



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Second Quarter 2012

Market Highlights

Market Highlights – Main Board

Highlights	2nd Quarter 2012	2nd Quarter 2011
No. of listed companies	1,342	1,284
No. of listed securities	6,747	7,110
Equities		
Ordinary shares	1,343	1,285
Preference shares	3	3
Warrants	4,046	4,851
Equity	14	15
Derivative	4,032	4,836
Equity linked instrument	0	0
Callable bull/bear contracts	1,021	711
Unit trusts/mutual funds	103	87
Debt securities	231	173
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	61	59
Total turnover in value (HK\$ mil.)	3,054,292.16	4,175,836.48
Total turnover in shares (Mil. shs)	8,211,427.70	9,172,596.45
Total number of trades (deals)	44,746,737	50,846,489
Total market capitalisation (HK\$ mil.)	18,351,873.28	20,992,345.92
Average P/E ratio (times)	8.78	11.74
Average dividend yield (%)	3.47	2.65
Number of exchange participants		
– Trading	503	490
– Non-trading	39	35
Turnover in value (HK\$ mil.)		
Highest	76,534.41 (31/05)	104,358.75 (06/04)
Lowest	36,673.28 (28/05)	50,397.75 (30/05)
Turnover in shares (Mil. shs)		
Highest	168,227.42 (16/05)	192,332.74 (14/06)
Lowest	87,792.75 (30/04)	123,245.57 (27/05)
Total number of trades (deals)		
Highest	1,002,391 (16/05)	1,214,111 (06/04)
Lowest	567,529 (28/05)	622,494 (30/05)

Market Highlights

Market Highlights – Growth Enterprise Market (GEM)

Highlights	2nd Quarter 2012	2nd Quarter 2011
No. of listed companies	177	164
No. of listed securities	179	166
Equities	177	164
Warrants	2	2
No. of trading days	61	59
Total turnover in value (HK\$ mil.)	6,875.14	20,232.99
Total turnover in shares (Mil. shs)	33,829.05	79,225.80
Total number of trades (deals)	228,063	507,216
Total market capitalisation (HK\$ mil.)	77,357.91	111,535.83
Average P/E ratio (times)	17.36	23.61
Average dividend yield (%)	0.53	1.00
Turnover in value (HK\$ mil.)		
Highest	353.03 (13/04)	614.73 (26/05)
Lowest	52.11 (25/06)	178.08 (27/06)
Turnover in shares (Mil. shs)		
Highest	1,562.26 (13/04)	5,426.00 (06/04)
Lowest	198.35 (22/06)	560.41 (23/06)
Total number of trades (deals)		
Highest	6,459 (02/04)	15,305 (26/04)
Lowest	2,009 (25/06)	4,411 (27/06)

Market Highlights

Derivatives Market Highlights

Highlights	2nd Quarter 2012	2nd Quarter 2011
All futures and options products (excluding stock options)		
No. of trading days	61.0	59.0
Total contract volume	16,842,634	14,996,318
Equity index products	16,782,405	14,892,864
Equity products	60,172	102,565
Interest rates products	55	180
Gold Futures	2	709
Average daily contract volume	276,109	254,175
Total open interest as at the quarter end (contracts)		
Equity index products	1,054,101	651,927
Equity products	1,043,526	632,481
Interest rates products	10,510	19,267
Interest rates products	65	138
Gold Futures	–	41
Stock options		
No. of newly introduced stock options classes	–	–
No. of stock options as at the quarter end		
Class	63	57
Series	14,708	11,838
Total premium (HK\$ mil.)		
Call	8,578.21	14,491.79
Put	3,006.23	3,882.54
Average daily premium (HK\$ mil.)	5,571.98	10,609.25
Total contract volume	140.63	245.62
Call	13,741,210	16,340,993
Put	5,810,627	6,327,688
Average daily contract volume	7,930,583	10,013,305
Total open interest as at the quarter end (contracts)	225,266	276,966
Call	5,060,742	5,622,028
Put	1,926,473	1,782,639
Total number of trades	3,134,269	3,839,389
Average daily number of trades	577,189	656,257
Total contracts exercised	9,462	11,123
Average premium per contract (HK\$)	1,992,879	1,895,494
Average contract per trade (contracts)	624.27	886.84
Put volume/call volume	24	25
Average daily contract volume/open interest	1.36	1.58
	4.5%	4.9%
All futures and options products		
Total contract volume	30,583,844	31,337,311
Total open interest as at the quarter end (contracts)	6,114,843	6,273,955

Market Highlights

Clearing and Settlement Statistics

Securities Market	2nd Quarter 2012	2nd Quarter 2011
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	737,075	869,474
– Value of trades (HK\$bil.)	50.2	71.0
– Share quantity Involved (bil shares)	135.2	156.8
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	70,419	87,498
– Value of SIs (HK\$bil.)	179.8	233.1
– Share quantity involved (bil. Shares)	43.0	49.0
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	329	527
– Value of ISIs (HK\$mil.)	254.7	323.7
– Share quantity involved (mil. shares)	115.4	108.1
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.91	99.88
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	2	7
– Number of buy-ins	2	8
– Value of buy-ins (HK\$mil)	0.5	3.6
Shares Deposited in the CCASS Depository *		
– Number of shares (bil shares)	3,713.6	3,707.1
– Percentage of total issued shares of the admitted securities	70.37	69.37
– Value of shares (HK\$bil)	10,006.9	11,612.9
– Percentage of the total market capitalisation of the admitted securities	50.29	50.11
Derivatives Market		
Open Interest		
– Equity Index Futures	304,527	233,163
– Stock Futures	10,510	19,267
– Gold Futures	–	41
– Interest Rates Futures	65	138
– Equity Index Options	738,999	399,318
– Stock Options	5,060,742	5,622,028
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,048	1,884
– Value of shares (HK\$bil.)	31	35

* Share quantity and market value of securities in non-HKD currency are included

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q2	754,334.25	3,069,149.98	41,652,899
	Q3	597,541.33	3,222,655.92	45,991,628
	Q4	568,209.23	2,399,034.07	42,392,538
2012	Q1	586,720.90	2,723,802.82	43,393,219
	Q2	409,732.26	2,175,416.95	36,208,311

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q2	59	52,019.49	73,684
	Q3	63	51,153.27	70,070
	Q4	62	38,694.10	56,591
2012	Q1	61	44,652.51	62,770
	Q2	61	35,662.57	60,081

Main Board Equities

20 Most Advanced Stocks

for 2nd quarter 2012

Rank	Code	Stock	Closing price			% up
			End of Jun 2012	End of Mar 2012		
1	01139	VICTORY GROUP	0.360	0.156	A	130.77
2	00874	GUANGZHOU PHAR	13.760	7.020		96.01
3	00978	TONIC IND HOLD	1.240	0.670		85.07
4	01323	NEWTREE GROUP	1.450	0.810		79.01
5	00332	NGAI LIK IND	0.820	0.460		78.26
6	02310	KWANG SUNG ELEC	0.690	0.390		76.92
7	03900	GREENTOWN CHINA	8.060	4.900		64.49
8	00030	ABC COM (HOLD)	0.490	0.300		63.33
9	00917	NEW WORLD CHINA	3.150	1.930		63.21
10	00326	CHINA STAR ENT	0.345	0.215		60.47
11	01036	WINSOR PPT HOLD	33.800	21.400		57.94
12	00519	APPLIED DEV	0.217	0.140		55.00
13	00451	SAME TIME HOLD	5.850	3.780		54.76
14	02349	WATER PROPERTY	0.950	0.620		53.23
15	00703	FUTURE BRIGHT	0.980	0.660		48.48
16	00618	EC-FOUNDER	0.370	0.250		48.00
17	00777	NETDRAGON	6.790	4.600		47.61
18	00343	CULTURECOM HOLD	1.320	0.900		46.67
19	00176	UNITED PACIFIC	0.285	0.195		46.15
20	01002	V.S. INT'L	0.160	0.110		45.45

A Adjusted

20 Most Declined Stocks

for 2nd quarter 2012

Rank	Code	Stock	Closing price			% down
			End of Jun 2012	End of Mar 2012		
1	00432	PCPD	1.400	9.100	A	-84.62
2	00279	FREEMAN FIN	0.032	0.128	A	-75.00
3	01137	CITY TELECOM	1.460	4.970		-70.62
4	01163	DEJIN RES	0.075	0.252	A	-70.24
5	00993	SIMSEN INT'L	0.176	0.500	A	-64.80
6	00582	GREENFIELD CHEM	0.062	0.162		-61.73
7	00145	HK BLDG & LOAN	0.020	0.050		-60.00
8	01041	CH NEW ENGY PWR	0.061	0.151		-59.60
9	02326	BEP INT'L HOLD	0.116	0.277	A	-58.12
10	00136	MASCOTTE HOLD	0.235	0.544	A	-56.80
11	00399	UNITED GENE GP	0.027	0.062	A	-56.45
12	00838	EVA HOLDINGS	0.630	1.440		-56.25
13	00889	DATRONIX HOLD	1.220	2.700		-54.81
14	00990	THEME INT'L	0.120	0.265		-54.72
15	00245	CHINA SEVENSTAR	0.060	0.130	A	-53.85
16	01293	BAOXIN AUTO	4.430	9.290		-52.31
17	00189	DONGYUE GROUP	3.590	7.140		-49.72
18	00673	CHINA HEALTH	0.174	0.345		-49.57
19	02222	NVC LIGHTING	1.460	2.880		-49.31
20	02038	FIH	2.810	5.530		-49.19

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 2nd quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	01398	ICBC	107,568.57	4.94
2	00939	CCB	106,828.42	4.91
3	00941	CHINA MOBILE	77,134.64	3.55
4	03988	BANK OF CHINA	73,289.57	3.37
5	00005	HSBC HOLDINGS	66,875.15	3.07
6	00700	TENCENT	53,885.27	2.48
7	01299	AIA	52,161.19	2.40
8	00883	CNOOC	51,918.43	2.39
9	02628	CHINA LIFE	42,701.68	1.96
10	02318	PING AN	40,526.27	1.86
11	00857	PETROCHINA	38,211.64	1.76
12	00016	SHK PPT	34,907.97	1.60
13	01928	SANDS CHINA LTD	32,430.37	1.49
14	00386	SINOPEC CORP	29,997.26	1.38
15	00388	HKEX	28,653.81	1.32
16	03333	EVERGRANDE	27,777.01	1.28
17	03323	CNBM	27,630.69	1.27
18	00135	KUNLUN ENERGY	27,047.35	1.24
19	01088	CHINA SHENHUA	26,926.16	1.24
20	01288	ABC	26,453.61	1.22
Total			972,925.07	44.72

20 Most Active Stock by Shares

for 2nd quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	03988	BANK OF CHINA	24,275.99	5.92
2	01398	ICBC	22,528.88	5.50
3	00939	CCB	19,156.54	4.68
4	01387	RENHE COMM	8,899.66	2.17
5	01288	ABC	8,086.68	1.97
6	00493	GOME	7,615.50	1.86
7	03333	EVERGRANDE	6,626.48	1.62
8	00055	NEWAY GROUP	5,183.26	1.27
9	00985	CST MINING	5,125.84	1.25
10	00981	SMIC	4,910.47	1.20
11	00386	SINOPEC CORP	3,988.75	0.97
12	00076	SOUTH SEA PETRO	3,929.18	0.96
13	03800	GCL-POLY ENERGY	3,787.10	0.92
14	00857	PETROCHINA	3,632.31	0.89
15	00883	CNOOC	3,453.07	0.84
16	00067	LUMENA NEWMAT	3,390.44	0.83
17	00329	DRAGONITE INT'L	3,273.12	0.80
18	01163	DEJIN RES	3,269.97	0.80
19	00728	CHINA TELECOM	2,977.22	0.73
20	03323	CNBM	2,894.42	0.71
Total			147,004.90	35.88

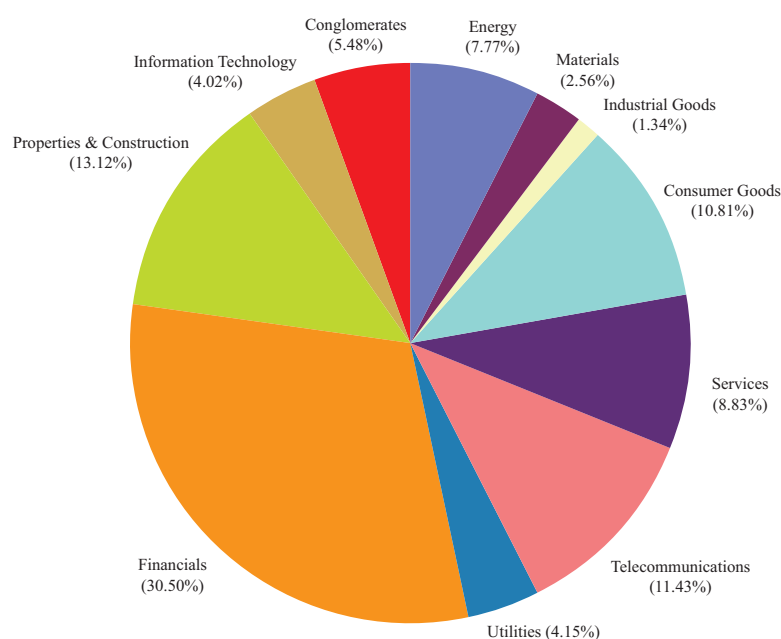
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2011	Q2	20,992,345.92
	Q3	16,147,694.26
	Q4	17,452,667.08
2012	Q1	19,690,708.60
	Q2	18,351,873.28

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 2nd quarter end 2012

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,702,485.15	9.28	
2	00939	China Construction Bank Corporation – H Shares	1,271,807.62	6.93	
3	00005	HSBC Holdings plc	1,244,995.04	6.78	
4	00883	CNOOC Ltd.	687,553.11	3.75	
5	00700	Tencent Holdings Ltd.	416,764.37	2.27	
6	02888	Standard Chartered PLC	406,238.04	2.21	
7	01398	Industrial and Commercial Bank of China Ltd. – H Shares	372,346.45	2.03	
8	01299	AIA Group Ltd.	319,166.00	1.74	
9	00013	Hutchison Whampoa Ltd.	283,514.16	1.54	
10	00805	Glencore International plc *	–	250,602.23	N.A.
11	02388	BOC Hong Kong (Holdings) Ltd.	249,517.61	1.36	
12	03988	Bank of China Ltd. – H Shares	245,849.49	1.34	
13	00016	Sun Hung Kai Properties Ltd.	238,424.34	1.30	
14	00762	China Unicom (Hong Kong) Ltd.	229,993.44	1.25	
15	02378	Prudential plc *	–	223,405.03	N.A.
16	00001	Cheung Kong (Holdings) Ltd.	219,109.15	1.19	
17	00857	PetroChina Co. Ltd. – H Shares	209,934.06	1.14	
18	00011	Hang Seng Bank Ltd.	202,655.33	1.10	
19	01928	Sands China Ltd.	197,245.94	1.07	
20	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	193,104.49	1.05	
21	00002	CLP Holdings Ltd.	158,203.93	0.86	
22	00066	MTR Corporation Ltd.	153,049.86	0.83	
23	03328	Bank of Communications Co., Ltd. – H Shares	151,426.31	0.83	
24	00945	Manulife Financial Corporation *	–	150,982.62	N.A.
25	02628	China Life Insurance Co. Ltd. – H Shares	148,525.85	0.81	
26	00688	China Overseas Land & Investment Ltd.	146,941.89	0.80	
27	00003	Hong Kong and China Gas Co. Ltd., The	142,699.81	0.78	
28	01972	Swire Properties Ltd.	135,720.00	0.74	
29	00019	Swire Pacific Ltd.	134,485.40	0.73	
30	01913	PRADA S.p.A.	131,907.38	0.72	
31	00004	Wharf (Holdings) Ltd., The	128,894.47	0.70	
32	00151	Want Want China Holdings Ltd.	125,663.52	0.68	
33	00006	Power Assets Holdings Ltd.	124,000.60	0.68	
34	00494	Li & Fung Ltd.	123,764.11	0.67	
35	00388	Hong Kong Exchanges and Clearing Ltd.	118,884.87	0.65	
36	00101	Hang Lung Properties Ltd.	117,210.05	0.64	
37	00386	China Petroleum & Chemical Corporation – H Shares	115,449.76	0.63	
38	01038	Cheung Kong Infrastructure Holdings Ltd.	114,343.27	0.62	
39	00322	Tingyi (Cayman Islands) Holding Corp.	110,836.92	0.60	
40	01880	Belle International Holdings Ltd.	110,657.14	0.60	
41	00012	Henderson Land Development Co. Ltd.	101,033.80	0.55	
42	00135	Kunlun Energy Co. Ltd.	99,070.56	0.54	
43	01929	Chow Tai Fook Jewellery Group Ltd.	96,000.00	0.52	
44	01288	Agricultural Bank of China Ltd. – H Shares	94,982.96	0.52	
45	06210	Vale S.A. – DR *	–	94,555.48	N.A.
46	01128	Wynn Macau, Ltd.	93,479.65	0.51	
47	01044	Hengan International Group Co. Ltd.	92,115.63	0.50	
48	01109	China Resources Land Ltd.	92,066.11	0.50	
49	01088	China Shenhua Energy Co. Ltd. – H Shares	91,931.66	0.50	
50	06808	Sun Art Retail Group Ltd.	80,992.09	0.44	
Total			12,025,041.39	65.52	
Market Total			18,351,873.28	100.00	

Notes:

* Not included in Market Total

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2011	Q2	327,730.09	3,089,381.94	10.61
	Q3	409,874.98	3,232,791.53	12.68
	Q4	324,530.48	2,409,005.43	13.47
2012	Q1	324,025.04	2,736,035.68	11.84
	Q2	297,380.47	2,182,291.83	13.63

* Include Main Board and GEM figures

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2011	Q2	29,078.38	833,504.44	3.49
	Q3	39,305.84	637,817.87	6.16
	Q4	33,276.30	609,468.85	5.46
2012	Q1	33,605.28	628,238.56	5.35
	Q2	30,899.22	443,538.91	6.97

* Include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2011	Q2	22	6	156,775.55
	Q3	18	1	19,494.12
	Q4	26	1	64,868.56
2012	Q1	15	0	9,607.54
	Q2	10	0	20,184.99

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 2nd quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01329	Juda International Holdings Ltd.	2012/04/02	200,000,000	55.00
01322	CW Group Holdings Ltd.	2012/04/13	616,417,000	216.13
01613	Synertone Communication Corporation	2012/04/18	1,200,000,000	99.00
01366	Jiangnan Group Ltd.	2012/04/20	1,538,600,000	546.42
02623	China Zhongsheng Resources Holdings Ltd.	2012/04/27	720,871,584	159.60
06837	Haitong Securities Co., Ltd. – H Shares	2012/04/27	1,492,590,000	14,383.14
00695	Dongwu Cement International Ltd.	2012/06/13	512,000,000	150.70
03663	Xiezhong International Holdings Ltd.	2012/06/18	800,000,000	186.00
00816	Huadian Fuxin Energy Corporation Ltd. – H Shares	2012/06/28	1,650,000,000	2,475.00
01258	China Nonferrous Mining Corporation Ltd.	2012/06/29	3,470,000,000	1,914.00
Total				20,184.99

Main Board Equities

Withdrawal of Listed Companies

for 2nd quarter 2012

Code	Company name	Last trading date	Delisted date
00955	Schramm Holding AG	2011/10/07	2012/04/02
00288	Cosway Corporation Ltd.	2012/03/02	2012/06/04
01683	International Mining Machinery Holdings Ltd.	2012/02/10	2012/06/11
03938	Samling Global Ltd.	2012/06/08	2012/06/20
01688	Alibaba.com Ltd.	2012/06/08	2012/06/21

Main Board Equities

Company Name Changes

for 2nd quarter 2012

Code	Old name	New name	Effective date	Adoption date
01028	Hongguo International Holdings Ltd.	C.banner International Holdings Ltd.	2012/02/08	2012/04/02
00033	Rainbow Brothers Holdings Ltd.	Harmonic Strait Financial Holdings Ltd.	2012/03/06	2012/04/20
00875	Ever Fortune International Holdings Ltd.	Cypress Jade Agricultural Holdings Ltd.	2012/03/14	2012/04/23
00475	Noble Jewelry Holdings Ltd.	Zhong Fa Zhan Holdings Ltd.	2012/03/23	2012/04/27
00645	KTP Holdings Ltd.	Ares Asia Ltd.	2012/03/19	2012/05/04
01322	CW Group Holdings Ltd. #	CW Group Holdings Ltd.	2012/04/05	2012/05/16
02700	Smart Union Group (Holdings) Ltd.	Green International Holdings Ltd.	2012/04/24	2012/05/25
02098	Zall Development (Cayman) Holding Co., Ltd.	Zall Development Group Ltd.	2012/05/15	2012/06/05
00560	Chu Kong Shipping Development Co. Ltd.	Chu Kong Shipping Enterprises (Group) Co., Ltd.	2012/06/05	2012/06/06
01006	China Corn Oil Co. Ltd.	Changshouhua Food Co. Ltd.	2012/05/25	2012/06/21
00996	Oriental Ginza Holdings Ltd.	Carnival Group International Holdings Ltd.	2012/05/14	2012/06/27
00346	Sino Union Energy Investment Group Ltd.	Yanchang Petroleum International Ltd.	2012/05/29	2012/06/27
00456	New City (China) Development Ltd.	New City Development Group Ltd.	2012/06/19	2012/06/28
01220	Ocean Grand Holdings Ltd.	Zhidao International (Holdings) Ltd.	2012/05/25	2012/06/29

Change of Chinese name only

Main Board Equities

Bonus Issues/Bonus Warrants

for 2nd quarter 2012

Code	Company	Particulars	Ex-date
00867	China Medical System Holdings Ltd.	1 for 2	2012/04/27
00082	VODone Ltd.	1 for 10	2012/05/02
01198	Royale Furniture Holdings Ltd.	1 for 8	2012/05/22
00432	Pacific Century Premium Developments Ltd.	4 for 1	2012/05/28
		(with option to elect to receive Convertible Notes in lieu of Bonus Shares)	
00750	China Singyes Solar Technologies Holdings Ltd.	2 for 10	2012/05/29
00122	Crocodile Garments Ltd.	1 for 2	2012/05/29
00081	China Overseas Grand Oceans Group Ltd.	1 for 2	2012/06/01
00988	Loudong General Nice Resources (China) Holdings Ltd.	2 for 10	2012/06/04
01628	Yuzhou Properties Co. Ltd.	2 for 10	2012/06/05
00003	Hong Kong and China Gas Co. Ltd., The	1 for 10	2012/06/07
00568	Shandong Molong Petroleum Machinery Co. Ltd. – H Shares	10 for 10	2012/06/07

Share Split/Consolidation

for 2nd quarter 2012

Code	Company	Particulars	Effective date
02326	BEP International Holdings Ltd.	Consolidation 4 into 1	2012/04/13
00993	Simsen International Corporation Ltd.	Consolidation 50 into 1	2012/04/13
00245	China Seven Star Shopping Ltd.	Consolidation 5 into 1	2012/04/20
00136	Mascotte Holdings Ltd.	Consolidation 16 into 1	2012/04/26
00764	Eternity Investment Ltd.	Consolidation 40 into 1	2012/05/09
00997	Chinlink International Holdings Ltd.	Split 1 into 8	2012/05/10
01163	Dejin Resources Group Co. Ltd.	Consolidation 4 into 1	2012/05/10
01139	Victory Group Ltd.	Consolidation 20 into 1	2012/05/14
00009	China Mandarin Holdings Ltd.	Consolidation 10 into 1	2012/05/24
01383	Sun Century Group Ltd.	Consolidation 10 into 1	2012/06/04
01003	21 Holdings Ltd.	Consolidation 5 into 1	2012/06/18
00432	Pacific Century Premium Developments Ltd.	Consolidation 5 into 1	2012/06/25

Main Board Equities

Rights Issues & Open Offers

for 2nd quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	00329	Dragonite International Ltd.	Rts 2 for 1 @\$0.10 (after consolidation 5 into 1)	108.69
	02088	Xiwang Sugar Holdings Co. Ltd.	Open offer 9 convertible preference shs for 10 @\$1.18	–
	00439	Climax International Co. Ltd.	Open offer 8 for 1 @\$0.10 (after consolidation 20 into 1)	45.95
	00582	Greenfield Chemical Holdings Ltd.	Open offer 1 for 2 @\$0.75 with bonus 11 for 1 offer share	102.32
	03933	United Laboratories International Holdings Ltd., The	Rts 1 for 4 @\$2.21	719.08
May	00279	Freeman Financial Corporation Ltd.	Rts 2 for 1 @\$0.10	245.11
	02326	BEP International Holdings Ltd.	Open offer 1 for 2 @\$0.192 (after consolidation 4 into 1)	116.45
	00495	Paladin Ltd.	Open offer 2 for 5 @\$0.155	33.30
Jun	01139	Victory Group Ltd.	Open offer 110 for 1 @\$0.13 (after consolidation 20 into 1)	110.68
	01207	SRE Group Ltd.	Rts 1 for 7 @\$0.30	212.43
	01125	Lai Fung Holdings Ltd.	Open offer 1 for 1 @\$0.125	1,005.99
	02312	China Financial Leasing Group Ltd.	Open offer 1 for 2 @\$0.20	29.57
	00009	China Mandarin Holdings Ltd.	Open offer 1 for 1 @\$0.538 (after consolidation 10 into 1)	184.71
	00059	Skyfame Realty (Holdings) Ltd.	Rts 1 for 2 @\$0.50	369.42
	02320	Hop Fung Group Holdings Ltd.	Rts 1 for 2 @\$0.18	43.46
Total				3,327.16

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2012					
Mar **	01163	DEJIN RES	300,000,000	0.100	30.00
Apr	00718	BESTWAY INT'L	500,000,000	0.050	25.00
	00544	DAIDO GROUP	287,884,000	0.108	31.09
	01808	ENTERPRISE DEV	500,000,000	0.240	120.00
	03360	FE HORIZON	450,000,000	6.400	2,880.00
	00135	KUNLUN ENERGY	800,000,000	13.100	10,480.00
	00494	LI & FUNG	210,000,000	18.620	3,910.20
	01988	MINSHENG BANK	1,650,852,240	6.790	11,209.29
	02313	SHENZHOU INTL	85,000,000	13.800	1,173.00
	03331	VINDA INT'L	42,000,000	11.680	490.56
May	00030	ABC COM (HOLD)	86,154,000	0.260	22.40
	00735	C P NEW ENERGY	3,230,769,231	0.650	2,100.00
	06828	C PRINT POWER	22,000,000	0.950	20.90
	00512	CHINAGRANDPHARM	41,240,000	0.333	13.73
	00439	CLIMAX INT'L	450,000,000	0.100	45.00
	01163	DEJIN RES	380,000,000	0.080	30.40
	00689	EPI (HOLDINGS)	330,000,000	0.150	49.50
	00764	ETERNITY INV	39,670,000	0.320	12.69
	00412	HERITAGE INT'L	56,989,403	0.120	6.84
	01142	SIBERIAN MINING	124,072,110	0.566	70.20
	01166	SOLARTECH INT'L	880,000,000	0.070	61.60
	00826	TIANGONG INT'L	125,000,000	1.870	233.75
	00082	VODONE	160,000,000	0.930	148.80
	00868	XINYI GLASS	82,729,211	4.690	388.00
Jun	00030	ABC COM (HOLD)	41,000,000	0.305	12.51
	00030	ABC COM (HOLD)	400,000,000	0.250	100.00
	00329	DRAGONITE INT'L	326,076,900	0.066	21.52
	00616	EASYKNIT ENT	97,470,000	0.141	13.74
	00420	FOUNTAIN SET	409,036,000	1.250	511.30
	03900	GREENTOWN CHINA	327,849,579	5.200	1,704.82
	00950	LEE'S PHARM	48,485,000	3.200	155.15
	00348	LUNG CHEONG	500,000,000	0.150	75.00
	00136	MASCOTTE HOLD	57,084,736	0.240	13.70
	00433	NORTH MINING	300,000,000	0.260	78.00
	00451	SAME TIME HOLD	13,650,000	3.130	42.72
	02349	WATER PROPERTY	50,000,000	0.600	30.00
Total					36,311.41

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2011	Q2	401.20	636,349.65	636,750.86
	Q3	28.67	654,145.24	654,173.91
	Q4	100.62	509,956.45	510,057.08
2012	Q1	28.26	525,898.84	525,927.10
	Q2	22.75	382,702.18	382,724.93

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2011	Q2	15	785.68	4,836	96,546.69	4,851	97,332.38
	Q3	15	429.33	4,778	86,648.18	4,793	87,077.51
	Q4	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	Q1	13	404.92	4,094	94,572.19	4,107	94,977.11
	Q2	14	378.29	4,032	64,850.10	4,046	65,228.38

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2011	Q2	2	1,606	59,723.05
	Q3	2	1,979	73,939.94
	Q4	1	1,276	53,214.21
2012	Q1	1	1,580	50,958.90
	Q2	2	1,373	44,256.44

Newly Listed Equity Warrants

for 2nd quarter 2012

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
01067	Cheuk Nang (Holdings) Ltd. Warrants 2013	34,780,026	2012/04/23	2013/04/20	–
01307	Radford Capital Investment Ltd. Warrants 2014	10,733,204	2012/05/02	2014/04/29	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 2nd quarter 2012

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
17743	Credit Suisse AG – Hutchison European Warrants Aug 2012 B	100,000,000	2012/04/02	2012/08/31	22.00
17744	Credit Suisse AG – Hutchison European Warrants Sep 2012 A	100,000,000	2012/04/02	2012/09/28	15.00
17731	Daiwa Capital Mkt -Agricultural Bank European Wts Oct 2012 A	128,000,000	2012/04/02	2012/10/03	46.08
17733	Daiwa Capital Mkt – BOCL European Put Warrants Oct 2012 C	80,000,000	2012/04/02	2012/10/15	20.00
17730	Daiwa Capital Mkt – CCB European Put Warrants Nov 2012 A	80,000,000	2012/04/02	2012/11/16	35.20
17745	Daiwa Capital Mkt – HSI European Warrants Aug 2012 E	200,000,000	2012/04/02	2012/08/30	42.00
17746	Daiwa Capital Mkt – HSI European Warrants Oct 2012 C	200,000,000	2012/04/02	2012/10/30	32.00
17747	Daiwa Capital Mkt – HSI European Warrants Dec 2012 B	200,000,000	2012/04/02	2012/12/28	34.00
17735	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012 C	200,000,000	2012/04/02	2012/08/30	30.00
17736	Daiwa Capital Mkt – HSI European Put Warrants Aug 2012 D	200,000,000	2012/04/02	2012/08/30	34.00
17737	Daiwa Capital Mkt – HSI European Put Warrants Sep 2012 A	200,000,000	2012/04/02	2012/09/27	34.00
17738	Daiwa Capital Mkt – HSI European Put Warrants Oct 2012 A	200,000,000	2012/04/02	2012/10/30	50.00
17739	Daiwa Capital Mkt – HSI European Put Warrants Oct 2012 B	200,000,000	2012/04/02	2012/10/30	66.00
17740	Daiwa Capital Mkt – HSI European Put Warrants Nov 2012 A	200,000,000	2012/04/02	2012/11/29	50.00
17741	Daiwa Capital Mkt – HSI European Put Warrants Dec 2012 A	200,000,000	2012/04/02	2012/12/28	58.00
17742	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 A	200,000,000	2012/04/02	2013/03/27	36.00
17732	Daiwa Capital Mkt – ICBC European Put Warrants Oct 2012 B	80,000,000	2012/04/02	2012/10/18	20.00
17726	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Dec 12 A	200,000,000	2012/04/02	2012/12/03	34.00
17727	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Nov 2012 A	100,000,000	2012/04/02	2012/11/05	16.00
17724	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Oct 12 A	100,000,000	2012/04/02	2012/10/03	25.00
17723	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Oct 12 A	100,000,000	2012/04/02	2012/10/03	25.00
17725	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Oct 12 A	100,000,000	2012/04/02	2012/10/03	45.00
17729	UBS AG – Agricultural Bank European Warrants Aug 2012 A	100,000,000	2012/04/02	2012/08/27	15.00
17728	UBS AG – HSCEI European Put Warrants Dec 2012 A	100,000,000	2012/04/02	2012/12/28	25.00
17757	Daiwa Capital Mkt -Agricultural Bank European Wts Oct 2012 B	128,000,000	2012/04/03	2012/10/08	19.20
17756	Daiwa Capital Mkt – CCB European Warrants Sep 2012 D	128,000,000	2012/04/03	2012/09/24	40.96
17759	Daiwa Capital Mkt – CCB European Warrants Nov 2012 B	128,000,000	2012/04/03	2012/11/21	19.20
17763	Daiwa Capital Mkt – China Mobile European Wts Nov 2012 C	168,000,000	2012/04/03	2012/11/15	26.88
17764	Daiwa Capital Mkt – China Mobile European Put Wts Oct 2012 C	80,000,000	2012/04/03	2012/10/05	68.80
17761	Daiwa Capital Mkt – CNOOC European Warrants Nov 2013 A	158,000,000	2012/04/03	2013/11/08	28.44
17762	Daiwa Capital Mkt – CNOOC European Put Warrants Oct 2012 A	98,000,000	2012/04/03	2012/10/15	15.68
17766	Daiwa Capital Mkt – Sinopec Corp European Wts Oct 2012 A	98,000,000	2012/04/03	2012/10/12	35.28
17760	Daiwa Capital Mkt – PetroChina European Warrants Sep 2013 A	158,000,000	2012/04/03	2013/09/02	23.70
17767	Daiwa Capital Mkt – PetroChina European Put Wts Dec 2012 A	98,000,000	2012/04/03	2012/12/18	14.70
17755	Daiwa Capital Mkt – Tencent Holdings European Wts Dec 2012 C	128,000,000	2012/04/03	2012/12/03	23.04
17765	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Nov 2012 B	108,000,000	2012/04/03	2012/11/01	30.24
17749	Goldman Sachs SP (Asia) – CC Bank European Wts Oct 2012 A	120,000,000	2012/04/03	2012/10/29	31.56
17750	Goldman Sachs SP (Asia) – HSI European Warrants Aug 2012 C	200,000,000	2012/04/03	2012/08/30	30.00
17751	Goldman Sachs SP (Asia) – HSI European Put Wts Aug 2012 D	200,000,000	2012/04/03	2012/08/30	30.20
17753	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2012 A	200,000,000	2012/04/03	2012/09/27	33.40
17748	Goldman Sachs SP (Asia) -Tencent European Wt Oct 2012 B	120,000,000	2012/04/03	2012/10/25	19.92
17754	HK Bank – HSI European Warrants Dec 2012 C	160,000,000	2012/04/03	2012/12/28	24.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17774	Macquarie Bank Ltd. – BOCL European Put Warrants Jan 2013 A	150,000,000	2012/04/03	2013/01/03	37.50
17770	Macquarie Bank Ltd. – Cheung Kong European Wts Jan 2014 A	600,000,000	2012/04/03	2014/01/03	90.00
17769	Macquarie Bank Ltd. – Hang Seng Bank European Wts Nov 2012 A	200,000,000	2012/04/03	2012/11/02	50.00
17768	Macquarie Bank Ltd. – Hutchison European Warrants Jan 2014 A	700,000,000	2012/04/03	2014/01/03	105.00
17771	Standard Chartered Bank – CTeI European Warrants Dec 2012 A	100,000,000	2012/04/03	2012/12/03	25.00
17775	UBS AG – HSI European Warrants Sep 2012 D	300,000,000	2012/04/03	2012/09/27	45.00
17772	UBS AG – HSI European Put Warrants Sep 2012 C	300,000,000	2012/04/03	2012/09/27	66.00
17802	Daiwa Capital Mkt – HSBC European Put Warrants Oct 2012 B	108,000,000	2012/04/05	2012/10/12	28.08
17804	Daiwa Capital Mkt – HSBC European Put Warrants May 2013 A	128,000,000	2012/04/05	2013/05/06	28.16
17799	Daiwa Capital Mkt – HSCEI European Warrants Dec 2012 A	100,000,000	2012/04/05	2012/12/28	27.00
17800	Daiwa Capital Mkt – HSCEI European Warrants Dec 2012 B	100,000,000	2012/04/05	2012/12/28	25.00
17801	Daiwa Capital Mkt – HSCEI European Warrants Mar 2013 A	100,000,000	2012/04/05	2013/03/27	25.00
17798	Daiwa Capital Mkt – HSCEI European Put Warrants Aug 2012 A	100,000,000	2012/04/05	2012/08/30	20.00
17784	Daiwa Capital Mkt – HSI European Warrants Oct 2012 D	200,000,000	2012/04/05	2012/10/30	50.00
17785	Daiwa Capital Mkt – HSI European Warrants Oct 2012 E	200,000,000	2012/04/05	2012/10/30	50.00
17786	Daiwa Capital Mkt – HSI European Warrants Oct 2012 F	200,000,000	2012/04/05	2012/10/30	58.00
17787	Daiwa Capital Mkt – HSI European Warrants Oct 2012 G	200,000,000	2012/04/05	2012/10/30	56.00
17788	Daiwa Capital Mkt – HSI European Warrants Nov 2012 B	200,000,000	2012/04/05	2012/11/29	54.00
17803	Daiwa Capital Mkt – Ping An European Warrants Nov 2012 B	108,000,000	2012/04/05	2012/11/23	27.00
17776	Goldman Sachs SP (Asia) – Agri Bk European Wts Sep 2012 A	120,000,000	2012/04/05	2012/09/12	18.24
17777	Goldman Sachs SP (Asia) – CM Bank European Wts Nov 2012 A	120,000,000	2012/04/05	2012/11/26	18.00
17778	Goldman Sachs SP (Asia) – Hutchison European Wts Oct 2012 B	120,000,000	2012/04/05	2012/10/25	65.16
17779	Goldman Sachs SP (Asia) – Sino Land European Wts Apr 2013 A	120,000,000	2012/04/05	2013/04/29	18.24
17793	J P Morgan SP BV – ICBC European Warrants Oct 2012 A	200,000,000	2012/04/05	2012/10/05	50.00
17792	J P Morgan SP BV – JX Copper European Warrants Oct 2012 A	100,000,000	2012/04/05	2012/10/30	15.00
17794	Macquarie Bank Ltd. – CC Bank European Warrants Oct 2012 A	200,000,000	2012/04/05	2012/10/05	50.00
17795	Macquarie Bank Ltd. – Ch Southern Air Euro Wts Oct 2012 A	80,000,000	2012/04/05	2012/10/05	22.72
17791	Macquarie Bank Ltd. – Datang European Warrants Oct 2012 A	100,000,000	2012/04/05	2012/10/05	25.00
17789	Macquarie Bank Ltd. – Li & Fung European Warrants Feb 2013 A	180,000,000	2012/04/05	2013/02/04	45.00
17796	Macquarie Bank Ltd. – Sinopharm European Warrants Oct 2012 A	180,000,000	2012/04/05	2012/10/05	45.00
17790	Macquarie Bank Ltd. – ZTE European Warrants Jan 2013 A	180,000,000	2012/04/05	2013/01/04	45.00
17797	CC Rabobank B.A. – Gome European Warrants Oct 2012 A	100,000,000	2012/04/05	2012/10/22	25.00
17783	Standard Chartered Bank – A50 China Euro Warrants Sep 2012 A	100,000,000	2012/04/05	2012/09/25	25.50
17780	Standard Chartered Bank – Galaxy Ent European Wts Nov 2012 A	100,000,000	2012/04/05	2012/11/01	25.00
17782	Standard Chartered Bank – Sands China Euro Wts Nov 2012 A	100,000,000	2012/04/05	2012/11/01	25.00
17781	Standard Chartered Bank – Wynn Macau European Wts Nov 2012 A	100,000,000	2012/04/05	2012/11/28	25.00
17809	BOCI Asia Ltd. – BOC HK European Warrants Jan 2013 A	100,000,000	2012/04/10	2013/01/24	15.00
17821	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Oct 12 A	100,000,000	2012/04/10	2012/10/10	25.00
17820	Citigroup Global Mkt H Inc. – BOCL European Wts Oct 2012 A	100,000,000	2012/04/10	2012/10/10	19.00
17822	Citigroup Global Mkt H Inc. – China Coal Euro Wts Nov 2013 A	100,000,000	2012/04/10	2013/11/13	15.00
17818	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Sep 2012 A	100,000,000	2012/04/10	2012/09/20	15.00
17819	Citigroup Global Mkt H Inc. – Esprit European Wts Oct 2012 B	100,000,000	2012/04/10	2012/10/09	15.00
17815	Daiwa Capital Mkt – BOC HK European Put Warrants Dec 2012 C	98,000,000	2012/04/10	2012/12/21	28.42
17812	Daiwa Capital Mkt – Sinopec Corp European Wts Oct 2012 B	108,000,000	2012/04/10	2012/10/31	27.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
17811	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2012 C	100,000,000	2012/04/10	2012/12/28	25.00
17813	Daiwa Capital Mkt – Yanzhou Coal European Wts Oct 2012 A	80,000,000	2012/04/10	2012/10/12	12.00
17814	Daiwa Capital Mkt – Yanzhou Coal European Put Wts Dec 2012 A	80,000,000	2012/04/10	2012/12/10	27.20
17807	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2012 C	200,000,000	2012/04/10	2012/09/24	61.20
17806	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2012 A	200,000,000	2012/04/10	2012/10/15	52.00
17823	Macquarie Bank Ltd. – China Agri European Wts Oct 2012 A	80,000,000	2012/04/10	2012/10/09	20.00
17827	Macquarie Bank Ltd. – CNBM European Warrants Nov 2012 A	180,000,000	2012/04/10	2012/11/09	27.00
17826	Macquarie Bank Ltd. – Comba European Warrants Oct 2012 A	80,000,000	2012/04/10	2012/10/09	29.28
17825	Macquarie Bank Ltd. – CQRC Bank European Warrants Oct 2012 A	80,000,000	2012/04/10	2012/10/09	23.52
17824	Macquarie Bank Ltd. – China Res Gas European Wts Jun 2013 A	150,000,000	2012/04/10	2013/06/03	51.15
17828	Macquarie Bank Ltd. – Tracker Fund European Wts Dec 2012 A	150,000,000	2012/04/10	2012/12/04	22.50
17805	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 G	100,000,000	2012/04/10	2012/09/27	17.50
17808	Standard Chartered Bank – Geely Auto European Wts May 2013 A	100,000,000	2012/04/10	2013/05/06	36.00
17816	UBS AG – ICBC European Warrants Aug 2012 C	100,000,000	2012/04/10	2012/08/31	20.80
17817	UBS AG – Tencent Holdings European Warrants Oct 2012 B	100,000,000	2012/04/10	2012/10/24	16.00
17876	Credit Suisse AG – Anhui Conch European Warrants Sep 2012 A	70,000,000	2012/04/11	2012/09/28	12.60
17877	Credit Suisse AG – Agricultural Bank European Wts Aug 2012 A	100,000,000	2012/04/11	2012/08/31	15.00
17862	Credit Suisse AG – Cheung Kong European Warrants Oct 2012 A	70,000,000	2012/04/11	2012/10/31	31.50
17863	Credit Suisse – Cheung Kong European Put Warrants Oct 2012 B	70,000,000	2012/04/11	2012/10/31	17.50
17879	Credit Suisse AG – China Life European Warrants Sep 2012 A	100,000,000	2012/04/11	2012/09/28	15.00
17871	Credit Suisse AG – Sinopec Corp European Warrants Aug 2012 A	80,000,000	2012/04/11	2012/08/31	24.00
17874	Credit Suisse AG – ChiUnicom European Warrants Sep 2012 A	100,000,000	2012/04/11	2012/09/03	15.00
17875	Credit Suisse AG – China Unicom European Put Wts Apr 2013 A	100,000,000	2012/04/11	2013/04/30	25.00
17872	Credit Suisse AG – HKEx European Warrants Jul 2012 A	100,000,000	2012/04/11	2012/07/23	15.00
17873	Credit Suisse AG – HKEx European Warrants Nov 2012 A	100,000,000	2012/04/11	2012/11/30	16.00
17867	Credit Suisse AG – HSBC European Wts Sep 2012 A	100,000,000	2012/04/11	2012/09/28	15.00
17868	Credit Suisse AG – HSBC European Wts Sep 2012 B	100,000,000	2012/04/11	2012/09/24	30.00
17861	Credit Suisse AG – HSI European Warrants Jul 2012 B	400,000,000	2012/04/11	2012/07/30	80.00
17859	Credit Suisse AG – HSI European Warrants Aug 2012 K	400,000,000	2012/04/11	2012/08/30	60.00
17860	Credit Suisse AG – HSI European Warrants Aug 2012 L	400,000,000	2012/04/11	2012/08/30	62.00
17869	Credit Suisse AG – Hutchison European Warrants Aug 2012 C	120,000,000	2012/04/11	2012/08/20	42.00
17870	Credit Suisse AG – Hutchison European Warrants Aug 2012 D	120,000,000	2012/04/11	2012/08/20	30.00
17878	Credit Suisse AG – ICBC European Warrants Sep 2012 A	100,000,000	2012/04/11	2012/09/28	15.00
17880	Credit Suisse AG – Ping An European Warrants Sep 2012 A	100,000,000	2012/04/11	2012/09/28	35.00
17865	Credit Suisse AG – SHK Ppt European Warrants Oct 2012 A	70,000,000	2012/04/11	2012/10/31	49.00
17864	Credit Suisse AG – SHK Ppt European Warrants Feb 2013 A	70,000,000	2012/04/11	2013/02/28	10.85
17866	Credit Suisse AG – SHK Ppt European Put Warrants Oct 2012 B	70,000,000	2012/04/11	2012/10/31	35.00
17857	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Nov 2012 A	100,000,000	2012/04/11	2012/11/02	25.60
17854	Macquarie Bank Ltd. – Angang Steel European Wts Nov 2012 A	80,000,000	2012/04/11	2012/11/02	24.40
17831	Macquarie Bank Ltd. – Avichina European Warrants Nov 2012 A	150,000,000	2012/04/11	2012/11/02	37.80
17881	Macquarie Bank Ltd. – BJ Ent Water European Wts May 2013 A	150,000,000	2012/04/11	2013/05/03	38.40
17837	Macquarie Bank Ltd. – Ch LongYuan European Wts Nov 2012 A	150,000,000	2012/04/11	2012/11/02	65.40
17845	Macquarie Bank Ltd. – CRCC European Warrants Jan 2013 A	80,000,000	2012/04/11	2013/01/03	21.68
17882	Macquarie Bank Ltd. – Ch Ship Cont European Wts Dec 2012 A	100,000,000	2012/04/11	2012/12/03	32.30

