#### HKF<sub>×</sub>香港交易所

#### 中央結算系統運作程序規則

#### 定義

(a) 爲便利讀者,規則內的定義茲重覆載列如下:

「合資格證券」 指 根據規則第501條,不限於目前獲結算公司接納可於中央 結算系統內存放、結算和交收的已發行證券(包括但不限 於境外證券、債務證券、預託證券、結構性產品、外匯 基金債券、政府債券、指定債務工具、債務工具中央結 算系統債務工具、認股權證、期權及基金單位及其他根 據集體投資計劃所規定的股權);同時,(a)若交義所需, 包括任何數量的該等已發行證券,以及(b)除非文義不許 可,否則包括在發行後獲接納爲合資格的新發行股份;

「新發行股份」 指 新股發行中的股份、預託證券、認股權證、結構性產品、債務證券或基金單位;

「基金單位」 指 單位信託中一個不分割份數或不分割實益權益、基金或 類似的投資安排;

#### 第八節

#### 代理人服務

#### 8.18 電子認購新股指示

#### 8.18.1 緒言

參與者可透過電子方式指示結算公司:(a)安排代理人代其認購新發行股份,以及(b)安排支付認購款項,並在未能成功認購新發行股份或只能成功認購部分新發行股份的情況下退還認購款項。參與者發出電子認購新股指示時,參與者便被視為同意以下條文:

(xi)參與者授權發行人,就其獲配發的新發行股份,發出以代理人爲名義的股票,並在招股章程所載結算公司或代理人派發股票的指定時間之前,將該等股票送交中央結算系統存管處服務櫃檯;

	Date:
Hong Kong Securities Clearing Com 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong	npany Limited
Stock :	Pledgee Declaration Form
[ID/Name] The said CCASS Participant has fair enclose herewith a Withdrawal Order	a stock pledgee transaction with CCASS Participant on [date]  lled to meet its obligation under the said transaction. We er Input Request Form duly completed and signed by us of the securities from our Stock Account. The withdrawal
Participant ID	:
Name (in Block Letter)	:
CCASS Stock Account number	:
Stock code	:
Stock Name	:
Number of Shares	:
Reasons	: With reference to SI Input No.
	Authorized Signature of CCASS Participant (With Company Chop)
c.c. Default Stock Pledgor	
Note to Participant :	A completed and signed Withdrawal Order Input Request Form should be submitted together with this form to CCASS Depository.

# Beneficiary Details and Registration Authorization Form Beneficiary Details and Authorization

	Hong Kong Securities Clearing ( 2/F, Infinitus Plaza, 199 Des Voe						
Re: I	Registration of		units (	/		, curr	ency / nominal amount) (Name of Bond)
	refer to our withdrawal reque	units (	/		, currency / i	nominal amo	unt) in the above-named
secu	rity and, hereby request H	IKSCC to a	rrange for	the registra	ation of the	said securit	y into the name of egistration and Payment
	uctions. We shall collect the bovailable.	nd certificate(	s) on behalf o	f the registe	ered bondholder	at the CCAS	S Depository when they
Com	pany Chop and Authorized Sign	nature(s) of CC	CASS Participa	nnt			
		REG	STRATION A	AUTHORI	ZATION		
То:					(Name of Issuer/	=	
Re:	Registration ofin	un	its (/_			, curi	rency / nominal amount)
	in				( <b>N</b>	Name of Bond	d)
secu: Glob	enclose herewith /entropy for the registrative in accordance with the Registrative all Certificate amounting to	stration and Pa	ayment Instruc	tions as set	out below, please	e let us have t	the balance in one
——Auth	orized Signature (s)						
Regi	stration and Payment Instruc	<u>tions</u>					
I/We							(Name of Bond) to
regis with	ter a total of / my/our instructions as set out b	pelow:		(currenc	y / nominal amou	ınt) in my/ou	r name(s) in accordance
Deno	omination required:	_ x/			(cur	rency / nomi	nal amount)
Nam	e of bondholder:						_
Addı	ress of bondholder:						
	se pay the redemption proceeds			•			
——Auth	orized Signature(s) of bondhold	ler(s)			Specimen Si	gnature(s) of	bondholder(s)

Nominees Section Hong Kong Securities C Units 2505-6, 25/F, Infin 199 Des Voeux Road Ce Hong Kong	Clearing Company Limited nitus Plaza	Request Form  Dat  ("HKSCC")	<b>附錄 3.1</b> e:
Dear Sirs,			
Re:		(Company Name) (	Stock Code :)
of our holding held f corporate announcemen	or our account as at the 1t.	record date/relevant date s	llowing instructions in respect stated in the relevant CCASS
☐ Annual General Meet ☐ Court Meeting ☐ Other	ting (AGM)	I / Extraordinary General Nat holders' Meeting d on	
Number in the Proxy Form	For	Against	Demand Poll Request (if applicable)
the relevant CCASS (2) if HKSCC does not such actions with re	ach HKSCC no later than to corporate announcement; receive this form by the sta spect to our securities as it	ated deadline, HKSCC is e may consider fit; and	od as specified by HKSCC in ntitled (but not bound) to take

- of CCASS and the CCASS Operational Procedures as are in force from time to time.

Participant ID	:	
Name	:	
Contact Tel No.	:	
Contact Person	:	

Authorized Signature of CCASS Participant (with Company Chop)

Please tick the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record date/relevant date.

## **Foreign Currency Dividend Entitlement Instruction Form**

Nominees Section	Date :
Hong Kong Securities Clearing Company Limited ("HKSCC")	
Units 2505-6, 25/F, Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re:	(Company Name)
Re:	(Company Ivame)
,	
Dividend at/ (currency / amount) per share	re
Payable : on or about	
We wish to receive the above dividend on our holding of	shares held
for our account as follows:-	shares here
for our account as follows.	
1. □ In Bank Draft after deducting the charges incurred	
2. $\square$ Credit to our bank account via telegraphic transfer held w	ith
<ol> <li>this form should reach HKSCC no later than the close of the election perior relevant CCASS corporate announcement;</li> <li>if HKSCC does not receive this form by the stated deadline, HKSCC is entitive actions with respect to our securities as it may consider fit; and</li> <li>our request and instructions will only be effected or accepted by HKSCC CCASS and the CCASS Operational Procedures as are in force from time to time</li> </ol>	tled (but not bound) to take such subject to the General Rules of
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person :	
Note:	
Please tick the appropriate box(es).	

