4.1 Settlement and Depository

SET 2 EARLY BUY-IN REQUEST TO HKSCC

WHEN TO USE:

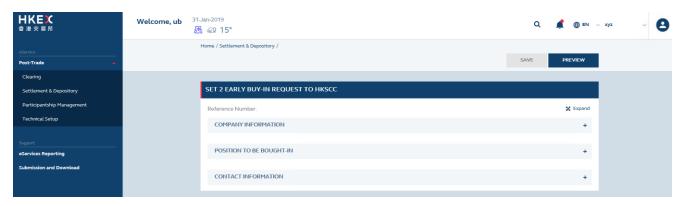
- ➤ When a Clearing Participant foresees a short in CNS delivery on T+2, this participant can request HKSCC to perform buy-in earlier than normal date T+3.
- > Available from 08:00 to 20:00 on CCASS business day.

ABOUT THIS eSERVICE:

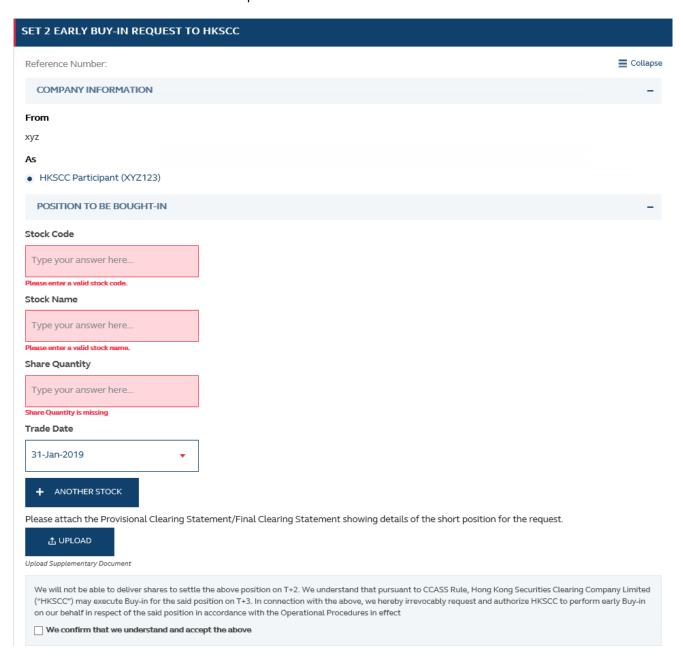
- > This eService is available to Business users granted with the access rights EU_SecuritiesSettlement to apply for early buy-in.
- > This eService is equivalent to "EARLY BUY-IN REQUEST FORM" (CCASS-Form 60).
- ➤ To support the application, maker must upload relevant supplementary document(s) using the **UPLOAD** function. File types supported are *.pdf, *.xls or *.xlsx and maker can upload at most 5 files with file size less than or equal to 20Mb each.
- > Email and Dashboard notifications will be sent to both maker and checker once there is change relating to eService status.

SAMPLE UX:

Users can expand all sections to view all the fields.



There is built-in validation on the data input.



FIELDS HIGHLIGHTS:

#	Field Name	Highlights
COMPANY INFORMATION		
1	From	- Display the company name on behalf of which the maker is
2	As	acting.Only applicable to HKSCC Participant.
POSITION TO BE BOUGHT-IN		
3	Stock Code	 Only applicable to Hong Kong Market. The eService will suggest related Stock Name once maker enters the Stock code and vice versa. All fields are mandatory. Built-in validation: Supplementary document(s) must be uploaded; Record with same stock on same Trade Date will be treated as duplicate. At most 30 stocks can be added in one submission. At most 5 files with file size 20Mb each can be uploaded in one submission.
4	Stock Name	
5	Share Quantity	
7	Trade Date	
8	+ ANOTHER STOCK	
9	UPLOAD	
10	Declaration checkbox	
CONTACT INFORMATION		
11	Name of Contact Person	 Auto-filled based on maker's profile and editable. If group email is defined, fill in group email instead of maker's email. Built-in validation: Only on the format of email address and telephone number but not on data correctness. Maker can provide up to 5 contact information.
12	Email Address	
13	Telephone Number	
14	+CONTACT PERSON	