



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Fourth Quarter 2012

Market Highlights

Market Highlights – Main Board

Highlights	4th Quarter 2012	4th Quarter 2011
No. of listed companies	1,368	1,326
No. of listed securities	6,723	6,551
Equities		
Ordinary shares	1,369	1,327
Preference shares	3	3
Warrants	3,757	4,040
Equity	10	13
Derivative	3,747	4,027
Equity linked instrument	0	0
Callable bull/bear contracts	1,214	901
Unit trusts/mutual funds	111	88
Debt securities	269	192
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	61	62
Total turnover in value (HK\$ mil.) *	3,407,506.39	3,668,692.89
Total turnover in shares (Mil. shs)	7,733,882.50	9,965,747.96
Total number of trades (deals)	48,843,582	52,904,378
Total market capitalisation (HK\$ mil.)	21,871,730.17	17,452,667.08
Average P/E ratio (times)	10.50	9.68
Average dividend yield (%)	2.81	3.31
Number of exchange participants		
– Trading	511	498
– Non-trading	39	36
Turnover in value (HK\$ mil.)		
Highest	118,223.29 (12/18)	109,458.86 (10/28)
Lowest	39,634.41 (12/28)	24,466.29 (12/30)
Turnover in shares (Mil. shs)		
Highest	176,378.56 (11/13)	216,852.31 (12/09)
Lowest	48,880.06 (12/28)	53,568.49 (12/30)
Total number of trades (deals)		
Highest	1,123,254 (11/30)	1,490,991 (10/28)
Lowest	642,083 (10/30)	400,819 (12/30)

* Included turnover value traded in non-HKD currency securities.

Market Highlights

Market Highlights – Growth Enterprise Market (GEM)

Highlights	4th Quarter 2012	4th Quarter 2011
No. of listed companies	179	170
No. of listed securities	180	172
Equities	179	170
Warrants	1	2
No. of trading days	61	62
Total turnover in value (HK\$ mil.) *	9,037.20	9,971.43
Total turnover in shares (Mil. shs)	45,943.80	41,266.01
Total number of trades (deals)	329,422	288,727
Total market capitalisation (HK\$ mil.)	78,398.98	84,589.06
Average P/E ratio (times)	18.38	22.16
Average dividend yield (%)	0.88	0.52
Turnover in value (HK\$ mil.)		
Highest	238.81 (12/06)	407.04 (11/11)
Lowest	83.13 (10/08)	96.35 (12/21)
Turnover in shares (Mil. shs)		
Highest	1,484.36 (10/17)	1,254.42 (12/14)
Lowest	398.76 (10/30)	355.01 (12/19)
Total number of trades (deals)		
Highest	11,220 (10/17)	6,771 (10/14)
Lowest	3,107 (10/08)	3,366 (10/06)

* Included turnover value traded in non-HKD currency securities.

Market Highlights

Derivatives Market Highlights

Highlights	4th Quarter 2012	4th Quarter 2011
All futures and options products (excluding stock options)		
No. of trading days	60.0	62.0
Total contract volume	15,867,030	16,834,379
Equity index products	15,734,039	16,733,584
Equity products	114,886	100,586
Interest rates products	0	89
RMB Currency Futures	18,105	–
Gold Futures	0	120
Average daily contract volume	264,451	271,522
Total open interest as at the quarter end (contracts)	1,285,991	607,304
Equity index products	1,262,802	595,978
Equity products	19,516	11,277
Interest rates products	0	49
RMB Currency Futures	3,673	–
Gold Futures	0	0
Stock options		
No. of newly introduced stock options classes	0	3
No. of stock options as at the quarter end		
Class	63	60
Series	12,788	15,450
Total premium (HK\$ mil.)	7,341.35	16,977.30
Call	3,724.54	5,904.59
Put	3,616.81	11,072.71
Average daily premium (HK\$ mil.)	122.36	273.83
Total contract volume	14,366,259	17,604,585
Call	7,083,716	8,621,831
Put	7,282,543	8,982,754
Average daily contract volume	239,438	283,945
Total open interest as at the quarter end (contracts)	4,031,961	5,329,494
Call	1,811,990	2,420,694
Put	2,219,971	2,908,800
Total number of trades	616,666	701,060
Average daily number of trades	10,278	11,307
Total contracts exercised	2,094,088	2,327,126
Average premium per contract (HK\$)	511.01	964.37
Average contract per trade (contracts)	23	25
Put volume/call volume	1.03	1.04
Average daily contract volume/open interest	5.9%	5.3%
All futures and options products		
Total contract volume	30,233,289	34,438,964
Total open interest as at the quarter end (contracts)	5,317,952	5,936,798

Market Highlights

Clearing and Settlement Statistics

Securities Market	4th Quarter 2012	4th Quarter 2011
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	804,910	857,422
– Value of trades (HK\$bil.)	55.8	59.3
– Share quantity Involved (bil shares)	127.5	161.4
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	76,640	76,802
– Value of SIs (HK\$bil.)	198.5	186.4
– Share quantity involved (bil. Shares)	50.6	44.4
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	378	342
– Value of ISIs (HK\$mil.)	213.7	97.1
– Share quantity involved (mil. shares)	114.7	83.8
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.90	99.89
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	5	5
– Number of buy-ins	5	5
– Value of buy-ins (HK\$mil)	1.5	1.5
Shares Deposited in the CCASS Depository *		
– Number of shares (bil shares)	3,663.7	3,694.2
– Percentage of total issued shares of the admitted securities	70.30	70.17
– Value of shares (HK\$bil)	12,330.1	9,599.9
– Percentage of the total market capitalisation of the admitted securities	51.73	50.23
Derivatives Market		
Open Interest		
– Equity Index Futures	381,023	238,065
– Stock Futures	19,516	11,277
– Gold Futures	–	–
– Interest Rates Futures	–	49
– RMB Currency Futures	3,673	–
– Equity Index Options	881,779	357,913
– Stock Options	4,031,961	5,329,494
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,224	2,254
– Value of shares (HK\$bil.)	33	36

* Share quantity and market value of securities in non-HKD currency are included

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q4	568,209.23	2,399,034.07	42,392,538
2012	Q1	586,720.90	2,723,802.82	43,393,219
	Q2	409,732.26	2,175,416.95	36,208,311
	Q3	437,269.47	2,123,255.03	35,393,350
	Q4	524,830.38	2,473,053.10	40,125,188

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q4	62	38,694.10	56,591
2012	Q1	61	44,652.51	62,770
	Q2	61	35,662.57	60,081
	Q3	64	33,175.86	59,990
	Q4	61	40,541.85	61,633

Main Board Equities

20 Most Advanced Stocks

for 4th quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Dec 2012	End of Sep 2012	
1	00377	NEW ISLAND	0.870	0.228	281.58
2	01106	SINO HAIJING	0.275	0.096	186.46
3	00559	HUA YI COPPER	0.430	0.151	184.77
4	00379	PME	0.480	0.185	159.46
5	01387	RENHE COMM	0.810	0.315	157.14
6	00990	THEME INT'L	0.355	0.140	153.57
7	01029	IRC	1.170	0.500	134.00
8	00921	HISENSE KELON	3.330	1.440	131.25
9	00196	HONGHUA GROUP	3.400	1.500	126.67
10	03337	ANTON OILFIELD	4.090	1.810	125.97
11	01251	SPT ENERGY	3.900	1.730	125.43
12	00352	FORTUNE SUN	0.780	0.350	122.86
13	00931	ARTEL GROUP	0.198	0.089	122.47
14	00419	MEDIACHINA CORP	0.390	0.176	121.59
15	00185	XPRESS GROUP	0.315	0.143	120.28
16	00754	HOPSON DEV HOLD	12.380	5.790	113.82
17	00673	CHINA HEALTH	0.340	0.160	112.50
18	01165	SHUNFENG PV	0.360	0.171	110.53
19	02623	CH ZHONGSHENG	2.500	1.230	103.25
20	00554	HANS ENERGY	0.197	0.097	103.09

A Adjusted

20 Most Declined Stocks

for 4th quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Dec 2012	End of Sep 2012	
1	00928	TACK FIORI	0.098	0.407	A -75.92
2	01191	YUESHOU ENV	0.014	0.050	-72.00
3	00326	CHINA STAR ENT	0.142	0.345	-58.84
4	00844	GRAND CONCORD	0.740	1.750	-57.71
5	00641	FONG'S IND	2.220	4.840	-54.13
6	00479	CIL HOLDINGS	0.236	0.510	-53.73
7	00767	PACIFIC PLYWOOD	0.080	0.168	-52.38
8	00279	FREEMAN FIN	0.113	0.221	-48.87
9	01163	DEJIN RES	0.047	0.080	-41.25
10	00033	HARMONIC STR	0.335	0.570	-41.23
11	01130	CHINA ENV RES	0.029	0.049	-40.82
12	02366	QJY MEDIA	0.047	0.079	-40.51
13	01049	CELESTIAL ASIA	0.490	0.800	A -38.75
14	00399	UNITED GENE GP	0.021	0.034	-38.24
15	01139	VICTORY GROUP	0.270	0.425	-36.47
16	00936	EAGLE LEGEND	0.500	0.780	-35.90
17	00766	SINO PROSPER SG	0.222	0.345	-35.65
18	01004	RISING DEV HOLD	0.247	0.380	-35.00
19	00106	SHENZHEN HI-TEC	0.385	0.590	-34.75
20	01166	SOLARTECH INT'L	0.485	0.740	A -34.46

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 4th quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	01299	AIA	106,197.53	4.29
2	00939	CCB	91,659.50	3.71
3	00941	CHINA MOBILE	85,030.04	3.44
4	01398	ICBC	81,381.42	3.29
5	00005	HSBC HOLDINGS	61,407.73	2.48
6	03988	BANK OF CHINA	60,962.53	2.47
7	02318	PING AN	60,155.71	2.43
8	00700	TENCENT	49,970.28	2.02
9	02628	CHINA LIFE	42,289.38	1.71
10	00857	PETROCHINA	41,985.53	1.70
11	00883	CNOOC	39,988.08	1.62
12	00388	HKEX	37,445.33	1.51
13	00386	SINOPEC CORP	37,259.62	1.51
14	00016	SHK PPT	34,529.75	1.40
15	00027	GALAXY ENT	31,668.00	1.28
16	00013	HUTCHISON	29,573.84	1.20
17	03323	CNBM	28,479.52	1.15
18	01288	ABC	27,680.15	1.12
19	01088	CHINA SHENHUA	27,643.45	1.12
20	00001	CHEUNG KONG	26,387.19	1.07
Total			1,001,694.56	40.50

20 Most Active Stock by Shares

for 4th quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	03988	BANK OF CHINA	19,066.57	3.63
2	01398	ICBC	15,787.36	3.01
3	03800	GCL-POLY ENERGY	15,637.23	2.98
4	00939	CCB	15,589.76	2.97
5	01288	ABC	8,098.74	1.54
6	01191	YUESHOU ENV	7,510.28	1.43
7	00076	SOUTH SEA PETRO	7,400.21	1.41
8	00493	GOME	6,573.69	1.25
9	01387	RENHE COMM	6,171.87	1.18
10	00566	APOLLO SOLAR EN	5,942.40	1.13
11	00488	LAI SUN DEV	5,782.38	1.10
12	03333	EVERGRANDE	5,656.45	1.08
13	00702	SINO OIL & GAS	5,461.39	1.04
14	02866	CSCL	4,626.63	0.88
15	00386	SINOPEC CORP	4,554.72	0.87
16	00981	SMIC	4,258.91	0.81
17	00175	GEELY AUTO	4,186.95	0.80
18	00857	PETROCHINA	3,990.49	0.76
19	01339	PICC GROUP	3,832.96	0.73
20	00728	CHINA TELECOM	3,712.72	0.71
Total			153,841.69	29.31

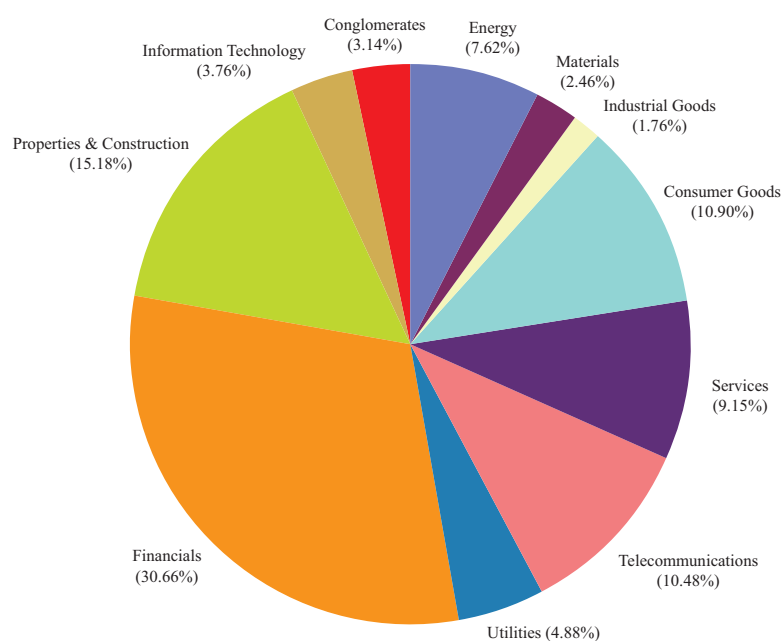
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2011	Q4	17,452,667.08
2012	Q1	19,690,708.60
	Q2	18,351,873.28
	Q3	19,575,154.28
	Q4	21,871,730.17

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 4th quarter end 2012

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,814,016.81	8.29	
2	00005	HSBC Holdings plc	1,501,954.62	6.87	
3	00939	China Construction Bank Corporation – H Shares	1,495,395.73	6.84	
4	00883	CNOOC Ltd.	749,165.01	3.43	
5	01398	Industrial and Commercial Bank of China Ltd. – H Shares	477,367.25	2.18	
6	02888	Standard Chartered PLC	472,557.51	2.16	
7	00700	Tencent Holdings Ltd.	461,149.24	2.11	
8	01299	AIA Group Ltd.	364,331.00	1.67	
9	00013	Hutchison Whampoa Ltd.	344,906.70	1.58	
10	00016	Sun Hung Kai Properties Ltd.	308,716.59	1.41	
11	00805	Glencore International plc *	–	305,983.94	N.A.
12	00762	China Unicom (Hong Kong) Ltd.	292,677.94	1.34	
13	03988	Bank of China Ltd. – H Shares	289,333.08	1.32	
14	02378	Prudential plc *	–	288,968.39	N.A.
15	00001	Cheung Kong (Holdings) Ltd.	275,623.56	1.26	
16	01928	Sands China Ltd.	273,460.42	1.25	
17	02388	BOC Hong Kong (Holdings) Ltd.	254,804.00	1.16	
18	00857	PetroChina Co. Ltd. – H Shares	231,665.92	1.06	
19	00011	Hang Seng Bank Ltd.	226,935.73	1.04	
20	03328	Bank of Communications Co., Ltd. – H Shares	204,469.28	0.93	
21	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	203,119.64	0.93	
22	01913	PRADA S.p.A.	189,225.03	0.87	
23	00945	Manulife Financial Corporation *	–	188,984.94	N.A.
24	00688	China Overseas Land & Investment Ltd.	188,785.19	0.86	
25	02628	China Life Insurance Co. Ltd. – H Shares	188,261.73	0.86	
26	00003	Hong Kong and China Gas Co. Ltd., The	183,806.39	0.84	
27	00004	Wharf (Holdings) Ltd., The	183,572.39	0.84	
28	00066	MTR Corporation Ltd.	176,660.85	0.81	
29	00002	CLP Holdings Ltd.	163,840.32	0.75	
30	00388	Hong Kong Exchanges and Clearing Ltd.	151,653.62	0.69	
31	01972	Swire Properties Ltd.	151,222.50	0.69	
32	00386	China Petroleum & Chemical Corporation – H Shares	147,332.68	0.67	
33	01880	Belle International Holdings Ltd.	141,863.80	0.65	
34	00006	Power Assets Holdings Ltd.	141,288.12	0.65	
35	00151	Want Want China Holdings Ltd.	141,272.26	0.65	
36	00019	Swire Pacific Ltd.	140,174.52	0.64	
37	00101	Hang Lung Properties Ltd.	137,830.12	0.63	
38	00012	Henderson Land Development Co. Ltd.	132,091.89	0.60	
39	00135	Kunlun Energy Co. Ltd.	130,223.25	0.60	
40	00027	Galaxy Entertainment Group Ltd.	127,439.79	0.58	
41	01929	Chow Tai Fook Jewellery Group Ltd.	124,400.00	0.57	
42	01109	China Resources Land Ltd.	122,958.11	0.56	
43	00322	Tingyi (Cayman Islands) Holding Corp.	120,526.94	0.55	
44	01038	Cheung Kong Infrastructure Holdings Ltd.	118,552.66	0.54	
45	01288	Agricultural Bank of China Ltd. – H Shares	117,729.69	0.54	
46	01088	China Shenhua Energy Co. Ltd. – H Shares	115,381.88	0.53	
47	00494	Li & Fung Ltd.	114,332.12	0.52	
48	06808	Sun Art Retail Group Ltd.	113,522.49	0.52	
49	01128	Wynn Macau, Ltd.	108,679.17	0.50	
50	00880	SJM Holdings Ltd.	99,852.20	0.46	
Total			14,214,129.73	64.99	
Market Total			21,871,730.17	100.00	

Notes:

* not included in Market Total

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2011	Q4	324,530.48	3,678,664.31	8.82
2012	Q1	324,025.04	3,855,821.30	8.40
	Q2	297,380.47	3,061,167.30	9.71
	Q3	295,053.14	2,967,517.56	9.94
	Q4	285,387.99	3,416,543.59	8.35

* Included Market total for Main Board and GEM

Short Selling (share)

		Short selling turnover (Mil. shs)	Market turnover (Mil. shs) *	% of market total
2011	Q4	33,276.30	10,007,013.98	0.33
2012	Q1	33,605.28	9,790,931.90	0.34
	Q2	30,899.22	8,245,256.75	0.37
	Q3	29,541.26	8,151,536.18	0.36
	Q4	28,450.72	7,779,826.30	0.37

* Included Market total for Main Board and GEM

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.)#
2011	Q4	26	1	64,868.56
2012	Q1	15	0	9,607.54
	Q2	10	0	20,429.18
	Q3	12	2	13,628.71
	Q4	13	0	45,026.13

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 4th quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
03816	KFM Kingdom Holdings Ltd.	2012/10/15	600,000,000	102.00
01240	Sunley Holdings Ltd.	2012/10/18	300,000,000	66.00
02196	Shanghai Fosun Pharmaceutical (Group) Co., Ltd. – H Shares	2012/10/30	336,070,000	3,965.63
02223	Casablanca Group Ltd.	2012/11/23	200,000,000	75.00
00884	CIFI Holdings (Group) Co. Ltd.	2012/11/23	5,770,000,000	1,669.15
01314	Tsui Wah Holdings Ltd.	2012/11/26	1,383,334,000	870.17
01030	Future Land Development Holdings Ltd.	2012/11/29	5,668,000,000	2,056.10
00564	Zhengzhou Coal Mining Machinery Group Co. Ltd. – H Shares	2012/12/05	243,234,200	2,295.25
01339	The People's Insurance Co. (Group) of China Ltd. – H Shares	2012/12/07	8,726,234,000	27,606.63
02039	China International Marine Containers (Group) Co., Ltd. – H Shares	2012/12/19	1,430,480,509	–
01829	China Machinery Engineering Corporation – H Shares	2012/12/21	908,270,000	4,458.78
00815	China Silver Group Ltd.	2012/12/28	882,360,000	187.43
02236	Wison Engineering Services Co. Ltd.	2012/12/28	4,000,000,000	1,674.00
Total				45,026.13

Main Board Equities

Withdrawal of Listed Companies

for 4th quarter 2012

Code	Company name	Last trading date	Delisted date
NIL			

Main Board Equities

Company Name Changes

for 4th quarter 2012

Code	Old name	New name	Effective date	Adoption date
00720	Wo Kee Hong (Holdings) Ltd.	Auto Italia Holdings Ltd.	2012/09/06	2012/10/12
01228	Superb Summit International Timber Co. Ltd.	Superb Summit International Group Ltd.	2012/09/19	2012/10/18
01206	Technovator International Ltd. #	Technovator International Ltd.	2012/10/22	2012/10/26
02322	Sam Woo Holdings Ltd.	Noble Century Investment Holdings Ltd.	2012/09/10	2012/10/29
01049	Net2Gather (China) Holdings Ltd.	Celestial Asia Securities Holdings Ltd.	2012/10/08	2012/11/05
00936	Manta Holdings Co. Ltd.	Eagle Legend Asia Ltd.	2012/09/26	2012/11/08
01141	Beijing Yu Sheng Tang Pharmaceutical Group Ltd.	Poly Capital Holdings Ltd.	2012/10/22	2012/11/15
00910	China Grand Forestry Green Resources Group Ltd.	China Sandi Holdings Ltd.	2012/10/11	2012/11/27
00668	Doxen Energy Group Ltd.	Dowell Property Holdings Ltd.	2012/11/23	2012/11/30
00009	China Mandarin Holdings Ltd.	Cheung Wo International Holdings Ltd.	2012/10/19	2012/12/10

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues/Bonus Warrants

for 4th quarter 2012

Code	Company	Particulars	Ex-date
00901	Radford Capital Investment Ltd.	1 for 4	2012/10/05
01366	Jiangnan Group Ltd.	1 for 1	2012/11/02
00326	China Star Entertainment Ltd.	2 for 1	2012/12/03
		(with option to receive Bonus Convertible Bonds in lieu of all or part of the Bonus shs)	
02882	Hong Kong Resources Holdings Co. Ltd.	1 bonus wt for 4 rts shs	2012/12/27

Share Split/Consolidation

for 4th quarter 2012

Code	Company	Particulars	Effective date
06488	SBI Holdings, Inc. – DR	Split 1 HDR into 10 HDRs	2012/10/03
01049	Celestial Asia Securities Holdings Ltd.	Consolidation 10 into 1	2012/10/08
00578	Rosan Resources Holdings Ltd.	Consolidation 10 into 1	2012/10/11
01041	China New Energy Power Group Ltd.	Consolidation 4 into 1	2012/10/12
00674	Culture Landmark Investment Ltd.	Consolidation 20 into 1	2012/10/17
00329	Dragonite International Ltd.	Consolidation 20 into 1	2012/11/01
01166	Solartech International Holdings Ltd.	Consolidation 20 into 1	2012/11/15
00885	Forefront Group Ltd.	Consolidation 10 into 1	2012/11/19
00402	Mongolia Investment Group Ltd.	Consolidation 5 into 1	2012/11/20
00616	Easyknit Enterprises Holdings Ltd.	Consolidation 20 into 1	2012/12/11
00162	Century Ginwa Retail Holdings Ltd.	Consolidation 4 into 1	2012/12/13
00145	Hong Kong Building and Loan Agency Ltd., The	Consolidation 10 into 1	2012/12/20

Main Board Equities

Rights Issues & Open Offers

for 4th quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)			
Oct	00767	Pacific Plywood Holdings Ltd.	Rts 2 for 1 @\$0.56	191.75			
			with bonus 5 for 1 rts sh				
			(after consolidation 8 into 1)				
	00620	UDL Holdings Ltd.	Rts 1 for 3 @\$0.70	47.65			
			(after consolidation 50 into 1)				
	03813	Pou Sheng International (Holdings) Ltd.	Rts 1 for 4 @\$0.491	523.70			
Nov	00330	Esprit Holdings Ltd.	Rts 1 for 2 @\$8.00	5,168.70			
			01182		C Y Foundation Group Ltd.	Open offer 1 for 2 @\$0.10	34.84
						00928	Tack Fiori International Group Ltd.
Dec	00530	Goldin Financial Holdings Ltd.	Rts 11 for 10 @\$1.07	3,909.20			
			01198		Royale Furniture Holdings Ltd.	Open offer 1 for 2 @\$0.51	197.43
	00757	Solargiga Energy Holdings Ltd.	Open offer 1 for 9 @\$0.375	93.42			
	00606	China Agri-Industries Holdings Ltd.	Rts 3 for 10 @\$3.39	4,107.02			
	00273	Willie International Holdings Ltd.	Rts 1 for 2 @\$1.05	43.91			
	02000	SIM Technology Group Ltd.	Rts 1 for 2 @\$0.20	170.50			
Total				14,567.67			

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2012						
Oct	00986	CH ENV ENERGY	8,100,000	0.570	4.62	
	00906	COFCO PACKAGING	166,000,000	5.510	914.66	
	00497	CSI PROPERTIES	1,212,200,000	0.335	406.09	
	00544	DAIDO GROUP	345,000,000	0.135	46.58	
	00737	HOPEWELL INFR	120,000,000	3.994	479.28	
	00295	KONG SUN HOLD	750,000,000	0.070	52.50	
	01082	MODERN EDU	80,000,000	0.420	33.60	
	03989	NEW ENV ENERGY	183,132,000	0.450	82.41	
	00850	PETROASIAN	312,500,000	0.160	50.00	
	00223	SRGL	174,000,000	0.115	20.01	
	Nov	01193	CHINA RES GAS	160,000,000	16.950	2,712.00
		00479	CIL HOLDINGS	50,000,000	0.400	20.00
		02601	CPIC	462,000,000	22.500	10,395.00
01163		DEJIN RES	570,000,000	0.065	37.05	
00703		FUTURE BRIGHT	75,000,000	1.200	90.00	
00007		KARL THOMSON	820,000,000	0.250	205.00	
00136		MASCOTTE HOLD	68,501,684	0.170	11.65	
00166		NEWTIMES ENERGY	103,954,000	0.900	93.56	
02322		NOBLE CENTURY	120,000,000	0.250	30.00	
00913		UNITY INV HOLD	32,339,200	0.550	17.79	
Dec	00023	BANK OF E ASIA	111,572,600	29.590	3,301.43	
	00922	CHINA BOON HLDG	400,000,000	0.100	40.00	
	00916	CHINA LONGYUAN	572,100,000	5.080	2,906.27	
	00002	CLP HOLDINGS	120,307,170	63.250	7,609.43	
	00712	COMTEC SOLAR	50,000,000	1.150	57.50	
	00388	HKEX	65,705,000	118.000	7,753.19	
	00559	HUA YI COPPER	599,000,000	0.190	113.81	
	03828	MING FAI INT'L	45,585,550	1.000	45.59	
	01142	SIBERIAN MINING	70,000,000	0.250	17.50	
	01166	SOLARTECH INT'L	17,650,000	0.375	6.62	
	01251	SPT ENERGY	190,000,000	2.900	551.00	
	01002	V.S. INT'L	115,000,000	0.168	19.32	
	00897	WAI YUEN TONG	250,000,000	0.125	31.25	
	01129	WATER INDUSTRY	138,000,000	0.510	70.38	
00346	YANCHANG PETRO	1,300,000,000	0.510	663.00		
Total					38,888.06	

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2011	Q4	100.62	509,956.45	510,057.08
2012	Q1	28.26	525,898.84	525,927.10
	Q2	22.75	382,702.18	382,724.93
	Q3	10.37	355,739.26	355,749.63
	Q4	18.06	382,100.50	382,118.56

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2011	Q4	13	602.75	4,027	75,484.38	4,040	76,087.13
2012	Q1	13	404.92	4,094	94,572.19	4,107	94,977.11
	Q2	14	378.29	4,032	64,850.10	4,046	65,228.38
	Q3	12	335.18	3,903	77,929.72	3,915	78,264.90
	Q4	10	521.90	3,747	105,257.03	3,757	105,778.92

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2011	Q4	1	1,276	53,214.21
2012	Q1	1	1,580	50,958.90
	Q2	2	1,373	44,256.44
	Q3	0	1,328	36,903.66
	Q4	0	1,605	42,042.21

Newly Listed Equity Warrants

for 4th quarter 2012

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 4th quarter 2012

Code	Derivative warrants	No. of		Amount raised	
		issue (units)	Listing date	Expiry	(HK\$ mil.)
20513	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Jan 2014 A	31,000,000	2012/10/03	2014/01/03	10.23
20511	BNP Paribas Arbit Issu B.V. – Chi Resources Eu Wt Aug 2013 A	56,000,000	2012/10/03	2013/08/02	15.68
20510	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts May 2013 C	100,000,000	2012/10/03	2013/05/03	29.00
20514	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Apr 2013 B	100,000,000	2012/10/03	2013/04/03	23.00
20512	BNP Paribas Arbit Issu B.V. – Poly HK Inv Eur Wts Aug 2013 A	34,000,000	2012/10/03	2013/08/02	12.58
20500	Nomura Int'l plc – BOC HK European Warrants Jun 2013 A	50,000,000	2012/10/03	2013/06/28	12.50
20497	Nomura Int'l plc – CC Bank European Warrants Feb 2013 A	50,000,000	2012/10/03	2013/02/28	11.75
20501	Nomura Int'l plc – CNBM European Warrants Dec 2013 A	75,000,000	2012/10/03	2013/12/23	12.00
20498	Nomura Int'l plc – China Shenhua European Wts Feb 2013 A	50,000,000	2012/10/03	2013/02/28	11.50
20492	Nomura Int'l plc – HSBC European Warrants Mar 2013 A	25,000,000	2012/10/03	2013/03/28	12.75
20493	Nomura Int'l plc – HSBC European Put Warrants Apr 2013 A	50,000,000	2012/10/03	2013/04/30	12.50
20495	Nomura Int'l plc – JIANG European Warrants May 2013 A	50,000,000	2012/10/03	2013/05/31	12.50
20496	Nomura Int'l plc – Jiangxi Copper European Put Wts Jul 2013 A	50,000,000	2012/10/03	2013/07/31	12.50
20494	Nomura Int'l plc – New World Dev European Wts Sep 2014 A	50,000,000	2012/10/03	2014/09/30	12.50
20499	Nomura Int'l plc – Yanzhou Coal European Warrants Mar 2014 A	50,000,000	2012/10/03	2014/03/31	12.50
20507	CC Rabobank B.A. – CHALCO European Warrants Apr 2013 A	80,000,000	2012/10/03	2013/04/02	20.00
20504	CC Rabobank B.A. – BYD European Warrants Jul 2013 A	80,000,000	2012/10/03	2013/07/15	20.00
20503	CC Rabobank B.A. – China Coal European Warrants Jan 2013 A	80,000,000	2012/10/03	2013/01/28	20.00
20505	CC Rabobank B.A. – Chi Resources European Wts Apr 2013 A	60,000,000	2012/10/03	2013/04/12	15.00
20506	CC Rabobank B.A. – Weichai Power European Wts Apr 2013 A	60,000,000	2012/10/03	2013/04/02	15.00
20502	SGA Societe Generale Acceptance N.V-Sands China Eu Wt Apr13A	100,000,000	2012/10/03	2013/04/10	25.00
20509	UBS AG – HSCEI European Warrants Feb 2013 A	100,000,000	2012/10/03	2013/02/27	19.00
20508	UBS AG – HSI European Warrants Jun 2013 A	300,000,000	2012/10/03	2013/06/27	45.00
19912 #	Standard Chartered Bank – China Mobile Euro Wts Dec 2012 A	100,000,000	2012/10/03	2012/12/03	15.70
20524	Credit Suisse AG – AIA European Warrants Jun 2013 A	80,000,000	2012/10/04	2013/06/07	16.00
20522	Credit Suisse AG – HSI European Put Warrants Mar 2013 B	200,000,000	2012/10/04	2013/03/27	40.00
20525	J P Morgan SP BV – China Mob European Wts Mar 2013 C	200,000,000	2012/10/04	2013/03/01	34.20
20526	J P Morgan SP BV – HKEx European Warrants May 2013 A	100,000,000	2012/10/04	2013/05/06	38.40
20519	CC Rabobank B.A. – Anhui Conch European Warrants May 2013 A	50,000,000	2012/10/04	2013/05/20	12.50
20518	CC Rabobank B.A. – CITIC Bank European Warrants Mar 2013 A	50,000,000	2012/10/04	2013/03/27	10.00
20520	CC Rabobank B.A. – Minsheng Bank European Wts Mar 2013 B	50,000,000	2012/10/04	2013/03/04	12.50
20516	CC Rabobank B.A. – China Tel European Warrants Feb 2013 B	70,000,000	2012/10/04	2013/02/25	10.50
20517	CC Rabobank B.A. – Ping An European Warrants Feb 2013 A	100,000,000	2012/10/04	2013/02/25	15.00
20527	Standard Chartered Bank – Geely Auto European Wts Oct 2013 A	80,000,000	2012/10/04	2013/10/08	24.00
20521	Standard Chartered Bank – HSI European Warrants Mar 2013 D	200,000,000	2012/10/04	2013/03/27	30.00
20515	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Apr13A	50,000,000	2012/10/04	2013/04/08	12.50
20528	UBS AG – Ping An European Warrants Mar 2013 A	100,000,000	2012/10/04	2013/03/06	42.00
19197 #	HK Bank – A50 China European Warrants Jan 2013 A	180,000,000	2012/10/04	2013/01/28	10.98
20544	Credit Suisse AG – HSBC European Wts Apr 2013 C	80,000,000	2012/10/05	2013/04/30	15.20
20548	Bank of East Asia – CM Bank European Warrants Jan 2014 A	130,000,000	2012/10/05	2014/01/29	32.50
20547	Bank of East Asia – Esprit European Put Warrants May 2013 A	140,000,000	2012/10/05	2013/05/29	21.00
20551	Bank of East Asia – R&F Ppt European Warrants Nov 2014 A	190,000,000	2012/10/05	2014/11/04	47.50
20552	Bank of East Asia – R&F Ppt European Put Warrants Jul 2014 A	190,000,000	2012/10/05	2014/07/04	47.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20546	Bank of East Asia – JIANG European Warrants Nov 2013 A	70,000,000	2012/10/05	2013/11/26	17.50
20550	Bank of East Asia – Li & Fung European Warrants Nov 2013 A	140,000,000	2012/10/05	2013/11/25	35.00
20549	Bank of East Asia – Li & Fung European Put Wts Dec 2013 A	140,000,000	2012/10/05	2013/12/31	35.00
20545	Bank of East Asia – Zhaojin Mining European Wts Nov 2013 A	100,000,000	2012/10/05	2013/11/06	25.00
20540	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 C	80,000,000	2012/10/05	2013/02/25	18.08
20533	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Oct 2013 A	60,000,000	2012/10/05	2013/10/21	11.58
20532	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Wts May 2014 A	60,000,000	2012/10/05	2014/05/28	12.60
20536	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2013 C	60,000,000	2012/10/05	2013/02/25	16.80
20531	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2013 A	40,000,000	2012/10/05	2013/04/15	15.20
20529	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2013 B	50,000,000	2012/10/05	2013/01/25	12.10
20538	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Mar 2013 B	100,000,000	2012/10/05	2013/03/27	19.80
20539	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2013 A	100,000,000	2012/10/05	2013/06/27	15.20
20535	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Mar 2013 A	80,000,000	2012/10/05	2013/03/27	12.08
20534	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2013 A	40,000,000	2012/10/05	2013/04/29	10.60
20530	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Feb 2013A	60,000,000	2012/10/05	2013/02/25	12.66
20542	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Dec 2014A	500,000,000	2012/10/05	2014/12/22	75.00
20541	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2013A	50,000,000	2012/10/05	2013/03/04	15.00
20543	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Apr2013A	300,000,000	2012/10/05	2013/04/22	51.00
20554	UBS AG – Cheung Kong European Warrants Jun 2013 A	100,000,000	2012/10/05	2013/06/24	80.00
20553	UBS AG – Esprit European Warrants Jun 2013 A	100,000,000	2012/10/05	2013/06/25	19.00
20556	UBS AG – HSBC European Warrants Jun 2013 A	100,000,000	2012/10/05	2013/06/10	25.00
20555	UBS AG – HSI European Warrants Mar 2013 D	300,000,000	2012/10/05	2013/03/27	54.00
20567	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2013 B	100,000,000	2012/10/08	2013/03/27	21.00
20566	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2013 A	100,000,000	2012/10/08	2013/03/27	19.00
20560	HK Bank – Anhui Conch European Warrants Jun 2013 A	80,000,000	2012/10/08	2013/06/28	20.00
20559	HK Bank – Zijin Mining European Warrants Jul 2013 A	80,000,000	2012/10/08	2013/07/09	20.00
20561	Macquarie Bank Ltd. – CITIC Bank European Wts Jul 2013 A	120,000,000	2012/10/08	2013/07/03	30.00
20562	Macquarie Bank Ltd. – Esprit European Warrants Jul 2013 A	180,000,000	2012/10/08	2013/07/03	27.00
20563	Macquarie Bank Ltd. – Tencent European Put Wts Apr 2013 A	250,000,000	2012/10/08	2013/04/08	62.50
20558	Standard Chartered Bank – Li & Fung European Wts Dec 2013 A	80,000,000	2012/10/08	2013/12/02	24.00
20557	Standard Chartered Bank – Ping An European Wts Feb 2013 B	80,000,000	2012/10/08	2013/02/25	12.00
20564	UBS AG – HSCEI European Put Warrants Feb 2013 B	100,000,000	2012/10/08	2013/02/27	15.00
20565	UBS AG – HSI European Put Warrants Mar 2013 E	300,000,000	2012/10/08	2013/03/27	51.00
19551 #	Daiwa Capital Mkt – A50 China European Warrants Jul 2013 A	800,000,000	2012/10/08	2013/07/05	97.60
20574	Credit Suisse AG – China Mobile European Put Wts Feb 2013 C	80,000,000	2012/10/09	2013/02/18	14.40
20573	Credit Suisse AG – HSI European Warrants Mar 2013 C	200,000,000	2012/10/09	2013/03/27	34.00
20575	Credit Suisse AG – Sands China European Warrants Apr 2013 A	70,000,000	2012/10/09	2013/04/10	17.50
20571	Standard Chartered Bank-China Life European Wts Feb 2013 C	100,000,000	2012/10/09	2013/02/18	15.00
20572	Standard Chartered Bank – A50 China European Put Wts Jun13A	50,000,000	2012/10/09	2013/06/05	20.00
20568	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Mar 2013A	70,000,000	2012/10/09	2013/03/25	10.50
20570	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2013 A	50,000,000	2012/10/09	2013/04/10	12.50
20569	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Jul 13 A	300,000,000	2012/10/09	2013/07/08	75.00
20584	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jun 2013 A	100,000,000	2012/10/10	2013/06/04	16.00
20586	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt May 2013A	55,000,000	2012/10/10	2013/05/02	13.75

