HKEx香港交易所

中央結算系統運作程序規則

定義

(a) 為便利讀者,規則內的定義茲重覆載列如下:

「合資格證券」

指 根據規則第501條,不限於目前獲結算公司接納可於中央結算系統內存放、結算和交收的已發行證券(包括但不限於境外證券、債務證券、預託證券、結構性產品、外匯基金債券、政府債券、指定債務工具、債務工具中央結算系統債務工具、認股權證、期權及基金單位及其他根據集體投資計劃所規定的股權);同時,(a)若文義所需,包括任何數量的該等已發行證券,以及(b)除非文義不許可,否則包括在發行後獲接納爲合資格的新發行股份;

「新發行股份」

指 新股發行中的股份<u>、預託證券</u>、認股權證、結構性產品、 債務證券或基金單位;

「基金單位」

指 單位信託中一個不分割份數<u>或不分割實益權益、基金或類</u> 似的投資安排;

第八節

代理人服務

8.18 電子認購新股指示

8.18.1 緒言

參與者可透過電子方式指示結算公司:(a)安排代理人代其認購新發行股份,以及(b)安排支付認購款項,並在未能成功認購新發行股份或只能成功認購部分新發行股份的情況下退還認購款項。參與者發出電子認購新股指示時,參與者便被視為同意以下條文:

(xi)參與者授權發行人,就其獲配發的新發行股份,發出以代理人爲名義的股票,並在招股章程所載結算公司或代理人派發股票的指定時間之前,將該等股票送交中央結算系統存管處服務櫃檯(地址爲香港中環德輔道中199號維德廣場2樓);

<u> 附錄 2</u>

		附錄 2.2
	Date:	
Hong Kong Securities Clearing Co. 2/F <u>Infinitus Vicwood Plaza</u> 199 Des Voeux Road Central Hong Kong	Ltd.Company Limited	
<u>Stock</u>	Pledgee Declaration Form	
I/We declare that I/we entered int [ID/Name] The said CCASS pParticipant has fand-We as a consequence thereof Form duly completed and signed by as detailed below from my/our Stoce-	on the [date] failed to meet his/its obligation of the details of the de	on under the said transaction. hdrawal Order Input Request l withdrawal of the securities
Participant ID	:	
Name (in Block Letter)	:	
CCASS Stock Account number	:	
Stock code	:	
Stock Name	:	

: With reference to SI Input No.

Authorized Signature of CCASS Participant (With Company Chop)

c.c. Default Stock Pledgor

Number of Shares

Reasons

: A <u>completed and signed</u> <u>separate</u> Withdrawal <u>Order</u> Note to Participant

Input Request Form should be submitted together sent

along with this form to CCASS Depository.

Beneficiary Details and Registration Authorization Form Beneficiary Details and Authorization

	Hong Kong Securities Clearing Co 2/F <u>, Infinitus Viewood P</u> laza, 199 I			
Re: I	Registration ofin	units (/	, currency / nominal amount)(Name of Bond)
We	refer to our withdrawal request (date) for	(Login Withdrawal Ord	ler No. V, cur) inputted into CCASS on rency / nominal amount) in the above-named
secu	rity and, hereby request HKS	SCC to arrange for	the registration of	rency / nominal amount) in the above-named f the said security into the name of
	uctions. We shall collect the bond vailable.	(the bene certificate(s) on behalf	ficiary) in accordance of the registered bond	e with the attached Registration and Payment dholder at the CCASS Depository when they
Com	pany Chop and Authorized Signat	ure(s) of CCASS Particip	oant	
		REGISTRATION	AUTHORIZATION	<u>v</u>
То:				f Issuer/Transfer Agent)
Re:	Registration of	units (/ _		, currency / nominal amount)
	ın			(Name of Bond)
We e	enclose herewith/	(cur	ency / nominal amou	nt) of the above-named security and hereby
requ secu	est you to arrange for the registrati	on of/ ation and Pavment Instru	(actions as set out below	(currency / nominal amount) of the said w, please let us have the balance in one
	oal Certificate amounting to			
HKS	SCC Nominees Limited			
Auth	orized Signature (s)	_		
Regi	stration and Payment Instruction	<u>ns</u>		
I/We	<u> </u>			equest the Issuer/Transfer Agent of Name of Bond) to
regis with	ter a total of// my/our instructions as set out below	ow:	(currency / nomin	nal amount) in my/our name(s) in accordance
Deno	omination required:x	/		(currency / nominal amount)
Nam	e of bondholder:			
	ress of bondholder:			
			Tel:	
	se pay the redemption proceeds and			as follows: k A/C No.:
Auth	norized Signature(s) of bondholder	(s)	Spec	cimen Signature(s) of bondholder(s)

Voting Request Form

Units 2505-6, 25/F-, Infi 199 Des Voeux Road Ce	learing Company Limited nitus Viewood Plaza	("HKSCC")	te:
Hong Kong			
Dear Sirs,			
Re:		(Company Name) ((Stock Code :)
follows in respect of my	y/our <u>holding shares in the nt date stated in the</u>	e above Company held by	our following instructions as you for my/our account as at orate announcement Corporate
	ing (AGM)	1 11 13/	
Number in <u>the</u> Proxy Form	For	Against	Demand Poll Request (if applicable)
the relevant CCASS (2) if HKSCC does not such actions with resources our request and instructions.	ch HKSCC no later than to corporate announcement; receive this form by the stage to our securities as it	ated deadline, HKSCC is may consider fit; and ted or accepted by HKSC	entitled (but not bound) to take C subject to the General Rules time to time.
		Participant ID : Name : Contact Tel No. : Contact Person :	
Authorized Signature of (with Company Chop)	CCASS Participant	Contact I Cison .	

Please tickeheck the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record date/relevant date.

If you vote without inserting the number of shares/warrants, we shall regard your instruction applicable to your total (eligible to vote) holding based on our records on the relevant day.

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You are reminded that under CCASS rules the giving of instructions to HKSCC Nominees in respect of your shares constitute an undertaking by you to maintain the relevant number of shares in your CCASS Stock Accounts on the meeting date. Further, HKSCC Nominees reserves the right to allocate voting rights between participants in the event that not all shares are re-registered in its name in time for the meeting.

Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.

Foreign Currency Dividend Entitlement Instruction Form

Nominees Section				Date :
Hong Kong Securities Cle	aring Compa	ny Limited ("H	KSCC")	
Units 2505-6, 25/F-, Infini			,	
199 Des Voeux Road, Cer				
Hong Kong				
Fax no.: 2815 2728				
1 dx 110 2013 2720				
Dear Sirs,				
Re:(Stock Code :				(Company Name)
(Stock Code:)			
Dividend at	_/	_ (currency / am	ount) per share	
Payable : on or ab	out			
We wish to receive the ab for our account as follows		on our holding o	of	shares held
1. □ In Bank Draft	after deductin	ng the charges in	curred	
2. □ Credit to our b	ank account v	via telegraphic tı	ransfer held with	
We understand and acknowled (1) this form should reach H. relevant CCASS corporate (2) if HKSCC does not receiv actions with respect to our (3) our request and instruction and the CCASS Operations	KSCC no later to announcement; e this form by to securities as it no as will only be ef	the stated deadline, nay consider fit; and fected or accepted i	HKSCC is entitled (bd dby HKSCC subject to the	out not bound) to take such
Authorized Signature of C (with Company)		ipant		
Participant ID	:			
Name (in block letter)	:			
Contact Tel No	:			
Contact Person	:			
Note:				
Please tick the appropriate box(es).				

