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香港場外結算有限公司

(香港交易及結算所有限公司附屬公司)

**OTC CLEARING HONG KONG LIMITED**

(A subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

**Subject: Post Release Tests (“PRTs”) and Rollout for the Upgrade of Securities and Derivatives Network (“SDNet/2”) WAN Gateways**

**Enquiry: OTC Clear Operations Team ([OTC\\_Operations@hkex.com.hk](mailto:OTC_Operations@hkex.com.hk))**

Reference is made to the circular dated 16 January 2024 (Ref: [OTCO/002/2024](#)). Following the successful completion of the Connectivity Test on 24 February 2024, Hong Kong Exchanges and Clearing Limited (“HKEX”) will upgrade the Securities and Derivatives Network (“SDNET/2”) primary and secondary WAN Gateways as initiated by HKEX’s accredited vendors on 2 and 9 March 2024, respectively.

To ensure a smooth rollout, two Post Release Tests (“PRTs”) will be arranged on 2 and 9 March 2024. Clearing Members (“CMs”) are highly recommended to participate in the PRTs to verify their connectivity readiness to OTC Account Service Information System (“OASIS”) and SFTP service (applicable for subscribed members). Details of PRTs will be provided to CMs who registered to join and below are the highlights of PRTs:

Date	Time	Activities
2 March 2024 (Saturday)	13:00 – 15:00	<p>Primary WAN Gateways will be upgraded with new models while the Secondary WAN Gateways remain unchanged. The aim is to verify connections from SDNet/2 with systems operating at HKEX.</p> <p>Suggested Verification:</p> <ul style="list-style-type: none"> <li>- Perform logon test for OASIS and sFTP (if applicable) to verify the connectivity of Primary WAN Gateways</li> </ul>
9 March 2024 (Saturday)	13:00 – 15:00	<p>The Secondary WAN Gateways will also be upgraded with new models. The aim is to verify connections from SDNet/2 with systems operating in HKEX.</p> <p>Suggested Verification:</p> <ul style="list-style-type: none"> <li>- Perform logon test for OASIS and sFTP (if applicable) to verify the connectivity of the WAN Gateways</li> </ul>

CMs are highly recommended to coordinate with their IT teams and/or vendors for necessary planning and preparation. Moreover, CMs should secure sufficient operation staff and support from their IT teams and/or vendors at all times during the PRTs. For any enquiries, please contact the OTC Clear Operations Team via [OTC\\_Operations@hkex.com.hk](mailto:OTC_Operations@hkex.com.hk)

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*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*