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17848	Macquarie Bank Ltd. – China Shenhua European Wts Oct 2012 A	150,000,000	2012/04/11	2012/10/22	23.10
17846	Macquarie Bank Ltd. – Chow Sang Sang European Wts Nov 2012 A	200,000,000	2012/04/11	2012/11/02	63.20
17836	Macquarie Bank Ltd. – Foxconn European Warrants Nov 2012 A	80,000,000	2012/04/11	2012/11/02	32.08
17858	Macquarie Bank Ltd. – A50 China European Put Wts Nov 2012 A	150,000,000	2012/04/11	2012/11/02	39.90
17884	Macquarie Bank Ltd. – Henganintl European Wts Nov 2012 A	150,000,000	2012/04/11	2012/11/02	65.25
17853	Macquarie Bank Ltd. – Huaneng Power European Wts Oct 2012 A	100,000,000	2012/04/11	2012/10/04	18.80
17838	Macquarie Bank Ltd. – Huaneng Power European Wts Dec 2012 A	80,000,000	2012/04/11	2012/12/04	20.08
17834	Macquarie Bank Ltd. – Hysan Dev European Warrants Nov 2012 A	150,000,000	2012/04/11	2012/11/02	37.80
17847	Macquarie Bank Ltd. – Lenovo European Warrants Oct 2014 A	150,000,000	2012/04/11	2014/10/06	37.50
17844	Macquarie Bank Ltd. – Luk Fook Hold European Wts Oct 2012 A	80,000,000	2012/04/11	2012/10/04	12.24
17883	Macquarie Bank Ltd. – Sh Electric European Wts Nov 2012 A	80,000,000	2012/04/11	2012/11/02	22.96
17833	Macquarie Bank Ltd. – Sh Ind H European Warrants Dec 2012 A	150,000,000	2012/04/11	2012/12/04	41.85
17856	Macquarie Bank Ltd. – Sh Ind H European Warrants Mar 2013 A	150,000,000	2012/04/11	2013/03/04	38.10
17832	Macquarie Bank Ltd. – Tingyi European Warrants Nov 2012 A	150,000,000	2012/04/11	2012/11/02	38.10
17835	Macquarie Bank Ltd. – Wharf European Warrants Nov 2012 A	150,000,000	2012/04/11	2012/11/02	38.10
17849	Macquarie Bank Ltd. – Yanzhou Coal European Wts Oct 2012 A	150,000,000	2012/04/11	2012/10/15	22.50
17852	Macquarie Bank Ltd. – Yanzhou Coal European Wts Feb 2013 A	150,000,000	2012/04/11	2013/02/04	23.25
17830	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2012A	300,000,000	2012/04/11	2012/10/03	45.00
17839	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Oct13A	200,000,000	2012/04/11	2013/10/02	30.00
17829	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt May 13 A	200,000,000	2012/04/11	2013/05/06	50.00
17842	UBS AG – Cheung Kong European Warrants Oct 2012 A	100,000,000	2012/04/11	2012/10/15	58.00
17843	UBS AG – SHK Ppt European Warrants Oct 2012 A	100,000,000	2012/04/11	2012/10/15	72.00
17906	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2013 A	100,000,000	2012/04/12	2013/12/30	25.00
17905	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Dec 2012 A	100,000,000	2012/04/12	2012/12/28	25.00
17898	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2012 A	200,000,000	2012/04/12	2012/10/30	30.00
17904	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2012 B	200,000,000	2012/04/12	2012/08/30	30.00
17895	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Dec 12 A	100,000,000	2012/04/12	2012/12/04	38.00
17900	BNP Paribas Arbit Issu B.V. – PWR Assets Euro Wts Dec 2012 A	54,000,000	2012/04/12	2012/12/04	15.66
17903	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Jan 2013 A	100,000,000	2012/04/12	2013/01/03	64.00
17897	BNP Paribas Arbit Issu B.V. – Sino Land Euro Wt Dec 2013 A	100,000,000	2012/04/12	2013/12/03	25.00
17899	BNP Paribas Arbit Issu B.V. – Swrie Pacific A Eu Wt Nov 12 A	44,000,000	2012/04/12	2012/11/02	19.80
17901	BNP Paribas Arbit Issu B.V. – Wharf European Wts Dec 2012 A	80,000,000	2012/04/12	2012/12/04	24.80
17893	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Sep 2012 A	100,000,000	2012/04/12	2012/09/03	15.00
17894	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Aug 2012 B	100,000,000	2012/04/12	2012/08/20	26.00
17892	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Feb 2013 A	100,000,000	2012/04/12	2013/02/21	15.00
17890	HK Bank – BOCL European Warrants Sep 2012 A	100,000,000	2012/04/12	2012/09/10	15.00
17886	HK Bank – Sinopec Corp European Warrants Oct 2012 A	100,000,000	2012/04/12	2012/10/12	25.00
17887	HK Bank – China Tel European Warrants Sep 2012 A	100,000,000	2012/04/12	2012/09/25	15.00
17888	HK Bank – China Unicom European Warrants Feb 2014 A	100,000,000	2012/04/12	2014/02/27	25.00
17889	HK Bank – A50 China European Warrants Oct 2012 A	100,000,000	2012/04/12	2012/10/12	54.00
17885	HK Bank – SHK Ppt European Warrants Oct 2012 A	100,000,000	2012/04/12	2012/10/12	66.00
17896	UBS AG – HSBC European Warrants Sep 2012 A	200,000,000	2012/04/12	2012/09/10	60.00
14846 #	UBS AG – SHK Ppt European Warrants Jan 2013	200,000,000	2012/04/12	2013/01/28	20.40
17927	BNP Paribas Arbit Issu B.V. – Anhui Conch Eu Put Wt Jan 13 A	100,000,000	2012/04/13	2013/01/03	37.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17925	BNP Paribas Arbit Issu B.V. – CKI Holdings Eu Wts Jan 2013 A	45,000,000	2012/04/13	2013/01/03	17.55
17926	BNP Paribas Arbit Issu B.V. – Ch LongYuan Eu Wts Oct 2014 A	100,000,000	2012/04/13	2014/10/06	25.00
17924	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Apr 2013 A	100,000,000	2012/04/13	2013/04/03	32.00
17923	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Apr 2013 A	100,000,000	2012/04/13	2013/04/03	28.00
17909	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Sep 2012 A	60,000,000	2012/04/13	2012/09/24	17.70
17910	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2012 A	80,000,000	2012/04/13	2012/12/24	12.00
17908	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Oct 2012 B	60,000,000	2012/04/13	2012/10/08	10.68
17907	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jul 2013 A	80,000,000	2012/04/13	2013/07/29	12.00
17912	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Sep 2012 A	80,000,000	2012/04/13	2012/09/20	12.00
17911	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2012 A	60,000,000	2012/04/13	2012/10/22	18.30
17921	Standard Chartered Bank – China Unicom Euro Wts May 2013 A	100,000,000	2012/04/13	2013/05/30	25.00
17920	Standard Chartered Bank – Geely Auto European Wts Dec 2012 A	100,000,000	2012/04/13	2012/12/03	25.00
17919	Standard Chartered Bank – Galaxy Ent European Wts Aug 2013 A	100,000,000	2012/04/13	2013/08/02	25.00
17916	Standard Chartered Bank – Hutchison Euro Euro Wts May 2013 A	200,000,000	2012/04/13	2013/05/29	50.00
17918	Standard Chartered Bank – SHK P European Warrants Dec 2012 A	100,000,000	2012/04/13	2012/12/03	25.00
17917	Standard Chartered Bank – SHK P European Warrants Feb 2013 A	100,000,000	2012/04/13	2013/02/18	15.00
17922	Standard Chartered Bank – SHK P European Put Wts Mar 2013 A	100,000,000	2012/04/13	2013/03/18	25.00
17914	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Sep 12 A	100,000,000	2012/04/13	2012/09/24	42.00
17915	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Nov 14 A	500,000,000	2012/04/13	2014/11/24	75.00
17913	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2012 A	200,000,000	2012/04/13	2012/09/05	46.00
17938	BNP Paribas Arbit Issu B.V. – AAC Acoustic Eu Wts Jan 2013 A	55,000,000	2012/04/16	2013/01/03	20.90
17939	BNP Paribas Arbit Issu B.V. – ASM Pacific Eu Wts Apr 2013 A	100,000,000	2012/04/16	2013/04/03	25.00
17936	BNP Paribas Arbit Issu B.V. – Foxconn Euro Wts Oct 2015 A	100,000,000	2012/04/16	2015/10/05	25.00
17937	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Apr 2013A	100,000,000	2012/04/16	2013/04/03	30.00
17940	BNP Paribas Arbit Issu B.V. – OOIL European Wts Apr 2014 A	100,000,000	2012/04/16	2014/04/02	25.00
17935	Citigroup Global Mkt H Inc. – HSI European Wts Aug 2012 C	200,000,000	2012/04/16	2012/08/30	30.00
17934	J P Morgan SP BV – Cheung Kong European Warrants Dec 2012 B	100,000,000	2012/04/16	2012/12/03	38.70
17933	J P Morgan SP BV – HSBC European Warrants Oct 2012 A	200,000,000	2012/04/16	2012/10/16	59.00
17932	J P Morgan SP BV – HSBC European Warrants Dec 2012 A	200,000,000	2012/04/16	2012/12/03	50.00
17931	J P Morgan SP BV – Li & Fung European Warrants Dec 2012 A	100,000,000	2012/04/16	2012/12/24	25.00
17928	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Sep 2012 A	200,000,000	2012/04/16	2012/09/03	32.00
17930	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2012 B	300,000,000	2012/04/16	2012/10/30	63.00
17929	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Oct 12 A	300,000,000	2012/04/16	2012/10/30	72.00
17948	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Oct 2012 B	40,000,000	2012/04/17	2012/10/22	10.00
17947	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt Oct 2012A	40,000,000	2012/04/17	2012/10/31	13.80
17946	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2012 B	70,000,000	2012/04/17	2012/08/20	11.34
17945	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Sep 2012 A	70,000,000	2012/04/17	2012/09/24	11.20
17944	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2012 B	70,000,000	2012/04/17	2012/10/29	12.95
17941	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 12 B	200,000,000	2012/04/17	2012/10/03	40.00
17942	UBS AG – HSBC European Warrants Aug 2012 A	200,000,000	2012/04/17	2012/08/30	82.00
17943	UBS AG – HSBC European Warrants Aug 2012 B	200,000,000	2012/04/17	2012/08/30	38.00
17980	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Dec 2012 A	100,000,000	2012/04/18	2012/12/04	28.00
17978	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2013 A	100,000,000	2012/04/18	2013/08/02	16.00
17987	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2012 A	100,000,000	2012/04/18	2012/10/03	18.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17986	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2013 A	100,000,000	2012/04/18	2013/05/03	18.00
17985	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Nov 2012 A	100,000,000	2012/04/18	2012/11/02	18.00
17983	BNP Paribas Arbit Issu B.V. – KECL European Wts Dec 2013 A	100,000,000	2012/04/18	2013/12/03	25.00
17982	BNP Paribas Arbit Issu B.V. – Ch Rongsheng Eu Wt Dec 2012 A	45,000,000	2012/04/18	2012/12/04	13.50
17981	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Nov 2012 A	100,000,000	2012/04/18	2012/11/02	17.00
17979	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Sep 2013 A	100,000,000	2012/04/18	2013/09/03	25.00
17973	Credit Suisse AG – HSI European Put Warrants Sep 2012 A	400,000,000	2012/04/18	2012/09/27	64.00
17971	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Jan 2013 A	100,000,000	2012/04/18	2013/01/08	15.00
17970	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2012 A	100,000,000	2012/04/18	2012/07/26	15.00
17969	Citigroup Global Mkt H Inc. – Jiangxi Copper Eur Wt Aug 12 A	100,000,000	2012/04/18	2012/08/29	15.00
17972	Citigroup Global Mkt H Inc. – PICC P&C Euro Wts Feb 2013 A	100,000,000	2012/04/18	2013/02/25	15.00
17961	Goldman Sachs SP (Asia) – Agri Bk European Wts Dec 2012 A	120,000,000	2012/04/18	2012/12/31	30.24
17959	Goldman Sachs SP (Asia) – BankComm European Wts Oct 2012 A	120,000,000	2012/04/18	2012/10/29	38.64
17960	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Nov 2012 A	120,000,000	2012/04/18	2012/11/26	30.72
17958	Goldman Sachs SP (Asia) – China Tel European Wts Oct 2012 A	120,000,000	2012/04/18	2012/10/29	30.12
17952	HK Bank – Sinopec Corp European Warrants Oct 2012 B	60,000,000	2012/04/18	2012/10/18	15.00
17953	HK Bank – SHK Ppt European Warrants Feb 2013 A	100,000,000	2012/04/18	2013/02/18	16.00
17957	J P Morgan SP BV – China Mob European Wts Oct 2012 A	200,000,000	2012/04/18	2012/10/30	50.00
17954	J P Morgan SP BV – HSI European Warrants Aug 2012 E	300,000,000	2012/04/18	2012/08/30	45.00
17956	J P Morgan SP BV – Hutchison European Warrants Oct 2012 B	150,000,000	2012/04/18	2012/10/30	48.00
17955	J P Morgan SP BV – Ping An European Warrants Nov 2012 A	100,000,000	2012/04/18	2012/11/02	25.00
17967	Standard Chartered Bank – HSI European Warrants Aug 2012 B	200,000,000	2012/04/18	2012/08/30	31.60
17968	Standard Chartered Bank – SPDR Gold Trust Euro Wt Dec 2012 A	50,000,000	2012/04/18	2012/12/24	43.00
17950	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2012 A	200,000,000	2012/04/18	2012/09/03	52.00
17974	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2012 E	300,000,000	2012/04/18	2012/08/30	45.00
17975	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Sep 12 A	300,000,000	2012/04/18	2012/09/27	51.00
17949	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2012 D	200,000,000	2012/04/18	2012/10/03	60.00
17962	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Oct12A	50,000,000	2012/04/18	2012/10/22	29.00
17951	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Feb13A	120,000,000	2012/04/18	2013/02/27	18.00
17966	UBS AG – CC Bank European Warrants Jul 2012 D	200,000,000	2012/04/18	2012/07/23	48.00
17965	UBS AG – CC Bank European Warrants Sep 2012 A	200,000,000	2012/04/18	2012/09/10	30.00
17977	UBS AG – CNOOC European Warrants Dec 2012 A	200,000,000	2012/04/18	2012/12/28	50.00
17976	UBS AG – Sinopec Corp European Warrants Oct 2012 A	100,000,000	2012/04/18	2012/10/15	21.00
17963	UBS AG – HSCEI European Warrants Dec 2012 B	100,000,000	2012/04/18	2012/12/28	15.00
17964	UBS AG – Hutchison European Warrants Sep 2012 B	200,000,000	2012/04/18	2012/09/27	112.00
17988	UBS AG – ICBC European Warrants Oct 2012 A	100,000,000	2012/04/18	2012/10/22	33.00
18011	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Feb13A	100,000,000	2012/04/19	2013/02/04	15.00
18016	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2013 A	200,000,000	2012/04/19	2013/01/03	50.00
18015	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Feb 2013 A	100,000,000	2012/04/19	2013/02/07	15.00
18010	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wts Aug 2013A	100,000,000	2012/04/19	2013/08/02	28.00
18012	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts May 2013 A	100,000,000	2012/04/19	2013/05/03	25.00
18009	BNP Paribas Arbit Issu B.V. – Kerry Ppt Euro Wts Nov 2012 A	48,000,000	2012/04/19	2012/11/02	12.00
18008	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Jul 2013 A	100,000,000	2012/04/19	2013/07/03	26.00
18017	BNP Paribas Arbit Issu B.V. – SJM Holdings Eu Wts Dec 2012 A	100,000,000	2012/04/19	2012/12/04	20.00

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		issue (units)	Listing date	Expiry	
18014	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Nov 2012 A	100,000,000	2012/04/19	2012/11/02	16.00
18013	BNP Paribas Arbit Issu B.V. – Zoomlion Euro Wts Jul 2013 A	100,000,000	2012/04/19	2013/07/02	22.00
18018	Goldman Sachs SP (Asia) – PICC European Warrants Feb 2013 A	120,000,000	2012/04/19	2013/02/25	18.00
18005	J P Morgan SP BV – Anhui Conch European Warrants Jun 2013 A	100,000,000	2012/04/19	2013/06/03	30.20
18004	J P Morgan SP BV – China Coal European Warrants Nov 2013 A	100,000,000	2012/04/19	2013/11/13	15.00
17996	J P Morgan SP BV – Ch Overseas European Warrants Feb 2013 A	150,000,000	2012/04/19	2013/02/27	37.50
17995	J P Morgan SP BV – Sands China European Warrants Dec 2012 A	100,000,000	2012/04/19	2012/12/10	25.10
17993	J P Morgan SP BV – Zijin Mining European Warrants Dec 2012 A	80,000,000	2012/04/19	2012/12/28	20.00
18000	CC Rabobank B.A. – Galaxy Ent European Warrants Sep 2012 A	100,000,000	2012/04/19	2012/09/27	22.00
18003	CC Rabobank B.A. – Gome European Warrants Oct 2012 B	100,000,000	2012/04/19	2012/10/22	25.00
17999	CC Rabobank B.A. – Lenovo European Warrants Oct 2012 A	100,000,000	2012/04/19	2012/10/22	70.00
18002	CC Rabobank B.A. – Lenovo European Put Warrants Jan 2013 A	100,000,000	2012/04/19	2013/01/14	97.00
18001	CC Rabobank B.A. – PICC P&C European Warrants Oct 2012 A	100,000,000	2012/04/19	2012/10/11	17.00
17989	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2012 A	100,000,000	2012/04/19	2012/10/03	18.00
17990	SGA Societe Generale Acceptance N.V. -CNOOC Eu Put Wt Oct12A	300,000,000	2012/04/19	2012/10/29	54.00
17998	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2012 A	200,000,000	2012/04/19	2012/09/12	42.00
18006	UBS AG – BOCL European Warrants Sep 2012 B	100,000,000	2012/04/19	2012/09/24	18.20
18007	UBS AG – Jiangxi Copper European Warrants Sep 2012 A	100,000,000	2012/04/19	2012/09/24	16.20
18042	Citigroup Global Mkt H Inc. – BOC HK European Wts Jul 2013 A	100,000,000	2012/04/20	2013/07/02	25.00
18040	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Oct 2012 A	100,000,000	2012/04/20	2012/10/22	35.00
18039	Citigroup Global Mkt H Inc. – HS Bank Euro Wts Sep 2013 A	100,000,000	2012/04/20	2013/09/12	15.00
18041	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2012 A	100,000,000	2012/04/20	2012/10/22	28.00
18036	Goldman Sachs SP (Asia) – CITIC Bank European Wts Nov 2012 A	120,000,000	2012/04/20	2012/11/26	30.24
18032	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Oct 2012 A	120,000,000	2012/04/20	2012/10/22	39.12
18035	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2012 A	120,000,000	2012/04/20	2012/11/19	30.84
18033	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Nov 2012 A	120,000,000	2012/04/20	2012/11/28	32.16
18034	Goldman Sachs SP (Asia) – JIANC European Warrants Sep 2012 A	120,000,000	2012/04/20	2012/09/17	21.36
18021	HK Bank – HSI European Put Warrants Sep 2013 A	250,000,000	2012/04/20	2013/09/27	62.50
18022	HK Bank – HSI European Put Warrants Sep 2015 A	250,000,000	2012/04/20	2015/09/29	62.50
18024	J P Morgan SP BV – BEA European Warrants Dec 2012 A	60,000,000	2012/04/20	2012/12/28	15.00
18030	J P Morgan SP BV – Minsheng Bank European Wts Dec 2012 A	100,000,000	2012/04/20	2012/12/03	25.00
18029	J P Morgan SP BV – CNBM European Warrants Jun 2013 A	200,000,000	2012/04/20	2013/06/10	50.00
18028	J P Morgan SP BV – CPIC European Warrants Dec 2012 A	150,000,000	2012/04/20	2012/12/28	37.50
18031	J P Morgan SP BV – China Shenhua European Wts Dec 2012 A	200,000,000	2012/04/20	2012/12/24	50.00
18027	J P Morgan SP BV – Esprit European Wts Dec 2012 A	100,000,000	2012/04/20	2012/12/28	25.00
18026	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Dec 2012 B	300,000,000	2012/04/20	2012/12/28	46.50
18025	J P Morgan SP BV – HSCEI European Put Warrants Dec 2012 A	300,000,000	2012/04/20	2012/12/28	75.00
18023	J P Morgan SP BV – HSI European Put Warrants Dec 2012 A	300,000,000	2012/04/20	2012/12/28	45.00
18019	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 12 A	60,000,000	2012/04/20	2012/10/15	11.40
18020	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 13 A	500,000,000	2012/04/20	2013/06/10	110.00
18038	UBS AG – BOC HK European Warrants Jan 2013 A	100,000,000	2012/04/20	2013/01/21	18.00
18037	UBS AG – HSI European Put Warrants Nov 2012 A	300,000,000	2012/04/20	2012/11/29	75.00
18048	Goldman Sachs SP (Asia) – BOC HK European Wts Oct 2013 A	120,000,000	2012/04/23	2013/10/28	30.48
18047	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2012 A	120,000,000	2012/04/23	2012/12/03	30.12

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
18046	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2012 B	120,000,000	2012/04/23	2012/11/12	44.16
18043	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2012 B	120,000,000	2012/04/23	2012/10/30	50.76
18044	Goldman Sachs SP (Asia) – Hutchison European Wts Nov 2012 A	120,000,000	2012/04/23	2012/11/05	52.68
18050	HK Bank – BOCL European Warrants Oct 2012 A	120,000,000	2012/04/23	2012/10/30	22.80
18051	HK Bank – China Life European Warrants Oct 2012 A	120,000,000	2012/04/23	2012/10/08	22.80
18049	HK Bank – China Mobile European Warrants Jul 2012 A	160,000,000	2012/04/23	2012/07/27	38.40
18053	HK Bank – Tencent European Warrants Oct 2012 A	150,000,000	2012/04/23	2012/10/30	27.00
18054	HK Bank – Tencent European Put Warrants Oct 2012 B	150,000,000	2012/04/23	2012/10/24	37.50
18060	J P Morgan SP BV – BOC HK European Warrants Jul 2013 A	150,000,000	2012/04/23	2013/07/30	23.25
18067	J P Morgan SP BV – CNOOC European Warrants Feb 2013 A	200,000,000	2012/04/23	2013/02/07	30.00
18059	J P Morgan SP BV – Evergrande European Warrants Dec 2012 A	80,000,000	2012/04/23	2012/12/28	22.40
18062	J P Morgan SP BV – GCL-Poly European Warrants Jan 2013 A	100,000,000	2012/04/23	2013/01/03	25.20
18058	J P Morgan SP BV – Gome European Warrants Feb 2013 A	100,000,000	2012/04/23	2013/02/27	25.00
18066	J P Morgan SP BV – KECL European Warrants Aug 2013 A	100,000,000	2012/04/23	2013/08/30	25.00
18065	J P Morgan SP BV – Prada European Warrants Sep 2015 A	300,000,000	2012/04/23	2015/09/29	75.00
18057	J P Morgan SP BV – Wynn Macau European Warrants Dec 2012 A	100,000,000	2012/04/23	2012/12/28	34.00
18055	Macquarie Bank Ltd. – ASM Pacific European Wts Mar 2013 A	200,000,000	2012/04/23	2013/03/26	50.00
18063	Macquarie Bank Ltd. – China Mobile Euro Put Wts Dec 2012 A	150,000,000	2012/04/23	2012/12/04	37.50
18056	Macquarie Bank Ltd. – OOIL European Warrants Mar 2014 A	200,000,000	2012/04/23	2014/03/26	50.00
18061	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2012 B	200,000,000	2012/04/23	2012/09/03	32.00
18064	UBS AG – China Shenhua European Warrants Sep 2012 A	100,000,000	2012/04/23	2012/09/24	15.00
18083	BNP Paribas Arbit Issu B.V. – Angang Steel Eur Wt Dec 2012 A	25,000,000	2012/04/24	2012/12/04	13.75
18086	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jan 2013 A	100,000,000	2012/04/24	2013/01/03	34.00
18085	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Eu Wt Jan 2013 A	99,000,000	2012/04/24	2013/01/03	26.73
18084	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Eu Wt Dec 2012 A	22,000,000	2012/04/24	2012/12/04	13.20
18076	Credit Suisse AG – AIA European Warrants Sep 2012 A	70,000,000	2012/04/24	2012/09/28	10.50
18075	Credit Suisse AG – BYD European Warrants Aug 2012 A	70,000,000	2012/04/24	2012/08/06	14.00
18078	Credit Suisse AG – A50 China European Warrants Dec 2012 A	100,000,000	2012/04/24	2012/12/24	15.00
18080	Credit Suisse AG – HKEx European Warrants Jul 2013 A	100,000,000	2012/04/24	2013/07/31	15.00
18077	Credit Suisse AG – Hutchison European Warrants Jul 2012 B	100,000,000	2012/04/24	2012/07/30	33.00
18074	Credit Suisse AG – PetroChina European Warrants Aug 2013 A	70,000,000	2012/04/24	2013/08/30	10.50
18073	Credit Suisse AG – Tencent Holdings Euro Put Wts Sep 2012 B	70,000,000	2012/04/24	2012/09/28	10.50
18072	Credit Suisse AG – Wharf European Warrants Nov 2012 A	70,000,000	2012/04/24	2012/11/26	28.00
18091	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Nov 2012 A	100,000,000	2012/04/24	2012/11/27	15.00
18090	Citigroup Global Mkt H Inc. – China Tel Euro Wts Nov 2012 A	100,000,000	2012/04/24	2012/11/26	25.00
18087	Citigroup Global Mkt H Inc. – Luk Fook Hold Eu Wt Jan 2013 A	100,000,000	2012/04/24	2013/01/14	25.00
18088	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Oct 2012 B	100,000,000	2012/04/24	2012/10/10	18.00
18089	Citigroup Global Mkt H Inc. -Yanzhou Coal Eur Wts Oct 2012 A	100,000,000	2012/04/24	2012/10/08	15.00
18068	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2013 A	120,000,000	2012/04/24	2013/06/10	18.24
18071	Standard Chartered Bank – BOCL European Warrants Jun 2013 A	100,000,000	2012/04/24	2013/06/04	25.00
18070	Standard Chartered Bank – Evergrande European Wts Dec 2013 A	50,000,000	2012/04/24	2013/12/02	44.00
18069	Standard Chartered Bank – Evergrande European Wts May 2015 A	100,000,000	2012/04/24	2015/05/28	25.00
18081	UBS AG – Sinopec Corp European Warrants Oct 2012 B	100,000,000	2012/04/24	2012/10/24	47.00
18082	UBS AG – Hutchison European Warrants Oct 2012 B	200,000,000	2012/04/24	2012/10/30	76.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16580 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2012	200,000,000	2012/04/24	2012/07/30	10.40
18117	Credit Suisse AG – CC Bank European Warrants Aug 2012 A	100,000,000	2012/04/25	2012/08/27	28.00
18115	Credit Suisse AG – CC Bank European Warrants Sep 2012 A	100,000,000	2012/04/25	2012/09/10	15.00
18114	Credit Suisse – SPDR Gold Trust European Warrants Oct 2012 A	70,000,000	2012/04/25	2012/10/31	28.00
18116	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2012 C	100,000,000	2012/04/25	2012/10/31	18.00
18103	Goldman Sachs SP (Asia) – CC Bank European Wts Nov 2012 A	120,000,000	2012/04/25	2012/11/26	43.32
18104	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2012 A	120,000,000	2012/04/25	2012/12/03	30.12
18101	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2013 A	120,000,000	2012/04/25	2013/01/21	94.80
18102	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Nov 2012 B	120,000,000	2012/04/25	2012/11/19	64.68
18100	Goldman Sachs SP (Asia) – Tencent European Put Wt Nov 2012 A	120,000,000	2012/04/25	2012/11/19	44.16
18106	Macquarie Bank Ltd. – CNOOC European Put Warrants Nov 2012 A	200,000,000	2012/04/25	2012/11/02	31.20
18105	Macquarie Bank Ltd. – PICC P&C European Warrants Apr 2013 A	200,000,000	2012/04/25	2013/04/16	30.00
18118	Macquarie Bank Ltd. – Tencent European Warrants Jan 2013 A	250,000,000	2012/04/25	2013/01/03	63.00
18112	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2012 B	250,000,000	2012/04/25	2012/11/02	62.50
18096	CC Rabobank B.A. – CM Bank European Warrants Feb 2013 A	90,000,000	2012/04/25	2013/02/15	15.30
18097	CC Rabobank B.A. – Dongfeng Motor European Wts Mar 2013 A	100,000,000	2012/04/25	2013/03/25	25.00
18095	CC Rabobank B.A. – Geely Auto European Warrants Nov 2012 A	80,000,000	2012/04/25	2012/11/27	29.60
18098	CC Rabobank B.A. – Hang Lung Ppt European Wts Dec 2012 A	70,000,000	2012/04/25	2012/12/17	17.50
18099	CC Rabobank B.A. – Want Want China European Wts Dec 2012 A	100,000,000	2012/04/25	2012/12/19	70.00
18111	Standard Chartered Bank – CC Bank European Wts Oct 2012 A	100,000,000	2012/04/25	2012/10/15	23.00
18110	Standard Chartered Bank – China Overseas Euro Wt Mar 2013 A	100,000,000	2012/04/25	2013/03/04	25.00
18113	Standard Chartered Bank – HSI European Put Wts Aug 2012 C	200,000,000	2012/04/25	2012/08/30	30.20
18107	Standard Chartered Bank – Hutchison Euro Euro Wts Nov 2012 B	100,000,000	2012/04/25	2012/11/06	25.00
18109	Standard Chartered Bank – KECL European Warrants Jan 2013 A	100,000,000	2012/04/25	2013/01/07	17.00
18108	Standard Chartered Bank – KECL European Warrants Mar 2013 A	100,000,000	2012/04/25	2013/03/08	25.00
18093	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Feb 2013 A	200,000,000	2012/04/25	2013/02/18	30.00
18092	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 A	200,000,000	2012/04/25	2013/07/29	34.00
18119	UBS AG – HSI European Put Warrants Aug 2012 H	300,000,000	2012/04/25	2012/08/30	45.00
18121	UBS AG – Tencent Holdings European Warrants Jan 2013 A	100,000,000	2012/04/25	2013/01/14	25.00
18120	UBS AG – Tencent Holdings European Put Warrants Oct 2012 C	100,000,000	2012/04/25	2012/10/25	30.00
18129	BOCI Asia Ltd. – CC Bank European Warrants Oct 2012 A	100,000,000	2012/04/26	2012/10/05	16.00
18133	BOCI Asia Ltd. – China Life European Warrants Oct 2012 A	100,000,000	2012/04/26	2012/10/10	15.00
18134	BOCI Asia Ltd. – HKEx European Put Warrants Mar 2013 A	100,000,000	2012/04/26	2013/03/18	17.00
18130	BOCI Asia Ltd. – SHK Ppt European Warrants Feb 2013 A	100,000,000	2012/04/26	2013/02/25	15.00
18128	BOCI Asia Ltd. – Tencent Holdings European Put Wts Nov 2012 A	100,000,000	2012/04/26	2012/11/01	20.00
18153	BNP Paribas Arbit Issu B.V. – Air China Euro Wts Jan 2013 A	25,000,000	2012/04/26	2013/01/03	11.75
18143	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eur Wt Sep 13 A	100,000,000	2012/04/26	2013/09/03	25.00
18148	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Feb 2013 A	56,000,000	2012/04/26	2013/02/04	22.40
18157	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Dec 2012 A	45,000,000	2012/04/26	2012/12/04	15.30
18150	BNP Paribas Arbit Issu B.V. – China Taiping Eu Wt Feb 2013 A	45,000,000	2012/04/26	2013/02/04	11.70
18149	BNP Paribas Arbit Issu B.V. – Datang Power Eu Wts Oct 2013 A	26,000,000	2012/04/26	2013/10/03	11.96
18146	BNP Paribas Arbit Issu B.V. – Dongfang Elec Eu Wt Feb 2013 A	70,000,000	2012/04/26	2013/02/04	21.70
18142	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Feb 13A	100,000,000	2012/04/26	2013/02/04	30.00
18151	BNP Paribas Arbit Issu B.V. – Huaneng Euro Wts Feb 2013 A	35,000,000	2012/04/26	2013/02/04	13.30

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
18154	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Nov 2012 A	52,000,000	2012/04/26	2012/11/02	31.72
18156	BNP Paribas Arbit Issu B.V. – Ping An Eu Put Wts Nov 2012 A	100,000,000	2012/04/26	2012/11/02	49.00
18147	BNP Paribas Arbit Issu B.V. – Weigao Group Eu Wts Dec 2013 A	92,000,000	2012/04/26	2013/12/03	24.84
18144	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Feb 2013 A	53,000,000	2012/04/26	2013/02/04	16.96
18155	BNP Paribas Arbit Issu B.V. – Want Want Ch Eu Wts Feb 2015 A	100,000,000	2012/04/26	2015/02/03	26.00
18152	BNP Paribas Arbit Issu B.V. – ZTE European Wts Feb 2013 A	100,000,000	2012/04/26	2013/02/04	25.00
18135	Credit Suisse AG – Sands China European Warrants Aug 2012 A	50,000,000	2012/04/26	2012/08/31	15.00
18140	Citigroup Global Mkt H Inc. – CPIC European Wts Oct 2012 A	100,000,000	2012/04/26	2012/10/09	15.00
18141	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Nov 2012 A	100,000,000	2012/04/26	2012/11/27	40.00
18139	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Mar 2013 A	100,000,000	2012/04/26	2013/03/18	15.00
18137	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Jan 2013 A	100,000,000	2012/04/26	2013/01/14	25.00
18138	Citigroup Global Mkt H Inc.-Tencent European Wts Jan 2013 A	100,000,000	2012/04/26	2013/01/14	25.00
18126	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2013 A	120,000,000	2012/04/26	2013/07/25	22.32
18132	Standard Chartered Bank – ICBC European Warrants Jun 2013 A	100,000,000	2012/04/26	2013/06/03	35.00
18131	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2013 A	100,000,000	2012/04/26	2013/01/04	25.00
18127	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Dec 2012B	200,000,000	2012/04/26	2012/12/10	30.00
18125	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Feb 2013A	300,000,000	2012/04/26	2013/02/13	54.00
18124	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2013A	300,000,000	2012/04/26	2013/11/11	48.00
18123	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Oct 12 D	300,000,000	2012/04/26	2012/10/24	63.00
18122	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Mar 13 A	300,000,000	2012/04/26	2013/03/11	75.00
18136	UBS AG – AIA European Warrants Sep 2012 B	200,000,000	2012/04/26	2012/09/28	36.00
18165	BOCI Asia Ltd. – AIA European Warrants Nov 2012 A	100,000,000	2012/04/27	2012/11/26	25.00
18167	BOCI Asia Ltd. – Sinopec Corp European Warrants Dec 2012 A	100,000,000	2012/04/27	2012/12/04	27.00
18166	BOCI Asia Ltd. – HKEx European Warrants Aug 2013 A	100,000,000	2012/04/27	2013/08/01	17.00
18164	BOCI Asia Ltd. – HSI European Warrants Jun 2013 A	100,000,000	2012/04/27	2013/06/27	18.00
18182	Citigroup Global Mkt H Inc. – BYD European Wts Oct 2012 A	80,000,000	2012/04/27	2012/10/29	20.00
18179	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2012 A	100,000,000	2012/04/27	2012/10/29	25.00
18180	Citigroup Global Mkt H Inc. – A50 China Eu Put Wt Nov 2012 A	100,000,000	2012/04/27	2012/11/26	15.00
18181	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2012 B	100,000,000	2012/04/27	2012/09/17	23.00
18178	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Oct 2012 A	100,000,000	2012/04/27	2012/10/29	15.00
18177	HK Bank – Sinopec Corp European Warrants Nov 2014 A	120,000,000	2012/04/27	2014/11/21	18.00
18176	HK Bank – Tencent European Warrants Dec 2012 A	100,000,000	2012/04/27	2012/12/12	25.00
18175	HK Bank – Tencent European Put Warrants Oct 2012 C	100,000,000	2012/04/27	2012/10/30	25.00
18168	Macquarie Bank Ltd. – Evergrande European Wts Aug 2012 A	100,000,000	2012/04/27	2012/08/20	17.60
18170	Macquarie Bank Ltd. – HKEx European Warrants Feb 2013 A	250,000,000	2012/04/27	2013/02/18	37.50
18169	Macquarie Bank Ltd. – HKEx European Warrants Aug 2013 A	250,000,000	2012/04/27	2013/08/02	39.00
18174	CC Rabobank B.A. – A50 China European Warrants Nov 2012 A	150,000,000	2012/04/27	2012/11/19	54.00
18158	CC Rabobank B.A. – Ping An European Put Warrants Nov 2012 A	100,000,000	2012/04/27	2012/11/19	55.00
18161	CC Rabobank B.A. – Tencent Holdings European Wts Oct 2012 A	150,000,000	2012/04/27	2012/10/26	33.00
18159	CC Rabobank B.A. – Tencent Holdings European Wts Jan 2013 A	150,000,000	2012/04/27	2013/01/21	37.50
18163	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Sep 2012 A	150,000,000	2012/04/27	2012/09/24	22.50
18162	CC Rabobank B.A. – Tencent Holdings Euro Put Wt Nov 2012 A	150,000,000	2012/04/27	2012/11/12	37.50
18173	Standard Chartered Bank-China Life European Wts Feb 2013 A	150,000,000	2012/04/27	2013/02/01	37.50
18172	Standard Chartered Bank – China Mobile Euro Wts Oct 2012 B	100,000,000	2012/04/27	2012/10/22	37.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18171	Standard Chartered Bank – HSBC European Warrants Sep 2012 A	100,000,000	2012/04/27	2012/09/17	23.00
18202	Credit Suisse AG – Sinopec Corp European Warrants Aug 2012 B	70,000,000	2012/04/30	2012/08/27	16.80
18201	Credit Suisse AG – Sinopec Corp European Warrants Nov 2014 A	70,000,000	2012/04/30	2014/11/28	10.50
18206	Credit Suisse AG – HSBC European Put Warrants Sep 2012 C	100,000,000	2012/04/30	2012/09/28	25.00
18203	Credit Suisse AG – Ping An European Warrants Sep 2012 B	80,000,000	2012/04/30	2012/09/11	16.00
18205	Credit Suisse AG – Ping An European Warrants Oct 2012 A	80,000,000	2012/04/30	2012/10/31	20.00
18204	Credit Suisse AG – Ping An European Put Warrants Sep 2012 C	80,000,000	2012/04/30	2012/09/28	22.40
18187	Citigroup Global Mkt H Inc. – AIA European Wts Oct 2012 B	100,000,000	2012/04/30	2012/10/09	15.00
18188	Citigroup Global Mkt H Inc. – China Life Euro Wts Oct 2012 A	80,000,000	2012/04/30	2012/10/30	12.00
18207	Citigroup Global Mkt H Inc. – A50 China Euro Wts Nov 2012 B	100,000,000	2012/04/30	2012/11/06	15.00
18210	Citigroup Global Mkt H Inc. – GCL-Poly Energy Eu Wt Oct 12 A	80,000,000	2012/04/30	2012/10/30	20.00
18209	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Oct 2012 A	100,000,000	2012/04/30	2012/10/30	30.00
18208	Citigroup Global Mkt H Inc. – ICBC European Wts Oct 2012 A	100,000,000	2012/04/30	2012/10/10	26.00
18194	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Aug 2013 A	180,000,000	2012/04/30	2013/08/02	45.00
18193	Macquarie Bank Ltd. – U Presid China European Wts Oct 2012 A	100,000,000	2012/04/30	2012/10/30	44.70
18186	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Oct 2012 C	100,000,000	2012/04/30	2012/10/30	25.00
18184	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2012 A	100,000,000	2012/04/30	2012/11/29	26.00
18185	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2012 B	100,000,000	2012/04/30	2012/11/29	25.00
18183	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Sep 2012B	80,000,000	2012/04/30	2012/09/24	12.00
18197	CC Rabobank B.A. – Anhui Conch European Warrants Sep 2012 A	80,000,000	2012/04/30	2012/09/25	16.00
18198	CC Rabobank B.A. – AIA European Warrants Sep 2012 A	150,000,000	2012/04/30	2012/09/24	22.50
18195	CC Rabobank B.A. – Belle Int'l European Warrants Feb 2013 A	100,000,000	2012/04/30	2013/02/18	25.00
18199	CC Rabobank B.A. – CNBM European Put Warrants Oct 2012 A	80,000,000	2012/04/30	2012/10/17	16.00
18196	CC Rabobank B.A. – CSR Times European Warrants Nov 2012 A	80,000,000	2012/04/30	2012/11/05	20.00
18190	Standard Chartered Bank – BOCL European Warrants Jan 2013 A	100,000,000	2012/04/30	2013/01/02	34.50
18191	Standard Chartered Bank – BOCL European Warrants Sep 2013 A	100,000,000	2012/04/30	2013/09/19	17.80
18189	Standard Chartered Bank – ICBC European Warrants Nov 2012 A	100,000,000	2012/04/30	2012/11/28	25.00
18192	Standard Chartered Bank -PetroChina European Wts Jan 2014 A	100,000,000	2012/04/30	2014/01/02	25.00
18200	UBS AG – HSI European Warrants Jul 2012 D	300,000,000	2012/04/30	2012/07/30	78.00
18223	Credit Suisse AG – Chow Tai Fook European Wts Nov 2012 A	50,000,000	2012/05/02	2012/11/02	43.00
18233	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Nov 12 A	100,000,000	2012/05/02	2012/11/05	25.00
18232	Citigroup Global Mkt H Inc. – CC Bank European Wt Nov 2012 A	100,000,000	2012/05/02	2012/11/05	28.00
18225	Citigroup Global Mkt H Inc. – CITIC Sec Euro Wts Nov 2012 A	100,000,000	2012/05/02	2012/11/05	25.00
18228	Citigroup Global Mkt H Inc. – Datang European Wts Nov 2012 A	100,000,000	2012/05/02	2012/11/05	25.00
18226	Citigroup Global Mkt H Inc. – Dongfeng Euro Wts Sep 2012 A	100,000,000	2012/05/02	2012/09/17	15.00
18229	Citigroup Global Mkt H Inc. – Geely Auto Euro Wts Nov 2012 A	80,000,000	2012/05/02	2012/11/05	20.00
18247	Citigroup Global Mkt H Inc. – HKEx European Wts Feb 2013 A	100,000,000	2012/05/02	2013/02/20	15.00
18246	Citigroup Global Mkt H Inc. – HSI European Wts Aug 2012 E	200,000,000	2012/05/02	2012/08/30	30.00
18245	Citigroup Global Mkt H Inc. – HSI European Put Wt Aug 2012 D	200,000,000	2012/05/02	2012/08/30	36.00
18244	Citigroup Global Mkt H Inc. – HSI European Put Wt Sep 2012 A	200,000,000	2012/05/02	2012/09/27	30.00
18227	Citigroup Global Mkt H Inc. – Li & Fung Euro Wt Jan 2013 A	100,000,000	2012/05/02	2013/01/03	15.00
18231	Citigroup Global Mkt H Inc. – Ch Rongsheng Eur Wt Nov 2012 A	80,000,000	2012/05/02	2012/11/05	20.00
18242	Bank of East Asia – CITIC Pacific European Wts Aug 2013 A	90,000,000	2012/05/02	2013/08/23	13.50
18238	Bank of East Asia – Esprit European Warrants Feb 2013 A	80,000,000	2012/05/02	2013/02/28	20.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18241	Bank of East Asia – HKEx European Put Warrants Sep 2013 A	120,000,000	2012/05/02	2013/09/23	30.00
18234	Bank of East Asia – Lenovo European Warrants May 2014 A	230,000,000	2012/05/02	2014/05/30	57.50
18237	Bank of East Asia – Tencent Holdings European Wts Jan 2013 A	60,000,000	2012/05/02	2013/01/23	15.00
18236	Bank of East Asia – Wynn Macau European Warrants Nov 2012 A	55,000,000	2012/05/02	2012/11/23	13.75
18216	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012 A	80,000,000	2012/05/02	2012/10/29	12.16
18217	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Nov 2012 A	80,000,000	2012/05/02	2012/11/12	15.60
18211	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2014 A	80,000,000	2012/05/02	2014/11/21	12.08
18212	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2013 A	80,000,000	2012/05/02	2013/07/25	12.24
18215	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Nov 12 A	80,000,000	2012/05/02	2012/11/05	33.20
18214	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Feb 2013 A	80,000,000	2012/05/02	2013/02/18	12.00
18213	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Eu Put Wt Sep 2013A	80,000,000	2012/05/02	2013/09/26	12.32
18219	CC Rabobank B.A. – AAC Acoustic European Warrants Nov 2012 A	60,000,000	2012/05/02	2012/11/05	18.00
18221	CC Rabobank B.A. – China EB Ltd European Warrants Oct 2012 A	100,000,000	2012/05/02	2012/10/26	17.00
18222	CC Rabobank B.A. – GCL-Poly Energy European Wts Nov 2012 A	120,000,000	2012/05/02	2012/11/02	30.00
18220	CC Rabobank B.A. – Zhaojin Mining European Wts May 2013 A	80,000,000	2012/05/02	2013/05/27	20.00
18224	CC Rabobank B.A. – ZTE European Warrants Nov 2012 A	80,000,000	2012/05/02	2012/11/05	20.00
18218	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2012 B	200,000,000	2012/05/02	2012/10/03	36.00
18243	UBS AG – China Life European Warrants Sep 2012 C	200,000,000	2012/05/02	2012/09/24	30.00
18268	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jan 2013 A	41,000,000	2012/05/03	2013/01/03	19.27
18266	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2013 A	93,000,000	2012/05/03	2013/01/03	52.08
18269	BNP Paribas Arbit Issu B.V. – Ping An Eur Put Wts Dec 2012 A	100,000,000	2012/05/03	2012/12/04	64.00
18271	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jul 2013 A	100,000,000	2012/05/03	2013/07/04	25.00
18270	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Dec 2012 A	100,000,000	2012/05/03	2012/12/04	30.00
18272	Bank of East Asia – Chow Tai Fook European Wts Nov 2012 A	30,000,000	2012/05/03	2012/11/05	18.60
18273	Bank of East Asia – Chow Tai Fook European Wts May 2013 A	150,000,000	2012/05/03	2013/05/02	37.50
18260	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Dec 2012 A	120,000,000	2012/05/03	2012/12/10	61.20
18258	Goldman Sachs SP (Asia) – BOCL European Warrants Sep 2012 A	120,000,000	2012/05/03	2012/09/24	18.00
18264	Goldman Sachs SP (Asia) – Esprit European Wts Jan 2013 A	120,000,000	2012/05/03	2013/01/21	30.12
18257	Goldman Sachs SP (Asia) – HSBC European Put Wts Sep 2012 B	120,000,000	2012/05/03	2012/09/10	40.32
18259	Goldman Sachs SP (Asia) -Tencent European Wt Feb 2013 A	120,000,000	2012/05/03	2013/02/25	30.00
18263	Macquarie Bank Ltd. – Chow Tai Fook European Wts Nov 2012 A	100,000,000	2012/05/03	2012/11/02	56.80
18250	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2012 A	60,000,000	2012/05/03	2012/11/05	15.60
18249	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2012 A	70,000,000	2012/05/03	2012/11/19	14.63
18254	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wt Sep 2014A	40,000,000	2012/05/03	2014/09/16	10.00
18248	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Oct 2012 B	60,000,000	2012/05/03	2012/10/24	18.00
18253	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Aug 2012 B	60,000,000	2012/05/03	2012/08/31	13.68
18252	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2012 A	60,000,000	2012/05/03	2012/09/27	10.62
18251	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Nov 2012 A	40,000,000	2012/05/03	2012/11/05	10.00
18262	Standard Chartered Bank – China Life Euro Put Wts Jun 2013 A	100,000,000	2012/05/03	2013/06/04	25.00
18261	Standard Chartered Bank – A50 China Euro Warrants Aug 2012 A	100,000,000	2012/05/03	2012/08/20	21.50
18255	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Nov 12A	50,000,000	2012/05/03	2012/11/05	13.50
18256	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Nov12A	50,000,000	2012/05/03	2012/11/05	12.50
18276	Macquarie Bank Ltd. – Dongfang Elec European Wts Dec 2012 A	180,000,000	2012/05/04	2012/12/04	45.00
18275	Macquarie Bank Ltd. – Weigao Group European Wts Nov 2013 A	180,000,000	2012/05/04	2013/11/04	45.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18274	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Nov 2012 A	150,000,000	2012/05/04	2012/11/05	52.50
18277	UBS AG – CC Bank European Warrants Aug 2012 C	200,000,000	2012/05/04	2012/08/13	44.00
18282	Goldman Sachs SP (Asia) – CITIC Sec European Wts Dec 2012 A	120,000,000	2012/05/07	2012/12/24	34.92
18279	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Nov 2012 A	120,000,000	2012/05/07	2012/11/26	30.72
18280	Goldman Sachs SP (Asia) – Henganintl European Wts Nov 2012 A	120,000,000	2012/05/07	2012/11/26	67.56
18278	Goldman Sachs SP (Asia) – New World Dev Euro Wts Dec 2012 A	120,000,000	2012/05/07	2012/12/10	70.56
18281	Goldman Sachs SP (Asia) – Shimao Ppt European Wts Jun 2013 A	120,000,000	2012/05/07	2013/06/28	19.44
18287	Macquarie Bank Ltd. – Gome European Warrants Feb 2013 A	80,000,000	2012/05/07	2013/02/20	13.04
18284	Standard Chartered Bank – ICBC European Warrants Mar 2013 A	100,000,000	2012/05/07	2013/03/01	30.50
18286	Standard Chartered Bank – Sands China Euro Wts Feb 2013 A	100,000,000	2012/05/07	2013/02/28	38.00
18285	Standard Chartered Bank – Sands China Euro Wts Aug 2013 A	100,000,000	2012/05/07	2013/08/08	42.00
18283	Standard Chartered Bank – Tencent Hldg Euro Wts May 2013 A	100,000,000	2012/05/07	2013/05/24	25.00
18288	Standard Chartered Bank – Zijin Mining Euro Wts Feb 2013 A	100,000,000	2012/05/07	2013/02/04	25.00
16582 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012	200,000,000	2012/05/07	2012/08/30	18.00
17211 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012 A	200,000,000	2012/05/07	2012/08/30	15.00
18297	Credit Suisse AG – Tencent Holdings European Wts Dec 2012 B	100,000,000	2012/05/08	2012/12/05	20.00
18298	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2012 D	100,000,000	2012/05/08	2012/10/31	20.00
18299	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2013 A	120,000,000	2012/05/08	2013/01/30	25.44
18294	Goldman Sachs SP (Asia) – Foxconn European Wts Dec 2012 A	120,000,000	2012/05/08	2012/12/24	33.48
18290	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2012 C	200,000,000	2012/05/08	2012/09/27	30.60
18291	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2012 A	200,000,000	2012/05/08	2012/10/30	40.00
18289	Goldman Sachs SP (Asia) – HSI European Put Wts Sep 2012 B	200,000,000	2012/05/08	2012/09/27	46.60
18293	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2012B	300,000,000	2012/05/08	2012/10/03	66.00
18292	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 12 A	200,000,000	2012/05/08	2012/08/29	52.00
18295	UBS AG – Agricultural Bank European Warrants Sep 2012 A	100,000,000	2012/05/08	2012/09/28	15.00
18296	UBS AG – CPIC European Warrants Sep 2012 A	100,000,000	2012/05/08	2012/09/24	15.00
18303	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jan 2013 A	200,000,000	2012/05/09	2013/01/28	44.00
18316	Credit Suisse AG – Agricultural Bank European Wts Nov 2012 A	60,000,000	2012/05/09	2012/11/19	15.00
18310	Credit Suisse AG – CC Bank European Warrants Oct 2012 A	80,000,000	2012/05/09	2012/10/29	20.00
18319	Credit Suisse AG – CITIC Sec European Warrants Nov 2012 A	60,000,000	2012/05/09	2012/11/19	15.00
18314	Credit Suisse AG – Minsheng Bank European Wts Nov 2012 A	60,000,000	2012/05/09	2012/11/19	24.00
18317	Credit Suisse AG – China Tel European Warrants Nov 2012 A	60,000,000	2012/05/09	2012/11/19	15.00
18312	Credit Suisse AG – HKEx European Warrants Feb 2013 A	80,000,000	2012/05/09	2013/02/25	12.00
18320	Credit Suisse AG – HSI European Put Warrants Aug 2012 M	300,000,000	2012/05/09	2012/08/30	48.00
18321	Credit Suisse AG – HSI European Put Warrants Aug 2012 N	300,000,000	2012/05/09	2012/08/30	57.00
18311	Credit Suisse AG – Hutchison European Warrants Oct 2012 B	80,000,000	2012/05/09	2012/10/31	24.00
18318	Credit Suisse AG – PetroChina European Warrants Nov 2012 A	60,000,000	2012/05/09	2012/11/19	18.00
18313	Credit Suisse AG – PICC European Warrants Apr 2013 A	80,000,000	2012/05/09	2013/04/29	12.00
18315	Credit Suisse AG – Ping An European Warrants Nov 2012 A	80,000,000	2012/05/09	2012/11/30	20.00
18307	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2012 B	120,000,000	2012/05/09	2012/09/18	18.24
18306	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Nov 2012 C	120,000,000	2012/05/09	2012/11/27	18.72
18300	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Oct 2012C	80,000,000	2012/05/09	2012/10/03	12.00
18304	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Feb 2013A	300,000,000	2012/05/09	2013/02/27	45.00
18301	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Oct 2012A	100,000,000	2012/05/09	2012/10/08	21.00