Cash/Scrip Dividend Election Form

Nominees	s Section		Date :	
Hong Kon	ng Securities Cl	learing Company Lin	mited ("HKSCC")	
Units 250	5-6, 25/F . , <u>Infi</u>	nitus <mark>Viewood</mark> Plaza		
199 Des V	Voeux Road , Co	entral		
Hong Kon	ng			
Fax no.: 2	2815 2728			
Dear Sirs	,			
Re:			(Comp	any Name)
(Stoc	k Code ·)	(Comp	any Name)
(5100)		/		
C	ntional Divide	nd at	(currency / amount) per share or	
	1		(currency / amount) per share or	
			(currency / amount) per share	
P	ayable : on or a	ibout		
We wish	to receive the a	bove dividend on our	r holding held in our account as follows:	:-
. –		\.		
1. \square	In cash (, currency) in respect	t of our total holding as at the last registr	ration date.
2 🗆	In carin in rac	enact of our total half	ding as at the last registration data	
2. □	in scrip in res	spect of our total noic	ding as at the last registration date.	
3. □	In cash and/o	r scrin in accordance	e with the following distribution	
э. ц			b be received on our	charec
	Cash dividen	a (, currency) to	be received on our	shares
	Cash dividen	d (, currency) to	be received on our	shares
	Cash dividen	d (, currency) to	be received on our	shares
	Scrip to be re	ceived on our	shares	
 (1) this for CCAS (2) if HK with r (3) our re 	'S corporate annou SCC does not receives espect to our secure equest and instruct	HKSCC no later than the concement; ive this form by the stated rities as it may consider fi	d or accepted by HKSCC subject to the General	take such actions
Authorize	ed Signature of	CCASS Participant		
	(with Comp	•		
	_			
Participar	nt ID	:		
Name (in	block letter)	:		
Contact T	el No	:		
Contact P	erson	:		
Note: Please tick th	e appropriate box and	d insert the number of shares	s if you opt for item 3.	

Subscription of Open Offers Form

Nominees Section	n		Date :
Hong Kong Secu Units 2505-6, 25, 199 Des Voeux F	rities Clearing Company /F., <u>Infinitus Viewood</u> P Road, Central, Hong Kor	laza	
Fax no.: 2815 27	28		
Dear Sirs,			
Re: Open Of (Stock C	fer for shares of	/ (currency / st	(Company Name) ubscription price) per share
Please act on our	behalf in respect of our	holding as follows:-	
Open Offer			
/	new shares (currency / amoun HKSCC's instructions.	in respect of our holdings. Yet) into the bank account of I	We will credit good funds of HKSCC Nominees Limited in
	above, please debit CCASS stock account nu		nts of the company mentioned
Excess Application	<u>on</u>		
we understand and a control the bank accomment of the bank accomme	our behalf. We will cre ount of HKSCC Nomine acknowledge that: Id reach HKSCC no later the Scorporate announcement; so not receive this form by the our securities as it may consi- ebit immediately from our CC ses set out herein upon receipt of d instructions will only be effect	ees Limited in accordance with the close of the subscription per stated deadline, HKSCC is entitled ider fit; CASS stock account the number of the four request; and	(currency / amount)
		Authorized Signat	cure of CCASS Participant (with Company Chop)
		Participant ID	:
		Name (in block letter)	·:
		CCASS Stock A/C#	:
		Contact Tel No	:
		Contact Person	:

Take-over Offer Acceptance/Election Form

Nomin	nees Section			Date :
Hong l	Kong Securities C	Clearing Company	Limited ("HKSCC	· · · · · · · · · · · · · · · · · · ·
		<u>initus <mark>Vicwood-</mark>Pl</u>		•
	es Voeux Road , C			
Hong l	Kong			
_	o.: 2815 2728			
Dear S	Sirs,			
Re:			(C	Company Name) (Stock Code:)
	Cash Offer at	/	_ (currency / offer p	price) per share and/or
	Scrip or Securiti	ies Exchange Offe	er atper sha	re
accoun	nt. Accordingly,	please debit		the company mentioned above from our :-
1. [☐ Acceptance of	of the offer consid	leration in CASH ir	respect of our holding as stated above.
2.	☐ Acceptance of	of the offer consid	leration in SCRIP in	n respect of our holding as stated above.
3.	☐ Acceptance of	of the offer consid	leration in cash and	in scrip
	Cash to be re	eceived in respect	of	in scrip shares out of the holding
	as stated abo	ove.		
	Scrip to be reas stated abo		of	shares out of the holding
(1) this cor (2) if H res ₁ (3) HK req (4) our	porate announcement HKSCC does not recei pect to our securities (SCC will debit immed puest; and r request and instructi	HKSCC no later than t t; ive this form by the sto as it may consider fit; diately from our CCA	ated deadline, HKSCC ASS stock account the nated or accepted by HKS	eriod as specified by HKSCC in the relevant CCASS is entitled (but not bound) to take such actions with number of shares set out herein upon receipt of our SCC subject to the General Rules of CCASS and the
Author	rized Signature of (with Compar	CCASS Participa ny Chop)	nnt	
Partici	ipant ID	:		_
	(in block letter)	:		
	ct Tel number			
	ct Person			· -
Note: Please tic	ck the appropriate box an	nd insert the number of sl	hares if you opt for item 3.	

Rights Issue Subscription Request Form

Nominees Section	Date :
Hong Kong Securities Clearing Company	y Limited ("HKSCC")
Units 2505-6, 25/F-, <u>Infinitus Viewood</u> P	
199 Des Voeux Road, Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)/(currency / subscription price) per share
Please act on our behalf on our holding a	s follows:-
Rights Allotment	
Take up new share	s on our behalf. We will credit good funds of/
-	o the bank account of HKSCC Nominees Limited in
accordance with HKSCC's instructions.	
Accordingly, please debit	il-paid rights of the company mentioned above from our
CCASS stock account number	· ·
Excess Application	
Apart from the new shares which we are	entitled to subscribe for, please also apply forexcess
-	d funds of/ (currency / amount) into
	Limited in accordance with HKSCC's instructions.
the bank account of THESCO Tronninces I	mined in decordance with THESEC 5 instructions.
We understand and acknowledge that:	
(1) this form should reach HKSCC no later the	un the close of the subscription period as specified by HKSCC in the
relevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the	stated deadline, HKSCC is entitled (but not bound) to take such actions
with respect to our securities as it may consider	
(3) HKSCC will debit immediately from our Co	CASS stock account the number of nil-paid rights set out herein upon
receipt of our request; and (4) our request and instructions will only be eff	fected or accepted by HKSCC subject to the General Rules of CCASS
and the CCASS Operational Procedures as a	1 0
	<u></u>
Authorized Signature of CCASS Particip	ant
(with Company Chop)	
	Participant ID :
	Name (in block letter):
	Contact Tel No :
	Contact Person :

Warrant Conversion Request Form

Nominees Section		Date :
Hong Kong Securities Clearing Company Li	imited ("HKSCC")	
Units 2505-6, 25/F-, Viewood Infinitus Plaza	Į.	
199 Des Voeux Road, Central		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re: Conversion of Warrants		
In respect of the warrants held by you for ou	r account, we wish you to a	act as follows:-
EXERCISE the subscription rights attached	to our warrants:	(name of
EXERCISE the subscription rights attached warrant) (Stock Code:) in	units of	(currency) to
subscribe for shares of (cu	-	(Company Name) (Stock
Code:) at/(cu	rrency / conversion price)	per share, and we will credit
good funds of _/(currency /	amount) into the bank ac	ecount of HKSCC Nominees
Limited in accordance with HKSCC's instru	ections.	
B	• • • • • • • • • • • • • • • • • • • •	1.1. 6
Pursuant to the above, please debit	units of warrants menti	oned above from our CCASS
stock account number		
We understand and acknowledge that		
We understand and acknowledge that: (1) this form should reach HKSCC no later than the	close of the warrant conversion i	period as specified by HKSCC in the
relevant CCASS corporate announcement;	erose of the warrant conversion p	vertical as appearance by 11112 e.e. in the
(2) if HKSCC does not receive this form by the state		but not bound) to take such actions
with respect to our securities as it may consider f (3) HKSCC will debit immediately from our CCASS		regards set out honein upon receipt of
our request; and	stock account the number of wat	rrants set out nereth upon receipt of
(4) our request and instructions will only be effected	l or accepted by HKSCC subject	to the General Rules of CCASS and
the CCASS Operational Procedures as are in for		,
	_	
Authorized Signature of CCASS Participant		
(with Company Chop)		
	Douti aire and ID	
	Participant ID	·
	Nome (in block letter)	
	Name (in block letter)	:
	Contact Tol Mo	
	Contact Tel No	:
	Contact Person	•
l l	~ 011tuvt 1 010011	•

