CCASS OPERATIONAL PROCEDURES

Definitions

(a) For the convenience of readers, the definitions contained in the Rules are repeated below.

"Eligible Security"

means an issue of securities including but not limited to Foreign Securities, Debt Securities, Depositary Receipts, Structured Products, Exchange Fund Notes, Government Bonds, Specified Instruments, CMU Instruments, warrants, options and Units and other rights under collective investment schemes, which is from time to time and for the time being accepted as eligible by HKSCC for deposit, clearance and settlement in CCASS, in accordance with Rule 501, and (a) where the context so requires shall include any particular security or securities of such an issue and (b) unless the context will not admit, shall include, upon their issue, all New Issue Shares so accepted as eligible;

"New Issue Shares"

means, in relation to any New Issue, the shares, Depositary Receipts, warrants, Structured Products, Debt Securities or Units which are the subject of that New Issue;

"Unit"

means one undivided share or undivided beneficial interest in a Unit Trust, a fund or similar investment arrangement;

Section 8

Nominee Services

8.18 ELECTRONIC APPLICATION INSTRUCTIONS FOR NEW ISSUE SHARES

8.18.1 General

A Participant may electronically instruct HKSCC (a) to cause the Nominee to apply for New Issue Shares on its behalf and (b) to arrange payment and, in the case of wholly or partly unsuccessful applications, refund of the money due on application. Where such an Electronic Application Instruction for New Issue Shares is given, the Participant is deemed to have agreed to the following:-

(xi) the Participant authorizes the Issuer, in respect of the New Issue Shares allocated to it, to issue certificates in the name of the Nominee and to deliver the same to the CCASS Depository service counter before the time specified by HKSCC or the Nominee on the day the certificates are to be despatched in accordance with the Prospectus;

	Date:
Hong Kong Securities Clearing Con 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong	mpany Limited
Stock	x Pledgee Declaration Form
[ID/Name] The said CCASS Participant has facenclose herewith a Withdrawal Order	o a stock pledgee transaction with CCASS Participant on [date]ailed to meet its obligation under the said transaction. We der Input Request Form duly completed and signed by us l of the securities from our Stock Account. The withdrawal
Participant ID	:
Name (in Block Letter)	:
CCASS Stock Account number	:
Stock code	:
Stock Name	:
Number of Shares	:
Reasons	: With reference to SI Input No.
	Authorized Signature of CCASS Participant (With Company Chop)
c.c. Default Stock Pledgor	
Note to Participant	: A completed and signed Withdrawal Order Input Request Form should be submitted together with this form to CCASS Depository.

Beneficiary Details and Registration Authorization Form Beneficiary Details and Authorization

	Hong Kong Securities Clearing Comp 2/F, Infinitus Plaza, 199 Des Voeux R				
Re: I	Registration of	units (_	/		, currency / nominal amount) (Name of Bond)
	(date) for	units (/		, currency /) inputted into CCASS on nominal amount) in the above-named
secui	rity and, hereby request HKSC	CC to arrange for	the regist	ration of the	said security into the name of ne attached Registration and Payment
	uctions. We shall collect the bond covailable.	ertificate(s) on behalf	of the regist	ered bondholder	at the CCASS Depository when they
Com	pany Chop and Authorized Signature	e(s) of CCASS Partici	pant		
		REGISTRATION	NAUTHOR	<u>IZATION</u>	
То:					
Re:	Registration of	units (/			, currency / nominal amount) Name of Bond)
	in			(1	Name of Bond)
reque secui Glob	est you to arrange for the registration rity in accordance with the Registrational Certificate amounting to/_ CC Nominees Limited	of/ on and Payment Instr	uctions as se	(currenct out below, please	y / nominal amount) of the said e let us have the balance in one
——Auth	orized Signature (s)				
Regi	stration and Payment Instructions				_
I/We				<u>-</u> 	the Issuer/Transfer Agent of (Name of Bond) to
	ter a total of / my/our instructions as set out below		(curren	cy / nominal amo	unt) in my/our name(s) in accordance
Deno	omination required: x	/		(cui	rency / nominal amount)
Nam	e of bondholder:				
Addı	ress of bondholder:				
	se pay the redemption proceeds and/o				ws: o.:
——Auth	orized Signature(s) of bondholder(s)			Specimen Si	gnature(s) of bondholder(s)

Voting Request Form

Nominees Section Hong Kong Securities C Units 2505-6, 25/F, Infir 199 Des Voeux Road Ce Hong Kong	learing Company Limited hitus Plaza entral		te:
Dear Sirs,			
Re:		(Company Name)	Stock Code :
We wish you to vote at to of our holding held for corporate announcement	or our account as at the r	in accordance with our for ecord date/relevant date	ollowing instructions in respect stated in the relevant CCASS
☐ Annual General Meet☐ Court Meeting☐ Other		/ Extraordinary General I t holders' Meeting d on	
Number in the Proxy Form	For	Against	Demand Poll Request (if applicable)
the relevant CCASS (2) if HKSCC does not such actions with res (3) our request and instr	ch HKSCC no later than to corporate announcement; receive this form by the sta spect to our securities as it	ated deadline, HKSCC is may consider fit; and ted or accepted by HKSC	iod as specified by HKSCC in entitled (but not bound) to take C subject to the General Rules time to time.
		Participant ID :	
		Name :	
		Contact Tel No. :	
		Contact Person :	
Authorized Signature of (with Company Chop)	CCASS Participant		
Notes			

Note: Please tick the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record date/relevant date.

HKE_X香港交易所 Appendix 3.2

Foreign Currency Dividend Entitlement Instruction Form

Nominees Section	Date :
Hong Kong Securities Clearing Company Limited ("HKSCC")	
Units 2505-6, 25/F, Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re	(Company Name)
Re:	_ (Company Ivame)
(Stock Code)	
Dividend at/(currency / amount) per share	
Payable : on or about	
We wish to receive the above dividend on our holding of	shares held
for our account as follows:-	
 □ In Bank Draft after deducting the charges incurred 	
2. \square Credit to our bank account via telegraphic transfer held with	
 We understand and acknowledge that: (1) this form should reach HKSCC no later than the close of the election period as sperelevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the stated deadline, HKSCC is entitled (but actions with respect to our securities as it may consider fit; and (3) our request and instructions will only be effected or accepted by HKSCC subject to the and the CCASS Operational Procedures as are in force from time to time. 	not bound) to take such
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person :	
Note:	
Please tick the appropriate box(es).	

