

## APPENDIX A

### Fees

Description	Amount <sup>1</sup>
<i>Settlement Fees (Futures), Exercise Fees and Assignment Fees (Options)</i>	
<u>Equity products</u>	
Hong Kong Stock Futures	2.00/Lot
US Stock Futures	USD1.30/Lot
Japanese Stock Futures	USD1.30/Lot
Korean Stock Futures	USD1.30/Lot
Taiwan Stock Futures	USD1.30/Lot
Options on US Stock Futures	USD0.25/Lot
Options on Japanese Stock Futures	USD0.25/Lot
Options on Korean Stock Futures	USD0.45/Lot
Options on Taiwan Stock Futures	USD0.45/Lot
<u>Equity index products</u>	
HSI Futures	10.00/Lot
HSI Options	10.00/Lot
HSI Futures Options	10.00/Lot
HSCEI Futures Options	3.50/Lot
Mini-HSI Futures	3.50/Lot
Mini-HSI Options	2.00/Lot
HSCEI Futures	3.50/Lot
HSCEI Options	3.50/Lot
Mini-HSCEI Futures	2.00/Lot
Mini-HSCEI Options	1.00/Lot
Weekly HSI Options	10.00/Lot
Weekly HSCEI Options	3.50/Lot
Hang Seng China H-Financials Index Futures	5.00/Lot
HSI Dividend Point Index Futures	3.00/Lot
HSCEI Dividend Point Index Futures	1.50/Lot
HSI Volatility Index Futures	10.00/Lot
CES China 120 Index Futures	10.00/Lot
Hang Seng Mainland Banks Index Futures	2.00/Lot
MSCI AC Asia ex Japan Net Total Return Index Futures	USD0.50/Lot
MSCI Australia Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI China A 50 Connect (USD) Index Futures	USD1.00/Lot
MSCI China (USD) Index Futures	USD1.00/Lot
MSCI China Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets Asia ex China Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets Asia ex Korea Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets Asia Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets EMEA Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets ex China Net Total Return (USD) Index Futures	USD0.60/Lot

Description	Amount <sup>1</sup>
MSCI Emerging Markets ex Korea Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets Latin America Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Emerging Markets Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Hong Kong Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI India (USD) Index Futures	USD1.00/Lot
MSCI India Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Indonesia (USD) Index Futures	USD1.00/Lot
MSCI Indonesia Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Japan Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Malaysia (USD) Index Futures	USD1.00/Lot
MSCI Malaysia Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI New Zealand Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Pacific ex Japan Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Pacific Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Philippines (USD) Index Futures	USD1.00/Lot
MSCI Philippines Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Singapore Free (SGD) Index Futures	SGD1.40/Lot
MSCI Singapore Free Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Singapore Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Taiwan (USD) Index Futures	USD1.00/Lot
MSCI Taiwan (USD) Index Options	USD1.00/Lot
MSCI Taiwan Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Taiwan 25/50 (USD) Index Futures	USD1.00/Lot
MSCI Taiwan 25/50 Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Thailand (USD) Index Futures	USD1.00/Lot
MSCI Thailand Net Total Return (USD) Index Futures	USD0.60/Lot
MSCI Vietnam (USD) Index Futures	USD1.00/Lot
MSCI Vietnam Net Total Return (USD) Index Futures	USD0.60/Lot
Hang Seng Index (Gross Total Return Index) Futures	30.00/Lot
Hang Seng Index (Net Total Return Index) Futures	30.00/Lot
Hang Seng China Enterprises Index (Gross Total Return Index) Futures	10.00/Lot
Hang Seng China Enterprises Index (Net Total Return Index) Futures	10.00/Lot
Hang Seng TECH Index Futures	2.50/Lot
Hang Seng TECH Index Options	2.50/Lot
Hang Seng TECH Index Futures Options	2.50/Lot
 <u>Interest rate products</u>	
EFN Futures	8.00/Lot
HIBOR Futures	5.00/Lot
Five-Year MOF T-Bond Futures	RMB5.00/Lot
 <u>Metal products</u>	
CNH Gold Futures	RMB12.00/Lot
CNH Silver Futures	RMB12.00/Lot
CNH London Aluminium Mini Futures	RMB1.20/Lot
CNH London Zinc Mini Futures	RMB1.20/Lot
CNH London Copper Mini Futures	RMB1.20/Lot

Description	Amount <sup>1</sup>
CNH London Nickel Mini Futures	RMB1.20/Lot
CNH London Tin Mini Futures	RMB1.20/Lot
CNH London Lead Mini Futures	RMB1.20/Lot
USD Gold Futures	USD2.00/Lot
USD Silver Futures	USD2.00/Lot
USD London Aluminium Mini Futures	USD0.20/Lot
USD London Zinc Mini Futures	USD0.20/Lot
USD London Copper Mini Futures	USD0.20/Lot
USD London Nickel Mini Futures	USD0.20/Lot
USD London Tin Mini Futures	USD0.20/Lot
USD London Lead Mini Futures	USD0.20/Lot
TSI Iron Ore Fines 62% Fe CFR China Futures	USD1.00/Lot

Currency products

AUD/CNH Futures	RMB5.00/Lot
EUR/CNH Futures	RMB5.00/Lot
INR/CNH Futures	RMB2.50/Lot
JPY/CNH Futures	RMB5.00/Lot
USD/CNH Futures	RMB8.00/Lot
Mini USD/CNH Futures	RMB1.60/Lot
CNH/USD Futures	USD0.60/Lot
INR/USD Futures	USD0.60/Lot
USD/CNH Options	RMB8.00/Lot

***DCASS-Related Fees***

Connection fee for DCASS online access via the central gateway	2,600/month/connection
Sub-license fee for DCASS OAPI via the central gateway	2,600/month/connection
Access to the DCASS testing environment	100/day or part thereof provided that no access fee will be charged for the first 5 Business Days
Performance of login test or transaction test outside of HKCC's normal working hours	1,000/day or part thereof regardless of test results
OAPI certification	2,000/test regardless of test results
On-behalf-of processing	50/transaction (minimum fee of 500/day)
Re-print of reports	5/page up to 1,000 per report or per diskette

Description	Amount <sup>1</sup>
<b><i>CCMS-Related Fees</i></b>	
Re-print of reports	5/page up to 1,000 per report or per diskette
Establishment of CCMS users or delegated administrators <sup>2</sup>	250 each

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<sup>1</sup> *Unless otherwise specified, the amounts listed in this appendix are in HK dollars*

<sup>2</sup> *Refer to CCMS Terminal User Guide for description of CCMS users and delegated administrators*

Note: In addition to the fees specified herein, the Clearing House reserves the right to charge HKCC Participants for any disbursements and out-of-pocket expenses it incurs in the delivery of services and facilities to HKCC Participants.