#### **Cash/Scrip Dividend Election Form**

Nominees	Section				Date :	
•	•	_	npany Limit	red ("HKSCC")		
	5-6, 25/F, Infi					
199 Des Vong Hong Kong	oeux Road Co	entral				
Fax no.: 28	-					
Dear Sirs,	,					
Re:					(Compan	y Name)
(Stock	Code :	)				
Or	ntional Divide	end at	/	(currency / amount)	) per share or	
o r	, W. O. I. W. D. I. Y. I. W.			(currency / amount)	) per share or	
			/	(currency / amount)	) per share	
Pa	yable : on or	about				
We wish to	receive the	above divide	end on our h	olding held in our acco	ount as follows:-	
1. 🗆	In cash (	, currency)	in respect o	f our total holding as a	at the last registrati	ion date.
2. 🗆	In scrip in re	espect of our	r total holdir	ng as at the last registra	ation date.	
3. □	In cash and/	or scrip in a	ccordance w	rith the following distr	ribution	
	Cash divide	nd ( , cu	rrency) to b	e received on our		_ shares
	C 1 1: : 1	1 /	\ \ \ 1	. 1	_	1
	Cash divide	na ( , cui	rrency) to be	e received on our		_ snares
	Cash divide	nd ( , cu	rrency) to be	e received on our		shares
						_
	Scrip to be r	received on o	our	:	shares	
We understar	nd and acknowl m should reach	<b>ledge that:</b> HKSCC no lat	ter than the clo	se of the election period as	s specified by HKSCC	in the relevant
	Corporate anno		1 .1 1 1	ii: uwsaa:		
	cc aoes not rec spect to our seci			eadline, HKSCC is entitled and	(but not bouna) to tak	ce such actions
				r accepted by HKSCC sub	ject to the General Ri	ules of CCASS
ana ine	e CCASS Operai	ionai Proceau	res as are in jo	rce from time to time.		
Authorized	d Signature of		_			
	(with Com	pany Chop)				
Participant	: ID	:				
Name (in b	olock letter)	:				
Contact Te	el No	:				
Contact Pe	erson	:				

## **Subscription of Open Offers Form**

Nominees Section	Date : _	
Hong Kong Securities Clearing Com Units 2505-6, 25/F, Infinitus Plaza 199 Des Voeux Road Central, Hong		
Fax no.: 2815 2728		
Dear Sirs,		
Re: Open Offer for shares of	t/(currency / subscription	(Company Name) n price) per share
Please act on our behalf in respect of	four holding as follows:-	
Open Offer		
	ares in respect of our holdings. We will conount) into the bank account of HKSCC Nons.	
Pursuant to the above, please debit above from our CCASS stock accou	units of the offer rights of the nt number	company mentioned
Excess Application		
we understand and acknowledge that:  (1) this form should reach HKSCC no larelevant CCASS corporate announcem  (2) if HKSCC does not receive this form be with respect to our securities as it may (3) HKSCC will debit immediately from o subscribe for as set out herein upon received.	ny the stated deadline, HKSCC is entitled (but not bot consider fit; ur CCASS stock account the number of the offer righ ceipt of our request; and be effected or accepted by HKSCC subject to the Gend	(currency / amount) 's instructions.  cified by HKSCC in the and) to take such actions ts that we are entitled to
	Authorized Signature of CC (with	ASS Participant (Company Chop)
	Participant ID :	
	Name (in block letter):	
	CCASS Stock A/C# :	
	Contact Tel No :	
	Contact Person :	

#### **Take-over Offer Acceptance/Election Form**

Nominees	Section			Date :
Hong Kor	ng Securities C	Clearing Comp	pany Limited ("F	IKSCC")
Units 250	5-6, 25/F, Infi	nitus Plaza		
199 Des V	loeux Road Ce	entral		
Hong Kor				
Fax no.: 2	2815 2728			
Dear Sirs,				
Re:				(Company Name) (Stock Code:)
C	ash Offer at _	/	(currency	offer price) per share and/or
So	crip or Securit	ies Exchange	Offer at	per share
account.	Accordingly,	please debit	er offer in respect	t of our holding of shares held in our res of the company mentioned above from our follows:-
1. 🗆	Acceptance	of the offer co	onsideration in C	ASH in respect of our holding as stated above.
2. 🗆	Acceptance	of the offer co	onsideration in S	CRIP in respect of our holding as stated above.
3. □	Acceptance	of the offer co	onsideration in ca	ash and in scrip
	Cash to be re	eceived in res	pect of	ash and in scrip shares out of the holding
	as stated abo	ove.		
	Scrip to be reas stated abo		spect of	shares out of the holding
<ul> <li>(1) this for corpore</li> <li>(2) if HKSO respect</li> <li>(3) HKSCO request</li> <li>(4) our req</li> </ul>	ate announcemen CC does not rece to our securities C will debit imme t; and quest and instruct	HKSCC no later t; ive this form by as it may considediately from our ions will only be	the stated deadline, ler fit; r CCASS stock acco	e offer period as specified by HKSCC in the relevant CCASS HKSCC is entitled (but not bound) to take such actions with unt the number of shares set out herein upon receipt of our d by HKSCC subject to the General Rules of CCASS and the time.
Authorize	ed Signature of (with Compa		icipant	
Participa	nt ID	:		
-	block letter)	· ——		<del></del>
•	Fel number			
Contact F				
Note: Please tick t	he appropriate bo	ox and insert the	number of shares if	you opt for item 3.

#### **Rights Issue Subscription Request Form**

Nominees Section	Date :
Hong Kong Securities Clearing Company	<u></u>
Units 2505-6, 25/F, Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)/ (currency / subscription price) per share
(Stock Code:) at	/ (currency / subscription price) per share
Please act on our behalf on our holding a	s follows:-
Rights Allotment	
Take up new share:	s on our behalf. We will credit good funds of/
(currency / amount) into	the bank account of HKSCC Nominees Limited in
accordance with HKSCC's instructions.	
Accordingly, please debit ni	1-paid rights of the company mentioned above from our
CCASS stock account number	
Excess Application	
A most from the most shows which we are	andidad to subscribe for places also small for
	entitled to subscribe for, please also apply forexcess d funds of/ (currency / amount) into
	imited in accordance with HKSCC's instructions.
the bank account of TIRSCC Nominees I	diffice in accordance with TIXSCC's instructions.
We understand and acknowledge that:	
	in the close of the subscription period as specified by HKSCC in the
relevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the s	tated deadline, HKSCC is entitled (but not bound) to take such actions
with respect to our securities as it may consid	ler fit;
<ul><li>(3) HKSCC will debit immediately from our CC receipt of our request; and</li></ul>	CASS stock account the number of nil-paid rights set out herein upon
(4) our request and instructions will only be effect	cted or accepted by HKSCC subject to the General Rules of CCASS and
the CCASS Operational Procedures as are in	force from time to time.
Authorized Signature of CCASS Particip	ant
(with Company Chop)	
	Participant ID :
	Name (in block letter):
	C . TIN
	Contact Tel No :
	Contact Dancen