Cash/Scrip Dividend Election Form

Nominees Section Date :
Hong Kong Securities Clearing Company Limited ("HKSCC")
Units 2505-6, 25/F, Infinitus Plaza
199 Des Voeux Road Central
Hong Kong
Fax no.: 2815 2728
Dear Sirs,
Re:(Company Name)
(Stock Code :)
· · · · · · · · · · · · · · · · · · ·
Optional Dividend at/(currency / amount) per share or
/ (currency / amount) per share or
/ (currency / amount) per share
Payable : on or about
We wish to receive the above dividend on our holding held in our account as follows:-
1. \square In cash (, currency) in respect of our total holding as at the last registration date.
2. In scrip in respect of our total holding as at the last registration date.
3. □ In cash and/or scrip in accordance with the following distribution
Cash dividend (, currency) to be received on our shares
Cash dividend (, currency) to be received on our shares
Cash dividend (, currency) to be received on our shares
shares
Scrip to be received on our shares
We understand and acknowledge that:
(1) this form should reach HKSCC no later than the close of the election period as specified by HKSCC in the relevan
CCASS corporate announcement;
(2) if HKSCC does not receive this form by the stated deadline, HKSCC is entitled (but not bound) to take such action. with respect to our securities as it may consider fit; and
(3) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS
and the CCASS Operational Procedures as are in force from time to time.
Authorized Signature of CCASS Participant
(with Company Chop)
Participant ID :
Name (in block letter) :
Name (in block letter) :
Contact Tel No :
Contact Porson
Contact Person :
Note: Please tick the appropriate box and insert the number of shares if you opt for item 3.

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Appendix 3.4 Subscription of Open Offers Form

Nominees Section		Date :
Hong Kong Securities Clearing Company Units 2505-6, 25/F, Infinitus Plaza	y Limited ("HKSCC")	
199 Des Voeux Road Central, Hong Kong Fax no.: 2815 2728	g	
Dear Sirs,		
Re: Open Offer for shares of	/ (currency / su	(Company Name) abscription price) per share
Please act on our behalf in respect of our	holding as follows:-	
Open Offer		
Take up new shares / (currency / amoun accordance with HKSCC's instructions.		
Pursuant to the above, please debitabove from our CCASS stock account nu		ts of the company mentioned
Excess Application		
Apart from the new shares which we are excess shares on our behalf. We will credit into the bank account of HKSCC Nomine we understand and acknowledge that: (1) this form should reach HKSCC no later the relevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the with respect to our securities as it may consist (3) HKSCC will debit immediately from our CC subscribe for as set out herein upon receipt of our request and instructions will only be effect the CCASS Operational Procedures as are in	edit good funds of// ees Limited in accordance with than the close of the subscription pe stated deadline, HKSCC is entitled lider fit; CASS stock account the number of the of our request; and ected or accepted by HKSCC subject	(currency / amount) n HKSCC's instructions. riod as specified by HKSCC in the (but not bound) to take such actions we offer rights that we are entitled to to the General Rules of CCASS and
	Authorized Signatu	ure of CCASS Participant (with Company Chop)
	Participant ID	:
	Name (in block letter)	:
	CCASS Stock A/C#	:
	Contact Tel No	:
	Contact Person	

Appendix 3.5

Take-over Offer Acceptance/Election Form

Nominees Se	ction		Date :
Units 2505-6	, 25/F, Infinitus Plaza	npany Limited ("HKSCC")	
Hong Kong	ıx Road Central		
Fax no.: 2815	5 2728		
Dear Sirs,			
Re:		(Comp	any Name) (Stock Code:)
Cash	Offer at/	(currency / offer price)	per share and/or
Scrip	or Securities Exchange	e Offer atper share	
account. Ac	cordingly, please debi		ing of shares held in our company mentioned above from our
1. □ A	cceptance of the offer c	consideration in CASH in resp	pect of our holding as stated above.
2.	cceptance of the offer c	consideration in SCRIP in resp	pect of our holding as stated above.
3. □ <u>A</u>	cceptance of the offer c	consideration in cash and in sc	crip
C	ash to be received in restated above.	spect of	shares out of the holding
	crip to be received in restated above.	spect of	shares out of the holding
(1) this form si corporate of (2) if HKSCC of respect to of (3) HKSCC wi request; an (4) our request	unnouncement; does not receive this form by our securities as it may consi ll debit immediately from ou d	the stated deadline, HKSCC is entider fit; ar CCASS stock account the number e effected or accepted by HKSCC st	as specified by HKSCC in the relevant CCASS itled (but not bound) to take such actions with r of shares set out herein upon receipt of our ubject to the General Rules of CCASS and the
	ignature of CCASS Par with Company Chop)	ticipant	
Participant I	D :		
Name (in bl	ock letter):		
Contact Tel	number :		
Contact Pers	son :		
Note: Please tick the app	propriate box and insert the number	ber of shares if you opt for item 3.	

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Appendix 3.6 Rights Issue Subscription Request Form

Nominees Section	Date:	
Hong Kong Securities Clearing Company Limited ("HKSO		
Units 2505-6, 25/F, Infinitus Plaza	,	
199 Des Voeux Road Central		
Hong Kong		
Fax no.: 2815 2728		
1 W. Mon 2010 27 20		
Dear Sirs,		
Day Dights Issue for shores of	(Company Nama)	
Re: Rights Issue for shares of	(Company Name)	
(Stock Code) at/	(currency / subscription price) per share	
Please act on our behalf on our holding as follows:-		
Dights Allotmont		
Rights Allotment		
Take up new shares on our behalf.	We will credit good funds of	
(currency / amount) into the bank acco		
accordance with HKSCC's instructions.	ount of HKSCC Nonlinees Limited in	
accordance with fixSCC's histractions.		
Accordingly, please debit nil-paid rights of t	he company mentioned above from our	
CCASS stock account number	ne company mentioned above from our	
eeriss stock account number		
Excess Application		
<u>Excess ripplication</u>		
Apart from the new shares which we are entitled to subscri	ibe for please also apply for excess	
shares on our behalf. We will credit good funds of		
the bank account of HKSCC Nominees Limited in accorda		
the bank account of firebee frommees Emilieu in accord	mee with throse 5 monactions.	
We understand and acknowledge that:		
(1) this form should reach HKSCC no later than the close of the su	ubscription period as specified by HKSCC in the	
relevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the stated deadline, HKSC	CC is antitled (but not bound) to take such actions	
with respect to our securities as it may consider fit;	Se is entitled (but not bound) to take such actions	
(3) HKSCC will debit immediately from our CCASS stock account t	the number of nil-paid rights set out herein upon	
receipt of our request; and	IVCCC and in the day Comment Bullet of CCACC and	
(4) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.		
the College Operational Procedures as are inforce from time to time		
Authorized Signature of CCASS Participant		
(with Company Chop)		
(*** *** *** **************************		
Participant	ID :	
=	·	
Name (in h	block letter):	
Traine (in c		
Contact Te	l No	
Condition 10	•	
Contact Pe	erson	

