250	19,600 per 15,000 units	19,800 per 15,000 units	2013/11/28	200,000,000	2013/07/11
62713	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 J	0.250	20,188 per 10,000 units	20,288 per 10,000 units	2013/11/28	200,000,000	2013/07/11
62706	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 N	0.250	20,488 per 10,000 units	20,388 per 10,000 units	2013/10/30	200,000,000	2013/07/11
62739	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 O	0.250	21,100 per 15,000 units	20,900 per 15,000 units	2013/10/30	200,000,000	2013/07/11
62742	UBS AG	HSI R Bull CBBC Dec 2013 D	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2013/12/30	300,000,000	2013/07/11
62743	UBS AG	HSI R Bull CBBC Jun 2014 I	0.250	20,275 per 12,000 units	20,475 per 12,000 units	2014/06/27	350,000,000	2013/07/11
62711	UBS AG	HSI R Bear CBBC Nov 2013 U	0.250	20,400 per 10,000 units	20,200 per 10,000 units	2013/11/28	300,000,000	2013/07/11
62708	UBS AG	HSI R Bear CBBC Dec 2013 H	0.250	20,600 per 10,000 units	20,400 per 10,000 units	2013/12/30	300,000,000	2013/07/11
62766	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 S	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2014/01/29	300,000,000	2013/07/12
62767	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 T	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2014/01/29	300,000,000	2013/07/12
62768	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 U	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2014/01/29	300,000,000	2013/07/12
62774	Credit Suisse AG	CSOP A50 ETF R Bull CBBC Jan 2014 A	0.250	7.98	8.28	2014/01/24	50,000,000	2013/07/12
62775	Credit Suisse AG	CSOP A50 ETF R Bear CBBC Jan 2014 A	0.250	10.18	9.88	2014/01/24	50,000,000	2013/07/12
62792	Credit Suisse AG	HSI R Bull CBBC Mar 2014 T	0.250	20,654 per 10,000 units	20,854 per 10,000 units	2014/03/28	300,000,000	2013/07/12
62794	Credit Suisse AG	HSI R Bull CBBC Mar 2014 U	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/03/28	300,000,000	2013/07/12
62785	Credit Suisse AG	HSI R Bear CBBC Dec 2013 D	0.250	20,750 per 10,000 units	20,550 per 10,000 units	2013/12/30	300,000,000	2013/07/12
62782	Credit Suisse AG	HSI R Bear CBBC Dec 2013 J	0.250	20,669 per 10,000 units	20,469 per 10,000 units	2013/12/30	300,000,000	2013/07/12
62786	Credit Suisse AG	HSI R Bear CBBC Dec 2013 K	0.250	20,988 per 12,000 units	20,788 per 12,000 units	2013/12/30	300,000,000	2013/07/12
62798	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 X	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/10/30	120,000,000	2013/07/12
62805	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 Z	0.250	21,350 per 10,000 units	21,150 per 10,000 units	2013/10/30	120,000,000	2013/07/12
62765	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 O	0.250	20,000 per 10,000 units	20,200 per 10,000 units	2013/11/28	100,000,000	2013/07/12
62759	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 R	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/10/30	100,000,000	2013/07/12
62787	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 K	0.250	20,088 per 10,000 units	20,188 per 10,000 units	2013/11/28	200,000,000	2013/07/12
62788	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 L	0.250	20,588 per 10,000 units	20,688 per 10,000 units	2013/11/28	200,000,000	2013/07/12
62769	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 B	0.250	20,588 per 10,000 units	20,488 per 10,000 units	2013/10/30	200,000,000	2013/07/12
62791	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 H	0.250	20,888 per 10,000 units	20,788 per 10,000 units	2013/10/30	200,000,000	2013/07/12
62797	UBS AG	HSI R Bull CBBC Mar 2014 S	0.250	20,654 per 10,000 units	20,854 per 10,000 units	2014/03/28	300,000,000	2013/07/12
62771	UBS AG	HSI R Bear CBBC Oct 2013 U	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/10/30	300,000,000	2013/07/12
62770	UBS AG	HSI R Bear CBBC Nov 2013 V	0.250	20,669 per 10,000 units	20,469 per 10,000 units	2013/11/28	300,000,000	2013/07/12
62772	UBS AG	HSI R Bear CBBC Jan 2014 C	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2014/01/29	300,000,000	2013/07/12
62773	UBS AG	HSI R Bear CBBC Jan 2014 D	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2014/01/29	300,000,000	2013/07/12
62829	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 I	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/03/28	300,000,000	2013/07/15
62826	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 L	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/03/28	300,000,000	2013/07/15
62827	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 V	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/03/28	300,000,000	2013/07/15
62828	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 W	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/03/28	300,000,000	2013/07/15
62837	Credit Suisse AG	HSI R Bull CBBC Apr 2014 R	0.250	20,650 per 12,000 units	20,850 per 12,000 units	2014/04/29	300,000,000	2013/07/15
62838	Credit Suisse AG	HSI R Bull CBBC Apr 2014 S	0.250	20,588 per 10,000 units	20,788 per 10,000 units	2014/04/29	300,000,000	2013/07/15
62839	Credit Suisse AG	HSI R Bull CBBC Apr 2014 T	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/04/29	300,000,000	2013/07/15
62840	Credit Suisse AG	HSI R Bull CBBC Apr 2014 U	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/04/29	300,000,000	2013/07/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62841	Credit Suisse AG	HSI R Bull CBBC Apr 2014 V	0.250	20,050 per 12,000 units	20,250 per 12,000 units	2014/04/29	300,000,000	2013/07/15
62853	Credit Suisse AG	HSI R Bear CBBC Oct 2013 L	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/10/30	300,000,000	2013/07/15
62854	Credit Suisse AG	HSI R Bear CBBC Oct 2013 O	0.250	20,850 per 10,000 units	20,650 per 10,000 units	2013/10/30	300,000,000	2013/07/15
62859	Credit Suisse AG	HSI R Bear CBBC Oct 2013 Q	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/10/30	300,000,000	2013/07/15
62851	Credit Suisse AG	HSI R Bear CBBC Nov 2013 F	0.250	20,664 per 12,000 units	20,464 per 12,000 units	2013/11/28	300,000,000	2013/07/15
62830	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 A	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/10/30	120,000,000	2013/07/15
62874	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 J	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2013/10/30	120,000,000	2013/07/15
62873	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 L	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2013/10/30	120,000,000	2013/07/15
62866	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 V	0.250	19,988 per 20,000 units	20,288 per 20,000 units	2013/11/28	150,000,000	2013/07/15
62867	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 W	0.250	19,238 per 10,000 units	19,438 per 10,000 units	2013/11/28	150,000,000	2013/07/15
62811	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 P	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2013/11/28	100,000,000	2013/07/15
62817	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 Q	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/11/28	100,000,000	2013/07/15
62818	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 C	0.250	20,300 per 20,000 units	20,500 per 20,000 units	2013/12/30	200,000,000	2013/07/15
62819	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 S	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	100,000,000	2013/07/15
62806	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 M	0.250	20,488 per 10,000 units	20,588 per 10,000 units	2013/11/28	200,000,000	2013/07/15
62807	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 N	0.250	20,200 per 15,000 units	20,400 per 15,000 units	2013/11/28	200,000,000	2013/07/15
62850	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 Q	0.250	20,688 per 10,000 units	20,588 per 10,000 units	2013/10/30	200,000,000	2013/07/15
62848	UBS AG	HSI R Bull CBBC Nov 2013 C	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2013/11/28	300,000,000	2013/07/15
62842	UBS AG	HSI R Bull CBBC Dec 2013 E	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/12/30	300,000,000	2013/07/15
62843	UBS AG	HSI R Bull CBBC Feb 2014 B	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/02/27	300,000,000	2013/07/15
62844	UBS AG	HSI R Bull CBBC Mar 2014 L	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/03/28	300,000,000	2013/07/15
62863	UBS AG	HSI R Bear CBBC Nov 2013 W	0.250	20,700 per 10,000 units	20,500 per 10,000 units	2013/11/28	300,000,000	2013/07/15
62860	UBS AG	HSI R Bear CBBC Feb 2014 G	0.250	20,664 per 12,000 units	20,464 per 12,000 units	2014/02/27	350,000,000	2013/07/15
62891	Credit Suisse AG	HSI R Bull CBBC Feb 2014 K	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/02/27	300,000,000	2013/07/16
62892	Credit Suisse AG	HSI R Bull CBBC Feb 2014 L	0.250	20,483 per 10,000 units	20,683 per 10,000 units	2014/02/27	300,000,000	2013/07/16
62878	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	20,788 per 10,000 units	20,588 per 10,000 units	2013/11/28	300,000,000	2013/07/16
62881	Credit Suisse AG	HSI R Bear CBBC Nov 2013 W	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/11/28	300,000,000	2013/07/16
62893	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 K	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2013/10/30	120,000,000	2013/07/16
62894	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 M	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	120,000,000	2013/07/16
62895	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 X	0.250	19,988 per 7,000 units	20,188 per 7,000 units	2013/11/28	120,000,000	2013/07/16
62897	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 Y	0.253	20,188 per 7,000 units	20,388 per 7,000 units	2013/11/28	120,000,000	2013/07/16
62898	Goldman Sachs SP (Asia)	HSI R Bear CBBC Nov 2013 I	0.250	21,088 per 7,000 units	20,888 per 7,000 units	2013/11/28	120,000,000	2013/07/16
62877	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 D	0.250	19,300 per 20,000 units	19,500 per 20,000 units	2013/12/30	200,000,000	2013/07/16
62875	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 T	0.250	20,800 per 10,000 units	20,600 per 10,000 units	2013/10/30	100,000,000	2013/07/16
62889	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 O	0.250	20,388 per 10,000 units	20,488 per 10,000 units	2013/11/28	200,000,000	2013/07/16
62906	UBS AG	AIA R Bull CBBC Jun 2014 B	0.250	30.88 per 100 units	31.88 per 100 units	2014/06/23	100,000,000	2013/07/16
62901	UBS AG	China Mobile R Bull CBBC Dec 2013 B	0.250	72.50 per 100 units	75 per 100 units	2013/12/16	100,000,000	2013/07/16
62899	UBS AG	Cheung Kong R Bull CBBC Jun 2014 A	0.250	91.50 per 100 units	97.50 per 100 units	2014/06/23	100,000,000	2013/07/16
62902	UBS AG	HKEx R Bull CBBC May 2014 A	0.250	107.50 per 100 units	112.50 per 100 units	2014/05/19	100,000,000	2013/07/16
62909	UBS AG	HSI R Bull CBBC Dec 2013 H	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2013/12/30	300,000,000	2013/07/16
62908	UBS AG	HSI R Bull CBBC Apr 2014 Q	0.250	20,483 per 10,000 units	20,683 per 10,000 units	2014/04/29	300,000,000	2013/07/16
62910	UBS AG	HSI R Bull CBBC Jun 2014 J	0.250	19,400 per 38,000 units	19,800 per 38,000 units	2014/06/27	300,000,000	2013/07/16
62886	UBS AG	HSI R Bear CBBC Dec 2013 B	0.250	20,900 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/07/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62882	UBS AG	HSI R Bear CBBC Jan 2014 E	0.250	20,783 per 10,000 units	20,583 per 10,000 units	2014/01/29	300,000,000	2013/07/16
62903	UBS AG	Lenovo R Bull CBBC Dec 2013 E	0.250	6	6.5	2013/12/16	100,000,000	2013/07/16
62904	UBS AG	TCH R Bull CBBC Jun 2014 A	0.300	272 per 100 units	280 per 100 units	2014/06/23	100,000,000	2013/07/16
62951	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 J	0.250	20,050 per 10,000 units	20,250 per 10,000 units	2014/03/28	300,000,000	2013/07/17
62954	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 P	0.250	20,150 per 10,000 units	20,350 per 10,000 units	2014/03/28	300,000,000	2013/07/17
62956	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 X	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/07/17
62919	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 V	0.250	21,000 per 10,000 units	20,800 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62920	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	21,100 per 10,000 units	20,900 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62926	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 X	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62946	Credit Suisse AG	HSI R Bull CBBC Jan 2014 B	0.250	20,450 per 12,000 units	20,650 per 12,000 units	2014/01/29	300,000,000	2013/07/17
62942	Credit Suisse AG	HSI R Bull CBBC Jan 2014 D	0.250	20,704 per 10,000 units	20,904 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62947	Credit Suisse AG	HSI R Bull CBBC Jan 2014 E	0.250	20,188 per 12,000 units	20,388 per 12,000 units	2014/01/29	300,000,000	2013/07/17
62943	Credit Suisse AG	HSI R Bull CBBC Jan 2014 X	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62911	Credit Suisse AG	HSI R Bull CBBC May 2014 K	0.250	19,888 per 20,000 units	20,188 per 20,000 units	2014/05/29	300,000,000	2013/07/17
62912	Credit Suisse AG	HSI R Bull CBBC May 2014 L	0.250	19,288 per 20,000 units	19,588 per 20,000 units	2014/05/29	300,000,000	2013/07/17
62917	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2013/11/28	300,000,000	2013/07/17
62913	Credit Suisse AG	HSI R Bear CBBC Nov 2013 X	0.250	20,888 per 10,000 units	20,688 per 10,000 units	2013/11/28	300,000,000	2013/07/17
62916	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Z	0.250	20,950 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/07/17
62950	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 H	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2013/10/30	120,000,000	2013/07/17
62949	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 L	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/10/30	120,000,000	2013/07/17
62968	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 J	0.255	20,588 per 7,000 units	20,788 per 7,000 units	2013/11/28	150,000,000	2013/07/17
62969	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 O	0.253	20,338 per 10,000 units	20,538 per 10,000 units	2013/11/28	150,000,000	2013/07/17
62971	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 P	0.257	20,188 per 20,000 units	20,488 per 20,000 units	2013/11/28	150,000,000	2013/07/17
62972	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 R	0.250	20,388 per 20,000 units	20,688 per 20,000 units	2013/11/28	150,000,000	2013/07/17
62965	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 Z	0.252	20,388 per 7,000 units	20,588 per 7,000 units	2013/11/28	150,000,000	2013/07/17
62974	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 R	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62975	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 S	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62976	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 X	0.250	20,300 per 10,000 units	20,500 per 10,000 units	2014/02/27	300,000,000	2013/07/17
62977	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 R	0.250	20,100 per 10,000 units	20,300 per 10,000 units	2014/03/28	300,000,000	2013/07/17
62948	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 P	0.250	20,788 per 10,000 units	20,888 per 10,000 units	2013/11/28	200,000,000	2013/07/17
62938	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 R	0.250	20,988 per 10,000 units	20,888 per 10,000 units	2013/10/30	200,000,000	2013/07/17
62939	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 S	0.250	21,088 per 10,000 units	20,988 per 10,000 units	2013/10/30	200,000,000	2013/07/17
62964	UBS AG	CSOP A50 ETF R Bull CBBC Feb 2014 A	0.250	8.2	8.5	2014/02/17	100,000,000	2013/07/17
62936	UBS AG	China Shenhua R Bull CBBC Jul 2014 B	0.360	15.88	17.88	2014/07/21	30,000,000	2013/07/17
62960	UBS AG	HSI R Bull CBBC Nov 2013 R	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2013/11/28	300,000,000	2013/07/17
62957	UBS AG	HSI R Bull CBBC Dec 2013 B	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/12/30	300,000,000	2013/07/17
62963	UBS AG	HSI R Bull CBBC Jan 2014 K	0.250	20,704 per 10,000 units	20,904 per 10,000 units	2014/01/29	300,000,000	2013/07/17
62928	UBS AG	HSI R Bear CBBC Dec 2013 N	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/12/30	300,000,000	2013/07/17
62932	UBS AG	HSI R Bear CBBC Dec 2013 O	0.250	21,050 per 10,000 units	20,850 per 10,000 units	2013/12/30	300,000,000	2013/07/17
62933	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	20,884 per 12,000 units	20,684 per 12,000 units	2014/04/29	350,000,000	2013/07/17
62999	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 M	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/03/28	300,000,000	2013/07/18
63000	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 N	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/03/28	300,000,000	2013/07/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63001	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 O	0.250	20,700 per 10,000 units	20,900 per 10,000 units	2014/03/28	300,000,000	2013/07/18
62997	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Y	0.250	20,250 per 10,000 units	20,450 per 10,000 units	2014/03/28	300,000,000	2013/07/18
62998	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Mar 2014 Z	0.250	20,350 per 10,000 units	20,550 per 10,000 units	2014/03/28	300,000,000	2013/07/18
63017	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 A	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63019	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 F	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63021	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 G	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63022	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 H	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63046	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 I	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63016	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Y	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63018	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 Z	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/04/29	300,000,000	2013/07/18
63004	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63023	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63024	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 L	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63002	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Y	0.250	21,300 per 10,000 units	21,100 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63003	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Z	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63034	Credit Suisse AG	HSI R Bull CBBC May 2014 M	0.250	21,237 per 10,000 units	21,437 per 10,000 units	2014/05/29	300,000,000	2013/07/18
63035	Credit Suisse AG	HSI R Bull CBBC May 2014 N	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/05/29	300,000,000	2013/07/18
63036	Credit Suisse AG	HSI R Bull CBBC May 2014 O	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/05/29	300,000,000	2013/07/18
63037	Credit Suisse AG	HSI R Bull CBBC May 2014 P	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/05/29	300,000,000	2013/07/18
63039	Credit Suisse AG	HSI R Bull CBBC May 2014 Q	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/05/29	300,000,000	2013/07/18
63040	Credit Suisse AG	HSI R Bull CBBC May 2014 R	0.250	20,600 per 12,000 units	20,800 per 12,000 units	2014/05/29	300,000,000	2013/07/18
63041	Credit Suisse AG	HSI R Bull CBBC May 2014 S	0.250	20,500 per 12,000 units	20,700 per 12,000 units	2014/05/29	300,000,000	2013/07/18
62987	Credit Suisse AG	HSI R Bear CBBC Oct 2013 E	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/10/30	300,000,000	2013/07/18
63027	Credit Suisse AG	HSI R Bear CBBC Oct 2013 G	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/10/30	300,000,000	2013/07/18
62984	Credit Suisse AG	HSI R Bear CBBC Oct 2013 P	0.250	21,200 per 10,000 units	21,000 per 10,000 units	2013/10/30	300,000,000	2013/07/18
62986	Credit Suisse AG	HSI R Bear CBBC Oct 2013 R	0.250	21,350 per 10,000 units	21,150 per 10,000 units	2013/10/30	300,000,000	2013/07/18
62983	Credit Suisse AG	HSI R Bear CBBC Oct 2013 S	0.250	21,105 per 10,000 units	20,905 per 10,000 units	2013/10/30	300,000,000	2013/07/18
63028	Credit Suisse AG	HSI R Bear CBBC Nov 2013 J	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/11/28	300,000,000	2013/07/18
63043	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 I	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63044	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 M	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63045	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 N	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63005	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 N	0.250	21,150 per 10,000 units	20,950 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63006	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 O	0.250	21,400 per 10,000 units	21,200 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63025	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 P	0.250	21,560 per 10,000 units	21,360 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63026	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 Q	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/10/30	120,000,000	2013/07/18
63048	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 S	0.254	20,788 per 7,000 units	20,988 per 7,000 units	2013/11/28	150,000,000	2013/07/18
63049	Goldman Sachs SP (Asia)	HSI R Bull CBBC Nov 2013 U	0.254	20,988 per 7,000 units	21,188 per 7,000 units	2013/11/28	150,000,000	2013/07/18
63281	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 A	0.255	21,188 per 7,000 units	21,388 per 7,000 units	2013/12/30	150,000,000	2013/07/18
63321	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 B	0.255	20,788 per 20,000 units	21,088 per 20,000 units	2013/12/30	150,000,000	2013/07/18
63222	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 T	0.250	20,200 per 10,000 units	20,400 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63239	J P Morgan SP BV	HSI R Bull CBBC Jan 2014 U	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63232	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 Y	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2014/02/27	300,000,000	2013/07/18
63252	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 Z	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/02/27	300,000,000	2013/07/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63238	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 S	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2014/03/28	300,000,000	2013/07/18
63253	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 T	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/03/28	300,000,000	2013/07/18
62988	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 Q	0.250	20,600 per 15,000 units	20,800 per 15,000 units	2013/11/28	200,000,000	2013/07/18
63013	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 R	0.250	20,888 per 10,000 units	20,988 per 10,000 units	2013/11/28	200,000,000	2013/07/18
63014	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 S	0.250	21,088 per 10,000 units	21,188 per 10,000 units	2013/11/28	200,000,000	2013/07/18
63015	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 T	0.250	20,500 per 15,000 units	20,700 per 15,000 units	2013/11/28	200,000,000	2013/07/18
63042	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 U	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2013/11/28	200,000,000	2013/07/18
62989	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 T	0.250	21,188 per 10,000 units	21,088 per 10,000 units	2013/10/30	200,000,000	2013/07/18
62990	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 U	0.250	21,388 per 10,000 units	21,288 per 10,000 units	2013/10/30	200,000,000	2013/07/18
63011	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 V	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2013/10/30	200,000,000	2013/07/18
63012	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 W	0.250	21,900 per 15,000 units	21,700 per 15,000 units	2013/10/30	200,000,000	2013/07/18
62996	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 A	0.250	22,500 per 15,000 units	22,300 per 15,000 units	2013/11/28	200,000,000	2013/07/18
63142	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2014 C	0.250	8.7	9	2014/01/20	100,000,000	2013/07/18
63150	UBS AG	CSOP A50 ETF R Bear CBBC Jan 2014 B	0.250	11.3	11	2014/01/27	100,000,000	2013/07/18
63129	UBS AG	A50 China R Bull CBBC Jan 2014 F	0.250	8.7	9	2014/01/20	100,000,000	2013/07/18
63183	UBS AG	HSCEI R Bull CBBC Jun 2014 D	0.250	8,800 per 10,000 units	9,000 per 10,000 units	2014/06/27	100,000,000	2013/07/18
63190	UBS AG	HSCEI R Bear CBBC Dec 2013 A	0.250	11,700 per 10,000 units	11,500 per 10,000 units	2013/12/30	100,000,000	2013/07/18
63069	UBS AG	HSI R Bull CBBC Dec 2013 F	0.250	20,500 per 10,000 units	20,700 per 10,000 units	2013/12/30	300,000,000	2013/07/18
63056	UBS AG	HSI R Bull CBBC Jan 2014 L	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/01/29	300,000,000	2013/07/18
63052	UBS AG	HSI R Bull CBBC Feb 2014 D	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/02/27	300,000,000	2013/07/18
63063	UBS AG	HSI R Bull CBBC Feb 2014 E	0.250	20,675 per 12,000 units	20,875 per 12,000 units	2014/02/27	350,000,000	2013/07/18
63058	UBS AG	HSI R Bull CBBC Mar 2014 J	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2014/03/28	300,000,000	2013/07/18
63050	UBS AG	HSI R Bull CBBC Mar 2014 T	0.250	21,237 per 10,000 units	21,437 per 10,000 units	2014/03/28	300,000,000	2013/07/18
63008	UBS AG	HSI R Bear CBBC Oct 2013 R	0.250	21,250 per 10,000 units	21,050 per 10,000 units	2013/10/30	300,000,000	2013/07/18
63029	UBS AG	HSI R Bear CBBC Oct 2013 T	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/10/30	300,000,000	2013/07/18
63007	UBS AG	HSI R Bear CBBC Nov 2013 X	0.250	21,105 per 10,000 units	20,905 per 10,000 units	2013/11/28	300,000,000	2013/07/18
63010	UBS AG	HSI R Bear CBBC Dec 2013 P	0.250	21,500 per 10,000 units	21,300 per 10,000 units	2013/12/30	300,000,000	2013/07/18
63111	UBS AG	Hutchison R Bull CBBC Jun 2014 A	0.250	77 per 100 units	80 per 100 units	2014/06/16	100,000,000	2013/07/18
63161	UBS AG	Petrochina R Bull CBBC Dec 2013 B	0.250	8.1	8.5	2013/12/30	100,000,000	2013/07/18
63079	UBS AG	TCH R Bull CBBC Jun 2014 B	0.290	282 per 100 units	290 per 100 units	2014/06/30	100,000,000	2013/07/18
63503	Credit Suisse AG	HSI R Bull CBBC Apr 2014 A	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/04/29	300,000,000	2013/07/19
63456	Credit Suisse AG	HSI R Bull CBBC Apr 2014 W	0.250	21,228 per 12,000 units	21,428 per 12,000 units	2014/04/29	300,000,000	2013/07/19
63487	Credit Suisse AG	HSI R Bull CBBC Apr 2014 X	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/04/29	300,000,000	2013/07/19
63488	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Y	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/04/29	300,000,000	2013/07/19
63496	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Z	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/04/29	300,000,000	2013/07/19
63821	Credit Suisse AG	HSI R Bear CBBC Nov 2013 K	0.250	21,938 per 12,000 units	21,738 per 12,000 units	2013/11/28	300,000,000	2013/07/19
63849	Credit Suisse AG	HSI R Bear CBBC Nov 2013 L	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/07/19
63850	Credit Suisse AG	HSI R Bear CBBC Nov 2013 P	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2013/11/28	300,000,000	2013/07/19
63851	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Y	0.250	21,478 per 10,000 units	21,278 per 10,000 units	2013/11/28	300,000,000	2013/07/19
63862	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 O	0.250	20,600 per 10,000 units	20,800 per 10,000 units	2013/10/30	120,000,000	2013/07/19
63867	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Q	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/10/30	120,000,000	2013/07/19
63881	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 R	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/10/30	120,000,000	2013/07/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63882	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 S	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/10/30	120,000,000	2013/07/19
63889	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 A	0.253	21,688 per 7,000 units	21,488 per 7,000 units	2013/12/30	150,000,000	2013/07/19
63890	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 B	0.252	22,288 per 20,000 units	21,988 per 20,000 units	2013/12/30	150,000,000	2013/07/19
63758	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 R	0.250	20,400 per 10,000 units	20,600 per 10,000 units	2013/11/28	100,000,000	2013/07/19
63765	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 S	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/11/28	100,000,000	2013/07/19
63508	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 V	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2013/11/28	200,000,000	2013/07/19
63610	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 W	0.250	20,988 per 10,000 units	21,088 per 10,000 units	2013/11/28	200,000,000	2013/07/19
63768	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 X	0.250	21,488 per 10,000 units	21,388 per 10,000 units	2013/10/30	200,000,000	2013/07/19
63348	UBS AG	HSI R Bull CBBC Nov 2013 U	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2013/11/28	300,000,000	2013/07/19
63322	UBS AG	HSI R Bull CBBC Dec 2013 J	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/12/30	300,000,000	2013/07/19
63359	UBS AG	HSI R Bull CBBC Dec 2013 K	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/12/30	300,000,000	2013/07/19
63404	UBS AG	HSI R Bull CBBC Jan 2014 M	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/01/29	300,000,000	2013/07/19
63858	UBS AG	HSI R Bull CBBC Feb 2014 F	0.250	19,900 per 38,000 units	20,300 per 38,000 units	2014/02/27	300,000,000	2013/07/19
63852	UBS AG	HSI R Bear CBBC Oct 2013 K	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/07/19
63855	UBS AG	HSI R Bear CBBC Oct 2013 O	0.250	21,478 per 10,000 units	21,278 per 10,000 units	2013/10/30	300,000,000	2013/07/19
63945	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Q	0.250	20,988 per 12,000 units	21,188 per 12,000 units	2013/12/30	300,000,000	2013/07/22
63947	Credit Suisse AG	HSI R Bull CBBC Dec 2013 R	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2013/12/30	300,000,000	2013/07/22
63953	Credit Suisse AG	HSI R Bull CBBC Dec 2013 S	0.250	21,168 per 10,000 units	21,368 per 10,000 units	2013/12/30	300,000,000	2013/07/22
63914	Credit Suisse AG	HSI R Bear CBBC Dec 2013 L	0.250	21,488 per 12,000 units	21,288 per 12,000 units	2013/12/30	300,000,000	2013/07/22
63916	Credit Suisse AG	HSI R Bear CBBC Dec 2013 M	0.250	21,788 per 12,000 units	21,588 per 12,000 units	2013/12/30	300,000,000	2013/07/22
63970	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 P	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2013/10/30	120,000,000	2013/07/22
63966	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Y	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2013/10/30	120,000,000	2013/07/22
63974	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 T	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/10/30	120,000,000	2013/07/22
63912	Bank of East Asia	A50 China R Bull CBBC Jun 2014 A	0.250	8.2	8.5	2014/06/26	60,000,000	2013/07/22
63913	Bank of East Asia	ICBC R Bull CBBC Jun 2014 A	0.250	3.98	4.2	2014/06/26	60,000,000	2013/07/22
63911	Bank of East Asia	Ping An R Bull CBBC Jun 2014 B	0.250	41.50 per 100 units	45 per 100 units	2014/06/26	60,000,000	2013/07/22
63978	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 C	0.253	20,588 per 20,000 units	20,888 per 20,000 units	2013/12/30	150,000,000	2013/07/22
63960	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 B	0.250	20,550 per 10,000 units	20,750 per 10,000 units	2014/02/27	300,000,000	2013/07/22
63962	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 U	0.250	20,900 per 10,000 units	21,100 per 10,000 units	2014/03/28	300,000,000	2013/07/22
63959	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 H	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/01/29	300,000,000	2013/07/22
63956	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 E	0.250	20,628 per 10,000 units	20,828 per 10,000 units	2013/12/30	100,000,000	2013/07/22
63957	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 F	0.250	20,428 per 10,000 units	20,628 per 10,000 units	2013/12/30	100,000,000	2013/07/22
63955	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 H	0.250	20,828 per 10,000 units	21,028 per 10,000 units	2013/12/30	100,000,000	2013/07/22
63958	Merrill Lynch Int'l & Co. C.V.	HSI R Bull CBBC Dec 2013 I	0.250	20,228 per 10,000 units	20,428 per 10,000 units	2013/12/30	100,000,000	2013/07/22
63954	Merrill Lynch Int'l & Co. C.V.	HSI R Bear CBBC Dec 2013 V	0.250	21,588 per 10,000 units	21,388 per 10,000 units	2013/12/30	100,000,000	2013/07/22
63891	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 T	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2013/11/28	100,000,000	2013/07/22
63904	Standard Chartered Bank	HSI R Bear CBBC Oct 2013 U	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/10/30	100,000,000	2013/07/22
63941	UBS AG	HSI R Bull CBBC Nov 2013 A	0.250	21,168 per 10,000 units	21,368 per 10,000 units	2013/11/28	300,000,000	2013/07/22
63922	UBS AG	HSI R Bull CBBC Jan 2014 N	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/01/29	300,000,000	2013/07/22
63923	UBS AG	HSI R Bull CBBC Mar 2014 M	0.250	21,000 per 10,000 units	21,200 per 10,000 units	2014/03/28	300,000,000	2013/07/22
63921	UBS AG	HSI R Bear CBBC Nov 2013 N	0.250	21,550 per 10,000 units	21,350 per 10,000 units	2013/11/28	300,000,000	2013/07/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63943	UBS AG	TCH R Bull CBBC Jan 2014 B	0.310	292 per 100 units	300 per 100 units	2014/01/13	100,000,000	2013/07/22
63942	UBS AG	TCH R Bear CBBC Jun 2014 A	0.370	358 per 100 units	350 per 100 units	2014/06/16	100,000,000	2013/07/22
64018	Credit Suisse AG	HSI R Bull CBBC Dec 2013 T	0.250	20,888 per 12,000 units	21,088 per 12,000 units	2013/12/30	300,000,000	2013/07/23
64020	Credit Suisse AG	HSI R Bull CBBC Dec 2013 W	0.250	21,108 per 12,000 units	21,308 per 12,000 units	2013/12/30	300,000,000	2013/07/23
63994	Credit Suisse AG	HSI R Bear CBBC Dec 2013 N	0.250	21,518 per 12,000 units	21,318 per 12,000 units	2013/12/30	300,000,000	2013/07/23
64003	Credit Suisse AG	HSI R Bear CBBC Dec 2013 O	0.250	21,738 per 12,000 units	21,538 per 12,000 units	2013/12/30	300,000,000	2013/07/23
64030	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 B	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2013/10/30	120,000,000	2013/07/23
64033	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 V	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/10/30	120,000,000	2013/07/23
63989	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 X	0.250	20,800 per 15,000 units	21,000 per 15,000 units	2013/11/28	200,000,000	2013/07/23
63991	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 Y	0.250	21,600 per 15,000 units	21,400 per 15,000 units	2013/10/30	200,000,000	2013/07/23
64024	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2014 D	0.250	8.45	8.75	2014/01/13	100,000,000	2013/07/23
64021	UBS AG	A50 China R Bull CBBC Jan 2014 G	0.250	8.45	8.75	2014/01/13	100,000,000	2013/07/23
64029	UBS AG	HSI R Bull CBBC Apr 2014 S	0.250	21,112 per 10,000 units	21,312 per 10,000 units	2014/04/29	300,000,000	2013/07/23
64005	UBS AG	HSI R Bear CBBC Dec 2013 M	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2013/12/30	300,000,000	2013/07/23
64009	UBS AG	HSI R Bear CBBC Jan 2014 F	0.250	21,488 per 12,000 units	21,288 per 12,000 units	2014/01/29	350,000,000	2013/07/23
64027	UBS AG	TCH R Bull CBBC Jun 2014 C	0.250	312 per 100 units	320 per 100 units	2014/06/26	100,000,000	2013/07/23
64026	UBS AG	TCH R Bear CBBC Dec 2013 D	0.590	388 per 100 units	380 per 100 units	2013/12/16	100,000,000	2013/07/23
64025	UBS AG	TCH R Bear CBBC Jan 2014 A	0.790	408 per 100 units	400 per 100 units	2014/01/06	100,000,000	2013/07/23
64042	Credit Suisse AG	HSI R Bull CBBC Jan 2014 F	0.250	21,171 per 10,000 units	21,371 per 10,000 units	2014/01/29	300,000,000	2013/07/24
64039	Credit Suisse AG	HSI R Bear CBBC Oct 2013 H	0.250	21,513 per 10,000 units	21,313 per 10,000 units	2013/10/30	300,000,000	2013/07/24
64047	J P Morgan SP BV	HSI R Bull CBBC Feb 2014 C	0.250	20,450 per 10,000 units	20,650 per 10,000 units	2014/02/27	300,000,000	2013/07/24
64049	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 V	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/03/28	300,000,000	2013/07/24
64046	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 I	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/07/24
64037	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 U	0.250	20,800 per 10,000 units	21,000 per 10,000 units	2013/11/28	100,000,000	2013/07/24
64045	UBS AG	HSI R Bull CBBC May 2014 I	0.250	21,171 per 12,000 units	21,371 per 12,000 units	2014/05/29	350,000,000	2013/07/24
64040	UBS AG	HSI R Bear CBBC Oct 2013 P	0.250	21,513 per 10,000 units	21,313 per 10,000 units	2013/10/30	300,000,000	2013/07/24
64050	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 N	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/04/29	300,000,000	2013/07/25
64057	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 M	0.250	21,600 per 10,000 units	21,400 per 10,000 units	2014/01/29	300,000,000	2013/07/25
64065	Credit Suisse AG	HSI R Bull CBBC Feb 2014 M	0.250	21,176 per 10,000 units	21,376 per 10,000 units	2014/02/27	300,000,000	2013/07/25
64061	Credit Suisse AG	HSI R Bear CBBC Oct 2013 J	0.250	21,572 per 10,000 units	21,372 per 10,000 units	2013/10/30	300,000,000	2013/07/25
64064	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 Y	0.250	20,688 per 10,000 units	20,788 per 10,000 units	2013/11/28	200,000,000	2013/07/25
64090	UBS AG	HKEx R Bull CBBC Jun 2014 B	0.250	110 per 100 units	115 per 100 units	2014/06/09	100,000,000	2013/07/25
64105	UBS AG	HSBC R Bull CBBC May 2014 A	0.250	77 per 100 units	80 per 100 units	2014/05/05	100,000,000	2013/07/25
64086	UBS AG	HSI R Bull CBBC Feb 2014 G	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/02/27	300,000,000	2013/07/25
64107	UBS AG	HSI R Bear CBBC Oct 2013 U	0.250	21,546 per 12,000 units	21,346 per 12,000 units	2013/10/30	350,000,000	2013/07/25
64098	UBS AG	Hutchison R Bear CBBC Feb 2014 A	0.250	98 per 100 units	95 per 100 units	2014/02/10	100,000,000	2013/07/25
64128	BNP Paribas Arbit Issu B.V.	A50 R Bear CBBC Mar 2014 A	0.250	10.1	9.8	2014/03/28	200,000,000	2013/07/26
64129	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Dec 2013 I	0.250	8,800 per 5,000 units	9,000 per 5,000 units	2013/12/30	200,000,000	2013/07/26
64131	Credit Suisse AG	HSI R Bull CBBC Jan 2014 G	0.250	21,177 per 12,000 units	21,377 per 12,000 units	2014/01/29	300,000,000	2013/07/26
64134	Credit Suisse AG	HSI R Bull CBBC Jan 2014 J	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/01/29	300,000,000	2013/07/26
64125	Credit Suisse AG	HSI R Bear CBBC Oct 2013 K	0.250	21,546 per 10,000 units	21,346 per 10,000 units	2013/10/30	300,000,000	2013/07/26
64141	Credit Suisse AG	HSI R Bear CBBC Oct 2013 L	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/10/30	300,000,000	2013/07/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64145	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 C	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2013/10/30	120,000,000	2013/07/26
64146	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 U	0.250	21,580 per 10,000 units	21,380 per 10,000 units	2013/10/30	120,000,000	2013/07/26
64108	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 Z	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2013/11/28	200,000,000	2013/07/26
64111	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 A	0.250	21,488 per 10,000 units	21,388 per 10,000 units	2013/10/30	200,000,000	2013/07/26
64139	UBS AG	China Mobile R Bear CBBC Jan 2014 A	0.250	90 per 100 units	87.50 per 100 units	2014/01/06	100,000,000	2013/07/26
64137	UBS AG	HSI R Bull CBBC Jan 2014 O	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/01/29	300,000,000	2013/07/26
64126	UBS AG	HSI R Bear CBBC Dec 2013 S	0.250	21,575 per 12,000 units	21,375 per 12,000 units	2013/12/30	350,000,000	2013/07/26
64138	UBS AG	Ping An R Bull CBBC Jun 2014 A	0.250	41 per 100 units	45 per 100 units	2014/06/23	100,000,000	2013/07/26
64233	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 U	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/04/29	300,000,000	2013/07/29
64230	Credit Suisse AG	HSI R Bull CBBC Feb 2014 N	0.250	21,216 per 10,000 units	21,416 per 10,000 units	2014/02/27	300,000,000	2013/07/29
64210	Credit Suisse AG	HSI R Bear CBBC Oct 2013 O	0.250	21,516 per 10,000 units	21,316 per 10,000 units	2013/10/30	300,000,000	2013/07/29
64234	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 D	0.252	21,188 per 7,000 units	21,388 per 7,000 units	2013/12/30	150,000,000	2013/07/29
64237	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 E	0.258	21,038 per 10,000 units	21,238 per 10,000 units	2013/12/30	150,000,000	2013/07/29
64172	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2014 B	0.250	8.48	8.78	2014/01/20	80,000,000	2013/07/29
64174	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2014 C	0.250	8.18	8.48	2014/01/27	80,000,000	2013/07/29
64170	HK Bank	A50 R Bull CBBC Jan 2014 D	0.250	8.38	8.68	2014/01/22	100,000,000	2013/07/29
64171	HK Bank	A50 R Bull CBBC Jan 2014 E	0.250	8.58	8.88	2014/01/20	100,000,000	2013/07/29
64168	HK Bank	HSCEI R Bull CBBC Dec 2013 D	0.250	8,888 per 10,000 units	9,088 per 10,000 units	2013/12/30	100,000,000	2013/07/29
64166	HK Bank	HSCEI R Bull CBBC Jan 2014 B	0.250	8,588 per 10,000 units	8,788 per 10,000 units	2014/01/29	100,000,000	2013/07/29
64160	HK Bank	HSI R Bull CBBC Nov 2013 Q	0.250	20,588 per 15,000 units	20,788 per 15,000 units	2013/11/28	100,000,000	2013/07/29
64161	HK Bank	HSI R Bull CBBC Nov 2013 R	0.250	20,988 per 15,000 units	21,188 per 15,000 units	2013/11/28	100,000,000	2013/07/29
64153	HK Bank	HSI R Bull CBBC Dec 2013 N	0.250	19,788 per 15,000 units	19,988 per 15,000 units	2013/12/30	100,000,000	2013/07/29
64158	HK Bank	HSI R Bull CBBC Dec 2013 O	0.250	20,188 per 15,000 units	20,388 per 15,000 units	2013/12/30	100,000,000	2013/07/29
64165	HK Bank	HSI R Bull CBBC Jan 2014 G	0.250	19,328 per 20,000 units	19,628 per 20,000 units	2014/01/29	400,000,000	2013/07/29
64175	HK Bank	TCH R Bull CBBC Jan 2014 A	0.370	298.88 per 100 units	308.88 per 100 units	2014/01/13	50,000,000	2013/07/29
64176	HK Bank	TCH R Bull CBBC Feb 2014 A	0.580	278.88 per 100 units	288.88 per 100 units	2014/02/24	50,000,000	2013/07/29
64177	HK Bank	TCH R Bear CBBC Jan 2014 A	0.410	372.88 per 100 units	362.88 per 100 units	2014/01/13	50,000,000	2013/07/29
64182	HK Bank	TCH R Bear CBBC Feb 2014 A	0.550	386.88 per 100 units	376.88 per 100 units	2014/02/24	50,000,000	2013/07/29
64208	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Oct13 L	0.250	21,588 per 10,000 units	21,488 per 10,000 units	2013/10/30	200,000,000	2013/07/29
64231	UBS AG	HSI R Bull CBBC May 2014 J	0.250	21,216 per 10,000 units	21,416 per 10,000 units	2014/05/29	300,000,000	2013/07/29
64221	UBS AG	HSI R Bear CBBC Nov 2013 R	0.250	21,650 per 10,000 units	21,450 per 10,000 units	2013/11/28	300,000,000	2013/07/29
64222	UBS AG	HSI R Bear CBBC Jan 2014 G	0.250	21,525 per 12,000 units	21,325 per 12,000 units	2014/01/29	350,000,000	2013/07/29
64223	UBS AG	TCH R Bull CBBC Jun 2014 D	0.250	302 per 100 units	310 per 100 units	2014/06/16	50,000,000	2013/07/29
64484	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 B	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64489	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 C	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64736	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 J	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64706	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 K	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64707	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 O	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64874	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64849	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 S	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64733	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 T	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/04/29	300,000,000	2013/07/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64482	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Apr 2014 V	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64523	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 N	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64556	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 O	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64567	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 P	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64583	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64739	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 R	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64640	Credit Suisse AG	HSI R Bull CBBC Apr 2014 F	0.250	21,100 per 10,000 units	21,300 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64753	Credit Suisse AG	HSI R Bull CBBC Apr 2014 J	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64757	Credit Suisse AG	HSI R Bull CBBC Apr 2014 P	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64754	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Q	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64804	Credit Suisse AG	HSI R Bull CBBC Apr 2014 W	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64805	Credit Suisse AG	HSI R Bull CBBC Apr 2014 X	0.250	21,715 per 10,000 units	21,915 per 10,000 units	2014/04/29	300,000,000	2013/07/30
64589	Credit Suisse AG	HSI R Bear CBBC Oct 2013 E	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64590	Credit Suisse AG	HSI R Bear CBBC Oct 2013 G	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64633	Credit Suisse AG	HSI R Bear CBBC Oct 2013 P	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64584	Credit Suisse AG	HSI R Bear CBBC Oct 2013 Q	0.250	21,617 per 10,000 units	21,417 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64639	Credit Suisse AG	HSI R Bear CBBC Oct 2013 R	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/10/30	300,000,000	2013/07/30
65130	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 D	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2013/10/30	120,000,000	2013/07/30
65141	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 W	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2013/10/30	120,000,000	2013/07/30
65165	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Oct 2013 Z	0.250	21,708 per 10,000 units	21,908 per 10,000 units	2013/10/30	120,000,000	2013/07/30
64327	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 W	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/10/30	120,000,000	2013/07/30
64336	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Oct 2013 X	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/10/30	120,000,000	2013/07/30
65079	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 F	0.258	21,588 per 7,000 units	21,788 per 7,000 units	2013/12/30	150,000,000	2013/07/30
65083	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 G	0.259	21,388 per 7,000 units	21,588 per 7,000 units	2013/12/30	150,000,000	2013/07/30
65108	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 H	0.257	21,438 per 10,000 units	21,638 per 10,000 units	2013/12/30	150,000,000	2013/07/30
65110	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 I	0.262	21,188 per 20,000 units	21,488 per 20,000 units	2013/12/30	150,000,000	2013/07/30
65127	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 J	0.260	20,988 per 20,000 units	21,288 per 20,000 units	2013/12/30	150,000,000	2013/07/30
64272	HK Bank	China Mobile R Bull CBBC Jan 2014 A	0.250	74.88 per 100 units	77.38 per 100 units	2014/01/06	120,000,000	2013/07/30
64286	HK Bank	COVS R Bull CBBC Jan 2014 A	0.330	17.48	18.48	2014/01/13	50,000,000	2013/07/30
64283	HK Bank	Minsheng Bank R Bear CBBC Dec 2013 B	0.250	10.18	9.68	2013/12/02	40,000,000	2013/07/30
64289	HK Bank	China Shenhua R Bull CBBC Feb 2014 B	0.560	16.88	18.88	2014/02/17	60,000,000	2013/07/30
64259	HK Bank	A50 R Bear CBBC Dec 2013 A	0.250	9.98	9.68	2013/12/09	50,000,000	2013/07/30
64256	HK Bank	HSCEI R Bear CBBC Dec 2013 D	0.250	9,988 per 10,000 units	9,788 per 10,000 units	2013/12/30	68,000,000	2013/07/30
64246	HK Bank	HSI R Bull CBBC Dec 2013 P	0.250	20,788 per 15,000 units	20,988 per 15,000 units	2013/12/30	100,000,000	2013/07/30
64255	HK Bank	HSI R Bull CBBC Dec 2013 Q	0.250	21,188 per 15,000 units	21,388 per 15,000 units	2013/12/30	100,000,000	2013/07/30
64245	HK Bank	HSI R Bull CBBC Jan 2014 H	0.250	19,988 per 15,000 units	20,188 per 15,000 units	2014/01/29	100,000,000	2013/07/30
64254	HK Bank	HSI R Bull CBBC Feb 2014 C	0.250	20,328 per 20,000 units	20,628 per 20,000 units	2014/02/27	400,000,000	2013/07/30
64280	HK Bank	Hutchison R Bull CBBC Jan 2014 A	0.250	76.38 per 100 units	79.38 per 100 units	2014/01/20	60,000,000	2013/07/30
65199	J P Morgan SP BV	HSI R Bull CBBC May 2014 A	0.250	20,950 per 10,000 units	21,150 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65335	J P Morgan SP BV	HSI R Bull CBBC May 2014 B	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65340	J P Morgan SP BV	HSI R Bull CBBC May 2014 C	0.250	21,150 per 10,000 units	21,350 per 10,000 units	2014/05/29	300,000,000	2013/07/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65466	J P Morgan SP BV	HSI R Bull CBBC May 2014 D	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65530	J P Morgan SP BV	HSI R Bull CBBC May 2014 E	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65531	J P Morgan SP BV	HSI R Bull CBBC May 2014 F	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65166	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 U	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/07/30
65182	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 V	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/07/30
64244	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 V	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/11/28	100,000,000	2013/07/30
64654	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 F	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64655	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 U	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64659	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Nov13 V	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64291	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 B	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64295	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 C	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64299	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 D	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/11/28	200,000,000	2013/07/30
64322	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 E	0.250	23,100 per 15,000 units	22,900 per 15,000 units	2013/11/28	200,000,000	2013/07/30
64817	UBS AG	AIA R Bull CBBC Jun 2014 C	0.250	32.88 per 100 units	33.88 per 100 units	2014/06/09	100,000,000	2013/07/30
64843	UBS AG	China Mobile R Bull CBBC Apr 2014 A	0.250	77.50 per 100 units	80 per 100 units	2014/04/28	100,000,000	2013/07/30
64847	UBS AG	CC Bank R Bull CBBC Apr 2014 A	0.250	4.95	5.25	2014/04/14	100,000,000	2013/07/30
64806	UBS AG	China Life R Bull CBBC Apr 2014 A	0.250	16.88	17.88	2014/04/28	100,000,000	2013/07/30
64962	UBS AG	HSCEI R Bull CBBC May 2014 D	0.250	9,050 per 10,000 units	9,250 per 10,000 units	2014/05/29	100,000,000	2013/07/30
65054	UBS AG	HSI R Bull CBBC Mar 2014 F	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/03/28	300,000,000	2013/07/30
65036	UBS AG	HSI R Bull CBBC Mar 2014 U	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/03/28	300,000,000	2013/07/30
65023	UBS AG	HSI R Bull CBBC Apr 2014 T	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2014/04/29	300,000,000	2013/07/30
65024	UBS AG	HSI R Bull CBBC May 2014 K	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/05/29	300,000,000	2013/07/30
65072	UBS AG	HSI R Bull CBBC May 2014 L	0.250	21,715 per 10,000 units	21,915 per 10,000 units	2014/05/29	300,000,000	2013/07/30
64480	UBS AG	HSI R Bear CBBC Oct 2013 G	0.250	21,617 per 10,000 units	21,417 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64472	UBS AG	HSI R Bear CBBC Oct 2013 O	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64459	UBS AG	HSI R Bear CBBC Oct 2013 Q	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64466	UBS AG	HSI R Bear CBBC Oct 2013 R	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64479	UBS AG	HSI R Bear CBBC Oct 2013 T	0.250	21,750 per 10,000 units	21,550 per 10,000 units	2013/10/30	300,000,000	2013/07/30
64337	UBS AG	HSI R Bear CBBC Jan 2014 H	0.270	24,100 per 10,000 units	23,900 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64338	UBS AG	HSI R Bear CBBC Jan 2014 I	0.255	23,950 per 10,000 units	23,750 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64351	UBS AG	HSI R Bear CBBC Jan 2014 J	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64364	UBS AG	HSI R Bear CBBC Jan 2014 K	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64382	UBS AG	HSI R Bear CBBC Jan 2014 L	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64393	UBS AG	HSI R Bear CBBC Jan 2014 M	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64400	UBS AG	HSI R Bear CBBC Jan 2014 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64457	UBS AG	HSI R Bear CBBC Jan 2014 O	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/01/29	300,000,000	2013/07/30
64808	UBS AG	ICBC R Bull CBBC Apr 2014 A	0.250	4.5	4.75	2014/04/07	100,000,000	2013/07/30
65958	Credit Suisse AG	HSI R Bull CBBC Mar 2014 A	0.250	21,768 per 10,000 units	21,968 per 10,000 units	2014/03/28	300,000,000	2013/07/31
65819	Credit Suisse AG	HSI R Bull CBBC Mar 2014 W	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/03/28	300,000,000	2013/07/31
65821	Credit Suisse AG	HSI R Bull CBBC Mar 2014 X	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/03/28	300,000,000	2013/07/31
65834	Credit Suisse AG	HSI R Bull CBBC Mar 2014 Y	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/03/28	300,000,000	2013/07/31

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65835	Credit Suisse AG	HSI R Bull CBBC Mar 2014 Z	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/03/28	300,000,000	2013/07/31
65957	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Y	0.250	20,588 per 20,000 units	20,888 per 20,000 units	2014/04/29	300,000,000	2013/07/31
65932	Credit Suisse AG	HSI R Bear CBBC Oct 2013 A	0.250	22,054 per 10,000 units	21,854 per 10,000 units	2013/10/30	300,000,000	2013/07/31
65952	Credit Suisse AG	HSI R Bear CBBC Oct 2013 B	0.250	22,500 per 12,000 units	22,300 per 12,000 units	2013/10/30	300,000,000	2013/07/31
65927	Credit Suisse AG	HSI R Bear CBBC Oct 2013 S	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/10/30	300,000,000	2013/07/31
65988	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 N	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/11/28	120,000,000	2013/07/31
65989	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 N	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/11/28	120,000,000	2013/07/31
65688	HK Bank	Cheung Kong R Bull CBBC Feb 2014 A	0.250	97.88 per 100 units	103.88 per 100 units	2014/02/24	60,000,000	2013/07/31
65701	HK Bank	CNOOC R Bull CBBC Jan 2014 A	0.250	12.08	12.88	2014/01/27	60,000,000	2013/07/31
65699	HK Bank	Sinopec Corp R Bull CBBC Feb 2014 A	0.250	4.98	5.28	2014/02/24	80,000,000	2013/07/31
65611	HK Bank	HSCEI R Bull CBBC Nov 2013 E	0.250	9,188 per 10,000 units	9,388 per 10,000 units	2013/11/28	100,000,000	2013/07/31
65576	HK Bank	HSI R Bull CBBC Dec 2013 R	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2013/12/30	100,000,000	2013/07/31
65571	HK Bank	HSI R Bull CBBC Jan 2014 I	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/01/29	100,000,000	2013/07/31
65536	HK Bank	HSI R Bull CBBC Feb 2014 D	0.250	20,388 per 15,000 units	20,588 per 15,000 units	2014/02/27	100,000,000	2013/07/31
65704	HK Bank	ICBC R Bull CBBC Jan 2014 A	0.250	4.43	4.68	2014/01/20	80,000,000	2013/07/31
65700	HK Bank	PetCh R Bull CBBC Jan 2014 A	0.250	8.18	8.58	2014/01/27	60,000,000	2013/07/31
65691	HK Bank	Sands China R Bull CBBC Apr 2014 A	0.250	34.68 per 100 units	37.68 per 100 units	2014/04/24	60,000,000	2013/07/31
65695	HK Bank	SHK Ppt R Bull CBBC Jan 2014 B	0.250	91.28 per 100 units	95.28 per 100 units	2014/01/20	60,000,000	2013/07/31
65994	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 A	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2014/06/27	300,000,000	2013/07/31
65996	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 B	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/07/31
65708	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 W	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2013/11/28	100,000,000	2013/07/31
65839	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 A	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2013/12/30	200,000,000	2013/07/31
65840	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 B	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2013/12/30	200,000,000	2013/07/31
65841	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 F	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/11/28	200,000,000	2013/07/31
65842	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 G	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2013/11/28	200,000,000	2013/07/31
65719	UBS AG	HSI R Bull CBBC Mar 2014 K	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/03/28	300,000,000	2013/07/31
65735	UBS AG	HSI R Bull CBBC Apr 2014 U	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/04/29	300,000,000	2013/07/31
65742	UBS AG	HSI R Bull CBBC Apr 2014 V	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/04/29	300,000,000	2013/07/31
65741	UBS AG	HSI R Bull CBBC May 2014 M	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2013/07/31
65982	UBS AG	HSI R Bull CBBC Jun 2014 K	0.250	21,768 per 10,000 units	21,968 per 10,000 units	2014/06/27	300,000,000	2013/07/31
65713	UBS AG	HSI R Bull CBBC Jul 2014 F	0.250	20,400 per 38,000 units	20,800 per 38,000 units	2014/07/30	300,000,000	2013/07/31
65906	UBS AG	HSI R Bear CBBC Nov 2013 Y	0.250	22,060 per 12,000 units	21,860 per 12,000 units	2013/11/28	350,000,000	2013/07/31
65845	UBS AG	HSI R Bear CBBC Dec 2013 T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2013/12/30	300,000,000	2013/07/31
65856	UBS AG	HSI R Bear CBBC Dec 2013 U	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/12/30	300,000,000	2013/07/31
65873	UBS AG	HSI R Bear CBBC Dec 2013 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/07/31
65843	UBS AG	HSI R Bear CBBC Feb 2014 H	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/02/27	300,000,000	2013/07/31
65852	UBS AG	HSI R Bear CBBC Feb 2014 I	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/02/27	300,000,000	2013/07/31
65872	UBS AG	HSI R Bear CBBC Feb 2014 J	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/07/31
65886	UBS AG	HSI R Bear CBBC Feb 2014 K	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/07/31
66041	Credit Suisse AG	HSI R Bull CBBC Feb 2014 O	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2014/02/27	300,000,000	2013/08/01
66061	Credit Suisse AG	HSI R Bull CBBC Feb 2014 P	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/02/27	300,000,000	2013/08/01

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66043	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Q	0.250	21,588 per 12,000 units	21,788 per 12,000 units	2014/02/27	300,000,000	2013/08/01
66045	Credit Suisse AG	HSI R Bull CBBC Feb 2014 R	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/02/27	300,000,000	2013/08/01
66133	Credit Suisse AG	HSI R Bear CBBC Nov 2013 H	0.250	22,101 per 10,000 units	21,901 per 10,000 units	2013/11/28	300,000,000	2013/08/01
66171	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 K	0.254	21,388 per 20,000 units	21,688 per 20,000 units	2013/12/30	150,000,000	2013/08/01
66034	HK Bank	BOCL R Bull CBBC Aug 2014 A	0.250	2.85	3.03	2014/08/28	80,000,000	2013/08/01
66016	HK Bank	COVS R Bear CBBC Jan 2014 A	0.300	24.78	23.78	2014/01/20	40,000,000	2013/08/01
66030	HK Bank	CC Bank R Bull CBBC Feb 2014 A	0.250	4.98	5.28	2014/02/24	80,000,000	2013/08/01
66037	HK Bank	China Life R Bull CBBC Feb 2014 A	0.250	16.68	17.68	2014/02/24	60,000,000	2013/08/01
66021	HK Bank	Minsheng Bank R Bull CBBC Jan 2014 A	0.250	6.38	6.88	2014/01/13	40,000,000	2013/08/01
66029	HK Bank	Minsheng Bank R Bull CBBC Mar 2014 A	0.310	4.78	5.28	2014/03/24	40,000,000	2013/08/01
66015	HK Bank	A50 R Bull CBBC Feb 2014 A	0.250	7.38	7.68	2014/02/24	100,000,000	2013/08/01
66000	HK Bank	HSI R Bull CBBC Feb 2014 E	0.250	20,728 per 15,000 units	21,028 per 15,000 units	2014/02/27	100,000,000	2013/08/01
65999	HK Bank	HSI R Bull CBBC Mar 2014 A	0.250	19,728 per 15,000 units	20,028 per 15,000 units	2014/03/28	100,000,000	2013/08/01
66172	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/06/27	300,000,000	2013/08/01
66173	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 D	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/08/01
66098	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 X	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/11/28	100,000,000	2013/08/01
66125	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 13C	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2013/12/30	200,000,000	2013/08/01
66127	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec 13D	0.250	21,400 per 15,000 units	21,600 per 15,000 units	2013/12/30	200,000,000	2013/08/01
66129	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov 13H	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2013/11/28	200,000,000	2013/08/01
66102	UBS AG	HSI R Bull CBBC Dec 2013 L	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/12/30	300,000,000	2013/08/01
66116	UBS AG	HSI R Bull CBBC Dec 2013 Z	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/08/01
66113	UBS AG	HSI R Bull CBBC Jan 2014 P	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/08/01
66103	UBS AG	HSI R Bull CBBC Feb 2014 I	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/02/27	300,000,000	2013/08/01
66105	UBS AG	HSI R Bull CBBC Feb 2014 O	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	300,000,000	2013/08/01
66163	UBS AG	HSI R Bear CBBC Dec 2013 W	0.250	22,101 per 10,000 units	21,901 per 10,000 units	2013/12/30	300,000,000	2013/08/01
66167	UBS AG	HSI R Bear CBBC Dec 2013 X	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2013/12/30	300,000,000	2013/08/01
66164	UBS AG	HSI R Bear CBBC Feb 2014 L	0.280	24,700 per 10,000 units	24,500 per 10,000 units	2014/02/27	300,000,000	2013/08/01
66170	UBS AG	HSI R Bear CBBC Feb 2014 M	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/08/01
66261	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 E	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	300,000,000	2013/08/02
66413	Credit Suisse AG	HSI R Bull CBBC Dec 2013 X	0.250	21,638 per 12,000 units	21,838 per 12,000 units	2013/12/30	300,000,000	2013/08/02
66426	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Y	0.250	21,768 per 12,000 units	21,968 per 12,000 units	2013/12/30	300,000,000	2013/08/02
66257	Credit Suisse AG	HSI R Bear CBBC Dec 2013 P	0.250	22,108 per 12,000 units	21,908 per 12,000 units	2013/12/30	300,000,000	2013/08/02
66258	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Q	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/08/02
66492	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 P	0.250	21,050 per 10,000 units	21,250 per 10,000 units	2013/11/28	120,000,000	2013/08/02
66505	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 R	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/11/28	120,000,000	2013/08/02
66516	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 O	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	120,000,000	2013/08/02
66254	HK Bank	AIA R Bull CBBC Mar 2014 A	0.350	32.38	33.38	2014/03/24	60,000,000	2013/08/02
66190	HK Bank	CAM CSI300 R Bull CBBC Mar 2014 A	0.460	23.88	24.88	2014/03/17	60,000,000	2013/08/02
66206	HK Bank	CAM CSI300 R Bull CBBC Apr 2014 A	0.620	22.28	23.28	2014/04/14	60,000,000	2013/08/02
66217	HK Bank	CPIC R Bull CBBC Mar 2014 A	0.400	22.18	23.18	2014/03/03	60,000,000	2013/08/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66240	HK Bank	Evergrande R Bull CBBC Apr 2014 A	0.250	2.08	2.48	2014/04/07	60,000,000	2013/08/02
66241	HK Bank	Evergrande R Bull CBBC Sep 2014 A	0.250	2.38	2.78	2014/09/24	60,000,000	2013/08/02
66189	HK Bank	PICC Group R Bull CBBC Apr 2014 A	0.250	2.48	2.68	2014/04/28	50,000,000	2013/08/02
66284	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 E	0.250	21,100 per 15,000 units	21,300 per 15,000 units	2013/12/30	200,000,000	2013/08/02
66347	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 I	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/11/28	200,000,000	2013/08/02
66359	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 J	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2013/11/28	200,000,000	2013/08/02
66277	UBS AG	CPIC R Bull CBBC Jan 2014 A	0.510	21	22	2014/01/13	30,000,000	2013/08/02
66361	UBS AG	Evergrande R Bull CBBC Jul 2014 A	0.250	2.65	3	2014/07/21	100,000,000	2013/08/02
66411	UBS AG	Evergrande R Bull CBBC Jul 2014 B	0.250	2.45	2.8	2014/07/08	100,000,000	2013/08/02
66360	UBS AG	Evergrande R Bear CBBC Feb 2014 A	0.250	4.15	3.8	2014/02/10	100,000,000	2013/08/02
66431	UBS AG	HSI R Bull CBBC Apr 2014 W	0.250	21,760 per 12,000 units	21,960 per 12,000 units	2014/04/29	350,000,000	2013/08/02
66262	UBS AG	HSI R Bear CBBC Nov 2013 Z	0.250	22,125 per 12,000 units	21,925 per 12,000 units	2013/11/28	350,000,000	2013/08/02
66475	UBS AG	Hutchison R Bear CBBC Jan 2014 A	0.250	103 per 100 units	100 per 100 units	2014/01/20	100,000,000	2013/08/02
66459	UBS AG	TCH R Bull CBBC Jul 2014 A	0.250	332 per 100 units	340 per 100 units	2014/07/07	100,000,000	2013/08/02
66434	UBS AG	TCH R Bear CBBC Mar 2014 A	0.800	428 per 100 units	420 per 100 units	2014/03/24	100,000,000	2013/08/02
66710	Credit Suisse AG	HSI R Bull CBBC Jun 2014 Y	0.250	21,768 per 10,000 units	21,968 per 10,000 units	2014/06/27	300,000,000	2013/08/05
66714	Credit Suisse AG	HSI R Bull CBBC Jun 2014 Z	0.250	21,688 per 10,000 units	21,888 per 10,000 units	2014/06/27	300,000,000	2013/08/05
66692	Credit Suisse AG	HSI R Bear CBBC Nov 2013 I	0.250	22,960 per 10,000 units	22,760 per 10,000 units	2013/11/28	300,000,000	2013/08/05
66693	Credit Suisse AG	HSI R Bear CBBC Nov 2013 M	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/11/28	300,000,000	2013/08/05
66694	Credit Suisse AG	HSI R Bear CBBC Nov 2013 N	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2013/11/28	300,000,000	2013/08/05
66761	Credit Suisse AG	HSI R Bear CBBC Nov 2013 O	0.250	22,044 per 10,000 units	21,844 per 10,000 units	2013/11/28	300,000,000	2013/08/05
66660	Credit Suisse AG	HSI R Bear CBBC Dec 2013 R	0.250	22,860 per 10,000 units	22,660 per 10,000 units	2013/12/30	300,000,000	2013/08/05
66682	Credit Suisse AG	HSI R Bear CBBC Dec 2013 S	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/08/05
66687	Credit Suisse AG	HSI R Bear CBBC Dec 2013 T	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/08/05
66689	Credit Suisse AG	HSI R Bear CBBC Dec 2013 U	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/12/30	300,000,000	2013/08/05
66691	Credit Suisse AG	HSI R Bear CBBC Dec 2013 V	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2013/12/30	300,000,000	2013/08/05
66695	Credit Suisse AG	HSI R Bear CBBC Jan 2014 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/01/29	300,000,000	2013/08/05
66700	Credit Suisse AG	HSI R Bear CBBC Jan 2014 D	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/01/29	300,000,000	2013/08/05
66701	Credit Suisse AG	HSI R Bear CBBC Jan 2014 E	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/01/29	300,000,000	2013/08/05
66702	Credit Suisse AG	HSI R Bear CBBC Feb 2014 B	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/08/05
66707	Credit Suisse AG	HSI R Bear CBBC Feb 2014 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/02/27	300,000,000	2013/08/05
66762	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 S	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/11/28	120,000,000	2013/08/05
66766	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 P	0.250	22,058 per 10,000 units	21,858 per 10,000 units	2013/11/28	120,000,000	2013/08/05
66628	HK Bank	Anhui Conch R Bull CBBC Sep 2014 C	0.250	19.18 per 100 units	21.18 per 100 units	2014/09/18	50,000,000	2013/08/05
66626	HK Bank	Anhui Conch R Bull CBBC Oct 2014 A	0.250	17.18 per 100 units	19.18 per 100 units	2014/10/16	50,000,000	2013/08/05
66561	HK Bank	China Mobile R Bull CBBC Dec 2013 E	0.250	77.78 per 100 units	80.28 per 100 units	2013/12/23	120,000,000	2013/08/05
66519	HK Bank	CNBM R Bull CBBC Jan 2014 A	0.250	5.86	6.66	2014/01/20	50,000,000	2013/08/05
66517	HK Bank	CNBM R Bull CBBC Feb 2014 A	0.250	5.2	6	2014/02/24	50,000,000	2013/08/05
66624	HK Bank	Galaxy Ent R Bull CBBC Jan 2014 A	0.700	33.48	34.48	2014/01/16	50,000,000	2013/08/05
66623	HK Bank	Galaxy Ent R Bull CBBC Mar 2014 A	0.380	36.68	37.68	2014/03/17	50,000,000	2013/08/05
66641	HK Bank	Galaxy Ent R Bear CBBC Jan 2014 B	0.920	49.27	48.27	2014/01/22	40,000,000	2013/08/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66659	HK Bank	Galaxy Ent R Bear CBBC Mar 2014 A	0.620	46.27	45.27	2014/03/17	40,000,000	2013/08/05
66527	HK Bank	Sands China R Bull CBBC May 2014 A	0.250	31.68 per 100 units	34.68 per 100 units	2014/05/19	60,000,000	2013/08/05
66619	HK Bank	TCH R Bull CBBC Feb 2014 B	0.350	313.88 per 100 units	323.88 per 100 units	2014/02/10	50,000,000	2013/08/05
66640	HK Bank	TCH R Bull CBBC Feb 2014 C	0.250	328.88 per 100 units	338.88 per 100 units	2014/02/10	50,000,000	2013/08/05
66632	HK Bank	TCH R Bear CBBC Feb 2014 B	0.560	401.88 per 100 units	391.88 per 100 units	2014/02/10	50,000,000	2013/08/05
66639	HK Bank	TCH R Bear CBBC Mar 2014 A	0.720	416.88 per 100 units	406.88 per 100 units	2014/03/17	50,000,000	2013/08/05
66592	HK Bank	Wharf Holdings R Bull CBBC Dec 2013 A	0.250	57 per 100 units	60 per 100 units	2013/12/23	40,000,000	2013/08/05
66708	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 A	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	100,000,000	2013/08/05
66717	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 K	0.250	22,200 per 15,000 units	22,000 per 15,000 units	2013/11/28	200,000,000	2013/08/05
66754	UBS AG	Anhui Conch R Bull CBBC Dec 2014 A	0.250	19 per 100 units	21 per 100 units	2014/12/29	100,000,000	2013/08/05
66755	UBS AG	Anhui Conch R Bull CBBC Dec 2014 B	0.250	17 per 100 units	19 per 100 units	2014/12/22	100,000,000	2013/08/05
66719	UBS AG	CNBM R Bull CBBC Feb 2014 A	0.250	5.7	6.5	2014/02/10	50,000,000	2013/08/05
66742	UBS AG	CNBM R Bull CBBC Mar 2014 A	0.250	5.2	6	2014/03/03	50,000,000	2013/08/05
66718	UBS AG	CNBM R Bear CBBC Mar 2014 A	0.250	8.8	8	2014/03/17	50,000,000	2013/08/05
66757	UBS AG	Galaxy Ent R Bull CBBC Jan 2014 A	0.570	34	35	2014/01/20	50,000,000	2013/08/05
66716	UBS AG	HSI R Bull CBBC Feb 2014 J	0.250	21,725 per 12,000 units	21,925 per 12,000 units	2014/02/27	350,000,000	2013/08/05
66759	UBS AG	HSI R Bear CBBC Nov 2013 D	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/08/05
66760	UBS AG	HSI R Bear CBBC Dec 2013 Y	0.250	22,044 per 12,000 units	21,844 per 12,000 units	2013/12/30	350,000,000	2013/08/05
66931	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 A	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/06
66860	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 F	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/08/06
66932	Credit Suisse AG	HSI R Bull CBBC Feb 2014 S	0.250	21,796 per 10,000 units	21,996 per 10,000 units	2014/02/27	300,000,000	2013/08/06
66934	Credit Suisse AG	HSI R Bull CBBC Feb 2014 T	0.250	21,577 per 10,000 units	21,777 per 10,000 units	2014/02/27	300,000,000	2013/08/06
66901	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Q	0.250	22,051 per 10,000 units	21,851 per 10,000 units	2013/11/28	300,000,000	2013/08/06
66905	Credit Suisse AG	HSI R Bear CBBC Nov 2013 R	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/06
66957	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 U	0.250	21,200 per 10,000 units	21,400 per 10,000 units	2013/11/28	120,000,000	2013/08/06
66960	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 V	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/11/28	120,000,000	2013/08/06
66972	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 Q	0.250	22,160 per 10,000 units	21,960 per 10,000 units	2013/11/28	120,000,000	2013/08/06
66954	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 L	0.254	21,738 per 10,000 units	21,938 per 10,000 units	2013/12/30	150,000,000	2013/08/06
66849	HK Bank	ABC R Bull CBBC Apr 2014 A	0.250	2.27	2.52	2014/04/28	80,000,000	2013/08/06
66851	HK Bank	ABC R Bear CBBC Mar 2014 A	0.250	3.93	3.68	2014/03/26	40,000,000	2013/08/06
66772	HK Bank	China Mobile R Bull CBBC Feb 2014 B	0.250	76.38 per 100 units	78.88 per 100 units	2014/02/24	120,000,000	2013/08/06
66773	HK Bank	China Mobile R Bull CBBC Feb 2014 C	0.250	79.28 per 100 units	81.78 per 100 units	2014/02/10	120,000,000	2013/08/06
66771	HK Bank	China Mobile R Bull CBBC Mar 2014 A	0.250	73.18 per 100 units	75.68 per 100 units	2014/03/10	120,000,000	2013/08/06
66794	HK Bank	China Mobile R Bear CBBC Apr 2014 A	0.250	98.88 per 100 units	96.38 per 100 units	2014/04/07	50,000,000	2013/08/06
66791	HK Bank	COVS R Bear CBBC Mar 2014 A	0.300	24.78	23.78	2014/03/31	40,000,000	2013/08/06
66859	HK Bank	Minsheng Bank R Bear CBBC Feb 2014 A	0.250	9.18	8.68	2014/02/20	40,000,000	2013/08/06
66769	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2014 A	0.250	7.58	7.88	2014/03/10	80,000,000	2013/08/06
66770	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2014 B	0.250	7.28	7.58	2014/03/31	80,000,000	2013/08/06
66848	HK Bank	China Shenhua R Bear CBBC Mar 2014 A	0.520	28.08	26.08	2014/03/17	40,000,000	2013/08/06
66767	HK Bank	A50 R Bull CBBC Feb 2014 B	0.250	7.58	7.88	2014/02/17	100,000,000	2013/08/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66768	HK Bank	A50 R Bull CBBC Mar 2014 A	0.250	7.18	7.48	2014/03/24	100,000,000	2013/08/06
66783	HK Bank	HKEx R Bull CBBC Jan 2014 A	0.250	100.28 per 100 units	106.28 per 100 units	2014/01/13	60,000,000	2013/08/06
66777	HK Bank	Hutchison R Bull CBBC Dec 2013 C	0.250	80.08 per 100 units	83.08 per 100 units	2013/12/30	60,000,000	2013/08/06
66852	HK Bank	ICBC R Bear CBBC Jan 2014 A	0.250	5.68	5.43	2014/01/27	40,000,000	2013/08/06
66847	HK Bank	Lenovo R Bull CBBC Apr 2014 A	0.250	4.83	5.33	2014/04/28	60,000,000	2013/08/06
66855	HK Bank	Sands China R Bear CBBC Jan 2014 A	0.250	48.28 per 100 units	45.28 per 100 units	2014/01/13	50,000,000	2013/08/06
66912	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 E	0.250	21,300 per 20,000 units	21,500 per 20,000 units	2013/12/30	200,000,000	2013/08/06
66916	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 B	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	100,000,000	2013/08/06
66925	UBS AG	Galaxy Ent R Bull CBBC Mar 2014 A	0.360	37	38	2014/03/10	50,000,000	2013/08/06
66924	UBS AG	Galaxy Ent R Bear CBBC Feb 2014 A	0.550	46	45	2014/02/24	50,000,000	2013/08/06
66953	UBS AG	HSI R Bull CBBC Jan 2014 Q	0.250	21,796 per 10,000 units	21,996 per 10,000 units	2014/01/29	300,000,000	2013/08/06
66947	UBS AG	HSI R Bull CBBC Mar 2014 V	0.250	21,525 per 12,000 units	21,725 per 12,000 units	2014/03/28	350,000,000	2013/08/06
66952	UBS AG	HSI R Bull CBBC Apr 2014 X	0.250	21,675 per 12,000 units	21,875 per 12,000 units	2014/04/29	350,000,000	2013/08/06
66883	UBS AG	HSI R Bear CBBC Nov 2013 E	0.250	22,051 per 10,000 units	21,851 per 10,000 units	2013/11/28	300,000,000	2013/08/06
66863	UBS AG	HSI R Bear CBBC Dec 2013 D	0.250	22,175 per 12,000 units	21,975 per 12,000 units	2013/12/30	350,000,000	2013/08/06
66929	UBS AG	Hutchison R Bull CBBC Feb 2014 A	0.250	82 per 100 units	85 per 100 units	2014/02/10	100,000,000	2013/08/06
66923	UBS AG	TCH R Bull CBBC Mar 2014 A	0.250	340 per 100 units	350 per 100 units	2014/03/03	50,000,000	2013/08/06
66943	UBS AG	TCH R Bear CBBC Dec 2013 E	0.450	400 per 100 units	390 per 100 units	2013/12/30	50,000,000	2013/08/06
66918	UBS AG	TCH R Bear CBBC Jan 2014 B	0.250	380 per 100 units	370 per 100 units	2014/01/20	50,000,000	2013/08/06
66917	UBS AG	TCH R Bear CBBC Apr 2014 A	0.950	450 per 100 units	440 per 100 units	2014/04/28	50,000,000	2013/08/06
67103	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 B	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/08/07
67116	Credit Suisse AG	HSI R Bull CBBC Jan 2014 H	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/08/07
67115	Credit Suisse AG	HSI R Bull CBBC Jan 2014 L	0.250	21,802 per 10,000 units	22,002 per 10,000 units	2014/01/29	300,000,000	2013/08/07
67046	Credit Suisse AG	HSI R Bear CBBC Nov 2013 S	0.250	22,154 per 10,000 units	21,954 per 10,000 units	2013/11/28	300,000,000	2013/08/07
67047	Credit Suisse AG	HSI R Bear CBBC Nov 2013 T	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/07
67118	Credit Suisse AG	HSI R Bear CBBC Nov 2013 U	0.250	22,084 per 12,000 units	21,884 per 12,000 units	2013/11/28	300,000,000	2013/08/07
67051	Credit Suisse AG	TCH R Bear CBBC Dec 2013 C	0.250	384 per 100 units	376 per 100 units	2013/12/20	80,000,000	2013/08/07
67053	Credit Suisse AG	TCH R Bear CBBC Dec 2013 D	0.420	404 per 100 units	396 per 100 units	2013/12/20	80,000,000	2013/08/07
67032	HK Bank	China Life R Bear CBBC Jan 2014 A	0.300	21.18	20.18	2014/01/27	50,000,000	2013/08/07
67038	HK Bank	CNBM R Bull CBBC Mar 2014 A	0.260	4.6	5.4	2014/03/03	50,000,000	2013/08/07
67035	HK Bank	CNBM R Bear CBBC Mar 2014 A	0.250	8.78	7.98	2014/03/03	50,000,000	2013/08/07
67036	HK Bank	CNBM R Bear CBBC Apr 2014 A	0.350	10.08	9.28	2014/04/07	50,000,000	2013/08/07
66973	HK Bank	HSI R Bull CBBC Jan 2014 J	0.250	19,588 per 15,000 units	19,788 per 15,000 units	2014/01/29	100,000,000	2013/08/07
66976	HK Bank	HSI R Bull CBBC Feb 2014 F	0.250	19,388 per 15,000 units	19,588 per 15,000 units	2014/02/27	100,000,000	2013/08/07
66978	HK Bank	HSI R Bull CBBC Mar 2014 B	0.250	20,328 per 20,000 units	20,628 per 20,000 units	2014/03/28	400,000,000	2013/08/07
66979	HK Bank	HSI R Bull CBBC Apr 2014 A	0.250	19,728 per 20,000 units	20,028 per 20,000 units	2014/04/29	400,000,000	2013/08/07
67016	HK Bank	Ping An R Bull CBBC Apr 2014 A	0.250	31.88 per 100 units	35.88 per 100 units	2014/04/28	60,000,000	2013/08/07
66982	HK Bank	Ping An R Bear CBBC Feb 2014 A	0.250	63.88 per 100 units	59.88 per 100 units	2014/02/24	40,000,000	2013/08/07
67039	HK Bank	TCH R Bull CBBC Mar 2014 A	0.390	326.88 per 100 units	336.88 per 100 units	2014/03/18	50,000,000	2013/08/07
67040	HK Bank	TCH R Bear CBBC Mar 2014 B	0.680	428.88 per 100 units	418.88 per 100 units	2014/03/06	50,000,000	2013/08/07
67041	HK Bank	TCH R Bear CBBC Mar 2014 C	0.790	439.88 per 100 units	429.88 per 100 units	2014/03/18	50,000,000	2013/08/07

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67055	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 F	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/12/30	200,000,000	2013/08/07
67057	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 L	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2013/11/28	200,000,000	2013/08/07
67059	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 M	0.250	23,700 per 15,000 units	23,500 per 15,000 units	2013/11/28	200,000,000	2013/08/07
67061	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 N	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2013/11/28	200,000,000	2013/08/07
67069	UBS AG	ABC R Bear CBBC Mar 2014 A	0.250	3.93	3.68	2014/03/17	100,000,000	2013/08/07
67078	UBS AG	China Mobile R Bull CBBC Feb 2014 A	0.250	75 per 100 units	77.50 per 100 units	2014/02/10	100,000,000	2013/08/07
67089	UBS AG	COVS R Bull CBBC Jan 2014 A	0.540	17.5	18.5	2014/01/06	50,000,000	2013/08/07
67082	UBS AG	COVS R Bear CBBC Feb 2014 A	0.380	26.5	24.5	2014/02/24	50,000,000	2013/08/07
67076	UBS AG	China Shenhua R Bull CBBC Dec 2014 A	0.250	18 per 100 units	20 per 100 units	2014/12/15	100,000,000	2013/08/07
67075	UBS AG	China Shenhua R Bear CBBC Jun 2014 A	0.250	28 per 100 units	26 per 100 units	2014/06/09	100,000,000	2013/08/07
67110	UBS AG	HSI R Bull CBBC Apr 2014 Y	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/04/29	300,000,000	2013/08/07
67104	UBS AG	HSI R Bull CBBC May 2014 N	0.250	21,475 per 12,000 units	21,675 per 12,000 units	2014/05/29	350,000,000	2013/08/07
67105	UBS AG	HSI R Bull CBBC May 2014 O	0.250	21,625 per 12,000 units	21,825 per 12,000 units	2014/05/29	350,000,000	2013/08/07
67120	UBS AG	HSI R Bear CBBC Nov 2013 F	0.250	22,084 per 12,000 units	21,884 per 12,000 units	2013/11/28	350,000,000	2013/08/07
67043	UBS AG	HSI R Bear CBBC Jan 2014 P	0.250	22,154 per 10,000 units	21,954 per 10,000 units	2014/01/29	300,000,000	2013/08/07
67067	UBS AG	Sands China R Bull CBBC Jul 2014 A	0.250	35 per 100 units	38 per 100 units	2014/07/07	100,000,000	2013/08/07
67068	UBS AG	Sands China R Bull CBBC Aug 2014 A	0.250	30 per 100 units	33 per 100 units	2014/08/11	100,000,000	2013/08/07
67065	UBS AG	Sands China R Bear CBBC Feb 2014 A	0.250	48 per 100 units	45 per 100 units	2014/02/17	100,000,000	2013/08/07
67163	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 C	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/06/27	300,000,000	2013/08/08
67165	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 G	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/01/29	300,000,000	2013/08/08
67194	Credit Suisse AG	HSI R Bull CBBC Mar 2014 G	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/03/28	300,000,000	2013/08/08
67195	Credit Suisse AG	HSI R Bull CBBC Mar 2014 H	0.250	21,888 per 10,000 units	22,088 per 10,000 units	2014/03/28	300,000,000	2013/08/08
67188	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/08/08
67169	Credit Suisse AG	HSI R Bear CBBC Nov 2013 F	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/11/28	300,000,000	2013/08/08
67167	Credit Suisse AG	HSI R Bear CBBC Nov 2013 V	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/08/08
67216	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 W	0.250	21,888 per 10,000 units	22,088 per 10,000 units	2013/11/28	120,000,000	2013/08/08
67217	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 R	0.250	22,422 per 10,000 units	22,222 per 10,000 units	2013/11/28	120,000,000	2013/08/08
67215	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 M	0.252	21,788 per 7,000 units	21,988 per 7,000 units	2013/12/30	150,000,000	2013/08/08
67137	HK Bank	ABC R Bull CBBC Aug 2014 A	0.250	2.73	2.98	2014/08/28	80,000,000	2013/08/08
67139	HK Bank	ABC R Bear CBBC Apr 2014 A	0.250	3.63	3.38	2014/04/28	40,000,000	2013/08/08
67136	HK Bank	AIA R Bull CBBC Mar 2014 B	0.310	33.88	34.88	2014/03/17	60,000,000	2013/08/08
67127	HK Bank	AIA R Bear CBBC Apr 2014 A	0.520	41.68	40.68	2014/04/07	50,000,000	2013/08/08
67162	HK Bank	COVS R Bull CBBC Feb 2014 A	0.340	19.18	20.18	2014/02/24	50,000,000	2013/08/08
67147	HK Bank	CNOOC R Bear CBBC Apr 2014 A	0.320	16.98	16.18	2014/04/07	40,000,000	2013/08/08
67121	HK Bank	CSOP A50 ETF R Bear CBBC Mar 2014 A	0.250	9.78	9.48	2014/03/24	40,000,000	2013/08/08
67146	HK Bank	China Shenhua R Bull CBBC Mar 2014 C	0.370	18.88	20.88	2014/03/10	60,000,000	2013/08/08
67148	HK Bank	TCH R Bear CBBC Jan 2014 B	0.250	374.88 per 100 units	364.88 per 100 units	2014/01/13	50,000,000	2013/08/08
67219	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 E	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/06/27	300,000,000	2013/08/08
67222	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 F	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/08/08
67192	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 C	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/01/29	100,000,000	2013/08/08
67206	UBS AG	HSI R Bull CBBC Mar 2014 W	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/03/28	300,000,000	2013/08/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67213	UBS AG	HSI R Bull CBBC May 2014 P	0.250	21,888 per 10,000 units	22,088 per 10,000 units	2014/05/29	300,000,000	2013/08/08
67202	UBS AG	HSI R Bull CBBC Nov 2014 A	0.250	14,900 per 38,000 units	15,300 per 38,000 units	2014/11/27	300,000,000	2013/08/08
67190	UBS AG	HSI R Bear CBBC Dec 2013 G	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/12/30	300,000,000	2013/08/08
67191	UBS AG	HSI R Bear CBBC Dec 2013 Z	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/08/08
67200	UBS AG	HSI R Bear CBBC Nov 2014 A	0.250	29,700 per 38,000 units	29,300 per 38,000 units	2014/11/27	300,000,000	2013/08/08
67214	UBS AG	Ping An R Bull CBBC Oct 2014 A	0.250	31 per 100 units	35 per 100 units	2014/10/21	100,000,000	2013/08/08
67268	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 D	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67269	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 E	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67277	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 F	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67278	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 G	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67270	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 H	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/08/09
67235	Credit Suisse AG	HSI R Bull CBBC Feb 2014 U	0.250	21,088 per 20,000 units	21,388 per 20,000 units	2014/02/27	300,000,000	2013/08/09
67287	Credit Suisse AG	HSI R Bull CBBC Apr 2014 G	0.250	22,012 per 10,000 units	22,212 per 10,000 units	2014/04/29	300,000,000	2013/08/09
67288	Credit Suisse AG	HSI R Bull CBBC Apr 2014 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/04/29	300,000,000	2013/08/09
67291	Credit Suisse AG	HSI R Bull CBBC Apr 2014 I	0.250	21,800 per 12,000 units	22,000 per 12,000 units	2014/04/29	300,000,000	2013/08/09
67283	Credit Suisse AG	HSI R Bear CBBC Nov 2013 L	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/08/09
67286	Credit Suisse AG	HSI R Bear CBBC Nov 2013 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/08/09
67280	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Z	0.250	22,289 per 10,000 units	22,089 per 10,000 units	2013/11/28	300,000,000	2013/08/09
67236	Credit Suisse AG	HSI R Bear CBBC Feb 2014 D	0.250	25,388 per 20,000 units	25,088 per 20,000 units	2014/02/27	300,000,000	2013/08/09
67237	Credit Suisse AG	HSI R Bear CBBC Feb 2014 E	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/02/27	300,000,000	2013/08/09
67239	Credit Suisse AG	HSI R Bear CBBC Feb 2014 F	0.260	24,500 per 10,000 units	24,300 per 10,000 units	2014/02/27	300,000,000	2013/08/09
67243	Credit Suisse AG	HSI R Bear CBBC Feb 2014 G	0.280	24,700 per 10,000 units	24,500 per 10,000 units	2014/02/27	300,000,000	2013/08/09
67245	Credit Suisse AG	HSI R Bear CBBC Feb 2014 H	0.300	24,900 per 10,000 units	24,700 per 10,000 units	2014/02/27	300,000,000	2013/08/09
67246	Credit Suisse AG	HSI R Bear CBBC Feb 2014 I	0.320	25,100 per 10,000 units	24,900 per 10,000 units	2014/02/27	300,000,000	2013/08/09
67301	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 N	0.254	21,988 per 7,000 units	22,188 per 7,000 units	2013/12/30	150,000,000	2013/08/09
67307	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 O	0.256	21,588 per 20,000 units	21,888 per 20,000 units	2013/12/30	150,000,000	2013/08/09
67305	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 C	0.251	22,488 per 7,000 units	22,288 per 7,000 units	2013/12/30	150,000,000	2013/08/09
67228	HK Bank	HSI R Bull CBBC Jan 2014 K	0.250	21,118 per 10,000 units	21,318 per 10,000 units	2014/01/29	100,000,000	2013/08/09
67231	HK Bank	HSI R Bull CBBC Jan 2014 L	0.250	20,618 per 10,000 units	20,818 per 10,000 units	2014/01/29	100,000,000	2013/08/09
67224	HK Bank	HSI R Bull CBBC Apr 2014 B	0.250	20,728 per 20,000 units	21,028 per 20,000 units	2014/04/29	400,000,000	2013/08/09
67319	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 G	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67340	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 H	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67343	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/09
67329	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 B	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/11/28	300,000,000	2013/08/09
67232	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 G	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/12/30	200,000,000	2013/08/09
67233	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 O	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/11/28	200,000,000	2013/08/09
67279	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 P	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/11/28	200,000,000	2013/08/09
67234	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 A	0.250	24,300 per 15,000 units	24,100 per 15,000 units	2013/12/30	200,000,000	2013/08/09
67298	UBS AG	COVS R Bull CBBC Feb 2014 A	0.375	19.5	20.5	2014/02/10	50,000,000	2013/08/09
67295	UBS AG	HSI R Bull CBBC Mar 2014 X	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/03/28	300,000,000	2013/08/09
67296	UBS AG	HSI R Bull CBBC Mar 2014 Y	0.250	22,012 per 10,000 units	22,212 per 10,000 units	2014/03/28	300,000,000	2013/08/09
67272	UBS AG	HSI R Bear CBBC Nov 2013 G	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/08/09
67273	UBS AG	HSI R Bear CBBC Nov 2013 H	0.250	22,289 per 12,000 units	22,089 per 12,000 units	2013/11/28	350,000,000	2013/08/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66709 #	HK Bank	A50 R Bull CBBC Nov 2013 B	0.130	7.98	8.28	2013/11/06	200,000,000	2013/08/09
67373	Credit Suisse AG	HSI R Bull CBBC Dec 2013 G	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/08/12
67377	Credit Suisse AG	HSI R Bull CBBC Dec 2013 M	0.250	22,026 per 10,000 units	22,226 per 10,000 units	2013/12/30	300,000,000	2013/08/12
67347	Credit Suisse AG	HSI R Bear CBBC Dec 2013 W	0.250	22,391 per 12,000 units	22,191 per 12,000 units	2013/12/30	300,000,000	2013/08/12
67366	Credit Suisse AG	HSI R Bear CBBC Dec 2013 X	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/08/12
67394	J P Morgan SP BV	HSI R Bull CBBC May 2014 G	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2013/08/12
67392	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 J	0.250	22,022 per 10,000 units	22,222 per 10,000 units	2014/06/27	300,000,000	2013/08/12
67393	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 J	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/01/29	300,000,000	2013/08/12
67370	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 H	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/12/30	200,000,000	2013/08/12
67345	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 Q	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2013/11/28	200,000,000	2013/08/12
67372	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 R	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2013/11/28	200,000,000	2013/08/12
67380	UBS AG	HSI R Bull CBBC Feb 2014 K	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/02/27	300,000,000	2013/08/12
67391	UBS AG	HSI R Bull CBBC Dec 2014 C	0.250	22,026 per 10,000 units	22,226 per 10,000 units	2014/12/30	300,000,000	2013/08/12
67369	UBS AG	HSI R Bear CBBC Dec 2013 C	0.250	22,391 per 10,000 units	22,191 per 10,000 units	2013/12/30	300,000,000	2013/08/12
67423	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 H	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/13
67491	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 I	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/08/13
67495	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 S	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	300,000,000	2013/08/13
67426	Credit Suisse AG	HSI R Bull CBBC Feb 2014 A	0.250	22,022 per 12,000 units	22,222 per 12,000 units	2014/02/27	300,000,000	2013/08/13
67429	Credit Suisse AG	HSI R Bull CBBC Feb 2014 B	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/02/27	300,000,000	2013/08/13
67438	Credit Suisse AG	HSI R Bull CBBC Feb 2014 C	0.250	21,788 per 10,000 units	21,988 per 10,000 units	2014/02/27	300,000,000	2013/08/13
67439	Credit Suisse AG	HSI R Bull CBBC Feb 2014 D	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/02/27	300,000,000	2013/08/13
67483	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/12/30	300,000,000	2013/08/13
67472	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Y	0.250	22,078 per 10,000 units	21,878 per 10,000 units	2013/12/30	300,000,000	2013/08/13
67474	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/08/13
67524	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 X	0.250	21,718 per 10,000 units	21,918 per 10,000 units	2013/11/28	120,000,000	2013/08/13
67525	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 S	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	120,000,000	2013/08/13
67521	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 D	0.254	22,288 per 7,000 units	22,088 per 7,000 units	2013/12/30	150,000,000	2013/08/13
67522	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 E	0.250	22,338 per 10,000 units	22,138 per 10,000 units	2013/12/30	150,000,000	2013/08/13
67523	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 F	0.252	22,838 per 10,000 units	22,638 per 10,000 units	2013/12/30	150,000,000	2013/08/13
67413	HK Bank	Hutchison R Bear CBBC Feb 2014 A	0.250	105.88 per 100 units	102.88 per 100 units	2014/02/07	50,000,000	2013/08/13
67404	HK Bank	TCH R Bull CBBC Mar 2014 B	0.350	338.88 per 100 units	348.88 per 100 units	2014/03/10	50,000,000	2013/08/13
67467	HK Bank	TCH R Bull CBBC Mar 2014 C	0.300	348.88 per 100 units	358.88 per 100 units	2014/03/10	50,000,000	2013/08/13
67471	HK Bank	TCH R Bull CBBC Jul 2014 A	0.250	358.88 per 100 units	368.88 per 100 units	2014/07/18	50,000,000	2013/08/13
67425	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 Y	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/11/28	100,000,000	2013/08/13
67487	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 A	0.250	21,677 per 12,000 units	21,877 per 12,000 units	2014/02/27	100,000,000	2013/08/13
67488	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 A	0.250	22,088 per 12,000 units	21,888 per 12,000 units	2014/02/27	100,000,000	2013/08/13
67424	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 I	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2013/12/30	200,000,000	2013/08/13
67446	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 S	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/11/28	200,000,000	2013/08/13
67448	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 T	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/11/28	200,000,000	2013/08/13
67440	UBS AG	HSI R Bull CBBC Dec 2013 A	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/08/13
67441	UBS AG	HSI R Bull CBBC Feb 2014 M	0.250	21,875 per 12,000 units	22,075 per 12,000 units	2014/02/27	350,000,000	2013/08/13
67442	UBS AG	HSI R Bull CBBC Apr 2014 Z	0.250	22,022 per 12,000 units	22,222 per 12,000 units	2014/04/29	350,000,000	2013/08/13
67505	UBS AG	HSI R Bear CBBC Nov 2013 I	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/08/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67507	UBS AG	HSI R Bear CBBC Nov 2013 S	0.250	22,078 per 10,000 units	21,878 per 10,000 units	2013/11/28	300,000,000	2013/08/13
67503	UBS AG	HSI R Bear CBBC Jan 2014 Q	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/08/13
67520	UBS AG	Hutchison R Bear CBBC Mar 2014 A	0.250	108 per 100 units	105 per 100 units	2014/03/31	100,000,000	2013/08/13
67516	UBS AG	Ping An R Bear CBBC Apr 2014 A	0.250	59 per 100 units	55 per 100 units	2014/04/07	100,000,000	2013/08/13
67518	UBS AG	TCH R Bull CBBC Jun 2014 E	0.250	360 per 100 units	370 per 100 units	2014/06/23	50,000,000	2013/08/13
67578	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 I	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/08/14
67608	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 J	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/08/14
67609	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 K	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2013/08/14
67611	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 T	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67698	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 U	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67700	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 V	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67701	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67702	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 X	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67625	Credit Suisse AG	HSI R Bull CBBC Jan 2014 C	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67582	Credit Suisse AG	HSI R Bull CBBC Jan 2014 I	0.250	21,723 per 12,000 units	21,923 per 12,000 units	2014/01/29	300,000,000	2013/08/14
67584	Credit Suisse AG	HSI R Bull CBBC Jan 2014 P	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67624	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Q	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67688	Credit Suisse AG	HSI R Bear CBBC Nov 2013 H	0.250	21,789 per 10,000 units	21,589 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67685	Credit Suisse AG	HSI R Bear CBBC Nov 2013 J	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67686	Credit Suisse AG	HSI R Bear CBBC Nov 2013 K	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67681	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Y	0.250	22,188 per 12,000 units	21,988 per 12,000 units	2013/11/28	300,000,000	2013/08/14
67653	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 A	0.250	21,588 per 10,000 units	21,788 per 10,000 units	2013/11/28	120,000,000	2013/08/14
67694	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 K	0.250	21,388 per 10,000 units	21,588 per 10,000 units	2013/11/28	120,000,000	2013/08/14
67635	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Y	0.250	21,488 per 10,000 units	21,688 per 10,000 units	2013/11/28	120,000,000	2013/08/14
67697	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 T	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	120,000,000	2013/08/14
67722	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 G	0.253	21,888 per 7,000 units	21,688 per 7,000 units	2013/12/30	150,000,000	2013/08/14
67729	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 H	0.259	22,088 per 7,000 units	21,888 per 7,000 units	2013/12/30	150,000,000	2013/08/14
67731	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 I	0.250	22,488 per 20,000 units	22,188 per 20,000 units	2013/12/30	150,000,000	2013/08/14
67734	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 J	0.251	22,288 per 20,000 units	21,988 per 20,000 units	2013/12/30	150,000,000	2013/08/14
67737	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 K	0.251	22,088 per 20,000 units	21,788 per 20,000 units	2013/12/30	150,000,000	2013/08/14
67530	HK Bank	CSOP A50 ETF R Bull CBBC Jan 2014 D	0.250	8.48	8.78	2014/01/13	80,000,000	2013/08/14
67526	HK Bank	A50 R Bull CBBC Mar 2014 B	0.250	8.58	8.88	2014/03/10	100,000,000	2013/08/14
67565	HK Bank	HKEx R Bear CBBC Mar 2014 A	0.250	134.88 per 100 units	128.88 per 100 units	2014/03/17	50,000,000	2013/08/14
67566	HK Bank	HSI R Bear CBBC Jan 2014 A	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/01/29	100,000,000	2013/08/14
67557	HK Bank	Hutchison R Bull CBBC Feb 2014 A	0.250	84.08 per 100 units	87.08 per 100 units	2014/02/24	60,000,000	2013/08/14
67531	HK Bank	TCH R Bear CBBC Apr 2014 A	0.770	449.88 per 100 units	439.88 per 100 units	2014/04/07	50,000,000	2013/08/14
67540	HK Bank	TCH R Bear CBBC Apr 2014 B	0.870	459.88 per 100 units	449.88 per 100 units	2014/04/14	50,000,000	2013/08/14
67740	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 A	0.250	20,650 per 10,000 units	20,850 per 10,000 units	2014/04/29	300,000,000	2013/08/14
67750	J P Morgan SP BV	HSI R Bull CBBC May 2014 H	0.250	20,750 per 10,000 units	20,950 per 10,000 units	2014/05/29	300,000,000	2013/08/14
67752	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 K	0.250	20,850 per 10,000 units	21,050 per 10,000 units	2014/06/27	300,000,000	2013/08/14
67766	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 R	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67770	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 T	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/11/28	300,000,000	2013/08/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67753	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 U	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67758	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 X	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67761	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Y	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67764	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67576	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 D	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	100,000,000	2013/08/14
67567	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 J	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2013/12/30	200,000,000	2013/08/14
67720	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 K	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2013/12/30	200,000,000	2013/08/14
67573	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 U	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/11/28	200,000,000	2013/08/14
67574	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 V	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/11/28	200,000,000	2013/08/14
67721	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 W	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2013/11/28	200,000,000	2013/08/14
67581	UBS AG	HSI R Bull CBBC Feb 2014 N	0.250	21,723 per 10,000 units	21,923 per 10,000 units	2014/02/27	300,000,000	2013/08/14
67579	UBS AG	HSI R Bull CBBC Mar 2014 E	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/03/28	300,000,000	2013/08/14
67623	UBS AG	HSI R Bull CBBC Mar 2014 G	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/03/28	300,000,000	2013/08/14
67692	UBS AG	HSI R Bear CBBC Nov 2013 O	0.250	21,789 per 10,000 units	21,589 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67689	UBS AG	HSI R Bear CBBC Nov 2013 T	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/14
67691	UBS AG	HSI R Bear CBBC Dec 2013 E	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/08/14
67690	UBS AG	HSI R Bear CBBC Jan 2014 R	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/08/14
67620	UBS AG	TCH R Bear CBBC Dec 2013 F	0.250	390 per 100 units	380 per 100 units	2013/12/16	50,000,000	2013/08/14
67890	Credit Suisse AG	HSI R Bull CBBC Dec 2013 F	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67886	Credit Suisse AG	HSI R Bull CBBC Dec 2013 Z	0.250	21,555 per 10,000 units	21,755 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67841	Credit Suisse AG	HSI R Bear CBBC Dec 2013 F	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67843	Credit Suisse AG	HSI R Bear CBBC Dec 2013 H	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67846	Credit Suisse AG	HSI R Bear CBBC Dec 2013 I	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67910	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 U	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/11/28	120,000,000	2013/08/15
67911	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 V	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	120,000,000	2013/08/15
67912	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 P	0.257	21,388 per 7,000 units	21,588 per 7,000 units	2013/12/30	150,000,000	2013/08/15
67914	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 L	0.251	22,038 per 10,000 units	21,838 per 10,000 units	2013/12/30	150,000,000	2013/08/15
67789	HK Bank	Sinopec Corp R Bear CBBC Apr 2014 A	0.250	6.33	6.03	2014/04/14	40,000,000	2013/08/15
67788	HK Bank	China Shenhua R Bear CBBC Mar 2014 B	0.450	25.98	23.98	2014/03/24	40,000,000	2013/08/15
67777	HK Bank	HSI R Bull CBBC Feb 2014 G	0.250	20,118 per 10,000 units	20,318 per 10,000 units	2014/02/27	100,000,000	2013/08/15
67772	HK Bank	HSI R Bear CBBC Jan 2014 B	0.250	22,518 per 10,000 units	22,318 per 10,000 units	2014/01/29	100,000,000	2013/08/15
67780	HK Bank	HSI R Bear CBBC Jan 2014 C	0.250	23,018 per 10,000 units	22,818 per 10,000 units	2014/01/29	100,000,000	2013/08/15
67786	HK Bank	HSI R Bear CBBC Jan 2014 D	0.250	22,088 per 15,000 units	21,888 per 15,000 units	2014/01/29	100,000,000	2013/08/15
67781	HK Bank	HSI R Bear CBBC Feb 2014 C	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2014/02/27	100,000,000	2013/08/15
67805	HK Bank	Lenovo R Bull CBBC Mar 2014 A	0.250	6.13	6.63	2014/03/24	60,000,000	2013/08/15
67797	HK Bank	PetCh R Bear CBBC Apr 2014 A	0.250	10.18	9.78	2014/04/14	40,000,000	2013/08/15
67804	HK Bank	SHK Ppt R Bear CBBC Feb 2014 A	0.250	113.88 per 100 units	109.88 per 100 units	2014/02/06	50,000,000	2013/08/15
67918	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 B	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/04/29	300,000,000	2013/08/15
67923	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 V	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/08/15
67929	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 W	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/11/28	300,000,000	2013/08/15
67920	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 K	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/01/29	300,000,000	2013/08/15
67925	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 L	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/08/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67847	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 E	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/01/29	100,000,000	2013/08/15
67851	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 F	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	100,000,000	2013/08/15
67879	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 B	0.250	22,645 per 12,000 units	22,445 per 12,000 units	2014/02/27	100,000,000	2013/08/15
67880	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 L	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2013/12/30	200,000,000	2013/08/15
67881	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 X	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/11/28	200,000,000	2013/08/15
67906	UBS AG	HSI R Bull CBBC Dec 2013 M	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67908	UBS AG	HSI R Bull CBBC Dec 2013 N	0.250	21,555 per 10,000 units	21,755 per 10,000 units	2013/12/30	300,000,000	2013/08/15
67809	UBS AG	HSI R Bear CBBC Jan 2014 S	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/01/29	300,000,000	2013/08/15
67808	UBS AG	HSI R Bear CBBC Feb 2014 N	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/02/27	300,000,000	2013/08/15
67909	UBS AG	Hutchison R Bull CBBC Feb 2014 B	0.250	84.50 per 100 units	87.50 per 100 units	2014/02/17	100,000,000	2013/08/15
67903	UBS AG	TCH R Bull CBBC Jun 2014 F	0.350	320 per 100 units	330 per 100 units	2014/06/09	50,000,000	2013/08/15
67938	Credit Suisse AG	HSI R Bull CBBC Jan 2014 M	0.250	21,607 per 12,000 units	21,807 per 12,000 units	2014/01/29	300,000,000	2013/08/16
67939	Credit Suisse AG	HSI R Bull CBBC Jan 2014 O	0.250	21,488 per 12,000 units	21,688 per 12,000 units	2014/01/29	300,000,000	2013/08/16
67933	Credit Suisse AG	HSI R Bear CBBC Jan 2014 F	0.250	21,856 per 10,000 units	21,656 per 10,000 units	2014/01/29	300,000,000	2013/08/16
67934	Credit Suisse AG	HSI R Bear CBBC Jan 2014 G	0.250	22,038 per 12,000 units	21,838 per 12,000 units	2014/01/29	300,000,000	2013/08/16
67949	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 Q	0.250	21,338 per 10,000 units	21,538 per 10,000 units	2013/12/30	150,000,000	2013/08/16
67950	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 R	0.250	21,588 per 7,000 units	21,788 per 7,000 units	2013/12/30	150,000,000	2013/08/16
67931	HK Bank	TCH R Bear CBBC Jan 2014 C	0.360	390.88 per 100 units	380.88 per 100 units	2014/01/20	50,000,000	2013/08/16
67948	UBS AG	HSI R Bull CBBC Dec 2013 T	0.250	21,607 per 12,000 units	21,807 per 12,000 units	2013/12/30	350,000,000	2013/08/16
67941	UBS AG	HSI R Bull CBBC Feb 2014 P	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	300,000,000	2013/08/16
67935	UBS AG	HSI R Bear CBBC Nov 2013 P	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/08/16
67937	UBS AG	HSI R Bear CBBC Nov 2013 Q	0.250	21,856 per 10,000 units	21,656 per 10,000 units	2013/11/28	300,000,000	2013/08/16
67990	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 L	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/08/19
67991	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 M	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/08/19
68087	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 N	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2013/08/19
67952	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/01/29	300,000,000	2013/08/19
67953	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/08/19
68073	Credit Suisse AG	HSI R Bull CBBC Mar 2014 I	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/03/28	300,000,000	2013/08/19
68074	Credit Suisse AG	HSI R Bull CBBC Mar 2014 J	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/03/28	300,000,000	2013/08/19
68078	Credit Suisse AG	HSI R Bull CBBC Mar 2014 K	0.250	21,968 per 10,000 units	22,168 per 10,000 units	2014/03/28	300,000,000	2013/08/19
68085	Credit Suisse AG	HSI R Bull CBBC Mar 2014 N	0.250	22,071 per 10,000 units	22,271 per 10,000 units	2014/03/28	300,000,000	2013/08/19
67957	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	22,008 per 10,000 units	21,808 per 10,000 units	2013/11/28	300,000,000	2013/08/19
67973	Credit Suisse AG	HSI R Bear CBBC Nov 2013 O	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/08/19
68059	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Q	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2013/11/28	300,000,000	2013/08/19
67970	Credit Suisse AG	HSI R Bear CBBC Nov 2013 W	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/08/19
67972	Credit Suisse AG	HSI R Bear CBBC Nov 2013 X	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/08/19
68060	Credit Suisse AG	HSI R Bear CBBC Feb 2014 J	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68062	Credit Suisse AG	HSI R Bear CBBC Feb 2014 K	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68065	Credit Suisse AG	HSI R Bear CBBC Feb 2014 L	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68066	Credit Suisse AG	HSI R Bear CBBC Feb 2014 M	0.290	25,000 per 10,000 units	24,800 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68068	Credit Suisse AG	HSI R Bear CBBC Feb 2014 N	0.310	25,200 per 10,000 units	25,000 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68069	Credit Suisse AG	HSI R Bear CBBC Feb 2014 O	0.340	25,500 per 10,000 units	25,300 per 10,000 units	2014/02/27	300,000,000	2013/08/19
68090	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 S	0.250	21,788 per 7,000 units	21,988 per 7,000 units	2013/12/30	150,000,000	2013/08/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68091	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 T	0.250	21,988 per 7,000 units	22,188 per 7,000 units	2013/12/30	150,000,000	2013/08/19
68094	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 U	0.253	21,638 per 10,000 units	21,838 per 10,000 units	2013/12/30	150,000,000	2013/08/19
68095	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 V	0.253	21,588 per 20,000 units	21,888 per 20,000 units	2013/12/30	150,000,000	2013/08/19
68097	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 W	0.256	21,788 per 20,000 units	22,088 per 20,000 units	2013/12/30	150,000,000	2013/08/19
68105	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 C	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/04/29	300,000,000	2013/08/19
68128	J P Morgan SP BV	HSI R Bull CBBC May 2014 I	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/05/29	300,000,000	2013/08/19
68098	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 L	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2013/08/19
68111	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 M	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2013/08/19
67981	Standard Chartered Bank	HSI R Bull CBBC Nov 2013 Z	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2013/11/28	100,000,000	2013/08/19
68133	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 B	0.250	22,070 per 12,000 units	22,270 per 12,000 units	2014/02/27	100,000,000	2013/08/19
67983	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 C	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/02/27	100,000,000	2013/08/19
67986	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 M	0.250	21,400 per 15,000 units	21,600 per 15,000 units	2013/12/30	200,000,000	2013/08/19
67987	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 N	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2013/12/30	200,000,000	2013/08/19
68086	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 O	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/12/30	200,000,000	2013/08/19
67951	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 Y	0.250	22,200 per 15,000 units	22,000 per 15,000 units	2013/11/28	200,000,000	2013/08/19
67998	UBS AG	HSCEI R Bull CBBC Jul 2014 A	0.250	9,300 per 10,000 units	9,500 per 10,000 units	2014/07/30	100,000,000	2013/08/19
68057	UBS AG	HSI R Bull CBBC Dec 2013 Y	0.250	22,071 per 10,000 units	22,271 per 10,000 units	2013/12/30	300,000,000	2013/08/19
68035	UBS AG	HSI R Bull CBBC Jan 2014 R	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/08/19
68036	UBS AG	HSI R Bull CBBC Jan 2014 S	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/01/29	300,000,000	2013/08/19
68044	UBS AG	HSI R Bull CBBC Feb 2014 A	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/02/27	300,000,000	2013/08/19
67978	UBS AG	HSI R Bear CBBC Dec 2013 F	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/08/19
67979	UBS AG	HSI R Bear CBBC Dec 2013 H	0.250	22,008 per 10,000 units	21,808 per 10,000 units	2013/12/30	300,000,000	2013/08/19
67993	UBS AG	HSI R Bear CBBC Dec 2013 K	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/08/19
67974	UBS AG	HSI R Bear CBBC Feb 2014 O	0.250	22,275 per 12,000 units	22,075 per 12,000 units	2014/02/27	350,000,000	2013/08/19
68013	UBS AG	Hutchison R Bull CBBC Jun 2014 B	0.250	87 per 100 units	90 per 100 units	2014/06/23	100,000,000	2013/08/19
68004	UBS AG	Hutchison R Bear CBBC Aug 2014 A	0.250	113 per 100 units	110 per 100 units	2014/08/11	100,000,000	2013/08/19
68000	UBS AG	Ping An R Bull CBBC Jun 2014 B	0.250	46 per 100 units	50 per 100 units	2014/06/09	100,000,000	2013/08/19
68003	UBS AG	TCH R Bear CBBC Feb 2014 B	0.540	420 per 100 units	410 per 100 units	2014/02/24	50,000,000	2013/08/19
68211	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2014 A	0.250	8.7	9	2014/06/27	200,000,000	2013/08/20
68330	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 O	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68335	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 P	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68384	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Q	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68391	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 R	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68392	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 S	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68470	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68401	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 A	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68408	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 B	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68414	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 C	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68415	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68205	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Y	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68210	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Z	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68467	Credit Suisse AG	HSI R Bull CBBC Dec 2013 H	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2013/12/30	300,000,000	2013/08/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68468	Credit Suisse AG	HSI R Bull CBBC Dec 2013 I	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68473	Credit Suisse AG	HSI R Bull CBBC Apr 2014 G	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68479	Credit Suisse AG	HSI R Bull CBBC Apr 2014 H	0.250	22,341 per 10,000 units	22,541 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68471	Credit Suisse AG	HSI R Bull CBBC Apr 2014 P	0.250	22,088 per 12,000 units	22,288 per 12,000 units	2014/04/29	300,000,000	2013/08/20
68472	Credit Suisse AG	HSI R Bull CBBC Apr 2014 W	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68469	Credit Suisse AG	HSI R Bull CBBC Apr 2014 X	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68187	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68182	Credit Suisse AG	HSI R Bear CBBC Nov 2013 U	0.250	22,477 per 12,000 units	22,277 per 12,000 units	2013/11/28	300,000,000	2013/08/20
68466	Credit Suisse AG	HSI R Bear CBBC Dec 2013 C	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68418	Credit Suisse AG	HSI R Bear CBBC Dec 2013 D	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68417	Credit Suisse AG	HSI R Bear CBBC Dec 2013 G	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68446	Credit Suisse AG	HSI R Bear CBBC Dec 2013 J	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68459	Credit Suisse AG	HSI R Bear CBBC Dec 2013 K	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68464	Credit Suisse AG	HSI R Bear CBBC Dec 2013 L	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68546	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 C	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2013/11/28	120,000,000	2013/08/20
68553	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 D	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2013/11/28	120,000,000	2013/08/20
68545	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 Z	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2013/11/28	120,000,000	2013/08/20
68248	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 W	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	120,000,000	2013/08/20
68512	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 X	0.250	22,188 per 7,000 units	22,388 per 7,000 units	2013/12/30	150,000,000	2013/08/20
68513	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 Y	0.254	21,938 per 10,000 units	22,138 per 10,000 units	2013/12/30	150,000,000	2013/08/20
68514	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 Z	0.259	21,988 per 20,000 units	22,288 per 20,000 units	2013/12/30	150,000,000	2013/08/20
68160	HK Bank	Anhui Conch R Bull CBBC Nov 2014 A	0.250	21.18 per 100 units	23.18 per 100 units	2014/11/17	50,000,000	2013/08/20
68154	HK Bank	COVS R Bull CBBC Mar 2014 A	0.310	21.18	22.18	2014/03/31	50,000,000	2013/08/20
68153	HK Bank	A50 R Bull CBBC Mar 2014 C	0.250	8.78	9.08	2014/03/03	100,000,000	2013/08/20
68168	HK Bank	Galaxy Ent R Bull CBBC May 2014 A	0.340	39.27	40.27	2014/05/19	50,000,000	2013/08/20
68162	HK Bank	HKEx R Bull CBBC Mar 2014 A	0.250	111.18 per 100 units	117.18 per 100 units	2014/03/17	60,000,000	2013/08/20
68141	HK Bank	HSI R Bull CBBC Jan 2014 M	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/01/29	100,000,000	2013/08/20
68150	HK Bank	HSI R Bull CBBC Jan 2014 N	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/01/29	100,000,000	2013/08/20
68148	HK Bank	HSI R Bull CBBC Feb 2014 H	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/02/27	100,000,000	2013/08/20
68152	HK Bank	HSI R Bull CBBC Mar 2014 C	0.250	21,328 per 20,000 units	21,628 per 20,000 units	2014/03/28	400,000,000	2013/08/20
68156	HK Bank	Sands China R Bull CBBC Apr 2014 B	0.250	37.08 per 100 units	40.08 per 100 units	2014/04/07	60,000,000	2013/08/20
68540	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 D	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68538	J P Morgan SP BV	HSI R Bull CBBC May 2014 J	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2013/08/20
68542	J P Morgan SP BV	HSI R Bull CBBC May 2014 K	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/05/29	300,000,000	2013/08/20
68539	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 N	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68544	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 O	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2013/08/20
68517	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 Q	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68530	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 S	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68515	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 M	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68516	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 N	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68304	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/02/27	100,000,000	2013/08/20
68511	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 D	0.250	22,340 per 12,000 units	22,540 per 12,000 units	2014/02/27	100,000,000	2013/08/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68305	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 D	0.250	22,660 per 12,000 units	22,460 per 12,000 units	2014/02/27	100,000,000	2013/08/20
68177	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 P	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/12/30	200,000,000	2013/08/20
68252	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 Q	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2013/12/30	200,000,000	2013/08/20
68275	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 R	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2013/12/30	200,000,000	2013/08/20
68282	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 B	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2013/11/28	200,000,000	2013/08/20
68283	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 C	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2013/11/28	200,000,000	2013/08/20
68286	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 F	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2013/11/28	200,000,000	2013/08/20
68325	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 I	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2013/11/28	200,000,000	2013/08/20
68178	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 Z	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/11/28	200,000,000	2013/08/20
68291	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 B	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2013/12/30	200,000,000	2013/08/20
68303	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 C	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2013/12/30	200,000,000	2013/08/20
68324	UBS AG	COVS R Bear CBBC May 2014 A	0.455	29	27	2014/05/19	50,000,000	2013/08/20
68323	UBS AG	China Life R Bull CBBC Jul 2014 B	0.250	17.88	18.88	2014/07/21	100,000,000	2013/08/20
68320	UBS AG	HSCEI R Bull CBBC Jun 2014 E	0.250	9,550 per 10,000 units	9,750 per 10,000 units	2014/06/27	100,000,000	2013/08/20
68490	UBS AG	HSI R Bull CBBC Nov 2013 X	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68503	UBS AG	HSI R Bull CBBC Jan 2014 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68502	UBS AG	HSI R Bull CBBC Feb 2014 L	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/02/27	300,000,000	2013/08/20
68508	UBS AG	HSI R Bull CBBC Apr 2014 C	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68510	UBS AG	HSI R Bull CBBC Apr 2014 D	0.250	22,341 per 10,000 units	22,541 per 10,000 units	2014/04/29	300,000,000	2013/08/20
68487	UBS AG	HSI R Bull CBBC Jun 2014 L	0.250	20,900 per 38,000 units	21,300 per 38,000 units	2014/06/27	300,000,000	2013/08/20
68213	UBS AG	HSI R Bear CBBC Nov 2013 U	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68317	UBS AG	HSI R Bear CBBC Nov 2013 V	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/08/20
68310	UBS AG	HSI R Bear CBBC Dec 2013 L	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68316	UBS AG	HSI R Bear CBBC Dec 2013 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2013/12/30	300,000,000	2013/08/20
68218	UBS AG	HSI R Bear CBBC Jan 2014 T	0.250	22,472 per 10,000 units	22,272 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68313	UBS AG	HSI R Bear CBBC Jan 2014 U	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68315	UBS AG	HSI R Bear CBBC Jan 2014 V	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/01/29	300,000,000	2013/08/20
68309	UBS AG	HSI R Bear CBBC Feb 2014 P	0.280	25,200 per 10,000 units	25,000 per 10,000 units	2014/02/27	300,000,000	2013/08/20
68314	UBS AG	HSI R Bear CBBC Feb 2014 Q	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/02/27	300,000,000	2013/08/20
68306	UBS AG	HSI R Bear CBBC Mar 2014 A	0.380	26,200 per 10,000 units	26,000 per 10,000 units	2014/03/28	300,000,000	2013/08/20
68307	UBS AG	HSI R Bear CBBC Mar 2014 B	0.330	25,700 per 10,000 units	25,500 per 10,000 units	2014/03/28	300,000,000	2013/08/20
68311	UBS AG	HSI R Bear CBBC Jun 2014 A	0.250	24,700 per 38,000 units	24,300 per 38,000 units	2014/06/27	300,000,000	2013/08/20
68593	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 U	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/06/27	300,000,000	2013/08/22
68594	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 L	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/01/29	300,000,000	2013/08/22
68705	Credit Suisse AG	HSI R Bull CBBC Mar 2014 A	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68704	Credit Suisse AG	HSI R Bull CBBC Mar 2014 V	0.250	22,370 per 10,000 units	22,570 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68706	Credit Suisse AG	HSI R Bull CBBC Mar 2014 W	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68707	Credit Suisse AG	HSI R Bull CBBC Mar 2014 X	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68708	Credit Suisse AG	HSI R Bull CBBC Mar 2014 Y	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68592	Credit Suisse AG	HSI R Bear CBBC Nov 2013 L	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/11/28	300,000,000	2013/08/22
68730	Credit Suisse AG	HSI R Bear CBBC Nov 2013 R	0.250	22,740 per 10,000 units	22,540 per 10,000 units	2013/11/28	300,000,000	2013/08/22
68777	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 B	0.250	21,900 per 10,000 units	22,088 per 10,000 units	2013/11/28	120,000,000	2013/08/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68762	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 F	0.250	21,800 per 10,000 units	21,988 per 10,000 units	2013/11/28	120,000,000	2013/08/22
68778	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 X	0.250	23,900 per 10,000 units	23,713 per 10,000 units	2013/11/28	120,000,000	2013/08/22
68787	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 Y	0.250	24,000 per 10,000 units	23,813 per 10,000 units	2013/11/28	120,000,000	2013/08/22
68597	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 M	0.255	22,888 per 7,000 units	22,688 per 7,000 units	2013/12/30	150,000,000	2013/08/22
68603	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 N	0.257	22,838 per 10,000 units	22,638 per 10,000 units	2013/12/30	150,000,000	2013/08/22
68608	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 O	0.257	23,888 per 20,000 units	23,588 per 20,000 units	2013/12/30	150,000,000	2013/08/22
68610	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 P	0.252	24,388 per 20,000 units	24,088 per 20,000 units	2013/12/30	150,000,000	2013/08/22
68613	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 Q	0.253	24,788 per 20,000 units	24,488 per 20,000 units	2013/12/30	150,000,000	2013/08/22
68579	HK Bank	COVS R Bear CBBC Mar 2014 B	0.450	28.78	27.78	2014/03/31	40,000,000	2013/08/22
68577	HK Bank	CC Bank R Bull CBBC Mar 2014 A	0.250	5.28	5.58	2014/03/10	80,000,000	2013/08/22
68581	HK Bank	Minsheng Bank R Bull CBBC Mar 2014 B	0.250	7.18	7.68	2014/03/10	40,000,000	2013/08/22
68578	HK Bank	China Shenhua R Bull CBBC Mar 2014 D	0.500	20.88	22.88	2014/03/03	60,000,000	2013/08/22
68554	HK Bank	HSI R Bull CBBC Feb 2014 I	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/02/27	100,000,000	2013/08/22
68560	HK Bank	HSI R Bear CBBC Jan 2014 E	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2014/01/29	100,000,000	2013/08/22
68561	HK Bank	HSI R Bear CBBC Feb 2014 D	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2014/02/27	100,000,000	2013/08/22
68565	HK Bank	HSI R Bear CBBC Mar 2014 B	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2014/03/28	100,000,000	2013/08/22
68568	HK Bank	HSI R Bear CBBC Apr 2014 A	0.250	24,688 per 15,000 units	24,488 per 15,000 units	2014/04/29	100,000,000	2013/08/22
68589	HK Bank	Sands China R Bear CBBC Apr 2014 A	0.250	51.28 per 100 units	48.28 per 100 units	2014/04/07	50,000,000	2013/08/22
68745	J P Morgan SP BV	HSI R Bull CBBC May 2014 L	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/05/29	300,000,000	2013/08/22
68750	J P Morgan SP BV	HSI R Bull CBBC May 2014 M	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/05/29	300,000,000	2013/08/22
68739	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 P	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2013/08/22
68746	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 Q	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/22
68755	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 P	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/11/28	300,000,000	2013/08/22
68757	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 O	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/01/29	300,000,000	2013/08/22
68620	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 S	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2013/12/30	200,000,000	2013/08/22
68621	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 T	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2013/12/30	200,000,000	2013/08/22
68628	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 K	0.250	22,688 per 10,000 units	22,588 per 10,000 units	2013/11/28	200,000,000	2013/08/22
68671	UBS AG	Anhui Conch R Bull CBBC Dec 2014 C	0.250	22 per 100 units	24 per 100 units	2014/12/15	100,000,000	2013/08/22
68649	UBS AG	CC Bank R Bull CBBC Apr 2014 B	0.250	5.2	5.5	2014/04/28	100,000,000	2013/08/22
68647	UBS AG	CC Bank R Bear CBBC Feb 2014 A	0.250	7.3	7	2014/02/24	100,000,000	2013/08/22
68633	UBS AG	Minsheng Bank R Bull CBBC Feb 2014 A	0.250	7.38	7.88	2014/02/17	50,000,000	2013/08/22
68680	UBS AG	CNOOC R Bull CBBC Jun 2014 A	0.250	13.08	13.88	2014/06/09	100,000,000	2013/08/22
68702	UBS AG	Sinopec Corp R Bull CBBC Jun 2014 A	0.250	5.08	5.38	2014/06/16	100,000,000	2013/08/22
68632	UBS AG	CSOP A50 ETF R Bull CBBC Apr 2014 B	0.250	8.7	9	2014/04/07	100,000,000	2013/08/22
68629	UBS AG	A50 China R Bull CBBC Feb 2014 D	0.250	8.95	9.25	2014/02/24	100,000,000	2013/08/22
68703	UBS AG	Galaxy Ent R Bull CBBC Mar 2014 B	0.395	40	41	2014/03/24	30,000,000	2013/08/22
68700	UBS AG	HKEx R Bull CBBC Jun 2014 C	0.250	115 per 100 units	120 per 100 units	2014/06/16	100,000,000	2013/08/22
68724	UBS AG	HSI R Bull CBBC Feb 2014 H	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/02/27	300,000,000	2013/08/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68729	UBS AG	HSI R Bull CBBC Feb 2014 Q	0.250	22,370 per 10,000 units	22,570 per 10,000 units	2014/02/27	300,000,000	2013/08/22
68723	UBS AG	HSI R Bull CBBC Mar 2014 A	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68722	UBS AG	HSI R Bull CBBC Mar 2014 Z	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/03/28	300,000,000	2013/08/22
68596	UBS AG	HSI R Bear CBBC Nov 2013 W	0.250	22,742 per 12,000 units	22,542 per 12,000 units	2013/11/28	350,000,000	2013/08/22
68595	UBS AG	HSI R Bear CBBC Dec 2013 B	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2013/12/30	300,000,000	2013/08/22
68637	UBS AG	ICBC R Bull CBBC Feb 2014 C	0.250	4.75	5	2014/02/24	100,000,000	2013/08/22
68636	UBS AG	ICBC R Bear CBBC Apr 2014 A	0.250	6.25	6	2014/04/14	100,000,000	2013/08/22
68635	UBS AG	Sands China R Bull CBBC Jul 2014 B	0.250	38 per 100 units	41 per 100 units	2014/07/14	100,000,000	2013/08/22
68681	UBS AG	TCH R Bull CBBC Jun 2014 G	0.250	350 per 100 units	360 per 100 units	2014/06/23	50,000,000	2013/08/22
68956	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 V	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2013/08/23
68945	Credit Suisse AG	HSI R Bull CBBC Jan 2014 A	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/01/29	300,000,000	2013/08/23
68940	Credit Suisse AG	HSI R Bull CBBC Jan 2014 R	0.250	22,188 per 12,000 units	22,388 per 12,000 units	2014/01/29	300,000,000	2013/08/23
68906	Credit Suisse AG	HSI R Bull CBBC Jan 2014 V	0.250	22,461 per 10,000 units	22,661 per 10,000 units	2014/01/29	300,000,000	2013/08/23
68930	Credit Suisse AG	HSI R Bull CBBC Jan 2014 W	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/01/29	300,000,000	2013/08/23
68888	Credit Suisse AG	HSI R Bear CBBC Nov 2013 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/11/28	300,000,000	2013/08/23
68889	Credit Suisse AG	HSI R Bear CBBC Nov 2013 V	0.250	22,988 per 10,000 units	22,788 per 10,000 units	2013/11/28	300,000,000	2013/08/23
69050	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Z	0.250	22,718 per 10,000 units	22,518 per 10,000 units	2013/11/28	300,000,000	2013/08/23
69051	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 A	0.256	22,238 per 10,000 units	22,438 per 10,000 units	2013/12/30	150,000,000	2013/08/23
69053	Goldman Sachs SP (Asia)	HSI R Bull CBBC Dec 2013 L	0.255	21,388 per 20,000 units	21,688 per 20,000 units	2013/12/30	150,000,000	2013/08/23
69059	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 R	0.253	23,688 per 7,000 units	23,488 per 7,000 units	2013/12/30	150,000,000	2013/08/23
69064	Goldman Sachs SP (Asia)	HSI R Bear CBBC Dec 2013 S	0.315	24,188 per 7,000 units	23,988 per 7,000 units	2013/12/30	150,000,000	2013/08/23
69068	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 A	0.255	24,588 per 20,000 units	24,288 per 20,000 units	2014/01/29	150,000,000	2013/08/23
68851	HK Bank	AIA R Bear CBBC Mar 2014 A	0.300	38.58	37.58	2014/03/17	50,000,000	2013/08/23
68852	HK Bank	China Mobile R Bear CBBC Mar 2014 A	0.250	89.78 per 100 units	87.28 per 100 units	2014/03/28	50,000,000	2013/08/23
68844	HK Bank	Minsheng Bank R Bull CBBC Apr 2014 A	0.320	5.58	6.08	2014/04/28	40,000,000	2013/08/23
68848	HK Bank	CNBM R Bull CBBC Jun 2014 A	0.250	6.46	7.26	2014/06/23	50,000,000	2013/08/23
68849	HK Bank	CNBM R Bear CBBC Mar 2014 B	0.250	9.48	8.68	2014/03/18	50,000,000	2013/08/23
68789	HK Bank	HSCEI R Bull CBBC Feb 2014 B	0.250	9,488 per 10,000 units	9,688 per 10,000 units	2014/02/27	100,000,000	2013/08/23
68809	HK Bank	HSI R Bear CBBC Jan 2014 F	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/01/29	100,000,000	2013/08/23
68839	HK Bank	HSI R Bear CBBC Feb 2014 E	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/02/27	100,000,000	2013/08/23
68803	HK Bank	HSI R Bear CBBC Mar 2014 C	0.250	23,928 per 20,000 units	23,628 per 20,000 units	2014/03/28	400,000,000	2013/08/23
68804	HK Bank	HSI R Bear CBBC Apr 2014 B	0.250	25,328 per 20,000 units	25,028 per 20,000 units	2014/04/29	400,000,000	2013/08/23
68808	HK Bank	HSI R Bear CBBC May 2014 A	0.250	26,928 per 20,000 units	26,628 per 20,000 units	2014/05/29	400,000,000	2013/08/23
68947	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 E	0.250	22,460 per 12,000 units	22,660 per 12,000 units	2014/02/27	100,000,000	2013/08/23
68863	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 E	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/02/27	100,000,000	2013/08/23
69018	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb14 A	0.250	21,700 per 15,000 units	21,900 per 15,000 units	2014/02/27	200,000,000	2013/08/23
69019	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 A	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/11/28	200,000,000	2013/08/23
69017	UBS AG	A50 China R Bear CBBC Apr 2014 A	0.250	11.3	11	2014/04/28	100,000,000	2013/08/23
68976	UBS AG	HSI R Bull CBBC Jan 2014 U	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/01/29	300,000,000	2013/08/23
68979	UBS AG	HSI R Bull CBBC Jan 2014 V	0.250	22,461 per 10,000 units	22,661 per 10,000 units	2014/01/29	300,000,000	2013/08/23
68978	UBS AG	HSI R Bull CBBC Jun 2014 M	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2013/08/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69033	UBS AG	HSI R Bear CBBC Dec 2013 N	0.250	22,718 per 10,000 units	22,518 per 10,000 units	2013/12/30	300,000,000	2013/08/23
68864	UBS AG	HSI R Bear CBBC Jan 2014 W	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/01/29	300,000,000	2013/08/23
69016	UBS AG	Lenovo R Bull CBBC Mar 2014 A	0.250	6.5	7	2014/03/24	100,000,000	2013/08/23
69086	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 M	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/01/29	300,000,000	2013/08/26
69087	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 N	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/01/29	300,000,000	2013/08/26
69088	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 O	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/01/29	300,000,000	2013/08/26
69089	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 P	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/01/29	300,000,000	2013/08/26
69077	Credit Suisse AG	HSI R Bull CBBC Feb 2014 F	0.250	22,317 per 12,000 units	22,517 per 12,000 units	2014/02/27	300,000,000	2013/08/26
69090	Credit Suisse AG	HSI R Bull CBBC Feb 2014 W	0.250	22,138 per 12,000 units	22,338 per 12,000 units	2014/02/27	300,000,000	2013/08/26
69127	Credit Suisse AG	HSI R Bear CBBC Nov 2013 F	0.250	22,838 per 12,000 units	22,638 per 12,000 units	2013/11/28	300,000,000	2013/08/26
69124	Credit Suisse AG	HSI R Bear CBBC Nov 2013 P	0.250	22,664 per 10,000 units	22,464 per 10,000 units	2013/11/28	300,000,000	2013/08/26
69149	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 G	0.250	22,750 per 10,000 units	22,566 per 10,000 units	2013/11/28	120,000,000	2013/08/26
69143	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 Z	0.250	22,650 per 10,000 units	22,466 per 10,000 units	2013/11/28	120,000,000	2013/08/26
69138	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 B	0.289	22,688 per 7,000 units	22,488 per 7,000 units	2014/01/29	150,000,000	2013/08/26
69139	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 C	0.277	22,738 per 10,000 units	22,538 per 10,000 units	2014/01/29	150,000,000	2013/08/26
69140	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 D	0.285	23,638 per 10,000 units	23,438 per 10,000 units	2014/01/29	150,000,000	2013/08/26
69141	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 E	0.285	24,988 per 20,000 units	24,688 per 20,000 units	2014/01/29	150,000,000	2013/08/26
69142	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 F	0.279	25,188 per 20,000 units	24,888 per 20,000 units	2014/01/29	150,000,000	2013/08/26
69080	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 P	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2013/11/28	200,000,000	2013/08/26
69084	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 S	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2013/11/28	200,000,000	2013/08/26
69085	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 T	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2013/11/28	200,000,000	2013/08/26
69079	UBS AG	HSI R Bull CBBC May 2014 Q	0.250	22,317 per 10,000 units	22,517 per 10,000 units	2014/05/29	300,000,000	2013/08/26
69128	UBS AG	HSI R Bear CBBC Jan 2014 X	0.250	22,664 per 10,000 units	22,464 per 10,000 units	2014/01/29	300,000,000	2013/08/26
69391	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 A	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69221	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 W	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69222	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 X	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69227	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Y	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69228	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Z	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69402	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 E	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69481	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 F	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69482	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 G	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69490	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 H	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69499	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 I	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69394	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Q	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69401	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 R	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69280	Credit Suisse AG	HSI R Bull CBBC Apr 2014 G	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69281	Credit Suisse AG	HSI R Bull CBBC Apr 2014 H	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69274	Credit Suisse AG	HSI R Bull CBBC Apr 2014 I	0.250	22,263 per 10,000 units	22,463 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69317	Credit Suisse AG	HSI R Bull CBBC Apr 2014 P	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69318	Credit Suisse AG	HSI R Bull CBBC Apr 2014 W	0.250	21,688 per 10,000 units	21,888 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69418	Credit Suisse AG	HSI R Bull CBBC Apr 2014 X	0.250	21,930 per 10,000 units	22,130 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69450	Credit Suisse AG	HSI R Bear CBBC Nov 2013 A	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69421	Credit Suisse AG	HSI R Bear CBBC Nov 2013 H	0.250	22,171 per 10,000 units	21,971 per 10,000 units	2013/11/28	300,000,000	2013/08/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69432	Credit Suisse AG	HSI R Bear CBBC Nov 2013 J	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69443	Credit Suisse AG	HSI R Bear CBBC Nov 2013 K	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69449	Credit Suisse AG	HSI R Bear CBBC Nov 2013 S	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69446	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Y	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69500	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 F	0.250	22,400 per 10,000 units	22,213 per 10,000 units	2013/11/28	120,000,000	2013/08/27
69504	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 H	0.250	22,200 per 10,000 units	22,013 per 10,000 units	2013/11/28	120,000,000	2013/08/27
69622	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 G	0.259	22,288 per 7,000 units	22,088 per 7,000 units	2014/01/29	150,000,000	2013/08/27
69623	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 H	0.264	22,488 per 7,000 units	22,288 per 7,000 units	2014/01/29	150,000,000	2013/08/27
69663	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 I	0.261	22,188 per 10,000 units	21,988 per 10,000 units	2014/01/29	150,000,000	2013/08/27
69664	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 J	0.258	22,488 per 20,000 units	22,188 per 20,000 units	2014/01/29	150,000,000	2013/08/27
69683	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 K	0.256	22,688 per 20,000 units	22,388 per 20,000 units	2014/01/29	150,000,000	2013/08/27
69205	HK Bank	CNOOC R Bull CBBC Apr 2014 A	0.250	12.88	13.68	2014/04/28	60,000,000	2013/08/27
69202	HK Bank	CSOP A50 ETF R Bull CBBC Mar 2014 C	0.250	8.78	9.08	2014/03/03	80,000,000	2013/08/27
69180	HK Bank	A50 R Bull CBBC Mar 2014 D	0.250	8.98	9.28	2014/03/17	100,000,000	2013/08/27
69179	HK Bank	HSCEI R Bull CBBC Jan 2014 C	0.250	9,788 per 10,000 units	9,988 per 10,000 units	2014/01/29	100,000,000	2013/08/27
69173	HK Bank	HSI R Bear CBBC Feb 2014 F	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/02/27	100,000,000	2013/08/27
69178	HK Bank	HSI R Bear CBBC Jun 2014 A	0.250	24,888 per 15,000 units	24,688 per 15,000 units	2014/06/27	100,000,000	2013/08/27
69204	HK Bank	PetCh R Bull CBBC Apr 2014 A	0.250	7.68	8.08	2014/04/14	60,000,000	2013/08/27
69520	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 N	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69523	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 R	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69566	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 T	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69507	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 P	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69521	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Q	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/01/29	300,000,000	2013/08/27
69219	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 F	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2014/02/27	100,000,000	2013/08/27
69220	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 G	0.250	22,260 per 12,000 units	22,460 per 12,000 units	2014/02/27	100,000,000	2013/08/27
69288	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 H	0.250	22,100 per 12,000 units	22,300 per 12,000 units	2014/02/27	100,000,000	2013/08/27
69419	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 I	0.250	21,930 per 12,000 units	22,130 per 12,000 units	2014/02/27	100,000,000	2013/08/27
69315	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 F	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/02/27	100,000,000	2013/08/27
69584	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 G	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/02/27	100,000,000	2013/08/27
69609	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 H	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/02/27	100,000,000	2013/08/27
69290	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Dec13 U	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2013/12/30	200,000,000	2013/08/27
69292	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 D	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/11/28	200,000,000	2013/08/27
69302	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 U	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2013/11/28	200,000,000	2013/08/27
69480	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Nov13 V	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/11/28	200,000,000	2013/08/27
69414	UBS AG	Cheung Kong R Bull CBBC Feb 2014 A	0.250	99 per 100 units	105 per 100 units	2014/02/17	100,000,000	2013/08/27
69406	UBS AG	CNBM R Bull CBBC Jan 2014 A	0.250	6.2	7	2014/01/20	50,000,000	2013/08/27
69287	UBS AG	HSI R Bull CBBC Apr 2014 B	0.250	22,263 per 10,000 units	22,463 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69285	UBS AG	HSI R Bull CBBC Apr 2014 E	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/04/29	300,000,000	2013/08/27
69286	UBS AG	HSI R Bull CBBC May 2014 R	0.250	22,125 per 12,000 units	22,325 per 12,000 units	2014/05/29	350,000,000	2013/08/27
69284	UBS AG	HSI R Bull CBBC Jun 2014 N	0.250	21,925 per 10,000 units	22,125 per 10,000 units	2014/06/27	300,000,000	2013/08/27
69405	UBS AG	HSI R Bull CBBC Jun 2014 O	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/08/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69466	UBS AG	HSI R Bear CBBC Nov 2013 N	0.250	22,171 per 10,000 units	21,971 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69457	UBS AG	HSI R Bear CBBC Nov 2013 X	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/11/28	300,000,000	2013/08/27
69463	UBS AG	HSI R Bear CBBC Dec 2013 M	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/12/30	300,000,000	2013/08/27
69452	UBS AG	HSI R Bear CBBC Dec 2013 O	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2013/12/30	300,000,000	2013/08/27
69456	UBS AG	HSI R Bear CBBC Dec 2013 P	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2013/12/30	300,000,000	2013/08/27
69702	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 B	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2013/08/28
69703	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 F	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/08/28
69707	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 G	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/28
69755	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 S	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69757	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 T	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69758	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 U	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69769	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 V	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69720	Credit Suisse AG	HSI R Bull CBBC Mar 2014 G	0.250	21,770 per 10,000 units	21,970 per 10,000 units	2014/03/28	300,000,000	2013/08/28
69725	Credit Suisse AG	HSI R Bull CBBC Mar 2014 H	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/03/28	300,000,000	2013/08/28
69726	Credit Suisse AG	HSI R Bull CBBC Mar 2014 J	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/03/28	300,000,000	2013/08/28
69727	Credit Suisse AG	HSI R Bull CBBC Mar 2014 K	0.250	21,388 per 10,000 units	21,588 per 10,000 units	2014/03/28	300,000,000	2013/08/28
69805	Credit Suisse AG	HSI R Bear CBBC Nov 2013 B	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69799	Credit Suisse AG	HSI R Bear CBBC Nov 2013 O	0.250	21,925 per 10,000 units	21,725 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69800	Credit Suisse AG	HSI R Bear CBBC Nov 2013 Q	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69806	Credit Suisse AG	HSI R Bear CBBC Nov 2013 U	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69801	Credit Suisse AG	HSI R Bear CBBC Nov 2013 W	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69804	Credit Suisse AG	HSI R Bear CBBC Nov 2013 X	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69821	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Nov 2013 D	0.250	22,050 per 10,000 units	21,866 per 10,000 units	2013/11/28	120,000,000	2013/08/28
69828	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 A	0.250	21,588 per 7,000 units	21,788 per 7,000 units	2014/01/29	150,000,000	2013/08/28
69846	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 L	0.259	22,088 per 7,000 units	21,888 per 7,000 units	2014/01/29	150,000,000	2013/08/28
69847	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 M	0.252	22,288 per 20,000 units	21,988 per 20,000 units	2014/01/29	150,000,000	2013/08/28
69848	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 N	0.260	22,888 per 20,000 units	22,588 per 20,000 units	2014/01/29	150,000,000	2013/08/28
69807	J P Morgan SP BV	HSI R Bull CBBC May 2014 N	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/05/29	300,000,000	2013/08/28
69856	J P Morgan SP BV	HSI R Bull CBBC May 2014 O	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/05/29	300,000,000	2013/08/28
69820	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 R	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2013/08/28
69857	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 S	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/06/27	300,000,000	2013/08/28
69855	J P Morgan SP BV	HSI R Bear CBBC Nov 2013 U	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69852	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 R	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69745	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 J	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/02/27	100,000,000	2013/08/28
69694	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 A	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2014/05/29	200,000,000	2013/08/28
69696	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 B	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/05/29	200,000,000	2013/08/28
69698	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 D	0.250	22,188 per 10,000 units	22,088 per 10,000 units	2013/12/30	200,000,000	2013/08/28
69701	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 E	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/12/30	200,000,000	2013/08/28
69751	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 F	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/12/30	200,000,000	2013/08/28
69728	UBS AG	AIA R Bull CBBC Jun 2014 D	0.250	29.88 per 100 units	30.88 per 100 units	2014/06/09	100,000,000	2013/08/28
69792	UBS AG	CSOP A50 ETF R Bull CBBC Jan 2014 E	0.250	8.45	8.75	2014/01/06	100,000,000	2013/08/28
69790	UBS AG	A50 China R Bull CBBC Feb 2014 E	0.250	8.7	9	2014/02/17	100,000,000	2013/08/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69741	UBS AG	HSI R Bull CBBC Dec 2013 P	0.250	21,770 per 10,000 units	21,970 per 10,000 units	2013/12/30	300,000,000	2013/08/28
69740	UBS AG	HSI R Bull CBBC Jan 2014 W	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/08/28
69729	UBS AG	HSI R Bull CBBC Feb 2014 R	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	300,000,000	2013/08/28
69770	UBS AG	HSI R Bear CBBC Nov 2013 K	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69771	UBS AG	HSI R Bear CBBC Nov 2013 L	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69783	UBS AG	HSI R Bear CBBC Nov 2013 M	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69785	UBS AG	HSI R Bear CBBC Nov 2013 R	0.250	21,925 per 10,000 units	21,725 per 10,000 units	2013/11/28	300,000,000	2013/08/28
69773	UBS AG	HSI R Bear CBBC Dec 2013 R	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/08/28
69796	UBS AG	Petrochina R Bull CBBC Jun 2014 A	0.250	7.6	8	2014/06/23	100,000,000	2013/08/28
69866	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 L	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/08/29
69894	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69895	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 X	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69917	Credit Suisse AG	HSI R Bull CBBC Dec 2013 J	0.250	21,695 per 12,000 units	21,895 per 12,000 units	2013/12/30	300,000,000	2013/08/29
69873	Credit Suisse AG	HSI R Bull CBBC Feb 2014 E	0.250	21,617 per 10,000 units	21,817 per 10,000 units	2014/02/27	300,000,000	2013/08/29
69870	Credit Suisse AG	HSI R Bull CBBC Feb 2014 X	0.250	21,088 per 10,000 units	21,288 per 10,000 units	2014/02/27	300,000,000	2013/08/29
69871	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Y	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/02/27	300,000,000	2013/08/29
69872	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Z	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	300,000,000	2013/08/29
69910	Credit Suisse AG	HSI R Bear CBBC Dec 2013 B	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69907	Credit Suisse AG	HSI R Bear CBBC Dec 2013 M	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69908	Credit Suisse AG	HSI R Bear CBBC Dec 2013 N	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69909	Credit Suisse AG	HSI R Bear CBBC Dec 2013 O	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69915	Credit Suisse AG	HSI R Bear CBBC Dec 2013 P	0.250	21,870 per 10,000 units	21,670 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69919	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Nov 2013 E	0.250	21,600 per 10,000 units	21,818 per 10,000 units	2013/11/28	120,000,000	2013/08/29
69923	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 B	0.252	21,388 per 7,000 units	21,588 per 7,000 units	2014/01/29	150,000,000	2013/08/29
69926	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 C	0.257	21,638 per 10,000 units	21,838 per 10,000 units	2014/01/29	150,000,000	2013/08/29
69927	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 O	0.288	25,388 per 20,000 units	25,088 per 20,000 units	2014/01/29	150,000,000	2013/08/29
69864	HK Bank	Cheung Kong R Bull CBBC Apr 2014 A	0.250	91.08 per 100 units	97.08 per 100 units	2014/04/28	60,000,000	2013/08/29
69863	HK Bank	A50 R Bull CBBC Mar 2014 E	0.250	7.98	8.28	2014/03/17	100,000,000	2013/08/29
69862	HK Bank	HSI R Bear CBBC Jan 2014 G	0.250	22,288 per 15,000 units	22,088 per 15,000 units	2014/01/29	100,000,000	2013/08/29
69860	HK Bank	HSI R Bear CBBC Feb 2014 G	0.250	22,688 per 15,000 units	22,488 per 15,000 units	2014/02/27	100,000,000	2013/08/29
69861	HK Bank	HSI R Bear CBBC Feb 2014 H	0.250	22,488 per 15,000 units	22,288 per 15,000 units	2014/02/27	100,000,000	2013/08/29
69858	HK Bank	HSI R Bear CBBC Mar 2014 D	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/03/28	100,000,000	2013/08/29
69865	HK Bank	Ping An R Bull CBBC Apr 2014 B	0.250	45.08 per 100 units	49.08 per 100 units	2014/04/14	60,000,000	2013/08/29
69869	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 K	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/02/27	100,000,000	2013/08/29
69931	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 I	0.250	22,096 per 12,000 units	21,896 per 12,000 units	2014/02/27	100,000,000	2013/08/29
69889	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 C	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2014/05/29	200,000,000	2013/08/29
69890	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 G	0.250	21,988 per 10,000 units	21,888 per 10,000 units	2013/12/30	200,000,000	2013/08/29
69874	UBS AG	HSCEI R Bear CBBC Nov 2013 C	0.250	10,450 per 10,000 units	10,250 per 10,000 units	2013/11/28	100,000,000	2013/08/29
69918	UBS AG	HSI R Bull CBBC Dec 2013 V	0.250	21,695 per 10,000 units	21,895 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69867	UBS AG	HSI R Bull CBBC Jan 2014 X	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69877	UBS AG	HSI R Bear CBBC Nov 2013 Y	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2013/11/28	300,000,000	2013/08/29

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
69880	UBS AG	HSI R Bear CBBC Nov 2013 Z	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2013/11/28	300,000,000	2013/08/29
69879	UBS AG	HSI R Bear CBBC Dec 2013 S	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69887	UBS AG	HSI R Bear CBBC Dec 2013 W	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69896	UBS AG	HSI R Bear CBBC Dec 2013 Y	0.250	21,870 per 10,000 units	21,670 per 10,000 units	2013/12/30	300,000,000	2013/08/29
69886	UBS AG	HSI R Bear CBBC Jan 2014 A	0.250	22,150 per 10,000 units	21,950 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69875	UBS AG	HSI R Bear CBBC Jan 2014 Y	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69876	UBS AG	HSI R Bear CBBC Jan 2014 Z	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/01/29	300,000,000	2013/08/29
69888	UBS AG	HSI R Bear CBBC Feb 2014 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/02/27	300,000,000	2013/08/29
69958	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Y	0.250	21,811 per 10,000 units	22,011 per 10,000 units	2014/01/29	300,000,000	2013/08/30
69960	Credit Suisse AG	HSI R Bull CBBC Jan 2014 Z	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/08/30
69933	Credit Suisse AG	HSI R Bear CBBC Jan 2014 I	0.250	22,288 per 12,000 units	22,088 per 12,000 units	2014/01/29	300,000,000	2013/08/30
69975	Credit Suisse AG	HSI R Bear CBBC Jan 2014 J	0.250	22,064 per 10,000 units	21,864 per 10,000 units	2014/01/29	300,000,000	2013/08/30
69978	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 H	0.250	21,500 per 10,000 units	21,688 per 10,000 units	2013/12/30	120,000,000	2013/08/30
69979	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 N	0.250	22,300 per 10,000 units	22,113 per 10,000 units	2013/12/30	120,000,000	2013/08/30
69945	J P Morgan SP BV	HSI R Bull CBBC May 2014 P	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/05/29	300,000,000	2013/08/30
69946	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 T	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/08/30
69939	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 W	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/08/30
69944	J P Morgan SP BV	HSI R Bear CBBC Dec 2013 X	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2013/12/30	300,000,000	2013/08/30
69961	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 L	0.250	21,700 per 12,000 units	21,900 per 12,000 units	2014/02/27	100,000,000	2013/08/30
69963	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 G	0.250	22,700 per 20,000 units	22,500 per 20,000 units	2013/12/30	200,000,000	2013/08/30
69947	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 D	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2014/05/29	200,000,000	2013/08/30
69948	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 E	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2014/05/29	200,000,000	2013/08/30
69956	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 H	0.250	22,800 per 15,000 units	22,600 per 15,000 units	2013/12/30	200,000,000	2013/08/30
69965	UBS AG	HSI R Bull CBBC May 2014 S	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/05/29	300,000,000	2013/08/30
69966	UBS AG	HSI R Bull CBBC May 2014 T	0.250	21,811 per 12,000 units	22,011 per 12,000 units	2014/05/29	350,000,000	2013/08/30
69964	UBS AG	HSI R Bull CBBC Jun 2014 P	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/08/30
69977	UBS AG	HSI R Bear CBBC Dec 2013 C	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/08/30
69976	UBS AG	HSI R Bear CBBC Feb 2014 S	0.250	22,064 per 12,000 units	21,864 per 12,000 units	2014/02/27	350,000,000	2013/08/30
60138	Credit Suisse AG	HSI R Bull CBBC Feb 2014 G	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/02/27	300,000,000	2013/09/02
60143	Credit Suisse AG	HSI R Bull CBBC Feb 2014 H	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/02/27	300,000,000	2013/09/02
60145	Credit Suisse AG	HSI R Bull CBBC Feb 2014 O	0.250	21,869 per 10,000 units	22,069 per 10,000 units	2014/02/27	300,000,000	2013/09/02
69992	Credit Suisse AG	HSI R Bear CBBC Feb 2014 P	0.250	22,088 per 12,000 units	21,888 per 12,000 units	2014/02/27	300,000,000	2013/09/02
69993	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Q	0.250	22,388 per 12,000 units	22,188 per 12,000 units	2014/02/27	300,000,000	2013/09/02
69994	Bank of East Asia	A50 China R Bull CBBC Aug 2014 A	0.250	8.58	8.88	2014/08/22	50,000,000	2013/09/02
60132	Bank of East Asia	GCL-Poly Energy R Bull CBBC Jul 2014 A	0.500	1.55 per unit	1.80 per unit	2014/07/22	30,000,000	2013/09/02
69998	Bank of East Asia	Galaxy Ent R Bull CBBC Jun 2014 A	0.250	40.27 per 100 units	41.27 per 100 units	2014/06/27	60,000,000	2013/09/02
69997	Bank of East Asia	HKEx R Bull CBBC Aug 2014 A	0.250	109 per 100 units	113.80 per 100 units	2014/08/22	60,000,000	2013/09/02
60055	Bank of East Asia	Hutchison R Bull CBBC Jun 2014 A	0.250	83 per 100 units	86 per 100 units	2014/06/13	60,000,000	2013/09/02
60052	Bank of East Asia	Lenovo R Bull CBBC Sep 2014 A	0.250	5.9	6.4	2014/09/08	60,000,000	2013/09/02
69996	Bank of East Asia	Ping An R Bull CBBC Aug 2014 A	0.250	45.50 per 100 units	48.80 per 100 units	2014/08/22	60,000,000	2013/09/02
60054	Bank of East Asia	Sands China R Bull CBBC Aug 2014 A	0.250	36 per 100 units	39 per 100 units	2014/08/28	60,000,000	2013/09/02
60328	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 D	0.250	21,788 per 7,000 units	21,988 per 7,000 units	2014/01/29	150,000,000	2013/09/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60331	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 E	0.251	21,588 per 20,000 units	21,888 per 20,000 units	2014/01/29	150,000,000	2013/09/02
69990	HK Bank	AIA R Bear CBBC Mar 2014 B	0.300	37.28	36.28	2014/03/10	50,000,000	2013/09/02
69991	HK Bank	CNOOC R Bull CBBC Apr 2014 B	0.250	13.88	14.68	2014/04/07	60,000,000	2013/09/02
69980	HK Bank	HSI R Bull CBBC Feb 2014 J	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/02/27	100,000,000	2013/09/02
69983	HK Bank	HSI R Bear CBBC Apr 2014 C	0.250	22,928 per 20,000 units	22,628 per 20,000 units	2014/04/29	400,000,000	2013/09/02
69989	HK Bank	PetCh R Bull CBBC Jun 2014 A	0.250	6.68	7.08	2014/06/30	60,000,000	2013/09/02
69987	HK Bank	TCH R Bear CBBC Feb 2014 C	0.260	379.88 per 100 units	369.88 per 100 units	2014/02/24	50,000,000	2013/09/02
60313	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 U	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/06/27	300,000,000	2013/09/02
60327	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 V	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/09/02
60136	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 J	0.250	22,270 per 12,000 units	22,070 per 12,000 units	2014/02/27	100,000,000	2013/09/02
60218	UBS AG	HSCEI R Bull CBBC Jun 2014 F	0.250	9,550 per 10,000 units	9,750 per 10,000 units	2014/06/27	100,000,000	2013/09/02
60232	UBS AG	HSI R Bull CBBC Dec 2013 W	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2013/12/30	300,000,000	2013/09/02
60263	UBS AG	HSI R Bull CBBC Apr 2014 F	0.250	21,869 per 10,000 units	22,069 per 10,000 units	2014/04/29	300,000,000	2013/09/02
60260	UBS AG	HSI R Bull CBBC Jul 2014 G	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/07/30	300,000,000	2013/09/02
60134	UBS AG	HSI R Bear CBBC Apr 2014 C	0.250	22,125 per 12,000 units	21,925 per 12,000 units	2014/04/29	350,000,000	2013/09/02
60311	UBS AG	Wharf Holdings R Bull CBBC Dec 2013 A	0.250	57.50 per 100 units	60.50 per 100 units	2013/12/16	50,000,000	2013/09/02
60353	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 M	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/06/27	300,000,000	2013/09/03
60375	Credit Suisse AG	HSI R Bull CBBC Feb 2014 Q	0.250	21,588 per 12,000 units	21,788 per 12,000 units	2014/02/27	300,000,000	2013/09/03
60389	Credit Suisse AG	HSI R Bull CBBC Feb 2014 R	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/02/27	300,000,000	2013/09/03
60354	Credit Suisse AG	HSI R Bull CBBC Feb 2014 S	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/02/27	300,000,000	2013/09/03
60390	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Q	0.250	22,075 per 10,000 units	21,875 per 10,000 units	2013/12/30	300,000,000	2013/09/03
60397	Credit Suisse AG	HSI R Bear CBBC Dec 2013 W	0.250	22,238 per 12,000 units	22,038 per 12,000 units	2013/12/30	300,000,000	2013/09/03
60435	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 W	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/03/28	300,000,000	2013/09/03
60461	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 W	0.250	21,250 per 10,000 units	21,450 per 10,000 units	2014/06/27	300,000,000	2013/09/03
60433	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 S	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/09/03
60434	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 T	0.250	22,550 per 10,000 units	22,350 per 10,000 units	2014/01/29	300,000,000	2013/09/03
60415	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 A	0.250	21,400 per 12,000 units	21,600 per 12,000 units	2014/06/27	100,000,000	2013/09/03
60432	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 G	0.250	22,500 per 12,000 units	22,300 per 12,000 units	2014/01/29	100,000,000	2013/09/03
60411	UBS AG	CNBM R Bear CBBC Jan 2014 A	0.250	9.3	8.5	2014/01/20	50,000,000	2013/09/03
60412	UBS AG	CNOOC R Bear CBBC Jan 2014 A	0.250	17.8	17	2014/01/27	100,000,000	2013/09/03
60406	UBS AG	Galaxy Ent R Bull CBBC Jun 2014 A	0.250	42 per 100 units	43 per 100 units	2014/06/09	100,000,000	2013/09/03
60413	UBS AG	HKEx R Bull CBBC Jul 2014 A	0.250	105 per 100 units	110 per 100 units	2014/07/21	100,000,000	2013/09/03
60352	UBS AG	HSI R Bull CBBC Jun 2014 Q	0.250	21,805 per 10,000 units	22,005 per 10,000 units	2014/06/27	300,000,000	2013/09/03
60407	UBS AG	HSI R Bear CBBC Mar 2014 C	0.250	22,075 per 10,000 units	21,875 per 10,000 units	2014/03/28	300,000,000	2013/09/03
60523	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 N	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/06/27	300,000,000	2013/09/04
60524	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Q	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/09/04
60561	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 R	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/06/27	300,000,000	2013/09/04
60566	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 Y	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60565	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 Z	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60525	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/09/04
60547	Credit Suisse AG	HSI R Bull CBBC May 2014 T	0.250	21,674 per 10,000 units	21,874 per 10,000 units	2014/05/29	300,000,000	2013/09/04
60548	Credit Suisse AG	HSI R Bull CBBC May 2014 U	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/05/29	300,000,000	2013/09/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60558	Credit Suisse AG	HSI R Bull CBBC May 2014 W	0.250	21,338 per 10,000 units	21,538 per 10,000 units	2014/05/29	300,000,000	2013/09/04
60608	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60632	Credit Suisse AG	HSI R Bear CBBC Dec 2013 X	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60638	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Y	0.250	21,695 per 10,000 units	21,495 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60724	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 F	0.267	21,188 per 20,000 units	21,488 per 20,000 units	2014/01/29	150,000,000	2013/09/04
60562	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 P	0.252	21,888 per 7,000 units	21,688 per 7,000 units	2014/01/29	150,000,000	2013/09/04
60564	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 A	0.252	22,088 per 20,000 units	21,788 per 20,000 units	2014/02/27	150,000,000	2013/09/04
60563	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 A	0.253	21,888 per 20,000 units	21,588 per 20,000 units	2014/03/28	150,000,000	2013/09/04
60495	HK Bank	CC Bank R Bear CBBC Apr 2014 A	0.250	7.08	6.78	2014/04/14	40,000,000	2013/09/04
60496	HK Bank	China Life R Bull CBBC Mar 2014 A	0.250	17.68	18.68	2014/03/17	60,000,000	2013/09/04
60476	HK Bank	CNOOC R Bear CBBC Apr 2014 B	0.260	17.98	17.18	2014/04/28	40,000,000	2013/09/04
60462	HK Bank	Galaxy Ent R Bull CBBC Apr 2014 A	0.400	42.27	43.27	2014/04/14	50,000,000	2013/09/04
60468	HK Bank	Galaxy Ent R Bear CBBC Apr 2014 A	0.650	52.27	51.27	2014/04/14	40,000,000	2013/09/04
60497	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 F	0.250	21,588 per 10,000 units	21,688 per 10,000 units	2014/05/29	200,000,000	2013/09/04
60567	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 G	0.250	21,388 per 10,000 units	21,488 per 10,000 units	2014/05/29	200,000,000	2013/09/04
60575	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 I	0.250	21,688 per 10,000 units	21,588 per 10,000 units	2013/12/30	200,000,000	2013/09/04
60577	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 J	0.250	22,500 per 15,000 units	22,300 per 15,000 units	2013/12/30	200,000,000	2013/09/04
60702	UBS AG	HSCEI R Bear CBBC Dec 2013 B	0.250	10,200 per 10,000 units	10,000 per 10,000 units	2013/12/30	100,000,000	2013/09/04
60498	UBS AG	HSI R Bull CBBC Feb 2014 S	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/02/27	300,000,000	2013/09/04
60503	UBS AG	HSI R Bull CBBC Feb 2014 Y	0.250	21,674 per 10,000 units	21,874 per 10,000 units	2014/02/27	300,000,000	2013/09/04
60502	UBS AG	HSI R Bull CBBC Jul 2014 H	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/07/30	300,000,000	2013/09/04
60701	UBS AG	HSI R Bear CBBC Dec 2013 G	0.250	21,700 per 10,000 units	21,500 per 10,000 units	2013/12/30	300,000,000	2013/09/04
60700	UBS AG	HSI R Bear CBBC Jan 2014 B	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/01/29	300,000,000	2013/09/04
60703	UBS AG	TCH R Bear CBBC Jan 2014 C	0.250	380 per 100 units	370 per 100 units	2014/01/13	50,000,000	2013/09/04
60789	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 S	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/09/05
60790	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Dec 2013 X	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/09/05
60787	Credit Suisse AG	HSI R Bull CBBC Jan 2014 K	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60812	Credit Suisse AG	HSI R Bull CBBC Jan 2014 L	0.250	21,504 per 10,000 units	21,704 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60764	Credit Suisse AG	HSI R Bear CBBC Jan 2014 K	0.250	21,725 per 10,000 units	21,525 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60770	Credit Suisse AG	HSI R Bear CBBC Jan 2014 L	0.250	21,850 per 10,000 units	21,650 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60786	Credit Suisse AG	HSI R Bear CBBC Jan 2014 M	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60813	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 G	0.256	21,388 per 7,000 units	21,588 per 7,000 units	2014/01/29	150,000,000	2013/09/05
60816	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 H	0.255	21,388 per 20,000 units	21,688 per 20,000 units	2014/01/29	150,000,000	2013/09/05
60725	HK Bank	ABC R Bull CBBC Aug 2014 B	0.250	2.5	2.75	2014/08/18	80,000,000	2013/09/05
60726	HK Bank	Wharf Holdings R Bull CBBC Apr 2014 A	0.250	52 per 100 units	55 per 100 units	2014/04/28	40,000,000	2013/09/05
60820	J P Morgan SP BV	HSI R Bull CBBC Mar 2014 X	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/03/28	300,000,000	2013/09/05
60748	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 U	0.250	21,800 per 10,000 units	21,600 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60749	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 V	0.250	22,250 per 10,000 units	22,050 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60750	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 W	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/09/05
60773	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 F	0.250	21,300 per 20,000 units	21,500 per 20,000 units	2013/12/30	200,000,000	2013/09/05
60783	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 K	0.250	21,728 per 12,000 units	21,528 per 12,000 units	2014/02/27	100,000,000	2013/09/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60784	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 H	0.250	21,488 per 10,000 units	21,588 per 10,000 units	2014/05/29	200,000,000	2013/09/05
60785	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 K	0.250	21,788 per 10,000 units	21,688 per 10,000 units	2013/12/30	200,000,000	2013/09/05
60808	UBS AG	HSI R Bull CBBC Mar 2014 B	0.250	21,504 per 12,000 units	21,704 per 12,000 units	2014/03/28	350,000,000	2013/09/05
60806	UBS AG	HSI R Bull CBBC Jul 2014 I	0.250	21,300 per 10,000 units	21,500 per 10,000 units	2014/07/30	300,000,000	2013/09/05
60751	UBS AG	HSI R Bear CBBC Feb 2014 T	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/02/27	300,000,000	2013/09/05
60752	UBS AG	HSI R Bear CBBC Feb 2014 U	0.250	21,725 per 10,000 units	21,525 per 10,000 units	2014/02/27	300,000,000	2013/09/05
60831	Credit Suisse AG	HSI R Bull CBBC Jan 2014 I	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/01/29	300,000,000	2013/09/06
60834	Credit Suisse AG	HSI R Bull CBBC Jan 2014 M	0.250	21,491 per 12,000 units	21,691 per 12,000 units	2014/01/29	300,000,000	2013/09/06
60828	Credit Suisse AG	HSI R Bear CBBC Jan 2014 N	0.250	21,950 per 10,000 units	21,750 per 10,000 units	2014/01/29	300,000,000	2013/09/06
60960	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 O	0.250	22,450 per 10,000 units	22,266 per 10,000 units	2013/12/30	120,000,000	2013/09/06
60974	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 P	0.250	22,100 per 10,000 units	21,913 per 10,000 units	2013/12/30	120,000,000	2013/09/06
60917	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 E	0.250	21,500 per 10,000 units	21,700 per 10,000 units	2014/04/29	300,000,000	2013/09/06
60941	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 X	0.250	22,450 per 10,000 units	22,250 per 10,000 units	2014/01/29	300,000,000	2013/09/06
60859	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 M	0.250	21,100 per 12,000 units	21,300 per 12,000 units	2014/02/27	100,000,000	2013/09/06
60870	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 N	0.250	20,900 per 12,000 units	21,100 per 12,000 units	2014/02/27	100,000,000	2013/09/06
60857	UBS AG	HSI R Bull CBBC Feb 2014 Z	0.250	21,531 per 10,000 units	21,731 per 10,000 units	2014/02/27	300,000,000	2013/09/06
60855	UBS AG	HSI R Bear CBBC Dec 2013 Z	0.250	21,900 per 10,000 units	21,700 per 10,000 units	2013/12/30	300,000,000	2013/09/06
61458	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 E	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/06/27	300,000,000	2013/09/09
61463	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 H	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/09/09
61438	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 T	0.250	21,350 per 10,000 units	21,550 per 10,000 units	2014/06/27	300,000,000	2013/09/09
61249	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 F	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61160	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61164	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	22,300 per 10,000 units	22,100 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61162	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Y	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61163	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Z	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61727	Credit Suisse AG	HSI R Bull CBBC Apr 2014 G	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61741	Credit Suisse AG	HSI R Bull CBBC Apr 2014 H	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61764	Credit Suisse AG	HSI R Bull CBBC Apr 2014 I	0.250	21,975 per 10,000 units	22,175 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61724	Credit Suisse AG	HSI R Bull CBBC Apr 2014 Q	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61273	Credit Suisse AG	HSI R Bear CBBC Dec 2013 F	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61302	Credit Suisse AG	HSI R Bear CBBC Dec 2013 H	0.250	22,100 per 10,000 units	21,900 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61303	Credit Suisse AG	HSI R Bear CBBC Dec 2013 I	0.250	22,000 per 10,000 units	21,800 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61335	Credit Suisse AG	HSI R Bear CBBC Dec 2013 R	0.250	21,932 per 10,000 units	21,732 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61252	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Z	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61696	Credit Suisse AG	HSI R Bear CBBC Feb 2014 R	0.250	22,488 per 10,000 units	22,288 per 10,000 units	2014/02/27	300,000,000	2013/09/09
61788	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 E	0.250	21,800 per 10,000 units	21,988 per 10,000 units	2013/12/30	120,000,000	2013/09/09
61789	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 S	0.250	21,400 per 10,000 units	21,588 per 10,000 units	2013/12/30	120,000,000	2013/09/09
61786	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 Q	0.250	22,550 per 10,000 units	22,366 per 10,000 units	2013/12/30	120,000,000	2013/09/09
61412	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 I	0.257	21,588 per 7,000 units	21,788 per 7,000 units	2014/01/29	150,000,000	2013/09/09
61415	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 J	0.253	21,788 per 7,000 units	21,988 per 7,000 units	2014/01/29	150,000,000	2013/09/09
61437	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 K	0.252	21,788 per 20,000 units	22,088 per 20,000 units	2014/01/29	150,000,000	2013/09/09
61771	J P Morgan SP BV	HSI R Bull CBBC May 2014 Q	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/05/29	300,000,000	2013/09/09
61783	J P Morgan SP BV	HSI R Bull CBBC May 2014 R	0.250	21,700 per 10,000 units	21,900 per 10,000 units	2014/05/29	300,000,000	2013/09/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61785	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Y	0.250	22,400 per 10,000 units	22,200 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61382	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 G	0.250	21,800 per 20,000 units	22,000 per 20,000 units	2013/12/30	200,000,000	2013/09/09
61387	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 B	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	100,000,000	2013/09/09
61100	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 H	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2014/01/29	100,000,000	2013/09/09
61076	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 L	0.250	21,932 per 12,000 units	21,732 per 12,000 units	2014/02/27	100,000,000	2013/09/09
61368	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 I	0.250	21,788 per 10,000 units	21,888 per 10,000 units	2014/05/29	200,000,000	2013/09/09
61381	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 J	0.250	21,988 per 10,000 units	22,088 per 10,000 units	2014/05/29	200,000,000	2013/09/09
60993	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 L	0.250	21,888 per 10,000 units	21,788 per 10,000 units	2013/12/30	200,000,000	2013/09/09
60997	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 M	0.250	22,088 per 10,000 units	21,988 per 10,000 units	2013/12/30	200,000,000	2013/09/09
61075	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 N	0.250	22,288 per 10,000 units	22,188 per 10,000 units	2013/12/30	200,000,000	2013/09/09
61649	UBS AG	HSI R Bull CBBC Jan 2014 Y	0.250	21,725 per 10,000 units	21,925 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61648	UBS AG	HSI R Bull CBBC Mar 2014 I	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/03/28	300,000,000	2013/09/09
61638	UBS AG	HSI R Bull CBBC Apr 2014 A	0.250	21,975 per 10,000 units	22,175 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61667	UBS AG	HSI R Bull CBBC Apr 2014 I	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61665	UBS AG	HSI R Bull CBBC Jun 2014 R	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/09/09
61115	UBS AG	HSI R Bear CBBC Dec 2013 E	0.250	22,050 per 10,000 units	21,850 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61511	UBS AG	HSI R Bear CBBC Dec 2013 F	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61562	UBS AG	HSI R Bear CBBC Dec 2013 H	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61114	UBS AG	HSI R Bear CBBC Dec 2013 I	0.250	22,200 per 10,000 units	22,000 per 10,000 units	2013/12/30	300,000,000	2013/09/09
61101	UBS AG	HSI R Bear CBBC Jan 2014 C	0.250	22,350 per 10,000 units	22,150 per 10,000 units	2014/01/29	300,000,000	2013/09/09
61137	UBS AG	HSI R Bear CBBC Mar 2014 D	0.250	21,932 per 12,000 units	21,732 per 12,000 units	2014/03/28	350,000,000	2013/09/09
61595	UBS AG	HSI R Bear CBBC Mar 2014 E	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/03/28	300,000,000	2013/09/09
61604	UBS AG	HSI R Bear CBBC Apr 2014 D	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61621	UBS AG	HSI R Bear CBBC Apr 2014 E	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/04/29	300,000,000	2013/09/09
61464	UBS AG	Ping An R Bear CBBC Feb 2014 A	0.250	69 per 100 units	65 per 100 units	2014/02/10	100,000,000	2013/09/09
62038	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 D	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62028	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 I	0.250	21,450 per 10,000 units	21,650 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62042	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 J	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62029	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 K	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62034	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 O	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62035	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 P	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/06/27	300,000,000	2013/09/10
61889	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 G	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61891	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 Q	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/09/10
62091	Credit Suisse AG	HSI R Bull CBBC Jul 2014 C	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/07/30	300,000,000	2013/09/10
62096	Credit Suisse AG	HSI R Bull CBBC Jul 2014 D	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/07/30	300,000,000	2013/09/10
62117	Credit Suisse AG	HSI R Bull CBBC Jul 2014 E	0.250	22,210 per 10,000 units	22,410 per 10,000 units	2014/07/30	300,000,000	2013/09/10
61965	Credit Suisse AG	HSI R Bear CBBC Dec 2013 B	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2013/12/30	300,000,000	2013/09/10
61918	Credit Suisse AG	HSI R Bear CBBC Dec 2013 G	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2013/12/30	300,000,000	2013/09/10
61968	Credit Suisse AG	HSI R Bear CBBC Dec 2013 N	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2013/12/30	300,000,000	2013/09/10
61928	Credit Suisse AG	HSI R Bear CBBC Dec 2013 P	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2013/12/30	300,000,000	2013/09/10
61896	Credit Suisse AG	HSI R Bear CBBC Jan 2014 O	0.250	22,388 per 12,000 units	22,188 per 12,000 units	2014/01/29	300,000,000	2013/09/10
61900	Credit Suisse AG	HSI R Bear CBBC Jan 2014 P	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/09/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61911	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Q	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61959	Credit Suisse AG	HSI R Bear CBBC Jan 2014 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61960	Credit Suisse AG	HSI R Bear CBBC Jan 2014 S	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61971	Credit Suisse AG	HSI R Bear CBBC Jan 2014 T	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61927	Credit Suisse AG	HSI R Bear CBBC Feb 2014 S	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/02/27	300,000,000	2013/09/10
61931	Credit Suisse AG	HSI R Bear CBBC Feb 2014 T	0.250	23,198 per 10,000 units	22,998 per 10,000 units	2014/02/27	300,000,000	2013/09/10
62052	Credit Suisse AG	HSI R Bear CBBC Apr 2014 A	0.260	24,800 per 10,000 units	24,600 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62058	Credit Suisse AG	HSI R Bear CBBC Apr 2014 B	0.320	25,400 per 10,000 units	25,200 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62070	Credit Suisse AG	HSI R Bear CBBC Apr 2014 C	0.350	25,700 per 10,000 units	25,500 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62082	Credit Suisse AG	HSI R Bear CBBC Apr 2014 D	0.380	26,000 per 10,000 units	25,800 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62084	Credit Suisse AG	HSI R Bear CBBC Apr 2014 E	0.400	26,200 per 10,000 units	26,000 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62172	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 Q	0.250	22,000 per 10,000 units	22,188 per 10,000 units	2013/12/30	120,000,000	2013/09/10
62187	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 U	0.250	21,500 per 10,000 units	21,688 per 10,000 units	2013/12/30	120,000,000	2013/09/10
62186	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 V	0.250	21,600 per 10,000 units	21,788 per 10,000 units	2013/12/30	120,000,000	2013/09/10
62205	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 R	0.250	22,850 per 10,000 units	22,666 per 10,000 units	2013/12/30	120,000,000	2013/09/10
62206	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 L	0.255	21,988 per 7,000 units	22,188 per 7,000 units	2014/01/29	150,000,000	2013/09/10
62207	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 M	0.256	22,188 per 7,000 units	22,388 per 7,000 units	2014/01/29	150,000,000	2013/09/10
62208	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 N	0.256	21,988 per 20,000 units	22,288 per 20,000 units	2014/01/29	150,000,000	2013/09/10
61810	HK Bank	Galaxy Ent R Bull CBBC May 2014 B	0.500	44.87	45.87	2014/05/19	40,000,000	2013/09/10
61819	HK Bank	Galaxy Ent R Bear CBBC Jul 2014 A	0.250	55.27 per 100 units	54.27 per 100 units	2014/07/28	80,000,000	2013/09/10
61790	HK Bank	HSI R Bull CBBC Jan 2014 O	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/01/29	100,000,000	2013/09/10
61791	HK Bank	HSI R Bear CBBC Feb 2014 I	0.250	24,018 per 10,000 units	23,818 per 10,000 units	2014/02/27	100,000,000	2013/09/10
61822	HK Bank	Sands China R Bull CBBC Mar 2014 A	0.250	39.78 per 100 units	42.78 per 100 units	2014/03/17	60,000,000	2013/09/10
61828	HK Bank	Sands China R Bear CBBC Apr 2014 B	0.250	54.28 per 100 units	51.28 per 100 units	2014/04/28	50,000,000	2013/09/10
61829	HK Bank	TCH R Bull CBBC Apr 2014 A	0.300	348.88 per 100 units	358.88 per 100 units	2014/04/07	50,000,000	2013/09/10
62004	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 X	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62006	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 A	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/07/30	300,000,000	2013/09/10
61834	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 A	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61833	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Z	0.250	22,600 per 10,000 units	22,400 per 10,000 units	2014/01/29	300,000,000	2013/09/10
61862	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 O	0.250	21,975 per 12,000 units	22,175 per 12,000 units	2014/02/27	100,000,000	2013/09/10
61864	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 I	0.250	22,400 per 15,000 units	22,200 per 15,000 units	2014/01/29	100,000,000	2013/09/10
61865	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 J	0.250	22,600 per 12,000 units	22,400 per 12,000 units	2014/01/29	100,000,000	2013/09/10
62008	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 K	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2014/01/29	100,000,000	2013/09/10
62021	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 L	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2014/01/29	100,000,000	2013/09/10
62209	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 M	0.250	22,900 per 12,000 units	22,700 per 12,000 units	2014/02/27	100,000,000	2013/09/10
62218	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 N	0.250	23,100 per 12,000 units	22,900 per 12,000 units	2014/02/27	100,000,000	2013/09/10
62022	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 K	0.250	21,888 per 10,000 units	21,988 per 10,000 units	2014/05/29	200,000,000	2013/09/10
62023	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 L	0.250	22,088 per 10,000 units	22,188 per 10,000 units	2014/05/29	200,000,000	2013/09/10
62027	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 M	0.250	22,288 per 10,000 units	22,388 per 10,000 units	2014/05/29	200,000,000	2013/09/10
61894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 O	0.250	22,388 per 10,000 units	22,288 per 10,000 units	2013/12/30	200,000,000	2013/09/10
62161	UBS AG	BOCL R Bull CBBC Aug 2014 A	0.250	2.92	3.1	2014/08/25	100,000,000	2013/09/10
62166	UBS AG	China Life R Bull CBBC Jul 2014 C	0.250	17.88	18.88	2014/07/21	100,000,000	2013/09/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

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62167	UBS AG	China Shenhua R Bear CBBC Jul 2014 A	0.250	30 per 100 units	28 per 100 units	2014/07/21	100,000,000	2013/09/10
62143	UBS AG	HSCEI R Bull CBBC Jul 2014 B	0.250	9,800 per 10,000 units	10,000 per 10,000 units	2014/07/30	100,000,000	2013/09/10
62140	UBS AG	HSCEI R Bear CBBC Jan 2014 A	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2014/01/29	100,000,000	2013/09/10
62136	UBS AG	HSI R Bull CBBC Mar 2014 N	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/03/28	300,000,000	2013/09/10
62131	UBS AG	HSI R Bull CBBC Apr 2014 K	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/04/29	300,000,000	2013/09/10
62139	UBS AG	HSI R Bull CBBC May 2014 U	0.250	22,210 per 10,000 units	22,410 per 10,000 units	2014/05/29	300,000,000	2013/09/10
62129	UBS AG	HSI R Bull CBBC Jun 2014 S	0.250	21,400 per 10,000 units	21,600 per 10,000 units	2014/06/27	300,000,000	2013/09/10
62134	UBS AG	HSI R Bull CBBC Jul 2014 J	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/07/30	300,000,000	2013/09/10
61976	UBS AG	HSI R Bear CBBC Dec 2013 D	0.250	22,376 per 10,000 units	22,176 per 10,000 units	2013/12/30	300,000,000	2013/09/10
61973	UBS AG	HSI R Bear CBBC Dec 2013 K	0.250	22,500 per 10,000 units	22,300 per 10,000 units	2013/12/30	300,000,000	2013/09/10
62128	UBS AG	HSI R Bear CBBC Feb 2014 V	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/02/27	300,000,000	2013/09/10
62124	UBS AG	HSI R Bear CBBC Mar 2014 F	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/09/10
62171	UBS AG	TCH R Bull CBBC Jun 2014 H	0.250	360 per 100 units	370 per 100 units	2014/06/23	50,000,000	2013/09/10
62261	Credit Suisse AG	HSI R Bull CBBC Jun 2014 F	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/06/27	300,000,000	2013/09/11
62267	Credit Suisse AG	HSI R Bull CBBC Jun 2014 Y	0.250	21,900 per 10,000 units	22,100 per 10,000 units	2014/06/27	300,000,000	2013/09/11
62282	Credit Suisse AG	HSI R Bull CBBC Jun 2014 Z	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/06/27	300,000,000	2013/09/11
62298	Credit Suisse AG	HSI R Bull CBBC Jul 2014 F	0.250	22,194 per 10,000 units	22,394 per 10,000 units	2014/07/30	300,000,000	2013/09/11
62311	Credit Suisse AG	HSI R Bear CBBC Dec 2013 M	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2013/12/30	300,000,000	2013/09/11
62310	Credit Suisse AG	HSI R Bear CBBC Dec 2013 O	0.250	22,509 per 10,000 units	22,309 per 10,000 units	2013/12/30	300,000,000	2013/09/11
62256	Credit Suisse AG	HSI R Bear CBBC Apr 2014 F	0.250	26,688 per 20,000 units	26,388 per 20,000 units	2014/04/29	300,000,000	2013/09/11
62305	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 Q	0.468	24,088 per 7,000 units	23,888 per 7,000 units	2014/01/29	150,000,000	2013/09/11
62307	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 R	0.251	24,088 per 20,000 units	23,788 per 20,000 units	2014/01/29	150,000,000	2013/09/11
62237	HK Bank	ABC R Bull CBBC Jul 2014 A	0.250	2.98	3.23	2014/07/28	80,000,000	2013/09/11
62242	HK Bank	AIA R Bull CBBC Apr 2014 A	0.320	31.88	32.88	2014/04/07	60,000,000	2013/09/11
62220	HK Bank	HSI R Bull CBBC Feb 2014 K	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/02/27	100,000,000	2013/09/11
62219	HK Bank	HSI R Bull CBBC Mar 2014 D	0.250	21,788 per 15,000 units	21,988 per 15,000 units	2014/03/28	100,000,000	2013/09/11
62236	HK Bank	HSI R Bull CBBC Mar 2014 E	0.250	21,328 per 20,000 units	21,628 per 20,000 units	2014/03/28	400,000,000	2013/09/11
62238	HK Bank	ICBC R Bull CBBC Jul 2014 A	0.250	4.73	4.98	2014/07/28	80,000,000	2013/09/11
62241	HK Bank	SHK Ppt R Bull CBBC Apr 2014 A	0.250	84.28 per 100 units	88.28 per 100 units	2014/04/15	60,000,000	2013/09/11
62249	HK Bank	TCH R Bull CBBC Apr 2014 B	0.250	358.88 per 100 units	368.88 per 100 units	2014/04/14	50,000,000	2013/09/11
62250	J P Morgan SP BV	HSI R Bull CBBC May 2014 S	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/05/29	300,000,000	2013/09/11
62322	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 Y	0.250	21,600 per 10,000 units	21,800 per 10,000 units	2014/06/27	300,000,000	2013/09/11
62252	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 P	0.250	22,194 per 12,000 units	22,394 per 12,000 units	2014/02/27	100,000,000	2013/09/11
62253	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 Q	0.250	22,080 per 15,000 units	22,280 per 15,000 units	2014/02/27	100,000,000	2013/09/11
62251	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 N	0.250	22,188 per 10,000 units	22,288 per 10,000 units	2014/05/29	200,000,000	2013/09/11
62300	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 P	0.250	22,488 per 10,000 units	22,388 per 10,000 units	2013/12/30	200,000,000	2013/09/11
62321	UBS AG	Galaxy Ent R Bear CBBC Mar 2014 A	0.250	55 per 100 units	54 per 100 units	2014/03/10	100,000,000	2013/09/11
62283	UBS AG	HSI R Bull CBBC Feb 2014 D	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/02/27	300,000,000	2013/09/11
62293	UBS AG	HSI R Bull CBBC May 2014 V	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/05/29	300,000,000	2013/09/11
62285	UBS AG	HSI R Bull CBBC Jul 2014 K	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/07/30	300,000,000	2013/09/11
62295	UBS AG	HSI R Bull CBBC Jul 2014 L	0.250	22,194 per 10,000 units	22,394 per 10,000 units	2014/07/30	300,000,000	2013/09/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62312	UBS AG	HSI R Bear CBBC Mar 2014 G	0.250	22,509 per 10,000 units	22,309 per 10,000 units	2014/03/28	300,000,000	2013/09/11
62314	UBS AG	Sands China R Bear CBBC Mar 2014 A	0.250	53 per 100 units	50 per 100 units	2014/03/17	100,000,000	2013/09/11
62315	UBS AG	TCH R Bull CBBC Jun 2014 I	0.335	350 per 100 units	360 per 100 units	2014/06/16	50,000,000	2013/09/11
62361	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 A	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62359	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 U	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62360	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 V	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62362	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 W	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62392	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 E	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62412	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 R	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62330	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62331	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62413	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 U	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62381	Credit Suisse AG	HSI R Bull CBBC Feb 2014 A	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62384	Credit Suisse AG	HSI R Bull CBBC Feb 2014 B	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62380	Credit Suisse AG	HSI R Bull CBBC Feb 2014 T	0.250	22,000 per 10,000 units	22,200 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62347	Credit Suisse AG	HSI R Bear CBBC Feb 2014 U	0.250	22,527 per 12,000 units	22,327 per 12,000 units	2014/02/27	300,000,000	2013/09/12
62348	Credit Suisse AG	HSI R Bear CBBC Feb 2014 V	0.250	22,628 per 10,000 units	22,428 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62349	Credit Suisse AG	HSI R Bear CBBC Feb 2014 W	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62364	Credit Suisse AG	HSI R Bear CBBC Feb 2014 X	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62449	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 F	0.250	22,200 per 10,000 units	22,388 per 10,000 units	2013/12/30	120,000,000	2013/09/12
62446	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 T	0.250	21,900 per 10,000 units	22,088 per 10,000 units	2013/12/30	120,000,000	2013/09/12
62462	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 O	0.255	22,388 per 7,000 units	22,588 per 7,000 units	2014/01/29	150,000,000	2013/09/12
62489	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 P	0.250	22,188 per 20,000 units	22,488 per 20,000 units	2014/01/29	150,000,000	2013/09/12
62490	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 S	0.262	23,888 per 7,000 units	23,688 per 7,000 units	2014/01/29	150,000,000	2013/09/12
62503	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 T	0.254	23,688 per 20,000 units	23,388 per 20,000 units	2014/01/29	150,000,000	2013/09/12
62451	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 F	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/04/29	300,000,000	2013/09/12
62459	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 G	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/04/29	300,000,000	2013/09/12
62329	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 C	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62390	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 C	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/06/27	100,000,000	2013/09/12
62342	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 H	0.250	22,700 per 20,000 units	22,500 per 20,000 units	2013/12/30	200,000,000	2013/09/12
62343	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 O	0.250	22,530 per 12,000 units	22,330 per 12,000 units	2014/02/27	100,000,000	2013/09/12
62386	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 P	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2014/02/27	100,000,000	2013/09/12
62387	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 Q	0.250	23,500 per 12,000 units	23,300 per 12,000 units	2014/02/27	100,000,000	2013/09/12
62438	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 O	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/05/29	200,000,000	2013/09/12
62353	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 Q	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2013/12/30	200,000,000	2013/09/12
62431	UBS AG	HSI R Bull CBBC Feb 2014 J	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62428	UBS AG	HSI R Bull CBBC Mar 2014 Q	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/03/28	300,000,000	2013/09/12
62414	UBS AG	HSI R Bull CBBC Jun 2014 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62430	UBS AG	HSI R Bull CBBC Jun 2014 U	0.250	22,100 per 10,000 units	22,300 per 10,000 units	2014/06/27	300,000,000	2013/09/12
62344	UBS AG	HSI R Bear CBBC Dec 2013 Y	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2013/12/30	300,000,000	2013/09/12
62346	UBS AG	HSI R Bear CBBC Jan 2014 D	0.250	22,527 per 12,000 units	22,327 per 12,000 units	2014/01/29	350,000,000	2013/09/12
62415	UBS AG	HSI R Bear CBBC Jan 2014 E	0.255	25,100 per 10,000 units	24,900 per 10,000 units	2014/01/29	300,000,000	2013/09/12
62423	UBS AG	HSI R Bear CBBC Jan 2014 F	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/01/29	300,000,000	2013/09/12

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62345	UBS AG	HSI R Bear CBBC Feb 2014 W	0.250	22,650 per 10,000 units	22,450 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62416	UBS AG	HSI R Bear CBBC Feb 2014 X	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/02/27	300,000,000	2013/09/12
62417	UBS AG	HSI R Bear CBBC Mar 2014 H	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/03/28	300,000,000	2013/09/12
62424	UBS AG	HSI R Bear CBBC Mar 2014 I	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/03/28	300,000,000	2013/09/12
62437	UBS AG	ICBC R Bull CBBC Jul 2014 A	0.250	4.75	5	2014/07/21	100,000,000	2013/09/12
62576	Credit Suisse AG	HSI R Bull CBBC Aug 2014 E	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2013/09/13
62644	Credit Suisse AG	HSI R Bull CBBC Aug 2014 F	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2013/09/13
62645	Credit Suisse AG	HSI R Bull CBBC Aug 2014 G	0.250	22,454 per 10,000 units	22,654 per 10,000 units	2014/08/28	300,000,000	2013/09/13
62577	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Q	0.250	22,898 per 10,000 units	22,698 per 10,000 units	2013/12/30	300,000,000	2013/09/13
62578	Credit Suisse AG	HSI R Bear CBBC Dec 2013 W	0.250	22,798 per 10,000 units	22,598 per 10,000 units	2013/12/30	300,000,000	2013/09/13
62646	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 K	0.250	21,700 per 10,000 units	21,888 per 10,000 units	2013/12/30	120,000,000	2013/09/13
62654	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 U	0.252	22,888 per 7,000 units	22,688 per 7,000 units	2014/01/29	150,000,000	2013/09/13
62663	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 V	0.258	25,588 per 20,000 units	25,288 per 20,000 units	2014/01/29	150,000,000	2013/09/13
62512	HK Bank	Anhui Conch R Bull CBBC Sep 2014 D	0.250	23.18 per 100 units	25.18 per 100 units	2014/09/29	60,000,000	2013/09/13
62511	HK Bank	China Shenhua R Bull CBBC Jul 2014 B	0.350	22.88	24.88	2014/07/28	60,000,000	2013/09/13
62526	HK Bank	HSCEI R Bull CBBC Mar 2014 B	0.250	9,788 per 10,000 units	9,988 per 10,000 units	2014/03/28	100,000,000	2013/09/13
62508	HK Bank	HSI R Bull CBBC Feb 2014 L	0.250	21,618 per 15,000 units	21,818 per 15,000 units	2014/02/27	100,000,000	2013/09/13
62507	HK Bank	HSI R Bull CBBC Mar 2014 F	0.250	22,188 per 15,000 units	22,388 per 15,000 units	2014/03/28	100,000,000	2013/09/13
62510	HK Bank	SHK Ppt R Bear CBBC Feb 2014 B	0.250	126.88 per 100 units	122.88 per 100 units	2014/02/24	50,000,000	2013/09/13
62676	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 H	0.250	21,800 per 10,000 units	22,000 per 10,000 units	2014/04/29	300,000,000	2013/09/13
62564	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 D	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/01/29	300,000,000	2013/09/13
62535	Standard Chartered Bank	HSI R Bull CBBC Dec 2013 H	0.250	22,300 per 20,000 units	22,500 per 20,000 units	2013/12/30	200,000,000	2013/09/13
62536	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 M	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2014/01/29	100,000,000	2013/09/13
62537	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 N	0.250	24,000 per 12,000 units	23,800 per 12,000 units	2014/01/29	100,000,000	2013/09/13
62551	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb14 B	0.250	21,400 per 15,000 units	21,600 per 15,000 units	2014/02/27	200,000,000	2013/09/13
62552	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb14 C	0.250	21,700 per 15,000 units	21,900 per 15,000 units	2014/02/27	200,000,000	2013/09/13
62546	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 P	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/05/29	200,000,000	2013/09/13
62550	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 Q	0.250	21,688 per 10,000 units	21,788 per 10,000 units	2014/05/29	200,000,000	2013/09/13
62554	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 R	0.250	24,488 per 10,000 units	24,388 per 10,000 units	2013/12/30	200,000,000	2013/09/13
62556	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 S	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2013/12/30	200,000,000	2013/09/13
62557	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 T	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2013/12/30	200,000,000	2013/09/13
62642	UBS AG	AIA R Bull CBBC Aug 2014 A	0.250	32.88 per 100 units	33.88 per 100 units	2014/08/25	100,000,000	2013/09/13
62615	UBS AG	China Mobile R Bull CBBC Jun 2014 C	0.250	80 per 100 units	82.50 per 100 units	2014/06/23	100,000,000	2013/09/13
62639	UBS AG	China Life R Bull CBBC Jul 2014 D	0.250	18.88	19.88	2014/07/07	100,000,000	2013/09/13
62635	UBS AG	HSI R Bull CBBC Apr 2014 P	0.250	22,454 per 10,000 units	22,654 per 10,000 units	2014/04/29	300,000,000	2013/09/13
62664	UBS AG	HSI R Bull CBBC Apr 2014 R	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/04/29	300,000,000	2013/09/13
62674	UBS AG	HSI R Bull CBBC Jun 2014 V	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/06/27	300,000,000	2013/09/13
62632	UBS AG	HSI R Bear CBBC Jan 2014 G	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/01/29	300,000,000	2013/09/13
62561	UBS AG	HSI R Bear CBBC Feb 2014 Y	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/02/27	300,000,000	2013/09/13
62562	UBS AG	HSI R Bear CBBC Apr 2014 F	0.250	22,798 per 12,000 units	22,598 per 12,000 units	2014/04/29	350,000,000	2013/09/13
62633	UBS AG	HSI R Bear CBBC Apr 2014 G	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/04/29	300,000,000	2013/09/13

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62634	UBS AG	HSI R Bear CBBC Apr 2014 H	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/04/29	300,000,000	2013/09/13
62605	UBS AG	Ping An R Bull CBBC Jul 2014 B	0.250	48.50 per 100 units	52.50 per 100 units	2014/07/28	100,000,000	2013/09/13
62643	UBS AG	TCH R Bear CBBC Feb 2014 C	0.540	440 per 100 units	430 per 100 units	2014/02/10	50,000,000	2013/09/13
62688	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2014 B	0.250	9	9.3	2014/06/27	200,000,000	2013/09/16
62689	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2014 C	0.250	9.3	9.6	2014/06/27	200,000,000	2013/09/16
62727	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Jun 2014 A	0.250	9,800 per 5,000 units	10,000 per 5,000 units	2014/06/27	200,000,000	2013/09/16
62723	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 X	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/06/27	300,000,000	2013/09/16
62724	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Y	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2013/09/16
62726	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 A	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/01/29	300,000,000	2013/09/16
62725	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 J	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/01/29	300,000,000	2013/09/16
62682	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 V	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/01/29	300,000,000	2013/09/16
62683	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/01/29	300,000,000	2013/09/16
62730	Credit Suisse AG	HSI R Bull CBBC Jul 2014 G	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/07/30	300,000,000	2013/09/16
62731	Credit Suisse AG	HSI R Bull CBBC Jul 2014 H	0.250	22,438 per 10,000 units	22,638 per 10,000 units	2014/07/30	300,000,000	2013/09/16
62732	Credit Suisse AG	HSI R Bull CBBC Jul 2014 I	0.250	22,587 per 10,000 units	22,787 per 10,000 units	2014/07/30	300,000,000	2013/09/16
62690	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2013/12/30	300,000,000	2013/09/16
62691	Credit Suisse AG	HSI R Bear CBBC Dec 2013 X	0.250	22,822 per 10,000 units	22,622 per 10,000 units	2013/12/30	300,000,000	2013/09/16
62778	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 R	0.250	22,100 per 10,000 units	22,288 per 10,000 units	2013/12/30	120,000,000	2013/09/16
62779	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 S	0.250	22,950 per 10,000 units	22,766 per 10,000 units	2013/12/30	120,000,000	2013/09/16
62709	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 Q	0.255	22,538 per 7,000 units	22,738 per 7,000 units	2014/01/29	150,000,000	2013/09/16
62717	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 R	0.258	22,388 per 20,000 units	22,688 per 20,000 units	2014/01/29	150,000,000	2013/09/16
62737	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 Z	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/06/27	300,000,000	2013/09/16
62722	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 E	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/01/29	300,000,000	2013/09/16
62729	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 R	0.250	22,587 per 12,000 units	22,787 per 12,000 units	2014/02/27	100,000,000	2013/09/16
62679	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 I	0.250	23,700 per 20,000 units	23,500 per 20,000 units	2013/12/30	200,000,000	2013/09/16
62728	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 O	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/01/29	100,000,000	2013/09/16
62680	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 R	0.250	23,900 per 12,000 units	23,700 per 12,000 units	2014/02/27	100,000,000	2013/09/16
62681	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 S	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2014/02/27	100,000,000	2013/09/16
62705	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC May14 R	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/05/29	200,000,000	2013/09/16
62677	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 U	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2013/12/30	200,000,000	2013/09/16
62678	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 V	0.250	24,600 per 15,000 units	24,400 per 15,000 units	2013/12/30	200,000,000	2013/09/16
62707	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 W	0.250	23,400 per 15,000 units	23,200 per 15,000 units	2013/12/30	200,000,000	2013/09/16
62748	UBS AG	CSOP A50 ETF R Bull CBBC Apr 2014 C	0.250	8.95	9.25	2014/04/28	100,000,000	2013/09/16
62738	UBS AG	A50 China R Bull CBBC Mar 2014 D	0.250	9.2	9.5	2014/03/24	100,000,000	2013/09/16
62777	UBS AG	HSCEI R Bear CBBC Feb 2014 A	0.250	12,200 per 10,000 units	12,000 per 10,000 units	2014/02/27	100,000,000	2013/09/16
62734	UBS AG	HSI R Bull CBBC Mar 2014 F	0.250	22,475 per 12,000 units	22,675 per 12,000 units	2014/03/28	350,000,000	2013/09/16
62733	UBS AG	HSI R Bull CBBC Mar 2014 T	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/03/28	300,000,000	2013/09/16
62735	UBS AG	HSI R Bull CBBC May 2014 W	0.250	22,587 per 10,000 units	22,787 per 10,000 units	2014/05/29	300,000,000	2013/09/16
62694	UBS AG	HSI R Bear CBBC Mar 2014 J	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/09/16
62695	UBS AG	HSI R Bear CBBC Apr 2014 I	0.250	22,822 per 12,000 units	22,622 per 12,000 units	2014/04/29	350,000,000	2013/09/16
68561 #	HK Bank	HSI R Bear CBBC Feb 2014 D	0.082	24,088 per 15,000 units	23,888 per 15,000 units	2014/02/27	180,000,000	2013/09/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62981	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 B	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2013/09/17
62955	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Jun 2014 Z	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2013/09/17
62952	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 A	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2013/09/17
62953	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 B	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/08/28	300,000,000	2013/09/17
62822	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 H	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/01/29	300,000,000	2013/09/17
62823	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 I	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/01/29	300,000,000	2013/09/17
62825	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 L	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/01/29	300,000,000	2013/09/17
62958	Credit Suisse AG	HSI R Bull CBBC May 2014 X	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62959	Credit Suisse AG	HSI R Bull CBBC May 2014 Y	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62962	Credit Suisse AG	HSI R Bull CBBC May 2014 Z	0.250	22,776 per 10,000 units	22,976 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62834	Credit Suisse AG	HSI R Bear CBBC Jan 2014 U	0.250	22,951 per 12,000 units	22,751 per 12,000 units	2014/01/29	300,000,000	2013/09/17
62845	Credit Suisse AG	HSI R Bear CBBC Jan 2014 V	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/01/29	300,000,000	2013/09/17
62849	Credit Suisse AG	HSI R Bear CBBC Jan 2014 W	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/01/29	300,000,000	2013/09/17
62864	Credit Suisse AG	HSI R Bear CBBC Mar 2014 A	0.260	25,300 per 10,000 units	25,100 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62865	Credit Suisse AG	HSI R Bear CBBC Mar 2014 B	0.290	25,600 per 10,000 units	25,400 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62868	Credit Suisse AG	HSI R Bear CBBC Mar 2014 C	0.310	25,800 per 10,000 units	25,600 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62871	Credit Suisse AG	HSI R Bear CBBC Mar 2014 D	0.340	26,100 per 10,000 units	25,900 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62870	Credit Suisse AG	HSI R Bear CBBC May 2014 A	0.320	25,900 per 10,000 units	25,700 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62879	Credit Suisse AG	HSI R Bear CBBC May 2014 B	0.430	27,000 per 10,000 units	26,800 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62993	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Dec 2013 A	0.250	22,400 per 10,000 units	22,588 per 10,000 units	2013/12/30	120,000,000	2013/09/17
63009	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 T	0.250	23,850 per 10,000 units	23,666 per 10,000 units	2013/12/30	120,000,000	2013/09/17
63020	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Dec 2013 U	0.250	24,150 per 10,000 units	23,966 per 10,000 units	2013/12/30	120,000,000	2013/09/17
62937	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 W	0.260	23,088 per 7,000 units	22,888 per 7,000 units	2014/01/29	150,000,000	2013/09/17
62944	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 X	0.302	24,388 per 7,000 units	24,188 per 7,000 units	2014/01/29	150,000,000	2013/09/17
62945	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 Y	0.286	25,788 per 20,000 units	25,488 per 20,000 units	2014/01/29	150,000,000	2013/09/17
62821	HK Bank	China Mobile R Bull CBBC Apr 2014 A	0.250	81.28 per 100 units	83.78 per 100 units	2014/04/28	120,000,000	2013/09/17
62801	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2014 A	0.250	9.08	9.38	2014/04/14	80,000,000	2013/09/17
62802	HK Bank	CSOP A50 ETF R Bear CBBC Apr 2014 A	0.250	10.98	10.68	2014/04/14	40,000,000	2013/09/17
62790	HK Bank	A50 R Bull CBBC Apr 2014 A	0.250	9.18	9.48	2014/04/28	100,000,000	2013/09/17
62793	HK Bank	A50 R Bull CBBC Apr 2014 B	0.250	9.38	9.68	2014/04/07	100,000,000	2013/09/17
62796	HK Bank	A50 R Bear CBBC Apr 2014 A	0.250	11.18	10.88	2014/04/07	50,000,000	2013/09/17
62799	HK Bank	A50 R Bear CBBC Apr 2014 B	0.250	11.68	11.38	2014/04/28	50,000,000	2013/09/17
62800	HK Bank	A50 R Bear CBBC May 2014 A	0.250	12.18	11.88	2014/05/26	50,000,000	2013/09/17
62813	HK Bank	Galaxy Ent R Bull CBBC Aug 2014 A	0.250	47.27 per 100 units	48.27 per 100 units	2014/08/25	50,000,000	2013/09/17
62804	HK Bank	Galaxy Ent R Bear CBBC Aug 2014 A	0.250	58.27 per 100 units	57.27 per 100 units	2014/08/25	40,000,000	2013/09/17
62789	HK Bank	HSCEI R Bull CBBC Feb 2014 C	0.250	10,088 per 10,000 units	10,288 per 10,000 units	2014/02/27	100,000,000	2013/09/17
62780	HK Bank	HSI R Bull CBBC Feb 2014 M	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/02/27	100,000,000	2013/09/17
62783	HK Bank	HSI R Bull CBBC May 2014 A	0.250	21,728 per 20,000 units	22,028 per 20,000 units	2014/05/29	400,000,000	2013/09/17
62815	HK Bank	Ping An R Bull CBBC Apr 2014 C	0.250	50.88 per 100 units	54.88 per 100 units	2014/04/07	60,000,000	2013/09/17
62820	HK Bank	Ping An R Bear CBBC Apr 2014 A	0.250	68.88 per 100 units	64.88 per 100 units	2014/04/07	40,000,000	2013/09/17