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		issue (units)	Listing date	Expiry	
18302	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Nov 12 B	50,000,000	2012/05/09	2012/11/12	14.00
18305	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2012 C	300,000,000	2012/05/09	2012/10/30	45.00
18309	UBS AG – CC Bank European Warrants Sep 2012 B	200,000,000	2012/05/09	2012/09/03	30.00
18308	UBS AG – HSBC European Warrants Sep 2012 B	200,000,000	2012/05/09	2012/09/24	32.00
18332	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2013 A	200,000,000	2012/05/10	2013/04/03	76.00
18325	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Jan 2013 A	100,000,000	2012/05/10	2013/01/03	25.00
18331	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Jan 2013 A	100,000,000	2012/05/10	2013/01/03	31.00
18328	Credit Suisse AG – CC Bank European Put Warrants Aug 2012 B	80,000,000	2012/05/10	2012/08/31	12.00
18326	Credit Suisse AG – China Mobile European Warrants Sep 2012 C	80,000,000	2012/05/10	2012/09/28	12.00
18327	Credit Suisse AG – China Mobile European Put Wts Aug 2012 A	80,000,000	2012/05/10	2012/08/31	12.00
18329	Credit Suisse AG – Jiangxi Copper Euro Put Wts Nov 2012 A	80,000,000	2012/05/10	2012/11/30	20.00
18323	Standard Chartered Bank – AIA European Warrants Jan 2013 A	100,000,000	2012/05/10	2013/01/04	25.00
18322	Standard Chartered Bank – China Mobile Euro Wts Jan 2013 A	100,000,000	2012/05/10	2013/01/04	35.50
18324	Standard Chartered Bank – A50 China Euro Warrants May 2014 A	100,000,000	2012/05/10	2014/05/07	25.00
18330	UBS AG – A50 China European Warrants Aug 2014 A	200,000,000	2012/05/10	2014/08/04	30.00
14518 #	CC Rabobank B.A. – CNBM European Warrants Sep 2012	200,000,000	2012/05/10	2012/09/19	10.20
16503 #	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2012	300,000,000	2012/05/10	2012/07/31	11.40
16601 #	UBS AG – HSCEI European Warrants Sep 2012 A	300,000,000	2012/05/10	2012/09/27	10.50
18336	Goldman Sachs SP (Asia) – Agri Bk European Wts Jan 2013 A	120,000,000	2012/05/11	2013/01/28	30.12
18351	Goldman Sachs SP (Asia) – HSCEI European Put Wts Nov 2012 A	100,000,000	2012/05/11	2012/11/29	25.20
18352	Goldman Sachs SP (Asia) – HSCEI European Put Wts Nov 2012 B	100,000,000	2012/05/11	2012/11/29	29.10
18335	Goldman Sachs SP (Asia) – Ping An European Wts Nov 2012 A	120,000,000	2012/05/11	2012/11/19	35.04
18334	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2013 A	120,000,000	2012/05/11	2013/04/29	31.44
18345	Macquarie Bank Ltd. – Agile Ppt European Warrants May 2014 A	180,000,000	2012/05/11	2014/05/02	45.00
18354	Macquarie Bank Ltd. – China Tel European Warrants Jan 2013 A	100,000,000	2012/05/11	2013/01/03	25.00
18353	Macquarie Bank Ltd. – China Unicom Euro Put Wts Sep 2013 A	200,000,000	2012/05/11	2013/09/03	37.60
18342	Macquarie Bank Ltd. – Galaxy Ent European Wts Nov 2012 A	180,000,000	2012/05/11	2012/11/16	37.26
18343	Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts May 2014 A	180,000,000	2012/05/11	2014/05/02	45.00
18355	Macquarie Bank Ltd. – Ch Rongsheng Euro Warrants Nov 2012 A	80,000,000	2012/05/11	2012/11/12	20.00
18341	Macquarie Bank Ltd. – SJM Holdings European Wts Feb 2013 A	180,000,000	2012/05/11	2013/02/21	27.00
18356	Macquarie Bank Ltd. – Tencent European Put Wts Nov 2012 C	250,000,000	2012/05/11	2012/11/05	62.00
18338	CC Rabobank B.A. – Angang Steel European Warrants Nov 2012 A	80,000,000	2012/05/11	2012/11/12	51.20
18350	CC Rabobank B.A. – BOC HK European Warrants Nov 2012 A	150,000,000	2012/05/11	2012/11/27	22.50
18339	CC Rabobank B.A. – Chow Tai Fook European Wts Oct 2012 A	50,000,000	2012/05/11	2012/10/26	26.50
18340	CC Rabobank B.A. – Evergrande European Warrants Nov 2012 A	100,000,000	2012/05/11	2012/11/12	42.00
18337	CC Rabobank B.A. – Foxconn European Warrants Nov 2012 A	80,000,000	2012/05/11	2012/11/12	33.60
18347	Standard Chartered Bank – Agricultural Bk Euro Wts Jan 2013A	100,000,000	2012/05/11	2013/01/24	15.00
18349	Standard Chartered Bank – BOCL European Warrants Sep 2012 B	100,000,000	2012/05/11	2012/09/24	15.00
18348	Standard Chartered Bank – BOCL European Put Wts Jun 2013 B	100,000,000	2012/05/11	2013/06/03	30.00
18346	Standard Chartered Bank – CC Bank European Wts Jun 2013 A	100,000,000	2012/05/11	2013/06/20	38.00
18333	UBS AG – Esprit European Warrants Dec 2012 A	100,000,000	2012/05/11	2012/12/24	25.00
16545 #	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Aug 2012	60,000,000	2012/05/11	2012/08/30	11.10
18373	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Jan 2013B	200,000,000	2012/05/14	2013/01/03	70.00
18358	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2013 A	100,000,000	2012/05/14	2013/04/03	26.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18359	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Apr 2013A	100,000,000	2012/05/14	2013/04/03	25.00
18369	BNP Paribas Arbit Issu B.V. – Ch Ship Cont Eur Wt Feb 2013 A	90,000,000	2012/05/14	2013/02/04	27.90
18361	BNP Paribas Arbit Issu B.V. – Dongyue Gp Euro Wts Apr 2015 A	100,000,000	2012/05/14	2015/04/02	25.00
18366	BNP Paribas Arbit Issu B.V. – Gome European Wts Jan 2013 A	100,000,000	2012/05/14	2013/01/03	25.00
18370	BNP Paribas Arbit Issu B.V. – R&F Ppt Euro Wts Mar 2014 A	100,000,000	2012/05/14	2014/03/04	25.00
18372	BNP Paribas Arbit Issu B.V. – ICBC Euro Put Wts Jan 2013 A	200,000,000	2012/05/14	2013/01/03	50.00
18360	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Jan 2013A	60,000,000	2012/05/14	2013/01/03	19.80
18371	BNP Paribas Arbit Issu B.V. – Shimao Ppt Euro Wts Jan 2014 A	100,000,000	2012/05/14	2014/01/03	25.00
18368	Credit Suisse AG – ICBC European Warrants Oct 2012 A	70,000,000	2012/05/14	2012/10/08	10.50
18357	Goldman Sachs SP (Asia) – SHK Ppt European Wts Jan 2013 A	120,000,000	2012/05/14	2013/01/28	30.12
18365	Macquarie Bank Ltd. – CC Bank European Warrants Jan 2013 A	200,000,000	2012/05/14	2013/01/03	52.00
18362	Macquarie Bank Ltd. – Hutchison European Warrants Dec 2012 A	200,000,000	2012/05/14	2012/12/04	52.40
18364	Macquarie Bank Ltd. – ICBC European Warrants Jan 2013 A	200,000,000	2012/05/14	2013/01/03	51.40
18363	Macquarie Bank Ltd. – Zijin Mining European Wts Dec 2012 A	200,000,000	2012/05/14	2012/12/04	51.80
18374	UBS AG – BOCL European Warrants Dec 2012 A	100,000,000	2012/05/14	2012/12/21	22.00
18375	UBS AG – CC Bank European Warrants Nov 2012 A	200,000,000	2012/05/14	2012/11/14	50.00
18367	UBS AG – HKEx European Warrants Jul 2013 A	300,000,000	2012/05/14	2013/07/29	45.00
18376	UBS AG – HSI European Warrants Dec 2012 D	300,000,000	2012/05/14	2012/12/28	45.00
18396	BNP Paribas Arbit Issu B.V. – HSI European Wts Nov 2012 C	200,000,000	2012/05/15	2012/11/29	50.00
18392	BNP Paribas Arbit Issu B.V. – HSI European Wts Jan 2013 A	200,000,000	2012/05/15	2013/01/30	50.00
18398	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2012 C	200,000,000	2012/05/15	2012/08/30	34.00
18397	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2012 B	200,000,000	2012/05/15	2012/10/30	54.00
18394	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Nov 2012 B	200,000,000	2012/05/15	2012/11/29	50.00
18388	Macquarie Bank Ltd. – CPIC European Warrants Mar 2013 A	180,000,000	2012/05/15	2013/03/04	45.00
18386	Macquarie Bank Ltd. – Dongyue Group European Wts Mar 2014 A	150,000,000	2012/05/15	2014/03/04	37.50
18387	Macquarie Bank Ltd. -GCL-Poly Energy European Wts Nov 2012 A	100,000,000	2012/05/15	2012/11/02	15.00
18382	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Nov 2012 C	100,000,000	2012/05/15	2012/11/29	25.00
18381	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2012 B	100,000,000	2012/05/15	2012/12/28	15.10
18380	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Mar 2013 A	100,000,000	2012/05/15	2013/03/27	15.00
18377	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Nov 2012A	100,000,000	2012/05/15	2012/11/29	25.00
18379	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Nov 2012B	100,000,000	2012/05/15	2012/11/29	25.00
18378	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Dec 2012A	100,000,000	2012/05/15	2012/12/28	18.80
18399	Standard Chartered Bank – CC Bank European Wts Sep 2012 B	100,000,000	2012/05/15	2012/09/24	17.60
18389	Standard Chartered Bank – CNOOC European Warrants Sep 2012 A	100,000,000	2012/05/15	2012/09/24	17.00
18390	Standard Chartered Bank – CNOOC European Warrants Feb 2013 A	100,000,000	2012/05/15	2013/02/06	15.00
18391	Standard Chartered Bank – CNOOC European Warrants Nov 2013 A	100,000,000	2012/05/15	2013/11/08	15.00
18400	Standard Chartered Bank – CNOOC European Warrants May 2014 A	100,000,000	2012/05/15	2014/05/29	25.00
18385	SGA Societe Generale Acceptance N.V. – AIA Euro Wt Nov 2012A	100,000,000	2012/05/15	2012/11/26	17.00
18384	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2012 A	150,000,000	2012/05/15	2012/11/28	57.00
18383	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Sep 2012 A	150,000,000	2012/05/15	2012/09/26	70.50
18401	UBS AG – HKEx European Warrants Sep 2012 A	300,000,000	2012/05/15	2012/09/24	45.00
17817 #	UBS AG – Tencent Holdings European Warrants Oct 2012 B	100,000,000	2012/05/15	2012/10/24	11.50
18404	BNP Paribas Arbit Issu B.V. – Chow Tai Fook Eu Wt Sep 2014 A	100,000,000	2012/05/16	2014/09/02	25.00
18406	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts Sep 2013 A	86,000,000	2012/05/16	2013/09/03	21.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18405	BNP Paribas Arbit Issu B.V. – NCI European Wts Jan 2013 A	41,000,000	2012/05/16	2013/01/03	16.81
18408	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wt Jan13A	31,000,000	2012/05/16	2013/01/03	10.54
18407	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Sep 2013 A	68,000,000	2012/05/16	2013/09/03	17.68
18419	Credit Suisse AG – CNOOC European Warrants Nov 2012 A	80,000,000	2012/05/16	2012/11/09	12.00
18420	Credit Suisse AG – CNOOC European Warrants Nov 2012 B	80,000,000	2012/05/16	2012/11/26	12.00
18417	Credit Suisse AG – HSI European Warrants Sep 2012 B	300,000,000	2012/05/16	2012/09/27	51.00
18416	Credit Suisse AG – HSI European Warrants Oct 2012 C	300,000,000	2012/05/16	2012/10/30	45.00
18418	Credit Suisse AG – HSI European Put Warrants Nov 2012 A	300,000,000	2012/05/16	2012/11/29	48.00
18410	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2012 A	120,000,000	2012/05/16	2012/11/19	18.84
18412	Goldman Sachs SP (Asia) – China Coal European Wts Nov 2013 A	120,000,000	2012/05/16	2013/11/18	18.00
18411	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Aug 2013 A	120,000,000	2012/05/16	2013/08/28	18.12
18409	Macquarie Bank Ltd. – Ping An European Warrants Dec 2012 B	200,000,000	2012/05/16	2012/12/04	73.80
18403	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Nov 2012 A	100,000,000	2012/05/16	2012/11/19	34.00
18402	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Apr13A	100,000,000	2012/05/16	2013/04/03	20.00
18413	UBS AG – China Tel European Warrants Nov 2012 A	100,000,000	2012/05/16	2012/11/19	30.00
18414	UBS AG – SPDR Gold Trust European Warrants Dec 2012 A	100,000,000	2012/05/16	2012/12/10	56.00
18415	UBS AG – Zijin Mining European Warrants Nov 2012 A	100,000,000	2012/05/16	2012/11/26	26.00
18424	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Dec12A	100,000,000	2012/05/17	2012/12/04	33.00
18425	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Dec 2012 A	100,000,000	2012/05/17	2012/12/04	26.00
18423	BNP Paribas Arbit Issu B.V. – ICBC European Wts Feb 2013 A	200,000,000	2012/05/17	2013/02/04	50.00
18422	BNP Paribas Arbit Issu B.V. – Ping An European Wt Dec 2012 B	100,000,000	2012/05/17	2012/12/04	26.00
18429	Credit Suisse AG – Hutchison European Warrants Sep 2012 B	80,000,000	2012/05/17	2012/09/28	28.00
18430	Credit Suisse AG – Yanzhou Coal European Warrants Mar 2013 A	80,000,000	2012/05/17	2013/03/25	12.00
18432	Daiwa Capital Mkt – China Life European Warrants Oct 2012 B	138,000,000	2012/05/17	2012/10/08	20.70
18428	Macquarie Bank Ltd. – NCI European Warrants Nov 2012 A	150,000,000	2012/05/17	2012/11/19	38.70
18426	Standard Chartered Bank – SHK P European Warrants Dec 2013 A	100,000,000	2012/05/17	2013/12/06	25.00
18427	Standard Chartered Bank – SHK P European Put Wts Dec 2012 B	100,000,000	2012/05/17	2012/12/18	25.00
18421	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Sep 14 A	500,000,000	2012/05/17	2014/09/15	90.00
18431	UBS AG – CNOOC European Warrants Nov 2012 D	200,000,000	2012/05/17	2012/11/19	30.00
18465	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2014 A	200,000,000	2012/05/18	2014/01/03	30.00
18475	Credit Suisse AG – BOCL European Warrants Dec 2012 A	80,000,000	2012/05/18	2012/12/24	20.00
18477	Credit Suisse AG – CC Bank European Warrants Nov 2012 B	80,000,000	2012/05/18	2012/11/30	22.40
18478	Credit Suisse AG – HKEx European Warrants Sep 2012 B	80,000,000	2012/05/18	2012/09/28	12.00
18476	Credit Suisse AG – ICBC European Warrants Nov 2012 B	80,000,000	2012/05/18	2012/11/30	20.00
18470	Daiwa Capital Mkt – CCB European Warrants Nov 2012 C	128,000,000	2012/05/18	2012/11/19	32.00
18469	Daiwa Capital Mkt – Hutchison European Warrants Oct 2012 C	128,000,000	2012/05/18	2012/10/22	30.72
18468	Daiwa Capital Mkt – Hutchison European Put Wts Oct 2012 B	80,000,000	2012/05/18	2012/10/12	20.00
18457	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2012 B	200,000,000	2012/05/18	2012/10/30	32.60
18459	Goldman Sachs SP (Asia) – Prada European Warrants Mar 2013 A	120,000,000	2012/05/18	2013/03/04	57.00
18458	Goldman Sachs SP (Asia) – ZTE European Warrants Dec 2012 A	120,000,000	2012/05/18	2012/12/24	30.12
18436	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Nov 2012 A	40,000,000	2012/05/18	2012/11/19	13.80
18439	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2012 A	70,000,000	2012/05/18	2012/11/26	12.39
18440	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2013 A	70,000,000	2012/05/18	2013/01/25	10.99
18438	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2014 A	70,000,000	2012/05/18	2014/10/28	12.39

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18437	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Dec 14A	40,000,000	2012/05/18	2014/12/08	10.00
18433	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Nov 2012 B	40,000,000	2012/05/18	2012/11/19	12.40
18434	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Mar 2014 A	80,000,000	2012/05/18	2014/03/31	12.16
18435	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Nov 2012 A	40,000,000	2012/05/18	2012/11/19	17.60
18446	Nomura Int'l plc – BYD European Warrants Nov 2012 A	100,000,000	2012/05/18	2012/11/30	25.00
18448	Nomura Int'l plc – China Life European Warrants Oct 2012 A	100,000,000	2012/05/18	2012/10/08	15.00
18445	Nomura Int'l plc – CNOOC European Warrants Feb 2013 A	100,000,000	2012/05/18	2013/02/25	15.00
18447	Nomura Int'l plc – Chow Tai Fook European Wts Oct 2012 A	50,000,000	2012/05/18	2012/10/26	24.00
18442	Nomura Int'l plc – Dongyue Gp European Warrants Mar 2014 A	100,000,000	2012/05/18	2014/03/10	25.00
18449	Nomura Int'l plc – A50 China European Warrants Oct 2014 A	100,000,000	2012/05/18	2014/10/31	15.50
18444	Nomura Int'l plc – HKEx European Warrants Jun 2014 A	100,000,000	2012/05/18	2014/06/30	15.00
18441	Nomura Int'l plc – Hutchison European Warrants Nov 2012 A	100,000,000	2012/05/18	2012/11/30	25.50
18443	Nomura Int'l plc – JIANG European Warrants Mar 2013 A	100,000,000	2012/05/18	2013/03/28	25.00
18450	Nomura Int'l plc – Zijin Mining European Warrants Dec 2012 A	50,000,000	2012/05/18	2012/12/24	12.50
18463	CC Rabobank B.A. – BYD European Warrants Nov 2012 A	80,000,000	2012/05/18	2012/11/12	20.00
18464	CC Rabobank B.A. – KECL European Warrants Oct 2013 A	90,000,000	2012/05/18	2013/10/28	17.10
18462	CC Rabobank B.A. – NCI European Warrants Nov 2012 A	80,000,000	2012/05/18	2012/11/19	20.00
18460	CC Rabobank B.A. – Ch Rongsheng European Warrants Oct 2012 A	80,000,000	2012/05/18	2012/10/29	17.60
18461	CC Rabobank B.A. – Zijin Mining European Warrants Nov 2012 A	70,000,000	2012/05/18	2012/11/19	17.50
18452	Standard Chartered Bank-China Life European Wts Oct 2012 B	100,000,000	2012/05/18	2012/10/08	15.00
18454	Standard Chartered Bank-China Life European Wts Jan 2013 A	100,000,000	2012/05/18	2013/01/04	25.00
18453	Standard Chartered Bank – China Mobile Euro Wts Nov 2012 B	100,000,000	2012/05/18	2012/11/06	16.00
18456	Standard Chartered Bank – China Mobile Euro Wts May 2014 A	200,000,000	2012/05/18	2014/05/23	50.00
18455	Standard Chartered Bank – China Unicom Euro Wts Oct 2013 A	100,000,000	2012/05/18	2013/10/04	25.00
18451	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Oct 2012 A	200,000,000	2012/05/18	2012/10/03	32.00
18466	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Oct 2012B	100,000,000	2012/05/18	2012/10/17	27.00
18467	UBS AG – CC Bank European Warrants Nov 2012 B	200,000,000	2012/05/18	2012/11/19	50.00
18493	Credit Suisse AG – Agricultural Bank European Wts Oct 2012 A	80,000,000	2012/05/21	2012/10/03	13.60
18495	Credit Suisse AG – CC Bank European Warrants Nov 2012 C	80,000,000	2012/05/21	2012/11/29	28.00
18494	Credit Suisse AG – ICBC European Warrants Oct 2012 B	80,000,000	2012/05/21	2012/10/31	21.60
18484	Daiwa Capital Mkt – BOCL European Warrants Dec 2012 A	108,000,000	2012/05/21	2012/12/12	27.00
18485	Daiwa Capital Mkt – CNOOC European Warrants Jan 2013 A	108,000,000	2012/05/21	2013/01/07	20.52
18482	Daiwa Capital Mkt – HSBC European Warrants Oct 2012 C	128,000,000	2012/05/21	2012/10/05	67.84
18492	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2012 D	100,000,000	2012/05/21	2012/12/28	33.00
18491	Daiwa Capital Mkt – HSCEI European Put Warrants Mar 2013 B	100,000,000	2012/05/21	2013/03/27	25.00
18490	Daiwa Capital Mkt – HSI European Put Warrants Dec 2012 C	200,000,000	2012/05/21	2012/12/28	50.00
18483	Daiwa Capital Mkt – SHK Ppt European Warrants Nov 2012 A	80,000,000	2012/05/21	2012/11/21	40.80
18486	Daiwa Capital Mkt – SHK Ppt European Put Warrants Sep 2013 A	80,000,000	2012/05/21	2013/09/25	12.00
18480	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2012 A	120,000,000	2012/05/21	2012/10/03	18.00
18481	Goldman Sachs SP (Asia) – Hutchison European Wts Dec 2012 A	120,000,000	2012/05/21	2012/12/03	44.64
18479	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2012 A	200,000,000	2012/05/21	2012/10/10	46.00
18488	UBS AG – CC Bank European Warrants Nov 2012 C	200,000,000	2012/05/21	2012/11/26	50.00
18487	UBS AG – China Mobile European Warrants Nov 2012 A	200,000,000	2012/05/21	2012/11/12	84.00
18489	UBS AG – HSCEI European Warrants Dec 2012 C	100,000,000	2012/05/21	2012/12/28	15.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
18517	Credit Suisse AG – BYD European Warrants Sep 2012 A	70,000,000	2012/05/22	2012/09/28	10.50
18514	Credit Suisse AG – CNBM European Warrants Dec 2012 A	70,000,000	2012/05/22	2012/12/10	10.50
18518	Credit Suisse AG – ChiUnicom European Warrants Dec 2012 A	70,000,000	2012/05/22	2012/12/03	12.60
18515	Credit Suisse AG – ICBC European Warrants Aug 2012 B	70,000,000	2012/05/22	2012/08/31	17.50
18516	Credit Suisse AG – ICBC European Put Warrants Oct 2012 C	70,000,000	2012/05/22	2012/10/11	10.50
18520	Daiwa Capital Mkt – HSI European Warrants Mar 2013 B	200,000,000	2012/05/22	2013/03/27	56.00
18521	Daiwa Capital Mkt – HSI European Warrants Mar 2013 C	200,000,000	2012/05/22	2013/03/27	70.00
18519	Daiwa Capital Mkt – HSI European Put Warrants Dec 2012 D	200,000,000	2012/05/22	2012/12/28	50.00
18502	Macquarie Bank Ltd. – Ch LongYuan European Wts Nov 2012 B	100,000,000	2012/05/22	2012/11/22	39.90
18503	Macquarie Bank Ltd. – Yurun Food European Warrants Feb 2014A	180,000,000	2012/05/22	2014/02/04	45.00
18498	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2012 B	40,000,000	2012/05/22	2012/11/26	10.00
18500	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Dec 2012 A	80,000,000	2012/05/22	2012/12/24	12.00
18497	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012 B	80,000,000	2012/05/22	2012/09/18	12.00
18496	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 A	200,000,000	2012/05/22	2013/02/27	50.00
18499	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Oct 2012 A	80,000,000	2012/05/22	2012/10/08	12.00
18509	CC Rabobank B.A. – China Shenhua European Warrants Jan 2013A	80,000,000	2012/05/22	2013/01/30	20.00
18512	CC Rabobank B.A. – Gome European Warrants Dec 2012 A	100,000,000	2012/05/22	2012/12/27	23.00
18510	CC Rabobank B.A. – Henderson Land Dev Euro Wts Dec 2012 A	70,000,000	2012/05/22	2012/12/28	21.70
18508	CC Rabobank B.A. – Jiangxi Copper European Wts Mar 2013 A	100,000,000	2012/05/22	2013/03/27	25.00
18511	CC Rabobank B.A. – Li & Fung European Warrants Jul 2013 A	100,000,000	2012/05/22	2013/07/30	25.00
18501	Standard Chartered Bank – HSI European Warrants Sep 2012 B	200,000,000	2012/05/22	2012/09/27	30.40
18505	UBS AG – BYD European Warrants Nov 2012 A	100,000,000	2012/05/22	2012/11/26	25.00
18506	UBS AG – CC Bank European Warrants Nov 2012 D	200,000,000	2012/05/22	2012/11/30	50.00
18504	UBS AG – China Life European Warrants Sep 2012 D	200,000,000	2012/05/22	2012/09/17	30.00
18513	UBS AG – China Shenhua European Warrants Jan 2013 A	100,000,000	2012/05/22	2013/01/14	25.00
18507	UBS AG – GCL-Poly Energy European Warrants Dec 2012 A	100,000,000	2012/05/22	2012/12/17	25.00
18557	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Apr 2013 A	200,000,000	2012/05/23	2013/04/02	50.00
18530	Credit Suisse AG – Anhui Conch European Warrants Sep 2012 B	80,000,000	2012/05/23	2012/09/28	12.00
18549	Credit Suisse AG – China Mobile European Warrants Sep 2012 D	80,000,000	2012/05/23	2012/09/28	16.00
18551	Credit Suisse AG – Sinopec Corp European Warrants Nov 2012 A	80,000,000	2012/05/23	2012/11/30	20.00
18550	Credit Suisse AG – China Tel European Warrants Oct 2012 A	80,000,000	2012/05/23	2012/10/11	16.00
18552	Credit Suisse AG – HSI European Warrants Oct 2012 D	300,000,000	2012/05/23	2012/10/30	45.00
18553	Credit Suisse AG – HSI European Warrants Oct 2012 E	300,000,000	2012/05/23	2012/10/30	45.00
18548	Credit Suisse AG – Jiangxi Copper European Wts Mar 2013 A	80,000,000	2012/05/23	2013/03/28	12.00
18528	Daiwa Capital Mkt – CCB European Warrants Oct 2012 A	128,000,000	2012/05/23	2012/10/09	44.80
18527	Daiwa Capital Mkt – CNOOC European Put Warrants Nov 2012 A	80,000,000	2012/05/23	2012/11/21	12.00
18529	Daiwa Capital Mkt – HSI European Warrants Jan 2013 A	200,000,000	2012/05/23	2013/01/30	50.00
18526	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2012 B	120,000,000	2012/05/23	2012/12/24	40.08
18525	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2013 A	120,000,000	2012/05/23	2013/11/28	23.04
18523	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2012 D	200,000,000	2012/05/23	2012/09/27	35.20
18524	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2012 A	200,000,000	2012/05/23	2012/11/29	50.00
18532	Goldman Sachs SP (Asia) – Tencent European Put Wt Sep 2012 B	120,000,000	2012/05/23	2012/09/25	18.24
18534	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Nov 2012 B	150,000,000	2012/05/23	2012/11/23	39.00
18533	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2012 A	150,000,000	2012/05/23	2012/12/04	26.40

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
18540	Macquarie Bank Ltd. – Minsheng Bank European Wts Dec 2012 A	120,000,000	2012/05/23	2012/12/04	41.16
18536	Macquarie Bank Ltd. – CNBM European Warrants Dec 2012 A	180,000,000	2012/05/23	2012/12/04	27.00
18542	Macquarie Bank Ltd. – China Unicom European Wts Apr 2013 A	200,000,000	2012/05/23	2013/04/03	50.00
18541	Macquarie Bank Ltd. – China Unicom European Wts Sep 2013 B	200,000,000	2012/05/23	2013/09/03	50.00
18535	Macquarie Bank Ltd. – Foxconn European Warrants Nov 2012 B	100,000,000	2012/05/23	2012/11/05	27.60
18537	Macquarie Bank Ltd. – ICBC European Warrants Dec 2012 B	150,000,000	2012/05/23	2012/12/04	37.50
18539	Macquarie Bank Ltd. – New World Dev European Wts Dec 2012 A	100,000,000	2012/05/23	2012/12/04	36.60
18538	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2013 A	180,000,000	2012/05/23	2013/06/04	45.00
18561	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Dec 2012 B	40,000,000	2012/05/23	2012/12/03	10.80
18558	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012 C	70,000,000	2012/05/23	2012/09/13	15.96
18559	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Nov 2012 A	70,000,000	2012/05/23	2012/11/26	14.84
18560	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2012 A	70,000,000	2012/05/23	2012/12/03	11.41
18554	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2012 A	200,000,000	2012/05/23	2012/12/03	70.00
18522	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2012 D	300,000,000	2012/05/23	2012/10/30	45.00
18556	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2012 E	300,000,000	2012/05/23	2012/10/30	45.00
18555	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Oct 2012 C	200,000,000	2012/05/23	2012/10/22	40.00
18564	UBS AG – Agricultural Bank European Warrants Oct 2012 A	100,000,000	2012/05/23	2012/10/03	16.00
18567	UBS AG – CC Bank European Warrants Sep 2012 C	200,000,000	2012/05/23	2012/09/24	52.00
18547	UBS AG – China Life European Warrants Nov 2012 A	300,000,000	2012/05/23	2012/11/26	75.00
18562	UBS AG – CNOOC European Warrants Apr 2013 A	200,000,000	2012/05/23	2013/04/29	50.00
18565	UBS AG – Sinopec Corp European Warrants Nov 2012 A	100,000,000	2012/05/23	2012/11/26	33.00
18563	UBS AG – China Tel European Warrants Nov 2012 B	100,000,000	2012/05/23	2012/11/26	25.00
18543	UBS AG – HKEx European Warrants Dec 2012 B	300,000,000	2012/05/23	2012/12/11	48.00
18544	UBS AG – HKEx European Warrants Mar 2013 A	300,000,000	2012/05/23	2013/03/28	75.00
18545	UBS AG – HSI European Warrants Oct 2012 B	300,000,000	2012/05/23	2012/10/30	48.00
18546	UBS AG – HSI European Warrants Oct 2012 C	300,000,000	2012/05/23	2012/10/30	45.00
18568	UBS AG – HSI European Put Warrants Dec 2012 E	300,000,000	2012/05/23	2012/12/28	75.00
18566	UBS AG – Hutchison European Warrants Nov 2012 A	200,000,000	2012/05/23	2012/11/26	94.00
18571	Goldman Sachs SP (Asia) – BOCL European Warrants Dec 2012 A	120,000,000	2012/05/24	2012/12/24	18.00
18569	Goldman Sachs SP (Asia) – CNOOC European Warrants Aug 2012 A	120,000,000	2012/05/24	2012/08/27	18.00
18570	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Mar 2013 A	120,000,000	2012/05/24	2013/03/25	18.60
18572	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2012 C	120,000,000	2012/05/24	2012/09/10	57.96
18579	Macquarie Bank Ltd. – China Life European Wts Dec 2012 A	200,000,000	2012/05/24	2012/12/04	30.00
18581	Macquarie Bank Ltd. – China Unicom Euro Put Wts Jun 2013 A	200,000,000	2012/05/24	2013/06/04	50.00
18580	Standard Chartered Bank – ICBC European Warrants Oct 2012 A	100,000,000	2012/05/24	2012/10/25	26.00
18577	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2012 B	100,000,000	2012/05/24	2012/10/10	15.00
18576	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jan 13 A	100,000,000	2012/05/24	2013/01/30	25.00
18575	SGA Societe Generale Acceptance N.V. -ChiUnicom Eu Wts May13A	150,000,000	2012/05/24	2013/05/29	24.00
18573	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2012 A	300,000,000	2012/05/24	2012/12/12	45.00
18578	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2013 A	300,000,000	2012/05/24	2013/11/27	57.00
18574	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Dec 12 B	300,000,000	2012/05/24	2012/12/10	78.00
18583	UBS AG – AIA European Warrants Sep 2012 C	200,000,000	2012/05/24	2012/09/17	30.00
18584	UBS AG – HSI European Warrants Oct 2012 D	300,000,000	2012/05/24	2012/10/30	45.00
18582	UBS AG – SPDR Gold Trust European Warrants Nov 2012 A	100,000,000	2012/05/24	2012/11/26	72.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18604	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jan 2013 A	200,000,000	2012/05/25	2013/01/03	30.00
18599	Daiwa Capital Mkt – China Life European Warrants Sep 2012 C	138,000,000	2012/05/25	2012/09/24	20.70
18600	Daiwa Capital Mkt – China Life European Warrants Oct 2012 C	138,000,000	2012/05/25	2012/10/22	34.50
18612	Daiwa Capital Mkt – HSCEI European Put Warrants Dec 2012 E	100,000,000	2012/05/25	2012/12/28	15.00
18613	Daiwa Capital Mkt – HSI European Put Warrants Dec 2012 E	200,000,000	2012/05/25	2012/12/28	50.00
18614	Daiwa Capital Mkt – HSI European Put Warrants Mar 2013 D	200,000,000	2012/05/25	2013/03/27	50.00
18585	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2012 C	120,000,000	2012/05/25	2012/09/24	18.00
18593	Macquarie Bank Ltd. – China Coal European Wts Dec 2012 A	100,000,000	2012/05/25	2012/12/04	25.00
18594	Macquarie Bank Ltd. – Cheung Kong European Wts Dec 2012 A	200,000,000	2012/05/25	2012/12/04	78.40
18601	Macquarie Bank Ltd. – China Shenhua European Wts Feb 2013 A	180,000,000	2012/05/25	2013/02/04	45.00
18586	Macquarie Bank Ltd. – Evergrande European Wts Nov 2012 A	100,000,000	2012/05/25	2012/11/26	25.00
18592	Macquarie Bank Ltd. – HSBC European Warrants Jan 2013 A	200,000,000	2012/05/25	2013/01/03	50.00
18591	Macquarie Bank Ltd. – Hutchison European Warrants Dec 2012 B	180,000,000	2012/05/25	2012/12/04	46.44
18590	Macquarie Bank Ltd. – Ping An European Warrants Dec 2012 C	180,000,000	2012/05/25	2012/12/04	61.20
18595	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2012 A	200,000,000	2012/05/25	2012/12/04	97.00
18607	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Dec 2012 A	70,000,000	2012/05/25	2012/12/17	10.78
18610	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Sep 2012 B	60,000,000	2012/05/25	2012/09/24	13.32
18611	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2012 D	80,000,000	2012/05/25	2012/09/03	18.96
18605	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Nov 2012 C	60,000,000	2012/05/25	2012/11/26	15.30
18606	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 E	100,000,000	2012/05/25	2012/08/30	15.00
18609	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Oct 2012 B	70,000,000	2012/05/25	2012/10/15	10.92
18608	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Nov 2012 B	60,000,000	2012/05/25	2012/11/26	11.40
18615	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Mar 2013A	80,000,000	2012/05/25	2013/03/04	20.00
18587	Standard Chartered Bank – HSBC European Warrants Dec 2012 A	100,000,000	2012/05/25	2012/12/28	25.00
18597	Standard Chartered Bank – HSI European Warrants Dec 2012 A	200,000,000	2012/05/25	2012/12/28	50.00
18588	Standard Chartered Bank – Ping An European Wts Feb 2013 A	100,000,000	2012/05/25	2013/02/06	32.00
18602	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2012A	300,000,000	2012/05/25	2012/09/26	45.00
18603	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2012 B	300,000,000	2012/05/25	2012/09/05	66.00
18596	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Oct 2012 F	300,000,000	2012/05/25	2012/10/30	48.00
18589	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Nov 12 A	300,000,000	2012/05/25	2012/11/29	84.00
18620	UBS AG – CC Bank European Warrants Dec 2012 A	200,000,000	2012/05/25	2012/12/10	74.00
18616	UBS AG – China Life European Warrants Dec 2012 C	300,000,000	2012/05/25	2012/12/10	75.00
18624	UBS AG – China Mobile European Warrants Oct 2012 C	200,000,000	2012/05/25	2012/10/22	52.00
18625	UBS AG – Evergrande European Warrants Sep 2012 A	100,000,000	2012/05/25	2012/09/10	28.00
18618	UBS AG – HSBC European Warrants Sep 2012 C	200,000,000	2012/05/25	2012/09/24	66.00
18623	UBS AG – HSCEI European Put Warrants Dec 2012 D	100,000,000	2012/05/25	2012/12/28	15.00
18622	UBS AG – HSI European Warrants Oct 2012 E	300,000,000	2012/05/25	2012/10/30	79.50
18598	UBS AG – HSI European Warrants Nov 2012 B	300,000,000	2012/05/25	2012/11/29	75.00
18621	UBS AG – HSI European Put Warrants Aug 2012 I	300,000,000	2012/05/25	2012/08/30	45.00
18619	UBS AG – ICBC European Warrants Aug 2012 D	100,000,000	2012/05/25	2012/08/28	15.00
23045 #	CC Rabobank B.A. – CC Bank European Warrants Nov 2012	600,000,000	2012/05/25	2012/11/05	10.20
18631	Credit Suisse AG – HSI European Put Warrants Dec 2012 A	300,000,000	2012/05/28	2012/12/28	75.00
18632	Credit Suisse AG – HSI European Put Warrants Dec 2012 B	300,000,000	2012/05/28	2012/12/28	45.00
18633	Daiwa Capital Mkt – CCB European Put Warrants Sep 2012 E	80,000,000	2012/05/28	2012/09/10	28.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18634	Daiwa Capital Mkt – HSBC European Put Warrants Dec 2012 B	98,000,000	2012/05/28	2012/12/03	24.50
18636	Goldman Sachs SP (Asia) – BYD European Warrants Sep 2012 A	120,000,000	2012/05/28	2012/09/24	18.24
18637	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Oct 2012 A	120,000,000	2012/05/28	2012/10/22	26.16
18638	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jan 2013 A	120,000,000	2012/05/28	2013/01/28	30.72
18628	Goldman Sachs SP (Asia) – HKEx European Put Wts Dec 2013 A	120,000,000	2012/05/28	2013/12/09	24.84
18627	Goldman Sachs SP (Asia) – HSBC European Put Wts Sep 2012 D	120,000,000	2012/05/28	2012/09/03	23.76
18626	Standard Chartered Bank – HSI European Put Wts Dec 2012 B	200,000,000	2012/05/28	2012/12/28	50.00
18629	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 13 A	300,000,000	2012/05/28	2013/07/29	45.00
18630	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2013A	500,000,000	2012/05/28	2013/10/28	80.00
18635	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2013A	500,000,000	2012/05/28	2013/12/23	75.00
18642	BOCI Asia Ltd. – BOCL European Warrants Jan 2013 A	100,000,000	2012/05/29	2013/01/09	15.00
18643	BOCI Asia Ltd. – CC Bank European Warrants Dec 2012 A	100,000,000	2012/05/29	2012/12/05	16.00
18640	BOCI Asia Ltd. – China Life European Warrants Jan 2013 A	100,000,000	2012/05/29	2013/01/04	16.00
18639	BOCI Asia Ltd. – China Mobile European Warrants Dec 2012 A	100,000,000	2012/05/29	2012/12/24	22.50
18641	BOCI Asia Ltd. – ICBC European Warrants Dec 2012 A	100,000,000	2012/05/29	2012/12/03	25.00
18657	Credit Suisse AG – China Mobile European Warrants Aug 2012 B	100,000,000	2012/05/29	2012/08/31	23.00
18658	Credit Suisse AG – China Mobile European Put Wts Oct 2012 B	100,000,000	2012/05/29	2012/10/26	17.00
18655	Credit Suisse AG – HSBC European Wts Oct 2012 A	100,000,000	2012/05/29	2012/10/31	25.00
18656	Credit Suisse AG – HSBC European Put Warrants Sep 2012 D	100,000,000	2012/05/29	2012/09/10	21.00
18661	Credit Suisse AG – HSI European Warrants Dec 2012 D	300,000,000	2012/05/29	2012/12/28	75.00
18649	Credit Suisse AG – HSI European Put Warrants Dec 2012 C	300,000,000	2012/05/29	2012/12/28	75.00
18660	Credit Suisse AG – Tencent Holdings European Wts Dec 2012 C	100,000,000	2012/05/29	2012/12/24	20.00
18659	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 A	100,000,000	2012/05/29	2013/01/31	22.00
18662	Credit Suisse AG – Tencent Holdings Euro Put Wts Oct 2012 E	100,000,000	2012/05/29	2012/10/08	15.00
18650	Goldman Sachs SP (Asia) – Angang Steel Euro Wts Jan 2013 A	120,000,000	2012/05/29	2013/01/21	38.76
18653	Goldman Sachs SP (Asia) – Ch COSCO European Wts Dec 2012 A	120,000,000	2012/05/29	2012/12/24	35.76
18651	Goldman Sachs SP (Asia) – CITIC Bank European Wts Apr 2013 A	120,000,000	2012/05/29	2013/04/29	36.24
18654	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jan 2013 A	120,000,000	2012/05/29	2013/01/28	31.68
18652	Goldman Sachs SP (Asia) – Yurun Food European Wts Dec 2013 A	120,000,000	2012/05/29	2013/12/23	30.00
18648	Standard Chartered Bank – China Shenhua Euro Wts Mar 2013 A	100,000,000	2012/05/29	2013/03/04	25.00
18647	Standard Chartered Bank – CTel European Warrants Nov 2012 A	100,000,000	2012/05/29	2012/11/12	15.00
18646	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2012A	300,000,000	2012/05/29	2012/12/19	45.00
18645	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Mar 2013A	300,000,000	2012/05/29	2013/03/04	75.00
18644	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Nov 12 B	300,000,000	2012/05/29	2012/11/29	75.00
17047 #	Daiwa Capital Mkt – Tencent Holdings Euro Put Wts Sep 2012	128,000,000	2012/05/29	2012/09/03	14.98
18675	BOCI Asia Ltd. – Anhui Conch European Warrants Dec 2012 A	100,000,000	2012/05/30	2012/12/10	18.00
18676	BOCI Asia Ltd. – Ch Overseas European Warrants Feb 2013 A	100,000,000	2012/05/30	2013/02/21	15.00
18674	BOCI Asia Ltd. – Ping An European Warrants Oct 2012 A	100,000,000	2012/05/30	2012/10/03	22.00
18666	Goldman Sachs SP (Asia) – Anhui Conch European Wts Jan 2013A	120,000,000	2012/05/30	2013/01/14	34.56
18664	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2012 C	120,000,000	2012/05/30	2012/12/10	37.56
18663	Goldman Sachs Sp (Asia) – ICBC European Warrants Sep 2012 A	120,000,000	2012/05/30	2012/09/03	26.40
18665	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2013 A	120,000,000	2012/05/30	2013/01/14	30.48
18667	Goldman Sachs SP (Asia) – ZTE European Warrants Jun 2013 A	120,000,000	2012/05/30	2013/06/24	32.04
18668	HK Bank – HSI European Warrants Oct 2012 A	200,000,000	2012/05/30	2012/10/30	30.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
18669	HK Bank – HSI European Warrants Dec 2012 D	200,000,000	2012/05/30	2012/12/28	30.00
18670	HK Bank – HSI European Put Warrants Dec 2012 E	200,000,000	2012/05/30	2012/12/28	30.00
18683	Macquarie Bank Ltd. – Galaxy Ent European Wts Dec 2012 A	180,000,000	2012/05/30	2012/12/04	45.00
18682	Macquarie Bank Ltd. – Sands China European Wts Dec 2012 A	180,000,000	2012/05/30	2012/12/04	45.00
18680	CC Rabobank B.A. – Anhui Conch European Warrants Dec 2012 A	80,000,000	2012/05/30	2012/12/10	20.00
18681	CC Rabobank B.A. – Ch Comm Cons European Warrants Dec 2012 A	80,000,000	2012/05/30	2012/12/10	40.00
18677	CC Rabobank B.A. – Sinopec Corp European Warrants Dec 2012 A	80,000,000	2012/05/30	2012/12/17	24.00
18679	CC Rabobank B.A. – China Tel European Warrants Oct 2012 A	80,000,000	2012/05/30	2012/10/11	12.00
18678	CC Rabobank B.A. – GAC European Warrants Dec 2012 A	50,000,000	2012/05/30	2012/12/03	30.00
18671	Standard Chartered Bank – China Coal European Wts Apr 2013 A	50,000,000	2012/05/30	2013/04/12	22.00
18672	Standard Chartered Bank -YZ Coal European Warrants Aug 2013A	100,000,000	2012/05/30	2013/08/27	15.00
18673	Standard Chartered Bank -YZ Coal European Warrants Dec 2013A	100,000,000	2012/05/30	2013/12/03	25.00
18687	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2012 A	150,000,000	2012/05/30	2012/12/03	66.00
18689	UBS AG – Minsheng Bank European Warrants Nov 2012 A	100,000,000	2012/05/30	2012/11/30	36.00
18684	UBS AG – A50 China European Warrants Sep 2014 A	300,000,000	2012/05/30	2014/09/15	51.00
18688	UBS AG – HSCEI European Warrants Nov 2012 A	100,000,000	2012/05/30	2012/11/29	15.00
18685	UBS AG – ICBC European Warrants Oct 2012 B	100,000,000	2012/05/30	2012/10/08	15.00
18686	UBS AG – PetroChina European Warrants Dec 2013 A	200,000,000	2012/05/30	2013/12/20	30.00
18690	UBS AG – Yanzhou Coal European Wts Jun 2013 A	100,000,000	2012/05/30	2013/06/17	25.00
18698	BOCI Asia Ltd. – China Shenhua European Warrants Apr 2013 A	100,000,000	2012/05/31	2013/04/03	18.00
18697	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2013 A	100,000,000	2012/05/31	2013/07/02	25.00
18714	Credit Suisse AG – AIA European Warrants Oct 2012 C	100,000,000	2012/05/31	2012/10/29	20.00
18716	Credit Suisse AG – China Mobile European Warrants Sep 2012 E	100,000,000	2012/05/31	2012/09/28	32.00
18715	Credit Suisse AG – China Shenhua European Wts Jan 2013 A	100,000,000	2012/05/31	2013/01/07	15.00
18713	Credit Suisse AG – Ping An European Warrants Oct 2012 B	100,000,000	2012/05/31	2012/10/29	15.00
18702	Goldman Sachs SP (Asia) – China Mobile Euro Wts Sep 2012 D	120,000,000	2012/05/31	2012/09/24	52.32
18700	Goldman Sachs SP (Asia) – CPIC European Warrants Dec 2012 A	120,000,000	2012/05/31	2012/12/17	30.24
18699	Goldman Sachs SP (Asia) – China Tel European Wts Dec 2012 A	120,000,000	2012/05/31	2012/12/24	32.16
18701	Goldman Sachs SP (Asia) – Hutchison European Wts Dec 2012 B	120,000,000	2012/05/31	2012/12/31	59.76
18703	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Dec 2012 A	120,000,000	2012/05/31	2012/12/27	51.24
18696	Macquarie Bank Ltd. -Want Want China European Wts Apr 2014 B	180,000,000	2012/05/31	2014/04/02	45.00
18710	CC Rabobank B.A. – China Railway European Wts Dec 2012 A	80,000,000	2012/05/31	2012/12/03	20.00
18709	CC Rabobank B.A. – GCL-Poly Energy European Wts Dec 2012 A	100,000,000	2012/05/31	2012/12/10	21.00
18707	CC Rabobank B.A. – Galaxy Ent European Warrants Dec 2012 B	100,000,000	2012/05/31	2012/12/03	25.00
18706	CC Rabobank B.A. – Galaxy Ent European Put Wts Dec 2012 A	100,000,000	2012/05/31	2012/12/03	25.00
18708	CC Rabobank B.A. – SJM Holdings European Warrants May 2013 A	100,000,000	2012/05/31	2013/05/13	25.00
18704	Standard Chartered Bank – BOC HK European Wts Jul 2013 A	100,000,000	2012/05/31	2013/07/02	17.00
18711	Standard Chartered Bank – Ch Comm Cons Eur Wts Feb 2013 A	100,000,000	2012/05/31	2013/02/28	50.00
18712	Standard Chartered Bank – Ch Comm Cons Eur Wts Dec 2013 A	100,000,000	2012/05/31	2013/12/20	15.00
18705	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 B	100,000,000	2012/05/31	2013/03/28	40.00
18693	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Dec12A	100,000,000	2012/05/31	2012/12/03	25.00
18694	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Feb13A	100,000,000	2012/05/31	2013/02/27	25.00
18695	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Oct 2012 A	100,000,000	2012/05/31	2012/10/03	15.00
18692	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Dec 2012 B	150,000,000	2012/05/31	2012/12/03	22.50

