

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – EXTENSION OF END-TO-END (E2E) TEST**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Extension of E2E Test

Further to the previous Exchange circulars (Ref. No.: [CT/030/22](#), [CT/046/22](#) and [CT/078/22](#)), in response to requests by some Relevant Regulated Intermediaries (RRIs)² to extend the test period for the E2E Test, please note that the end date of the E2E Test for the HKIDR (including two new features of the Orion Central Gateway – Securities Market) is extended from 15 July 2022 to **5 August 2022**. RRIs who have not yet completed the E2E Test are reminded that they need to complete the test by 5 August 2022.

After completion of the E2E Test, all RRIs should submit positive testing confirmation reply to the SFC (for BCAN-CID Mapping File and reporting forms submission) and HKEX (for BCAN tagging in OTP-C³ which is applicable to Exchange Participants (EPs) only) respectively **by 5 August 2022**.

Please note that the SFC will be performing scheduled system maintenance on 18 July 2022. RRIs may not be able to access Electronic Communication Platform (ECP) via the SFC's WINGS platform during the system maintenance period.

Following the successful completion of the E2E Test, Market Rehearsals (MRs) for systems relating to the HKIDR will be arranged in due course for RRIs to verify their readiness before the launch of the HKIDR. Details of the MRs will be announced later.

Notification of SDNet/2 Production Line by Non-Exchange Participant (EP) RRIs Using ECP Secure File Transfer Protocol (SFTP) Interface for File Submission

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.

³ Orion Trading Platform - Securities Market

Non-EP RRIs who intend to make use of the ECP (SFTP) interface to submit the BCAN-CID Mapping File and Reporting Forms during the upcoming MRs and after the launch of the HKIDR will be required to install a SDNet/2 production line for this purpose. Similar to the installation of the testing line, they need to approach any of the [SDNet/2 Accredited Vendors](#) as soon as possible for the line installation given the installation lead-time required, and will be subject to a charge by the accredited vendor on this.

Once the SDNet/2 production line is installed, the non-EP RRI should complete the updated “ECP User Types and Delegated Administrator Rights Application / Maintenance Form” form (which will be made available on the [HKEX website](#) on 8 August 2022), and submit to HKEX via [ECP Web Interface](#) starting from 8 August 2022.

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