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62982	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 I	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/04/29	300,000,000	2013/09/17
62985	J P Morgan SP BV	HSI R Bull CBBC May 2014 T	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2013/09/17
62992	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 B	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2013/09/17
62915	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 S	0.250	22,680 per 12,000 units	22,880 per 12,000 units	2014/02/27	100,000,000	2013/09/17
62918	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 T	0.250	23,100 per 12,000 units	22,900 per 12,000 units	2014/02/27	100,000,000	2013/09/17
62914	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 2014 A	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2013/09/17
62880	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 2013 X	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2013/12/30	200,000,000	2013/09/17
62887	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec 2013 Y	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2013/12/30	200,000,000	2013/09/17
62888	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 2014 A	0.250	24,588 per 10,000 units	24,488 per 10,000 units	2014/01/29	200,000,000	2013/09/17
62907	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 2014 B	0.250	24,788 per 10,000 units	24,688 per 10,000 units	2014/01/29	200,000,000	2013/09/17
62934	UBS AG	HSCEI R Bull CBBC Jun 2014 G	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2014/06/27	100,000,000	2013/09/17
62978	UBS AG	HSI R Bull CBBC Feb 2014 O	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/02/27	300,000,000	2013/09/17
62970	UBS AG	HSI R Bull CBBC Jun 2014 W	0.250	22,776 per 10,000 units	22,976 per 10,000 units	2014/06/27	300,000,000	2013/09/17
62979	UBS AG	HSI R Bull CBBC Jul 2014 M	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/07/30	300,000,000	2013/09/17
62980	UBS AG	HSI R Bull CBBC Sep 2014 H	0.250	21,400 per 38,000 units	21,800 per 38,000 units	2014/09/29	300,000,000	2013/09/17
62852	UBS AG	HSI R Bear CBBC Dec 2013 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2013/12/30	300,000,000	2013/09/17
62855	UBS AG	HSI R Bear CBBC Jan 2014 P	0.250	22,975 per 12,000 units	22,775 per 12,000 units	2014/01/29	350,000,000	2013/09/17
62925	UBS AG	HSI R Bear CBBC Feb 2014 C	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/02/27	300,000,000	2013/09/17
62923	UBS AG	HSI R Bear CBBC Feb 2014 Z	0.255	25,400 per 10,000 units	25,200 per 10,000 units	2014/02/27	300,000,000	2013/09/17
62922	UBS AG	HSI R Bear CBBC Mar 2014 K	0.275	25,600 per 10,000 units	25,400 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62924	UBS AG	HSI R Bear CBBC Mar 2014 L	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62929	UBS AG	HSI R Bear CBBC Mar 2014 M	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/03/28	300,000,000	2013/09/17
62921	UBS AG	TCH R Bear CBBC Mar 2014 B	0.630	460 per 100 units	450 per 100 units	2014/03/31	50,000,000	2013/09/17
63104	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/09/18
63105	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 K	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/09/18
63106	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 B	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/02/27	300,000,000	2013/09/18
63107	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 C	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/02/27	300,000,000	2013/09/18
63109	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 D	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/02/27	300,000,000	2013/09/18
63110	Credit Suisse AG	HSI R Bull CBBC Aug 2014 H	0.250	22,776 per 12,000 units	22,976 per 12,000 units	2014/08/28	300,000,000	2013/09/18
63112	Credit Suisse AG	HSI R Bull CBBC Aug 2014 I	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/09/18
63116	Credit Suisse AG	HSI R Bull CBBC Aug 2014 J	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/09/18
63138	Credit Suisse AG	HSI R Bull CBBC Aug 2014 K	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2013/09/18
63133	Credit Suisse AG	HSI R Bear CBBC Dec 2013 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/09/18
63145	Credit Suisse AG	HSI R Bear CBBC Dec 2013 F	0.250	23,138 per 12,000 units	22,938 per 12,000 units	2013/12/30	300,000,000	2013/09/18
63131	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Y	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/12/30	300,000,000	2013/09/18
63147	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 S	0.258	22,688 per 7,000 units	22,888 per 7,000 units	2014/01/29	150,000,000	2013/09/18
63149	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 G	0.250	23,288 per 20,000 units	22,988 per 20,000 units	2014/01/29	150,000,000	2013/09/18
63148	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 Z	0.260	23,288 per 7,000 units	23,088 per 7,000 units	2014/01/29	150,000,000	2013/09/18
63071	HK Bank	ABC R Bear CBBC May 2014 A	0.250	4.73	4.48	2014/05/26	40,000,000	2013/09/18
63072	HK Bank	ABC R Bear CBBC May 2014 B	0.250	4.33	4.08	2014/05/12	40,000,000	2013/09/18
63067	HK Bank	BOCL R Bull CBBC Jul 2014 A	0.250	3.1	3.28	2014/07/28	80,000,000	2013/09/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63059	HK Bank	China Life R Bull CBBC Apr 2014 A	0.250	18.68	19.68	2014/04/14	60,000,000	2013/09/18
63060	HK Bank	China Life R Bear CBBC Mar 2014 A	0.290	23.88	22.88	2014/03/17	50,000,000	2013/09/18
63061	HK Bank	China Life R Bear CBBC Apr 2014 A	0.540	26.18	25.18	2014/04/14	50,000,000	2013/09/18
63068	HK Bank	Minsheng Bank R Bull CBBC Jul 2014 A	0.250	7.98	8.48	2014/07/07	40,000,000	2013/09/18
63073	HK Bank	CNOOC R Bear CBBC Aug 2014 A	0.310	19.18	18.38	2014/08/11	40,000,000	2013/09/18
63074	HK Bank	HKEx R Bear CBBC Mar 2014 B	0.250	147.88 per 100 units	141.88 per 100 units	2014/03/24	50,000,000	2013/09/18
63076	HK Bank	HKEx R Bear CBBC Apr 2014 A	0.350	159.88 per 100 units	153.88 per 100 units	2014/04/07	50,000,000	2013/09/18
63055	HK Bank	HSI R Bull CBBC Mar 2014 G	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/03/28	100,000,000	2013/09/18
63062	HK Bank	Lenovo R Bull CBBC Aug 2014 A	0.250	6.63	7.13	2014/08/25	60,000,000	2013/09/18
63064	HK Bank	Lenovo R Bear CBBC Jun 2014 A	0.270	10.58	10.08	2014/06/23	40,000,000	2013/09/18
63070	HK Bank	Sands China R Bull CBBC Jul 2014 A	0.250	42.28 per 100 units	45.28 per 100 units	2014/07/07	60,000,000	2013/09/18
63057	HK Bank	TCH R Bull CBBC Jun 2014 A	0.330	368.88 per 100 units	378.88 per 100 units	2014/06/16	50,000,000	2013/09/18
63155	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 D	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2013/09/18
63154	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/09/18
63153	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 G	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/02/27	300,000,000	2013/09/18
63152	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 F	0.250	25,000 per 10,000 units	24,800 per 10,000 units	2014/03/28	300,000,000	2013/09/18
63092	Standard Chartered Bank	HSI R Bull CBBC Feb 2014 T	0.250	22,775 per 12,000 units	22,975 per 12,000 units	2014/02/27	100,000,000	2013/09/18
63151	Standard Chartered Bank	HSI R Bear CBBC Dec 2013 J	0.250	23,200 per 20,000 units	23,000 per 20,000 units	2013/12/30	200,000,000	2013/09/18
63118	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb14 D	0.250	22,300 per 15,000 units	22,500 per 15,000 units	2014/02/27	200,000,000	2013/09/18
63078	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 B	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/09/18
63080	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 Z	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2013/12/30	200,000,000	2013/09/18
63084	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 C	0.250	24,888 per 10,000 units	24,788 per 10,000 units	2014/01/29	200,000,000	2013/09/18
63085	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 D	0.250	24,900 per 15,000 units	24,700 per 15,000 units	2014/01/29	200,000,000	2013/09/18
63086	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 E	0.250	24,988 per 10,000 units	24,888 per 10,000 units	2014/01/29	200,000,000	2013/09/18
63087	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 F	0.250	25,088 per 10,000 units	24,988 per 10,000 units	2014/01/29	200,000,000	2013/09/18
63119	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 G	0.250	25,188 per 10,000 units	25,088 per 10,000 units	2014/01/29	200,000,000	2013/09/18
63120	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 H	0.250	25,288 per 10,000 units	25,188 per 10,000 units	2014/01/29	200,000,000	2013/09/18
63089	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 A	0.250	25,500 per 15,000 units	25,300 per 15,000 units	2014/02/27	200,000,000	2013/09/18
63124	UBS AG	ABC R Bear CBBC May 2014 A	0.250	4.25	4	2014/05/05	100,000,000	2013/09/18
63123	UBS AG	China Life R Bear CBBC Feb 2014 A	0.380	24.88	23.88	2014/02/10	100,000,000	2013/09/18
63122	UBS AG	CSOP A50 ETF R Bull CBBC Mar 2014 C	0.250	9.2	9.5	2014/03/17	100,000,000	2013/09/18
63121	UBS AG	A50 China R Bull CBBC Mar 2014 E	0.250	9.45	9.75	2014/03/03	100,000,000	2013/09/18
63130	UBS AG	Galaxy Ent R Bull CBBC Mar 2014 C	0.250	47 per 100 units	48 per 100 units	2014/03/24	100,000,000	2013/09/18
63128	UBS AG	HKEx R Bull CBBC Jun 2014 D	0.250	115 per 100 units	120 per 100 units	2014/06/09	100,000,000	2013/09/18
63101	UBS AG	HSI R Bull CBBC Feb 2014 K	0.250	22,775 per 12,000 units	22,975 per 12,000 units	2014/02/27	350,000,000	2013/09/18
63098	UBS AG	HSI R Bull CBBC Mar 2014 U	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/09/18
63099	UBS AG	HSI R Bull CBBC Jul 2014 N	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/07/30	300,000,000	2013/09/18
63093	UBS AG	HSI R Bear CBBC Jan 2014 Q	0.255	25,500 per 10,000 units	25,300 per 10,000 units	2014/01/29	300,000,000	2013/09/18
63144	UBS AG	HSI R Bear CBBC Jan 2014 R	0.250	23,138 per 10,000 units	22,938 per 10,000 units	2014/01/29	300,000,000	2013/09/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63140	UBS AG	HSI R Bear CBBC Feb 2014 E	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/09/18
63097	UBS AG	HSI R Bear CBBC Mar 2014 N	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/03/28	300,000,000	2013/09/18
63094	UBS AG	HSI R Bear CBBC Apr 2014 J	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/04/29	300,000,000	2013/09/18
63127	UBS AG	TCH R Bull CBBC Jun 2014 J	0.320	370 per 100 units	380 per 100 units	2014/06/23	50,000,000	2013/09/18
63126	UBS AG	TCH R Bear CBBC Apr 2014 B	0.690	470 per 100 units	460 per 100 units	2014/04/14	50,000,000	2013/09/18
63125	UBS AG	TCH R Bear CBBC Jun 2014 B	0.890	490 per 100 units	480 per 100 units	2014/06/23	50,000,000	2013/09/18
63170	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 E	0.250	9.48	9.78	2014/01/24	60,000,000	2013/09/19
63171	Credit Suisse AG	A50 China R Bull CBBC Jan 2014 F	0.250	9.08	9.38	2014/01/24	60,000,000	2013/09/19
63172	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 E	0.250	11.1	10.8	2014/01/24	60,000,000	2013/09/19
63174	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 F	0.250	11.78	11.48	2014/01/24	60,000,000	2013/09/19
63175	Credit Suisse AG	A50 China R Bear CBBC Jan 2014 G	0.250	12.28	11.98	2014/01/24	60,000,000	2013/09/19
63176	Credit Suisse AG	HSI R Bull CBBC Jul 2014 J	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2013/09/19
63177	Credit Suisse AG	HSI R Bull CBBC Jul 2014 K	0.250	22,793 per 10,000 units	22,993 per 10,000 units	2014/07/30	300,000,000	2013/09/19
63166	Credit Suisse AG	HSI R Bear CBBC Jan 2014 X	0.250	23,138 per 10,000 units	22,938 per 10,000 units	2014/01/29	300,000,000	2013/09/19
63167	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Y	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/01/29	300,000,000	2013/09/19
63188	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 D	0.250	22,300 per 10,000 units	22,488 per 10,000 units	2014/01/29	120,000,000	2013/09/19
63187	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 K	0.250	22,500 per 10,000 units	22,688 per 10,000 units	2014/01/29	120,000,000	2013/09/19
63185	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 F	0.250	24,350 per 10,000 units	24,166 per 10,000 units	2014/01/29	120,000,000	2013/09/19
63186	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 G	0.250	24,250 per 10,000 units	24,066 per 10,000 units	2014/01/29	120,000,000	2013/09/19
63165	HK Bank	Cheung Kong R Bear CBBC Apr 2014 A	0.320	144.88 per 100 units	138.88 per 100 units	2014/04/28	50,000,000	2013/09/19
63159	HK Bank	Minsheng Bank R Bear CBBC May 2014 A	0.270	12.18	11.68	2014/05/26	40,000,000	2013/09/19
63162	HK Bank	Sinopec Corp R Bear CBBC May 2014 A	0.250	7.78	7.48	2014/05/19	40,000,000	2013/09/19
63158	HK Bank	CSOP A50 ETF R Bull CBBC Apr 2014 B	0.250	9.38	9.68	2014/04/14	80,000,000	2013/09/19
63157	HK Bank	A50 R Bull CBBC Mar 2014 F	0.250	9.58	9.88	2014/03/31	100,000,000	2013/09/19
63156	HK Bank	HSI R Bear CBBC Mar 2014 E	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2014/03/28	100,000,000	2013/09/19
63160	HK Bank	ICBC R Bear CBBC May 2014 A	0.250	6.63	6.38	2014/05/12	40,000,000	2013/09/19
63164	HK Bank	TCH R Bull CBBC Jun 2014 B	0.260	378.88 per 100 units	388.88 per 100 units	2014/06/09	50,000,000	2013/09/19
63189	J P Morgan SP BV	HSI R Bull CBBC Apr 2014 J	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/04/29	300,000,000	2013/09/19
63191	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 G	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/09/19
63178	UBS AG	A50 China R Bull CBBC Apr 2014 D	0.250	9.7	10	2014/04/07	100,000,000	2013/09/19
63184	UBS AG	HSI R Bull CBBC May 2014 X	0.250	22,793 per 10,000 units	22,993 per 10,000 units	2014/05/29	300,000,000	2013/09/19
63182	UBS AG	HSI R Bull CBBC Jun 2014 X	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2013/09/19
63169	UBS AG	HSI R Bear CBBC Dec 2013 M	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2013/12/30	300,000,000	2013/09/19
63168	UBS AG	HSI R Bear CBBC Apr 2014 K	0.250	23,140 per 12,000 units	22,940 per 12,000 units	2014/04/29	350,000,000	2013/09/19
63181	UBS AG	TCH R Bull CBBC Jul 2014 B	0.305	380 per 100 units	390 per 100 units	2014/07/21	50,000,000	2013/09/19
63211	Credit Suisse AG	HSI R Bull CBBC Jul 2014 L	0.250	22,638 per 10,000 units	22,838 per 10,000 units	2014/07/30	300,000,000	2013/09/23
63212	Credit Suisse AG	HSI R Bull CBBC Jul 2014 M	0.250	22,753 per 10,000 units	22,953 per 10,000 units	2014/07/30	300,000,000	2013/09/23
63234	Credit Suisse AG	HSI R Bear CBBC Dec 2013 H	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2013/12/30	300,000,000	2013/09/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63242	Credit Suisse AG	HSI R Bear CBBC Dec 2013 I	0.250	23,037 per 10,000 units	22,837 per 10,000 units	2013/12/30	300,000,000	2013/09/23
63245	Credit Suisse AG	HSI R Bear CBBC Dec 2013 R	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2013/12/30	300,000,000	2013/09/23
63255	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 H	0.250	23,250 per 10,000 units	23,066 per 10,000 units	2014/01/29	120,000,000	2013/09/23
63210	HK Bank	CC Bank R Bear CBBC May 2014 A	0.250	7.38	7.08	2014/05/26	40,000,000	2013/09/23
63203	HK Bank	A50 R Bull CBBC Jun 2014 A	0.250	9.98	10.28	2014/06/23	50,000,000	2013/09/23
63204	HK Bank	A50 R Bull CBBC Jul 2014 A	0.250	9.78	10.08	2014/07/07	100,000,000	2013/09/23
63199	HK Bank	HSCEI R Bear CBBC Mar 2014 A	0.250	11,488 per 10,000 units	11,288 per 10,000 units	2014/03/28	68,000,000	2013/09/23
63192	HK Bank	HSCEI R Bear CBBC Apr 2014 A	0.250	11,788 per 10,000 units	11,588 per 10,000 units	2014/04/29	68,000,000	2013/09/23
63195	HK Bank	HSCEI R Bear CBBC Apr 2014 B	0.250	12,388 per 10,000 units	12,188 per 10,000 units	2014/04/29	68,000,000	2013/09/23
63193	HK Bank	HSCEI R Bear CBBC May 2014 A	0.250	12,088 per 10,000 units	11,888 per 10,000 units	2014/05/29	68,000,000	2013/09/23
63198	HK Bank	HSCEI R Bear CBBC May 2014 B	0.250	12,688 per 10,000 units	12,488 per 10,000 units	2014/05/29	68,000,000	2013/09/23
63209	HK Bank	TCH R Bear CBBC Mar 2014 D	0.590	469.88 per 100 units	459.88 per 100 units	2014/03/31	50,000,000	2013/09/23
63206	HK Bank	TCH R Bear CBBC Apr 2014 C	0.690	479.88 per 100 units	469.88 per 100 units	2014/04/22	40,000,000	2013/09/23
63207	HK Bank	TCH R Bear CBBC Jun 2014 A	0.900	499.88 per 100 units	489.88 per 100 units	2014/06/16	40,000,000	2013/09/23
63208	HK Bank	TCH R Bear CBBC Jun 2014 B	1.100	519.88 per 100 units	509.88 per 100 units	2014/06/30	40,000,000	2013/09/23
63216	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 B	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/01/29	300,000,000	2013/09/23
63220	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb14 E	0.250	21,800 per 15,000 units	22,000 per 15,000 units	2014/02/27	200,000,000	2013/09/23
63224	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 D	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2013/12/30	200,000,000	2013/09/23
63231	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 B	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2014/02/27	200,000,000	2013/09/23
63213	UBS AG	HSI R Bull CBBC Feb 2014 A	0.250	22,625 per 12,000 units	22,825 per 12,000 units	2014/02/27	350,000,000	2013/09/23
63215	UBS AG	HSI R Bull CBBC Mar 2014 V	0.250	22,753 per 10,000 units	22,953 per 10,000 units	2014/03/28	300,000,000	2013/09/23
63247	UBS AG	HSI R Bear CBBC Dec 2013 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2013/12/30	300,000,000	2013/09/23
63249	UBS AG	HSI R Bear CBBC Jan 2014 S	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/01/29	300,000,000	2013/09/23
63251	UBS AG	HSI R Bear CBBC Jan 2014 T	0.250	23,037 per 10,000 units	22,837 per 10,000 units	2014/01/29	300,000,000	2013/09/23
63309	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 C	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63316	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 D	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63317	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 E	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63318	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 F	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63319	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 G	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63346	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63259	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jan 2014 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63262	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 E	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63265	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 F	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63266	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 G	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63268	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 H	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63269	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 I	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63272	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 J	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63273	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 K	0.300	25,700 per 10,000 units	25,500 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63277	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 L	0.350	26,200 per 10,000 units	26,000 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63349	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 M	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63339	Credit Suisse AG	HSI R Bull CBBC Aug 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/09/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63340	Credit Suisse AG	HSI R Bull CBBC Aug 2014 M	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63343	Credit Suisse AG	HSI R Bull CBBC Aug 2014 N	0.250	23,052 per 10,000 units	23,252 per 10,000 units	2014/08/28	300,000,000	2013/09/24
63280	Credit Suisse AG	HSI R Bear CBBC Jan 2014 A	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63282	Credit Suisse AG	HSI R Bear CBBC Jan 2014 B	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63278	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Z	0.250	23,116 per 10,000 units	22,916 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63364	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 L	0.250	22,700 per 10,000 units	22,888 per 10,000 units	2014/01/29	120,000,000	2013/09/24
63365	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 I	0.250	23,450 per 10,000 units	23,266 per 10,000 units	2014/01/29	120,000,000	2013/09/24
63366	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 A	0.250	24,450 per 10,000 units	24,266 per 10,000 units	2014/02/27	120,000,000	2013/09/24
63368	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 B	0.250	24,550 per 10,000 units	24,366 per 10,000 units	2014/02/27	120,000,000	2013/09/24
63320	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 T	0.260	22,888 per 7,000 units	23,088 per 7,000 units	2014/01/29	150,000,000	2013/09/24
63325	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 U	0.250	22,688 per 20,000 units	22,988 per 20,000 units	2014/01/29	150,000,000	2013/09/24
63298	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 F	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/06/27	300,000,000	2013/09/24
63292	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/07/30	300,000,000	2013/09/24
63355	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/07/30	300,000,000	2013/09/24
63354	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 H	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63353	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 H	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/02/27	300,000,000	2013/09/24
63352	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 G	0.250	24,700 per 10,000 units	24,500 per 10,000 units	2014/03/28	300,000,000	2013/09/24
63350	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 A	0.250	25,200 per 10,000 units	25,000 per 10,000 units	2014/04/29	300,000,000	2013/09/24
63351	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 B	0.250	24,900 per 10,000 units	24,700 per 10,000 units	2014/04/29	300,000,000	2013/09/24
63283	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 A	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/03/28	100,000,000	2013/09/24
63356	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 B	0.250	23,048 per 12,000 units	23,248 per 12,000 units	2014/03/28	100,000,000	2013/09/24
63363	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 C	0.250	22,908 per 10,000 units	23,108 per 10,000 units	2014/03/28	100,000,000	2013/09/24
63285	Standard Chartered Bank	HSI R Bear CBBC Jan 2014 P	0.250	23,160 per 15,000 units	22,960 per 15,000 units	2014/01/29	100,000,000	2013/09/24
63287	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 U	0.250	23,300 per 12,000 units	23,100 per 12,000 units	2014/02/27	100,000,000	2013/09/24
63289	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 V	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2014/02/27	100,000,000	2013/09/24
63299	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 C	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/06/27	200,000,000	2013/09/24
63257	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 F	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2013/12/30	200,000,000	2013/09/24
63302	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 I	0.250	25,388 per 10,000 units	25,288 per 10,000 units	2014/01/29	200,000,000	2013/09/24
63304	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 J	0.250	25,588 per 10,000 units	25,488 per 10,000 units	2014/01/29	200,000,000	2013/09/24
63258	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 C	0.250	25,800 per 15,000 units	25,600 per 15,000 units	2014/02/27	200,000,000	2013/09/24
63327	UBS AG	China Mobile R Bear CBBC Apr 2014 A	0.250	95 per 100 units	92.50 per 100 units	2014/04/07	100,000,000	2013/09/24
63328	UBS AG	China Mobile R Bear CBBC Apr 2014 B	0.250	100 per 100 units	97.50 per 100 units	2014/04/28	100,000,000	2013/09/24
63332	UBS AG	A50 China R Bear CBBC Jan 2014 B	0.250	11.8	11.5	2014/01/06	100,000,000	2013/09/24
63331	UBS AG	Galaxy Ent R Bear CBBC Mar 2014 B	0.250	61 per 100 units	60 per 100 units	2014/03/24	100,000,000	2013/09/24
63326	UBS AG	HSCEI R Bear CBBC Feb 2014 B	0.250	12,700 per 10,000 units	12,500 per 10,000 units	2014/02/27	100,000,000	2013/09/24
63344	UBS AG	HSI R Bull CBBC Mar 2014 W	0.250	23,052 per 10,000 units	23,252 per 10,000 units	2014/03/28	300,000,000	2013/09/24
63345	UBS AG	HSI R Bull CBBC Jul 2014 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2013/09/24
63291	UBS AG	HSI R Bear CBBC Jan 2014 A	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/01/29	300,000,000	2013/09/24
63290	UBS AG	HSI R Bear CBBC Mar 2014 O	0.250	23,116 per 10,000 units	22,916 per 10,000 units	2014/03/28	300,000,000	2013/09/24
63329	UBS AG	ICBC R Bull CBBC Jul 2014 B	0.250	5	5.25	2014/07/07	100,000,000	2013/09/24
63330	UBS AG	Sands China R Bull CBBC Jul 2014 C	0.250	42 per 100 units	45 per 100 units	2014/07/21	100,000,000	2013/09/24
63337	UBS AG	TCH R Bull CBBC Jul 2014 C	0.280	390 per 100 units	400 per 100 units	2014/07/07	50,000,000	2013/09/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63338	UBS AG	TCH R Bear CBBC Jun 2014 C	0.930	510 per 100 units	500 per 100 units	2014/06/16	50,000,000	2013/09/24
63391	Credit Suisse AG	HSI R Bull CBBC Sep 2014 A	0.250	23,050 per 12,000 units	23,250 per 12,000 units	2014/09/29	300,000,000	2013/09/25
63392	Credit Suisse AG	HSI R Bull CBBC Sep 2014 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/09/25
63393	Credit Suisse AG	HSI R Bull CBBC Sep 2014 C	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/09/25
63418	Credit Suisse AG	HSI R Bear CBBC Dec 2013 A	0.250	23,638 per 10,000 units	23,438 per 10,000 units	2013/12/30	300,000,000	2013/09/25
63417	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Z	0.250	23,381 per 10,000 units	23,181 per 10,000 units	2013/12/30	300,000,000	2013/09/25
63424	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 M	0.250	22,600 per 10,000 units	22,788 per 10,000 units	2014/01/29	120,000,000	2013/09/25
63398	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 I	0.281	24,588 per 7,000 units	24,388 per 7,000 units	2014/01/29	150,000,000	2013/09/25
63399	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 J	0.317	24,788 per 7,000 units	24,588 per 7,000 units	2014/01/29	150,000,000	2013/09/25
63401	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 L	0.352	24,988 per 7,000 units	24,788 per 7,000 units	2014/01/29	150,000,000	2013/09/25
63402	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 M	0.385	25,188 per 7,000 units	24,988 per 7,000 units	2014/01/29	150,000,000	2013/09/25
63387	HK Bank	AIA R Bull CBBC Jun 2014 A	0.320	33.08	34.08	2014/06/09	60,000,000	2013/09/25
63380	HK Bank	China Mobile R Bull CBBC Jun 2014 A	0.250	83.18 per 100 units	85.68 per 100 units	2014/06/30	120,000,000	2013/09/25
63378	HK Bank	CC Bank R Bull CBBC Jul 2014 A	0.250	5.58	5.88	2014/07/14	80,000,000	2013/09/25
63375	HK Bank	Galaxy Ent R Bull CBBC Jul 2014 A	0.560	50.27	51.27	2014/07/28	50,000,000	2013/09/25
63376	HK Bank	Galaxy Ent R Bear CBBC Sep 2014 A	0.650	61.27	60.27	2014/09/29	40,000,000	2013/09/25
63369	HK Bank	HSI R Bull CBBC Apr 2014 C	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/04/29	100,000,000	2013/09/25
63370	HK Bank	HSI R Bear CBBC May 2014 B	0.250	25,088 per 15,000 units	24,888 per 15,000 units	2014/05/29	100,000,000	2013/09/25
63372	HK Bank	HSI R Bear CBBC May 2014 C	0.250	25,488 per 15,000 units	25,288 per 15,000 units	2014/05/29	100,000,000	2013/09/25
63374	HK Bank	HSI R Bear CBBC Jun 2014 B	0.250	25,288 per 15,000 units	25,088 per 15,000 units	2014/06/27	100,000,000	2013/09/25
63373	HK Bank	HSI R Bear CBBC Jul 2014 A	0.250	25,688 per 15,000 units	25,488 per 15,000 units	2014/07/30	100,000,000	2013/09/25
63390	HK Bank	Hutchison R Bull CBBC Jun 2014 A	0.250	87.68 per 100 units	90.68 per 100 units	2014/06/23	60,000,000	2013/09/25
63379	HK Bank	ICBC R Bull CBBC Jul 2014 B	0.250	5.03	5.28	2014/07/07	80,000,000	2013/09/25
63377	HK Bank	Sands China R Bear CBBC May 2014 A	0.250	57.28 per 100 units	54.28 per 100 units	2014/05/12	50,000,000	2013/09/25
63381	HK Bank	TCH R Bull CBBC Jun 2014 C	0.370	388.88 per 100 units	398.88 per 100 units	2014/06/03	40,000,000	2013/09/25
63382	HK Bank	TCH R Bear CBBC Jul 2014 A	1.220	539.88 per 100 units	529.88 per 100 units	2014/07/14	40,000,000	2013/09/25
63423	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 D	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2013/09/25
63422	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 A	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/09/25
63421	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 H	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/03/28	300,000,000	2013/09/25
63403	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 D	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/09/25
63407	UBS AG	HKEx R Bear CBBC Mar 2014 A	0.250	145 per 100 units	140 per 100 units	2014/03/03	100,000,000	2013/09/25
63397	UBS AG	HSI R Bull CBBC Dec 2013 Z	0.250	23,050 per 12,000 units	23,250 per 12,000 units	2013/12/30	350,000,000	2013/09/25
63396	UBS AG	HSI R Bull CBBC Jun 2014 Y	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/06/27	300,000,000	2013/09/25
63395	UBS AG	HSI R Bull CBBC Jul 2014 P	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/07/30	300,000,000	2013/09/25
63420	UBS AG	HSI R Bear CBBC Feb 2014 D	0.250	23,381 per 10,000 units	23,181 per 10,000 units	2014/02/27	300,000,000	2013/09/25
63408	UBS AG	HSI R Bear CBBC Apr 2014 L	0.355	26,700 per 10,000 units	26,500 per 10,000 units	2014/04/29	300,000,000	2013/09/25
63415	UBS AG	HSI R Bear CBBC Apr 2014 M	0.285	26,000 per 10,000 units	25,800 per 10,000 units	2014/04/29	300,000,000	2013/09/25
63429	Credit Suisse AG	HSI R Bull CBBC Sep 2014 D	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/09/26
63431	Credit Suisse AG	HSI R Bull CBBC Sep 2014 E	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2013/09/26
63432	Credit Suisse AG	HSI R Bull CBBC Sep 2014 F	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/09/29	300,000,000	2013/09/26
63433	Credit Suisse AG	HSI R Bear CBBC Dec 2013 G	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2013/12/30	300,000,000	2013/09/26
63436	Credit Suisse AG	HSI R Bear CBBC Dec 2013 P	0.250	23,306 per 10,000 units	23,106 per 10,000 units	2013/12/30	300,000,000	2013/09/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63428	HK Bank	Sinopec Corp R Bull CBBC Jul 2014 A	0.250	5.38	5.68	2014/07/14	80,000,000	2013/09/26
63426	HK Bank	HKEx R Bull CBBC May 2014 A	0.250	117.18 per 100 units	123.18 per 100 units	2014/05/19	60,000,000	2013/09/26
63425	HK Bank	Lenovo R Bull CBBC Sep 2014 A	0.250	7.18	7.68	2014/09/15	60,000,000	2013/09/26
63440	UBS AG	Sinopec Corp R Bear CBBC Mar 2014 A	0.250	7.18	6.88	2014/03/17	100,000,000	2013/09/26
63434	UBS AG	HSI R Bull CBBC Jan 2014 Z	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/01/29	300,000,000	2013/09/26
63435	UBS AG	HSI R Bull CBBC May 2014 Y	0.250	22,980 per 10,000 units	23,180 per 10,000 units	2014/05/29	300,000,000	2013/09/26
63439	UBS AG	HSI R Bear CBBC Feb 2014 F	0.250	23,306 per 10,000 units	23,106 per 10,000 units	2014/02/27	300,000,000	2013/09/26
63441	UBS AG	HSI R Bear CBBC Mar 2014 P	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/03/28	300,000,000	2013/09/26
63490	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 I	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63492	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 J	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63501	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 K	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63502	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 L	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63504	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 M	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63505	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 N	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63449	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 N	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63450	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 O	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63451	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 P	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63506	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 Q	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63509	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 R	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63510	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 S	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63517	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 T	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63521	Credit Suisse AG	HSI R Bull CBBC Sep 2014 G	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2013/09/27
63522	Credit Suisse AG	HSI R Bull CBBC Sep 2014 H	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/09/29	300,000,000	2013/09/27
63539	Credit Suisse AG	HSI R Bull CBBC Sep 2014 I	0.250	23,312 per 10,000 units	23,512 per 10,000 units	2014/09/29	300,000,000	2013/09/27
63442	Credit Suisse AG	HSI R Bear CBBC Dec 2013 J	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63444	Credit Suisse AG	HSI R Bear CBBC Dec 2013 M	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63445	Credit Suisse AG	HSI R Bear CBBC Dec 2013 O	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63446	Credit Suisse AG	HSI R Bear CBBC Dec 2013 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63541	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 N	0.250	22,900 per 10,000 units	23,088 per 10,000 units	2014/01/29	120,000,000	2013/09/27
63544	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 O	0.250	23,000 per 10,000 units	23,188 per 10,000 units	2014/01/29	120,000,000	2013/09/27
63548	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 J	0.250	23,700 per 10,000 units	23,513 per 10,000 units	2014/01/29	120,000,000	2013/09/27
63549	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 K	0.250	24,650 per 10,000 units	24,466 per 10,000 units	2014/01/29	120,000,000	2013/09/27
63483	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 V	0.253	23,088 per 20,000 units	23,388 per 20,000 units	2014/01/29	100,000,000	2013/09/27
63484	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 W	0.250	22,888 per 20,000 units	23,188 per 20,000 units	2014/01/29	100,000,000	2013/09/27
63485	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 X	0.254	23,288 per 7,000 units	23,488 per 7,000 units	2014/01/29	100,000,000	2013/09/27
63486	Goldman Sachs SP (Asia)	HSI R Bull CBBC Jan 2014 Y	0.254	23,088 per 7,000 units	23,288 per 7,000 units	2014/01/29	100,000,000	2013/09/27
63481	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jan 2014 H	0.277	25,388 per 7,000 units	25,188 per 7,000 units	2014/01/29	100,000,000	2013/09/27
63557	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 E	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/07/30	300,000,000	2013/09/27
63553	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 B	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63561	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 C	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/08/28	300,000,000	2013/09/27
63552	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 I	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/01/29	300,000,000	2013/09/27
63551	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 I	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/03/28	300,000,000	2013/09/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63550	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 C	0.250	26,000 per 10,000 units	25,800 per 10,000 units	2014/04/29	300,000,000	2013/09/27
63461	Standard Chartered Bank	HSI R Bull CBBC Apr 2014 A	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2014/04/29	100,000,000	2013/09/27
63447	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 A	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2014/03/28	100,000,000	2013/09/27
63448	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 B	0.250	23,700 per 20,000 units	23,500 per 20,000 units	2014/03/28	100,000,000	2013/09/27
63476	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 E	0.250	23,388 per 10,000 units	23,488 per 10,000 units	2014/06/27	200,000,000	2013/09/27
63480	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 F	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/09/27
63454	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 E	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2013/12/30	200,000,000	2013/09/27
63453	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 G	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2013/12/30	200,000,000	2013/09/27
63468	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 K	0.250	25,688 per 10,000 units	25,588 per 10,000 units	2014/01/29	200,000,000	2013/09/27
63475	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 L	0.250	25,488 per 10,000 units	25,388 per 10,000 units	2014/01/29	200,000,000	2013/09/27
63537	UBS AG	HSI R Bull CBBC Feb 2014 L	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63538	UBS AG	HSI R Bull CBBC Jul 2014 Q	0.250	23,312 per 10,000 units	23,512 per 10,000 units	2014/07/30	300,000,000	2013/09/27
63535	UBS AG	HSI R Bull CBBC Sep 2014 I	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2014/09/29	300,000,000	2013/09/27
63536	UBS AG	HSI R Bull CBBC Sep 2014 J	0.250	21,900 per 38,000 units	22,300 per 38,000 units	2014/09/29	300,000,000	2013/09/27
63532	UBS AG	HSI R Bear CBBC Dec 2013 B	0.250	25,450 per 10,000 units	25,250 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63531	UBS AG	HSI R Bear CBBC Dec 2013 G	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63533	UBS AG	HSI R Bear CBBC Dec 2013 N	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63534	UBS AG	HSI R Bear CBBC Dec 2013 O	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63530	UBS AG	HSI R Bear CBBC Dec 2013 P	0.260	25,650 per 10,000 units	25,450 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63455	UBS AG	HSI R Bear CBBC Dec 2013 S	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63458	UBS AG	HSI R Bear CBBC Dec 2013 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2013/12/30	300,000,000	2013/09/27
63526	UBS AG	HSI R Bear CBBC Jan 2014 B	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2014/01/29	300,000,000	2013/09/27
63457	UBS AG	HSI R Bear CBBC Feb 2014 B	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63523	UBS AG	HSI R Bear CBBC Feb 2014 G	0.260	26,100 per 10,000 units	25,900 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63528	UBS AG	HSI R Bear CBBC Feb 2014 N	0.250	25,800 per 10,000 units	25,600 per 10,000 units	2014/02/27	300,000,000	2013/09/27
63459	UBS AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,318 per 12,000 units	23,118 per 12,000 units	2014/03/28	350,000,000	2013/09/27
63567	Credit Suisse AG	HSI R Bull CBBC Sep 2014 J	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2013/09/30
63587	Credit Suisse AG	HSI R Bull CBBC Sep 2014 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/09/30
63589	Credit Suisse AG	HSI R Bull CBBC Sep 2014 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/09/30
63590	Credit Suisse AG	HSI R Bull CBBC Sep 2014 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2013/09/30
63586	Credit Suisse AG	HSI R Bear CBBC Dec 2013 E	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63617	Credit Suisse AG	HSI R Bear CBBC Dec 2013 K	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63569	Credit Suisse AG	HSI R Bear CBBC Dec 2013 Q	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63585	Credit Suisse AG	HSI R Bear CBBC Dec 2013 W	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63619	Credit Suisse AG	HSI R Bear CBBC Dec 2013 X	0.250	23,572 per 10,000 units	23,372 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63654	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 N	0.250	22,800 per 10,000 units	22,988 per 10,000 units	2014/03/28	120,000,000	2013/09/30
63657	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 A	0.250	23,600 per 10,000 units	23,413 per 10,000 units	2014/03/28	120,000,000	2013/09/30
63651	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 B	0.250	23,688 per 7,000 units	23,488 per 7,000 units	2014/02/27	150,000,000	2013/09/30
63665	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 K	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/09/30
63658	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 J	0.250	25,100 per 10,000 units	24,900 per 10,000 units	2014/03/28	300,000,000	2013/09/30
63649	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 D	0.250	23,168 per 14,000 units	23,368 per 14,000 units	2014/03/28	100,000,000	2013/09/30
63562	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 G	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2014/06/27	200,000,000	2013/09/30
63564	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 H	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/09/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

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63646	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Dec13 I	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2013/12/30	200,000,000	2013/09/30
63615	UBS AG	CC Bank R Bull CBBC Jul 2014 B	0.250	5.45	5.75	2014/07/07	100,000,000	2013/09/30
63613	UBS AG	HSI R Bull CBBC Feb 2014 M	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/09/30
63612	UBS AG	HSI R Bull CBBC Jun 2014 C	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/06/27	300,000,000	2013/09/30
63602	UBS AG	HSI R Bull CBBC Jun 2014 Z	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/06/27	300,000,000	2013/09/30
63620	UBS AG	HSI R Bear CBBC Dec 2013 D	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63593	UBS AG	HSI R Bear CBBC Dec 2013 E	0.260	26,050 per 10,000 units	25,850 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63596	UBS AG	HSI R Bear CBBC Dec 2013 F	0.250	25,950 per 10,000 units	25,750 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63626	UBS AG	HSI R Bear CBBC Dec 2013 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63597	UBS AG	HSI R Bear CBBC Dec 2013 I	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63598	UBS AG	HSI R Bear CBBC Dec 2013 J	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63643	UBS AG	HSI R Bear CBBC Dec 2013 K	0.250	23,572 per 12,000 units	23,372 per 12,000 units	2013/12/30	350,000,000	2013/09/30
63592	UBS AG	HSI R Bear CBBC Dec 2013 Z	0.270	26,150 per 10,000 units	25,950 per 10,000 units	2013/12/30	300,000,000	2013/09/30
63616	UBS AG	Hutchison R Bull CBBC Jun 2014 C	0.250	87 per 100 units	90 per 100 units	2014/06/09	100,000,000	2013/09/30
63666	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 O	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/02
63667	Credit Suisse AG	HSI R Bull CBBC Sep 2014 N	0.250	22,888 per 10,000 units	23,088 per 10,000 units	2014/09/29	300,000,000	2013/10/02
63669	Credit Suisse AG	HSI R Bull CBBC Sep 2014 O	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2014/09/29	300,000,000	2013/10/02
63680	Credit Suisse AG	HSI R Bear CBBC Jan 2014 F	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/10/02
63686	Credit Suisse AG	HSI R Bear CBBC Jan 2014 G	0.250	23,488 per 10,000 units	23,288 per 10,000 units	2014/01/29	300,000,000	2013/10/02
63689	Credit Suisse AG	HSI R Bear CBBC Jan 2014 H	0.250	23,349 per 10,000 units	23,149 per 10,000 units	2014/01/29	300,000,000	2013/10/02
63706	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 L	0.250	23,400 per 10,000 units	23,213 per 10,000 units	2014/01/29	120,000,000	2013/10/02
63690	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 C	0.252	23,488 per 7,000 units	23,288 per 7,000 units	2014/02/27	150,000,000	2013/10/02
63691	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 D	0.250	23,688 per 20,000 units	23,388 per 20,000 units	2014/02/27	150,000,000	2013/10/02
63701	J P Morgan SP BV	HSI R Bull CBBC Jun 2014 G	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/06/27	300,000,000	2013/10/02
63700	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 F	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2013/10/02
63698	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 D	0.250	22,250 per 10,000 units	22,450 per 10,000 units	2014/08/28	300,000,000	2013/10/02
63705	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 I	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/02
63703	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/03/28	300,000,000	2013/10/02
63679	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 A	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2014/08/28	100,000,000	2013/10/02
63676	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Feb 14F	0.250	22,600 per 15,000 units	22,800 per 15,000 units	2014/02/27	200,000,000	2013/10/02
63677	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14M	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/01/29	200,000,000	2013/10/02
63674	UBS AG	HSI R Bull CBBC Jan 2014 H	0.250	23,025 per 12,000 units	23,225 per 12,000 units	2014/01/29	350,000,000	2013/10/02
63675	UBS AG	HSI R Bull CBBC Jul 2014 R	0.250	23,171 per 10,000 units	23,371 per 10,000 units	2014/07/30	300,000,000	2013/10/02
63694	UBS AG	HSI R Bear CBBC Jan 2014 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/02
63692	UBS AG	HSI R Bear CBBC Mar 2014 R	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/03/28	300,000,000	2013/10/02
63695	UBS AG	HSI R Bear CBBC Mar 2014 S	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/03/28	300,000,000	2013/10/02
63708	Credit Suisse AG	HSI R Bear CBBC Jan 2014 I	0.250	23,380 per 10,000 units	23,180 per 10,000 units	2014/01/29	300,000,000	2013/10/03
63724	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 M	0.250	23,500 per 10,000 units	23,313 per 10,000 units	2014/01/29	120,000,000	2013/10/03
63711	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 E	0.257	26,388 per 20,000 units	26,088 per 20,000 units	2014/02/27	150,000,000	2013/10/03
63712	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 F	0.254	26,188 per 20,000 units	25,888 per 20,000 units	2014/02/27	150,000,000	2013/10/03
63718	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 G	0.252	25,988 per 20,000 units	25,688 per 20,000 units	2014/02/27	150,000,000	2013/10/03
63719	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 G	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2013/10/03
63722	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 J	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/10/03

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63720	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 L	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/03/28	300,000,000	2013/10/03
63710	UBS AG	HSI R Bull CBBC Jun 2014 D	0.250	23,010 per 10,000 units	23,210 per 10,000 units	2014/06/27	300,000,000	2013/10/03
63709	UBS AG	HSI R Bear CBBC Feb 2014 O	0.250	23,380 per 10,000 units	23,180 per 10,000 units	2014/02/27	300,000,000	2013/10/03
63725	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 P	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/04
63751	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 U	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/04
63729	Credit Suisse AG	HSI R Bull CBBC Sep 2014 P	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/10/04
63752	Credit Suisse AG	HSI R Bear CBBC Jan 2014 J	0.250	23,309 per 10,000 units	23,109 per 10,000 units	2014/01/29	300,000,000	2013/10/04
63754	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 A	0.250	22,888 per 7,000 units	23,088 per 7,000 units	2014/02/27	150,000,000	2013/10/04
63755	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2013/10/04
63756	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 K	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/02/27	300,000,000	2013/10/04
63726	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/06/27	100,000,000	2013/10/04
63746	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 C	0.250	24,300 per 12,000 units	24,100 per 12,000 units	2014/03/28	100,000,000	2013/10/04
63748	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 D	0.250	24,050 per 12,000 units	23,850 per 12,000 units	2014/03/28	100,000,000	2013/10/04
63749	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 E	0.250	24,800 per 30,000 units	24,500 per 30,000 units	2014/03/28	100,000,000	2013/10/04
63739	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 I	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/06/27	200,000,000	2013/10/04
63744	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 J	0.250	22,550 per 10,000 units	22,720 per 10,000 units	2014/06/27	200,000,000	2013/10/04
63745	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 K	0.250	22,450 per 10,000 units	22,620 per 10,000 units	2014/06/27	200,000,000	2013/10/04
63740	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 N	0.250	23,900 per 10,000 units	23,730 per 10,000 units	2014/01/29	200,000,000	2013/10/04
63743	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 O	0.250	23,800 per 10,000 units	23,630 per 10,000 units	2014/01/29	200,000,000	2013/10/04
63737	UBS AG	HSI R Bull CBBC Jan 2014 F	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/01/29	300,000,000	2013/10/04
63738	UBS AG	HSI R Bull CBBC Aug 2014 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/04
63753	UBS AG	HSI R Bear CBBC Feb 2014 S	0.250	23,309 per 10,000 units	23,109 per 10,000 units	2014/02/27	300,000,000	2013/10/04
63778	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/10/07
63759	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Q	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2013/10/07
63782	Credit Suisse AG	HSI R Bull CBBC Sep 2014 R	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/10/07
63784	Credit Suisse AG	HSI R Bear CBBC Jan 2014 C	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/01/29	300,000,000	2013/10/07
63760	Credit Suisse AG	HSI R Bear CBBC Jan 2014 K	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/01/29	300,000,000	2013/10/07
63762	Credit Suisse AG	HSI R Bear CBBC Jan 2014 L	0.250	23,538 per 12,000 units	23,338 per 12,000 units	2014/01/29	300,000,000	2013/10/07
63771	Credit Suisse AG	HSI R Bear CBBC Jan 2014 M	0.250	23,838 per 10,000 units	23,638 per 10,000 units	2014/01/29	300,000,000	2013/10/07
63772	Credit Suisse AG	HSI R Bear CBBC Jan 2014 N	0.250	23,368 per 12,000 units	23,168 per 12,000 units	2014/01/29	300,000,000	2013/10/07
63790	J P Morgan SP BV	HSI R Bull CBBC Jul 2014 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/07/30	300,000,000	2013/10/07
63791	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 L	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/02/27	300,000,000	2013/10/07
63774	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 E	0.250	22,945 per 20,000 units	23,145 per 20,000 units	2014/06/27	100,000,000	2013/10/07
63773	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 A	0.250	23,650 per 15,000 units	23,450 per 15,000 units	2014/04/29	100,000,000	2013/10/07
63786	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 P	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/01/29	200,000,000	2013/10/07
63789	UBS AG	China Mobile R Bear CBBC Mar 2014 A	0.250	102.50 per 100 units	100 per 100 units	2014/03/17	100,000,000	2013/10/07
63788	UBS AG	HSI R Bull CBBC Apr 2014 V	0.250	23,007 per 12,000 units	23,207 per 12,000 units	2014/04/29	350,000,000	2013/10/07
63787	UBS AG	HSI R Bull CBBC Jun 2014 B	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/06/27	300,000,000	2013/10/07
63763	UBS AG	HSI R Bear CBBC Feb 2014 T	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/07
63766	UBS AG	HSI R Bear CBBC Mar 2014 T	0.250	23,326 per 12,000 units	23,126 per 12,000 units	2014/03/28	350,000,000	2013/10/07
63792	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Q	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/10/08
63837	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 A	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63808	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 W	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/10/08

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63812	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 X	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63813	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 Y	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63835	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 Z	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63795	Credit Suisse AG	HSI R Bull CBBC Sep 2014 S	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/10/08
63796	Credit Suisse AG	HSI R Bull CBBC Sep 2014 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/10/08
63797	Credit Suisse AG	HSI R Bull CBBC Sep 2014 U	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/10/08
63815	Credit Suisse AG	HSI R Bull CBBC Sep 2014 V	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2013/10/08
63816	Credit Suisse AG	HSI R Bull CBBC Sep 2014 W	0.250	22,750 per 12,000 units	22,950 per 12,000 units	2014/09/29	300,000,000	2013/10/08
63829	Credit Suisse AG	HSI R Bear CBBC Feb 2014 A	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63830	Credit Suisse AG	HSI R Bear CBBC Feb 2014 P	0.250	23,060 per 10,000 units	22,860 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63831	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Q	0.250	23,100 per 12,000 units	22,900 per 12,000 units	2014/02/27	300,000,000	2013/10/08
63828	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Z	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63853	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 N	0.250	23,300 per 10,000 units	23,113 per 10,000 units	2014/01/29	120,000,000	2013/10/08
63818	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 H	0.256	23,488 per 20,000 units	23,188 per 20,000 units	2014/02/27	150,000,000	2013/10/08
63823	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 I	0.250	23,288 per 7,000 units	23,088 per 7,000 units	2014/02/27	150,000,000	2013/10/08
63847	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 J	0.252	23,288 per 20,000 units	22,988 per 20,000 units	2014/02/27	150,000,000	2013/10/08
63848	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 K	0.251	23,088 per 7,000 units	22,888 per 7,000 units	2014/02/27	150,000,000	2013/10/08
63841	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 L	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/01/29	300,000,000	2013/10/08
63845	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/08
63846	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 S	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/10/08
63794	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 A	0.250	22,800 per 15,000 units	23,000 per 15,000 units	2014/07/30	100,000,000	2013/10/08
63856	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 A	0.250	22,658 per 20,000 units	22,858 per 20,000 units	2014/08/28	100,000,000	2013/10/08
63807	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 W	0.250	23,288 per 12,000 units	23,088 per 12,000 units	2014/02/27	100,000,000	2013/10/08
63854	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 B	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/04/29	100,000,000	2013/10/08
63798	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 L	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/10/08
63799	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 M	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/10/08
63802	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 Q	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/01/29	200,000,000	2013/10/08
63801	UBS AG	HSI R Bull CBBC Feb 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63824	UBS AG	HSI R Bull CBBC Feb 2014 P	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/02/27	300,000,000	2013/10/08
63800	UBS AG	HSI R Bull CBBC Sep 2014 K	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/10/08
63827	UBS AG	HSI R Bear CBBC Jan 2014 C	0.250	23,060 per 10,000 units	22,860 per 10,000 units	2014/01/29	300,000,000	2013/10/08
63826	UBS AG	HSI R Bear CBBC Jan 2014 X	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/08
63825	UBS AG	HSI R Bear CBBC Mar 2014 U	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/03/28	300,000,000	2013/10/08
63877	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 R	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/10/09
63906	Credit Suisse AG	HSI R Bull CBBC Aug 2014 O	0.250	22,906 per 10,000 units	23,106 per 10,000 units	2014/08/28	300,000,000	2013/10/09
63908	Credit Suisse AG	HSI R Bull CBBC Aug 2014 P	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/10/09
63860	Credit Suisse AG	HSI R Bear CBBC Jan 2014 O	0.250	23,098 per 10,000 units	22,898 per 10,000 units	2014/01/29	300,000,000	2013/10/09
63863	Credit Suisse AG	HSI R Bear CBBC Jan 2014 P	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/09
63865	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Q	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/09
63919	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 C	0.250	22,700 per 10,000 units	22,888 per 10,000 units	2014/01/29	120,000,000	2013/10/09
63888	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 B	0.257	22,788 per 7,000 units	22,988 per 7,000 units	2014/02/27	150,000,000	2013/10/09
63897	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 C	0.257	22,588 per 20,000 units	22,888 per 20,000 units	2014/02/27	150,000,000	2013/10/09
63917	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 E	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/10/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63915	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 A	0.250	22,150 per 10,000 units	22,350 per 10,000 units	2014/09/29	300,000,000	2013/10/09
63918	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 M	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/10/09
63886	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 E	0.250	22,900 per 12,000 units	23,100 per 12,000 units	2014/03/28	100,000,000	2013/10/09
63887	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 X	0.250	23,488 per 20,000 units	23,288 per 20,000 units	2014/02/27	200,000,000	2013/10/09
63874	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 N	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/10/09
63857	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 R	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/01/29	200,000,000	2013/10/09
63859	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 S	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/01/29	200,000,000	2013/10/09
63901	UBS AG	HSI R Bull CBBC Apr 2014 W	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2013/10/09
63905	UBS AG	HSI R Bull CBBC Aug 2014 I	0.250	22,906 per 10,000 units	23,106 per 10,000 units	2014/08/28	300,000,000	2013/10/09
63872	UBS AG	HSI R Bear CBBC Feb 2014 R	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/10/09
63869	UBS AG	HSI R Bear CBBC Feb 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/10/09
63873	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	23,075 per 12,000 units	22,875 per 12,000 units	2014/04/29	350,000,000	2013/10/09
63900	UBS AG	HSI R Bear CBBC May 2014 A	0.250	25,200 per 38,000 units	24,800 per 38,000 units	2014/05/29	300,000,000	2013/10/09
63899	UBS AG	HSI R Bear CBBC Aug 2014 A	0.250	25,700 per 38,000 units	25,300 per 38,000 units	2014/08/28	300,000,000	2013/10/09
63968	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 S	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/10
63969	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Q	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2014/08/28	300,000,000	2013/10/10
63971	Credit Suisse AG	HSI R Bull CBBC Aug 2014 R	0.250	23,014 per 10,000 units	23,214 per 10,000 units	2014/08/28	300,000,000	2013/10/10
63940	Credit Suisse AG	HSI R Bear CBBC Jan 2014 D	0.250	23,185 per 10,000 units	22,985 per 10,000 units	2014/01/29	300,000,000	2013/10/10
63946	Credit Suisse AG	HSI R Bear CBBC Jan 2014 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/10
63976	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 A	0.250	22,800 per 10,000 units	22,988 per 10,000 units	2014/02/27	120,000,000	2013/10/10
63928	HK Bank	AIA R Bull CBBC Jun 2014 B	0.250	34.88	35.88	2014/06/30	60,000,000	2013/10/10
63929	HK Bank	AIA R Bear CBBC Jun 2014 A	0.330	40.18	39.18	2014/06/23	50,000,000	2013/10/10
63939	HK Bank	China Mobile R Bear CBBC Apr 2014 B	0.250	95.38 per 100 units	92.88 per 100 units	2014/04/28	50,000,000	2013/10/10
63930	HK Bank	Cheung Kong R Bull CBBC Jun 2014 A	0.250	105.88 per 100 units	111.88 per 100 units	2014/06/23	60,000,000	2013/10/10
63931	HK Bank	Cheung Kong R Bear CBBC Apr 2014 B	0.250	136.88 per 100 units	130.88 per 100 units	2014/04/08	50,000,000	2013/10/10
63936	HK Bank	Galaxy Ent R Bear CBBC Oct 2014 A	0.250	64.27 per 100 units	63.27 per 100 units	2014/10/27	40,000,000	2013/10/10
63937	HK Bank	HKEx R Bear CBBC Jul 2014 A	0.250	140.88 per 100 units	134.88 per 100 units	2014/07/04	50,000,000	2013/10/10
63924	HK Bank	HSCEI R Bear CBBC May 2014 C	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2014/05/29	68,000,000	2013/10/10
63920	HK Bank	HSI R Bear CBBC Apr 2014 D	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2014/04/29	100,000,000	2013/10/10
63927	HK Bank	Hutchison R Bear CBBC Apr 2014 A	0.250	109.88 per 100 units	106.88 per 100 units	2014/04/08	50,000,000	2013/10/10
63972	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 F	0.250	22,050 per 10,000 units	22,250 per 10,000 units	2014/08/28	300,000,000	2013/10/10
63973	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/10/10
63990	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 C	0.250	23,418 per 10,000 units	23,218 per 10,000 units	2014/04/29	200,000,000	2013/10/10
63979	UBS AG	Galaxy Ent R Bull CBBC Jul 2014 A	0.250	50.50 per 100 units	53 per 100 units	2014/07/14	100,000,000	2013/10/10
63977	UBS AG	Galaxy Ent R Bear CBBC May 2014 A	0.250	65.50 per 100 units	63 per 100 units	2014/05/12	100,000,000	2013/10/10
63980	UBS AG	HSCEI R Bear CBBC Jan 2014 B	0.250	11,950 per 10,000 units	11,750 per 10,000 units	2014/01/29	100,000,000	2013/10/10
63981	UBS AG	HSCEI R Bear CBBC Mar 2014 A	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2014/03/28	100,000,000	2013/10/10
63983	UBS AG	HSI R Bull CBBC Jun 2014 K	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2013/10/10
63986	UBS AG	HSI R Bull CBBC Jun 2014 M	0.250	23,014 per 10,000 units	23,214 per 10,000 units	2014/06/27	300,000,000	2013/10/10
63967	UBS AG	HSI R Bear CBBC Feb 2014 K	0.250	23,185 per 10,000 units	22,985 per 10,000 units	2014/02/27	300,000,000	2013/10/10
63961	UBS AG	HSI R Bear CBBC Mar 2014 V	0.250	23,825 per 12,000 units	23,625 per 12,000 units	2014/03/28	350,000,000	2013/10/10
63964	UBS AG	HSI R Bear CBBC Mar 2014 W	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/03/28	300,000,000	2013/10/10
64016	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 T	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64022	Credit Suisse AG	HSI R Bull CBBC Jul 2014 N	0.250	22,988 per 10,000 units	23,188 per 10,000 units	2014/07/30	300,000,000	2013/10/11
64036	Credit Suisse AG	HSI R Bull CBBC Jul 2014 O	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2014/07/30	300,000,000	2013/10/11
64038	Credit Suisse AG	HSI R Bear CBBC Feb 2014 R	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/10/11
64041	Credit Suisse AG	HSI R Bear CBBC Feb 2014 S	0.250	23,688 per 12,000 units	23,488 per 12,000 units	2014/02/27	300,000,000	2013/10/11
64052	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 D	0.254	22,888 per 7,000 units	23,088 per 7,000 units	2014/02/27	150,000,000	2013/10/11
64015	HK Bank	CC Bank R Bear CBBC Sep 2014 A	0.250	6.78	6.48	2014/09/17	40,000,000	2013/10/11
64014	HK Bank	China Life R Bear CBBC Aug 2014 A	0.250	22.68	21.68	2014/08/07	50,000,000	2013/10/11
64011	HK Bank	China Shenhua R Bear CBBC Aug 2014 A	0.500	28.48	26.48	2014/08/15	40,000,000	2013/10/11
63992	HK Bank	Galaxy Ent R Bull CBBC Jun 2014 A	0.250	53.27 per 100 units	54.27 per 100 units	2014/06/23	50,000,000	2013/10/11
63993	HK Bank	Galaxy Ent R Bear CBBC Jun 2014 A	0.900	67.27	66.27	2014/06/23	40,000,000	2013/10/11
63997	HK Bank	Galaxy Ent R Bear CBBC Oct 2014 B	0.250	70.27 per 100 units	69.27 per 100 units	2014/10/28	40,000,000	2013/10/11
64006	HK Bank	Hutchison R Bull CBBC Jun 2014 B	0.250	90.68 per 100 units	93.68 per 100 units	2014/06/16	60,000,000	2013/10/11
64012	HK Bank	ICBC R Bear CBBC Apr 2014 A	0.250	5.98	5.73	2014/04/28	40,000,000	2013/10/11
64010	HK Bank	Sands China R Bear CBBC Jul 2014 A	0.250	60.28 per 100 units	57.28 per 100 units	2014/07/21	50,000,000	2013/10/11
64051	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 C	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/10/11
64035	Standard Chartered Bank	HSI R Bull CBBC Mar 2014 F	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/03/28	100,000,000	2013/10/11
64023	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 B	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/07/30	100,000,000	2013/10/11
64028	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 O	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/06/27	200,000,000	2013/10/11
64031	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 T	0.250	23,700 per 10,000 units	23,530 per 10,000 units	2014/01/29	200,000,000	2013/10/11
64032	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 U	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/01/29	200,000,000	2013/10/11
64034	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 V	0.250	23,600 per 10,000 units	23,430 per 10,000 units	2014/01/29	200,000,000	2013/10/11
64017	UBS AG	HSI R Bull CBBC Jan 2014 G	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/01/29	300,000,000	2013/10/11
64019	UBS AG	HSI R Bull CBBC Aug 2014 J	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/11
64048	UBS AG	HSI R Bear CBBC Jan 2014 O	0.250	23,301 per 10,000 units	23,101 per 10,000 units	2014/01/29	300,000,000	2013/10/11
64044	UBS AG	HSI R Bear CBBC Feb 2014 W	0.250	23,925 per 12,000 units	23,725 per 12,000 units	2014/02/27	350,000,000	2013/10/11
64043	UBS AG	Hutchison R Bull CBBC Jul 2014 A	0.250	89.50 per 100 units	92.50 per 100 units	2014/07/07	100,000,000	2013/10/11
64076	Credit Suisse AG	HSI R Bull CBBC Sep 2014 X	0.250	22,938 per 10,000 units	23,138 per 10,000 units	2014/09/29	300,000,000	2013/10/15
64077	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Y	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/09/29	300,000,000	2013/10/15
64110	Credit Suisse AG	HSI R Bear CBBC Jan 2014 V	0.250	23,170 per 10,000 units	22,970 per 10,000 units	2014/01/29	300,000,000	2013/10/15
64117	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 C	0.250	23,200 per 10,000 units	23,013 per 10,000 units	2014/02/27	120,000,000	2013/10/15
64079	Bank of East Asia	GCL-Poly Energy R Bull CBBC Dec 2014 A	0.250	1.8	2.1	2014/12/22	150,000,000	2013/10/15
64078	Bank of East Asia	Galaxy Ent R Bull CBBC Sep 2014 A	0.250	51.27 per 100 units	52.27 per 100 units	2014/09/26	60,000,000	2013/10/15
64053	HK Bank	BOCL R Bull CBBC Sep 2014 A	0.250	2.55	2.73	2014/09/29	80,000,000	2013/10/15
64060	HK Bank	BOCL R Bear CBBC Aug 2014 A	0.250	4.06	3.88	2014/08/12	40,000,000	2013/10/15
64063	HK Bank	China Mobile R Bear CBBC Apr 2014 C	0.250	92.18 per 100 units	89.68 per 100 units	2014/04/22	50,000,000	2013/10/15
64083	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 T	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/15
64116	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 B	0.250	21,888 per 15,000 units	22,088 per 15,000 units	2014/08/28	100,000,000	2013/10/15
64113	Standard Chartered Bank	HSI R Bear CBBC Feb 2014 Y	0.250	24,500 per 10,000 units	24,300 per 10,000 units	2014/02/27	100,000,000	2013/10/15
64106	UBS AG	Cheung Kong R Bear CBBC Mar 2014 A	0.250	136 per 100 units	130 per 100 units	2014/03/31	100,000,000	2013/10/15
64101	UBS AG	China Life R Bear CBBC Apr 2014 A	0.250	22.38	21.38	2014/04/07	100,000,000	2013/10/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64096	UBS AG	HSCEI R Bear CBBC Feb 2014 C	0.250	10,950 per 10,000 units	10,750 per 10,000 units	2014/02/27	100,000,000	2013/10/15
64075	UBS AG	HSI R Bull CBBC Jul 2014 S	0.250	22,938 per 10,000 units	23,138 per 10,000 units	2014/07/30	300,000,000	2013/10/15
64112	UBS AG	HSI R Bear CBBC Mar 2014 X	0.250	23,170 per 12,000 units	22,970 per 12,000 units	2014/03/28	300,000,000	2013/10/15
64104	UBS AG	ICBC R Bear CBBC May 2014 A	0.250	6.75	6.5	2014/05/12	100,000,000	2013/10/15
64102	UBS AG	Ping An R Bull CBBC Jul 2014 C	0.250	51 per 100 units	55 per 100 units	2014/07/07	100,000,000	2013/10/15
64103	UBS AG	Sands China R Bear CBBC May 2014 A	0.250	58 per 100 units	55 per 100 units	2014/05/05	100,000,000	2013/10/15
64100	UBS AG	TCH R Bull CBBC Jun 2014 K	0.280	390 per 100 units	400 per 100 units	2014/06/09	50,000,000	2013/10/15
64147	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64148	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 U	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64149	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 W	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64181	Credit Suisse AG	HSI R Bull CBBC Jul 2014 P	0.250	22,996 per 10,000 units	23,196 per 10,000 units	2014/07/30	300,000,000	2013/10/16
64186	Credit Suisse AG	HSI R Bull CBBC Jul 2014 Q	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/07/30	300,000,000	2013/10/16
64150	Credit Suisse AG	HSI R Bear CBBC Feb 2014 T	0.250	23,174 per 12,000 units	22,974 per 12,000 units	2014/02/27	300,000,000	2013/10/16
64151	Credit Suisse AG	HSI R Bear CBBC Feb 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64152	Credit Suisse AG	HSI R Bear CBBC Feb 2014 V	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64191	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 D	0.250	23,400 per 10,000 units	23,213 per 10,000 units	2014/02/27	120,000,000	2013/10/16
64123	HK Bank	HSI R Bull CBBC Jun 2014 A	0.250	21,188 per 15,000 units	21,388 per 15,000 units	2014/06/27	100,000,000	2013/10/16
64132	HK Bank	HSI R Bull CBBC Jun 2014 B	0.250	20,788 per 15,000 units	20,988 per 15,000 units	2014/06/27	100,000,000	2013/10/16
64130	HK Bank	HSI R Bull CBBC Jul 2014 A	0.250	20,988 per 15,000 units	21,188 per 15,000 units	2014/07/30	100,000,000	2013/10/16
64142	HK Bank	HSI R Bull CBBC Aug 2014 A	0.250	20,588 per 15,000 units	20,788 per 15,000 units	2014/08/28	100,000,000	2013/10/16
64143	HK Bank	HSI R Bear CBBC Apr 2014 E	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2014/04/29	100,000,000	2013/10/16
64144	HK Bank	Hutchison R Bear CBBC Oct 2014 A	0.250	115.88 per 100 units	112.88 per 100 units	2014/10/20	50,000,000	2013/10/16
64188	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 D	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/09/29	300,000,000	2013/10/16
64190	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 P	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/01/29	300,000,000	2013/10/16
64187	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 C	0.250	22,978 per 10,000 units	23,178 per 10,000 units	2014/08/28	100,000,000	2013/10/16
64179	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 P	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/10/16
64167	UBS AG	HSI R Bull CBBC Jun 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/06/27	300,000,000	2013/10/16
64180	UBS AG	HSI R Bull CBBC Jul 2014 T	0.250	22,996 per 12,000 units	23,196 per 12,000 units	2014/07/30	350,000,000	2013/10/16
64164	UBS AG	HSI R Bull CBBC Sep 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/10/16
64156	UBS AG	HSI R Bear CBBC Jan 2014 Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/16
64154	UBS AG	HSI R Bear CBBC Feb 2014 Y	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/16
64220	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 U	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/10/17
64198	Credit Suisse AG	HSI R Bull CBBC Sep 2014 A	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/10/17
64197	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Z	0.250	22,978 per 12,000 units	23,178 per 12,000 units	2014/09/29	300,000,000	2013/10/17
64195	Credit Suisse AG	HSI R Bear CBBC Mar 2014 E	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/03/28	300,000,000	2013/10/17
64227	Credit Suisse AG	HSI R Bear CBBC Mar 2014 F	0.250	23,438 per 12,000 units	23,238 per 12,000 units	2014/03/28	300,000,000	2013/10/17
64228	Credit Suisse AG	HSI R Bear CBBC Mar 2014 G	0.250	23,238 per 12,000 units	23,038 per 12,000 units	2014/03/28	300,000,000	2013/10/17
64229	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 E	0.250	23,300 per 10,000 units	23,113 per 10,000 units	2014/02/27	120,000,000	2013/10/17
64203	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 E	0.253	22,788 per 7,000 units	22,988 per 7,000 units	2014/02/27	150,000,000	2013/10/17
64207	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 L	0.255	23,288 per 7,000 units	23,088 per 7,000 units	2014/02/27	150,000,000	2013/10/17
64214	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 M	0.254	23,488 per 20,000 units	23,188 per 20,000 units	2014/02/27	150,000,000	2013/10/17
64192	HK Bank	CSOP A50 ETF R Bear CBBC May 2014 A	0.250	10.48	10.18	2014/05/26	40,000,000	2013/10/17

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64193	HK Bank	TCH R Bull CBBC Jun 2014 D	0.300	398.88 per 100 units	408.88 per 100 units	2014/06/23	50,000,000	2013/10/17
64232	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Q	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/17
64215	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 F	0.250	21,900 per 30,000 units	22,200 per 30,000 units	2014/06/27	100,000,000	2013/10/17
64217	UBS AG	AIA R Bull CBBC Sep 2014 A	0.250	34.88 per 100 units	35.88 per 100 units	2014/09/22	100,000,000	2013/10/17
64216	UBS AG	AIA R Bear CBBC Aug 2014 A	0.250	42.88 per 100 units	41.88 per 100 units	2014/08/04	100,000,000	2013/10/17
64201	UBS AG	HSI R Bull CBBC Aug 2014 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/17
64202	UBS AG	HSI R Bull CBBC Oct 2014 B	0.250	22,978 per 10,000 units	23,178 per 10,000 units	2014/10/30	300,000,000	2013/10/17
64226	UBS AG	HSI R Bear CBBC Feb 2014 E	0.250	23,234 per 10,000 units	23,034 per 10,000 units	2014/02/27	300,000,000	2013/10/17
64225	UBS AG	HSI R Bear CBBC Mar 2014 Y	0.250	23,525 per 12,000 units	23,325 per 12,000 units	2014/03/28	350,000,000	2013/10/17
64219	UBS AG	Hutchison R Bear CBBC Jul 2014 A	0.250	118 per 100 units	115 per 100 units	2014/07/07	100,000,000	2013/10/17
64235	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 V	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/10/18
64236	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 W	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/10/18
64277	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/10/18
64238	Credit Suisse AG	HSI R Bull CBBC Aug 2014 S	0.250	22,833 per 12,000 units	23,033 per 12,000 units	2014/08/28	300,000,000	2013/10/18
64239	Credit Suisse AG	HSI R Bull CBBC Aug 2014 T	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/10/18
64240	Credit Suisse AG	HSI R Bull CBBC Aug 2014 U	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/10/18
64288	Credit Suisse AG	HSI R Bear CBBC Jan 2014 R	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/01/29	300,000,000	2013/10/18
64287	Credit Suisse AG	HSI R Bear CBBC Jan 2014 W	0.250	23,045 per 10,000 units	22,845 per 10,000 units	2014/01/29	300,000,000	2013/10/18
64298	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 F	0.250	22,438 per 7,000 units	22,638 per 7,000 units	2014/02/27	150,000,000	2013/10/18
64290	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 N	0.252	23,088 per 7,000 units	22,888 per 7,000 units	2014/02/27	150,000,000	2013/10/18
64274	HK Bank	BOCL R Bear CBBC May 2014 A	0.250	4.36	4.18	2014/05/12	40,000,000	2013/10/18
64276	HK Bank	COVS R Bear CBBC Jul 2014 A	0.270	26.28	25.28	2014/07/18	40,000,000	2013/10/18
64270	HK Bank	Sinopec Corp R Bear CBBC Aug 2014 A	0.250	7.28	6.98	2014/08/04	40,000,000	2013/10/18
64261	HK Bank	HSI R Bear CBBC May 2014 D	0.250	24,328 per 20,000 units	24,028 per 20,000 units	2014/05/29	400,000,000	2013/10/18
64269	HK Bank	HSI R Bear CBBC May 2014 E	0.250	24,518 per 10,000 units	24,318 per 10,000 units	2014/05/29	100,000,000	2013/10/18
64266	HK Bank	HSI R Bear CBBC Jun 2014 C	0.250	24,928 per 20,000 units	24,628 per 20,000 units	2014/06/27	400,000,000	2013/10/18
64267	HK Bank	HSI R Bear CBBC Aug 2014 A	0.250	26,328 per 20,000 units	26,028 per 20,000 units	2014/08/28	400,000,000	2013/10/18
64268	HK Bank	HSI R Bear CBBC Sep 2014 A	0.250	27,328 per 20,000 units	27,028 per 20,000 units	2014/09/29	400,000,000	2013/10/18
64275	HK Bank	PetCh R Bear CBBC Aug 2014 A	0.250	10.88	10.48	2014/08/04	40,000,000	2013/10/18
64301	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 A	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2013/10/18
64303	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 B	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2013/10/18
64305	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 M	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/03/28	300,000,000	2013/10/18
64243	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 C	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/07/30	100,000,000	2013/10/18
64241	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 D	0.250	22,550 per 12,000 units	22,750 per 12,000 units	2014/08/28	100,000,000	2013/10/18
64247	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 E	0.250	22,800 per 20,000 units	23,000 per 20,000 units	2014/08/28	200,000,000	2013/10/18
64306	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 D	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2014/04/29	200,000,000	2013/10/18
64307	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 E	0.250	23,328 per 15,000 units	23,128 per 15,000 units	2014/04/29	200,000,000	2013/10/18
64248	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 Q	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/10/18
64285	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 W	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/01/29	200,000,000	2013/10/18
64249	UBS AG	HSI R Bull CBBC Jun 2014 O	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/06/27	300,000,000	2013/10/18
64260	UBS AG	HSI R Bull CBBC Jun 2014 P	0.250	22,833 per 10,000 units	23,033 per 10,000 units	2014/06/27	300,000,000	2013/10/18
64257	UBS AG	HSI R Bull CBBC Jul 2014 U	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/07/30	300,000,000	2013/10/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64296	UBS AG	HSI R Bull CBBC Jul 2014 V	0.250	22,325 per 12,000 units	22,525 per 12,000 units	2014/07/30	350,000,000	2013/10/18
64293	UBS AG	HSI R Bear CBBC Jan 2014 Y	0.250	23,045 per 10,000 units	22,845 per 10,000 units	2014/01/29	300,000,000	2013/10/18
64292	UBS AG	HSI R Bear CBBC Feb 2014 A	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/02/27	300,000,000	2013/10/18
64297	UBS AG	HSI R Bear CBBC Apr 2014 O	0.250	23,625 per 12,000 units	23,425 per 12,000 units	2014/04/29	350,000,000	2013/10/18
64340	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 X	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64318	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/10/21
64348	Credit Suisse AG	HSI R Bull CBBC Aug 2014 V	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64352	Credit Suisse AG	HSI R Bull CBBC Aug 2014 W	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64353	Credit Suisse AG	HSI R Bull CBBC Aug 2014 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64357	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Y	0.250	23,039 per 10,000 units	23,239 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64323	Credit Suisse AG	HSI R Bear CBBC Jan 2014 A	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/01/29	300,000,000	2013/10/21
64319	Credit Suisse AG	HSI R Bear CBBC Jan 2014 S	0.250	23,152 per 12,000 units	22,952 per 12,000 units	2014/01/29	300,000,000	2013/10/21
64320	Credit Suisse AG	HSI R Bear CBBC Jan 2014 X	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/21
64321	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Y	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/21
64342	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 G	0.275	22,988 per 7,000 units	23,188 per 7,000 units	2014/02/27	150,000,000	2013/10/21
64343	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 H	0.251	22,588 per 20,000 units	22,888 per 20,000 units	2014/02/27	150,000,000	2013/10/21
64341	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 O	0.256	23,488 per 7,000 units	23,288 per 7,000 units	2014/02/27	150,000,000	2013/10/21
64313	HK Bank	HSI R Bull CBBC Mar 2014 H	0.250	22,118 per 10,000 units	22,318 per 10,000 units	2014/03/28	100,000,000	2013/10/21
64308	HK Bank	HSI R Bull CBBC May 2014 B	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/05/29	100,000,000	2013/10/21
64309	HK Bank	HSI R Bull CBBC Aug 2014 B	0.250	18,728 per 20,000 units	19,028 per 20,000 units	2014/08/28	400,000,000	2013/10/21
64311	HK Bank	HSI R Bull CBBC Sep 2014 A	0.270	17,728 per 20,000 units	18,028 per 20,000 units	2014/09/29	400,000,000	2013/10/21
64312	HK Bank	HSI R Bull CBBC Oct 2014 A	0.320	16,728 per 20,000 units	17,028 per 20,000 units	2014/10/30	400,000,000	2013/10/21
64361	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 G	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/10/21
64360	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 E	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2013/10/21
64359	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 C	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2013/10/21
64339	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 F	0.250	23,032 per 20,000 units	23,232 per 20,000 units	2014/08/28	200,000,000	2013/10/21
64331	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 F	0.250	23,588 per 20,000 units	23,388 per 20,000 units	2014/03/28	200,000,000	2013/10/21
64314	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 R	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2013/10/21
64335	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 S	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/10/21
64316	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 X	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/01/29	200,000,000	2013/10/21
64317	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 Y	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/01/29	200,000,000	2013/10/21
64346	UBS AG	AIA R Bear CBBC Jul 2014 A	0.250	44.88 per 100 units	43.88 per 100 units	2014/07/21	100,000,000	2013/10/21
64344	UBS AG	HSI R Bull CBBC Jun 2014 Y	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/06/27	300,000,000	2013/10/21
64345	UBS AG	HSI R Bull CBBC Jul 2014 W	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2013/10/21
64356	UBS AG	HSI R Bull CBBC Jul 2014 X	0.250	23,039 per 10,000 units	23,239 per 10,000 units	2014/07/30	300,000,000	2013/10/21
64329	UBS AG	HSI R Bear CBBC Jan 2014 D	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/21
64324	UBS AG	HSI R Bear CBBC Jan 2014 N	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/01/29	300,000,000	2013/10/21
64330	UBS AG	HSI R Bear CBBC Feb 2014 J	0.250	23,152 per 10,000 units	22,952 per 10,000 units	2014/02/27	300,000,000	2013/10/21
63187 #	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 K	0.072	22,500 per 10,000 units	22,688 per 10,000 units	2014/01/29	140,000,000	2013/10/21
64365	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Y	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/10/22
64392	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Z	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2013/10/22
64367	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 V	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/10/22
64394	Credit Suisse AG	HSI R Bull CBBC Jul 2014 R	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/07/30	300,000,000	2013/10/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64395	Credit Suisse AG	HSI R Bull CBBC Jul 2014 S	0.250	23,151 per 10,000 units	23,351 per 10,000 units	2014/07/30	300,000,000	2013/10/22
64385	Credit Suisse AG	HSI R Bear CBBC Jan 2014 B	0.250	23,419 per 10,000 units	23,219 per 10,000 units	2014/01/29	300,000,000	2013/10/22
64387	Credit Suisse AG	HSI R Bear CBBC Jan 2014 H	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/10/22
64386	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/22
64371	Credit Suisse AG	HSI R Bear CBBC Mar 2014 H	0.250	24,350 per 10,000 units	24,150 per 10,000 units	2014/03/28	300,000,000	2013/10/22
64372	Credit Suisse AG	HSI R Bear CBBC Mar 2014 I	0.250	24,450 per 10,000 units	24,250 per 10,000 units	2014/03/28	300,000,000	2013/10/22
64373	Credit Suisse AG	HSI R Bear CBBC Mar 2014 J	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/03/28	300,000,000	2013/10/22
64374	Credit Suisse AG	HSI R Bear CBBC Mar 2014 K	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/03/28	300,000,000	2013/10/22
64375	Credit Suisse AG	HSI R Bear CBBC Apr 2014 G	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/04/29	300,000,000	2013/10/22
64380	Credit Suisse AG	HSI R Bear CBBC Jun 2014 A	0.340	26,400 per 10,000 units	26,200 per 10,000 units	2014/06/27	300,000,000	2013/10/22
64381	Credit Suisse AG	HSI R Bear CBBC Jun 2014 B	0.370	26,700 per 10,000 units	26,500 per 10,000 units	2014/06/27	300,000,000	2013/10/22
64384	Credit Suisse AG	HSI R Bear CBBC Jun 2014 C	0.420	27,200 per 10,000 units	27,000 per 10,000 units	2014/06/27	300,000,000	2013/10/22
64464	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 H	0.250	22,700 per 10,000 units	22,888 per 10,000 units	2014/01/29	120,000,000	2013/10/22
64465	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 P	0.250	22,900 per 10,000 units	23,088 per 10,000 units	2014/01/29	120,000,000	2013/10/22
64467	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Jan 2014 Q	0.250	23,100 per 10,000 units	23,288 per 10,000 units	2014/01/29	120,000,000	2013/10/22
64453	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 I	0.253	23,088 per 7,000 units	23,288 per 7,000 units	2014/02/27	150,000,000	2013/10/22
64455	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 J	0.252	22,738 per 20,000 units	23,038 per 20,000 units	2014/02/27	150,000,000	2013/10/22
64458	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 F	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/09/29	300,000,000	2013/10/22
64461	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 G	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/10/22
64456	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 U	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/10/22
64452	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 G	0.250	23,100 per 12,000 units	23,300 per 12,000 units	2014/08/28	100,000,000	2013/10/22
64388	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 A	0.250	23,555 per 10,000 units	23,355 per 10,000 units	2014/06/27	100,000,000	2013/10/22
64389	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 T	0.250	22,850 per 10,000 units	23,020 per 10,000 units	2014/06/27	200,000,000	2013/10/22
64390	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 U	0.250	23,150 per 10,000 units	23,320 per 10,000 units	2014/06/27	200,000,000	2013/10/22
64391	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 V	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/10/22
64363	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 M	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/01/29	200,000,000	2013/10/22
64362	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 Z	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/01/29	200,000,000	2013/10/22
64396	UBS AG	HSBC R Bull CBBC Jun 2014 B	0.250	74.50 per 100 units	77.50 per 100 units	2014/06/09	100,000,000	2013/10/22
64399	UBS AG	HSI R Bull CBBC Aug 2014 L	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/10/22
64451	UBS AG	HSI R Bull CBBC Aug 2014 M	0.250	23,151 per 10,000 units	23,351 per 10,000 units	2014/08/28	300,000,000	2013/10/22
64398	UBS AG	HSI R Bull CBBC Dec 2014 D	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2014/12/30	300,000,000	2013/10/22
64369	UBS AG	HSI R Bear CBBC Feb 2014 M	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/10/22
64370	UBS AG	HSI R Bear CBBC Mar 2014 Z	0.250	23,420 per 12,000 units	23,220 per 12,000 units	2014/03/28	350,000,000	2013/10/22
64397	UBS AG	HSI R Bear CBBC May 2014 B	0.250	26,200 per 38,000 units	25,800 per 38,000 units	2014/05/29	300,000,000	2013/10/22
64468	Credit Suisse AG	HSI R Bull CBBC May 2014 H	0.250	23,136 per 10,000 units	23,336 per 10,000 units	2014/05/29	300,000,000	2013/10/23
64470	Credit Suisse AG	HSI R Bull CBBC May 2014 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/05/29	300,000,000	2013/10/23
64495	Credit Suisse AG	HSI R Bear CBBC Jan 2014 I	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/10/23
64496	Credit Suisse AG	HSI R Bear CBBC Jan 2014 J	0.250	23,429 per 12,000 units	23,229 per 12,000 units	2014/01/29	300,000,000	2013/10/23
64510	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 B	0.250	22,600 per 10,000 units	22,788 per 10,000 units	2014/02/27	120,000,000	2013/10/23
64494	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 P	0.252	23,688 per 20,000 units	23,388 per 20,000 units	2014/02/27	150,000,000	2013/10/23
64477	HK Bank	Anhui Conch R Bull CBBC Dec 2014 A	0.250	23.18 per 100 units	25.18 per 100 units	2014/12/08	50,000,000	2013/10/23
64486	HK Bank	AIA R Bear CBBC Jun 2014 B	0.500	43.18	42.18	2014/06/26	50,000,000	2013/10/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64490	HK Bank	AIA R Bear CBBC Jun 2014 C	0.250	44.68 per 100 units	43.68 per 100 units	2014/06/23	50,000,000	2013/10/23
64471	HK Bank	HSI R Bull CBBC Apr 2014 D	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/04/29	100,000,000	2013/10/23
64474	HK Bank	HSI R Bull CBBC Jun 2014 C	0.250	22,328 per 20,000 units	22,628 per 20,000 units	2014/06/27	400,000,000	2013/10/23
64487	HK Bank	Sands China R Bull CBBC Jul 2014 B	0.250	44.78 per 100 units	47.78 per 100 units	2014/07/28	60,000,000	2013/10/23
64478	HK Bank	Wharf Holdings R Bull CBBC Aug 2014 A	0.250	57.18 per 100 units	60.18 per 100 units	2014/08/18	40,000,000	2013/10/23
64516	J P Morgan SP BV	HSI R Bull CBBC Aug 2014 H	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2013/10/23
64513	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 H	0.250	22,475 per 10,000 units	22,675 per 10,000 units	2014/09/29	300,000,000	2013/10/23
64512	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	22,275 per 10,000 units	22,475 per 10,000 units	2014/10/30	300,000,000	2013/10/23
64511	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 V	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/23
64506	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 D	0.250	22,938 per 12,000 units	23,138 per 12,000 units	2014/07/30	100,000,000	2013/10/23
64509	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 E	0.250	23,028 per 15,000 units	23,228 per 15,000 units	2014/07/30	100,000,000	2013/10/23
64491	UBS AG	HSI R Bull CBBC Aug 2014 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/23
64492	UBS AG	HSI R Bull CBBC Sep 2014 M	0.250	23,136 per 10,000 units	23,336 per 10,000 units	2014/09/29	300,000,000	2013/10/23
64497	UBS AG	HSI R Bear CBBC Jan 2014 M	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/10/23
64505	UBS AG	HSI R Bear CBBC Feb 2014 D	0.250	23,430 per 10,000 units	23,230 per 10,000 units	2014/02/27	300,000,000	2013/10/23
64536	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 A	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2013/10/24
64537	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 B	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/10/24
64527	Credit Suisse AG	HSI R Bull CBBC Jul 2014 T	0.250	23,081 per 12,000 units	23,281 per 12,000 units	2014/07/30	300,000,000	2013/10/24
64529	Credit Suisse AG	HSI R Bull CBBC Jul 2014 U	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/07/30	300,000,000	2013/10/24
64524	Credit Suisse AG	HSI R Bear CBBC Jan 2014 K	0.250	23,438 per 10,000 units	23,238 per 10,000 units	2014/01/29	300,000,000	2013/10/24
64535	Credit Suisse AG	HSI R Bear CBBC Jan 2014 N	0.250	23,295 per 10,000 units	23,095 per 10,000 units	2014/01/29	300,000,000	2013/10/24
64525	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Jan 2014 O	0.250	23,650 per 10,000 units	23,466 per 10,000 units	2014/01/29	120,000,000	2013/10/24
64517	HK Bank	BOCL R Bull CBBC Aug 2014 B	0.250	2.8	2.98	2014/08/18	80,000,000	2013/10/24
64518	HK Bank	China Mobile R Bull CBBC Jul 2014 A	0.250	77.88 per 100 units	80.38 per 100 units	2014/07/14	120,000,000	2013/10/24
64521	HK Bank	China Mobile R Bull CBBC Jul 2014 B	0.250	74.78 per 100 units	77.28 per 100 units	2014/07/28	120,000,000	2013/10/24
64522	HK Bank	China Mobile R Bull CBBC Oct 2014 A	0.250	70.18 per 100 units	72.68 per 100 units	2014/10/06	120,000,000	2013/10/24
64543	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 I	0.250	22,375 per 10,000 units	22,575 per 10,000 units	2014/09/29	300,000,000	2013/10/24
64544	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 E	0.250	22,125 per 10,000 units	22,325 per 10,000 units	2014/10/30	300,000,000	2013/10/24
64541	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 W	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/01/29	300,000,000	2013/10/24
64542	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/10/24
64534	UBS AG	HSI R Bull CBBC Jun 2014 C	0.250	23,081 per 10,000 units	23,281 per 10,000 units	2014/06/27	300,000,000	2013/10/24
64532	UBS AG	HSI R Bull CBBC Jul 2014 Y	0.250	22,525 per 12,000 units	22,725 per 12,000 units	2014/07/30	350,000,000	2013/10/24
64533	UBS AG	HSI R Bull CBBC Sep 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/10/24
64538	UBS AG	HSI R Bear CBBC Jan 2014 P	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/24
64540	UBS AG	HSI R Bear CBBC Mar 2014 C	0.250	23,295 per 12,000 units	23,095 per 12,000 units	2014/03/28	350,000,000	2013/10/24
64561	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/25
64582	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Z	0.250	23,140 per 12,000 units	23,340 per 12,000 units	2014/08/28	300,000,000	2013/10/25
64545	Credit Suisse AG	HSI R Bear CBBC Jan 2014 O	0.250	23,295 per 12,000 units	23,095 per 12,000 units	2014/01/29	300,000,000	2013/10/25
64548	Credit Suisse AG	HSI R Bear CBBC Jan 2014 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/01/29	300,000,000	2013/10/25
64559	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 14 A	0.250	9.3	9.6	2014/04/24	100,000,000	2013/10/25
64558	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 14 A	0.250	10.5	10.2	2014/04/24	100,000,000	2013/10/25
64598	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 C	0.250	22,800 per 10,000 units	22,988 per 10,000 units	2014/02/27	120,000,000	2013/10/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64603	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 F	0.250	23,550 per 10,000 units	23,366 per 10,000 units	2014/02/27	120,000,000	2013/10/25
64606	Goldman Sachs SP (Asia)	HSI R Bull CBBC Feb 2014 K	0.251	22,938 per 20,000 units	23,238 per 20,000 units	2014/02/27	150,000,000	2013/10/25
64553	HK Bank	CNBM R Bull CBBC Jun 2014 B	0.250	6.48	7.28	2014/06/30	50,000,000	2013/10/25
64550	HK Bank	Sands China R Bull CBBC Jul 2014 C	0.250	47.28 per 100 units	50.28 per 100 units	2014/07/21	60,000,000	2013/10/25
64551	HK Bank	Sands China R Bull CBBC Aug 2014 A	0.250	49.78 per 100 units	52.78 per 100 units	2014/08/18	60,000,000	2013/10/25
64554	HK Bank	Sands China R Bear CBBC Sep 2014 A	0.250	66.28 per 100 units	63.28 per 100 units	2014/09/15	50,000,000	2013/10/25
64552	HK Bank	Sands China R Bear CBBC Oct 2014 A	0.250	69.28 per 100 units	66.28 per 100 units	2014/10/20	50,000,000	2013/10/25
64608	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 J	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/10/25
64609	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 F	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2013/10/25
64607	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 X	0.250	23,725 per 10,000 units	23,525 per 10,000 units	2014/01/29	300,000,000	2013/10/25
64610	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 G	0.250	24,400 per 15,000 units	24,200 per 15,000 units	2014/03/28	100,000,000	2013/10/25
64611	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 H	0.250	24,600 per 12,000 units	24,400 per 12,000 units	2014/03/28	100,000,000	2013/10/25
64555	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 W	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/06/27	200,000,000	2013/10/25
64557	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 Q	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2014/01/29	200,000,000	2013/10/25
64573	UBS AG	China Mobile R Bear CBBC Apr 2014 C	0.250	90 per 100 units	87.50 per 100 units	2014/04/14	100,000,000	2013/10/25
64574	UBS AG	CC Bank R Bear CBBC Apr 2014 A	0.250	7.05	6.75	2014/04/07	100,000,000	2013/10/25
64566	UBS AG	Minsheng Bank R Bull CBBC Jul 2014 A	0.250	8.1	8.6	2014/07/21	50,000,000	2013/10/25
64565	UBS AG	Minsheng Bank R Bear CBBC Mar 2014 A	0.250	11	10.5	2014/03/24	50,000,000	2013/10/25
64581	UBS AG	HSBC R Bear CBBC Feb 2014 A	0.250	93 per 100 units	90 per 100 units	2014/02/10	100,000,000	2013/10/25
64594	UBS AG	HSI R Bull CBBC Jun 2014 W	0.250	23,140 per 12,000 units	23,340 per 12,000 units	2014/06/27	350,000,000	2013/10/25
64588	UBS AG	HSI R Bull CBBC Sep 2014 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/10/25
64592	UBS AG	HSI R Bull CBBC Sep 2014 P	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2013/10/25
64546	UBS AG	HSI R Bear CBBC Feb 2014 F	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/10/25
64571	UBS AG	ICBC R Bear CBBC May 2014 B	0.250	6	5.75	2014/05/19	100,000,000	2013/10/25
64570	UBS AG	Sands China R Bull CBBC Jul 2014 D	0.250	47 per 100 units	50 per 100 units	2014/07/28	100,000,000	2013/10/25
64568	UBS AG	Sands China R Bear CBBC May 2014 B	0.250	68 per 100 units	65 per 100 units	2014/05/05	100,000,000	2013/10/25
64575	UBS AG	TCH R Bull CBBC Jun 2014 L	0.265	400 per 100 units	410 per 100 units	2014/06/16	50,000,000	2013/10/25
64624	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Jun 2014 B	0.250	10,200 per 5,000 units	10,400 per 5,000 units	2014/06/27	200,000,000	2013/10/28
64620	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 G	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/10/28
64634	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 L	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/08/28	300,000,000	2013/10/28
64623	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 O	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/02/27	300,000,000	2013/10/28
64666	Credit Suisse AG	HSI R Bull CBBC Aug 2014 D	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/08/28	300,000,000	2013/10/28
64667	Credit Suisse AG	HSI R Bull CBBC Aug 2014 M	0.250	23,276 per 10,000 units	23,476 per 10,000 units	2014/08/28	300,000,000	2013/10/28
64632	Credit Suisse AG	HSI R Bear CBBC Jan 2014 C	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/01/29	300,000,000	2013/10/28
64631	Credit Suisse AG	HSI R Bear CBBC Jan 2014 D	0.250	23,541 per 10,000 units	23,341 per 10,000 units	2014/01/29	300,000,000	2013/10/28
64689	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 D	0.250	23,000 per 10,000 units	23,188 per 10,000 units	2014/02/27	120,000,000	2013/10/28
64687	Goldman Sachs SP (Asia)	HSI R Bear CBBC Feb 2014 Q	0.253	23,688 per 7,000 units	23,488 per 7,000 units	2014/02/27	150,000,000	2013/10/28
64612	HK Bank	AIA R Bull CBBC Jul 2014 A	0.360	36.68	37.68	2014/07/07	60,000,000	2013/10/28
64613	HK Bank	AIA R Bear CBBC Jul 2014 A	0.250	46.18 per 100 units	45.18 per 100 units	2014/07/07	50,000,000	2013/10/28
64614	HK Bank	Galaxy Ent R Bull CBBC Sep 2014 A	0.540	54.77	57.27	2014/09/29	50,000,000	2013/10/28
64616	HK Bank	TCH R Bear CBBC Jul 2014 B	0.630	489.88 per 100 units	479.88 per 100 units	2014/07/28	50,000,000	2013/10/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64688	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 K	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2013/10/28
64617	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 F	0.250	23,600 per 12,000 units	23,400 per 12,000 units	2014/04/29	100,000,000	2013/10/28
64618	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 G	0.250	23,700 per 20,000 units	23,500 per 20,000 units	2014/04/29	100,000,000	2013/10/28
64635	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 X	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2014/06/27	200,000,000	2013/10/28
64658	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 P	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/01/29	200,000,000	2013/10/28
64619	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 R	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2014/01/29	200,000,000	2013/10/28
64683	UBS AG	Galaxy Ent R Bull CBBC Jul 2014 B	0.250	55.50 per 100 units	58 per 100 units	2014/07/21	100,000,000	2013/10/28
64682	UBS AG	Galaxy Ent R Bear CBBC Jun 2014 A	0.250	70.50 per 100 units	68 per 100 units	2014/06/16	100,000,000	2013/10/28
64686	UBS AG	HSI R Bull CBBC Sep 2014 Q	0.250	23,276 per 10,000 units	23,476 per 10,000 units	2014/09/29	300,000,000	2013/10/28
64685	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2013/10/28
64629	UBS AG	HSI R Bear CBBC Jan 2014 R	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/01/29	300,000,000	2013/10/28
64630	UBS AG	HSI R Bear CBBC Mar 2014 D	0.250	23,541 per 10,000 units	23,341 per 10,000 units	2014/03/28	300,000,000	2013/10/28
64681	UBS AG	TCH R Bull CBBC Jul 2014 D	0.420	410 per 100 units	420 per 100 units	2014/07/07	50,000,000	2013/10/28
64673	UBS AG	TCH R Bear CBBC May 2014 A	0.790	530 per 100 units	520 per 100 units	2014/05/26	50,000,000	2013/10/28
64678	UBS AG	TCH R Bear CBBC May 2014 B	0.490	500 per 100 units	490 per 100 units	2014/05/19	50,000,000	2013/10/28
64679	UBS AG	TCH R Bear CBBC May 2014 C	0.290	480 per 100 units	470 per 100 units	2014/05/12	50,000,000	2013/10/28
64712	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2013/10/29
64713	Credit Suisse AG	HSI R Bull CBBC Jul 2014 V	0.250	23,238 per 10,000 units	23,438 per 10,000 units	2014/07/30	300,000,000	2013/10/29
64714	Credit Suisse AG	HSI R Bull CBBC Jul 2014 W	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/07/30	300,000,000	2013/10/29
64718	Credit Suisse AG	HSI R Bear CBBC Jan 2014 G	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/01/29	300,000,000	2013/10/29
64719	Credit Suisse AG	HSI R Bear CBBC Jan 2014 Q	0.250	23,516 per 12,000 units	23,316 per 12,000 units	2014/01/29	300,000,000	2013/10/29
64699	HK Bank	CSOP A50 ETF R Bull CBBC Jul 2014 A	0.250	8.8	9.1	2014/07/28	80,000,000	2013/10/29
64701	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2014 A	0.250	8.5	8.8	2014/08/11	80,000,000	2013/10/29
64702	HK Bank	Galaxy Ent R Bull CBBC Aug 2014 B	0.520	57.77	60.27	2014/08/11	50,000,000	2013/10/29
64695	HK Bank	Hutchison R Bull CBBC Jul 2014 A	0.250	80.10 per 100 units	83.10 per 100 units	2014/07/07	60,000,000	2013/10/29
64704	HK Bank	Sands China R Bull CBBC Sep 2014 A	0.250	52.28 per 100 units	55.28 per 100 units	2014/09/01	60,000,000	2013/10/29
64703	HK Bank	Sands China R Bear CBBC Nov 2014 A	0.250	72.28 per 100 units	69.28 per 100 units	2014/11/03	50,000,000	2013/10/29
64690	HK Bank	TCH R Bull CBBC Jun 2014 E	0.420	408.88 per 100 units	418.88 per 100 units	2014/06/30	50,000,000	2013/10/29
64692	HK Bank	TCH R Bull CBBC Jul 2014 B	0.320	418.88 per 100 units	428.88 per 100 units	2014/07/14	50,000,000	2013/10/29
64693	HK Bank	TCH R Bear CBBC Jun 2014 C	0.650	509.88 per 100 units	499.88 per 100 units	2014/06/23	50,000,000	2013/10/29
64694	HK Bank	TCH R Bear CBBC Sep 2014 A	1.200	559.88 per 100 units	549.88 per 100 units	2014/09/29	50,000,000	2013/10/29
64726	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 G	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2013/10/29
64725	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Y	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/01/29	300,000,000	2013/10/29
64717	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 H	0.250	23,120 per 10,000 units	23,320 per 10,000 units	2014/08/28	100,000,000	2013/10/29
64705	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14Y	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/10/29
64710	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 14S	0.250	24,100 per 10,000 units	23,930 per 10,000 units	2014/01/29	200,000,000	2013/10/29
64711	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan 14U	0.250	24,200 per 10,000 units	24,030 per 10,000 units	2014/01/29	200,000,000	2013/10/29
64724	UBS AG	AIA R Bull CBBC Oct 2014 A	0.250	36.88 per 100 units	37.88 per 100 units	2014/10/20	100,000,000	2013/10/29
64715	UBS AG	HSI R Bull CBBC Aug 2014 O	0.250	23,238 per 10,000 units	23,438 per 10,000 units	2014/08/28	300,000,000	2013/10/29
64721	UBS AG	HSI R Bear CBBC Feb 2014 O	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/02/27	300,000,000	2013/10/29
64720	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	23,516 per 12,000 units	23,316 per 12,000 units	2014/04/29	350,000,000	2013/10/29
64765	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 F	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/10/30
64751	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64734	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 N	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64758	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 U	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64775	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 W	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64748	Credit Suisse AG	HSI R Bull CBBC Aug 2014 H	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/10/30
64749	Credit Suisse AG	HSI R Bull CBBC Aug 2014 I	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2014/08/28	300,000,000	2013/10/30
64762	Credit Suisse AG	HSI R Bull CBBC Aug 2014 L	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/10/30
64747	Credit Suisse AG	HSI R Bull CBBC Aug 2014 N	0.250	23,099 per 12,000 units	23,299 per 12,000 units	2014/08/28	300,000,000	2013/10/30
64750	Credit Suisse AG	HSI R Bear CBBC Jan 2014 F	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64766	Credit Suisse AG	HSI R Bear CBBC Jan 2014 L	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64768	Credit Suisse AG	HSI R Bear CBBC Jan 2014 R	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64767	Credit Suisse AG	HSI R Bear CBBC Jan 2014 V	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64728	HK Bank	China Mobile R Bear CBBC Aug 2014 A	0.250	88.88 per 100 units	86.38 per 100 units	2014/08/04	50,000,000	2013/10/30
64730	HK Bank	GCL-Poly Energy R Bull CBBC Oct 2014 A	0.700	1.88 per unit	2.18 per unit	2014/10/27	30,000,000	2013/10/30
64731	HK Bank	GCL-Poly Energy R Bull CBBC Dec 2014 B	0.250	1.5	1.8	2014/12/30	50,000,000	2013/10/30
64727	HK Bank	HSI R Bull CBBC Jun 2014 D	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2014/06/27	100,000,000	2013/10/30
64729	HK Bank	Hutchison R Bull CBBC Jul 2014 B	0.250	90.38 per 100 units	93.38 per 100 units	2014/07/07	60,000,000	2013/10/30
64777	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 A	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64779	J P Morgan SP BV	HSI R Bear CBBC Jan 2014 Z	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64776	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 O	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64778	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64759	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 F	0.250	23,068 per 20,000 units	23,268 per 20,000 units	2014/07/30	100,000,000	2013/10/30
64761	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 G	0.250	22,968 per 15,000 units	23,168 per 15,000 units	2014/07/30	100,000,000	2013/10/30
64760	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 B	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/06/27	100,000,000	2013/10/30
64784	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 A	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/07/30	100,000,000	2013/10/30
64783	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 B	0.250	23,400 per 20,000 units	23,200 per 20,000 units	2014/08/28	100,000,000	2013/10/30
64746	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 Z	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/10/30
64745	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 T	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2014/01/29	200,000,000	2013/10/30
64743	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Jan14 W	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/01/29	200,000,000	2013/10/30
64769	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 D	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/02/27	200,000,000	2013/10/30
64774	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 E	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/02/27	200,000,000	2013/10/30
64737	UBS AG	HSI R Bull CBBC Jun 2014 Z	0.250	23,115 per 10,000 units	23,315 per 10,000 units	2014/06/27	300,000,000	2013/10/30
64763	UBS AG	HSI R Bull CBBC Aug 2014 P	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2013/10/30
64764	UBS AG	HSI R Bull CBBC Oct 2014 D	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/10/30
64741	UBS AG	HSI R Bear CBBC Jan 2014 K	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64782	UBS AG	HSI R Bear CBBC Jan 2014 L	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/01/29	300,000,000	2013/10/30
64780	UBS AG	HSI R Bear CBBC Feb 2014 S	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/30
64785	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 J	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/10/31
64788	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 K	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/10/31
64803	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 C	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2013/10/31
64807	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 D	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/10/31
64809	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 E	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/04/29	300,000,000	2013/10/31
64810	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 F	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/04/29	300,000,000	2013/10/31
64799	Credit Suisse AG	HSI R Bull CBBC Jul 2014 X	0.250	22,799 per 12,000 units	22,999 per 12,000 units	2014/07/30	300,000,000	2013/10/31

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64800	Credit Suisse AG	HSI R Bull CBBC Jul 2014 Y	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/07/30	300,000,000	2013/10/31
64801	Credit Suisse AG	HSI R Bull CBBC Jul 2014 Z	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/07/30	300,000,000	2013/10/31
64815	Credit Suisse AG	HSI R Bear CBBC Feb 2014 B	0.250	22,996 per 10,000 units	22,796 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64813	Credit Suisse AG	HSI R Bear CBBC Feb 2014 J	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64811	Credit Suisse AG	HSI R Bear CBBC Feb 2014 W	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64812	Credit Suisse AG	HSI R Bear CBBC Feb 2014 X	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64828	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 Q	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64829	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 R	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64830	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64793	Standard Chartered Bank	HSI R Bull CBBC Jul 2014 H	0.250	22,628 per 20,000 units	22,828 per 20,000 units	2014/07/30	100,000,000	2013/10/31
64791	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 I	0.250	22,700 per 20,000 units	22,900 per 20,000 units	2014/08/28	150,000,000	2013/10/31
64792	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 J	0.250	22,798 per 15,000 units	22,998 per 15,000 units	2014/08/28	100,000,000	2013/10/31
64826	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 H	0.250	23,088 per 20,000 units	22,888 per 20,000 units	2014/04/29	150,000,000	2013/10/31
64794	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14C	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2013/10/31
64795	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14E	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/10/31
64796	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 14F	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/02/27	200,000,000	2013/10/31
64802	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb 14G	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/02/27	200,000,000	2013/10/31
64797	UBS AG	HSI R Bull CBBC Jul 2014 Z	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/07/30	300,000,000	2013/10/31
64798	UBS AG	HSI R Bull CBBC Oct 2014 E	0.250	22,799 per 10,000 units	22,999 per 10,000 units	2014/10/30	300,000,000	2013/10/31
64821	UBS AG	HSI R Bear CBBC Feb 2014 K	0.250	22,996 per 10,000 units	22,796 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64819	UBS AG	HSI R Bear CBBC Feb 2014 R	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64816	UBS AG	HSI R Bear CBBC Feb 2014 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64820	UBS AG	HSI R Bear CBBC Feb 2014 U	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/02/27	300,000,000	2013/10/31
64855	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 O	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2013/11/01
64917	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 G	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/04/29	300,000,000	2013/11/01
64921	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 H	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2013/11/01
64857	Credit Suisse AG	HSI R Bull CBBC Aug 2014 J	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/11/01
64856	Credit Suisse AG	HSI R Bull CBBC Aug 2014 O	0.250	22,635 per 10,000 units	22,835 per 10,000 units	2014/08/28	300,000,000	2013/11/01
64896	Credit Suisse AG	HSI R Bull CBBC Aug 2014 P	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2013/11/01
64916	Credit Suisse AG	HSI R Bear CBBC Feb 2014 A	0.250	22,899 per 10,000 units	22,699 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64897	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Y	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64859	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr14A	0.250	9	9.3	2014/04/30	100,000,000	2013/11/01
64860	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr14A	0.250	10.2	9.9	2014/04/30	100,000,000	2013/11/01
64862	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 14 B	0.250	8.7	9	2014/04/30	100,000,000	2013/11/01
64866	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 14 C	0.250	9	9.3	2014/04/30	100,000,000	2013/11/01
64864	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 14 B	0.250	10.8	10.5	2014/04/30	100,000,000	2013/11/01
64867	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 14 C	0.250	10.2	9.9	2014/04/30	100,000,000	2013/11/01
64834	HK Bank	China Mobile R Bear CBBC Jul 2014 A	0.250	85.88 per 100 units	83.38 per 100 units	2014/07/21	50,000,000	2013/11/01
64844	HK Bank	CC Bank R Bull CBBC Jul 2014 B	0.250	4.68	4.98	2014/07/07	80,000,000	2013/11/01
64846	HK Bank	A50 R Bull CBBC Jul 2014 B	0.250	8.93	9.23	2014/07/28	100,000,000	2013/11/01

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64850	HK Bank	A50 R Bull CBBC Aug 2014 A	0.250	8.73	9.03	2014/08/25	100,000,000	2013/11/01
64851	HK Bank	A50 R Bull CBBC Sep 2014 A	0.250	8.53	8.83	2014/09/29	100,000,000	2013/11/01
64852	HK Bank	A50 R Bull CBBC Oct 2014 A	0.250	8.33	8.63	2014/10/24	100,000,000	2013/11/01
64845	HK Bank	A50 R Bear CBBC Jun 2014 A	0.250	10.68	10.38	2014/06/23	50,000,000	2013/11/01
64833	HK Bank	HSI R Bear CBBC Apr 2014 F	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/04/29	100,000,000	2013/11/01
64832	HK Bank	HSI R Bear CBBC May 2014 F	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2014/05/29	100,000,000	2013/11/01
64842	HK Bank	ICBC R Bull CBBC Aug 2014 A	0.250	4.38	4.63	2014/08/19	80,000,000	2013/11/01
64929	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 L	0.250	21,950 per 10,000 units	22,150 per 10,000 units	2014/09/29	300,000,000	2013/11/01
64882	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 H	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2013/11/01
64938	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 I	0.250	22,075 per 10,000 units	22,275 per 10,000 units	2014/10/30	300,000,000	2013/11/01
64939	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 J	0.250	21,750 per 10,000 units	21,950 per 10,000 units	2014/10/30	300,000,000	2013/11/01
64932	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 T	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64933	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64934	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 V	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64935	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 W	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64885	Standard Chartered Bank	HSI R Bull CBBC May 2014 A	0.250	22,466 per 20,000 units	22,666 per 20,000 units	2014/05/29	150,000,000	2013/11/01
64894	Standard Chartered Bank	HSI R Bull CBBC May 2014 B	0.250	22,510 per 10,000 units	22,710 per 10,000 units	2014/05/29	100,000,000	2013/11/01
64892	Standard Chartered Bank	HSI R Bear CBBC May 2014 A	0.250	22,977 per 20,000 units	22,777 per 20,000 units	2014/05/29	150,000,000	2013/11/01
64884	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 B	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/06/27	200,000,000	2013/11/01
64883	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 F	0.250	22,250 per 10,000 units	22,420 per 10,000 units	2014/06/27	200,000,000	2013/11/01
64873	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 H	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/02/27	200,000,000	2013/11/01
64880	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 I	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/02/27	200,000,000	2013/11/01
64913	UBS AG	China Mobile R Bull CBBC May 2014 A	0.250	67.50 per 100 units	70 per 100 units	2014/05/05	100,000,000	2013/11/01
64928	UBS AG	China Mobile R Bear CBBC Apr 2014 D	0.250	87.50 per 100 units	85 per 100 units	2014/04/14	100,000,000	2013/11/01
64911	UBS AG	China Mobile R Bear CBBC Jul 2014 A	0.250	92.50 per 100 units	90 per 100 units	2014/07/21	100,000,000	2013/11/01
64905	UBS AG	GCL-Poly R Bull CBBC Dec 2014 A	0.250	1.9	2.2	2014/12/22	50,000,000	2013/11/01
64906	UBS AG	GCL-Poly R Bull CBBC Dec 2014 B	0.250	1.58	1.88	2014/12/08	50,000,000	2013/11/01
64908	UBS AG	HSCEI R Bear CBBC Feb 2014 D	0.250	10,950 per 10,000 units	10,750 per 10,000 units	2014/02/27	100,000,000	2013/11/01
64853	UBS AG	HSI R Bull CBBC Jun 2014 D	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/06/27	300,000,000	2013/11/01
64854	UBS AG	HSI R Bull CBBC Oct 2014 F	0.250	22,635 per 10,000 units	22,835 per 10,000 units	2014/10/30	300,000,000	2013/11/01
64927	UBS AG	HSI R Bear CBBC Feb 2014 B	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/02/27	300,000,000	2013/11/01
64922	UBS AG	HSI R Bear CBBC Mar 2014 E	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/03/28	300,000,000	2013/11/01
64923	UBS AG	HSI R Bear CBBC Mar 2014 G	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/03/28	300,000,000	2013/11/01
64914	UBS AG	Wharf Holdings R Bull CBBC Jun 2014 A	0.250	52 per 100 units	55 per 100 units	2014/06/09	50,000,000	2013/11/01
64989	Credit Suisse AG	HSI R Bull CBBC May 2014 J	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/05/29	300,000,000	2013/11/04
64991	Credit Suisse AG	HSI R Bull CBBC May 2014 M	0.250	22,603 per 12,000 units	22,803 per 12,000 units	2014/05/29	300,000,000	2013/11/04
64986	Credit Suisse AG	HSI R Bear CBBC Feb 2014 P	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/02/27	300,000,000	2013/11/04
64987	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Q	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/11/04
64969	HK Bank	CM Bank R Bull CBBC Jun 2014 A	0.250	12.48	13.28	2014/06/30	40,000,000	2013/11/04
64974	HK Bank	CM Bank R Bear CBBC Apr 2014 A	0.250	16.58	15.78	2014/04/07	40,000,000	2013/11/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64953	HK Bank	HSI R Bull CBBC May 2014 C	0.250	21,618 per 10,000 units	21,818 per 10,000 units	2014/05/29	100,000,000	2013/11/04
64961	HK Bank	HSI R Bull CBBC May 2014 D	0.250	21,118 per 10,000 units	21,318 per 10,000 units	2014/05/29	100,000,000	2013/11/04
64959	HK Bank	HSI R Bull CBBC Jun 2014 E	0.250	21,588 per 15,000 units	21,788 per 15,000 units	2014/06/27	100,000,000	2013/11/04
64967	HK Bank	HSI R Bull CBBC Jul 2014 B	0.250	19,328 per 20,000 units	19,628 per 20,000 units	2014/07/30	400,000,000	2013/11/04
64947	HK Bank	HSI R Bear CBBC May 2014 G	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/05/29	100,000,000	2013/11/04
64968	HK Bank	ICBC R Bull CBBC Sep 2014 A	0.250	4.03	4.28	2014/09/15	80,000,000	2013/11/04
64978	HK Bank	Kunlun Energy R Bull CBBC Jun 2014 A	0.250	9.68	10.48	2014/06/30	40,000,000	2013/11/04
64977	HK Bank	Kunlun Energy R Bull CBBC Jul 2014 A	0.250	9	9.8	2014/07/28	40,000,000	2013/11/04
65028	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 M	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2013/11/04
65029	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/10/30	300,000,000	2013/11/04
65001	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 D	0.250	22,050 per 10,000 units	22,220 per 10,000 units	2014/06/27	200,000,000	2013/11/04
65011	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 G	0.250	22,150 per 10,000 units	22,320 per 10,000 units	2014/06/27	200,000,000	2013/11/04
65016	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 H	0.250	22,350 per 10,000 units	22,520 per 10,000 units	2014/06/27	200,000,000	2013/11/04
65017	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 J	0.250	23,000 per 10,000 units	22,830 per 10,000 units	2014/02/27	200,000,000	2013/11/04
65019	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 K	0.250	23,100 per 10,000 units	22,930 per 10,000 units	2014/02/27	200,000,000	2013/11/04
65020	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 L	0.250	23,200 per 10,000 units	23,030 per 10,000 units	2014/02/27	200,000,000	2013/11/04
65026	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 M	0.250	23,300 per 10,000 units	23,130 per 10,000 units	2014/02/27	200,000,000	2013/11/04
65027	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 N	0.250	23,400 per 10,000 units	23,230 per 10,000 units	2014/02/27	200,000,000	2013/11/04
65000	UBS AG	CSOP A50 ETF R Bear CBBC Mar 2014 A	0.250	10.3	10	2014/03/03	100,000,000	2013/11/04
64999	UBS AG	A50 China R Bear CBBC Mar 2014 A	0.250	10.8	10.5	2014/03/10	100,000,000	2013/11/04
64997	UBS AG	HSI R Bull CBBC Jun 2014 B	0.250	22,275 per 12,000 units	22,475 per 12,000 units	2014/06/27	350,000,000	2013/11/04
64998	UBS AG	HSI R Bull CBBC Jun 2014 K	0.250	22,175 per 12,000 units	22,375 per 12,000 units	2014/06/27	350,000,000	2013/11/04
64994	UBS AG	HSI R Bull CBBC Sep 2014 R	0.250	22,607 per 10,000 units	22,807 per 10,000 units	2014/09/29	300,000,000	2013/11/04
64996	UBS AG	HSI R Bull CBBC Sep 2014 S	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2013/11/04
64984	UBS AG	HSI R Bear CBBC Mar 2014 J	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/11/04
64979	UBS AG	HSI R Bear CBBC Apr 2014 Q	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/11/04
65065	Credit Suisse AG	HSI R Bull CBBC Oct 2014 B	0.250	22,488 per 10,000 units	22,688 per 10,000 units	2014/10/30	300,000,000	2013/11/05
65066	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	22,646 per 12,000 units	22,846 per 12,000 units	2014/10/30	300,000,000	2013/11/05
65058	Credit Suisse AG	HSI R Bear CBBC Mar 2014 L	0.250	22,976 per 12,000 units	22,776 per 12,000 units	2014/03/28	300,000,000	2013/11/05
65060	Credit Suisse AG	HSI R Bear CBBC Mar 2014 M	0.250	23,188 per 10,000 units	22,988 per 10,000 units	2014/03/28	300,000,000	2013/11/05
65088	Bank of East Asia	CM Bank R Bull CBBC Sep 2014 A	0.250	12.58	13.28	2014/09/30	50,000,000	2013/11/05
65089	Bank of East Asia	CM Bank R Bear CBBC Apr 2014 A	0.250	16.28	15.48	2014/04/02	50,000,000	2013/11/05
65092	Bank of East Asia	Galaxy Ent R Bear CBBC Apr 2014 A	0.250	67 per 100 units	66 per 100 units	2014/04/16	60,000,000	2013/11/05
65093	Bank of East Asia	Hutchison R Bull CBBC Dec 2014 A	0.250	88 per 100 units	91 per 100 units	2014/12/22	50,000,000	2013/11/05
65096	Bank of East Asia	Kunlun Energy R Bull CBBC Dec 2014 A	0.250	10.6	11	2014/12/22	50,000,000	2013/11/05
65097	Bank of East Asia	Sands China R Bull CBBC Dec 2014 A	0.250	48 per 100 units	50.60 per 100 units	2014/12/22	60,000,000	2013/11/05
65048	HK Bank	China Life R Bull CBBC Jun 2014 A	0.320	16.98	17.98	2014/06/23	60,000,000	2013/11/05
65049	HK Bank	China Life R Bull CBBC Jul 2014 A	0.420	15.98	16.98	2014/07/14	60,000,000	2013/11/05
65045	HK Bank	Galaxy Ent R Bear CBBC Aug 2014 B	0.250	66.38 per 100 units	63.88 per 100 units	2014/08/25	40,000,000	2013/11/05
65033	HK Bank	HSCEI R Bull CBBC May 2014 A	0.250	9,188 per 10,000 units	9,388 per 10,000 units	2014/05/29	100,000,000	2013/11/05
65035	HK Bank	HSCEI R Bull CBBC Jun 2014 A	0.250	8,888 per 10,000 units	9,088 per 10,000 units	2014/06/27	100,000,000	2013/11/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65037	HK Bank	HSCEI R Bull CBBC Jul 2014 A	0.250	8,588 per 10,000 units	8,788 per 10,000 units	2014/07/30	100,000,000	2013/11/05
65031	HK Bank	HSCEI R Bear CBBC May 2014 D	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2014/05/29	68,000,000	2013/11/05
65032	HK Bank	HSCEI R Bear CBBC Jun 2014 A	0.250	11,188 per 10,000 units	10,988 per 10,000 units	2014/06/27	68,000,000	2013/11/05
65039	HK Bank	Sands China R Bear CBBC Aug 2014 A	0.250	63.68 per 100 units	60.68 per 100 units	2014/08/25	50,000,000	2013/11/05
65057	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 X	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/02/27	300,000,000	2013/11/05
65071	Standard Chartered Bank	HSI R Bull CBBC May 2014 C	0.250	21,688 per 12,000 units	21,888 per 12,000 units	2014/05/29	100,000,000	2013/11/05
65082	Standard Chartered Bank	HSI R Bear CBBC May 2014 B	0.250	23,300 per 15,000 units	23,100 per 15,000 units	2014/05/29	100,000,000	2013/11/05
65063	UBS AG	CM Bank R Bull CBBC Jul 2014 A	0.250	12.7	13.5	2014/07/21	40,000,000	2013/11/05
65051	UBS AG	HSI R Bull CBBC Aug 2014 Q	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2013/11/05
65086	UBS AG	HSI R Bull CBBC Dec 2014 E	0.250	22,646 per 10,000 units	22,846 per 10,000 units	2014/12/30	300,000,000	2013/11/05
65061	UBS AG	HSI R Bear CBBC Apr 2014 R	0.250	22,976 per 12,000 units	22,776 per 12,000 units	2014/04/29	350,000,000	2013/11/05
65062	UBS AG	Kunlun Energy R Bull CBBC Jun 2014 A	0.250	9.7	10.5	2014/06/16	40,000,000	2013/11/05
65146	Credit Suisse AG	HSI R Bull CBBC Oct 2014 D	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65147	Credit Suisse AG	HSI R Bull CBBC Oct 2014 E	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65148	Credit Suisse AG	HSI R Bull CBBC Oct 2014 F	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65150	Credit Suisse AG	HSI R Bull CBBC Oct 2014 G	0.250	23,104 per 10,000 units	23,304 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65123	Credit Suisse AG	HSI R Bear CBBC Mar 2014 N	0.250	23,050 per 12,000 units	22,850 per 12,000 units	2014/03/28	300,000,000	2013/11/06
65128	Credit Suisse AG	HSI R Bear CBBC Mar 2014 O	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/03/28	300,000,000	2013/11/06
65135	Credit Suisse AG	HSI R Bear CBBC Mar 2014 P	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/03/28	300,000,000	2013/11/06
65137	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/03/28	300,000,000	2013/11/06
65139	Credit Suisse AG	HSI R Bear CBBC Mar 2014 R	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/03/28	300,000,000	2013/11/06
65115	HK Bank	Sinopec Corp R Bull CBBC Oct 2014 A	0.250	4.63	4.93	2014/10/13	80,000,000	2013/11/06
65117	HK Bank	Sinopec Corp R Bear CBBC Aug 2014 B	0.250	6.78	6.48	2014/08/04	40,000,000	2013/11/06
65107	HK Bank	HKEx R Bull CBBC May 2014 B	0.250	111.88 per 100 units	117.88 per 100 units	2014/05/26	60,000,000	2013/11/06
65104	HK Bank	HKEx R Bull CBBC Sep 2014 A	0.250	106.18 per 100 units	112.18 per 100 units	2014/09/29	60,000,000	2013/11/06
65118	HK Bank	PetCh R Bull CBBC Oct 2014 A	0.250	7.18	7.58	2014/10/13	60,000,000	2013/11/06
65113	HK Bank	SHK Ppt R Bull CBBC Jul 2014 A	0.250	91.48 per 100 units	95.48 per 100 units	2014/07/14	60,000,000	2013/11/06
65114	HK Bank	SHK Ppt R Bear CBBC Oct 2014 A	0.250	119.18 per 100 units	115.18 per 100 units	2014/10/13	50,000,000	2013/11/06
65098	HK Bank	TCH R Bull CBBC Sep 2014 A	0.350	386.88 per 100 units	396.88 per 100 units	2014/09/15	50,000,000	2013/11/06
65101	HK Bank	TCH R Bull CBBC Oct 2014 A	0.450	376.88 per 100 units	386.88 per 100 units	2014/10/06	50,000,000	2013/11/06
65102	HK Bank	TCH R Bear CBBC Jul 2014 C	0.450	459.88 per 100 units	449.88 per 100 units	2014/07/07	50,000,000	2013/11/06
65167	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 L	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65171	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 M	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65164	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 A	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/11/27	300,000,000	2013/11/06
65170	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 B	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/11/27	300,000,000	2013/11/06
65169	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 A	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2013/11/06
65144	Standard Chartered Bank	HSI R Bull CBBC May 2014 D	0.250	22,840 per 10,000 units	23,040 per 10,000 units	2014/05/29	100,000,000	2013/11/06
65156	Standard Chartered Bank	HSI R Bull CBBC May 2014 E	0.250	22,980 per 20,000 units	23,180 per 20,000 units	2014/05/29	100,000,000	2013/11/06
65157	Standard Chartered Bank	HSI R Bull CBBC May 2014 F	0.250	23,103 per 10,000 units	23,303 per 10,000 units	2014/05/29	100,000,000	2013/11/06
65120	Standard Chartered Bank	HSI R Bear CBBC May 2014 C	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/05/29	100,000,000	2013/11/06
65121	Standard Chartered Bank	HSI R Bear CBBC May 2014 D	0.250	23,050 per 12,000 units	22,850 per 12,000 units	2014/05/29	100,000,000	2013/11/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65138	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 I	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/11/06
65151	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 L	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/11/06
65119	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 O	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/02/27	200,000,000	2013/11/06
65153	UBS AG	HSI R Bull CBBC Aug 2014 R	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2013/11/06
65154	UBS AG	HSI R Bull CBBC Oct 2014 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65155	UBS AG	HSI R Bull CBBC Oct 2014 H	0.250	23,104 per 10,000 units	23,304 per 10,000 units	2014/10/30	300,000,000	2013/11/06
65143	UBS AG	HSI R Bear CBBC Feb 2014 A	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/11/06
65142	UBS AG	HSI R Bear CBBC Feb 2014 E	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/11/06
65131	UBS AG	HSI R Bear CBBC Feb 2014 Y	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/11/06
65134	UBS AG	HSI R Bear CBBC Apr 2014 S	0.250	23,047 per 12,000 units	22,847 per 12,000 units	2014/04/29	350,000,000	2013/11/06
65192	Credit Suisse AG	HSI R Bull CBBC Sep 2014 B	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2013/11/07
65193	Credit Suisse AG	HSI R Bull CBBC Sep 2014 C	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/11/07
65194	Credit Suisse AG	HSI R Bull CBBC Sep 2014 D	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/11/07
65215	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Z	0.250	23,407 per 10,000 units	23,207 per 10,000 units	2014/02/27	300,000,000	2013/11/07
65232	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 E	0.250	22,700 per 10,000 units	22,888 per 10,000 units	2014/02/27	150,000,000	2013/11/07
65235	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 O	0.250	22,500 per 10,000 units	22,688 per 10,000 units	2014/03/28	150,000,000	2013/11/07
65245	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 P	0.250	22,900 per 10,000 units	23,088 per 10,000 units	2014/03/28	150,000,000	2013/11/07
65234	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Feb 2014 G	0.250	23,750 per 10,000 units	23,566 per 10,000 units	2014/02/27	150,000,000	2013/11/07
65178	HK Bank	Minsheng Bank R Bull CBBC Oct 2014 A	0.280	6.38	6.88	2014/10/13	40,000,000	2013/11/07
65179	HK Bank	Minsheng Bank R Bear CBBC Aug 2014 A	0.250	10.68	10.18	2014/08/04	40,000,000	2013/11/07
65185	HK Bank	CNBM R Bull CBBC Jun 2014 C	0.250	6	6.8	2014/06/30	50,000,000	2013/11/07
65183	HK Bank	China Shenhua R Bear CBBC Dec 2014 A	0.250	30.88 per 100 units	28.88 per 100 units	2014/12/22	40,000,000	2013/11/07
65177	HK Bank	HSCEI R Bull CBBC Jul 2014 B	0.250	10,088 per 10,000 units	10,288 per 10,000 units	2014/07/30	100,000,000	2013/11/07
65174	HK Bank	HSI R Bull CBBC May 2014 E	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/05/29	100,000,000	2013/11/07
65172	HK Bank	HSI R Bull CBBC Jun 2014 F	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/06/27	100,000,000	2013/11/07
65191	HK Bank	Ping An R Bear CBBC Aug 2014 A	0.250	73.88 per 100 units	69.88 per 100 units	2014/08/04	40,000,000	2013/11/07
65231	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 N	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/11/07
65230	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 C	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/11/27	300,000,000	2013/11/07
65229	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 B	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/12/30	300,000,000	2013/11/07
65227	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 Y	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/11/07
65212	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 B	0.250	23,500 per 20,000 units	23,300 per 20,000 units	2014/07/30	100,000,000	2013/11/07
65214	Standard Chartered Bank	HSI R Bear CBBC Jul 2014 C	0.250	23,420 per 15,000 units	23,220 per 15,000 units	2014/07/30	100,000,000	2013/11/07
65195	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 M	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/11/07
65221	UBS AG	Galaxy Ent R Bear CBBC Apr 2014 A	0.250	65.50 per 100 units	63 per 100 units	2014/04/28	100,000,000	2013/11/07
65210	UBS AG	HSI R Bull CBBC Jul 2014 O	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/07/30	300,000,000	2013/11/07
65201	UBS AG	HSI R Bull CBBC Aug 2014 S	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2013/11/07
65202	UBS AG	HSI R Bull CBBC Aug 2014 T	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/11/07
65224	UBS AG	HSI R Bear CBBC Feb 2014 J	0.250	23,407 per 10,000 units	23,207 per 10,000 units	2014/02/27	300,000,000	2013/11/07
65219	UBS AG	HSI R Bear CBBC Apr 2014 T	0.250	23,575 per 12,000 units	23,375 per 12,000 units	2014/04/29	350,000,000	2013/11/07
65218	UBS AG	HSI R Bear CBBC May 2014 C	0.250	23,775 per 12,000 units	23,575 per 12,000 units	2014/05/29	350,000,000	2013/11/07

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65223	UBS AG	TCH R Bear CBBC Jun 2014 D	0.370	460 per 100 units	450 per 100 units	2014/06/30	50,000,000	2013/11/07
65264	Credit Suisse AG	HSI R Bull CBBC Aug 2014 R	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/11/08
65269	Credit Suisse AG	HSI R Bull CBBC Aug 2014 S	0.250	23,049 per 12,000 units	23,249 per 12,000 units	2014/08/28	300,000,000	2013/11/08
65262	Credit Suisse AG	HSI R Bear CBBC Feb 2014 R	0.250	23,393 per 10,000 units	23,193 per 10,000 units	2014/02/27	300,000,000	2013/11/08
65263	Credit Suisse AG	HSI R Bear CBBC Feb 2014 T	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/02/27	300,000,000	2013/11/08
65301	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Feb 2014 F	0.250	22,800 per 10,000 units	22,988 per 10,000 units	2014/02/27	150,000,000	2013/11/08
65279	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 Q	0.250	22,600 per 10,000 units	22,788 per 10,000 units	2014/03/28	150,000,000	2013/11/08
65278	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 O	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2013/11/08
65277	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 D	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/11/27	300,000,000	2013/11/08
65275	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 Z	0.250	23,825 per 10,000 units	23,625 per 10,000 units	2014/02/27	300,000,000	2013/11/08
65272	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/04/29	300,000,000	2013/11/08
65271	J P Morgan SP BV	HSI R Bear CBBC May 2014 A	0.250	24,400 per 10,000 units	24,200 per 10,000 units	2014/05/29	300,000,000	2013/11/08
65259	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 K	0.250	21,188 per 30,000 units	21,388 per 30,000 units	2014/08/28	100,000,000	2013/11/08
65248	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 A	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/06/27	200,000,000	2013/11/08
65249	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 P	0.250	23,500 per 10,000 units	23,330 per 10,000 units	2014/02/27	200,000,000	2013/11/08
65260	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 Q	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2014/02/27	200,000,000	2013/11/08
65261	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 R	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2014/02/27	200,000,000	2013/11/08
65266	UBS AG	HSI R Bull CBBC Sep 2014 U	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/11/08
65268	UBS AG	HSI R Bull CBBC Sep 2014 V	0.250	23,049 per 10,000 units	23,249 per 10,000 units	2014/09/29	300,000,000	2013/11/08
65265	UBS AG	HSI R Bear CBBC Apr 2014 U	0.250	23,393 per 12,000 units	23,193 per 12,000 units	2014/04/29	350,000,000	2013/11/08
65313	Credit Suisse AG	HSI R Bull CBBC Aug 2014 X	0.250	23,048 per 10,000 units	23,248 per 10,000 units	2014/08/28	300,000,000	2013/11/11
65323	Credit Suisse AG	HSI R Bear CBBC Mar 2014 S	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/11/11
65331	Credit Suisse AG	HSI R Bear CBBC Mar 2014 T	0.250	23,390 per 10,000 units	23,190 per 10,000 units	2014/03/28	300,000,000	2013/11/11
65315	Credit Suisse AG	HSI R Bear CBBC Apr 2014 H	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/04/29	300,000,000	2013/11/11
65322	Credit Suisse AG	HSI R Bear CBBC Apr 2014 I	0.250	23,938 per 10,000 units	23,738 per 10,000 units	2014/04/29	300,000,000	2013/11/11
65338	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 N	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/09/29	300,000,000	2013/11/11
65339	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 A	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/02/27	300,000,000	2013/11/11
65314	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 S	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/02/27	200,000,000	2013/11/11
65312	UBS AG	HSI R Bull CBBC May 2014 Z	0.250	23,025 per 12,000 units	23,225 per 12,000 units	2014/05/29	350,000,000	2013/11/11
65311	UBS AG	HSI R Bull CBBC Sep 2014 W	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2013/11/11
65332	UBS AG	HSI R Bear CBBC Apr 2014 V	0.250	23,725 per 12,000 units	23,525 per 12,000 units	2014/04/29	350,000,000	2013/11/11
65337	UBS AG	HSI R Bear CBBC Apr 2014 W	0.250	23,390 per 10,000 units	23,190 per 10,000 units	2014/04/29	300,000,000	2013/11/11
65399	Credit Suisse AG	HSI R Bull CBBC Oct 2014 H	0.250	22,989 per 10,000 units	23,189 per 10,000 units	2014/10/30	300,000,000	2013/11/12
65400	Credit Suisse AG	HSI R Bull CBBC Oct 2014 I	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2014/10/30	300,000,000	2013/11/12
65465	Credit Suisse AG	HSI R Bear CBBC Feb 2014 S	0.250	23,239 per 10,000 units	23,039 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65443	Credit Suisse AG	HSI R Bear CBBC Feb 2014 U	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65449	Credit Suisse AG	HSI R Bear CBBC Feb 2014 V	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65377	HK Bank	CM Bank R Bull CBBC Jul 2014 A	0.250	13.48	14.28	2014/07/14	40,000,000	2013/11/12
65384	HK Bank	CM Bank R Bear CBBC Jul 2014 A	0.280	18.08	17.28	2014/07/14	40,000,000	2013/11/12
65364	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2014 A	0.250	8.2	8.5	2014/09/15	80,000,000	2013/11/12
65373	HK Bank	Kunlun Energy R Bull CBBC Jul 2014 B	0.250	11.28	12.08	2014/07/14	40,000,000	2013/11/12
65374	HK Bank	Kunlun Energy R Bull CBBC Aug 2014 A	0.250	10.48	11.28	2014/08/18	40,000,000	2013/11/12
65394	HK Bank	Lenovo R Bear CBBC Jun 2014 B	0.250	9.63	9.13	2014/06/09	40,000,000	2013/11/12

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65483	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 O	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2014/09/29	300,000,000	2013/11/12
65484	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 B	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65412	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 L	0.250	22,798 per 20,000 units	22,998 per 20,000 units	2014/08/28	100,000,000	2013/11/12
65424	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 I	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/03/28	100,000,000	2013/11/12
65421	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 N	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/11/12
65422	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 T	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/02/27	200,000,000	2013/11/12
65433	UBS AG	China Life R Bull CBBC Aug 2014 A	0.250	18.88	19.88	2014/08/11	100,000,000	2013/11/12
65429	UBS AG	CSOP A50 ETF R Bear CBBC Apr 2014 A	0.250	10.8	10.5	2014/04/07	100,000,000	2013/11/12
65437	UBS AG	HKEx R Bear CBBC Mar 2014 B	0.250	140 per 100 units	135 per 100 units	2014/03/31	100,000,000	2013/11/12
65440	UBS AG	HSBC R Bull CBBC Jun 2014 C	0.250	79.50 per 100 units	82.50 per 100 units	2014/06/23	100,000,000	2013/11/12
65427	UBS AG	HSCEI R Bull CBBC Sep 2014 A	0.250	10,050 per 10,000 units	10,250 per 10,000 units	2014/09/29	100,000,000	2013/11/12
65411	UBS AG	HSI R Bull CBBC Jun 2014 M	0.250	22,989 per 10,000 units	23,189 per 10,000 units	2014/06/27	300,000,000	2013/11/12
65406	UBS AG	HSI R Bull CBBC Oct 2014 I	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/11/12
65480	UBS AG	HSI R Bear CBBC Feb 2014 D	0.250	23,240 per 10,000 units	23,040 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65475	UBS AG	HSI R Bear CBBC Feb 2014 M	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/11/12
65439	UBS AG	Kunlun Energy R Bull CBBC Jul 2014 A	0.250	11.6	12	2014/07/21	40,000,000	2013/11/12
65436	UBS AG	Ping An R Bear CBBC Apr 2014 B	0.250	74 per 100 units	70 per 100 units	2014/04/07	100,000,000	2013/11/12
65511	Credit Suisse AG	HSI R Bull CBBC Oct 2014 J	0.250	22,902 per 12,000 units	23,102 per 12,000 units	2014/10/30	300,000,000	2013/11/13
65500	Credit Suisse AG	HSI R Bear CBBC Mar 2014 U	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/03/28	300,000,000	2013/11/13
65492	HK Bank	ABC R Bull CBBC Aug 2014 C	0.250	3.18	3.43	2014/08/11	80,000,000	2013/11/13
65490	HK Bank	CC Bank R Bull CBBC Jul 2014 C	0.250	5.33	5.63	2014/07/28	80,000,000	2013/11/13
65491	HK Bank	CC Bank R Bull CBBC Aug 2014 A	0.250	5.03	5.33	2014/08/11	80,000,000	2013/11/13
65485	HK Bank	China Life R Bull CBBC Jul 2014 B	0.300	18.28	19.28	2014/07/28	60,000,000	2013/11/13
65535	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/11/13
65537	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 E	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/02/27	300,000,000	2013/11/13
65493	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 M	0.250	22,838 per 12,000 units	23,038 per 12,000 units	2014/08/28	100,000,000	2013/11/13
65532	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 A	0.250	22,688 per 8,000 units	22,888 per 8,000 units	2014/12/30	80,000,000	2013/11/13
65495	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 J	0.250	23,244 per 12,000 units	23,044 per 12,000 units	2014/03/28	100,000,000	2013/11/13
65507	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 O	0.250	22,650 per 10,000 units	22,820 per 10,000 units	2014/06/27	200,000,000	2013/11/13
65510	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 U	0.250	23,400 per 10,000 units	23,230 per 10,000 units	2014/02/27	200,000,000	2013/11/13
65512	UBS AG	HSI R Bull CBBC Aug 2014 U	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2013/11/13
65524	UBS AG	HSI R Bull CBBC Aug 2014 V	0.250	22,902 per 12,000 units	23,102 per 12,000 units	2014/08/28	350,000,000	2013/11/13
65503	UBS AG	HSI R Bear CBBC Mar 2014 O	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/03/28	300,000,000	2013/11/13
65505	UBS AG	HSI R Bear CBBC Apr 2014 X	0.250	23,239 per 12,000 units	23,039 per 12,000 units	2014/04/29	350,000,000	2013/11/13
65506	UBS AG	TCH R Bear CBBC Jun 2014 E	0.360	450 per 100 units	440 per 100 units	2014/06/09	50,000,000	2013/11/13
65619	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 I	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/11/14
65621	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/11/14
65626	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 K	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2013/11/14
65646	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 L	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/11/14
65647	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 M	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/11/14

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65584	Credit Suisse AG	HSI R Bull CBBC Sep 2014 E	0.250	22,836 per 12,000 units	23,036 per 12,000 units	2014/09/29	300,000,000	2013/11/14
65585	Credit Suisse AG	HSI R Bull CBBC Sep 2014 G	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/09/29	300,000,000	2013/11/14
65588	Credit Suisse AG	HSI R Bull CBBC Sep 2014 H	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2013/11/14
65669	Credit Suisse AG	HSI R Bear CBBC Feb 2014 B	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65670	Credit Suisse AG	HSI R Bear CBBC Feb 2014 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65689	Credit Suisse AG	HSI R Bear CBBC Feb 2014 X	0.250	23,082 per 10,000 units	22,882 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65706	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC May 14 A	0.250	8.4	8.7	2014/05/13	100,000,000	2013/11/14
65707	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC May 14 A	0.250	11.1	10.8	2014/05/13	100,000,000	2013/11/14
65538	HK Bank	Anhui Conch R Bull CBBC Dec 2014 B	0.250	22.98 per 100 units	24.98 per 100 units	2014/12/18	50,000,000	2013/11/14
65547	HK Bank	CNOOC R Bull CBBC Jun 2014 A	0.370	11.88	12.68	2014/06/30	60,000,000	2013/11/14
65545	HK Bank	Sinopec Corp R Bull CBBC Aug 2014 A	0.250	5.73	6.03	2014/08/04	80,000,000	2013/11/14
65546	HK Bank	Hutchison R Bear CBBC Nov 2014 A	0.250	103.88 per 100 units	100.88 per 100 units	2014/11/17	50,000,000	2013/11/14
65702	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/10/30	300,000,000	2013/11/14
65705	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 C	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65703	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 F	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65577	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 B	0.250	22,750 per 20,000 units	22,950 per 20,000 units	2014/12/30	150,000,000	2013/11/14
65578	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 C	0.250	22,628 per 20,000 units	22,828 per 20,000 units	2014/12/30	150,000,000	2013/11/14
65575	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 K	0.250	23,948 per 20,000 units	23,748 per 20,000 units	2014/03/28	150,000,000	2013/11/14
65648	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 I	0.250	23,088 per 20,000 units	22,888 per 20,000 units	2014/04/29	150,000,000	2013/11/14
65591	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 U	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/11/14
65592	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 V	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/02/27	200,000,000	2013/11/14
65600	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 W	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/02/27	200,000,000	2013/11/14
65565	UBS AG	HSI R Bull CBBC Jun 2014 X	0.250	22,836 per 10,000 units	23,036 per 10,000 units	2014/06/27	300,000,000	2013/11/14
65555	UBS AG	HSI R Bull CBBC Aug 2014 W	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2013/11/14
65697	UBS AG	HSI R Bear CBBC Feb 2014 F	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65698	UBS AG	HSI R Bear CBBC Feb 2014 S	0.250	23,082 per 10,000 units	22,882 per 10,000 units	2014/02/27	300,000,000	2013/11/14
65692	UBS AG	HSI R Bear CBBC Mar 2014 P	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/03/28	300,000,000	2013/11/14
68581 #	HK Bank	Minsheng Bank R Bull CBBC Mar 2014 B	0.148	7.18	7.68	2014/03/10	88,000,000	2013/11/14
65722	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/11/15
65755	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 R	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/08/28	300,000,000	2013/11/15
65723	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 N	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2013/11/15
65756	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 O	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2013/11/15
65738	Credit Suisse AG	HSI R Bull CBBC Aug 2014 T	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/11/15
65743	Credit Suisse AG	HSI R Bull CBBC Aug 2014 U	0.250	22,488 per 10,000 units	22,688 per 10,000 units	2014/08/28	300,000,000	2013/11/15
65732	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Y	0.250	22,681 per 10,000 units	22,881 per 10,000 units	2014/08/28	300,000,000	2013/11/15
65745	Credit Suisse AG	HSI R Bear CBBC Mar 2014 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/03/28	300,000,000	2013/11/15
65778	Credit Suisse AG	HSI R Bear CBBC Mar 2014 W	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/03/28	300,000,000	2013/11/15
65780	Credit Suisse AG	HSI R Bear CBBC Mar 2014 X	0.250	22,945 per 10,000 units	22,745 per 10,000 units	2014/03/28	300,000,000	2013/11/15
65709	HK Bank	HSI R Bear CBBC Jun 2014 D	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2014/06/27	100,000,000	2013/11/15
65711	HK Bank	HSI R Bear CBBC Jun 2014 E	0.250	24,488 per 15,000 units	24,288 per 15,000 units	2014/06/27	100,000,000	2013/11/15

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65712	HK Bank	HSI R Bear CBBC Jun 2014 F	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/06/27	100,000,000	2013/11/15
65787	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 P	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/09/29	300,000,000	2013/11/15
65792	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 D	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/02/27	300,000,000	2013/11/15
65793	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 I	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/02/27	300,000,000	2013/11/15
65739	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 D	0.250	22,577 per 20,000 units	22,777 per 20,000 units	2014/12/30	150,000,000	2013/11/15
65761	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 J	0.250	22,977 per 12,000 units	22,777 per 12,000 units	2014/04/29	100,000,000	2013/11/15
65716	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 V	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2013/11/15
65717	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 X	0.250	23,088 per 10,000 units	22,988 per 10,000 units	2014/02/27	200,000,000	2013/11/15
65754	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 Y	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/02/27	200,000,000	2013/11/15
65725	UBS AG	HSI R Bull CBBC Jul 2014 Q	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2013/11/15
65730	UBS AG	HSI R Bull CBBC Oct 2014 J	0.250	22,681 per 10,000 units	22,881 per 10,000 units	2014/10/30	300,000,000	2013/11/15
65770	UBS AG	HSI R Bear CBBC Feb 2014 K	0.250	22,947 per 10,000 units	22,747 per 10,000 units	2014/02/27	300,000,000	2013/11/15
65765	UBS AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/03/28	300,000,000	2013/11/15
65773	UBS AG	TCH R Bear CBBC Apr 2014 C	0.395	440 per 100 units	430 per 100 units	2014/04/07	50,000,000	2013/11/15
65937	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 I	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/08/28	300,000,000	2013/11/18
65939	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Q	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/08/28	300,000,000	2013/11/18
65912	Credit Suisse AG	HSI R Bull CBBC Nov 2014 A	0.250	22,288 per 10,000 units	22,488 per 10,000 units	2014/11/27	300,000,000	2013/11/18
65914	Credit Suisse AG	HSI R Bull CBBC Nov 2014 B	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/11/27	300,000,000	2013/11/18
65915	Credit Suisse AG	HSI R Bull CBBC Nov 2014 C	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	300,000,000	2013/11/18
65916	Credit Suisse AG	HSI R Bull CBBC Nov 2014 D	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/11/27	300,000,000	2013/11/18
65920	Credit Suisse AG	HSI R Bull CBBC Nov 2014 E	0.250	22,869 per 10,000 units	23,069 per 10,000 units	2014/11/27	300,000,000	2013/11/18
65880	Credit Suisse AG	HSI R Bear CBBC Feb 2014 A	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/02/27	300,000,000	2013/11/18
65885	Credit Suisse AG	HSI R Bear CBBC Feb 2014 W	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/11/18
65908	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Y	0.250	23,288 per 10,000 units	23,088 per 10,000 units	2014/02/27	300,000,000	2013/11/18
65871	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 B	0.256	23,488 per 7,000 units	23,288 per 7,000 units	2014/03/28	150,000,000	2013/11/18
65874	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 C	0.257	23,288 per 7,000 units	23,088 per 7,000 units	2014/03/28	150,000,000	2013/11/18
65875	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 D	0.256	23,088 per 7,000 units	22,888 per 7,000 units	2014/03/28	150,000,000	2013/11/18
65876	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 E	0.255	23,688 per 20,000 units	23,388 per 20,000 units	2014/03/28	150,000,000	2013/11/18
65879	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 F	0.252	23,488 per 20,000 units	23,188 per 20,000 units	2014/03/28	150,000,000	2013/11/18
65836	HK Bank	CC Bank R Bear CBBC Aug 2014 A	0.250	6.48	6.18	2014/08/18	40,000,000	2013/11/18
65855	HK Bank	Minsheng Bank R Bear CBBC Jul 2014 A	0.250	9.78	9.28	2014/07/14	40,000,000	2013/11/18
65865	HK Bank	HSCEI R Bear CBBC May 2014 E	0.250	10,888 per 10,000 units	10,688 per 10,000 units	2014/05/29	68,000,000	2013/11/18
65797	HK Bank	HSI R Bear CBBC May 2014 H	0.250	23,088 per 15,000 units	22,888 per 15,000 units	2014/05/29	100,000,000	2013/11/18
65796	HK Bank	HSI R Bear CBBC Jun 2014 G	0.250	22,988 per 15,000 units	22,788 per 15,000 units	2014/06/27	100,000,000	2013/11/18
65795	HK Bank	HSI R Bear CBBC Jul 2014 B	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/07/30	100,000,000	2013/11/18
65832	HK Bank	TCH R Bull CBBC Aug 2014 A	0.400	363.88 per 100 units	373.88 per 100 units	2014/08/25	50,000,000	2013/11/18
65833	HK Bank	TCH R Bull CBBC Sep 2014 B	0.500	353.88 per 100 units	363.88 per 100 units	2014/09/29	50,000,000	2013/11/18
65823	HK Bank	TCH R Bear CBBC Jun 2014 D	0.420	439.88 per 100 units	429.88 per 100 units	2014/06/26	50,000,000	2013/11/18
65826	HK Bank	TCH R Bear CBBC Jul 2014 D	0.310	429.88 per 100 units	419.88 per 100 units	2014/07/10	50,000,000	2013/11/18
65798	HK Bank	TCH R Bear CBBC Sep 2014 B	0.460	443.88 per 100 units	433.88 per 100 units	2014/09/29	50,000,000	2013/11/18
65830	HK Bank	TCH R Bear CBBC Sep 2014 C	0.360	433.88 per 100 units	423.88 per 100 units	2014/09/15	50,000,000	2013/11/18
65799	HK Bank	TCH R Bear CBBC Oct 2014 A	0.520	449.88 per 100 units	439.88 per 100 units	2014/10/27	50,000,000	2013/11/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
65946	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Q	0.250	22,869 per 10,000 units	23,069 per 10,000 units	2014/09/29	300,000,000	2013/11/18
65942	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 R	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2013/11/18
65943	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 S	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2013/11/18
65866	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 E	0.250	21,500 per 15,000 units	21,700 per 15,000 units	2014/12/30	100,000,000	2013/11/18
65868	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 F	0.250	21,822 per 12,000 units	22,022 per 12,000 units	2014/12/30	100,000,000	2013/11/18
65904	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 P	0.250	22,588 per 10,000 units	22,688 per 10,000 units	2014/06/27	200,000,000	2013/11/18
65907	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 Z	0.250	23,000 per 10,000 units	22,830 per 10,000 units	2014/02/27	200,000,000	2013/11/18
65934	UBS AG	HSI R Bull CBBC Sep 2014 X	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2013/11/18
65933	UBS AG	HSI R Bull CBBC Oct 2014 K	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/10/30	300,000,000	2013/11/18
65935	UBS AG	HSI R Bull CBBC Oct 2014 L	0.250	22,869 per 10,000 units	23,069 per 10,000 units	2014/10/30	300,000,000	2013/11/18
65911	UBS AG	HSI R Bear CBBC Feb 2014 R	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/02/27	300,000,000	2013/11/18
65892	UBS AG	HSI R Bear CBBC Mar 2014 S	0.250	22,945 per 12,000 units	22,745 per 12,000 units	2014/03/28	350,000,000	2013/11/18
65910	UBS AG	HSI R Bear CBBC Apr 2014 Y	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2013/11/18
65970	Credit Suisse AG	HSI R Bull CBBC Oct 2014 K	0.250	22,868 per 12,000 units	23,068 per 12,000 units	2014/10/30	300,000,000	2013/11/19
65971	Credit Suisse AG	HSI R Bull CBBC Oct 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/11/19
66063	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Y	0.250	23,102 per 12,000 units	22,902 per 12,000 units	2014/03/28	300,000,000	2013/11/19
66050	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 A	0.258	22,688 per 7,000 units	22,888 per 7,000 units	2014/03/28	150,000,000	2013/11/19
66057	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 B	0.274	22,488 per 20,000 units	22,788 per 20,000 units	2014/03/28	150,000,000	2013/11/19
65969	HK Bank	A50 R Bull CBBC Jun 2014 B	0.250	9.38	9.68	2014/06/30	100,000,000	2013/11/19
65956	HK Bank	HSI R Bull CBBC May 2014 F	0.250	22,488 per 15,000 units	22,688 per 15,000 units	2014/05/29	100,000,000	2013/11/19
65963	HK Bank	HSI R Bull CBBC Jul 2014 C	0.250	22,288 per 15,000 units	22,488 per 15,000 units	2014/07/30	100,000,000	2013/11/19
65950	HK Bank	HSI R Bull CBBC Aug 2014 C	0.250	22,788 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2013/11/19
65951	HK Bank	HSI R Bear CBBC Jun 2014 H	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2014/06/27	100,000,000	2013/11/19
65968	HK Bank	Lenovo R Bull CBBC Oct 2014 A	0.250	7.73	8.23	2014/10/06	60,000,000	2013/11/19
65967	HK Bank	TCH R Bull CBBC Aug 2014 B	0.250	391.88 per 100 units	401.88 per 100 units	2014/08/04	50,000,000	2013/11/19
66071	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 E	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/11/27	300,000,000	2013/11/19
66072	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 J	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/11/19
65973	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 S	0.250	22,888 per 10,000 units	22,988 per 10,000 units	2014/06/27	200,000,000	2013/11/19
66042	UBS AG	Cheung Kong R Bull CBBC Jun 2014 B	0.250	109 per 100 units	115 per 100 units	2014/06/16	100,000,000	2013/11/19
65997	UBS AG	China Life R Bear CBBC May 2014 A	0.305	23.88	22.88	2014/05/12	100,000,000	2013/11/19
66002	UBS AG	Minsheng Bank R Bull CBBC Jul 2014 B	0.250	6.88	7.38	2014/07/14	50,000,000	2013/11/19
66017	UBS AG	Sinopec Corp R Bull CBBC Jul 2014 A	0.250	5.75	6.05	2014/07/21	100,000,000	2013/11/19
66025	UBS AG	Galaxy Ent R Bull CBBC May 2014 A	0.250	52.50 per 100 units	55 per 100 units	2014/05/26	100,000,000	2013/11/19
66038	UBS AG	HSBC R Bull CBBC Sep 2014 A	0.250	72 per 100 units	75 per 100 units	2014/09/08	100,000,000	2013/11/19
66027	UBS AG	HSBC R Bear CBBC Apr 2014 A	0.250	98 per 100 units	95 per 100 units	2014/04/07	100,000,000	2013/11/19
65980	UBS AG	HSI R Bull CBBC Jun 2014 N	0.250	22,860 per 12,000 units	23,060 per 12,000 units	2014/06/27	350,000,000	2013/11/19
65974	UBS AG	HSI R Bull CBBC Aug 2014 X	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/11/19
65979	UBS AG	HSI R Bull CBBC Oct 2014 M	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/11/19
66067	UBS AG	HSI R Bear CBBC Feb 2014 T	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/02/27	300,000,000	2013/11/19
65990	UBS AG	HSI R Bear CBBC Mar 2014 T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/03/28	300,000,000	2013/11/19
65993	UBS AG	HSI R Bear CBBC Mar 2014 U	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/03/28	300,000,000	2013/11/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66068	UBS AG	HSI R Bear CBBC Mar 2014 W	0.250	23,102 per 12,000 units	22,902 per 12,000 units	2014/03/28	350,000,000	2013/11/19
65985	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/04/29	300,000,000	2013/11/19
65983	UBS AG	HSI R Bear CBBC Apr 2014 Z	0.250	24,800 per 10,000 units	24,600 per 10,000 units	2014/04/29	300,000,000	2013/11/19
66006	UBS AG	Sands China R Bear CBBC May 2014 C	0.250	63 per 100 units	60 per 100 units	2014/05/05	100,000,000	2013/11/19
66026	UBS AG	SHK Ppt R Bear CBBC Feb 2014 A	0.250	113 per 100 units	109 per 100 units	2014/02/24	100,000,000	2013/11/19
66081	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 S	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2013/11/20
66208	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 T	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/08/28	300,000,000	2013/11/20
66213	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Z	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/08/28	300,000,000	2013/11/20
66084	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 P	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66101	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 Q	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66224	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 R	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66345	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 S	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66348	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 T	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66349	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 U	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66421	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 V	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66085	Credit Suisse AG	HSI R Bull CBBC Sep 2014 I	0.250	22,701 per 12,000 units	22,901 per 12,000 units	2014/09/29	300,000,000	2013/11/20
66088	Credit Suisse AG	HSI R Bull CBBC Sep 2014 J	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2014/09/29	300,000,000	2013/11/20
66090	Credit Suisse AG	HSI R Bull CBBC Sep 2014 K	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/09/29	300,000,000	2013/11/20
66093	Credit Suisse AG	HSI R Bull CBBC Sep 2014 L	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2013/11/20
66197	Credit Suisse AG	HSI R Bull CBBC Sep 2014 M	0.250	22,200 per 10,000 units	22,400 per 10,000 units	2014/09/29	300,000,000	2013/11/20
66198	Credit Suisse AG	HSI R Bear CBBC Feb 2014 P	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66283	Credit Suisse AG	HSI R Bear CBBC Feb 2014 Q	0.250	22,750 per 10,000 units	22,550 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66286	Credit Suisse AG	HSI R Bear CBBC Feb 2014 S	0.250	22,664 per 10,000 units	22,464 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66126	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 C	0.253	22,388 per 7,000 units	22,588 per 7,000 units	2014/03/28	150,000,000	2013/11/20
66157	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 G	0.256	22,888 per 7,000 units	22,688 per 7,000 units	2014/03/28	150,000,000	2013/11/20
66158	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 H	0.255	23,288 per 20,000 units	22,988 per 20,000 units	2014/03/28	150,000,000	2013/11/20
66162	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 I	0.252	23,088 per 20,000 units	22,788 per 20,000 units	2014/03/28	150,000,000	2013/11/20
66104	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 T	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2013/11/20
66454	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 U	0.250	22,263 per 10,000 units	22,463 per 10,000 units	2014/10/30	300,000,000	2013/11/20
66114	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 F	0.250	21,850 per 10,000 units	22,050 per 10,000 units	2014/11/27	300,000,000	2013/11/20
66444	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 G	0.250	21,550 per 10,000 units	21,750 per 10,000 units	2014/11/27	300,000,000	2013/11/20
66106	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 C	0.250	21,650 per 10,000 units	21,850 per 10,000 units	2014/12/30	300,000,000	2013/11/20
66443	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 M	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66435	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 N	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/03/28	300,000,000	2013/11/20
66436	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 O	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/11/20
66076	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 N	0.250	22,388 per 20,000 units	22,588 per 20,000 units	2014/08/28	150,000,000	2013/11/20
66077	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 O	0.250	22,466 per 20,000 units	22,666 per 20,000 units	2014/08/28	150,000,000	2013/11/20
66184	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 P	0.250	22,133 per 20,000 units	22,333 per 20,000 units	2014/08/28	150,000,000	2013/11/20
66288	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 Q	0.250	22,260 per 20,000 units	22,460 per 20,000 units	2014/08/28	150,000,000	2013/11/20
66174	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 K	0.250	22,806 per 10,000 units	22,606 per 10,000 units	2014/04/29	100,000,000	2013/11/20
66287	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 L	0.250	22,688 per 20,000 units	22,488 per 20,000 units	2014/04/29	150,000,000	2013/11/20
66259	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 J	0.250	21,288 per 10,000 units	21,388 per 10,000 units	2014/06/27	200,000,000	2013/11/20
66231	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 Q	0.250	20,888 per 10,000 units	20,988 per 10,000 units	2014/06/27	200,000,000	2013/11/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66248	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 R	0.250	21,088 per 10,000 units	21,188 per 10,000 units	2014/06/27	200,000,000	2013/11/20
66094	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 T	0.250	22,488 per 10,000 units	22,588 per 10,000 units	2014/06/27	200,000,000	2013/11/20
66333	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 W	0.250	20,488 per 10,000 units	20,588 per 10,000 units	2014/06/27	200,000,000	2013/11/20
66341	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 X	0.250	20,688 per 10,000 units	20,788 per 10,000 units	2014/06/27	200,000,000	2013/11/20
66095	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 A	0.250	22,638 per 10,000 units	22,738 per 10,000 units	2014/07/30	200,000,000	2013/11/20
66272	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 B	0.250	22,038 per 10,000 units	22,138 per 10,000 units	2014/07/30	200,000,000	2013/11/20
66280	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 C	0.250	22,338 per 10,000 units	22,438 per 10,000 units	2014/07/30	200,000,000	2013/11/20
66100	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 D	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/02/27	200,000,000	2013/11/20
66281	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 E	0.250	22,738 per 10,000 units	22,638 per 10,000 units	2014/02/27	200,000,000	2013/11/20
66342	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 G	0.250	22,588 per 10,000 units	22,488 per 10,000 units	2014/02/27	200,000,000	2013/11/20
66080	UBS AG	HSI R Bull CBBC Jun 2014 P	0.250	22,701 per 12,000 units	22,901 per 12,000 units	2014/06/27	350,000,000	2013/11/20
66078	UBS AG	HSI R Bull CBBC Aug 2014 Y	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2013/11/20
66079	UBS AG	HSI R Bull CBBC Sep 2014 Y	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2013/11/20
66282	UBS AG	HSI R Bull CBBC Oct 2014 N	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/10/30	300,000,000	2013/11/20
66331	UBS AG	HSI R Bear CBBC Feb 2014 B	0.250	22,664 per 10,000 units	22,464 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66308	UBS AG	HSI R Bear CBBC Feb 2014 U	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/02/27	300,000,000	2013/11/20
66292	UBS AG	HSI R Bear CBBC Mar 2014 X	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/03/28	300,000,000	2013/11/20
66304	UBS AG	HSI R Bear CBBC Mar 2014 Y	0.250	22,900 per 10,000 units	22,700 per 10,000 units	2014/03/28	300,000,000	2013/11/20
66290	UBS AG	HSI R Bear CBBC Apr 2014 C	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/04/29	300,000,000	2013/11/20
66776	Credit Suisse AG	HSI R Bull CBBC Jul 2014 K	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/07/30	300,000,000	2013/11/21
66780	Credit Suisse AG	HSI R Bull CBBC Jul 2014 M	0.250	22,460 per 12,000 units	22,660 per 12,000 units	2014/07/30	300,000,000	2013/11/21
66599	Credit Suisse AG	HSI R Bear CBBC Mar 2014 F	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66604	Credit Suisse AG	HSI R Bear CBBC Mar 2014 G	0.250	22,800 per 10,000 units	22,600 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66606	Credit Suisse AG	HSI R Bear CBBC Mar 2014 L	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66596	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Z	0.250	22,665 per 12,000 units	22,465 per 12,000 units	2014/03/28	300,000,000	2013/11/21
66565	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun14A	0.250	74.50 per 100 units	77 per 100 units	2014/06/03	80,000,000	2013/11/21
66593	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jun14A	0.250	85.50 per 100 units	83 per 100 units	2014/06/03	80,000,000	2013/11/21
66796	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 B	0.250	23,050 per 10,000 units	22,866 per 10,000 units	2014/03/28	150,000,000	2013/11/21
66801	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 C	0.250	23,250 per 10,000 units	23,066 per 10,000 units	2014/03/28	150,000,000	2013/11/21
66803	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 D	0.250	22,188 per 20,000 units	22,488 per 20,000 units	2014/03/28	120,000,000	2013/11/21
66531	HK Bank	HSI R Bull CBBC Jun 2014 G	0.250	22,088 per 15,000 units	22,288 per 15,000 units	2014/06/27	100,000,000	2013/11/21
66532	HK Bank	HSI R Bull CBBC Jul 2014 D	0.250	21,888 per 15,000 units	22,088 per 15,000 units	2014/07/30	100,000,000	2013/11/21
66510	HK Bank	HSI R Bear CBBC May 2014 I	0.250	22,888 per 15,000 units	22,688 per 15,000 units	2014/05/29	100,000,000	2013/11/21
66511	HK Bank	HSI R Bear CBBC Jun 2014 I	0.250	22,788 per 15,000 units	22,588 per 15,000 units	2014/06/27	100,000,000	2013/11/21
66455	HK Bank	HSI R Bear CBBC Aug 2014 B	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2013/11/21
66554	HK Bank	ICBC R Bear CBBC Oct 2014 A	0.250	5.68	5.43	2014/10/06	40,000,000	2013/11/21
66552	HK Bank	TCH R Bear CBBC Jul 2014 E	0.250	413.88 per 100 units	403.88 per 100 units	2014/07/16	50,000,000	2013/11/21
66536	HK Bank	TCH R Bear CBBC Aug 2014 A	0.290	418.88 per 100 units	408.88 per 100 units	2014/08/27	50,000,000	2013/11/21
66545	HK Bank	TCH R Bear CBBC Aug 2014 B	0.250	408.88 per 100 units	398.88 per 100 units	2014/08/20	50,000,000	2013/11/21
66534	HK Bank	TCH R Bear CBBC Sep 2014 D	0.350	423.88 per 100 units	413.88 per 100 units	2014/09/04	50,000,000	2013/11/21
66816	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 V	0.250	22,449 per 10,000 units	22,649 per 10,000 units	2014/10/30	300,000,000	2013/11/21
66858	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 W	0.250	22,225 per 10,000 units	22,425 per 10,000 units	2014/10/30	300,000,000	2013/11/21

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66854	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 H	0.250	22,025 per 10,000 units	22,225 per 10,000 units	2014/11/27	300,000,000	2013/11/21
66862	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 I	0.250	22,300 per 10,000 units	22,500 per 10,000 units	2014/11/27	300,000,000	2013/11/21
66831	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 P	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66853	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Q	0.250	23,025 per 10,000 units	22,825 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66743	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 E	0.250	22,538 per 10,000 units	22,638 per 10,000 units	2014/06/27	200,000,000	2013/11/21
66642	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 Y	0.250	20,988 per 10,000 units	21,088 per 10,000 units	2014/06/27	200,000,000	2013/11/21
66647	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 Z	0.250	21,188 per 10,000 units	21,288 per 10,000 units	2014/06/27	200,000,000	2013/11/21
66616	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 D	0.250	20,588 per 10,000 units	20,688 per 10,000 units	2014/07/30	200,000,000	2013/11/21
66636	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 E	0.250	20,788 per 10,000 units	20,888 per 10,000 units	2014/07/30	200,000,000	2013/11/21
66681	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 F	0.250	22,638 per 10,000 units	22,538 per 10,000 units	2014/02/27	200,000,000	2013/11/21
66730	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 H	0.250	22,788 per 10,000 units	22,688 per 10,000 units	2014/02/27	200,000,000	2013/11/21
66749	UBS AG	HSI R Bull CBBC Jul 2014 N	0.250	22,460 per 12,000 units	22,660 per 12,000 units	2014/07/30	350,000,000	2013/11/21
66781	UBS AG	HSI R Bull CBBC Sep 2014 Z	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/09/29	300,000,000	2013/11/21
66741	UBS AG	HSI R Bear CBBC Feb 2014 Y	0.250	22,700 per 10,000 units	22,500 per 10,000 units	2014/02/27	300,000,000	2013/11/21
66740	UBS AG	HSI R Bear CBBC Mar 2014 R	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/03/28	300,000,000	2013/11/21
66737	UBS AG	HSI R Bear CBBC Apr 2014 F	0.250	22,675 per 12,000 units	22,475 per 12,000 units	2014/04/29	300,000,000	2013/11/21
66970	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 W	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/04/29	300,000,000	2013/11/22
66971	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 X	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/11/22
66989	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 Y	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/11/22
67033	Credit Suisse AG	HSI R Bull CBBC Aug 2014 G	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/11/22
67048	Credit Suisse AG	HSI R Bull CBBC Aug 2014 K	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/11/22
67050	Credit Suisse AG	HSI R Bull CBBC Aug 2014 V	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2013/11/22
67031	Credit Suisse AG	HSI R Bull CBBC Aug 2014 W	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/08/28	300,000,000	2013/11/22
67071	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Z	0.250	22,832 per 10,000 units	23,032 per 10,000 units	2014/08/28	300,000,000	2013/11/22
66921	Credit Suisse AG	HSI R Bear CBBC Apr 2014 J	0.250	22,850 per 10,000 units	22,650 per 10,000 units	2014/04/29	300,000,000	2013/11/22
66922	Credit Suisse AG	HSI R Bear CBBC Apr 2014 K	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/04/29	300,000,000	2013/11/22
66941	Credit Suisse AG	HSI R Bear CBBC Apr 2014 L	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/11/22
66864	HK Bank	HSI R Bull CBBC Jun 2014 H	0.250	22,388 per 15,000 units	22,588 per 15,000 units	2014/06/27	100,000,000	2013/11/22
66866	HK Bank	TCH R Bull CBBC Jul 2014 C	0.250	396.88 per 100 units	406.88 per 100 units	2014/07/24	50,000,000	2013/11/22
66865	HK Bank	TCH R Bull CBBC Aug 2014 C	0.300	383.88 per 100 units	393.88 per 100 units	2014/08/14	50,000,000	2013/11/22
67114	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 X	0.250	22,832 per 10,000 units	23,032 per 10,000 units	2014/10/30	300,000,000	2013/11/22
67113	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 J	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/11/27	300,000,000	2013/11/22
67112	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 D	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/12/30	300,000,000	2013/11/22
67111	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 K	0.250	23,325 per 10,000 units	23,125 per 10,000 units	2014/02/27	300,000,000	2013/11/22
67109	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 R	0.250	23,525 per 10,000 units	23,325 per 10,000 units	2014/03/28	300,000,000	2013/11/22
67029	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 A	0.250	22,688 per 20,000 units	22,888 per 20,000 units	2014/09/29	150,000,000	2013/11/22
66992	Standard Chartered Bank	HSI R Bear CBBC May 2014 E	0.250	22,866 per 12,000 units	22,666 per 12,000 units	2014/05/29	100,000,000	2013/11/22
67000	Standard Chartered Bank	HSI R Bear CBBC May 2014 F	0.250	22,977 per 15,000 units	22,777 per 15,000 units	2014/05/29	100,000,000	2013/11/22
67006	Standard Chartered Bank	HSI R Bear CBBC May 2014 G	0.250	23,088 per 20,000 units	22,888 per 20,000 units	2014/05/29	100,000,000	2013/11/22
67018	Standard Chartered Bank	HSI R Bear CBBC May 2014 H	0.250	23,238 per 12,000 units	23,038 per 12,000 units	2014/05/29	100,000,000	2013/11/22
67009	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 I	0.250	22,838 per 10,000 units	22,938 per 10,000 units	2014/06/27	200,000,000	2013/11/22
67007	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 L	0.250	22,688 per 10,000 units	22,788 per 10,000 units	2014/06/27	200,000,000	2013/11/22
66867	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 I	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/02/27	200,000,000	2013/11/22

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
66877	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 J	0.250	23,138 per 10,000 units	23,038 per 10,000 units	2014/02/27	200,000,000	2013/11/22
66887	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 K	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/02/27	200,000,000	2013/11/22
66892	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 L	0.250	23,538 per 10,000 units	23,438 per 10,000 units	2014/02/27	200,000,000	2013/11/22
67090	UBS AG	HSI R Bull CBBC Jul 2014 P	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2013/11/22
67095	UBS AG	HSI R Bull CBBC Jul 2014 R	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2013/11/22
67102	UBS AG	HSI R Bull CBBC Sep 2014 K	0.250	22,832 per 10,000 units	23,032 per 10,000 units	2014/09/29	300,000,000	2013/11/22
67081	UBS AG	HSI R Bull CBBC Oct 2014 O	0.250	22,400 per 10,000 units	22,600 per 10,000 units	2014/10/30	300,000,000	2013/11/22
66914	UBS AG	HSI R Bear CBBC Feb 2014 D	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/02/27	300,000,000	2013/11/22
66919	UBS AG	HSI R Bear CBBC Mar 2014 Z	0.250	22,950 per 10,000 units	22,750 per 10,000 units	2014/03/28	300,000,000	2013/11/22
66920	UBS AG	HSI R Bear CBBC Apr 2014 D	0.250	22,875 per 12,000 units	22,675 per 12,000 units	2014/04/29	350,000,000	2013/11/22
67569	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 E	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67960	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 F	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67580	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 G	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67575	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67966	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 K	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67583	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 L	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67585	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 M	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67963	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67502	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 U	0.250	22,450 per 10,000 units	22,650 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67533	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 V	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67568	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 W	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67527	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 X	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67577	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 Y	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67144	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 A	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67164	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 B	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67166	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 C	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67586	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 D	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67988	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 E	0.250	25,300 per 10,000 units	25,100 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67602	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 G	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67604	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 H	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67606	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 I	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67612	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 J	0.250	25,050 per 10,000 units	24,850 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67969	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 O	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67143	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 Z	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67684	Credit Suisse AG	HSI R Bull CBBC Jul 2014 I	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2013/11/25
67704	Credit Suisse AG	HSI R Bull CBBC Jul 2014 J	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/07/30	300,000,000	2013/11/25
67706	Credit Suisse AG	HSI R Bull CBBC Aug 2014 D	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67736	Credit Suisse AG	HSI R Bull CBBC Aug 2014 H	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67738	Credit Suisse AG	HSI R Bull CBBC Aug 2014 I	0.250	23,400 per 12,000 units	23,600 per 12,000 units	2014/08/28	300,000,000	2013/11/25
67739	Credit Suisse AG	HSI R Bull CBBC Aug 2014 L	0.250	23,460 per 10,000 units	23,660 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67710	Credit Suisse AG	HSI R Bull CBBC Aug 2014 M	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/08/28	300,000,000	2013/11/25
67130	Credit Suisse AG	HSI R Bear CBBC Feb 2014 J	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/11/25
67138	Credit Suisse AG	HSI R Bear CBBC Feb 2014 X	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/02/27	300,000,000	2013/11/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67122	Credit Suisse AG	HSI R Bear CBBC Mar 2014 M	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67128	Credit Suisse AG	HSI R Bear CBBC Mar 2014 N	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67129	Credit Suisse AG	HSI R Bear CBBC Mar 2014 O	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67665	Credit Suisse AG	HSI R Bear CBBC Mar 2014 P	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67682	Credit Suisse AG	HSI R Bear CBBC Mar 2014 U	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67683	Credit Suisse AG	HSI R Bear CBBC Mar 2014 V	0.250	25,150 per 10,000 units	24,950 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67762	Credit Suisse AG	HSI R Bear CBBC Mar 2014 W	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67774	Credit Suisse AG	HSI R Bear CBBC Mar 2014 X	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/03/28	300,000,000	2013/11/25
68116	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Apr14B	0.250	8.7	9	2014/04/28	100,000,000	2013/11/25
68117	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC May14A	0.250	9	9.3	2014/05/26	100,000,000	2013/11/25
68104	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Apr14B	0.250	10.5	10.2	2014/04/28	100,000,000	2013/11/25
68114	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC May14A	0.250	10.8	10.5	2014/05/26	100,000,000	2013/11/25
68121	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC Apr 14 D	0.250	9.3	9.6	2014/04/28	100,000,000	2013/11/25
68127	Citigroup Global Mkt H Inc.	A50 China R Bull CBBC May 14 B	0.250	9.6	9.9	2014/05/26	100,000,000	2013/11/25
68119	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC Apr 14 D	0.250	11.4	11.1	2014/04/28	100,000,000	2013/11/25
68120	Citigroup Global Mkt H Inc.	A50 China R Bear CBBC May 14 B	0.250	11.7	11.4	2014/05/26	100,000,000	2013/11/25
68077	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 R	0.250	23,100 per 10,000 units	23,288 per 10,000 units	2014/03/28	150,000,000	2013/11/25
68079	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 S	0.250	23,300 per 10,000 units	23,488 per 10,000 units	2014/03/28	150,000,000	2013/11/25
68092	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 D	0.250	24,050 per 10,000 units	23,866 per 10,000 units	2014/03/28	150,000,000	2013/11/25
68100	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 E	0.250	24,750 per 10,000 units	24,566 per 10,000 units	2014/03/28	150,000,000	2013/11/25
67395	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 E	0.260	22,888 per 7,000 units	23,088 per 7,000 units	2014/03/28	150,000,000	2013/11/25
67398	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 F	0.261	23,088 per 7,000 units	23,288 per 7,000 units	2014/03/28	150,000,000	2013/11/25
67402	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 G	0.255	23,288 per 7,000 units	23,488 per 7,000 units	2014/03/28	150,000,000	2013/11/25
67406	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 H	0.252	22,688 per 20,000 units	22,988 per 20,000 units	2014/03/28	150,000,000	2013/11/25
67407	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 I	0.258	22,888 per 20,000 units	23,188 per 20,000 units	2014/03/28	150,000,000	2013/11/25
67386	HK Bank	HSI R Bull CBBC May 2014 G	0.250	23,288 per 15,000 units	23,488 per 15,000 units	2014/05/29	100,000,000	2013/11/25
67352	HK Bank	HSI R Bull CBBC Jun 2014 I	0.250	22,988 per 15,000 units	23,188 per 15,000 units	2014/06/27	100,000,000	2013/11/25
67368	HK Bank	HSI R Bull CBBC Jun 2014 J	0.250	23,188 per 15,000 units	23,388 per 15,000 units	2014/06/27	100,000,000	2013/11/25
67344	HK Bank	HSI R Bull CBBC Jul 2014 E	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2014/07/30	100,000,000	2013/11/25
67348	HK Bank	HSI R Bull CBBC Jul 2014 F	0.250	22,888 per 15,000 units	23,088 per 15,000 units	2014/07/30	100,000,000	2013/11/25
67365	HK Bank	HSI R Bull CBBC Jul 2014 G	0.250	23,088 per 15,000 units	23,288 per 15,000 units	2014/07/30	100,000,000	2013/11/25
67346	HK Bank	HSI R Bull CBBC Aug 2014 D	0.250	22,588 per 15,000 units	22,788 per 15,000 units	2014/08/28	100,000,000	2013/11/25
67989	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 R	0.250	23,460 per 10,000 units	23,660 per 10,000 units	2014/09/29	300,000,000	2013/11/25
68034	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 S	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2013/11/25
68014	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Y	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/11/25
68051	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Z	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/10/30	300,000,000	2013/11/25
68005	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 K	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/11/27	300,000,000	2013/11/25
68002	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 E	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/12/30	300,000,000	2013/11/25
67500	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 N	0.250	23,733 per 10,000 units	23,533 per 10,000 units	2014/02/27	300,000,000	2013/11/25
67485	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 S	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67490	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 T	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/11/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67437	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 E	0.250	25,600 per 10,000 units	25,400 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67479	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 F	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67482	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 G	0.250	24,750 per 10,000 units	24,550 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67489	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 H	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67631	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 B	0.250	22,928 per 20,000 units	23,128 per 20,000 units	2014/09/29	150,000,000	2013/11/25
67642	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 C	0.250	23,088 per 20,000 units	23,288 per 20,000 units	2014/09/29	150,000,000	2013/11/25
67643	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 D	0.250	23,188 per 12,000 units	23,388 per 12,000 units	2014/09/29	100,000,000	2013/11/25
68067	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 A	0.250	23,300 per 15,000 units	23,500 per 15,000 units	2014/11/27	100,000,000	2013/11/25
68072	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 B	0.250	23,460 per 15,000 units	23,660 per 15,000 units	2014/11/27	100,000,000	2013/11/25
67655	Standard Chartered Bank	HSI R Bear CBBC Mar 2014 L	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	100,000,000	2013/11/25
68052	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 M	0.250	23,888 per 12,000 units	23,688 per 12,000 units	2014/04/29	100,000,000	2013/11/25
67212	Standard Chartered Bank	HSI R Bear CBBC May 2014 I	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2014/05/29	100,000,000	2013/11/25
67266	Standard Chartered Bank	HSI R Bear CBBC May 2014 J	0.250	23,688 per 20,000 units	23,488 per 20,000 units	2014/05/29	150,000,000	2013/11/25
67274	Standard Chartered Bank	HSI R Bear CBBC May 2014 K	0.250	23,388 per 12,000 units	23,188 per 12,000 units	2014/05/29	100,000,000	2013/11/25
67618	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 A	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/11/25
67622	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 B	0.250	23,388 per 10,000 units	23,488 per 10,000 units	2014/06/27	200,000,000	2013/11/25
67615	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 M	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/06/27	200,000,000	2013/11/25
67616	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 F	0.250	22,938 per 10,000 units	23,038 per 10,000 units	2014/07/30	200,000,000	2013/11/25
67619	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 G	0.250	23,238 per 10,000 units	23,338 per 10,000 units	2014/07/30	200,000,000	2013/11/25
67173	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 M	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67193	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 N	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67204	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 O	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67411	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 P	0.250	24,238 per 10,000 units	24,138 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67430	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 S	0.250	24,338 per 10,000 units	24,238 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67431	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 T	0.250	24,438 per 10,000 units	24,338 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67434	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 U	0.250	24,538 per 10,000 units	24,438 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67408	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 V	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67956	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 W	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67409	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 X	0.250	24,038 per 10,000 units	23,938 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67410	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 Y	0.250	24,138 per 10,000 units	24,038 per 10,000 units	2014/02/27	200,000,000	2013/11/25
67932	UBS AG	China Mobile R Bear CBBC Aug 2014 A	0.250	97.50 per 100 units	95 per 100 units	2014/08/11	100,000,000	2013/11/25
67922	UBS AG	China Life R Bull CBBC Jul 2014 E	0.300	19.88	20.88	2014/07/14	100,000,000	2013/11/25
67913	UBS AG	China Life R Bear CBBC May 2014 B	0.405	26.88	25.88	2014/05/26	100,000,000	2013/11/25
67955	UBS AG	HKEx R Bear CBBC Jul 2014 A	0.260	155 per 100 units	150 per 100 units	2014/07/21	100,000,000	2013/11/25
67901	UBS AG	HSCEI R Bull CBBC Jul 2014 C	0.250	10,300 per 10,000 units	10,500 per 10,000 units	2014/07/30	100,000,000	2013/11/25
67900	UBS AG	HSCEI R Bull CBBC Sep 2014 B	0.250	10,800 per 10,000 units	11,000 per 10,000 units	2014/09/29	100,000,000	2013/11/25
67898	UBS AG	HSCEI R Bear CBBC May 2014 A	0.255	13,700 per 10,000 units	13,500 per 10,000 units	2014/05/29	100,000,000	2013/11/25
67899	UBS AG	HSCEI R Bear CBBC May 2014 B	0.250	13,200 per 10,000 units	13,000 per 10,000 units	2014/05/29	100,000,000	2013/11/25
67783	UBS AG	HSI R Bull CBBC Sep 2014 I	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/11/25
67814	UBS AG	HSI R Bull CBBC Sep 2014 L	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2013/11/25
67818	UBS AG	HSI R Bull CBBC Oct 2014 P	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/10/30	300,000,000	2013/11/25
67838	UBS AG	HSI R Bull CBBC Oct 2014 Q	0.250	23,460 per 10,000 units	23,660 per 10,000 units	2014/10/30	300,000,000	2013/11/25

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
67289	UBS AG	HSI R Bear CBBC Feb 2014 F	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/02/27	300,000,000	2013/11/25
67293	UBS AG	HSI R Bear CBBC Feb 2014 K	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/02/27	300,000,000	2013/11/25
67892	UBS AG	HSI R Bear CBBC Feb 2014 S	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/02/27	300,000,000	2013/11/25
67300	UBS AG	HSI R Bear CBBC Mar 2014 C	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67306	UBS AG	HSI R Bear CBBC Mar 2014 E	0.250	23,233 per 10,000 units	23,033 per 10,000 units	2014/03/28	300,000,000	2013/11/25
67848	UBS AG	HSI R Bear CBBC Apr 2014 I	0.250	24,950 per 10,000 units	24,750 per 10,000 units	2014/04/29	300,000,000	2013/11/25
67845	UBS AG	HSI R Bear CBBC May 2014 D	0.275	26,400 per 10,000 units	26,200 per 10,000 units	2014/05/29	300,000,000	2013/11/25
67930	UBS AG	Ping An R Bull CBBC Oct 2014 B	0.250	56 per 100 units	60 per 100 units	2014/10/20	100,000,000	2013/11/25
67927	UBS AG	Ping An R Bear CBBC Apr 2014 C	0.250	79 per 100 units	75 per 100 units	2014/04/28	100,000,000	2013/11/25
67936	UBS AG	TCH R Bull CBBC Jul 2014 E	0.365	390 per 100 units	400 per 100 units	2014/07/07	50,000,000	2013/11/25
68216	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Jun 2014 D	0.250	9.3	9.6	2014/06/27	200,000,000	2013/11/26
68203	BNP Paribas Arbit Issu B.V.	HSCEI R Bull CBBC Sep 2014 A	0.250	10,600 per 10,000 units	10,800 per 10,000 units	2014/09/29	100,000,000	2013/11/26
68204	BNP Paribas Arbit Issu B.V.	HSCEI R Bear CBBC Apr 2014 A	0.250	12,000 per 10,000 units	11,800 per 10,000 units	2014/04/29	100,000,000	2013/11/26
68239	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 J	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/08/28	300,000,000	2013/11/26
68188	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 A	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68189	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 P	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68181	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 X	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68190	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Feb 2014 Z	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68230	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2014 A	0.250	25,750 per 10,000 units	25,550 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68232	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2014 B	0.250	25,850 per 10,000 units	25,650 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68235	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2014 C	0.250	25,900 per 10,000 units	25,700 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68238	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Mar 2014 D	0.250	26,100 per 10,000 units	25,900 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68191	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 F	0.250	25,350 per 10,000 units	25,150 per 10,000 units	2014/04/29	300,000,000	2013/11/26
68197	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 K	0.250	25,500 per 10,000 units	25,300 per 10,000 units	2014/04/29	300,000,000	2013/11/26
68198	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 L	0.250	25,550 per 10,000 units	25,350 per 10,000 units	2014/04/29	300,000,000	2013/11/26
68199	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 M	0.250	25,650 per 10,000 units	25,450 per 10,000 units	2014/04/29	300,000,000	2013/11/26
68250	Credit Suisse AG	HSI R Bull CBBC Jul 2014 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/07/30	300,000,000	2013/11/26
68265	Credit Suisse AG	HSI R Bull CBBC Jul 2014 N	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/07/30	300,000,000	2013/11/26
68268	Credit Suisse AG	HSI R Bull CBBC Jul 2014 P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/07/30	300,000,000	2013/11/26
68269	Credit Suisse AG	HSI R Bull CBBC Jul 2014 S	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/07/30	300,000,000	2013/11/26
68272	Credit Suisse AG	HSI R Bull CBBC Aug 2014 N	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/08/28	300,000,000	2013/11/26
68276	Credit Suisse AG	HSI R Bull CBBC Aug 2014 O	0.250	23,547 per 10,000 units	23,747 per 10,000 units	2014/08/28	300,000,000	2013/11/26
68273	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Q	0.250	23,500 per 12,000 units	23,700 per 12,000 units	2014/08/28	300,000,000	2013/11/26
68172	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68179	Credit Suisse AG	HSI R Bear CBBC Mar 2014 R	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68295	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 T	0.250	22,900 per 10,000 units	23,088 per 10,000 units	2014/03/28	150,000,000	2013/11/26
68297	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Mar 2014 F	0.250	24,950 per 10,000 units	24,766 per 10,000 units	2014/03/28	150,000,000	2013/11/26
68289	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 J	0.254	23,388 per 7,000 units	23,588 per 7,000 units	2014/03/28	150,000,000	2013/11/26
68293	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 K	0.255	23,088 per 20,000 units	23,388 per 20,000 units	2014/03/28	150,000,000	2013/11/26
68290	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 J	0.267	23,888 per 7,000 units	23,688 per 7,000 units	2014/03/28	150,000,000	2013/11/26
68292	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 K	0.266	23,988 per 7,000 units	23,788 per 7,000 units	2014/03/28	150,000,000	2013/11/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68169	HK Bank	CC Bank R Bull CBBC Jul 2014 D	0.250	5.53	5.83	2014/07/25	80,000,000	2013/11/26
68140	HK Bank	China Life R Bull CBBC Jul 2014 C	0.250	20.68	21.68	2014/07/25	60,000,000	2013/11/26
68151	HK Bank	China Life R Bull CBBC Jul 2014 D	0.400	19.28	20.28	2014/07/25	60,000,000	2013/11/26
68139	HK Bank	China Life R Bear CBBC Jul 2014 A	0.500	27.88	26.88	2014/07/11	50,000,000	2013/11/26
68136	HK Bank	Minsheng Bank R Bull CBBC Jul 2014 B	0.250	8.18	8.68	2014/07/30	40,000,000	2013/11/26
68138	HK Bank	Sinopec Corp R Bull CBBC Jul 2014 B	0.250	6.18	6.48	2014/07/11	80,000,000	2013/11/26
68129	HK Bank	Sinopec Corp R Bear CBBC Jul 2014 A	0.250	8.28	7.98	2014/07/11	40,000,000	2013/11/26
68155	HK Bank	HSCEI R Bull CBBC Jun 2014 B	0.250	10,688 per 10,000 units	10,888 per 10,000 units	2014/06/27	100,000,000	2013/11/26
68163	HK Bank	HSCEI R Bull CBBC Jul 2014 C	0.250	10,388 per 10,000 units	10,588 per 10,000 units	2014/07/30	100,000,000	2013/11/26
68164	HK Bank	HSCEI R Bull CBBC Aug 2014 A	0.250	10,088 per 10,000 units	10,288 per 10,000 units	2014/08/28	100,000,000	2013/11/26
68130	HK Bank	ICBC R Bull CBBC Jul 2014 C	0.250	5.03	5.28	2014/07/25	80,000,000	2013/11/26
68165	HK Bank	Ping An R Bull CBBC Jun 2014 A	0.250	55.88 per 100 units	59.88 per 100 units	2014/06/27	60,000,000	2013/11/26
68167	HK Bank	Ping An R Bear CBBC Jun 2014 A	0.250	83.88 per 100 units	79.88 per 100 units	2014/06/27	40,000,000	2013/11/26
68166	HK Bank	Ping An R Bear CBBC Jul 2014 A	0.250	78.88 per 100 units	74.88 per 100 units	2014/07/31	40,000,000	2013/11/26
68327	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 T	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2013/11/26
68333	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 U	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/09/29	300,000,000	2013/11/26
68319	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 B	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/10/30	300,000,000	2013/11/26
68332	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 C	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/10/30	300,000,000	2013/11/26
68301	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 P	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68302	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 R	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68300	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 U	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/03/28	300,000,000	2013/11/26
68299	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 I	0.250	24,850 per 10,000 units	24,650 per 10,000 units	2014/04/29	300,000,000	2013/11/26
68298	J P Morgan SP BV	HSI R Bear CBBC May 2014 B	0.250	25,700 per 10,000 units	25,500 per 10,000 units	2014/05/29	300,000,000	2013/11/26
68222	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 N	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2014/04/29	100,000,000	2013/11/26
68225	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 O	0.250	24,088 per 20,000 units	23,888 per 20,000 units	2014/04/29	100,000,000	2013/11/26
68226	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 P	0.250	24,800 per 20,000 units	24,600 per 20,000 units	2014/04/29	100,000,000	2013/11/26
68241	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 C	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/11/26
68243	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 N	0.250	23,488 per 10,000 units	23,588 per 10,000 units	2014/06/27	200,000,000	2013/11/26
68240	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 H	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/07/30	200,000,000	2013/11/26
68242	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 I	0.250	23,338 per 10,000 units	23,438 per 10,000 units	2014/07/30	200,000,000	2013/11/26
68244	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 J	0.250	23,638 per 10,000 units	23,738 per 10,000 units	2014/07/30	200,000,000	2013/11/26
68245	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 Z	0.250	23,888 per 10,000 units	23,788 per 10,000 units	2014/02/27	200,000,000	2013/11/26
68284	UBS AG	HSI R Bull CBBC Aug 2014 C	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/08/28	300,000,000	2013/11/26
68277	UBS AG	HSI R Bull CBBC Aug 2014 Z	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2013/11/26
68285	UBS AG	HSI R Bull CBBC Sep 2014 M	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/09/29	300,000,000	2013/11/26
68281	UBS AG	HSI R Bull CBBC Oct 2014 R	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2013/11/26
68287	UBS AG	HSI R Bull CBBC Oct 2014 S	0.250	23,547 per 10,000 units	23,747 per 10,000 units	2014/10/30	300,000,000	2013/11/26

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68288	UBS AG	HSI R Bull CBBC Nov 2014 B	0.250	22,400 per 38,000 units	22,800 per 38,000 units	2014/11/27	300,000,000	2013/11/26
68227	UBS AG	HSI R Bear CBBC Feb 2014 A	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/02/27	300,000,000	2013/11/26
68229	UBS AG	HSI R Bear CBBC Feb 2014 E	0.250	23,861 per 12,000 units	23,661 per 12,000 units	2014/02/27	350,000,000	2013/11/26
68484	Credit Suisse AG	HSI R Bull CBBC Sep 2014 N	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68485	Credit Suisse AG	HSI R Bull CBBC Sep 2014 O	0.250	23,133 per 10,000 units	23,333 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68489	Credit Suisse AG	HSI R Bull CBBC Sep 2014 P	0.250	23,388 per 10,000 units	23,588 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68491	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Q	0.250	23,536 per 12,000 units	23,736 per 12,000 units	2014/09/29	300,000,000	2013/11/27
68378	Credit Suisse AG	HSI R Bear CBBC Feb 2014 A	0.250	23,858 per 12,000 units	23,658 per 12,000 units	2014/02/27	300,000,000	2013/11/27
68379	Credit Suisse AG	HSI R Bear CBBC Feb 2014 B	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/02/27	300,000,000	2013/11/27
68380	Credit Suisse AG	HSI R Bear CBBC Feb 2014 R	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/02/27	300,000,000	2013/11/27
68494	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2014 A	0.250	115 per 100 units	120 per 100 units	2014/05/26	50,000,000	2013/11/27
68495	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC May 2014 B	0.250	125 per 100 units	130 per 100 units	2014/05/26	50,000,000	2013/11/27
68496	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC May 2014 A	0.250	150 per 100 units	145 per 100 units	2014/05/26	50,000,000	2013/11/27
68497	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC May 2014 B	0.250	160 per 100 units	155 per 100 units	2014/05/26	50,000,000	2013/11/27
68364	HK Bank	Sinopec Corp R Bear CBBC Aug 2014 C	0.250	8.38	8.08	2014/08/04	40,000,000	2013/11/27
68360	HK Bank	CSOP A50 ETF R Bull CBBC Aug 2014 B	0.250	9.1	9.4	2014/08/25	80,000,000	2013/11/27
68355	HK Bank	A50 R Bull CBBC Aug 2014 B	0.250	9.58	9.88	2014/08/18	100,000,000	2013/11/27
68356	HK Bank	A50 R Bull CBBC Oct 2014 B	0.250	8.13	8.43	2014/10/20	100,000,000	2013/11/27
68344	HK Bank	HSCEI R Bull CBBC May 2014 B	0.250	10,988 per 10,000 units	11,188 per 10,000 units	2014/05/29	100,000,000	2013/11/27
68345	HK Bank	HSCEI R Bull CBBC Jun 2014 C	0.250	10,838 per 10,000 units	11,038 per 10,000 units	2014/06/27	100,000,000	2013/11/27
68346	HK Bank	HSCEI R Bull CBBC Jul 2014 D	0.250	10,538 per 10,000 units	10,738 per 10,000 units	2014/07/30	100,000,000	2013/11/27
68351	HK Bank	HSCEI R Bear CBBC Aug 2014 A	0.250	11,938 per 10,000 units	11,738 per 10,000 units	2014/08/28	68,000,000	2013/11/27
68353	HK Bank	HSCEI R Bear CBBC Sep 2014 A	0.250	12,238 per 10,000 units	12,038 per 10,000 units	2014/09/29	68,000,000	2013/11/27
68334	HK Bank	HSI R Bull CBBC Jul 2014 H	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2014/07/30	100,000,000	2013/11/27
68339	HK Bank	HSI R Bull CBBC Aug 2014 E	0.250	22,328 per 20,000 units	22,628 per 20,000 units	2014/08/28	400,000,000	2013/11/27
68338	HK Bank	HSI R Bear CBBC Jun 2014 J	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2014/06/27	100,000,000	2013/11/27
68340	HK Bank	HSI R Bear CBBC Jun 2014 K	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2014/06/27	100,000,000	2013/11/27
68341	HK Bank	HSI R Bear CBBC Jul 2014 C	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2014/07/30	100,000,000	2013/11/27
68343	HK Bank	HSI R Bear CBBC Jul 2014 D	0.250	25,928 per 20,000 units	25,628 per 20,000 units	2014/07/30	400,000,000	2013/11/27
68342	HK Bank	HSI R Bear CBBC Aug 2014 C	0.250	24,388 per 15,000 units	24,188 per 15,000 units	2014/08/28	100,000,000	2013/11/27
68555	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 V	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68557	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 W	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68543	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 A	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/10/30	300,000,000	2013/11/27
68548	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 F	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/10/30	300,000,000	2013/11/27
68556	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 G	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2014/10/30	300,000,000	2013/11/27
68535	J P Morgan SP BV	HSI R Bear CBBC Feb 2014 S	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/02/27	300,000,000	2013/11/27
68499	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 V	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/11/27
68498	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 J	0.250	24,600 per 10,000 units	24,400 per 10,000 units	2014/04/29	300,000,000	2013/11/27
68393	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 R	0.250	23,248 per 20,000 units	23,448 per 20,000 units	2014/08/28	150,000,000	2013/11/27
68406	Standard Chartered Bank	HSI R Bull CBBC Aug 2014 S	0.250	23,530 per 12,000 units	23,730 per 12,000 units	2014/08/28	100,000,000	2013/11/27
68385	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 C	0.250	25,000 per 20,000 units	24,800 per 20,000 units	2014/06/27	150,000,000	2013/11/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68387	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 D	0.250	25,200 per 15,000 units	25,000 per 15,000 units	2014/06/27	100,000,000	2013/11/27
68409	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 C	0.250	25,600 per 20,000 units	25,400 per 20,000 units	2014/08/28	150,000,000	2013/11/27
68423	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 D	0.250	26,000 per 20,000 units	25,800 per 20,000 units	2014/08/28	150,000,000	2013/11/27
68388	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 H	0.250	23,438 per 10,000 units	23,538 per 10,000 units	2014/06/27	200,000,000	2013/11/27
68390	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 K	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2014/06/27	200,000,000	2013/11/27
68374	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 D	0.250	23,838 per 10,000 units	23,738 per 10,000 units	2014/02/27	200,000,000	2013/11/27
68460	UBS AG	ABC R Bear CBBC May 2014 B	0.250	4.65	4.4	2014/05/26	100,000,000	2013/11/27
68461	UBS AG	China Mobile R Bull CBBC Oct 2014 A	0.250	72.50 per 100 units	75 per 100 units	2014/10/20	100,000,000	2013/11/27
68481	UBS AG	CC Bank R Bull CBBC Jul 2014 C	0.250	5.45	5.75	2014/07/21	100,000,000	2013/11/27
68442	UBS AG	China Life R Bull CBBC Jul 2014 F	0.275	21.88	22.88	2014/07/28	100,000,000	2013/11/27
68441	UBS AG	China Life R Bear CBBC May 2014 C	0.430	28.88	27.88	2014/05/05	100,000,000	2013/11/27
68447	UBS AG	Minsheng Bank R Bull CBBC Jul 2014 C	0.250	8.3	8.8	2014/07/28	100,000,000	2013/11/27
68493	UBS AG	Sinopec Corp R Bull CBBC Jul 2014 B	0.250	6.2	6.5	2014/07/14	100,000,000	2013/11/27
68492	UBS AG	HKEx R Bull CBBC Sep 2014 A	0.250	123 per 100 units	128 per 100 units	2014/09/15	100,000,000	2013/11/27
68482	UBS AG	HKEx R Bear CBBC Jun 2014 A	0.275	165 per 100 units	160 per 100 units	2014/06/30	100,000,000	2013/11/27
68426	UBS AG	HSI R Bull CBBC Jul 2014 S	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/07/30	300,000,000	2013/11/27
68428	UBS AG	HSI R Bull CBBC Jul 2014 T	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/07/30	300,000,000	2013/11/27
68429	UBS AG	HSI R Bull CBBC Jul 2014 X	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2014/07/30	300,000,000	2013/11/27
68427	UBS AG	HSI R Bull CBBC Aug 2014 A	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/08/28	300,000,000	2013/11/27
68439	UBS AG	HSI R Bull CBBC Aug 2014 B	0.250	23,536 per 12,000 units	23,736 per 12,000 units	2014/08/28	350,000,000	2013/11/27
68438	UBS AG	HSI R Bull CBBC Sep 2014 N	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2013/11/27
68375	UBS AG	HSI R Bear CBBC Feb 2014 I	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/02/27	300,000,000	2013/11/27
68376	UBS AG	HSI R Bear CBBC Feb 2014 J	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/02/27	300,000,000	2013/11/27
68377	UBS AG	HSI R Bear CBBC Apr 2014 E	0.250	23,858 per 10,000 units	23,658 per 10,000 units	2014/04/29	300,000,000	2013/11/27
68451	UBS AG	ICBC R Bull CBBC Jul 2014 C	0.250	5	5.25	2014/07/07	100,000,000	2013/11/27
68445	UBS AG	Ping An R Bull CBBC Aug 2014 A	0.250	61 per 100 units	65 per 100 units	2014/08/18	100,000,000	2013/11/27
68443	UBS AG	Ping An R Bear CBBC Apr 2014 D	0.250	84 per 100 units	80 per 100 units	2014/04/07	100,000,000	2013/11/27
68606	Credit Suisse AG	HSI R Bull CBBC Oct 2014 M	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2013/11/28
68607	Credit Suisse AG	HSI R Bull CBBC Oct 2014 N	0.250	23,355 per 12,000 units	23,555 per 12,000 units	2014/10/30	300,000,000	2013/11/28
68744	Credit Suisse AG	HSI R Bear CBBC Feb 2014 T	0.250	23,745 per 12,000 units	23,545 per 12,000 units	2014/02/27	300,000,000	2013/11/28
68756	Credit Suisse AG	HSI R Bear CBBC Feb 2014 U	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/02/27	300,000,000	2013/11/28
68763	Credit Suisse AG	HSI R Bear CBBC Feb 2014 V	0.250	23,988 per 10,000 units	23,788 per 10,000 units	2014/02/27	300,000,000	2013/11/28
68612	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Jul14A	0.250	49 per 100 units	52 per 100 units	2014/07/21	100,000,000	2013/11/28
68617	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Apr14A	0.250	63 per 100 units	60 per 100 units	2014/04/25	100,000,000	2013/11/28
68574	HK Bank	ABC R Bull CBBC Jul 2014 B	0.250	3.38	3.63	2014/07/31	80,000,000	2013/11/28
68563	HK Bank	BOCL R Bull CBBC Jul 2014 B	0.250	3.3	3.48	2014/07/31	80,000,000	2013/11/28
68576	HK Bank	China Life R Bull CBBC Jul 2014 E	0.260	21.88	22.88	2014/07/07	60,000,000	2013/11/28

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
68580	HK Bank	China Life R Bull CBBC Jul 2014 F	0.250	22.38	23.38	2014/07/17	60,000,000	2013/11/28
68575	HK Bank	China Life R Bull CBBC Sep 2014 A	0.310	21.38	22.38	2014/09/18	60,000,000	2013/11/28
68583	HK Bank	China Life R Bear CBBC Jul 2014 B	0.270	26.88	25.88	2014/07/21	50,000,000	2013/11/28
68564	HK Bank	CNBM R Bull CBBC Jun 2014 D	0.250	6.6	7.4	2014/06/27	50,000,000	2013/11/28
68558	HK Bank	HKEx R Bull CBBC Jul 2014 A	0.250	117 per 100 units	123 per 100 units	2014/07/18	60,000,000	2013/11/28
68559	HK Bank	HKEx R Bull CBBC Jul 2014 B	0.250	122 per 100 units	128 per 100 units	2014/07/04	60,000,000	2013/11/28
68562	HK Bank	PetCh R Bull CBBC Jun 2014 B	0.250	8.18	8.58	2014/06/27	400,000,000	2013/11/28
68605	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 L	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/10/30	300,000,000	2013/11/28
68587	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 M	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/10/30	300,000,000	2013/11/28
68584	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 W	0.250	23,925 per 10,000 units	23,725 per 10,000 units	2014/03/28	300,000,000	2013/11/28
68743	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 K	0.250	23,288 per 10,000 units	23,388 per 10,000 units	2014/07/30	200,000,000	2013/11/28
68742	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Feb14 E	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2014/02/27	200,000,000	2013/11/28
68609	UBS AG	HSI R Bull CBBC Jun 2014 C	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/06/27	300,000,000	2013/11/28
68611	UBS AG	HSI R Bull CBBC Jun 2014 Y	0.250	23,490 per 12,000 units	23,690 per 12,000 units	2014/06/27	350,000,000	2013/11/28
68867	UBS AG	HSI R Bear CBBC Mar 2014 D	0.250	23,745 per 10,000 units	23,545 per 10,000 units	2014/03/28	300,000,000	2013/11/28
68811	UBS AG	HSI R Bear CBBC Mar 2014 G	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/03/28	300,000,000	2013/11/28
68788	UBS AG	HSI R Bear CBBC Apr 2014 K	0.280	26,300 per 10,000 units	26,100 per 10,000 units	2014/04/29	300,000,000	2013/11/28
68786	UBS AG	HSI R Bear CBBC May 2014 E	0.310	26,600 per 10,000 units	26,400 per 10,000 units	2014/05/29	300,000,000	2013/11/28
60068	Credit Suisse AG	HSI R Bull CBBC Oct 2014 O	0.250	23,503 per 12,000 units	23,703 per 12,000 units	2014/10/30	300,000,000	2013/11/29
60073	Credit Suisse AG	HSI R Bull CBBC Oct 2014 P	0.250	23,238 per 12,000 units	23,438 per 12,000 units	2014/10/30	300,000,000	2013/11/29
60046	Credit Suisse AG	HSI R Bear CBBC Mar 2014 S	0.250	23,781 per 12,000 units	23,581 per 12,000 units	2014/03/28	300,000,000	2013/11/29
60047	Credit Suisse AG	HSI R Bear CBBC Mar 2014 T	0.250	23,938 per 12,000 units	23,738 per 12,000 units	2014/03/28	300,000,000	2013/11/29
60096	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun14B	0.250	72 per 100 units	74.50 per 100 units	2014/06/23	80,000,000	2013/11/29
60105	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Apr14A	0.250	88 per 100 units	85.50 per 100 units	2014/04/28	80,000,000	2013/11/29
60004	HK Bank	ABC R Bear CBBC May 2014 C	0.250	4.53	4.28	2014/05/12	40,000,000	2013/11/29
60005	HK Bank	ABC R Bear CBBC Aug 2014 A	0.250	4.93	4.68	2014/08/25	40,000,000	2013/11/29
69905	HK Bank	China Mobile R Bull CBBC Oct 2014 B	0.250	76.58 per 100 units	79.08 per 100 units	2014/10/20	120,000,000	2013/11/29
60009	HK Bank	CM Bank R Bull CBBC Aug 2014 A	0.250	14.48	15.28	2014/08/25	40,000,000	2013/11/29
60013	HK Bank	CM Bank R Bear CBBC Nov 2014 A	0.340	19.48	18.68	2014/11/24	40,000,000	2013/11/29
69291	HK Bank	CNBM R Bear CBBC Oct 2014 A	0.250	9.78	8.98	2014/10/27	50,000,000	2013/11/29
69532	HK Bank	CNBM R Bear CBBC Nov 2014 A	0.250	10.48	9.68	2014/11/24	50,000,000	2013/11/29
69916	HK Bank	China Shenhua R Bull CBBC Jul 2014 C	0.350	22.88	24.88	2014/07/28	60,000,000	2013/11/29
60003	HK Bank	China Shenhua R Bear CBBC Jan 2015 A	0.250	32.88 per 100 units	30.88 per 100 units	2015/01/26	40,000,000	2013/11/29
69884	HK Bank	HKEx R Bear CBBC Jul 2014 B	0.350	171.88 per 100 units	166.88 per 100 units	2014/07/21	50,000,000	2013/11/29
69614	HK Bank	Hutchison R Bull CBBC Sep 2014 A	0.250	90.88 per 100 units	93.88 per 100 units	2014/09/29	60,000,000	2013/11/29
60006	HK Bank	ICBC R Bear CBBC May 2014 B	0.250	6.28	6.03	2014/05/05	40,000,000	2013/11/29
69883	HK Bank	Kunlun Energy R Bull CBBC Sep 2014 A	0.250	12.08	12.88	2014/09/29	40,000,000	2013/11/29
69175	HK Bank	Lenovo R Bear CBBC Oct 2014 A	0.250	11.38	10.88	2014/10/06	40,000,000	2013/11/29
69903	HK Bank	PetCh R Bear CBBC Dec 2014 A	0.250	11.78	11.38	2014/12/15	40,000,000	2013/11/29