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20585	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Eu Wt Aug 2013 A	25,000,000	2012/10/10	2013/08/02	10.25
20589	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Jun 2013 A	100,000,000	2012/10/10	2013/06/04	30.00
20579	HK Bank – Sands China European Warrants Jun 2013 A	80,000,000	2012/10/10	2013/06/28	20.00
20577	HK Bank – Tencent European Put Warrants Apr 2013 D	100,000,000	2012/10/10	2013/04/22	25.00
20583	J P Morgan SP BV – Hutchison European Warrants Apr 2013 A	150,000,000	2012/10/10	2013/04/02	23.10
20607	J P Morgan SP BV – ICBC European Warrants Apr 2013 B	200,000,000	2012/10/10	2013/04/02	30.20
20590	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013B	200,000,000	2012/10/10	2013/04/10	52.00
20593	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2013C	200,000,000	2012/10/10	2013/04/10	30.00
20591	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2013B	200,000,000	2012/10/10	2013/06/05	44.00
20592	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2013A	200,000,000	2012/10/10	2013/07/03	38.00
20595	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb2013B	168,000,000	2012/10/10	2013/02/25	70.56
20597	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar2013A	168,000,000	2012/10/10	2013/03/04	45.36
20594	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr2013B	168,000,000	2012/10/10	2013/04/10	105.84
20596	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May2013A	168,000,000	2012/10/10	2013/05/06	70.56
20605	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2013 A	168,000,000	2012/10/10	2013/03/25	35.28
20604	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2013 A	168,000,000	2012/10/10	2013/04/10	78.96
20606	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2013 A	168,000,000	2012/10/10	2013/06/03	45.36
20600	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2013 D	300,000,000	2012/10/10	2013/03/27	60.00
20598	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 A	300,000,000	2012/10/10	2013/05/30	81.00
20601	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 B	300,000,000	2012/10/10	2013/05/30	54.00
20599	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2013 B	300,000,000	2012/10/10	2013/06/27	75.00
20603	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr2013A	300,000,000	2012/10/10	2013/04/29	78.00
20602	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun2013C	300,000,000	2012/10/10	2013/06/27	75.00
20582	UBS AG – AIA European Warrants Sep 2013 A	100,000,000	2012/10/10	2013/09/16	25.00
20580	UBS AG – BYD European Warrants Jul 2013 A	100,000,000	2012/10/10	2013/07/08	15.00
20581	UBS AG – Tencent Holdings European Warrants Jul 2013 A	200,000,000	2012/10/10	2013/07/29	50.00
20621	BOCI Asia Ltd. – CNOOC European Put Warrants Jun 2013 A	100,000,000	2012/10/11	2013/06/18	15.00
20623	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2013 A	100,000,000	2012/10/11	2013/04/22	18.00
20622	BOCI Asia Ltd. -Tencent Holdings European Put Wts Mar 2013 B	100,000,000	2012/10/11	2013/03/04	17.00
20627	Macquarie Bank Ltd. – AIA European Warrants Oct 2013 A	150,000,000	2012/10/11	2013/10/03	37.50
20630	Macquarie Bank Ltd. – Esprit European Warrants Apr 2013 A	150,000,000	2012/10/11	2013/04/03	22.50
20624	Macquarie Bank Ltd. – HSBC European Warrants Apr 2013 B	180,000,000	2012/10/11	2013/04/03	30.96
20625	Macquarie Bank Ltd. – Swire Properties Euro Wts Jun 2013 A	150,000,000	2012/10/11	2013/06/04	37.50
20616	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Jul 2013 A	60,000,000	2012/10/11	2013/07/02	11.82
20618	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Mar 2013 B	60,000,000	2012/10/11	2013/03/01	14.16
20615	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2013 A	40,000,000	2012/10/11	2013/04/22	10.80
20617	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2013 B	40,000,000	2012/10/11	2013/04/22	18.60
20620	Standard Chartered Bank – Galaxy Ent European Wts Apr 2013 A	80,000,000	2012/10/11	2013/04/08	18.80
20619	Standard Chartered Bank – Tencent Hldg Euro Wts Aug 2013 A	100,000,000	2012/10/11	2013/08/08	25.00
20614	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2013 B	150,000,000	2012/10/11	2013/04/03	45.00
20612	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 B	150,000,000	2012/10/11	2013/05/20	39.00
20613	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 C	150,000,000	2012/10/11	2013/05/06	69.75
20611	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2013 A	150,000,000	2012/10/11	2013/04/15	78.00
20610	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 A	200,000,000	2012/10/11	2013/05/06	55.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20609	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 13 A	150,000,000	2012/10/11	2013/06/03	53.25
20608	SGA Societe Generale Acceptance N.V.-Tencent Eu Put Wt Mar13C	300,000,000	2012/10/11	2013/03/25	49.50
20629	UBS AG – CNOOC European Warrants Nov 2013 B	200,000,000	2012/10/11	2013/11/26	34.00
20628	UBS AG – ICBC European Warrants Apr 2013 A	100,000,000	2012/10/11	2013/04/11	25.00
16576 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012	140,000,000	2012/10/11	2012/12/28	10.64
19077 #	Macquarie Bank Ltd. – CNOOC European Warrants Feb 2013 A	200,000,000	2012/10/11	2013/02/06	14.60
20637	BOCI Asia Ltd. – China Mobile European Put Wts Feb 2013 A	100,000,000	2012/10/12	2013/02/18	16.00
20638	BOCI Asia Ltd. – HSBC European Put Warrants Jan 2013 B	100,000,000	2012/10/12	2013/01/21	16.00
20647	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2013 A	100,000,000	2012/10/12	2013/06/27	15.20
20645	Macquarie Bank Ltd. – AIA European Put Warrants Jul 2013 B	150,000,000	2012/10/12	2013/07/03	37.50
20644	Macquarie Bank Ltd. – Galaxy Ent European Wts May 2013 A	150,000,000	2012/10/12	2013/05/03	37.50
20643	Macquarie Bank Ltd. – Sands China European Wts Apr 2013 A	150,000,000	2012/10/12	2013/04/03	22.80
20646	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2013 C	100,000,000	2012/10/12	2013/03/27	15.00
20635	Nomura Int'l plc – Agricultural Bk Euro Warrants May 2013 A	50,000,000	2012/10/12	2013/05/31	12.50
20633	Nomura Int'l plc – China Mobile European Warrants Mar 2013 A	25,000,000	2012/10/12	2013/03/11	15.00
20634	Nomura Int'l plc – China Mobile European Put Wts Apr 2013 A	50,000,000	2012/10/12	2013/04/30	12.50
20632	Nomura Int'l plc – Hutchison European Warrants Mar 2013 A	50,000,000	2012/10/12	2013/03/13	12.75
20631	Nomura Int'l plc – Hutchison European Warrants Apr 2013 A	25,000,000	2012/10/12	2013/04/30	15.00
20636	Standard Chartered Bank – China Overseas Euro Wt Aug 2013 A	80,000,000	2012/10/12	2013/08/08	20.00
20639	UBS AG – CC Bank European Warrants Feb 2013 C	200,000,000	2012/10/12	2013/02/05	30.00
20641	UBS AG – China Life European Warrants Mar 2013 C	300,000,000	2012/10/12	2013/03/25	54.00
20640	UBS AG – China Mobile European Warrants Feb 2013 E	200,000,000	2012/10/12	2013/02/04	30.00
20642	UBS AG – HSBC European Warrants Mar 2013 A	200,000,000	2012/10/12	2013/03/18	46.00
20648	HK Bank – AIA European Put Warrants Apr 2013 A	100,000,000	2012/10/15	2013/04/15	25.00
20650	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Mar 2013 A	60,000,000	2012/10/15	2013/03/28	11.28
20651	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Jun 2013 B	60,000,000	2012/10/15	2013/06/10	12.54
20654	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2013 A	70,000,000	2012/10/15	2013/04/08	10.64
20653	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jul 2013 A	70,000,000	2012/10/15	2013/07/22	10.57
20652	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Aug 2013 A	70,000,000	2012/10/15	2013/08/05	10.99
20649	UBS AG – BOCL European Warrants Feb 2013 A	100,000,000	2012/10/15	2013/02/21	18.00
19987 #	Credit Suisse AG – Tencent Holdings European Wts Jan 2013 C	100,000,000	2012/10/15	2013/01/07	11.20
19869 #	UBS AG – China Mobile European Warrants Jan 2013 A	200,000,000	2012/10/15	2013/01/28	13.60
20669	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2013 A	200,000,000	2012/10/16	2013/07/04	74.00
20668	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2013 A	100,000,000	2012/10/16	2013/04/08	17.00
20667	BNP Paribas Arbit Issu B.V. – HLand Dev Euro Wts Jul 2013 A	57,000,000	2012/10/16	2013/07/03	19.95
20670	BNP Paribas Arbit Issu B.V. – SHK Ppt Eu Put Wts Feb 2014 A	100,000,000	2012/10/16	2014/02/04	25.00
20666	Credit Suisse AG – HKEx European Put Warrants May 2013 A	50,000,000	2012/10/16	2013/05/27	22.50
20665	Credit Suisse AG – New World Dev European Wts Apr 2013 A	50,000,000	2012/10/16	2013/04/22	30.00
20682	Daiwa Capital Mkt – China Mobile European Put Wts Mar 2013 C	98,000,000	2012/10/16	2013/03/11	20.78
20681	Daiwa Capital Mkt – Sinopec Corp European Put Wts Apr 2013 A	80,000,000	2012/10/16	2013/04/16	31.20
20658	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2013 D	80,000,000	2012/10/16	2013/02/14	12.00
20657	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Apr 2013 B	80,000,000	2012/10/16	2013/04/29	23.28
20679	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2013 A	200,000,000	2012/10/16	2013/05/02	50.00
20678	J P Morgan SP BV – China Life European Warrants Jun 2013 A	300,000,000	2012/10/16	2013/06/03	45.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20677	J P Morgan SP BV – China Life European Warrants Jul 2013 B	300,000,000	2012/10/16	2013/07/22	45.00
20676	J P Morgan SP BV – Esprit European Wts Oct 2013 A	150,000,000	2012/10/16	2013/10/03	37.50
20671	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts May 2013 A	180,000,000	2012/10/16	2013/05/03	45.00
20672	Macquarie Bank Ltd. – Zijin Mining European Wts Apr 2013 A	100,000,000	2012/10/16	2013/04/03	15.00
20662	CC Rabobank B.A. – Ch Comm Cons European Warrants Apr 2013 A	80,000,000	2012/10/16	2013/04/16	28.00
20659	CC Rabobank B.A. – China Oilfield European Wts Sep 2013 A	80,000,000	2012/10/16	2013/09/23	20.00
20660	CC Rabobank B.A. – Sands China European Warrants Apr 2013 A	80,000,000	2012/10/16	2013/04/03	12.00
20664	CC Rabobank B.A. – SJM Holdings European Warrants Jun 2013 A	100,000,000	2012/10/16	2013/06/24	25.00
20661	CC Rabobank B.A. – Wharf European Warrants Apr 2013 A	60,000,000	2012/10/16	2013/04/16	15.00
20680	Standard Chartered Bank – HKEx European Warrants Jan 2013 A	80,000,000	2012/10/16	2013/01/16	12.00
20656	Standard Chartered Bank – Tencent Hldg Euro Wts Mar 2013 A	80,000,000	2012/10/16	2013/03/04	12.00
20673	UBS AG – Sinopec Corp European Warrants Dec 2015 A	200,000,000	2012/10/16	2015/12/28	30.00
20655	UBS AG – HSBC European Put Warrants Apr 2013 B	100,000,000	2012/10/16	2013/04/02	19.00
20675	UBS AG – HSCEI European Warrants Dec 2013 A	100,000,000	2012/10/16	2013/12/30	19.70
20674	UBS AG – HSCEI European Put Warrants Mar 2013 B	100,000,000	2012/10/16	2013/03/27	16.00
20696	Credit Suisse AG – China Mobile European Warrants Feb 2013 D	80,000,000	2012/10/17	2013/02/25	12.00
20697	Credit Suisse AG – ICBC European Warrants Mar 2013 A	80,000,000	2012/10/17	2013/03/25	12.00
20695	Credit Suisse AG – Lenovo European Warrants Feb 2013 A	50,000,000	2012/10/17	2013/02/18	15.00
20684	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 B	300,000,000	2012/10/17	2013/06/27	45.00
20683	J P Morgan SP BV – HSI European Put Warrants Apr 2013 B	300,000,000	2012/10/17	2013/04/29	75.00
20693	Macquarie Bank Ltd. – China Life European Wts Aug 2013 A	150,000,000	2012/10/17	2013/08/02	22.50
20694	Macquarie Bank Ltd. – PICC P&C European Warrants May 2013 A	100,000,000	2012/10/17	2013/05/03	25.00
20692	Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Jun 2013 A	150,000,000	2012/10/17	2013/06/04	22.50
20688	CC Rabobank B.A. – Digital China European Wts Nov 2013 A	100,000,000	2012/10/17	2013/11/25	25.00
20686	CC Rabobank B.A. – Geely Auto European Warrants Apr 2013 A	60,000,000	2012/10/17	2013/04/17	15.00
20685	CC Rabobank B.A. – HSBC European Warrants Mar 2013 A	150,000,000	2012/10/17	2013/03/18	30.00
20687	CC Rabobank B.A. – MGM China European Warrants Sep 2013 A	100,000,000	2012/10/17	2013/09/23	25.00
20689	CC Rabobank B.A. – Zoomlion European Warrants Apr 2014 A	100,000,000	2012/10/17	2014/04/22	25.00
20691	Standard Chartered Bank – SHK P European Warrants Jul 2013 A	80,000,000	2012/10/17	2013/07/03	20.00
20690	UBS AG – BOCL European Warrants Apr 2013 A	100,000,000	2012/10/17	2013/04/11	20.20
20702	BOCI Asia Ltd. – AIA European Warrants Jun 2013 A	100,000,000	2012/10/18	2013/06/03	18.00
20703	BOCI Asia Ltd. – Chi Res Land European Warrants Jun 2013 A	100,000,000	2012/10/18	2013/06/03	25.00
20714	Credit Suisse AG – CC Bank European Warrants Jan 2013 C	80,000,000	2012/10/18	2013/01/28	12.00
20712	Daiwa Capital Mkt – BOCL European Put Warrants Jun 2013 A	80,000,000	2012/10/18	2013/06/10	20.00
20711	Daiwa Capital Mkt – ICBC European Warrants May 2013 A	128,000,000	2012/10/18	2013/05/27	19.20
20706	Goldman Sachs SP (Asia) – CITIC Pacific Euro Wts Sep 2013 A	60,000,000	2012/10/18	2013/09/30	34.50
20708	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2013 B	80,000,000	2012/10/18	2013/04/24	12.48
20709	Goldman Sachs SP (Asia) – HSI European Warrants May 2013 A	100,000,000	2012/10/18	2013/05/30	16.20
20707	Goldman Sachs SP (Asia) – Swire Properties Eu Wts Jul 2013 A	80,000,000	2012/10/18	2013/07/15	20.00
20715	J P Morgan SP BV – AIA European Warrants Jun 2013 A	200,000,000	2012/10/18	2013/06/03	50.00
20716	J P Morgan SP BV – AIA European Warrants Sep 2013 A	200,000,000	2012/10/18	2013/09/09	30.20
20710	Macquarie Bank Ltd. – Angang Steel European Wts May 2013 A	100,000,000	2012/10/18	2013/05/03	25.00
20704	Macquarie Bank Ltd. – GAC European Wts May 2013 A	100,000,000	2012/10/18	2013/05/03	49.40
20713	Macquarie Bank Ltd. – Gome European Warrants Jun 2014 A	100,000,000	2012/10/18	2014/06/04	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20705	Macquarie Bank Ltd. – Li & Fung European Warrants Jan 2014 A	150,000,000	2012/10/18	2014/01/03	37.50
20699	Standard Chartered Bank – CC Bank European Wts Mar 2013 A	100,000,000	2012/10/18	2013/03/12	15.00
20701	Standard Chartered Bank – China Mobile Euro Wts Feb 2013 B	100,000,000	2012/10/18	2013/02/18	25.00
20700	Standard Chartered Bank – ICBC European Warrants Mar 2013 B	100,000,000	2012/10/18	2013/03/27	17.00
20698	UBS AG – Tencent Holdings European Warrants Feb 2013 B	200,000,000	2012/10/18	2013/02/18	45.00
20724	BNP Paribas Arbit Issu B.V. – ICBC European Wts Dec 2013 A	200,000,000	2012/10/19	2013/12/03	50.00
20725	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Oct 2013 A	100,000,000	2012/10/19	2013/10/03	69.00
20723	BNP Paribas Arbit Issu B.V. – Swire Pacific A Eu Wt Jun 13 A	24,000,000	2012/10/19	2013/06/04	11.76
20720	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2013 A	100,000,000	2012/10/19	2013/06/27	16.10
20719	HK Bank – HSI European Put Warrants Mar 2013 C	250,000,000	2012/10/19	2013/03/27	37.50
20717	J P Morgan SP BV – HSBC European Warrants May 2013 A	200,000,000	2012/10/19	2013/05/27	50.00
20718	J P Morgan SP BV – SPDR Gold Trust European Wts Jun 2013 B	100,000,000	2012/10/19	2013/06/17	32.10
20726	Macquarie Bank Ltd. – Jiangxi Copper European Wts Aug 2013 A	150,000,000	2012/10/19	2013/08/19	22.50
20721	Standard Chartered Bank – HSI European Warrants Jun 2013 A	100,000,000	2012/10/19	2013/06/27	15.00
18322 #	Standard Chartered Bank – China Mobile Euro Wts Jan 2013 A	150,000,000	2012/10/19	2013/01/04	10.05
19173 #	Standard Chartered Bank – China Life Euro Put Wts Feb 2013 B	260,000,000	2012/10/19	2013/02/22	10.14
20755	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2013 B	200,000,000	2012/10/22	2013/03/27	46.00
20754	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Mar 2013 A	200,000,000	2012/10/22	2013/03/27	32.00
20736	HK Bank – Agricultural Bank European Warrants Mar 2013 A	80,000,000	2012/10/22	2013/03/27	12.00
20737	HK Bank – CC Bank European Warrants Apr 2013 A	80,000,000	2012/10/22	2013/04/22	20.00
20734	HK Bank – A50 China European Warrants Apr 2013 A	80,000,000	2012/10/22	2013/04/22	20.00
20735	HK Bank – ICBC European Warrants Jul 2013 A	80,000,000	2012/10/22	2013/07/02	20.00
20746	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Aug 2013 A	120,000,000	2012/10/22	2013/08/02	30.00
20745	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2013 A	120,000,000	2012/10/22	2013/06/04	30.00
20748	Macquarie Bank Ltd. – China COSCO European Wts May 2013 A	100,000,000	2012/10/22	2013/05/03	25.00
20747	Macquarie Bank Ltd. – COSCO Pacific European Wts Nov 2014 A	150,000,000	2012/10/22	2014/11/04	37.50
20750	Macquarie Bank Ltd. – Ch Ship Cont European Wts Oct 2013 A	120,000,000	2012/10/22	2013/10/03	30.24
20752	Macquarie Bank Ltd. – A50 China European Warrants May 2013 A	100,000,000	2012/10/22	2013/05/03	25.00
20744	Macquarie Bank Ltd. – ICBC European Warrants Jun 2013 A	120,000,000	2012/10/22	2013/06/04	30.00
20753	Macquarie Bank Ltd. – Luk Fook Hold European Wts May 2013 A	150,000,000	2012/10/22	2013/05/27	22.50
20730	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jan 2013 D	50,000,000	2012/10/22	2013/01/31	10.20
20733	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2013 A	40,000,000	2012/10/22	2013/04/23	10.00
20732	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts May 2013 B	40,000,000	2012/10/22	2013/05/27	10.00
20729	Merrill Lynch Int'l & Co. C.V. – CC Bank Eu Put Wt May 2013A	40,000,000	2012/10/22	2013/05/20	10.20
20731	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2013 A	40,000,000	2012/10/22	2013/05/27	10.00
20728	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Apr 2013 B	40,000,000	2012/10/22	2013/04/23	10.20
20727	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Put Wt Jul 2013 A	40,000,000	2012/10/22	2013/07/08	11.40
20741	CC Rabobank B.A. – AIA European Warrants Jun 2013 A	120,000,000	2012/10/22	2013/06/07	18.00
20740	CC Rabobank B.A. – Cheung Kong European Warrants Mar 2013 A	80,000,000	2012/10/22	2013/03/18	32.00
20742	CC Rabobank B.A. – Esprit European Warrants Jun 2013 A	100,000,000	2012/10/22	2013/06/27	25.00
20743	CC Rabobank B.A. – Hutchison European Warrants Apr 2013 A	120,000,000	2012/10/22	2013/04/29	30.00
20739	Standard Chartered Bank – BOCL European Warrants Oct 2013 A	80,000,000	2012/10/22	2013/10/31	20.00
20738	Standard Chartered Bank-China Life European Wts Jul 2013 A	100,000,000	2012/10/22	2013/07/22	15.00
20749	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2013B	200,000,000	2012/10/22	2013/07/22	31.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20751	UBS AG – CC Bank European Warrants Apr 2013 A	200,000,000	2012/10/22	2013/04/22	50.00
19461 #	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2013 A	140,000,000	2012/10/22	2013/03/18	11.20
20776	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2013 A	200,000,000	2012/10/24	2013/03/04	38.00
20774	BNP Paribas Arbit Issu B.V. – HK Gas European Wts Feb 2014 B	82,000,000	2012/10/24	2014/02/04	13.12
20775	BNP Paribas Arbit Issu B.V. – Link REIT Euro Wts May 2014 A	66,000,000	2012/10/24	2014/05/05	16.50
20794	Credit Suisse AG – CC Bank European Warrants Mar 2013 A	80,000,000	2012/10/24	2013/03/12	12.80
20793	Credit Suisse AG – Ping An European Warrants Feb 2013 C	80,000,000	2012/10/24	2013/02/22	12.00
20792	Citigroup Global Mkt H Inc. – BOCL European Wts May 2013 A	100,000,000	2012/10/24	2013/05/27	16.00
20790	Citigroup Global Mkt H Inc. – China Life Euro Wts Apr 2013 A	100,000,000	2012/10/24	2013/04/08	16.00
20791	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Apr 2013 A	100,000,000	2012/10/24	2013/04/29	25.00
20788	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2013 A	100,000,000	2012/10/24	2013/06/24	25.00
20789	Citigroup Global Mkt H Inc. – ICBC European Wts May 2013 A	100,000,000	2012/10/24	2013/05/27	15.00
20771	Goldman Sachs SP (Asia) – AIA European Warrants Sep 2013 A	80,000,000	2012/10/24	2013/09/19	13.04
20772	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2013 A	80,000,000	2012/10/24	2013/04/09	12.56
20769	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Apr 2013 A	80,000,000	2012/10/24	2013/04/29	20.88
20773	Goldman Sachs SP (Asia) – A50 China European Wts May 2013 A	80,000,000	2012/10/24	2013/05/13	12.56
20770	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2013 B	80,000,000	2012/10/24	2013/03/18	12.24
20784	J P Morgan SP BV – ICBC European Warrants Jul 2013 A	200,000,000	2012/10/24	2013/07/02	50.20
20783	Macquarie Bank Ltd. – Evergrande European Wts Apr 2013 A	150,000,000	2012/10/24	2013/04/23	37.50
20757	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2013 A	100,000,000	2012/10/24	2013/12/30	21.30
20760	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Wts Dec 2013 B	100,000,000	2012/10/24	2013/12/30	25.00
20756	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jun 2013A	100,000,000	2012/10/24	2013/06/27	16.00
20759	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2013 D	100,000,000	2012/10/24	2013/03/27	15.40
20758	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Apr 2013 A	100,000,000	2012/10/24	2013/04/29	25.00
20778	CC Rabobank B.A. – BOC HK European Warrants Aug 2013 A	100,000,000	2012/10/24	2013/08/27	15.00
20780	CC Rabobank B.A. – CQRC Bank European Warrants Apr 2013 A	60,000,000	2012/10/24	2013/04/24	18.00
20779	CC Rabobank B.A. – Ch Ship Cont European Warrants Apr 2013 A	80,000,000	2012/10/24	2013/04/24	20.00
20781	CC Rabobank B.A. – China Ship Dev European Wts Apr 2013 A	60,000,000	2012/10/24	2013/04/24	15.00
20782	CC Rabobank B.A. – Ch Rongsheng European Warrants Apr 2013 A	80,000,000	2012/10/24	2013/04/24	20.00
20777	Standard Chartered Bank – A50 China Euro Warrants Feb 2013 A	100,000,000	2012/10/24	2013/02/18	15.00
20762	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun13A	100,000,000	2012/10/24	2013/06/26	19.00
20761	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jun 2013 A	100,000,000	2012/10/24	2013/06/03	17.50
20766	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2013 A	150,000,000	2012/10/24	2013/04/15	39.75
20763	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Apr 2013 A	50,000,000	2012/10/24	2013/04/24	23.25
20764	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jun 13 A	100,000,000	2012/10/24	2013/06/17	15.50
20765	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts Dec13A	150,000,000	2012/10/24	2013/12/02	29.25
20768	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Mar 2013 C	150,000,000	2012/10/24	2013/03/25	23.25
20767	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt May 13 A	150,000,000	2012/10/24	2013/05/06	39.75
20787	UBS AG – CC Bank European Warrants Mar 2013 B	200,000,000	2012/10/24	2013/03/25	30.00
20786	UBS AG – A50 China European Warrants Apr 2013 A	100,000,000	2012/10/24	2013/04/29	25.00
20802	BOCI Asia Ltd. – CC Bank European Warrants Mar 2013 A	100,000,000	2012/10/25	2013/03/19	19.00
20801	BOCI Asia Ltd. – ICBC European Warrants Apr 2013 A	100,000,000	2012/10/25	2013/04/03	21.00
20805	BNP Paribas Arbit Issu B.V.-CM Bank Euro Warrants Sep 2014 A	200,000,000	2012/10/25	2014/09/02	50.00
20806	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Mar 2014 A	100,000,000	2012/10/25	2014/03/04	25.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20804	BNP Paribas Arbit Issu B.V. – Stanchart Euro Wts Feb 2015 A	64,000,000	2012/10/25	2015/02/03	16.00
20803	BNP Paribas Arbit Issu B.V. – Zijin Mining Eu Wts Aug 2013 A	71,000,000	2012/10/25	2013/08/02	22.72
20813	Daiwa Capital Mkt – Cheung Kong European Put Wts Jun 2014 A	80,000,000	2012/10/25	2014/06/06	16.80
20809	Daiwa Capital Mkt – China Life European Warrants Apr 2013 A	138,000,000	2012/10/25	2013/04/25	41.40
20810	Daiwa Capital Mkt – HSBC European Warrants Apr 2013 B	128,000,000	2012/10/25	2013/04/25	32.00
20811	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013 C	128,000,000	2012/10/25	2013/03/08	48.64
20812	Daiwa Capital Mkt – SHK Ppt European Warrants Mar 2013 B	80,000,000	2012/10/25	2013/03/04	16.80
20814	Daiwa Capital Mkt – Tencent Holdings European Wts Jul 2013 B	128,000,000	2012/10/25	2013/07/22	20.48
20797	Goldman Sachs SP (Asia) – Anhui Conch Euro Wts Jun 2013 B	80,000,000	2012/10/25	2013/06/10	20.08
20796	Goldman Sachs SP (Asia) – Angang Steel Euro Wts May 2013 A	80,000,000	2012/10/25	2013/05/30	35.12
20795	Goldman Sachs SP (Asia) – Hutchison European Wts Mar 2013 B	80,000,000	2012/10/25	2013/03/18	12.40
20808	Macquarie Bank Ltd. – HSBC European Warrants Jul 2013 A	200,000,000	2012/10/25	2013/07/08	30.00
20807	UBS AG – China Mobile European Warrants Mar 2013 B	200,000,000	2012/10/25	2013/03/01	74.00
20798	UBS AG – HSI European Put Warrants Apr 2013 A	300,000,000	2012/10/25	2013/04/29	75.00
20800	UBS AG – ICBC European Warrants Mar 2013 B	100,000,000	2012/10/25	2013/03/25	24.00
20799	UBS AG – Ping An European Warrants Feb 2013 B	100,000,000	2012/10/25	2013/02/25	20.00
19276 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jan 2013 B	250,000,000	2012/10/25	2013/01/30	12.25
20819	BOCI Asia Ltd. – HSI European Warrants Jun 2013 C	100,000,000	2012/10/26	2013/06/27	25.00
20820	BOCI Asia Ltd. – HSI European Put Warrants Mar 2013 E	100,000,000	2012/10/26	2013/03/27	15.00
20829	Credit Suisse AG – ChiUnicom European Warrants Apr 2013 B	80,000,000	2012/10/26	2013/04/29	44.00
20825	Credit Suisse AG – HSI European Warrants Jun 2013 A	200,000,000	2012/10/26	2013/06/27	30.00
20826	Credit Suisse AG – HSI European Put Warrants Apr 2013 A	200,000,000	2012/10/26	2013/04/29	38.00
20830	Credit Suisse AG – ICBC European Warrants Apr 2013 A	80,000,000	2012/10/26	2013/04/29	20.00
20831	Credit Suisse AG – ICBC European Put Warrants Jul 2013 A	80,000,000	2012/10/26	2013/07/09	20.00
20827	Credit Suisse AG – Ping An European Warrants Mar 2013 A	80,000,000	2012/10/26	2013/03/11	26.40
20836	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wts Jul 2013A	100,000,000	2012/10/26	2013/07/29	25.00
20839	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2013 A	100,000,000	2012/10/26	2013/05/13	18.00
20837	Citigroup Global Mkt H Inc. – HSBC European Wts Jul 2013 A	100,000,000	2012/10/26	2013/07/02	25.00
20838	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Apr 2013 A	100,000,000	2012/10/26	2013/04/29	25.00
20816	HK Bank – HSI European Warrants Sep 2014 A	200,000,000	2012/10/26	2014/09/29	50.00
20817	HK Bank – HSI European Put Warrants Dec 2014 A	200,000,000	2012/10/26	2014/12/30	50.00
20818	HK Bank – HSI European Put Warrants Dec 2014 B	200,000,000	2012/10/26	2014/12/30	50.00
20834	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2014 A	180,000,000	2012/10/26	2014/12/02	27.00
20833	Macquarie Bank Ltd. – PetroChina European Wts Aug 2013 A	180,000,000	2012/10/26	2013/08/30	27.00
20847	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Apr 2013 A	40,000,000	2012/10/26	2013/04/29	18.60
20846	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2013 B	40,000,000	2012/10/26	2013/05/27	10.40
20845	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jun 2013 A	40,000,000	2012/10/26	2013/06/10	10.80
20844	Merrill Lynch Int'l & Co. C.V. -Ch Mobile Eu Put Wt May13 A	40,000,000	2012/10/26	2013/05/06	19.40
20842	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt May 2013 B	60,000,000	2012/10/26	2013/05/13	11.40
20840	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr13 B	40,000,000	2012/10/26	2013/04/29	29.60
20841	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt May13 A	50,000,000	2012/10/26	2013/05/14	11.05
20822	Standard Chartered Bank-China Life European Wts Apr 2013 A	100,000,000	2012/10/26	2013/04/08	15.00
20821	Standard Chartered Bank – China Mobile Euro Wts Mar 2013 A	100,000,000	2012/10/26	2013/03/11	15.00
20823	Standard Chartered Bank – HSBC European Put Wts Jun 2013 A	100,000,000	2012/10/26	2013/06/28	34.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20824	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 B	150,000,000	2012/10/26	2013/05/06	39.00
20815	SGA Societe Generale Acceptance N.V. – JIANC Eu Wt Apr2013 B	100,000,000	2012/10/26	2013/04/29	16.00
20832	UBS AG – China Mobile European Warrants Mar 2013 C	200,000,000	2012/10/26	2013/03/11	40.00
20835	UBS AG – HSI European Warrants Apr 2013 B	300,000,000	2012/10/26	2013/04/29	75.00
20859	BOCI Asia Ltd. – A50 China European Warrants May 2013 A	100,000,000	2012/10/29	2013/05/20	19.00
20872	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2014 A	200,000,000	2012/10/29	2014/10/06	52.00
20873	BNP Paribas Arbit Issu B.V. – CLP European Wts Sep 2013 A	38,000,000	2012/10/29	2013/09/03	10.26
20874	BNP Paribas Arbit Issu B.V. – Lenovo European Wts Aug 2013 A	93,000,000	2012/10/29	2013/08/02	51.15
20875	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wt Jul13A	26,000,000	2012/10/29	2013/07/03	10.14
20869	Credit Suisse AG – CNOOC European Put Warrants Mar 2013 B	70,000,000	2012/10/29	2013/03/25	10.50
20870	Credit Suisse AG – HSBC European Put Warrants Apr 2013 D	70,000,000	2012/10/29	2013/04/29	11.90
20868	Credit Suisse AG – Ping An European Put Warrants Mar 2013 B	70,000,000	2012/10/29	2013/03/11	10.50
20879	Citigroup Global Mkt H Inc. – HSI European Wts Jun 2013 A	100,000,000	2012/10/29	2013/06/27	15.00
20867	Daiwa Capital Mkt – HSCEI European Warrants Jun 2013 D	100,000,000	2012/10/29	2013/06/27	25.00
20866	Goldman Sachs SP (Asia) – BankComm European Wts Jun 2013 A	80,000,000	2012/10/29	2013/06/24	20.72
20863	Goldman Sachs SP (Asia) – China Tel European Wts May 2013 A	80,000,000	2012/10/29	2013/05/20	20.48
20864	Goldman Sachs SP (Asia) – China Unicom Euro Wts Mar 2014 A	80,000,000	2012/10/29	2014/03/03	20.24
20853	Goldman Sachs SP (Asia) – HSCEI European Warrants Apr 2013 A	150,000,000	2012/10/29	2013/04/29	38.25
20854	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2013 A	150,000,000	2012/10/29	2013/05/30	38.40
20855	Goldman Sachs SP (Asia) – HSCEI European Put Wts Apr 2013 B	150,000,000	2012/10/29	2013/04/29	37.80
20856	Goldman Sachs SP (Asia) – HSCEI European Put Wts May 2013 B	150,000,000	2012/10/29	2013/05/30	37.65
20876	Goldman Sachs SP (Asia) – JIANC European Warrants Aug 2013 A	80,000,000	2012/10/29	2013/08/26	20.40
20865	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2013 A	80,000,000	2012/10/29	2013/06/24	42.48
20857	HK Bank – A50 China European Warrants Jul 2013 A	150,000,000	2012/10/29	2013/07/15	22.50
20858	HK Bank – A50 China European Put Warrants Jun 2014 A	100,000,000	2012/10/29	2014/06/25	15.00
20881	J P Morgan SP BV – A50 China European Warrants Jul 2013 A	200,000,000	2012/10/29	2013/07/02	50.00
20877	Macquarie Bank Ltd. – China Overseas European Wts Dec 2013 A	180,000,000	2012/10/29	2013/12/04	45.00
20878	Macquarie Bank Ltd. – Chi Res Land European Wts Jun 2013 A	180,000,000	2012/10/29	2013/06/03	45.00
20861	Macquarie Bank Ltd. – Poly HK Inv European Wts May 2013 A	100,000,000	2012/10/29	2013/05/13	25.50
20852	Nomura Int'l plc -CITIC Bank European Warrants Jun 2013 A	50,000,000	2012/10/29	2013/06/28	12.85
20851	Nomura Int'l plc – Digital China European Wts Sep 2013 A	50,000,000	2012/10/29	2013/09/30	12.65
20848	Nomura Int'l plc -Henderson Land Dev Euro Wts Sep 2013 A	50,000,000	2012/10/29	2013/09/30	13.90
20884	UBS AG – BOC HK European Warrants Dec 2013 A	100,000,000	2012/10/29	2013/12/16	15.00
20883	UBS AG – BOCL European Put Warrants Jun 2013 A	100,000,000	2012/10/29	2013/06/17	18.00
20860	UBS AG – CC Bank European Warrants Mar 2013 C	200,000,000	2012/10/29	2013/03/12	40.00
20887	UBS AG – China Coal European Warrants Jun 2013 A	100,000,000	2012/10/29	2013/06/28	60.00
20888	UBS AG – China National Building European Wts Mar 2013 A	100,000,000	2012/10/29	2013/03/11	54.00
20880	UBS AG – Sinopec Corp European Warrants Apr 2013 A	100,000,000	2012/10/29	2013/04/29	25.00
20885	UBS AG – China Shenhua European Warrants Mar 2013 A	100,000,000	2012/10/29	2013/03/25	21.00
20882	UBS AG – Evergrande European Warrants Mar 2013 A	100,000,000	2012/10/29	2013/03/25	27.00
20889	UBS AG – HSI European Put Warrants Jun 2013 B	300,000,000	2012/10/29	2013/06/27	87.00
20871	UBS AG – ICBC European Put Warrants Apr 2013 B	100,000,000	2012/10/29	2013/04/29	25.00
20862	UBS AG – Jiangxi Copper European Warrants Apr 2013 A	100,000,000	2012/10/29	2013/04/29	25.00
20886	UBS AG – Zijin Mining European Warrants Mar 2013 A	100,000,000	2012/10/29	2013/03/27	23.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17963 #	UBS AG – HSCEI European Warrants Dec 2012 B	250,000,000	2012/10/29	2012/12/28	11.75
20893	Credit Suisse AG – Sinopec Corp European Warrants Apr 2013 A	80,000,000	2012/10/30	2013/04/29	24.00
20896	Credit Suisse AG – A50 China European Warrants Apr 2013 A	80,000,000	2012/10/30	2013/04/29	20.00
20894	Credit Suisse AG – HKEx European Warrants Mar 2013 C	80,000,000	2012/10/30	2013/03/18	26.40
20895	Credit Suisse AG – HKEx European Put Warrants Aug 2013 A	80,000,000	2012/10/30	2013/08/26	12.00
20891	J P Morgan SP BV – BOC HK European Warrants Sep 2013 A	150,000,000	2012/10/30	2013/09/02	22.50
20890	J P Morgan SP BV – CPIC European Warrants May 2013 A	200,000,000	2012/10/30	2013/05/02	50.00
20892	J P Morgan SP BV – PetroChina European Warrants Jul 2013 A	100,000,000	2012/10/30	2013/07/02	53.00
20898	UBS AG – HSCEI European Warrants Jun 2013 C	100,000,000	2012/10/30	2013/06/27	25.00
20897	UBS AG – HSCEI European Put Warrants Jun 2013 B	100,000,000	2012/10/30	2013/06/27	25.00
20924	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jan 2015 A	100,000,000	2012/10/31	2015/01/05	26.00
20916	Credit Suisse AG – CNBM European Warrants Mar 2013 B	70,000,000	2012/10/31	2013/03/11	35.00
20915	Credit Suisse AG – Jiangxi Copper European Wts Apr 2013 A	70,000,000	2012/10/31	2013/04/22	11.20
20913	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 A	100,000,000	2012/10/31	2013/07/22	18.00
20914	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2013 D	100,000,000	2012/10/31	2013/03/28	17.00
20917	Credit Suisse AG – Yanzhou Coal European Warrants May 2013 A	70,000,000	2012/10/31	2013/05/03	59.50
20907	Daiwa Capital Mkt -Agricultural Bank European Wts Aug 2013 A	128,000,000	2012/10/31	2013/08/23	32.00
20904	Daiwa Capital Mkt – CCB European Warrants Mar 2013 A	128,000,000	2012/10/31	2013/03/25	19.20
20909	Daiwa Capital Mkt – China Life European Warrants Apr 2013 B	188,000,000	2012/10/31	2013/04/08	30.08
20908	Daiwa Capital Mkt – Hutchison European Warrants Apr 2013 B	168,000,000	2012/10/31	2013/04/02	28.56
20905	Daiwa Capital Mkt – ICBC European Warrants Mar 2013 A	168,000,000	2012/10/31	2013/03/01	25.20
20906	Daiwa Capital Mkt – ICBC European Warrants Sep 2013 A	128,000,000	2012/10/31	2013/09/19	32.00
20921	Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Jul 2013 A	60,000,000	2012/10/31	2013/07/02	17.16
20901	Goldman Sachs SP (Asia) – HSI European Warrants Oct 2013 A	150,000,000	2012/10/31	2013/10/30	37.50
20920	Goldman Sachs SP (Asia) – SHK Ppt European Wts May 2013 A	80,000,000	2012/10/31	2013/05/27	20.72
20910	HK Bank – CNBM European Warrants Jan 2014 A	80,000,000	2012/10/31	2014/01/27	13.60
20903	J P Morgan SP BV – BOCL European Warrants Jul 2013 B	200,000,000	2012/10/31	2013/07/02	50.00
20902	J P Morgan SP BV – Geely Auto European Warrants Sep 2013 A	100,000,000	2012/10/31	2013/09/25	33.60
20923	J P Morgan SP BV – HKEx European Warrants Jun 2013 A	100,000,000	2012/10/31	2013/06/03	25.00
20922	J P Morgan SP BV – Tencent European Put Warrants Jun 2013 B	200,000,000	2012/10/31	2013/06/25	50.00
20912	CC Rabobank B.A. – CNBM European Warrants Jan 2014 A	200,000,000	2012/10/31	2014/01/24	30.00
20899	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Mar2013 B	50,000,000	2012/10/31	2013/03/04	17.50
20900	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2014 A	300,000,000	2012/10/31	2014/06/23	45.00
20918	UBS AG – AIA European Warrants Sep 2013 B	100,000,000	2012/10/31	2013/09/30	25.00
20919	UBS AG – Tencent Holdings European Put Warrants Mar 2013 B	100,000,000	2012/10/31	2013/03/04	15.00
17224 #	Daiwa Capital Mkt – A50 China European Warrants Aug 2013 A	632,000,000	2012/10/31	2013/08/01	26.54
20935	BNP Paribas Arbit Issu B.V.-BOCL European Put Wts Sep 2013 A	100,000,000	2012/11/01	2013/09/04	25.00
20931	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2013 A	200,000,000	2012/11/01	2013/06/27	30.00
20930	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2014 A	200,000,000	2012/11/01	2014/04/29	50.00
20937	BNP Paribas Arbit Issu B.V. -Tencent Hldgs Eu Wt Aug 2013 A	100,000,000	2012/11/01	2013/08/05	23.00
20936	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Jul 2013 B	100,000,000	2012/11/01	2013/07/04	25.00
20938	Credit Suisse AG – AIA European Warrants May 2013 A	80,000,000	2012/11/01	2013/05/27	20.00
20939	Daiwa Capital Mkt – AIA European Warrants Jun 2013 B	80,000,000	2012/11/01	2013/06/04	20.00
20926	HK Bank – AIA European Warrants Sep 2013 A	80,000,000	2012/11/01	2013/09/26	13.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20927	HK Bank – Minsheng Bank European Warrants Jul 2013 A	60,000,000	2012/11/01	2013/07/15	18.00
20925	HK Bank – HSI European Put Warrants Apr 2013 A	150,000,000	2012/11/01	2013/04/29	28.50
20928	J P Morgan SP BV – HSI European Warrants May 2013 A	300,000,000	2012/11/01	2013/05/30	75.00
20929	J P Morgan SP BV – HSI European Put Warrants May 2013 B	300,000,000	2012/11/01	2013/05/30	75.00
20945	CC Rabobank B.A. – GAC European Warrants May 2013 A	60,000,000	2012/11/01	2013/05/02	21.00
20941	CC Rabobank B.A. – Galaxy Ent European Put Wts May 2013 A	100,000,000	2012/11/01	2013/05/13	25.00
20940	CC Rabobank B.A. – Gome European Warrants May 2014 A	100,000,000	2012/11/01	2014/05/26	25.00
20942	CC Rabobank B.A. – Hang Lung Ppt European Wts Mar 2013 A	70,000,000	2012/11/01	2013/03/25	10.50
20943	CC Rabobank B.A. – Sino Land European Warrants Apr 2013 A	120,000,000	2012/11/01	2013/04/25	18.00
20934	Standard Chartered Bank – AIA European Warrants Oct 2013 A	100,000,000	2012/11/01	2013/10/02	25.00
20932	Standard Chartered Bank – China Mobile Euro Wts May 2013 B	100,000,000	2012/11/01	2013/05/24	25.00
20933	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jul 2013 A	100,000,000	2012/11/01	2013/07/03	25.00
20965	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wts Jul2013 A	100,000,000	2012/11/02	2013/07/03	25.00
20967	BNP Paribas Arbit Issu B.V.-Dongfeng Motor Eur Wt Sep 2014 A	100,000,000	2012/11/02	2014/09/02	25.00
20966	BNP Paribas Arbit Issu B.V. – Kerry Ppt Euro Wts Jul 2013 A	28,000,000	2012/11/02	2013/07/03	10.08
20959	Credit Suisse AG – HSI European Warrants Apr 2013 B	200,000,000	2012/11/02	2013/04/29	40.00
20960	Daiwa Capital Mkt – HSI European Warrants Apr 2013 B	200,000,000	2012/11/02	2013/04/29	32.00
20955	HK Bank – Cheung Kong European Warrants Jun 2013 A	80,000,000	2012/11/02	2013/06/18	20.00
20957	HK Bank – China Mobile European Warrants Jun 2013 A	100,000,000	2012/11/02	2013/06/18	25.00
20952	HK Bank – A50 China European Warrants Jun 2013 A	60,000,000	2012/11/02	2013/06/24	15.00
20956	HK Bank – HWL European Warrants Mar 2013 C	100,000,000	2012/11/02	2013/03/20	15.00
20953	HK Bank – SHK Ppt European Warrants May 2013 A	80,000,000	2012/11/02	2013/05/02	20.00
20958	Macquarie Bank Ltd. – New World Dev European Wts Feb 2015 A	250,000,000	2012/11/02	2015/02/03	62.50
20951	Standard Chartered Bank – CNBM European Warrants Jan 2014 A	100,000,000	2012/11/02	2014/01/24	20.00
20948	Standard Chartered Bank – HSI European Put Wts Jun 2013 B	200,000,000	2012/11/02	2013/06/27	50.00
20961	Standard Chartered Bank – Li & Fung European Wts Aug 2014 A	100,000,000	2012/11/02	2014/08/04	25.00
20949	Standard Chartered Bank – SHK P European Warrants Feb 2013 B	60,000,000	2012/11/02	2013/02/25	15.00
20950	Standard Chartered Bank – SHK P European Warrants Sep 2013 A	60,000,000	2012/11/02	2013/09/05	15.00
20946	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt May2013 A	50,000,000	2012/11/02	2013/05/06	12.50
20947	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2013 A	30,000,000	2012/11/02	2013/06/03	11.10
20968	UBS AG – Galaxy Ent European Warrants Jun 2013 A	100,000,000	2012/11/02	2013/06/24	25.00
20964	UBS AG – Sands China European Warrants Apr 2013 A	100,000,000	2012/11/02	2013/04/17	21.00
20963	UBS AG – SHK Ppt European Warrants Feb 2013 A	100,000,000	2012/11/02	2013/02/25	23.00
18324 #	Standard Chartered Bank – A50 China Euro Warrants May 2014 A	150,000,000	2012/11/02	2014/05/07	12.45
20979	Bank of East Asia – Angang Steel European Warrants May2013 A	60,000,000	2012/11/05	2013/05/10	10.80
20980	Bank of East Asia – CNBM European Warrants Jan 2014 A	150,000,000	2012/11/05	2014/01/24	25.50
20970	HK Bank – HSI European Warrants May 2013 B	250,000,000	2012/11/05	2013/05/30	62.50
20971	HK Bank – HSI European Warrants May 2013 C	250,000,000	2012/11/05	2013/05/30	62.50
20973	Nomura Int'l plc – Esprit European Warrants Sep 2013 C	50,000,000	2012/11/05	2013/09/30	13.35
20972	Nomura Int'l plc – Esprit European Warrants Dec 2013 A	50,000,000	2012/11/05	2013/12/23	12.50
20976	Nomura Int'l plc – Evergrande European Warrants Jun 2013 A	50,000,000	2012/11/05	2013/06/28	14.45
20974	Nomura Int'l plc – Zoomlion European Warrants Mar 2014 A	50,000,000	2012/11/05	2014/03/31	12.55
20975	Nomura Int'l plc – Zhaojin Mining European Wts Oct 2013 A	80,000,000	2012/11/05	2013/10/23	15.44
20977	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Mar2013 C	50,000,000	2012/11/05	2013/03/13	19.75

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
20969	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr2013 A	50,000,000	2012/11/05	2013/04/15	16.50
20978	UBS AG – New World European Warrants Jul 2013 A	100,000,000	2012/11/05	2013/07/29	16.00
20990	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Jul 2013 A	100,000,000	2012/11/06	2013/07/02	25.00
20989	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts May 2013 A	100,000,000	2012/11/06	2013/05/03	15.00
20991	Citigroup Global Mkt H Inc. – HSI European Put Wt Apr 2013 A	100,000,000	2012/11/06	2013/04/29	17.00
20985	Goldman Sachs SP (Asia) – CITIC Sec European Wts Oct 2013 A	80,000,000	2012/11/06	2013/10/28	20.00
20983	Goldman Sachs SP (Asia) – CNBM European Warrants Jan 2014 A	80,000,000	2012/11/06	2014/01/24	13.28
20981	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Jul 2013 A	80,000,000	2012/11/06	2013/07/29	20.24
20982	Goldman Sachs SP (Asia) – Ping An European Wts Feb 2013 B	80,000,000	2012/11/06	2013/02/25	15.20
20988	J P Morgan SP BV – CC Bank Euro Put Wts Jul 2013 A	100,000,000	2012/11/06	2013/07/02	36.30
20986	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jun 2013 C	300,000,000	2012/11/06	2013/06/27	45.00
20987	J P Morgan SP BV – ICBC European Put Wts Jul 2013 B	100,000,000	2012/11/06	2013/07/02	29.90
20992	Standard Chartered Bank – SHK P European Put Wts Feb 2015 A	200,000,000	2012/11/06	2015/02/26	50.00
20984	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jun2013 A	50,000,000	2012/11/06	2013/06/03	22.50
20993	UBS AG – CPIC European Warrants Jul 2013 A	100,000,000	2012/11/06	2013/07/26	21.00
20995	UBS AG – ICBC European Warrants Apr 2013 C	100,000,000	2012/11/06	2013/04/22	18.00
20994	UBS AG – SPDR Gold Trust European Warrants May 2013 A	100,000,000	2012/11/06	2013/05/09	51.00
21013	BOCI Asia Ltd. – Anhui Conch European Warrants Jun 2013 A	100,000,000	2012/11/07	2013/06/03	20.00
21014	BOCI Asia Ltd. – BOCL European Warrants Jun 2013 A	100,000,000	2012/11/07	2013/06/03	15.00
21021	Bank of East Asia – AAC Acoustic European Warrants Jun2013 A	50,000,000	2012/11/07	2013/06/26	12.50
21020	Bank of East Asia – China COSCO European Warrants Jun 2013 A	50,000,000	2012/11/07	2013/06/06	12.50
21024	Bank of East Asia – Lenovo European Warrants Nov 2014 A	320,000,000	2012/11/07	2014/11/24	64.00
21022	Bank of East Asia – New World Dev European Warrants Aug 13 A	130,000,000	2012/11/07	2013/08/12	20.80
21023	Bank of East Asia – SHK Ppt European Warrants Jun 2014 A	180,000,000	2012/11/07	2014/06/26	45.00
21019	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jul 2013 A	100,000,000	2012/11/07	2013/07/03	25.00
21018	Macquarie Bank Ltd. – BOCL European Put Warrants Jul 2013 A	100,000,000	2012/11/07	2013/07/03	25.00
21025	Macquarie Bank Ltd. – CC Bank European Put Wts Jul 2013 A	100,000,000	2012/11/07	2013/07/03	25.00
21017	Macquarie Bank Ltd. – Minsheng Bank European Wts Jun 2013 A	100,000,000	2012/11/07	2013/06/04	25.00
21016	Macquarie Bank Ltd. – ICBC European Put Warrants Jul 2013 A	100,000,000	2012/11/07	2013/07/03	18.50
21015	Macquarie Bank Ltd. – Ping An European Put Wts Jun 2013 A	150,000,000	2012/11/07	2013/06/04	37.50
20996	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Sep 2013 A	60,000,000	2012/11/07	2013/09/30	11.28
20999	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2013 A	40,000,000	2012/11/07	2013/04/22	11.20
21000	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts May 2013 A	40,000,000	2012/11/07	2013/05/27	19.00
20997	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2013 A	40,000,000	2012/11/07	2013/04/22	12.00
20998	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt May 2013 A	40,000,000	2012/11/07	2013/05/13	18.00
21001	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013 A	40,000,000	2012/11/07	2013/07/29	10.00
21011	CC Rabobank B.A. – AIA European Warrants Sep 2013 A	150,000,000	2012/11/07	2013/09/09	25.50
21010	CC Rabobank B.A. – AIA European Put Warrants May 2013 A	150,000,000	2012/11/07	2013/05/28	22.50
21009	CC Rabobank B.A. – CNBM European Put Warrants Apr 2014 B	120,000,000	2012/11/07	2014/04/22	30.00
21012	CC Rabobank B.A. – Chinares Cement European Wts May 2013 A	60,000,000	2012/11/07	2013/05/07	27.00
21008	CC Rabobank B.A. – ChiUnicom European Warrants Sep 2013 A	120,000,000	2012/11/07	2013/09/26	18.00
21002	UBS AG – China Mobile European Warrants Jun 2013 A	200,000,000	2012/11/07	2013/06/10	50.00
21005	UBS AG – HKEx European Warrants May 2013 A	100,000,000	2012/11/07	2013/05/13	29.00
21006	UBS AG – HSCEI European Warrants Jun 2013 D	200,000,000	2012/11/07	2013/06/27	30.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21007	UBS AG – HSCEI European Put Warrants Jun 2013 E	100,000,000	2012/11/07	2013/06/27	15.00
21003	UBS AG – ICBC European Warrants May 2013 A	100,000,000	2012/11/07	2013/05/09	25.00
21004	UBS AG – KECL European Warrants Sep 2013 A	100,000,000	2012/11/07	2013/09/09	15.00
17711 #	Daiwa Capital Mkt – A50 China European Warrants Jan 2013 A	300,000,000	2012/11/07	2013/01/02	10.50
20674 #	UBS AG – HSCEI European Put Warrants Mar 2013 B	200,000,000	2012/11/07	2013/03/27	14.60
21045	Goldman Sachs Sp (Asia) – ICBC European Warrants May 2013 A	60,000,000	2012/11/08	2013/05/27	10.32
21050	Macquarie Bank Ltd. – CLP European Warrants Nov 2013 A	180,000,000	2012/11/08	2013/11/04	45.00
21052	Macquarie Bank Ltd. – Chi Resources European Wts Jul 2013 A	180,000,000	2012/11/08	2013/07/03	45.00
21051	Macquarie Bank Ltd. – Sh Electric European Wts May 2013 A	80,000,000	2012/11/08	2013/05/08	20.00
21053	Macquarie Bank Ltd. – Tingyi European Warrants Jul 2013 A	180,000,000	2012/11/08	2013/07/03	45.00
21038	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Dec 2013 A	50,000,000	2012/11/08	2013/12/16	12.50
21043	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2013 A	50,000,000	2012/11/08	2013/10/28	12.50
21042	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt May 2013 B	50,000,000	2012/11/08	2013/05/14	18.50
21040	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jul 2013 A	50,000,000	2012/11/08	2013/07/22	18.50
21026	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Apr 2013 B	100,000,000	2012/11/08	2013/04/29	21.50
21027	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2013 A	100,000,000	2012/11/08	2013/05/30	25.00
21039	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Apr 2013 B	50,000,000	2012/11/08	2013/04/08	20.50
21041	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Mar 13 C	50,000,000	2012/11/08	2013/03/13	14.25
21048	CC Rabobank B.A. – HKEx European Warrants Jun 2014 A	120,000,000	2012/11/08	2014/06/20	18.00
21046	CC Rabobank B.A. – HKEx European Put Warrants Sep 2013 A	120,000,000	2012/11/08	2013/09/06	18.00
21047	CC Rabobank B.A. – Lenovo European Warrants Apr 2013 A	120,000,000	2012/11/08	2013/04/02	30.00
21049	CC Rabobank B.A. – Shanghai Electric European Wts May 2013 A	80,000,000	2012/11/08	2013/05/13	28.00
21035	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2013 A	120,000,000	2012/11/08	2013/05/15	30.00
21036	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2013 A	120,000,000	2012/11/08	2013/08/19	21.60
21037	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2013 A	120,000,000	2012/11/08	2013/03/13	27.00
21030	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt May13A	30,000,000	2012/11/08	2013/05/08	16.50
21031	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt May13 A	50,000,000	2012/11/08	2013/05/08	13.00
21032	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 B	168,000,000	2012/11/08	2013/05/08	68.04
21033	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2013 A	168,000,000	2012/11/08	2013/07/08	34.44
21028	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Dec 13 A	200,000,000	2012/11/08	2013/12/30	44.00
21029	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Jun13A	200,000,000	2012/11/08	2013/06/27	41.00
21034	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt May 13 A	50,000,000	2012/11/08	2013/05/08	14.25
21054	UBS AG – CC Bank European Put Warrants May 2013 A	100,000,000	2012/11/08	2013/05/13	20.00
21055	UBS AG – China Life European Put Warrants Jun 2013 B	100,000,000	2012/11/08	2013/06/24	15.00
21044	UBS AG – HSBC European Warrants Jun 2013 B	100,000,000	2012/11/08	2013/06/24	16.00
21056	UBS AG – HSI European Put Warrants Apr 2013 C	300,000,000	2012/11/08	2013/04/29	45.00
21072	BOCI Asia Ltd. – China Life European Warrants Jun 2013 A	100,000,000	2012/11/09	2013/06/04	15.00
21071	BOCI Asia Ltd. – Ch Overseas European Warrants Apr 2013 A	100,000,000	2012/11/09	2013/04/03	16.00
21073	BOCI Asia Ltd. – ICBC European Warrants Mar 2013 A	100,000,000	2012/11/09	2013/03/01	15.00
21083	BNP Paribas Arbit Issu B.V. – AIA European Wts Jan 2014 A	100,000,000	2012/11/09	2014/01/03	25.00
21085	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wt Aug 2013 A	37,000,000	2012/11/09	2013/08/02	23.31
21079	Credit Suisse AG – AIA European Warrants Sep 2013 A	80,000,000	2012/11/09	2013/09/23	17.60
21076	Credit Suisse AG – CC Bank European Warrants Apr 2013 A	80,000,000	2012/11/09	2013/04/29	16.00
21077	Credit Suisse AG – CC Bank European Warrants Apr 2013 B	80,000,000	2012/11/09	2013/04/08	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21078	Credit Suisse AG – CC Bank European Put Warrants May 2013 A	80,000,000	2012/11/09	2013/05/13	12.00
21080	Credit Suisse AG – HKEx European Warrants May 2013 B	80,000,000	2012/11/09	2013/05/13	20.00
21087	Credit Suisse AG – HSBC European Wts May 2013 A	80,000,000	2012/11/09	2013/05/13	20.00
21086	Credit Suisse AG – HSBC European Wts Jun 2013 A	80,000,000	2012/11/09	2013/06/24	12.80
21074	Credit Suisse AG – Hutchison European Warrants Mar 2013 D	80,000,000	2012/11/09	2013/03/18	12.00
21075	Credit Suisse AG – ICBC European Warrants May 2013 A	80,000,000	2012/11/09	2013/05/27	14.40
21088	Credit Suisse AG – Tencent Holdings European Wts May 2013 A	80,000,000	2012/11/09	2013/05/27	20.00
21095	Daiwa Capital Mkt – HSI European Warrants May 2013 E	200,000,000	2012/11/09	2013/05/30	50.00
21093	Daiwa Capital Mkt – HSI European Put Warrants May 2013 C	200,000,000	2012/11/09	2013/05/30	36.00
21094	Daiwa Capital Mkt – HSI European Put Warrants May 2013 D	200,000,000	2012/11/09	2013/05/30	52.00
21060	Goldman Sachs SP (Asia) – CPIC European Warrants Sep 2013 A	80,000,000	2012/11/09	2013/09/30	20.00
21061	Goldman Sachs SP (Asia) – Evergrande European Wts Aug 2013 A	80,000,000	2012/11/09	2013/08/26	20.00
21057	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2013 A	80,000,000	2012/11/09	2013/07/08	14.32
21059	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2013 A	80,000,000	2012/11/09	2013/12/02	12.72
21058	Goldman Sachs SP (Asia) – Swire Pacific A Euro Wt Jul 2013 A	68,000,000	2012/11/09	2013/07/22	17.61
21082	J P Morgan SP BV – HSI European Warrants May 2013 C	300,000,000	2012/11/09	2013/05/30	75.00
21090	Macquarie Bank Ltd. – Anhui Conch European Wts Jul 2013 A	180,000,000	2012/11/09	2013/07/03	45.00
21091	Macquarie Bank Ltd. – CNBM European Warrants May 2014 A	180,000,000	2012/11/09	2014/05/05	45.00
21092	Macquarie Bank Ltd. – HKEx European Warrants Jul 2014 A	250,000,000	2012/11/09	2014/07/03	39.25
21089	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2013 A	100,000,000	2012/11/09	2013/08/02	25.00
21062	Nomura Int'l plc – CNBM European Warrants Jan 2014 A	75,000,000	2012/11/09	2014/01/29	15.75
21064	Nomura Int'l plc – CNOOC European Put Warrants Mar 2014 A	50,000,000	2012/11/09	2014/03/31	12.50
21065	Nomura Int'l plc – Sinopec European Warrants Nov 2014 A	100,000,000	2012/11/09	2014/11/28	15.00
21066	Nomura Int'l plc – HSBC European Warrants Apr 2013 B	50,000,000	2012/11/09	2013/04/30	16.00
21063	Nomura Int'l plc – ICBC European Warrants Jun 2013 A	50,000,000	2012/11/09	2013/06/28	12.50
21067	Standard Chartered Bank – Hutchison Euro Euro Wts Mar 2013 C	100,000,000	2012/11/09	2013/03/18	15.00
21069	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr2013 A	65,000,000	2012/11/09	2013/04/22	10.08
21068	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt May 13 C	300,000,000	2012/11/09	2013/05/30	66.00
21081	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Jun 13 D	300,000,000	2012/11/09	2013/06/27	48.00
21070	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul2013 A	50,000,000	2012/11/09	2013/07/08	17.25
16839 #	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Dec 2012 A	250,000,000	2012/11/09	2012/12/28	10.50
17616 #	Daiwa Capital Mkt – HSBC European Warrants Jan 2013 B	300,000,000	2012/11/09	2013/01/21	18.90
19179 #	Daiwa Capital Mkt – A50 China European Warrants May 2014 A	872,000,000	2012/11/09	2014/05/02	93.30
21101	Goldman Sachs SP (Asia) – Galaxy Ent Euro Wts Jul 2013 A	80,000,000	2012/11/12	2013/07/29	20.00
21099	Goldman Sachs SP (Asia) – HSBC European Put Wts Jun 2013 A	80,000,000	2012/11/12	2013/06/10	24.40
21100	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2013 A	80,000,000	2012/11/12	2013/07/29	20.00
21109	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Jul 2013 B	80,000,000	2012/11/12	2013/07/22	20.00
21104	HK Bank – AIA European Put Warrants Jun 2013 B	100,000,000	2012/11/12	2013/06/17	25.00
21106	HK Bank – CNBM European Warrants Dec 2014 A	60,000,000	2012/11/12	2014/12/23	15.00
21105	HK Bank – Galaxy Ent European Warrants Jun 2013 A	60,000,000	2012/11/12	2013/06/03	15.00
21108	HK Bank – HSCEI European Warrants Dec 2014 A	150,000,000	2012/11/12	2014/12/30	37.50
21103	HK Bank – HSI European Warrants Apr 2013 B	250,000,000	2012/11/12	2013/04/29	40.00
21107	HK Bank – HSI European Put Warrants May 2013 D	250,000,000	2012/11/12	2013/05/30	62.50
21102	HK Bank – ICBC European Warrants May 2013 A	60,000,000	2012/11/12	2013/05/27	12.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
21111	Macquarie Bank Ltd. – Datang European Warrants Oct 2013 A	100,000,000	2012/11/12	2013/10/03	25.00
21110	Macquarie Bank Ltd. – Foxconn European Warrants Jun 2013 A	100,000,000	2012/11/12	2013/06/04	25.00
21113	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2013 A	200,000,000	2012/11/12	2013/06/27	30.00
21114	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2013 B	200,000,000	2012/11/12	2013/06/27	50.00
21096	Standard Chartered Bank – Galaxy Ent European Wts Aug 2013 B	80,000,000	2012/11/12	2013/08/08	22.40
21097	Standard Chartered Bank – HSBC European Warrants Aug 2013 A	150,000,000	2012/11/12	2013/08/08	37.50
21098	Standard Chartered Bank – HSI European Warrants Apr 2013 A	200,000,000	2012/11/12	2013/04/29	30.00
21112	UBS AG – HSBC European Warrants Mar 2016 A	300,000,000	2012/11/12	2016/03/23	45.00
18212 #	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2013 A	100,000,000	2012/11/12	2013/07/25	10.80
21130	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2013 A	100,000,000	2012/11/13	2013/04/29	15.40
21131	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2013 B	100,000,000	2012/11/13	2013/04/29	15.80
21115	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2013 A	80,000,000	2012/11/13	2013/08/05	20.08
21116	Goldman Sachs SP (Asia) – Tencent European Put Wt Jun 2013 A	80,000,000	2012/11/13	2013/06/10	20.00
21119	HK Bank – Ch Ship Cont European Warrants Jul 2013 A	60,000,000	2012/11/13	2013/07/02	15.00
21117	HK Bank – Foxconn European Warrants Jul 2013 A	60,000,000	2012/11/13	2013/07/09	15.00
21118	HK Bank – Tencent European Warrants Jul 2013 A	100,000,000	2012/11/13	2013/07/22	16.00
21127	J P Morgan SP BV – HSI European Warrants Apr 2013 C	300,000,000	2012/11/13	2013/04/29	45.00
21126	Macquarie Bank Ltd. – AIA European Warrants Jun 2013 A	100,000,000	2012/11/13	2013/06/04	25.00
21128	Macquarie Bank Ltd. – A50 China European Warrants Mar 2013 C	100,000,000	2012/11/13	2013/03/04	25.00
21120	CC Rabobank B.A. – Belle Int'l European Warrants Sep 2013 A	120,000,000	2012/11/13	2013/09/23	21.60
21124	CC Rabobank B.A. – China Agri European Warrants May 2013 A	80,000,000	2012/11/13	2013/05/13	28.00
21122	CC Rabobank B.A. – Henganintl European Warrants Jul 2013 A	80,000,000	2012/11/13	2013/07/15	36.00
21123	CC Rabobank B.A. – New World Dev European Wts Jan 2015 A	150,000,000	2012/11/13	2015/01/27	25.50
21121	CC Rabobank B.A. – SHK Ppt European Warrants Apr 2013 B	120,000,000	2012/11/13	2013/04/15	30.00
13525 #	BOCI Asia Ltd. – HSI European Put Warrants Dec 2012 B	200,000,000	2012/11/13	2012/12/28	10.00
21137	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Sep 2013 A	100,000,000	2012/11/14	2013/09/16	17.00
21144	Credit Suisse AG – China Mobile European Warrants Jun 2013 A	80,000,000	2012/11/14	2013/06/03	20.00
21145	Citigroup Global Mkt H Inc. – CC Bank European Wt Aug 2013 A	100,000,000	2012/11/14	2013/08/02	25.00
21138	HK Bank -BYD European Warrants Sep 2013 A	80,000,000	2012/11/14	2013/09/30	20.00
21134	HK Bank – CM Bank European Warrants Sep 2013 A	100,000,000	2012/11/14	2013/09/27	15.00
21136	HK Bank – China Overseas European Warrants Oct 2013 A	80,000,000	2012/11/14	2013/10/08	20.00
21135	HK Bank – Zhaojin Mining European Warrants Oct 2013 A	80,000,000	2012/11/14	2013/10/30	12.00
21133	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2013 C	200,000,000	2012/11/14	2013/03/25	30.00
21142	Macquarie Bank Ltd. – BYD European Warrants Jul 2013 A	150,000,000	2012/11/14	2013/07/03	37.50
21140	Macquarie Bank Ltd. – Geely Auto European Wts May 2013 A	100,000,000	2012/11/14	2013/05/03	15.70
21143	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Dec 2013 A	100,000,000	2012/11/14	2013/12/03	25.00
21141	UBS AG – BYD European Warrants May 2013 A	100,000,000	2012/11/14	2013/05/14	25.00
21139	UBS AG – Evergrande European Warrants Apr 2013 A	100,000,000	2012/11/14	2013/04/16	27.00
21174	Credit Suisse AG – Agricultural Bank European Wts Jul 2013 A	80,000,000	2012/11/15	2013/07/29	14.40
21175	Credit Suisse AG – CC Bank European Warrants Feb 2013 B	80,000,000	2012/11/15	2013/02/25	20.00
21173	Credit Suisse AG – A50 China European Warrants Mar 2013 B	80,000,000	2012/11/15	2013/03/25	14.40
21172	Goldman Sachs SP (Asia) – Chi Resources Euro Wt Jul 2013 A	100,000,000	2012/11/15	2013/07/29	25.10
21151	HK Bank – BOCL European Warrants Oct 2013 A	80,000,000	2012/11/15	2013/10/08	20.00
21152	HK Bank – Evergrande European Warrants Jun 2013 A	60,000,000	2012/11/15	2013/06/24	15.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
21154	HK Bank – Luk Fook Hold European Warrants Jun 2013 A	100,000,000	2012/11/15	2013/06/17	25.00
21153	HK Bank – New World Dev European Warrants Jan 2015 A	100,000,000	2012/11/15	2015/01/27	17.00
21176	J P Morgan SP BV – CAM CSI300 European Warrants Jul 2013 A	180,000,000	2012/11/15	2013/07/15	45.00
21163	J P Morgan SP BV – BEA European Warrants Oct 2013 A	50,000,000	2012/11/15	2013/10/02	12.50
21161	J P Morgan SP BV – BOCL European Warrants May 2013 A	200,000,000	2012/11/15	2013/05/15	50.20
21162	J P Morgan SP BV – China Mob European Wts Jun 2013 A	200,000,000	2012/11/15	2013/06/03	50.00
21164	J P Morgan SP BV – China Mob European Wts Jun 2013 B	200,000,000	2012/11/15	2013/06/03	67.60
21166	J P Morgan SP BV – A50 China European Warrants Apr 2013 A	200,000,000	2012/11/15	2013/04/15	50.00
21165	J P Morgan SP BV – Hutchison European Warrants Jun 2013 A	180,000,000	2012/11/15	2013/06/03	80.64
21167	J P Morgan SP BV – Zijin Mining European Warrants Aug 2013 B	88,000,000	2012/11/15	2013/08/01	22.00
21177	Macquarie Bank Ltd. – CAM CSI300 European Warrants Nov2013 A	130,000,000	2012/11/15	2013/11/04	32.50
21170	Macquarie Bank Ltd. – CRCC European Warrants Jun 2013 A	100,000,000	2012/11/15	2013/06/04	29.80
21171	Macquarie Bank Ltd. – Chinares Cement European Wt Jun 2013 A	100,000,000	2012/11/15	2013/06/04	41.90
21169	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2013 A	150,000,000	2012/11/15	2013/07/03	37.50
21168	Macquarie Bank Ltd. – Sands China European Wts Jun 2013 A	150,000,000	2012/11/15	2013/06/04	37.50
21150	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jul 13 B	40,000,000	2012/11/15	2013/07/29	10.00
21149	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2013 A	40,000,000	2012/11/15	2013/07/15	10.00
21148	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Aug 2013 A	60,000,000	2012/11/15	2013/08/19	12.00
21146	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 A	60,000,000	2012/11/15	2013/07/22	12.18
21147	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Apr2013 B	60,000,000	2012/11/15	2013/04/15	10.20
21156	CC Rabobank B.A. – Evergrande European Warrants Apr 2013 A	120,000,000	2012/11/15	2013/04/16	30.00
21155	CC Rabobank B.A. – Want Want China European Wts Jan 2014 A	80,000,000	2012/11/15	2014/01/27	20.00
21160	Standard Chartered Bank – Zijin Mining Euro Wts Aug 2013 A	80,000,000	2012/11/15	2013/08/30	20.00
21158	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2013 C	150,000,000	2012/11/15	2013/05/27	30.00
21159	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2013 A	150,000,000	2012/11/15	2013/09/16	22.50
18126 #	Goldman Sachs SP (Asia) – A50 China European Wts Jul 2013 A	230,000,000	2012/11/15	2013/07/25	10.35
19766 #	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Jan 2013 A	280,000,000	2012/11/15	2013/01/25	10.36
21186	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2013 A	200,000,000	2012/11/16	2013/06/03	71.60
21184	J P Morgan SP BV – HSCEI European Put Warrants Jun 2013 D	300,000,000	2012/11/16	2013/06/27	75.00
21182	J P Morgan SP BV – HSI European Warrants May 2013 D	300,000,000	2012/11/16	2013/05/30	75.90
21183	J P Morgan SP BV – HSI European Warrants Jun 2013 B	300,000,000	2012/11/16	2013/06/27	75.00
21185	J P Morgan SP BV – ICBC European Warrants May 2013 A	200,000,000	2012/11/16	2013/05/02	30.20
21180	Nomura Int'l plc – A50 China European Warrants May 2013 A	30,000,000	2012/11/16	2013/05/31	15.00
21181	Nomura Int'l plc – A50 China European Put Wts May 2013 B	35,000,000	2012/11/16	2013/05/31	14.35
21178	Nomura Int'l plc – Link REIT European Warrants Aug 2013 A	50,000,000	2012/11/16	2013/08/30	17.50
21179	Nomura Int'l plc – Link REIT European Put Wts Dec 2013 A	50,000,000	2012/11/16	2013/12/23	12.50
21187	UBS AG – CAM CSI300 European Warrants Sep 2013 A	100,000,000	2012/11/16	2013/09/30	25.00
21200	BNP Paribas Arbit Issu B.V. – AAC Acoustic Eu Wts Nov 2013 A	76,000,000	2012/11/19	2013/11/04	30.40
21202	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2013 A	200,000,000	2012/11/19	2013/07/03	38.00
21204	BNP Paribas Arbit Issu B.V. – Ch Mer Hold Euro Wts Dec2013 A	55,000,000	2012/11/19	2013/12/03	17.05
21201	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2013 A	200,000,000	2012/11/19	2013/05/31	38.00
21199	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2013 B	59,000,000	2012/11/19	2013/08/02	24.19
21198	BNP Paribas Arbit Issu B.V. – Greatwall Motor Eu Wt Jul2013A	86,000,000	2012/11/19	2013/07/03	26.66
21197	BNP Paribas Arbit Issu B.V. – Hang Lung Ppt Eu Wt Dec 2013 A	95,000,000	2012/11/19	2013/12/03	23.75