Main Board Warrants

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		issue (units)	Listing date	Expiry	
18691	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Apr 2013A	100,000,000	2012/05/31	2013/04/03	15.00
18719	UBS AG – Galaxy Ent European Warrants Dec 2012 A	100,000,000	2012/05/31	2012/12/10	26.00
18720	UBS AG – Sands China European Warrants Dec 2012 A	100,000,000	2012/05/31	2012/12/10	30.00
18718	UBS AG – Tencent Holdings European Warrants Oct 2012 D	100,000,000	2012/05/31	2012/10/24	15.00
18717	UBS AG – Wynn Macau European Warrants Dec 2012 A	100,000,000	2012/05/31	2012/12/17	27.00
16579 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2012 D	150,000,000	2012/05/31	2012/09/27	12.45
18737	Bank of East Asia – Belle European Warrants Sep 2013 A	110,000,000	2012/06/01	2013/09/26	27.50
18736	Bank of East Asia – China COSCO European Warrants Dec 2012 A	45,000,000	2012/06/01	2012/12/21	11.25
18721	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Apr 2013 A	120,000,000	2012/06/01	2013/04/29	18.60
18725	Macquarie Bank Ltd. – Anhui Conch European Wts Dec 2012 A	200,000,000	2012/06/01	2012/12/04	50.00
18730	Macquarie Bank Ltd. – BOCL European Warrants Jun 2013 A	100,000,000	2012/06/01	2013/06/03	25.00
18724	Macquarie Bank Ltd. – CITIC Bank European Warrants Dec 2012A	100,000,000	2012/06/01	2012/12/04	25.00
18722	Macquarie Bank Ltd. – China Unicom European Wts Sep 2013 C	200,000,000	2012/06/01	2013/09/03	50.00
18731	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Dec 2012 A	80,000,000	2012/06/01	2012/12/04	20.00
18723	Macquarie Bank Ltd. – Stanchart European Warrants Nov 2013 A	200,000,000	2012/06/01	2013/11/04	50.00
18727	Standard Chartered Bank – Cheung Kong European Wts Jul 2016A	200,000,000	2012/06/01	2016/07/28	50.00
18726	Standard Chartered Bank – Jiangxi Copper Euro Wts Mar 2013 A	100,000,000	2012/06/01	2013/03/21	15.00
18729	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Dec 12 A	50,000,000	2012/06/01	2012/12/03	12.50
18728	SGA Societe Generale Acceptance N.V. -CPIC Euro Wts Apr 13 A	100,000,000	2012/06/01	2013/04/29	25.00
18735	UBS AG – AIA European Warrants Oct 2012 B	100,000,000	2012/06/01	2012/10/29	19.00
18732	UBS AG – BankComm European Warrants Dec 2012 A	100,000,000	2012/06/01	2012/12/10	37.00
18734	UBS AG – China Mobile European Warrants Sep 2012 C	200,000,000	2012/06/01	2012/09/24	64.00
18733	UBS AG – HSI European Put Warrants Dec 2012 F	300,000,000	2012/06/01	2012/12/28	63.00
18746	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Apr 2013 A	94,000,000	2012/06/04	2013/04/02	23.50
18747	BNP Paribas Arbit Issu B.V. – China Unicom Euro Wt Oct 2014A	100,000,000	2012/06/04	2014/10/06	25.00
18744	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2012 A	200,000,000	2012/06/04	2012/12/28	50.20
18742	CC Rabobank B.A. – AIA European Warrants Mar 2013 A	120,000,000	2012/06/04	2013/03/11	30.00
18738	CC Rabobank B.A. – CITIC Pacific European Wts Apr 2013 A	100,000,000	2012/06/04	2013/04/25	16.00
18739	CC Rabobank B.A. – Esprit European Warrants Mar 2013 A	100,000,000	2012/06/04	2013/03/18	15.00
18740	CC Rabobank B.A. – Hutchison European Warrants Dec 2012 A	120,000,000	2012/06/04	2012/12/04	30.00
18741	CC Rabobank B.A. – Weichai Power European Wts Dec 2012 A	100,000,000	2012/06/04	2012/12/04	25.00
18745	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 12 C	200,000,000	2012/06/04	2012/10/03	48.00
18743	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jun 2013 A	150,000,000	2012/06/04	2013/06/03	22.50
18781	Daiwa Capital Mkt – BOC HK European Warrants Jul 2013 A	108,000,000	2012/06/05	2013/07/09	21.60
18782	Daiwa Capital Mkt – Sinopec Corp European Put Wts Dec 2012 A	80,000,000	2012/06/05	2012/12/05	20.00
18786	Bank of East Asia – CITIC Pacific European Wts Apr 2013 A	120,000,000	2012/06/05	2013/04/26	18.00
18789	Bank of East Asia – China Overseas Euro Warrants Jun 2013 A	80,000,000	2012/06/05	2013/06/26	20.00
18788	Bank of East Asia – Dongfeng European Warrants Mar 2013 A	100,000,000	2012/06/05	2013/03/26	25.00
18784	Bank of East Asia – Galaxy Ent European Warrants Dec 2012 A	80,000,000	2012/06/05	2012/12/27	12.00
18787	Bank of East Asia – HKEx European Warrants Dec 2013 A	150,000,000	2012/06/05	2013/12/23	37.50
18753	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2012 C	200,000,000	2012/06/05	2012/12/28	50.00
18752	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2012 B	200,000,000	2012/06/05	2012/12/28	40.20
18779	HK Bank – HSCEI European Warrants Sep 2013 A	150,000,000	2012/06/05	2013/09/27	37.50
18780	HK Bank – HSCEI European Put Warrants Sep 2013 B	150,000,000	2012/06/05	2013/09/27	37.50

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		issue (units)	Listing date	Expiry	
18762	HK Bank – HSI European Warrants Dec 2012 F	200,000,000	2012/06/05	2012/12/28	42.00
18763	HK Bank – HSI European Warrants Dec 2012 G	200,000,000	2012/06/05	2012/12/28	50.00
18776	HK Bank – HSI European Warrants Dec 2012 J	200,000,000	2012/06/05	2012/12/28	50.00
18764	HK Bank – HSI European Put Warrants Dec 2012 H	200,000,000	2012/06/05	2012/12/28	50.00
18765	HK Bank – HSI European Put Warrants Dec 2012 I	200,000,000	2012/06/05	2012/12/28	50.00
18778	Macquarie Bank Ltd. – BYD European Warrants Nov 2012 A	180,000,000	2012/06/05	2012/11/12	27.00
18766	Macquarie Bank Ltd. – Ch State Con European Wts May 2014 A	150,000,000	2012/06/05	2014/05/05	37.50
18761	Macquarie Bank Ltd. – Geely Auto European Wts Nov 2012 A	100,000,000	2012/06/05	2012/11/27	15.70
18749	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 D	100,000,000	2012/06/05	2012/10/30	15.10
18750	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2012 C	100,000,000	2012/06/05	2012/11/29	15.10
18751	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Sep 2012 B	80,000,000	2012/06/05	2012/09/19	12.24
18760	Nomura Int'l plc – CNBM European Warrants Dec 2012 A	100,000,000	2012/06/05	2012/12/31	15.00
18759	Nomura Int'l plc – A50 China European Warrants Sep 2014 A	100,000,000	2012/06/05	2014/09/15	15.00
18756	Nomura Int'l plc -Jiangxi Copper European Put Wts Dec 2012 A	100,000,000	2012/06/05	2012/12/31	25.00
18758	Nomura Int'l plc – NVC Lighting European Warrants Dec 2012 A	50,000,000	2012/06/05	2012/12/05	12.50
18757	Nomura Int'l plc – Tencent Holdings Euro Put Wts Oct 2012 A	100,000,000	2012/06/05	2012/10/11	15.00
18777	CC Rabobank B.A. – CHALCO European Warrants Dec 2012 A	80,000,000	2012/06/05	2012/12/10	20.00
18772	CC Rabobank B.A. – China Oilfield European Wts Dec 2013 A	100,000,000	2012/06/05	2013/12/27	25.00
18775	CC Rabobank B.A. – ChiUnicom European Warrants Apr 2014 A	100,000,000	2012/06/05	2014/04/29	25.00
18774	CC Rabobank B.A. – Luk Fook Hold European Wts Jan 2013 A	80,000,000	2012/06/05	2013/01/21	20.00
18773	CC Rabobank B.A. – Sany Int'l European Warrants Dec 2012 A	80,000,000	2012/06/05	2012/12/10	40.00
18769	Standard Chartered Bank – CC Bank European Wts Dec 2012 A	100,000,000	2012/06/05	2012/12/05	43.00
18770	Standard Chartered Bank – CC Bank European Wts Feb 2013 A	100,000,000	2012/06/05	2013/02/05	27.00
18771	Standard Chartered Bank – CC Bank European Wts Aug 2013 A	100,000,000	2012/06/05	2013/08/08	29.00
18768	Standard Chartered Bank – Lenovo European Wts Feb 2013 A	100,000,000	2012/06/05	2013/02/05	35.50
18767	Standard Chartered Bank – Lenovo European Wts May 2014 A	100,000,000	2012/06/05	2014/05/23	15.90
18755	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2012 A	100,000,000	2012/06/05	2012/12/24	15.00
18754	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Feb13A	300,000,000	2012/06/05	2013/02/04	75.00
18797	Credit Suisse AG – China Life European Warrants Dec 2012 A	80,000,000	2012/06/06	2012/12/10	12.00
18801	Credit Suisse AG – CNOOC European Warrants Dec 2012 A	80,000,000	2012/06/06	2012/12/21	12.00
18809	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Jan 2013 A	80,000,000	2012/06/06	2013/01/02	20.00
18802	Goldman Sachs SP (Asia) – China Unicom Euro Wts Apr 2013 A	120,000,000	2012/06/06	2013/04/02	18.12
18790	Goldman Sachs SP (Asia) – HSCEI European Warrants Nov 2012 C	100,000,000	2012/06/06	2012/11/29	15.20
18799	Goldman Sachs SP (Asia) – Lenovo European Wts Feb 2013 A	120,000,000	2012/06/06	2013/02/25	90.24
18800	Goldman Sachs SP (Asia) – PetroChina European Wts Oct 2013 A	120,000,000	2012/06/06	2013/10/25	18.24
18792	HK Bank – China Mobile European Warrants Dec 2012 A	120,000,000	2012/06/06	2012/12/10	30.00
18793	HK Bank – China Mobile European Warrants Dec 2012 B	120,000,000	2012/06/06	2012/12/10	42.00
18791	HK Bank – HSI European Put Warrants Dec 2012 K	200,000,000	2012/06/06	2012/12/28	50.00
18804	HK Bank – HWL European Warrants Oct 2012 A	120,000,000	2012/06/06	2012/10/22	19.20
18803	HK Bank – HWL European Warrants Dec 2012 A	120,000,000	2012/06/06	2012/12/03	18.00
18805	HK Bank – HWL European Warrants Dec 2012 B	120,000,000	2012/06/06	2012/12/10	30.00
18798	Macquarie Bank Ltd. – Gome European Warrants May 2013 A	80,000,000	2012/06/06	2013/05/03	20.00
18806	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 12 A	200,000,000	2012/06/06	2012/11/05	84.00
18807	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 12 B	200,000,000	2012/06/06	2012/11/07	38.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18808	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Nov 12 C	200,000,000	2012/06/06	2012/11/19	30.00
16577 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Aug 2012	220,000,000	2012/06/06	2012/08/30	10.78
18813	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 A	100,000,000	2012/06/07	2013/03/27	25.00
18812	BOCI Asia Ltd. – Hutchison European Warrants Dec 2012 A	100,000,000	2012/06/07	2012/12/04	25.00
18811	Daiwa Capital Mkt -Agricultural Bank European Wts Dec 2012 B	128,000,000	2012/06/07	2012/12/18	32.00
18822	HK Bank – AIA European Warrants Dec 2012 A	120,000,000	2012/06/07	2012/12/28	18.00
18823	HK Bank – AIA European Warrants Dec 2012 B	120,000,000	2012/06/07	2012/12/10	30.00
18824	HK Bank – AIA European Put Warrants Dec 2012 C	80,000,000	2012/06/07	2012/12/10	13.60
18820	HK Bank – CC Bank European Warrants Nov 2012 A	80,000,000	2012/06/07	2012/11/26	16.80
18821	HK Bank – CC Bank European Warrants Mar 2013 A	80,000,000	2012/06/07	2013/03/25	12.00
18817	HK Bank – China Life European Warrants Dec 2012 A	120,000,000	2012/06/07	2012/12/10	30.00
18818	HK Bank – China Life European Warrants Dec 2012 B	120,000,000	2012/06/07	2012/12/28	19.20
18819	HK Bank – CNOOC European Warrants Dec 2012 A	100,000,000	2012/06/07	2012/12/21	16.00
18833	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Sep 2013 A	300,000,000	2012/06/07	2013/09/27	75.00
18832	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Dec 2013 A	300,000,000	2012/06/07	2013/12/30	47.40
18830	J P Morgan SP BV – HSCEI European Put Warrants Dec 2012 C	300,000,000	2012/06/07	2012/12/28	75.00
18831	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 A	300,000,000	2012/06/07	2013/06/27	75.00
18828	J P Morgan SP BV – HSI European Warrants Dec 2012 B	300,000,000	2012/06/07	2012/12/28	52.50
18829	J P Morgan SP BV – HSI European Put Warrants Dec 2012 C	300,000,000	2012/06/07	2012/12/28	58.80
18827	J P Morgan SP BV – HSI European Put Warrants Mar 2013 A	300,000,000	2012/06/07	2013/03/27	75.00
18814	Macquarie Bank Ltd. – China Life European Wts Nov 2012 A	200,000,000	2012/06/07	2012/11/19	40.60
18815	Macquarie Bank Ltd. – China Mobile European Wts Jan 2013 A	200,000,000	2012/06/07	2013/01/03	97.40
18816	Macquarie Bank Ltd. – China Mobile European Wts Jan 2013 B	200,000,000	2012/06/07	2013/01/03	67.00
18825	Macquarie Bank Ltd. – HKEx European Warrants Apr 2013 A	200,000,000	2012/06/07	2013/04/02	36.40
18810	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2012 B	80,000,000	2012/06/07	2012/10/22	12.40
18826	UBS AG – China Mobile European Warrants Oct 2012 D	200,000,000	2012/06/07	2012/10/26	84.00
18869	Credit Suisse AG – HSI European Warrants Nov 2012 B	300,000,000	2012/06/08	2012/11/29	60.00
18870	Credit Suisse AG – HSI European Warrants Dec 2012 F	300,000,000	2012/06/08	2012/12/28	45.00
18868	Credit Suisse AG – HSI European Put Warrants Dec 2012 E	300,000,000	2012/06/08	2012/12/28	54.00
18867	Credit Suisse AG – Hutchison European Warrants Dec 2012 A	70,000,000	2012/06/08	2012/12/10	17.50
18866	Credit Suisse AG – Sands China European Warrants Nov 2012 A	70,000,000	2012/06/08	2012/11/09	14.00
18877	Daiwa Capital Mkt – HKEx European Warrants Mar 2013 A	128,000,000	2012/06/08	2013/03/15	32.00
18837	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Dec 2012 A	120,000,000	2012/06/08	2012/12/17	31.68
18835	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2013 B	120,000,000	2012/06/08	2013/01/14	32.28
18834	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2012 D	120,000,000	2012/06/08	2012/12/28	30.24
18838	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2012 A	120,000,000	2012/06/08	2012/12/24	56.52
18836	Goldman Sachs SP (Asia) – Sands China Euro Wts Dec 2012 B	120,000,000	2012/06/08	2012/12/17	31.92
18860	HK Bank – Agricultural Bank European Warrants Dec 2012 A	60,000,000	2012/06/08	2012/12/10	15.00
18862	HK Bank – BOC HK European Warrants Dec 2014 A	100,000,000	2012/06/08	2014/12/22	25.00
18858	HK Bank – Cheung Kong European Warrants Dec 2012 A	120,000,000	2012/06/08	2012/12/10	30.00
18861	HK Bank – Minsheng Bank European Warrants Dec 2012 A	50,000,000	2012/06/08	2012/12/10	12.50
18859	HK Bank – China Tel European Warrants Dec 2012 A	60,000,000	2012/06/08	2012/12/10	15.00
18863	HK Bank – China Unicom European Warrants Sep 2013 A	120,000,000	2012/06/08	2013/09/30	18.00
18872	HK Bank – China Unicom European Warrants Dec 2013 A	120,000,000	2012/06/08	2013/12/27	30.00

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		issue (units)	Listing date	Expiry	
18854	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Dec 2012 A	200,000,000	2012/06/08	2012/12/03	59.60
18855	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jan 2013 A	200,000,000	2012/06/08	2013/01/02	50.00
18840	J P Morgan SP BV – China Life European Warrants Jan 2013 A	300,000,000	2012/06/08	2013/01/02	45.00
18847	J P Morgan SP BV – China Life European Warrants Mar 2013 A	300,000,000	2012/06/08	2013/03/04	75.00
18871	J P Morgan SP BV – China Life European Put Wts Mar 2013 B	150,000,000	2012/06/08	2013/03/27	37.50
18842	J P Morgan SP BV – China Mob European Wts Dec 2012 A	150,000,000	2012/06/08	2012/12/10	44.25
18839	J P Morgan SP BV – China Mob European Put Wts Jan 2013 A	100,000,000	2012/06/08	2013/01/02	47.70
18846	J P Morgan SP BV – CNOOC European Warrants Dec 2012 A	200,000,000	2012/06/08	2012/12/19	30.00
18857	J P Morgan SP BV – HSBC European Warrants Dec 2012 B	200,000,000	2012/06/08	2012/12/03	30.00
18875	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Sep 2014 A	300,000,000	2012/06/08	2014/09/29	75.00
18874	J P Morgan SP BV – HSI European Warrants Sep 2014 A	300,000,000	2012/06/08	2014/09/29	75.00
18843	J P Morgan SP BV – Hutchison European Warrants Jan 2013 A	150,000,000	2012/06/08	2013/01/02	51.00
18844	J P Morgan SP BV – Hutchison European Warrants Jan 2013 B	150,000,000	2012/06/08	2013/01/02	37.50
18853	J P Morgan SP BV – ICBC European Warrants Jan 2013 A	200,000,000	2012/06/08	2013/01/02	50.00
18856	J P Morgan SP BV – PetroChina European Warrants Jan 2014 A	388,000,000	2012/06/08	2014/01/02	58.20
18845	J P Morgan SP BV – Tencent European Warrants Dec 2012 B	200,000,000	2012/06/08	2012/12/24	50.00
18852	CC Rabobank B.A. – China Coal European Warrants Dec 2012 A	80,000,000	2012/06/08	2012/12/10	28.00
18848	CC Rabobank B.A. – CITIC Bank European Warrants Nov 2012 A	100,000,000	2012/06/08	2012/11/27	16.00
18851	CC Rabobank B.A. – Minsheng Bank European Wts Dec 2012 A	80,000,000	2012/06/08	2012/12/10	22.40
18849	CC Rabobank B.A. – Datang European Warrants Jan 2013 A	80,000,000	2012/06/08	2013/01/21	20.00
18850	CC Rabobank B.A. – Yanzhou Coal European Warrants Sep 2013 A	120,000,000	2012/06/08	2013/09/23	30.00
18865	Standard Chartered Bank – China Mobile Euro Wts Feb 2013 A	65,000,000	2012/06/08	2013/02/28	27.95
18864	Standard Chartered Bank – China Unicom Euro Wts Oct 2014 A	50,000,000	2012/06/08	2014/10/30	12.50
18873	Standard Chartered Bank – NCI European Warrants Nov 2013 A	50,000,000	2012/06/08	2013/11/01	17.50
18909	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Nov 2012 B	200,000,000	2012/06/11	2012/11/02	30.00
18905	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2012 B	200,000,000	2012/06/11	2012/12/28	32.00
18906	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2012 C	200,000,000	2012/06/11	2012/12/28	50.00
18907	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jan 2013 A	120,000,000	2012/06/11	2013/01/03	30.00
18908	BNP Paribas Arbit Issu B.V. – Hutchison Euro Put Wt Feb 13 A	120,000,000	2012/06/11	2013/02/04	30.00
18927	Credit Suisse AG – A50 China European Warrants Jun 2013 A	80,000,000	2012/06/11	2013/06/07	12.00
18925	Credit Suisse AG – HKEx European Warrants Nov 2012 B	80,000,000	2012/06/11	2012/11/30	12.00
18924	Credit Suisse AG – HKEx European Warrants Mar 2013 A	80,000,000	2012/06/11	2013/03/28	12.00
18926	Credit Suisse AG – HKEx European Warrants Nov 2013 A	80,000,000	2012/06/11	2013/11/29	12.00
18928	Credit Suisse AG – HSI European Warrants Sep 2012 C	300,000,000	2012/06/11	2012/09/27	45.00
18921	Credit Suisse AG – Jiangxi Copper European Wts Mar 2013 B	80,000,000	2012/06/11	2013/03/28	14.40
18922	Credit Suisse AG – PetroChina European Warrants Jan 2013 A	80,000,000	2012/06/11	2013/01/31	12.00
18923	Credit Suisse AG – Ping An European Warrants Dec 2012 C	80,000,000	2012/06/11	2012/12/14	32.00
18920	Credit Suisse AG – SHK Ppt European Warrants Nov 2013 A	80,000,000	2012/06/11	2013/11/29	14.40
18912	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2012 B	120,000,000	2012/06/11	2012/10/22	24.00
18913	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2012 A	120,000,000	2012/06/11	2012/12/17	50.64
18914	Goldman Sachs SP (Asia) -Ch Overseas European Wts Oct 2012 A	120,000,000	2012/06/11	2012/10/30	18.12
18911	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2012 A	120,000,000	2012/06/11	2012/12/17	61.56
18910	Goldman Sachs SP (Asia) – Hutchison European Wts Jan 2013 A	120,000,000	2012/06/11	2013/01/28	79.08
18930	J P Morgan SP BV – BOCL European Warrants Dec 2012 A	200,000,000	2012/06/11	2012/12/21	30.00

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		issue (units)	Listing date	Expiry	
18896	J P Morgan SP BV – China Life European Warrants Dec 2012 A	300,000,000	2012/06/11	2012/12/28	78.00
18929	J P Morgan SP BV – A50 China European Warrants Dec 2012 A	100,000,000	2012/06/11	2012/12/31	52.00
18894	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Mar 2013 A	300,000,000	2012/06/11	2013/03/27	75.00
18895	J P Morgan SP BV – HSI European Warrants Dec 2012 D	300,000,000	2012/06/11	2012/12/28	75.00
18902	Macquarie Bank Ltd. – CHALCO European Warrants Jan 2013 A	60,000,000	2012/06/11	2013/01/03	15.84
18901	Macquarie Bank Ltd. – China Unicom European Wts Nov 2013 A	200,000,000	2012/06/11	2013/11/04	50.20
18903	Macquarie Bank Ltd. – Jiangxi Copper European Wts Mar 2013 A	150,000,000	2012/06/11	2013/03/21	26.85
18891	Standard Chartered Bank – Anhui Conch Euro Wts Jun 2013 A	50,000,000	2012/06/11	2013/06/26	12.50
18893	Standard Chartered Bank – HSI European Warrants Sep 2012 C	200,000,000	2012/06/11	2012/09/27	35.00
18892	Standard Chartered Bank – Tencent Hldg Euro Wts Jan 2013 B	65,000,000	2012/06/11	2013/01/30	16.25
18915	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Dec 2012 A	200,000,000	2012/06/11	2012/12/12	52.00
18878	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2013 A	200,000,000	2012/06/11	2013/01/02	30.00
18890	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Jun 2013A	100,000,000	2012/06/11	2013/06/26	16.00
18879	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Oct 2012C	300,000,000	2012/06/11	2012/10/31	75.00
18880	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Nov 2012A	300,000,000	2012/06/11	2012/11/28	66.00
18881	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2012A	300,000,000	2012/06/11	2012/12/03	45.00
18882	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Feb13A	100,000,000	2012/06/11	2013/02/27	17.00
18916	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2012 B	300,000,000	2012/06/11	2012/12/05	45.00
18884	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Mar 2013 A	300,000,000	2012/06/11	2013/03/25	51.00
18883	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2013 B	300,000,000	2012/06/11	2013/07/08	75.00
18918	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Nov 2012 C	300,000,000	2012/06/11	2012/11/29	57.00
18919	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Dec 12 A	300,000,000	2012/06/11	2012/12/28	75.00
18885	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2012 A	200,000,000	2012/06/11	2012/12/03	30.00
18886	SGA Societe Generale Acceptance N.V. – JIANG Eu Wt Jan 2013A	100,000,000	2012/06/11	2013/01/30	25.00
18917	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Dec 12 C	300,000,000	2012/06/11	2012/12/17	78.00
18887	UBS AG – China Tel European Warrants Dec 2012 A	100,000,000	2012/06/11	2012/12/17	28.00
18888	UBS AG – China Unicom European Warrants Aug 2013 A	100,000,000	2012/06/11	2013/08/27	16.30
18898	UBS AG – HKEx European Warrants Nov 2012 C	300,000,000	2012/06/11	2012/11/28	48.00
18904	UBS AG – HSI European Warrants Sep 2012 E	300,000,000	2012/06/11	2012/09/27	52.50
18899	UBS AG – HSI European Warrants Nov 2012 C	300,000,000	2012/06/11	2012/11/29	45.00
18897	UBS AG – Hutchison European Warrants Dec 2012 A	200,000,000	2012/06/11	2012/12/17	100.00
18889	UBS AG – Lenovo European Warrants Dec 2012 A	100,000,000	2012/06/11	2012/12/11	50.00
18900	UBS AG – PetroChina European Warrants Dec 2012 A	100,000,000	2012/06/11	2012/12/17	40.00
18945	BOCI Asia Ltd. – China Life European Put Warrants Mar 2013 A	100,000,000	2012/06/12	2013/03/04	25.00
18946	BOCI Asia Ltd. – Sinopec Corp European Warrants Jan 2013 A	100,000,000	2012/06/12	2013/01/07	25.00
18953	BOCI Asia Ltd. – Tracker Fund European Put Warrants Apr 13 A	100,000,000	2012/06/12	2013/04/15	25.00
18957	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Jul 2013 A	35,000,000	2012/06/12	2013/07/03	19.60
18958	BNP Paribas Arbit Issu B.V.-Dongfeng Motor Eur Wt Jul 2013 A	100,000,000	2012/06/12	2013/07/03	25.00
18959	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2013 A	100,000,000	2012/06/12	2013/07/03	25.00
18956	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Apr 2013 A	100,000,000	2012/06/12	2013/04/02	33.00
18951	Goldman Sachs SP (Asia) – AIA European Warrants Dec 2012 A	120,000,000	2012/06/12	2012/12/24	30.00
18952	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Dec 2012 B	120,000,000	2012/06/12	2012/12/24	30.12
18941	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Jun 2013 A	120,000,000	2012/06/12	2013/06/24	33.48
18940	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Dec 2012 A	120,000,000	2012/06/12	2012/12/28	56.04

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18943	Goldman Sachs SP (Asia) – Ping An European Wts Oct 2012 B	120,000,000	2012/06/12	2012/10/22	61.56
18950	HK Bank – Evergrande European Warrants Dec 2012 A	50,000,000	2012/06/12	2012/12/17	12.50
18948	HK Bank – Galaxy Ent European Warrants Dec 2012 A	80,000,000	2012/06/12	2012/12/17	20.00
18949	HK Bank – Sands China European Warrants Dec 2012 A	80,000,000	2012/06/12	2012/12/17	20.00
18947	Macquarie Bank Ltd. – HKEx European Put Warrants Dec 2013 A	200,000,000	2012/06/12	2013/12/03	50.40
18933	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2012 B	60,000,000	2012/06/12	2012/10/29	11.40
18935	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Nov 2012 B	80,000,000	2012/06/12	2012/11/19	12.80
18937	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Nov 2013 A	80,000,000	2012/06/12	2013/11/26	12.08
18936	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2012 A	40,000,000	2012/06/12	2012/12/17	17.00
18938	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Oct 2012 E	100,000,000	2012/06/12	2012/10/30	23.60
18932	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Nov 2012 D	100,000,000	2012/06/12	2012/11/29	18.80
18931	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012 B	100,000,000	2012/06/12	2012/12/28	25.00
18944	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Nov 2012 B	40,000,000	2012/06/12	2012/11/19	11.60
18934	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2012 B	40,000,000	2012/06/12	2012/12/14	18.40
18939	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Dec 12 A	100,000,000	2012/06/12	2012/12/12	41.00
18954	UBS AG – CITIC Sec European Warrants Dec 2012 A	100,000,000	2012/06/12	2012/12/24	25.00
18955	UBS AG – Tencent Holdings European Put Warrants Oct 2012 E	100,000,000	2012/06/12	2012/10/08	15.00
11332 #	J P Morgan SP BV – HSBC European Warrants Mar 2016	700,000,000	2012/06/12	2016/03/30	44.10
18961	BOCI Asia Ltd. – HSBC European Warrants Jan 2013 A	100,000,000	2012/06/13	2013/01/03	17.00
18968	BNP Paribas Arbit Issu B.V. – BOCHK European Wts Jan 2014 A	100,000,000	2012/06/13	2014/01/03	25.00
18972	BNP Paribas Arbit Issu B.V. -MTRC European Wts Jan 2014 A	33,000,000	2012/06/13	2014/01/03	10.23
18971	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Jan 2013 A	100,000,000	2012/06/13	2013/01/03	25.00
18969	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eu Wt Oct 2013A	90,000,000	2012/06/13	2013/10/03	22.50
18970	BNP Paribas Arbit Issu B.V. – ZTE European Wts Apr 2013 A	100,000,000	2012/06/13	2013/04/03	25.00
18963	CC Rabobank B.A. – Chi Res Land European Warrants Apr 2013 A	100,000,000	2012/06/13	2013/04/15	25.00
18964	CC Rabobank B.A. – MGM China European Warrants Feb 2013 A	100,000,000	2012/06/13	2013/02/25	25.00
18962	CC Rabobank B.A. – New World Dev European Warrants Dec 2012A	60,000,000	2012/06/13	2012/12/21	33.00
18966	Standard Chartered Bank – HKEx European Warrants Nov 2013 A	80,000,000	2012/06/13	2013/11/26	12.00
18965	Standard Chartered Bank – Tencent Hldg Euro Wts May 2013 B	50,000,000	2012/06/13	2013/05/10	18.00
18967	Standard Chartered Bank – Zijin Mining Euro Wts Jul 2013 A	50,000,000	2012/06/13	2013/07/30	22.50
18960	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun13A	100,000,000	2012/06/13	2013/06/10	16.00
18974	UBS AG – HSBC European Warrants Dec 2012 A	200,000,000	2012/06/13	2012/12/17	80.00
18975	UBS AG – Hutchison European Warrants May 2013 A	300,000,000	2012/06/13	2013/05/29	45.00
18973	UBS AG – Ping An European Warrants Dec 2012 A	100,000,000	2012/06/13	2012/12/17	40.00
18986	Credit Suisse AG – HSI European Warrants Sep 2012 D	300,000,000	2012/06/14	2012/09/27	45.00
18987	Credit Suisse AG – Hutchison European Warrants Mar 2013 A	80,000,000	2012/06/14	2013/03/28	12.00
18980	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Dec 2012 D	120,000,000	2012/06/14	2012/12/27	52.44
18992	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Dec 2012 A	120,000,000	2012/06/14	2012/12/27	57.24
18979	Goldman Sachs SP (Asia) – China Res Gas European Wt Jun 13 A	120,000,000	2012/06/14	2013/06/10	31.20
18993	Goldman Sachs SP (Asia) – Hutchison European Wts Nov 2012 C	120,000,000	2012/06/14	2012/11/19	35.28
18981	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Dec 2012 C	120,000,000	2012/06/14	2012/12/27	63.12
18978	HK Bank – PetroChina European Warrants Dec 2013 A	120,000,000	2012/06/14	2013/12/23	18.00
18996	J P Morgan SP BV – HKEx European Put Wts Dec 2012 A	200,000,000	2012/06/14	2012/12/21	30.00
18988	CC Rabobank B.A. – Dongfang Elec European Wts Jan 2013 A	80,000,000	2012/06/14	2013/01/21	20.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18989	CC Rabobank B.A. – Shanghai Electric European Wts Dec 2012 A	80,000,000	2012/06/14	2012/12/17	24.00
18990	CC Rabobank B.A. – ZTE European Warrants Jun 2013 A	80,000,000	2012/06/14	2013/06/03	20.00
18984	Standard Chartered Bank – CC Bank European Wts Nov 2012 A	100,000,000	2012/06/14	2012/11/12	18.00
18983	Standard Chartered Bank – ICBC European Warrants Feb 2013 A	100,000,000	2012/06/14	2013/02/01	30.00
18982	Standard Chartered Bank – Wynn Macau European Wts Jul 2013 A	50,000,000	2012/06/14	2013/07/05	12.50
18977	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jan 13 B	100,000,000	2012/06/14	2013/01/07	25.00
18976	SGA Societe Generale Acceptance N.V.-Sands China Eu Wt Nov12A	100,000,000	2012/06/14	2012/11/14	24.00
18994	UBS AG – ICBC European Warrants Nov 2012 A	100,000,000	2012/06/14	2012/11/21	15.00
18995	UBS AG – ICBC European Warrants Nov 2012 B	100,000,000	2012/06/14	2012/11/28	15.00
18985	UBS AG – Tencent Holdings European Put Warrants Jan 2013 B	100,000,000	2012/06/14	2013/01/28	22.00
18606 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 E	100,000,000	2012/06/14	2012/08/30	11.10
19004	Goldman Sachs SP (Asia) – Belle Int'l Euro Wts Jun 2013 A	120,000,000	2012/06/15	2013/06/28	23.16
19005	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Sep 2013 A	120,000,000	2012/06/15	2013/09/23	21.96
19003	Goldman Sachs SP (Asia) – Henganintl European Wts Nov 2012 B	120,000,000	2012/06/15	2012/11/05	29.88
19001	Goldman Sachs SP (Asia) – SHK Ppt European Wts Nov 2012 A	120,000,000	2012/06/15	2012/11/12	45.36
19002	Goldman Sachs SP (Asia) – SJM Holdings Euro Wts Jun 2013 A	120,000,000	2012/06/15	2013/06/10	31.20
19010	J P Morgan SP BV – CITIC Sec European Warrants May 2013 A	100,000,000	2012/06/15	2013/05/02	25.00
18999	Macquarie Bank Ltd. – CQRC Bank European Warrants Jan 2013 A	150,000,000	2012/06/15	2013/01/03	50.40
18998	Macquarie Bank Ltd. – Chow Tai Fook European Wts Jan 2013 A	150,000,000	2012/06/15	2013/01/03	129.15
19000	Macquarie Bank Ltd. – ICBC European Warrants Jan 2013 B	150,000,000	2012/06/15	2013/01/03	42.75
18997	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2012 C	80,000,000	2012/06/15	2012/12/28	12.00
19009	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2012 B	150,000,000	2012/06/15	2012/12/17	73.50
19008	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2012 B	150,000,000	2012/06/15	2012/12/17	54.00
19006	UBS AG – BOCL European Warrants Oct 2012 A	200,000,000	2012/06/15	2012/10/05	34.00
19007	UBS AG – HSI European Warrants Dec 2012 G	200,000,000	2012/06/15	2012/12/28	50.00
19012	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Jan 2013 B	200,000,000	2012/06/18	2013/01/03	32.00
19011	HK Bank – ICBC European Warrants Dec 2012 A	100,000,000	2012/06/18	2012/12/21	25.00
19013	UBS AG – Agricultural Bank European Warrants Dec 2012 A	100,000,000	2012/06/18	2012/12/05	26.00
19014	UBS AG – China Life European Warrants Dec 2012 D	300,000,000	2012/06/18	2012/12/27	51.00
19024	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2012 B	150,000,000	2012/06/19	2012/11/29	22.65
19026	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2012 D	150,000,000	2012/06/19	2012/11/29	22.50
19027	Goldman Sachs SP (Asia) – HSI European Warrants Nov 2012 E	150,000,000	2012/06/19	2012/11/29	36.15
19025	Goldman Sachs SP (Asia) – HSI European Put Wts Nov 2012 C	150,000,000	2012/06/19	2012/11/29	44.25
19021	HK Bank – BOCL European Warrants Dec 2012 A	80,000,000	2012/06/19	2012/12/17	15.20
19023	HK Bank – HSI European Put Warrants Dec 2012 L	200,000,000	2012/06/19	2012/12/28	30.00
19022	HK Bank – HWL European Warrants May 2013 A	200,000,000	2012/06/19	2013/05/27	30.00
19020	Macquarie Bank Ltd. – Belle Int'l European Wts Nov 2013 A	200,000,000	2012/06/19	2013/11/04	50.00
19016	Macquarie Bank Ltd. – BankComm European Warrants Jan 2013 A	100,000,000	2012/06/19	2013/01/03	26.00
19028	Macquarie Bank Ltd. – Ch Comm Cons European Wts Dec 2013 A	200,000,000	2012/06/19	2013/12/20	30.00
19015	Macquarie Bank Ltd. – CM Bank European Warrants Oct 2013 A	200,000,000	2012/06/19	2013/10/03	50.00
19018	CC Rabobank B.A. – CPIC European Warrants May 2013 A	80,000,000	2012/06/19	2013/05/13	20.00
19017	CC Rabobank B.A. – China Tel European Warrants Dec 2012 A	50,000,000	2012/06/19	2012/12/19	12.50
19019	CC Rabobank B.A. – ChiUnicom European Put Wts Feb 2014 A	120,000,000	2012/06/19	2014/02/27	30.00
19029	UBS AG – HSBC European Warrants Oct 2012 A	200,000,000	2012/06/19	2012/10/22	52.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19049	Credit Suisse AG – Agricultural Bank European Wts Dec 2012 A	80,000,000	2012/06/20	2012/12/03	12.00
19050	Credit Suisse AG – HKEx European Put Warrants Dec 2012 A	80,000,000	2012/06/20	2012/12/24	58.40
19048	Credit Suisse AG – HSI European Put Warrants Dec 2012 G	300,000,000	2012/06/20	2012/12/28	45.00
19044	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2013 A	120,000,000	2012/06/20	2013/06/24	30.00
19043	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jan 2013 A	120,000,000	2012/06/20	2013/01/14	38.16
19045	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2013 A	120,000,000	2012/06/20	2013/03/25	22.20
19046	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2013 A	120,000,000	2012/06/20	2013/03/25	68.88
19040	HK Bank – Lenovo European Warrants Sep 2014 A	150,000,000	2012/06/20	2014/09/25	22.50
19052	J P Morgan SP BV – China Shenhua European Wts Mar 2013 A	200,000,000	2012/06/20	2013/03/12	50.00
19051	Macquarie Bank Ltd. – Digital China European Wts Nov 2012 A	150,000,000	2012/06/20	2012/11/23	22.50
19030	Macquarie Bank Ltd. – Evergrande European Wts Dec 2012 A	100,000,000	2012/06/20	2012/12/20	39.80
19042	Standard Chartered Bank – CC Bank European Wts Jan 2013 A	100,000,000	2012/06/20	2013/01/30	75.00
19041	Standard Chartered Bank – ICBC European Warrants Jan 2013 A	100,000,000	2012/06/20	2013/01/04	65.00
19034	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Apr13A	200,000,000	2012/06/20	2013/04/29	30.00
19035	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2013A	300,000,000	2012/06/20	2013/01/28	45.00
19036	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2013B	300,000,000	2012/06/20	2013/01/02	51.00
19037	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 14 A	300,000,000	2012/06/20	2014/10/29	45.00
19033	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Nov 2012 A	300,000,000	2012/06/20	2012/11/05	54.00
19039	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Dec 2012 B	150,000,000	2012/06/20	2012/12/03	34.50
19032	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2012 C	150,000,000	2012/06/20	2012/12/24	63.00
19031	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Dec 12 D	200,000,000	2012/06/20	2012/12/24	30.00
19047	UBS AG – Esprit European Warrants Dec 2012 B	100,000,000	2012/06/20	2012/12/24	25.00
19066	Goldman Sachs SP (Asia) – BOCL European Warrants May 2013 A	120,000,000	2012/06/21	2013/05/27	19.20
19067	Goldman Sachs SP (Asia) – CPIC European Warrants Feb 2013 A	120,000,000	2012/06/21	2013/02/25	22.92
19065	Goldman Sachs Sp (Asia) – ICBC European Warrants Dec 2012 A	50,000,000	2012/06/21	2012/12/31	12.85
19069	HK Bank – China Life European Warrants Jan 2013 A	120,000,000	2012/06/21	2013/01/28	18.00
19070	HK Bank – Esprit European Warrants Feb 2013 A	80,000,000	2012/06/21	2013/02/22	20.00
19068	Macquarie Bank Ltd. – Esprit European Warrants Aug 2013 A	200,000,000	2012/06/21	2013/08/02	50.00
19057	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Dec 2012 B	80,000,000	2012/06/21	2012/12/27	12.64
19061	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts Dec 2012 E	40,000,000	2012/06/21	2012/12/31	22.00
19055	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Dec 2012 A	40,000,000	2012/06/21	2012/12/24	13.80
19059	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Dec 2012 A	40,000,000	2012/06/21	2012/12/24	28.80
19058	Merrill Lynch Int'l & Co. C.V. – ChiUnicom Eu Wts Aug 2013 A	80,000,000	2012/06/21	2013/08/27	15.68
19063	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Dec 2012 A	40,000,000	2012/06/21	2012/12/24	22.40
19054	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Nov 2012 E	100,000,000	2012/06/21	2012/11/29	19.70
19053	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Dec 2012 C	100,000,000	2012/06/21	2012/12/28	20.60
19062	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Dec 2012 A	40,000,000	2012/06/21	2012/12/24	11.00
19056	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Dec 2012 C	40,000,000	2012/06/21	2012/12/27	22.40
19060	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Dec 2012 B	80,000,000	2012/06/21	2012/12/24	12.72
19073	UBS AG – China Life European Warrants Dec 2012 E	300,000,000	2012/06/21	2012/12/21	75.00
19071	UBS AG – HSBC European Warrants Oct 2012 B	200,000,000	2012/06/21	2012/10/03	30.00
19072	UBS AG – Hutchison European Warrants Dec 2012 B	200,000,000	2012/06/21	2012/12/03	36.00
19064	UBS AG – PetroChina European Warrants Aug 2013 A	200,000,000	2012/06/21	2013/08/30	30.00
19074	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2013 A	100,000,000	2012/06/22	2013/01/03	35.90

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18606 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Aug 2012 E	180,000,000	2012/06/22	2012/08/30	10.62
19078	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Jan 2013 A	59,000,000	2012/06/25	2013/01/03	15.93
19079	Macquarie Bank Ltd. – BOCHK European Warrants Dec 2012 A	200,000,000	2012/06/25	2012/12/04	30.00
19077	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2013 A	200,000,000	2012/06/25	2013/02/06	30.00
19076	Macquarie Bank Ltd. – China Overseas European Wts Mar 2013 A	180,000,000	2012/06/25	2013/03/04	45.00
19081	Macquarie Bank Ltd. – Lenovo European Warrants Jan 2013 B	100,000,000	2012/06/25	2013/01/03	25.20
19080	Macquarie Bank Ltd. – Yurun Food European Wts Dec 2013 A	200,000,000	2012/06/25	2013/12/16	30.00
19075	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Dec12B	100,000,000	2012/06/25	2012/12/10	15.00
19083	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Nov 2012 A	150,000,000	2012/06/25	2012/11/28	24.00
19082	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Dec12E	300,000,000	2012/06/25	2012/12/03	69.00
19084	UBS AG – Tencent Holdings European Put Warrants Oct 2012 F	100,000,000	2012/06/25	2012/10/17	16.00
12367 #	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Sep 2012	340,000,000	2012/06/25	2012/09/28	10.20
19095	Credit Suisse AG – HKEx European Put Warrants Dec 2012 B	80,000,000	2012/06/26	2012/12/28	37.60
19098	Goldman Sachs SP (Asia) – China Life Euro Put Wts Mar 2013 A	80,000,000	2012/06/26	2013/03/18	20.00
19087	Goldman Sachs SP (Asia) -Ch Overseas European Wts Apr 2013 A	120,000,000	2012/06/26	2013/04/15	30.00
19097	Goldman Sachs SP (Asia) – Chow Tai Fook Euro Wts Mar 2013 A	80,000,000	2012/06/26	2013/03/18	54.56
19086	Goldman Sachs SP (Asia) -Tencent European Wt Jan 2013 A	120,000,000	2012/06/26	2013/01/21	26.88
19099	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2013 A	100,000,000	2012/06/26	2013/03/18	25.10
19089	HK Bank – HKEx European Warrants Mar 2013 A	200,000,000	2012/06/26	2013/03/21	36.00
19088	HK Bank – HKEx European Put Warrants Dec 2012 A	100,000,000	2012/06/26	2012/12/28	25.00
19096	Macquarie Bank Ltd. – Avichina European Warrants Dec 2012 A	100,000,000	2012/06/26	2012/12/27	25.00
19093	Macquarie Bank Ltd. – ZTE European Warrants Jun 2013 A	150,000,000	2012/06/26	2013/06/17	28.95
19085	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Mar 2014 A	40,000,000	2012/06/26	2014/03/24	10.00
19094	CC Rabobank B.A. – CNBM European Put Warrants Dec 2012 B	80,000,000	2012/06/26	2012/12/27	68.00
19091	CC Rabobank B.A. – HSBC European Warrants Dec 2012 A	150,000,000	2012/06/26	2012/12/28	37.50
19092	CC Rabobank B.A. – HSBC European Put Warrants Dec 2012 B	150,000,000	2012/06/26	2012/12/28	45.00
19090	CC Rabobank B.A. – Lonking European Warrants Jan 2013 A	70,000,000	2012/06/26	2013/01/07	17.50
19115	Citigroup Global Mkt H Inc. – BOCL European Wts Dec 2012 A	100,000,000	2012/06/27	2012/12/12	24.00
19116	Citigroup Global Mkt H Inc. – CC Bank European Wt Dec 2012 A	100,000,000	2012/06/27	2012/12/27	38.00
19119	Citigroup Global Mkt H Inc. – China Life Euro Wts Oct 2012 B	100,000,000	2012/06/27	2012/10/22	15.00
19111	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2012 B	100,000,000	2012/06/27	2012/10/03	36.00
19117	Citigroup Global Mkt H Inc. – CNOOC European Wts Nov 2012 A	100,000,000	2012/06/27	2012/11/19	16.00
19113	Citigroup Global Mkt H Inc. – HKEx European Wts Nov 2013 A	100,000,000	2012/06/27	2013/11/26	15.00
19112	Citigroup Global Mkt H Inc. – HSBC European Wts Dec 2012 A	100,000,000	2012/06/27	2012/12/27	35.00
19122	Citigroup Global Mkt H Inc. – HSI European Wts Oct 2012 A	200,000,000	2012/06/27	2012/10/30	30.00
19120	Citigroup Global Mkt H Inc. – HSI European Wts Dec 2012 A	200,000,000	2012/06/27	2012/12/28	50.00
19121	Citigroup Global Mkt H Inc. – HSI European Put Wt Dec 2012 B	200,000,000	2012/06/27	2012/12/28	34.00
19110	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Dec 2012 A	100,000,000	2012/06/27	2012/12/27	30.00
19114	Citigroup Global Mkt H Inc. – ICBC European Wts Oct 2012 B	100,000,000	2012/06/27	2012/10/25	18.00
19118	Citigroup Global Mkt H Inc. – Ping An Euro Wts Nov 2012 A	100,000,000	2012/06/27	2012/11/02	33.00
19106	Daiwa Capital Mkt – BOC HK European Warrants Sep 2013 A	158,000,000	2012/06/27	2013/09/03	39.50
19100	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jan 2013 A	100,000,000	2012/06/27	2013/01/30	17.80
19103	Nomura Int'l plc – Ch LongYuan European Warrants Mar 2013 A	100,000,000	2012/06/27	2013/03/28	40.70
19105	Nomura Int'l plc – Evergrande European Warrants Dec 2012 A	50,000,000	2012/06/27	2012/12/19	18.05

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		issue (units)	Listing date	Expiry	
19101	Nomura Int'l plc – Gome European Warrants Apr 2013 A	100,000,000	2012/06/27	2013/04/26	15.20
19102	Nomura Int'l plc – Link REIT European Warrants Mar 2013 A	50,000,000	2012/06/27	2013/03/28	12.55
19104	Nomura Int'l plc – Sh Electric European Warrants Mar 2013 A	100,000,000	2012/06/27	2013/03/28	25.60
19109	CC Rabobank B.A. – AIA European Warrants Dec 2012 A	120,000,000	2012/06/27	2012/12/27	18.00
19108	CC Rabobank B.A. – Ch LongYuan European Warrants Feb 2013 A	60,000,000	2012/06/27	2013/02/25	27.00
19107	CC Rabobank B.A. – MTRC European Warrants Mar 2013 A	60,000,000	2012/06/27	2013/03/25	15.00
23616 #	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Dec 2012	800,000,000	2012/06/27	2012/12/10	12.00
19133	BOCI Asia Ltd. – BOC HK European Put Warrants Dec 2012 A	100,000,000	2012/06/28	2012/12/21	17.00
19129	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Dec 12 A	100,000,000	2012/06/28	2012/12/28	25.00
19142	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wt Dec 2012 B	70,000,000	2012/06/28	2012/12/20	23.80
19128	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Oct 2012 B	100,000,000	2012/06/28	2012/10/24	15.00
19125	Citigroup Global Mkt H Inc. – China Tel Euro Wts Dec 2012 A	100,000,000	2012/06/28	2012/12/24	15.00
19127	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Dec 2013 A	100,000,000	2012/06/28	2013/12/27	16.00
19141	Citigroup Global Mkt H Inc. – HKEx Euro Put Wts Dec 2013 A	70,000,000	2012/06/28	2013/12/27	17.50
19143	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts Dec 2012 B	70,000,000	2012/06/28	2012/12/28	28.00
19137	Citigroup Global Mkt H Inc. – HSI European Put Wt Dec 2012 C	200,000,000	2012/06/28	2012/12/28	50.00
19126	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Dec 2013 A	100,000,000	2012/06/28	2013/12/24	15.00
19144	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Dec 2012 A	70,000,000	2012/06/28	2012/12/28	28.00
19145	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jun 2013 A	70,000,000	2012/06/28	2013/06/24	17.50
19132	J P Morgan SP BV – China Unicom European Warrants Sep 2013 A	200,000,000	2012/06/28	2013/09/03	30.20
19131	J P Morgan SP BV – HSI European Warrants Jan 2013 A	300,000,000	2012/06/28	2013/01/30	75.00
19130	J P Morgan SP BV – HSI European Put Warrants Dec 2012 E	300,000,000	2012/06/28	2012/12/28	75.00
19124	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Nov 2012 C	40,000,000	2012/06/28	2012/11/22	14.80
19123	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2012 B	60,000,000	2012/06/28	2012/12/24	11.70
19138	UBS AG – China Unicom European Warrants Oct 2013 A	100,000,000	2012/06/28	2013/10/28	20.00
19140	UBS AG – Evergrande European Warrants Nov 2012 A	100,000,000	2012/06/28	2012/11/19	40.00
19139	UBS AG – Evergrande European Warrants May 2015 A	200,000,000	2012/06/28	2015/05/21	34.00
19147	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Jan 2013 A	100,000,000	2012/06/29	2013/01/03	25.00
19146	Standard Chartered Bank – China Unicom Euro Wts Dec 2014 A	50,000,000	2012/06/29	2014/12/31	12.50
17988 #	UBS AG – ICBC European Warrants Oct 2012 A	200,000,000	2012/06/29	2012/10/22	12.20
Total					44,256.44

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2011	Q2	–	0.60
	Q3	–	611.11
	Q4	–	229.59
2012	Q1	–	390.38
	Q2	–	1,069.72

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2011	Q2	173	523,451.54
	Q3	183	558,876.03
	Q4	192	588,875.47
2012	Q1	209	651,849.26
	Q2	231	754,232.63

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2011	Q2	13	43,572.09
	Q3	16	47,230.33
	Q4	14	36,727.82
2012	Q1	24	69,041.82*
	Q2	30	113,905.00