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60007	HK Bank	Ping An R Bull CBBC Jul 2014 A	0.250	60.88 per 100 units	64.88 per 100 units	2014/07/28	60,000,000	2013/11/29
60017	HK Bank	Ping An R Bear CBBC Jul 2014 B	0.250	88.88 per 100 units	84.88 per 100 units	2014/07/28	40,000,000	2013/11/29
69661	HK Bank	SHK Ppt R Bear CBBC Oct 2014 B	0.250	112.88 per 100 units	108.88 per 100 units	2014/10/20	50,000,000	2013/11/29
69892	HK Bank	TCH R Bull CBBC Sep 2014 C	0.250	401.88 per 100 units	411.88 per 100 units	2014/09/15	50,000,000	2013/11/29
60093	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 N	0.250	23,275 per 10,000 units	23,475 per 10,000 units	2014/10/30	300,000,000	2013/11/29
68905	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 X	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/03/28	300,000,000	2013/11/29
60095	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Y	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2014/03/28	300,000,000	2013/11/29
60058	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 G	0.250	23,502 per 20,000 units	23,702 per 20,000 units	2014/12/30	100,000,000	2013/11/29
60044	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 E	0.250	24,888 per 15,000 units	24,688 per 15,000 units	2014/08/28	100,000,000	2013/11/29
60045	Standard Chartered Bank	HSI R Bear CBBC Aug 2014 F	0.250	25,388 per 15,000 units	25,188 per 15,000 units	2014/08/28	100,000,000	2013/11/29
60056	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 L	0.250	23,538 per 10,000 units	23,638 per 10,000 units	2014/07/30	200,000,000	2013/11/29
60018	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 A	0.250	23,738 per 10,000 units	23,638 per 10,000 units	2014/03/28	200,000,000	2013/11/29
60041	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 B	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2014/03/28	200,000,000	2013/11/29
60042	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 C	0.250	24,688 per 10,000 units	24,588 per 10,000 units	2014/03/28	200,000,000	2013/11/29
60043	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 D	0.250	25,788 per 10,000 units	25,688 per 10,000 units	2014/03/28	200,000,000	2013/11/29
60074	UBS AG	HSI R Bull CBBC Jul 2014 U	0.250	23,503 per 12,000 units	23,703 per 12,000 units	2014/07/30	350,000,000	2013/11/29
60048	UBS AG	HSI R Bear CBBC Mar 2014 J	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/11/29
60050	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	23,781 per 12,000 units	23,581 per 12,000 units	2014/04/29	350,000,000	2013/11/29
60204	Credit Suisse AG	HSI R Bull CBBC Aug 2014 J	0.250	23,530 per 10,000 units	23,730 per 10,000 units	2014/08/28	300,000,000	2013/12/02
60205	Credit Suisse AG	HSI R Bear CBBC Mar 2014 F	0.250	23,885 per 10,000 units	23,685 per 10,000 units	2014/03/28	300,000,000	2013/12/02
60310	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun14C	0.250	67 per 100 units	69.50 per 100 units	2014/06/03	80,000,000	2013/12/02
60314	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun14D	0.250	69.50 per 100 units	72 per 100 units	2014/06/03	80,000,000	2013/12/02
60315	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jun14B	0.250	90.50 per 100 units	88 per 100 units	2014/06/03	80,000,000	2013/12/02
60317	Citigroup Global Mkt H Inc.	China Mobile R Bear CBBC Jun14C	0.250	93 per 100 units	90.50 per 100 units	2014/06/03	80,000,000	2013/12/02
60301	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 U	0.250	22,400 per 10,000 units	22,588 per 10,000 units	2014/03/28	150,000,000	2013/12/02
60309	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Mar 2014 V	0.250	22,700 per 10,000 units	22,888 per 10,000 units	2014/03/28	150,000,000	2013/12/02
60288	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 L	0.250	24,188 per 20,000 units	23,888 per 20,000 units	2014/03/28	150,000,000	2013/12/02
60108	HK Bank	China Life R Bull CBBC Jul 2014 G	0.250	22.88	23.88	2014/07/30	60,000,000	2013/12/02
60115	HK Bank	China Life R Bull CBBC Aug 2014 A	0.250	23.38	24.38	2014/08/11	60,000,000	2013/12/02
60120	HK Bank	China Life R Bear CBBC Sep 2014 A	0.410	28.88	27.88	2014/09/29	50,000,000	2013/12/02
60117	HK Bank	China Life R Bear CBBC Nov 2014 A	0.260	27.38	26.38	2014/11/24	50,000,000	2013/12/02
60124	HK Bank	Ping An R Bull CBBC Aug 2014 A	0.250	65.88 per 100 units	69.88 per 100 units	2014/08/04	60,000,000	2013/12/02
60286	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 H	0.250	23,125 per 10,000 units	23,325 per 10,000 units	2014/10/30	300,000,000	2013/12/02
60287	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 O	0.250	23,484 per 10,000 units	23,684 per 10,000 units	2014/10/30	300,000,000	2013/12/02
60125	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 H	0.250	22,577 per 10,000 units	22,777 per 10,000 units	2014/12/30	100,000,000	2013/12/02
60128	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 I	0.250	23,000 per 12,000 units	23,200 per 12,000 units	2014/12/30	100,000,000	2013/12/02
60285	UBS AG	China Mobile R Bull CBBC Oct 2014 B	0.250	70 per 100 units	72.50 per 100 units	2014/10/27	100,000,000	2013/12/02
60283	UBS AG	China Life R Bear CBBC Jul 2014 A	0.300	27.88	26.88	2014/07/21	100,000,000	2013/12/02
60278	UBS AG	Sinopec Corp R Bear CBBC Oct 2014 A	0.250	7.68	7.38	2014/10/06	100,000,000	2013/12/02

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60280	UBS AG	CSOP A50 ETF R Bear CBBC Apr 2014 B	0.250	11.8	11.5	2014/04/28	100,000,000	2013/12/02
60206	UBS AG	HSI R Bull CBBC May 2014 C	0.250	23,532 per 10,000 units	23,732 per 10,000 units	2014/05/29	300,000,000	2013/12/02
60207	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	23,885 per 10,000 units	23,685 per 10,000 units	2014/04/29	300,000,000	2013/12/02
60208	UBS AG	Lenovo R Bull CBBC Aug 2014 A	0.250	7.8	8.3	2014/08/25	100,000,000	2013/12/02
60249	UBS AG	TCH R Bull CBBC Jul 2014 F	0.390	400 per 100 units	410 per 100 units	2014/07/14	50,000,000	2013/12/02
60393	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 O	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/08/28	300,000,000	2013/12/03
60364	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 N	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/04/29	300,000,000	2013/12/03
60380	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Q	0.250	23,535 per 12,000 units	23,735 per 12,000 units	2014/10/30	300,000,000	2013/12/03
60379	Credit Suisse AG	HSI R Bear CBBC Mar 2014 G	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/03/28	300,000,000	2013/12/03
60344	HK Bank	CNBM R Bull CBBC Jul 2014 A	0.250	7.2	8	2014/07/21	50,000,000	2013/12/03
60323	HK Bank	TCH R Bull CBBC Sep 2014 D	0.270	413.88 per 100 units	423.88 per 100 units	2014/09/26	50,000,000	2013/12/03
60324	HK Bank	TCH R Bull CBBC Sep 2014 E	0.250	418.88 per 100 units	428.88 per 100 units	2014/09/17	50,000,000	2013/12/03
60318	HK Bank	TCH R Bull CBBC Oct 2014 B	0.320	408.88 per 100 units	418.88 per 100 units	2014/10/13	50,000,000	2013/12/03
60336	HK Bank	TCH R Bear CBBC Sep 2014 E	0.300	463.88 per 100 units	453.88 per 100 units	2014/09/17	50,000,000	2013/12/03
60341	HK Bank	TCH R Bear CBBC Sep 2014 F	0.400	473.88 per 100 units	463.88 per 100 units	2014/09/26	50,000,000	2013/12/03
60343	HK Bank	TCH R Bear CBBC Oct 2014 B	0.500	483.88 per 100 units	473.88 per 100 units	2014/10/13	50,000,000	2013/12/03
60445	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 L	0.250	23,175 per 10,000 units	23,375 per 10,000 units	2014/11/27	300,000,000	2013/12/03
60443	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Z	0.250	23,882 per 10,000 units	23,682 per 10,000 units	2014/03/28	300,000,000	2013/12/03
60410	Standard Chartered Bank	HSI R Bull CBBC Apr 2014 B	0.250	23,388 per 20,000 units	23,588 per 20,000 units	2014/04/29	150,000,000	2013/12/03
60416	Standard Chartered Bank	HSI R Bull CBBC Apr 2014 C	0.250	22,800 per 15,000 units	23,000 per 15,000 units	2014/04/29	100,000,000	2013/12/03
60420	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 Q	0.250	24,166 per 10,000 units	23,966 per 10,000 units	2014/04/29	100,000,000	2013/12/03
60381	UBS AG	BOCL R Bear CBBC Oct 2014 A	0.250	4.18	4	2014/10/13	100,000,000	2013/12/03
60423	UBS AG	HSI R Bull CBBC Dec 2014 F	0.250	23,535 per 10,000 units	23,735 per 10,000 units	2014/12/30	300,000,000	2013/12/03
60345	UBS AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/03/28	300,000,000	2013/12/03
60438	UBS AG	HSI R Bear CBBC Apr 2014 R	0.250	23,882 per 12,000 units	23,682 per 12,000 units	2014/04/29	350,000,000	2013/12/03
60385	UBS AG	Ping An R Bear CBBC Jul 2014 A	0.250	89 per 100 units	85 per 100 units	2014/07/21	100,000,000	2013/12/03
60388	UBS AG	SHK Ppt R Bull CBBC May 2014 A	0.250	86 per 100 units	90 per 100 units	2014/05/26	100,000,000	2013/12/03
60467	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 D	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/08/28	300,000,000	2013/12/04
60518	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Aug 2014 P	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/08/28	300,000,000	2013/12/04
60469	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 P	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/04/29	300,000,000	2013/12/04
60542	Credit Suisse AG	HSI R Bull CBBC Sep 2014 R	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/09/29	300,000,000	2013/12/04
60553	Credit Suisse AG	HSI R Bull CBBC Sep 2014 S	0.250	23,609 per 10,000 units	23,809 per 10,000 units	2014/09/29	300,000,000	2013/12/04
60472	Credit Suisse AG	HSI R Bear CBBC Mar 2014 L	0.250	23,888 per 12,000 units	23,688 per 12,000 units	2014/03/28	300,000,000	2013/12/04
60540	Credit Suisse AG	HSI R Bear CBBC Mar 2014 M	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/03/28	300,000,000	2013/12/04
60483	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Z	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/03/28	300,000,000	2013/12/04
60555	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Apr 2014 A	0.250	23,200 per 10,000 units	23,388 per 10,000 units	2014/04/29	150,000,000	2013/12/04
60573	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Apr 2014 B	0.250	23,400 per 10,000 units	23,588 per 10,000 units	2014/04/29	150,000,000	2013/12/04
60500	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 L	0.257	23,588 per 7,000 units	23,788 per 7,000 units	2014/03/28	150,000,000	2013/12/04
60501	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 M	0.253	23,388 per 20,000 units	23,688 per 20,000 units	2014/03/28	150,000,000	2013/12/04
60447	HK Bank	China Life R Bear CBBC Aug 2014 B	0.250	26.38	25.38	2014/08/18	50,000,000	2013/12/04
60455	HK Bank	Minsheng Bank R Bull CBBC Oct 2014 B	0.250	7.38	7.88	2014/10/06	40,000,000	2013/12/04

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60457	HK Bank	Minsheng Bank R Bear CBBC Oct 2014 A	0.250	11.38	10.88	2014/10/06	40,000,000	2013/12/04
60454	HK Bank	HSCEI R Bull CBBC Sep 2014 A	0.250	9,488 per 10,000 units	9,688 per 10,000 units	2014/09/29	100,000,000	2013/12/04
60453	HK Bank	HSCEI R Bear CBBC Jul 2014 A	0.250	11,638 per 10,000 units	11,438 per 10,000 units	2014/07/30	68,000,000	2013/12/04
60446	HK Bank	HSI R Bull CBBC Sep 2014 B	0.250	22,728 per 20,000 units	23,028 per 20,000 units	2014/09/29	400,000,000	2013/12/04
60554	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 F	0.250	23,606 per 10,000 units	23,806 per 10,000 units	2014/12/30	300,000,000	2013/12/04
60519	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 G	0.250	23,608 per 15,000 units	23,808 per 15,000 units	2014/06/27	100,000,000	2013/12/04
60522	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 E	0.250	24,028 per 15,000 units	23,828 per 15,000 units	2014/06/27	100,000,000	2013/12/04
60494	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 M	0.250	23,588 per 10,000 units	23,688 per 10,000 units	2014/07/30	200,000,000	2013/12/04
60499	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 E	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/03/28	200,000,000	2013/12/04
60507	UBS AG	ABC R Bull CBBC Aug 2014 A	0.250	3.25	3.5	2014/08/11	100,000,000	2013/12/04
60504	UBS AG	BOCL R Bull CBBC Sep 2014 A	0.250	3.32	3.5	2014/09/29	100,000,000	2013/12/04
60506	UBS AG	CNBM R Bull CBBC Jun 2014 A	0.250	7.2	8	2014/06/23	50,000,000	2013/12/04
60505	UBS AG	CNBM R Bear CBBC Apr 2014 A	0.250	10.3	9.5	2014/04/14	50,000,000	2013/12/04
60508	UBS AG	China Shenhua R Bull CBBC Dec 2014 B	0.250	21 per 100 units	23 per 100 units	2014/12/22	100,000,000	2013/12/04
60517	UBS AG	HSBC R Bear CBBC Jun 2014 A	0.250	103 per 100 units	100 per 100 units	2014/06/23	100,000,000	2013/12/04
60539	UBS AG	HSI R Bull CBBC Aug 2014 H	0.250	23,609 per 10,000 units	23,809 per 10,000 units	2014/08/28	300,000,000	2013/12/04
60534	UBS AG	HSI R Bull CBBC Sep 2014 O	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2013/12/04
60493	UBS AG	HSI R Bear CBBC Mar 2014 O	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/03/28	300,000,000	2013/12/04
60509	UBS AG	Lenovo R Bear CBBC Jun 2014 A	0.250	10.5	10	2014/06/16	50,000,000	2013/12/04
60510	UBS AG	Petrochina R Bear CBBC Jul 2014 A	0.250	10.28	9.88	2014/07/21	100,000,000	2013/12/04
60514	UBS AG	TCH R Bull CBBC Jun 2014 M	0.310	410 per 100 units	420 per 100 units	2014/06/16	50,000,000	2013/12/04
60516	UBS AG	TCH R Bull CBBC Jul 2014 G	0.610	380 per 100 units	390 per 100 units	2014/07/28	50,000,000	2013/12/04
60610	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 A	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60611	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 B	0.250	23,250 per 10,000 units	23,450 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60621	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 C	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60612	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 Q	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/04/29	300,000,000	2013/12/05
60613	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 R	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/04/29	300,000,000	2013/12/05
60614	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 S	0.250	25,250 per 10,000 units	25,050 per 10,000 units	2014/04/29	300,000,000	2013/12/05
60679	Credit Suisse AG	HSI R Bull CBBC Sep 2014 T	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60680	Credit Suisse AG	HSI R Bull CBBC Sep 2014 U	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60681	Credit Suisse AG	HSI R Bull CBBC Sep 2014 W	0.250	23,756 per 10,000 units	23,956 per 10,000 units	2014/09/29	300,000,000	2013/12/05
60717	Credit Suisse AG	HSI R Bear CBBC Mar 2014 N	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60722	Credit Suisse AG	HSI R Bear CBBC Mar 2014 O	0.250	23,990 per 10,000 units	23,790 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60727	Credit Suisse AG	HSI R Bear CBBC Mar 2014 W	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60682	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 N	0.253	23,738 per 7,000 units	23,938 per 7,000 units	2014/03/28	150,000,000	2013/12/05
60576	HK Bank	China Mobile R Bull CBBC Oct 2014 C	0.250	78.18 per 100 units	80.68 per 100 units	2014/10/13	120,000,000	2013/12/05
60580	HK Bank	China Mobile R Bull CBBC Oct 2014 D	0.250	73.28 per 100 units	75.78 per 100 units	2014/10/27	120,000,000	2013/12/05
60581	HK Bank	China Mobile R Bull CBBC Nov 2014 A	0.250	71.68 per 100 units	74.18 per 100 units	2014/11/17	120,000,000	2013/12/05
60585	HK Bank	China Mobile R Bull CBBC Dec 2014 A	0.250	67.38 per 100 units	69.88 per 100 units	2014/12/22	120,000,000	2013/12/05
60587	HK Bank	CNBM R Bear CBBC Dec 2014 A	0.290	11.48	10.68	2014/12/22	50,000,000	2013/12/05
60738	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2013/12/05

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60620	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 A	0.250	24,157 per 10,000 units	23,957 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60740	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 B	0.250	23,990 per 10,000 units	23,790 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60715	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 H	0.250	23,738 per 15,000 units	23,938 per 15,000 units	2014/06/27	100,000,000	2013/12/05
60594	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 F	0.250	25,788 per 15,000 units	25,588 per 15,000 units	2014/06/27	100,000,000	2013/12/05
60599	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 G	0.250	24,100 per 12,000 units	23,900 per 12,000 units	2014/06/27	100,000,000	2013/12/05
60606	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 H	0.250	24,200 per 20,000 units	24,000 per 20,000 units	2014/06/27	150,000,000	2013/12/05
60622	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 N	0.250	23,688 per 10,000 units	23,788 per 10,000 units	2014/07/30	200,000,000	2013/12/05
60628	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 O	0.250	23,838 per 10,000 units	23,938 per 10,000 units	2014/07/30	200,000,000	2013/12/05
60631	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 F	0.250	24,288 per 10,000 units	24,188 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60639	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 G	0.250	24,488 per 10,000 units	24,388 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60643	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 H	0.250	24,638 per 10,000 units	24,538 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60644	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 I	0.250	24,738 per 10,000 units	24,638 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60645	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 J	0.250	24,838 per 10,000 units	24,738 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60647	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 K	0.250	24,938 per 10,000 units	24,838 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60649	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 L	0.250	25,038 per 10,000 units	24,938 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60731	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 M	0.250	24,038 per 10,000 units	23,938 per 10,000 units	2014/03/28	200,000,000	2013/12/05
60650	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 A	0.250	25,888 per 10,000 units	25,788 per 10,000 units	2014/04/29	200,000,000	2013/12/05
60651	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 B	0.250	25,988 per 10,000 units	25,888 per 10,000 units	2014/04/29	200,000,000	2013/12/05
60668	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 C	0.250	26,088 per 10,000 units	25,988 per 10,000 units	2014/04/29	200,000,000	2013/12/05
60710	UBS AG	CSOP A50 ETF R Bull CBBC Jul 2014 A	0.250	9.2	9.5	2014/07/07	100,000,000	2013/12/05
60705	UBS AG	A50 China R Bull CBBC May 2014 A	0.250	9.45	9.75	2014/05/19	100,000,000	2013/12/05
60704	UBS AG	HSCEI R Bull CBBC Jul 2014 D	0.250	10,700 per 10,000 units	10,900 per 10,000 units	2014/07/30	100,000,000	2013/12/05
60699	UBS AG	HSCEI R Bull CBBC Aug 2014 A	0.250	11,100 per 10,000 units	11,300 per 10,000 units	2014/08/28	100,000,000	2013/12/05
60698	UBS AG	HSCEI R Bear CBBC Mar 2014 B	0.250	12,050 per 10,000 units	11,850 per 10,000 units	2014/03/28	100,000,000	2013/12/05
60692	UBS AG	HSCEI R Bear CBBC Apr 2014 A	0.250	13,400 per 10,000 units	13,200 per 10,000 units	2014/04/29	100,000,000	2013/12/05
60696	UBS AG	HSCEI R Bear CBBC Apr 2014 B	0.250	12,350 per 10,000 units	12,150 per 10,000 units	2014/04/29	100,000,000	2013/12/05
60685	UBS AG	HSCEI R Bear CBBC May 2014 C	0.275	14,200 per 10,000 units	14,000 per 10,000 units	2014/05/29	100,000,000	2013/12/05
60684	UBS AG	HSI R Bull CBBC Jun 2014 O	0.250	23,650 per 10,000 units	23,850 per 10,000 units	2014/06/27	300,000,000	2013/12/05
60683	UBS AG	HSI R Bull CBBC Oct 2014 T	0.250	23,756 per 10,000 units	23,956 per 10,000 units	2014/10/30	300,000,000	2013/12/05
60615	UBS AG	HSI R Bear CBBC Mar 2014 P	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60616	UBS AG	HSI R Bear CBBC Mar 2014 S	0.250	24,007 per 10,000 units	23,807 per 10,000 units	2014/03/28	300,000,000	2013/12/05
60729	UBS AG	HSI R Bear CBBC Apr 2014 S	0.250	23,990 per 12,000 units	23,790 per 12,000 units	2014/04/29	350,000,000	2013/12/05
60714	UBS AG	Hutchison R Bull CBBC Oct 2014 A	0.250	92 per 100 units	95 per 100 units	2014/10/06	100,000,000	2013/12/05
60873	Credit Suisse AG	HSI R Bull CBBC Nov 2014 F	0.250	23,488 per 10,000 units	23,688 per 10,000 units	2014/11/27	300,000,000	2013/12/06
60875	Credit Suisse AG	HSI R Bull CBBC Nov 2014 G	0.250	23,681 per 10,000 units	23,881 per 10,000 units	2014/11/27	300,000,000	2013/12/06
60776	Credit Suisse AG	HSI R Bear CBBC Apr 2014 O	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/04/29	300,000,000	2013/12/06
60777	Credit Suisse AG	HSI R Bear CBBC Apr 2014 P	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/04/29	300,000,000	2013/12/06
60742	Credit Suisse AG	HSI R Bear CBBC Jul 2014 A	0.270	26,300 per 10,000 units	26,100 per 10,000 units	2014/07/30	300,000,000	2013/12/06
60754	Credit Suisse AG	HSI R Bear CBBC Jul 2014 B	0.320	26,800 per 10,000 units	26,600 per 10,000 units	2014/07/30	300,000,000	2013/12/06
60775	Credit Suisse AG	HSI R Bear CBBC Jul 2014 C	0.390	27,500 per 10,000 units	27,300 per 10,000 units	2014/07/30	300,000,000	2013/12/06
60744	Credit Suisse AG	HSI R Bear CBBC Aug 2014 A	0.290	26,500 per 10,000 units	26,300 per 10,000 units	2014/08/28	300,000,000	2013/12/06
60768	Credit Suisse AG	HSI R Bear CBBC Aug 2014 B	0.350	27,100 per 10,000 units	26,900 per 10,000 units	2014/08/28	300,000,000	2013/12/06
60876	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bull CBBC Jun14A	0.250	9.3	9.6	2014/06/05	100,000,000	2013/12/06

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60877	Citigroup Global Mkt H Inc.	CSOP A50 ETF R Bear CBBC Jun14A	0.250	11.1	10.8	2014/06/05	100,000,000	2013/12/06
60879	Citigroup Global Mkt H Inc.	HKEx R Bull CBBC Jun 2014 A	0.250	120 per 100 units	125 per 100 units	2014/06/05	50,000,000	2013/12/06
60880	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jun 2014 A	0.250	145 per 100 units	140 per 100 units	2014/06/05	50,000,000	2013/12/06
60881	Citigroup Global Mkt H Inc.	HKEx R Bear CBBC Jun 2014 B	0.250	155 per 100 units	150 per 100 units	2014/06/05	50,000,000	2013/12/06
60810	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 M	0.252	24,188 per 7,000 units	23,988 per 7,000 units	2014/03/28	150,000,000	2013/12/06
60814	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 A	0.252	26,588 per 20,000 units	26,288 per 20,000 units	2014/06/27	150,000,000	2013/12/06
60817	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 B	0.252	26,788 per 20,000 units	26,488 per 20,000 units	2014/06/27	150,000,000	2013/12/06
60821	Goldman Sachs SP (Asia)	HSI R Bear CBBC Jun 2014 C	0.252	26,988 per 20,000 units	26,688 per 20,000 units	2014/06/27	150,000,000	2013/12/06
60809	HK Bank	Cheung Kong R Bull CBBC Jun 2014 B	0.250	112.28 per 100 units	118.28 per 100 units	2014/06/18	60,000,000	2013/12/06
60802	HK Bank	HSI R Bull CBBC Jul 2014 I	0.250	23,588 per 15,000 units	23,788 per 15,000 units	2014/07/30	100,000,000	2013/12/06
60778	HK Bank	HSI R Bull CBBC Aug 2014 F	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2014/08/28	100,000,000	2013/12/06
60798	HK Bank	HSI R Bear CBBC May 2014 J	0.250	24,588 per 15,000 units	24,388 per 15,000 units	2014/05/29	100,000,000	2013/12/06
60792	HK Bank	HSI R Bear CBBC Jun 2014 L	0.250	24,188 per 15,000 units	23,988 per 15,000 units	2014/06/27	100,000,000	2013/12/06
60791	HK Bank	HSI R Bear CBBC Jul 2014 E	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2014/07/30	100,000,000	2013/12/06
60801	HK Bank	HSI R Bear CBBC Jul 2014 F	0.250	24,788 per 15,000 units	24,588 per 15,000 units	2014/07/30	100,000,000	2013/12/06
60794	HK Bank	HSI R Bear CBBC Aug 2014 D	0.250	25,888 per 15,000 units	25,688 per 15,000 units	2014/08/28	100,000,000	2013/12/06
60797	HK Bank	HSI R Bear CBBC Sep 2014 B	0.250	26,088 per 15,000 units	25,888 per 15,000 units	2014/09/29	100,000,000	2013/12/06
60803	HK Bank	Ping An R Bear CBBC Aug 2014 B	0.250	93.88 per 100 units	89.88 per 100 units	2014/08/11	40,000,000	2013/12/06
60883	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	23,681 per 10,000 units	23,881 per 10,000 units	2014/10/30	300,000,000	2013/12/06
60882	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 M	0.250	23,525 per 10,000 units	23,725 per 10,000 units	2014/11/27	300,000,000	2013/12/06
60906	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 C	0.250	24,575 per 10,000 units	24,375 per 10,000 units	2014/03/28	300,000,000	2013/12/06
60909	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 D	0.250	24,200 per 10,000 units	24,000 per 10,000 units	2014/03/28	300,000,000	2013/12/06
60911	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 E	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2014/03/28	300,000,000	2013/12/06
60824	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 J	0.250	23,638 per 10,000 units	23,838 per 10,000 units	2014/12/30	100,000,000	2013/12/06
60832	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 P	0.250	23,738 per 10,000 units	23,838 per 10,000 units	2014/07/30	200,000,000	2013/12/06
60833	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 N	0.250	24,088 per 10,000 units	23,988 per 10,000 units	2014/03/28	200,000,000	2013/12/06
60846	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 O	0.250	24,388 per 10,000 units	24,288 per 10,000 units	2014/03/28	200,000,000	2013/12/06
60869	UBS AG	HSCEI R Bull CBBC Jul 2014 E	0.250	11,000 per 10,000 units	11,200 per 10,000 units	2014/07/30	100,000,000	2013/12/06
60867	UBS AG	HSCEI R Bull CBBC Sep 2014 C	0.250	10,550 per 10,000 units	10,750 per 10,000 units	2014/09/29	100,000,000	2013/12/06
60866	UBS AG	HSCEI R Bear CBBC Apr 2014 C	0.250	11,750 per 10,000 units	11,550 per 10,000 units	2014/04/29	100,000,000	2013/12/06
60865	UBS AG	HSCEI R Bear CBBC May 2014 D	0.250	12,500 per 10,000 units	12,300 per 10,000 units	2014/05/29	100,000,000	2013/12/06
60860	UBS AG	HSI R Bull CBBC Jun 2014 W	0.250	23,681 per 12,000 units	23,881 per 12,000 units	2014/06/27	350,000,000	2013/12/06
60853	UBS AG	HSI R Bull CBBC Oct 2014 U	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/10/30	300,000,000	2013/12/06
60823	UBS AG	HSI R Bear CBBC Apr 2014 T	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/04/29	300,000,000	2013/12/06
60822	UBS AG	HSI R Bear CBBC Apr 2014 X	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/04/29	300,000,000	2013/12/06
60862	UBS AG	HSI R Bear CBBC Jul 2014 A	0.335	27,200 per 10,000 units	27,000 per 10,000 units	2014/07/30	300,000,000	2013/12/06
60864	UBS AG	HSI R Bear CBBC Jul 2014 B	0.265	26,500 per 10,000 units	26,300 per 10,000 units	2014/07/30	300,000,000	2013/12/06
69178 #	HK Bank	HSI R Bear CBBC Jun 2014 A	0.065	24,888 per 15,000 units	24,688 per 15,000 units	2014/06/27	188,000,000	2013/12/06
60966	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 A	0.250	9.6	9.9	2014/12/30	200,000,000	2013/12/09
60965	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 D	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2014/09/29	300,000,000	2013/12/09
60938	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 T	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/04/29	300,000,000	2013/12/09
60953	Credit Suisse AG	HSI R Bull CBBC Oct 2014 R	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2014/10/30	300,000,000	2013/12/09

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
60958	Credit Suisse AG	HSI R Bull CBBC Oct 2014 S	0.250	23,838 per 10,000 units	24,038 per 10,000 units	2014/10/30	300,000,000	2013/12/09
60939	Credit Suisse AG	HSI R Bear CBBC Mar 2014 X	0.250	24,082 per 10,000 units	23,882 per 10,000 units	2014/03/28	300,000,000	2013/12/09
60942	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Y	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/03/28	300,000,000	2013/12/09
60947	Credit Suisse AG	HSI R Bear CBBC May 2014 C	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/05/29	300,000,000	2013/12/09
60988	Citigroup Global Mkt H Inc.	China Mobile R Bull CBBC Jun14E	0.250	77 per 100 units	79.50 per 100 units	2014/06/09	80,000,000	2013/12/09
60994	Citigroup Global Mkt H Inc.	HSI R Bull CBBC Apr 2014 C	0.250	23,600 per 10,000 units	23,788 per 10,000 units	2014/04/29	150,000,000	2013/12/09
60995	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Apr 2014 A	0.250	24,850 per 10,000 units	24,666 per 10,000 units	2014/04/29	150,000,000	2013/12/09
60979	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 O	0.254	23,588 per 20,000 units	23,888 per 20,000 units	2014/03/28	150,000,000	2013/12/09
60913	HK Bank	A50 R Bull CBBC Sep 2014 B	0.250	9.08	9.38	2014/09/08	100,000,000	2013/12/09
60931	HK Bank	Galaxy Ent R Bull CBBC Sep 2014 B	0.620	54.77	57.27	2014/09/01	50,000,000	2013/12/09
60912	HK Bank	HSCEI R Bull CBBC Jul 2014 E	0.250	11,138 per 10,000 units	11,338 per 10,000 units	2014/07/30	100,000,000	2013/12/09
60916	HK Bank	Hutchison R Bull CBBC Oct 2014 A	0.250	93.38 per 100 units	96.38 per 100 units	2014/10/13	60,000,000	2013/12/09
60926	HK Bank	Kunlun Energy R Bull CBBC Sep 2014 B	0.250	12.88	13.68	2014/09/08	40,000,000	2013/12/09
60936	HK Bank	Sands China R Bull CBBC Sep 2014 B	0.250	52.28 per 100 units	55.28 per 100 units	2014/09/15	60,000,000	2013/12/09
60929	HK Bank	TCH R Bull CBBC Sep 2014 F	0.270	423.88 per 100 units	433.88 per 100 units	2014/09/22	50,000,000	2013/12/09
60930	HK Bank	TCH R Bull CBBC Sep 2014 G	0.250	428.88 per 100 units	438.88 per 100 units	2014/09/01	50,000,000	2013/12/09
60977	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 X	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2014/09/29	300,000,000	2013/12/09
60978	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	23,838 per 10,000 units	24,038 per 10,000 units	2014/10/30	300,000,000	2013/12/09
60973	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 N	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2014/11/27	300,000,000	2013/12/09
60970	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 K	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/03/28	300,000,000	2013/12/09
60937	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 M	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/12/09
60985	UBS AG	HSI R Bull CBBC Jun 2014 Z	0.250	23,838 per 10,000 units	24,038 per 10,000 units	2014/06/27	300,000,000	2013/12/09
60983	UBS AG	HSI R Bull CBBC Sep 2014 P	0.250	23,700 per 10,000 units	23,900 per 10,000 units	2014/09/29	300,000,000	2013/12/09
60945	UBS AG	HSI R Bear CBBC Mar 2014 V	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/03/28	300,000,000	2013/12/09
60944	UBS AG	HSI R Bear CBBC Apr 2014 U	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/04/29	300,000,000	2013/12/09
60946	UBS AG	HSI R Bear CBBC May 2014 F	0.250	24,082 per 12,000 units	23,882 per 12,000 units	2014/05/29	350,000,000	2013/12/09
61025	Credit Suisse AG	HSI R Bull CBBC Aug 2014 R	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2014/08/28	300,000,000	2013/12/10
61026	Credit Suisse AG	HSI R Bull CBBC Aug 2014 S	0.250	23,688 per 12,000 units	23,888 per 12,000 units	2014/08/28	300,000,000	2013/12/10
61031	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Q	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/03/28	300,000,000	2013/12/10
61034	Credit Suisse AG	HSI R Bear CBBC Mar 2014 R	0.250	24,099 per 12,000 units	23,899 per 12,000 units	2014/03/28	300,000,000	2013/12/10
61027	Credit Suisse AG	HSI R Bear CBBC May 2014 D	0.250	24,550 per 10,000 units	24,350 per 10,000 units	2014/05/29	300,000,000	2013/12/10
61043	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jun 2014 A	0.250	61 per 100 units	65 per 100 units	2014/06/23	80,000,000	2013/12/10
61044	Citigroup Global Mkt H Inc.	Ping An R Bull CBBC Jun 2014 B	0.250	66 per 100 units	70 per 100 units	2014/06/23	80,000,000	2013/12/10
61048	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Jun 2014 A	0.250	82 per 100 units	78 per 100 units	2014/06/23	80,000,000	2013/12/10
61049	Citigroup Global Mkt H Inc.	Ping An R Bear CBBC Jun 2014 B	0.250	87 per 100 units	83 per 100 units	2014/06/23	80,000,000	2013/12/10
61039	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 N	0.319	25,588 per 7,000 units	25,388 per 7,000 units	2014/03/28	150,000,000	2013/12/10
61041	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 O	0.352	25,788 per 7,000 units	25,588 per 7,000 units	2014/03/28	150,000,000	2013/12/10
61042	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 P	0.384	25,988 per 7,000 units	25,788 per 7,000 units	2014/03/28	150,000,000	2013/12/10
61023	HK Bank	China Life R Bull CBBC Sep 2014 B	0.250	23.88	24.88	2014/09/01	60,000,000	2013/12/10
61008	HK Bank	CSOP A50 ETF R Bear CBBC Oct 2014 A	0.250	11.38	11.08	2014/10/20	40,000,000	2013/12/10

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61007	HK Bank	A50 R Bull CBBC Jul 2014 C	0.250	9.78	10.08	2014/07/14	100,000,000	2013/12/10
61019	HK Bank	A50 R Bear CBBC Aug 2014 A	0.250	11.38	11.08	2014/08/25	50,000,000	2013/12/10
61017	HK Bank	A50 R Bear CBBC Oct 2014 A	0.250	12.68	12.38	2014/10/27	50,000,000	2013/12/10
60998	HK Bank	HSI R Bull CBBC Jun 2014 K	0.250	23,688 per 15,000 units	23,888 per 15,000 units	2014/06/27	100,000,000	2013/12/10
60999	HK Bank	HSI R Bull CBBC Jul 2014 J	0.250	23,788 per 15,000 units	23,988 per 15,000 units	2014/07/30	100,000,000	2013/12/10
61001	HK Bank	HSI R Bear CBBC Jul 2014 G	0.250	24,288 per 15,000 units	24,088 per 15,000 units	2014/07/30	100,000,000	2013/12/10
61005	HK Bank	HSI R Bear CBBC Jul 2014 H	0.250	24,988 per 15,000 units	24,788 per 15,000 units	2014/07/30	100,000,000	2013/12/10
61006	HK Bank	HSI R Bear CBBC Aug 2014 E	0.250	25,188 per 15,000 units	24,988 per 15,000 units	2014/08/28	100,000,000	2013/12/10
61024	HK Bank	Lenovo R Bull CBBC Dec 2014 A	0.250	8.38	8.88	2014/12/22	60,000,000	2013/12/10
61020	HK Bank	TCH R Bull CBBC Aug 2014 D	0.250	433.88 per 100 units	443.88 per 100 units	2014/08/20	50,000,000	2013/12/10
61021	HK Bank	TCH R Bear CBBC Aug 2014 C	0.420	493.88 per 100 units	483.88 per 100 units	2014/08/05	50,000,000	2013/12/10
61022	HK Bank	TCH R Bear CBBC Aug 2014 D	0.800	529.88 per 100 units	519.88 per 100 units	2014/08/20	50,000,000	2013/12/10
61054	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/10/30	300,000,000	2013/12/10
61050	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 L	0.250	24,111 per 10,000 units	23,911 per 10,000 units	2014/03/28	300,000,000	2013/12/10
61029	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 E	0.250	23,838 per 20,000 units	24,038 per 20,000 units	2014/09/29	100,000,000	2013/12/10
61028	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 R	0.250	24,300 per 12,000 units	24,100 per 12,000 units	2014/04/29	100,000,000	2013/12/10
61030	UBS AG	HSI R Bull CBBC Sep 2014 Q	0.250	23,800 per 10,000 units	24,000 per 10,000 units	2014/09/29	300,000,000	2013/12/10
61035	UBS AG	HSI R Bear CBBC Mar 2014 T	0.250	24,300 per 10,000 units	24,100 per 10,000 units	2014/03/28	300,000,000	2013/12/10
61036	UBS AG	HSI R Bear CBBC Jun 2014 B	0.250	24,099 per 12,000 units	23,899 per 12,000 units	2014/06/27	350,000,000	2013/12/10
61037	UBS AG	Hutchison R Bear CBBC Apr 2014 A	0.250	123 per 100 units	120 per 100 units	2014/04/14	100,000,000	2013/12/10
61038	UBS AG	Ping An R Bull CBBC Oct 2014 C	0.250	66 per 100 units	70 per 100 units	2014/10/13	100,000,000	2013/12/10
61069	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 E	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2013/12/11
61070	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 F	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/09/29	300,000,000	2013/12/11
61109	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 U	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/04/29	300,000,000	2013/12/11
61087	Credit Suisse AG	HSI R Bull CBBC Aug 2014 F	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/08/28	300,000,000	2013/12/11
61088	Credit Suisse AG	HSI R Bull CBBC Aug 2014 P	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/08/28	300,000,000	2013/12/11
61084	Credit Suisse AG	HSI R Bull CBBC Aug 2014 X	0.250	23,710 per 12,000 units	23,910 per 12,000 units	2014/08/28	300,000,000	2013/12/11
61106	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Q	0.250	24,188 per 12,000 units	23,988 per 12,000 units	2014/04/29	300,000,000	2013/12/11
61108	Credit Suisse AG	HSI R Bear CBBC Apr 2014 R	0.250	23,929 per 10,000 units	23,729 per 10,000 units	2014/04/29	300,000,000	2013/12/11
61099	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 P	0.257	23,588 per 7,000 units	23,788 per 7,000 units	2014/03/28	150,000,000	2013/12/11
61103	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 Q	0.257	23,388 per 20,000 units	23,688 per 20,000 units	2014/03/28	150,000,000	2013/12/11
61104	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 Q	0.252	24,388 per 20,000 units	24,088 per 20,000 units	2014/03/28	150,000,000	2013/12/11
61068	HK Bank	Galaxy Ent R Bull CBBC Sep 2014 C	0.500	57.77	60.27	2014/09/22	50,000,000	2013/12/11
61065	HK Bank	Galaxy Ent R Bear CBBC Nov 2014 A	0.250	74.77 per 100 units	72.27 per 100 units	2014/11/24	40,000,000	2013/12/11
61056	HK Bank	HSCEI R Bear CBBC Aug 2014 B	0.250	12,988 per 10,000 units	12,788 per 10,000 units	2014/08/28	68,000,000	2013/12/11
61057	HK Bank	HSCEI R Bear CBBC Sep 2014 B	0.250	13,288 per 10,000 units	13,088 per 10,000 units	2014/09/29	68,000,000	2013/12/11
61058	HK Bank	HSCEI R Bear CBBC Oct 2014 A	0.250	13,588 per 10,000 units	13,388 per 10,000 units	2014/10/30	68,000,000	2013/12/11
61059	HK Bank	HSCEI R Bear CBBC Nov 2014 A	0.260	13,888 per 10,000 units	13,688 per 10,000 units	2014/11/27	68,000,000	2013/12/11
61060	HK Bank	HSCEI R Bear CBBC Dec 2014 A	0.290	14,188 per 10,000 units	13,988 per 10,000 units	2014/12/30	68,000,000	2013/12/11
61061	HK Bank	Hutchison R Bull CBBC Jul 2014 C	0.250	96.28 per 100 units	99.28 per 100 units	2014/07/14	60,000,000	2013/12/11
61062	HK Bank	Hutchison R Bear CBBC Sep 2014 A	0.250	120.88 per 100 units	117.88 per 100 units	2014/09/29	50,000,000	2013/12/11

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61064	HK Bank	Sands China R Bull CBBC Sep 2014 C	0.250	55.28 per 100 units	58.28 per 100 units	2014/09/01	60,000,000	2013/12/11
61121	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Y	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/09/29	300,000,000	2013/12/11
61120	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 L	0.250	23,238 per 10,000 units	23,438 per 10,000 units	2014/10/30	300,000,000	2013/12/11
61118	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 N	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/03/28	300,000,000	2013/12/11
61119	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 O	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/03/28	300,000,000	2013/12/11
61117	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 K	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/04/29	300,000,000	2013/12/11
61071	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 F	0.250	23,550 per 20,000 units	23,750 per 20,000 units	2014/09/29	100,000,000	2013/12/11
61105	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 S	0.250	24,088 per 10,000 units	23,888 per 10,000 units	2014/04/29	100,000,000	2013/12/11
61097	UBS AG	China Mobile R Bull CBBC Oct 2014 C	0.250	77.50 per 100 units	80 per 100 units	2014/10/06	100,000,000	2013/12/11
61092	UBS AG	A50 China R Bear CBBC May 2014 A	0.250	12.3	12	2014/05/12	100,000,000	2013/12/11
61078	UBS AG	HSI R Bull CBBC Jun 2014 D	0.250	23,710 per 12,000 units	23,910 per 12,000 units	2014/06/27	350,000,000	2013/12/11
61077	UBS AG	HSI R Bull CBBC Oct 2014 V	0.250	23,550 per 10,000 units	23,750 per 10,000 units	2014/10/30	300,000,000	2013/12/11
61110	UBS AG	HSI R Bear CBBC Mar 2014 U	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/03/28	300,000,000	2013/12/11
61111	UBS AG	HSI R Bear CBBC Mar 2014 W	0.250	23,929 per 10,000 units	23,729 per 10,000 units	2014/03/28	300,000,000	2013/12/11
61098	UBS AG	Petrochina R Bull CBBC Jun 2014 B	0.250	8.1	8.5	2014/06/23	100,000,000	2013/12/11
61093	UBS AG	Ping An R Bear CBBC Aug 2014 A	0.250	94 per 100 units	90 per 100 units	2014/08/18	100,000,000	2013/12/11
61094	UBS AG	Sands China R Bull CBBC Aug 2014 B	0.250	52 per 100 units	55 per 100 units	2014/08/11	100,000,000	2013/12/11
61128	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 G	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/09/29	300,000,000	2013/12/12
61191	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 V	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/04/29	300,000,000	2013/12/12
61192	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 A	0.250	24,650 per 10,000 units	24,450 per 10,000 units	2014/06/27	300,000,000	2013/12/12
61199	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 B	0.250	25,400 per 10,000 units	25,200 per 10,000 units	2014/06/27	300,000,000	2013/12/12
61124	Credit Suisse AG	HSI R Bull CBBC Aug 2014 T	0.250	23,528 per 10,000 units	23,728 per 10,000 units	2014/08/28	300,000,000	2013/12/12
61179	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Y	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/08/28	300,000,000	2013/12/12
61183	Credit Suisse AG	HSI R Bear CBBC Apr 2014 S	0.250	24,388 per 10,000 units	24,188 per 10,000 units	2014/04/29	300,000,000	2013/12/12
61184	Credit Suisse AG	HSI R Bear CBBC Apr 2014 T	0.250	24,288 per 10,000 units	24,088 per 10,000 units	2014/04/29	300,000,000	2013/12/12
61186	Credit Suisse AG	HSI R Bear CBBC Apr 2014 U	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/04/29	300,000,000	2013/12/12
61200	Credit Suisse AG	HSI R Bear CBBC Apr 2014 V	0.250	23,913 per 10,000 units	23,713 per 10,000 units	2014/04/29	300,000,000	2013/12/12
61175	Citigroup Global Mkt H Inc.	Sands China R Bull CBBC Jul14B	0.250	55 per 100 units	58 per 100 units	2014/07/21	60,000,000	2013/12/12
61177	Citigroup Global Mkt H Inc.	Sands China R Bear CBBC Jul14A	0.250	69 per 100 units	66 per 100 units	2014/07/21	60,000,000	2013/12/12
61144	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 R	0.258	23,988 per 7,000 units	23,788 per 7,000 units	2014/03/28	150,000,000	2013/12/12
61122	HK Bank	HSI R Bear CBBC Jul 2014 I	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2014/07/30	100,000,000	2013/12/12
61126	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 Z	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/09/29	300,000,000	2013/12/12
61125	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 O	0.250	23,528 per 10,000 units	23,728 per 10,000 units	2014/10/30	300,000,000	2013/12/12
61203	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 P	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/03/28	300,000,000	2013/12/12
61138	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 G	0.250	23,450 per 15,000 units	23,650 per 15,000 units	2014/09/29	100,000,000	2013/12/12
61142	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 T	0.250	23,950 per 20,000 units	23,750 per 20,000 units	2014/04/29	100,000,000	2013/12/12
61152	UBS AG	Anhui Conch R Bull CBBC Nov 2014 A	0.250	26 per 100 units	28 per 100 units	2014/11/24	100,000,000	2013/12/12
61148	UBS AG	CC Bank R Bull CBBC Jul 2014 D	0.250	5.7	6	2014/07/14	100,000,000	2013/12/12
61147	UBS AG	A50 China R Bull CBBC Jun 2014 B	0.250	9.7	10	2014/06/16	100,000,000	2013/12/12
61146	UBS AG	A50 China R Bear CBBC Apr 2014 B	0.250	11.05	10.75	2014/04/28	100,000,000	2013/12/12

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61168	UBS AG	Galaxy Ent R Bull CBBC Oct 2014 A	0.250	55 per 100 units	57.50 per 100 units	2014/10/13	100,000,000	2013/12/12
61159	UBS AG	Galaxy Ent R Bear CBBC Jun 2014 B	0.250	68.50 per 100 units	66 per 100 units	2014/06/23	100,000,000	2013/12/12
61155	UBS AG	Galaxy Ent R Bear CBBC Jul 2014 A	0.250	74.50 per 100 units	72 per 100 units	2014/07/14	100,000,000	2013/12/12
61131	UBS AG	HSI R Bull CBBC Aug 2014 I	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/08/28	300,000,000	2013/12/12
61135	UBS AG	HSI R Bull CBBC Aug 2014 J	0.250	23,528 per 12,000 units	23,728 per 12,000 units	2014/08/28	350,000,000	2013/12/12
61201	UBS AG	HSI R Bear CBBC Mar 2014 X	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/03/28	300,000,000	2013/12/12
61202	UBS AG	HSI R Bear CBBC Mar 2014 Y	0.250	23,854 per 10,000 units	23,654 per 10,000 units	2014/03/28	300,000,000	2013/12/12
61174	UBS AG	Hutchison R Bull CBBC Oct 2014 B	0.250	94.50 per 100 units	97.50 per 100 units	2014/10/20	100,000,000	2013/12/12
61153	UBS AG	TCH R Bull CBBC Jul 2014 H	0.295	420 per 100 units	430 per 100 units	2014/07/21	50,000,000	2013/12/12
61211	Credit Suisse AG	HSI R Bull CBBC Sep 2014 V	0.250	23,288 per 10,000 units	23,488 per 10,000 units	2014/09/29	300,000,000	2013/12/13
61233	Credit Suisse AG	HSI R Bull CBBC Sep 2014 X	0.250	23,543 per 10,000 units	23,743 per 10,000 units	2014/09/29	300,000,000	2013/12/13
61212	Credit Suisse AG	HSI R Bear CBBC Mar 2014 E	0.250	23,862 per 10,000 units	23,662 per 10,000 units	2014/03/28	300,000,000	2013/12/13
61213	Credit Suisse AG	HSI R Bear CBBC Mar 2014 S	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/03/28	300,000,000	2013/12/13
61242	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 R	0.255	23,388 per 7,000 units	23,588 per 7,000 units	2014/03/28	150,000,000	2013/12/13
61206	HK Bank	China Mobile R Bull CBBC Sep 2014 A	0.250	79.78 per 100 units	82.28 per 100 units	2014/09/29	120,000,000	2013/12/13
61207	HK Bank	A50 R Bull CBBC Sep 2014 C	0.250	9.98	10.28	2014/09/01	100,000,000	2013/12/13
61205	HK Bank	HSCEI R Bear CBBC Jul 2014 B	0.250	11,788 per 10,000 units	11,588 per 10,000 units	2014/07/30	68,000,000	2013/12/13
61235	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 D	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2013/12/13
61234	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Q	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/03/28	300,000,000	2013/12/13
61208	UBS AG	HSI R Bull CBBC Oct 2014 W	0.250	23,400 per 10,000 units	23,600 per 10,000 units	2014/10/30	300,000,000	2013/12/13
61230	UBS AG	HSI R Bull CBBC Dec 2014 G	0.250	23,543 per 10,000 units	23,743 per 10,000 units	2014/12/30	300,000,000	2013/12/13
61215	UBS AG	HSI R Bear CBBC Mar 2014 R	0.250	23,862 per 12,000 units	23,662 per 12,000 units	2014/03/28	350,000,000	2013/12/13
61214	UBS AG	HSI R Bear CBBC Apr 2014 V	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/04/29	300,000,000	2013/12/13
61217	UBS AG	TCH R Bull CBBC Aug 2014 A	0.280	430 per 100 units	440 per 100 units	2014/08/11	50,000,000	2013/12/13
61216	UBS AG	TCH R Bear CBBC Jun 2014 F	0.630	520 per 100 units	510 per 100 units	2014/06/16	50,000,000	2013/12/13
61278	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 W	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/04/29	300,000,000	2013/12/16
61294	Credit Suisse AG	HSI R Bull CBBC Oct 2014 T	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/10/30	300,000,000	2013/12/16
61296	Credit Suisse AG	HSI R Bull CBBC Oct 2014 U	0.250	23,610 per 12,000 units	23,810 per 12,000 units	2014/10/30	300,000,000	2013/12/16
61281	Credit Suisse AG	HSI R Bear CBBC Mar 2014 F	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/03/28	300,000,000	2013/12/16
61288	Credit Suisse AG	HSI R Bear CBBC Mar 2014 G	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/12/16
61279	Credit Suisse AG	HSI R Bear CBBC Mar 2014 T	0.250	23,944 per 12,000 units	23,744 per 12,000 units	2014/03/28	300,000,000	2013/12/16
61253	HK Bank	CSOP A50 ETF R Bull CBBC Sep 2014 B	0.250	9.4	9.7	2014/09/29	80,000,000	2013/12/16
61250	HK Bank	HSI R Bull CBBC Jul 2014 K	0.250	23,488 per 15,000 units	23,688 per 15,000 units	2014/07/30	100,000,000	2013/12/16
61243	HK Bank	HSI R Bull CBBC Aug 2014 G	0.250	23,388 per 15,000 units	23,588 per 15,000 units	2014/08/28	100,000,000	2013/12/16
61251	HK Bank	HSI R Bear CBBC Nov 2014 A	0.250	23,988 per 15,000 units	23,788 per 15,000 units	2014/11/27	100,000,000	2013/12/16
61258	HK Bank	TCH R Bull CBBC Aug 2014 E	0.250	443.88 per 100 units	453.88 per 100 units	2014/08/18	50,000,000	2013/12/16
61255	HK Bank	TCH R Bull CBBC Oct 2014 C	0.250	438.88 per 100 units	448.88 per 100 units	2014/10/08	50,000,000	2013/12/16
61261	HK Bank	TCH R Bear CBBC Aug 2014 E	0.480	503.88 per 100 units	493.88 per 100 units	2014/08/18	50,000,000	2013/12/16
61274	HK Bank	TCH R Bear CBBC Oct 2014 C	0.950	548.88 per 100 units	538.88 per 100 units	2014/10/08	50,000,000	2013/12/16
61344	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 K	0.250	23,388 per 10,000 units	23,588 per 10,000 units	2014/10/30	300,000,000	2013/12/16
61345	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 P	0.250	23,611 per 10,000 units	23,811 per 10,000 units	2014/10/30	300,000,000	2013/12/16
61343	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 R	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/12/16
61292	Standard Chartered Bank	HSI R Bull CBBC Jun 2014 I	0.250	23,610 per 15,000 units	23,810 per 15,000 units	2014/06/27	100,000,000	2013/12/16

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
61289	Standard Chartered Bank	HSI R Bear CBBC Apr 2014 U	0.250	24,000 per 15,000 units	23,800 per 15,000 units	2014/04/29	100,000,000	2013/12/16
61309	UBS AG	China Life R Bull CBBC Aug 2014 B	0.250	23.88	24.88	2014/08/18	100,000,000	2013/12/16
61305	UBS AG	China Life R Bear CBBC Sep 2014 A	0.530	30.88	29.88	2014/09/01	100,000,000	2013/12/16
61301	UBS AG	A50 China R Bear CBBC Apr 2014 C	0.250	11.8	11.5	2014/04/28	100,000,000	2013/12/16
61300	UBS AG	HSCEI R Bull CBBC Jul 2014 F	0.250	11,150 per 10,000 units	11,350 per 10,000 units	2014/07/30	100,000,000	2013/12/16
61297	UBS AG	HSI R Bull CBBC Aug 2014 K	0.250	23,611 per 10,000 units	23,811 per 10,000 units	2014/08/28	300,000,000	2013/12/16
61298	UBS AG	HSI R Bull CBBC Sep 2014 T	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/09/29	300,000,000	2013/12/16
61290	UBS AG	HSI R Bear CBBC Mar 2014 Z	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/03/28	300,000,000	2013/12/16
61291	UBS AG	HSI R Bear CBBC May 2014 G	0.250	23,944 per 12,000 units	23,744 per 12,000 units	2014/05/29	350,000,000	2013/12/16
61313	UBS AG	TCH R Bull CBBC Jul 2014 I	0.275	440 per 100 units	450 per 100 units	2014/07/07	50,000,000	2013/12/16
61312	UBS AG	TCH R Bear CBBC Aug 2014 A	0.830	550 per 100 units	540 per 100 units	2014/08/11	50,000,000	2013/12/16
61310	UBS AG	TCH R Bear CBBC Sep 2014 A	0.930	560 per 100 units	550 per 100 units	2014/09/01	50,000,000	2013/12/16
62648	Credit Suisse AG	HSI R Bull CBBC Oct 2014 V	0.250	23,588 per 12,000 units	23,788 per 12,000 units	2014/10/30	300,000,000	2013/12/17
62649	Credit Suisse AG	HSI R Bear CBBC Apr 2014 W	0.250	24,100 per 10,000 units	23,900 per 10,000 units	2014/04/29	300,000,000	2013/12/17
62650	Credit Suisse AG	HSI R Bear CBBC Apr 2014 X	0.250	23,945 per 10,000 units	23,745 per 10,000 units	2014/04/29	300,000,000	2013/12/17
62675	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 S	0.257	23,188 per 7,000 units	23,388 per 7,000 units	2014/03/28	150,000,000	2013/12/17
62684	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 T	0.254	22,988 per 7,000 units	23,188 per 7,000 units	2014/03/28	150,000,000	2013/12/17
62698	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 B	0.250	23,425 per 10,000 units	23,625 per 10,000 units	2014/09/29	300,000,000	2013/12/17
62686	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 T	0.250	23,945 per 10,000 units	23,745 per 10,000 units	2014/03/28	300,000,000	2013/12/17
62336	Standard Chartered Bank	HSI R Bull CBBC Sep 2014 H	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/09/29	100,000,000	2013/12/17
61349	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14Q	0.250	23,138 per 10,000 units	23,238 per 10,000 units	2014/07/30	200,000,000	2013/12/17
62243	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14R	0.250	23,538 per 10,000 units	23,638 per 10,000 units	2014/07/30	200,000,000	2013/12/17
62254	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul 14S	0.250	23,688 per 10,000 units	23,788 per 10,000 units	2014/07/30	200,000,000	2013/12/17
62260	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 14P	0.250	23,938 per 10,000 units	23,838 per 10,000 units	2014/03/28	200,000,000	2013/12/17
62332	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 14Q	0.250	24,038 per 10,000 units	23,938 per 10,000 units	2014/03/28	200,000,000	2013/12/17
62334	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar 14R	0.250	24,188 per 10,000 units	24,088 per 10,000 units	2014/03/28	200,000,000	2013/12/17
62542	UBS AG	BOCL R Bear CBBC Jul 2014 A	0.250	4.06	3.88	2014/07/28	100,000,000	2013/12/17
62592	UBS AG	China Mobile R Bull CBBC Sep 2014 A	0.250	80 per 100 units	82.50 per 100 units	2014/09/15	100,000,000	2013/12/17
62628	UBS AG	COVS R Bull CBBC Jul 2014 A	0.250	21.2	22.2	2014/07/21	50,000,000	2013/12/17
62543	UBS AG	Minsheng Bank R Bear CBBC May 2014 A	0.250	10.5	10	2014/05/19	50,000,000	2013/12/17
62641	UBS AG	Galaxy Ent R Bull CBBC Sep 2014 A	0.250	57.50 per 100 units	60 per 100 units	2014/09/22	100,000,000	2013/12/17
62647	UBS AG	HSBC R Bull CBBC May 2014 B	0.250	78 per 100 units	81 per 100 units	2014/05/12	100,000,000	2013/12/17
62378	UBS AG	HSI R Bull CBBC Aug 2014 M	0.250	23,325 per 12,000 units	23,525 per 12,000 units	2014/08/28	350,000,000	2013/12/17
62379	UBS AG	HSI R Bull CBBC Aug 2014 N	0.250	23,175 per 12,000 units	23,375 per 12,000 units	2014/08/28	350,000,000	2013/12/17
62335	UBS AG	HSI R Bull CBBC Nov 2014 D	0.250	23,600 per 10,000 units	23,800 per 10,000 units	2014/11/27	300,000,000	2013/12/17
62337	UBS AG	HSI R Bear CBBC Mar 2014 C	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/03/28	300,000,000	2013/12/17
62651	UBS AG	HSI R Bear CBBC Apr 2014 W	0.250	23,945 per 10,000 units	23,745 per 10,000 units	2014/04/29	300,000,000	2013/12/17
62629	UBS AG	Kunlun Energy R Bull CBBC Jun 2014 B	0.250	13.08	13.88	2014/06/23	40,000,000	2013/12/17
62755	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 H	0.250	23,500 per 10,000 units	23,700 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62760	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 I	0.250	23,300 per 10,000 units	23,500 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62862	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 J	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2013/12/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63083	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 K	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62876	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 A	0.250	24,150 per 10,000 units	23,950 per 10,000 units	2014/04/29	300,000,000	2013/12/18
62883	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 B	0.250	24,250 per 10,000 units	24,050 per 10,000 units	2014/04/29	300,000,000	2013/12/18
63088	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 C	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/12/18
63090	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 D	0.250	24,050 per 10,000 units	23,850 per 10,000 units	2014/04/29	300,000,000	2013/12/18
62869	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 X	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/04/29	300,000,000	2013/12/18
62872	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Apr 2014 Y	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/04/29	300,000,000	2013/12/18
62762	Credit Suisse AG	HSI R Bull CBBC Sep 2014 A	0.250	23,450 per 10,000 units	23,650 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62776	Credit Suisse AG	HSI R Bull CBBC Sep 2014 B	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62931	Credit Suisse AG	HSI R Bull CBBC Sep 2014 C	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62764	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Y	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2014/09/29	300,000,000	2013/12/18
62761	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Z	0.250	23,544 per 12,000 units	23,744 per 12,000 units	2014/09/29	300,000,000	2013/12/18
62941	Credit Suisse AG	HSI R Bear CBBC Mar 2014 L	0.250	23,900 per 12,000 units	23,700 per 12,000 units	2014/03/28	300,000,000	2013/12/18
62994	Credit Suisse AG	HSI R Bear CBBC Mar 2014 M	0.250	23,539 per 10,000 units	23,339 per 10,000 units	2014/03/28	300,000,000	2013/12/18
62966	Credit Suisse AG	HSI R Bear CBBC Mar 2014 O	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/03/28	300,000,000	2013/12/18
62961	Credit Suisse AG	HSI R Bear CBBC Mar 2014 Z	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/12/18
62833	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 U	0.251	22,788 per 7,000 units	22,988 per 7,000 units	2014/03/28	150,000,000	2013/12/18
62835	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 S	0.258	23,788 per 7,000 units	23,588 per 7,000 units	2014/03/28	150,000,000	2013/12/18
62836	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 T	0.253	23,688 per 7,000 units	23,488 per 7,000 units	2014/03/28	150,000,000	2013/12/18
62858	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 U	0.258	24,188 per 20,000 units	23,888 per 20,000 units	2014/03/28	150,000,000	2013/12/18
62861	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 V	0.253	23,988 per 20,000 units	23,688 per 20,000 units	2014/03/28	150,000,000	2013/12/18
62700	HK Bank	COVS R Bull CBBC Jul 2014 A	0.370	19.28	20.28	2014/07/14	50,000,000	2013/12/18
62701	HK Bank	COVS R Bull CBBC Sep 2014 A	0.560	17.38	18.38	2014/09/29	50,000,000	2013/12/18
62702	HK Bank	Galaxy Ent R Bear CBBC Dec 2014 A	0.250	77.77 per 100 units	75.27 per 100 units	2014/12/22	40,000,000	2013/12/18
62699	HK Bank	HSI R Bear CBBC Sep 2014 C	0.250	24,088 per 15,000 units	23,888 per 15,000 units	2014/09/29	100,000,000	2013/12/18
62710	HK Bank	Sands China R Bear CBBC Jul 2014 B	0.250	75.28 per 100 units	72.28 per 100 units	2014/07/14	50,000,000	2013/12/18
62716	HK Bank	TCH R Bull CBBC Sep 2014 H	0.250	453.88 per 100 units	463.88 per 100 units	2014/09/08	50,000,000	2013/12/18
62715	HK Bank	TCH R Bull CBBC Oct 2014 D	0.250	448.88 per 100 units	458.88 per 100 units	2014/10/20	50,000,000	2013/12/18
62714	HK Bank	TCH R Bear CBBC Nov 2014 A	1.200	578.88 per 100 units	568.88 per 100 units	2014/11/17	50,000,000	2013/12/18
62831	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Q	0.250	23,248 per 10,000 units	23,448 per 10,000 units	2014/10/30	300,000,000	2013/12/18
62814	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 O	0.250	23,150 per 10,000 units	23,350 per 10,000 units	2014/11/27	300,000,000	2013/12/18
62824	J P Morgan SP BV	HSI R Bull CBBC Nov 2014 P	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/11/27	300,000,000	2013/12/18
63091	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 V	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/03/28	300,000,000	2013/12/18
63095	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 W	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/03/28	300,000,000	2013/12/18
63096	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 X	0.250	23,539 per 10,000 units	23,339 per 10,000 units	2014/03/28	300,000,000	2013/12/18
62736	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 K	0.250	23,500 per 15,000 units	23,700 per 15,000 units	2014/12/30	100,000,000	2013/12/18
62749	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 L	0.250	22,800 per 30,000 units	23,100 per 30,000 units	2014/12/30	150,000,000	2013/12/18
62781	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 M	0.250	23,300 per 15,000 units	23,500 per 15,000 units	2014/12/30	100,000,000	2013/12/18
62940	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 N	0.250	23,188 per 10,000 units	23,388 per 10,000 units	2014/12/30	100,000,000	2013/12/18
62750	Standard Chartered Bank	HSI R Bear CBBC May 2014 L	0.250	24,438 per 30,000 units	24,138 per 30,000 units	2014/05/29	150,000,000	2013/12/18
62784	Standard Chartered Bank	HSI R Bear CBBC May 2014 M	0.250	23,800 per 12,000 units	23,600 per 12,000 units	2014/05/29	100,000,000	2013/12/18
62803	Standard Chartered Bank	HSI R Bear CBBC May 2014 N	0.250	23,650 per 18,000 units	23,450 per 18,000 units	2014/05/29	100,000,000	2013/12/18
63054	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 O	0.250	23,238 per 10,000 units	23,338 per 10,000 units	2014/06/27	200,000,000	2013/12/18

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
62751	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 T	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/07/30	200,000,000	2013/12/18
62752	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 U	0.250	23,488 per 10,000 units	23,588 per 10,000 units	2014/07/30	200,000,000	2013/12/18
62753	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 V	0.250	23,638 per 10,000 units	23,738 per 10,000 units	2014/07/30	200,000,000	2013/12/18
62808	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 W	0.250	23,338 per 10,000 units	23,438 per 10,000 units	2014/07/30	200,000,000	2013/12/18
62754	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 S	0.250	23,988 per 10,000 units	23,888 per 10,000 units	2014/03/28	200,000,000	2013/12/18
62809	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 T	0.250	23,588 per 10,000 units	23,488 per 10,000 units	2014/03/28	200,000,000	2013/12/18
62810	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 U	0.250	23,688 per 10,000 units	23,588 per 10,000 units	2014/03/28	200,000,000	2013/12/18
62812	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 V	0.250	23,838 per 10,000 units	23,738 per 10,000 units	2014/03/28	200,000,000	2013/12/18
63082	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 W	0.250	23,488 per 10,000 units	23,388 per 10,000 units	2014/03/28	200,000,000	2013/12/18
62885	UBS AG	China Mobile R Bear CBBC Apr 2014 E	0.250	87.50 per 100 units	85 per 100 units	2014/04/22	100,000,000	2013/12/18
62890	UBS AG	HSBC R Bull CBBC Nov 2014 A	0.250	76 per 100 units	79 per 100 units	2014/11/17	100,000,000	2013/12/18
63053	UBS AG	HSI R Bull CBBC Jun 2014 M	0.250	23,025 per 12,000 units	23,225 per 12,000 units	2014/06/27	350,000,000	2013/12/18
62758	UBS AG	HSI R Bull CBBC Jul 2014 M	0.250	23,544 per 12,000 units	23,744 per 12,000 units	2014/07/30	350,000,000	2013/12/18
62756	UBS AG	HSI R Bull CBBC Aug 2014 L	0.250	23,350 per 10,000 units	23,550 per 10,000 units	2014/08/28	300,000,000	2013/12/18
62930	UBS AG	HSI R Bull CBBC Oct 2014 Y	0.250	23,200 per 10,000 units	23,400 per 10,000 units	2014/10/30	300,000,000	2013/12/18
63047	UBS AG	HSI R Bear CBBC Mar 2014 D	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/03/28	300,000,000	2013/12/18
63038	UBS AG	HSI R Bear CBBC Mar 2014 E	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/12/18
63051	UBS AG	HSI R Bear CBBC Mar 2014 F	0.250	23,539 per 10,000 units	23,339 per 10,000 units	2014/03/28	300,000,000	2013/12/18
62995	UBS AG	HSI R Bear CBBC Apr 2014 Y	0.250	24,000 per 10,000 units	23,800 per 10,000 units	2014/04/29	300,000,000	2013/12/18
63267	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 B	0.250	8.4	8.7	2014/12/30	200,000,000	2013/12/19
63227	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 L	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/12/19
63256	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 C	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/06/27	300,000,000	2013/12/19
63264	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 D	0.250	23,950 per 10,000 units	23,750 per 10,000 units	2014/06/27	300,000,000	2013/12/19
63250	Credit Suisse AG	HSI R Bull CBBC Aug 2014 L	0.250	23,138 per 10,000 units	23,338 per 10,000 units	2014/08/28	300,000,000	2013/12/19
63254	Credit Suisse AG	HSI R Bull CBBC Aug 2014 O	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/08/28	300,000,000	2013/12/19
63362	Credit Suisse AG	HSI R Bear CBBC Apr 2014 I	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/12/19
63384	Credit Suisse AG	HSI R Bear CBBC Apr 2014 J	0.250	23,417 per 12,000 units	23,217 per 12,000 units	2014/04/29	300,000,000	2013/12/19
63336	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Y	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/04/29	300,000,000	2013/12/19
63341	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Z	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/12/19
63300	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 V	0.254	22,588 per 7,000 units	22,788 per 7,000 units	2014/03/28	150,000,000	2013/12/19
63301	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 W	0.256	22,488 per 7,000 units	22,688 per 7,000 units	2014/03/28	150,000,000	2013/12/19
63305	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 W	0.258	23,488 per 7,000 units	23,288 per 7,000 units	2014/03/28	150,000,000	2013/12/19
63306	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 X	0.255	23,888 per 7,000 units	23,688 per 7,000 units	2014/03/28	150,000,000	2013/12/19
63173	HK Bank	China Life R Bear CBBC Oct 2014 A	0.250	26.38	25.38	2014/10/27	50,000,000	2013/12/19
63179	HK Bank	HKEx R Bear CBBC Jun 2014 A	0.250	143.88 per 100 units	138.88 per 100 units	2014/06/03	50,000,000	2013/12/19
63180	HK Bank	HKEx R Bear CBBC Jun 2014 B	0.250	152.88 per 100 units	147.88 per 100 units	2014/06/30	50,000,000	2013/12/19
63196	HK Bank	HKEx R Bear CBBC Jul 2014 C	0.300	161.88 per 100 units	156.88 per 100 units	2014/07/21	50,000,000	2013/12/19
63137	HK Bank	HSCEI R Bear CBBC Jun 2014 B	0.250	11,488 per 10,000 units	11,288 per 10,000 units	2014/06/27	68,000,000	2013/12/19
63136	HK Bank	HSCEI R Bear CBBC Aug 2014 C	0.250	11,638 per 10,000 units	11,438 per 10,000 units	2014/08/28	68,000,000	2013/12/19
63117	HK Bank	HSI R Bear CBBC Jun 2014 M	0.250	23,688 per 15,000 units	23,488 per 15,000 units	2014/06/27	100,000,000	2013/12/19
63103	HK Bank	HSI R Bear CBBC Jul 2014 J	0.250	23,788 per 15,000 units	23,588 per 15,000 units	2014/07/30	100,000,000	2013/12/19
63135	HK Bank	HSI R Bear CBBC Jul 2014 K	0.250	23,588 per 15,000 units	23,388 per 15,000 units	2014/07/30	100,000,000	2013/12/19

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63100	HK Bank	HSI R Bear CBBC Aug 2014 F	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2014/08/28	100,000,000	2013/12/19
63197	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 C	0.250	23,050 per 10,000 units	23,250 per 10,000 units	2014/09/29	300,000,000	2013/12/19
63200	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 C	0.250	23,138 per 10,000 units	23,338 per 10,000 units	2014/10/30	300,000,000	2013/12/19
63386	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 U	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/03/28	300,000,000	2013/12/19
63385	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Y	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/03/28	300,000,000	2013/12/19
63389	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 Z	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/03/28	300,000,000	2013/12/19
63248	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 O	0.250	23,088 per 20,000 units	23,288 per 20,000 units	2014/12/30	150,000,000	2013/12/19
63297	Standard Chartered Bank	HSI R Bear CBBC May 2014 O	0.250	23,420 per 10,000 units	23,220 per 10,000 units	2014/05/29	100,000,000	2013/12/19
63202	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 U	0.250	23,188 per 10,000 units	23,288 per 10,000 units	2014/06/27	200,000,000	2013/12/19
63276	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 J	0.250	22,238 per 10,000 units	22,338 per 10,000 units	2014/07/30	200,000,000	2013/12/19
63284	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 L	0.250	22,388 per 10,000 units	22,488 per 10,000 units	2014/07/30	200,000,000	2013/12/19
63201	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 X	0.250	22,738 per 10,000 units	22,838 per 10,000 units	2014/07/30	200,000,000	2013/12/19
63270	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 Y	0.250	21,938 per 10,000 units	22,038 per 10,000 units	2014/07/30	200,000,000	2013/12/19
63275	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 Z	0.250	22,138 per 10,000 units	22,238 per 10,000 units	2014/07/30	200,000,000	2013/12/19
63217	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 X	0.250	23,538 per 10,000 units	23,438 per 10,000 units	2014/03/28	200,000,000	2013/12/19
63219	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 Y	0.250	23,738 per 10,000 units	23,638 per 10,000 units	2014/03/28	200,000,000	2013/12/19
63293	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 Z	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/03/28	200,000,000	2013/12/19
63335	UBS AG	Galaxy Ent R Bear CBBC Aug 2014 A	0.250	77.50 per 100 units	75 per 100 units	2014/08/04	100,000,000	2013/12/19
63237	UBS AG	HSI R Bull CBBC Sep 2014 R	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/09/29	300,000,000	2013/12/19
63246	UBS AG	HSI R Bull CBBC Sep 2014 U	0.250	23,138 per 10,000 units	23,338 per 10,000 units	2014/09/29	300,000,000	2013/12/19
63311	UBS AG	HSI R Bear CBBC Mar 2014 G	0.250	23,417 per 10,000 units	23,217 per 10,000 units	2014/03/28	300,000,000	2013/12/19
63312	UBS AG	HSI R Bear CBBC Apr 2014 C	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/04/29	300,000,000	2013/12/19
63313	UBS AG	HSI R Bear CBBC Apr 2014 F	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/12/19
63324	UBS AG	TCH R Bear CBBC Jun 2014 G	0.250	490 per 100 units	480 per 100 units	2014/06/09	50,000,000	2013/12/19
63314	UBS AG	TCH R Bear CBBC Jul 2014 A	0.730	540 per 100 units	530 per 100 units	2014/07/07	50,000,000	2013/12/19
63463	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 M	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/12/20
63514	Credit Suisse AG	HSI R Bull CBBC Oct 2014 A	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/12/20
63414	Credit Suisse AG	HSI R Bull CBBC Oct 2014 X	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2013/12/20
63419	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Y	0.250	22,788 per 10,000 units	22,988 per 10,000 units	2014/10/30	300,000,000	2013/12/20
63513	Credit Suisse AG	HSI R Bull CBBC Oct 2014 Z	0.250	23,118 per 12,000 units	23,318 per 12,000 units	2014/10/30	300,000,000	2013/12/20
63470	Credit Suisse AG	HSI R Bear CBBC Mar 2014 N	0.250	23,419 per 10,000 units	23,219 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63516	Credit Suisse AG	HSI R Bear CBBC Mar 2014 X	0.250	23,550 per 12,000 units	23,350 per 12,000 units	2014/03/28	300,000,000	2013/12/20
63518	Credit Suisse AG	HSI R Bear CBBC Apr 2014 K	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/04/29	300,000,000	2013/12/20
63477	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 X	0.253	23,088 per 7,000 units	23,288 per 7,000 units	2014/03/28	150,000,000	2013/12/20
63479	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 Y	0.284	22,888 per 7,000 units	23,088 per 7,000 units	2014/03/28	150,000,000	2013/12/20
63493	Goldman Sachs SP (Asia)	HSI R Bull CBBC Mar 2014 Z	0.268	22,888 per 20,000 units	23,188 per 20,000 units	2014/03/28	150,000,000	2013/12/20
63400	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 F	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/12/20
63405	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 G	0.250	23,000 per 10,000 units	23,200 per 10,000 units	2014/10/30	300,000,000	2013/12/20
63527	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 A	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63524	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 B	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63529	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 E	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63427	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 P	0.250	22,988 per 20,000 units	23,188 per 20,000 units	2014/12/30	150,000,000	2013/12/20
63474	Standard Chartered Bank	HSI R Bear CBBC May 2014 P	0.250	23,888 per 15,000 units	23,688 per 15,000 units	2014/05/29	100,000,000	2013/12/20

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
63462	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 K	0.250	23,088 per 10,000 units	23,188 per 10,000 units	2014/06/27	200,000,000	2013/12/20
63460	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 V	0.250	22,938 per 10,000 units	23,038 per 10,000 units	2014/06/27	200,000,000	2013/12/20
63471	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 A	0.250	23,438 per 10,000 units	23,338 per 10,000 units	2014/03/28	200,000,000	2013/12/20
63472	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 B	0.250	23,788 per 10,000 units	23,688 per 10,000 units	2014/03/28	200,000,000	2013/12/20
63507	UBS AG	A50 China R Bear CBBC May 2014 B	0.250	10.8	10.5	2014/05/05	100,000,000	2013/12/20
63500	UBS AG	HSCEI R Bear CBBC Mar 2014 C	0.250	11,450 per 10,000 units	11,250 per 10,000 units	2014/03/28	100,000,000	2013/12/20
63494	UBS AG	HSI R Bull CBBC Jun 2014 B	0.250	23,118 per 12,000 units	23,318 per 12,000 units	2014/06/27	350,000,000	2013/12/20
63497	UBS AG	HSI R Bull CBBC Aug 2014 P	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/08/28	300,000,000	2013/12/20
63495	UBS AG	HSI R Bull CBBC Oct 2014 Z	0.250	23,100 per 10,000 units	23,300 per 10,000 units	2014/10/30	300,000,000	2013/12/20
63465	UBS AG	HSI R Bear CBBC Mar 2014 I	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63464	UBS AG	HSI R Bear CBBC Mar 2014 J	0.250	23,700 per 10,000 units	23,500 per 10,000 units	2014/03/28	300,000,000	2013/12/20
63466	UBS AG	HSI R Bear CBBC Apr 2014 D	0.250	23,420 per 12,000 units	23,220 per 12,000 units	2014/04/29	350,000,000	2013/12/20
63512	UBS AG	Sands China R Bull CBBC Aug 2014 C	0.250	57 per 100 units	60 per 100 units	2014/08/11	100,000,000	2013/12/20
63511	UBS AG	Sands China R Bear CBBC May 2014 D	0.250	73 per 100 units	70 per 100 units	2014/05/12	100,000,000	2013/12/20
63697	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 N	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/12/23
63699	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 E	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/06/27	300,000,000	2013/12/23
63704	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 F	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/06/27	300,000,000	2013/12/23
63717	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 G	0.250	23,850 per 10,000 units	23,650 per 10,000 units	2014/06/27	300,000,000	2013/12/23
63566	Credit Suisse AG	HSI R Bull CBBC Sep 2014 D	0.250	23,045 per 12,000 units	23,245 per 12,000 units	2014/09/29	300,000,000	2013/12/23
63572	Credit Suisse AG	HSI R Bull CBBC Sep 2014 E	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/12/23
63595	Credit Suisse AG	HSI R Bull CBBC Sep 2014 G	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/09/29	300,000,000	2013/12/23
63817	Credit Suisse AG	HSI R Bear CBBC Apr 2014 L	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/04/29	300,000,000	2013/12/23
63832	Credit Suisse AG	HSI R Bear CBBC Apr 2014 M	0.250	23,283 per 10,000 units	23,083 per 10,000 units	2014/04/29	300,000,000	2013/12/23
63750	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 A	0.252	23,738 per 20,000 units	23,438 per 20,000 units	2014/03/28	150,000,000	2013/12/23
63741	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 Y	0.259	23,388 per 7,000 units	23,188 per 7,000 units	2014/03/28	150,000,000	2013/12/23
63742	Goldman Sachs SP (Asia)	HSI R Bear CBBC Mar 2014 Z	0.259	23,588 per 7,000 units	23,388 per 7,000 units	2014/03/28	150,000,000	2013/12/23
63638	HK Bank	CNOOC R Bear CBBC Nov 2014 A	0.250	16.98	16.18	2014/11/24	40,000,000	2013/12/23
63639	HK Bank	A50 R Bull CBBC Sep 2014 D	0.250	8.43	8.73	2014/09/01	100,000,000	2013/12/23
63644	HK Bank	A50 R Bear CBBC Sep 2014 A	0.250	10.88	10.58	2014/09/15	50,000,000	2013/12/23
63637	HK Bank	Galaxy Ent R Bull CBBC Oct 2014 A	0.250	60.77 per 100 units	63.27 per 100 units	2014/10/20	50,000,000	2013/12/23
63645	HK Bank	HSI R Bull CBBC Jun 2014 L	0.250	21,688 per 15,000 units	21,888 per 15,000 units	2014/06/27	100,000,000	2013/12/23
63636	HK Bank	HSI R Bear CBBC Sep 2014 D	0.250	24,328 per 20,000 units	24,028 per 20,000 units	2014/09/29	400,000,000	2013/12/23
63834	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 H	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/10/30	300,000,000	2013/12/23
63833	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 G	0.250	22,350 per 10,000 units	22,550 per 10,000 units	2014/12/30	300,000,000	2013/12/23
63836	J P Morgan SP BV	HSI R Bear CBBC Mar 2014 M	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/03/28	300,000,000	2013/12/23
63631	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 C	0.250	22,700 per 15,000 units	23,000 per 15,000 units	2014/11/27	100,000,000	2013/12/23
63688	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 I	0.250	23,328 per 18,000 units	23,128 per 18,000 units	2014/06/27	100,000,000	2013/12/23
63558	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 N	0.250	23,038 per 10,000 units	23,138 per 10,000 units	2014/06/27	200,000,000	2013/12/23
63540	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 M	0.250	21,538 per 10,000 units	21,638 per 10,000 units	2014/07/30	200,000,000	2013/12/23
63543	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 N	0.250	21,638 per 10,000 units	21,738 per 10,000 units	2014/07/30	200,000,000	2013/12/23
63555	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 O	0.250	21,738 per 10,000 units	21,838 per 10,000 units	2014/07/30	200,000,000	2013/12/23
63565	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 E	0.250	23,388 per 10,000 units	23,288 per 10,000 units	2014/03/28	200,000,000	2013/12/23

Newly listed callable bull/bear contracts in 2013 *(continued)*

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63664	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Mar14 M	0.250	23,188 per 10,000 units	23,088 per 10,000 units	2014/03/28	200,000,000	2013/12/23
63757	UBS AG	AIA R Bull CBBC Sep 2014 B	0.250	33.88 per 100 units	34.88 per 100 units	2014/09/15	100,000,000	2013/12/23
63803	UBS AG	Galaxy Ent R Bull CBBC May 2014 B	0.250	62.50 per 100 units	65 per 100 units	2014/05/12	100,000,000	2013/12/23
63767	UBS AG	Galaxy Ent R Bear CBBC Apr 2014 B	0.250	82.50 per 100 units	80 per 100 units	2014/04/28	100,000,000	2013/12/23
63809	UBS AG	HSCEI R Bear CBBC Mar 2014 D	0.250	11,600 per 10,000 units	11,400 per 10,000 units	2014/03/28	100,000,000	2013/12/23
63614	UBS AG	HSI R Bull CBBC Jul 2014 Y	0.250	23,045 per 10,000 units	23,245 per 10,000 units	2014/07/30	300,000,000	2013/12/23
63609	UBS AG	HSI R Bull CBBC Sep 2014 V	0.250	22,900 per 10,000 units	23,100 per 10,000 units	2014/09/29	300,000,000	2013/12/23
63811	UBS AG	HSI R Bear CBBC Mar 2014 Q	0.250	23,283 per 10,000 units	23,083 per 10,000 units	2014/03/28	300,000,000	2013/12/23
63810	UBS AG	HSI R Bear CBBC Apr 2014 O	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/12/23
63951	Credit Suisse AG	AIA R Bull CBBC Sep 2014 A	0.250	35.08 per 100 units	36.08 per 100 units	2014/09/30	70,000,000	2013/12/24
63963	Credit Suisse AG	AIA R Bull CBBC Sep 2014 B	0.250	32.08 per 100 units	33.08 per 100 units	2014/09/30	70,000,000	2013/12/24
63935	Credit Suisse AG	China Mobile R Bull CBBC Sep 2014 A	0.250	75.50 per 100 units	78 per 100 units	2014/09/30	70,000,000	2013/12/24
63938	Credit Suisse AG	China Mobile R Bull CBBC Sep 2014 B	0.250	72.80 per 100 units	75.30 per 100 units	2014/09/30	70,000,000	2013/12/24
63944	Credit Suisse AG	China Mobile R Bull CBBC Sep 2014 C	0.250	70.30 per 100 units	72.80 per 100 units	2014/09/30	70,000,000	2013/12/24
63898	Credit Suisse AG	A50 China R Bull CBBC May 2014 A	0.250	8.8	9.1	2014/05/30	70,000,000	2013/12/24
63907	Credit Suisse AG	A50 China R Bull CBBC May 2014 B	0.250	8.18	8.48	2014/05/30	70,000,000	2013/12/24
63910	Credit Suisse AG	A50 China R Bear CBBC May 2014 A	0.250	10.48	10.18	2014/05/30	70,000,000	2013/12/24
63925	Credit Suisse AG	A50 China R Bear CBBC May 2014 B	0.250	11.08	10.78	2014/05/30	70,000,000	2013/12/24
63934	Credit Suisse AG	A50 China R Bear CBBC May 2014 C	0.250	11.48	11.18	2014/05/30	70,000,000	2013/12/24
63998	Credit Suisse AG	HSI R Bull CBBC Nov 2014 H	0.250	22,984 per 10,000 units	23,184 per 10,000 units	2014/11/27	300,000,000	2013/12/24
64001	Credit Suisse AG	HSI R Bull CBBC Nov 2014 I	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/11/27	300,000,000	2013/12/24
64004	Credit Suisse AG	HSI R Bear CBBC May 2014 G	0.250	23,270 per 12,000 units	23,070 per 12,000 units	2014/05/29	300,000,000	2013/12/24
64013	Credit Suisse AG	HSI R Bear CBBC May 2014 H	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/05/29	300,000,000	2013/12/24
63878	HK Bank	China Mobile R Bear CBBC Jul 2014 B	0.250	85.88 per 100 units	83.38 per 100 units	2014/07/21	50,000,000	2013/12/24
63885	HK Bank	China Shenhua R Bull CBBC Aug 2014 B	0.300	20.98	22.98	2014/08/04	60,000,000	2013/12/24
63875	HK Bank	Galaxy Ent R Bear CBBC Jan 2015 A	0.250	80.77 per 100 units	78.27 per 100 units	2015/01/12	40,000,000	2013/12/24
63876	HK Bank	Galaxy Ent R Bear CBBC Jan 2015 B	0.250	83.77 per 100 units	81.27 per 100 units	2015/01/26	40,000,000	2013/12/24
63866	HK Bank	HSI R Bull CBBC Aug 2014 H	0.250	21,988 per 15,000 units	22,188 per 15,000 units	2014/08/28	100,000,000	2013/12/24
63868	HK Bank	HSI R Bear CBBC Aug 2014 G	0.250	23,488 per 15,000 units	23,288 per 15,000 units	2014/08/28	100,000,000	2013/12/24
63864	HK Bank	HSI R Bear CBBC Sep 2014 E	0.250	23,388 per 15,000 units	23,188 per 15,000 units	2014/09/29	100,000,000	2013/12/24
64081	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 L	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/12/24
64080	J P Morgan SP BV	HSI R Bull CBBC Dec 2014 H	0.250	22,588 per 10,000 units	22,788 per 10,000 units	2014/12/30	300,000,000	2013/12/24
64082	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 L	0.250	23,900 per 10,000 units	23,700 per 10,000 units	2014/04/29	300,000,000	2013/12/24
64084	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 M	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/04/29	300,000,000	2013/12/24
64085	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2013/12/24
63892	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun 14B	0.250	22,868 per 10,000 units	22,968 per 10,000 units	2014/06/27	200,000,000	2013/12/24
63893	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 14D	0.250	23,288 per 10,000 units	23,188 per 10,000 units	2014/04/29	200,000,000	2013/12/24
63894	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr 14E	0.250	23,638 per 10,000 units	23,538 per 10,000 units	2014/04/29	200,000,000	2013/12/24
64068	UBS AG	AIA R Bull CBBC Oct 2014 B	0.250	35.88 per 100 units	36.88 per 100 units	2014/10/06	100,000,000	2013/12/24
64058	UBS AG	HSI R Bull CBBC Jul 2014 A	0.250	22,984 per 12,000 units	23,184 per 12,000 units	2014/07/30	350,000,000	2013/12/24

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64059	UBS AG	HSI R Bull CBBC Oct 2014 B	0.250	22,800 per 10,000 units	23,000 per 10,000 units	2014/10/30	300,000,000	2013/12/24
63844	UBS AG	HSI R Bear CBBC Mar 2014 O	0.250	23,450 per 10,000 units	23,250 per 10,000 units	2014/03/28	300,000,000	2013/12/24
64072	UBS AG	HSI R Bear CBBC Mar 2014 S	0.250	23,270 per 12,000 units	23,070 per 12,000 units	2014/03/28	350,000,000	2013/12/24
64056	UBS AG	HSI R Bear CBBC Mar 2014 V	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/03/28	300,000,000	2013/12/24
64066	UBS AG	Sands China R Bear CBBC Apr 2014 A	0.250	78 per 100 units	75 per 100 units	2014/04/28	100,000,000	2013/12/24
64070	UBS AG	TCH R Bull CBBC Jul 2014 J	0.250	450 per 100 units	460 per 100 units	2014/07/28	50,000,000	2013/12/24
64069	UBS AG	TCH R Bear CBBC Sep 2014 B	0.980	570 per 100 units	560 per 100 units	2014/09/22	50,000,000	2013/12/24
64263	Credit Suisse AG	HSI R Bull CBBC Aug 2014 N	0.250	23,016 per 10,000 units	23,216 per 10,000 units	2014/08/28	300,000,000	2013/12/27
64253	Credit Suisse AG	HSI R Bull CBBC Aug 2014 Q	0.250	22,888 per 12,000 units	23,088 per 12,000 units	2014/08/28	300,000,000	2013/12/27
64140	Credit Suisse AG	HSI R Bear CBBC Apr 2014 H	0.250	23,400 per 10,000 units	23,200 per 10,000 units	2014/04/29	300,000,000	2013/12/27
64136	Credit Suisse AG	HSI R Bear CBBC Apr 2014 N	0.250	23,300 per 10,000 units	23,100 per 10,000 units	2014/04/29	300,000,000	2013/12/27
64178	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 A	0.250	22,900 per 10,000 units	23,088 per 10,000 units	2014/05/29	150,000,000	2013/12/27
64189	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 B	0.250	22,600 per 10,000 units	22,788 per 10,000 units	2014/05/29	150,000,000	2013/12/27
64196	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 C	0.250	22,200 per 10,000 units	22,388 per 10,000 units	2014/05/29	150,000,000	2013/12/27
64199	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 D	0.250	22,000 per 10,000 units	22,188 per 10,000 units	2014/05/29	150,000,000	2013/12/27
64204	Citigroup Global Mkt H Inc.	HSI R Bull CBBC May 2014 E	0.250	21,800 per 10,000 units	21,988 per 10,000 units	2014/05/29	150,000,000	2013/12/27
64209	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Apr 2014 B	0.250	23,750 per 10,000 units	23,566 per 10,000 units	2014/04/29	150,000,000	2013/12/27
64218	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Apr 2014 C	0.250	23,950 per 10,000 units	23,766 per 10,000 units	2014/04/29	150,000,000	2013/12/27
64224	Citigroup Global Mkt H Inc.	HSI R Bear CBBC Apr 2014 D	0.250	24,150 per 10,000 units	23,966 per 10,000 units	2014/04/29	150,000,000	2013/12/27
64097	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 A	0.250	6.88	7.38	2014/11/24	40,000,000	2013/12/27
64109	HK Bank	Minsheng Bank R Bull CBBC Nov 2014 B	0.250	7.88	8.38	2014/11/03	40,000,000	2013/12/27
64119	HK Bank	Minsheng Bank R Bear CBBC Nov 2014 A	0.250	9.98	9.48	2014/11/24	40,000,000	2013/12/27
64120	HK Bank	Sinopec Corp R Bear CBBC Nov 2014 A	0.250	7.28	6.98	2014/11/03	40,000,000	2013/12/27
64088	HK Bank	Galaxy Ent R Bull CBBC Sep 2014 D	0.250	63.77 per 100 units	66.27 per 100 units	2014/09/08	40,000,000	2013/12/27
64122	HK Bank	HSCEI R Bear CBBC Jul 2014 C	0.250	11,338 per 10,000 units	11,138 per 10,000 units	2014/07/30	68,000,000	2013/12/27
64121	HK Bank	HSI R Bear CBBC Jun 2014 N	0.250	23,288 per 15,000 units	23,088 per 15,000 units	2014/06/27	100,000,000	2013/12/27
64087	HK Bank	Sands China R Bull CBBC Oct 2014 A	0.250	58.28 per 100 units	61.28 per 100 units	2014/10/27	60,000,000	2013/12/27
64124	HK Bank	Sands China R Bear CBBC Dec 2014 A	0.250	78.28 per 100 units	75.28 per 100 units	2014/12/08	50,000,000	2013/12/27
64089	HK Bank	TCH R Bear CBBC Sep 2014 G	0.250	488.88 per 100 units	478.88 per 100 units	2014/09/01	50,000,000	2013/12/27
64252	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 G	0.250	22,950 per 10,000 units	23,150 per 10,000 units	2014/09/29	300,000,000	2013/12/27
64349	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 O	0.250	23,588 per 10,000 units	23,388 per 10,000 units	2014/04/29	300,000,000	2013/12/27
64133	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 Q	0.250	22,768 per 30,000 units	23,068 per 30,000 units	2014/12/30	100,000,000	2013/12/27
64135	Standard Chartered Bank	HSI R Bull CBBC Dec 2014 R	0.250	22,700 per 18,000 units	22,900 per 18,000 units	2014/12/30	100,000,000	2013/12/27
64155	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 F	0.250	23,238 per 10,000 units	23,138 per 10,000 units	2014/04/29	200,000,000	2013/12/27
64282	UBS AG	CC Bank R Bear CBBC May 2014 A	0.250	6.8	6.5	2014/05/12	100,000,000	2013/12/27
64325	UBS AG	Sinopec Corp R Bear CBBC May 2014 A	0.250	7.3	7	2014/05/12	100,000,000	2013/12/27
64281	UBS AG	CSOP A50 ETF R Bull CBBC May 2014 B	0.250	7.7	8	2014/05/26	100,000,000	2013/12/27
64279	UBS AG	CSOP A50 ETF R Bull CBBC Jun 2014 B	0.250	8.45	8.75	2014/06/16	100,000,000	2013/12/27
64278	UBS AG	A50 China R Bull CBBC Apr 2014 E	0.250	8.45	8.75	2014/04/28	100,000,000	2013/12/27
64326	UBS AG	Galaxy Ent R Bear CBBC Apr 2014 C	0.250	80.50 per 100 units	77.50 per 100 units	2014/04/14	100,000,000	2013/12/27

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64304	UBS AG	HKEx R Bull CBBC Sep 2014 B	0.250	120 per 100 units	125 per 100 units	2014/09/22	100,000,000	2013/12/27
64328	UBS AG	HSBC R Bull CBBC Jul 2014 A	0.250	67 per 100 units	70 per 100 units	2014/07/21	100,000,000	2013/12/27
64271	UBS AG	HSI R Bull CBBC Jul 2014 O	0.250	22,850 per 10,000 units	23,050 per 10,000 units	2014/07/30	300,000,000	2013/12/27
64265	UBS AG	HSI R Bull CBBC Nov 2014 E	0.250	23,016 per 10,000 units	23,216 per 10,000 units	2014/11/27	300,000,000	2013/12/27
64163	UBS AG	HSI R Bear CBBC Apr 2014 E	0.250	23,275 per 10,000 units	23,075 per 10,000 units	2014/04/29	300,000,000	2013/12/27
64159	UBS AG	HSI R Bear CBBC Apr 2014 Q	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/04/29	300,000,000	2013/12/27
64284	UBS AG	TCH R Bull CBBC Jun 2014 N	0.340	435 per 100 units	445 per 100 units	2014/06/23	50,000,000	2013/12/27
64347	UBS AG	TCH R Bear CBBC Jul 2014 B	1.480	615 per 100 units	600 per 100 units	2014/07/07	50,000,000	2013/12/27
64851 #	HK Bank	A50 R Bull CBBC Sep 2014 A	0.095	8.53	8.83	2014/09/29	180,000,000	2013/12/27
64852 #	HK Bank	A50 R Bull CBBC Oct 2014 A	0.117	8.33	8.63	2014/10/24	180,000,000	2013/12/27
64665	BNP Paribas Arbit Issu B.V.	A50 Ch R Bull CBBC Dec 2014 C	0.250	8.1	8.4	2014/12/30	200,000,000	2013/12/30
64531	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 O	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/09/29	300,000,000	2013/12/30
64539	BNP Paribas Arbit Issu B.V.	HSI R Bull CBBC Sep 2014 P	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/09/29	300,000,000	2013/12/30
64499	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 H	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64500	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 I	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64625	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 J	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64626	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 K	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64627	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 L	0.250	23,650 per 10,000 units	23,450 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64652	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 M	0.250	23,750 per 10,000 units	23,550 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64564	Credit Suisse AG	HSI R Bull CBBC Oct 2014 C	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2013/12/30
64560	Credit Suisse AG	HSI R Bull CBBC Oct 2014 E	0.250	22,953 per 12,000 units	23,153 per 12,000 units	2014/10/30	300,000,000	2013/12/30
64562	Credit Suisse AG	HSI R Bull CBBC Oct 2014 F	0.250	22,838 per 10,000 units	23,038 per 10,000 units	2014/10/30	300,000,000	2013/12/30
64563	Credit Suisse AG	HSI R Bull CBBC Oct 2014 G	0.250	22,700 per 10,000 units	22,900 per 10,000 units	2014/10/30	300,000,000	2013/12/30
64578	Credit Suisse AG	HSI R Bear CBBC Apr 2014 I	0.250	23,388 per 10,000 units	23,188 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64579	Credit Suisse AG	HSI R Bear CBBC Apr 2014 J	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64577	Credit Suisse AG	HSI R Bear CBBC Apr 2014 O	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64572	Credit Suisse AG	HSI R Bear CBBC Apr 2014 P	0.250	23,600 per 10,000 units	23,400 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64585	Credit Suisse AG	HSI R Bear CBBC Apr 2014 Z	0.250	23,089 per 10,000 units	22,889 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64473	HK Bank	Cheung Kong R Bull CBBC Oct 2014 A	0.250	98.88 per 100 units	104.88 per 100 units	2014/10/13	60,000,000	2013/12/30
64463	HK Bank	CM Bank R Bear CBBC Oct 2014 A	0.250	18.48	17.68	2014/10/27	40,000,000	2013/12/30
64355	HK Bank	CNOOC R Bull CBBC Oct 2014 A	0.250	12.68	13.48	2014/10/06	60,000,000	2013/12/30
64358	HK Bank	CNOOC R Bull CBBC Dec 2014 A	0.330	11.08	11.88	2014/12/22	60,000,000	2013/12/30
64366	HK Bank	CNOOC R Bear CBBC Oct 2014 A	0.250	15.98	15.18	2014/10/27	40,000,000	2013/12/30
64460	HK Bank	Sinopec Corp R Bull CBBC Nov 2014 A	0.250	4.98	5.28	2014/11/03	80,000,000	2013/12/30
64376	HK Bank	Hutchison R Bull CBBC Oct 2014 B	0.250	96.88 per 100 units	99.88 per 100 units	2014/10/27	60,000,000	2013/12/30
64377	HK Bank	Hutchison R Bull CBBC Nov 2014 A	0.250	87.88 per 100 units	90.88 per 100 units	2014/11/10	60,000,000	2013/12/30
64454	HK Bank	Hutchison R Bull CBBC Nov 2014 B	0.250	84.88 per 100 units	87.88 per 100 units	2014/11/24	60,000,000	2013/12/30
64475	HK Bank	PetCh R Bear CBBC Oct 2014 A	0.250	9.68	9.28	2014/10/27	40,000,000	2013/12/30
64740	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 D	0.250	22,488 per 10,000 units	22,688 per 10,000 units	2014/09/29	300,000,000	2013/12/30
64756	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 E	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/09/29	300,000,000	2013/12/30
64698	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 N	0.250	22,388 per 10,000 units	22,588 per 10,000 units	2014/10/30	300,000,000	2013/12/30

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64755	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 Z	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/10/30	300,000,000	2013/12/30
64675	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 P	0.250	23,500 per 10,000 units	23,300 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64684	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 Q	0.250	23,350 per 10,000 units	23,150 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64691	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 R	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64697	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64504	Macquarie Bank Ltd.	HSI R Bull CBBC Jun 2014 A	0.250	22,500 per 10,000 units	22,700 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64507	Macquarie Bank Ltd.	HSI R Bear CBBC Jun 2014 A	0.250	23,800 per 10,000 units	23,600 per 10,000 units	2014/06/27	300,000,000	2013/12/30
64485	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 D	0.250	22,940 per 12,000 units	23,140 per 12,000 units	2014/11/27	100,000,000	2013/12/30
64493	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 J	0.250	23,400 per 12,000 units	23,200 per 12,000 units	2014/06/27	100,000,000	2013/12/30
64770	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 K	0.250	23,300 per 15,000 units	23,100 per 15,000 units	2014/06/27	100,000,000	2013/12/30
64781	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 L	0.250	23,500 per 20,000 units	23,300 per 20,000 units	2014/06/27	100,000,000	2013/12/30
64526	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 G	0.250	22,788 per 10,000 units	22,888 per 10,000 units	2014/07/30	200,000,000	2013/12/30
64508	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 P	0.250	22,988 per 10,000 units	23,088 per 10,000 units	2014/07/30	200,000,000	2013/12/30
64519	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 G	0.250	23,338 per 10,000 units	23,238 per 10,000 units	2014/04/29	200,000,000	2013/12/30
64615	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 H	0.250	23,038 per 10,000 units	22,938 per 10,000 units	2014/04/29	200,000,000	2013/12/30
64605	UBS AG	Galaxy Ent R Bull CBBC Sep 2014 B	0.250	59.50 per 100 units	62.50 per 100 units	2014/09/29	100,000,000	2013/12/30
64602	UBS AG	HSCEI R Bear CBBC Apr 2014 D	0.250	11,330 per 10,000 units	11,130 per 10,000 units	2014/04/29	100,000,000	2013/12/30
64604	UBS AG	HSCEI R Bear CBBC Apr 2014 E	0.250	11,200 per 10,000 units	11,000 per 10,000 units	2014/04/29	100,000,000	2013/12/30
64601	UBS AG	HSI R Bull CBBC Jul 2014 Z	0.250	22,750 per 10,000 units	22,950 per 10,000 units	2014/07/30	300,000,000	2013/12/30
64599	UBS AG	HSI R Bull CBBC Aug 2014 R	0.250	22,600 per 10,000 units	22,800 per 10,000 units	2014/08/28	300,000,000	2013/12/30
64600	UBS AG	HSI R Bull CBBC Oct 2014 C	0.250	22,953 per 12,000 units	23,153 per 12,000 units	2014/10/30	350,000,000	2013/12/30
64593	UBS AG	HSI R Bear CBBC Apr 2014 B	0.250	23,089 per 10,000 units	22,889 per 10,000 units	2014/04/29	300,000,000	2013/12/30
64586	UBS AG	HSI R Bear CBBC Apr 2014 N	0.250	23,200 per 10,000 units	23,000 per 10,000 units	2014/04/29	300,000,000	2013/12/30
68356 #	HK Bank	A50 R Bull CBBC Oct 2014 B	0.131	8.13	8.43	2014/10/20	180,000,000	2013/12/30
64907	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 N	0.250	23,000 per 10,000 units	22,800 per 10,000 units	2014/06/27	300,000,000	2013/12/31
64912	BNP Paribas Arbit Issu B.V.	HSI R Bear CBBC Jun 2014 O	0.250	23,550 per 10,000 units	23,350 per 10,000 units	2014/06/27	300,000,000	2013/12/31
64818	Credit Suisse AG	HSI R Bull CBBC Sep 2014 H	0.250	22,688 per 12,000 units	22,888 per 12,000 units	2014/09/29	300,000,000	2013/12/31
65002	Credit Suisse AG	HSI R Bull CBBC Sep 2014 Q	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/09/29	300,000,000	2013/12/31
65003	Credit Suisse AG	HSI R Bear CBBC May 2014 J	0.250	23,150 per 10,000 units	22,950 per 10,000 units	2014/05/29	300,000,000	2013/12/31
65012	Credit Suisse AG	HSI R Bear CBBC May 2014 K	0.250	23,050 per 10,000 units	22,850 per 10,000 units	2014/05/29	300,000,000	2013/12/31
65013	Credit Suisse AG	HSI R Bear CBBC May 2014 L	0.250	22,986 per 10,000 units	22,786 per 10,000 units	2014/05/29	300,000,000	2013/12/31
64861	HK Bank	CC Bank R Bear CBBC Oct 2014 A	0.250	6.48	6.18	2014/10/06	40,000,000	2013/12/31
64825	HK Bank	CSOP A50 ETF R Bull CBBC Oct 2014 A	0.250	7.9	8.2	2014/10/20	80,000,000	2013/12/31
64827	HK Bank	CSOP A50 ETF R Bull CBBC Nov 2014 A	0.250	7.6	7.9	2014/11/24	80,000,000	2013/12/31
64831	HK Bank	CSOP A50 ETF R Bear CBBC Dec 2014 A	0.250	9.98	9.68	2014/12/01	40,000,000	2013/12/31
64886	HK Bank	A50 R Bull CBBC Oct 2014 C	0.250	8.83	9.13	2014/10/06	100,000,000	2013/12/31
64863	HK Bank	A50 R Bull CBBC Nov 2014 A	0.250	7.93	8.23	2014/11/17	100,000,000	2013/12/31
64872	HK Bank	A50 R Bull CBBC Nov 2014 B	0.250	7.73	8.03	2014/11/24	100,000,000	2013/12/31
64899	HK Bank	A50 R Bull CBBC Dec 2014 A	0.250	7.33	7.63	2014/12/29	100,000,000	2013/12/31
64891	HK Bank	A50 R Bear CBBC Dec 2014 A	0.250	10.48	10.18	2014/12/01	50,000,000	2013/12/31

Newly listed callable bull/bear contracts in 2013 *(continued)*

Code	Issuer	Name of issue	Issue prices (HK$)	Strike price (HKD) / Strike level per 10 units	Call price (HKD) / Call level per 10 units	Expiry date	No. of issue (units)	Listing date
64887	HK Bank	HSI R Bull CBBC Jul 2014 L	0.250	22,688 per 15,000 units	22,888 per 15,000 units	2014/07/30	100,000,000	2013/12/31
64888	HK Bank	HSI R Bull CBBC Jul 2014 M	0.250	21,488 per 15,000 units	21,688 per 15,000 units	2014/07/30	100,000,000	2013/12/31
64889	HK Bank	HSI R Bull CBBC Aug 2014 I	0.250	21,388 per 15,000 units	21,588 per 15,000 units	2014/08/28	100,000,000	2013/12/31
64890	HK Bank	HSI R Bull CBBC Sep 2014 C	0.250	21,288 per 15,000 units	21,488 per 15,000 units	2014/09/29	100,000,000	2013/12/31
64879	HK Bank	HSI R Bear CBBC Aug 2014 H	0.250	23,188 per 15,000 units	22,988 per 15,000 units	2014/08/28	100,000,000	2013/12/31
64824	HK Bank	PetCh R Bull CBBC Nov 2014 A	0.250	7.68	8.08	2014/11/10	60,000,000	2013/12/31
64814	J P Morgan SP BV	HSI R Bull CBBC Sep 2014 H	0.250	22,650 per 10,000 units	22,850 per 10,000 units	2014/09/29	300,000,000	2013/12/31
64903	J P Morgan SP BV	HSI R Bull CBBC Oct 2014 F	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/10/30	300,000,000	2013/12/31
65046	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 T	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/04/29	300,000,000	2013/12/31
65073	J P Morgan SP BV	HSI R Bear CBBC Apr 2014 U	0.250	23,088 per 12,000 units	22,888 per 12,000 units	2014/04/29	300,000,000	2013/12/31
64787	Standard Chartered Bank	HSI R Bull CBBC Nov 2014 E	0.250	22,600 per 18,000 units	22,800 per 18,000 units	2014/11/27	100,000,000	2013/12/31
64971	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 M	0.250	22,988 per 12,000 units	22,788 per 12,000 units	2014/06/27	100,000,000	2013/12/31
64976	Standard Chartered Bank	HSI R Bear CBBC Jun 2014 N	0.250	23,100 per 20,000 units	22,900 per 20,000 units	2014/06/27	100,000,000	2013/12/31
64942	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 A	0.250	22,638 per 10,000 units	22,738 per 10,000 units	2014/06/27	200,000,000	2013/12/31
64936	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jun14 H	0.250	22,438 per 10,000 units	22,538 per 10,000 units	2014/06/27	200,000,000	2013/12/31
64930	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 F	0.250	21,438 per 10,000 units	21,538 per 10,000 units	2014/07/30	200,000,000	2013/12/31
64919	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 I	0.250	21,238 per 10,000 units	21,338 per 10,000 units	2014/07/30	200,000,000	2013/12/31
64920	SGA Societe Generale Acceptance N.V.	HSI R Bull CBBC Jul14 K	0.250	21,338 per 10,000 units	21,438 per 10,000 units	2014/07/30	200,000,000	2013/12/31
64960	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 I	0.250	22,888 per 10,000 units	22,788 per 10,000 units	2014/04/29	200,000,000	2013/12/31
64963	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 J	0.250	22,988 per 10,000 units	22,888 per 10,000 units	2014/04/29	200,000,000	2013/12/31
64970	SGA Societe Generale Acceptance N.V.	HSI R Bear CBBC Apr14 K	0.250	23,138 per 10,000 units	23,038 per 10,000 units	2014/04/29	200,000,000	2013/12/31
65041	UBS AG	CNOOC R Bull CBBC Oct 2014 A	0.250	12.08	12.88	2014/10/06	100,000,000	2013/12/31
65038	UBS AG	HSI R Bull CBBC Jul 2014 V	0.250	22,550 per 10,000 units	22,750 per 10,000 units	2014/07/30	300,000,000	2013/12/31
64902	UBS AG	HSI R Bull CBBC Oct 2014 D	0.250	22,688 per 10,000 units	22,888 per 10,000 units	2014/10/30	300,000,000	2013/12/31
65014	UBS AG	HSI R Bear CBBC Apr 2014 P	0.250	22,986 per 10,000 units	22,786 per 10,000 units	2014/04/29	300,000,000	2013/12/31
65015	UBS AG	HSI R Bear CBBC Apr 2014 R	0.250	23,250 per 10,000 units	23,050 per 10,000 units	2014/04/29	300,000,000	2013/12/31
65030	UBS AG	HSI R Bear CBBC Apr 2014 S	0.250	23,100 per 10,000 units	22,900 per 10,000 units	2014/04/29	300,000,000	2013/12/31

Further issue

List of expired CBBC, 2013

Code	CBBC	Last trading date	Delisted date
61415	Daiwa Capital Mkt – A50 China R Bear CBBC Dec 2012 C	2012/12/28	2013/01/02
65705	UBS AG – China Life R Bear CBBC Mar 2013 A	2012/12/31	2013/01/02
65732	Credit Suisse AG – HSI R Bull CBBC Jul 2013 L	2012/12/31	2013/01/02
65738	Credit Suisse AG – HSI R Bear CBBC Apr 2013 U	2012/12/31	2013/01/02
65739	Credit Suisse AG – HSI R Bull CBBC Jul 2013 M	2012/12/31	2013/01/02
65745	UBS AG – HSI R Bear CBBC May 2013 D	2012/12/31	2013/01/02
63940	HK Bank – A50 R Bear CBBC Jul 2013 A	2012/12/31	2013/01/02
63939	HK Bank – China Life R Bear CBBC Jul 2013 A	2012/12/31	2013/01/02
64317	UBS AG – Ping An R Bear CBBC May 2013 A	2012/12/31	2013/01/02
63778	HK Bank – A50 R Bear CBBC Sep 2013 A	2012/12/31	2013/01/02
63743	UBS AG – HSI R Bear CBBC Jan 2013 X	2013/01/02	2013/01/03
63698	UBS AG – HSCEI R Bear CBBC Feb 2013 B	2013/01/02	2013/01/03
63689	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 J	2013/01/02	2013/01/03
63667	UBS AG – HSI R Bear CBBC Jul 2013 D	2013/01/02	2013/01/03
63658	HK Bank – ICBC R Bear CBBC Sep 2013 A	2013/01/02	2013/01/03
63626	UBS AG – HSI R Bear CBBC Sep 2013 A	2013/01/02	2013/01/03
63557	HK Bank – HSI R Bear CBBC Aug 2013 B	2013/01/02	2013/01/03
63744	UBS AG – HSI R Bear CBBC Apr 2013 B	2013/01/02	2013/01/03
63550	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/01/02	2013/01/03
63531	HK Bank – HSI R Bear CBBC Sep 2013 A	2013/01/02	2013/01/03
65600	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 B	2013/01/02	2013/01/03
63551	UBS AG – HSI R Bear CBBC Apr 2013 V	2013/01/02	2013/01/03
65585	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	2013/01/02	2013/01/03
63748	UBS AG – Sinopec Corp R Bear CBBC Apr 2013 B	2013/01/02	2013/01/03
63450	UBS AG – HSI R Bear CBBC Jan 2013 T	2013/01/02	2013/01/03
65670	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 N	2013/01/02	2013/01/03
63316	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 C	2013/01/02	2013/01/03
63794	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 O	2013/01/02	2013/01/03
63802	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 A	2013/01/02	2013/01/03
63813	UBS AG – HSI R Bear CBBC Mar 2013 D	2013/01/02	2013/01/03
63857	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 L	2013/01/02	2013/01/03
63865	Daiwa Capital Mkt – HSCEI R Bear CBBC Apr 2013 A	2013/01/02	2013/01/03
63877	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 D	2013/01/02	2013/01/03
63977	UBS AG – HSI R Bear CBBC May 2013 N	2013/01/02	2013/01/03
63771	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 B	2013/01/02	2013/01/03
67482	UBS AG – HKEx R Bear CBBC Mar 2013 A	2013/01/02	2013/01/03
66565	HK Bank – SHK Ppt R Bear CBBC Apr 2013 A	2013/01/02	2013/01/03
66593	HK Bank – China Life R Bear CBBC Jan 2013 A	2013/01/02	2013/01/03
64298	UBS AG – A50 China R Bear CBBC Feb 2013 A	2013/01/02	2013/01/03
65648	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 C	2013/01/02	2013/01/03
63927	Credit Suisse AG – HSI R Bear CBBC Mar 2013 G	2013/01/02	2013/01/03
63961	Credit Suisse AG – HSI R Bear CBBC Feb 2013 G	2013/01/02	2013/01/03
67922	Credit Suisse AG – A50 China R Bear CBBC Jan 2013 A	2013/01/02	2013/01/03
68116	HK Bank – China Mobile R Bear CBBC Apr 2013 A	2013/01/02	2013/01/03
66345	Credit Suisse AG – HSI R Bear CBBC Apr 2013 G	2013/01/02	2013/01/03
66348	Credit Suisse AG – HSI R Bear CBBC Apr 2013 H	2013/01/02	2013/01/03
66443	Credit Suisse AG – HSI R Bear CBBC May 2013 B	2013/01/02	2013/01/03
66454	Credit Suisse AG – HSI R Bear CBBC May 2013 C	2013/01/02	2013/01/03
63434	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 G	2013/01/02	2013/01/03
66554	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	2013/01/02	2013/01/03
63436	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 I	2013/01/02	2013/01/03
67899	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2013 A	2013/01/02	2013/01/03
67900	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 A	2013/01/02	2013/01/03
68273	UBS AG – China Life R Bear CBBC Mar 2013 C	2013/01/02	2013/01/03
68332	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/01/02	2013/01/03
68333	Credit Suisse AG – HSI R Bear CBBC Jul 2013 B	2013/01/02	2013/01/03
68345	UBS AG – HSI R Bear CBBC Mar 2013 Q	2013/01/02	2013/01/03
68426	J P Morgan SP BV – HSI R Bear CBBC May 2013 J	2013/01/02	2013/01/03
68461	UBS AG – China Life R Bear CBBC Apr 2013 A	2013/01/02	2013/01/03
68543	Credit Suisse AG – HSI R Bear CBBC Mar 2013 N	2013/01/02	2013/01/03
64274	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 D	2013/01/02	2013/01/03
63391	UBS AG – HSI R Bear CBBC Feb 2013 A	2013/01/02	2013/01/03
64014	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 T	2013/01/02	2013/01/03
63435	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 H	2013/01/02	2013/01/03
66536	Credit Suisse AG – HSI R Bear CBBC Jun 2013 B	2013/01/02	2013/01/03
65071	Credit Suisse AG – HSI R Bear CBBC May 2013 F	2013/01/02	2013/01/03
64219	Credit Suisse AG – HKEx R Bear CBBC Feb 2013 A	2013/01/02	2013/01/03
65511	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Z	2013/01/02	2013/01/03
65778	UBS AG – A50 China R Bear CBBC Apr 2013 C	2013/01/02	2013/01/03
65773	UBS AG – HSI R Bear CBBC Apr 2013 R	2013/01/02	2013/01/03
64908	HK Bank – TCH R Bear CBBC Aug 2013 A	2013/01/02	2013/01/03
64913	HK Bank – China Shenhua R Bear CBBC Oct 2013 A	2013/01/02	2013/01/03
65770	Credit Suisse AG – HSI R Bear CBBC Apr 2013 V	2013/01/02	2013/01/03
65626	HK Bank – China Life R Bear CBBC Jul 2013 B	2013/01/02	2013/01/03
65756	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 13K	2013/01/02	2013/01/03
65754	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 U	2013/01/02	2013/01/03
65493	UBS AG – HSI R Bear CBBC May 2013 W	2013/01/02	2013/01/03
65492	UBS AG – HSI R Bear CBBC Jun 2013 H	2013/01/02	2013/01/03
65512	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Q	2013/01/02	2013/01/03
65061	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 C	2013/01/02	2013/01/03
64635	HK Bank – China Life R Bear CBBC Aug 2013 B	2013/01/02	2013/01/03
65123	HK Bank – A50 R Bear CBBC Aug 2013 B	2013/01/02	2013/01/03
65192	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 D	2013/01/02	2013/01/03
65711	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May 13E	2013/01/02	2013/01/03
65264	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 I	2013/01/02	2013/01/03
65301	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 J	2013/01/02	2013/01/03
65322	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 F	2013/01/02	2013/01/03

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
65323	J P Morgan SP BV – HSI R Bear CBBC May 2013 V	2013/01/02	2013/01/03
65337	UBS AG – HSI R Bear CBBC Apr 2013 S	2013/01/02	2013/01/03
65339	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 Q	2013/01/02	2013/01/03
65384	HK Bank – HSI R Bear CBBC Oct 2013 C	2013/01/02	2013/01/03
65465	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 K	2013/01/02	2013/01/03
65399	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 R	2013/01/02	2013/01/03
65400	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 S	2013/01/02	2013/01/03
65017	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 A	2013/01/02	2013/01/03
64461	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 H	2013/01/02	2013/01/03
64164	HK Bank – HKEx R Bear CBBC Jun 2013 A	2013/01/02	2013/01/03
65621	HK Bank – HSCEI R Bear CBBC Dec 2013 B	2013/01/02	2013/01/03
64270	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 C	2013/01/02	2013/01/03
64343	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 E	2013/01/02	2013/01/03
64320	UBS AG – HSI R Bear CBBC Feb 2013 R	2013/01/02	2013/01/03
65761	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 13L	2013/01/02	2013/01/03
64345	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 F	2013/01/02	2013/01/03
64353	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 A	2013/01/02	2013/01/03
64359	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 B	2013/01/02	2013/01/03
64360	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 C	2013/01/02	2013/01/03
64375	HK Bank – Li & Fung R Bear CBBC Apr 2013 A	2013/01/02	2013/01/03
64451	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 K	2013/01/02	2013/01/03
64885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 W	2013/01/02	2013/01/03
64458	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 F	2013/01/02	2013/01/03
64117	HK Bank – CNOOC R Bear CBBC Jun 2013 A	2013/01/02	2013/01/03
64468	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 E	2013/01/02	2013/01/03
64487	UBS AG – HSI R Bear CBBC Apr 2013 M	2013/01/02	2013/01/03
64492	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 B	2013/01/02	2013/01/03
64497	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 H	2013/01/02	2013/01/03
64506	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 X	2013/01/02	2013/01/03
64509	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Y	2013/01/02	2013/01/03
64510	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Z	2013/01/02	2013/01/03
64538	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 D	2013/01/02	2013/01/03
64540	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 E	2013/01/02	2013/01/03
64541	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 F	2013/01/02	2013/01/03
64546	HK Bank – HSI R Bear CBBC Nov 2013 B	2013/01/02	2013/01/03
64551	HK Bank – HSI R Bear CBBC Jul 2013 D	2013/01/02	2013/01/03
64603	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 I	2013/01/02	2013/01/03
64452	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb13 L	2013/01/02	2013/01/03
62431	HK Bank – Hutchison R Bear CBBC Jan 2013 B	2013/01/02	2013/01/03
62526	HK Bank – A50 R Bear CBBC Jan 2013 A	2013/01/02	2013/01/03
62644	HK Bank – ABC R Bear CBBC Jan 2013 A	2013/01/02	2013/01/03
65422	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 T	2013/01/03	2013/01/04
64116	HK Bank – Hutchison R Bear CBBC Jun 2013 A	2013/01/03	2013/01/04
65411	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 T	2013/01/03	2013/01/04
64220	Credit Suisse AG – HKEx R Bear CBBC Feb 2013 B	2013/01/03	2013/01/04
68334	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/01/03	2013/01/04
65698	HK Bank – COVS R Bear CBBC Jul 2013 B	2013/01/03	2013/01/04
62815	HK Bank – A50 R Bear CBBC Feb 2013 A	2013/01/03	2013/01/04
65780	Credit Suisse AG – HSI R Bear CBBC Apr 2013 W	2013/01/03	2013/01/04
63812	UBS AG – HSI R Bear CBBC Feb 2013 I	2013/01/03	2013/01/04
63807	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 B	2013/01/03	2013/01/04
66455	Credit Suisse AG – HSI R Bear CBBC May 2013 D	2013/01/03	2013/01/04
63548	UBS AG – CC Bank R Bear CBBC May 2013 B	2013/01/03	2013/01/04
65591	Credit Suisse AG – HSI R Bear CBBC Mar 2013 P	2013/01/03	2013/01/04
64575	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 L	2013/01/03	2013/01/04
64486	UBS AG – HSI R Bear CBBC Mar 2013 J	2013/01/03	2013/01/04
63651	HK Bank – CC Bank R Bear CBBC Aug 2013 A	2013/01/03	2013/01/04
64505	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 W	2013/01/03	2013/01/04
65798	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Y	2013/01/04	2013/01/07
65797	Credit Suisse AG – HSI R Bear CBBC Apr 2013 X	2013/01/04	2013/01/07
65796	HK Bank – Galaxy Ent R Bear CBBC Oct 2013 A	2013/01/04	2013/01/07
65793	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 S	2013/01/04	2013/01/07
65799	UBS AG – HSI R Bear CBBC Apr 2013 I	2013/01/04	2013/01/07
65792	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 R	2013/01/04	2013/01/07
65787	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 13M	2013/01/04	2013/01/07
67402	HK Bank – TCH R Bull CBBC Jan 2013 C	2013/01/04	2013/01/08
65879	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 N	2013/01/07	2013/01/08
65911	UBS AG – SHK Ppt R Bear CBBC Feb 2013 B	2013/01/07	2013/01/08
65892	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 Q	2013/01/07	2013/01/08
65868	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 J	2013/01/07	2013/01/08
65880	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 O	2013/01/07	2013/01/08
65826	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Z	2013/01/07	2013/01/08
65875	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 M	2013/01/07	2013/01/08
65874	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 L	2013/01/07	2013/01/08
65871	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 K	2013/01/07	2013/01/08
65865	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 I	2013/01/07	2013/01/08
68297	UBS AG – HKEx R Bear CBBC Mar 2013 B	2013/01/07	2013/01/08
65833	UBS AG – HSI R Bear CBBC Jun 2013 K	2013/01/07	2013/01/08
65885	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 P	2013/01/07	2013/01/08
65920	UBS AG – HSI R Bear CBBC Apr 2013 P	2013/01/08	2013/01/09
64666	HK Bank – Galaxy Ent R Bear CBBC May 2013 A	2013/01/08	2013/01/09
64516	UBS AG – Galaxy Ent R Bear CBBC Mar 2013 A	2013/01/08	2013/01/09
65904	Credit Suisse AG – HSI R Bear CBBC May 2013 K	2013/01/08	2013/01/09
66333	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 U	2013/01/09	2013/01/10
66331	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 I	2013/01/09	2013/01/10
66078	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/01/09	2013/01/10
66079	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 B	2013/01/09	2013/01/10

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66088	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Y	2013/01/09	2013/01/10
66308	UBS AG – HSI R Bull CBBC Jun 2013 G	2013/01/09	2013/01/10
66090	Credit Suisse AG – HSI R Bull CBBC Sep 2013 K	2013/01/09	2013/01/10
66095	Credit Suisse AG – HSI R Bull CBBC Jul 2013 N	2013/01/09	2013/01/10
66063	Credit Suisse AG – HSI R Bear CBBC Apr 2013 J	2013/01/09	2013/01/10
66304	UBS AG – HSI R Bull CBBC May 2013 Z	2013/01/09	2013/01/10
66288	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 I	2013/01/09	2013/01/10
66126	UBS AG – HSI R Bear CBBC Apr 2013 G	2013/01/09	2013/01/10
66287	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Z	2013/01/09	2013/01/10
65956	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 T	2013/01/09	2013/01/10
66068	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 L	2013/01/09	2013/01/10
65974	UBS AG – HSI R Bear CBBC Apr 2013 U	2013/01/09	2013/01/10
66071	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 X	2013/01/09	2013/01/10
65971	Credit Suisse AG – HSI R Bear CBBC Apr 2013 B	2013/01/09	2013/01/10
65970	Credit Suisse AG – HSI R Bear CBBC Apr 2013 A	2013/01/09	2013/01/10
65969	Credit Suisse AG – HSI R Bear CBBC Apr 2013 D	2013/01/09	2013/01/10
66017	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 F	2013/01/09	2013/01/10
65968	Credit Suisse AG – HSI R Bear CBBC Apr 2013 C	2013/01/09	2013/01/10
65985	UBS AG – HSI R Bear CBBC Jun 2013 L	2013/01/09	2013/01/10
65951	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 S	2013/01/09	2013/01/10
65950	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 R	2013/01/09	2013/01/10
65943	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 H	2013/01/09	2013/01/10
62958	HK Bank – China Life R Bull CBBC Jan 2013 B	2013/01/09	2013/01/11
65939	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 O	2013/01/09	2013/01/10
65934	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 N	2013/01/09	2013/01/10
66421	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 F	2013/01/09	2013/01/10
65973	UBS AG – HSI R Bear CBBC May 2013 E	2013/01/09	2013/01/10
66349	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 F	2013/01/09	2013/01/10
66057	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 U	2013/01/09	2013/01/10
66077	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 Y	2013/01/10	2013/01/11
65480	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 L	2013/01/10	2013/01/11
64324	UBS AG – HSI R Bear CBBC Apr 2013 L	2013/01/10	2013/01/11
64348	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 G	2013/01/10	2013/01/11
66730	UBS AG – HSI R Bull CBBC Jul 2013 I	2013/01/10	2013/01/11
66616	Credit Suisse AG – HSI R Bull CBBC Sep 2013 P	2013/01/10	2013/01/11
66740	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 J	2013/01/10	2013/01/11
66545	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 V	2013/01/10	2013/01/11
66743	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 Z	2013/01/10	2013/01/11
66749	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 E	2013/01/10	2013/01/11
66780	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 X	2013/01/10	2013/01/11
66781	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 Y	2013/01/10	2013/01/11
66076	Credit Suisse AG – HSI R Bear CBBC Apr 2013 E	2013/01/10	2013/01/11
66599	UBS AG – HSI R Bear CBBC May 2013 F	2013/01/10	2013/01/11
66606	Credit Suisse AG – HSI R Bear CBBC May 2013 U	2013/01/10	2013/01/11
66642	UBS AG – HSI R Bull CBBC Jul 2013 D	2013/01/10	2013/01/11
63695	UBS AG – HSCEI R Bear CBBC Mar 2013 B	2013/01/10	2013/01/11
66027	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 Q	2013/01/10	2013/01/11
66636	Credit Suisse AG – HSI R Bull CBBC Oct 2013 A	2013/01/10	2013/01/11
65592	Credit Suisse AG – HSI R Bear CBBC Apr 2013 O	2013/01/10	2013/01/11
66026	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 F	2013/01/10	2013/01/11
68338	Credit Suisse AG – HSI R Bear CBBC Jul 2013 D	2013/01/10	2013/01/11
66025	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 P	2013/01/10	2013/01/11
63848	HK Bank – HSI R Bear CBBC May 2013 E	2013/01/10	2013/01/11
67007	UBS AG – HSI R Bear CBBC Jun 2013 S	2013/01/11	2013/01/14
67000	Credit Suisse AG – HSI R Bear CBBC May 2013 X	2013/01/11	2013/01/14
66992	Credit Suisse AG – HSI R Bear CBBC May 2013 W	2013/01/11	2013/01/14
66208	UBS AG – AIA R Bull CBBC Jun 2013 A	2013/01/11	2013/01/14
66231	UBS AG – Hutchison R Bear CBBC Apr 2013 A	2013/01/11	2013/01/14
64207	HK Bank – Lenovo R Bear CBBC Jun 2013 A	2013/01/11	2013/01/14
62777	UBS AG – Hutchison R Bull CBBC Jan 2013 A	2013/01/11	2013/01/15
67009	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 B	2013/01/11	2013/01/14
67006	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 K	2013/01/11	2013/01/14
62778	UBS AG – Sinopec Corp R Bull CBBC Jan 2013 A	2013/01/11	2013/01/15
62780	UBS AG – TCH R Bull CBBC Jan 2013 A	2013/01/11	2013/01/15
66989	Credit Suisse AG – HSI R Bear CBBC May 2013 V	2013/01/11	2013/01/14
65026	UBS AG – China Life R Bull CBBC Jan 2013 B	2013/01/11	2013/01/15
66292	UBS AG – Hutchison R Bear CBBC Jan 2013 A	2013/01/11	2013/01/14
66941	UBS AG – HSI R Bull CBBC Aug 2013 W	2013/01/11	2013/01/14
66887	Credit Suisse AG – A50 China R Bull CBBC Jun 2013 C	2013/01/11	2013/01/14
66892	Credit Suisse AG – HSI R Bull CBBC Jul 2013 B	2013/01/11	2013/01/14
65702	HK Bank – AIA R Bull CBBC Sep 2013 B	2013/01/11	2013/01/14
66444	HK Bank – A50 R Bull CBBC Sep 2013 D	2013/01/11	2013/01/14
64374	HK Bank – Li & Fung R Bull CBBC Jun 2013 A	2013/01/14	2013/01/15
66224	UBS AG – Li & Fung R Bull CBBC Jun 2013 A	2013/01/14	2013/01/15
67193	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 D	2013/01/14	2013/01/15
65795	HK Bank – Sinopec Corp R Bear CBBC Nov 2013 A	2013/01/14	2013/01/15
66213	UBS AG – China Shenhua R Bull CBBC Jul 2013 B	2013/01/14	2013/01/15
67029	Credit Suisse AG – HSI R Bear CBBC Jun 2013 O	2013/01/14	2013/01/15
67071	Credit Suisse AG – HSI R Bull CBBC Aug 2013 D	2013/01/14	2013/01/15
67031	UBS AG – HSI R Bear CBBC Apr 2013 Q	2013/01/14	2013/01/15
67004	HK Bank – China Mobile R Bull CBBC Jul 2013 B	2013/01/15	2013/01/16
63389	UBS AG – HSI R Bear CBBC Mar 2013 U	2013/01/15	2013/01/16
67238	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 Z	2013/01/15	2013/01/16
67242	Credit Suisse AG – HSI R Bear CBBC Apr 2013 T	2013/01/15	2013/01/16
63767	J P Morgan SP BV – HSI R Bear CBBC May 2013 Q	2013/01/15	2013/01/16
64124	HK Bank – HSCEI R Bear CBBC Aug 2013 B	2013/01/15	2013/01/16
65410	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 S	2013/01/15	2013/01/16

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64056	HK Bank – HKEx R Bear CBBC Jul 2013 A	2013/01/15	2013/01/16
67227	UBS AG – HSI R Bull CBBC Oct 2013 D	2013/01/15	2013/01/16
63878	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 C	2013/01/15	2013/01/16
67251	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 E	2013/01/15	2013/01/16
62941	HK Bank – TCH R Bull CBBC Jan 2013 A	2013/01/15	2013/01/17
64463	HK Bank – Cheung Kong R Bear CBBC Apr 2013 A	2013/01/15	2013/01/16
65673	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 O	2013/01/15	2013/01/16
64460	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 G	2013/01/15	2013/01/16
67241	Credit Suisse AG – HSI R Bear CBBC Apr 2013 S	2013/01/15	2013/01/16
63688	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 I	2013/01/15	2013/01/16
67252	UBS AG – HSI R Bear CBBC Apr 2013 W	2013/01/15	2013/01/16
67253	UBS AG – HSI R Bear CBBC May 2013 G	2013/01/15	2013/01/16
65423	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 U	2013/01/15	2013/01/16
63053	HK Bank – CNOOC R Bear CBBC Jan 2013 A	2013/01/15	2013/01/17
65514	Credit Suisse AG – HSI R Bear CBBC Mar 2013 T	2013/01/15	2013/01/16
65679	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 P	2013/01/15	2013/01/16
63372	UBS AG – HSI R Bull CBBC Mar 2013 M	2013/01/16	2013/01/17
67189	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 C	2013/01/16	2013/01/17
67157	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 I	2013/01/16	2013/01/17
67490	UBS AG – Kunlun Energy R Bull CBBC Feb 2013 A	2013/01/16	2013/01/17
62537	UBS AG – HSI R Bull CBBC Feb 2013 C	2013/01/16	2013/01/17
63808	UBS AG – CNBM R Bull CBBC Jul 2013 A	2013/01/16	2013/01/17
67527	UBS AG – HSI R Bull CBBC Mar 2013 I	2013/01/16	2013/01/17
67331	HK Bank – Li & Fung R Bull CBBC Sep 2013 A	2013/01/16	2013/01/17
67229	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 D	2013/01/16	2013/01/17
63522	UBS AG – HSCEI R Bull CBBC May 2013 B	2013/01/16	2013/01/17
64880	UBS AG – HSI R Bull CBBC Jun 2013 Q	2013/01/16	2013/01/17
63526	UBS AG – HSCEI R Bull CBBC May 2013 C	2013/01/16	2013/01/17
63442	UBS AG – HSCEI R Bull CBBC Jun 2013 A	2013/01/16	2013/01/17
67398	UBS AG – A50 China R Bull CBBC Mar 2013 A	2013/01/16	2013/01/17
62800	UBS AG – HSI R Bull CBBC Feb 2013 D	2013/01/16	2013/01/17
67410	UBS AG – SHK Ppt R Bull CBBC Feb 2013 A	2013/01/16	2013/01/17
65027	UBS AG – HSI R Bull CBBC Aug 2013 C	2013/01/16	2013/01/17
67332	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 D	2013/01/16	2013/01/17
64518	UBS AG – COVS R Bull CBBC Jul 2013 A	2013/01/16	2013/01/17
62261	UBS AG – HSI R Bull CBBC Feb 2013 B	2013/01/16	2013/01/17
63908	UBS AG – Anhui Conch R Bull CBBC Feb 2013 A	2013/01/16	2013/01/17
67361	UBS AG – HSI R Bull CBBC May 2013 F	2013/01/16	2013/01/17
67226	Credit Suisse AG – HSI R Bull CBBC Oct 2013 B	2013/01/16	2013/01/17
67344	UBS AG – CNBM R Bull CBBC Feb 2013 B	2013/01/16	2013/01/17
67073	Credit Suisse AG – HSI R Bull CBBC Aug 2013 C	2013/01/16	2013/01/17
67342	UBS AG – HSI R Bear CBBC Apr 2013 X	2013/01/16	2013/01/17
67683	UBS AG – HSI R Bull CBBC May 2013 G	2013/01/16	2013/01/17
62688	UBS AG – HSI R Bull CBBC Jun 2013 A	2013/01/16	2013/01/17
64297	UBS AG – Lenovo R Bull CBBC Aug 2013 A	2013/01/16	2013/01/17
64303	UBS AG – TCH R Bear CBBC Apr 2013 D	2013/01/16	2013/01/17
67257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 F	2013/01/16	2013/01/17
64192	UBS AG – Hutchison R Bull CBBC Jul 2013 A	2013/01/16	2013/01/17
67356	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 F	2013/01/16	2013/01/17
65785	UBS AG – China Mobile R Bull CBBC Jul 2013 B	2013/01/16	2013/01/17
62689	UBS AG – HSI R Bull CBBC May 2013 A	2013/01/16	2013/01/17
62690	UBS AG – HSI R Bull CBBC Jun 2013 B	2013/01/16	2013/01/17
62691	UBS AG – HSI R Bull CBBC May 2013 B	2013/01/16	2013/01/17
65931	UBS AG – Cheung Kong R Bear CBBC Apr 2013 A	2013/01/16	2013/01/17
65537	UBS AG – SHK Ppt R Bull CBBC Apr 2013 A	2013/01/16	2013/01/17
62695	UBS AG – HSI R Bull CBBC Jun 2013 E	2013/01/16	2013/01/17
62694	UBS AG – HSI R Bull CBBC Jun 2013 D	2013/01/16	2013/01/17
68227	UBS AG – Sands China R Bull CBBC Apr 2013 A	2013/01/16	2013/01/17
65218	UBS AG – CNBM R Bull CBBC Jun 2013 A	2013/01/16	2013/01/17
63272	UBS AG – Evergrande R Bull CBBC Jul 2013 A	2013/01/16	2013/01/17
67074	UBS AG – HSI R Bull CBBC Oct 2013 C	2013/01/16	2013/01/17
67736	UBS AG – HSI R Bull CBBC Jun 2013 F	2013/01/16	2013/01/17
64190	UBS AG – China Mobile R Bull CBBC Aug 2013 A	2013/01/16	2013/01/17
63273	UBS AG – HSI R Bull CBBC Aug 2013 B	2013/01/16	2013/01/17
67048	UBS AG – CC Bank R Bull CBBC Feb 2013 A	2013/01/16	2013/01/17
64939	UBS AG – HSI R Bull CBBC Aug 2013 G	2013/01/16	2013/01/17
65261	UBS AG – CC Bank R Bull CBBC Jul 2013 A	2013/01/16	2013/01/17
67325	HK Bank – HSI R Bear CBBC Oct 2013 G	2013/01/16	2013/01/17
67203	HK Bank – A50 R Bull CBBC Jun 2013 D	2013/01/16	2013/01/17
61100	UBS AG – HSI R Bull CBBC Feb 2013 A	2013/01/16	2013/01/17
67261	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 G	2013/01/16	2013/01/17
67337	Credit Suisse AG – HSI R Bear CBBC Apr 2013 U	2013/01/16	2013/01/17
63700	UBS AG – HSCEI R Bull CBBC Mar 2013 B	2013/01/16	2013/01/17
67388	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 H	2013/01/17	2013/01/18
67396	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 I	2013/01/17	2013/01/18
67387	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 G	2013/01/17	2013/01/18
67588	UBS AG – HSBC R Bear CBBC Feb 2013 B	2013/01/17	2013/01/18
67382	Credit Suisse AG – HSI R Bear CBBC Apr 2013 G	2013/01/17	2013/01/18
67383	Credit Suisse AG – HSI R Bear CBBC Apr 2013 H	2013/01/17	2013/01/18
62995	HK Bank – CC Bank R Bull CBBC Jan 2013 A	2013/01/17	2013/01/21
65328	HK Bank – China Mobile R Bull CBBC Jun 2013 B	2013/01/17	2013/01/18
67384	UBS AG – HSI R Bear CBBC May 2013 I	2013/01/17	2013/01/18
67379	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 P	2013/01/17	2013/01/18
67303	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 J	2013/01/17	2013/01/18
67385	UBS AG – HSI R Bear CBBC May 2013 H	2013/01/17	2013/01/18
67403	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 N	2013/01/17	2013/01/18
67445	UBS AG – HSI R Bull CBBC Jul 2013 J	2013/01/17	2013/01/18

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67419	Credit Suisse AG – HSI R Bull CBBC Sep 2013 R	2013/01/17	2013/01/18
62543	HK Bank – SHK Ppt R Bull CBBC Jan 2013 C	2013/01/17	2013/01/21
67509	J P Morgan SP BV – HSI R Bear CBBC May 2013 B	2013/01/17	2013/01/18
67415	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 G	2013/01/17	2013/01/18
67418	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Q	2013/01/17	2013/01/18
62542	HK Bank – SHK Ppt R Bull CBBC Jan 2013 B	2013/01/17	2013/01/21
67397	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 E	2013/01/17	2013/01/18
67414	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 F	2013/01/17	2013/01/18
67453	UBS AG – HSI R Bull CBBC Jul 2013 K	2013/01/17	2013/01/18
67400	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 F	2013/01/17	2013/01/18
62810	HK Bank – China Life R Bull CBBC Jan 2013 A	2013/01/17	2013/01/21
67517	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 H	2013/01/17	2013/01/18
67405	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 O	2013/01/17	2013/01/18
67420	Credit Suisse AG – HSI R Bull CBBC Sep 2013 S	2013/01/17	2013/01/18
67743	HK Bank – Galaxy Ent R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
63934	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 C	2013/01/18	2013/01/21
65605	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 A	2013/01/18	2013/01/21
64675	HK Bank – BOCL R Bear CBBC Oct 2013 A	2013/01/18	2013/01/21
62686	UBS AG – China Mobile R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
65409	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 R	2013/01/18	2013/01/21
67571	Credit Suisse AG – HSI R Bear CBBC May 2013 G	2013/01/18	2013/01/21
64376	Credit Suisse AG – HSI R Bear CBBC Jul 2013 G	2013/01/18	2013/01/21
64907	UBS AG – TCH R Bear CBBC Apr 2013 F	2013/01/18	2013/01/21
64755	UBS AG – A50 China R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
64863	HK Bank – TCH R Bear CBBC Jun 2013 A	2013/01/18	2013/01/21
67570	Credit Suisse AG – HSI R Bear CBBC May 2013 Z	2013/01/18	2013/01/21
67508	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 K	2013/01/18	2013/01/21
66303	UBS AG – ICBC R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
63868	HK Bank – AIA R Bull CBBC Jan 2013 B	2013/01/18	2013/01/22
67010	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 C	2013/01/18	2013/01/21
66736	UBS AG – Hutchison R Bull CBBC Jan 2013 B	2013/01/18	2013/01/22
66834	HK Bank – A50 R Bull CBBC Jan 2013 C	2013/01/18	2013/01/22
65593	Credit Suisse AG – HSI R Bear CBBC Apr 2013 P	2013/01/18	2013/01/21
64454	HK Bank – China Mobile R Bear CBBC Jan 2013 A	2013/01/18	2013/01/22
66558	Credit Suisse AG – HSI R Bear CBBC Jun 2013 D	2013/01/18	2013/01/21
62776	UBS AG – HSBC R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
66028	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 G	2013/01/18	2013/01/21
64577	HK Bank – HSI R Bear CBBC Aug 2013 C	2013/01/18	2013/01/21
62784	UBS AG – China Life R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
64499	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 I	2013/01/18	2013/01/21
62781	UBS AG – CNOOC R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
62876	HK Bank – Hutchison R Bull CBBC Jan 2013 B	2013/01/18	2013/01/22
64485	UBS AG – HSI R Bear CBBC Apr 2013 H	2013/01/18	2013/01/21
67587	UBS AG – HSI R Bear CBBC Jun 2013 T	2013/01/18	2013/01/21
67572	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 C	2013/01/18	2013/01/21
66117	UBS AG – Cheung Kong R Bull CBBC Jan 2013 A	2013/01/18	2013/01/22
64564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 G	2013/01/18	2013/01/21
63742	UBS AG – HSI R Bear CBBC Mar 2013 Z	2013/01/18	2013/01/21
67603	HK Bank – A50 R Bear CBBC Aug 2013 D	2013/01/21	2013/01/22
67454	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 H	2013/01/21	2013/01/22
67632	UBS AG – HSI R Bear CBBC Apr 2013 Y	2013/01/21	2013/01/22
67649	Credit Suisse AG – HSI R Bear CBBC Apr 2013 X	2013/01/21	2013/01/22
67651	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Y	2013/01/21	2013/01/22
68410	HK Bank – SHK Ppt R Bear CBBC May 2013 A	2013/01/21	2013/01/22
67663	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 J	2013/01/21	2013/01/22
66031	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 R	2013/01/22	2013/01/23
67221	HK Bank – Galaxy Ent R Bear CBBC Nov 2013 A	2013/01/22	2013/01/23
65515	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	2013/01/22	2013/01/23
65081	Credit Suisse AG – HSI R Bear CBBC Jul 2013 L	2013/01/22	2013/01/23
67708	Credit Suisse AG – HSI R Bear CBBC May 2013 H	2013/01/22	2013/01/23
67666	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 K	2013/01/22	2013/01/23
67711	Credit Suisse AG – HSI R Bear CBBC May 2013 I	2013/01/22	2013/01/23
67712	UBS AG – HSI R Bear CBBC May 2013 J	2013/01/22	2013/01/23
64325	UBS AG – HSI R Bear CBBC May 2013 O	2013/01/22	2013/01/23
67705	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 M	2013/01/22	2013/01/23
67703	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 L	2013/01/22	2013/01/23
67696	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 J	2013/01/22	2013/01/23
64602	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 B	2013/01/23	2013/01/24
63811	UBS AG – HSI R Bear CBBC Feb 2013 H	2013/01/23	2013/01/24
67308	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 K	2013/01/23	2013/01/24
65606	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 B	2013/01/23	2013/01/24
65385	HK Bank – HSI R Bear CBBC Nov 2013 D	2013/01/23	2013/01/24
65321	J P Morgan SP BV – HSI R Bear CBBC May 2013 U	2013/01/23	2013/01/24
63609	UBS AG – ICBC R Bear CBBC May 2013 A	2013/01/23	2013/01/24
67792	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 C	2013/01/23	2013/01/24
67802	Credit Suisse AG – HSI R Bear CBBC May 2013 A	2013/01/23	2013/01/24
64502	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 V	2013/01/23	2013/01/24
64960	HK Bank – ABC R Bear CBBC Apr 2013 A	2013/01/23	2013/01/24
66598	HK Bank – Hutchison R Bear CBBC Apr 2013 A	2013/01/23	2013/01/24
66405	Credit Suisse AG – HSI R Bear CBBC Apr 2013 I	2013/01/23	2013/01/24
68006	UBS AG – BOC HK R Bear CBBC Apr 2013 A	2013/01/23	2013/01/24
66249	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 Z	2013/01/23	2013/01/24
67852	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 P	2013/01/24	2013/01/25
67850	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 O	2013/01/24	2013/01/25
67854	Credit Suisse AG – HSI R Bear CBBC Apr 2013 C	2013/01/24	2013/01/25
67832	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 O	2013/01/24	2013/01/25

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67859	UBS AG – HSI R Bear CBBC May 2013 K	2013/01/24	2013/01/25
67853	Credit Suisse AG – HSI R Bear CBBC Apr 2013 B	2013/01/24	2013/01/25
67862	UBS AG – HSI R Bear CBBC May 2013 L	2013/01/24	2013/01/25
65418	HK Bank – CNOOC R Bull CBBC Sep 2013 A	2013/01/24	2013/01/25
67223	HK Bank – COVS R Bull CBBC Dec 2013 A	2013/01/24	2013/01/25
65783	UBS AG – China Mobile R Bull CBBC Aug 2013 B	2013/01/24	2013/01/25
67515	UBS AG – SHK Ppt R Bear CBBC Apr 2013 A	2013/01/24	2013/01/25
67926	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 H	2013/01/24	2013/01/25
67355	UBS AG – CNOOC R Bull CBBC Oct 2013 A	2013/01/24	2013/01/25
68018	Credit Suisse AG – HSI R Bear CBBC Apr 2013 J	2013/01/25	2013/01/28
68046	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 M	2013/01/25	2013/01/28
68023	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 H	2013/01/25	2013/01/28
68037	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 O	2013/01/25	2013/01/28
68022	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 F	2013/01/25	2013/01/28
68047	UBS AG – HSI R Bear CBBC Jun 2013 V	2013/01/25	2013/01/28
62885	HK Bank – China Mobile R Bull CBBC Jan 2013 C	2013/01/25	2013/01/29
68010	Credit Suisse AG – HSI R Bear CBBC Apr 2013 D	2013/01/25	2013/01/28
68007	UBS AG – HSI R Bear CBBC Jun 2013 U	2013/01/25	2013/01/28
67339	UBS AG – Galaxy Ent R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68048	Credit Suisse AG – HSI R Bull CBBC Sep 2013 U	2013/01/25	2013/01/28
68001	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 Q	2013/01/25	2013/01/28
66191	UBS AG – China Life R Bull CBBC Jul 2013 B	2013/01/25	2013/01/28
68053	Credit Suisse AG – HSI R Bull CBBC Sep 2013 W	2013/01/25	2013/01/28
62884	HK Bank – China Mobile R Bull CBBC Jan 2013 B	2013/01/25	2013/01/29
62883	HK Bank – China Mobile R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68063	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 G	2013/01/25	2013/01/28
68089	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 J	2013/01/25	2013/01/28
66509	UBS AG – CC Bank R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68101	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 O	2013/01/25	2013/01/28
63264	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 C	2013/01/25	2013/01/29
65030	HK Bank – China Mobile R Bull CBBC Aug 2013 B	2013/01/25	2013/01/28
66685	UBS AG – COVS R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
62814	HK Bank – AIA R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68050	Credit Suisse AG – HSI R Bull CBBC Sep 2013 V	2013/01/25	2013/01/28
66819	HK Bank – Cheung Kong R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68055	UBS AG – HSI R Bull CBBC Sep 2013 N	2013/01/25	2013/01/28
65419	HK Bank – Lenovo R Bear CBBC Oct 2013 A	2013/01/25	2013/01/28
67725	HK Bank – China Life R Bull CBBC Jul 2013 D	2013/01/25	2013/01/28
65111	UBS AG – China Mobile R Bear CBBC Jan 2013 A	2013/01/25	2013/01/29
67648	Credit Suisse AG – A50 China R Bull CBBC Jan 2013 B	2013/01/25	2013/01/29
65965	HK Bank – China Life R Bull CBBC Jan 2013 C	2013/01/25	2013/01/29
68070	Credit Suisse AG – TCH R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68161	Credit Suisse AG – China Life R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
62940	HK Bank – Hutchison R Bull CBBC Jan 2013 A	2013/01/25	2013/01/29
68123	Credit Suisse AG – HSI R Bear CBBC Apr 2013 E	2013/01/28	2013/01/29
68171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 U	2013/01/28	2013/01/29
68159	UBS AG – HSI R Bear CBBC Apr 2013 T	2013/01/28	2013/01/29
68145	UBS AG – HSI R Bull CBBC Oct 2013 H	2013/01/28	2013/01/29
68137	Credit Suisse AG – HSI R Bull CBBC Aug 2013 I	2013/01/28	2013/01/29
68254	Credit Suisse AG – HSI R Bull CBBC Oct 2013 D	2013/01/29	2013/01/30
68236	UBS AG – HSI R Bull CBBC Jun 2013 A	2013/01/29	2013/01/30
62675	J P Morgan SP BV – HSI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31
68234	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Q	2013/01/29	2013/01/30
67350	UBS AG – HSCEI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31
68201	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 U	2013/01/29	2013/01/30
63637	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 F	2013/01/29	2013/01/31
68186	Credit Suisse AG – HSI R Bear CBBC Apr 2013 O	2013/01/29	2013/01/30
63464	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	2013/01/29	2013/01/31
63527	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13F	2013/01/29	2013/01/31
63524	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13Z	2013/01/29	2013/01/31
63465	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan13 Y	2013/01/29	2013/01/31
64347	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Q	2013/01/29	2013/01/31
64824	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13G	2013/01/29	2013/01/31
64560	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 J	2013/01/29	2013/01/31
64539	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 I	2013/01/29	2013/01/31
63572	UBS AG – HSI R Bull CBBC Jan 2013 Y	2013/01/29	2013/01/31
64508	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 H	2013/01/29	2013/01/31
64507	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 G	2013/01/29	2013/01/31
64818	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13E	2013/01/29	2013/01/31
64304	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 F	2013/01/29	2013/01/31
67687	Credit Suisse AG – HSI R Bull CBBC Jan 2013 O	2013/01/29	2013/01/31
64278	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 D	2013/01/29	2013/01/31
68266	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 J	2013/01/29	2013/01/30
63907	Credit Suisse AG – HSI R Bull CBBC Jan 2013 H	2013/01/29	2013/01/31
63479	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 E	2013/01/29	2013/01/31
62684	J P Morgan SP BV – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
62803	UBS AG – HSI R Bull CBBC Jan 2013 S	2013/01/29	2013/01/31
63638	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 G	2013/01/29	2013/01/31
63639	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 H	2013/01/29	2013/01/31
62824	UBS AG – HSI R Bull CBBC Jan 2013 T	2013/01/29	2013/01/31
64282	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 E	2013/01/29	2013/01/31
62751	Credit Suisse AG – HSI R Bull CBBC Jan 2013 C	2013/01/29	2013/01/31
62710	UBS AG – HSI R Bull CBBC Jan 2013 Q	2013/01/29	2013/01/31
63500	Credit Suisse AG – HSI R Bull CBBC Jan 2013 P	2013/01/29	2013/01/31
62736	UBS AG – HSI R Bull CBBC Jan 2013 R	2013/01/29	2013/01/31
62749	Credit Suisse AG – HSI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63237	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13S	2013/01/29	2013/01/31
62750	Credit Suisse AG – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
61099	UBS AG – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
63217	Credit Suisse AG – HSI R Bull CBBC Jan 2013 K	2013/01/29	2013/01/31
66996	UBS AG – HSI R Bull CBBC Jan 2013 E	2013/01/29	2013/01/31
62752	Credit Suisse AG – HSI R Bull CBBC Jan 2013 D	2013/01/29	2013/01/31
62753	Credit Suisse AG – HSI R Bull CBBC Jan 2013 E	2013/01/29	2013/01/31
63179	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13N	2013/01/29	2013/01/31
63180	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13O	2013/01/29	2013/01/31
63196	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13P	2013/01/29	2013/01/31
63200	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13R	2013/01/29	2013/01/31
63227	Credit Suisse AG – HSI R Bull CBBC Jan 2013 L	2013/01/29	2013/01/31
63300	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
62260	UBS AG – HSI R Bull CBBC Jan 2013 K	2013/01/29	2013/01/31
63312	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13W	2013/01/29	2013/01/31
63197	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13Q	2013/01/29	2013/01/31
63311	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13V	2013/01/29	2013/01/31
63306	Credit Suisse AG – HSI R Bull CBBC Jan 2013 F	2013/01/29	2013/01/31
62243	UBS AG – HSI R Bull CBBC Jan 2013 I	2013/01/29	2013/01/31
68206	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 V	2013/01/29	2013/01/30
63301	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 C	2013/01/29	2013/01/31
62858	UBS AG – HSI R Bull CBBC Jan 2013 U	2013/01/29	2013/01/31
67475	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13A	2013/01/29	2013/01/31
67728	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
67749	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2013 C	2013/01/29	2013/01/31
63254	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan13U	2013/01/29	2013/01/31
63250	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan13T	2013/01/29	2013/01/31
62760	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31
63463	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan13 X	2013/01/29	2013/01/31
63305	Credit Suisse AG – HSI R Bull CBBC Jan 2013 M	2013/01/29	2013/01/31
68363	Credit Suisse AG – HSI R Bull CBBC Jan 2013 S	2013/01/29	2013/01/31
65877	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13K	2013/01/29	2013/01/31
63341	UBS AG – HSI R Bull CBBC Jan 2013 X	2013/01/29	2013/01/31
62378	UBS AG – HSI R Bull CBBC Jan 2013 M	2013/01/29	2013/01/31
65184	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13J	2013/01/29	2013/01/31
67478	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13D	2013/01/29	2013/01/31
65168	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13I	2013/01/29	2013/01/31
62379	UBS AG – HSI R Bull CBBC Jan 2013 N	2013/01/29	2013/01/31
65106	Credit Suisse AG – HSI R Bull CBBC Jan 2013 T	2013/01/29	2013/01/31
68361	Credit Suisse AG – HSI R Bull CBBC Jan 2013 X	2013/01/29	2013/01/31
68358	UBS AG – HSI R Bull CBBC Jan 2013 O	2013/01/29	2013/01/31
68357	UBS AG – HSI R Bull CBBC Jan 2013 J	2013/01/29	2013/01/31
62931	HK Bank – HSI R Bull CBBC Jan 2013 C	2013/01/29	2013/01/31
67353	Credit Suisse AG – HSI R Bull CBBC Jan 2013 N	2013/01/29	2013/01/31
65452	Credit Suisse AG – HSI R Bull CBBC Jan 2013 V	2013/01/29	2013/01/31
68200	UBS AG – HSI R Bear CBBC Apr 2013 Z	2013/01/29	2013/01/30
63083	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31
63276	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13M	2013/01/29	2013/01/31
64942	UBS AG – HSI R Bull CBBC Jan 2013 P	2013/01/29	2013/01/31
63284	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	2013/01/29	2013/01/31
63275	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	2013/01/29	2013/01/31
63219	Credit Suisse AG – HSI R Bull CBBC Jan 2013 R	2013/01/29	2013/01/31
63336	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 V	2013/01/29	2013/01/31
63088	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
65395	Credit Suisse AG – HSI R Bull CBBC Jan 2013 U	2013/01/29	2013/01/31
68616	UBS AG – HSI R Bull CBBC Jan 2013 W	2013/01/29	2013/01/31
62862	HK Bank – HSI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
68615	UBS AG – HSCEI R Bull CBBC Jan 2013 B	2013/01/29	2013/01/31
64971	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13H	2013/01/29	2013/01/31
65105	Credit Suisse AG – HSI R Bull CBBC Jan 2013 J	2013/01/29	2013/01/31
63335	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 U	2013/01/29	2013/01/31
63137	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	2013/01/29	2013/01/31
67857	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 M	2013/01/29	2013/01/31
62861	HK Bank – HSI R Bull CBBC Jan 2013 A	2013/01/29	2013/01/31
67476	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13B	2013/01/29	2013/01/31
67477	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13C	2013/01/29	2013/01/31
67819	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 L	2013/01/29	2013/01/31
65878	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jan 13L	2013/01/29	2013/01/31
67885	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 N	2013/01/29	2013/01/31
67893	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 O	2013/01/29	2013/01/31
67895	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 Q	2013/01/29	2013/01/31
67894	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 P	2013/01/29	2013/01/31
67897	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 R	2013/01/29	2013/01/31
64562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 A	2013/01/30	2013/01/31
64563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 B	2013/01/30	2013/01/31
68125	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 R	2013/01/30	2013/01/31
64572	UBS AG – HSI R Bear CBBC Mar 2013 N	2013/01/30	2013/01/31
66358	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 C	2013/01/30	2013/01/31
63741	UBS AG – HSI R Bear CBBC Apr 2013 A	2013/01/30	2013/01/31
65084	Credit Suisse AG – HSI R Bear CBBC Jul 2013 M	2013/01/30	2013/01/31
67707	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 N	2013/01/30	2013/01/31
64326	UBS AG – HSI R Bear CBBC May 2013 P	2013/01/30	2013/01/31
66476	Credit Suisse AG – HSI R Bear CBBC May 2013 E	2013/01/30	2013/01/31
66414	J P Morgan SP BV – HSI R Bear CBBC May 2013 Y	2013/01/30	2013/01/31
67654	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Z	2013/01/30	2013/01/31
64579	HK Bank – HSI R Bear CBBC Sep 2013 C	2013/01/30	2013/01/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
65680	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 Q	2013/01/30	2013/01/31
64500	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 J	2013/01/30	2013/01/31
67793	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 D	2013/01/30	2013/01/31
65516	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	2013/01/30	2013/01/31
66051	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 S	2013/01/30	2013/01/31
64377	Credit Suisse AG – HSI R Bear CBBC Jul 2013 H	2013/01/30	2013/01/31
66239	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 Y	2013/01/30	2013/01/31
63935	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 D	2013/01/30	2013/01/31
66237	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 X	2013/01/30	2013/01/31
65481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 M	2013/01/30	2013/01/31
67504	J P Morgan SP BV – HSI R Bear CBBC May 2013 Z	2013/01/30	2013/01/31
65126	HK Bank – A50 R Bear CBBC Oct 2013 A	2013/01/30	2013/01/31
67794	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 E	2013/01/30	2013/01/31
64473	UBS AG – HSI R Bear CBBC Apr 2013 D	2013/01/30	2013/01/31
66562	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	2013/01/30	2013/01/31
68322	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 K	2013/01/30	2013/01/31
68348	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 W	2013/01/30	2013/01/31
65596	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Q	2013/01/30	2013/01/31
66049	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 H	2013/01/30	2013/01/31
65608	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 D	2013/01/30	2013/01/31
63631	UBS AG – HSI R Bear CBBC Oct 2013 A	2013/01/30	2013/01/31
68308	Credit Suisse AG – HSI R Bear CBBC Apr 2013 S	2013/01/30	2013/01/31
65607	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 C	2013/01/30	2013/01/31
64599	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 A	2013/01/30	2013/01/31
68371	UBS AG – HSI R Bear CBBC May 2013 M	2013/01/30	2013/01/31
68347	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 I	2013/01/30	2013/01/31
67678	HK Bank – Li & Fung R Bull CBBC Nov 2013 A	2013/01/31	2013/02/01
68437	Credit Suisse AG – HSI R Bear CBBC May 2013 J	2013/01/31	2013/02/01
68455	UBS AG – Li & Fung R Bull CBBC Oct 2013 A	2013/01/31	2013/02/01
68475	Credit Suisse AG – HSI R Bull CBBC Sep 2013 X	2013/02/01	2013/02/04
68350	Credit Suisse AG – HSI R Bull CBBC Nov 2013 B	2013/02/01	2013/02/04
63817	UBS AG – A50 China R Bear CBBC Apr 2013 B	2013/02/01	2013/02/04
65644	Credit Suisse AG – A50 China R Bear CBBC May 2013 A	2013/02/01	2013/02/04
68465	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 J	2013/02/01	2013/02/04
69999	Credit Suisse AG – HSI R Bear CBBC May 2013 C	2013/02/01	2013/02/04
68606	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 A	2013/02/01	2013/02/04
68588	UBS AG – HSI R Bull CBBC Sep 2013 O	2013/02/01	2013/02/04
60003	UBS AG – HSI R Bear CBBC May 2013 S	2013/02/01	2013/02/04
68118	HK Bank – TCH R Bull CBBC Feb 2013 A	2013/02/01	2013/02/05
68605	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 S	2013/02/01	2013/02/04
68436	Credit Suisse AG – HSI R Bull CBBC Oct 2013 G	2013/02/01	2013/02/04
68397	UBS AG – HSI R Bull CBBC Aug 2013 A	2013/02/01	2013/02/04
68607	Credit Suisse AG – HSI R Bear CBBC May 2013 B	2013/02/01	2013/02/04
60018	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 X	2013/02/01	2013/02/04
66837	HK Bank – China Mobile R Bull CBBC Feb 2013 B	2013/02/01	2013/02/05
68173	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 Q	2013/02/01	2013/02/04
63100	HK Bank – HSI R Bear CBBC Oct 2013 A	2013/02/04	2013/02/05
60004	UBS AG – HSCEI R Bear CBBC May 2013 C	2013/02/04	2013/02/05
67456	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 J	2013/02/04	2013/02/05
60046	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 L	2013/02/04	2013/02/05
60048	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Z	2013/02/04	2013/02/05
60045	Credit Suisse AG – HSI R Bear CBBC May 2013 D	2013/02/04	2013/02/05
60050	Credit Suisse AG – HSI R Bull CBBC Sep 2013 E	2013/02/04	2013/02/05
60044	Credit Suisse AG – HSI R Bear CBBC May 2013 F	2013/02/04	2013/02/05
65597	Credit Suisse AG – HSI R Bear CBBC Apr 2013 R	2013/02/04	2013/02/05
60056	UBS AG – HSI R Bull CBBC Oct 2013 I	2013/02/04	2013/02/05
60043	UBS AG – HSI R Bear CBBC Jun 2013 W	2013/02/04	2013/02/05
60074	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 T	2013/02/04	2013/02/05
65124	HK Bank – A50 R Bear CBBC Nov 2013 A	2013/02/04	2013/02/05
65724	UBS AG – BOCL R Bear CBBC Jun 2013 B	2013/02/04	2013/02/05
67374	HK Bank – ABC R Bear CBBC Sep 2013 B	2013/02/04	2013/02/05
68019	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 D	2013/02/05	2013/02/06
68021	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 E	2013/02/05	2013/02/06
68585	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Y	2013/02/05	2013/02/06
68264	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 W	2013/02/05	2013/02/06
68025	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 I	2013/02/05	2013/02/06
60006	UBS AG – ICBC R Bull CBBC Jul 2013 E	2013/02/05	2013/02/06
68026	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 L	2013/02/05	2013/02/06
68027	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 M	2013/02/05	2013/02/06
68033	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 N	2013/02/05	2013/02/06
67657	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 H	2013/02/05	2013/02/06
66614	Credit Suisse AG – HSI R Bull CBBC Sep 2013 O	2013/02/05	2013/02/06
60047	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 L	2013/02/05	2013/02/06
68041	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 P	2013/02/05	2013/02/06
68045	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 L	2013/02/05	2013/02/06
67444	UBS AG – HSI R Bull CBBC Jun 2013 G	2013/02/05	2013/02/06
67828	Credit Suisse AG – HSI R Bull CBBC Sep 2013 T	2013/02/05	2013/02/06
68602	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 K	2013/02/05	2013/02/06
68256	Credit Suisse AG – HSI R Bull CBBC Oct 2013 E	2013/02/05	2013/02/06
67800	Credit Suisse AG – HSI R Bull CBBC Jul 2013 K	2013/02/05	2013/02/06
66222	UBS AG – COVS R Bull CBBC Aug 2013 A	2013/02/05	2013/02/06
67799	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 J	2013/02/05	2013/02/06
68260	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 I	2013/02/05	2013/02/06
68184	HK Bank – PetCh R Bull CBBC Oct 2013 A	2013/02/05	2013/02/06
67849	UBS AG – HSI R Bull CBBC Jul 2013 L	2013/02/05	2013/02/06
67916	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 G	2013/02/05	2013/02/06

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67798	UBS AG – HSI R Bull CBBC Oct 2013 E	2013/02/05	2013/02/06
68394	UBS AG – Cheung Kong R Bull CBBC Oct 2013 B	2013/02/05	2013/02/06
66450	HK Bank – China Life R Bull CBBC Sep 2013 A	2013/02/05	2013/02/06
68590	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 R	2013/02/05	2013/02/06
67822	HK Bank – Cheung Kong R Bull CBBC Nov 2013 A	2013/02/05	2013/02/06
67917	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 C	2013/02/05	2013/02/06
60093	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 X	2013/02/05	2013/02/06
67354	UBS AG – China Life R Bull CBBC Aug 2013 A	2013/02/05	2013/02/06
68337	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 H	2013/02/05	2013/02/06
68122	HK Bank – HSI R Bull CBBC Aug 2013 H	2013/02/05	2013/02/06
60095	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 K	2013/02/05	2013/02/06
67180	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 K	2013/02/05	2013/02/06
67541	Credit Suisse AG – HSI R Bull CBBC Aug 2013 F	2013/02/05	2013/02/06
67360	Credit Suisse AG – HSI R Bull CBBC Aug 2013 E	2013/02/05	2013/02/06
67675	Credit Suisse AG – HSI R Bull CBBC Aug 2013 G	2013/02/05	2013/02/06
68586	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 K	2013/02/05	2013/02/06
60105	Credit Suisse AG – HSI R Bull CBBC Aug 2013 S	2013/02/05	2013/02/06
68271	HK Bank – HSCEI R Bull CBBC Nov 2013 B	2013/02/05	2013/02/06
68142	Credit Suisse AG – HSI R Bull CBBC Aug 2013 M	2013/02/05	2013/02/06
68143	UBS AG – HSI R Bull CBBC Oct 2013 G	2013/02/05	2013/02/06
67012	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 B	2013/02/05	2013/02/06
60073	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 S	2013/02/05	2013/02/06
68144	UBS AG – HSI R Bull CBBC Aug 2013 Y	2013/02/05	2013/02/06
67699	Credit Suisse AG – HSI R Bull CBBC Jul 2013 J	2013/02/05	2013/02/06
67513	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 V	2013/02/05	2013/02/06
68124	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 P	2013/02/05	2013/02/06
68372	UBS AG – HSI R Bull CBBC Aug 2013 Z	2013/02/05	2013/02/06
67676	Credit Suisse AG – HSI R Bull CBBC Aug 2013 H	2013/02/05	2013/02/06
67667	UBS AG – HSI R Bull CBBC Sep 2013 K	2013/02/05	2013/02/06
67756	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 I	2013/02/05	2013/02/06
68521	HK Bank – SHK Ppt R Bull CBBC Aug 2013 A	2013/02/05	2013/02/06
67751	Credit Suisse AG – HSI R Bull CBBC Oct 2013 C	2013/02/05	2013/02/06
60096	UBS AG – HSI R Bull CBBC Jun 2013 B	2013/02/05	2013/02/06
67560	UBS AG – HSI R Bull CBBC Aug 2013 X	2013/02/05	2013/02/06
68349	Credit Suisse AG – HSI R Bull CBBC Nov 2013 A	2013/02/05	2013/02/06
67357	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 E	2013/02/05	2013/02/06
68080	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 Z	2013/02/05	2013/02/06
67349	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 M	2013/02/05	2013/02/06
68083	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 A	2013/02/05	2013/02/06
63054	HK Bank – CNOOC R Bull CBBC Feb 2013 A	2013/02/05	2013/02/07
67669	UBS AG – HSI R Bull CBBC Sep 2013 L	2013/02/05	2013/02/06
68099	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 N	2013/02/05	2013/02/06
67362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 D	2013/02/05	2013/02/06
67545	HK Bank – HSI R Bull CBBC Sep 2013 E	2013/02/05	2013/02/06
68054	UBS AG – HSI R Bull CBBC Oct 2013 F	2013/02/05	2013/02/06
60317	UBS AG – HSI R Bull CBBC Nov 2013 A	2013/02/06	2013/02/07
60323	UBS AG – HSI R Bull CBBC Aug 2013 P	2013/02/06	2013/02/07
60315	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 W	2013/02/06	2013/02/07
60318	UBS AG – HSI R Bull CBBC Jul 2013 F	2013/02/06	2013/02/07
60314	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 A	2013/02/06	2013/02/07
60310	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 E	2013/02/06	2013/02/07
60309	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 C	2013/02/06	2013/02/07
60207	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 N	2013/02/06	2013/02/07
60208	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 M	2013/02/06	2013/02/07
60249	Credit Suisse AG – HSI R Bull CBBC Oct 2013 H	2013/02/06	2013/02/07
60206	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 M	2013/02/06	2013/02/07
60278	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 A	2013/02/06	2013/02/07
60280	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 B	2013/02/06	2013/02/07
60068	UBS AG – Galaxy Ent R Bull CBBC Oct 2013 A	2013/02/06	2013/02/07
60283	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 C	2013/02/06	2013/02/07
60285	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 U	2013/02/06	2013/02/07
60287	Credit Suisse AG – HSI R Bull CBBC Sep 2013 F	2013/02/06	2013/02/07
60286	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 V	2013/02/06	2013/02/07
60117	HK Bank – Sands China R Bull CBBC Nov 2013 A	2013/02/06	2013/02/07
60288	Credit Suisse AG – HSI R Bull CBBC Sep 2013 K	2013/02/06	2013/02/07
60120	HK Bank – Sinopec Corp R Bull CBBC Nov 2013 A	2013/02/06	2013/02/07
60301	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 B	2013/02/06	2013/02/07
60336	HK Bank – HSI R Bull CBBC Oct 2013 D	2013/02/07	2013/02/08
68208	HK Bank – TCH R Bear CBBC Feb 2013 B	2013/02/07	2013/02/14
60341	HK Bank – Galaxy Ent R Bull CBBC Oct 2013 A	2013/02/07	2013/02/08
67714	UBS AG – Li & Fung R Bull CBBC Sep 2013 A	2013/02/07	2013/02/08
60343	UBS AG – HSI R Bull CBBC Oct 2013 J	2013/02/07	2013/02/08
68157	UBS AG – China Shenhua R Bull CBBC Dec 2013 A	2013/02/07	2013/02/08
60345	Credit Suisse AG – HSI R Bull CBBC Aug 2013 V	2013/02/07	2013/02/08
60344	Credit Suisse AG – HSI R Bull CBBC Aug 2013 U	2013/02/07	2013/02/08
68175	HK Bank – ICBC R Bull CBBC Aug 2013 D	2013/02/07	2013/02/08
65363	HK Bank – COVS R Bull CBBC Nov 2013 B	2013/02/07	2013/02/08
67428	UBS AG – HSCEI R Bull CBBC Oct 2013 A	2013/02/07	2013/02/08
60380	Credit Suisse AG – HSI R Bull CBBC Aug 2013 B	2013/02/08	2013/02/14
60416	UBS AG – HSI R Bull CBBC Sep 2013 Q	2013/02/08	2013/02/14
60393	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 N	2013/02/08	2013/02/14
60410	UBS AG – HSI R Bull CBBC Aug 2013 Q	2013/02/08	2013/02/14
60385	UBS AG – HSI R Bull CBBC Sep 2013 P	2013/02/08	2013/02/14
63555	HK Bank – AIA R Bear CBBC Oct 2013 A	2013/02/08	2013/02/14
67028	HK Bank – CUni R Bull CBBC Oct 2013 A	2013/02/08	2013/02/14
60388	UBS AG – HSI R Bull CBBC Jun 2013 D	2013/02/08	2013/02/14

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60381	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Y	2013/02/08	2013/02/14
68176	HK Bank – China Tel R Bull CBBC Dec 2013 A	2013/02/08	2013/02/14
60423	UBS AG – CUni R Bull CBBC Sep 2013 A	2013/02/08	2013/02/14
60360	HK Bank – Li & Fung R Bull CBBC Nov 2013 B	2013/02/08	2013/02/14
60453	UBS AG – HSI R Bull CBBC Nov 2013 B	2013/02/14	2013/02/15
60445	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Y	2013/02/14	2013/02/15
60472	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 D	2013/02/14	2013/02/15
60443	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 X	2013/02/14	2013/02/15
60469	UBS AG – Sinopec Corp R Bull CBBC Oct 2013 B	2013/02/14	2013/02/15
60447	Credit Suisse AG – HSI R Bull CBBC Oct 2013 J	2013/02/14	2013/02/15
60446	Credit Suisse AG – HSI R Bull CBBC Oct 2013 I	2013/02/14	2013/02/15
60483	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 E	2013/02/14	2013/02/15
60455	UBS AG – HSI R Bull CBBC Jul 2013 M	2013/02/14	2013/02/15
60454	UBS AG – HSI R Bull CBBC Oct 2013 K	2013/02/14	2013/02/15
60457	UBS AG – Ping An R Bull CBBC Oct 2013 A	2013/02/14	2013/02/15
67072	HK Bank – HKEx R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
66662	UBS AG – CUni R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
60504	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 P	2013/02/15	2013/02/18
60508	Credit Suisse AG – HSI R Bull CBBC Sep 2013 S	2013/02/15	2013/02/18
60522	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 S	2013/02/15	2013/02/18
60507	Credit Suisse AG – HSI R Bull CBBC Sep 2013 R	2013/02/15	2013/02/18
60506	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Q	2013/02/15	2013/02/18
66110	UBS AG – Hutchison R Bear CBBC Feb 2013 A	2013/02/15	2013/02/19
60534	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 N	2013/02/15	2013/02/18
60599	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 V	2013/02/15	2013/02/18
60517	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 E	2013/02/15	2013/02/18
60505	Credit Suisse AG – HSI R Bull CBBC Sep 2013 P	2013/02/15	2013/02/18
65930	UBS AG – HSBC R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
60615	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 U	2013/02/15	2013/02/18
60516	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 D	2013/02/15	2013/02/18
60519	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 R	2013/02/15	2013/02/18
60499	UBS AG – HSI R Bull CBBC Aug 2013 B	2013/02/15	2013/02/18
60587	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 L	2013/02/15	2013/02/18
63090	UBS AG – A50 China R Bull CBBC Feb 2013 B	2013/02/15	2013/02/19
60585	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 K	2013/02/15	2013/02/18
63082	HK Bank – Kunlun Energy R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
60494	UBS AG – HSI R Bull CBBC Aug 2013 W	2013/02/15	2013/02/18
60614	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 Q	2013/02/15	2013/02/18
60621	UBS AG – HSI R Bear CBBC May 2013 V	2013/02/15	2013/02/18
60613	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 P	2013/02/15	2013/02/18
60500	UBS AG – HSI R Bull CBBC Jun 2013 E	2013/02/15	2013/02/18
60612	Credit Suisse AG – HSI R Bear CBBC Jun 2013 X	2013/02/15	2013/02/18
60618	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 N	2013/02/15	2013/02/18
60606	Credit Suisse AG – HSI R Bear CBBC May 2013 E	2013/02/15	2013/02/18
67773	UBS AG – ICBC R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
60610	Credit Suisse AG – HSI R Bear CBBC May 2013 J	2013/02/15	2013/02/18
60617	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 M	2013/02/15	2013/02/18
60501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 O	2013/02/15	2013/02/18
60576	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 J	2013/02/15	2013/02/18
60611	Credit Suisse AG – HSI R Bear CBBC May 2013 B	2013/02/15	2013/02/18
60622	UBS AG – HSI R Bear CBBC May 2013 Y	2013/02/15	2013/02/18
60509	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 A	2013/02/15	2013/02/18
60510	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 B	2013/02/15	2013/02/18
60573	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 H	2013/02/15	2013/02/18
60518	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 F	2013/02/15	2013/02/18
60514	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 C	2013/02/15	2013/02/18
60467	UBS AG – CC Bank R Bull CBBC Dec 2013 A	2013/02/15	2013/02/18
62961	UBS AG – HKEx R Bull CBBC Feb 2013 A	2013/02/15	2013/02/19
60620	UBS AG – HSI R Bear CBBC Jun 2013 Z	2013/02/18	2013/02/19
60643	Credit Suisse AG – HSI R Bear CBBC May 2013 C	2013/02/18	2013/02/19
60639	UBS AG – HSI R Bear CBBC Jun 2013 C	2013/02/18	2013/02/19
60631	UBS AG – HSI R Bear CBBC Jul 2013 Q	2013/02/18	2013/02/19
60493	HK Bank – A50 R Bull CBBC Oct 2013 C	2013/02/18	2013/02/19
63963	HK Bank – China Shenhua R Bull CBBC Aug 2013 A	2013/02/18	2013/02/19
60644	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Y	2013/02/18	2013/02/19
60645	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Z	2013/02/18	2013/02/19
60650	UBS AG – Lenovo R Bear CBBC Jul 2013 A	2013/02/18	2013/02/19
60616	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 V	2013/02/18	2013/02/19
60717	UBS AG – HSI R Bear CBBC Jul 2013 R	2013/02/19	2013/02/20
60680	Credit Suisse AG – HSI R Bull CBBC Oct 2013 L	2013/02/19	2013/02/20
60727	UBS AG – HSI R Bear CBBC Aug 2013 L	2013/02/19	2013/02/20
60542	Credit Suisse AG – HSI R Bull CBBC Sep 2013 V	2013/02/19	2013/02/20
62812	HK Bank – Hutchison R Bull CBBC Feb 2013 B	2013/02/19	2013/02/21
68267	HK Bank – HSCEI R Bull CBBC Dec 2013 B	2013/02/19	2013/02/20
60715	UBS AG – HSI R Bull CBBC Jun 2013 F	2013/02/19	2013/02/20
60553	UBS AG – HSI R Bull CBBC Sep 2013 R	2013/02/19	2013/02/20
65825	HK Bank – China Life R Bull CBBC Jul 2013 C	2013/02/19	2013/02/20
60714	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	2013/02/19	2013/02/20
60581	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 H	2013/02/19	2013/02/20
60580	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 G	2013/02/19	2013/02/20
60684	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 G	2013/02/19	2013/02/20
60683	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 F	2013/02/19	2013/02/20
60682	UBS AG – HSI R Bull CBBC Jul 2013 S	2013/02/19	2013/02/20
60540	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Q	2013/02/19	2013/02/20
60681	UBS AG – HSI R Bull CBBC Jul 2013 N	2013/02/19	2013/02/20
60679	Credit Suisse AG – HSI R Bull CBBC Oct 2013 K	2013/02/19	2013/02/20

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60438	HK Bank – A50 R Bull CBBC Nov 2013 A	2013/02/19	2013/02/20
60722	UBS AG – HSI R Bear CBBC May 2013 X	2013/02/19	2013/02/20
60420	UBS AG – A50 China R Bull CBBC Dec 2013 A	2013/02/19	2013/02/20
65922	UBS AG – China Life R Bull CBBC Jul 2013 A	2013/02/19	2013/02/20
67693	HK Bank – CPIC R Bull CBBC Dec 2013 A	2013/02/19	2013/02/20
60539	Credit Suisse AG – HSI R Bull CBBC Sep 2013 U	2013/02/19	2013/02/20
60738	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Q	2013/02/19	2013/02/20
60740	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 N	2013/02/19	2013/02/20
60710	Credit Suisse AG – HSI R Bull CBBC Jul 2013 L	2013/02/19	2013/02/20
60699	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2013 A	2013/02/19	2013/02/20
60776	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2013 B	2013/02/20	2013/02/21
60754	Credit Suisse AG – HSI R Bear CBBC Jul 2013 R	2013/02/20	2013/02/21
60704	Credit Suisse AG – CSOP A50 ETF R Bull CBBC May 2013 A	2013/02/20	2013/02/21
60791	Credit Suisse AG – HSI R Bull CBBC Jul 2013 M	2013/02/20	2013/02/21
60778	UBS AG – CSOP A50 ETF R Bull CBBC May 2013 A	2013/02/20	2013/02/21
60777	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2013 C	2013/02/20	2013/02/21
60744	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 R	2013/02/20	2013/02/21
60792	UBS AG – HSI R Bull CBBC Aug 2013 C	2013/02/20	2013/02/21
60108	HK Bank – A50 R Bull CBBC Sep 2013 E	2013/02/20	2013/02/21
60768	UBS AG – HSI R Bear CBBC Jul 2013 S	2013/02/20	2013/02/21
66219	UBS AG – CUni R Bull CBBC Jul 2013 A	2013/02/20	2013/02/21
68430	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 I	2013/02/21	2013/02/22
60115	HK Bank – CNBM R Bull CBBC Oct 2013 A	2013/02/21	2013/02/22
66935	UBS AG – HSI R Bull CBBC Aug 2013 V	2013/02/21	2013/02/22
60203	UBS AG – HSBC R Bull CBBC Nov 2013 A	2013/02/21	2013/02/22
67230	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 L	2013/02/21	2013/02/22
66913	UBS AG – HSI R Bull CBBC May 2013 D	2013/02/21	2013/02/22
60204	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 D	2013/02/21	2013/02/22
60128	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Aug13 C	2013/02/21	2013/02/22
60324	HK Bank – HSI R Bull CBBC Dec 2013 B	2013/02/21	2013/02/22
60202	UBS AG – A50 China R Bull CBBC May 2013 C	2013/02/21	2013/02/22
67891	Bank of East Asia – CPIC R Bull CBBC Dec 2013 A	2013/02/21	2013/02/22
66418	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 E	2013/02/21	2013/02/22
66948	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 J	2013/02/21	2013/02/22
67717	HK Bank – A50 R Bull CBBC Jul 2013 C	2013/02/21	2013/02/22
67726	HK Bank – HKEx R Bull CBBC Sep 2013 B	2013/02/21	2013/02/22
67741	HK Bank – CC Bank R Bull CBBC Aug 2013 C	2013/02/21	2013/02/22
66895	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Z	2013/02/21	2013/02/22
66352	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 H	2013/02/21	2013/02/22
67776	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 X	2013/02/21	2013/02/22
67801	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 K	2013/02/21	2013/02/22
66419	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 T	2013/02/21	2013/02/22
60685	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 H	2013/02/21	2013/02/22
67827	HK Bank – A50 R Bull CBBC Aug 2013 E	2013/02/21	2013/02/22
67011	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 A	2013/02/21	2013/02/22
68008	UBS AG – HSI R Bull CBBC Sep 2013 M	2013/02/21	2013/02/22
66350	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 G	2013/02/21	2013/02/22
60698	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2013 A	2013/02/21	2013/02/22
67302	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 E	2013/02/21	2013/02/22
68398	UBS AG – ICBC R Bull CBBC Jul 2013 D	2013/02/21	2013/02/22
68434	Credit Suisse AG – HSI R Bull CBBC Oct 2013 F	2013/02/21	2013/02/22
68102	UBS AG – HKEx R Bull CBBC Sep 2013 B	2013/02/21	2013/02/22
60775	UBS AG – CSOP A50 ETF R Bull CBBC Jul 2013 A	2013/02/21	2013/02/22
60009	UBS AG – CNOOC R Bull CBBC Jun 2013 A	2013/02/21	2013/02/22
66346	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 E	2013/02/21	2013/02/22
66893	Credit Suisse AG – HSI R Bull CBBC Jul 2013 X	2013/02/21	2013/02/22
60810	Credit Suisse AG – HSI R Bull CBBC Jul 2013 N	2013/02/21	2013/02/22
66301	UBS AG – HSI R Bull CBBC Jun 2013 X	2013/02/21	2013/02/22
60554	UBS AG – HSI R Bull CBBC Oct 2013 L	2013/02/21	2013/02/22
64218	Credit Suisse AG – HKEx R Bull CBBC Feb 2013 A	2013/02/21	2013/02/25
66300	UBS AG – HSI R Bull CBBC May 2013 Y	2013/02/21	2013/02/22
66196	UBS AG – Ping An R Bull CBBC Aug 2013 C	2013/02/21	2013/02/22
66020	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 H	2013/02/21	2013/02/22
66613	Credit Suisse AG – HSI R Bull CBBC Sep 2013 N	2013/02/21	2013/02/22
66082	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 C	2013/02/21	2013/02/22
60594	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 B	2013/02/21	2013/02/22
60802	UBS AG – HSI R Bull CBBC Sep 2013 S	2013/02/21	2013/02/22
60803	UBS AG – HSI R Bull CBBC Sep 2013 T	2013/02/21	2013/02/22
67171	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 J	2013/02/21	2013/02/22
60809	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 I	2013/02/21	2013/02/22
66610	Credit Suisse AG – HSI R Bull CBBC Sep 2013 M	2013/02/21	2013/02/22
60814	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 I	2013/02/21	2013/02/22
66024	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 K	2013/02/21	2013/02/22
67512	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 G	2013/02/21	2013/02/22
66285	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Y	2013/02/21	2013/02/22
66815	HK Bank – HSI R Bull CBBC Nov 2013 D	2013/02/21	2013/02/22
66099	Credit Suisse AG – HSI R Bull CBBC Jul 2013 O	2013/02/21	2013/02/22
66097	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Z	2013/02/21	2013/02/22
66806	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 W	2013/02/21	2013/02/22
66804	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 V	2013/02/21	2013/02/22
66055	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 W	2013/02/21	2013/02/22
66056	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 X	2013/02/21	2013/02/22
66185	UBS AG – A50 China R Bull CBBC Jun 2013 B	2013/02/21	2013/02/22
66220	UBS AG – China Tel R Bull CBBC Aug 2013 A	2013/02/21	2013/02/22
67066	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 K	2013/02/21	2013/02/22
66729	UBS AG – HSI R Bull CBBC Jul 2013 G	2013/02/21	2013/02/22

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66728	UBS AG – HSI R Bull CBBC Aug 2013 U	2013/02/21	2013/02/22
65987	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 J	2013/02/21	2013/02/22
60801	UBS AG – HSI R Bull CBBC Nov 2013 C	2013/02/21	2013/02/22
65986	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 U	2013/02/21	2013/02/22
66732	UBS AG – HSCEI R Bull CBBC Jun 2013 E	2013/02/21	2013/02/22
63400	UBS AG – TCH R Bear CBBC Feb 2013 A	2013/02/22	2013/02/26
66607	Credit Suisse AG – HSI R Bull CBBC Sep 2013 L	2013/02/22	2013/02/25
66814	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 Y	2013/02/22	2013/02/25
67080	UBS AG – CNBM R Bull CBBC Sep 2013 A	2013/02/22	2013/02/25
60860	Credit Suisse AG – HSI R Bull CBBC Aug 2013 I	2013/02/22	2013/02/25
63413	Credit Suisse AG – TCH R Bull CBBC Feb 2013 A	2013/02/22	2013/02/26
68237	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 B	2013/02/22	2013/02/26
68207	Credit Suisse AG – A50 China R Bull CBBC Feb 2013 A	2013/02/22	2013/02/26
66417	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 S	2013/02/22	2013/02/25
67443	UBS AG – HSI R Bull CBBC Jul 2013 H	2013/02/22	2013/02/25
66900	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Y	2013/02/22	2013/02/25
66107	Credit Suisse AG – HSI R Bull CBBC Aug 2013 A	2013/02/22	2013/02/25
65992	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Z	2013/02/22	2013/02/25
64136	UBS AG – CNOOC R Bear CBBC Feb 2013 A	2013/02/22	2013/02/26
66294	UBS AG – HSI R Bull CBBC Sep 2013 J	2013/02/22	2013/02/25
67775	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 V	2013/02/22	2013/02/25
66726	UBS AG – HSI R Bull CBBC Aug 2013 T	2013/02/22	2013/02/25
60823	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 S	2013/02/22	2013/02/25
66340	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 D	2013/02/22	2013/02/25
60853	Credit Suisse AG – HSI R Bull CBBC Aug 2013 C	2013/02/22	2013/02/25
63414	Credit Suisse AG – TCH R Bull CBBC Feb 2013 B	2013/02/22	2013/02/26
67959	UBS AG – Galaxy Ent R Bull CBBC Sep 2013 A	2013/02/22	2013/02/25
65991	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 K	2013/02/22	2013/02/25
67297	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 D	2013/02/22	2013/02/25
60824	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 J	2013/02/22	2013/02/25
60832	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 K	2013/02/22	2013/02/25
60822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 R	2013/02/22	2013/02/25
66297	UBS AG – HSI R Bull CBBC Jun 2013 V	2013/02/22	2013/02/25
66054	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 V	2013/02/22	2013/02/25
67967	UBS AG – TCH R Bull CBBC Feb 2013 A	2013/02/22	2013/02/26
66813	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 X	2013/02/22	2013/02/25
66053	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 U	2013/02/22	2013/02/25
66422	HK Bank – HSI R Bull CBBC Oct 2013 B	2013/02/22	2013/02/25
66018	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 G	2013/02/22	2013/02/25
60833	UBS AG – HSI R Bull CBBC Jul 2013 R	2013/02/22	2013/02/25
60742	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 L	2013/02/22	2013/02/25
66019	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 I	2013/02/22	2013/02/25
68149	UBS AG – Anhui Conch R Bull CBBC Dec 2013 A	2013/02/25	2013/02/26
60865	UBS AG – HSI R Bull CBBC Oct 2013 O	2013/02/25	2013/02/26
60866	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 L	2013/02/25	2013/02/26
60862	Credit Suisse AG – HSI R Bull CBBC Sep 2013 W	2013/02/25	2013/02/26
60864	UBS AG – HSI R Bull CBBC Oct 2013 N	2013/02/25	2013/02/26
60879	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Y	2013/02/25	2013/02/26
60867	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 M	2013/02/25	2013/02/26
60869	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 T	2013/02/25	2013/02/26
67094	UBS AG – HKEx R Bull CBBC Sep 2013 A	2013/02/25	2013/02/26
60873	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 U	2013/02/25	2013/02/26
60875	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 V	2013/02/25	2013/02/26
60876	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 W	2013/02/25	2013/02/26
60877	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 X	2013/02/25	2013/02/26
63051	HK Bank – China Mobile R Bull CBBC Feb 2013 A	2013/02/25	2013/02/27
62641	HK Bank – Hutchison R Bull CBBC Feb 2013 A	2013/02/25	2013/02/27
63256	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13E	2013/02/26	2013/02/28
68174	HK Bank – HSCEI R Bull CBBC Aug 2013 A	2013/02/26	2013/02/27
63248	Credit Suisse AG – HSI R Bull CBBC Feb 2013 O	2013/02/26	2013/02/28
65801	UBS AG – HSI R Bull CBBC Jun 2013 L	2013/02/26	2013/02/27
62764	Daiwa Capital Mkt – HSI R Bull CBBC Feb 2013 A	2013/02/26	2013/02/28
68251	Credit Suisse AG – HSI R Bull CBBC Feb 2013 W	2013/02/26	2013/02/28
63462	UBS AG – HSI R Bull CBBC Feb 2013 O	2013/02/26	2013/02/28
63419	Credit Suisse AG – HSI R Bull CBBC Feb 2013 P	2013/02/26	2013/02/28
60880	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Z	2013/02/26	2013/02/27
67961	UBS AG – CC Bank R Bull CBBC Aug 2013 B	2013/02/26	2013/02/27
62754	Credit Suisse AG – HSI R Bull CBBC Feb 2013 A	2013/02/26	2013/02/28
63202	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13D	2013/02/26	2013/02/28
63201	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13C	2013/02/26	2013/02/28
63313	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13F	2013/02/26	2013/02/28
62716	HK Bank – HSI R Bull CBBC Feb 2013 C	2013/02/26	2013/02/28
62755	Credit Suisse AG – HSI R Bull CBBC Feb 2013 B	2013/02/26	2013/02/28
62758	Credit Suisse AG – HSI R Bull CBBC Feb 2013 E	2013/02/26	2013/02/28
60628	HK Bank – HSI R Bull CBBC Sep 2013 F	2013/02/26	2013/02/27
65800	Credit Suisse AG – HSI R Bull CBBC Aug 2013 W	2013/02/26	2013/02/27
60916	Credit Suisse AG – HSI R Bull CBBC Aug 2013 F	2013/02/26	2013/02/27
62756	Credit Suisse AG – HSI R Bull CBBC Feb 2013 C	2013/02/26	2013/02/28
60881	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/02/26	2013/02/27
60882	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 B	2013/02/26	2013/02/27
63314	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13G	2013/02/26	2013/02/28
67840	UBS AG – HSCEI R Bull CBBC Sep 2013 A	2013/02/26	2013/02/27
62757	Credit Suisse AG – HSI R Bull CBBC Feb 2013 D	2013/02/26	2013/02/28
65804	HK Bank – A50 R Bull CBBC Aug 2013 D	2013/02/26	2013/02/27
65662	UBS AG – HSI R Bull CBBC Sep 2013 I	2013/02/26	2013/02/27
60913	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 N	2013/02/26	2013/02/27

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60125	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Aug13 B	2013/02/26	2013/02/27
65003	Credit Suisse AG – HSI R Bull CBBC Feb 2013 J	2013/02/26	2013/02/28
64970	Credit Suisse AG – HSI R Bull CBBC Feb 2013 I	2013/02/26	2013/02/28
64963	Credit Suisse AG – HSI R Bull CBBC Feb 2013 H	2013/02/26	2013/02/28
60205	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 E	2013/02/26	2013/02/27
63096	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Z	2013/02/26	2013/02/28
65863	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 R	2013/02/26	2013/02/27
65663	UBS AG – China Life R Bull CBBC Jun 2013 C	2013/02/26	2013/02/27
63135	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13N	2013/02/26	2013/02/28
63136	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13O	2013/02/26	2013/02/28
60041	HK Bank – Hutchison R Bull CBBC Nov 2013 A	2013/02/26	2013/02/27
63293	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13Q	2013/02/26	2013/02/28
63362	HK Bank – China Shenhua R Bull CBBC Dec 2013 C	2013/02/26	2013/02/27
63095	UBS AG – HSI R Bull CBBC Feb 2013 T	2013/02/26	2013/02/28
65898	UBS AG – HSI R Bull CBBC May 2013 X	2013/02/26	2013/02/27
66876	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13K	2013/02/26	2013/02/28
65919	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 Z	2013/02/26	2013/02/27
65918	Credit Suisse AG – HSI R Bull CBBC Sep 2013 I	2013/02/26	2013/02/27
66871	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13I	2013/02/26	2013/02/28
65902	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 C	2013/02/26	2013/02/27
65657	Credit Suisse AG – HSI R Bull CBBC Aug 2013 T	2013/02/26	2013/02/27
65900	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 A	2013/02/26	2013/02/27
68478	HK Bank – HSI R Bull CBBC Oct 2013 C	2013/02/26	2013/02/27
65921	Credit Suisse AG – HSI R Bull CBBC Sep 2013 J	2013/02/26	2013/02/27
65896	Credit Suisse AG – HSI R Bull CBBC Aug 2013 X	2013/02/26	2013/02/27
65891	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 T	2013/02/26	2013/02/27
65812	Credit Suisse AG – HSI R Bull CBBC Feb 2013 V	2013/02/26	2013/02/28
65752	Credit Suisse AG – HSI R Bull CBBC Feb 2013 U	2013/02/26	2013/02/28
65589	UBS AG – HSI R Bull CBBC Feb 2013 S	2013/02/26	2013/02/28
65901	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2013 B	2013/02/26	2013/02/27
63644	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 A	2013/02/26	2013/02/28
60930	UBS AG – HSI R Bull CBBC Oct 2013 P	2013/02/26	2013/02/27
60929	UBS AG – HSI R Bull CBBC Jun 2013 Q	2013/02/26	2013/02/27
63595	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13Y	2013/02/26	2013/02/28
62715	HK Bank – HSI R Bull CBBC Feb 2013 B	2013/02/26	2013/02/28
60912	Credit Suisse AG – HSI R Bull CBBC Aug 2013 E	2013/02/26	2013/02/27
63384	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 R	2013/02/26	2013/02/28
63614	UBS AG – HSI R Bull CBBC Feb 2013 W	2013/02/26	2013/02/28
64691	Credit Suisse AG – HSI R Bull CBBC Feb 2013 S	2013/02/26	2013/02/28
60909	HK Bank – CSOP A50 ETF R Bull CBBC Jun 2013 A	2013/02/26	2013/02/27
63938	UBS AG – HSI R Bull CBBC Feb 2013 Q	2013/02/26	2013/02/28
63894	UBS AG – HSI R Bull CBBC Feb 2013 P	2013/02/26	2013/02/28
63699	UBS AG – HSCEI R Bull CBBC Feb 2013 B	2013/02/26	2013/02/28
63529	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13H	2013/02/26	2013/02/28
63704	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 E	2013/02/26	2013/02/28
60911	UBS AG – HSI R Bull CBBC Aug 2013 G	2013/02/26	2013/02/27
63514	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Q	2013/02/26	2013/02/28
60906	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 A	2013/02/26	2013/02/27
63470	UBS AG – HSI R Bull CBBC Feb 2013 V	2013/02/26	2013/02/28
63471	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 A	2013/02/26	2013/02/28
63472	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 B	2013/02/26	2013/02/28
63474	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 C	2013/02/26	2013/02/28
63477	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 U	2013/02/26	2013/02/28
60937	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 J	2013/02/26	2013/02/27
63512	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 W	2013/02/26	2013/02/28
64684	Credit Suisse AG – HSI R Bull CBBC Feb 2013 R	2013/02/26	2013/02/28
63518	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	2013/02/26	2013/02/28
64879	UBS AG – HSI R Bull CBBC Feb 2013 R	2013/02/26	2013/02/28
64861	Credit Suisse AG – HSI R Bull CBBC Feb 2013 T	2013/02/26	2013/02/28
63540	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 X	2013/02/26	2013/02/28
68403	UBS AG – Hutchison R Bull CBBC Oct 2013 A	2013/02/26	2013/02/27
63385	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 S	2013/02/26	2013/02/28
63511	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb13 V	2013/02/26	2013/02/28
64890	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 M	2013/02/26	2013/02/28
64526	UBS AG – China Shenhua R Bull CBBC Jun 2013 A	2013/02/26	2013/02/27
66252	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 Q	2013/02/26	2013/02/27
67715	UBS AG – SHK Ppt R Bull CBBC Dec 2013 A	2013/02/26	2013/02/27
60705	Credit Suisse AG – CSOP A50 ETF R Bull CBBC May 2013 B	2013/02/26	2013/02/27
64825	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 H	2013/02/26	2013/02/28
67555	HK Bank – Anhui Conch R Bull CBBC Dec 2013 D	2013/02/26	2013/02/27
66416	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 D	2013/02/26	2013/02/27
66915	UBS AG – HSI R Bull CBBC Feb 2013 I	2013/02/26	2013/02/28
65782	UBS AG – HSI R Bull CBBC Jun 2013 J	2013/02/26	2013/02/27
66601	BOCI Asia Ltd. – HSI R Bull CBBC Aug 2013 A	2013/02/26	2013/02/27
64889	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 L	2013/02/26	2013/02/28
65625	HK Bank – China Life R Bull CBBC Jun 2013 B	2013/02/26	2013/02/27
64891	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 N	2013/02/26	2013/02/28
63944	UBS AG – HSI R Bull CBBC Feb 2013 H	2013/02/26	2013/02/28
60731	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2013 A	2013/02/26	2013/02/27
60817	HK Bank – Evergrande R Bull CBBC Sep 2013 B	2013/02/26	2013/02/27
65753	UBS AG – HSI R Bull CBBC Aug 2013 S	2013/02/26	2013/02/27
65750	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 T	2013/02/26	2013/02/27
65748	Credit Suisse AG – HSI R Bull CBBC Sep 2013 G	2013/02/26	2013/02/27
60555	UBS AG – HSI R Bull CBBC Oct 2013 M	2013/02/26	2013/02/27
66873	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 13J	2013/02/26	2013/02/28
66886	Credit Suisse AG – A50 China R Bull CBBC Jun 2013 B	2013/02/26	2013/02/27

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64887	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 K	2013/02/26	2013/02/28
67765	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 U	2013/02/26	2013/02/27
66251	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 P	2013/02/26	2013/02/27
65938	HK Bank – CUni R Bull CBBC Apr 2013 B	2013/02/26	2013/02/27
62714	HK Bank – HSI R Bull CBBC Feb 2013 A	2013/02/26	2013/02/28
67022	HK Bank – HSI R Bull CBBC Aug 2013 G	2013/02/26	2013/02/27
60007	UBS AG – AIA R Bear CBBC Oct 2013 A	2013/02/27	2013/02/28
65749	Credit Suisse AG – HSI R Bull CBBC Sep 2013 H	2013/02/27	2013/02/28
68422	HK Bank – CNOOC R Bull CBBC Jun 2013 A	2013/02/27	2013/02/28
67510	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 U	2013/02/27	2013/02/28
67760	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 B	2013/02/27	2013/02/28
60668	UBS AG – CNOOC R Bull CBBC Oct 2013 B	2013/02/27	2013/02/28
60994	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 J	2013/02/27	2013/02/28
67326	HK Bank – HKEx R Bull CBBC Oct 2013 B	2013/02/27	2013/02/28
60995	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 K	2013/02/27	2013/02/28
60979	Credit Suisse AG – HSI R Bull CBBC Jul 2013 B	2013/02/27	2013/02/28
65615	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 S	2013/02/27	2013/02/28
65751	UBS AG – HSI R Bull CBBC Aug 2013 R	2013/02/27	2013/02/28
65888	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 S	2013/02/27	2013/02/28
65861	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Q	2013/02/27	2013/02/28
65599	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 W	2013/02/27	2013/02/28
60883	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 E	2013/02/27	2013/02/28
60985	UBS AG – HSI R Bull CBBC Jul 2013 Y	2013/02/27	2013/02/28
65733	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 E	2013/02/27	2013/02/28
60988	UBS AG – HSI R Bull CBBC Nov 2013 D	2013/02/27	2013/02/28
65779	Credit Suisse AG – HSI R Bull CBBC Jul 2013 A	2013/02/27	2013/02/28
65786	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 13Y	2013/02/27	2013/02/28
67417	UBS AG – CNOOC R Bull CBBC Mar 2013 A	2013/02/27	2013/02/28
60379	HK Bank – AIA R Bear CBBC Dec 2013 B	2013/02/27	2013/02/28
60846	UBS AG – ABC R Bull CBBC Nov 2013 A	2013/02/27	2013/02/28
65746	UBS AG – HSI R Bull CBBC Jul 2013 Z	2013/02/27	2013/02/28
60983	Credit Suisse AG – HSI R Bull CBBC Jul 2013 J	2013/02/27	2013/02/28
61039	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 R	2013/02/28	2013/03/01
61064	Credit Suisse AG – HSI R Bear CBBC Jun 2013 X	2013/02/28	2013/03/01
61031	UBS AG – HSI R Bull CBBC Sep 2013 U	2013/02/28	2013/03/01
61065	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Y	2013/02/28	2013/03/01
61034	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 D	2013/02/28	2013/03/01
61078	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 M	2013/02/28	2013/03/01
61035	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 E	2013/02/28	2013/03/01
61060	UBS AG – HSI R Bear CBBC Jun 2013 D	2013/02/28	2013/03/01
61038	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 N	2013/02/28	2013/03/01
61061	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	2013/02/28	2013/03/01
61042	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 L	2013/02/28	2013/03/01
61022	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 O	2013/02/28	2013/03/01
61041	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 K	2013/02/28	2013/03/01
61021	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 K	2013/02/28	2013/03/01
61043	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 M	2013/02/28	2013/03/01
61029	UBS AG – HSI R Bull CBBC Jul 2013 D	2013/02/28	2013/03/01
61030	UBS AG – HSI R Bull CBBC Jul 2013 I	2013/02/28	2013/03/01
61023	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 P	2013/02/28	2013/03/01
61050	UBS AG – HSI R Bull CBBC Jun 2013 A	2013/02/28	2013/03/01
61024	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Q	2013/02/28	2013/03/01
61054	UBS AG – CSOP A50 ETF R Bear CBBC Jun 2013 C	2013/02/28	2013/03/01
61027	Credit Suisse AG – HSI R Bull CBBC Oct 2013 O	2013/02/28	2013/03/01
61037	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 V	2013/02/28	2013/03/01
61077	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2013 L	2013/02/28	2013/03/01
61017	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 D	2013/02/28	2013/03/01
64066	HK Bank – AIA R Bear CBBC Jun 2013 A	2013/02/28	2013/03/01
61059	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 T	2013/02/28	2013/03/01
61028	Credit Suisse AG – HSI R Bull CBBC Oct 2013 P	2013/02/28	2013/03/01
61026	Credit Suisse AG – HSI R Bull CBBC Oct 2013 N	2013/02/28	2013/03/01
61025	Credit Suisse AG – HSI R Bull CBBC Oct 2013 M	2013/02/28	2013/03/01
61105	UBS AG – HSI R Bull CBBC Sep 2013 V	2013/03/01	2013/03/04
61084	HK Bank – CSOP A50 ETF R Bear CBBC Jun 2013 B	2013/03/01	2013/03/04
62890	HK Bank – PetCh R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
61109	UBS AG – HSI R Bear CBBC Jul 2013 V	2013/03/01	2013/03/04
61124	Credit Suisse AG – HSI R Bear CBBC Jul 2013 W	2013/03/01	2013/03/04
61120	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	2013/03/01	2013/03/04
61106	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 P	2013/03/01	2013/03/04
61087	Credit Suisse AG – HSI R Bull CBBC Sep 2013 X	2013/03/01	2013/03/04
61125	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Q	2013/03/01	2013/03/04
66676	HK Bank – A50 R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
61119	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Z	2013/03/01	2013/03/04
66663	UBS AG – China Tel R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
67170	HK Bank – China Mobile R Bear CBBC Mar 2013 A	2013/03/01	2013/03/05
61118	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 C	2013/03/01	2013/03/04
61126	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 A	2013/03/01	2013/03/04
61117	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 B	2013/03/01	2013/03/04
67919	HK Bank – Cheung Kong R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
61110	UBS AG – HSI R Bear CBBC Sep 2013 I	2013/03/01	2013/03/04
66688	HK Bank – CC Bank R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
66955	HK Bank – CUni R Bull CBBC Mar 2013 A	2013/03/01	2013/03/05
64080	UBS AG – AIA R Bear CBBC Apr 2013 A	2013/03/04	2013/03/05
61088	Credit Suisse AG – HSI R Bull CBBC Sep 2013 E	2013/03/04	2013/03/05
61094	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 N	2013/03/04	2013/03/05
61098	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 S	2013/03/04	2013/03/05
61135	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 C	2013/03/04	2013/03/05