Appendix 3.7

Warrant Conversion Request Form

Nominees Section	Date :
Hong Kong Securities Clearing Company Lin	
Units 2505-6, 25/F, Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re: Conversion of Warrants	
In respect of the warrants held by you for our	account, we wish you to act as follows:-
EXERCISE the subscription rights attached	to our warrants:(name of
warrant) (Stock Code:) in	to our warrants:(name of units of(currency) to
subscribe for shares of	(Company Name) (Stock
Code:) at/(cur	rrency / conversion price) per share, and we will credit
good funds of _/(currency /	rrency / conversion price) per share, and we will credit amount) into the bank account of HKSCC Nominees
Limited in accordance with HKSCC's instruc	ctions.
Pursuant to the above, please debit	_ units of warrants mentioned above from our CCASS
stock account number	
We understand and acknowledge that:	
	close of the warrant conversion period as specified by HKSCC in the
relevant CCASS corporate announcement;	III W WYGG C III I I I I I I I I I I I I I I I I
(2) if HKSCC does not receive this form by the state with respect to our securities as it may consider fi	d deadline, HKSCC is entitled (but not bound) to take such actions
	t; stock account the number of warrants set out herein upon receipt of
our request; and	week account the number of warrants set out herein upon receipt of
	or accepted by HKSCC subject to the General Rules of CCASS and
the CCASS Operational Procedures as are in force	e from time to time.
Authorized Signature of CCASS Participant	
(with Company Chop)	
	Participant ID :
	Name (in block letter) :
	Contact Tol No
	Contact Tel No :
	Contact Person :

DIVIDEND / BONUS CLAIM LETTER 股息/紅股申請書

Date 日期 Claims ref 申索編號

Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F, Infinitus Plaza 199 Des Voeux Road Central Hong Kong 香港中環德輔道中 199 號 無限極廣場 25 樓 2505-6 室 香港中央結算有限公司("香港結算")及 香港中央結算(代理人)有限公司("香港結算代理人") Dear Sir : 執事先生 In respect of the Dividend / Bonus Claim on shares of under certificate number (股份號碼:),本人/我們特此 來函申索有關的股息/紅股: (股份名稱) I / We write on behalf of our client, , who through an oversight, neglected to have the above shares transferred before the closing of the Company's book on 本人 / 我們代表客戶 來函提出申索。由於該客戶一時疏忽,沒有在有關截止過戶日期(即 年 月 日) 之前辦妥股份過戶手續 I/We enclose herewith the under-mentioned documents (Items 1-2 in original and Items 3-6 in certified true copies) in respect of the said shares for your perusal:-隨函附上下列有關該等股份的文件(第1、2項為正本,第3至6項為認證副本),以供 貴公司參考:-1. Letter of Indemnity 保證書 2. Original Claim Letter from beneficiary with the participant's endorsement 連同參與者簽署的受益人申索書正本 3. Purchase Contract Note 買賣合約 Stock Withdrawal Receipt 4 股份提取收據 Share Certificates and Transfer Deeds 5. 股票及過戶契據 6. Transfer Receipt 過戶收據 As the shares were registered in the name of HKSCC Nominees, I/we should be grateful if you would arrange for refund of the following:-由於該等股份是以 香港結算代理人名義登記,懇請 貴公司安排發還: Interim/Final* dividend of per share totalling 每股獲派的中期/年終*股息\$ (年度) Bonus Issue of _ totalling share of 的紅股 (每 股送 股),合共 股 I/We understand and acknowledge that all claims will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC. 本人/我們明白及確認所有香港結算處理及接受的申請均受現行香港結算不時生效的《中央結算系統一般規則》及《中央結算系統運作程序 規則》、有效的參與者協議、以及香港結算不時發出的其他相關文件所約束。 Kindly contact our on Tel: if you have any queries on this matter. 聯絡。 如有任何疑問,請電 與 Authorised Signature of CCASS Participant 中央結算系統參與者之認可簽署 (With Company Chop) (連同公司印章) Participant ID: 參與者編號 Name (in block letter): 參與者名稱

¹¹

LETTER OF INDEMNITY

To: Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F, Infinitus Plaza 199 Des Voeux Road Central Hong Kong

In consideration of HKSCC Nominees instructing and directing HKSCC to effect the act or acts set out in Part 1 below; and in consideration of HKSCC following the instructions of HKSCC Nominees with respect to any act mentioned in Part 1 below, we hereby undertake to indemnify both HKSCC and HKSCC Nominees (together, the "Companies") in the manner set out in Part 2 below.

Part 1		
		* dividend of/
(currency / amount) in	total and/or send or r	release to us the bonus issue
shares of	(;	Stock Code / Name of the bonus issue) in
total in respect of		Stock Code / Name of the bonus issue) in shares of
(Company Name) (Sto	ck Code:) (certificate number(s))
issued by the company	and which are regist	ered in the name of HKSCC Nominees.
actions, cla Companies each of the	nims or proceedings and (ii) all damages e Companies and whe e Companies having	the Companies indemnified against (i) all which may be brought against each of the , costs and expenses suffered or incurred by ich may arise out of or in connection with done or performed any of the acts mentioned
Dated this	day of	20
	·	
Authorized Signature of (With Company Chop)	CCASS Participant	Banker's endorsement
Participant ID	:	
Name (in block letters)		
Contact Tel No	:	
Contact Person		
Contact reison	:	
Witness by:		
Tricis by:	(Signature)	_
	(Name)	_
Address:		<u>_</u>
		<u>_</u>
		<u>_</u>
		<u>_</u>
*Delete whichever is inapplicable		