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21203	BNP Paribas Arbit Issu B.V. – HSBC European Wts Aug 2013 A	200,000,000	2012/11/19	2013/08/02	50.00
21195	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wt Sep2013 A	100,000,000	2012/11/19	2013/09/03	25.00
21196	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Aug 2013 A	90,000,000	2012/11/19	2013/08/02	22.50
21191	HK Bank – CAM CSI300 European Warrants Nov 2013 A	50,000,000	2012/11/19	2013/11/25	12.50
21192	Macquarie Bank Ltd. – KECL European Warrants Dec 2013 A	180,000,000	2012/11/19	2013/12/03	27.00
21190	CC Rabobank B.A. – Brilliance Chi European Warrants Aug2013A	100,000,000	2012/11/19	2013/08/27	15.00
21188	CC Rabobank B.A. – Galaxy Ent European Warrants May 2013 B	100,000,000	2012/11/19	2013/05/20	25.00
21189	CC Rabobank B.A. – Poly HK Inv European Warrants May 2013 A	80,000,000	2012/11/19	2013/05/06	20.00
21193	UBS AG – Anhui Conch European Warrants May 2013 A	100,000,000	2012/11/19	2013/05/20	25.00
21194	UBS AG – Tencent Holdings European Put Warrants Apr 2013 B	100,000,000	2012/11/19	2013/04/15	18.00
21211	BNP Paribas Arbit Issu B.V. – CKI Holdings Eu Wts Sep 2013 A	35,000,000	2012/11/20	2013/09/03	10.50
21214	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Sep 2013 A	40,000,000	2012/11/20	2013/09/03	19.20
21213	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wt Oct2013 A	61,000,000	2012/11/20	2013/10/03	18.91
21212	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Nov 2014 A	100,000,000	2012/11/20	2014/11/04	25.00
21219	Credit Suisse AG – CAM CSI300 European Warrants May 2013 A	50,000,000	2012/11/20	2013/05/27	12.50
21224	Credit Suisse AG – Sinopec Corp European Warrants Feb 2013 A	60,000,000	2012/11/20	2013/02/25	16.80
21220	Credit Suisse AG – HKEx European Warrants Apr 2013 A	60,000,000	2012/11/20	2013/04/08	30.00
21225	Credit Suisse AG – HSI European Warrants Mar 2013 D	200,000,000	2012/11/20	2013/03/27	30.00
21223	Credit Suisse AG – Hutchison European Warrants Apr 2013 A	60,000,000	2012/11/20	2013/04/08	24.00
21218	Credit Suisse AG – Link REIT European Warrants Aug 2013 A	50,000,000	2012/11/20	2013/08/26	12.50
21205	HK Bank – China Railway European Warrants Aug 2013 A	50,000,000	2012/11/20	2013/08/26	12.50
21207	CC Rabobank B.A. – CITIC Pacific European Wts Apr 2013 B	100,000,000	2012/11/20	2013/04/30	50.00
21215	CC Rabobank B.A. – Ch LongYuan European Warrants May 2013 A	60,000,000	2012/11/20	2013/05/20	22.80
21217	CC Rabobank B.A. – Ch Mobile European Warrants Apr 2013 A	120,000,000	2012/11/20	2013/04/22	33.60
21209	CC Rabobank B.A. – CNBM European Warrants May 2013 A	120,000,000	2012/11/20	2013/05/20	72.00
21208	CC Rabobank B.A. – Sinopec Corp European Warrants May 2013 A	100,000,000	2012/11/20	2013/05/20	37.00
21210	CC Rabobank B.A. – China Railway European Wts May 2013 A	50,000,000	2012/11/20	2013/05/20	14.50
21216	CC Rabobank B.A. – China Shenhua European Warrants Apr2013 A	100,000,000	2012/11/20	2013/04/26	18.00
21206	CC Rabobank B.A. – Datang European Warrants Aug 2013 A	80,000,000	2012/11/20	2013/08/12	20.00
21226	UBS AG – China Tel European Warrants Mar 2013 B	100,000,000	2012/11/20	2013/03/25	34.00
19256 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Mar 2013 B	150,000,000	2012/11/20	2013/03/11	10.65
20385 #	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2013 A	140,000,000	2012/11/20	2013/04/22	11.48
21232	BNP Paribas Arbit Issu B.V. – CR Cement Euro Wts Jun 2013 A	51,000,000	2012/11/21	2013/06/04	22.95
21233	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2013 A	77,000,000	2012/11/21	2013/04/02	17.71
21234	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2013 A	200,000,000	2012/11/21	2013/05/30	30.00
21235	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2013 B	200,000,000	2012/11/21	2013/06/27	52.00
21231	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Apr 2014 A	100,000,000	2012/11/21	2014/04/02	18.00
21241	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2013 A	80,000,000	2012/11/21	2013/06/17	28.48
21242	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2013 B	80,000,000	2012/11/21	2013/06/24	20.00
21250	Goldman Sachs SP (Asia) – CPIC European Warrants Jul 2013 A	80,000,000	2012/11/21	2013/07/29	12.16
21240	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2013 B	80,000,000	2012/11/21	2013/07/02	22.40
21239	Goldman Sachs SP (Asia) – Ping An European Wts Jun 2013 A	80,000,000	2012/11/21	2013/06/24	28.64
21230	J P Morgan SP BV – Cheung Kong European Warrants May 2013 A	100,000,000	2012/11/21	2013/05/27	25.00
21229	J P Morgan SP BV – HSBC European Warrants Apr 2013 A	200,000,000	2012/11/21	2013/04/08	30.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21228	J P Morgan SP BV – HSBC European Put Warrants Jun 2013 A	200,000,000	2012/11/21	2013/06/24	50.20
21243	Macquarie Bank Ltd. – Ch LongYuan European Wts Jun 2013 A	100,000,000	2012/11/21	2013/06/04	25.00
21245	Macquarie Bank Ltd. – China Tel European Warrants Jul 2013 A	100,000,000	2012/11/21	2013/07/03	25.00
21244	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jun 2013 A	150,000,000	2012/11/21	2013/06/04	37.50
21247	CC Rabobank B.A. – CC Bank European Warrants May 2013 A	100,000,000	2012/11/21	2013/05/30	50.00
21246	CC Rabobank B.A. – CC Bank European Warrants Sep 2013 A	120,000,000	2012/11/21	2013/09/27	30.00
21248	CC Rabobank B.A. – Ch State Con European Warrants May 2013 A	80,000,000	2012/11/21	2013/05/21	56.00
21249	CC Rabobank B.A. – Yanzhou Coal European Warrants Mar 2014 A	90,000,000	2012/11/21	2014/03/28	22.50
21236	Standard Chartered Bank – CTel European Warrants Sep 2013 A	100,000,000	2012/11/21	2013/09/02	25.00
21238	Standard Chartered Bank – A50 China Euro Warrants Apr 2013 A	100,000,000	2012/11/21	2013/04/30	15.00
21237	Standard Chartered Bank –PetroChina European Wts Nov 2013 A	100,000,000	2012/11/21	2013/11/01	15.00
21227	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2013 A	50,000,000	2012/11/21	2013/05/22	28.75
14918 #	Standard Chartered Bank – HKEx European Warrants Aug 2013	150,000,000	2012/11/21	2013/08/01	11.10
21265	Credit Suisse AG – Lenovo European Warrants Feb 2013 B	60,000,000	2012/11/22	2013/02/28	10.80
21262	Credit Suisse AG – Tencent Holdings European Wts Feb 2013 D	80,000,000	2012/11/22	2013/02/25	12.00
21264	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 B	80,000,000	2012/11/22	2013/04/30	18.40
21260	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2013 A	80,000,000	2012/11/22	2013/07/26	13.52
21256	Goldman Sachs SP (Asia) – CQRC Bank European Wts Jul 2013 A	80,000,000	2012/11/22	2013/07/22	20.00
21261	Goldman Sachs SP (Asia) – CRCC European Warrants Jun 2013 A	80,000,000	2012/11/22	2013/06/28	54.80
21257	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2013 A	80,000,000	2012/11/22	2013/06/24	20.08
21258	Goldman Sachs SP (Asia) – MTRC European Warrants Jan 2014 A	80,000,000	2012/11/22	2014/01/27	20.08
21254	J P Morgan SP BV – China Life European Put Wts Oct 2013 A	300,000,000	2012/11/22	2013/10/02	75.00
21253	J P Morgan SP BV – China Mob European Wts Jun 2013 C	200,000,000	2012/11/22	2013/06/04	69.40
21252	J P Morgan SP BV – Hutchison European Warrants Jun 2013 B	180,000,000	2012/11/22	2013/06/17	45.00
21251	J P Morgan SP BV – ICBC European Warrants Jul 2013 C	200,000,000	2012/11/22	2013/07/02	50.60
21255	Standard Chartered Bank – BOCL European Warrants May 2013 A	100,000,000	2012/11/22	2013/05/31	15.00
21270	UBS AG – Esprit European Warrants Sep 2013 A	100,000,000	2012/11/22	2013/09/30	25.00
21269	UBS AG – HKEx European Warrants Apr 2013 A	100,000,000	2012/11/22	2013/04/08	45.00
21267	UBS AG – HSBC European Put Warrants Apr 2013 C	100,000,000	2012/11/22	2013/04/23	18.50
21271	UBS AG – Link REIT European Warrants Jul 2013 B	100,000,000	2012/11/22	2013/07/15	25.00
21266	UBS AG – Ping An European Warrants Mar 2013 B	100,000,000	2012/11/22	2013/03/13	21.00
21268	UBS AG – Tencent Holdings European Warrants Jun 2013 A	200,000,000	2012/11/22	2013/06/27	35.20
21290	Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Jun 2013 A	80,000,000	2012/11/23	2013/06/03	20.16
21286	HK Bank – Li & Fung European Warrants Jul 2014 A	80,000,000	2012/11/23	2014/07/28	14.40
21285	HK Bank – Tencent European Warrants Jul 2013 B	100,000,000	2012/11/23	2013/07/16	25.00
21289	Macquarie Bank Ltd. – Ping An European Warrants Jun 2013 B	100,000,000	2012/11/23	2013/06/04	25.00
21288	Macquarie Bank Ltd. – Shimao Ppt European Wts Oct 2013 A	180,000,000	2012/11/23	2013/10/07	45.00
21274	Nomura Int'l plc – CC Bank European Warrants May 2013 A	50,000,000	2012/11/23	2013/05/31	12.50
21275	Nomura Int'l plc – China Mobile European Warrants Jun 2013 A	50,000,000	2012/11/23	2013/06/28	12.50
21273	Nomura Int'l plc – New World Dev European Wts Jul 2013 A	75,000,000	2012/11/23	2013/07/31	11.25
21276	Nomura Int'l plc – Ping An European Warrants Jun 2013 A	35,000,000	2012/11/23	2013/06/28	13.30
21272	Nomura Int'l plc – SHK P European Put Warrants Feb 2015 A	100,000,000	2012/11/23	2015/02/27	17.00
21283	Standard Chartered Bank – Esprit European Wts Aug 2013 A	80,000,000	2012/11/23	2013/08/05	20.00
21284	Standard Chartered Bank – Esprit European Wts Nov 2014 A	60,000,000	2012/11/23	2014/11/03	18.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21282	Standard Chartered Bank – Ping An European Wts Mar 2013 A	100,000,000	2012/11/23	2013/03/06	35.00
21287	Standard Chartered Bank – Tencent Hldg Eu Put Wts Jul 2013 B	100,000,000	2012/11/23	2013/07/22	25.00
21277	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2013 A	150,000,000	2012/11/23	2013/07/15	48.75
21279	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2013 A	150,000,000	2012/11/23	2013/08/12	39.00
21280	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2013 A	150,000,000	2012/11/23	2013/06/17	48.75
21281	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2013 A	150,000,000	2012/11/23	2013/07/15	38.25
21291	UBS AG – CAM CSI300 European Warrants Jun 2013 A	100,000,000	2012/11/23	2013/06/24	25.00
21292	UBS AG – ICBC European Warrants Jun 2013 A	100,000,000	2012/11/23	2013/06/28	25.00
19744 #	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2014 A	130,000,000	2012/11/23	2014/04/29	10.92
17922 #	Standard Chartered Bank – SHK P European Put Wts Mar 2013 A	200,000,000	2012/11/23	2013/03/18	10.00
21303	Citigroup Global Mkt H Inc. – KECL European Wts Nov 2013 A	100,000,000	2012/11/26	2013/11/26	19.00
21301	Citigroup Global Mkt H Inc. – Ping An Euro Wts Apr 2013 A	100,000,000	2012/11/26	2013/04/26	15.00
21302	Citigroup Global Mkt H Inc. – Ping An Euro Put Wt Aug 2013 A	100,000,000	2012/11/26	2013/08/05	25.00
21300	Citigroup Global Mkt H Inc.-Tencent European Wts Jun 2013 B	100,000,000	2012/11/26	2013/06/26	15.00
21293	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2013 A	80,000,000	2012/11/26	2013/06/03	31.44
21295	CC Rabobank B.A. – China Coal European Warrants May 2013 A	80,000,000	2012/11/26	2013/05/27	40.00
21297	CC Rabobank B.A. – Chinares Cement European Wts May 2013 B	60,000,000	2012/11/26	2013/05/27	27.00
21299	CC Rabobank B.A. – Geely Auto European Warrants Jul 2013 A	80,000,000	2012/11/26	2013/07/22	24.00
21296	CC Rabobank B.A. – KECL European Warrants Jun 2013 A	100,000,000	2012/11/26	2013/06/03	50.00
21298	CC Rabobank B.A. – Weichai Power European Wts May 2013 A	100,000,000	2012/11/26	2013/05/27	25.00
21294	Standard Chartered Bank – Tencent Hldg Euro Wts Jul 2013 C	100,000,000	2012/11/26	2013/07/10	25.00
21306	UBS AG – KECL European Warrants Nov 2013 A	100,000,000	2012/11/26	2013/11/26	18.50
21308	UBS AG – Ping An European Warrants Apr 2013 A	100,000,000	2012/11/26	2013/04/29	15.00
21307	UBS AG – Tencent Holdings European Warrants Apr 2013 C	200,000,000	2012/11/26	2013/04/29	38.00
21319	BOCI Asia Ltd. – Sinopec Corp European Warrants May 2013 A	100,000,000	2012/11/27	2013/05/06	15.00
21320	BOCI Asia Ltd. – Ping An European Warrants Mar 2013 A	100,000,000	2012/11/27	2013/03/18	16.00
21323	Credit Suisse AG – China Mobile European Warrants Feb 2013 E	80,000,000	2012/11/27	2013/02/28	12.00
21321	Credit Suisse AG – HSI European Put Warrants Jun 2013 B	200,000,000	2012/11/27	2013/06/27	32.00
21322	Credit Suisse AG – Ping An European Warrants Mar 2013 C	80,000,000	2012/11/27	2013/03/06	20.80
21324	Credit Suisse AG – Tencent Holdings European Wts Jun 2013 A	80,000,000	2012/11/27	2013/06/28	12.00
21326	Citigroup Global Mkt H Inc. – HKEx European Wts Jun 2014 A	100,000,000	2012/11/27	2014/06/20	15.00
21314	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 A	100,000,000	2012/11/27	2013/06/10	17.50
21315	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 B	100,000,000	2012/11/27	2013/06/25	15.00
21316	HK Bank – Dongfeng Motor European Warrants Jun 2014 A	80,000,000	2012/11/27	2014/06/19	12.00
21310	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jun 2013 A	40,000,000	2012/11/27	2013/06/03	14.00
21309	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Wts May 2013 A	40,000,000	2012/11/27	2013/05/27	12.20
21313	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jun 2013 A	60,000,000	2012/11/27	2013/06/03	15.90
21312	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2013 C	80,000,000	2012/11/27	2013/04/29	13.12
21311	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 B	80,000,000	2012/11/27	2013/07/02	12.08
21333	CC Rabobank B.A. – CAM CSI300 European Warrants May 2013 A	70,000,000	2012/11/27	2013/05/20	11.20
21334	CC Rabobank B.A. – CAM CSI300 European Warrants Sep 2013 A	70,000,000	2012/11/27	2013/09/23	10.50
21327	CC Rabobank B.A. – CNBM European Put Warrants Jul 2013 A	100,000,000	2012/11/27	2013/07/02	60.00
21330	CC Rabobank B.A. – CNOOC European Warrants Nov 2013 A	120,000,000	2012/11/27	2013/11/26	20.40
21329	CC Rabobank B.A. – China Tel European Warrants May 2013 A	70,000,000	2012/11/27	2013/05/08	17.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21328	CC Rabobank B.A. – PetroChina European Warrants May 2013 A	120,000,000	2012/11/27	2013/05/27	42.00
21332	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2013 B	150,000,000	2012/11/27	2013/04/26	25.50
21318	Standard Chartered Bank – Sinopec Corp Euro Wts Apr 2013 A	100,000,000	2012/11/27	2013/04/22	17.00
21317	Standard Chartered Bank – GCL-Poly Energy Eur Wts Nov 2016 A	60,000,000	2012/11/27	2016/11/04	15.00
21340	BNP Paribas Arbit Issu B.V. – Air China Euro Wts Jul 2013 A	24,000,000	2012/11/28	2013/07/03	10.32
21341	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2013 B	100,000,000	2012/11/28	2013/04/29	15.00
21337	BNP Paribas Arbit Issu B.V. – NCI European Wts Oct 2013 A	39,000,000	2012/11/28	2013/10/03	12.48
21338	BNP Paribas Arbit Issu B.V. – Ping An European Wt Mar 2013 B	100,000,000	2012/11/28	2013/03/25	16.00
21339	BNP Paribas Arbit Issu B.V. – Swire Properties Eu Wt Dec13 A	100,000,000	2012/11/28	2013/12/03	26.00
21347	J P Morgan SP BV – Agile Ppt European Warrants Aug 2014 A	150,000,000	2012/11/28	2014/08/01	37.50
21354	J P Morgan SP BV – CHALCO European Warrants Jul 2013 A	48,000,000	2012/11/28	2013/07/02	12.10
21351	J P Morgan SP BV – Brilliance Chi European Wts Sep 2013 A	150,000,000	2012/11/28	2013/09/02	22.50
21353	J P Morgan SP BV – BYD European Warrants Jun 2013 A	100,000,000	2012/11/28	2013/06/03	25.10
21346	J P Morgan SP BV – Chi Res Land European Warrants Aug 2013 B	100,000,000	2012/11/28	2013/08/02	25.00
21356	J P Morgan SP BV – Evergrande European Warrants Aug 2013 A	100,000,000	2012/11/28	2013/08/01	25.00
21358	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2013 A	200,000,000	2012/11/28	2013/07/02	50.00
21355	J P Morgan SP BV – R&F Ppt European Warrants Mar 2014 A	150,000,000	2012/11/28	2014/03/03	22.50
21343	J P Morgan SP BV – HSI European Put Warrants Jun 2013 C	300,000,000	2012/11/28	2013/06/27	75.00
21344	J P Morgan SP BV – HSI European Put Warrants Jun 2013 D	300,000,000	2012/11/28	2013/06/27	51.30
21345	J P Morgan SP BV – Li & Fung European Warrants Jan 2014 A	180,000,000	2012/11/28	2014/01/02	27.72
21357	J P Morgan SP BV – MTRC European Warrants Jan 2014 A	88,000,000	2012/11/28	2014/01/02	22.00
21362	Macquarie Bank Ltd. -Greatwall Motor European Wts Jul 2013 A	180,000,000	2012/11/28	2013/07/03	45.00
21360	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jun13A	150,000,000	2012/11/28	2013/06/24	37.50
21361	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13A	300,000,000	2012/11/28	2013/09/30	75.00
21359	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar2013B	168,000,000	2012/11/28	2013/03/27	52.08
21335	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr2013B	100,000,000	2012/11/28	2013/04/29	15.50
21336	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Jun2013A	200,000,000	2012/11/28	2013/06/26	33.00
21363	UBS AG – China Mobile European Put Warrants Mar 2013 D	100,000,000	2012/11/28	2013/03/25	16.50
21366	UBS AG – HSI European Warrants May 2013 A	300,000,000	2012/11/28	2013/05/30	45.00
21365	UBS AG – HSI European Put Warrants Jun 2013 C	300,000,000	2012/11/28	2013/06/27	54.00
21364	UBS AG – Tencent Holdings European Warrants Jun 2013 B	200,000,000	2012/11/28	2013/06/18	30.00
21370	BOCI Asia Ltd. – China Mobile European Warrants Mar 2013 B	100,000,000	2012/11/29	2013/03/04	17.00
21378	J P Morgan SP BV – China Shenhua European Wts Jul 2013 A	200,000,000	2012/11/29	2013/07/02	50.00
21369	J P Morgan SP BV – Hutchison European Put Wts Jul 2013 A	100,000,000	2012/11/29	2013/07/02	26.90
21379	Macquarie Bank Ltd. – Li & Fung European Warrants Aug 2014 A	150,000,000	2012/11/29	2014/08/04	22.50
21377	CC Rabobank B.A. – Agricultural Bk Euro Warrants May 2013 A	60,000,000	2012/11/29	2013/05/08	10.80
21375	CC Rabobank B.A. – China COSCO European Warrants Jun 2013 A	30,000,000	2012/11/29	2013/06/17	10.50
21373	CC Rabobank B.A. – CITIC Sec European Warrants Sep 2013 A	70,000,000	2012/11/29	2013/09/23	17.50
21374	CC Rabobank B.A. – Minsheng Bank European Wts Aug 2013 A	30,000,000	2012/11/29	2013/08/19	10.50
21376	CC Rabobank B.A. – SJM Holdings European Warrants Sep 2013 A	60,000,000	2012/11/29	2013/09/16	15.00
21368	Standard Chartered Bank – HSI European Put Wts Apr 2013 B	200,000,000	2012/11/29	2013/04/29	37.60
21367	Standard Chartered Bank – KECL European Warrants Aug 2013 A	60,000,000	2012/11/29	2013/08/01	15.00
21371	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul2013 A	150,000,000	2012/11/29	2013/07/03	62.25
21372	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 A	150,000,000	2012/11/29	2013/07/03	31.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21381	UBS AG – China Mobile European Warrants Apr 2013 A	200,000,000	2012/11/29	2013/04/22	76.00
21380	UBS AG – HSI European Warrants May 2013 B	300,000,000	2012/11/29	2013/05/30	45.00
21388	BOCI Asia Ltd. – Chi Res Land European Warrants Jun 2013 B	100,000,000	2012/11/30	2013/06/17	25.00
21384	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jun 2014 A	44,000,000	2012/11/30	2014/06/04	11.44
21385	Credit Suisse AG – CAM CSI300 European Warrants Jun 2013 A	80,000,000	2012/11/30	2013/06/17	12.00
21398	Credit Suisse AG – HSI European Put Warrants Apr 2013 C	200,000,000	2012/11/30	2013/04/29	32.00
21399	Credit Suisse AG – Link REIT European Warrants Jun 2013 A	80,000,000	2012/11/30	2013/06/10	20.00
21397	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2013 B	100,000,000	2012/11/30	2013/06/03	17.00
21395	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Sep 2013 A	100,000,000	2012/11/30	2013/09/16	25.00
21396	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Oct 13 A	100,000,000	2012/11/30	2013/10/02	25.00
21390	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jun 2013 A	120,000,000	2012/11/30	2013/06/17	18.00
21409	Goldman Sachs SP (Asia) -Ch Overseas European Wts Sep 2013 A	80,000,000	2012/11/30	2013/09/30	20.56
21383	HK Bank – HSI European Put Warrants Jun 2013 A	150,000,000	2012/11/30	2013/06/27	22.50
21382	HK Bank – KECL European Warrants Dec 2014 A	80,000,000	2012/11/30	2014/12/22	20.00
21394	J P Morgan SP BV – China Mob European Put Wts Jul 2013 A	100,000,000	2012/11/30	2013/07/02	26.60
21400	J P Morgan SP BV – Tencent European Warrants May 2013 A	200,000,000	2012/11/30	2013/05/27	30.00
21401	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jun 2013 C	60,000,000	2012/11/30	2013/06/25	10.32
21406	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2013 A	40,000,000	2012/11/30	2013/07/15	10.00
21402	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jun 2013 A	40,000,000	2012/11/30	2013/06/24	12.60
21403	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Jul 2013 B	40,000,000	2012/11/30	2013/07/29	10.00
21405	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2013 A	40,000,000	2012/11/30	2013/06/17	23.60
21393	CC Rabobank B.A. – A50 China European Warrants May 2013 A	50,000,000	2012/11/30	2013/05/30	12.50
21392	CC Rabobank B.A. – A50 China European Warrants Jul 2013 A	70,000,000	2012/11/30	2013/07/02	10.50
21391	CC Rabobank B.A. – A50 China European Warrants Nov 2013 A	50,000,000	2012/11/30	2013/11/18	12.50
21386	Standard Chartered Bank – CAM CSI300 European Wts Aug 2013 A	80,000,000	2012/11/30	2013/08/08	20.00
21387	Standard Chartered Bank – CAM CSI300 European Wts Nov 2013 A	80,000,000	2012/11/30	2013/11/18	12.00
21389	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jun 2013 B	30,000,000	2012/11/30	2013/06/03	17.70
21408	UBS AG – CAM CSI300 European Warrants May 2013 A	100,000,000	2012/11/30	2013/05/20	16.00
21407	UBS AG – Link REIT European Warrants Jul 2013 C	100,000,000	2012/11/30	2013/07/08	25.00
21417	BOCI Asia Ltd. – CAM CSI300 European Warrants Jul 2013 A	100,000,000	2012/12/03	2013/07/15	17.00
21420	Bank of East Asia – Agricultural Bank Euro Wts Nov 2013 A	50,000,000	2012/12/03	2013/11/25	12.50
21421	Bank of East Asia – BYD European Warrants Aug 2013 A	60,000,000	2012/12/03	2013/08/26	15.00
21424	Bank of East Asia – CRCC European Warrants Dec 2013 A	210,000,000	2012/12/03	2013/12/23	42.00
21422	Bank of East Asia – Dongfeng European Warrants Nov 2014 A	120,000,000	2012/12/03	2014/11/26	30.00
21425	Bank of East Asia – Swire Properties European Wts Sep 2013 A	60,000,000	2012/12/03	2013/09/26	15.00
21418	Bank of East Asia – Tencent Holdings European Wts Dec 2013 A	60,000,000	2012/12/03	2013/12/23	15.00
21426	J P Morgan SP BV – A50 China European Put Wts Jun 2013 A	200,000,000	2012/12/03	2013/06/03	58.40
21415	CC Rabobank B.A. – BYD European Warrants May 2013 A	70,000,000	2012/12/03	2013/05/07	10.50
21414	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2013 A	50,000,000	2012/12/03	2013/06/10	12.50
21412	CC Rabobank B.A. – Link REIT European Warrants Jun 2013 A	50,000,000	2012/12/03	2013/06/03	12.50
21413	CC Rabobank B.A. – Yuexiu Property Euro Warrants Aug 2013 A	45,000,000	2012/12/03	2013/08/05	12.60
21410	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 13 B	168,000,000	2012/12/03	2013/05/27	31.92
21411	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 13 A	168,000,000	2012/12/03	2013/06/03	48.72
14773 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2013	220,000,000	2012/12/03	2013/06/13	12.32

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21448	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jun 2013 A	30,000,000	2012/12/04	2013/06/03	10.20
21451	BNP Paribas Arbit Issu B.V. – First Pacific Eu Wt Dec 2014 A	61,000,000	2012/12/04	2014/12/02	15.25
21452	BNP Paribas Arbit Issu B.V. – Gome European Wts Aug 2014 A	100,000,000	2012/12/04	2014/08/04	25.00
21450	BNP Paribas Arbit Issu B.V. -MTRC European Wts Oct 2014 A	43,000,000	2012/12/04	2014/10/06	11.18
21454	BNP Paribas Arbit Issu B.V. – Shougang Res Eu Wts Jul 2013 A	40,000,000	2012/12/04	2013/07/03	16.00
21453	BNP Paribas Arbit Issu B.V. – Wharf European Wts Oct 2013 A	73,000,000	2012/12/04	2013/10/03	25.55
21442	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 B	80,000,000	2012/12/04	2013/07/05	38.40
21449	Credit Suisse AG – CSOP A50 ETF European Warrants Jul 2013 C	80,000,000	2012/12/04	2013/07/05	56.00
21445	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2013 A	80,000,000	2012/12/04	2013/06/18	33.44
21444	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Sep 2013 A	80,000,000	2012/12/04	2013/09/24	26.72
21427	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2013 A	80,000,000	2012/12/04	2013/06/25	37.28
21428	Goldman Sachs SP (Asia) – HKEx European Put Wts Sep 2013 A	80,000,000	2012/12/04	2013/09/06	12.08
21435	HK Bank – CSOP A50 ETF European Warrants Jun 2013 A	60,000,000	2012/12/04	2013/06/04	15.00
21434	HK Bank – CSOP A50 ETF European Warrants Dec 2015 A	200,000,000	2012/12/04	2015/12/21	50.00
21457	J P Morgan SP BV – CSOP A50 ETF European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/04	25.00
21456	J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2013 A	100,000,000	2012/12/04	2013/07/02	25.00
21455	J P Morgan SP BV – CSOP A50 ETF European Warrants Jul 2015 A	500,000,000	2012/12/04	2015/07/02	125.00
21429	J P Morgan SP BV – Link Reit European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/04	25.00
21462	J P Morgan SP BV – Wynn Macau European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/04	25.00
21443	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jun 2013 A	70,000,000	2012/12/04	2013/06/04	17.50
21430	Macquarie Bank Ltd. – Link REIT European Warrants Aug 2013 A	180,000,000	2012/12/04	2013/08/02	45.72
21433	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Jun2013A	80,000,000	2012/12/04	2013/06/26	33.20
21432	Merrill Lynch Int'l & Co. C.V. – CSOP A50 ETF Eu Wt Sep2013A	80,000,000	2012/12/04	2013/09/19	29.60
21431	Merrill Lynch Int'l & Co. C.V.-CSOP A50 ETF Eu Put Wt Jan15A	80,000,000	2012/12/04	2015/01/02	20.00
21446	CC Rabobank B.A. – CSOP A50 ETF European Warrants Jun 2013 A	25,000,000	2012/12/04	2013/06/04	12.50
21447	CC Rabobank B.A. – CSOP A50 ETF European Warrants Aug 2013 A	25,000,000	2012/12/04	2013/08/29	12.50
21440	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2013 A	50,000,000	2012/12/04	2013/08/08	13.75
21439	Standard Chartered Bank – CSOP A50 ETF Euro Wts Dec 2013 A	50,000,000	2012/12/04	2013/12/30	12.50
21437	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13A	50,000,000	2012/12/04	2013/07/03	12.75
21438	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul13B	50,000,000	2012/12/04	2013/07/03	17.00
21436	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Sep13A	50,000,000	2012/12/04	2013/09/04	12.50
21459	UBS AG – Sinopec Corp European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/24	44.00
21460	UBS AG – CSOP A50 ETF European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/04	45.00
21461	UBS AG – CSOP A50 ETF European Warrants Jul 2015 A	100,000,000	2012/12/04	2015/07/13	25.00
21458	UBS AG – PetroChina European Warrants Jun 2013 A	100,000,000	2012/12/04	2013/06/10	35.00
21485	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2013 A	200,000,000	2012/12/05	2013/10/03	32.00
21488	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2013 A	200,000,000	2012/12/05	2013/08/02	30.00
21489	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jan 2014 A	200,000,000	2012/12/05	2014/01/03	52.00
21475	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Oct 2015 A	200,000,000	2012/12/05	2015/10/05	50.00
21487	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2013 A	200,000,000	2012/12/05	2013/09/27	32.00
21486	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jan 2014 A	200,000,000	2012/12/05	2014/01/03	62.00
21478	Credit Suisse AG – CAM CSI300 European Warrants Jun 2013 B	80,000,000	2012/12/05	2013/06/04	20.00
21474	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 A	80,000,000	2012/12/05	2013/06/04	20.00
21479	Citigroup Global Mkt H Inc. – A50 China Euro Wts May 2013 B	100,000,000	2012/12/05	2013/05/24	40.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21469	Daiwa Capital Mkt – CAM CSI300 European Warrants May 2013 A	100,000,000	2012/12/05	2013/05/20	15.00
21468	Daiwa Capital Mkt – CAM CSI300 European Warrants Sep 2013 A	100,000,000	2012/12/05	2013/09/23	15.00
21464	Daiwa Capital Mkt – CCB European Warrants Jun 2013 A	128,000,000	2012/12/05	2013/06/05	47.36
21465	Daiwa Capital Mkt – CCB European Put Warrants Jun 2013 B	80,000,000	2012/12/05	2013/06/05	20.80
21466	Daiwa Capital Mkt – HSCEI European Warrants Dec 2013 B	100,000,000	2012/12/05	2013/12/30	21.00
21467	Goldman Sachs SP (Asia) – Link REIT European Wts Sep 2013 A	80,000,000	2012/12/05	2013/09/02	20.16
21477	J P Morgan SP BV – CAM CSI300 European Warrants Jun 2013 A	100,000,000	2012/12/05	2013/06/04	25.00
21471	J P Morgan SP BV – Cheung Kong European Warrants Dec 2013 A	300,000,000	2012/12/05	2013/12/20	45.00
21476	J P Morgan SP BV – CSOP A50 ETF Euro Put Wts Jun 2013 B	100,000,000	2012/12/05	2013/06/04	25.10
21470	J P Morgan SP BV – Sands China European Warrants Jun 2013 A	200,000,000	2012/12/05	2013/06/24	50.00
21473	Standard Chartered Bank – CAM CSI300 European Wts Jun 2013 A	80,000,000	2012/12/05	2013/06/28	20.80
21472	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2016 A	100,000,000	2012/12/05	2016/08/08	25.00
21463	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2013 B	150,000,000	2012/12/05	2013/06/10	39.75
21491	UBS AG – CSOP A50 ETF European Warrants Jun 2013 B	100,000,000	2012/12/05	2013/06/07	25.00
21490	UBS AG – Chow Tai Fook European Warrants Sep 2014 A	100,000,000	2012/12/05	2014/09/02	19.00
21481	UBS AG – A50 China European Warrants Mar 2013 B	100,000,000	2012/12/05	2013/03/26	15.00
21480	UBS AG – Hutchison European Warrants Apr 2013 A	200,000,000	2012/12/05	2013/04/08	96.00
21484	UBS AG – Link REIT European Warrants Jul 2013 D	100,000,000	2012/12/05	2013/07/22	34.00
21482	UBS AG – PICC European Warrants Jun 2013 A	50,000,000	2012/12/05	2013/06/04	33.00
21483	UBS AG – PICC European Warrants May 2014 A	100,000,000	2012/12/05	2014/05/30	25.00
20857 #	HK Bank – A50 China European Warrants Jul 2013 A	150,000,000	2012/12/05	2013/07/15	14.55
10166	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Jun 2013 A	100,000,000	2012/12/06	2013/06/28	25.00
21505	Citigroup Global Mkt H Inc. – CC Bank Euro Put Wt May 2013 A	100,000,000	2012/12/06	2013/05/13	17.00
21508	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wt Jun 2013 A	100,000,000	2012/12/06	2013/06/11	20.00
21504	Citigroup Global Mkt H Inc. – Ch Mobile Eu Put Wt Jun 2013 C	100,000,000	2012/12/06	2013/06/10	25.00
21507	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Jun 2013 A	100,000,000	2012/12/06	2013/06/06	25.00
21506	Citigroup Global Mkt H Inc. – SHK Ppt Euro Wts Aug 2013 A	100,000,000	2012/12/06	2013/08/29	19.00
21493	HK Bank – Link REIT European Warrants Jul 2013 A	80,000,000	2012/12/06	2013/07/09	20.00
21503	Macquarie Bank Ltd. – Ping An European Warrants Apr 2013 A	75,000,000	2012/12/06	2013/04/29	11.25
21497	CC Rabobank B.A. – Ch Mobile European Warrants May 2013 A	70,000,000	2012/12/06	2013/05/27	10.50
21496	CC Rabobank B.A. – Ch Mobile European Put Wts Jun 2013 B	40,000,000	2012/12/06	2013/06/10	12.00
21500	CC Rabobank B.A. – China Overseas European Wts Aug 2013 A	40,000,000	2012/12/06	2013/08/12	10.00
21502	CC Rabobank B.A. – Galaxy Ent European Put Wts Jun 2013 A	30,000,000	2012/12/06	2013/06/24	10.50
21498	CC Rabobank B.A. – Hutchison European Warrants Jun 2013 A	40,000,000	2012/12/06	2013/06/24	10.00
21501	CC Rabobank B.A. – Li & Fung European Warrants Jun 2014 A	80,000,000	2012/12/06	2014/06/16	20.00
21494	CC Rabobank B.A. – Ping An European Warrants May 2013 A	70,000,000	2012/12/06	2013/05/28	10.50
21495	CC Rabobank B.A. – Sands China European Warrants Jul 2013 A	40,000,000	2012/12/06	2013/07/22	12.00
21499	CC Rabobank B.A. – Sands China European Put Wts Jun 2013 A	35,000,000	2012/12/06	2013/06/24	10.50
21492	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 2013B	50,000,000	2012/12/06	2013/07/03	18.75
21512	UBS AG – China Life European Warrants Jun 2013 C	200,000,000	2012/12/06	2013/06/25	30.00
21510	UBS AG – China Tel European Warrants May 2013 A	100,000,000	2012/12/06	2013/05/08	28.00
21511	UBS AG – KECL European Warrants Jul 2014 A	100,000,000	2012/12/06	2014/07/28	25.00
21509	UBS AG – SPDR Gold Trust European Warrants Jun 2013 A	100,000,000	2012/12/06	2013/06/10	33.00
18247 #	Citigroup Global Mkt H Inc. – HKEx European Wts Feb 2013 A	245,000,000	2012/12/06	2013/02/20	10.05

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21524	Credit Suisse AG – China Mobile European Put Wts Jun 2013 B	80,000,000	2012/12/07	2013/06/03	18.40
21534	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Jan 2014 A	100,000,000	2012/12/07	2014/01/24	19.00
21530	Citigroup Global Mkt H Inc. – China Tel Euro Wts May 2013 A	100,000,000	2012/12/07	2013/05/08	27.00
21531	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Jul 2013 A	100,000,000	2012/12/07	2013/07/15	38.00
21532	Citigroup Global Mkt H Inc. -Yanzhou Coal Eur Wts Mar 2014 A	100,000,000	2012/12/07	2014/03/24	19.00
21533	Citigroup Global Mkt H Inc. – Zhaojin Mining Eu Wt Oct2013 A	100,000,000	2012/12/07	2013/10/30	16.00
21522	HK Bank – Minsheng Bank European Put Warrants May 2015 A	80,000,000	2012/12/07	2015/05/18	20.00
21521	J P Morgan SP BV – CITIC Bank European Warrants Jul 2013 A	100,000,000	2012/12/07	2013/07/02	25.00
21519	J P Morgan SP BV – A50 China European Warrants Jun 2013 B	100,000,000	2012/12/07	2013/06/06	32.30
21518	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2013 A	88,000,000	2012/12/07	2013/06/06	32.47
21513	Nomura Int'l plc – Ch Overseas European Put Wts Oct 2013 A	50,000,000	2012/12/07	2013/10/03	12.50
21514	Nomura Int'l plc – China Shenhua European Wts Jul 2013 A	75,000,000	2012/12/07	2013/07/03	15.00
21516	Nomura Int'l plc – ICBC European Warrants Jul 2013 A	35,000,000	2012/12/07	2013/07/03	12.25
21517	Nomura Int'l plc – Ping An European Put Warrants Jun 2013 B	75,000,000	2012/12/07	2013/06/04	12.38
21515	Nomura Int'l plc – Yanzhou Coal European Warrants Dec 2013 A	50,000,000	2012/12/07	2013/12/03	12.50
21520	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jun 2013 A	50,000,000	2012/12/07	2013/06/07	12.50
21523	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2013 B	168,000,000	2012/12/07	2013/04/08	40.32
21529	UBS AG – CC Bank European Warrants May 2013 B	100,000,000	2012/12/07	2013/05/28	21.00
21528	UBS AG – China Shenhua European Warrants Jun 2013 A	100,000,000	2012/12/07	2013/06/10	25.00
21526	UBS AG – HSBC European Put Warrants Jun 2013 C	100,000,000	2012/12/07	2013/06/10	26.00
21527	UBS AG – Ping An European Warrants May 2013 A	100,000,000	2012/12/07	2013/05/27	27.00
21525	UBS AG – Sands China European Warrants Jun 2013 A	100,000,000	2012/12/07	2013/06/24	31.00
19903 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Feb 2013 B	250,000,000	2012/12/07	2013/02/27	10.75
21535	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Jul 2013 A	100,000,000	2012/12/10	2013/07/02	25.00
21543	Bank of East Asia – R&F Ppt European Warrants Dec 2013 A	80,000,000	2012/12/10	2013/12/31	20.00
21539	Standard Chartered Bank – CC Bank European Wts Nov 2013 A	80,000,000	2012/12/10	2013/11/28	20.00
21536	Standard Chartered Bank – GCL-Poly Energy Eur Wts Sep 2014 A	40,000,000	2012/12/10	2014/09/30	10.00
21541	Standard Chartered Bank – Galaxy Ent European Wts Dec 2013 A	80,000,000	2012/12/10	2013/12/04	20.00
21538	Standard Chartered Bank – HKEx European Warrants Nov 2013 B	150,000,000	2012/12/10	2013/11/01	37.50
21542	Standard Chartered Bank – HSI European Warrants May 2013 A	200,000,000	2012/12/10	2013/05/30	30.00
21537	Standard Chartered Bank -PetroChina European Wts May 2013 A	80,000,000	2012/12/10	2013/05/20	20.80
21540	Standard Chartered Bank – Ping An European Wts Aug 2013 A	80,000,000	2012/12/10	2013/08/22	24.00
21544	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Aug13A	150,000,000	2012/12/10	2013/08/05	24.75
21548	UBS AG – CITIC Sec European Warrants Jun 2013 A	100,000,000	2012/12/10	2013/06/17	25.00
21545	UBS AG – China National Building European Wts Jun 2013 A	100,000,000	2012/12/10	2013/06/17	80.00
21546	UBS AG – A50 China European Warrants Jun 2013 A	100,000,000	2012/12/10	2013/06/24	15.00
21547	UBS AG – Tencent Holdings European Warrants Jul 2013 B	200,000,000	2012/12/10	2013/07/09	34.00
21553	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2013 A	100,000,000	2012/12/11	2013/08/08	20.00
21552	BOCI Asia Ltd. – CAM CSI300 European Warrants Nov 2013 A	100,000,000	2012/12/11	2013/11/04	15.00
21551	BOCI Asia Ltd. – Tencent Holdings European Wts May 2013 A	100,000,000	2012/12/11	2013/05/06	18.00
21556	Credit Suisse AG – HSI European Warrants May 2013 A	200,000,000	2012/12/11	2013/05/30	30.00
21557	Credit Suisse AG – HSI European Warrants May 2013 B	200,000,000	2012/12/11	2013/05/30	30.00
21558	Credit Suisse AG – HSI European Warrants May 2013 C	200,000,000	2012/12/11	2013/05/30	36.00
21560	Credit Suisse AG – HSI European Warrants May 2013 D	200,000,000	2012/12/11	2013/05/30	40.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21559	Credit Suisse AG – HSI European Warrants Jun 2013 C	200,000,000	2012/12/11	2013/06/27	30.00
21561	Credit Suisse AG – HSI European Warrants Jun 2013 D	200,000,000	2012/12/11	2013/06/27	52.00
21562	Credit Suisse AG – HSI European Put Warrants May 2013 E	200,000,000	2012/12/11	2013/05/30	38.00
21564	Credit Suisse AG – HSI European Put Warrants Jun 2013 E	200,000,000	2012/12/11	2013/06/27	34.00
21574	Citigroup Global Mkt H Inc. – AIA European Wts Sep 2013 A	100,000,000	2012/12/11	2013/09/09	15.00
21572	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt May 14 A	80,000,000	2012/12/11	2014/05/28	14.40
21571	Citigroup Global Mkt H Inc. – Hutchison Euro Put Wt Apr 13 A	80,000,000	2012/12/11	2013/04/22	14.40
21573	Citigroup Global Mkt H Inc. – SHK Ppt Euro Put Wt Aug 2013 B	80,000,000	2012/12/11	2013/08/26	20.00
21555	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2013 A	80,000,000	2012/12/11	2013/07/22	29.68
21576	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2015 A	150,000,000	2012/12/11	2015/07/06	27.30
21577	Goldman Sachs SP (Asia) – CSOP A50 ETF Eu Put Wts Dec 2014 A	150,000,000	2012/12/11	2014/12/23	24.60
21550	HK Bank – China Life European Warrants Jun 2013 B	150,000,000	2012/12/11	2013/06/25	22.50
21549	HK Bank – China Mobile European Warrants Apr 2013 B	120,000,000	2012/12/11	2013/04/26	40.80
21585	J P Morgan SP BV – Ping An European Warrants Mar 2013 A	100,000,000	2012/12/11	2013/03/11	16.10
21584	J P Morgan SP BV – Ping An European Warrants Apr 2013 A	100,000,000	2012/12/11	2013/04/15	15.00
21575	CC Rabobank B.A. – CAM CSI300 European Warrants Jun 2013 A	70,000,000	2012/12/11	2013/06/17	10.50
21554	Standard Chartered Bank – Link REIT European Wts Jun 2013 A	80,000,000	2012/12/11	2013/06/10	15.20
21565	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13A	50,000,000	2012/12/11	2013/05/29	15.50
21566	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13A	50,000,000	2012/12/11	2013/06/17	26.50
21567	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun15A	300,000,000	2012/12/11	2015/06/29	52.50
21568	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jul15A	300,000,000	2012/12/11	2015/07/06	45.00
21569	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 13 B	80,000,000	2012/12/11	2013/06/17	32.80
21570	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jul 13 A	80,000,000	2012/12/11	2013/07/17	27.20
21580	UBS AG – Agricultural Bank European Warrants Jul 2013 A	100,000,000	2012/12/11	2013/07/26	15.00
21581	UBS AG – CC Bank European Warrants May 2013 C	100,000,000	2012/12/11	2013/05/24	33.00
21579	UBS AG – CSOP A50 ETF European Warrants May 2013 A	100,000,000	2012/12/11	2013/05/27	20.00
21578	UBS AG – Wynn Macau European Warrants May 2013 A	100,000,000	2012/12/11	2013/05/28	28.00
21583	UBS AG – Yurun Food European Warrants Jun 2013 A	100,000,000	2012/12/11	2013/06/17	39.00
21582	UBS AG – Zhaojin Mining European Warrants Oct 2013 A	100,000,000	2012/12/11	2013/10/15	25.00
20428 #	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2013 A	60,000,000	2012/12/11	2013/04/02	10.26
21187 #	UBS AG – CAM CSI300 European Warrants Sep 2013 A	100,000,000	2012/12/11	2013/09/30	11.50
21609	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jun 2013 A	80,000,000	2012/12/12	2013/06/03	16.00
21604	BNP Paribas Arbit Issu B.V. – China EB Ltd Eu Wt Nov 2014 A	41,000,000	2012/12/12	2014/11/04	10.25
21606	BNP Paribas Arbit Issu B.V. – COSCO Pacific Eu Wt Jul 2014 A	95,000,000	2012/12/12	2014/07/03	24.70
21607	BNP Paribas Arbit Issu B.V. – China Res Gas Eu Wt Jul 2013 A	87,000,000	2012/12/12	2013/07/03	21.75
21608	BNP Paribas Arbit Issu B.V. – Ping An European Wt May 2013 A	100,000,000	2012/12/12	2013/05/02	17.00
21605	BNP Paribas Arbit Issu B.V. – PWR Assets Euro Wts Jul 2013 A	43,000,000	2012/12/12	2013/07/03	13.33
21602	Credit Suisse AG – AIA European Put Warrants May 2013 B	70,000,000	2012/12/12	2013/05/28	10.50
21600	Credit Suisse AG – HSBC European Put Warrants Jun 2013 B	70,000,000	2012/12/12	2013/06/17	10.50
21598	Credit Suisse AG – Ping An European Warrants May 2013 A	70,000,000	2012/12/12	2013/05/27	21.00
21599	Credit Suisse AG – Ping An European Put Warrants May 2013 B	70,000,000	2012/12/12	2013/05/28	10.50
21601	Credit Suisse AG – Tencent Holdings Euro Put Wts Apr 2013 C	70,000,000	2012/12/12	2013/04/22	10.50
21618	Daiwa Capital Mkt – Agricultural Bank Euro Put Wt Jun 2013 A	80,000,000	2012/12/12	2013/06/18	21.60
21615	Daiwa Capital Mkt – AIA European Warrants Sep 2013 A	80,000,000	2012/12/12	2013/09/23	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21616	Daiwa Capital Mkt – AIA European Put Warrants May 2013 A	80,000,000	2012/12/12	2013/05/28	12.00
21617	Daiwa Capital Mkt – CCB European Warrants Jul 2013 A	128,000,000	2012/12/12	2013/07/15	32.00
21619	Daiwa Capital Mkt – China Life European Put Wts Jul 2013 C	108,000,000	2012/12/12	2013/07/15	27.00
21613	Daiwa Capital Mkt – China Mobile European Wts May 2013 B	128,000,000	2012/12/12	2013/05/30	22.66
21614	Daiwa Capital Mkt – China Mobile European Put Wts Jun 2013 A	80,000,000	2012/12/12	2013/06/13	30.40
21612	Daiwa Capital Mkt – HSBC European Warrants Jun 2013 A	158,000,000	2012/12/12	2013/06/24	25.28
21595	HK Bank – CAM CSI300 European Warrants Jun 2013 A	80,000,000	2012/12/12	2013/06/17	20.00
21593	CC Rabobank B.A. – Agile Ppt European Warrants Aug 2014 A	100,000,000	2012/12/12	2014/08/04	25.00
21591	CC Rabobank B.A. – Chow Tai Fook European Wts Aug 2014 A	90,000,000	2012/12/12	2014/08/18	22.50
21589	CC Rabobank B.A. – Huaneng Power European Wts Jun 2013 A	40,000,000	2012/12/12	2013/06/17	10.00
21594	CC Rabobank B.A. – Luk Fook Hold European Wts Jun 2013 A	50,000,000	2012/12/12	2013/06/10	12.50
21590	CC Rabobank B.A. – Xinyi Glass European Warrants Jun 2013 A	40,000,000	2012/12/12	2013/06/11	10.00
21586	Standard Chartered Bank – China Overseas Euro Wt Nov 2013 A	80,000,000	2012/12/12	2013/11/01	20.00
21587	Standard Chartered Bank – Lenovo European Wts Jun 2013 A	80,000,000	2012/12/12	2013/06/14	20.00
21596	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Jun13B	150,000,000	2012/12/12	2013/06/17	22.50
21603	UBS AG – HKEx European Put Warrants Sep 2013 A	100,000,000	2012/12/12	2013/09/06	15.00
21611	UBS AG – HSI European Warrants May 2013 C	300,000,000	2012/12/12	2013/05/30	45.00
21610	UBS AG – Tencent Holdings European Put Warrants Jul 2013 C	100,000,000	2012/12/12	2013/07/15	16.40
21634	BOCI Asia Ltd. – HSBC European Warrants Jul 2013 A	100,000,000	2012/12/13	2013/07/02	16.00
21633	BOCI Asia Ltd. – HSBC European Put Warrants Jun 2013 A	100,000,000	2012/12/13	2013/06/10	24.00
21632	BOCI Asia Ltd. – HSI European Put Warrants Jul 2013 A	100,000,000	2012/12/13	2013/07/30	25.00
21644	Credit Suisse AG – China Mobile European Warrants May 2013 A	70,000,000	2012/12/13	2013/05/31	24.50
21646	Credit Suisse AG – China Mobile European Warrants May 2013 B	70,000,000	2012/12/13	2013/05/31	14.00
21645	Credit Suisse AG – China Mobile European Warrants Jun 2013 C	70,000,000	2012/12/13	2013/06/10	19.60
21647	Credit Suisse AG – China Mobile European Put Wts Jun 2013 D	70,000,000	2012/12/13	2013/06/24	21.00
21642	Credit Suisse AG – Ping An European Warrants Apr 2013 A	70,000,000	2012/12/13	2013/04/29	10.50
21643	Credit Suisse AG – Ping An European Warrants Jun 2013 A	70,000,000	2012/12/13	2013/06/24	29.40
21641	Credit Suisse AG – Ping An European Warrants Jul 2013 A	70,000,000	2012/12/13	2013/07/22	14.00
21626	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Nov 2013 A	100,000,000	2012/12/13	2013/11/18	16.20
21621	Goldman Sachs SP (Asia) – BOCL European Warrants Dec 2013 A	100,000,000	2012/12/13	2013/12/16	25.00
21623	Goldman Sachs SP (Asia) – Cheung Kong Euro Wts Jul 2013 A	80,000,000	2012/12/13	2013/07/22	25.44
21635	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2013 A	150,000,000	2012/12/13	2013/12/30	37.65
21636	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2013 B	150,000,000	2012/12/13	2013/06/27	37.50
21622	Goldman Sachs SP (Asia) – Ping An European Wts Aug 2013 A	100,000,000	2012/12/13	2013/08/12	25.20
21625	Goldman Sachs SP (Asia) -Tencent European Wt Aug 2013 B	80,000,000	2012/12/13	2013/08/05	20.00
21631	J P Morgan SP BV – HSI European Warrants May 2013 E	300,000,000	2012/12/13	2013/05/30	45.00
21630	J P Morgan SP BV – New World Dev European Wts Dec 2014 A	200,000,000	2012/12/13	2014/12/31	50.00
21620	Macquarie Bank Ltd. – Evergrande European Wts Jul 2013 A	100,000,000	2012/12/13	2013/07/03	25.10
21639	Standard Chartered Bank – AIA European Warrants Oct 2013 B	100,000,000	2012/12/13	2013/10/04	15.00
21638	Standard Chartered Bank – China Mobile Euro Wts Oct 2013 A	100,000,000	2012/12/13	2013/10/04	25.00
21637	Standard Chartered Bank – Ping An European Wts Apr 2013 A	100,000,000	2012/12/13	2013/04/26	15.00
21640	Standard Chartered Bank – Sands China Euro Wts Jul 2013 A	100,000,000	2012/12/13	2013/07/03	25.00
21628	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Dec 2013 A	150,000,000	2012/12/13	2013/12/09	31.50
21629	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2013 B	150,000,000	2012/12/13	2013/07/31	29.25