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61103	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 T	2013/03/04	2013/03/05
61111	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 U	2013/03/04	2013/03/05
61104	UBS AG – HSI R Bull CBBC Aug 2013 A	2013/03/04	2013/03/05
67220	HK Bank – SHK Ppt R Bull CBBC Oct 2013 A	2013/03/04	2013/03/05
61159	UBS AG – HSI R Bull CBBC Jun 2013 B	2013/03/04	2013/03/05
61147	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Z	2013/03/04	2013/03/05
60013	UBS AG – Petrochina R Bull CBBC Oct 2013 A	2013/03/04	2013/03/05
67735	HK Bank – BOCL R Bull CBBC Nov 2013 B	2013/03/04	2013/03/05
61168	UBS AG – HSI R Bull CBBC Nov 2013 E	2013/03/04	2013/03/05
61144	UBS AG – HSI R Bear CBBC Jul 2013 X	2013/03/04	2013/03/05
61148	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 V	2013/03/04	2013/03/05
61097	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 O	2013/03/04	2013/03/05
61152	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 D	2013/03/04	2013/03/05
65461	HK Bank – Cheung Kong R Bull CBBC Oct 2013 A	2013/03/04	2013/03/05
67149	UBS AG – Cheung Kong R Bull CBBC Oct 2013 A	2013/03/04	2013/03/05
60797	HK Bank – AIA R Bear CBBC Dec 2013 C	2013/03/04	2013/03/05
67842	UBS AG – BOCL R Bull CBBC Nov 2013 A	2013/03/04	2013/03/05
61153	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Z	2013/03/04	2013/03/05
61155	Credit Suisse AG – HSI R Bull CBBC Sep 2013 T	2013/03/04	2013/03/05
61213	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 V	2013/03/05	2013/03/06
61205	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Z	2013/03/05	2013/03/06
61211	UBS AG – HSI R Bull CBBC Sep 2013 Y	2013/03/05	2013/03/06
61209	Credit Suisse AG – HSI R Bear CBBC Jul 2013 D	2013/03/05	2013/03/06
61212	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 U	2013/03/05	2013/03/06
67376	HK Bank – Galaxy Ent R Bull CBBC Sep 2013 A	2013/03/05	2013/03/06
61202	Credit Suisse AG – HSI R Bull CBBC Aug 2013 M	2013/03/05	2013/03/06
61216	UBS AG – HSI R Bear CBBC Jun 2013 A	2013/03/05	2013/03/06
61243	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 E	2013/03/05	2013/03/06
61183	UBS AG – HSI R Bull CBBC Sep 2013 W	2013/03/05	2013/03/06
61179	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 W	2013/03/05	2013/03/06
61210	Credit Suisse AG – HSI R Bear CBBC Jul 2013 G	2013/03/05	2013/03/06
61235	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 C	2013/03/05	2013/03/06
61208	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/03/05	2013/03/06
61184	UBS AG – HSI R Bull CBBC Sep 2013 X	2013/03/05	2013/03/06
61177	Credit Suisse AG – HSI R Bull CBBC Aug 2013 H	2013/03/05	2013/03/06
61233	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 F	2013/03/05	2013/03/06
61175	Credit Suisse AG – HSI R Bull CBBC Aug 2013 G	2013/03/05	2013/03/06
61230	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 E	2013/03/05	2013/03/06
61217	UBS AG – HSI R Bear CBBC Sep 2013 J	2013/03/05	2013/03/06
61174	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 P	2013/03/05	2013/03/06
61186	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 R	2013/03/05	2013/03/06
61201	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 R	2013/03/05	2013/03/06
61251	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 K	2013/03/06	2013/03/07
61242	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 D	2013/03/06	2013/03/07
61310	UBS AG – HSI R Bear CBBC Aug 2013 Q	2013/03/06	2013/03/07
64072	HK Bank – TCH R Bear CBBC Apr 2013 C	2013/03/06	2013/03/07
61234	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 G	2013/03/06	2013/03/07
61312	UBS AG – HSI R Bear CBBC Oct 2013 E	2013/03/06	2013/03/07
64872	UBS AG – TCH R Bear CBBC Apr 2013 E	2013/03/06	2013/03/07
61274	HK Bank – TCH R Bear CBBC Aug 2013 B	2013/03/06	2013/03/07
61289	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 H	2013/03/06	2013/03/07
61288	UBS AG – HSI R Bear CBBC Jul 2013 Y	2013/03/06	2013/03/07
61192	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 T	2013/03/06	2013/03/07
61191	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 S	2013/03/06	2013/03/07
61281	Credit Suisse AG – HSI R Bear CBBC Jul 2013 H	2013/03/06	2013/03/07
61207	Credit Suisse AG – HSI R Bear CBBC Jul 2013 B	2013/03/06	2013/03/07
61279	Credit Suisse AG – HSI R Bear CBBC Jul 2013 L	2013/03/06	2013/03/07
63876	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 E	2013/03/07	2013/03/08
64068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 X	2013/03/07	2013/03/08
63507	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 V	2013/03/07	2013/03/08
63427	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 U	2013/03/07	2013/03/08
64059	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 H	2013/03/07	2013/03/08
63664	Credit Suisse AG – HSI R Bull CBBC Nov 2013 C	2013/03/07	2013/03/08
64069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Y	2013/03/07	2013/03/08
63910	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 F	2013/03/07	2013/03/08
68529	HK Bank – China Mobile R Bull CBBC Jul 2013 A	2013/03/07	2013/03/08
63875	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 D	2013/03/07	2013/03/08
63844	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 C	2013/03/07	2013/03/08
63843	UBS AG – HSI R Bull CBBC Nov 2013 H	2013/03/07	2013/03/08
63842	UBS AG – HSI R Bull CBBC Jul 2013 J	2013/03/07	2013/03/08
63717	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Q	2013/03/07	2013/03/08
66378	HK Bank – China Tel R Bull CBBC Mar 2013 A	2013/03/07	2013/03/11
63697	Credit Suisse AG – HSI R Bull CBBC Nov 2013 D	2013/03/07	2013/03/08
63173	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 H	2013/03/07	2013/03/08
61005	Bank of East Asia – China Mobile R Bull CBBC Dec 2013 A	2013/03/07	2013/03/08
61343	Credit Suisse AG – HSI R Bear CBBC Jul 2013 M	2013/03/07	2013/03/08
62994	HK Bank – ICBC R Bull CBBC Mar 2013 A	2013/03/07	2013/03/11
61001	Bank of East Asia – Galaxy Ent R Bull CBBC Dec 2013 A	2013/03/07	2013/03/08
64070	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/03/07	2013/03/08
61214	UBS AG – Galaxy Ent R Bull CBBC Nov 2013 A	2013/03/07	2013/03/08
61344	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Q	2013/03/07	2013/03/08
61349	UBS AG – HSI R Bear CBBC Sep 2013 K	2013/03/07	2013/03/08
61345	UBS AG – HSI R Bear CBBC Oct 2013 F	2013/03/07	2013/03/08
61128	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 B	2013/03/08	2013/03/11
68321	UBS AG – Hutchison R Bear CBBC Mar 2013 C	2013/03/08	2013/03/12
66040	HK Bank – CNBM R Bull CBBC Mar 2013 A	2013/03/08	2013/03/12
61206	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/03/08	2013/03/11

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61131	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 J	2013/03/08	2013/03/11
61108	UBS AG – HSI R Bear CBBC Jun 2013 E	2013/03/08	2013/03/11
60798	UBS AG – HSI R Bear CBBC Sep 2013 G	2013/03/08	2013/03/11
60977	UBS AG – HSI R Bear CBBC Jul 2013 U	2013/03/08	2013/03/11
61215	UBS AG – HSI R Bear CBBC Aug 2013 O	2013/03/08	2013/03/11
63558	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 J	2013/03/08	2013/03/11
61071	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 F	2013/03/08	2013/03/11
61036	J P Morgan SP BV – HSI R Bear CBBC Aug 2013 A	2013/03/08	2013/03/11
63866	UBS AG – AIA R Bull CBBC Mar 2013 A	2013/03/08	2013/03/12
61142	UBS AG – HSI R Bear CBBC Jul 2013 W	2013/03/08	2013/03/11
60973	Credit Suisse AG – HSI R Bear CBBC Jun 2013 O	2013/03/08	2013/03/11
63645	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 K	2013/03/08	2013/03/11
64119	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 N	2013/03/08	2013/03/11
61044	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 N	2013/03/08	2013/03/11
63636	UBS AG – HSI R Bear CBBC Jun 2013 G	2013/03/08	2013/03/11
61048	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 O	2013/03/08	2013/03/11
61049	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 A	2013/03/08	2013/03/11
60938	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 P	2013/03/08	2013/03/11
63566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Y	2013/03/08	2013/03/11
63117	Credit Suisse AG – HSI R Bear CBBC Jul 2013 R	2013/03/08	2013/03/11
61146	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Y	2013/03/08	2013/03/11
61070	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 E	2013/03/08	2013/03/11
67060	HK Bank – CC Bank R Bull CBBC Mar 2013 C	2013/03/08	2013/03/12
61057	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 R	2013/03/08	2013/03/11
61069	UBS AG – HSI R Bear CBBC May 2013 N	2013/03/08	2013/03/11
61058	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 S	2013/03/08	2013/03/11
63516	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 I	2013/03/08	2013/03/11
67954	UBS AG – China Mobile R Bear CBBC Mar 2013 A	2013/03/08	2013/03/12
61062	Credit Suisse AG – HSI R Bear CBBC Jun 2013 V	2013/03/08	2013/03/11
63324	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 X	2013/03/08	2013/03/11
63270	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 W	2013/03/08	2013/03/11
63267	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 C	2013/03/08	2013/03/11
64109	UBS AG – HSBC R Bull CBBC Mar 2013 A	2013/03/08	2013/03/12
61278	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 B	2013/03/08	2013/03/11
64196	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 L	2013/03/08	2013/03/11
64178	Credit Suisse AG – HSI R Bear CBBC Jun 2013 X	2013/03/08	2013/03/11
64163	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	2013/03/08	2013/03/11
64159	UBS AG – HSI R Bull CBBC Aug 2013 Y	2013/03/08	2013/03/11
60939	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 Q	2013/03/08	2013/03/11
64155	Credit Suisse AG – HSI R Bull CBBC Aug 2013 U	2013/03/08	2013/03/11
61121	Credit Suisse AG – HSI R Bear CBBC Jul 2013 T	2013/03/08	2013/03/11
64133	Credit Suisse AG – HSI R Bull CBBC Aug 2013 S	2013/03/08	2013/03/11
60953	UBS AG – HSI R Bear CBBC May 2013 D	2013/03/08	2013/03/11
64122	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 C	2013/03/08	2013/03/11
61255	HK Bank – HSI R Bear CBBC Sep 2013 H	2013/03/08	2013/03/11
60942	Credit Suisse AG – HSI R Bear CBBC May 2013 E	2013/03/08	2013/03/11
61250	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 F	2013/03/08	2013/03/11
60944	Credit Suisse AG – HSI R Bear CBBC May 2013 C	2013/03/08	2013/03/11
61253	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 L	2013/03/08	2013/03/11
61313	UBS AG – HSCEI R Bear CBBC Jul 2013 B	2013/03/08	2013/03/11
64199	UBS AG – HSI R Bear CBBC Oct 2013 G	2013/03/08	2013/03/11
60945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 F	2013/03/08	2013/03/11
60946	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 G	2013/03/08	2013/03/11
61138	HK Bank – HSI R Bear CBBC Jul 2013 F	2013/03/08	2013/03/11
63047	HK Bank – BOC HK R Bull CBBC Mar 2013 A	2013/03/08	2013/03/12
64601	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 M	2013/03/11	2013/03/12
64604	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 N	2013/03/11	2013/03/12
64593	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 H	2013/03/11	2013/03/12
64625	J P Morgan SP BV – HSI R Bear CBBC Aug 2013 B	2013/03/11	2013/03/12
64586	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 G	2013/03/11	2013/03/12
64600	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 I	2013/03/11	2013/03/12
60958	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 P	2013/03/11	2013/03/12
64585	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	2013/03/11	2013/03/12
64626	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 F	2013/03/11	2013/03/12
64475	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Z	2013/03/11	2013/03/12
64627	J P Morgan SP BV – HSI R Bear CBBC Aug 2013 C	2013/03/11	2013/03/12
61261	HK Bank – CC Bank R Bear CBBC Aug 2013 B	2013/03/11	2013/03/12
64504	UBS AG – HSI R Bear CBBC Aug 2013 R	2013/03/11	2013/03/12
64503	UBS AG – HSI R Bear CBBC Jul 2013 Z	2013/03/11	2013/03/12
64493	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 K	2013/03/11	2013/03/12
64088	HK Bank – CSOP A50 ETF R Bull CBBC Jul 2013 B	2013/03/11	2013/03/12
64081	HK Bank – A50 R Bull CBBC Jul 2013 D	2013/03/11	2013/03/12
64366	Credit Suisse AG – TCH R Bear CBBC Oct 2013 A	2013/03/11	2013/03/12
64578	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Y	2013/03/11	2013/03/12
64814	Credit Suisse AG – HSI R Bear CBBC Jul 2013 V	2013/03/12	2013/03/13
60947	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 H	2013/03/12	2013/03/13
60978	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 U	2013/03/12	2013/03/13
61068	UBS AG – HSI R Bear CBBC Aug 2013 N	2013/03/12	2013/03/13
60821	HK Bank – Sinopec Corp R Bear CBBC Jul 2013 A	2013/03/12	2013/03/13
61122	Credit Suisse AG – HSI R Bear CBBC Jul 2013 U	2013/03/12	2013/03/13
64902	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 O	2013/03/12	2013/03/13
64740	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Z	2013/03/12	2013/03/13
64831	Credit Suisse AG – HSI R Bear CBBC Jul 2013 X	2013/03/12	2013/03/13
60729	UBS AG – Evergrande R Bull CBBC Sep 2013 A	2013/03/12	2013/03/13
64756	UBS AG – HSI R Bear CBBC Sep 2013 L	2013/03/12	2013/03/13
64698	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 M	2013/03/12	2013/03/13
64697	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 L	2013/03/12	2013/03/13

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64284	Credit Suisse AG – HSI R Bull CBBC Oct 2013 T	2013/03/12	2013/03/13
64281	UBS AG – HSI R Bull CBBC Oct 2013 R	2013/03/12	2013/03/13
64082	HK Bank – A50 R Bull CBBC Jul 2013 E	2013/03/12	2013/03/13
64899	UBS AG – HSI R Bear CBBC Oct 2013 H	2013/03/12	2013/03/13
64253	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 E	2013/03/13	2013/03/14
63836	UBS AG – HSI R Bull CBBC Oct 2013 Q	2013/03/13	2013/03/14
64770	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 J	2013/03/13	2013/03/14
64605	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 G	2013/03/13	2013/03/14
64263	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 F	2013/03/13	2013/03/14
64501	Credit Suisse AG – HSI R Bull CBBC Oct 2013 V	2013/03/13	2013/03/14
60364	HK Bank – CPIC R Bull CBBC Dec 2013 B	2013/03/13	2013/03/14
64827	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Y	2013/03/13	2013/03/14
64271	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 B	2013/03/13	2013/03/14
64901	UBS AG – HSI R Bull CBBC Aug 2013 Z	2013/03/13	2013/03/14
64252	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 D	2013/03/13	2013/03/14
64209	UBS AG – A50 China R Bull CBBC Jan 2014 B	2013/03/13	2013/03/14
64121	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 B	2013/03/13	2013/03/14
64120	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 A	2013/03/13	2013/03/14
64085	HK Bank – A50 R Bull CBBC Jul 2013 F	2013/03/13	2013/03/14
64058	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 G	2013/03/13	2013/03/14
64279	UBS AG – HSI R Bull CBBC Jun 2013 G	2013/03/13	2013/03/14
65103	UBS AG – HSI R Bull CBBC Sep 2013 B	2013/03/13	2013/03/14
66195	UBS AG – CPIC R Bull CBBC Jul 2013 A	2013/03/13	2013/03/14
66956	HK Bank – CUni R Bear CBBC Mar 2013 B	2013/03/13	2013/03/15
65161	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 I	2013/03/13	2013/03/14
65160	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 J	2013/03/13	2013/03/14
65159	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Z	2013/03/13	2013/03/14
65158	Credit Suisse AG – HSI R Bull CBBC Sep 2013 K	2013/03/13	2013/03/14
64787	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 H	2013/03/13	2013/03/14
65122	UBS AG – HSI R Bull CBBC Nov 2013 I	2013/03/13	2013/03/14
64349	Credit Suisse AG – HSI R Bull CBBC Oct 2013 U	2013/03/13	2013/03/14
65087	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 P	2013/03/13	2013/03/14
65080	UBS AG – HSI R Bear CBBC Oct 2013 I	2013/03/13	2013/03/14
65074	UBS AG – HSI R Bear CBBC Aug 2013 S	2013/03/13	2013/03/14
65073	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Z	2013/03/13	2013/03/14
65041	Credit Suisse AG – HSI R Bear CBBC Jul 2013 W	2013/03/13	2013/03/14
65038	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 P	2013/03/13	2013/03/14
65145	Credit Suisse AG – HSI R Bull CBBC Sep 2013 F	2013/03/13	2013/03/14
61294	Credit Suisse AG – HSI R Bull CBBC Jul 2013 L	2013/03/13	2013/03/14
61305	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 B	2013/03/13	2013/03/14
61301	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 A	2013/03/13	2013/03/14
60692	HK Bank – Evergrande R Bull CBBC Nov 2013 A	2013/03/13	2013/03/14
65420	HK Bank – SHK Ppt R Bull CBBC Sep 2013 A	2013/03/13	2013/03/14
61298	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 T	2013/03/13	2013/03/14
61309	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 M	2013/03/13	2013/03/14
61296	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 G	2013/03/13	2013/03/14
61300	UBS AG – HSI R Bull CBBC Nov 2013 G	2013/03/13	2013/03/14
61292	Credit Suisse AG – HSI R Bull CBBC Jul 2013 K	2013/03/13	2013/03/14
61291	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 B	2013/03/13	2013/03/14
63833	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 E	2013/03/13	2013/03/14
61203	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 X	2013/03/13	2013/03/14
64519	UBS AG – HSI R Bull CBBC Jul 2013 K	2013/03/13	2013/03/14
68486	HK Bank – ABC R Bull CBBC Nov 2013 D	2013/03/13	2013/03/14
60696	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2013 A	2013/03/13	2013/03/14
63103	UBS AG – CNBM R Bull CBBC Aug 2013 A	2013/03/13	2013/03/14
63513	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 W	2013/03/13	2013/03/14
63750	Credit Suisse AG – HSI R Bull CBBC Oct 2013 R	2013/03/13	2013/03/14
63832	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 D	2013/03/13	2013/03/14
65811	HK Bank – ICBC R Bull CBBC Sep 2013 B	2013/03/14	2013/03/15
65241	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 J	2013/03/14	2013/03/15
65242	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 K	2013/03/14	2013/03/15
65243	UBS AG – HSI R Bull CBBC Aug 2013 P	2013/03/14	2013/03/15
65244	UBS AG – HSI R Bull CBBC Jul 2013 L	2013/03/14	2013/03/15
67341	UBS AG – China Life R Bull CBBC Mar 2013 B	2013/03/14	2013/03/15
65941	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 C	2013/03/14	2013/03/15
60647	UBS AG – China Life R Bull CBBC Jul 2013 C	2013/03/14	2013/03/15
66264	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 S	2013/03/14	2013/03/15
66605	BOCI Asia Ltd. – HSI R Bull CBBC Sep 2013 A	2013/03/14	2013/03/15
65857	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 P	2013/03/14	2013/03/15
65620	HK Bank – HSCEI R Bull CBBC Sep 2013 B	2013/03/14	2013/03/15
66253	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 R	2013/03/14	2013/03/15
66137	UBS AG – HSCEI R Bull CBBC Jul 2013 D	2013/03/14	2013/03/15
60651	UBS AG – Lenovo R Bull CBBC Dec 2013 B	2013/03/14	2013/03/15
65518	Credit Suisse AG – HSI R Bull CBBC Sep 2013 D	2013/03/14	2013/03/15
60936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 H	2013/03/14	2013/03/15
65529	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 F	2013/03/14	2013/03/15
65618	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 T	2013/03/14	2013/03/15
65240	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Y	2013/03/14	2013/03/15
63297	HK Bank – A50 R Bull CBBC Mar 2013 E	2013/03/14	2013/03/18
65556	HK Bank – HSI R Bull CBBC Jul 2013 F	2013/03/14	2013/03/15
65613	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 Q	2013/03/14	2013/03/15
64615	UBS AG – HSI R Bull CBBC Sep 2013 Z	2013/03/14	2013/03/15
65438	Credit Suisse AG – HSI R Bull CBBC Jul 2013 I	2013/03/14	2013/03/15
65448	UBS AG – HSI R Bull CBBC Jul 2013 X	2013/03/14	2013/03/15
63834	UBS AG – HSI R Bull CBBC Aug 2013 X	2013/03/14	2013/03/15
62869	HK Bank – HKEx R Bull CBBC Mar 2013 A	2013/03/14	2013/03/18
65329	HK Bank – China Life R Bull CBBC Aug 2013 A	2013/03/14	2013/03/15

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66415	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 R	2013/03/14	2013/03/15
63809	Credit Suisse AG – HSI R Bull CBBC Oct 2013 S	2013/03/14	2013/03/15
65434	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 T	2013/03/14	2013/03/15
65432	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 H	2013/03/14	2013/03/15
65476	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 P	2013/03/14	2013/03/15
65431	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 S	2013/03/14	2013/03/15
65405	UBS AG – SHK Ppt R Bull CBBC Jun 2013 A	2013/03/14	2013/03/15
67896	Bank of East Asia – HKEx R Bull CBBC Dec 2013 A	2013/03/14	2013/03/15
65238	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 E	2013/03/14	2013/03/15
65488	UBS AG – HSI R Bull CBBC Jun 2013 I	2013/03/14	2013/03/15
61297	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 S	2013/03/14	2013/03/15
68192	UBS AG – HKEx R Bull CBBC Oct 2013 A	2013/03/14	2013/03/15
65401	UBS AG – HSI R Bull CBBC Jun 2013 Z	2013/03/14	2013/03/15
67294	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 C	2013/03/14	2013/03/15
61290	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 A	2013/03/14	2013/03/15
60998	Bank of East Asia – CSOP A50 ETF R Bull CBBC Sep 2013 A	2013/03/14	2013/03/15
65407	Credit Suisse AG – HSI R Bull CBBC Sep 2013 C	2013/03/14	2013/03/15
61006	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 B	2013/03/14	2013/03/15
65463	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 M	2013/03/14	2013/03/15
65236	HK Bank – GCL-Poly Energy R Bull CBBC Jul 2014 A	2013/03/14	2013/03/15
65624	HK Bank – A50 R Bull CBBC Jul 2013 B	2013/03/15	2013/03/18
61056	UBS AG – CSOP A50 ETF R Bull CBBC Jul 2013 C	2013/03/15	2013/03/18
65814	HK Bank – CNBM R Bull CBBC Sep 2013 A	2013/03/15	2013/03/18
65441	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 U	2013/03/15	2013/03/18
65544	UBS AG – HSI R Bull CBBC Sep 2013 N	2013/03/15	2013/03/18
65362	HK Bank – Anhui Conch R Bull CBBC Dec 2013 C	2013/03/15	2013/03/18
65370	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 V	2013/03/15	2013/03/18
68477	UBS AG – HSBC R Bear CBBC Mar 2013 A	2013/03/15	2013/03/19
65239	UBS AG – HSI R Bear CBBC Aug 2013 T	2013/03/15	2013/03/18
65542	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 N	2013/03/15	2013/03/18
65550	UBS AG – HSI R Bull CBBC Aug 2013 Q	2013/03/15	2013/03/18
68474	UBS AG – China Mobile R Bull CBBC Jun 2013 A	2013/03/15	2013/03/18
65551	UBS AG – HSI R Bull CBBC Jul 2013 F	2013/03/15	2013/03/18
65558	UBS AG – CSOP A50 ETF R Bull CBBC Aug 2013 C	2013/03/15	2013/03/18
65559	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 L	2013/03/15	2013/03/18
65562	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 D	2013/03/15	2013/03/18
65563	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 O	2013/03/15	2013/03/18
65567	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 P	2013/03/15	2013/03/18
65539	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 L	2013/03/15	2013/03/18
65237	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/03/15	2013/03/18
67034	HK Bank – Anhui Conch R Bull CBBC Mar 2013 A	2013/03/15	2013/03/19
65534	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 K	2013/03/15	2013/03/18
65533	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 J	2013/03/15	2013/03/18
65528	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 I	2013/03/15	2013/03/18
64224	HK Bank – China Shenhua R Bull CBBC Mar 2013 A	2013/03/15	2013/03/19
65254	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	2013/03/15	2013/03/18
65522	Credit Suisse AG – HSI R Bull CBBC Nov 2013 I	2013/03/15	2013/03/18
65541	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 M	2013/03/15	2013/03/18
67427	UBS AG – BOC HK R Bull CBBC Mar 2013 A	2013/03/15	2013/03/19
65521	Credit Suisse AG – HSI R Bull CBBC Nov 2013 H	2013/03/15	2013/03/18
65520	Credit Suisse AG – HSI R Bull CBBC Nov 2013 G	2013/03/15	2013/03/18
66967	HK Bank – Sinopec Corp R Bull CBBC Mar 2013 A	2013/03/15	2013/03/19
65504	Credit Suisse AG – HSI R Bull CBBC Nov 2013 E	2013/03/15	2013/03/18
64135	UBS AG – Sinopec Corp R Bull CBBC Mar 2013 A	2013/03/15	2013/03/19
66216	UBS AG – Anhui Conch R Bull CBBC Aug 2013 A	2013/03/15	2013/03/18
65501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 N	2013/03/15	2013/03/18
65499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 K	2013/03/15	2013/03/18
65519	Credit Suisse AG – HSI R Bull CBBC Nov 2013 F	2013/03/15	2013/03/18
65498	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 D	2013/03/15	2013/03/18
65568	HK Bank – HSI R Bull CBBC Oct 2013 G	2013/03/18	2013/03/19
65627	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 O	2013/03/18	2013/03/19
65616	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 W	2013/03/18	2013/03/19
65602	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 Z	2013/03/18	2013/03/19
65598	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 P	2013/03/18	2013/03/19
65586	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 I	2013/03/18	2013/03/19
65582	HK Bank – China Mobile R Bull CBBC Aug 2013 D	2013/03/18	2013/03/19
65560	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 C	2013/03/18	2013/03/19
65569	HK Bank – HSI R Bull CBBC Sep 2013 H	2013/03/18	2013/03/19
65637	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Q	2013/03/18	2013/03/19
64097	UBS AG – Cheung Kong R Bull CBBC Jun 2013 B	2013/03/18	2013/03/19
65580	HK Bank – HSI R Bull CBBC Aug 2013 J	2013/03/18	2013/03/19
66265	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 T	2013/03/18	2013/03/19
65635	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 N	2013/03/18	2013/03/19
61019	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 G	2013/03/18	2013/03/19
65851	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 M	2013/03/18	2013/03/19
65853	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 N	2013/03/18	2013/03/19
65614	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 R	2013/03/18	2013/03/19
65854	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 O	2013/03/18	2013/03/19
65897	UBS AG – HSI R Bull CBBC May 2013 W	2013/03/18	2013/03/19
67096	UBS AG – Hutchison R Bull CBBC Jul 2013 C	2013/03/18	2013/03/19
66151	UBS AG – CNBM R Bull CBBC Jul 2013 B	2013/03/18	2013/03/19
68526	HK Bank – China Mobile R Bull CBBC Jun 2013 A	2013/03/18	2013/03/19
66279	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 U	2013/03/18	2013/03/19
66295	UBS AG – HSI R Bull CBBC Oct 2013 B	2013/03/18	2013/03/19
60999	Bank of East Asia – Sands China R Bull CBBC Dec 2013 A	2013/03/18	2013/03/19
66432	HK Bank – HSI R Bull CBBC Dec 2013 A	2013/03/18	2013/03/19
61007	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 C	2013/03/18	2013/03/19

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66885	Credit Suisse AG – A50 China R Bull CBBC Jun 2013 A	2013/03/18	2013/03/19
61008	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 D	2013/03/18	2013/03/19
67026	HK Bank – Hutchison R Bull CBBC Oct 2013 A	2013/03/18	2013/03/19
65674	UBS AG – Anhui Conch R Bull CBBC Feb 2014 A	2013/03/18	2013/03/19
65731	BOCI Asia Ltd. – HSI R Bull CBBC Jul 2013 B	2013/03/18	2013/03/19
65632	UBS AG – HSI R Bull CBBC Sep 2013 O	2013/03/18	2013/03/19
65634	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 M	2013/03/18	2013/03/19
65636	Credit Suisse AG – HSI R Bull CBBC Sep 2013 P	2013/03/18	2013/03/19
65631	UBS AG – HSI R Bull CBBC Jul 2013 M	2013/03/18	2013/03/19
65640	Credit Suisse AG – HSI R Bull CBBC Sep 2013 R	2013/03/18	2013/03/19
65650	Credit Suisse AG – HSI R Bull CBBC Sep 2013 S	2013/03/18	2013/03/19
61020	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 I	2013/03/18	2013/03/19
65671	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 G	2013/03/18	2013/03/19
65629	UBS AG – HSI R Bull CBBC Oct 2013 S	2013/03/18	2013/03/19
65802	UBS AG – A50 China R Bull CBBC May 2013 B	2013/03/18	2013/03/19
65667	UBS AG – ICBC R Bull CBBC May 2013 A	2013/03/18	2013/03/19
65579	Credit Suisse AG – HSI R Bull CBBC Jun 2013 Z	2013/03/18	2013/03/19
65665	UBS AG – Ping An R Bull CBBC Aug 2013 B	2013/03/18	2013/03/19
60931	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 F	2013/03/18	2013/03/19
65813	HK Bank – CC Bank R Bull CBBC Sep 2013 C	2013/03/18	2013/03/19
60966	HK Bank – CSOP A50 ETF R Bull CBBC Jul 2013 A	2013/03/18	2013/03/19
65660	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jul 2013 F	2013/03/18	2013/03/19
65348	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Q	2013/03/18	2013/03/19
65728	BOCI Asia Ltd. – HSI R Bull CBBC Jul 2013 A	2013/03/18	2013/03/19
60124	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Aug13 A	2013/03/18	2013/03/19
65300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 K	2013/03/18	2013/03/19
60005	UBS AG – Sands China R Bull CBBC Oct 2013 A	2013/03/18	2013/03/19
65302	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 L	2013/03/18	2013/03/19
67262	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 A	2013/03/18	2013/03/19
65413	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 L	2013/03/18	2013/03/19
67267	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 B	2013/03/18	2013/03/19
60042	HK Bank – Lenovo R Bull CBBC Oct 2013 B	2013/03/18	2013/03/19
65305	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 B	2013/03/18	2013/03/19
65414	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 M	2013/03/18	2013/03/19
65633	UBS AG – HSI R Bull CBBC Sep 2013 K	2013/03/18	2013/03/19
65303	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 P	2013/03/18	2013/03/19
65341	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 C	2013/03/18	2013/03/19
65296	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 N	2013/03/18	2013/03/19
65349	Credit Suisse AG – HSI R Bull CBBC Aug 2013 R	2013/03/18	2013/03/19
65350	UBS AG – HSI R Bull CBBC May 2013 T	2013/03/18	2013/03/19
65318	UBS AG – HSI R Bull CBBC Jul 2013 V	2013/03/18	2013/03/19
65352	UBS AG – HSI R Bull CBBC Aug 2013 N	2013/03/18	2013/03/19
65375	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 S	2013/03/18	2013/03/19
65379	Credit Suisse AG – HSI R Bull CBBC Sep 2013 B	2013/03/18	2013/03/19
64912	HK Bank – SHK Ppt R Bull CBBC Jul 2013 A	2013/03/18	2013/03/19
65380	UBS AG – HSI R Bull CBBC Jul 2013 W	2013/03/18	2013/03/19
67759	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 A	2013/03/18	2013/03/19
67878	Bank of East Asia – Ping An R Bull CBBC Dec 2013 A	2013/03/18	2013/03/19
65398	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 G	2013/03/18	2013/03/19
65309	Credit Suisse AG – HSI R Bull CBBC Jun 2013 X	2013/03/18	2013/03/19
65397	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 R	2013/03/18	2013/03/19
65308	Credit Suisse AG – HSI R Bull CBBC Jun 2013 W	2013/03/18	2013/03/19
65149	HK Bank – Cheung Kong R Bull CBBC Jul 2013 A	2013/03/18	2013/03/19
65468	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 N	2013/03/18	2013/03/19
65207	Credit Suisse AG – HSI R Bull CBBC Jun 2013 T	2013/03/18	2013/03/19
65206	Credit Suisse AG – HSI R Bull CBBC Jun 2013 S	2013/03/18	2013/03/19
65205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 M	2013/03/18	2013/03/19
65203	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 N	2013/03/18	2013/03/19
65209	UBS AG – HSI R Bull CBBC Jul 2013 T	2013/03/18	2013/03/19
65435	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 E	2013/03/18	2013/03/19
65216	Credit Suisse AG – HSI R Bull CBBC May 2013 R	2013/03/18	2013/03/19
64665	HK Bank – Yanzhou Coal R Bull CBBC Aug 2013 A	2013/03/18	2013/03/19
64781	UBS AG – TCH R Bull CBBC Aug 2013 A	2013/03/18	2013/03/19
65744	UBS AG – HSCEI R Bull CBBC May 2013 D	2013/03/18	2013/03/19
64903	HK Bank – TCH R Bull CBBC Aug 2013 B	2013/03/18	2013/03/19
65450	HK Bank – HSI R Bull CBBC Sep 2013 D	2013/03/18	2013/03/19
65472	HK Bank – HSI R Bull CBBC Aug 2013 I	2013/03/18	2013/03/19
64355	Credit Suisse AG – TCH R Bull CBBC Oct 2013 A	2013/03/18	2013/03/19
65282	Credit Suisse AG – HSI R Bull CBBC Jul 2013 F	2013/03/18	2013/03/19
65294	UBS AG – HSI R Bull CBBC Jun 2013 W	2013/03/18	2013/03/19
65293	UBS AG – HSI R Bull CBBC Aug 2013 M	2013/03/18	2013/03/19
65288	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 Q	2013/03/18	2013/03/19
65286	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 E	2013/03/18	2013/03/19
65310	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 R	2013/03/18	2013/03/19
65208	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 B	2013/03/18	2013/03/19
65283	Credit Suisse AG – HSI R Bull CBBC Jul 2013 H	2013/03/18	2013/03/19
65295	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 L	2013/03/18	2013/03/19
65281	Credit Suisse AG – HSI R Bull CBBC Jul 2013 G	2013/03/18	2013/03/19
65280	Credit Suisse AG – HSI R Bull CBBC Jul 2013 E	2013/03/18	2013/03/19
65273	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 B	2013/03/18	2013/03/19
65226	UBS AG – HSI R Bull CBBC Jun 2013 Y	2013/03/18	2013/03/19
65284	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 D	2013/03/18	2013/03/19
68183	HK Bank – TCH R Bull CBBC Nov 2013 A	2013/03/19	2013/03/20
60017	UBS AG – TCH R Bull CBBC Jul 2013 C	2013/03/19	2013/03/20
68450	UBS AG – Lenovo R Bull CBBC Dec 2013 A	2013/03/19	2013/03/20
63893	UBS AG – China Life R Bull CBBC Jun 2013 A	2013/03/19	2013/03/20
64919	HK Bank – China Life R Bull CBBC May 2013 A	2013/03/19	2013/03/20

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68411	HK Bank – CNOOC R Bull CBBC Mar 2013 A	2013/03/19	2013/03/21
65726	Credit Suisse AG – HSI R Bull CBBC Oct 2013 B	2013/03/19	2013/03/20
65718	Credit Suisse AG – HSI R Bull CBBC Oct 2013 A	2013/03/19	2013/03/20
65710	UBS AG – Yanzhou Coal R Bull CBBC Aug 2013 A	2013/03/19	2013/03/20
65694	UBS AG – HSI R Bull CBBC Nov 2013 K	2013/03/19	2013/03/20
65690	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Y	2013/03/19	2013/03/20
65685	UBS AG – HSI R Bull CBBC Nov 2013 J	2013/03/19	2013/03/20
65675	HK Bank – HSCEI R Bull CBBC Aug 2013 B	2013/03/19	2013/03/20
65693	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Z	2013/03/19	2013/03/20
65355	HK Bank – HSI R Bull CBBC Aug 2013 F	2013/03/20	2013/03/21
65816	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 P	2013/03/20	2013/03/21
65899	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 J	2013/03/20	2013/03/21
65361	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 I	2013/03/20	2013/03/21
65299	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 J	2013/03/20	2013/03/21
65790	Credit Suisse AG – HSI R Bull CBBC Aug 2013 V	2013/03/20	2013/03/21
65791	Credit Suisse AG – HSI R Bull CBBC Aug 2013 B	2013/03/20	2013/03/21
65817	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 Y	2013/03/20	2013/03/21
65846	UBS AG – HSI R Bull CBBC Oct 2013 T	2013/03/20	2013/03/21
65847	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 O	2013/03/20	2013/03/21
65849	UBS AG – HSI R Bull CBBC Sep 2013 P	2013/03/20	2013/03/21
65844	UBS AG – HSI R Bull CBBC Sep 2013 L	2013/03/20	2013/03/21
65890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/03/20	2013/03/21
65905	UBS AG – HSI R Bull CBBC Sep 2013 Q	2013/03/20	2013/03/21
65917	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Y	2013/03/20	2013/03/21
65928	Credit Suisse AG – HSI R Bull CBBC Aug 2013 D	2013/03/20	2013/03/21
65936	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 P	2013/03/20	2013/03/21
65944	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Q	2013/03/20	2013/03/21
65869	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 R	2013/03/20	2013/03/21
60058	UBS AG – CUni R Bull CBBC Jul 2013 B	2013/03/21	2013/03/22
66154	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 K	2013/03/21	2013/03/22
66884	HK Bank – TCH R Bull CBBC Oct 2013 A	2013/03/21	2013/03/22
63925	Bank of East Asia – HKEx R Bull CBBC Mar 2013 A	2013/03/21	2013/03/25
66221	UBS AG – TCH R Bull CBBC Jun 2013 E	2013/03/21	2013/03/22
65403	UBS AG – TCH R Bull CBBC Jun 2013 D	2013/03/21	2013/03/22
66166	UBS AG – HSI R Bull CBBC Nov 2013 L	2013/03/21	2013/03/22
66160	Credit Suisse AG – HSI R Bull CBBC Sep 2013 V	2013/03/21	2013/03/22
66223	UBS AG – HSI R Bull CBBC Dec 2013 A	2013/03/21	2013/03/22
66202	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 S	2013/03/21	2013/03/22
66201	Credit Suisse AG – HSI R Bull CBBC Sep 2013 N	2013/03/21	2013/03/22
66180	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/03/21	2013/03/22
66245	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 F	2013/03/21	2013/03/22
66169	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 C	2013/03/21	2013/03/22
66246	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 S	2013/03/21	2013/03/22
66165	UBS AG – HSI R Bull CBBC Oct 2013 U	2013/03/21	2013/03/22
66161	Credit Suisse AG – HSI R Bull CBBC Sep 2013 M	2013/03/21	2013/03/22
66156	Credit Suisse AG – HSI R Bull CBBC Sep 2013 U	2013/03/21	2013/03/22
66152	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 X	2013/03/21	2013/03/22
66118	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 R	2013/03/21	2013/03/22
64358	Credit Suisse AG – TCH R Bull CBBC Oct 2013 B	2013/03/21	2013/03/22
66178	UBS AG – HSI R Bear CBBC Jul 2013 S	2013/03/21	2013/03/22
66247	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 G	2013/03/21	2013/03/22
66250	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 T	2013/03/21	2013/03/22
66255	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 H	2013/03/21	2013/03/22
66305	UBS AG – HSI R Bull CBBC Dec 2013 B	2013/03/22	2013/03/25
66291	Credit Suisse AG – HSI R Bull CBBC Oct 2013 D	2013/03/22	2013/03/25
66321	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 X	2013/03/22	2013/03/25
66319	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 W	2013/03/22	2013/03/25
66316	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 J	2013/03/22	2013/03/25
66314	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Z	2013/03/22	2013/03/25
66309	UBS AG – HSI R Bull CBBC Nov 2013 N	2013/03/22	2013/03/25
66244	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 R	2013/03/22	2013/03/25
66306	UBS AG – HSI R Bull CBBC Oct 2013 V	2013/03/22	2013/03/25
66322	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Y	2013/03/22	2013/03/25
66299	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 U	2013/03/22	2013/03/25
66293	Credit Suisse AG – HSI R Bull CBBC Oct 2013 G	2013/03/22	2013/03/25
66278	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 T	2013/03/22	2013/03/25
66263	HK Bank – HSCEI R Bull CBBC Sep 2013 C	2013/03/22	2013/03/25
66307	UBS AG – HSI R Bull CBBC Nov 2013 M	2013/03/22	2013/03/25
63091	UBS AG – A50 China R Bull CBBC Mar 2013 B	2013/03/22	2013/03/26
65381	UBS AG – A50 China R Bull CBBC Mar 2013 G	2013/03/22	2013/03/26
68359	HK Bank – AIA R Bull CBBC Mar 2013 B	2013/03/22	2013/03/26
64328	UBS AG – TCH R Bull CBBC Mar 2013 A	2013/03/22	2013/03/26
64140	HK Bank – A50 R Bull CBBC Mar 2013 C	2013/03/22	2013/03/26
64886	UBS AG – Li & Fung R Bear CBBC Mar 2013 A	2013/03/22	2013/03/26
66296	Credit Suisse AG – HSI R Bull CBBC Oct 2013 C	2013/03/22	2013/03/25
63565	Credit Suisse AG – A50 China R Bull CBBC Mar 2013 A	2013/03/22	2013/03/26
63460	Credit Suisse AG – TCH R Bear CBBC Mar 2013 B	2013/03/22	2013/03/26
65548	UBS AG – A50 China R Bear CBBC Mar 2013 B	2013/03/22	2013/03/26
66471	Credit Suisse AG – HSI R Bear CBBC Aug 2013 M	2013/03/25	2013/03/26
66427	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 J	2013/03/25	2013/03/26
66393	UBS AG – HSI R Bull CBBC Nov 2013 O	2013/03/25	2013/03/26
66442	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Z	2013/03/25	2013/03/26
66478	UBS AG – HSI R Bear CBBC Jun 2013 K	2013/03/25	2013/03/26
66481	UBS AG – HSI R Bear CBBC Jun 2013 L	2013/03/25	2013/03/26
66477	UBS AG – HSI R Bear CBBC Jun 2013 H	2013/03/25	2013/03/26
66469	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/03/25	2013/03/26
66472	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Z	2013/03/25	2013/03/26

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66482	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 V	2013/03/25	2013/03/26
66394	UBS AG – HSI R Bull CBBC Nov 2013 P	2013/03/25	2013/03/26
66390	Credit Suisse AG – HSI R Bull CBBC Oct 2013 I	2013/03/25	2013/03/26
66428	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 K	2013/03/25	2013/03/26
66483	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 J	2013/03/25	2013/03/26
66441	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 K	2013/03/25	2013/03/26
66395	UBS AG – HSI R Bull CBBC Dec 2013 D	2013/03/25	2013/03/26
66470	Credit Suisse AG – HSI R Bear CBBC Jul 2013 W	2013/03/25	2013/03/26
66445	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 H	2013/03/25	2013/03/26
65850	UBS AG – A50 China R Bear CBBC Aug 2013 B	2013/03/25	2013/03/26
67244	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
66500	Credit Suisse AG – HSI R Bear CBBC Aug 2013 O	2013/03/26	2013/03/27
63803	Credit Suisse AG – HSI R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
63864	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 E	2013/03/26	2013/03/28
66351	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Y	2013/03/26	2013/03/27
68064	HK Bank – HSI R Bull CBBC Mar 2013 K	2013/03/26	2013/03/28
67484	UBS AG – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
65015	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
65233	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 I	2013/03/26	2013/03/28
67486	UBS AG – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
68017	UBS AG – HSI R Bull CBBC Mar 2013 N	2013/03/26	2013/03/28
63466	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
63885	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
64004	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 J	2013/03/26	2013/03/28
63494	Credit Suisse AG – HSI R Bull CBBC Mar 2013 N	2013/03/26	2013/03/28
63892	Credit Suisse AG – HSI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
65190	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
67083	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 E	2013/03/26	2013/03/28
63810	UBS AG – HSI R Bear CBBC Mar 2013 F	2013/03/26	2013/03/28
63246	HK Bank – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
63898	UBS AG – HSCEI R Bear CBBC Mar 2013 C	2013/03/26	2013/03/28
63495	Credit Suisse AG – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
66523	UBS AG – HSI R Bear CBBC Jul 2013 Y	2013/03/26	2013/03/27
63543	Credit Suisse AG – HSI R Bull CBBC Mar 2013 O	2013/03/26	2013/03/28
66522	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Q	2013/03/26	2013/03/27
63493	Credit Suisse AG – HSI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
66520	Credit Suisse AG – HSI R Bull CBBC Sep 2013 I	2013/03/26	2013/03/27
65012	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
65013	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
66547	UBS AG – HSI R Bull CBBC Oct 2013 X	2013/03/26	2013/03/27
66550	UBS AG – HSI R Bear CBBC Jul 2013 U	2013/03/26	2013/03/27
68483	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
64013	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar13 E	2013/03/26	2013/03/28
63757	HK Bank – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
66556	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 U	2013/03/26	2013/03/27
66557	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 V	2013/03/26	2013/03/27
63386	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
67145	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
67093	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
68331	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
63497	Credit Suisse AG – HSI R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
65014	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
66502	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 B	2013/03/26	2013/03/27
68075	HK Bank – HSI R Bull CBBC Mar 2013 L	2013/03/26	2013/03/28
62629	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
62761	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
66391	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 M	2013/03/26	2013/03/27
62702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 E	2013/03/26	2013/03/28
62701	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	2013/03/26	2013/03/28
62700	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	2013/03/26	2013/03/28
62699	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	2013/03/26	2013/03/28
62698	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	2013/03/26	2013/03/28
62651	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	2013/03/26	2013/03/28
62650	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	2013/03/26	2013/03/28
62649	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
62648	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
62930	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
62647	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Y	2013/03/26	2013/03/28
62762	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
62628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 X	2013/03/26	2013/03/28
62337	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
62336	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
67187	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 F	2013/03/26	2013/03/28
62335	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Z	2013/03/26	2013/03/28
62334	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Q	2013/03/26	2013/03/28
66612	Credit Suisse AG – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
67176	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 E	2013/03/26	2013/03/28
62332	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 I	2013/03/26	2013/03/28
62254	Daiwa Capital Mkt – HSCEI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
66134	HK Bank – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
66271	Credit Suisse AG – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
64936	HK Bank – HSI R Bull CBBC Mar 2013 J	2013/03/26	2013/03/28
67062	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
66388	Credit Suisse AG – HSI R Bull CBBC Oct 2013 E	2013/03/26	2013/03/27
66389	Credit Suisse AG – HSI R Bull CBBC Oct 2013 H	2013/03/26	2013/03/27
65189	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 G	2013/03/26	2013/03/28
66392	UBS AG – HSI R Bull CBBC Dec 2013 C	2013/03/26	2013/03/27

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66512	UBS AG – HSI R Bear CBBC Jul 2013 X	2013/03/26	2013/03/27
65517	Credit Suisse AG – HSI R Bear CBBC Mar 2013 S	2013/03/26	2013/03/28
62872	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	2013/03/26	2013/03/28
64084	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
64089	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
64920	HK Bank – HSI R Bull CBBC Mar 2013 I	2013/03/26	2013/03/28
62836	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 E	2013/03/26	2013/03/28
66635	Credit Suisse AG – HSI R Bull CBBC Mar 2013 I	2013/03/26	2013/03/28
62809	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 13B	2013/03/26	2013/03/28
62831	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
65747	UBS AG – HSCEI R Bull CBBC Mar 2013 A	2013/03/26	2013/03/28
62832	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 B	2013/03/26	2013/03/28
65681	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 R	2013/03/26	2013/03/28
62833	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 C	2013/03/26	2013/03/28
62808	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Mar 13A	2013/03/26	2013/03/28
66645	Credit Suisse AG – HSI R Bull CBBC Mar 2013 J	2013/03/26	2013/03/28
63038	Credit Suisse AG – HSI R Bull CBBC Mar 2013 M	2013/03/26	2013/03/28
66646	Credit Suisse AG – HSI R Bull CBBC Mar 2013 K	2013/03/26	2013/03/28
62835	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 D	2013/03/26	2013/03/28
66182	Credit Suisse AG – HSI R Bear CBBC Jul 2013 T	2013/03/27	2013/03/28
66603	UBS AG – China Life R Bull CBBC Jul 2013 D	2013/03/27	2013/03/28
66181	Credit Suisse AG – HSI R Bear CBBC Jul 2013 R	2013/03/27	2013/03/28
66177	UBS AG – HSI R Bear CBBC Aug 2013 Y	2013/03/27	2013/03/28
66439	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 X	2013/03/27	2013/03/28
66485	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 K	2013/03/27	2013/03/28
66501	Credit Suisse AG – HSI R Bear CBBC Aug 2013 P	2013/03/27	2013/03/28
66396	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 H	2013/03/27	2013/03/28
66503	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 C	2013/03/27	2013/03/28
66474	UBS AG – HSI R Bear CBBC Jul 2013 V	2013/03/27	2013/03/28
66468	Credit Suisse AG – HSI R Bear CBBC Aug 2013 K	2013/03/27	2013/03/28
66508	UBS AG – HSI R Bear CBBC Jun 2013 S	2013/03/27	2013/03/28
66524	UBS AG – HSCEI R Bear CBBC Aug 2013 A	2013/03/27	2013/03/28
66484	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 W	2013/03/27	2013/03/28
66553	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 T	2013/03/27	2013/03/28
66563	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 X	2013/03/27	2013/03/28
66575	Credit Suisse AG – HSI R Bear CBBC Aug 2013 R	2013/03/27	2013/03/28
66576	Credit Suisse AG – HSI R Bear CBBC Aug 2013 S	2013/03/27	2013/03/28
66577	UBS AG – HSI R Bear CBBC Aug 2013 A	2013/03/27	2013/03/28
66578	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/03/27	2013/03/28
66582	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 G	2013/03/27	2013/03/28
66429	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 A	2013/03/27	2013/03/28
66440	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Y	2013/03/27	2013/03/28
66672	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/03/28	2013/04/02
66674	UBS AG – HSI R Bull CBBC Nov 2013 S	2013/03/28	2013/04/02
66670	Credit Suisse AG – HSI R Bull CBBC Sep 2013 H	2013/03/28	2013/04/02
65566	HK Bank – A50 R Bull CBBC Oct 2013 B	2013/03/28	2013/04/02
66697	UBS AG – HSI R Bear CBBC Aug 2013 L	2013/03/28	2013/04/02
66658	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2013 D	2013/03/28	2013/04/02
60970	Credit Suisse AG – CSOP A50 ETF R Bull CBBC May 2013 C	2013/03/28	2013/04/02
66621	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 U	2013/03/28	2013/04/02
66588	Credit Suisse AG – HSI R Bull CBBC Nov 2013 N	2013/03/28	2013/04/02
66585	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Z	2013/03/28	2013/04/02
66580	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 Q	2013/03/28	2013/04/02
66625	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 V	2013/03/28	2013/04/02
65360	HK Bank – CNBM R Bull CBBC Aug 2013 B	2013/03/28	2013/04/02
66643	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 B	2013/03/28	2013/04/02
66620	UBS AG – HSI R Bull CBBC Oct 2013 Y	2013/03/28	2013/04/02
66594	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 S	2013/03/28	2013/04/02
66703	UBS AG – HSI R Bear CBBC Jul 2013 W	2013/04/02	2013/04/03
66763	Credit Suisse AG – HSI R Bear CBBC Sep 2013 F	2013/04/02	2013/04/03
65641	Credit Suisse AG – A50 China R Bull CBBC May 2013 C	2013/04/02	2013/04/03
67027	HK Bank – ABC R Bull CBBC Nov 2013 C	2013/04/02	2013/04/03
66706	HK Bank – A50 R Bull CBBC Sep 2013 F	2013/04/02	2013/04/03
66731	UBS AG – HSI R Bull CBBC Feb 2014 C	2013/04/02	2013/04/03
65656	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 B	2013/04/02	2013/04/03
66764	UBS AG – HSI R Bear CBBC Sep 2013 Q	2013/04/02	2013/04/03
66765	UBS AG – HSI R Bear CBBC Sep 2013 R	2013/04/02	2013/04/03
63998	HK Bank – China Life R Bull CBBC Jul 2013 A	2013/04/02	2013/04/03
66671	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/04/02	2013/04/03
66449	HK Bank – HKEx R Bull CBBC Nov 2013 A	2013/04/02	2013/04/03
64087	HK Bank – A50 R Bull CBBC Jun 2013 E	2013/04/03	2013/04/05
66788	UBS AG – HSI R Bear CBBC Sep 2013 S	2013/04/03	2013/04/05
66787	UBS AG – HSI R Bear CBBC Aug 2013 P	2013/04/03	2013/04/05
66785	Credit Suisse AG – HSI R Bear CBBC Sep 2013 H	2013/04/03	2013/04/05
66786	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 D	2013/04/03	2013/04/05
66784	Credit Suisse AG – HSI R Bear CBBC Sep 2013 G	2013/04/03	2013/04/05
60926	UBS AG – CSOP A50 ETF R Bull CBBC Jul 2013 B	2013/04/03	2013/04/05
66629	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 A	2013/04/03	2013/04/05
66657	HK Bank – HSI R Bear CBBC Aug 2013 I	2013/04/03	2013/04/05
66793	Credit Suisse AG – HSI R Bear CBBC Sep 2013 I	2013/04/03	2013/04/05
65188	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 A	2013/04/05	2013/04/08
66591	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 R	2013/04/05	2013/04/08
64888	HK Bank – A50 R Bull CBBC Apr 2013 F	2013/04/05	2013/04/09
65204	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 A	2013/04/05	2013/04/08
65217	Credit Suisse AG – HSI R Bull CBBC May 2013 S	2013/04/05	2013/04/08
65220	Credit Suisse AG – HSI R Bull CBBC May 2013 T	2013/04/05	2013/04/08
65225	UBS AG – HSI R Bull CBBC Aug 2013 J	2013/04/05	2013/04/08

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66595	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 T	2013/04/05	2013/04/08
65247	Credit Suisse AG – HSI R Bull CBBC Jun 2013 V	2013/04/05	2013/04/08
65250	UBS AG – HSI R Bull CBBC Jun 2013 H	2013/04/05	2013/04/08
65181	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 M	2013/04/05	2013/04/08
65292	UBS AG – HSI R Bull CBBC Aug 2013 L	2013/04/05	2013/04/08
66903	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 H	2013/04/05	2013/04/08
65324	HK Bank – HSI R Bull CBBC Jun 2013 H	2013/04/05	2013/04/08
65317	UBS AG – HSI R Bull CBBC May 2013 U	2013/04/05	2013/04/08
65306	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 Q	2013/04/05	2013/04/08
64652	HK Bank – China Shenhua R Bull CBBC Mar 2014 A	2013/04/05	2013/04/08
66600	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 U	2013/04/05	2013/04/08
63951	HK Bank – Evergrande R Bull CBBC Sep 2013 A	2013/04/05	2013/04/08
65274	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 P	2013/04/05	2013/04/08
65291	UBS AG – HSI R Bull CBBC Jul 2013 U	2013/04/05	2013/04/08
66212	UBS AG – ABC R Bull CBBC Sep 2013 A	2013/04/05	2013/04/08
65289	UBS AG – HSCEI R Bull CBBC Jun 2013 D	2013/04/05	2013/04/08
65287	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 F	2013/04/05	2013/04/08
65276	Credit Suisse AG – HSI R Bull CBBC Jul 2013 D	2013/04/05	2013/04/08
65342	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 X	2013/04/05	2013/04/08
65417	HK Bank – TCH R Bull CBBC Sep 2013 A	2013/04/05	2013/04/08
65176	HK Bank – HSI R Bull CBBC Sep 2013 G	2013/04/05	2013/04/08
66546	UBS AG – HSI R Bull CBBC Sep 2013 R	2013/04/05	2013/04/08
66566	Bank of East Asia – Yanzhou Coal R Bull CBBC Dec 2013 A	2013/04/05	2013/04/08
66579	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 N	2013/04/05	2013/04/08
60649	UBS AG – CPIC R Bull CBBC Dec 2013 A	2013/04/05	2013/04/08
63405	UBS AG – A50 China R Bull CBBC Apr 2013 B	2013/04/05	2013/04/09
64001	HK Bank – PetCh R Bull CBBC Jul 2013 A	2013/04/05	2013/04/08
66521	Credit Suisse AG – HSI R Bull CBBC Sep 2013 J	2013/04/05	2013/04/08
65426	BOCI Asia Ltd. – HSI R Bull CBBC May 2013 A	2013/04/05	2013/04/08
66313	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 I	2013/04/05	2013/04/08
61093	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 M	2013/04/05	2013/04/08
65678	HK Bank – AIA R Bull CBBC Oct 2013 C	2013/04/05	2013/04/08
65180	Credit Suisse AG – HSI R Bull CBBC Aug 2013 P	2013/04/05	2013/04/08
66587	Credit Suisse AG – HSI R Bull CBBC Nov 2013 M	2013/04/05	2013/04/08
66617	UBS AG – HSI R Bull CBBC Dec 2013 H	2013/04/05	2013/04/08
66493	HK Bank – CNBM R Bull CBBC Jul 2013 B	2013/04/05	2013/04/08
65486	UBS AG – HSI R Bull CBBC Sep 2013 H	2013/04/05	2013/04/08
66059	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 Q	2013/04/05	2013/04/08
66387	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 T	2013/04/05	2013/04/08
64189	UBS AG – Evergrande R Bull CBBC Jul 2013 B	2013/04/05	2013/04/08
66398	UBS AG – HSI R Bull CBBC Nov 2013 Q	2013/04/05	2013/04/08
66473	UBS AG – HSI R Bull CBBC Dec 2013 E	2013/04/05	2013/04/08
65336	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 K	2013/04/05	2013/04/08
66243	J P Morgan SP BV – HSI R Bull CBBC Aug 2013 E	2013/04/05	2013/04/08
65298	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Q	2013/04/05	2013/04/08
65152	Credit Suisse AG – HSI R Bull CBBC Sep 2013 A	2013/04/05	2013/04/08
61092	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 L	2013/04/05	2013/04/08
67399	UBS AG – China Life R Bull CBBC May 2013 A	2013/04/05	2013/04/08
66627	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 A	2013/04/05	2013/04/08
65838	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 L	2013/04/05	2013/04/08
66668	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 Q	2013/04/05	2013/04/08
66845	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Q	2013/04/05	2013/04/08
66842	Credit Suisse AG – HSI R Bull CBBC Nov 2013 P	2013/04/05	2013/04/08
66704	UBS AG – HSI R Bull CBBC Feb 2014 B	2013/04/05	2013/04/08
66841	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 E	2013/04/05	2013/04/08
64204	HK Bank – China Tel R Bull CBBC Jul 2013 A	2013/04/05	2013/04/08
67558	HK Bank – A50 R Bull CBBC Apr 2013 B	2013/04/05	2013/04/09
65415	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 N	2013/04/05	2013/04/08
66899	UBS AG – HSCEI R Bull CBBC Oct 2013 D	2013/04/05	2013/04/08
66705	HK Bank – HSI R Bull CBBC Aug 2013 K	2013/04/05	2013/04/08
65549	UBS AG – A50 China R Bull CBBC Jun 2013 A	2013/04/05	2013/04/08
66622	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 Y	2013/04/05	2013/04/08
66747	UBS AG – China Life R Bull CBBC Oct 2013 A	2013/04/05	2013/04/08
61258	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2013 C	2013/04/05	2013/04/08
66092	HK Bank – Yanzhou Coal R Bull CBBC Oct 2013 A	2013/04/05	2013/04/08
66807	UBS AG – HSI R Bull CBBC Dec 2013 J	2013/04/05	2013/04/08
66096	HK Bank – GCL-Poly Energy R Bull CBBC Dec 2014 A	2013/04/05	2013/04/08
66798	UBS AG – HSI R Bull CBBC Dec 2013 I	2013/04/05	2013/04/08
66446	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 O	2013/04/05	2013/04/08
65668	UBS AG – Petrochina R Bull CBBC Jun 2013 A	2013/04/05	2013/04/08
66655	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 C	2013/04/05	2013/04/08
66155	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 L	2013/04/05	2013/04/08
66447	Credit Suisse AG – HSI R Bull CBBC Oct 2013 J	2013/04/05	2013/04/08
65297	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 P	2013/04/05	2013/04/08
68029	UBS AG – CUni R Bear CBBC Apr 2013 A	2013/04/05	2013/04/09
66727	Credit Suisse AG – HSI R Bull CBBC Nov 2013 O	2013/04/05	2013/04/08
66896	UBS AG – HSI R Bull CBBC Nov 2013 T	2013/04/05	2013/04/08
68296	HK Bank – Kunlun Energy R Bull CBBC Apr 2013 A	2013/04/05	2013/04/09
66036	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 O	2013/04/05	2013/04/08
68147	UBS AG – TCH R Bear CBBC Apr 2013 A	2013/04/05	2013/04/09
65408	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 K	2013/04/05	2013/04/08
66894	Credit Suisse AG – HSI R Bull CBBC Nov 2013 R	2013/04/05	2013/04/08
66226	UBS AG – HKEx R Bull CBBC Jun 2013 B	2013/04/05	2013/04/08
61200	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 C	2013/04/05	2013/04/08
65386	HK Bank – A50 R Bull CBBC Sep 2013 B	2013/04/05	2013/04/08
66861	UBS AG – HSI R Bear CBBC Sep 2013 T	2013/04/05	2013/04/08
65376	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 D	2013/04/05	2013/04/08

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66430	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 W	2013/04/05	2013/04/08
66846	UBS AG – HSI R Bull CBBC Mar 2014 B	2013/04/05	2013/04/08
65837	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 K	2013/04/05	2013/04/08
60965	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2013 B	2013/04/05	2013/04/08
65682	HK Bank – A50 R Bull CBBC Sep 2013 C	2013/04/05	2013/04/08
66745	UBS AG – HSI R Bull CBBC Mar 2014 A	2013/04/05	2013/04/08
67921	HK Bank – COVS R Bull CBBC Apr 2013 A	2013/04/05	2013/04/09
65416	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 O	2013/04/05	2013/04/08
65358	HK Bank – HSCEI R Bull CBBC Oct 2013 A	2013/04/05	2013/04/08
66850	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 J	2013/04/05	2013/04/08
66857	Credit Suisse AG – HSI R Bear CBBC Sep 2013 J	2013/04/05	2013/04/08
66513	Bank of East Asia – HKEx R Bull CBBC Sep 2013 A	2013/04/08	2013/04/09
65046	Credit Suisse AG – HSI R Bull CBBC Aug 2013 N	2013/04/08	2013/04/09
64531	UBS AG – CNBM R Bull CBBC Jun 2013 B	2013/04/08	2013/04/09
66044	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2013 P	2013/04/08	2013/04/09
66136	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 S	2013/04/08	2013/04/09
64976	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 H	2013/04/08	2013/04/09
66544	UBS AG – HSI R Bull CBBC Dec 2013 F	2013/04/08	2013/04/09
66238	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 Q	2013/04/08	2013/04/09
61199	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Q	2013/04/08	2013/04/09
66906	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 F	2013/04/08	2013/04/09
66936	Credit Suisse AG – HSI R Bull CBBC Nov 2013 S	2013/04/08	2013/04/09
65316	UBS AG – HSI R Bull CBBC May 2013 Q	2013/04/08	2013/04/09
66615	UBS AG – HSI R Bull CBBC Nov 2013 R	2013/04/08	2013/04/09
65267	Credit Suisse AG – HSI R Bull CBBC Jul 2013 C	2013/04/08	2013/04/09
65258	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 O	2013/04/08	2013/04/09
66951	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 R	2013/04/08	2013/04/09
65252	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 C	2013/04/08	2013/04/09
66990	UBS AG – HSI R Bull CBBC Mar 2014 C	2013/04/08	2013/04/09
66986	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 S	2013/04/08	2013/04/09
65002	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 F	2013/04/08	2013/04/09
66988	UBS AG – HSI R Bull CBBC Oct 2013 Z	2013/04/08	2013/04/09
66991	UBS AG – HSI R Bull CBBC Feb 2014 D	2013/04/08	2013/04/09
66993	Credit Suisse AG – HSI R Bull CBBC Nov 2013 T	2013/04/08	2013/04/09
66994	Credit Suisse AG – HSI R Bull CBBC Nov 2013 U	2013/04/08	2013/04/09
66995	Credit Suisse AG – HSI R Bull CBBC Nov 2013 V	2013/04/08	2013/04/09
67001	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 I	2013/04/08	2013/04/09
65100	UBS AG – HSI R Bull CBBC May 2013 E	2013/04/08	2013/04/09
67020	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 J	2013/04/08	2013/04/09
66985	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 S	2013/04/08	2013/04/09
60794	HK Bank – CUni R Bull CBBC Oct 2013 B	2013/04/09	2013/04/10
67056	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 D	2013/04/09	2013/04/10
67063	UBS AG – HSI R Bull CBBC Nov 2013 U	2013/04/09	2013/04/10
67052	Credit Suisse AG – HSI R Bull CBBC Nov 2013 W	2013/04/09	2013/04/10
67054	Credit Suisse AG – HSI R Bull CBBC Nov 2013 X	2013/04/09	2013/04/10
67044	HK Bank – HSI R Bull CBBC Oct 2013 H	2013/04/09	2013/04/10
67058	UBS AG – HSI R Bull CBBC Feb 2014 E	2013/04/09	2013/04/10
67132	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 M	2013/04/10	2013/04/11
67097	Credit Suisse AG – HSI R Bull CBBC Oct 2013 N	2013/04/10	2013/04/11
67119	UBS AG – HSI R Bull CBBC Feb 2014 F	2013/04/10	2013/04/11
67117	UBS AG – HSI R Bull CBBC Nov 2013 V	2013/04/10	2013/04/11
67087	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 N	2013/04/10	2013/04/11
67123	UBS AG – HSI R Bull CBBC Dec 2013 K	2013/04/10	2013/04/11
67556	HK Bank – Lenovo R Bull CBBC Sep 2013 A	2013/04/11	2013/04/12
67158	UBS AG – HSI R Bull CBBC Dec 2013 L	2013/04/11	2013/04/12
67070	Credit Suisse AG – HSI R Bear CBBC Sep 2013 N	2013/04/11	2013/04/12
67077	Credit Suisse AG – HSI R Bear CBBC Sep 2013 O	2013/04/11	2013/04/12
67084	UBS AG – HSI R Bear CBBC Jul 2013 S	2013/04/11	2013/04/12
65561	HK Bank – Galaxy Ent R Bull CBBC Apr 2013 A	2013/04/11	2013/04/15
67153	UBS AG – HSI R Bull CBBC Oct 2013 A	2013/04/11	2013/04/12
67152	Credit Suisse AG – HSI R Bull CBBC Oct 2013 O	2013/04/11	2013/04/12
67150	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 O	2013/04/11	2013/04/12
67422	Credit Suisse AG – HSI R Bear CBBC Aug 2013 V	2013/04/12	2013/04/15
67314	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 H	2013/04/12	2013/04/15
67315	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 I	2013/04/12	2013/04/15
67209	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Z	2013/04/12	2013/04/15
64265	HK Bank – CUni R Bull CBBC Apr 2013 A	2013/04/12	2013/04/16
67185	Credit Suisse AG – HSI R Bull CBBC Sep 2013 X	2013/04/12	2013/04/15
67452	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 L	2013/04/12	2013/04/15
67451	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 K	2013/04/12	2013/04/15
63861	UBS AG – AIA R Bull CBBC Apr 2013 A	2013/04/12	2013/04/16
67186	Credit Suisse AG – HSI R Bull CBBC Sep 2013 E	2013/04/12	2013/04/15
67469	UBS AG – HSI R Bear CBBC Jul 2013 Y	2013/04/12	2013/04/15
67432	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/04/12	2013/04/15
67312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 G	2013/04/12	2013/04/15
67412	Credit Suisse AG – HSI R Bear CBBC Aug 2013 U	2013/04/12	2013/04/15
67389	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 O	2013/04/12	2013/04/15
67381	Credit Suisse AG – HSI R Bear CBBC Jul 2013 T	2013/04/12	2013/04/15
67378	Credit Suisse AG – HSI R Bear CBBC Aug 2013 T	2013/04/12	2013/04/15
67196	Credit Suisse AG – HSI R Bull CBBC Oct 2013 P	2013/04/12	2013/04/15
67254	UBS AG – HSI R Bull CBBC Feb 2014 G	2013/04/12	2013/04/15
67433	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/04/12	2013/04/15
67240	UBS AG – HSI R Bull CBBC Nov 2013 W	2013/04/12	2013/04/15
67271	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 M	2013/04/12	2013/04/15
67225	UBS AG – HSI R Bull CBBC Mar 2014 D	2013/04/12	2013/04/15
67168	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 H	2013/04/12	2013/04/15
67421	Bank of East Asia – Lenovo R Bull CBBC Dec 2013 A	2013/04/12	2013/04/15

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67470	UBS AG – HSI R Bear CBBC Jul 2013 V	2013/04/12	2013/04/15
62966	UBS AG – ABC R Bull CBBC Apr 2013 A	2013/04/12	2013/04/16
67473	UBS AG – HSI R Bear CBBC Jul 2013 W	2013/04/12	2013/04/15
62592	HK Bank – A50 R Bull CBBC Apr 2013 A	2013/04/12	2013/04/16
66540	HK Bank – SHK Ppt R Bull CBBC Apr 2013 A	2013/04/12	2013/04/16
67304	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 L	2013/04/12	2013/04/15
67309	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 M	2013/04/12	2013/04/15
67265	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 L	2013/04/12	2013/04/15
67534	UBS AG – HSI R Bear CBBC Aug 2013 S	2013/04/12	2013/04/15
67528	UBS AG – China Life R Bear CBBC Dec 2013 A	2013/04/12	2013/04/15
67264	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 F	2013/04/12	2013/04/15
67263	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 E	2013/04/12	2013/04/15
67338	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 M	2013/04/12	2013/04/15
66792	HK Bank – ICBC R Bull CBBC Apr 2013 B	2013/04/15	2013/04/17
67199	Credit Suisse AG – HSI R Bull CBBC Oct 2013 W	2013/04/15	2013/04/16
67363	HK Bank – CNOOC R Bull CBBC Oct 2013 A	2013/04/15	2013/04/16
67208	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Y	2013/04/15	2013/04/16
67210	UBS AG – HSI R Bull CBBC Oct 2013 D	2013/04/15	2013/04/16
67299	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 J	2013/04/15	2013/04/16
67218	UBS AG – HSI R Bull CBBC Dec 2013 M	2013/04/15	2013/04/16
66138	UBS AG – Lenovo R Bull CBBC Aug 2013 B	2013/04/15	2013/04/16
67638	UBS AG – HSI R Bull CBBC Dec 2013 N	2013/04/15	2013/04/16
67600	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 Z	2013/04/15	2013/04/16
67135	HK Bank – Yanzhou Coal R Bull CBBC Nov 2013 A	2013/04/15	2013/04/16
67596	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 Q	2013/04/15	2013/04/16
67641	UBS AG – HSI R Bear CBBC Aug 2013 A	2013/04/15	2013/04/16
67646	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 I	2013/04/15	2013/04/16
67161	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 M	2013/04/15	2013/04/16
67549	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Y	2013/04/15	2013/04/16
67181	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 G	2013/04/15	2013/04/16
67174	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 T	2013/04/15	2013/04/16
67358	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 U	2013/04/15	2013/04/16
67617	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 O	2013/04/15	2013/04/16
67359	Credit Suisse AG – HSI R Bull CBBC Nov 2013 B	2013/04/15	2013/04/16
67184	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 I	2013/04/15	2013/04/16
67547	Credit Suisse AG – HSI R Bear CBBC Aug 2013 W	2013/04/15	2013/04/16
64930	UBS AG – CNOOC R Bull CBBC Apr 2013 A	2013/04/15	2013/04/16
67538	UBS AG – HSI R Bear CBBC Aug 2013 T	2013/04/15	2013/04/16
65200	HK Bank – Lenovo R Bull CBBC Oct 2013 A	2013/04/15	2013/04/16
67179	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 F	2013/04/15	2013/04/16
66497	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 B	2013/04/16	2013/04/17
67003	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 G	2013/04/16	2013/04/17
67037	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 K	2013/04/16	2013/04/17
66543	UBS AG – HSI R Bull CBBC Oct 2013 W	2013/04/16	2013/04/17
66119	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 Q	2013/04/16	2013/04/17
66132	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 R	2013/04/16	2013/04/17
66829	HK Bank – CC Bank R Bull CBBC Aug 2013 D	2013/04/16	2013/04/17
66150	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 V	2013/04/16	2013/04/17
67723	Credit Suisse AG – HSI R Bear CBBC Aug 2013 N	2013/04/16	2013/04/17
64995	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 I	2013/04/16	2013/04/17
65004	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 G	2013/04/16	2013/04/17
67599	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 Y	2013/04/16	2013/04/17
65010	UBS AG – HSI R Bull CBBC Jul 2013 Q	2013/04/16	2013/04/17
67614	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 K	2013/04/16	2013/04/17
65034	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 J	2013/04/16	2013/04/17
65307	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 C	2013/04/16	2013/04/17
67946	UBS AG – Sinopec Corp R Bull CBBC Oct 2013 A	2013/04/16	2013/04/17
65047	Credit Suisse AG – HSI R Bull CBBC Aug 2013 O	2013/04/16	2013/04/17
61342	UBS AG – China Shenhua R Bull CBBC Jan 2014 A	2013/04/16	2013/04/17
65056	UBS AG – HSI R Bull CBBC Jun 2013 U	2013/04/16	2013/04/17
67658	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 G	2013/04/16	2013/04/17
65064	HK Bank – HSI R Bull CBBC Jul 2013 E	2013/04/16	2013/04/17
64992	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 H	2013/04/16	2013/04/17
65136	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 13L	2013/04/16	2013/04/17
67748	Credit Suisse AG – HSI R Bull CBBC Oct 2013 R	2013/04/16	2013/04/17
67754	Credit Suisse AG – HSI R Bull CBBC Oct 2013 U	2013/04/16	2013/04/17
65246	Credit Suisse AG – HSI R Bull CBBC Jun 2013 U	2013/04/16	2013/04/17
67747	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Z	2013/04/16	2013/04/17
67755	UBS AG – HSI R Bull CBBC Sep 2013 M	2013/04/16	2013/04/17
67659	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 H	2013/04/16	2013/04/17
67744	UBS AG – HSI R Bear CBBC Nov 2013 D	2013/04/16	2013/04/17
67674	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 N	2013/04/16	2013/04/17
67742	UBS AG – HSI R Bear CBBC Oct 2013 M	2013/04/16	2013/04/17
68058	HK Bank – BOC HK R Bull CBBC Apr 2013 A	2013/04/16	2013/04/18
65091	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 N	2013/04/16	2013/04/17
65090	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 M	2013/04/16	2013/04/17
67730	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/04/16	2013/04/17
61275	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 E	2013/04/16	2013/04/17
67757	UBS AG – HSI R Bull CBBC Sep 2013 S	2013/04/16	2013/04/17
67537	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 O	2013/04/16	2013/04/17
63473	HK Bank – China Shenhua R Bull CBBC Dec 2013 B	2013/04/16	2013/04/17
65623	HK Bank – HSCEI R Bull CBBC Dec 2013 A	2013/04/16	2013/04/17
67255	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 N	2013/04/16	2013/04/17
64966	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 G	2013/04/16	2013/04/17
67551	Credit Suisse AG – HSI R Bull CBBC Oct 2013 X	2013/04/16	2013/04/17
64951	Credit Suisse AG – HSI R Bull CBBC Jul 2013 U	2013/04/16	2013/04/17
64950	Credit Suisse AG – HSI R Bull CBBC Jul 2013 T	2013/04/16	2013/04/17

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64957	UBS AG – HSI R Bull CBBC May 2013 I	2013/04/16	2013/04/17
64958	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 A	2013/04/16	2013/04/17
64985	Credit Suisse AG – HSI R Bull CBBC Jul 2013 W	2013/04/16	2013/04/17
67787	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 S	2013/04/17	2013/04/18
67785	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 R	2013/04/17	2013/04/18
67784	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 Q	2013/04/17	2013/04/18
66233	UBS AG – HSBC R Bull CBBC Jun 2013 B	2013/04/17	2013/04/18
62393	HK Bank – BOCL R Bull CBBC Apr 2013 A	2013/04/17	2013/04/19
63081	HK Bank – A50 R Bull CBBC Apr 2013 E	2013/04/17	2013/04/19
65388	HK Bank – ICBC R Bull CBBC Aug 2013 C	2013/04/17	2013/04/18
67807	Credit Suisse AG – HSI R Bull CBBC Sep 2013 K	2013/04/17	2013/04/18
67810	Credit Suisse AG – HSI R Bull CBBC Oct 2013 V	2013/04/17	2013/04/18
67813	UBS AG – HSI R Bull CBBC Oct 2013 C	2013/04/17	2013/04/18
67816	UBS AG – HSI R Bull CBBC Sep 2013 T	2013/04/17	2013/04/18
66597	HK Bank – Hutchison R Bull CBBC Apr 2013 A	2013/04/17	2013/04/19
67817	UBS AG – HSI R Bull CBBC Sep 2013 J	2013/04/17	2013/04/18
66959	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 A	2013/04/17	2013/04/19
67791	Credit Suisse AG – HSI R Bull CBBC Sep 2013 F	2013/04/17	2013/04/18
65325	HK Bank – HSI R Bull CBBC Sep 2013 C	2013/04/18	2013/04/19
66147	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 U	2013/04/18	2013/04/19
67876	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 V	2013/04/18	2013/04/19
67877	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 W	2013/04/18	2013/04/19
66215	UBS AG – CC Bank R Bull CBBC Jul 2013 E	2013/04/18	2013/04/19
67882	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 X	2013/04/18	2013/04/19
67875	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 U	2013/04/18	2013/04/19
67858	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 G	2013/04/18	2013/04/19
67870	UBS AG – HSI R Bull CBBC Oct 2013 I	2013/04/18	2013/04/19
67860	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 X	2013/04/18	2013/04/19
65454	HK Bank – ABC R Bull CBBC Oct 2013 A	2013/04/18	2013/04/19
67874	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 H	2013/04/18	2013/04/19
67873	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 F	2013/04/18	2013/04/19
67871	UBS AG – HSI R Bull CBBC Oct 2013 E	2013/04/18	2013/04/19
67869	UBS AG – HSI R Bull CBBC Oct 2013 H	2013/04/18	2013/04/19
67868	Credit Suisse AG – HSI R Bull CBBC Oct 2013 S	2013/04/18	2013/04/19
67867	Credit Suisse AG – HSI R Bull CBBC Nov 2013 C	2013/04/18	2013/04/19
67864	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 P	2013/04/18	2013/04/19
67866	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Y	2013/04/18	2013/04/19
65005	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 H	2013/04/18	2013/04/19
67834	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 L	2013/04/18	2013/04/19
67865	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 R	2013/04/18	2013/04/19
67863	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/04/18	2013/04/19
65055	UBS AG – HSI R Bull CBBC Aug 2013 H	2013/04/18	2013/04/19
65040	UBS AG – HSCEI R Bull CBBC Jul 2013 B	2013/04/18	2013/04/19
65257	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 O	2013/04/18	2013/04/19
64973	Credit Suisse AG – HSI R Bull CBBC Aug 2013 L	2013/04/18	2013/04/19
65228	UBS AG – Ping An R Bull CBBC Apr 2013 A	2013/04/19	2013/04/23
67108	UBS AG – SHK Ppt R Bear CBBC Nov 2013 A	2013/04/19	2013/04/22
67821	UBS AG – HSI R Bear CBBC Dec 2013 E	2013/04/19	2013/04/22
67883	HK Bank – HSI R Bull CBBC Nov 2013 I	2013/04/19	2013/04/22
67884	HK Bank – Hutchison R Bull CBBC Nov 2013 C	2013/04/19	2013/04/22
67887	HK Bank – China Mobile R Bull CBBC Nov 2013 C	2013/04/19	2013/04/22
67915	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 U	2013/04/19	2013/04/22
67924	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 V	2013/04/19	2013/04/22
67928	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 R	2013/04/19	2013/04/22
67942	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 T	2013/04/19	2013/04/22
67745	UBS AG – HSI R Bear CBBC Dec 2013 D	2013/04/19	2013/04/22
67975	UBS AG – HSI R Bull CBBC Mar 2014 I	2013/04/19	2013/04/22
61009	HK Bank – COVS R Bear CBBC Dec 2013 B	2013/04/19	2013/04/22
67779	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Q	2013/04/19	2013/04/22
67976	Credit Suisse AG – HSI R Bull CBBC Sep 2013 C	2013/04/19	2013/04/22
67971	UBS AG – HSI R Bull CBBC Dec 2013 O	2013/04/19	2013/04/22
64520	UBS AG – COVS R Bear CBBC Jul 2013 A	2013/04/19	2013/04/22
67968	UBS AG – HSI R Bull CBBC Mar 2014 H	2013/04/19	2013/04/22
67943	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Q	2013/04/19	2013/04/22
67732	Credit Suisse AG – HSI R Bear CBBC Aug 2013 M	2013/04/19	2013/04/22
65794	UBS AG – China Mobile R Bear CBBC Apr 2013 A	2013/04/19	2013/04/23
63903	HK Bank – SHK Ppt R Bull CBBC Apr 2013 B	2013/04/19	2013/04/23
68020	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 S	2013/04/22	2013/04/23
67548	Credit Suisse AG – HSI R Bear CBBC Aug 2013 X	2013/04/22	2013/04/23
68039	UBS AG – HSI R Bear CBBC Aug 2013 Y	2013/04/22	2013/04/23
68038	UBS AG – HSI R Bear CBBC Nov 2013 F	2013/04/22	2013/04/23
68032	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 S	2013/04/22	2013/04/23
68031	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 R	2013/04/22	2013/04/23
68040	UBS AG – HSI R Bear CBBC Jul 2013 V	2013/04/22	2013/04/23
67977	Credit Suisse AG – HSI R Bear CBBC Sep 2013 S	2013/04/22	2013/04/23
67872	UBS AG – HSI R Bear CBBC Dec 2013 F	2013/04/22	2013/04/23
67997	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 H	2013/04/22	2013/04/23
68024	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 P	2013/04/22	2013/04/23
68016	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 R	2013/04/22	2013/04/23
68012	UBS AG – HSI R Bull CBBC Feb 2014 I	2013/04/22	2013/04/23
68042	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/04/22	2013/04/23
67995	Credit Suisse AG – HSI R Bull CBBC Sep 2013 D	2013/04/22	2013/04/23
68030	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 Q	2013/04/22	2013/04/23
68195	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 M	2013/04/22	2013/04/23
67733	Credit Suisse AG – HSI R Bear CBBC Aug 2013 O	2013/04/22	2013/04/23
67746	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/04/22	2013/04/23
68061	Credit Suisse AG – HSI R Bear CBBC Aug 2013 R	2013/04/22	2013/04/23

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68043	Credit Suisse AG – HSI R Bear CBBC Aug 2013 P	2013/04/22	2013/04/23
68196	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 N	2013/04/22	2013/04/23
67645	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 H	2013/04/22	2013/04/23
60760	HK Bank – COVS R Bear CBBC Dec 2013 A	2013/04/22	2013/04/23
67855	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Q	2013/04/22	2013/04/23
68107	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 M	2013/04/22	2013/04/23
67640	UBS AG – HSI R Bear CBBC Oct 2013 L	2013/04/22	2013/04/23
67985	Credit Suisse AG – HSI R Bull CBBC Nov 2013 D	2013/04/22	2013/04/23
68096	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 L	2013/04/22	2013/04/23
67980	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 P	2013/04/22	2013/04/23
68202	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 O	2013/04/22	2013/04/23
68354	Credit Suisse AG – HSI R Bear CBBC Jul 2013 T	2013/04/23	2013/04/24
67994	Credit Suisse AG – HSI R Bull CBBC Nov 2013 F	2013/04/23	2013/04/24
67984	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Y	2013/04/23	2013/04/24
67982	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 X	2013/04/23	2013/04/24
68352	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 C	2013/04/23	2013/04/24
68365	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/04/23	2013/04/24
68258	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Y	2013/04/23	2013/04/24
68370	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 U	2013/04/23	2013/04/24
68362	Credit Suisse AG – HSI R Bear CBBC Aug 2013 S	2013/04/23	2013/04/24
68336	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 P	2013/04/23	2013/04/24
68312	UBS AG – HSI R Bear CBBC Aug 2013 S	2013/04/23	2013/04/24
68280	UBS AG – HSI R Bear CBBC Aug 2013 P	2013/04/23	2013/04/24
67996	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 S	2013/04/23	2013/04/24
68270	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 U	2013/04/23	2013/04/24
68257	UBS AG – HSI R Bull CBBC Nov 2013 Y	2013/04/23	2013/04/24
68009	UBS AG – HSI R Bull CBBC Dec 2013 P	2013/04/23	2013/04/24
68011	UBS AG – HSI R Bull CBBC Oct 2013 F	2013/04/23	2013/04/24
68219	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 T	2013/04/23	2013/04/24
68214	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 E	2013/04/23	2013/04/24
68170	J P Morgan SP BV – HSI R Bull CBBC Sep 2013 A	2013/04/23	2013/04/24
68279	UBS AG – HSI R Bear CBBC Aug 2013 L	2013/04/23	2013/04/24
67992	Credit Suisse AG – HSI R Bull CBBC Nov 2013 E	2013/04/23	2013/04/24
67904	UBS AG – Sinopec Corp R Bull CBBC Nov 2013 A	2013/04/23	2013/04/24
68519	UBS AG – HSI R Bear CBBC Jul 2013 W	2013/04/24	2013/04/25
68071	Credit Suisse AG – HSI R Bear CBBC Sep 2013 T	2013/04/24	2013/04/25
68536	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 W	2013/04/24	2013/04/25
67856	Credit Suisse AG – HSI R Bear CBBC Aug 2013 K	2013/04/24	2013/04/25
68533	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 V	2013/04/24	2013/04/25
68531	Credit Suisse AG – HSI R Bear CBBC Aug 2013 U	2013/04/24	2013/04/25
68528	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 W	2013/04/24	2013/04/25
68527	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 V	2013/04/24	2013/04/25
68547	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 P	2013/04/24	2013/04/25
68520	UBS AG – HSI R Bear CBBC Aug 2013 A	2013/04/24	2013/04/25
68549	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 A	2013/04/24	2013/04/25
68518	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 B	2013/04/24	2013/04/25
68509	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 A	2013/04/24	2013/04/25
68457	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 Q	2013/04/24	2013/04/25
68456	Credit Suisse AG – HSI R Bear CBBC Oct 2013 A	2013/04/24	2013/04/25
67652	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 K	2013/04/24	2013/04/25
68454	Credit Suisse AG – HSI R Bear CBBC Aug 2013 T	2013/04/24	2013/04/25
68522	UBS AG – HSI R Bear CBBC Jul 2013 Y	2013/04/24	2013/04/25
67310	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 N	2013/04/24	2013/04/25
67450	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 J	2013/04/24	2013/04/25
67905	UBS AG – HSI R Bear CBBC Jul 2013 S	2013/04/24	2013/04/25
68537	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 N	2013/04/24	2013/04/25
66946	UBS AG – HSI R Bear CBBC Jul 2013 Z	2013/04/25	2013/04/26
66942	Credit Suisse AG – HSI R Bear CBBC Sep 2013 L	2013/04/25	2013/04/26
68369	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 T	2013/04/25	2013/04/26
66933	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 K	2013/04/25	2013/04/26
67260	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 D	2013/04/25	2013/04/26
67275	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 N	2013/04/25	2013/04/26
68655	J P Morgan SP BV – HSI R Bear CBBC Sep 2013 D	2013/04/25	2013/04/26
68654	J P Morgan SP BV – HSI R Bear CBBC Sep 2013 C	2013/04/25	2013/04/26
68653	J P Morgan SP BV – HSI R Bear CBBC Sep 2013 B	2013/04/25	2013/04/26
68646	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 X	2013/04/25	2013/04/26
68622	UBS AG – HSCEI R Bear CBBC Aug 2013 C	2013/04/25	2013/04/26
68642	UBS AG – HSI R Bear CBBC Aug 2013 T	2013/04/25	2013/04/26
68641	UBS AG – HSI R Bear CBBC Sep 2013 X	2013/04/25	2013/04/26
68638	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 C	2013/04/25	2013/04/26
68634	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/04/25	2013/04/26
68631	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Y	2013/04/25	2013/04/26
68627	Credit Suisse AG – HSI R Bear CBBC Sep 2013 U	2013/04/25	2013/04/26
66949	UBS AG – HSI R Bear CBBC Aug 2013 Q	2013/04/25	2013/04/26
68626	Credit Suisse AG – HSI R Bear CBBC Aug 2013 V	2013/04/25	2013/04/26
67782	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 P	2013/04/25	2013/04/26
68601	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 R	2013/04/25	2013/04/26
68650	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 Q	2013/04/25	2013/04/26
67317	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 K	2013/04/25	2013/04/26
66966	HK Bank – Sinopec Corp R Bull CBBC Apr 2013 B	2013/04/25	2013/04/29
67464	UBS AG – HSI R Bear CBBC Jul 2013 X	2013/04/25	2013/04/26
67276	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 O	2013/04/25	2013/04/26
67461	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 M	2013/04/25	2013/04/26
67449	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 I	2013/04/25	2013/04/26
67367	Credit Suisse AG – HSI R Bear CBBC Jul 2013 R	2013/04/25	2013/04/26
67336	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 L	2013/04/25	2013/04/26
67156	UBS AG – HSI R Bear CBBC Sep 2013 V	2013/04/25	2013/04/26

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67318	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 L	2013/04/25	2013/04/26
67550	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Z	2013/04/25	2013/04/26
67316	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 J	2013/04/25	2013/04/26
67902	Credit Suisse AG – HSI R Bear CBBC Jul 2013 A	2013/04/25	2013/04/26
67944	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 I	2013/04/25	2013/04/26
67861	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 O	2013/04/25	2013/04/26
67945	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 J	2013/04/25	2013/04/26
66937	Credit Suisse AG – HSI R Bear CBBC Sep 2013 K	2013/04/25	2013/04/26
67947	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 K	2013/04/25	2013/04/26
67324	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 M	2013/04/25	2013/04/26
68209	HK Bank – HSCEI R Bear CBBC Oct 2013 C	2013/04/25	2013/04/26
67661	HK Bank – HSI R Bear CBBC Sep 2013 K	2013/04/25	2013/04/26
67064	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 F	2013/04/25	2013/04/26
66795	HK Bank – BOCL R Bull CBBC Apr 2013 B	2013/04/25	2013/04/29
66828	HK Bank – SHK Ppt R Bear CBBC Sep 2013 C	2013/04/25	2013/04/26
67079	Credit Suisse AG – HSI R Bear CBBC Sep 2013 P	2013/04/25	2013/04/26
67650	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 J	2013/04/25	2013/04/26
67086	UBS AG – HSI R Bear CBBC Aug 2013 O	2013/04/25	2013/04/26
67468	UBS AG – HSI R Bear CBBC Aug 2013 N	2013/04/25	2013/04/26
67091	UBS AG – HSI R Bear CBBC Aug 2013 R	2013/04/25	2013/04/26
66870	UBS AG – HSI R Bear CBBC Sep 2013 U	2013/04/25	2013/04/26
67098	Credit Suisse AG – HSI R Bear CBBC Jul 2013 W	2013/04/25	2013/04/26
67644	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 G	2013/04/25	2013/04/26
67820	UBS AG – HSI R Bear CBBC Nov 2013 E	2013/04/25	2013/04/26
67835	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 Q	2013/04/25	2013/04/26
68115	J P Morgan SP BV – HSI R Bear CBBC Sep 2013 A	2013/04/25	2013/04/26
67639	UBS AG – HSI R Bear CBBC Sep 2013 W	2013/04/25	2013/04/26
67154	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Z	2013/04/25	2013/04/26
67088	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 J	2013/04/25	2013/04/26
67561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 T	2013/04/26	2013/04/30
67647	Credit Suisse AG – HSI R Bear CBBC Apr 2013 W	2013/04/26	2013/04/30
63307	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 X	2013/04/26	2013/04/30
67796	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 G	2013/04/26	2013/04/30
65222	HK Bank – Galaxy Ent R Bear CBBC Oct 2013 B	2013/04/26	2013/04/29
67371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 V	2013/04/26	2013/04/30
68657	Credit Suisse AG – HSI R Bear CBBC Sep 2013 W	2013/04/26	2013/04/29
67002	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 I	2013/04/26	2013/04/29
62905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 O	2013/04/26	2013/04/30
68659	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 X	2013/04/26	2013/04/29
67492	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	2013/04/26	2013/04/30
67795	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 F	2013/04/26	2013/04/30
63611	UBS AG – A50 China R Bull CBBC Apr 2013 C	2013/04/26	2013/04/30
63747	UBS AG – HSCEI R Bear CBBC Apr 2013 A	2013/04/26	2013/04/30
63603	UBS AG – Petrochina R Bear CBBC Apr 2013 A	2013/04/26	2013/04/30
64735	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 E	2013/04/26	2013/04/30
64738	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 F	2013/04/26	2013/04/30
63793	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 N	2013/04/26	2013/04/30
62927	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 P	2013/04/26	2013/04/30
67172	HK Bank – HSI R Bull CBBC Apr 2013 C	2013/04/26	2013/04/30
67106	UBS AG – TCH R Bear CBBC Sep 2013 A	2013/04/26	2013/04/29
68015	UBS AG – HSI R Bull CBBC Apr 2013 K	2013/04/26	2013/04/30
67958	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 A	2013/04/26	2013/04/30
65353	UBS AG – HSCEI R Bear CBBC Apr 2013 B	2013/04/26	2013/04/30
68660	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 Y	2013/04/26	2013/04/29
67656	Credit Suisse AG – HSI R Bear CBBC Apr 2013 A	2013/04/26	2013/04/30
66357	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 B	2013/04/26	2013/04/30
67049	Credit Suisse AG – HSI R Bear CBBC Sep 2013 M	2013/04/26	2013/04/29
68263	Credit Suisse AG – HSI R Bull CBBC Apr 2013 D	2013/04/26	2013/04/30
62856	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 E	2013/04/26	2013/04/30
66176	UBS AG – HSI R Bear CBBC Aug 2013 X	2013/04/26	2013/04/29
66356	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 A	2013/04/26	2013/04/30
66168	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 B	2013/04/26	2013/04/29
66630	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 B	2013/04/26	2013/04/29
66631	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 C	2013/04/26	2013/04/29
68407	HK Bank – HSI R Bull CBBC Apr 2013 G	2013/04/26	2013/04/30
66548	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 W	2013/04/26	2013/04/30
66661	HK Bank – China Mobile R Bear CBBC Aug 2013 B	2013/04/26	2013/04/29
66139	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 X	2013/04/26	2013/04/29
66339	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 V	2013/04/26	2013/04/30
68656	Credit Suisse AG – HSI R Bear CBBC Sep 2013 V	2013/04/26	2013/04/29
66074	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 U	2013/04/26	2013/04/29
65893	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 M	2013/04/26	2013/04/30
66066	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 Y	2013/04/26	2013/04/29
66023	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 S	2013/04/26	2013/04/29
68255	Credit Suisse AG – HSI R Bull CBBC Apr 2013 C	2013/04/26	2013/04/30
66012	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 Q	2013/04/26	2013/04/29
66335	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 T	2013/04/26	2013/04/30
68253	Credit Suisse AG – HSI R Bull CBBC Apr 2013 B	2013/04/26	2013/04/30
65984	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 G	2013/04/26	2013/04/29
65981	UBS AG – HSI R Bear CBBC Jul 2013 Q	2013/04/26	2013/04/29
65959	Credit Suisse AG – HSI R Bear CBBC Jul 2013 L	2013/04/26	2013/04/29
65953	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Y	2013/04/26	2013/04/29
65945	Credit Suisse AG – HSI R Bear CBBC Jul 2013 H	2013/04/26	2013/04/29
65848	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 A	2013/04/26	2013/04/30
66337	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 U	2013/04/26	2013/04/30
67660	HK Bank – HSI R Bear CBBC Aug 2013 J	2013/04/26	2013/04/29
67124	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 C	2013/04/26	2013/04/29

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66123	Credit Suisse AG – HSI R Bear CBBC Apr 2013 K	2013/04/26	2013/04/30
68711	Credit Suisse AG – HSI R Bear CBBC Oct 2013 C	2013/04/26	2013/04/29
64893	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr13 H	2013/04/26	2013/04/30
68701	Credit Suisse AG – HSI R Bear CBBC Aug 2013 N	2013/04/26	2013/04/29
65464	UBS AG – HSI R Bull CBBC Apr 2013 J	2013/04/26	2013/04/30
65351	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 S	2013/04/26	2013/04/30
67335	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 N	2013/04/26	2013/04/29
64924	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr13 I	2013/04/26	2013/04/30
65304	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	2013/04/26	2013/04/30
68682	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 A	2013/04/26	2013/04/29
66124	Credit Suisse AG – HSI R Bear CBBC Apr 2013 L	2013/04/26	2013/04/30
67447	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 H	2013/04/26	2013/04/29
65478	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 I	2013/04/26	2013/04/30
68698	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 F	2013/04/26	2013/04/29
68697	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 E	2013/04/26	2013/04/29
68696	UBS AG – HSI R Bear CBBC Sep 2013 Z	2013/04/26	2013/04/29
67633	Credit Suisse AG – HSI R Bear CBBC Apr 2013 V	2013/04/26	2013/04/30
63308	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	2013/04/26	2013/04/30
63412	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	2013/04/26	2013/04/30
64871	UBS AG – HSI R Bull CBBC Apr 2013 I	2013/04/26	2013/04/30
68695	UBS AG – HSI R Bear CBBC Sep 2013 Y	2013/04/26	2013/04/29
64007	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 U	2013/04/26	2013/04/30
63975	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	2013/04/26	2013/04/30
63515	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	2013/04/26	2013/04/30
63519	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	2013/04/26	2013/04/30
68699	Credit Suisse AG – HSI R Bear CBBC Oct 2013 B	2013/04/26	2013/04/29
68670	UBS AG – HSI R Bear CBBC Oct 2013 N	2013/04/26	2013/04/29
65894	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 T	2013/04/26	2013/04/30
65895	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Q	2013/04/26	2013/04/30
66698	UBS AG – HSI R Bear CBBC Apr 2013 N	2013/04/26	2013/04/30
67151	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 K	2013/04/26	2013/04/29
68661	HK Bank – HSI R Bear CBBC Aug 2013 K	2013/04/26	2013/04/29
68662	HK Bank – HSI R Bear CBBC Aug 2013 L	2013/04/26	2013/04/29
67155	UBS AG – HSI R Bear CBBC Jul 2013 U	2013/04/26	2013/04/29
66560	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr13 X	2013/04/26	2013/04/30
63218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	2013/04/26	2013/04/30
66684	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 N	2013/04/26	2013/04/30
68669	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 A	2013/04/26	2013/04/29
64848	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Apr13 G	2013/04/26	2013/04/30
67455	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 I	2013/04/26	2013/04/30
66945	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 E	2013/04/26	2013/04/29
64315	UBS AG – China Mobile R Bear CBBC Apr 2013 B	2013/04/26	2013/04/30
67465	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 K	2013/04/26	2013/04/30
67466	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 L	2013/04/26	2013/04/30
67494	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 M	2013/04/26	2013/04/30
67499	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 N	2013/04/26	2013/04/30
68672	UBS AG – HSI R Bear CBBC Oct 2013 O	2013/04/26	2013/04/29
68673	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 D	2013/04/26	2013/04/29
65526	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 K	2013/04/26	2013/04/30
65513	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 J	2013/04/26	2013/04/30
68679	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 Z	2013/04/26	2013/04/29
67281	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 P	2013/04/26	2013/04/29
63303	UBS AG – HSI R Bull CBBC Apr 2013 H	2013/04/26	2013/04/30
66653	J P Morgan SP BV – HSI R Bull CBBC Apr 2013 M	2013/04/26	2013/04/30
63139	UBS AG – HSI R Bull CBBC Apr 2013 M	2013/04/26	2013/04/30
62720	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 C	2013/04/26	2013/04/30
66344	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 G	2013/04/26	2013/04/29
66128	HK Bank – HSI R Bull CBBC Apr 2013 A	2013/04/26	2013/04/30
62721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 D	2013/04/26	2013/04/30
66453	Credit Suisse AG – HSI R Bear CBBC Apr 2013 M	2013/04/26	2013/04/30
66376	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 E	2013/04/26	2013/04/30
66374	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2013 D	2013/04/26	2013/04/30
62857	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 F	2013/04/26	2013/04/30
66504	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 D	2013/04/26	2013/04/29
66479	Credit Suisse AG – HSI R Bear CBBC Apr 2013 N	2013/04/26	2013/04/30
67964	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 L	2013/04/26	2013/04/29
66183	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Y	2013/04/26	2013/04/29
66488	HK Bank – HSI R Bear CBBC Jul 2013 G	2013/04/26	2013/04/29
62719	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 B	2013/04/26	2013/04/30
63102	HK Bank – PetCh R Bull CBBC Apr 2013 A	2013/04/26	2013/04/30
66438	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 C	2013/04/26	2013/04/29
68435	HK Bank – AIA R Bear CBBC Oct 2013 B	2013/04/26	2013/04/29
68571	HK Bank – HSI R Bear CBBC Oct 2013 J	2013/04/26	2013/04/29
66302	UBS AG – HSI R Bear CBBC Jun 2013 J	2013/04/26	2013/04/29
66549	UBS AG – HSI R Bear CBBC Jun 2013 T	2013/04/26	2013/04/29
66298	Credit Suisse AG – HSI R Bear CBBC Jun 2013 E	2013/04/26	2013/04/29
66270	Credit Suisse AG – HSI R Bear CBBC Jul 2013 V	2013/04/26	2013/04/29
66276	UBS AG – HSI R Bear CBBC Sep 2013 P	2013/04/26	2013/04/29
66480	Credit Suisse AG – HSI R Bear CBBC Apr 2013 F	2013/04/26	2013/04/30
62718	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 A	2013/04/26	2013/04/30
66559	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 W	2013/04/26	2013/04/29
68740	UBS AG – HSI R Bear CBBC Jul 2013 V	2013/04/29	2013/04/30
65175	Credit Suisse AG – A50 China R Bull CBBC Apr 2013 A	2013/04/29	2013/05/02
68748	Credit Suisse AG – HSI R Bear CBBC Sep 2013 X	2013/04/29	2013/04/30
67833	HK Bank – Yanzhou Coal R Bull CBBC Dec 2013 A	2013/04/29	2013/04/30
68768	UBS AG – HSI R Bear CBBC Dec 2013 G	2013/04/29	2013/04/30
68767	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 R	2013/04/29	2013/04/30

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68766	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 I	2013/04/29	2013/04/30
68765	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 H	2013/04/29	2013/04/30
68752	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Y	2013/04/29	2013/04/30
68688	UBS AG – Yanzhou Coal R Bull CBBC Nov 2013 A	2013/04/29	2013/04/30
68737	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 Y	2013/04/29	2013/04/30
68764	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 G	2013/04/29	2013/04/30
64881	HK Bank – HKEx R Bull CBBC Apr 2013 A	2013/04/29	2013/05/02
68738	Credit Suisse AG – HSI R Bear CBBC Aug 2013 W	2013/04/29	2013/04/30
68806	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 D	2013/04/30	2013/05/02
68819	Credit Suisse AG – HSI R Bear CBBC Jul 2013 C	2013/04/30	2013/05/02
68824	UBS AG – HSI R Bear CBBC Nov 2013 G	2013/04/30	2013/05/02
65253	Credit Suisse AG – HSI R Bear CBBC Jul 2013 D	2013/04/30	2013/05/02
68823	UBS AG – HSI R Bear CBBC Aug 2013 Y	2013/04/30	2013/05/02
68692	UBS AG – China Mobile R Bear CBBC Oct 2013 A	2013/04/30	2013/05/02
68826	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 O	2013/04/30	2013/05/02
66551	UBS AG – HSI R Bear CBBC Dec 2013 C	2013/04/30	2013/05/02
68821	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Z	2013/04/30	2013/05/02
66433	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 A	2013/04/30	2013/05/02
68805	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 Z	2013/04/30	2013/05/02
66275	UBS AG – HSI R Bear CBBC Aug 2013 Z	2013/04/30	2013/05/02
66909	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 A	2013/04/30	2013/05/02
65887	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 F	2013/04/30	2013/05/02
68822	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/04/30	2013/05/02
65460	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 R	2013/04/30	2013/05/02
66273	Credit Suisse AG – HSI R Bear CBBC Jul 2013 X	2013/04/30	2013/05/02
68816	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 J	2013/04/30	2013/05/02
68817	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 K	2013/04/30	2013/05/02
66437	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 B	2013/04/30	2013/05/02
68810	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 S	2013/04/30	2013/05/02
66408	UBS AG – Hutchison R Bear CBBC Aug 2013 B	2013/04/30	2013/05/02
68825	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 N	2013/04/30	2013/05/02
68818	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 L	2013/04/30	2013/05/02
68820	Credit Suisse AG – HSI R Bear CBBC Jul 2013 T	2013/04/30	2013/05/02
65459	UBS AG – HSI R Bear CBBC Aug 2013 U	2013/04/30	2013/05/02
66506	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 E	2013/04/30	2013/05/02
68827	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 P	2013/04/30	2013/05/02
68541	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 O	2013/04/30	2013/05/02
68807	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2013 G	2013/04/30	2013/05/02
66312	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 E	2013/04/30	2013/05/02
65471	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 D	2013/04/30	2013/05/02
66069	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 Z	2013/04/30	2013/05/02
65960	Credit Suisse AG – HSI R Bear CBBC Jul 2013 M	2013/04/30	2013/05/02
66571	HK Bank – Hutchison R Bear CBBC Nov 2013 B	2013/04/30	2013/05/02
66143	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 Y	2013/04/30	2013/05/02
67825	HK Bank – HSI R Bear CBBC Oct 2013 I	2013/04/30	2013/05/02
66666	HK Bank – CC Bank R Bear CBBC Sep 2013 B	2013/04/30	2013/05/02
66811	HK Bank – TCH R Bear CBBC Nov 2013 A	2013/04/30	2013/05/02
66073	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 I	2013/04/30	2013/05/02
68782	HK Bank – CNBM R Bull CBBC Sep 2013 B	2013/04/30	2013/05/02
66633	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 D	2013/04/30	2013/05/02
65978	UBS AG – HSI R Bear CBBC Jul 2013 D	2013/04/30	2013/05/02
66175	UBS AG – HSI R Bear CBBC Jul 2013 R	2013/04/30	2013/05/02
66022	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 R	2013/04/30	2013/05/02
66756	UBS AG – HSBC R Bear CBBC Sep 2013 B	2013/04/30	2013/05/02
66010	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 P	2013/04/30	2013/05/02
66186	Credit Suisse AG – HSI R Bear CBBC Jul 2013 U	2013/04/30	2013/05/02
66009	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 N	2013/04/30	2013/05/02
68780	HK Bank – China Life R Bear CBBC Aug 2013 D	2013/04/30	2013/05/02
66209	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 D	2013/04/30	2013/05/02
66678	UBS AG – TCH R Bear CBBC Jul 2013 C	2013/05/02	2013/05/03
68857	UBS AG – HSI R Bear CBBC Sep 2013 I	2013/05/02	2013/05/03
68834	UBS AG – HSI R Bear CBBC Aug 2013 L	2013/05/02	2013/05/03
68847	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 Q	2013/05/02	2013/05/03
68837	Credit Suisse AG – HSI R Bear CBBC Aug 2013 O	2013/05/02	2013/05/03
68836	Credit Suisse AG – HSI R Bear CBBC Aug 2013 M	2013/05/02	2013/05/03
68835	UBS AG – HSI R Bear CBBC Sep 2013 A	2013/05/02	2013/05/03
66712	HK Bank – TCH R Bear CBBC Aug 2013 D	2013/05/02	2013/05/03
68838	Credit Suisse AG – HSI R Bear CBBC Aug 2013 P	2013/05/02	2013/05/03
68832	HK Bank – ICBC R Bear CBBC Nov 2013 B	2013/05/02	2013/05/03
68084	HK Bank – HKEx R Bull CBBC May 2013 B	2013/05/02	2013/05/06
68842	Credit Suisse AG – HSI R Bear CBBC Sep 2013 F	2013/05/02	2013/05/03
68869	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 A	2013/05/03	2013/05/06
68877	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 C	2013/05/03	2013/05/06
62816	HK Bank – HKEx R Bull CBBC May 2013 A	2013/05/03	2013/05/07
68892	Credit Suisse AG – HSI R Bear CBBC Sep 2013 H	2013/05/03	2013/05/06
68880	Credit Suisse AG – HSI R Bear CBBC Aug 2013 R	2013/05/03	2013/05/06
68373	UBS AG – China Mobile R Bear CBBC May 2013 A	2013/05/03	2013/05/07
67803	UBS AG – Cheung Kong R Bear CBBC May 2013 B	2013/05/03	2013/05/07
68876	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 E	2013/05/03	2013/05/06
68881	UBS AG – HSI R Bear CBBC Aug 2013 P	2013/05/03	2013/05/06
68870	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 B	2013/05/03	2013/05/06
68874	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 A	2013/05/03	2013/05/06
65995	HK Bank – SHK Ppt R Bull CBBC May 2013 A	2013/05/03	2013/05/07
68882	UBS AG – HSI R Bear CBBC Nov 2013 H	2013/05/03	2013/05/06
68879	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Q	2013/05/03	2013/05/06
66403	UBS AG – TCH R Bear CBBC Jul 2013 B	2013/05/03	2013/05/06
68878	Credit Suisse AG – HSI R Bear CBBC Sep 2013 G	2013/05/03	2013/05/06

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
65285	UBS AG – SHK Ppt R Bull CBBC May 2013 A	2013/05/03	2013/05/07
65112	UBS AG – Cheung Kong R Bear CBBC May 2013 A	2013/05/03	2013/05/07
60008	UBS AG – CNOOC R Bear CBBC May 2013 A	2013/05/03	2013/05/07
64300	UBS AG – China Tel R Bear CBBC May 2013 B	2013/05/03	2013/05/07
68902	UBS AG – HSI R Bear CBBC Aug 2013 S	2013/05/03	2013/05/06
68949	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 R	2013/05/06	2013/05/07
65162	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 Q	2013/05/06	2013/05/07
66144	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 Z	2013/05/06	2013/05/07
66669	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 I	2013/05/06	2013/05/07
68915	Credit Suisse AG – HSI R Bear CBBC Sep 2013 J	2013/05/06	2013/05/07
68440	HK Bank – ABC R Bear CBBC Jan 2014 A	2013/05/06	2013/05/07
65469	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 S	2013/05/06	2013/05/07
66146	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 W	2013/05/06	2013/05/07
68948	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 H	2013/05/06	2013/05/07
68914	Credit Suisse AG – HSI R Bear CBBC Sep 2013 I	2013/05/06	2013/05/07
68950	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 S	2013/05/06	2013/05/07
67662	HK Bank – HSCEI R Bear CBBC Sep 2013 B	2013/05/06	2013/05/07
66260	HK Bank – HSI R Bear CBBC Aug 2013 H	2013/05/06	2013/05/07
68912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 E	2013/05/06	2013/05/07
67462	UBS AG – HSCEI R Bear CBBC Jul 2013 E	2013/05/06	2013/05/07
66634	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 E	2013/05/06	2013/05/07
68913	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 F	2013/05/06	2013/05/07
65334	Credit Suisse AG – HSI R Bear CBBC Jun 2013 X	2013/05/06	2013/05/07
68926	UBS AG – HSI R Bear CBBC Sep 2013 J	2013/05/06	2013/05/07
65771	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 N	2013/05/06	2013/05/07
65977	UBS AG – HSI R Bear CBBC Oct 2013 K	2013/05/06	2013/05/07
65727	Credit Suisse AG – HSI R Bear CBBC Jun 2013 C	2013/05/06	2013/05/07
68910	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 B	2013/05/06	2013/05/07
65757	UBS AG – HSI R Bear CBBC Sep 2013 O	2013/05/06	2013/05/07
65774	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 T	2013/05/06	2013/05/07
68929	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 F	2013/05/06	2013/05/07
68925	UBS AG – HSI R Bear CBBC Oct 2013 P	2013/05/06	2013/05/07
65758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 V	2013/05/06	2013/05/07
68924	UBS AG – HSI R Bear CBBC Aug 2013 A	2013/05/06	2013/05/07
68916	Credit Suisse AG – HSI R Bear CBBC Sep 2013 N	2013/05/06	2013/05/07
68917	Credit Suisse AG – HSI R Bear CBBC Aug 2013 X	2013/05/06	2013/05/07
67107	UBS AG – Galaxy Ent R Bear CBBC Aug 2013 A	2013/05/06	2013/05/07
66145	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 O	2013/05/07	2013/05/08
68972	Credit Suisse AG – HSI R Bear CBBC Aug 2013 S	2013/05/07	2013/05/08
65955	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Z	2013/05/07	2013/05/08
67045	HK Bank – HSI R Bear CBBC Nov 2013 K	2013/05/07	2013/05/08
65497	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 E	2013/05/07	2013/05/08
68831	HK Bank – BOCL R Bear CBBC Dec 2013 B	2013/05/07	2013/05/08
68830	HK Bank – CNOOC R Bear CBBC Aug 2013 B	2013/05/07	2013/05/08
65740	UBS AG – HSI R Bear CBBC Sep 2013 N	2013/05/07	2013/05/08
63214	HK Bank – ICBC R Bull CBBC May 2013 A	2013/05/07	2013/05/09
65760	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 M	2013/05/07	2013/05/08
68983	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 I	2013/05/07	2013/05/08
68974	Credit Suisse AG – HSI R Bear CBBC Sep 2013 O	2013/05/07	2013/05/08
68971	UBS AG – HSI R Bear CBBC Sep 2013 K	2013/05/07	2013/05/08
68973	Credit Suisse AG – HSI R Bear CBBC Oct 2013 D	2013/05/07	2013/05/08
65784	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 H	2013/05/07	2013/05/08
68683	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 B	2013/05/07	2013/05/08
68873	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 B	2013/05/07	2013/05/08
66507	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 F	2013/05/07	2013/05/08
66637	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 F	2013/05/07	2013/05/08
65489	Credit Suisse AG – HSI R Bear CBBC Jul 2013 G	2013/05/07	2013/05/08
64680	HK Bank – Galaxy Ent R Bear CBBC Dec 2013 C	2013/05/07	2013/05/08
65470	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 T	2013/05/07	2013/05/08
65442	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 O	2013/05/07	2013/05/08
65715	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 X	2013/05/07	2013/05/08
66329	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 F	2013/05/07	2013/05/08
65457	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 Q	2013/05/07	2013/05/08
65458	UBS AG – HSI R Bear CBBC Jul 2013 C	2013/05/07	2013/05/08
66928	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2013 B	2013/05/07	2013/05/08
65881	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 S	2013/05/08	2013/05/09
67672	HK Bank – HKEx R Bear CBBC Nov 2013 B	2013/05/08	2013/05/09
69021	Credit Suisse AG – HSI R Bear CBBC Oct 2013 G	2013/05/08	2013/05/09
68998	UBS AG – HSI R Bear CBBC Dec 2013 H	2013/05/08	2013/05/09
65525	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 U	2013/05/08	2013/05/09
69020	Credit Suisse AG – HSI R Bear CBBC Aug 2013 V	2013/05/08	2013/05/09
65008	HK Bank – AIA R Bear CBBC Nov 2013 A	2013/05/08	2013/05/09
69032	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 A	2013/05/08	2013/05/09
65523	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 U	2013/05/08	2013/05/09
68995	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 D	2013/05/08	2013/05/09
65882	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 T	2013/05/08	2013/05/09
66638	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Sep 2013 G	2013/05/08	2013/05/09
65781	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 G	2013/05/08	2013/05/09
68997	UBS AG – HSI R Bear CBBC Sep 2013 G	2013/05/08	2013/05/09
65502	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 R	2013/05/08	2013/05/09
68996	UBS AG – HSI R Bear CBBC Aug 2013 N	2013/05/08	2013/05/09
65820	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 U	2013/05/08	2013/05/09
66656	HK Bank – HSCEI R Bear CBBC Nov 2013 B	2013/05/08	2013/05/09
67463	UBS AG – HSCEI R Bear CBBC Aug 2013 B	2013/05/08	2013/05/09
65496	Credit Suisse AG – HSI R Bear CBBC Jul 2013 B	2013/05/08	2013/05/09
65444	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 P	2013/05/08	2013/05/09
65772	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 O	2013/05/08	2013/05/09

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68666	HK Bank – PetCh R Bear CBBC Nov 2013 A	2013/05/08	2013/05/09
65976	UBS AG – HSI R Bear CBBC Aug 2013 W	2013/05/08	2013/05/09
65737	UBS AG – HSI R Bear CBBC Sep 2013 M	2013/05/08	2013/05/09
69000	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 H	2013/05/08	2013/05/09
65587	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 K	2013/05/08	2013/05/09
65590	UBS AG – HSI R Bear CBBC Aug 2013 V	2013/05/08	2013/05/09
65594	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 V	2013/05/08	2013/05/09
65655	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 M	2013/05/08	2013/05/09
66256	HK Bank – HSI R Bear CBBC Sep 2013 J	2013/05/08	2013/05/09
65595	Credit Suisse AG – HSI R Bear CBBC Jun 2013 O	2013/05/08	2013/05/09
68932	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 C	2013/05/08	2013/05/09
66330	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 G	2013/05/08	2013/05/09
69015	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/05/08	2013/05/09
66528	UBS AG – China Life R Bear CBBC Jul 2013 B	2013/05/08	2013/05/09
65684	Credit Suisse AG – HSI R Bear CBBC Jun 2013 V	2013/05/08	2013/05/09
65686	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 W	2013/05/08	2013/05/09
66332	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 H	2013/05/08	2013/05/09
69024	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 J	2013/05/08	2013/05/09
65554	UBS AG – HSI R Bear CBBC Jul 2013 A	2013/05/08	2013/05/09
66584	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jul13 H	2013/05/08	2013/05/09
65975	Credit Suisse AG – HSI R Bear CBBC Jul 2013 Q	2013/05/08	2013/05/09
68999	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 G	2013/05/08	2013/05/09
65759	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2013 L	2013/05/08	2013/05/09
60975	Credit Suisse AG – HSI R Bear CBBC Jun 2013 D	2013/05/09	2013/05/10
61136	HK Bank – HSI R Bear CBBC Aug 2013 F	2013/05/09	2013/05/10
69005	Credit Suisse AG – HSI R Bear CBBC Aug 2013 K	2013/05/09	2013/05/10
66153	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 F	2013/05/09	2013/05/10
69054	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Y	2013/05/09	2013/05/10
61072	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 G	2013/05/09	2013/05/10
60799	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 W	2013/05/09	2013/05/10
60959	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 Q	2013/05/09	2013/05/10
65473	HK Bank – HSI R Bear CBBC Aug 2013 G	2013/05/09	2013/05/10
60800	Credit Suisse AG – HSI R Bear CBBC Jul 2013 S	2013/05/09	2013/05/10
60943	Credit Suisse AG – HSI R Bear CBBC May 2013 J	2013/05/09	2013/05/10
68088	HK Bank – HKEx R Bull CBBC May 2013 C	2013/05/09	2013/05/13
69055	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Z	2013/05/09	2013/05/10
69056	UBS AG – HSI R Bear CBBC Oct 2013 Q	2013/05/09	2013/05/10
60915	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 L	2013/05/09	2013/05/10
65617	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 T	2013/05/09	2013/05/10
61220	UBS AG – HSI R Bear CBBC Dec 2013 B	2013/05/09	2013/05/10
61332	UBS AG – AIA R Bear CBBC Nov 2013 A	2013/05/09	2013/05/10
69066	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 E	2013/05/09	2013/05/10
69057	UBS AG – HSI R Bear CBBC Nov 2013 I	2013/05/09	2013/05/10
60951	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 I	2013/05/09	2013/05/10
69058	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 A	2013/05/09	2013/05/10
60952	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 J	2013/05/09	2013/05/10
60954	UBS AG – HSI R Bear CBBC Jun 2013 B	2013/05/09	2013/05/10
65396	UBS AG – Galaxy Ent R Bull CBBC May 2013 B	2013/05/10	2013/05/14
69078	Credit Suisse AG – HSI R Bear CBBC Aug 2013 N	2013/05/10	2013/05/13
68424	HK Bank – Galaxy Ent R Bull CBBC May 2013 A	2013/05/10	2013/05/14
69081	Credit Suisse AG – HSI R Bear CBBC Sep 2013 K	2013/05/10	2013/05/13
64515	UBS AG – Galaxy Ent R Bull CBBC May 2013 A	2013/05/10	2013/05/14
63984	UBS AG – HKEx R Bull CBBC May 2013 C	2013/05/10	2013/05/14
69082	Credit Suisse AG – HSI R Bear CBBC Oct 2013 H	2013/05/10	2013/05/13
65044	UBS AG – HSBC R Bull CBBC May 2013 A	2013/05/10	2013/05/14
69096	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 L	2013/05/10	2013/05/13
65455	HK Bank – Galaxy Ent R Bull CBBC May 2013 B	2013/05/10	2013/05/14
65402	UBS AG – A50 China R Bull CBBC May 2013 A	2013/05/10	2013/05/14
69092	UBS AG – HSI R Bear CBBC Aug 2013 R	2013/05/10	2013/05/13
69093	UBS AG – HSI R Bear CBBC Aug 2013 T	2013/05/10	2013/05/13
69132	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 M	2013/05/13	2013/05/14
69135	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/05/13	2013/05/14
69146	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 O	2013/05/13	2013/05/14
69136	UBS AG – HSI R Bear CBBC Oct 2013 R	2013/05/13	2013/05/14
68480	HK Bank – Galaxy Ent R Bear CBBC Nov 2013 B	2013/05/13	2013/05/14
69119	Credit Suisse AG – HSI R Bear CBBC Sep 2013 L	2013/05/13	2013/05/14
69121	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 I	2013/05/13	2013/05/14
69120	Credit Suisse AG – HSI R Bear CBBC Aug 2013 W	2013/05/13	2013/05/14
69148	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 S	2013/05/14	2013/05/15
69147	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 H	2013/05/14	2013/05/15
69150	Credit Suisse AG – HSI R Bull CBBC Jan 2014 G	2013/05/14	2013/05/15
69230	UBS AG – HSI R Bull CBBC Nov 2013 J	2013/05/14	2013/05/15
69212	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2013 M	2013/05/14	2013/05/15
69215	Credit Suisse AG – HSI R Bull CBBC Feb 2014 G	2013/05/14	2013/05/15
69104	UBS AG – Ping An R Bull CBBC Oct 2013 C	2013/05/14	2013/05/15
69231	UBS AG – HSI R Bull CBBC Oct 2013 Q	2013/05/14	2013/05/15
69191	Credit Suisse AG – HSI R Bear CBBC Sep 2013 P	2013/05/14	2013/05/15
69240	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 E	2013/05/14	2013/05/15
69223	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 M	2013/05/14	2013/05/15
69211	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 J	2013/05/14	2013/05/15
69153	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Q	2013/05/14	2013/05/15
69214	Credit Suisse AG – HSI R Bull CBBC Feb 2014 F	2013/05/14	2013/05/15
69232	UBS AG – HSI R Bear CBBC Sep 2013 R	2013/05/14	2013/05/15
69193	UBS AG – HSI R Bear CBBC Sep 2013 Q	2013/05/14	2013/05/15
69233	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 M	2013/05/14	2013/05/15
69194	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 R	2013/05/14	2013/05/15
69234	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 N	2013/05/14	2013/05/15

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69235	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 O	2013/05/14	2013/05/15
69192	Credit Suisse AG – HSI R Bear CBBC Aug 2013 M	2013/05/14	2013/05/15
69314	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 I	2013/05/15	2013/05/16
69279	Credit Suisse AG – HSI R Bull CBBC Jan 2014 J	2013/05/15	2013/05/16
69270	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 R	2013/05/15	2013/05/16
69264	UBS AG – HSI R Bear CBBC Aug 2013 U	2013/05/15	2013/05/16
69282	Credit Suisse AG – HSI R Bull CBBC Jan 2014 K	2013/05/15	2013/05/16
69293	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Q	2013/05/15	2013/05/16
69294	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 M	2013/05/15	2013/05/16
69297	UBS AG – HSI R Bull CBBC Nov 2013 K	2013/05/15	2013/05/16
69298	UBS AG – HSI R Bull CBBC Oct 2013 B	2013/05/15	2013/05/16
69310	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 R	2013/05/15	2013/05/16
69300	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 G	2013/05/15	2013/05/16
69309	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 Q	2013/05/15	2013/05/16
69307	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 P	2013/05/15	2013/05/16
69316	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 J	2013/05/15	2013/05/16
69261	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 A	2013/05/15	2013/05/16
69241	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 D	2013/05/15	2013/05/16
62991	HK Bank – ABC R Bull CBBC May 2013 B	2013/05/15	2013/05/20
69253	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 N	2013/05/15	2013/05/16
69258	Credit Suisse AG – HSI R Bear CBBC Aug 2013 O	2013/05/15	2013/05/16
69283	Credit Suisse AG – HSI R Bull CBBC Jan 2014 L	2013/05/16	2013/05/20
64368	HK Bank – TCH R Bear CBBC Jul 2013 A	2013/05/16	2013/05/20
69333	Credit Suisse AG – HSI R Bull CBBC Nov 2013 B	2013/05/16	2013/05/20
66495	HK Bank – TCH R Bear CBBC Aug 2013 C	2013/05/16	2013/05/20
69255	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 K	2013/05/16	2013/05/20
69047	HK Bank – Anhui Conch R Bull CBBC Sep 2014 B	2013/05/16	2013/05/20
69331	Credit Suisse AG – HSI R Bull CBBC Nov 2013 X	2013/05/16	2013/05/20
69327	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 J	2013/05/16	2013/05/20
69248	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 I	2013/05/16	2013/05/20
61228	UBS AG – TCH R Bear CBBC Aug 2013 A	2013/05/16	2013/05/20
69330	UBS AG – HSI R Bull CBBC Dec 2013 I	2013/05/16	2013/05/20
65354	UBS AG – HSBC R Bear CBBC May 2013 A	2013/05/16	2013/05/21
69276	UBS AG – COVS R Bull CBBC Nov 2013 A	2013/05/16	2013/05/20
69301	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 A	2013/05/16	2013/05/20
63985	UBS AG – HKEx R Bear CBBC May 2013 A	2013/05/16	2013/05/21
67514	UBS AG – HKEx R Bull CBBC May 2013 B	2013/05/16	2013/05/21
69329	UBS AG – HSI R Bull CBBC Jan 2014 H	2013/05/16	2013/05/20
60588	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 M	2013/05/20	2013/05/21
61074	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 I	2013/05/20	2013/05/21
60670	UBS AG – TCH R Bear CBBC Jul 2013 A	2013/05/20	2013/05/21
69091	UBS AG – HSI R Bear CBBC Aug 2013 Q	2013/05/20	2013/05/21
69355	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 W	2013/05/20	2013/05/21
60902	UBS AG – HSI R Bear CBBC Jul 2013 T	2013/05/20	2013/05/21
60836	Credit Suisse AG – HSI R Bear CBBC May 2013 D	2013/05/20	2013/05/21
60904	Credit Suisse AG – HSI R Bear CBBC May 2013 B	2013/05/20	2013/05/21
60672	UBS AG – Li & Fung R Bear CBBC Jul 2013 B	2013/05/20	2013/05/21
60842	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 X	2013/05/20	2013/05/21
65446	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 R	2013/05/20	2013/05/21
65445	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 Q	2013/05/20	2013/05/21
61066	UBS AG – HSI R Bear CBBC Jun 2013 F	2013/05/20	2013/05/21
60841	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 W	2013/05/20	2013/05/21
69308	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 F	2013/05/20	2013/05/21
69341	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 C	2013/05/20	2013/05/21
69340	Credit Suisse AG – HSI R Bear CBBC Aug 2013 R	2013/05/20	2013/05/21
69339	Credit Suisse AG – HSI R Bear CBBC Sep 2013 V	2013/05/20	2013/05/21
69344	UBS AG – HSI R Bear CBBC Sep 2013 S	2013/05/20	2013/05/21
69334	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 V	2013/05/20	2013/05/21
69213	Credit Suisse AG – HSI R Bear CBBC Sep 2013 U	2013/05/20	2013/05/21
69112	UBS AG – Galaxy Ent R Bear CBBC Oct 2013 A	2013/05/20	2013/05/21
69363	Credit Suisse AG – HSI R Bear CBBC Aug 2013 S	2013/05/20	2013/05/21
61073	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 H	2013/05/20	2013/05/21
69362	Credit Suisse AG – HSI R Bear CBBC Aug 2013 X	2013/05/20	2013/05/21
69328	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 G	2013/05/20	2013/05/21
69361	UBS AG – HSI R Bear CBBC Oct 2013 T	2013/05/20	2013/05/21
69326	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 T	2013/05/20	2013/05/21
66573	HK Bank – ICBC R Bear CBBC Sep 2013 B	2013/05/20	2013/05/21
68921	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/05/20	2013/05/21
69345	UBS AG – HSI R Bear CBBC Aug 2013 Z	2013/05/20	2013/05/21
60955	UBS AG – HSI R Bear CBBC Aug 2013 M	2013/05/20	2013/05/21
60884	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 X	2013/05/20	2013/05/21
60844	UBS AG – HSI R Bear CBBC Sep 2013 H	2013/05/20	2013/05/21
60745	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 S	2013/05/20	2013/05/21
60629	HK Bank – HSI R Bear CBBC Nov 2013 H	2013/05/20	2013/05/21
67160	UBS AG – CSOP A50 ETF R Bear CBBC Jul 2013 D	2013/05/20	2013/05/21
69007	Credit Suisse AG – HSI R Bear CBBC Aug 2013 T	2013/05/20	2013/05/21
69006	Credit Suisse AG – HSI R Bear CBBC Sep 2013 R	2013/05/20	2013/05/21
69252	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 M	2013/05/20	2013/05/21
68933	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 C	2013/05/20	2013/05/21
60868	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 K	2013/05/20	2013/05/21
69034	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 B	2013/05/20	2013/05/21
69262	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 B	2013/05/20	2013/05/21
61032	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 C	2013/05/20	2013/05/21
69271	UBS AG – HSI R Bear CBBC Aug 2013 Y	2013/05/20	2013/05/21
69259	Credit Suisse AG – HSI R Bear CBBC Aug 2013 P	2013/05/20	2013/05/21
60713	Credit Suisse AG – HSI R Bear CBBC Jun 2013 B	2013/05/20	2013/05/21
60526	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 T	2013/05/20	2013/05/21

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60678	UBS AG – HSBC R Bear CBBC Aug 2013 A	2013/05/20	2013/05/21
68931	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 G	2013/05/20	2013/05/21
68684	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 C	2013/05/20	2013/05/21
60905	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 O	2013/05/20	2013/05/21
64587	HK Bank – TCH R Bear CBBC Sep 2013 A	2013/05/20	2013/05/21
69251	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 F	2013/05/20	2013/05/21
60579	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 L	2013/05/20	2013/05/21
60630	UBS AG – HSI R Bear CBBC May 2013 C	2013/05/20	2013/05/21
60863	Credit Suisse AG – HSI R Bear CBBC May 2013 F	2013/05/20	2013/05/21
69395	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 H	2013/05/21	2013/05/22
69389	UBS AG – HSI R Bear CBBC Oct 2013 U	2013/05/21	2013/05/22
69380	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/05/21	2013/05/22
61229	UBS AG – Hutchison R Bear CBBC Oct 2013 A	2013/05/21	2013/05/22
69386	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 E	2013/05/21	2013/05/22
69388	UBS AG – HSI R Bear CBBC Sep 2013 T	2013/05/21	2013/05/22
69393	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 G	2013/05/21	2013/05/22
69400	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 D	2013/05/21	2013/05/22
69382	Credit Suisse AG – HSI R Bear CBBC Aug 2013 K	2013/05/21	2013/05/22
69383	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 B	2013/05/21	2013/05/22
69384	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 C	2013/05/21	2013/05/22
69385	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 D	2013/05/21	2013/05/22
69375	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 Y	2013/05/21	2013/05/22
69381	Credit Suisse AG – HSI R Bear CBBC Aug 2013 V	2013/05/21	2013/05/22
69399	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 B	2013/05/21	2013/05/22
69407	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 X	2013/05/22	2013/05/23
69404	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 H	2013/05/22	2013/05/23
69403	HK Bank – HSI R Bear CBBC Oct 2013 K	2013/05/22	2013/05/23
69368	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 O	2013/05/22	2013/05/23
69360	UBS AG – HSI R Bull CBBC Nov 2013 L	2013/05/22	2013/05/23
69364	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 K	2013/05/22	2013/05/23
69369	UBS AG – HSI R Bull CBBC Oct 2013 S	2013/05/22	2013/05/23
69370	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Y	2013/05/22	2013/05/23
69365	Credit Suisse AG – HSI R Bull CBBC Jan 2014 O	2013/05/22	2013/05/23
69372	UBS AG – HSI R Bull CBBC Nov 2013 M	2013/05/22	2013/05/23
69429	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 I	2013/05/22	2013/05/23
69426	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Q	2013/05/22	2013/05/23
69357	Credit Suisse AG – HSI R Bull CBBC Oct 2013 R	2013/05/22	2013/05/23
69410	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 F	2013/05/22	2013/05/23
67134	HK Bank – CUni R Bear CBBC Sep 2013 A	2013/05/22	2013/05/23
69427	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 D	2013/05/22	2013/05/23
66234	UBS AG – Cheung Kong R Bear CBBC Jul 2013 A	2013/05/22	2013/05/23
69165	UBS AG – Lenovo R Bear CBBC Oct 2013 A	2013/05/22	2013/05/23
69416	UBS AG – HSI R Bear CBBC Sep 2013 U	2013/05/22	2013/05/23
60819	HK Bank – Hutchison R Bear CBBC Aug 2013 A	2013/05/22	2013/05/23
69428	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 E	2013/05/22	2013/05/23
69444	UBS AG – HSI R Bull CBBC Nov 2013 O	2013/05/23	2013/05/24
69453	Credit Suisse AG – HSI R Bull CBBC Nov 2013 D	2013/05/23	2013/05/24
69158	UBS AG – HSI R Bull CBBC Jan 2014 G	2013/05/23	2013/05/24
69182	HK Bank – China Mobile R Bull CBBC Dec 2013 B	2013/05/23	2013/05/24
69454	Credit Suisse AG – HSI R Bull CBBC Nov 2013 E	2013/05/23	2013/05/24
69455	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 M	2013/05/23	2013/05/24
69439	UBS AG – HSI R Bull CBBC Nov 2013 N	2013/05/23	2013/05/24
69459	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 O	2013/05/23	2013/05/24
69159	UBS AG – HSI R Bull CBBC Dec 2013 E	2013/05/23	2013/05/24
69170	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 V	2013/05/23	2013/05/24
69458	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 N	2013/05/23	2013/05/24
69438	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 I	2013/05/23	2013/05/24
69437	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 G	2013/05/23	2013/05/24
69137	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 K	2013/05/23	2013/05/24
69144	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 L	2013/05/23	2013/05/24
69435	UBS AG – HSI R Bear CBBC Jan 2014 A	2013/05/23	2013/05/24
69434	Credit Suisse AG – HSI R Bear CBBC Sep 2013 X	2013/05/23	2013/05/24
69152	Credit Suisse AG – HSI R Bull CBBC Jan 2014 I	2013/05/23	2013/05/24
69433	Credit Suisse AG – HSI R Bear CBBC Sep 2013 W	2013/05/23	2013/05/24
69151	Credit Suisse AG – HSI R Bull CBBC Jan 2014 H	2013/05/23	2013/05/24
65639	Credit Suisse AG – A50 China R Bull CBBC May 2013 B	2013/05/23	2013/05/27
69415	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 H	2013/05/23	2013/05/24
69413	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 L	2013/05/23	2013/05/24
69412	Credit Suisse AG – HSI R Bull CBBC Feb 2014 J	2013/05/23	2013/05/24
69411	UBS AG – HSI R Bull CBBC Apr 2014 D	2013/05/23	2013/05/24
69436	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 F	2013/05/23	2013/05/24
69296	UBS AG – HSI R Bull CBBC Dec 2013 H	2013/05/23	2013/05/24
69095	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 E	2013/05/23	2013/05/24
69094	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 F	2013/05/23	2013/05/24
69028	Credit Suisse AG – HSI R Bull CBBC Jan 2014 E	2013/05/23	2013/05/24
69392	UBS AG – China Shenhua R Bull CBBC Jul 2014 A	2013/05/23	2013/05/24
69242	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 E	2013/05/23	2013/05/24
69358	Credit Suisse AG – HSI R Bull CBBC Oct 2013 U	2013/05/23	2013/05/24
69303	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 C	2013/05/23	2013/05/24
69244	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 G	2013/05/23	2013/05/24
69245	HK Bank – HSCEI R Bull CBBC Oct 2013 C	2013/05/23	2013/05/24
69060	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 A	2013/05/23	2013/05/24
69217	Credit Suisse AG – HSI R Bull CBBC Feb 2014 I	2013/05/23	2013/05/24
69299	UBS AG – HSCEI R Bull CBBC Oct 2013 E	2013/05/23	2013/05/24
69239	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 D	2013/05/23	2013/05/24
69041	Credit Suisse AG – HSI R Bull CBBC Nov 2013 U	2013/05/23	2013/05/24
69040	UBS AG – HSI R Bull CBBC Nov 2013 G	2013/05/23	2013/05/24

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69039	UBS AG – HSI R Bull CBBC Dec 2013 D	2013/05/23	2013/05/24
69289	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 P	2013/05/23	2013/05/24
69272	UBS AG – China Life R Bull CBBC Oct 2013 B	2013/05/23	2013/05/24
69030	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 U	2013/05/23	2013/05/24
69267	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2013 A	2013/05/23	2013/05/24
69029	Credit Suisse AG – HSI R Bull CBBC Jan 2014 F	2013/05/23	2013/05/24
60707	Credit Suisse AG – CSOP A50 ETF R Bear CBBC May 2013 A	2013/05/23	2013/05/27
60708	Credit Suisse AG – CSOP A50 ETF R Bear CBBC May 2013 B	2013/05/23	2013/05/27
69052	UBS AG – HSI R Bull CBBC Jan 2014 F	2013/05/23	2013/05/24
69103	UBS AG – HSI R Bull CBBC Dec 2013 C	2013/05/23	2013/05/24
69359	UBS AG – HSI R Bull CBBC Jan 2014 I	2013/05/23	2013/05/24
65645	Credit Suisse AG – A50 China R Bear CBBC May 2013 B	2013/05/23	2013/05/27
69243	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 F	2013/05/23	2013/05/24
69319	HK Bank – HSI R Bull CBBC Nov 2013 M	2013/05/23	2013/05/24
69207	UBS AG – HKEx R Bull CBBC Nov 2013 A	2013/05/23	2013/05/24
69356	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 N	2013/05/23	2013/05/24
69117	Credit Suisse AG – HSI R Bull CBBC Oct 2013 W	2013/05/23	2013/05/24
69022	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 C	2013/05/23	2013/05/24
69116	Credit Suisse AG – HSI R Bull CBBC Oct 2013 P	2013/05/23	2013/05/24
69102	UBS AG – HSI R Bull CBBC Nov 2013 I	2013/05/23	2013/05/24
69343	UBS AG – HSI R Bull CBBC Mar 2014 N	2013/05/23	2013/05/24
69099	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 T	2013/05/23	2013/05/24
69371	Credit Suisse AG – HSI R Bull CBBC Nov 2013 C	2013/05/23	2013/05/24
69100	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 D	2013/05/23	2013/05/24
69216	Credit Suisse AG – HSI R Bull CBBC Feb 2014 H	2013/05/23	2013/05/24
69118	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 J	2013/05/23	2013/05/24
65638	Credit Suisse AG – A50 China R Bull CBBC May 2013 A	2013/05/23	2013/05/27
69320	HK Bank – HSI R Bull CBBC Oct 2013 K	2013/05/23	2013/05/24
69342	UBS AG – HSI R Bull CBBC Apr 2014 C	2013/05/23	2013/05/24
69353	HK Bank – China Shenhua R Bull CBBC Aug 2014 A	2013/05/23	2013/05/24
69390	UBS AG – HSI R Bull CBBC Mar 2014 P	2013/05/23	2013/05/24
69321	HK Bank – China Life R Bull CBBC Oct 2013 B	2013/05/23	2013/05/24
69031	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 K	2013/05/24	2013/05/27
68939	Credit Suisse AG – HSI R Bull CBBC Sep 2013 I	2013/05/24	2013/05/27
68941	Credit Suisse AG – HSI R Bull CBBC Nov 2013 T	2013/05/24	2013/05/27
69171	HK Bank – HSI R Bull CBBC Nov 2013 L	2013/05/24	2013/05/27
68942	UBS AG – HSI R Bull CBBC Oct 2013 O	2013/05/24	2013/05/27
69027	Credit Suisse AG – HSI R Bull CBBC Jan 2014 D	2013/05/24	2013/05/27
69169	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 U	2013/05/24	2013/05/27
69026	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 C	2013/05/24	2013/05/27
68934	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 F	2013/05/24	2013/05/27
63819	UBS AG – AIA R Bull CBBC May 2013 B	2013/05/24	2013/05/28
69037	UBS AG – HSI R Bull CBBC Nov 2013 H	2013/05/24	2013/05/27
68928	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 G	2013/05/24	2013/05/27
64008	HK Bank – CNBM R Bull CBBC Jun 2013 A	2013/05/24	2013/05/27
69042	Credit Suisse AG – HSI R Bull CBBC Nov 2013 V	2013/05/24	2013/05/27
69049	HK Bank – Ping An R Bull CBBC Nov 2013 A	2013/05/24	2013/05/27
69101	UBS AG – HSI R Bull CBBC Oct 2013 P	2013/05/24	2013/05/27
69061	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 B	2013/05/24	2013/05/27
69067	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 I	2013/05/24	2013/05/27
63288	HK Bank – A50 R Bull CBBC May 2013 A	2013/05/24	2013/05/28
67830	HK Bank – CNBM R Bull CBBC Oct 2013 B	2013/05/24	2013/05/27
69162	UBS AG – Minsheng Bank R Bull CBBC Nov 2013 A	2013/05/24	2013/05/27
69477	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 M	2013/05/24	2013/05/27
69491	Credit Suisse AG – HSI R Bull CBBC Dec 2013 I	2013/05/24	2013/05/27
69489	Credit Suisse AG – HSI R Bull CBBC Dec 2013 H	2013/05/24	2013/05/27
68984	Credit Suisse AG – HSI R Bull CBBC Oct 2013 N	2013/05/24	2013/05/27
69476	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug 13B	2013/05/24	2013/05/27
69313	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 H	2013/05/24	2013/05/27
69483	UBS AG – HSI R Bull CBBC Apr 2014 E	2013/05/24	2013/05/27
63244	UBS AG – AIA R Bull CBBC May 2013 A	2013/05/24	2013/05/28
69184	HK Bank – PICC Group R Bull CBBC Dec 2013 A	2013/05/24	2013/05/27
69485	Credit Suisse AG – HSI R Bull CBBC Dec 2013 F	2013/05/24	2013/05/27
69475	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug 13I	2013/05/24	2013/05/27
60772	UBS AG – CSOP A50 ETF R Bear CBBC May 2013 A	2013/05/24	2013/05/28
68989	UBS AG – HSI R Bull CBBC Dec 2013 B	2013/05/24	2013/05/27
68982	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 L	2013/05/24	2013/05/27
64528	UBS AG – China Shenhua R Bear CBBC May 2013 A	2013/05/24	2013/05/28
69238	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 C	2013/05/24	2013/05/27
69304	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 H	2013/05/24	2013/05/27
69203	UBS AG – CNOOC R Bull CBBC Nov 2013 A	2013/05/24	2013/05/27
69268	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 N	2013/05/24	2013/05/27
68961	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 H	2013/05/24	2013/05/27
68993	HK Bank – CNOOC R Bull CBBC Nov 2013 B	2013/05/24	2013/05/27
69275	UBS AG – China Mobile R Bull CBBC Nov 2013 C	2013/05/24	2013/05/27
69484	UBS AG – HSI R Bull CBBC Oct 2013 T	2013/05/24	2013/05/27
65462	HK Bank – Sinopec Corp R Bull CBBC Oct 2013 A	2013/05/24	2013/05/27
69562	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 H	2013/05/27	2013/05/28
69581	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 C	2013/05/27	2013/05/28
69574	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 R	2013/05/27	2013/05/28
69558	Credit Suisse AG – HSI R Bull CBBC Feb 2014 L	2013/05/27	2013/05/28
69559	Credit Suisse AG – HSI R Bull CBBC Feb 2014 M	2013/05/27	2013/05/28
69164	UBS AG – PICC Group R Bull CBBC Dec 2013 A	2013/05/27	2013/05/28
69561	Credit Suisse AG – HSI R Bull CBBC Feb 2014 O	2013/05/27	2013/05/28
69563	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 I	2013/05/27	2013/05/28
69564	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 J	2013/05/27	2013/05/28
69565	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 K	2013/05/27	2013/05/28

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69567	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 L	2013/05/27	2013/05/28
69568	UBS AG – HSI R Bull CBBC Oct 2013 U	2013/05/27	2013/05/28
69570	UBS AG – HSI R Bull CBBC Dec 2013 J	2013/05/27	2013/05/28
69571	UBS AG – HSI R Bull CBBC Oct 2013 V	2013/05/27	2013/05/28
69572	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 P	2013/05/27	2013/05/28
69573	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 Q	2013/05/27	2013/05/28
69560	Credit Suisse AG – HSI R Bull CBBC Feb 2014 N	2013/05/27	2013/05/28
69542	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 V	2013/05/27	2013/05/28
69527	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 A	2013/05/27	2013/05/28
69528	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 H	2013/05/27	2013/05/28
69505	Credit Suisse AG – HSI R Bull CBBC Feb 2014 K	2013/05/27	2013/05/28
66538	HK Bank – ABC R Bull CBBC May 2013 C	2013/05/27	2013/05/29
69583	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 E	2013/05/27	2013/05/28
69526	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 L	2013/05/27	2013/05/28
69534	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 A	2013/05/27	2013/05/28
69535	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 B	2013/05/27	2013/05/28
69537	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 C	2013/05/27	2013/05/28
69538	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 D	2013/05/27	2013/05/28
69539	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 S	2013/05/27	2013/05/28
69541	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 U	2013/05/27	2013/05/28
69585	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 F	2013/05/27	2013/05/28
69543	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 W	2013/05/27	2013/05/28
69544	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 X	2013/05/27	2013/05/28
69525	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 M	2013/05/27	2013/05/28
69545	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 Y	2013/05/27	2013/05/28
69546	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 Z	2013/05/27	2013/05/28
69493	HK Bank – Hutchison R Bull CBBC Oct 2013 B	2013/05/27	2013/05/28
69582	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 D	2013/05/27	2013/05/28
69495	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 K	2013/05/27	2013/05/28
69540	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 T	2013/05/27	2013/05/28
69600	Credit Suisse AG – HSI R Bull CBBC Jan 2014 P	2013/05/28	2013/05/29
69598	HK Bank – HSI R Bull CBBC Dec 2013 J	2013/05/28	2013/05/29
69602	Credit Suisse AG – HSI R Bull CBBC Jan 2014 R	2013/05/28	2013/05/29
69617	UBS AG – HSI R Bull CBBC Sep 2013 I	2013/05/28	2013/05/29
69601	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Q	2013/05/28	2013/05/29
69616	UBS AG – HSI R Bull CBBC Dec 2013 F	2013/05/28	2013/05/29
69603	UBS AG – HSI R Bull CBBC Nov 2013 P	2013/05/28	2013/05/29
68389	UBS AG – CNBM R Bull CBBC Dec 2013 A	2013/05/28	2013/05/29
69588	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 M	2013/05/28	2013/05/29
69605	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 S	2013/05/28	2013/05/29
69589	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 K	2013/05/28	2013/05/29
69154	UBS AG – CAM CSI300 R Bear CBBC Dec 2013 A	2013/05/28	2013/05/29
66115	Credit Suisse AG – HSI R Bear CBBC May 2013 M	2013/05/29	2013/05/31
66120	Credit Suisse AG – HSI R Bear CBBC May 2013 N	2013/05/29	2013/05/31
64895	Credit Suisse AG – HSI R Bull CBBC May 2013 P	2013/05/29	2013/05/31
66121	Credit Suisse AG – HSI R Bear CBBC May 2013 O	2013/05/29	2013/05/31
66122	Credit Suisse AG – HSI R Bear CBBC May 2013 P	2013/05/29	2013/05/31
60281	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 C	2013/05/29	2013/05/31
66130	UBS AG – HSCEI R Bear CBBC May 2013 A	2013/05/29	2013/05/31
67416	Credit Suisse AG – HSI R Bear CBBC May 2013 Y	2013/05/29	2013/05/31
63546	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2013 A	2013/05/29	2013/05/31
63542	UBS AG – HSI R Bull CBBC May 2013 S	2013/05/29	2013/05/31
63525	UBS AG – HSI R Bull CBBC May 2013 R	2013/05/29	2013/05/31
60774	HK Bank – HSI R Bear CBBC May 2013 C	2013/05/29	2013/05/31
69209	UBS AG – Hutchison R Bull CBBC Nov 2013 A	2013/05/29	2013/05/30
67965	Standard Chartered Bank – HSI R Bear CBBC May 2013 A	2013/05/29	2013/05/31
68135	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 J	2013/05/29	2013/05/31
66379	J P Morgan SP BV – HSI R Bear CBBC May 2013 W	2013/05/29	2013/05/31
65509	UBS AG – HSI R Bear CBBC May 2013 Z	2013/05/29	2013/05/31
60279	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 B	2013/05/29	2013/05/31
66381	J P Morgan SP BV – HSI R Bear CBBC May 2013 X	2013/05/29	2013/05/31
60251	Credit Suisse AG – HSI R Bear CBBC May 2013 X	2013/05/29	2013/05/31
60211	Credit Suisse AG – HSI R Bear CBBC May 2013 W	2013/05/29	2013/05/31
68132	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 I	2013/05/29	2013/05/31
60196	UBS AG – HSI R Bear CBBC May 2013 T	2013/05/29	2013/05/31
60195	UBS AG – HSI R Bear CBBC May 2013 R	2013/05/29	2013/05/31
66999	UBS AG – HSI R Bull CBBC May 2013 C	2013/05/29	2013/05/31
60192	Credit Suisse AG – HSI R Bear CBBC May 2013 V	2013/05/29	2013/05/31
66539	Credit Suisse AG – HSI R Bear CBBC May 2013 S	2013/05/29	2013/05/31
66052	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 I	2013/05/29	2013/05/31
63334	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 B	2013/05/29	2013/05/31
60890	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 Z	2013/05/29	2013/05/31
66961	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 P	2013/05/29	2013/05/31
60892	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 R	2013/05/29	2013/05/31
63065	UBS AG – HSI R Bull CBBC May 2013 O	2013/05/29	2013/05/31
60891	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 K	2013/05/29	2013/05/31
64173	J P Morgan SP BV – HSI R Bull CBBC May 2013 I	2013/05/29	2013/05/31
64169	J P Morgan SP BV – HSI R Bull CBBC May 2013 H	2013/05/29	2013/05/31
66083	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 D	2013/05/29	2013/05/31
65603	UBS AG – HSI R Bear CBBC May 2013 A	2013/05/29	2013/05/31
66535	Credit Suisse AG – HSI R Bear CBBC May 2013 Q	2013/05/29	2013/05/31
60106	Credit Suisse AG – HSI R Bear CBBC May 2013 K	2013/05/29	2013/05/31
60141	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 T	2013/05/29	2013/05/31
60142	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 U	2013/05/29	2013/05/31
60147	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 V	2013/05/29	2013/05/31
60148	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 W	2013/05/29	2013/05/31
60167	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 L	2013/05/29	2013/05/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63805	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 C	2013/05/29	2013/05/31
68619	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 I	2013/05/29	2013/05/31
64095	J P Morgan SP BV – HSI R Bull CBBC May 2013 G	2013/05/29	2013/05/31
68431	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 R	2013/05/29	2013/05/31
69451	HK Bank – HSI R Bull CBBC May 2013 B	2013/05/29	2013/05/31
65998	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 A	2013/05/29	2013/05/31
66013	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 B	2013/05/29	2013/05/31
63279	UBS AG – HSI R Bull CBBC May 2013 P	2013/05/29	2013/05/31
63134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 J	2013/05/29	2013/05/31
60186	Credit Suisse AG – HSI R Bear CBBC May 2013 U	2013/05/29	2013/05/31
60171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 P	2013/05/29	2013/05/31
60170	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 O	2013/05/29	2013/05/31
60889	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 Y	2013/05/29	2013/05/31
66014	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 C	2013/05/29	2013/05/31
66112	Credit Suisse AG – HSI R Bear CBBC May 2013 L	2013/05/29	2013/05/31
60169	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 N	2013/05/29	2013/05/31
65052	UBS AG – HSI R Bull CBBC May 2013 H	2013/05/29	2013/05/31
63333	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 A	2013/05/29	2013/05/31
62900	HK Bank – HSI R Bull CBBC May 2013 C	2013/05/29	2013/05/31
66087	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 F	2013/05/29	2013/05/31
60168	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 M	2013/05/29	2013/05/31
66086	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 E	2013/05/29	2013/05/31
66537	Credit Suisse AG – HSI R Bear CBBC May 2013 R	2013/05/29	2013/05/31
68395	UBS AG – HSCEI R Bear CBBC May 2013 B	2013/05/29	2013/05/31
63574	Credit Suisse AG – HSI R Bull CBBC May 2013 B	2013/05/29	2013/05/31
69625	Credit Suisse AG – HSI R Bull CBBC Dec 2013 J	2013/05/29	2013/05/30
66750	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 G	2013/05/29	2013/05/31
66938	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 B	2013/05/29	2013/05/31
60484	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 D	2013/05/29	2013/05/31
63243	UBS AG – HSI R Bull CBBC May 2013 K	2013/05/29	2013/05/31
60426	Credit Suisse AG – HSI R Bear CBBC May 2013 I	2013/05/29	2013/05/31
66555	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 M	2013/05/29	2013/05/31
60908	Standard Chartered Bank – HSI R Bear CBBC May 2013 C	2013/05/29	2013/05/31
60602	Credit Suisse AG – HSI R Bear CBBC May 2013 A	2013/05/29	2013/05/31
60964	Standard Chartered Bank – HSI R Bear CBBC May 2013 F	2013/05/29	2013/05/31
69644	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 F	2013/05/29	2013/05/30
68049	UBS AG – HSI R Bull CBBC May 2013 L	2013/05/29	2013/05/31
64488	UBS AG – HSI R Bear CBBC May 2013 Q	2013/05/29	2013/05/31
60624	UBS AG – HSCEI R Bear CBBC May 2013 D	2013/05/29	2013/05/31
60424	Credit Suisse AG – HSI R Bear CBBC May 2013 H	2013/05/29	2013/05/31
66334	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 J	2013/05/29	2013/05/31
68970	HK Bank – SHK Ppt R Bull CBBC Jan 2014 A	2013/05/29	2013/05/30
60907	Standard Chartered Bank – HSI R Bear CBBC May 2013 B	2013/05/29	2013/05/31
60409	UBS AG – HSI R Bear CBBC May 2013 U	2013/05/29	2013/05/31
69620	UBS AG – HSI R Bull CBBC Nov 2013 S	2013/05/29	2013/05/30
69621	UBS AG – HSI R Bull CBBC Oct 2013 X	2013/05/29	2013/05/30
69624	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 E	2013/05/29	2013/05/30
66977	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 Q	2013/05/29	2013/05/31
63871	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 E	2013/05/29	2013/05/31
66683	UBS AG – HSI R Bear CBBC May 2013 B	2013/05/29	2013/05/31
65319	J P Morgan SP BV – HSI R Bear CBBC May 2013 T	2013/05/29	2013/05/31
66581	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 N	2013/05/29	2013/05/31
67015	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 E	2013/05/29	2013/05/31
67014	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 D	2013/05/29	2013/05/31
60533	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 U	2013/05/29	2013/05/31
65343	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 B	2013/05/29	2013/05/31
65345	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 C	2013/05/29	2013/05/31
60572	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 G	2013/05/29	2013/05/31
66751	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 H	2013/05/29	2013/05/31
60574	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 I	2013/05/29	2013/05/31
66950	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 O	2013/05/29	2013/05/31
60486	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 F	2013/05/29	2013/05/31
60485	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 E	2013/05/29	2013/05/31
63870	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 D	2013/05/29	2013/05/31
66200	HK Bank – HSI R Bull CBBC May 2013 A	2013/05/29	2013/05/31
60578	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2013 K	2013/05/29	2013/05/31
60962	Standard Chartered Bank – HSI R Bear CBBC May 2013 D	2013/05/29	2013/05/31
63780	HK Bank – HSI R Bull CBBC May 2013 F	2013/05/29	2013/05/31
60963	Standard Chartered Bank – HSI R Bear CBBC May 2013 E	2013/05/29	2013/05/31
63776	J P Morgan SP BV – HSI R Bull CBBC May 2013 K	2013/05/29	2013/05/31
67013	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 C	2013/05/29	2013/05/31
65347	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 D	2013/05/29	2013/05/31
69640	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 E	2013/05/29	2013/05/30
60349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2013 Q	2013/05/29	2013/05/31
60350	Credit Suisse AG – HSI R Bear CBBC May 2013 G	2013/05/29	2013/05/31
66336	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 K	2013/05/29	2013/05/31
68382	UBS AG – HSI R Bull CBBC May 2013 M	2013/05/29	2013/05/31
66338	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 L	2013/05/29	2013/05/31
60355	Credit Suisse AG – HSI R Bear CBBC May 2013 Z	2013/05/29	2013/05/31
69626	Credit Suisse AG – HSI R Bull CBBC Dec 2013 K	2013/05/29	2013/05/30
66542	Credit Suisse AG – HSI R Bear CBBC May 2013 T	2013/05/29	2013/05/31
65540	HK Bank – HSI R Bull CBBC May 2013 E	2013/05/29	2013/05/31
60396	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC May13 X	2013/05/29	2013/05/31
69641	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 I	2013/05/29	2013/05/30
69642	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 J	2013/05/29	2013/05/30
63568	Credit Suisse AG – HSI R Bull CBBC May 2013 A	2013/05/29	2013/05/31
69657	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 G	2013/05/30	2013/05/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69656	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 F	2013/05/30	2013/05/31
69655	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 N	2013/05/30	2013/05/31
69658	UBS AG – HSI R Bull CBBC Dec 2013 K	2013/05/30	2013/05/31
64915	HK Bank – PetCh R Bull CBBC Oct 2013 B	2013/05/30	2013/05/31
69660	UBS AG – HSI R Bull CBBC Mar 2014 Q	2013/05/30	2013/05/31
69731	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 A	2013/05/30	2013/05/31
69662	Credit Suisse AG – HSI R Bull CBBC Dec 2013 L	2013/05/30	2013/05/31
69666	Credit Suisse AG – HSI R Bull CBBC Dec 2013 N	2013/05/30	2013/05/31
69062	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Oct 2013 C	2013/05/30	2013/05/31
69667	Credit Suisse AG – HSI R Bull CBBC Dec 2013 O	2013/05/30	2013/05/31
69659	UBS AG – HSI R Bull CBBC Dec 2013 L	2013/05/30	2013/05/31
60971	Credit Suisse AG – CSOP A50 ETF R Bear CBBC May 2013 C	2013/05/30	2013/06/03
68958	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 E	2013/05/30	2013/05/31
68951	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 Q	2013/05/30	2013/05/31
68959	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 F	2013/05/30	2013/05/31
69639	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 H	2013/05/30	2013/05/31
69278	UBS AG – Cheung Kong R Bull CBBC Nov 2013 A	2013/05/30	2013/05/31
68943	UBS AG – HSI R Bull CBBC Oct 2013 M	2013/05/30	2013/05/31
69208	UBS AG – SHK Ppt R Bull CBBC Jan 2014 C	2013/05/30	2013/05/31
68938	Credit Suisse AG – HSI R Bull CBBC Nov 2013 S	2013/05/30	2013/05/31
69038	UBS AG – HSI R Bull CBBC Jan 2014 E	2013/05/30	2013/05/31
69652	HK Bank – CPIC R Bull CBBC Nov 2013 A	2013/05/30	2013/05/31
69529	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 H	2013/05/30	2013/05/31
69349	UBS AG – HSI R Bull CBBC Mar 2014 O	2013/05/30	2013/05/31
69168	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 T	2013/05/30	2013/05/31
69305	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 B	2013/05/30	2013/05/31
69044	HK Bank – HSI R Bull CBBC Oct 2013 J	2013/05/30	2013/05/31
69237	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 B	2013/05/30	2013/05/31
68937	Credit Suisse AG – HSI R Bull CBBC Sep 2013 V	2013/05/30	2013/05/31
63467	HK Bank – Sinopec Corp R Bull CBBC May 2013 A	2013/05/30	2013/06/03
68884	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 Z	2013/05/30	2013/05/31
69680	UBS AG – HSI R Bull CBBC Nov 2013 Q	2013/05/30	2013/05/31
68895	Credit Suisse AG – HSI R Bull CBBC Oct 2013 E	2013/05/30	2013/05/31
69682	Credit Suisse AG – HSI R Bull CBBC Dec 2013 R	2013/05/30	2013/05/31
69312	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 G	2013/05/30	2013/05/31
69619	UBS AG – AIA R Bull CBBC Jun 2014 A	2013/05/30	2013/05/31
68886	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 A	2013/05/30	2013/05/31
69023	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 D	2013/05/30	2013/05/31
69668	Credit Suisse AG – HSI R Bull CBBC Dec 2013 P	2013/05/30	2013/05/31
69338	Credit Suisse AG – HSI R Bull CBBC Jan 2014 N	2013/05/30	2013/05/31
69684	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 J	2013/05/30	2013/05/31
68897	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 G	2013/05/30	2013/05/31
68773	UBS AG – Anhui Conch R Bull CBBC Jul 2014 A	2013/05/30	2013/05/31
69218	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 L	2013/05/30	2013/05/31
68977	Credit Suisse AG – HSI R Bull CBBC Oct 2013 J	2013/05/30	2013/05/31
68894	Credit Suisse AG – HSI R Bull CBBC Nov 2013 P	2013/05/30	2013/05/31
68885	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 V	2013/05/30	2013/05/31
69593	HK Bank – AIA R Bull CBBC Nov 2013 C	2013/05/30	2013/05/31
69695	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 I	2013/05/30	2013/05/31
68985	Credit Suisse AG – HSI R Bull CBBC Oct 2013 O	2013/05/30	2013/05/31
69697	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 W	2013/05/30	2013/05/31
69669	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 T	2013/05/30	2013/05/31
69670	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 U	2013/05/30	2013/05/31
69671	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 V	2013/05/30	2013/05/31
69681	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Q	2013/05/30	2013/05/31
69673	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 I	2013/05/30	2013/05/31
69122	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 E	2013/05/30	2013/05/31
69699	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 X	2013/05/30	2013/05/31
68900	UBS AG – HSI R Bull CBBC Dec 2013 Z	2013/05/30	2013/05/31
69693	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 H	2013/05/30	2013/05/31
68988	UBS AG – HSI R Bull CBBC Jan 2014 D	2013/05/30	2013/05/31
68901	UBS AG – HSI R Bull CBBC Nov 2013 D	2013/05/30	2013/05/31
69672	UBS AG – HSI R Bull CBBC Oct 2013 Y	2013/05/30	2013/05/31
68329	UBS AG – Hutchison R Bull CBBC Jun 2013 B	2013/05/31	2013/06/04
60927	UBS AG – CSOP A50 ETF R Bear CBBC Jun 2013 B	2013/05/31	2013/06/04
69830	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 A	2013/05/31	2013/06/03
67591	UBS AG – HSBC R Bull CBBC Jun 2013 A	2013/05/31	2013/06/04
69822	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 Z	2013/05/31	2013/06/03
69784	Credit Suisse AG – HSI R Bull CBBC Jan 2014 S	2013/05/31	2013/06/03
69797	UBS AG – HSI R Bull CBBC Nov 2013 T	2013/05/31	2013/06/03
63822	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 B	2013/05/31	2013/06/04
68507	HK Bank – Lenovo R Bear CBBC Jun 2013 B	2013/05/31	2013/06/04
69786	UBS AG – HSI R Bull CBBC Mar 2014 R	2013/05/31	2013/06/03
69787	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 13L	2013/05/31	2013/06/03
69788	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 J	2013/05/31	2013/06/03
69789	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 K	2013/05/31	2013/06/03
69045	HK Bank – Galaxy Ent R Bear CBBC Jan 2014 A	2013/05/31	2013/06/03
65404	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 C	2013/05/31	2013/06/03
69809	Credit Suisse AG – HSI R Bull CBBC Jan 2014 T	2013/05/31	2013/06/03
69810	Credit Suisse AG – HSI R Bull CBBC Jan 2014 U	2013/05/31	2013/06/03
68667	HK Bank – Anhui Conch R Bull CBBC Sep 2014 A	2013/06/03	2013/06/04
67888	HK Bank – China Life R Bull CBBC Sep 2013 B	2013/06/03	2013/06/04
69897	UBS AG – HSI R Bull CBBC Feb 2014 O	2013/06/03	2013/06/04
69893	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Z	2013/06/03	2013/06/04
69891	Credit Suisse AG – HSI R Bull CBBC Nov 2013 F	2013/06/03	2013/06/04
69885	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 E	2013/06/03	2013/06/04
69844	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 M	2013/06/03	2013/06/04

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69837	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 O	2013/06/03	2013/06/04
67811	UBS AG – China Life R Bull CBBC Jul 2013 E	2013/06/03	2013/06/04
68960	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 G	2013/06/03	2013/06/04
69098	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Z	2013/06/03	2013/06/04
65676	HK Bank – TCH R Bear CBBC Oct 2013 A	2013/06/03	2013/06/04
68927	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 F	2013/06/03	2013/06/04
63342	HK Bank – A50 R Bull CBBC Jun 2013 B	2013/06/03	2013/06/05
67829	HK Bank – CNBM R Bull CBBC Nov 2013 A	2013/06/03	2013/06/04
69492	UBS AG – TCH R Bear CBBC Nov 2013 A	2013/06/03	2013/06/04
69354	HK Bank – Evergrande R Bull CBBC Feb 2014 A	2013/06/03	2013/06/04
69422	UBS AG – Ping An R Bull CBBC Nov 2013 B	2013/06/03	2013/06/04
69819	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 Y	2013/06/03	2013/06/04
68944	UBS AG – HSI R Bull CBBC Nov 2013 E	2013/06/03	2013/06/04
69387	UBS AG – Evergrande R Bull CBBC Feb 2014 A	2013/06/03	2013/06/04
69043	Credit Suisse AG – HSI R Bull CBBC Nov 2013 W	2013/06/03	2013/06/04
68980	Credit Suisse AG – HSI R Bull CBBC Sep 2013 H	2013/06/03	2013/06/04
69183	HK Bank – Lenovo R Bear CBBC Jan 2014 A	2013/06/04	2013/06/05
69912	Credit Suisse AG – HSI R Bull CBBC Nov 2013 C	2013/06/04	2013/06/05
69921	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 N	2013/06/04	2013/06/05
69922	UBS AG – HSI R Bull CBBC Oct 2013 Z	2013/06/04	2013/06/05
69924	UBS AG – HSI R Bull CBBC Dec 2013 M	2013/06/04	2013/06/05
69925	UBS AG – HSI R Bull CBBC Mar 2014 S	2013/06/04	2013/06/05
69929	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Z	2013/06/04	2013/06/05
69914	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 C	2013/06/04	2013/06/05
69930	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 B	2013/06/04	2013/06/05
68887	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 P	2013/06/04	2013/06/05
69936	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 D	2013/06/04	2013/06/05
69913	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 B	2013/06/04	2013/06/05
69937	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 E	2013/06/04	2013/06/05
69938	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 M	2013/06/04	2013/06/05
69940	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 N	2013/06/04	2013/06/05
69236	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 A	2013/06/04	2013/06/05
69196	UBS AG – HSCEI R Bull CBBC Nov 2013 A	2013/06/04	2013/06/05
68909	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 B	2013/06/04	2013/06/05
68903	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 A	2013/06/04	2013/06/05
68896	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Q	2013/06/04	2013/06/05
69935	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 C	2013/06/04	2013/06/05
69920	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 D	2013/06/04	2013/06/05
68963	HK Bank – HSI R Bull CBBC Sep 2013 J	2013/06/04	2013/06/05
68845	Credit Suisse AG – HSI R Bull CBBC Sep 2013 N	2013/06/04	2013/06/05
68883	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 Y	2013/06/04	2013/06/05
68967	HK Bank – China Mobile R Bull CBBC Nov 2013 D	2013/06/04	2013/06/05
69430	HK Bank – ABC R Bull CBBC Jan 2014 A	2013/06/04	2013/06/05
68860	UBS AG – HSI R Bull CBBC Oct 2013 L	2013/06/04	2013/06/05
68952	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 R	2013/06/04	2013/06/05
69306	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 D	2013/06/04	2013/06/05
69904	Credit Suisse AG – HSI R Bull CBBC Nov 2013 B	2013/06/04	2013/06/05
69906	Credit Suisse AG – HSI R Bull CBBC Nov 2013 X	2013/06/04	2013/06/05
69911	Credit Suisse AG – HSI R Bull CBBC Nov 2013 Y	2013/06/04	2013/06/05
68986	UBS AG – HSI R Bull CBBC Jan 2014 C	2013/06/04	2013/06/05
68904	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 B	2013/06/05	2013/06/06
69650	HK Bank – CAM CSI300 R Bull CBBC Nov 2013 B	2013/06/05	2013/06/06
68908	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 D	2013/06/05	2013/06/06
68736	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 H	2013/06/05	2013/06/06
68981	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 J	2013/06/05	2013/06/06
68732	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 S	2013/06/05	2013/06/06
69638	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 G	2013/06/05	2013/06/06
68946	UBS AG – HSI R Bull CBBC Dec 2013 A	2013/06/05	2013/06/06
69176	HK Bank – Cheung Kong R Bull CBBC Dec 2013 A	2013/06/05	2013/06/06
69172	HK Bank – HSI R Bull CBBC Dec 2013 I	2013/06/05	2013/06/06
69995	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 P	2013/06/05	2013/06/06
69986	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 O	2013/06/05	2013/06/06
69167	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 R	2013/06/05	2013/06/06
68776	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 F	2013/06/05	2013/06/06
68751	Credit Suisse AG – HSI R Bull CBBC Oct 2013 G	2013/06/05	2013/06/06
69311	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 F	2013/06/05	2013/06/06
68710	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Q	2013/06/05	2013/06/06
68936	Credit Suisse AG – HSI R Bull CBBC Nov 2013 R	2013/06/05	2013/06/06
68935	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 G	2013/06/05	2013/06/06
68712	Credit Suisse AG – HSI R Bull CBBC Oct 2013 C	2013/06/05	2013/06/06
68713	Credit Suisse AG – HSI R Bull CBBC Oct 2013 D	2013/06/05	2013/06/06
69201	UBS AG – AIA R Bull CBBC May 2014 A	2013/06/05	2013/06/06
69254	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 G	2013/06/05	2013/06/06
68741	UBS AG – HSI R Bull CBBC Dec 2013 T	2013/06/05	2013/06/06
68966	HK Bank – HSCEI R Bull CBBC Sep 2013 D	2013/06/05	2013/06/06
67532	UBS AG – Petrochina R Bull CBBC Oct 2013 B	2013/06/05	2013/06/06
68719	UBS AG – HSI R Bull CBBC Mar 2014 L	2013/06/05	2013/06/06
69597	HK Bank – A50 R Bull CBBC Oct 2013 E	2013/06/05	2013/06/06
68720	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 E	2013/06/05	2013/06/06
69001	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 J	2013/06/05	2013/06/06
68962	HK Bank – HSI R Bull CBBC Nov 2013 J	2013/06/05	2013/06/06
68854	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 F	2013/06/05	2013/06/06
69761	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 K	2013/06/05	2013/06/06
69065	UBS AG – SHK Ppt R Bull CBBC Jan 2014 B	2013/06/05	2013/06/06
69952	HK Bank – HSI R Bull CBBC Nov 2013 N	2013/06/05	2013/06/06
69130	HK Bank – Minsheng Bank R Bull CBBC Oct 2013 B	2013/06/05	2013/06/06
60000	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 Q	2013/06/05	2013/06/06

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68853	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 P	2013/06/05	2013/06/06
68843	Credit Suisse AG – HSI R Bull CBBC Sep 2013 M	2013/06/05	2013/06/06
68800	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 K	2013/06/05	2013/06/06
68846	Credit Suisse AG – HSI R Bull CBBC Sep 2013 U	2013/06/05	2013/06/06
68801	Credit Suisse AG – HSI R Bull CBBC Nov 2013 N	2013/06/05	2013/06/06
69854	UBS AG – Minsheng Bank R Bull CBBC Oct 2013 A	2013/06/05	2013/06/06
68893	Credit Suisse AG – HSI R Bull CBBC Oct 2013 I	2013/06/05	2013/06/06
68859	UBS AG – HSI R Bull CBBC Dec 2013 Y	2013/06/05	2013/06/06
69097	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 C	2013/06/05	2013/06/06
68875	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 X	2013/06/05	2013/06/06
68890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 R	2013/06/05	2013/06/06
68798	UBS AG – HSI R Bull CBBC Oct 2013 J	2013/06/05	2013/06/06
68898	UBS AG – HSI R Bull CBBC Oct 2013 N	2013/06/05	2013/06/06
68899	UBS AG – HSI R Bull CBBC Nov 2013 C	2013/06/05	2013/06/06
69970	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 W	2013/06/05	2013/06/06
69969	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 V	2013/06/05	2013/06/06
69048	HK Bank – AIA R Bull CBBC Nov 2013 B	2013/06/05	2013/06/06
69968	Credit Suisse AG – HSI R Bull CBBC Jan 2014 W	2013/06/05	2013/06/06
69967	UBS AG – HSI R Bull CBBC Nov 2013 U	2013/06/05	2013/06/06
68850	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 O	2013/06/05	2013/06/06
69962	UBS AG – HSI R Bull CBBC Nov 2013 R	2013/06/05	2013/06/06
63605	UBS AG – China Mobile R Bull CBBC Jul 2013 A	2013/06/05	2013/06/06
69954	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 Q	2013/06/05	2013/06/06
69955	Credit Suisse AG – HSI R Bull CBBC Jan 2014 V	2013/06/05	2013/06/06
68841	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 B	2013/06/05	2013/06/06
69957	UBS AG – HSI R Bull CBBC Dec 2013 N	2013/06/05	2013/06/06
68754	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 J	2013/06/06	2013/06/07
68992	HK Bank – COVS R Bull CBBC Nov 2013 C	2013/06/06	2013/06/07
68733	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 U	2013/06/06	2013/06/07
69111	UBS AG – CUni R Bull CBBC Dec 2013 A	2013/06/06	2013/06/07
68828	J P Morgan SP BV – HSI R Bull CBBC Sep 2013 B	2013/06/06	2013/06/07
69777	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 13K	2013/06/06	2013/06/07
69762	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 L	2013/06/06	2013/06/07
68735	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 A	2013/06/06	2013/06/07
68753	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 I	2013/06/06	2013/06/07
69348	UBS AG – HSI R Bull CBBC May 2014 C	2013/06/06	2013/06/07
60082	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 F	2013/06/06	2013/06/07
60083	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Z	2013/06/06	2013/06/07
68968	HK Bank – Hutchison R Bull CBBC Nov 2013 D	2013/06/06	2013/06/07
68747	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 D	2013/06/06	2013/06/07
68718	UBS AG – HSI R Bull CBBC Dec 2013 S	2013/06/06	2013/06/07
68749	Credit Suisse AG – HSI R Bull CBBC Sep 2013 R	2013/06/06	2013/06/07
68714	Credit Suisse AG – HSI R Bull CBBC Sep 2013 P	2013/06/06	2013/06/07
60033	UBS AG – HSI R Bull CBBC Oct 2013 A	2013/06/06	2013/06/07
60040	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 13O	2013/06/06	2013/06/07
68775	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 A	2013/06/06	2013/06/07
60035	Credit Suisse AG – HSI R Bull CBBC Jan 2014 M	2013/06/06	2013/06/07
60034	UBS AG – HSI R Bull CBBC Mar 2014 T	2013/06/06	2013/06/07
69551	UBS AG – A50 China R Bull CBBC Jan 2014 E	2013/06/06	2013/06/07
69953	HK Bank – HSI R Bull CBBC Oct 2013 L	2013/06/06	2013/06/07
69596	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2013 B	2013/06/06	2013/06/07
68799	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 I	2013/06/06	2013/06/07
60061	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 R	2013/06/06	2013/06/07
68771	UBS AG – HSI R Bull CBBC Nov 2013 A	2013/06/06	2013/06/07
60065	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 L	2013/06/06	2013/06/07
60066	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 M	2013/06/06	2013/06/07
68797	UBS AG – HSI R Bull CBBC Oct 2013 G	2013/06/06	2013/06/07
69553	UBS AG – CSOP A50 ETF R Bull CBBC Nov 2013 A	2013/06/06	2013/06/07
68785	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 D	2013/06/06	2013/06/07
69557	UBS AG – HSBC R Bull CBBC Dec 2013 B	2013/06/06	2013/06/07
68802	Credit Suisse AG – HSI R Bull CBBC Nov 2013 M	2013/06/06	2013/06/07
68784	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 S	2013/06/06	2013/06/07
60031	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Y	2013/06/06	2013/06/07
60080	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 E	2013/06/06	2013/06/07
60079	UBS AG – HSI R Bull CBBC Dec 2013 O	2013/06/06	2013/06/07
60032	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Z	2013/06/06	2013/06/07
68907	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2013 C	2013/06/06	2013/06/07
68891	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 U	2013/06/06	2013/06/07
69185	HK Bank – PICC Group R Bull CBBC Nov 2013 A	2013/06/07	2013/06/10
68212	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 D	2013/06/07	2013/06/10
68221	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 B	2013/06/07	2013/06/10
68413	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 M	2013/06/07	2013/06/10
69174	HK Bank – A50 R Bull CBBC Sep 2013 J	2013/06/07	2013/06/10
68726	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 A	2013/06/07	2013/06/10
68728	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 R	2013/06/07	2013/06/10
69076	HK Bank – CC Bank R Bull CBBC Jan 2014 A	2013/06/07	2013/06/10
68550	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 A	2013/06/07	2013/06/10
68725	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 P	2013/06/07	2013/06/10
68625	Credit Suisse AG – HSI R Bull CBBC Nov 2013 I	2013/06/07	2013/06/10
68278	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 Y	2013/06/07	2013/06/10
68412	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 J	2013/06/07	2013/06/10
69177	HK Bank – BOCL R Bull CBBC Mar 2014 A	2013/06/07	2013/06/10
68259	Credit Suisse AG – HSI R Bull CBBC Oct 2013 A	2013/06/07	2013/06/10
68249	UBS AG – HSI R Bull CBBC Nov 2013 X	2013/06/07	2013/06/10
69126	HK Bank – CPIC R Bull CBBC Oct 2013 A	2013/06/07	2013/06/10
65067	HK Bank – HSCEI R Bull CBBC Nov 2013 A	2013/06/07	2013/06/10
60732	UBS AG – Ping An R Bear CBBC Jun 2013 B	2013/06/07	2013/06/11

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68623	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 J	2013/06/07	2013/06/10
68505	Credit Suisse AG – HSI R Bull CBBC Nov 2013 G	2013/06/07	2013/06/10
68231	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 H	2013/06/07	2013/06/10
68715	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Q	2013/06/07	2013/06/10
68716	UBS AG – HSI R Bull CBBC Feb 2014 M	2013/06/07	2013/06/10
68717	UBS AG – HSI R Bull CBBC Feb 2014 N	2013/06/07	2013/06/10
69199	UBS AG – ICBC R Bull CBBC Nov 2013 A	2013/06/07	2013/06/10
68261	Credit Suisse AG – HSI R Bull CBBC Oct 2013 B	2013/06/07	2013/06/10
68604	UBS AG – HSI R Bull CBBC Feb 2014 K	2013/06/07	2013/06/10
68076	HK Bank – Cheung Kong R Bull CBBC Jun 2013 A	2013/06/07	2013/06/10
68233	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 L	2013/06/07	2013/06/10
68462	UBS AG – HSI R Bull CBBC Feb 2014 J	2013/06/07	2013/06/10
65382	UBS AG – Lenovo R Bear CBBC Jun 2013 B	2013/06/07	2013/06/11
68840	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 A	2013/06/07	2013/06/10
60098	UBS AG – HSI R Bull CBBC Nov 2013 V	2013/06/07	2013/06/10
60097	UBS AG – HSI R Bull CBBC Mar 2014 U	2013/06/07	2013/06/10
60094	Credit Suisse AG – HSI R Bull CBBC Feb 2014 R	2013/06/07	2013/06/10
69843	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 B	2013/06/07	2013/06/10
68813	Credit Suisse AG – HSI R Bull CBBC Sep 2013 S	2013/06/07	2013/06/10
68812	Credit Suisse AG – HSI R Bull CBBC Nov 2013 O	2013/06/07	2013/06/10
67999	UBS AG – HSI R Bull CBBC Mar 2014 J	2013/06/07	2013/06/10
68856	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 I	2013/06/07	2013/06/10
60089	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Q	2013/06/07	2013/06/10
68093	UBS AG – TCH R Bull CBBC Jun 2013 A	2013/06/07	2013/06/11
68955	UBS AG – Hutchison R Bull CBBC Oct 2013 B	2013/06/07	2013/06/10
68858	UBS AG – HSI R Bull CBBC Oct 2013 K	2013/06/07	2013/06/10
68855	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 G	2013/06/07	2013/06/10
68861	HK Bank – HSI R Bull CBBC Oct 2013 I	2013/06/07	2013/06/10
68770	UBS AG – HSI R Bull CBBC Mar 2014 M	2013/06/07	2013/06/10
68772	UBS AG – HSCEI R Bull CBBC Dec 2013 A	2013/06/07	2013/06/10
67601	UBS AG – Hutchison R Bear CBBC Jun 2013 A	2013/06/07	2013/06/11
68194	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 B	2013/06/07	2013/06/10
68871	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 O	2013/06/07	2013/06/10
68918	Credit Suisse AG – HSI R Bull CBBC Jan 2014 A	2013/06/07	2013/06/10
68774	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Z	2013/06/07	2013/06/10
68779	HK Bank – HSI R Bull CBBC Sep 2013 I	2013/06/07	2013/06/10
69654	HK Bank – HKEx R Bull CBBC Oct 2013 C	2013/06/07	2013/06/10
68796	UBS AG – HSI R Bull CBBC Dec 2013 X	2013/06/07	2013/06/10
69679	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 A	2013/06/07	2013/06/10
66229	UBS AG – Galaxy Ent R Bull CBBC Jun 2013 A	2013/06/07	2013/06/11
68760	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 M	2013/06/07	2013/06/10
68734	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 V	2013/06/07	2013/06/10
68759	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 L	2013/06/07	2013/06/10
68758	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 K	2013/06/07	2013/06/10
68965	HK Bank – HSI R Bull CBBC Dec 2013 G	2013/06/07	2013/06/10
60111	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 C	2013/06/07	2013/06/10
60113	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 F	2013/06/07	2013/06/10
68829	J P Morgan SP BV – HSI R Bull CBBC Sep 2013 C	2013/06/07	2013/06/10
60131	Credit Suisse AG – HSI R Bull CBBC Nov 2013 U	2013/06/10	2013/06/11
69110	UBS AG – Anhui Conch R Bull CBBC Aug 2014 A	2013/06/10	2013/06/11
60129	Credit Suisse AG – HSI R Bull CBBC Nov 2013 D	2013/06/10	2013/06/11
69424	UBS AG – Sinopec Corp R Bull CBBC Nov 2013 B	2013/06/10	2013/06/11
60173	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 D	2013/06/10	2013/06/11
68504	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 O	2013/06/10	2013/06/11
60144	UBS AG – HSI R Bull CBBC Nov 2013 W	2013/06/10	2013/06/11
68262	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Z	2013/06/10	2013/06/11
69462	HK Bank – Sinopec Corp R Bull CBBC Oct 2013 B	2013/06/10	2013/06/11
60130	Credit Suisse AG – HSI R Bull CBBC Nov 2013 E	2013/06/10	2013/06/11
68458	UBS AG – HSI R Bull CBBC Dec 2013 Q	2013/06/10	2013/06/11
60135	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 B	2013/06/10	2013/06/11
60146	UBS AG – HSI R Bull CBBC Feb 2014 P	2013/06/10	2013/06/11
68246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 M	2013/06/10	2013/06/11
64000	HK Bank – Sinopec Corp R Bull CBBC Jul 2013 A	2013/06/10	2013/06/11
64067	HK Bank – Anhui Conch R Bull CBBC Dec 2013 B	2013/06/10	2013/06/11
68872	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 B	2013/06/10	2013/06/11
68551	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 B	2013/06/10	2013/06/11
60150	UBS AG – HSI R Bull CBBC Nov 2013 Y	2013/06/10	2013/06/11
67017	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 H	2013/06/11	2013/06/13
63926	Bank of East Asia – China Shenhua R Bull CBBC Jun 2013 A	2013/06/11	2013/06/13
64926	UBS AG – HSI R Bull CBBC Jun 2013 N	2013/06/11	2013/06/13
68769	UBS AG – HSI R Bull CBBC Nov 2013 Z	2013/06/11	2013/06/13
66748	UBS AG – HKEx R Bull CBBC Dec 2013 A	2013/06/11	2013/06/13
68686	UBS AG – Evergrande R Bull CBBC Jan 2014 A	2013/06/11	2013/06/13
69157	UBS AG – CPIC R Bull CBBC Nov 2013 A	2013/06/11	2013/06/13
68795	UBS AG – HSI R Bull CBBC Nov 2013 B	2013/06/11	2013/06/13
67019	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 I	2013/06/11	2013/06/13
65572	HK Bank – BOCL R Bull CBBC Oct 2013 A	2013/06/11	2013/06/13
68866	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2013 C	2013/06/11	2013/06/13
60049	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13C	2013/06/11	2013/06/13
66266	HK Bank – SHK Ppt R Bull CBBC Sep 2013 B	2013/06/11	2013/06/13
68220	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 F	2013/06/11	2013/06/13
68448	HK Bank – Evergrande R Bull CBBC Jan 2014 A	2013/06/11	2013/06/13
69106	UBS AG – CNBM R Bull CBBC Nov 2013 A	2013/06/11	2013/06/13
60026	Credit Suisse AG – HSI R Bull CBBC Jan 2014 X	2013/06/11	2013/06/13
68645	UBS AG – HSI R Bull CBBC Dec 2013 R	2013/06/11	2013/06/13
64952	Credit Suisse AG – HSI R Bull CBBC Jul 2013 V	2013/06/11	2013/06/13
69197	UBS AG – A50 China R Bull CBBC Jan 2014 D	2013/06/11	2013/06/13

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64925	Credit Suisse AG – HSI R Bull CBBC Jun 2013 O	2013/06/11	2013/06/13
66397	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 N	2013/06/11	2013/06/13
68815	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 C	2013/06/11	2013/06/13
63114	HK Bank – CNBM R Bull CBBC Jul 2013 A	2013/06/11	2013/06/13
66353	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 B	2013/06/11	2013/06/13
69073	HK Bank – ICBC R Bull CBBC Nov 2013 B	2013/06/11	2013/06/13
65022	HK Bank – HSI R Bull CBBC Aug 2013 E	2013/06/11	2013/06/13
69075	HK Bank – CNBM R Bull CBBC Dec 2013 B	2013/06/11	2013/06/13
65018	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 J	2013/06/11	2013/06/13
64948	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 X	2013/06/11	2013/06/13
60185	UBS AG – HSI R Bull CBBC Oct 2013 D	2013/06/11	2013/06/13
68506	Credit Suisse AG – HSI R Bull CBBC Sep 2013 B	2013/06/11	2013/06/13
60187	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 P	2013/06/11	2013/06/13
67598	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 X	2013/06/11	2013/06/13
66496	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 A	2013/06/11	2013/06/13
60184	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 P	2013/06/11	2013/06/13
65132	UBS AG – HSCEI R Bull CBBC Oct 2013 B	2013/06/11	2013/06/13
69445	UBS AG – PICC Group R Bull CBBC Jan 2014 A	2013/06/11	2013/06/13
66402	UBS AG – Anhui Conch R Bull CBBC Jun 2014 A	2013/06/11	2013/06/13
64956	UBS AG – HSI R Bull CBBC Jul 2013 P	2013/06/11	2013/06/13
68761	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 N	2013/06/11	2013/06/13
68463	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 N	2013/06/11	2013/06/13
66386	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 W	2013/06/11	2013/06/13
64965	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 F	2013/06/11	2013/06/13
65006	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 I	2013/06/11	2013/06/13
68416	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 G	2013/06/11	2013/06/13
68709	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 W	2013/06/11	2013/06/13
68624	Credit Suisse AG – HSI R Bull CBBC Nov 2013 H	2013/06/11	2013/06/13
60181	Credit Suisse AG – HSI R Bull CBBC Dec 2013 S	2013/06/11	2013/06/13
60183	Credit Suisse AG – HSI R Bull CBBC Dec 2013 T	2013/06/11	2013/06/13
60189	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 S	2013/06/11	2013/06/13
65186	HK Bank – HSI R Bull CBBC Oct 2013 F	2013/06/11	2013/06/13
61055	UBS AG – CSOP A50 ETF R Bull CBBC Aug 2013 A	2013/06/13	2013/06/14
68651	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 N	2013/06/13	2013/06/14
69766	HK Bank – HSCEI R Bull CBBC Nov 2013 D	2013/06/13	2013/06/14
69069	HK Bank – HSI R Bull CBBC Dec 2013 H	2013/06/13	2013/06/14
69125	HK Bank – CAM CSI300 R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
68643	UBS AG – HSI R Bull CBBC Mar 2014 K	2013/06/13	2013/06/14
65574	HK Bank – HKEx R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
68640	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 X	2013/06/13	2013/06/14
68569	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 F	2013/06/13	2013/06/14
69109	UBS AG – Yanzhou Coal R Bull CBBC Nov 2013 B	2013/06/13	2013/06/14
61053	UBS AG – A50 China R Bull CBBC Dec 2013 B	2013/06/13	2013/06/14
69853	UBS AG – Minsheng Bank R Bull CBBC Nov 2013 B	2013/06/13	2013/06/14
69046	HK Bank – CUni R Bull CBBC Oct 2013 C	2013/06/13	2013/06/14
68644	UBS AG – HSI R Bull CBBC Feb 2014 L	2013/06/13	2013/06/14
60222	UBS AG – HSI R Bull CBBC Dec 2013 P	2013/06/13	2013/06/14
60262	UBS AG – HSI R Bull CBBC Oct 2013 W	2013/06/13	2013/06/14
60261	UBS AG – HSI R Bull CBBC Dec 2013 I	2013/06/13	2013/06/14
60259	UBS AG – HSI R Bull CBBC Mar 2014 W	2013/06/13	2013/06/14
60258	UBS AG – HSI R Bull CBBC Apr 2014 F	2013/06/13	2013/06/14
60257	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 T	2013/06/13	2013/06/14
60246	Credit Suisse AG – HSI R Bull CBBC Jan 2014 L	2013/06/13	2013/06/14
60245	Credit Suisse AG – HSI R Bull CBBC Jan 2014 K	2013/06/13	2013/06/14
60243	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 W	2013/06/13	2013/06/14
60227	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 D	2013/06/13	2013/06/14
60225	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 D	2013/06/13	2013/06/14
60333	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 H	2013/06/13	2013/06/14
60223	UBS AG – HSI R Bull CBBC Mar 2014 V	2013/06/13	2013/06/14
68224	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 C	2013/06/13	2013/06/14
60221	Credit Suisse AG – HSI R Bull CBBC Jan 2014 J	2013/06/13	2013/06/14
60220	Credit Suisse AG – HSI R Bull CBBC Jan 2014 G	2013/06/13	2013/06/14
60219	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 Q	2013/06/13	2013/06/14
60217	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Q	2013/06/13	2013/06/14
60212	HK Bank – A50 R Bull CBBC Nov 2013 C	2013/06/13	2013/06/14
60210	HK Bank – HSI R Bull CBBC Dec 2013 K	2013/06/13	2013/06/14
60201	UBS AG – CC Bank R Bull CBBC Jan 2014 A	2013/06/13	2013/06/14
60197	UBS AG – China Life R Bull CBBC Jan 2014 A	2013/06/13	2013/06/14
68957	UBS AG – CC Bank R Bull CBBC Dec 2013 B	2013/06/13	2013/06/14
68727	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Y	2013/06/13	2013/06/14
68969	HK Bank – ABC R Bull CBBC Feb 2014 A	2013/06/13	2013/06/14
60224	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 E	2013/06/13	2013/06/14
60340	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 G	2013/06/13	2013/06/14
68794	UBS AG – HSI R Bull CBBC Dec 2013 W	2013/06/13	2013/06/14
68793	UBS AG – HSI R Bull CBBC Dec 2013 V	2013/06/13	2013/06/14
69160	UBS AG – HSI R Bull CBBC Oct 2013 R	2013/06/13	2013/06/14
68792	UBS AG – HSI R Bull CBBC Dec 2013 U	2013/06/13	2013/06/14
68791	UBS AG – HSI R Bull CBBC Jan 2014 B	2013/06/13	2013/06/14
68781	HK Bank – Yanzhou Coal R Bull CBBC Nov 2013 B	2013/06/13	2013/06/14
60027	Credit Suisse AG – HSI R Bull CBBC Feb 2014 P	2013/06/13	2013/06/14
69002	Credit Suisse AG – A50 China R Bull CBBC Oct 2013 B	2013/06/13	2013/06/14
60365	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 U	2013/06/13	2013/06/14
60362	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 T	2013/06/13	2013/06/14
68919	Credit Suisse AG – HSI R Bull CBBC Jan 2014 B	2013/06/13	2013/06/14
60277	Credit Suisse AG – HSI R Bull CBBC Jan 2014 E	2013/06/13	2013/06/14
60351	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 R	2013/06/13	2013/06/14
60282	Credit Suisse AG – HSI R Bull CBBC Jan 2014 F	2013/06/13	2013/06/14

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68677	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 W	2013/06/13	2013/06/14
68678	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 C	2013/06/13	2013/06/14
60335	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 J	2013/06/13	2013/06/14
60334	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 I	2013/06/13	2013/06/14
60325	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2013 G	2013/06/13	2013/06/14
60319	UBS AG – Petrochina R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
60302	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 G	2013/06/13	2013/06/14
60300	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 F	2013/06/13	2013/06/14
68690	UBS AG – China Shenhua R Bull CBBC Apr 2014 A	2013/06/13	2013/06/14
60291	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 R	2013/06/13	2013/06/14
69155	UBS AG – CAM CSI300 R Bull CBBC Feb 2014 A	2013/06/13	2013/06/14
60361	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 S	2013/06/13	2013/06/14
61326	UBS AG – China Life R Bull CBBC Jun 2013 D	2013/06/13	2013/06/14
65251	UBS AG – HSI R Bull CBBC Aug 2013 K	2013/06/13	2013/06/14
66490	HK Bank – Ping An R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
63820	UBS AG – CC Bank R Bull CBBC Aug 2013 A	2013/06/13	2013/06/14
66420	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 Y	2013/06/13	2013/06/14
63545	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 C	2013/06/13	2013/06/14
63775	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 G	2013/06/13	2013/06/14
67042	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 L	2013/06/13	2013/06/14
65009	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 J	2013/06/13	2013/06/14
67589	Credit Suisse AG – HSI R Bull CBBC Sep 2013 T	2013/06/13	2013/06/14
68328	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 M	2013/06/13	2013/06/14
63678	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
66384	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 V	2013/06/13	2013/06/14
65187	HK Bank – HSI R Bull CBBC Nov 2013 F	2013/06/13	2013/06/14
61221	UBS AG – HSI R Bull CBBC Nov 2013 F	2013/06/13	2013/06/14
64877	UBS AG – HSI R Bull CBBC Jul 2013 O	2013/06/13	2013/06/14
66369	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 T	2013/06/13	2013/06/14
64964	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 O	2013/06/13	2013/06/14
65211	HK Bank – AIA R Bull CBBC Oct 2013 B	2013/06/13	2013/06/14
67590	Credit Suisse AG – HSI R Bull CBBC Oct 2013 Q	2013/06/13	2013/06/14
67597	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 W	2013/06/13	2013/06/14
64841	Credit Suisse AG – HSI R Bull CBBC Jul 2013 P	2013/06/13	2013/06/14
65069	HK Bank – COVS R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
66383	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 U	2013/06/13	2013/06/14
64869	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Q	2013/06/13	2013/06/14
65290	UBS AG – HSI R Bull CBBC Sep 2013 E	2013/06/13	2013/06/14
67544	UBS AG – HSI R Bull CBBC Apr 2014 B	2013/06/13	2013/06/14
66142	UBS AG – BOCL R Bull CBBC Sep 2013 A	2013/06/13	2013/06/14
66460	Credit Suisse AG – HSI R Bull CBBC Nov 2013 J	2013/06/13	2013/06/14
66611	UBS AG – HSI R Bull CBBC Dec 2013 G	2013/06/13	2013/06/14
65099	UBS AG – HSI R Bull CBBC Aug 2013 I	2013/06/13	2013/06/14
63838	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 C	2013/06/13	2013/06/14
68614	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 A	2013/06/13	2013/06/14
63482	HK Bank – HSI R Bull CBBC Jul 2013 C	2013/06/13	2013/06/14
64870	Credit Suisse AG – HSI R Bull CBBC Jul 2013 R	2013/06/13	2013/06/14
63895	UBS AG – HSI R Bull CBBC Sep 2013 D	2013/06/13	2013/06/14
64972	Credit Suisse AG – HSI R Bull CBBC Aug 2013 K	2013/06/13	2013/06/14
67778	HK Bank – PetCh R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
63498	UBS AG – HSI R Bull CBBC Jun 2013 T	2013/06/13	2013/06/14
64898	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 H	2013/06/13	2013/06/14
63478	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
66734	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 B	2013/06/13	2013/06/14
64954	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 I	2013/06/13	2013/06/14
68228	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 E	2013/06/13	2013/06/14
66448	Credit Suisse AG – HSI R Bull CBBC Oct 2013 K	2013/06/13	2013/06/14
66733	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 A	2013/06/13	2013/06/14
66451	Credit Suisse AG – HSI R Bull CBBC Sep 2013 L	2013/06/13	2013/06/14
64931	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 D	2013/06/13	2013/06/14
68215	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 Q	2013/06/13	2013/06/14
61107	UBS AG – CSOP A50 ETF R Bull CBBC Aug 2013 B	2013/06/13	2013/06/14
67460	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 F	2013/06/13	2013/06/14
64937	Credit Suisse AG – HSI R Bull CBBC Jul 2013 S	2013/06/13	2013/06/14
62176	UBS AG – CNOOC R Bull CBBC Oct 2013 C	2013/06/13	2013/06/14
67498	UBS AG – HSI R Bull CBBC Mar 2014 F	2013/06/13	2013/06/14
64941	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 A	2013/06/13	2013/06/14
66735	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 C	2013/06/13	2013/06/14
64943	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 B	2013/06/13	2013/06/14
66744	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 E	2013/06/13	2013/06/14
66362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 J	2013/06/13	2013/06/14
66739	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 D	2013/06/13	2013/06/14
66311	UBS AG – Ping An R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
61276	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 F	2013/06/13	2013/06/14
63577	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 F	2013/06/13	2013/06/14
63469	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2013 A	2013/06/13	2013/06/14
65425	BOCI Asia Ltd. – HSI R Bull CBBC Jun 2013 A	2013/06/13	2013/06/14
66407	UBS AG – SHK Ppt R Bull CBBC Jan 2014 A	2013/06/13	2013/06/14
64194	UBS AG – Cheung Kong R Bull CBBC Jul 2013 A	2013/06/13	2013/06/14
63560	HK Bank – HSCEI R Bull CBBC Jul 2013 A	2013/06/13	2013/06/14
63563	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 D	2013/06/13	2013/06/14
64949	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Y	2013/06/13	2013/06/14
66673	UBS AG – HSI R Bull CBBC Feb 2014 A	2013/06/13	2013/06/14
67535	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 V	2013/06/13	2013/06/14
67790	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 T	2013/06/13	2013/06/14
64878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 W	2013/06/13	2013/06/14
63575	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 E	2013/06/13	2013/06/14

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64904	UBS AG – HSI R Bull CBBC Aug 2013 F	2013/06/13	2013/06/14
65430	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 A	2013/06/13	2013/06/14
61141	HK Bank – HSCEI R Bull CBBC Oct 2013 B	2013/06/13	2013/06/14
65391	UBS AG – HSI R Bull CBBC Aug 2013 O	2013/06/13	2013/06/14
67435	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 D	2013/06/13	2013/06/14
65163	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 P	2013/06/13	2013/06/14
67459	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Sep 2013 E	2013/06/13	2013/06/14
63594	Credit Suisse AG – HSI R Bull CBBC Jun 2013 A	2013/06/13	2013/06/14
64786	Credit Suisse AG – HSI R Bull CBBC Aug 2013 J	2013/06/13	2013/06/14
67292	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 P	2013/06/13	2013/06/14
65059	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 K	2013/06/13	2013/06/14
65043	UBS AG – CC Bank R Bull CBBC Jun 2013 A	2013/06/13	2013/06/14
64772	UBS AG – Evergrande R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
66567	HK Bank – Anhui Conch R Bull CBBC Aug 2014 A	2013/06/13	2013/06/14
64835	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 N	2013/06/13	2013/06/14
65007	HK Bank – China Life R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
64664	HK Bank – CNOOC R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
66574	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 P	2013/06/13	2013/06/14
67259	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 P	2013/06/13	2013/06/14
66572	HK Bank – China Shenhua R Bull CBBC Feb 2014 A	2013/06/13	2013/06/14
66424	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 U	2013/06/13	2013/06/14
64621	HK Bank – HSI R Bull CBBC Nov 2013 A	2013/06/13	2013/06/14
65021	HK Bank – HSI R Bull CBBC Jun 2013 G	2013/06/13	2013/06/14
65359	HK Bank – A50 R Bull CBBC Aug 2013 C	2013/06/13	2013/06/14
64595	HK Bank – CC Bank R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
66423	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 Z	2013/06/13	2013/06/14
64822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 V	2013/06/13	2013/06/14
67824	HK Bank – HSI R Bull CBBC Nov 2013 G	2013/06/13	2013/06/14
67175	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 K	2013/06/13	2013/06/14
68383	UBS AG – China Mobile R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
64162	HK Bank – China Mobile R Bull CBBC Oct 2013 B	2013/06/13	2013/06/14
66515	Bank of East Asia – CSOP A50 ETF R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
65129	UBS AG – HSCEI R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
67177	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 N	2013/06/13	2013/06/14
67258	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 O	2013/06/13	2013/06/14
64157	HK Bank – China Mobile R Bull CBBC Oct 2013 A	2013/06/13	2013/06/14
64840	UBS AG – HSI R Bull CBBC Jun 2013 M	2013/06/13	2013/06/14
65330	HK Bank – CC Bank R Bull CBBC Jul 2013 C	2013/06/13	2013/06/14
66514	Bank of East Asia – Ping An R Bull CBBC Sep 2013 A	2013/06/13	2013/06/14
66425	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 V	2013/06/13	2013/06/14
65326	HK Bank – A50 R Bull CBBC Jul 2013 A	2013/06/13	2013/06/14
66370	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 T	2013/06/13	2013/06/14
61013	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2013 B	2013/06/13	2013/06/14
60479	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 C	2013/06/14	2013/06/17
64858	UBS AG – Hutchison R Bull CBBC Jun 2013 A	2013/06/14	2013/06/18
60489	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 X	2013/06/14	2013/06/17
67713	UBS AG – China Mobile R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
64294	UBS AG – CPIC R Bull CBBC Jun 2013 A	2013/06/14	2013/06/18
60515	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 W	2013/06/14	2013/06/17
60520	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 X	2013/06/14	2013/06/17
60527	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 Y	2013/06/14	2013/06/17
64092	UBS AG – TCH R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
65929	UBS AG – China Life R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
60513	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 V	2013/06/14	2013/06/17
60399	UBS AG – HSI R Bull CBBC Dec 2013 C	2013/06/14	2013/06/17
60384	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 X	2013/06/14	2013/06/17
60928	UBS AG – A50 China R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
60392	Credit Suisse AG – HSI R Bull CBBC Dec 2013 W	2013/06/14	2013/06/17
60395	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Y	2013/06/14	2013/06/17
60428	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Y	2013/06/14	2013/06/17
60429	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 U	2013/06/14	2013/06/17
60400	UBS AG – HSI R Bull CBBC Nov 2013 J	2013/06/14	2013/06/17
67670	UBS AG – Ping An R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
60401	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 E	2013/06/14	2013/06/17
60992	UBS AG – CUni R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
60394	Credit Suisse AG – HSI R Bull CBBC Dec 2013 X	2013/06/14	2013/06/17
60737	UBS AG – HKEx R Bear CBBC Jun 2013 A	2013/06/14	2013/06/18
63606	UBS AG – ICBC R Bull CBBC Jun 2013 B	2013/06/17	2013/06/18
60762	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2013 H	2013/06/17	2013/06/18
60660	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Q	2013/06/17	2013/06/18
60871	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 J	2013/06/17	2013/06/18
60641	UBS AG – HSI R Bull CBBC Oct 2013 C	2013/06/17	2013/06/18
60807	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 C	2013/06/17	2013/06/18
60826	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 D	2013/06/17	2013/06/18
60604	Credit Suisse AG – HSI R Bull CBBC Jan 2014 I	2013/06/17	2013/06/18
60640	UBS AG – HSI R Bull CBBC Dec 2013 E	2013/06/17	2013/06/18
60854	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 H	2013/06/17	2013/06/18
60637	UBS AG – HSI R Bull CBBC Dec 2013 D	2013/06/17	2013/06/18
60550	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 Z	2013/06/17	2013/06/18
60557	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 A	2013/06/17	2013/06/18
60636	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 J	2013/06/17	2013/06/18
60635	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 A	2013/06/17	2013/06/18
65042	UBS AG – ICBC R Bull CBBC Jul 2013 C	2013/06/17	2013/06/18
65068	HK Bank – ICBC R Bull CBBC Jul 2013 C	2013/06/17	2013/06/18
60609	Credit Suisse AG – HSI R Bull CBBC Jan 2014 P	2013/06/17	2013/06/18
60584	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 S	2013/06/17	2013/06/18
60848	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 I	2013/06/17	2013/06/18