* Revised figure

Main Board Debt Securities

Newly Listed Debt Securities

for 2nd quarter 2012

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
86021	New World China Land Ltd. 8.50% CNY Bonds 2015	RMB 2,800,000,000	2012/04/12	2015/04/11	3,444.28
04541	MTR Corporation (C.I.) Ltd. 2.0% Fixed Rate Notes 2017	USD 300,000,000	2012/04/13	2017/04/12	2,320.70
04543	CNPC General Capital Ltd. 2.75% Guaranteed Senior Notes 2017	USD 650,000,000	2012/04/20	2017/04/19	5,069.55
04544	CNPC General Capital Ltd. 3.95% Guaranteed Senior Notes 2022	USD 500,000,000	2012/04/20	2022/04/19	3,895.21
86021 #	New World China Land Ltd. 8.50% CNY Bonds 2015	RMB 1,500,000,000	2012/04/20	2015/04/11	1,864.46
04542	Shenzhen International Holdings Ltd. 4.375% Senior Notes 2017	USD 300,000,000	2012/04/23	2017/04/20	2,330.55
04545	The Hong Kong Mortgage Corporation Ltd., The FR Notes Apr 2013B	USD 50,000,000	2012/04/27	2013/04/26	390.00
86022	ITNL Offshore Pte. Ltd. 5.75% CNY Guaranteed Bonds 2015	RMB 630,000,000	2012/04/27	2015/04/26	775.28
04539 #	CITIC Pacific Ltd. 6.875% Notes 2018	USD 350,000,000	2012/04/27	2018/01/21	2,730.11
04546	CNOOC Finance (2012) Ltd. 3.875% Guaranteed Notes 2022	USD 1,500,000,000	2012/05/03	2022/05/02	11,684.67
04547	CNOOC Finance (2012) Ltd. 5.00% Guaranteed Notes 2042	USD 500,000,000	2012/05/03	2042/05/02	3,870.05
04548	China Merchants Finance Co. Ltd. 5.00% Guaranteed Notes 2022	USD 500,000,000	2012/05/07	2022/05/04	3,856.21
04549	K. Wah Int'l Financial Services Ltd. 5.375% Guaranteed Notes 2017	USD 200,000,000	2012/05/07	2017/05/04	1,560.00
04550	Hong Kong Mortgage Corporation Ltd., The FR Notes Nov 2013	GBP 200,000,000	2012/05/10	2013/11/30	2,510.99
04213	The Govt of the HKSAR of the PRC 0.46% Bonds 2015	HKD 3,000,000,000	2012/05/11	2015/05/11	2,989.50
86023	The Bank of East Asia (China) Ltd. 3.65% CNY Bonds 2015	RMB 1,000,000,000	2012/05/14	2015/05/11	1,230.00
04551	Yancoal Int'l Res Dev Co., Ltd. 4.461% Guaranteed Notes 2017	USD 450,000,000	2012/05/17	2017/05/16	3,510.00
04552	Yancoal Int'l Res Dev Co., Ltd. 5.73% Guaranteed Notes 2022	USD 550,000,000	2012/05/17	2022/05/16	4,290.00
04026	Hong Kong Monetary Authority 0.23% Exchange Fund Notes 2014	HKD 1,200,000,000	2012/05/18	2014/05/19	1,199.04
04553	Sinopec Gp Overseas Dev (2012) Ltd. 2.75% Senior Notes 2017	USD 1,000,000,000	2012/05/18	2017/05/17	7,777.93
04554	Sinopec Gp Overseas Dev (2012) Ltd. 3.90% Senior Notes 2022	USD 1,000,000,000	2012/05/18	2022/05/17	7,776.37
04555	Sinopec Gp Overseas Dev (2012) Ltd. 4.875% Senior Notes 2042	USD 1,000,000,000	2012/05/18	2022/05/17	7,774.42
04211 #	The Government of the HKSAR of the PRC 0.97% Bonds 2016	HKD 1,500,000,000	2012/06/07	2016/12/08	1,509.15
04556	Cheung Kong Finance (MTN) Ltd. FR Guaranteed Notes 2015	USD 500,000,000	2012/06/18	2015/06/15	3,900.00
86024	China Datang Overseas (HK) Co., Ltd. 4.5% Guar. CNY Bonds 2015	RMB 1,000,000,000	2012/06/18	2015/06/15	1,217.60
04003 #	Hong Kong Monetary Authority 1.65% Exchange Fund Notes 2015	HKD 1,200,000,000	2012/06/18	2015/06/15	1,249.08
04027	Hong Kong Monetary Authority 0.44% Exchange Fund Notes 2017	HKD 1,000,000,000	2012/06/19	2017/06/19	997.50
04557	Swire Properties MTN Financing Ltd. 4.375% Guaranteed Notes 2022	USD 500,000,000	2012/06/19	2022/06/18	3,883.47
04028	Hong Kong Monetary Authority 0.97% Exchange Fund Notes 2022	HKD 800,000,000	2012/06/20	2022/06/20	797.92
04214	The Govt of the HKSAR of the PRC iBonds 2015	HKD 10,000,000,000	2012/06/25	2015/06/22	10,000.00
86025	Agricultural Development Bank of China 2.98% CNY Bonds 2014	RMB 1,500,000,000	2012/06/25	2014/06/23	1,828.50
86026	Agricultural Development Bank of China 3.20% CNY Bonds 2015	RMB 1,000,000,000	2012/06/25	2015/06/22	1,219.00
86027	Agricultural Development Bank of China 3.35% CNY Bonds 2017	RMB 500,000,000	2012/06/25	2017/06/22	609.50
04558	HLP Finance Ltd. 4.75% Guaranteed Notes 2022	USD 500,000,000	2012/06/26	2022/06/25	3,844.00
Total					113,905.00

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2011	Q2	127,347.26	16,652.86	105.65	144,105.78	3.45
	Q3	153,336.68	13,347.77	93.94	166,778.38	3.66
	Q4	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	Q1	115,613.41	13,420.51	82.93	129,116.85	3.36
	Q2	92,849.35	16,848.41	66.55	109,764.32	3.59

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2011	Q2	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	Q3	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	Q4	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	Q1	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36
	Q2	92	711,788.89	9	136,167.04	2	2,367.38	103	850,323.31

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2011	Q2	5	12,507.88
	Q3	–	–
	Q4	1	–
2012	Q1	24	136.80
	Q2	3	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 2nd quarter 2012

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised		Listing date
				(HK\$ mil)		
03041	Value Korea ETF	Sensible Asset Management Hong Kong Limited	n.a.	n.a.		2012/05/28
03060	Value Taiwan ETF	Sensible Asset Management Hong Kong Limited	n.a.	n.a.		2012/05/28
03084	Value Japan ETF	Sensible Asset Management Hong Kong Limited	n.a.	n.a.		2012/05/28

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2011	Q2	711	32,550.52	325,829.26
	Q3	642	45,153.85	518,147.45
	Q4	901	43,598.79	641,062.38
2012	Q1	1,097	55,092.13	464,351.29
	Q2	1,021	46,730.67	385,316.23

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2011	Q2	931	54,073.65
	Q3	1,401	85,334.38
	Q4	1,553	90,461.98
2012	Q1	1,419	82,599.66
	Q2	1,530	91,955.65