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21627	SGA Societe Generale Acceptance N.V.- A50 Ch Eu Wt Jun2013 B	50,000,000	2012/12/13	2013/06/24	11.75
21649	UBS AG – CAM CSI300 European Warrants Nov 2013 A	200,000,000	2012/12/13	2013/11/18	30.00
21219 #	Credit Suisse AG – CAM CSI300 European Warrants May 2013 A	100,000,000	2012/12/13	2013/05/27	19.20
21658	BOCI Asia Ltd. – HSI European Warrants May 2013 A	100,000,000	2012/12/14	2013/05/30	15.00
21657	BOCI Asia Ltd. – HSI European Warrants Jun 2013 D	100,000,000	2012/12/14	2013/06/27	25.00
21674	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Aug13A	100,000,000	2012/12/14	2013/08/02	18.00
21678	BNP Paribas Arbit Issu B.V. – China Overseas Eu Wt Oct2013 A	100,000,000	2012/12/14	2013/10/03	25.00
21676	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Aug 2013 A	100,000,000	2012/12/14	2013/08/02	30.00
21677	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2013 A	200,000,000	2012/12/14	2013/07/03	32.00
21668	Credit Suisse AG – CAM CSI300 European Warrants Nov 2013 A	70,000,000	2012/12/14	2013/11/18	10.50
21665	Credit Suisse AG – A50 China European Warrants May 2013 A	70,000,000	2012/12/14	2013/05/27	21.00
21667	Credit Suisse AG – A50 China European Warrants May 2013 B	70,000,000	2012/12/14	2013/05/13	10.50
21664	Credit Suisse AG – A50 China European Warrants Jun 2013 B	70,000,000	2012/12/14	2013/06/28	42.00
21666	Credit Suisse AG – A50 China European Warrants Jun 2013 C	70,000,000	2012/12/14	2013/06/24	15.40
21659	Credit Suisse AG – Hutchison European Warrants May 2013 A	80,000,000	2012/12/14	2013/05/27	38.40
21662	Credit Suisse AG – Hutchison European Warrants May 2013 B	80,000,000	2012/12/14	2013/05/31	16.00
21660	Credit Suisse AG – Hutchison European Warrants Jun 2013 A	80,000,000	2012/12/14	2013/06/07	28.00
21661	Credit Suisse AG – Hutchison European Warrants Jun 2013 B	80,000,000	2012/12/14	2013/06/17	22.40
21663	Credit Suisse AG – Hutchison European Put Wts Jun 2013 C	80,000,000	2012/12/14	2013/06/24	17.60
21669	Daiwa Capital Mkt – CSOP A50 ETF European Wts May 2013 A	80,000,000	2012/12/14	2013/05/28	26.40
21654	Goldman Sachs SP (Asia) – China Life Euro Put Wts Sep 2013 A	80,000,000	2012/12/14	2013/09/24	13.28
21653	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2013 A	80,000,000	2012/12/14	2013/07/23	20.48
21652	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2013 A	80,000,000	2012/12/14	2013/09/09	20.08
21673	Goldman Sachs SP (Asia) – KECL European Warrants Jul 2014 A	120,000,000	2012/12/14	2014/07/07	30.12
21655	Goldman Sachs SP (Asia) – Prada European Warrants Jun 2013 A	80,000,000	2012/12/14	2013/06/24	36.80
21651	J P Morgan SP BV – R&F Ppt European Warrants Mar 2014 B	200,000,000	2012/12/14	2014/03/03	50.00
21650	J P Morgan SP BV – Wharf European Warrants Jul 2013 A	100,000,000	2012/12/14	2013/07/02	25.00
21670	CC Rabobank B.A. – CSOP A50 ETF European Warrants May 2013 A	35,000,000	2012/12/14	2013/05/28	10.50
21656	Standard Chartered Bank – HKEx European Warrants Jul 2014 A	150,000,000	2012/12/14	2014/07/03	22.50
21671	UBS AG – China Life European Put Warrants Jul 2013 B	100,000,000	2012/12/14	2013/07/22	20.00
21672	UBS AG – China Mobile European Warrants Jun 2013 B	100,000,000	2012/12/14	2013/06/10	26.00
21713	Credit Suisse AG – CC Bank European Warrants May 2013 B	80,000,000	2012/12/17	2013/05/27	33.60
21714	Credit Suisse AG – CC Bank European Warrants Jun 2013 A	80,000,000	2012/12/17	2013/06/27	16.80
21715	Credit Suisse AG – CC Bank European Warrants Jul 2013 A	80,000,000	2012/12/17	2013/07/29	14.40
21716	Credit Suisse AG – CC Bank European Put Warrants Jun 2013 B	80,000,000	2012/12/17	2013/06/28	12.00
21717	Credit Suisse AG – HKEx European Warrants Apr 2013 B	80,000,000	2012/12/17	2013/04/30	64.00
21718	Credit Suisse AG – HKEx European Warrants May 2013 C	80,000,000	2012/12/17	2013/05/16	41.60
21720	Credit Suisse AG – HKEx European Warrants May 2013 D	80,000,000	2012/12/17	2013/05/27	16.80
21719	Credit Suisse AG – HKEx European Warrants Jun 2013 A	80,000,000	2012/12/17	2013/06/24	40.00
21709	Credit Suisse AG – ICBC European Warrants May 2013 B	80,000,000	2012/12/17	2013/05/31	16.00
21706	Credit Suisse AG – ICBC European Warrants Jun 2013 A	80,000,000	2012/12/17	2013/06/24	24.80
21708	Credit Suisse AG – ICBC European Warrants Jun 2013 B	80,000,000	2012/12/17	2013/06/24	19.20
21710	Credit Suisse AG – ICBC European Warrants Sep 2013 A	80,000,000	2012/12/17	2013/09/16	12.00
21712	Credit Suisse AG – ICBC European Put Warrants Jun 2013 C	80,000,000	2012/12/17	2013/06/24	17.60

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21690	HK Bank – Anhui Conch European Warrants Jul 2013 A	80,000,000	2012/12/17	2013/07/02	20.00
21691	HK Bank – Minsheng Bank European Warrants Jul 2013 B	60,000,000	2012/12/17	2013/07/22	15.00
21688	HK Bank – CSOP A50 ETF European Warrants May 2013 A	60,000,000	2012/12/17	2013/05/27	25.80
21687	HK Bank – CSOP A50 ETF European Warrants Jul 2015 A	200,000,000	2012/12/17	2015/07/06	42.00
21693	HK Bank – Galaxy Ent European Warrants Oct 2013 A	80,000,000	2012/12/17	2013/10/28	20.00
21692	HK Bank – HSI European Warrants May 2013 E	200,000,000	2012/12/17	2013/05/30	30.00
21689	HK Bank – Sands China European Warrants Jul 2013 A	80,000,000	2012/12/17	2013/07/22	20.00
21686	J P Morgan SP BV – Anhui Conch European Warrants Nov 2013 A	200,000,000	2012/12/17	2013/11/04	50.00
21684	J P Morgan SP BV – Geely Auto European Warrants Jun 2013 A	100,000,000	2012/12/17	2013/06/17	25.00
21683	J P Morgan SP BV – HSBC European Warrants Jun 2013 B	200,000,000	2012/12/17	2013/06/17	50.00
21685	J P Morgan SP BV – Yurun Food European Warrants Jun 2013 A	50,000,000	2012/12/17	2013/06/17	22.70
21682	J P Morgan SP BV – Zoomlion European Warrants Nov 2013 A	200,000,000	2012/12/17	2013/11/25	50.00
21681	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2013 B	100,000,000	2012/12/17	2013/07/03	25.00
21700	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2013 A	50,000,000	2012/12/17	2013/06/25	10.50
21702	CC Rabobank B.A. – Minsheng Bank European Wts Jun 2013 A	35,000,000	2012/12/17	2013/06/17	10.50
21696	CC Rabobank B.A. – CNBM European Warrants Apr 2014 C	150,000,000	2012/12/17	2014/04/22	37.50
21697	CC Rabobank B.A. – COSCO Pacific European Wts Oct 2014 A	90,000,000	2012/12/17	2014/10/28	18.90
21698	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2013 B	50,000,000	2012/12/17	2013/06/17	12.50
21705	CC Rabobank B.A. – CSR European Warrants Jun 2013 A	35,000,000	2012/12/17	2013/06/24	10.50
21701	CC Rabobank B.A. – CSR Times European Warrants Jul 2013 A	40,000,000	2012/12/17	2013/07/29	10.00
21704	CC Rabobank B.A. – Lenovo European Put Warrants Aug 2013 A	30,000,000	2012/12/17	2013/08/19	12.00
21699	CC Rabobank B.A. – Weichai Power European Wts Jul 2013 A	35,000,000	2012/12/17	2013/07/29	11.55
21703	CC Rabobank B.A. – ZTE European Warrants Nov 2013 A	80,000,000	2012/12/17	2013/11/12	20.00
21695	Standard Chartered Bank – Agricultural Bk Eur Wts Jul 2013 A	80,000,000	2012/12/17	2013/07/26	13.60
21694	Standard Chartered Bank – KECL European Warrants May 2014 A	100,000,000	2012/12/17	2014/05/07	25.00
21679	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Sep13B	150,000,000	2012/12/17	2013/09/30	22.50
21722	SGA Societe Generale Acceptance N.V.-CAM CSI300 Eu Wt Nov13A	150,000,000	2012/12/17	2013/11/18	22.50
21680	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt May 2014 A	150,000,000	2012/12/17	2014/05/05	27.75
21723	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13B	50,000,000	2012/12/17	2013/05/29	22.25
21724	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt May13C	50,000,000	2012/12/17	2013/05/29	16.50
21291 #	UBS AG – CAM CSI300 European Warrants Jun 2013 A	100,000,000	2012/12/17	2013/06/24	15.20
21736	BOCI Asia Ltd. – CNOOC European Warrants Nov 2013 B	100,000,000	2012/12/18	2013/11/26	19.00
21735	BOCI Asia Ltd. – Ch Overseas European Warrants Aug 2013 A	100,000,000	2012/12/18	2013/08/05	25.00
21763	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2014 A	72,000,000	2012/12/18	2014/01/03	18.00
21766	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Aug 2013 A	62,000,000	2012/12/18	2013/08/02	15.50
21764	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Jan 2015 A	100,000,000	2012/12/18	2015/01/05	25.00
21765	BNP Paribas Arbit Issu B.V. -SHK Ppt Euro Wts Oct 2013 A	92,000,000	2012/12/18	2013/10/03	23.00
21750	Credit Suisse AG – CAM CSI300 European Warrants Sep 2013 A	100,000,000	2012/12/18	2013/09/23	15.00
21752	Credit Suisse AG – CSOP A50 ETF European Warrants May 2013 A	100,000,000	2012/12/18	2013/05/28	30.00
21753	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 B	100,000,000	2012/12/18	2013/06/24	25.00
21751	Credit Suisse AG – A50 China European Warrants Apr 2013 B	100,000,000	2012/12/18	2013/04/22	15.00
21758	Credit Suisse AG – SHK Ppt European Warrants Apr 2013 A	80,000,000	2012/12/18	2013/04/29	12.00
21757	Credit Suisse AG – SHK Ppt European Warrants May 2013 A	80,000,000	2012/12/18	2013/05/28	26.40
21754	Credit Suisse AG – Tencent Holdings European Wts Apr 2013 D	100,000,000	2012/12/18	2013/04/29	19.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21755	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 B	100,000,000	2012/12/18	2013/07/03	23.00
21756	Credit Suisse AG – Tencent Holdings European Wts Jul 2013 C	100,000,000	2012/12/18	2013/07/12	16.00
21771	Citigroup Global Mkt H Inc. – Brilliance Chi Eu Wts Aug 13 A	100,000,000	2012/12/18	2013/08/27	17.00
21773	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt Jul 2013 A	100,000,000	2012/12/18	2013/07/22	16.00
21770	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Jun 2013 A	100,000,000	2012/12/18	2013/06/26	19.00
21774	Citigroup Global Mkt H Inc. – Luk Fook Hold Eu Wt Aug 2013 A	100,000,000	2012/12/18	2013/08/12	25.00
21772	Citigroup Global Mkt H Inc. – SJM Holdings Eu Wt Jun 2013 A	100,000,000	2012/12/18	2013/06/25	15.00
21761	Daiwa Capital Mkt – Ch Overseas European Put Wts Sep 2013 A	98,000,000	2012/12/18	2013/09/25	15.68
21762	Daiwa Capital Mkt – CSOP A50 ETF European Wts Jun 2013 A	98,000,000	2012/12/18	2013/06/18	24.50
21731	Goldman Sachs SP (Asia) – HSBC European Warrants May 2013 A	80,000,000	2012/12/18	2013/05/06	12.24
21732	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2013 B	80,000,000	2012/12/18	2013/06/03	16.40
21733	Goldman Sachs SP (Asia) – SHK Ppt European Wts Sep 2013 A	80,000,000	2012/12/18	2013/09/02	12.64
21730	Goldman Sachs SP (Asia) – Wharf European Warrants Nov 2013 A	80,000,000	2012/12/18	2013/11/04	23.68
21747	HK Bank – Agricultural Bank European Warrants Aug 2013 A	80,000,000	2012/12/18	2013/08/19	12.00
21748	HK Bank – HSCEI European Warrants Oct 2013 A	150,000,000	2012/12/18	2013/10/30	37.50
21749	HK Bank – Jiangxi Copper European Warrants Aug 2013 A	80,000,000	2012/12/18	2013/08/19	12.00
21746	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2013 A	200,000,000	2012/12/18	2013/07/02	50.20
21745	J P Morgan SP BV – China Tel European Warrants Oct 2013 A	100,000,000	2012/12/18	2013/10/02	25.00
21760	J P Morgan SP BV – HKEx European Warrants Apr 2013 A	100,000,000	2012/12/18	2013/04/08	28.00
21743	J P Morgan SP BV – Lenovo European Warrants Aug 2013 A	100,000,000	2012/12/18	2013/08/05	28.10
21744	J P Morgan SP BV – Longfor PPT European Warrants Dec 2013 A	200,000,000	2012/12/18	2013/12/02	50.00
21742	J P Morgan SP BV – SPDR Gold Trust European Wts Sep 2013 A	100,000,000	2012/12/18	2013/09/23	43.40
21734	Macquarie Bank Ltd. – Avichina European Warrants Jul 2013 A	80,000,000	2012/12/18	2013/07/04	20.00
21737	CC Rabobank B.A. – BBMG European Warrants Jul 2013 A	20,000,000	2012/12/18	2013/07/15	14.00
21739	CC Rabobank B.A. – CITIC Bank European Warrants Jul 2013 A	40,000,000	2012/12/18	2013/07/02	10.00
21741	CC Rabobank B.A. – Ch Railway Cons European Wts May 2013 A	25,000,000	2012/12/18	2013/05/28	11.25
21740	CC Rabobank B.A. – Lenovo European Warrants Jun 2013 A	30,000,000	2012/12/18	2013/06/24	13.50
21738	CC Rabobank B.A. – NCI European Warrants Jun 2013 A	40,000,000	2012/12/18	2013/06/24	10.00
21725	Standard Chartered Bank – CC Bank European Wts Mar 2013 B	100,000,000	2012/12/18	2013/03/28	15.00
21727	Standard Chartered Bank – CITIC Sec European Wts Nov 2013 A	80,000,000	2012/12/18	2013/11/05	20.00
21728	Standard Chartered Bank – Sinopec Corp Euro Wts Aug 2013 A	80,000,000	2012/12/18	2013/08/08	20.00
21726	Standard Chartered Bank – Chow Tai Fook Euro Wts Aug 2014 B	80,000,000	2012/12/18	2014/08/05	20.00
21759	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jun 13 A	50,000,000	2012/12/18	2013/06/26	13.25
21769	UBS AG – CAM CSI300 European Warrants Oct 2013 A	200,000,000	2012/12/18	2013/10/21	50.00
21767	UBS AG – CITIC Bank European Warrants Jul 2013 A	100,000,000	2012/12/18	2013/07/09	29.00
21768	UBS AG – Hutchison European Warrants Jun 2013 A	200,000,000	2012/12/18	2013/06/10	51.00
21790	BOCI Asia Ltd. – SHK Ppt European Warrants May 2013 A	100,000,000	2012/12/19	2013/05/02	16.00
89000	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 A	36,000,000	2012/12/19	2014/01/03	11.16
21800	Credit Suisse AG – CNBM European Warrants Jun 2013 A	60,000,000	2012/12/19	2013/06/28	33.00
21796	Credit Suisse AG – Sinopec Corp European Warrants Jun 2013 A	60,000,000	2012/12/19	2013/06/17	30.00
21797	Credit Suisse AG – Sinopec Corp European Warrants Jun 2013 B	60,000,000	2012/12/19	2013/06/28	18.60
21799	Credit Suisse AG – China Shenhua European Wts Jun 2013 A	60,000,000	2012/12/19	2013/06/28	12.00
21798	Credit Suisse AG – China Tel European Warrants Jun 2013 A	60,000,000	2012/12/19	2013/06/28	15.00
21802	Citigroup Global Mkt H Inc. – Link Reit Euro Wts Jul 2013 A	100,000,000	2012/12/19	2013/07/02	16.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21786	Goldman Sachs SP (Asia) – HKEx European Warrants Jul 2013 A	80,000,000	2012/12/19	2013/07/03	20.00
21781	Goldman Sachs SP (Asia) – New World Dev Euro Wts Oct 2013 A	80,000,000	2012/12/19	2013/10/03	51.28
21787	Goldman Sachs SP (Asia) – Shimao Ppt European Wts Oct 2013 A	80,000,000	2012/12/19	2013/10/03	12.00
21784	Goldman Sachs SP (Asia) – Sino Land European Wts May 2014 A	80,000,000	2012/12/19	2014/05/07	20.00
21794	HK Bank – CAM CSI300 European Warrants Jul 2013 A	180,000,000	2012/12/19	2013/07/08	27.00
21795	HK Bank – ICBC European Warrants Jul 2013 B	80,000,000	2012/12/19	2013/07/02	20.00
21801	Macquarie Bank Ltd. – Huaneng Power European Wts Aug 2013 A	80,000,000	2012/12/19	2013/08/02	25.12
21780	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Wts Jun 2014 A	80,000,000	2012/12/19	2014/06/06	20.00
21778	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2013 C	40,000,000	2012/12/19	2013/07/22	10.20
21777	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jul 2013 B	40,000,000	2012/12/19	2013/07/29	10.40
21776	Merrill Lynch Int'l & Co. C.V. – Hutchison Eur Wt Jun 2013 A	40,000,000	2012/12/19	2013/06/10	14.20
21775	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Jul 13 A	40,000,000	2012/12/19	2013/07/02	13.80
21779	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jul 2013 A	40,000,000	2012/12/19	2013/07/15	19.80
21792	Standard Chartered Bank – CSOP A50 ETF Euro Wts Sep 2013 A	100,000,000	2012/12/19	2013/09/03	25.00
21793	Standard Chartered Bank – CSOP A50 ETF Eu Put Wts Dec 2014 A	200,000,000	2012/12/19	2014/12/23	30.00
21791	Standard Chartered Bank – Hutchison Euro Euro Wts Jun 2013 A	100,000,000	2012/12/19	2013/06/10	24.00
21788	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jul13 A	30,000,000	2012/12/19	2013/07/08	11.70
21789	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Jul13A	50,000,000	2012/12/19	2013/07/15	12.50
21804	UBS AG – Cheung Kong European Warrants Jun 2013 B	100,000,000	2012/12/19	2013/06/18	40.00
21806	UBS AG – China Mobile European Warrants Jun 2013 D	200,000,000	2012/12/19	2013/06/03	70.00
21805	UBS AG – China Mobile European Put Warrants Jun 2013 C	100,000,000	2012/12/19	2013/06/03	27.00
21807	UBS AG – HKEx European Warrants Jun 2013 A	100,000,000	2012/12/19	2013/06/18	45.00
21808	UBS AG – ICBC European Warrants Jul 2013 A	100,000,000	2012/12/19	2013/07/08	31.00
21803	UBS AG – SHK Ppt European Warrants May 2013 A	100,000,000	2012/12/19	2013/05/23	53.00
21826	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Jun 2013 A	100,000,000	2012/12/20	2013/06/04	23.00
21827	BOCI Asia Ltd. – HSI European Put Warrants Jul 2013 B	100,000,000	2012/12/20	2013/07/30	25.00
89001	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Aug 2013 A	15,000,000	2012/12/20	2013/08/02	10.23
21878	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2013 A	200,000,000	2012/12/20	2013/10/30	50.00
21880	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2013 B	200,000,000	2012/12/20	2013/05/30	30.00
21879	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2013 A	200,000,000	2012/12/20	2013/07/30	34.00
21832	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2013 A	100,000,000	2012/12/20	2013/07/03	25.00
21828	Citigroup Global Mkt H Inc. – China Life Euro Wts Jul 2013 A	100,000,000	2012/12/20	2013/07/22	15.00
21875	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wt Nov 2013 A	100,000,000	2012/12/20	2013/11/25	25.00
21831	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Wts Aug 2013 A	100,000,000	2012/12/20	2013/08/05	25.00
21873	Citigroup Global Mkt H Inc. – CSOP A50 ETF Eu Wts May 2013 A	100,000,000	2012/12/20	2013/05/28	18.00
21877	Citigroup Global Mkt H Inc. – HSBC European Wts May 2013 B	100,000,000	2012/12/20	2013/05/06	21.00
21829	Citigroup Global Mkt H Inc. – HSBC Euro Put Wts Jul 2013 B	100,000,000	2012/12/20	2013/07/03	25.00
21833	Citigroup Global Mkt H Inc. – ICBC European Wts Sep 2013 A	100,000,000	2012/12/20	2013/09/12	15.00
21874	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jul 2013 A	100,000,000	2012/12/20	2013/07/22	15.00
21830	Citigroup Global Mkt H Inc.-Tencent European Wts Sep 2013 A	100,000,000	2012/12/20	2013/09/02	18.00
21872	Citigroup Global Mkt H Inc. – Tencent Euro Put Wt Jul 2013 A	100,000,000	2012/12/20	2013/07/15	15.00
21882	Daiwa Capital Mkt – Ch Overseas European Warrants Oct 2013 A	80,000,000	2012/12/20	2013/10/02	20.00
21881	Daiwa Capital Mkt – HSI European Warrants Dec 2013 A	128,000,000	2012/12/20	2013/12/30	32.00
21860	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Jul 2013 B	80,000,000	2012/12/20	2013/07/03	25.76

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21857	Goldman Sachs SP (Asia) – Ch Overseas Euro Put Wt Oct 2013 A	80,000,000	2012/12/20	2013/10/02	12.00
21861	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Jun 2013 B	80,000,000	2012/12/20	2013/06/03	18.80
21856	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Sep 2013 A	80,000,000	2012/12/20	2013/09/03	29.76
21858	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2013 A	80,000,000	2012/12/20	2013/07/15	12.00
21838	HK Bank – CC Bank European Warrants Jul 2013 B	80,000,000	2012/12/20	2013/07/09	18.40
21843	HK Bank – China Overseas European Warrants Oct 2013 B	80,000,000	2012/12/20	2013/10/22	20.00
21842	HK Bank – China Overseas European Put Warrants Sep 2013 A	80,000,000	2012/12/20	2013/09/25	12.00
21844	HK Bank – HKEx European Warrants May 2013 B	60,000,000	2012/12/20	2013/05/27	15.00
21839	HK Bank – HSCEI European Put Warrants Jun 2013 A	100,000,000	2012/12/20	2013/06/27	15.00
21841	HK Bank – HSI European Put Warrants Jun 2013 B	200,000,000	2012/12/20	2013/06/27	50.00
21840	HK Bank – PetroChina European Warrants Jun 2013 A	60,000,000	2012/12/20	2013/06/20	15.00
21837	Macquarie Bank Ltd. – AAC Acoustic European Wts Jul 2013 A	70,000,000	2012/12/20	2013/07/03	17.71
21871	Macquarie Bank Ltd. – CHALCO European Warrants Oct 2013 A	100,000,000	2012/12/20	2013/10/03	25.00
21815	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Jun2013 B	100,000,000	2012/12/20	2013/06/27	25.00
21811	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wt Dec2013 C	100,000,000	2012/12/20	2013/12/30	20.40
21824	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2013 B	100,000,000	2012/12/20	2013/05/30	15.30
21825	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Sep 2013 C	100,000,000	2012/12/20	2013/09/27	25.00
21836	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Feb 2014 A	100,000,000	2012/12/20	2014/02/27	25.00
21809	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2013 B	100,000,000	2012/12/20	2013/06/27	15.00
21820	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2013 C	100,000,000	2012/12/20	2013/06/27	18.60
21817	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jul 2013 A	100,000,000	2012/12/20	2013/07/30	25.50
21823	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Sep 2013 B	100,000,000	2012/12/20	2013/09/27	25.50
21845	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2013 A	70,000,000	2012/12/20	2013/08/16	13.30
21853	CC Rabobank B.A. – Ch Comm Cons European Warrants Jun 2013 A	40,000,000	2012/12/20	2013/06/19	10.00
21855	CC Rabobank B.A. – China Coal European Warrants Jun 2013 A	40,000,000	2012/12/20	2013/06/19	13.20
21851	CC Rabobank B.A. – Country Garden European Wts Jun 2013 A	45,000,000	2012/12/20	2013/06/24	11.25
21849	CC Rabobank B.A. – Sinopec Corp European Warrants Jun 2013 A	35,000,000	2012/12/20	2013/06/19	10.50
21854	CC Rabobank B.A. – GCL-Poly Energy European Wts Aug 2013 A	170,000,000	2012/12/20	2013/08/26	42.50
21850	CC Rabobank B.A. – Greatwall Motor European Wts Jun 2013 A	70,000,000	2012/12/20	2013/06/19	17.50
21847	CC Rabobank B.A. – HSBC European Warrants Jun 2013 A	70,000,000	2012/12/20	2013/06/03	14.00
21846	CC Rabobank B.A. – Shimao Ppt European Warrants Sep 2013 A	100,000,000	2012/12/20	2013/09/27	20.00
21848	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2013 A	160,000,000	2012/12/20	2013/07/09	28.80
21863	Standard Chartered Bank – China Overseas Euro Wt Nov 2013 B	100,000,000	2012/12/20	2013/11/26	25.00
21865	Standard Chartered Bank – Evergrande European Wts Oct 2013 A	80,000,000	2012/12/20	2013/10/04	32.00
21862	Standard Chartered Bank – HKEx European Warrants Jul 2013 A	80,000,000	2012/12/20	2013/07/03	20.00
21866	SGA Societe Generale Acceptance N.V. – CCoal Eu Wt Jul13 A	50,000,000	2012/12/20	2013/07/08	17.50
21867	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wt Aug13A	30,000,000	2012/12/20	2013/08/05	11.70
21868	SGA Societe Generale Acceptance N.V.-Evergrande Eu Wt Jul13 A	50,000,000	2012/12/20	2013/07/10	18.25
21869	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt May13A	100,000,000	2012/12/20	2013/05/15	17.00
21870	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Jul13A	30,000,000	2012/12/20	2013/07/08	15.30
21896	UBS AG – BOCL European Warrants Oct 2013 A	100,000,000	2012/12/20	2013/10/09	25.00
21887	UBS AG – CSOP A50 ETF European Warrants Jun 2013 C	100,000,000	2012/12/20	2013/06/24	25.00
21891	UBS AG – China Tel European Warrants Jun 2013 A	100,000,000	2012/12/20	2013/06/24	25.00
21895	UBS AG – HKEx European Warrants Jul 2013 B	100,000,000	2012/12/20	2013/07/09	81.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21894	UBS AG – HKEEx European Put Warrants Sep 2013 B	100,000,000	2012/12/20	2013/09/16	15.00
21884	UBS AG – HSBC European Warrants Jun 2013 D	200,000,000	2012/12/20	2013/06/03	93.00
21889	UBS AG – HSI European Put Warrants May 2013 D	300,000,000	2012/12/20	2013/05/30	45.00
21890	UBS AG – HSI European Put Warrants May 2013 E	300,000,000	2012/12/20	2013/05/30	52.50
21888	UBS AG – HSI European Put Warrants Jun 2013 D	300,000,000	2012/12/20	2013/06/27	45.00
21886	UBS AG – Hutchison European Warrants Jun 2013 C	200,000,000	2012/12/20	2013/06/24	70.00
21885	UBS AG – Hutchison European Put Warrants Jun 2013 B	100,000,000	2012/12/20	2013/06/19	40.00
21834	UBS AG – Link REIT European Warrants Aug 2013 A	100,000,000	2012/12/20	2013/08/26	20.00
21835	UBS AG – Link REIT European Warrants Aug 2013 B	100,000,000	2012/12/20	2013/08/19	42.00
21883	UBS AG – SHK Ppt European Warrants Jun 2013 A	100,000,000	2012/12/20	2013/06/19	78.00
21893	UBS AG – Tencent Holdings European Warrants Aug 2013 A	200,000,000	2012/12/20	2013/08/12	50.00
21892	UBS AG – Tencent Holdings European Put Warrants Jun 2013 C	200,000,000	2012/12/20	2013/06/19	50.00
21920	Credit Suisse AG – CAM CSI300 European Warrants Sep 2013 B	100,000,000	2012/12/21	2013/09/09	25.00
21921	Credit Suisse AG – CAM CSI300 European Warrants Oct 2013 A	100,000,000	2012/12/21	2013/10/28	25.00
21928	Daiwa Capital Mkt – Agricultural Bank European Wts Sep 2013 B	128,000,000	2012/12/21	2013/09/23	32.00
21927	Daiwa Capital Mkt – BOCL European Warrants Aug 2013 A	108,000,000	2012/12/21	2013/08/08	27.00
21924	Daiwa Capital Mkt – HSI European Warrants Sep 2013 B	128,000,000	2012/12/21	2013/09/27	32.00
21925	Daiwa Capital Mkt – HSI European Warrants Oct 2013 A	128,000,000	2012/12/21	2013/10/30	32.00
21926	Daiwa Capital Mkt – Ping An European Warrants May 2013 A	108,000,000	2012/12/21	2013/05/02	21.60
21929	Goldman Sachs SP (Asia) – CC Bank European Wts May 2013 A	80,000,000	2012/12/21	2013/05/20	22.24
21915	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Jul 2013 A	80,000,000	2012/12/21	2013/07/03	20.00
21916	Goldman Sachs SP (Asia) – Lenovo European Wts Oct 2014 A	80,000,000	2012/12/21	2014/10/03	12.00
21917	Goldman Sachs SP (Asia) – Yurun Food European Wts Aug 2013 A	80,000,000	2012/12/21	2013/08/05	23.20
21918	Goldman Sachs SP (Asia) – Yanzhou Coal Euro Wts Dec 2013 A	80,000,000	2012/12/21	2013/12/02	12.00
21906	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt May 2013 A	80,000,000	2012/12/21	2013/05/20	13.44
21905	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Jun 2013 A	80,000,000	2012/12/21	2013/06/21	27.60
21904	Merrill Lynch Int'l & Co. C.V. – CAM CSI300 Eu Wt Nov 2013 A	80,000,000	2012/12/21	2013/11/18	12.16
21897	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt Nov 2013 A	40,000,000	2012/12/21	2013/11/18	10.00
21901	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Jul 2013 A	80,000,000	2012/12/21	2013/07/15	20.40
21900	Merrill Lynch Int'l & Co. C.V. – Ch Shenhua Eu Wt Jul 2013 B	40,000,000	2012/12/21	2013/07/29	10.00
21899	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts Sep 2013 A	80,000,000	2012/12/21	2013/09/02	20.00
21903	Merrill Lynch Int'l & Co. C.V. – JX Copper Eu Wts Jul 2013 A	40,000,000	2012/12/21	2013/07/29	10.00
21898	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Jul 2013 B	40,000,000	2012/12/21	2013/07/22	16.20
21902	Merrill Lynch Int'l & Co. C.V. – Tencent Eu Put Wt Jul2013 C	80,000,000	2012/12/21	2013/07/15	13.20
21919	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Sep 2013 B	100,000,000	2012/12/21	2013/09/30	16.00
21907	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Jul2013 A	50,000,000	2012/12/21	2013/07/03	29.50
21908	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Jul 2013 A	50,000,000	2012/12/21	2013/07/08	17.00
21909	SGA Societe Generale Acceptance N.V. -CPIC Euro Wt May2013 A	100,000,000	2012/12/21	2013/05/06	19.50
21910	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Jul2013 A	50,000,000	2012/12/21	2013/07/10	13.00
21911	SGA Societe Generale Acceptance N.V. -ChiUnicom Eu Wt Jul13 A	30,000,000	2012/12/21	2013/07/10	14.85
21912	SGA Societe Generale Acceptance N.V. – Lenovo Eu Wt Jul 13 A	50,000,000	2012/12/21	2013/07/03	19.75
21913	SGA Societe Generale Acceptance NV-NewWorld Dev Eu Wt Dec14A	150,000,000	2012/12/21	2014/12/29	27.75
21914	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt May13A	30,000,000	2012/12/21	2013/05/29	17.40
18283 #	Standard Chartered Bank – Tencent Hldg Euro Wts May 2013 A	100,000,000	2012/12/21	2013/05/24	10.70

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21962	BNP Paribas Arbit Issu B.V. – Belle Int'l Euro Wt Apr 2014 A	100,000,000	2012/12/24	2014/04/02	25.00
21963	BNP Paribas Arbit Issu B.V. – BOCL European Wts Dec 2013 A	200,000,000	2012/12/24	2013/12/03	50.00
21964	BNP Paribas Arbit Issu B.V. – CNBM European Wts Aug 2014 A	100,000,000	2012/12/24	2014/08/04	25.00
21965	BNP Paribas Arbit Issu B.V. – Chi Res Land Eu Wt Jan 2014 A	100,000,000	2012/12/24	2014/01/03	25.00
21954	Credit Suisse AG – China Mobile European Warrants May 2013 C	80,000,000	2012/12/24	2013/05/31	36.00
21955	Credit Suisse AG – China Mobile European Warrants Jun 2013 E	80,000,000	2012/12/24	2013/06/07	31.20
21956	Credit Suisse AG – China Mobile European Warrants Jun 2013 F	80,000,000	2012/12/24	2013/06/24	28.80
21957	Credit Suisse AG – China Mobile European Warrants Jul 2013 A	80,000,000	2012/12/24	2013/07/22	14.40
21958	Credit Suisse AG – HSI European Warrants May 2013 F	200,000,000	2012/12/24	2013/05/30	30.00
21952	Credit Suisse AG – Tencent Holdings European Wts Sep 2013 A	80,000,000	2012/12/24	2013/09/02	12.00
21953	Credit Suisse AG – Tencent Holdings Euro Put Wts Jul 2013 D	80,000,000	2012/12/24	2013/07/29	12.00
21939	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Sep 13 A	100,000,000	2012/12/24	2013/09/30	25.00
21941	Citigroup Global Mkt H Inc. – CNOOC European Wts Mar 2014 A	100,000,000	2012/12/24	2014/03/04	25.00
21940	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Jun 2013 A	100,000,000	2012/12/24	2013/06/24	25.00
21971	Daiwa Capital Mkt – HSI European Put Warrants Aug 2013 A	128,000,000	2012/12/24	2013/08/29	47.36
21972	Goldman Sachs SP (Asia) – Agri Bk European Wts Oct 2013 A	80,000,000	2012/12/24	2013/10/03	20.40
21976	Goldman Sachs SP (Asia) – BOC HK European Wts Mar 2014 A	80,000,000	2012/12/24	2014/03/31	12.08
21975	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2013 C	80,000,000	2012/12/24	2013/06/05	13.36
21974	Goldman Sachs SP (Asia) – CNBM European Warrants Jul 2014 A	80,000,000	2012/12/24	2014/07/02	20.08
21973	Goldman Sachs SP (Asia) – China Railway Euro Wts Sep 2013 A	80,000,000	2012/12/24	2013/09/02	20.00
21935	HK Bank – China Mobile European Warrants Jun 2013 B	100,000,000	2012/12/24	2013/06/03	18.00
21934	HK Bank – A50 China European Warrants Oct 2013 A	80,000,000	2012/12/24	2013/10/28	20.80
21933	HK Bank – A50 China European Warrants Apr 2014 A	200,000,000	2012/12/24	2014/04/29	30.00
21936	HK Bank – HSI European Warrants Aug 2013 A	200,000,000	2012/12/24	2013/08/29	50.00
21938	HK Bank – Lenovo European Warrants Jun 2013 A	60,000,000	2012/12/24	2013/06/24	15.00
21937	HK Bank – Sands China European Warrants Jun 2013 B	60,000,000	2012/12/24	2013/06/24	15.00
21980	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2014 A	60,000,000	2012/12/24	2014/01/27	15.00
21970	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2014 A	80,000,000	2012/12/24	2014/04/29	14.16
21969	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Sep 2014 A	80,000,000	2012/12/24	2014/09/15	12.56
21979	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Sep 2013 A	40,000,000	2012/12/24	2013/09/03	17.60
21978	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Jul 2013 D	60,000,000	2012/12/24	2013/07/16	16.80
21959	CC Rabobank B.A. – CSOP A50 ETF European Warrants May 2013 B	40,000,000	2012/12/24	2013/05/28	10.00
21960	CC Rabobank B.A. – CSOP A50 ETF European Warrants Jun 2013 B	40,000,000	2012/12/24	2013/06/24	10.00
21961	CC Rabobank B.A. – CSOP A50 ETF European Put Wts Jun 2013 C	30,000,000	2012/12/24	2013/06/24	11.10
21944	Standard Chartered Bank – China Mobile Euro Wts Jul 2013 A	100,000,000	2012/12/24	2013/07/23	25.00
21942	Standard Chartered Bank – Ping An European Wts Jul 2013 A	100,000,000	2012/12/24	2013/07/03	25.00
21943	Standard Chartered Bank – Ping An Euro Put Wts Aug 2013 B	80,000,000	2012/12/24	2013/08/05	40.00
21945	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun13B	100,000,000	2012/12/24	2013/06/24	20.00
21949	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Nov 2013 A	150,000,000	2012/12/24	2013/11/18	37.50
21946	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Jul 2013 A	30,000,000	2012/12/24	2013/07/08	19.20
21930	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec2014 A	300,000,000	2012/12/24	2014/12/15	76.50
21931	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jul2013 A	50,000,000	2012/12/24	2013/07/03	12.50
21947	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Jul13A	30,000,000	2012/12/24	2013/07/08	10.50
21950	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun2013C	150,000,000	2012/12/24	2013/06/24	51.75

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21951	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Aug2013A	150,000,000	2012/12/24	2013/08/05	42.00
21932	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul2013 A	50,000,000	2012/12/24	2013/07/03	20.75
21948	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jul13A	30,000,000	2012/12/24	2013/07/15	15.30
21968	UBS AG – Agricultural Bank European Warrants Sep 2013 A	100,000,000	2012/12/24	2013/09/16	25.00
21967	UBS AG – CC Bank European Put Warrants Jun 2013 A	100,000,000	2012/12/24	2013/06/03	19.00
21977	UBS AG – CSOP A50 ETF European Warrants Jun 2013 D	100,000,000	2012/12/24	2013/06/24	25.00
21966	UBS AG – Galaxy Ent European Warrants May 2013 A	100,000,000	2012/12/24	2013/05/20	27.00
20759 #	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2013 D	250,000,000	2012/12/24	2013/03/27	12.00
22048	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Oct13A	100,000,000	2012/12/27	2013/10/03	25.00
21992	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Jan 2014 A	130,000,000	2012/12/27	2014/01/03	32.50
21993	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jul 2013 A	80,000,000	2012/12/27	2013/07/03	20.80
21991	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Dec 2015 A	200,000,000	2012/12/27	2015/12/03	50.00
22051	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Jul 2013 A	200,000,000	2012/12/27	2013/07/03	72.00
22049	BNP Paribas Arbit Issu B.V. – Haier Elec Euro Wts Dec 2013 A	76,000,000	2012/12/27	2013/12/03	19.00
22050	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Sep 2013 B	100,000,000	2012/12/27	2013/09/30	15.00
22034	Credit Suisse AG – CC Bank European Warrants May 2013 C	80,000,000	2012/12/27	2013/05/31	44.00
22036	Credit Suisse AG – CC Bank European Warrants May 2013 D	80,000,000	2012/12/27	2013/05/31	20.80
22035	Credit Suisse AG – CC Bank European Warrants Jul 2013 B	80,000,000	2012/12/27	2013/07/08	12.00
22037	Credit Suisse AG – CC Bank European Warrants Aug 2013 A	80,000,000	2012/12/27	2013/08/05	29.60
22038	Credit Suisse AG – Sinopec Corp European Warrants May 2013 A	80,000,000	2012/12/27	2013/05/31	44.00
22039	Credit Suisse AG – Sinopec Corp European Warrants Aug 2013 A	80,000,000	2012/12/27	2013/08/01	12.00
22040	Credit Suisse AG – CSOP A50 ETF European Warrants Jun 2013 C	80,000,000	2012/12/27	2013/06/28	20.00
22021	Citigroup Global Mkt H Inc. – Anhui Conch Euro Wt Oct 2013 A	100,000,000	2012/12/27	2013/10/08	25.00
22027	Citigroup Global Mkt H Inc. – AIA European Wts Mar 2014 A	100,000,000	2012/12/27	2014/03/24	25.00
22026	Citigroup Global Mkt H Inc. – AIA European Put Wt Jun 2013 A	80,000,000	2012/12/27	2013/06/10	17.60
22031	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2013 B	100,000,000	2012/12/27	2013/07/29	40.00
22005	Citigroup Global Mkt H Inc. – China Life Eu Put Wt Sep2013 A	100,000,000	2012/12/27	2013/09/24	15.00
22001	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2013 A	100,000,000	2012/12/27	2013/10/04	15.00
22023	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Apr 2014 A	100,000,000	2012/12/27	2014/04/14	25.00
22045	Citigroup Global Mkt H Inc. – CNBM Euro Put Wts Mar 2014 A	100,000,000	2012/12/27	2014/03/24	25.00
22017	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts Oct 2013 A	80,000,000	2012/12/27	2013/10/28	20.00
22018	Citigroup Global Mkt H Inc. – Sinopec Corp Eu Put Wt Jul13 B	80,000,000	2012/12/27	2013/07/08	20.00
22022	Citigroup Global Mkt H Inc. – China Tel Euro Wts Sep 2013 A	100,000,000	2012/12/27	2013/09/30	25.00
22000	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Aug 2013 A	100,000,000	2012/12/27	2013/08/27	15.00
22020	Citigroup Global Mkt H Inc. – Henganintl Euro Wts Nov 2013 A	100,000,000	2012/12/27	2013/11/18	25.00
22006	Citigroup Global Mkt H Inc. – HKEx European Wts Apr 2013 A	100,000,000	2012/12/27	2013/04/02	24.00
22002	Citigroup Global Mkt H Inc. – Jiangxi Copper Eu Wt Aug2013 A	100,000,000	2012/12/27	2013/08/19	20.00
22047	Citigroup Global Mkt H Inc. – KECL European Wts Jul 2013 A	100,000,000	2012/12/27	2013/07/02	50.00
22046	Citigroup Global Mkt H Inc. – KECL Euro Put Wts Dec 2013 A	100,000,000	2012/12/27	2013/12/16	25.00
22029	Citigroup Global Mkt H Inc. – Li & Fung Euro Wt Jul 2013 A	100,000,000	2012/12/27	2013/07/29	25.00
22032	Citigroup Global Mkt H Inc. – PICC P&C Euro Wts Mar 2014 A	100,000,000	2012/12/27	2014/03/31	25.00
22019	Citigroup Global Mkt H Inc. – Ping An Euro Wts Nov 2013 A	100,000,000	2012/12/27	2013/11/11	25.00
22004	Citigroup Global Mkt H Inc. – Sands China Euro Wt Jul 2013 A	100,000,000	2012/12/27	2013/07/02	24.00
22016	Citigroup Global Mkt H Inc. – Sands China Eu Put Wt Jul13 B	80,000,000	2012/12/27	2013/07/08	20.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22028	Citigroup Global Mkt H Inc. -Yanzhou Coal Eur Wts Nov 2013 A	100,000,000	2012/12/27	2013/11/27	15.00
22003	Citigroup Global Mkt H Inc. – Zijin Mining Eu Wts Aug 2013 A	100,000,000	2012/12/27	2013/08/26	18.00
22052	Daiwa Capital Mkt – CAM CSI300 European Warrants Oct 2013 A	128,000,000	2012/12/27	2013/10/02	32.00
22013	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jan 2014 A	80,000,000	2012/12/27	2014/01/03	20.08
22010	Goldman Sachs SP (Asia) – BOC HK European Wts Mar 2014 B	80,000,000	2012/12/27	2014/03/17	12.08
22009	Goldman Sachs SP (Asia) – CITIC Sec European Wts Dec 2013 A	80,000,000	2012/12/27	2013/12/02	20.08
22012	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Aug 2013 A	80,000,000	2012/12/27	2013/08/01	23.68
22011	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2013 B	80,000,000	2012/12/27	2013/09/02	46.88
21997	HK Bank – CAM CSI300 European Warrants Dec 2013 A	100,000,000	2012/12/27	2013/12/09	25.00
21999	HK Bank – CPIC European Warrants Sep 2013 A	68,000,000	2012/12/27	2013/09/02	17.00
21995	HK Bank – CSOP A50 ETF European Warrants Jun 2013 B	80,000,000	2012/12/27	2013/06/27	20.00
22007	HK Bank – A50 China European Warrants Sep 2014 A	200,000,000	2012/12/27	2014/09/15	30.00
21994	HK Bank – A50 China European Warrants Oct 2014 A	200,000,000	2012/12/27	2014/10/28	30.00
21996	HK Bank – Tencent European Warrants Sep 2013 A	150,000,000	2012/12/27	2013/09/06	22.50
21998	HK Bank – Tencent European Put Warrants Jul 2013 C	100,000,000	2012/12/27	2013/07/15	15.00
22053	Macquarie Bank Ltd. – BOCL European Warrants Oct 2013 A	100,000,000	2012/12/27	2013/10/04	25.00
22025	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jul 2013 A	40,000,000	2012/12/27	2013/07/03	10.00
21983	Nomura Int'l plc – Digital China European Wts Jun 2014 A	50,000,000	2012/12/27	2014/06/30	12.60
21986	Nomura Int'l plc – Haier Elec European Warrants Mar 2014 A	50,000,000	2012/12/27	2014/03/18	12.55
21984	Nomura Int'l plc – HuanengPower European Warrants Sep 2013 A	50,000,000	2012/12/27	2013/09/23	13.40
21981	Nomura Int'l plc – KECL European Warrants Apr 2014 A	80,000,000	2012/12/27	2014/04/28	12.80
21985	Nomura Int'l plc – Lenovo European Warrants Jun 2013 A	80,000,000	2012/12/27	2013/06/06	12.32
21982	Nomura Int'l plc – Luk Fook Hold European Wts Sep 2013 A	50,000,000	2012/12/27	2013/09/23	12.80
21987	Nomura Int'l plc – Sh Electric European Warrants Oct 2013 A	50,000,000	2012/12/27	2013/10/28	12.90
21989	Nomura Int'l plc – Tencent Holdings Euro Warrants Jun 2013 A	80,000,000	2012/12/27	2013/06/27	12.16
21990	Nomura Int'l plc – Tencent Holdings Euro Put Wts Jul 2013 A	80,000,000	2012/12/27	2013/07/15	13.60
21988	Nomura Int'l plc – Zijin Mining European Warrants Aug 2013 A	80,000,000	2012/12/27	2013/08/23	12.48
22008	Standard Chartered Bank-China Life European Wts Sep 2013 A	100,000,000	2012/12/27	2013/09/06	25.00
22041	UBS AG – China Mobile European Warrants Jun 2013 E	200,000,000	2012/12/27	2013/06/26	110.00
22015	UBS AG – CSOP A50 ETF European Put Warrants Jun 2013 E	100,000,000	2012/12/27	2013/06/26	28.00
22043	UBS AG – Esprit European Warrants Jul 2013 A	100,000,000	2012/12/27	2013/07/29	19.00
22014	UBS AG – A50 China European Put Warrants May 2013 A	100,000,000	2012/12/27	2013/05/24	15.00
22042	UBS AG – Jiangxi Copper European Warrants Aug 2013 A	100,000,000	2012/12/27	2013/08/27	21.00
22044	UBS AG – Ping An European Warrants Jul 2013 A	100,000,000	2012/12/27	2013/07/29	25.00
22057	BOCI Asia Ltd. – KECL European Warrants Dec 2013 A	100,000,000	2012/12/28	2013/12/03	19.00
22056	BOCI Asia Ltd. – Ping An European Warrants May 2013 A	100,000,000	2012/12/28	2013/05/06	23.00
22118	Credit Suisse AG – Agricultural Bank European Wts Jul 2013 B	80,000,000	2012/12/28	2013/07/29	20.00
22114	Credit Suisse AG – AIA European Warrants May 2013 C	80,000,000	2012/12/28	2013/05/27	16.00
22117	Credit Suisse AG – BOC HK European Warrants Aug 2013 A	70,000,000	2012/12/28	2013/08/30	10.50
22119	Credit Suisse AG – BOCL European Warrants Aug 2013 A	80,000,000	2012/12/28	2013/08/26	20.00
22091	Credit Suisse AG – Cheung Kong European Warrants Apr 2013 A	70,000,000	2012/12/28	2013/04/08	14.00
22092	Credit Suisse AG – Cheung Kong European Warrants Jun 2013 A	70,000,000	2012/12/28	2013/06/28	17.50
22093	Credit Suisse – Cheung Kong European Put Warrants Jun 2013 B	70,000,000	2012/12/28	2013/06/28	28.00
22121	Credit Suisse AG – China Life European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/22	12.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22107	Credit Suisse AG – CNOOC European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/08	49.60
22105	Credit Suisse AG – ChiUnicom European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/08	40.00
22106	Credit Suisse AG – China Unicom European Put Wts Jul 2013 B	80,000,000	2012/12/28	2013/07/08	32.00
22104	Credit Suisse AG – HKEx European Put Warrants Sep 2013 A	100,000,000	2012/12/28	2013/09/13	15.00
22094	Credit Suisse AG – HSBC European Wts May 2013 B	80,000,000	2012/12/28	2013/05/31	36.00
22095	Credit Suisse AG – HSBC European Wts Jul 2013 A	80,000,000	2012/12/28	2013/07/08	24.00
22097	Credit Suisse AG – HSBC European Wts Sep 2013 A	80,000,000	2012/12/28	2013/09/02	12.80
22098	Credit Suisse AG – HSBC European Put Warrants May 2013 C	80,000,000	2012/12/28	2013/05/07	12.00
22099	Credit Suisse AG – Hutchison European Warrants Jun 2013 D	80,000,000	2012/12/28	2013/06/28	20.00
22111	Credit Suisse AG – ICBC European Warrants Jun 2013 D	80,000,000	2012/12/28	2013/06/24	22.40
22112	Credit Suisse AG – ICBC European Warrants Jun 2013 E	80,000,000	2012/12/28	2013/06/28	30.40
22110	Credit Suisse AG – ICBC European Warrants Jul 2013 B	80,000,000	2012/12/28	2013/07/22	20.00
22113	Credit Suisse AG – ICBC European Warrants Aug 2013 A	80,000,000	2012/12/28	2013/08/26	20.00
22103	Credit Suisse AG – KECL European Warrants Jun 2013 A	70,000,000	2012/12/28	2013/06/28	52.50
22108	Credit Suisse AG – Lenovo European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/08	20.00
22122	Credit Suisse AG – Link REIT European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/22	14.40
22102	Credit Suisse AG – MTRC European Warrants Dec 2013 A	70,000,000	2012/12/28	2013/12/23	14.00
22120	Credit Suisse AG – Ping An European Warrants Aug 2013 A	80,000,000	2012/12/28	2013/08/26	20.00
22115	Credit Suisse AG – Sands China European Warrants May 2013 A	70,000,000	2012/12/28	2013/05/31	14.00
22101	Credit Suisse AG – SHK Ppt European Warrants Jun 2013 A	70,000,000	2012/12/28	2013/06/28	17.50
22100	Credit Suisse AG – SHK Ppt European Put Warrants Aug 2013 A	70,000,000	2012/12/28	2013/08/26	10.50
22109	Credit Suisse AG – Yanzhou Coal European Warrants Jul 2013 A	80,000,000	2012/12/28	2013/07/08	25.60
22085	Goldman Sachs SP (Asia) – CM Bank European Wts Oct 2013 A	80,000,000	2012/12/28	2013/10/03	59.68
22089	Goldman Sachs SP (Asia) – Chow Tai Fook Euro Wts Aug 2014 A	80,000,000	2012/12/28	2014/08/04	20.00
22087	Goldman Sachs SP (Asia) – China Mengniu Euro Wt Nov 2013 A	80,000,000	2012/12/28	2013/11/05	20.00
22086	Goldman Sachs SP (Asia) – PICC European Warrants Aug 2013 A	80,000,000	2012/12/28	2013/08/01	49.76
22088	Goldman Sachs SP (Asia) – Ping An European Wts Jul 2013 A	80,000,000	2012/12/28	2013/07/02	27.04
22075	Macquarie Bank Ltd. – Henganintl European Wts Oct 2015 A	200,000,000	2012/12/28	2015/10/05	30.00
22054	Macquarie Bank Ltd. – Tencent European Warrants Aug 2013 B	200,000,000	2012/12/28	2013/08/02	35.20
22081	Standard Chartered Bank – CSOP A50 ETF Euro Wts Jul 2013 A	100,000,000	2012/12/28	2013/07/05	25.00
22082	Standard Chartered Bank – CSOP A50 ETF Euro Wts Aug 2014 A	200,000,000	2012/12/28	2014/08/08	50.00
22084	Standard Chartered Bank – A50 China Euro Warrants Dec 2013 A	100,000,000	2012/12/28	2013/12/09	38.00
22083	Standard Chartered Bank – A50 China Euro Warrants Aug 2014 A	200,000,000	2012/12/28	2014/08/08	50.00
22059	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2013 D	150,000,000	2012/12/28	2013/05/27	39.00
22070	SGA Societe Generale Acceptance N.V.-Chi COSCO Eu Wt Jun 13 A	80,000,000	2012/12/28	2013/06/05	12.00
22058	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul 2013B	100,000,000	2012/12/28	2013/07/31	23.00
22066	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Jul13A	100,000,000	2012/12/28	2013/07/31	18.00
22067	SGA Societe Generale Acceptance N.V. – COVS Eu Put Wt Sep13A	100,000,000	2012/12/28	2013/09/30	15.50
22071	SGA Societe Generale Acceptance N.V. – CRail Eu Wt May 2013A	30,000,000	2012/12/28	2013/05/20	10.35
22060	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13B	80,000,000	2012/12/28	2013/06/03	32.00
22061	SGA Societe Generale Acceptance NV-CSOP A50 ETF Eu Wt Jun13C	80,000,000	2012/12/28	2013/06/19	20.00
22072	SGA Societe Generale Acceptance N.V.-Galaxy Ent Eu Wt Jul13A	100,000,000	2012/12/28	2013/07/03	15.00
22073	SGA Societe Generale Acceptance N.V. – HLand Eur Wt Jul 13 A	50,000,000	2012/12/28	2013/07/03	12.25
22068	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2013 C	168,000,000	2012/12/28	2013/05/27	46.20

