4.2 Participantship Management

AC 3 SELECTED CURRENCY DESIGNATION REQUEST TO HKCC / SEOCH

WHEN TO USE:

- When a HKCC/SEOCH Participant designates a Selected Currency for the payment of marign shortfall in each CCMS collateral account to HKCC/SEOCH through Client Connect.
- > The eService is available at any time.

ABOUT THIS eSERVICE:

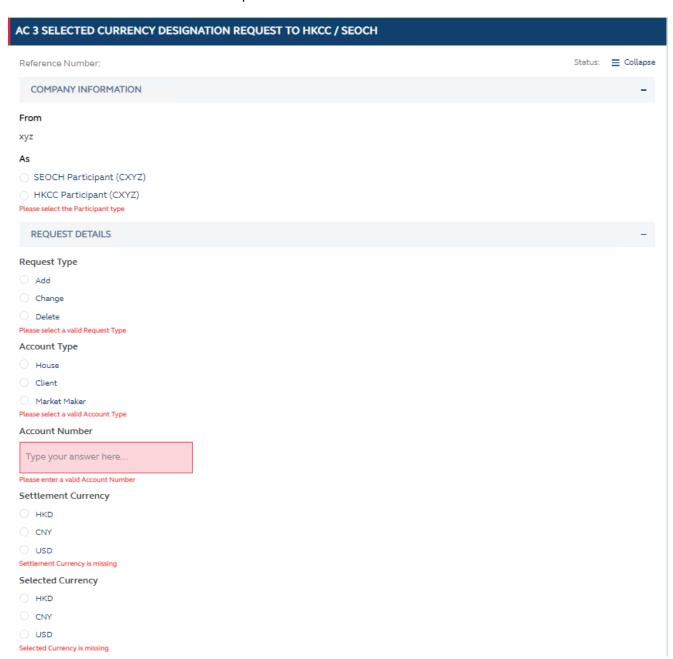
- ➤ This eService is available to Business users granted with the access rights EU_AccountMaintenance.
- ➤ This eService is equivalent to "SELECTED CURRENCY DESIGNATION FORM" (DCASS-Form 1).
- > Email and Dashboard notifications will be sent to both maker and checker once there is change relating to eService status.

SAMPLE UX:

Users can expand all sections to view all the fields.



There is built-in validation on the data input.



FIELDS HIGHLIGHTS:

| # | Field Name | Highlights |
|---------------------|---|---|
| COMPANY INFORMATION | | |
| 1 | From | Display the company on behalf of which the maker is acting. Applicable to HKCC/SEOCH Participants. |
| 2 | As | |
| REQUEST DETAILS | | |
| 3 | Request Type | All fields are mandatory. Built-in validation: Account Number must be a 4-digit number. Maker can submit more than 1 request. Proposed Effective Date must be later than current date. Confirmed Effective Date shows "To be confirmed by HKEX" and is only available when HKEX approved the request. |
| 4 | Account Type o House o Client o Market Maker | |
| 5 | Account Number | |
| 6 | Settlement Currency OHKD OCNY USD | |
| 7 | Selected Currency O HKD O CNY O USD | |
| 8 | + REQUEST | |
| 9 | Proposed Effective Date | |
| 10 | Confirmed Effective Date | |
| 11 | Declaration checkbox | |
| CONTACT INFORMATION | | |
| 12 | Name of Contact Person | Auto-filled based on maker's profile and editable. If group email is defined, fill in group email instead of maker's email. Built-in validation: Only on the format of email address and telephone number but not on data correctness. Maker can provide up to 5 contact information. |
| 13 | Email Address | |
| 14 | Telephone Number | |
| 15 | + CONTACT PERSON | |