DIVIDEND / BONUS CLAIM LETTER 股息 / 紅股申請書

Date 日期 Claims ref 申索編號

	申索編號
Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") NOMINEES LIMITED	
Units 2505-6, 25/F, VICWOODInfinitus PlazaLAZA	
199 DesES VoeuxOEUX RoadOAD CentralENTRAL HongONG KongONG	
香港中環德輔道中199號	
<u>無限極</u> 無傷	
無限極維德廣場 2 <u>5</u> 樓 <u>2505-6 室</u> 香港中央結算有限公司("香港結算")及 香港中央結算(代理人)有限公司 <u>("香港結算代理人")</u>	
Dear Sir : 執事先生	
In respect of the Dividend / Bonus Claim onshares of	under certificate numberNec
registered in your-the name of HKSCC Nominees,	under certificate <u>intificetives:</u>
就以 香港結算代理人貴公司名義登記的	(四公账框:),木人/ ##性出
來函申索有關的股息 / 紅股 <u>,</u> (股份名稱)	
	who through an oversight, neglected to have the above shore
I / We write on behalf of our client,transferred before the closing of the Company's book on	, who through an oversight, neglected to have the above share
transferred before the closing of the Company's book on	該客戶一時疏忽,沒有在 <mark>有關</mark> 截止過戶日期(即 年 月 日
For your easy reference, we I/We enclose herewith the under-mentioned documents	
of the said shares for your perusal:-	
隨函附上下列有關該等股份的文件(第1、2項爲正本,第3至6項爲認證副	本),以供 貴公司參考:-
1. Letter of Indemnity	
保證書	
2. Original Claim Letter from beneficiary with the participant's endorsement 連同參與者簽署的受益人申索書正本	
3. Purchase Contract Note	
買賣合約	
4. Stock Withdrawal Receipt 股份提取收據	
 Share Certificates and Transfer Deeds 	
股票及過戶契據	
6. Transfer Receipt 過戶收據	
As the shares were registered in your Nominee'sthe name of HKSCC Nominees, Le 由於該等股份是以 香港結算代理人貴公司名義登記,懇請 貴公司安排發還	we should be grateful if you would arrange for refund of the followin:
Interim/Final*Ddividend of per share totallin	ng
(年度)	
Bonus Issue of totalling share of	
	(股份名稱)
I/We understand and acknowledge that all claims will only be effected and according CCASS Operational Procedures as are in force from time to time and to the part	
time to time issued by HKSCC.	•
本人/我們明白及確認所有香港結算處理及接受的申請均受現行香港結算不	
規則》、有效的參與者協議、以及香港結算不時發出的其他相關文件所約束	•
Kindly contact our on Tel: if you have any queries on the	is matter.
如有任何疑問,請電	
Authorised Signature of CCASS Participant 中央結算系統參與者之認可簽署 (With Company Chap) (東国公司印章)	
(With Company Chop) (連同公司印章) 中央結算系統參與者之認可簽署	
中央結算系統參與者之認可簽署 (連同公司印章)	
Participant ID:	
参映台細弧 Name (in block letter):	
參與者名稱	
◆ D. 1 、 1 · 1 · 1 · 1 · 1 · 1 · 1 · 1 · 1 ·	
* Delete whichever is inapplicable 請刪去不適用處 12	

Note: Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.

注: 所有<u>申請在中央結算系統內進行的活動均受現行的香港中央結算有限公司不時發出的《中央結算系統一般規則》及</u> 《中央結算系統運作程序規則》所約束。

LETTER OF INDEMNITY

To: Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F., ViewoodInfinitus Plaza 199 Des Voeux Road, Central Hong Kong

In consideration of HKSCC Nominees instructing and directing HKSCC to effect the act or acts set out in Part 1 below; and in consideration of HKSCC following the instructions of HKSCC Nominees with respect to any act mentioned in Part 1 below, we hereby undertake to indemnify both HKSCC and HKSCC Nominees (together, the "Companies") in the manner set out in Part 2 below.

<u>Part 1</u>		
		dividend of/
(currency / amou	unt) in total and/or send or rel	lease to us the bonus issue
shares of	(St	cock Code / Name of the bonus issue) in
total in respect of	ofsh	ares of
(Company Nam	e) (Stock Code:	cock Code / Name of the bonus issue) in lares of
issued by the co	mpany and which are register	red in the name of HKSCC Nominees.
Part 2		
		the Companies indemnified against (i) all
		which may be brought against each of the
		costs and expenses suffered or incurred by
		ch may arise out of or in connection with
	1	one or performed any of the acts mentioned
in Pa	rt 1 above.	
Dated this	day of	20
	ture of CCASS Participant	Banker's endorsement
(With Company C	Chop)	
Dentisia and ID		
Participant ID	:	
Name (in block le		
Contact Tel No		
Contact Person	:	
Witness by:	(Signature)	
	(Signature)	
	(Name)	
	(Name)	
Address:		
*Delete whichever is ina	applicable	

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Debt Securities Conversion Request Form

Nominees Section	Date :			
Hong Kong Securities Clearing Company Lin	· · · · · · · · · · · · · · · · · · ·			
Units 2505-6, 25/F ₋ , Viewood Infinitus Plaza				
199 Des Voeux Road, Central Hong Kong				
- 111				
Dear Sirs,				
Re: Conversion of Debt Securities				
In respect of the debt securities held by you f	for our account, we wish you to act as follows:-			
EXERCISE the conversion rights attached to	our debt securities: units of			
(name of debt secu	urities)(Stock Code :) inunits of			
(curren	ncy) to convert for shares of			
	(Company Name)(Stock			
Code :) at/	(Company Name)(Stock (currency / conversion price) per share; and			
we will credit good funds of /	(currency / amount) into the bank account of			
HKSCC Nominees Limited in accordance wi	th HKSCC's instructions.			
HKSCC in the relevant CCASS corporate annound (2) if HKSCC does not receive this form by the stat actions with respect to our securities as it may cor (3) HKSCC will debit immediately from our CCASS s receipt of our request; and	ed deadline, HKSCC is entitled (but not bound) to take such a sider fit; stock account the number of debt securities set out herein upon or accepted by HKSCC subject to the General Rules of CCASS			
Authorized Signature of CCASS Participant (with Company Chop)				
	Participant ID :			
	Name (in block letter) :			
	Contact Tel number :			
	Contact Person			

Debt Securities Redemption Acceptance Form

Nominees Section			Date :	
Hong Kong Securities	Clearing Company I	Limited ("HKSCC")	
Units 2505-6, 25/F-, Vi			,	
199 Des Voeux Road, (·			
Hong Kong				
Fax no.: 2815 2728				
1 4.11 11011 2010 2720				
Dear Sirs,				
Re:		(Name of Debt	Securities) (Stock Code :)
Debt Securities l	Redemption at	_/(cu	rrency / redemption price) per u	nit
We wish to evercise of	our right to redeem	the debt securities	in respect of our holding of	
			units of debt securities fr	
our CCASS stock according			units of debt securities in	10111
our cerios stock accor	unt number	•		
We understand and acknow	ledge that			
		the close of the debt se	ecurities redemption period as specifie	ed by
	CCASS corporate annoi	-	1 1 1	,
			C is entitled (but not bound) to take	such
	our securities as it may c			
		S stock account the nu	mber of debt securities set out herein i	ироп
			CC subject to the General Rules of CC	CASS
ana ine CC/155 Operais	ionai i roceaires as are	in jorce from time to til	ie.	
Authorized Signature o	of CCASS Participan	<u>—</u> f		
(with Comp	*	·		
(with comp	any Chop)			
Participant ID	•			
Turticipulit 12	•			
Name (in block letter)	:			
. (
Contact Tel No	:			
	·			
Contact Person	•			