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22063	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2013 B	168,000,000	2012/12/28	2013/06/26	28.56
22062	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2013 D	300,000,000	2012/12/28	2013/05/30	48.00
22069	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2013 B	150,000,000	2012/12/28	2013/07/03	37.50
22074	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Aug13A	80,000,000	2012/12/28	2013/08/26	13.20
22080	UBS AG – CAM CSI300 European Warrants Oct 2013 B	200,000,000	2012/12/28	2013/10/31	50.00
22079	UBS AG – CAM CSI300 European Put Warrants Sep 2013 B	100,000,000	2012/12/28	2013/09/23	25.00
22077	UBS AG – CITIC Bank European Warrants Aug 2013 A	100,000,000	2012/12/28	2013/08/19	30.00
22055	UBS AG – Esprit European Warrants Jul 2013 B	100,000,000	2012/12/28	2013/07/09	25.00
22078	UBS AG – HSCEI European Warrants Dec 2013 B	100,000,000	2012/12/28	2013/12/30	25.00
22076	UBS AG – Yurun Food European Warrants Jul 2013 A	100,000,000	2012/12/28	2013/07/15	67.00
21443 #	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jun 2013 A	30,000,000	2012/12/28	2013/06/04	11.40
22146	Credit Suisse AG – AIA European Warrants Sep 2013 B	80,000,000	2012/12/31	2013/09/23	12.00
89002	Credit Suisse AG – CAM CSI300 European Warrants Jul 2013 A	50,000,000	2012/12/31	2013/07/08	12.69
22144	Credit Suisse AG – China Shenhua European Wts Jul 2013 A	70,000,000	2012/12/31	2013/07/22	14.00
22149	Credit Suisse AG – A50 China European Put Wts May 2013 C	80,000,000	2012/12/31	2013/05/27	12.00
22141	Credit Suisse AG – HSI European Warrants Jun 2013 F	200,000,000	2012/12/31	2013/06/27	34.00
22143	Credit Suisse AG – HSI European Warrants Aug 2013 A	200,000,000	2012/12/31	2013/08/29	50.00
22142	Credit Suisse AG – HSI European Warrants Sep 2013 B	200,000,000	2012/12/31	2013/09/27	44.00
22138	Credit Suisse AG – HSI European Put Warrants Jul 2013 A	200,000,000	2012/12/31	2013/07/30	40.00
22139	Credit Suisse AG – HSI European Put Warrants Jul 2013 B	200,000,000	2012/12/31	2013/07/30	32.00
22140	Credit Suisse AG – HSI European Put Warrants Jul 2013 C	200,000,000	2012/12/31	2013/07/30	50.00
22137	Credit Suisse AG – HSI European Put Warrants Sep 2013 A	200,000,000	2012/12/31	2013/09/27	40.00
22145	Credit Suisse AG – Jiangxi Copper European Wts Aug 2013 A	70,000,000	2012/12/31	2013/08/19	10.50
22148	Credit Suisse AG – Ping An European Put Warrants Jul 2013 B	80,000,000	2012/12/31	2013/07/29	12.00
22157	Bank of East Asia – Agricultural Bk Euro Put Wts Oct 2013 A	50,000,000	2012/12/31	2013/10/16	12.50
22161	Bank of East Asia – Ch Comm Cons European Wts Nov 2014 A	80,000,000	2012/12/31	2014/11/26	12.00
22160	Bank of East Asia – CM Bank European Warrants May 2014 A	80,000,000	2012/12/31	2014/05/29	20.00
22158	Bank of East Asia – CM Bank European Put Warrants Apr 2014 A	80,000,000	2012/12/31	2014/04/17	20.00
22162	Bank of East Asia – CNBM European Warrants Apr 2014 A	80,000,000	2012/12/31	2014/04/16	16.00
22159	Bank of East Asia – A50 China European Put Wts Jan 2015 A	80,000,000	2012/12/31	2015/01/21	20.00
22155	Bank of East Asia – PICC European Put Warrants Dec 2014 A	80,000,000	2012/12/31	2014/12/09	20.00
22126	HK Bank – AIA European Warrants Oct 2013 A	100,000,000	2012/12/31	2013/10/21	18.00
22128	HK Bank – CC Bank European Warrants Jul 2013 C	80,000,000	2012/12/31	2013/07/15	20.00
22130	HK Bank – CNBM European Warrants Apr 2014 A	80,000,000	2012/12/31	2014/04/15	14.40
22129	HK Bank – China Shenhua European Warrants Jul 2013 A	80,000,000	2012/12/31	2013/07/22	13.60
22127	HK Bank – HWL European Warrants Jul 2013 B	68,000,000	2012/12/31	2013/07/02	34.00
22150	Macquarie Bank Ltd. – BankComm European Warrants Jul 2013 A	100,000,000	2012/12/31	2013/07/03	35.10
22136	Macquarie Bank Ltd. – A50 China European Put Wts Jul 2013 A	40,000,000	2012/12/31	2013/07/03	10.00
22151	Macquarie Bank Ltd. – Link REIT European Warrants Jul 2013 A	150,000,000	2012/12/31	2013/07/22	27.00
22132	CC Rabobank B.A. – CC Bank European Warrants Jul 2013 A	80,000,000	2012/12/31	2013/07/02	16.00
22134	CC Rabobank B.A. – CM Bank European Warrants Jul 2013 A	30,000,000	2012/12/31	2013/07/02	12.00
22135	CC Rabobank B.A. – CQRC Bank European Warrants Jul 2013 A	40,000,000	2012/12/31	2013/07/02	12.00
22133	CC Rabobank B.A. – HSBC European Put Warrants Jun 2013 B	70,000,000	2012/12/31	2013/06/03	12.60
22131	CC Rabobank B.A. – Ping An European Warrants Jul 2013 A	80,000,000	2012/12/31	2013/07/02	20.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22125	Standard Chartered Bank – CAM CSI300 European Wts Dec 2013 A	100,000,000	2012/12/31	2013/12/16	30.00
22154	Standard Chartered Bank – CNOOC European Warrants Aug 2013 A	100,000,000	2012/12/31	2013/08/05	17.60
22153	Standard Chartered Bank – Sinopec Corp Euro Wts Sep 2013 A	50,000,000	2012/12/31	2013/09/05	16.00
22123	Standard Chartered Bank – HSBC European Warrants Jun 2013 B	100,000,000	2012/12/31	2013/06/03	22.40
22152	Standard Chartered Bank – MTRC European Warrants Aug 2013 A	50,000,000	2012/12/31	2013/08/22	12.50
22124	Standard Chartered Bank – SHK P European Warrants Apr 2013 A	100,000,000	2012/12/31	2013/04/30	21.80
22170	UBS AG – CITIC Sec European Warrants Jul 2013 A	100,000,000	2012/12/31	2013/07/29	25.00
22169	UBS AG – China Overseas European Warrants Oct 2013 A	100,000,000	2012/12/31	2013/10/09	25.00
22164	UBS AG – Sinopec Corp European Warrants Aug 2013 A	100,000,000	2012/12/31	2013/08/01	23.00
22168	UBS AG – CPIC European Warrants Jul 2013 B	100,000,000	2012/12/31	2013/07/09	25.00
22173	UBS AG – China Shenhua European Warrants Jul 2013 A	100,000,000	2012/12/31	2013/07/22	20.00
22167	UBS AG – HSCEI European Put Warrants Jun 2013 F	100,000,000	2012/12/31	2013/06/27	22.00
22171	UBS AG – Lenovo European Warrants Apr 2013 A	100,000,000	2012/12/31	2013/04/30	58.00
22172	UBS AG – Lenovo European Warrants Jun 2013 A	100,000,000	2012/12/31	2013/06/17	42.50
22166	UBS AG – New World European Warrants Dec 2014 A	100,000,000	2012/12/31	2014/12/22	23.00
22165	UBS AG – Sands China European Warrants Jul 2013 A	100,000,000	2012/12/31	2013/07/09	25.00
22163	UBS AG – Yanzhou Coal European Wts Nov 2013 A	100,000,000	2012/12/31	2013/11/26	15.00
Total					42,042.21

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2011	Q4	–	229.59
2012	Q1	–	390.38
	Q2	–	1,069.72
	Q3	–	742.85
	Q4	–	567.60

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2011	Q4	192	588,875.47
2012	Q1	209	651,849.26
	Q2	231	754,232.63
	Q3	247	811,545.76
	Q4	269	883,784.51

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2011	Q4	14	36,727.82
2012	Q1	24	69,041.82
	Q2	30	113,905.00
	Q3	26	77,396.31
	Q4	29	80,894.77

Main Board Debt Securities

Newly Listed Debt Securities

for 4th quarter 2012

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
04568	HLP Finance Ltd. 3.55% Guaranteed Notes 2022	HKD 225,000,000	2012/10/08	2022/10/05	225.00
04569	China Resources Cement Holdings Ltd. 2.125% Credit Enhanced Senior Bonds 2017	USD 400,000,000	2012/10/08	2017/10/05	3,112.20
04571	LS Finance (2022) Ltd. 4.25% Guaranteed Bonds 2022	USD 300,000,000	2012/10/17	2022/10/16	2,321.00
04570	CITIC Pacific Ltd. 6.80% Notes 2023	USD 750,000,000	2012/10/18	2023/01/17	5,850.00
04572	RH International Finance Ltd. 4.25% Guaranteed Notes 2017	USD 300,000,000	2012/10/22	2017/10/19	2,326.99
04573	PB Issuer (No.3) Ltd. 1.875% Guaranteed Conv. Bonds 2018	USD 123,800,000	2012/10/24	2018/10/22	965.64
04575	HKEx International Ltd. 0.50% Conv. Bonds 2017	USD 500,000,000	2012/10/24	2017/10/23	3,900.00
85906	Binhai Investment Co. Ltd. 6.50% CNY Bonds 2015	RMB 500,000,000	2012/10/25	2015/10/25	620.05
04577	Yuzhou Properties Co. Ltd. 11.75% Guaranteed Senior Notes 2017	USD 250,000,000	2012/10/26	2017/10/25	1,935.63
04574	CLP Power Hong Kong Financing Ltd. 2.875% Guar. Notes 2023	USD 300,000,000	2012/10/29	2023/04/26	2,314.66
04576	CLP Power Hong Kong Financing Ltd. 3.375% Guar. Notes 2027	USD 300,000,000	2012/10/29	2027/10/26	2,320.67
04578	Franshion Investment Ltd. 4.70% Guaranteed Senior Notes 2017	USD 500,000,000	2012/10/29	2017/10/26	3,900.00
85907	China Guangdong Nuclear Power Holding Co., Ltd. 3.75% CNY Bonds 2015	RMB 1,500,000,000	2012/11/02	2015/11/02	1,863.30
04206 #	The Govt of the HKSAR of the PRC 1.43% Bonds 2015	HKD 3,000,000,000	2012/11/08	2015/12/02	3,093.30
85908	China ITS (Holdings) Co., Ltd. 10.0% CNY Bonds 2015	RMB 210,000,000	2012/11/09	2015/11/08	260.61
04581	China SCE Property Holdings Ltd. 11.5% Senior Notes 2017	USD 200,000,000	2012/11/15	2017/11/14	1,560.00
04579	China Overseas Finance (Cayman) V Ltd. 3.95% Guaranteed Notes 2022	USD 700,000,000	2012/11/16	2022/11/15	5,441.71
04580	China Overseas Finance (Cayman) V Ltd. 5.35% Guaranteed Notes 2042	USD 300,000,000	2012/11/16	2042/11/15	2,335.13
04032	Hong Kong Monetary Authority 0.20% Exchange Fund Notes 2014	HKD 1,200,000,000	2012/11/20	2014/11/19	1,200.72
04541 #	MTR Corporation (C.I.) Ltd. 2.0% Fixed Rate Notes 2017	USD 250,000,000	2012/11/20	2017/04/12	1,995.01
04582	China Taiping Capital Ltd. 4.125% Guaranteed Notes 2022	USD 300,000,000	2012/11/22	2022/11/21	2,322.97
85909	Korea Development Bank, The 3.25% CNY Notes 2013	RMB 500,000,000	2012/11/23	2013/11/20	621.90
04583	China Aoyuan Property Group Ltd. 13.875% Guar. Senior Notes 2017	USD 125,000,000	2012/11/26	2017/11/23	953.84
85910	Central Plaza Development Ltd. 7.60% CNY Guar. Bonds 2015	RMB 2,000,000,000	2012/11/30	2015/11/29	2,488.40
04584	COSCO Finance (2011) Ltd. 4.00% Credit Enhanced Bonds 2022	USD 1,000,000,000	2012/12/04	2022/12/03	7,703.75
04585	Amber Circle Funding Ltd. 2.00% Guaranteed Notes 2017	USD 500,000,000	2012/12/05	2017/12/04	3,881.95
04586	Amber Circle Funding Ltd. 3.25% Guaranteed Notes 2022	USD 1,000,000,000	2012/12/05	2022/12/04	7,739.39
04033	Hong Kong Monetary Authority 0.55% Exchange Fund Notes 2022	HKD 800,000,000	2012/12/05	2022/12/05	797.12
04587	K. Wah International Financial Services Ltd. Floating Rate Notes 2017	HKD 150,000,000	2012/12/06	2017/12/05	150.00
04570 #	CITIC Pacific Ltd. 6.80% Notes 2023	USD 250,000,000	2012/12/12	2023/01/17	1,969.50
85911	Bitronic Ltd. 4% CNY Bonds 2015	RMB 2,000,000,000	2012/12/13	2015/12/12	2,480.80
04010	Hong Kong Monetary Authority 1.52% Exchange Fund Notes 2015	HKD 1,200,000,000	2012/12/17	2015/12/21	1,248.24
04034	Hong Kong Monetary Authority 0.27% Exchange Fund Notes 2017	HKD 1,000,000,000	2012/12/18	2017/12/18	995.30
Total					80,894.77

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2011	Q4	106,330.42	11,900.43	78.91	118,309.77	3.22
2012	Q1	115,613.41	13,420.51	82.93	129,116.85	3.36
	Q2	92,849.35	16,848.41	66.55	109,764.32	3.59
	Q3	99,979.83	14,391.15	105.35	114,476.33	3.86
	Q4	213,637.42	22,489.26	51.26	236,177.94	6.93

Note: Turnover value traded in non-HKD currency securities have been included

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2011	Q4	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95
2012	Q1	89	759,673.29	9	131,556.21	2	2,391.86	100	893,621.36
	Q2	92	711,788.89	9	136,167.04	2	2,367.38	103	850,323.31
	Q3	96	808,879.98	9	158,736.84	2	2,157.57	107	969,774.39
	Q4	100	839,802.08	9	174,124.01	2	1,474.98	111	1,015,401.07

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2011	Q4	1	–
2012	Q1	24	136.80
	Q2	3	–
	Q3	4	–
	Q4	4	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 4th quarter 2012

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	No. of unit offer	Amount		Listing date
					raised (HK\$ mil)	Subscription rate (times)	
83118	Harvest MSCI China A Index ETF	Harvest Global Investments Limited	n.a.	n.a.	n.a.	n.a.	2012/10/12
02830	ETFS Physical Gold ETF	ETF Securities (HK) Limited	n.a.	n.a.	n.a.	n.a.	2012/11/28
03117	ETFS Physical Silver ETF	ETF Securities (HK) Limited	n.a.	n.a.	n.a.	n.a.	2012/11/28
03119	ETFS Physical Platinum ETF	ETF Securities (HK) Limited	n.a.	n.a.	n.a.	n.a.	2012/11/28

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2011	Q4	901	43,598.79	641,062.38
2012	Q1	1,097	55,092.12	464,351.29
	Q2	1,021	46,730.67	385,316.23
	Q3	1,104	49,099.81	367,897.88
	Q4	1,214	53,414.12	315,589.20

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2011	Q4	1,553	90,461.98
2012	Q1	1,419	82,599.66
	Q2	1,530	91,955.65
	Q3	1,656	94,844.71
	Q4	1,451	80,018.42

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 4th quarter 2012

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68619	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 I	200,000,000	2012/10/03	2013/05/30	50.00
63038	Credit Suisse AG – HSI R Bull CBBC Mar 2013 M	350,000,000	2012/10/03	2013/03/27	87.50
63062	Credit Suisse AG – HSI R Bear CBBC Mar 2013 P	350,000,000	2012/10/03	2013/03/27	87.50
63083	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 A	150,000,000	2012/10/03	2013/01/30	38.10
63088	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 B	150,000,000	2012/10/03	2013/01/30	37.65
63065	UBS AG – HSI R Bull CBBC May 2013 O	300,000,000	2012/10/03	2013/05/30	75.00
63093	UBS AG – HSI R Bear CBBC Jan 2013 N	300,000,000	2012/10/03	2013/01/30	75.00
63096	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Z	350,000,000	2012/10/04	2013/02/27	87.50
63097	Credit Suisse AG – HSI R Bear CBBC Feb 2013 K	350,000,000	2012/10/04	2013/02/27	87.50
63095	UBS AG – HSI R Bull CBBC Feb 2013 T	300,000,000	2012/10/04	2013/02/27	75.00
63098	UBS AG – HSI R Bear CBBC Mar 2013 T	300,000,000	2012/10/04	2013/03/27	75.00
63094	UBS AG – HSI R Bear CBBC Apr 2013 Q	300,000,000	2012/10/04	2013/04/29	75.00
63134	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 J	200,000,000	2012/10/05	2013/05/30	50.00
63133	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Feb 2013 K	200,000,000	2012/10/05	2013/02/27	50.00
63137	Credit Suisse AG – HSI R Bull CBBC Jan 2013 G	350,000,000	2012/10/05	2013/01/30	87.50
63188	Credit Suisse AG – HSI R Bear CBBC Jan 2013 R	350,000,000	2012/10/05	2013/01/30	87.50
63191	Credit Suisse AG – HSI R Bear CBBC Jan 2013 T	350,000,000	2012/10/05	2013/01/30	87.50
63198	Credit Suisse AG – HSI R Bear CBBC Jan 2013 U	350,000,000	2012/10/05	2013/01/30	87.50
63113	HK Bank – Anhui Conch R Bull CBBC Dec 2013 A	40,000,000	2012/10/05	2013/12/18	10.00
63099	HK Bank – AIA R Bear CBBC Dec 2013 A	50,000,000	2012/10/05	2013/12/18	12.50
63114	HK Bank – CNBM R Bull CBBC Jul 2013 A	50,000,000	2012/10/05	2013/07/29	12.50
63131	HK Bank – A50 R Bear CBBC May 2013 A	50,000,000	2012/10/05	2013/05/27	12.50
63115	HK Bank – HSI R Bull CBBC Jun 2013 E	100,000,000	2012/10/05	2013/06/27	25.00
63109	HK Bank – HSI R Bear CBBC Jun 2013 A	100,000,000	2012/10/05	2013/06/27	25.00
63100	HK Bank – HSI R Bear CBBC Oct 2013 A	200,000,000	2012/10/05	2013/10/30	50.00
63102	HK Bank – PetCh R Bull CBBC Apr 2013 A	50,000,000	2012/10/05	2013/04/29	12.50
63206	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 A	60,000,000	2012/10/05	2013/02/27	15.00
63207	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 B	60,000,000	2012/10/05	2013/02/27	15.00
63135	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13N	300,000,000	2012/10/05	2013/02/27	75.00
63136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13O	300,000,000	2012/10/05	2013/02/27	75.00
63145	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13W	300,000,000	2012/10/05	2013/01/30	75.00
63151	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13X	300,000,000	2012/10/05	2013/01/30	75.00
63153	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13Y	300,000,000	2012/10/05	2013/01/30	75.00
63139	UBS AG – HSI R Bull CBBC Apr 2013 M	300,000,000	2012/10/05	2013/04/29	75.00
63199	UBS AG – HSI R Bear CBBC Apr 2013 R	300,000,000	2012/10/05	2013/04/29	75.00
63219	Credit Suisse AG – HSI R Bull CBBC Jan 2013 R	350,000,000	2012/10/08	2013/01/30	87.50
63275	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Z	350,000,000	2012/10/08	2013/01/30	87.50
63220	Credit Suisse AG – HSI R Bear CBBC Jan 2013 W	350,000,000	2012/10/08	2013/01/30	87.50
63247	Credit Suisse AG – HSI R Bear CBBC Jan 2013 X	350,000,000	2012/10/08	2013/01/30	87.50
63251	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Y	350,000,000	2012/10/08	2013/01/30	87.50
63276	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan 13M	300,000,000	2012/10/08	2013/01/30	75.00
63259	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13A	300,000,000	2012/10/08	2013/01/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63258	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13Z	300,000,000	2012/10/08	2013/01/30	75.00
63279	UBS AG – HSI R Bull CBBC May 2013 P	300,000,000	2012/10/08	2013/05/30	75.00
63262	UBS AG – HSI R Bear CBBC Jan 2013 O	300,000,000	2012/10/08	2013/01/30	75.00
63242	UBS AG – HSI R Bear CBBC Feb 2013 X	300,000,000	2012/10/08	2013/02/27	75.00
63269	UBS AG – HSI R Bear CBBC Feb 2013 Y	300,000,000	2012/10/08	2013/02/27	75.00
63266	UBS AG – HSI R Bear CBBC Apr 2013 S	300,000,000	2012/10/08	2013/04/29	75.00
63326	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 K	200,000,000	2012/10/09	2013/05/30	50.00
63316	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 C	200,000,000	2012/10/09	2013/04/29	50.00
63318	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 D	200,000,000	2012/10/09	2013/04/29	50.00
63319	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 E	200,000,000	2012/10/09	2013/04/29	50.00
63325	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 F	200,000,000	2012/10/09	2013/04/29	50.00
63284	Credit Suisse AG – HSI R Bull CBBC Jan 2013 I	350,000,000	2012/10/09	2013/01/30	87.50
63327	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	350,000,000	2012/10/09	2013/01/30	87.50
63285	Credit Suisse AG – HSI R Bear CBBC Jan 2013 V	350,000,000	2012/10/09	2013/01/30	87.50
63288	HK Bank – A50 R Bull CBBC May 2013 A	100,000,000	2012/10/09	2013/05/27	25.00
63286	HK Bank – HSI R Bull CBBC Jul 2013 B	100,000,000	2012/10/09	2013/07/30	25.00
63335	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 U	60,000,000	2012/10/09	2013/01/30	15.00
63336	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2013 V	60,000,000	2012/10/09	2013/01/30	15.00
63337	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jan 2013 L	60,000,000	2012/10/09	2013/01/30	15.00
63292	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13P	300,000,000	2012/10/09	2013/02/27	75.00
63293	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13Q	300,000,000	2012/10/09	2013/02/27	75.00
63338	UBS AG – A50 China R Bull CBBC Apr 2013 A	100,000,000	2012/10/09	2013/04/15	25.00
63341	UBS AG – HSI R Bull CBBC Jan 2013 X	300,000,000	2012/10/09	2013/01/30	75.00
63340	UBS AG – HSI R Bull CBBC Feb 2013 U	300,000,000	2012/10/09	2013/02/27	75.00
63290	UBS AG – HSI R Bear CBBC Feb 2013 Z	300,000,000	2012/10/09	2013/02/27	75.00
63289	UBS AG – HSI R Bear CBBC Apr 2013 T	300,000,000	2012/10/09	2013/04/29	75.00
63291	UBS AG – HSI R Bear CBBC May 2013 K	300,000,000	2012/10/09	2013/05/30	75.00
63386	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 D	200,000,000	2012/10/10	2013/03/27	50.00
63387	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 G	200,000,000	2012/10/10	2013/04/29	50.00
63365	Credit Suisse AG – HSI R Bull CBBC Feb 2013 F	350,000,000	2012/10/10	2013/02/27	87.50
63397	Credit Suisse AG – HSI R Bull CBBC Feb 2013 M	350,000,000	2012/10/10	2013/02/27	87.50
63366	Credit Suisse AG – HSI R Bear CBBC Feb 2013 L	350,000,000	2012/10/10	2013/02/27	87.50
63398	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 C	150,000,000	2012/10/10	2013/01/30	38.10
63399	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 D	150,000,000	2012/10/10	2013/01/30	38.25
63361	HK Bank – COVS R Bull CBBC Oct 2013 A	40,000,000	2012/10/10	2013/10/21	10.00
63358	HK Bank – CC Bank R Bull CBBC Aug 2013 A	60,000,000	2012/10/10	2013/08/05	15.00
63350	HK Bank – CNBM R Bear CBBC Jun 2013 A	50,000,000	2012/10/10	2013/06/24	12.50
63362	HK Bank – China Shenhua R Bull CBBC Dec 2013 C	40,000,000	2012/10/10	2013/12/16	10.00
63342	HK Bank – A50 R Bull CBBC Jun 2013 B	100,000,000	2012/10/10	2013/06/04	25.00
63363	HK Bank – Galaxy Ent R Bull CBBC Jun 2013 A	40,000,000	2012/10/10	2013/06/24	11.20
63349	HK Bank – Galaxy Ent R Bear CBBC Jun 2013 A	40,000,000	2012/10/10	2013/06/24	16.80
63343	HK Bank – TCH R Bull CBBC Jun 2013 A	50,000,000	2012/10/10	2013/06/17	12.50
63367	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 C	300,000,000	2012/10/10	2013/06/27	75.00
63368	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 D	300,000,000	2012/10/10	2013/06/27	75.00
63377	J P Morgan SP BV – HSI R Bear CBBC May 2013 P	300,000,000	2012/10/10	2013/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63369	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 A	300,000,000	2012/10/10	2013/06/27	75.00
63384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 R	300,000,000	2012/10/10	2013/02/27	75.00
63385	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 S	300,000,000	2012/10/10	2013/02/27	75.00
63378	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 B	300,000,000	2012/10/10	2013/01/30	75.00
63382	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 C	300,000,000	2012/10/10	2013/01/30	75.00
63405	UBS AG – A50 China R Bull CBBC Apr 2013 B	100,000,000	2012/10/10	2013/04/08	25.00
63407	UBS AG – HSI R Bull CBBC May 2013 Q	300,000,000	2012/10/10	2013/05/30	75.00
63388	UBS AG – HSI R Bull CBBC Sep 2013 C	300,000,000	2012/10/10	2013/09/27	75.00
63364	UBS AG – HSI R Bear CBBC Jan 2013 P	300,000,000	2012/10/10	2013/01/30	75.00
63392	UBS AG – HSI R Bear CBBC Jan 2013 Q	300,000,000	2012/10/10	2013/01/30	75.00
63395	UBS AG – HSI R Bear CBBC Jan 2013 R	300,000,000	2012/10/10	2013/01/30	75.00
63396	UBS AG – HSI R Bear CBBC Jan 2013 S	300,000,000	2012/10/10	2013/01/30	75.00
63391	UBS AG – HSI R Bear CBBC Feb 2013 A	300,000,000	2012/10/10	2013/02/27	75.00
63393	UBS AG – HSI R Bear CBBC Feb 2013 D	300,000,000	2012/10/10	2013/02/27	75.00
63389	UBS AG – HSI R Bear CBBC Mar 2013 U	300,000,000	2012/10/10	2013/03/27	87.00
63401	UBS AG – TCH R Bull CBBC Jul 2013 B	100,000,000	2012/10/10	2013/07/15	25.00
63400	UBS AG – TCH R Bear CBBC Feb 2013 A	100,000,000	2012/10/10	2013/02/25	45.00
63446	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2013 L	200,000,000	2012/10/11	2013/05/30	50.00
63445	Credit Suisse AG – HSI R Bull CBBC Feb 2013 N	350,000,000	2012/10/11	2013/02/27	87.50
63428	Credit Suisse AG – HSI R Bear CBBC Feb 2013 P	350,000,000	2012/10/11	2013/02/27	87.50
63429	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Q	350,000,000	2012/10/11	2013/02/27	87.50
63408	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 C	60,000,000	2012/10/11	2013/02/27	15.00
63422	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 D	60,000,000	2012/10/11	2013/02/27	15.00
63423	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 E	60,000,000	2012/10/11	2013/02/27	15.00
63424	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 F	60,000,000	2012/10/11	2013/02/27	15.00
63425	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 G	60,000,000	2012/10/11	2013/02/27	15.00
63426	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 H	60,000,000	2012/10/11	2013/02/27	15.00
63432	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 T	300,000,000	2012/10/11	2013/02/27	75.00
63433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 D	300,000,000	2012/10/11	2013/01/30	75.00
63434	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 G	300,000,000	2012/10/11	2013/02/27	93.00
63435	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 H	300,000,000	2012/10/11	2013/02/27	97.50
63436	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 I	300,000,000	2012/10/11	2013/02/27	100.50
63447	UBS AG – HSI R Bull CBBC Jan 2013 Z	300,000,000	2012/10/11	2013/01/30	75.00
63448	UBS AG – HSI R Bear CBBC Feb 2013 C	300,000,000	2012/10/11	2013/02/27	75.00
63449	UBS AG – HSI R Bear CBBC Mar 2013 V	300,000,000	2012/10/11	2013/03/27	75.00
63431	UBS AG – HSI R Bear CBBC Apr 2013 U	300,000,000	2012/10/11	2013/04/29	75.00
63478	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 B	200,000,000	2012/10/12	2013/09/27	50.00
63464	Credit Suisse AG – HSI R Bull CBBC Jan 2013 Y	350,000,000	2012/10/12	2013/01/30	87.50
63461	Credit Suisse AG – HSI R Bear CBBC Jan 2013 A	350,000,000	2012/10/12	2013/01/30	87.50
63454	Credit Suisse AG – TCH R Bull CBBC Mar 2013 A	50,000,000	2012/10/12	2013/03/25	12.75
63455	Credit Suisse AG – TCH R Bull CBBC Mar 2013 B	50,000,000	2012/10/12	2013/03/25	12.50
63459	Credit Suisse AG – TCH R Bear CBBC Mar 2013 A	50,000,000	2012/10/12	2013/03/25	12.50
63460	Credit Suisse AG – TCH R Bear CBBC Mar 2013 B	50,000,000	2012/10/12	2013/03/25	20.25
63469	Daiwa Capital Mkt – HSCEI R Bull CBBC Jun 2013 A	80,000,000	2012/10/12	2013/06/27	20.00
63466	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 H	158,000,000	2012/10/12	2013/03/27	39.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63479	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 E	120,000,000	2012/10/12	2013/01/30	30.00
63481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 A	120,000,000	2012/10/12	2013/01/30	30.72
63471	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 A	60,000,000	2012/10/12	2013/02/27	15.00
63472	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 B	60,000,000	2012/10/12	2013/02/27	15.00
63474	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 C	60,000,000	2012/10/12	2013/02/27	15.00
63477	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 U	300,000,000	2012/10/12	2013/02/27	75.00
63470	UBS AG – HSI R Bull CBBC Feb 2013 V	300,000,000	2012/10/12	2013/02/27	75.00
63450	UBS AG – HSI R Bear CBBC Jan 2013 T	300,000,000	2012/10/12	2013/01/30	75.00
63453	UBS AG – HSI R Bear CBBC Feb 2013 E	300,000,000	2012/10/12	2013/02/27	75.00
63451	UBS AG – HSI R Bear CBBC May 2013 L	300,000,000	2012/10/12	2013/05/30	75.00
63494	Credit Suisse AG – HSI R Bull CBBC Mar 2013 N	350,000,000	2012/10/15	2013/03/27	87.50
63502	Credit Suisse AG – HSI R Bear CBBC Mar 2013 R	350,000,000	2012/10/15	2013/03/27	87.50
63504	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 B	100,000,000	2012/10/15	2013/01/30	25.70
63491	HK Bank – ABC R Bull CBBC Nov 2013 B	50,000,000	2012/10/15	2013/11/18	12.50
63490	HK Bank – China Life R Bull CBBC Jun 2013 A	60,000,000	2012/10/15	2013/06/24	15.00
63492	HK Bank – A50 R Bull CBBC May 2013 B	100,000,000	2012/10/15	2013/05/06	25.00
63482	HK Bank – HSI R Bull CBBC Jul 2013 C	100,000,000	2012/10/15	2013/07/30	25.00
63489	HK Bank – Hutchison R Bull CBBC Jul 2013 A	60,000,000	2012/10/15	2013/07/08	15.00
63501	UBS AG – HkEx R Bear CBBC Mar 2013 C	100,000,000	2012/10/15	2013/03/11	25.00
63498	UBS AG – HSI R Bull CBBC Jun 2013 T	300,000,000	2012/10/15	2013/06/27	75.00
63518	Credit Suisse AG – HSI R Bull CBBC Feb 2013 G	350,000,000	2012/10/16	2013/02/27	87.50
63514	Credit Suisse AG – HSI R Bull CBBC Feb 2013 Q	350,000,000	2012/10/16	2013/02/27	87.50
63505	Credit Suisse AG – HSI R Bear CBBC Jan 2013 B	350,000,000	2012/10/16	2013/01/30	87.50
63506	Credit Suisse AG – HSI R Bear CBBC Jan 2013 C	350,000,000	2012/10/16	2013/01/30	87.50
63528	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 C	100,000,000	2012/10/16	2013/01/30	25.00
63530	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 D	100,000,000	2012/10/16	2013/01/30	25.00
63511	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 V	300,000,000	2012/10/16	2013/02/27	75.00
63512	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 W	300,000,000	2012/10/16	2013/02/27	75.00
63522	UBS AG – HSCEI R Bull CBBC May 2013 B	100,000,000	2012/10/16	2013/05/30	25.00
63526	UBS AG – HSCEI R Bull CBBC May 2013 C	100,000,000	2012/10/16	2013/05/30	25.00
63523	UBS AG – HSCEI R Bear CBBC Mar 2013 A	100,000,000	2012/10/16	2013/03/27	25.00
63525	UBS AG – HSI R Bull CBBC May 2013 R	300,000,000	2012/10/16	2013/05/30	75.00
63509	UBS AG – HSI R Bear CBBC Mar 2013 W	300,000,000	2012/10/16	2013/03/27	75.00
63510	UBS AG – HSI R Bear CBBC Mar 2013 X	300,000,000	2012/10/16	2013/03/27	75.00
63521	UBS AG – Hutchison R Bull CBBC Mar 2013 A	100,000,000	2012/10/16	2013/03/18	25.00
63546	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2013 A	200,000,000	2012/10/17	2013/05/30	50.00
63545	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 C	200,000,000	2012/10/17	2013/09/27	50.00
63543	Credit Suisse AG – HSI R Bull CBBC Mar 2013 O	350,000,000	2012/10/17	2013/03/27	87.50
63544	Credit Suisse AG – HSI R Bear CBBC Mar 2013 S	350,000,000	2012/10/17	2013/03/27	87.50
63554	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 A	60,000,000	2012/10/17	2013/09/27	15.00
63553	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 K	60,000,000	2012/10/17	2013/01/30	15.00
63532	HK Bank – HSI R Bear CBBC Jun 2013 B	100,000,000	2012/10/17	2013/06/27	25.00
63538	HK Bank – HSI R Bear CBBC Jun 2013 C	100,000,000	2012/10/17	2013/06/27	25.00
63533	HK Bank – HSI R Bear CBBC Jul 2013 B	100,000,000	2012/10/17	2013/07/30	25.00
63539	HK Bank – HSI R Bear CBBC Jul 2013 C	100,000,000	2012/10/17	2013/07/30	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63537	HK Bank – HSI R Bear CBBC Aug 2013 A	100,000,000	2012/10/17	2013/08/29	25.00
63531	HK Bank – HSI R Bear CBBC Sep 2013 A	200,000,000	2012/10/17	2013/09/27	50.00
63540	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 X	300,000,000	2012/10/17	2013/02/27	75.00
63541	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 E	300,000,000	2012/10/17	2013/01/30	75.00
63547	UBS AG – CC Bank R Bull CBBC Jul 2013 C	100,000,000	2012/10/17	2013/07/15	25.00
63548	UBS AG – CC Bank R Bear CBBC May 2013 B	100,000,000	2012/10/17	2013/05/27	25.00
63542	UBS AG – HSI R Bull CBBC May 2013 S	300,000,000	2012/10/17	2013/05/30	75.00
63552	UBS AG – HSI R Bear CBBC Mar 2013 Y	300,000,000	2012/10/17	2013/03/27	75.00
63551	UBS AG – HSI R Bear CBBC Apr 2013 V	300,000,000	2012/10/17	2013/04/29	78.00
63549	UBS AG – HSI R Bear CBBC Jun 2013 G	300,000,000	2012/10/17	2013/06/27	75.00
63550	UBS AG – HSI R Bear CBBC Aug 2013 B	300,000,000	2012/10/17	2013/08/29	75.00
63827 #	HK Bank – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/10/17	2013/04/29	18.20
63563	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 D	200,000,000	2012/10/18	2013/09/27	50.00
63565	Credit Suisse AG – A50 China R Bull CBBC Mar 2013 A	50,000,000	2012/10/18	2013/03/25	12.50
63567	Credit Suisse AG – A50 China R Bull CBBC Mar 2013 B	50,000,000	2012/10/18	2013/03/25	12.50
63568	Credit Suisse AG – HSI R Bull CBBC May 2013 A	350,000,000	2012/10/18	2013/05/30	87.50
63574	Credit Suisse AG – HSI R Bull CBBC May 2013 B	350,000,000	2012/10/18	2013/05/30	87.50
63569	Credit Suisse AG – HSI R Bear CBBC Feb 2013 T	350,000,000	2012/10/18	2013/02/27	87.50
63556	HK Bank – AIA R Bull CBBC Oct 2013 A	50,000,000	2012/10/18	2013/10/28	16.00
63555	HK Bank – AIA R Bear CBBC Oct 2013 A	50,000,000	2012/10/18	2013/10/28	12.50
63560	HK Bank – HSCEI R Bull CBBC Jul 2013 A	68,000,000	2012/10/18	2013/07/30	17.00
63559	HK Bank – HSCEI R Bull CBBC Sep 2013 A	68,000,000	2012/10/18	2013/09/27	17.00
63561	HK Bank – HSCEI R Bear CBBC Jun 2013 A	68,000,000	2012/10/18	2013/06/27	17.00
63562	HK Bank – HSCEI R Bear CBBC Aug 2013 A	68,000,000	2012/10/18	2013/08/29	17.00
63557	HK Bank – HSI R Bear CBBC Aug 2013 B	100,000,000	2012/10/18	2013/08/29	25.00
63575	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 E	300,000,000	2012/10/18	2013/06/27	75.00
63577	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 F	300,000,000	2012/10/18	2013/06/27	75.00
63572	UBS AG – HSI R Bull CBBC Jan 2013 Y	300,000,000	2012/10/18	2013/01/30	75.00
63564	UBS AG – HSI R Bear CBBC Apr 2013 W	300,000,000	2012/10/18	2013/04/29	75.00
63571	UBS AG – ICBC R Bull CBBC Jul 2013 A	100,000,000	2012/10/18	2013/07/15	25.00
63616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 E	200,000,000	2012/10/19	2013/09/27	50.00
63617	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 H	200,000,000	2012/10/19	2013/04/29	50.00
63594	Credit Suisse AG – HSI R Bull CBBC Jun 2013 A	350,000,000	2012/10/19	2013/06/27	87.50
63619	Credit Suisse AG – HSI R Bull CBBC Jun 2013 B	350,000,000	2012/10/19	2013/06/27	87.50
63620	Credit Suisse AG – HSI R Bull CBBC Jun 2013 C	350,000,000	2012/10/19	2013/06/27	87.50
63592	Credit Suisse AG – HSI R Bear CBBC Mar 2013 T	350,000,000	2012/10/19	2013/03/27	87.50
63593	Credit Suisse AG – HSI R Bear CBBC Mar 2013 U	350,000,000	2012/10/19	2013/03/27	87.50
63598	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 L	60,000,000	2012/10/19	2013/01/30	15.00
63602	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 M	60,000,000	2012/10/19	2013/01/30	15.00
63637	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 F	100,000,000	2012/10/19	2013/01/30	25.30
63638	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 G	100,000,000	2012/10/19	2013/01/30	25.10
63639	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 H	100,000,000	2012/10/19	2013/01/30	25.30
63643	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 E	100,000,000	2012/10/19	2013/01/30	25.20
63582	HK Bank – BOCL R Bull CBBC Aug 2013 A	60,000,000	2012/10/19	2013/08/26	15.00
63585	HK Bank – BOCL R Bear CBBC Aug 2013 A	50,000,000	2012/10/19	2013/08/26	12.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63580	HK Bank – CC Bank R Bull CBBC Jul 2013 B	60,000,000	2012/10/19	2013/07/08	15.00
63579	HK Bank – CC Bank R Bull CBBC Aug 2013 B	60,000,000	2012/10/19	2013/08/30	15.00
63587	HK Bank – CC Bank R Bear CBBC Jul 2013 A	50,000,000	2012/10/19	2013/07/08	12.50
63581	HK Bank – ICBC R Bull CBBC Aug 2013 A	60,000,000	2012/10/19	2013/08/05	15.00
63586	HK Bank – ICBC R Bear CBBC Jul 2013 A	50,000,000	2012/10/19	2013/07/29	12.50
63595	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13Y	300,000,000	2012/10/19	2013/02/27	75.00
63596	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb 13Z	300,000,000	2012/10/19	2013/02/27	75.00
63597	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 13F	300,000,000	2012/10/19	2013/01/30	75.00
63605	UBS AG – China Mobile R Bull CBBC Jul 2013 A	100,000,000	2012/10/19	2013/07/15	25.00
63604	UBS AG – CC Bank R Bear CBBC Jul 2013 A	100,000,000	2012/10/19	2013/07/22	25.00
63611	UBS AG – A50 China R Bull CBBC Apr 2013 C	100,000,000	2012/10/19	2013/04/29	25.00
63612	UBS AG – A50 China R Bear CBBC Apr 2013 A	100,000,000	2012/10/19	2013/04/22	25.00
63613	UBS AG – A50 China R Bear CBBC May 2013 A	100,000,000	2012/10/19	2013/05/13	25.00
63615	UBS AG – HSI R Bull CBBC Jan 2013 A	300,000,000	2012/10/19	2013/01/30	75.00
63614	UBS AG – HSI R Bull CBBC Feb 2013 W	300,000,000	2012/10/19	2013/02/27	75.00
63589	UBS AG – HSI R Bear CBBC Jan 2013 U	300,000,000	2012/10/19	2013/01/30	75.00
63590	UBS AG – HSI R Bear CBBC Apr 2013 X	300,000,000	2012/10/19	2013/04/29	75.00
63626	UBS AG – HSI R Bear CBBC Sep 2013 A	300,000,000	2012/10/19	2013/09/27	75.00
63631	UBS AG – HSI R Bear CBBC Oct 2013 A	300,000,000	2012/10/19	2013/10/30	75.00
63606	UBS AG – ICBC R Bull CBBC Jun 2013 B	100,000,000	2012/10/19	2013/06/24	25.00
63609	UBS AG – ICBC R Bear CBBC May 2013 A	100,000,000	2012/10/19	2013/05/06	25.00
63603	UBS AG – Petrochina R Bear CBBC Apr 2013 A	100,000,000	2012/10/19	2013/04/29	25.00
63848 #	HK Bank – HSI R Bear CBBC May 2013 E	200,000,000	2012/10/19	2013/05/30	27.80
63665	Credit Suisse AG – HSI R Bull CBBC May 2013 C	350,000,000	2012/10/22	2013/05/30	87.50
63666	Credit Suisse AG – HSI R Bear CBBC Feb 2013 U	350,000,000	2012/10/22	2013/02/27	87.50
63655	HK Bank – ABC R Bull CBBC Sep 2013 A	60,000,000	2012/10/22	2013/09/02	15.00
63650	HK Bank – CC Bank R Bull CBBC Sep 2013 A	60,000,000	2012/10/22	2013/09/16	15.00
63651	HK Bank – CC Bank R Bear CBBC Aug 2013 A	50,000,000	2012/10/22	2013/08/30	12.50
63652	HK Bank – CC Bank R Bear CBBC Sep 2013 A	50,000,000	2012/10/22	2013/09/23	12.50
63661	HK Bank – HSI R Bull CBBC Aug 2013 A	200,000,000	2012/10/22	2013/08/29	50.00
63653	HK Bank – ICBC R Bull CBBC Jul 2013 B	60,000,000	2012/10/22	2013/07/29	15.00
63658	HK Bank – ICBC R Bear CBBC Sep 2013 A	50,000,000	2012/10/22	2013/09/16	12.50
63644	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 A	300,000,000	2012/10/22	2013/02/27	75.00
63646	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 J	300,000,000	2012/10/22	2013/02/27	75.00
63649	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 K	300,000,000	2012/10/22	2013/02/27	75.00
63675	UBS AG – HSI R Bear CBBC Jan 2013 V	300,000,000	2012/10/22	2013/01/30	75.00
63669	UBS AG – HSI R Bear CBBC Apr 2013 Y	300,000,000	2012/10/22	2013/04/29	75.00
63667	UBS AG – HSI R Bear CBBC Jul 2013 D	300,000,000	2012/10/22	2013/07/30	75.00
63688	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 I	200,000,000	2012/10/24	2013/04/29	60.00
63689	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 J	200,000,000	2012/10/24	2013/04/29	50.00
63690	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 K	200,000,000	2012/10/24	2013/04/29	50.00
63691	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 L	200,000,000	2012/10/24	2013/04/29	50.00
63692	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 M	200,000,000	2012/10/24	2013/04/29	50.00
63680	Credit Suisse AG – HSI R Bull CBBC May 2013 D	350,000,000	2012/10/24	2013/05/30	87.50
63677	Credit Suisse AG – HSI R Bear CBBC Feb 2013 M	350,000,000	2012/10/24	2013/02/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63678	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 B	60,000,000	2012/10/24	2013/09/27	15.00
63699	UBS AG – HSCEI R Bull CBBC Feb 2013 B	100,000,000	2012/10/24	2013/02/27	25.00
63700	UBS AG – HSCEI R Bull CBBC Mar 2013 B	100,000,000	2012/10/24	2013/03/27	25.00
63698	UBS AG – HSCEI R Bear CBBC Feb 2013 B	100,000,000	2012/10/24	2013/02/27	25.00
63695	UBS AG – HSCEI R Bear CBBC Mar 2013 B	100,000,000	2012/10/24	2013/03/27	25.00
63694	UBS AG – HSI R Bull CBBC Feb 2013 X	300,000,000	2012/10/24	2013/02/27	75.00
63676	UBS AG – HSI R Bear CBBC Apr 2013 Z	300,000,000	2012/10/24	2013/04/29	75.00
63755	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 F	200,000,000	2012/10/25	2013/09/27	50.00
63756	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 G	200,000,000	2012/10/25	2013/09/27	50.00
63752	Credit Suisse AG – HSI R Bull CBBC Jul 2013 A	350,000,000	2012/10/25	2013/07/30	87.50
63753	Credit Suisse AG – HSI R Bull CBBC Jul 2013 B	350,000,000	2012/10/25	2013/07/30	87.50
63754	Credit Suisse AG – HSI R Bull CBBC Jul 2013 C	350,000,000	2012/10/25	2013/07/30	87.50
63737	Credit Suisse AG – HSI R Bear CBBC Mar 2013 V	350,000,000	2012/10/25	2013/03/27	87.50
63738	Credit Suisse AG – HSI R Bear CBBC Mar 2013 W	350,000,000	2012/10/25	2013/03/27	87.50
63730	Credit Suisse AG – HSI R Bear CBBC Jun 2013 F	350,000,000	2012/10/25	2013/06/27	113.75
63731	Credit Suisse AG – HSI R Bear CBBC Jun 2013 G	350,000,000	2012/10/25	2013/06/27	124.25
63733	Credit Suisse AG – HSI R Bear CBBC Jul 2013 E	350,000,000	2012/10/25	2013/07/30	119.00
63734	Credit Suisse AG – HSI R Bear CBBC Jul 2013 F	350,000,000	2012/10/25	2013/07/30	129.50
63735	Credit Suisse AG – HSI R Bear CBBC Aug 2013 A	350,000,000	2012/10/25	2013/08/29	122.50
63718	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 I	150,000,000	2012/10/25	2013/01/30	40.65
63759	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 J	150,000,000	2012/10/25	2013/01/30	37.65
63760	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 K	150,000,000	2012/10/25	2013/01/30	38.40
63719	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 F	150,000,000	2012/10/25	2013/01/30	39.75
63762	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 G	150,000,000	2012/10/25	2013/01/30	38.10
63763	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 H	150,000,000	2012/10/25	2013/01/30	37.65
63766	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 I	150,000,000	2012/10/25	2013/01/30	38.55
63705	HK Bank – A50 R Bear CBBC Aug 2013 A	50,000,000	2012/10/25	2013/08/26	12.50
63707	HK Bank – HSI R Bear CBBC Dec 2013 A	400,000,000	2012/10/25	2013/12/30	100.00
63706	HK Bank – TCH R Bear CBBC Apr 2013 B	50,000,000	2012/10/25	2013/04/18	12.50
63774	J P Morgan SP BV – HSI R Bull CBBC May 2013 J	300,000,000	2012/10/25	2013/05/30	75.00
63776	J P Morgan SP BV – HSI R Bull CBBC May 2013 K	300,000,000	2012/10/25	2013/05/30	75.00
63775	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 G	300,000,000	2012/10/25	2013/06/27	75.00
63777	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 H	300,000,000	2012/10/25	2013/06/27	75.00
63767	J P Morgan SP BV – HSI R Bear CBBC May 2013 Q	300,000,000	2012/10/25	2013/05/30	75.00
63772	J P Morgan SP BV – HSI R Bear CBBC May 2013 R	300,000,000	2012/10/25	2013/05/30	75.00
63771	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 B	300,000,000	2012/10/25	2013/06/27	75.00
63773	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 C	300,000,000	2012/10/25	2013/06/27	75.00
63703	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 D	60,000,000	2012/10/25	2013/02/27	15.00
63704	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 E	60,000,000	2012/10/25	2013/02/27	15.00
63708	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 I	60,000,000	2012/10/25	2013/02/27	15.00
63709	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 J	60,000,000	2012/10/25	2013/02/27	15.00
63710	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 K	60,000,000	2012/10/25	2013/02/27	15.00
63711	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 L	60,000,000	2012/10/25	2013/02/27	15.00
63712	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 M	60,000,000	2012/10/25	2013/02/27	15.00
63722	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 B	300,000,000	2012/10/25	2013/02/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63739	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 L	300,000,000	2012/10/25	2013/02/27	75.00
63740	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 M	300,000,000	2012/10/25	2013/02/27	75.00
63724	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 L	300,000,000	2012/10/25	2013/02/27	75.00
63725	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 M	300,000,000	2012/10/25	2013/02/27	75.00
63726	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 N	300,000,000	2012/10/25	2013/02/27	75.00
63729	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 O	300,000,000	2012/10/25	2013/02/27	75.00
63748	UBS AG – Sinopec Corp R Bear CBBC Apr 2013 B	100,000,000	2012/10/25	2013/04/29	25.00
63747	UBS AG – HSCEI R Bear CBBC Apr 2013 A	100,000,000	2012/10/25	2013/04/29	25.00
63751	UBS AG – HSI R Bull CBBC Feb 2013 Y	300,000,000	2012/10/25	2013/02/27	75.00
63749	UBS AG – HSI R Bull CBBC Apr 2013 N	300,000,000	2012/10/25	2013/04/29	75.00
63720	UBS AG – HSI R Bear CBBC Jan 2013 W	300,000,000	2012/10/25	2013/01/30	75.00
63743	UBS AG – HSI R Bear CBBC Jan 2013 X	300,000,000	2012/10/25	2013/01/30	75.00
63745	UBS AG – HSI R Bear CBBC Mar 2013 A	300,000,000	2012/10/25	2013/03/27	75.00
63746	UBS AG – HSI R Bear CBBC Mar 2013 B	300,000,000	2012/10/25	2013/03/27	75.00
63742	UBS AG – HSI R Bear CBBC Mar 2013 Z	300,000,000	2012/10/25	2013/03/27	75.00
63741	UBS AG – HSI R Bear CBBC Apr 2013 A	300,000,000	2012/10/25	2013/04/29	81.00
63744	UBS AG – HSI R Bear CBBC Apr 2013 B	300,000,000	2012/10/25	2013/04/29	75.00
63791	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2013 E	200,000,000	2012/10/26	2013/03/27	50.00
63792	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC May 2013 B	200,000,000	2012/10/26	2013/05/30	50.00
63796	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 H	200,000,000	2012/10/26	2013/09/27	50.00
63793	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 N	200,000,000	2012/10/26	2013/04/29	60.00
63794	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 O	200,000,000	2012/10/26	2013/04/29	50.00
63795	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 P	200,000,000	2012/10/26	2013/04/29	50.00
63846	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Q	200,000,000	2012/10/26	2013/04/29	50.00
63787	Credit Suisse AG – HSI R Bull CBBC Apr 2013 F	350,000,000	2012/10/26	2013/04/29	87.50
63788	Credit Suisse AG – HSI R Bull CBBC Apr 2013 G	350,000,000	2012/10/26	2013/04/29	87.50
63789	Credit Suisse AG – HSI R Bull CBBC Apr 2013 H	350,000,000	2012/10/26	2013/04/29	87.50
63790	Credit Suisse AG – HSI R Bull CBBC May 2013 E	350,000,000	2012/10/26	2013/05/30	87.50
63786	Credit Suisse AG – HSI R Bear CBBC Jan 2013 J	350,000,000	2012/10/26	2013/01/30	87.50
63784	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Z	350,000,000	2012/10/26	2013/01/30	87.50
63838	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 C	100,000,000	2012/10/26	2013/09/27	25.00
63845	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 D	100,000,000	2012/10/26	2013/09/27	25.00
63837	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jan 2013 N	100,000,000	2012/10/26	2013/01/30	25.00
63860	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2013 A	80,000,000	2012/10/26	2013/05/30	20.00
63863	Daiwa Capital Mkt – HSCEI R Bear CBBC Mar 2013 A	80,000,000	2012/10/26	2013/03/27	20.00
63865	Daiwa Capital Mkt – HSCEI R Bear CBBC Apr 2013 A	80,000,000	2012/10/26	2013/04/29	20.00
63869	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2013 I	158,000,000	2012/10/26	2013/03/27	39.50
63870	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 D	158,000,000	2012/10/26	2013/05/30	39.50
63871	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 E	158,000,000	2012/10/26	2013/05/30	39.50
63872	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2013 E	158,000,000	2012/10/26	2013/03/27	39.50
63873	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2013 B	158,000,000	2012/10/26	2013/04/29	39.50
63877	Daiwa Capital Mkt – HSI R Bear CBBC May 2013 D	158,000,000	2012/10/26	2013/05/30	39.50
63878	Daiwa Capital Mkt – HSI R Bear CBBC Jun 2013 C	158,000,000	2012/10/26	2013/06/27	42.66
63847	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2013 L	150,000,000	2012/10/26	2013/01/30	37.80
63853	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 J	150,000,000	2012/10/26	2013/01/30	39.15

