

Shanghai and Shenzhen Stock Connect: Early Release of Securities-on-hold Application Form

Date:

To: Hong Kong Securities Clearing Co Ltd ("HKSCC")

30/F, One Exchange Square

8 Connaught Place, Central, Hong Kong

Email: cscprepay@hkex.com.hk

Re: Application for the Early Release of Securities-on-hold

We hereby apply for the early release of China Connect Securities that are Securities-on-hold by delivering the required amount of funds as collateral in accordance with the General Rules of CCASS and the CCASS Operational Procedures ("CCASS Rules and Procedures") as follows (*please tick Part 1 and/or Part 2 as appropriate*):

<p>Part 1</p> <p><input type="checkbox"/> We have instructed our bank, [name of the bank], to make the following irrevocable payment to HKSCC for same day settlement:</p> <p>Beneficiary: Hong Kong Securities Clearing Company Limited</p> <p>Beneficiary Bank: The Hongkong and Shanghai Banking Corporation Ltd</p> <p>Beneficiary Account No.: <input type="checkbox"/> 004-741-301956-001 HKD _____</p> <p>(<i>please tick <input checked="" type="checkbox"/> only one</i>) <input type="checkbox"/> 004-600-398168-230 USD _____</p>
<p>Part 2 (<i>only applicable to Participants who have surplus cash collateral</i>)</p> <p><input type="checkbox"/> The following amount of surplus cash collateral in our CCMS Collateral Account shall be or form part of the collateral in support of this application:</p> <p>HKD/USD: _____ (<i>Please strikethrough the inappropriate currency code</i>)</p>

We understand and acknowledge that:

1. *this form and the full amount of the required collateral must be received by HKSCC after 4:30 p.m. but no later than 5:30 p.m. on a Settlement Day. We shall submit this form by email to cscprepay@hkex.com.hk;*
2. *if we need to deposit any new funds as collateral for this application in addition to the surplus cash collateral in our CCMS Collateral Account, we shall fill in both Part 1 and Part 2 of this form;*
3. *HKSCC may accept cash collateral in either USD or HKD (but not both) for an application for the early release of China Connect Securities that are Securities-on-hold;*
4. *the amount of collateral accepted by HKSCC for the early release of China Connect Securities that are Securities-on-hold will be subject to haircut and exchange rates as determined by HKSCC from time to time and up to such maximum limit (if any) as may be specified by HKSCC from time to time; and*
5. *this application is subject to the terms and conditions and procedures set out in the CCASS Rules and Procedures. Acceptance of the application will be at HKSCC's sole and absolute discretion.*

Authorized Signature (*with company chop, ONLY applicable if it forms part of your signing instruction*)

Participant ID: _____

Participant Name: _____

Contact Tel No.: _____

Contact Person: _____