To: Customer Service Centreash Clearing Operations,

STOCK SEGREGATED ACCOUNT MAINTENANCE FORM – WITHOUT STATEMENT

	learing Company Limited Date:	
2/F , <u>Infinitus</u>Viewood Pl 199 Des Voeux Road Ce		
Hong Kong	atta	
Fax: (852) 2815-6115	CCASS Hotline: (852) 2979-7111	
		CCASS
From:		Participant ID
(Full Name of Particip	<u>pant)</u>	
I. <u>MAINTENANCE REQU</u>	IEST	
	<u> </u>	
[] A – Add	[] C – Change	[] D – Delete
II. <u>PARTICULARS</u>		
II. FANTICULAND		
Participant ID:	Participant Name:	
Effective Date: _ /	/ _ [DD / MM / YY]	
Ear Add Change (New)	D-late essent places energify	
a) For Add, Change (New) of	or Delete account, please specify:	
STOCK A/C NO.	<u>SHORTNAME</u>	
		_
<u> </u> 		_ -
 		_!
		_
<u> - - </u>		-
		_
+		
 		
 		
 _ _ 		
· -		_ -
b) For Change of account, p.	lease specify old account details below:	
		_
		_
		_
		_
		<u> </u>
		1 1
1 1 1		1 1 1
		
For and on behalf of		

Authoriszed Authorized Signature(s) of CCASS Participant (with Ceompany eChop)			
	FOR OFFICE U	SE ONLY	
Signature verified and diary	Inputted by:	Input by:	
updated by:			
		Date:	Report Checked by:
Date:	Date:	Checked	Date:
		<u>by:</u>	Report
	Date:		checked by:
Approved by :		Date:—	
Signature	D .	Checked by :	Date:
Verified by :	Date:	Date:	

Note: _Each participant is allowed to open up to 15 Stock Segregated Accounts without statement services. Some possible names for Stock A/C No. 03 to No. 17 may include "Dealing A/C", "Investment A/C", "Client A/C"

Voting Request Form - CNS Positions

Clearing / Settlement Se Hong Kong Securities C 7/F., ViewoodInfinitus 199 Des Voeux Road Ce Hong Kong Fax no. 2851 6921	learing Company Limited_ laza	Date: _("HKSCC")	
Dear Sirs,			
Re:		(Company Name) (Stock	Code :)
instructions in respect of (Settlement Position Nu	f my / our shares in the al	oove Company due from m _) as at the <u>record/relevan</u>	cordance with our following by /our CNS long position(s) testated date
Court Meeting	□ Warran	/ Extraordinary General M t holders' Meeting d on	
Number in the Proxy Form	For	Against	Demand Poll Request (if applicable)
in the relevant CCAS (2) if HKSCC does not take such actions with take such actions.	ch HKSCC no later than the SS corporate announcement receive this form by the stath respect to our securities ructions will only be effective.	ated deadline, HKSCC is e	ntitled (but not bound) to C subject to the General
	1	Participant ID : Name : Contact Tel No. : Contact Person :	
Authorized Signature of (with Company Chop)	CCASS Participant		
Note:			

Please <u>tickeheek</u> the appropriate box(es) and insert the number of shares/warrants. If <u>you vote without inserting theno</u> number of shares/warrants <u>is inserted</u>, <u>we shall regard</u>-your instruction <u>to vote shall be deemed to be on applicable to-your total (eligible to vote) shares eligible for voting holding based on <u>our the</u> records <u>of HKSCC as at on the record /relevant dates</u>.</u>

You are reminded that under CCASS rules the giving of instructions to HKSCC Nominees in respect of your shares constitute an undertaking by you to maintain the relevant number of shares in your CCASS Stock Accounts on the meeting date. Further, HKSCC Nominees reserves the right to allocate voting rights between participants in the event that not all shares are re-registered in its name in time for the meeting.

Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.

19

То:	Hon 7/F	aring / Settlement Section ng Kong Securities Clearing Compar r, ViewoodInfinitus Plaza, 199 Des V no. 2851 6921	· ·	
Date	·			
Dear	Sirs,			
		ENTITLEMENT ELF	ECTION FORM - CNS POSITIO	ONS
Rega	arding	g the following overdue position(s) a	as at second ex-all date:	
Settl	emen	t Date		
Settl	emen	t Position Number		
	k Coc			
	k Nar			
		ng Share Quantity		
Tota	1 Outs	standing Share Quantity		
* we	<u>wisl</u> □	h to opt for the following entitlement ———————————————————————————————————	t of our total outstanding share quar	
<u>3.</u>		In cash and/or scrip in accordance Cash dividend (, currency) to		shares
		Cash dividend (, currency) to	be received on our	shares
		Cash dividend (, currency) to	be received on our	shares
		Scrip to be received on our	share	<u>es</u>
<u></u>	Electi	on for one type of entitlement		
H	K Do	llar Dividend on total outstanding sh	nare quantity	
⊟Fe	reign	Currency Dividend on total outstand	ding share quantity	
⊟Se	rip or	n total outstanding share quantity		
	<u>E</u>	lection for more than one type of ent	<u>titlement</u>	
	K Dol	llar Dividend onshares &	& Foreign Currency Dividend on _	shares
<u>We un</u> (1)	ndersta this fo corpor	ividend on shares & Scri and and acknowledge that: orm should reach HKSCC no later than the corate announcement; CC does not receive this form by the stated	close of the election period as specified by	

respect to our securities as it may consider fit; and



(3) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.

Authorized Signature of CCASS Participant	
(with Company Chop)	
Participant ID :	<u> </u>
Name (in block letter):	<u> </u>
<u>Contact Tel number</u> :	<u> </u>
Contact Person :	<u></u>
Note:	
Please tick the appropriate box and insert the number of shares if you opt for item	<u>n 3.</u>
D	articipant ID & Name:
1	articipant ib & Name.
T	'el No.:
	Authorized Signature of CCASS Participant
	 ,
(with Company	y Chop)
* Discontist de communication de discontistant de committee de communication de communicati	
* Please tick the appropriate box and insert the number of shares i	j you opi jor more inan one type of entitiemeni.
N.B. Please note that your instruction should	reach us not later than 4:00 p.m. on
·	1
	("ITIXOCO") 1
If Hong Kong Securities Clearing Company Limited instruction by the stated deadline, HKSCC will allocate the stated deadline.	("HKSCC") does not receive your specific
the default option offered by the Company.	the the childrent to you in accordance with
the deficient officed by the company.	

<u>Rights Issues Subscription Election Form - CNS Positions</u>

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Lim	ited ("HKSCC")
7/F. , Vicwood Infinitus Plaza ,	
199 Des Voeux Road Central,	
Hong Kong-	
Fax no. 2851 6921	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)
(Stock Code:) at /	(Company Name)(currency / subscription price) per share
(Stock Code) iii/	(currency / subscription price) per share
Please act on our behalf on our CNS long posi-	tion(s) (Settlement Position Number(s)
) as follows:-	· · · · · · · · · · · · · · · · · · ·
Rights Allotment	
T. 1	1 1 16 1 '11 1'4 1 6 1 6 /
	our behalf and we will credit good funds of/
· · · · · · · · · · · · · · · · · · ·	k account of HKSCC Nominees Limited in accordance
with HKSCC's instructions.	
Excess Application	
=	
Apart from the new shares which we are entit	led to subscribe for, please also apply for excess
-	funds of / (currency / amount) into
the bank account of HKSCC Nominees Limite	d in accordance with HKSCC's instructions
We understand and acknowledge that:	
 this form should reach HKSCC no later than 2:00 as specified by HKSCC in the relevant CCASS corp. 	p.m. on the Business Day before the close of the subscription period orate announcement: and
	caccepted by HKSCC subject to the General Rules of CCASS and the
CCASS Operational Procedures as are in force from	n time to time.
	Authorized Signature of CCASS Participant
	(with Company Chop)
	(with company chop)
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	Contact Person :