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63854	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 K	150,000,000	2012/10/26	2013/01/30	38.10
63857	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2013 L	150,000,000	2012/10/26	2013/01/30	37.95
63778	HK Bank – A50 R Bear CBBC Sep 2013 A	50,000,000	2012/10/26	2013/09/23	12.50
63780	HK Bank – HSI R Bull CBBC May 2013 F	100,000,000	2012/10/26	2013/05/30	25.00
63781	HK Bank – HSI R Bull CBBC Aug 2013 B	400,000,000	2012/10/26	2013/08/29	100.00
63830	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 F	60,000,000	2012/10/26	2013/02/27	15.00
63831	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 G	60,000,000	2012/10/26	2013/02/27	15.00
63835	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2013 H	60,000,000	2012/10/26	2013/02/27	15.00
63826	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 N	60,000,000	2012/10/26	2013/02/27	15.00
63828	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 O	60,000,000	2012/10/26	2013/02/27	15.00
63829	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Feb 2013 P	60,000,000	2012/10/26	2013/02/27	15.00
63798	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 P	300,000,000	2012/10/26	2013/02/27	75.00
63799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 T	300,000,000	2012/10/26	2013/02/27	75.00
63797	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 C	300,000,000	2012/10/26	2013/03/27	75.00
63800	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 P	300,000,000	2012/10/26	2013/02/27	75.00
63801	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 Q	300,000,000	2012/10/26	2013/02/27	75.00
63802	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 A	300,000,000	2012/10/26	2013/03/27	90.00
63807	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 B	300,000,000	2012/10/26	2013/03/27	99.00
63819	UBS AG – AIA R Bull CBBC May 2013 B	100,000,000	2012/10/26	2013/05/27	30.00
63820	UBS AG – CC Bank R Bull CBBC Aug 2013 A	100,000,000	2012/10/26	2013/08/12	25.00
63808	UBS AG – CNBM R Bull CBBC Jul 2013 A	50,000,000	2012/10/26	2013/07/29	12.50
63822	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 B	100,000,000	2012/10/26	2013/06/03	25.00
63818	UBS AG – A50 China R Bull CBBC Mar 2013 C	100,000,000	2012/10/26	2013/03/18	25.00
63817	UBS AG – A50 China R Bear CBBC Apr 2013 B	100,000,000	2012/10/26	2013/04/08	25.00
63825	UBS AG – HSI R Bull CBBC Jan 2013 F	300,000,000	2012/10/26	2013/01/30	75.00
63823	UBS AG – HSI R Bull CBBC Apr 2013 O	300,000,000	2012/10/26	2013/04/29	75.00
63824	UBS AG – HSI R Bull CBBC May 2013 T	300,000,000	2012/10/26	2013/05/30	75.00
63811	UBS AG – HSI R Bear CBBC Feb 2013 H	300,000,000	2012/10/26	2013/02/27	75.00
63812	UBS AG – HSI R Bear CBBC Feb 2013 I	300,000,000	2012/10/26	2013/02/27	75.00
63815	UBS AG – HSI R Bear CBBC Feb 2013 J	300,000,000	2012/10/26	2013/02/27	75.00
63816	UBS AG – HSI R Bear CBBC Feb 2013 K	300,000,000	2012/10/26	2013/02/27	75.00
63813	UBS AG – HSI R Bear CBBC Mar 2013 D	300,000,000	2012/10/26	2013/03/27	75.00
63782	UBS AG – HSI R Bear CBBC Mar 2013 E	300,000,000	2012/10/26	2013/03/27	75.00
63810	UBS AG – HSI R Bear CBBC Mar 2013 F	300,000,000	2012/10/26	2013/03/27	81.00
63814	UBS AG – HSI R Bear CBBC Apr 2013 C	300,000,000	2012/10/26	2013/04/29	75.00
63905	Credit Suisse AG – HSI R Bull CBBC Jun 2013 E	350,000,000	2012/10/29	2013/06/27	87.50
63917	Credit Suisse AG – HSI R Bull CBBC Jun 2013 F	350,000,000	2012/10/29	2013/06/27	87.50
63906	Credit Suisse AG – HSI R Bear CBBC Jan 2013 P	350,000,000	2012/10/29	2013/01/30	87.50
63901	HK Bank – A50 R Bull CBBC Jun 2013 C	100,000,000	2012/10/29	2013/06/24	25.00
63900	HK Bank – HSI R Bull CBBC Jun 2013 F	100,000,000	2012/10/29	2013/06/27	25.00
63899	UBS AG – HSCEI R Bull CBBC Jun 2013 C	100,000,000	2012/10/29	2013/06/27	25.00
63898	UBS AG – HSCEI R Bear CBBC Mar 2013 C	100,000,000	2012/10/29	2013/03/27	26.00
63887	UBS AG – HSI R Bull CBBC Mar 2013 Q	300,000,000	2012/10/29	2013/03/27	75.00
63886	UBS AG – HSI R Bull CBBC Apr 2013 P	300,000,000	2012/10/29	2013/04/29	75.00
63918	UBS AG – HSI R Bull CBBC May 2013 U	300,000,000	2012/10/29	2013/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63888	UBS AG – HSI R Bull CBBC Jul 2013 F	300,000,000	2012/10/29	2013/07/30	75.00
63896	UBS AG – HSI R Bull CBBC Aug 2013 E	300,000,000	2012/10/29	2013/08/29	75.00
63895	UBS AG – HSI R Bull CBBC Sep 2013 D	300,000,000	2012/10/29	2013/09/27	75.00
63915	UBS AG – HSI R Bear CBBC May 2013 M	300,000,000	2012/10/29	2013/05/30	75.00
63884	UBS AG – HSI R Bear CBBC Sep 2013 B	300,000,000	2012/10/29	2013/09/27	75.00
63880	UBS AG – HSI R Bear CBBC Oct 2013 B	300,000,000	2012/10/29	2013/10/30	75.00
63879	UBS AG – HSI R Bear CBBC Nov 2013 A	300,000,000	2012/10/29	2013/11/28	75.00
63981	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 I	200,000,000	2012/10/30	2013/09/27	50.00
63983	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 J	200,000,000	2012/10/30	2013/09/27	50.00
63964	Credit Suisse AG – HSI R Bull CBBC Jan 2013 W	350,000,000	2012/10/30	2013/01/30	87.50
63979	Credit Suisse AG – HSI R Bull CBBC May 2013 F	350,000,000	2012/10/30	2013/05/30	87.50
63980	Credit Suisse AG – HSI R Bull CBBC May 2013 G	350,000,000	2012/10/30	2013/05/30	87.50
63971	Credit Suisse AG – HSI R Bear CBBC Jan 2013 D	350,000,000	2012/10/30	2013/01/30	87.50
63969	Credit Suisse AG – HSI R Bear CBBC Jan 2013 Q	350,000,000	2012/10/30	2013/01/30	87.50
63950	HK Bank – China Mobile R Bear CBBC Jun 2013 A	50,000,000	2012/10/30	2013/06/24	12.50
63939	HK Bank – China Life R Bear CBBC Jul 2013 A	50,000,000	2012/10/30	2013/07/29	17.50
63937	HK Bank – CNBM R Bear CBBC Sep 2013 A	50,000,000	2012/10/30	2013/09/09	14.00
63963	HK Bank – China Shenhua R Bull CBBC Aug 2013 A	40,000,000	2012/10/30	2013/08/29	10.00
63952	HK Bank – China Shenhua R Bear CBBC Aug 2013 A	40,000,000	2012/10/30	2013/08/29	10.00
63951	HK Bank – Evergrande R Bull CBBC Sep 2013 A	50,000,000	2012/10/30	2013/09/16	12.50
63940	HK Bank – A50 R Bear CBBC Jul 2013 A	50,000,000	2012/10/30	2013/07/29	12.50
63936	HK Bank – HSI R Bear CBBC Sep 2013 B	100,000,000	2012/10/30	2013/09/27	25.00
63919	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 D	300,000,000	2012/10/30	2013/03/27	75.00
63920	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 E	300,000,000	2012/10/30	2013/03/27	75.00
63924	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan13 G	300,000,000	2012/10/30	2013/01/30	75.00
63930	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 R	300,000,000	2012/10/30	2013/02/27	75.00
63931	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 S	300,000,000	2012/10/30	2013/02/27	75.00
63934	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 C	300,000,000	2012/10/30	2013/03/27	105.00
63935	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 D	300,000,000	2012/10/30	2013/03/27	112.50
63984	UBS AG – HKEx R Bull CBBC May 2013 C	100,000,000	2012/10/30	2013/05/13	25.00
63985	UBS AG – HKEx R Bear CBBC May 2013 A	100,000,000	2012/10/30	2013/05/20	35.50
63986	UBS AG – HSI R Bull CBBC Jun 2013 U	300,000,000	2012/10/30	2013/06/27	75.00
63972	UBS AG – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/10/30	2013/04/29	75.00
63977	UBS AG – HSI R Bear CBBC May 2013 N	300,000,000	2012/10/30	2013/05/30	75.00
64053	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 K	200,000,000	2012/10/31	2013/09/27	50.00
64051	Credit Suisse AG – HSI R Bull CBBC Jun 2013 G	350,000,000	2012/10/31	2013/06/27	87.50
64052	Credit Suisse AG – HSI R Bull CBBC Jun 2013 H	350,000,000	2012/10/31	2013/06/27	87.50
63997	Credit Suisse AG – HSI R Bull CBBC Aug 2013 A	350,000,000	2012/10/31	2013/08/29	87.50
64044	Credit Suisse AG – HSI R Bear CBBC Feb 2013 S	350,000,000	2012/10/31	2013/02/27	87.50
63987	Credit Suisse AG – HSI R Bear CBBC Aug 2013 B	350,000,000	2012/10/31	2013/08/29	94.50
63988	Credit Suisse AG – HSI R Bear CBBC Aug 2013 C	350,000,000	2012/10/31	2013/08/29	115.50
63995	Credit Suisse AG – HSI R Bear CBBC Aug 2013 D	350,000,000	2012/10/31	2013/08/29	122.50
64034	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 E	100,000,000	2012/10/31	2013/09/27	25.00
64031	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 C	158,000,000	2012/10/31	2013/04/29	39.50
64032	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 F	158,000,000	2012/10/31	2013/05/30	39.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63998	HK Bank – China Life R Bull CBBC Jul 2013 A	50,000,000	2012/10/31	2013/07/08	20.00
64008	HK Bank – CNBM R Bull CBBC Jun 2013 A	50,000,000	2012/10/31	2013/06/24	12.50
63999	HK Bank – CNOOC R Bear CBBC Jul 2013 A	50,000,000	2012/10/31	2013/07/15	15.00
64000	HK Bank – Sinopec Corp R Bull CBBC Jul 2013 A	50,000,000	2012/10/31	2013/07/29	12.50
64010	HK Bank – HSCEI R Bull CBBC May 2013 A	68,000,000	2012/10/31	2013/05/30	17.00
64001	HK Bank – PetCh R Bull CBBC Jul 2013 A	50,000,000	2012/10/31	2013/07/22	12.50
64002	HK Bank – PetCh R Bear CBBC Jul 2013 A	50,000,000	2012/10/31	2013/07/22	12.50
64038	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 A	60,000,000	2012/10/31	2013/03/27	15.00
64041	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 B	60,000,000	2012/10/31	2013/03/27	15.00
64043	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 C	60,000,000	2012/10/31	2013/03/27	15.00
64017	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 F	300,000,000	2012/10/31	2013/03/27	75.00
64019	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 G	300,000,000	2012/10/31	2013/03/27	75.00
64014	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 T	300,000,000	2012/10/31	2013/02/27	75.00
64016	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 U	300,000,000	2012/10/31	2013/02/27	75.00
64013	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 E	300,000,000	2012/10/31	2013/03/27	111.00
64028	UBS AG – HSI R Bull CBBC Feb 2013 Z	300,000,000	2012/10/31	2013/02/27	75.00
64023	UBS AG – HSI R Bull CBBC Jul 2013 G	300,000,000	2012/10/31	2013/07/30	75.00
64022	UBS AG – HSI R Bear CBBC Mar 2013 H	300,000,000	2012/10/31	2013/03/27	75.00
64100	Credit Suisse AG – HSI R Bull CBBC May 2013 H	350,000,000	2012/11/01	2013/05/30	87.50
64101	Credit Suisse AG – HSI R Bull CBBC May 2013 I	350,000,000	2012/11/01	2013/05/30	87.50
64077	Credit Suisse AG – HSI R Bull CBBC Jul 2013 D	350,000,000	2012/11/01	2013/07/30	87.50
64078	Credit Suisse AG – HSI R Bull CBBC Jul 2013 E	350,000,000	2012/11/01	2013/07/30	87.50
64079	Credit Suisse AG – HSI R Bear CBBC Feb 2013 V	350,000,000	2012/11/01	2013/02/27	87.50
64067	HK Bank – Anhui Conch R Bull CBBC Dec 2013 B	50,000,000	2012/11/01	2013/12/20	12.50
64074	HK Bank – AIA R Bull CBBC Sep 2013 A	50,000,000	2012/11/01	2013/09/30	12.50
64066	HK Bank – AIA R Bear CBBC Jun 2013 A	50,000,000	2012/11/01	2013/06/24	12.50
64071	HK Bank – Minsheng Bank R Bull CBBC Oct 2013 A	40,000,000	2012/11/01	2013/10/21	10.00
64054	HK Bank – HKEx R Bull CBBC Sep 2013 A	60,000,000	2012/11/01	2013/09/23	15.00
64056	HK Bank – HKEx R Bear CBBC Jul 2013 A	50,000,000	2012/11/01	2013/07/08	14.00
64075	HK Bank – HSI R Bull CBBC Aug 2013 C	100,000,000	2012/11/01	2013/08/29	25.00
64072	HK Bank – TCH R Bear CBBC Apr 2013 C	50,000,000	2012/11/01	2013/04/22	12.50
64091	UBS AG – ABC R Bull CBBC Aug 2013 A	100,000,000	2012/11/01	2013/08/26	25.00
64080	UBS AG – AIA R Bear CBBC Apr 2013 A	100,000,000	2012/11/01	2013/04/22	36.00
64097	UBS AG – Cheung Kong R Bull CBBC Jun 2013 B	100,000,000	2012/11/01	2013/06/10	25.00
64076	UBS AG – HSI R Bull CBBC May 2013 V	300,000,000	2012/11/01	2013/05/30	75.00
64112	UBS AG – HSI R Bull CBBC Jun 2013 V	300,000,000	2012/11/01	2013/06/27	75.00
64102	UBS AG – HSI R Bear CBBC Feb 2013 L	300,000,000	2012/11/01	2013/02/27	75.00
64096	UBS AG – TCH R Bull CBBC Jun 2013 B	100,000,000	2012/11/01	2013/06/17	25.00
64092	UBS AG – TCH R Bear CBBC Jun 2013 A	100,000,000	2012/11/01	2013/06/17	57.00
64151	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 R	200,000,000	2012/11/02	2013/04/29	50.00
64152	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 S	200,000,000	2012/11/02	2013/04/29	50.00
64154	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 T	200,000,000	2012/11/02	2013/04/29	50.00
64130	Credit Suisse AG – HSI R Bull CBBC Aug 2013 B	350,000,000	2012/11/02	2013/08/29	87.50
64147	Credit Suisse AG – HSI R Bear CBBC Feb 2013 W	350,000,000	2012/11/02	2013/02/27	87.50
64148	Credit Suisse AG – HSI R Bear CBBC Feb 2013 X	350,000,000	2012/11/02	2013/02/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64144	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 A	100,000,000	2012/11/02	2013/02/27	25.00
64113	HK Bank – Cheung Kong R Bull CBBC Aug 2013 A	60,000,000	2012/11/02	2013/08/23	15.00
64114	HK Bank – Cheung Kong R Bear CBBC Jul 2013 A	50,000,000	2012/11/02	2013/07/12	13.50
64123	HK Bank – China Life R Bear CBBC Aug 2013 A	50,000,000	2012/11/02	2013/08/26	12.50
64117	HK Bank – CNOOC R Bear CBBC Jun 2013 A	50,000,000	2012/11/02	2013/06/17	12.50
64118	HK Bank – Galaxy Ent R Bull CBBC Jun 2013 B	40,000,000	2012/11/02	2013/06/24	12.00
64124	HK Bank – HSCEI R Bear CBBC Aug 2013 B	68,000,000	2012/11/02	2013/08/29	17.00
64127	HK Bank – HSI R Bear CBBC Nov 2013 A	100,000,000	2012/11/02	2013/11/28	25.00
64116	HK Bank – Hutchison R Bear CBBC Jun 2013 A	50,000,000	2012/11/02	2013/06/24	12.50
64132	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 H	300,000,000	2012/11/02	2013/03/27	75.00
64142	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 V	300,000,000	2012/11/02	2013/02/27	75.00
64143	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 W	300,000,000	2012/11/02	2013/02/27	75.00
64149	UBS AG – HSI R Bear CBBC Feb 2013 G	300,000,000	2012/11/02	2013/02/27	75.00
64150	UBS AG – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/11/02	2013/04/29	75.00
64195	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 L	200,000,000	2012/11/05	2013/09/27	50.00
64179	Credit Suisse AG – HSI R Bull CBBC May 2013 J	350,000,000	2012/11/05	2013/05/30	87.50
64180	Credit Suisse AG – HSI R Bear CBBC Mar 2013 X	350,000,000	2012/11/05	2013/03/27	87.50
64181	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Y	350,000,000	2012/11/05	2013/03/27	87.50
64198	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 A	100,000,000	2012/11/05	2013/02/27	25.30
64201	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 B	100,000,000	2012/11/05	2013/02/27	25.20
64157	HK Bank – China Mobile R Bull CBBC Oct 2013 A	60,000,000	2012/11/05	2013/10/21	15.00
64162	HK Bank – China Mobile R Bull CBBC Oct 2013 B	60,000,000	2012/11/05	2013/10/07	15.00
64156	HK Bank – A50 R Bear CBBC Jun 2013 A	50,000,000	2012/11/05	2013/06/17	12.50
64164	HK Bank – HKEx R Bear CBBC Jun 2013 A	50,000,000	2012/11/05	2013/06/03	12.50
64186	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 L	300,000,000	2012/11/05	2013/02/27	75.00
64187	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 X	300,000,000	2012/11/05	2013/02/27	75.00
64188	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 Y	300,000,000	2012/11/05	2013/02/27	75.00
64190	UBS AG – China Mobile R Bull CBBC Aug 2013 A	100,000,000	2012/11/05	2013/08/12	25.00
64194	UBS AG – Cheung Kong R Bull CBBC Jul 2013 A	100,000,000	2012/11/05	2013/07/08	25.00
64193	UBS AG – Cheung Kong R Bear CBBC Apr 2013 B	100,000,000	2012/11/05	2013/04/15	25.00
64189	UBS AG – Evergrande R Bull CBBC Jul 2013 B	50,000,000	2012/11/05	2013/07/29	12.50
64167	UBS AG – HSI R Bull CBBC Jun 2013 W	300,000,000	2012/11/05	2013/06/27	75.00
64197	UBS AG – HSI R Bear CBBC Mar 2013 C	300,000,000	2012/11/05	2013/03/27	75.00
64192	UBS AG – Hutchison R Bull CBBC Jul 2013 A	100,000,000	2012/11/05	2013/07/22	25.00
64191	UBS AG – SHK Ppt R Bear CBBC Apr 2013 B	100,000,000	2012/11/05	2013/04/08	25.00
64241	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 U	200,000,000	2012/11/06	2013/04/29	50.00
64218	Credit Suisse AG – HKEx R Bull CBBC Feb 2013 A	50,000,000	2012/11/06	2013/02/22	12.50
64219	Credit Suisse AG – HKEx R Bear CBBC Feb 2013 A	50,000,000	2012/11/06	2013/02/22	12.50
64220	Credit Suisse AG – HKEx R Bear CBBC Feb 2013 B	50,000,000	2012/11/06	2013/02/22	12.50
64228	Credit Suisse AG – HSI R Bull CBBC Jul 2013 F	350,000,000	2012/11/06	2013/07/30	87.50
64238	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Y	350,000,000	2012/11/06	2013/02/27	87.50
64216	Credit Suisse AG – TCH R Bull CBBC Feb 2013 C	50,000,000	2012/11/06	2013/02/22	12.50
64217	Credit Suisse AG – TCH R Bull CBBC Feb 2013 D	50,000,000	2012/11/06	2013/02/22	12.50
64204	HK Bank – China Tel R Bull CBBC Jul 2013 A	40,000,000	2012/11/06	2013/07/29	10.00
64205	HK Bank – China Tel R Bear CBBC Jul 2013 A	40,000,000	2012/11/06	2013/07/29	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64211	HK Bank – CPIC R Bull CBBC Jul 2013 A	35,000,000	2012/11/06	2013/07/15	11.20
64214	HK Bank – CPIC R Bear CBBC Sep 2013 A	50,000,000	2012/11/06	2013/09/23	12.50
64206	HK Bank – Lenovo R Bull CBBC Aug 2013 A	40,000,000	2012/11/06	2013/08/19	10.00
64207	HK Bank – Lenovo R Bear CBBC Jun 2013 A	40,000,000	2012/11/06	2013/06/10	10.00
64203	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 D	60,000,000	2012/11/06	2013/03/27	15.00
64202	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2013 A	60,000,000	2012/11/06	2013/03/27	15.00
64235	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb13 T	300,000,000	2012/11/06	2013/02/27	75.00
64236	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 Z	300,000,000	2012/11/06	2013/02/27	75.00
64240	UBS AG – HSCEI R Bear CBBC Feb 2013 C	100,000,000	2012/11/06	2013/02/27	25.00
64215	UBS AG – HSI R Bull CBBC Jul 2013 H	300,000,000	2012/11/06	2013/07/30	75.00
64239	UBS AG – HSI R Bear CBBC Feb 2013 N	300,000,000	2012/11/06	2013/02/27	75.00
63848 #	HK Bank – HSI R Bear CBBC May 2013 E	280,000,000	2012/11/06	2013/05/30	31.64
68501 #	HK Bank – ICBC R Bull CBBC Jul 2013 A	100,000,000	2012/11/06	2013/07/08	10.60
64268	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 M	200,000,000	2012/11/07	2013/09/27	50.00
64269	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 N	200,000,000	2012/11/07	2013/09/27	50.00
64249	Credit Suisse AG – HSI R Bull CBBC Aug 2013 C	350,000,000	2012/11/07	2013/08/29	87.50
64267	Credit Suisse AG – HSI R Bull CBBC Aug 2013 D	350,000,000	2012/11/07	2013/08/29	87.50
64247	Credit Suisse AG – HSI R Bear CBBC Feb 2013 N	350,000,000	2012/11/07	2013/02/27	87.50
64275	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 A	150,000,000	2012/11/07	2013/02/27	37.95
64276	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 B	150,000,000	2012/11/07	2013/02/27	38.10
64285	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 C	150,000,000	2012/11/07	2013/02/27	37.65
64270	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 C	150,000,000	2012/11/07	2013/02/27	39.00
64274	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 D	150,000,000	2012/11/07	2013/02/27	39.15
64242	HK Bank – ICBC R Bull CBBC Sep 2013 A	80,000,000	2012/11/07	2013/09/09	20.00
64288	J P Morgan SP BV – HSI R Bull CBBC May 2013 L	300,000,000	2012/11/07	2013/05/30	75.00
64287	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 I	300,000,000	2012/11/07	2013/06/27	75.00
64290	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 J	300,000,000	2012/11/07	2013/06/27	75.00
64266	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 I	300,000,000	2012/11/07	2013/03/27	75.00
64257	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 F	300,000,000	2012/11/07	2013/02/27	75.00
64301	UBS AG – China Tel R Bull CBBC Jun 2013 A	100,000,000	2012/11/07	2013/06/24	25.00
64300	UBS AG – China Tel R Bear CBBC May 2013 B	100,000,000	2012/11/07	2013/05/06	25.00
64294	UBS AG – CPIC R Bull CBBC Jun 2013 A	30,000,000	2012/11/07	2013/06/17	10.20
64293	UBS AG – CPIC R Bear CBBC Apr 2013 A	30,000,000	2012/11/07	2013/04/29	10.80
64292	UBS AG – HSI R Bull CBBC Jul 2013 I	300,000,000	2012/11/07	2013/07/30	75.00
64248	UBS AG – HSI R Bear CBBC Apr 2013 K	300,000,000	2012/11/07	2013/04/29	75.00
64297	UBS AG – Lenovo R Bull CBBC Aug 2013 A	50,000,000	2012/11/07	2013/08/19	12.50
64296	UBS AG – Lenovo R Bear CBBC Jun 2013 A	50,000,000	2012/11/07	2013/06/10	12.50
64303	UBS AG – TCH R Bear CBBC Apr 2013 D	100,000,000	2012/11/07	2013/04/29	75.00
64034 #	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 E	120,000,000	2012/11/07	2013/09/27	10.20
64340	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 O	200,000,000	2012/11/08	2013/09/27	50.00
64305	Credit Suisse AG – HSI R Bull CBBC Jun 2013 I	350,000,000	2012/11/08	2013/06/27	87.50
64306	Credit Suisse AG – HSI R Bull CBBC Jun 2013 J	350,000,000	2012/11/08	2013/06/27	87.50
64314	Credit Suisse AG – HSI R Bull CBBC Jun 2013 L	350,000,000	2012/11/08	2013/06/27	87.50
64313	Credit Suisse AG – HSI R Bull CBBC Jul 2013 G	350,000,000	2012/11/08	2013/07/30	87.50
64309	Credit Suisse AG – HSI R Bear CBBC Mar 2013 A	350,000,000	2012/11/08	2013/03/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64307	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Z	350,000,000	2012/11/08	2013/03/27	87.50
64352	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 F	100,000,000	2012/11/08	2013/09/27	25.00
64335	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2013 D	158,000,000	2012/11/08	2013/04/29	39.50
64339	Daiwa Capital Mkt – HSI R Bull CBBC May 2013 G	158,000,000	2012/11/08	2013/05/30	39.50
64341	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 D	150,000,000	2012/11/08	2013/02/27	38.70
64342	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 E	150,000,000	2012/11/08	2013/02/27	38.10
64343	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 E	150,000,000	2012/11/08	2013/02/27	39.00
64345	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 F	150,000,000	2012/11/08	2013/02/27	39.30
64348	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 G	150,000,000	2012/11/08	2013/02/27	40.35
64311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 J	300,000,000	2012/11/08	2013/03/27	75.00
64312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 K	300,000,000	2012/11/08	2013/03/27	75.00
64315	UBS AG – China Mobile R Bear CBBC Apr 2013 B	100,000,000	2012/11/08	2013/04/29	25.00
64329	UBS AG – A50 China R Bull CBBC Mar 2013 D	100,000,000	2012/11/08	2013/03/18	25.00
64318	UBS AG – HSI R Bull CBBC May 2013 W	300,000,000	2012/11/08	2013/05/30	75.00
64330	UBS AG – HSI R Bull CBBC Jun 2013 X	300,000,000	2012/11/08	2013/06/27	75.00
64331	UBS AG – HSI R Bull CBBC Jun 2013 Y	300,000,000	2012/11/08	2013/06/27	75.00
64319	UBS AG – HSI R Bear CBBC Feb 2013 Q	300,000,000	2012/11/08	2013/02/27	75.00
64320	UBS AG – HSI R Bear CBBC Feb 2013 R	300,000,000	2012/11/08	2013/02/27	75.00
64308	UBS AG – HSI R Bear CBBC Apr 2013 J	300,000,000	2012/11/08	2013/04/29	75.00
64324	UBS AG – HSI R Bear CBBC Apr 2013 L	300,000,000	2012/11/08	2013/04/29	75.00
64325	UBS AG – HSI R Bear CBBC May 2013 O	300,000,000	2012/11/08	2013/05/30	75.00
64326	UBS AG – HSI R Bear CBBC May 2013 P	300,000,000	2012/11/08	2013/05/30	75.00
64317	UBS AG – Ping An R Bear CBBC May 2013 A	100,000,000	2012/11/08	2013/05/13	25.00
64511	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 P	200,000,000	2012/11/09	2013/09/27	50.00
64512	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Q	200,000,000	2012/11/09	2013/09/27	50.00
64513	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 R	200,000,000	2012/11/09	2013/09/27	50.00
64502	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 V	200,000,000	2012/11/09	2013/04/29	50.00
64505	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 W	200,000,000	2012/11/09	2013/04/29	50.00
64506	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 X	200,000,000	2012/11/09	2013/04/29	50.00
64509	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Y	200,000,000	2012/11/09	2013/04/29	50.00
64510	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Z	200,000,000	2012/11/09	2013/04/29	50.00
64390	Credit Suisse AG – HSI R Bull CBBC Jul 2013 H	350,000,000	2012/11/09	2013/07/30	87.50
64391	Credit Suisse AG – HSI R Bull CBBC Jul 2013 I	350,000,000	2012/11/09	2013/07/30	87.50
64392	Credit Suisse AG – HSI R Bull CBBC Jul 2013 J	350,000,000	2012/11/09	2013/07/30	87.50
64394	Credit Suisse AG – HSI R Bull CBBC Jul 2013 K	350,000,000	2012/11/09	2013/07/30	87.50
64490	Credit Suisse AG – HSI R Bull CBBC Jul 2013 L	350,000,000	2012/11/09	2013/07/30	87.50
64491	Credit Suisse AG – HSI R Bull CBBC Jul 2013 M	350,000,000	2012/11/09	2013/07/30	87.50
64385	Credit Suisse AG – HSI R Bear CBBC Feb 2013 E	350,000,000	2012/11/09	2013/02/27	87.50
64384	Credit Suisse AG – HSI R Bear CBBC Feb 2013 R	350,000,000	2012/11/09	2013/02/27	87.50
64389	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Z	350,000,000	2012/11/09	2013/02/27	87.50
64376	Credit Suisse AG – HSI R Bear CBBC Jul 2013 G	350,000,000	2012/11/09	2013/07/30	87.50
64377	Credit Suisse AG – HSI R Bear CBBC Jul 2013 H	350,000,000	2012/11/09	2013/07/30	87.50
64378	Credit Suisse AG – HSI R Bear CBBC Jul 2013 I	350,000,000	2012/11/09	2013/07/30	87.50
64379	Credit Suisse AG – HSI R Bear CBBC Jul 2013 J	350,000,000	2012/11/09	2013/07/30	113.75
64383	Credit Suisse AG – HSI R Bear CBBC Jul 2013 K	350,000,000	2012/11/09	2013/07/30	120.75

