From:

Sent: Thursday, October 8, 2020 3:37 PM

To: response

Subject: Consultation Paper on Review of Listing Rules Relating to Disciplinary Powers and

Sanctions

WARNING: External email, please exercise caution.

Dear Sirs,

For the Consultation Paper on Review of Listing Rules Relating to Disciplinary Powers and Sanctions, I, Yu Wai Kiu, would like to response that company secretaries should be a qualified professionals, and as such, disciplinary issues should be left to the relevant professional institutes and dealt with in accordance with applicable professional standards.

Company secretaries are acting as a supporting role to the Board of Directors but not the final decision makers, the paragraph 93(c) of the Consultation Paper for the imputation of liability on the company secretary is unfair and unnecessary.

Please be fair and to delete paragraph 93(c) of the Consultation Paper on Review of Listing Rules Relating to Disciplinary Powers and Sanctions.

Thank you for your kind attention to this matter.

Best regards, Yu Wai Kiu