4.1 Settlement and Depository

NOM 2 DENOMINATION REQUEST (CORPORATE ACTION RELATED)

WHEN TO USE:

- Participants may entitle to receive or subscribe non-eligible securities in CCASS due to coporate action events announced by Issuers. If Participants wish to receive relevant share certificates in various denomination on behalf of their clients, they are required to submit a denomination request to HKSCC via this eService before stipuluated deadline. Otherwise, one share certificate representing the entire receivable quantity of their entitlement will be issued.
- This eService is available at any time. However, Participant can only submit denomination request for specific corporate action event within the stipulated period as stated at Participant and Members Circular issued by HKSCC.

ABOUT THIS eSERVICE:

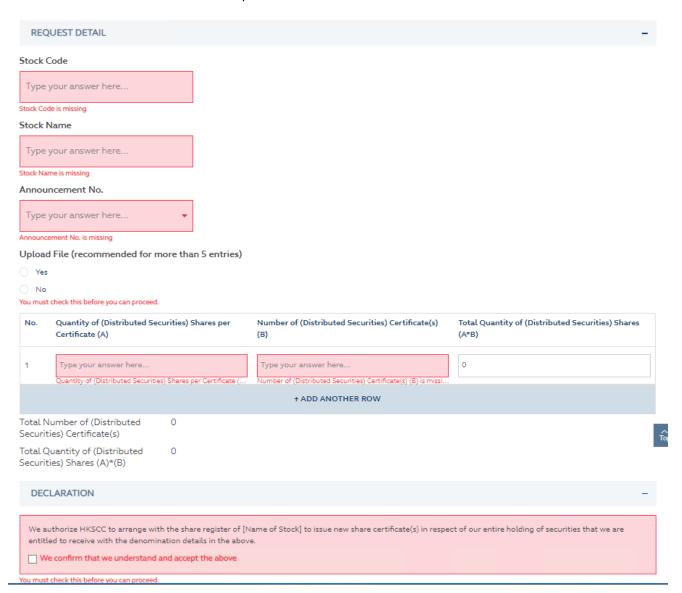
- > This eService is available to business users granted with the access rights EU_CommonNomineeService.
- > Email and Dashboard notifications will be sent to both maker and checker when there is change related to eService status.
- > PDF report which contains the denomination request information will be available to Participant after the workflow on the denomination request is ended.

SAMPLE UX:

Users can expand all sections to view all the fields.



There is built-in validation on the data input.



FIELDS HIGHLIGHTS:

#	Field Name	Highlights
COMPANY INFORMATION		
1	From	- Display the Company name of the Participant
2	As	Display the role of the Participant and Participant ID
REQUEST DETAILS		
3	Stock Code	 Field format: Positive integer Auto suggest 10 stock codes for selection base on input Field format: Alphanumeric
4	Stock Name	 Stock name is auto populated based on the selection of stock code at field number 3
5	Announcement No.	Field format: AlphanumericDropdown box is available to select an announcement no.
6	Upload file o Yes o No	 Field format: Radio button Refer to description of field number 7 to 8 when "Yes" is selected
7	Download Template	- A link to download an Excel template
8	UPLOAD	 Upload an Excel template to fill the denomination table as described at field number 9 to 10 Recommended to upload an Excel template if denomination request is more than 5 entries
9	Quantity of (Distributed Securities) Shares per Certificate (A)	- Field format: Positive integer
10	Number of (Distributed Securities) Certificate(s) (B)	- Field format: Positive integer
11	Total Quantity of (Distributed Securities) Shares (A*B)	Input disabled.Auto populated based on input of field number 9 to 10
12	+ ADD ANOTHER ROW	 Add a new row to provide denomination details by clicking the button
DECLARATION		
13	Declaration Box	- Anknowledge the declaration by ticking the checkbox
CONTACT INFORMATION		
14	Name of Contact Person	 Field format: Alphanumeric Auto-filled based on maker's profile and content is editable.
15	Email Address	Field format: AlphanumericAuto-filled based on maker's profile and content is editable.
16	Telephone Number	 Field format: Numeric Auto-filled based on maker's profile and content is editable.
17	+ CONTACT PERSON	 Provide additional contract information by clicking the button Participant can optionally provide up to 5 contact information.