¹²

Debt Securities Conversion Request Form

Nominees Section	Date :			
Hong Kong Securities Clearing Compan	· · · · · · · · · · · · · · · · · · ·			
Units 2505-6, 25/F, Infinitus Plaza				
199 Des Voeux Road Central				
Hong Kong				
Fax no.: 2815 2728				
Dear Sirs,				
Re: Conversion of Debt Securities				
In respect of the debt securities held by y	you for our account, we wish you to act as follows:-			
EXERCISE the conversion rights attached	ed to our debt securities:			
(name of deb	t securities)(Stock Code :) inunits of			
(c	currency) to convert for shares of			
	(Company Name)(Stock (currency / conversion price) per share; and			
Code :	(currency / conversion price) per share; and			
	(currency / amount) into the bank account of			
HKSCC Nominees Limited in accordance	ce with HKSCC's instructions.			
HKSCC in the relevant CCASS corporate an (2) if HKSCC does not receive this form by th actions with respect to our securities as it m (3) HKSCC will debit immediately from our CC receipt of our request; and	e stated deadline, HKSCC is entitled (but not bound) to take such ay consider fit; CASS stock account the number of debt securities set out herein upon extended or accepted by HKSCC subject to the General Rules of CCASS			
Authorized Signature of CCASS Particip (with Company Chop)	pant			
	Participant ID :			
	Name (in block letter):			
	Contact Tel number :			
	Contact Person			

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Appendix 3.12

Debt Securities Redemption Acceptance Form

Nominees Section		Date:
Hong Kong Securities C		mited ("HKSCC")
Units 2505-6, 25/F, Infir 199 Des Voeux Road Ce		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re:		(Name of Debt Securities) (Stock Code :)
Debt Securities R	edemption at	/ (currency / redemption price) per unit
		e debt securities in respect of our holding of ngly, please debit units of debt securities from
our CCASS stock accou		- · ·
HKSCC in the relevant (2) if HKSCC does not reco	HKSCC no later than the CCASS corporate announ eive this form by the sto ur securities as it may con	ated deadline, HKSCC is entitled (but not bound) to take such nsider fit;
receipt of our request; an (4) our request and instruct	nd	stock account the number of debt securities set out herein upon l or accepted by HKSCC subject to the General Rules of CCASS force from time to time.
Authorized Signature of	CCASS Doutionont	-
(with Compa	•	
Participant ID	:	
Name (in block letter)	:	
Contact Tel No	:	
Contact Person	•	

STOCK SEGREGATED ACCOUNT MAINTENANCE FORM – WITHOUT

STATEMENT

To: Customer Service Centre

Hong Kong Securities Clearing Company Limited

2/F Infinitus Plaza

199 Des Voeux Road Central

Hong Kong

Fax: (852) 2815-6115 CCASS Hotline: (852) 2979-7111

CCASS

From:

(Full Name of Participant)

I. <u>MAINTENANCE RE</u>	QUEST					
[] A – Add	[] C – Change	[] D-I	Delete			
II. <u>PARTICULARS</u>						
Effective Date: _ /	/ [DD / MM / YY]					
a) For Add, Change (New	v) or Delete account, please specif	y:				
Authorized Signature(s) of O	shortnam					
(with Company Chop)						
Signature verified and diary updated by:	FOR OFFICE US	SE ONLY Checked by:	Report checked by:			
Date:	Date:	Date:	Date:			

Note: Each participant is allowed to open up to 15 Stock Segregated Accounts without statement services. Some possible names for Stock A/C No. 03 to No. 17 may include "Dealing A/C", "Investment A/C", "Client A/C"

Voting Request Form - CNS Positions

Hong Kong Securities 7/F Infinitus Plaza	99 Des Voeux Road Central ong Kong						
Dear Sirs,							
Re:		(Company Name)	(Stock Code :)				
of our shares due from	our CNS long pos	eeting and in accordance with sition(s) (Settlement Position _ stated in the relevant CCAS	our following instructions in respect Number(s)) as at S corporate announcement.				
Court Mastina	_	☐ Special / Extraordinary Ge ☐ Warrant holders' Meeting to be held on	-				
Number in the Proxy Form	For	Against	Demand Poll Request (if applicable)				
in the relevant CCA (2) if HKSCC does no take such actions w	ach HKSCC no la ASS corporate and t receive this form with respect to our	nater than the close of the voting touncement; a by the stated deadline, HKS securities as it may consider to	g period as specified by HKSCC CC is entitled (but not bound) to fit; and HKSCC subject to the General a force from time to time.				
		Participant ID : Name : Contact Tel No. : Contact Person :					
Authorized Signature of (with Company Chop)	of CCASS Particip						

Note:

Please tick the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record /relevant date.

То:	Clearing / Settleme	ent Section	n	Da	ite:		
		ı, 199 Des	ing Company Limited Voeux Road Central,)		
Dear	r Sirs,						
	E	ENTITLE	MENT ELECTION	FORM - CN	NS POSITIO	ONS	
Reg	arding the following	g overdue p	position(s) as at secon	nd ex-all date	:		
Settl	lement Date						
Settl	lement Position Nur	nber					
Stoc	k Code						
Stoc	k Name						
Outs	standing Share Quar	ntity					
	l Outstanding Share			L			
 2. 3. 	☐ In scrip in re ☐ In cash and/ ☐ Cash divider ☐ Cash divider	espect of or or scrip in and (, o	y) in respect of our to ur total outstanding s accordance with the currency) to be received currency) to be received	hare quantity following dis ved on our red on our	tribution		shares
	Scrip to be r	eceived on) Our		shar	res	
(1) (2)	corporate announceme if HKSCC does not rec respect to our securities our request and instru	HKSCC no l nt; eive this forn as it may con ctions will on	later than the close of the m by the stated deadline, nsider fit; and nly be effected or accepte are in force from time to t	HKSCC is enti	itled (but not l	bound) to take s	uch actions with
Autl	norized Signature of (with C	CCASS P	_				
Part	icipant ID	: _					
Nan	ne (in block letter)	· : _					
Con	tact Tel number	: _					
Con	tact Person	: _					

Note:

HKEx香港交易所

Rights Issues Subscription Election Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Lim	nited ("HKSCC")
7/F Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)
(Stock Code :) at/	(currency / subscription price) per share
~ ~	tion(s) (Settlement Position Number(s)) as
follows:-	
D' 1 . All	
Rights Allotment	
Taka un navy sharas ar	our babalf and we will credit good funds of
	n our behalf and we will credit good funds ofnk account of HKSCC Nominees Limited in accordance
with HKSCC's instructions.	ik account of TIKSCC Nominees Emilied in accordance
with TIKSEC's instructions.	
Excess Application	
<u> </u>	
Apart from the new shares which we are enti-	tled to subscribe for, please also apply for exces
-	funds of/ (currency / amount) into
the bank account of HKSCC Nominees Limite	
We understand and acknowledge that:	
•	p.m. on the Business Day before the close of the subscription period
as specified by HKSCC in the relevant CCASS corp (2) our request and instructions will only be effected or	orate announcement; ana r accepted by HKSCC subject to the General Rules of CCASS and th
CCASS Operational Procedures as are in force from	
	Authorized Signature of CCASS Participant
	(with Company Chop)
	Participant ID :
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	Contact Person :

FIKEx 香港交易所
Appendix 4.11

Subscription of Open Offer Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("H7/F Infinitus Plaza	IKSCC")
199 Des Voeux Road Central	
Hong Kong Fax no. 2851 6921	
Dear Sirs,	
Re: Open Offer for shares of(Stock Code :) at/	(Company Name)
(Stock Code :) at/	(currency / subscription price) per share
Please act on our behalf on our CNS long position(s) follows:-	(Settlement Position Number(s)) as
Open Offer	
Take up new shares and we will cre amount) into the bank account of HKSCC Nominees I	dit good funds of/ (currency /
Excess Application	
Apart from the new shares which we are entitled to su	
shares on our behalf and we will credit good funds of into the bank account of HKSCC Nominees Limited in	/ (currency / amount) n accordance with HKSCC's instructions.
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. or specified by HKSCC in the relevant CCASS corporate annous our request and instructions will only be effected or accepte CCASS Operational Procedures as are in force from time to t	ncement; and ed by HKSCC subject to the General Rules of CCASS and the
	Authorized Signature of CCASS Participant (with Company Chop)
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	Contact Person :

FIKEx 香港交易所
Appendix 4.12

<u>Take-over Offer Acceptance/Election Form - CNS Positions</u>

Clearing /	Settlement S	Section	Date :
Hong Kon	g Securities	Clearing Company Limited ("I	
7/F Infinit	us Plaza		
199 Des V	oeux Road C	Central	
Hong Kon	ıg		
Fax no. 28	851 6921		
Dear Sirs,			
Re:			(Company Name) (Stock Code :)
			(currency / offer price) per share and/or
	Scrip or S	ecurities Exchange Offer at	per share
We wish to	o accept the	above take-over offer in respec	et of our CNS long position(s) (Settlement Position
	-	-	shares as follows:-
	, 		
1. 🗆	Acceptance	ce of the offer consideration in	CASH in respect of our long position(s) stated above.
2. 🗆	Acceptano	ce of the offer consideration in	SCRIP in respect of our long position(s) stated above.
3. □	Acceptano	ce of the offer consideration in	cash and in scrip
			<u> </u>
	Cash to be	e received in respect of	shares of our position(s) stated above.
	Scrip to be	e received in respect of	shares of our position(s) stated above.
(1) th	his form should y HKSCC in th	e relevant CCASS corporate announce	on the Business Day before the close of the offer period as specified ement; and accepted by HKSCC subject to the General Rules of CCASS and the
		onal Procedures as are in force from to	
Authoriza	d Signatura	of CCASS Participant	
Aumonze	-	mpany Chop)	
	(with Co	inpuny enop)	
Participan	t ID	:	
_	block letter)	•	
Contact Te		:	
Contact Pe	erson	:	
Note:			

Please tick the appropriate box and insert the number of shares if you opt for item 3.

HKEx香港交易所

Warrant Conversion Election Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("	HKSCC")
7/F Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
1 dx 110. 2031 0721	
Dear Sirs,	
Re: Conversion of Warrants	
In respect of the warrants (Sto	ock Code)
(name of warrant) due from the CNS long), we wish you to act as	•
EXERCISE the subscription rights attached to the v	varrants mentioned above in units of
(currency) to subscribe	for shares of
(Company N	ame) (Stock Code :) at/
(currency / conversion price) per sha	are, and we will credit good funds of/
(currency / amount) into the bank acco	unt of HKSCC Nominees Limited in accordance
with HKSCC's instructions.	
We understand and acknowledge that:	
(1) this form should reach HKSCC no later than 2:00 p.m. on	
period as specified by HKSCC in the relevant CCASS corpo	
(2) our request and instructions will only be effected or accepte CCASS Operational Procedures as are in force from time to	
CCASS Operational Procedures as are injorce from time to	ume.
Authorized Signature of CCASS Participant	
(with Company Chop)	
Device of ID	
Participant ID :	
Nama (in blook latter)	
Name (in block letter):	
Contact Tel No. :	
Contact Tel No. :	
Contact Person :	

FIKEx 香港交易所 Appendix 4.14

To: Clearing / Settlement Section, Hong Kong Securities Clearing

Company Limited ("HKSCC")

7/F, Infinitus Plaza, 199 Des Voeux Road Central, Hong Kong Fax no. 2851 6921

Date:

APPLICATION FORM FOR CANCELLATION OF SETTLEMENT POSITION

I.	Delivering Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
II.	Receiving Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
III.	Details of Settlement Position	
	Settlement Position No :	
	Position Type :	
	Stock Code :	
	Stock Name :	
	Settlement Date :	
	Outstanding Position Quantity:	
	Original Position Quantity :	
	Outstanding Position Amount:	
	Original Position Amount :	
IV.	Declaration by Both Participants	
	We hereby apply for the above-mentioned set be settled on (dat	ttlement position to be cancelled. The outstanding position has been / will by the following method:
		request and instructions will only be effected or accepted by HKSCC the CCASS Operational Procedures as are in force from time to time.
	Signed: Authorized Signature of De (with Company)	
	For Office Use	
	Checked by :	Date :
	Approved by :	Date :
	The position will be frozen on	and be cancelled on

Date:_		
_		

Clearing / Settlement Section
Hong Kong Securities Clearing Company Limited ("HKSCC")
7/F Infinitus Plaza
199 Des Voeux Road Central
Hong Kong
Fax no. 2851 6921

BUY-IN REQUEST FORM (FOR CCASS ISOLATED TRADES ONLY)

The following transaction is still outstanding as at the date of this letter. Please instruct the delivering Participant to buy back the shares required for completion of settlement.