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 2nd quarter 2012

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61365	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 F	200,000,000	2012/04/02	2012/12/28	50.00
61364	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 C	200,000,000	2012/04/02	2012/12/28	50.00
61363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 T	200,000,000	2012/04/02	2013/03/27	50.00
61359	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/04/02	2012/07/30	100.00
61360	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/04/02	2012/07/30	100.00
61362	UBS AG – HSI R Bull CBBC Nov 2012 Z	300,000,000	2012/04/02	2012/11/29	75.00
61361	UBS AG – HSI R Bear CBBC Sep 2012 X	300,000,000	2012/04/02	2012/09/27	75.00
61377	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 U	200,000,000	2012/04/03	2013/03/27	50.00
61378	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 V	200,000,000	2012/04/03	2013/03/27	50.00
61375	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/04/03	2012/07/30	100.00
61369	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Z	400,000,000	2012/04/03	2012/07/30	100.00
61376	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 O	208,000,000	2012/04/03	2012/07/30	52.00
61368	HK Bank – A50 R Bear CBBC Nov 2012 A	100,000,000	2012/04/03	2012/11/28	25.00
61372	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/04/03	2012/11/29	50.00
61373	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/04/03	2012/11/29	50.00
61366	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12J	200,000,000	2012/04/03	2012/07/30	50.00
61367	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12K	200,000,000	2012/04/03	2012/07/30	50.00
61379	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/04/03	2012/10/30	75.00
61371	UBS AG – HSI R Bear CBBC Aug 2012 B	300,000,000	2012/04/03	2012/08/30	75.00
61370	UBS AG – HSI R Bear CBBC Oct 2012 V	300,000,000	2012/04/03	2012/10/30	75.00
61380	UBS AG – Ping An R Bull CBBC Jul 2012 F	100,000,000	2012/04/03	2012/07/23	25.00
61395	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2012/04/05	2012/07/30	100.00
61399	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/04/05	2012/07/30	100.00
61397	Credit Suisse AG – HSI R Bear CBBC Jul 2012 C	400,000,000	2012/04/05	2012/07/30	100.00
61394	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 P	208,000,000	2012/04/05	2012/07/30	52.00
61400	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 W	208,000,000	2012/04/05	2012/07/30	52.00
61403	Daiwa Capital Mkt – HSI R Bear CBBC Jul 2012 X	208,000,000	2012/04/05	2012/07/30	52.00
61383	HK Bank – China Mobile R Bull CBBC Dec 2012 B	200,000,000	2012/04/05	2012/12/12	50.00
61384	HK Bank – China Mobile R Bull CBBC Dec 2012 C	200,000,000	2012/04/05	2012/12/12	50.00
61385	HK Bank – Sinopec Corp R Bull CBBC Dec 2012 B	80,000,000	2012/04/05	2012/12/18	20.00
61386	HK Bank – Sinopec Corp R Bull CBBC Dec 2012 C	80,000,000	2012/04/05	2012/12/18	20.00
61387	HK Bank – Sinopec Corp R Bear CBBC Dec 2012 A	80,000,000	2012/04/05	2012/12/18	20.00
61388	HK Bank – A50 R Bull CBBC Jan 2013 B	100,000,000	2012/04/05	2013/01/18	25.00
61381	HK Bank – A50 R Bear CBBC Nov 2012 B	100,000,000	2012/04/05	2012/11/28	25.00
61382	HK Bank – A50 R Bear CBBC Nov 2012 C	100,000,000	2012/04/05	2012/11/28	25.00
61398	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12C	200,000,000	2012/04/05	2012/07/30	50.00
61402	UBS AG – HSI R Bull CBBC Sep 2012 V	300,000,000	2012/04/05	2012/09/27	75.00
61396	UBS AG – HSI R Bull CBBC Dec 2012 Q	300,000,000	2012/04/05	2012/12/28	75.00
61401	UBS AG – HSI R Bear CBBC Oct 2012 W	300,000,000	2012/04/05	2012/10/30	75.00
61405	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/04/10	2012/07/30	100.00
61406	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/04/10	2012/07/30	100.00
61409	Credit Suisse AG – HSI R Bear CBBC Jul 2012 J	400,000,000	2012/04/10	2012/07/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61417	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Q	400,000,000	2012/04/10	2012/07/30	100.00
61410	Daiwa Capital Mkt – A50 China R Bull CBBC Dec 2012 A	80,000,000	2012/04/10	2012/12/05	20.00
61413	Daiwa Capital Mkt – A50 China R Bull CBBC Dec 2012 B	80,000,000	2012/04/10	2012/12/10	20.00
61414	Daiwa Capital Mkt – A50 China R Bull CBBC Dec 2012 C	80,000,000	2012/04/10	2012/12/31	20.00
61411	Daiwa Capital Mkt – A50 China R Bear CBBC Dec 2012 A	80,000,000	2012/04/10	2012/12/05	20.00
61412	Daiwa Capital Mkt – A50 China R Bear CBBC Dec 2012 B	80,000,000	2012/04/10	2012/12/10	20.00
61415	Daiwa Capital Mkt – A50 China R Bear CBBC Dec 2012 C	80,000,000	2012/04/10	2012/12/31	20.00
61404	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12P	200,000,000	2012/04/10	2012/11/29	50.00
61416	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Q	200,000,000	2012/04/10	2012/11/29	50.00
61426	UBS AG – CNOOC R Bear CBBC Jul 2012 G	100,000,000	2012/04/10	2012/07/30	28.00
61422	UBS AG – A50 China R Bear CBBC Sep 2012 A	100,000,000	2012/04/10	2012/09/03	25.00
61421	UBS AG – HSCEI R Bear CBBC Aug 2012 A	100,000,000	2012/04/10	2012/08/30	25.00
61408	UBS AG – HSI R Bull CBBC Nov 2012 I	300,000,000	2012/04/10	2012/11/29	75.00
61407	UBS AG – HSI R Bull CBBC Dec 2012 R	300,000,000	2012/04/10	2012/12/28	75.00
61420	UBS AG – HSI R Bear CBBC Jul 2012 R	300,000,000	2012/04/10	2012/07/30	75.00
61419	UBS AG – HSI R Bear CBBC Sep 2012 Y	300,000,000	2012/04/10	2012/09/27	75.00
61418	UBS AG – Hutchison R Bear CBBC Aug 2012 E	100,000,000	2012/04/10	2012/08/13	25.00
61425	UBS AG – ICBC R Bear CBBC Aug 2012 C	100,000,000	2012/04/10	2012/08/20	25.00
61423	UBS AG – Ping An R Bear CBBC Sep 2012 A	100,000,000	2012/04/10	2012/09/17	25.00
61449	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 W	200,000,000	2012/04/11	2013/03/27	50.00
61450	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 X	200,000,000	2012/04/11	2013/03/27	50.00
61439	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 V	200,000,000	2012/04/11	2012/07/30	50.00
61440	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 W	200,000,000	2012/04/11	2012/07/30	50.00
61437	Credit Suisse AG – HKEx R Bear CBBC Sep 2012 A	60,000,000	2012/04/11	2012/09/28	15.00
61429	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/04/11	2012/07/30	100.00
61431	Credit Suisse AG – HSI R Bear CBBC Jul 2012 S	400,000,000	2012/04/11	2012/07/30	100.00
61438	Credit Suisse AG – Hutchison R Bear CBBC Sep 2012 A	60,000,000	2012/04/11	2012/09/28	15.00
61434	Credit Suisse AG – TCH R Bear CBBC Sep 2012 D	60,000,000	2012/04/11	2012/09/28	15.00
61436	Credit Suisse AG – TCH R Bear CBBC Sep 2012 E	60,000,000	2012/04/11	2012/09/28	15.00
61447	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2012 A	200,000,000	2012/04/11	2012/09/27	50.00
61448	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/04/11	2012/07/30	50.00
61427	HK Bank – HSI R Bear CBBC Dec 2012 K	200,000,000	2012/04/11	2012/12/28	50.00
61428	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12R	200,000,000	2012/04/11	2012/11/29	50.00
61432	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12L	200,000,000	2012/04/11	2012/07/30	50.00
61433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12M	200,000,000	2012/04/11	2012/07/30	50.00
61430	UBS AG – HSI R Bull CBBC Sep 2012 X	300,000,000	2012/04/11	2012/09/27	75.00
61442	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/04/11	2012/07/30	75.00
61445	UBS AG – SHK Ppt R Bull CBBC Aug 2012 A	100,000,000	2012/04/11	2012/08/06	25.00
61446	UBS AG – SHK Ppt R Bull CBBC Sep 2012 A	100,000,000	2012/04/11	2012/09/03	25.00
61441	UBS AG – SHK Ppt R Bear CBBC Aug 2012 B	100,000,000	2012/04/11	2012/08/20	25.00
61444	UBS AG – TCH R Bear CBBC Aug 2012 D	100,000,000	2012/04/11	2012/08/20	25.00
61467	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 X	200,000,000	2012/04/12	2012/07/30	50.00
61454	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/04/12	2012/07/30	100.00
61459	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/04/12	2012/07/30	100.00
61451	HK Bank – HSI R Bear CBBC Dec 2012 L	250,000,000	2012/04/12	2012/12/28	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61466	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 A	300,000,000	2012/04/12	2012/09/27	75.00
61460	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 Q	300,000,000	2012/04/12	2012/08/30	75.00
61461	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 R	300,000,000	2012/04/12	2012/08/30	75.00
61462	J P Morgan SP BV – HSI R Bear CBBC Aug 2012 U	300,000,000	2012/04/12	2012/08/30	75.00
61463	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 A	300,000,000	2012/04/12	2012/09/27	75.00
61464	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 B	300,000,000	2012/04/12	2012/09/27	75.00
61458	UBS AG – HKEX R Bear CBBC Sep 2012 A	100,000,000	2012/04/12	2012/09/17	25.00
61457	UBS AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/04/12	2012/10/30	75.00
61452	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/04/12	2012/10/30	75.00
61453	UBS AG – HSI R Bull CBBC Nov 2012 J	300,000,000	2012/04/12	2012/11/29	75.00
61456	UBS AG – HSI R Bull CBBC Nov 2012 K	300,000,000	2012/04/12	2012/11/29	75.00
61455	UBS AG – HSI R Bear CBBC Sep 2012 Z	300,000,000	2012/04/12	2012/09/27	75.00
61481	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Y	200,000,000	2012/04/13	2013/03/27	50.00
61471	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2012/04/13	2012/07/30	100.00
61475	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/04/13	2012/07/30	100.00
61477	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/04/13	2012/07/30	100.00
61478	Credit Suisse AG – HSI R Bull CBBC Jul 2012 P	400,000,000	2012/04/13	2012/07/30	100.00
61474	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2012/04/13	2012/07/30	100.00
61476	Credit Suisse AG – HSI R Bear CBBC Jul 2012 A	400,000,000	2012/04/13	2012/07/30	100.00
61472	Credit Suisse AG – HSI R Bear CBBC Jul 2012 K	400,000,000	2012/04/13	2012/07/30	100.00
61473	Credit Suisse AG – HSI R Bear CBBC Jul 2012 W	400,000,000	2012/04/13	2012/07/30	100.00
61482	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 B	300,000,000	2012/04/13	2012/09/27	75.00
61468	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12G	200,000,000	2012/04/13	2012/07/30	50.00
61480	UBS AG – HSI R Bull CBBC Nov 2012 L	300,000,000	2012/04/13	2012/11/29	75.00
61470	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/04/13	2012/07/30	75.00
61469	UBS AG – HSI R Bear CBBC Oct 2012 X	300,000,000	2012/04/13	2012/10/30	75.00
61489	Credit Suisse AG – HSI R Bear CBBC Jul 2012 B	400,000,000	2012/04/16	2012/07/30	100.00
61487	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 A	40,000,000	2012/04/16	2012/11/29	10.00
61488	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Nov 2012 B	40,000,000	2012/04/16	2012/11/29	10.00
61483	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12S	200,000,000	2012/04/16	2012/11/29	50.00
61485	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12Z	200,000,000	2012/04/16	2012/07/30	50.00
61490	UBS AG – Sinopec Corp R Bear CBBC Aug 2012 A	100,000,000	2012/04/16	2012/08/06	25.00
61486	UBS AG – HSI R Bull CBBC Dec 2012 S	300,000,000	2012/04/16	2012/12/28	75.00
61491	UBS AG – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/04/16	2012/09/27	75.00
61501	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 H	200,000,000	2012/04/17	2012/07/30	50.00
61498	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 Y	200,000,000	2012/04/17	2012/07/30	50.00
61500	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 Z	200,000,000	2012/04/17	2012/07/30	50.00
61493	Credit Suisse AG – HSI R Bull CBBC Jul 2012 C	400,000,000	2012/04/17	2012/07/30	100.00
61494	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2012/04/17	2012/07/30	100.00
61495	Credit Suisse AG – HSI R Bull CBBC Sep 2012 X	400,000,000	2012/04/17	2012/09/27	100.00
61497	Credit Suisse AG – HSI R Bear CBBC Jul 2012 D	400,000,000	2012/04/17	2012/07/30	100.00
61496	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Z	400,000,000	2012/04/17	2012/09/27	100.00
61506	UBS AG – CC Bank R Bear CBBC Sep 2012 A	100,000,000	2012/04/17	2012/09/03	25.00
61504	UBS AG – A50 China R Bull CBBC Sep 2012 A	100,000,000	2012/04/17	2012/09/24	25.00
61505	UBS AG – A50 China R Bull CBBC Sep 2012 B	100,000,000	2012/04/17	2012/09/10	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61503	UBS AG – HSI R Bull CBBC Oct 2012 O	300,000,000	2012/04/17	2012/10/30	75.00
61492	UBS AG – HSI R Bull CBBC Dec 2012 T	300,000,000	2012/04/17	2012/12/28	75.00
61502	UBS AG – HSI R Bear CBBC Aug 2012 C	300,000,000	2012/04/17	2012/08/30	75.00
61522	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 A	200,000,000	2012/04/18	2013/03/27	50.00
61523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 B	200,000,000	2012/04/18	2013/03/27	50.00
61521	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Z	200,000,000	2012/04/18	2013/03/27	50.00
61542	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 I	200,000,000	2012/04/18	2012/07/30	50.00
61543	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 J	200,000,000	2012/04/18	2012/07/30	50.00
61544	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 K	200,000,000	2012/04/18	2012/07/30	50.00
61516	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Q	400,000,000	2012/04/18	2012/07/30	100.00
61517	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Z	400,000,000	2012/04/18	2012/07/30	100.00
61533	Credit Suisse AG – HSI R Bull CBBC Sep 2012 B	400,000,000	2012/04/18	2012/09/27	100.00
61518	Credit Suisse AG – HSI R Bull CBBC Sep 2012 Y	400,000,000	2012/04/18	2012/09/27	100.00
61532	Credit Suisse AG – HSI R Bull CBBC Sep 2012 Z	400,000,000	2012/04/18	2012/09/27	100.00
61534	Credit Suisse AG – HSI R Bear CBBC Jul 2012 E	400,000,000	2012/04/18	2012/07/30	100.00
61535	Credit Suisse AG – HSI R Bear CBBC Jul 2012 H	400,000,000	2012/04/18	2012/07/30	100.00
61536	Credit Suisse AG – HSI R Bear CBBC Jul 2012 I	400,000,000	2012/04/18	2012/07/30	100.00
61524	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 A	150,000,000	2012/04/18	2012/07/30	40.80
61525	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 B	150,000,000	2012/04/18	2012/07/30	40.50
61526	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 C	150,000,000	2012/04/18	2012/07/30	38.40
61527	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 D	150,000,000	2012/04/18	2012/07/30	38.25
61512	HK Bank – China Mobile R Bear CBBC Dec 2012 B	200,000,000	2012/04/18	2012/12/18	50.00
61513	HK Bank – SHK Ppt R Bull CBBC Jan 2013 A	200,000,000	2012/04/18	2013/01/18	50.00
61511	HK Bank – SHK Ppt R Bear CBBC Nov 2012 A	200,000,000	2012/04/18	2012/11/08	50.00
61507	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/04/18	2012/09/27	75.00
61508	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 D	300,000,000	2012/04/18	2012/09/27	75.00
61509	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 E	300,000,000	2012/04/18	2012/09/27	75.00
61510	J P Morgan SP BV – HSI R Bear CBBC Sep 2012 F	300,000,000	2012/04/18	2012/09/27	75.00
61515	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12C	300,000,000	2012/04/18	2012/07/30	75.00
61514	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 12X	300,000,000	2012/04/18	2012/07/30	75.00
61528	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12D	300,000,000	2012/04/18	2012/07/30	75.00
61530	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12F	300,000,000	2012/04/18	2012/07/30	75.00
61531	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12I	300,000,000	2012/04/18	2012/07/30	75.00
61519	UBS AG – HSI R Bull CBBC Sep 2012 A	300,000,000	2012/04/18	2012/09/27	75.00
61520	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/04/18	2012/11/29	75.00
61538	UBS AG – HSI R Bear CBBC Jul 2012 A	300,000,000	2012/04/18	2012/07/30	75.00
61539	UBS AG – HSI R Bear CBBC Sep 2012 U	300,000,000	2012/04/18	2012/09/27	75.00
61540	UBS AG – HSI R Bear CBBC Sep 2012 X	300,000,000	2012/04/18	2012/09/27	75.00
61541	UBS AG – HSI R Bear CBBC Oct 2012 Y	300,000,000	2012/04/18	2012/10/30	75.00
61550	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2012/04/19	2012/07/30	100.00
61551	Credit Suisse AG – HSI R Bear CBBC Jul 2012 L	400,000,000	2012/04/19	2012/07/30	100.00
61545	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 A	150,000,000	2012/04/19	2012/07/30	37.95
61546	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 B	150,000,000	2012/04/19	2012/07/30	37.95
61547	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 C	150,000,000	2012/04/19	2012/07/30	37.65
61548	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 D	150,000,000	2012/04/19	2012/07/30	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61556	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 C	300,000,000	2012/04/19	2012/09/27	75.00
61557	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 D	300,000,000	2012/04/19	2012/09/27	75.00
61552	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12O	200,000,000	2012/04/19	2012/07/30	50.00
61555	UBS AG – A50 China R Bull CBBC Oct 2012 A	100,000,000	2012/04/19	2012/10/08	25.00
61554	UBS AG – HSI R Bull CBBC Oct 2012 P	300,000,000	2012/04/19	2012/10/30	75.00
61553	UBS AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/04/19	2012/11/29	75.00
61549	UBS AG – HSI R Bear CBBC Oct 2012 Z	300,000,000	2012/04/19	2012/10/30	75.00
61578	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 C	200,000,000	2012/04/20	2013/03/27	50.00
61579	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 C	200,000,000	2012/04/20	2013/03/27	50.00
61580	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 D	200,000,000	2012/04/20	2013/03/27	50.00
61581	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 E	200,000,000	2012/04/20	2013/03/27	50.00
61573	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2012/04/20	2012/07/30	100.00
61583	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2012/04/20	2012/07/30	100.00
61571	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2012/04/20	2012/07/30	100.00
61569	Credit Suisse AG – HSI R Bear CBBC Jul 2012 F	400,000,000	2012/04/20	2012/07/30	100.00
61570	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Q	400,000,000	2012/04/20	2012/07/30	100.00
61558	HK Bank – China Life R Bull CBBC Dec 2012 A	80,000,000	2012/04/20	2012/12/12	24.00
61559	HK Bank – China Life R Bear CBBC Dec 2012 C	80,000,000	2012/04/20	2012/12/18	24.00
61562	HK Bank – HKEX R Bear CBBC Dec 2012 A	100,000,000	2012/04/20	2012/12/24	25.00
61563	HK Bank – HSI R Bear CBBC Dec 2012 M	250,000,000	2012/04/20	2012/12/28	62.50
61574	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12A	200,000,000	2012/04/20	2012/08/30	50.00
61575	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12B	200,000,000	2012/04/20	2012/08/30	50.00
61577	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12C	200,000,000	2012/04/20	2012/08/30	50.00
61566	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12B	200,000,000	2012/04/20	2012/07/30	50.00
61564	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12P	200,000,000	2012/04/20	2012/07/30	50.00
61565	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul 12X	200,000,000	2012/04/20	2012/07/30	50.00
61585	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/04/20	2012/10/30	75.00
61584	UBS AG – HSI R Bull CBBC Oct 2012 Y	300,000,000	2012/04/20	2012/10/30	75.00
61582	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/04/20	2012/07/30	75.00
61567	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2012/04/20	2012/07/30	75.00
61568	UBS AG – HSI R Bear CBBC Oct 2012 Q	300,000,000	2012/04/20	2012/10/30	75.00
61604	Credit Suisse AG – A50 China R Bull CBBC Sep 2012 A	50,000,000	2012/04/23	2012/09/24	12.50
61606	Credit Suisse AG – A50 China R Bull CBBC Sep 2012 B	50,000,000	2012/04/23	2012/09/24	12.50
61607	Credit Suisse AG – A50 China R Bull CBBC Sep 2012 C	50,000,000	2012/04/23	2012/09/24	12.50
61608	Credit Suisse AG – A50 China R Bear CBBC Sep 2012 A	50,000,000	2012/04/23	2012/09/24	12.50
61602	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/04/23	2012/08/30	100.00
61601	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Z	400,000,000	2012/04/23	2012/08/30	100.00
61603	Credit Suisse AG – HSI R Bear CBBC Aug 2012 A	400,000,000	2012/04/23	2012/08/30	100.00
61594	HK Bank – CUni R Bull CBBC Dec 2012 A	80,000,000	2012/04/23	2012/12/24	28.00
61595	HK Bank – CUni R Bear CBBC Dec 2012 A	80,000,000	2012/04/23	2012/12/24	32.00
61597	HK Bank – TCH R Bear CBBC Dec 2012 C	200,000,000	2012/04/23	2012/12/24	50.00
61590	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 E	300,000,000	2012/04/23	2012/09/27	75.00
61591	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 F	300,000,000	2012/04/23	2012/09/27	75.00
61592	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 G	300,000,000	2012/04/23	2012/09/27	75.00
61593	J P Morgan SP BV – HSI R Bull CBBC Sep 2012 H	300,000,000	2012/04/23	2012/09/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61587	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12O	200,000,000	2012/04/23	2012/08/30	50.00
61588	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12P	200,000,000	2012/04/23	2012/08/30	50.00
61589	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12Q	200,000,000	2012/04/23	2012/08/30	50.00
61611	UBS AG – China Life R Bull CBBC Oct 2012 A	100,000,000	2012/04/23	2012/10/15	25.00
61612	UBS AG – CNOOC R Bull CBBC Aug 2012 A	100,000,000	2012/04/23	2012/08/13	26.00
61614	UBS AG – HSCEI R Bull CBBC Oct 2012 C	100,000,000	2012/04/23	2012/10/30	25.00
61599	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/04/23	2012/11/29	75.00
61600	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/04/23	2012/11/29	75.00
61610	UBS AG – HSI R Bear CBBC Sep 2012 Y	300,000,000	2012/04/23	2012/09/27	75.00
61609	UBS AG – HSI R Bear CBBC Oct 2012 V	300,000,000	2012/04/23	2012/10/30	75.00
61613	UBS AG – TCH R Bull CBBC Sep 2012 B	100,000,000	2012/04/23	2012/09/17	25.00
61623	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 C	200,000,000	2012/04/24	2012/07/30	50.00
61617	Credit Suisse AG – HSI R Bull CBBC Jul 2012 P	400,000,000	2012/04/24	2012/07/30	100.00
61618	Credit Suisse AG – HSI R Bear CBBC Jul 2012 A	400,000,000	2012/04/24	2012/07/30	100.00
61619	Credit Suisse AG – TCH R Bull CBBC Oct 2012 A	70,000,000	2012/04/24	2012/10/31	17.50
61620	Credit Suisse AG – TCH R Bull CBBC Oct 2012 B	70,000,000	2012/04/24	2012/10/31	17.50
61621	Credit Suisse AG – TCH R Bear CBBC Oct 2012 A	70,000,000	2012/04/24	2012/10/31	28.35
61615	UBS AG – HSI R Bull CBBC Dec 2012 U	300,000,000	2012/04/24	2012/12/28	75.00
61616	UBS AG – HSI R Bull CBBC Dec 2012 V	300,000,000	2012/04/24	2012/12/28	75.00
61622	UBS AG – HSI R Bear CBBC Oct 2012 X	300,000,000	2012/04/24	2012/10/30	75.00
61628	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 A	200,000,000	2012/04/25	2012/07/30	50.00
61627	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 B	200,000,000	2012/04/25	2012/07/30	50.00
61632	Credit Suisse AG – HSI R Bull CBBC Aug 2012 V	400,000,000	2012/04/25	2012/08/30	100.00
61626	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/04/25	2012/08/30	100.00
61625	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/04/25	2012/08/30	100.00
61624	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 A	40,000,000	2012/04/25	2012/11/29	10.00
61629	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12D	200,000,000	2012/04/25	2012/08/30	50.00
61636	UBS AG – HSI R Bull CBBC Dec 2012 W	300,000,000	2012/04/25	2012/12/28	75.00
61633	UBS AG – HSI R Bull CBBC Jan 2013 E	300,000,000	2012/04/25	2013/01/30	75.00
61631	UBS AG – HSI R Bear CBBC Sep 2012 Z	300,000,000	2012/04/25	2012/09/27	75.00
61630	UBS AG – HSI R Bear CBBC Oct 2012 Y	300,000,000	2012/04/25	2012/10/30	75.00
61637	UBS AG – TCH R Bull CBBC Sep 2012 C	100,000,000	2012/04/25	2012/09/03	25.00
61638	UBS AG – TCH R Bear CBBC Oct 2012 A	100,000,000	2012/04/25	2012/10/08	41.50
61643	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 F	200,000,000	2012/04/26	2013/03/27	50.00
61644	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/04/26	2013/03/27	50.00
61654	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/04/26	2013/03/27	50.00
61642	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/04/26	2012/08/30	100.00
61653	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/04/26	2012/08/30	100.00
61641	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/04/26	2012/08/30	100.00
61651	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/04/26	2012/08/30	100.00
61646	Credit Suisse AG – TCH R Bull CBBC Sep 2012 C	100,000,000	2012/04/26	2012/09/28	25.00
61647	Credit Suisse AG – TCH R Bull CBBC Sep 2012 D	100,000,000	2012/04/26	2012/09/28	25.00
61648	Credit Suisse AG – TCH R Bear CBBC Sep 2012 F	100,000,000	2012/04/26	2012/09/28	38.00
61649	Credit Suisse AG – TCH R Bear CBBC Sep 2012 G	100,000,000	2012/04/26	2012/09/28	50.00
61639	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Nov 2012 B	40,000,000	2012/04/26	2012/11/29	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61640	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12E	200,000,000	2012/04/26	2012/08/30	50.00
61650	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12F	200,000,000	2012/04/26	2012/08/30	50.00
61655	UBS AG – HSI R Bull CBBC Nov 2012 O	300,000,000	2012/04/26	2012/11/29	75.00
61656	UBS AG – HSI R Bull CBBC Dec 2012 X	300,000,000	2012/04/26	2012/12/28	75.00
61652	UBS AG – HSI R Bear CBBC Jul 2012 A	300,000,000	2012/04/26	2012/07/30	75.00
61676	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/04/27	2013/03/27	50.00
61662	Credit Suisse AG – China Life R Bull CBBC Sep 2012 A	60,000,000	2012/04/27	2012/09/28	15.00
61663	Credit Suisse AG – China Life R Bull CBBC Sep 2012 B	60,000,000	2012/04/27	2012/09/28	15.00
61664	Credit Suisse AG – China Life R Bull CBBC Sep 2012 C	60,000,000	2012/04/27	2012/09/28	15.60
61665	Credit Suisse AG – China Life R Bear CBBC Sep 2012 A	60,000,000	2012/04/27	2012/09/28	35.40
61658	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/04/27	2012/08/30	100.00
61672	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/04/27	2012/08/30	100.00
61659	Credit Suisse AG – HSI R Bear CBBC Aug 2012 B	400,000,000	2012/04/27	2012/08/30	100.00
61661	Credit Suisse AG – HSI R Bear CBBC Aug 2012 C	400,000,000	2012/04/27	2012/08/30	100.00
61668	HK Bank – HSI R Bull CBBC Oct 2012 F	200,000,000	2012/04/27	2012/10/30	50.00
61670	HK Bank – HSI R Bull CBBC Oct 2012 G	200,000,000	2012/04/27	2012/10/30	50.00
61666	HK Bank – TCH R Bull CBBC Oct 2012 A	100,000,000	2012/04/27	2012/10/29	33.00
61667	HK Bank – TCH R Bear CBBC Oct 2012 B	100,000,000	2012/04/27	2012/10/29	28.00
61657	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12G	200,000,000	2012/04/27	2012/08/30	50.00
61660	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12U	200,000,000	2012/04/27	2012/09/27	50.00
61673	UBS AG – HKE X R Bull CBBC Sep 2012 B	100,000,000	2012/04/27	2012/09/24	25.00
61675	UBS AG – HSI R Bull CBBC Nov 2012 P	300,000,000	2012/04/27	2012/11/29	75.00
61677	UBS AG – HSI R Bull CBBC Jan 2013 F	300,000,000	2012/04/27	2013/01/30	75.00
61671	UBS AG – HSI R Bear CBBC Aug 2012 C	300,000,000	2012/04/27	2012/08/30	75.00
61687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 R	200,000,000	2012/04/30	2012/07/30	50.00
61688	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2012 T	200,000,000	2012/04/30	2012/07/30	50.00
61689	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/04/30	2012/08/30	100.00
61684	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Y	400,000,000	2012/04/30	2012/08/30	100.00
61686	Credit Suisse AG – HSI R Bear CBBC Aug 2012 A	400,000,000	2012/04/30	2012/08/30	100.00
61691	Credit Suisse AG – HSI R Bear CBBC Aug 2012 E	400,000,000	2012/04/30	2012/08/30	100.00
61679	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 E	150,000,000	2012/04/30	2012/07/30	38.10
61680	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 F	150,000,000	2012/04/30	2012/07/30	37.95
61681	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2012 G	150,000,000	2012/04/30	2012/07/30	37.95
61682	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 E	150,000,000	2012/04/30	2012/07/30	38.10
61683	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2012 F	150,000,000	2012/04/30	2012/07/30	37.80
61678	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12H	200,000,000	2012/04/30	2012/08/30	50.00
61695	UBS AG – CC Bank R Bull CBBC Sep 2012 A	100,000,000	2012/04/30	2012/09/17	25.00
61690	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/04/30	2012/10/30	75.00
61685	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/04/30	2012/10/30	75.00
61694	UBS AG – HSI R Bear CBBC Jul 2012 J	300,000,000	2012/04/30	2012/07/30	75.00
61692	UBS AG – HSI R Bear CBBC Jul 2012 R	300,000,000	2012/04/30	2012/07/30	75.00
61696	UBS AG – TCH R Bear CBBC Oct 2012 B	100,000,000	2012/04/30	2012/10/15	52.00
61701	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	200,000,000	2012/05/02	2013/03/27	50.00
61703	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Z	400,000,000	2012/05/02	2012/08/30	100.00
61704	Credit Suisse AG – HSI R Bear CBBC Aug 2012 F	400,000,000	2012/05/02	2012/08/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61708	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/05/02	2012/09/27	50.00
61709	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2012 C	200,000,000	2012/05/02	2012/09/27	50.00
61706	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2012 A	200,000,000	2012/05/02	2012/08/30	50.00
61707	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Aug 2012 B	200,000,000	2012/05/02	2012/08/30	50.00
61700	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/05/02	2012/11/29	50.00
61714	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/05/02	2012/11/29	50.00
61697	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12R	200,000,000	2012/05/02	2012/08/30	50.00
61698	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12S	200,000,000	2012/05/02	2012/08/30	50.00
61699	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12T	200,000,000	2012/05/02	2012/08/30	50.00
61705	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12U	200,000,000	2012/05/02	2012/08/30	50.00
61702	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/05/02	2012/11/29	75.00
61713	UBS AG – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/05/02	2012/09/27	75.00
61710	UBS AG – HSI R Bear CBBC Oct 2012 W	300,000,000	2012/05/02	2012/10/30	75.00
61711	UBS AG – HSI R Bear CBBC Oct 2012 Z	300,000,000	2012/05/02	2012/10/30	75.00
61723	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 A	200,000,000	2012/05/03	2012/08/30	50.00
61716	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Q	400,000,000	2012/05/03	2012/08/30	100.00
61715	Credit Suisse AG – HSI R Bull CBBC Aug 2012 V	400,000,000	2012/05/03	2012/08/30	100.00
61717	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/05/03	2012/08/30	100.00
61721	UBS AG – China Tel R Bull CBBC Nov 2012 A	100,000,000	2012/05/03	2012/11/05	25.00
61720	UBS AG – China Tel R Bear CBBC Sep 2012 A	100,000,000	2012/05/03	2012/09/03	25.00
61719	UBS AG – HSI R Bull CBBC Dec 2012 Y	300,000,000	2012/05/03	2012/12/28	75.00
61718	UBS AG – HSI R Bull CBBC Jan 2013 G	300,000,000	2012/05/03	2013/01/30	75.00
61722	UBS AG – HSI R Bear CBBC Sep 2012 U	300,000,000	2012/05/03	2012/09/27	75.00
61736	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	200,000,000	2012/05/04	2013/03/27	50.00
61737	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	200,000,000	2012/05/04	2013/03/27	50.00
61725	Credit Suisse AG – China Life R Bear CBBC Oct 2012 A	60,000,000	2012/05/04	2012/10/31	15.00
61727	Credit Suisse AG – HKEx R Bear CBBC Oct 2012 A	60,000,000	2012/05/04	2012/10/31	15.00
61728	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/05/04	2012/08/30	100.00
61734	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/05/04	2012/08/30	100.00
61729	Credit Suisse AG – HSI R Bear CBBC Aug 2012 G	400,000,000	2012/05/04	2012/08/30	100.00
61730	Credit Suisse AG – HSI R Bear CBBC Aug 2012 H	400,000,000	2012/05/04	2012/08/30	100.00
61726	Credit Suisse AG – TCH R Bear CBBC Oct 2012 B	60,000,000	2012/05/04	2012/10/31	15.00
61738	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12V	200,000,000	2012/05/04	2012/11/29	50.00
61739	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12W	200,000,000	2012/05/04	2012/11/29	50.00
61724	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct12G	200,000,000	2012/05/04	2012/10/30	50.00
61732	UBS AG – HKEx R Bull CBBC Oct 2012 A	100,000,000	2012/05/04	2012/10/08	25.00
61735	UBS AG – HSI R Bull CBBC Nov 2012 R	300,000,000	2012/05/04	2012/11/29	75.00
61731	UBS AG – HSI R Bull CBBC Dec 2012 Z	300,000,000	2012/05/04	2012/12/28	75.00
61733	UBS AG – HSI R Bear CBBC Aug 2012 B	300,000,000	2012/05/04	2012/08/30	75.00
61743	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	200,000,000	2012/05/07	2013/03/27	50.00
61755	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 B	200,000,000	2012/05/07	2012/08/30	50.00
61742	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/05/07	2012/08/30	100.00
61740	Credit Suisse AG – HSI R Bear CBBC Aug 2012 D	400,000,000	2012/05/07	2012/08/30	100.00
61744	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/05/07	2012/11/29	50.00
61748	UBS AG – China Life R Bull CBBC Sep 2012 A	100,000,000	2012/05/07	2012/09/24	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61747	UBS AG – China Life R Bear CBBC Oct 2012 A	100,000,000	2012/05/07	2012/10/08	25.00
61745	UBS AG – HSI R Bull CBBC Nov 2012 S	300,000,000	2012/05/07	2012/11/29	75.00
61741	UBS AG – HSI R Bear CBBC Sep 2012 X	300,000,000	2012/05/07	2012/09/27	75.00
61749	UBS AG – TCH R Bear CBBC Sep 2012 C	100,000,000	2012/05/07	2012/09/24	25.00
61777	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 P	200,000,000	2012/05/08	2013/03/27	50.00
61757	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 C	200,000,000	2012/05/08	2012/08/30	50.00
61758	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 D	200,000,000	2012/05/08	2012/08/30	50.00
61766	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/05/08	2012/08/30	100.00
61768	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Y	400,000,000	2012/05/08	2012/08/30	100.00
61760	Credit Suisse AG – HSI R Bear CBBC Aug 2012 A	400,000,000	2012/05/08	2012/08/30	100.00
61765	Credit Suisse AG – HSI R Bear CBBC Aug 2012 B	400,000,000	2012/05/08	2012/08/30	100.00
61759	Credit Suisse AG – HSI R Bear CBBC Aug 2012 S	400,000,000	2012/05/08	2012/08/30	100.00
61769	Credit Suisse AG – TCH R Bull CBBC Oct 2012 C	60,000,000	2012/05/08	2012/10/31	15.00
61770	Credit Suisse AG – TCH R Bull CBBC Oct 2012 D	60,000,000	2012/05/08	2012/10/31	15.00
61771	Credit Suisse AG – TCH R Bear CBBC Oct 2012 C	60,000,000	2012/05/08	2012/10/31	32.40
61761	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12V	200,000,000	2012/05/08	2012/08/30	50.00
61762	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12W	200,000,000	2012/05/08	2012/08/30	50.00
61774	UBS AG – HKEEx R Bull CBBC Oct 2012 B	100,000,000	2012/05/08	2012/10/15	30.50
61775	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/05/08	2012/10/30	75.00
61776	UBS AG – HSI R Bull CBBC Jan 2013 H	300,000,000	2012/05/08	2013/01/30	75.00
61763	UBS AG – HSI R Bear CBBC Aug 2012 C	300,000,000	2012/05/08	2012/08/30	75.00
61764	UBS AG – HSI R Bear CBBC Oct 2012 Q	300,000,000	2012/05/08	2012/10/30	75.00
61773	UBS AG – Ping An R Bull CBBC Sep 2012 A	100,000,000	2012/05/08	2012/09/17	25.00
61772	UBS AG – TCH R Bull CBBC Sep 2012 D	100,000,000	2012/05/08	2012/09/10	25.00
61797	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	200,000,000	2012/05/09	2013/03/27	50.00
61798	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 I	200,000,000	2012/05/09	2013/03/27	50.00
61796	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Q	200,000,000	2012/05/09	2013/03/27	50.00
61778	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 E	200,000,000	2012/05/09	2012/08/30	50.00
61779	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 F	200,000,000	2012/05/09	2012/08/30	50.00
61780	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 G	200,000,000	2012/05/09	2012/08/30	50.00
61792	Credit Suisse AG – HSI R Bull CBBC Aug 2012 R	400,000,000	2012/05/09	2012/08/30	100.00
61793	Credit Suisse AG – HSI R Bull CBBC Aug 2012 S	400,000,000	2012/05/09	2012/08/30	100.00
61807	Credit Suisse AG – HSI R Bull CBBC Aug 2012 T	400,000,000	2012/05/09	2012/08/30	100.00
61781	Credit Suisse AG – HSI R Bear CBBC Aug 2012 C	400,000,000	2012/05/09	2012/08/30	100.00
61782	Credit Suisse AG – HSI R Bear CBBC Aug 2012 E	400,000,000	2012/05/09	2012/08/30	100.00
61794	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 C	150,000,000	2012/05/09	2012/08/30	39.90
61795	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 D	150,000,000	2012/05/09	2012/08/30	38.10
61799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12I	200,000,000	2012/05/09	2012/08/30	50.00
61800	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12J	200,000,000	2012/05/09	2012/08/30	50.00
61801	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12K	200,000,000	2012/05/09	2012/08/30	50.00
61802	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12L	200,000,000	2012/05/09	2012/08/30	50.00
61803	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12M	200,000,000	2012/05/09	2012/08/30	50.00
61804	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12N	200,000,000	2012/05/09	2012/08/30	50.00
61805	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12O	200,000,000	2012/05/09	2012/08/30	50.00
61806	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12P	200,000,000	2012/05/09	2012/08/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12V	200,000,000	2012/05/09	2012/09/27	50.00
61788	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12W	200,000,000	2012/05/09	2012/09/27	50.00
61789	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12X	200,000,000	2012/05/09	2012/09/27	50.00
61790	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12Y	200,000,000	2012/05/09	2012/09/27	50.00
61791	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12Z	200,000,000	2012/05/09	2012/09/27	50.00
61813	UBS AG – China Mobile R Bull CBBC Oct 2012 A	100,000,000	2012/05/09	2012/10/08	25.00
61814	UBS AG – HSBC R Bull CBBC Sep 2012 A	100,000,000	2012/05/09	2012/09/10	25.00
61808	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/05/09	2012/11/29	75.00
61811	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/05/09	2012/12/28	75.00
61812	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/05/09	2012/12/28	75.00
61785	UBS AG – HSI R Bear CBBC Sep 2012 W	300,000,000	2012/05/09	2012/09/27	75.00
61810	UBS AG – HSI R Bear CBBC Sep 2012 Y	300,000,000	2012/05/09	2012/09/27	75.00
61783	UBS AG – HSI R Bear CBBC Oct 2012 X	300,000,000	2012/05/09	2012/10/30	75.00
61815	Credit Suisse AG – HSI R Bull CBBC Sep 2012 R	400,000,000	2012/05/10	2012/09/27	100.00
61816	Credit Suisse AG – HSI R Bull CBBC Sep 2012 S	400,000,000	2012/05/10	2012/09/27	100.00
61817	Credit Suisse AG – HSI R Bull CBBC Sep 2012 T	400,000,000	2012/05/10	2012/09/27	100.00
61819	Credit Suisse AG – HSI R Bear CBBC Sep 2012 E	400,000,000	2012/05/10	2012/09/27	100.00
61818	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/05/10	2012/10/30	75.00
61821	UBS AG – HSI R Bull CBBC Dec 2012 E	300,000,000	2012/05/10	2012/12/28	75.00
61822	UBS AG – HSI R Bear CBBC Oct 2012 Y	300,000,000	2012/05/10	2012/10/30	75.00
61825	Credit Suisse AG – HSI R Bull CBBC Sep 2012 U	400,000,000	2012/05/11	2012/09/27	100.00
61828	Credit Suisse AG – HSI R Bear CBBC Sep 2012 S	400,000,000	2012/05/11	2012/09/27	100.00
61833	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12E	200,000,000	2012/05/11	2012/09/27	50.00
61834	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12U	200,000,000	2012/05/11	2012/09/27	50.00
61824	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/05/11	2012/11/29	75.00
61823	UBS AG – HSI R Bull CBBC Dec 2012 F	300,000,000	2012/05/11	2012/12/28	75.00
61831	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2012/05/11	2012/08/30	75.00
61829	UBS AG – HSI R Bear CBBC Sep 2012 Z	300,000,000	2012/05/11	2012/09/27	75.00
61840	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 R	200,000,000	2012/05/14	2013/03/27	50.00
61841	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 S	200,000,000	2012/05/14	2013/03/27	50.00
61842	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 T	200,000,000	2012/05/14	2013/03/27	50.00
61846	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 U	200,000,000	2012/05/14	2013/03/27	50.00
61890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 V	200,000,000	2012/05/14	2013/03/27	50.00
61872	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 H	200,000,000	2012/05/14	2012/08/30	50.00
61873	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 I	200,000,000	2012/05/14	2012/08/30	50.00
61860	Credit Suisse AG – China Mobile R Bull CBBC Oct 2012 A	60,000,000	2012/05/14	2012/10/31	15.00
61861	Credit Suisse AG – China Mobile R Bull CBBC Oct 2012 B	60,000,000	2012/05/14	2012/10/31	15.00
61862	Credit Suisse AG – China Mobile R Bull CBBC Oct 2012 C	60,000,000	2012/05/14	2012/10/31	15.00
61863	Credit Suisse AG – China Mobile R Bear CBBC Oct 2012 A	60,000,000	2012/05/14	2012/10/31	15.00
61864	Credit Suisse AG – China Mobile R Bear CBBC Oct 2012 B	60,000,000	2012/05/14	2012/10/31	15.00
61865	Credit Suisse AG – China Mobile R Bear CBBC Oct 2012 C	60,000,000	2012/05/14	2012/10/31	15.00
61847	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/05/14	2012/08/30	100.00
61848	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/05/14	2012/08/30	100.00
61849	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/05/14	2012/08/30	100.00
61868	Credit Suisse AG – HSI R Bear CBBC Aug 2012 F	400,000,000	2012/05/14	2012/08/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61871	Credit Suisse AG – HSI R Bear CBBC Aug 2012 G	400,000,000	2012/05/14	2012/08/30	100.00
61869	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Q	400,000,000	2012/05/14	2012/08/30	100.00
61870	Credit Suisse AG – HSI R Bear CBBC Aug 2012 V	400,000,000	2012/05/14	2012/08/30	100.00
61866	Credit Suisse AG – TCH R Bear CBBC Oct 2012 D	60,000,000	2012/05/14	2012/10/31	15.00
61867	Credit Suisse AG – TCH R Bear CBBC Oct 2012 E	60,000,000	2012/05/14	2012/10/31	15.00
61838	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 E	150,000,000	2012/05/14	2012/08/30	44.25
61839	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 F	150,000,000	2012/05/14	2012/08/30	37.50
61836	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 B	150,000,000	2012/05/14	2012/08/30	42.45
61837	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 C	150,000,000	2012/05/14	2012/08/30	46.05
61879	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12D	200,000,000	2012/05/14	2012/09/27	50.00
61880	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12E	200,000,000	2012/05/14	2012/09/27	50.00
61857	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12D	200,000,000	2012/05/14	2012/11/29	50.00
61856	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12E	200,000,000	2012/05/14	2012/11/29	50.00
61881	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/05/14	2012/11/29	50.00
61882	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/05/14	2012/11/29	50.00
61883	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/05/14	2012/11/29	50.00
61852	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Y	200,000,000	2012/05/14	2012/11/29	50.00
61853	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Z	200,000,000	2012/05/14	2012/11/29	50.00
61878	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12A	200,000,000	2012/05/14	2012/08/30	50.00
61874	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12X	200,000,000	2012/05/14	2012/08/30	50.00
61875	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12Y	200,000,000	2012/05/14	2012/08/30	50.00
61877	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12Z	200,000,000	2012/05/14	2012/08/30	50.00
61892	UBS AG – China Life R Bull CBBC Sep 2012 B	100,000,000	2012/05/14	2012/09/17	27.00
61894	UBS AG – Sinopec Corp R Bear CBBC Oct 2012 A	100,000,000	2012/05/14	2012/10/22	25.00
61887	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/05/14	2012/10/30	75.00
61859	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/05/14	2012/11/29	75.00
61858	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/05/14	2012/11/29	75.00
61889	UBS AG – HSI R Bear CBBC Sep 2012 C	300,000,000	2012/05/14	2012/09/27	75.00
61891	UBS AG – HSI R Bear CBBC Sep 2012 V	300,000,000	2012/05/14	2012/09/27	75.00
61897	UBS AG – Hutchison R Bull CBBC Oct 2012 A	100,000,000	2012/05/14	2012/10/15	25.00
61893	UBS AG – ICBC R Bull CBBC Nov 2012 A	100,000,000	2012/05/14	2012/11/05	25.00
61896	UBS AG – SHK Ppt R Bull CBBC Oct 2012 A	100,000,000	2012/05/14	2012/10/08	25.00
61895	UBS AG – SHK Ppt R Bear CBBC Sep 2012 A	100,000,000	2012/05/14	2012/09/17	25.00
61941	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Mar 2013 D	100,000,000	2012/05/15	2013/03/27	25.00
61939	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 W	200,000,000	2012/05/15	2013/03/27	50.00
61940	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 J	200,000,000	2012/05/15	2012/08/30	50.00
61922	Credit Suisse AG – China Life R Bull CBBC Oct 2012 A	70,000,000	2012/05/15	2012/10/31	17.50
61923	Credit Suisse AG – China Life R Bull CBBC Oct 2012 B	70,000,000	2012/05/15	2012/10/31	24.50
61914	Credit Suisse AG – HKEx R Bull CBBC Oct 2012 A	70,000,000	2012/05/15	2012/10/31	17.50
61915	Credit Suisse AG – HKEx R Bull CBBC Oct 2012 B	70,000,000	2012/05/15	2012/10/31	17.50
61916	Credit Suisse AG – HKEx R Bull CBBC Oct 2012 C	70,000,000	2012/05/15	2012/10/31	17.50
61917	Credit Suisse AG – HKEx R Bull CBBC Oct 2012 D	70,000,000	2012/05/15	2012/10/31	19.60
61918	Credit Suisse AG – HKEx R Bear CBBC Oct 2012 B	70,000,000	2012/05/15	2012/10/31	17.50
61913	Credit Suisse AG – HSI R Bull CBBC Sep 2012 K	400,000,000	2012/05/15	2012/09/27	100.00
61924	Credit Suisse AG – HSI R Bull CBBC Sep 2012 L	400,000,000	2012/05/15	2012/09/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61910	Credit Suisse AG – HSI R Bull CBBC Sep 2012 V	400,000,000	2012/05/15	2012/09/27	100.00
61909	Credit Suisse AG – HSI R Bull CBBC Sep 2012 W	400,000,000	2012/05/15	2012/09/27	100.00
61911	Credit Suisse AG – HSI R Bear CBBC Sep 2012 U	400,000,000	2012/05/15	2012/09/27	100.00
61927	Credit Suisse AG – HSI R Bear CBBC Sep 2012 V	400,000,000	2012/05/15	2012/09/27	100.00
61928	Credit Suisse AG – HSI R Bear CBBC Sep 2012 W	400,000,000	2012/05/15	2012/09/27	100.00
61931	Credit Suisse AG – HSI R Bear CBBC Sep 2012 X	400,000,000	2012/05/15	2012/09/27	100.00
61919	Credit Suisse AG – Hutchison R Bull CBBC Oct 2012 A	70,000,000	2012/05/15	2012/10/31	17.50
61920	Credit Suisse AG – Hutchison R Bull CBBC Oct 2012 B	70,000,000	2012/05/15	2012/10/31	17.50
61906	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 D	150,000,000	2012/05/15	2012/08/30	38.40
61907	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 E	150,000,000	2012/05/15	2012/08/30	39.15
61908	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 F	150,000,000	2012/05/15	2012/08/30	40.05
61904	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12A	200,000,000	2012/05/15	2012/11/29	50.00
61901	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12C	200,000,000	2012/05/15	2012/11/29	50.00
61902	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12P	200,000,000	2012/05/15	2012/11/29	50.00
61903	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12Q	200,000,000	2012/05/15	2012/11/29	50.00
61899	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12B	200,000,000	2012/05/15	2012/08/30	50.00
61900	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep12P	200,000,000	2012/05/15	2012/09/27	50.00
61935	UBS AG – CC Bank R Bull CBBC Nov 2012 A	100,000,000	2012/05/15	2012/11/26	25.00
61938	UBS AG – Cheung Kong R Bull CBBC Oct 2012 A	100,000,000	2012/05/15	2012/10/22	25.00
61936	UBS AG – CNOOC R Bull CBBC Nov 2012 A	100,000,000	2012/05/15	2012/11/19	25.00
61933	UBS AG – HSCEI R Bear CBBC Aug 2012 B	100,000,000	2012/05/15	2012/08/30	25.00
61929	UBS AG – HSI R Bull CBBC Nov 2012 X	300,000,000	2012/05/15	2012/11/29	75.00
61932	UBS AG – HSI R Bear CBBC Aug 2012 B	300,000,000	2012/05/15	2012/08/30	75.00
61942	UBS AG – HSI R Bear CBBC Aug 2012 D	300,000,000	2012/05/15	2012/08/30	75.00
61937	UBS AG – Hutchison R Bull CBBC Oct 2012 B	100,000,000	2012/05/15	2012/10/15	25.00
61934	UBS AG – ICBC R Bull CBBC Nov 2012 B	100,000,000	2012/05/15	2012/11/26	25.00
61949	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 X	200,000,000	2012/05/16	2013/03/27	50.00
61961	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 K	200,000,000	2012/05/16	2012/08/30	50.00
61962	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 L	200,000,000	2012/05/16	2012/08/30	50.00
61946	Credit Suisse AG – HSI R Bull CBBC Aug 2012 L	400,000,000	2012/05/16	2012/08/30	100.00
61947	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Q	400,000,000	2012/05/16	2012/08/30	100.00
61948	Credit Suisse AG – HSI R Bear CBBC Aug 2012 H	400,000,000	2012/05/16	2012/08/30	100.00
61958	Credit Suisse AG – HSI R Bear CBBC Aug 2012 I	400,000,000	2012/05/16	2012/08/30	100.00
61959	Credit Suisse AG – HSI R Bear CBBC Nov 2012 P	400,000,000	2012/05/16	2012/11/29	100.00
61960	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Q	400,000,000	2012/05/16	2012/11/29	100.00
61957	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 G	150,000,000	2012/05/16	2012/08/30	45.15
61956	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 G	150,000,000	2012/05/16	2012/08/30	46.80
61944	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/05/16	2012/11/29	50.00
61945	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12J	200,000,000	2012/05/16	2012/11/29	50.00
61943	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12C	200,000,000	2012/05/16	2012/08/30	50.00
61952	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12D	200,000,000	2012/05/16	2012/08/30	50.00
61955	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12E	200,000,000	2012/05/16	2012/08/30	50.00
61971	UBS AG – China Mobile R Bear CBBC Dec 2012 A	100,000,000	2012/05/16	2012/12/03	25.00
61972	UBS AG – CC Bank R Bull CBBC Dec 2012 A	100,000,000	2012/05/16	2012/12/10	25.00
61975	UBS AG – Sinopec Corp R Bull CBBC Oct 2012 A	100,000,000	2012/05/16	2012/10/22	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61974	UBS AG – Sinopec Corp R Bull CBBC Nov 2012 A	100,000,000	2012/05/16	2012/11/26	25.00
61973	UBS AG – HKEX R Bull CBBC Dec 2012 A	100,000,000	2012/05/16	2012/12/03	35.00
61977	UBS AG – HSBC R Bull CBBC Nov 2012 A	100,000,000	2012/05/16	2012/11/26	25.00
61976	UBS AG – HSBC R Bear CBBC Nov 2012 A	100,000,000	2012/05/16	2012/11/05	25.00
61968	UBS AG – HSCEI R Bull CBBC Nov 2012 A	100,000,000	2012/05/16	2012/11/29	25.00
61950	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/05/16	2012/10/30	75.00
61951	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/05/16	2012/12/28	75.00
61963	UBS AG – HSI R Bear CBBC Aug 2012 E	300,000,000	2012/05/16	2012/08/30	75.00
61965	UBS AG – HSI R Bear CBBC Sep 2012 U	300,000,000	2012/05/16	2012/09/27	75.00
61970	UBS AG – ICBC R Bull CBBC Dec 2012 A	100,000,000	2012/05/16	2012/12/10	25.00
61983	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 C	200,000,000	2012/05/17	2013/03/27	50.00
61982	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Y	200,000,000	2012/05/17	2013/03/27	50.00
61985	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 M	200,000,000	2012/05/17	2012/08/30	50.00
62002	Credit Suisse AG – HSI R Bull CBBC Sep 2012 D	400,000,000	2012/05/17	2012/09/27	100.00
61997	Credit Suisse AG – HSI R Bull CBBC Sep 2012 P	400,000,000	2012/05/17	2012/09/27	100.00
61998	Credit Suisse AG – HSI R Bull CBBC Sep 2012 R	400,000,000	2012/05/17	2012/09/27	100.00
61999	Credit Suisse AG – HSI R Bull CBBC Sep 2012 S	400,000,000	2012/05/17	2012/09/27	100.00
62000	Credit Suisse AG – HSI R Bull CBBC Sep 2012 T	400,000,000	2012/05/17	2012/09/27	100.00
62004	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Y	400,000,000	2012/05/17	2012/09/27	100.00
62003	Credit Suisse AG – HSI R Bear CBBC Sep 2012 Z	400,000,000	2012/05/17	2012/09/27	100.00
62014	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 I	158,000,000	2012/05/17	2012/08/30	39.50
62018	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 J	158,000,000	2012/05/17	2012/08/30	39.50
62019	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 K	158,000,000	2012/05/17	2012/08/30	39.50
62020	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 L	158,000,000	2012/05/17	2012/08/30	39.50
62021	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 B	158,000,000	2012/05/17	2012/09/27	39.50
61978	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12Q	200,000,000	2012/05/17	2012/08/30	50.00
61979	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12R	200,000,000	2012/05/17	2012/08/30	50.00
61981	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12S	200,000,000	2012/05/17	2012/08/30	50.00
61989	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12T	200,000,000	2012/05/17	2012/08/30	50.00
61990	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12R	200,000,000	2012/05/17	2012/11/29	50.00
61991	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12S	200,000,000	2012/05/17	2012/11/29	50.00
61992	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/05/17	2012/11/29	50.00
61993	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/05/17	2012/11/29	50.00
61986	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12F	200,000,000	2012/05/17	2012/08/30	50.00
61987	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12L	200,000,000	2012/05/17	2012/08/30	50.00
61984	UBS AG – HSI R Bull CBBC Nov 2012 Y	300,000,000	2012/05/17	2012/11/29	75.00
62013	UBS AG – HSI R Bear CBBC Aug 2012 F	300,000,000	2012/05/17	2012/08/30	75.00
62008	UBS AG – HSI R Bear CBBC Sep 2012 A	300,000,000	2012/05/17	2012/09/27	75.00
62006	UBS AG – HSI R Bear CBBC Oct 2012 V	300,000,000	2012/05/17	2012/10/30	75.00
62043	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 G	200,000,000	2012/05/18	2012/12/28	50.00
62042	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Dec 2012 D	200,000,000	2012/05/18	2012/12/28	50.00
62044	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 F	200,000,000	2012/05/18	2013/03/27	50.00
62050	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 N	200,000,000	2012/05/18	2012/08/30	50.00
62051	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 O	200,000,000	2012/05/18	2012/08/30	50.00
62040	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2012/05/18	2012/08/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62039	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Z	400,000,000	2012/05/18	2012/08/30	100.00
62071	Credit Suisse AG – HSI R Bull CBBC Oct 2012 L	400,000,000	2012/05/18	2012/10/30	100.00
62072	Credit Suisse AG – HSI R Bull CBBC Oct 2012 M	400,000,000	2012/05/18	2012/10/30	100.00
62073	Credit Suisse AG – HSI R Bull CBBC Oct 2012 N	400,000,000	2012/05/18	2012/10/30	100.00
62074	Credit Suisse AG – HSI R Bull CBBC Oct 2012 O	400,000,000	2012/05/18	2012/10/30	100.00
62077	Credit Suisse AG – HSI R Bull CBBC Oct 2012 P	400,000,000	2012/05/18	2012/10/30	100.00
62078	Credit Suisse AG – HSI R Bull CBBC Oct 2012 Q	400,000,000	2012/05/18	2012/10/30	100.00
62079	Credit Suisse AG – HSI R Bull CBBC Oct 2012 R	400,000,000	2012/05/18	2012/10/30	100.00
62080	Credit Suisse AG – HSI R Bull CBBC Oct 2012 S	400,000,000	2012/05/18	2012/10/30	100.00
62081	Credit Suisse AG – HSI R Bull CBBC Oct 2012 T	400,000,000	2012/05/18	2012/10/30	100.00
62041	Credit Suisse AG – HSI R Bear CBBC Aug 2012 J	400,000,000	2012/05/18	2012/08/30	100.00
62045	Credit Suisse AG – HSI R Bear CBBC Aug 2012 K	400,000,000	2012/05/18	2012/08/30	100.00
62047	Credit Suisse AG – HSI R Bear CBBC Aug 2012 L	400,000,000	2012/05/18	2012/08/30	100.00
62070	Credit Suisse AG – HSI R Bear CBBC Oct 2012 S	400,000,000	2012/05/18	2012/10/30	100.00
62035	Daiwa Capital Mkt – HSCEI R Bear CBBC Dec 2012 A	80,000,000	2012/05/18	2012/12/28	20.00
62038	Daiwa Capital Mkt – HSCEI R Bear CBBC Dec 2012 B	80,000,000	2012/05/18	2012/12/28	20.00
62030	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 A	158,000,000	2012/05/18	2012/09/27	39.50
62032	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 B	158,000,000	2012/05/18	2012/09/27	39.50
62033	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 C	158,000,000	2012/05/18	2012/09/27	39.50
62066	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 D	158,000,000	2012/05/18	2012/09/27	39.50
62026	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 M	158,000,000	2012/05/18	2012/08/30	39.50
62027	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 C	158,000,000	2012/05/18	2012/09/27	39.50
62028	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 D	158,000,000	2012/05/18	2012/09/27	39.50
62029	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 E	158,000,000	2012/05/18	2012/09/27	39.50
62069	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 F	158,000,000	2012/05/18	2012/09/27	39.50
62034	Daiwa Capital Mkt – HSI R Bear CBBC Oct 2012 A	158,000,000	2012/05/18	2012/10/30	39.50
62024	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 H	120,000,000	2012/05/18	2012/08/30	36.60
62025	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 I	120,000,000	2012/05/18	2012/08/30	36.12
62022	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 A	40,000,000	2012/05/18	2012/12/28	10.00
62023	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 B	40,000,000	2012/05/18	2012/12/28	10.00
62054	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12N	200,000,000	2012/05/18	2012/08/30	50.00
62084	UBS AG – China Mobile R Bull CBBC Dec 2012 A	100,000,000	2012/05/18	2012/12/17	25.00
62086	UBS AG – CC Bank R Bull CBBC Dec 2012 B	100,000,000	2012/05/18	2012/12/17	25.00
62082	UBS AG – China Life R Bull CBBC Dec 2012 A	100,000,000	2012/05/18	2012/12/17	39.50
62091	UBS AG – CNOOC R Bull CBBC Dec 2012 A	100,000,000	2012/05/18	2012/12/10	28.50
62095	UBS AG – Sinopec Corp R Bull CBBC Dec 2012 A	100,000,000	2012/05/18	2012/12/03	25.00
62096	UBS AG – HSBC R Bull CBBC Dec 2012 A	100,000,000	2012/05/18	2012/12/10	25.00
62062	UBS AG – HSI R Bull CBBC Oct 2012 H	300,000,000	2012/05/18	2012/10/30	75.00
62049	UBS AG – HSI R Bull CBBC Oct 2012 M	300,000,000	2012/05/18	2012/10/30	75.00
62048	UBS AG – HSI R Bull CBBC Nov 2012 D	300,000,000	2012/05/18	2012/11/29	75.00
62061	UBS AG – HSI R Bull CBBC Nov 2012 I	300,000,000	2012/05/18	2012/11/29	75.00
62064	UBS AG – HSI R Bull CBBC Nov 2012 J	300,000,000	2012/05/18	2012/11/29	75.00
62058	UBS AG – HSI R Bull CBBC Nov 2012 Z	300,000,000	2012/05/18	2012/11/29	75.00
62059	UBS AG – HSI R Bull CBBC Dec 2012 K	300,000,000	2012/05/18	2012/12/28	75.00
62060	UBS AG – HSI R Bull CBBC Dec 2012 L	300,000,000	2012/05/18	2012/12/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62063	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/05/18	2012/12/28	75.00
62065	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/05/18	2012/12/28	75.00
62057	UBS AG – HSI R Bear CBBC Aug 2012 G	300,000,000	2012/05/18	2012/08/30	75.00
62055	UBS AG – HSI R Bear CBBC Oct 2012 W	300,000,000	2012/05/18	2012/10/30	75.00
62101	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/05/21	2013/03/27	50.00
62138	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/05/21	2013/03/27	50.00
62150	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 P	200,000,000	2012/05/21	2012/08/30	50.00
62151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 Q	200,000,000	2012/05/21	2012/08/30	50.00
62115	Credit Suisse AG – A50 China R Bull CBBC Oct 2012 A	60,000,000	2012/05/21	2012/10/31	15.00
62117	Credit Suisse AG – A50 China R Bear CBBC Oct 2012 A	60,000,000	2012/05/21	2012/10/31	15.00
62111	Credit Suisse AG – HSI R Bull CBBC Aug 2012 K	400,000,000	2012/05/21	2012/08/30	100.00
62135	Credit Suisse AG – HSI R Bull CBBC Aug 2012 V	400,000,000	2012/05/21	2012/08/30	100.00
62105	Credit Suisse AG – HSI R Bear CBBC Aug 2012 M	400,000,000	2012/05/21	2012/08/30	100.00
62108	Credit Suisse AG – HSI R Bear CBBC Aug 2012 N	400,000,000	2012/05/21	2012/08/30	100.00
62110	Credit Suisse AG – HSI R Bear CBBC Aug 2012 O	400,000,000	2012/05/21	2012/08/30	100.00
62112	Credit Suisse AG – HSI R Bear CBBC Aug 2012 P	400,000,000	2012/05/21	2012/08/30	100.00
62147	Credit Suisse AG – HSI R Bear CBBC Aug 2012 W	400,000,000	2012/05/21	2012/08/30	100.00
62148	Credit Suisse AG – HSI R Bear CBBC Aug 2012 X	400,000,000	2012/05/21	2012/08/30	100.00
62146	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 E	158,000,000	2012/05/21	2012/09/27	39.50
62118	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 A	158,000,000	2012/05/21	2012/10/30	39.50
62120	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 B	158,000,000	2012/05/21	2012/10/30	39.50
62119	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 A	158,000,000	2012/05/21	2012/11/29	39.50
62123	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 B	158,000,000	2012/05/21	2012/11/29	39.50
62152	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 N	158,000,000	2012/05/21	2012/08/30	39.50
62126	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 J	150,000,000	2012/05/21	2012/08/30	38.10
62125	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 H	150,000,000	2012/05/21	2012/08/30	39.30
62097	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12U	200,000,000	2012/05/21	2012/08/30	50.00
62102	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12V	200,000,000	2012/05/21	2012/08/30	50.00
62100	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/05/21	2012/11/29	50.00
62098	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12W	200,000,000	2012/05/21	2012/11/29	50.00
62099	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/05/21	2012/11/29	50.00
62128	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12R	200,000,000	2012/05/21	2012/09/27	50.00
62129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Sep 12S	200,000,000	2012/05/21	2012/09/27	50.00
62131	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12H	200,000,000	2012/05/21	2012/10/30	50.00
62134	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12I	200,000,000	2012/05/21	2012/10/30	50.00
62139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12J	200,000,000	2012/05/21	2012/10/30	50.00
62140	UBS AG – HKEx R Bear CBBC Oct 2012 A	100,000,000	2012/05/21	2012/10/29	25.00
62104	UBS AG – HSI R Bull CBBC Oct 2012 W	300,000,000	2012/05/21	2012/10/30	75.00
62142	UBS AG – HSI R Bull CBBC Nov 2012 L	300,000,000	2012/05/21	2012/11/29	75.00
62154	UBS AG – HSI R Bull CBBC Nov 2012 N	300,000,000	2012/05/21	2012/11/29	75.00
62145	UBS AG – HSI R Bull CBBC Dec 2012 H	300,000,000	2012/05/21	2012/12/28	75.00
62149	UBS AG – HSI R Bear CBBC Aug 2012 I	300,000,000	2012/05/21	2012/08/30	75.00
62103	UBS AG – HSI R Bear CBBC Sep 2012 B	300,000,000	2012/05/21	2012/09/27	75.00
62143	UBS AG – Hutchison R Bear CBBC Dec 2012 A	100,000,000	2012/05/21	2012/12/03	25.00
62174	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2012 H	200,000,000	2012/05/22	2012/12/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62173	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/05/22	2013/03/27	50.00
62181	Credit Suisse AG – HSI R Bull CBBC Sep 2012 B	400,000,000	2012/05/22	2012/09/27	100.00
62184	Credit Suisse AG – HSI R Bull CBBC Sep 2012 U	400,000,000	2012/05/22	2012/09/27	100.00
62182	Credit Suisse AG – HSI R Bear CBBC Sep 2012 A	400,000,000	2012/05/22	2012/09/27	100.00
62183	Credit Suisse AG – HSI R Bear CBBC Sep 2012 B	400,000,000	2012/05/22	2012/09/27	100.00
62172	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 C	40,000,000	2012/05/22	2012/12/28	10.00
62166	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12D	200,000,000	2012/05/22	2012/11/29	50.00
62167	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12E	200,000,000	2012/05/22	2012/11/29	53.00
62171	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12G	200,000,000	2012/05/22	2012/11/29	55.00
62180	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/05/22	2012/11/29	50.00
62165	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/05/22	2012/11/29	50.00
62159	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12M	200,000,000	2012/05/22	2012/08/30	50.00
62155	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12P	200,000,000	2012/05/22	2012/08/30	50.00
62157	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12Q	200,000,000	2012/05/22	2012/08/30	50.00
62161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12K	200,000,000	2012/05/22	2012/10/30	50.00
62162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12L	200,000,000	2012/05/22	2012/10/30	50.00
62164	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12M	200,000,000	2012/05/22	2012/10/30	50.00
62179	UBS AG – HSI R Bull CBBC Oct 2012 R	300,000,000	2012/05/22	2012/10/30	75.00
62185	UBS AG – HSI R Bull CBBC Nov 2012 O	300,000,000	2012/05/22	2012/11/29	75.00
62177	UBS AG – HSI R Bear CBBC Sep 2012 D	300,000,000	2012/05/22	2012/09/27	75.00
62178	UBS AG – HSI R Bear CBBC Oct 2012 Z	300,000,000	2012/05/22	2012/10/30	75.00
62189	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 D	200,000,000	2012/05/23	2013/03/27	50.00
62190	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	200,000,000	2012/05/23	2013/03/27	50.00
62191	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	200,000,000	2012/05/23	2013/03/27	50.00
62239	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	200,000,000	2012/05/23	2013/03/27	50.00
62245	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	200,000,000	2012/05/23	2013/03/27	50.00
62221	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 R	200,000,000	2012/05/23	2012/08/30	50.00
62222	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 S	200,000,000	2012/05/23	2012/08/30	50.00
62225	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 T	200,000,000	2012/05/23	2012/08/30	50.00
62226	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 U	200,000,000	2012/05/23	2012/08/30	50.00
62255	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 V	200,000,000	2012/05/23	2012/08/30	50.00
62196	Credit Suisse AG – HSI R Bull CBBC Aug 2012 R	400,000,000	2012/05/23	2012/08/30	100.00
62197	Credit Suisse AG – HSI R Bull CBBC Aug 2012 S	400,000,000	2012/05/23	2012/08/30	100.00
62198	Credit Suisse AG – HSI R Bull CBBC Aug 2012 T	400,000,000	2012/05/23	2012/08/30	100.00
62205	Credit Suisse AG – HSI R Bull CBBC Nov 2012 P	400,000,000	2012/05/23	2012/11/29	100.00
62206	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Q	400,000,000	2012/05/23	2012/11/29	100.00
62207	Credit Suisse AG – HSI R Bull CBBC Nov 2012 R	400,000,000	2012/05/23	2012/11/29	100.00
62208	Credit Suisse AG – HSI R Bull CBBC Nov 2012 S	400,000,000	2012/05/23	2012/11/29	102.00
62209	Credit Suisse AG – HSI R Bull CBBC Nov 2012 T	400,000,000	2012/05/23	2012/11/29	106.00
62215	Credit Suisse AG – HSI R Bull CBBC Dec 2012 I	400,000,000	2012/05/23	2012/12/28	100.00
62216	Credit Suisse AG – HSI R Bull CBBC Dec 2012 J	400,000,000	2012/05/23	2012/12/28	100.00
62217	Credit Suisse AG – HSI R Bull CBBC Dec 2012 K	400,000,000	2012/05/23	2012/12/28	100.00
62218	Credit Suisse AG – HSI R Bull CBBC Dec 2012 L	400,000,000	2012/05/23	2012/12/28	100.00
62219	Credit Suisse AG – HSI R Bull CBBC Dec 2012 M	400,000,000	2012/05/23	2012/12/28	100.00
62220	Credit Suisse AG – HSI R Bull CBBC Dec 2012 N	400,000,000	2012/05/23	2012/12/28	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62210	Credit Suisse AG – HSI R Bear CBBC Sep 2012 C	400,000,000	2012/05/23	2012/09/27	100.00
62211	Credit Suisse AG – HSI R Bear CBBC Sep 2012 K	400,000,000	2012/05/23	2012/09/27	100.00
62212	Credit Suisse AG – HSI R Bear CBBC Sep 2012 L	400,000,000	2012/05/23	2012/09/27	100.00
62213	Credit Suisse AG – HSI R Bear CBBC Sep 2012 M	400,000,000	2012/05/23	2012/09/27	100.00
62214	Credit Suisse AG – HSI R Bear CBBC Sep 2012 P	400,000,000	2012/05/23	2012/09/27	100.00
62246	Credit Suisse AG – HSI R Bear CBBC Sep 2012 R	400,000,000	2012/05/23	2012/09/27	100.00
62252	Daiwa Capital Mkt – HSCEI R Bull CBBC Dec 2012 A	80,000,000	2012/05/23	2012/12/28	20.00
62253	Daiwa Capital Mkt – HSCEI R Bull CBBC Dec 2012 B	80,000,000	2012/05/23	2012/12/28	20.00
62254	Daiwa Capital Mkt – HSCEI R Bull CBBC Mar 2013 A	80,000,000	2012/05/23	2013/03/27	20.00
62249	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 F	158,000,000	2012/05/23	2012/09/27	39.50
62250	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 C	158,000,000	2012/05/23	2012/10/30	39.50
62251	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 D	158,000,000	2012/05/23	2012/10/30	39.50
62247	Daiwa Capital Mkt – HSI R Bear CBBC Aug 2012 O	158,000,000	2012/05/23	2012/08/30	39.50
62248	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 G	158,000,000	2012/05/23	2012/09/27	39.50
62227	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 K	150,000,000	2012/05/23	2012/08/30	42.90
62228	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2012 L	150,000,000	2012/05/23	2012/08/30	43.20
62229	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 I	150,000,000	2012/05/23	2012/08/30	37.80
62230	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 J	150,000,000	2012/05/23	2012/08/30	40.35
62231	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 K	150,000,000	2012/05/23	2012/08/30	38.10
62200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug 12W	200,000,000	2012/05/23	2012/08/30	50.00
62187	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12H	200,000,000	2012/05/23	2012/10/30	50.00
62238	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12F	200,000,000	2012/05/23	2012/11/29	61.00
62188	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/05/23	2012/11/29	50.00
62201	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/05/23	2012/11/29	50.00
62202	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/05/23	2012/11/29	50.00
62236	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Y	200,000,000	2012/05/23	2012/11/29	67.00
62237	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Z	200,000,000	2012/05/23	2012/11/29	64.00
62233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12H	200,000,000	2012/05/23	2012/08/30	50.00
62235	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12I	200,000,000	2012/05/23	2012/08/30	50.00
62232	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12O	200,000,000	2012/05/23	2012/08/30	50.00
62186	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12N	200,000,000	2012/05/23	2012/10/30	50.00
62256	UBS AG – HKEx R Bear CBBC Nov 2012 A	100,000,000	2012/05/23	2012/11/12	25.00
62240	UBS AG – HSI R Bull CBBC Oct 2012 Z	300,000,000	2012/05/23	2012/10/30	75.00
62194	UBS AG – HSI R Bull CBBC Nov 2012 P	300,000,000	2012/05/23	2012/11/29	75.00
62195	UBS AG – HSI R Bull CBBC Nov 2012 Q	300,000,000	2012/05/23	2012/11/29	75.00
62241	UBS AG – HSI R Bull CBBC Nov 2012 R	300,000,000	2012/05/23	2012/11/29	75.00
62242	UBS AG – HSI R Bull CBBC Nov 2012 S	300,000,000	2012/05/23	2012/11/29	75.00
62243	UBS AG – HSI R Bull CBBC Jan 2013 I	300,000,000	2012/05/23	2013/01/30	78.00
62244	UBS AG – HSI R Bull CBBC Jan 2013 J	300,000,000	2012/05/23	2013/01/30	75.00
62260	UBS AG – HSI R Bull CBBC Jan 2013 K	300,000,000	2012/05/23	2013/01/30	87.00
62261	UBS AG – HSI R Bull CBBC Feb 2013 B	300,000,000	2012/05/23	2013/02/27	75.00
62264	UBS AG – HSI R Bear CBBC Aug 2012 J	300,000,000	2012/05/23	2012/08/30	75.00
62265	UBS AG – HSI R Bear CBBC Aug 2012 L	300,000,000	2012/05/23	2012/08/30	75.00
62263	UBS AG – HSI R Bear CBBC Oct 2012 A	300,000,000	2012/05/23	2012/10/30	75.00
62258	UBS AG – ICBC R Bull CBBC Nov 2012 C	100,000,000	2012/05/23	2012/11/19	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62286	Credit Suisse AG – China Life R Bear CBBC Nov 2012 A	60,000,000	2012/05/24	2012/11/30	15.00
62282	Credit Suisse AG – HKEx R Bull CBBC Nov 2012 A	60,000,000	2012/05/24	2012/11/30	15.00
62283	Credit Suisse AG – HKEx R Bear CBBC Nov 2012 A	60,000,000	2012/05/24	2012/11/30	15.00
62289	Credit Suisse AG – HSI R Bull CBBC Oct 2012 U	400,000,000	2012/05/24	2012/10/30	100.00
62274	Credit Suisse AG – HSI R Bear CBBC Oct 2012 T	400,000,000	2012/05/24	2012/10/30	100.00
62275	Credit Suisse AG – HSI R Bear CBBC Oct 2012 U	400,000,000	2012/05/24	2012/10/30	100.00
62276	Credit Suisse AG – HSI R Bear CBBC Oct 2012 V	400,000,000	2012/05/24	2012/10/30	100.00
62292	Credit Suisse AG – HSI R Bear CBBC Oct 2012 W	400,000,000	2012/05/24	2012/10/30	100.00
62285	Credit Suisse AG – Hutchison R Bear CBBC Nov 2012 A	60,000,000	2012/05/24	2012/11/30	15.00
62280	Credit Suisse AG – TCH R Bull CBBC Nov 2012 A	60,000,000	2012/05/24	2012/11/30	15.00
62272	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 D	40,000,000	2012/05/24	2012/12/28	10.00
62273	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 E	40,000,000	2012/05/24	2012/12/28	10.00
62267	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct12 I	200,000,000	2012/05/24	2012/10/30	50.00
62268	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct12 J	200,000,000	2012/05/24	2012/10/30	50.00
62269	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct12 K	200,000,000	2012/05/24	2012/10/30	50.00
62270	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct12 L	200,000,000	2012/05/24	2012/10/30	50.00
62271	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12 A	200,000,000	2012/05/24	2012/11/29	50.00
62290	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov12 B	200,000,000	2012/05/24	2012/11/29	50.00
62266	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug12 R	200,000,000	2012/05/24	2012/08/30	50.00
62295	UBS AG – CC Bank R Bull CBBC Dec 2012 C	100,000,000	2012/05/24	2012/12/03	25.00
62293	UBS AG – HSCEI R Bear CBBC Sep 2012 A	100,000,000	2012/05/24	2012/09/27	25.00
62291	UBS AG – HSI R Bull CBBC Nov 2012 M	300,000,000	2012/05/24	2012/11/29	75.00
62277	UBS AG – HSI R Bear CBBC Sep 2012 E	300,000,000	2012/05/24	2012/09/27	75.00
62279	UBS AG – HSI R Bear CBBC Sep 2012 F	300,000,000	2012/05/24	2012/09/27	75.00
62294	UBS AG – HSI R Bear CBBC Oct 2012 D	300,000,000	2012/05/24	2012/10/30	75.00
62336	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 A	200,000,000	2012/05/25	2013/03/27	50.00
62337	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 B	200,000,000	2012/05/25	2013/03/27	50.00
62301	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 E	200,000,000	2012/05/25	2013/03/27	50.00
62303	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	200,000,000	2012/05/25	2013/03/27	50.00
62332	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 I	200,000,000	2012/05/25	2013/03/27	50.00
62302	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 P	200,000,000	2012/05/25	2013/03/27	50.00
62334	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Q	200,000,000	2012/05/25	2013/03/27	50.00
62370	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 R	200,000,000	2012/05/25	2013/03/27	50.00
62335	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Z	200,000,000	2012/05/25	2013/03/27	50.00
62365	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 W	200,000,000	2012/05/25	2012/08/30	50.00
62368	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 X	200,000,000	2012/05/25	2012/08/30	50.00
62369	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2012 Y	200,000,000	2012/05/25	2012/08/30	50.00
62317	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2012/05/25	2012/08/30	100.00
62318	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2012/05/25	2012/08/30	100.00
62316	Credit Suisse AG – HSI R Bull CBBC Aug 2012 H	400,000,000	2012/05/25	2012/08/30	100.00
62319	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2012/05/25	2012/08/30	100.00
62342	Credit Suisse AG – HSI R Bull CBBC Dec 2012 O	400,000,000	2012/05/25	2012/12/28	100.00
62343	Credit Suisse AG – HSI R Bull CBBC Dec 2012 P	400,000,000	2012/05/25	2012/12/28	100.00
62344	Credit Suisse AG – HSI R Bull CBBC Dec 2012 Q	400,000,000	2012/05/25	2012/12/28	100.00
62345	Credit Suisse AG – HSI R Bull CBBC Dec 2012 R	400,000,000	2012/05/25	2012/12/28	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62346	Credit Suisse AG – HSI R Bull CBBC Dec 2012 S	400,000,000	2012/05/25	2012/12/28	102.00
62347	Credit Suisse AG – HSI R Bull CBBC Dec 2012 T	400,000,000	2012/05/25	2012/12/28	106.00
62348	Credit Suisse AG – HSI R Bull CBBC Dec 2012 U	400,000,000	2012/05/25	2012/12/28	114.00
62349	Credit Suisse AG – HSI R Bull CBBC Dec 2012 V	400,000,000	2012/05/25	2012/12/28	122.00
62353	Credit Suisse AG – HSI R Bull CBBC Dec 2012 W	400,000,000	2012/05/25	2012/12/28	118.00
62372	Credit Suisse AG – HSI R Bear CBBC Oct 2012 A	400,000,000	2012/05/25	2012/10/30	100.00
62371	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/05/25	2012/10/30	100.00
62350	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/05/25	2012/10/30	100.00
62351	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Y	400,000,000	2012/05/25	2012/10/30	100.00
62352	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Z	400,000,000	2012/05/25	2012/10/30	100.00
62373	Credit Suisse AG – HSI R Bear CBBC Dec 2012 G	400,000,000	2012/05/25	2012/12/28	100.00
62374	Credit Suisse AG – HSI R Bear CBBC Dec 2012 H	400,000,000	2012/05/25	2012/12/28	100.00
62341	Daiwa Capital Mkt – HSI R Bull CBBC Sep 2012 G	158,000,000	2012/05/25	2012/09/27	39.50
62298	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 A	200,000,000	2012/05/25	2012/09/27	52.00
62300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 B	200,000,000	2012/05/25	2012/09/27	52.40
62296	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 L	200,000,000	2012/05/25	2012/08/30	50.80
62297	Goldman Sachs SP (Asia) – HSI R Bear CBBC Aug 2012 M	200,000,000	2012/05/25	2012/08/30	50.20
62307	HK Bank – HSI R Bear CBBC Dec 2012 N	250,000,000	2012/05/25	2012/12/28	62.50
62308	HK Bank – HSI R Bear CBBC Dec 2012 O	250,000,000	2012/05/25	2012/12/28	62.50
62309	HK Bank – HSI R Bear CBBC Dec 2012 P	250,000,000	2012/05/25	2012/12/28	62.50
62310	HK Bank – HSI R Bear CBBC Dec 2012 Q	300,000,000	2012/05/25	2012/12/28	75.00
62311	HK Bank – HSI R Bear CBBC Jan 2013 A	250,000,000	2012/05/25	2013/01/30	62.50
62312	HK Bank – HSI R Bear CBBC Jan 2013 B	250,000,000	2012/05/25	2013/01/30	62.50
62314	HK Bank – HSI R Bear CBBC Jan 2013 C	250,000,000	2012/05/25	2013/01/30	62.50
62315	HK Bank – HSI R Bear CBBC Jan 2013 D	250,000,000	2012/05/25	2013/01/30	62.50
62321	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12F	200,000,000	2012/05/25	2012/09/27	50.00
62322	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12G	200,000,000	2012/05/25	2012/09/27	50.00
62305	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12M	200,000,000	2012/05/25	2012/10/30	50.00
62330	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12C	200,000,000	2012/05/25	2012/11/29	70.00
62306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12J	200,000,000	2012/05/25	2012/11/29	50.00
62325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12L	200,000,000	2012/05/25	2012/11/29	50.00
62326	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12M	200,000,000	2012/05/25	2012/11/29	50.00
62329	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12P	200,000,000	2012/05/25	2012/11/29	73.00
62328	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Q	200,000,000	2012/05/25	2012/11/29	50.00
62331	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12R	200,000,000	2012/05/25	2012/11/29	64.00
62359	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12A	200,000,000	2012/05/25	2012/12/28	90.00
62360	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12B	200,000,000	2012/05/25	2012/12/28	84.00
62361	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12C	200,000,000	2012/05/25	2012/12/28	78.00
62362	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12D	200,000,000	2012/05/25	2012/12/28	71.00
62364	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12E	200,000,000	2012/05/25	2012/12/28	65.00
62320	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12S	200,000,000	2012/05/25	2012/08/30	50.00
62354	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12T	200,000,000	2012/05/25	2012/08/30	50.00
62355	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug 12U	200,000,000	2012/05/25	2012/08/30	50.00
62304	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12O	200,000,000	2012/05/25	2012/10/30	50.00
62356	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/05/25	2012/10/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62357	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/05/25	2012/10/30	50.00
62384	UBS AG – China Mobile R Bull CBBC Nov 2012 A	100,000,000	2012/05/25	2012/11/26	25.00
62390	UBS AG – China Life R Bull CBBC Dec 2012 B	100,000,000	2012/05/25	2012/12/03	34.00
62389	UBS AG – China Life R Bear CBBC Nov 2012 A	100,000,000	2012/05/25	2012/11/26	26.50
62386	UBS AG – Sinopec Corp R Bull CBBC Dec 2012 B	100,000,000	2012/05/25	2012/12/17	25.00
62387	UBS AG – A50 China R Bull CBBC Nov 2012 A	100,000,000	2012/05/25	2012/11/12	25.00
62338	UBS AG – HSI R Bull CBBC Oct 2012 S	300,000,000	2012/05/25	2012/10/30	75.00
62339	UBS AG – HSI R Bull CBBC Nov 2012 F	300,000,000	2012/05/25	2012/11/29	75.00
62380	UBS AG – HSI R Bull CBBC Dec 2012 Q	300,000,000	2012/05/25	2012/12/28	84.00
62381	UBS AG – HSI R Bull CBBC Dec 2012 R	300,000,000	2012/05/25	2012/12/28	75.00
62340	UBS AG – HSI R Bull CBBC Jan 2013 L	300,000,000	2012/05/25	2013/01/30	75.00
62378	UBS AG – HSI R Bull CBBC Jan 2013 M	300,000,000	2012/05/25	2013/01/30	105.00
62379	UBS AG – HSI R Bull CBBC Jan 2013 N	300,000,000	2012/05/25	2013/01/30	96.00
62376	UBS AG – HSI R Bear CBBC Aug 2012 M	300,000,000	2012/05/25	2012/08/30	75.00
62377	UBS AG – HSI R Bear CBBC Sep 2012 I	300,000,000	2012/05/25	2012/09/27	75.00
62375	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/05/25	2012/10/30	75.00
62407	Credit Suisse AG – HSI R Bull CBBC Nov 2012 U	400,000,000	2012/05/28	2012/11/29	100.00
62408	Credit Suisse AG – HSI R Bull CBBC Nov 2012 V	400,000,000	2012/05/28	2012/11/29	100.00
62405	Credit Suisse AG – HSI R Bear CBBC Nov 2012 R	400,000,000	2012/05/28	2012/11/29	100.00
62406	Credit Suisse AG – HSI R Bear CBBC Nov 2012 S	400,000,000	2012/05/28	2012/11/29	100.00
62409	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/05/28	2012/11/29	100.00
62393	HK Bank – BOCL R Bull CBBC Apr 2013 A	80,000,000	2012/05/28	2013/04/18	20.00
62392	HK Bank – BOCL R Bear CBBC Dec 2012 A	80,000,000	2012/05/28	2012/12/24	20.00
62394	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12H	200,000,000	2012/05/28	2012/09/27	50.00
62396	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12I	200,000,000	2012/05/28	2012/09/27	50.00
62398	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep 12J	200,000,000	2012/05/28	2012/09/27	50.00
62399	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12S	200,000,000	2012/05/28	2012/11/29	50.00
62400	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/05/28	2012/11/29	50.00
62415	UBS AG – HKEEx R Bull CBBC Dec 2012 B	100,000,000	2012/05/28	2012/12/17	32.50
62412	UBS AG – HSCEI R Bull CBBC Nov 2012 B	100,000,000	2012/05/28	2012/11/29	25.00
62401	UBS AG – HSI R Bull CBBC Nov 2012 T	300,000,000	2012/05/28	2012/11/29	75.00
62402	UBS AG – HSI R Bull CBBC Dec 2012 O	300,000,000	2012/05/28	2012/12/28	75.00
62411	UBS AG – HSI R Bull CBBC Dec 2012 P	300,000,000	2012/05/28	2012/12/28	75.00
62410	UBS AG – HSI R Bear CBBC Aug 2012 N	300,000,000	2012/05/28	2012/08/30	75.00
62413	UBS AG – HSI R Bear CBBC Dec 2012 C	300,000,000	2012/05/28	2012/12/28	75.00
62414	UBS AG – HSI R Bear CBBC Dec 2012 D	300,000,000	2012/05/28	2012/12/28	75.00
62416	UBS AG – Hutchison R Bull CBBC Nov 2012 A	100,000,000	2012/05/28	2012/11/19	25.00
62462	Credit Suisse AG – HKEEx R Bear CBBC Nov 2012 B	70,000,000	2012/05/29	2012/11/30	17.50
62433	Credit Suisse AG – HSI R Bull CBBC Aug 2012 W	400,000,000	2012/05/29	2012/08/30	100.00
62468	Credit Suisse AG – HSI R Bull CBBC Aug 2012 Y	400,000,000	2012/05/29	2012/08/30	100.00
62432	Credit Suisse AG – HSI R Bear CBBC Aug 2012 Y	400,000,000	2012/05/29	2012/08/30	100.00
62466	Credit Suisse AG – Hutchison R Bear CBBC Nov 2012 B	70,000,000	2012/05/29	2012/11/30	17.50
62460	Credit Suisse AG – TCH R Bear CBBC Nov 2012 A	70,000,000	2012/05/29	2012/11/30	17.50
62461	Credit Suisse AG – TCH R Bear CBBC Nov 2012 B	70,000,000	2012/05/29	2012/11/30	18.90
62446	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 A	158,000,000	2012/05/29	2012/12/28	44.24