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60600	Credit Suisse AG – HSI R Bull CBBC Jan 2014 H	2013/06/17	2013/06/18
60199	UBS AG – ICBC R Bull CBBC Feb 2014 A	2013/06/17	2013/06/18
60969	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 E	2013/06/18	2013/06/19
68994	HK Bank – Yanzhou Coal R Bull CBBC Dec 2013 B	2013/06/18	2013/06/19
60976	Credit Suisse AG – HSI R Bull CBBC Feb 2014 S	2013/06/18	2013/06/19
60956	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 F	2013/06/18	2013/06/19
61033	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 K	2013/06/18	2013/06/19
60950	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 T	2013/06/18	2013/06/19
60987	UBS AG – HSI R Bull CBBC Mar 2014 X	2013/06/18	2013/06/19
60986	UBS AG – HSI R Bull CBBC Dec 2013 H	2013/06/18	2013/06/19
60982	Credit Suisse AG – HSI R Bull CBBC Feb 2014 U	2013/06/18	2013/06/19
60981	Credit Suisse AG – HSI R Bull CBBC Feb 2014 T	2013/06/18	2013/06/19
61132	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Z	2013/06/19	2013/06/20
69131	HK Bank – Minsheng Bank R Bull CBBC Nov 2013 A	2013/06/19	2013/06/20
60840	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 Z	2013/06/19	2013/06/20
69003	Credit Suisse AG – A50 China R Bull CBBC Nov 2013 A	2013/06/19	2013/06/20
61133	Credit Suisse AG – HSI R Bull CBBC Dec 2013 G	2013/06/19	2013/06/20
61151	UBS AG – HSI R Bull CBBC Nov 2013 K	2013/06/19	2013/06/20
69108	UBS AG – Yanzhou Coal R Bull CBBC Jun 2014 A	2013/06/19	2013/06/20
61116	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 G	2013/06/19	2013/06/20
61157	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep 13U	2013/06/19	2013/06/20
61193	UBS AG – HSI R Bull CBBC Mar 2014 Y	2013/06/19	2013/06/20
61156	UBS AG – HSI R Bull CBBC Nov 2013 L	2013/06/19	2013/06/20
61197	Credit Suisse AG – HSI R Bull CBBC Dec 2013 M	2013/06/19	2013/06/20
69470	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Oct 13D	2013/06/19	2013/06/20
61096	HK Bank – ICBC R Bull CBBC Dec 2013 A	2013/06/19	2013/06/20
61150	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 L	2013/06/19	2013/06/20
61241	UBS AG – HSI R Bull CBBC Dec 2013 B	2013/06/19	2013/06/20
61149	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 X	2013/06/19	2013/06/20
61231	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 F	2013/06/19	2013/06/20
66498	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 F	2013/06/19	2013/06/20
64944	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 C	2013/06/20	2013/06/21
66462	Credit Suisse AG – HSI R Bull CBBC Nov 2013 K	2013/06/20	2013/06/21
67282	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 O	2013/06/20	2013/06/21
63777	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 H	2013/06/20	2013/06/21
60012	HK Bank – HSI R Bull CBBC Jan 2014 A	2013/06/20	2013/06/21
68790	UBS AG – HSI R Bull CBBC Jan 2014 A	2013/06/20	2013/06/21
69554	UBS AG – TCH R Bull CBBC Jan 2014 A	2013/06/20	2013/06/21
66809	HK Bank – HSCEI R Bull CBBC Dec 2013 C	2013/06/20	2013/06/21
64547	UBS AG – COVS R Bull CBBC Oct 2013 A	2013/06/20	2013/06/21
60067	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 N	2013/06/20	2013/06/21
64242	HK Bank – ICBC R Bull CBBC Sep 2013 A	2013/06/20	2013/06/21
69465	SGA Societe Generale Acceptance N.V-CSA50 R Bull CBBC Oct13B	2013/06/20	2013/06/21
66410	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul13 X	2013/06/20	2013/06/21
66467	Credit Suisse AG – HSI R Bull CBBC Oct 2013 M	2013/06/20	2013/06/21
65327	HK Bank – ABC R Bull CBBC Dec 2013 A	2013/06/20	2013/06/21
61485	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 J	2013/06/20	2013/06/21
61483	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 C	2013/06/20	2013/06/21
61461	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 T	2013/06/20	2013/06/21
61462	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 B	2013/06/20	2013/06/21
61466	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 E	2013/06/20	2013/06/21
63580	HK Bank – CC Bank R Bull CBBC Jul 2013 B	2013/06/20	2013/06/21
63661	HK Bank – HSI R Bull CBBC Aug 2013 A	2013/06/20	2013/06/21
67592	Credit Suisse AG – HSI R Bull CBBC Nov 2013 A	2013/06/20	2013/06/21
60241	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 U	2013/06/20	2013/06/21
68668	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 A	2013/06/20	2013/06/21
61158	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13G	2013/06/20	2013/06/21
68399	UBS AG – A50 China R Bull CBBC Feb 2014 A	2013/06/20	2013/06/21
68676	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 V	2013/06/20	2013/06/21
60332	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 B	2013/06/20	2013/06/21
68223	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2013 Z	2013/06/20	2013/06/21
60321	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 A	2013/06/20	2013/06/21
61129	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 I	2013/06/20	2013/06/21
68326	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 G	2013/06/20	2013/06/21
61127	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 H	2013/06/20	2013/06/21
61254	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 V	2013/06/20	2013/06/21
61256	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 W	2013/06/20	2013/06/21
67543	UBS AG – HSI R Bull CBBC Feb 2014 H	2013/06/20	2013/06/21
63547	UBS AG – CC Bank R Bull CBBC Jul 2013 C	2013/06/20	2013/06/21
67496	UBS AG – HSI R Bull CBBC May 2014 B	2013/06/20	2013/06/21
61325	UBS AG – CSOP A50 ETF R Bull CBBC Sep 2013 A	2013/06/20	2013/06/21
65109	UBS AG – HSCEI R Bull CBBC Jul 2013 C	2013/06/20	2013/06/21
60242	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 V	2013/06/20	2013/06/21
67593	Credit Suisse AG – HSI R Bull CBBC Sep 2013 Z	2013/06/20	2013/06/21
63115	HK Bank – HSI R Bull CBBC Jun 2013 E	2013/06/20	2013/06/21
69070	HK Bank – HSI R Bull CBBC Nov 2013 K	2013/06/20	2013/06/21
69074	HK Bank – CNBM R Bull CBBC Dec 2013 A	2013/06/20	2013/06/21
63286	HK Bank – HSI R Bull CBBC Jul 2013 B	2013/06/20	2013/06/21
60843	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 C	2013/06/20	2013/06/21
68570	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 A	2013/06/20	2013/06/21
67680	Credit Suisse AG – HSI R Bull CBBC Feb 2014 A	2013/06/20	2013/06/21
68600	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 Z	2013/06/20	2013/06/21
60845	J P Morgan SP BV – HSI R Bull CBBC Nov 2013 G	2013/06/20	2013/06/21
60402	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 F	2013/06/20	2013/06/21
63358	HK Bank – CC Bank R Bull CBBC Aug 2013 A	2013/06/20	2013/06/21
63554	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 A	2013/06/20	2013/06/21
68432	HK Bank – A50 R Bull CBBC Sep 2013 H	2013/06/20	2013/06/21

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60531	HK Bank – HSI R Bull CBBC Dec 2013 L	2013/06/20	2013/06/21
63367	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 C	2013/06/20	2013/06/21
66564	Bank of East Asia – BOCL R Bull CBBC Dec 2013 A	2013/06/20	2013/06/21
68648	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 K	2013/06/20	2013/06/21
68386	UBS AG – China Mobile R Bull CBBC Nov 2013 A	2013/06/20	2013/06/21
61089	HK Bank – TCH R Bull CBBC Nov 2013 E	2013/06/20	2013/06/21
61113	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 E	2013/06/20	2013/06/21
60847	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 E	2013/06/20	2013/06/21
61358	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Q	2013/06/20	2013/06/21
61347	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 E	2013/06/20	2013/06/21
61348	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 F	2013/06/20	2013/06/21
61283	UBS AG – HSI R Bull CBBC Nov 2013 M	2013/06/20	2013/06/21
61351	Credit Suisse AG – HSI R Bull CBBC Dec 2013 H	2013/06/20	2013/06/21
61352	Credit Suisse AG – HSI R Bull CBBC Dec 2013 I	2013/06/20	2013/06/21
61353	Credit Suisse AG – HSI R Bull CBBC Dec 2013 J	2013/06/20	2013/06/21
61354	Credit Suisse AG – HSI R Bull CBBC Dec 2013 K	2013/06/20	2013/06/21
61355	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 G	2013/06/20	2013/06/21
61346	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 D	2013/06/20	2013/06/21
61357	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 O	2013/06/20	2013/06/21
61350	Credit Suisse AG – HSI R Bull CBBC Dec 2013 F	2013/06/20	2013/06/21
61359	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 G	2013/06/20	2013/06/21
61360	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 H	2013/06/20	2013/06/21
65393	UBS AG – HSI R Bull CBBC Sep 2013 G	2013/06/20	2013/06/21
68217	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 R	2013/06/20	2013/06/21
68193	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 P	2013/06/20	2013/06/21
66456	Credit Suisse AG – HSI R Bull CBBC Sep 2013 O	2013/06/20	2013/06/21
61402	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 A	2013/06/20	2013/06/21
67497	UBS AG – HSI R Bull CBBC Mar 2014 E	2013/06/20	2013/06/21
61356	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 M	2013/06/20	2013/06/21
61330	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 R	2013/06/20	2013/06/21
68920	Credit Suisse AG – HSI R Bull CBBC Jan 2014 C	2013/06/20	2013/06/21
61293	UBS AG – HSI R Bull CBBC Dec 2013 F	2013/06/20	2013/06/21
67536	Standard Chartered Bank – HSI R Bull CBBC Sep 2013 W	2013/06/20	2013/06/21
61284	UBS AG – HSI R Bull CBBC Sep 2013 U	2013/06/20	2013/06/21
66089	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2013 B	2013/06/20	2013/06/21
61285	UBS AG – HSI R Bull CBBC Dec 2013 J	2013/06/20	2013/06/21
68110	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 Y	2013/06/20	2013/06/21
61328	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 P	2013/06/20	2013/06/21
61329	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Q	2013/06/20	2013/06/21
68381	UBS AG – HSI R Bull CBBC Jul 2013 E	2013/06/20	2013/06/21
61287	UBS AG – HSI R Bull CBBC Sep 2013 V	2013/06/20	2013/06/21
69836	HK Bank – PICC Group R Bull CBBC Feb 2014 A	2013/06/21	2013/06/24
63571	UBS AG – ICBC R Bull CBBC Jul 2013 A	2013/06/21	2013/06/24
68425	HK Bank – Hutchison R Bull CBBC Jun 2013 B	2013/06/21	2013/06/25
69595	HK Bank – TCH R Bull CBBC Oct 2013 D	2013/06/21	2013/06/24
66526	UBS AG – HSCEI R Bull CBBC Sep 2013 C	2013/06/21	2013/06/24
66489	HK Bank – HSI R Bull CBBC Dec 2013 E	2013/06/21	2013/06/24
61270	HK Bank – HSI R Bull CBBC Oct 2013 E	2013/06/21	2013/06/24
63559	HK Bank – HSCEI R Bull CBBC Sep 2013 A	2013/06/21	2013/06/24
64118	HK Bank – Galaxy Ent R Bull CBBC Jun 2013 B	2013/06/21	2013/06/25
68814	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 L	2013/06/21	2013/06/24
67940	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2013 B	2013/06/21	2013/06/24
67401	UBS AG – ICBC R Bull CBBC Jun 2013 A	2013/06/21	2013/06/25
63950	HK Bank – China Mobile R Bear CBBC Jun 2013 A	2013/06/21	2013/06/25
64054	HK Bank – HKEx R Bull CBBC Sep 2013 A	2013/06/21	2013/06/24
68572	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 B	2013/06/21	2013/06/24
65392	UBS AG – HSI R Bull CBBC Sep 2013 F	2013/06/21	2013/06/24
63581	HK Bank – ICBC R Bull CBBC Aug 2013 A	2013/06/21	2013/06/24
65390	HK Bank – Hutchison R Bull CBBC Aug 2013 A	2013/06/21	2013/06/24
66696	UBS AG – HKEx R Bull CBBC Sep 2013 D	2013/06/21	2013/06/24
67831	HK Bank – China Shenhua R Bull CBBC Mar 2014 B	2013/06/21	2013/06/24
67836	Credit Suisse AG – A50 China R Bull CBBC Oct 2013 A	2013/06/21	2013/06/24
61316	UBS AG – A50 China R Bull CBBC Jan 2014 A	2013/06/21	2013/06/24
68500	HK Bank – A50 R Bull CBBC Jun 2013 A	2013/06/21	2013/06/25
64091	UBS AG – ABC R Bull CBBC Aug 2013 A	2013/06/21	2013/06/24
63896	UBS AG – HSI R Bull CBBC Aug 2013 E	2013/06/21	2013/06/24
61338	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 A	2013/06/21	2013/06/24
60256	Credit Suisse AG – HSI R Bull CBBC Jan 2014 O	2013/06/21	2013/06/24
60238	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 T	2013/06/21	2013/06/24
67542	UBS AG – HSI R Bull CBBC Mar 2014 G	2013/06/21	2013/06/24
61323	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 M	2013/06/21	2013/06/24
61324	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 N	2013/06/21	2013/06/24
60582	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 B	2013/06/21	2013/06/24
61327	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 O	2013/06/21	2013/06/24
67539	UBS AG – HSI R Bull CBBC Apr 2014 A	2013/06/21	2013/06/24
61403	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 B	2013/06/21	2013/06/24
60583	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 C	2013/06/21	2013/06/24
61262	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 H	2013/06/21	2013/06/24
66458	Credit Suisse AG – HSI R Bull CBBC Sep 2013 G	2013/06/21	2013/06/24
67709	Credit Suisse AG – HSI R Bull CBBC Feb 2014 B	2013/06/21	2013/06/24
66230	UBS AG – SHK Ppt R Bear CBBC Jun 2013 A	2013/06/21	2013/06/25
61361	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 I	2013/06/21	2013/06/24
64945	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 D	2013/06/21	2013/06/24
67716	Credit Suisse AG – HSI R Bull CBBC Feb 2014 C	2013/06/21	2013/06/24
68113	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 F	2013/06/21	2013/06/24
68112	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 Z	2013/06/21	2013/06/24
67637	Credit Suisse AG – HSI R Bull CBBC Oct 2013 T	2013/06/21	2013/06/24

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64946	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun13 E	2013/06/21	2013/06/24
61181	UBS AG – HSI R Bull CBBC Jun 2014 C	2013/06/21	2013/06/24
67628	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Oct13 C	2013/06/21	2013/06/24
60482	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 H	2013/06/21	2013/06/24
60488	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 I	2013/06/21	2013/06/24
61014	UBS AG – Sinopec Corp R Bull CBBC Dec 2013 A	2013/06/21	2013/06/24
68639	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 W	2013/06/21	2013/06/24
60910	HK Bank – CSOP A50 ETF R Bear CBBC Jun 2013 A	2013/06/21	2013/06/25
68658	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 S	2013/06/21	2013/06/24
68675	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 U	2013/06/21	2013/06/24
61079	HK Bank – HSI R Bull CBBC Nov 2013 E	2013/06/21	2013/06/24
68991	HK Bank – Sands China R Bull CBBC Nov 2013 B	2013/06/21	2013/06/24
64981	Bank of East Asia – ICBC R Bull CBBC Aug 2013 A	2013/06/21	2013/06/24
61264	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 Y	2013/06/21	2013/06/24
61182	UBS AG – HSI R Bull CBBC Aug 2014 C	2013/06/21	2013/06/24
64628	HK Bank – HSI R Bull CBBC Dec 2013 D	2013/06/21	2013/06/24
61189	UBS AG – HSI R Bull CBBC Jun 2014 D	2013/06/21	2013/06/24
61083	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2013 C	2013/06/21	2013/06/24
61016	HK Bank – A50 R Bear CBBC Jun 2013 C	2013/06/21	2013/06/25
60730	UBS AG – CSOP A50 ETF R Bear CBBC Jun 2013 A	2013/06/21	2013/06/25
61246	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 K	2013/06/21	2013/06/24
61260	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 X	2013/06/21	2013/06/24
61404	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 C	2013/06/21	2013/06/24
61081	HK Bank – Cheung Kong R Bear CBBC Jun 2013 A	2013/06/21	2013/06/25
61531	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 G	2013/06/21	2013/06/24
66457	Credit Suisse AG – HSI R Bull CBBC Sep 2013 W	2013/06/21	2013/06/24
61521	UBS AG – HSI R Bull CBBC Dec 2013 K	2013/06/21	2013/06/24
61522	UBS AG – HSI R Bull CBBC Nov 2013 G	2013/06/21	2013/06/24
64350	Credit Suisse AG – Hutchison R Bull CBBC Oct 2013 A	2013/06/21	2013/06/24
61523	UBS AG – HSI R Bull CBBC Oct 2013 E	2013/06/21	2013/06/24
61524	Credit Suisse AG – HSI R Bull CBBC Jan 2014 V	2013/06/21	2013/06/24
61525	Credit Suisse AG – HSI R Bull CBBC Jan 2014 W	2013/06/21	2013/06/24
61526	Credit Suisse AG – HSI R Bull CBBC Jan 2014 M	2013/06/21	2013/06/24
61527	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 H	2013/06/21	2013/06/24
61519	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 E	2013/06/21	2013/06/24
61530	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 F	2013/06/21	2013/06/24
64876	UBS AG – TCH R Bull CBBC Jun 2013 C	2013/06/21	2013/06/25
61532	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 H	2013/06/21	2013/06/24
67311	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 G	2013/06/21	2013/06/24
65467	UBS AG – HSI R Bull CBBC Jun 2013 S	2013/06/21	2013/06/24
60723	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 F	2013/06/21	2013/06/24
69198	UBS AG – Sands China R Bull CBBC Nov 2013 A	2013/06/21	2013/06/24
64591	HK Bank – BOCL R Bull CBBC Aug 2013 B	2013/06/21	2013/06/24
68953	UBS AG – HSBC R Bull CBBC Nov 2013 B	2013/06/21	2013/06/24
68552	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 C	2013/06/21	2013/06/24
68146	UBS AG – BOC HK R Bull CBBC Jun 2013 A	2013/06/21	2013/06/25
68783	HK Bank – Sinopec Corp R Bull CBBC Nov 2013 B	2013/06/21	2013/06/24
61478	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 B	2013/06/21	2013/06/24
67493	UBS AG – HSI R Bull CBBC May 2014 A	2013/06/21	2013/06/24
67480	UBS AG – HSI R Bull CBBC Jul 2014 A	2013/06/21	2013/06/24
65125	HK Bank – A50 R Bull CBBC Aug 2013 B	2013/06/21	2013/06/24
66463	Credit Suisse AG – HSI R Bull CBBC Nov 2013 L	2013/06/21	2013/06/24
66465	Credit Suisse AG – HSI R Bull CBBC Oct 2013 L	2013/06/21	2013/06/24
69464	SGA Societe Generale Acceptance N.V-CSA50 R Bull CBBC Oct13A	2013/06/21	2013/06/24
66466	Credit Suisse AG – HSI R Bull CBBC Oct 2013 F	2013/06/21	2013/06/24
61520	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 U	2013/06/21	2013/06/24
61477	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 F	2013/06/21	2013/06/24
61339	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 B	2013/06/21	2013/06/24
68566	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 D	2013/06/21	2013/06/24
60070	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 O	2013/06/21	2013/06/24
61480	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 B	2013/06/21	2013/06/24
61481	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 I	2013/06/21	2013/06/24
61482	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 C	2013/06/21	2013/06/24
67250	Standard Chartered Bank – HSI R Bull CBBC Jun 2013 A	2013/06/21	2013/06/24
60720	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 E	2013/06/21	2013/06/24
63113	HK Bank – Anhui Conch R Bull CBBC Dec 2013 A	2013/06/21	2013/06/24
61515	UBS AG – Minsheng Bank R Bull CBBC Jan 2014 A	2013/06/21	2013/06/24
68567	J P Morgan SP BV – HSI R Bull CBBC Oct 2013 E	2013/06/21	2013/06/24
66310	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2013 A	2013/06/24	2013/06/25
63296	HK Bank – Evergrande R Bull CBBC Dec 2013 B	2013/06/24	2013/06/25
67718	Credit Suisse AG – HSI R Bull CBBC Feb 2014 D	2013/06/24	2013/06/25
61333	UBS AG – AIA R Bull CBBC Dec 2013 C	2013/06/24	2013/06/25
69004	Credit Suisse AG – A50 China R Bull CBBC Nov 2013 B	2013/06/24	2013/06/25
69524	HK Bank – TCH R Bull CBBC Nov 2013 D	2013/06/24	2013/06/25
65527	HK Bank – HSI R Bull CBBC Jun 2013 D	2013/06/24	2013/06/25
63655	HK Bank – ABC R Bull CBBC Sep 2013 A	2013/06/24	2013/06/25
65909	UBS AG – HSCEI R Bull CBBC Oct 2013 C	2013/06/24	2013/06/25
65025	UBS AG – HSI R Bull CBBC Jun 2013 R	2013/06/24	2013/06/25
66075	HK Bank – CSOP A50 ETF R Bull CBBC Nov 2013 A	2013/06/24	2013/06/25
67511	UBS AG – A50 China R Bull CBBC Jan 2014 C	2013/06/24	2013/06/25
67627	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Oct13 B	2013/06/24	2013/06/25
69123	HK Bank – CAM CSI300 R Bull CBBC Nov 2013 A	2013/06/24	2013/06/25
69610	UBS AG – TCH R Bull CBBC Dec 2013 E	2013/06/24	2013/06/25
67564	Credit Suisse AG – HSI R Bull CBBC Dec 2013 E	2013/06/24	2013/06/25
66227	UBS AG – China Life R Bull CBBC Sep 2013 A	2013/06/24	2013/06/25
63388	UBS AG – HSI R Bull CBBC Sep 2013 C	2013/06/24	2013/06/25
67621	SGA Societe Generale Acceptance N.V.-A50 R Bull CBBC Oct13 A	2013/06/24	2013/06/25

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69431	HK Bank – Minsheng Bank R Bull CBBC Dec 2013 A	2013/06/24	2013/06/25
61579	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 I	2013/06/24	2013/06/25
61259	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2013 A	2013/06/24	2013/06/25
61552	Credit Suisse AG – HSCEI R Bull CBBC Oct 2013 C	2013/06/24	2013/06/25
61555	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 V	2013/06/24	2013/06/25
61556	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 W	2013/06/24	2013/06/25
61563	UBS AG – HSI R Bull CBBC Oct 2013 H	2013/06/24	2013/06/25
61564	UBS AG – HSI R Bull CBBC Feb 2014 Q	2013/06/24	2013/06/25
61565	UBS AG – HSI R Bull CBBC Feb 2014 R	2013/06/24	2013/06/25
61566	UBS AG – HSI R Bull CBBC Feb 2014 S	2013/06/24	2013/06/25
61570	Credit Suisse AG – HSI R Bull CBBC Feb 2014 W	2013/06/24	2013/06/25
61571	Credit Suisse AG – HSI R Bull CBBC Feb 2014 X	2013/06/24	2013/06/25
61573	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Y	2013/06/24	2013/06/25
61550	Credit Suisse AG – HSCEI R Bull CBBC Oct 2013 A	2013/06/24	2013/06/25
61575	Credit Suisse AG – HSI R Bull CBBC Feb 2014 F	2013/06/24	2013/06/25
61549	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 C	2013/06/24	2013/06/25
61580	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 J	2013/06/24	2013/06/25
61581	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 J	2013/06/24	2013/06/25
61583	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 L	2013/06/24	2013/06/25
61589	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 D	2013/06/24	2013/06/25
61590	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 D	2013/06/24	2013/06/25
61591	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 H	2013/06/24	2013/06/25
61592	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 E	2013/06/24	2013/06/25
61593	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 E	2013/06/24	2013/06/25
61594	J P Morgan SP BV – HSI R Bull CBBC Dec 2013 D	2013/06/24	2013/06/25
69881	UBS AG – Lenovo R Bull CBBC Dec 2013 D	2013/06/24	2013/06/25
69831	HK Bank – Lenovo R Bull CBBC Jan 2014 A	2013/06/24	2013/06/25
66486	HK Bank – A50 R Bull CBBC Oct 2013 D	2013/06/24	2013/06/25
61574	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Z	2013/06/24	2013/06/25
61321	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 K	2013/06/24	2013/06/25
60706	Bank of East Asia – Sands China R Bull CBBC Jun 2014 A	2013/06/24	2013/06/25
68444	HK Bank – Sands China R Bull CBBC Dec 2013 D	2013/06/24	2013/06/25
68433	HK Bank – A50 R Bull CBBC Sep 2013 I	2013/06/24	2013/06/25
60532	HK Bank – HSI R Bull CBBC Nov 2013 O	2013/06/24	2013/06/25
68652	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2013 Q	2013/06/24	2013/06/25
61130	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 J	2013/06/24	2013/06/25
61180	UBS AG – HSI R Bull CBBC Jun 2014 B	2013/06/24	2013/06/25
68687	UBS AG – Sands China R Bull CBBC Mar 2014 A	2013/06/24	2013/06/25
61265	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 I	2013/06/24	2013/06/25
61266	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 Z	2013/06/24	2013/06/25
61280	UBS AG – HSI R Bull CBBC May 2014 E	2013/06/24	2013/06/25
61551	Credit Suisse AG – HSCEI R Bull CBBC Oct 2013 B	2013/06/24	2013/06/25
61282	UBS AG – HSI R Bull CBBC Mar 2014 Z	2013/06/24	2013/06/25
61584	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 M	2013/06/24	2013/06/25
61322	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 L	2013/06/24	2013/06/25
61331	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 H	2013/06/24	2013/06/25
61337	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 F	2013/06/24	2013/06/25
61405	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 D	2013/06/24	2013/06/25
61407	Credit Suisse AG – HSI R Bull CBBC Jan 2014 R	2013/06/24	2013/06/25
61459	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 C	2013/06/24	2013/06/25
61460	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 D	2013/06/24	2013/06/25
61475	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 A	2013/06/24	2013/06/25
61476	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 A	2013/06/24	2013/06/25
61513	UBS AG – CAM CSI300 R Bull CBBC Dec 2013 A	2013/06/24	2013/06/25
61547	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 N	2013/06/24	2013/06/25
61548	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 D	2013/06/24	2013/06/25
60237	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 S	2013/06/24	2013/06/25
61582	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 K	2013/06/24	2013/06/25
67330	HK Bank – Sands China R Bull CBBC Sep 2013 B	2013/06/25	2013/06/26
61613	UBS AG – HSI R Bull CBBC Dec 2013 L	2013/06/25	2013/06/26
63781	HK Bank – HSI R Bull CBBC Aug 2013 B	2013/06/25	2013/06/26
61614	UBS AG – HSI R Bull CBBC Nov 2013 I	2013/06/25	2013/06/26
61615	UBS AG – HSI R Bull CBBC Dec 2013 Z	2013/06/25	2013/06/26
61616	Credit Suisse AG – HSI R Bull CBBC Mar 2014 G	2013/06/25	2013/06/26
61617	Credit Suisse AG – HSI R Bull CBBC Mar 2014 H	2013/06/25	2013/06/26
67563	Credit Suisse AG – HSI R Bull CBBC Dec 2013 D	2013/06/25	2013/06/26
61624	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 L	2013/06/25	2013/06/26
69859	UBS AG – PICC Group R Bull CBBC Jan 2014 B	2013/06/25	2013/06/26
61413	Credit Suisse AG – HSI R Bull CBBC Apr 2014 A	2013/06/25	2013/06/26
64875	UBS AG – HSI R Bull CBBC Jun 2013 P	2013/06/25	2013/06/26
61340	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 C	2013/06/25	2013/06/26
61319	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 J	2013/06/25	2013/06/26
61318	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 I	2013/06/25	2013/06/26
60236	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 R	2013/06/25	2013/06/26
61618	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 A	2013/06/25	2013/06/26
61474	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 A	2013/06/25	2013/06/26
60746	UBS AG – HKEx R Bull CBBC Dec 2013 B	2013/06/25	2013/06/26
61541	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 B	2013/06/25	2013/06/26
61540	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 A	2013/06/25	2013/06/26
61533	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 I	2013/06/25	2013/06/26
69478	UBS AG – TCH R Bull CBBC Dec 2013 D	2013/06/25	2013/06/26
60014	HK Bank – HSI R Bull CBBC Jan 2014 B	2013/06/25	2013/06/26
61610	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2014 A	2013/06/25	2013/06/26
66399	UBS AG – CSOP A50 ETF R Bull CBBC Oct 2013 B	2013/06/25	2013/06/26
61609	HK Bank – A50 R Bull CBBC Jan 2014 A	2013/06/25	2013/06/26
66268	HK Bank – China Life R Bull CBBC Nov 2013 A	2013/06/25	2013/06/26
61603	HK Bank – HSI R Bull CBBC Nov 2013 P	2013/06/25	2013/06/26

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61606	HK Bank – HSI R Bull CBBC Oct 2013 M	2013/06/25	2013/06/26
61608	HK Bank – A50 R Bull CBBC Dec 2013 A	2013/06/25	2013/06/26
61457	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 B	2013/06/25	2013/06/26
61456	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 A	2013/06/25	2013/06/26
61406	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 E	2013/06/25	2013/06/26
60019	HK Bank – CPIC R Bull CBBC Dec 2013 C	2013/06/25	2013/06/26
66530	UBS AG – Ping An R Bull CBBC Oct 2013 B	2013/06/25	2013/06/26
67671	HK Bank – A50 R Bull CBBC Sep 2013 G	2013/06/25	2013/06/26
68476	UBS AG – CC Bank R Bull CBBC Jul 2013 B	2013/06/25	2013/06/26
67673	HK Bank – Ping An R Bull CBBC Oct 2013 B	2013/06/25	2013/06/26
63443	UBS AG – HSCEI R Bull CBBC Jun 2013 B	2013/06/25	2013/06/26
61000	UBS AG – HSCEI R Bull CBBC May 2014 A	2013/06/25	2013/06/26
61002	UBS AG – A50 China R Bull CBBC Mar 2014 A	2013/06/25	2013/06/26
60387	Credit Suisse AG – HSI R Bull CBBC Dec 2013 U	2013/06/25	2013/06/26
68674	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Aug13 T	2013/06/25	2013/06/26
68366	Credit Suisse AG – HSI R Bull CBBC Mar 2014 A	2013/06/25	2013/06/26
68573	HK Bank – HSCEI R Bull CBBC Nov 2013 C	2013/06/25	2013/06/26
61173	UBS AG – HSI R Bull CBBC Aug 2014 A	2013/06/25	2013/06/26
61178	UBS AG – HSI R Bull CBBC Aug 2014 B	2013/06/25	2013/06/26
60312	UBS AG – CPIC R Bull CBBC Dec 2013 B	2013/06/25	2013/06/26
67826	HK Bank – HSI R Bull CBBC Nov 2013 H	2013/06/25	2013/06/26
67719	Credit Suisse AG – HSI R Bull CBBC Feb 2014 E	2013/06/25	2013/06/26
63361	HK Bank – COVS R Bull CBBC Oct 2013 A	2013/06/25	2013/06/26
60718	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 D	2013/06/25	2013/06/26
60709	Bank of East Asia – Ping An R Bull CBBC Jun 2014 A	2013/06/25	2013/06/26
61272	UBS AG – HSI R Bull CBBC Apr 2014 I	2013/06/25	2013/06/26
61268	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 K	2013/06/25	2013/06/26
61267	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 J	2013/06/25	2013/06/26
68082	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 M	2013/06/26	2013/06/28
60893	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 A	2013/06/26	2013/06/28
60471	Credit Suisse AG – HSI R Bear CBBC Jun 2013 W	2013/06/26	2013/06/28
60356	UBS AG – HSI R Bear CBBC Jun 2013 Y	2013/06/26	2013/06/28
66802	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 L	2013/06/26	2013/06/28
61051	UBS AG – HSCEI R Bear CBBC Jun 2013 A	2013/06/26	2013/06/28
60252	Credit Suisse AG – HSI R Bear CBBC Jun 2013 R	2013/06/26	2013/06/28
65320	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 E	2013/06/26	2013/06/28
60298	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 Q	2013/06/26	2013/06/28
68081	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 I	2013/06/26	2013/06/28
60264	Credit Suisse AG – HSI R Bear CBBC Jun 2013 T	2013/06/26	2013/06/28
64940	HK Bank – HSI R Bull CBBC Jun 2013 C	2013/06/26	2013/06/28
66799	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 K	2013/06/26	2013/06/28
60535	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 O	2013/06/26	2013/06/28
60296	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 P	2013/06/26	2013/06/28
60294	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 G	2013/06/26	2013/06/28
60265	Credit Suisse AG – HSI R Bear CBBC Jun 2013 U	2013/06/26	2013/06/28
60299	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 H	2013/06/26	2013/06/28
60537	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 Q	2013/06/26	2013/06/28
66060	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 W	2013/06/26	2013/06/28
66058	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 V	2013/06/26	2013/06/28
67320	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 L	2013/06/26	2013/06/28
66380	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 H	2013/06/26	2013/06/28
66679	UBS AG – HSI R Bear CBBC Jun 2013 M	2013/06/26	2013/06/28
66680	UBS AG – HSI R Bear CBBC Jun 2013 N	2013/06/26	2013/06/28
65609	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 E	2013/06/26	2013/06/28
62763	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2013 A	2013/06/26	2013/06/28
60646	Credit Suisse AG – HSI R Bear CBBC Jun 2013 A	2013/06/26	2013/06/28
60254	Credit Suisse AG – HSI R Bear CBBC Jun 2013 S	2013/06/26	2013/06/28
66109	Credit Suisse AG – HSI R Bear CBBC Jun 2013 L	2013/06/26	2013/06/28
66586	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 D	2013/06/26	2013/06/28
60894	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 B	2013/06/26	2013/06/28
66583	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 C	2013/06/26	2013/06/28
60536	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 P	2013/06/26	2013/06/28
66385	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 I	2013/06/26	2013/06/28
63371	UBS AG – HSI R Bull CBBC Jun 2013 O	2013/06/26	2013/06/28
65610	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 F	2013/06/26	2013/06/28
66108	Credit Suisse AG – HSI R Bear CBBC Jun 2013 K	2013/06/26	2013/06/28
66589	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 E	2013/06/26	2013/06/28
60899	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 E	2013/06/26	2013/06/28
60897	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 D	2013/06/26	2013/06/28
60896	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2013 C	2013/06/26	2013/06/28
65447	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 S	2013/06/26	2013/06/28
66590	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 F	2013/06/26	2013/06/28
66518	Credit Suisse AG – HSI R Bear CBBC Jun 2013 N	2013/06/26	2013/06/28
66235	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 V	2013/06/26	2013/06/28
66236	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 W	2013/06/26	2013/06/28
61631	UBS AG – HSI R Bull CBBC Apr 2014 K	2013/06/26	2013/06/27
66983	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 H	2013/06/26	2013/06/28
60057	UBS AG – HSI R Bear CBBC Jun 2013 X	2013/06/26	2013/06/28
60182	Credit Suisse AG – HSI R Bear CBBC Jun 2013 P	2013/06/26	2013/06/28
66721	UBS AG – HSI R Bear CBBC Jun 2013 O	2013/06/26	2013/06/28
68731	HK Bank – HSI R Bull CBBC Jun 2013 A	2013/06/26	2013/06/28
66987	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 I	2013/06/26	2013/06/28
66722	UBS AG – HSI R Bear CBBC Jun 2013 P	2013/06/26	2013/06/28
63731	Credit Suisse AG – HSI R Bear CBBC Jun 2013 G	2013/06/26	2013/06/28
63730	Credit Suisse AG – HSI R Bear CBBC Jun 2013 F	2013/06/26	2013/06/28
65822	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 V	2013/06/26	2013/06/28
65346	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 B	2013/06/26	2013/06/28

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61633	UBS AG – HSI R Bull CBBC Mar 2014 B	2013/06/26	2013/06/27
60091	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 L	2013/06/26	2013/06/28
66723	UBS AG – HSI R Bear CBBC Jun 2013 Q	2013/06/26	2013/06/28
60092	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 M	2013/06/26	2013/06/28
65612	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 G	2013/06/26	2013/06/28
65829	Credit Suisse AG – HSI R Bear CBBC Jun 2013 J	2013/06/26	2013/06/28
65831	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 W	2013/06/26	2013/06/28
61636	Credit Suisse AG – HSI R Bull CBBC Feb 2014 G	2013/06/26	2013/06/27
61628	HK Bank – CC Bank R Bull CBBC Nov 2013 A	2013/06/26	2013/06/27
61632	UBS AG – HSI R Bull CBBC Mar 2014 A	2013/06/26	2013/06/27
66377	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 G	2013/06/26	2013/06/28
66910	UBS AG – HSI R Bear CBBC Jun 2013 R	2013/06/26	2013/06/28
66487	Credit Suisse AG – HSI R Bear CBBC Jun 2013 M	2013/06/26	2013/06/28
66981	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 G	2013/06/26	2013/06/28
66746	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 H	2013/06/26	2013/06/28
61637	Credit Suisse AG – HSI R Bull CBBC Feb 2014 H	2013/06/26	2013/06/27
62693	UBS AG – HSI R Bull CBBC Jun 2013 C	2013/06/26	2013/06/28
65344	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 A	2013/06/26	2013/06/28
67323	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 N	2013/06/26	2013/06/28
67501	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 J	2013/06/26	2013/06/28
65828	Credit Suisse AG – HSI R Bear CBBC Jun 2013 I	2013/06/26	2013/06/28
66355	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 N	2013/06/26	2013/06/28
66782	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 O	2013/06/26	2013/06/28
65604	UBS AG – HSI R Bear CBBC Jun 2013 I	2013/06/26	2013/06/28
67321	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jun13 M	2013/06/26	2013/06/28
61647	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 M	2013/06/26	2013/06/27
68180	UBS AG – HSI R Bull CBBC Jun 2013 K	2013/06/26	2013/06/28
65827	Credit Suisse AG – HSI R Bear CBBC Jun 2013 H	2013/06/26	2013/06/28
62935	HK Bank – HSI R Bull CBBC Jun 2013 B	2013/06/26	2013/06/28
66797	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 J	2013/06/26	2013/06/28
60240	Credit Suisse AG – HSI R Bear CBBC Jun 2013 Q	2013/06/26	2013/06/28
61662	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 F	2013/06/27	2013/06/28
61673	Credit Suisse AG – HSI R Bull CBBC Apr 2014 I	2013/06/27	2013/06/28
61672	Credit Suisse AG – HSI R Bull CBBC Apr 2014 H	2013/06/27	2013/06/28
61760	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 M	2013/06/27	2013/06/28
61661	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 B	2013/06/27	2013/06/28
61700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/06/27	2013/06/28
61759	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 L	2013/06/27	2013/06/28
61701	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 L	2013/06/27	2013/06/28
61670	Credit Suisse AG – HSI R Bull CBBC Apr 2014 F	2013/06/27	2013/06/28
61720	Credit Suisse AG – HSI R Bear CBBC Nov 2013 X	2013/06/27	2013/06/28
61663	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 H	2013/06/27	2013/06/28
61699	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/06/27	2013/06/28
61719	Credit Suisse AG – HSI R Bear CBBC Nov 2013 W	2013/06/27	2013/06/28
61702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 A	2013/06/27	2013/06/28
61671	Credit Suisse AG – HSI R Bull CBBC Apr 2014 G	2013/06/27	2013/06/28
61688	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 I	2013/06/27	2013/06/28
61684	UBS AG – HSI R Bull CBBC Dec 2013 A	2013/06/27	2013/06/28
61683	UBS AG – HSI R Bull CBBC Dec 2013 M	2013/06/27	2013/06/28
61685	UBS AG – HSI R Bull CBBC Nov 2013 N	2013/06/27	2013/06/28
61682	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 O	2013/06/27	2013/06/28
61681	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Y	2013/06/27	2013/06/28
61680	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 N	2013/06/27	2013/06/28
61686	UBS AG – HSI R Bull CBBC Oct 2013 I	2013/06/27	2013/06/28
61676	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 X	2013/06/27	2013/06/28
61762	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 M	2013/06/27	2013/06/28
68599	HK Bank – China Shenhua R Bull CBBC Jul 2014 A	2013/06/27	2013/06/28
61690	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 K	2013/06/27	2013/06/28
61691	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 L	2013/06/27	2013/06/28
61761	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 N	2013/06/27	2013/06/28
61678	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Z	2013/06/27	2013/06/28
61769	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 S	2013/06/27	2013/06/28
61677	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Y	2013/06/27	2013/06/28
61679	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 P	2013/06/27	2013/06/28
61675	Credit Suisse AG – HSI R Bull CBBC Apr 2014 J	2013/06/27	2013/06/28
61687	UBS AG – HSI R Bull CBBC Dec 2013 N	2013/06/27	2013/06/28
61516	UBS AG – China Shenhua R Bull CBBC Sep 2014 A	2013/06/27	2013/06/28
61722	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/06/27	2013/06/28
68134	HK Bank – CC Bank R Bull CBBC Jun 2013 A	2013/06/27	2013/07/02
61737	UBS AG – HSI R Bear CBBC Nov 2013 S	2013/06/27	2013/06/28
61758	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Q	2013/06/27	2013/06/28
65564	HK Bank – Hutchison R Bull CBBC Jun 2013 A	2013/06/27	2013/07/02
61745	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 J	2013/06/27	2013/06/28
66890	Credit Suisse AG – A50 China R Bear CBBC Jun 2013 A	2013/06/27	2013/07/02
61738	UBS AG – HSI R Bear CBBC Nov 2013 T	2013/06/27	2013/06/28
66891	Credit Suisse AG – A50 China R Bear CBBC Jun 2013 B	2013/06/27	2013/07/02
61739	UBS AG – HSI R Bear CBBC Nov 2013 U	2013/06/27	2013/06/28
61744	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 I	2013/06/27	2013/06/28
61723	Credit Suisse AG – HSI R Bear CBBC Dec 2013 F	2013/06/27	2013/06/28
61882	UBS AG – HSI R Bear CBBC Nov 2013 W	2013/06/28	2013/07/02
61879	Credit Suisse AG – HSI R Bear CBBC Dec 2013 I	2013/06/28	2013/07/02
61880	Credit Suisse AG – HSI R Bear CBBC Dec 2013 J	2013/06/28	2013/07/02
61878	Credit Suisse AG – HSI R Bear CBBC Dec 2013 H	2013/06/28	2013/07/02
61881	UBS AG – HSI R Bear CBBC Nov 2013 V	2013/06/28	2013/07/02
61867	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2014 B	2013/06/28	2013/07/02
61883	UBS AG – HSI R Bear CBBC Nov 2013 X	2013/06/28	2013/07/02
61909	UBS AG – A50 China R Bull CBBC Feb 2014 C	2013/06/28	2013/07/02

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61913	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 N	2013/06/28	2013/07/02
61914	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 O	2013/06/28	2013/07/02
61768	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 B	2013/06/28	2013/07/02
61857	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 P	2013/06/28	2013/07/02
61846	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 M	2013/06/28	2013/07/02
61847	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 N	2013/06/28	2013/07/02
61848	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 O	2013/06/28	2013/07/02
61853	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 N	2013/06/28	2013/07/02
61856	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 O	2013/06/28	2013/07/02
61887	UBS AG – HSI R Bear CBBC Dec 2013 O	2013/06/28	2013/07/02
61875	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Y	2013/06/28	2013/07/02
61401	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 U	2013/06/28	2013/07/02
61877	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Z	2013/06/28	2013/07/02
60779	UBS AG – ICBC R Bear CBBC Jul 2013 C	2013/06/28	2013/07/03
61863	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 O	2013/06/28	2013/07/02
61427	Credit Suisse AG – HSI R Bear CBBC Oct 2013 R	2013/06/28	2013/07/02
61429	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 G	2013/06/28	2013/07/02
61452	UBS AG – HSI R Bear CBBC Oct 2013 T	2013/06/28	2013/07/02
61866	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 P	2013/06/28	2013/07/02
61736	UBS AG – HSI R Bear CBBC Dec 2013 N	2013/06/28	2013/07/02
61890	UBS AG – HSI R Bear CBBC Dec 2013 P	2013/06/28	2013/07/02
61372	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 A	2013/06/28	2013/07/02
61978	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Q	2013/07/02	2013/07/03
61982	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 T	2013/07/02	2013/07/03
62060	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 S	2013/07/02	2013/07/03
62059	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 X	2013/07/02	2013/07/03
62003	Credit Suisse AG – HSI R Bear CBBC Dec 2013 N	2013/07/02	2013/07/03
62000	Credit Suisse AG – HSI R Bear CBBC Dec 2013 L	2013/07/02	2013/07/03
61985	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/07/02	2013/07/03
61806	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 O	2013/07/02	2013/07/03
61983	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 U	2013/07/02	2013/07/03
62069	UBS AG – HSI R Bear CBBC Dec 2013 T	2013/07/02	2013/07/03
61979	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 R	2013/07/02	2013/07/03
61974	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 R	2013/07/02	2013/07/03
61972	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 Q	2013/07/02	2013/07/03
61946	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 P	2013/07/02	2013/07/03
61933	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 T	2013/07/02	2013/07/03
61932	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 A	2013/07/02	2013/07/03
61984	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 V	2013/07/02	2013/07/03
62080	Credit Suisse AG – HSCEI R Bear CBBC Oct 2013 C	2013/07/02	2013/07/03
61981	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 S	2013/07/02	2013/07/03
62108	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 C	2013/07/02	2013/07/03
62105	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 D	2013/07/02	2013/07/03
62104	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 B	2013/07/02	2013/07/03
62103	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 B	2013/07/02	2013/07/03
62102	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 C	2013/07/02	2013/07/03
62065	UBS AG – HSI R Bear CBBC Feb 2014 C	2013/07/02	2013/07/03
62100	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 A	2013/07/02	2013/07/03
62066	UBS AG – HSI R Bear CBBC Dec 2013 S	2013/07/02	2013/07/03
62077	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/07/02	2013/07/03
62074	Credit Suisse AG – HSI R Bear CBBC Dec 2013 O	2013/07/02	2013/07/03
62073	UBS AG – HSI R Bear CBBC Nov 2013 Z	2013/07/02	2013/07/03
62072	UBS AG – HSI R Bear CBBC Nov 2013 Y	2013/07/02	2013/07/03
62071	UBS AG – HSI R Bear CBBC Dec 2013 U	2013/07/02	2013/07/03
61999	Credit Suisse AG – HSI R Bear CBBC Dec 2013 K	2013/07/02	2013/07/03
62101	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 A	2013/07/02	2013/07/03
61467	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 H	2013/07/02	2013/07/03
61721	Credit Suisse AG – HSI R Bear CBBC Dec 2013 D	2013/07/02	2013/07/03
61641	UBS AG – HSI R Bear CBBC Dec 2013 M	2013/07/02	2013/07/03
61639	Credit Suisse AG – HSI R Bear CBBC Nov 2013 U	2013/07/02	2013/07/03
61544	Credit Suisse AG – HSI R Bear CBBC Nov 2013 P	2013/07/02	2013/07/03
61539	UBS AG – HSI R Bear CBBC Nov 2013 R	2013/07/02	2013/07/03
61502	UBS AG – HSI R Bear CBBC Nov 2013 N	2013/07/02	2013/07/03
61500	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 H	2013/07/02	2013/07/03
61731	UBS AG – TCH R Bear CBBC Dec 2013 C	2013/07/02	2013/07/03
61494	Credit Suisse AG – HSI R Bear CBBC Nov 2013 K	2013/07/02	2013/07/03
61426	Credit Suisse AG – HSI R Bear CBBC Nov 2013 J	2013/07/02	2013/07/03
61455	UBS AG – HSI R Bear CBBC Oct 2013 U	2013/07/02	2013/07/03
61451	UBS AG – HSI R Bear CBBC Oct 2013 R	2013/07/02	2013/07/03
61428	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 F	2013/07/02	2013/07/03
61425	Credit Suisse AG – HSI R Bear CBBC Oct 2013 P	2013/07/02	2013/07/03
62002	Credit Suisse AG – HSI R Bear CBBC Dec 2013 M	2013/07/02	2013/07/03
61399	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 S	2013/07/02	2013/07/03
61373	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 B	2013/07/02	2013/07/03
61371	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 M	2013/07/02	2013/07/03
61495	Credit Suisse AG – HSI R Bear CBBC Nov 2013 L	2013/07/02	2013/07/03
61766	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 R	2013/07/02	2013/07/03
61743	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 H	2013/07/02	2013/07/03
61747	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 K	2013/07/02	2013/07/03
61400	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 T	2013/07/02	2013/07/03
62165	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Z	2013/07/03	2013/07/04
61735	UBS AG – HSI R Bull CBBC Dec 2013 T	2013/07/03	2013/07/04
62174	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Q	2013/07/03	2013/07/04
62178	Credit Suisse AG – HSI R Bear CBBC Dec 2013 S	2013/07/03	2013/07/04
62179	Credit Suisse AG – HSI R Bear CBBC Dec 2013 T	2013/07/03	2013/07/04
62180	Credit Suisse AG – HSI R Bear CBBC Dec 2013 U	2013/07/03	2013/07/04
69011	Credit Suisse AG – HSI R Bear CBBC Oct 2013 E	2013/07/03	2013/07/04

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62181	Credit Suisse AG – HSI R Bear CBBC Dec 2013 V	2013/07/03	2013/07/04
62182	Credit Suisse AG – HSI R Bear CBBC Dec 2013 W	2013/07/03	2013/07/04
62190	UBS AG – HSI R Bear CBBC Nov 2013 D	2013/07/03	2013/07/04
62191	UBS AG – HSI R Bear CBBC Nov 2013 F	2013/07/03	2013/07/04
62164	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Y	2013/07/03	2013/07/04
62195	UBS AG – HSI R Bear CBBC Dec 2013 W	2013/07/03	2013/07/04
62173	Credit Suisse AG – HSI R Bear CBBC Dec 2013 P	2013/07/03	2013/07/04
62196	UBS AG – HSI R Bear CBBC Nov 2013 E	2013/07/03	2013/07/04
62215	UBS AG – HSCEI R Bear CBBC Oct 2013 E	2013/07/03	2013/07/04
62233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 A	2013/07/03	2013/07/04
62235	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 Q	2013/07/03	2013/07/04
62239	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 R	2013/07/03	2013/07/04
62255	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 Q	2013/07/03	2013/07/04
62257	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 R	2013/07/03	2013/07/04
62258	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 S	2013/07/03	2013/07/04
60284	Credit Suisse AG – HSI R Bear CBBC Oct 2013 S	2013/07/03	2013/07/04
69508	Credit Suisse AG – HSI R Bear CBBC Oct 2013 K	2013/07/03	2013/07/04
62194	UBS AG – HSI R Bear CBBC Dec 2013 V	2013/07/03	2013/07/04
61948	Credit Suisse AG – HSI R Bull CBBC Jun 2014 F	2013/07/03	2013/07/04
69811	Credit Suisse AG – HSI R Bear CBBC Oct 2013 L	2013/07/03	2013/07/04
61963	UBS AG – HSI R Bull CBBC Mar 2014 N	2013/07/03	2013/07/04
61962	UBS AG – HSI R Bull CBBC Mar 2014 I	2013/07/03	2013/07/04
69506	Credit Suisse AG – HSI R Bear CBBC Oct 2013 J	2013/07/03	2013/07/04
62177	Credit Suisse AG – HSI R Bear CBBC Dec 2013 R	2013/07/03	2013/07/04
61958	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 Y	2013/07/03	2013/07/04
62162	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 13U	2013/07/03	2013/07/04
60029	Credit Suisse AG – HSI R Bear CBBC Oct 2013 Q	2013/07/03	2013/07/04
60030	Credit Suisse AG – HSI R Bear CBBC Nov 2013 F	2013/07/03	2013/07/04
61757	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 P	2013/07/03	2013/07/04
61944	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 I	2013/07/03	2013/07/04
61831	Credit Suisse AG – HSI R Bull CBBC Mar 2014 I	2013/07/03	2013/07/04
69840	Credit Suisse AG – HSI R Bear CBBC Oct 2013 O	2013/07/03	2013/07/04
61802	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 J	2013/07/03	2013/07/04
62159	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct 13T	2013/07/03	2013/07/04
61816	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 K	2013/07/03	2013/07/04
61818	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 J	2013/07/03	2013/07/04
61836	Credit Suisse AG – HSI R Bull CBBC Mar 2014 J	2013/07/03	2013/07/04
61803	J P Morgan SP BV – HSI R Bull CBBC Jan 2014 K	2013/07/03	2013/07/04
61837	Credit Suisse AG – HSI R Bull CBBC Mar 2014 K	2013/07/03	2013/07/04
61841	UBS AG – HSI R Bull CBBC Dec 2013 Y	2013/07/03	2013/07/04
61842	UBS AG – HSI R Bull CBBC Nov 2013 H	2013/07/03	2013/07/04
61920	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 L	2013/07/03	2013/07/04
61817	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 L	2013/07/03	2013/07/04
62275	Credit Suisse AG – HSI R Bear CBBC Jan 2014 A	2013/07/04	2013/07/05
62339	UBS AG – HSI R Bull CBBC Dec 2013 P	2013/07/04	2013/07/05
62316	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 S	2013/07/04	2013/07/05
62297	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Q	2013/07/04	2013/07/05
62288	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 V	2013/07/04	2013/07/05
62286	UBS AG – HSI R Bear CBBC Feb 2014 E	2013/07/04	2013/07/05
62280	UBS AG – HSI R Bear CBBC Dec 2013 Y	2013/07/04	2013/07/05
62279	UBS AG – HSI R Bear CBBC Dec 2013 X	2013/07/04	2013/07/05
62276	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/07/04	2013/07/05
62299	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 U	2013/07/04	2013/07/05
62277	Credit Suisse AG – HSI R Bear CBBC Jan 2014 B	2013/07/04	2013/07/05
67962	UBS AG – BOCL R Bull CBBC Jul 2013 A	2013/07/05	2013/07/09
62422	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 T	2013/07/05	2013/07/08
62188	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 C	2013/07/05	2013/07/08
62365	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 X	2013/07/05	2013/07/08
62197	UBS AG – HSI R Bear CBBC Feb 2014 D	2013/07/05	2013/07/08
62175	UBS AG – CNOOC R Bear CBBC Jul 2013 B	2013/07/05	2013/07/09
62120	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 Q	2013/07/05	2013/07/08
63489	HK Bank – Hutchison R Bull CBBC Jul 2013 A	2013/07/05	2013/07/09
62248	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 P	2013/07/05	2013/07/08
62401	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Z	2013/07/05	2013/07/08
61320	UBS AG – CSOP A50 ETF R Bear CBBC Jul 2013 B	2013/07/05	2013/07/09
68501	HK Bank – ICBC R Bull CBBC Jul 2013 A	2013/07/05	2013/07/09
62385	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Y	2013/07/05	2013/07/08
62383	Credit Suisse AG – HSI R Bear CBBC Dec 2013 X	2013/07/05	2013/07/08
64514	UBS AG – Hutchison R Bull CBBC Jul 2013 B	2013/07/05	2013/07/09
62357	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 W	2013/07/05	2013/07/08
61052	UBS AG – A50 China R Bear CBBC Jul 2013 B	2013/07/05	2013/07/09
62351	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 D	2013/07/05	2013/07/08
62371	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/07/05	2013/07/08
62382	Credit Suisse AG – HSI R Bear CBBC Nov 2013 W	2013/07/05	2013/07/08
62372	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/07/05	2013/07/08
65666	UBS AG – ICBC R Bear CBBC Jul 2013 A	2013/07/05	2013/07/09
62373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 L	2013/07/05	2013/07/08
62374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 M	2013/07/05	2013/07/08
62377	UBS AG – HSI R Bear CBBC Jan 2014 B	2013/07/05	2013/07/08
62376	UBS AG – HSI R Bear CBBC Nov 2013 H	2013/07/05	2013/07/08
68453	UBS AG – Li & Fung R Bear CBBC Jul 2013 A	2013/07/05	2013/07/09
62375	UBS AG – HSI R Bear CBBC Nov 2013 G	2013/07/05	2013/07/08
62486	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 G	2013/07/08	2013/07/09
62494	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 N	2013/07/08	2013/07/09
62493	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 M	2013/07/08	2013/07/09
62484	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 A	2013/07/08	2013/07/09
62487	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 B	2013/07/08	2013/07/09

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62485	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 B	2013/07/08	2013/07/09
62483	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 C	2013/07/08	2013/07/09
62532	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 Q	2013/07/08	2013/07/09
62499	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 O	2013/07/08	2013/07/09
62488	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 D	2013/07/08	2013/07/09
62502	UBS AG – HSI R Bull CBBC Feb 2014 Y	2013/07/08	2013/07/09
62504	UBS AG – HSI R Bull CBBC Feb 2014 Z	2013/07/08	2013/07/09
62505	UBS AG – HSI R Bull CBBC Dec 2013 V	2013/07/08	2013/07/09
62513	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 O	2013/07/08	2013/07/09
62516	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 R	2013/07/08	2013/07/09
62296	Credit Suisse AG – HSI R Bull CBBC Apr 2014 P	2013/07/08	2013/07/09
62527	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 T	2013/07/08	2013/07/09
62529	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 P	2013/07/08	2013/07/09
62481	Credit Suisse AG – HSI R Bull CBBC Dec 2013 P	2013/07/08	2013/07/09
62531	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 V	2013/07/08	2013/07/09
62514	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 P	2013/07/08	2013/07/09
62533	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 W	2013/07/08	2013/07/09
62530	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 U	2013/07/08	2013/07/09
62421	UBS AG – HSI R Bull CBBC Nov 2013 D	2013/07/08	2013/07/09
62476	Credit Suisse AG – HSI R Bull CBBC Dec 2013 O	2013/07/08	2013/07/09
62396	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 Q	2013/07/08	2013/07/09
62517	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 S	2013/07/08	2013/07/09
62398	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2014 R	2013/07/08	2013/07/09
62405	Credit Suisse AG – HSI R Bull CBBC May 2014 H	2013/07/08	2013/07/09
62406	Credit Suisse AG – HSI R Bull CBBC May 2014 I	2013/07/08	2013/07/09
62407	Credit Suisse AG – HSI R Bull CBBC May 2014 J	2013/07/08	2013/07/09
62408	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Feb 2014 X	2013/07/08	2013/07/09
62409	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Z	2013/07/08	2013/07/09
62410	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 C	2013/07/08	2013/07/09
62389	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 J	2013/07/08	2013/07/09
62418	UBS AG – HSI R Bull CBBC Dec 2013 U	2013/07/08	2013/07/09
62425	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 J	2013/07/08	2013/07/09
62447	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 Y	2013/07/08	2013/07/09
62309	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 W	2013/07/08	2013/07/09
62461	Credit Suisse AG – HSI R Bull CBBC Dec 2013 N	2013/07/08	2013/07/09
62460	Credit Suisse AG – HSI R Bull CBBC Dec 2013 L	2013/07/08	2013/07/09
62411	UBS AG – HSI R Bull CBBC Mar 2014 Q	2013/07/08	2013/07/09
62450	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 F	2013/07/08	2013/07/09
62435	J P Morgan SP BV – HSI R Bull CBBC Feb 2014 R	2013/07/08	2013/07/09
62444	UBS AG – HSI R Bear CBBC Feb 2014 F	2013/07/08	2013/07/09
62443	UBS AG – HSI R Bear CBBC Dec 2013 D	2013/07/08	2013/07/09
62440	Credit Suisse AG – HSI R Bear CBBC Dec 2013 F	2013/07/08	2013/07/09
62439	Credit Suisse AG – HSI R Bear CBBC Nov 2013 X	2013/07/08	2013/07/09
62436	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/07/08	2013/07/09
62591	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 V	2013/07/09	2013/07/10
62590	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Z	2013/07/09	2013/07/10
62587	Credit Suisse AG – HSI R Bear CBBC Oct 2013 P	2013/07/09	2013/07/10
62584	UBS AG – HSI R Bear CBBC Dec 2013 G	2013/07/09	2013/07/10
62583	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 E	2013/07/09	2013/07/10
62571	Credit Suisse AG – HSI R Bull CBBC Jan 2014 A	2013/07/09	2013/07/10
62570	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Z	2013/07/09	2013/07/10
62599	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 U	2013/07/09	2013/07/10
62544	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 K	2013/07/09	2013/07/10
62568	UBS AG – HSI R Bull CBBC Apr 2014 P	2013/07/09	2013/07/10
62567	UBS AG – HSI R Bull CBBC Nov 2013 E	2013/07/09	2013/07/10
62569	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Y	2013/07/09	2013/07/10
62441	UBS AG – HSI R Bear CBBC Dec 2013 Z	2013/07/09	2013/07/10
62454	Credit Suisse AG – HSI R Bear CBBC Dec 2013 H	2013/07/09	2013/07/10
62658	UBS AG – HSI R Bear CBBC Nov 2013 I	2013/07/10	2013/07/11
62659	UBS AG – HSI R Bear CBBC Oct 2013 R	2013/07/10	2013/07/11
62660	UBS AG – HSI R Bear CBBC Dec 2013 C	2013/07/10	2013/07/11
62661	UBS AG – HSI R Bear CBBC Nov 2013 S	2013/07/10	2013/07/11
62665	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 F	2013/07/10	2013/07/11
62653	Credit Suisse AG – HSI R Bear CBBC Nov 2013 P	2013/07/10	2013/07/11
62666	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 G	2013/07/10	2013/07/11
62667	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 F	2013/07/10	2013/07/11
62668	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 E	2013/07/10	2013/07/11
62662	UBS AG – HSI R Bear CBBC Nov 2013 T	2013/07/10	2013/07/11
62657	UBS AG – HSI R Bear CBBC Oct 2013 T	2013/07/10	2013/07/11
62669	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 E	2013/07/10	2013/07/11
62655	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Q	2013/07/10	2013/07/11
62673	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 X	2013/07/10	2013/07/11
62652	Credit Suisse AG – HSI R Bear CBBC Nov 2013 L	2013/07/10	2013/07/11
62637	Credit Suisse AG – HSI R Bear CBBC Nov 2013 K	2013/07/10	2013/07/11
62636	Credit Suisse AG – HSI R Bear CBBC Nov 2013 J	2013/07/10	2013/07/11
62624	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 M	2013/07/10	2013/07/11
62631	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/07/10	2013/07/11
60473	UBS AG – Hutchison R Bear CBBC Dec 2013 A	2013/07/10	2013/07/11
62482	Credit Suisse AG – HSI R Bear CBBC Dec 2013 I	2013/07/10	2013/07/11
62352	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 E	2013/07/10	2013/07/11
62656	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 R	2013/07/10	2013/07/11
62595	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 D	2013/07/10	2013/07/11
62572	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 X	2013/07/10	2013/07/11
62573	UBS AG – HSI R Bear CBBC Dec 2013 E	2013/07/10	2013/07/11
62574	UBS AG – HSI R Bear CBBC Dec 2013 F	2013/07/10	2013/07/11
62547	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 Z	2013/07/10	2013/07/11
62538	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 W	2013/07/10	2013/07/11

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62534	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 V	2013/07/10	2013/07/11
62589	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Y	2013/07/10	2013/07/11
62492	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 U	2013/07/10	2013/07/11
62671	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 G	2013/07/10	2013/07/11
62594	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 E	2013/07/10	2013/07/11
62670	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 F	2013/07/10	2013/07/11
62603	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 L	2013/07/10	2013/07/11
62607	Credit Suisse AG – HSI R Bull CBBC Mar 2014 N	2013/07/10	2013/07/11
62616	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 N	2013/07/10	2013/07/11
62617	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 O	2013/07/10	2013/07/11
62622	UBS AG – HSI R Bull CBBC Dec 2013 W	2013/07/10	2013/07/11
62623	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 A	2013/07/10	2013/07/11
62625	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 P	2013/07/10	2013/07/11
62672	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 H	2013/07/10	2013/07/11
62586	Credit Suisse AG – HSI R Bear CBBC Oct 2013 R	2013/07/10	2013/07/11
62593	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 W	2013/07/10	2013/07/11
61422	Credit Suisse AG – HSI R Bear CBBC Oct 2013 H	2013/07/11	2013/07/12
61625	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 M	2013/07/11	2013/07/12
61725	Credit Suisse AG – HSI R Bear CBBC Dec 2013 G	2013/07/11	2013/07/12
61698	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 I	2013/07/11	2013/07/12
61697	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 H	2013/07/11	2013/07/12
61695	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 G	2013/07/11	2013/07/12
61652	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 G	2013/07/11	2013/07/12
61651	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 F	2013/07/11	2013/07/12
61650	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 K	2013/07/11	2013/07/12
61646	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Y	2013/07/11	2013/07/12
61643	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 X	2013/07/11	2013/07/12
61545	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Q	2013/07/11	2013/07/12
61640	Credit Suisse AG – HSI R Bear CBBC Nov 2013 V	2013/07/11	2013/07/12
61795	HK Bank – HSI R Bear CBBC Dec 2013 I	2013/07/11	2013/07/12
61623	UBS AG – HSI R Bear CBBC Feb 2014 B	2013/07/11	2013/07/12
61622	Credit Suisse AG – HSI R Bear CBBC Nov 2013 T	2013/07/11	2013/07/12
61620	Credit Suisse AG – HSI R Bear CBBC Nov 2013 S	2013/07/11	2013/07/12
61619	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 J	2013/07/11	2013/07/12
61600	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 C	2013/07/11	2013/07/12
61599	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 B	2013/07/11	2013/07/12
61578	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 L	2013/07/11	2013/07/12
61577	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 K	2013/07/11	2013/07/12
62747	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 U	2013/07/11	2013/07/12
61642	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 O	2013/07/11	2013/07/12
62433	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 C	2013/07/11	2013/07/12
62746	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 T	2013/07/11	2013/07/12
62745	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 Y	2013/07/11	2013/07/12
62739	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 O	2013/07/11	2013/07/12
62711	UBS AG – HSI R Bear CBBC Nov 2013 U	2013/07/11	2013/07/12
62708	UBS AG – HSI R Bear CBBC Dec 2013 H	2013/07/11	2013/07/12
62706	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 N	2013/07/11	2013/07/12
62704	Credit Suisse AG – HSI R Bear CBBC Oct 2013 K	2013/07/11	2013/07/12
62703	Credit Suisse AG – HSI R Bear CBBC Nov 2013 U	2013/07/11	2013/07/12
62697	Credit Suisse AG – HSI R Bear CBBC Oct 2013 J	2013/07/11	2013/07/12
61763	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 Q	2013/07/11	2013/07/12
62548	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 K	2013/07/11	2013/07/12
61765	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Z	2013/07/11	2013/07/12
62350	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 C	2013/07/11	2013/07/12
62225	UBS AG – China Life R Bear CBBC Jan 2014 B	2013/07/11	2013/07/12
62217	UBS AG – A50 China R Bear CBBC Nov 2013 B	2013/07/11	2013/07/12
62189	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 D	2013/07/11	2013/07/12
62081	Credit Suisse AG – HSCEI R Bear CBBC Oct 2013 D	2013/07/11	2013/07/12
62041	UBS AG – HSCEI R Bear CBBC Nov 2013 B	2013/07/11	2013/07/12
61934	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 B	2013/07/11	2013/07/12
61892	UBS AG – HSI R Bear CBBC Dec 2013 Q	2013/07/11	2013/07/12
61849	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 P	2013/07/11	2013/07/12
61538	UBS AG – HSI R Bear CBBC Dec 2013 L	2013/07/11	2013/07/12
61597	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 A	2013/07/11	2013/07/12
61240	UBS AG – HSI R Bear CBBC Sep 2013 U	2013/07/11	2013/07/12
61394	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 E	2013/07/11	2013/07/12
61377	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 E	2013/07/11	2013/07/12
61376	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 D	2013/07/11	2013/07/12
61375	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 C	2013/07/11	2013/07/12
61370	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 L	2013/07/11	2013/07/12
61369	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 K	2013/07/11	2013/07/12
61367	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 J	2013/07/11	2013/07/12
61366	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 I	2013/07/11	2013/07/12
61365	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 H	2013/07/11	2013/07/12
61546	Credit Suisse AG – HSI R Bear CBBC Nov 2013 R	2013/07/11	2013/07/12
61245	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 O	2013/07/11	2013/07/12
61397	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 Q	2013/07/11	2013/07/12
61239	UBS AG – HSI R Bear CBBC Oct 2013 O	2013/07/11	2013/07/12
61236	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 E	2013/07/11	2013/07/12
61232	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 D	2013/07/11	2013/07/12
61222	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13X	2013/07/11	2013/07/12
61219	Credit Suisse AG – HSI R Bear CBBC Oct 2013 G	2013/07/11	2013/07/12
61218	Credit Suisse AG – HSI R Bear CBBC Sep 2013 J	2013/07/11	2013/07/12
61167	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 M	2013/07/11	2013/07/12
61161	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13W	2013/07/11	2013/07/12
60895	HK Bank – AIA R Bear CBBC Nov 2013 C	2013/07/11	2013/07/12
61341	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 M	2013/07/11	2013/07/12

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61486	HK Bank – HSI R Bear CBBC Nov 2013 R	2013/07/11	2013/07/12
61536	UBS AG – HSI R Bear CBBC Dec 2013 K	2013/07/11	2013/07/12
61535	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 N	2013/07/11	2013/07/12
61528	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 J	2013/07/11	2013/07/12
61505	UBS AG – HSI R Bear CBBC Nov 2013 Q	2013/07/11	2013/07/12
61504	UBS AG – HSI R Bear CBBC Nov 2013 P	2013/07/11	2013/07/12
61503	UBS AG – HSI R Bear CBBC Nov 2013 O	2013/07/11	2013/07/12
61501	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 I	2013/07/11	2013/07/12
61498	Credit Suisse AG – HSI R Bear CBBC Nov 2013 O	2013/07/11	2013/07/12
61497	Credit Suisse AG – HSI R Bear CBBC Nov 2013 N	2013/07/11	2013/07/12
61395	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 F	2013/07/11	2013/07/12
61487	HK Bank – HSI R Bear CBBC Nov 2013 S	2013/07/11	2013/07/12
61396	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 P	2013/07/11	2013/07/12
61473	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 U	2013/07/11	2013/07/12
61472	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 M	2013/07/11	2013/07/12
61468	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 I	2013/07/11	2013/07/12
61450	UBS AG – HSI R Bear CBBC Oct 2013 Q	2013/07/11	2013/07/12
61449	UBS AG – HSI R Bear CBBC Oct 2013 P	2013/07/11	2013/07/12
61448	UBS AG – HSI R Bear CBBC Oct 2013 K	2013/07/11	2013/07/12
61423	Credit Suisse AG – HSI R Bear CBBC Nov 2013 I	2013/07/11	2013/07/12
61421	Credit Suisse AG – HSI R Bear CBBC Nov 2013 H	2013/07/11	2013/07/12
61398	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 R	2013/07/11	2013/07/12
62687	Credit Suisse AG – HSI R Bear CBBC Oct 2013 E	2013/07/11	2013/07/12
61496	Credit Suisse AG – HSI R Bear CBBC Nov 2013 M	2013/07/11	2013/07/12
62518	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 D	2013/07/11	2013/07/12
64114	HK Bank – Cheung Kong R Bear CBBC Jul 2013 A	2013/07/11	2013/07/15
62791	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 H	2013/07/12	2013/07/15
62786	Credit Suisse AG – HSI R Bear CBBC Dec 2013 K	2013/07/12	2013/07/15
62785	Credit Suisse AG – HSI R Bear CBBC Dec 2013 D	2013/07/12	2013/07/15
62773	UBS AG – HSI R Bear CBBC Jan 2014 D	2013/07/12	2013/07/15
64211	HK Bank – CPIC R Bull CBBC Jul 2013 A	2013/07/12	2013/07/16
62771	UBS AG – HSI R Bear CBBC Oct 2013 U	2013/07/12	2013/07/15
62770	UBS AG – HSI R Bear CBBC Nov 2013 V	2013/07/12	2013/07/15
62769	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 B	2013/07/12	2013/07/15
62768	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 U	2013/07/12	2013/07/15
62805	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 Z	2013/07/12	2013/07/15
62766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 S	2013/07/12	2013/07/15
62782	Credit Suisse AG – HSI R Bear CBBC Dec 2013 J	2013/07/12	2013/07/15
62759	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 R	2013/07/12	2013/07/15
67806	HK Bank – China Mobile R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
65858	UBS AG – CSOP A50 ETF R Bear CBBC Jul 2013 C	2013/07/12	2013/07/16
64530	UBS AG – Sands China R Bull CBBC Jul 2013 A	2013/07/12	2013/07/16
60069	UBS AG – Hutchison R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
64744	UBS AG – China Tel R Bull CBBC Jul 2013 A	2013/07/12	2013/07/16
60691	HK Bank – ABC R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
61733	UBS AG – ICBC R Bear CBBC Nov 2013 B	2013/07/12	2013/07/15
63999	HK Bank – CNOOC R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
62767	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 T	2013/07/12	2013/07/15
62772	UBS AG – HSI R Bear CBBC Jan 2014 C	2013/07/12	2013/07/15
60991	UBS AG – China Life R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
61015	HK Bank – CSOP A50 ETF R Bear CBBC Jul 2013 A	2013/07/12	2013/07/16
60544	HK Bank – TCH R Bear CBBC Nov 2013 C	2013/07/15	2013/07/16
62860	UBS AG – HSI R Bear CBBC Feb 2014 G	2013/07/15	2013/07/16
69802	UBS AG – TCH R Bear CBBC Dec 2013 B	2013/07/15	2013/07/16
62863	UBS AG – HSI R Bear CBBC Nov 2013 W	2013/07/15	2013/07/16
62819	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 S	2013/07/15	2013/07/16
62850	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 Q	2013/07/15	2013/07/16
62851	Credit Suisse AG – HSI R Bear CBBC Nov 2013 F	2013/07/15	2013/07/16
62853	Credit Suisse AG – HSI R Bear CBBC Oct 2013 L	2013/07/15	2013/07/16
62854	Credit Suisse AG – HSI R Bear CBBC Oct 2013 O	2013/07/15	2013/07/16
62859	Credit Suisse AG – HSI R Bear CBBC Oct 2013 Q	2013/07/15	2013/07/16
62873	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 L	2013/07/15	2013/07/16
62882	UBS AG – HSI R Bear CBBC Jan 2014 E	2013/07/16	2013/07/17
66225	UBS AG – HKEx R Bear CBBC Jul 2013 A	2013/07/16	2013/07/18
69547	UBS AG – TCH R Bear CBBC Nov 2013 B	2013/07/16	2013/07/17
62898	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2013 I	2013/07/16	2013/07/17
65677	HK Bank – TCH R Bear CBBC Sep 2013 B	2013/07/16	2013/07/17
62886	UBS AG – HSI R Bear CBBC Dec 2013 B	2013/07/16	2013/07/17
62881	Credit Suisse AG – HSI R Bear CBBC Nov 2013 W	2013/07/16	2013/07/17
62878	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/07/16	2013/07/17
62875	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 T	2013/07/16	2013/07/17
62894	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 M	2013/07/16	2013/07/17
62926	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 X	2013/07/17	2013/07/18
62939	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 S	2013/07/17	2013/07/18
62938	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 R	2013/07/17	2013/07/18
62933	UBS AG – HSI R Bear CBBC Apr 2014 B	2013/07/17	2013/07/18
62932	UBS AG – HSI R Bear CBBC Dec 2013 O	2013/07/17	2013/07/18
62920	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/07/17	2013/07/18
62913	Credit Suisse AG – HSI R Bear CBBC Nov 2013 X	2013/07/17	2013/07/18
62928	UBS AG – HSI R Bear CBBC Dec 2013 N	2013/07/17	2013/07/18
62916	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Z	2013/07/17	2013/07/18
62917	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/07/17	2013/07/18
62919	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 V	2013/07/17	2013/07/18
63003	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Z	2013/07/18	2013/07/19
63025	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 P	2013/07/18	2013/07/19
63027	Credit Suisse AG – HSI R Bear CBBC Oct 2013 G	2013/07/18	2013/07/19
63281	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 A	2013/07/18	2013/07/19

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63035	Credit Suisse AG – HSI R Bull CBBC May 2014 N	2013/07/18	2013/07/19
63052	UBS AG – HSI R Bull CBBC Feb 2014 D	2013/07/18	2013/07/19
62983	Credit Suisse AG – HSI R Bear CBBC Oct 2013 S	2013/07/18	2013/07/19
63042	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 U	2013/07/18	2013/07/19
63045	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 N	2013/07/18	2013/07/19
63046	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 I	2013/07/18	2013/07/19
63050	UBS AG – HSI R Bull CBBC Mar 2014 T	2013/07/18	2013/07/19
63253	J P Morgan SP BV – HSI R Bull CBBC Mar 2014 T	2013/07/18	2013/07/19
63142	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2014 C	2013/07/18	2013/07/19
63034	Credit Suisse AG – HSI R Bull CBBC May 2014 M	2013/07/18	2013/07/19
63008	UBS AG – HSI R Bear CBBC Oct 2013 R	2013/07/18	2013/07/19
62990	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 U	2013/07/18	2013/07/19
63005	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 N	2013/07/18	2013/07/19
62987	Credit Suisse AG – HSI R Bear CBBC Oct 2013 E	2013/07/18	2013/07/19
62986	Credit Suisse AG – HSI R Bear CBBC Oct 2013 R	2013/07/18	2013/07/19
63004	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/07/18	2013/07/19
63006	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 O	2013/07/18	2013/07/19
62989	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 T	2013/07/18	2013/07/19
63007	UBS AG – HSI R Bear CBBC Nov 2013 X	2013/07/18	2013/07/19
63002	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Y	2013/07/18	2013/07/19
63010	UBS AG – HSI R Bear CBBC Dec 2013 P	2013/07/18	2013/07/19
62984	Credit Suisse AG – HSI R Bear CBBC Oct 2013 P	2013/07/18	2013/07/19
63022	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 H	2013/07/18	2013/07/19
63023	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/07/18	2013/07/19
63855	UBS AG – HSI R Bear CBBC Oct 2013 O	2013/07/19	2013/07/22
63851	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Y	2013/07/19	2013/07/22
63850	Credit Suisse AG – HSI R Bear CBBC Nov 2013 P	2013/07/19	2013/07/22
63849	Credit Suisse AG – HSI R Bear CBBC Nov 2013 L	2013/07/19	2013/07/22
61095	HK Bank – Hutchison R Bear CBBC Nov 2013 C	2013/07/19	2013/07/22
67724	HK Bank – A50 R Bear CBBC Jul 2013 C	2013/07/19	2013/07/23
65078	Credit Suisse AG – HSI R Bear CBBC Aug 2013 H	2013/07/19	2013/07/22
63867	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Q	2013/07/19	2013/07/22
65077	Credit Suisse AG – HSI R Bear CBBC Aug 2013 G	2013/07/19	2013/07/22
65076	Credit Suisse AG – HSI R Bear CBBC Aug 2013 F	2013/07/19	2013/07/22
63488	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Y	2013/07/19	2013/07/22
64002	HK Bank – PetCh R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
64549	HK Bank – Galaxy Ent R Bull CBBC Jul 2013 A	2013/07/19	2013/07/23
68525	HK Bank – CC Bank R Bull CBBC Jul 2013 A	2013/07/19	2013/07/23
63604	UBS AG – CC Bank R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
63734	Credit Suisse AG – HSI R Bear CBBC Jul 2013 F	2013/07/19	2013/07/22
64383	Credit Suisse AG – HSI R Bear CBBC Jul 2013 K	2013/07/19	2013/07/22
64379	Credit Suisse AG – HSI R Bear CBBC Jul 2013 J	2013/07/19	2013/07/22
63735	Credit Suisse AG – HSI R Bear CBBC Aug 2013 A	2013/07/19	2013/07/22
64378	Credit Suisse AG – HSI R Bear CBBC Jul 2013 I	2013/07/19	2013/07/22
64909	HK Bank – TCH R Bull CBBC Jul 2013 B	2013/07/19	2013/07/23
66218	UBS AG – CNOOC R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
63029	UBS AG – HSI R Bear CBBC Oct 2013 T	2013/07/19	2013/07/22
63026	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 Q	2013/07/19	2013/07/22
63129	UBS AG – A50 China R Bull CBBC Jan 2014 F	2013/07/19	2013/07/22
60255	Credit Suisse AG – HSI R Bear CBBC Jul 2013 P	2013/07/19	2013/07/22
63359	UBS AG – HSI R Bull CBBC Dec 2013 K	2013/07/19	2013/07/22
60422	UBS AG – CUni R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
63404	UBS AG – HSI R Bull CBBC Jan 2014 M	2013/07/19	2013/07/22
66214	UBS AG – China Mobile R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
63765	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 S	2013/07/19	2013/07/22
63456	Credit Suisse AG – HSI R Bull CBBC Apr 2014 W	2013/07/19	2013/07/22
63487	Credit Suisse AG – HSI R Bull CBBC Apr 2014 X	2013/07/19	2013/07/22
60769	UBS AG – CSOP A50 ETF R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
66111	Credit Suisse AG – HSI R Bear CBBC Jul 2013 N	2013/07/19	2013/07/22
65664	UBS AG – Ping An R Bear CBBC Jul 2013 A	2013/07/19	2013/07/23
63508	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 V	2013/07/19	2013/07/22
63768	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 X	2013/07/19	2013/07/22
63970	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 P	2013/07/22	2013/07/23
63011	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 V	2013/07/22	2013/07/23
63953	Credit Suisse AG – HSI R Bull CBBC Dec 2013 S	2013/07/22	2013/07/23
63941	UBS AG – HSI R Bull CBBC Nov 2013 A	2013/07/22	2013/07/23
63921	UBS AG – HSI R Bear CBBC Nov 2013 N	2013/07/22	2013/07/23
63954	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 V	2013/07/22	2013/07/23
63889	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 A	2013/07/22	2013/07/23
63914	Credit Suisse AG – HSI R Bear CBBC Dec 2013 L	2013/07/22	2013/07/23
63904	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 U	2013/07/22	2013/07/23
60804	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 B	2013/07/23	2013/07/24
60805	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 C	2013/07/23	2013/07/24
60827	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 F	2013/07/23	2013/07/24
60829	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 R	2013/07/23	2013/07/24
64033	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 V	2013/07/23	2013/07/24
60914	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 U	2013/07/23	2013/07/24
60919	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 K	2013/07/23	2013/07/24
60921	Credit Suisse AG – HSI R Bear CBBC Oct 2013 A	2013/07/23	2013/07/24
60771	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 Z	2013/07/23	2013/07/24
60923	Credit Suisse AG – HSI R Bear CBBC Oct 2013 C	2013/07/23	2013/07/24
60735	UBS AG – HSI R Bear CBBC Oct 2013 G	2013/07/23	2013/07/24
60924	Credit Suisse AG – HSI R Bear CBBC Oct 2013 D	2013/07/23	2013/07/24
60940	UBS AG – HSI R Bear CBBC Nov 2013 K	2013/07/23	2013/07/24
60948	UBS AG – HSI R Bear CBBC Oct 2013 M	2013/07/23	2013/07/24
60949	UBS AG – HSI R Bear CBBC Oct 2013 L	2013/07/23	2013/07/24
61045	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 J	2013/07/23	2013/07/24

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61047	HK Bank – HSI R Bear CBBC Oct 2013 O	2013/07/23	2013/07/24
61587	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 N	2013/07/23	2013/07/24
60922	Credit Suisse AG – HSI R Bear CBBC Oct 2013 B	2013/07/23	2013/07/24
60711	Credit Suisse AG – HSI R Bear CBBC Oct 2013 X	2013/07/23	2013/07/24
60480	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 V	2013/07/23	2013/07/24
60490	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 H	2013/07/23	2013/07/24
60511	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 I	2013/07/23	2013/07/24
61585	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 V	2013/07/23	2013/07/24
60642	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 S	2013/07/23	2013/07/24
60653	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 T	2013/07/23	2013/07/24
60661	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 G	2013/07/23	2013/07/24
60767	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 Y	2013/07/23	2013/07/24
60664	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 I	2013/07/23	2013/07/24
64009	UBS AG – HSI R Bear CBBC Jan 2014 F	2013/07/23	2013/07/24
60712	Credit Suisse AG – HSI R Bear CBBC Oct 2013 Y	2013/07/23	2013/07/24
60716	Credit Suisse AG – HSI R Bear CBBC Oct 2013 Z	2013/07/23	2013/07/24
60719	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 D	2013/07/23	2013/07/24
60733	UBS AG – HSI R Bear CBBC Sep 2013 H	2013/07/23	2013/07/24
60734	UBS AG – HSI R Bear CBBC Sep 2013 S	2013/07/23	2013/07/24
61169	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 L	2013/07/23	2013/07/24
60763	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 X	2013/07/23	2013/07/24
60663	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 H	2013/07/23	2013/07/24
61384	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 A	2013/07/23	2013/07/24
61166	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 L	2013/07/23	2013/07/24
63882	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 S	2013/07/23	2013/07/24
61471	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 T	2013/07/23	2013/07/24
63012	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 W	2013/07/23	2013/07/24
63024	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 L	2013/07/23	2013/07/24
61905	UBS AG – HSCEI R Bear CBBC Oct 2013 D	2013/07/23	2013/07/24
61470	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 L	2013/07/23	2013/07/24
61363	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 F	2013/07/23	2013/07/24
61378	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 F	2013/07/23	2013/07/24
61362	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 E	2013/07/23	2013/07/24
61385	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 B	2013/07/23	2013/07/24
61386	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 C	2013/07/23	2013/07/24
61388	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 D	2013/07/23	2013/07/24
61893	UBS AG – HSI R Bear CBBC Dec 2013 R	2013/07/23	2013/07/24
63028	Credit Suisse AG – HSI R Bear CBBC Nov 2013 J	2013/07/23	2013/07/24
63916	Credit Suisse AG – HSI R Bear CBBC Dec 2013 M	2013/07/23	2013/07/24
61447	UBS AG – HSI R Bear CBBC Nov 2013 M	2013/07/23	2013/07/24
61469	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 K	2013/07/23	2013/07/24
61237	UBS AG – HSI R Bear CBBC Nov 2013 L	2013/07/23	2013/07/24
64005	UBS AG – HSI R Bear CBBC Dec 2013 M	2013/07/23	2013/07/24
61644	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 P	2013/07/23	2013/07/24
61195	UBS AG – AIA R Bear CBBC Mar 2014 B	2013/07/23	2013/07/24
61198	Credit Suisse AG – HSI R Bear CBBC Dec 2013 C	2013/07/23	2013/07/24
64003	Credit Suisse AG – HSI R Bear CBBC Dec 2013 O	2013/07/23	2013/07/24
63994	Credit Suisse AG – HSI R Bear CBBC Dec 2013 N	2013/07/23	2013/07/24
63991	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 Y	2013/07/23	2013/07/24
61364	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 G	2013/07/23	2013/07/24
60451	UBS AG – HSI R Bear CBBC Sep 2013 R	2013/07/23	2013/07/24
61238	UBS AG – HSI R Bear CBBC Oct 2013 N	2013/07/23	2013/07/24
61601	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 D	2013/07/23	2013/07/24
61602	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2013 E	2013/07/23	2013/07/24
63821	Credit Suisse AG – HSI R Bear CBBC Nov 2013 K	2013/07/23	2013/07/24
61244	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 N	2013/07/23	2013/07/24
63959	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 H	2013/07/23	2013/07/24
61247	HK Bank – HSI R Bear CBBC Nov 2013 Q	2013/07/23	2013/07/24
63881	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 R	2013/07/23	2013/07/24
63852	UBS AG – HSI R Bear CBBC Oct 2013 K	2013/07/23	2013/07/24
60449	Credit Suisse AG – HSI R Bear CBBC Sep 2013 X	2013/07/23	2013/07/24
61420	Credit Suisse AG – HSI R Bear CBBC Feb 2014 A	2013/07/23	2013/07/24
60403	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 O	2013/07/23	2013/07/24
60450	UBS AG – HSI R Bear CBBC Sep 2013 Q	2013/07/23	2013/07/24
61588	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 W	2013/07/23	2013/07/24
60214	HK Bank – China Mobile R Bear CBBC Oct 2013 B	2013/07/23	2013/07/24
60437	Credit Suisse AG – HSI R Bear CBBC Oct 2013 W	2013/07/23	2013/07/24
60414	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 A	2013/07/23	2013/07/24
64039	Credit Suisse AG – HSI R Bear CBBC Oct 2013 H	2013/07/24	2013/07/25
64040	UBS AG – HSI R Bear CBBC Oct 2013 P	2013/07/24	2013/07/25
67837	Credit Suisse AG – A50 China R Bear CBBC Jul 2013 A	2013/07/25	2013/07/29
67844	Credit Suisse AG – A50 China R Bear CBBC Jul 2013 C	2013/07/25	2013/07/29
64057	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 M	2013/07/25	2013/07/26
64061	Credit Suisse AG – HSI R Bear CBBC Oct 2013 J	2013/07/25	2013/07/26
64107	UBS AG – HSI R Bear CBBC Oct 2013 U	2013/07/25	2013/07/26
67839	Credit Suisse AG – A50 China R Bear CBBC Jul 2013 B	2013/07/25	2013/07/29
63974	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 T	2013/07/26	2013/07/29
60448	Credit Suisse AG – HSI R Bear CBBC Sep 2013 W	2013/07/26	2013/07/29
60475	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 A	2013/07/26	2013/07/29
69594	HK Bank – TCH R Bear CBBC Dec 2013 A	2013/07/26	2013/07/29
60431	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 J	2013/07/26	2013/07/29
64111	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 A	2013/07/26	2013/07/29
64125	Credit Suisse AG – HSI R Bear CBBC Oct 2013 K	2013/07/26	2013/07/29
64126	UBS AG – HSI R Bear CBBC Dec 2013 S	2013/07/26	2013/07/29
64141	Credit Suisse AG – HSI R Bear CBBC Oct 2013 L	2013/07/26	2013/07/29
60528	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 I	2013/07/26	2013/07/29
67092	UBS AG – HKEx R Bear CBBC Jul 2013 B	2013/07/26	2013/07/30

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66228	UBS AG – Sinopec Corp R Bear CBBC Jul 2013 A	2013/07/26	2013/07/30
63653	HK Bank – ICBC R Bull CBBC Jul 2013 B	2013/07/26	2013/07/30
61379	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 G	2013/07/26	2013/07/29
63942	UBS AG – TCH R Bear CBBC Jun 2014 A	2013/07/26	2013/07/29
64205	HK Bank – China Tel R Bear CBBC Jul 2013 A	2013/07/26	2013/07/30
61488	HK Bank – HSI R Bear CBBC Dec 2013 H	2013/07/26	2013/07/29
68396	UBS AG – ICBC R Bear CBBC Jul 2013 B	2013/07/26	2013/07/30
69599	HK Bank – TCH R Bear CBBC Nov 2013 B	2013/07/26	2013/07/29
64723	HK Bank – Hutchison R Bear CBBC Jul 2013 A	2013/07/26	2013/07/30
63890	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 B	2013/07/26	2013/07/29
64146	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 U	2013/07/26	2013/07/29
65133	UBS AG – TCH R Bear CBBC Dec 2013 A	2013/07/26	2013/07/29
60766	HK Bank – China Life R Bear CBBC Jul 2013 C	2013/07/26	2013/07/30
60417	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 B	2013/07/26	2013/07/29
60903	UBS AG – A50 China R Bear CBBC Jul 2013 A	2013/07/26	2013/07/30
65479	HK Bank – CNOOC R Bear CBBC Jul 2013 B	2013/07/26	2013/07/30
61086	HK Bank – A50 R Bear CBBC Jul 2013 D	2013/07/26	2013/07/30
65789	HK Bank – CSOP A50 ETF R Bear CBBC Jul 2013 B	2013/07/26	2013/07/30
60736	UBS AG – HSI R Bear CBBC Oct 2013 H	2013/07/26	2013/07/29
60586	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 R	2013/07/26	2013/07/29
63733	Credit Suisse AG – HSI R Bear CBBC Jul 2013 E	2013/07/29	2013/07/31
64172	HK Bank – CSOP A50 ETF R Bull CBBC Jan 2014 B	2013/07/29	2013/07/30
65601	UBS AG – HSI R Bear CBBC Jul 2013 G	2013/07/29	2013/07/31
64171	HK Bank – A50 R Bull CBBC Jan 2014 E	2013/07/29	2013/07/30
68532	HK Bank – HSI R Bear CBBC Jul 2013 A	2013/07/29	2013/07/31
60347	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 F	2013/07/29	2013/07/31
60741	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 R	2013/07/29	2013/07/31
61277	Standard Chartered Bank – HSI R Bear CBBC Jul 2013 A	2013/07/29	2013/07/31
64208	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Oct13 L	2013/07/29	2013/07/30
66907	UBS AG – HSI R Bear CBBC Jul 2013 K	2013/07/29	2013/07/31
66998	UBS AG – HSI R Bull CBBC Jul 2013 C	2013/07/29	2013/07/31
60990	UBS AG – HSCEI R Bear CBBC Jul 2013 A	2013/07/29	2013/07/31
60357	UBS AG – HSI R Bear CBBC Jul 2013 N	2013/07/29	2013/07/31
62967	HK Bank – HSI R Bull CBBC Jul 2013 A	2013/07/29	2013/07/31
60160	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 B	2013/07/29	2013/07/31
68126	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 S	2013/07/29	2013/07/31
68131	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 T	2013/07/29	2013/07/31
65903	UBS AG – HSCEI R Bear CBBC Jul 2013 D	2013/07/29	2013/07/31
60589	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 O	2013/07/29	2013/07/31
66699	UBS AG – HSI R Bear CBBC Jul 2013 I	2013/07/29	2013/07/31
60107	UBS AG – HSI R Bear CBBC Jul 2013 M	2013/07/29	2013/07/31
64476	UBS AG – HSI R Bear CBBC Jul 2013 E	2013/07/29	2013/07/31
64024	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2014 D	2013/07/29	2013/07/30
60151	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 Z	2013/07/29	2013/07/31
64210	Credit Suisse AG – HSI R Bear CBBC Oct 2013 O	2013/07/29	2013/07/30
64222	UBS AG – HSI R Bear CBBC Jan 2014 G	2013/07/29	2013/07/30
60161	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 D	2013/07/29	2013/07/31
60162	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 E	2013/07/29	2013/07/31
60250	Credit Suisse AG – HSI R Bear CBBC Jul 2013 O	2013/07/29	2013/07/31
66715	UBS AG – HSI R Bear CBBC Jul 2013 J	2013/07/29	2013/07/31
64221	UBS AG – HSI R Bear CBBC Nov 2013 R	2013/07/29	2013/07/30
60348	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 G	2013/07/29	2013/07/31
60149	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 Y	2013/07/29	2013/07/31
60590	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 A	2013/07/29	2013/07/31
60487	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 C	2013/07/29	2013/07/31
60491	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 J	2013/07/29	2013/07/31
60442	UBS AG – HSI R Bear CBBC Jul 2013 O	2013/07/29	2013/07/31
60441	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 I	2013/07/29	2013/07/31
66911	UBS AG – HSI R Bear CBBC Jul 2013 L	2013/07/29	2013/07/31
66779	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 A	2013/07/29	2013/07/31
65552	UBS AG – HSI R Bear CBBC Jul 2013 B	2013/07/29	2013/07/31
66667	UBS AG – HSI R Bear CBBC Jul 2013 H	2013/07/29	2013/07/31
62696	UBS AG – HSI R Bull CBBC Jul 2013 B	2013/07/29	2013/07/31
65543	UBS AG – HSCEI R Bear CBBC Jul 2013 C	2013/07/29	2013/07/31
60538	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 B	2013/07/29	2013/07/31
60591	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 B	2013/07/29	2013/07/31
65508	UBS AG – HSI R Bear CBBC Jul 2013 F	2013/07/29	2013/07/31
60619	UBS AG – HSI R Bear CBBC Jul 2013 P	2013/07/29	2013/07/31
60593	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 D	2013/07/29	2013/07/31
60592	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jul 2013 C	2013/07/29	2013/07/31
60398	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2013 H	2013/07/29	2013/07/31
62692	UBS AG – HSI R Bull CBBC Jul 2013 A	2013/07/29	2013/07/31
64523	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 N	2013/07/30	2013/07/31
64466	UBS AG – HSI R Bear CBBC Oct 2013 R	2013/07/30	2013/07/31
64291	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 B	2013/07/30	2013/07/31
65165	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Z	2013/07/30	2013/07/31
64295	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 C	2013/07/30	2013/07/31
64567	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 P	2013/07/30	2013/07/31
65072	UBS AG – HSI R Bull CBBC May 2014 L	2013/07/30	2013/07/31
65531	J P Morgan SP BV – HSI R Bull CBBC May 2014 F	2013/07/30	2013/07/31
64480	UBS AG – HSI R Bear CBBC Oct 2013 G	2013/07/30	2013/07/31
64479	UBS AG – HSI R Bear CBBC Oct 2013 T	2013/07/30	2013/07/31
64556	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 O	2013/07/30	2013/07/31
69479	UBS AG – TCH R Bear CBBC Feb 2014 A	2013/07/30	2013/07/31
64639	Credit Suisse AG – HSI R Bear CBBC Oct 2013 R	2013/07/30	2013/07/31
64590	Credit Suisse AG – HSI R Bear CBBC Oct 2013 G	2013/07/30	2013/07/31
64336	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 X	2013/07/30	2013/07/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64589	Credit Suisse AG – HSI R Bear CBBC Oct 2013 E	2013/07/30	2013/07/31
64472	UBS AG – HSI R Bear CBBC Oct 2013 O	2013/07/30	2013/07/31
64874	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 R	2013/07/30	2013/07/31
64584	Credit Suisse AG – HSI R Bear CBBC Oct 2013 Q	2013/07/30	2013/07/31
64583	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Q	2013/07/30	2013/07/31
64633	Credit Suisse AG – HSI R Bear CBBC Oct 2013 P	2013/07/30	2013/07/31
64327	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 W	2013/07/30	2013/07/31
64739	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 R	2013/07/30	2013/07/31
64805	Credit Suisse AG – HSI R Bull CBBC Apr 2014 X	2013/07/30	2013/07/31
64177	HK Bank – TCH R Bear CBBC Jan 2014 A	2013/07/30	2013/07/31
65982	UBS AG – HSI R Bull CBBC Jun 2014 K	2013/07/31	2013/08/01
61223	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13Y	2013/07/31	2013/08/01
65742	UBS AG – HSI R Bull CBBC Apr 2014 V	2013/07/31	2013/08/01
65841	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 F	2013/07/31	2013/08/01
65906	UBS AG – HSI R Bear CBBC Nov 2013 Y	2013/07/31	2013/08/01
65927	Credit Suisse AG – HSI R Bear CBBC Oct 2013 S	2013/07/31	2013/08/01
60830	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 J	2013/07/31	2013/08/01
65932	Credit Suisse AG – HSI R Bear CBBC Oct 2013 A	2013/07/31	2013/08/01
61165	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 K	2013/07/31	2013/08/01
65958	Credit Suisse AG – HSI R Bull CBBC Mar 2014 A	2013/07/31	2013/08/01
60290	Credit Suisse AG – HSI R Bear CBBC Oct 2013 U	2013/07/31	2013/08/01
65989	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 N	2013/07/31	2013/08/01
60925	UBS AG – HSI R Bear CBBC Oct 2013 I	2013/07/31	2013/08/01
60306	UBS AG – HSI R Bear CBBC Sep 2013 M	2013/07/31	2013/08/01
60665	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 J	2013/08/01	2013/08/02
60452	UBS AG – HSI R Bear CBBC Oct 2013 E	2013/08/01	2013/08/02
66163	UBS AG – HSI R Bear CBBC Dec 2013 W	2013/08/01	2013/08/02
60293	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 Y	2013/08/01	2013/08/02
60295	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 F	2013/08/01	2013/08/02
66133	Credit Suisse AG – HSI R Bear CBBC Nov 2013 H	2013/08/01	2013/08/02
64046	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 I	2013/08/01	2013/08/02
60739	UBS AG – HSI R Bear CBBC Sep 2013 T	2013/08/01	2013/08/02
60477	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 B	2013/08/01	2013/08/02
60292	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 N	2013/08/01	2013/08/02
60289	Credit Suisse AG – HSI R Bear CBBC Oct 2013 T	2013/08/01	2013/08/02
60530	HK Bank – HSI R Bear CBBC Nov 2013 P	2013/08/01	2013/08/02
60436	Credit Suisse AG – HSI R Bear CBBC Oct 2013 V	2013/08/01	2013/08/02
60337	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 S	2013/08/01	2013/08/02
65683	HK Bank – AIA R Bear CBBC Dec 2013 D	2013/08/01	2013/08/02
60837	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 G	2013/08/01	2013/08/02
60338	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 T	2013/08/01	2013/08/02
65864	UBS AG – HKEx R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
64668	HK Bank – Sinopec Corp R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
69832	HK Bank – Hutchison R Bear CBBC Oct 2013 A	2013/08/02	2013/08/05
60163	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 P	2013/08/02	2013/08/05
60235	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 X	2013/08/02	2013/08/05
60781	UBS AG – Anhui Conch R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
60815	HK Bank – HKEx R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
64672	HK Bank – China Mobile R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
60430	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 I	2013/08/02	2013/08/05
60158	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 J	2013/08/02	2013/08/05
60190	Credit Suisse AG – HSI R Bear CBBC Sep 2013 U	2013/08/02	2013/08/05
60159	UBS AG – HSI R Bear CBBC Sep 2013 N	2013/08/02	2013/08/05
60226	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 M	2013/08/02	2013/08/05
60157	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 J	2013/08/02	2013/08/05
61134	Credit Suisse AG – HSI R Bear CBBC Dec 2013 B	2013/08/02	2013/08/05
60297	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 G	2013/08/02	2013/08/05
60305	UBS AG – HSI R Bear CBBC Oct 2013 A	2013/08/02	2013/08/05
60918	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 V	2013/08/02	2013/08/05
60838	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 S	2013/08/02	2013/08/05
60376	HK Bank – HSI R Bear CBBC Oct 2013 N	2013/08/02	2013/08/05
60194	UBS AG – HSI R Bear CBBC Sep 2013 G	2013/08/02	2013/08/05
66262	UBS AG – HSI R Bear CBBC Nov 2013 Z	2013/08/02	2013/08/05
60028	HK Bank – China Mobile R Bull CBBC Aug 2013 C	2013/08/02	2013/08/06
60071	UBS AG – Hutchison R Bear CBBC Aug 2013 A	2013/08/02	2013/08/06
60463	UBS AG – HSI R Bear CBBC Oct 2013 F	2013/08/02	2013/08/05
69425	UBS AG – Hutchison R Bear CBBC Nov 2013 A	2013/08/02	2013/08/05
64256	HK Bank – HSCEI R Bear CBBC Dec 2013 D	2013/08/02	2013/08/05
66257	Credit Suisse AG – HSI R Bear CBBC Dec 2013 P	2013/08/02	2013/08/05
60551	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 E	2013/08/02	2013/08/05
66261	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 E	2013/08/02	2013/08/05
66404	UBS AG – HKEx R Bull CBBC Aug 2013 A	2013/08/02	2013/08/06
66347	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 I	2013/08/02	2013/08/05
60478	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2013 C	2013/08/02	2013/08/05
66516	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 O	2013/08/02	2013/08/05
60481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 W	2013/08/02	2013/08/05
60152	Credit Suisse AG – HSI R Bear CBBC Sep 2013 R	2013/08/02	2013/08/05
60529	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Q	2013/08/02	2013/08/05
66258	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Q	2013/08/02	2013/08/05
66761	Credit Suisse AG – HSI R Bear CBBC Nov 2013 O	2013/08/05	2013/08/06
66760	UBS AG – HSI R Bear CBBC Dec 2013 Y	2013/08/05	2013/08/06
66759	UBS AG – HSI R Bear CBBC Nov 2013 D	2013/08/05	2013/08/06
66717	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 K	2013/08/05	2013/08/06
66708	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 A	2013/08/05	2013/08/06
66016	HK Bank – COVS R Bear CBBC Jan 2014 A	2013/08/05	2013/08/06
66766	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 P	2013/08/05	2013/08/06
64182	HK Bank – TCH R Bear CBBC Feb 2014 A	2013/08/06	2013/08/07

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66860	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 F	2013/08/06	2013/08/07
66972	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 Q	2013/08/06	2013/08/07
66960	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 V	2013/08/06	2013/08/07
66954	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 L	2013/08/06	2013/08/07
66953	UBS AG – HSI R Bull CBBC Jan 2014 Q	2013/08/06	2013/08/07
66952	UBS AG – HSI R Bull CBBC Apr 2014 X	2013/08/06	2013/08/07
66932	Credit Suisse AG – HSI R Bull CBBC Feb 2014 S	2013/08/06	2013/08/07
66931	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 A	2013/08/06	2013/08/07
66918	UBS AG – TCH R Bear CBBC Jan 2014 B	2013/08/06	2013/08/07
66916	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 B	2013/08/06	2013/08/07
66901	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Q	2013/08/06	2013/08/07
66426	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Y	2013/08/06	2013/08/07
66863	UBS AG – HSI R Bear CBBC Dec 2013 D	2013/08/06	2013/08/07
66041	Credit Suisse AG – HSI R Bull CBBC Feb 2014 O	2013/08/06	2013/08/07
66716	UBS AG – HSI R Bull CBBC Feb 2014 J	2013/08/06	2013/08/07
66714	Credit Suisse AG – HSI R Bull CBBC Jun 2014 Z	2013/08/06	2013/08/07
66710	Credit Suisse AG – HSI R Bull CBBC Jun 2014 Y	2013/08/06	2013/08/07
66505	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 R	2013/08/06	2013/08/07
65819	Credit Suisse AG – HSI R Bull CBBC Mar 2014 W	2013/08/06	2013/08/07
66431	UBS AG – HSI R Bull CBBC Apr 2014 W	2013/08/06	2013/08/07
66413	Credit Suisse AG – HSI R Bull CBBC Dec 2013 X	2013/08/06	2013/08/07
66125	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 13C	2013/08/06	2013/08/07
66116	UBS AG – HSI R Bull CBBC Dec 2013 Z	2013/08/06	2013/08/07
66113	UBS AG – HSI R Bull CBBC Jan 2014 P	2013/08/06	2013/08/07
66883	UBS AG – HSI R Bear CBBC Nov 2013 E	2013/08/06	2013/08/07
67051	Credit Suisse AG – TCH R Bear CBBC Dec 2013 C	2013/08/07	2013/08/08
65996	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 B	2013/08/07	2013/08/08
66043	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Q	2013/08/07	2013/08/08
66045	Credit Suisse AG – HSI R Bull CBBC Feb 2014 R	2013/08/07	2013/08/08
66098	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 X	2013/08/07	2013/08/08
66105	UBS AG – HSI R Bull CBBC Feb 2014 O	2013/08/07	2013/08/08
66127	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec 13D	2013/08/07	2013/08/08
66171	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 K	2013/08/07	2013/08/08
66173	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 D	2013/08/07	2013/08/08
64026	UBS AG – TCH R Bear CBBC Dec 2013 D	2013/08/07	2013/08/08
65988	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 N	2013/08/07	2013/08/08
65821	Credit Suisse AG – HSI R Bull CBBC Mar 2014 X	2013/08/07	2013/08/08
66762	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 S	2013/08/07	2013/08/08
67055	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 F	2013/08/07	2013/08/08
67103	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 B	2013/08/07	2013/08/08
67104	UBS AG – HSI R Bull CBBC May 2014 N	2013/08/07	2013/08/08
67105	UBS AG – HSI R Bull CBBC May 2014 O	2013/08/07	2013/08/08
67110	UBS AG – HSI R Bull CBBC Apr 2014 Y	2013/08/07	2013/08/08
67115	Credit Suisse AG – HSI R Bull CBBC Jan 2014 L	2013/08/07	2013/08/08
67116	Credit Suisse AG – HSI R Bull CBBC Jan 2014 H	2013/08/07	2013/08/08
67118	Credit Suisse AG – HSI R Bear CBBC Nov 2013 U	2013/08/07	2013/08/08
67120	UBS AG – HSI R Bear CBBC Nov 2013 F	2013/08/07	2013/08/08
66934	Credit Suisse AG – HSI R Bull CBBC Feb 2014 T	2013/08/07	2013/08/08
64736	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 J	2013/08/07	2013/08/08
64655	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 U	2013/08/07	2013/08/08
64659	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 V	2013/08/07	2013/08/08
64707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 O	2013/08/07	2013/08/08
66947	UBS AG – HSI R Bull CBBC Mar 2014 V	2013/08/07	2013/08/08
64733	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 T	2013/08/07	2013/08/08
65839	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 A	2013/08/07	2013/08/08
64757	Credit Suisse AG – HSI R Bull CBBC Apr 2014 P	2013/08/07	2013/08/08
64804	Credit Suisse AG – HSI R Bull CBBC Apr 2014 W	2013/08/07	2013/08/08
65036	UBS AG – HSI R Bull CBBC Mar 2014 U	2013/08/07	2013/08/08
65054	UBS AG – HSI R Bull CBBC Mar 2014 F	2013/08/07	2013/08/08
65079	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 F	2013/08/07	2013/08/08
65083	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 G	2013/08/07	2013/08/08
65571	HK Bank – HSI R Bull CBBC Jan 2014 I	2013/08/07	2013/08/08
65834	Credit Suisse AG – HSI R Bull CBBC Mar 2014 Y	2013/08/07	2013/08/08
65741	UBS AG – HSI R Bull CBBC May 2014 M	2013/08/07	2013/08/08
65735	UBS AG – HSI R Bull CBBC Apr 2014 U	2013/08/07	2013/08/08
65708	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 W	2013/08/07	2013/08/08
65108	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 H	2013/08/07	2013/08/08
65576	HK Bank – HSI R Bull CBBC Dec 2013 R	2013/08/07	2013/08/08
65530	J P Morgan SP BV – HSI R Bull CBBC May 2014 E	2013/08/07	2013/08/08
65141	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 W	2013/08/07	2013/08/08
67148	HK Bank – TCH R Bear CBBC Jan 2014 B	2013/08/08	2013/08/09
67213	UBS AG – HSI R Bull CBBC May 2014 P	2013/08/08	2013/08/09
67215	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 M	2013/08/08	2013/08/09
67216	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 W	2013/08/08	2013/08/09
67222	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 F	2013/08/08	2013/08/09
67194	Credit Suisse AG – HSI R Bull CBBC Mar 2014 G	2013/08/08	2013/08/09
67206	UBS AG – HSI R Bull CBBC Mar 2014 W	2013/08/08	2013/08/09
67195	Credit Suisse AG – HSI R Bull CBBC Mar 2014 H	2013/08/08	2013/08/09
67301	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 N	2013/08/09	2013/08/12
67287	Credit Suisse AG – HSI R Bull CBBC Apr 2014 G	2013/08/09	2013/08/12
60793	HK Bank – CNOOC R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
67278	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 G	2013/08/09	2013/08/12
67277	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 F	2013/08/09	2013/08/12
67291	Credit Suisse AG – HSI R Bull CBBC Apr 2014 I	2013/08/09	2013/08/12
67295	UBS AG – HSI R Bull CBBC Mar 2014 X	2013/08/09	2013/08/12
67296	UBS AG – HSI R Bull CBBC Mar 2014 Y	2013/08/09	2013/08/12
67085	UBS AG – CC Bank R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67101	UBS AG – A50 China R Bear CBBC Aug 2013 C	2013/08/09	2013/08/13
67343	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 I	2013/08/09	2013/08/12
60456	UBS AG – Ping An R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
60652	UBS AG – CNOOC R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
67319	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 G	2013/08/09	2013/08/12
67307	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 O	2013/08/09	2013/08/12
60569	UBS AG – Sinopec Corp R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
68405	UBS AG – ABC R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
60059	UBS AG – China Tel R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
67232	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 G	2013/08/09	2013/08/12
67288	Credit Suisse AG – HSI R Bull CBBC Apr 2014 H	2013/08/09	2013/08/12
60556	UBS AG – China Life R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
60850	UBS AG – Cheung Kong R Bear CBBC Aug 2013 A	2013/08/09	2013/08/13
60967	HK Bank – CSOP A50 ETF R Bear CBBC Aug 2013 B	2013/08/09	2013/08/13
66205	UBS AG – Sands China R Bull CBBC Aug 2013 A	2013/08/09	2013/08/13
67207	HK Bank – A50 R Bear CBBC Aug 2013 C	2013/08/09	2013/08/13
67391	UBS AG – HSI R Bull CBBC Dec 2014 C	2013/08/12	2013/08/13
67167	Credit Suisse AG – HSI R Bear CBBC Nov 2013 V	2013/08/12	2013/08/13
67165	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 G	2013/08/12	2013/08/13
67347	Credit Suisse AG – HSI R Bear CBBC Dec 2013 W	2013/08/12	2013/08/13
67047	Credit Suisse AG – HSI R Bear CBBC Nov 2013 T	2013/08/12	2013/08/13
67046	Credit Suisse AG – HSI R Bear CBBC Nov 2013 S	2013/08/12	2013/08/13
67043	UBS AG – HSI R Bear CBBC Jan 2014 P	2013/08/12	2013/08/13
67369	UBS AG – HSI R Bear CBBC Dec 2013 C	2013/08/12	2013/08/13
67370	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 H	2013/08/12	2013/08/13
67373	Credit Suisse AG – HSI R Bull CBBC Dec 2013 G	2013/08/12	2013/08/13
67169	Credit Suisse AG – HSI R Bear CBBC Nov 2013 F	2013/08/12	2013/08/13
67380	UBS AG – HSI R Bull CBBC Feb 2014 K	2013/08/12	2013/08/13
67392	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 J	2013/08/12	2013/08/13
66905	Credit Suisse AG – HSI R Bear CBBC Nov 2013 R	2013/08/12	2013/08/13
67394	J P Morgan SP BV – HSI R Bull CBBC May 2014 G	2013/08/12	2013/08/13
66791	HK Bank – COVS R Bear CBBC Mar 2014 A	2013/08/12	2013/08/13
67377	Credit Suisse AG – HSI R Bull CBBC Dec 2013 M	2013/08/12	2013/08/13
67272	UBS AG – HSI R Bear CBBC Nov 2013 G	2013/08/12	2013/08/13
67283	Credit Suisse AG – HSI R Bear CBBC Nov 2013 L	2013/08/12	2013/08/13
67188	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/08/12	2013/08/13
67280	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Z	2013/08/12	2013/08/13
67273	UBS AG – HSI R Bear CBBC Nov 2013 H	2013/08/12	2013/08/13
67270	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 H	2013/08/12	2013/08/13
67217	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 R	2013/08/12	2013/08/13
67192	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 C	2013/08/12	2013/08/13
67190	UBS AG – HSI R Bear CBBC Dec 2013 G	2013/08/12	2013/08/13
67191	UBS AG – HSI R Bear CBBC Dec 2013 Z	2013/08/12	2013/08/13
67488	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 A	2013/08/13	2013/08/14
60063	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 Q	2013/08/13	2013/08/14
67495	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 S	2013/08/13	2013/08/14
61726	UBS AG – HSCEI R Bear CBBC Sep 2013 E	2013/08/13	2013/08/14
60075	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 B	2013/08/13	2013/08/14
60077	UBS AG – HSI R Bear CBBC Sep 2013 J	2013/08/13	2013/08/14
67491	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 I	2013/08/13	2013/08/14
65952	Credit Suisse AG – HSI R Bear CBBC Oct 2013 B	2013/08/13	2013/08/14
60101	UBS AG – HSI R Bear CBBC Sep 2013 O	2013/08/13	2013/08/14
61491	HK Bank – HSCEI R Bear CBBC Jan 2014 B	2013/08/13	2013/08/14
67483	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/08/13	2013/08/14
60102	Credit Suisse AG – HSI R Bear CBBC Sep 2013 L	2013/08/13	2013/08/14
60103	Credit Suisse AG – HSI R Bear CBBC Sep 2013 P	2013/08/13	2013/08/14
67474	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Z	2013/08/13	2013/08/14
60086	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 N	2013/08/13	2013/08/14
67507	UBS AG – HSI R Bear CBBC Nov 2013 S	2013/08/13	2013/08/14
67525	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 S	2013/08/13	2013/08/14
69973	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 A	2013/08/13	2013/08/14
69981	UBS AG – HSI R Bear CBBC Sep 2013 I	2013/08/13	2013/08/14
65182	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 V	2013/08/13	2013/08/14
67521	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 D	2013/08/13	2013/08/14
67286	Credit Suisse AG – HSI R Bear CBBC Nov 2013 P	2013/08/13	2013/08/14
67503	UBS AG – HSI R Bear CBBC Jan 2014 Q	2013/08/13	2013/08/14
67516	UBS AG – Ping An R Bear CBBC Apr 2014 A	2013/08/13	2013/08/14
60051	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13H	2013/08/13	2013/08/14
67505	UBS AG – HSI R Bear CBBC Nov 2013 I	2013/08/13	2013/08/14
65166	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 U	2013/08/13	2013/08/14
67448	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 T	2013/08/13	2013/08/14
60464	UBS AG – HSI R Bear CBBC Dec 2013 I	2013/08/13	2013/08/14
60104	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 C	2013/08/13	2013/08/14
60036	Credit Suisse AG – HSI R Bear CBBC Sep 2013 M	2013/08/13	2013/08/14
69972	Credit Suisse AG – HSI R Bear CBBC Sep 2013 O	2013/08/13	2013/08/14
67518	UBS AG – TCH R Bull CBBC Jun 2014 E	2013/08/13	2013/08/14
60369	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 P	2013/08/13	2013/08/14
60122	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 H	2013/08/13	2013/08/14
60193	UBS AG – HSI R Bear CBBC Nov 2013 J	2013/08/13	2013/08/14
60427	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 D	2013/08/13	2013/08/14
61170	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 F	2013/08/13	2013/08/14
60418	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 C	2013/08/13	2013/08/14
60404	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 P	2013/08/13	2013/08/14
60552	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2013 F	2013/08/13	2013/08/14
60373	HK Bank – HSI R Bear CBBC Nov 2013 O	2013/08/13	2013/08/14
60179	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 K	2013/08/13	2013/08/14
60304	UBS AG – HSI R Bear CBBC Oct 2013 Z	2013/08/13	2013/08/14

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67082	UBS AG – COVS R Bear CBBC Feb 2014 A	2013/08/13	2013/08/14
60839	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 H	2013/08/13	2013/08/14
60339	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 U	2013/08/13	2013/08/14
67139	HK Bank – ABC R Bear CBBC Apr 2014 A	2013/08/13	2013/08/14
67305	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 C	2013/08/13	2013/08/14
67366	Credit Suisse AG – HSI R Bear CBBC Dec 2013 X	2013/08/13	2013/08/14
60166	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 D	2013/08/13	2013/08/14
67472	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Y	2013/08/13	2013/08/14
67471	HK Bank – TCH R Bull CBBC Jul 2014 A	2013/08/13	2013/08/14
66859	HK Bank – Minsheng Bank R Bear CBBC Feb 2014 A	2013/08/13	2013/08/14
60123	UBS AG – HSI R Bear CBBC Sep 2013 K	2013/08/13	2013/08/14
67446	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 S	2013/08/13	2013/08/14
60155	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 H	2013/08/13	2013/08/14
60191	Credit Suisse AG – HSI R Bear CBBC Sep 2013 V	2013/08/13	2013/08/14
60164	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 Q	2013/08/13	2013/08/14
60112	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 R	2013/08/13	2013/08/14
60172	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Oct 2013 E	2013/08/13	2013/08/14
60174	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 S	2013/08/13	2013/08/14
64459	UBS AG – HSI R Bear CBBC Oct 2013 Q	2013/08/13	2013/08/14
67279	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 P	2013/08/13	2013/08/14
67522	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 E	2013/08/13	2013/08/14
60177	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 G	2013/08/13	2013/08/14
60156	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 I	2013/08/13	2013/08/14
62400	Credit Suisse AG – HSCEI R Bear CBBC Nov 2013 A	2013/08/13	2013/08/14
62996	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 A	2013/08/13	2013/08/14
67700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 V	2013/08/15	2013/08/16
67841	Credit Suisse AG – HSI R Bear CBBC Dec 2013 F	2013/08/15	2013/08/16
61441	UBS AG – CC Bank R Bear CBBC Dec 2013 A	2013/08/15	2013/08/16
67698	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 U	2013/08/15	2013/08/16
67843	Credit Suisse AG – HSI R Bear CBBC Dec 2013 H	2013/08/15	2013/08/16
67523	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 F	2013/08/15	2013/08/16
67847	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 E	2013/08/15	2013/08/16
60081	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 G	2013/08/15	2013/08/16
67686	Credit Suisse AG – HSI R Bear CBBC Nov 2013 K	2013/08/15	2013/08/16
67758	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 X	2013/08/15	2013/08/16
67467	HK Bank – TCH R Bull CBBC Mar 2014 C	2013/08/15	2013/08/16
69984	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 N	2013/08/15	2013/08/16
67692	UBS AG – HSI R Bear CBBC Nov 2013 O	2013/08/15	2013/08/16
67846	Credit Suisse AG – HSI R Bear CBBC Dec 2013 I	2013/08/15	2013/08/16
67701	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/08/15	2013/08/16
60165	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 R	2013/08/15	2013/08/16
60076	UBS AG – HSI R Bear CBBC Oct 2013 Y	2013/08/15	2013/08/16
67681	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Y	2013/08/15	2013/08/16
67702	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 X	2013/08/15	2013/08/16
67721	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 W	2013/08/15	2013/08/16
67722	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 G	2013/08/15	2013/08/16
67809	UBS AG – HSI R Bear CBBC Jan 2014 S	2013/08/15	2013/08/16
60038	Credit Suisse AG – HSI R Bear CBBC Sep 2013 K	2013/08/15	2013/08/16
67729	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 H	2013/08/15	2013/08/16
60024	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 G	2013/08/15	2013/08/16
67731	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 I	2013/08/15	2013/08/16
67734	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 J	2013/08/15	2013/08/16
67737	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 K	2013/08/15	2013/08/16
67685	Credit Suisse AG – HSI R Bear CBBC Nov 2013 J	2013/08/15	2013/08/16
67065	UBS AG – Sands China R Bear CBBC Feb 2014 A	2013/08/15	2013/08/16
67910	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 U	2013/08/15	2013/08/16
67911	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 V	2013/08/15	2013/08/16
67914	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 L	2013/08/15	2013/08/16
67920	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 K	2013/08/15	2013/08/16
67923	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 V	2013/08/15	2013/08/16
69700	Credit Suisse AG – HSI R Bear CBBC Aug 2013 S	2013/08/15	2013/08/16
60368	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 H	2013/08/15	2013/08/16
67688	Credit Suisse AG – HSI R Bear CBBC Nov 2013 H	2013/08/15	2013/08/16
67925	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 L	2013/08/15	2013/08/16
67689	UBS AG – HSI R Bear CBBC Nov 2013 T	2013/08/15	2013/08/16
60878	HK Bank – HSI R Bear CBBC Dec 2013 G	2013/08/15	2013/08/16
67881	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 X	2013/08/15	2013/08/16
67929	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 W	2013/08/15	2013/08/16
66660	Credit Suisse AG – HSI R Bear CBBC Dec 2013 R	2013/08/15	2013/08/16
60209	HK Bank – HSI R Bear CBBC Nov 2013 N	2013/08/15	2013/08/16
67697	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 T	2013/08/15	2013/08/16
69752	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 D	2013/08/15	2013/08/16
66855	HK Bank – Sands China R Bear CBBC Jan 2014 A	2013/08/15	2013/08/16
60178	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 N	2013/08/15	2013/08/16
67691	UBS AG – HSI R Bear CBBC Dec 2013 E	2013/08/15	2013/08/16
69718	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 M	2013/08/15	2013/08/16
61227	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13Z	2013/08/15	2013/08/16
69719	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 A	2013/08/15	2013/08/16
60234	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 W	2013/08/15	2013/08/16
67035	HK Bank – CNBM R Bear CBBC Mar 2014 A	2013/08/15	2013/08/16
67690	UBS AG – HSI R Bear CBBC Jan 2014 R	2013/08/15	2013/08/16
67851	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 F	2013/08/15	2013/08/16
67879	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 B	2013/08/15	2013/08/16
66718	UBS AG – CNBM R Bear CBBC Mar 2014 A	2013/08/15	2013/08/16
69739	UBS AG – HSI R Bear CBBC Aug 2013 Y	2013/08/15	2013/08/16
67576	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 D	2013/08/15	2013/08/16
64299	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 D	2013/08/15	2013/08/16

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67566	HK Bank – HSI R Bear CBBC Jan 2014 A	2013/08/15	2013/08/16
69817	Credit Suisse AG – HSI R Bear CBBC Sep 2013 G	2013/08/15	2013/08/16
69818	UBS AG – HSI R Bear CBBC Sep 2013 P	2013/08/15	2013/08/16
69842	UBS AG – HSI R Bear CBBC Oct 2013 V	2013/08/15	2013/08/16
67573	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 U	2013/08/15	2013/08/16
69823	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 D	2013/08/15	2013/08/16
67611	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 T	2013/08/15	2013/08/16
67753	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 U	2013/08/15	2013/08/16
67772	HK Bank – HSI R Bear CBBC Jan 2014 B	2013/08/15	2013/08/16
67761	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Y	2013/08/15	2013/08/16
67764	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Z	2013/08/15	2013/08/16
67766	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 R	2013/08/15	2013/08/16
69898	Credit Suisse AG – HSI R Bear CBBC Sep 2013 I	2013/08/15	2013/08/16
67770	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 T	2013/08/15	2013/08/16
69982	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 G	2013/08/15	2013/08/16
69838	Credit Suisse AG – HSI R Bear CBBC Oct 2013 N	2013/08/15	2013/08/16
67574	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 V	2013/08/15	2013/08/16
67788	HK Bank – China Shenhua R Bear CBBC Mar 2014 B	2013/08/15	2013/08/16
67808	UBS AG – HSI R Bear CBBC Feb 2014 N	2013/08/15	2013/08/16
67786	HK Bank – HSI R Bear CBBC Jan 2014 D	2013/08/15	2013/08/16
61567	UBS AG – HSCEI R Bear CBBC Oct 2013 C	2013/08/15	2013/08/16
67781	HK Bank – HSI R Bear CBBC Feb 2014 C	2013/08/15	2013/08/16
61553	Credit Suisse AG – HSCEI R Bear CBBC Oct 2013 A	2013/08/15	2013/08/16
64206	HK Bank – Lenovo R Bull CBBC Aug 2013 A	2013/08/16	2013/08/20
67121	HK Bank – CSOP A50 ETF R Bear CBBC Mar 2014 A	2013/08/16	2013/08/19
67934	Credit Suisse AG – HSI R Bear CBBC Jan 2014 G	2013/08/16	2013/08/19
66207	UBS AG – ICBC R Bear CBBC Aug 2013 A	2013/08/16	2013/08/20
60782	UBS AG – CUni R Bull CBBC Aug 2013 A	2013/08/16	2013/08/20
67211	HK Bank – Cheung Kong R Bear CBBC Aug 2013 B	2013/08/16	2013/08/20
66541	UBS AG – Galaxy Ent R Bull CBBC Aug 2013 A	2013/08/16	2013/08/20
67933	Credit Suisse AG – HSI R Bear CBBC Jan 2014 F	2013/08/16	2013/08/19
60648	UBS AG – CPIC R Bear CBBC Aug 2013 A	2013/08/16	2013/08/20
66452	HK Bank – Galaxy Ent R Bull CBBC Aug 2013 B	2013/08/16	2013/08/20
64773	UBS AG – China Mobile R Bear CBBC Aug 2013 A	2013/08/16	2013/08/20
66400	UBS AG – Ping An R Bear CBBC Aug 2013 B	2013/08/16	2013/08/20
64259	HK Bank – A50 R Bear CBBC Dec 2013 A	2013/08/16	2013/08/19
69113	UBS AG – Galaxy Ent R Bull CBBC Aug 2013 B	2013/08/16	2013/08/20
67529	UBS AG – China Life R Bull CBBC Aug 2013 B	2013/08/16	2013/08/20
67937	UBS AG – HSI R Bear CBBC Nov 2013 Q	2013/08/16	2013/08/19
66686	UBS AG – TCH R Bull CBBC Aug 2013 B	2013/08/16	2013/08/20
67935	UBS AG – HSI R Bear CBBC Nov 2013 P	2013/08/16	2013/08/19
61196	UBS AG – CNOOC R Bear CBBC Nov 2013 A	2013/08/16	2013/08/19
64128	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Mar 2014 A	2013/08/16	2013/08/19
65173	UBS AG – Ping An R Bull CBBC Aug 2013 A	2013/08/16	2013/08/20
67032	HK Bank – China Life R Bear CBBC Jan 2014 A	2013/08/16	2013/08/19
67605	HK Bank – A50 R Bear CBBC Aug 2013 E	2013/08/16	2013/08/20
67952	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/08/19	2013/08/20
67951	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 Y	2013/08/19	2013/08/20
67973	Credit Suisse AG – HSI R Bear CBBC Nov 2013 O	2013/08/19	2013/08/20
67972	Credit Suisse AG – HSI R Bear CBBC Nov 2013 X	2013/08/19	2013/08/20
60898	HK Bank – CNOOC R Bear CBBC Nov 2013 A	2013/08/19	2013/08/20
67957	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/08/19	2013/08/20
68059	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Q	2013/08/19	2013/08/20
67993	UBS AG – HSI R Bear CBBC Dec 2013 K	2013/08/19	2013/08/20
67978	UBS AG – HSI R Bear CBBC Dec 2013 F	2013/08/19	2013/08/20
67970	Credit Suisse AG – HSI R Bear CBBC Nov 2013 W	2013/08/19	2013/08/20
67953	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/08/19	2013/08/20
67979	UBS AG – HSI R Bear CBBC Dec 2013 H	2013/08/19	2013/08/20
67974	UBS AG – HSI R Bear CBBC Feb 2014 O	2013/08/19	2013/08/20
67983	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 C	2013/08/19	2013/08/20
68086	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 O	2013/08/20	2013/08/21
68469	Credit Suisse AG – HSI R Bull CBBC Apr 2014 X	2013/08/20	2013/08/21
68468	Credit Suisse AG – HSI R Bull CBBC Dec 2013 I	2013/08/20	2013/08/21
68085	Credit Suisse AG – HSI R Bull CBBC Mar 2014 N	2013/08/20	2013/08/21
68471	Credit Suisse AG – HSI R Bull CBBC Apr 2014 P	2013/08/20	2013/08/21
68472	Credit Suisse AG – HSI R Bull CBBC Apr 2014 W	2013/08/20	2013/08/21
68467	Credit Suisse AG – HSI R Bull CBBC Dec 2013 H	2013/08/20	2013/08/21
68470	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 T	2013/08/20	2013/08/21
68417	Credit Suisse AG – HSI R Bear CBBC Dec 2013 G	2013/08/20	2013/08/21
68510	UBS AG – HSI R Bull CBBC Apr 2014 D	2013/08/20	2013/08/21
68544	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 O	2013/08/20	2013/08/21
68542	J P Morgan SP BV – HSI R Bull CBBC May 2014 K	2013/08/20	2013/08/21
68540	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 D	2013/08/20	2013/08/21
68539	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 N	2013/08/20	2013/08/21
67582	Credit Suisse AG – HSI R Bull CBBC Jan 2014 I	2013/08/20	2013/08/21
67581	UBS AG – HSI R Bull CBBC Feb 2014 N	2013/08/20	2013/08/21
68545	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Z	2013/08/20	2013/08/21
68514	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 Z	2013/08/20	2013/08/21
68513	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 Y	2013/08/20	2013/08/21
68553	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 D	2013/08/20	2013/08/21
68511	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 D	2013/08/20	2013/08/21
68473	Credit Suisse AG – HSI R Bull CBBC Apr 2014 G	2013/08/20	2013/08/21
68508	UBS AG – HSI R Bull CBBC Apr 2014 C	2013/08/20	2013/08/21
68503	UBS AG – HSI R Bull CBBC Jan 2014 T	2013/08/20	2013/08/21
68502	UBS AG – HSI R Bull CBBC Feb 2014 L	2013/08/20	2013/08/21
67136	HK Bank – AIA R Bull CBBC Mar 2014 B	2013/08/20	2013/08/21
68304	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 C	2013/08/20	2013/08/21

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68546	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 C	2013/08/20	2013/08/21
68479	Credit Suisse AG – HSI R Bull CBBC Apr 2014 H	2013/08/20	2013/08/21
68091	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 T	2013/08/20	2013/08/21
68090	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 S	2013/08/20	2013/08/21
68087	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 N	2013/08/20	2013/08/21
68512	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 X	2013/08/20	2013/08/21
68177	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 P	2013/08/20	2013/08/21
67426	Credit Suisse AG – HSI R Bull CBBC Feb 2014 A	2013/08/20	2013/08/21
67429	Credit Suisse AG – HSI R Bull CBBC Feb 2014 B	2013/08/20	2013/08/21
67438	Credit Suisse AG – HSI R Bull CBBC Feb 2014 C	2013/08/20	2013/08/21
67440	UBS AG – HSI R Bull CBBC Dec 2013 A	2013/08/20	2013/08/21
67441	UBS AG – HSI R Bull CBBC Feb 2014 M	2013/08/20	2013/08/21
67442	UBS AG – HSI R Bull CBBC Apr 2014 Z	2013/08/20	2013/08/21
68057	UBS AG – HSI R Bull CBBC Dec 2013 Y	2013/08/20	2013/08/21
68044	UBS AG – HSI R Bull CBBC Feb 2014 A	2013/08/20	2013/08/21
68392	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 S	2013/08/20	2013/08/21
67425	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 Y	2013/08/20	2013/08/21
68178	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 Z	2013/08/20	2013/08/21
68036	UBS AG – HSI R Bull CBBC Jan 2014 S	2013/08/20	2013/08/21
68013	UBS AG – Hutchison R Bull CBBC Jun 2014 B	2013/08/20	2013/08/21
68097	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 W	2013/08/20	2013/08/21
68150	HK Bank – HSI R Bull CBBC Jan 2014 N	2013/08/20	2013/08/21
67991	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 M	2013/08/20	2013/08/21
67990	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 L	2013/08/20	2013/08/21
67524	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 X	2013/08/20	2013/08/21
67987	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 N	2013/08/20	2013/08/21
68133	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 B	2013/08/20	2013/08/21
68128	J P Morgan SP BV – HSI R Bull CBBC May 2014 I	2013/08/20	2013/08/21
68111	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 M	2013/08/20	2013/08/21
68187	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/08/20	2013/08/21
68248	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 W	2013/08/20	2013/08/21
68305	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 D	2013/08/20	2013/08/21
68205	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Y	2013/08/20	2013/08/21
68275	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 R	2013/08/20	2013/08/21
68074	Credit Suisse AG – HSI R Bull CBBC Mar 2014 J	2013/08/20	2013/08/21
68078	Credit Suisse AG – HSI R Bull CBBC Mar 2014 K	2013/08/20	2013/08/21
68252	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 Q	2013/08/20	2013/08/21
68384	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Q	2013/08/20	2013/08/21
68218	UBS AG – HSI R Bear CBBC Jan 2014 T	2013/08/20	2013/08/21
68213	UBS AG – HSI R Bear CBBC Nov 2013 U	2013/08/20	2013/08/21
68210	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Z	2013/08/20	2013/08/21
68391	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 R	2013/08/20	2013/08/21
68182	Credit Suisse AG – HSI R Bear CBBC Nov 2013 U	2013/08/20	2013/08/21
68335	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 P	2013/08/21	2013/08/22
67890	Credit Suisse AG – HSI R Bull CBBC Dec 2013 F	2013/08/21	2013/08/22
68148	HK Bank – HSI R Bull CBBC Feb 2014 H	2013/08/21	2013/08/22
68538	J P Morgan SP BV – HSI R Bull CBBC May 2014 J	2013/08/21	2013/08/22
67609	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 K	2013/08/21	2013/08/22
68098	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 L	2013/08/21	2013/08/22
67584	Credit Suisse AG – HSI R Bull CBBC Jan 2014 P	2013/08/21	2013/08/22
67579	UBS AG – HSI R Bull CBBC Mar 2014 E	2013/08/21	2013/08/22
67487	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 A	2013/08/21	2013/08/22
67908	UBS AG – HSI R Bull CBBC Dec 2013 N	2013/08/21	2013/08/22
67939	Credit Suisse AG – HSI R Bull CBBC Jan 2014 O	2013/08/21	2013/08/22
67938	Credit Suisse AG – HSI R Bull CBBC Jan 2014 M	2013/08/21	2013/08/22
68105	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 C	2013/08/21	2013/08/22
67623	UBS AG – HSI R Bull CBBC Mar 2014 G	2013/08/21	2013/08/22
67653	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 A	2013/08/21	2013/08/22
68094	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 U	2013/08/21	2013/08/22
67880	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 L	2013/08/21	2013/08/22
67424	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 I	2013/08/21	2013/08/22
67950	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 R	2013/08/21	2013/08/22
67439	Credit Suisse AG – HSI R Bull CBBC Feb 2014 D	2013/08/21	2013/08/22
68073	Credit Suisse AG – HSI R Bull CBBC Mar 2014 I	2013/08/21	2013/08/22
67423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 H	2013/08/21	2013/08/22
67578	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 I	2013/08/21	2013/08/22
67635	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 Y	2013/08/21	2013/08/22
67948	UBS AG – HSI R Bull CBBC Dec 2013 T	2013/08/21	2013/08/22
67941	UBS AG – HSI R Bull CBBC Feb 2014 P	2013/08/21	2013/08/22
68035	UBS AG – HSI R Bull CBBC Jan 2014 R	2013/08/21	2013/08/22
68095	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 V	2013/08/21	2013/08/22
67567	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 J	2013/08/21	2013/08/22
67624	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Q	2013/08/21	2013/08/22
67340	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 H	2013/08/21	2013/08/22
68330	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 O	2013/08/21	2013/08/22
68152	HK Bank – HSI R Bull CBBC Mar 2014 C	2013/08/21	2013/08/22
67886	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Z	2013/08/21	2013/08/22
68490	UBS AG – HSI R Bull CBBC Nov 2013 X	2013/08/21	2013/08/22
67269	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 E	2013/08/21	2013/08/22
64817	UBS AG – AIA R Bull CBBC Jun 2014 C	2013/08/21	2013/08/22
65700	HK Bank – PetCh R Bull CBBC Jan 2014 A	2013/08/21	2013/08/22
68141	HK Bank – HSI R Bull CBBC Jan 2014 M	2013/08/22	2013/08/23
67981	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 Z	2013/08/22	2013/08/23
67625	Credit Suisse AG – HSI R Bull CBBC Jan 2014 C	2013/08/22	2013/08/23
67918	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 B	2013/08/22	2013/08/23
68724	UBS AG – HSI R Bull CBBC Feb 2014 H	2013/08/22	2013/08/23
67720	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 K	2013/08/22	2013/08/23

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66103	UBS AG – HSI R Bull CBBC Feb 2014 I	2013/08/22	2013/08/23
68723	UBS AG – HSI R Bull CBBC Mar 2014 A	2013/08/22	2013/08/23
68729	UBS AG – HSI R Bull CBBC Feb 2014 Q	2013/08/22	2013/08/23
68707	Credit Suisse AG – HSI R Bull CBBC Mar 2014 X	2013/08/22	2013/08/23
68554	HK Bank – HSI R Bull CBBC Feb 2014 I	2013/08/22	2013/08/23
68637	UBS AG – ICBC R Bull CBBC Feb 2014 C	2013/08/22	2013/08/23
68777	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 B	2013/08/22	2013/08/23
68762	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 F	2013/08/22	2013/08/23
68739	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 P	2013/08/22	2013/08/23
68750	J P Morgan SP BV – HSI R Bull CBBC May 2014 M	2013/08/22	2013/08/23
68746	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 Q	2013/08/22	2013/08/23
67986	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 M	2013/08/22	2013/08/23
68704	Credit Suisse AG – HSI R Bull CBBC Mar 2014 V	2013/08/22	2013/08/23
67268	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 D	2013/08/22	2013/08/23
68681	UBS AG – TCH R Bull CBBC Jun 2014 G	2013/08/22	2013/08/23
67219	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 E	2013/08/22	2013/08/23
67906	UBS AG – HSI R Bull CBBC Dec 2013 M	2013/08/22	2013/08/23
64754	Credit Suisse AG – HSI R Bull CBBC Apr 2014 Q	2013/08/22	2013/08/23
68621	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 T	2013/08/22	2013/08/23
68593	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 U	2013/08/22	2013/08/23
68722	UBS AG – HSI R Bull CBBC Mar 2014 Z	2013/08/22	2013/08/23
68620	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 S	2013/08/22	2013/08/23
68745	J P Morgan SP BV – HSI R Bull CBBC May 2014 L	2013/08/22	2013/08/23
68320	UBS AG – HSCEI R Bull CBBC Jun 2014 E	2013/08/22	2013/08/23
67912	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 P	2013/08/22	2013/08/23
68705	Credit Suisse AG – HSI R Bull CBBC Mar 2014 A	2013/08/22	2013/08/23
68706	Credit Suisse AG – HSI R Bull CBBC Mar 2014 W	2013/08/22	2013/08/23
67608	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 J	2013/08/22	2013/08/23
67694	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 K	2013/08/22	2013/08/23
68708	Credit Suisse AG – HSI R Bull CBBC Mar 2014 Y	2013/08/22	2013/08/23
68323	UBS AG – China Life R Bull CBBC Jul 2014 B	2013/08/22	2013/08/23
68930	Credit Suisse AG – HSI R Bull CBBC Jan 2014 W	2013/08/23	2013/08/26
69051	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 A	2013/08/23	2013/08/26
60694	HK Bank – CSOP A50 ETF R Bear CBBC Aug 2013 A	2013/08/23	2013/08/27
69018	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb14 A	2013/08/23	2013/08/26
68979	UBS AG – HSI R Bull CBBC Jan 2014 V	2013/08/23	2013/08/26
68978	UBS AG – HSI R Bull CBBC Jun 2014 M	2013/08/23	2013/08/26
68449	UBS AG – Lenovo R Bear CBBC Aug 2013 A	2013/08/23	2013/08/27
61010	HK Bank – China Life R Bear CBBC Aug 2013 C	2013/08/23	2013/08/27
68976	UBS AG – HSI R Bull CBBC Jan 2014 U	2013/08/23	2013/08/26
68956	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 V	2013/08/23	2013/08/26
68947	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 E	2013/08/23	2013/08/26
66159	UBS AG – A50 China R Bear CBBC Aug 2013 A	2013/08/23	2013/08/27
68940	Credit Suisse AG – HSI R Bull CBBC Jan 2014 R	2013/08/23	2013/08/26
63582	HK Bank – BOCL R Bull CBBC Aug 2013 A	2013/08/23	2013/08/27
68906	Credit Suisse AG – HSI R Bull CBBC Jan 2014 V	2013/08/23	2013/08/26
65573	HK Bank – Sands China R Bull CBBC Aug 2013 B	2013/08/23	2013/08/27
67375	HK Bank – SHK Ppt R Bear CBBC Aug 2013 A	2013/08/23	2013/08/27
66401	UBS AG – ICBC R Bear CBBC Aug 2013 B	2013/08/23	2013/08/27
64910	HK Bank – TCH R Bull CBBC Aug 2013 A	2013/08/23	2013/08/27
65788	HK Bank – A50 R Bear CBBC Aug 2013 F	2013/08/23	2013/08/27
65859	UBS AG – China Life R Bear CBBC Aug 2013 B	2013/08/23	2013/08/27
63161	UBS AG – Petrochina R Bull CBBC Dec 2013 B	2013/08/23	2013/08/26
68945	Credit Suisse AG – HSI R Bull CBBC Jan 2014 A	2013/08/23	2013/08/26
69079	UBS AG – HSI R Bull CBBC May 2014 Q	2013/08/26	2013/08/27
64983	Bank of East Asia – Galaxy Ent R Bull CBBC Aug 2013 A	2013/08/26	2013/08/28
66924	UBS AG – Galaxy Ent R Bear CBBC Feb 2014 A	2013/08/26	2013/08/27
69090	Credit Suisse AG – HSI R Bull CBBC Feb 2014 W	2013/08/26	2013/08/27
69077	Credit Suisse AG – HSI R Bull CBBC Feb 2014 F	2013/08/26	2013/08/27
66659	HK Bank – Galaxy Ent R Bear CBBC Mar 2014 A	2013/08/26	2013/08/27
68848	HK Bank – CNBM R Bull CBBC Jun 2014 A	2013/08/26	2013/08/27
69280	Credit Suisse AG – HSI R Bull CBBC Apr 2014 G	2013/08/27	2013/08/28
69290	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 U	2013/08/27	2013/08/28
69285	UBS AG – HSI R Bull CBBC Apr 2014 E	2013/08/27	2013/08/28
69466	UBS AG – HSI R Bear CBBC Nov 2013 N	2013/08/27	2013/08/28
69288	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 H	2013/08/27	2013/08/28
69222	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 X	2013/08/27	2013/08/28
69274	Credit Suisse AG – HSI R Bull CBBC Apr 2014 I	2013/08/27	2013/08/28
62795	HK Bank – Sands China R Bull CBBC Aug 2013 A	2013/08/27	2013/08/29
69179	HK Bank – HSCEI R Bull CBBC Jan 2014 C	2013/08/27	2013/08/28
69281	Credit Suisse AG – HSI R Bull CBBC Apr 2014 H	2013/08/27	2013/08/28
69284	UBS AG – HSI R Bull CBBC Jun 2014 N	2013/08/27	2013/08/28
66412	HK Bank – COVS R Bull CBBC Aug 2013 A	2013/08/27	2013/08/29
69286	UBS AG – HSI R Bull CBBC May 2014 R	2013/08/27	2013/08/28
69287	UBS AG – HSI R Bull CBBC Apr 2014 B	2013/08/27	2013/08/28
69318	Credit Suisse AG – HSI R Bull CBBC Apr 2014 W	2013/08/27	2013/08/28
69227	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Y	2013/08/27	2013/08/28
69221	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 W	2013/08/27	2013/08/28
69228	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Z	2013/08/27	2013/08/28
69391	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 A	2013/08/27	2013/08/28
69405	UBS AG – HSI R Bull CBBC Jun 2014 O	2013/08/27	2013/08/28
69220	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 G	2013/08/27	2013/08/28
69418	Credit Suisse AG – HSI R Bull CBBC Apr 2014 X	2013/08/27	2013/08/28
69421	Credit Suisse AG – HSI R Bear CBBC Nov 2013 H	2013/08/27	2013/08/28
69317	Credit Suisse AG – HSI R Bull CBBC Apr 2014 P	2013/08/27	2013/08/28
69419	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 I	2013/08/27	2013/08/28

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69219	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 F	2013/08/27	2013/08/28
69510	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Z	2013/08/28	2013/08/30
69856	J P Morgan SP BV – HSI R Bull CBBC May 2014 O	2013/08/28	2013/08/29
69744	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Q	2013/08/28	2013/08/30
69590	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 E	2013/08/28	2013/08/30
69857	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 S	2013/08/28	2013/08/29
69035	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 C	2013/08/28	2013/08/30
69736	UBS AG – HSI R Bear CBBC Aug 2013 Q	2013/08/28	2013/08/30
66711	UBS AG – HSI R Bear CBBC Aug 2013 H	2013/08/28	2013/08/30
69615	UBS AG – HSI R Bear CBBC Aug 2013 R	2013/08/28	2013/08/30
69408	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 E	2013/08/28	2013/08/30
60248	Credit Suisse AG – HSI R Bear CBBC Aug 2013 J	2013/08/28	2013/08/30
69496	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 I	2013/08/28	2013/08/30
69497	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 J	2013/08/28	2013/08/30
69498	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 Y	2013/08/28	2013/08/30
60139	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Aug13A	2013/08/28	2013/08/30
69501	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 Z	2013/08/28	2013/08/30
69053	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 L	2013/08/28	2013/08/29
69578	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 M	2013/08/28	2013/08/30
69579	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 N	2013/08/28	2013/08/30
60239	Credit Suisse AG – HSI R Bear CBBC Aug 2013 I	2013/08/28	2013/08/30
69509	Credit Suisse AG – HSI R Bear CBBC Aug 2013 Y	2013/08/28	2013/08/30
60408	UBS AG – HSI R Bear CBBC Aug 2013 J	2013/08/28	2013/08/30
69746	Credit Suisse AG – HSI R Bear CBBC Aug 2013 V	2013/08/28	2013/08/30
68911	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
69631	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 O	2013/08/28	2013/08/30
69707	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 G	2013/08/28	2013/08/29
69727	Credit Suisse AG – HSI R Bull CBBC Mar 2014 K	2013/08/28	2013/08/29
69726	Credit Suisse AG – HSI R Bull CBBC Mar 2014 J	2013/08/28	2013/08/29
69725	Credit Suisse AG – HSI R Bull CBBC Mar 2014 H	2013/08/28	2013/08/29
69720	Credit Suisse AG – HSI R Bull CBBC Mar 2014 G	2013/08/28	2013/08/29
69530	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 A	2013/08/28	2013/08/30
69636	UBS AG – HSI R Bear CBBC Aug 2013 T	2013/08/28	2013/08/30
60625	HK Bank – HSI R Bear CBBC Aug 2013 E	2013/08/28	2013/08/30
69745	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 J	2013/08/28	2013/08/29
68700	UBS AG – HKEx R Bull CBBC Jun 2014 C	2013/08/28	2013/08/29
69741	UBS AG – HSI R Bull CBBC Dec 2013 P	2013/08/28	2013/08/29
69703	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 F	2013/08/28	2013/08/29
69702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 B	2013/08/28	2013/08/29
69575	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 J	2013/08/28	2013/08/30
69576	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 K	2013/08/28	2013/08/30
69696	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 B	2013/08/28	2013/08/29
69694	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 A	2013/08/28	2013/08/29
60721	UBS AG – HSI R Bear CBBC Aug 2013 K	2013/08/28	2013/08/30
69577	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 L	2013/08/28	2013/08/30
60627	HK Bank – HSCEI R Bear CBBC Aug 2013 C	2013/08/28	2013/08/30
69704	Credit Suisse AG – HSI R Bear CBBC Aug 2013 T	2013/08/28	2013/08/30
69735	UBS AG – HSI R Bear CBBC Aug 2013 M	2013/08/28	2013/08/30
69512	Credit Suisse AG – HSI R Bear CBBC Aug 2013 W	2013/08/28	2013/08/30
69734	UBS AG – HSI R Bear CBBC Aug 2013 U	2013/08/28	2013/08/30
69733	UBS AG – HSI R Bear CBBC Aug 2013 B	2013/08/28	2013/08/30
69828	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 A	2013/08/28	2013/08/29
69820	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 R	2013/08/28	2013/08/29
69807	J P Morgan SP BV – HSI R Bull CBBC May 2014 N	2013/08/28	2013/08/29
69712	Credit Suisse AG – HSI R Bear CBBC Aug 2013 L	2013/08/28	2013/08/30
69729	UBS AG – HSI R Bull CBBC Feb 2014 R	2013/08/28	2013/08/29
69705	Credit Suisse AG – HSI R Bear CBBC Aug 2013 X	2013/08/28	2013/08/30
69740	UBS AG – HSI R Bull CBBC Jan 2014 W	2013/08/28	2013/08/29
69516	UBS AG – HSI R Bear CBBC Aug 2013 S	2013/08/28	2013/08/30
69517	UBS AG – HSI R Bear CBBC Aug 2013 A	2013/08/28	2013/08/30
69678	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 L	2013/08/28	2013/08/30
69676	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 J	2013/08/28	2013/08/30
69674	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 I	2013/08/28	2013/08/30
69518	UBS AG – HSI R Bear CBBC Aug 2013 N	2013/08/28	2013/08/30
69519	UBS AG – HSI R Bear CBBC Aug 2013 V	2013/08/28	2013/08/30
69511	Credit Suisse AG – HSI R Bear CBBC Aug 2013 N	2013/08/28	2013/08/30
69708	Credit Suisse AG – HSI R Bear CBBC Aug 2013 K	2013/08/28	2013/08/30
66325	UBS AG – HSI R Bear CBBC Aug 2013 F	2013/08/28	2013/08/30
65110	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 I	2013/08/28	2013/08/29
65024	UBS AG – HSI R Bull CBBC May 2014 K	2013/08/28	2013/08/29
69186	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 P	2013/08/28	2013/08/30
64706	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2014 K	2013/08/28	2013/08/29
69187	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 Q	2013/08/28	2013/08/30
69188	Standard Chartered Bank – HSI R Bear CBBC Aug 2013 E	2013/08/28	2013/08/30
69195	UBS AG – HSCEI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
69226	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 K	2013/08/28	2013/08/30
69229	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 L	2013/08/28	2013/08/30
69256	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 S	2013/08/28	2013/08/30
69257	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 T	2013/08/28	2013/08/30
64838	HK Bank – HSI R Bull CBBC Aug 2013 D	2013/08/28	2013/08/30
69373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 W	2013/08/28	2013/08/30
65075	Credit Suisse AG – HSI R Bear CBBC Aug 2013 E	2013/08/28	2013/08/30
63995	Credit Suisse AG – HSI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
69295	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 U	2013/08/28	2013/08/30
66327	UBS AG – HSI R Bear CBBC Aug 2013 G	2013/08/28	2013/08/30
69322	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 P	2013/08/28	2013/08/30

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69323	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 Q	2013/08/28	2013/08/30
69324	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 R	2013/08/28	2013/08/30
69325	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 S	2013/08/28	2013/08/30
69632	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 P	2013/08/28	2013/08/30
69633	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 Q	2013/08/28	2013/08/30
69591	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 F	2013/08/28	2013/08/30
69366	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 U	2013/08/28	2013/08/30
69472	UBS AG – HSI R Bear CBBC Aug 2013 P	2013/08/28	2013/08/30
69367	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 V	2013/08/28	2013/08/30
69265	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 O	2013/08/28	2013/08/30
69133	UBS AG – HSI R Bear CBBC Aug 2013 X	2013/08/28	2013/08/30
69036	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
69618	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 B	2013/08/28	2013/08/30
69627	Credit Suisse AG – HSI R Bear CBBC Aug 2013 P	2013/08/28	2013/08/30
69613	UBS AG – HSI R Bear CBBC Aug 2013 W	2013/08/28	2013/08/30
69025	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 K	2013/08/28	2013/08/30
69628	Credit Suisse AG – HSI R Bear CBBC Aug 2013 R	2013/08/28	2013/08/30
69083	UBS AG – HSI R Bear CBBC Aug 2013 O	2013/08/28	2013/08/30
66912	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 E	2013/08/28	2013/08/29
65658	UBS AG – HSI R Bear CBBC Aug 2013 C	2013/08/28	2013/08/30
65659	UBS AG – HSI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
65661	UBS AG – HSI R Bear CBBC Aug 2013 E	2013/08/28	2013/08/30
69612	Credit Suisse AG – HSI R Bear CBBC Aug 2013 O	2013/08/28	2013/08/30
65130	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 D	2013/08/28	2013/08/29
69592	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 H	2013/08/28	2013/08/30
66908	UBS AG – HSI R Bear CBBC Aug 2013 I	2013/08/28	2013/08/30
68618	UBS AG – HSI R Bull CBBC Aug 2013 D	2013/08/28	2013/08/30
69145	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 N	2013/08/28	2013/08/30
66254	HK Bank – AIA R Bull CBBC Mar 2014 A	2013/08/28	2013/08/29
69009	Credit Suisse AG – HSI R Bear CBBC Aug 2013 U	2013/08/28	2013/08/30
66061	Credit Suisse AG – HSI R Bull CBBC Feb 2014 P	2013/08/28	2013/08/29
66343	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2013 A	2013/08/28	2013/08/30
63952	HK Bank – China Shenhua R Bear CBBC Aug 2013 A	2013/08/28	2013/08/30
63987	Credit Suisse AG – HSI R Bear CBBC Aug 2013 B	2013/08/28	2013/08/30
69406	UBS AG – CNBM R Bull CBBC Jan 2014 A	2013/08/28	2013/08/29
63988	Credit Suisse AG – HSI R Bear CBBC Aug 2013 C	2013/08/28	2013/08/30
65840	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 B	2013/08/28	2013/08/29
65466	J P Morgan SP BV – HSI R Bull CBBC May 2014 D	2013/08/28	2013/08/29
69346	UBS AG – HSI R Bear CBBC Aug 2013 L	2013/08/28	2013/08/30
69611	Credit Suisse AG – HSI R Bear CBBC Aug 2013 M	2013/08/28	2013/08/30
61257	HK Bank – HSCEI R Bear CBBC Aug 2013 D	2013/08/28	2013/08/30
69374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 X	2013/08/28	2013/08/30
69379	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 C	2013/08/28	2013/08/30
67949	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 Q	2013/08/28	2013/08/29
69376	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 Z	2013/08/28	2013/08/30
69377	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 A	2013/08/28	2013/08/30
68685	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Aug13 D	2013/08/28	2013/08/30
69378	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2013 B	2013/08/28	2013/08/30
63579	HK Bank – CC Bank R Bull CBBC Aug 2013 B	2013/08/29	2013/09/02
69866	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 L	2013/08/29	2013/08/30
69867	UBS AG – HSI R Bull CBBC Jan 2014 X	2013/08/29	2013/08/30
69869	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 K	2013/08/29	2013/08/30
69873	Credit Suisse AG – HSI R Bull CBBC Feb 2014 E	2013/08/29	2013/08/30
69889	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 C	2013/08/29	2013/08/30
69896	UBS AG – HSI R Bear CBBC Dec 2013 Y	2013/08/29	2013/08/30
69872	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Z	2013/08/29	2013/08/30
69915	Credit Suisse AG – HSI R Bear CBBC Dec 2013 P	2013/08/29	2013/08/30
69917	Credit Suisse AG – HSI R Bull CBBC Dec 2013 J	2013/08/29	2013/08/30
69918	UBS AG – HSI R Bull CBBC Dec 2013 V	2013/08/29	2013/08/30
69919	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 E	2013/08/29	2013/08/30
69923	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 B	2013/08/29	2013/08/30
69926	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 C	2013/08/29	2013/08/30
69894	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/08/29	2013/08/30
65714	UBS AG – SHK Ppt R Bear CBBC Sep 2013 C	2013/08/30	2013/09/03
69978	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 H	2013/08/30	2013/09/02
69960	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Z	2013/08/30	2013/09/02
69961	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 L	2013/08/30	2013/09/02
69958	Credit Suisse AG – HSI R Bull CBBC Jan 2014 Y	2013/08/30	2013/09/02
69964	UBS AG – HSI R Bull CBBC Jun 2014 P	2013/08/30	2013/09/02
69965	UBS AG – HSI R Bull CBBC May 2014 S	2013/08/30	2013/09/02
69799	Credit Suisse AG – HSI R Bear CBBC Nov 2013 O	2013/08/30	2013/09/02
69871	Credit Suisse AG – HSI R Bull CBBC Feb 2014 Y	2013/08/30	2013/09/02
69966	UBS AG – HSI R Bull CBBC May 2014 T	2013/08/30	2013/09/02
69910	Credit Suisse AG – HSI R Bear CBBC Dec 2013 B	2013/08/30	2013/09/02
69948	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 E	2013/08/30	2013/09/02
69947	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 D	2013/08/30	2013/09/02
69945	J P Morgan SP BV – HSI R Bull CBBC May 2014 P	2013/08/30	2013/09/02
69785	UBS AG – HSI R Bear CBBC Nov 2013 R	2013/08/30	2013/09/02
69946	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 T	2013/08/30	2013/09/02
66641	HK Bank – Galaxy Ent R Bear CBBC Jan 2014 B	2013/09/02	2013/09/03
69992	Credit Suisse AG – HSI R Bear CBBC Feb 2014 P	2013/09/02	2013/09/03
69500	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 F	2013/09/02	2013/09/03
69855	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 U	2013/09/02	2013/09/03
60134	UBS AG – HSI R Bear CBBC Apr 2014 C	2013/09/02	2013/09/03
69663	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 I	2013/09/02	2013/09/03
69432	Credit Suisse AG – HSI R Bear CBBC Nov 2013 J	2013/09/02	2013/09/03
69664	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 J	2013/09/02	2013/09/03

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69933	Credit Suisse AG – HSI R Bear CBBC Jan 2014 I	2013/09/02	2013/09/03
69908	Credit Suisse AG – HSI R Bear CBBC Dec 2013 N	2013/09/02	2013/09/03
69931	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 I	2013/09/02	2013/09/03
69481	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 F	2013/09/02	2013/09/03
69987	HK Bank – TCH R Bear CBBC Feb 2014 C	2013/09/02	2013/09/03
60263	UBS AG – HSI R Bull CBBC Apr 2014 F	2013/09/02	2013/09/03
69463	UBS AG – HSI R Bear CBBC Dec 2013 M	2013/09/02	2013/09/03
69482	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 G	2013/09/02	2013/09/03
69909	Credit Suisse AG – HSI R Bear CBBC Dec 2013 O	2013/09/02	2013/09/03
69993	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Q	2013/09/02	2013/09/03
60328	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 D	2013/09/02	2013/09/03
69887	UBS AG – HSI R Bear CBBC Dec 2013 W	2013/09/02	2013/09/03
69698	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 D	2013/09/02	2013/09/03
69846	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 L	2013/09/02	2013/09/03
69847	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 M	2013/09/02	2013/09/03
69566	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 T	2013/09/02	2013/09/03
60136	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 J	2013/09/02	2013/09/03
60145	Credit Suisse AG – HSI R Bull CBBC Feb 2014 O	2013/09/02	2013/09/03
69443	Credit Suisse AG – HSI R Bear CBBC Nov 2013 K	2013/09/02	2013/09/03
69773	UBS AG – HSI R Bear CBBC Dec 2013 R	2013/09/02	2013/09/03
69622	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 G	2013/09/02	2013/09/03
69862	HK Bank – HSI R Bear CBBC Jan 2014 G	2013/09/02	2013/09/03
69757	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 T	2013/09/02	2013/09/03
69879	UBS AG – HSI R Bear CBBC Dec 2013 S	2013/09/02	2013/09/03
69755	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 S	2013/09/02	2013/09/03
69800	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Q	2013/09/02	2013/09/03
69801	Credit Suisse AG – HSI R Bear CBBC Nov 2013 W	2013/09/02	2013/09/03
69804	Credit Suisse AG – HSI R Bear CBBC Nov 2013 X	2013/09/02	2013/09/03
69976	UBS AG – HSI R Bear CBBC Feb 2014 S	2013/09/02	2013/09/03
69890	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 G	2013/09/02	2013/09/03
69609	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 H	2013/09/02	2013/09/03
69821	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 D	2013/09/02	2013/09/03
69751	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 F	2013/09/02	2013/09/03
69504	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 H	2013/09/02	2013/09/03
69977	UBS AG – HSI R Bear CBBC Dec 2013 C	2013/09/02	2013/09/03
69394	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Q	2013/09/02	2013/09/03
69979	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 N	2013/09/02	2013/09/03
69886	UBS AG – HSI R Bear CBBC Jan 2014 A	2013/09/02	2013/09/03
69880	UBS AG – HSI R Bear CBBC Nov 2013 Z	2013/09/02	2013/09/03
69944	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 X	2013/09/02	2013/09/03
69292	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 D	2013/09/02	2013/09/03
69480	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 V	2013/09/02	2013/09/03
69771	UBS AG – HSI R Bear CBBC Nov 2013 L	2013/09/02	2013/09/03
69805	Credit Suisse AG – HSI R Bear CBBC Nov 2013 B	2013/09/02	2013/09/03
60327	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 V	2013/09/02	2013/09/03
69783	UBS AG – HSI R Bear CBBC Nov 2013 M	2013/09/02	2013/09/03
60200	UBS AG – China Mobile R Bear CBBC Feb 2014 A	2013/09/02	2013/09/03
69975	Credit Suisse AG – HSI R Bear CBBC Jan 2014 J	2013/09/02	2013/09/03
69584	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 G	2013/09/02	2013/09/03
69523	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 R	2013/09/03	2013/09/04
69456	UBS AG – HSI R Bear CBBC Dec 2013 P	2013/09/03	2013/09/04
69302	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 U	2013/09/03	2013/09/04
69315	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 F	2013/09/03	2013/09/04
69449	Credit Suisse AG – HSI R Bear CBBC Nov 2013 S	2013/09/03	2013/09/04
69446	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Y	2013/09/03	2013/09/04
69401	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 R	2013/09/03	2013/09/04
69402	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 E	2013/09/03	2013/09/04
69457	UBS AG – HSI R Bear CBBC Nov 2013 X	2013/09/03	2013/09/04
60433	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 S	2013/09/03	2013/09/04
67075	UBS AG – China Shenhua R Bear CBBC Jun 2014 A	2013/09/03	2013/09/04
67620	UBS AG – TCH R Bear CBBC Dec 2013 F	2013/09/03	2013/09/04
69874	UBS AG – HSCEI R Bear CBBC Nov 2013 C	2013/09/03	2013/09/04
60434	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 T	2013/09/03	2013/09/04
69623	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 H	2013/09/03	2013/09/04
60432	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 G	2013/09/03	2013/09/04
60407	UBS AG – HSI R Bear CBBC Mar 2014 C	2013/09/03	2013/09/04
60397	Credit Suisse AG – HSI R Bear CBBC Dec 2013 W	2013/09/03	2013/09/04
60390	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Q	2013/09/03	2013/09/04
67931	HK Bank – TCH R Bear CBBC Jan 2014 C	2013/09/03	2013/09/04
69939	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 W	2013/09/03	2013/09/04
69907	Credit Suisse AG – HSI R Bear CBBC Dec 2013 M	2013/09/03	2013/09/04
69895	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 X	2013/09/03	2013/09/04
69701	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 E	2013/09/03	2013/09/04
69861	HK Bank – HSI R Bear CBBC Feb 2014 H	2013/09/03	2013/09/04
69683	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 K	2013/09/03	2013/09/04
69877	UBS AG – HSI R Bear CBBC Nov 2013 Y	2013/09/03	2013/09/04
69758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 U	2013/09/03	2013/09/04
69769	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 V	2013/09/03	2013/09/04
69770	UBS AG – HSI R Bear CBBC Nov 2013 K	2013/09/03	2013/09/04
69806	Credit Suisse AG – HSI R Bear CBBC Nov 2013 U	2013/09/03	2013/09/04
69852	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 R	2013/09/03	2013/09/04
60575	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 I	2013/09/04	2013/09/05
60565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 Z	2013/09/04	2013/09/05
60608	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/09/04	2013/09/05
60566	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 Y	2013/09/04	2013/09/05
60632	Credit Suisse AG – HSI R Bear CBBC Dec 2013 X	2013/09/04	2013/09/05
60638	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Y	2013/09/04	2013/09/05

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60700	UBS AG – HSI R Bear CBBC Jan 2014 B	2013/09/04	2013/09/05
60701	UBS AG – HSI R Bear CBBC Dec 2013 G	2013/09/04	2013/09/05
60702	UBS AG – HSCEI R Bear CBBC Dec 2013 B	2013/09/04	2013/09/05
60703	UBS AG – TCH R Bear CBBC Jan 2014 C	2013/09/04	2013/09/05
60525	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/09/04	2013/09/05
60563	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 A	2013/09/04	2013/09/05
60562	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 P	2013/09/04	2013/09/05
60577	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 J	2013/09/04	2013/09/05
61433	UBS AG – China Life R Bear CBBC Jan 2014 A	2013/09/04	2013/09/05
60564	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 A	2013/09/04	2013/09/05
60786	Credit Suisse AG – HSI R Bear CBBC Jan 2014 M	2013/09/05	2013/09/06
60752	UBS AG – HSI R Bear CBBC Feb 2014 U	2013/09/05	2013/09/06
60764	Credit Suisse AG – HSI R Bear CBBC Jan 2014 K	2013/09/05	2013/09/06
60770	Credit Suisse AG – HSI R Bear CBBC Jan 2014 L	2013/09/05	2013/09/06
60751	UBS AG – HSI R Bear CBBC Feb 2014 T	2013/09/05	2013/09/06
60785	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 K	2013/09/05	2013/09/06
69490	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 H	2013/09/05	2013/09/06
60790	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 X	2013/09/05	2013/09/06
68325	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 I	2013/09/05	2013/09/06
69499	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 I	2013/09/05	2013/09/06
60783	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 K	2013/09/05	2013/09/06
60750	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 W	2013/09/05	2013/09/06
60748	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 U	2013/09/05	2013/09/06
69507	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 P	2013/09/05	2013/09/06
69520	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 N	2013/09/05	2013/09/06
69956	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 H	2013/09/05	2013/09/06
69963	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 G	2013/09/05	2013/09/06
69521	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Q	2013/09/05	2013/09/06
69983	HK Bank – HSI R Bear CBBC Apr 2014 C	2013/09/05	2013/09/06
69860	HK Bank – HSI R Bear CBBC Feb 2014 G	2013/09/05	2013/09/06
69848	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 N	2013/09/05	2013/09/06
60749	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 V	2013/09/05	2013/09/06
68595	UBS AG – HSI R Bear CBBC Dec 2013 B	2013/09/05	2013/09/06
68888	Credit Suisse AG – HSI R Bear CBBC Nov 2013 T	2013/09/05	2013/09/06
68864	UBS AG – HSI R Bear CBBC Jan 2014 W	2013/09/05	2013/09/06
68863	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 E	2013/09/05	2013/09/06
68755	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 P	2013/09/05	2013/09/06
68730	Credit Suisse AG – HSI R Bear CBBC Nov 2013 R	2013/09/05	2013/09/06
69033	UBS AG – HSI R Bear CBBC Dec 2013 N	2013/09/05	2013/09/06
68603	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 N	2013/09/05	2013/09/06
68596	UBS AG – HSI R Bear CBBC Nov 2013 W	2013/09/05	2013/09/06
68594	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 L	2013/09/05	2013/09/06
68592	Credit Suisse AG – HSI R Bear CBBC Nov 2013 L	2013/09/05	2013/09/06
61046	HK Bank – HSCEI R Bear CBBC Nov 2013 D	2013/09/05	2013/09/06
68317	UBS AG – HSI R Bear CBBC Nov 2013 V	2013/09/05	2013/09/06
68418	Credit Suisse AG – HSI R Bear CBBC Dec 2013 D	2013/09/05	2013/09/06
68401	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 A	2013/09/05	2013/09/06
68628	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 K	2013/09/05	2013/09/06
69124	Credit Suisse AG – HSI R Bear CBBC Nov 2013 P	2013/09/05	2013/09/06
69149	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 G	2013/09/05	2013/09/06
69050	Credit Suisse AG – HSI R Bear CBBC Nov 2013 Z	2013/09/05	2013/09/06
69138	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 B	2013/09/05	2013/09/06
69128	UBS AG – HSI R Bear CBBC Jan 2014 X	2013/09/05	2013/09/06
69143	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 Z	2013/09/05	2013/09/06
69127	Credit Suisse AG – HSI R Bear CBBC Nov 2013 F	2013/09/05	2013/09/06
69139	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 C	2013/09/05	2013/09/06
66848	HK Bank – China Shenhua R Bear CBBC Mar 2014 A	2013/09/05	2013/09/06
69450	Credit Suisse AG – HSI R Bear CBBC Nov 2013 A	2013/09/05	2013/09/06
69452	UBS AG – HSI R Bear CBBC Dec 2013 O	2013/09/05	2013/09/06
67789	HK Bank – Sinopec Corp R Bear CBBC Apr 2014 A	2013/09/06	2013/09/09
65583	HK Bank – SHK Ppt R Bear CBBC Sep 2013 B	2013/09/06	2013/09/10
67100	UBS AG – CNBM R Bear CBBC Sep 2013 A	2013/09/06	2013/09/10
69858	HK Bank – HSI R Bear CBBC Mar 2014 D	2013/09/06	2013/09/09
68597	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 M	2013/09/06	2013/09/09
60758	HK Bank – AIA R Bull CBBC Sep 2013 C	2013/09/06	2013/09/10
60828	Credit Suisse AG – HSI R Bear CBBC Jan 2014 N	2013/09/06	2013/09/09
60960	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 O	2013/09/06	2013/09/09
60941	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 X	2013/09/06	2013/09/09
60855	UBS AG – HSI R Bear CBBC Dec 2013 Z	2013/09/06	2013/09/09
60072	UBS AG – HSBC R Bear CBBC Sep 2013 A	2013/09/06	2013/09/10
69019	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 A	2013/09/06	2013/09/09
60974	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 P	2013/09/06	2013/09/09
69900	UBS AG – HSI R Bear CBBC Sep 2013 A	2013/09/09	2013/09/10
66632	HK Bank – TCH R Bear CBBC Feb 2014 B	2013/09/09	2013/09/10
64457	UBS AG – HSI R Bear CBBC Jan 2014 O	2013/09/09	2013/09/10
62775	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Jan 2014 A	2013/09/09	2013/09/10
62045	UBS AG – A50 China R Bear CBBC Jan 2014 A	2013/09/09	2013/09/10
61653	HK Bank – A50 R Bear CBBC Nov 2013 C	2013/09/09	2013/09/10
67780	HK Bank – HSI R Bear CBBC Jan 2014 C	2013/09/09	2013/09/10
61543	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 B	2013/09/09	2013/09/10
60465	UBS AG – HSI R Bear CBBC Dec 2013 J	2013/09/09	2013/09/10
60367	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 O	2013/09/09	2013/09/10
60233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 V	2013/09/09	2013/09/10
60180	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 L	2013/09/09	2013/09/10
60001	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 E	2013/09/09	2013/09/10
61137	UBS AG – HSI R Bear CBBC Mar 2014 D	2013/09/09	2013/09/10
60175	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 T	2013/09/09	2013/09/10

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60114	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 F	2013/09/09	2013/09/10
69971	Credit Suisse AG – HSI R Bear CBBC Sep 2013 N	2013/09/09	2013/09/10
60087	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 O	2013/09/09	2013/09/10
60743	UBS AG – HSCEI R Bear CBBC Sep 2013 D	2013/09/09	2013/09/10
61163	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Z	2013/09/09	2013/09/10
67147	HK Bank – CNOOC R Bear CBBC Apr 2014 A	2013/09/09	2013/09/10
66943	UBS AG – TCH R Bear CBBC Dec 2013 E	2013/09/09	2013/09/10
61786	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 Q	2013/09/09	2013/09/10
61785	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Y	2013/09/09	2013/09/10
61696	Credit Suisse AG – HSI R Bear CBBC Feb 2014 R	2013/09/09	2013/09/10
61511	UBS AG – HSI R Bear CBBC Dec 2013 F	2013/09/09	2013/09/10
61335	Credit Suisse AG – HSI R Bear CBBC Dec 2013 R	2013/09/09	2013/09/10
61303	Credit Suisse AG – HSI R Bear CBBC Dec 2013 I	2013/09/09	2013/09/10
61302	Credit Suisse AG – HSI R Bear CBBC Dec 2013 H	2013/09/09	2013/09/10
61273	Credit Suisse AG – HSI R Bear CBBC Dec 2013 F	2013/09/09	2013/09/10
61252	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Z	2013/09/09	2013/09/10
61114	UBS AG – HSI R Bear CBBC Dec 2013 I	2013/09/09	2013/09/10
61164	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/09/09	2013/09/10
66692	Credit Suisse AG – HSI R Bear CBBC Nov 2013 I	2013/09/09	2013/09/10
61162	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Y	2013/09/09	2013/09/10
61160	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/09/09	2013/09/10
60468	HK Bank – Galaxy Ent R Bear CBBC Apr 2014 A	2013/09/09	2013/09/10
61115	UBS AG – HSI R Bear CBBC Dec 2013 E	2013/09/09	2013/09/10
69850	UBS AG – HSI R Bear CBBC Sep 2013 Y	2013/09/09	2013/09/10
61101	UBS AG – HSI R Bear CBBC Jan 2014 C	2013/09/09	2013/09/10
61100	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 H	2013/09/09	2013/09/10
61076	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 L	2013/09/09	2013/09/10
61075	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 N	2013/09/09	2013/09/10
60997	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 M	2013/09/09	2013/09/10
60993	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 L	2013/09/09	2013/09/10
61249	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 F	2013/09/09	2013/09/10
68889	Credit Suisse AG – HSI R Bear CBBC Nov 2013 V	2013/09/09	2013/09/10
69764	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 H	2013/09/09	2013/09/10
69778	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13B	2013/09/09	2013/09/10
69742	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 L	2013/09/09	2013/09/10
69721	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 B	2013/09/09	2013/09/10
69716	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 B	2013/09/09	2013/09/10
69715	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 A	2013/09/09	2013/09/10
69841	Credit Suisse AG – HSI R Bear CBBC Sep 2013 H	2013/09/09	2013/09/10
69876	UBS AG – HSI R Bear CBBC Jan 2014 Z	2013/09/09	2013/09/10
69782	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 F	2013/09/09	2013/09/10
69791	UBS AG – HSI R Bear CBBC Sep 2013 W	2013/09/09	2013/09/10
69743	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 M	2013/09/09	2013/09/10
69080	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 P	2013/09/09	2013/09/10
69815	Credit Suisse AG – HSI R Bear CBBC Sep 2013 F	2013/09/09	2013/09/10
69888	UBS AG – HSI R Bear CBBC Feb 2014 R	2013/09/09	2013/09/10
69816	Credit Suisse AG – HSI R Bear CBBC Oct 2013 M	2013/09/09	2013/09/10
65842	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 G	2013/09/10	2013/09/11
66852	HK Bank – ICBC R Bear CBBC Jan 2014 A	2013/09/10	2013/09/11
61973	UBS AG – HSI R Bear CBBC Dec 2013 K	2013/09/10	2013/09/11
61976	UBS AG – HSI R Bear CBBC Dec 2013 D	2013/09/10	2013/09/11
69722	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 C	2013/09/10	2013/09/11
69723	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 D	2013/09/10	2013/09/11
62205	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 R	2013/09/10	2013/09/11
66695	Credit Suisse AG – HSI R Bear CBBC Jan 2014 C	2013/09/10	2013/09/11
61562	UBS AG – HSI R Bear CBBC Dec 2013 H	2013/09/10	2013/09/11
67329	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 B	2013/09/10	2013/09/11
62049	UBS AG – CSOP A50 ETF R Bear CBBC Jan 2014 A	2013/09/10	2013/09/11
67069	UBS AG – ABC R Bear CBBC Mar 2014 A	2013/09/10	2013/09/11
62218	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 N	2013/09/10	2013/09/11
69750	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 C	2013/09/10	2013/09/11
69173	HK Bank – HSI R Bear CBBC Feb 2014 F	2013/09/10	2013/09/11
64322	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 E	2013/09/10	2013/09/11
64283	HK Bank – Minsheng Bank R Bear CBBC Dec 2013 B	2013/09/10	2013/09/11
64139	UBS AG – China Mobile R Bear CBBC Jan 2014 A	2013/09/10	2013/09/11
62209	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 M	2013/09/10	2013/09/11
61911	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Q	2013/09/10	2013/09/11
61891	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 Q	2013/09/10	2013/09/11
61889	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 G	2013/09/10	2013/09/11
61865	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 J	2013/09/10	2013/09/11
61864	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 I	2013/09/10	2013/09/11
61834	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 A	2013/09/10	2013/09/11
61896	Credit Suisse AG – HSI R Bear CBBC Jan 2014 O	2013/09/10	2013/09/11
61833	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Z	2013/09/10	2013/09/11
69691	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 K	2013/09/10	2013/09/11
61900	Credit Suisse AG – HSI R Bear CBBC Jan 2014 P	2013/09/10	2013/09/11
66851	HK Bank – ABC R Bear CBBC Mar 2014 A	2013/09/10	2013/09/11
61918	Credit Suisse AG – HSI R Bear CBBC Dec 2013 G	2013/09/10	2013/09/11
69754	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 F	2013/09/10	2013/09/11
68852	HK Bank – China Mobile R Bear CBBC Mar 2014 A	2013/09/10	2013/09/11
61927	Credit Suisse AG – HSI R Bear CBBC Feb 2014 S	2013/09/10	2013/09/11
67053	Credit Suisse AG – TCH R Bear CBBC Dec 2013 D	2013/09/10	2013/09/11
68589	HK Bank – Sands China R Bear CBBC Apr 2014 A	2013/09/10	2013/09/11
61928	Credit Suisse AG – HSI R Bear CBBC Dec 2013 P	2013/09/10	2013/09/11
61894	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 O	2013/09/10	2013/09/11
69084	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 S	2013/09/10	2013/09/11
61569	UBS AG – ICBC R Bear CBBC Dec 2013 A	2013/09/10	2013/09/11

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60118	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 M	2013/09/10	2013/09/11
69793	UBS AG – HSI R Bear CBBC Sep 2013 X	2013/09/10	2013/09/11
60176	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 U	2013/09/10	2013/09/11
69824	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 E	2013/09/10	2013/09/11
60039	HK Bank – China Mobile R Bear CBBC Oct 2013 A	2013/09/10	2013/09/11
69814	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Z	2013/09/10	2013/09/11
61554	Credit Suisse AG – HSCEI R Bear CBBC Oct 2013 B	2013/09/10	2013/09/11
60011	HK Bank – HSI R Bear CBBC Oct 2013 M	2013/09/10	2013/09/11
69851	UBS AG – HSI R Bear CBBC Sep 2013 Z	2013/09/10	2013/09/11
60885	HK Bank – HSCEI R Bear CBBC Jan 2014 A	2013/09/10	2013/09/11
69845	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 E	2013/09/10	2013/09/11
69760	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 I	2013/09/10	2013/09/11
60386	Credit Suisse AG – HSI R Bear CBBC Dec 2013 A	2013/09/10	2013/09/11
69875	UBS AG – HSI R Bear CBBC Jan 2014 Y	2013/09/10	2013/09/11
69901	UBS AG – HSI R Bear CBBC Oct 2013 W	2013/09/10	2013/09/11
68809	HK Bank – HSI R Bear CBBC Jan 2014 F	2013/09/11	2013/09/12
60307	UBS AG – HSCEI R Bear CBBC Oct 2013 B	2013/09/11	2013/09/12
60213	HK Bank – China Life R Bear CBBC Nov 2013 B	2013/09/11	2013/09/12
66693	Credit Suisse AG – HSI R Bear CBBC Nov 2013 M	2013/09/11	2013/09/12
60374	HK Bank – HSI R Bear CBBC Dec 2013 F	2013/09/11	2013/09/12
61595	UBS AG – HSI R Bear CBBC Mar 2014 E	2013/09/11	2013/09/12
66982	HK Bank – Ping An R Bear CBBC Feb 2014 A	2013/09/11	2013/09/12
60078	UBS AG – China Life R Bear CBBC Nov 2013 A	2013/09/11	2013/09/12
60062	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 P	2013/09/11	2013/09/12
69689	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 I	2013/09/11	2013/09/12
69974	UBS AG – HSI R Bear CBBC Oct 2013 X	2013/09/11	2013/09/12
60002	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 K	2013/09/11	2013/09/12
69928	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 A	2013/09/11	2013/09/12
61931	Credit Suisse AG – HSI R Bear CBBC Feb 2014 T	2013/09/11	2013/09/12
69471	Credit Suisse AG – HSI R Bear CBBC Oct 2013 I	2013/09/11	2013/09/12
67393	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 J	2013/09/11	2013/09/12
62300	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 P	2013/09/11	2013/09/12
62310	Credit Suisse AG – HSI R Bear CBBC Dec 2013 O	2013/09/11	2013/09/12
62311	Credit Suisse AG – HSI R Bear CBBC Dec 2013 M	2013/09/11	2013/09/12
62312	UBS AG – HSI R Bear CBBC Mar 2014 G	2013/09/11	2013/09/12
60060	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13I	2013/09/11	2013/09/12
64025	UBS AG – TCH R Bear CBBC Jan 2014 A	2013/09/11	2013/09/12
66129	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov 13H	2013/09/11	2013/09/12
69772	HK Bank – HSI R Bear CBBC Sep 2013 L	2013/09/11	2013/09/12
69690	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 J	2013/09/11	2013/09/12
69749	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 B	2013/09/11	2013/09/12
66682	Credit Suisse AG – HSI R Bear CBBC Dec 2013 S	2013/09/11	2013/09/12
64400	UBS AG – HSI R Bear CBBC Jan 2014 N	2013/09/11	2013/09/12
61542	Credit Suisse AG – A50 China R Bear CBBC Jan 2014 A	2013/09/11	2013/09/12
69763	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 G	2013/09/11	2013/09/12
65886	UBS AG – HSI R Bear CBBC Feb 2014 K	2013/09/11	2013/09/12
68446	Credit Suisse AG – HSI R Bear CBBC Dec 2013 J	2013/09/11	2013/09/12
69724	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 E	2013/09/11	2013/09/12
69513	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 H	2013/09/11	2013/09/12
69717	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 C	2013/09/11	2013/09/12
69781	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 E	2013/09/11	2013/09/12
62342	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 H	2013/09/12	2013/09/13
62347	Credit Suisse AG – HSI R Bear CBBC Feb 2014 U	2013/09/12	2013/09/13
60795	HK Bank – CUni R Bear CBBC Oct 2013 B	2013/09/12	2013/09/13
62346	UBS AG – HSI R Bear CBBC Jan 2014 D	2013/09/12	2013/09/13
61611	HK Bank – CSOP A50 ETF R Bear CBBC Dec 2013 A	2013/09/12	2013/09/13
62345	UBS AG – HSI R Bear CBBC Feb 2014 W	2013/09/12	2013/09/13
66639	HK Bank – TCH R Bear CBBC Mar 2014 A	2013/09/12	2013/09/13
62353	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 Q	2013/09/12	2013/09/13
62343	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 O	2013/09/12	2013/09/13
62330	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 S	2013/09/12	2013/09/13
62348	Credit Suisse AG – HSI R Bear CBBC Feb 2014 V	2013/09/12	2013/09/13
61654	HK Bank – A50 R Bear CBBC Nov 2013 D	2013/09/12	2013/09/13
62364	Credit Suisse AG – HSI R Bear CBBC Feb 2014 X	2013/09/12	2013/09/13
62331	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 T	2013/09/12	2013/09/13
68003	UBS AG – TCH R Bear CBBC Feb 2014 B	2013/09/12	2013/09/13
62349	Credit Suisse AG – HSI R Bear CBBC Feb 2014 W	2013/09/12	2013/09/13
62329	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 C	2013/09/12	2013/09/13
61430	UBS AG – A50 China R Bear CBBC Nov 2013 A	2013/09/12	2013/09/13
62344	UBS AG – HSI R Bear CBBC Dec 2013 Y	2013/09/12	2013/09/13
65805	HK Bank – A50 R Bear CBBC Sep 2013 B	2013/09/13	2013/09/17
60728	UBS AG – Evergrande R Bear CBBC Sep 2013 A	2013/09/13	2013/09/17
62577	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Q	2013/09/13	2013/09/16
62564	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 D	2013/09/13	2013/09/16
67256	UBS AG – A50 China R Bear CBBC Sep 2013 A	2013/09/13	2013/09/17
60053	UBS AG – SHK Ppt R Bear CBBC Sep 2013 A	2013/09/13	2013/09/17
64865	HK Bank – China Tel R Bear CBBC Sep 2013 A	2013/09/13	2013/09/17
62654	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 U	2013/09/13	2013/09/16
62511	HK Bank – China Shenhua R Bull CBBC Jul 2014 B	2013/09/13	2013/09/16
60568	UBS AG – CC Bank R Bear CBBC Sep 2013 A	2013/09/13	2013/09/17
62557	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 T	2013/09/13	2013/09/16
62561	UBS AG – HSI R Bear CBBC Feb 2014 Y	2013/09/13	2013/09/16
62562	UBS AG – HSI R Bear CBBC Apr 2014 F	2013/09/13	2013/09/16
62578	Credit Suisse AG – HSI R Bear CBBC Dec 2013 W	2013/09/13	2013/09/16
63650	HK Bank – CC Bank R Bull CBBC Sep 2013 A	2013/09/13	2013/09/17
62707	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 W	2013/09/16	2013/09/17
62677	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 U	2013/09/16	2013/09/17

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62779	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 S	2013/09/16	2013/09/17
62682	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 V	2013/09/16	2013/09/17
67040	HK Bank – TCH R Bear CBBC Mar 2014 B	2013/09/16	2013/09/17
62695	UBS AG – HSI R Bear CBBC Apr 2014 I	2013/09/16	2013/09/17
62728	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 O	2013/09/16	2013/09/17
62691	Credit Suisse AG – HSI R Bear CBBC Dec 2013 X	2013/09/16	2013/09/17
62690	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/09/16	2013/09/17
62683	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 X	2013/09/16	2013/09/17
68517	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 Q	2013/09/16	2013/09/17
62386	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 P	2013/09/16	2013/09/17
62694	UBS AG – HSI R Bear CBBC Mar 2014 J	2013/09/16	2013/09/17
62722	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 E	2013/09/16	2013/09/17
61493	HK Bank – CC Bank R Bear CBBC Dec 2013 B	2013/09/16	2013/09/17
68530	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 S	2013/09/16	2013/09/17
68459	Credit Suisse AG – HSI R Bear CBBC Dec 2013 K	2013/09/16	2013/09/17
66434	UBS AG – TCH R Bear CBBC Mar 2014 A	2013/09/16	2013/09/17
65873	UBS AG – HSI R Bear CBBC Dec 2013 V	2013/09/16	2013/09/17
67565	HK Bank – HKEx R Bear CBBC Mar 2014 A	2013/09/16	2013/09/17
64393	UBS AG – HSI R Bear CBBC Jan 2014 M	2013/09/16	2013/09/17
69753	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 E	2013/09/16	2013/09/17
69514	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 I	2013/09/16	2013/09/17
69759	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 H	2013/09/16	2013/09/17
69688	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 H	2013/09/16	2013/09/17
69779	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13C	2013/09/16	2013/09/17
60405	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 Q	2013/09/16	2013/09/17
69985	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 O	2013/09/16	2013/09/17
60010	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 L	2013/09/16	2013/09/17
68060	Credit Suisse AG – HSI R Bear CBBC Feb 2014 J	2013/09/16	2013/09/17
69460	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 G	2013/09/16	2013/09/17
69849	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 F	2013/09/16	2013/09/17
69085	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 T	2013/09/16	2013/09/17
69687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 G	2013/09/16	2013/09/17
69637	UBS AG – HSI R Bear CBBC Sep 2013 V	2013/09/16	2013/09/17
66700	Credit Suisse AG – HSI R Bear CBBC Jan 2014 D	2013/09/16	2013/09/17
61604	UBS AG – HSI R Bear CBBC Apr 2014 D	2013/09/16	2013/09/17
61819	HK Bank – Galaxy Ent R Bear CBBC Jul 2014 A	2013/09/16	2013/09/17
62321	UBS AG – Galaxy Ent R Bear CBBC Mar 2014 A	2013/09/16	2013/09/17
62008	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 K	2013/09/16	2013/09/17
69730	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2013 F	2013/09/16	2013/09/17
62845	Credit Suisse AG – HSI R Bear CBBC Jan 2014 V	2013/09/17	2013/09/18
62855	UBS AG – HSI R Bear CBBC Jan 2014 P	2013/09/17	2013/09/18
62880	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 X	2013/09/17	2013/09/18
62918	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 T	2013/09/17	2013/09/18
62852	UBS AG – HSI R Bear CBBC Dec 2013 C	2013/09/17	2013/09/18
63295	HK Bank – Minsheng Bank R Bull CBBC Sep 2013 A	2013/09/17	2013/09/19
62849	Credit Suisse AG – HSI R Bear CBBC Jan 2014 W	2013/09/17	2013/09/18
62822	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 H	2013/09/17	2013/09/18
68523	HK Bank – BOCL R Bull CBBC Sep 2013 A	2013/09/17	2013/09/19
62834	Credit Suisse AG – HSI R Bear CBBC Jan 2014 U	2013/09/17	2013/09/18
62937	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 W	2013/09/17	2013/09/18
61004	UBS AG – Sinopec Corp R Bear CBBC Nov 2013 A	2013/09/17	2013/09/18
63144	UBS AG – HSI R Bear CBBC Jan 2014 R	2013/09/18	2013/09/19
63080	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 Z	2013/09/18	2013/09/19
63104	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 F	2013/09/18	2013/09/19
63105	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 K	2013/09/18	2013/09/19
61444	UBS AG – HKEx R Bear CBBC Dec 2013 A	2013/09/18	2013/09/19
61018	UBS AG – Cheung Kong R Bear CBBC Dec 2013 A	2013/09/18	2013/09/19
60377	HK Bank – Cheung Kong R Bear CBBC Nov 2013 B	2013/09/18	2013/09/19
63140	UBS AG – HSI R Bear CBBC Feb 2014 E	2013/09/18	2013/09/19
63131	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Y	2013/09/18	2013/09/19
63145	Credit Suisse AG – HSI R Bear CBBC Dec 2013 F	2013/09/18	2013/09/19
63148	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 Z	2013/09/18	2013/09/19
63149	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 G	2013/09/18	2013/09/19
63151	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 J	2013/09/18	2013/09/19
63154	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 F	2013/09/18	2013/09/19
69990	HK Bank – AIA R Bear CBBC Mar 2014 B	2013/09/18	2013/09/19
63133	Credit Suisse AG – HSI R Bear CBBC Dec 2013 D	2013/09/18	2013/09/19
69768	HK Bank – HSI R Bear CBBC Oct 2013 L	2013/09/19	2013/09/23
69774	HK Bank – HSI R Bear CBBC Nov 2013 M	2013/09/19	2013/09/23
69059	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 R	2013/09/19	2013/09/23
67057	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 L	2013/09/19	2013/09/23
66170	UBS AG – HSI R Bear CBBC Feb 2014 M	2013/09/19	2013/09/23
69756	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 G	2013/09/19	2013/09/23
60849	UBS AG – SHK Ppt R Bear CBBC Sep 2013 B	2013/09/19	2013/09/24
66689	Credit Suisse AG – HSI R Bear CBBC Dec 2013 U	2013/09/19	2013/09/23
63156	HK Bank – HSI R Bear CBBC Mar 2014 E	2013/09/19	2013/09/23
66687	Credit Suisse AG – HSI R Bear CBBC Dec 2013 T	2013/09/19	2013/09/23
66359	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 J	2013/09/19	2013/09/23
69748	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 A	2013/09/19	2013/09/23
63652	HK Bank – CC Bank R Bear CBBC Sep 2013 A	2013/09/19	2013/09/24
69747	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Y	2013/09/19	2013/09/23
60671	UBS AG – Li & Fung R Bear CBBC Sep 2013 A	2013/09/19	2013/09/24
66702	Credit Suisse AG – HSI R Bear CBBC Feb 2014 B	2013/09/19	2013/09/23
69604	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 C	2013/09/19	2013/09/23
69580	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 F	2013/09/19	2013/09/23
67233	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 O	2013/09/19	2013/09/23
69630	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 H	2013/09/19	2013/09/23

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
69140	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 D	2013/09/19	2013/09/23
69634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 D	2013/09/19	2013/09/23
61621	UBS AG – HSI R Bear CBBC Apr 2014 E	2013/09/19	2013/09/23
67594	UBS AG – Sands China R Bull CBBC Sep 2013 A	2013/09/19	2013/09/24
69767	HK Bank – HSI R Bear CBBC Nov 2013 L	2013/09/19	2013/09/23
69606	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 K	2013/09/19	2013/09/23
66187	UBS AG – China Life R Bear CBBC Sep 2013 A	2013/09/19	2013/09/24
63169	UBS AG – HSI R Bear CBBC Dec 2013 M	2013/09/19	2013/09/23
63168	UBS AG – HSI R Bear CBBC Apr 2014 K	2013/09/19	2013/09/23
63167	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Y	2013/09/19	2013/09/23
63166	Credit Suisse AG – HSI R Bear CBBC Jan 2014 X	2013/09/19	2013/09/23
63191	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 G	2013/09/19	2013/09/23
65856	UBS AG – HSI R Bear CBBC Dec 2013 U	2013/09/19	2013/09/23
69398	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 C	2013/09/19	2013/09/23
61960	Credit Suisse AG – HSI R Bear CBBC Jan 2014 S	2013/09/19	2013/09/23
64382	UBS AG – HSI R Bear CBBC Jan 2014 L	2013/09/19	2013/09/23
69649	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 B	2013/09/19	2013/09/23
62536	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 M	2013/09/19	2013/09/23
62679	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 I	2013/09/19	2013/09/23
69950	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 J	2013/09/19	2013/09/23
69686	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 F	2013/09/19	2013/09/23
69648	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 F	2013/09/19	2013/09/23
65553	UBS AG – HSI R Bear CBBC Oct 2013 J	2013/09/19	2013/09/23
65872	UBS AG – HSI R Bear CBBC Feb 2014 J	2013/09/19	2013/09/23
67059	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 M	2013/09/19	2013/09/23
69629	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Oct 2013 G	2013/09/19	2013/09/23
69515	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 J	2013/09/19	2013/09/23
62387	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 Q	2013/09/19	2013/09/23
62392	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 E	2013/09/19	2013/09/23
69685	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 E	2013/09/19	2013/09/23
69780	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep 13D	2013/09/19	2013/09/23
69951	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 D	2013/09/19	2013/09/23
68516	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 N	2013/09/19	2013/09/23
68408	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 B	2013/09/19	2013/09/23
68839	HK Bank – HSI R Bear CBBC Feb 2014 E	2013/09/19	2013/09/23
64364	UBS AG – HSI R Bear CBBC Jan 2014 K	2013/09/19	2013/09/23
62503	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 T	2013/09/19	2013/09/23
61959	Credit Suisse AG – HSI R Bear CBBC Jan 2014 R	2013/09/19	2013/09/23
60126	Credit Suisse AG – HSI R Bear CBBC Nov 2013 G	2013/09/19	2013/09/23
60020	UBS AG – HSI R Bear CBBC Feb 2014 A	2013/09/19	2013/09/23
68464	Credit Suisse AG – HSI R Bear CBBC Dec 2013 L	2013/09/19	2013/09/23
68694	UBS AG – TCH R Bull CBBC Sep 2013 A	2013/09/19	2013/09/24
63224	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 D	2013/09/23	2013/09/24
63247	UBS AG – HSI R Bear CBBC Dec 2013 R	2013/09/23	2013/09/24
63245	Credit Suisse AG – HSI R Bear CBBC Dec 2013 R	2013/09/23	2013/09/24
63234	Credit Suisse AG – HSI R Bear CBBC Dec 2013 H	2013/09/23	2013/09/24
63216	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 B	2013/09/23	2013/09/24
63249	UBS AG – HSI R Bear CBBC Jan 2014 S	2013/09/23	2013/09/24
63251	UBS AG – HSI R Bear CBBC Jan 2014 T	2013/09/23	2013/09/24
63255	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 H	2013/09/23	2013/09/24
61660	HK Bank – BOCL R Bear CBBC Feb 2014 A	2013/09/23	2013/09/24
64098	UBS AG – Hutchison R Bear CBBC Feb 2014 A	2013/09/23	2013/09/24
67695	HK Bank – Hutchison R Bear CBBC Nov 2013 A	2013/09/23	2013/09/24
63203	HK Bank – A50 R Bull CBBC Jun 2014 A	2013/09/23	2013/09/24
63242	Credit Suisse AG – HSI R Bear CBBC Dec 2013 I	2013/09/23	2013/09/24
63290	UBS AG – HSI R Bear CBBC Mar 2014 O	2013/09/24	2013/09/25
63259	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 W	2013/09/24	2013/09/25
63178	UBS AG – A50 China R Bull CBBC Apr 2014 D	2013/09/24	2013/09/25
63278	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Z	2013/09/24	2013/09/25
63289	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 V	2013/09/24	2013/09/25
63280	Credit Suisse AG – HSI R Bear CBBC Jan 2014 A	2013/09/24	2013/09/25
63287	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 U	2013/09/24	2013/09/25
63282	Credit Suisse AG – HSI R Bear CBBC Jan 2014 B	2013/09/24	2013/09/25
63285	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 P	2013/09/24	2013/09/25
63257	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 F	2013/09/24	2013/09/25
63355	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 C	2013/09/24	2013/09/25
63365	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 I	2013/09/24	2013/09/25
63363	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 C	2013/09/24	2013/09/25
63343	Credit Suisse AG – HSI R Bull CBBC Aug 2014 N	2013/09/24	2013/09/25
63158	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2014 B	2013/09/24	2013/09/25
63291	UBS AG – HSI R Bear CBBC Jan 2014 A	2013/09/24	2013/09/25
63346	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 H	2013/09/24	2013/09/25
63344	UBS AG – HSI R Bull CBBC Mar 2014 W	2013/09/24	2013/09/25
63340	Credit Suisse AG – HSI R Bull CBBC Aug 2014 M	2013/09/24	2013/09/25
63204	HK Bank – A50 R Bull CBBC Jul 2014 A	2013/09/24	2013/09/25
63298	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 F	2013/09/24	2013/09/25
63356	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 B	2013/09/24	2013/09/25
63397	UBS AG – HSI R Bull CBBC Dec 2013 Z	2013/09/25	2013/09/26
63337	UBS AG – TCH R Bull CBBC Jul 2014 C	2013/09/25	2013/09/26
61659	HK Bank – Lenovo R Bear CBBC Dec 2013 A	2013/09/25	2013/09/26
63391	Credit Suisse AG – HSI R Bull CBBC Sep 2014 A	2013/09/25	2013/09/26
63420	UBS AG – HSI R Bear CBBC Feb 2014 D	2013/09/25	2013/09/26
63417	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Z	2013/09/25	2013/09/26
63396	UBS AG – HSI R Bull CBBC Jun 2014 Y	2013/09/25	2013/09/26
63392	Credit Suisse AG – HSI R Bull CBBC Sep 2014 B	2013/09/25	2013/09/26
67023	HK Bank – HSI R Bear CBBC Sep 2013 F	2013/09/26	2013/09/30
63157	HK Bank – A50 R Bull CBBC Mar 2014 F	2013/09/26	2013/09/27

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
65196	HK Bank – HSI R Bear CBBC Sep 2013 I	2013/09/26	2013/09/30
65383	HK Bank – HSI R Bear CBBC Sep 2013 E	2013/09/26	2013/09/30
63439	UBS AG – HSI R Bear CBBC Feb 2014 F	2013/09/26	2013/09/27
63884	UBS AG – HSI R Bear CBBC Sep 2013 B	2013/09/26	2013/09/30
69827	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 L	2013/09/26	2013/09/30
69829	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 M	2013/09/26	2013/09/30
69548	UBS AG – HSCEI R Bear CBBC Sep 2013 A	2013/09/26	2013/09/30
69549	UBS AG – HSCEI R Bear CBBC Sep 2013 B	2013/09/26	2013/09/30
69825	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 J	2013/09/26	2013/09/30
65365	Credit Suisse AG – HSI R Bear CBBC Sep 2013 A	2013/09/26	2013/09/30
64837	HK Bank – HSI R Bull CBBC Sep 2013 B	2013/09/26	2013/09/30
64481	UBS AG – HSI R Bear CBBC Sep 2013 C	2013/09/26	2013/09/30
63423	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 D	2013/09/26	2013/09/27
66904	UBS AG – HSI R Bear CBBC Sep 2013 F	2013/09/26	2013/09/30
63429	Credit Suisse AG – HSI R Bull CBBC Sep 2014 D	2013/09/26	2013/09/27
63431	Credit Suisse AG – HSI R Bull CBBC Sep 2014 E	2013/09/26	2013/09/27
60140	UBS AG – HSCEI R Bear CBBC Sep 2013 C	2013/09/26	2013/09/30
60626	HK Bank – HSI R Bear CBBC Sep 2013 G	2013/09/26	2013/09/30
63434	UBS AG – HSI R Bull CBBC Jan 2014 Z	2013/09/26	2013/09/27
63435	UBS AG – HSI R Bull CBBC May 2014 Y	2013/09/26	2013/09/27
63436	Credit Suisse AG – HSI R Bear CBBC Dec 2013 P	2013/09/26	2013/09/27
63393	Credit Suisse AG – HSI R Bull CBBC Sep 2014 C	2013/09/26	2013/09/27
65369	Credit Suisse AG – HSI R Bear CBBC Sep 2013 E	2013/09/26	2013/09/30
65367	Credit Suisse AG – HSI R Bear CBBC Sep 2013 C	2013/09/26	2013/09/30
63299	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 C	2013/09/26	2013/09/27
63345	UBS AG – HSI R Bull CBBC Jul 2014 O	2013/09/26	2013/09/27
69502	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Sep13 A	2013/09/26	2013/09/30
66326	UBS AG – HSI R Bear CBBC Sep 2013 E	2013/09/26	2013/09/30
69826	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2013 K	2013/09/26	2013/09/30
63319	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 G	2013/09/26	2013/09/27
63320	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 T	2013/09/26	2013/09/27
66997	UBS AG – HSI R Bull CBBC Sep 2013 A	2013/09/26	2013/09/30
69134	UBS AG – HSI R Bear CBBC Sep 2013 L	2013/09/26	2013/09/30
65368	Credit Suisse AG – HSI R Bear CBBC Sep 2013 D	2013/09/26	2013/09/30
65622	HK Bank – HSCEI R Bear CBBC Sep 2013 A	2013/09/26	2013/09/30
65366	Credit Suisse AG – HSI R Bear CBBC Sep 2013 B	2013/09/26	2013/09/30
66320	UBS AG – HSI R Bear CBBC Sep 2013 D	2013/09/26	2013/09/30
61823	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 M	2013/09/26	2013/09/30
69008	Credit Suisse AG – HSI R Bear CBBC Sep 2013 Q	2013/09/26	2013/09/30
69010	Credit Suisse AG – HSI R Bear CBBC Sep 2013 S	2013/09/26	2013/09/30
61821	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Sep13 L	2013/09/26	2013/09/30
69012	Credit Suisse AG – HSI R Bear CBBC Sep 2013 T	2013/09/26	2013/09/30
63504	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 M	2013/09/27	2013/09/30
64074	HK Bank – AIA R Bull CBBC Sep 2013 A	2013/09/27	2013/10/02
63442	Credit Suisse AG – HSI R Bear CBBC Dec 2013 J	2013/09/27	2013/09/30
63441	UBS AG – HSI R Bear CBBC Mar 2014 P	2013/09/27	2013/09/30
67099	UBS AG – HKEx R Bear CBBC Sep 2013 A	2013/09/27	2013/10/02
63444	Credit Suisse AG – HSI R Bear CBBC Dec 2013 M	2013/09/27	2013/09/30
66844	HK Bank – China Life R Bear CBBC Sep 2013 B	2013/09/27	2013/10/02
63486	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 Y	2013/09/27	2013/09/30
63484	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 W	2013/09/27	2013/09/30
63483	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 V	2013/09/27	2013/09/30
63480	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 F	2013/09/27	2013/09/30
63476	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 E	2013/09/27	2013/09/30
63461	Standard Chartered Bank – HSI R Bull CBBC Apr 2014 A	2013/09/27	2013/09/30
63553	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 B	2013/09/27	2013/09/30
63521	Credit Suisse AG – HSI R Bull CBBC Sep 2014 G	2013/09/27	2013/09/30
63458	UBS AG – HSI R Bear CBBC Dec 2013 W	2013/09/27	2013/09/30
63537	UBS AG – HSI R Bull CBBC Feb 2014 L	2013/09/27	2013/09/30
63502	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 L	2013/09/27	2013/09/30
63454	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 E	2013/09/27	2013/09/30
63505	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 N	2013/09/27	2013/09/30
63459	UBS AG – HSI R Bear CBBC Mar 2014 Q	2013/09/27	2013/09/30
63449	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 N	2013/09/27	2013/09/30
63522	Credit Suisse AG – HSI R Bull CBBC Sep 2014 H	2013/09/27	2013/09/30
63485	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 X	2013/09/27	2013/09/30
63561	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 C	2013/09/27	2013/09/30
66269	HK Bank – HKEx R Bear CBBC Sep 2013 A	2013/09/27	2013/10/02
60693	HK Bank – CSOP A50 ETF R Bear CBBC Sep 2013 A	2013/09/27	2013/10/02
63538	UBS AG – HSI R Bull CBBC Jul 2014 Q	2013/09/27	2013/09/30
67664	HK Bank – A50 R Bear CBBC Sep 2013 C	2013/09/27	2013/10/02
67768	HK Bank – CNBM R Bear CBBC Sep 2013 B	2013/09/27	2013/10/02
68868	HK Bank – Galaxy Ent R Bull CBBC Sep 2013 B	2013/09/27	2013/10/02
63122	UBS AG – CSOP A50 ETF R Bull CBBC Mar 2014 C	2013/09/27	2013/09/30
69115	UBS AG – Galaxy Ent R Bull CBBC Sep 2013 B	2013/09/27	2013/10/02
63557	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 E	2013/09/27	2013/09/30
61263	HK Bank – ABC R Bear CBBC Sep 2013 C	2013/09/27	2013/10/02
66827	HK Bank – China Life R Bear CBBC Sep 2013 C	2013/09/27	2013/10/02
63544	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 O	2013/09/27	2013/09/30
68582	HK Bank – TCH R Bull CBBC Sep 2013 B	2013/09/27	2013/10/02
60761	HK Bank – SHK Ppt R Bear CBBC Sep 2013 A	2013/09/27	2013/10/02
63433	Credit Suisse AG – HSI R Bear CBBC Dec 2013 G	2013/09/27	2013/09/30
63539	Credit Suisse AG – HSI R Bull CBBC Sep 2014 I	2013/09/27	2013/09/30
66690	UBS AG – HKEx R Bull CBBC Sep 2013 C	2013/09/27	2013/10/02
63590	Credit Suisse AG – HSI R Bull CBBC Sep 2014 M	2013/09/30	2013/10/02
63654	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 N	2013/09/30	2013/10/02
62512	HK Bank – Anhui Conch R Bull CBBC Sep 2014 D	2013/09/30	2013/10/02

