Notes to the Application

1. The Requisition Form is applicable to Exchange Participants (EPs) who are required to change their existing BSS Connection of OCG-C/ Drop-Copy Session after production rollout. The Requisition Form should be completed and returned to HKEX by email to OTPC@hkex.com.hk and followed by a telephone call at 2840-3626 to confirm submission. Alternatively, Exchange Participant can use Client Connect (https://connect.hkex.com.hk/) to submit this Requisition Form to HKEX.

2. Please complete separate Requisition Form for each OCG-C/ Drop-Copy Session.

3. EPs are required to note the following:

OCG-C/ Drop-Copy Session	Required to Conduct the Rollout Test on Weekend?	Advance Notice of the Effective Date
Add Backup IP Address or change the IP Address under new SDNet/2 or HSN circuits	Yes	• At least 1 week advance notice prior to the effective date and submission of positive Rollout Test feedback form.
Add Backup IP Address or change the IP Address under existing SDNet/2 or HSN circuits	Yes ("Not Required" if EP agrees with limited support/ troubleshoot from HKEX during weekdays)*	• At least 3 trading days advance notice prior to the effective date and submission of positive Rollout Test feedback form (if joining Rollout Test).
Change the 4th octet of IP Address under existing SDNet/2 or HSN circuits	No	• At least 3 trading days advance notice prior to the effective date.
Re-arrange the IP Address sequence and without change of any octet under existing SDNet/2 or HSN circuits	No	• At least 3 trading days advance notice prior to the effective date.
Cancel the Backup IP Address	No	• At least 3 trading days advance notice prior to the effective date.
Relocation of existing HSN or SDNet/2 circuits with change of IP Subnet**	Yes	• At least 1 week advance notice prior to the effective date and submission of positive Rollout Test feedback form.
Relocation of existing HSN or SDNet/2 circuits without change of IP Subnet**	Yes ("Not Required" if EP agrees with limited support/ troubleshoot from HKEX during weekdays)*	• At least 3 trading days advance notice prior to the effective date and submission of positive Rollout Test feedback form (if joining Rollout Test).

If an EP wishes not to participate in the Rollout Test, EP can apply for exemption and is required to complete "Section III - Undertaking by Exchange Participant" on the form. Please note that the aforesaid exemption from conducting rollout test is not applicable to Market Making OCG-C session.

*** IP Subnet is a portion of a network that shares a particular IP address. (e.g. 192.168.1.x)*

4. "Existing SDNet/2 or HSN" means the dual circuits to be connected to the applied OCG-C/ Drop-Copy session(s) are already in production. The aforementioned dual circuits include those directly subscribed by an EP from SDNet/2 network provider/ HKEX Hosting Service Network, or sharing with other EP(s) via the subscription from SDNet/2 ASP or HSN Virtual Port Service.

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