#### **Warrant Conversion Request Form**

Nominees Section	Date :	
Hong Kong Securities Clearing Company		
Units 2505-6, 25/F, Infinitus Plaza	,	
199 Des Voeux Road Central		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re: Conversion of Warrants		
In respect of the warrants held by you for	r our account, we wish you	to act as follows:-
EXERCISE the subscription rights attach	ned to our warrants:	(name of
EXERCISE the subscription rights attach warrant) (Stock Code:) in	units of	(currency) to
subscribe for shares of	of	(Company Name) (Stock
Code:) at/	(currency / conversion price	ce) per share, and we will credit
good funds of / (currence	cy / amount) into the bank	account of HKSCC Nominees
Limited in accordance with HKSCC's in	structions.	
Pursuant to the above, please debit		ntioned above from our CCASS
stock account number		
We understand and acknowledge that:		
We understand and acknowledge that: (1) this form should reach HKSCC no later than	the close of the warrant conversi	on period as specified by HKSCC in the
relevant CCASS corporate announcement;	The close of the warrant converse	surperiou as specifica by IIIISCC in the
(2) if HKSCC does not receive this form by the	stated deadline, HKSCC is entitle	ed (but not bound) to take such actions
with respect to our securities as it may consid		
(3) HKSCC will debit immediately from our CC our request; and	ASS stock account the number of	warrants set out herein upon receipt of
(4) our request and instructions will only be effe	ected or accepted by HKSCC subi	ect to the General Rules of CCASS and
the CCASS Operational Procedures as are in		cer to the General Times of General unit
A d d d d d d d d d d d d d d d d d d d		
Authorized Signature of CCASS Particip	pant	
(with Company Chop)		
	Participant ID	
	i urticipunt 1D	·
	Name (in block lette	er) ·
	Traine (in block lette	~,·
	Contact Tel No	•
		· —
	Contact Person	

Dear Sir : 執事先生

1.

2.

3.

4.

5.

6.

(年度)

#### **DIVIDEND / BONUS CLAIM LETTER** 股息/紅股申請書

Date 日期 Claims ref 申索編號 Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F, Infinitus Plaza 199 Des Voeux Road Central Hong Kong 香港中環德輔道中 199 號 In respect of the Dividend / Bonus Claim on \_ shares of under certificate number\_ registered in the name of HKSCC Nominees, 就以 香港結算代理人名義登記的\_\_\_\_\_\_股 (股份號碼: ),本人/我們特此 (股份名稱) 來函申索有關的股息/紅股, I / We write on behalf of our client, , who through an oversight, neglected to have the above shares transferred before the closing of the Company's book on 來函提出申索。由於該客戶一時疏忽,沒有在有關截止過戶日期(即 年 月 日) 本人/我們代表客戶 之前辦妥股份過戶手續 I/We enclose herewith the under-mentioned documents (Items 1-2 in original and Items 3-6 in certified true copies) in respect of the said shares for your 隨函附上下列有關該等股份的文件(第1、2項爲正本,第3至6項爲認證副本),以供 貴公司參考:-Letter of Indemnity 保證書 Original Claim Letter from beneficiary with the participant's endorsement 連同參與者簽署的受益人申索書正本 Purchase Contract Note 買賣合約 Stock Withdrawal Receipt 股份提取收據 Share Certificates and Transfer Deeds 股票及過戶契據 Transfer Receipt 過戶收據 As the shares were registered in the name of HKSCC Nominees, I/we should be grateful if you would arrange for refund of the following:-由於該等股份是以 香港結算代理人名義登記,懇請 貴公司安排發還: Interim/Final\* dividend of \_\_\_,合共\$ 每股獲派的中期/年終\*股息\$ Bonus Issue of \_ \_totalling\_ share of \_的紅股 (每\_\_\_\_ \_股送\_\_\_\_股),合共\_

I/We understand and acknowledge that all claims will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC.

本人/我們明白及確認所有香港結算處理及接受的申請均受現行香港結算不時生效的《中央結算系統一般規則》及《中央結算系統運作程序 規則》、有效的參與者協議、以及香港結算不時發出的其他相關文件所約束。

Kindly contact our on Tel: if you have any queries on this matter. 如有任何疑問,請電 與\_ \_聯絡。

Authorised Signature of CCASS Participant 中央結算系統參與者之認可簽署 (With Company Chop) (連同公司印章) Participant ID: 參與者編號

Name (in block letter):\_ 參與者名稱

<sup>\*</sup> Delete whichever is inapplicable 請刪去不適用處

#### **LETTER OF INDEMNITY**

To: Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F, Infinitus Plaza 199 Des Voeux Road Central Hong Kong

In consideration of HKSCC Nominees instructing and directing HKSCC to effect the act or acts set out in Part 1 below; and in consideration of HKSCC following the instructions of HKSCC Nominees with respect to any act mentioned in Part 1 below, we hereby undertake to indemnify both HKSCC and HKSCC Nominees (together, the "Companies") in the manner set out in Part 2 below.

Part 1		
To reimburse, or pay to	us the Interim/Final*	dividend of/
(currency / amount) in	total and/or send or re	elease to us the bonus issue
shares of	(S	tock Code / Name of the bonus issue) in
total in respect of	sl	nares of
(Company Name) (Sto	ck Code:	tock Code / Name of the bonus issue) in hares of
issued by the company	and which are registe	ered in the name of HKSCC Nominees.
actions, cla Companies	ims or proceedings vand (ii) all damages,	the Companies indemnified against (i) all which may be brought against each of the costs and expenses suffered or incurred by
	e Companies having d	ch may arise out of or in connection with lone or performed any of the acts mentioned
Dated this	day of	20
Authorized Signature of (With Company Chop)	CCASS Participant	Banker's endorsement
Participant ID	:	
Name (in block letters)	3	
Contact Tel No	:	
Contact Person	:	
Witness by:	(Signature)	<del>-</del>
	(Name)	-
Address:		-
		<del>-</del> -
		-