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63649	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 D	2013/09/30	2013/10/02
63613	UBS AG – HSI R Bull CBBC Feb 2014 M	2013/09/30	2013/10/02
63492	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 J	2013/09/30	2013/10/02
63602	UBS AG – HSI R Bull CBBC Jun 2014 Z	2013/09/30	2013/10/02
63589	Credit Suisse AG – HSI R Bull CBBC Sep 2014 L	2013/09/30	2013/10/02
63587	Credit Suisse AG – HSI R Bull CBBC Sep 2014 K	2013/09/30	2013/10/02
63567	Credit Suisse AG – HSI R Bull CBBC Sep 2014 J	2013/09/30	2013/10/02
63564	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 H	2013/09/30	2013/10/02
63562	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 G	2013/09/30	2013/10/02
62915	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 S	2013/09/30	2013/10/02
63541	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 N	2013/09/30	2013/10/02
63612	UBS AG – HSI R Bull CBBC Jun 2014 C	2013/09/30	2013/10/02
63184	UBS AG – HSI R Bull CBBC May 2014 X	2013/09/30	2013/10/02
63099	UBS AG – HSI R Bull CBBC Jul 2014 N	2013/09/30	2013/10/02
63101	UBS AG – HSI R Bull CBBC Feb 2014 K	2013/09/30	2013/10/02
63110	Credit Suisse AG – HSI R Bull CBBC Aug 2014 H	2013/09/30	2013/10/02
63112	Credit Suisse AG – HSI R Bull CBBC Aug 2014 I	2013/09/30	2013/10/02
63369	HK Bank – HSI R Bull CBBC Apr 2014 C	2013/09/30	2013/10/02
63364	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 L	2013/09/30	2013/10/02
63395	UBS AG – HSI R Bull CBBC Jul 2014 P	2013/09/30	2013/10/02
62959	Credit Suisse AG – HSI R Bull CBBC May 2014 Y	2013/09/30	2013/10/02
63177	Credit Suisse AG – HSI R Bull CBBC Jul 2014 K	2013/09/30	2013/10/02
63501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 K	2013/09/30	2013/10/02
63339	Credit Suisse AG – HSI R Bull CBBC Aug 2014 L	2013/09/30	2013/10/02
63325	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 U	2013/09/30	2013/10/02
63212	Credit Suisse AG – HSI R Bull CBBC Jul 2014 M	2013/09/30	2013/10/02
63318	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 F	2013/09/30	2013/10/02
63215	UBS AG – HSI R Bull CBBC Mar 2014 V	2013/09/30	2013/10/02
63292	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 B	2013/09/30	2013/10/02
63147	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 S	2013/09/30	2013/10/02
62978	UBS AG – HSI R Bull CBBC Feb 2014 O	2013/09/30	2013/10/02
63403	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 D	2013/09/30	2013/10/02
63078	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 B	2013/09/30	2013/10/02
62970	UBS AG – HSI R Bull CBBC Jun 2014 W	2013/09/30	2013/10/02
62992	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 B	2013/09/30	2013/10/02
62981	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 B	2013/09/30	2013/10/02
62962	Credit Suisse AG – HSI R Bull CBBC May 2014 Z	2013/09/30	2013/10/02
63092	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 T	2013/09/30	2013/10/02
63675	UBS AG – HSI R Bull CBBC Jul 2014 R	2013/10/02	2013/10/03
63701	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 G	2013/10/02	2013/10/03
63666	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 O	2013/10/02	2013/10/03
63667	Credit Suisse AG – HSI R Bull CBBC Sep 2014 N	2013/10/02	2013/10/03
63674	UBS AG – HSI R Bull CBBC Jan 2014 H	2013/10/02	2013/10/03
63669	Credit Suisse AG – HSI R Bull CBBC Sep 2014 O	2013/10/02	2013/10/03
63695	UBS AG – HSI R Bear CBBC Mar 2014 S	2013/10/03	2013/10/04
62804	HK Bank – Galaxy Ent R Bear CBBC Aug 2014 A	2013/10/03	2013/10/04
63677	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14M	2013/10/03	2013/10/04
63705	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 I	2013/10/03	2013/10/04
63706	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 L	2013/10/03	2013/10/04
63708	Credit Suisse AG – HSI R Bear CBBC Jan 2014 I	2013/10/03	2013/10/04
63679	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 A	2013/10/03	2013/10/04
63689	Credit Suisse AG – HSI R Bear CBBC Jan 2014 H	2013/10/03	2013/10/04
68851	HK Bank – AIA R Bear CBBC Mar 2014 A	2013/10/03	2013/10/04
62314	UBS AG – Sands China R Bear CBBC Mar 2014 A	2013/10/03	2013/10/04
63710	UBS AG – HSI R Bull CBBC Jun 2014 D	2013/10/03	2013/10/04
63709	UBS AG – HSI R Bear CBBC Feb 2014 O	2013/10/03	2013/10/04
61082	HK Bank – SHK Ppt R Bear CBBC Oct 2013 B	2013/10/04	2013/10/08
63755	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 H	2013/10/04	2013/10/07
67595	UBS AG – AIA R Bull CBBC Oct 2013 A	2013/10/04	2013/10/08
63754	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 A	2013/10/04	2013/10/07
63753	UBS AG – HSI R Bear CBBC Feb 2014 S	2013/10/04	2013/10/07
63752	Credit Suisse AG – HSI R Bear CBBC Jan 2014 J	2013/10/04	2013/10/07
63738	UBS AG – HSI R Bull CBBC Aug 2014 H	2013/10/04	2013/10/07
63737	UBS AG – HSI R Bull CBBC Jan 2014 F	2013/10/04	2013/10/07
63729	Credit Suisse AG – HSI R Bull CBBC Sep 2014 P	2013/10/04	2013/10/07
63726	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 D	2013/10/04	2013/10/07
63725	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 P	2013/10/04	2013/10/07
60378	HK Bank – Lenovo R Bear CBBC Oct 2013 B	2013/10/04	2013/10/08
63739	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 I	2013/10/04	2013/10/07
64722	HK Bank – PetCh R Bear CBBC Oct 2013 A	2013/10/04	2013/10/08
69156	UBS AG – CPIC R Bear CBBC Oct 2013 A	2013/10/04	2013/10/08
60439	HK Bank – A50 R Bear CBBC Oct 2013 C	2013/10/04	2013/10/08
60137	UBS AG – Ping An R Bear CBBC Oct 2013 A	2013/10/04	2013/10/08
60466	UBS AG – CC Bank R Bear CBBC Oct 2013 A	2013/10/04	2013/10/08
63380	HK Bank – China Mobile R Bull CBBC Jun 2014 A	2013/10/04	2013/10/07
63790	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 I	2013/10/07	2013/10/08
63719	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 G	2013/10/07	2013/10/08
62821	HK Bank – China Mobile R Bull CBBC Apr 2014 A	2013/10/07	2013/10/08
60342	HK Bank – Hutchison R Bear CBBC Dec 2013 A	2013/10/07	2013/10/08
63766	UBS AG – HSI R Bear CBBC Mar 2014 T	2013/10/07	2013/10/08
66267	HK Bank – SHK Ppt R Bull CBBC Oct 2013 B	2013/10/07	2013/10/09
63788	UBS AG – HSI R Bull CBBC Apr 2014 V	2013/10/07	2013/10/08
63759	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Q	2013/10/07	2013/10/08
63787	UBS AG – HSI R Bull CBBC Jun 2014 B	2013/10/07	2013/10/08
63782	Credit Suisse AG – HSI R Bull CBBC Sep 2014 R	2013/10/07	2013/10/08
63774	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 E	2013/10/07	2013/10/08
63829	Credit Suisse AG – HSI R Bear CBBC Feb 2014 A	2013/10/08	2013/10/09

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63796	Credit Suisse AG – HSI R Bull CBBC Sep 2014 T	2013/10/08	2013/10/09
63826	UBS AG – HSI R Bear CBBC Jan 2014 X	2013/10/08	2013/10/09
63816	Credit Suisse AG – HSI R Bull CBBC Sep 2014 W	2013/10/08	2013/10/09
63830	Credit Suisse AG – HSI R Bear CBBC Feb 2014 P	2013/10/08	2013/10/09
63828	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Z	2013/10/08	2013/10/09
63827	UBS AG – HSI R Bear CBBC Jan 2014 C	2013/10/08	2013/10/09
63794	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 A	2013/10/08	2013/10/09
63847	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 J	2013/10/08	2013/10/09
63853	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 N	2013/10/08	2013/10/09
63802	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 Q	2013/10/08	2013/10/09
63854	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 B	2013/10/08	2013/10/09
63800	UBS AG – HSI R Bull CBBC Sep 2014 K	2013/10/08	2013/10/09
63799	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 M	2013/10/08	2013/10/09
63841	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 L	2013/10/08	2013/10/09
63848	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 K	2013/10/08	2013/10/09
63845	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 R	2013/10/08	2013/10/09
63835	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 Z	2013/10/08	2013/10/09
63823	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 I	2013/10/08	2013/10/09
63807	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 W	2013/10/08	2013/10/09
63797	Credit Suisse AG – HSI R Bull CBBC Sep 2014 U	2013/10/08	2013/10/09
63808	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 W	2013/10/08	2013/10/09
63812	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 X	2013/10/08	2013/10/09
63831	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Q	2013/10/08	2013/10/09
63818	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 H	2013/10/08	2013/10/09
63795	Credit Suisse AG – HSI R Bull CBBC Sep 2014 S	2013/10/08	2013/10/09
63801	UBS AG – HSI R Bull CBBC Feb 2014 N	2013/10/08	2013/10/09
63798	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 L	2013/10/08	2013/10/09
63772	Credit Suisse AG – HSI R Bear CBBC Jan 2014 N	2013/10/08	2013/10/09
63763	UBS AG – HSI R Bear CBBC Feb 2014 T	2013/10/08	2013/10/09
63760	Credit Suisse AG – HSI R Bear CBBC Jan 2014 K	2013/10/08	2013/10/09
63751	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 U	2013/10/08	2013/10/09
63905	UBS AG – HSI R Bull CBBC Aug 2014 I	2013/10/09	2013/10/10
63906	Credit Suisse AG – HSI R Bull CBBC Aug 2014 O	2013/10/09	2013/10/10
63888	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 B	2013/10/09	2013/10/10
63886	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 E	2013/10/09	2013/10/10
63877	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 R	2013/10/09	2013/10/10
63873	UBS AG – HSI R Bear CBBC Apr 2014 N	2013/10/09	2013/10/10
63872	UBS AG – HSI R Bear CBBC Feb 2014 R	2013/10/09	2013/10/10
63869	UBS AG – HSI R Bear CBBC Feb 2014 U	2013/10/09	2013/10/10
63863	Credit Suisse AG – HSI R Bear CBBC Jan 2014 P	2013/10/09	2013/10/10
63860	Credit Suisse AG – HSI R Bear CBBC Jan 2014 O	2013/10/09	2013/10/10
63857	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 R	2013/10/09	2013/10/10
63422	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 A	2013/10/10	2013/10/11
63098	UBS AG – HSI R Bull CBBC Mar 2014 U	2013/10/10	2013/10/11
63815	Credit Suisse AG – HSI R Bull CBBC Sep 2014 V	2013/10/10	2013/10/11
63116	Credit Suisse AG – HSI R Bull CBBC Aug 2014 J	2013/10/10	2013/10/11
63919	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 C	2013/10/10	2013/10/11
63155	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 D	2013/10/10	2013/10/11
63700	J P Morgan SP BV – HSI R Bull CBBC Jul 2014 F	2013/10/10	2013/10/11
63973	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 B	2013/10/10	2013/10/11
63182	UBS AG – HSI R Bull CBBC Jun 2014 X	2013/10/10	2013/10/11
63971	Credit Suisse AG – HSI R Bull CBBC Aug 2014 R	2013/10/10	2013/10/11
63856	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 A	2013/10/10	2013/10/11
63969	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Q	2013/10/10	2013/10/11
63983	UBS AG – HSI R Bull CBBC Jun 2014 K	2013/10/10	2013/10/11
63967	UBS AG – HSI R Bear CBBC Feb 2014 K	2013/10/10	2013/10/11
63976	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 A	2013/10/10	2013/10/11
63792	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Q	2013/10/10	2013/10/11
63874	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 N	2013/10/10	2013/10/11
63424	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 M	2013/10/10	2013/10/11
63897	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 C	2013/10/10	2013/10/11
63211	Credit Suisse AG – HSI R Bull CBBC Jul 2014 L	2013/10/10	2013/10/11
63213	UBS AG – HSI R Bull CBBC Feb 2014 A	2013/10/10	2013/10/11
63901	UBS AG – HSI R Bull CBBC Apr 2014 W	2013/10/10	2013/10/11
63940	Credit Suisse AG – HSI R Bear CBBC Jan 2014 D	2013/10/10	2013/10/11
63908	Credit Suisse AG – HSI R Bull CBBC Aug 2014 P	2013/10/10	2013/10/11
63432	Credit Suisse AG – HSI R Bull CBBC Sep 2014 F	2013/10/10	2013/10/11
63917	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 E	2013/10/10	2013/10/11
63968	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 S	2013/10/10	2013/10/11
62914	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 A	2013/10/10	2013/10/11
62729	Standard Chartered Bank – HSI R Bull CBBC Feb 2014 R	2013/10/10	2013/10/11
63676	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb 14F	2013/10/10	2013/10/11
62732	Credit Suisse AG – HSI R Bull CBBC Jul 2014 I	2013/10/10	2013/10/11
63176	Credit Suisse AG – HSI R Bull CBBC Jul 2014 J	2013/10/10	2013/10/11
62735	UBS AG – HSI R Bull CBBC May 2014 W	2013/10/10	2013/10/11
63490	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 I	2013/10/10	2013/10/11
63824	UBS AG – HSI R Bull CBBC Feb 2014 P	2013/10/10	2013/10/11
63986	UBS AG – HSI R Bull CBBC Jun 2014 M	2013/10/10	2013/10/11
63055	HK Bank – HSI R Bull CBBC Mar 2014 G	2013/10/10	2013/10/11
62955	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Z	2013/10/10	2013/10/11
63535	UBS AG – HSI R Bull CBBC Sep 2014 I	2013/10/10	2013/10/11
63786	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 P	2013/10/11	2013/10/15
63918	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 M	2013/10/11	2013/10/15
63694	UBS AG – HSI R Bear CBBC Jan 2014 W	2013/10/11	2013/10/15
63778	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 V	2013/10/11	2013/10/15
63690	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 C	2013/10/11	2013/10/15
63946	Credit Suisse AG – HSI R Bear CBBC Jan 2014 U	2013/10/11	2013/10/15

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
63686	Credit Suisse AG – HSI R Bear CBBC Jan 2014 G	2013/10/11	2013/10/15
69200	UBS AG – AIA R Bear CBBC Feb 2014 A	2013/10/11	2013/10/15
64048	UBS AG – HSI R Bear CBBC Jan 2014 O	2013/10/11	2013/10/15
63784	Credit Suisse AG – HSI R Bear CBBC Jan 2014 C	2013/10/11	2013/10/15
61828	HK Bank – Sands China R Bear CBBC Apr 2014 B	2013/10/11	2013/10/15
63859	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 S	2013/10/11	2013/10/15
63990	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 C	2013/10/11	2013/10/15
63825	UBS AG – HSI R Bear CBBC Mar 2014 U	2013/10/11	2013/10/15
65428	HK Bank – CC Bank R Bear CBBC Oct 2013 A	2013/10/11	2013/10/16
63887	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 X	2013/10/11	2013/10/15
64038	Credit Suisse AG – HSI R Bear CBBC Feb 2014 R	2013/10/11	2013/10/15
63964	UBS AG – HSI R Bear CBBC Mar 2014 W	2013/10/11	2013/10/15
63722	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 J	2013/10/11	2013/10/15
63724	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 M	2013/10/11	2013/10/15
63865	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Q	2013/10/11	2013/10/15
69181	HK Bank – TCH R Bull CBBC Oct 2013 C	2013/10/11	2013/10/16
67554	HK Bank – Sinopec Corp R Bear CBBC Oct 2013 A	2013/10/11	2013/10/16
64032	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 U	2013/10/11	2013/10/15
64110	Credit Suisse AG – HSI R Bear CBBC Jan 2014 V	2013/10/15	2013/10/16
64083	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 T	2013/10/15	2013/10/16
63924	HK Bank – HSCEI R Bear CBBC May 2014 C	2013/10/15	2013/10/16
64112	UBS AG – HSI R Bear CBBC Mar 2014 X	2013/10/15	2013/10/16
64117	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 C	2013/10/15	2013/10/16
63447	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 A	2013/10/15	2013/10/16
63646	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 I	2013/10/15	2013/10/16
63680	Credit Suisse AG – HSI R Bear CBBC Jan 2014 F	2013/10/15	2013/10/16
63691	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 D	2013/10/15	2013/10/16
63643	UBS AG – HSI R Bear CBBC Dec 2013 K	2013/10/15	2013/10/16
63762	Credit Suisse AG – HSI R Bear CBBC Jan 2014 L	2013/10/15	2013/10/16
63619	Credit Suisse AG – HSI R Bear CBBC Dec 2013 X	2013/10/15	2013/10/16
63626	UBS AG – HSI R Bear CBBC Dec 2013 H	2013/10/15	2013/10/16
63445	Credit Suisse AG – HSI R Bear CBBC Dec 2013 O	2013/10/15	2013/10/16
63457	UBS AG – HSI R Bear CBBC Feb 2014 B	2013/10/15	2013/10/16
63665	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 K	2013/10/15	2013/10/16
63450	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 O	2013/10/15	2013/10/16
63846	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 S	2013/10/15	2013/10/16
64150	Credit Suisse AG – HSI R Bear CBBC Feb 2014 T	2013/10/16	2013/10/17
64019	UBS AG – HSI R Bull CBBC Aug 2014 J	2013/10/16	2013/10/17
64022	Credit Suisse AG – HSI R Bull CBBC Jul 2014 N	2013/10/16	2013/10/17
64148	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 U	2013/10/16	2013/10/17
64154	UBS AG – HSI R Bear CBBC Feb 2014 Y	2013/10/16	2013/10/17
64147	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 N	2013/10/16	2013/10/17
64075	UBS AG – HSI R Bull CBBC Jul 2014 S	2013/10/16	2013/10/17
64076	Credit Suisse AG – HSI R Bull CBBC Sep 2014 X	2013/10/16	2013/10/17
64149	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 W	2013/10/16	2013/10/17
64156	UBS AG – HSI R Bear CBBC Jan 2014 Z	2013/10/16	2013/10/17
64191	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 D	2013/10/16	2013/10/17
64167	UBS AG – HSI R Bull CBBC Jun 2014 N	2013/10/16	2013/10/17
64190	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 P	2013/10/16	2013/10/17
64180	UBS AG – HSI R Bull CBBC Jul 2014 T	2013/10/16	2013/10/17
64181	Credit Suisse AG – HSI R Bull CBBC Jul 2014 P	2013/10/16	2013/10/17
64152	Credit Suisse AG – HSI R Bear CBBC Feb 2014 V	2013/10/16	2013/10/17
64151	Credit Suisse AG – HSI R Bear CBBC Feb 2014 U	2013/10/16	2013/10/17
64187	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 C	2013/10/16	2013/10/17
64226	UBS AG – HSI R Bear CBBC Feb 2014 E	2013/10/17	2013/10/18
64229	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 E	2013/10/17	2013/10/18
64228	Credit Suisse AG – HSI R Bear CBBC Mar 2014 G	2013/10/17	2013/10/18
64201	UBS AG – HSI R Bull CBBC Aug 2014 K	2013/10/17	2013/10/18
64227	Credit Suisse AG – HSI R Bear CBBC Mar 2014 F	2013/10/17	2013/10/18
64225	UBS AG – HSI R Bear CBBC Mar 2014 Y	2013/10/17	2013/10/18
64207	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 L	2013/10/17	2013/10/18
64214	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 M	2013/10/17	2013/10/18
64202	UBS AG – HSI R Bull CBBC Oct 2014 B	2013/10/17	2013/10/18
67677	HK Bank – Li & Fung R Bull CBBC Oct 2013 A	2013/10/17	2013/10/21
64052	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 D	2013/10/17	2013/10/18
64051	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 C	2013/10/17	2013/10/18
64197	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Z	2013/10/17	2013/10/18
63377	HK Bank – Sands China R Bear CBBC May 2014 A	2013/10/17	2013/10/18
64016	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 T	2013/10/17	2013/10/18
64232	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Q	2013/10/17	2013/10/18
68056	HK Bank – Evergrande R Bull CBBC Oct 2013 A	2013/10/17	2013/10/21
64028	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 O	2013/10/17	2013/10/18
67364	HK Bank – COVS R Bear CBBC Oct 2013 A	2013/10/17	2013/10/21
64305	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 M	2013/10/18	2013/10/21
69246	HK Bank – AIA R Bear CBBC Nov 2013 B	2013/10/18	2013/10/21
69273	UBS AG – AIA R Bear CBBC Mar 2014 A	2013/10/18	2013/10/21
64071	HK Bank – Minsheng Bank R Bull CBBC Oct 2013 A	2013/10/18	2013/10/22
63066	UBS AG – CNBM R Bear CBBC Oct 2013 A	2013/10/18	2013/10/22
63331	UBS AG – Galaxy Ent R Bear CBBC Mar 2014 B	2013/10/18	2013/10/21
67041	HK Bank – TCH R Bear CBBC Mar 2014 C	2013/10/18	2013/10/21
64306	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 D	2013/10/18	2013/10/21
64285	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 W	2013/10/18	2013/10/21
64293	UBS AG – HSI R Bear CBBC Jan 2014 Y	2013/10/18	2013/10/21
64292	UBS AG – HSI R Bear CBBC Feb 2014 A	2013/10/18	2013/10/21
60675	UBS AG – Li & Fung R Bull CBBC Oct 2013 B	2013/10/18	2013/10/22
64290	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 N	2013/10/18	2013/10/21
64288	Credit Suisse AG – HSI R Bear CBBC Jan 2014 R	2013/10/18	2013/10/21

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66409	UBS AG – Cheung Kong R Bull CBBC Oct 2013 C	2013/10/18	2013/10/22
64287	Credit Suisse AG – HSI R Bear CBBC Jan 2014 W	2013/10/18	2013/10/21
67205	HK Bank – A50 R Bear CBBC Oct 2013 B	2013/10/18	2013/10/22
64277	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 X	2013/10/18	2013/10/21
62643	UBS AG – TCH R Bear CBBC Feb 2014 C	2013/10/18	2013/10/21
63929	HK Bank – AIA R Bear CBBC Jun 2014 A	2013/10/18	2013/10/21
60419	UBS AG – A50 China R Bear CBBC Oct 2013 A	2013/10/18	2013/10/22
64103	UBS AG – Sands China R Bear CBBC May 2014 A	2013/10/18	2013/10/21
64307	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 E	2013/10/18	2013/10/21
64010	HK Bank – Sands China R Bear CBBC Jul 2014 A	2013/10/18	2013/10/21
64317	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 Y	2013/10/21	2013/10/22
63446	Credit Suisse AG – HSI R Bear CBBC Dec 2013 S	2013/10/21	2013/10/22
64034	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 V	2013/10/21	2013/10/22
64031	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 T	2013/10/21	2013/10/22
63920	HK Bank – HSI R Bear CBBC Apr 2014 D	2013/10/21	2013/10/22
63376	HK Bank – Galaxy Ent R Bear CBBC Sep 2014 A	2013/10/21	2013/10/22
64297	UBS AG – HSI R Bear CBBC Apr 2014 O	2013/10/21	2013/10/22
63936	HK Bank – Galaxy Ent R Bear CBBC Oct 2014 A	2013/10/21	2013/10/22
63448	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 B	2013/10/21	2013/10/22
63977	UBS AG – Galaxy Ent R Bear CBBC May 2014 A	2013/10/21	2013/10/22
64316	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 X	2013/10/21	2013/10/22
64319	Credit Suisse AG – HSI R Bear CBBC Jan 2014 S	2013/10/21	2013/10/22
64320	Credit Suisse AG – HSI R Bear CBBC Jan 2014 X	2013/10/21	2013/10/22
64321	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Y	2013/10/21	2013/10/22
64323	Credit Suisse AG – HSI R Bear CBBC Jan 2014 A	2013/10/21	2013/10/22
64324	UBS AG – HSI R Bear CBBC Jan 2014 N	2013/10/21	2013/10/22
64329	UBS AG – HSI R Bear CBBC Jan 2014 D	2013/10/21	2013/10/22
64330	UBS AG – HSI R Bear CBBC Feb 2014 J	2013/10/21	2013/10/22
64331	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 F	2013/10/21	2013/10/22
64341	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 O	2013/10/21	2013/10/22
64006	HK Bank – Hutchison R Bull CBBC Jun 2014 B	2013/10/21	2013/10/22
63418	Credit Suisse AG – HSI R Bear CBBC Dec 2013 A	2013/10/21	2013/10/22
64318	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 Z	2013/10/21	2013/10/22
64041	Credit Suisse AG – HSI R Bear CBBC Feb 2014 S	2013/10/21	2013/10/22
63756	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 K	2013/10/21	2013/10/22
66917	UBS AG – TCH R Bear CBBC Apr 2014 A	2013/10/21	2013/10/22
63703	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 K	2013/10/21	2013/10/22
63692	UBS AG – HSI R Bear CBBC Mar 2014 R	2013/10/21	2013/10/22
64143	HK Bank – HSI R Bear CBBC Apr 2014 E	2013/10/21	2013/10/22
63773	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 A	2013/10/21	2013/10/22
63657	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 A	2013/10/21	2013/10/22
63651	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 B	2013/10/21	2013/10/22
63455	UBS AG – HSI R Bear CBBC Dec 2013 S	2013/10/21	2013/10/22
63451	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 P	2013/10/21	2013/10/22
63453	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 G	2013/10/21	2013/10/22
62921	UBS AG – TCH R Bear CBBC Mar 2014 B	2013/10/21	2013/10/22
63837	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 A	2013/10/21	2013/10/22
63548	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 J	2013/10/21	2013/10/22
67540	HK Bank – TCH R Bear CBBC Apr 2014 B	2013/10/21	2013/10/22
63617	Credit Suisse AG – HSI R Bear CBBC Dec 2013 K	2013/10/21	2013/10/22
67531	HK Bank – TCH R Bear CBBC Apr 2014 A	2013/10/21	2013/10/22
64395	Credit Suisse AG – HSI R Bull CBBC Jul 2014 S	2013/10/22	2013/10/23
64362	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 Z	2013/10/22	2013/10/23
64369	UBS AG – HSI R Bear CBBC Feb 2014 M	2013/10/22	2013/10/23
64370	UBS AG – HSI R Bear CBBC Mar 2014 Z	2013/10/22	2013/10/23
64385	Credit Suisse AG – HSI R Bear CBBC Jan 2014 B	2013/10/22	2013/10/23
64388	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 A	2013/10/22	2013/10/23
64386	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Z	2013/10/22	2013/10/23
64451	UBS AG – HSI R Bull CBBC Aug 2014 M	2013/10/22	2013/10/23
64453	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 I	2013/10/22	2013/10/23
62615	UBS AG – China Mobile R Bull CBBC Jun 2014 C	2013/10/22	2013/10/23
64392	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Z	2013/10/22	2013/10/23
64452	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 G	2013/10/22	2013/10/23
64391	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 V	2013/10/22	2013/10/23
66773	HK Bank – China Mobile R Bull CBBC Feb 2014 C	2013/10/22	2013/10/23
64467	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 Q	2013/10/22	2013/10/23
64390	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 U	2013/10/22	2013/10/23
64456	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 U	2013/10/23	2013/10/24
64458	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 F	2013/10/23	2013/10/24
64461	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 G	2013/10/23	2013/10/24
64465	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 P	2013/10/23	2013/10/24
64455	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 J	2013/10/23	2013/10/24
64496	Credit Suisse AG – HSI R Bear CBBC Jan 2014 J	2013/10/23	2013/10/24
63170	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 E	2013/10/23	2013/10/24
63121	UBS AG – A50 China R Bull CBBC Mar 2014 E	2013/10/23	2013/10/24
64516	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 H	2013/10/23	2013/10/24
64198	Credit Suisse AG – HSI R Bull CBBC Sep 2014 A	2013/10/23	2013/10/24
64511	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 V	2013/10/23	2013/10/24
66561	HK Bank – China Mobile R Bull CBBC Dec 2013 E	2013/10/23	2013/10/24
64509	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 E	2013/10/23	2013/10/24
64497	UBS AG – HSI R Bear CBBC Jan 2014 M	2013/10/23	2013/10/24
64505	UBS AG – HSI R Bear CBBC Feb 2014 D	2013/10/23	2013/10/24
63378	HK Bank – CC Bank R Bull CBBC Jul 2014 A	2013/10/23	2013/10/24
64495	Credit Suisse AG – HSI R Bear CBBC Jan 2014 I	2013/10/23	2013/10/24
64494	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 P	2013/10/23	2013/10/24
64492	UBS AG – HSI R Bull CBBC Sep 2014 M	2013/10/23	2013/10/24
64491	UBS AG – HSI R Bull CBBC Aug 2014 N	2013/10/23	2013/10/24

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64471	HK Bank – HSI R Bull CBBC Apr 2014 D	2013/10/23	2013/10/24
64470	Credit Suisse AG – HSI R Bull CBBC May 2014 I	2013/10/23	2013/10/24
64468	Credit Suisse AG – HSI R Bull CBBC May 2014 H	2013/10/23	2013/10/24
64506	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 D	2013/10/23	2013/10/24
63379	HK Bank – ICBC R Bull CBBC Jul 2014 B	2013/10/23	2013/10/24
64260	UBS AG – HSI R Bull CBBC Jun 2014 P	2013/10/23	2013/10/24
64035	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 F	2013/10/23	2013/10/24
64247	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 E	2013/10/23	2013/10/24
64238	Credit Suisse AG – HSI R Bull CBBC Aug 2014 S	2013/10/23	2013/10/24
64096	UBS AG – HSCEI R Bear CBBC Feb 2014 C	2013/10/23	2013/10/24
64220	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 U	2013/10/23	2013/10/24
64179	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 P	2013/10/23	2013/10/24
64203	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 E	2013/10/23	2013/10/24
64017	UBS AG – HSI R Bull CBBC Jan 2014 G	2013/10/23	2013/10/24
64335	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 S	2013/10/23	2013/10/24
64339	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 F	2013/10/23	2013/10/24
64340	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 X	2013/10/23	2013/10/24
64342	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 G	2013/10/23	2013/10/24
64186	Credit Suisse AG – HSI R Bull CBBC Jul 2014 Q	2013/10/23	2013/10/24
64389	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 T	2013/10/23	2013/10/24
64361	J P Morgan SP BV – HSI R Bull CBBC Aug 2014 G	2013/10/23	2013/10/24
64363	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 M	2013/10/23	2013/10/24
64353	Credit Suisse AG – HSI R Bull CBBC Aug 2014 X	2013/10/23	2013/10/24
64367	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 V	2013/10/23	2013/10/24
64164	UBS AG – HSI R Bull CBBC Sep 2014 L	2013/10/23	2013/10/24
64357	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Y	2013/10/23	2013/10/24
64356	UBS AG – HSI R Bull CBBC Jul 2014 X	2013/10/23	2013/10/24
64387	Credit Suisse AG – HSI R Bear CBBC Jan 2014 H	2013/10/23	2013/10/24
64394	Credit Suisse AG – HSI R Bull CBBC Jul 2014 R	2013/10/23	2013/10/24
63329	UBS AG – ICBC R Bull CBBC Jul 2014 B	2013/10/24	2013/10/25
64240	Credit Suisse AG – HSI R Bull CBBC Aug 2014 U	2013/10/24	2013/10/25
63615	UBS AG – CC Bank R Bull CBBC Jul 2014 B	2013/10/24	2013/10/25
64354	Credit Suisse AG – Hutchison R Bull CBBC Oct 2013 B	2013/10/24	2013/10/28
64239	Credit Suisse AG – HSI R Bull CBBC Aug 2014 T	2013/10/24	2013/10/25
64248	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 Q	2013/10/24	2013/10/25
64077	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Y	2013/10/24	2013/10/25
64036	Credit Suisse AG – HSI R Bull CBBC Jul 2014 O	2013/10/24	2013/10/25
64236	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 W	2013/10/24	2013/10/25
64399	UBS AG – HSI R Bull CBBC Aug 2014 L	2013/10/24	2013/10/25
64398	UBS AG – HSI R Bull CBBC Dec 2014 D	2013/10/24	2013/10/25
64235	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 V	2013/10/24	2013/10/25
64843	UBS AG – China Mobile R Bull CBBC Apr 2014 A	2013/10/24	2013/10/25
64243	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 C	2013/10/24	2013/10/25
62801	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2014 A	2013/10/24	2013/10/25
62639	UBS AG – China Life R Bull CBBC Jul 2014 D	2013/10/24	2013/10/25
64518	HK Bank – China Mobile R Bull CBBC Jul 2014 A	2013/10/24	2013/10/25
64360	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 E	2013/10/24	2013/10/25
64510	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 B	2013/10/24	2013/10/25
64343	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 H	2013/10/24	2013/10/25
64344	UBS AG – HSI R Bull CBBC Jun 2014 Y	2013/10/24	2013/10/25
64345	UBS AG – HSI R Bull CBBC Jul 2014 W	2013/10/24	2013/10/25
64023	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 B	2013/10/24	2013/10/25
64359	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2013/10/24	2013/10/25
64303	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 B	2013/10/24	2013/10/25
64314	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 R	2013/10/24	2013/10/25
64352	Credit Suisse AG – HSI R Bull CBBC Aug 2014 W	2013/10/24	2013/10/25
64527	Credit Suisse AG – HSI R Bull CBBC Jul 2014 T	2013/10/24	2013/10/25
64529	Credit Suisse AG – HSI R Bull CBBC Jul 2014 U	2013/10/24	2013/10/25
64188	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 D	2013/10/24	2013/10/25
64533	UBS AG – HSI R Bull CBBC Sep 2014 N	2013/10/24	2013/10/25
64257	UBS AG – HSI R Bull CBBC Jul 2014 U	2013/10/24	2013/10/25
64534	UBS AG – HSI R Bull CBBC Jun 2014 C	2013/10/24	2013/10/25
64464	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 H	2013/10/24	2013/10/25
64308	HK Bank – HSI R Bull CBBC May 2014 B	2013/10/24	2013/10/25
64241	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 D	2013/10/25	2013/10/28
69550	UBS AG – A50 China R Bear CBBC Oct 2013 B	2013/10/25	2013/10/29
62958	Credit Suisse AG – HSI R Bull CBBC May 2014 X	2013/10/25	2013/10/28
63059	HK Bank – China Life R Bull CBBC Apr 2014 A	2013/10/25	2013/10/28
62985	J P Morgan SP BV – HSI R Bull CBBC May 2014 T	2013/10/25	2013/10/28
65456	HK Bank – SHK Ppt R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
65487	HK Bank – Galaxy Ent R Bull CBBC Oct 2013 B	2013/10/25	2013/10/29
62979	UBS AG – HSI R Bull CBBC Jul 2014 M	2013/10/25	2013/10/28
66665	HK Bank – ABC R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
62705	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 R	2013/10/25	2013/10/28
62709	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 Q	2013/10/25	2013/10/28
69072	HK Bank – TCH R Bull CBBC Oct 2013 B	2013/10/25	2013/10/29
62717	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 R	2013/10/25	2013/10/28
63744	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 J	2013/10/25	2013/10/28
62645	Credit Suisse AG – HSI R Bull CBBC Aug 2014 G	2013/10/25	2013/10/28
62724	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 Y	2013/10/25	2013/10/28
63556	HK Bank – AIA R Bull CBBC Oct 2013 A	2013/10/25	2013/10/29
60669	UBS AG – China Tel R Bull CBBC Oct 2013 A	2013/10/25	2013/10/29
69161	UBS AG – Minsheng Bank R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
65581	HK Bank – Cheung Kong R Bull CBBC Oct 2013 B	2013/10/25	2013/10/29
62734	UBS AG – HSI R Bull CBBC Mar 2014 F	2013/10/25	2013/10/28
68663	HK Bank – CNOOC R Bull CBBC Oct 2013 B	2013/10/25	2013/10/29
62789	HK Bank – HSCEI R Bull CBBC Feb 2014 C	2013/10/25	2013/10/28

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62793	HK Bank – A50 R Bull CBBC Apr 2014 B	2013/10/25	2013/10/28
61011	HK Bank – China Shenhua R Bear CBBC Oct 2013 C	2013/10/25	2013/10/29
65687	HK Bank – ICBC R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
62934	UBS AG – HSCEI R Bull CBBC Jun 2014 G	2013/10/25	2013/10/28
62635	UBS AG – HSI R Bull CBBC Apr 2014 P	2013/10/25	2013/10/28
64588	UBS AG – HSI R Bull CBBC Sep 2014 O	2013/10/25	2013/10/28
67327	HK Bank – HKEx R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
64555	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 W	2013/10/25	2013/10/28
63317	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 E	2013/10/25	2013/10/28
64561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 H	2013/10/25	2013/10/28
64566	UBS AG – Minsheng Bank R Bull CBBC Jul 2014 A	2013/10/25	2013/10/28
63283	Standard Chartered Bank – HSI R Bull CBBC Mar 2014 A	2013/10/25	2013/10/28
64606	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 K	2013/10/25	2013/10/28
60756	HK Bank – A50 R Bear CBBC Oct 2013 D	2013/10/25	2013/10/29
64513	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 H	2013/10/25	2013/10/28
65198	HK Bank – Sands China R Bull CBBC Oct 2013 A	2013/10/25	2013/10/29
64592	UBS AG – HSI R Bull CBBC Sep 2014 P	2013/10/25	2013/10/28
64594	UBS AG – HSI R Bull CBBC Jun 2014 W	2013/10/25	2013/10/28
64598	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 C	2013/10/25	2013/10/28
64609	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 F	2013/10/25	2013/10/28
64608	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 J	2013/10/25	2013/10/28
64582	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Z	2013/10/25	2013/10/28
63187	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 K	2013/10/25	2013/10/28
64365	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Y	2013/10/25	2013/10/28
67546	HK Bank – CNOOC R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
64249	UBS AG – HSI R Bull CBBC Jun 2014 O	2013/10/25	2013/10/28
63138	Credit Suisse AG – HSI R Bull CBBC Aug 2014 K	2013/10/25	2013/10/28
67812	HK Bank – China Shenhua R Bear CBBC Oct 2013 B	2013/10/25	2013/10/29
64477	HK Bank – Anhui Conch R Bull CBBC Dec 2014 A	2013/10/25	2013/10/28
64553	HK Bank – CNBM R Bull CBBC Jun 2014 B	2013/10/25	2013/10/28
64348	Credit Suisse AG – HSI R Bull CBBC Aug 2014 V	2013/10/25	2013/10/28
67613	HK Bank – China Tel R Bull CBBC Oct 2013 A	2013/10/25	2013/10/29
63189	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 J	2013/10/25	2013/10/28
64532	UBS AG – HSI R Bull CBBC Jul 2014 Y	2013/10/25	2013/10/28
60016	HK Bank – Ping An R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
69552	UBS AG – CSOP A50 ETF R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
64301	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 A	2013/10/25	2013/10/28
65477	HK Bank – China Life R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
67763	HK Bank – CSOP A50 ETF R Bear CBBC Oct 2013 A	2013/10/25	2013/10/29
64635	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 X	2013/10/28	2013/10/29
64685	UBS AG – HSI R Bull CBBC Oct 2014 C	2013/10/28	2013/10/29
64648	HK Bank – CNBM R Bear CBBC Oct 2013 A	2013/10/28	2013/10/30
64689	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 D	2013/10/28	2013/10/29
64686	UBS AG – HSI R Bull CBBC Sep 2014 Q	2013/10/28	2013/10/29
64683	UBS AG – Galaxy Ent R Bull CBBC Jul 2014 B	2013/10/28	2013/10/29
64667	Credit Suisse AG – HSI R Bull CBBC Aug 2014 M	2013/10/28	2013/10/29
64666	Credit Suisse AG – HSI R Bull CBBC Aug 2014 D	2013/10/28	2013/10/29
64634	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 L	2013/10/28	2013/10/29
64624	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Jun 2014 B	2013/10/28	2013/10/29
64620	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 G	2013/10/28	2013/10/29
64688	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 K	2013/10/28	2013/10/29
64836	HK Bank – HSI R Bull CBBC Oct 2013 A	2013/10/29	2013/10/31
65356	HK Bank – HSI R Bear CBBC Oct 2013 B	2013/10/29	2013/10/31
63880	UBS AG – HSI R Bear CBBC Oct 2013 B	2013/10/29	2013/10/31
66328	UBS AG – HSI R Bear CBBC Oct 2013 D	2013/10/29	2013/10/31
65453	HK Bank – HSCEI R Bear CBBC Oct 2013 A	2013/10/29	2013/10/31
64483	UBS AG – HSI R Bear CBBC Oct 2013 C	2013/10/29	2013/10/31
66838	HK Bank – HSI R Bear CBBC Oct 2013 D	2013/10/29	2013/10/31
67201	HK Bank – HSI R Bear CBBC Oct 2013 F	2013/10/29	2013/10/31
67025	HK Bank – HSI R Bear CBBC Oct 2013 E	2013/10/29	2013/10/31
61966	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 Z	2013/10/29	2013/10/31
64712	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 M	2013/10/29	2013/10/30
61919	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 K	2013/10/29	2013/10/31
61922	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 M	2013/10/29	2013/10/31
61923	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 N	2013/10/29	2013/10/31
64717	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 H	2013/10/29	2013/10/30
67636	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Oct13C	2013/10/29	2013/10/31
67634	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBC Oct13B	2013/10/29	2013/10/31
67630	SGA Societe Generale Acceptance N.V. -A50 R Bear CBBCOct13 A	2013/10/29	2013/10/31
61861	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 R	2013/10/29	2013/10/31
61957	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 X	2013/10/29	2013/10/31
61852	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 U	2013/10/29	2013/10/31
61967	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 A	2013/10/29	2013/10/31
61970	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 B	2013/10/29	2013/10/31
61975	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 S	2013/10/29	2013/10/31
64715	UBS AG – HSI R Bull CBBC Aug 2014 O	2013/10/29	2013/10/30
64714	Credit Suisse AG – HSI R Bull CBBC Jul 2014 W	2013/10/29	2013/10/30
62061	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 C	2013/10/29	2013/10/31
62078	Credit Suisse AG – HSCEI R Bull CBBC Oct 2013 D	2013/10/29	2013/10/31
62079	Credit Suisse AG – HSCEI R Bull CBBC Oct 2013 E	2013/10/29	2013/10/31
64713	Credit Suisse AG – HSI R Bull CBBC Jul 2014 V	2013/10/29	2013/10/30
61956	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 W	2013/10/29	2013/10/31
69347	UBS AG – HSI R Bear CBBC Oct 2013 S	2013/10/29	2013/10/31
69798	UBS AG – HSCEI R Bear CBBC Oct 2013 A	2013/10/29	2013/10/31
62685	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 F	2013/10/29	2013/10/31
69587	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 E	2013/10/29	2013/10/31
61453	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 L	2013/10/29	2013/10/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61454	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 M	2013/10/29	2013/10/31
69474	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 D	2013/10/29	2013/10/31
69469	SGA Societe Generale Acceptance N.V-CSA50 R Bear CBBC Oct13B	2013/10/29	2013/10/31
69468	SGA Societe Generale Acceptance N.V-CSA50 R Bear CBBC Oct13A	2013/10/29	2013/10/31
64726	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 G	2013/10/29	2013/10/30
69397	J P Morgan SP BV – HSI R Bear CBBC Oct 2013 B	2013/10/29	2013/10/31
61955	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 V	2013/10/29	2013/10/31
69250	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 B	2013/10/29	2013/10/31
69249	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 A	2013/10/29	2013/10/31
61664	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 O	2013/10/29	2013/10/31
61666	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 P	2013/10/29	2013/10/31
61668	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 Q	2013/10/29	2013/10/31
61689	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 R	2013/10/29	2013/10/31
69013	Credit Suisse AG – HSI R Bear CBBC Oct 2013 F	2013/10/29	2013/10/31
61824	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 S	2013/10/29	2013/10/31
61825	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 T	2013/10/29	2013/10/31
61534	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 J	2013/10/29	2013/10/31
62893	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 K	2013/10/29	2013/10/31
63044	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 M	2013/10/29	2013/10/31
62266	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13H	2013/10/29	2013/10/31
62268	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13I	2013/10/29	2013/10/31
64145	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 C	2013/10/29	2013/10/31
62308	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 V	2013/10/29	2013/10/31
64030	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 B	2013/10/29	2013/10/31
63966	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 Y	2013/10/29	2013/10/31
62874	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 J	2013/10/29	2013/10/31
62830	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 A	2013/10/29	2013/10/31
62949	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 L	2013/10/29	2013/10/31
62950	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 H	2013/10/29	2013/10/31
63043	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 I	2013/10/29	2013/10/31
61924	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2013 O	2013/10/29	2013/10/31
62287	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct13 N	2013/10/29	2013/10/31
63862	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 O	2013/10/29	2013/10/31
64705	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14Y	2013/10/29	2013/10/30
63426	HK Bank – HKEx R Bull CBBC May 2014 A	2013/10/29	2013/10/30
62151	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13E	2013/10/29	2013/10/31
64704	HK Bank – Sands China R Bull CBBC Sep 2014 A	2013/10/29	2013/10/30
64702	HK Bank – Galaxy Ent R Bull CBBC Aug 2014 B	2013/10/29	2013/10/30
64692	HK Bank – TCH R Bull CBBC Jul 2014 B	2013/10/29	2013/10/30
64690	HK Bank – TCH R Bull CBBC Jun 2014 E	2013/10/29	2013/10/30
65474	HK Bank – HSI R Bear CBBC Oct 2013 H	2013/10/29	2013/10/31
64681	UBS AG – TCH R Bull CBBC Jul 2014 D	2013/10/29	2013/10/30
62146	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 T	2013/10/29	2013/10/31
62150	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13D	2013/10/29	2013/10/31
62152	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13F	2013/10/29	2013/10/31
62153	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Oct 13G	2013/10/29	2013/10/31
64614	HK Bank – Galaxy Ent R Bull CBBC Sep 2014 A	2013/10/29	2013/10/30
60968	HK Bank – HSCEI R Bear CBBC Oct 2013 B	2013/10/29	2013/10/31
62744	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 G	2013/10/29	2013/10/31
62798	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 X	2013/10/29	2013/10/31
69473	Standard Chartered Bank – HSI R Bear CBBC Oct 2013 C	2013/10/29	2013/10/31
62147	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Oct 2013 U	2013/10/29	2013/10/31
64779	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Z	2013/10/30	2013/10/31
64765	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 F	2013/10/30	2013/10/31
64766	Credit Suisse AG – HSI R Bear CBBC Jan 2014 L	2013/10/30	2013/10/31
64767	Credit Suisse AG – HSI R Bear CBBC Jan 2014 V	2013/10/30	2013/10/31
64768	Credit Suisse AG – HSI R Bear CBBC Jan 2014 R	2013/10/30	2013/10/31
64769	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 D	2013/10/30	2013/10/31
64774	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 E	2013/10/30	2013/10/31
64775	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 W	2013/10/30	2013/10/31
64778	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 P	2013/10/30	2013/10/31
64759	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 F	2013/10/30	2013/10/31
64780	UBS AG – HSI R Bear CBBC Feb 2014 S	2013/10/30	2013/10/31
64782	UBS AG – HSI R Bear CBBC Jan 2014 L	2013/10/30	2013/10/31
64783	Standard Chartered Bank – HSI R Bear CBBC Aug 2014 B	2013/10/30	2013/10/31
64784	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 A	2013/10/30	2013/10/31
64777	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 A	2013/10/30	2013/10/31
64727	HK Bank – HSI R Bull CBBC Jun 2014 D	2013/10/30	2013/10/31
64548	Credit Suisse AG – HSI R Bear CBBC Jan 2014 P	2013/10/30	2013/10/31
64546	UBS AG – HSI R Bear CBBC Feb 2014 F	2013/10/30	2013/10/31
64545	Credit Suisse AG – HSI R Bear CBBC Jan 2014 O	2013/10/30	2013/10/31
64542	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 N	2013/10/30	2013/10/31
64719	Credit Suisse AG – HSI R Bear CBBC Jan 2014 Q	2013/10/30	2013/10/31
64720	UBS AG – HSI R Bear CBBC Apr 2014 P	2013/10/30	2013/10/31
64540	UBS AG – HSI R Bear CBBC Mar 2014 C	2013/10/30	2013/10/31
64524	Credit Suisse AG – HSI R Bear CBBC Jan 2014 K	2013/10/30	2013/10/31
64537	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 B	2013/10/30	2013/10/31
64764	UBS AG – HSI R Bull CBBC Oct 2014 D	2013/10/30	2013/10/31
64536	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 A	2013/10/30	2013/10/31
64760	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 B	2013/10/30	2013/10/31
64763	UBS AG – HSI R Bull CBBC Aug 2014 P	2013/10/30	2013/10/31
64762	Credit Suisse AG – HSI R Bull CBBC Aug 2014 L	2013/10/30	2013/10/31
64538	UBS AG – HSI R Bear CBBC Jan 2014 P	2013/10/30	2013/10/31
64761	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 G	2013/10/30	2013/10/31
64535	Credit Suisse AG – HSI R Bear CBBC Jan 2014 N	2013/10/30	2013/10/31
64758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 U	2013/10/30	2013/10/31
64751	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 N	2013/10/30	2013/10/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64749	Credit Suisse AG – HSI R Bull CBBC Aug 2014 I	2013/10/30	2013/10/31
64748	Credit Suisse AG – HSI R Bull CBBC Aug 2014 H	2013/10/30	2013/10/31
64747	Credit Suisse AG – HSI R Bull CBBC Aug 2014 N	2013/10/30	2013/10/31
64746	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 Z	2013/10/30	2013/10/31
64737	UBS AG – HSI R Bull CBBC Jun 2014 Z	2013/10/30	2013/10/31
64812	Credit Suisse AG – HSI R Bear CBBC Feb 2014 X	2013/10/31	2013/11/01
64802	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 14G	2013/10/31	2013/11/01
64803	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 C	2013/10/31	2013/11/01
64807	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 D	2013/10/31	2013/11/01
64813	Credit Suisse AG – HSI R Bear CBBC Feb 2014 J	2013/10/31	2013/11/01
64816	UBS AG – HSI R Bear CBBC Feb 2014 T	2013/10/31	2013/11/01
64829	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 R	2013/10/31	2013/11/01
64819	UBS AG – HSI R Bear CBBC Feb 2014 R	2013/10/31	2013/11/01
64820	UBS AG – HSI R Bear CBBC Feb 2014 U	2013/10/31	2013/11/01
64821	UBS AG – HSI R Bear CBBC Feb 2014 K	2013/10/31	2013/11/01
64826	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 H	2013/10/31	2013/11/01
64830	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 S	2013/10/31	2013/11/01
64815	Credit Suisse AG – HSI R Bear CBBC Feb 2014 B	2013/10/31	2013/11/01
64873	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 H	2013/11/01	2013/11/04
64833	HK Bank – HSI R Bear CBBC Apr 2014 F	2013/11/01	2013/11/04
64923	UBS AG – HSI R Bear CBBC Mar 2014 G	2013/11/01	2013/11/04
64922	UBS AG – HSI R Bear CBBC Mar 2014 E	2013/11/01	2013/11/04
64921	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 H	2013/11/01	2013/11/04
64917	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 G	2013/11/01	2013/11/04
64927	UBS AG – HSI R Bear CBBC Feb 2014 B	2013/11/01	2013/11/04
64867	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 14 C	2013/11/01	2013/11/04
64880	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 I	2013/11/01	2013/11/04
64897	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Y	2013/11/01	2013/11/04
64916	Credit Suisse AG – HSI R Bear CBBC Feb 2014 A	2013/11/01	2013/11/04
64892	Standard Chartered Bank – HSI R Bear CBBC May 2014 A	2013/11/01	2013/11/04
64811	Credit Suisse AG – HSI R Bear CBBC Feb 2014 W	2013/11/01	2013/11/04
64933	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 U	2013/11/01	2013/11/04
64934	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 V	2013/11/01	2013/11/04
66569	HK Bank – China Mobile R Bull CBBC Nov 2013 B	2013/11/01	2013/11/05
64796	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb 14F	2013/11/01	2013/11/04
64935	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 W	2013/11/01	2013/11/04
67610	HK Bank – BOCL R Bear CBBC Nov 2013 B	2013/11/01	2013/11/05
67607	HK Bank – ICBC R Bear CBBC Nov 2013 A	2013/11/01	2013/11/05
64932	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 T	2013/11/01	2013/11/04
65027	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 N	2013/11/04	2013/11/05
64947	HK Bank – HSI R Bear CBBC May 2014 G	2013/11/04	2013/11/05
64979	UBS AG – HSI R Bear CBBC Apr 2014 Q	2013/11/04	2013/11/05
64984	UBS AG – HSI R Bear CBBC Mar 2014 J	2013/11/04	2013/11/05
64987	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Q	2013/11/04	2013/11/05
65019	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 K	2013/11/04	2013/11/05
65020	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 L	2013/11/04	2013/11/05
64986	Credit Suisse AG – HSI R Bear CBBC Feb 2014 P	2013/11/04	2013/11/05
65026	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 M	2013/11/04	2013/11/05
65017	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 J	2013/11/04	2013/11/05
64630	UBS AG – HSI R Bear CBBC Mar 2014 D	2013/11/04	2013/11/05
64631	Credit Suisse AG – HSI R Bear CBBC Jan 2014 D	2013/11/04	2013/11/05
65058	Credit Suisse AG – HSI R Bear CBBC Mar 2014 L	2013/11/05	2013/11/06
64193	HK Bank – TCH R Bull CBBC Jun 2014 D	2013/11/05	2013/11/06
64575	UBS AG – TCH R Bull CBBC Jun 2014 L	2013/11/05	2013/11/06
66709	HK Bank – A50 R Bull CBBC Nov 2013 B	2013/11/05	2013/11/07
65031	HK Bank – HSCEI R Bear CBBC May 2014 D	2013/11/05	2013/11/06
65061	UBS AG – HSI R Bear CBBC Apr 2014 R	2013/11/05	2013/11/06
65057	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 X	2013/11/05	2013/11/06
65060	Credit Suisse AG – HSI R Bear CBBC Mar 2014 M	2013/11/05	2013/11/06
65082	Standard Chartered Bank – HSI R Bear CBBC May 2014 B	2013/11/05	2013/11/06
64799	Credit Suisse AG – HSI R Bull CBBC Jul 2014 X	2013/11/05	2013/11/06
64798	UBS AG – HSI R Bull CBBC Oct 2014 E	2013/11/05	2013/11/06
64792	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 J	2013/11/05	2013/11/06
64795	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14E	2013/11/05	2013/11/06
65151	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 L	2013/11/06	2013/11/07
65128	Credit Suisse AG – HSI R Bear CBBC Mar 2014 O	2013/11/06	2013/11/07
65131	UBS AG – HSI R Bear CBBC Feb 2014 Y	2013/11/06	2013/11/07
65134	UBS AG – HSI R Bear CBBC Apr 2014 S	2013/11/06	2013/11/07
65135	Credit Suisse AG – HSI R Bear CBBC Mar 2014 P	2013/11/06	2013/11/07
65144	Standard Chartered Bank – HSI R Bull CBBC May 2014 D	2013/11/06	2013/11/07
65147	Credit Suisse AG – HSI R Bull CBBC Oct 2014 E	2013/11/06	2013/11/07
65123	Credit Suisse AG – HSI R Bear CBBC Mar 2014 N	2013/11/06	2013/11/07
65150	Credit Suisse AG – HSI R Bull CBBC Oct 2014 G	2013/11/06	2013/11/07
65155	UBS AG – HSI R Bull CBBC Oct 2014 H	2013/11/06	2013/11/07
65153	UBS AG – HSI R Bull CBBC Aug 2014 R	2013/11/06	2013/11/07
65154	UBS AG – HSI R Bull CBBC Oct 2014 G	2013/11/06	2013/11/07
65156	Standard Chartered Bank – HSI R Bull CBBC May 2014 E	2013/11/06	2013/11/07
65157	Standard Chartered Bank – HSI R Bull CBBC May 2014 F	2013/11/06	2013/11/07
65169	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 A	2013/11/06	2013/11/07
65170	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 B	2013/11/06	2013/11/07
65171	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 M	2013/11/06	2013/11/07
65148	Credit Suisse AG – HSI R Bull CBBC Oct 2014 F	2013/11/06	2013/11/07
65120	Standard Chartered Bank – HSI R Bear CBBC May 2014 C	2013/11/06	2013/11/07
65119	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 O	2013/11/06	2013/11/07
65121	Standard Chartered Bank – HSI R Bear CBBC May 2014 D	2013/11/06	2013/11/07
65229	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 B	2013/11/07	2013/11/08
65192	Credit Suisse AG – HSI R Bull CBBC Sep 2014 B	2013/11/07	2013/11/08

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64797	UBS AG – HSI R Bull CBBC Jul 2014 Z	2013/11/07	2013/11/08
65193	Credit Suisse AG – HSI R Bull CBBC Sep 2014 C	2013/11/07	2013/11/08
65194	Credit Suisse AG – HSI R Bull CBBC Sep 2014 D	2013/11/07	2013/11/08
65195	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 M	2013/11/07	2013/11/08
65201	UBS AG – HSI R Bull CBBC Aug 2014 S	2013/11/07	2013/11/08
65167	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 L	2013/11/07	2013/11/08
65210	UBS AG – HSI R Bull CBBC Jul 2014 O	2013/11/07	2013/11/08
65174	HK Bank – HSI R Bull CBBC May 2014 E	2013/11/07	2013/11/08
64800	Credit Suisse AG – HSI R Bull CBBC Jul 2014 Y	2013/11/07	2013/11/08
65230	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 C	2013/11/07	2013/11/08
65231	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 N	2013/11/07	2013/11/08
65245	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 P	2013/11/07	2013/11/08
65232	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 E	2013/11/07	2013/11/08
65202	UBS AG – HSI R Bull CBBC Aug 2014 T	2013/11/07	2013/11/08
65138	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 I	2013/11/07	2013/11/08
65086	UBS AG – HSI R Bull CBBC Dec 2014 E	2013/11/07	2013/11/08
64793	Standard Chartered Bank – HSI R Bull CBBC Jul 2014 H	2013/11/07	2013/11/08
65066	Credit Suisse AG – HSI R Bull CBBC Oct 2014 C	2013/11/07	2013/11/08
64791	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 I	2013/11/07	2013/11/08
64788	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 K	2013/11/07	2013/11/08
65146	Credit Suisse AG – HSI R Bull CBBC Oct 2014 D	2013/11/07	2013/11/08
64854	UBS AG – HSI R Bull CBBC Oct 2014 F	2013/11/07	2013/11/08
64856	Credit Suisse AG – HSI R Bull CBBC Aug 2014 O	2013/11/07	2013/11/08
65269	Credit Suisse AG – HSI R Bull CBBC Aug 2014 S	2013/11/08	2013/11/11
65235	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 O	2013/11/08	2013/11/11
64794	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun 14C	2013/11/08	2013/11/11
65248	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 A	2013/11/08	2013/11/11
65264	Credit Suisse AG – HSI R Bull CBBC Aug 2014 R	2013/11/08	2013/11/11
64100	UBS AG – TCH R Bull CBBC Jun 2014 K	2013/11/08	2013/11/11
65268	UBS AG – HSI R Bull CBBC Sep 2014 V	2013/11/08	2013/11/11
63381	HK Bank – TCH R Bull CBBC Jun 2014 C	2013/11/08	2013/11/11
65277	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 D	2013/11/08	2013/11/11
65278	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 O	2013/11/08	2013/11/11
65279	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 Q	2013/11/08	2013/11/11
65301	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Feb 2014 F	2013/11/08	2013/11/11
64801	Credit Suisse AG – HSI R Bull CBBC Jul 2014 Z	2013/11/08	2013/11/11
64857	Credit Suisse AG – HSI R Bull CBBC Aug 2014 J	2013/11/08	2013/11/11
65266	UBS AG – HSI R Bull CBBC Sep 2014 U	2013/11/08	2013/11/11
65164	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 A	2013/11/08	2013/11/11
64989	Credit Suisse AG – HSI R Bull CBBC May 2014 J	2013/11/08	2013/11/11
64882	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 H	2013/11/08	2013/11/11
65172	HK Bank – HSI R Bull CBBC Jun 2014 F	2013/11/08	2013/11/11
65065	Credit Suisse AG – HSI R Bull CBBC Oct 2014 B	2013/11/08	2013/11/11
64884	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 B	2013/11/08	2013/11/11
64994	UBS AG – HSI R Bull CBBC Sep 2014 R	2013/11/08	2013/11/11
64785	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 J	2013/11/08	2013/11/11
64853	UBS AG – HSI R Bull CBBC Jun 2014 D	2013/11/08	2013/11/11
65051	UBS AG – HSI R Bull CBBC Aug 2014 Q	2013/11/08	2013/11/11
64991	Credit Suisse AG – HSI R Bull CBBC May 2014 M	2013/11/08	2013/11/11
65028	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 M	2013/11/08	2013/11/11
64855	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 O	2013/11/08	2013/11/11
64894	Standard Chartered Bank – HSI R Bull CBBC May 2014 B	2013/11/08	2013/11/11
63068	HK Bank – Minsheng Bank R Bull CBBC Jul 2014 A	2013/11/08	2013/11/11
69129	HK Bank – Minsheng Bank R Bear CBBC Nov 2013 A	2013/11/08	2013/11/12
69063	UBS AG – TCH R Bull CBBC Nov 2013 A	2013/11/08	2013/11/12
69163	UBS AG – PICC Group R Bear CBBC Nov 2013 A	2013/11/08	2013/11/12
69277	UBS AG – HKEx R Bear CBBC Nov 2013 A	2013/11/08	2013/11/12
61194	UBS AG – ICBC R Bear CBBC Nov 2013 A	2013/11/08	2013/11/12
60543	HK Bank – HKEx R Bear CBBC Nov 2013 C	2013/11/08	2013/11/12
69461	HK Bank – Ping An R Bear CBBC Nov 2013 A	2013/11/08	2013/11/12
65312	UBS AG – HSI R Bull CBBC May 2014 Z	2013/11/11	2013/11/12
65338	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 N	2013/11/11	2013/11/12
65313	Credit Suisse AG – HSI R Bull CBBC Aug 2014 X	2013/11/11	2013/11/12
65311	UBS AG – HSI R Bull CBBC Sep 2014 W	2013/11/11	2013/11/12
65421	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 N	2013/11/12	2013/11/13
65465	Credit Suisse AG – HSI R Bear CBBC Feb 2014 S	2013/11/12	2013/11/13
65480	UBS AG – HSI R Bear CBBC Feb 2014 D	2013/11/12	2013/11/13
65412	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 L	2013/11/12	2013/11/13
65411	UBS AG – HSI R Bull CBBC Jun 2014 M	2013/11/12	2013/11/13
65406	UBS AG – HSI R Bull CBBC Oct 2014 I	2013/11/12	2013/11/13
65399	Credit Suisse AG – HSI R Bull CBBC Oct 2014 H	2013/11/12	2013/11/13
65483	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 O	2013/11/12	2013/11/13
65400	Credit Suisse AG – HSI R Bull CBBC Oct 2014 I	2013/11/12	2013/11/13
62644	Credit Suisse AG – HSI R Bull CBBC Aug 2014 F	2013/11/13	2013/11/14
62674	UBS AG – HSI R Bull CBBC Jun 2014 V	2013/11/13	2013/11/14
64559	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 14 A	2013/11/13	2013/11/14
62546	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 P	2013/11/13	2013/11/14
62689	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2014 C	2013/11/13	2013/11/14
65507	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 O	2013/11/13	2013/11/14
65511	Credit Suisse AG – HSI R Bull CBBC Oct 2014 J	2013/11/13	2013/11/14
65512	UBS AG – HSI R Bull CBBC Aug 2014 U	2013/11/13	2013/11/14
65524	UBS AG – HSI R Bull CBBC Aug 2014 V	2013/11/13	2013/11/14
65532	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 A	2013/11/13	2013/11/14
65535	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2013/11/13	2013/11/14
62730	Credit Suisse AG – HSI R Bull CBBC Jul 2014 G	2013/11/13	2013/11/14
64543	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 I	2013/11/13	2013/11/14
62362	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 W	2013/11/13	2013/11/14

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64859	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Apr14A	2013/11/13	2013/11/14
64885	Standard Chartered Bank – HSI R Bull CBBC May 2014 A	2013/11/13	2013/11/14
64896	Credit Suisse AG – HSI R Bull CBBC Aug 2014 P	2013/11/13	2013/11/14
64729	HK Bank – Hutchison R Bull CBBC Jul 2014 B	2013/11/13	2013/11/14
64996	UBS AG – HSI R Bull CBBC Sep 2014 S	2013/11/13	2013/11/14
64997	UBS AG – HSI R Bull CBBC Jun 2014 B	2013/11/13	2013/11/14
65016	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 H	2013/11/13	2013/11/14
65029	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 K	2013/11/13	2013/11/14
64724	UBS AG – AIA R Bull CBBC Oct 2014 A	2013/11/13	2013/11/14
62489	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 P	2013/11/13	2013/11/14
62361	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2014 A	2013/11/13	2013/11/14
62535	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 H	2013/11/13	2013/11/14
65177	HK Bank – HSCEI R Bull CBBC Jul 2014 B	2013/11/13	2013/11/14
63181	UBS AG – TCH R Bull CBBC Jul 2014 B	2013/11/13	2013/11/14
62384	Credit Suisse AG – HSI R Bull CBBC Feb 2014 B	2013/11/13	2013/11/14
62390	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 C	2013/11/13	2013/11/14
62414	UBS AG – HSI R Bull CBBC Jun 2014 T	2013/11/13	2013/11/14
62438	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC May14 O	2013/11/13	2013/11/14
62459	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 G	2013/11/13	2013/11/14
65493	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 M	2013/11/13	2013/11/14
62462	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2014 O	2013/11/13	2013/11/14
62731	Credit Suisse AG – HSI R Bull CBBC Jul 2014 H	2013/11/13	2013/11/14
65098	HK Bank – TCH R Bull CBBC Sep 2014 A	2013/11/13	2013/11/14
62737	J P Morgan SP BV – HSI R Bull CBBC Jun 2014 Z	2013/11/13	2013/11/14
64296	UBS AG – HSI R Bull CBBC Jul 2014 V	2013/11/13	2013/11/14
63316	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 D	2013/11/13	2013/11/14
64512	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2013/11/13	2013/11/14
63164	HK Bank – TCH R Bull CBBC Jun 2014 B	2013/11/13	2013/11/14
64298	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2014 F	2013/11/13	2013/11/14
62780	HK Bank – HSI R Bull CBBC Feb 2014 M	2013/11/13	2013/11/14
63118	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Feb14 D	2013/11/13	2013/11/14
62748	UBS AG – CSOP A50 ETF R Bull CBBC Apr 2014 C	2013/11/13	2013/11/14
63745	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 K	2013/11/13	2013/11/14
62982	J P Morgan SP BV – HSI R Bull CBBC Apr 2014 I	2013/11/13	2013/11/14
63188	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Jan 2014 D	2013/11/13	2013/11/14
62733	UBS AG – HSI R Bull CBBC Mar 2014 T	2013/11/13	2013/11/14
64474	HK Bank – HSI R Bull CBBC Jun 2014 C	2013/11/13	2013/11/14
62993	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 A	2013/11/13	2013/11/14
65555	UBS AG – HSI R Bull CBBC Aug 2014 W	2013/11/14	2013/11/15
65565	UBS AG – HSI R Bull CBBC Jun 2014 X	2013/11/14	2013/11/15
65577	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 B	2013/11/14	2013/11/15
65578	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 C	2013/11/14	2013/11/15
65584	Credit Suisse AG – HSI R Bull CBBC Sep 2014 E	2013/11/14	2013/11/15
65585	Credit Suisse AG – HSI R Bull CBBC Sep 2014 G	2013/11/14	2013/11/15
65588	Credit Suisse AG – HSI R Bull CBBC Sep 2014 H	2013/11/14	2013/11/15
65591	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 U	2013/11/14	2013/11/15
65702	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2013/11/14	2013/11/15
65792	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 D	2013/11/15	2013/11/18
65793	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 I	2013/11/15	2013/11/18
65780	Credit Suisse AG – HSI R Bear CBBC Mar 2014 X	2013/11/15	2013/11/18
65778	Credit Suisse AG – HSI R Bear CBBC Mar 2014 W	2013/11/15	2013/11/18
65495	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 J	2013/11/15	2013/11/18
65765	UBS AG – HSI R Bear CBBC Mar 2014 Q	2013/11/15	2013/11/18
65619	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 I	2013/11/15	2013/11/18
65761	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 J	2013/11/15	2013/11/18
65756	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 O	2013/11/15	2013/11/18
68452	UBS AG – China Mobile R Bull CBBC Nov 2013 B	2013/11/15	2013/11/19
65117	HK Bank – Sinopec Corp R Bear CBBC Aug 2014 B	2013/11/15	2013/11/18
68400	UBS AG – China Mobile R Bear CBBC Nov 2013 A	2013/11/15	2013/11/19
68862	HK Bank – Sinopec Corp R Bear CBBC Nov 2013 B	2013/11/15	2013/11/19
65754	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 Y	2013/11/15	2013/11/18
69417	UBS AG – Ping An R Bear CBBC Nov 2013 A	2013/11/15	2013/11/19
67823	HK Bank – Cheung Kong R Bear CBBC Nov 2013 A	2013/11/15	2013/11/19
69522	HK Bank – TCH R Bull CBBC Nov 2013 C	2013/11/15	2013/11/19
61112	HK Bank – Sinopec Corp R Bear CBBC Dec 2013 A	2013/11/15	2013/11/18
69803	UBS AG – HSBC R Bear CBBC Nov 2013 A	2013/11/15	2013/11/19
69878	UBS AG – Lenovo R Bear CBBC Jan 2014 A	2013/11/15	2013/11/18
60747	UBS AG – Hutchison R Bull CBBC Nov 2013 B	2013/11/15	2013/11/19
63491	HK Bank – ABC R Bull CBBC Nov 2013 B	2013/11/15	2013/11/19
69105	UBS AG – CNBM R Bear CBBC Nov 2013 A	2013/11/15	2013/11/19
65689	Credit Suisse AG – HSI R Bear CBBC Feb 2014 X	2013/11/15	2013/11/18
65698	UBS AG – HSI R Bear CBBC Feb 2014 S	2013/11/15	2013/11/18
65697	UBS AG – HSI R Bear CBBC Feb 2014 F	2013/11/15	2013/11/18
65770	UBS AG – HSI R Bear CBBC Feb 2014 K	2013/11/15	2013/11/18
65745	Credit Suisse AG – HSI R Bear CBBC Mar 2014 V	2013/11/15	2013/11/18
65705	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 C	2013/11/15	2013/11/18
65500	Credit Suisse AG – HSI R Bear CBBC Mar 2014 U	2013/11/15	2013/11/18
60216	HK Bank – Cheung Kong R Bull CBBC Nov 2013 B	2013/11/15	2013/11/19
65505	UBS AG – HSI R Bear CBBC Apr 2014 X	2013/11/15	2013/11/18
65717	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 X	2013/11/15	2013/11/18
65722	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 P	2013/11/15	2013/11/18
65725	UBS AG – HSI R Bull CBBC Jul 2014 Q	2013/11/15	2013/11/18
65730	UBS AG – HSI R Bull CBBC Oct 2014 J	2013/11/15	2013/11/18
65621	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 J	2013/11/15	2013/11/18
65739	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 D	2013/11/15	2013/11/18
65670	Credit Suisse AG – HSI R Bear CBBC Feb 2014 J	2013/11/15	2013/11/18
65648	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 I	2013/11/15	2013/11/18

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
65592	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 V	2013/11/15	2013/11/18
65732	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Y	2013/11/15	2013/11/18
65738	Credit Suisse AG – HSI R Bull CBBC Aug 2014 T	2013/11/15	2013/11/18
65716	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 V	2013/11/15	2013/11/18
65215	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Z	2013/11/18	2013/11/19
63720	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 L	2013/11/18	2013/11/19
63620	UBS AG – HSI R Bear CBBC Dec 2013 D	2013/11/18	2013/11/19
63569	Credit Suisse AG – HSI R Bear CBBC Dec 2013 Q	2013/11/18	2013/11/19
64734	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 N	2013/11/18	2013/11/19
64741	UBS AG – HSI R Bear CBBC Jan 2014 K	2013/11/18	2013/11/19
64525	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 O	2013/11/18	2013/11/19
64776	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 O	2013/11/18	2013/11/19
63199	HK Bank – HSCEI R Bear CBBC Mar 2014 A	2013/11/18	2013/11/19
64743	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 W	2013/11/18	2013/11/19
64725	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 Y	2013/11/18	2013/11/19
64541	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 W	2013/11/18	2013/11/19
64750	Credit Suisse AG – HSI R Bear CBBC Jan 2014 F	2013/11/18	2013/11/19
63440	UBS AG – Sinopec Corp R Bear CBBC Mar 2014 A	2013/11/18	2013/11/19
64603	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 F	2013/11/18	2013/11/19
64619	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 R	2013/11/18	2013/11/19
64618	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 G	2013/11/18	2013/11/19
64617	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 F	2013/11/18	2013/11/19
64623	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 O	2013/11/18	2013/11/19
64629	UBS AG – HSI R Bear CBBC Jan 2014 R	2013/11/18	2013/11/19
64607	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 X	2013/11/18	2013/11/19
64632	Credit Suisse AG – HSI R Bear CBBC Jan 2014 C	2013/11/18	2013/11/19
64658	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 P	2013/11/18	2013/11/19
64557	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 Q	2013/11/18	2013/11/19
64101	UBS AG – China Life R Bear CBBC Apr 2014 A	2013/11/18	2013/11/19
63743	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 O	2013/11/18	2013/11/19
64687	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2014 Q	2013/11/18	2013/11/19
64718	Credit Suisse AG – HSI R Bear CBBC Jan 2014 G	2013/11/18	2013/11/19
64721	UBS AG – HSI R Bear CBBC Feb 2014 O	2013/11/18	2013/11/19
64014	HK Bank – China Life R Bear CBBC Aug 2014 A	2013/11/18	2013/11/19
63981	UBS AG – HSCEI R Bear CBBC Mar 2014 A	2013/11/18	2013/11/19
64558	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 14 A	2013/11/18	2013/11/19
63961	UBS AG – HSI R Bear CBBC Mar 2014 V	2013/11/18	2013/11/19
63813	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 Y	2013/11/18	2013/11/19
63771	Credit Suisse AG – HSI R Bear CBBC Jan 2014 M	2013/11/18	2013/11/19
64270	HK Bank – Sinopec Corp R Bear CBBC Aug 2014 A	2013/11/18	2013/11/19
65626	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 K	2013/11/18	2013/11/19
65212	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 B	2013/11/18	2013/11/19
65723	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 N	2013/11/18	2013/11/19
65712	HK Bank – HSI R Bear CBBC Jun 2014 F	2013/11/18	2013/11/19
65709	HK Bank – HSI R Bear CBBC Jun 2014 D	2013/11/18	2013/11/19
65703	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 F	2013/11/18	2013/11/19
65692	UBS AG – HSI R Bear CBBC Mar 2014 P	2013/11/18	2013/11/19
65669	Credit Suisse AG – HSI R Bear CBBC Feb 2014 B	2013/11/18	2013/11/19
65796	HK Bank – HSI R Bear CBBC Jun 2014 G	2013/11/18	2013/11/19
65646	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 L	2013/11/18	2013/11/19
65797	HK Bank – HSI R Bear CBBC May 2014 H	2013/11/18	2013/11/19
65600	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 W	2013/11/18	2013/11/19
65537	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 E	2013/11/18	2013/11/19
65510	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 U	2013/11/18	2013/11/19
65503	UBS AG – HSI R Bear CBBC Mar 2014 O	2013/11/18	2013/11/19
65484	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 B	2013/11/18	2013/11/19
65475	UBS AG – HSI R Bear CBBC Feb 2014 M	2013/11/18	2013/11/19
65449	Credit Suisse AG – HSI R Bear CBBC Feb 2014 V	2013/11/18	2013/11/19
65647	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 M	2013/11/18	2013/11/19
65875	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 D	2013/11/18	2013/11/19
65911	UBS AG – HSI R Bear CBBC Feb 2014 R	2013/11/18	2013/11/19
65910	UBS AG – HSI R Bear CBBC Apr 2014 Y	2013/11/18	2013/11/19
65908	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Y	2013/11/18	2013/11/19
65907	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 Z	2013/11/18	2013/11/19
65892	UBS AG – HSI R Bear CBBC Mar 2014 S	2013/11/18	2013/11/19
65885	Credit Suisse AG – HSI R Bear CBBC Feb 2014 W	2013/11/18	2013/11/19
65880	Credit Suisse AG – HSI R Bear CBBC Feb 2014 A	2013/11/18	2013/11/19
65795	HK Bank – HSI R Bear CBBC Jul 2014 B	2013/11/18	2013/11/19
65876	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 E	2013/11/18	2013/11/19
65422	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 T	2013/11/18	2013/11/19
65874	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 C	2013/11/18	2013/11/19
65234	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2014 G	2013/11/18	2013/11/19
65871	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 B	2013/11/18	2013/11/19
65855	HK Bank – Minsheng Bank R Bear CBBC Jul 2014 A	2013/11/18	2013/11/19
65836	HK Bank – CC Bank R Bear CBBC Aug 2014 A	2013/11/18	2013/11/19
65830	HK Bank – TCH R Bear CBBC Sep 2014 C	2013/11/18	2013/11/19
65826	HK Bank – TCH R Bear CBBC Jul 2014 D	2013/11/18	2013/11/19
65879	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 F	2013/11/18	2013/11/19
64860	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bear CBBC Apr14A	2013/11/18	2013/11/19
65143	UBS AG – HSI R Bear CBBC Feb 2014 A	2013/11/18	2013/11/19
65142	UBS AG – HSI R Bear CBBC Feb 2014 E	2013/11/18	2013/11/19
65139	Credit Suisse AG – HSI R Bear CBBC Mar 2014 R	2013/11/18	2013/11/19
65137	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Q	2013/11/18	2013/11/19
65089	Bank of East Asia – CM Bank R Bear CBBC Apr 2014 A	2013/11/18	2013/11/19
65032	HK Bank – HSCEI R Bear CBBC Jun 2014 A	2013/11/18	2013/11/19
64974	HK Bank – CM Bank R Bear CBBC Apr 2014 A	2013/11/18	2013/11/19
65443	Credit Suisse AG – HSI R Bear CBBC Feb 2014 U	2013/11/18	2013/11/19

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64908	UBS AG – HSCEI R Bear CBBC Feb 2014 D	2013/11/18	2013/11/19
65865	HK Bank – HSCEI R Bear CBBC May 2014 E	2013/11/18	2013/11/19
64832	HK Bank – HSI R Bear CBBC May 2014 F	2013/11/18	2013/11/19
64828	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 Q	2013/11/18	2013/11/19
69732	UBS AG – China Life R Bear CBBC Dec 2013 B	2013/11/18	2013/11/19
69765	HK Bank – HSCEI R Bear CBBC Nov 2013 C	2013/11/18	2013/11/19
64810	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 F	2013/11/18	2013/11/19
64809	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 E	2013/11/18	2013/11/19
69949	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 I	2013/11/18	2013/11/19
69351	HK Bank – Lenovo R Bear CBBC Jan 2014 B	2013/11/18	2013/11/19
65265	UBS AG – HSI R Bear CBBC Apr 2014 U	2013/11/18	2013/11/19
60088	HK Bank – HSCEI R Bear CBBC Dec 2013 C	2013/11/18	2013/11/19
65394	HK Bank – Lenovo R Bear CBBC Jun 2014 B	2013/11/18	2013/11/19
65339	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 A	2013/11/18	2013/11/19
65337	UBS AG – HSI R Bear CBBC Apr 2014 W	2013/11/18	2013/11/19
65332	UBS AG – HSI R Bear CBBC Apr 2014 V	2013/11/18	2013/11/19
65331	Credit Suisse AG – HSI R Bear CBBC Mar 2014 T	2013/11/18	2013/11/19
65323	Credit Suisse AG – HSI R Bear CBBC Mar 2014 S	2013/11/18	2013/11/19
61140	HK Bank – HSI R Bear CBBC Nov 2013 I	2013/11/18	2013/11/19
65275	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 Z	2013/11/18	2013/11/19
65214	Standard Chartered Bank – HSI R Bear CBBC Jul 2014 C	2013/11/18	2013/11/19
65263	Credit Suisse AG – HSI R Bear CBBC Feb 2014 T	2013/11/18	2013/11/19
65262	Credit Suisse AG – HSI R Bear CBBC Feb 2014 R	2013/11/18	2013/11/19
65249	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 P	2013/11/18	2013/11/19
65227	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 Y	2013/11/18	2013/11/19
65224	UBS AG – HSI R Bear CBBC Feb 2014 J	2013/11/18	2013/11/19
65219	UBS AG – HSI R Bear CBBC Apr 2014 T	2013/11/18	2013/11/19
65218	UBS AG – HSI R Bear CBBC May 2014 C	2013/11/18	2013/11/19
65424	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 I	2013/11/18	2013/11/19
65314	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 S	2013/11/18	2013/11/19
68757	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 O	2013/11/18	2013/11/19
62820	HK Bank – Ping An R Bear CBBC Apr 2014 A	2013/11/18	2013/11/19
62140	UBS AG – HSCEI R Bear CBBC Jan 2014 A	2013/11/18	2013/11/19
67372	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 R	2013/11/18	2013/11/19
62021	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 L	2013/11/18	2013/11/19
63009	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 T	2013/11/18	2013/11/19
63060	HK Bank – China Life R Bear CBBC Mar 2014 A	2013/11/18	2013/11/19
62412	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 R	2013/11/18	2013/11/19
68515	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 M	2013/11/18	2013/11/19
67345	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 Q	2013/11/18	2013/11/19
68560	HK Bank – HSI R Bear CBBC Jan 2014 E	2013/11/18	2013/11/19
64351	UBS AG – HSI R Bear CBBC Jan 2014 J	2013/11/18	2013/11/19
68608	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 O	2013/11/18	2013/11/19
68466	Credit Suisse AG – HSI R Bear CBBC Dec 2013 C	2013/11/18	2013/11/19
66167	UBS AG – HSI R Bear CBBC Dec 2013 X	2013/11/18	2013/11/19
62680	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 R	2013/11/18	2013/11/19
61464	UBS AG – Ping An R Bear CBBC Feb 2014 A	2013/11/18	2013/11/19
66694	Credit Suisse AG – HSI R Bear CBBC Nov 2013 N	2013/11/18	2013/11/19
66701	Credit Suisse AG – HSI R Bear CBBC Jan 2014 E	2013/11/18	2013/11/19
62490	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 S	2013/11/18	2013/11/19
69087	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 N	2013/11/18	2013/11/19
69086	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 M	2013/11/18	2013/11/19
65852	UBS AG – HSI R Bear CBBC Feb 2014 I	2013/11/18	2013/11/19
68803	HK Bank – HSI R Bear CBBC Mar 2014 C	2013/11/18	2013/11/19
65322	Credit Suisse AG – HSI R Bear CBBC Apr 2014 I	2013/11/19	2013/11/20
65845	UBS AG – HSI R Bear CBBC Dec 2013 T	2013/11/19	2013/11/20
65384	HK Bank – CM Bank R Bear CBBC Jul 2014 A	2013/11/19	2013/11/20
64044	UBS AG – HSI R Bear CBBC Feb 2014 W	2013/11/19	2013/11/20
62823	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 I	2013/11/19	2013/11/20
62825	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 L	2013/11/19	2013/11/20
64338	UBS AG – HSI R Bear CBBC Jan 2014 I	2013/11/19	2013/11/20
62307	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 R	2013/11/19	2013/11/20
65436	UBS AG – Ping An R Bear CBBC Apr 2014 B	2013/11/19	2013/11/20
65437	UBS AG – HKEx R Bear CBBC Mar 2014 B	2013/11/19	2013/11/20
63190	UBS AG – HSCEI R Bear CBBC Dec 2013 A	2013/11/19	2013/11/20
65951	HK Bank – HSI R Bear CBBC Jun 2014 H	2013/11/19	2013/11/20
65990	UBS AG – HSI R Bear CBBC Mar 2014 T	2013/11/19	2013/11/20
65993	UBS AG – HSI R Bear CBBC Mar 2014 U	2013/11/19	2013/11/20
65997	UBS AG – China Life R Bear CBBC May 2014 A	2013/11/19	2013/11/20
66063	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Y	2013/11/19	2013/11/20
62537	Standard Chartered Bank – HSI R Bear CBBC Jan 2014 N	2013/11/19	2013/11/20
66067	UBS AG – HSI R Bear CBBC Feb 2014 T	2013/11/19	2013/11/20
66068	UBS AG – HSI R Bear CBBC Mar 2014 W	2013/11/19	2013/11/20
66072	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 J	2013/11/19	2013/11/20
65575	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 K	2013/11/19	2013/11/20
64745	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 T	2013/11/19	2013/11/20
60320	UBS AG – HKEx R Bear CBBC Nov 2013 C	2013/11/19	2013/11/20
69942	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 C	2013/11/19	2013/11/20
69647	J P Morgan SP BV – HSI R Bear CBBC Dec 2013 A	2013/11/19	2013/11/20
61791	HK Bank – HSI R Bear CBBC Feb 2014 I	2013/11/19	2013/11/20
64834	HK Bank – China Mobile R Bear CBBC Jul 2014 A	2013/11/19	2013/11/20
61965	Credit Suisse AG – HSI R Bear CBBC Dec 2013 B	2013/11/19	2013/11/20
61968	Credit Suisse AG – HSI R Bear CBBC Dec 2013 N	2013/11/19	2013/11/20
68787	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 Y	2013/11/19	2013/11/20
68778	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Nov 2013 X	2013/11/19	2013/11/20
65000	UBS AG – CSOP A50 ETF R Bear CBBC Mar 2014 A	2013/11/19	2013/11/20
63937	HK Bank – HKEx R Bear CBBC Jul 2014 A	2013/11/19	2013/11/20

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68414	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 C	2013/11/19	2013/11/20
64011	HK Bank – China Shenhua R Bear CBBC Aug 2014 A	2013/11/19	2013/11/20
63748	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 D	2013/11/19	2013/11/20
66707	Credit Suisse AG – HSI R Bear CBBC Feb 2014 C	2013/11/19	2013/11/20
67061	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 N	2013/11/19	2013/11/20
63124	UBS AG – ABC R Bear CBBC May 2014 A	2013/11/19	2013/11/20
63791	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 L	2013/11/19	2013/11/20
68282	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 B	2013/11/19	2013/11/20
65191	HK Bank – Ping An R Bear CBBC Aug 2014 A	2013/11/19	2013/11/20
63740	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan14 N	2013/11/19	2013/11/20
63072	HK Bank – ABC R Bear CBBC May 2014 B	2013/11/19	2013/11/20
63123	UBS AG – China Life R Bear CBBC Feb 2014 A	2013/11/19	2013/11/20
62128	UBS AG – HSI R Bear CBBC Feb 2014 V	2013/11/19	2013/11/20
60957	UBS AG – HSI R Bear CBBC Nov 2013 C	2013/11/19	2013/11/20
66101	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 Q	2013/11/20	2013/11/21
66158	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 H	2013/11/20	2013/11/21
66100	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 D	2013/11/20	2013/11/21
66157	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 G	2013/11/20	2013/11/21
64845	HK Bank – A50 R Bear CBBC Jun 2014 A	2013/11/20	2013/11/21
66342	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 G	2013/11/20	2013/11/21
66162	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 I	2013/11/20	2013/11/21
66084	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 P	2013/11/20	2013/11/21
66443	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 M	2013/11/20	2013/11/21
66436	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 O	2013/11/20	2013/11/21
66435	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 N	2013/11/20	2013/11/21
66421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 V	2013/11/20	2013/11/21
66349	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 U	2013/11/20	2013/11/21
66345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 S	2013/11/20	2013/11/21
66331	UBS AG – HSI R Bear CBBC Feb 2014 B	2013/11/20	2013/11/21
66308	UBS AG – HSI R Bear CBBC Feb 2014 U	2013/11/20	2013/11/21
66304	UBS AG – HSI R Bear CBBC Mar 2014 Y	2013/11/20	2013/11/21
66224	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 R	2013/11/20	2013/11/21
66290	UBS AG – HSI R Bear CBBC Apr 2014 C	2013/11/20	2013/11/21
66287	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 L	2013/11/20	2013/11/21
66286	Credit Suisse AG – HSI R Bear CBBC Feb 2014 S	2013/11/20	2013/11/21
66283	Credit Suisse AG – HSI R Bear CBBC Feb 2014 Q	2013/11/20	2013/11/21
66281	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 E	2013/11/20	2013/11/21
66292	UBS AG – HSI R Bear CBBC Mar 2014 X	2013/11/20	2013/11/21
66348	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 T	2013/11/20	2013/11/21
66174	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 K	2013/11/20	2013/11/21
66198	Credit Suisse AG – HSI R Bear CBBC Feb 2014 P	2013/11/20	2013/11/21
66831	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 P	2013/11/21	2013/11/22
66599	Credit Suisse AG – HSI R Bear CBBC Mar 2014 F	2013/11/21	2013/11/22
66604	Credit Suisse AG – HSI R Bear CBBC Mar 2014 G	2013/11/21	2013/11/22
66606	Credit Suisse AG – HSI R Bear CBBC Mar 2014 L	2013/11/21	2013/11/22
66730	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 H	2013/11/21	2013/11/22
66737	UBS AG – HSI R Bear CBBC Apr 2014 F	2013/11/21	2013/11/22
66796	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 B	2013/11/21	2013/11/22
66741	UBS AG – HSI R Bear CBBC Feb 2014 Y	2013/11/21	2013/11/22
66596	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Z	2013/11/21	2013/11/22
66510	HK Bank – HSI R Bear CBBC May 2014 I	2013/11/21	2013/11/22
66740	UBS AG – HSI R Bear CBBC Mar 2014 R	2013/11/21	2013/11/22
66536	HK Bank – TCH R Bear CBBC Aug 2014 A	2013/11/21	2013/11/22
66853	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Q	2013/11/21	2013/11/22
66455	HK Bank – HSI R Bear CBBC Aug 2014 B	2013/11/21	2013/11/22
66552	HK Bank – TCH R Bear CBBC Jul 2014 E	2013/11/21	2013/11/22
66511	HK Bank – HSI R Bear CBBC Jun 2014 I	2013/11/21	2013/11/22
66534	HK Bank – TCH R Bear CBBC Sep 2014 D	2013/11/21	2013/11/22
66801	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 C	2013/11/21	2013/11/22
66554	HK Bank – ICBC R Bear CBBC Oct 2014 A	2013/11/21	2013/11/22
66545	HK Bank – TCH R Bear CBBC Aug 2014 B	2013/11/21	2013/11/22
66681	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 F	2013/11/21	2013/11/22
65070	HK Bank – COVS R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
66921	Credit Suisse AG – HSI R Bear CBBC Apr 2014 J	2013/11/22	2013/11/25
66914	UBS AG – HSI R Bear CBBC Feb 2014 D	2013/11/22	2013/11/25
66941	Credit Suisse AG – HSI R Bear CBBC Apr 2014 L	2013/11/22	2013/11/25
66919	UBS AG – HSI R Bear CBBC Mar 2014 Z	2013/11/22	2013/11/25
66920	UBS AG – HSI R Bear CBBC Apr 2014 D	2013/11/22	2013/11/25
66892	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 L	2013/11/22	2013/11/25
66922	Credit Suisse AG – HSI R Bear CBBC Apr 2014 K	2013/11/22	2013/11/25
66970	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 W	2013/11/22	2013/11/25
66971	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 X	2013/11/22	2013/11/25
69555	UBS AG – HKEx R Bear CBBC Nov 2013 B	2013/11/22	2013/11/26
66989	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 Y	2013/11/22	2013/11/25
66992	Standard Chartered Bank – HSI R Bear CBBC May 2014 E	2013/11/22	2013/11/25
67000	Standard Chartered Bank – HSI R Bear CBBC May 2014 F	2013/11/22	2013/11/25
68990	HK Bank – Galaxy Ent R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
69651	HK Bank – PetCh R Bear CBBC Nov 2013 B	2013/11/22	2013/11/26
67018	Standard Chartered Bank – HSI R Bear CBBC May 2014 H	2013/11/22	2013/11/25
67109	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 R	2013/11/22	2013/11/25
67111	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 K	2013/11/22	2013/11/25
67006	Standard Chartered Bank – HSI R Bear CBBC May 2014 G	2013/11/22	2013/11/25
66568	HK Bank – CNBM R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
67679	HK Bank – Li & Fung R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
60383	HK Bank – China Mobile R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
67629	HK Bank – AIA R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
67727	HK Bank – HKEx R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67907	Bank of East Asia – COVS R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
68488	HK Bank – ABC R Bear CBBC Nov 2013 B	2013/11/22	2013/11/26
60037	HK Bank – China Mobile R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
60121	HK Bank – CUni R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
60372	HK Bank – China Tel R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
60673	UBS AG – Li & Fung R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
66887	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 K	2013/11/22	2013/11/25
66570	HK Bank – Hutchison R Bull CBBC Nov 2013 B	2013/11/22	2013/11/26
66720	HK Bank – TCH R Bull CBBC Nov 2013 B	2013/11/22	2013/11/26
67769	HK Bank – BOCL R Bull CBBC Nov 2013 C	2013/11/22	2013/11/26
67771	HK Bank – CUni R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
68664	HK Bank – HKEx R Bull CBBC Nov 2013 B	2013/11/22	2013/11/26
68691	UBS AG – China Mobile R Bear CBBC Nov 2013 B	2013/11/22	2013/11/26
68833	HK Bank – ICBC R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
66867	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 I	2013/11/22	2013/11/25
66877	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 J	2013/11/22	2013/11/25
60366	HK Bank – China Tel R Bull CBBC Nov 2013 A	2013/11/22	2013/11/26
65387	HK Bank – A50 R Bear CBBC Nov 2013 B	2013/11/22	2013/11/26
60546	HK Bank – CC Bank R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
63061	HK Bank – China Life R Bear CBBC Apr 2014 A	2013/11/22	2013/11/25
61432	UBS AG – CSOP A50 ETF R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
67328	HK Bank – China Life R Bear CBBC Nov 2013 A	2013/11/22	2013/11/26
60887	HK Bank – ICBC R Bear CBBC Nov 2013 C	2013/11/22	2013/11/26
61657	HK Bank – Ping An R Bull CBBC Nov 2013 B	2013/11/22	2013/11/26
67274	Standard Chartered Bank – HSI R Bear CBBC May 2014 K	2013/11/25	2013/11/26
67130	Credit Suisse AG – HSI R Bear CBBC Feb 2014 J	2013/11/25	2013/11/26
67289	UBS AG – HSI R Bear CBBC Feb 2014 F	2013/11/25	2013/11/26
67212	Standard Chartered Bank – HSI R Bear CBBC May 2014 I	2013/11/25	2013/11/26
67204	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 O	2013/11/25	2013/11/26
67173	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 M	2013/11/25	2013/11/26
67164	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 B	2013/11/25	2013/11/26
68849	HK Bank – CNBM R Bear CBBC Mar 2014 B	2013/11/25	2013/11/26
67266	Standard Chartered Bank – HSI R Bear CBBC May 2014 J	2013/11/25	2013/11/26
67144	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 A	2013/11/25	2013/11/26
67293	UBS AG – HSI R Bear CBBC Feb 2014 K	2013/11/25	2013/11/26
67138	Credit Suisse AG – HSI R Bear CBBC Feb 2014 X	2013/11/25	2013/11/26
67193	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 N	2013/11/25	2013/11/26
67129	Credit Suisse AG – HSI R Bear CBBC Mar 2014 O	2013/11/25	2013/11/26
67128	Credit Suisse AG – HSI R Bear CBBC Mar 2014 N	2013/11/25	2013/11/26
67122	Credit Suisse AG – HSI R Bear CBBC Mar 2014 M	2013/11/25	2013/11/26
65773	UBS AG – TCH R Bear CBBC Apr 2014 C	2013/11/25	2013/11/26
65798	HK Bank – TCH R Bear CBBC Sep 2014 B	2013/11/25	2013/11/26
65799	HK Bank – TCH R Bear CBBC Oct 2014 A	2013/11/25	2013/11/26
65823	HK Bank – TCH R Bear CBBC Jun 2014 D	2013/11/25	2013/11/26
65506	UBS AG – TCH R Bear CBBC Jun 2014 E	2013/11/25	2013/11/26
67143	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 Z	2013/11/25	2013/11/26
68052	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 M	2013/11/25	2013/11/26
67892	UBS AG – HSI R Bear CBBC Feb 2014 S	2013/11/25	2013/11/26
60411	UBS AG – CNBM R Bear CBBC Jan 2014 A	2013/11/25	2013/11/26
67166	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 C	2013/11/25	2013/11/26
67774	Credit Suisse AG – HSI R Bear CBBC Mar 2014 X	2013/11/25	2013/11/26
67762	Credit Suisse AG – HSI R Bear CBBC Mar 2014 W	2013/11/25	2013/11/26
67300	UBS AG – HSI R Bear CBBC Mar 2014 C	2013/11/25	2013/11/26
67655	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 L	2013/11/25	2013/11/26
67956	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 W	2013/11/25	2013/11/26
67586	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 D	2013/11/25	2013/11/26
67500	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 N	2013/11/25	2013/11/26
67490	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 T	2013/11/25	2013/11/26
67306	UBS AG – HSI R Bear CBBC Mar 2014 E	2013/11/25	2013/11/26
68273	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Q	2013/11/26	2013/11/27
68276	Credit Suisse AG – HSI R Bull CBBC Aug 2014 O	2013/11/26	2013/11/27
68072	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 B	2013/11/26	2013/11/27
67989	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 R	2013/11/26	2013/11/27
68188	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 A	2013/11/26	2013/11/27
68172	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Q	2013/11/26	2013/11/27
68290	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 J	2013/11/26	2013/11/27
68287	UBS AG – HSI R Bull CBBC Oct 2014 S	2013/11/26	2013/11/27
68181	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 X	2013/11/26	2013/11/27
68239	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 J	2013/11/26	2013/11/27
67838	UBS AG – HSI R Bull CBBC Oct 2014 Q	2013/11/26	2013/11/27
68229	UBS AG – HSI R Bear CBBC Feb 2014 E	2013/11/26	2013/11/27
67739	Credit Suisse AG – HSI R Bull CBBC Aug 2014 L	2013/11/26	2013/11/27
68227	UBS AG – HSI R Bear CBBC Feb 2014 A	2013/11/26	2013/11/27
68302	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 R	2013/11/26	2013/11/27
68244	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 J	2013/11/26	2013/11/27
62356	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 N	2013/11/27	2013/11/29
62960	UBS AG – HSI R Bull CBBC Nov 2013 R	2013/11/27	2013/11/29
63610	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 W	2013/11/27	2013/11/29
63348	UBS AG – HSI R Bull CBBC Nov 2013 U	2013/11/27	2013/11/29
63049	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 U	2013/11/27	2013/11/29
63048	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 S	2013/11/27	2013/11/29
64127	HK Bank – HSI R Bear CBBC Nov 2013 A	2013/11/27	2013/11/29
62948	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 P	2013/11/27	2013/11/29
63758	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 R	2013/11/27	2013/11/29
62968	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 J	2013/11/27	2013/11/29
63014	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 S	2013/11/27	2013/11/29
63013	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 R	2013/11/27	2013/11/29

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62988	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 Q	2013/11/27	2013/11/29
62972	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 R	2013/11/27	2013/11/29
62971	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 P	2013/11/27	2013/11/29
62969	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 O	2013/11/27	2013/11/29
62965	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 Z	2013/11/27	2013/11/29
63015	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 T	2013/11/27	2013/11/29
66317	UBS AG – HSI R Bear CBBC Nov 2013 B	2013/11/27	2013/11/29
61945	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 J	2013/11/27	2013/11/29
68274	HK Bank – HSCEI R Bear CBBC Nov 2013 A	2013/11/27	2013/11/29
68301	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 P	2013/11/27	2013/11/28
62086	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 A	2013/11/27	2013/11/29
62600	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 H	2013/11/27	2013/11/29
68103	HK Bank – HSI R Bear CBBC Nov 2013 G	2013/11/27	2013/11/29
66492	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 P	2013/11/27	2013/11/29
62097	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 C	2013/11/27	2013/11/29
62098	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 D	2013/11/27	2013/11/29
62123	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 K	2013/11/27	2013/11/29
62095	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 B	2013/11/27	2013/11/29
64654	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 F	2013/11/27	2013/11/29
63891	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 T	2013/11/27	2013/11/29
62125	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 L	2013/11/27	2013/11/29
64244	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 V	2013/11/27	2013/11/29
62126	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 M	2013/11/27	2013/11/29
64161	HK Bank – HSI R Bull CBBC Nov 2013 R	2013/11/27	2013/11/29
64160	HK Bank – HSI R Bull CBBC Nov 2013 Q	2013/11/27	2013/11/29
64108	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 Z	2013/11/27	2013/11/29
64064	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 Y	2013/11/27	2013/11/29
64037	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 U	2013/11/27	2013/11/29
68292	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 K	2013/11/27	2013/11/28
63989	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 X	2013/11/27	2013/11/29
65611	HK Bank – HSCEI R Bull CBBC Nov 2013 E	2013/11/27	2013/11/29
62273	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 H	2013/11/27	2013/11/29
62545	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 G	2013/11/27	2013/11/29
62515	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 Q	2013/11/27	2013/11/29
68272	Credit Suisse AG – HSI R Bull CBBC Aug 2014 N	2013/11/27	2013/11/28
62154	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 13A	2013/11/27	2013/11/29
65357	HK Bank – HSI R Bear CBBC Nov 2013 C	2013/11/27	2013/11/29
62155	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 13B	2013/11/27	2013/11/29
68245	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 Z	2013/11/27	2013/11/28
62157	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov 13C	2013/11/27	2013/11/29
62270	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 E	2013/11/27	2013/11/29
62712	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 I	2013/11/27	2013/11/29
62272	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 G	2013/11/27	2013/11/29
62598	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 T	2013/11/27	2013/11/29
62274	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 I	2013/11/27	2013/11/29
66593	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Jun14A	2013/11/27	2013/11/28
65451	HK Bank – HSI R Bear CBBC Nov 2013 E	2013/11/27	2013/11/29
62445	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 E	2013/11/27	2013/11/29
63879	UBS AG – HSI R Bear CBBC Nov 2013 A	2013/11/27	2013/11/29
67408	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 V	2013/11/27	2013/11/28
68222	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 N	2013/11/27	2013/11/28
68190	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 Z	2013/11/27	2013/11/28
68189	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 P	2013/11/27	2013/11/28
68179	Credit Suisse AG – HSI R Bear CBBC Mar 2014 R	2013/11/27	2013/11/28
62271	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 F	2013/11/27	2013/11/29
62426	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 K	2013/11/27	2013/11/29
62895	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 X	2013/11/27	2013/11/29
62399	Credit Suisse AG – HSCEI R Bull CBBC Nov 2013 A	2013/11/27	2013/11/29
62889	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 O	2013/11/27	2013/11/29
62419	UBS AG – HSI R Bull CBBC Nov 2013 P	2013/11/27	2013/11/29
62867	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 W	2013/11/27	2013/11/29
62866	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 V	2013/11/27	2013/11/29
62848	UBS AG – HSI R Bull CBBC Nov 2013 C	2013/11/27	2013/11/29
62420	UBS AG – HSI R Bull CBBC Nov 2013 S	2013/11/27	2013/11/29
62817	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 Q	2013/11/27	2013/11/29
62811	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 P	2013/11/27	2013/11/29
62549	UBS AG – HSI R Bull CBBC Nov 2013 Q	2013/11/27	2013/11/29
62806	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 M	2013/11/27	2013/11/29
62566	UBS AG – HSI R Bull CBBC Nov 2013 T	2013/11/27	2013/11/29
62788	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 L	2013/11/27	2013/11/29
62787	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 K	2013/11/27	2013/11/29
62765	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 O	2013/11/27	2013/11/29
62427	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 L	2013/11/27	2013/11/29
62432	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 N	2013/11/27	2013/11/29
62713	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 J	2013/11/27	2013/11/29
66957	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Nov 2013 U	2013/11/27	2013/11/29
62429	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 M	2013/11/27	2013/11/29
62394	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 D	2013/11/27	2013/11/29
62897	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2013 Y	2013/11/27	2013/11/29
62807	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Nov13 N	2013/11/27	2013/11/29
69014	Credit Suisse AG – HSI R Bear CBBC Nov 2013 E	2013/11/27	2013/11/29
66830	HK Bank – HSI R Bear CBBC Nov 2013 F	2013/11/27	2013/11/29
68379	Credit Suisse AG – HSI R Bear CBBC Feb 2014 B	2013/11/27	2013/11/28
69396	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 A	2013/11/27	2013/11/29
68340	HK Bank – HSI R Bear CBBC Jun 2014 K	2013/11/27	2013/11/28
61805	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 H	2013/11/27	2013/11/29
68286	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 F	2013/11/27	2013/11/29

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68378	Credit Suisse AG – HSI R Bear CBBC Feb 2014 A	2013/11/27	2013/11/28
61840	UBS AG – HSI R Bull CBBC Nov 2013 O	2013/11/27	2013/11/29
68376	UBS AG – HSI R Bear CBBC Feb 2014 J	2013/11/27	2013/11/28
68380	Credit Suisse AG – HSI R Bear CBBC Feb 2014 R	2013/11/27	2013/11/28
60470	UBS AG – HSCEI R Bear CBBC Nov 2013 A	2013/11/27	2013/11/29
68283	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Nov13 C	2013/11/27	2013/11/29
68338	HK Bank – HSI R Bear CBBC Jun 2014 J	2013/11/27	2013/11/28
68375	UBS AG – HSI R Bear CBBC Feb 2014 I	2013/11/27	2013/11/28
64839	HK Bank – HSI R Bull CBBC Nov 2013 B	2013/11/27	2013/11/29
68374	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 D	2013/11/27	2013/11/28
68557	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 W	2013/11/27	2013/11/28
68535	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 S	2013/11/27	2013/11/28
68923	Credit Suisse AG – HSI R Bear CBBC Nov 2013 D	2013/11/27	2013/11/29
68922	Credit Suisse AG – HSI R Bear CBBC Nov 2013 C	2013/11/27	2013/11/29
65197	HK Bank – HSI R Bear CBBC Nov 2013 J	2013/11/27	2013/11/29
68377	UBS AG – HSI R Bear CBBC Apr 2014 E	2013/11/27	2013/11/28
68491	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Q	2013/11/27	2013/11/28
61804	Standard Chartered Bank – HSI R Bull CBBC Nov 2013 G	2013/11/27	2013/11/29
69586	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 C	2013/11/27	2013/11/29
69645	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 D	2013/11/27	2013/11/29
68439	UBS AG – HSI R Bull CBBC Aug 2014 B	2013/11/27	2013/11/28
68438	UBS AG – HSI R Bull CBBC Sep 2014 N	2013/11/27	2013/11/28
68406	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 S	2013/11/27	2013/11/28
69646	J P Morgan SP BV – HSI R Bear CBBC Nov 2013 E	2013/11/27	2013/11/29
68390	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 K	2013/11/27	2013/11/28
62305	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2014 Q	2013/11/28	2013/11/29
64337	UBS AG – HSI R Bear CBBC Jan 2014 H	2013/11/28	2013/11/29
61971	Credit Suisse AG – HSI R Bear CBBC Jan 2014 T	2013/11/28	2013/11/29
62681	Standard Chartered Bank – HSI R Bear CBBC Feb 2014 S	2013/11/28	2013/11/29
69088	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 O	2013/11/28	2013/11/29
68811	UBS AG – HSI R Bear CBBC Mar 2014 G	2013/11/28	2013/11/29
68341	HK Bank – HSI R Bear CBBC Jul 2014 C	2013/11/28	2013/11/29
68225	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 O	2013/11/28	2013/11/29
68763	Credit Suisse AG – HSI R Bear CBBC Feb 2014 V	2013/11/28	2013/11/29
68499	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 V	2013/11/28	2013/11/29
68561	HK Bank – HSI R Bear CBBC Feb 2014 D	2013/11/28	2013/11/29
68742	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 E	2013/11/28	2013/11/29
62604	Credit Suisse AG – China Mobile R Bull CBBC Nov 2013 A	2013/11/28	2013/12/02
62606	Credit Suisse AG – Ping An R Bull CBBC Nov 2013 A	2013/11/28	2013/12/02
64982	Bank of East Asia – Lenovo R Bull CBBC Nov 2013 A	2013/11/28	2013/12/02
68744	Credit Suisse AG – HSI R Bear CBBC Feb 2014 T	2013/11/28	2013/11/29
65672	UBS AG – Anhui Conch R Bear CBBC Dec 2013 A	2013/11/28	2013/11/29
68756	Credit Suisse AG – HSI R Bear CBBC Feb 2014 U	2013/11/28	2013/11/29
69064	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 S	2013/11/28	2013/11/29
65843	UBS AG – HSI R Bear CBBC Feb 2014 H	2013/11/28	2013/11/29
63153	J P Morgan SP BV – HSI R Bear CBBC Feb 2014 G	2013/11/28	2013/11/29
66691	Credit Suisse AG – HSI R Bear CBBC Dec 2013 V	2013/11/28	2013/11/29
68867	UBS AG – HSI R Bear CBBC Mar 2014 D	2013/11/28	2013/11/29
69189	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 D	2013/11/28	2013/11/29
68092	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2014 D	2013/11/28	2013/11/29
65261	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 R	2013/11/28	2013/11/29
63262	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 E	2013/11/28	2013/11/29
68415	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 D	2013/11/28	2013/11/29
68584	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 W	2013/11/28	2013/11/29
62124	UBS AG – HSI R Bear CBBC Mar 2014 F	2013/11/28	2013/11/29
65272	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 D	2013/11/28	2013/11/29
66475	UBS AG – Hutchison R Bear CBBC Jan 2014 A	2013/11/28	2013/11/29
69247	HK Bank – Cheung Kong R Bear CBBC Dec 2013 A	2013/11/28	2013/11/29
64710	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 14S	2013/11/28	2013/11/29
63020	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 U	2013/11/28	2013/11/29
64195	Credit Suisse AG – HSI R Bear CBBC Mar 2014 E	2013/11/28	2013/11/29
67409	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 X	2013/11/28	2013/11/29
65260	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 Q	2013/11/28	2013/11/29
68693	UBS AG – Petrochina R Bear CBBC Dec 2013 A	2013/11/29	2013/12/03
60047	Credit Suisse AG – HSI R Bear CBBC Mar 2014 T	2013/11/29	2013/12/02
60048	UBS AG – HSI R Bear CBBC Mar 2014 J	2013/11/29	2013/12/02
60050	UBS AG – HSI R Bear CBBC Apr 2014 N	2013/11/29	2013/12/02
60046	Credit Suisse AG – HSI R Bear CBBC Mar 2014 S	2013/11/29	2013/12/02
60095	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Y	2013/11/29	2013/12/02
68905	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 X	2013/11/29	2013/12/02
62317	UBS AG – TCH R Bull CBBC Dec 2013 F	2013/11/29	2013/12/03
60018	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 A	2013/11/29	2013/12/02
69556	UBS AG – HSBC R Bear CBBC Dec 2013 B	2013/11/29	2013/12/03
65102	HK Bank – TCH R Bear CBBC Jul 2014 C	2013/12/02	2013/12/03
68291	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 B	2013/12/02	2013/12/03
68316	UBS AG – HSI R Bear CBBC Dec 2013 Q	2013/12/02	2013/12/03
62725	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jan 2014 J	2013/12/02	2013/12/03
67410	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Feb14 Y	2013/12/02	2013/12/03
63192	HK Bank – HSCEI R Bear CBBC Apr 2014 A	2013/12/02	2013/12/03
63186	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2014 G	2013/12/02	2013/12/03
63265	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2014 F	2013/12/02	2013/12/03
63354	J P Morgan SP BV – HSI R Bear CBBC Jan 2014 H	2013/12/02	2013/12/03
67927	UBS AG – Ping An R Bear CBBC Apr 2014 C	2013/12/02	2013/12/03
60288	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 L	2013/12/02	2013/12/03
64261	HK Bank – HSI R Bear CBBC May 2014 D	2013/12/02	2013/12/03
62424	UBS AG – HSI R Bear CBBC Mar 2014 I	2013/12/02	2013/12/03
60207	UBS AG – HSI R Bear CBBC Apr 2014 P	2013/12/02	2013/12/03

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60205	Credit Suisse AG – HSI R Bear CBBC Mar 2014 F	2013/12/02	2013/12/03
68610	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 P	2013/12/02	2013/12/03
65223	UBS AG – TCH R Bear CBBC Jun 2014 D	2013/12/02	2013/12/03
69071	HK Bank – HSI R Bear CBBC Dec 2013 E	2013/12/02	2013/12/03
65985	UBS AG – HSI R Bear CBBC Apr 2014 B	2013/12/02	2013/12/03
68565	HK Bank – HSI R Bear CBBC Mar 2014 B	2013/12/02	2013/12/03
63746	Standard Chartered Bank – HSI R Bear CBBC Mar 2014 C	2013/12/02	2013/12/03
64711	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Jan 14U	2013/12/02	2013/12/03
60041	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 B	2013/12/02	2013/12/03
67234	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 A	2013/12/02	2013/12/03
67237	Credit Suisse AG – HSI R Bear CBBC Feb 2014 E	2013/12/02	2013/12/03
68300	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 U	2013/12/02	2013/12/03
68166	HK Bank – Ping An R Bear CBBC Jul 2014 A	2013/12/02	2013/12/03
65315	Credit Suisse AG – HSI R Bear CBBC Apr 2014 H	2013/12/02	2013/12/03
60364	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 N	2013/12/03	2013/12/04
60379	Credit Suisse AG – HSI R Bear CBBC Mar 2014 G	2013/12/03	2013/12/04
60438	UBS AG – HSI R Bear CBBC Apr 2014 R	2013/12/03	2013/12/04
65039	HK Bank – Sands China R Bear CBBC Aug 2014 A	2013/12/03	2013/12/04
65546	HK Bank – Hutchison R Bear CBBC Nov 2014 A	2013/12/03	2013/12/04
60336	HK Bank – TCH R Bear CBBC Sep 2014 E	2013/12/03	2013/12/04
60345	UBS AG – HSI R Bear CBBC Mar 2014 Q	2013/12/03	2013/12/04
68617	Citigroup Global Mkt H Inc. – Sands China R Bear CBBC Apr14A	2013/12/03	2013/12/04
60420	Standard Chartered Bank – HSI R Bear CBBC Apr 2014 Q	2013/12/03	2013/12/04
69291	HK Bank – CNBM R Bear CBBC Oct 2014 A	2013/12/03	2013/12/04
66006	UBS AG – Sands China R Bear CBBC May 2014 C	2013/12/03	2013/12/04
60443	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Z	2013/12/03	2013/12/04
60380	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Q	2013/12/04	2013/12/05
60423	UBS AG – HSI R Bull CBBC Dec 2014 F	2013/12/04	2013/12/05
60393	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 O	2013/12/04	2013/12/05
60074	UBS AG – HSI R Bull CBBC Jul 2014 U	2013/12/04	2013/12/05
60501	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 M	2013/12/04	2013/12/05
64928	UBS AG – China Mobile R Bear CBBC Apr 2014 D	2013/12/04	2013/12/05
60206	UBS AG – HSI R Bull CBBC May 2014 C	2013/12/04	2013/12/05
60204	Credit Suisse AG – HSI R Bull CBBC Aug 2014 J	2013/12/04	2013/12/05
60518	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 P	2013/12/04	2013/12/05
60519	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 G	2013/12/04	2013/12/05
60553	Credit Suisse AG – HSI R Bull CBBC Sep 2014 S	2013/12/04	2013/12/05
60534	UBS AG – HSI R Bull CBBC Sep 2014 O	2013/12/04	2013/12/05
60287	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 O	2013/12/04	2013/12/05
68611	UBS AG – HSI R Bull CBBC Jun 2014 Y	2013/12/04	2013/12/05
65221	UBS AG – Galaxy Ent R Bear CBBC Apr 2014 A	2013/12/04	2013/12/05
60554	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 F	2013/12/04	2013/12/05
60058	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 G	2013/12/04	2013/12/05
60522	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 E	2013/12/04	2013/12/05
60056	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 L	2013/12/04	2013/12/05
64864	Citigroup Global Mkt H Inc. – A50 China R Bear CBBC Apr 14 B	2013/12/04	2013/12/05
68605	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 L	2013/12/04	2013/12/05
68606	Credit Suisse AG – HSI R Bull CBBC Oct 2014 M	2013/12/04	2013/12/05
67413	HK Bank – Hutchison R Bear CBBC Feb 2014 A	2013/12/04	2013/12/05
60499	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 E	2013/12/04	2013/12/05
68493	UBS AG – Sinopec Corp R Bull CBBC Jul 2014 B	2013/12/04	2013/12/05
60494	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 M	2013/12/04	2013/12/05
60068	Credit Suisse AG – HSI R Bull CBBC Oct 2014 O	2013/12/04	2013/12/05
60493	UBS AG – HSI R Bear CBBC Mar 2014 O	2013/12/04	2013/12/05
60483	Credit Suisse AG – HSI R Bear CBBC Mar 2014 Z	2013/12/04	2013/12/05
60472	Credit Suisse AG – HSI R Bear CBBC Mar 2014 L	2013/12/04	2013/12/05
60469	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 P	2013/12/04	2013/12/05
60453	HK Bank – HSCEI R Bear CBBC Jul 2014 A	2013/12/04	2013/12/05
60447	HK Bank – China Life R Bear CBBC Aug 2014 B	2013/12/04	2013/12/05
68609	UBS AG – HSI R Bull CBBC Jun 2014 C	2013/12/04	2013/12/05
60542	Credit Suisse AG – HSI R Bull CBBC Sep 2014 R	2013/12/04	2013/12/05
60539	UBS AG – HSI R Bull CBBC Aug 2014 H	2013/12/04	2013/12/05
60500	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 L	2013/12/04	2013/12/05
64999	UBS AG – A50 China R Bear CBBC Mar 2014 A	2013/12/04	2013/12/05
68289	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 J	2013/12/05	2013/12/06
68333	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 U	2013/12/05	2013/12/06
60410	Standard Chartered Bank – HSI R Bull CBBC Apr 2014 B	2013/12/05	2013/12/06
68334	HK Bank – HSI R Bull CBBC Jul 2014 H	2013/12/05	2013/12/06
60715	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 H	2013/12/05	2013/12/06
60679	Credit Suisse AG – HSI R Bull CBBC Sep 2014 T	2013/12/05	2013/12/06
60684	UBS AG – HSI R Bull CBBC Jun 2014 O	2013/12/05	2013/12/06
60699	UBS AG – HSCEI R Bull CBBC Aug 2014 A	2013/12/05	2013/12/06
60683	UBS AG – HSI R Bull CBBC Oct 2014 T	2013/12/05	2013/12/06
60682	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 N	2013/12/05	2013/12/06
60681	Credit Suisse AG – HSI R Bull CBBC Sep 2014 W	2013/12/05	2013/12/06
60680	Credit Suisse AG – HSI R Bull CBBC Sep 2014 U	2013/12/05	2013/12/06
68285	UBS AG – HSI R Bull CBBC Sep 2014 M	2013/12/05	2013/12/06
67738	Credit Suisse AG – HSI R Bull CBBC Aug 2014 I	2013/12/05	2013/12/06
60105	Citigroup Global Mkt H Inc. -China Mobile R Bear CBBC Apr14A	2013/12/05	2013/12/06
60573	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Apr 2014 B	2013/12/05	2013/12/06
68243	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 N	2013/12/05	2013/12/06
60622	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 N	2013/12/05	2013/12/06
67966	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 K	2013/12/05	2013/12/06
68138	HK Bank – Sinopec Corp R Bull CBBC Jul 2014 B	2013/12/05	2013/12/06
68489	Credit Suisse AG – HSI R Bull CBBC Sep 2014 P	2013/12/05	2013/12/06
60628	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 O	2013/12/05	2013/12/06
60738	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2013/12/05	2013/12/06

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
60621	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 C	2013/12/05	2013/12/06
62044	UBS AG – CAM CSI300 R Bull CBBC Dec 2013 B	2013/12/06	2013/12/10
60883	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 K	2013/12/06	2013/12/09
60823	UBS AG – HSI R Bear CBBC Apr 2014 T	2013/12/06	2013/12/09
69210	UBS AG – HSBC R Bear CBBC Dec 2013 A	2013/12/06	2013/12/10
68404	UBS AG – HSBC R Bull CBBC Dec 2013 A	2013/12/06	2013/12/10
60616	UBS AG – HSI R Bear CBBC Mar 2014 S	2013/12/06	2013/12/09
61630	HK Bank – China Life R Bull CBBC Dec 2013 B	2013/12/06	2013/12/10
61656	HK Bank – AIA R Bull CBBC Dec 2013 C	2013/12/06	2013/12/10
60882	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 M	2013/12/06	2013/12/09
60875	Credit Suisse AG – HSI R Bull CBBC Nov 2014 G	2013/12/06	2013/12/09
60853	UBS AG – HSI R Bull CBBC Oct 2014 U	2013/12/06	2013/12/09
60860	UBS AG – HSI R Bull CBBC Jun 2014 W	2013/12/06	2013/12/09
63126	UBS AG – TCH R Bear CBBC Apr 2014 B	2013/12/06	2013/12/09
60824	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 J	2013/12/06	2013/12/09
63209	HK Bank – TCH R Bear CBBC Mar 2014 D	2013/12/06	2013/12/09
65389	HK Bank – ICBC R Bear CBBC Dec 2013 A	2013/12/06	2013/12/10
65045	HK Bank – Galaxy Ent R Bear CBBC Aug 2014 B	2013/12/06	2013/12/09
60873	Credit Suisse AG – HSI R Bull CBBC Nov 2014 F	2013/12/06	2013/12/09
60832	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 P	2013/12/06	2013/12/09
60777	Credit Suisse AG – HSI R Bear CBBC Apr 2014 P	2013/12/06	2013/12/09
60778	HK Bank – HSI R Bull CBBC Aug 2014 F	2013/12/06	2013/12/09
60802	HK Bank – HSI R Bull CBBC Jul 2014 I	2013/12/06	2013/12/09
61626	HK Bank – China Mobile R Bull CBBC Dec 2013 D	2013/12/06	2013/12/10
69166	UBS AG – Lenovo R Bull CBBC Dec 2013 C	2013/12/06	2013/12/10
60729	UBS AG – HSI R Bear CBBC Apr 2014 S	2013/12/06	2013/12/09
60722	Credit Suisse AG – HSI R Bear CBBC Mar 2014 O	2013/12/06	2013/12/09
69107	UBS AG – Yanzhou Coal R Bear CBBC Dec 2013 A	2013/12/06	2013/12/10
60740	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 B	2013/12/06	2013/12/09
61334	UBS AG – China Shenhua R Bear CBBC Dec 2013 A	2013/12/06	2013/12/10
60717	Credit Suisse AG – HSI R Bear CBBC Mar 2014 N	2013/12/09	2013/12/10
60776	Credit Suisse AG – HSI R Bear CBBC Apr 2014 O	2013/12/09	2013/12/10
60612	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 Q	2013/12/09	2013/12/10
60731	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 M	2013/12/09	2013/12/10
63206	HK Bank – TCH R Bear CBBC Apr 2014 C	2013/12/09	2013/12/10
60965	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 D	2013/12/09	2013/12/10
64616	HK Bank – TCH R Bear CBBC Jul 2014 B	2013/12/09	2013/12/10
60540	Credit Suisse AG – HSI R Bear CBBC Mar 2014 M	2013/12/09	2013/12/10
60791	HK Bank – HSI R Bear CBBC Jul 2014 E	2013/12/09	2013/12/10
60983	UBS AG – HSI R Bull CBBC Sep 2014 P	2013/12/09	2013/12/10
60620	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 A	2013/12/09	2013/12/10
60958	Credit Suisse AG – HSI R Bull CBBC Oct 2014 S	2013/12/09	2013/12/10
63125	UBS AG – TCH R Bear CBBC Jun 2014 B	2013/12/09	2013/12/10
60953	Credit Suisse AG – HSI R Bull CBBC Oct 2014 R	2013/12/09	2013/12/10
60946	UBS AG – HSI R Bear CBBC May 2014 F	2013/12/09	2013/12/10
60945	UBS AG – HSI R Bear CBBC Mar 2014 V	2013/12/09	2013/12/10
60822	UBS AG – HSI R Bear CBBC Apr 2014 X	2013/12/09	2013/12/10
60599	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 G	2013/12/09	2013/12/10
60979	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 O	2013/12/09	2013/12/10
60978	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2013/12/09	2013/12/10
60911	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 E	2013/12/09	2013/12/10
60341	HK Bank – TCH R Bear CBBC Sep 2014 F	2013/12/09	2013/12/10
60939	Credit Suisse AG – HSI R Bear CBBC Mar 2014 X	2013/12/09	2013/12/10
64679	UBS AG – TCH R Bear CBBC May 2014 C	2013/12/09	2013/12/10
64554	HK Bank – Sands China R Bear CBBC Sep 2014 A	2013/12/09	2013/12/10
60977	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 X	2013/12/09	2013/12/10
60985	UBS AG – HSI R Bull CBBC Jun 2014 Z	2013/12/09	2013/12/10
60994	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Apr 2014 C	2013/12/09	2013/12/10
60343	HK Bank – TCH R Bear CBBC Oct 2014 B	2013/12/09	2013/12/10
60937	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 M	2013/12/09	2013/12/10
61026	Credit Suisse AG – HSI R Bull CBBC Aug 2014 S	2013/12/10	2013/12/11
61025	Credit Suisse AG – HSI R Bull CBBC Aug 2014 R	2013/12/10	2013/12/11
60998	HK Bank – HSI R Bull CBBC Jun 2014 K	2013/12/10	2013/12/11
61030	UBS AG – HSI R Bull CBBC Sep 2014 Q	2013/12/10	2013/12/11
60973	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 N	2013/12/10	2013/12/11
60999	HK Bank – HSI R Bull CBBC Jul 2014 J	2013/12/10	2013/12/11
61054	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2013/12/10	2013/12/11
61029	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 E	2013/12/10	2013/12/11
67643	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 D	2013/12/11	2013/12/12
67585	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 M	2013/12/11	2013/12/12
67402	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 G	2013/12/11	2013/12/12
67386	HK Bank – HSI R Bull CBBC May 2014 G	2013/12/11	2013/12/12
67706	Credit Suisse AG – HSI R Bull CBBC Aug 2014 D	2013/12/11	2013/12/12
61120	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 L	2013/12/11	2013/12/12
67814	UBS AG – HSI R Bull CBBC Sep 2014 L	2013/12/11	2013/12/12
68485	Credit Suisse AG – HSI R Bull CBBC Sep 2014 O	2013/12/11	2013/12/12
67368	HK Bank – HSI R Bull CBBC Jun 2014 J	2013/12/11	2013/12/12
68555	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 V	2013/12/11	2013/12/12
67818	UBS AG – HSI R Bull CBBC Oct 2014 P	2013/12/11	2013/12/12
67736	Credit Suisse AG – HSI R Bull CBBC Aug 2014 H	2013/12/11	2013/12/12
61007	HK Bank – A50 R Bull CBBC Jul 2014 C	2013/12/11	2013/12/12
68067	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 A	2013/12/11	2013/12/12
68327	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 T	2013/12/11	2013/12/12
67710	Credit Suisse AG – HSI R Bull CBBC Aug 2014 M	2013/12/11	2013/12/12
68428	UBS AG – HSI R Bull CBBC Jul 2014 T	2013/12/11	2013/12/12
68447	UBS AG – Minsheng Bank R Bull CBBC Jul 2014 C	2013/12/11	2013/12/12
68429	UBS AG – HSI R Bull CBBC Jul 2014 X	2013/12/11	2013/12/12

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
61121	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Y	2013/12/11	2013/12/12
68079	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 S	2013/12/11	2013/12/12
67619	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 G	2013/12/11	2013/12/12
67580	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 G	2013/12/11	2013/12/12
60610	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 A	2013/12/11	2013/12/12
67622	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 B	2013/12/11	2013/12/12
67583	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 L	2013/12/11	2013/12/12
60611	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 B	2013/12/11	2013/12/12
61084	Credit Suisse AG – HSI R Bull CBBC Aug 2014 X	2013/12/11	2013/12/12
68332	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2013/12/11	2013/12/12
68345	HK Bank – HSCEI R Bull CBBC Jun 2014 C	2013/12/11	2013/12/12
64612	HK Bank – AIA R Bull CBBC Jul 2014 A	2013/12/11	2013/12/12
69916	HK Bank – China Shenhua R Bull CBBC Jul 2014 C	2013/12/11	2013/12/12
60073	Credit Suisse AG – HSI R Bull CBBC Oct 2014 P	2013/12/11	2013/12/12
60093	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 N	2013/12/11	2013/12/12
60876	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Jun14A	2013/12/11	2013/12/12
60115	HK Bank – China Life R Bull CBBC Aug 2014 A	2013/12/11	2013/12/12
61087	Credit Suisse AG – HSI R Bull CBBC Aug 2014 F	2013/12/11	2013/12/12
68556	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 G	2013/12/11	2013/12/12
61070	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 F	2013/12/11	2013/12/12
68743	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 K	2013/12/11	2013/12/12
60555	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Apr 2014 A	2013/12/11	2013/12/12
61078	UBS AG – HSI R Bull CBBC Jun 2014 D	2013/12/11	2013/12/12
68269	Credit Suisse AG – HSI R Bull CBBC Jul 2014 S	2013/12/11	2013/12/12
68293	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 K	2013/12/11	2013/12/12
61071	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 F	2013/12/11	2013/12/12
60286	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 H	2013/12/11	2013/12/12
61077	UBS AG – HSI R Bull CBBC Oct 2014 V	2013/12/11	2013/12/12
60445	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 L	2013/12/11	2013/12/12
68242	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 I	2013/12/11	2013/12/12
68393	Standard Chartered Bank – HSI R Bull CBBC Aug 2014 R	2013/12/11	2013/12/12
68265	Credit Suisse AG – HSI R Bull CBBC Jul 2014 N	2013/12/11	2013/12/12
68051	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Z	2013/12/11	2013/12/12
68388	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 H	2013/12/11	2013/12/12
68034	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 S	2013/12/11	2013/12/12
68607	Credit Suisse AG – HSI R Bull CBBC Oct 2014 N	2013/12/11	2013/12/12
61099	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 P	2013/12/11	2013/12/12
68268	Credit Suisse AG – HSI R Bull CBBC Jul 2014 P	2013/12/11	2013/12/12
61023	HK Bank – China Life R Bull CBBC Sep 2014 B	2013/12/11	2013/12/12
68344	HK Bank – HSCEI R Bull CBBC May 2014 B	2013/12/11	2013/12/12
60912	HK Bank – HSCEI R Bull CBBC Jul 2014 E	2013/12/11	2013/12/12
68281	UBS AG – HSI R Bull CBBC Oct 2014 R	2013/12/11	2013/12/12
64905	UBS AG – GCL-Poly R Bull CBBC Dec 2014 A	2013/12/11	2013/12/12
61088	Credit Suisse AG – HSI R Bull CBBC Aug 2014 P	2013/12/11	2013/12/12
61069	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 E	2013/12/11	2013/12/12
61103	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 Q	2013/12/11	2013/12/12
68284	UBS AG – HSI R Bull CBBC Aug 2014 C	2013/12/11	2013/12/12
60869	UBS AG – HSCEI R Bull CBBC Jul 2014 E	2013/12/11	2013/12/12
67783	UBS AG – HSI R Bull CBBC Sep 2014 I	2013/12/12	2013/12/13
68077	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 R	2013/12/12	2013/12/13
67900	UBS AG – HSCEI R Bull CBBC Sep 2014 B	2013/12/12	2013/12/13
68014	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Y	2013/12/12	2013/12/13
68548	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 F	2013/12/12	2013/12/13
60467	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 D	2013/12/12	2013/12/13
61126	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Z	2013/12/12	2013/12/13
61128	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 G	2013/12/12	2013/12/13
61131	UBS AG – HSI R Bull CBBC Aug 2014 I	2013/12/12	2013/12/13
61135	UBS AG – HSI R Bull CBBC Aug 2014 J	2013/12/12	2013/12/13
61138	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 G	2013/12/12	2013/12/13
61147	UBS AG – A50 China R Bull CBBC Jun 2014 B	2013/12/12	2013/12/13
61148	UBS AG – CC Bank R Bull CBBC Jul 2014 D	2013/12/12	2013/12/13
61179	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Y	2013/12/12	2013/12/13
68250	Credit Suisse AG – HSI R Bull CBBC Jul 2014 L	2013/12/12	2013/12/13
61124	Credit Suisse AG – HSI R Bull CBBC Aug 2014 T	2013/12/12	2013/12/13
67704	Credit Suisse AG – HSI R Bull CBBC Jul 2014 J	2013/12/12	2013/12/13
65440	UBS AG – HSBC R Bull CBBC Jun 2014 C	2013/12/12	2013/12/13
61125	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 O	2013/12/12	2013/12/13
60128	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 I	2013/12/12	2013/12/13
60710	UBS AG – CSOP A50 ETF R Bull CBBC Jul 2014 A	2013/12/12	2013/12/13
64730	HK Bank – GCL-Poly Energy R Bull CBBC Oct 2014 A	2013/12/12	2013/12/13
61061	HK Bank – Hutchison R Bull CBBC Jul 2014 C	2013/12/12	2013/12/13
68427	UBS AG – HSI R Bull CBBC Aug 2014 A	2013/12/12	2013/12/13
68241	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 C	2013/12/12	2013/12/13
67352	HK Bank – HSI R Bull CBBC Jun 2014 I	2013/12/12	2013/12/13
67365	HK Bank – HSI R Bull CBBC Jul 2014 G	2013/12/12	2013/12/13
67398	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 F	2013/12/12	2013/12/13
67407	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 I	2013/12/12	2013/12/13
67577	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Y	2013/12/12	2013/12/13
67618	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 A	2013/12/12	2013/12/13
67642	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 C	2013/12/12	2013/12/13
68319	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 B	2013/12/13	2013/12/16
68155	HK Bank – HSCEI R Bull CBBC Jun 2014 B	2013/12/13	2013/12/16
68295	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 T	2013/12/13	2013/12/16
68277	UBS AG – HSI R Bull CBBC Aug 2014 Z	2013/12/13	2013/12/16
68360	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2014 B	2013/12/13	2013/12/16
60446	HK Bank – HSI R Bull CBBC Sep 2014 B	2013/12/13	2013/12/16
68587	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 M	2013/12/13	2013/12/16

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
68426	UBS AG – HSI R Bull CBBC Jul 2014 S	2013/12/13	2013/12/16
68240	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 H	2013/12/13	2013/12/16
68484	Credit Suisse AG – HSI R Bull CBBC Sep 2014 N	2013/12/13	2013/12/16
68355	HK Bank – A50 R Bull CBBC Aug 2014 B	2013/12/13	2013/12/16
67559	HK Bank – CC Bank R Bear CBBC Dec 2013 A	2013/12/13	2013/12/17
65570	HK Bank – BOCL R Bear CBBC Dec 2013 A	2013/12/13	2013/12/17
61159	UBS AG – Galaxy Ent R Bear CBBC Jun 2014 B	2013/12/13	2013/12/16
65092	Bank of East Asia – Galaxy Ent R Bear CBBC Apr 2014 A	2013/12/13	2013/12/16
66752	UBS AG – Hutchison R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
64771	UBS AG – BOCL R Bear CBBC Dec 2013 A	2013/12/13	2013/12/17
61206	HK Bank – China Mobile R Bull CBBC Sep 2014 A	2013/12/13	2013/12/16
60541	HK Bank – Hutchison R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
68689	UBS AG – China Shenhua R Bear CBBC Dec 2013 B	2013/12/13	2013/12/17
68158	UBS AG – AIA R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
61732	UBS AG – COVS R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
60966	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2014 A	2013/12/13	2013/12/16
64478	HK Bank – Wharf Holdings R Bull CBBC Aug 2014 A	2013/12/13	2013/12/16
63993	HK Bank – Galaxy Ent R Bear CBBC Jun 2014 A	2013/12/13	2013/12/16
62903	UBS AG – Lenovo R Bull CBBC Dec 2013 E	2013/12/13	2013/12/17
61517	UBS AG – Petrochina R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
61235	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 D	2013/12/13	2013/12/16
62901	UBS AG – China Mobile R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
61440	UBS AG – China Mobile R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
68136	HK Bank – Minsheng Bank R Bull CBBC Jul 2014 B	2013/12/13	2013/12/16
69882	UBS AG – Hutchison R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
60311	UBS AG – Wharf Holdings R Bull CBBC Dec 2013 A	2013/12/13	2013/12/16
68402	UBS AG – CNOOC R Bull CBBC Dec 2013 A	2013/12/13	2013/12/17
69423	UBS AG – TCH R Bull CBBC Dec 2013 C	2013/12/13	2013/12/17
61518	UBS AG – Sinopec Corp R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
61233	Credit Suisse AG – HSI R Bull CBBC Sep 2014 X	2013/12/13	2013/12/16
61230	UBS AG – HSI R Bull CBBC Dec 2014 G	2013/12/13	2013/12/16
69206	UBS AG – TCH R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
61211	Credit Suisse AG – HSI R Bull CBBC Sep 2014 V	2013/12/13	2013/12/16
61208	UBS AG – HSI R Bull CBBC Oct 2014 W	2013/12/13	2013/12/16
61207	HK Bank – A50 R Bull CBBC Sep 2014 C	2013/12/13	2013/12/16
61242	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 R	2013/12/13	2013/12/16
67631	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 B	2013/12/13	2013/12/16
68127	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC May 14 B	2013/12/13	2013/12/16
60576	HK Bank – China Mobile R Bull CBBC Oct 2014 C	2013/12/13	2013/12/16
68005	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 K	2013/12/13	2013/12/16
67963	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 N	2013/12/13	2013/12/16
67960	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 F	2013/12/13	2013/12/16
67684	Credit Suisse AG – HSI R Bull CBBC Jul 2014 I	2013/12/13	2013/12/16
65920	Credit Suisse AG – HSI R Bull CBBC Nov 2014 E	2013/12/13	2013/12/16
67616	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 F	2013/12/13	2013/12/16
67575	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 H	2013/12/13	2013/12/16
63108	HK Bank – Galaxy Ent R Bull CBBC Dec 2013 B	2013/12/13	2013/12/17
67395	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 E	2013/12/13	2013/12/16
67348	HK Bank – HSI R Bull CBBC Jul 2014 F	2013/12/13	2013/12/16
67114	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 X	2013/12/13	2013/12/16
67102	UBS AG – HSI R Bull CBBC Sep 2014 K	2013/12/13	2013/12/16
65946	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 Q	2013/12/13	2013/12/16
60704	UBS AG – HSCEI R Bull CBBC Jul 2014 D	2013/12/13	2013/12/16
65935	UBS AG – HSI R Bull CBBC Oct 2014 L	2013/12/13	2013/12/16
65980	UBS AG – HSI R Bull CBBC Jun 2014 N	2013/12/13	2013/12/16
65970	Credit Suisse AG – HSI R Bull CBBC Oct 2014 K	2013/12/13	2013/12/16
67071	Credit Suisse AG – HSI R Bull CBBC Aug 2014 Z	2013/12/13	2013/12/16
68216	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2014 D	2013/12/16	2013/12/17
61294	Credit Suisse AG – HSI R Bull CBBC Oct 2014 T	2013/12/16	2013/12/17
61296	Credit Suisse AG – HSI R Bull CBBC Oct 2014 U	2013/12/16	2013/12/17
61297	UBS AG – HSI R Bull CBBC Aug 2014 K	2013/12/16	2013/12/17
61298	UBS AG – HSI R Bull CBBC Sep 2014 T	2013/12/16	2013/12/17
61300	UBS AG – HSCEI R Bull CBBC Jul 2014 F	2013/12/16	2013/12/17
61344	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 K	2013/12/16	2013/12/17
61250	HK Bank – HSI R Bull CBBC Jul 2014 K	2013/12/16	2013/12/17
69991	HK Bank – CNOOC R Bull CBBC Apr 2014 B	2013/12/16	2013/12/17
61345	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 P	2013/12/16	2013/12/17
65939	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 Q	2013/12/16	2013/12/17
68121	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 14 D	2013/12/16	2013/12/17
68130	HK Bank – ICBC R Bull CBBC Jul 2014 C	2013/12/16	2013/12/17
61309	UBS AG – China Life R Bull CBBC Aug 2014 B	2013/12/16	2013/12/17
65971	Credit Suisse AG – HSI R Bull CBBC Oct 2014 L	2013/12/16	2013/12/17
60705	UBS AG – A50 China R Bull CBBC May 2014 A	2013/12/16	2013/12/17
60416	Standard Chartered Bank – HSI R Bull CBBC Apr 2014 C	2013/12/16	2013/12/17
61292	Standard Chartered Bank – HSI R Bull CBBC Jun 2014 I	2013/12/16	2013/12/17
65979	UBS AG – HSI R Bull CBBC Oct 2014 M	2013/12/16	2013/12/17
61253	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2014 B	2013/12/16	2013/12/17
64682	UBS AG – Galaxy Ent R Bear CBBC Jun 2014 A	2013/12/16	2013/12/17
64636	HK Bank – Lenovo R Bull CBBC Dec 2013 A	2013/12/16	2013/12/18
65969	HK Bank – A50 R Bull CBBC Jun 2014 B	2013/12/16	2013/12/17
63997	HK Bank – Galaxy Ent R Bear CBBC Oct 2014 B	2013/12/16	2013/12/17
68002	J P Morgan SP BV – HSI R Bull CBBC Dec 2014 E	2013/12/16	2013/12/17
61243	HK Bank – HSI R Bull CBBC Aug 2014 G	2013/12/16	2013/12/17
64568	UBS AG – Sands China R Bear CBBC May 2014 B	2013/12/17	2013/12/18
62675	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 S	2013/12/17	2013/12/18
61349	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14Q	2013/12/17	2013/12/18
62684	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 T	2013/12/17	2013/12/18

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
62698	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 B	2013/12/17	2013/12/18
62648	Credit Suisse AG – HSI R Bull CBBC Oct 2014 V	2013/12/17	2013/12/18
62592	UBS AG – China Mobile R Bull CBBC Sep 2014 A	2013/12/17	2013/12/18
68562	HK Bank – PetCh R Bull CBBC Jun 2014 B	2013/12/17	2013/12/18
63294	HK Bank – Evergrande R Bull CBBC Dec 2013 A	2013/12/17	2013/12/19
61098	UBS AG – Petrochina R Bull CBBC Jun 2014 B	2013/12/17	2013/12/18
62379	UBS AG – HSI R Bull CBBC Aug 2014 N	2013/12/17	2013/12/18
68534	HK Bank – Sands China R Bull CBBC Dec 2013 C	2013/12/17	2013/12/19
68294	HK Bank – Sands China R Bull CBBC Dec 2013 B	2013/12/17	2013/12/19
62254	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14S	2013/12/17	2013/12/18
67140	HK Bank – China Shenhua R Bear CBBC Dec 2013 B	2013/12/17	2013/12/19
68451	UBS AG – ICBC R Bull CBBC Jul 2014 C	2013/12/17	2013/12/18
62243	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul 14R	2013/12/17	2013/12/18
62335	UBS AG – HSI R Bull CBBC Nov 2014 D	2013/12/17	2013/12/18
60809	HK Bank – Cheung Kong R Bull CBBC Jun 2014 B	2013/12/17	2013/12/18
62336	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 H	2013/12/17	2013/12/18
62378	UBS AG – HSI R Bull CBBC Aug 2014 M	2013/12/17	2013/12/18
66930	HK Bank – Sands China R Bull CBBC Dec 2013 A	2013/12/17	2013/12/19
62930	UBS AG – HSI R Bull CBBC Oct 2014 Y	2013/12/18	2013/12/19
62814	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 O	2013/12/18	2013/12/19
62824	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 P	2013/12/18	2013/12/19
62831	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 Q	2013/12/18	2013/12/19
62862	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 J	2013/12/18	2013/12/19
62931	Credit Suisse AG – HSI R Bull CBBC Sep 2014 C	2013/12/18	2013/12/19
62940	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 N	2013/12/18	2013/12/19
62781	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 M	2013/12/18	2013/12/19
63054	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 O	2013/12/18	2013/12/19
62808	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 W	2013/12/18	2013/12/19
63083	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 K	2013/12/18	2013/12/19
60926	HK Bank – Kunlun Energy R Bull CBBC Sep 2014 B	2013/12/18	2013/12/19
63053	UBS AG – HSI R Bull CBBC Jun 2014 M	2013/12/18	2013/12/19
62749	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 L	2013/12/18	2013/12/19
62629	UBS AG – Kunlun Energy R Bull CBBC Jun 2014 B	2013/12/18	2013/12/19
62716	HK Bank – TCH R Bull CBBC Sep 2014 H	2013/12/18	2013/12/19
62736	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 K	2013/12/18	2013/12/19
62776	Credit Suisse AG – HSI R Bull CBBC Sep 2014 B	2013/12/18	2013/12/19
62751	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 T	2013/12/18	2013/12/19
62752	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 U	2013/12/18	2013/12/19
62753	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 V	2013/12/18	2013/12/19
62755	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 H	2013/12/18	2013/12/19
62758	UBS AG – HSI R Bull CBBC Jul 2014 M	2013/12/18	2013/12/19
62764	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Y	2013/12/18	2013/12/19
62760	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 I	2013/12/18	2013/12/19
62761	Credit Suisse AG – HSI R Bull CBBC Sep 2014 Z	2013/12/18	2013/12/19
62762	Credit Suisse AG – HSI R Bull CBBC Sep 2014 A	2013/12/18	2013/12/19
62756	UBS AG – HSI R Bull CBBC Aug 2014 L	2013/12/18	2013/12/19
63300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 V	2013/12/19	2013/12/20
63389	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 Z	2013/12/19	2013/12/20
63246	UBS AG – HSI R Bull CBBC Sep 2014 U	2013/12/19	2013/12/20
63248	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 O	2013/12/19	2013/12/20
63250	Credit Suisse AG – HSI R Bull CBBC Aug 2014 L	2013/12/19	2013/12/20
63254	Credit Suisse AG – HSI R Bull CBBC Aug 2014 O	2013/12/19	2013/12/20
63256	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 C	2013/12/19	2013/12/20
63384	Credit Suisse AG – HSI R Bear CBBC Apr 2014 J	2013/12/19	2013/12/20
63311	UBS AG – HSI R Bear CBBC Mar 2014 G	2013/12/19	2013/12/20
63297	Standard Chartered Bank – HSI R Bear CBBC May 2014 O	2013/12/19	2013/12/20
63305	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 W	2013/12/19	2013/12/20
63341	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Z	2013/12/19	2013/12/20
63237	UBS AG – HSI R Bull CBBC Sep 2014 R	2013/12/19	2013/12/20
63362	Credit Suisse AG – HSI R Bear CBBC Apr 2014 I	2013/12/19	2013/12/20
63313	UBS AG – HSI R Bear CBBC Apr 2014 F	2013/12/19	2013/12/20
63293	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 Z	2013/12/19	2013/12/20
63096	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 X	2013/12/19	2013/12/20
61097	UBS AG – China Mobile R Bull CBBC Oct 2014 C	2013/12/19	2013/12/20
61044	Citigroup Global Mkt H Inc. – Ping An R Bull CBBC Jun 2014 B	2013/12/19	2013/12/20
61038	UBS AG – Ping An R Bull CBBC Oct 2014 C	2013/12/19	2013/12/20
60988	Citigroup Global Mkt H Inc. -China Mobile R Bull CBBC Jun14E	2013/12/19	2013/12/20
62833	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 U	2013/12/19	2013/12/20
62994	Credit Suisse AG – HSI R Bear CBBC Mar 2014 M	2013/12/19	2013/12/20
63051	UBS AG – HSI R Bear CBBC Mar 2014 F	2013/12/19	2013/12/20
60504	UBS AG – BOCL R Bull CBBC Sep 2014 A	2013/12/19	2013/12/20
63088	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2014 C	2013/12/19	2013/12/20
63227	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 L	2013/12/19	2013/12/20
63135	HK Bank – HSI R Bear CBBC Jul 2014 K	2013/12/19	2013/12/20
63197	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 C	2013/12/19	2013/12/20
63200	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 C	2013/12/19	2013/12/20
63201	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 X	2013/12/19	2013/12/20
63202	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 U	2013/12/19	2013/12/20
60867	UBS AG – HSCEI R Bull CBBC Sep 2014 C	2013/12/19	2013/12/20
67029	Standard Chartered Bank – HSI R Bull CBBC Sep 2014 A	2013/12/19	2013/12/20
63082	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 W	2013/12/19	2013/12/20
65950	HK Bank – HSI R Bull CBBC Aug 2014 C	2013/12/19	2013/12/20
67048	Credit Suisse AG – HSI R Bull CBBC Aug 2014 K	2013/12/19	2013/12/20
67009	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 I	2013/12/19	2013/12/20
67007	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 L	2013/12/19	2013/12/20
66088	Credit Suisse AG – HSI R Bull CBBC Sep 2014 J	2013/12/19	2013/12/20
66085	Credit Suisse AG – HSI R Bull CBBC Sep 2014 I	2013/12/19	2013/12/20

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
66080	UBS AG – HSI R Bull CBBC Jun 2014 P	2013/12/19	2013/12/20
66079	UBS AG – HSI R Bull CBBC Sep 2014 Y	2013/12/19	2013/12/20
66071	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 E	2013/12/19	2013/12/20
66057	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 B	2013/12/19	2013/12/20
66050	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 A	2013/12/19	2013/12/20
67050	Credit Suisse AG – HSI R Bull CBBC Aug 2014 V	2013/12/19	2013/12/20
65973	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 S	2013/12/19	2013/12/20
66361	UBS AG – Evergrande R Bull CBBC Jul 2014 A	2013/12/19	2013/12/20
65943	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 S	2013/12/19	2013/12/20
65937	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 I	2013/12/19	2013/12/20
65934	UBS AG – HSI R Bull CBBC Sep 2014 X	2013/12/19	2013/12/20
65916	Credit Suisse AG – HSI R Bull CBBC Nov 2014 D	2013/12/19	2013/12/20
65915	Credit Suisse AG – HSI R Bull CBBC Nov 2014 C	2013/12/19	2013/12/20
66592	HK Bank – Wharf Holdings R Bull CBBC Dec 2013 A	2013/12/19	2013/12/20
60309	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2014 V	2013/12/19	2013/12/20
61492	HK Bank – China Mobile R Bull CBBC Dec 2013 C	2013/12/19	2013/12/23
62790	HK Bank – A50 R Bull CBBC Apr 2014 A	2013/12/19	2013/12/20
62738	UBS AG – A50 China R Bull CBBC Mar 2014 D	2013/12/19	2013/12/20
65974	UBS AG – HSI R Bull CBBC Aug 2014 X	2013/12/19	2013/12/20
68346	HK Bank – HSCEI R Bull CBBC Jul 2014 D	2013/12/19	2013/12/20
60108	HK Bank – China Life R Bull CBBC Jul 2014 G	2013/12/19	2013/12/20
68495	Citigroup Global Mkt H Inc. – HKEx R Bull CBBC May 2014 B	2013/12/19	2013/12/20
68288	UBS AG – HSI R Bull CBBC Nov 2014 B	2013/12/19	2013/12/20
68203	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Sep 2014 A	2013/12/19	2013/12/20
68169	HK Bank – CC Bank R Bull CBBC Jul 2014 D	2013/12/19	2013/12/20
68117	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC May14A	2013/12/19	2013/12/20
67615	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 M	2013/12/19	2013/12/20
60125	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 H	2013/12/19	2013/12/20
67569	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 E	2013/12/19	2013/12/20
67344	HK Bank – HSI R Bull CBBC Jul 2014 E	2013/12/19	2013/12/20
68543	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 A	2013/12/19	2013/12/20
67568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 W	2013/12/19	2013/12/20
67095	UBS AG – HSI R Bull CBBC Jul 2014 R	2013/12/19	2013/12/20
67113	J P Morgan SP BV – HSI R Bull CBBC Nov 2014 J	2013/12/19	2013/12/20
67346	HK Bank – HSI R Bull CBBC Aug 2014 D	2013/12/19	2013/12/20
67406	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 H	2013/12/19	2013/12/20
67533	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 V	2013/12/19	2013/12/20
63462	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 K	2013/12/20	2013/12/23
67767	HK Bank – CNOOC R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
63463	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 M	2013/12/20	2013/12/23
67626	HK Bank – AIA R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
63479	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 Y	2013/12/20	2013/12/23
67133	HK Bank – China Life R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
66817	HK Bank – TCH R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
63460	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 V	2013/12/20	2013/12/23
68665	HK Bank – PetCh R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
66664	HK Bank – HKEx R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
68154	HK Bank – COVS R Bull CBBC Mar 2014 A	2013/12/20	2013/12/23
63477	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 X	2013/12/20	2013/12/23
66494	HK Bank – COVS R Bull CBBC Dec 2013 B	2013/12/20	2013/12/24
66491	HK Bank – Ping An R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
63493	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2014 Z	2013/12/20	2013/12/23
63494	UBS AG – HSI R Bull CBBC Jun 2014 B	2013/12/20	2013/12/23
63497	UBS AG – HSI R Bull CBBC Aug 2014 P	2013/12/20	2013/12/23
63427	Standard Chartered Bank – HSI R Bull CBBC Dec 2014 P	2013/12/20	2013/12/23
69180	HK Bank – A50 R Bull CBBC Mar 2014 D	2013/12/20	2013/12/23
67090	UBS AG – HSI R Bull CBBC Jul 2014 P	2013/12/20	2013/12/23
60363	HK Bank – Li & Fung R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
60796	HK Bank – AIA R Bull CBBC Dec 2013 B	2013/12/20	2013/12/24
63513	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Z	2013/12/20	2013/12/23
60765	HK Bank – China Shenhua R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
64988	HK Bank – CUni R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
60099	UBS AG – SHK Ppt R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
64699	HK Bank – CSOP A50 ETF R Bull CBBC Jul 2014 A	2013/12/20	2013/12/23
62688	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2014 B	2013/12/20	2013/12/23
60124	HK Bank – Ping An R Bull CBBC Aug 2014 A	2013/12/20	2013/12/23
61629	HK Bank – ICBC R Bull CBBC Dec 2013 B	2013/12/20	2013/12/24
61627	HK Bank – CC Bank R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
61514	UBS AG – Ping An R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
61446	UBS AG – HSBC R Bull CBBC Dec 2013 C	2013/12/20	2013/12/24
65696	HK Bank – China Mobile R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
61102	HK Bank – Sinopec Corp R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
62628	UBS AG – COVS R Bull CBBC Jul 2014 A	2013/12/20	2013/12/23
63171	Credit Suisse AG – A50 China R Bull CBBC Jan 2014 F	2013/12/20	2013/12/23
68580	HK Bank – China Life R Bull CBBC Jul 2014 F	2013/12/20	2013/12/23
68629	UBS AG – A50 China R Bull CBBC Feb 2014 D	2013/12/20	2013/12/23
69202	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2014 C	2013/12/20	2013/12/23
63512	UBS AG – Sands China R Bull CBBC Aug 2014 C	2013/12/20	2013/12/23
64846	HK Bank – A50 R Bull CBBC Jul 2014 B	2013/12/20	2013/12/23
60359	HK Bank – Li & Fung R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
64866	Citigroup Global Mkt H Inc. – A50 China R Bull CBBC Apr 14 C	2013/12/20	2013/12/23
63400	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 F	2013/12/20	2013/12/23
63405	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 G	2013/12/20	2013/12/23
63414	Credit Suisse AG – HSI R Bull CBBC Oct 2014 X	2013/12/20	2013/12/23
68680	UBS AG – CNOOC R Bull CBBC Jun 2014 A	2013/12/20	2013/12/23
68632	UBS AG – CSOP A50 ETF R Bull CBBC Apr 2014 B	2013/12/20	2013/12/23
63419	Credit Suisse AG – HSI R Bull CBBC Oct 2014 Y	2013/12/20	2013/12/23

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
67033	Credit Suisse AG – HSI R Bull CBBC Aug 2014 G	2013/12/20	2013/12/23
68163	HK Bank – HSCEI R Bull CBBC Jul 2014 C	2013/12/20	2013/12/23
63495	UBS AG – HSI R Bull CBBC Oct 2014 Z	2013/12/20	2013/12/23
68116	Citigroup Global Mkt H Inc. -CSOP A50 ETF R Bull CBBC Apr14B	2013/12/20	2013/12/23
60116	HK Bank – CNBM R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
60913	HK Bank – A50 R Bull CBBC Sep 2014 B	2013/12/20	2013/12/23
67527	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2014 X	2013/12/20	2013/12/23
66095	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jul14 A	2013/12/20	2013/12/23
65942	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 R	2013/12/20	2013/12/23
68185	HK Bank – China Mobile R Bull CBBC Dec 2013 A	2013/12/20	2013/12/24
60545	HK Bank – Minsheng Bank R Bear CBBC Dec 2013 A	2013/12/20	2013/12/24
63514	Credit Suisse AG – HSI R Bull CBBC Oct 2014 A	2013/12/20	2013/12/23
68563	HK Bank – BOCL R Bull CBBC Jul 2014 B	2013/12/23	2013/12/24
63566	Credit Suisse AG – HSI R Bull CBBC Sep 2014 D	2013/12/23	2013/12/24
63558	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Jun14 N	2013/12/23	2013/12/24
63697	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2014 N	2013/12/23	2013/12/24
63631	Standard Chartered Bank – HSI R Bull CBBC Nov 2014 C	2013/12/23	2013/12/24
63614	UBS AG – HSI R Bull CBBC Jul 2014 Y	2013/12/23	2013/12/24
63609	UBS AG – HSI R Bull CBBC Sep 2014 V	2013/12/23	2013/12/24
63595	Credit Suisse AG – HSI R Bull CBBC Sep 2014 G	2013/12/23	2013/12/24
63572	Credit Suisse AG – HSI R Bull CBBC Sep 2014 E	2013/12/23	2013/12/24
63834	J P Morgan SP BV – HSI R Bull CBBC Oct 2014 H	2013/12/23	2013/12/24
64058	UBS AG – HSI R Bull CBBC Jul 2014 A	2013/12/24	2013/12/27
63998	Credit Suisse AG – HSI R Bull CBBC Nov 2014 H	2013/12/24	2013/12/27
64001	Credit Suisse AG – HSI R Bull CBBC Nov 2014 I	2013/12/24	2013/12/27
64004	Credit Suisse AG – HSI R Bear CBBC May 2014 G	2013/12/24	2013/12/27
63893	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr 14D	2013/12/24	2013/12/27
64056	UBS AG – HSI R Bear CBBC Mar 2014 V	2013/12/24	2013/12/27
64013	Credit Suisse AG – HSI R Bear CBBC May 2014 H	2013/12/24	2013/12/27
63741	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2014 Y	2013/12/24	2013/12/27
63811	UBS AG – HSI R Bear CBBC Mar 2014 Q	2013/12/24	2013/12/27
63832	Credit Suisse AG – HSI R Bear CBBC Apr 2014 M	2013/12/24	2013/12/27
63664	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 M	2013/12/24	2013/12/27
64085	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 N	2013/12/24	2013/12/27
63864	HK Bank – HSI R Bear CBBC Sep 2014 E	2013/12/24	2013/12/27
63688	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 I	2013/12/24	2013/12/27
64072	UBS AG – HSI R Bear CBBC Mar 2014 S	2013/12/24	2013/12/27
63699	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 E	2013/12/24	2013/12/27
62303	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 X	2013/12/27	2013/12/31
64163	UBS AG – HSI R Bear CBBC Apr 2014 E	2013/12/27	2013/12/30
65557	HK Bank – HSCEI R Bear CBBC Dec 2013 A	2013/12/27	2013/12/31
64263	Credit Suisse AG – HSI R Bull CBBC Aug 2014 N	2013/12/27	2013/12/30
63596	UBS AG – HSI R Bear CBBC Dec 2013 F	2013/12/27	2013/12/31
64252	J P Morgan SP BV – HSI R Bull CBBC Sep 2014 G	2013/12/27	2013/12/30
63597	UBS AG – HSI R Bear CBBC Dec 2013 I	2013/12/27	2013/12/31
63598	UBS AG – HSI R Bear CBBC Dec 2013 J	2013/12/27	2013/12/31
62887	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 Y	2013/12/27	2013/12/31
62302	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 W	2013/12/27	2013/12/31
62778	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 R	2013/12/27	2013/12/31
62301	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 V	2013/12/27	2013/12/31
64159	UBS AG – HSI R Bear CBBC Apr 2014 Q	2013/12/27	2013/12/30
62265	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 T	2013/12/27	2013/12/31
62264	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 S	2013/12/27	2013/12/31
62263	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 R	2013/12/27	2013/12/31
62259	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 Q	2013/12/27	2013/12/31
62678	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 V	2013/12/27	2013/12/31
62646	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 K	2013/12/27	2013/12/31
64265	UBS AG – HSI R Bull CBBC Nov 2014 E	2013/12/27	2013/12/30
63531	UBS AG – HSI R Bear CBBC Dec 2013 G	2013/12/27	2013/12/31
63529	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 E	2013/12/27	2013/12/30
62556	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 S	2013/12/27	2013/12/31
63704	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 F	2013/12/27	2013/12/30
63470	Credit Suisse AG – HSI R Bear CBBC Mar 2014 N	2013/12/27	2013/12/30
63466	UBS AG – HSI R Bear CBBC Apr 2014 D	2013/12/27	2013/12/30
63810	UBS AG – HSI R Bear CBBC Apr 2014 O	2013/12/27	2013/12/30
63817	Credit Suisse AG – HSI R Bear CBBC Apr 2014 L	2013/12/27	2013/12/30
63836	J P Morgan SP BV – HSI R Bear CBBC Mar 2014 M	2013/12/27	2013/12/30
63844	UBS AG – HSI R Bear CBBC Mar 2014 O	2013/12/27	2013/12/30
62306	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 Z	2013/12/27	2013/12/31
63530	UBS AG – HSI R Bear CBBC Dec 2013 P	2013/12/27	2013/12/31
63324	UBS AG – TCH R Bear CBBC Jun 2014 G	2013/12/27	2013/12/30
64155	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 F	2013/12/27	2013/12/30
63532	UBS AG – HSI R Bear CBBC Dec 2013 B	2013/12/27	2013/12/31
63533	UBS AG – HSI R Bear CBBC Dec 2013 N	2013/12/27	2013/12/31
63534	UBS AG – HSI R Bear CBBC Dec 2013 O	2013/12/27	2013/12/31
63585	Credit Suisse AG – HSI R Bear CBBC Dec 2013 W	2013/12/27	2013/12/31
64089	HK Bank – TCH R Bear CBBC Sep 2014 G	2013/12/27	2013/12/30
63586	Credit Suisse AG – HSI R Bear CBBC Dec 2013 E	2013/12/27	2013/12/31
63592	UBS AG – HSI R Bear CBBC Dec 2013 Z	2013/12/27	2013/12/31
64121	HK Bank – HSI R Bear CBBC Jun 2014 N	2013/12/27	2013/12/30
63593	UBS AG – HSI R Bear CBBC Dec 2013 E	2013/12/27	2013/12/31
64136	Credit Suisse AG – HSI R Bear CBBC Apr 2014 N	2013/12/27	2013/12/30
64140	Credit Suisse AG – HSI R Bear CBBC Apr 2014 H	2013/12/27	2013/12/30
62304	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 Y	2013/12/27	2013/12/31
65127	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 J	2013/12/27	2013/12/31
61185	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 G	2013/12/27	2013/12/31
62319	UBS AG – HSI R Bull CBBC Dec 2013 X	2013/12/27	2013/12/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64129	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 I	2013/12/27	2013/12/31
62318	UBS AG – HSI R Bull CBBC Dec 2013 S	2013/12/27	2013/12/31
64153	HK Bank – HSI R Bull CBBC Dec 2013 N	2013/12/27	2013/12/31
64158	HK Bank – HSI R Bull CBBC Dec 2013 O	2013/12/27	2013/12/31
64168	HK Bank – HSCEI R Bull CBBC Dec 2013 D	2013/12/27	2013/12/31
64234	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 D	2013/12/27	2013/12/31
64237	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 E	2013/12/27	2013/12/31
64018	Credit Suisse AG – HSI R Bull CBBC Dec 2013 T	2013/12/27	2013/12/31
64255	HK Bank – HSI R Bull CBBC Dec 2013 Q	2013/12/27	2013/12/31
65940	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 D	2013/12/27	2013/12/31
66102	UBS AG – HSI R Bull CBBC Dec 2013 L	2013/12/27	2013/12/31
61789	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 S	2013/12/27	2013/12/31
61021	HK Bank – TCH R Bear CBBC Aug 2014 C	2013/12/27	2013/12/30
62554	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 R	2013/12/27	2013/12/31
68106	HK Bank – HSI R Bear CBBC Dec 2013 C	2013/12/27	2013/12/31
68109	HK Bank – HSI R Bear CBBC Dec 2013 D	2013/12/27	2013/12/31
66777	HK Bank – Hutchison R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
60780	UBS AG – AIA R Bull CBBC Dec 2013 B	2013/12/27	2013/12/31
61040	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 F	2013/12/27	2013/12/31
61080	HK Bank – HSI R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
64246	HK Bank – HSI R Bull CBBC Dec 2013 P	2013/12/27	2013/12/31
63321	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 B	2013/12/27	2013/12/31
63707	HK Bank – HSI R Bear CBBC Dec 2013 A	2013/12/27	2013/12/31
62638	UBS AG – HSI R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
62742	UBS AG – HSI R Bull CBBC Dec 2013 D	2013/12/27	2013/12/31
62818	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
62842	UBS AG – HSI R Bull CBBC Dec 2013 E	2013/12/27	2013/12/31
62877	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 D	2013/12/27	2013/12/31
62909	UBS AG – HSI R Bull CBBC Dec 2013 H	2013/12/27	2013/12/31
62957	UBS AG – HSI R Bull CBBC Dec 2013 B	2013/12/27	2013/12/31
62328	UBS AG – HSI R Bull CBBC Dec 2013 I	2013/12/27	2013/12/31
64020	Credit Suisse AG – HSI R Bull CBBC Dec 2013 W	2013/12/27	2013/12/31
63069	UBS AG – HSI R Bull CBBC Dec 2013 F	2013/12/27	2013/12/31
66284	SGA Societe Generale Acceptance N.V.-HSI R Bull CBBC Dec13 E	2013/12/27	2013/12/31
63322	UBS AG – HSI R Bull CBBC Dec 2013 J	2013/12/27	2013/12/31
62323	UBS AG – HSI R Bull CBBC Dec 2013 R	2013/12/27	2013/12/31
63945	Credit Suisse AG – HSI R Bull CBBC Dec 2013 Q	2013/12/27	2013/12/31
63947	Credit Suisse AG – HSI R Bull CBBC Dec 2013 R	2013/12/27	2013/12/31
63955	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 H	2013/12/27	2013/12/31
63956	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 E	2013/12/27	2013/12/31
63957	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 F	2013/12/27	2013/12/31
63958	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 I	2013/12/27	2013/12/31
62320	UBS AG – HSI R Bull CBBC Dec 2013 Q	2013/12/27	2013/12/31
63978	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
62326	UBS AG – HSI R Bull CBBC Dec 2013 G	2013/12/27	2013/12/31
61915	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 N	2013/12/27	2013/12/31
60773	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 F	2013/12/27	2013/12/31
61382	Standard Chartered Bank – HSI R Bull CBBC Dec 2013 G	2013/12/27	2013/12/31
60015	HK Bank – SHK Ppt R Bear CBBC Dec 2013 A	2013/12/27	2013/12/31
60215	HK Bank – SHK Ppt R Bull CBBC Dec 2013 A	2013/12/27	2013/12/31
61788	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 E	2013/12/27	2013/12/31
60391	Credit Suisse AG – HSI R Bull CBBC Dec 2013 V	2013/12/27	2013/12/31
61692	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 G	2013/12/27	2013/12/31
66232	UBS AG – SHK Ppt R Bull CBBC Dec 2013 B	2013/12/27	2013/12/31
61734	UBS AG – ICBC R Bull CBBC Dec 2013 A	2013/12/27	2013/12/31
60232	UBS AG – HSI R Bull CBBC Dec 2013 W	2013/12/27	2013/12/31
61808	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 K	2013/12/27	2013/12/31
61694	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 I	2013/12/27	2013/12/31
62172	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 Q	2013/12/27	2013/12/31
62186	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 V	2013/12/27	2013/12/31
62187	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 U	2013/12/27	2013/12/31
61916	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 O	2013/12/27	2013/12/31
61917	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2013 P	2013/12/27	2013/12/31
61977	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2013 H	2013/12/27	2013/12/31
65333	UBS AG – HSI R Bear CBBC Dec 2013 A	2013/12/27	2013/12/31
62149	UBS AG – HSI R Bull CBBC Dec 2013 O	2013/12/27	2013/12/31
62446	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 T	2013/12/27	2013/12/31
62449	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 F	2013/12/27	2013/12/31
61807	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 J	2013/12/27	2013/12/31
68421	HK Bank – HSI R Bull CBBC Dec 2013 F	2013/12/27	2013/12/31
66533	UBS AG – TCH R Bull CBBC Dec 2013 A	2013/12/27	2013/12/31
67552	Credit Suisse AG – HSI R Bull CBBC Dec 2013 A	2013/12/27	2013/12/31
61792	HK Bank – HSI R Bull CBBC Dec 2013 M	2013/12/27	2013/12/31
69608	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 M	2013/12/27	2013/12/31
68310	UBS AG – HSI R Bear CBBC Dec 2013 L	2013/12/27	2013/12/31
67553	Credit Suisse AG – HSI R Bull CBBC Dec 2013 B	2013/12/27	2013/12/31
67562	Credit Suisse AG – HSI R Bull CBBC Dec 2013 C	2013/12/27	2013/12/31
68303	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Dec13 C	2013/12/27	2013/12/31
68613	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2013 Q	2013/12/27	2013/12/31
69190	Standard Chartered Bank – HSI R Bear CBBC Dec 2013 E	2013/12/27	2013/12/31
66843	HK Bank – HSI R Bear CBBC Dec 2013 B	2013/12/27	2013/12/31
69494	HK Bank – Hutchison R Bull CBBC Dec 2013 A	2013/12/27	2013/12/31
69531	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 A	2013/12/27	2013/12/31
69607	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Dec 2013 L	2013/12/27	2013/12/31
66753	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 E	2013/12/27	2013/12/31
69533	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2013 B	2013/12/27	2013/12/31
64697	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 S	2013/12/30	2013/12/31

List of expired CBBC, 2013 *(continued)*

Code	CBBC	Last trading date	Delisted date
64579	Credit Suisse AG – HSI R Bear CBBC Apr 2014 J	2013/12/30	2013/12/31
64585	Credit Suisse AG – HSI R Bear CBBC Apr 2014 Z	2013/12/30	2013/12/31
64586	UBS AG – HSI R Bear CBBC Apr 2014 N	2013/12/30	2013/12/31
64593	UBS AG – HSI R Bear CBBC Apr 2014 B	2013/12/30	2013/12/31
64626	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 K	2013/12/30	2013/12/31
64615	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 H	2013/12/30	2013/12/31
64675	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 P	2013/12/30	2013/12/31
64625	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 J	2013/12/30	2013/12/31
64691	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 R	2013/12/30	2013/12/31
64578	Credit Suisse AG – HSI R Bear CBBC Apr 2014 I	2013/12/30	2013/12/31
64684	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 Q	2013/12/30	2013/12/31
64770	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 K	2013/12/30	2013/12/31
64577	Credit Suisse AG – HSI R Bear CBBC Apr 2014 O	2013/12/30	2013/12/31
64519	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Apr14 G	2013/12/30	2013/12/31
64499	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2014 H	2013/12/30	2013/12/31
64493	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 J	2013/12/30	2013/12/31
67520	UBS AG – Hutchison R Bear CBBC Mar 2014 A	2013/12/30	2013/12/31
63471	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 A	2013/12/30	2013/12/31
63516	Credit Suisse AG – HSI R Bear CBBC Mar 2014 X	2013/12/30	2013/12/31
63465	UBS AG – HSI R Bear CBBC Mar 2014 I	2013/12/30	2013/12/31
64084	J P Morgan SP BV – HSI R Bear CBBC Apr 2014 M	2013/12/30	2013/12/31
63565	SGA Societe Generale Acceptance N.V.-HSI R Bear CBBC Mar14 E	2013/12/30	2013/12/31
64781	Standard Chartered Bank – HSI R Bear CBBC Jun 2014 L	2013/12/30	2013/12/31
63868	HK Bank – HSI R Bear CBBC Aug 2014 G	2013/12/30	2013/12/31
63207	HK Bank – TCH R Bear CBBC Jun 2014 A	2013/12/30	2013/12/31
61261	HK Bank – TCH R Bear CBBC Aug 2014 E	2013/12/30	2013/12/31
64678	UBS AG – TCH R Bear CBBC May 2014 B	2013/12/30	2013/12/31

Market value and trading for Callable Bull / Bear Contracts, 2009 - 2013

Year/Month		Number *	Market value * (HK$ mil.)	Turnover value (HK$ mil.)	(%) of market total
2009		1,692	91,190.57	1,676,064.95	10.86
2010		1,064	49,816.76	1,455,404.28	8.52
2011		901	43,598.79	1,852,136.14	10.84
2012		1,214	53,414.12	1,533,154.61	11.56
2013		1,620	68,388.12	1,269,228.26	8.36
2013	Jan	1,253	55,540.10	128,707.73	7.50
	Feb	1,259	47,472.09	91,472.74	7.52
	Mar	1,276	45,190.13	135,731.76	9.42
	Apr	1,249	42,863.27	117,793.41	10.11
	May	1,286	42,248.69	95,564.25	7.57
	Jun	1,222	52,676.48	112,651.01	8.70
	Jul	1,371	53,832.01	111,717.88	9.87
	Aug	1,442	54,061.54	119,595.56	10.48
	Sep	1,596	68,940.37	99,466.18	8.38
	Oct	1,523	69,441.44	86,004.31	7.07
	Nov	1,623	76,142.15	96,146.55	7.43
	Dec	1,620	68,388.12	74,376.88	6.65

Note: Callable Bull / Bear Contracts commenced trading on 12 June 2006

* Month-end or year-end figures

Newly listed debt securities

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
04588	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2014	100.000	2014/01/10	2013/01/15
85907#	China Guangdong Nuclear Power Holding Co.,Ltd.	3.75% CNY Bonds 2015	100.445	2015/11/02	2013/01/15
04590	Hysan (MTN) Ltd.	3.5% Guaranteed Notes 2023	99.766	2023/01/16	2013/01/17
04589	SHK Ppt (Capital Market) Ltd.	3.625% Instruments 2023	99.386	2023/01/16	2013/01/17
04592	Champion MTN Ltd.	3.75% Notes 2023	99.185	2023/01/17	2013/01/18
04215	The Government of the HKSAR of the PRC	1.10% Bonds 2023	97.430	2023/01/17	2013/01/18
04594	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2014	100.000	2014/01/17	2013/01/18
04593	Agile Property Holdings Ltd.	Perpetual Subordinated Cap Sec	100.000	–	2013/01/21
04591	Lai Sun International Finance (2012) Ltd.	5.7% Guaranteed Notes 2018	100.000	2018/01/18	2013/01/21
04595	CK Bond Sec (03) Ltd.	5.375% Guar. Senior Perpetual Sec	100.000	–	2013/01/25
04596	Yuexiu Property Co. Ltd.	3.25% Notes 2018	99.831	2018/01/24	2013/01/25
04597	Yuexiu Property Co. Ltd.	4.50% Notes 2023	99.459	2023/01/24	2013/01/25
04598	Powerlong Real Estate Holdings Ltd.	11.25% Senior Notes 2018	98.608	2018/01/25	2013/01/28
04583#	China Aoyuan Property Group Ltd.	13.875% Guar. Senior Notes 2017	106.668	2017/11/23	2013/01/29
04581#	China SCE Property Holdings Ltd.	11.5% Senior Notes 2017	108.000	2017/11/14	2013/01/29
04599	Hengdeli Holdings Ltd.	6.25% Senior Notes 2018	100.000	2018/01/29	2013/01/30
05900	COSCO Pacific Finance (2013) Co. Ltd.	4.375% Guar. Notes 2023	99.320	2023/01/31	2013/02/01
05901	Mingfa Group (International) Co. Ltd.	13.25% Senior Notes 2018	100.000	2018/02/01	2013/02/04
05902	Greentown China Holdings Ltd.	8.50% Senior Notes 2018	100.000	2018/02/04	2013/02/05
05903	KWG Property Holding Ltd.	8.625% Senior Notes 2020	100.000	2020/02/05	2013/02/06
05904	China Railway Resources Huitung Ltd.	3.85% Guar. Notes 2023	99.786	2023/02/05	2013/02/06
04216	The Govt of the HKSAR of the PRC	0.61% Bonds 2018	98.650	2018/02/05	2013/02/06
85912	Oversea-Chinese Banking Corporation Ltd.	3.5% CNY Notes 2020	100.000	2020/02/05	2013/02/06
85913	Huaneng Power International, Inc.	3.85% CNY Bonds 2016	100.000	2016/02/05	2013/02/06
85914	New World China Land Ltd.	5.50% CNY Bonds 2018	100.000	2018/02/06	2013/02/07
05905	Petron Corporation	Undated Subordinated Capital Securities	100.000	–	2013/02/07
04035	Hong Kong Monetary Authority	0.21% Exchange Fund Notes 2015	99.970	2015/02/18	2013/02/19
05906	Tong Jie Ltd.	Zero Coupon Guaranteed Convertible Bonds 2018	100.000	2018/02/18	2013/02/19
04036	Hong Kong Monetary Authority	1.49% Exchange Fund Notes 2028	98.930	2028/02/22	2013/02/25
85915	Far East Consortium International Ltd.	5.875% CNY Bonds 2016	100.000	2016/03/04	2013/03/05
05907	Glorious Property Holdings Ltd.	13.25% Senior Notes 2018	100.000	2018/03/04	2013/03/05
05908	CITIC Telecom International Finance Ltd.	6.1% Guar.Bonds 2025	100.000	2025/03/05	2013/03/06
05909	Azure Orbit International Finance Ltd.	3.75% Guar. Notes 2023	99.678	2023/03/06	2013/03/07
04213#	The Govt of the HKSAR of the PRC	0.46% Bonds 2015	100.210	2015/05/11	2013/03/08
05910	Joyous Glory Group Ltd.	5.70% Guaranteed Notes 2020	100.000	2020/03/07	2013/03/08
05911	Swire Properties MTN Financing Ltd.	2.75% Guar. Notes 2020	99.219	2020/03/07	2013/03/08
05905#	Petron Corporation	Undated Subordinated Capital Securities	104.250	–	2013/03/12
05912	AIA Group Ltd.	1.75% Notes 2018	99.425	2018/03/13	2013/03/14
05913	AIA Group Ltd.	3.125% Notes 2023	99.413	2023/03/13	2013/03/14
05914	Bestgain Real Estate Ltd.	2.625% Guaranteed Bonds 2018	99.397	2018/03/13	2013/03/14
04013#	Hong Kong Monetary Authority, The	1.91% Exchange Fund Notes 2016	104.960	2016/03/21	2013/03/19
04037	Hong Kong Monetary Authority	0.53% Exchange Fund Notes 2018	99.850	2018/03/19	2013/03/19
05915	Wheelock Finance Ltd.	3% Guaranteed Notes 2018	99.371	2018/03/19	2013/03/20
05907#	Glorious Property Holdings Ltd.	13.25% Senior Notes 2018	100.000	2018/03/04	2013/03/21
85916	China Minmetals Corporation	3.65% CNY Bonds 2016	100.000	2016/03/28	2013/04/02
05916	Ch State Construct Fin (Cayman) I Ltd.	3.125% Guar. Notes2018	99.542	2018/04/02	2013/04/03

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
85917	Dorsett Hospitality International Ltd.	6% CNY Bonds 2018	100.000	2018/04/03	2013/04/05
05902#	Greentown China Holdings Ltd.	8.50% Senior Notes 2018	102.500	2018/02/04	2013/04/08
05917	SmarTone Finance Ltd.	3.875% Guaranteed Notes 2023	98.905	2023/04/08	2013/04/09
05919	Central Plaza Development Ltd.	8.375% Senior Perpetual CapSec	100.000	–	2013/04/09
05918	CITIC Pacific Ltd.	6.375% Notes 2020	100.000	2020/04/10	2013/04/11
05920	CIFI Holdings (Group) Co. Ltd.	12.25% Senior Notes 2018	100.000	2018/04/15	2013/04/16
05921	CNPC General Capital Ltd.	1.45% Guaranteed Senior Notes 2016	99.898	2016/04/16	2013/04/17
05922	CNPC General Capital Ltd.	1.95% Guaranteed Senior Notes 2018	100.000	2018/04/16	2013/04/17
05923	CNPC General Capital Ltd.	3.40% Guaranteed Senior Notes 2023	99.966	2023/04/16	2013/04/17
05924	FPC Treasury Ltd. 4.5%	4.5% Guaranteed Bonds 2023	100.000	2023/04/16	2013/04/17
85918	Asia Standard Int'l Group Ltd.	6.5% CNY Senior Notes 2018	100.000	2018/04/17	2013/04/18
05925	Texhong Textile Group Ltd.	6.5% Senior Notes 2019	99.423	2019/01/18	2013/04/19
05926	Yingde Gases Investment Ltd.	8.125% Senior Notes 2018	99.496	2018/04/22	2013/04/23
05927	Sinopec Capital (2013) Ltd.	1.25% Senior Notes 2016	99.739	2016/04/24	2013/04/25
05928	Sinopec Capital (2013) Ltd.	1.875% Senior Notes 2018	99.872	2018/04/24	2013/04/25
05929	Sinopec Capital (2013) Ltd.	3.125% Senior Notes 2023	99.422	2023/04/24	2013/04/25
05930	Sinopec Capital (2013) Ltd.	4.25% Senior Notes 2043	99.345	2043/04/24	2013/04/25
85919	China Datang Corporation	3.6% CNY Bonds 2016	100.000	2016/04/25	2013/04/26
05931	China Oil And Gas Group Ltd.	5.25% Senior Notes 2018	100.000	2018/04/25	2013/04/26
05934	Hong Kong Mortgage Corporation Ltd. The	FR Notes 2014	100.000	2014/04/25	2013/04/26
85920	Lai Fung Holdings Ltd.	6.875% Senior CNY Notes 2018	100.000	2018/04/25	2013/04/26
05932	Minmetals Land Capital Ltd.	5.50% Guaranteed Bonds 2018	100.000	2018/04/26	2013/04/29
05933	Minmetals Land Capital Ltd.	6.50% Guaranteed Bonds 2023	100.000	2023/04/26	2013/04/29
85921	Golden Wheel Tiandi Hldgs Co. Ltd.	11.25% Senior CNY Notes 2016	100.000	2016/04/25	2013/05/03
05935	Sinochem Global Capital Co. Ltd.	Subordinated Guaranteed Perpetual Capital Securities	100.000	–	2013/05/03
05926#	Yingde Gases Investment Ltd.	8.125% Senior Notes 2018	103.375	2018/04/22	2013/05/03
05936	Parkson Retail Group Ltd.	4.50% Notes 2018	100.000	2018/05/03	2013/05/06
05937	CITIC Securities Finance 2013 Co., Ltd.	2.5% Credit Enhanced Bonds 2018	99.753	2018/05/03	2013/05/06
05938	Beijing Enterprises Water Capital Management Ltd.	4.625% Guaranteed Bonds 2018	100.000	2018/05/06	2013/05/07
04217	The Government of the HKSAR of the PRC	0.34% Bonds 2016	99.730	2016/05/09	2013/05/10
05939	CNOOC Finance (2013) Ltd.	1.125% Guaranteed Notes 2016	99.648	2016/05/09	2013/05/10
05940	CNOOC Finance (2013) Ltd.	1.75% Guaranteed Notes 2018	99.539	2018/05/09	2013/05/10
05941	CNOOC Finance (2013) Ltd.	3.00% Guaranteed Notes 2023	98.477	2023/05/09	2013/05/10
05942	CNOOC Finance (2013) Ltd.	4.25% Guaranteed Notes 2043	98.515	2043/05/09	2013/05/10
85922	Greentown China Holdings Ltd.	5.625% Senior CNY Notes 2016	100.000	2016/05/13	2013/05/14
05943	Yuexiu REIT MTN Co. Ltd.	3.1% Notes 2018	100.000	2018/05/14	2013/05/15
05944	Want Want China Finance Ltd.	1.875% Guaranteed Notes 2018	99.896	2018/05/14	2013/05/15
85923	I.T Ltd.	6.25% Senior CNY Notes 2018	100.000	2018/05/15	2013/05/16
05945	CRCC Yuxiang Ltd.	3.50% Guaranteed Notes 2023	99.657	2023/05/16	2013/05/20
05946	Poly Property Group Co., Ltd.	4.75% Notes 2018	100.000	2018/05/16	2013/05/20
05947	Societe Generale	Callable Zero Coupon Notes May 2043	100.000	2043/05/21	2013/05/21
04038	Hong Kong Monetary Authority	0.15% Exchange Fund Notes 2015	99.910	2015/05/20	2013/05/21
05948	Bright Food Hong Kong Ltd.	3% Guaranteed Notes 2018	99.435	2018/05/21	2013/05/22
05949	IFC Development (Corporate Treasury) Ltd.	2.375% Guaranteed Notes 2019	99.197	2019/05/21	2013/05/22
05950	Golden Eagle Retail Group Ltd.	4.625% Senior Notes 2023	99.581	2023/05/21	2013/05/22
05951	CITIC Pacific Ltd.	8.625% Perpetual Subordinated Capital Securities	100.000	–	2013/05/23

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
05951#	CITIC Pacific Ltd.	8.625% Perpetual Subordinated Capital Securities	100.500	–	2013/05/23
05952	R-REIT International Finance Ltd.	4.1% Guaranteed Notes 2018	99.553	2018/05/22	2013/05/23
05953	State Grid Overseas Investment (2013) Ltd.	1.75% Senior Guaranteed Notes 2018	99.843	2018/05/22	2013/05/23
05954	State Grid Overseas Investment (2013) Ltd.	3.125% Senior Guaranteed Notes 2023	98.982	2023/05/22	2013/05/23
05955	State Grid Overseas Investment (2013) Ltd.	4.375% Senior Guaranteed Notes 2043	98.082	2043/05/22	2013/05/23
85925	Brave Rise Investments Ltd.	4.7% Garanteed CNY Bonds 2016	100.000	2016/05/24	2013/05/27
85924	Powerlong Real Estate Holdings Ltd.	9.50% Senior CNY Notes 2016	100.000	2016/05/27	2013/05/28
05956	China Daye Non-Ferrous Metals Mining Ltd.	0.5% USD Settled Conv. Bonds 2018	100.000	2018/05/30	2013/05/31
04039	Hong Kong Monetary Authority	1.09% Exchange Fund Notes 2023	96.510 96.370	2023/06/05	2013/06/06
85926	Eastern Air Overseas (Hong Kong) Corporation Ltd.	3.875% Guar. CNY Bonds 2016	100.000	2016/06/05	2013/06/06
85927	Uni-President China Holdings Ltd.	3.5% CNY Notes 2016	100.000	2016/06/06	2013/06/07
05957	Huaneng Hong Kong Capital Ltd.	3.375% Guaranteed Bonds 2018	99.763	2018/06/11	2013/06/13
05958	MTR Corporation Ltd.	3.65% Notes 2043	100.000	2043/06/17	2013/06/18
04040	Hong Kong Monetary Authority	0.79% Exchange Fund Notes 2018	99.950	2018/06/18	2013/06/18
04015#	Hong Kong Monetary Authority, The	1.57% Exchange Fund Notes 2016	103.470	2016/06/13	2013/06/18
05959	Societe Generale	Callable Zero Coupon Notes Jun 2043 A	100.000	2043/06/18	2013/06/18
05960	Societe Generale	Callable Zero Coupon Notes Jun 2043 B	100.000	2043/06/21	2013/06/21
05961	Societe Generale	Callable Zero Coupon Notes Jun 2043 C	100.000	2043/06/24	2013/06/24
04218	The Govt of the HKSAR of the PRC	iBonds 2016	100.000	2016/06/24	2013/06/25
86607	Ministry of Finance of the PRC	2.87% CNY Bonds 2016	100.000	2016/06/27	2013/06/28
86608	Ministry of Finance of the PRC	3.02% CNY Bonds 2018	100.000	2018/06/27	2013/06/28
86609	Ministry of Finance of the PRC	3.09% CNY Bonds 2020	100.000	2020/06/27	2013/06/28
86610	Ministry of Finance of the PRC	3.16% CNY Bonds 2023	100.000	2023/06/27	2013/06/28
86611	Ministry of Finance of the PRC	3.60% CNY Bonds 2028	100.000	2028/06/27	2013/06/28
86612	Ministry of Finance of the PRC	3.95% CNY Bonds 2043	100.000	2043/06/27	2013/06/28
05962	Societe Generale	Callable Zero Coupon Notes Jul 2043	100.000	2043/07/10	2013/07/10
04216#	The Govt of the HKSAR of the PRC	0.61% Bonds 2018	96.360	2018/02/05	2013/07/19
85928	Swire Pacific MTN Financing Ltd.	3.9% Guar. CNY Notes 2023	100.000	2023/07/22	2013/07/23
05963	Poly Real Estate Finance Ltd.	4.50% Guaranteed Bonds 2018	99.061	2018/08/06	2013/08/06
85929	Far East Horizon Ltd.	4.5% CNY Notes 2016	100.000	2016/03/22	2013/08/08
85929#	Far East Horizon Ltd.	4.5% CNY Notes 2016	98.777	2016/03/22	2013/08/08
04215#	The Government of the HKSAR of the PRC	1.10% Bonds 2023	86.320	2023/01/17	2013/08/09
04041	Hong Kong Monetary Authority	0.32% Exchange Fund Notes 2015	99.910	2015/08/19	2013/08/20
05964	Meiya Power Co. Ltd.	4% Bonds 2018	99.686	2018/08/19	2013/08/20
85930	Swire Pacific MTN Financing Ltd.	4% Guar. CNY Notes Aug 2023	100.000	2023/08/21	2013/08/22
04042	Hong Kong Monetary Authority	2.49% Exchange Fund Notes 2028	97.380 97.120	2028/08/22	2013/08/23
04206#	The Govt of the HKSAR of the PRC	1.43% Bonds 2015	101.530	2015/12/02	2013/09/06
85931	Swire Pacific MTN Financing Ltd.	4% Guar. CNY Notes Sep 2023	100.000	2023/09/09	2013/09/10
05965#	AVIC Int'l Finance & Investment Ltd.	4.75% Guar. Bonds 2018	99.732	2018/09/12	2013/09/13
05966	AVIC Int'l Finance & Investment Ltd.	6.00% Guar. Bonds 2023	100.000	2023/09/12	2013/09/13
05967	China ZhengTong Auto Services Holdings Ltd	4.5% Credit Enhanced Bonds 2018	99.748	2018/06/16	2013/09/17
05920#	CIFI Holdings (Group) Co. Ltd.	12.25% Senior Notes 2018	104.000	2018/04/15	2013/09/19
05968	Century Master Investment Co. Ltd.	4.75% Guar. Bonds 2018	99.425	2018/09/19	2013/09/23
05969	Greentown China Holdings Ltd.	8% Senior Notes 2019	100.000	2019/03/24	2013/09/25
04043	Hong Kong Monetary Authority	1.52% Exchange Fund Notes 2018	101.430	2018/09/25	2013/09/26
04019#	Hong Kong Monetary Authority, The	0.79% Exchange Fund Notes 2016	100.600	2016/09/19	2013/09/26

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
05970	Wuzhou International Holdings Ltd.	13.75% Senior Notes 2018	99.122	2018/09/26	2013/09/27
05972	CNOOC Curtis Funding No.1 Pty Ltd.	4.50% Senior Notes 2023	100.000	2023/10/03	2013/10/04
05973	CNOOC Curtis Funding No.1 Pty Ltd.	2.75% Senior Notes 2020	99.560	2020/10/03	2013/10/04
05974	Yuzhou Properties Co. Ltd.	8.75% Senior Notes 2018	100.000	2018/10/04	2013/10/07
05971	China Uranium Development Co. Ltd.	3.50% Guar. Bonds 2018	99.352	2018/10/08	2013/10/09
05975	Swire Pacific MTN Financing Ltd.	4.50% Guaranteed Notes 2023	99.403	2023/10/09	2013/10/10
05976	Industrial and Commercial Bank of China (Asia) Ltd.	Tier 2 Subordinated Notes 2023	99.903	2023/10/10	2013/10/11
05983	Wynn Macau, Ltd.	5.25% Senior Notes 2021	100.000	2021/10/15	2013/10/17
05977	Franshion Brilliant Ltd.	5.375% Guaranteed Senior Notes 2018	100.000	2018/10/17	2013/10/18
05978	Sinopec Group Overseas Development (2013) Ltd.	2.5% Senior Notes 2018	99.413	2018/10/17	2013/10/18
05979	Sinopec Group Overseas Development (2013) Ltd.	4.375% Senior Notes 2023	99.312	2023/10/17	2013/10/18
05980	Sinopec Group Overseas Development (2013) Ltd.	5.375% Senior Notes 2043	99.381	2043/10/17	2013/10/18
05981	Sinopec Group Overseas Development (2013) Ltd.	2.625% Senior Notes 2020	99.276	2020/10/17	2013/10/18
05984	China Taiping New Horizon Ltd.	6% Guaranteed Notes 2023	100.000	2023/10/18	2013/10/18
05982	Greenland Hong Kong Holdings Ltd.	4.75% Bonds 2016	99.655	2016/10/18	2013/10/21
05985	Chalco Hong Kong Investment Co. Ltd.	6.625% Senior Perpetual Captial Securities	100.000	–	2013/10/30
05986	Haitong International Finance Holdings Ltd.	3.95% Credit Enhanced Bonds 2018	99.574	2018/10/29	2013/10/30
05987	China Overseas Finance (Cayman) III Ltd.	3.375% Guar. Notes 2018	99.613	2018/10/29	2013/10/30
05988	China Overseas Finance (Cayman) III Ltd.	5.375% Guar. Notes 2023	99.595	2023/10/29	2013/10/30
05989	China Overseas Finance (Cayman) III Ltd.	6.375% Guar. Notes 2043	99.510	2043/10/29	2013/10/30
05990	Evergrande Real Estate Group Ltd.	8.75% Senior Notes 2018	100.000	2018/10/30	2013/10/31
05991	Anton Oilfield Services Group	7.5% Senior Notes 2018	100.000	2018/11/06	2013/11/07
05992	China CITIC Bank Int'l Ltd.	Tier 2 Subordinated Notes 2024	100.000	2024/05/07	2013/11/08
04211#	The Government of the HKSAR of the PRC	0.97% Bonds 2016	100.530	2016/12/08	2013/11/08
05993	Prosperous Ray Ltd.	3.00% Guaranteed Notes 2018	99.416	2018/11/12	2013/11/13
05994	Prosperous Ray Ltd.	4.625% Guaranteed Notes 2023	98.701	2023/11/12	2013/11/13
05995	Hong Kong Mortgage Corporation Ltd., The	FR Notes 2014	99.990	2014/11/12	2013/11/13
05990#	Evergrande Real Estate Group Ltd.	8.75% Senior Notes 2018	100.000	2018/10/30	2013/11/13
85932	China Development Bank Corporation	FR CNY Notes 2015	100.000	2015/11/13	2013/11/14
85933	China Development Bank Corporation	3.6% CNY Notes 2018	100.000	2018/11/13	2013/11/14
85934	China Development Bank Corporation	4.5% CNY Notes 2028	100.000	2028/11/13	2013/11/14
04044	Hong Kong Monetary Authority	0.30% Exchange Fund Notes 2015	100.040	2015/11/18	2013/11/19
05997	Wanda Properties Overseas Ltd.	4.875% Guaranteed Bonds 2018	98.936	2018/11/21	2013/11/22
05996	Logo Star Ltd.	1.50% Guaranteed Convertible Bonds 2018	100.000	2018/11/22	2013/11/25
86613	Ministry of Finance of the PRC	2.60% CNY Bonds 2016	100.000	2016/11/22	2013/11/25
86614	Ministry of Finance of the PRC	3.09% CNY Bonds 2018	100.000	2018/11/22	2013/11/25
05999	China Mengniu Dairy Co. Ltd.	3.5% Bonds 2018	99.456	2018/11/27	2013/11/28
05998	China Water Property Group Ltd.	12.5% Senior Notes 2016	98.780	2016/11/28	2013/11/29
04045	Hong Kong Monetary Authority	1.94% Exchange Fund Notes 2023	98.890	2023/12/04	2013/12/03
85935	RKI Finance (2013) Ltd.	6% Guaranteed Senior CNY Notes 2016	100.000	2016/12/03	2013/12/04
85936	Bestgain Real Estate Lyra Ltd.	4.5% Guaranteed CNY Notes 2018	100.000	2018/12/04	2013/12/05
06000	Amipeace Ltd.	2% Guaranteed Notes 2016	99.405	2016/12/06	2013/12/09
06001	Agricultural Bank of China Ltd. HK Branch	2.875% Notes 2018	99.811	2018/12/10	2013/12/11
06002	China Merchants Land Ltd.	4.021% Credit Enhanced Bonds 2018	100.000	2018/12/11	2013/12/12
85937	Far East Horizon Ltd.	5.45% CNY Notes 2016	100.000	2016/12/11	2013/12/12
86615	Ministry of Finance of the PRC	2.80% CNY Bonds 2015	100.000	2015/12/11	2013/12/12
06003	CSSC Capital 2013 Ltd.	2.75% Credit Enhanced Bonds 2016	99.774	2016/12/12	2013/12/13

Newly listed debt securities *(continued)*

Code	Issuer/company	Name of issue	Issue price	Maturity date	Listing date
06004	Bao-Trans Enterprises Ltd.	3.75% Bonds 2018	99.199	2018/12/12	2013/12/13
85938	Bestgain Real Estate Lyra Ltd.	4.05% Guar. CNY Notes 2016	100.000	2016/12/16	2013/12/17
06005	Big Right Investment Ltd.	4.8% Guaranteed Notes 2018	100.000	2018/12/18	2013/12/19
04046	Hong Kong Monetary Authority	1.17% Exchange Fund Notes 2018	99.550	2018/12/19	2013/12/20
04022#	Hong Kong Monetary Authority	0.82% Exchange Fund Notes 2016	100.750	2016/12/19	2013/12/20

Further issue

Withdrawal of listed debt securities

Code	Issuer	Particulars	Last trading date	Delisted date
02565	Hutchison Whampoa Int'l (03/13) Ltd.	Redemption of its issue of 6.50% Guar. Notes due 2013	2013/02/06	2013/02/15
04011	Hong Kong Monetary Authority	Redemption of its issue of 0.58% Exchange Fund Notes due 2013	2013/02/08	2013/02/18
04148	Hong Kong Monetary Authority	Redemption of its issue of 4.13% Exchange Fund Notes due 2013	2013/02/19	2013/02/22
04207	The Govt of the HKSAR of the PRC	Redemption of its issue of 0.85% Bonds due 2013	2013/02/27	2013/03/04
01608	Paka Capital Ltd.	Redemption of its issue of Exchangeable Trust Certificates due 2013	2013/03/07	2013/03/13
01686	Tennessee Valley Authority	Redemption of its issue of 6.00% Global Power Bonds due 2013	2013/03/12	2013/03/18
04176	Hong Kong Monetary Authority	Redemption of its issue of 2.03% Exchange Fund Notes due 2013	2013/03/13	2013/03/18
02543	Swire Pacific MTN Financing Ltd.	Redemption of its issue of 4.93% Guaranteed Notes due 2013	2013/03/25	2013/04/02
04509	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due Apr 2013, The	2013/04/10	2013/04/16
04508	Xpress Group Ltd.	Early redemption of its issue of 15.0% Non-Convertible Bonds due 2016	2013/04/17	2013/05/20
02546	SRE Group Ltd.	Redemption of its issue of 8.625% Guaranteed Notes due 2013	2013/04/19	2013/04/25
04545	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due Apr 2013B, The	2013/04/23	2013/04/29
04014	Hong Kong Monetary Authority	Redemption of its issue of 0.59% Exchange Fund Notes due 2013	2013/05/14	2013/05/20
02567	CNOOC Finance (2003) Ltd.	Redemption of its issue of 4.125% Guaranteed Notes due 2013	2013/05/15	2013/05/22
04151	Hong Kong Monetary Authority	Redemption of its issue of 4.74% Exchange Fund Notes due 2013	2013/05/21	2013/05/24
04300	Fair Vantage Ltd.	Redemption of its issue of 1.00% Guaranteed Convertible Bonds due 2013	2013/05/29	2013/06/04
04110	Hong Kong Monetary Authority	Redemption of its issue of 3.73% Exchange Fund Notes due 2013	2013/05/31	2013/06/05
02566	Kowloon-Canton Railway Corporation	Redemption of its issue of 4.65% Notes due 2013	2013/06/05	2013/06/11
04178	Hong Kong Monetary Authority	Redemption of its issue of 2.88% Exchange Fund Notes due 2013	2013/06/11	2013/06/17
04301	CMHI Finance (BVI) Co., Ltd.	Redemption of its issue of 6.125% Guaranteed Notes due 2013	2013/06/13	2013/06/19
04017	Hong Kong Monetary Authority	Redemption of its issue of 0.26% Exchange Fund Notes due 2013	2013/08/14	2013/08/19
04155	Hong Kong Monetary Authority	Redemption of its issue of 4.40% Exchange Fund Notes due 2013	2013/08/19	2013/08/22
04210	The Govt of the HKSAR of the PRC	Redemption of its issue of 0.32% Bonds due 2013	2013/09/04	2013/09/09
04182	Hong Kong Monetary Authority	Redemption of its issue of 2.54% Exchange Fund Notes due 2013	2013/09/19	2013/09/25
04324	HBAP	Redemption of its issue of 1.60% Fixed Rate Notes due 2013	2013/10/02	2013/10/08
04329	Intime Department Store (Group)	Redemption of its issue of 1.75% Convertible Bonds due 2013	2013/10/23	2013/10/29
86010	CNPC Golden Autumn Ltd.	Redemption of its issue of 2.55% Guaranteed CNY Bonds due 2013	2013/10/23	2013/10/29
02569	People's Republic of China	Redemption of its issue of 4.75% Notes due 2013	2013/10/24	2013/10/30
04550	Hong Kong Mortgage Corporation Ltd.	Redemption of its issue of FR Notes due Nov 2013, The	2013/11/06	2013/11/12
04020	Hong Kong Monetary Authority	Redemption of its issue of 0.26% Exchange Fund Notes due 2013	2013/11/13	2013/11/18
85909	Korea Development Bank	Redemption of its issue of 3.25% CNY Notes due 2013, The	2013/11/15	2013/11/21
04158	Hong Kong Monetary Authority	Redemption of its issue of 3.83% Exchange Fund Notes due 2013	2013/11/19	2013/11/22
04117	Hong Kong Monetary Authority	Redemption of its issue of 4.32% Exchange Fund Notes due 2013	2013/11/27	2013/12/02
86015	Baosteel Group Corporation	Redemption of its issue of 3.125% CNY Bonds due 2013	2013/11/27	2013/12/03
86002	Galaxy Entertainment Group Ltd.	Redemption of its issue of 4.625% CNY Bonds due 2013	2013/12/11	2013/12/17
04185	Hong Kong Monetary Authority	Redemption of its issue of 1.67% Exchange Fund Notes due 2013	2013/12/16	2013/12/19

Debt securities trading by type of issue, 2009-2013

HK$ mil

Year/month	No. of issues	Bank	Corporation	State	State corporation	Supranational	Total
2009	157	0.00	0.00	0.00	3.97	0.00	3.97
2010	169	0.00	0.00	0.00	1.58	0.00	1.58
2011	192	0.00	0.98	840.33	1.95	0.00	843.26
2012	269	0.00	0.02	2,769.33	1.21	0.00	2,770.56
2013	403	0.00	0.40	4,462.50	0.00	0.00	4,462.90
2013 Jan	282	0.00	0.00	288.79	0.00	0.00	288.79
Feb	292	0.00	0.40	146.56	0.00	0.00	146.96
Mar	299	0.00	0.00	149.90	0.00	0.00	149.90
Apr	319	0.00	0.00	84.30	0.00	0.00	84.30
May	344	0.00	0.00	146.52	0.00	0.00	146.52
Jun	355	0.00	0.00	1,587.78	0.00	0.00	1,587.78
Jul	357	0.00	0.00	583.10	0.00	0.00	583.10
Aug	361	0.00	0.00	283.08	0.00	0.00	283.08
Sep	367	0.00	0.00	305.79	0.00	0.00	305.79
Oct	383	0.00	0.00	252.29	0.00	0.00	252.29
Nov	394	0.00	0.00	287.77	0.00	0.00	287.77
Dec	403	0.00	0.00	346.61	0.00	0.00	346.61

Market value of listed debt securities as at the end of 2013

Major group	No. of issuers	No. of issues	Nominal amount (HK$mil)
Bank	8	18	49,238.37
Corporation	121	247	944,255.87
State	7	98	282,178.20
State corporation	11	39	101,715.55
Supranational	1	1	1,406.10
Total	148	403	1,378,794.09

Listed debt securities, 2009 – 2013

	2009		2010		2011		2012		2013	
Country	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)	No. of issues	Nominal amount (HK$mil)
Hong Kong	132	232,960.25	143	319,904.84	163	406,150.23	215	653,919.37	331	1,114,994.60
PRC	11	61,383.50	10	58,270.00	10	62,170.00	34	114,084.02	47	150,386.45
Foreign	14	97,564.63	15	108,837.77	18	119,149.14	19	114,375.02	24	112,006.94
Supranational	0	0.00	1	1,406.10	1	1,406.10	1	1,406.10	1	1,406.10
Total	157	391,908.38	169	488,418.71	192	588,875.47	269	883,784.51	403	1,378,794.08

Newly listed unit trusts and mutual funds

Code	Unit trust / mutual fund	Fund manager	Issue price (HK$)	Amount raised (HK$mil)	Listing date
03013	db x-trackers MSCI AC Asia Ex Jap H Div Yield Idx UCITS ETF	DB Platinum Advisors	n.a.	n.a.	2013/04/11
03105	db x-trackers MSCI Bangladesh IM Index UCITS ETF	DB Platinum Advisors	n.a.	n.a.	2013/04/11
03106	db x-trackers MSCI Pakistan IM Index UCITS ETF	DB Platinum Advisors	n.a.	n.a.	2013/04/11
03016	db x-trackers MSCI Philippines IM Index UCITS ETF	DB Platinum Advisors	n.a.	n.a.	2013/04/11
03065	db x-trackers MSCI Singapore IM Index UCITS ETF	DB Platinum Advisors	n.a.	n.a.	2013/04/11
02805	Vanguard FTSE Asia ex Japan Index ETF	The Vanguard Group, Inc.	n.a.	n.a.	2013/05/15
83136/03136	Harvest MSCI China A 50 Index ETF	Harvest Global Investments Limited	n.a.	n.a.	2013/06/06
03040	Horizons MSCI China ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2013/06/17
03110	Horizons Hang Seng High Dividend Yield ETF	Mirae Asset Global Investments (Hong Kong) Limited	n.a.	n.a.	2013/06/17
83139/03139	iShares RMB BOND INDEX ETF	BlackRock Asset Management North Asia Limited	n.a.	n.a.	2013/06/18
83008/03008	C-Shares CSI 300 Index ETF	China Universal Asset Management (Hong Kong) Company Limited	n.a.	n.a.	2013/07/08
01275	New Century Real Estate Investment Trust	New Century Asset Management Limited	3.50	675.50	2013/07/10
83180/03180	ChinaAMC CES China A80 Index ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	2013/08/26
83137/03137	CSOP CES China A80 ETF	CSOP Asset Management Limited	n.a.	n.a.	2013/09/23
83120/03120	E Fund CES China 120 Index ETF	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	2013/10/21
83128/03128	Hang Seng China A Industry Top Index ETF	Hang Seng Investment Management Limited	n.a.	n.a.	2013/11/26
01426	Spring Real Estate Investment Trust	Spring Asset Management Limited	3.81	1,674.50	2013/12/05
82832/02832	Bosera FTSE China A50 Index ETF	Bosera Asset Management (International) Co., Limited	n.a.	n.a.	2013/12/09

Withdrawal of listed unit trusts and mutual funds

Code	Unit trust/mutual fund	Last trading date	Delisted date
Nil			

Note: No unit trusts and mutual funds was withdrawal of listing in 2013

Individual performance on unit trusts and mutual funds as at the end of 2013

Code	Name	Closing price# 2013 (HK$)	Closing price# 2012 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
Exchange Traded Funds (ETFs)						
02819	ABF HK IDX ETF	97.50	104.50	-6.70	58.15	2,919.74
02821	ABF PAIF	US$121.50	US$131.30	-7.46	1,682.53	24,114.12
02832	BOSERA FA50	4.53	n.a.	–	303.26	2,154.02
82832	BOSERA FA50-R	RMB3.54	n.a.	–	102.78	–
03180	CAM CES A80	8.35	n.a.	–	69.11	126.50
83180	CAM CES A80-R	RMB6.52	n.a.	–	96.68	–
03188	CAM CSI300	29.40	31.45	-6.52	62,819.15	11,673.27
83188	CAM CSI300-R	RMB23.00	RMB25.20	-8.73	9,555.46	–
02842	CMS CSI OME ETF	12.86	11.94	7.71	2.03	19.29
03008	C-SHS CSI 300	14.62	n.a.	–	71.95	135.97
83008	C-SHS CSI 300-R	RMB11.44	n.a.	–	139.72	–
02822	CSOP A50 ETF	9.13	10.70	-14.67	172,345.20	25,778.56
82822	CSOP A50 ETF-R	RMB7.14	RMB8.60	-16.98	11,009.32	–
03137	CSOP CES A80	25.60	n.a.	–	295.08	391.68
83137	CSOP CES A80-R	RMB20.15	n.a.	–	287.15	–
03022	DCI HK POME	7.10	7.73	-8.15	2.14	3.55
03077	DCI HK SOME	8.24	9.33	-11.68	1.37	12.36
03120	EFUND CES 120	12.34	n.a.	–	24.44	444.24
83120	EFUND CES 120-R	RMB9.61	n.a.	–	18.25	–
03100	EFUND CSI100	27.35	30.40	-10.03	5,923.80	1,892.62
83100	EFUND CSI100-R	RMB21.45	RMB24.15	-11.18	3,394.06	–
02830	ETFS GOLD	925.00	1288.00	-28.18	27.53	18.50
03119	ETFS PLATINUM	1049.00	1183.00	-11.33	18.36	20.98
03117	ETFS SILVER	150.70	234.40	-35.71	137.69	45.21
03118	HGI MSCI CN A	10.28	10.56	-2.65	2,242.18	1,751.71
83118	HGI MSCI CN A-R	RMB8.04	RMB8.48	-5.19	1,309.73	–
03136	HGI MSCIA50	8.91	n.a.	–	367.69	1,403.33
83136	HGI MSCIA50-R	RMB6.96	n.a.	–	245.18	–
03076	HORIZONS A EN	17.84	20.50	-12.98	4.88	17.84
03064	HORIZONS A FIN	24.35	24.05	1.25	25.33	29.22
03075	HORIZONS A IND	21.95	22.25	-1.35	12.08	21.95
03066	HORIZONS A IT	28.45	24.00	18.54	11.32	39.83
03078	HORIZONS A MAT	19.04	19.94	-4.51	6.46	22.85
03054	HORIZONS EMACON	21.25	21.70	-2.07	32.36	204.00
03056	HORIZONS GL CON	31.30	22.80	37.28	42.10	187.80
03110	HORIZONS HS HDY	20.60	n.a.	–	49.66	247.20
02835	HORIZONS KS200	20.55	20.10	2.24	23.80	41.10
03040	HORIZONS MSCICH	22.20	n.a.	–	288.95	395.16
03128	HS AINDTOPETF	28.15	n.a.	–	9.50	402.05
83128	HS AINDTOPETF-R	RMB21.85	n.a.	–	49.26	–
02838	HS FCI25 ETF	168.70	174.50	-3.32	62.31	188.18
02828	HS H-SHARE ETF	108.70	114.50	-5.07	66,929.52	19,979.24
02833	HS HSI ETF	235.60	228.60	3.06	2,971.32	37,789.35
83168	HS RMB GOLD ETF	RMB23.10	RMB33.15	-30.32	18.84	92.32
03033	HSBC MSCI CHINA	30.00	28.85	3.99	188.00	30.00
03088	HSBC MSCI G DRA	39.30	36.85	6.65	24.64	23.58
03000	HSBC MSCI HK	35.15	31.75	10.71	139.29	21.09
03083	HSBC MSCI TW	48.10	44.20	8.82	311.54	24.05
03010	ISHARES APEX 50	41.85	40.25	3.98	33.83	100.44
03032	ISHARES APEX M	40.40	38.45	5.07	5.57	32.32
03004	ISHARES APEX S	45.00	40.30	11.66	80.55	72.00
02801	ISHARES CHINA	20.30	20.40	-0.49	2,068.63	1,632.12
02802	ISHARES EM ASIA	42.50	43.30	-1.85	244.03	195.50
02836	ISHARES INDIA	15.24	15.70	-2.93	1,998.78	1,530.10
03139	ISHARESRMBBND	45.05	n.a.	–	151.79	156.74
83139	ISHARESRMBBND-R	RMB35.25	n.a.	–	86.25	–
02824	LIPPO HK&M PROP	38.40	40.80	-5.88	15.91	87.36
03070	PING AN HKDIV	21.25	21.20	0.24	180.74	265.63
03072	PING AN HKMID	23.80	21.70	9.68	10.28	71.40
03098	PING AN RHK50	18.00	18.12	-0.66	12.54	81.00
03002	POLARISTAIWAN50	11.94	11.44	4.37	5.94	29.85
02840	SPDR GOLD TRUST	896.50	1247.00	-28.11	4,713.74	251,826.85
03073	SPDR GREATCHINA	28.30	26.30	7.60	116.82	127.35
02800	TRACKER FUND	23.45	22.85	2.63	150,748.97	56,303.27
03046	VALUE CHINA ETF	35.05	37.50	-6.53	111.94	168.24
03084	VALUE JAPAN ETF	12.76	10.82	17.93	400.68	151.84
03041	VALUE KOREA ETF	32.45	28.60	13.46	41.54	82.75
03060	VALUE TW ETF	33.95	31.30	8.47	12.61	91.67
03081	VALUEGOLD ETF	29.50	40.95	-27.96	279.77	814.20
83081	VALUEGOLD ETF-R	RMB23.40	n.a.	–	0.07	–
02805	VG FTSE ASIAXJ	19.40	n.a.	–	79.30	145.50
02817	WISE HKLMCONS	8.67	7.98	8.65	5.64	13.01
02839	WISE HKLMREAL	6.35	6.94	-8.50	72.73	31.75
02825	WISECSIHK100ETF	20.30	19.84	2.32	170.74	233.45
03051	X CICC-SZSE 100	20.20	20.20	–	–	7.58
03026	X DBAUDCASH	146.70	166.60	-11.94	2.77	8.82
03015	X DBCNXNIFTY	803.50	850.50	-5.53	19.46	1,776.98
03049	X DBCSI300	5.61	6.18	-9.22	6,092.42	2,298.30
03061	X DBCSI300BANK	8.56	9.50	-9.89	350.55	88.07
03025	X DBCSI300CONDI	9.02	7.47	20.75	113.85	165.25
03017	X DBCSI300ENER	4.44	6.67	-33.43	17.53	18.59
02844	X DBCSI300FIN	8.60	9.54	-9.85	631.96	74.91
03057	X DBCSI300HEAL	13.88	11.32	22.61	122.20	95.49
03005	X DBCSI300IND	3.99	4.35	-8.28	27.75	26.82
03062	X DBCSI300MAT	3.75	5.31	-29.38	103.16	20.15
02816	X DBCSI300REAL	8.88	11.16	-20.43	176.37	61.08
03063	X DBCSI300TRANS	2.26	2.41	-6.22	3.94	12.20
03052	X DBCSI300UTIL	2.98	3.34	-10.78	10.57	11.03
03087	X DBFTSEVIET	185.70	170.00	9.24	496.29	2,240.73
03007	X DBFXIC25	230.60	233.20	-1.11	6.65	1,874.60
03013	X DBMSCIASIAHDY	14.08	n.a.	–	5.93	8.45
03105	X DBMSCIBANGLA	6.89	n.a.	–	25.73	8.34
03048	X DBMSCIBRAZIL	35.80	42.90	-16.55	31.17	44.57
03055	X DBMSCICHINA	97.95	95.35	2.73	2.80	8.82
03009	X DBMSCIEM	30.70	31.75	-3.31	16.50	58.54
03035	X DBMSCIEMASIA	30.50	30.05	1.50	31.26	20.71
03045	X DBMSCIINDIA	65.35	68.85	-5.08	16.31	9.80

Individual performance on unit trusts and mutual funds as at the end of 2013

Code	Name	Closing price# 2012 (HK$)	Closing price# 2011 (HK$)	Change (%)	Turnover value (HK$mil) *	Market value # (HK$mil)
03099	X DBMSCIINDO	89.35	115.90	-22.91	114.08	28.23
02848	X DBMSCIKOREA	488.40	480.40	1.67	28.75	2,044.57
03082	X DBMSCIMALAY	117.60	109.20	7.69	8.61	7.76
03043	X DBMSCIPACEXJ	39.30	37.65	4.38	6.29	18.86
03106	X DBMSCIPAKIST	13.32	n.a.	–	3.15	5.33
03016	X DBMSCIPHIL	12.92	n.a.	–	9.91	10.27
03027	X DBMSCIRUSSIA	23.90	24.15	-1.04	30.96	42.11
03065	X DBMSCISG	9.93	n.a.	–	14.86	11.72
03036	X DBMSCITAIWAN	154.10	141.40	8.98	21.55	1,082.59
03092	X DBMSCITHAI	136.80	161.10	-15.08	47.12	14.91
03020	X DBMSCIUSA	354.20	267.00	32.66	141.21	14,608.43
03019	X DBMSCIWORLD	33.20	26.05	27.45	40.44	86.12
03011	X DBUSDCASH	US$170.00	US$170.80	-0.47	0.03	286.02
03071	X DCI CH CONS	8.75	9.03	-3.10	10.94	13.13
03001	X ISHARES A DIS	16.38	14.22	15.19	27.52	139.23
03050	X ISHARES A ENR	6.56	10.06	-34.79	7.05	59.04
02829	X ISHARES A FIN	9.68	11.10	-12.79	84.66	154.88
03006	X ISHARES A INF	8.43	9.98	-15.53	19.63	50.58
03039	X ISHARES A MAT	7.19	10.44	-31.13	10.58	71.90
02841	X ISHARES A STA	15.66	17.88	-12.42	50.04	62.64
02823	X ISHARES A50	9.34	11.14	-16.16	379,918.77	54,993.92
02846	X ISHARESCSI300	19.60	22.40	-12.50	652.12	490.00
02818	X PING AN CRA50	21.85	26.00	-15.96	3.16	10.93
03024	X WISE SSE50ETF	15.22	17.28	-11.92	30.86	146.11
02827	X WISECSI300ETF	25.10	29.30	-14.33	7,951.13	8,132.40
03091	X XIE INDIA	7.31	7.68	-4.82	32.14	116.96
03031	X XIE INDO	6.15	7.97	-22.84	32.32	15.38
03090	X XIE KOREA	8.55	8.33	2.64	15.93	94.05
03029	X XIE MALAY	9.20	8.68	5.99	18.99	46.00
03037	X XIE PHIL	9.37	9.95	-5.83	37.36	18.74
03089	X XIE TAIWAN	8.93	8.02	11.35	7.73	142.88
03069	X XIE THAI	8.84	9.92	-10.89	49.61	39.78
Real Estates Investment Trusts (REITs)						
02778	CHAMPION REIT	3.43	3.71	-7.55	6,205.10	19,598.56
00778	FORTUNE REIT	6.19	6.39	-3.13	5,056.22	11,506.54
87001	HUI XIAN REIT	RMB3.86	RMB4.15	-6.99	2,262.88	25,265.71
00823	LINK REIT	37.60	38.70	-2.84	58,018.01	86,889.45
01275	NEW CENT REIT	3.64	n.a.	–	240.71	2,810.08
00808	PROSPERITY REIT	2.26	2.35	-3.83	1,708.72	3,155.36
01881	REGAL REIT	2.23	2.22	0.45	852.67	7,264.07
00625	RREEF CCT REIT	4.35	4.35	–	–	2,019.10
01426	SPRING REIT	3.17	n.a.	–	426.48	3,480.66
00435	SUNLIGHT REIT	3.00	3.30	-9.09	1,189.90	4,862.99
00405	YUEXIU REIT	3.78	3.68	2.72	6,396.88	10,458.12
Others						
00820	HSBCDRAGON FUND	6.56	7.03	-6.69	196.30	1,376.37
02301	NEW ERA PRC F	n.a.	n.a.	–	–	–
Total					**985,687.09**	**717,579.05**

Notes: * Traded in foreign currency securities have been converted in HKD

Year end figures

n.a. Closing price is not available.