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62449	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 B	158,000,000	2012/05/29	2012/12/28	52.14
62423	HK Bank – China Mobile R Bear CBBC Dec 2012 C	200,000,000	2012/05/29	2012/12/18	50.00
62424	HK Bank – China Mobile R Bear CBBC Dec 2012 D	200,000,000	2012/05/29	2012/12/18	50.00
62427	HK Bank – China Life R Bull CBBC Dec 2012 B	80,000,000	2012/05/29	2012/12/24	20.00
62428	HK Bank – China Life R Bull CBBC Dec 2012 C	80,000,000	2012/05/29	2012/12/24	30.40
62429	HK Bank – China Life R Bear CBBC Nov 2012 A	80,000,000	2012/05/29	2012/11/28	30.40
62430	HK Bank – Hutchison R Bear CBBC Jan 2013 A	150,000,000	2012/05/29	2013/01/16	37.50
62431	HK Bank – Hutchison R Bear CBBC Jan 2013 B	150,000,000	2012/05/29	2013/01/16	37.50
62417	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12N	200,000,000	2012/05/29	2012/10/30	50.00
62418	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12R	200,000,000	2012/05/29	2012/10/30	50.00
62419	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12S	200,000,000	2012/05/29	2012/10/30	50.00
62420	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12T	200,000,000	2012/05/29	2012/10/30	50.00
62421	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12U	200,000,000	2012/05/29	2012/10/30	50.00
62422	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12V	200,000,000	2012/05/29	2012/10/30	50.00
62454	UBS AG – CUni R Bull CBBC Dec 2012 A	100,000,000	2012/05/29	2012/12/10	26.50
62459	UBS AG – HSBC R Bear CBBC Dec 2012 A	100,000,000	2012/05/29	2012/12/03	25.00
62437	UBS AG – HSI R Bull CBBC Nov 2012 G	300,000,000	2012/05/29	2012/11/29	75.00
62445	UBS AG – HSI R Bull CBBC Nov 2012 V	300,000,000	2012/05/29	2012/11/29	75.00
62436	UBS AG – HSI R Bull CBBC Dec 2012 S	300,000,000	2012/05/29	2012/12/28	75.00
62438	UBS AG – HSI R Bull CBBC Dec 2012 T	300,000,000	2012/05/29	2012/12/28	75.00
62443	UBS AG – HSI R Bull CBBC Dec 2012 U	300,000,000	2012/05/29	2012/12/28	75.00
62441	UBS AG – HSI R Bull CBBC Jan 2013 O	300,000,000	2012/05/29	2013/01/30	75.00
62435	UBS AG – HSI R Bear CBBC Nov 2012 J	300,000,000	2012/05/29	2012/11/29	75.00
62451	UBS AG – Ping An R Bull CBBC Dec 2012 A	100,000,000	2012/05/29	2012/12/10	25.00
62434	UBS AG – TCH R Bear CBBC Nov 2012 A	100,000,000	2012/05/29	2012/11/12	25.00
62481	Credit Suisse AG – HSI R Bull CBBC Oct 2012 V	400,000,000	2012/05/30	2012/10/30	100.00
62482	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/05/30	2012/10/30	100.00
62469	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 E	158,000,000	2012/05/30	2012/10/30	39.50
62485	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 H	158,000,000	2012/05/30	2012/09/27	39.50
62472	UBS AG – HSI R Bull CBBC Dec 2012 W	300,000,000	2012/05/30	2012/12/28	75.00
62487	UBS AG – HSI R Bull CBBC Dec 2012 X	300,000,000	2012/05/30	2012/12/28	75.00
62476	UBS AG – HSI R Bull CBBC Jan 2013 P	300,000,000	2012/05/30	2013/01/30	75.00
62483	UBS AG – HSI R Bear CBBC Aug 2012 O	300,000,000	2012/05/30	2012/08/30	75.00
62484	UBS AG – HSI R Bear CBBC Sep 2012 J	300,000,000	2012/05/30	2012/09/27	75.00
62488	UBS AG – TCH R Bear CBBC Dec 2012 A	100,000,000	2012/05/30	2012/12/03	28.50
62501	Credit Suisse AG – HSI R Bull CBBC Nov 2012 W	400,000,000	2012/05/31	2012/11/29	100.00
62499	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/05/31	2012/11/29	100.00
62505	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/05/31	2012/11/29	100.00
62506	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	400,000,000	2012/05/31	2012/11/29	100.00
62493	Daiwa Capital Mkt – HSI R Bear CBBC Sep 2012 I	158,000,000	2012/05/31	2012/09/27	39.50
62489	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12F	200,000,000	2012/05/31	2012/12/28	50.00
62494	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12W	200,000,000	2012/05/31	2012/10/30	50.00
62511	UBS AG – AIA R Bull CBBC Dec 2012 A	100,000,000	2012/05/31	2012/12/10	30.50
62508	UBS AG – Cheung Kong R Bull CBBC Dec 2012 A	100,000,000	2012/05/31	2012/12/17	25.00
62492	UBS AG – HSCEI R Bull CBBC Nov 2012 C	100,000,000	2012/05/31	2012/11/29	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62490	UBS AG – HSCEI R Bull CBBC Dec 2012 A	100,000,000	2012/05/31	2012/12/28	25.00
62504	UBS AG – HSCEI R Bear CBBC Oct 2012 A	100,000,000	2012/05/31	2012/10/30	25.00
62503	UBS AG – HSI R Bull CBBC Nov 2012 B	300,000,000	2012/05/31	2012/11/29	75.00
62502	UBS AG – HSI R Bear CBBC Sep 2012 L	300,000,000	2012/05/31	2012/09/27	75.00
62510	UBS AG – Hutchison R Bull CBBC Dec 2012 A	100,000,000	2012/05/31	2012/12/03	25.00
62507	UBS AG – Ping An R Bear CBBC Dec 2012 A	100,000,000	2012/05/31	2012/12/03	25.00
62518	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 M	200,000,000	2012/06/01	2012/09/27	50.00
62515	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 P	200,000,000	2012/06/01	2012/09/27	50.00
62517	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Z	200,000,000	2012/06/01	2012/09/27	50.00
62523	Credit Suisse AG – HSI R Bull CBBC Nov 2012 X	400,000,000	2012/06/01	2012/11/29	100.00
62530	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	400,000,000	2012/06/01	2012/11/29	100.00
62527	HK Bank – A50 R Bull CBBC Feb 2013 A	150,000,000	2012/06/01	2013/02/26	37.50
62529	HK Bank – A50 R Bull CBBC Feb 2013 B	150,000,000	2012/06/01	2013/02/26	37.50
62526	HK Bank – A50 R Bear CBBC Jan 2013 A	150,000,000	2012/06/01	2013/01/28	37.50
62513	HK Bank – HSI R Bear CBBC Dec 2012 R	250,000,000	2012/06/01	2012/12/28	62.50
62514	HK Bank – HSI R Bear CBBC Dec 2012 S	250,000,000	2012/06/01	2012/12/28	62.50
62538	UBS AG – China Mobile R Bear CBBC Dec 2012 B	100,000,000	2012/06/01	2012/12/03	25.00
62524	UBS AG – HSI R Bull CBBC Oct 2012 Y	300,000,000	2012/06/01	2012/10/30	75.00
62535	UBS AG – HSI R Bull CBBC Dec 2012 Y	300,000,000	2012/06/01	2012/12/28	75.00
62536	UBS AG – HSI R Bull CBBC Dec 2012 Z	300,000,000	2012/06/01	2012/12/28	75.00
62537	UBS AG – HSI R Bull CBBC Feb 2013 C	300,000,000	2012/06/01	2013/02/27	75.00
62531	UBS AG – HSI R Bear CBBC Nov 2012 K	300,000,000	2012/06/01	2012/11/29	75.00
62533	UBS AG – HSI R Bear CBBC Dec 2012 E	300,000,000	2012/06/01	2012/12/28	75.00
62534	UBS AG – HSI R Bear CBBC Dec 2012 F	300,000,000	2012/06/01	2012/12/28	75.00
62572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 S	200,000,000	2012/06/04	2013/03/27	50.00
62573	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 T	200,000,000	2012/06/04	2013/03/27	50.00
62571	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/06/04	2012/09/27	50.00
62552	Credit Suisse AG – HSI R Bull CBBC Oct 2012 K	400,000,000	2012/06/04	2012/10/30	100.00
62548	Credit Suisse AG – HSI R Bull CBBC Oct 2012 W	400,000,000	2012/06/04	2012/10/30	100.00
62549	Credit Suisse AG – HSI R Bull CBBC Oct 2012 X	400,000,000	2012/06/04	2012/10/30	100.00
62550	Credit Suisse AG – HSI R Bull CBBC Oct 2012 Y	400,000,000	2012/06/04	2012/10/30	100.00
62551	Credit Suisse AG – HSI R Bull CBBC Oct 2012 Z	400,000,000	2012/06/04	2012/10/30	100.00
62567	Credit Suisse AG – HSI R Bull CBBC Dec 2012 X	400,000,000	2012/06/04	2012/12/28	100.00
62553	Credit Suisse AG – HSI R Bear CBBC Dec 2012 I	400,000,000	2012/06/04	2012/12/28	100.00
62554	Credit Suisse AG – HSI R Bear CBBC Dec 2012 J	400,000,000	2012/06/04	2012/12/28	122.00
62556	Credit Suisse AG – HSI R Bear CBBC Dec 2012 K	400,000,000	2012/06/04	2012/12/28	126.00
62557	Credit Suisse AG – HSI R Bear CBBC Dec 2012 L	400,000,000	2012/06/04	2012/12/28	128.00
62561	Credit Suisse AG – Hutchison R Bull CBBC Nov 2012 A	70,000,000	2012/06/04	2012/11/30	17.50
62562	Credit Suisse AG – Hutchison R Bull CBBC Nov 2012 B	70,000,000	2012/06/04	2012/11/30	17.50
62564	Credit Suisse AG – Hutchison R Bull CBBC Nov 2012 C	70,000,000	2012/06/04	2012/11/30	17.50
62566	Credit Suisse AG – TCH R Bull CBBC Nov 2012 B	70,000,000	2012/06/04	2012/11/30	17.50
62546	HK Bank – Cheung Kong R Bull CBBC Dec 2012 A	150,000,000	2012/06/04	2012/12/12	37.50
62547	HK Bank – Cheung Kong R Bear CBBC Dec 2012 A	150,000,000	2012/06/04	2012/12/12	45.00
62542	HK Bank – SHK Ppt R Bull CBBC Jan 2013 B	150,000,000	2012/06/04	2013/01/18	37.50
62543	HK Bank – SHK Ppt R Bull CBBC Jan 2013 C	150,000,000	2012/06/04	2013/01/18	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62544	HK Bank – SHK Ppt R Bear CBBC Jan 2013 A	150,000,000	2012/06/04	2013/01/18	37.50
62545	HK Bank – TCH R Bear CBBC Feb 2013 A	150,000,000	2012/06/04	2013/02/06	52.50
62569	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/06/04	2012/11/29	50.00
62568	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12X	200,000,000	2012/06/04	2012/10/30	50.00
62574	UBS AG – HSI R Bull CBBC Oct 2012 T	300,000,000	2012/06/04	2012/10/30	75.00
62570	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/06/04	2012/10/30	75.00
62575	UBS AG – SHK Ppt R Bull CBBC Nov 2012 A	100,000,000	2012/06/04	2012/11/12	25.00
62591	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 U	200,000,000	2012/06/05	2013/03/27	50.00
62611	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 V	200,000,000	2012/06/05	2013/03/27	50.00
62612	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 W	200,000,000	2012/06/05	2013/03/27	50.00
62590	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 A	200,000,000	2012/06/05	2012/09/27	50.00
62587	Credit Suisse AG – HSI R Bull CBBC Oct 2012 L	400,000,000	2012/06/05	2012/10/30	100.00
62598	Credit Suisse AG – HSI R Bull CBBC Oct 2012 M	400,000,000	2012/06/05	2012/10/30	100.00
62599	Credit Suisse AG – HSI R Bull CBBC Oct 2012 N	400,000,000	2012/06/05	2012/10/30	100.00
62600	Credit Suisse AG – HSI R Bull CBBC Oct 2012 O	400,000,000	2012/06/05	2012/10/30	100.00
62603	Credit Suisse AG – HSI R Bear CBBC Oct 2012 A	400,000,000	2012/06/05	2012/10/30	100.00
62586	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/06/05	2012/10/30	100.00
62595	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 F	158,000,000	2012/06/05	2012/10/30	39.50
62576	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 A	100,000,000	2012/06/05	2012/12/28	28.00
62577	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 B	100,000,000	2012/06/05	2012/12/28	26.00
62578	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 C	100,000,000	2012/06/05	2012/12/28	25.00
62583	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 F	40,000,000	2012/06/05	2012/12/28	10.00
62584	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 G	40,000,000	2012/06/05	2012/12/28	10.00
62589	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/06/05	2012/11/29	50.00
62607	UBS AG – China Mobile R Bull CBBC Nov 2012 B	100,000,000	2012/06/05	2012/11/19	25.00
62606	UBS AG – HSI R Bull CBBC Oct 2012 O	300,000,000	2012/06/05	2012/10/30	75.00
62593	UBS AG – HSI R Bull CBBC Nov 2012 U	300,000,000	2012/06/05	2012/11/29	75.00
62594	UBS AG – HSI R Bear CBBC Oct 2012 I	300,000,000	2012/06/05	2012/10/30	75.00
62629	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 C	200,000,000	2012/06/06	2013/03/27	50.00
62628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 X	200,000,000	2012/06/06	2013/03/27	50.00
62618	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/06/06	2012/11/29	100.00
62616	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Y	400,000,000	2012/06/06	2012/11/29	100.00
62617	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/06/06	2012/11/29	100.00
62625	Credit Suisse AG – HSI R Bear CBBC Nov 2012 O	400,000,000	2012/06/06	2012/11/29	100.00
62630	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/06/06	2012/11/29	100.00
62624	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/06/06	2012/11/29	100.00
62615	HK Bank – CC Bank R Bear CBBC Dec 2012 A	100,000,000	2012/06/06	2012/12/20	25.00
62613	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/06/06	2012/11/29	50.00
62614	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12N	200,000,000	2012/06/06	2012/11/29	50.00
62623	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12O	200,000,000	2012/06/06	2012/11/29	50.00
62626	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/06/06	2012/10/30	50.00
62627	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/06/06	2012/10/30	50.00
62621	UBS AG – HSI R Bull CBBC Nov 2012 W	300,000,000	2012/06/06	2012/11/29	75.00
62620	UBS AG – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/06/06	2012/12/28	75.00
62622	UBS AG – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/06/06	2012/12/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62631	UBS AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/06/06	2012/10/30	75.00
62633	UBS AG – Petrochina R Bull CBBC Dec 2012 A	100,000,000	2012/06/06	2012/12/10	25.00
62632	UBS AG – Petrochina R Bear CBBC Nov 2012 A	100,000,000	2012/06/06	2012/11/19	25.00
62652	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 D	200,000,000	2012/06/07	2013/03/27	50.00
62648	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 F	200,000,000	2012/06/07	2013/03/27	50.00
62649	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 G	200,000,000	2012/06/07	2013/03/27	50.00
62650	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 J	200,000,000	2012/06/07	2013/03/27	50.00
62651	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/06/07	2013/03/27	50.00
62647	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 Y	200,000,000	2012/06/07	2013/03/27	50.00
62659	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 B	200,000,000	2012/06/07	2012/09/27	50.00
62660	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 C	200,000,000	2012/06/07	2012/09/27	50.00
62653	Credit Suisse AG – HSI R Bull CBBC Nov 2012 G	400,000,000	2012/06/07	2012/11/29	100.00
62654	Credit Suisse AG – HSI R Bull CBBC Nov 2012 U	400,000,000	2012/06/07	2012/11/29	100.00
62657	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/06/07	2012/11/29	100.00
62658	Credit Suisse AG – HSI R Bear CBBC Nov 2012 C	400,000,000	2012/06/07	2012/11/29	100.00
62656	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/06/07	2012/11/29	100.00
62697	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 G	158,000,000	2012/06/07	2012/10/30	39.50
62685	Daiwa Capital Mkt – HSI R Bear CBBC Oct 2012 B	158,000,000	2012/06/07	2012/10/30	39.50
62634	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 C	150,000,000	2012/06/07	2012/09/27	37.80
62635	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 D	150,000,000	2012/06/07	2012/09/27	38.10
62636	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 E	150,000,000	2012/06/07	2012/09/27	38.85
62637	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2012 A	150,000,000	2012/06/07	2012/09/27	38.55
62638	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2012 B	150,000,000	2012/06/07	2012/09/27	38.55
62643	HK Bank – ABC R Bull CBBC May 2013 A	80,000,000	2012/06/07	2013/05/28	20.00
62644	HK Bank – ABC R Bear CBBC Jan 2013 A	80,000,000	2012/06/07	2013/01/28	20.00
62642	HK Bank – CNOOC R Bear CBBC Dec 2012 A	80,000,000	2012/06/07	2012/12/28	30.40
62645	HK Bank – HSCEI R Bull CBBC Dec 2012 A	150,000,000	2012/06/07	2012/12/28	37.50
62646	HK Bank – HSCEI R Bear CBBC Dec 2012 A	150,000,000	2012/06/07	2012/12/28	37.50
62641	HK Bank – Hutchison R Bull CBBC Feb 2013 A	150,000,000	2012/06/07	2013/02/26	37.50
62674	J P Morgan SP BV – HSI R Bull CBBC Nov 2012 A	300,000,000	2012/06/07	2012/11/29	75.00
62677	J P Morgan SP BV – HSI R Bull CBBC Nov 2012 B	300,000,000	2012/06/07	2012/11/29	75.00
62679	J P Morgan SP BV – HSI R Bull CBBC Nov 2012 C	300,000,000	2012/06/07	2012/11/29	75.00
62680	J P Morgan SP BV – HSI R Bull CBBC Nov 2012 D	300,000,000	2012/06/07	2012/11/29	75.00
62676	J P Morgan SP BV – HSI R Bull CBBC Dec 2012 A	300,000,000	2012/06/07	2012/12/28	75.00
62678	J P Morgan SP BV – HSI R Bull CBBC Dec 2012 B	300,000,000	2012/06/07	2012/12/28	75.00
62681	J P Morgan SP BV – HSI R Bull CBBC Dec 2012 C	300,000,000	2012/06/07	2012/12/28	75.00
62682	J P Morgan SP BV – HSI R Bull CBBC Dec 2012 D	300,000,000	2012/06/07	2012/12/28	75.00
62683	J P Morgan SP BV – HSI R Bull CBBC Dec 2012 E	300,000,000	2012/06/07	2012/12/28	75.00
62675	J P Morgan SP BV – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/06/07	2013/01/30	75.00
62684	J P Morgan SP BV – HSI R Bull CBBC Jan 2013 B	300,000,000	2012/06/07	2013/01/30	75.00
62669	J P Morgan SP BV – HSI R Bear CBBC Oct 2012 A	300,000,000	2012/06/07	2012/10/30	75.00
62671	J P Morgan SP BV – HSI R Bear CBBC Oct 2012 B	300,000,000	2012/06/07	2012/10/30	75.00
62673	J P Morgan SP BV – HSI R Bear CBBC Oct 2012 C	300,000,000	2012/06/07	2012/10/30	75.00
62668	J P Morgan SP BV – HSI R Bear CBBC Nov 2012 A	300,000,000	2012/06/07	2012/11/29	75.00
62670	J P Morgan SP BV – HSI R Bear CBBC Nov 2012 B	300,000,000	2012/06/07	2012/11/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62672	J P Morgan SP BV – HSI R Bear CBBC Nov 2012 C	300,000,000	2012/06/07	2012/11/29	75.00
62665	J P Morgan SP BV – HSI R Bear CBBC Dec 2012 A	300,000,000	2012/06/07	2012/12/28	75.00
62666	J P Morgan SP BV – HSI R Bear CBBC Dec 2012 B	300,000,000	2012/06/07	2012/12/28	75.00
62667	J P Morgan SP BV – HSI R Bear CBBC Dec 2012 C	300,000,000	2012/06/07	2012/12/28	75.00
62663	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 A	300,000,000	2012/06/07	2013/01/30	75.00
62664	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 B	300,000,000	2012/06/07	2013/01/30	75.00
62639	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12A	200,000,000	2012/06/07	2012/11/29	50.00
62640	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12B	200,000,000	2012/06/07	2012/11/29	50.00
62662	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/06/07	2012/10/30	50.00
62661	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/06/07	2012/10/30	50.00
62686	UBS AG – China Mobile R Bull CBBC Jan 2013 A	100,000,000	2012/06/07	2013/01/21	25.00
62655	UBS AG – HSI R Bull CBBC Nov 2012 X	300,000,000	2012/06/07	2012/11/29	75.00
62689	UBS AG – HSI R Bull CBBC May 2013 A	300,000,000	2012/06/07	2013/05/30	126.00
62691	UBS AG – HSI R Bull CBBC May 2013 B	300,000,000	2012/06/07	2013/05/30	99.00
62688	UBS AG – HSI R Bull CBBC Jun 2013 A	300,000,000	2012/06/07	2013/06/27	141.00
62690	UBS AG – HSI R Bull CBBC Jun 2013 B	300,000,000	2012/06/07	2013/06/27	111.00
62693	UBS AG – HSI R Bull CBBC Jun 2013 C	300,000,000	2012/06/07	2013/06/27	75.00
62694	UBS AG – HSI R Bull CBBC Jun 2013 D	300,000,000	2012/06/07	2013/06/27	75.00
62695	UBS AG – HSI R Bull CBBC Jun 2013 E	300,000,000	2012/06/07	2013/06/27	75.00
62692	UBS AG – HSI R Bull CBBC Jul 2013 A	300,000,000	2012/06/07	2013/07/30	75.00
62696	UBS AG – HSI R Bull CBBC Jul 2013 B	300,000,000	2012/06/07	2013/07/30	75.00
62687	UBS AG – HSI R Bear CBBC Sep 2012 M	300,000,000	2012/06/07	2012/09/27	75.00
62702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 E	200,000,000	2012/06/08	2013/03/27	60.00
62698	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 L	200,000,000	2012/06/08	2013/03/27	100.00
62699	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 M	200,000,000	2012/06/08	2013/03/27	80.00
62700	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 N	200,000,000	2012/06/08	2013/03/27	70.00
62701	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 O	200,000,000	2012/06/08	2013/03/27	60.00
62706	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 P	200,000,000	2012/06/08	2012/09/27	50.00
62703	Credit Suisse AG – HSI R Bull CBBC Oct 2012 P	400,000,000	2012/06/08	2012/10/30	100.00
62705	Credit Suisse AG – HSI R Bull CBBC Oct 2012 Q	400,000,000	2012/06/08	2012/10/30	100.00
62711	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/06/08	2012/10/30	100.00
62704	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/06/08	2012/10/30	100.00
62713	Daiwa Capital Mkt – HSI R Bear CBBC Oct 2012 C	158,000,000	2012/06/08	2012/10/30	39.50
62708	UBS AG – HSI R Bull CBBC Oct 2012 J	300,000,000	2012/06/08	2012/10/30	75.00
62709	UBS AG – HSI R Bull CBBC Dec 2012 E	300,000,000	2012/06/08	2012/12/28	75.00
62710	UBS AG – HSI R Bull CBBC Jan 2013 Q	300,000,000	2012/06/08	2013/01/30	75.00
62712	UBS AG – HSI R Bear CBBC Oct 2012 K	300,000,000	2012/06/08	2012/10/30	75.00
62718	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 A	200,000,000	2012/06/11	2013/04/29	50.00
62719	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 B	200,000,000	2012/06/11	2013/04/29	50.00
62720	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 C	200,000,000	2012/06/11	2013/04/29	50.00
62721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 D	200,000,000	2012/06/11	2013/04/29	100.00
62739	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 D	200,000,000	2012/06/11	2012/09/27	50.00
62740	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 N	200,000,000	2012/06/11	2012/09/27	50.00
62741	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 O	200,000,000	2012/06/11	2012/09/27	50.00
62722	Credit Suisse AG – HSI R Bull CBBC Oct 2012 R	400,000,000	2012/06/11	2012/10/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62723	Credit Suisse AG – HSI R Bull CBBC Oct 2012 S	400,000,000	2012/06/11	2012/10/30	100.00
62725	Credit Suisse AG – HSI R Bull CBBC Oct 2012 U	400,000,000	2012/06/11	2012/10/30	100.00
62749	Credit Suisse AG – HSI R Bull CBBC Jan 2013 A	400,000,000	2012/06/11	2013/01/30	102.00
62750	Credit Suisse AG – HSI R Bull CBBC Jan 2013 B	400,000,000	2012/06/11	2013/01/30	106.00
62751	Credit Suisse AG – HSI R Bull CBBC Jan 2013 C	400,000,000	2012/06/11	2013/01/30	110.00
62752	Credit Suisse AG – HSI R Bull CBBC Jan 2013 D	400,000,000	2012/06/11	2013/01/30	114.00
62753	Credit Suisse AG – HSI R Bull CBBC Jan 2013 E	400,000,000	2012/06/11	2013/01/30	118.00
62754	Credit Suisse AG – HSI R Bull CBBC Feb 2013 A	400,000,000	2012/06/11	2013/02/27	122.00
62755	Credit Suisse AG – HSI R Bull CBBC Feb 2013 B	400,000,000	2012/06/11	2013/02/27	126.00
62756	Credit Suisse AG – HSI R Bull CBBC Feb 2013 C	400,000,000	2012/06/11	2013/02/27	130.00
62757	Credit Suisse AG – HSI R Bull CBBC Feb 2013 D	400,000,000	2012/06/11	2013/02/27	134.00
62758	Credit Suisse AG – HSI R Bull CBBC Feb 2013 E	400,000,000	2012/06/11	2013/02/27	138.00
62772	Credit Suisse AG – HSI R Bear CBBC Oct 2012 K	400,000,000	2012/06/11	2012/10/30	100.00
62773	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/06/11	2012/11/29	100.00
62746	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/06/11	2012/11/29	100.00
62747	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	400,000,000	2012/06/11	2012/11/29	100.00
62759	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	400,000,000	2012/06/11	2012/11/29	100.00
62768	Daiwa Capital Mkt – HSCEI R Bear CBBC Feb 2013 A	80,000,000	2012/06/11	2013/02/27	20.00
62760	Daiwa Capital Mkt – HSI R Bull CBBC Jan 2013 A	158,000,000	2012/06/11	2013/01/30	39.50
62764	Daiwa Capital Mkt – HSI R Bull CBBC Feb 2013 A	158,000,000	2012/06/11	2013/02/27	39.50
62761	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 A	158,000,000	2012/06/11	2013/03/27	44.24
62762	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 B	158,000,000	2012/06/11	2013/03/27	53.72
62763	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2013 A	158,000,000	2012/06/11	2013/06/27	60.04
62769	Daiwa Capital Mkt – HSI R Bear CBBC Oct 2012 D	158,000,000	2012/06/11	2012/10/30	39.50
62714	HK Bank – HSI R Bull CBBC Feb 2013 A	250,000,000	2012/06/11	2013/02/27	62.50
62715	HK Bank – HSI R Bull CBBC Feb 2013 B	250,000,000	2012/06/11	2013/02/27	62.50
62716	HK Bank – HSI R Bull CBBC Feb 2013 C	250,000,000	2012/06/11	2013/02/27	62.50
62717	HK Bank – HSI R Bear CBBC Feb 2013 A	250,000,000	2012/06/11	2013/02/27	62.50
62726	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12J	200,000,000	2012/06/11	2012/11/29	50.00
62727	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12L	200,000,000	2012/06/11	2012/11/29	50.00
62728	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12M	200,000,000	2012/06/11	2012/11/29	50.00
62729	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12G	200,000,000	2012/06/11	2012/12/28	106.00
62730	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12H	200,000,000	2012/06/11	2012/12/28	100.00
62731	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12I	200,000,000	2012/06/11	2012/12/28	94.00
62732	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12J	200,000,000	2012/06/11	2012/12/28	88.00
62733	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12K	200,000,000	2012/06/11	2012/12/28	75.00
62734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12L	200,000,000	2012/06/11	2012/12/28	69.00
62735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12M	200,000,000	2012/06/11	2012/12/28	62.00
62742	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12A	200,000,000	2012/06/11	2012/10/30	50.00
62743	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/06/11	2012/10/30	50.00
62744	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12A	200,000,000	2012/06/11	2012/11/29	50.00
62745	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12B	200,000,000	2012/06/11	2012/11/29	50.00
62784	UBS AG – China Life R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/21	32.00
62781	UBS AG – CNOOC R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/21	25.00
62778	UBS AG – Sinopec Corp R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/14	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62779	UBS AG – HkEx R Bull CBBC Nov 2012 A	100,000,000	2012/06/11	2012/11/26	25.00
62776	UBS AG – HSBC R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/21	25.00
62737	UBS AG – HSI R Bull CBBC Nov 2012 Y	300,000,000	2012/06/11	2012/11/29	75.00
62738	UBS AG – HSI R Bull CBBC Dec 2012 V	300,000,000	2012/06/11	2012/12/28	75.00
62736	UBS AG – HSI R Bull CBBC Jan 2013 R	300,000,000	2012/06/11	2013/01/30	75.00
62767	UBS AG – HSI R Bear CBBC Sep 2012 L	300,000,000	2012/06/11	2012/09/27	75.00
62766	UBS AG – HSI R Bear CBBC Oct 2012 M	300,000,000	2012/06/11	2012/10/30	75.00
62765	UBS AG – HSI R Bear CBBC Nov 2012 L	300,000,000	2012/06/11	2012/11/29	75.00
62777	UBS AG – Hutchison R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/14	25.00
62783	UBS AG – Ping An R Bull CBBC Nov 2012 A	100,000,000	2012/06/11	2012/11/19	25.00
62782	UBS AG – Sands China R Bear CBBC Dec 2012 A	50,000,000	2012/06/11	2012/12/17	24.25
62780	UBS AG – TCH R Bull CBBC Jan 2013 A	100,000,000	2012/06/11	2013/01/14	27.00
62801	Credit Suisse AG – HSI R Bull CBBC Dec 2012 Y	400,000,000	2012/06/12	2012/12/28	100.00
62802	Credit Suisse AG – HSI R Bull CBBC Dec 2012 Z	400,000,000	2012/06/12	2012/12/28	100.00
62797	Credit Suisse AG – HSI R Bear CBBC Dec 2012 M	400,000,000	2012/06/12	2012/12/28	100.00
62789	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 F	150,000,000	2012/06/12	2012/09/27	38.40
62790	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 G	150,000,000	2012/06/12	2012/09/27	38.40
62785	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2012 C	150,000,000	2012/06/12	2012/09/27	38.40
62786	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2012 D	150,000,000	2012/06/12	2012/09/27	37.80
62787	Goldman Sachs SP (Asia) – HSI R Bear CBBC Sep 2012 E	150,000,000	2012/06/12	2012/09/27	38.70
62793	HK Bank – China Mobile R Bull CBBC Dec 2012 D	200,000,000	2012/06/12	2012/12/12	50.00
62794	HK Bank – China Mobile R Bear CBBC Dec 2012 E	200,000,000	2012/06/12	2012/12/12	50.00
62791	HK Bank – HSI R Bear CBBC Dec 2012 T	250,000,000	2012/06/12	2012/12/28	62.50
62792	HK Bank – HSI R Bear CBBC Dec 2012 U	250,000,000	2012/06/12	2012/12/28	62.50
62795	HK Bank – Sands China R Bull CBBC Aug 2013 A	100,000,000	2012/06/12	2013/08/28	25.00
62799	UBS AG – HSI R Bull CBBC Oct 2012 P	300,000,000	2012/06/12	2012/10/30	75.00
62804	UBS AG – HSI R Bull CBBC Oct 2012 V	300,000,000	2012/06/12	2012/10/30	75.00
62803	UBS AG – HSI R Bull CBBC Jan 2013 S	300,000,000	2012/06/12	2013/01/30	75.00
62800	UBS AG – HSI R Bull CBBC Feb 2013 D	300,000,000	2012/06/12	2013/02/27	75.00
62798	UBS AG – HSI R Bear CBBC Nov 2012 M	300,000,000	2012/06/12	2012/11/29	75.00
62805	UBS AG – HSI R Bear CBBC Nov 2012 N	300,000,000	2012/06/12	2012/11/29	75.00
62820	Credit Suisse AG – HSI R Bull CBBC Dec 2012 G	400,000,000	2012/06/13	2012/12/28	100.00
62821	Credit Suisse AG – HSI R Bull CBBC Dec 2012 I	400,000,000	2012/06/13	2012/12/28	100.00
62819	Credit Suisse AG – HSI R Bear CBBC Dec 2012 N	400,000,000	2012/06/13	2012/12/28	100.00
62822	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 H	150,000,000	2012/06/13	2012/09/27	38.85
62823	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 I	150,000,000	2012/06/13	2012/09/27	38.85
62814	HK Bank – AIA R Bull CBBC Jan 2013 A	80,000,000	2012/06/13	2013/01/28	33.60
62817	HK Bank – AIA R Bear CBBC Jan 2013 A	80,000,000	2012/06/13	2013/01/28	28.00
62810	HK Bank – China Life R Bull CBBC Jan 2013 A	80,000,000	2012/06/13	2013/01/18	28.00
62811	HK Bank – China Life R Bear CBBC Dec 2012 D	80,000,000	2012/06/13	2012/12/20	28.00
62812	HK Bank – Hutchison R Bull CBBC Feb 2013 B	150,000,000	2012/06/13	2013/02/20	37.50
62813	HK Bank – Hutchison R Bear CBBC Feb 2013 A	150,000,000	2012/06/13	2013/02/20	37.50
62831	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 A	300,000,000	2012/06/13	2013/03/27	75.00
62832	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 B	300,000,000	2012/06/13	2013/03/27	75.00
62833	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 C	300,000,000	2012/06/13	2013/03/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62835	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 D	300,000,000	2012/06/13	2013/03/27	75.00
62836	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 E	300,000,000	2012/06/13	2013/03/27	75.00
62826	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 C	300,000,000	2012/06/13	2013/01/30	75.00
62827	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 D	300,000,000	2012/06/13	2013/01/30	75.00
62828	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 E	300,000,000	2012/06/13	2013/01/30	75.00
62829	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 F	300,000,000	2012/06/13	2013/01/30	75.00
62830	J P Morgan SP BV – HSI R Bear CBBC Jan 2013 G	300,000,000	2012/06/13	2013/01/30	75.00
62806	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 H	40,000,000	2012/06/13	2012/12/28	10.00
62807	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Dec 2012 I	40,000,000	2012/06/13	2012/12/28	10.00
62808	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 13A	200,000,000	2012/06/13	2013/03/27	84.00
62809	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 13B	200,000,000	2012/06/13	2013/03/27	69.00
62825	UBS AG – HSI R Bull CBBC Oct 2012 I	300,000,000	2012/06/13	2012/10/30	75.00
62824	UBS AG – HSI R Bull CBBC Jan 2013 T	300,000,000	2012/06/13	2013/01/30	75.00
62818	UBS AG – HSI R Bear CBBC Sep 2012 N	300,000,000	2012/06/13	2012/09/27	75.00
62856	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 E	200,000,000	2012/06/14	2013/04/29	50.00
62857	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 F	200,000,000	2012/06/14	2013/04/29	50.00
62837	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 B	200,000,000	2012/06/14	2012/09/27	50.00
62849	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 A	100,000,000	2012/06/14	2012/12/24	25.00
62850	Credit Suisse AG – HKEx R Bull CBBC Dec 2012 B	100,000,000	2012/06/14	2012/12/24	25.00
62851	Credit Suisse AG – HKEx R Bear CBBC Dec 2012 A	100,000,000	2012/06/14	2012/12/24	25.00
62854	Credit Suisse AG – HSI R Bull CBBC Nov 2012 V	400,000,000	2012/06/14	2012/11/29	100.00
62855	Credit Suisse AG – HSI R Bull CBBC Nov 2012 X	400,000,000	2012/06/14	2012/11/29	100.00
62838	Credit Suisse AG – HSI R Bear CBBC Nov 2012 B	400,000,000	2012/06/14	2012/11/29	100.00
62839	Credit Suisse AG – HSI R Bear CBBC Nov 2012 T	400,000,000	2012/06/14	2012/11/29	100.00
62845	Credit Suisse AG – TCH R Bull CBBC Dec 2012 A	100,000,000	2012/06/14	2012/12/24	25.00
62848	Credit Suisse AG – TCH R Bull CBBC Dec 2012 B	100,000,000	2012/06/14	2012/12/24	25.00
62852	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12Q	200,000,000	2012/06/14	2012/11/29	50.00
62853	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12T	200,000,000	2012/06/14	2012/11/29	50.00
62840	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/06/14	2012/10/30	50.00
62841	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/06/14	2012/10/30	50.00
62842	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12W	200,000,000	2012/06/14	2012/10/30	50.00
62860	UBS AG – China Mobile R Bear CBBC Dec 2012 C	100,000,000	2012/06/14	2012/12/10	25.00
62859	UBS AG – HSI R Bull CBBC Oct 2012 D	300,000,000	2012/06/14	2012/10/30	75.00
62858	UBS AG – HSI R Bull CBBC Jan 2013 U	300,000,000	2012/06/14	2013/01/30	75.00
62843	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/06/14	2012/10/30	75.00
62844	UBS AG – HSI R Bear CBBC Nov 2012 O	300,000,000	2012/06/14	2012/11/29	75.00
62872	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2013 H	200,000,000	2012/06/15	2013/03/27	50.00
62863	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 C	200,000,000	2012/06/15	2012/09/27	50.00
62878	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Q	200,000,000	2012/06/15	2012/09/27	50.00
62865	Credit Suisse AG – HSI R Bull CBBC Nov 2012 W	400,000,000	2012/06/15	2012/11/29	100.00
62866	Credit Suisse AG – HSI R Bear CBBC Nov 2012 U	400,000,000	2012/06/15	2012/11/29	100.00
62867	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Z	400,000,000	2012/06/15	2012/11/29	100.00
62874	Credit Suisse AG – HSI R Bear CBBC Dec 2012 O	400,000,000	2012/06/15	2012/12/28	100.00
62873	Daiwa Capital Mkt – HSI R Bear CBBC Oct 2012 E	158,000,000	2012/06/15	2012/10/30	39.50
62861	HK Bank – HSI R Bull CBBC Jan 2013 A	250,000,000	2012/06/15	2013/01/30	62.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62862	HK Bank – HSI R Bull CBBC Jan 2013 B	250,000,000	2012/06/15	2013/01/30	62.50
62868	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12S	200,000,000	2012/06/15	2012/11/29	50.00
62864	UBS AG – HSI R Bull CBBC Nov 2012 A	300,000,000	2012/06/15	2012/11/29	75.00
62870	UBS AG – HSI R Bull CBBC Nov 2012 D	300,000,000	2012/06/15	2012/11/29	75.00
62877	UBS AG – HSI R Bear CBBC Sep 2012 M	300,000,000	2012/06/15	2012/09/27	75.00
62875	UBS AG – HSI R Bear CBBC Dec 2012 G	300,000,000	2012/06/15	2012/12/28	75.00
62871	UBS AG – ICBC R Bull CBBC Dec 2012 B	100,000,000	2012/06/15	2012/12/10	25.00
62904	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 G	200,000,000	2012/06/18	2013/04/29	50.00
62917	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 H	200,000,000	2012/06/18	2013/04/29	50.00
62902	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 P	200,000,000	2012/06/18	2012/09/27	50.00
62911	Credit Suisse AG – HSI R Bull CBBC Oct 2012 G	400,000,000	2012/06/18	2012/10/30	100.00
62907	Credit Suisse AG – HSI R Bull CBBC Oct 2012 T	400,000,000	2012/06/18	2012/10/30	100.00
62906	Credit Suisse AG – HSI R Bull CBBC Oct 2012 V	400,000,000	2012/06/18	2012/10/30	100.00
62912	Credit Suisse AG – HSI R Bull CBBC Oct 2012 W	400,000,000	2012/06/18	2012/10/30	100.00
62889	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/06/18	2012/10/30	100.00
62892	Credit Suisse AG – HSI R Bear CBBC Oct 2012 K	400,000,000	2012/06/18	2012/10/30	100.00
62893	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/06/18	2012/10/30	100.00
62891	Credit Suisse AG – HSI R Bear CBBC Oct 2012 X	400,000,000	2012/06/18	2012/10/30	100.00
62913	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 H	158,000,000	2012/06/18	2012/10/30	39.50
62914	Daiwa Capital Mkt – HSI R Bull CBBC Oct 2012 I	158,000,000	2012/06/18	2012/10/30	39.50
62915	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 J	150,000,000	2012/06/18	2012/09/27	38.10
62916	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 K	150,000,000	2012/06/18	2012/09/27	38.10
62883	HK Bank – China Mobile R Bull CBBC Jan 2013 A	100,000,000	2012/06/18	2013/01/28	25.00
62884	HK Bank – China Mobile R Bull CBBC Jan 2013 B	100,000,000	2012/06/18	2013/01/28	25.00
62885	HK Bank – China Mobile R Bull CBBC Jan 2013 C	100,000,000	2012/06/18	2013/01/28	25.00
62882	HK Bank – China Mobile R Bear CBBC Dec 2012 F	60,000,000	2012/06/18	2012/12/18	15.00
62879	HK Bank – A50 R Bear CBBC Nov 2012 D	60,000,000	2012/06/18	2012/11/28	15.00
62880	HK Bank – A50 R Bear CBBC Nov 2012 E	60,000,000	2012/06/18	2012/11/26	15.00
62887	HK Bank – ICBC R Bear CBBC Dec 2012 B	50,000,000	2012/06/18	2012/12/18	12.50
62888	HK Bank – ICBC R Bear CBBC Dec 2012 C	50,000,000	2012/06/18	2012/12/28	12.50
62908	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12W	200,000,000	2012/06/18	2012/11/29	50.00
62926	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12X	200,000,000	2012/06/18	2012/11/29	50.00
62894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12A	200,000,000	2012/06/18	2012/10/30	50.00
62901	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12B	200,000,000	2012/06/18	2012/10/30	50.00
62909	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12C	200,000,000	2012/06/18	2012/11/29	50.00
62922	UBS AG – China Life R Bull CBBC Nov 2012 A	100,000,000	2012/06/18	2012/11/26	25.00
62921	UBS AG – A50 China R Bear CBBC Nov 2012 A	100,000,000	2012/06/18	2012/11/19	25.00
62925	UBS AG – HSBC R Bull CBBC Nov 2012 B	100,000,000	2012/06/18	2012/11/19	25.00
62920	UBS AG – HSI R Bull CBBC Oct 2012 A	300,000,000	2012/06/18	2012/10/30	75.00
62918	UBS AG – HSI R Bull CBBC Oct 2012 X	300,000,000	2012/06/18	2012/10/30	75.00
62919	UBS AG – HSI R Bull CBBC Nov 2012 I	300,000,000	2012/06/18	2012/11/29	75.00
62910	UBS AG – HSI R Bear CBBC Sep 2012 J	300,000,000	2012/06/18	2012/09/27	75.00
62903	UBS AG – HSI R Bear CBBC Oct 2012 J	300,000,000	2012/06/18	2012/10/30	75.00
62923	UBS AG – ICBC R Bear CBBC Dec 2012 A	100,000,000	2012/06/18	2012/12/03	25.00
62924	UBS AG – TCH R Bull CBBC Dec 2012 A	100,000,000	2012/06/18	2012/12/03	29.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69614#	HK Bank – BOCL R Bull CBBC Dec 2012 A	150,000,000	2012/06/18	2012/12/18	10.65
62943	Credit Suisse AG – HSI R Bull CBBC Nov 2012 O	400,000,000	2012/06/19	2012/11/29	100.00
62944	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Y	400,000,000	2012/06/19	2012/11/29	100.00
62950	Credit Suisse AG – HSI R Bear CBBC Nov 2012 C	400,000,000	2012/06/19	2012/11/29	100.00
62952	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 C	158,000,000	2012/06/19	2012/12/28	39.50
62934	HK Bank – CC Bank R Bear CBBC Dec 2012 B	50,000,000	2012/06/19	2012/12/18	12.50
62937	HK Bank – CC Bank R Bear CBBC Dec 2012 C	50,000,000	2012/06/19	2012/12/21	12.50
62931	HK Bank – HSI R Bull CBBC Jan 2013 C	200,000,000	2012/06/19	2013/01/30	50.00
62940	HK Bank – Hutchison R Bull CBBC Jan 2013 A	80,000,000	2012/06/19	2013/01/28	20.00
62938	HK Bank – Hutchison R Bear CBBC Dec 2012 A	60,000,000	2012/06/19	2012/12/12	15.00
62939	HK Bank – Hutchison R Bear CBBC Dec 2012 B	60,000,000	2012/06/19	2012/12/20	15.00
62941	HK Bank – TCH R Bull CBBC Jan 2013 A	60,000,000	2012/06/19	2013/01/16	18.00
62942	HK Bank – TCH R Bear CBBC Dec 2012 D	50,000,000	2012/06/19	2012/12/21	15.00
62930	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 F	300,000,000	2012/06/19	2013/03/27	75.00
62929	J P Morgan SP BV – HSI R Bear CBBC Mar 2013 A	300,000,000	2012/06/19	2013/03/27	75.00
62945	UBS AG – HSI R Bull CBBC Dec 2012 F	300,000,000	2012/06/19	2012/12/28	75.00
62948	UBS AG – HSI R Bull CBBC Dec 2012 G	300,000,000	2012/06/19	2012/12/28	75.00
62951	UBS AG – HSI R Bear CBBC Nov 2012 P	300,000,000	2012/06/19	2012/11/29	75.00
62983	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 I	200,000,000	2012/06/20	2013/04/29	50.00
62974	Credit Suisse AG – HSI R Bull CBBC Nov 2012 A	400,000,000	2012/06/20	2012/11/29	100.00
62975	Credit Suisse AG – HSI R Bull CBBC Nov 2012 D	400,000,000	2012/06/20	2012/11/29	100.00
62970	Credit Suisse AG – HSI R Bull CBBC Nov 2012 G	400,000,000	2012/06/20	2012/11/29	100.00
62969	Credit Suisse AG – HSI R Bull CBBC Nov 2012 Z	400,000,000	2012/06/20	2012/11/29	100.00
62964	Credit Suisse AG – HSI R Bear CBBC Nov 2012 G	400,000,000	2012/06/20	2012/11/29	100.00
62972	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 A	158,000,000	2012/06/20	2012/11/29	39.50
62963	HK Bank – Anhui Conch R Bull CBBC Jan 2013 A	50,000,000	2012/06/20	2013/01/18	22.50
62959	HK Bank – BOCL R Bear CBBC Mar 2013 A	50,000,000	2012/06/20	2013/03/20	12.50
62960	HK Bank – BOCL R Bear CBBC Mar 2013 B	50,000,000	2012/06/20	2013/03/26	12.50
62958	HK Bank – China Life R Bull CBBC Jan 2013 B	60,000,000	2012/06/20	2013/01/10	15.00
62962	HK Bank – Evergrande R Bull CBBC Apr 2013 A	60,000,000	2012/06/20	2013/04/18	15.00
62953	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12N	200,000,000	2012/06/20	2012/12/28	50.00
62954	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12O	200,000,000	2012/06/20	2012/12/28	50.00
62957	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12X	200,000,000	2012/06/20	2012/10/30	50.00
62956	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Nov 12D	200,000,000	2012/06/20	2012/11/29	50.00
62966	UBS AG – ABC R Bull CBBC Apr 2013 A	100,000,000	2012/06/20	2013/04/15	25.00
62982	UBS AG – CC Bank R Bear CBBC Dec 2012 A	100,000,000	2012/06/20	2012/12/03	25.00
62977	UBS AG – China Life R Bull CBBC Nov 2012 B	100,000,000	2012/06/20	2012/11/05	25.00
62981	UBS AG – China Shenhua R Bull CBBC Dec 2012 A	100,000,000	2012/06/20	2012/12/10	39.00
62976	UBS AG – HSI R Bull CBBC Nov 2012 J	300,000,000	2012/06/20	2012/11/29	75.00
62971	UBS AG – HSI R Bull CBBC Jan 2013 V	300,000,000	2012/06/20	2013/01/30	75.00
62965	UBS AG – HSI R Bear CBBC Dec 2012 H	300,000,000	2012/06/20	2012/12/28	75.00
62968	UBS AG – Hutchison R Bear CBBC Nov 2012 A	100,000,000	2012/06/20	2012/11/19	25.00
62980	UBS AG – ICBC R Bear CBBC Dec 2012 B	100,000,000	2012/06/20	2012/12/03	25.00
62979	UBS AG – Ping An R Bull CBBC Nov 2012 B	100,000,000	2012/06/20	2012/11/26	25.00
62978	UBS AG – Ping An R Bear CBBC Dec 2012 B	100,000,000	2012/06/20	2012/12/24	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62988	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 D	200,000,000	2012/06/21	2012/09/27	50.00
62986	Credit Suisse AG – HSI R Bull CBBC Nov 2012 E	400,000,000	2012/06/21	2012/11/29	100.00
62987	Credit Suisse AG – HSI R Bear CBBC Nov 2012 V	400,000,000	2012/06/21	2012/11/29	100.00
62989	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 B	158,000,000	2012/06/21	2012/11/29	39.50
62984	HK Bank – CNBM R Bull CBBC Jan 2013 A	50,000,000	2012/06/21	2013/01/18	15.00
62985	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 D	40,000,000	2012/06/21	2012/12/28	10.00
62990	UBS AG – HSI R Bear CBBC Oct 2012 M	300,000,000	2012/06/21	2012/10/30	75.00
63008	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 J	200,000,000	2012/06/22	2013/04/29	50.00
63010	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 K	200,000,000	2012/06/22	2013/04/29	50.00
63000	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 N	200,000,000	2012/06/22	2012/09/27	50.00
63003	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 O	200,000,000	2012/06/22	2012/09/27	50.00
63004	Credit Suisse AG – HSI R Bull CBBC Nov 2012 F	400,000,000	2012/06/22	2012/11/29	100.00
63005	Credit Suisse AG – HSI R Bull CBBC Nov 2012 H	400,000,000	2012/06/22	2012/11/29	100.00
63006	Credit Suisse AG – HSI R Bull CBBC Nov 2012 I	400,000,000	2012/06/22	2012/11/29	100.00
62996	Credit Suisse AG – HSI R Bear CBBC Nov 2012 W	400,000,000	2012/06/22	2012/11/29	100.00
62997	Credit Suisse AG – HSI R Bear CBBC Nov 2012 X	400,000,000	2012/06/22	2012/11/29	100.00
63001	Credit Suisse AG – HSI R Bear CBBC Nov 2012 Y	400,000,000	2012/06/22	2012/11/29	100.00
63007	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 C	158,000,000	2012/06/22	2012/11/29	39.50
63011	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 L	150,000,000	2012/06/22	2012/09/27	39.60
63012	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 M	150,000,000	2012/06/22	2012/09/27	38.85
63014	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 N	150,000,000	2012/06/22	2012/09/27	39.15
62991	HK Bank – ABC R Bull CBBC May 2013 B	60,000,000	2012/06/22	2013/05/16	15.00
62993	HK Bank – ABC R Bear CBBC Jan 2013 B	50,000,000	2012/06/22	2013/01/22	12.50
62992	HK Bank – ABC R Bear CBBC Mar 2013 A	50,000,000	2012/06/22	2013/03/18	12.50
62995	HK Bank – CC Bank R Bull CBBC Jan 2013 A	60,000,000	2012/06/22	2013/01/18	15.00
62994	HK Bank – ICBC R Bull CBBC Mar 2013 A	60,000,000	2012/06/22	2013/03/08	15.00
63018	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 G	300,000,000	2012/06/22	2013/03/27	75.00
63019	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 H	300,000,000	2012/06/22	2013/03/27	75.00
63022	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 I	300,000,000	2012/06/22	2013/03/27	75.00
63017	UBS AG – HSI R Bull CBBC Oct 2012 H	300,000,000	2012/06/22	2012/10/30	75.00
63016	UBS AG – HSI R Bull CBBC Dec 2012 M	300,000,000	2012/06/22	2012/12/28	75.00
63002	UBS AG – HSI R Bear CBBC Sep 2012 I	300,000,000	2012/06/22	2012/09/27	75.00
62998	UBS AG – HSI R Bear CBBC Sep 2012 L	300,000,000	2012/06/22	2012/09/27	75.00
62999	UBS AG – HSI R Bear CBBC Sep 2012 N	300,000,000	2012/06/22	2012/09/27	75.00
62299	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 L	200,000,000	2012/06/25	2013/04/29	50.00
62323	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 M	200,000,000	2012/06/25	2013/04/29	50.00
62382	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 N	200,000,000	2012/06/25	2013/04/29	50.00
63024	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 A	200,000,000	2012/06/25	2012/09/27	50.00
63025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 B	200,000,000	2012/06/25	2012/09/27	50.00
63023	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2012 Z	200,000,000	2012/06/25	2012/09/27	50.00
62016	Credit Suisse AG – HSI R Bull CBBC Sep 2012 K	400,000,000	2012/06/25	2012/09/27	100.00
61966	Credit Suisse AG – HSI R Bull CBBC Sep 2012 L	400,000,000	2012/06/25	2012/09/27	100.00
61967	Credit Suisse AG – HSI R Bull CBBC Sep 2012 V	400,000,000	2012/06/25	2012/09/27	100.00
62015	Credit Suisse AG – HSI R Bull CBBC Sep 2012 W	400,000,000	2012/06/25	2012/09/27	100.00
62036	Credit Suisse AG – HSI R Bull CBBC Sep 2012 X	400,000,000	2012/06/25	2012/09/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63026	Credit Suisse AG – HSI R Bear CBBC Sep 2012 C	400,000,000	2012/06/25	2012/09/27	100.00
63027	Credit Suisse AG – HSI R Bear CBBC Sep 2012 K	400,000,000	2012/06/25	2012/09/27	100.00
63028	Credit Suisse AG – HSI R Bear CBBC Sep 2012 R	400,000,000	2012/06/25	2012/09/27	100.00
60706	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 C	158,000,000	2012/06/25	2012/11/29	39.50
62426	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2012 O	150,000,000	2012/06/25	2012/09/27	38.10
63051	HK Bank – China Mobile R Bull CBBC Feb 2013 A	100,000,000	2012/06/25	2013/02/26	25.00
63054	HK Bank – CNOOC R Bull CBBC Feb 2013 A	50,000,000	2012/06/25	2013/02/06	12.50
63053	HK Bank – CNOOC R Bear CBBC Jan 2013 A	50,000,000	2012/06/25	2013/01/16	20.00
62136	HK Bank – HKEx R Bull CBBC Dec 2012 A	60,000,000	2012/06/25	2012/12/28	15.00
62257	HK Bank – HKEx R Bull CBBC Dec 2012 B	60,000,000	2012/06/25	2012/12/28	15.00
62052	HK Bank – HKEx R Bear CBBC Dec 2012 B	50,000,000	2012/06/25	2012/12/28	15.00
62124	HK Bank – HKEx R Bear CBBC Dec 2012 C	50,000,000	2012/06/25	2012/12/28	12.50
63048	HK Bank – HSI R Bull CBBC Jan 2013 D	200,000,000	2012/06/25	2013/01/30	50.00
63049	HK Bank – HSI R Bull CBBC Jan 2013 E	200,000,000	2012/06/25	2013/01/30	50.00
63056	HK Bank – PetCh R Bull CBBC Jan 2013 A	50,000,000	2012/06/25	2013/01/28	12.50
63055	HK Bank – PetCh R Bear CBBC Dec 2012 A	50,000,000	2012/06/25	2012/12/20	12.50
62287	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12H	200,000,000	2012/06/25	2012/11/29	50.00
63029	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12I	200,000,000	2012/06/25	2012/11/29	50.00
62288	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12K	200,000,000	2012/06/25	2012/11/29	50.00
63034	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12U	200,000,000	2012/06/25	2012/11/29	50.00
62259	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Nov 12V	200,000,000	2012/06/25	2012/11/29	50.00
63044	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12P	200,000,000	2012/06/25	2012/10/30	50.00
63045	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Q	200,000,000	2012/06/25	2012/10/30	50.00
63042	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Y	200,000,000	2012/06/25	2012/10/30	50.00
62532	UBS AG – Anhui Conch R Bull CBBC Jan 2013 A	20,000,000	2012/06/25	2013/01/14	10.00
62447	UBS AG – China Life R Bear CBBC Dec 2012 A	100,000,000	2012/06/25	2012/12/10	25.00
62516	UBS AG – CNBM R Bull CBBC Jan 2013 A	50,000,000	2012/06/25	2013/01/14	12.50
62512	UBS AG – CNBM R Bear CBBC Feb 2013 A	50,000,000	2012/06/25	2013/02/18	12.50
62486	UBS AG – Evergrande R Bull CBBC Apr 2013 A	50,000,000	2012/06/25	2013/04/15	12.50
62385	UBS AG – HSI R Bull CBBC Nov 2012 L	300,000,000	2012/06/25	2012/11/29	75.00
62425	UBS AG – HSI R Bull CBBC Nov 2012 O	300,000,000	2012/06/25	2012/11/29	75.00
62383	UBS AG – HSI R Bull CBBC Jan 2013 W	300,000,000	2012/06/25	2013/01/30	75.00
60720	UBS AG – HSI R Bear CBBC Sep 2012 M	300,000,000	2012/06/25	2012/09/27	75.00
61905	UBS AG – HSI R Bear CBBC Oct 2012 I	300,000,000	2012/06/25	2012/10/30	75.00
62444	UBS AG – TCH R Bull CBBC Dec 2012 B	100,000,000	2012/06/25	2012/12/10	25.00
62905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 O	200,000,000	2012/06/26	2013/04/29	50.00
62927	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 P	200,000,000	2012/06/26	2013/04/29	50.00
62771	Credit Suisse AG – HSI R Bull CBBC Sep 2012 Y	400,000,000	2012/06/26	2012/09/27	100.00
62748	Credit Suisse AG – HSI R Bull CBBC Nov 2012 J	400,000,000	2012/06/26	2012/11/29	100.00
62775	Credit Suisse AG – HSI R Bear CBBC Sep 2012 I	400,000,000	2012/06/26	2012/09/27	100.00
62928	Credit Suisse AG – TCH R Bull CBBC Oct 2012 E	60,000,000	2012/06/26	2012/10/31	15.00
62932	Credit Suisse AG – TCH R Bull CBBC Oct 2012 F	60,000,000	2012/06/26	2012/10/31	15.00
62933	Credit Suisse AG – TCH R Bull CBBC Oct 2012 G	60,000,000	2012/06/26	2012/10/31	15.00
62946	Credit Suisse AG – TCH R Bear CBBC Oct 2012 F	60,000,000	2012/06/26	2012/10/31	15.00
62881	HK Bank – China Mobile R Bear CBBC Dec 2012 G	50,000,000	2012/06/26	2012/12/28	12.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62796	HK Bank – CC Bank R Bear CBBC Dec 2012 D	50,000,000	2012/06/26	2012/12/28	12.50
62834	HK Bank – China Life R Bear CBBC Dec 2012 E	50,000,000	2012/06/26	2012/12/28	21.00
62815	HK Bank – A50 R Bear CBBC Feb 2013 A	50,000,000	2012/06/26	2013/02/25	12.50
62788	HK Bank – HSI R Bull CBBC Jan 2013 F	200,000,000	2012/06/26	2013/01/30	50.00
62876	HK Bank – Hutchison R Bull CBBC Jan 2013 B	80,000,000	2012/06/26	2013/01/21	20.00
62604	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 E	60,000,000	2012/06/26	2012/12/28	15.00
62605	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 F	60,000,000	2012/06/26	2012/12/28	15.00
62724	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Dec 2012 G	60,000,000	2012/06/26	2012/12/28	15.00
62886	UBS AG – HSI R Bull CBBC Oct 2012 K	300,000,000	2012/06/26	2012/10/30	75.00
62947	UBS AG – HSI R Bear CBBC Oct 2012 K	300,000,000	2012/06/26	2012/10/30	75.00
63281	Credit Suisse AG – HSI R Bull CBBC Sep 2012 D	400,000,000	2012/06/27	2012/09/27	100.00
63253	Credit Suisse AG – HSI R Bull CBBC Sep 2012 Z	400,000,000	2012/06/27	2012/09/27	100.00
63238	Credit Suisse AG – HSI R Bear CBBC Sep 2012 J	400,000,000	2012/06/27	2012/09/27	100.00
63239	Credit Suisse AG – HSI R Bear CBBC Sep 2012 O	400,000,000	2012/06/27	2012/09/27	100.00
63657	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2012 A	150,000,000	2012/06/27	2012/12/28	37.50
63679	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2012 B	150,000,000	2012/06/27	2012/12/28	37.50
63701	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2012 C	150,000,000	2012/06/27	2012/12/28	37.50
63765	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2012 D	150,000,000	2012/06/27	2012/12/28	37.50
63768	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2012 E	150,000,000	2012/06/27	2012/12/28	37.50
63841	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2012 A	150,000,000	2012/06/27	2012/09/27	37.50
63856	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2012 B	150,000,000	2012/06/27	2012/09/27	37.50
63859	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2012 C	150,000,000	2012/06/27	2012/09/27	37.50
63862	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2012 D	150,000,000	2012/06/27	2012/09/27	37.50
63867	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Sep 2012 E	150,000,000	2012/06/27	2012/09/27	37.50
63456	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 D	158,000,000	2012/06/27	2012/11/29	39.50
63190	HK Bank – HSI R Bear CBBC Jan 2013 E	200,000,000	2012/06/27	2013/01/30	50.00
63161	HK Bank – TCH R Bull CBBC Jan 2013 B	50,000,000	2012/06/27	2013/01/21	12.50
63162	HK Bank – TCH R Bear CBBC Dec 2012 E	50,000,000	2012/06/27	2012/12/24	20.00
63167	HK Bank – TCH R Bear CBBC Dec 2012 F	50,000,000	2012/06/27	2012/12/24	24.00
62955	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12O	200,000,000	2012/06/27	2012/10/30	59.00
63009	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12P	200,000,000	2012/06/27	2012/10/30	57.00
63020	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12Q	200,000,000	2012/06/27	2012/10/30	55.00
63076	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12R	200,000,000	2012/06/27	2012/10/30	52.00
63110	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12S	200,000,000	2012/06/27	2012/10/30	50.00
63126	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12T	200,000,000	2012/06/27	2012/10/30	50.00
63127	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12U	200,000,000	2012/06/27	2012/10/30	50.00
63128	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12V	200,000,000	2012/06/27	2012/10/30	50.00
63129	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12W	200,000,000	2012/06/27	2012/10/30	50.00
63142	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12X	200,000,000	2012/06/27	2012/10/30	50.00
63150	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12Y	200,000,000	2012/06/27	2012/10/30	50.00
63282	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12A	200,000,000	2012/06/27	2012/12/28	50.00
63317	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Dec 12B	200,000,000	2012/06/27	2012/12/28	50.00
63508	UBS AG – HSBC R Bull CBBC Dec 2012 B	100,000,000	2012/06/27	2012/12/17	25.00
63610	UBS AG – HSI R Bull CBBC Dec 2012 N	300,000,000	2012/06/27	2012/12/28	75.00
63252	UBS AG – HSI R Bear CBBC Nov 2012 Q	300,000,000	2012/06/27	2012/11/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63488	UBS AG – Hutchison R Bull CBBC Dec 2012 B	100,000,000	2012/06/27	2012/12/10	25.00
63916	Credit Suisse AG – HSI R Bull CBBC Oct 2012 X	400,000,000	2012/06/28	2012/10/30	100.00
63921	Credit Suisse AG – HSI R Bear CBBC Oct 2012 A	400,000,000	2012/06/28	2012/10/30	100.00
63953	Credit Suisse AG – HSI R Bear CBBC Oct 2012 W	400,000,000	2012/06/28	2012/10/30	100.00
63958	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 E	158,000,000	2012/06/28	2012/11/29	39.50
63967	Daiwa Capital Mkt – HSI R Bull CBBC Nov 2012 F	158,000,000	2012/06/28	2012/11/29	39.50
63968	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 D	158,000,000	2012/06/28	2012/12/28	39.50
63976	Daiwa Capital Mkt – HSI R Bull CBBC Dec 2012 E	158,000,000	2012/06/28	2012/12/28	39.50
63978	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 D	158,000,000	2012/06/28	2012/11/29	39.50
63982	Daiwa Capital Mkt – HSI R Bear CBBC Nov 2012 E	158,000,000	2012/06/28	2012/11/29	39.50
63989	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 A	158,000,000	2012/06/28	2012/12/28	39.50
63990	Daiwa Capital Mkt – HSI R Bear CBBC Dec 2012 B	158,000,000	2012/06/28	2012/12/28	39.50
63926	Bank of East Asia – China Shenhua R Bull CBBC Jun 2013 A	60,000,000	2012/06/28	2013/06/26	27.00
63925	Bank of East Asia – HKEx R Bull CBBC Mar 2013 A	60,000,000	2012/06/28	2013/03/22	15.00
63956	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 A	150,000,000	2012/06/28	2012/10/30	39.30
63957	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2012 B	150,000,000	2012/06/28	2012/10/30	37.80
63868	HK Bank – AIA R Bull CBBC Jan 2013 B	50,000,000	2012/06/28	2013/01/21	12.50
63874	HK Bank – AIA R Bear CBBC Jan 2013 B	50,000,000	2012/06/28	2013/01/28	19.00
63890	HK Bank – A50 R Bull CBBC Dec 2012 B	60,000,000	2012/06/28	2012/12/28	15.00
64004	J P Morgan SP BV – HSI R Bull CBBC Mar 2013 J	300,000,000	2012/06/28	2013/03/27	75.00
63992	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 A	300,000,000	2012/06/28	2013/02/27	75.00
63993	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 B	300,000,000	2012/06/28	2013/02/27	75.00
63994	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 C	300,000,000	2012/06/28	2013/02/27	75.00
64003	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 D	300,000,000	2012/06/28	2013/02/27	75.00
63945	UBS AG – Evergrande R Bull CBBC Apr 2013 B	50,000,000	2012/06/28	2013/04/29	12.50
63891	UBS AG – HSI R Bull CBBC Jan 2013 X	300,000,000	2012/06/28	2013/01/30	75.00
63991	UBS AG – HSI R Bear CBBC Nov 2012 R	300,000,000	2012/06/28	2012/11/29	75.00
64018	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 Q	200,000,000	2012/06/29	2013/04/29	50.00
64020	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2013 R	200,000,000	2012/06/29	2013/04/29	50.00
64131	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2012 I	200,000,000	2012/06/29	2012/10/30	50.00
64030	Credit Suisse AG – HSI R Bull CBBC Oct 2012 L	400,000,000	2012/06/29	2012/10/30	100.00
64033	Credit Suisse AG – HSI R Bull CBBC Oct 2012 M	400,000,000	2012/06/29	2012/10/30	100.00
64126	Credit Suisse AG – HSI R Bear CBBC Oct 2012 G	400,000,000	2012/06/29	2012/10/30	100.00
64128	Credit Suisse AG – HSI R Bear CBBC Oct 2012 K	400,000,000	2012/06/29	2012/10/30	100.00
64129	Credit Suisse AG – HSI R Bear CBBC Oct 2012 R	400,000,000	2012/06/29	2012/10/30	100.00
64125	Credit Suisse AG – HSI R Bear CBBC Oct 2012 Y	400,000,000	2012/06/29	2012/10/30	100.00
64006	HK Bank – China Life R Bull CBBC Dec 2012 D	80,000,000	2012/06/29	2012/12/28	20.00
64005	HK Bank – ICBC R Bull CBBC Apr 2013 A	60,000,000	2012/06/29	2013/04/18	15.00
64062	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12A	200,000,000	2012/06/29	2012/10/30	50.00
64061	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12B	200,000,000	2012/06/29	2012/10/30	50.00
64060	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12F	200,000,000	2012/06/29	2012/10/30	50.00
64048	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct 12Z	200,000,000	2012/06/29	2012/10/30	50.00
64063	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Dec 12P	200,000,000	2012/06/29	2012/12/28	50.00
64221	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12A	200,000,000	2012/06/29	2012/10/30	50.00
64182	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12W	200,000,000	2012/06/29	2012/10/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64184	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Oct 12Z	200,000,000	2012/06/29	2012/10/30	50.00
64064	UBS AG – HSI R Bull CBBC Nov 2012 P	300,000,000	2012/06/29	2012/11/29	75.00
64165	UBS AG – HSI R Bear CBBC Oct 2012 H	300,000,000	2012/06/29	2012/10/30	75.00
64145	UBS AG – HSI R Bear CBBC Nov 2012 S	300,000,000	2012/06/29	2012/11/29	75.00
Total					91,955.65