Details of the outstandi	ng transaction		
Name of delivering participant		CCASS Participal	nt ID
Settlement position no.		Transaction date	
Stock name		Stock Code	
O/S position quantity		O/S position amou	unt
General Rules of CCASS and a	the CCASS Operational Proced		ected or accepted by HKSCC subject to the time to time.
Authorized Signature of (with Company Company)	•		
Participant ID :			
Name (in block letter):			
Contact Tel No:			

FIKEx 香港交易所

Date: _____

To: Clearing / Settlement Section

Hong Kong Securities Clearing Company Limited ("HKSCC")

7/F Infinitus Plaza

199 Des Voeux Road Central

Hong Kong

Fax no. 2851 6921

WITHDRAWAL	OF BUY-IN	REQUEST FO	ORM (FOR CCA	ASS ISOLATED	TRADES)

Date of Buy-in		CCASS			Settlement	_	O/S position
Request Form	Name of seller	Participant ID	Stock Code	Stock Name	Position No.	quantity	amount

We understand and acknowledge that:

- (1) once the buy-in request (for CCASS Isolated Trade(s)) is withdrawn, HKSCC will not accept any further request on the unsettled Isolated Trade(s); and
- (2) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.

Authorized Signature of CCASS Participant
(with Company Chop)
Participant ID :

Name (in block letter) :

Contact Tel No :

Contact Person :

HKEx香港交易所

Rights Issues Subscription Election Form - IT Positions

Hong I 7/F Inf 199 De Hong I	ng / Settlement Section Kong Securities Clearing Company Limited ("HKSCC") Tinitus Plaza es Voeux Road Central Kong 2851 6921	Date				
Dear S	irs,					
Re:	Rights Issue for shares of(Stock Code :) at/share	(Company Name) (currency / subscription price) per				
Please	act on our behalf on our IT Position(s)) as follows:-	(Settlement	Position Number(s)			
Rights	s Allotment					
with H	new shares on our behalf and we very currency / amount) into the bank account of HK (KSCC's instructions.					
Apart	from the new shares which we are entitled to su excess shares on our behalf and will credit go acy / amount) into the bank account of HKSCC Nominees	ood funds of _	/			
(1) this spe (2) our	erstand and acknowledge that: s form should reach HKSCC no later than 2:00 p.m. on the Business Dage of the cified by HKSCC in the relevant CCASS corporate announcement; and correquest and instructions will only be effected or accepted by HKSCC season of the corresponding to the c					
Author	rized Signature of CCASS Participant (with Company Chop)					
Partici	pant ID :					
Name	(in block letter):					
Contac	et Tel No :					
Contac	et Person :					

Warrant Exercise Election Form - IT Positions

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("	
7/F Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Re: Exercise of Warrants	
In respect of the warrants (Stock Co	
(name of warrant) due from the IT long), we wish you to act as follow	
EXERCISE the subscription rights attached to the wa	urrants mentioned above inunits of
(currency) to subscribe for shares of	of
(Company Name) (Stock Code:) at	/ (currency / conversion
price) per share, will credit good funds of/_	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance	with HKSCC's instructions.
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on period as specified by HKSCC in the relevant CCASS corpo our request and instructions will only be effected or accepte CCASS Operational Procedures as are in force from time to	rate announcement; and ed by HKSCC subject to the General Rules of CCASS and the
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel number :	
Contact Person :	

Transfer Instruction Form

Hong Kong	ux Road Centr				
Attn: Depository S Dear Sirs,	services (Fax N	10.: 2545-7910)			
EXCHAN				PECIFIED INSTRUMENT INSTRUCTION	
1. Please RECEIVE	notes Free of I	Payment via CMU ac	ccording to the follo	wing instruction for value of	on:
DEBIT Counterparty (Account Code		Beneficiary	Issue Number	Nominal Value ((Currency)
2. Please CREDIT 1	ny/our CCASS	stock account numb	per with	the following holding :	
Stock Code		Stock N	Vame	Number of	Unit
credited on the n (3) my/our instruction Operational Production documents from	ext working day on will only be e cedures as are in	; and effected and accepted force from time to ti	by HKSCC subject t	o the General Rules of CCA pant agreements in effect and	ASS and the CCASS
Participant ID Participant Name (in block letters)	:				
Contact Person / Telephone Number	:				
Fax Number	:			Signature of CCASS Part Company Chop)	ticipant
		For Of	fice Use Only		
Signature Verified	T. I. Input	T. I. Authorized	Account Updated	UML prepared / approved	Advice Issued

To

: Hong Kong Securities Clearing Company Limited ("HKSCC")

Transfer Instruction Form

Instruction Date : _____

			10)	,		_
Dear Sirs,						
EXCHANG				DS, SPECIFIED IN OF PAYMENT INS		ND/OR
1. Please DEBIT 1	ny/our CCASS s	stock account nu	ımber	with the following holding	ng:	
Stock Cod	e	Stock	Name	Num	ber of Unit	
2. Please DELIVE	CR notes Free of	Payment via CN	MU according to th	e following instruction fo	or value on	_:
CREDIT Counterpar (Account Co		Beneficia	ary	Issue Number	Nominal Value (Curre	ncy)
instruction of (3) my/our instru CCASS Ope	n the next works action will only rational Proced	ing day; and be effected ar ures as are in fo	nd accepted by HI	tion to HKSCC if I/w KSCC subject to the G ime and to the particip	eneral Rules of CC	ASS and the
Participant ID	:					
Participant Name (in block letters)	; :					
Contact Person / Telephone Numb	er :					
Fax Number	:			Authorized Signature (with C	of CCASS Participa ompany Chop)	ant
		For	Office Use Only]
Signature Verified	Account Updated	T. I. Input	T. I. Authorized	UML prepared / approved	Advice Issued	

<u>Debt Securities Conversion Request Form - IT Positions</u>

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("HKSC	
7/F Infinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Tux 110. 2031 0721	
Dear Sirs,	
Re: Conversion of Debt Securities	
In respect of the debt securities due from the IT pos-	
EXERCISE the conversion rights attached to our debt secur (name of debt securities) (Stock Code :) in	rities:
(name of debt securities) (Stock Code :) in convert for shares of	units of (currency) to
convert for shares of Company Name) (Stock Code:) at/	(currency / conversion price) per
share; and we will credit good funds of /	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance with F	HKSCC's instructions.
 We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the B conversion period as specified by HKSCC in the relevant CCASS co (2) our request and instructions will only be effected or accepted by HK CCASS Operational Procedures as are in force from time to time. 	rporate announcement; and
Authorized Signature of CCASS Participant	
(with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person	

Debt Securities Redemption Request Form - IT Positions

Clearing / Settlemen	it Section		Date	2	
Hong Kong Securiti	es Clearing Company Limited	("HKSCC")			
7/F Infinitus Plaza					
199 Des Voeux Road	d Central				
Hong Kong					
Fax no. 2851 6921					
1 4.11 1.10 1. 200 1. 0 2. 1					
Dear Sirs,					
Re: Redemption of	Debt Securities				
_	debt securities due from the), we wish to act a	_	(Settlement	Position Number	er(s)
EXERCISE our righ	nts to redeem the debt securiti	les:	(nar	ne of debt securit	ties)
	inunits.				
We understand and acki		of the debt accounities	dammtian mania	d as an ocified by HV	rece
· · ·	ach HKSCC no later than the close SS corporate announcement; and	oj ine debi securilles r	eaempiion peric	а аѕ ѕресінеа ву пк	SCC
(2) our request and inst	tructions will only be effected or acco l Procedures as are in force from tim		ct to the Genera	l Rules of CCASS an	d the
•	, ,				
A .1 ' 1 C' .					
•	re of CCASS Participant				
(with Col	mpany Chop)				
Douti sin out ID					
Participant ID	:				
Name (in block lette	er):				
Contact Tel No	:				
Contact Person					

STOCK LENDING ACCOUNT OPENING FORM 開立股份貸出戶口申請表

То:	Clearing / Settlement Section Hong Kong Securities Clearing 7/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Fax no. 2851 6921 香港中央結算有限公司 結算及交收組	Company Limited					
	香港中環德輔道中 199 號 無限極廣場 7 樓						
	傳真號碼: 2851 6921		Agreement No.: 協議編號				
			[777] 中交為冊 591。	(For IRD use only) (稅務局專用)			
I.	LENDER'S PARTICULARS 貸出人資料			(1)633719747117			
	Participant I.D.: 參與者編號		Participant Name: 參與者名稱				
	Business Registration No.: 商業登記號碼:		2/1 LIII				
	Address: 地址:						
II.	BORROWER'S PARTICULAR 借入人資料	S					
	Name of Borrower: 借入人名稱	Hong Kong Securities Clearing Company L 香港中央結算有限公司	imited				
	Business Registration No 商業登記號碼:	i 業登記號碼:					
	Address: 地址	12/F, One International Finance Centre, 1 F香港中環港景街一號國際金融中心一期		Kong			
The Lender hereby applies to Hong Kong Securities Clearing Company Limited ("HKSCC") to open a Stock Lending Account in the Centra Settlement System ("CCASS"). The Lender hereby certifies that the particulars contained herein are true and correct. All securities borrowi entered into with HKSCC shall be subject to the relevant provisions of the CCASS Rules, Operational Procedures and the Compulsory Stock Lending Regulations of CCASS each as amended from time to time. 貸出人特此向香港中央結算有限公司「「結算公司」)申請在中央結算及交收系統(「中央結算系統」)內開立一個股份貸出戶口,貸出人表格內所填寫的資料為真確無誤。所有與結算公司訂立的借入證券交易均受不時修訂的《中央結算系統一般規則》、《中央結算系統運及《強制證券借貸規例》的有關條文所約束。							
	For and on behalf of Hong Kong Securities Clearing	Company Limited	For and on behalf of Lender				
	Authorized Signature (with Com香港中央結算有限公司獲授權		Authorized Signature (with Company Chop) 貸出人獲授權人士簽名(公司蓋印)				
	Date: 日期		Date: 日期				
	FOR OFFICE USE ONLY 內部專用						
	Signature Verified by:		Date:				
	核對簽名 Approved by:		日期 Date:				
	批核 Input by:		日期 Date:				
	輸入 Checked by:		日期 Date:				
	核對		日期				

<u>Debt Securities Conversion Request Form - CNS Positions</u>

Clearing / Settlement Section	Date	
Hong Kong Securities Clearing Company Limited ("HKSCO	Z")	
7/F Infinitus Plaza		
199 Des Voeux Road Central		
Hong Kong		
Fax no. 2851 6921		
Dear Sirs,		
Re: Conversion of Debt Securities		
In respect of the debt securities due from the CNS pos-		Number(s)
	ionows	
EXERCISE the conversion rights attached to our debt securi	ities:	
(name of debt securities) (Stock Code :) in		
to convert for shares of		
Name) (Stock Code :) at/	_ (currency / conversion price	e) per share;
and we will credit good funds of/ (cur	rency / amount) into the bank	account of
HKSCC Nominees Limited in accordance with HKSCC's in	structions.	
We understand and acknowledge that:		
(1) this form should reach HKSCC no later than 2:00 p.m. on the Bu conversion period as specified by HKSCC in the relevant CCASS cor		debt securities
(2) our request and instructions will only be effected or accepted by HKS		CCASS and the
CCASS Operational Procedures as are in force from time to time.		
Authorized Signature of CCASS Participant		
(with Company Chop)		
(with company chop)		
Participant ID :		
Name (in block letter):	_	
Contact Tel No :	_	
Contact Person :		

Request For Maintenance Of Day-End Standing Withdrawal Instruction

То	: Cash Clearing Risk Mong Kong Securities 8/F Infinitus Plaza	es Clearir	ng Company Limite	ed	
	199 Des Voeux Road	Central,	, Hong Kong		
Dat	e :		(DD/MM/Y	Y)	
1.	Particulars of Participant				
	Participant ID :		_ Participant Ful	1 Name :	
	Contact Person :				
	Contact Telephone Number :				
	Fax Number :				
2.	Day-End Standing Withdra	wal Instı	ruction		
2.1	Nature of Request				
	□ Add		Change		Delete
2.2	Latest Instruction Details (For	"Add" a	and "Change" only)	
	Applicable CCMS Account	:	House Collateral	Account #0001	
	Minimum Free Balance	:	HK\$		
	Effective Date*	:			_(DD/MM/YY)
	* HKSCC has the right to ove	rride the	effective date to a	date as specified	l in section 3 below.
			Authorised Signa (with co	nture of CCASS ompany chop)	Participant
3.	For Use By HKSCC				
	☐ Accept (revised Effective	Date, if	applicable :		(DD/MM/YY))
	□ Reject				
	Remarks :				
	Checked by:				
	A				

Cross-border Transfer Instruction Form

Co: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910)								
Dear Sirs, FOF	REIGN S	ECURITIE	S - ' DELIVER F	REE OF	PAYME	NT ' INSTRUCT	TION	
1. Please DEBIT	my/our C	CCASS Stock A	Account Number		with 1	the following holdin	gs and,	
Stock Code			Stock Name			Numb	er of Shares	
			ayment via Depositor _ (yy/mm/dd) New Yo		ompany ("]	DTC") according to	the following	
	Name of R	Receiving DTC	Participant		DTC Ac	ecount Number of Re	ceiving Participant	
CUSIP (Security Identifier N	(umber)		Name of Securities			Shar	Share Quantity	
(Became) Identifier I	<u>umoer)</u>							
I/We understand and acknowledge that: (1) my/our instructions should reach HKSCC no later than 2:00 p.m. (Hong Kong Time) for the specified value date settlement in DTC; and (2) my/our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.								
Participant ID	:							
Participant Name (in block letter)	:							
Contact Person / Telephone Number	:							
Fax Number : Authorized Signature of CCASS Participar (with Company Chop)					-			
Note:								
Account Nar	- Hong Kong Securities Clearing Company Limited account with DTC: Account Name: Hong Kong Securities Clearing Company Limited Account Number: 2338							
			For Office U	Jse Only				
Signature Verified	Inst	ruction Approved	DTC Instruction Prepared		on Authorized	CCASS Account Updated	Advice Issued	

To: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910)

Dear Sirs,								
FOI	REIGN S	ECURITIES - ' RECEIVE FRI	EE OF PAYME	ENT ' INSTRUCTION				
1. Please RECEIVE the below shares Free of Payment via Depository Trust Company Limited ("DTC") according to the following instruction for value (yy/mm/dd) New York Time.								
	Name of D	elivering DTC Participant	DTC A	ccount Number of Delivering Participant				
CUSIP (Security Identifier N	Number)	Name of Securitie	s	Share Quantity				
Upon receipt		received' confirmation from DTC, p	olease CREDIT r	ny/our CCASS Stock Account Number				
Stock Code		Stock Name		Number of Shares				
Account will b confirmation for (3) my/our request	e credited vrom DTC; at and instru		the next business					
Participant ID	:		-					
Participant Name (in block letter)	:		_					
Contact Person / Telephone Number	:		-					
Fax Number : Authorized Signature of CCASS Participant (with Company Chop)								
Note:	purities Classis	ng Company Limited account with DTC:						
- Holig Kolig Sec Account Nai Account Nui	me :	Hong Kong Securities Clearing Company Lin 2338	nited					

For Office Use Only							
Signature Verified	Instruction Approved	DTC Instruction Prepared	DTC Instruction Authorized	CCASS Account Updated	Advice Issued		

FIKEx 香港交易所

Cross-border Transfer Instruction Form

To: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910) Dear Sirs, FOREIGN SECURITIES – CANCEL ' RECEIVE FREE OF PAYMENT ' INSTRUCTION										
Please CANCEL you on	the following ' Recei(yy/mm/dd).	ive Free of Payment '	Instruction	via Deposito	ory Trust Compa	ny ("DTC") sent to				
Na	me of Delivering DTC	DTC Accou	count Number of Delivering Participant							
CUSIP (Security Identifier Number)	ber)	Name of Secur		Share Quantity						
(2) for Cancel 'Receiv(3) my/our request and	should reach HKSCC we Free of Payment' In d instructions will onl	C no later than 2:00 p. struction, cancellation by be effected or accept force from time to time	will be valid ted by HKSC	l only upon re	ceipt of DTC's co	nfirmation; and				
Participant Name (in block letter)	:									
Contact Person / Telephone Number	:									
Fax Number	:			Auth	orized Signature of Co (with Company	•				
Note: - Hong Kong Securiti Account Name: Account Number		ted account with DTC: curities Clearing Company	Limited							
		For Office	Use Only							
Signature Verified	Instruction Approved	DTC Instruction Prepared	DTC Instruction	Authorized C	CASS Account Updated	Advice Issued				

Creation of Units - Credit Authorization Form (Cash Application)

To:		Kong Securities Clearin Initus Plaza	ng Company Lin	nited ("HKSCC")		Date :	
	199 De	s Voeux Road Central					
	Hong k				on Order	No.:	
	Attn : I	Depository Services (F	ax No. : 2543-79	910)			
Dear 3	Sirs,						
With	reference	ce to our Unit Creat	ion Order (by	Cash Application)	in resp	ect of	units in the
		dated		_ and the subseque	ent accep	otance of that Order,	we hereby authorize
HKSO	CC to cre	edit the above units to	our CCASS stoc	k account number _		on	·•
		d and acknowledge that ules of CCASS and the					
Partic	ipant ID	:		_			
	ipant Na						
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	ct Perso hone Nu						
·					Autho	orized Signature of C (with Company	
						(with Company	(Спор)
Fax N	lumber	:					
			F	or Office Use Only	,		
	nature rified	Instruction Approved		Units Credited		Report Checked	Advice Issued
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Creation of Units - Debit and Credit Authorization Form

2 1 1	Hong Kon 2/F Infinit 199 Des Von Hong Kon Attn : Dep	us Plaza oeux Roa g	d Central							on Or		ite:						_	
Dear Si	irs,																		
		and	the subse	quent	accepta	ance o	f that	t Ord	ler, we	here	by auth	its in the _norize HKS and deliver to our CC	SCC to	debi	t the	se uı	nits fr		
Stock Stock		tock	Share Quantity							Stock	S	Stock							
Code	Shor	t Name	Share Qua			шин	<i>y</i>		C	Code	Short Name	rt Name	Share Quantity						
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the Ger Particip Particip (in bloc Contact	pant ID pant Name ck letter) t Person / one Numb	: :	SS and the	e CCA		eration				s are i	in force	ected or ac e from time ed Signate (with Co	e to time	e.	SS I		-		
						E	O.C.	. .	. 0 1										
For Office Use Only Signature Verified Instruction Approved Units Debited Share Credited									Report Chec	ked		Ad	vice Is	ssued					

Redemption of Units - Debit and Credit Authorization Form

	Co: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Infinitus Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910) Date:											
Dear S	Sirs,	•										
With r	reference to o	our Unit Redem	nption Order in respect o	ıf		units in the				date	ed	
		and the sul	osequent acceptance of the	hat Order,	, we hereb	y authorize HKSC	CC to deb	it thes	se units	s froi	m	
Fund.	Upon receipt S stock according to the contract of the contract	occount number of of Eligible S unt number	r on Securities in respect of t 	he said re	demption	and deliver, HKSCC is author	orized to	the reg credit	gistrar them	of th	ie ur	
		ck Name	Share Quantity		Stock Code	Stock Short Name	Share Quantity					
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			that our request and instr the CCASS Operational					ζSCC	subjec	t to		
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	ipant Name ock letter)	:										
	ct Person / none Number	:									_	
Fax N	umber	:			Aut	thorized Signatur (with Con			articip	ant		
			For Of	fice Use (Only							
Signatu	re Verified I	nstruction Approved			Credited	Report Checke	:d	Adv	vice Issued	1		