

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **HONG KONG INVESTOR IDENTIFICATION REGIME (HKIDR) – REMINDER ON
END-TO-END (E2E) TEST**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

Reference is made to the previous Exchange circulars (Ref. No.: [CT/078/22](#) and [CT/103/22](#)) regarding E2E Test for the HKIDR.

Completion of E2E Test

Relevant Regulated Intermediaries (RRIs)² are reminded to complete the mandatory E2E Test for the HKIDR on or before **5 August 2022** in order to proceed to the Market Rehearsals (MRs) following the successful completion of the E2E Test. Details of the MRs will be announced in due course.

RRIs are also reminded to submit (if they have not already submitted) the E2E Test confirmation reply forms (contained in the E2E Test package) upon completion of the E2E Test on or before **5 August 2022** to:

1. The SFC – for E2E Test on the submission of the BCAN-CID Mapping File and Reporting Forms (applicable to all RRIs); and/or
2. HKEX – for E2E Test on BCAN tagging for order submission in OTP-C³ (applicable to RRIs who are Exchange Participants (EP) only).

Please also note that the SFC E2E Test account will expire at 8:00 pm on 5 August 2022 and RRIs will not be able to use such account to log on to the Electronic Communication Platform (ECP) Web Interface by then.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.

³ Orion Trading Platform - Securities Market

Notification of using ECP Secure File Transfer Protocol (SFTP) Interface by non-EP RRI

For Non-EP RRI who intend to leverage on the ECP (SFTP) interface during the MRs and after the launch of the HKIDR, they are reminded that the installation of a SDNet/2 production line is required. Similar to the installation of the testing line, they need to approach any of the [SDNet/2 Accredited Vendors](#) as soon as possible for the line installation given the installation lead-time required, and will be subject to a charge by the accredited vendor on this.

Once the SDNet/2 production line is installed, the Responsible Officers of the relevant licensed corporations and Executive Officers of the relevant registered institutions should submit the updated “ECP User Types and Delegated Administrator Rights Application / Maintenance Form” (which will be made available on the [HKEX website](#) on 15 August 2022) on the Exchange’s production [ECP Web Interface](#) via the SFC’s WINGS platform with their individual WINGS account starting from 15 August 2022 (this is as opposed to the previously announced date of 8 August 2022 since the SFC will be performing system maintenance from 8 August 2022 to 12 August 2022). Starting from 15 August 2022, the production version of the ECP will be updated to include a new “SFC WINGS” button on the login page to allow RRI to login via the SFC’s WINGS platform. RRI will also be able to access the “BCAN-CID Mapping File Client Consent” and “BCAN-CID Mapping File Encryption” functions in the production version of the ECP, however, these functions should not be used ahead of the MR.

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