<sup>\*</sup>Delete whichever is inapplicable

#### **Debt Securities Conversion Request Form**

Nominees Section	Date :			
Hong Kong Securities Clearing Company	y Limited ("HKSCC")			
Units 2505-6, 25/F, Infinitus Plaza				
199 Des Voeux Road Central				
Hong Kong				
Fax no.: 2815 2728				
Dear Sirs,				
Re: Conversion of Debt Securities				
In respect of the debt securities held by y	you for our account, we wish you to act as follows:-			
EXERCISE the conversion rights attache	ed to our debt securities:			
(name of debt	securities)(Stock Code :) inunits of			
	urrency) to convert for shares of			
	(Company Name)(Stock			
	(currency / conversion price) per share; and			
we will credit good funds of/	(currency / amount) into the bank account of			
HKSCC Nominees Limited in accordance	e with HKSCC's instructions.			
HKSCC in the relevant CCASS corporate an. (2) if HKSCC does not receive this form by the actions with respect to our securities as it me. (3) HKSCC will debit immediately from our CC. receipt of our request; and	e stated deadline, HKSCC is entitled (but not bound) to take such ay consider fit; ASS stock account the number of debt securities set out herein upon accepted by HKSCC subject to the General Rules of CCASS			
Authorized Signature of CCASS Particip (with Company Chop)	vant			
	Participant ID :			
	Name (in block letter) :			
	Contact Tel number :			
	Contact Person :			

## **Debt Securities Redemption Acceptance Form**

Nominees Section		Date :
Hong Kong Securities C	learing Company Lir	
Units 2505-6, 25/F, Infin		,
199 Des Voeux Road Ce		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re:		_ (Name of Debt Securities) (Stock Code :)
Debt Securities R	edemption at	/ (currency / redemption price) per unit
We wish to exercise our	r right to redeem the	e debt securities in respect of our holding of
	_	gly, please debit units of debt securities from
our CCASS stock account		T T 2
		<del></del>
We understand and acknowle	edge that:	
		e close of the debt securities redemption period as specified by
	CCASS corporate announc	
		ted deadline, HKSCC is entitled (but not bound) to take such
=	ur securities as it may con diately from our CCASS	isider ju; stock account the number of debt securities set out herein upon
receipt of our request; an	* *	stock decount the number of deat seem thes set out herein upon
(4) our request and instructi		or accepted by HKSCC subject to the General Rules of CCASS force from time to time.
•	·	·
Authorized Signature of	•	
(with Compa	ny Chop)	
D (11 AD)		
Participant ID	:	
Name (in block letter)	:	
Contact Tel No	:	
2311110	•	
Contact Person	•	

To: Customer Service Centre

#### STOCK SEGREGATED ACCOUNT MAINTENANCE FORM - WITHOUT STATEMENT

Hong Kong Securities Clearing Company Limited 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Fax: (852) 2815-6115 CCASS Hotline: (852) 2979-7111 **CCASS** From: Participant ID \_\_\_\_\_ (Full Name of Participant) I. MAINTENANCE REQUEST [ ] A – Add [ ] C – Change [ ] D – Delete II. **PARTICULARS** Effective Date: |\_\_| / |\_\_| / |\_\_| [ DD / MM / YY ] a) For Add, Change (New) or Delete account, please specify: STOCK A/C NO. **SHORTNAME** b) For Change of account, please specify old account details below:

Authorized Signature(s) of CCASS Participant

(with Company Chop)

FOR OFFICE USE ONLY

Checked by: Report checked

Signature verified and diary updated by:

Date:

Checked by:

Report checked by:

Date:

Date:

Date:

Note: Each participant is allowed to open up to 15 Stock Segregated Accounts without statement services. Some possible names for Stock A/C No. 03 to No. 17 may include "Dealing A/C", "Investment A/C", "Client A/C"

#### **Voting Request Form - CNS Positions**

Clearing / Settlement So Hong Kong Securities O 7/F Infinitus Plaza 199 Des Voeux Road Co Hong Kong Fax no. 2851 6921 Dear Sirs,	Clearing Company Lim	nited ("HKSCC")	Date:	
Re:		(Company Name	) (Stock Code :	)
of our shares due from	our CNS long position	(s) (Settlement Position	h our following instruction Number(s) SS corporate announcemen	) as at
☐ Annual General Mee ☐ Court Meeting ☐ Other	□ Wa	arrant holders' Meeting		M)
Number in the Proxy Form	For	Against	Demand Poll Red (if applica	-
CCASS corporate annoted if HKSCC does not receive respect to our securities our request and instruct the CCASS Operational	HKSCC no later than the uncement; eive this form by the stated of as it may consider fit; and tions will only be effected of Procedures as are in force to	deadline, HKSCC is entitled or accepted by HKSCC subj	as specified by HKSCC in the (but not bound) to take such actigict to the General Rules of CC	ions with
Authorized Signature of (with Company Chop)	f CCASS Participant			

Note:

Please tick the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record /relevant date.

To:	Hon 7/F,	aring / Settlement Section  ng Kong Securities Clearing Compa Infinitus Plaza, 199 Des Voeux Ro  no. 2851 6921	•	
Dear	Sirs,			
		ENTITLEMENT EI	LECTION FORM - CNS POSITIONS	
Rega	urding	g the following overdue position(s)	as at second ex-all date:	
Settl	ement	t Date		
Settl	emen	t Position Number		
Stoc	k Cod	le		
Stoc	k Nan	ne		
Outs	tandiı	ng Share Quantity		
Total	l Outs	standing Share Quantity		
* W6	e wisł	h to opt for the following entitleme	ent (with reference to Announcement No	:):
1.		In cash ( , currency) in respe	ect of our total outstanding share quantity.	
2.		In scrip in respect of our total our	tstanding share quantity.	
3.		In cash and/or scrip in accordance		
		Cash dividend ( , currency)	to be received on our	shares
		Cash dividend ( , currency) t	to be received on our	shares
			to be received on our	shares
		Scrip to be received on our	shares	
(1) (2) i (3)	this for corpor f HKS0 espect our red	and and acknowledge that:  orm should reach HKSCC no later than the  rate announcement;  CC does not receive this form by the state  to our securities as it may consider fit; an	ed or accepted by HKSCC subject to the Genera	to take such actions with
Parti Nam Con	icipaı ne (in tact T	od Signature of CCASS Participant (with Company Chop)  Int ID :  a block letter) :  Fel number :  Person :		
Note: Please	tick the	e appropriate box and insert the number of share	es if you opt for item 3.	