Subscription of Open Offer Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("H	
7/F., Vicwood Infinitus Plaza,	,
199 Des Voeux Road Central,	
Hong Kong-	
Fax no. 2851 6921	
Dear Sirs,	
Re: Open Offer for shares of	(Company Name)
(Stock Code :) at /	(Company Name) (currency / subscription price) per share
(2000) (2000)	(currency / successipation price) per simile
Please act on our behalf on our CNS long position(s follows:-) (Settlement Position Number(s)) as
Open Offer	
Take up now shares and we will area	lit good funds of / (ourrency /
Take up new shares and we will crec amount) into the bank account of HKSCC Nominees L	imited in accordance with HKSCC's instructions
amount) into the bank account of TIRSEC Nonlinees E	mined in accordance with TIXSEC 5 instructions.
Excess Application	
Apart from the new shares which we are entitled to su	bscribe for, please also apply for excess
shares on our behalf and we will credit good funds of	/ (currency / amount)
into the bank account of HKSCC Nominees Limited in	accordance with HKSCC's instructions.
W	
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on	the Rusiness Day before the close of subscription period as
specified by HKSCC in the relevant CCASS corporate announ	
(2) our request and instructions will only be effected or accepted	
CCASS Operational Procedures as are in force from time to ti	me.
	Authorized Signature of CCASS Participant
	(with Company Chop)
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	• ————
	Contact Person :

Take-over Offer Acceptance/Election Form - CNS Positions

Clearing / S	Settlement Section	Date :
Hong Kong	g Securities Clearing Company Limited ("H	KSCC")
7/F ., Viewo	ood Infinitus Plaza	
199 Des Vo	oeux Road Central , <mark>Hong Kong</mark>	
Hong Kong	2	
Fax no. 285	51 6921	
Dear Sirs,		
Re:		
Re.		(Company Name) (Stock Code :)
	Cash Offer at/ (current	ncy / offer price) per share and/or
	Scrip or Securities Exchange Offer at	per share
	•	of our CNS long position(s) (Settlement Position
Number(s)) of	shares as follows:-
1. \square	•	CASH in respect of our long position(s) stated above.
2. \square	Acceptance of the offer consideration in S	SCRIP in respect of our long position(s) stated above.
3. □	Acceptance of the offer consideration in c	•
	Cash to be received in respect of	shares of our position(s) stated above.
		shares of our position(s) stated above.
	Scrip to be received in respect of	shares of our position(s) stated above.
We und	lerstand and acknowledge that:	
(1) thi	is form should reach HKSCC no later than 2:00 p.m.	on the Business Day before the close of the offer period as specified
bу (2) ои	PHKSCC in the relevant CCASS corporate announce For request and instructions will only be effected or acc	ment; and cepted by HKSCC subject to the General Rules of CCASS and the
CO	CASS Operational Procedures as are in force from ti	ne to time.
Authorized	Signature of CCASS Participant	
14411011204	(with Company Chop)	
	(min company chop)	
Participant	ID :	
•	plock letter) :	
Contact Te		
Contact Per		
Note:		

Please tick the appropriate box and insert the number of shares if you opt for item 3.

Warrant Conversion Election Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("HKSCC")	
7/F ., ViewoodInfinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Tuk 110. 2001 0721	
Dear Sirs,	
Re: Conversion of Warrants	
In respect of the warrants (Stock Code)	
(name of warrant) due from the CNS long position(), we wish you to act as follows: -	
EXERCISE the subscription rights attached to the warrants m (currency) to subscribe for (Company Name) (Stock	shares of
(currency / conversion price) per share, and v	
(currency / amount) into the bank account of HK	
with HKSCC's instructions.	See Tommiees Emined in accordance
Will This ee a manderons.	
We understand and acknowledge that:	
 this form should reach HKSCC no later than 2:00 p.m. on the Business period as specified by HKSCC in the relevant CCASS corporate announc our request and instructions will only be effected or accepted by HKSCC CCASS Operational Procedures as are in force from time to time. 	cement; and
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No. :	
Contact Person :	

To: Clearing / Settlement Section, Hong Kong Securities Clearing Company Limited ("HKSCC") 7/F-, ViewoodInfinitus Plaza, 199 Des Voeux Road, Central, Hong Kong Fax no. 2851 6921

Date:

<u>APPLICATION FORM FOR CANCELLATION OF SETTLEMENT POSITION</u>

I.	Delivering Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
II.	Receiving Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
III.	Details of Settlement Position	
	Settlement Position No :	
	Position Type :	
	Stock Code :	
	Stock Name :	
	Settlement Date :	
	Outstanding Position Quantity:	
	-	
IV.	Declaration by Both Participants	
		ttlement position to be cancelled. The outstanding position has been / (date) by the following method:
	subject to the General Rules of CCASS and the	request and instructions will only be effected or accepted by HKSCC he CCASS Operational Procedures as are in force from time to time.
	Signed: Delivering Particle Authorized Signature of CCASS (with Company)	- <u>Delivering</u> Participant Authorized Signature of <u>Receiving CCASS</u>
	For Office Use	
	Checked by :	Date :
	Approved by :	Date :

Date:		

To: Clearing / Settlement Section
Hong Kong Securities Clearing

Hong Kong Securities Clearing Company Limited ("HKSCC")

7/F., ViewoodInfinitus Plaza 199 Des Voeux Road Central Hong Kong Fax no. 2851 6921

BUY-IN REQUEST FORM (FOR CCASS ISOLATED TRADES ONLY)

The following transaction is still outstanding <u>as</u> at the <u>date of time of writing</u> this letter. Please instruct the delivering Participant to buy back the shares required for completion of settlement.

Details of the outstanding transaction	
Name of deliveringparticipant	CCASS Participant ID
Settlement position no.	Transaction date
Stock name	Stock Code
O/S position quantity	O/S position amount
We understand and acknowledge that our request and inst General Rules of CCASS and the CCASS Operational Proceed	ructions will only be effected or accepted by HKSCC subject to the dures as are in force from time to time.
Authorized Signature of CCASS Participant (with Company Chop)	
Reporting CCASS Participant ID :	
Name (in block letter) of Reporting Participant:	
Signed :Contact Tel	
No: (with Co	mpany Chop)
Date : Contact Person:	

HKEx香港交易所

Hong Ko 7/F ., Vic		ompany Limited <u>("H</u>	IKSCC")				
WITHDRAWAI	L OF BUY-IN REQUES	ST FORM (FOR C	CASS ISOLA	FED TRADES)		Date:	
Date of Buy-in Request Form	Name of seller	CCASS Participant ID	Stock Code	Stock Name	Settlement Position No.	O/S position quantity	O/S position amount
	acknowledge that: request (for CCASS Isolated Tr instructions will only be effecte						ce from time to time.
(with Company Ch	nture of CCASS Participar op)	<u>nt</u>					
Participant ID	<u> </u>						

HKE_X 香港交易所

Note:			
			(with Company Chop)
Company Name		Signed	
Reporting CCASS Pa	rticipant ID		
Contact Person	:		
Contact Tel No	<u>:</u>		
Name (in block lette	er) :		

Hong Kong Securities Clearing Company Limited may not entertain any further complaint on an unsettled transaction for which a complaint has been withdrawn.

Rights Issues Subscription Election Form - IT Positions

Hong K 7/F., V 199 De Hong K	Kong Securities Clearing Company Limited ("HKSCC") Giewood Infinitus Plaza es Voeux Road Central Kong- 2851 6921	Date
Dear S	irs,	
Re:	Rights Issue for shares of	(Company Name) (currency / subscription price) per
Please	act on our behalf on our IT Position(s)) as follows:-	(Settlement Position Number(s)
<u>Rights</u>	Allotment	
	new shares on our behalf and we we count of HK interest instructions.	
Excess	S Application	
	from the new shares which we are entitled to su excess shares on our behalf and will credit go acy / amount) into the bank account of HKSCC Nominees tions.	ood funds of/
(1) this spec (2) our	erstand and acknowledge that: s form should reach HKSCC no later than 2:00 p.m. on the Business Day cified by HKSCC in the relevant CCASS corporate announcement; and request and instructions will only be effected or accepted by HKSCC s ASS Operational Procedures as are in force from time to time.	subject to the General Rules of CCASS and the
———Author	rized Signature of CCASS Participant (with Company Chop)	
Particip	pant ID :	
Name ((in block letter):	
Contac	et Tel No :	
Contac	et Person :	

Warrant Exercise Election Form - IT Positions

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limite	
7/F ., Viewood<u>Infinitus</u> Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Re: Exercise of Warrants	
In respect of the warrants (Stock	c Code)
(name of warrant) due from the IT le	ong position(s) (Settlement Position Number(s), ollows:-
	ne warrants mentioned above inunits of
(Company Name) (Stock Code:	res of
price) per share will credit good funds of	at/ (currency / conversion/ (currency / amount) into the bank
account of HKSCC Nominees Limited in accord	ance with HKSCC's instructions.
period as specified by HKSCC in the relevant CCASS	ccepted by HKSCC subject to the General Rules of CCASS and the
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel number :	
Contact Person :	

Transfer Instruction Form

2/F _z Viewood <u>Infi</u> 199 Des Voeux R Hong Kong		mited <u>("HKSCC")</u> Instru	action Date:
Dear Sirs,			
	FUND NOTES, GOVERN MU INSTRUMENTS REC		FIED INSTRUMENTS AND/OR ENT INSTRUCTION
1. Please RECEIVE note	es Free of Payment via CMU	according to the following in	nstruction for value on:
DEBIT Counterparty (Account Code)	Beneficiary	Issue Number	Nominal Value (Currency)
2. Please CREDIT my/o	ur CCASS stock account nun	nber with the fol	llowing holding :
Stock Code		Name	Number of Unit
(2) for Receive Free of Specified Instrument Government Bonds, credited on the next v (3) my/our instruction wi Operational Procedur	ould reach HKSCC No Later The Payment Instruction, my/our shad/or CMU Instruments by Specified Instruments and/or Cyorking day; and accepted and accepted the specified Instruments and accepted the specified and accepted the specified in the specified and accepted	account will be credited upon HKSCC from counterpart CMU Instruments are received by HKSCC subject to the C	settlement; on receipt of EFN, Government Bonds ty in CMU before 3:00 p.m. If EFN d after 3:00 p.m., my/our account will be General Rules of CCASS and the CCASS treements in effect and any other relevant
Participant ID :			
Participant Name (in block letters) :			
Contact Person / Telephone Number :			
Fax Number :		Authorized Signa (with Company)	nture of CCASS Participant (ny Chop)

Note:

-Your instruction should reach us No Later Than 2:00 p.m. for same day settlement.

For Receive Free of Payment Instruction, your account will be credited upon receipt of EFN, Government Bonds, Specified Instruments and/or CMU Instruments by HKSCC from counterparty in CMU before 3:00 p.m. If EFN, Government Bonds, Specified Instruments and/or CMU Instruments are received after 3:00 p.m., your account will be credited on the next working day.

-Your instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC.

	For Office Use Only						
Signature Verified T. I. Input T. I. Authorized Account Updated UML prepared / approved Advice Issued							

Transfer Instruction Form

Instruction Date:

199 Des Voeu Hong Kong	Infinitus Plaza x Road Central ervices (Fax No.: 2543-7910)	,,	
Dear Sirs,			
	FUND NOTES, GOVERNMENT BO IU INSTRUMENTS DELIVER FRI	*	
1. Please DEBIT my/ou	ur CCASS stock account number	with the following ho	olding:
Stock Code	Stock Name	1	Number of Unit
2. Please DELIVER no	otes Free of Payment via CMU according to	the following instruction	on for value on :
CREDIT Counterparty (Account Code)	Beneficiary	Issue Number	Nominal Value (Currency)
(**************************************			
(2) for Deliver Free (2) value day. I am instruction on the (3) my/our instruction CCASS Operation	n should reach HKSCC No Later Than 2 of Payment, instruction will automaticall /We are obliged to submit a fresh instruct working day; and n will only be effected and accepted by the number of the payments from time to time issued by HKS	y lapse if the instruction ruction to HKSCC if HKSCC subject to the to time and to the particular to	on is not settled in the CMU on the I/we wish to settle the prescribed the General Rules of CCASS and the
Participant ID Participant Name (in block letters) Contact Person / Telephone Number	:		
Fax Number	:	_	ure of CCASS Participant h Company Chop)
Note:			

To

-Your instruction should reach us No Later Than 2:00 p.m. for same day settlement.

: Hong Kong Securities Clearing Company Limited ("HKSCC")

-For Deliver Free of Payment, instruction will automatically lapse if the instruction is not settled in the CMU on the value day. You are obliged to submit a fresh instruction to us if you wish to settle the prescribed instruction on the next working day.

-Your instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC.

For Office Use Only					
Signature Verified	Account Updated	T. I. Input	T. I. Authorized	UML prepared / approved	Advice Issued

<u>Debt Securities Conversion Request Form - IT Positions</u>

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("HKSO	
7/F., Viewood Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Re: Conversion of Debt Securities	
In respect of the debt securities due from the IT p), we wish you to act as follow	
EXERCISE the conversion rights attached to our debt securities (Stock Code :) in	urities:
(name of debt securities) (Stock Code :) in	units of (currency) to
convert for shares of (Company Name) (Stock Code :) at/	(currency / conversion price) per
share; and we will credit good funds of /	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance with	
 We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the conversion period as specified by HKSCC in the relevant CCASS of our request and instructions will only be effected or accepted by ECCASS Operational Procedures as are in force from time to time. 	corporate announcement; and
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person :	

<u>Debt Securities Redemption Request Form - IT Positions</u>

Hong Kong Se 7/F., Viewood 199 Des Voeu	ecurities Clea <u>Infinitus</u> Pla	aring Company L za	imited <u>("Hk</u>	SCC")		Date_		
Hong Kong		ıaı						
Fax no. 2851 6	5921							
Dear Sir <u>s</u> ,								
Re: Redempti	on of Debt S	Securities						
-	ny / ourthe D	odebt Securities		_	(s) (Se	ttlement I	Position Numb	er(s)
EXERCISE		my / our	-				Securities:	of
		ompany name <u>of o</u> coupon rate) _					dd/mm/yy) in
		coupon rate)			(matur	ity date	- uu/mm/yy	/ III
in the releval	nt CCASS corp and instruction, rational Procea stand that a	SCC no later than the orate announcement is will only be effected lures as are in force ctivities under Ceffect.	<u>; and</u> d or accepted b from time to tir	y HKSCC subj 1e.	ect to th	e General K	Rules of CCASS ar	<u>nd the</u>
	gnature of Coth Company	CASS Participan Chop)	t					
Participant ID	:							
Name (in bloc	k letter):							
Contact Tel N	o :							
Contact Person	n ·							

STOCK LENDING ACCOUNT OPENING FORM 開立股份貸出戶口申請表

Го:	Clearing / Settlement Section Hong Kong Securities Clearing 7/F., ViewoodInfinitus Plaza 199 Des Voeux Road Central Hong Kong Fax no. 2851 6921 香港中央結算有限公司 結算及交收組 香港中環德輔道中 199 號	g Company Limited		
	無限極維德廣場 7 樓 傳真號碼: 2851 6921		Agreement No.: 協議編號	(For IRD use only)
I.	LENDER'S PARTICULARS 貸出人資料			(稅務局專用)
	Participant I.D.: 參與者編號 Business Registration No.: 商業登記號碼: Address: 地址:		Participant Name: 參與者名稱	
п.	BORROWER'S PARTICULA 借入人資料	RS		
	Name of Borrower: 借入人名稱	Hong Kong Securities Clearing Compa 香港中央結算有限公司	nny Limited	
	Business Registration No 商業登記號碼: Address: 地址	12/F., One International Finance Centre 香港中環港景街一號國際金融中心一		al, Hong Kong
	Settlement System ("CCASS" entered into with HKSCC sha Lending Regulations of CCAS 貸出人特此向香港中央結算	7). The Lender hereby certifies that the all be subject to the relevant provisions o S each as amended from time to time. 有限公司(「結算公司」)申請在中央結:無誤。所有與結算公司訂立的借入證券	particulars contained herein are f the CCASS Rules, Operationa 算及交收系統(「中央結算系統	n a Stock Lending Account in the Central Clearing and true and correct. All securities borrowing transactions al Procedures and the Compulsory Stock Borrowing and 充」)內開立一個股份貸出戶口,貸出人特此確認在本
	For and on behalf of Hong Kong Securities Clearing	g Company Limited	For and on behalf of Lender	
	Authorized Signature (with Co香港中央結算有限公司獲授Date:日期		Authorized Signature 貸出人獲授權人士簽 Date: 日期	(with Company Chop) 資名(公司蓋印)
		FOR	OFFICE USE ONLY 內部專用	
	Signature Verified by: 核對簽名 Approved by: 批核 Input by: 輸入 Checked by: 核對		Date: 日期 Date: 日期 Date: 日期 Date:	

(In triplicate) 一式三份

Debt Securities Conversion Request Form - CNS Positions

Clearing / Settlement Section	Date	
Hong Kong Securities Clearing Company Limited ("HKSC	C")	
7/F., VicwoodInfinitus Plaza		
199 Des Voeux Road Central		
Hong Kong		
Fax no. 2851 6921		
Dear Sirs,		
Re: Conversion of Debt Securities		
In respect of the debt securities due from the CNS po		on Number(s)
), we wish you to act as	follows:-	
EXERCISE the conversion rights attached to our debt secur	ities:	
(name of debt securities) (Stock Code :) in	units of	(currency)
to convert for shares of		(Company
Name) (Stock Code :) at/	(currency / conversion pri	ce) per share;
and we will credit good funds of/ (cu		
HKSCC Nominees Limited in accordance with HKSCC's in		
We understand and acknowledge that:		
(1) this form should reach HKSCC no later than 2:00 p.m. on the B		e debt securities
conversion period as specified by HKSCC in the relevant CCASS co (2) our request and instructions will only be effected or accepted by HK		of CCASS and the
CCASS Operational Procedures as are in force from time to time.	·	,
Authorized Signature of CCASS Participant		
(with Company Chop)		
Participant ID :		
Tartelpant ID .		
Name (in block letter):		
Contact Tel No :		
Contact Person :		

Request For Maintenance Of Day-End Standing Withdrawal Instruction

То	Hong 7 <u>8</u> /F:		es Clear <u>itus</u> Pla		ited	
Dat				(DD/MM	/YY)	
1.	Particulars of	f Participant				
	Participant ID	:		Participant F	ull Name :	
	Contact Perso	n :				
				ephone Number :		
						:
2.		nding Withdra	wal Ins	truction		
2.1	Nature of Rec	quest				
	□ Add			Change		Delete
2.2	Latest Instruct	tion Details (Fo	or "Add"	and "Change" on	ly)	
	Applicable CO	CMS Account	:	House Collater	al Account #000	L
	Minimum Fre	e Balance	:	HK\$		
	Effective Date	<u>;</u> *	:			_(DD/MM/YY)
	* HKSCC has	the right to ove	erride th	e effective date to	a date as specifie	d in section 3 below.
				Authorised Sig	nature of CCASS	-
3.	For Use By H	IKSCC				(with company chop)
	☐ Accept (r	evised Effective	e Date, i	f applicable :		_(DD/MM/YY) <u>)</u>
	□ Reject					
	Remarks:					
	Checked by:					
	Approved by					

Cross-border Transfer Instruction Form

To: Hong Kong Sec 2/F <u>Infinitus</u> Vie 199 Des Voeux Hong Kong Attn: Depositor	wood Plaz Road Cen	za ntral		Da	te :							
Dear Sirs,												
-	EIGN S	ECURITIES	S - ' DELIVER F	REE OF	PAYME	ENT ' INSTRUC	TION					
1. Please DEBIT	my/our C	CCASS Stock A		with the following holdings and,								
Stock Code			Stock Name			Num	ber of Shares					
2. DELIVER the instruction for		ares Free of Pa	ayment via Depositor _ (yy/mm/dd) New Yo		ompany (''	DTC") according t	o the following					
]	Name of R	Receiving DTC	Participant		DTC A	ccount Number of R	eceiving Participant					
<u>'</u>												
CUSIP (Security Identifier No	ımher)		Name of Secur	ities		Sha	re Quantity					
(Security Identifier 14)	inioci)											
			y be effected or accep force from time to tin		SCC subjec	ct to the General Ru	les of CCASS and the					
Fax Number	:					Authorized Signature of (with Compar	•					
Account Nam Account Num - Your instruction s	e : ber : hould reach	Hong Kong Sect 2338 us not later than 2	d account with DTC: urities Clearing Company 2:00 p.m. (Hong Kong Tin	\e) for the spe								
			For Office U			1						
Signature Verified	Instr	ruction Approved	DTC Instruction Prepared	DTC Instructi	on Authorized CCASS Account Updated Advice Issued							

				\mathbf{C}	ross-bor	der Transfer In	struction Form
To: Hong Kong Secu	rities Cle	earing Compan	y Limited ("HKSCC")	<u> </u>	Da	te:	
2/F <u>Infinitus</u> Viev							
199 Des Voeux F	Road Cen	ıtral					
Hong Kong							
Attn: Depository	Services	(Fax No.: 254	3-7910)				
Dear Sirs,							
FORE	IGN S	ECURITIE	S - ' RECEIVE F	REE OF	PAYME	ENT ' INSTRUCT	TION
1. Please RECEIV following instru			ree of Payment via De			pany Limited ("DTC	C'') according to the
, ,		Pelivering DTC		u) New 10	l	count Number of Del	ivering Particinant
111		envering DTC	- Turticipunt		Die ne	became i vanioer of Dei	Tvering Furtierpunt
CUSIP							
(Security Identifier Nun	aher)		Name of Secur	ities		Share	e Quantity
(Security ruentifier Ivan	1001)						
Upon receipt of	f '–stock	received-' co	nfirmation from DT	C. please	CREDIT n	ny/our CCASS Stoc	k Account Number
2. ————————————————————————————————————		the following h		-, F			
Stock Code			Stock Name			Numbe	er of Shares
I/We understand and	acknowle	edge that:					
			no later than 2:00 p.	m. (Hong	Kong Time	e) for the specified va	lue date settlement
in DTC;			_		_	-	
			due to time difference				
confirmation from			nt Nasdaq-Amex Stock	on the ne	xt business	day after receipt of su	ock receive
			y be effected or accept	ed by HKS	SCC subject	to the General Rules	of CCASS and the
CCASS Operation	nal Proc	edures as are in	n force from time to tin	<u>1e.</u>			
Participant ID							
rarticipant ID	•						
Participant Name							
(in block letter)	:						
Contact Person /							
Telephone Number	:						
					-	Authorized Signature of C	CASS Participant
Fax Number	:					(with Company	•
Note:	4: Cl:	C I ::	and a second social DTC				
- Hong Kong Securi Account Name			ted account with DTC: urities Clearing Company	I imited			
Account Number		2338	urities cicaring company	Limited			
- Your instruction sl	ould reach	rus not later than	2:00 p.m. (Hong Kong Tir	ne) for the sp	ecified value o	late settlement in DTC.	
			o time difference between H				t will be credited with the
1			, ,				
- Activities under C	CASS are s	subject to the Gene	eral Rules of CCASS and the	<u>CCASS</u> Ope	erational Proce	dures .	
			For Office U				
Signature Verified	Insti	ruction Approved	DTC Instruction Prepared	DTC Instructi	ion Authorized	CCASS Account Updated	Advice Issued

Cross-border Transfer Instruction Form

2/F Infinitus Viewood Pla		Dat		-
199 Des Voeux Road Ce				
Hong Kong	aluai			
Attn: Depository Service	es (Fax No.: 2543-7910)			
	`			
Dear Sirs,				
FOREIGN SECU	URITIES – <u>CANCEL</u> ' RECEIVI	E FREE OF PA	YMENT ' INSTI	RUCTION
	ollowing ' Receive Free of Payment ' In	struction via Depo	sitory Trust Compa	ny ("DTC") sent to
you on	(yy/mm/dd).	DTCA		in a dina Danii ain and
Name of 1	Delivering DTC Participant	DICAC	count Number of Del	ivering Participant
CUSIP	Name of Securitie	20	Char	Quantity
(Security Identifier Number)	Name of Securitie		Share	e Quantity
I/We understand and acknowl		(Hong Kong Time) for some day avecu	tion.
	d reach HKSCC no later than 2:00 p.m. e of Payment' Instruction, cancellation wi			
	ructions will only be effected or accepted			
	cedures as are in force from time to time.			
Participant ID :				
Participant ID :		-		
Participant ID : Participant Name		-		
		- -		
Participant Name (in block letter) :		-		
Participant Name (in block letter) : Contact Person /		-		
Participant Name (in block letter) :		- -		
Participant Name (in block letter) : Contact Person /		- - -	Authorized Signature of C	CASS Participant
Participant Name (in block letter) : Contact Person /		- - -	Authorized Signature of C	=
Participant Name (in block letter) : Contact Person / Telephone Number :		- - -	=	=
Participant Name (in block letter) : Contact Person / Telephone Number :		- - -	=	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear	ring Company Limited account with DTC:		=	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear Account Name :	Hong Kong Securities Clearing Company Lin		=	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear Account Name : Account Number :	Hong Kong Securities Clearing Company Lin 2338	- - -	(with Company	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear Account Name : Account Number : Your instruction should read	Hong Kong Securities Clearing Company Lin 2338 Ch us not later than 2:00 p.m. (Hong Kong Time)	nited for same day execution.	(with Company	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clean Account Name : Account Number : Your instruction should reac Ffor Cancel 'Receive Free company in the second of the	Hong Kong Securities Clearing Company Lin 2338	nited for same day execution. nly upon receipt of DTC	(with Company	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clean Account Name : Account Number : Your instruction should reac Ffor Cancel 'Receive Free company in the second of the	Hong Kong Securities Clearing Company Lin 2338 ch us not later than 2:00 p.m. (Hong Kong Time) of Payment' Instruction, cancellation will be valid or a subject to the General Rules of CCASS and Opera	nited for same day execution. nly upon receipt of DTC tional Procedures in effe	(with Company	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear Account Name : Account Number : Your instruction should reac Ffor Cancel 'Receive Free co Activities under CCASS are	Hong Kong Securities Clearing Company Lin 2338 ch us not later than 2:00 p.m. (Hong Kong Time) of Payment' Instruction, cancellation will be valid or e-subject to the General Rules of CCASS and Opera	nited for same day execution. nly upon receipt of DTC tional Procedures in effe	(with Company	=
Participant Name (in block letter) : Contact Person / Telephone Number : Fax Number : Note: - Hong Kong Securities Clear Account Name : Account Number : Your instruction should reac Ffor Cancel 'Receive Free co Activities under CCASS are	Hong Kong Securities Clearing Company Lin 2338 ch us not later than 2:00 p.m. (Hong Kong Time) of Payment' Instruction, cancellation will be valid or e-subject to the General Rules of CCASS and Opera	nited for same day execution. nly upon receipt of DTC tional Procedures in effe	(with Company 's confirmation. et.	Chop)

Creation of Units - Credit Authorization Form (Cash Application)

To:	_	ong Securities Cleari wood-<u>Infinitus</u> Plaza	ng Company Limit	ed ("HKSCC")	Date :	
	Hong K	Voeux Road Central ong epository Services (F			No.:	
Dear	Sir <u>s</u> ,					
			-	Cash Application) in re	=	
				and the subsequent acception		
	_	on			ve units to my/our c	CASS SIOCK account
				nstructions will only be enal Procedures as are in		
Partic	ipant ID	:				
	ipant Natock letter					
	ct Persor					
Telep	hone Nu	noer :		Auth	orized Signature of C (with Company	
Fax N	lumber	:				
Note		1 CCACC and a	1 4 - de Comon	al Rules of CCASS and C	CASC Or readional Duo	I affect
7	Activities	under CCASS are su		r Office Use Only	-A SS Operational Pro	ceaures in effect.
	nature rified	Instruction Approved		nits Credited	Report Checked	Advice Issued

Creation of Units - Debit and Credit Authorization Form

2/	F Viewoo	g Securities C od <u>Infinitus</u> F oeux Road C	laza	ig Co	mpa	ny Li	mited	d <u>("</u>	HK!	<u>SC(</u>	<u>)</u>]	Date :					_	
H	Hong Kong Creation Order No.: Attn: Depository Services (Fax No.: 2543-7910)																		
Dear Sir	<u>s</u> ,																		
With refe	erence to	my/our Unit	Creat	ion (Order	in re	espect	of					units in the				da	ted	
Classia a		and the	subse	quen	it acc	eptar	nce o	f tha	ıt Oı	rdei	, I/ we he	reby	units in the _authorize Hk	KSCC I	long K	ong S	ecurit	ies	
			and de	elive	r thei	m to	the re	egist	rar (of t	he Fund.	Upo	ock account on receipt of t	he abo	r ve units	 , you	HKS	on CC	
<u>is are</u> aut	thorized t	o credit them	to m	y/ our	·CCA	ASS s	stock	acco	ount	nu	mber			·					
Stock Code		tock t Name		Š	Shar	e Qua	antity	,			Stock Code	Stock Short Name	Share Quantity						
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													effected or according to the from time			CC s	<u>ıbject</u>	<u>to</u>	
Participa	int ID	:																	
Participa (in block	ant Name (letter)	:																	
Contact l Telephor	Person / ne Numbe	er :										-41.			701.00	D- 41			
Fax Num	nber	:									Au	ıtuol	rized Signatu (with Co				upan	ι	
Note :- A	Activities	under CCAS	S are s	ubje	ct to	the G	lener	al R	ules	of	CCASS at	nd C	CASS Operat	ional F	Procedui	res in	effect	.	
							For (Offic											
Signature V	Verified	Instruction Appro	oved		Units	Debite	ed	-		Shar	e Credited		Report Check	red	A	dvice Is	sued	_	

Redemption of Units - Debit and Credit Authorization Form

2/.	F Viewood	Securities Cl LInfinitus Pleux Road Ce	aza	Comp	any L	imited	d <u>("H</u>	<u>KSC</u>	<u>C"')</u>	Date :					-
He	ong Kong	itory Service		κ No. :	2543-	7910))	R	Redemption	n Order No.:					
Dear Sir	-	·													
With refe	erence to	ny/ our Unit	Reder	nption	Order	in re	espec	t of _		units	in the _				
dated	s Clearing	Company I	nd the	subse	quent	accep	otance	e of	that Order	ASS stock acco	authorize	HKSCC	Hon	g Ko	ng
		a	nd del	liver th	em to	the re	egistr	ar of	the Fund.	Upon receipt of	of Eligibl	e Securi	ties in	respo	ect
of the s	said redem	nption, you 	are <u>I</u>	<u>IKSCC</u>	<u>is</u> a	uthor	ized	to c	redit them	to my/ our C	CASS st	ock acc	ount	numb	oer
Stock	Sto	alr							Stock	Stock					
Code	Short I			Sha	re Qu	antity	,		Code	Stock Short Name		Shar	e Qua	ntity	
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										oe effected or action force from time			C subj	ect to	<u>)</u>
Participa	nt ID	:													
Participa (in block		:													
Contact l	Person / ne Number	· :													
Fax Num		:							Au	thorized Signa (with 0	ture of C Company		Partic	ipan	t
Note: A	ctivities unde	r CCASS are su	bject to	the Gen	eral Rui	les of C	CASS	and C	CASS Opera	tional Procedures is	r effect.				
~.							Offic		Only						
Signature V	Verified I	nstruction Approv	ed	Un	its Debit	ed		Sha	are Credited	Report Cl	necked	Ad	lvice Iss	ued	