日KEX 香港交易所

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香港聯合交易所有限公司 (香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED (A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – FREQUENTLY ASKED QUESTIONS (FAQ), PRESENTATION MATERIALS AND END-TO-END (E2E) TEST SESSION

Enquiry: Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Reference is made to the Exchange circular (Ref. No.: <u>CT/112/21</u>) regarding the implementation of the HKIDR.

FAQ and Presentation Materials

In light of the enquiries received by the Exchange on the HKIDR and to address some of the frequently asked questions raised by Relevant Regulatory Intermediaries (RRIs), we have prepared a set of <u>FAQs</u> pertaining to topics related to BCAN submission and order tagging. The FAQ document is available on the HKIDR <u>web corner</u> and will be further updated from time to time as required.

Please note that the <u>video presentation</u> and <u>presentation slides</u> of the previously-held Industry Webinar on the HKIDR and OTC Securities Transaction Reporting Regime (OTCR) are now available on the HKIDR <u>web</u> <u>corner</u> for reference.

E2E Test Session

To allow RRIs to get ready for the implementation of the HKIDR, an E2E Test Session is expected to be arranged in Q2 2022. Details will be announced in due course.

Sally Kwok

Senior Vice President

Trading Department

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¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here.</u>