## **Rights Issues Subscription Election Form - CNS Positions**

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited	("HKSCC")
7/F Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
MI 110. 2001 0721	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)
(Stock Code: ) at /	(currency / subscription price) per share
(Stock Code :) at	(currency / subscription price) per share
Please act on our behalf on our CNS long position follows:-	(s) (Settlement Position Number(s)) as
Rights Allotment	
_	behalf and we will credit good funds of / count of HKSCC Nominees Limited in accordance
Excess Application	
	to subscribe for, please also apply for excess ds of / (currency / amount) into accordance with HKSCC's instructions
as specified by HKSCC in the relevant CCASS corporate	epted by HKSCC subject to the General Rules of CCASS and the
	Authorized Signature of CCASS Participant
	(with Company Chop)
	1 1
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	Contact Person :

## **Subscription of Open Offer Form - CNS Positions**

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("Hi	KSCC")
7/F Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Pay Open Offer for shares of	(Company Nama)
Re: Open Offer for shares of	(currency / subscription price) per share
(Stock Code:) at	(currency / subscription price) per share
Please act on our behalf on our CNS long position(s) follows:-	) (Settlement Position Number(s)) as
Open Offer	
Take up new shares and we will cred	lit good funds of / (currency /
amount) into the bank account of HKSCC Nominees Li	imited in accordance with HKSCC's instructions
amount, into the bank decount of firebee frommees En	inneed in decordance with this see 5 instructions.
Excess Application	
Apart from the new shares which we are entitled to sul	bscribe for, please also apply for excess
shares on our behalf and we will credit good funds of	/ (currency / amount)
into the bank account of HKSCC Nominees Limited in	accordance with HKSCC's instructions.
We up denote and a charge of cheet.	
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on	the Business Day before the close of subscription period as
specified by HKSCC in the relevant CCASS corporate announce	cement; and
(2) our request and instructions will only be effected or accepted	
CCASS Operational Procedures as are in force from time to tin	ne.
	Authorized Signature of CCASS Participant
	(with Company Chop)
	(with Company Chop)
	Participant ID :
	1 articipant ID
	Nama (in black laws)
	Name (in block letter):
	Contact Tel No. :
	Contact for No. :
	Contact Person :
	Contact i Cison

#### **Take-over Offer Acceptance/Election Form - CNS Positions**

Clearing / S	Settlement S	Section		Date :
Hong Kong	Securities	Clearing Compa	any Limited ("HKS	SCC")
7/F Infinitu		0 1	•	,
199 Des Vo	eux Road C	Central		
Hong Kong	5			
Fax no. 285	51 6921			
Dear Sirs,				
Re:				(Common Name) (Steel Code
				(Company Name) (Stock Code :)
	Cash Offer	er at/	(currency	/ offer price) per share and/or
	Scrip or So	ecurities Exchai	nge Offer at	per share
***	1	1 . 1	cc · · · ·	CNG1
	_		_	our CNS long position(s) (Settlement Positionshares as follows:-
Nulliber(s)			) 01	shares as follows
1. 🗆	Acceptanc	ce of the offer co	onsideration in CAS	SH in respect of our long position(s) stated above.
2. $\square$	Acceptance	ce of the offer co	onsideration in SCI	RIP in respect of our long position(s) stated above.
3. □	Acceptanc	ce of the offer co	onsideration in cash	n and in scrip
	Cash to be	e received in res	pect of	shares of our position(s) stated above.
	Scrip to be	e received in res	spect of	shares of our position(s) stated above.
(1) thi by (2) out	s form should HKSCC in the r request and t	e relevant CCASS c instructions will on	corporate announcemen	ed by HKSCC subject to the General Rules of CCASS and the
Authorized	Signature o	of CCASS Partic	cipant	
	-	mpany Chop)	pull	
Participant	ID	:		
Name (in b		:		<del></del>
Contact Tel		:		<del></del>
Contact Per	rson	:		<u> </u>
Note:				

Please tick the appropriate box and insert the number of shares if you opt for item 3.

## **Warrant Conversion Election Form - CNS Positions**

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("HKSCO	C")
7/F Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
2 441 1401 2001 0721	
Dear Sirs,	
Re: Conversion of Warrants	
In respect of the warrants (Stock Co	
(name of warrant) due from the CNS long posit), we wish you to act as follow	
EXERCISE the subscription rights attached to the warrant	s mentioned above in units of
(currency) to subscribe for	shares of
(Company Name) (	
(currency / conversion price) per share, an	d we will credit good funds of/
(currency / amount) into the bank account of	HKSCC Nominees Limited in accordance
with HKSCC's instructions.	
<ul> <li>We understand and acknowledge that:</li> <li>(1) this form should reach HKSCC no later than 2:00 p.m. on the Busin period as specified by HKSCC in the relevant CCASS corporate ann</li> <li>(2) our request and instructions will only be effected or accepted by HK CCASS Operational Procedures as are in force from time to time.</li> </ul>	ouncement; and
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No. :	
Contact Person :	

To: Clearing / Settlement Section, Hong Kong Securities Clearing Company Limited ("HKSCC") 7/F, Infinitus Plaza, 199 Des Voeux Road Central, Hong Kong Fax no. 2851 6921

Date:

## APPLICATION FORM FOR CANCELLATION OF SETTLEMENT POSITION

I.	Delivering Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
II.	Receiving Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
III.	Details of Settlement Position	
	Settlement Position No :	
	Position Type :	
	Stock Code :	
	Stock Name :	
	Settlement Date :	
	Outstanding Position Quantity:	
	Original Position Quantity :	
IV.	Declaration by Both Participants	
	· · · · · · · · · · · · · · · · · · ·	element position to be cancelled. The outstanding position has been / (date) by the following method:
		request and instructions will only be effected or accepted by HKSCC e CCASS Operational Procedures as are in force from time to time.
	Signed:	
	Authorized Signature of Deli (with Company Com	
	For Office Use	
	Checked by :	Date :
	Approved by :  The position will be frozen on	Date : and be cancelled on

To: Clearing / Settlement Section
Hong Kong Securities Clearing Company Limited ("HKSCC")
7/F Infinitus Plaza
199 Des Voeux Road Central
Hong Kong
Fax no. 2851 6921

#### BUY-IN REQUEST FORM (FOR CCASS ISOLATED TRADES ONLY)

The following transaction is still outstanding as at the date of this letter. Please instruct the delivering Participant to buy back the shares required for completion of settlement.

Details of the outstandi	ng transaction			
Name of delivering participant		CCASS Participal	nt ID	_
Settlement position no.		Transaction date		_
Stock name		Stock Code		_
O/S position quantity		O/S position amou	unt	_
We understand and acknowl General Rules of CCASS and			ected or accepted by HKSCC subje time to time.	ect to the
Authorized Signature of (with Company	•			
Participant ID :				
Name (in block letter):				
Contact Tel No:				

 $HKE_{\times}$ 香港交易所

Date: \_\_\_\_\_

To: Clearing / Settlement Section

Hong Kong Securities Clearing Company Limited ("HKSCC")

7/F Infinitus Plaza

199 Des Voeux Road Central

Hong Kong

Fax no. 2851 6921

WITHDRAWAL OF BUY-IN REQUEST FORM (FOR CCASS ISOLATED TRADES)
---------------------------------------------------------------

Date of Buy-in		CCASS			Settlement	O/S position	O/S position
Request Form	Name of seller	Participant ID	Stock Code	Stock Name	Position No.	quantity	amount

We understand and acknowledge that:

- (1) once the buy-in request (for CCASS Isolated Trade(s)) is withdrawn, HKSCC will not accept any further request on the unsettled Isolated Trade(s); and
- (2) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.

Authorized Signature of CCASS Participant
(with Company Chop)
Participant ID :

Name (in block letter) :

Contact Tel No :

Contact Person :

#### **Rights Issues Subscription Election Form - IT Positions**

Hong F 7/F Infi 199 De Hong F	Kong Securities Clearing Company Limited ("HKSCC") Finitus Plaza es Voeux Road Central Kong 5. 2851 6921	Date
Dear S	irs,	
Re:	Rights Issue for shares of	(Company Name) (currency / subscription price) per
Please	act on our behalf on our IT Position(s)	(Settlement Position Number(s)
<u>Rights</u>	Allotment	
	new shares on our behalf and we very contract (currency / amount) into the bank account of HK KSCC's instructions.	
Excess	S Application	
	from the new shares which we are entitled to su excess shares on our behalf and will credit go acy / amount) into the bank account of HKSCC Nominees tions.	ood funds of/
(1) this spec (2) our	erstand and acknowledge that: s form should reach HKSCC no later than 2:00 p.m. on the Business Da cified by HKSCC in the relevant CCASS corporate announcement; and request and instructions will only be effected or accepted by HKSCC s ASS Operational Procedures as are in force from time to time.	subject to the General Rules of CCASS and the
———Author	rized Signature of CCASS Participant (with Company Chop)	
Particip	pant ID :	
Name (	(in block letter):	
Contac	et Tel No :	
Contac	et Person :	

#### **Warrant Exercise Election Form - IT Positions**

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("HKSCC	(2)
7/F Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Fax 110. 2631 0921	
Dear Sirs,	
Re: Exercise of Warrants	
In respect of the warrants (Stock Code)	
(name of warrant) due from the IT long positio), we wish you to act as follows:-	n(s) (Settlement Position Number(s),
EXERCISE the subscription rights attached to the warrants n	
(currency) to subscribe for shares of	
(Company Name) (Stock Code:) at/_	(currency / conversion
price) per share, will credit good funds of/	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance with H	KSCC's instructions.
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the Busin period as specified by HKSCC in the relevant CCASS corporate anno our request and instructions will only be effected or accepted by HKS CCASS Operational Procedures as are in force from time to time.	uncement; and
Authorized Signature of CCASS Participant	
(with Company Chop)	
(with Company Chop)	
Participant ID :	
	<del></del>
Name (in block letter):	
Contact Tel number :	
Contact Person :	

## **Transfer Instruction Form**

2/F Infinitus	s Plaza eux Road Centra		ted ("HKSCC")	Instruction Date:	
Dear Sirs,					
EXCHA				PECIFIED INSTRUMEN AYMENT INSTRUCTIO	
	E notes Free of P	ayment via CMU ac	ecording to the follo	wing instruction for value of	on:
DEBIT Counterparty (Account Cod		Beneficiary	Issue Number	Nominal Value (	Currency)
2. Please CREDIT	my/our CCASS s	stock account numb	er with	the following holding:	
Stock Code		Stock N	Vame	Number of	Unit
credited on the r (3) my/our instruction Operational Pro	next working day; on will only be e	and ffected and accepted force from time to ti	by HKSCC subject	received after 3:00 p.m., my/ to the General Rules of CCA pant agreements in effect and	SS and the CCAS
Participant ID	:				
Participant Name (in block letters)	:				
Contact Person / Telephone Number	r :				
Fax Number	:			l Signature of CCASS Part Company Chop)	icipant
		For Of	fice Use Only		
Signature Verified	T. I. Input	T. I. Authorized	Account Updated	UML prepared / approved	Advice Issued

#### **Transfer Instruction Form**

2/F Infin	itus Plaza Voeux Road Ce ong	entral	ny Limited (*HK 10)	SCC") Instructio	n Date :	
Dear Sirs,						
,				NDS, SPECIFIED I		ND/OR
1. Please DEBIT 1	ny/our CCASS	stock account ni	ımber	with the following hol	ding:	
Stock Cod	e	Stock	Name	N	umber of Unit	$\Box$
2. Please DELIVI	ER notes Free of	Payment via Cl	MU according to t	he following instruction	n for value on	_:
CREDIT Counterpar (Account Co	ty	Benefici	ary	Issue Number	Nominal Value (Curr	ency)
instruction of (3) my/our instru CCASS Ope	n the next work action will only rational Proced	ing day; and y be effected and ures as are in for	nd accepted by H	Existence of the Existe	General Rules of CC	CASS and the
Participant ID	:					
Participant Name (in block letters)	; :					
Contact Person / Telephone Numb	er :					
Fax Number	:				re of CCASS Particip Company Chop)	vant
		For	Office Use Only			]
Signature Verified	Account Updated	T. I. Input	T. I. Authorized	UML prepared / approv	ed Advice Issued	-

#### **<u>Debt Securities Conversion Request Form - IT Positions</u>**

Clearing / Settlement Sectio	n			Date	
Hong Kong Securities Clear		i ("HKSCC")			
7/F Infinitus Plaza		·			
199 Des Voeux Road Centra	ıl				
Hong Kong					
Fax no. 2851 6921					
Dear Sirs,					
Re: Conversion of Debt Sec	curities				
In respect of the debt se			n(s) (Settleme	ent Position Numb	per(s)
EXERCISE the conversion	rights attached to our	debt securities:	·		
(name of debt securities) (St	cock Code :)	in	units of	(currency)	to
convert for (Company Name) (Stock C	ode: ) at	/	(currency	/ conversion price	- e) ner
share; and we will credit g	rood funds of	/	(currency /	amount) into the	hank
account of HKSCC Nomine					
We understand and acknowledge (1) this form should reach HKS conversion period as specifie (2) our request and instructions CCASS Operational Procedu	CC no later than 2:00 p. d by HKSCC in the relevan will only be effected or acc	nt CCASS corpora cepted by HKSCC	te announcement;	and	
Authorized Signature of CC (with Company Cl	•				
Participant ID : _					
Name (in block letter):					
Contact Tel No : _					
Contact Person :					

## **<u>Debt Securities Redemption Request Form - IT Positions</u>**

Hong Kong Securities Clearing Company Limited ("HKSCC" 7/F Infinitus Plaza 199 Des Voeux Road Central	) Date
Hong Kong Fax no. 2851 6921	
Dear Sirs,	
Re: Redemption of Debt Securities	
In respect of the debt securities due from the IT posit), we wish to act as follows:-	tion(s) (Settlement Position Number(s)
EXERCISE our rights to redeem the debt securities: (Stock Code :) inunits.	(name of debt securities)
<ul> <li>We understand and acknowledge that:</li> <li>(1) this form should reach HKSCC no later than the close of the debt sec in the relevant CCASS corporate announcement; and</li> <li>(2) our request and instructions will only be effected or accepted by HKSC CCASS Operational Procedures as are in force from time to time.</li> </ul>	
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	<u> </u>
Name (in block letter):	
Contact Tel No :	
Contact Person :	

#### STOCK LENDING ACCOUNT OPENING FORM 開立股份貸出戶口申請表

Го:	Clearing / Settlement Section Hong Kong Securities Cleari 7/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Fax no. 2851 6921 香港中央結算有限公司 結算及交收組	ing Company Limited						
	香港中環德輔道中 199 號 無限極廣場 7 樓 傳真號碼: 2851 6921		Agreement No.:					
			協議編號	(For IRD use only)				
Í.	LENDER'S PARTICULARS 貸出人資料	3		(稅務局專用)				
	Participant I.D.: 參與者編號 Business Registration No.: 商業登記號碼: Address: 地址:		Participant Name: 多與者名稱					
П.	BORROWER'S PARTICUL 借入人資料	ARS						
	Name of Borrower: 借入人名稱	Hong Kong Securities Clearing Co香港中央結算有限公司	ompany Limited					
	Business Registration No 商業登記號碼: Address: 地址:	12/F., One International Finance C 香港中環港景街一號國際金融中	entre, 1 Harbour View Street, Central, F 心一期 12 樓	Iong Kong				
	Settlement System ("CCASsentered into with HKSCC's Lending Regulations of CCA貸出人特此向香港中央結算	S"). The Lender hereby certifies that hall be subject to the relevant provisio ASS each as amended from time to time. 算有限公司(「結算公司」)申請在中华	the particulars contained herein are trons of the CCASS Rules, Operational F 央結算及交收系統(「中央結算系統」	Stock Lending Account in the Central Clearing and the and correct. All securities borrowing transactions Procedures and the Compulsory Stock Borrowing and )內開立一個股份貸出戶口,貸出人特此確認在本系統一般規則》、《中央結算系統運作程序規則》				
	For and on behalf of Hong Kong Securities Cleari	ing Company Limited	For and on behalf of Lender					
	Authorized Signature (with C	Company Chop)	Authorized Signature (w	ith Company Chop)				
	香港中央結算有限公司獲抄 Date: 日期		貸出人獲授權人士簽名 Date: 日期					
		FOR OFFICE USE ONLY 內部專用						
	Signature Verified by: 核對簽名		Date: 日期					
	Approved by: 批核		 Date: 日期					
	Input by: 輸入		Date: 日期					
	Checked by: 核對		Date: 日期					

(In triplicate) 一式三份

#### **Debt Securities Conversion Request Form - CNS Positions**

Clearing / Settlement Section		Date	
Hong Kong Securities Clearing Company Lim	ited ("HKSCC")		
7/F Infinitus Plaza			
199 Des Voeux Road Central			
Hong Kong			
Fax no. 2851 6921			
Dear Sirs,			
Re: Conversion of Debt Securities			
In respect of the debt securities due from), we wish	_		tion Number(s)
EXERCISE the conversion rights attached to			
(name of debt securities) (Stock Code :	) in	units of	(currency)
to convert for shares of			(Company
Name) (Stock Code :) at			
and we will credit good funds of /	•		bank account of
HKSCC Nominees Limited in accordance with	1 HKSCC's instruction	is.	
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:0 conversion period as specified by HKSCC in the rei (2) our request and instructions will only be effected of CCASS Operational Procedures as are in force from	evant CCASS corporate and accepted by HKSCC subje	nouncement; and	
Authorized Signature of CCASS Participant (with Company Chop)			
Participant ID :			
Name (in block letter):			
Contact Tel No :			
Contact Person :			

## Request For Maintenance Of Day-End Standing Withdrawal Instruction

C	ecurities Clear	ement Department ring Company Limi	ted	
		al, Hong Kong		
Date :		(DD/MM/	YY)	
1. Particulars of Particip	pant			
Participant ID:		Participant Fu	ıll Name :	
Contact Person :				
Contact Telephone Nur	nber :			
Fax Number :				
2. Day-End Standing Wi	ithdrawal Ins	struction		
2.1 Nature of Request				
□ Add		Change		Delete
2.2 Latest Instruction Deta	ils (For "Add'	' and "Change" only	y)	
Applicable CCMS Acc	ount :	House Collatera	al Account #000	1
Minimum Free Balance	÷ :	HK\$		
Effective Date*	:			(DD/MM/YY)
* HKSCC has the right	to override th	ne effective date to a	date as specifie	ed in section 3 below.
		Authorised Sign	nature of CCASS	•
3. For Use By HKSCC				(with company chop)
☐ Accept (revised Ef	fective Date,	if applicable :		_(DD/MM/YY))
□ Reject				
Remarks :				
Checked by:				
Approved by:				

# **Cross-border Transfer Instruction Form**

To: Hong Kong Securi 2/F Infinitus Plaza 199 Des Voeux Ro Hong Kong Attn: Depository S			)	Dat	te:					
Dear Sirs, <b>FORE</b>	IGN SECURITIE	S - ' DELIVER F	REE OF	PAYME	NT ' INSTRUCT	TION				
1. Please DEBIT m										
Stock Code		Stock Name			Numbe	er of Shares				
	oove shares Free of Pa			ompany (''l	DTC") according to	the following				
Na	me of Receiving DTC	Participant		DTC Ac	count Number of Rec	ceiving Participant				
CUSIP (Security Identifier Numb	per)	Name of Secur	ities		Share	e Quantity				
I/We understand and ac (1) my/our instruction settlement in DTC (2) my/our request and CCASS Operation	ns should reach HKS; and	y be effected or accep	ted by HKS							
Participant ID	:									
Participant Name (in block letter)	:									
Contact Person / Telephone Number	:									
Fax Number :					Authorized Signature of C (with Company	*				
Note: - Hong Kong Securitie Account Name: Account Number		d account with DTC: urities Clearing Company	Limited							
		For Office U	Use Only							
Signature Verified	Instruction Approved	DTC Instruction Prepared	DTC Instruction	on Authorized	CCASS Account Updated	Advice Issued				

#### **Cross-border Transfer Instruction Form** To: Hong Kong Securities Clearing Company Limited ("HKSCC") Date: 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910) Dear Sirs, FOREIGN SECURITIES - ' RECEIVE FREE OF PAYMENT' INSTRUCTION Please RECEIVE the below shares Free of Payment via Depository Trust Company Limited ("DTC") according to the (yy/mm/dd) New York Time. following instruction for value Name of Delivering DTC Participant DTC Account Number of Delivering Participant **CUSIP** Name of Securities Share Quantity (Security Identifier Number) Upon receipt of 'stock received' confirmation from DTC, please CREDIT my/our CCASS Stock Account Number 2. with the following holdings: Stock Code Number of Shares Stock Name I/We understand and acknowledge that: (1) my/our instruction should reach HKSCC no later than 2:00 p.m. (Hong Kong Time) for the specified value date settlement in DTC: (2) for Receive Free of Payment Instruction, due to time difference between Hong Kong and New York, my/our CCASS Stock Account will be credited with the relevant Nasdaq-Amex Stock on the next business day after receipt of stock receive confirmation from DTC; and (3) my/our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time. Participant ID Participant Name (in block letter) Contact Person / Telephone Number Authorized Signature of CCASS Participant Fax Number (with Company Chop) Note: - Hong Kong Securities Clearing Company Limited account with DTC: Account Name: **Hong Kong Securities Clearing Company Limited**

Account Number	2338						
For Office Use Only							
Signature Verified	Instruction Approved	DTC Instruction Prepared	DTC Instruction Authorized	CCASS Account Updated	Advice Issued		

HKE<sub>×</sub>香港交易所

附錄 7.4

## **Cross-border Transfer Instruction Form**

To: Hong Kong Securities Cle 2/F Infinitus Plaza 199 Des Voeux Road Cen Hong Kong Attn: Depository Services	tral			Da	te :	
Dear Sirs,						
FOREIGN SECU	RITIES – <u>C</u>	ANCEL ' RECEI	VE FRE	E OF PA	YMENT ' INST	RUCTION
Please CANCEL the follows you on		ve Free of Payment '	Instructio	n via Depo	ository Trust Comp	any ("DTC") sent to
Name of D	Delivering DTC	Participant		DTC Ac	count Number of De	elivering Participant
CUSIP (Security Identifier Number)		Name of Secur	ities		Sha	re Quantity
(3) my/our request and instru CCASS Operational Proce				GCC subject	to the General Rule	s of CCASS and the
Participant Name (in block letter) :						
Contact Person / Telephone Number :						
Fax Number :					Authorized Signature of (with Compan	•
Note:  - Hong Kong Securities Clearin  Account Name:  Account Number:		ed account with DTC:	Limited			
		For Office U	Use Only			
Signature Verified Instr	ruction Approved	DTC Instruction Prepared	DTC Instructi	on Authorized	CCASS Account Updated	Advice Issued

# **Creation of Units - Credit Authorization Form (Cash Application)**

	2/F Infi	Kong Securities Clearin Initus Plaza s Voeux Road Central	ng Company Limited	mited ("HKSCC") Date :					
	Hong k		ax No. : 2543-7910)		n Order	No.:			
Dear S	irs,								
With 1	referenc	ce to our Unit Creat	ion Order (by Cas	sh Application)	in resp	ect of	units in the		
		dated	a	nd the subseque	nt accep	otance of that Order,	we hereby authorize		
HKSC	C to cre	edit the above units to	our CCASS stock ac	ccount number _		on	·		
		d and acknowledge tha ules of CCASS and the							
Particij	pant ID	:							
	pant Na ck lette								
	t Perso one Nu			_					
					Autho	orized Signature of C (with Company			
Fax Nu	ımber	:							
			For	Office Use Only					
Signa Veri		Instruction Approved	Unit	ts Credited		Report Checked	Advice Issued		
VCII									

## **Creation of Units - Debit and Credit Authorization Form**

2/. 19 He	2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Creation Order No.:  Attn: Depository Services (Fax No.: 2543-7910)											
Dear Sirs	5,											
		e subse	quent ac	ceptance of	that Orde	r, we here	by authorize HKS	CC to debit	t these units fron	n		
Fund. U	SS stock account nu Jpon receipt of the	above	units, H	on IKSCC is a	uthorized	to credit	them to our CC	ASS stock	account numbe	r		
Stock Code	Stock Short Name		Share Quantity				Stock Short Name	Share Quantity				
				<u> </u>								
						-						
			1 1									
						-						
				<u> </u>								
			1 1	1 1 1								
				1								
				1						1		
				1 1 1								
				<u> </u>						1		
				<del>                                     </del>	<u> </u>							
				<u> </u>	<u> </u>							
				1 1 1								
				<u> </u>								
			1 1	<u> </u>	<u> </u>							
the Gene Participa Participa (in block Contact l	nt Name : letter)  Person / le Number :					res as are	in force from time	to time.	SS Participant	)		
a.					ffice Use							
Signature V	Verified Instruction App	roved	Uni	ts Debited	Sha	re Credited	Report Check	red	Advice Issued	-		

# **Redemption of Units - Debit and Credit Authorization Form**

2, 19 H	/F Infinit 99 Des V Iong Kon	us Plaza oeux Road Central			Redemption Order No.:								
Dear Sir	rs,												
		our Unit Redemp	equent acc	eptance of t	hat Order	, we hereb	y authorize HKSO	CC to d	debit the	ese uni	ts fro	m	
our CCA Fund. U CCASS	ASS stock Upon reconstock according	c account number _eipt of Eligible Secount number	curities in	on respect of t	he said re	edemption,	and deliver , HKSCC is author	r them orized	to the re to credi	egistra t them	r of tl	he ur	
Stock Code		Stock rt Name	Share	e Quantity		Stock Code	Stock Short Name	Share Quantity					
			, , ,	1 1	1 1				1 1		,		
								i		   ,			
								i i		<u> </u>	.		
								<u></u>		 	<u>                                     </u>		
								<u> </u>		<u>                                       </u>			
										<u>                                       </u>			
					1 1								
			1 1	1 1	1 1				1 1		,	l ,	
		i	1 1	1 1	1 1			ĺ	1 1		,		
					1 1			İ	1 1	<u> </u>	<u> </u>		
					1 1			Ī		   ,	, l		
								<u> </u>		<u> </u>	<u>                                     </u>		
			<u> </u>		<u> </u>			<u>_</u>		<u>                                       </u>	 . [		
								<u> </u>		<u>                                       </u>	<u>                                     </u>		
								<u> </u>		<u>                                       </u>			
										<u>                                     </u>	لِـــــــــــــــــــــــــــــــــــــ		
					1 1					<u> </u>			
the Gend Participa Participa (in block Contact	eral Rule ant ID ant Name k letter) Person / ne Numb					es as are in		re of C	CASS I				
		-		For O	fice Use 4	Only	(201	-FJ	P)				
Signature Verified Instruction Approved			Units	Debited	fice Use (	Credited	Report Checke	ed	Ac	Advice Issued			