

HKFE CLEARING CORPORATION LIMITED

Email: posttrade@hkex.com.hk

Tel: 2211 6932

Fax: 2868 0134

ON-BEHALF TRADE ADJUSTMENT REQUEST FORM

Please contact us to confirm the form has been received by HKCC

Trade execution time

- Before the end of "T Session"
- After the start of "T+1 Session" ^

Form submission deadline

- By "System Input Cutoff Time" on **the next "Business Day"**
- By "System Input Cutoff Time" on **the business day after next "Business Day"**

^ Between "System Input Cutoff Time" & "T+1 Session Cutoff Time", only current "T+1" trades will be accepted

Particulars of HKCC Participant

HKCC Participant's Name :	DCASS Customer Code :
---------------------------	-----------------------

Contact Person for this Request Form :

Name :	Tel. No. :
Position :	Fax No. :

Details of Trade Adjustment

Original Trade Detail								Detail of Trade to be Rectified		
	Trade Date	Account	Series	Trade No.	Buy /Sell	Price	Quantity	Account	Quantity	O/C/ N/D
1.										
2.										
3.										
4.										
5.										

 Authorised Signature(s) of HKCC Participant [with company chop, ONLY applicable if it forms part of your signing instruction]

 Date

Name of Signatory(ies) : _____