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64494	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 G	100,000,000	2012/11/09	2013/09/27	25.00
64492	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 B	100,000,000	2012/11/09	2013/02/27	25.00
64495	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 F	150,000,000	2012/11/09	2013/02/27	37.65
64496	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 G	150,000,000	2012/11/09	2013/02/27	37.65
64497	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 H	150,000,000	2012/11/09	2013/02/27	37.65
64499	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 I	150,000,000	2012/11/09	2013/02/27	37.80
64500	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 J	150,000,000	2012/11/09	2013/02/27	37.80
64372	HK Bank – China Life R Bull CBBC Jul 2013 B	60,000,000	2012/11/09	2013/07/29	15.00
64373	HK Bank – CNBM R Bull CBBC Aug 2013 A	50,000,000	2012/11/09	2013/08/19	12.50
64370	HK Bank – A50 R Bull CBBC Oct 2013 A	100,000,000	2012/11/09	2013/10/28	25.00
64371	HK Bank – HSI R Bull CBBC Sep 2013 A	100,000,000	2012/11/09	2013/09/27	25.00
64374	HK Bank – Li & Fung R Bull CBBC Jun 2013 A	40,000,000	2012/11/09	2013/06/10	10.00
64375	HK Bank – Li & Fung R Bear CBBC Apr 2013 A	40,000,000	2012/11/09	2013/04/15	10.00
64367	HK Bank – TCH R Bull CBBC Jul 2013 A	50,000,000	2012/11/09	2013/07/22	12.50
64368	HK Bank – TCH R Bear CBBC Jul 2013 A	40,000,000	2012/11/09	2013/07/22	10.80
64465	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 K	300,000,000	2012/11/09	2013/06/27	75.00
64467	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 L	300,000,000	2012/11/09	2013/06/27	75.00
64468	J P Morgan SP BV – HSI R Bear CBBC Feb 2013 E	300,000,000	2012/11/09	2013/02/27	75.00
64361	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 E	60,000,000	2012/11/09	2013/03/27	15.00
64362	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 F	60,000,000	2012/11/09	2013/03/27	15.00
64363	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2013 G	60,000,000	2012/11/09	2013/03/27	15.00
64353	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 A	60,000,000	2012/11/09	2013/06/27	15.00
64359	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 B	60,000,000	2012/11/09	2013/06/27	15.00
64360	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 C	60,000,000	2012/11/09	2013/06/27	15.00
64396	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 L	300,000,000	2012/11/09	2013/03/27	75.00
64398	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 M	300,000,000	2012/11/09	2013/03/27	75.00
64399	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 J	300,000,000	2012/11/09	2013/02/27	75.00
64451	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 K	300,000,000	2012/11/09	2013/02/27	75.00
64452	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 L	300,000,000	2012/11/09	2013/02/27	75.00
64458	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 F	300,000,000	2012/11/09	2013/03/27	75.00
64460	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 G	300,000,000	2012/11/09	2013/03/27	78.00
64461	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 H	300,000,000	2012/11/09	2013/03/27	75.00
64522	UBS AG – Anhui Conch R Bull CBBC Jul 2013 A	50,000,000	2012/11/09	2013/07/22	27.50
64525	UBS AG – China Mobile R Bull CBBC May 2013 A	100,000,000	2012/11/09	2013/05/27	25.00
64518	UBS AG – COVS R Bull CBBC Jul 2013 A	100,000,000	2012/11/09	2013/07/15	37.00
64521	UBS AG – CUni R Bull CBBC Jun 2013 A	100,000,000	2012/11/09	2013/06/10	25.00
64524	UBS AG – CC Bank R Bull CBBC Jul 2013 D	100,000,000	2012/11/09	2013/07/22	25.00
64537	UBS AG – China Life R Bull CBBC Jun 2013 B	100,000,000	2012/11/09	2013/06/24	25.00
64531	UBS AG – CNBM R Bull CBBC Jun 2013 B	50,000,000	2012/11/09	2013/06/17	12.50
64526	UBS AG – China Shenhua R Bull CBBC Jun 2013 A	50,000,000	2012/11/09	2013/06/10	39.50
64527	UBS AG – China Shenhua R Bull CBBC Jul 2013 A	100,000,000	2012/11/09	2013/07/22	25.00
64528	UBS AG – China Shenhua R Bear CBBC May 2013 A	100,000,000	2012/11/09	2013/05/27	25.00
64515	UBS AG – Galaxy Ent R Bull CBBC May 2013 A	50,000,000	2012/11/09	2013/05/13	28.50
64516	UBS AG – Galaxy Ent R Bear CBBC Mar 2013 A	50,000,000	2012/11/09	2013/03/25	24.25
64517	UBS AG – HKEx R Bull CBBC Jun 2013 A	100,000,000	2012/11/09	2013/06/10	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64470	UBS AG – HSCEI R Bull CBBC Jul 2013 A	100,000,000	2012/11/09	2013/07/30	25.00
64535	UBS AG – HSI R Bull CBBC May 2013 X	300,000,000	2012/11/09	2013/05/30	75.00
64536	UBS AG – HSI R Bull CBBC Jun 2013 H	300,000,000	2012/11/09	2013/06/27	75.00
64533	UBS AG – HSI R Bull CBBC Jun 2013 Z	300,000,000	2012/11/09	2013/06/27	75.00
64532	UBS AG – HSI R Bull CBBC Jul 2013 J	300,000,000	2012/11/09	2013/07/30	75.00
64534	UBS AG – HSI R Bull CBBC Jul 2013 K	300,000,000	2012/11/09	2013/07/30	75.00
64388	UBS AG – HSI R Bear CBBC Feb 2013 P	300,000,000	2012/11/09	2013/02/27	75.00
64386	UBS AG – HSI R Bear CBBC Mar 2013 I	300,000,000	2012/11/09	2013/03/27	75.00
64486	UBS AG – HSI R Bear CBBC Mar 2013 J	300,000,000	2012/11/09	2013/03/27	75.00
64473	UBS AG – HSI R Bear CBBC Apr 2013 D	300,000,000	2012/11/09	2013/04/29	75.00
64485	UBS AG – HSI R Bear CBBC Apr 2013 H	300,000,000	2012/11/09	2013/04/29	75.00
64487	UBS AG – HSI R Bear CBBC Apr 2013 M	300,000,000	2012/11/09	2013/04/29	75.00
64488	UBS AG – HSI R Bear CBBC May 2013 Q	300,000,000	2012/11/09	2013/05/30	75.00
64476	UBS AG – HSI R Bear CBBC Jul 2013 E	300,000,000	2012/11/09	2013/07/30	79.50
64481	UBS AG – HSI R Bear CBBC Sep 2013 C	300,000,000	2012/11/09	2013/09/27	94.50
64483	UBS AG – HSI R Bear CBBC Oct 2013 C	300,000,000	2012/11/09	2013/10/30	75.00
64514	UBS AG – Hutchison R Bull CBBC Jul 2013 B	100,000,000	2012/11/09	2013/07/08	25.00
64529	UBS AG – ICBC R Bull CBBC Jul 2013 B	100,000,000	2012/11/09	2013/07/08	25.00
64530	UBS AG – Sands China R Bull CBBC Jul 2013 A	100,000,000	2012/11/09	2013/07/15	51.00
64552	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 S	200,000,000	2012/11/12	2013/09/27	50.00
64562	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 A	200,000,000	2012/11/12	2013/04/29	50.00
64563	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 B	200,000,000	2012/11/12	2013/04/29	50.00
64564	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 G	200,000,000	2012/11/12	2013/04/29	50.00
64565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 H	200,000,000	2012/11/12	2013/04/29	50.00
64553	Credit Suisse AG – HSI R Bull CBBC May 2013 K	350,000,000	2012/11/12	2013/05/30	87.50
64554	Credit Suisse AG – HSI R Bull CBBC May 2013 L	350,000,000	2012/11/12	2013/05/30	87.50
64555	Credit Suisse AG – HSI R Bull CBBC May 2013 M	350,000,000	2012/11/12	2013/05/30	87.50
64566	Credit Suisse AG – HSI R Bear CBBC Mar 2013 O	350,000,000	2012/11/12	2013/03/27	87.50
64573	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 K	150,000,000	2012/11/12	2013/02/27	38.10
64575	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 L	150,000,000	2012/11/12	2013/02/27	39.30
64549	HK Bank – Galaxy Ent R Bull CBBC Jul 2013 A	40,000,000	2012/11/12	2013/07/22	16.40
64550	HK Bank – Galaxy Ent R Bear CBBC Sep 2013 A	60,000,000	2012/11/12	2013/09/09	15.00
64545	HK Bank – HSI R Bull CBBC Jul 2013 D	100,000,000	2012/11/12	2013/07/30	25.00
64551	HK Bank – HSI R Bear CBBC Jul 2013 D	100,000,000	2012/11/12	2013/07/30	25.00
64546	HK Bank – HSI R Bear CBBC Nov 2013 B	100,000,000	2012/11/12	2013/11/28	25.00
64548	HK Bank – ICBC R Bull CBBC Aug 2013 B	80,000,000	2012/11/12	2013/08/19	20.00
64542	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 A	60,000,000	2012/11/12	2013/06/27	15.00
64543	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 B	60,000,000	2012/11/12	2013/06/27	15.00
64544	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 C	60,000,000	2012/11/12	2013/06/27	15.00
64538	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 D	60,000,000	2012/11/12	2013/06/27	15.00
64540	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 E	60,000,000	2012/11/12	2013/06/27	15.00
64541	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 F	60,000,000	2012/11/12	2013/06/27	15.00
64570	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar'13 N	300,000,000	2012/11/12	2013/03/27	75.00
64568	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr'13 A	300,000,000	2012/11/12	2013/04/29	75.00
64561	UBS AG – HSI R Bull CBBC May 2013 Y	300,000,000	2012/11/12	2013/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64557	UBS AG – HSI R Bull CBBC Jun 2013 I	300,000,000	2012/11/12	2013/06/27	75.00
64558	UBS AG – HSI R Bull CBBC Jul 2013 L	300,000,000	2012/11/12	2013/07/30	75.00
64572	UBS AG – HSI R Bear CBBC Mar 2013 N	300,000,000	2012/11/12	2013/03/27	75.00
64571	UBS AG – HSI R Bear CBBC Apr 2013 N	300,000,000	2012/11/12	2013/04/29	75.00
64616	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 M	200,000,000	2012/11/13	2013/04/29	50.00
64614	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 Q	200,000,000	2012/11/13	2013/04/29	50.00
64609	Credit Suisse AG – HSI R Bull CBBC Jun 2013 M	350,000,000	2012/11/13	2013/06/27	87.50
64612	Credit Suisse AG – HSI R Bear CBBC Mar 2013 P	350,000,000	2012/11/13	2013/03/27	87.50
64613	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Q	350,000,000	2012/11/13	2013/03/27	87.50
64591	HK Bank – BOCL R Bull CBBC Aug 2013 B	80,000,000	2012/11/13	2013/08/26	20.00
64594	HK Bank – China Mobile R Bull CBBC Aug 2013 A	80,000,000	2012/11/13	2013/08/05	20.00
64598	HK Bank – COVS R Bear CBBC Jul 2013 A	40,000,000	2012/11/13	2013/07/08	13.60
64595	HK Bank – CC Bank R Bull CBBC Sep 2013 B	80,000,000	2012/11/13	2013/09/09	20.00
64581	HK Bank – A50 R Bull CBBC Aug 2013 A	100,000,000	2012/11/13	2013/08/26	25.00
64577	HK Bank – HSI R Bear CBBC Aug 2013 C	100,000,000	2012/11/13	2013/08/29	25.00
64579	HK Bank – HSI R Bear CBBC Sep 2013 C	100,000,000	2012/11/13	2013/09/27	25.00
64587	HK Bank – TCH R Bear CBBC Sep 2013 A	50,000,000	2012/11/13	2013/09/09	17.50
64607	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 O	300,000,000	2012/11/13	2013/03/27	75.00
64608	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar13 P	300,000,000	2012/11/13	2013/03/27	75.00
64617	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 A	300,000,000	2012/11/13	2013/02/27	75.00
64606	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb13 M	300,000,000	2012/11/13	2013/02/27	75.00
64603	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 I	300,000,000	2012/11/13	2013/03/27	75.00
64599	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 A	300,000,000	2012/11/13	2013/04/29	102.00
64602	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 B	300,000,000	2012/11/13	2013/04/29	94.50
64618	UBS AG – A50 China R Bull CBBC Mar 2013 E	100,000,000	2012/11/13	2013/03/25	25.00
64611	UBS AG – HSI R Bull CBBC May 2013 Z	300,000,000	2012/11/13	2013/05/30	75.00
64610	UBS AG – HSI R Bull CBBC Jul 2013 M	300,000,000	2012/11/13	2013/07/30	75.00
64619	UBS AG – HSI R Bear CBBC Apr 2013 G	300,000,000	2012/11/13	2013/04/29	75.00
64620	UBS AG – HSI R Bear CBBC May 2013 R	300,000,000	2012/11/13	2013/05/30	75.00
64629	Credit Suisse AG – HSI R Bull CBBC Aug 2013 E	350,000,000	2012/11/14	2013/08/29	87.50
64632	Credit Suisse AG – HSI R Bull CBBC Aug 2013 F	350,000,000	2012/11/14	2013/08/29	87.50
64630	Credit Suisse AG – HSI R Bear CBBC Feb 2013 F	350,000,000	2012/11/14	2013/02/27	87.50
64624	HK Bank – A50 R Bull CBBC Sep 2013 A	100,000,000	2012/11/14	2013/09/30	25.00
64621	HK Bank – HSI R Bull CBBC Nov 2013 A	400,000,000	2012/11/14	2013/11/28	100.00
64631	UBS AG – HSI R Bear CBBC Mar 2013 K	300,000,000	2012/11/14	2013/03/27	75.00
63342 #	HK Bank – A50 R Bull CBBC Jun 2013 B	180,000,000	2012/11/14	2013/06/04	12.60
64713	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 R	200,000,000	2012/11/15	2013/04/29	50.00
64714	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 S	200,000,000	2012/11/15	2013/04/29	50.00
64719	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 T	200,000,000	2012/11/15	2013/04/29	50.00
64678	Credit Suisse AG – HSI R Bull CBBC Aug 2013 G	350,000,000	2012/11/15	2013/08/29	87.50
64686	Credit Suisse AG – HSI R Bull CBBC Aug 2013 H	350,000,000	2012/11/15	2013/08/29	87.50
64689	Credit Suisse AG – HSI R Bear CBBC Feb 2013 A	350,000,000	2012/11/15	2013/02/27	87.50
64690	Credit Suisse AG – HSI R Bear CBBC Feb 2013 K	350,000,000	2012/11/15	2013/02/27	87.50
64688	Credit Suisse AG – HSI R Bear CBBC Feb 2013 O	350,000,000	2012/11/15	2013/02/27	87.50
64692	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 C	150,000,000	2012/11/15	2013/02/27	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64693	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 D	150,000,000	2012/11/15	2013/02/27	37.50
64704	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 M	150,000,000	2012/11/15	2013/02/27	41.10
64705	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 N	150,000,000	2012/11/15	2013/02/27	40.50
64711	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 O	150,000,000	2012/11/15	2013/02/27	39.60
64712	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 P	150,000,000	2012/11/15	2013/02/27	41.25
64667	HK Bank – ABC R Bear CBBC Sep 2013 A	40,000,000	2012/11/15	2013/09/23	10.00
64675	HK Bank – BOCL R Bear CBBC Oct 2013 A	40,000,000	2012/11/15	2013/10/07	10.00
64672	HK Bank – China Mobile R Bear CBBC Aug 2013 A	50,000,000	2012/11/15	2013/08/05	12.50
64635	HK Bank – China Life R Bear CBBC Aug 2013 B	50,000,000	2012/11/15	2013/08/26	16.00
64648	HK Bank – CNBM R Bear CBBC Oct 2013 A	50,000,000	2012/11/15	2013/10/29	17.50
64668	HK Bank – Sinopec Corp R Bear CBBC Aug 2013 A	40,000,000	2012/11/15	2013/08/05	10.00
64666	HK Bank – Galaxy Ent R Bear CBBC May 2013 A	40,000,000	2012/11/15	2013/05/28	21.20
64636	HK Bank – Lenovo R Bull CBBC Dec 2013 A	40,000,000	2012/11/15	2013/12/17	10.00
64701	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 G	60,000,000	2012/11/15	2013/06/27	15.00
64702	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 H	60,000,000	2012/11/15	2013/06/27	15.00
64703	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 I	60,000,000	2012/11/15	2013/06/27	15.00
64681	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 B	300,000,000	2012/11/15	2013/04/29	75.00
64682	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 C	300,000,000	2012/11/15	2013/04/29	75.00
64683	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 J	300,000,000	2012/11/15	2013/03/27	75.00
64685	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 K	300,000,000	2012/11/15	2013/03/27	75.00
64699	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 L	300,000,000	2012/11/15	2013/03/27	75.00
64687	UBS AG – HSI R Bull CBBC Jun 2013 G	300,000,000	2012/11/15	2013/06/27	75.00
64679	UBS AG – HSI R Bull CBBC Jun 2013 J	300,000,000	2012/11/15	2013/06/27	75.00
64694	UBS AG – HSI R Bear CBBC Feb 2013 S	300,000,000	2012/11/15	2013/02/27	75.00
64695	UBS AG – HSI R Bear CBBC Apr 2013 P	300,000,000	2012/11/15	2013/04/29	75.00
64762	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2013 U	200,000,000	2012/11/16	2013/04/29	50.00
64720	Credit Suisse AG – HSI R Bull CBBC May 2013 N	350,000,000	2012/11/16	2013/05/30	87.50
64721	Credit Suisse AG – HSI R Bull CBBC May 2013 O	350,000,000	2012/11/16	2013/05/30	87.50
64734	Credit Suisse AG – HSI R Bear CBBC Feb 2013 B	350,000,000	2012/11/16	2013/02/27	87.50
64741	Credit Suisse AG – HSI R Bear CBBC Feb 2013 C	350,000,000	2012/11/16	2013/02/27	87.50
64729	Credit Suisse AG – HSI R Bear CBBC Feb 2013 L	350,000,000	2012/11/16	2013/02/27	87.50
64730	Credit Suisse AG – HSI R Bear CBBC Feb 2013 P	350,000,000	2012/11/16	2013/02/27	87.50
64732	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Q	350,000,000	2012/11/16	2013/02/27	87.50
64749	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 E	150,000,000	2012/11/16	2013/02/27	37.50
64750	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 Q	150,000,000	2012/11/16	2013/02/27	37.80
64751	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 R	150,000,000	2012/11/16	2013/02/27	37.50
64758	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 S	150,000,000	2012/11/16	2013/02/27	37.80
64723	HK Bank – Hutchison R Bear CBBC Jul 2013 A	50,000,000	2012/11/16	2013/07/29	12.50
64722	HK Bank – PetCh R Bear CBBC Oct 2013 A	40,000,000	2012/11/16	2013/10/07	10.00
64743	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 J	60,000,000	2012/11/16	2013/06/27	15.00
64746	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 K	60,000,000	2012/11/16	2013/06/27	15.00
64747	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 L	60,000,000	2012/11/16	2013/06/27	15.00
64748	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 M	60,000,000	2012/11/16	2013/06/27	15.00
64726	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 D	300,000,000	2012/11/16	2013/04/29	75.00
64727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 M	300,000,000	2012/11/16	2013/03/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64728	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 N	300,000,000	2012/11/16	2013/03/27	75.00
64724	UBS AG – HSI R Bull CBBC May 2013 D	300,000,000	2012/11/16	2013/05/30	75.00
64725	UBS AG – HSI R Bull CBBC May 2013 F	300,000,000	2012/11/16	2013/05/30	75.00
64761	UBS AG – HSI R Bear CBBC Feb 2013 M	300,000,000	2012/11/16	2013/02/27	75.00
64759	UBS AG – HSI R Bear CBBC Feb 2013 T	300,000,000	2012/11/16	2013/02/27	75.00
64760	UBS AG – HSI R Bear CBBC Mar 2013 O	300,000,000	2012/11/16	2013/03/27	75.00
68529 #	HK Bank – China Mobile R Bull CBBC Jul 2013 A	200,000,000	2012/11/16	2013/07/08	10.60
64766	Credit Suisse AG – HSI R Bull CBBC Jun 2013 N	350,000,000	2012/11/19	2013/06/27	87.50
64767	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	350,000,000	2012/11/19	2013/03/27	87.50
64768	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	350,000,000	2012/11/19	2013/03/27	87.50
64769	Credit Suisse AG – HSI R Bear CBBC Mar 2013 R	350,000,000	2012/11/19	2013/03/27	87.50
64763	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 E	300,000,000	2012/11/19	2013/04/29	75.00
64764	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 O	300,000,000	2012/11/19	2013/03/27	75.00
64765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 P	300,000,000	2012/11/19	2013/03/27	75.00
64774	UBS AG – HSI R Bull CBBC Jun 2013 L	300,000,000	2012/11/19	2013/06/27	75.00
64776	UBS AG – HSI R Bear CBBC Mar 2013 P	300,000,000	2012/11/19	2013/03/27	75.00
64775	UBS AG – HSI R Bear CBBC Apr 2013 R	300,000,000	2012/11/19	2013/04/29	75.00
64807	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 A	200,000,000	2012/11/20	2013/03/27	50.00
64809	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 B	200,000,000	2012/11/20	2013/03/27	50.00
64785	Credit Suisse AG – HSI R Bull CBBC Aug 2013 I	350,000,000	2012/11/20	2013/08/29	87.50
64786	Credit Suisse AG – HSI R Bull CBBC Aug 2013 J	350,000,000	2012/11/20	2013/08/29	87.50
64796	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	350,000,000	2012/11/20	2013/03/27	87.50
64788	Credit Suisse AG – HSI R Bear CBBC Mar 2013 S	350,000,000	2012/11/20	2013/03/27	87.50
64795	Credit Suisse AG – HSI R Bear CBBC Mar 2013 U	350,000,000	2012/11/20	2013/03/27	87.50
64799	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 F	150,000,000	2012/11/20	2013/02/27	37.50
64782	HK Bank – CUni R Bear CBBC Oct 2013 A	40,000,000	2012/11/20	2013/10/22	10.00
64780	HK Bank – Cheung Kong R Bear CBBC Aug 2013 A	50,000,000	2012/11/20	2013/08/12	12.50
64777	HK Bank – A50 R Bear CBBC May 2013 B	40,000,000	2012/11/20	2013/05/13	10.00
64778	HK Bank – HSI R Bear CBBC Jul 2013 E	100,000,000	2012/11/20	2013/07/30	25.00
64779	HK Bank – HSI R Bear CBBC Aug 2013 D	100,000,000	2012/11/20	2013/08/29	25.00
64802	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 N	60,000,000	2012/11/20	2013/06/27	15.00
64803	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 O	60,000,000	2012/11/20	2013/06/27	15.00
64783	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 F	300,000,000	2012/11/20	2013/04/29	75.00
64800	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 Q	300,000,000	2012/11/20	2013/03/27	75.00
64801	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 R	300,000,000	2012/11/20	2013/03/27	75.00
64791	UBS AG – A50 China R Bear CBBC Mar 2013 A	100,000,000	2012/11/20	2013/03/25	25.00
64792	UBS AG – HSCEI R Bear CBBC Mar 2013 D	100,000,000	2012/11/20	2013/03/27	25.00
64784	UBS AG – HSI R Bull CBBC Jul 2013 N	300,000,000	2012/11/20	2013/07/30	75.00
64797	UBS AG – HSI R Bear CBBC Feb 2013 F	300,000,000	2012/11/20	2013/02/27	75.00
64794	UBS AG – HSI R Bear CBBC Feb 2013 O	300,000,000	2012/11/20	2013/02/27	75.00
64798	UBS AG – HSI R Bear CBBC Mar 2013 S	300,000,000	2012/11/20	2013/03/27	75.00
64793	UBS AG – HSI R Bear CBBC May 2013 S	300,000,000	2012/11/20	2013/05/30	75.00
64820	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 T	200,000,000	2012/11/21	2013/09/27	50.00
64821	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 U	200,000,000	2012/11/21	2013/09/27	50.00
64822	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 V	200,000,000	2012/11/21	2013/09/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64813	Credit Suisse AG – HSI R Bull CBBC Jul 2013 N	350,000,000	2012/11/21	2013/07/30	87.50
64819	Credit Suisse AG – HSI R Bull CBBC Jul 2013 O	350,000,000	2012/11/21	2013/07/30	87.50
64810	Credit Suisse AG – HSI R Bear CBBC Feb 2013 T	350,000,000	2012/11/21	2013/02/27	87.50
64811	Credit Suisse AG – HSI R Bear CBBC Feb 2013 U	350,000,000	2012/11/21	2013/02/27	87.50
64825	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 H	150,000,000	2012/11/21	2013/02/27	38.25
64826	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 I	150,000,000	2012/11/21	2013/02/27	38.25
64828	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 J	150,000,000	2012/11/21	2013/02/27	38.40
64829	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 M	300,000,000	2012/11/21	2013/06/27	75.00
64835	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 N	300,000,000	2012/11/21	2013/06/27	75.00
64830	J P Morgan SP BV – HSI R Bear CBBC Mar 2013 B	300,000,000	2012/11/21	2013/03/27	75.00
64832	J P Morgan SP BV – HSI R Bear CBBC Mar 2013 C	300,000,000	2012/11/21	2013/03/27	75.00
64833	J P Morgan SP BV – HSI R Bear CBBC Mar 2013 D	300,000,000	2012/11/21	2013/03/27	75.00
64815	UBS AG – China Life R Bear CBBC Apr 2013 B	100,000,000	2012/11/21	2013/04/15	25.00
64816	UBS AG – HSI R Bull CBBC May 2013 J	300,000,000	2012/11/21	2013/05/30	75.00
64812	UBS AG – HSI R Bear CBBC Mar 2013 M	300,000,000	2012/11/21	2013/03/27	75.00
63554 #	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 A	160,000,000	2012/11/21	2013/09/27	10.56
68064 #	HK Bank – HSI R Bull CBBC Mar 2013 K	200,000,000	2012/11/21	2013/03/27	14.60
64856	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 C	200,000,000	2012/11/22	2013/03/27	50.00
64841	Credit Suisse AG – HSI R Bull CBBC Jul 2013 P	350,000,000	2012/11/22	2013/07/30	87.50
64842	Credit Suisse AG – HSI R Bear CBBC Feb 2013 D	350,000,000	2012/11/22	2013/02/27	87.50
64844	Credit Suisse AG – HSI R Bear CBBC Feb 2013 M	350,000,000	2012/11/22	2013/02/27	87.50
64852	Credit Suisse AG – HSI R Bear CBBC Feb 2013 S	350,000,000	2012/11/22	2013/02/27	87.50
64853	Credit Suisse AG – HSI R Bear CBBC Feb 2013 V	350,000,000	2012/11/22	2013/02/27	87.50
64838	HK Bank – HSI R Bull CBBC Aug 2013 D	100,000,000	2012/11/22	2013/08/29	25.00
64837	HK Bank – HSI R Bull CBBC Sep 2013 B	100,000,000	2012/11/22	2013/09/27	25.00
64836	HK Bank – HSI R Bull CBBC Oct 2013 A	400,000,000	2012/11/22	2013/10/30	100.00
64839	HK Bank – HSI R Bull CBBC Nov 2013 B	400,000,000	2012/11/22	2013/11/28	100.00
64848	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 G	300,000,000	2012/11/22	2013/04/29	75.00
64850	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 S	300,000,000	2012/11/22	2013/03/27	75.00
64851	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 T	300,000,000	2012/11/22	2013/03/27	75.00
64840	UBS AG – HSI R Bull CBBC Jun 2013 M	300,000,000	2012/11/22	2013/06/27	75.00
64855	UBS AG – HSI R Bear CBBC Apr 2013 I	300,000,000	2012/11/22	2013/04/29	75.00
64854	UBS AG – HSI R Bear CBBC Apr 2013 U	300,000,000	2012/11/22	2013/04/29	75.00
64878	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 W	200,000,000	2012/11/23	2013/09/27	50.00
64869	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Q	350,000,000	2012/11/23	2013/07/30	87.50
64870	Credit Suisse AG – HSI R Bull CBBC Jul 2013 R	350,000,000	2012/11/23	2013/07/30	87.50
64866	Credit Suisse AG – HSI R Bear CBBC Feb 2013 W	350,000,000	2012/11/23	2013/02/27	87.50
64867	Credit Suisse AG – HSI R Bear CBBC Feb 2013 X	350,000,000	2012/11/23	2013/02/27	87.50
64865	HK Bank – China Tel R Bear CBBC Sep 2013 A	40,000,000	2012/11/23	2013/09/16	10.00
64864	HK Bank – China Life R Bear CBBC Sep 2013 A	50,000,000	2012/11/23	2013/09/30	13.50
64860	HK Bank – HSI R Bear CBBC Sep 2013 D	100,000,000	2012/11/23	2013/09/27	25.00
64863	HK Bank – TCH R Bear CBBC Jun 2013 A	50,000,000	2012/11/23	2013/06/24	15.00
64877	UBS AG – HSI R Bull CBBC Jul 2013 O	300,000,000	2012/11/23	2013/07/30	75.00
64876	UBS AG – TCH R Bull CBBC Jun 2013 C	100,000,000	2012/11/23	2013/06/24	43.50
64873	UBS AG – TCH R Bear CBBC Mar 2013 C	100,000,000	2012/11/23	2013/03/18	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64872	UBS AG – TCH R Bear CBBC Apr 2013 E	100,000,000	2012/11/23	2013/04/22	43.50
64895	Credit Suisse AG – HSI R Bull CBBC May 2013 P	350,000,000	2012/11/26	2013/05/30	87.50
64896	Credit Suisse AG – HSI R Bear CBBC Mar 2013 C	350,000,000	2012/11/26	2013/03/27	87.50
64898	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 H	150,000,000	2012/11/26	2013/09/27	37.50
64897	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 G	150,000,000	2012/11/26	2013/02/27	37.50
64887	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 K	150,000,000	2012/11/26	2013/02/27	37.50
64889	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 L	150,000,000	2012/11/26	2013/02/27	37.95
64890	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 M	150,000,000	2012/11/26	2013/02/27	38.85
64891	Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2013 N	150,000,000	2012/11/26	2013/02/27	38.85
64882	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 T	150,000,000	2012/11/26	2013/02/27	38.85
64883	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 U	150,000,000	2012/11/26	2013/02/27	39.90
64884	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 V	150,000,000	2012/11/26	2013/02/27	37.65
64885	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2013 W	150,000,000	2012/11/26	2013/02/27	38.25
64893	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 H	300,000,000	2012/11/26	2013/04/29	75.00
64894	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 U	300,000,000	2012/11/26	2013/03/27	75.00
64906	UBS AG – CC Bank R Bear CBBC May 2013 C	100,000,000	2012/11/26	2013/05/20	25.00
64905	UBS AG – A50 China R Bull CBBC Mar 2013 F	100,000,000	2012/11/26	2013/03/18	25.00
64904	UBS AG – HSI R Bull CBBC Aug 2013 F	300,000,000	2012/11/26	2013/08/29	75.00
64907	UBS AG – TCH R Bear CBBC Apr 2013 F	100,000,000	2012/11/26	2013/04/15	32.50
64927	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 D	200,000,000	2012/11/27	2013/03/27	50.00
64928	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 E	200,000,000	2012/11/27	2013/03/27	50.00
64925	Credit Suisse AG – HSI R Bull CBBC Jun 2013 O	350,000,000	2012/11/27	2013/06/27	87.50
64922	Credit Suisse AG – HSI R Bear CBBC Feb 2013 Y	350,000,000	2012/11/27	2013/02/27	87.50
64929	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Feb 2013 H	150,000,000	2012/11/27	2013/02/27	37.50
64913	HK Bank – China Shenhua R Bear CBBC Oct 2013 A	40,000,000	2012/11/27	2013/10/07	10.00
64911	HK Bank – A50 R Bear CBBC Jun 2013 B	40,000,000	2012/11/27	2013/06/17	10.00
64912	HK Bank – SHK Ppt R Bull CBBC Jul 2013 A	60,000,000	2012/11/27	2013/07/22	15.00
64909	HK Bank – TCH R Bull CBBC Jul 2013 B	50,000,000	2012/11/27	2013/07/22	13.50
64910	HK Bank – TCH R Bull CBBC Aug 2013 A	50,000,000	2012/11/27	2013/08/26	18.50
64908	HK Bank – TCH R Bear CBBC Aug 2013 A	50,000,000	2012/11/27	2013/08/19	12.50
64924	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr13 I	300,000,000	2012/11/27	2013/04/29	75.00
64914	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 V	300,000,000	2012/11/27	2013/03/27	75.00
64916	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 W	300,000,000	2012/11/27	2013/03/27	75.00
64917	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 X	300,000,000	2012/11/27	2013/03/27	75.00
64921	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 Y	300,000,000	2012/11/27	2013/03/27	75.00
64930	UBS AG – CNOOC R Bull CBBC Apr 2013 A	100,000,000	2012/11/27	2013/04/29	31.50
64926	UBS AG – HSI R Bull CBBC Jun 2013 N	300,000,000	2012/11/27	2013/06/27	75.00
64923	UBS AG – HSI R Bear CBBC Feb 2013 U	300,000,000	2012/11/27	2013/02/27	75.00
64948	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 X	200,000,000	2012/11/28	2013/09/27	50.00
64949	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Y	200,000,000	2012/11/28	2013/09/27	50.00
64937	Credit Suisse AG – HSI R Bull CBBC Jul 2013 S	350,000,000	2012/11/28	2013/07/30	87.50
64950	Credit Suisse AG – HSI R Bull CBBC Jul 2013 T	350,000,000	2012/11/28	2013/07/30	87.50
64951	Credit Suisse AG – HSI R Bull CBBC Jul 2013 U	350,000,000	2012/11/28	2013/07/30	87.50
64952	Credit Suisse AG – HSI R Bull CBBC Jul 2013 V	350,000,000	2012/11/28	2013/07/30	87.50
64934	Credit Suisse AG – HSI R Bear CBBC Mar 2013 V	350,000,000	2012/11/28	2013/03/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64953	Credit Suisse AG – HSI R Bear CBBC Mar 2013 W	350,000,000	2012/11/28	2013/03/27	87.50
64954	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 I	150,000,000	2012/11/28	2013/09/27	37.50
64964	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 O	300,000,000	2012/11/28	2013/06/27	75.00
64958	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 A	300,000,000	2012/11/28	2013/07/30	75.00
64931	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 D	60,000,000	2012/11/28	2013/06/27	15.00
64932	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 P	60,000,000	2012/11/28	2013/06/27	15.00
64933	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 Q	60,000,000	2012/11/28	2013/06/27	15.00
64941	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 A	300,000,000	2012/11/28	2013/06/27	75.00
64943	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 B	300,000,000	2012/11/28	2013/06/27	75.00
64944	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 C	300,000,000	2012/11/28	2013/06/27	75.00
64945	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 D	300,000,000	2012/11/28	2013/06/27	75.00
64946	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 E	300,000,000	2012/11/28	2013/06/27	75.00
64957	UBS AG – HSI R Bull CBBC May 2013 I	300,000,000	2012/11/28	2013/05/30	75.00
64956	UBS AG – HSI R Bull CBBC Jul 2013 P	300,000,000	2012/11/28	2013/07/30	75.00
64939	UBS AG – HSI R Bull CBBC Aug 2013 G	300,000,000	2012/11/28	2013/08/29	75.00
64947	UBS AG – HSI R Bear CBBC Mar 2013 L	300,000,000	2012/11/28	2013/03/27	75.00
64938	UBS AG – HSI R Bear CBBC Mar 2013 T	300,000,000	2012/11/28	2013/03/27	75.00
64992	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 H	200,000,000	2012/11/29	2013/09/27	50.00
64995	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 I	200,000,000	2012/11/29	2013/09/27	50.00
64991	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Z	200,000,000	2012/11/29	2013/09/27	50.00
64985	Credit Suisse AG – HSI R Bull CBBC Jul 2013 W	350,000,000	2012/11/29	2013/07/30	87.50
64986	Credit Suisse AG – HSI R Bull CBBC Jul 2013 X	350,000,000	2012/11/29	2013/07/30	87.50
64987	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Y	350,000,000	2012/11/29	2013/07/30	87.50
64972	Credit Suisse AG – HSI R Bull CBBC Aug 2013 K	350,000,000	2012/11/29	2013/08/29	87.50
64973	Credit Suisse AG – HSI R Bull CBBC Aug 2013 L	350,000,000	2012/11/29	2013/08/29	87.50
64968	Credit Suisse AG – HSI R Bear CBBC Mar 2013 D	350,000,000	2012/11/29	2013/03/27	87.50
64969	Credit Suisse AG – HSI R Bear CBBC Mar 2013 E	350,000,000	2012/11/29	2013/03/27	87.50
64977	Credit Suisse AG – HSI R Bear CBBC Mar 2013 F	350,000,000	2012/11/29	2013/03/27	87.50
64978	Credit Suisse AG – HSI R Bear CBBC Mar 2013 X	350,000,000	2012/11/29	2013/03/27	87.50
64979	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Y	350,000,000	2012/11/29	2013/03/27	87.50
65018	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 J	150,000,000	2012/11/29	2013/09/27	37.50
65017	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 A	150,000,000	2012/11/29	2013/03/27	37.50
64983	Bank of East Asia – Galaxy Ent R Bull CBBC Aug 2013 A	60,000,000	2012/11/29	2013/08/27	22.20
64981	Bank of East Asia – ICBC R Bull CBBC Aug 2013 A	60,000,000	2012/11/29	2013/08/08	15.00
64982	Bank of East Asia – Lenovo R Bull CBBC Nov 2013 A	60,000,000	2012/11/29	2013/11/29	15.00
65012	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 A	150,000,000	2012/11/29	2013/03/27	37.65
65013	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 B	150,000,000	2012/11/29	2013/03/27	38.40
65014	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 C	150,000,000	2012/11/29	2013/03/27	37.80
65015	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 D	150,000,000	2012/11/29	2013/03/27	37.80
65016	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 E	150,000,000	2012/11/29	2013/03/27	37.50
64999	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 E	60,000,000	2012/11/29	2013/06/27	15.00
65002	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 F	60,000,000	2012/11/29	2013/06/27	15.00
65004	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 G	60,000,000	2012/11/29	2013/06/27	15.00
65005	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 H	60,000,000	2012/11/29	2013/06/27	15.00
65006	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 I	60,000,000	2012/11/29	2013/06/27	15.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65009	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 J	60,000,000	2012/11/29	2013/06/27	15.00
64965	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 F	300,000,000	2012/11/29	2013/06/27	75.00
64966	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 G	300,000,000	2012/11/29	2013/06/27	75.00
64976	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 H	300,000,000	2012/11/29	2013/06/27	75.00
64967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 Z	300,000,000	2012/11/29	2013/03/27	75.00
65010	UBS AG – HSI R Bull CBBC Jul 2013 Q	300,000,000	2012/11/29	2013/07/30	75.00
65011	UBS AG – HSI R Bull CBBC Jul 2013 R	300,000,000	2012/11/29	2013/07/30	75.00
64974	UBS AG – HSI R Bear CBBC Mar 2013 G	300,000,000	2012/11/29	2013/03/27	75.00
64984	UBS AG – HSI R Bear CBBC Mar 2013 W	300,000,000	2012/11/29	2013/03/27	75.00
65050	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 J	200,000,000	2012/11/30	2013/09/27	50.00
65051	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 K	200,000,000	2012/11/30	2013/09/27	50.00
65049	Credit Suisse AG – HSI R Bull CBBC Jul 2013 B	350,000,000	2012/11/30	2013/07/30	87.50
65048	Credit Suisse AG – HSI R Bull CBBC Jul 2013 Z	350,000,000	2012/11/30	2013/07/30	87.50
65045	Credit Suisse AG – HSI R Bull CBBC Aug 2013 M	350,000,000	2012/11/30	2013/08/29	87.50
65046	Credit Suisse AG – HSI R Bull CBBC Aug 2013 N	350,000,000	2012/11/30	2013/08/29	87.50
65047	Credit Suisse AG – HSI R Bull CBBC Aug 2013 O	350,000,000	2012/11/30	2013/08/29	87.50
65031	Credit Suisse AG – HSI R Bear CBBC Mar 2013 A	350,000,000	2012/11/30	2013/03/27	87.50
65035	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Z	350,000,000	2012/11/30	2013/03/27	87.50
65059	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 K	150,000,000	2012/11/30	2013/09/27	37.50
65060	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 L	150,000,000	2012/11/30	2013/09/27	37.50
65037	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 B	150,000,000	2012/11/30	2013/03/27	37.50
65061	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 C	150,000,000	2012/11/30	2013/03/27	37.50
65062	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 D	150,000,000	2012/11/30	2013/03/27	37.50
65019	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 A	150,000,000	2012/11/30	2013/03/27	38.10
65020	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 B	150,000,000	2012/11/30	2013/03/27	38.55
65030	HK Bank – China Mobile R Bull CBBC Aug 2013 B	80,000,000	2012/11/30	2013/08/05	20.00
65021	HK Bank – HSI R Bull CBBC Jun 2013 G	100,000,000	2012/11/30	2013/06/27	25.00
65022	HK Bank – HSI R Bull CBBC Aug 2013 E	100,000,000	2012/11/30	2013/08/29	25.00
65029	HK Bank – TCH R Bull CBBC Jun 2013 B	50,000,000	2012/11/30	2013/06/24	13.50
65033	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 I	300,000,000	2012/11/30	2013/06/27	75.00
65034	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 J	300,000,000	2012/11/30	2013/06/27	75.00
65043	UBS AG – CC Bank R Bull CBBC Jun 2013 A	100,000,000	2012/11/30	2013/06/24	25.00
65044	UBS AG – HSBC R Bull CBBC May 2013 A	100,000,000	2012/11/30	2013/05/13	25.00
65040	UBS AG – HSCEI R Bull CBBC Jul 2013 B	100,000,000	2012/11/30	2013/07/30	25.00
65052	UBS AG – HSI R Bull CBBC May 2013 H	300,000,000	2012/11/30	2013/05/30	75.00
65056	UBS AG – HSI R Bull CBBC Jun 2013 U	300,000,000	2012/11/30	2013/06/27	75.00
65057	UBS AG – HSI R Bull CBBC Jun 2013 V	300,000,000	2012/11/30	2013/06/27	75.00
65058	UBS AG – HSI R Bull CBBC Jun 2013 X	300,000,000	2012/11/30	2013/06/27	75.00
65055	UBS AG – HSI R Bull CBBC Aug 2013 H	300,000,000	2012/11/30	2013/08/29	75.00
65032	UBS AG – HSI R Bear CBBC Apr 2013 Q	300,000,000	2012/11/30	2013/04/29	75.00
65039	UBS AG – HSI R Bear CBBC Apr 2013 W	300,000,000	2012/11/30	2013/04/29	75.00
65042	UBS AG – ICBC R Bull CBBC Jul 2013 C	100,000,000	2012/11/30	2013/07/29	25.00
65117	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 F	200,000,000	2012/12/03	2013/03/27	50.00
65086	Credit Suisse AG – HSI R Bull CBBC Jun 2013 P	350,000,000	2012/12/03	2013/06/27	87.50
65088	Credit Suisse AG – HSI R Bull CBBC Jun 2013 Q	350,000,000	2012/12/03	2013/06/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65097	Credit Suisse AG – HSI R Bear CBBC Mar 2013 T	350,000,000	2012/12/03	2013/03/27	87.50
65071	Credit Suisse AG – HSI R Bear CBBC May 2013 F	350,000,000	2012/12/03	2013/05/30	87.50
65081	Credit Suisse AG – HSI R Bear CBBC Jul 2013 L	350,000,000	2012/12/03	2013/07/30	87.50
65084	Credit Suisse AG – HSI R Bear CBBC Jul 2013 M	350,000,000	2012/12/03	2013/07/30	87.50
65075	Credit Suisse AG – HSI R Bear CBBC Aug 2013 E	350,000,000	2012/12/03	2013/08/29	122.50
65076	Credit Suisse AG – HSI R Bear CBBC Aug 2013 F	350,000,000	2012/12/03	2013/08/29	133.00
65077	Credit Suisse AG – HSI R Bear CBBC Aug 2013 G	350,000,000	2012/12/03	2013/08/29	143.50
65078	Credit Suisse AG – HSI R Bear CBBC Aug 2013 H	350,000,000	2012/12/03	2013/08/29	157.50
65090	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 M	150,000,000	2012/12/03	2013/09/27	37.50
65091	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 N	150,000,000	2012/12/03	2013/09/27	37.50
65092	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 E	150,000,000	2012/12/03	2013/03/27	37.50
65093	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 F	150,000,000	2012/12/03	2013/03/27	37.50
65096	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 G	150,000,000	2012/12/03	2013/03/27	37.50
65115	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 F	150,000,000	2012/12/03	2013/03/27	38.55
65069	HK Bank – COVS R Bull CBBC Nov 2013 A	40,000,000	2012/12/03	2013/11/25	13.60
65070	HK Bank – COVS R Bear CBBC Nov 2013 A	40,000,000	2012/12/03	2013/11/25	18.80
65065	HK Bank – A50 R Bull CBBC May 2013 C	100,000,000	2012/12/03	2013/05/06	25.00
65067	HK Bank – HSCEI R Bull CBBC Nov 2013 A	68,000,000	2012/12/03	2013/11/28	17.00
65064	HK Bank – HSI R Bull CBBC Jul 2013 E	100,000,000	2012/12/03	2013/07/30	25.00
65068	HK Bank – ICBC R Bull CBBC Jul 2013 C	80,000,000	2012/12/03	2013/07/29	20.00
65121	J P Morgan SP BV – HSI R Bear CBBC Apr 2013 H	300,000,000	2012/12/03	2013/04/29	75.00
65120	J P Morgan SP BV – HSI R Bear CBBC May 2013 S	300,000,000	2012/12/03	2013/05/30	75.00
65119	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 D	300,000,000	2012/12/03	2013/06/27	75.00
65118	J P Morgan SP BV – HSI R Bear CBBC Jul 2013 A	300,000,000	2012/12/03	2013/07/30	75.00
65089	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 K	300,000,000	2012/12/03	2013/06/27	75.00
65113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 O	300,000,000	2012/12/03	2013/03/27	75.00
65112	UBS AG – Cheung Kong R Bear CBBC May 2013 A	100,000,000	2012/12/03	2013/05/06	28.00
65109	UBS AG – HSCEI R Bull CBBC Jul 2013 C	100,000,000	2012/12/03	2013/07/30	25.00
65107	UBS AG – HSCEI R Bear CBBC Mar 2013 E	100,000,000	2012/12/03	2013/03/27	25.00
65100	UBS AG – HSI R Bull CBBC May 2013 E	300,000,000	2012/12/03	2013/05/30	75.00
65104	UBS AG – HSI R Bull CBBC Jul 2013 S	300,000,000	2012/12/03	2013/07/30	75.00
65099	UBS AG – HSI R Bull CBBC Aug 2013 I	300,000,000	2012/12/03	2013/08/29	75.00
65114	UBS AG – HSI R Bear CBBC Mar 2013 X	300,000,000	2012/12/03	2013/03/27	75.00
65128	Credit Suisse AG – HSI R Bull CBBC Jun 2013 R	350,000,000	2012/12/04	2013/06/27	87.50
65134	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	350,000,000	2012/12/04	2013/03/27	87.50
65143	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	350,000,000	2012/12/04	2013/03/27	87.50
65138	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 O	150,000,000	2012/12/04	2013/09/27	37.50
65142	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 H	150,000,000	2012/12/04	2013/03/27	37.50
65125	HK Bank – A50 R Bull CBBC Aug 2013 B	100,000,000	2012/12/04	2013/08/12	25.00
65123	HK Bank – A50 R Bear CBBC Aug 2013 B	40,000,000	2012/12/04	2013/08/26	10.00
65126	HK Bank – A50 R Bear CBBC Oct 2013 A	40,000,000	2012/12/04	2013/10/18	10.40
65124	HK Bank – A50 R Bear CBBC Nov 2013 A	40,000,000	2012/12/04	2013/11/18	11.60
65136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 13L	300,000,000	2012/12/04	2013/06/27	75.00
65137	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 13Q	300,000,000	2012/12/04	2013/03/27	75.00
65147	UBS AG – HSI R Bull CBBC May 2013 N	300,000,000	2012/12/04	2013/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65146	UBS AG – HSI R Bear CBBC Mar 2013 V	300,000,000	2012/12/04	2013/03/27	75.00
65135	UBS AG – HSI R Bear CBBC Apr 2013 X	300,000,000	2012/12/04	2013/04/29	75.00
65170	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 G	200,000,000	2012/12/05	2013/03/27	50.00
65171	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 H	200,000,000	2012/12/05	2013/03/27	50.00
65152	Credit Suisse AG – HSI R Bull CBBC Sep 2013 A	350,000,000	2012/12/05	2013/09/27	87.50
65156	Credit Suisse AG – HSI R Bear CBBC Mar 2013 S	350,000,000	2012/12/05	2013/03/27	87.50
65153	Credit Suisse AG – HSI R Bear CBBC May 2013 G	350,000,000	2012/12/05	2013/05/30	87.50
65163	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Sep 2013 P	150,000,000	2012/12/05	2013/09/27	37.50
65167	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 I	150,000,000	2012/12/05	2013/03/27	37.50
65169	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 J	150,000,000	2012/12/05	2013/03/27	37.50
65149	HK Bank – Cheung Kong R Bull CBBC Jul 2013 A	50,000,000	2012/12/05	2013/07/29	12.50
65148	HK Bank – Galaxy Ent R Bull CBBC Aug 2013 A	40,000,000	2012/12/05	2013/08/19	11.60
65157	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 R	300,000,000	2012/12/05	2013/03/27	75.00
65172	UBS AG – HSI R Bear CBBC May 2013 T	300,000,000	2012/12/05	2013/05/30	75.00
65173	UBS AG – Ping An R Bull CBBC Aug 2013 A	100,000,000	2012/12/05	2013/08/19	25.00
65183	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 A	200,000,000	2012/12/06	2013/12/30	50.00
65175	Credit Suisse AG – A50 China R Bull CBBC Apr 2013 A	50,000,000	2012/12/06	2013/04/30	12.50
65177	Credit Suisse AG – A50 China R Bear CBBC Apr 2013 A	50,000,000	2012/12/06	2013/04/30	12.50
65178	Credit Suisse AG – A50 China R Bear CBBC Apr 2013 B	50,000,000	2012/12/06	2013/04/30	12.50
65179	Credit Suisse AG – A50 China R Bear CBBC Apr 2013 C	50,000,000	2012/12/06	2013/04/30	12.50
65180	Credit Suisse AG – HSI R Bull CBBC Aug 2013 P	350,000,000	2012/12/06	2013/08/29	87.50
65174	Credit Suisse AG – HSI R Bear CBBC Apr 2013 K	350,000,000	2012/12/06	2013/04/29	87.50
65188	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 A	150,000,000	2012/12/06	2013/12/30	37.50
65189	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 G	150,000,000	2012/12/06	2013/03/27	38.70
65190	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 H	150,000,000	2012/12/06	2013/03/27	39.00
65191	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 C	150,000,000	2012/12/06	2013/03/27	37.65
65192	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 D	150,000,000	2012/12/06	2013/03/27	38.70
65181	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 M	300,000,000	2012/12/06	2013/06/27	75.00
65205	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 M	200,000,000	2012/12/07	2013/09/27	50.00
65206	Credit Suisse AG – HSI R Bull CBBC Jun 2013 S	350,000,000	2012/12/07	2013/06/27	87.50
65207	Credit Suisse AG – HSI R Bull CBBC Jun 2013 T	350,000,000	2012/12/07	2013/06/27	87.50
65193	Credit Suisse AG – HSI R Bear CBBC Apr 2013 L	350,000,000	2012/12/07	2013/04/29	87.50
65194	Credit Suisse AG – HSI R Bear CBBC Apr 2013 M	350,000,000	2012/12/07	2013/04/29	87.50
65208	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 B	150,000,000	2012/12/07	2013/12/30	37.50
65195	HK Bank – A50 R Bear CBBC Jul 2013 B	40,000,000	2012/12/07	2013/07/22	10.00
65201	HK Bank – HSCEI R Bear CBBC Jun 2013 B	68,000,000	2012/12/07	2013/06/27	17.00
65200	HK Bank – Lenovo R Bull CBBC Oct 2013 A	40,000,000	2012/12/07	2013/10/07	10.00
65198	HK Bank – Sands China R Bull CBBC Oct 2013 A	50,000,000	2012/12/07	2013/10/28	12.50
65203	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 N	300,000,000	2012/12/07	2013/06/27	75.00
65204	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 A	300,000,000	2012/12/07	2013/07/30	75.00
65209	UBS AG – HSI R Bull CBBC Jul 2013 T	300,000,000	2012/12/07	2013/07/30	75.00
65202	UBS AG – HSI R Bear CBBC Apr 2013 T	300,000,000	2012/12/07	2013/04/29	75.00
65229	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 I	200,000,000	2012/12/10	2013/03/27	50.00
65230	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 J	200,000,000	2012/12/10	2013/03/27	50.00
65216	Credit Suisse AG – HSI R Bull CBBC May 2013 R	350,000,000	2012/12/10	2013/05/30	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65217	Credit Suisse AG – HSI R Bull CBBC May 2013 S	350,000,000	2012/12/10	2013/05/30	87.50
65220	Credit Suisse AG – HSI R Bull CBBC May 2013 T	350,000,000	2012/12/10	2013/05/30	87.50
65221	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	350,000,000	2012/12/10	2013/03/27	87.50
65224	Credit Suisse AG – HSI R Bear CBBC Mar 2013 P	350,000,000	2012/12/10	2013/03/27	87.50
65223	Credit Suisse AG – HSI R Bear CBBC Mar 2013 R	350,000,000	2012/12/10	2013/03/27	87.50
65212	Credit Suisse AG – HSI R Bear CBBC Mar 2013 U	350,000,000	2012/12/10	2013/03/27	87.50
65215	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 K	150,000,000	2012/12/10	2013/03/27	37.50
65232	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 L	150,000,000	2012/12/10	2013/03/27	37.50
65210	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 M	300,000,000	2012/12/10	2013/03/27	75.00
65227	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 C	300,000,000	2012/12/10	2013/04/29	75.00
65226	UBS AG – HSI R Bull CBBC Jun 2013 Y	300,000,000	2012/12/10	2013/06/27	75.00
65225	UBS AG – HSI R Bull CBBC Aug 2013 J	300,000,000	2012/12/10	2013/08/29	75.00
65214	UBS AG – HSI R Bear CBBC Mar 2013 E	300,000,000	2012/12/10	2013/03/27	75.00
65231	UBS AG – HSI R Bear CBBC May 2013 U	300,000,000	2012/12/10	2013/05/30	75.00
65258	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 O	200,000,000	2012/12/11	2013/09/27	50.00
65246	Credit Suisse AG – HSI R Bull CBBC Jun 2013 U	350,000,000	2012/12/11	2013/06/27	87.50
65247	Credit Suisse AG – HSI R Bull CBBC Jun 2013 V	350,000,000	2012/12/11	2013/06/27	87.50
65248	Credit Suisse AG – HSI R Bear CBBC May 2013 H	350,000,000	2012/12/11	2013/05/30	87.50
65249	Credit Suisse AG – HSI R Bear CBBC May 2013 I	350,000,000	2012/12/11	2013/05/30	87.50
65252	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 C	150,000,000	2012/12/11	2013/12/30	37.50
65233	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 I	150,000,000	2012/12/11	2013/03/27	38.10
65234	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 E	150,000,000	2012/12/11	2013/03/27	37.80
65235	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 F	150,000,000	2012/12/11	2013/03/27	37.80
65245	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 G	150,000,000	2012/12/11	2013/03/27	37.50
65263	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 H	150,000,000	2012/12/11	2013/03/27	37.95
65264	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 I	150,000,000	2012/12/11	2013/03/27	38.10
65257	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 O	300,000,000	2012/12/11	2013/06/27	75.00
65255	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar13 L	300,000,000	2012/12/11	2013/03/27	75.00
65256	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 D	300,000,000	2012/12/11	2013/04/29	75.00
65250	UBS AG – HSI R Bull CBBC Jun 2013 H	300,000,000	2012/12/11	2013/06/27	75.00
65251	UBS AG – HSI R Bull CBBC Aug 2013 K	300,000,000	2012/12/11	2013/08/29	75.00
65260	UBS AG – HSI R Bear CBBC Mar 2013 H	300,000,000	2012/12/11	2013/03/27	75.00
65259	UBS AG – HSI R Bear CBBC Apr 2013 Z	300,000,000	2012/12/11	2013/04/29	75.00
65295	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 L	200,000,000	2012/12/12	2013/09/27	50.00
65296	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 N	200,000,000	2012/12/12	2013/09/27	50.00
65297	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 P	200,000,000	2012/12/12	2013/09/27	50.00
65298	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 Q	200,000,000	2012/12/12	2013/09/27	50.00
65267	Credit Suisse AG – HSI R Bull CBBC Jul 2013 C	350,000,000	2012/12/12	2013/07/30	87.50
65276	Credit Suisse AG – HSI R Bull CBBC Jul 2013 D	350,000,000	2012/12/12	2013/07/30	87.50
65280	Credit Suisse AG – HSI R Bull CBBC Jul 2013 E	350,000,000	2012/12/12	2013/07/30	87.50
65282	Credit Suisse AG – HSI R Bull CBBC Jul 2013 F	350,000,000	2012/12/12	2013/07/30	87.50
65281	Credit Suisse AG – HSI R Bull CBBC Jul 2013 G	350,000,000	2012/12/12	2013/07/30	87.50
65283	Credit Suisse AG – HSI R Bull CBBC Jul 2013 H	350,000,000	2012/12/12	2013/07/30	87.50
65265	Credit Suisse AG – HSI R Bear CBBC Mar 2013 C	350,000,000	2012/12/12	2013/03/27	87.50
65266	Credit Suisse AG – HSI R Bear CBBC Mar 2013 L	350,000,000	2012/12/12	2013/03/27	87.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65277	Credit Suisse AG – HSI R Bear CBBC Mar 2013 V	350,000,000	2012/12/12	2013/03/27	87.50
65284	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 D	150,000,000	2012/12/12	2013/12/30	37.50
65286	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 E	150,000,000	2012/12/12	2013/12/30	37.50
65287	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 F	150,000,000	2012/12/12	2013/12/30	37.50
65268	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 M	150,000,000	2012/12/12	2013/03/27	37.50
65269	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 N	150,000,000	2012/12/12	2013/03/27	37.50
65279	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 O	150,000,000	2012/12/12	2013/03/27	37.50
65299	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 J	150,000,000	2012/12/12	2013/03/27	37.65
65300	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 K	150,000,000	2012/12/12	2013/03/27	38.85
65302	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 L	150,000,000	2012/12/12	2013/03/27	37.95
65301	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 J	150,000,000	2012/12/12	2013/03/27	38.25
65274	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 P	300,000,000	2012/12/12	2013/06/27	75.00
65288	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 Q	300,000,000	2012/12/12	2013/06/27	75.00
65273	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 B	300,000,000	2012/12/12	2013/07/30	75.00
65275	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 E	300,000,000	2012/12/12	2013/04/29	75.00
65289	UBS AG – HSCEI R Bull CBBC Jun 2013 D	300,000,000	2012/12/12	2013/06/27	75.00
65294	UBS AG – HSI R Bull CBBC Jun 2013 W	300,000,000	2012/12/12	2013/06/27	75.00
65291	UBS AG – HSI R Bull CBBC Jul 2013 U	300,000,000	2012/12/12	2013/07/30	75.00
65292	UBS AG – HSI R Bull CBBC Aug 2013 L	300,000,000	2012/12/12	2013/08/29	75.00
65293	UBS AG – HSI R Bull CBBC Aug 2013 M	300,000,000	2012/12/12	2013/08/29	75.00
65290	UBS AG – HSI R Bull CBBC Sep 2013 E	300,000,000	2012/12/12	2013/09/27	75.00
65278	UBS AG – HSI R Bear CBBC Mar 2013 C	300,000,000	2012/12/12	2013/03/27	75.00
65271	UBS AG – HSI R Bear CBBC Mar 2013 Y	300,000,000	2012/12/12	2013/03/27	75.00
65272	UBS AG – HSI R Bear CBBC Apr 2013 E	300,000,000	2012/12/12	2013/04/29	75.00
68523 #	HK Bank – BOCL R Bull CBBC Sep 2013 A	200,000,000	2012/12/12	2013/09/18	13.00
65314	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 K	200,000,000	2012/12/13	2013/03/27	50.00
65308	Credit Suisse AG – HSI R Bull CBBC Jun 2013 W	350,000,000	2012/12/13	2013/06/27	87.50
65309	Credit Suisse AG – HSI R Bull CBBC Jun 2013 X	350,000,000	2012/12/13	2013/06/27	87.50
65313	Credit Suisse AG – HSI R Bear CBBC Apr 2013 N	350,000,000	2012/12/13	2013/04/29	87.50
65312	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 P	150,000,000	2012/12/13	2013/03/27	37.50
65303	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 P	300,000,000	2012/12/13	2013/06/27	75.00
65306	J P Morgan SP BV – HSI R Bull CBBC Jun 2013 Q	300,000,000	2012/12/13	2013/06/27	75.00
65305	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 B	300,000,000	2012/12/13	2013/07/30	75.00
65307	J P Morgan SP BV – HSI R Bull CBBC Jul 2013 C	300,000,000	2012/12/13	2013/07/30	75.00
65319	J P Morgan SP BV – HSI R Bear CBBC May 2013 T	300,000,000	2012/12/13	2013/05/30	75.00
65321	J P Morgan SP BV – HSI R Bear CBBC May 2013 U	300,000,000	2012/12/13	2013/05/30	75.00
65323	J P Morgan SP BV – HSI R Bear CBBC May 2013 V	300,000,000	2012/12/13	2013/05/30	75.00
65320	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 E	300,000,000	2012/12/13	2013/06/27	75.00
65322	J P Morgan SP BV – HSI R Bear CBBC Jun 2013 F	300,000,000	2012/12/13	2013/06/27	75.00
65310	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 R	300,000,000	2012/12/13	2013/06/27	75.00
65311	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May13 A	300,000,000	2012/12/13	2013/05/30	75.00
65316	UBS AG – HSI R Bull CBBC May 2013 Q	300,000,000	2012/12/13	2013/05/30	75.00
65317	UBS AG – HSI R Bull CBBC May 2013 U	300,000,000	2012/12/13	2013/05/30	75.00
65318	UBS AG – HSI R Bull CBBC Jul 2013 V	300,000,000	2012/12/13	2013/07/30	75.00
65315	UBS AG – HSI R Bear CBBC Apr 2013 F	300,000,000	2012/12/13	2013/04/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65348	Credit Suisse AG – HSI R Bull CBBC Aug 2013 Q	350,000,000	2012/12/14	2013/08/29	87.50
65349	Credit Suisse AG – HSI R Bull CBBC Aug 2013 R	350,000,000	2012/12/14	2013/08/29	87.50
65331	Credit Suisse AG – HSI R Bear CBBC Mar 2013 W	350,000,000	2012/12/14	2013/03/27	87.50
65339	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 Q	150,000,000	2012/12/14	2013/03/27	37.50
65327	HK Bank – ABC R Bull CBBC Dec 2013 A	60,000,000	2012/12/14	2013/12/18	15.00
65328	HK Bank – China Mobile R Bull CBBC Jun 2013 B	80,000,000	2012/12/14	2013/06/24	20.00
65330	HK Bank – CC Bank R Bull CBBC Jul 2013 C	80,000,000	2012/12/14	2013/07/22	20.00
65329	HK Bank – China Life R Bull CBBC Aug 2013 A	60,000,000	2012/12/14	2013/08/12	16.20
65326	HK Bank – A50 R Bull CBBC Jul 2013 A	100,000,000	2012/12/14	2013/07/22	25.00
65324	HK Bank – HSI R Bull CBBC Jun 2013 H	100,000,000	2012/12/14	2013/06/27	25.00
65325	HK Bank – HSI R Bull CBBC Sep 2013 C	400,000,000	2012/12/14	2013/09/27	100.00
65341	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 C	300,000,000	2012/12/14	2013/07/30	75.00
65343	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May13 B	300,000,000	2012/12/14	2013/05/30	102.00
65345	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May13 C	300,000,000	2012/12/14	2013/05/30	109.50
65347	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May13 D	300,000,000	2012/12/14	2013/05/30	117.00
65344	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun13 A	300,000,000	2012/12/14	2013/06/27	115.50
65346	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun13 B	300,000,000	2012/12/14	2013/06/27	123.00
65354	UBS AG – HSBC R Bear CBBC May 2013 A	100,000,000	2012/12/14	2013/05/20	25.00
65353	UBS AG – HSCEI R Bear CBBC Apr 2013 B	100,000,000	2012/12/14	2013/04/29	27.50
65350	UBS AG – HSI R Bull CBBC May 2013 T	300,000,000	2012/12/14	2013/05/30	75.00
65352	UBS AG – HSI R Bull CBBC Aug 2013 N	300,000,000	2012/12/14	2013/08/29	75.00
65338	UBS AG – HSI R Bear CBBC Mar 2013 S	300,000,000	2012/12/14	2013/03/27	75.00
65337	UBS AG – HSI R Bear CBBC Apr 2013 S	300,000,000	2012/12/14	2013/04/29	75.00
65333	UBS AG – HSI R Bear CBBC Dec 2013 A	300,000,000	2012/12/14	2013/12/30	75.00
65364	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 L	200,000,000	2012/12/17	2013/03/27	50.00
65379	Credit Suisse AG – HSI R Bull CBBC Sep 2013 B	350,000,000	2012/12/17	2013/09/27	87.50
65373	Credit Suisse AG – HSI R Bear CBBC Mar 2013 D	350,000,000	2012/12/17	2013/03/27	87.50
65365	Credit Suisse AG – HSI R Bear CBBC Sep 2013 A	350,000,000	2012/12/17	2013/09/27	115.50
65366	Credit Suisse AG – HSI R Bear CBBC Sep 2013 B	350,000,000	2012/12/17	2013/09/27	126.00
65367	Credit Suisse AG – HSI R Bear CBBC Sep 2013 C	350,000,000	2012/12/17	2013/09/27	136.50
65368	Credit Suisse AG – HSI R Bear CBBC Sep 2013 D	350,000,000	2012/12/17	2013/09/27	143.50
65369	Credit Suisse AG – HSI R Bear CBBC Sep 2013 E	350,000,000	2012/12/17	2013/09/27	157.50
65362	HK Bank – Anhui Conch R Bull CBBC Dec 2013 C	40,000,000	2012/12/17	2013/12/16	10.00
65363	HK Bank – COVS R Bull CBBC Nov 2013 B	40,000,000	2012/12/17	2013/11/18	11.20
65360	HK Bank – CNBM R Bull CBBC Aug 2013 B	50,000,000	2012/12/17	2013/08/26	12.50
65359	HK Bank – A50 R Bull CBBC Aug 2013 C	100,000,000	2012/12/17	2013/08/26	25.00
65358	HK Bank – HSCEI R Bull CBBC Oct 2013 A	68,000,000	2012/12/17	2013/10/30	17.00
65355	HK Bank – HSI R Bull CBBC Aug 2013 F	100,000,000	2012/12/17	2013/08/29	25.00
65356	HK Bank – HSI R Bear CBBC Oct 2013 B	400,000,000	2012/12/17	2013/10/30	100.00
65357	HK Bank – HSI R Bear CBBC Nov 2013 C	400,000,000	2012/12/17	2013/11/28	100.00
65375	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 S	300,000,000	2012/12/17	2013/06/27	75.00
65376	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 D	300,000,000	2012/12/17	2013/07/30	75.00
65377	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 F	300,000,000	2012/12/17	2013/04/29	75.00
65381	UBS AG – A50 China R Bull CBBC Mar 2013 G	100,000,000	2012/12/17	2013/03/25	25.00
65380	UBS AG – HSI R Bull CBBC Jul 2013 W	300,000,000	2012/12/17	2013/07/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65374	UBS AG – HSI R Bear CBBC May 2013 V	300,000,000	2012/12/17	2013/05/30	75.00
65382	UBS AG – Lenovo R Bear CBBC Jun 2013 B	50,000,000	2012/12/17	2013/06/10	12.50
65397	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 R	200,000,000	2012/12/18	2013/09/27	50.00
65407	Credit Suisse AG – HSI R Bull CBBC Sep 2013 C	350,000,000	2012/12/18	2013/09/27	87.50
65406	Credit Suisse AG – HSI R Bear CBBC May 2013 J	350,000,000	2012/12/18	2013/05/30	87.50
65398	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 G	150,000,000	2012/12/18	2013/12/30	37.50
65399	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 R	150,000,000	2012/12/18	2013/03/27	37.50
65400	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 S	150,000,000	2012/12/18	2013/03/27	37.50
65386	HK Bank – A50 R Bull CBBC Sep 2013 B	100,000,000	2012/12/18	2013/09/23	25.00
65387	HK Bank – A50 R Bear CBBC Nov 2013 B	40,000,000	2012/12/18	2013/11/25	10.00
65383	HK Bank – HSI R Bear CBBC Sep 2013 E	100,000,000	2012/12/18	2013/09/27	25.00
65384	HK Bank – HSI R Bear CBBC Oct 2013 C	100,000,000	2012/12/18	2013/10/30	25.00
65385	HK Bank – HSI R Bear CBBC Nov 2013 D	100,000,000	2012/12/18	2013/11/28	25.00
65390	HK Bank – Hutchison R Bull CBBC Aug 2013 A	60,000,000	2012/12/18	2013/08/05	15.00
65388	HK Bank – ICBC R Bull CBBC Aug 2013 C	80,000,000	2012/12/18	2013/08/26	20.00
65389	HK Bank – ICBC R Bear CBBC Dec 2013 A	50,000,000	2012/12/18	2013/12/09	12.50
65404	UBS AG – Sinopec Corp R Bull CBBC Jun 2013 C	100,000,000	2012/12/18	2013/06/24	25.00
65402	UBS AG – A50 China R Bull CBBC May 2013 A	100,000,000	2012/12/18	2013/05/13	25.00
65396	UBS AG – Galaxy Ent R Bull CBBC May 2013 B	50,000,000	2012/12/18	2013/05/13	15.75
65394	UBS AG – Galaxy Ent R Bear CBBC Mar 2013 B	50,000,000	2012/12/18	2013/03/18	17.00
65401	UBS AG – HSI R Bull CBBC Jun 2013 Z	300,000,000	2012/12/18	2013/06/27	75.00
65391	UBS AG – HSI R Bull CBBC Aug 2013 O	300,000,000	2012/12/18	2013/08/29	75.00
65392	UBS AG – HSI R Bull CBBC Sep 2013 F	300,000,000	2012/12/18	2013/09/27	75.00
65393	UBS AG – HSI R Bull CBBC Sep 2013 G	300,000,000	2012/12/18	2013/09/27	75.00
65405	UBS AG – SHK Ppt R Bull CBBC Jun 2013 A	100,000,000	2012/12/18	2013/06/17	25.00
65403	UBS AG – TCH R Bull CBBC Jun 2013 D	100,000,000	2012/12/18	2013/06/24	25.00
65426	BOCI Asia Ltd. – HSI R Bull CBBC May 2013 A	100,000,000	2012/12/19	2013/05/30	25.00
65425	BOCI Asia Ltd. – HSI R Bull CBBC Jun 2013 A	100,000,000	2012/12/19	2013/06/27	25.00
65430	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 A	200,000,000	2012/12/19	2013/12/30	50.00
65443	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 F	200,000,000	2012/12/19	2013/09/27	50.00
65431	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 S	200,000,000	2012/12/19	2013/09/27	50.00
65421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 M	200,000,000	2012/12/19	2013/03/27	50.00
65437	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 N	200,000,000	2012/12/19	2013/03/27	50.00
65438	Credit Suisse AG – HSI R Bull CBBC Jul 2013 I	350,000,000	2012/12/19	2013/07/30	87.50
65439	Credit Suisse AG – HSI R Bull CBBC Jul 2013 J	350,000,000	2012/12/19	2013/07/30	87.50
65440	Credit Suisse AG – HSI R Bull CBBC Jul 2013 K	350,000,000	2012/12/19	2013/07/30	87.50
65429	Credit Suisse AG – HSI R Bear CBBC Mar 2013 E	350,000,000	2012/12/19	2013/03/27	87.50
65432	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 H	150,000,000	2012/12/19	2013/12/30	37.50
65422	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 T	150,000,000	2012/12/19	2013/03/27	37.50
65423	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 U	150,000,000	2012/12/19	2013/03/27	37.50
65424	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 V	150,000,000	2012/12/19	2013/03/27	37.50
65433	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2013 W	150,000,000	2012/12/19	2013/03/27	37.50
65428	HK Bank – CC Bank R Bear CBBC Oct 2013 A	50,000,000	2012/12/19	2013/10/15	12.50
65418	HK Bank – CNOOC R Bull CBBC Sep 2013 A	50,000,000	2012/12/19	2013/09/30	12.50
65419	HK Bank – Lenovo R Bear CBBC Oct 2013 A	40,000,000	2012/12/19	2013/10/28	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65420	HK Bank – SHK Ppt R Bull CBBC Sep 2013 A	60,000,000	2012/12/19	2013/09/09	15.00
65417	HK Bank – TCH R Bull CBBC Sep 2013 A	50,000,000	2012/12/19	2013/09/30	12.50
65408	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 K	60,000,000	2012/12/19	2013/06/27	15.00
65413	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 L	60,000,000	2012/12/19	2013/06/27	15.00
65414	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 M	60,000,000	2012/12/19	2013/06/27	15.00
65415	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 N	60,000,000	2012/12/19	2013/06/27	15.00
65416	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jun 2013 O	60,000,000	2012/12/19	2013/06/27	15.00
65409	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 R	60,000,000	2012/12/19	2013/06/27	15.00
65410	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 S	60,000,000	2012/12/19	2013/06/27	15.00
65411	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 T	60,000,000	2012/12/19	2013/06/27	15.00
65412	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2013 U	60,000,000	2012/12/19	2013/06/27	15.00
65434	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 T	300,000,000	2012/12/19	2013/06/27	75.00
65435	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 E	300,000,000	2012/12/19	2013/07/30	75.00
65436	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 G	300,000,000	2012/12/19	2013/04/29	75.00
65448	UBS AG – HSI R Bull CBBC Jul 2013 X	300,000,000	2012/12/19	2013/07/30	75.00
65449	UBS AG – HSI R Bull CBBC Jul 2013 Y	300,000,000	2012/12/19	2013/07/30	75.00
65427	UBS AG – HSI R Bear CBBC Apr 2013 K	300,000,000	2012/12/19	2013/04/29	75.00
65485	Credit Suisse AG – HSI R Bull CBBC Aug 2013 S	350,000,000	2012/12/20	2013/08/29	87.50
65491	Credit Suisse AG – HSI R Bear CBBC Mar 2013 A	350,000,000	2012/12/20	2013/03/27	87.50
65463	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 M	150,000,000	2012/12/20	2013/03/27	38.10
65468	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 N	150,000,000	2012/12/20	2013/03/27	37.80
65475	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 O	150,000,000	2012/12/20	2013/03/27	37.95
65476	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 P	150,000,000	2012/12/20	2013/03/27	38.10
65465	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 K	150,000,000	2012/12/20	2013/03/27	38.55
65480	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 L	150,000,000	2012/12/20	2013/03/27	38.10
65481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 M	150,000,000	2012/12/20	2013/03/27	38.70
65454	HK Bank – ABC R Bull CBBC Oct 2013 A	60,000,000	2012/12/20	2013/10/21	15.00
65461	HK Bank – Cheung Kong R Bull CBBC Oct 2013 A	60,000,000	2012/12/20	2013/10/07	15.00
65462	HK Bank – Sinopec Corp R Bull CBBC Oct 2013 A	60,000,000	2012/12/20	2013/10/07	15.00
65455	HK Bank – Galaxy Ent R Bull CBBC May 2013 B	40,000,000	2012/12/20	2013/05/13	10.00
65453	HK Bank – HSCEI R Bear CBBC Oct 2013 A	68,000,000	2012/12/20	2013/10/30	17.00
65450	HK Bank – HSI R Bull CBBC Sep 2013 D	100,000,000	2012/12/20	2013/09/27	25.00
65451	HK Bank – HSI R Bear CBBC Nov 2013 E	400,000,000	2012/12/20	2013/11/28	100.00
65456	HK Bank – SHK Ppt R Bear CBBC Oct 2013 A	50,000,000	2012/12/20	2013/10/28	14.50
65483	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 U	300,000,000	2012/12/20	2013/06/27	75.00
65484	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 H	300,000,000	2012/12/20	2013/04/29	75.00
65488	UBS AG – HSI R Bull CBBC Jun 2013 I	300,000,000	2012/12/20	2013/06/27	75.00
65490	UBS AG – HSI R Bull CBBC Aug 2013 P	300,000,000	2012/12/20	2013/08/29	75.00
65486	UBS AG – HSI R Bull CBBC Sep 2013 H	300,000,000	2012/12/20	2013/09/27	75.00
65505	UBS AG – HSI R Bear CBBC Mar 2013 M	300,000,000	2012/12/20	2013/03/27	75.00
65503	UBS AG – HSI R Bear CBBC Apr 2013 J	300,000,000	2012/12/20	2013/04/29	75.00
65493	UBS AG – HSI R Bear CBBC May 2013 W	300,000,000	2012/12/20	2013/05/30	75.00
65495	UBS AG – HSI R Bear CBBC May 2013 X	300,000,000	2012/12/20	2013/05/30	75.00
65500	UBS AG – HSI R Bear CBBC May 2013 Y	300,000,000	2012/12/20	2013/05/30	75.00
65492	UBS AG – HSI R Bear CBBC Jun 2013 H	300,000,000	2012/12/20	2013/06/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65547	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 G	200,000,000	2012/12/21	2013/09/27	50.00
65506	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2013 O	200,000,000	2012/12/21	2013/03/27	50.00
65518	Credit Suisse AG – HSI R Bull CBBC Sep 2013 D	350,000,000	2012/12/21	2013/09/27	87.50
65545	Credit Suisse AG – HSI R Bull CBBC Sep 2013 E	350,000,000	2012/12/21	2013/09/27	87.50
65546	Credit Suisse AG – HSI R Bull CBBC Sep 2013 F	350,000,000	2012/12/21	2013/09/27	87.50
65516	Credit Suisse AG – HSI R Bear CBBC Mar 2013 B	350,000,000	2012/12/21	2013/03/27	87.50
65515	Credit Suisse AG – HSI R Bear CBBC Mar 2013 M	350,000,000	2012/12/21	2013/03/27	87.50
65507	Credit Suisse AG – HSI R Bear CBBC Mar 2013 O	350,000,000	2012/12/21	2013/03/27	87.50
65512	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Q	350,000,000	2012/12/21	2013/03/27	87.50
65517	Credit Suisse AG – HSI R Bear CBBC Mar 2013 S	350,000,000	2012/12/21	2013/03/27	87.50
65514	Credit Suisse AG – HSI R Bear CBBC Mar 2013 T	350,000,000	2012/12/21	2013/03/27	87.50
65511	Credit Suisse AG – HSI R Bear CBBC Mar 2013 Z	350,000,000	2012/12/21	2013/03/27	87.50
65538	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 I	150,000,000	2012/12/21	2013/12/30	37.50
65524	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 V	300,000,000	2012/12/21	2013/06/27	75.00
65529	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul13 F	300,000,000	2012/12/21	2013/07/30	75.00
65535	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr13 I	300,000,000	2012/12/21	2013/04/29	75.00
65549	UBS AG – A50 China R Bull CBBC Jun 2013 A	100,000,000	2012/12/21	2013/06/10	25.00
65548	UBS AG – A50 China R Bear CBBC Mar 2013 B	100,000,000	2012/12/21	2013/03/25	25.00
65555	UBS AG – HSI R Bull CBBC Aug 2013 Q	300,000,000	2012/12/21	2013/08/29	75.00
65510	UBS AG – HSI R Bear CBBC Mar 2013 O	300,000,000	2012/12/21	2013/03/27	75.00
65509	UBS AG – HSI R Bear CBBC May 2013 Z	300,000,000	2012/12/21	2013/05/30	75.00
65508	UBS AG – HSI R Bear CBBC Jul 2013 F	300,000,000	2012/12/21	2013/07/30	75.00
68532 #	HK Bank – HSI R Bear CBBC Jul 2013 A	200,000,000	2012/12/21	2013/07/30	21.20
65600	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 B	200,000,000	2012/12/24	2013/12/30	50.00
65578	Credit Suisse AG – HSI R Bull CBBC Jun 2013 Y	350,000,000	2012/12/24	2013/06/27	87.50
65579	Credit Suisse AG – HSI R Bull CBBC Jun 2013 Z	350,000,000	2012/12/24	2013/06/27	87.50
65584	Credit Suisse AG – HSI R Bear CBBC Mar 2013 J	350,000,000	2012/12/24	2013/03/27	87.50
65585	Credit Suisse AG – HSI R Bear CBBC Mar 2013 K	350,000,000	2012/12/24	2013/03/27	87.50
65591	Credit Suisse AG – HSI R Bear CBBC Mar 2013 P	350,000,000	2012/12/24	2013/03/27	87.50
65592	Credit Suisse AG – HSI R Bear CBBC Apr 2013 O	350,000,000	2012/12/24	2013/04/29	87.50
65593	Credit Suisse AG – HSI R Bear CBBC Apr 2013 P	350,000,000	2012/12/24	2013/04/29	87.50
65596	Credit Suisse AG – HSI R Bear CBBC Apr 2013 Q	350,000,000	2012/12/24	2013/04/29	87.50
65597	Credit Suisse AG – HSI R Bear CBBC Apr 2013 R	350,000,000	2012/12/24	2013/04/29	87.50
65575	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Dec 2013 J	150,000,000	2012/12/24	2013/12/30	37.50
65605	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 A	150,000,000	2012/12/24	2013/06/27	37.50
65606	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 B	150,000,000	2012/12/24	2013/06/27	37.50
65607	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 C	150,000,000	2012/12/24	2013/06/27	37.50
65608	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 D	150,000,000	2012/12/24	2013/06/27	37.50
65609	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 E	150,000,000	2012/12/24	2013/06/27	37.50
65610	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 F	150,000,000	2012/12/24	2013/06/27	37.50
65612	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 G	150,000,000	2012/12/24	2013/06/27	37.50
65613	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 Q	150,000,000	2012/12/24	2013/03/27	39.00
65614	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 R	150,000,000	2012/12/24	2013/03/27	38.85
65615	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 S	150,000,000	2012/12/24	2013/03/27	39.30
65618	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2013 T	150,000,000	2012/12/24	2013/03/27	38.70