Further issue

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q2	3	331.18
	Q3	3	62.60
	Q4	4	38.72
2012	Q1	4	37.53
	Q2	4	14.88

* The first DR commenced trading on 8 December 2010

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q2	–	–
	Q3	–	–
	Q4	–	–
2012	Q1	–	–
	Q2	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2011	Q2	7	0.32
	Q3	7	0.13
	Q4	7	0.00
2012	Q1	7	0.00
	Q2	7	0.14

iShares

		No. of issues	Turnover value (HK\$ mil.)
2011	Q2	2	–
	Q3	2	–
	Q4	2	–
2012	Q1	2	–
	Q2	2	–

GEM Equities

Equity Turnover – GEM

	Share	Value (Mil. shs)	(HK\$ mil.)	No. of deals
2011	Q2	79,170.20	20,231.96	507,155
	Q3	40,276.54	10,135.61	316,791
	Q4	41,259.61	9,971.36	288,715
2012	Q1	41,517.67	12,232.86	343,014
	Q2	33,806.65	6,874.87	228,059

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q2	59	342.91	39,893
	Q3	63	160.88	31,995
	Q4	62	160.83	34,537
2012	Q1	61	200.54	35,663
	Q2	61	112.70	30,145

20 Most Advanced GEM Stocks

for 2nd quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Jun 2012	End of Mar 2012	
1	8048	EXCEL TECH	0.219	0.110	99.09
2	8112	FOCUS MEDIA	1.530	0.780	96.15
3	8083	INNOVATIONPAY	0.250	0.141	77.30
4	8116	C PUBLIC HEALTH	0.077	0.044	75.00
5	8122	LIFETECH SCI	4.660	2.680	73.88
6	8202	INNO-TECH HOLD	0.485	0.315	53.97
7	8020	CHANCETON FIN	2.300	1.700	35.29
8	8126	G. A. HOLDINGS	0.280	0.208	34.62
9	8088	CROSBY CAPITAL	1.400	1.050	33.33
10	8239	MING KEI HOLD	0.455	0.345	31.88
11	8008	SUNEVISION	1.340	1.080	24.07
12	8100	M DREAM INWORLD	0.078	0.064	21.88
13	8055	CHI E-LEARNING	0.120	0.099	21.21
14	8165	JIAN EPAYMENT	0.135	0.112	20.54
15	8028	TIMELESS	0.150	0.129	16.28
16	8246	NOBLE HOUSE H	0.730	0.630	15.87
17	8098	CL GROUP	0.390	0.340	14.71
18	8155	SOUTHCHINA LAND	0.096	0.085	12.94
19	8337	DIRECTEL	0.216	0.195	10.77
20	8025	ACR HOLDINGS	0.470	0.430	9.30

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 2nd quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Jun 2012	End of Mar 2012	
1	08022	TLT LOTTOTAIN	0.036	0.216	-83.33
2	08041	LUXEY INT'L	0.019	0.093	-79.57
3	08078	CHINA3D DIGIT	0.022	0.081 A	-72.84
4	08272	C FOOD&BEV GP	0.015	0.037	-59.46
5	08017	LONG SUCCESS	0.106	0.255	-58.43
6	08029	SUN INT'L	0.670	1.610	-58.39
7	08206	C COMM TELE SER	0.239	0.495	-51.72
8	08129	CH BIO CASSAVA	0.114	0.236 A	-51.69
9	08179	GAYETY HLDGS	0.059	0.119	-50.42
10	08156	CHINA VANGUARD	0.070	0.139	-49.64
11	08163	MERDEKA RES	0.016	0.031	-48.39
12	08192	GLOBAL ENERGY	0.085	0.163	-47.85
13	08046	HENG XIN CHINA	0.350	0.670	-47.76
14	08178	CHINA INFO TECH	0.032	0.061	-47.54
15	08087	CHINA 33MEDIA	0.315	0.600	-47.50
16	08120	ORI UNICORN	0.410	0.750	-45.33
17	08082	SAGE INT'L GP	0.102	0.186 A	-45.16
18	08103	TAI SHING	0.136	0.248	-45.16
19	08258	NW INDUSTRY	0.152	0.260	-41.54
20	08198	MELCOLOT	0.099	0.165	-40.00

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 2nd quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08043	ERA MINING MACH	537.94	7.82
2	08167	NEO TELEMEDIA	413.89	6.02
3	08207	CREDIT CHINA	408.57	5.94
4	08078	CHINA3D DIGIT	348.66	5.07
5	08096	RUIFENG PETRO	332.37	4.83
6	08356	CNC HOLDINGS	295.93	4.30
7	08008	SUNEVISION	208.36	3.03
8	08137	HONBRIDGE	206.92	3.01
9	08228	NATIONAL ARTS	206.68	3.01
10	08116	C PUBLIC HEALTH	202.68	2.95
11	08046	HENG XIN CHINA	173.60	2.53
12	08041	LUXEY INT'L	170.37	2.48
13	08086	EPRO	163.21	2.37
14	08085	ZMAY HOLDINGS	155.48	2.26
15	08351	LARRY JEWELRY	150.71	2.19
16	08083	INNOVATIONPAY	133.20	1.94
17	08029	SUN INT'L	124.47	1.81
18	08202	INNO-TECH HOLD	112.11	1.63
19	08240	C CITYRAILTEC	102.89	1.50
20	08179	GAYETY HLDGS	100.77	1.47
Total			4,548.81	66.17

GEM Equities

20 Most Active GEM Stock by Shares

for 2nd quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08078	CHINA3D DIGIT	4,769.96	14.11
2	08041	LUXEY INT'L	3,948.30	11.68
3	08116	C PUBLIC HEALTH	3,760.37	11.12
4	08096	RUIFENG PETRO	1,668.42	4.94
5	08178	CHINA INFO TECH	1,283.98	3.80
6	08179	GAYETY HLDGS	1,013.70	3.00
7	08163	MERDEKA RES	994.54	2.94
8	08192	GLOBAL ENERGY	956.99	2.83
9	08161	CHINALOTSYNERGY	955.88	2.83
10	08083	INNOVATIONPAY	748.71	2.21
11	08043	ERA MINING MACH	622.44	1.84
12	08167	NEO TELEMEDIA	620.76	1.84
13	08207	CREDIT CHINA	520.28	1.54
14	08085	ZMAY HOLDINGS	490.05	1.45
15	08009	IMERCHANTS	423.25	1.25
16	08081	COMPUTECH	421.00	1.25
17	08082	SAGE INT'L GP	417.24	1.23
18	08129	CH BIO CASSAVA	381.71	1.13
19	08158	CHINA BIO-MED	368.57	1.09
20	08356	CNC HOLDINGS	353.26	1.04
Total			24,719.38	73.12

GEM Equities

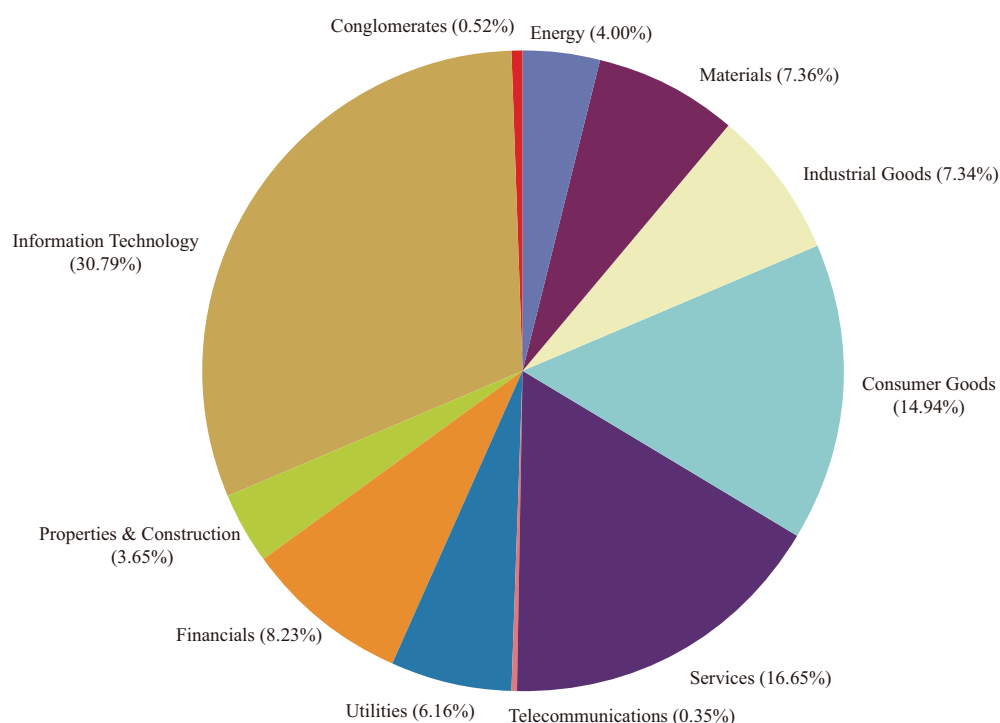
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2011	Q2	111,535.83
	Q3	77,346.08
	Q4	84,589.06
2012	Q1	84,618.24
	Q2	77,357.91

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 2nd quarter end 2012

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08043 ERA Mining Machinery Ltd.	4,948.80	6.40
2	08137 Honbridge Holdings Ltd.	4,350.98	5.62
3	08086 ePRO Ltd.	4,303.59	5.56
4	08008 Sunevision Holdings Ltd.	3,111.79	4.02
5	08035 Binhai Investment Co. Ltd.	2,397.12	3.10
6	08070 Zhongyu Gas Holdings Ltd.	2,371.63	3.07
7	08122 LifeTech Scientific Corporation	2,330.00	3.01
8	08158 China Bio-Med Regeneration Technology Ltd.	1,686.59	2.18
9	08032 Viva China Holdings Ltd.	1,507.47	1.95
10	08356 CNC Holdings Ltd.	1,507.26	1.95
11	08167 Neo Telemedia Ltd.	1,419.42	1.83
12	08005 Yuxing InfoTech Investment Holdings Ltd.	1,342.44	1.74
13	08270 China Leason CBM & Shale Gas Group Co. Ltd.	1,281.31	1.66
14	08207 Credit China Holdings Ltd.	1,233.66	1.59
15	08292 HC International, Inc.	1,169.03	1.51
16	08020 Chanceton Financial Group Ltd.	1,150.00	1.49
17	08066 Phoenitron Holdings Ltd.	1,147.81	1.48
18	08116 China Public Healthcare (Holding) Ltd.	1,133.25	1.46
19	08083 China Innovationpay Group Ltd.	1,124.91	1.45
20	08155 South China Land Ltd.	1,073.14	1.39
21	08192 Global Energy Resources International Group Ltd.	1,066.58	1.38
22	08090 China Assurance Finance Group Ltd.	1,016.40	1.31
23	08075 Media Asia Group Holdings Ltd.	1,011.80	1.31
24	08046 Heng Xin China Holdings Ltd.	983.01	1.27
25	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	890.27	1.15
26	08128 China Ground Source Energy Ltd.	888.08	1.15
27	08232 China U-Ton Holdings Ltd.	739.20	0.96
28	08085 ZMAY Holdings Ltd.	735.37	0.95
29	08279 AGTech Holdings Ltd.	711.13	0.92
30	08096 Ruifeng Petroleum Chemical Holdings Ltd.	709.15	0.92
31	08161 China LotSynergy Holdings Ltd.	685.64	0.89
32	08102 Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	654.29	0.85
33	08029 Sun International Resources Ltd.	621.49	0.80
34	08030 Flying Financial Service Holdings Ltd.	602.13	0.78
35	08240 China City Railway Transportation Technology Hldgs Co. Ltd.	600.00	0.78
36	08351 Larry Jewelry International Co. Ltd.	590.48	0.76
37	08016 China Data Broadcasting Holdings Ltd.	581.16	0.75
38	08228 National Arts Holdings Ltd.	565.78	0.73
39	08061 AcrossAsia Ltd.	531.78	0.69
40	08203 Kaisun Energy Group Ltd.	523.40	0.68
41	08123 First China Financial Network Holdings Ltd.	519.74	0.67
42	08175 China Digital Licensing (Group) Ltd.	510.32	0.66
43	08025 Asian Capital Resources (Holdings) Ltd.	508.86	0.66
44	08109 Creative Energy Solutions Holdings Ltd.	506.20	0.65
45	08112 Focus Media Network Ltd.	501.84	0.65
46	08071 China Netcom Technology Holdings Ltd.	500.64	0.65
47	08011 Polyard Petroleum International Group Ltd.	474.49	0.61
48	08220 Bingo Group Holdings Ltd.	463.36	0.60
49	08219 Branding China Group Ltd.	440.00	0.57
50	08153 Code Agriculture (Holdings) Ltd.	439.64	0.57
Total		60,162.43	77.77
Market Total		77,357.91	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2011	Q2	2	139.50
	Q3	3	193.04
	Q4	5	549.31
2012	Q1	3	189.80
	Q2	4	617.66

Newly Listed Companies for GEM

for 2nd quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08219	Branding China Group Ltd.	2012/04/27	200,000,000	99.00
08030	Flying Financial Service Holdings Ltd.	2012/05/07	1,020,555,000	175.86
08240	China City Railway Transportation Technology Hldgs Co. Ltd.	2012/05/16	800,000,000	200.00
08232	China U-Ton Holdings Ltd.	2012/06/12	1,680,000,000	142.80
Total				617.66

Withdrawal of listed Companies – GEM

for 2nd quarter 2012

Code	Company name	Last trading date	Delisted date
NIL			

Company Name Changes for GEM

for 2nd quarter 2012

Code	Old name	New name	Effective date	Adoption date
08236	Powerleader Science & Technology Group Ltd. – H Shares #	Powerleader Science & Technology Group Ltd. – H Shares	2011/11/25	2012/04/11
08041	China Post E-Commerce (Holdings) Ltd.	Luxey International (Holdings) Ltd.	2011/12/22	2012/05/11
08050	FlexSystem Holdings Ltd.	Yunbo Digital Synergy Group Ltd.	2012/04/13	2012/05/22
08010	SMI Publishing Group Ltd.	Sing Pao Media Enterprises Ltd.	2012/05/11	2012/05/28
08053	China Electric Power Technology Holdings Ltd.	Pizu Group Holdings Ltd.	2012/04/11	2012/05/30

Change of Chinese name only

GEM Equities

Bonus Issues/Bonus Warrants for GEM

for 2nd quarter 2012

Code	Company	Particulars	Ex-date
08207	Credit China Holdings Ltd.	2 for 10	2012/05/17
08078	China 3D Digital Entertainment Ltd.	2 for 1	2012/05/24

Share Split/Consolidation for GEM

for 2nd quarter 2012

Code	Company	Particulars	Effective date
08129	China Bio Cassava Holdings Ltd.	Consolidation 4 into 1	2012/06/28

Rights Issues & Open Offers for GEM

for 2nd quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Apr	08250	China Natural Investment Co. Ltd.	Open offer 1 for 2 @\$0.07	38.79
May	08321	China Automotive Interior Decoration Holdings Ltd.	Open offer 1 for 2 @\$0.10	12.00
	08082	Sage International Group Ltd.	Rts 1 for 2 @\$0.10	50.59
Jun	08041	Luxey International (Holdings) Ltd.	Rts 1 for 2 @\$0.05	81.43
Total				182.81

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2012					
Apr	08356	CNC HOLDINGS	10,000,000	1.000	10.00
	08239	MING KEI HOLD	33,760,000	0.280	9.45
May	08081	COMPUTECH	180,000,000	0.084	15.12
	08109	CREATIVE ENERGY	7,404,000	2.800	20.73
	08351	LARRY JEWELRY	150,650,000	0.770	116.00
	08351	LARRY JEWELRY	2,560,000	0.770	1.97
	08096	RUIFENG PETRO	112,000,000	0.200	22.40
Jun	08178	CHINA INFO TECH	1,000,000,000	0.027	27.00
	08096	RUIFENG PETRO	138,000,000	0.200	27.60
	08022	TLT LOTTOTAIN	65,647,113	0.120	7.88
	08050	YUNBO DIGITAL	15,000,000	0.185	2.78
Total					260.93

* Due to the reporting time-lag, placing figures for the quarter are provisional.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2011	Q2	2	1.03	21.87
	Q3	2	0.23	25.46
	Q4	2	0.07	15.97
2012	Q1	2	–	15.97
	Q2	2	0.27	15.17

Newly Listed Equity GEM Warrants

for 2nd quarter 2012

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					–

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Jun 2012 Close	End of Mar 2012 Close	Change %
S&P/HKEx LargeCap Index	23,320.85	24,788.87	- 5.92%
S&P/HKEx GEM Index	383.12	447.66	- 14.42%
HANG SENG INDEX	19,441.46	20,555.58	- 5.42%
SECTORIAL INDICES			
Finance	26,480.04	27,921.55	- 5.16%
Utilities	45,749.83	46,701.88	- 2.04%
Properties	24,594.72	24,844.35	- 1.00%
Commerce & Industry	11,651.78	12,536.85	- 7.06%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3,796.96	3,986.72	- 4.76%
HANG SENG CHINA ENTERPRISES INDEX	9,574.84	10,640.16	- 10.01%

Stock Market Indices

WORLD INDICES

Index	End of Jun 2012 Close	End of Mar 2012 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	23,320.85	24,788.87	- 5.92%
Hang Seng Index	19,441.46	20,555.58	- 5.42%
AUSTRALIA			
All Ordinaries Index	4,135.46	4,419.97	- 6.44%
JAKARTA			
Composite Index	3,955.58	4,121.55	- 4.03%
JAPAN			
Nikkei (225)	9,006.78	10,083.56	- 10.68%
KOREA			
Composite Index	1,854.01	2,014.04	- 7.95%
KUALA LUMPUR			
Composite Index	1,599.15	1,596.33	+ 0.18%
MANILA			
Composite Index	5,246.41	5,107.73	+ 2.72%
NEW ZEALAND			
NZSE (50)	3,399.84	3,509.55	- 3.13%
SHANGHAI			
A Shares Index	2,330.50	2,370.07	- 1.67%
B Shares Index	235.63	230.33	+ 2.30%
SHENZHEN			
A Shares Index	963.74	932.23	+ 3.38%
B Shares Index	627.46	640.13	- 1.98%
SINGAPORE			
FTSE Straits Times Index	2,878.45	3,010.46	- 4.39%
TAIWAN			
Taipei Weighted Stock Index	7,296.28	7,933.00	- 8.03%
THAILAND			
SET Index	1,172.11	1,196.77	- 2.06%
NEW YORK			
DJIA	12,880.09	13,212.04	- 2.51%
Nasdaq Composite Index	2,935.05	3,091.57	- 5.06%
TORONTO			
S&P/TSX Composite Index	11,596.56	12,392.18	- 6.42%
GERMANY			
DAX Capital Value Index	3,507.44	3,924.79	- 10.63%
LONDON			
FTSE (100)	5,571.10	5,768.50	- 3.42%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2012	2nd Quarter 2011
Hang Seng Index Futures	5,405,446	5,167,935
Hang Seng Index Options	2,661,654	2,584,559
Mini-Hang Seng Index Futures	2,278,107	2,160,435
Mini-Hang Seng Index Options	349,946	219,925
H-shares Index Futures	3,973,006	3,371,887
H-shares Index Options	1,609,830	969,847
Mini H-shares Index Futures	448,935	410,354
Flexible Hang Seng Index Options	3,500	600
Flexible H-shares Index Options	2,925	2,600
HSI Dividend Point Index Futures	4,329	1,781
HSCEI Dividend Point Index Futures	43,884	2,941
HSI Volatility Index Futures ¹	664	–
IBOVESPA Futures ²	7	–
MICEX Index Futures ³	8	–
Sensex Index Futures ⁴	164	–
FTSE/JSE Top40 Futures ⁵	–	–
Stock Futures	60,172	102,565
Stock Options	13,741,210	16,340,993
Three-month HIBOR Futures	55	58
One-month HIBOR Futures	–	122
Three-year Exchange Fund Note Futures	–	–
Gold Futures	2	709
Total	30,583,844	31,337,311

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q2	5,167,935	95,917
	Q3	6,611,545	128,201
	Q4	5,717,012	86,409
2012	Q1	5,140,968	99,821
	Q2	5,405,446	103,069

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q2	2,160,435	10,213
	Q3	3,084,022	11,015
	Q4	2,873,202	5,129
2012	Q1	2,349,431	6,653
	Q2	2,278,107	6,063

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q2	2,584,559	222,085
	Q3	3,097,483	431,313
	Q4	2,311,629	184,402
2012	Q1	2,163,972	284,586
	Q2	2,661,654	346,519

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q2	3,371,887	114,333
	Q3	4,358,785	155,125
	Q4	4,067,025	106,277
2012	Q1	3,860,446	117,764
	Q2	3,973,006	127,099

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2011	Q2	14,491.79	16,340,993	5,622,028
	Q3	24,566.72	21,961,666	8,112,569
	Q4	16,977.30	17,604,585	5,329,494
2012	Q1	9,756.42	14,545,164	4,971,657
	Q2	8,578.21	13,741,210	5,060,742

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 2nd Quarter 2012

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	142.67	1.66%
ABC	ABC	128.45	1.50%
ANHUI CONCH	ACC	49.84	0.58%
AIA	AIA	237.73	2.77%
CHALCO	ALC	17.40	0.20%
BANK OF CHINA	BCL	202.45	2.36%
BANKCOMM	BCM	55.06	0.64%
BANK OF E ASIA	BEA	4.99	0.06%
BELLE INT'L	BIH	28.39	0.33%
BOC HONG KONG	BOC	63.23	0.74%
BYD COMPANY	BYD	23.81	0.28%
CCB	CCB	526.88	6.14%
CHINA COMM CONS	CCC	6.88	0.08%
CHINA COAL	CCE	37.27	0.43%
CHINA COSCO	CCS	13.40	0.16%
CHINA MOBILE	CHT	907.53	10.58%
CHINA UNICOM	CHU	89.04	1.04%
CITIC PACIFIC	CIT	16.82	0.20%
CHEUNG KONG	CKH	341.81	3.98%
CHINA LIFE	CLI	432.85	5.05%
CLP HOLDINGS	CLP	25.67	0.30%
CM BANK	CMB	95.98	1.12%
CNOOC	CNC	220.97	2.58%
CHINA OVERSEAS	COL	120.08	1.40%
CATHAY PAC AIR	CPA	8.48	0.10%
SINOPEC CORP	CPC	134.58	1.57%
CPIC	CPI	44.60	0.52%
CHINA RAIL CONS	CRC	5.09	0.06%
CHINA RAILWAY	CRG	3.19	0.04%
X WISECSI300ETF	CS3	0.77	0.01%
CHINA SHENHUA	CSE	129.83	1.51%
CITIC BANK	CTB	13.85	0.16%
CHINA TELECOM	CTC	53.89	0.63%
ESPRIT HOLDINGS	ESP	24.06	0.28%
FIH	FIH	2.00	0.02%
GALAXY ENT	GLX	3.98	0.05%
POWER ASSETS	HEH	26.56	0.31%
HKEX	HEX	254.93	2.97%
HSBC HOLDINGS	HKB	797.88	9.30%
HK & CHINA GAS	HKG	8.10	0.09%
HENDERSON LAND	HLD	22.09	0.26%
HUANENG POWER	HNP	4.60	0.05%
HANG SENG BANK	HSB	17.12	0.20%
HUTCHISON	HWL	469.87	5.48%
ICBC	ICB	383.31	4.47%
JIANGXI COPPER	JXC	111.02	1.29%
LI & FUNG	LIF	47.56	0.55%
MTR CORPORATION	MTR	12.22	0.14%
CNBM	NBM	51.04	0.59%
NEW WORLD DEV	NWD	19.65	0.23%
PING AN	PAI	397.01	4.63%
PETROCHINA	PEC	191.68	2.23%
PICC P&C	PIC	50.35	0.59%
R&F PROPERTIES	RFP	11.24	0.13%
SANDS CHINA LTD	SAN	3.67	0.04%
SHK PPT	SHK	544.07	6.34%
STANCHART	STC	5.52	0.06%
SWIRE PACIFIC A	SWA	77.45	0.90%
TENCENT	TCH	701.94	8.18%
TRACKER FUND	TRF	5.45	0.06%
WHARF HOLDINGS	WHL	36.61	0.43%
YANZHOU COAL	YZC	105.56	1.23%
ZIJIN MINING	ZJM	8.20	0.10%
Total		8,578.21	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 2nd Quarter 2012

Options class		Contract volume	% of total
X ISHARES A50	A50	92,065	0.67%
ABC	ABC	62,300	0.45%
ANHUI CONCH	ACC	104,095	0.76%
AIA	AIA	334,347	2.43%
CHALCO	ALC	32,733	0.24%
BANK OF CHINA	BCL	1,065,541	7.75%
BANKCOMM	BCM	186,339	1.36%
BANK OF E ASIA	BEA	34,790	0.25%
BELLE INT'L	BIH	43,286	0.32%
BOC HONG KONG	BOC	197,137	1.43%
BYD COMPANY	BYD	40,005	0.29%
CCB	CCB	1,868,493	13.60%
CHINA COMM CONS	CCC	23,062	0.17%
CHINA COAL	CCE	61,434	0.45%
CHINA COSCO	CCS	59,745	0.43%
CHINA MOBILE	CHT	775,228	5.64%
CHINA UNICOM	CHU	81,394	0.59%
CITIC PACIFIC	CIT	17,094	0.12%
CHEUNG KONG	CKH	147,856	1.08%
CHINA LIFE	CLI	511,541	3.72%
CLP HOLDINGS	CLP	49,508	0.36%
CM BANK	CMB	275,422	2.00%
CNOOC	CNC	391,146	2.85%
CHINA OVERSEAS	COL	91,885	0.67%
CATHAY PAC AIR	CPA	12,152	0.09%
SINOPEC CORP	CPC	195,712	1.42%
CPIC	CPI	51,567	0.38%
CHINA RAIL CONS	CRC	23,666	0.17%
CHINA RAILWAY	CRG	22,153	0.16%
X WISECSI300ETF	CS3	1,093	0.01%
CHINA SHENHUA	CSE	212,953	1.55%
CITIC BANK	CTB	41,389	0.30%
CHINA TELECOM	CTC	81,285	0.59%
ESPRIT HOLDINGS	ESP	256,829	1.87%
FIH	FIH	7,579	0.06%
GALAXY ENT	GLX	5,306	0.04%
POWER ASSETS	HEH	53,861	0.39%
HKEX	HEX	732,719	5.33%
HSBC HOLDINGS	HKB	1,118,823	8.14%
HK & CHINA GAS	HKG	17,303	0.13%
HENDERSON LAND	HLD	15,320	0.11%
HUANENG POWER	HNP	11,448	0.08%
HANG SENG BANK	HSB	88,274	0.64%
HUTCHISON	HWL	247,815	1.80%
ICBC	ICB	1,558,075	11.34%
JIANGXI COPPER	JXC	136,137	0.99%
LI & FUNG	LIF	33,695	0.25%
MTR CORPORATION	MTR	37,391	0.27%
CNBM	NBM	57,704	0.42%
NEW WORLD DEV	NWD	41,165	0.30%
PING AN	PAI	394,027	2.87%
PETROCHINA	PEC	242,664	1.77%
PICC P&C	PIC	52,424	0.38%
R&F PROPERTIES	RFP	38,802	0.28%
SANDS CHINA LTD	SAN	8,886	0.06%
SHK PPT	SHK	226,752	1.65%
STANCHART	STC	16,140	0.12%
SWIRE PACIFIC A	SWA	67,216	0.49%
TENCENT	TCH	955,403	6.95%
TRACKER FUND	TRF	22,393	0.16%
WHARF HOLDINGS	WHL	32,427	0.24%
YANZHOU COAL	YZC	54,034	0.39%
ZIJIN MINING	ZJM	22,182	0.16%
Total		13,741,210	100.00%

Clearing & Settlement

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2011	Q2	87,498	49.00	233.07
	Q3	84,654	50.41	221.54
	Q4	76,802	44.37	186.44
2012	Q1	79,872	41.52	179.93
	Q2	70,419	43.03	179.84

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2011	Q2	527	108.05	323.66
	Q3	489	123.92	383.03
	Q4	342	83.77	97.11
2012	Q1	351	69.05	265.24
	Q2	329	115.35	254.73

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2011	Q2	3,707.09	69.37	11,612.87	50.11
	Q3	3,771.07	71.19	8,909.87	49.96
	Q4	3,694.24	70.17	9,599.91	50.23
2012	Q1	3,751.61	70.60	10,833.95	50.26
	Q2	3,713.59	70.37	10,006.88	50.29

Share quantity and market value of securities in non-HKD currency are included

Clearing & Settlement

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2011	Q2	869,474	100	156,793.01	100	71,017.11	100
	Q3	908,434	100	165,464.02	100	72,538.55	100
	Q4	857,422	100	161,396.94	100	59,305.03	100
2012	Q1	877,050	100	160,501.58	100	63,178.33	100
	Q2	737,075	100	135,165.30	100	50,166.62	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2011	Q2	70,588	95.73	25,359.54	91.71
	Q3	63,710	96.23	20,235.50	93.60
	Q4	56,834	96.38	18,005.04	94.13
2012	Q1	63,528	96.11	20,054.26	93.60
	Q2	52,112	96.20	15,324.06	94.21

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2011	Q2	99.88	99.99
	Q3	99.87	99.99
	Q4	99.89	99.99
2012	Q1	99.90	99.99
	Q2	99.91	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	2nd Quarter 2012	2nd Quarter 2011
Hang Seng Index Futures	103,069	95,917
Hang Seng Index Options	346,519	222,085
Mini-Hang Seng Index Futures	6,063	10,213
Mini-Hang Seng Index Options	12,617	5,681
H-shares Index Futures	127,099	114,333
H-shares Index Options	361,728	163,542
Mini H-shares Index Futures	3,236	2,945
Flexible Hang Seng Index Options	11,010	5,410
Flexible H-shares Index Options	7,125	2,600
HSI Dividend Point Index Futures	5,412	5,644
HSCEI Dividend Point Index Futures	59,548	4,111
HSI Volatility Index Futures ¹	77	–
IBOVESPA Futures ²	–	–
MICEX Index Futures ³	–	–
Sensex Index Futures ⁴	23	–
FTSE/JSE Top40 Futures ⁵	–	–
Stock Futures	10,510	19,267
Stock Options	5,060,742	5,622,028
Three-month HIBOR Futures	65	83
One-month HIBOR Futures	–	55
Three-year Exchange Fund Note Futures	–	–
Gold Futures	–	41
Total	6,114,843	6,273,955

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 2nd Quarter 2012

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	30,292	0.60
ABC	ABC	37,007	0.73
ANHUI CONCH	ACC	21,113	0.42
AIA	AIA	157,959	3.12
CHALCO	ALC	15,959	0.32
BANK OF CHINA	BCL	563,608	11.14
BANKCOMM	BCM	142,800	2.82
BANK OF E ASIA	BEA	13,347	0.26
BELLE INT'L	BIH	13,141	0.26
BOC HONG KONG	BOC	72,898	1.44
BYD COMPANY	BYD	9,239	0.18
CCB	CCB	638,764	12.62
CHINA COMM CONS	CCC	29,278	0.58
CHINA COAL	CCE	70,348	1.39
CHINA COSCO	CCS	16,697	0.33
CHINA MOBILE	CHT	179,741	3.55
CHINA UNICOM	CHU	43,931	0.87
CITIC PACIFIC	CIT	8,327	0.16
CHEUNG KONG	CKH	39,185	0.77
CHINA LIFE	CLI	155,549	3.07
CLP HOLDINGS	CLP	11,269	0.22
CM BANK	CMB	206,561	4.08
CNOOC	CNC	160,118	3.16
CHINA OVERSEAS	COL	30,178	0.60
CATHAY PAC AIR	CPA	5,812	0.11
SINOPEC CORP	CPC	141,598	2.80
CPIC	CPI	17,065	0.34
CHINA RAIL CONS	CRC	14,630	0.29
CHINA RAILWAY	CRG	6,185	0.12
X WISECSI300ETF	CS3	250	0.00
CHINA SHENHUA	CSE	143,808	2.84
CITIC BANK	CTB	32,754	0.65
CHINA TELECOM	CTC	60,637	1.20
ESPRIT HOLDINGS	ESP	77,800	1.54
FIH	FIH	3,317	0.07
GALAXY ENT	GLX	2,484	0.05
POWER ASSETS	HEH	11,614	0.23
HKEX	HEX	211,793	4.19
HSBC HOLDINGS	HKB	299,915	5.93
HK & CHINA GAS	HKG	6,480	0.13
HENDERSON LAND	HLD	6,004	0.12
HUANENG POWER	HNP	5,973	0.12
HANG SENG BANK	HSB	29,919	0.59

Clearing & Settlement

Options class		Open interest (contracts)	% of total
HUTCHISON	HWL	56,815	1.12
ICBC	ICB	646,602	12.78
JIANGXI COPPER	JXC	26,994	0.53
LI & FUNG	LIF	10,352	0.20
MTR CORPORATION	MTR	13,840	0.27
CNBM	NBM	14,235	0.28
NEW WORLD DEV	NWD	17,523	0.35
PING AN	PAI	116,661	2.31
PETROCHINA	PEC	119,700	2.37
PICC P&C	PIC	17,496	0.35
R&F PROPERTIES	RFP	17,748	0.35
SANDS CHINA LTD	SAN	4,526	0.09
SHK PPT	SHK	49,833	0.98
STANCHART	STC	12,671	0.25
SWIRE PACIFIC A	SWA	12,983	0.26
TENCENT	TCH	126,644	2.50
TRACKER FUND	TRF	7,575	0.15
WHARF HOLDINGS	WHL	9,503	0.19
YANZHOU COAL	YZC	26,147	0.52
ZIJIN MINING	ZJM	7,547	0.15
Total		5,060,742	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q2	547 (970)	490 (906)	35 (42)	22 (22)
	Q3	549 (973)	494 (911)	34 (41)	21 (21)
	Q4	555 (980)	498 (916)	36 (43)	21 (21)
2012	Q1	559 (984)	500 (918)	38 (45)	21 (21)
	Q2	563 (988)	503 (921)	39 (46)	21 (21)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q2	228 (252)	184 (206)	–	44 (46)
	Q3	228 (252)	185 (207)	–	43 (45)
	Q4	228 (252)	182 (204)	–	46 (48)
2012	Q1	230 (254)	182 (204)	–	48 (50)
	Q2	234 (258)	185 (207)	–	49 (51)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/Month		Clearing Participants	General Clearing Participants
2011	Q2	159	9
	Q3	160	9
	Q4	156	10
2012	Q1	156	10
	Q2	158	10

SEOCH Participants

Year/Month		Direct Clearing Participants	General Clearing Participants
2011	Q2	57	7
	Q3	58	7
	Q4	59	7
2012	Q1	59	8
	Q2	59	8

HKSCC Participants

Year/Month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2011	Q2	474	8	46
	Q3	478	8	46
	Q4	482	8	47
2012	Q1	487	8	48
	Q2	489	8	48

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledge Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities