

Guiding Note on the Marketing Programme for Level 1 Mobile Application Service

Securities Market Data

(For Real-time Information Vendors Who Provide Level 1 Mobile Application Service)

PURPOSE

This document aims to provide guidance to HKEX Information Services Limited (“HKEX-IS”) licensed real-time market data information vendors (“IVs”) who enroll in the Marketing Programme for Level 1 Mobile Application Service (“the Programme”) for Securities Market data. The Programme was launched in December 2023 (duration subject to regular review).

DETAILS

Application

1. IVs who wish to enroll in the Programme are required to submit the Service Application Form (“Form A3”) and screenshots of data display to HKEX-IS for consideration and approval. Upon approval, the Level 1 Mobile Application Service will be added to Schedule 4 Memorandum of Permitted Purpose (“MOPP”) of the Market Data Vendor Licence Agreement (“Vendor Agreement”).

Eligibility

2. IVs with standalone mobile application service(s).

Subscriber Fees

3. The Subscriber Fees under the Programme are set out below:

Discounted Subscriber Fees	<ul style="list-style-type: none">• HK\$10 per Subscriber Unit per month• IVs may provide Third Party Service, subject to minimum monthly Subscriber Fees of HK\$6,000 for Securities Market Data applicable to each Third Party Client who is not an Exchange Participant of SEHK. Please see Guiding Note on Third Party Service for details. <p>Clients who currently subscribe the Level 1 Mobile Application Service at HK\$38 per Subscriber Unit per month are automatically eligible for the discounted subscriber fees under the Programme with effect from 1 December 2023. Only new joiners are required to submit applicable application form(s) for our prior approval.</p>
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Data Content

4. **Same as Continuous Access Level 1:**
Bid/ask price and volume, high/low, last traded price, nominal, cumulative turnover/volume, opening/closing, IEV & IEP from auction trading session, previous transactions

Requirements and Restrictions

5. This new fee is applicable to mobile application service only. The display device is a mobile device which runs on a mobile operating system and the mobile application service is downloaded either from a major mobile application distribution platform (e.g. App Store, Google Play) or IV's website. Unique Subscriber ID is required to disallow multiple Subscriber login or concurrent access.
6. IVs who provide streaming Level 1 data service that allows access from terminals or desktop applications is subject to the Subscriber Fees of HK\$120 per Subscriber Unit per month under Continuous Access Level 1.
7. Similar to other real-time data services, IVs are subject to a minimum monthly Subscriber Fees of HK\$6,000 for Securities Market Data ([Licence Fees Remarks\(3\)](#)).

Reporting Requirements

8. IVs are required to submit the Monthly Subscriber Report to HKEX-IS as per clause 5.5 of the Market Data Vendor Licence Agreement. IVs are required to report all Subscriber units (in Summary Subscriber Report) and Subscriber details (in Detailed Subscriber Report) using the Monthly Subscriber Report template provided to IVs each month.
9. IVs are required to maintain the Unique IDs of Subscribers for audit purposes as per clause 5.6 of the Market Data Vendor Licence Agreement.
10. All IVs must obtain necessary consent from Subscribers so as to ensure compliance with the requirements of Personal Data (Privacy) Ordinance of the Laws of Hong Kong as well as the provisions of the Vendor Agreement (including but not limited to clauses 4.6, 5.6, 5.7 and 5.8) which relate to Subscriber information and records that could be accessed by HKEX-IS.

Should there be any questions regarding the Programme, please send questions to MarketData@hkex.com.hk.