Market value for unit trusts and mutual funds, 2009 – 2013

Year/Month		Exchange Traded Funds (ETF) No. of issues	Exchange Traded Funds (ETF) Market value (HK$mil)	Real Estate Investment Trust (REIT) No. of issues	Real Estate Investment Trust (REIT) Market value (HK$mil)	Others No. of issues	Others Market value (HK$mil)	Total No. of issues	Total Market value (HK$mil)
2009		43	496,812.50	7	73,818.20	2	3,038.50	52	573,669.20
2010		69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011		77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012		100	839,802.08	9	174,124.01	2	1,474.98	111	1,015,401.07
2013		116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05
2013	Jan	100	884,687.59	9	182,110.99	2	1,573.59	111	1,068,372.17
	Feb	100	851,517.17	9	184,802.25	2	1,540.02	111	1,037,859.45
	Mar	100	808,762.75	9	188,509.03	2	1,445.61	111	998,717.39
	Apr	105	749,861.65	9	193,783.06	2	1,441.41	116	945,086.13
	May	106	821,006.68	9	182,838.23	2	1,468.69	117	1,005,313.59
	Jun	110	577,695.30	9	174,766.41	2	1,290.35	121	753,752.05
	Jul	111	598,048.78	10	176,242.75	2	1,273.56	123	775,565.09
	Aug	112	601,203.75	10	169,812.45	2	1,279.85	124	772,296.06
	Sep	113	592,893.27	10	175,966.45	2	1,319.72	125	770,179.44
	Oct	114	586,228.32	10	178,343.69	2	1,380.56	126	765,952.57
	Nov	115	566,233.80	10	175,925.91	2	1,412.04	127	743,571.75
	Dec	116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05

Trading value for unit trusts and mutual funds, 2009 – 2013

Year/Month		No. of trading days	Exchange Traded Funds (ETF)		Real Estate Investment Trust (REIT)		Others		Total	
			Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)	Turnover value (HK$mil)	Average daily (HK$mil)
2009		249	499,685.87	2,006.77	43,078.11	173.00	1,047.20	4.21	543,811.17	2,183.98
2010		249	604,457.98	2,427.54	43,890.09	176.27	741.32	2.98	649,089.39	2,606.78
2011		246	545,295.49	2,216.65	55,524.18	225.71	498.52	2.03	601,318.19	2,444.38
2012		247	522,080.01	2,113.68	67,149.34	271.86	306.09	1.24	589,535.44	2,386.78
2013		244	903,133.23	3,701.37	82,357.57	337.53	196.30	0.80	985,687.09	4,039.70
2013	Jan	22	122,145.86	5,552.08	9,341.06	424.59	47.40	2.15	131,534.31	5,978.83
	Feb	17	89,345.66	5,255.63	6,980.70	410.63	25.15	1.48	96,351.51	5,667.74
	Mar	20	97,581.59	4,879.08	5,768.49	288.42	12.12	0.61	103,362.19	5,168.11
	Apr	20	79,419.33	3,970.97	5,731.80	286.59	11.73	0.59	85,162.86	4,258.14
	May	21	72,047.35	3,430.83	9,917.69	472.27	20.45	0.97	81,985.49	3,904.07
	Jun	19	80,578.67	4,240.98	12,179.51	641.03	8.92	0.47	92,767.09	4,882.48
	Jul	22	62,735.88	2,851.63	5,387.28	244.88	8.04	0.37	68,131.20	3,096.87
	Aug	21	58,760.20	2,798.10	6,365.83	303.13	10.88	0.52	65,136.91	3,101.76
	Sep	20	65,964.27	3,298.21	7,555.67	377.78	14.02	0.70	73,533.96	3,676.70
	Oct	21	46,467.45	2,212.74	4,136.00	196.95	14.96	0.71	50,618.42	2,410.40
	Nov	21	70,390.43	3,351.93	4,297.16	204.63	11.23	0.53	74,698.82	3,557.09
	Dec	20	57,696.54	2,884.83	4,696.38	234.82	11.40	0.57	62,404.33	3,120.22

Notes: Trading in foreign currency unit trusts have been converted in HKD

Trading value and volume for NASDAQ stocks, 2009 – 2013

Year/month		No. of issues	No. of trading days	Value (HK$)		Volume (shares)		Deals	
				Total	Average daily	Total	Average daily	Total	Average daily
2009		7	249	2,072,100	8,322	13,140	53	70	0
2010		7	249	1,977,730	7,943	10,780	43	47	0
2011		7	246	517,270	2,103	3,750	15	22	0
2012		7	247	628,923	2,546	3,620	15	11	0
2013		7	244	381,110	1,562	2,780	11	13	0
2013	Jan	7	22	–	–	–	–	–	–
	Feb	7	17	112,750	6,632	860	51	3	0
	Mar	7	20	135,000	6,750	1,000	50	3	0
	Apr	7	20	7,200	360	20	1	1	0
	May	7	21	–	–	–	–	–	–
	Jun	7	19	20,400	1,074	60	3	1	0
	Jul	7	22	79,160	3,598	680	31	3	0
	Aug	7	21	–	–	–	–	–	–
	Sep	7	20	–	–	–	–	–	–
	Oct	7	21	11,600	552	100	5	1	0
	Nov	7	21	–	–	–	–	–	–
	Dec	7	20	15,000	750	60	3	1	0

Trading value and volume for iShares, 2009 – 2013

Year/month		No. of issues	No. of trading days	Value (HK$)		Volume (shares)		Deals	
				Total	Average daily	Total	Average daily	Total	Average daily
2009		2	249	–	–	–	–	–	–
2010		2	249	40,123	161	400	2	1	0
2011		2	246	–	–	–	–	–	–
2012		2	247	–	–	–	–	–	–
2013		2	244	–	–	–	–	–	–
2013	Jan	2	22	–	–	–	–	–	–
	Feb	2	17	–	–	–	–	–	–
	Mar	2	20	–	–	–	–	–	–
	Apr	2	20	–	–	–	–	–	–
	May	2	21	–	–	–	–	–	–
	Jun	2	19	–	–	–	–	–	–
	Jul	2	22	–	–	–	–	–	–
	Aug	2	21	–	–	–	–	–	–
	Sep	2	20	–	–	–	–	–	–
	Oct	2	21	–	–	–	–	–	–
	Nov	2	21	–	–	–	–	–	–
	Dec	2	20	–	–	–	–	–	–

Note: The exchange rate of HK$7.8/US$1 was used to calculate the equivalent value in Hong Kong dollars.

Turnover value on RMB listed securities products by type, 2010 – 2013

			ETF						Total	
		Equities	Gold	RQFII#	Bond Index	REIT	Debt securities	Derivative warrants	(in RMB mil.)	(in HKD mil. equiv.)
2010*		–	–	–	–	–	–	–	–	–
2011		–	–	–	–	8,036.32	–	–	8,036.32	9,577.84
2012		139.73	45.36	12,673.02	–	2,782.71	42.69	6.59	15,690.10	19,207.15
2013		186.45	15.12	20,993.53	68.65	1,810.98	33.29	401.70	23,509.73	29,347.77
2013	Jan	18.56	1.49	3,761.31	–	184.95	1.17	235.19	4,202.66	5,191.61
	Feb	27.14	1.77	2,067.62	–	135.27	0.41	94.38	2,326.59	2,871.42
	Mar	21.40	0.57	2,061.81	–	183.38	0.64	28.08	2,295.87	2,841.16
	Apr	15.28	1.83	1,402.79	–	133.75	0.23	6.06	1,559.94	1,940.09
	May	12.81	1.13	2,143.44	–	285.72	0.03	20.52	2,463.64	3,089.02
	Jun	15.55	1.50	2,290.15	34.04	249.38	0.26	0.91	2,591.78	3,252.49
	Jul	10.54	1.14	1,264.28	16.29	146.49	0.16	3.71	1,442.61	1,809.07
	Aug	15.85	0.45	909.69	1.57	135.31	0.40	0.99	1,064.26	1,337.27
	Sep	12.03	1.19	1,008.92	5.24	149.92	0.42	2.42	1,180.13	1,483.27
	Oct	17.50	1.82	1,177.52	6.01	73.31	0.09	2.19	1,278.44	1,611.69
	Nov	7.39	0.49	1,828.36	2.48	75.92	1.00	4.47	1,920.11	2,423.15
	Dec	12.40	1.73	1,077.64	3.03	57.58	28.50	2.80	1,183.68	1,497.53

* The first RMB security products was listed on 22 October 2010

\# RMB-denominated ETFs tracking A-share indices issued under RMB Qualified Foreign Institutional Investors (RQFII) quota (RQFII A-share ETFs)

List of listed RMB securities products

End of 2013

Code		Securities	Type	Listing date	First trading date
86001		Asian Dev Bank 2.85% Notes 2020	Debt securities	2010/10/22	2010/10/22
86003		HKCG 1.40% CNY Notes 2016	Debt securities	2011/04/12	2011/04/12
87001		Hui Xian Real Estate Investment Trust	REIT	2011/04/29	2011/04/29
86004		Rainbow Days 2% Bonds 2014	Debt securities	2011/07/04	2011/07/04
86005		Rainbow Days 3% Bonds 2016	Debt securities	2011/07/04	2011/07/04
86006		Genting HK 3.95% CNY Bonds 2014	Debt securities	2011/07/05	2011/07/05
86007		Intime 4.65% CNY Bonds 2014	Debt securities	2011/07/20	2011/07/20
86008		Tesco 1.75% CNY Notes 2014	Debt securities	2011/09/02	2011/09/02
86009		Asia Cement 2.95% CNY Notes 2014	Debt securities	2011/10/03	2011/10/03
86011		CNPC 2.95% CNY Bonds 2014	Debt securities	2011/10/27	2011/10/27
86012		ADBC 3.0% CNY Bonds 2014	Debt securities	2012/01/18	2012/01/18
86013		ADBC 3.2% CNY Bonds 2015	Debt securities	2012/01/18	2012/01/18
86014		ADBC 3.50% CNY Bonds 2017	Debt securities	2012/01/18	2012/01/18
83168		Hang Seng RMB Gold ETF	ETF	2012/02/14	2012/02/14
86016		Baosteel 3.5% CNY Bonds 2014	Debt securities	2012/02/20	2012/02/20
86017		Baosteel 4.375% CNY Bonds 2016	Debt securities	2012/02/20	2012/02/20
86018		Baosteel 3.25% CNY Bonds 2014	Debt securities	2012/03/01	2012/03/01
86019		Baosteel 3.675% CNY Bonds 2015	Debt securities	2012/03/01	2012/03/01
86020		Baosteel 4.15% CNY Bonds 2017	Debt securities	2012/03/01	2012/03/01
86021		New World China Land 8.5% CNY Bonds 2015	Debt securities	2012/04/12	2012/04/12
86022		ITNL Offshore 5.75% CNY Bonds 2015	Debt securities	2012/04/27	2012/04/27
86023		Bank of East Asia (China) 3.65% CNY Bonds 2015	Debt securities	2012/05/14	2012/05/14
86024		China Datang 4.5% CNY Bonds 2015	Debt securities	2012/06/18	2012/06/18
86025		ADBC 2.98% CNY Bonds 2014	Debt securities	2012/06/25	2012/06/25
86026		ADBC 3.2% CNY Bonds 2015	Debt securities	2012/06/25	2012/06/25
86027		ADBC 3.35% CNY Bonds 2017	Debt securities	2012/06/25	2012/06/25
86601		Ministry of Finance PRC 1.85% CNY Bonds 2015	Debt securities	2012/07/03	2012/07/03
86602		Ministry of Finance PRC 2.56% CNY Bonds 2017	Debt securities	2012/07/03	2012/07/03
86603		Ministry of Finance PRC 2.65% CNY Bonds 2019	Debt securities	2012/07/03	2012/07/03
86604		Ministry of Finance PRC 3.1% CNY Bonds 2022	Debt securities	2012/07/03	2012/07/03
86605		Ministry of Finance PRC 3.48% CNY Bonds 2027	Debt securities	2012/07/03	2012/07/03
86028		AVIC 4.8% CNY Bonds 2015	Debt securities	2012/07/10	2012/07/10
83188	*#	ChinaAMC CSI 300 Index ETF – RMB	ETF	2012/07/17	2012/07/17
86606		Ministry of Finance PRC 2.38% CNY Bonds 2014	Debt securities	2012/07/20	2012/07/20
86029		Bank of China 3.1% CNY Bonds 2015	Debt securities	2012/07/24	2012/07/24
85900		Sinotruck 4.5% CNY Bonds 2014	Debt securities	2012/08/02	2012/08/02
85901		China Development Bank 3.1% CNY Bonds 2015	Debt securities	2012/08/03	2012/08/03
85902		China Development Bank 2.95% CNY Bonds 2015	Debt securities	2012/08/03	2012/08/03
85903		China Development Bank 3.45% CNY Bonds 2017	Debt securities	2012/08/03	2012/08/03
85904		China Development Bank 4.20% CNY Bonds 2027	Debt securities	2012/08/03	2012/08/03
85905		China Development Bank 4.30% CNY Bonds 2032	Debt securities	2012/08/03	2012/08/03
83100	*#	E Fund CSI 100 A-Shares Index ETF – RMB	ETF	2012/08/27	2012/08/27
82822	*#	CSOP FTSE China A50 ETF – RMB	ETF	2012/08/28	2012/08/28
83118	*#	Harvest MSCI China A Index ETF – RMB	ETF	2012/10/12	2012/10/12
85906		Binhai Investment Co. Ltd. 6.5% CNY Bonds 2015	Debt securities	2012/10/25	2012/10/25
80737	*^	Hopewell Highway Infrastructure Ltd – RMB	Equity	2003/08/06	2012/10/29
85907		China Guangdong Nuclear Power Holdings Co. Ltd 3.75% CNY Bonds 2015	Debt securities	2012/11/02	2012/11/02
85908		China ITS 4.30% CNY Bonds 2032	Debt securities	2012/11/09	2012/11/09
85910		Central Plaza Development Ltd 7.6% Guar. CNY Bonds 2015	Debt securities	2012/11/30	2012/11/30
85911		Bitronic Ltd % CNY Bonds 2015	Debt securities	2012/12/13	2012/12/13
89000		BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 A	DW	2012/12/19	2012/12/19
89003		BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jul 2014 A	DW	2013/01/02	2013/01/02
85912		Oversea-Chinese Banking Corporation Ltd. 3.5% CNY Notes 2020	Debt securities	2013/02/06	2013/02/06
85913		Huaneng Power Int'l, Inc. 3.85% CNY Bonds 2016	Debt securities	2013/02/06	2013/02/06
85914		New World China Land Ltd. 4.0% CNY Bonds 2015	Debt securities	2013/02/07	2013/02/07
85915		Far East Consortium Int'l Ltd. % CNY Bonds	Debt securities	2013/03/05	2013/03/05
89008		Macquarie Bank Ltd – CAM CSI300 Euro Wts 2014 A	DW	2013/03/06	2013/03/06
85916		China Minmetals Corporation 3.65% CNY Bonds 2016	Debt securities	2013/04/02	2013/04/02
85917		Dorsett Hospitality International Ltd. 6% CNY Bonds 2018	Debt securities	2013/04/05	2013/04/05
85918		Asia Standard Int'l Group Ltd. 6.5% CNY Senior Notes 2018	Debt securities	2013/04/18	2013/04/18
85919		China Datang Corporation 3.6% CNY Bonds 2016	Debt securities	2013/04/26	2013/04/26
85920		Lai Fung Holdings Ltd. 6.875% Senior CNY Notes 2018	Debt securities	2013/04/26	2013/04/26
85921		Golden Wheel Tiandi Hldgs Co Ltd 11.25% Senior CNY Notes2016	Debt securities	2013/05/03	2013/05/03
85922		Greentown China Holdings Ltd. 5.625% Senior CNY Notes 2016	Debt securities	2013/05/14	2013/05/14
85923		I.T Ltd. 6.25% Senior CNY Notes 2018	Debt securities	2013/05/16	2013/05/16
85925		Brave Rise Investments Ltd. 4.7% Garanteed CNY Bonds 2016	Debt securities	2013/05/27	2013/05/27
85924		Powerlong Real Estate Hldgs Ltd. 9.50% Senior CNY Notes 2016	Debt securities	2013/05/28	2013/05/28
83136	*#	Harvest MSCI China A 50 Index ETF – RMB	ETF	2013/06/06	2013/06/06
85926		Eastern Air Overseas (HK) Corp. Ltd. 3.875% Guar. CNY B2016	Debt securities	2013/06/06	2013/06/06
85927		Uni-President China Holdings Ltd. 3.5% CNY Notes 2016	Debt securities	2013/06/07	2013/06/07
83139	*	iShares RMB Bond Index ETF – RMB	ETF	2013/06/18	2013/06/18
86607		Ministry of Finance of the PRC 2.87% CNY Bonds 2016	Debt securities	2013/06/28	2013/06/28
86608		Ministry of Finance of the PRC 3.02% CNY Bonds 2018	Debt securities	2013/06/28	2013/06/28
86609		Ministry of Finance of the PRC 3.09% CNY Bonds 2020	Debt securities	2013/06/28	2013/06/28
86610		Ministry of Finance of the PRC 3.16% CNY Bonds 2023	Debt securities	2013/06/28	2013/06/28
86611		Ministry of Finance of the PRC 3.60% CNY Bonds 2028	Debt securities	2013/06/28	2013/06/28
86612		Ministry of Finance of the PRC 3.95% CNY Bonds 2043	Debt securities	2013/06/28	2013/06/28
89011		UBS AG – CSOP A50 ETF European Warrants Jan 2014 A	DW	2013/07/05	2013/07/05
83008	*#	C-Shares CSI 300 Index ETF – RMB	ETF	2013/07/08	2013/07/08
89012		BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2016 A	DW	2013/07/10	2013/07/10
85928		Swire Pacific MTN Financing Ltd. 3.9% Guar. CNY Notes 2023	Debt securities	2013/07/23	2013/07/23
85929		Far East Horizon Ltd. 4.5% CNY Notes 2016	Debt securities	2013/08/08	2013/08/08
85930		Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Aug 2023	Debt securities	2013/08/22	2013/08/22
83180	*#	ChinaAMC CES China A80 Index ETF – RMB	ETF	2013/08/26	2013/08/26
89013		Standard Chartered Bank – CSOP A50 ETF Euro Wts Jan 2016 A	DW	2013/08/29	2013/08/29
89014		Standard Chartered Bank – CAM CSI300 Euro Wts Apr 2014 A	DW	2013/08/29	2013/08/29
85931		Swire Pacific MTN Financing Ltd. 4% Guar. CNY Notes Sep 2023	Debt securities	2013/09/10	2013/09/10

List of listed RMB securities products

End of 2013

Code		Securities	Type	Listing date	First trading date
83137	*#	CSOP CES China A80 ETF – RMB	ETF	2013/09/23	2013/09/23
83120	*#	E Fund CES China 120 Index ETF	ETF	2013/10/21	2013/10/21
85932		China Development Bank Corporation FR CNY Notes 2015	Debt securities	2013/11/14	2013/11/14
85933		China Development Bank Corporation 3.6% CNY Notes 2018	Debt securities	2013/11/14	2013/11/14
85934		China Development Bank Corporation 4.5% CNY Notes 2028	Debt securities	2013/11/14	2013/11/14
86613		Ministry of Finance of the PRC 2.60% CNY Bonds 2016	Debt securities	2013/11/25	2013/11/25
86614		Ministry of Finance of the PRC 3.09% CNY Bonds 2018	Debt securities	2013/11/25	2013/11/25
83128	*#	Hang Seng China A Industry Top Index ETF – RMB	ETF	2013/11/26	2013/11/26
83081	*	Value Gold ETF – RMB	ETF	2013/11/29	2013/11/29
85935		RKI Finance (2013) Ltd. 6% Guaranteed Senior CNY Notes 2016	Debt securities	2013/12/04	2013/12/04
85936		Bestgain Real Estate Lyra Ltd. 4.5% Guaranteed CNY Notes2018	Debt securities	2013/12/05	2013/12/05
82832	*#	Bosera FTSE China A50 Index ETF – RMB	ETF	2013/12/09	2013/12/09
85937		Far East Horizon Ltd. 5.45% CNY Notes 2016	Debt securities	2013/12/12	2013/12/12
86615		Ministry of Finance of the PRC 2.80% CNY Bonds 2015	Debt securities	2013/12/12	2013/12/12
85938		Bestgain Real Estate Lyra Ltd. 4.05% Guar. CNY Notes 2016	Debt securities	2013/12/17	2013/12/17

* Securities traded in dual counters for RMB and HKD

\# RQFII A-share ETF

^ First listed on 6 August 2003 and traded in HKD on counter 737

Stock trading value, 1970-2013

HK$mil

Year	Whole year value	No. of trading days	Average daily	High day (*) Value	High day (*) Date	Low day (*) Value	Low day (*) Date
1970	6,056.13	294	20.60	56.69	03/10	6.15	06/09
1971	14,806.21	276	53.65	211.39	09/20	9.12	03/01
1972	42,907.54	247	173.71	659.34	11/10	21.48	02/02
1973	48,880.44	245	199.51	704.69	02/08	35.39	08/29
1974	11,436.70	245	46.68	219.33	06/17	10.91	12/05
1975	10,359.91	246	42.11	167.46	03/06	9.00	01/06
1976	13,183.31	248	53.16	223.42	02/26	9.72	07/26
1977	6,132.43	246	24.93	67.47	04/18	8.54	10/10
1978	27,445.86	244	112.48	410.91	08/18	9.39	02/10
1979	25,632.21	246	104.20	407.69	09/26	18.39	01/02
1980	95,670.54	246	388.90	1,424.95	11/03	47.58	04/09
1981	105,970.90	244	434.31	991.92	06/12	132.90	10/26
1982	46,221.25	247	187.13	2,064.18	04/26	68.68	12/23
1983	37,166.26	247	150.47	589.22	07/15	40.37	01/04
1984	48,808.70	248	196.81	1,264.27	01/13	38.50	01/03
1985	75,821.03	247	306.97	3,308.08	02/01	116.04	12/23
1986	123,128.35	247	498.50	1,721.13	10/08	124.62	02/05
1987	371,406.46	246	1,509.78	5,407.55	10/02	401.58	04/21
1988	199,480.68	248	804.36	1,889.48	06/15	230.00	09/19
1989	299,146.70	246	1,216.04	3,673.60	05/23	290.01	07/18
1990	288,714.90	248	1,164.17	3,280.82	08/07	338.36	12/28
1991	334,103.92	248	1,347.19	3,389.14	07/05	241.35	01/02
1992	700,577.50	250	2,802.31	6,420.47	05/25	965.50	01/03
1993	1,222,675.13	249	4,910.34	12,909.44	12/10	1,019.78	01/04
1994	1,137,414.00	248	4,586.35	17,204.66	01/07	1,313.55	07/04
1995	826,800.60	247	3,347.37	9,924.41	05/12	1,385.27	05/01
1996	1,412,242.38	249	5,671.66	13,803.84	12/06	2,734.90	05/27
1997	3,788,959.79	245	15,465.14	46,096.84	08/29	3,253.55	12/29
1998	1,701,112.01	247	6,887.09	79,002.61	08/28	1,221.90	12/28
1999	1,915,940.58	247	7,756.84	17,387.59	11/16	2,100.34	02/22
2000	3,047,565.32	247	12,338.32	35,746.19	02/15	4,565.80	12/27
2001	1,950,086.74	243	8,025.05	17,752.03	04/19	2,906.48	12/27
2002	1,599,074.57	247	6,473.99	12,982.41	05/02	2,734.31	01/02
2003	2,545,675.94	248	10,264.82	34,464.21	12/16	2,136.95	01/02
2004	3,948,350.84	249	15,856.83	38,758.84	01/13	7,378.09	08/17
2005	4,498,095.11	247	18,210.91	34,617.04	03/02	9,835.58	05/24
2006	8,332,633.24	247	33,735.36	76,008.14	10/27	16,451.20	06/19
2007	21,506,271.44	246	87,423.87	209,808.30	10/03	35,013.62	03/15
2008	17,600,713.87	245	71,839.65	156,353.59	01/23	21,951.90	12/29
2009	15,439,486.88	249	62,005.97	146,624.25	05/13	28,531.97	03/12
2010	17,076,412.15	249	68,579.97	138,533.28	10/14	33,995.19	02/18
2011	17,091,116.87	246	69,476.08	124,773.84	08/05	24,466.29	12/30
2012	13,267,508.72	247	53,714.61	127,100.48	03/06	22,225.20	12/24
2013	15,185,792.16	244	62,236.85	128,156.37	02/04	28,725.67	12/24

Records since 1970	
High year: 2007	High day: 2007/10/03
Low year: 1970	Low day: 1970/06/09

(*) Only full trading days are included for the records.
Market turnover values since 1993 were adjusted for late reported and rejected sales.
Prior to 2 April 1986, there were four stock exchanges in Hong Kong.

Key statistics for listed stocks (1976-2013)

Year	No. of listed companies	No. of listed securities	Equities funds raised* (HK$mil)	Total issued capital (HK$mil)	Market capitalisation (HK$mil)
1976	295	319	n.a.	23,258	56,675
1977	284	315	n.a.	23,427	51,278
1978	265	298	n.a.	24,916	65,939
1979	262	298	n.a.	26,853	112,809
1980	262	309	n.a.	33,080	209,753
1981	269	335	n.a.	59,274	232,331
1982	273	342	n.a.	63,561	131,640
1983	277	351	n.a.	67,941	142,094
1984	278	348	n.a.	69,945	184,642
1985	279	340	n.a.	72,235	269,511
1986	253	335	14,707	73,106	419,281
1987	276	412	48,082	90,713	419,612
1988	304	479	18,506	106,214	580,378
1989	298	479	20,345	103,404	605,010
1990	299	520	21,233	108,808	650,410
1991	357	597	39,457	117,547	949,172
1992	413	749	105,113	136,111	1,332,184
1993	477	891	90,005	164,062	2,975,379
1994	529	1,006	51,861	177,568	2,085,182
1995	542	1,033	39,202	180,423	2,348,310
1996	583	1,272	100,018	202,861	3,475,965
1997	658	1,533	247,577	236,716	3,202,630
1998	680	1,246	38,257	238,612	2,661,713
1999	701	1,205	148,120	225,577	4,727,527
2000	736	1,294	451,281	283,356	4,795,150
2001	756	1,075	58,593	321,071	3,885,342
2002	812	1,416	101,413	378,586	3,559,099
2003	852	1,598	209,116	400,365	5,477,670
2004	892	1,971	276,521	431,927	6,629,177
2005	934	2,448	298,660	704,903	8,113,333
2006	975	3,184	516,025	892,349	13,248,821
2007	1,048	5,896	571,078	953,098	20,536,463
2008	1,087	5,654	418,188	972,136	10,253,589
2009	1,145	6,441	637,734	1,034,447	17,769,271
2010	1,244	7,730	845,486	1,230,965	20,942,284
2011	1,326	6,551	482,834	1,280,438	17,452,667
2012	1,368	6,723	300,231	1,306,671	21,871,730
2013	1,451	8,330	369,822	1,373,154	23,908,804

Year-end figures

n.a. Not available

* Equities funds raised prior to 1990 do not include share option scheme.

Highest turnover records

Main Board

Year		
Rank	Value (HK$mil)	Year
1	21,506,271.44	2007
2	17,600,713.87	2008
3	17,091,116.87	2011
4	17,076,412.14	2010
5	15,439,486.88	2009
6	15,185,792.16	2013
7	13,267,508.72	2012
8	8,332,633.24	2006
9	4,498,095.11	2005
10	3,948,350.84	2004

Month		
Rank	Value (HK$mil)	Year/Month
1	3,473,846.35	2007/10
2	2,993,364.29	2007/11
3	2,623,012.43	2008/01
4	2,154,141.65	2010/11
5	2,152,013.07	2007/08
6	2,071,514.56	2007/09
7	1,955,375.05	2010/10
8	1,878,528.95	2007/07
9	1,839,413.64	2007/12
10	1,813,627.99	2008/04

Quarter		
Rank	Value (HK$mil)	Year/Quarter
1	8,306,624.27	2007/4
2	6,102,056.58	2007/3
3	5,901,036.22	2008/1
4	5,494,945.12	2010/4
5	4,684,220.74	2011/1
6	4,623,402.28	2008/2
7	4,562,366.77	2011/3
8	4,374,641.78	2013/1
9	4,353,187.76	2009/2
10	4,312,121.38	2009/3

Day		
Rank	Value (HK$mil)	Date
1	209,808.30	2007/10/03
2	200,833.43	2007/10/16
3	195,257.20	2007/10/12
4	185,024.96	2007/10/30
5	178,712.17	2007/10/11
6	177,627.69	2007/10/29
7	174,395.47	2007/10/15
8	169,636.11	2007/11/06
9	168,521.99	2007/10/18
10	165,175.30	2007/10/25

Main Board and GEM

Year		
Rank	Value (HK$mil)	Year
1	21,665,529.92	2007
2	17,652,800.86	2008
3	17,210,077.74	2010
4	17,154,074.38	2011
5	15,515,248.69	2009
6	15,264,629.96	2013
7	13,301,049.76	2012
8	8,376,311.12	2006
9	4,520,431.80	2005
10	3,974,111.69	2004

Month		
Rank	Value (HK$mil)	Year/Month
1	3,487,325.59	2007/10
2	3,007,223.67	2007/11
3	2,629,311.19	2008/01
4	2,168,466.42	2007/08
5	2,168,191.68	2010/11
6	2,083,138.17	2007/09
7	1,968,932.72	2010/10
8	1,905,011.22	2007/07
9	1,845,218.16	2007/12
10	1,821,243.71	2011/03

Quarter		
Rank	Value (HK$mil)	Year/Quarter
1	8,339,767.42	2007/4
2	6,156,615.82	2007/3
3	5,922,420.08	2008/1
4	5,533,486.38	2010/4
5	4,706,837.99	2011/1
6	4,640,846.32	2008/2
7	4,572,502.61	2011/3
8	4,387,021.75	2013/1
9	4,372,749.49	2009/2
10	4,338,516.02	2009/3

Day		
Rank	Value (HK$mil)	Date
1	210,505.53	2007/10/03
2	201,413.37	2007/10/16
3	195,962.20	2007/10/12
4	185,681.50	2007/10/30
5	179,409.27	2007/10/11
6	178,382.19	2007/10/29
7	174,984.45	2007/10/15
8	170,352.76	2007/11/06
9	169,121.20	2007/10/18
10	165,926.12	2007/10/25

Note: Market turnover values since January 1993 were adjusted for late reported and rejected sales.
Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

10 largest day-to-day fluctuations in stock price indices

S&P/HKEx LargeCap Index 1998-2013

28 February 2003 = 10000

Rank	% up	Date	Closing index
1	15.04	1998/02/02	11001.95
2	14.29	2008/10/28	15507.37
3	12.64	2008/10/30	17632.61
4	10.97	2008/01/23	29340.77
5	10.09	2008/10/13	19931.74
6	9.95	1998/10/16	10078.68
7	9.29	2008/09/19	23629.69
8	9.20	1998/09/07	8013.39
9	8.75	1998/08/14	7133.91
10	8.28	2008/12/08	18707.83

Rank	% down	Date	Closing index
1	12.59	2008/10/27	13569.03
2	9.82	1998/01/12	8439.81
3	8.87	2001/09/12	10522.63
4	8.70	2000/04/17	15693.12
5	8.67	2008/01/22	26440.70
6	8.19	2008/10/24	15523.90
7	8.14	2008/10/08	18980.80
8	7.53	1998/08/31	7089.75
9	7.49	2008/10/10	18104.89
10	6.91	2000/01/05	16613.10

Rank	Points up	Date	Closing index
1	2900.07	2008/01/23	29340.77
2	2009.16	2008/09/19	23629.69
3	1978.01	2008/10/30	17632.61
4	1938.34	2008/10/28	15507.37
5	1907.46	2008/01/25	30474.42
6	1826.85	2008/10/13	19931.74
7	1690.88	2007/11/14	35076.20
8	1594.62	2008/03/25	27333.87
9	1478.44	2007/08/20	25881.95
10	1438.53	1998/02/02	11001.95

Rank	Points down	Date	Closing index
1	2509.29	2008/01/22	26440.70
2	1954.87	2008/10/27	13569.03
3	1916.47	2007/11/05	35248.33
4	1703.15	2008/01/16	29740.34
5	1682.08	2008/10/08	18980.80
6	1654.93	2008/01/21	28949.99
7	1592.80	2008/02/06	28621.00
8	1495.17	2000/04/17	15693.12
9	1466.46	2008/10/10	18104.89
10	1434.90	2011/08/09	22919.84

Hang Seng Index 1970-2013

31 July 1964 = 100

Rank	% up	Date	Closing index
1	18.82	1997/10/29	10765.30
2	14.41	1973/02/09	1449.91
3	14.35	2008/10/28	12596.29
4	14.33	1998/02/02	10578.60
5	13.36	1974/11/27	185.17
6	13.20	1973/02/02	1098.86
7	13.20	1973/04/11	926.45
8	12.82	2008/10/30	14329.85
9	12.35	1975/01/27	209.62
10	11.71	1973/07/18	602.34

Rank	% down	Date	Closing index
1	33.33	1987/10/26	2241.69
2	21.75	1989/06/05	2093.61
3	13.70	1997/10/28	9059.89
4	13.31	1973/03/26	1229.28
5	12.70	2008/10/27	11015.84
6	11.48	1973/04/10	818.39
7	11.43	1972/11/13	672.62
8	11.12	1987/10/19	3362.39
9	10.78	1989/05/22	2806.57
10	10.41	1997/10/23	10426.30

Rank	Points up	Date	Closing index
1	2332.54	2008/01/23	24090.17
2	1705.41	1997/10/29	10765.30
3	1695.27	2008/09/19	19327.73
4	1627.78	2008/10/30	14329.85
5	1583.10	2008/01/25	25122.37
6	1580.45	2008/10/28	12596.29
7	1515.29	2008/10/13	16312.16
8	1362.66	2007/11/14	29166.01
9	1356.30	2008/03/25	22464.52
10	1326.24	1998/02/02	10578.60

Rank	Points down	Date	Closing index
1	2061.23	2008/01/22	21757.63
2	1602.54	2008/10/27	11015.84
3	1526.02	2007/11/05	28942.32
4	1438.31	1997/10/28	9059.89
5	1386.93	2008/01/16	24450.85
6	1383.01	2008/01/21	23818.86
7	1380.39	2000/04/17	14762.37
8	1372.03	2008/10/08	15431.73
9	1339.24	2008/02/06	23469.46
10	1226.10	2000/01/05	15846.72

World stock market indices, 2009 – 2013

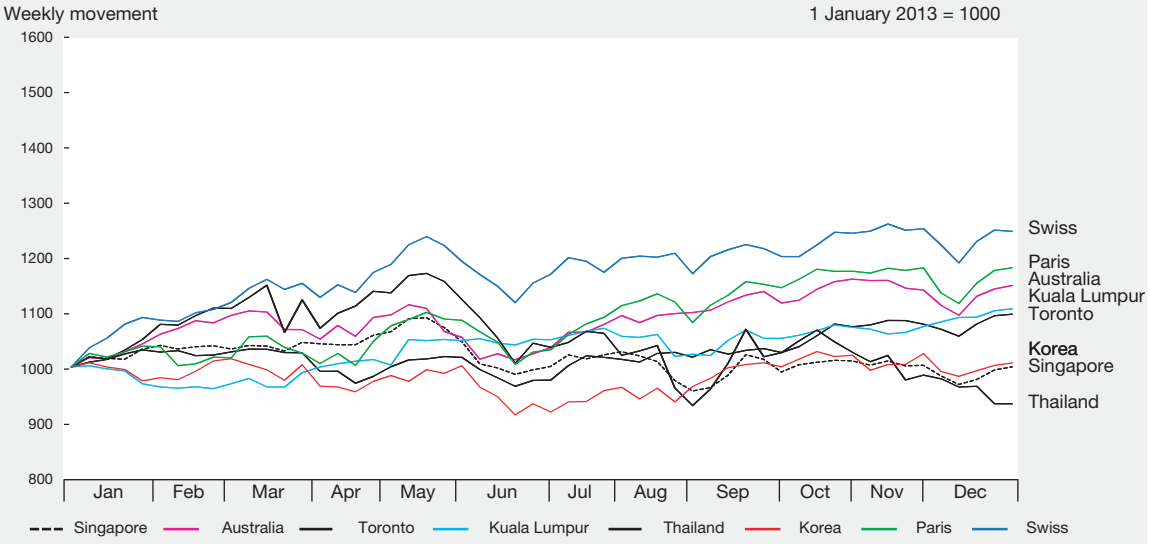
Year/ Month	AUSTRALIA All Ordinaries Index	GERMANY DAX Capital Value Index	HONG KONG Hang Seng Index	KOREA Composite Stock Index	KUALA LUMPUR Composite Index	LONDON FTSE 100 Index	NEW YORK DJIA Index	PARIS CAC 40 Index
2009	4882.72	3604.02	21872.50	1682.77	1272.78	5412.90	10428.05	3936.33
2010	4846.88	4053.71	23035.45	2051.00	1518.91	5899.90	11577.51	3804.78
2011	4111.04	3346.06	18434.39	1825.74	1530.73	5572.30	12217.56	3159.81
2012	4664.59	4161.30	22656.92	1997.05	1688.95	5897.80	13104.14	3641.07
2013	5353.08	5051.89	23306.39	2011.34	1866.96	6749.09	16576.66	4295.95
2013								
Jan	4901.01	4235.76	23729.53	1961.94	1627.55	6276.90	13860.58	3732.60
Feb	5120.38	4217.21	23020.27	2026.49	1637.63	6360.80	14054.49	3723.00
Mar	4979.87	4245.47	22299.63	2004.89	1671.63	6411.70	14578.54	3731.42
Apr	5168.60	4251.86	22737.01	1963.95	1717.65	6430.12	14839.80	3856.75
May	4914.03	4419.52	22392.16	2001.05	1769.22	6583.09	15115.57	3948.59
Jun	4775.41	4209.43	20803.29	1863.32	1773.54	6215.47	14909.60	3738.91
Jul	5035.67	4376.95	21883.66	1914.03	1772.62	6621.06	15499.54	3992.69
Aug	5125.30	4285.55	21731.37	1926.36	1727.58	6412.93	14810.31	3933.78
Sep	5217.74	4545.36	22859.86	1996.96	1768.62	6462.22	15129.67	4143.44
Oct	5420.28	4777.81	23206.37	2030.09	1806.85	6731.43	15545.75	4299.89
Nov	5314.32	4974.22	23881.29	2044.87	1812.72	6650.57	16086.41	4295.21
Dec	5353.08	5051.89	23306.39	2011.34	1866.96	6749.09	16576.66	4295.95

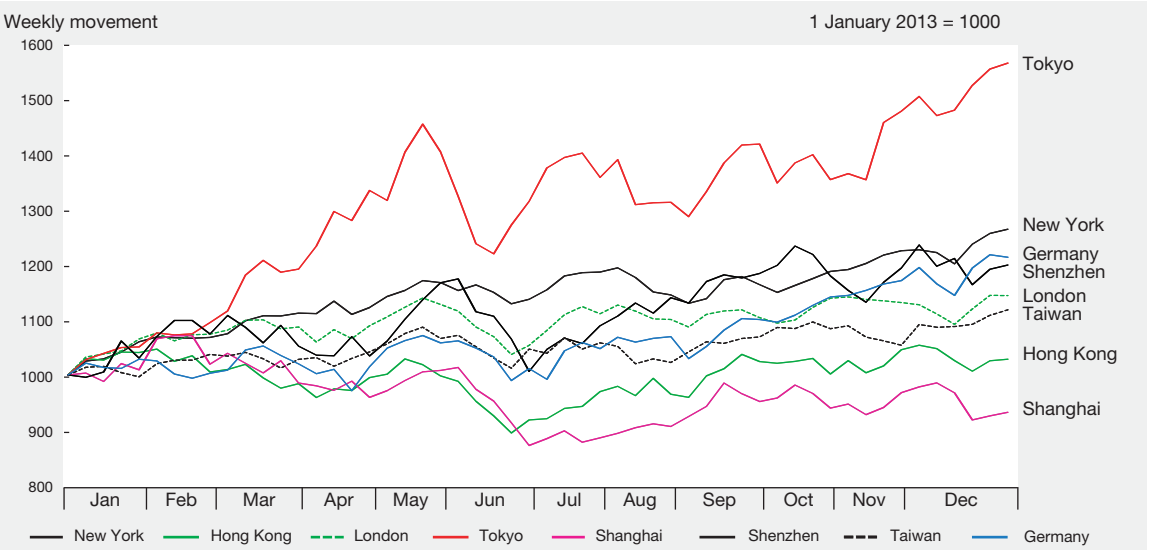
Note: Month-end or year-end figures.

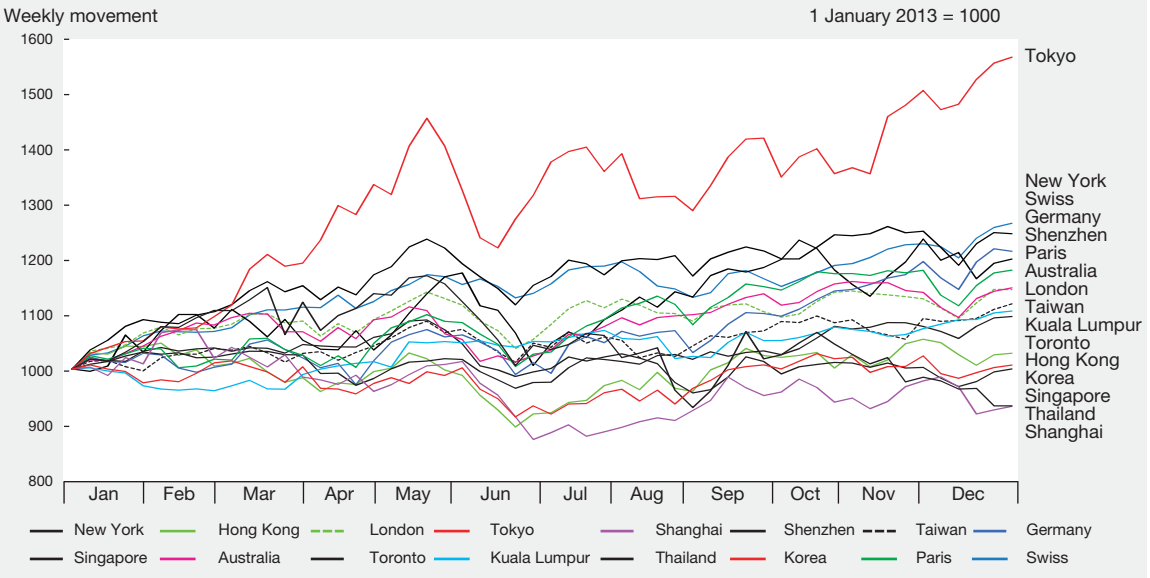
Year/ Month	SHANGHAI A-Share Index	SHENZHEN A-Share Index	SINGAPORE FTSE ST Index	SWITZERLAND SPI General Index	TAIWAN Taipei Weighted Stock Index	THAILAND Bangkok SET Index	TOKYO Nikkei 225 Index	TORONTO Composite Index
2009	3437.46	1261.26	2897.62	5626.40	8188.11	734.54	10546.44	11746.11
2010	2940.24	1351.14	3190.04	5790.62	8972.50	1032.76	10228.92	13443.22
2011	2304.12	906.91	2646.35	5343.52	7072.08	1025.32	8455.35	11955.09
2012	2376.04	919.80	3167.08	6290.52	7699.50	1391.93	10395.18	12433.53
2013	2214.49	1103.81	3167.43	7838.00	8611.51	1298.71	16291.31	13621.55
2013								
Jan	2496.83	975.60	3280.39	6789.40	7850.02	1474.20	11138.66	12685.24
Feb	2475.97	1007.87	3269.95	7024.53	7897.98	1541.58	11559.36	12821.83
Mar	2340.92	967.96	3308.10	7243.46	7918.61	1561.06	12397.91	12749.90
Apr	2279.54	951.57	3368.18	7424.88	8093.66	1597.86	13860.86	12456.50
May	2408.25	1080.63	3311.37	7495.05	8254.80	1562.07	13774.54	12650.42
Jun	2071.26	925.75	3150.44	7247.62	8062.21	1451.90	13677.32	12129.11
Jul	2086.70	984.08	3221.93	7398.59	8107.94	1423.14	13668.32	12486.64
Aug	2196.38	1040.20	3028.94	7353.92	8021.89	1294.30	13388.86	12653.90
Sep	2276.26	1103.18	3167.87	7611.23	8173.87	1383.16	14455.80	12787.19
Oct	2241.64	1065.70	3210.67	7829.04	8450.06	1442.88	14327.94	13361.26
Nov	2324.35	1137.32	3176.35	7867.29	8406.83	1371.13	15661.87	13395.40
Dec	2214.49	1103.81	3167.43	7838.00	8611.51	1298.71	16291.31	13621.55

Note: Month-end or year-end figures.

World stock market indices, 2013







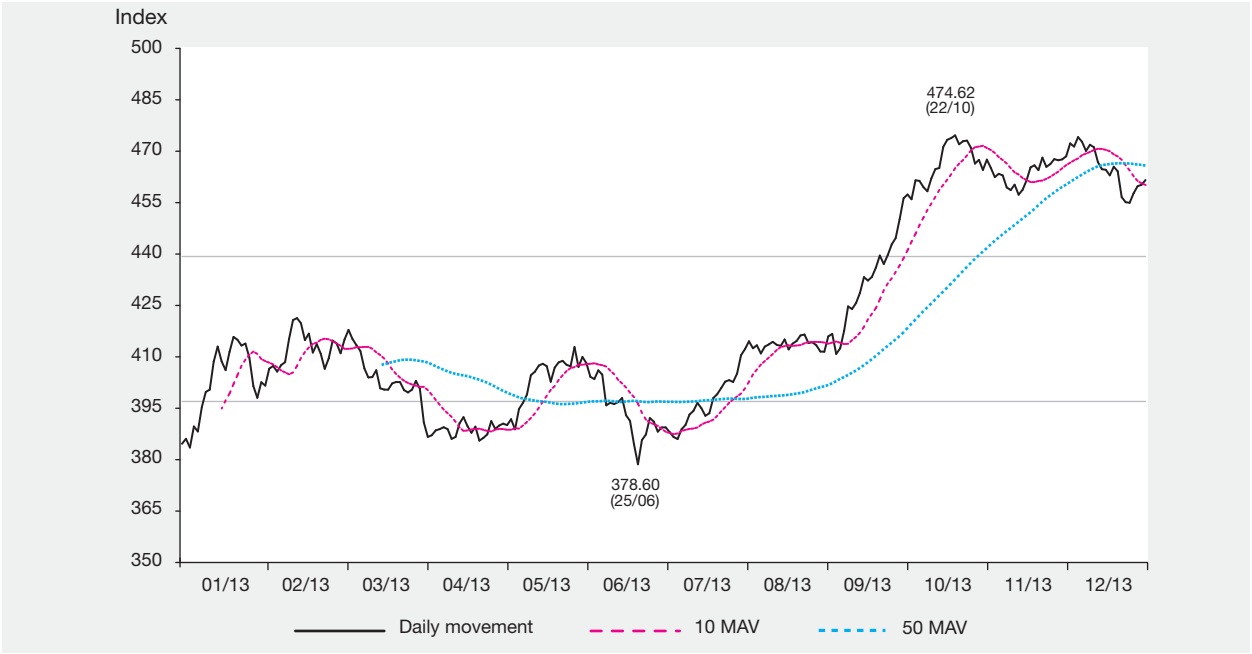
S&P/HKEx GEM Index, daily closing (2013)

28 February 2003 = 1000

Date	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	–	406.52	413.86	–	–	–	–	410.45	–	–	467.55	–
2	384.59	–	–	402.95	388.94	–	391.04	412.24	411.46	456.29	–	472.28
3	386.04	–	–	400.63	389.91	407.02	388.13	–	415.94	457.36	–	471.36
4	383.49	407.31	411.01	–	–	409.93	389.36	–	416.66	455.97	465.13	474.12
5	–	405.66	415.05	390.78	–	408.11	389.38	414.51	410.83	–	462.44	472.75
6	–	407.55	417.83	–	390.47	404.13	–	412.48	412.45	–	463.41	470.08
7	389.64	408.42	415.18	–	390.04	403.49	–	413.34	–	461.51	462.93	–
8	388.18	415.16	413.37	386.60	391.76	–	387.98	410.95	–	461.34	459.44	–
9	395.29	–	–	387.08	388.81	–	386.55	412.97	417.59	459.51	–	471.89
10	399.72	–	–	388.50	394.76	406.03	385.98	–	424.69	458.31	–	471.16
11	400.39	–	411.67	388.87	–	404.77	388.83	–	423.92	462.07	458.67	466.83
12	–	–	406.58	389.42	–	–	390.09	413.60	425.67	–	460.23	464.75
13	–	–	403.96	–	396.56	395.82	–	414.35	428.67	–	457.31	464.58
14	408.57	420.69	404.06	–	398.96	396.55	–	–	–	–	458.72	–
15	412.97	421.28	406.05	388.87	404.63	–	393.12	413.48	–	464.77	461.47	–
16	408.64	–	–	386.02	405.62	–	394.21	413.17	433.24	465.10	–	462.95
17	406.09	–	–	386.58	–	396.19	396.53	–	432.25	471.26	–	465.49
18	411.46	419.79	400.78	390.57	–	396.76	394.97	–	433.29	473.32	465.27	464.13
19	–	414.87	400.41	392.36	–	397.95	392.76	415.04	436.00	–	465.88	456.58
20	–	416.75	400.39	–	407.43	392.91	–	412.13	–	–	464.48	455.12
21	415.79	411.22	402.21	–	407.95	391.26	–	413.82	–	473.84	468.13	–
22	414.95	413.66	402.62	389.77	407.24	–	393.50	414.59	–	474.62	465.44	–
23	413.27	–	–	387.81	402.71	–	397.95	416.22	439.55	472.03	–	454.94
24	413.84	–	–	389.59	406.82	384.45	399.08	–	437.07	472.92	–	457.71
25	409.66	410.86	402.59	385.51	–	378.60	400.87	–	439.67	473.10	466.25	–
26	–	406.47	400.26	386.29	–	385.68	402.73	416.48	442.80	–	467.69	–
27	–	409.47	399.58	–	408.36	387.28	–	414.01	444.65	–	467.36	459.77
28	401.43	414.72	400.38	–	408.80	392.10	–	414.22	–	470.97	467.62	–
29	398.01	–	–	387.34	407.64	–	403.17	413.33	–	466.39	468.53	–
30	402.55	–	–	391.21	407.21	–	402.63	411.52	450.16	467.47	–	460.20
31	401.58	–	–	–	412.83	–	405.09	–	–	464.52	–	461.58
High	415.79	421.28	417.83	402.95	412.83	409.93	405.09	416.48	450.16	**474.62**	468.53	474.12
Low	383.49	405.66	399.58	385.51	388.81	**378.60**	385.98	410.45	410.83	455.97	457.31	454.94
Avg	402.10	412.38	406.39	389.84	400.83	396.79	394.27	413.47	428.83	465.84	464.00	464.91

Note: S&P/HKEx GEM Index is compiled by Standard & Poors

S&P/HKEx GEM Index, daily movement (2013)



Number of securities by type on GEM, 2009 – 2013

	Year				
Type	2009	2010	2011	2012	2013
Ordinary shares	174	169	170	179	192
Preference shares	0	0	0	0	0
Warrants	1	1	2	1	0
– Equity warrants	*1*	*1*	*2*	*1*	*0*
– Derivative warrants	*0*	*0*	*0*	*0*	*0*
Total	175	170	172	180	192

Number of newly listed securities on GEM, 2009-2013

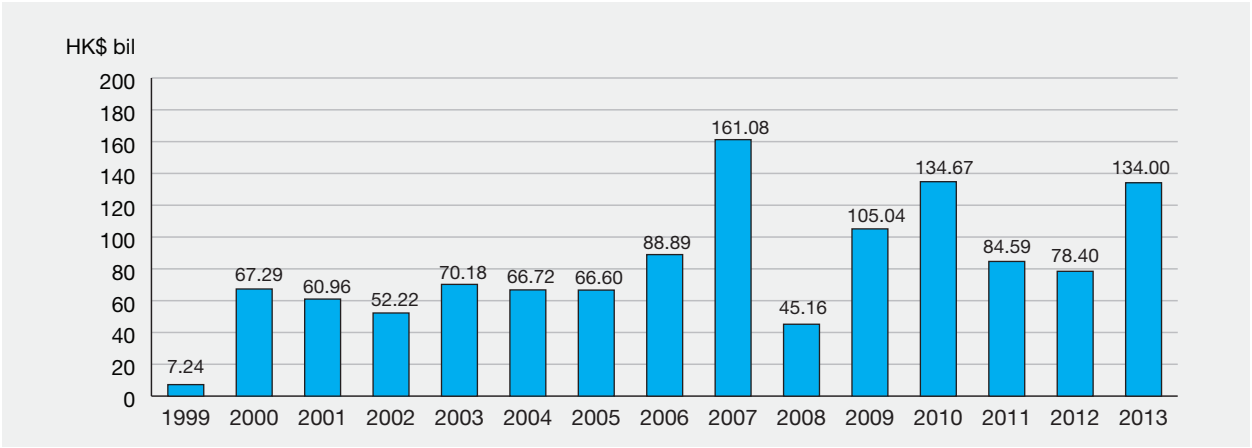
	Year				
Type	2009	2010	2011	2012	2013
Ordinary shares	5	7	13	12	23
Preference shares	0	0	0	0	0
Warrants	0	1	1	0	0
– Equity warrants	*0*	*1*	*1*	*0*	*0*
– Derivative warrants	*0*	*0*	*0*	*0*	*0*
Total	5	8	14	12	23

Number of delisted securities from GEM, 2009-2013

	Year				
Type	2009	2010	2011	2012	2013
Ordinary shares	5	12	12	3	10
– Withdrawal of listing	*1*	*0*	*0*	*1*	*2*
*– Transfer of listing on Main Board**	*4*	*12*	*12*	*2*	*8*
Warrants – equity	2	1	0	1	1
Total	7	13	12	4	11

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

Market capitalisation of GEM, 1999 – 2013



Market capitalisation of GEM by Hang Seng Industry Classification System, 2013

HK$mil

	2013											
Industry Classification *	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Energy	2,855.60	2,741.40	2,421.30	2,921.22	3,300.47	3,378.13	3,505.05	3,414.89	2,972.68	3,240.58	2,005.17	1,781.94
Materials	9,551.16	11,307.71	11,507.05	10,551.61	10,428.11	8,870.75	8,441.49	7,950.60	8,896.55	8,968.38	9,258.17	8,728.95
Industrial	3,014.85	3,229.78	3,219.53	3,323.15	3,817.61	3,748.35	4,786.49	4,516.92	6,075.48	6,023.61	6,071.61	5,891.00
Consumer Goods	11,526.58	12,680.79	12,805.16	12,720.82	20,434.05	21,345.27	24,781.63	24,649.51	26,148.39	30,112.01	26,303.28	22,656.91
Consumer Services	18,186.46	18,537.88	18,292.35	18,606.25	20,294.70	19,680.46	20,313.63	21,724.66	23,590.84	24,990.71	27,472.64	31,846.17
Telecommunications	633.79	567.66	544.32	486.13	509.63	502.02	488.36	512.88	469.43	559.29	614.11	578.58
Utilities	3,297.02	2,997.12	3,196.98	3,256.95	3,167.02	2,917.23	2,957.16	2,837.27	2,937.23	2,897.12	3,286.73	3,436.59
Financials	7,716.78	8,365.27	8,136.65	8,825.33	9,083.20	9,372.55	10,816.78	11,376.58	11,837.82	12,602.34	13,416.27	14,739.52
Properties & Construction	2,111.58	2,065.87	1,776.39	1,787.21	1,950.77	1,901.87	1,950.66	1,897.03	2,370.50	2,236.46	2,276.86	2,460.84
Information Technology	25,120.64	25,507.09	25,418.70	24,796.97	26,379.92	27,013.90	28,474.90	30,706.55	33,721.88	38,552.16	38,896.32	41,881.56
Conglomerates	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	**84,014.45**	**88,000.57**	**87,318.43**	**87,275.64**	**99,365.49**	**98,730.52**	**106,516.15**	**109,586.89**	**119,020.80**	**130,182.65**	**129,601.16**	**134,002.06**

Note: Month-end figures
* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Market capitalisation trends on GEM by Hang Seng Industry Classification System, 2009 – 2013

HK$mil

Industry Classification *	2009		2010		2011		2012		2013	
Energy	4,066.76	(3.87%)	1,468.18	(1.09%)	3,645.71	(4.31%)	2,701.03	(3.45%)	1,781.94	(1.33%)
Materials	15,423.16	(14.68%)	24,258.08	(18.01%)	9,617.36	(11.37%)	7,347.09	(9.37%)	8,728.95	(6.51%)
Industrial	2,007.40	(1.91%)	7,173.86	(5.33%)	5,673.49	(6.71%)	3,089.99	(3.94%)	5,891.00	(4.40%)
Consumer Goods	23,776.91	(22.64%)	23,351.87	(17.34%)	10,599.90	(12.53%)	10,752.67	(13.72%)	22,656.91	(16.91%)
Consumer Services	20,378.65	(19.40%)	22,223.51	(16.50%)	11,044.50	(13.06%)	17,749.03	(22.64%)	31,846.17	(23.77%)
Telecommunications	2,261.48	(2.15%)	1,312.73	(0.97%)	170.59	(0.20%)	608.54	(0.78%)	578.58	(0.43%)
Utilities	7,283.78	(6.93%)	5,603.33	(4.16%)	4,039.92	(4.78%)	3,376.84	(4.31%)	3,436.59	(2.56%)
Financials	1,676.50	(1.60%)	5,074.92	(3.77%)	3,958.77	(4.68%)	7,053.35	(9.00%)	14,739.52	(11.00%)
Properties & Construction	4,951.98	(4.71%)	2,632.00	(1.95%)	3,454.73	(4.08%)	1,664.38	(2.12%)	2,460.84	(1.84%)
Information Technology	23,152.33	(22.04%)	40,338.30	(29.95%)	31,909.46	(37.72%)	24,056.07	(30.68%)	41,881.56	(31.25%)
Conglomerates	57.48	(0.05%)	1,237.31	(0.92%)	474.63	(0.56%)	–	(0.00%)	–	(0.00%)
Equity total	**105,036.43**	**(100.00%)**	**134,674.08**	**(100.00%)**	**84,589.06**	**(100.00%)**	**78,398.98**	**(100.00%)**	**134,002.06**	**(100.00%)**

( ) % of equity market total
Year-end figures
* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

50 leading companies in market capitalisation on GEM

End of 2013

Rank	Code	Company	Issued capital (shares)	Closing price (HK$)	Market capitalisation (HK$mil)	% of market total
1	08138	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	830,000,000	10.180	8,449.40	6.31
2	08002	IGG Inc	1,358,852,099	5.150	6,998.09	5.22
3	08292	HC International, Inc.	657,355,618	10.280	6,757.62	5.04
4	08137	Honbridge Holdings Ltd.	6,215,679,716	0.960	5,967.05	4.45
5	08008	Sunevision Holdings Ltd.	2,322,340,031	2.230	5,178.82	3.86
6	08279	AGTech Holdings Ltd.	4,346,261,364	1.180	5,128.59	3.83
7	08198	MelcoLot Ltd.	2,401,663,781	1.720	4,130.86	3.08
8	08158	China Bio-Med Regeneration Technology Ltd.	10,511,880,000	0.275	2,890.77	2.16
9	08035	Binhai Investment Co. Ltd.	5,992,812,000	0.475	2,846.59	2.12
10	08032	Viva China Holdings Ltd.	6,076,394,726	0.450	2,734.38	2.04
11	08090	China Assurance Finance Group Ltd.	1,585,400,000	1.720	2,726.89	2.03
12	08072	Roma Group Ltd.	800,000,000	2.640	2,112.00	1.58
13	08356	CNC Holdings Ltd.	1,674,735,664	1.260	2,110.17	1.57
14	08102	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	242,330,000	8.660	2,098.58	1.57
15	08207	Credit China Holdings Ltd.	2,943,600,000	0.670	1,972.21	1.47
16	08232	China U-Ton Holdings Ltd.	1,680,000,000	1.160	1,948.80	1.45
17	08155	South China Land Ltd.	11,178,498,344	0.172	1,922.70	1.43
18	08005	Yuxing InfoTech Investment Holdings Ltd.	1,792,116,800	1.020	1,827.96	1.36
19	08020	Chanceton Financial Group Ltd.	2,220,000,000	0.790	1,753.80	1.31
20	08180	New Ray Medicine International Holding Ltd.	800,000,000	2.110	1,688.00	1.26
21	08156	China Vanguard Group Ltd.	754,378,767	2.230	1,682.26	1.26
22	08083	China Innovationpay Group Ltd.	4,707,139,457	0.315	1,482.75	1.11
23	08006	Sino Splendid Holdings Ltd.	107,173,641	13.540	1,451.13	1.08
24	08179	Gayety Holdings Ltd.	3,200,000,000	0.410	1,312.00	0.98
25	08050	Yunbo Digital Synergy Group Ltd.	1,356,250,000	0.900	1,220.63	0.91
26	08128	China Ground Source Energy Industry Group Ltd.	2,902,827,117	0.405	1,175.64	0.88
27	08085	Hong Kong Life Sciences and Technologies Group Ltd.	3,950,332,805	0.295	1,165.35	0.87
28	08123	First China Financial Network Holdings Ltd.	4,458,960,120	0.260	1,159.33	0.87
29	08325	Oriental City Group Holdings Ltd.	720,000,000	1.600	1,152.00	0.86
30	08086	DX.com Holdings Ltd.	5,094,515,570	0.221	1,125.89	0.84
31	08255	China Binary Sale Technology Ltd.	480,000,000	2.290	1,099.20	0.82
32	08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	164,560,000	6.600	1,086.10	0.81
33	08185	RM Group Holdings Ltd.	515,000,000	2.050	1,055.75	0.79
34	08132	Fairson Holdings Ltd.	660,000,000	1.580	1,042.80	0.78
35	08212	Celebrate International Holdings Ltd.	767,100,600	1.330	1,020.24	0.76
36	08018	Finsoft Corporation	200,000,000	5.080	1,016.00	0.76
37	08321	China Automotive Interior Decoration Holdings Ltd.	1,152,000,000	0.850	979.20	0.73
38	08025	Asian Capital Resources (Holdings) Ltd.	1,485,518,897	0.620	921.02	0.69
39	08220	Bingo Group Holdings Ltd.	3,067,194,562	0.300	920.16	0.69
40	08250	China Natural Investment Co. Ltd.	5,192,502,338	0.172	893.11	0.67
41	08056	Differ Group Holding Co. Ltd.	1,000,000,000	0.860	860.00	0.64
42	08107	U Banquet Group Holding Ltd.	400,000,000	2.120	848.00	0.63
43	08219	Branding China Group Ltd.	246,810,194	3.250	802.13	0.60
44	08077	KVB Kunlun Financial Group Ltd.	2,000,000,000	0.400	800.00	0.60
45	08276	Huazhang Technology Holding Ltd.	272,000,000	2.810	764.32	0.57
46	08167	Neo Telemedia Ltd.	2,554,920,793	0.285	728.15	0.54
47	08071	China Netcom Technology Holdings Ltd.	1,854,235,049	0.375	695.34	0.52
48	08270	China Leason CBM & Shale Gas Group Co. Ltd.	5,461,735,792	0.120	655.41	0.49
49	08306	China Nonferrous Metals Co. Ltd.	1,751,307,949	0.370	647.98	0.48
50	08066	Phoenitron Holdings Ltd.	3,161,835,000	0.199	629.21	0.47
		Total			101,634.38	75.85
		Equity total			134,002.06	100.00

Trading value and volume for GEM, 2009 – 2013

Year/Month		No. of trading days	Value (HK$mil)		Volume (mil of shares)		Deals	
			Total	Average daily	Total	Average daily	Total	Average daily
2009		249	75,761.81	304.26	296,240.90	1,189.72	2,106,196	8,459
2010		249	133,665.60	536.81	446,921.79	1,794.87	3,367,683	13,525
2011		246	62,957.51	255.92	285,503.28	1,160.58	1,721,719	6,999
2012		247	33,541.03	135.79	154,803.15	626.73	1,107,126	4,482
2013		244	78,837.80	323.11	229,284.85	939.69	2,241,521	9,187
2013	Jan	22	5,126.77	233.03	20,911.33	950.51	157,276	7,149
	Feb	17	3,500.54	205.91	16,572.75	974.87	103,349	6,079
	Mar	20	3,752.65	187.63	18,375.73	918.79	110,519	5,526
	Apr	20	3,115.06	155.75	11,246.57	562.33	95,242	4,762
	May	21	7,467.15	355.58	14,516.91	691.28	190,488	9,071
	Jun	19	4,802.41	252.76	12,720.22	669.49	127,916	6,732
	Jul	22	4,794.70	217.94	12,341.05	560.96	139,286	6,331
	Aug	21	6,161.05	293.38	18,357.58	874.17	195,516	9,310
	Sep	20	7,490.80	374.54	26,008.26	1,300.41	207,724	10,386
	Oct	21	11,112.41	529.16	27,370.72	1,303.37	306,687	14,604
	Nov	21	9,485.26	451.68	24,430.93	1,163.38	274,220	13,058
	Dec	20	12,029.01	601.45	26,432.80	1,321.64	333,298	16,665

Notes: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Trading value for GEM by Hang Seng Industry Classification System, 2013

Industry Classification *	No. of issue #	Equity turnover		
		HK$mil	% of total	Annual turnover velocity (%)
Energy	5	2,928.83	3.72	164.36
Materials	11	4,354.02	5.52	49.88
Industrial	17	4,003.30	5.08	67.96
Consumer Goods	35	18,590.96	23.58	82.05
Consumer Services	39	20,070.27	25.46	63.02
Telecommunications	3	107.69	0.14	18.61
Utilities	2	396.83	0.50	11.55
Financials	18	5,229.51	6.63	35.48
Properties & Construction	4	189.86	0.24	7.72
Information Technology	58	22,965.68	29.13	54.83
Conglomerates	0	0.00	0.00	0.00
Total	192	78,836.95	100.00	58.83

Notes:

1. Equity turnover values have been adjusted for late reported and rejected sales.
2. Figures may not add up to total due to rounding.

Year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.

3. New Hang Seng Industry Classification System took effect on 9 September 2013.

20 most active GEM stocks (in dollars)

Rank	Stock Code	Stock Name	Turnover (HK$mil)	% of market total
1	08138	TONGRENTANGCM	6,306.11	8.00
2	08002	IGG	4,713.62	5.98
3	08292	HC INTL	2,757.66	3.50
4	08198	MELCOLOT	2,721.61	3.45
5	08137	HONBRIDGE	2,258.07	2.86
6	08356	CNC HOLDINGS	2,193.34	2.78
7	08150	SEAMLESS GREEN	2,178.00	2.76
8	08270	CHINA LEASON	2,160.53	2.74
9	08212	CELEBRATE INT	2,096.53	2.66
10	08017	LONG SUCCESS	1,613.90	2.05
11	08078	CHINA3D DIGIT	1,514.74	1.92
12	08081	COMPUTECH	1,465.20	1.86
13	08207	CREDIT CHINA	1,461.99	1.85
14	08279	AGTECH HOLDINGS	1,428.20	1.81
15	08132	FAIRSON HLDGS	1,360.65	1.73
16	08008	SUNEVISION	1,295.58	1.64
17	08100	M DREAM INWORLD	1,186.27	1.50
18	08085	HK LIFE SCI	1,169.03	1.48
19	08167	NEO TELEMEDIA	1,119.53	1.42
20	08260	ZEBRA STRAT	1,088.40	1.38
		Total	42,088.95	53.39
		Equity total	78,836.95	100.00

20 most active GEM stocks (in shares)

Rank	Stock Code	Stock Name	Turnover (mil of shares)	% of market total
1	08078	CHINA3D DIGIT	26,186.69	11.43
2	08116	C FORTUNE INV	14,868.84	6.49
3	08100	M DREAM INWORLD	9,983.35	4.36
4	08270	CHINA LEASON	9,893.69	4.32
5	08171	CHINA TRENDS	7,678.81	3.35
6	08037	LONGLIFE GROUP	6,999.62	3.05
7	08019	HAO WEN HLDGS	6,867.32	3.00
8	08250	CHI NATURAL INV	6,173.53	2.69
9	08079	UL CREATIVITY	5,812.55	2.54
10	08266	GOLD TAT GP	5,637.50	2.46
11	08161	CHINALOTSYNERGY	5,482.84	2.39
12	08081	COMPUTECH	5,454.06	2.38
13	08041	LUXEY INT'L	5,436.71	2.37
14	08272	C FOOD&BEV GP	4,193.88	1.83
15	08085	HK LIFE SCI	3,963.84	1.73
16	08215	FIRST CREDIT	3,443.75	1.50
17	08158	CHINA BIO-MED	3,259.65	1.42
18	08269	WEALTH GLORY	3,048.04	1.33
19	08120	ORI UNICORN	2,981.87	1.30
20	08108	FAVA INT'L	2,936.89	1.28
		Total	140,303.43	61.22
		Equity total	229,200.25	100.00

20 GEM Stocks with largest price changes (increase)

Rank	Stock Code	Stock Nmae	Closing price (HK$) 2012	Closing price (HK$) 2013	% up
1	08132	FAIRSON HLDGS	0.194	1.580	714.43
2	08050	YUNBO DIGITAL	0.117	0.900	669.23
3	08179	GAYETY HLDGS	0.072	0.410	469.44
4	08156	CHINA VANGUARD	0.480 A	2.230	364.58
5	08006	SINO SPLENDID	0.487 A	2.257 A	363.45
6	08071	CH NETCOMTECH	0.085	0.375	341.18
7	08321	C AUTO INT DECO	0.200	0.850	325.00
8	08272	C FOOD&BEV GP	0.170 A	0.720	323.53
9	08198	MELCOLOT	0.415	1.720	314.46
10	08115	SHANGHAI QINGPU	0.190	0.700	268.42
11	08150	SEAMLESS GREEN	0.305	1.110	263.93
12	08171	CHINA TRENDS	0.015	0.051	240.00
13	08292	HC INTL	3.110	10.280	230.55
14	08212	CELEBRATE INT	0.410	1.330	224.39
15	08130	ZHI CHENG H	0.174	0.560	221.84
16	08279	AGTECH HOLDINGS	0.380	1.180	210.53
17	08102	SHANGHAI FUDAN	2.800	8.660	209.29
18	08049	JILIN CHANGLONG	0.870	2.540	191.95
19	08017	LONG SUCCESS	0.143	0.405	183.22
20	08231	FUDANZHANGJIANG	2.300	6.220	170.43

(A) Adjusted

20 GEM Stocks with largest price changes (decrease)

Rank	Stock Code	Stock Name	Closing Prices (HK$) 2012	Closing Prices (HK$) 2013	% down
1	08242	MEGALOGICTECH	1.200	0.320	73.33
2	08120	ORI UNICORN	1.420 A	0.428 A	69.86
3	08037	LONGLIFE GROUP	0.720 A	0.219	69.58
4	08079	UL CREATIVITY	0.392 A	0.129 A	67.09
5	08167	NEO TELEMEDIA	0.800	0.285	64.38
6	08096	RUIFENG PETRO	0.305 A	0.110	63.93
7	08066	PHOENITRON HOLD	0.550	0.199	63.82
8	08100	M DREAM INWORLD	0.196	0.072	63.27
9	08108	FAVA INT'L	0.200 A	0.074	63.00
10	08270	CHINA LEASON	0.233 A	0.093 A	60.09
11	08086	DX.COM HOLDINGS	0.540	0.221	59.07
12	08029	SUN INT'L	0.660 A	0.273 A	58.64
13	08112	FOCUS MEDIA	1.040	0.460	55.77
14	08153	CODE AGRI	0.140	0.062	55.71
15	08082	SAGE INT'L GP	0.119	0.055	53.78
16	08225	VENTUREPHARM	0.500	0.235	53.00
17	08055	CHI E-LEARNING	0.186	0.091	51.08
18	08075	MEDIA ASIA	1.280 A	0.640 A	50.00
19	08060	GLOBAL LINK	0.183	0.095	48.09
20	08202	INNO-TECH HOLD	0.350 A	0.186	46.86

(A) Adjusted

Market capitalisation and trading value of GEM listed companies by incorporation place

End of 2013

Country	No. of listed companies	Market capitalisation (HK$mil)	% of equity total	Turnover (HK$mil)	% of equity total
Bermuda	38	22,936.35	17.12	14,965.35	18.98
Cayman Islands	125	95,276.73	71.10	52,741.47	66.90
Hong Kong	5	9,835.95	7.34	6,935.01	8.80
PRC	24	5,953.03	4.44	4,195.13	5.32
Total	192	134,002.06	100.00	78,836.95	100.00

Average dividend yields of GEM listed stocks by Hang Seng Industry Classification System*, 2009 – 2013

(%)

Year/Month	All listed stocks	Energy	Materials	Industrial	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2009	0.77	0.00	0.02	0.47	0.66	0.79	0.00	0.12	0.03	0.29	1.97	0.00
2010	0.95	0.00	0.00	0.03	0.51	0.88	0.00	0.00	0.00	0.29	1.03	43.96
2011	0.52	0.00	0.10	0.07	0.26	0.16	3.04	0.00	1.33	0.50	0.96	0.00
2012	0.88	0.00	0.07	9.14	0.53	0.14	0.00	0.00	0.87	0.00	1.06	0.00
2013	0.52	0.00	0.00	0.84	0.49	0.06	0.00	0.00	0.79	0.49	0.92	0.00
2013 Jan	0.82	0.00	0.06	9.33	0.49	0.14	0.00	0.00	0.85	0.00	1.02	0.00
Feb	0.78	0.00	0.05	8.70	0.45	0.14	0.00	0.00	0.79	0.00	1.00	0.00
Mar	0.93	0.00	0.06	9.31	0.64	0.27	0.00	0.00	1.14	0.68	1.06	0.00
Apr	0.93	0.00	0.06	9.02	0.64	0.26	0.00	0.00	1.05	0.67	1.09	0.00
May	0.93	0.00	0.06	7.86	0.54	0.23	0.00	0.00	1.02	0.62	1.36	0.00
Jun	0.93	0.00	0.00	8.00	0.51	0.24	0.00	0.00	0.99	0.63	1.33	0.00
Jul	0.85	0.00	0.00	6.27	0.45	0.13	0.00	0.00	0.83	0.62	1.28	0.00
Aug	0.59	0.00	0.00	0.68	0.45	0.15	0.00	0.00	0.83	0.63	1.18	0.00
Sep	0.57	0.00	0.00	0.88	0.43	0.09	0.00	0.00	0.80	0.51	1.14	0.00
Oct	0.51	0.00	0.00	0.88	0.37	0.03	0.00	0.00	0.75	0.54	1.00	0.00
Nov	0.53	0.00	0.00	0.82	0.42	0.06	0.00	0.00	0.86	0.53	0.99	0.00
Dec	0.52	0.00	0.00	0.84	0.49	0.06	0.00	0.00	0.79	0.49	0.92	0.00

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Average price earnings ratios of GEM listed stocks by Hang Seng Industry Classification System*, 2009 – 2013

(Times)

Year/Month	All GEM stocks	Energy	Materials	Industrial	Consumer Goods	Consumer Services	Telecommunications	Utilities	Financials	Properties & Construction	Information Technology	Conglomerates
2009	38.98	108.75	155.11	17.81	20.93	36.12	0.00	237.62	501.48	127.73	34.42	0.00
2010	31.10	2.32	182.62	264.44	20.63	37.48	0.00	30.06	1204.91	2060.55	27.96	6.95
2011	22.16	0.00	68.12	29.87	22.33	33.28	7.24	62.76	23.60	4.49	19.55	21.87
2012	18.38	4.87	85.60	19.17	16.07	24.64	26.42	59.31	25.90	57.92	14.20	0.00
2013	49.25	42.50	586.80	23.10	47.34	70.84	26.18	54.18	38.74	23.21	46.09	0.00
2013 Jan	19.57	5.10	111.28	19.40	17.11	25.24	27.52	57.91	26.23	73.48	14.81	0.00
Feb	20.45	4.90	131.75	20.78	18.57	25.73	24.65	52.64	28.43	71.89	15.04	0.00
Mar	27.43	57.76	317.36	17.87	23.02	30.61	23.63	50.40	26.79	15.96	20.03	0.00
Apr	27.96	69.68	291.01	18.45	25.94	31.21	22.00	51.35	29.00	16.06	19.44	0.00
May	31.34	78.73	287.61	21.12	38.41	34.02	23.06	49.93	28.54	17.53	20.81	0.00
Jun	33.95	80.58	596.33	20.74	42.48	32.22	22.72	45.99	29.46	17.09	25.93	0.00
Jul	37.11	83.61	567.48	26.49	48.65	37.79	22.10	46.62	32.62	18.40	26.80	0.00
Aug	38.50	81.46	534.48	24.72	48.38	43.04	23.21	44.73	33.62	17.90	28.89	0.00
Sep	41.33	70.91	598.07	21.34	49.33	46.18	21.24	46.31	34.97	22.36	34.85	0.00
Oct	45.79	77.30	602.90	21.16	55.01	56.06	25.31	45.68	37.23	21.10	39.40	0.00
Nov	45.92	47.83	622.38	23.81	51.58	61.14	27.79	51.82	35.26	21.48	39.73	0.00
Dec	49.25	42.50	586.80	23.10	47.34	70.84	26.18	54.18	38.74	23.21	46.09	0.00

Note: Month-end or year-end figures

* Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Statistics on China enterprises (H shares) for GEM, 2009 – 2013

Year/Month		No. of issues	Turnover				Market capitalisation	
			Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2009		40	7,978.91	2.69	15,501.38	20.46	27,059.82	25.76
2010		35	8,512.17	1.91	16,933.86	12.67	20,154.24	14.97
2011		29	2,498.11	0.87	4,072.46	6.47	4,611.67	5.45
2012		28	951.14	0.61	775.12	2.31	5,074.68	6.47
2013		24	2,463.01	1.07	4,195.13	5.32	5,953.03	4.44
2013	Jan	28	114.45	0.55	139.02	2.71	5,534.10	6.59
	Feb	28	90.26	0.54	166.87	4.77	6,182.55	7.03
	Mar	27	86.73	0.47	203.90	5.43	6,474.80	7.42
	Apr	27	100.55	0.89	219.65	7.05	6,359.00	7.29
	May	27	210.26	1.45	518.03	6.94	7,639.67	7.69
	Jun	26	139.38	1.10	344.73	7.18	6,559.49	6.64
	Jul	25	170.76	1.38	293.69	6.13	6,869.17	6.45
	Aug	25	405.67	2.21	402.41	6.53	6,964.36	6.36
	Sep	25	278.97	1.07	463.31	6.19	7,855.00	6.60
	Oct	25	270.27	0.99	473.95	4.27	8,412.79	6.46
	Nov	25	394.40	1.61	631.43	6.66	8,314.77	6.42
	Dec	24	201.31	0.76	338.14	2.81	5,953.03	4.44

Note: Market capitalisation represents the value of the H shares only, not that of the entire issued capital of the enterprise.

Statistics on Red chips stocks for GEM, 2009 – 2013

Year/Month		No. of issues	Turnover				Market capitalisation	
			Shares (mil)	% of equity total	Value (HK$mil)	% of equity total	HK$mil	% of equity total
2009		5	2,067.92	0.70	1,456.88	1.92	6,551.60	6.24
2010		5	3,362.76	0.75	2,320.16	1.74	5,285.11	3.92
2011		5	1,168.32	0.41	629.06	1.00	3,432.64	4.06
2012		5	3,030.80	1.96	447.80	1.34	3,800.19	4.85
2013		6	3,003.77	1.31	7,158.66	9.08	13,083.47	9.76
2013	Jan	5	289.24	1.38	92.92	1.81	3,752.73	4.47
	Feb	5	276.71	1.67	96.54	2.76	3,929.58	4.47
	Mar	5	317.12	1.73	108.05	2.88	3,997.99	4.58
	Apr	5	253.37	2.25	76.21	2.45	4,064.38	4.66
	May	6	497.07	3.42	2,735.91	36.64	11,126.02	11.20
	Jun	6	209.50	1.65	919.01	19.14	12,656.98	12.82
	Jul	6	102.82	0.83	582.60	12.15	15,465.49	14.52
	Aug	6	121.10	0.66	547.00	8.88	15,210.25	13.88
	Sep	6	154.84	0.60	616.52	8.23	15,111.43	12.70
	Oct	6	143.11	0.52	380.51	3.42	14,393.44	11.06
	Nov	6	294.38	1.20	493.10	5.20	14,422.93	11.13
	Dec	6	344.52	1.30	510.28	4.24	13,083.47	9.76

Cash dividend payout of GEM listed companies by Hang Seng Industry Classification System*, 2009 – 2013

Year/Month		No. of companies declared	No. of companies paid	Energy (HK$mil)	Materials (HK$mil)	Industrial (HK$mil)	Consumer Goods (HK$mil)	Consumer Services (HK$mil)	Telecommunications (HK$mil)	Utilities (HK$mil)	Financials (HK$mil)	Properties & Construction (HK$mil)	Information Technology (HK$mil)	Conglomerates (HK$mil)	Total dividend payout (HK$mil)
2009		174	28	-	3.12	9.34	176.19	146.92	-	8.50	0.50	16.42	847.94	-	1,208.93
2010		178	35	-	-	22.26	204.54	200.79	-	-	-	7.69	408.67	543.87	1,387.81
2011		175	27	-	9.44	3.90	36.01	207.54	5.19	-	51.04	13.24	306.24	-	632.59
2012		176	22	-	5.40	38.18	56.87	19.10	-	-	68.82	-	248.72	-	437.08
2013		190	31	-	6.44	49.41	109.11	51.64	-	-	107.25	12.00	368.33	-	704.17
2013	Jan	8	0	-	-	-	-	-	-	-	-	-	-	-	-
	Feb	52	0	-	-	-	-	-	-	-	-	-	-	-	-
	Mar	113	19	-	-	6.04	-	-	-	-	-	-	-	-	6.04
	Apr	6	0	-	-	-	-	-	-	-	-	-	-	-	-
	May	132	4	-	-	-	29.10	3.08	-	-	67.65	12.00	6.32	-	118.15
	Jun	41	2	-	-	5.50	-	-	-	-	-	-	95.79	-	101.29
	Jul	3	0	-	6.44	21.44	80.01	13.43	-	-	-	-	28.15	-	149.46
	Aug	155	3	-	-	-	-	2.52	-	-	22.50	-	4.21	-	29.23
	Sep	20	3	-	-	3.72	-	20.61	-	-	3.60	-	-	-	27.93
	Oct	9	0	-	-	-	-	-	-	-	-	-	-	-	-
	Nov	169	3	-	-	8.98	-	2.00	-	-	-	-	233.86	-	244.84
	Dec	5	0	-	-	3.73	-	10.00	-	-	13.50	-	-	-	27.23

Notes:

* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

Newly listed companies on GEM

Ordinary companies

Code	Company	Listing method/ Industry Classification *	No. of shares offered	Offer price (HK$)	Funds raised (HK$Mil)	Listing date/ subscription rate (times)	Sponsor
08072	Roma Group Ltd.	Offer for placing Offer for sale Financials – Other Financials	120,000,000 80,000,000	0.300 0.300	36.00 24.00	2013/02/25 n.a.	Quam Capital Ltd.
08260	Zebra Strategic Holdings Ltd	Offer for placing Offer for sale Services – Support Services	75,000,000 25,000,000	0.410 0.410	30.75 10.25	2013/04/10 n.a.	Messis Capital Ltd.
08138	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	Offer for placing Consumer Goods – Health & Personal Care	230,000,000	3.040	699.20	2013/05/07 n.a.	Kim Eng Securities (Hong Kong) Ltd.
08276	Huazhang Technology Holding Ltd.	Offer for placing Industrial Goods	68,000,000	1.200	81.60	2013/05/16 n.a.	Guotai Junan Capital Ltd.
08145	Telecom Service One Holdings Ltd.	Offer for placing Information Technology – IT Hardware	30,000,000	1.000	30.00	2013/05/30 n.a.	China Everbright Capital Ltd.
08201	PPS International (Holdings) Ltd.	Offer for placing Offer for sale Services – Support Services	25,000,000 5,000,000	1.200 1.200	30.00 6.00	2013/06/17 n.a.	Cinda International Capital Ltd.
08077	KVB Kunlun Financial Group Ltd.	Offer for placing Financials – Other Financials	343,345,000	0.452	155.19	2013/07/03 n.a.	Quam Capital Ltd.
08310	Gamma Logistics Corporation	Offer for placing Services – Transportation	200,000,000	0.250	50.00	2013/08/22 n.a.	Emperor Capital Ltd. Asian Capital (Corporate Finance) Ltd.
08135	ZMFY Automobile Glass Services Ltd.	Offer for placing Offer for sale Consumer Goods – Automobiles	100,000,000 20,000,000	0.450 0.450	45.00 9.00	2013/09/03 n.a.	Quam Capital Ltd.
08036	Goldenmars Technology Holdings Ltd.	Offer for placing Information Technology – IT Hardware	69,000,000	0.900	62.10	2013/09/09 n.a.	Haitong International Capital Ltd.
08018	Finsoft Corporation	Offer for placing Information Technology – Software & Services	50,000,000	0.820	41.00	2013/09/26 n.a.	Ample Capital Ltd.
08218	Echo International Holdings Group Ltd.	Offer for placing Industrials – Industrial Engineering	60,000,000	0.600	36.00	2013/10/11 n.a.	Tanrich Capital Ltd.
08181	Kong Shum Union Property Management (Holding) Ltd.	Offer for placing Consumer Services – Support Services	100,000,000	0.330	33.00	2013/10/11 n.a.	Ample Capital Ltd.
08185	RM Group Holdings Ltd.	Offer for placing Consumer Goods – Healthcare	129,600,000	1.070	138.67	2013/10/11 n.a.	WAG Worldsec Corporate Finance Ltd.
08101	Jia Meng Holdings Ltd.	Offer for placing Consumer Goods – Household Goods & Electronics	30,000,000	1.150	34.50	2013/10/15 n.a.	Kingsway Capital Ltd.
08002	IGG Inc	Offer for placing Offer for sale Information Technology – Software & Services	311,766,459 64,782,541	2.800 2.800	872.95 181.39	2013/10/18 n.a.	China Everbright Capital Ltd.
08180	New Ray Medicine International Holding Ltd.	Offer for placing Consumer Goods – Healthcare	280,000,000	0.250	70.00	2013/10/25 n.a.	Kingsway Capital Ltd.
08326	JC Group Holdings Ltd.	Offer for placing Offer for sale Consumer Services – Hotels, Casinos & Leisure Facilities	70,000,000 30,000,000	0.500 0.500	35.00 15.00	2013/11/21 n.a.	TC Capital Asia Ltd.
08309	Runway Global Holdings Co. Ltd.	Offer for placing Consumer Goods – Textiles, Clothing & Personal Care	150,000,000	0.350	52.50	2013/12/03 n.a.	Messis Capital Ltd.
08255	China Binary Sale Technology Ltd.	Offer for placing Information Technology – Software & Services	120,000,000	0.600	72.00	2013/12/04 n.a.	Quam Capital Ltd.
08056	Differ Group Holding Co. Ltd.	Offer for placing Financials – Other Financials	250,000,000	0.780	195.00	2013/12/09 n.a.	Messis Capital Ltd.
08107	U Banquet Group Holding Ltd.	Offer for placing Offer for sale Consumer Services – Hotels, Casinos & Leisure Facilities	50,000,000 50,000,000	1.000 1.000	50.00 50.00	2013/12/10 n.a.	Quam Capital Ltd.
08320	C Cheng Holdings Ltd.	Offer for placing Properties & Construction – Construction	45,000,000	0.830	37.35	2013/12/20 n.a.	China Everbright Capital Ltd.
				Total	**3,183.45**		

Industry Classification is provided by Hang Seng Indexes Company Limited.
New Hang Seng Industry Classification System took effect on 9 September 2013.

Withdrawal of listed companies from GEM

Code	Company	Last trading date	Delisted date
08188	Mudan Automobile Shares Co. Ltd. – H Shares	2005/03/29	2013/03/13
08208#	Changmao Biochemical Engineering Co. Ltd. – H Shares	2013/06/27	2013/06/28*
08217#	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	2013/07/17	2013/07/18*
08160#	Legend Strategy International Holdings Group Co. Ltd.	2013/08/20	2013/08/21*
08161#	China LotSynergy Holdings Ltd.	2013/10/15	2013/10/16*
08122#	LifeTech Scientific Corporation	2013/11/05	2013/11/06*
08012#	RENHENG Enterprise Holdings Ltd.	2013/11/22	2013/11/25*
08240#	China City Railway Transportation Technology Hldgs Co. Ltd.	2013/12/05	2013/12/06*
08231#	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	2013/12/13	2013/12/16*
08229	Tungda Innovative Lighting Holdings Ltd.	2004/07/28	2013/12/17

Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

* Effective date of the transfer of listing from GEM to Main Board

Company name changes – GEM

Code	Former name	New name	Effective date	Adoption date
08085	ZMAY Holdings Ltd.	Hong Kong Life Sciences and Technologies Group Ltd.	2012/10/31	2013/01/02
08048	Excel Technology International Holdings Ltd.	Hong Kong Jewellery Holding Ltd.	2012/11/20	2013/01/07
08016	China Data Broadcasting Holdings Ltd.	Changhong Jiahua Holdings Ltd.	2013/01/15	2013/02/18
08176	China AU Group Holdings Ltd.	EDS Wellness Holdings Ltd.	2013/03/08	2013/04/29
08215	First Credit Holdings Ltd.	First Credit Finance Group Ltd.	2013/04/29	2013/05/13
08063	Trasy Gold Ex Ltd.	Well Way Group Ltd.	2013/05/29	2013/06/28
08228	National Arts Holdings Ltd.	National Arts Entertainment and Culture Group Ltd.	2013/06/21	2013/07/04
08089	China Railway Logistics Ltd.	Chinese Strategic Holdings Ltd.	2013/06/12	2013/07/22
08175	China Digital Licensing (Group) Ltd.	China Digital Culture (Group) Ltd.	2013/06/28	2013/08/14
08128	China Ground Source Energy Ltd.	China Ground Source Energy Industry Group Ltd.	2013/06/25	2013/08/27
08047	China Neng Xiao Technology (Group) Ltd.	Rising Power Group Holdings Ltd.	2013/08/06	2013/09/18
08086	ePRO Ltd.	DX.com Holdings Ltd.	2013/10/08	2013/10/18
08266	Mobile Telecom Network (Holdings) Ltd.	Gold Tat Group International Ltd.	2013/08/13	2013/10/21
08006	China.com Inc.	Sino Splendid Holdings Ltd.	2013/10/16	2013/12/03

* Change of English name only

Change of Chinese name only

Reorganisations/demergers on GEM

Code	Company	Particulars	Proposed date Completed / EGM date
08143	Hua Xia Healthcare Holdings Ltd. (Hua Xia Health)	Hua Xia Health proposed a separate listing of Wanjia Group Holdings Ltd. (Wanjia) by way of introduction. Shareholders of Hua Xia Health entitled to receive distribution in specie 1 Wanjia share for every 5 Hua Xia Health shares. The shares of Wanjia would be listed on the Stock Exchange.	2012/09/18 2013/10/11

Privatisations by major shareholder on GEM

Code	Company	Particulars	Last trading date	Delisted date
Nil				

Change of domicile of listed companies on GEM

Code	Former company	New company	Place of incorporation	Effective date
08037	Longlife Group Holdings Ltd.	Longlife Group Holdings Ltd.	Bermuda	2013/08/29

Companies under suspension on GEM as at the end of 2013

Code		Last closing price (HK$)	Last closing price (Date)	Date of suspension
08186	China Asean Resources Ltd.	0.077	(2013/03/28)	2013/04/02
08153	Code Agriculture (Holdings) Ltd.	0.062	(2013/06/21)	2013/06/22
08176	EDS Wellness Holdings Ltd.	0.161	(2011/09/28)	2011/09/30
08299	Grand T G Gold Holdings Ltd.	0.072	(2010/11/10)	2010/11/11
08017	Long Success International (Holdings) Ltd.	0.405	(2013/12/03)	2013/12/04
08096	Ruifeng Petroleum Chemical Holdings Ltd.	0.110	(2013/03/28)	2013/04/02
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	0.040	(2012/03/29)	2012/03/30
08010	Sing Pao Media Enterprises Ltd.	0.015	(2005/04/28)	2005/04/28
08103	Tai Shing International (Holdings) Ltd.	0.124	(2013/06/28)	2013/07/02

Takeovers and mergers for GEM

Code	Company	Proposed date Offer closing date
08006	**China.com Inc.** **(Name changed to Sino Splendid Holdings Ltd.)** Mandatory unconditional cash offer was made by QiYi Holdings Ltd. at a price of HK$4.10 for each share, HK$0.0001 for each share option	2013/03/07 2013/03/28

Share subdivisions and share consolidations on GEM

Code	Stock	Particulars	New par value (HK$)	Effective date
08148	Aurum Pacific (China) Group Ltd.	Consolidation 2 into 1	0.0200	2013/01/22
08096	Ruifeng Petroleum Chemical Holdings Ltd.	Consolidation 5 into 1	0.0500	2013/01/24
08202	Inno-Tech Holdings Ltd.	Consolidation 10 into 1	0.0100	2013/01/29
08156	China Vanguard Group Ltd.	Consolidation 5 into 1	0.0500	2013/02/01
08192	Global Energy Resources International Group Ltd.	Consolidation 10 into 1	0.0050	2013/02/07
08116	China Fortune Investments (Holding) Ltd.	Consolidation 10 into 1	0.1000	2013/03/26
08163	Merdeka Resources Holdings Ltd.	Consolidation 40 into 1	0.4000	2013/03/26
08272	Chinese Food and Beverage Group Ltd.	Consolidation 10 into 1	0.0100	2013/04/05
08109	Creative Energy Solutions Holdings Ltd.	Split 1 into 10	0.0050	2013/04/23
08047	Rising Power Group Holdings Ltd. (Formerly: China Neng Xiao Technology (Group) Ltd.)	Consolidation 10 into 1	0.0100 *	2013/05/14
08079	Unlimited Creativity Holdings Ltd.	Consolidation 20 into 1	0.0100 *	2013/06/18
08306	China Nonferrous Metals Co. Ltd.	Consolidation 5 into 1	0.0020	2013/06/20
08117	China Primary Resources Holdings Ltd.	Consolidation 5 into 1	0.0625	2013/07/05
08108	FAVA International Holdings Ltd.	Consolidation 10 into 1	0.0200	2013/08/29
08019	Hao Wen Holdings Ltd.	Consolidation 10 into 1	0.1000	2013/09/18
08037	Longlife Group Holdings Ltd.	Consolidation 5 into 1	0.0100 *	2013/09/19
08166	China Eco-Farming Ltd.	Consolidation 2 into 1	0.0200	2013/10/11
08236	Powerleader Science & Technology Group Ltd. – H Shares	Consolidation 10 into 1	RMB 1.0000	2013/11/12
08201	PPS International (Holdings) Ltd.	Split 1 into 10	0.0010	2013/12/23

* New par value after capital reorganisation

Bonus issues/bonus warrants on GEM

Code	Stock	Particulars	Ex-date
08079	Unlimited Creativity Holdings Ltd.	2 for 1	2013/03/04
08020	Chanceton Financial Group Ltd.	3 for 1	2013/04/25
08168	UKF (Holdings) Ltd.	1 for 5	2013/07/03
08078	China 3D Digital Entertainment Ltd.	5 for 1	2013/09/10
08022	TLT Lottotainment Group Ltd.	3 for 2 offer shs	2013/11/19

Rights issues/open offers on GEM

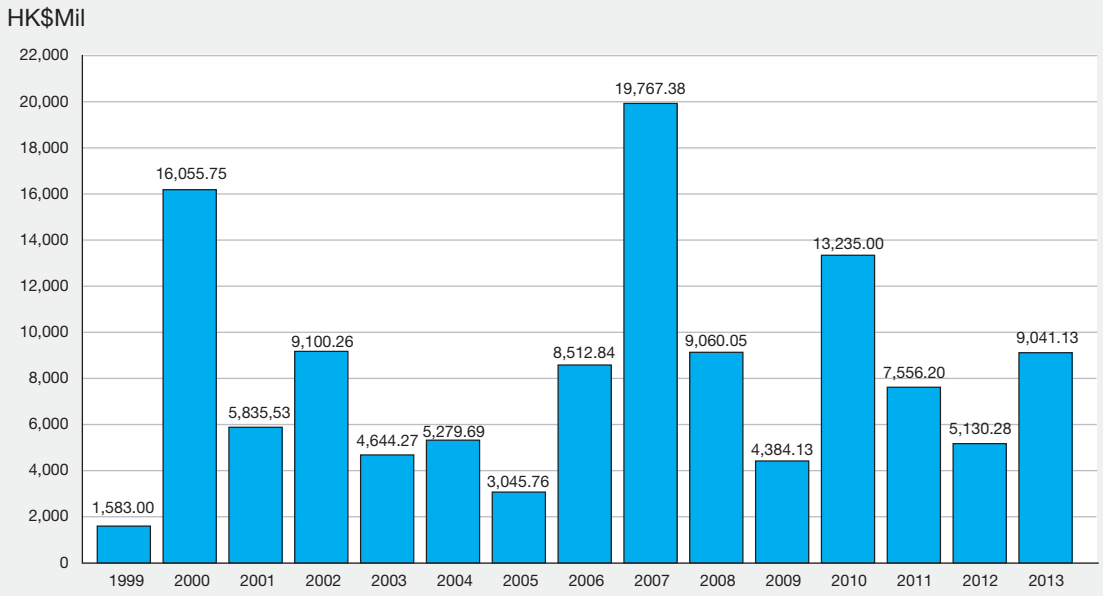
Code	Stock	Particulars	Funds raised (HK$mil)	Ex-date payment date
08051	TeleEye Holdings Ltd.	Open offer 1 for 2 @$1.40	6.33	2012/12/06 2013/01/02
08047	Rising Power Group Holdings Ltd. (Formerly: China Neng Xiao Technology (Group) Ltd.)	Open offer 1 for 2 @$0.025	24.95	2013/01/03 2013/01/25
08148	Aurum Pacific (China) Group Ltd.	Open offer 4 for 1 @$0.15 (after consolidation 2 into 1)	60.00	2013/01/23 2013/02/20
08022	TLT Lottotainment Group Ltd.	Open offer 2 for 1 @$0.50	65.65	2013/04/10 2013/05/07
08351	Larry Jewelry International Co. Ltd.	Open offer 3 for 10 @$0.11	33.60	2013/04/17 2013/05/13
08239	Ming Kei Holdings Ltd.	Rts 3 for 10 @$0.20	30.27	2013/06/05 2013/07/02
08163	Merdeka Resources Holdings Ltd.	Rts 2 for 5 @$0.40	36.67	2013/06/18 2013/07/10
08019	Hao Wen Holdings Ltd.	Open offer 8 for 1 @$0.10 (after consolidation 10 into 1)	162.13	2013/09/19 2013/10/11
08108	FAVA International Holdings Ltd.	Open offer 4 for 1 @$0.10	90.96	2013/11/04 2013/11/22
08022	TLT Lottotainment Group Ltd.	Open offer 2 for 3 @$0.50 with bonus 3 for 2 offer shs	70.02	2013/11/19 2013/12/12
08192	Global Energy Resources International Group Ltd.	Open offer 1 for 2 @$0.07	43.92	2013/11/29 2013/12/20
Total			**624.49**	

Notes: Figures may not add up to total due to rounding.

Placings on GEM

Code	Company	New shares placed	Placing price (HK$)	Funds raised (HK$ mil)	Allotment date
08202	Inno-Tech Holdings Ltd.	198,341,217	0.0320	6.35	2013/01/03
08025	Asian Capital Resources (Holdings) Ltd.	100,000,000	0.6500	65.00	2013/01/28
08207	Credit China Holdings Ltd.	240,000,000	0.8000	192.00	2013/01/28
08129	China Bio Cassava Holdings Ltd.	400,000,000	0.1000	40.00	2013/01/31
08231	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	142,000,000	1.7000	241.40	2013/02/04
08009	Chinese Energy Holdings Ltd.	150,000,000	0.1710	25.65	2013/02/25
08017	Long Success International (Holdings) Ltd.	10,000,000	0.3850	3.85	2013/02/26
08168	UKF (Holdings) Ltd.	192,000,000	0.3250	62.40	2013/03/07
08032	Viva China Holdings Ltd.	480,000,000	0.5000	240.00	2013/03/08
08047	China Neng Xiao Technology (Group) Ltd. (Name changed to Rising Power Group Holdings Ltd.)	345,000,000	0.0720	24.84	2013/03/12
08020	Chanceton Financial Group Ltd.	30,000,000	2.0000	60.00	2013/03/13
08103	Tai Shing International (Holdings) Ltd.	72,000,000	0.1400	10.08	2013/03/18
08206	China Communication Telecom Services Co. Ltd.	100,000,000	0.3100	31.00	2013/03/19
08050	Yunbo Digital Synergy Group Ltd.	125,000,000	0.1450	18.13	2013/03/27
08053	Pizu Group Holdings Ltd.	87,000,000	0.1610	14.01	2013/03/27
08048	Hong Kong Jewellery Holding Ltd.	173,410,000	0.3400	58.96	2013/04/03
08046	Heng Xin China Holdings Ltd.	100,000,000	0.2500	25.00	2013/04/08
08078	China 3D Digital Entertainment Ltd.	300,000,000	0.1000	30.00	2013/04/09
08270	China Leason CBM & Shale Gas Group Co. Ltd.	400,000,000	0.2500	100.00	2013/04/09
08103	Tai Shing International (Holdings) Ltd.	57,000,000	0.1400	7.98	2013/04/10
08158	China Bio-Med Regeneration Technology Ltd.	1,500,000,000	0.1650	247.50	2013/04/11
08047	China Neng Xiao Technology (Group) Ltd. (Name changed to Rising Power Group Holdings Ltd.)	349,480,000	0.0720	25.16	2013/04/17
08215	First Credit Holdings Ltd. (Name changed to First Credit Finance Group Ltd.)	200,000,000	0.0900	18.00	2013/04/24
08279	AGTech Holdings Ltd.	406,521,739	0.3450	140.25	2013/05/21
08116	China Fortune Investments (Holding) Ltd.	225,449,977	0.1000	22.54	2013/05/28
08150	Seamless Green China (Holdings) Ltd.	5,000,000	0.7000	3.50	2013/05/31
08120	Oriental Unicorn Agricultural Group Ltd.	78,000,000	0.5000	39.00	2013/06/17
08266	Mobile Telecom Network (Holdings) Ltd. (Name changed to Gold Tat Group International Ltd.)	274,000,000	0.0850	23.29	2013/06/18
08078	China 3D Digital Entertainment Ltd.	300,000,000	0.1000	30.00	2013/06/21
08022	TLT Lottotainment Group Ltd.	13,110,000	0.6000	7.87	2013/06/25
08132	Fairson Holdings Ltd.	110,000,000	0.1200	13.20	2013/07/04
08150	Seamless Green China (Holdings) Ltd.	70,000,000	0.8000	56.00	2013/07/05
08351	Larry Jewelry International Co. Ltd.	172,970,900	0.2200	38.05	2013/07/16
08130	Zhi Cheng Holdings Ltd.	59,060,000	0.4270	25.22	2013/07/18
08168	UKF (Holdings) Ltd.	230,400,000	0.2800	64.51	2013/07/24
08050	Yunbo Digital Synergy Group Ltd.	450,000,000	0.2250	101.25	2013/08/05
08108	FAVA International Holdings Ltd.	70,000,000	0.0446	3.12	2013/08/05
08039	Pegasus Entertainment Holdings Ltd.	70,000,000	0.9000	63.00	2013/08/06
08079	Unlimited Creativity Holdings Ltd.	20,960,000	0.2250	4.72	2013/08/07
08150	Seamless Green China (Holdings) Ltd.	1,000,000	2.2300	2.23	2013/08/13
08167	Neo Telemedia Ltd.	109,000,000	0.3300	35.97	2013/08/14
08123	First China Financial Network Holdings Ltd.	429,996,000	0.1210	52.03	2013/08/19
08150	Seamless Green China (Holdings) Ltd.	1,900,000	2.2300	4.24	2013/08/26
08053	Pizu Group Holdings Ltd.	50,000,000	0.1850	9.25	2013/08/29
08085	Hong Kong Life Sciences and Technologies Group Ltd.	658,380,000	0.1600	105.34	2013/08/29
08242	Megalogic Technology Holdings Ltd.	40,000,000	0.2500	10.00	2013/08/30
08166	China Eco-Farming Ltd.	586,000,000	0.0420	24.61	2013/09/04
08047	Rising Power Group Holdings Ltd.	41,000,000	0.8500	34.85	2013/09/10
08047	Rising Power Group Holdings Ltd.	32,000,000	0.8500	27.20	2013/09/16
08046	Heng Xin China Holdings Ltd.	200,000,000	0.2500	50.00	2013/09/17
08292	HC International, Inc.	72,000,000	7.5000	540.00	2013/10/07
08202	Inno-Tech Holdings Ltd.	30,000,000	0.2000	6.00	2013/10/09
08017	Long Success International (Holdings) Ltd.	20,000,000	0.6200	12.40	2013/10/11
08266	Gold Tat Group International Ltd.	164,524,000	0.1790	29.45	2013/10/18
08325	Oriental City Group Holdings Ltd.	120,000,000	1.0300	123.60	2013/10/25
08120	Oriental Unicorn Agricultural Group Ltd.	200,000,000	0.1650	33.00	2013/10/28
08039	Pegasus Entertainment Holdings Ltd.	10,000,000	0.9000	9.00	2013/10/30
08250	China Natural Investment Co. Ltd.	300,000,000	0.1780	53.40	2013/10/30
08078	China 3D Digital Entertainment Ltd.	1,118,520,000	0.0249	27.85	2013/11/14
08250	China Natural Investment Co. Ltd.	230,000,000	0.1980	45.54	2013/11/20
08081	Computech Holdings Ltd.	110,000,000	0.1280	14.08	2013/11/28
08088	Crosby Capital Ltd.	27,552,000	0.6800	18.74	2013/12/05
08202	Inno-Tech Holdings Ltd.	38,383,688	0.1800	6.91	2013/12/10
08163	Merdeka Resources Holdings Ltd.	45,000,000	0.1560	7.02	2013/12/18
08132	Fairson Holdings Ltd.	11,450,000	1.5600	17.86	2013/12/31
Total				**3,483.39**	

Equity funds raised for GEM, 1999 – 2013



Funds raised by classification for GEM, 2009 – 2013

HK$mil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	54.00	–	67.21	60.00	–
	Offer for sale	31.10	26.54	159.35	65.80	295.64
	Offer for placing	271.10	622.75	1,107.30	1,000.56	2,887.81
	Placing	2,535.18	7,651.88	2,945.17	1,833.43	3,483.39
	Rights issue	237.49	767.95	962.71	554.59	66.94
	Open offer	437.27	670.96	382.64	498.76	557.55
	Consideration issue	608.10	2,873.73	1,744.22	939.13	1,357.05
	Warrants exercised	4.85	140.25	15.87	62.71	81.72
	Share option scheme	205.03	480.95	171.72	115.31	311.03
	Equities funds raised	4,384.13	13,235.00	7,556.20	5,130.28	9,041.13
Equity warrants – premium		**–**	**7.17**	**8.00**	**–**	**–**

Funds raised by Mainland enterprises H shares for GEM, 2009 – 2013

HK$mil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	–	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	–	–	–	–	–
	Placing	122.40	638.72	–	–	241.40
	Rights issue	100.81	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	–	–	–	–	–
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	–	–	–	–
	Equities funds raised	223.21	638.72	–	–	241.40

Funds raised by Red Chip stocks for GEM, 2009 – 2013

HK$mil

Type	Listing method	2009	2010	2011	2012	2013
Equities	Offer for subscription	–	–	–	–	–
	Offer for sale	–	–	–	–	–
	Offer for placing	–	–	–	–	699.20
	Placing	–	–	86.05	53.82	–
	Rights issue	–	–	–	–	–
	Open offer	–	–	–	–	–
	Consideration issue	–	–	–	–	135.00
	Warrants exercised	–	–	–	–	–
	Share option scheme	–	0.03	–	–	3.56
	Equities funds raised	–	0.03	86.05	53.82	837.76

Newly listed equity warrants on GEM, 2013

Code	Warrant	Subscription price per unit (HK$)	Subscription period	Initial issued amount (HK$)	Listing date
Nil					

List of expired equity warrants for GEM, 2013

Code	Warrant	Last trading date	Delisted date
08187	China Bio Cassava Holdings Ltd. Warrants 2013	2013/02/07	2013/02/18

Market value and trading for equity warrants on GEM, 2009 – 2013

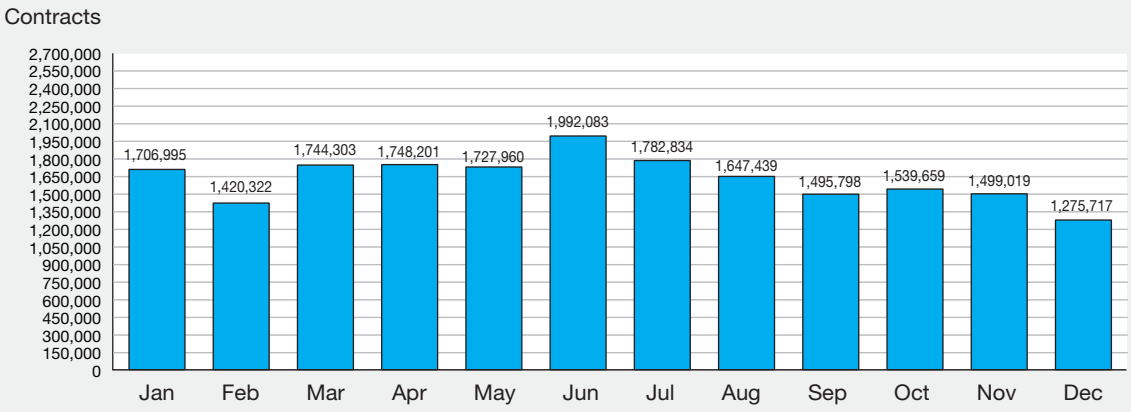
Year/Month		Number *	Market value * HK$mil	Turnover value HK$mil	(%) of market total
2009		1	1.49	0.34	0.00
2010		1	40.15	22.08	0.02
2011		2	15.97	4.07	0.01
2012		1	8.00	0.41	0.00
2013		0	0.00	0.85	0.00
2013	Jan	1	8.00	0.27	0.01
	Feb	0	0.00	0.58	0.02
	Mar	0	0.00	0.00	0.00
	Apr	0	0.00	0.00	0.00
	May	0	0.00	0.00	0.00
	Jun	0	0.00	0.00	0.00
	Jul	0	0.00	0.00	0.00
	Aug	0	0.00	0.00	0.00
	Sep	0	0.00	0.00	0.00
	Oct	0	0.00	0.00	0.00
	Nov	0	0.00	0.00	0.00
	Dec	0	0.00	0.00	0.00

* Month-end or year-end figures.

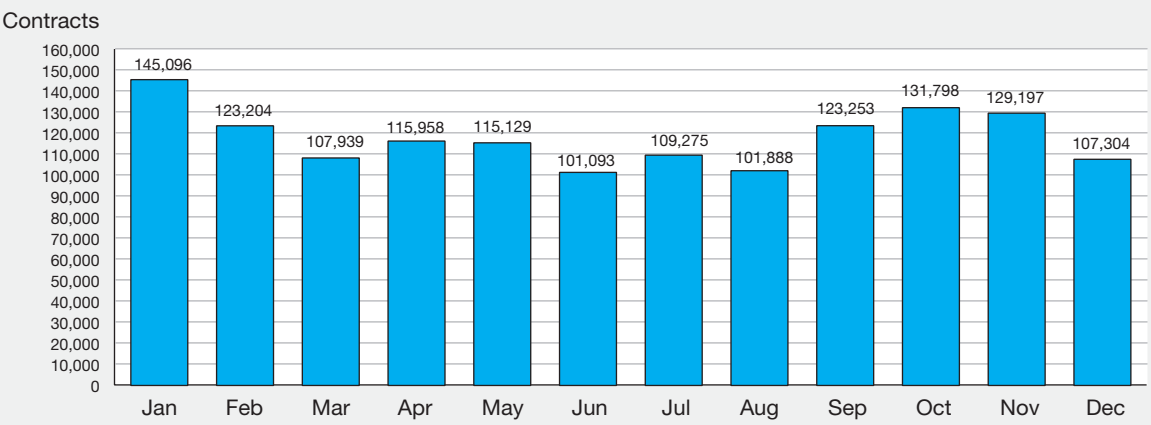
Hang Seng Index futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts Total volume	Contracts Average daily volume	Contracts Period end open interest
2009		247.5	20,728,034	83,750	68,456
2010		248.0	21,031,085	84,803	88,816
2011		245.5	23,085,833	94,036	86,409
2012		245.5	20,353,069	82,905	139,344
2013		244.0	19,580,330	80,247	107,304
2013	Jan	22.0	1,706,995	77,591	145,096
	Feb	17.0	1,420,322	83,548	123,204
	Mar	20.0	1,744,303	87,215	107,939
	Apr	20.0	1,748,201	87,410	115,958
	May	21.0	1,727,960	82,284	115,129
	Jun	19.0	1,992,083	104,846	101,093
	Jul	22.0	1,782,834	81,038	109,275
	Aug	21.0	1,647,439	78,449	101,888
	Sep	20.0	1,495,798	74,790	123,253
	Oct	21.0	1,539,659	73,317	131,798
	Nov	21.0	1,499,019	71,382	129,197
	Dec	20.0	1,275,717	63,786	107,304
2013	Highest		**271,426**		**197,962**
	(date)		(06/25)		(06/26)

Hang Seng Index futures – monthly volume, 2013



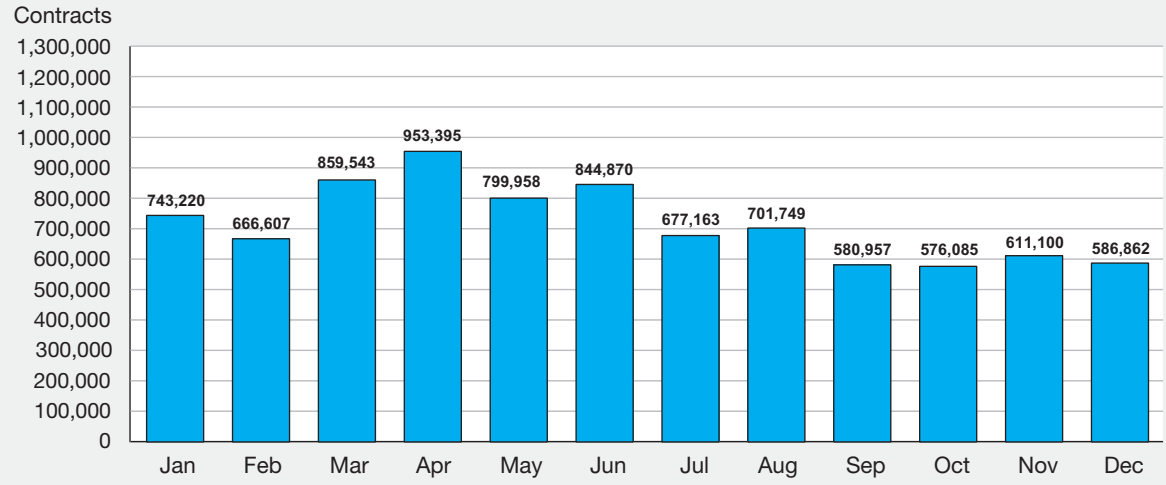
Hang Seng Index futures – month-end open interest, 2013



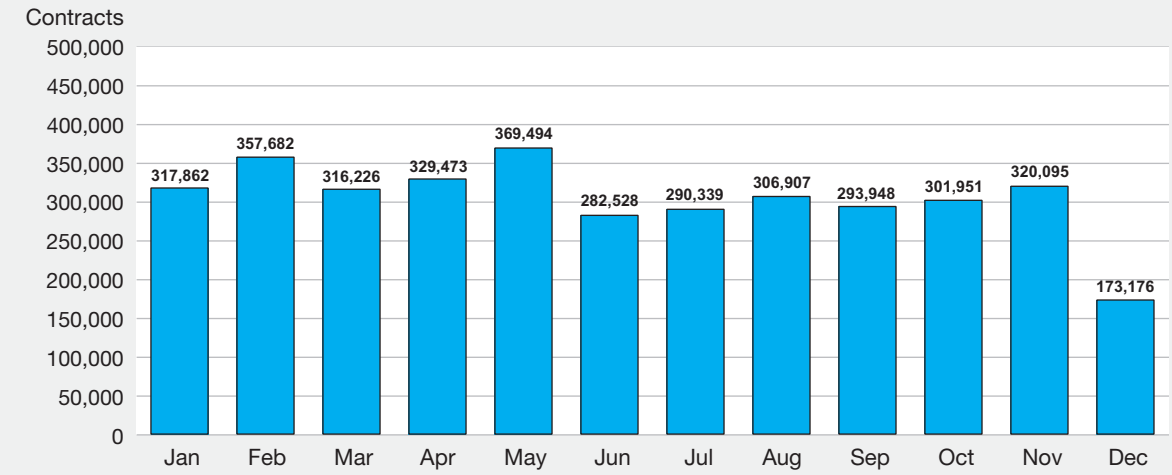
Hang Seng Index options – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Volume (contracts) Call	Put	Total	Average daily	Period end open interest (contracts)
2009		247.5	2,544,351	2,823,052	5,367,403	21,686	175,720
2010		248.0	3,980,315	4,534,734	8,515,049	34,335	187,784
2011		245.5	4,975,186	5,692,240	10,667,426	43,452	184,402
2012		245.5	4,101,858	5,128,287	9,230,145	37,597	260,785
2013		244.0	4,049,293	4,552,216	8,601,509	35,252	173,176
2013	Jan	22.0	313,510	429,710	743,220	33,783	317,862
	Feb	17.0	306,884	359,723	666,607	39,212	357,682
	Mar	20.0	419,325	440,218	859,543	42,977	316,226
	Apr	20.0	460,718	492,677	953,395	47,670	329,473
	May	21.0	387,687	412,271	799,958	38,093	369,494
	Jun	19.0	426,726	418,144	844,870	44,467	282,528
	Jul	22.0	314,073	363,090	677,163	30,780	290,339
	Aug	21.0	321,799	379,950	701,749	33,417	306,907
	Sep	20.0	245,992	334,965	580,957	29,048	293,948
	Oct	21.0	263,192	312,893	576,085	27,433	301,951
	Nov	21.0	310,678	300,422	611,100	29,100	320,095
	Dec	20.0	278,709	308,153	586,862	29,343	173,176
2013	Highest (date)			**86,789** (05/23)			**480,309** (03/26)

Hang Seng Index options – monthly volume, 2013



Hang Seng Index options – month-end open interest 2013



Mini-Hang Seng Index futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2009		247.5	9,279,877	37,494	4,447
2010		248.0	8,300,654	33,470	7,359
2011		245.5	10,294,537	41,933	5,129
2012		245.5	8,545,847	34,810	6,638
2013		244.0	7,853,800	32,188	5,835
2013	Jan	22.0	649,044	29,502	9,293
	Feb	17.0	487,107	28,653	9,202
	Mar	20.0	737,224	36,861	6,897
	Apr	20.0	682,788	34,139	7,578
	May	21.0	664,940	31,664	7,393
	Jun	19.0	821,961	43,261	7,096
	Jul	22.0	872,446	39,657	5,731
	Aug	21.0	795,879	37,899	5,714
	Sep	20.0	569,093	28,455	8,969
	Oct	21.0	599,523	28,549	9,875
	Nov	21.0	528,314	25,158	7,102
	Dec	20.0	445,481	22,274	5,835
2013	Highest		**75,251**		**16,309**
	(date)		(06/25)		(06/25)

Mini-Hang Seng Index options - trading statistics, 2009 - 2013

Year/Month		No. of trading days	Volume (contracts): Call	Volume (contracts): Put	Volume (contracts): Total volume	Volume (contracts): Average daily volume	Period end open interest (contracts)
2009		247.5	141,819	144,772	286,591	1,158	3,551
2010		248.0	233,478	249,213	482,691	1,946	4,859
2011		245.5	472,500	481,914	954,414	3,888	3,873
2012		245.5	597,429	633,568	1,230,997	5,014	12,363
2013		244.0	558,839	598,427	1,157,266	4,743	4,665
2013	Jan	22.0	45,132	55,314	100,446	4,566	10,885
	Feb	17.0	40,027	43,842	83,869	4,933	9,763
	Mar	20.0	51,548	56,217	107,765	5,388	6,481
	Apr	20.0	55,028	59,924	114,952	5,748	6,385
	May	21.0	46,666	46,604	93,270	4,441	7,081
	Jun	19.0	63,548	63,475	127,023	6,685	8,909
	Jul	22.0	53,343	61,825	115,168	5,235	6,320
	Aug	21.0	49,662	55,726	105,388	5,018	6,268
	Sep	20.0	32,681	39,531	72,212	3,611	4,698
	Oct	21.0	39,510	41,761	81,271	3,870	7,439
	Nov	21.0	41,633	38,891	80,524	3,834	7,077
	Dec	20.0	40,061	35,317	75,378	3,769	4,665
2013	Highest				**12,404**		**25,600**
	(date)				(06/25)		(01/29)

H-shares Index futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2009		247.5	12,394,116	50,077	74,324
2010		248.0	12,429,800	50,120	94,734
2011		245.5	15,003,870	61,116	106,277
2012		245.5	15,923,813	64,863	181,909
2013		244.0	20,871,257	85,538	217,646
2013	Jan	22.0	1,614,716	73,396	207,540
	Feb	17.0	1,377,217	81,013	155,325
	Mar	20.0	1,557,644	77,882	147,677
	Apr	20.0	1,601,799	80,090	165,783
	May	21.0	1,618,987	77,095	172,970
	Jun	19.0	2,038,406	107,285	197,763
	Jul	22.0	2,010,222	91,374	205,922
	Aug	21.0	1,802,809	85,848	208,072
	Sep	20.0	1,721,716	86,086	214,299
	Oct	21.0	1,718,307	81,824	206,180
	Nov	21.0	2,059,819	98,087	227,932
	Dec	20.0	1,749,615	87,481	217,646
2013	Highest		**389,031**		**334,575**
	(date)		(06/25)		(12/24)

H-shares Index options – trading statistics, 2009- 2013

Year/Month		No. of trading days	Volume (contracts): Call	Volume (contracts): Put	Volume (contracts): Total volume	Volume (contracts): Average daily volume	Period end open interest (contracts)
2009		247.5	915,184	1,045,947	1,961,131	7,924	124,030
2010		248.0	1,386,525	1,524,188	2,910,713	11,737	104,994
2011		245.5	1,803,380	1,968,419	3,771,799	15,364	158,628
2012		245.5	2,966,744	3,334,145	6,300,889	25,666	589,342
2013		244.0	3,814,440	4,212,834	8,027,274	32,899	858,416
2013	Jan	22.0	223,511	369,726	593,237	26,965	721,345
	Feb	17.0	191,295	265,572	456,867	26,875	803,719
	Mar	20.0	228,186	308,203	536,389	26,819	741,018
	Apr	20.0	242,178	312,336	554,514	27,726	870,607
	May	21.0	263,833	275,451	539,284	25,680	957,413
	Jun	19.0	351,453	465,078	816,531	42,975	973,057
	Jul	22.0	395,188	432,271	827,459	37,612	1,109,602
	Aug	21.0	315,377	342,558	657,935	31,330	1,211,992
	Sep	20.0	420,251	334,410	754,661	37,733	1,140,213
	Oct	21.0	280,297	324,526	604,823	28,801	1,193,052
	Nov	21.0	522,711	447,592	970,303	46,205	1,315,269
	Dec	20.0	380,160	335,111	715,271	35,764	858,416
2013	Highest				**113,156**		**1,437,711**
	(date)				(11/19)		(12/19)

Mini H-shares Index futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts Total volume	Contracts Average daily volume	Contracts Period end open interest
2009		247.5	799,894	3,232	741
2010		248.0	992,224	4,001	1,867
2011		245.5	1,845,116	7,516	1,520
2012		245.5	1,560,515	6,356	2,276
2013		244.0	2,252,621	9,232	3,608
2013	Jan	22.0	180,724	8,215	2,333
	Feb	17.0	138,377	8,140	2,927
	Mar	20.0	174,395	8,720	3,070
	Apr	20.0	151,764	7,588	2,603
	May	21.0	167,016	7,953	3,574
	Jun	19.0	167,909	8,837	4,047
	Jul	22.0	218,282	9,922	3,252
	Aug	21.0	252,306	12,015	3,126
	Sep	20.0	185,229	9,261	2,772
	Oct	21.0	216,401	10,305	3,917
	Nov	21.0	207,968	9,903	2,382
	Dec	20.0	192,250	9,613	3,608
2013	Highest		**18,771**		**6,951**
	(date)		(08/16)		(09/23)

HSI Dividend Point Index Futures – trading statistics, 2010 – 2013

Year/Month		No. of trading days	Contracts Total volume	Average daily volume	Period end open interest
2010*		43.0	2,123	49	1,270
2011		245.5	11,196	46	4,460
2012		245.5	20,793	85	1,969
2013		244.0	11,214	46	4,599
2013	Jan	22.0	1,601	73	3,569
	Feb	17.0	2,800	165	5,869
	Mar	20.0	300	15	5,919
	Apr	20.0	1,260	63	5,158
	May	21.0	100	5	5,258
	Jun	19.0	52	3	5,259
	Jul	22.0	1,700	77	6,760
	Aug	21.0	1,201	57	7,359
	Sep	20.0	800	40	8,009
	Oct	21.0	1,100	52	9,109
	Nov	21.0	300	14	9,409
	Dec	20.0	0	0	4,599
2013	Highest		**1,800**		**9,409**
	(date)		(02/07)		(12/30)

* Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

HSCEI Dividend Point Index Futures – trading statistics, 2010 – 2013

Year/Month		No. of trading days	Contracts Total volume	Average daily volume	Period end open interest
2010*		43.0	4,667	109	1,138
2011		245.5	53,054	216	34,270
2012		245.5	184,786	753	48,879
2013		244.0	156,496	641	51,075
2013	Jan	22.0	8,300	377	51,608
	Feb	17.0	50	3	51,279
	Mar	20.0	25,636	1,282	48,394
	Apr	20.0	16,928	846	53,866
	May	21.0	8,006	381	56,866
	Jun	19.0	30,005	1,579	75,519
	Jul	22.0	775	35	75,690
	Aug	21.0	16,749	798	82,287
	Sep	20.0	15,551	778	88,814
	Oct	21.0	13,827	658	89,320
	Nov	21.0	14,846	707	93,674
	Dec	20.0	5,823	291	51,075
2013	Highest		**8,030**		**95,673**
	(date)		(11/01)		(11/19)

* Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Flexible Hang Seng Index Options – trading statistics, 2010 – 2013

Year/Month		No. of trading days	Volume (contracts) Call	Put	Total volume	Average daily volume	Period end open interest (contracts)
2010*		223.0	3,610	7,612	11,222	50	500
2011		245.5	2,750	6,510	9,260	38	7,510
2012		245.5	5,296	8,887	14,183	58	9,468
2013		244.0	8,458	739	9,197	38	506
2013	Jan	22.0	8,305	0	8,305	378	14,198
	Feb	17.0	0	0	0	0	13,726
	Mar	20.0	153	153	306	15	13,232
	Apr	20.0	0	486	486	24	13,632
	May	21.0	0	0	0	0	13,632
	Jun	19.0	0	0	0	0	10,932
	Jul	22.0	0	0	0	0	10,932
	Aug	21.0	0	100	100	5	3,849
	Sep	20.0	0	0	0	0	2,694
	Oct	21.0	0	0	0	0	2,627
	Nov	21.0	0	0	0	0	2,627
	Dec	20.0	0	0	0	0	506
2013	Highest				**4,730**		**14,198**
	(date)				(01/08)		(02/27)

* Trading in Flexible Hang Seng Index Options commenced on 08 Feb 2010

Trading in Flexible H-shares Index Options – trading statistics, 2010 – 2013

Year/Month		No. of trading days	Volume (contracts) Call	Put	Total volume	Average daily volume	Period end open interest (contracts)
2010*		223.0	1,350	1,182	2,532	11	0
2011		245.5	16,840	6,670	23,510	96	3,500
2012		245.5	3,556	7,615	11,171	46	9,821
2013		244.0	11,174	19,615	30,789	126	25,099
2013	Jan	22.0	0	2,100	2,100	95	9,821
	Feb	17.0	300	300	600	35	10,421
	Mar	20.0	2,760	9,395	12,155	608	20,331
	Apr	20.0	2,750	3,850	6,600	330	26,731
	May	21.0	0	800	800	38	23,996
	Jun	19.0	200	1,120	1,320	69	24,516
	Jul	22.0	1,400	200	1,600	73	26,116
	Aug	21.0	0	200	200	10	26,316
	Sep	20.0	1,100	400	1,500	75	27,441
	Oct	21.0	0	0	0	0	27,341
	Nov	21.0	2,014	0	2,014	96	29,355
	Dec	20.0	650	1,250	1,900	95	25,099
2013	Highest				**4,955**		**31,255**
	(date)				(03/06)		(12/27)

* Trading in Flexible H-shares Index Options commenced on 08 Feb 2010

Trading in HSI Volatility Index Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2012*		214.5	1,526	7	8
2013		244.0	978	4	21
2013	Jan	22.0	86	4	42
	Feb	17.0	30	2	19
	Mar	20.0	56	3	27
	Apr	20.0	58	3	33
	May	21.0	91	4	42
	Jun	19.0	110	6	46
	Jul	22.0	120	5	49
	Aug	21.0	178	8	73
	Sep	20.0	80	4	128
	Oct	21.0	60	3	18
	Nov	21.0	54	3	25
	Dec	20.0	55	3	21
2013	Highest		**51**		**128**
	(date)		(09/30)		(09/30)

* Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

Trading in CES China 120 Index Futures – trading statistics, 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2013*		96.0	50,213	523	203
2013	Aug	14.0	13,713	980	191
	Sep	20.0	12,599	630	216
	Oct	21.0	10,877	518	708
	Nov	21.0	7,669	365	515
	Dec	20.0	5,355	268	203
2013	Highest		**2,086**		**1,040**
	(date)		(08/26)		(10/29)

* Trading in CES China 120 Index Futures commenced on 12 Aug 2013

Trading in IBOVESPA Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2012*		185.5	7	0	0
2013		244.0	0	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**0**		**0**
	(date)		–		–

* Trading in IBOVESPA Futures commenced on 30 Mar 2012

Trading in MICEX Index Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2012*		185.5	8	0	0
2013		244.0	0	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**0**		**0**
	(date)		–		–

* Trading in MICEX Index Futures commenced on 30 Mar 2012

Trading in S&P BSE Sensex Index Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2012*		185.5	190	1	0
2013		244.0	0	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**0**		**0**
	(date)		–		–

* Trading in S&P BSE Sensex Index Futures commenced on 30 Mar 2012

Trading in FTSE/JSE Top40 Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2012*		185.5	0	0	0
2013		244.0	0	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**0**		**0**
	(date)		–		–

* Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Three-month HIBOR futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2009		247.5	2,573	10	103
2010		248.0	1,055	4	267
2011		245.5	414	2	24
2012		245.5	150	1	0
2013		244.0	2	0	1
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	2	0	1
	Nov	21.0	0	0	1
	Dec	20.0	0	0	1
2013	Highest		**2**		**1**
	(date)		(10/11)		(12/31)

One-month HIBOR futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts Total volume	Average daily volume	Period end open interest
2009		247.5	204	1	0
2010		248.0	14	0	0
2011		245.5	245	1	25
2012		245.5	10	0	0
2013		244.0	20	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	20	1	11
	Sep	20.0	0	0	6
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**19**		**11**
	(date)		(08/16)		(09/16)

Three-Year Exchange Fund Note futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts Total volume	Average daily volume	Period end open interest
2009		247.5	0	0	0
2010		248.0	0	0	0
2011		245.5	0	0	0
2012		245.5	0	0	0
2013		244.0	25	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	25	1	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**25**		**0**
	(date)		(09/16)		–

Stock futures contracts as at the end of 2013

Underlying Stock	Launch Date	Contract Multiplier
Aluminum Corporation of China Ltd.	2004/06/14	2,000
Bank of China Ltd.	2006/07/03	1,000
Bank of Communications Co., Ltd.	2005/11/07	1,000
BOC Hong Kong (Holdings) Ltd.	2003/03/17	500
Cathay Pacific Airways Ltd.	2001/08/27	1,000
Cheung Kong (Holdings) Ltd.	1995/09/25	1,000
China AMC CSI 300 index ETF	2013/06/10	2,000
China Communications Construction Co. Ltd.	2007/03/19	1,000
China Construction Bank Corporation	2005/12/19	1,000
China Life Insurance Co. Ltd.	2004/06/14	1,000
China Merchants Bank Co., Ltd.	2006/10/27	500
China Mobile Ltd.	1998/06/05	500
China Petroleum & Chemical Corporation	2002/07/22	2,000
China Telecom Corporation Ltd.	2004/06/14	2,000
China Unicom (Hong Kong) Ltd.	2001/08/27	2,000
CITIC Pacific Ltd.	1997/05/23	1,000
CLP Holdings Ltd.	1997/10/17	500
CNOOC Ltd.	2002/07/22	1,000
CSOP FTSE China A50 ETF	2013/06/10	5,000
Esprit Holdings Ltd.	2005/07/11	100
FIH Mobile Ltd.	2007/03/19	1,000
Hang Seng Bank Ltd.	1997/09/19	100
Henderson Land Development Co. Ltd.	1997/09/19	1,000
Hong Kong Exchanges and Clearing Ltd.	2001/08/27	100
HSBC Holdings plc	1995/03/31	400
Huaneng Power International, Inc.	2002/07/22	2,000
Hutchison Whampoa Ltd.	1997/09/19	1,000
Industrial and Commercial Bank of China Ltd.	2006/10/27	1,000
iShares FTSE A50 China Index ETF	2013/06/10	5,000
Li & Fung Ltd.	2001/08/27	2,000
MTR Corporation Ltd.	2001/08/27	500
New World Development Co. Ltd.	1997/10/17	1,000
PetroChina Co. Ltd.	2001/08/27	2,000
PICC Property and Casualty Co. Ltd.	2007/03/19	2,000
Ping An Insurance (Group) Co. of China, Ltd.	2005/11/07	500
Power Assets Holdings Ltd.	1998/03/18	500
Sun Hung Kai Properties Ltd.	1997/09/19	1,000
Swire Pacific Ltd. 'A'	1997/05/23	500
The Bank of East Asia, Ltd.	2001/01/08	200
The Hong Kong and China Gas Co. Ltd.	2001/08/27	1,000
The Wharf (Holdings) Ltd.	1997/10/17	1,000

Stock futures contract volume and open interest, 2009 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2009		247.5	271,766	1,098	4,407
2010		248.0	239,259	965	11,514
2011		245.5	444,014	1,809	11,277
2012		245.5	322,715	1,315	19,516
2013		244.0	459,190	1,882	18,409
2013	Jan	22.0	69,481	3,158	33,472
	Feb	17.0	49,219	2,895	35,908
	Mar	20.0	57,584	2,879	39,257
	Apr	20.0	35,069	1,753	37,607
	May	21.0	33,015	1,572	31,016
	Jun	19.0	42,000	2,211	18,258
	Jul	22.0	28,255	1,284	21,287
	Aug	21.0	27,173	1,294	20,182
	Sep	20.0	30,606	1,530	15,524
	Oct	21.0	21,325	1,015	15,815
	Nov	21.0	32,210	1,534	18,845
	Dec	20.0	33,253	1,663	18,409
2013	Highest		**5,673**		**47,050**
	(date)		(03/18)		(03/27)

Stock futures – trading statistics by underlying stock, 2013

Underlying Stock	Contracts			
	Volume		Period end open Interest	
	Total	% of Total	Total	% of Total
Aluminum Corporation of China Ltd.	1,621	0.35	64	0.35
Bank of China Ltd.	22,919	4.99	1,371	7.45
Bank of Communications Co., Ltd.	11,162	2.43	507	2.75
BOC Hong Kong (Holdings) Ltd.	8,150	1.77	433	2.35
Cathay Pacific Airways Ltd.	404	0.09	8	0.04
Cheung Kong (Holdings) Ltd.	9,344	2.03	158	0.86
China AMC CSI 300 index ETF	4,898	1.07	787	4.28
China Communications Construction Co. Ltd.	5,600	1.22	304	1.65
China Construction Bank Corporation	17,545	3.82	714	3.88
China Life Insurance Co. Ltd.	32,136	7.00	1,176	6.39
China Merchants Bank Co., Ltd.	21,853	4.76	1,312	7.13
China Mobile Ltd.	40,060	8.72	1,090	5.92
China Petroleum & Chemical Corp.	15,321	3.34	197	1.07
China Telecom Corporation Ltd.	2,614	0.57	0	0.00
China Unicom (Hong Kong) Ltd.	1,768	0.39	9	0.05
CITIC Pacific Ltd.	3,880	0.84	283	1.54
CLP Holdings Ltd.	768	0.17	28	0.15
CNOOC Ltd	12,264	2.67	582	3.16
CSOP FTSE China A50 ETF	1,855	0.40	830	4.51
Esprit Holdings Ltd.	3,117	0.68	568	3.09
FIH Mobile Ltd.	4,289	0.93	4	0.02
Hang Seng Bank Ltd.	936	0.20	3	0.02
Henderson Land Development Co. Ltd.	4,424	0.96	21	0.11
Hong Kong Exchanges & Clearing Ltd.	85,135	18.54	2,285	12.41
HSBC Holdings plc	23,002	5.01	730	3.97
Huaneng Power International, Inc.	652	0.14	9	0.05
Hutchison Whampoa Ltd.	18,572	4.04	192	1.04
Industrial and Commercial Bank of China Ltd.	13,116	2.86	332	1.80
iShares FTSE A50 China Index ETF	15,264	3.32	2,221	12.06
Li & Fung Ltd.	1,695	0.37	17	0.09
MTR Corporation Ltd.	4,092	0.89	26	0.14
New World Development Co. Ltd.	3,523	0.77	173	0.94
PetroChina Co. Ltd.	10,152	2.21	367	1.99
PICC Property and Casualty Co. Ltd.	1,808	0.39	67	0.36
Ping An Insurance (Group) Co. of China Ltd.	37,157	8.09	1,216	6.61
Power Assets Holdings Ltd.	1,497	0.33	93	0.51
Sun Hung Kai Properties Ltd.	9,869	2.15	131	0.71
Swire Pacific Ltd. – A	871	0.19	8	0.04
The Bank of East Asia, Ltd.	11	0.00	0	0.00
The Hong Kong & China Gas Co. Ltd.	1,949	0.42	67	0.36
The Wharf (Holdings) Ltd.	3,897	0.85	26	0.14

Stock options classes as at the end of 2013

Class	Code	Launch date	Lot size (shares)
iShares FTSE A50 China Index ETF	A50	2010/07/12	5000
Agricultural Bank of China Ltd.	ABC/XAB	2010/07/16	10000
Anhui Conch Cement Co. Ltd.	ACC	2011/11/21	500
AIA Group Ltd.	AIA	2010/10/29	1000
Aluminum Corporation of China Ltd.	ALC	2004/06/14	2000
ChinaAMC CSI 300 Index ETF	AMC	2013/01/28	2000
Bank of China Ltd.	BCL/XBC	2006/07/03	1000
Bank of Communications Co., Ltd.	BCM	2005/11/07	1000
The Bank of East Asia, Ltd.	BEA	2001/01/08	200
Belle International Holdings Ltd.	BIH	2011/11/21	1000
BOC Hong Kong (Holdings) Ltd.	BOC	2003/03/17	500
BYD Company Ltd.	BYD	2009/11/16	500
China Construction Bank Corporation	CCB/XCC	2005/12/19	1000
China Communications Construction Co. Ltd.	CCC	2007/03/19	1000
China Coal Energy Co. Ltd.	CCE	2007/09/03	1000
China COSCO Holdings Co. Ltd.	CCS	2009/11/16	500
China Mobile Ltd.	CHT	1998/05/15	500
China Unicom (Hong Kong) Ltd.	CHU	2001/08/27	2000
CITIC Pacific Ltd.	CIT	1995/10/23	1000
Cheung Kong (Holdings) Ltd.	CKH	1995/09/25	1000
China Life Insurance Co. Ltd.	CLI	2004/06/14	1000
CLP Holdings Ltd.	CLP	1995/12/18	500
China Merchants Bank Co., Ltd.	CMB	2006/10/27	500
CNOOC Ltd.	CNC	2002/07/22	1000
China Overseas Land & Investment Ltd.	COL	2009/07/06	2000
Cathay Pacific Airways Ltd.	CPA	2001/08/27	1000
China Petroleum & Chemical Corporation	CPC	2002/07/22	2000
China Pacific Insurance (Group) Co., Ltd.	CPI	2010/12/13	1000
China Railway Construction Corporation Ltd.	CRC	2008/06/10	500
China Railway Group Ltd.	CRG	2008/06/10	1000
W.I.S.E. – CSI 300 China Tracker	CS3	2010/07/12	1000
CSOP FTSE China A50 ETF	CSA	2013/01/28	5000
China Shenhua Energy Co. Ltd.	CSE	2008/06/10	500
China CITIC Bank Corporation Ltd.	CTB	2007/09/03	1000
China Telecom Corporation Ltd.	CTC	2004/06/14	2000
Esprit Holdings Ltd.	ESP	2005/07/11	100
FIH Mobile Ltd.	FIH	2007/03/19	1000
Galaxy Entertainment Group Ltd.	GLX	2012/06/11	1000
Great Wall Motor Co. Ltd.	GWM	2013/08/05	500
Power Assets Holdings Ltd.	HEH	1998/02/16	500
Hong Kong Exchanges and Clearing Ltd.	HEX	2001/08/27	100
HSBC Holdings plc	HKB	1995/09/08	400
The Hong Kong and China Gas Co. Ltd.	HKG	2001/08/27	1000
Henderson Land Development Co. Ltd.	HLD	1995/12/18	1000
Huaneng Power International, Inc.	HNP	2002/07/22	2000
Hang Seng Bank Ltd.	HSB	1996/12/09	100
Hutchison Whampoa Ltd.	HWL	1995/12/18	1000
Industrial and Commercial Bank of China Ltd.	ICB/XIC	2006/10/27	1000
Jiangxi Copper Co. Ltd.	JXC	2007/09/03	1000
Lenovo Group Ltd.	LEN	2013/08/05	2000
Li & Fung Ltd.	LIF	2001/08/27	2000
MGM China Holdings Ltd.	MGM	2013/08/05	400
China Minsheng Banking Corp., Ltd.	MSB	2013/08/05	2500
MTR Corporation Ltd.	MTR	2001/08/27	500
China National Building Material Co. Ltd.	NBM	2011/11/21	2000
New World Development Co. Ltd.	NWD	1996/08/05	1000
Ping An Insurance (Group) Co. of China, Ltd.	PAI	2005/11/07	500
PetroChina Company Ltd.	PEC	2001/08/27	2000
PICC Property and Casualty Co. Ltd.	PIC	2007/03/19	2000
The People's Insurance Co. (Group) of China Ltd.	PIN	2013/08/05	5000
Guangzhou R&F Properties Co. Ltd.	RFP	2009/11/16	400
Sands China Ltd.	SAN	2012/06/11	400
Sun Hung Kai Properties Ltd.	SHK	1995/12/18	1000
Standard Chartered PLC	STC	2012/06/11	50
Swire Pacific Ltd. 'A'	SWA	1995/10/09	500
Tencent Holdings Ltd.	TCH	2009/07/06	100
Tracker Fund of Hong Kong	TRF	2000/03/27	500
The Wharf (Holdings) Ltd.	WHL	1996/08/05	1000
Yanzhou Coal Mining Co. Ltd.	YZC	2010/12/13	2000
Zijin Mining Group Co. Ltd.	ZJM	2009/07/06	2000

Options contract value, volume and open interest, 2009-2013

Year/Month	No. of trading days	Contract value (HK$mil)				Contract volume (contracts)				Open interest (contracts)		
		Call	Put	Total	Average daily	Call	Put	Total	Average daily	Call	Put	Total
2009	247.5	30,290.02	44,349.34	74,639.36	301.57	21,506,958	25,932,938	47,439,896	191,676	1,370,733	2,477,384	3,848,117
2010	248.0	21,539.63	34,043.98	55,583.61	224.13	27,189,335	33,936,312	61,125,647	246,474	1,969,501	3,411,714	5,381,215
2011	245.5	21,190.60	51,291.49	72,482.09	295.24	32,200,985	42,124,083	74,325,068	302,750	2,420,694	2,908,800	5,329,494
2012	245.5	14,673.57	18,357.09	33,030.67	134.54	26,494,564	29,586,981	56,081,545	228,438	1,811,990	2,219,971	4,031,961
2013	244.0	17,734.70	20,998.41	38,733.11	158.74	28,837,660	31,990,315	60,827,975	249,295	2,179,051	2,561,767	4,740,818
2013 Jan	22.0	2,220.69	2,211.52	4,432.21	201.46	3,264,350	3,317,192	6,581,542	299,161	2,358,135	2,918,431	5,276,566
Feb	17.0	1,232.91	1,747.32	2,980.23	175.31	2,253,333	2,379,235	4,632,568	272,504	2,509,051	3,139,478	5,648,529
Mar	20.0	1,332.04	2,096.43	3,428.47	171.42	2,569,215	2,625,467	5,194,682	259,734	2,199,870	2,558,584	4,758,454
Apr	20.0	1,064.14	1,549.27	2,613.41	130.67	2,198,615	2,397,235	4,595,850	229,793	2,334,756	2,805,546	5,140,302
May	21.0	1,297.34	1,622.15	2,919.49	139.02	2,463,017	2,845,927	5,308,944	252,807	2,558,310	3,120,624	5,678,934
Jun	19.0	1,164.41	2,663.87	3,828.28	201.49	2,350,544	3,114,404	5,464,948	287,629	2,219,643	2,590,526	4,810,169
Jul	22.0	1,487.17	1,501.13	2,988.30	135.83	2,226,825	2,209,115	4,435,940	201,634	2,359,226	2,791,283	5,150,509
Aug	21.0	1,342.33	1,421.36	2,763.69	131.60	2,170,937	2,454,232	4,625,169	220,246	2,507,736	2,999,920	5,507,656
Sep	20.0	1,443.23	1,284.50	2,727.73	136.39	2,162,332	2,458,235	4,620,567	231,028	2,217,919	2,664,170	4,882,089
Oct	21.0	1,487.38	1,499.99	2,987.38	142.26	2,077,915	2,643,383	4,721,298	224,824	2,405,217	3,007,736	5,412,953
Nov	21.0	1,854.34	1,504.42	3,358.76	159.94	2,865,984	2,677,789	5,543,773	263,989	2,934,236	3,268,549	6,202,785
Dec	20.0	1,808.73	1,896.45	3,705.18	185.26	2,234,593	2,868,101	5,102,694	255,135	2,179,051	2,561,767	4,740,818

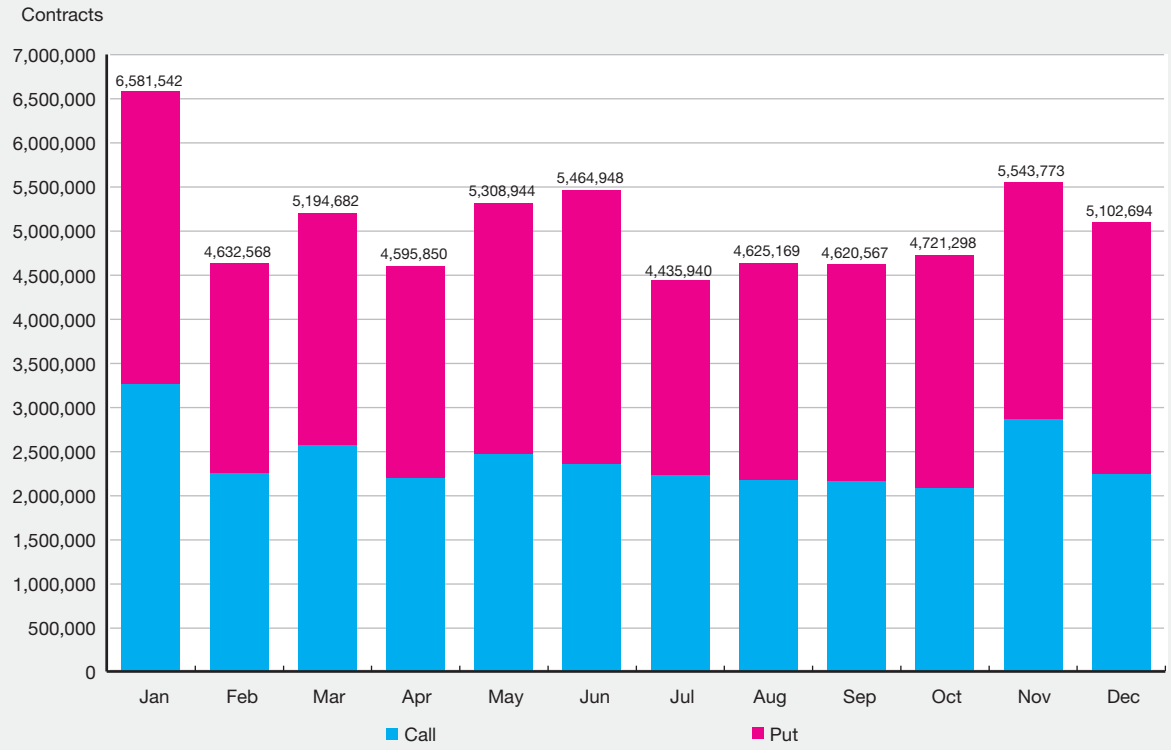
Note: Figures may not add up to total due to rounding.

Options contract value, volume and open interest by class, 2013

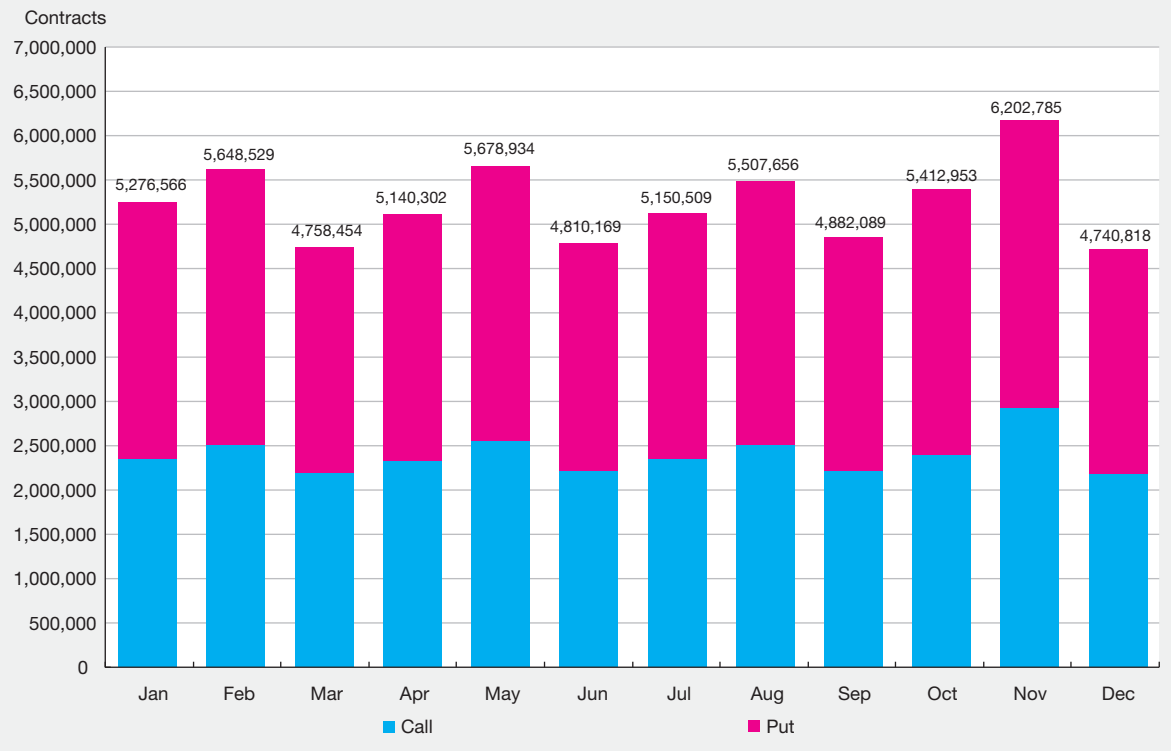
Class	Contract value (HK$mil)				Contract volume (contracts)				Year-end open interest			
	Call	Put	Total	% of total	Call	Put	Total	% of total	Call	Put	Total	% of total
ABC	159.14	253.59	412.72	1.07	132,042	165,291	297,333	0.49	13,541	15,050	28,591	0.60
AIA	591.21	541.11	1,132.31	2.92	776,073	764,600	1,540,673	2.53	36,176	35,928	72,104	1.52
ANHUI CONCH	115.91	148.81	264.72	0.68	217,862	260,496	478,358	0.79	27,418	24,330	51,748	1.09
CHALCO	23.23	34.72	57.95	0.15	74,712	82,781	157,493	0.26	4,712	8,566	13,278	0.28
BANK OF CHINA	233.42	278.02	511.44	1.32	2,028,532	1,974,613	4,003,145	6.58	231,664	219,938	451,602	9.53
BANKCOMM	28.71	66.33	95.04	0.25	175,476	285,892	461,368	0.76	21,509	34,413	55,922	1.18
BANK OF E ASIA	8.26	6.71	14.97	0.04	53,367	49,988	103,355	0.17	6,685	5,019	11,704	0.25
BELLE INT'L	76.18	87.79	163.97	0.42	182,296	169,185	351,481	0.58	8,907	11,192	20,099	0.42
BOC HONG KONG	134.03	112.80	246.84	0.64	392,533	337,180	729,713	1.20	27,375	28,246	55,621	1.17
BYD COMPANY	55.99	35.44	91.44	0.24	64,791	58,060	122,851	0.20	2,203	4,376	6,579	0.14
CAM CSI300	113.89	109.13	223.02	0.58	78,953	62,006	140,959	0.23	4,467	5,839	10,306	0.22
CATHAY PAC AIR	6.07	4.90	10.98	0.03	11,862	11,840	23,702	0.04	1,272	766	2,038	0.04
CHEUNG KONG	578.26	476.22	1,054.49	2.72	276,271	251,514	527,785	0.87	8,909	12,304	21,213	0.45
CCB	499.04	774.34	1,273.38	3.29	2,941,125	3,135,120	6,076,245	9.99	215,622	232,749	448,371	9.46
CM BANK	113.48	152.31	265.79	0.69	465,993	511,373	977,366	1.61	32,757	47,555	80,312	1.69
CITIC BANK	20.68	34.84	55.53	0.14	106,316	140,360	246,676	0.41	23,721	35,754	59,475	1.25
CHINA COAL	57.52	134.16	191.67	0.49	245,135	296,936	542,071	0.89	36,374	24,026	60,400	1.27
CHINA COMM CONS	26.96	47.87	74.83	0.19	142,291	184,825	327,116	0.54	11,454	18,291	29,745	0.63
CHINA COSCO	8.97	19.79	28.76	0.07	85,087	92,539	177,626	0.29	6,132	10,377	16,509	0.35
CHINA MOBILE	1,143.55	1,656.29	2,799.84	7.23	1,744,295	1,682,441	3,426,736	5.63	105,801	96,433	202,234	4.27
SINOPEC CORP	206.83	324.48	531.31	1.37	480,970	559,826	1,040,796	1.71	53,315	46,290	99,605	2.10
CHINA LIFE	921.09	1,137.10	2,058.19	5.31	1,386,109	1,235,777	2,621,886	4.31	80,413	78,309	158,722	3.35
CHINA RAIL CONS	19.72	25.99	45.71	0.12	83,957	84,181	168,138	0.28	8,850	13,208	22,058	0.47
CHINA RAILWAY	6.39	11.10	17.49	0.05	33,160	47,926	81,086	0.13	3,212	5,415	8,627	0.18
CHINA SHENHUA	154.21	336.52	490.73	1.27	370,574	437,841	808,415	1.33	23,315	37,315	60,630	1.28
CHINA TELECOM	28.27	58.19	86.46	0.22	124,993	186,302	311,295	0.51	12,817	17,068	29,885	0.63
CHINA OVERSEAS	292.68	357.62	650.30	1.68	222,705	276,759	499,464	0.82	18,608	19,700	38,308	0.81
CHINA UNICOM	103.03	147.11	250.14	0.65	149,090	146,258	295,348	0.49	8,885	12,631	21,516	0.45
CITIC PACIFIC	49.43	63.66	113.09	0.29	139,123	128,428	267,551	0.44	9,347	6,156	15,503	0.33
CLP HOLDINGS	21.03	48.52	69.56	0.18	51,197	99,404	150,601	0.25	4,537	5,797	10,334	0.22
CNOOC	217.01	352.28	569.29	1.47	591,040	679,100	1,270,140	2.09	47,967	58,408	106,375	2.24
CPIC	182.85	222.02	404.87	1.05	181,689	210,076	391,765	0.64	12,836	15,175	28,011	0.59
CSOP A50 ETF	144.57	210.41	354.99	0.92	155,782	131,496	287,278	0.47	13,357	16,892	30,249	0.64
ESPRIT HOLDINGS	11.08	13.14	24.23	0.06	211,384	243,708	455,092	0.75	7,635	10,390	18,025	0.38
FIH	7.11	5.89	13.00	0.03	31,392	23,832	55,224	0.09	2,933	1,390	4,323	0.09
GALAXY ENT	1,038.47	991.20	2,029.67	5.24	620,845	1,061,722	1,682,567	2.77	35,773	85,431	121,204	2.56
GREATWALL MOTOR	36.78	48.16	84.94	0.22	53,031	63,296	116,327	0.19	5,708	8,316	14,024	0.30
HANG SENG BANK	18.89	26.92	45.81	0.12	87,565	98,449	186,014	0.31	7,384	9,565	16,949	0.36
HENDERSON LAND	74.92	58.33	133.25	0.34	68,852	51,502	120,354	0.20	1,932	1,774	3,706	0.08
HK & CHINA GAS	23.67	29.54	53.21	0.14	56,409	71,207	127,616	0.21	6,217	5,981	12,198	0.26
HKEX	541.74	648.45	1,190.19	3.07	1,832,846	1,723,566	3,556,412	5.85	168,462	146,450	314,912	6.64
HSBC HOLDINGS	898.95	1,103.98	2,002.93	5.17	1,404,908	1,576,917	2,981,825	4.90	103,842	105,157	208,999	4.41
HUANENG POWER	49.05	58.28	107.33	0.28	74,427	86,750	161,177	0.26	4,303	5,866	10,169	0.21
HUTCHISON	859.33	577.84	1,437.18	3.71	435,142	380,448	815,590	1.34	22,674	24,605	47,279	1.00
ICBC	335.30	593.06	928.36	2.40	2,024,016	2,579,010	4,603,026	7.57	179,384	196,372	375,756	7.93
JIANGXI COPPER	73.83	167.66	241.50	0.62	173,831	246,517	420,348	0.69	6,994	10,891	17,885	0.38
LENOVO GROUP	19.27	26.25	45.52	0.12	31,353	42,814	74,167	0.12	6,456	12,125	18,581	0.39
LI & FUNG	122.15	292.22	414.37	1.07	179,310	250,945	430,255	0.71	16,125	34,826	50,951	1.07
MGM CHINA	8.44	13.74	22.17	0.06	17,325	28,365	45,690	0.08	4,678	6,354	11,032	0.23
MINSHENG BANK	140.54	129.51	270.05	0.70	183,485	184,669	368,154	0.61	29,792	33,145	62,937	1.33
MTR CORPORATION	11.61	25.01	36.62	0.09	47,680	61,990	109,670	0.18	3,489	4,449	7,938	0.17
CNBM	137.80	169.91	307.71	0.79	203,287	185,722	389,009	0.64	10,837	14,914	25,751	0.54
NEW WORLD DEV	66.01	85.13	151.14	0.39	154,614	160,908	315,522	0.52	14,500	12,082	26,582	0.56
PETROCHINA	288.28	383.89	672.17	1.74	585,527	623,159	1,208,686	1.99	67,245	66,249	133,494	2.82
PICC GROUP	11.84	10.92	22.77	0.06	19,035	16,984	36,019	0.06	4,472	5,129	9,601	0.20
PICC P&C	87.89	112.28	200.17	0.52	105,268	134,412	239,680	0.39	9,018	9,787	18,805	0.40
PING AN	1,138.49	1,401.65	2,540.14	6.56	1,180,922	1,150,253	2,331,175	3.83	53,151	75,196	128,347	2.71
POWER ASSETS	51.08	81.04	132.12	0.34	83,431	111,111	194,542	0.32	6,420	5,875	12,295	0.26
R&F PROPERTIES	8.45	13.68	22.13	0.06	37,013	47,898	84,911	0.14	3,600	3,960	7,560	0.16
SANDS CHINA LTD	525.45	616.08	1,141.53	2.95	770,664	1,589,245	2,359,909	3.88	57,879	140,552	198,431	4.19
SHK PPT	502.19	644.28	1,146.47	2.96	257,605	274,177	531,782	0.87	8,173	14,217	22,390	0.47
STANCHART	9.09	15.29	24.38	0.06	59,406	63,634	123,040	0.20	6,911	10,311	17,222	0.36
SWIRE PACIFIC A	50.90	85.26	136.17	0.35	64,479	82,592	147,071	0.24	2,365	3,368	5,733	0.12
TENCENT	2,541.47	1,909.17	4,450.64	11.49	2,058,425	2,534,855	4,593,280	7.55	107,701	184,143	291,844	6.16
TRACKER FUND	11.35	16.27	27.62	0.07	37,235	59,215	96,450	0.16	5,188	5,720	10,908	0.23
WHARF HOLDINGS	101.37	173.83	275.20	0.71	71,035	103,071	174,106	0.29	3,628	5,036	8,664	0.18
X ISHARES A50	1,367.94	1,717.11	3,085.05	7.96	1,134,421	955,246	2,089,667	3.44	59,094	65,435	124,529	2.63
X WISECSI300ETF	1.73	4.88	6.60	0.02	2,852	3,727	6,579	0.01	935	1,013	1,948	0.04
YANZHOU COAL	206.21	407.75	613.96	1.59	234,917	266,435	501,352	0.82	14,813	24,760	39,573	0.83
ZIJIN MINING	14.41	70.62	85.03	0.22	78,322	111,550	189,872	0.31	5,182	7,417	12,599	0.27
Total	17,734.70	20,998.41	38,733.11	100.00	28,837,660	31,990,315	60,827,975	100.00	2,179,051	2,561,767	4,740,818	100.00

Note: Figures may not add up to total due to rounding.

Monthly stock options contract volume, 2013



Month-end stock options open interest, 2013



Gold futures – trading statistics, 2009 – 2013

Year/Month		No. of trading days	Contracts: Total volume	Contracts: Average daily volume	Contracts: Period end open interest
2009		247.5	6,773	27	119
2010		248.0	5,642	23	85
2011		245.5	3,716	15	0
2012		245.5	2	0	0
2013		244.0	0	0	0
2013	Jan	22.0	0	0	0
	Feb	17.0	0	0	0
	Mar	20.0	0	0	0
	Apr	20.0	0	0	0
	May	21.0	0	0	0
	Jun	19.0	0	0	0
	Jul	22.0	0	0	0
	Aug	21.0	0	0	0
	Sep	20.0	0	0	0
	Oct	21.0	0	0	0
	Nov	21.0	0	0	0
	Dec	20.0	0	0	0
2013	Highest		**0**		**0**
	(date)		–		–

Trading in RMB Currency Futures – USD/CNH Futures – trading statistics, 2012 – 2013

Year/Month		No. of trading days	Contracts		
			Total volume	Average daily volume	Period end open interest
2012*		70.0	20,277	290	3,673
2013		244.0	138,708	568	18,701
2013	Jan	22.0	12,089	550	4,847
	Feb	17.0	5,979	352	5,047
	Mar	20.0	6,986	349	4,791
	Apr	20.0	7,451	373	4,851
	May	21.0	18,455	879	6,685
	Jun	19.0	20,332	1,070	7,028
	Jul	22.0	9,104	414	7,904
	Aug	21.0	8,225	392	7,974
	Sep	20.0	9,539	477	9,515
	Oct	21.0	16,134	768	13,067
	Nov	21.0	12,626	601	16,863
	Dec	20.0	11,788	589	18,701
2013	Highest		**2,455**		**18,701**
	(date)		(05/31)		(12/31)

* TradingTrading in RMB Currency Futures – USD/CNH Futures commenced on 17 Sep 2012

Record high of futures and options products (up to 31 December 2013)

Products	Daily Volume		Open Interest	
	Contracts	Date	Contracts	Date
Hang Seng Index Futures	271,426	2013/06/25	198,789	2007/06/27
Mini Hang Seng Index Futures	86,812	2011/08/09	21,682	2011/06/17
H-shares Index Futures	389,031	2013/06/25	334,575	2013/12/24
Mini H-shares Index Futures	19,556	2011/08/09	6,951	2013/09/23
HSI Dividend Point Index Futures	3,000	2012/08/01	9,409	2013/12/30
HSCEI Dividend Point Index Futures	20,802	2012/05/24	95,673	2013/11/19
HSI Volatility Index Futures	58	2012/05/10	140	2012/05/16
Hang Seng Index Options	116,835	2011/08/05	560,087	2012/06/27
Mini Hang Seng Index Options	13,917	2012/05/18	32,651	2012/05/29
Flexible Hang Seng Index Options	4,730	2013/01/08	17,878	2012/11/02
H-shares Index Options	113,156	2013/11/19	1,437,711	2013/12/19
Flexible H-shares Index Options	5,770	2011/09/26	31,255	2013/12/27
CES China 120 Index Futures	2,086	2013/08/26	1,040	2013/10/29
IBOVESPA Futures	5	2012/05/21	5	2012/06/14
MICEX Index Futures	5	2012/05/17	6	2012/06/18
S&P BSE Sensex Index Futures	22	2012/04/17	25	2012/06/01
FTSE/JSE Top40 Futures	0	–	0	–
Stock Futures	27,966	2007/03/28	47,050	2013/03/27
Stock Options	805,947	2008/03/27	9,218,955	2011/11/28
3-Month HIBOR Futures[1]	10,514	2002/04/04	80,964	2001/11/15
1-Month HIBOR Futures[2]	800	2001/01/09	3,250	2001/04/12
3-Year Exchange Fund Note Futures	250	2003/12/12	981	2002/02/18
RMB Currency Futures – USD/CNH Futures	2,455	2013/05/31	18,701	2013/12/31
Gold Futures	480	2008/10/20	403	2010/11/26

[1] The size of 3-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

[2] The size of 1-Month HIBOR futures contracts was increased to 5 times the original size starting from 27 May 2002

Futures of LME commodities – trading volumes, 2013

Futures	Volume (Lot) 2012	2013	YTD Diff
Aluminium High Grade	59,123,583	63,767,903	7.9%
Aluminium Alloy	606,102	521,980	-13.9%
Copper A Grade	35,874,789	40,486,017	12.9%
Lead	14,248,937	12,931,067	-9.2%
Primary Nickel	11,164,449	13,678,490	22.5%
Tin	1,846,814	2,061,899	11.6%
Special High Grade Zinc	29,559,338	30,270,370	2.4%
North American Special Aluminium Alloy	603,378	573,770	-4.9%
LMEX	–	–	–
Steel Mediterranean Billet	144,724	71,752	-50.4%
Cobalt	13,291	13,827	4.0%
Molybdenum	461	512	11.1%
LMEmini Copper A Grade	–	–	–
LMEmini Primary Aluminium	–	–	–
LMEmini Special High Grade Zinc	–	–	–
Swaps Primary Aluminium	15,079	5,565	-63.1%
Swaps Aluminium Alloy	–	–	–
Swaps Copper	30	331	1003.3%
Swaps Lead	–	123	100.0%
Swaps Nickel	94	74	-21.3%
Swaps Tin	–	26	100.0%
Swaps Zinc	308	815	164.6%
Swaps North American Special Aluminium	–	–	–
Gold	100	13,304	13204.0%
Silver	–	–	–
Total	153,201,477	164,397,825	7.3%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc
20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel and Molybdenum
5 tonnes: Tin
1 tonne: Cobalt
65 tonnes: Steel Billet
24.75 tonnes: PP and LL
5 tonnes: LMEmini Copper, Aluminium and Zinc

Options of LME commodities – trading volumes, 2013

Options	Volume (Lot) 2012	2013	YTD Diff
Aluminium High Grade	2,424,466	2,681,694	10.6%
Aluminium Alloy	–	–	–
Copper A Grade	2,605,512	2,408,984	-7.5%
Lead	260,265	216,518	-16.8%
Primary Nickel	178,844	285,039	59.4%
Tin	14,014	13,227	-5.6%
Special High Grade Zinc	952,958	826,821	-13.2%
North American Special Aluminium Alloy	1,175	370	-68.5%
Total	6,437,234	6,432,653	-0.1%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc
20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel and Molybdenum
5 tonnes: Tin
1 tonne: Cobalt
65 tonnes: Steel Billet
24.75 tonnes: PP and LL
5 tonnes: LMEmini Copper, Aluminium and Zinc

TAPOs of LME commodities – trading volumes, 2013

TAPOs	Volume (Lot) 2012	2013	YTD Diff
Aluminium High Grade	45,361	140,542	209.8%
Aluminium Alloy	–	–	–
Copper A Grade	16,649	50,219	201.6%
Lead	4,764	6,920	45.3%
Primary Nickel	3,157	7,250	129.6%
Tin	–	–	–
Special High Grade Zinc	11,239	64,234	471.5%
North American Special Aluminium Alloy	–	–	–
Total	81,170	269,165	231.6%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc
20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel and Molybdenum
5 tonnes: Tin
1 tonne: Cobalt
65 tonnes: Steel Billet
24.75 tonnes: PP and LL
5 tonnes: LMEmini Copper, Aluminium and Zinc

Futures and options of LME commodities – trading volumes, 2013

Futures and options	Volume (Lot) 2012	2013	YTD Diff
Aluminium High Grade	61,593,410	66,590,139	8.1%
Aluminium Alloy	606,102	521,980	-13.9%
Copper A Grade	38,496,950	42,945,220	11.6%
Lead	14,513,966	13,154,505	-9.4%
Primary Nickel	11,346,450	13,970,779	23.1%
Tin	1,860,828	2,075,126	11.5%
Special High Grade Zinc	30,523,535	31,161,425	2.1%
North American Special Aluminium Alloy	604,553	574,140	-5.0%
LMEX	–	–	–
Steel Mediterranean Billet	144,724	71,752	-50.4%
Cobalt	13,291	13,827	4.0%
Molybdenum	461	512	11.1%
LMEmini Copper A Grade	–	–	–
LMEmini Primary Aluminium	–	–	–
LMEmini Special High Grade Zinc	–	–	–
Swaps Primary Aluminium	15,079	5,565	-63.1%
Swaps Aluminium Alloy	–	–	–
Swaps Copper	30	331	1003.3%
Swaps Lead	–	123	100.0%
Swaps Nickel	94	74	-21.3%
Swaps Tin	–	26	100.0%
Swaps Zinc	308	815	164.6%
Swaps North American Special Aluminium	–	–	–
Gold	100	13,304	13204.0%
Silver	–	–	–
Grand Total	159,719,881	171,099,643	7.1%

Sizes of lots:

25 tonnes: Aluminium, Copper, Lead and Zinc
20 tonnes: Aluminium Alloy and NASAAC
6 tonnes: Nickel and Molybdenum
5 tonnes: Tin
1 tonne: Cobalt
65 tonnes: Steel Billet
24.75 tonnes: PP and LL
5 tonnes: LMEmini Copper, Aluminium and Zinc

Average daily stock exchange trades processed in CCASS (including CNS trades, isolated trades and cross trades)

Year/month	No. of CCASS trades	% of Exchange trades	No. of shares (mil)	% of market turnover	Value of trades (HK$ mil)	% of market turnover
HKD						
2009	713,412	100.00	99,574	100.00	62,310	100.00
2010	778,854	100.00	140,527	100.00	69,115	100.00
2011	872,796	100.00	162,217	100.00	69,688	100.00
2012	775,182	100.00	137,513	100.00	53,769	100.00
2013	939,557	100.00	141,132	100.00	62,433	100.00
2013 Jan	1,037,592	100.00	160,300	100.00	78,027	100.00
Feb	925,120	100.00	163,816	100.00	71,597	100.00
Mar	994,979	100.00	193,679	100.00	72,097	100.00
Apr	896,451	100.00	158,445	100.00	58,325	100.00
May	919,630	100.00	129,139	100.00	60,317	100.00
Jun	1,072,099	100.00	151,037	100.00	68,238	100.00
Jul	844,086	100.00	130,361	100.00	51,593	100.00
Aug	880,019	100.00	139,145	100.00	54,559	100.00
Sep	911,825	100.00	122,736	100.00	59,614	100.00
Oct	929,761	100.00	124,742	100.00	58,361	100.00
Nov	944,160	100.00	123,686	100.00	61,922	100.00
Dec	927,396	100.00	101,950	100.00	56,461	100.00
			(Thousand)		(US$ Thousand)	
USD						
2009	7	91.24	3	82.52	287	96.53
2010	23	91.57	7	78.73	824	97.33
2011	25	88.32	5	70.04	677	93.64
2012	28	98.97	5	94.59	520	99.74
2013	47	100.00	14	100.00	897	100.00
2013 Jan	71	100.00	12	100.00	820	100.00
Feb	65	100.00	22	100.00	1,628	100.00
Mar	27	100.00	12	100.00	443	100.00
Apr	34	100.00	11	100.00	587	100.00
May	38	100.00	11	100.00	1,063	100.00
Jun	59	100.00	9	100.00	784	100.00
Jul	91	100.00	14	100.00	1,262	100.00
Aug	46	100.00	21	100.00	1,295	100.00
Sep	26	100.00	9	100.00	563	100.00
Oct	44	100.00	18	100.00	1,141	100.00
Nov	43	100.00	17	100.00	424	100.00
Dec	17	100.00	12	100.00	813	100.00
			(Thousand)		(RMB Thousand)	
RMB						
2011	833	100.00	11,203	100.00	48,122	100.00
2012	532	100.00	7,504	100.00	63,519	99.99
2013	850	100.00	14,176	100.00	96,352	100.00
2013 Jan	1,259	100.00	39,474	100.00	191,031	100.00
Feb	1,134	100.00	24,995	100.00	136,858	100.00
Mar	1,190	100.00	16,290	100.00	114,794	100.00
Apr	794	100.00	9,945	100.00	77,997	100.00
May	998	100.00	16,392	100.00	117,316	100.00
Jun	1,138	100.00	14,622	100.00	136,410	100.00
Jul	818	100.00	7,972	100.00	65,573	100.00
Aug	572	100.00	7,087	100.00	50,679	100.00
Sep	656	100.00	7,778	100.00	59,007	100.00
Oct	523	100.00	7,546	100.00	60,878	100.00
Nov	562	100.00	10,670	100.00	91,434	100.00
Dec	614	100.00	7,832	100.00	59,185	100.00

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average Daily Settlement Instructions (SIs) settled in CCASS (both delivering and receiving SIs are counted)

Year/month	Number of SIs	SIs by shares (mil)	SIs by value (HK$ mil)
HKD			
2009	69,658	40,561	164,032
2010	80,149	47,203	187,865
2011	83,760	47,722	210,714
2012	73,156	44,241	178,175
2013	82,849	54,585	215,816
2013 Jan	92,492	66,288	345,226
Feb	86,036	52,239	209,623
Mar	88,448	56,748	234,996
Apr	81,555	59,051	192,813
May	77,888	49,383	206,851
Jun	86,534	67,790	259,525
Jul	74,456	49,030	164,980
Aug	74,823	49,474	167,604
Sep	80,162	48,812	198,829
Oct	81,033	49,046	179,318
Nov	83,945	54,906	214,302
Dec	88,612	52,512	213,662
		(Thousand)	(US$ Thousand)
USD			
2009	8	56	5,363
2010	14	36	4,266
2011	14	35	4,381
2012	30	3,165	10,984
2013	89	16,478	23,343
2013 Jan	77	33,492	23,750
Feb	100	34,622	15,519
Mar	72	32,889	19,100
Apr	91	31,748	41,017
May	71	7,606	15,107
Jun	100	6,540	23,587
Jul	119	5,797	25,828
Aug	105	4,751	22,624
Sep	75	7,527	37,040
Oct	125	7,139	17,673
Nov	58	8,858	9,252
Dec	76	20,647	30,301
		(Thousand)	(RMB Thousand)
RMB			
2011	59	80,777	368,420
2012	61	27,179	225,854
2013	117	46,817	568,373
2013 Jan	145	55,383	864,408
Feb	138	88,173	1,442,558
Mar	142	28,331	426,146
Apr	128	46,485	629,566
May	120	45,421	543,196
Jun	138	80,624	992,405
Jul	105	43,320	496,909
Aug	86	18,063	170,657
Sep	87	16,607	149,600
Oct	98	37,700	355,002
Nov	103	48,862	430,035
Dec	126	63,780	479,729

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS (one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (mil)	ISIs by Value (HK$ mil)
HKD				
2009		560	112	292
2010		574	117	271
2011		468	124	258
2012		342	99	224
2013		401	124	205
2013	Jan	568	69	223
	Feb	398	53	175
	Mar	404	590	349
	Apr	345	78	188
	May	400	73	242
	Jun	415	61	260
	Jul	319	57	144
	Aug	340	45	153
	Sep	386	36	120
	Oct	363	181	213
	Nov	417	191	245
	Dec	463	47	145
			(Thousand)	(US$ Thousand)
USD				
2009		0	0	0
2010		0	2	213
2011		0	3	383
2012		0	4	413
2013		0	8	1,002
2013	Jan	0	0	0
	Feb	0	1	2
	Mar	0	0	0
	Apr	0	0	1
	May	0	0	0
	Jun	0	23	2,911
	Jul	0	1	0
	Aug	0	0	0
	Sep	0	78	9,345
	Oct	0	1	1
	Nov	0	0	0
	Dec	0	0	8
			(Thousand)	(RMB Thousand)
RMB				
2011		1	85	345
2012		1	27	121
2013		1	107	512
2013	Jan	1	22	101
	Feb	0	15	65
	Mar	2	659	2,795
	Apr	1	24	109
	May	1	86	568
	Jun	1	114	526
	Jul	1	44	221
	Aug	1	128	615
	Sep	1	139	875
	Oct	1	14	67
	Nov	1	20	105
	Dec	1	16	84

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily settlement efficiency of CNS stock positions

Year/month		Settlement Efficiency (%) S day	S + 1 day
HKD			
2009		99.85	99.99
2010		99.88	99.99
2011		99.88	99.99
2012		99.91	99.99
2013		99.91	99.99
2013	Jan	99.91	99.99
	Feb	99.92	99.99
	Mar	99.89	99.99
	Apr	99.92	99.99
	May	99.89	99.99
	Jun	99.87	99.99
	Jul	99.89	99.99
	Aug	99.93	99.99
	Sep	99.92	99.99
	Oct	99.92	99.99
	Nov	99.88	99.99
	Dec	99.92	99.99
USD			
2009		96.31	97.83
2010		98.86	99.75
2011		96.94	99.11
2012		97.01	99.05
2013		98.74	99.80
2013	Jan	100.00	100.00
	Feb	99.16	100.00
	Mar	92.33	100.00
	Apr	100.00	100.00
	May	100.00	100.00
	Jun	100.00	100.00
	Jul	100.00	100.00
	Aug	100.00	100.00
	Sep	100.00	100.00
	Oct	98.89	100.00
	Nov	100.00	100.00
	Dec	94.32	97.57
RMB			
2011		99.89	100.00
2012		99.91	100.00
2013		99.87	100.00
2013	Jan	99.61	99.97
	Feb	99.85	100.00
	Mar	100.00	100.00
	Apr	100.00	100.00
	May	100.00	100.00
	Jun	100.00	100.00
	Jul	99.61	100.00
	Aug	99.60	100.00
	Sep	100.00	100.00
	Oct	99.87	100.00
	Nov	100.00	100.00
	Dec	99.92	100.00

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily netting efficiency of CNS trades – in stock positions and share quantity

Year/month	No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (mil)	Netting efficiency (%)
HKD				
2009	62,233	95.43	24,683	87.41
2010	71,384	95.17	27,266	89.98
2011	66,348	95.94	22,628	92.77
2012	57,279	96.01	17,527	93.40
2013	68,591	96.04	21,438	92.09
2013 Jan	77,662	95.96	26,715	91.43
Feb	67,872	96.00	23,372	92.71
Mar	68,179	96.26	22,557	94.12
Apr	61,661	96.24	19,583	93.63
May	68,389	95.96	20,460	91.90
Jun	65,898	96.62	21,028	92.93
Jul	60,760	96.09	17,030	93.34
Aug	67,228	95.88	19,114	93.07
Sep	67,640	96.01	20,759	91.27
Oct	71,991	95.88	22,292	90.94
Nov	72,771	95.87	23,184	90.57
Dec	72,378	95.74	21,380	89.30
		(%)	(Thousand)	(%)
USD				
2009	3	50.58	5	4.80
2010	7	67.38	12	7.96
2011	7	65.98	9	7.91
2012	7	69.42	9	6.96
2013	8	69.55	20	9.05
2013 Jan	10	76.61	22	6.30
Feb	10	68.58	24	6.54
Mar	8	62.65	21	4.99
Apr	9	69.22	18	10.70
May	8	78.34	15	11.03
Jun	9	69.71	16	6.46
Jul	9	76.65	19	8.75
Aug	7	67.95	31	4.48
Sep	7	70.02	17	8.61
Oct	8	63.44	26	8.62
Nov	8	71.71	22	22.55
Dec	7	57.81	10	9.46
		(%)	(Thousand)	(%)
RMB				
2011	41	96.02	14,164	21.17
2012	55	92.63	8,230	26.98
2013	122	91.40	12,165	47.09
2013 Jan	149	93.65	21,560	61.61
Feb	143	92.77	14,714	64.44
Mar	144	93.48	13,723	55.60
Apr	117	91.56	9,828	45.76
May	126	92.75	14,026	53.18
Jun	137	93.37	17,037	36.14
Jul	106	92.45	8,046	45.36
Aug	99	90.54	7,140	47.26
Sep	105	91.14	8,440	41.64
Oct	105	88.58	7,077	46.11
Nov	113	88.83	15,483	28.52
Dec	130	87.90	9,247	40.91

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily netting efficiency of CNS Trades – in money obligations

Year/month	Money obligations arising from novated contracts (HK$mil)	Money obligations after netting (HK$mil)	Funds netting efficiency (%)
HKD			
2009	117,788	14,513	87.68
2010	130,333	14,796	88.65
2011	130,748	14,335	89.04
2012	98,727	10,731	89.13
2013	115,615	12,554	89.14
2013 Jan	141,959	13,637	90.39
Feb	126,605	12,603	90.05
Mar	132,260	12,577	90.49
Apr	107,317	10,999	89.75
May	110,457	11,655	89.45
Jun	126,160	17,105	86.44
Jul	96,159	9,802	89.81
Aug	101,830	10,708	89.48
Sep	109,377	14,338	86.89
Oct	107,872	10,616	90.16
Nov	114,073	14,859	86.97
Dec	116,281	12,244	89.47
	(US$ Thousand)	(US$ Thousand)	(%)
USD			
2009	558	532	4.61
2010	1,623	1,490	8.14
2011	1,330	1,117	16.04
2012	908	825	9.18
2013	1,224	1,112	9.16
2013 Jan	1,608	1,468	8.70
Feb	1,165	1,059	9.09
Mar	829	741	10.59
Apr	946	808	14.59
May	1,639	1,542	5.94
Jun	1,449	1,339	7.59
Jul	1,635	1,290	21.11
Aug	1,517	1,440	5.07
Sep	1,066	1,032	3.20
Oct	1,278	1,169	8.51
Nov	786	748	4.82
Dec	616	581	5.79
	(RMB Thousand)	(RMB Thousand)	(%)
RMB			
2011	82,987	60,306	27.33
2012	108,944	66,780	38.70
2013	184,712	85,427	53.75
2013 Jan	357,173	196,034	45.12
Feb	261,936	108,056	58.75
Mar	218,207	92,924	57.41
Apr	153,969	66,585	56.75
May	226,501	101,105	55.36
Jun	243,500	109,974	54.84
Jul	111,990	43,355	61.29
Aug	100,863	38,698	61.63
Sep	116,956	48,458	58.57
Oct	119,615	44,130	63.11
Nov	181,310	114,404	36.90
Dec	129,027	58,658	54.54

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Average daily transactions handled by CCASS depository (both deposit and withdrawal transactions are counted)

Year/month	No. of transactions	No. of shares (mil)	Value of transactions (HK$mil)
HKD			
2009	1,548	2,292	4,629
2010	1,635	3,386	6,216
2011	1,066	2,256	4,607
2012	795	1,440	3,456
2013	912	1,697	3,752
2013 Jan	1,427	1,691	3,181
Feb	1,028	1,852	3,862
Mar	809	945	1,908
Apr	776	1,277	2,382
May	893	1,447	4,507
Jun	788	1,447	3,452
Jul	736	1,266	3,478
Aug	750	2,202	2,776
Sep	776	1,729	3,323
Oct	919	1,748	3,108
Nov	932	1,870	5,434
Dec	1,098	2,923	7,655
		(Thousand)	(US$ Thousand)
USD			
2009	–	–	–
2010	–	–	–
2011	–	–	–
2012	–	–	–
2013	0	3	3
2013 Jan	0	0	0
Feb	0	1	1
Mar	0	0	1
Apr	1	5	7
May	0	17	18
Jun	0	0	0
Jul	0	1	1
Aug	0	8	5
Sep	0	1	0
Oct	0	0	0
Nov	0	1	0
Dec	0	0	0

Footnote: No transaction in US dollars handled for the years from 2009 to 2012

		(Thousand)	(RMB Thousand)
RMB			
2011	6	11,428	54,259
2012	2	576	1,928
2013	2	63	249
2013 Jan	2	93	382
Feb	2	12	47
Mar	2	57	235
Apr	2	11	43
May	2	41	174
Jun	1	107	423
Jul	2	131	516
Aug	1	127	489
Sep	2	99	375
Oct	2	19	71
Nov	2	25	91
Dec	2	22	85

Footnote: RMB-denominated securities commenced trading on 29 April 2011.

Securities under CCASS custody (balances at the end of each month)

Year/month	No. of shares (mil)	% of issued shares of admitted securities	Market value (HK$mil)	% of market capitalisation of admitted securities
All currencies in HKD equivalent				
2009	3,286,618	70.93	9,245,111	49.19
2010	3,834,082	71.80	11,294,451	49.57
2011	3,694,236	70.17	9,599,910	50.23
2012	3,663,662	70.30	12,330,062	51.73
2013	3,955,158	70.87	13,878,914	52.68
2013 Jan	3,670,711	69.74	13,081,667	51.97
Feb	3,671,935	69.99	12,771,993	51.82
Mar	3,673,740	69.58	12,345,001	51.66
Apr	3,669,937	69.62	12,538,126	51.58
May	3,703,726	69.87	12,575,419	51.87
Jun	3,726,295	70.11	11,616,387	51.72
Jul	3,749,071	70.28	12,064,020	51.59
Aug	3,777,006	70.30	12,239,224	51.83
Sep	3,839,011	70.64	12,905,254	51.98
Oct	3,838,897	70.32	13,334,249	52.35
Nov	3,881,457	70.16	13,915,503	52.51
Dec	3,955,158	70.87	13,878,914	52.68

Footnote: No. of shares and market value of securities in non-HKD currency have been included.

	(Thousand)		(US$ Thousand)	
USD				
2009	16,375	100.00	1,879,050	100.00
2010	19,692	100.00	2,412,786	100.00
2011	21,051	100.00	2,630,840	100.00
2012	31,963	100.00	3,323,798	100.00
2013	32,691	94.64	3,120,699	99.96
2013 Jan	32,504	93.71	3,361,200	99.91
Feb	32,843	93.75	3,395,376	99.91
Mar	33,180	93.80	3,447,439	99.91
Apr	34,713	93.79	3,698,874	99.92
May	35,326	94.78	3,661,020	99.95
Jun	34,701	94.68	3,435,124	99.95
Jul	34,803	94.67	3,404,554	99.96
Aug	35,435	95.10	3,394,227	99.96
Sep	32,677	94.68	3,110,321	99.96
Oct	32,687	94.68	3,214,526	99.96
Nov	32,654	94.64	3,098,430	99.95
Dec	32,691	94.64	3,120,699	99.96

	(Thousand)		(RMB Thousand)	
RMB				
2011	2,281,308	45.53	8,121,456	45.53
2012	4,046,204	40.55	33,143,882	45.66
2013	3,962,224	30.40	18,929,002	17.20
2013 Jan	3,790,617	31.59	28,366,027	27.43
Feb	3,783,761	31.37	27,418,281	26.82
Mar	3,670,920	30.52	23,412,374	24.28
Apr	3,406,027	28.44	18,780,702	19.81
May	3,545,368	30.06	19,793,030	21.54
Jun	3,605,808	29.28	17,911,873	17.94
Jul	3,666,682	30.13	17,851,393	18.31
Aug	3,883,861	32.02	19,085,296	20.00
Sep	3,824,435	31.45	19,226,596	19.73
Oct	3,896,659	31.93	20,027,152	20.54
Nov	3,701,183	30.10	18,981,485	18.06
Dec	3,962,224	30.40	18,929,002	17.20

Statistics on Exchange participants

Stock Exchange

Year/quarter		No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2009		525 (940)	463 (873)	32 (37)	30 (30)
2010		538 (960)	485 (904)	31 (34)	22 (22)
2011		555 (980)	498 (916)	36 (43)	21 (21)
2012		571 (996)	511 (928)	39 (47)	21 (21)
2013		563 (978)	504 (912)	39 (46)	20 (20)
2013	Q1	572 (997)	509 (924)	42 (52)	21 (21)
	Q2	569 (987)	507 (918)	42 (49)	20 (20)
	Q3	566 (982)	506 (915)	40 (47)	20 (20)
	Q4	563 (978)	504 (912)	39 (46)	20 (20)

Futures Exchange

Year/quarter		No. of trading right holders (no. of trading right held)	Exchange participants – trading	Exchange participants – non trading	Non-Exchange participants
2009		211 (238)	167 (192)	–	44 (46)
2010		221 (246)	179 (202)	–	42 (44)
2011		228 (252)	182 (204)	–	46 (48)
2012		234 (258)	185 (207)	–	49 (51)
2013		232 (256)	179 (201)	–	53 (55)
2013	Q1	230 (254)	181 (203)	–	49 (51)
	Q2	233 (257)	180 (202)	–	53 (55)
	Q3	232 (256)	179 (201)	–	53 (55)
	Q4	232 (256)	179 (201)	–	53 (55)

Notes:

(1) The figures in brackets are the number of trading rights held

(2) An Exchange Participant is an individual or corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities dealing activity.

Distribution of market participants' market shares, 2009 – 2013

		Category A (Position 1 to 14)		Category B (Position 15 to 65)		Category C (Position > 65)			
Year/Month		Range (%)	Total (%)	Range (%)	Total (%)	Range (%)	Total (%)	Total turnover (HK$bil)	Average daily turnover (HK$mil)
2009		5.46 – 2.24	52.02	2.23 – 0.26	35.34	0.25 – 0.00	12.64	15,620.36	62,732.37
2010		5.99 – 2.36	51.08	2.28 – 0.25	36.15	0.24 – 0.00	12.77	17,319.79	69,557.39
2011		7.52 – 2.47	53.63	2.27 – 0.22	35.00	0.22 – 0.00	11.37	17,303.74	70,340.41
2012		7.68 – 2.60	57.72	2.28 – 0.21	31.83	0.21 – 0.00	10.45	13,424.01	54,348.22
2013		7.20 – 2.11	55.97	1.87 – 0.22	32.54	0.21 – 0.00	11.49	15,402.14	63,123.52
2013	Jan	7.16 – 2.32	54.70	2.13 – 0.24	33.26	0.24 – 0.00	12.04	1,731.35	78,697.55
	Feb	8.87 – 2.16	58.91	2.07 – 0.21	31.13	0.20 – 0.00	9.96	1,228.24	72,249.47
	Mar	7.40 – 2.20	57.39	2.06 – 0.20	32.60	0.20 – 0.00	10.01	1,462.23	73,111.43
	Apr	7.98 – 2.29	57.52	2.00 – 0.20	32.50	0.20 – 0.00	9.98	1,176.48	58,823.94
	May	7.56 – 2.02	56.44	1.85 – 0.20	32.45	0.20 – 0.00	11.11	1,280.34	60,968.44
	Jun	8.23 – 2.32	58.52	2.17 – 0.22	31.56	0.21 – 0.00	9.92	1,320.11	69,479.57
	Jul	7.24 – 2.08	55.16	1.87 – 0.25	34.21	0.23 – 0.00	10.63	1,144.70	52,031.66
	Aug	7.38 – 1.88	54.27	1.86 – 0.24	34.49	0.24 – 0.00	11.24	1,154.76	54,988.70
	Sep	7.92 – 2.04	57.40	2.01 – 0.22	31.85	0.21 – 0.00	10.75	1,207.69	60,384.54
	Oct	6.08 – 2.03	53.77	1.94 – 0.25	33.65	0.25 – 0.00	12.58	1,235.44	58,830.53
	Nov	6.85 – 1.92	55.47	1.81 – 0.23	33.01	0.23 – 0.00	11.52	1,311.32	62,444.03
	Dec	6.55 – 1.95	54.51	1.85 – 0.21	33.75	0.21 – 0.00	11.74	1,149.48	57,473.98

Note: The report includes all Exchange Participant firms that had paid Transaction Levy, Investor Compensation Levy (if applicable) and Trading Fee to the Exchange.

HKCC Participants

Year/quarter		Clearing Participants	General Clearing Participants
2009		144	10
2010		153	9
2011		156	10
2012		158	9
2013		152	9
2013	Q1	154	8
	Q2	154	8
	Q3	152	9
	Q4	152	9

SEOCH Participants

Year/quarter		Direct Clearing Participants	General Clearing Participants
2009		53	6
2010		56	6
2011		59	7
2012		63	9
2013		62	9
2013	Q1	63	9
	Q2	62	9
	Q3	62	9
	Q4	62	9

HKSCC Participants

Year/quarter		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2009		452	7	41
2010		468	7	44
2011		482	8	47
2012		493	9	46
2013		485	9	43
2013	Q1	491	9	45
	Q2	488	9	44
	Q3	486	9	43
	Q4	485	9	43

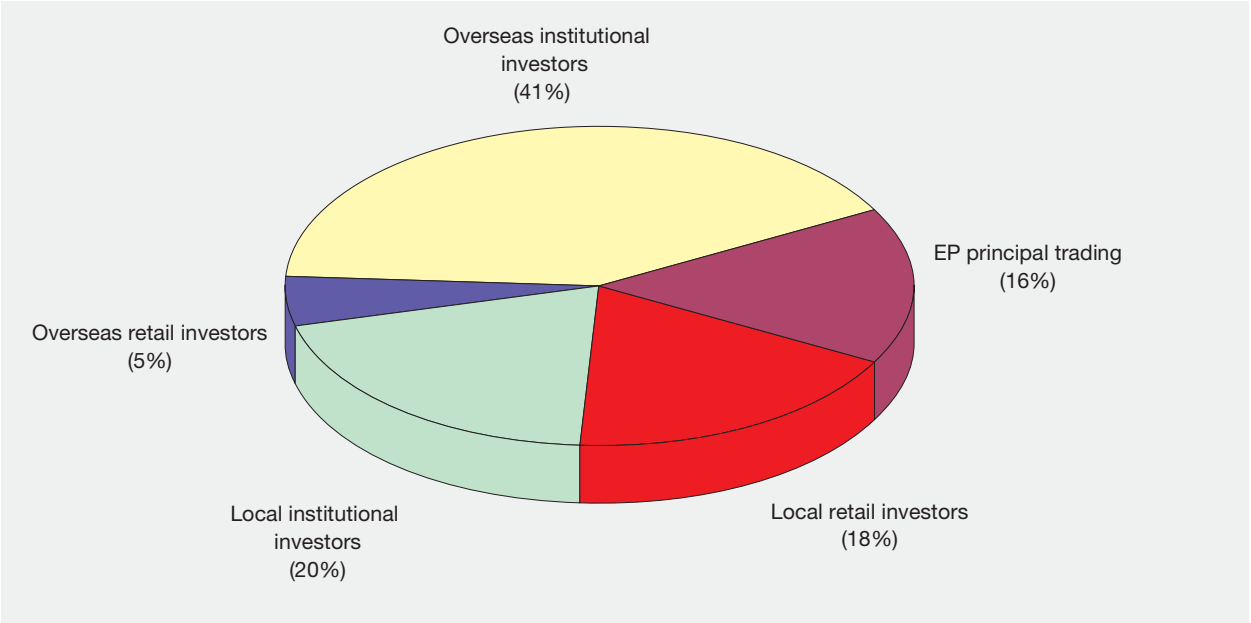
* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Cash market — distribution of market trading by investor type

The Cash Market Transaction Survey 2012/13 covered trading in the HKEx securities market during the 12-month period from October 2012 to September 2013. The survey found that overseas investors remained the major contributors to total market turnover value in 2012/13, with a contribution of 46% in aggregate. Among them, overseas institutional investors contributed 41% to the total market turnover value. The contribution from local investors was 38% of the total market turnover value, with local retail investors and local institutional investors contributing 18% and 20% respectively. The contribution from Exchange Participants' principal trading was 16%.

In 2012/13, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 39% of total retail turnover value or 8% of total market turnover value[1].

Distribution of cash market trading value by investor type (Oct 2012 – Sep 2013)



Note: Numbers may not add up to 100% due to rounding.

Distribution of cash market trading value by investor type (2010/11 – 2012/13)

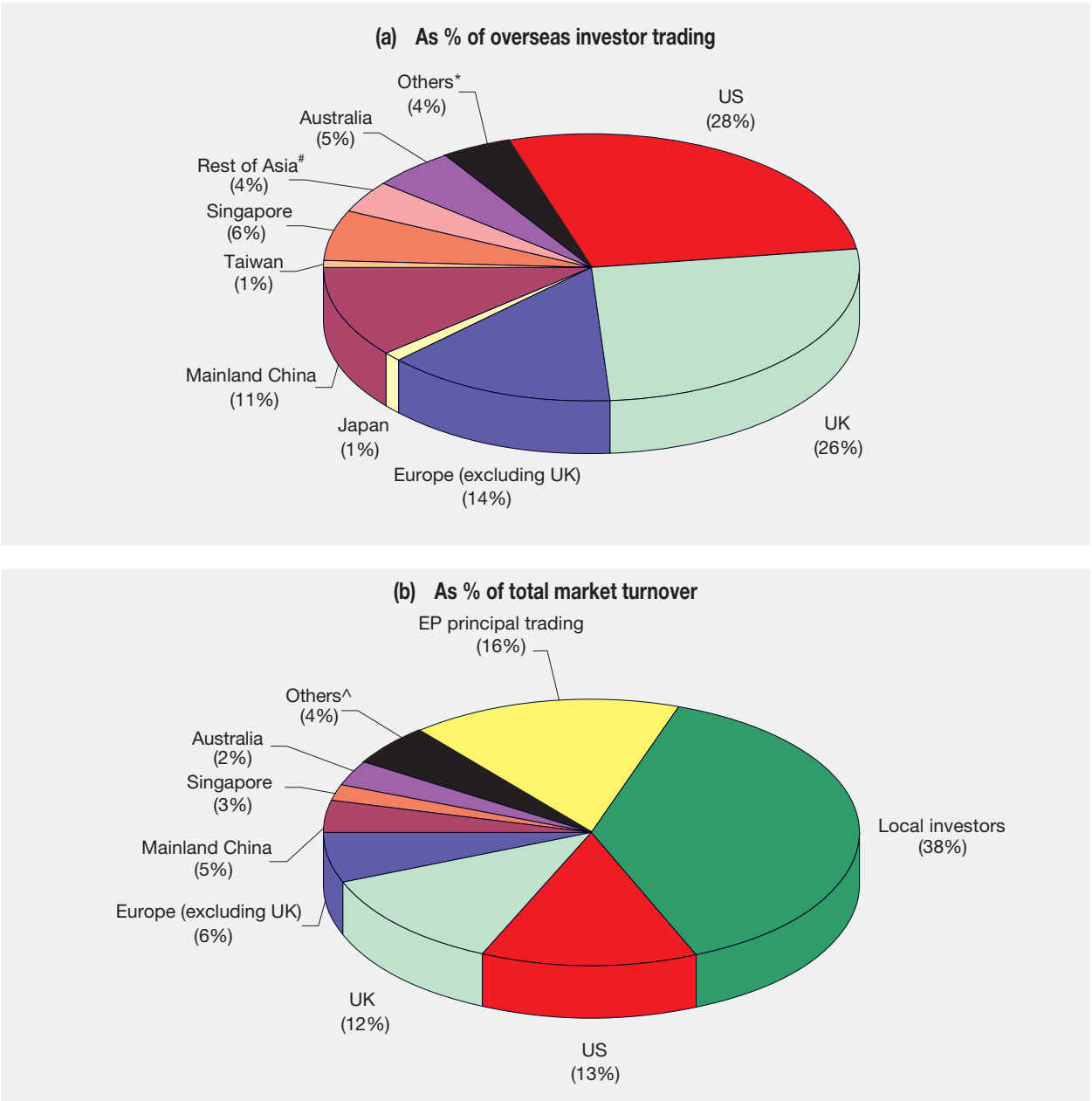
	2010/11	2011/12	2012/13
Exchange Participants' principal trading	12%	15%	16%
Local investor trading	42%	38%	38%
Retail	*22%*	*17%*	*18%*
Institutional	*20%*	*21%*	*20%*
Overseas investor trading	46%	46%	46%
Retail	*4%*	*4%*	*5%*
Institutional	*42%*	*42%*	*41%*
Total	**100%**	**100%**	**100%**

Note: Numbers may not add up to sub-totals or to 100% due to rounding.

[1] Since survey respondents, i.e. the Exchange Participants (EPs), might not be able to identify retail online trading channelled via banks (which might be regarded as their institutional clients), the level of retail online trading might be under-estimated.

In 2012/13, US investors were the largest contributors among overseas investors, with a contribution of 28% of total overseas investor trading and 13% of total market turnover. They were followed by UK investors who contributed 26% to total overseas investor trading and 12% of total market turnover. Investors from Europe (excluding UK) were the third largest contributors, at 14% of total overseas investor trading and 6% of total market turnover. The aggregate contribution of Asian investors was 24% of total overseas investor trading and 11% of total market turnover. Among them, Mainland China investors contributed the most — 11% of total overseas investors trading and 5% of total market turnover — and Singaporean investors came second with a 6% share in total overseas investor trading and 3% in total market turnover.

Distribution of overseas investor trading value in cash market by origin (Oct 2012 – Sep 2013)



\# Reported origins in "Rest of Asia" were Bangladesh, Brunei, Cambodia, India, Indonesia, Kazakhstan, Laos, Macau, Malaysia, Maldives, Mongolia, Myanmar, Pakistan, Philippines, South Korea, Sri Lanka, Thailand and Vietnam.

* Reported origins in "Others" included Algeria, Anguilla, Bahamas, Bahrain, Belize, Bermuda, Brazil, British Virgin Islands, Canada, Cayman Islands, Chile, Côte d'Ivoire, Curacao, Cyprus, Ecuador, Grenada, Isle of Man, Israel, Jersey, Kuwait, Liberia, Mauritius, Mexico, New Caledonia, New Zealand, Oman, Panama, Peru, Qatar, Russia, Samoa, Saudi Arabia, Seychelles, South Africa, St. Kitts & Nevis, Turkey, United Arab Emirates and Venezuela.

^ Comprising investors from Japan, Taiwan, Rest of Asia and Rest of the World.

Note: Numbers may not add up to 100% due to rounding.

Distribution of overseas investor trading value in cash market by origin (2010/11 – 2012/13)

	2010/11	2011/12	2012/13
US	28%	32%	28%
UK & Europe	41%	37%	39%
UK	*27%*	*25%*	*26%*
Europe (excluding UK)	*14%*	*12%*	*14%*
Asia	22%	21%	24%
Japan	*2%*	*2%*	*1%*
Mainland China	*10%*	*8%*	*11%*
Taiwan	*1%*	*1%*	*1%*
Singapore	*7%*	*7%*	*6%*
Rest of Asia	*3%*	*3%*	*4%*
Australia	5%	6%	5%
Others	3%	3%	3%
Total	**100%**	**100%**	**100%**

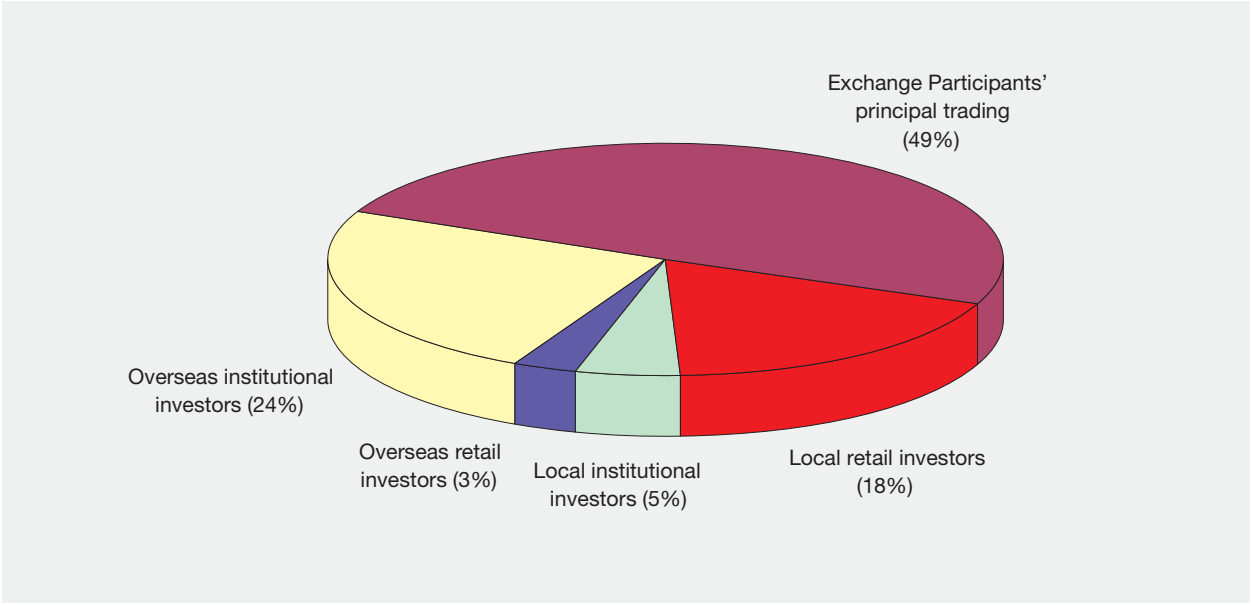
Note: Numbers may not add up to sub-totals or to 100% due to rounding.

Derivatives market – distribution of market trading by investor type

The Derivatives Market Transaction Survey 2012/13 covered trading in the HKEx derivatives (futures and options) market, which comprises predominantly financial futures and options, during the 12-month period from July 2012 to June 2013. The survey findings revealed that Exchange Participants' principal trading contributed 49% of the total contract volume; overseas investors (mainly institutions) contributed 27%, surpassing the contribution from local investors (23%) for the second consecutive year. For stock options, which accounted for 48% of the total market volume during the period, the majority of turnover was Exchange Participants' principal trading (70% of the product's total volume). Exchange Participants' principal trading was also the major contributor to trading in Hang Seng Index (HSI) Options and H-shares Index (HHI) Options (50% and 51% respectively). For HSI Futures and HHI Futures, overseas institutional investors were the major contributors (49% and 58% respectively). For Mini-HSI futures and Mini-HHI futures, local retail investors were the major contributors (44% and 49% respectively). For Mini-HSI options (covered in the survey for the first time), Exchange Participants' principal trading was dominant (55%).

In 2012/13, retail online trading (i.e. trading where orders are entered directly by retail investors and channelled to the brokers via electronic media such as the Internet) contributed 70% of total retail investor trading or 15% of total market turnover volume.

Distribution of derivatives market trading volume by investor type (Jul 2012 – Jun 2013)

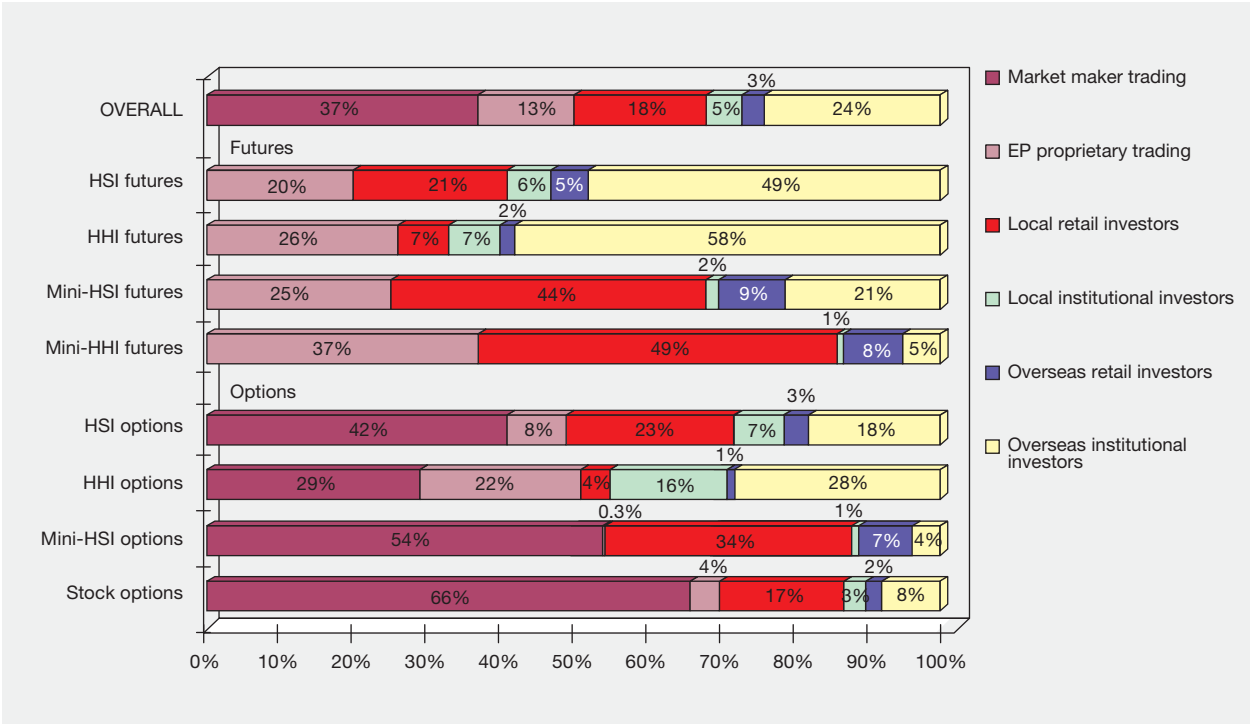


Distribution of derivatives market trading volume by investor type (2010/11 – 2012/13)

	2010/11	2011/12	2012/13
Exchange Participants' principal trading*	51%	50%	49%
Local investor trading	26%	24%	23%
Retail	*20%*	*17%*	*18%*
Institutional	*6%*	*7%*	*5%*
Overseas investor trading	23%	26%	27%
Retail	*3%*	*3%*	*3%*
Institutional	*20%*	*22%*	*24%*
Total	**100%**	**100%**	**100%**

* Exchange Participants' principal trading comprises market maker trading and proprietary trading.
Note: Numbers may not add up to sub-totals or 100% due to rounding.

Distribution of derivatives trading volume by investor type for overall market and each product (Jul 2012 – Jun 2013)



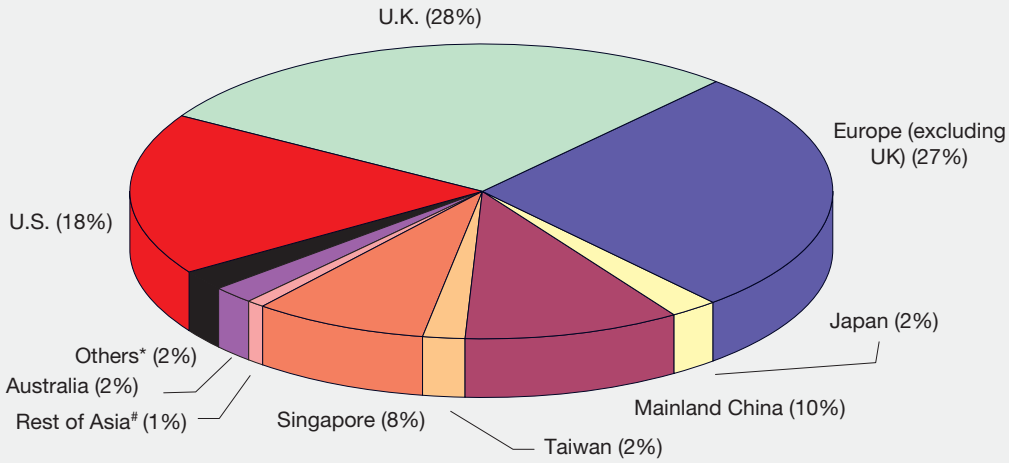
Notes:

(1) Market maker trading and EP proprietary trading are components of EP principal trading.

(2) Numbers may not add up to 100% due to rounding.

Among overseas investors, UK investors and other European investors were the two largest contributor groups to overseas investor trading (28% and 27% respectively). They were followed by US investors (18%). The aggregate contribution from investors in Asia outside Hong Kong was 23% of total overseas investor trading, mainly from Mainland China and Singapore (10% and 8% respectively).

Distribution of overseas investor trading volume in derivatives by origin (Jul 2012 – Jun 2013)



Reported origins in "Rest of Asia" are India, Indonesia, Macau, Malaysia, Philippines, South Korea and Thailand.

* Reported origins in "Others" are Africa, Bermuda, British Virgin Islands, Canada, Cayman Islands, Cook Islands, Cyprus, Liberia, Mauritius, Middle East, New Zealand and Samoa.

Note: Numbers may not add up to 100% due to rounding.

Distribution of overseas investor trading volume in derivatives by origin (2010/11 – 2012/13)

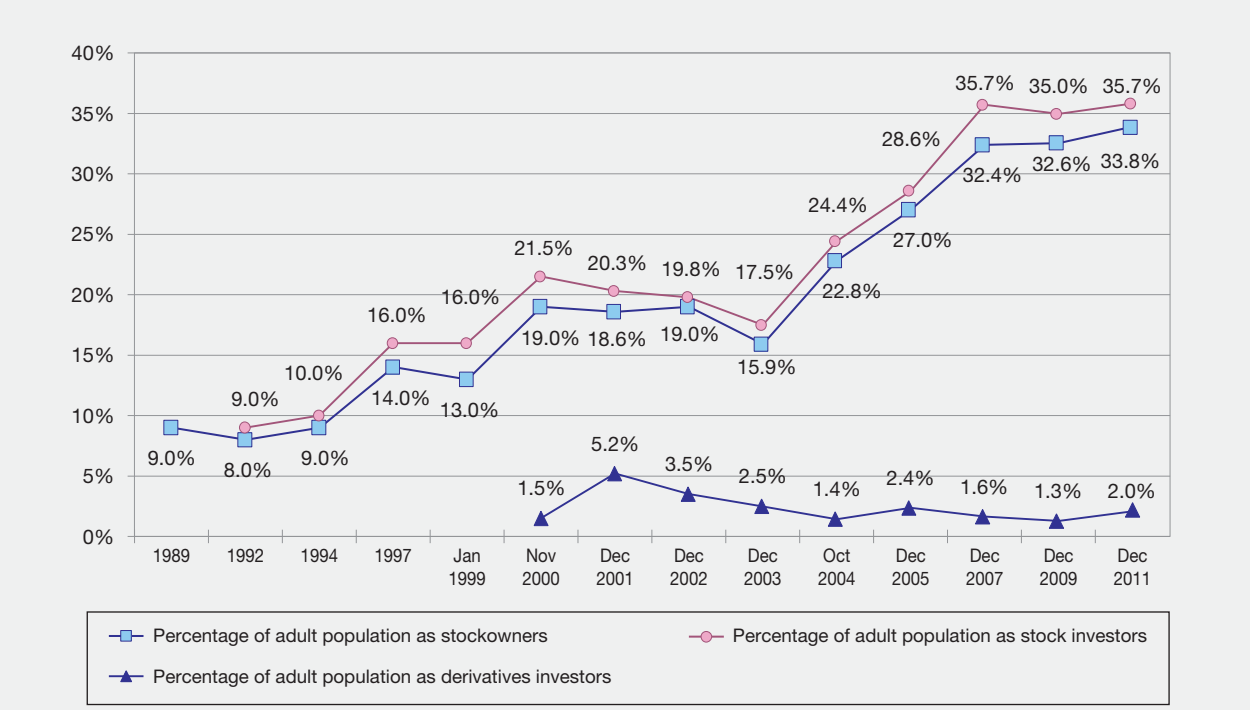
	2010/11	2011/12	2012/13
US	23%	16%	18%
UK & Europe	41%	52%	55%
UK	*25%*	*28%*	*28%*
Europe (excluding UK)	*16%*	*24%*	*27%*
Asia	33%	28%	23%
Japan	*1%*	*2%*	*2%*
Mainland China	*11%*	*12%*	*10%*
Taiwan	*1%*	*1%*	*2%*
Singapore	*18%*	*11%*	*8%*
Rest of Asia	*1%*	*1%*	*1%*
Australia	1%	3%	2%
Others	3%	1%	2%
Total	**100%**	**100%**	**100%**

Note: Numbers may not add up to sub-totals or 100% due to rounding.

Retail investors

According to the findings of the HKEx Retail Investor Survey 2011[1], 33.8% of the adult population (about 2.0 million individuals) were stockowners[2]; 35.7% (about 2.1 million individuals) were stock investors[3]; 3.5% (about 208,000 individuals) were warrant or Callable Bull/Bear Contract (CBBC) investors[4]; 2.0% (about 122,000 individuals) were derivatives investors[5,6]; and 35.8% (about 2.2 million individuals) were retail investors who were either stock investor or derivatives investor or both.

Retail participation rates in stocks and derivatives on HKEx



Projected number of retail investors by type

('000)

	Oct 2004	Dec 2005	Dec 2007	Dec 2009	Dec 2011
Retail investors	1,360	1,631	2,024	2,069	2,154
Stockowners	1,264	1,531	1,834	1,917	2,035
Stock investors	1,354	1,618	2,022	2,059	2,147
Warrant/CBBC investors	NA	NA	NA	249	208
Derivatives investors	80	135	93	75	122

NA: Not available
Note: Projected numbers were based on survey findings and are subject to errors.

1 Fieldwork of the survey was carried out during November and December 2011. Annotation of "Dec 2011" is made in tables and charts for easy reference only.

2 Stockowner: An individual who was, at the time of the interview, holding stocks — including shares, warrants, Callable Bull/Bear Contracts (CBBCs), Exchange Traded Funds (ETFs), Real Estate Investment Trusts (REITs) and other cash market products — listed or traded on HKEx.

3 Stock investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded stocks — including shares, warrants, CBBCs, ETFs, REITs and other cash market products — listed or traded on HKEx.

4 Warrant/CBBC investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded warrants or CBBCs on HKEx.

5 Derivatives investor: An individual who was, at the time of the interview, holding or, in the past 12 months, had traded derivatives products (futures and options) on HKEx.

6 The achieved sample of derivatives investors in the survey was rather small. The percentages on derivatives investors are subject to relatively large error.

The typical Hong Kong retail stock investor is a 47 year-old person with matriculation or above education and a monthly personal income of about HK$16,250. The typical Hong Kong retail derivatives investor is a 40 year-old person with tertiary or above education and a monthly personal income of about HK$22,500.

The median number of stock transactions by stock investors during the 12 months preceding the interview was 6, compared to 10 in 2009 (including those with zero stock transactions in the period). The average value per stock transaction by stock investors had a median of about HK$40,000, up from HK$35,000 in 2009. The median implied total stock transaction value per stock investor[7] during the 12-month period was about HK$200,000, down from HK$300,000 in 2009.

The 2011 Survey asked for the first time about the trading activity of stock investors in warrants/CBBCs. For warrant/CBBC investors, the median number of warrant/CBBC transactions in the 12-month period was 10 (including those with zero warrant/CBBC transactions in the period), and the average transaction value had a median of HK$20,000. The median implied total warrant/CBBC transaction value per warrant/CBBC investor[8] during the 12-month period was about HK$300,000.

The median number of derivatives transactions by derivatives investors during the 12-month period was 12, up from 10 in 2009. The average number of contracts per derivatives transaction by derivatives investors had a median of 2, the same level as in 2009. The median implied total contract volume per derivatives investor[9] during the 12-month period was 40, up from 25 in 2009.

The survey also found that 69% of stock traders[10] and 67% of derivatives traders[11] were online traders, i.e. investors who had traded the respective products on HKEx during the 12-month period, either always or sometimes, through electronic media such as the Internet.

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7 The implied total stock transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in stocks during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per stock investor, stock investors who did not trade stocks during the 12-month period are included.

8 The implied total warrant/CBBC transaction value for the 12-month period for a respondent was deduced from the respondent's average value per transaction and his/her frequency of trading in the products during the 12-month period. The figure counts both buying and selling transactions and is subject to estimation error. In calculating the average figure per warrant/CBBC investor, warrant/CBBC investors who did not trade in the products during the 12-month period are included.

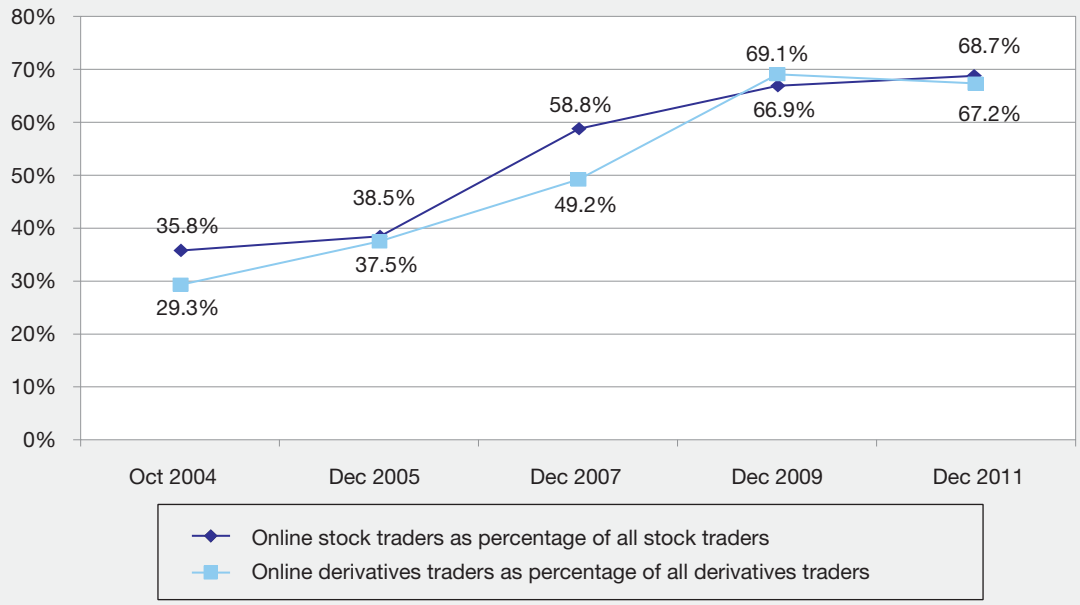
9 The implied total contract volume during the 12-month period for a respondent was deduced from the respondent's number of derivatives transactions and his/her average number of contracts per derivatives transaction. The figure counts both buying and selling transactions and is subject to estimation error.

10 Stock trader: An individual who, in the 12 months preceding the interview, had traded stocks — including shares, warrants, CBBCs, ETFs, REITs and other cash market products — listed or traded on HKEx.

11 Reflecting the nature of derivatives trading, all derivatives investors had traded derivatives in the 12 months preceding the interview, i.e. all derivatives investors are derivatives traders.

Online stock traders tended to trade stocks more frequently than non-online stock traders — they had a median of 10 stock transactions in the 12-month period versus 6 for the non-online stock traders. Similarly, online derivatives traders tended to trade derivatives more frequently than non-online derivatives traders — a median of 20 derivatives transactions for online derivatives traders versus 10 transactions for non-online derivatives traders.

Proportions of stock/derivatives traders as online traders



Among the stock traders, 22% traded mainly through broker firms and 77% mainly through banks (about 0.4% used the service of broker firms and banks to a similar extent). Among the derivatives traders, 64% traded mainly through broker firms and 36% mainly through banks.

HONG KONG SECURITIES AND DERIVATIVES MARKETS HISTORICAL EVENTS

1891		"The Association of Stockbrokers in Hong Kong", the first formal stock exchange in Hong Kong was formed.
1914		The name of the Association of Stockbrokers in Hong Kong was changed to "The Hong Kong Stock Exchange".
1921		A second stock exchange, "The Hong Kong Stockbrokers' Association", was incorporated.
1947		The Hong Kong Stock Exchange and the Hong Kong Stockbrokers' Association were merged to form a joint exchange under the name of "The Hong Kong Stock Exchange Ltd".
1969	Dec	The Far East Exchange Ltd commenced business on 17 December.
1970	Feb	The Companies Ordinance was amended to empower the Governor in Council to recognise stock exchanges for certain limited purposes connected with the offering in writing of shares to any member of the public.
1971	Mar	The Kam Ngan Stock Exchange Ltd commenced business on 15 March.
1972	Jan	The Kowloon Stock Exchange Ltd commenced business on 5 January.
1973	Jan	The four stock exchanges, the Far East Exchange, the Hong Kong Stock Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange, agreed to standardise their trading sessions with effect from 8 January.
		A Securities Advisory Council was established and a Commissioner for Securities was appointed, but not given statutory power, to help prepare the way for the statutory provisions to be imposed later.
	Feb	The Stock Exchange Control Ordinance 1973 was enacted, which imposed heavy penalties on anyone operating a stock market which was not recognised under the Ordinance. The establishment of stock exchange was effectively controlled.
		The Government announced that it was mandatory for all companies seeking listing to have their prospectuses registered with the Registrar of Companies.
1974	Feb	The Securities Ordinance and The Protection of Investors Ordinance were enacted and were brought into operation with effect from 1 March.
	Apr	The Federation of Share Registrars was established.
	Jul	The Hong Kong Federation of Stock Exchange was established.
	Aug	Under the Securities Ordinance 1974, a Stock Exchange Compensation Fund was established and contributions to the fund were paid by the four stock exchanges in proportion to their membership.
	Oct	Part VI of the Ordinance and the Securities (Dealers, Investment Advisors and Representatives) Regulations 1974 was brought into force, requiring all dealers (whether or not they are members of the stock exchanges), investment advisors and their representatives to register with the Commissioner for Securities.

1975	Aug	The Securities Commission approved the Code on Takeovers and Mergers.
	Dec	The Securities Commission approved a statutory rule prohibiting directors of a listed company incorporated in Hong Kong from issuing shares-other than on a pro-rata basis to existing shareholders-without the prior approval of shareholders.
1976		The Commodities Trading Ordinance was passed by the Legislative Council.
	Aug	Under the Securities (Stock Exchange Listing) Rule 1976, a listed company should appoint a member of the Federation of Share Registrars to be its registrar.
	Dec	On 17 December, the Hong Kong Commodity Exchange Limited (HKCE) was incorporated.
1977	May	Considerable progress was made towards the formation of a unified stock exchange in Hong Kong in place of the existing four. A working party on unification was formed.
		On 9 May, The HKCE commenced the trading of its first commodity product ¾ cotton futures.
	Nov	On 15 November, The HKCE commenced trading of sugar futures.
	Dec	An Insider Dealing Tribunal was set up.
1978	Mar	Stamp duty on securities dealing was reduced from 0.8% to 0.6% (per round trip) with effect from 6 March.
1979	Aug	A Practice Note was published by the Securities Commission to clarify the rules concerning the suspension of dealings in the shares of offeree companies during takeover bids.
	Nov	The HKCE commenced trading of soybean futures.
1980	Jul	The Stock Exchange of Hong Kong Ltd (SEHK) was incorporated.
	Aug	The Stock Exchanges Unification Ordinance 1980 was passed by the Legislative Council.
		On 19 August, The HKCE commenced trading of gold futures.
1981	Jan	The Securities Commission adopted a trigger point of 35% as the maximum percentage shareholding in a listed company that any group or individual could hold without making a general offer for all the remaining shareholders.
	Jul	Under the Stamp Duty Ordinance 1981, instruments of transfer of registered warrants were chargeable with fixed duty and contract notes were required to be stamped with effect from 1 July.
	Oct	The first committee members of the SEHK were elected.
1982		A Financial Futures Working Party was formed to study the introduction of financial futures.
1983	Mar	The Code on Takeovers and Mergers was revised to the effect that bids involving less than 35% of a company's voting shares were not subject to control, but other partial bids were subject to the consent of the Takeovers Committee. Market dealings in an offeree company's shares before or during a bid were forbidden.

1984 May The Securities Commission released a set of guidelines for the placement of shares as a means of flotation, which had been banned for some years.

1985 May On 7 May, the HKCE was renamed the Hong Kong Futures Exchange Limited (HKFE).

Aug The Securities (Amendment) Ordinance 1985 was enacted. Its purpose was to strengthen the power of the Commissioner for Securities to monitor the financial viability of dealers.

The Legislative Council passed the Stock Exchanges Unification (Amendment) Ordinance 1985. Under the Ordinance, locally incorporated firms, including subsidiaries of banks and deposit-taking companies, became eligible to apply to the Stock Exchange of Hong Kong for corporate membership. And the disqualification of directors or employees of banks and deposit-taking companies and of lawyers or professional accountants from becoming members of the SEHK was removed.

1986 Feb The Securities (Stock Exchange Listing) Rules 1986 took effect from 1 February.

The Securities (Accounts and Audit) (Amendment) Regulations were published in the Government Gazette. Dealers and dealing partnerships were required to fulfil certain requirements.

The Securities (Recognition of Stock Market) Notice and the Securities (Registration of Dealers) (Approved Examinations) Notice were published in the Government Gazette.

The Legislative Council approved the amended Securities (Stock Exchange Listing) Rules 1986.

Mar The Hong Kong Stock Exchange, the Far East Exchange, the Kam Ngan Stock Exchange and the Kowloon Stock Exchange ceased trading after the close of business on 27 March.

Apr The SEHK commenced trading on 2 April.

May On 6 May, HKFE commenced the trading of its first financial product ¾ Hang Seng Index (HSI) Futures.

Sep The SEHK became a full member of the Federation Internationale des Bourses de Valeurs on 22 September.

Oct The grand opening of the SEHK took place on 6 October.

1987 Apr On 8 April, the SEHK Chairman and the Commissioner for Securities made a joint statement that the two had agreed that no further new issues of B shares (shares of which the proposed voting power does not bear a reasonable relationship to the equity interest of such shares when fully paid) would be allowed to list on the SEHK.

Oct The trading restrictions in connection with bank-related brokers were relaxed with effect from 2 October.

The General Committee of the SEHK unanimously resolved that trading on the SEHK would be suspended for four days from 20 October to 23 October, both days inclusive. Trading in the Hang Seng Index Futures was also suspended for the same period.

The Takeovers Committee, announced on 26 October that the Committee had decided that for a period of one month, the trigger point, or creeper provision, as the case may be, under the Hong Kong Code on Takeovers and Mergers, would be waived.

The Hong Kong Government, together with several leading financial institutions, raised a rescue fund of HK$4 billion of which HK$1.8 billion was utilised to enable the Hong Kong Futures Guarantee Corporation Ltd to finance its obligations to members of the HKFE. With effect from 29 October, a levy of HK$30 per HKFE transaction and a special levy of 0.03% on transaction value of securities dealings were introduced to repay the interest and principal of the rescue package.

Nov The limit of compensation payable out of the Exchange Fidelity Fund or a member's guarantee for the default of a defaulting member was increased from HK$500,000 to HK$2,000,000, subject to the availability of funds, with effect from the commencement of trading on 9 November.

1988 Jan The new Management Sub-committee of the SEHK was formed on 2 January to take up the duties of the General Committee.

Jun The Government-appointed Securities Review Committee published the Report on the Operation and Regulation of Hong Kong Securities Industry on 2 June.

Jul An amendment to the Articles of Association of the SEHK, which allowed the SEHK to form a Council of 22 members instead of a committee of 21 members to govern its operations, was endorsed by its members on 20 July.

Sep The SEHK and the Securities Commission released a joint announcement on 14 September, in which they agreed that as a general rule, the SEHK would not list any new B shares and would not permit listed companies to issue any new B shares. This general rule would not apply only in exceptional circumstances. The queuing system for new applications for listing was also reviewed and amended.

Oct The first 22-member Council of the SEHK was formed on 18 October.

Nov The first Nominating Committee of the SEHK was formed on 7 November.

1989 The clearing functions for HKFE were transferred from Hong Kong Futures Guarantee Corporation Limited and International Commodities Clearing House (Hong Kong) Limited to the newly established futures clearing company, the HKFE Clearing Corporation Limited (HKCC).

Feb The All Ordinaries Index was launched by the SEHK on 1 February.

Mar The SEHK announced the formation of the HKSCC, a company limited by guarantee.

Apr The Legislative Council passed the Securities and Futures Commission Ordinance 1989.

May The new Securities and Futures Commission (SFC) was formally established on 1 May.

Sep The SFC announced on 13 September that it had agreed to authorise warrant funds which had been able to apply for authorisation with effect from 1 November.

Oct The Council of the SEHK endorsed a rolling T+2 settlement period for the proposed Central Clearing and Settlement System.

Dec The Securities (Stock Exchange Listing) Rules 1989 were enacted on 1 December.

1990 HKFE introduced its first interest rate futures ¾ futures based on the three-month Hong Kong Interbank Offered Rate (HIBOR).

Feb The minimum brokerage for each securities transaction traded on the SEHK was raised from HK$25 to HK$50 with effect from 8 February. The minimum commission rate of 0.25% for stock broking was maintained.

Apr On 2 April, the Council of the SEHK resolved that Council members on the Board of the HKSCC should be broker members and that the Chairman and the Deputy Chairman of the Board of the HKSCC must be a broker or banker member of the Board. If the Chairman was a broker member, the Deputy Chairman must be a banker member and the two would rotate every year.

Jul The Securities (Insider Trading) Draft Bill, was passed by the Legislative Council on 25 July.

1991 HKFE introduced three futures based on sub-indices of the HSI.

Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.6% to 0.5% (per round trip).

On 15 April, the Hong Kong Code on Share Repurchases, which allowed firms listed in Hong Kong to buy back their own shares, was approved by the SFC and became effective immediately.

Sep The Securities (Disclosure of Interests) Ordinance came into force on 1 September.

Nov The Council of the SEHK and the SFC on 1 November agreed on a series of measures designed to permit all the substantive elements of the revised voluntary constitutional package, which was unanimously supported at the Extraordinary General Meeting of the SEHK on 30 October, to be brought into effect in time to govern the next Council election. The new Council under the revised voluntary constitutional package would consist of 31 members in total-18 broker members, 11 lay members and the chief executives of the SEHK and the HKSCC.

On 25 November, the SFC and the SEHK announced the signing of a Memorandum of Understanding providing for the devolution of day-to-day administration of all listing matters to the SEHK effective 31 December.

1992 Feb On 21 February, the SFC approved the revised Hong Kong Code on Takeovers and Mergers and its integration with the Hong Kong Code on Share Repurchases. The new integrated Code came into effect on 1 April.

Apr With effect from 1 April, stamp duty on share transactions was reduced from 0.5% to 0.4% (per round trip) and the stamp duty was extended to the trading of derivative warrants.

May On 11 May, changes made to the Listing Rules of the SEHK and the listing agreement for a secondary listing, particularly where the majority of trading was likely to be on the SEHK, took effect.

Jun The settlement period for members of the SEHK was changed from T+1 to T+2 on 8 June.

On 24 June, the Central Clearing and Settlement System (CCASS) commenced operations on the trade-for-trade basis.

Oct The compulsory Broker's Fidelity Insurance Scheme came into effect on 1 October.

The Securities (Clearing Houses) Ordinance came into effect on 7 October. The maximum amount payable under the Unified Exchange Compensation Fund to all persons (except stockbrokers) who suffer loss through any default of a stockbroker was increased to HK$8 million in respect of each stockbroker concerned in or connected with the default. The previous limit was HK$2 million.

On 7 October, CCASS commenced the second phase of its operation, starting to admit stocks for clearing and settlement on a continuous net settlement (CNS) basis.

On 28 October, a memorandum of understanding was signed between the Hong Kong and the British securities regulatory authorities.

1993 Mar — On 5 March, HKFE introduced its first options product ¾ Hang Seng Index Options.

Apr — With effect from 1 April, stamp duty on share transactions was reduced from 0.4% to 0.3% (per round trip).

Jun — On 19 June, the China Securities Regulatory Commission, the Shanghai Securities Exchange, the Shenzhen Stock Exchange, the SFC and the SEHK signed a Memorandum of Regulatory Co-operation in Beijing.

Jul — Dealings in the H shares in Tsingtao Brewery Co Ltd commenced on 15 July. This was the first China-incorporated enterprise to obtain a primary listing in Hong Kong.

Aug — On 16 August, the Special Levy payable on every transaction recorded on the SEHK and the HKFE was suspended.

Nov — On 1 November, the SEHK launched the Automatic Order Matching and Execution System (AMS).

1994 Jan — On 3 January, the SEHK launched a pilot scheme for regulated short-selling of shares.

Mar — Jardine Matheson Holdings and Jardine Strategic Holdings announced their decision to delist from Hong Kong. Other Jardine group companies made similar announcements in September.

Jul — With effect from 8 July, the Stamp Duty (Amendment) (No.2) Bill 1994 extended relief from stamp duty for stock borrowing and lending activities and raised the maximum borrowing period from 14 days to 12 months.

1995 Mar — On 31 March, HKFE introduced its first single-stock product —— stock futures on two stocks.

Sep — On 8 September, the SEHK launched its Traded Stock Options Market. The first stock option was on HSBC Holdings plc.

Nov — On 3 November, HKFE introduced its first currency futures —— Rolling Forex, and launched an electronic screen-based trading system, the Automated Trading System (ATS) for trading the new product.

1996 Jan — On 25 January, trading through the AMS off-floor terminals started. These terminals are installed in SEHK members' offices, allowing them to trade from their offices, in addition to trading through terminals in the Trading Hall.

1997 Sep HKFE introduced Hang Seng China-Affiliated Corporations Index (Red Chips index) futures and options on 12 September, and relaunched the Three-Month HIBOR Futures on 26 September.

Nov On 28 November, the Hong Kong Securities Institute was incorporated.

1998 Apr With effect from 1 April, the transaction levy was reduced from 0.013% to 0.011% and the proportion of sharing between the SEHK and the SFC was changed from 7:6 to 7:4. Stamp duty on share transactions was also reduced from 0.3% to 0.25% (per round trip).

May On 8 May, Hongkong Clearing launched its Investor Account Service which allows investors to open investor accounts directly in CCASS.

1999 May On 17 May, Hongkong Clearing launched an Electronic Initial Public Offering (EIPO) service for CCASS participants.

Sep HKFE suspended the trading of gold futures, the last exchange-traded commodity product.

Nov On 12 November, the Tracker Fund of Hong Kong (TraHK) was listed on the SEHK. TraHK is a collective investment fund issued by the Hong Kong SAR Government, which follows closely the movement of the benchmark Hang Seng Index.

On 15 November, Growth Enterprise Market (GEM) was formally launched. The first listings were on 25 November 1999.

2000 Mar On 6 March, the SEHK, the HKFE and the HKSCC became wholly-owned subsidiaries of Hong Kong Exchanges and Clearing Limited (HKEx). Mr Charles Lee was the first Chairman of HKEx. Mr Kwong Ki-chi was appointed the Chief Executive of HKEx.

On 20 March, the Growth Enterprise Index (GEI) was launched. It has a base value of 1000 on the base date of 17 March 2000.

Apr With effect from 7 April, stamp duty on stock transactions was reduced to 0.225% (per round trip).

May On 31 May, the SEHK launched the Pilot Programme for Trading US Securities with initially seven Nasdaq stocks admitted to trading.

Jun On 5 June, HSI Futures and Options migrated from open outcry trading to the HKFE's electronic trading system-Hong Kong Futures Automated Trading System (HKATS). Floor trading in HKFE was totally abolished.

On 27 June, shares of the HKEx were listed by introduction on the SEHK with a stock code of "388".

Sep On 15 September, HKEx announced the introduction of an Internet Initial Public Offering (iIPO) service to provide individual investors with an additional electronic mechanism for submitting applications for IPOs.

Oct On 5 October, MTR Corporation Ltd (MTRC), the first government-owned organization to be privatized, was listed on the SEHK. Also, MTRC was the first listing issuer using HKEx's iIPO.

On 23 October, the first phase of the Third Generation Automatic Order Matching and Execution System (AMS/3) was launched.

2001 Feb On 23 February, HKEx introduced its Order Routing System (ORS) to AMS/3 and Online Trading Service to its Stock Exchange Participants.

Aug On 6 August, stock options trading was successfully migrated from the Traded Options System (TOPS) to the Hong Kong Futures Automated Trading System (HKATS). Clearing and settlement of stock options trades continues to be performed on TOPS.

Sep On 1 September, the stamp duty on securities transactions was reduced from 0.225% to 0.2% per transaction (round-trip).

On 1 September, a Trading Fee of 0.005% per side of the consideration of a transaction was introduced by the Stock Exchange. The transaction levy payable to the SFC increased from 0.005% to 0.007% per side of the consideration of a transaction.

2002 Mar On 8 March, the SFC levy on trading of options on stock futures was reduced from $1.0 to $0.2 per contract per side. The compensation fund levy on trading of options on stock futures was reduced from $0.5 to $0.1 per contract per side.

On 25 March, HKEx introduced a pre-opening session and an index basket order trading mechanism in the securities market. The 30-minute pre-opening session starts at 9:30 am. The index basket order trading mechanism is only applicable in the continuous trading session.

May On 16 May, HKEx introduced the first phase of CCASS/3, the new generation of the Central Clearing and Settlement System.

Aug On 5 August, the first six Equity Linked Instruments (ELIs) were listed on SEHK.

Sep On 16 September, HKMA announced the termination of the Tap Facility of the Tracker Fund of Hong Kong (TraHK) on exhaustion of the Tap Issue Limit in the fourth quarter of 2002, thus concluding the Government stock market operation which was initiated in 1998.

Dec On 9 December, HKEx introduced the second phase of CCASS/3.

2003 Apr On 1 April, the Securities and Futures Ordinance (SFO) became effective together with a number of codes, guidelines and forms for its implementation.

Effective from 1 April, the minimum commission rate rule governing Stock Exchange Participants (SEPs) was abolished. Commissions became freely negotiable between SEPs and their clients.

May Effective from 1 May, Mr Paul Chow was appointed the Chief Executive of HKEx.

On 26 May, HKEx introduced the final phase of CCASS/3 by launching the Participant Gateway. The Participant Gateway serves as an additional communication channel to the CCASS/3 Terminal and provides a direct electronic interface between CCASS/3 and CCASS Participants' back office systems.

Nov On 17 November, HKEx opened its first Mainland representative office in Beijing.

Dec On 8 December, HKEx introduced H-shares Index futures.

2004	Apr	On 6 April, HKEx introduced the Derivatives Clearing and Settlement System (DCASS) which provides a common platform for the clearing and settlement of all derivative products traded on HKEx markets.
	Jun	On 14 June, HKEx introduced H-shares Index options.
2005	May	On 23 May, HKEx introduced FTSE/Xinhua China 25 Index futures and options.
	Oct	On 28 October, the Securities and Futures (Investor Compensation – Levy) (Amendment) Rules 2005 became effective whereby a levy suspension and re-instatement mechanism was introduced. Accordingly, the investor compensation levies would be suspended when the net asset value (NAV) of the Investor Compensation Fund (ICF) exceeds $1.4 billion, and subsequently reinstated when the NAV of the ICF falls below $1 billion.
	Nov	On 2 November, legislation was passed to abolish the estate duty.
		On 25 November, the first Real Estate Investment Trust (REIT), The Link REIT, was listed on the SEHK.
2006	Jan	On 16 January, renovated Trading Hall opened for trading.
	Apr	On 28 April, Mr Ronald Arculli was appointed the Chairman of HKEx.
	Jun	On 5 June, HKSCC announced the expansion of the Investor Participant (IP) account service to Macau individual investor and companies.
		On 12 June, the first batch of seven Callable Bull/Bear Contracts (CBBCs) began trading on the SEHK.
	Jul	On 3 July, HKEx introduced Bank of China Ltd Futures and Options.
		On 13 July, legislation was passed to establish Financial Reporting Council which will have the authority to investigate complains concerning standards of financial reporting and audits of listed companies.
	Oct	On 27 October, Industrial and Commercial Bank of China (ICBC) was listed simultaneously in Hong Kong and Shanghai. It was the largest IPO by total funds raised (US$19.1.billion) in world stock market history and the funds raised by H shares was also an all-time high.
	Dec	On 1 December, the transaction levy in respect of any trading in securities, futures or options contracts was reduced by 20% from 0.005% of transaction value per side to 0.004%.
2007	Jan	On 1 January, the Securities & Futures Commission's (SFC's) Guidelines for Sponsors and Compliance Advisers became effective, marking the launch of a new sponsor regulatory regime.
	Mar	On 12 March, the first batch of 19 derivative warrants on the iShares FTSE/Xinhua A50 China tracker were listed on HKEx. This was the first time that derivative warrants were issued on Mainland A-share assets.
	Apr	On 10 April, the SFC entered into a Memorandum of Understanding (MOU) with the China Banking Regulatory Commission (CBRC) for cooperation and information sharing with respect to Hong Kong licensed intermediaries who provide services to Mainland commercial banks conducting overseas wealth management business on behalf of their Mainland clients. This served as regulatory support for the Mainland Qualified Domestic Institutional Investors (QDII) business (banking side) in Hong Kong.

Jun On 25 June, Phase 1 of the HKEx Electronic Disclosure Project commenced and lasted for 6 months. Under this Phase, it is optional for a Main Board-listed issuer to make a paid newspaper announcement as long as it publishes a notification in newspapers about the full announcement posted on its own website and the HKEx website. Those without their own websites must publish the full announcements in newspapers as well as on the HKEx website.

Dec On 11 December, the Hong Kong Monetary Authority (HKMA) launched the electronic trading platform (ETP) for Exchange Fund Bills and Notes (EFBNs). The ETP provides greater flexibility to facilitate trading in EFBNs.

On 25 December, Phase 2 of the HKEx Electronic Disclosure Project commenced. Under Phase 2, Main Board issuers publishing announcements on their own websites are no longer required to publish notifications in newspapers. After 6 months, all issuers must have their own websites on which they must publish their announcements.

2008 Feb On 4 February, the HKExnews.com.hk website was launched. HKExnews is a dedicated website which mainly disseminates issuer information generated directly by the listed issuers and/or their major shareholders and directors as well as regulatory information generated by HKEx about listed companies.

Mar On 31 March, HKEx launched the Mini-Hang Seng China Enterprises Index (Mini H-shares Index) futures.

Apr On 7 April, HKEx introduced 5-digit stock codes in its securities market.

May On 26 May, the closing auction session for HKEx's securities market commenced. The closing prices of securities are determined by an auction mechanism similar to that already in place in the pre-opening session. The trading hours of the securities market on a normal trading day as well as a half-day trading day are extended by 10 minutes.

Jul On 1 July, the revised Listing Rules of the Growth Enterprise Market (GEM) and the Main Board of HKEx relating to the development of GEM became effective. GEM was re-positioned as a second board and a stepping stone towards the Main Board. To encourage listing transfers from GEM to the Main Board, the transfer process was streamlined and the initial listing fees for such transfers were halved.

On 31 July, the first gold Exchange Traded Fund (ETF) commenced trading on the Main Board of HKEx.

Oct On 20 October, HKEx reintroduced trading in gold futures on its Hong Kong Futures Exchange (HKFE) which had formerly listed the product in the 1990s.

2009 Mar On 23 March, in light of the price volatility during the Closing Auction Session (CAS) since its introduction on 26 May 2008, the CAS in the securities market was suspended to maintain public confidence in the orderliness, fairness and transparency of the market.

Apr On 1 April, the Listing Rules amendments on the revised blackout period for share trading by listed company directors came into effect. The blackout period before the announcement of annual results was extended to 60 days instead of the originally proposed 4 months. The blackout period before interim results announcement was not extended.

Nov On 18 November, the first 4 A-share industry sector ETFs in Hong Kong were listed on the SEHK.

Dec On 18 December, HKEx published the consultation conclusions on accelerating rights issues and open offers. The minimum notice period for book closure for rights issues would be reduced to six business days and that for open offers would remain unchanged.

On 29 December, HKEx published its consultation conclusions on CER Futures in which HKEx considers that there is no imminent need for a CER futures market in Hong Kong.

2010 Apr On 26 April, Hong Kong Securities Clearing Co Ltd (HKSCC) admitted over-the-counter (OTC) structured products authorised by the SFC into the Central Clearing and Settlement System (CCASS) of HKEx's securities market, thereby broadening asset classes in its eligible securities.

Jun On 3 June, new Listing Rules for mineral companies came into effect to ensure the provision of material, relevant and reliable information about these companies to investors, and to align with global standards.

Jul On 19 July, the People's Bank of China (PBOC) and the HKMA signed a supplementary Memorandum of Co-operation on the expansion of the RMB trade settlement scheme. On the same day, the PBOC and Bank of China (Hong Kong) Ltd (the RMB clearing bank in Hong Kong) signed a revised settlement agreement which allows any corporation, including financial institutions, in Hong Kong to open RMB bank accounts. These agreements are major steps towards the launch of RMB investment products in Hong Kong.

Oct On 1 October, a 25% reduction in the SFC's levy payable in respect of trading in securities, futures and options contracts took effect.

On 22 October, Asian Development Bank's (ADB) RMB1.2 billion 10-year bond was listed as a selectively marketed security on the SEHK. It was the first RMB-denominated bond listed and traded in RMB on the SEHK.

On 29 October, AIA Group Ltd was listed on the SEHK. Its IPO, raising HK$159.1 billion, was the largest in Hong Kong's market history and the third largest in the world. On the same day, options on AIA were introduced for trading in the stock options market.

Dec On 8 December, the Hong Kong depositary receipts (HDRs) of Vale S.A., a Brazilian mining company, was listed on the SEHK as the first HDR listed in Hong Kong.

On 15 December, amendments to the Listing Rules came into effect for HKEx to adopt a framework for accepting Mainland accounting and auditing standards and Mainland audit firms for Mainland-incorporated companies listed in Hong Kong. The framework also provides reciprocally for the use of Hong Kong financial reporting standards and Hong Kong audit firms by Hong Kong companies listed in the Mainland.

2011 Mar On 7 March, HKEx extended the trading hours of the securities market by one hour, with the lunch break shortened to 1.5 hours. The trading hours of the derivatives market were also adjusted accordingly.

Apr On 29 April, Hui Xian Real Estate Investment Trust (REIT) was listed as the first Renminbi (RMB)-denominated fund product on the Stock Exchange of Hong Kong (SEHK) and the first RMB initial public offering (IPO) outside Mainland China.

May On 13 May, the Securities and Futures and Companies Legislation (Structured Products Amendment) Ordinance 2011 took effect to transfer the regulation of public offers of structured products in the form of shares or debentures from the prospectus regime of the Companies Ordinance to the regime under the Securities and Futures Ordinance (SFO).

Jun On 1 June, the new regulatory regime governing credit rating agencies (CRAs) operating in Hong Kong took effect, requiring CRAs and their rating analysts to obtain license from the SFC

On 20 June, Listing Rules amendments took effect to prevent shares from trading ex-entitlement before shareholder approval.

On 25 June, a new regulatory regime took effect to strengthen the disclosure of information to investors in the offering of SFC-authorised funds and investment-linked assurance schemes marketed in Hong Kong.

Jul On 25 July, HKEx implemented the T+2 Finality arrangement for securities market money settlement in the Central Clearing and Settlement System (CCASS) to reduce the overnight credit risks faced by Hong Kong Securities Clearing Co Ltd (HKSCC) and its participants.

On 29 July, iBonds (inflation-linked retail bonds) issued by the Hong Kong Government of HK$10 billion were listed on the SEHK.

Aug On 17 August, Vice Premier Li Ke-qiang announced a series of policies to promote economic cooperation between the Mainland and Hong Kong and the internationalisation of RMB. These included: (i) introducing Mainland exchange-traded funds (ETFs) on Hong Kong stocks; (ii) developing channels to facilitate the cycling of RMB between Hong Kong and the Mainland; and (iii) the RMB Qualified Foreign Institutional Investor (RQFII) scheme.

Oct On 24 October, HKEx's RMB Equity Trading Support Facility (TSF) system was operationally ready as a back-up facility to prepare for the future trading of RMB stocks on the SEHK.

On 31 October, amendments to Code of Conduct for Persons Licensed by or Registered with the SFC took effect to expand the scope of conflict of interest requirements governing analysts responsible for investment research.

Nov On 11 November, amendments to the Listing Rules took effect to facilitate the listing of debt issues that are for professional investors only.

On 29 November, HKT Trust was listed on the SEHK (in the form of stapled securities with the company, HKT Ltd) as the first listed business trust in Hong Kong.

Dec On 13 December, the RQFII scheme was formalised as the Mainland Government and the Hong Kong Government signed Supplement VIII to the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA8).

On 16 December, the Securities and Futures (Professional Investor) (Amendment) Rules 2011 took effect to allow the use of alternative appropriate methods for qualifying an investor as a high-net-worth professional investor.

2012 Feb On 20 February, HKEx launched the HSI Volatility Index (VHSI) futures.

Mar On 5 March, HKEx further extended the trading hours of the securities market by 30 minutes, with the lunch break shortened to one hour (12:00-13:00). The trading hours of the derivatives market were adjusted accordingly.

On 30 March, HKEx and four other founding members of the BRICS Exchange Alliance — BM&FBOVESPSA, MICEX-RTS, BSE Ltd and Johannesburg Stock Exchange (JSE) — began cross-listing of benchmark equity index derivatives on each other's trading platforms.

Jun On 18 June, after market consultation, the Securities and Futures (Short Position Reporting) Rules took effect. The SFC began to publish on a weekly basis the aggregated short positions of specified shares on 7 September.

Jul On 17 July, the ChinaAMC CSI 300 Index ETF was listed as the first RQFII A-share ETF on the SEHK, the first physical ETF outside Mainland China and the first A-share ETF outside Mainland China to trade in RMB.

Aug From 1 August, the HKMA allowed banks in Hong Kong to open RMB accounts for non-Hong Kong residents and offer them a full range of RMB services to further promote the development of offshore RMB businesses in Hong Kong.

On 6 August, HKEx extended the coverage of its RMB Equity Trading Support Facility (TSF) beyond RMB-traded shares to equity-related ETFs and real estate investment trusts (REITs) traded in RMB.

Sep On 17 September, HKEx launched RMB currency futures, the first exchange-traded deliverable RMB futures in the world.

Oct On 12 October, the Harvest MSCI China A Index ETF was listed as the first dual-counter (DC) security on the SEHK, tradable both in RMB and in Hong Kong dollar.

On 22 October, the E Fund HSCEI ETF and ChinaAMC HSI ETF were listed on the Shanghai Stock Exchange (SHSE) and Shenzhen Stock Exchange (SZSE) respectively as the first cross-border (Mainland China and Hong Kong) ETFs in the Mainland market.

On 29 October, the RMB-traded shares of Hopewell Highway Infrastructure Ltd were listed on the SEHK by way of placing — the first RMB-traded equity security outside Mainland China.

On 30 October, China Exchanges Services Company Ltd (CESC), a joint venture (JV) formed by HKEx, SHSE and SZSE for the development of financial products and related services, officially commenced operations. It was formed pursuant to a JV agreement signed in June in the year.

Nov On 5 November, HKEx implemented risk management reform measures for its clearing houses. The measures include: (1) introducing a standard margin system and a Dynamic Guarantee Fund (DGF) at Hong Kong Securities Clearing Co Ltd (HKSCC); and (2) revising certain assumptions in stress testing and collateral assumptions of the HKEx clearing houses.

On 30 November, the SEHK published consultation conclusions on changes to the Listing Rules, effective 1 January 2013, to complement the introduction of a statutory obligation for listed companies to disclose inside information.

Dec On 6 December, HKEx completed its acquisition of the entire issued share capital of LME Holdings Ltd (LMEH) after obtaining approval from LMEH shareholders in July and lately from the UK Financial Services Authority (FSA).

On 19 December, the H shares of China International Marine Containers (Group) Ltd were listed on the SEHK as the first case in which Mainland-listed B shares are converted into H shares to list in Hong Kong.

2013 Apr On 8 April, HKEx launched After-Hours Futures Trading (AHFT, from 5:00 pm to 11:00 pm) for the HSI and the H-shares Index futures.

Aug On 12 August, HKEx launched CES China 120 Index futures, the world's first exchange-listed derivatives product to provide exposure to leading China stocks from the Mainland and Hong Kong markets through a single exchange-traded futures contract.

Nov On 25 November, OTC Clearing Hong Kong Ltd (OTC Clear) completed its soft launch.

On 25 November, RMB10 billion of sovereign bonds issued by the Ministry of Finance (MOF) were listed on the SEHK, and for the first time the MOF has used the SEHK's facilities for a public offering of its bonds.

Dec On 27 December, HKEx announced that Hong Kong Securities Clearing Co Ltd (HKSCC) had admitted China Securities Depository and Clearing Corporation Ltd (ChinaClear) as a Clearing Agency Participant to the Central Clearing and Settlement System (CCASS).

List of listed companies on Main Board

End of 2013

Code	Company name	Incorporation	Listing date
01127	1010 Printing Group Ltd.	Bermuda	2011/07/25
01003	21 Holdings Ltd.	Bermuda	1994/02/21
01361	361 Degrees International Ltd.	Cayman Islands	2009/06/30
00800	A8 Digital Music Holdings Ltd.	Cayman Islands	2008/06/12
02018	AAC Technologies Holdings Inc.	Cayman Islands	2005/08/09
00030	ABC Communications (Holdings) Ltd.	Bermuda	1991/10/09
01096	Active Group Holdings Ltd.	Cayman Islands	2011/09/28
03344	Addchance Holdings Ltd.	Cayman Islands	2005/10/05
03355	Advanced Semiconductor Manufacturing Corporation Ltd. -H Shs	PRC	2006/04/07
00900	Aeon Credit Service (Asia) Co. Ltd.	Hong Kong	1995/09/14
00984	AEON Stores (Hong Kong) Co., Ltd.	Hong Kong	1994/02/04
03383	Agile Property Holdings Ltd.	Cayman Islands	2005/12/15
01288	Agricultural Bank of China Ltd. – H Shares	PRC	2010/07/16
01131	Agritrade Resources Ltd.	Bermuda	1997/03/19
01299	AIA Group Ltd.	Hong Kong	2010/10/29
00753	Air China Ltd. – H Shares	PRC	2004/12/15
00538	Ajisen (China) Holdings Ltd.	Cayman Islands	2007/03/30
00328	Alco Holdings Ltd.	Bermuda	1992/11/27
00684	Allan International Holdings Ltd.	Bermuda	1992/11/10
01312	Allied Cement Holdings Ltd.	Cayman Islands	2012/01/18
00373	Allied Group Ltd.	Hong Kong	1973/01/12
00593	Allied Overseas Ltd.	Bermuda	1993/07/27
00056	Allied Properties (HK) Ltd.	Hong Kong	n.a.
00833	Alltronics Holdings Ltd.	Cayman Islands	2005/07/15
02600	Aluminum Corporation of China Ltd. – H Shares	PRC	2001/12/12
00959	Amax International Holdings Ltd.	Bermuda	1997/10/03
00090	Amber Energy Ltd.	Cayman Islands	2009/07/10
00630	AMCO United Holding Ltd.	Bermuda	1996/11/28
00077	AMS Public Transport Holdings Ltd.	Cayman Islands	2004/04/15
02300	AMVIG Holdings Ltd.	Cayman Islands	2004/03/26
00347	Angang Steel Co. Ltd. – H Shares	PRC	1997/07/24
00914	Anhui Conch Cement Co. Ltd. – H Shares	PRC	1997/10/21
00995	Anhui Expressway Co. Ltd. – H Shares	PRC	1996/11/13
00839	Anhui Tianda Oil Pipe Co. Ltd. – H Shares	PRC	2007/12/24
02020	ANTA Sports Products Ltd.	Cayman Islands	2007/07/10
03337	Anton Oilfield Services Group	Cayman Islands	2007/12/14
00922	Anxian Yuan China Holdings Ltd.	Bermuda	1999/12/21
01149	Anxin-China Holdings Ltd.	Cayman Islands	2003/11/24
01104	APAC Resources Ltd.	Bermuda	1998/12/29
00519	Applied Development Holdings Ltd.	Bermuda	1986/03/24
01045	APT Satellite Holdings Ltd.	Bermuda	1996/12/18
00645	Ares Asia Ltd.	Bermuda	1993/12/22
00565	Art Textile Technology International Co. Ltd.	Cayman Islands	2003/09/10
00931	Artel Solutions Group Holdings Ltd.	Cayman Islands	2001/10/24
03313	ArtGo Mining Holdings Ltd.	Cayman Islands	2013/12/30
00789	Artini China Co. Ltd.	Bermuda	2008/05/16
01120	Arts Optical International Holdings Ltd.	Bermuda	1996/11/08
00841	Asia Cassava Resources Holdings Ltd.	Cayman Islands	2009/03/23
00743	Asia Cement (China) Holdings Corporation	Cayman Islands	2008/05/20
00835	Asia Coal Ltd.	Bermuda	1997/12/18
00104	Asia Commercial Holdings Ltd.	Bermuda	1987/07/29
00351	Asia Energy Logistics Group Ltd.	Hong Kong	1992/08/18
00662	Asia Financial Holdings Ltd.	Bermuda	1990/12/18
00214	Asia Orient Holdings Ltd.	Bermuda	1986/01/06
00899	Asia Resources Holdings Ltd.	Bermuda	1997/10/24
01135	Asia Satellite Telecommunications Holdings Ltd.	Bermuda	1996/06/19
00292	Asia Standard Hotel Group Ltd.	Bermuda	1988/09/22
00129	Asia Standard International Group Ltd.	Bermuda	1973/01/24
00679	Asia Tele-Net And Technology Corporation Ltd.	Bermuda	1991/01/31
00073	Asian Citrus Holdings Ltd.	Bermuda	2009/11/26
00522	ASM Pacific Technology Ltd.	Cayman Islands	1989/01/03
01803	ASR Holdings Ltd.	Cayman Islands	2012/01/16
00105	Associated International Hotels Ltd.	Hong Kong	1985/10/24
00477	AUPU Group Holding Co. Ltd.	Cayman Islands	2006/12/08
01717	Ausnutria Dairy Corporation Ltd.	Cayman Islands	2009/10/08
00720	Auto Italia Holdings Ltd.	Bermuda	1991/07/16
00771	Automated Systems Holdings Ltd.	Bermuda	1997/11/05
00595	AV Concept Holdings Ltd.	Cayman Islands	1996/04/24
00232	AVIC International Holding (HK) Ltd.	Bermuda	1991/12/12
00161	AVIC International Holdings Ltd. – H Shares	PRC	1997/09/29
02357	AviChina Industry & Technology Co. Ltd. – H Shares	PRC	2003/10/30
03988	Bank of China Ltd. – H Shares	PRC	2006/06/01
01963	Bank of Chongqing Co., Ltd. – H Shares	PRC	2013/11/06
03328	Bank of Communications Co., Ltd. – H Shares	PRC	2005/06/23
00023	Bank of East Asia, Ltd., The	Hong Kong	n.a.
01121	Baofeng Modern International Holdings Co. Ltd.	Cayman Islands	2011/01/28
01293	Baoxin Auto Group Ltd.	Cayman Islands	2011/12/14
02355	Baoye Group Co. Ltd. – H Shares	PRC	2003/06/30
00483	Bauhaus International (Holdings) Ltd.	Cayman Islands	2005/05/12
01338	BaWang International (Group) Holding Ltd.	Cayman Islands	2009/07/03
02009	BBMG Corporation – H Shares	PRC	2009/07/29
00706	Beautiful China Holdings Co. Ltd.	Bermuda	1998/07/22
00694	Beijing Capital International Airport Co. Ltd. – H Shares	PRC	2000/02/01
02868	Beijing Capital Land Ltd. – H Shares	PRC	2003/06/19
00154	Beijing Development (Hong Kong) Ltd.	Hong Kong	n.a.
00392	Beijing Enterprises Holdings Ltd.	Hong Kong	1997/05/29

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Code	Company name	Incorporation	Listing date
00371	Beijing Enterprises Water Group Ltd.	Bermuda	1993/04/19
00814	Beijing Jingkelong Co. Ltd. – H Shares	PRC	2008/02/26
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	PRC	2011/12/22
01000	Beijing Media Corporation Ltd. – H Shares	PRC	2004/12/22
00588	Beijing North Star Co. Ltd. – H Shares	PRC	1997/05/14
00925	Beijing Properties (Holdings) Ltd.	Bermuda	1998/01/15
00187	Beiren Printing Machinery Holdings Ltd. – H Shares	PRC	1993/08/06
00761	Bel Global Resources Holdings Ltd.	Bermuda	1997/03/07
01880	Belle International Holdings Ltd.	Cayman Islands	2007/05/23
02326	BEP International Holdings Ltd.	Bermuda	2003/03/03
00718	Bestway International Holdings Ltd.	Bermuda	1995/10/02
00926	Besunyen Holdings Co. Ltd.	Cayman Islands	2010/09/29
02299	Billion Industrial Holdings Ltd.	Cayman Islands	2011/05/18
01112	Biostime International Holdings Ltd.	Cayman Islands	2010/12/17
02309	Birmingham International Holdings Ltd.	Cayman Islands	2002/11/12
00963	Bloomage BioTechnology Corporation Ltd.	Cayman Islands	2008/10/03
02388	BOC Hong Kong (Holdings) Ltd.	Hong Kong	2002/07/25
01685	Boer Power Holdings Ltd.	Cayman Islands	2010/10/20
01190	Bolina Holding Co., Ltd.	Cayman Islands	2012/07/13
00653	Bonjour Holdings Ltd.	Cayman Islands	2003/07/16
01698	Boshiwa International Holding Ltd.	Cayman Islands	2010/09/29
03998	Bosideng International Holdings Ltd.	Cayman Islands	2007/10/11
00592	Bossini International Holdings Ltd.	Bermuda	1993/11/02
00434	Boyaa Interactive International Ltd.	Cayman Islands	2013/11/12
01428	Bright Smart Securities & Commodities Group Ltd.	Cayman Islands	2010/08/25
00933	Brightoil Petroleum (Holdings) Ltd.	Bermuda	1995/11/16
01114	Brilliance China Automotive Holdings Ltd.	Bermuda	1999/10/22
01008	Brilliant Circle Holdings International Ltd.	Cayman Islands	2009/03/30
00159	Brockman Mining Ltd.	Bermuda	1985/07/05
00240	Build King Holdings Ltd.	Bermuda	n.a.
00108	Buildmore International Ltd.	Hong Kong	1973/02/09
00024	Burwill Holdings Ltd.	Bermuda	1983/09/15
01211	BYD Co. Ltd. – H Shares	PRC	2002/07/31
00285	BYD Electronic (International) Co. Ltd.	Hong Kong	2007/12/20
01224	C C Land Holdings Ltd.	Bermuda	1999/04/30
01182	C Y Foundation Group Ltd.	Bermuda	1994/10/17
00043	C. P. Pokphand Co. Ltd.	Bermuda	1988/04/28
01028	C.banner International Holdings Ltd.	Bermuda	2011/09/23
00121	C.P. Lotus Corporation	Cayman Islands	1981/03/27
02112	CAA Resources Ltd.	Cayman Islands	2013/07/03
02030	Cabbeen Fashion Ltd.	Cayman Islands	2013/10/28
00341	Cafe de Coral Holdings Ltd.	Bermuda	1986/07/16
01075	Capinfo Co. Ltd. – H Shares	PRC	2001/12/21
00193	Capital Estate Ltd.	Hong Kong	1972/09/11
02324	Capital VC Ltd.	Cayman Islands	2003/10/27
06813	CapitaMalls Asia Ltd.	Singapore	2011/10/18
00469	Capxon International Electronic Co. Ltd.	Cayman Islands	2007/05/07
00996	Carnival Group International Holdings Ltd.	Bermuda	1994/02/17
00837	Carpenter Tan Holdings Ltd.	Cayman Islands	2009/12/29
00126	Carrianna Group Holdings Co. Ltd.	Bermuda	1991/11/01
00643	Carry Wealth Holdings Ltd.	Bermuda	2000/03/13
02223	Casablanca Group Ltd.	Cayman Islands	2012/11/23
00510	CASH Financial Services Group Ltd.	Bermuda	2008/03/03
00293	Cathay Pacific Airways Ltd.	Hong Kong	1986/05/15
00138	CCT Fortis Holdings Ltd.	Bermuda	1991/11/11
00261	CCT Land Holdings Ltd.	Bermuda	1991/12/30
00759	CEC International Holdings Ltd.	Bermuda	1999/11/15
02228	CECEP COSTIN New Materials Group Ltd.	Cayman Islands	2010/06/21
01049	Celestial Asia Securities Holdings Ltd.	Bermuda	1994/04/27
00542	Ceneric (Holdings) Ltd.	Cayman Islands	1989/11/23
00832	Central China Real Estate Ltd.	Cayman Islands	2008/06/06
01155	Centron Telecom International Holding Ltd.	Cayman Islands	2007/07/05
00355	Century City International Holdings Ltd.	Bermuda	1981/09/07
00162	Century Ginwa Retail Holdings Ltd.	Bermuda	2000/10/23
00079	Century Legend (Holdings) Ltd.	Bermuda	1993/07/08
00509	Century Sunshine Group Holdings Ltd.	Cayman Islands	2004/02/17
01164	CGN Mining Co. Ltd.	Cayman Islands	2003/08/04
00092	Champion Technology Holdings Ltd.	Bermuda	1992/08/19
00264	Chanco International Group Ltd.	Cayman Islands	2003/03/12
01292	Changan Minsheng APLL Logistics Co., Ltd. – H Shares	PRC	2006/02/23
01039	Changfeng Axle (China) Co. Ltd.	Cayman Islands	2010/09/24
00954	Changmao Biochemical Engineering Co. Ltd. – H Shares	PRC	2002/06/28
01006	Changshouhua Food Co. Ltd.	Cayman Islands	2009/12/18
00682	Chaoda Modern Agriculture (Holdings) Ltd.	Cayman Islands	2000/12/15
00951	Chaowei Power Holdings Ltd.	Cayman Islands	2010/07/07
00147	Chaoyue Group Ltd.	Bermuda	1988/06/06
00057	Chen Hsong Holdings Ltd.	Bermuda	1991/10/22
01202	Chengdu PUTIAN Telecommunications Cable Co. Ltd. – H Shares	PRC	1994/12/13
01196	Cheong Ming Investments Ltd.	Bermuda	1997/01/20
00131	Cheuk Nang (Holdings) Ltd.	Hong Kong	n.a.
00001	Cheung Kong (Holdings) Ltd.	Hong Kong	1972/11/01
01038	Cheung Kong Infrastructure Holdings Ltd.	Bermuda	1996/07/17
00009	Cheung Wo International Holdings Ltd.	Bermuda	2001/09/12
00025	Chevalier International Holdings Ltd.	Bermuda	1984/12/05
00449	Chigo Holding Ltd.	Cayman Islands	2009/07/13
00976	Chiho-Tiande Group Ltd.	Cayman Islands	2010/07/12

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Code	Company name	Incorporation	Listing date
00031	China Aerospace International Holdings Ltd.	Hong Kong	1981/08/25
00606	China Agri-Industries Holdings Ltd.	Hong Kong	2007/03/21
00149	China Agri-Products Exchange Ltd.	Bermuda	1973/03/12
01069	China Agroforestry Low-Carbon Holdings Ltd.	Cayman Islands	2009/10/08
01073	China Agrotech Holdings Ltd.	Cayman Islands	2002/01/14
00633	China All Access (Holdings) Ltd.	Cayman Islands	2009/09/16
06898	China Aluminum Cans Holdings Ltd.	Cayman Islands	2013/07/12
02068	China Aluminum International Engineering Corp. Ltd.-H shares	PRC	2012/07/06
00940	China Animal Healthcare Ltd.	Bermuda	2010/12/21
03883	China Aoyuan Property Group Ltd.	Cayman Islands	2007/10/09
00170	China Assets (Holdings) Ltd.	Hong Kong	1992/04/15
00569	China Automation Group Ltd.	Cayman Islands	2007/07/12
00370	China Best Group Holding Ltd.	Bermuda	1996/03/26
00274	China Billion Resources Ltd.	Cayman Islands	2000/12/18
03983	China BlueChemical Ltd. – H Shares	PRC	2006/09/29
00217	China Chengtong Development Group Ltd.	Hong Kong	n.a.
01359	China Cinda Asset Management Co., Ltd. – H Shares	PRC	2013/12/12
00998	China CITIC Bank Corporation Ltd. – H Shares	PRC	2007/04/27
01522	China City Railway Transportation Technology Hldgs Co. Ltd.	Cayman Islands	2012/05/16
01898	China Coal Energy Co. Ltd. – H Shares	PRC	2006/12/19
01800	China Communications Construction Co. Ltd. – H Shares	PRC	2006/12/15
00552	China Communications Services Corporation Ltd. – H Shares	PRC	2006/12/08
00586	China Conch Venture Holdings Ltd.	Cayman Islands	2013/12/19
00939	China Construction Bank Corporation – H Shares	PRC	2005/10/27
01919	China COSCO Holdings Co. Ltd. – H Shares	PRC	2005/06/30
01678	China Creative Home Group Ltd.	Cayman Islands	2013/12/20
01798	China Datang Corporation Renewable Power Co., Ltd. -H Shares	PRC	2010/12/17
00661	China Daye Non-Ferrous Metals Mining Ltd.	Bermuda	1990/11/21
01062	China Development Bank International Investment Ltd.	Cayman Islands	1994/05/10
03818	China Dongxiang (Group) Co., Ltd.	Cayman Islands	2007/10/10
00670	China Eastern Airlines Corporation Ltd. – H Shares	PRC	1997/02/05
00085	China Electronics Corporation Holdings Co. Ltd.	Bermuda	1997/07/25
01185	China Energine International (Holdings) Ltd.	Cayman Islands	1997/08/11
00228	China Energy Development Holdings Ltd.	Cayman Islands	2002/02/18
00986	China Environmental Energy Investment Ltd.	Bermuda	1994/02/02
00260	China Environmental Investment Holdings Ltd.	Hong Kong	n.a.
01130	China Environmental Resources Group Ltd.	Cayman Islands	1997/06/03
00646	China Environmental Technology Holdings Ltd.	Cayman Islands	2002/03/28
06818	China Everbright Bank Co. Ltd. – H Shares	PRC	2013/12/20
00257	China Everbright International Ltd.	Hong Kong	n.a.
00165	China Everbright Ltd.	Hong Kong	1973/02/26
03777	China Fiber Optic Network System Group Ltd.	Cayman Islands	2011/07/14
00721	China Financial International Investments Ltd.	Bermuda	2001/06/08
02312	China Financial Leasing Group Ltd.	Cayman Islands	2002/10/28
00605	China Financial Services Holdings Ltd.	Hong Kong	1993/04/07
00445	China Fire Safety Enterprise Group Ltd.	Cayman Islands	2002/09/30
02121	China First Chemical Holdings Ltd.	Cayman Islands	2011/12/09
03318	China Flavors and Fragrances Co. Ltd.	Cayman Islands	2005/12/09
00506	China Foods Ltd.	Bermuda	1988/10/07
00930	China Forestry Holdings Co., Ltd.	Cayman Islands	2009/12/03
00290	China Fortune Financial Group Ltd.	Cayman Islands	2001/05/03
00110	China Fortune Holdings Ltd.	Bermuda	2004/01/26
06881	China Galaxy Securities Co., Ltd. – H Shares	PRC	2013/05/22
00164	China Gamma Group Ltd.	Bermuda	1973/01/26
00384	China Gas Holdings Ltd.	Bermuda	1995/10/20
03300	China Glass Holdings Ltd.	Bermuda	2005/06/23
02099	China Gold International Resources Corp. Ltd.	Canada	2010/12/01
00512	China Grand Pharmaceutical and Healthcare Holdings Ltd.	Bermuda	1995/12/19
00904	China Green (Holdings) Ltd.	Bermuda	2004/01/13
00256	China Haidian Holdings Ltd.	Cayman Islands	1991/12/10
00359	China Haisheng Juice Holdings Co., Ltd.	Cayman Islands	2005/11/04
03788	China Hanking Holdings Ltd.	Cayman Islands	2011/09/30
03836	China Harmony Auto Holding Ltd.	Cayman Islands	2013/06/13
00673	China HealthCare Holdings Ltd.	Bermuda	1996/10/14
00591	China High Precision Automation Group Ltd.	Cayman Islands	2009/11/13
00658	China High Speed Transmission Equipment Group Co., Ltd.	Cayman Islands	2007/07/04
01378	China Hongqiao Group Ltd.	Cayman Islands	2011/03/24
00692	China Household Holdings Ltd.	Bermuda	2000/01/19
01290	China Huirong Financial Holdings Ltd.	Cayman Islands	2013/10/28
06863	China Huishan Dairy Holdings Co. Ltd.	Cayman Islands	2013/09/27
01886	China Huiyuan Juice Group Ltd.	Cayman Islands	2007/02/23
00600	China Infrastructure Investment Ltd.	Cayman Islands	1993/10/05
01217	China Innovation Investment Ltd.	Cayman Islands	2002/08/28
02039	China Int'l Marine Containers (Group) Co., Ltd. – H Shares	PRC	2012/12/19
01226	China Investment and Finance Group Ltd.	Cayman Islands	2002/09/19
00204	China Investment Development Ltd.	Bermuda	2000/12/12
00612	China Investment Fund Co. Ltd.	Cayman Islands	2002/01/02
00132	China Investments Holdings Ltd.	Bermuda	1973/05/18
01900	China ITS (Holdings) Co., Ltd.	Cayman Islands	2010/07/15
00419	China Jiuhao Health Industry Corporation Ltd.	Cayman Islands	1987/07/08
00834	China Kangda Food Co. Ltd.	Bermuda	2008/12/22
01380	China Kingstone Mining Holdings Ltd.	Cayman Islands	2011/03/18
02128	China Lesso Group Holdings Ltd.	Cayman Islands	2010/06/23
02628	China Life Insurance Co. Ltd. – H Shares	PRC	2003/12/18
01234	China Lilang Ltd.	Cayman Islands	2009/09/25
00916	China Longyuan Power Group Corporation Ltd. – H Shares	PRC	2009/12/10

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01371	China LotSynergy Holdings Ltd.	Bermuda	2001/10/26
02023	China Ludao Technology Co. Ltd.	Cayman Islands	2013/10/11
00067	China Lumena New Materials Corp.	Cayman Islands	2009/06/16
01829	China Machinery Engineering Corporation – H Shares	PRC	2012/12/21
00867	China Medical System Holdings Ltd.	Cayman Islands	2010/09/28
01268	China MeiDong Auto Holdings Ltd.	Cayman Islands	2013/12/05
02319	China Mengniu Dairy Co. Ltd.	Cayman Islands	2004/06/10
03968	China Merchants Bank Co., Ltd. – H Shares	PRC	2006/09/22
00133	China Merchants China Direct Investments Ltd.	Hong Kong	1993/07/22
00144	China Merchants Holdings (International) Co. Ltd.	Hong Kong	1992/07/15
00978	China Merchants Land Ltd.	Cayman Islands	1997/10/16
00319	China Metal International Holdings Inc.	Cayman Islands	2004/12/31
00773	China Metal Recycling (Holdings) Ltd.	Cayman Islands	2009/06/22
00340	China Mining Resources Group Ltd.	Bermuda	1997/03/26
01988	China Minsheng Banking Corp., Ltd. – H Shares	PRC	2009/11/26
00941	China Mobile Ltd.	Hong Kong	1997/10/23
01117	China Modern Dairy Holdings Ltd.	Cayman Islands	2010/11/26
03993	China Molybdenum Co., Ltd. – H Shares	PRC	2007/04/26
00989	China Motion Telecom International Ltd.	Bermuda	1997/10/20
00026	China Motor Bus Co., Ltd.	Hong Kong	n.a.
03323	China National Building Material Co. Ltd. – H Shares	PRC	2006/03/23
01893	China National Materials Co. Ltd. – H Shares	PRC	2007/12/20
00080	China New Economy Fund Ltd.	Cayman Islands	2011/01/06
01041	China New Energy Power Group Ltd.	Bermuda	1996/12/27
01278	China New Town Development Co. Ltd.	British Virgin Islands	2010/10/22
02889	China Nickel Resources Holdings Co. Ltd.	Cayman Islands	2005/05/19
01258	China Nonferrous Mining Corporation Ltd.	Hong Kong	2012/06/29
01011	China NT Pharma Group Co. Ltd.	Cayman Islands	2011/04/20
00611	China Nuclear Industry 23 International Corporation Ltd.	Bermuda	1993/02/12
00651	China Ocean Shipbuilding Industry Group Ltd.	Bermuda	1998/07/23
00603	China Oil And Gas Group Ltd.	Bermuda	1993/05/28
02883	China Oilfield Services Ltd. – H Shares	PRC	2002/11/20
02371	China Oriental Culture Group Ltd.	Cayman Islands	2004/11/18
00581	China Oriental Group Co. Ltd.	Bermuda	2004/03/02
00254	China Outdoor Media Group Ltd.	Hong Kong	1972/11/16
01146	China Outfitters Holdings Ltd.	Cayman Islands	2011/12/09
00081	China Overseas Grand Oceans Group Ltd.	Hong Kong	1984/04/26
00688	China Overseas Land & Investment Ltd.	Hong Kong	1992/08/20
02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	PRC	2009/12/23
00572	China Packaging Group Co. Ltd.	Cayman Islands	2003/07/02
00386	China Petroleum & Chemical Corporation – H Shares	PRC	2000/10/19
01345	China Pioneer Pharma Holdings Ltd.	Cayman Islands	2013/11/05
00380	China Pipe Group Ltd.	Bermuda	2000/12/21
02133	China Polymetallic Mining Ltd.	Cayman Islands	2011/12/14
02380	China Power International Development Ltd.	Hong Kong	2004/10/15
00735	China Power New Energy Development Co. Ltd.	Bermuda	1999/11/04
01194	China Precious Metal Resources Holdings Co., Ltd.	Cayman Islands	2004/10/12
06828	China Print Power Group Ltd.	Bermuda	2011/07/12
01838	China Properties Group Ltd.	Cayman Islands	2007/02/23
00736	China Properties Investment Holdings Ltd.	Bermuda	1992/09/30
01094	China Public Procurement Ltd.	Bermuda	2002/07/03
01699	China Putian Food Holding Ltd.	Cayman Islands	2012/07/13
00866	China Qinfa Group Ltd.	Cayman Islands	2009/07/03
00745	China Railsmedia Corporation Ltd.	Cayman Islands	2004/10/13
01186	China Railway Construction Corporation Ltd. – H Shares	PRC	2008/03/13
00390	China Railway Group Ltd. – H Shares	PRC	2007/12/07
00769	China Rare Earth Holdings Ltd.	Cayman Islands	1999/10/15
00987	China Renewable Energy Investment Ltd.	Cayman Islands	1994/01/27
00648	China Renji Medical Group Ltd.	Hong Kong	1990/10/29
00269	China Resources and Transportation Group Ltd.	Cayman Islands	1973/03/19
01313	China Resources Cement Holdings Ltd.	Cayman Islands	2009/10/06
00291	China Resources Enterprise, Ltd.	Hong Kong	n.a.
01193	China Resources Gas Group Ltd.	Bermuda	1994/11/07
01109	China Resources Land Ltd.	Cayman Islands	1996/11/08
00836	China Resources Power Holdings Co. Ltd.	Hong Kong	2003/11/12
01101	China Rongsheng Heavy Industries Group Holdings Ltd.	Cayman Islands	2010/11/19
00527	China Ruifeng Renewable Energy Holdings Ltd.	Cayman Islands	2006/06/09
00153	China Saite Group Co. Ltd.	Cayman Islands	2013/11/01
00910	China Sandi Holdings Ltd.	Bermuda	1998/12/01
02198	China Sanjiang Fine Chemicals Co. Ltd.	Cayman Islands	2010/09/16
01966	China SCE Property Holdings Ltd.	Cayman Islands	2010/02/05
00245	China Seven Star Shopping Ltd.	Hong Kong	1972/08/25
00691	China Shanshui Cement Group Ltd.	Cayman Islands	2008/07/04
01088	China Shenhua Energy Co. Ltd. – H Shares	PRC	2005/06/15
02877	China Shineway Pharmaceutical Group Ltd.	Cayman Islands	2004/12/02
02866	China Shipping Container Lines Co. Ltd. – H Shares	PRC	2004/06/16
01138	China Shipping Development Co. Ltd. – H Shares	PRC	1994/11/11
00815	China Silver Group Ltd.	Cayman Islands	2012/12/28
00750	China Singyes Solar Technologies Holdings Ltd.	Bermuda	2009/01/13
00155	China Solar Energy Holdings Ltd.	Bermuda	1988/09/05
01668	China South City Holdings Ltd.	Hong Kong	2009/09/30
01055	China Southern Airlines Co. Ltd. – H Shares	PRC	1997/07/31
00326	China Star Entertainment Ltd.	Bermuda	1992/11/12
03838	China Starch Holdings Ltd.	Cayman Islands	2007/09/27
03311	China State Construction International Holdings Ltd.	Cayman Islands	2005/07/08
00235	China Strategic Holdings Ltd.	Hong Kong	1972/12/04

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Code	Company name	Incorporation	Listing date
03623	China Success Finance Group Holdings Ltd.	Cayman Islands	2013/11/13
02002	China Sunshine Paper Holdings Co. Ltd.	Cayman Islands	2007/12/12
00956	China Suntien Green Energy Corporation Ltd. – H Shares	PRC	2010/10/13
00873	China Taifeng Beddings Holdings Ltd.	Cayman Islands	2010/06/11
00966	China Taiping Insurance Holdings Co. Ltd.	Hong Kong	2000/06/29
00728	China Telecom Corporation Ltd. – H Shares	PRC	2002/11/15
01600	China Tian Lun Gas Holdings Ltd.	Cayman Islands	2010/11/10
01252	China Tianrui Group Cement Co. Ltd.	Cayman Islands	2011/12/23
00756	China Tianyi Holdings Ltd.	Cayman Islands	2008/07/10
03398	China Ting Group Holdings Ltd.	Cayman Islands	2005/12/15
02188	China Titans Energy Technology Group Co., Ltd.	Cayman Islands	2010/05/28
00389	China Tontine Wines Group Ltd.	Bermuda	2009/11/19
00570	China Traditional Chinese Medicine Co. Ltd.	Hong Kong	1993/04/07
00308	China Travel International Investment Hong Kong Ltd.	Hong Kong	1992/11/11
00209	China Tycoon Beverage Holdings Ltd.	Bermuda	2002/03/06
00762	China Unicom (Hong Kong) Ltd.	Hong Kong	2000/06/22
02330	China Uptown Group Co. Ltd.	Cayman Islands	2003/01/29
00893	China Vanadium Titano-Magnetite Mining Co. Ltd.	Cayman Islands	2009/10/08
01269	China Vehicle Components Technology Holdings Ltd.	Cayman Islands	2011/11/23
00855	China Water Affairs Group Ltd.	Bermuda	1999/10/11
01129	China Water Industry Group Ltd.	Cayman Islands	2002/01/17
02349	China Water Property Group Ltd.	Cayman Islands	2003/06/25
03778	China Weaving Materials Holdings Ltd.	Cayman Islands	2011/12/22
00182	China WindPower Group Ltd.	Bermuda	1991/11/27
02369	China Wireless Technologies Ltd.	Cayman Islands	2004/12/09
01866	China XLX Fertiliser Ltd.	Singapore	2009/12/08
03669	China Yongda Automobiles Services Holdings Ltd.	Cayman Islands	2012/07/12
00263	China Yunnan Tin Minerals Group Co. Ltd.	Hong Kong	1988/04/06
01068	China Yurun Food Group Ltd.	Bermuda	2005/10/03
00362	China Zenith Chemical Group Ltd.	Cayman Islands	2001/05/02
01728	China ZhengTong Auto Services Holdings Ltd.	Cayman Islands	2010/12/10
02623	China Zhongsheng Resources Holdings Ltd.	Cayman Islands	2012/04/27
01333	China Zhongwang Holdings Ltd.	Cayman Islands	2009/05/08
01123	China-Hongkong Photo Products Holdings Ltd.	Bermuda	1994/09/19
03668	Chinalco Mining Corporation International	Cayman Islands	2013/01/31
00354	Chinasoft International Ltd.	Cayman Islands	2003/06/20
01060	ChinaVision Media Group Ltd.	Bermuda	1994/05/12
00127	Chinese Estates Holdings Ltd.	Bermuda	n.a.
00681	Chinese People Holdings Co. Ltd.	Bermuda	1997/04/24
00997	Chinlink International Holdings Ltd.	Bermuda	2000/03/10
00385	Chinney Alliance Group Ltd.	Bermuda	1993/10/15
00216	Chinney Investments, Ltd.	Hong Kong	1973/01/31
01111	Chong Hing Bank Ltd.	Hong Kong	1994/07/11
01053	Chongqing Iron & Steel Co. Ltd. – H Shares	PRC	1997/10/17
02722	Chongqing Machinery & Electric Co., Ltd. – H Shares	PRC	2008/06/13
03618	Chongqing Rural Commercial Bank Co., Ltd. – H Shares	PRC	2010/12/16
00116	Chow Sang Sang Holdings International Ltd.	Bermuda	1973/04/06
01929	Chow Tai Fook Jewellery Group Ltd.	Cayman Islands	2011/12/15
01210	Christine International Holdings Ltd.	Cayman Islands	2012/02/23
01938	Chu Kong Petroleum and Natural Gas Steel Pipe Holdings Ltd.	Cayman Islands	2010/02/10
00560	Chu Kong Shipping Enterprises (Group) Co., Ltd.	Hong Kong	1997/05/23
00298	Chuang's China Investments Ltd.	Bermuda	n.a.
00367	Chuang's Consortium International Ltd.	Bermuda	1987/01/16
00711	Chun Wo Development Holdings Ltd.	Bermuda	1993/02/12
00378	CIAM Group Ltd.	Bermuda	1986/09/10
00884	CIFI Holdings (Group) Co. Ltd.	Cayman Islands	2012/11/23
00479	CIL Holdings Ltd.	Bermuda	1993/07/21
03899	CIMC Enric Holdings Ltd.	Cayman Islands	2006/07/20
00111	Cinda International Holdings Ltd.	Bermuda	2000/08/01
00550	Cinderella Media Group Ltd.	Bermuda	2007/07/23
00241	CITIC 21CN Co. Ltd.	Bermuda	1972/07/06
01091	CITIC Dameng Holdings Ltd.	Bermuda	2010/11/18
00267	CITIC Pacific Ltd.	Hong Kong	1986/02/26
01205	CITIC Resources Holdings Ltd.	Bermuda	1997/09/08
06030	CITIC Securities Co. Ltd. – H Shares	PRC	2011/10/06
01883	CITIC Telecom International Holdings Ltd.	Hong Kong	2007/04/03
00557	City e-Solutions Ltd.	Cayman Islands	1989/12/29
00775	CK Life Sciences Int'l., (Holdings) Inc.	Cayman Islands	2002/07/16
00100	Clear Media Ltd.	Bermuda	2001/12/19
00439	Climax International Co. Ltd.	Bermuda	1992/03/11
00002	CLP Holdings Ltd.	Hong Kong	n.a.
00471	CMMB Vision Holdings Ltd.	Cayman Islands	2005/10/10
02302	CNNC International Ltd.	Cayman Islands	2003/01/06
00883	CNOOC Ltd.	Hong Kong	2001/02/28
00701	CNT Group Ltd.	Bermuda	1991/05/21
06388	Coach, Inc. -RS	USA	2011/12/01
01124	Coastal Greenland Ltd.	Bermuda	1997/10/16
00383	COL Capital Ltd.	Bermuda	1991/08/01
02342	Comba Telecom Systems Holdings Ltd.	Cayman Islands	2003/07/15
00794	Come Sure Group (Holdings) Ltd.	Cayman Islands	2009/02/26
00286	G-Prop (Holdings) Ltd.	Bermuda	1990/09/07
00046	Computer And Technologies Holdings Ltd.	Bermuda	1998/05/18
00320	Computime Group Ltd.	Cayman Islands	2006/10/09
00712	Comtec Solar Systems Group Ltd.	Cayman Islands	2009/10/30
01681	Consun Pharmaceutical Group Ltd.	Cayman Islands	2013/12/19
00513	Continental Holdings Ltd.	Hong Kong	1988/11/08

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
00831	Convenience Retail Asia Ltd.	Cayman Islands	2001/01/18
01019	Convoy Financial Services Holdings Ltd.	Cayman Islands	2010/07/13
00707	Co-Prosperity Holdings Ltd.	Cayman Islands	2006/03/30
00517	COSCO International Holdings Ltd.	Bermuda	1992/02/11
01199	COSCO Pacific Ltd.	Bermuda	1994/12/19
01043	Coslight Technology International Group Ltd.	Bermuda	1999/11/17
00120	Cosmopolitan International Holdings Ltd.	Cayman Islands	n.a.
00118	Cosmos Machinery Enterprises Ltd.	Hong Kong	1988/12/12
02007	Country Garden Holdings Co. Ltd.	Cayman Islands	2007/04/20
01145	Courage Marine Group Ltd.	Bermuda	2011/06/24
00906	CPMC Holdings Ltd.	Hong Kong	2009/11/16
00122	Crocodile Garments Ltd.	Hong Kong	n.a.
00032	Cross-Harbour (Holdings) Ltd., The	Hong Kong	1974/07/30
00497	CSI Properties Ltd.	Bermuda	1992/04/15
01093	CSPC Pharmaceutical Group Ltd.	Hong Kong	1994/06/21
01766	CSR Corporation Ltd. – H Shares	PRC	2008/08/21
00985	CST Mining Group Ltd.	Cayman Islands	1994/02/02
01363	CT Environmental Group Ltd.	Cayman Islands	2013/09/25
00674	Culture Landmark Investment Ltd.	Bermuda	1991/01/25
00343	Culturecom Holdings Ltd.	Bermuda	1986/08/12
00705	CVM Minerals Ltd.	Hong Kong	2008/12/22
01322	CW Group Holdings Ltd.	Cayman Islands	2012/04/13
00875	Cypress Jade Agricultural Holdings Ltd.	Bermuda	1998/07/03
01090	Da Ming International Holdings Ltd.	Cayman Islands	2010/12/01
03999	DaChan Food (Asia) Ltd.	Cayman Islands	2007/10/04
01828	Dah Chong Hong Holdings Ltd.	Hong Kong	2007/10/17
02356	Dah Sing Banking Group Ltd.	Hong Kong	2004/06/30
00440	Dah Sing Financial Holdings Ltd.	Hong Kong	1987/11/05
00544	Daido Group Ltd.	Bermuda	1989/12/14
00567	Daisho Microline Holdings Ltd.	Bermuda	1990/01/31
01037	Daiwa Associate Holdings Ltd.	Bermuda	1994/04/14
02880	Dalian Port (PDA) Co. Ltd. – H Shares	PRC	2006/04/28
00271	Dan Form Holdings Co. Ltd.	Hong Kong	1973/02/28
00210	Daphne International Holdings Ltd.	Cayman Islands	1995/11/03
01007	Daqing Dairy Holdings Ltd.	Cayman Islands	2010/10/28
00991	Datang International Power Generation Co., Ltd. – H Shares	PRC	1997/03/21
00889	Datronix Holdings Ltd.	Bermuda	2001/06/22
02348	Dawnrays Pharmaceutical (Holdings) Ltd.	Cayman Islands	2003/07/11
03335	DBA Telecommunication (Asia) Holdings Ltd.	Cayman Islands	2006/05/11
01163	Dejin Resources Group Co. Ltd.	Bermuda	1999/11/11
00262	Deson Development International Holdings Ltd.	Bermuda	1997/06/10
00065	DeTeam Co. Ltd.	Cayman Islands	2001/08/30
00113	Dickson Concepts (International) Ltd.	Bermuda	1973/03/15
00861	Digital China Holdings Ltd.	Bermuda	2001/06/01
00547	Digital Domain Holdings Ltd.	Bermuda	1992/07/06
00508	Dingyi Group Investment Ltd.	Bermuda	1988/10/26
01072	Dongfang Electric Corporation Ltd. – H Shares	PRC	1994/06/06
00489	Dongfeng Motor Group Co. Ltd. – H Shares	PRC	2005/12/07
00895	Dongjiang Environmental Co. Ltd. – H Shares	PRC	2003/01/29
03386	Dongpeng Holdings Co. Ltd.	Cayman Islands	2013/12/09
00695	Dongwu Cement International Ltd.	Cayman Islands	2012/06/13
00189	Dongyue Group Ltd.	Cayman Islands	2007/12/10
00628	Dore Holdings Ltd.	Bermuda	2002/07/24
02266	Dorsett Hospitality International Ltd.	Cayman Islands	2010/10/11
00668	Dowell Property Holdings Ltd.	Hong Kong	1990/12/12
00935	Dragon Crown Group Holdings Ltd.	Cayman Islands	2011/06/10
00329	Dragonite International Ltd.	Cayman Islands	2001/05/09
01126	Dream International Ltd.	Hong Kong	2002/02/07
00500	DVN (Holdings) Ltd.	Bermuda	1988/07/29
06889	DYNAM JAPAN HOLDINGS Co., Ltd.	Japan	2012/08/06
00029	Dynamic Holdings Ltd.	Bermuda	n.a.
00828	Dynasty Fine Wines Group Ltd.	Cayman Islands	2005/01/26
00599	E. Bon Holdings Ltd.	Cayman Islands	2000/04/12
00936	Eagle Legend Asia Ltd.	Cayman Islands	2010/07/19
02368	Eagle Nice (International) Holdings Ltd.	Cayman Islands	2003/08/22
00339	Earnest Investments Holdings Ltd.	Bermuda	2000/07/26
00616	Easyknit Enterprises Holdings Ltd.	Bermuda	1991/09/16
01218	Easyknit International Holdings Ltd.	Bermuda	1995/01/30
02341	EcoGreen Fine Chemicals Group Ltd.	Cayman Islands	2004/03/09
01390	econtext Asia Ltd.	Hong Kong	2013/12/19
00943	eForce Holdings Ltd.	Bermuda	1997/06/25
00524	e-Kong Group Ltd.	Bermuda	1989/04/21
01151	Elec & Eltek International Co. Ltd.	Singapore	2011/07/08
00907	Elegance Optical International Holdings Ltd.	Bermuda	1996/04/11
01388	Embry Holdings Ltd.	Cayman Islands	2006/12/18
00717	Emperor Capital Group Ltd.	Bermuda	2007/04/24
00296	Emperor Entertainment Hotel Ltd.	Bermuda	n.a.
00163	Emperor International Holdings Ltd.	Bermuda	1972/11/14
00887	Emperor Watch & Jewellery Ltd.	Hong Kong	2008/07/21
00622	Enerchina Holdings Ltd.	Bermuda	1991/09/16
00353	Energy International Investments Holdings Ltd.	Cayman Islands	2001/07/26
00128	ENM Holdings Ltd.	Hong Kong	n.a.
02688	ENN Energy Holdings Ltd.	Cayman Islands	2002/06/03
01808	Enterprise Development Holdings Ltd.	Cayman Islands	2007/01/11
01102	Enviro Energy International Holdings Ltd.	Cayman Islands	2007/06/06
00689	EPI (Holdings) Ltd.	Bermuda	1991/04/15

List of listed companies on Main Board *(continued)*

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Code	Company name	Incorporation	Listing date
01884	eprint Group Ltd.	Cayman Islands	2013/12/03
00330	Esprit Holdings Ltd.	Bermuda	1993/12/09
01061	Essex Bio-Technology Ltd.	Cayman Islands	2001/06/27
00571	eSun Holdings Ltd.	Bermuda	n.a.
00764	Eternity Investment Ltd.	Bermuda	2000/02/15
00838	EVA Precision Industrial Holdings Ltd.	Cayman Islands	2005/05/11
03333	Evergrande Real Estate Group Ltd.	Cayman Islands	2009/11/05
00238	Evergreen International Holdings Ltd.	Cayman Islands	2010/11/04
02308	EVOC Intelligent Technology Co. Ltd. – H Shares	PRC	2003/10/10
01372	Excel Development (Holdings) Ltd.	Cayman Islands	2013/12/11
00858	Extrawell Pharmaceutical Holdings Ltd.	Bermuda	1999/03/10
00117	EYANG Holdings (Group) Co., Ltd.	Cayman Islands	2007/12/21
00052	Fairwood Holdings Ltd.	Bermuda	1991/10/09
01777	Fantasia Holdings Group Co., Ltd.	Cayman Islands	2009/11/25
00035	Far East Consortium International Ltd.	Cayman Islands	1972/09/21
00830	Far East Global Group Ltd.	Cayman Islands	2010/03/30
00036	Far East Holdings International Ltd.	Hong Kong	1973/02/12
03360	Far East Horizon Ltd.	Hong Kong	2011/03/30
00037	Far East Hotels & Entertainment Ltd.	Hong Kong	1979/07/03
02038	FIH Mobile Ltd.	Cayman Islands	2005/02/03
00865	First Mobile Group Holdings Ltd.	Cayman Islands	2000/12/29
01076	First Natural Foods Holdings Ltd.	Bermuda	2002/02/11
00142	First Pacific Co. Ltd.	Bermuda	1988/09/12
00227	First Shanghai Investments Ltd.	Hong Kong	1972/08/24
00038	First Tractor Co Ltd. – H Shares	PRC	1997/06/23
02662	Fittec International Group Ltd.	Cayman Islands	2005/12/14
01998	Flyke International Holdings Ltd.	Cayman Islands	2010/03/29
00641	Fong's Industries Co. Ltd.	Bermuda	1990/10/12
01682	Ford Glory Group Holdings Ltd.	Bermuda	2010/10/05
02310	Forebase International Holdings Ltd.	Hong Kong	2003/07/04
00885	Forefront Group Ltd.	Cayman Islands	2001/07/12
00484	Forgame Holdings Ltd.	Cayman Islands	2013/10/03
01152	Fornton Group Ltd.	Bermuda	2011/10/28
00352	Fortune Sun (China) Holdings Ltd.	Cayman Islands	2006/07/05
00656	Fosun International Ltd.	Hong Kong	2007/07/16
00418	Founder Holdings Ltd.	Bermuda	1995/12/21
00420	Fountain Set (Holdings) Ltd.	Hong Kong	1988/04/20
00374	Four Seas Mercantile Holdings Ltd.	Cayman Islands	1993/08/25
00817	Franshion Properties (China) Ltd.	Hong Kong	2007/08/17
00279	Freeman Financial Corporation Ltd.	Cayman Islands	1988/05/11
06888	Freetech Road Recycling Technology (Holdings) Ltd.	Cayman Islands	2013/06/26
01175	FU JI Food and Catering Services Holdings Ltd.	Cayman Islands	2004/12/17
01448	Fu Shou Yuan International Group Ltd.	Cayman Islands	2013/12/19
00546	Fufeng Group Ltd.	Cayman Islands	2007/02/08
01819	Fuguiniao Co., Ltd. – H Shares	PRC	2013/12/20
00181	Fujian Holdings Ltd.	Hong Kong	1973/02/22
00927	Fujikon Industrial Holdings Ltd.	Bermuda	2000/04/11
00465	Futong Technology Development Holdings Ltd.	Cayman Islands	2009/12/04
00703	Future Bright Holdings Ltd.	Bermuda	2002/08/15
01030	Future Land Development Holdings Ltd.	Cayman Islands	2012/11/29
00027	Galaxy Entertainment Group Ltd.	Hong Kong	1991/10/07
03800	GCL-Poly Energy Holdings Ltd.	Cayman Islands	2007/11/13
00175	Geely Automobile Holdings Ltd.	Cayman Islands	1973/02/23
00535	Gemdale Properties and Investment Corporation Ltd.	Bermuda	1989/10/16
00174	Gemini Investments (Holdings) Ltd.	Hong Kong	1988/10/05
00678	Genting Hong Kong Ltd.	Bermuda	2000/11/30
02389	Genvon Group Ltd.	Cayman Islands	2002/04/26
00064	Get Nice Holdings Ltd.	Cayman Islands	2002/06/06
00709	Giordano International Ltd.	Bermuda	1991/06/19
00805	Glencore Xstrata plc	Jersey	2011/05/25
00809	Global Bio-chem Technology Group Co. Ltd.	Cayman Islands	2001/03/16
03889	Global Sweeteners Holdings Ltd.	Cayman Islands	2007/09/20
00143	Global Tech (Holdings) Ltd.	Cayman Islands	1999/04/09
00845	Glorious Property Holdings Ltd.	Cayman Islands	2009/10/02
00393	Glorious Sun Enterprises Ltd.	Bermuda	1996/09/17
00040	Gold Peak Industries (Holdings) Ltd.	Hong Kong	1984/07/02
00172	Goldbond Group Holdings Ltd.	Hong Kong	n.a.
03308	Golden Eagle Retail Group Ltd.	Cayman Islands	2006/03/21
00801	Golden Meditech Holdings Ltd.	Cayman Islands	2001/12/28
00677	Golden Resources Development International Ltd.	Bermuda	1991/01/28
02123	Golden Shield Holdings (Industrial) Ltd.	Bermuda	2011/07/14
01232	Golden Wheel Tiandi Holdings Co. Ltd.	Cayman Islands	2013/01/16
00530	Goldin Financial Holdings Ltd.	Bermuda	1992/10/08
00283	Goldin Properties Holdings Ltd.	Hong Kong	n.a.
00533	Goldlion Holdings Ltd.	Hong Kong	1992/09/18
03315	Goldpac Group Ltd.	Hong Kong	2013/12/04
00686	Goldpoly New Energy Holdings Ltd.	Bermuda	2000/04/13
01118	Golik Holdings Ltd.	Bermuda	1994/07/15
00493	GOME Electrical Appliances Holding Ltd.	Bermuda	1992/04/15
00109	Good Fellow Resources Holdings Ltd.	Bermuda	1998/01/12
02398	Good Friend International Holdings Inc.	Cayman Islands	2006/01/11
01086	Goodbaby International Holdings Ltd.	Cayman Islands	2010/11/24
00844	Grand Concord International Holdings Ltd.	British Virgin Islands	2011/11/24
00115	Grand Field Group Holdings Ltd.	Bermuda	n.a.
01160	Grand Investment International Ltd.	Bermuda	2004/04/02
01271	Grand Ming Group Holdings Ltd.	Cayman Islands	2013/08/09

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Code	Company name	Incorporation	Listing date
00186	Grande Holdings Ltd., The	Bermuda	1987/07/09
00141	Great China Holdings Ltd.	Hong Kong	1973/03/07
00021	Great China Properties Holdings Ltd.	Hong Kong	n.a.
00041	Great Eagle Holdings Ltd.	Bermuda	n.a.
03683	Great Harvest Maeta Group Holdings Ltd.	Cayman Islands	2010/10/11
02333	Great Wall Motor Co. Ltd. – H Shares	PRC	2003/12/15
00074	Great Wall Technology Co. Ltd. – H Shares	PRC	1999/08/05
00431	Greater China Holdings Ltd.	Bermuda	1992/09/16
00468	Greatview Aseptic Packaging Co. Ltd.	Cayman Islands	2010/12/09
00979	Green Energy Group Ltd.	Bermuda	1997/06/26
02700	Green International Holdings Ltd.	Cayman Islands	2006/09/29
00094	Greenheart Group Ltd.	Bermuda	1988/06/21
00337	Greenland Hong Kong Holdings Ltd.	Cayman Islands	2006/10/10
01318	Greens Holdings Ltd.	Cayman Islands	2009/11/06
03900	Greentown China Holdings Ltd.	Cayman Islands	2006/07/13
01051	G-Resources Group Ltd.	Bermuda	1994/04/29
00601	Group Sense (International) Ltd.	Bermuda	1993/01/28
00270	Guangdong Investment Ltd.	Hong Kong	n.a.
00124	Guangdong Land Holdings Ltd.	Bermuda	1997/08/08
01058	Guangdong Tannery Ltd.	Hong Kong	1996/12/16
03399	Guangdong Yueyun Transportation Co. Ltd. – H Shares	PRC	2005/10/26
01203	Guangnan (Holdings) Ltd.	Hong Kong	1994/12/09
00525	Guangshen Railway Co. Ltd. – H Shares	PRC	1996/05/14
02238	Guangzhou Automobile Group Co., Ltd. – H Shares	PRC	2010/08/30
00874	Guangzhou Baiyunshan Pharmaceutical Holdings Co. Ltd.- H Shs	PRC	1997/10/30
02777	Guangzhou R&F Properties Co., Ltd. – H Shares	PRC	2005/07/14
00317	Guangzhou Shipyard International Co. Ltd. – H Shares	PRC	1993/08/06
00559	Guocang Group Ltd.	Bermuda	1997/01/03
00053	Guoco Group Ltd.	Bermuda	1983/05/03
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	PRC	2011/12/30
01788	Guotai Junan International Holdings Ltd.	Hong Kong	2010/07/08
00657	G-Vision International (Holdings) Ltd.	Bermuda	1992/10/30
01169	Haier Electronics Group Co., Ltd.	Bermuda	1997/12/23
00357	Hainan Meilan International Airport Co. Ltd. – H Shares	PRC	2002/11/18
01882	Haitian International Holdings Ltd.	Cayman Islands	2006/12/22
00665	Haitong International Securities Group Ltd.	Bermuda	1996/08/06
06837	Haitong Securities Co., Ltd. – H Shares	PRC	2012/04/27
00566	Hanergy Solar Group Ltd.	Bermuda	1996/01/29
00010	Hang Lung Group Ltd.	Hong Kong	1972/10/12
00101	Hang Lung Properties Ltd.	Hong Kong	n.a.
00011	Hang Seng Bank Ltd.	Hong Kong	1972/06/20
00896	Hanison Construction Holdings Ltd.	Cayman Islands	2002/01/10
00275	Hanny Holdings Ltd.	Bermuda	1991/12/16
00554	Hans Energy Co. Ltd.	Cayman Islands	1997/05/28
00474	Hao Tian Development Group Ltd.	Cayman Islands	2006/06/06
01133	Harbin Electric Co. Ltd. – H Shares	PRC	1994/12/16
00051	Harbour Centre Development Ltd.	Hong Kong	n.a.
00033	Harmonic Strait Financial Holdings Ltd.	Cayman Islands	2007/11/19
00428	Harmony Asset Ltd.	Cayman Islands	1993/12/14
00097	Henderson Investment Ltd.	Hong Kong	1972/11/06
00012	Henderson Land Development Co. Ltd.	Hong Kong	1981/07/23
00185	Heng Fai Enterprises Ltd.	Hong Kong	1972/12/20
00197	Heng Tai Consumables Group Ltd.	Cayman Islands	2001/12/03
01044	Hengan International Group Co. Ltd.	Cayman Islands	1998/12/08
03389	Hengdeli Holdings Ltd.	Cayman Islands	2005/09/26
01370	Hengshi Mining Investments Ltd.	Cayman Islands	2013/11/28
01085	Hengxin Technology Ltd.	Singapore	2010/12/23
00859	Henry Group Holdings Ltd.	Bermuda	2000/06/15
00114	Herald Holdings Ltd.	Bermuda	n.a.
00412	Heritage International Holdings Ltd.	Bermuda	1992/04/15
00818	Hi Sun Technology (China) Ltd.	Bermuda	1997/12/22
01393	Hidili Industry International Development Ltd.	Cayman Islands	2007/09/21
00608	High Fashion International Ltd.	Bermuda	1992/08/04
01623	Hilong Holding Ltd.	Cayman Islands	2011/04/21
00396	Hing Lee (HK) Holdings Ltd.	Bermuda	2009/06/22
00921	Hisense Kelon Electrical Holdings Co. Ltd. – H Shares	PRC	1996/07/23
00190	HKC (Holdings) Ltd.	Bermuda	1987/05/29
00248	HKC International Holdings Ltd.	Cayman Islands	2001/11/09
00480	HKR International Ltd.	Cayman Islands	1988/03/11
06823	HKT Ltd.	Cayman Islands	2011/11/29
01087	HL Technology Group Ltd.	Cayman Islands	2010/11/16
00007	Hoifu Energy Group Ltd.	Bermuda	2000/09/08
00160	Hon Kwok Land Investment Co., Ltd.	Hong Kong	n.a.
00044	Hong Kong Aircraft Engineering Co. Ltd.	Hong Kong	n.a.
00003	Hong Kong and China Gas Co. Ltd., The	Hong Kong	n.a.
00145	Hong Kong Building and Loan Agency Ltd., The	Hong Kong	1972/07/01
00423	Hong Kong Economic Times Holdings Ltd.	Cayman Islands	2005/08/03
01082	Hong Kong Education (Int'l) Investments Ltd.	Cayman Islands	2011/07/04
00388	Hong Kong Exchanges and Clearing Ltd.	Hong Kong	2000/06/27
00050	Hong Kong Ferry (Holdings) Co. Ltd.	Hong Kong	n.a.
01273	Hong Kong Finance Group Ltd.	Cayman Islands	2013/10/02
00060	Hong Kong Food Investment Holdings Ltd.	Hong Kong	1992/12/16
00207	Hong Kong Parkview Group Ltd., The	Bermuda	1973/03/06
02882	Hong Kong Resources Holdings Co. Ltd.	Bermuda	2003/06/30
01137	Hong Kong Television Network Ltd.	Hong Kong	1997/08/04
00196	Honghua Group Ltd.	Cayman Islands	2008/03/07

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Code	Company name	Incorporation	Listing date
00045	Hongkong and Shanghai Hotels, Ltd., The	Hong Kong	n.a.
00655	Hongkong Chinese Ltd.	Bermuda	1992/10/12
02320	Hop Fung Group Holdings Ltd.	Cayman Islands	2003/09/24
00047	Hop Hing Group Holdings Ltd.	Cayman Islands	1988/11/16
00733	Hopefluent Group Holdings Ltd.	Cayman Islands	2004/07/15
00737	Hopewell Highway Infrastructure Ltd.	Cayman Islands	2003/08/06
00054	Hopewell Holdings Ltd.	Hong Kong	1972/08/21
00754	Hopson Development Holdings Ltd.	Bermuda	1998/05/27
02200	Hosa International Ltd.	Cayman Islands	2011/12/16
00005	HSBC Holdings plc	England	n.a.
00404	Hsin Chong Construction Group Ltd.	Bermuda	1991/08/14
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	Cayman Islands	2002/12/10
00969	Hua Lien International (Holding) Co. Ltd.	Cayman Islands	2000/02/02
00336	Huabao International Holdings Ltd.	Bermuda	1992/01/22
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	PRC	2012/06/28
01071	Huadian Power International Corporation Ltd. – H Shares	PRC	1999/06/30
00902	Huaneng Power International, Inc. – H Shares	PRC	1998/01/21
00958	Huaneng Renewables Corporation Ltd. – H Shares	PRC	2011/06/10
01689	Huaxi Holdings Co. Ltd.	Cayman Islands	2013/12/06
01823	HUAYU Expressway Group Ltd.	Cayman Islands	2009/12/23
06830	Huazhong Holdings Co. Ltd.	Cayman Islands	2012/01/12
01303	Huili Resources (Group) Ltd.	Cayman Islands	2012/01/12
03698	Huishang Bank Corporation Ltd. – H shares	PRC	2013/11/12
01280	Huiyin Household Appliances (Holdings) Co., Ltd.	Cayman Islands	2010/03/25
02626	Hunan Nonferrous Metals Corporation Ltd. – H Shares	PRC	2006/03/31
00450	Hung Hing Printing Group Ltd.	Hong Kong	1992/03/16
00704	Huscoke Resources Holdings Ltd.	Bermuda	1991/05/27
00715	Hutchison Harbour Ring Ltd.	Bermuda	1991/07/05
00215	Hutchison Telecommunications Hong Kong Holdings Ltd.	Cayman Islands	2009/05/08
00013	Hutchison Whampoa Ltd.	Hong Kong	1978/01/03
01188	Hybrid Kinetic Group Ltd.	Bermuda	1995/04/06
00499	HyComm Wireless Ltd.	Bermuda	1988/07/22
01396	Hydoo International Holding Ltd.	Cayman Islands	2013/10/31
00014	Hysan Development Co. Ltd.	Hong Kong	1981/09/18
00999	I.T Ltd.	Bermuda	2005/03/04
01097	i-CABLE Communications Ltd.	Hong Kong	1999/11/24
00139	ICube Technology Holdings Ltd.	Bermuda	1994/03/15
00167	IDT International Ltd.	Bermuda	1988/10/21
00585	Imagi International Holdings Ltd.	Bermuda	1997/03/10
00356	Incutech Investments Ltd.	Cayman Islands	2002/06/07
01398	Industrial and Commercial Bank of China Ltd. – H Shares	PRC	2006/10/27
00640	Infinity Chemical Holdings Co. Ltd.	Cayman Islands	2010/08/12
03948	Inner Mongolia Yitai Coal Co., Ltd. – H Shares	PRC	2012/07/12
00596	Inspur International Ltd.	Cayman Islands	2004/04/29
00923	Integrated Waste Solutions Group Holdings Ltd.	Cayman Islands	2010/03/31
00202	Interchina Holdings Co. Ltd.	Hong Kong	n.a.
01328	International Elite Ltd.	Cayman Islands	2007/10/16
01009	International Entertainment Corporation	Cayman Islands	2000/07/31
01373	International Housewares Retail Co. Ltd.	Cayman Islands	2013/09/25
00091	International Standard Resources Holdings Ltd.	Hong Kong	1981/06/29
01833	Intime Retail (Group) Co. Ltd.	Cayman Islands	2007/03/20
00982	iOne Holdings Ltd.	Bermuda	2008/07/14
00929	IPE Group Ltd.	Cayman Islands	2004/11/01
01029	IRC Ltd.	Hong Kong	2010/10/21
00438	IRICO Group Electronics Co. Ltd. – H Shares	PRC	2004/12/20
00372	ITC Corporation Ltd.	Bermuda	1992/02/13
00199	ITC Properties Group Ltd.	Bermuda	1994/03/18
00776	JF Household Furnishings Ltd.	Cayman Islands	2005/10/13
00602	Jiahua Stores Holdings Ltd.	Cayman Islands	2007/05/21
01366	Jiangnan Group Ltd.	Cayman Islands	2012/04/20
00177	Jiangsu Expressway Co. Ltd. – H Shares	PRC	1997/06/27
00358	Jiangxi Copper Co. Ltd. – H Shares	PRC	1997/06/12
00549	Jilin Qifeng Chemical Fiber Co., Ltd. – H Shares	PRC	2006/06/21
01239	Jin Bao Bao Holdings Ltd.	Cayman Islands	2011/11/18
01250	Jin Cai Holdings Co. Ltd.	Cayman Islands	2013/07/05
02362	Jinchuan Group International Resources Co. Ltd.	Cayman Islands	2003/10/27
01862	Jingrui Holdings Ltd.	Cayman Islands	2013/10/31
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	PRC	1996/02/02
00872	Jinheng Automotive Safety Technology Holdings Ltd.	Cayman Islands	2004/12/09
00137	Jinhui Holdings Co. Ltd.	Hong Kong	1991/12/06
02211	Jintian Pharmaceutical Group Ltd.	Cayman Islands	2013/12/12
00472	JLF Investment Co. Ltd.	Bermuda	1988/01/29
00179	Johnson Electric Holdings Ltd.	Bermuda	1984/07/11
02028	Jolimark Holdings Ltd.	Cayman Islands	2005/06/29
00647	Joyce Boutique Holdings Ltd.	Bermuda	1990/10/16
03336	Ju Teng International Holdings Ltd.	Cayman Islands	2005/11/03
01329	Juda International Holdings Ltd.	Cayman Islands	2012/04/02
00397	Jun Yang Solar Power Investments Ltd.	Bermuda	1993/10/13
00758	Junefield Department Store Group Ltd.	Bermuda	1999/12/03
03303	Jutal Offshore Oil Services Ltd.	Cayman Islands	2006/09/21
00675	K & P International Holdings Ltd.	Bermuda	1997/01/02
00173	K. Wah International Holdings Ltd.	Bermuda	1987/02/06
00822	Ka Shui International Holdings Ltd.	Cayman Islands	2007/06/27
00180	Kader Holdings Co. Ltd.	Bermuda	1985/05/24
01281	Kai Shi China Holdings Co. Ltd.	Cayman Islands	2012/01/12
01215	Kai Yuan Holdings Ltd.	Bermuda	1997/01/17

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Code	Company name	Incorporation	Listing date
01638	Kaisa Group Holdings Ltd.	Cayman Islands	2009/12/09
02307	Kam Hing International Holdings Ltd.	Cayman Islands	2004/09/23
01059	Kantone Holdings Ltd.	Cayman Islands	1997/01/23
01159	Karce International Holdings Co. Ltd.	Bermuda	1998/03/13
01050	Karrie International Holdings Ltd.	Bermuda	1996/12/16
00496	Kasen International Holdings Ltd.	Cayman Islands	2005/10/20
00847	Kazakhmys PLC	England	2011/06/29
00184	Keck Seng Investments (Hong Kong) Ltd.	Hong Kong	1973/02/24
02011	KEE Holdings Co. Ltd.	Cayman Islands	2011/01/12
00464	Kenford Group Holdings Ltd.	Cayman Islands	2005/06/16
00636	Kerry Logistics Network Ltd.	Bermuda	2013/12/19
00683	Kerry Properties Ltd.	Bermuda	1996/08/05
03816	KFM Kingdom Holdings Ltd.	Cayman Islands	2012/10/15
00638	Kin Yat Holdings Ltd.	Bermuda	1997/05/01
01277	Kinetic Mines and Energy Ltd.	Cayman Islands	2012/03/23
00280	King Fook Holdings Ltd.	Hong Kong	1988/03/28
00663	King Stone Energy Group Ltd.	Hong Kong	1990/11/30
01421	Kingbo Strike Ltd.	Cayman Islands	2013/12/30
00148	Kingboard Chemical Holdings Ltd.	Cayman Islands	1993/10/08
01888	Kingboard Laminates Holdings Ltd.	Cayman Islands	2006/12/07
00268	Kingdee International Software Group Co. Ltd.	Cayman Islands	2005/07/20
00528	Kingdom Holdings Ltd.	Cayman Islands	2006/12/12
01170	Kingmaker Footwear Holdings Ltd.	Bermuda	1994/09/29
03888	Kingsoft Corporation Ltd.	Cayman Islands	2007/10/09
01031	Kingston Financial Group Ltd.	Bermuda	1996/07/23
01195	Kingwell Group Ltd.	Cayman Islands	2003/01/20
01110	Kingworld Medicines Group Ltd.	Cayman Islands	2010/11/25
01201	Kith Holdings Ltd.	Bermuda	1998/06/23
00381	Kiu Hung Energy Holdings Ltd.	Cayman Islands	2001/01/22
00827	Ko Yo Chemical (Group) Ltd.	Cayman Islands	2003/07/10
00295	Kong Sun Holdings Ltd.	Hong Kong	1970/11/28
00034	Kowloon Development Co. Ltd.	Hong Kong	1995/07/04
00135	Kunlun Energy Co. Ltd.	Bermuda	1973/03/13
01813	KWG Property Holding Ltd.	Cayman Islands	2007/07/03
00306	Kwoon Chung Bus Holdings Ltd.	Bermuda	1996/09/25
00558	L.K. Technology Holdings Ltd.	Cayman Islands	2006/10/16
01262	Labixiaoxin Snacks Group Ltd.	Bermuda	2011/12/09
01125	Lai Fung Holdings Ltd.	Cayman Islands	1997/11/28
00488	Lai Sun Development Co. Ltd.	Hong Kong	1988/03/11
00191	Lai Sun Garment (International) Ltd.	Hong Kong	1987/12/03
00411	Lam Soon (Hong Kong) Ltd.	Hong Kong	1972/10/31
00582	Landing International Development Ltd.	Bermuda	2002/04/30
00106	Landsea Green Properties Co., Ltd.	Bermuda	1986/03/24
01270	Langham Hospitality Investments Ltd.	Cayman Islands	2013/05/30
00503	Lansen Pharmaceutical Holdings Ltd.	Cayman Islands	2010/05/07
02488	Launch Tech Co. Ltd. – H Shares	PRC	2002/10/07
00738	Le Saunda Holdings Ltd.	Bermuda	1992/12/11
00746	Lee & Man Chemical Co. Ltd.	Cayman Islands	2002/01/16
01488	Lee & Man Handbags Holding Ltd.	Cayman Islands	2011/06/27
02314	Lee & Man Paper Manufacturing Ltd.	Cayman Islands	2003/09/26
00068	Lee Hing Development Ltd.	Hong Kong	1972/11/09
00637	Lee Kee Holdings Ltd.	Cayman Islands	2006/10/04
00387	Leeport (Holdings) Ltd.	Bermuda	2003/07/10
00950	Lee's Pharmaceutical Holdings Ltd.	Cayman Islands	2002/07/15
01355	Legend Strategy International Holdings Group Co. Ltd.	Cayman Islands	2011/07/15
00992	Lenovo Group Ltd.	Hong Kong	1994/02/14
00842	Leoch International Technology Ltd.	Cayman Islands	2010/11/16
01225	Lerado Group (Holding) Co. Ltd.	Bermuda	1998/12/18
00494	Li & Fung Ltd.	Bermuda	1992/07/01
02331	Li Ning Co. Ltd.	Cayman Islands	2004/06/28
00980	Lianhua Supermarket Holdings Co. Ltd. – H Shares	PRC	2003/06/27
01212	Lifestyle International Holdings Ltd.	Cayman Islands	2004/04/15
02183	Lifestyle Properties Development Ltd.	Cayman Islands	2013/09/12
01302	LifeTech Scientific Corporation	Cayman Islands	2011/11/10
02005	Lijun International Pharmaceutical (Holding) Co. Ltd.	Cayman Islands	2005/12/20
03330	Lingbao Gold Co. Ltd. – H Shares	PRC	2006/01/12
00915	Linmark Group Ltd.	Bermuda	2002/05/10
00156	Lippo China Resources Ltd.	Hong Kong	n.a.
00226	Lippo Ltd.	Hong Kong	n.a.
00526	Lisi Group (Holdings) Ltd.	Bermuda	1995/10/11
00194	Liu Chong Hing Investment Ltd.	Hong Kong	1972/11/13
00973	L'Occitane International S.A.	Luxembourg	2010/05/07
03380	Logan Property Holdings Co. Ltd.	Cayman Islands	2013/12/20
00960	Longfor Properties Co. Ltd.	Cayman Islands	2009/11/19
02898	Longrun Tea Group Co. Ltd.	Cayman Islands	2002/09/05
03339	Lonking Holdings Ltd.	Cayman Islands	2005/11/17
00988	Loudong General Nice Resources (China) Holdings Ltd.	Bermuda	1994/01/21
00577	Louis XIII Holdings Ltd.	Bermuda	1996/04/25
00195	L'sea Resources International Holdings Ltd.	Cayman Islands	2008/11/12
00112	LT Holdings Ltd.	Hong Kong	1972/12/06
00311	Luen Thai Holdings Ltd.	Cayman Islands	2004/07/15
00590	Luk Fook Holdings (International) Ltd.	Bermuda	1997/05/06
00366	Luks Group (Vietnam Holdings) Co., Ltd.	Bermuda	1987/01/07
00348	Lung Cheong International Holdings Ltd.	Cayman Islands	1997/09/30
00255	Lung Kee (Bermuda) Holdings Ltd.	Bermuda	1993/03/05
01108	Luoyang Glass Co. Ltd. – H Shares	PRC	1994/07/08

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Code	Company name	Incorporation	Listing date
00323	Maanshan Iron & Steel Co. Ltd. – H Shares	PRC	1993/11/03
01680	Macau Legend Development Ltd.	Cayman Islands	2013/07/05
00231	Madex International (Holdings) Ltd.	Bermuda	n.a.
01633	Magic Holdings International Ltd.	Cayman Islands	2010/09/24
00201	Magnificent Estates Ltd.	Hong Kong	1972/11/17
01100	Mainland Headwear Holdings Ltd.	Bermuda	2000/12/13
00938	Man Sang International Ltd.	Bermuda	1997/09/26
01999	Man Wah Holdings Ltd.	Bermuda	2010/04/09
00894	Man Yue Technology Holdings Ltd.	Bermuda	1997/03/05
00945	Manulife Financial Corporation	Canada	1999/09/27
00848	Maoye International Holdings Ltd.	Cayman Islands	2008/05/05
00136	Mascotte Holdings Ltd.	Bermuda	1997/09/25
00905	Mastermind Capital Ltd.	Cayman Islands	1998/07/17
01005	Matrix Holdings Ltd.	Bermuda	1994/02/18
01116	Mayer Holdings Ltd.	Cayman Islands	2004/06/21
00685	Media Chinese International Ltd.	Bermuda	1991/03/22
01360	Mega Expo Holdings Ltd.	Cayman Islands	2013/11/06
00391	Mei Ah Entertainment Group Ltd.	Bermuda	1993/10/18
00953	Meike International Holdings Ltd.	Cayman Islands	2010/02/01
00158	Melbourne Enterprises Ltd.	Hong Kong	1972/08/29
06883	Melco Crown Entertainment Ltd.	Cayman Islands	2011/12/07
00200	Melco International Development Ltd.	Hong Kong	n.a.
01237	Merry Garden Holdings Ltd.	Cayman Islands	2012/07/06
01618	Metallurgical Corporation of China Ltd. – H Shares	PRC	2009/09/24
00022	Mexan Ltd.	Bermuda	1972/12/14
02282	MGM China Holdings Ltd.	Cayman Islands	2011/06/03
00853	MicroPort Scientific Corporation	Cayman Islands	2010/09/24
01021	Midas Holdings Ltd.	Singapore	2010/10/06
01172	Midas International Holdings Ltd.	Cayman Islands	1996/06/12
01200	Midland Holdings Ltd.	Bermuda	1995/06/08
00459	Midland IC&I Ltd.	Cayman Islands	2001/03/15
01555	MIE Holdings Corporation	Cayman Islands	2010/12/14
01150	Milan Station Holdings Ltd.	Cayman Islands	2011/05/23
00222	Min Xin Holdings Ltd.	Hong Kong	1982/06/28
03828	Ming Fai International Holdings Ltd.	Cayman Islands	2007/11/02
00860	Ming Fung Jewellery Group Ltd.	Cayman Islands	2002/09/03
00846	Mingfa Group (International) Co. Ltd.	Cayman Islands	2009/11/13
00233	Mingyuan Medicare Development Co. Ltd.	Bermuda	1986/03/19
00230	Minmetals Land Ltd.	Bermuda	1991/12/20
00425	Minth Group Ltd.	Cayman Islands	2005/12/01
00071	Miramar Hotel & Investment Co. Ltd.	Hong Kong	n.a.
02358	Mitsumaru East Kit (Holdings) Ltd.	Cayman Islands	2004/07/15
01208	MMG Ltd.	Hong Kong	1994/12/15
00947	MOBI Development Co., Ltd.	Cayman Islands	2009/12/17
01213	Mobicon Group Ltd.	Bermuda	2001/05/07
00919	Modern Beauty Salon Holdings Ltd.	Cayman Islands	2006/02/09
01107	Modern Land (China) Co., Ltd.	Cayman Islands	2013/07/12
00072	Modern Media Holdings Ltd.	Cayman Islands	2009/09/09
00130	Moiselle International Holdings Ltd.	Cayman Islands	2002/02/11
00276	Mongolia Energy Corporation Ltd.	Bermuda	1972/08/23
00975	Mongolian Mining Corporation	Cayman Islands	2010/10/13
00066	MTR Corporation Ltd.	Hong Kong	2000/10/05
00898	Multifield International Holdings Ltd.	Bermuda	1998/07/31
03918	NagaCorp Ltd.	Cayman Islands	2006/10/19
00680	Nan Hai Corporation Ltd.	Bermuda	1991/02/13
01229	Nan Nan Resources Enterprise Ltd.	Bermuda	1995/04/13
00553	Nanjing Panda Electronics Co. Ltd. – H Shares	PRC	1996/05/02
01708	Nanjing Sample Technology Co. Ltd. – H Shares	PRC	2004/06/09
00212	Nanyang Holdings Ltd.	Bermuda	1954/09/15
00213	National Electronics Holdings Ltd.	Bermuda	1985/02/04
01227	National Investments Fund Ltd.	Cayman Islands	2002/09/27
00157	Natural Beauty Bio-Technology Ltd.	Cayman Islands	2002/03/28
00462	Natural Dairy (NZ) Holdings Ltd.	Cayman Islands	2005/06/10
02083	Nature Flooring Holding Co. Ltd.	Cayman Islands	2011/05/26
01868	Neo-Neon Holdings Ltd.	Cayman Islands	2006/12/15
00070	Neptune Group Ltd.	Hong Kong	n.a.
00777	NetDragon Websoft Inc.	Cayman Islands	2008/06/24
00234	New Century Group Hong Kong Ltd.	Bermuda	1972/11/24
01336	New China Life Insurance Co. Ltd. – H Shares	PRC	2011/12/15
00456	New City Development Group Ltd.	Cayman Islands	1993/07/14
03989	New Environmental Energy Holdings Ltd.	Cayman Islands	2006/07/13
00360	New Focus Auto Tech Holdings Ltd.	Cayman Islands	2005/02/28
00095	New Heritage Holdings Ltd.	Cayman Islands	2005/12/02
00377	New Island Development Holdings Ltd.	Bermuda	1993/04/19
00708	New Media Group Holdings Ltd.	Hong Kong	2008/02/12
00166	New Times Energy Corporation Ltd.	Bermuda	1998/10/13
00917	New World China Land Ltd.	Cayman Islands	1999/07/16
00825	New World Department Store China Ltd.	Cayman Islands	2007/07/12
00017	New World Development Co. Ltd.	Hong Kong	1972/11/23
00055	Neway Group Holdings Ltd.	Bermuda	1992/09/10
00342	NewOcean Energy Holdings Ltd.	Bermuda	1993/03/03
01231	Newton Resources Ltd.	Cayman Islands	2011/07/04
01323	Newtree Group Holdings Ltd.	Cayman Islands	2011/01/13
00282	Next Media Ltd.	Hong Kong	n.a.
01316	Nexteer Automotive Group Ltd.	Cayman Islands	2013/10/07
01047	Ngai Hing Hong Co. Ltd.	Bermuda	1994/04/25

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Code	Company name	Incorporation	Listing date
00332	Ngai Lik Industrial Holdings Ltd.	Bermuda	1992/09/25
01246	Ngai Shun Holdings Ltd.	Cayman Islands	2013/10/16
02689	Nine Dragons Paper (Holdings) Ltd.	Bermuda	2006/03/03
02322	Noble Century Investment Holdings Ltd.	Bermuda	2003/04/09
02339	Norstar Founders Group Ltd.	Cayman Islands	2003/10/10
00061	North Asia Resources Holdings Ltd.	Bermuda	1999/07/06
00433	North Mining Shares Co. Ltd.	Bermuda	n.a.
00042	Northeast Electric Development Co. Ltd. – H Shares	PRC	1995/07/06
01048	Novo Group Ltd.	Singapore	2010/12/06
02222	NVC Lighting Holding Ltd.	Cayman Islands	2010/05/20
00659	NWS Holdings Ltd.	Bermuda	1997/04/25
01319	Oi Wah Pawnshop Credit Holdings Ltd.	Cayman Islands	2013/03/12
00426	One Media Group Ltd.	Cayman Islands	2005/10/18
00877	O-Net Communications (Group) Ltd.	Cayman Islands	2010/04/29
01140	OP Financial Investments Ltd.	Cayman Islands	2003/03/20
00810	Opes Asia Development Ltd.	Bermuda	2002/02/28
01132	Orange Sky Golden Harvest Entertainment (Holdings) Ltd.	Bermuda	1994/11/23
00316	Orient Overseas (International) Ltd.	Bermuda	1992/07/31
00430	Oriental Explorer Holdings Ltd.	Bermuda	1993/03/30
00018	Oriental Press Group Ltd.	Hong Kong	1987/08/18
00398	Oriental Watch Holdings Ltd.	Bermuda	1993/10/12
06880	OTO Holdings Ltd.	Cayman Islands	2011/12/13
03366	Overseas Chinese Town (Asia) Holdings Ltd.	Cayman Islands	2005/11/02
01174	Pacific Andes International Holdings Ltd.	Bermuda	1994/10/03
02343	Pacific Basin Shipping Ltd.	Bermuda	2004/07/14
00432	Pacific Century Premium Developments Ltd.	Bermuda	1993/09/20
00543	Pacific Online Ltd.	Cayman Islands	2007/12/18
00767	Pacific Plywood Holdings Ltd.	Bermuda	1995/11/20
01382	Pacific Textiles Holdings Ltd.	Cayman Islands	2007/05/18
01010	PacMOS Technologies Holdings Ltd.	Bermuda	1994/02/18
00239	Pak Fah Yeow International Ltd.	Bermuda	1991/12/18
02668	Pak Tak International Ltd.	Bermuda	2001/12/06
00495	Paladin Ltd.	Bermuda	1988/07/20
00617	Paliburg Holdings Ltd.	Bermuda	1993/12/17
00556	Pan Asia Environmental Protection Group Ltd.	Cayman Islands	2007/12/21
02078	PanAsialum Holdings Co. Ltd.	Cayman Islands	2013/02/05
01180	Paradise Entertainment Ltd.	Bermuda	1997/01/20
03368	Parkson Retail Group Ltd.	Cayman Islands	2005/11/30
00327	PAX Global Technology Ltd.	Bermuda	2010/12/20
01263	PC Partner Group Ltd.	Cayman Islands	2012/01/12
00008	PCCW Ltd.	Hong Kong	1994/10/18
00402	Peace Map Holding Ltd.	Cayman Islands	2006/03/14
01968	Peak Sport Products Co., Ltd.	Cayman Islands	2009/09/29
00632	Pearl Oriental Oil Ltd.	Bermuda	1993/04/30
01187	Pearl River Tyre (Holdings) Ltd.	Bermuda	1999/06/08
00676	Pegasus International Holdings Ltd.	Bermuda	1996/10/11
00618	Peking University Resources (Holdings) Co. Ltd.	Bermuda	1991/10/07
01822	Perception Digital Holdings Ltd.	Cayman Islands	2009/12/16
00725	Perennial International Ltd.	Bermuda	1996/12/30
01830	Perfect Shape (PRC) Holdings Ltd.	Cayman Islands	2012/02/10
00765	Perfectech International Holdings Ltd.	Bermuda	1992/10/23
00850	PetroAsian Energy Holdings Ltd.	Cayman Islands	2002/11/13
00857	PetroChina Co. Ltd. – H Shares	PRC	2000/04/07
01515	Phoenix Healthcare Group Co. Ltd.	Cayman Islands	2013/11/29
02008	Phoenix Satellite Television Holdings Ltd.	Cayman Islands	2000/06/30
02328	PICC Property and Casualty Co. Ltd. – H Shares	PRC	2003/11/06
00752	Pico Far East Holdings Ltd.	Cayman Islands	1992/09/28
01079	Pine Technology Holdings Ltd.	Bermuda	1999/11/26
02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	PRC	2004/06/24
00364	Ping Shan Tea Group Ltd.	Cayman Islands	2002/08/30
00224	Pioneer Global Group Ltd.	Bermuda	n.a.
00635	Playmates Holdings Ltd.	Bermuda	1994/01/06
00869	Playmates Toys Ltd.	Bermuda	2008/02/01
00379	PME Group Ltd.	Cayman Islands	2002/11/13
00221	PNG Resources Holdings Ltd.	Cayman Islands	2002/11/07
00225	Pokfulam Development Co. Ltd.	Hong Kong	1972/12/19
01141	Poly Capital Holdings Ltd.	Bermuda	1998/03/12
00119	Poly Property Group Co., Ltd.	Hong Kong	1973/08/30
00208	Polytec Asset Holdings Ltd.	Cayman Islands	1998/09/09
00589	Ports Design Ltd.	Bermuda	2003/10/31
03813	Pou Sheng International (Holdings) Ltd.	Bermuda	2008/06/06
00006	Power Assets Holdings Ltd.	Hong Kong	n.a.
01238	Powerlong Real Estate Holdings Ltd.	Cayman Islands	2009/10/14
01913	PRADA S.p.A.	Italy	2011/06/24
01259	Prince Frog International Holdings Ltd.	Cayman Islands	2011/07/15
00803	Prosperity International Holdings (H.K.) Ltd.	Bermuda	2001/08/02
00310	Prosperity Investment Holdings Ltd.	Bermuda	1992/12/21
00334	Proview International Holdings Ltd.	Bermuda	1997/06/18
02378	Prudential plc	England	2010/05/25
00626	Public Financial Holdings Ltd.	Bermuda	1991/10/03
01358	PW Medtech Group Ltd.	Cayman Islands	2013/11/08
00498	PYI Corporation Ltd.	Bermuda	1993/09/21
00516	Pyxis Group Ltd.	Bermuda	1992/09/03
01236	Qianlong Technology International Holdings Ltd.	Cayman Islands	1999/12/17
02366	Qin Jia Yuan Media Services Co. Ltd.	Cayman Islands	2004/06/30
01122	Qingling Motors Co. Ltd. – H Shares	PRC	1994/08/17

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Code	Company name	Incorporation	Listing date
03369	Qinhuangdao Port Co., Ltd. – H Shares	PRC	2013/12/12
00243	QPL International Holdings Ltd.	Bermuda	1987/01/20
01332	Qualipak International Holdings Ltd.	Bermuda	2012/07/12
01348	Quali-Smart Holdings Ltd.	Cayman Islands	2013/01/23
00952	Quam Ltd.	Bermuda	1997/09/24
03868	Qunxing Paper Holdings Co. Ltd.	Cayman Islands	2007/10/02
00901	Radford Capital Investment Ltd.	Cayman Islands	2002/02/28
00229	Raymond Industrial Ltd.	Hong Kong	1984/11/22
00802	RCG Holdings Ltd.	Bermuda	2009/02/10
00246	Real Gold Mining Ltd.	Cayman Islands	2009/02/23
02010	Real Nutriceutical Group Ltd.	Cayman Islands	2010/02/19
00078	Regal Hotels International Holdings Ltd.	Bermuda	n.a.
01997	Regent Manner International Holdings Ltd.	Cayman Islands	2007/07/10
00575	Regent Pacific Group Ltd.	Cayman Islands	1997/05/19
01387	Renhe Commercial Holdings Co. Ltd.	Cayman Islands	2008/10/22
03628	RENHENG Enterprise Holdings Ltd.	Cayman Islands	2011/11/18
00376	ReOrient Group Ltd.	Hong Kong	1987/07/17
00555	REXLot Holdings Ltd.	Bermuda	2000/09/28
00183	Richfield Group Holdings Ltd.	Cayman Islands	2007/09/05
00313	Richly Field China Development Ltd.	Bermuda	1993/01/05
01004	Rising Development Holdings Ltd.	Bermuda	1997/10/09
00281	Rivera (Holdings) Ltd.	Hong Kong	1973/01/23
01098	Road King Infrastructure Ltd.	Bermuda	1996/07/04
00888	RoadShow Holdings Ltd.	Bermuda	2001/06/28
00578	Rosan Resources Holdings Ltd.	Bermuda	1997/05/15
01189	Rosedale Hotel Holdings Ltd.	Bermuda	1997/10/06
01198	Royale Furniture Holdings Ltd.	Cayman Islands	2002/05/15
02288	Rykadan Capital Ltd.	Cayman Islands	2009/08/21
00251	S E A Holdings Ltd.	Bermuda	1973/10/03
01255	S. Culture International Holdings Ltd.	Cayman Islands	2013/07/11
01184	S.A.S. Dragon Holdings Ltd.	Bermuda	1994/10/17
00178	Sa Sa International Holdings Ltd.	Cayman Islands	1997/06/13
00237	Safety Godown Co. Ltd.	Hong Kong	1973/01/09
00451	Same Time Holdings Ltd.	Bermuda	1992/03/25
00531	Samson Holding Ltd.	Cayman Islands	2005/11/17
00731	Samson Paper Holdings Ltd.	Bermuda	1995/12/01
01910	Samsonite International S.A.	Luxembourg	2011/06/16
00236	San Miguel Brewery Hong Kong Ltd.	Hong Kong	n.a.
00482	Sandmartin International Holdings Ltd.	Bermuda	2005/05/12
01928	Sands China Ltd.	Cayman Islands	2009/11/30
00631	Sany Heavy Equipment International Holdings Co. Ltd.	Cayman Islands	2009/11/25
01768	Sateri Holdings Ltd.	Bermuda	2010/12/08
06488	SBI Holdings, Inc. – DR	Japan	2011/04/14
00583	SCMP Group Ltd.	Bermuda	1990/06/29
01399	Scud Group Ltd.	Cayman Islands	2006/12/21
00491	See Corporation Ltd.	Bermuda	1992/06/10
00205	SEEC Media Group Ltd.	Cayman Islands	1993/01/05
00981	Semiconductor Manufacturing International Corporation	Cayman Islands	2004/03/18
01812	Shandong Chenming Paper Holdings Ltd. – H Shares	PRC	2008/06/18
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	PRC	2007/02/07
01066	Shandong Weigao Group Medical Polymer Co. Ltd. – H Shares	PRC	2004/02/27
00719	Shandong Xinhua Pharmaceutical Co. Ltd. – H Shares	PRC	1996/12/31
02727	Shanghai Electric Group Co. Ltd. – H Shares	PRC	2005/04/28
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	PRC	2012/10/30
01349	Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	PRC	2002/08/13
00363	Shanghai Industrial Holdings Ltd.	Hong Kong	1996/05/30
00563	Shanghai Industrial Urban Development Group Ltd.	Bermuda	1993/09/10
00770	Shanghai International Shanghai Growth Investment Ltd.	Cayman Islands	1993/11/30
02006	Shanghai Jin Jiang Int'l Hotels (Group) Co. Ltd. – H Shares	PRC	2006/12/15
02607	Shanghai Pharmaceuticals Holding Co., Ltd. – H Shares	PRC	2011/05/20
02345	Shanghai Prime Machinery Co. Ltd. – H Shares	PRC	2006/04/27
01103	Shanghai Tonva Petrochemcial Co., Ltd. – H Shares	PRC	2005/07/13
00755	Shanghai Zendai Property Ltd.	Bermuda	1992/10/27
00069	Shangri-La Asia Ltd.	Bermuda	1993/06/17
01335	Sheen Tai Holdings Group Co. Ltd.	Cayman Islands	2012/07/13
00851	Sheng Yuan Holdings Ltd.	Bermuda	1998/12/02
01080	Shengli Oil & Gas Pipe Holdings Ltd.	Cayman Islands	2009/12/18
00829	Shenguan Holdings (Group) Ltd.	Cayman Islands	2009/10/13
00300	Shenji Group Kunming Machine Tool Co. Ltd. – H Shares	PRC	1993/12/07
00747	Shenyang Public Utility Holdings Co. Ltd. – H Shares	PRC	1999/12/16
00218	Shenyin Wanguo (H.K.) Ltd.	Hong Kong	n.a.
00548	Shenzhen Expressway Co. Ltd. – H Shares	PRC	1997/03/12
00152	Shenzhen International Holdings Ltd.	Bermuda	1972/09/25
00604	Shenzhen Investment Ltd.	Hong Kong	1997/03/07
02313	Shenzhou International Group Holdings Ltd.	Cayman Islands	2005/11/24
01831	ShiFang Holding Ltd.	Cayman Islands	2010/12/03
00813	Shimao Property Holdings Ltd.	Cayman Islands	2006/07/05
02728	Shinhint Acoustic Link Holdings Ltd.	Cayman Islands	2005/07/14
00312	Shirble Department Store Holdings (China) Ltd.	Cayman Islands	2010/11/17
00666	SHK Hong Kong Industries Ltd.	Hong Kong	1990/12/21
00103	Shougang Concord Century Holdings Ltd.	Hong Kong	1992/04/09
00730	Shougang Concord Grand (Group) Ltd.	Bermuda	1991/08/08
00697	Shougang Concord International Enterprises Co. Ltd.	Hong Kong	1991/04/30
00521	Shougang Concord Technology Holdings Ltd.	Hong Kong	1988/12/23
00639	Shougang Fushan Resources Group Ltd.	Hong Kong	1990/10/02
01241	Shuanghua Holdings Ltd.	Cayman Islands	2011/06/30

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Code	Company name	Incorporation	Listing date
00272	Shui On Land Ltd.	Cayman Islands	2006/10/04
00650	Shun Cheong Holdings Ltd.	Bermuda	1992/10/07
00253	Shun Ho Resources Holdings Ltd.	Hong Kong	n.a.
00219	Shun Ho Technology Holdings Ltd.	Hong Kong	1973/05/10
00242	Shun Tak Holdings Ltd.	Hong Kong	1973/01/25
01165	Shunfeng Photovoltaic International Ltd.	Cayman Islands	2011/07/13
01142	Siberian Mining Group Co. Ltd.	Cayman Islands	2002/11/08
00107	Sichuan Expressway Co. Ltd. – H Shares	PRC	1997/10/07
00460	Sihuan Pharmaceutical Holdings Group Ltd.	Bermuda	2010/10/28
01863	Sijia Group Co. Ltd.	Cayman Islands	2010/04/29
00886	Silver Base Group Holdings Ltd.	Cayman Islands	2009/04/08
00171	Silver Grant International Industries Ltd.	Hong Kong	n.a.
01616	Silverman Holdings Ltd.	Cayman Islands	2012/07/12
02000	SIM Technology Group Ltd.	Bermuda	2005/06/30
00993	Simsen International Corporation Ltd.	Bermuda	1994/02/16
00244	Sincere Co. Ltd., The	Hong Kong	n.a.
00444	Sincere Watch (Hong Kong) Ltd.	Cayman Islands	2005/10/17
01105	Sing Tao News Corporation Ltd.	Bermuda	1996/07/10
00716	Singamas Container Holdings Ltd.	Hong Kong	1993/07/08
01177	Sino Biopharmaceutical Ltd.	Cayman Islands	2003/12/08
00039	Sino Distillery Group Ltd.	Cayman Islands	2001/01/16
00395	Sino Dragon New Energy Holdings Ltd.	Cayman Islands	2002/10/28
00361	Sino Golf Holdings Ltd.	Bermuda	2000/12/20
01106	Sino Haijing Holdings Ltd.	Cayman Islands	2003/06/25
01663	Sino Harbour Property Group Ltd.	Bermuda	2011/07/22
01221	Sino Hotels (Holdings) Ltd.	Cayman Islands	1995/03/08
00083	Sino Land Co. Ltd.	Hong Kong	1981/04/08
00702	Sino Oil and Gas Holdings Ltd.	Bermuda	2000/02/09
00766	Sino Prosper State Gold Resources Holdings Ltd.	Cayman Islands	2002/05/15
00223	Sino Resources Group Ltd.	Cayman Islands	2002/04/10
00299	SinoCom Software Group Ltd.	Cayman Islands	2004/04/30
00476	Sinocop Resources (Holdings) Ltd.	Bermuda	1996/05/24
00297	Sinofert Holdings Ltd.	Bermuda	1996/09/30
00250	Sino-i Technology Ltd.	Hong Kong	n.a.
01168	Sinolink Worldwide Holdings Ltd.	Bermuda	1998/06/08
00623	SinoMedia Holding Ltd.	Hong Kong	2008/07/08
03377	Sino-Ocean Land Holdings Ltd.	Hong Kong	2007/09/28
02386	SINOPEC Engineering (Group) Co., Ltd. – H Shares	PRC	2013/05/23
00934	Sinopec Kantons Holdings Ltd.	Bermuda	1999/06/25
00338	Sinopec Shanghai Petrochemical Co. Ltd. – H Shares	PRC	1993/07/26
01033	Sinopec Yizheng Chemical Fibre Co. Ltd. – H Shares	PRC	1994/03/29
01099	Sinopharm Group Co. Ltd. – H Shares	PRC	2009/09/23
00729	Sinopoly Battery Ltd.	Bermuda	1991/10/03
01020	Sinoref Holdings Ltd.	Cayman Islands	2010/07/07
01297	Sinosoft Technology Group Ltd.	Cayman Islands	2013/07/09
00724	Sino-Tech International Holdings Ltd.	Bermuda	2000/07/03
00598	Sinotrans Ltd. – H Shares	PRC	2003/02/13
00368	Sinotrans Shipping Ltd.	Hong Kong	2007/11/23
03808	Sinotruk (Hong Kong) Ltd.	Hong Kong	2007/11/28
00529	SiS International Holdings Ltd.	Bermuda	1992/08/18
01308	SITC International Holdings Co. Ltd.	Cayman Islands	2010/10/06
01023	Sitoy Group Holdings Ltd.	Cayman Islands	2011/12/06
00880	SJM Holdings Ltd.	Hong Kong	2008/07/16
00059	Skyfame Realty (Holdings) Ltd.	Bermuda	1993/11/16
00751	Skyworth Digital Holdings Ltd.	Bermuda	2000/04/07
00315	SmarTone Telecommunications Holdings Ltd.	Bermuda	1996/10/31
00198	SMI Corporation Ltd.	Bermuda	1973/02/07
00983	SOCAM Development Ltd.	Bermuda	1997/02/03
00410	SOHO China Ltd.	Cayman Islands	2007/10/08
00757	Solargiga Energy Holdings Ltd.	Cayman Islands	2008/03/31
01166	Solartech International Holdings Ltd.	Bermuda	1996/12/12
02878	Solomon Systech (International) Ltd.	Cayman Islands	2004/04/08
00967	Sound Global Ltd.	Singapore	2010/09/30
00878	Soundwill Holdings Ltd.	Bermuda	1997/03/21
00413	South China (China) Ltd.	Cayman Islands	1987/06/16
00619	South China Financial Holdings Ltd.	Hong Kong	1993/07/16
00265	South China Holdings Ltd.	Cayman Islands	n.a.
00726	South East Group Ltd.	Bermuda	1991/07/25
00076	South Sea Petroleum Holdings Ltd.	Hong Kong	1984/09/24
00252	Southeast Asia Properties & Finance Ltd.	Hong Kong	n.a.
01878	SouthGobi Resources Ltd.	Canada	2010/01/29
00970	Sparkle Roll Group Ltd.	Bermuda	1997/09/18
00540	Speedy Global Holdings Ltd.	Cayman Islands	2013/01/15
01700	Springland International Holdings Ltd.	Cayman Islands	2010/10/21
01251	SPT Energy Group Inc.	Cayman Islands	2011/12/23
01207	SRE Group Ltd.	Bermuda	1999/12/10
02888	Standard Chartered PLC	England	2002/10/31
00485	Starlight International Holdings Ltd.	Bermuda	1988/04/12
00403	Starlite Holdings Ltd.	Bermuda	1993/03/03
01836	Stella International Holdings Ltd.	Cayman Islands	2007/07/06
00084	Stelux Holdings International Ltd.	Bermuda	1972/10/02
00852	Strong Petrochemical Holdings Ltd.	Cayman Islands	2009/01/12
00211	Styland Holdings Ltd.	Bermuda	1991/12/05
00487	Success Universe Group Ltd.	Bermuda	1988/05/03
00912	Suga International Holdings Ltd.	Bermuda	2002/09/18
00102	Summit Ascent Holdings Ltd.	Bermuda	1994/01/10

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Code	Company name	Incorporation	Listing date
01089	Sumpo Food Holdings Ltd.	Cayman Islands	2011/01/11
06808	Sun Art Retail Group Ltd.	Hong Kong	2011/07/27
01383	Sun Century Group Ltd.	Cayman Islands	2007/02/22
00365	Sun East Technology (Holdings) Ltd.	Bermuda	2000/10/16
00125	Sun Hing Vision Group Holdings Ltd.	Bermuda	1999/05/25
00086	Sun Hung Kai & Co. Ltd.	Hong Kong	1983/10/03
00016	Sun Hung Kai Properties Ltd.	Hong Kong	1972/09/08
00580	Sun.King Power Electronics Group Ltd.	Cayman Islands	2010/10/13
01918	Sunac China Holdings Ltd.	Cayman Islands	2010/10/07
01063	Suncorp Technologies Ltd.	Bermuda	1994/05/10
01240	Sunley Holdings Ltd.	Cayman Islands	2012/10/18
02336	Sunlink International Holdings Ltd.	Cayman Islands	2003/03/03
02382	Sunny Optical Technology (Group) Co. Ltd.	Cayman Islands	2007/06/15
02012	Sunshine Oilsands Ltd.	Canada	2012/03/01
00188	Sunwah Kingsway Capital Holdings Ltd.	Bermuda	2000/09/15
00058	Sunway International Holdings Ltd.	Bermuda	1999/09/03
01228	Superb Summit International Group Ltd.	Cayman Islands	2001/09/18
00723	Sustainable Forest Holdings Ltd.	Bermuda	1991/07/18
00019	Swire Pacific Ltd.	Hong Kong	n.a.
01972	Swire Properties Ltd.	Hong Kong	2012/01/18
01223	Symphony Holdings Ltd.	Bermuda	1995/03/01
02340	Synergis Holdings Ltd.	Bermuda	2003/10/09
01613	Synertone Communication Corporation	Cayman Islands	2012/04/18
01991	Ta Yang Group Holdings Ltd.	Cayman Islands	2007/06/08
00928	Tack Fiori International Group Ltd.	Cayman Islands	2002/04/29
00088	Tai Cheung Holdings Ltd.	Bermuda	1972/12/11
00146	Tai Ping Carpets International Ltd.	Bermuda	n.a.
00089	Tai Sang Land Development Ltd.	Hong Kong	1973/02/21
00918	Takson Holdings Ltd.	Bermuda	1997/10/15
00760	Talent Property Group Ltd.	Bermuda	1995/08/08
00693	Tan Chong International Ltd.	Bermuda	1998/07/07
01181	Tang Palace (China) Holdings Ltd.	Cayman Islands	2011/04/19
00812	Tanrich Financial Holdings Ltd.	Bermuda	2002/01/30
00573	Tao Heung Holdings Ltd.	Cayman Islands	2007/06/29
00621	Taung Gold International Ltd.	Bermuda	1995/12/18
00515	TC Orient Lighting Holdings Ltd.	Cayman Islands	2006/06/23
01136	TCC International Holdings Ltd.	Cayman Islands	1997/10/06
02618	TCL Communication Technology Holdings Ltd.	Cayman Islands	2004/09/27
01070	TCL Multimedia Technology Holdings Ltd.	Cayman Islands	1999/11/26
03823	Tech Pro Technology Development Ltd.	Cayman Islands	2007/09/06
01298	Techcomp (Holdings) Ltd.	Bermuda	2011/12/21
01206	Technovator International Ltd.	Singapore	2011/10/27
00669	Techtronic Industries Co. Ltd.	Hong Kong	1990/12/17
01143	Telefield International (Holdings) Ltd.	Cayman Islands	2011/01/27
00511	Television Broadcasts Ltd.	Hong Kong	1988/11/23
00700	Tencent Holdings Ltd.	Cayman Islands	2004/06/16
06868	Tenfu (Cayman) Holdings Co. Ltd.	Cayman Islands	2011/09/26
01219	Tenwow International Holdings Ltd.	Cayman Islands	2013/09/17
00093	Termbray Industries International (Holdings) Ltd.	Bermuda	1991/10/23
02178	Termbray Petro-king Oilfield Services Ltd.	British Virgin Islands	2013/03/06
00277	Tern Properties Co. Ltd.	Hong Kong	n.a.
02678	Texhong Textile Group Ltd.	Cayman Islands	2004/12/09
00321	Texwinca Holdings Ltd.	Bermuda	1992/08/06
01339	The People's Insurance Co. (Group) of China Ltd. – H Shares	PRC	2012/12/07
00990	Theme International Holdings Ltd.	Bermuda	1994/01/25
00028	Tian An China Investments Co. Ltd.	Hong Kong	1987/03/18
02118	Tian Shan Development (Holding) Ltd.	Cayman Islands	2010/07/15
00266	Tian Teck Land Ltd.	Hong Kong	n.a.
00455	Tianda Pharmaceuticals Ltd.	Cayman Islands	1992/03/30
00609	Tiande Chemical Holdings Ltd.	Cayman Islands	2006/10/27
00826	Tiangong International Co. Ltd.	Cayman Islands	2007/07/26
01065	Tianjin Capital Environmental Protection Group Co. Ltd.-H Sh	PRC	1994/05/17
00882	Tianjin Development Holdings Ltd.	Hong Kong	1997/12/10
01265	Tianjin Jinran Public Utilities Co. Ltd. – H Shares	PRC	2004/01/09
03382	Tianjin Port Development Holdings Ltd.	Cayman Islands	2006/05/24
00819	Tianneng Power International Ltd.	Cayman Islands	2007/06/11
01115	Tibet 5100 Water Resources Holdings Ltd.	Cayman Islands	2011/06/30
02033	Time Watch Investments Ltd.	Cayman Islands	2013/02/05
01233	Times Property Holdings Ltd.	Cayman Islands	2013/12/11
00322	Tingyi (Cayman Islands) Holding Corp.	Cayman Islands	1996/02/05
01192	Titan Petrochemicals Group Ltd.	Bermuda	1998/06/17
02283	TK Group (Holdings) Ltd.	Cayman Islands	2013/12/20
02383	TOM Group Ltd.	Cayman Islands	2004/08/04
00258	Tomson Group Ltd.	Cayman Islands	n.a.
01666	Tong Ren Tang Technologies Co. Ltd. – H Shares	PRC	2000/10/31
00698	Tongda Group Holdings Ltd.	Cayman Islands	2000/12/22
01249	Tonly Electronics Holdings Ltd.	Cayman Islands	2013/08/15
00333	Top Form International Ltd.	Bermuda	1991/12/16
03688	Top Spring International Holdings Ltd.	Cayman Islands	2011/03/23
02323	Topsearch International (Holdings) Ltd.	Bermuda	2002/06/21
03886	Town Health International Investments Ltd.	Bermuda	2000/10/18
01083	Towngas China Co. Ltd.	Cayman Islands	2005/12/08
00903	TPV Technology Ltd.	Bermuda	1999/10/08
00536	Tradelink Electronic Commerce Ltd.	Hong Kong	2005/10/28
00062	Transport International Holdings Ltd.	Bermuda	n.a.
01235	Travel Expert (Asia) Enterprises Ltd.	Cayman Islands	2011/09/29

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Code	Company name	Incorporation	Listing date
00696	TravelSky Technology Ltd. – H Shares	PRC	2001/02/07
01300	Trigiant Group Ltd.	Cayman Islands	2012/03/19
00891	Trinity Ltd.	Bermuda	2009/11/03
00458	Tristate Holdings Ltd.	Bermuda	1988/01/06
02468	Trony Solar Holdings Co. Ltd.	Cayman Islands	2010/10/07
00732	Truly International Holdings Ltd.	Cayman Islands	1991/07/29
00206	TSC Group Holdings Ltd.	Cayman Islands	2005/11/28
00417	Tse Sui Luen Jewellery (International) Ltd.	Bermuda	1987/06/30
00247	Tsim Sha Tsui Properties Ltd.	Hong Kong	1972/07/20
00168	Tsingtao Brewery Co. Ltd. – H Shares	PRC	1993/07/15
01314	Tsui Wah Holdings Ltd.	Cayman Islands	2012/11/26
00518	Tungtex (Holdings) Co. Ltd.	Hong Kong	1988/12/15
00687	Tysan Holdings Ltd.	Bermuda	1991/03/26
00768	UBA Investments Ltd.	Cayman Islands	2000/01/18
00620	UDL Holdings Ltd.	Bermuda	1991/09/30
02327	U-Home Group Holdings Ltd.	Bermuda	2003/10/14
00690	Uni-Bio Science Group Ltd.	Cayman Islands	2001/11/12
00220	Uni-President China Holdings Ltd.	Cayman Islands	2007/12/17
00486	United Company RUSAL Plc	Jersey	2010/01/27
00467	United Energy Group Ltd.	Bermuda	1992/04/08
00399	United Gene High-Tech Group Ltd.	Bermuda	2000/08/23
03933	United Laboratories International Holdings Ltd., The	Cayman Islands	2007/06/15
00176	United Pacific Industries Ltd.	Bermuda	1994/04/28
00913	Unity Investments Holdings Ltd.	Cayman Islands	1999/10/27
01026	Universal Technologies Holdings Ltd.	Cayman Islands	2001/10/26
01046	Universe International Holdings Ltd.	Bermuda	1999/07/20
00307	Up Energy Development Group Ltd.	Bermuda	1992/12/02
00335	Upbest Group Ltd.	Cayman Islands	2000/10/18
00627	U-RIGHT International Holdings Ltd.	Bermuda	2000/11/13
01002	V.S. International Group Ltd.	Cayman Islands	2002/02/08
06210	Vale S.A. – DR	Brazil	2010/12/08
00821	Value Convergence Holdings Ltd.	Hong Kong	2001/04/09
00806	Value Partners Group Ltd.	Cayman Islands	2007/11/22
01001	Van Shung Chong Holdings Ltd.	Bermuda	1994/02/18
01036	Vanke Property (Overseas) Ltd.	Cayman Islands	1996/11/08
00015	Vantage International (Holdings) Ltd.	Bermuda	2000/09/08
00710	Varitronix International Ltd.	Bermuda	1991/07/01
02317	Vedan International (Holdings) Ltd	Cayman Islands	2003/06/27
01173	Veeko International Holdings Ltd.	Cayman Islands	1999/04/19
00539	Victory City International Holdings Ltd.	Bermuda	1996/05/13
01139	Victory Group Ltd.	Bermuda	1998/02/16
00422	Vietnam Manufacturing and Export Processing (Holdings) Ltd.	Cayman Islands	2007/12/20
03331	Vinda International Holdings Ltd.	Cayman Islands	2007/07/10
01315	Vision Fame International Holding Ltd.	Cayman Islands	2012/01/18
00862	Vision Values Holdings Ltd.	Cayman Islands	1998/10/14
00345	Vitasoy International Holdings Ltd.	Hong Kong	1994/03/30
01178	Vitop Bioenergy Holdings Ltd.	Cayman Islands	2003/02/10
00082	VODone Ltd.	Bermuda	1991/10/25
00318	Vongroup Ltd.	Cayman Islands	2001/10/09
00856	VST Holdings Ltd.	Cayman Islands	2002/05/09
00303	VTech Holdings Ltd.	Bermuda	1992/11/05
00727	VXL Capital Ltd.	Hong Kong	1998/01/16
00278	Wah Ha Realty Co. Ltd.	Hong Kong	1972/04/24
01013	Wai Chun Group Holdings Ltd.	Bermuda	1997/02/03
00660	Wai Chun Mining Industry Group Co. Ltd.	Cayman Islands	1993/02/05
00610	Wai Kee Holdings Ltd.	Bermuda	1992/08/28
00897	Wai Yuen Tong Medicine Holdings Ltd.	Bermuda	1997/10/31
01386	Walker Group Holdings Ltd.	Cayman Islands	2007/06/07
00169	Wanda Commercial Properties (Group) Co., Ltd.	Bermuda	2002/06/04
01222	Wang On Group Ltd.	Bermuda	1995/02/28
03939	Wanguo International Mining Group Ltd.	Cayman Islands	2012/07/10
00401	Wanjia Group Holdings Ltd.	Cayman Islands	2013/10/11
00151	Want Want China Holdings Ltd.	Cayman Islands	2008/03/26
00607	Warderly International Holdings Ltd.	Cayman Islands	2002/12/18
03393	Wasion Group Holdings Ltd.	Cayman Islands	2005/12/19
01161	Water Oasis Group Ltd.	Cayman Islands	2002/03/11
02338	Weichai Power Co. Ltd. – H Shares	PRC	2004/03/11
02698	Weiqiao Textile Co. Ltd. – H Shares	PRC	2003/09/24
00382	Welling Holding Ltd.	Hong Kong	1993/12/20
02233	West China Cement Ltd.	Jersey	2010/08/23
00004	Wharf (Holdings) Ltd., The	Hong Kong	n.a.
00020	Wheelock and Co. Ltd.	Hong Kong	n.a.
00854	Willas-Array Electronics (Holdings) Ltd.	Bermuda	2013/12/06
00273	Willie International Holdings Ltd.	Hong Kong	n.a.
03322	Win Hanverky Holdings Ltd.	Cayman Islands	2006/09/06
00287	Winfair Investment Co. Ltd.	Hong Kong	n.a.
00063	Winfoong International Ltd.	Bermuda	1986/02/26
00302	Wing Hang Bank, Ltd.	Hong Kong	1993/07/02
00876	Wing Lee Holdings Ltd.	Bermuda	1997/04/10
00864	Wing Lee Property Investments Ltd.	Bermuda	2013/03/19
00289	Wing On Company International Ltd.	Bermuda	n.a.
00369	Wing Tai Properties Ltd.	Bermuda	1973/02/07
06838	Winox Holdings Ltd.	Cayman Islands	2011/07/20
01733	Winsway Coking Coal Holdings Ltd.	British Virgin Islands	2010/10/11
01661	Wisdom Holdings Group	Cayman Islands	2013/07/11
02236	Wison Engineering Services Co. Ltd.	Cayman Islands	2012/12/28

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Code	Company name	Incorporation	Listing date
01260	Wonderful Sky Financial Group Holdings Ltd.	Cayman Islands	2012/03/30
00099	Wong's International Holdings Ltd.	Bermuda	1983/12/15
00532	Wong's Kong King International (Holdings) Ltd.	Bermuda	1989/07/10
00713	World Houseware (Holdings) Ltd.	Cayman Islands	1993/04/15
01282	World Wide Touch Technology (Holdings) Ltd.	Cayman Islands	2010/12/15
00305	Wuling Motors Holdings Ltd.	Bermuda	1992/11/23
01025	Wumart Stores, Inc. – H Shares	PRC	2003/11/21
01889	Wuyi International Pharmaceutical Co. Ltd.	Cayman Islands	2007/02/01
01369	Wuzhou International Holdings Ltd.	Cayman Islands	2013/06/13
01128	Wynn Macau, Ltd.	Cayman Islands	2009/10/09
03378	Xiamen International Port Co. Ltd. – H Shares	PRC	2005/12/19
00871	Xiangyu Dredging Holdings Ltd.	Cayman Islands	2011/06/20
03666	Xiao Nan Guo Restaurants Holdings Ltd.	Cayman Islands	2012/07/04
03663	Xiezhong International Holdings Ltd.	Cayman Islands	2012/06/18
01148	Xinchen China Power Holdings Ltd.	Cayman Islands	2013/03/13
01899	Xingda International Holdings Ltd.	Cayman Islands	2006/12/21
00098	Xingfa Aluminium Holdings Ltd.	Cayman Islands	2008/03/31
00505	Xingye Copper International Group Ltd.	Cayman Islands	2007/12/27
00309	Xinhua News Media Holdings Ltd.	Cayman Islands	2003/07/25
00811	Xinhua Winshare Publishing and Media Co., Ltd. – H Shares	PRC	2007/05/30
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	PRC	2010/10/08
00840	Xinjiang Tianye Water Saving Irrigation System Co Ltd -H Shs	PRC	2008/01/24
03833	Xinjiang Xinxin Mining Industry Co., Ltd. – H Shares	PRC	2007/10/12
00868	Xinyi Glass Holdings Ltd.	Cayman Islands	2005/02/03
00968	Xinyi Solar Holdings Ltd.	Cayman Islands	2013/12/12
02088	Xiwang Property Holdings Co. Ltd.	Bermuda	2005/12/09
01266	Xiwang Special Steel Co. Ltd.	Hong Kong	2012/02/23
01368	Xtep International Holdings Ltd.	Cayman Islands	2008/06/03
00075	Y. T. Realty Group Ltd.	Bermuda	1984/11/12
00346	Yanchang Petroleum International Ltd.	Bermuda	2001/04/19
00294	Yangtzekiang Garment Ltd.	Hong Kong	n.a.
02218	Yantai North Andre Juice Co. Ltd. – H Shares	PRC	2003/04/22
01171	Yanzhou Coal Mining Co. Ltd. – H Shares	PRC	1998/04/01
01230	Yashili International Holdings Ltd.	Cayman Islands	2010/11/01
00406	Yau Lee Holdings Ltd.	Bermuda	1991/08/29
00259	Yeebo (International Holdings) Ltd.	Bermuda	1993/09/01
02393	Yestar International Holdings Co. Ltd.	Cayman Islands	2013/10/11
00375	YGM Trading Ltd.	Hong Kong	1988/01/25
02213	Yi Hua Department Store Holdings Ltd.	Cayman Islands	2013/12/11
02168	Yingde Gases Group Co. Ltd.	Cayman Islands	2009/10/08
00408	Yip's Chemical Holdings Ltd.	Cayman Islands	1991/08/22
03608	Yongsheng Advanced Materials Co. Ltd.	Cayman Islands	2013/11/27
02788	Yorkey Optical International (Cayman) Ltd.	Cayman Islands	2006/02/10
02268	Youyuan International Holdings Ltd.	Cayman Islands	2010/05/27
02789	Yuanda China Holdings Ltd.	Cayman Islands	2011/05/17
01431	YuanShengTai Dairy Farm Ltd.	Bermuda	2013/11/26
00629	Yue Da Mining Holdings Ltd.	Cayman Islands	2001/11/29
00551	Yue Yuen Industrial (Holdings) Ltd.	Bermuda	1992/07/02
01191	Yueshou Environmental Holdings Ltd.	Bermuda	1994/10/26
00123	Yuexiu Property Co. Ltd.	Hong Kong	1992/12/15
01052	Yuexiu Transport Infrastructure Ltd.	Bermuda	1997/01/30
00613	Yugang International Ltd.	Bermuda	1993/11/12
00096	Yusei Holdings Ltd.	Cayman Islands	2005/10/13
01628	Yuzhou Properties Co. Ltd.	Cayman Islands	2009/11/02
02098	Zall Development Group Ltd.	Cayman Islands	2011/07/13
01818	Zhaojin Mining Industry Co. Ltd. – H Shares	PRC	2006/12/08
00576	Zhejiang Expressway Co. Ltd. – H Shares	PRC	1997/05/15
01057	Zhejiang Shibao Co. Ltd. – H Shares	PRC	2006/05/16
03363	Zhengye International Holdings Co. Ltd.	Bermuda	2011/06/03
00564	Zhengzhou Coal Mining Machinery Group Co. Ltd. – H Shares	PRC	2012/12/05
01220	Zhidao International (Holdings) Ltd.	Bermuda	1997/09/23
00672	Zhong An Real Estate Ltd.	Cayman Islands	2007/11/13
00475	Zhong Fa Zhan Holdings Ltd.	Cayman Islands	2007/04/17
01064	Zhong Hua International Holdings Ltd.	Bermuda	1997/10/13
00909	Zhongda International Holdings Ltd.	Bermuda	2001/11/01
00881	Zhongsheng Group Holdings Ltd.	Cayman Islands	2010/03/26
02379	Zhongtian International Ltd.	Cayman Islands	2004/09/22
03633	Zhongyu Gas Holdings Ltd.	Cayman Islands	2001/06/05
01176	Zhuguang Holdings Group Co. Ltd.	Bermuda	1996/12/09
00908	Zhuhai Holdings Investment Group Ltd.	Bermuda	1998/05/26
03898	Zhuzhou CSR Times Electric Co., Ltd. – H Shares	PRC	2006/12/20
02899	Zijin Mining Group Co., Ltd. – H Shares	PRC	2003/12/23
00948	Z-Obee Holdings Ltd.	Bermuda	2010/03/01
01157	Zoomlion Heavy Industry Science and Technology Co., Ltd.-Hsh	PRC	2010/12/23
00763	ZTE Corporation – H Shares	PRC	2004/12/09

n.a. Information is not available

List of listed companies on GEM

End of 2013

Code	Company name	Incorporation	Listing date
08131	abc Multiactive Ltd.	Bermuda	2001/01/31
08061	AcrossAsia Ltd.	Cayman Islands	2000/07/13
08210	Advanced Card Systems Holdings Ltd.	Cayman Islands	2003/11/10
08279	AGTech Holdings Ltd.	Bermuda	2004/01/19
08298	AKM Industrial Co. Ltd.	Hong Kong	2004/08/18
08295	Asian Capital Holdings Ltd.	Cayman Islands	2010/06/18
08025	Asian Capital Resources (Holdings) Ltd.	Cayman Islands	1999/12/16
08148	Aurum Pacific (China) Group Ltd.	Cayman Islands	2004/01/09
08095	Beijing Beida Jade Bird Universal Sci-Tech Co. Ltd. – H Shs	PRC	2000/07/27
08138	Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	Hong Kong	2013/05/07
08220	Bingo Group Holdings Ltd.	Cayman Islands	2002/11/12
08035	Binhai Investment Co. Ltd.	Bermuda	2000/03/16
08247	Biosino Bio-Technology and Science Incorporation – H Shares	PRC	2006/02/27
08219	Branding China Group Ltd.	Cayman Islands	2012/04/27
08312	Brilliance Worldwide Holdings Ltd.	Cayman Islands	2010/11/25
08320	C Cheng Holdings Ltd.	Cayman Islands	2013/12/20
08235	CCID Consulting Co. Ltd. – H Shares	PRC	2002/12/12
08212	Celebrate International Holdings Ltd.	Cayman Islands	2002/05/14
08020	Chanceton Financial Group Ltd.	Cayman Islands	2011/10/12
08016	Changhong Jiahua Holdings Ltd.	Bermuda	2000/01/24
08087	China 33 Media Group Ltd.	Cayman Islands	2011/02/28
08078	China 3D Digital Entertainment Ltd.	Bermuda	2000/12/19
08186	China Asean Resources Ltd.	Bermuda	2001/12/31
08090	China Assurance Finance Group Ltd.	Cayman Islands	2012/01/06
08321	China Automotive Interior Decoration Holdings Ltd.	Cayman Islands	2010/09/29
08255	China Binary Sale Technology Ltd.	Cayman Islands	2013/12/04
08129	China Bio Cassava Holdings Ltd.	Cayman Islands	2001/05/18
08158	China Bio-Med Regeneration Technology Ltd.	Cayman Islands	2001/07/18
08206	China Communication Telecom Services Co. Ltd.	Cayman Islands	2002/11/15
08175	China Digital Culture (Group) Ltd.	Bermuda	2003/02/25
08166	China Eco-Farming Ltd.	Bermuda	2002/02/05
08055	China E-Learning Group Ltd.	Cayman Islands	2001/12/05
08116	China Fortune Investments (Holding) Ltd.	Cayman Islands	2000/07/25
08128	China Ground Source Energy Industry Group Ltd.	Cayman Islands	2001/11/30
08178	China Information Technology Development Ltd.	Cayman Islands	2001/12/11
08083	China Innovationpay Group Ltd.	Bermuda	2000/04/14
08270	China Leason CBM & Shale Gas Group Co. Ltd.	Cayman Islands	2003/08/12
08172	China Media and Films Holdings Ltd.	Bermuda	2002/03/26
08250	China Natural Investment Co. Ltd.	Cayman Islands	2004/06/18
08071	China Netcom Technology Holdings Ltd.	Cayman Islands	2001/03/02
08306	China Nonferrous Metals Co. Ltd.	Bermuda	2005/02/28
08117	China Primary Resources Holdings Ltd.	Cayman Islands	2001/12/13
08111	China Technology Solar Power Holdings Ltd.	Cayman Islands	2001/01/03
08171	China Trends Holdings Ltd.	Cayman Islands	2002/07/31
08232	China U-Ton Holdings Ltd.	Cayman Islands	2012/06/12
08156	China Vanguard Group Ltd.	Cayman Islands	2002/11/12
08009	Chinese Energy Holdings Ltd.	Hong Kong	2000/03/31
08272	Chinese Food and Beverage Group Ltd.	Cayman Islands	2003/06/27
08089	Chinese Strategic Holdings Ltd.	Bermuda	2000/05/18
08233	CIG Yangtze Ports PLC	Cayman Islands	2005/09/16
08098	CL Group (Holdings) Ltd.	Cayman Islands	2011/03/08
08356	CNC Holdings Ltd.	Cayman Islands	2010/08/30
08153	Code Agriculture (Holdings) Ltd.	Bermuda	2001/03/30
08190	Combest Holdings Ltd.	Cayman Islands	2002/02/08
08081	Computech Holdings Ltd.	Cayman Islands	2000/06/19
08109	Creative Energy Solutions Holdings Ltd.	Bermuda	2002/01/31
08207	Credit China Holdings Ltd.	Cayman Islands	2010/11/19
08088	Crosby Capital Ltd.	Cayman Islands	2000/04/17
08243	Dahe Media Co. Ltd. – H Shares	PRC	2003/11/13
08056	Differ Group Holding Co. Ltd.	Cayman Islands	2013/12/09
08007	DIGITALHONGKONG.COM	Cayman Islands	2000/04/17
08337	Directel Holdings Ltd.	Cayman Islands	2010/06/02
08086	DX.com Holdings Ltd.	Cayman Islands	2000/08/02
08218	Echo International Holdings Group Ltd.	Cayman Islands	2013/10/11
08169	Eco-Tek Holdings Ltd.	Cayman Islands	2001/12/05
08176	EDS Wellness Holdings Ltd.	Cayman Islands	2002/02/19
08213	Epicurean and Co., Ltd.	Cayman Islands	2003/03/18
08031	ETS Group Ltd.	Cayman Islands	2012/01/09
08132	Fairson Holdings Ltd.	Cayman Islands	2011/05/18
08108	FAVA International Holdings Ltd.	Bermuda	2000/07/14
08317	Finet Group Ltd.	Bermuda	2005/01/07
08018	Finsoft Corporation	Cayman Islands	2013/09/26
08123	First China Financial Network Holdings Ltd.	Cayman Islands	2002/01/11
08215	First Credit Finance Group Ltd.	Cayman Islands	2011/12/13
08030	Flying Financial Service Holdings Ltd.	Cayman Islands	2012/05/07
08112	Focus Media Network Ltd.	Cayman Islands	2011/07/28
08126	G.A. Holdings Ltd.	Cayman Islands	2002/06/17
08310	Gamma Logistics Corporation	Cayman Islands	2013/08/22
08179	Gayety Holdings Ltd.	Cayman Islands	2011/07/08
08271	Global Digital Creations Holdings Ltd.	Bermuda	2003/08/04
08192	Global Energy Resources International Group Ltd.	Bermuda	2002/11/29
08060	Global Link Communications Holdings Ltd.	Cayman Islands	2002/11/13
08159	Glory Mark Hi-Tech (Holdings) Ltd.	Cayman Islands	2002/01/04
08266	Gold Tat Group International Ltd.	Cayman Islands	2003/05/09
08036	Goldenmars Technology Holdings Ltd.	Cayman Islands	2013/09/09
08299	Grand T G Gold Holdings Ltd.	Cayman Islands	2004/09/23

List of listed companies on GEM *(continued)*

End of 2013

Code	Company name	Incorporation	Listing date
08003	Great World Company Holdings Ltd.	Cayman Islands	1999/12/02
08193	GreaterChina Professional Services Ltd.	Cayman Islands	2011/05/31
08261	Haitian Hydropower International Ltd.	Cayman Islands	2012/07/06
08019	Hao Wen Holdings Ltd.	Cayman Islands	2001/07/20
08292	HC International, Inc.	Cayman Islands	2003/12/17
08046	Heng Xin China Holdings Ltd.	Bermuda	2003/04/16
08137	Honbridge Holdings Ltd.	Cayman Islands	2002/01/08
08048	Hong Kong Jewellery Holding Ltd.	Bermuda	2000/06/30
08085	Hong Kong Life Sciences and Technologies Group Ltd.	Cayman Islands	2002/03/07
08143	Hua Xia Healthcare Holdings Ltd.	Cayman Islands	2002/05/10
08276	Huazhang Technology Holding Ltd.	Cayman Islands	2013/05/16
08002	IGG Inc	Cayman Islands	2013/10/18
08202	Inno-Tech Holdings Ltd.	Bermuda	2002/08/12
08092	ITE (Holdings) Ltd.	Cayman Islands	2001/02/21
08326	JC Group Holdings Ltd.	Cayman Islands	2013/11/21
08101	Jia Meng Holdings Ltd.	Cayman Islands	2013/10/15
08165	Jian ePayment Systems Ltd.	Cayman Islands	2001/12/10
08045	Jiangsu Nandasoft Technology Co. Ltd. – H Shares	PRC	2001/04/24
08049	Jilin Province Huinan Changlong Bio-pharmacy Co. Ltd. -H shs	PRC	2001/05/24
08203	Kaisun Energy Group Ltd.	Cayman Islands	2004/01/20
08181	Kong Shum Union Property Management (Holding) Ltd.	Cayman Islands	2013/10/11
08077	KVB Kunlun Financial Group Ltd.	Cayman Islands	2013/07/03
08351	Larry Jewelry International Co. Ltd.	Bermuda	2009/10/07
08017	Long Success International (Holdings) Ltd.	Bermuda	2000/08/17
08037	Longlife Group Holdings Ltd.	Bermuda	2004/06/17
08041	Luxey International (Holdings) Ltd.	Cayman Islands	2000/07/07
08100	M Dream Inworld Ltd.	Cayman Islands	2001/12/31
08146	Mastercraft International Holdings Ltd.	Cayman Islands	2012/07/20
08075	Media Asia Group Holdings Ltd.	Bermuda	2001/05/31
08242	Megalogic Technology Holdings Ltd.	Cayman Islands	2012/01/19
08198	MelcoLot Ltd.	Cayman Islands	2002/05/17
08163	Merdeka Resources Holdings Ltd.	Cayman Islands	2002/03/07
08239	Ming Kei Holdings Ltd.	Bermuda	2002/11/15
08228	National Arts Entertainment and Culture Group Ltd.	Bermuda	2002/10/17
08167	Neo Telemedia Ltd.	Cayman Islands	2002/08/06
08256	Netel Technology (Holdings) Ltd.	Cayman Islands	2002/12/20
08180	New Ray Medicine International Holding Ltd.	Bermuda	2013/10/25
08068	New Universe International Group Ltd.	Cayman Islands	2000/05/18
08249	Ningbo WanHao Holdings Co. Ltd. – H Shares	PRC	2003/11/14
08246	Noble House (China) Holdings Ltd.	Cayman Islands	2011/12/30
08080	North Asia Strategic Holdings Ltd.	Bermuda	2000/04/20
08197	Northeast Tiger Pharmaceutical Co. Ltd. – H Shares	PRC	2002/02/28
08325	Oriental City Group Holdings Ltd.	Cayman Islands	2009/08/28
08120	Oriental Unicorn Agricultural Group Ltd.	Cayman Islands	2001/04/10
08173	Pan Asia Mining Ltd.	Cayman Islands	2002/05/09
08039	Pegasus Entertainment Holdings Ltd.	Cayman Islands	2012/10/31
08066	Phoenitron Holdings Ltd.	Cayman Islands	2001/12/20
08053	Pizu Group Holdings Ltd.	Cayman Islands	2004/08/06
08011	Polyard Petroleum International Group Ltd.	Cayman Islands	2002/07/12
08236	Powerleader Science & Technology Group Ltd. – H Shares	PRC	2002/12/12
08265	Powerwell Pacific Holdings Ltd.	Bermuda	2011/01/26
08201	PPS International (Holdings) Ltd.	Cayman Islands	2013/06/17
08026	Prosten Technology Holdings Ltd.	Cayman Islands	2000/03/28
08047	Rising Power Group Holdings Ltd.	Bermuda	2001/11/01
08185	RM Group Holdings Ltd.	Cayman Islands	2013/10/11
08072	Roma Group Ltd.	Cayman Islands	2013/02/25
08096	Ruifeng Petroleum Chemical Holdings Ltd.	Cayman Islands	2002/02/27
08309	Runway Global Holdings Co. Ltd.	Cayman Islands	2013/12/03
08082	Sage International Group Ltd.	Bermuda	2001/11/02
08253	Sanmenxia Tianyuan Aluminum Co. Ltd. – H Shares	PRC	2004/07/13
08200	Sau San Tong Holdings Ltd.	Cayman Islands	2003/11/19
08150	Seamless Green China (Holdings) Ltd.	Bermuda	2001/08/10
08258	Shaanxi Northwest New Technology Industry Co. Ltd. -H Shares	PRC	2003/07/03
08058	Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	PRC	2005/12/09
08102	Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	PRC	2000/08/04
08205	Shanghai Jiaoda Withub Information Industrial Co Ltd – H Shs	PRC	2002/07/31
08115	Shanghai Qingpu Fire-Fighting Equipment Co. Ltd. – H Shares	PRC	2004/06/30
08286	Shanxi Changcheng Microlight Equipment Co. Ltd. – H Shares	PRC	2004/05/18
08301	Shenzhen Mingwah Aohan High Technology Corp. Ltd. – H Shares	PRC	2004/07/07
08329	Shenzhen Neptunus Interlong Bio-Technique Co. Ltd. -H Shares	PRC	2005/09/12
08076	Sing Lee Software (Group) Ltd.	Bermuda	2001/09/05
08010	Sing Pao Media Enterprises Ltd.	Cayman Islands	2000/06/01
08006	Sino Splendid Holdings Ltd.	Cayman Islands	2000/03/09
08296	Sino-Life Group Ltd.	Cayman Islands	2009/09/09
08155	South China Land Ltd.	Cayman Islands	2002/07/18
08291	South West Eco Development Ltd.	Cayman Islands	2012/12/14
08029	Sun International Resources Ltd.	Cayman Islands	2000/12/14
08008	Sunevision Holdings Ltd.	Cayman Islands	2000/03/17
08226	Sunrise (China) Technology Group Ltd.	Cayman Islands	2002/07/19
08103	Tai Shing International (Holdings) Ltd.	Cayman Islands	2000/09/08
08145	Telecom Service One Holdings Ltd.	Cayman Islands	2013/05/30
08051	TeleEye Holdings Ltd.	Cayman Islands	2001/05/08
08119	Thiz Technology Group Ltd.	Cayman Islands	2001/07/27
08348	Tianjin Binhai Teda Logistics (Group) Corporation Ltd.-H Shs	PRC	2008/04/30
08189	Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	PRC	2002/06/18
08028	Timeless Software Ltd.	Hong Kong	1999/11/25

List of listed companies on GEM *(continued)*

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Code	Company name	Incorporation	Listing date
08022	TLT Lottotainment Group Ltd.	Hong Kong	2001/08/13
08107	U Banquet Group Holding Ltd.	Cayman Islands	2013/12/10
08168	UKF (Holdings) Ltd.	Cayman Islands	2012/08/24
08079	Unlimited Creativity Holdings Ltd.	Bermuda	2001/10/15
08225	Venturepharm Laboratories Ltd.	Cayman Islands	2003/07/10
08340	Vinco Financial Group Ltd.	Cayman Islands	2008/05/20
08032	Viva China Holdings Ltd.	Cayman Islands	2000/04/06
08033	Vodatel Networks Holdings Ltd.	Bermuda	2000/02/25
08269	Wealth Glory Holdings Ltd.	Cayman Islands	2010/10/14
08063	Well Way Group Ltd.	Cayman Islands	2000/12/07
08021	WLS Holdings Ltd.	Cayman Islands	2001/12/07
08227	Xi'an Haitian Antenna Technologies Co. Ltd. – H Shares	PRC	2003/11/05
08050	Yunbo Digital Synergy Group Ltd.	Cayman Islands	2000/07/24
08005	Yuxing InfoTech Investment Holdings Ltd.	Bermuda	2000/01/31
08260	Zebra Strategic Holdings Ltd.	Cayman Islands	2013/04/10
08106	Zheda Lande Scitech Ltd. – H Shares	PRC	2002/05/03
08273	Zhejiang Prospect Co. Ltd. – H Shares	PRC	2004/02/18
08211	Zhejiang Yonglong Enterprises Co. Ltd. – H Shares	PRC	2002/11/08
08130	Zhi Cheng Holdings Ltd.	Bermuda	2002/08/26
08135	ZMFY Automobile Glass Services Ltd.	Cayman Islands	2013/09/03

List of exchange traded funds

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Code	Name	Listing date
02819	ABF Hong Kong Bond Index Fund	2005/06/21
02821	ABF Pan Asia Bond Index Fund	2005/07/07
82832	Bosera FTSE China A50 Index ETF	2013/12/09
02832	Bosera FTSE China A50 Index ETF	2013/12/09
83180	ChinaAMC CES China A80 Index ETF	2013/08/26
03180	ChinaAMC CES China A80 Index ETF	2013/08/26
83188	ChinaAMC CSI 300 Index ETF	2012/07/17
03188	ChinaAMC CSI 300 Index ETF	2012/07/17
03051	CICC-SZSE 100 Index Tracker	2010/01/29
02842	CMS CSI Overseas Mainland Enterprises ETF	2011/11/01
83008	C-Shares CSI 300 Index ETF	2013/07/08
03008	C-Shares CSI 300 Index ETF	2013/07/08
83137	CSOP CES China A80 ETF	2013/09/23
03137	CSOP CES China A80 ETF	2013/09/23
82822	CSOP FTSE China A50 ETF	2012/08/28
02822	CSOP FTSE China A50 ETF	2012/08/28
03071	Da Cheng CSI China Mainland Consumer Tracker	2010/07/15
03022	Da Cheng CSI HK Private-owned Mainland Enterprises Tracker	2010/12/20
03077	Da Cheng CSI HK State-owned Mainland Enterprises Tracker	2010/12/20
03015	db x-trackers CNX NIFTY UCITS ETF	2009/07/08
03061	db x-trackers CSI300 BANKS UCITS ETF	2010/03/25
03025	db x-trackers CSI300 CONSUMER DISCRETIONARY UCITS ETF	2010/03/25
03017	db x-trackers CSI300 ENERGY UCITS ETF	2010/03/25
02844	db x-trackers CSI300 FINANCIALS UCITS ETF	2010/03/25
03057	db x-trackers CSI300 HEALTH CARE UCITS ETF	2010/03/25
03005	db x-trackers CSI300 INDUSTRIALS UCITS ETF	2010/03/25
03062	db x-trackers CSI300 MATERIALS UCITS ETF	2010/03/25
02816	db x-trackers CSI300 REAL ESTATE UCITS ETF	2010/03/25
03063	db x-trackers CSI300 TRANSPORTATION UCITS ETF	2010/03/25
03049	db x-trackers CSI300 UCITS ETF	2010/03/25
03052	db x-trackers CSI300 UTILITIES UCITS ETF	2010/03/25
03007	db x-trackers FTSE CHINA 25 UCITS ETF	2009/07/08
03087	db x-trackers FTSE VIETNAM UCITS ETF	2009/07/08
03026	db x-trackers II AUSTRALIAN DOLLAR CASH UCITS ETF	2012/01/12
03013	db x-trackers MSCI AC Asia Ex Jap H Div Yield Idx UCITS ETF	2013/04/11
03105	db x-trackers MSCI Bangladesh IM Index UCITS ETF	2013/04/11
03048	db x-trackers MSCI BRAZIL INDEX UCITS ETF	2010/02/24
03055	db x-trackers MSCI CHINA INDEX UCITS ETF	2012/01/12
03035	db x-trackers MSCI EM ASIA INDEX UCITS ETF	2010/02/24
03009	db x-trackers MSCI EMERGING MARKETS INDEX UCITS ETF	2010/02/24
03045	db x-trackers MSCI INDIA INDEX UCITS ETF	2012/01/12
03099	db x-trackers MSCI INDONESIA INDEX UCITS ETF	2012/01/12
02848	db x-trackers MSCI KOREA INDEX UCITS ETF	2009/07/08
03082	db x-trackers MSCI MALAYSIA INDEX UCITS ETF	2012/01/12
03043	db x-trackers MSCI PACIFIC EX JAPAN INDEX UCITS ETF	2010/02/24
03106	db x-trackers MSCI Pakistan IM Index UCITS ETF	2013/04/11
03016	db x-trackers MSCI Philippines IM Index UCITS ETF	2013/04/11
03027	db x-trackers MSCI RUSSIA CAPPED INDEX UCITS ETF	2010/02/24
03065	db x-trackers MSCI Singapore IM Index UCITS ETF	2013/04/11
03036	db x-trackers MSCI TAIWAN INDEX UCITS ETF	2009/06/30
03092	db x-trackers MSCI THAILAND INDEX UCITS ETF	2012/01/12
03020	db x-trackers MSCI USA INDEX UCITS ETF	2009/07/08

List of exchange traded funds *(continued)*

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Code	Name	Listing date
03019	db x-trackers MSCI WORLD INDEX UCITS ETF	2010/02/24
03011	db x-trackers US DOLLAR CASH UCITS ETF	2009/08/27
03120	E Fund CES China 120 Index ETF	2013/10/21
83120	E Fund CES China 120 Index ETF	2013/10/21
03100	E Fund CSI 100 A-Share Index ETF	2012/08/27
83100	E Fund CSI 100 A-Share Index ETF	2012/08/27
02830	ETFS Physical Gold ETF	2012/11/28
03119	ETFS Physical Platinum ETF	2012/11/28
03117	ETFS Physical Silver ETF	2012/11/28
83128	Hang Seng China A Industry Top Index ETF	2013/11/26
03128	Hang Seng China A Industry Top Index ETF	2013/11/26
02838	Hang Seng FTSE China 25 Index ETF	2005/06/08
02828	Hang Seng H-Share Index ETF	2003/12/10
02833	Hang Seng Index ETF	2004/09/21
83168	Hang Seng RMB Gold ETF	2012/02/14
03136	Harvest MSCI China A 50 Index ETF	2013/06/06
83136	Harvest MSCI China A 50 Index ETF	2013/06/06
03118	Harvest MSCI China A Index ETF	2012/10/12
83118	Harvest MSCI China A Index ETF	2012/10/12
03110	Horizons Hang Seng High Dividend Yield ETF	2013/06/17
02835	Horizons KOSPI 200 ETF	2011/01/17
03040	Horizons MSCI China ETF	2013/06/17
03076	Horizons S&P Asia ex JANZ Energy ETF	2012/01/27
03064	Horizons S&P Asia ex JANZ Financials ETF	2012/01/27
03075	Horizons S&P Asia ex JANZ Industrials ETF	2012/01/27
03066	Horizons S&P Asia ex JANZ IT ETF	2012/01/27
03078	Horizons S&P Asia ex JANZ Materials ETF	2012/01/27
03054	Horizons S&P Emerging Asia Consumer ETF	2012/01/27
03056	Horizons S&P Global Consumer Brands ETF	2012/01/27
03033	HSBC MSCI China ETF	2011/04/18
03088	HSBC MSCI Golden Dragon ETF	2011/04/18
03000	HSBC MSCI Hong Kong ETF	2011/04/18
03083	HSBC MSCI Taiwan ETF	2011/04/18
02846	iShares CSI 300 A-Share Index ETF	2009/11/18
03001	iShares CSI A-Share Consumer Discretionary Index ETF	2010/07/20
02841	iShares CSI A-Share Consumer Staples Index ETF	2010/07/20
03050	iShares CSI A-Share Energy Index ETF	2009/11/18
02829	iShares CSI A-Share Financials Index ETF	2009/11/18
03006	iShares CSI A-Share Infrastructure Index ETF	2009/11/18
03039	iShares CSI A-Share Materials Index ETF	2009/11/18
02823	iShares FTSE A50 China Index ETF	2004/11/18
03010	iShares MSCI Asia APEX 50 Index ETF	2009/04/23
03032	iShares MSCI Asia APEX Mid Cap Index ETF	2009/04/23
03004	iShares MSCI Asia APEX Small Cap Index ETF	2009/04/23
02801	iShares MSCI China Index ETF	2001/11/28
02802	iShares MSCI Emerging Asia Index ETF	2009/04/23
04362	iShares MSCI South Korea Index Fund #	n.a.
04363	iShares MSCI Taiwan Index Fund #	n.a.
03139	iShares RMB Bond Index ETF	2013/06/18
83139	iShares RMB Bond Index ETF	2013/06/18
02836	iShares S&P BSE SENSEX India Index ETF	2006/11/02
02824	Lippo Select HK & Mainland Property ETF	2012/09/26

List of exchange traded funds *(continued)*

End of 2012

Code	Name	Listing date
03070	Ping An of China CSI HK Dividend ETF	2012/02/15
03072	Ping An of China CSI HK Mid Cap Select ETF	2012/02/15
02818	Ping An of China CSI RAFI A-Share 50 ETF	2010/05/07
03098	Ping An of China CSI RAFI HK50 ETF	2012/02/15
03002	Polaris Taiwan Top 50 Tracker Fund (H.K.)	2009/08/19
03073	SPDR FTSE Greater China ETF	2010/09/20
02840	SPDR Gold Trust	2008/07/31
02800	Tracker Fund of Hong Kong	1999/11/12
03046	Value China ETF	2009/12/15
03081	Value Gold ETF	2010/11/03
83081	Value Gold ETF	2010/11/03
03084	Value Japan ETF	2012/05/28
03041	Value Korea ETF	2012/05/28
03060	Value Taiwan ETF	2012/05/28
02805	Vanguard FTSE Asia ex Japan Index ETF	2013/05/15
02827	W.I.S.E – CSI 300 China Tracker	2007/07/17
02825	W.I.S.E. – CSI HK 100 Tracker	2008/05/15
02817	W.I.S.E. – CSI HK Listed Mainland Consumption Tracker	2011/01/11
02839	W.I.S.E. – CSI HK Listed Mainland Real Estate Tracker	2011/01/11
03024	W.I.S.E. – SSE 50 China Tracker	2009/04/15
03091	XIE Shares India (CNX Nifty) ETF	2012/02/21
03031	XIE Shares Indonesia (LQ45) ETF	2012/02/21
03090	XIE Shares Korea (KOSPI 200) ETF	2012/02/16
03029	XIE Shares Malaysia (FTSE Bursa Malaysia KLCI) ETF	2012/02/16
03037	XIE Shares Philippines (PSEi) ETF	2012/02/21
03089	XIE Shares Taiwan (TAIEX) ETF	2012/02/16
03069	XIE Shares Thailand (SET50) ETF	2012/02/16

Under the pilot programe for trading only stock

Technical notes to tables

1. Annual turnover velocity

$$\text{Annual turnover velocity} = \frac{\text{Annual market turnover in value}}{\text{Total market capitalisation}} \times 100\%$$

2. Average book value ratio

$$\text{Average book value ratio} = \frac{\text{Total market capitalisation}}{\text{Total net assets of all listed stocks}}$$

3. Average dividend yield

$$\text{Average dividend yield} = \frac{\sum(\text{DPS} \times \text{No. of issued shares})}{\sum(\text{Closing price} \times \text{No. of issued shares})} \times 100\%$$

Dividend per share (DPS) is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

4. Average price earning ratio

$$\text{Average P/E Ratio} = \frac{\sum(\text{Closing price} \times \text{No. of issued shares})}{\sum(\text{EPS} \times \text{No. of issued shares})}$$

Earnings per share (EPS) is derived by dividing the consolidated profit after taxation less minority interests and preference dividends and before extraordinary items by the weighted average of number of issued shares during the corresponding financial year.

EPS is annualised and adjusted as and when bonus and rights issues, share splits and consolidations are made.

Number of issued shares represents year end number.

5. China-controlled company (Red Chip)

A company is deemed to be China-controlled company (Red Chip), if

(1) the company has at least 30% shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities. Or

(2) the company has below 30% but 20% or above shareholding held in aggregate directly by Mainland China entities, and/or through companies which are controlled by Mainland China entities and, there is a strong influential presence, on a judgmental basis, on the company's board of directors.

Mainland China entities include state-owned organisations and entities controlled by provincial or municipal authorities.

6. Contract volume (options)

The number of contracts traded, counted on a one-way basis.

Technical notes to tables *(continued)*

7. H shares

Shares issued by a PRC issuer under PRC law and listed on the SEHK, the par value of which is denominated in Renminbi, and which are subscribed for and traded in Hong Kong dollars.

8. Listed company

A company in respect of which all or some of the equity securities are listed on the SEHK.

9. Number of listed securities

The total number of ordinary shares, preferred ordinary/preference shares, warrants, CBBC, ELI, debt securities and unit trust/mutual fund listed on the SEHK.

10. Open interest (options)

The total number of options contracts which are outstanding, i.e. not closed or exercised, as at the relevant date.

11. Premium (options)

Total amount payable by holders and payable to writers of options contracts in aspect of the writing of those contracts.

12. Total market capitalisation

The aggregate capitalisation of all listed companies which are regarded as domestic companies and foreign companies listed on the SEHK only except those under suspension for over one year.

13. Trading volume and value

Figures of trading volume and value are counted on a one-way basis.

Acronyms

AMS	Automatic Order Matching and Execution System
AMS/3	Automatic Order Matching and Execution System/Third Generation
CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depositary Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
EW	Equity Warrant
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKATS	Hong Kong Futures Automated Trading System
HKCC	HKFE Clearing Corporation Ltd
HKCE	Hong Kong Commodity Exchange Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKFE	Hong Kong Futures Exchange Ltd
HKMA	Hong Kong Monetary Authority
HKSCC	Hong Kong Securities Clearing Co Ltd
HSCCI	Hang Seng China-Affiliated Corporations Index
HSCEI	Hang Seng China Enterprises Index
HSI	Hang Seng Index
HSICS	Hang Seng Industry Classification System
iIPO	Internet Initial Public Offering
IPO	Initial public offering
ISI	Investor settlement instruction
LME	London Metal Exchange
LMEX	London Metal Exchange Index
NASDAQ	National Association of Securities Dealer Automated Quotations
ORS	Order Routing System
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEHK	Stock Exchange of Hong Kong Ltd, The
SEOCH	SEHK Options Clearing House Ltd, The
SFC	Securities and Futures Commission, The
SFO	Securities and Futures Ordinance
SI	Settlement instruction
SS	Stapled securities
TAPOs	Traded Average Price Options
TOPS	Traded OPtions System