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65572	HK Bank – BOCL R Bull CBBC Oct 2013 A	80,000,000	2012/12/24	2013/10/07	20.00
65570	HK Bank – BOCL R Bear CBBC Dec 2013 A	40,000,000	2012/12/24	2013/12/16	10.00
65566	HK Bank – A50 R Bull CBBC Oct 2013 B	100,000,000	2012/12/24	2013/10/28	25.00
65574	HK Bank – HKEx R Bull CBBC Oct 2013 A	60,000,000	2012/12/24	2013/10/28	15.00
65557	HK Bank – HSCEI R Bear CBBC Dec 2013 A	68,000,000	2012/12/24	2013/12/30	20.40
65556	HK Bank – HSI R Bull CBBC Jul 2013 F	100,000,000	2012/12/24	2013/07/30	25.00
65573	HK Bank – Sands China R Bull CBBC Aug 2013 B	50,000,000	2012/12/24	2013/08/26	12.50
65599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun13 W	300,000,000	2012/12/24	2013/06/27	75.00
65577	UBS AG – HSI R Bull CBBC Jun 2013 G	300,000,000	2012/12/24	2013/06/27	75.00
65603	UBS AG – HSI R Bear CBBC May 2013 A	300,000,000	2012/12/24	2013/05/30	75.00
65619	UBS AG – HSI R Bear CBBC May 2013 C	300,000,000	2012/12/24	2013/05/30	75.00
65604	UBS AG – HSI R Bear CBBC Jun 2013 I	300,000,000	2012/12/24	2013/06/27	75.00
65601	UBS AG – HSI R Bear CBBC Jul 2013 G	300,000,000	2012/12/24	2013/07/30	75.00
64074 #	HK Bank – AIA R Bull CBBC Sep 2013 A	320,000,000	2012/12/24	2013/09/30	10.24
65656	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Dec 2013 B	200,000,000	2012/12/27	2013/12/30	50.00
65648	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Dec 2013 C	200,000,000	2012/12/27	2013/12/30	50.00
65638	Credit Suisse AG – A50 China R Bull CBBC May 2013 A	60,000,000	2012/12/27	2013/05/24	15.00
65639	Credit Suisse AG – A50 China R Bull CBBC May 2013 B	60,000,000	2012/12/27	2013/05/24	15.00
65641	Credit Suisse AG – A50 China R Bull CBBC May 2013 C	60,000,000	2012/12/27	2013/05/24	15.00
65644	Credit Suisse AG – A50 China R Bear CBBC May 2013 A	60,000,000	2012/12/27	2013/05/24	15.00
65645	Credit Suisse AG – A50 China R Bear CBBC May 2013 B	60,000,000	2012/12/27	2013/05/24	15.00
65657	Credit Suisse AG – HSI R Bull CBBC Aug 2013 T	350,000,000	2012/12/27	2013/08/29	87.50
65646	Credit Suisse AG – HSI R Bear CBBC Mar 2013 R	350,000,000	2012/12/27	2013/03/27	87.50
65669	Credit Suisse AG – HSI R Bear CBBC Mar 2013 U	350,000,000	2012/12/27	2013/03/27	87.50
65647	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Jun 2013 H	150,000,000	2012/12/27	2013/06/27	37.50
65670	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 N	150,000,000	2012/12/27	2013/03/27	38.55
65673	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 O	150,000,000	2012/12/27	2013/03/27	39.15
65679	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 P	150,000,000	2012/12/27	2013/03/27	37.65
65680	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 Q	150,000,000	2012/12/27	2013/03/27	38.85
65681	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2013 R	150,000,000	2012/12/27	2013/03/27	38.55
65625	HK Bank – China Life R Bull CBBC Jun 2013 B	60,000,000	2012/12/27	2013/06/24	15.00
65626	HK Bank – China Life R Bear CBBC Jul 2013 B	50,000,000	2012/12/27	2013/07/18	18.00
65624	HK Bank – A50 R Bull CBBC Jul 2013 B	100,000,000	2012/12/27	2013/07/08	25.00
65620	HK Bank – HSCEI R Bull CBBC Sep 2013 B	100,000,000	2012/12/27	2013/09/27	25.00
65623	HK Bank – HSCEI R Bull CBBC Dec 2013 A	100,000,000	2012/12/27	2013/12/30	25.00
65622	HK Bank – HSCEI R Bear CBBC Sep 2013 A	68,000,000	2012/12/27	2013/09/27	17.00
65621	HK Bank – HSCEI R Bear CBBC Dec 2013 B	68,000,000	2012/12/27	2013/12/30	17.00
65663	UBS AG – China Life R Bull CBBC Jun 2013 C	100,000,000	2012/12/27	2013/06/24	26.00
65662	UBS AG – HSI R Bull CBBC Sep 2013 I	300,000,000	2012/12/27	2013/09/27	75.00
65658	UBS AG – HSI R Bear CBBC Aug 2013 C	300,000,000	2012/12/27	2013/08/29	78.00
65659	UBS AG – HSI R Bear CBBC Aug 2013 D	300,000,000	2012/12/27	2013/08/29	75.00
65661	UBS AG – HSI R Bear CBBC Aug 2013 E	300,000,000	2012/12/27	2013/08/29	75.00
65667	UBS AG – ICBC R Bull CBBC May 2013 A	100,000,000	2012/12/27	2013/05/13	25.00
65666	UBS AG – ICBC R Bear CBBC Jul 2013 A	100,000,000	2012/12/27	2013/07/08	25.00
65668	UBS AG – Petrochina R Bull CBBC Jun 2013 A	100,000,000	2012/12/27	2013/06/24	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65665	UBS AG – Ping An R Bull CBBC Aug 2013 B	100,000,000	2012/12/27	2013/08/05	25.00
65664	UBS AG – Ping An R Bear CBBC Jul 2013 A	100,000,000	2012/12/27	2013/07/22	25.00
65716	Credit Suisse AG – HSI R Bull CBBC Aug 2013 U	350,000,000	2012/12/28	2013/08/29	87.50
65717	Credit Suisse AG – HSI R Bull CBBC Aug 2013 V	350,000,000	2012/12/28	2013/08/29	87.50
65703	Credit Suisse AG – HSI R Bear CBBC Apr 2013 S	350,000,000	2012/12/28	2013/04/29	87.50
65706	Credit Suisse AG – HSI R Bear CBBC Apr 2013 T	350,000,000	2012/12/28	2013/04/29	87.50
65702	HK Bank – AIA R Bull CBBC Sep 2013 B	60,000,000	2012/12/28	2013/09/09	15.00
65696	HK Bank – China Mobile R Bear CBBC Dec 2013 A	50,000,000	2012/12/28	2013/12/23	12.50
65698	HK Bank – COVS R Bear CBBC Jul 2013 B	40,000,000	2012/12/28	2013/07/29	10.40
65682	HK Bank – A50 R Bull CBBC Sep 2013 C	100,000,000	2012/12/28	2013/09/02	25.00
65687	HK Bank – ICBC R Bear CBBC Oct 2013 A	40,000,000	2012/12/28	2013/10/28	10.00
65712	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 13X	300,000,000	2012/12/28	2013/06/27	75.00
65709	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr 13J	300,000,000	2012/12/28	2013/04/29	75.00
65711	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 13E	300,000,000	2012/12/28	2013/05/30	75.00
65724	UBS AG – BOCL R Bear CBBC Jun 2013 B	100,000,000	2012/12/28	2013/06/17	25.00
65725	UBS AG – HSI R Bull CBBC May 2013 V	300,000,000	2012/12/28	2013/05/30	75.00
65707	UBS AG – HSI R Bear CBBC Jun 2013 J	300,000,000	2012/12/28	2013/06/27	75.00
65728	BOCI Asia Ltd. – HSI R Bull CBBC Jul 2013 A	100,000,000	2012/12/31	2013/07/30	25.00
65731	BOCI Asia Ltd. – HSI R Bull CBBC Jul 2013 B	100,000,000	2012/12/31	2013/07/30	25.00
65733	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2013 E	200,000,000	2012/12/31	2013/09/27	50.00
65732	Credit Suisse AG – HSI R Bull CBBC Jul 2013 L	350,000,000	2012/12/31	2013/07/30	87.50
65739	Credit Suisse AG – HSI R Bull CBBC Jul 2013 M	350,000,000	2012/12/31	2013/07/30	87.50
65738	Credit Suisse AG – HSI R Bear CBBC Apr 2013 U	350,000,000	2012/12/31	2013/04/29	87.50
65744	UBS AG – HSCEI R Bull CBBC May 2013 D	100,000,000	2012/12/31	2013/05/30	25.00
65746	UBS AG – HSI R Bull CBBC Jul 2013 Z	300,000,000	2012/12/31	2013/07/30	75.00
65745	UBS AG – HSI R Bear CBBC May 2013 D	300,000,000	2012/12/31	2013/05/30	75.00
Total					80,018.42

Further issue

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q4	4	38.72
2012	Q1	4	37.53
	Q2	4	14.88
	Q3	4	10.47
	Q4	4	18.62

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2011	Q4	–	–
2012	Q1	–	–
	Q2	–	–
	Q3	–	–
	Q4	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2011	Q4	7	0.00
2012	Q1	7	0.00
	Q2	7	0.14
	Q3	7	0.49
	Q4	7	0.00

iShares

		No. of issues	Turnover value (HK\$ mil.)
2011	Q4	2	–
2012	Q1	2	–
	Q2	2	–
	Q3	2	–
	Q4	2	–

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2011	Q4	41,259.61	9,971.36	288,715
2012	Q1	41,517.67	12,232.86	343,014
	Q2	33,806.65	6,874.87	228,059
	Q3	33,483.43	5,395.70	206,623
	Q4	45,943.00	9,037.19	329,420

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2011	Q4	62	160.83	34,537
2012	Q1	61	200.54	35,663
	Q2	61	112.70	30,145
	Q3	64	84.31	26,114
	Q4	61	148.15	27,434

20 Most Advanced GEM Stocks

for 4th quarter 2012

Rank	Code	Stock	Closing price		% up
			End of Dec 2012	End of Sep 2012	
1	08198	MELCOLOT	0.415	0.091	A 356.04
2	08279	AGTECH HOLDINGS	0.380	0.131	190.08
3	08079	UL CREATIVITY	0.235	0.091	158.24
4	08025	ACR HOLDINGS	0.860	0.340	152.94
5	08009	CHI ENGY HOLD	0.235	0.095	147.37
6	08100	M DREAM INWORLD	0.196	0.090	117.78
7	08047	CHI NENG XIAO	0.159	0.076	109.21
8	08160	LEGEND STRAT	2.170	1.040	108.65
9	08045	NANDASOFT	0.490	0.250	96.00
10	08156	CHINA VANGUARD	0.096	0.050	92.00
11	08137	HONBRIDGE	0.850	0.465	82.80
12	08066	PHOENITRON HOLD	0.550	0.320	71.88
13	08189	TEDA BIOMEDICAL	0.680	0.410	65.85
14	08090	CHI ASSURANCE	1.070	0.660	62.12
15	08298	AKM INDUSTRIAL	0.340	0.210	61.90
16	08119	THIZ TECHNOLOGY	0.056	0.035	60.00
17	08167	NEO TELEMEDIA	0.800	0.510	56.86
18	08261	HAITIAN HYDRO	0.740	0.475	55.79
19	08089	C RAILLOGISTICS	0.215	0.144	49.31
20	08129	CH BIO CASSAVA	0.133	0.090	47.78

GEM Equities

20 Most Declined GEM Stocks

for 4th quarter 2012

Rank	Code	Stock	Closing price		% down
			End of Dec 2012	End of Sep 2012	
1	08202	INNO-TECH HOLD	0.035	0.250	-86.00
2	08116	C FORTUNE INV	0.020	0.075	-73.33
3	08215	FIRST CREDIT	0.093	0.255	-63.53
4	08228	NATIONAL ARTS	0.103	0.224 A	-54.02
5	08351	LARRY JEWELRY	0.249	0.475	-47.58
6	08078	CHINA3D DIGIT	0.165	0.300 A	-45.00
7	08178	CHINA INFO TECH	0.179	0.290	-38.28
8	08226	SUNRISE C TECH	0.255	0.390	-34.62
9	08192	GLOBAL ENERGY	0.027	0.041	-34.15
10	08061	ACROSSASIA	0.073	0.109	-33.03
11	08295	ASIAN CAPITAL H	0.097	0.140	-30.71
12	08337	DIRECTEL	0.150	0.216	-30.56
13	08050	YUNBO DIGITAL	0.117	0.168 A	-30.36
14	08340	VINCO FINANCIAL	0.121	0.172	-29.65
15	08071	CH NETCOMTECH	0.085	0.120	-29.17
16	08130	ZHI CHENG H	0.174	0.240	-27.50
17	08103	TAI SHING	0.154	0.208	-25.96
18	08096	RUIFENG PETRO	0.061	0.082	-25.61
19	08123	FIRST CHINA NET	0.104	0.136	-23.53
20	08086	EPRO	0.540	0.690	-21.74

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 4th quarter 2012

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08090	CHI ASSURANCE	734.15	8.12
2	08212	CELEBRATE INT	506.77	5.61
3	08207	CREDIT CHINA	471.82	5.22
4	08100	M DREAM INWORLD	373.35	4.13
5	08356	CNC HOLDINGS	369.39	4.09
6	08085	HK LIFE SCI	330.61	3.66
7	08266	M TEL	287.35	3.18
8	08167	NEO TELEMEDIA	271.28	3.00
9	08103	TAI SHING	246.88	2.73
10	08137	HONBRIDGE	230.42	2.55
11	08116	C FORTUNE INV	222.99	2.47
12	08179	GAYETY HLDGS	207.30	2.29
13	08239	MING KEI HOLD	201.00	2.22
14	08029	SUN INT'L	195.03	2.16
15	08086	EPRO	189.57	2.10
16	08032	VIVA CHINA	187.57	2.08
17	08215	FIRST CREDIT	183.88	2.03
18	08066	PHOENITRON HOLD	173.78	1.92
19	08008	SUNEVISION	166.14	1.84
20	08198	MELCOLOT	153.95	1.70
Total			5,703.24	63.11

GEM Equities

20 Most Active GEM Stock by Shares

for 4th quarter 2012

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08116	C FORTUNE INV	7,406.70	16.12
2	08179	GAYETY HLDGS	2,369.64	5.16
3	08100	M DREAM INWORLD	2,200.08	4.79
4	08202	INNO-TECH HOLD	2,143.91	4.67
5	08078	CHINA3D DIGIT	2,067.68	4.50
6	08266	M TEL	1,669.27	3.63
7	08032	VIVA CHINA	1,621.13	3.53
8	08041	LUXEY INT'L	1,476.74	3.21
9	08085	HK LIFE SCI	1,419.38	3.09
10	08103	TAI SHING	1,287.67	2.80
11	08212	CELEBRATE INT	1,284.37	2.80
12	08250	CHI NATURAL INV	1,149.98	2.50
13	08161	CHINALOTSYNERGY	1,010.69	2.20
14	08215	FIRST CREDIT	1,003.40	2.18
15	08079	UL CREATIVITY	952.49	2.07
16	08090	CHI ASSURANCE	786.18	1.71
17	08207	CREDIT CHINA	706.69	1.54
18	08156	CHINA VANGUARD	667.66	1.45
19	08228	NATIONAL ARTS	640.52	1.39
20	08192	GLOBAL ENERGY	565.21	1.23
Total			32,429.40	70.59

GEM Equities

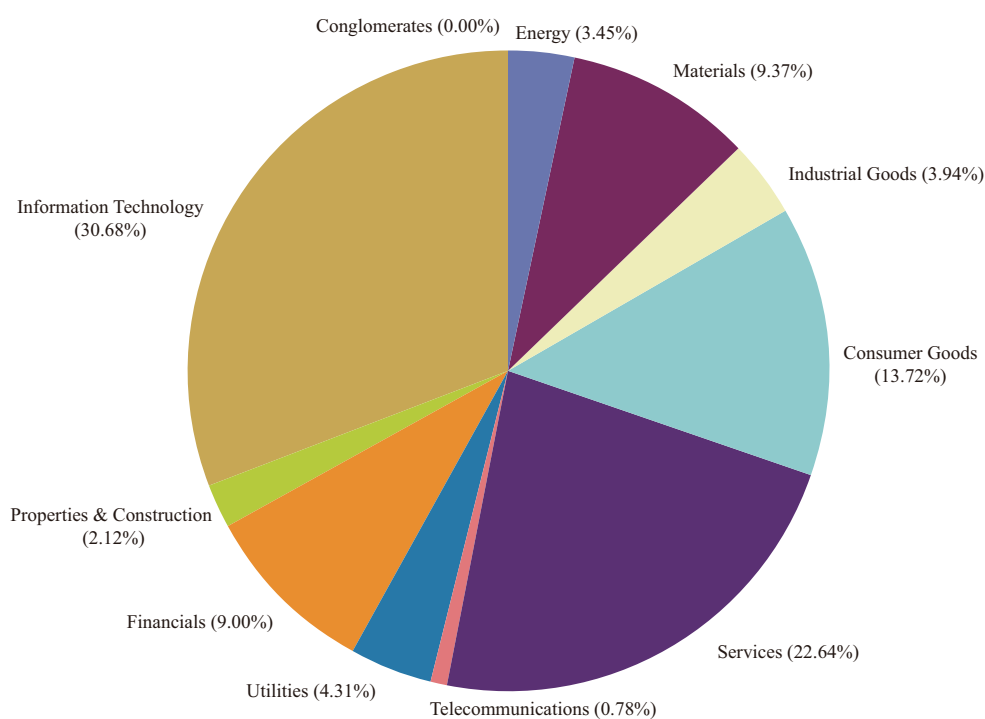
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2011	Q4	84,589.06
2012	Q1	84,618.24
	Q2	77,357.91
	Q3	73,436.35
	Q4	78,398.98

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 4th quarter end 2012

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	5,283.33	6.74
2	08008 Sunevision Holdings Ltd.	3,692.34	4.71
3	08122 LifeTech Scientific Corporation	3,000.00	3.83
4	08086 ePRO Ltd.	2,826.46	3.61
5	08035 Binhai Investment Co. Ltd.	2,636.84	3.36
6	08032 Viva China Holdings Ltd.	2,210.58	2.82
7	08167 Neo Telemedia Ltd.	1,861.54	2.37
8	08292 HC International, Inc.	1,742.69	2.22
9	08066 Phoenitron Holdings Ltd.	1,674.38	2.14
10	08090 China Assurance Finance Group Ltd.	1,651.01	2.11
11	08207 Credit China Holdings Ltd.	1,573.98	2.01
12	08279 AGTech Holdings Ltd.	1,460.70	1.86
13	08155 South China Land Ltd.	1,397.31	1.78
14	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. – H Shares	1,349.39	1.72
15	08232 China U-Ton Holdings Ltd.	1,327.20	1.69
16	08270 China Leason CBM & Shale Gas Group Co. Ltd.	1,301.03	1.66
17	08005 Yuxing InfoTech Investment Holdings Ltd.	1,293.03	1.65
18	08158 China Bio-Med Regeneration Technology Ltd.	1,262.00	1.61
19	08025 Asian Capital Resources (Holdings) Ltd.	1,191.55	1.52
20	08356 CNC Holdings Ltd.	1,189.06	1.52
21	08020 Chanceton Financial Group Ltd.	1,139.25	1.45
22	08083 China Innovationpay Group Ltd.	1,129.71	1.44
23	08128 China Ground Source Energy Ltd.	1,059.53	1.35
24	08198 MelcoLot Ltd.	949.75	1.21
25	08046 Heng Xin China Holdings Ltd.	948.12	1.21
26	08161 China LotSynergy Holdings Ltd.	916.67	1.17
27	08029 Sun International Resources Ltd.	871.94	1.11
28	08075 Media Asia Group Holdings Ltd.	840.98	1.07
29	08261 Haitian Hydropower International Ltd.	740.00	0.94
30	08240 China City Railway Transportation Technology Hldgs Co. Ltd.	712.00	0.91
31	08085 Hong Kong Life Sciences and Technologies Group Ltd.	697.89	0.89
32	08102 Shanghai Fudan Microelectronics Group Co. Ltd. – H Shares	678.52	0.87
33	08219 Branding China Group Ltd.	616.00	0.79
34	08306 China Nonferrous Metals Co. Ltd.	604.20	0.77
35	08325 Oriental City Group Holdings Ltd.	558.00	0.71
36	08016 China Data Broadcasting Holdings Ltd.	557.78	0.71
37	08030 Flying Financial Service Holdings Ltd.	530.69	0.68
38	08206 China Communication Telecom Services Co. Ltd.	501.77	0.64
39	08189 Tianjin TEDA Biomedical Engineering Co. Ltd. – H Shares	479.40	0.61
40	08203 Kaisun Energy Group Ltd.	471.06	0.60
41	08220 Bingo Group Holdings Ltd.	466.41	0.59
42	08231 Shanghai Fudan-Zhangjiang Bio-Pharmaceutical Co. Ltd. -H Shs	455.40	0.58
43	08173 Pan Asia Mining Ltd.	433.29	0.55
44	08109 Creative Energy Solutions Holdings Ltd.	423.66	0.54
45	08123 First China Financial Network Holdings Ltd.	419.01	0.53
46	08228 National Arts Holdings Ltd.	417.80	0.53
47	08160 Legend Strategy International Holdings Group Co. Ltd.	390.60	0.50
48	08153 Code Agriculture (Holdings) Ltd.	379.93	0.48
49	08250 China Natural Investment Co. Ltd.	372.75	0.48
50	08061 AcrossAsia Ltd.	369.72	0.47
Total		59,056.27	75.33
Market Total		78,398.98	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2011	Q4	5	549.31
2012	Q1	3	189.80
	Q2	4	617.66
	Q3	3	179.40
	Q4	2	139.50

Newly Listed Companies for GEM

for 4th quarter 2012

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08039	Pegasus Entertainment Holdings Ltd.	2012/10/31	400,000,000	90.00
08291	South West Eco Development Ltd.	2012/12/14	300,000,000	49.50
Total				139.50

Withdrawal of listed Companies – GEM

for 4th quarter 2012

Code	Company name	Last trading date	Delisted date
08043	ERA Mining Machinery Ltd.	2012/05/21	2012/10/04

Company Name Changes for GEM

for 4th quarter 2012

Code	Old name	New name	Effective date	Adoption date
08249	Ningbo Yidong Electronic Co. Ltd. – H Shares	Ningbo WanHao Holdings Co. Ltd. – H Shares	2012/10/10	2012/11/12

GEM Equities

Bonus Issues/Bonus Warrants for GEM

for 4th quarter 2012

Code	Company	Particulars	Ex-date
NIL			

Share Split/Consolidation for GEM

for 4th quarter 2012

Code	Company	Particulars	Effective date
08081	Computech Holdings Ltd.	Consolidation 10 into 1	2012/11/01
08078	China 3D Digital Entertainment Ltd.	Consolidation 20 into 1	2012/11/20
08032	Viva China Holdings Ltd.	Consolidation 5 into 1	2012/11/26

Rights Issues & Open Offers for GEM

for 4th quarter 2012

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Nov	08198	MelcoLot Ltd.	Open offer 3 for 1 @\$0.078	117.57
	08081	Computech Holdings Ltd.	Open offer 3 for 1 @\$0.20 (after consolidation 10 into 1)	83.65
Dec	08068	New Universe International Group Ltd.	Open offer 1 for 5 @\$0.10	44.26
	08050	Yunbo Digital Synergy Group Ltd.	Open offer 1 for 4 @\$0.10	15.63
	08228	National Arts Holdings Ltd.	Rts 4 for 1 @\$0.10	324.50
Total				585.61

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2012					
Oct	08178	CHINA INFO TECH	149,000,000	0.1800	26.82
	08022	TLT LOTTOTAIN	6,564,711	0.5000	3.28
Nov	08306	CH NONFERROUS	3,750,000,000	0.0800	300.00
	08250	CHI NATURAL INV	1,000,000,000	0.0500	50.00
	08202	INNO-TECH HOLD	19,012,424	0.0600	1.14
	08150	SEAMLESS GREEN	38,000,000	0.4000	15.20
	08103	TAI SHING	39,000,000	0.2000	7.80
Dec	08046	HENG XIN CHINA	300,000,000	0.3000	90.00
	08266	M TEL	124,288,000	0.1550	19.26
	08239	MING KEI HOLD	78,370,000	0.3500	27.43
	08150	SEAMLESS GREEN	18,920,000	0.4000	7.57
	08103	TAI SHING	24,000,000	0.1400	3.36
	08269	WEALTH GLORY	47,000,000	0.1700	7.99
Total					559.86

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2011	Q4	2	0.07	15.97
2012	Q1	2	–	15.97
	Q2	2	0.27	15.17
	Q3	1	0.13	8.00
	Q4	1	0.01	8.00

Newly Listed Equity GEM Warrants

for 4th quarter 2012

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					–

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Dec 2012 Close	End of Sep 2012 Close	Change %
S&P/HKEx LargeCap Index	27082.16	24927.48	+ 8.64%
S&P/HKEx GEM Index	381.51	348.25	+ 9.55%
HANG SENG INDEX	22656.92	20840.38	+ 8.72%
SECTORIAL INDICES			
Finance	31231.56	27879.70	+ 12.02%
Utilities	51797.82	50101.10	+ 3.39%
Properties	31383.11	29329.97	+ 7.00%
Commerce & Industry	13136.24	12401.73	+ 5.92%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4531.12	4081.79	+ 11.01%
HANG SENG CHINA ENTERPRISES INDEX	11436.16	9831.62	+ 16.32%

Stock Market Indices

WORLD INDICES

Index	End of Dec 2012 Close	End of Sep 2012 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	27,082.16	24,927.48	+ 8.64%
Hang Seng Index	22,656.92	20,840.38	+ 8.72%
AUSTRALIA			
All Ordinaries Index	4,664.59	4,406.34	+ 5.86%
JAKARTA			
Composite Index	4,316.69	4,262.56	+ 1.27%
JAPAN			
Nikkei (225)	10,395.18	8,870.16	+ 17.19%
KOREA			
Composite Index	1,997.05	1,996.21	+ 0.04%
KUALA LUMPUR			
Composite Index	1,688.95	1,636.66	+ 3.19%
MANILA			
Composite Index	5,812.73	5,346.10	+ 8.73%
NEW ZEALAND			
NZSE (50)	4,066.51	3,834.15	+ 6.06%
SHANGHAI			
A Shares Index	2,376.04	2,184.71	+ 8.76%
B Shares Index	244.92	220.00	+ 11.33%
SHENZHEN			
A Shares Index	919.80	893.20	+ 2.98%
B Shares Index	711.23	573.43	+ 24.03%
SINGAPORE			
FTSE Straits Times Index	3,167.08	3,060.34	+ 3.49%
TAIWAN			
Taipei Weighted Stock Index	7,699.50	7,715.16	- 0.20%
THAILAND			
SET Index	1,391.93	1,298.79	+ 7.17%
NEW YORK			
DJIA	13,104.14	13,437.13	- 2.48%
Nasdaq Composite Index	3,019.51	2,799.19	+ 7.87%
TORONTO			
S&P/TSX Composite Index	12,433.53	12,317.46	+ 0.94%
GERMANY			
DAX Capital Value Index	4,161.30	3,944.69	+ 5.49%
LONDON			
FTSE (100)	5,897.80	5,742.10	+ 2.71%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2012	4th Quarter 2011
Hang Seng Index Futures	4,882,303	5,717,012
Hang Seng Index Options	2,115,315	2,311,629
Mini-Hang Seng Index Futures	1,832,074	2,873,202
Mini-Hang Seng Index Options	351,069	256,356
H-shares Index Futures	4,276,867	4,067,025
H-shares Index Options	1,868,439	965,184
Mini H-shares Index Futures	377,472	500,325
Flexible Hang Seng Index Options	8,483	3,850
Flexible H-shares Index Options	4,990	14,740
HSI Dividend Point Index Futures	300	1,521
HSCEI Dividend Point Index Futures	16,478	22,740
HSI Volatility Index Futures ¹	249	–
IBOVESPA Futures ²	0	–
MICEX Index Futures ³	0	–
Sensex Index Futures ⁴	0	–
FTSE/JSE Top40 Futures ⁵	0	–
Stock Futures	114,886	100,586
Stock Options	14,366,259	17,604,585
Three-month HIBOR Futures	0	64
One-month HIBOR Futures	0	25
Three-year Exchange Fund Note Futures	0	0
RMB Currency Futures – USD/CNH Futures ⁶	18,105	–
Gold Futures	0	120
Total	30,233,289	34,438,964

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

⁶ Trading in RMB Currency Futures – USD/CNH Futures commenced on 17 Sep 2012

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q4	5,717,012	86,409
2012	Q1	5,140,968	99,821
	Q2	5,405,446	103,069
	Q3	4,924,352	124,794
	Q4	4,882,303	139,344

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q4	2,873,202	5,129
2012	Q1	2,349,431	6,653
	Q2	2,278,107	6,063
	Q3	2,086,235	7,350
	Q4	1,832,074	6,638

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q4	2,311,629	184,402
2012	Q1	2,163,972	284,586
	Q2	2,661,654	346,519
	Q3	2,289,204	364,960
	Q4	2,115,315	260,785

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2011	Q4	4,067,025	106,277
2012	Q1	3,860,446	117,764
	Q2	3,973,006	127,099
	Q3	3,813,494	142,443
	Q4	4,276,867	181,909

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2011	Q4	16,977.30	17,604,585	5,329,494
2012	Q1	9,756.42	14,545,164	4,971,657
	Q2	8,578.21	13,741,210	5,060,742
	Q3	7,354.69	13,428,912	4,658,740
	Q4	7,341.35	14,366,259	4,031,961

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 4th Quarter 2012

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	613.36	8.35%
ABC	ABC	72.45	0.99%
ANHUI CONCH	ACC	44.33	0.60%
AIA	AIA	254.20	3.46%
CHALCO	ALC	10.40	0.14%
BANK OF CHINA	BCL	136.12	1.85%
BANKCOMM	BCM	24.72	0.34%
BANK OF E ASIA	BEA	3.00	0.04%
BELLE INT'L	BIH	35.24	0.48%
BOC HONG KONG	BOC	35.16	0.48%
BYD COMPANY	BYD	45.00	0.61%
CCB	CCB	315.46	4.30%
CHINA COMM CONS	CCC	14.85	0.20%
CHINA COAL	CCE	43.99	0.60%
CHINA COSCO	CCS	15.83	0.22%
CHINA MOBILE	CHT	864.39	11.77%
CHINA UNICOM	CHU	64.61	0.88%
CITIC PACIFIC	CIT	23.80	0.32%
CHEUNG KONG	CKH	191.23	2.60%
CHINA LIFE	CLI	358.46	4.88%
CLP HOLDINGS	CLP	20.87	0.28%
CM BANK	CMB	44.98	0.61%
CNOOC	CNC	114.64	1.56%
CHINA OVERSEAS	COL	141.55	1.93%
CATHAY PAC AIR	CPA	3.33	0.05%
SINOPEC CORP	CPC	108.82	1.48%
CPIC	CPI	33.86	0.46%
CHINA RAIL CONS	CRC	8.68	0.12%
CHINA RAILWAY	CRG	3.62	0.05%
X WISECSI300ETF	CS3	0.80	0.01%
CHINA SHENHUA	CSE	93.78	1.28%
CITIC BANK	CTB	9.60	0.13%
CHINA TELECOM	CTC	24.64	0.34%
ESPRIT HOLDINGS	ESP	21.43	0.29%
FIH	FIH	6.88	0.09%
GALAXY ENT	GLX	108.92	1.48%
POWER ASSETS	HEH	30.21	0.41%
HKEX	HEX	295.85	4.03%
HSBC HOLDINGS	HKB	526.51	7.17%
HK & CHINA GAS	HKG	5.50	0.07%
HENDERSON LAND	HLD	35.56	0.48%
HUANENG POWER	HNP	6.52	0.09%
HANG SENG BANK	HSB	6.63	0.09%
HUTCHISON	HWL	240.20	3.27%
ICBC	ICB	269.77	3.67%
JIANGXI COPPER	JXC	46.73	0.64%
LI & FUNG	LIF	89.50	1.22%
MTR CORPORATION	MTR	3.60	0.05%
CNBM	NBM	74.60	1.02%
NEW WORLD DEV	NWD	33.82	0.46%
PING AN	PAI	391.78	5.34%
PETROCHINA	PEC	148.24	2.02%
PICC P&C	PIC	37.51	0.51%
R&F PROPERTIES	RFP	15.16	0.21%
SANDS CHINA LTD	SAN	66.68	0.91%
SHK PPT	SHK	213.24	2.90%
STANCHART	STC	14.23	0.19%
SWIRE PACIFIC A	SWA	33.29	0.45%
TENCENT	TCH	600.32	8.18%
TRACKER FUND	TRF	3.32	0.05%
WHARF HOLDINGS	WHL	50.59	0.69%
YANZHOU COAL	YZC	178.24	2.43%
ZIJIN MINING	ZJM	10.76	0.15%
Total		7,341.35	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 4th Quarter 2012

Options class		Contract volume	% of total
X ISHARES A50	A50	477,155	3.32%
ABC	ABC	69,756	0.49%
ANHUI CONCH	ACC	111,310	0.77%
AIA	AIA	295,789	2.06%
CHALCO	ALC	44,551	0.31%
BANK OF CHINA	BCL	1,101,914	7.67%
BANKCOMM	BCM	142,845	0.99%
BANK OF E ASIA	BEA	23,753	0.17%
BELLE INT'L	BIH	88,137	0.61%
BOC HONG KONG	BOC	125,185	0.87%
BYD COMPANY	BYD	81,117	0.56%
CCB	CCB	1,760,416	12.25%
CHINA COMM CONS	CCC	64,019	0.45%
CHINA COAL	CCE	139,854	0.97%
CHINA COSCO	CCS	100,043	0.70%
CHINA MOBILE	CHT	1,046,698	7.29%
CHINA UNICOM	CHU	78,969	0.55%
CITIC PACIFIC	CIT	42,525	0.30%
CHEUNG KONG	CKH	91,671	0.64%
CHINA LIFE	CLI	586,630	4.08%
CLP HOLDINGS	CLP	49,767	0.35%
CM BANK	CMB	216,936	1.51%
CNOOC	CNC	256,925	1.79%
CHINA OVERSEAS	COL	115,113	0.80%
CATHAY PAC AIR	CPA	8,173	0.06%
SINOPEC CORP	CPC	206,742	1.44%
CPIC	CPI	49,748	0.35%
CHINA RAIL CONS	CRC	40,190	0.28%
CHINA RAILWAY	CRG	25,018	0.17%
X WISECSI300ETF	CS3	1,189	0.01%
CHINA SHENHUA	CSE	174,586	1.22%
CITIC BANK	CTB	78,283	0.54%
CHINA TELECOM	CTC	77,520	0.54%
ESPRIT HOLDINGS	ESP	327,367	2.28%
FIH	FIH	27,709	0.19%
GALAXY ENT	GLX	121,777	0.85%
POWER ASSETS	HEH	53,558	0.37%
HKEX	HEX	920,301	6.41%
HSBC HOLDINGS	HKB	804,733	5.60%
HK & CHINA GAS	HKG	13,352	0.09%
HENDERSON LAND	HLD	24,772	0.17%
HUANENG POWER	HNP	25,386	0.18%
HANG SENG BANK	HSB	43,135	0.30%
HUTCHISON	HWL	157,153	1.09%
ICBC	ICB	1,429,497	9.95%
JIANGXI COPPER	JXC	100,845	0.70%
LI & FUNG	LIF	61,076	0.43%
MTR CORPORATION	MTR	13,865	0.10%
CNBM	NBM	106,952	0.74%
NEW WORLD DEV	NWD	63,828	0.44%
PING AN	PAI	475,796	3.31%
PETROCHINA	PEC	240,030	1.67%
PICC P&C	PIC	64,363	0.45%
R&F PROPERTIES	RFP	51,640	0.36%
SANDS CHINA LTD	SAN	171,823	1.20%
SHK PPT	SHK	118,613	0.83%
STANCHART	STC	70,298	0.49%
SWIRE PACIFIC A	SWA	31,669	0.22%
TENCENT	TCH	857,377	5.97%
TRACKER FUND	TRF	12,096	0.08%
WHARF HOLDINGS	WHL	38,440	0.27%
YANZHOU COAL	YZC	122,397	0.85%
ZIJIN MINING	ZJM	43,884	0.31%
Total		14,366,259	100.00%

Clearing & Settlement

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year / Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2011	Q4	76,802	44.37	186.44
2012	Q1	79,872	41.52	179.93
	Q2	70,419	43.03	179.84
	Q3	66,152	42.10	156.14
	Q4	76,640	50.63	198.54

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year / month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2011	Q4	342	83.77	97.11
2012	Q1	351	69.05	265.24
	Q2	329	115.35	254.73
	Q3	313	96.41	164.42
	Q4	378	114.69	213.72

Securities under CCASS Custody

(balances at quarter end)

Year / Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2011	Q4	3,694.24	70.17	9,599.91	50.23
2012	Q1	3,751.61	70.60	10,833.95	50.26
	Q2	3,713.59	70.37	10,006.88	50.29
	Q3	3,672.03	69.98	10,816.76	50.71
	Q4	3,663.66	70.30	12,330.06	51.73

Share quantity and market value of securities in non-HKD currency are included

Clearing & Settlement

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year / Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2011	Q4	857,422	100	161,396.94	100	59,305.03	100
2012	Q1	877,050	100	160,501.58	100	63,178.33	100
	Q2	737,075	100	135,165.30	100	50,166.62	100
	Q3	686,075	100	127,363.30	100	46,310.30	100
	Q4	804,910	100	127,520.75	100	55,786.39	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year / Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2011	Q4	56,834	96.38	18,005.04	94.13
2012	Q1	63,528	96.11	20,054.26	93.60
	Q2	52,112	96.20	15,324.06	94.21
	Q3	51,862	95.93	15,577.24	93.76
	Q4	61,879	95.79	19,248.65	92.00

Average Daily Settlement Efficiency of CNS Stock Positions

Year / Month		Settlement efficiency (%)	
		S day	S + 1 day
2011	Q4	99.89	99.99
2012	Q1	99.90	99.99
	Q2	99.91	99.99
	Q3	99.91	99.99
	Q4	99.90	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2012	4th Quarter 2011
Hang Seng Index Futures	139,344	86,409
Hang Seng Index Options	260,785	184,402
Mini-Hang Seng Index Futures	6,638	5,129
Mini-Hang Seng Index Options	12,363	3,873
H-shares Index Futures	181,909	106,277
H-shares Index Options	589,342	158,628
Mini H-shares Index Futures	2,276	1,520
Flexible Hang Seng Index Options	9,468	7,510
Flexible H-shares Index Options	9,821	3,500
HSI Dividend Point Index Futures	1,969	4,460
HSCEI Dividend Point Index Futures	48,879	34,270
HSI Volatility Index Futures ¹	8	–
IBOVESPA Futures ²	0	–
MICEX Index Futures ³	0	–
Sensex Index Futures ⁴	0	–
FTSE/JSE Top40 Futures ⁵	0	–
Stock Futures	19,516	11,277
Stock Options	4,031,961	5,329,494
Three-month HIBOR Futures	0	24
One-month HIBOR Futures	0	25
Three-year Exchange Fund Note Futures	0	0
RMB Currency Futures – USD/CNH Futures ⁶	3,673	–
Gold Futures	0	0
Total	5,317,952	5,936,798

¹ Trading in HSI Volatility Index Futures commenced on 20 Feb 2012

² Trading in IBOVESPA Futures commenced on 30 Mar 2012

³ Trading in MICEX Index Futures commenced on 30 Mar 2012

⁴ Trading in Sensex Index Futures commenced on 30 Mar 2012

⁵ Trading in FTSE/JSE Top40 Futures commenced on 30 Mar 2012

⁶ Trading in RMB Currency Futures – USD/CNH Futures commenced on 17 Sep 2012

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 4th Quarter 2012

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	122,653	3.04
ABC	ABC	23,932	0.59
ANHUI CONCH	ACC	16,898	0.42
AIA	AIA	104,212	2.58
CHALCO	ALC	11,836	0.29
BANK OF CHINA	BCL	479,586	11.89
BANKCOMM	BCM	59,625	1.48
BANK OF E ASIA	BEA	10,008	0.25
BELLE INT'L	BIH	9,571	0.24
BOC HONG KONG	BOC	37,305	0.93
BYD COMPANY	BYD	13,469	0.33
CCB	CCB	502,817	12.47
CHINA COMM CONS	CCC	13,210	0.33
CHINA COAL	CCE	42,771	1.06
CHINA COSCO	CCS	22,308	0.55
CHINA MOBILE	CHT	193,784	4.81
CHINA UNICOM	CHU	23,049	0.57
CITIC PACIFIC	CIT	13,692	0.34
CHEUNG KONG	CKH	25,068	0.62
CHINA LIFE	CLI	108,594	2.69
CLP HOLDINGS	CLP	18,218	0.45
CM BANK	CMB	102,321	2.54
CNOOC	CNC	92,233	2.29
CHINA OVERSEAS	COL	28,472	0.71
CATHAY PAC AIR	CPA	3,063	0.08
SINOPEC CORP	CPC	64,137	1.59
CPIC	CPI	18,258	0.45
CHINA RAIL CONS	CRC	13,580	0.34
CHINA RAILWAY	CRG	4,610	0.11
X WISECSI300ETF	CS3	385	0.01
CHINA SHENHUA	CSE	52,712	1.31
CITIC BANK	CTB	27,307	0.68
CHINA TELECOM	CTC	32,601	0.81
ESPRIT HOLDINGS	ESP	55,738	1.38
FIH	FIH	5,293	0.13
GALAXY ENT	GLX	37,344	0.93
POWER ASSETS	HEH	14,465	0.36
HKEX	HEX	195,080	4.84
HSBC HOLDINGS	HKB	200,275	4.97
HK & CHINA GAS	HKG	7,694	0.19
HENDERSON LAND	HLD	5,158	0.13
HUANENG POWER	HNP	7,245	0.18
HANG SENG BANK	HSB	11,651	0.29

Clearing & Settlement

Options class		Open interest (contracts)	% of total
HUTCHISON	HWL	34,022	0.84
ICBC	ICB	501,988	12.45
JIANGXI COPPER	JXC	15,172	0.38
LI & FUNG	LIF	15,537	0.39
MTR CORPORATION	MTR	7,472	0.19
CNBM	NBM	21,070	0.52
NEW WORLD DEV	NWD	13,553	0.34
PING AN	PAI	112,964	2.80
PETROCHINA	PEC	95,279	2.36
PICC P&C	PIC	15,770	0.39
R&F PROPERTIES	RFP	13,019	0.32
SANDS CHINA LTD	SAN	54,341	1.35
SHK PPT	SHK	20,702	0.51
STANCHART	STC	27,268	0.68
SWIRE PACIFIC A	SWA	6,625	0.16
TENCENT	TCH	180,764	4.48
TRACKER FUND	TRF	5,493	0.14
WHARF HOLDINGS	WHL	7,780	0.19
YANZHOU COAL	YZC	33,991	0.84
ZIJIN MINING	ZJM	16,923	0.42
Total		4,031,961	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q4	555 (980)	498 (916)	36 (43)	21 (21)
2012	Q1	559 (984)	500 (918)	38 (45)	21 (21)
	Q2	563 (988)	503 (921)	39 (46)	21 (21)
	Q3	568 (994)	506 (923)	41 (50)	21 (21)
	Q4	571 (996)	511 (928)	39 (47)	21 (21)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2011	Q4	228 (252)	182 (204)	–	46 (48)
2012	Q1	230 (254)	182 (204)	–	48 (50)
	Q2	234 (258)	185 (207)	–	49 (51)
	Q3	235 (259)	185 (207)	–	50 (52)
	Q4	234 (258)	185 (207)	–	49 (51)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year / month		Clearing Participants	General Clearing Participants
2011	Q4	156	10
2012	Q1	156	10
	Q2	158	10
	Q3	158	9
	Q4	158	9

SEOCH Participants

Year / month		Direct Clearing Participants	General Clearing Participants
2011	Q4	59	7
2012	Q1	59	8
	Q2	59	8
	Q3	62	8
	Q4	63	9

HKSCC Participants

Year / month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2011	Q4	482	8	47
2012	Q1	487	8	48
	Q2	489	8	48
	Q3	491	9	47
	Q4	493	9	46

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEOH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities