HKEx香港交易所

CCASS OPERATIONAL PROCEDURES

Definitions

(a) For the convenience of readers, the definitions contained in the Rules are repeated below.

"Eligible Security"

means an issue of securities including but not limited to Foreign Securities, Debt Securities, Depositary Receipts, Structured Products, Exchange Fund Notes, Government Bonds, Specified Instruments, CMU Instruments, warrants, options and Units and other rights under collective investment schemes, which is from time to time and for the time being accepted as eligible by HKSCC for deposit, clearance and settlement in CCASS, in accordance with Rule 501, and (a) where the context so requires shall include any particular security or securities of such an issue and (b) unless the context will not admit, shall include, upon their issue, all New Issue Shares so accepted as eligible;

"New Issue Shares"

means, in relation to any New Issue, the shares, <u>Depositary Receipts</u>, warrants, Structured Products, Debt Securities or Units which are the subject of that New Issue;

"Unit"

means one undivided share <u>or undivided beneficial interest</u> in a Unit Trust, <u>a fund or similar investment arrangement</u>;

Section 8

Nominee Services

8.18 ELECTRONIC APPLICATION INSTRUCTIONS FOR NEW ISSUE SHARES

8.18.1 General

A Participant may electronically instruct HKSCC (a) to cause the Nominee to apply for New Issue Shares on its behalf and (b) to arrange payment and, in the case of wholly or partly unsuccessful applications, refund of the money due on application. Where such an Electronic Application Instruction for New Issue Shares is given, the Participant is deemed to have agreed to the following:-

(xi) the Participant authorizes the Issuer, in respect of the New Issue Shares allocated to it, to issue certificates in the name of the Nominee and to deliver the same to the CCASS Depository service counter at 2/F., Viewood Plaza, 199 Des Voeux Road Central, Hong Kong before the time specified by HKSCC or the Nominee on the day the certificates are to be despatched in accordance with the Prospectus;

Appe	ndix	2.2
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$\mathbf{HKE}_{\!\! imes}$ 香港交易所	
	Appendix 2.2
	Date:
Hong Kong Securities Clearing Co 2/F Infinitus Viewood Plaza 199 Des Voeux Road Central Hong Kong	o. Ltd.Company Limited
Stoc	k Pledgee Declaration Form
[ID/Name] The said CCASS <u>pP</u> articipant has and <u>We</u> as a consequence thereof Form <u>duly completed and signed</u>	nto a stock pledgee transaction with CCASS perticipant on the [date] failed to meet his/its obligation under the said transaction. I/we enclose herewith a Withdrawal Order Input Request by us and request the physical withdrawal of the securities ock Account. The withdrawal details - Details are as follows:
Participant ID	:
Name (in Block Letter)	:
CCASS Stock Account number	:
Stock code	:
Stock Name	:
Number of Shares	:
Reasons	: With reference to SI Input No.

Authorized Signature of CCASS Participant (With Company Chop)

c.c. Default Stock Pledgor

: A <u>completed and signed</u> <u>separate</u> Withdrawal <u>Order</u> Note to Participant

Input Request Form should be submitted together sent

along with this form to CCASS Depository.

Beneficiary Details and Registration Authorization Form Beneficiary Details and Authorization

	Securities Clearing Compass Viewood Plaza, 199 Des	ny Limited ("HKSCC") Voeux Road Central, Hong Ko	ong	
Re: Registration in	of	units (/		, currency / nominal amount) (Name of Bond)
We refer to our	r withdrawal request (Log	gin Withdrawal Order No. V	7) inputted into CCASS on
		(the beneficiary) in	accordance with the atta	nal amount) in the above-named security into the name of ached Registration and Payment
are available.	snall collect the bond cer	tificate(s) on behalf of the reg	ristered bondholder at the	e CCASS Depository when they
Company Chop	and Authorized Signature(s) of CCASS Participant		_
		REGISTRATION AUTHO	<u>PRIZATION</u>	
То:			(Name of Issuer/Trans	sfer Agent)
Re: Registra in	tion of	units (/	(Name	, currency / nominal amount) of Bond)
security in accor	dance with the Registration	f (currency / no n and Payment Instructions as	set out below, please let u	
HKSCC Nomine	ees Limited			
Authorized Sign	ature (s)			
Registration an	d Payment Instructions			
I/We hereby	confirm that I/we	have authorized HKSC	C to request the	Issuer/Transfer Agent of (Name of Bond) to
register a total of with my/our ins	f / tructions as set out below:	(curr	ency / nominal amount) i	n my/our name(s) in accordance
Denomination re	equired: x	/	(currency	y / nominal amount)
Name of bondho	older:			
Address of bond				
		interest payment to my/our Ba		
Authorized Sign	ature(s) of bondholder(s)		Specimen Signatu	ure(s) of bondholder(s)

Voting Request Form

HKSCC-Nominees Secti	onLimited	Da ("TIMECO")	te:
Units 2505-6, 25/F-, Infin 199 Des Voeux Road Ce		("HKSCC")	
Hong Kong	iitu		
Dear Sirs,			
Re:		(Company Name)	(Stock Code :)
follows in respect of my	⊬our <u>holding shares in the nt date stated in the</u>	: above Company held b	n our following instructions as y you for my/our account as at orate announcement Corporate
Count Mastins	ing (AGM)	4 la al dana' Maskins	
Number in <u>the</u> Proxy Form	For	Against	Demand Poll Request (if applicable)
			+
the relevant CCASS (2) if HKSCC does not to	ch HKSCC no later than to corporate announcement;	ated deadline, HKSCC is	riod as specified by HKSCC in entitled (but not bound) to take
(3) our request and instr	uctions will only be effect CASS Operational Proced	ted or accepted by HKSC	C subject to the General Rules time to time.
Authorized Signature of	CCASS Doutisinant	Participant ID : Name : Contact Tel No. : Contact Person :	
Authorized Signature of (with Company Chop)	CCASS Farucipant		
Note:			

Please tickeheek the appropriate box(es) and insert the number of shares/warrants. If no number of shares/warrants is inserted, your instruction to vote shall be deemed to be on your total shares eligible for voting based on the records of HKSCC as at the record date/relevant date.

If you vote without inserting the number of shares/warrants, we shall regard your instruction applicable to your total (eligible to vote) holding based on our records on the relevant day.

You are reminded that under CCASS rules the giving of instructions to HKSCC Nominees in respect of your shares constitute an undertaking by you to maintain the relevant number of shares in your CCASS Stock Accounts on the meeting date. Further, HKSCC Nominees reserves the right to allocate voting rights between participants in the event that not all shares are re-registered in its name in time for the meeting.

FIKEx 香港交易所 Appendix 3.2

Foreign Currency Dividend Entitlement Instruction Form

Nominees Section	Date :
Hong Kong Securities Clearing Company Limited ("HKSCC")	
Units 2505-6, 25/F-, <u>Infinitus Viewood</u> Plaza	
199 Des Voeux Road, Central	
Hong Kong	
Fax no.: 2815 2728	
1 th 110.1. 2013 2720	
Dear Sirs,	
Re:	(Company Name)
(Stock Code:)	
Dividend at/ (currency / amount) per share	re
Payable : on or about	
We wish to receive the above dividend on our holding of for our account as follows:-	shares held
1. □ In Bank Draft after deducting the charges incurred	
2. \square Credit to our bank account via telegraphic transfer held w	ith
 We understand and acknowledge that: this form should reach HKSCC no later than the close of the election perior relevant CCASS corporate announcement; if HKSCC does not receive this form by the stated deadline, HKSCC is entitive actions with respect to our securities as it may consider fit; and our request and instructions will only be effected or accepted by HKSCC subject and the CCASS Operational Procedures as are in force from time to time. 	led (but not bound) to take such
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person :	
Note:	
Please tick the appropriate box(es).	

HKEx香港交易所

Cash/Scrip Dividend Election Form

Nominees Section	Date :	
Hong Kong Securities Clearing C		
Units 2505-6, 25/F ₇ , Infinitus Vie	wood Plaza	
199 Des Voeux Road, Central		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re:	(Compan	y Name)
(Stock Code :	_)	
Ontional Dividend at	/ (currency / amount) per share or	
	(currency / amount) per share or	
	(currency / amount) per share	
	\	
Payable : on or about		
We wish to receive the above div	idend on our holding held in our account as follows:-	
	-	
1. \square In cash (, currenc	y) in respect of our total holding as at the last registrati	on date.
2. \square In scrip in respect of \square	our total holding as at the last registration date.	
3. ☐ In cash and/or scrip in	accordance with the following distribution	
Cash dividend (,, o	currency) to be received on our	_ shares
Cash dividend (currency) to be received on our	shares
Cash dividend (, o	currency) to be received on our	_ shares
Scrip to be received o	n ourshares	
We understand and acknowledge that:		
	later than the close of the election period as specified by HKSCC	in the relevant
CCASS corporate announcement; (2) if HKSCC does not receive this for	m by the stated deadline, HKSCC is entitled (but not bound) to tak	e such actions
with respect to our securities as it i		1 6 6 6 4 6 6
	only be effected or accepted by HKSCC subject to the General Ri dures as are in force from time to time.	ules of CCASS
and me censs eperanonal rece		
Authorized Signature of CCASS	 Participant	
(with Company Cho	•	
Participant ID : _		
ranticipant 1D		
Name (in block letter) :		
Contact Tel No : _		
Contact Person : _		

 $\underline{\text{Note:}}$ Please tick the appropriate box and insert the number of shares if you opt for item 3.

Subscription of Open Offers Form

Nominees Section	Date:
Hong Kong Securities Clearing Comp Units 2505-6, 25/F-, <u>Infinitus</u> Viewoo	any Limited ("HKSCC") -Plaza
199 Des Voeux Road, Central, Hong Fax no.: 2815 2728	Long
Dear Sirs,	
Re: Open Offer for shares of	(Company Name)/(currency / subscription price) per share
Please act on our behalf in respect of	ur holding as follows:-
Open Offer	
	es in respect of our holdings. We will credit good funds of unt) into the bank account of HKSCC Nominees Limited in s.
Pursuant to the above, please debit _above from our CCASS stock account	units of the offer rights of the company mentioned number
Excess Application	
we will into the bank account of HKSCC Non- We understand and acknowledge that: (1) this form should reach HKSCC no late relevant CCASS corporate announcement of HKSCC does not receive this form by with respect to our securities as it may compose the security of the se	the stated deadline, HKSCC is entitled (but not bound) to take such actions insider fit; CCASS stock account the number of the offer rights that we are entitled to pt of our request; and effected or accepted by HKSCC subject to the General Rules of CCASS and
	Authorized Signature of CCASS Participant (with Company Chop)
	Participant ID :
	Name (in block letter):
	CCASS Stock A/C# :
	Contact Tel No :
	Contact Person :

Take-over Offer Acceptance/Election Form

Hon Unit 199 Hon	g Kor is 250 Des V g Kor	5-6, 25/F ₋ , <u>Inf</u> oeux Road , C	<u>initus Viewo</u>	pany Limited od-Plaza	("HKSCC")	Date :	
Dea	r Sirs,						
Re:					(Compar	ny Name) (Stock	Code:)
	C	ash Offer at _	/	(curren	cy / offer price) J	per share and/or	
	So	crip or Securit	ies Exchange	Offer at	per share		
acco	ount.	Accordingly,	please debit	rer offer in resp t)	shares of the co	ng of ompany mention	_ shares held in our ed above from our
1.		Acceptance	of the offer c	onsideration i	n CASH in respe	ect of our holding	g as stated above.
2.		Acceptance	of the offer c	onsideration i	n SCRIP in respe	ect of our holding	g as stated above.
3.		Cash to be reas stated abo	eceived in res	spect of		shares out	t of the holding
(1)(2)(3)(4)	this for corpore if HKS respect HKSCO request our req	ate announcemen CC does not rece to our securities C will debit imme t; and nuest and instruct	HKSCC no later at; vive this form by as it may conside at at the consideration of the constant	the stated deadl der fit; ur CCASS stock o	ine, HKSCC is entitlaction in the number of the properties of the number of the price of the control of the con	led (but not bound) to	C in the relevant CCASS to take such actions with rein upon receipt of our Rules of CCASS and the
Autl	norize	d Signature of (with Compa		ticipant			
Nan Con Con	itact T	block letter) Fel number Person	: <u> </u>	ber of shares if you	opt for item 3.		

Rights Issue Subscription Request Form

Nominees Section	Date :
Hong Kong Securities Clearing Company	
Units 2505-6, 25/F-, <u>Infinitus Viewood Pl</u>	
199 Des Voeux Road, Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re: Rights Issue for shares of	(Company Name)
(Stock Code:) at	(Company Name)/(currency / subscription price) per share
Please act on our behalf on our holding as	s follows:-
Rights Allotment	
Take up new shares	on our behalf. We will credit good funds of/
	the bank account of HKSCC Nominees Limited in
accordance with HKSCC's instructions.	
Accordingly, please debit ni	l-paid rights of the company mentioned above from our
CCASS stock account number	
Excess Application	
shares on our behalf. We will credit good the bank account of HKSCC Nominees L We understand and acknowledge that: (1) this form should reach HKSCC no later that relevant CCASS corporate announcement; (2) if HKSCC does not receive this form by the st with respect to our securities as it may consid (3) HKSCC will debit immediately from our CC receipt of our request; and	ASS stock account the number of nil-paid rights set out herein upon ected or accepted by HKSCC subject to the General Rules of CCASS in force from time to time.
1	D. d. d. d. d. D.
	Participant ID :
	Name (in block letter):
	Contact Tel No :
	Contact Dayson

Warrant Conversion Request Form

Nominees Section		Date :
Hong Kong Securities Clearing Company	Limited ("HKSCC")	
Units 2505-6, 25/F . , VicwoodInfinitus Pl		
199 Des Voeux Road, Central		
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re: Conversion of Warrants		
In respect of the warrants held by you for	our account, we wish you to	act as follows:-
EXERCISE the subscription rights attach	ed to our warrants:	(name of
EXERCISE the subscription rights attach warrant) (Stock Code:) in	units of	(currency) to
subscribe for shares o	f	(Company Name) (Stock
Code:) at/(currence	(currency / conversion price)	per share, and we will credit
good funds of _/(current	cy / amount) into the bank a	ccount of HKSCC Nominees
Limited in accordance with HKSCC's ins	structions.	
Pursuant to the above, please debit stock account number	units of warrants ment	ioned above from our CCASS
We understand and acknowledge that: (1) this form should reach HKSCC no later than	the class of the warmant conversion	period as specified by HVSCC in the
relevant CCASS corporate announcement;	ine close of the warrant conversion	perioù as specifiea by HKSCC in ine
(2) if HKSCC does not receive this form by the	stated deadline, HKSCC is entitled	(but not bound) to take such actions
with respect to our securities as it may consid		
(3) HKSCC will debit immediately from our CCL our request; and	ASS stock account the number of wa	rrants set out herein upon receipt of
(4) our request and instructions will only be effe		to the General Rules of CCASS and
the CCASS Operational Procedures as are in	force from time to time.	
Authorized Cianotum of CCASS Doution	ont.	
Authorized Signature of CCASS Participation (with Company Chan)	апі	
(with Company Chop)		
	Participant ID	
	1 articipant 1D	•
	Name (in block letter)	
	rame (in block letter)	:
	Contact Tel No	:
	Contact 101110	•
	Contact Person	:

HKE_X香港交易所

DIVIDEND / BONUS CLAIM LETTER 股息 / 紅股申請書

Date 日期 Claims ref

		Claims ref :	
Hong Kong Securities Clearing Company Limited ("HKSC	CC") and	申索編號	
HKSCC Nominees Limited ("HKSCC Nominees") NOMI			
Units 2505-6, 25/F, VICWOODInfinitus PlazaLAZA	ONG IZ		
199 D <u>esES Voeux<mark>OEUX</mark> R<u>oad<mark>OAD</mark> Central<mark>ENTRAL</mark> Hor</u> 香港中環德輔道中 199 號</u>	ig ONG K <u>on</u> g ONG		
首代中塚徳無垣中 199 號 無限極 維徳 唐場 25 樓 2505-6 室			
<u>無改國</u> 權 [250			
無限極端德廣場 25 樓 <u>2505-6 室</u> 香港中央結算有限公司("香港結算")及 香港中央結算(代理人)有限公司 <u>("香港結算代理人</u>	")		
Dear Sir : 執事先生			
In respect of the Dividend / Bonus Claim on	shares of	under certificate <u>number</u> Nos	
ē ; <u> </u>			
就以 <mark>香港結算代理人貴公司</mark> 名義登記的 來函申索有關的股息 / 紅股 <u>,</u> ——	_股	(股份號碼:),本人 <u>/ 我們</u> 特此
來函申索有關的股息/紅股 <u>,</u>	(股份名稱)		
I / We write on behalf of our client,		, who through an oversight, neglected	I to have the above shares
transferred before the closing of the Company's book on			
本人 <u>/ 我們</u> 代表客戶 之前辦妥股份過戶手續 ·因此未能獲派有關的股息 / 紅	來函提出申索。由於該	客戶一時疏忽,沒有在 <mark>有關</mark> 截止過戶日	日期(即 年 月 日)
之前辦妥股份過戶手續 ·因此未能獲派有關的股息/紅	股 。		
For your easy reference, we I/We enclose herewith the under	er-mentioned documents (It	ems 1-2 in original and Items 3-6 in certi	fied true copies) in respect
of the said shares for your perusal:-	a mentioned documents (10	onis i 2 in original and items 5 o in certi-	ned true copies) in respect
隨函附上下列有關該等股份的文件(第1、2項爲正本	,第3至6項爲認證副本),以供 貴公司參考:-	
1 Latter of Indomnity			
1. Letter of Indemnity 保證書			
2. Original Claim Letter from beneficiary with the par	ticipant's endorsement		
連同參與者簽署的受益人申索書正本			
Purchase Contract Note			
買賣合約			
4. Stock Withdrawal Receipt			
股份提取收據			
5. Share Certificates and Transfer Deeds 巩亜ユ温戸初接			
股票及過戶契據 6. Transfer Receipt			
過戶收據			
As the shares were registered in your Nominee's<u>t</u>he name <u>o</u> 由於該等股份是以 <mark>香港結算代理人貴公司名義登記,</mark>		should be grateful if you would arrange f	or refund of the following:-
由於該守成仍定以 <u>省代和异代华人</u> 县公司有義登記 / ;	恋丽 貝公·可女孙段速·		
Interim/Final* Delividend of	per share totalling		
	,合共\$		
(年度)	1		
Bonus Issue oftotalling 的紅股 (每 股送 形	gsnare or 殳),合共 股		
(年度) (年度)	() · 日共	(股份	
I/We understand and acknowledge that all claims will on			
CCASS Operational Procedures as are in force from time	to time and to the particip	ant agreements in effect and any other r	elevant documents from
<u>time to time issued by HKSCC.</u> 本人 / 我們明白及確認所有香港結算處理及接受的申請	\$均 吗 明	一种的 // 由由红管玄纮——•明明 13 //	由由灶管玄紘潘作租官
<u>年八/我们另口及唯能所有自復相异處,</u> 建及接及可中間 規則》、有效的參與者協議、以及香港結算不時發出的		<u> </u>	<u>中大和异尔机连下往厅</u>
	u have any queries on this n	natter.	
如有任何疑問,請電			
Authorised Signature of CCASS Participant 中央結算系統	逐與者之認可簽署		
(With Company Chop) (連同公司印章)	_		
中央結算系統參與者之認可簽署			
(連同公司印章) Participant ID:			
Participant ID: 參與者編號			
多央台編號 Name (in block letter):			

e			
	11		
	11		

* Delete whichever is inapplicable 請刪去不適用處

Note: Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in

註: 所有<u>申請</u>在中央結算系統內進行的活動均受現行的香港中央結算有限公司不時發出的《中央結算系統一般規則》及 《中央結算系統運作程序規則》所約束。

LETTER OF INDEMNITY

To: Hong Kong Securities Clearing Company Limited ("HKSCC") and HKSCC Nominees Limited ("HKSCC Nominees") Units 2505-6, 25/F., ViewoodInfinitus Plaza 199 Des Voeux Road, Central Hong Kong

In consideration of HKSCC Nominees instructing and directing HKSCC to effect the act or acts set out in Part 1 below; and in consideration of HKSCC following the instructions of HKSCC Nominees with respect to any act mentioned in Part 1 below, we hereby undertake to indemnify both HKSCC and HKSCC Nominees (together, the "Companies") in the manner set out in Part 2 below.

<u>Part 1</u>			
To reimbu	rse, or pay to	o us the Interim/Fina	al* dividend of/
(currency	/ amount) in	total and/or send or	release to us the bonus issue
shares of _			(Stock Code / Name of the bonus issue) in shares of
total in res	spect of		shares of
(Company	Name) (Sto	ck Code:) (certificate number(s))
issued by	the company	and which are regis	stered in the name of HKSCC Nominees.
Part 2			
	We underta	ake to keep each o	of the Companies indemnified against (i) all
			s which may be brought against each of the
	Companies	and (ii) all damage	es, costs and expenses suffered or incurred by
	each of the	Companies and w	which may arise out of or in connection with
	either of the	e Companies having	g done or performed any of the acts mentioned
	in Part 1 ab	ove.	
Dated this		day of	20
			
	Signature of pany Chop)	CCASS Participant	Banker's endorsement
	ID	:	
	lock letters)		
Contact Te	l No	:	
Contact Per	rson	:	
Witness by	:		<u></u>
		(Signature)	
		(Name)	_
Address:			<u></u>
	-		<u></u>
			<u> </u>
			_

^{*}Delete whichever is inapplicable

Debt Securities Conversion Request Form

Nominees Section	Date :
Hong Kong Securities Clearing Company Lin	· · · · · · · · · · · · · · · · · · ·
Units 2505-6, 25/F ₋ , ViewoodInfinitus Plaza	
199 Des Voeux Road, Central	
Hong Kong	
Fax no.: 2815 2728	
Dear Sirs,	
Re: Conversion of Debt Securities	
In respect of the debt securities held by you f	for our account, we wish you to act as follows:-
EXERCISE the conversion rights attached to	our debt securities: units of
(name of debt second	urities)(Stock Code :) inunits of
(curren	ncy) to convert for shares of
	(Company Name)(Stock
Code :) at/	(Company Name)(Stock (currency / conversion price) per share; and
we will credit good funds of/	(currency / amount) into the bank account of
HKSCC Nominees Limited in accordance wi	th HKSCC's instructions.
HKSCC in the relevant CCASS corporate annound (2) if HKSCC does not receive this form by the stat actions with respect to our securities as it may con (3) HKSCC will debit immediately from our CCASS s receipt of our request; and	ted deadline, HKSCC is entitled (but not bound) to take such insider fit; stock account the number of debt securities set out herein upon or accepted by HKSCC subject to the General Rules of CCASS
Authorized Signature of CCASS Participant (with Company Chop)	
	Participant ID :
	Name (in block letter):
	Contact Tel number :
	Contact Person

HKEx香港交易所

Appendix 3.12

Debt Securities Redemption Acceptance Form

Nominees Section		Date :
Hong Kong Securities C	Clearing Company !	
Units 2505-6, 25/F - , Vic		
199 Des Voeux Road, C	Central	
Hong Kong		
Fax no.: 2815 2728		
Dear Sirs,		
Re:		(Name of Debt Securities) (Stock Code :)
Debt Securities F	Redemption at	/ (currency / redemption price) per unit
	int in cash. Accord	the debt securities in respect of our holding of dingly, please debit units of debt securities from
HKSCC in the relevant	HKSCC no later than CCASS corporate anno	the close of the debt securities redemption period as specified by ouncement; stated deadline, HKSCC is entitled (but not bound) to take such
	ediately from our CCAS	consider fit; SS stock account the number of debt securities set out herein upon
	tions will only be effect	cted or accepted by HKSCC subject to the General Rules of CCASS e in force from time to time.
Authorized Signature of (with Compa	•	nt nt
Participant ID	:	
Name (in block letter)	:	
Contact Tel No	:	
Contact Person	:	

To: Customer Service Centreash Clearing Operations.

$\underline{STOCK}\ \underline{SEGREGATED}\ \underline{ACCOUNT}\ \underline{MAINTENANCE}\ \underline{FORM}-\underline{WITHOUT}\ \underline{STATEMENT}$

2/F , <u>Infinitus</u>View 199 Des Voeux Ro Hong Kong		GG A GG
From:		CCASS Participant ID
(Full Name of F	Participant)	
MAINTENANCE	REQUEST	
$] \ A-Add$	[] C – Change	[] D – Delete
. <u>PARTICULARS</u>		
articipant ID: _	Participant Name: _	
ffective Date: _ /	/ [DD / MM / YY]	
) For Add, Change (l	New) or Delete account, please specify:	
TOCK A/C NO.	SHORTNAME	
		<u> </u>
_ _		
		<u> </u>
		. _
		-ll
—'—' — 		·—·
_ _		
) For Change of acco	ount, please specify old account details below:	
1 1		1 1 1
II 		-ll
<u> </u> 		·
 		 _
		
For and on behalf of		
For and on benan or		

	FOR OFFICE U	SE ONLY	
Signature verified and diary updated by:	Inputted by:	Input by:	
		Date:	Report Checked by:
Date:	Date:	<u>——Checked</u> by:	Date: Report
	Date:		checked by:
Approved by :		Date:—	
Signature		Checked by :	Date:
Verified by :	Date:	Date:	

Note: _Each participant is allowed to open up to 15 Stock Segregated Accounts without statement services. Some possible names for Stock A/C No. 03 to No. 17 may include "Dealing A/C", "Investment A/C", "Client A/C"

Voting Request Form - CNS Positions

Clearing / Settlement Secti Hong Kong Securities Clea 7/F. , ViewoodInfinitus Plan 199 Des Voeux Road Cent Hong Kong Fax no. 2851 6921	aring Company Limited_ za		
Dear Sirs,			
Re:		(Company Name) (Stock	x Code :)
instructions in respect of n	ny / our shares in the ab per(s)	pove Company due from a) as at the record/releva	ccordance with our following my / our CNS long position(s ntstated date
□ Annual General Meeting□ Court Meeting□ Other	□ Warran	t holders' Meeting	
Number in the Proxy Form	For	Against	Demand Poll Request (if applicable)
	_		
(2) if HKSCC does not rec take such actions with our request and instruc	HKSCC no later than the corporate announcement ceive this form by the starts respect to our securities extremely considered the CCASS Operational I	nt; ated deadline, HKSCC is as it may consider fit; and	entitled (but not bound) to
Authorized Signature of CO (with Company Chop)	CASS Participant		

Note:

Please <u>tickeheek</u> the appropriate box(es) and insert the number of shares/warrants. If <u>you vote without inserting theno</u> number of shares/warrants <u>is inserted</u>, <u>we shall regard</u>-your instruction <u>to vote shall be deemed to be on applicable to-your total (eligible to vote) shares eligible for voting holding based on <u>our the</u> records <u>of HKSCC as at on</u> the <u>record /</u>relevant datey.</u>

You are reminded that under CCASS rules the giving of instructions to HKSCC Nominees in respect of your shares constitute an undertaking by you to maintain the relevant number of shares in your CCASS Stock Accounts on the meeting date. Further, HKSCC Nominees reserves the right to allocate voting rights between participants in the event that not all shares are re-registered in its name in time for the meeting.

Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect.

We understand and acknowledge that:

То:	Clea	aring / Settlement Secti	on	Da	te:		
	7.	Iong Kong Securities C/F ₋ , Viewood Infinitus Ino. 2851 6921				Ş	
Date):		=				
Dea	r Sirs						
Reg	ardinş	ENTITLE g the following overdue		Second ex-all date		ONS	
Sett	lemen	nt Date					
		t Position Number					
Stoc	k Co	de					
Stoc	k Naı	me					
Out	standi	ng Share Quantity					
Tota	ıl Out	standing Share Quantit	У				
1. 2. 3.		In cash (, currer In scrip in respect of In cash and/or scrip i Cash dividend (our total outstand	ling share quantity.	<u>.</u>	ntity.	shares_
		Cash dividend (, currency) to be	received on our			Shares
		Cash dividend (, currency) to be	received on our			shares
		Cash dividend (, currency) to be	received on our			shares
		Scrip to be received	on our		shar	<u>es</u>	
	Electi	ion for one type of enti	tlement				
<u> </u>	K Do	llar Dividend on total (outstanding share	quantity			
₽F	oreigr	Currency Dividend or	n total outstanding	share quantity			
⊟S	erip o	n total outstanding sha	re quantity				
	<u> </u>	lection for more than o	one type of entitles	ment			
⊟H	K Do	llar Dividend on	shares & Fo	oreign Currency Di	vidend on _	shares	
⊟С	ash D	ividend on	shares & Scrip or	nshares			

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offered by the Company.

Appendix 4.9

(1) this form should reach HKSCC no later than the close of the election period as specified by HKSCC in the relevant CCASS corporate announcement;

- (2) if HKSCC does not receive this form by the stated deadline, HKSCC is entitled (but not bound) to take such actions with respect to our securities as it may consider fit; and
- (3) our request and instructions will only be effected or accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time.

Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID : Name (in block letter) : Contact Tel number : Contact Person :	
Note: Please tick the appropriate box and insert the number of shares if you opt for it	em 3. Participant ID & Name:
	Tel No.:
	Authorized Signature of CCASS Participant
——————————————————————————————————————	ny Chop)
* Please tick the appropriate box and insert the number of share: N.B. Please note that your instruction should	

If Hong Kong Securities Clearing Company Limited ("HKSCC") does not receive your specific instruction by the stated deadline, HKSCC will allocate the entitlement to you in accordance with the default option

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Appendix 4.10

Rights Issues Subscription Election Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Lin	nited ("HKSCC")
7/F ., ViewoodInfinitus Plaza ,	
199 Des Voeux Road Central,	
Hong Kong.	
Fax no. 2851 6921	
Tun 110. 2001 0721	
Dear Sirs,	
Par Pights Issue for shares of	(Company Nama)
(Stock Code:	(Company Name) (currency / subscription price) per share
(Stock Code) at/	(currency / subscription price) per share
Dlagge get on our behalf on our CNS long nor	ition(s) (Sattlement Desition Number(s)
	ition(s) (Settlement Position Number(s)
) as follows:-	
D' 1 (All)	
Rights Allotment	
T. 1	1 1 10 1 21 12 1 0 1 0 /
	n our behalf and we will credit good funds of/
	nk account of HKSCC Nominees Limited in accordance
with HKSCC's instructions.	
Excess Application	
-	tled to subscribe for, please also apply for excess
	funds of/ (currency / amount) into
the bank account of HKSCC Nominees Limite	ed in accordance with HKSCC's instructions
We understand and acknowledge that:	
	p.m. on the Business Day before the close of the subscription period
as specified by HKSCC in the relevant CCASS corp	oorate announcement; ana r accepted by HKSCC subject to the General Rules of CCASS and the
CCASS Operational Procedures as are in force from	
	Authorized Signature of CCASS Participant
	(with Company Chop)
	(with company chop)
	Participant ID :
	•
	Name (in block letter):
	Contact Tel No. :
	Contact Tel No. :
	Contact Person :

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Subscription of Open Offer Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("HK	
7/F ., Viewood Infinitus Plaza ,	
199 Des Voeux Road Central,	
Hong Kong .	
Fax no. 2851 6921	
Dear Sirs,	
Re: Open Offer for shares of(Stock Code :) at/	(Company Name)
(Stock Code :) at/	(currency / subscription price) per share
Please act on our behalf on our CNS long position(s) follows:-	(Settlement Position Number(s)) as
Open Offer	
Take up new shares and we will credi amount) into the bank account of HKSCC Nominees Lin	t good funds of / (currency / mited in accordance with HKSCC's instructions.
Excess Application	
Apart from the new shares which we are entitled to subshares on our behalf and we will credit good funds of _into the bank account of HKSCC Nominees Limited in a	(currency / amount)
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on t specified by HKSCC in the relevant CCASS corporate announce our request and instructions will only be effected or accepted CCASS Operational Procedures as are in force from time to tin	ement; and by HKSCC subject to the General Rules of CCASS and the
	Authorized Signature of CCASS Participant (with Company Chop)
	Participant ID :
	Name (in block letter):
	Contact Tel No. :
	Contact Person :

Take-over Offer Acceptance/Election Form - CNS Positions

Clearing / S	Settlement S	Section		Date :
Hong Kong	g Securities	Clearing Compa	CC")	
7/F., Viewe	ood Infinitus	<u>S</u> Plaza		
199 Des V	oeux Road (Central , Hong K o	ong	
Hong Kong	<u>g</u>			
Fax no. 285	51 6921			
Dear Sirs,				
Re:				(Company Nama) (Stock Code
				(Company Name) (Stock Code :)
	Cash Offe	er at/	(currency	/ offer price) per share and/or
	Scrip or S	ecurities Exchan	ge Offer at	per share
	_		_	our CNS long position(s) (Settlement Positionshares as follows:-
1. \square	Acceptance	ce of the offer co	nsideration in CAS	SH in respect of our long position(s) stated above.
2. □	Acceptano	ce of the offer co	nsideration in SCR	IP in respect of our long position(s) stated above.
3. □	Acceptance of the offer consideration in cash and in scrip			
				shares of our position(s) stated above.
	Scrip to be	e received in resp	pect of	shares of our position(s) stated above.
(1) the by (2) ou	is form should HKSCC in th ur request and	e relevant CCASS co instructions will onl	orporate announcement	ed by HKSCC subject to the General Rules of CCASS and the
Authorized	-	of CCASS Partic empany Chop)	ipant	
Participant	ID	•		
_	olock letter)	·		<u> </u>
Contact Te		· —		_
Contact Pe				
Comact I C	10011	•		
Note:				

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Please tick the appropriate box and insert the number of shares if you opt for item 3.

Warrant Conversion Election Form - CNS Positions

Clearing / Settlement Section	Date:
Hong Kong Securities Clearing Company Limited ("HKSCC")	
7/F., ViewoodInfinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Fax 110. 2831 0921	
Dear Sirs,	
Re: Conversion of Warrants	
In respect of the warrants (Stock Code)	
(name of warrant) due from the CNS long position(s)), we wish you to act as follows: -	(Settlement Position Number(s)
EXERCISE the subscription rights attached to the warrants men	tioned above in units of
(currency) to subscribe for _	shares of
(Company Name) (Stock	Code :) at/
(currency / amount) into the bank account of HKSC with HKSCC's instructions.	CC Nominees Limited in accordance
 We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the Business Day period as specified by HKSCC in the relevant CCASS corporate announcem (2) our request and instructions will only be effected or accepted by HKSCC sure CCASS Operational Procedures as are in force from time to time. 	ent; and
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No. :	
Contact Person	

To: Clearing / Settlement Section, Hong Kong Securities Clearing Company Limited ("HKSCC")
7/F-, Viewood Infinitus
Plaza, 199 Des Voeux Road, Central, Hong Kong
Fax no. 2851 6921

Date:

APPLICATION FORM FOR CANCELLATION OF SETTLEMENT POSITION

[.	Delivering Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
I.	Receiving Participant	
	Participant ID :	Participant Name:
	Contact Person :	Telephone No :
II.	Details of Settlement Position	
	Settlement Position No :	
	Position Type :	
	Stock Code :	
	Settlement Date :	
	Outstanding Position Quantity:	
	Original Position Quantity :	
	Outstanding Position Amount:	
	Original Position Amount :	
V.	Declaration by Both Participants	
	We hereby apply for the above-mentioned settle will be settled on (d	ement position to be cancelled. The outstanding position has been / date) by the following method:
		equest and instructions will only be effected or accepted by HKSCC
		CCASS Operational Procedures as are in force from time to time.
	Signed: Delivering Partici Authorized Signature of CCASS C (with Company C	Delivering Participant Authorized Signature of Receiving CCASS
	For Office Use	
	Checked by : Approved by :	Date : Date :

Date:		

Clearing / Settlement Section
Hong Kong Securities Clearing Company Limited ("HKSCC")
7/F., ViewoodInfinitus Plaza
199 Des Voeux Road Central
Hong Kong
Fax no. 2851 6921

BUY-IN REQUEST FORM (FOR CCASS ISOLATED TRADES ONLY)

The following transaction is still outstanding <u>as</u> at the <u>date of time of writing</u> this letter. Please instruct the delivering Participant to buy back the shares required for completion of settlement.

Details of the outstanding transaction	
Name of deliveringparticipant	CCASS Participant ID
Settlement position no.	Transaction date
Stock name	Stock Code
O/S position quantity	O/S position amount
We understand and acknowledge that our request and instructional Rules of CCASS and the CCASS Operational Proceed	ructions will only be effected or accepted by HKSCC subject to the lures as are in force from time to time.
Authorized Signature of CCASS Participant (with Company Chop)	
Reporting CCASS Participant ID :	
Name (in block letter) of Reporting Participant:	
Signed :Contact Tel No: (with Contact Tel)	mpany Chop)
Date : Contact Person:	

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Participant ID

То:	Hong Kor 7/F., Viev		Company Limited <u>("H</u>	IKSCC")				
WITE	IDRAWAL	OF BUY-IN REQUI	EST FORM (FOR C	CASS ISOLA	ΓED TRADES)		Date:	
	of Buy-in est Form	Name of seller	CCASS Participant ID	Stock Code	Stock Name	Settlement Position No.	O/S position quantity	O/S position amount
(1) on	ce the buy-in r					he unsettled Isolated Trade(s the CCASS Operational Prod		ce from time to time.
	rized Signat Company Cho	ture of CCASS Particip	<u>pant</u>					

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Name (in block letter):			
Contact Tel No :			
Contact Person :			
Reporting CCASS Participant ID			
Company Name	Signed		
		(with Company Chop)	
Note:			

Hong Kong Securities Clearing Company Limited may not entertain any further complaint on an unsettled transaction for which a complaint has been withdrawn.

Rights Issues Subscription Election Form - IT Positions

Hong F 7/F., V 199 De Hong F	Kong Securities Clearing Company Limited ("HKSCC") Gewood Infinitus Plaza es Voeux Road Central Kong. . 2851 6921	Date
Dear S	irs,	
Re:	Rights Issue for shares of(Stock Code :) at/share	(Company Name) (currency / subscription price) per
Please	act on our behalf on our IT Position(s)) as follows:-	(Settlement Position Number(s)
Rights	Allotment	
	new shares on our behalf and we w (currency / amount) into the bank account of HKS KSCC's instructions.	
Excess	Application	
	from the new shares which we are entitled to sub- excess shares on our behalf and will credit go acy / amount) into the bank account of HKSCC Nominees I tions.	ood funds of/
(1) this specification (2) our	erstand and acknowledge that: s form should reach HKSCC no later than 2:00 p.m. on the Business Day cified by HKSCC in the relevant CCASS corporate announcement; and request and instructions will only be effected or accepted by HKSCC so ASS Operational Procedures as are in force from time to time.	ubject to the General Rules of CCASS and the
Author	rized Signature of CCASS Participant (with Company Chop)	
Particij	pant ID :	
Name	(in block letter):	
Contac	et Tel No :	
Contac	et Person :	

Warrant Exercise Election Form - IT Positions

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("HK	SCC")
7/F., VicwoodInfinitus Plaza	,
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
14.110.2001 0/21	
Dear Sirs,	
Re: Exercise of Warrants	
In respect of the warrants (Stock Code)
(name of warrant) due from the IT long po), we wish you to act as follows:-	osition(s) (Settlement Position Number(s),
EXERCISE the subscription rights attached to the warra	ants mentioned above inunits of
(currency) to subscribe for shares of _	
(Company Name) (Stock Code :) at	/ (currency / conversion
price) per share, will credit good funds of/	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance with	th HKSCC's instructions.
 We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the period as specified by HKSCC in the relevant CCASS corporate (2) our request and instructions will only be effected or accepted by CCASS Operational Procedures as are in force from time to time 	e announcement; and y HKSCC subject to the General Rules of CCASS and the
Authorized Signature of CCASS Participant	
(with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel number :	
Contact Person :	

Transfer Instruction Form

To: Hong Kong Securit 2/F _z ViewoodInfini 199 Des Voeux Ros Hong Kong Attn: Depository Service	ad Central	mited <u>("HKSCC")</u> Instru	uction Date:
Dear Sirs,			
		NMENT BONDS, SPECIF CEIVE FREE OF PAYME	FIED INSTRUMENTS AND/OR ENT INSTRUCTION
1. Please RECEIVE notes	Free of Payment via CMU	according to the following in	nstruction for value on:
DEBIT Counterparty (Account Code)	Beneficiary	Issue Number	Nominal Value (Currency)
2. Please CREDIT my/our	CCASS stock account nun	nber with the fol	llowing holding:
Stock Code		x Name	Number of Unit
(2) for Receive Free of P Specified Instruments Government Bonds, Sp credited on the next wo (3) my/our instruction will Operational Procedures	Id reach HKSCC No Later ayment Instruction, my/our and/or CMU Instruments becified Instruments and/or Crking day; and only be effected and accepted	by HKSCC from counterpart CMU Instruments are received ed by HKSCC subject to the C	settlement; on receipt of EFN, Government Bonds ty in CMU before 3:00 p.m. If EFN d after 3:00 p.m., my/our account will be General Rules of CCASS and the CCASS greements in effect and any other relevan
Participant ID :			
Participant Name (in block letters) :			
Contact Person / Telephone Number :			
Fax Number :		Authorized Signa (with Compa	nture of CCASS Participant (ny Chop)

Note:

-Your instruction should reach us No Later Than 2:00 p.m. for same day settlement.

For Receive Free of Payment Instruction, your account will be credited upon receipt of EFN, Government Bonds, Specified Instruments and/or CMU Instruments by HKSCC from counterparty in CMU before 3:00 p.m. If EFN, Government Bonds, Specified Instruments and/or CMU Instruments are received after 3:00 p.m., your account will be credited on the next working day.

Your instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC.

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For Office Use Only					
Signature Verified T. I. Input T. I. Authorized Account Updated UML prepared / approved Advice					Advice Issued

			Transfer Instruction Form
2/F _z Viewood <u>l</u> 199 Des Voeu: Hong Kong	ecurities Clearing Company Limited (* nfinitus Plaza x Road Central rvices (Fax No.: 2543-7910)	<u>'HKSCC'')</u> Instructi	on Date :
Dear Sirs,			
	UND NOTES, GOVERNMENT U INSTRUMENTS DELIVER F		
_	r CCASS stock account number		
Stock Code	Stock Name		Number of Unit
. Please DELIVER no	tes Free of Payment via CMU according	to the following instruction	on for value on :
CREDIT Counterparty (Account Code)	Beneficiary	Issue Number	Nominal Value (Currency)
value day. I am/ instruction on the 3) my/our instruction CCASS Operation	should reach HKSCC No Later Than Payment, instruction will automatic We are obliged to submit a fresh in ext working day; and will only be effected and accepted all Procedures as are in force from time aments from time to time issued by HI	ally lapse if the instruction struction to HKSCC if by HKSCC subject to the to time and to the part	on is not settled in the CMU of I/we wish to settle the presset General Rules of CCASS at
Participant Name (in block letters)	·	-	
Contact Person / Felephone Number	:	-	
Fax Number	:		ture of CCASS Participant th Company Chop)
Note:	Id woods to No I adam Till 200	For some discussed	

-For Deliver Free of Payment, instruction will automatically lapse if the instruction is not settled in the CMU on the value day. You are obliged to submit a fresh instruction to us if you wish to settle the prescribed instruction on the next working day.

-Your instruction will only be effected and accepted by HKSCC subject to the General Rules of CCASS and the CCASS Operational Procedures as are in force from time to time and to the participant agreements in effect and any other relevant documents from time to time issued by HKSCC.

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For Office Use Only					
Signature Verified	Account Updated	T. I. Input	T. I. Authorized	UML prepared / approved	Advice Issued

<u>Debt Securities Conversion Request Form - IT Positions</u>

Clearing / Settlement Section	Date
Hong Kong Securities Clearing Company Limited ("HK	SCC")
7/F ., Viewood Infinitus Plaza	
199 Des Voeux Road Central	
Hong Kong	
Fax no. 2851 6921	
Dear Sirs,	
Re: Conversion of Debt Securities	
In respect of the debt securities due from the IT), we wish you to act as follows:	
EXERCISE the conversion rights attached to our debt se (name of debt securities) (Stock Code :) in	curities:
convert for shares of	units of (currency) to
convert for shares of (Company Name) (Stock Code :) at/	(currency / conversion price) per
share; and we will credit good funds of/	(currency / amount) into the bank
account of HKSCC Nominees Limited in accordance with	th HKSCC's instructions.
 We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the conversion period as specified by HKSCC in the relevant CCAS. (2) our request and instructions will only be effected or accepted by CCASS Operational Procedures as are in force from time to tim 	S corporate announcement; and HKSCC subject to the General Rules of CCASS and the
Authorized Signature of CCASS Participant (with Company Chop)	
Participant ID :	
Name (in block letter):	
Contact Tel No :	
Contact Person :	

<u>Debt Securities Redemption Request Form - IT Positions</u>

Clearing / Settler			!!r . 1 /6TTIZ	adan)		Date		
Hong Kong Secu			ımıted <u>("HK</u>	SCC")				
7/F., Viewood Inf								
199 Des Voeux F Hong Kong	toau Centra	ll .						
Fax no. 2851 692)1							
1 dx 110. 2031 072	.1							
Dear Sirs,								
Re: Redemption	of Debt Se	curities						
T	/ .1 D.1	11.0	1 C (1	IT ::: /	·	41 4 TO	N 141 NT 1	()
In respect of my	/ our tne D a		to act as fol	_	s) (Set	tiement P	osition Numb	er(s)
), I/ WC WISI	i to act as for	10 W 5				
EXERCISE R							<u>S</u> ecurities:	of
		npany name <u>of c</u>					11/ / >	
111	 (cc nits.	oupon rate)		(maturi	ty date	- dd/mm/yy	<u></u> 1n
uı	nts.							
Please redeem or	my/our be	half as soon as j	possible.					
We understand and	aaknowladaa	that.						
We understand and (1) this form should			e close of the d	ebt securities r	edemptio	on period a	s specified by HI	KSCC
in the relevant (CCASS corpor	<u>rate announcement;</u>	and					
(2) our request and					ct to the	General R	ules of CCASS ar	<u>id the</u>
I / We understa		res as are in force j ivities under C			e Gen	eral Rule	es of CCASS	and
Operational Proc			criss are a	abject to th	ic Gen	orar reare	00 01 001100	and
•								
Authorized Signa			-					
(with	Company C	(hop)						
Participant ID	: _							
Name (in block l	etter) :							
Contact Tel No	: _							
Contact Person	:							

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STOCK LENDING ACCOUNT OPENING FORM 開立股份貸出戶口申請表

Го:	Clearing / Settlement Section Hong Kong Securities Clearing 7/F., ViewoodInfinitus Plaza 199 Des Voeux Road Central Hong Kong Fax no. 2851 6921	Company Limited							
	香港中央結算有限公司 結算及交收組 香港中環德輔道中 199 號 無限極維德廣場 7 樓 傳真號碼: 2851 6921								
			Agreement No.: 協議編號	(For IRD use only)					
ſ.	LENDER'S PARTICULARS 貸出人資料			(稅務局專用)					
	Participant I.D.: 參與者編號 Business Registration No.: 商業登記號碼: Address: 地址:		Participant Name: 參與者名稱						
П.	BORROWER'S PARTICULAR借入人資料	RS							
	Name of Borrower: 借入人名稱	Hong Kong Securities Clearing Company 香港中央結算有限公司	Limited						
	Business Registration No 商業登記號碼: Address: 地址	12/F., One International Finance Centre, I 香港中環港景街一號國際金融中心一期		g Kong					
	Settlement System ("CCASS") entered into with HKSCC shall Lending Regulations of CCASS 貸出人特此向香港中央結算有	The Lender hereby certifies that the part be subject to the relevant provisions of the each as amended from time to time. [限公司(「結算公司」)申請在中央結算及誤。所有與結算公司訂立的借入證券交易。	iculars contained herein are true a e CCASS Rules, Operational Proc と交收系統(「中央結算系統」)內	ock Lending Account in the Central Clearing and and correct. All securities borrowing transactions redures and the Compulsory Stock Borrowing and 期立一個股份貸出戶口,貸出人特此確認在本充一般規則》、《中央結算系統運作程序規則》					
	For and on behalf of Hong Kong Securities Clearing	Company Limited	For and on behalf of Lender						
	Authorized Signature (with Con香港中央結算有限公司獲授權Date:日期		Authorized Signature (with Company Chop) 貸出人獲授權人士簽名(公司蓋印) Date: 日期						
	FOR OFFICE USE ONLY 內部專用								
	Signature Verified by: 核對簽名		Date: 日期						
	Approved by: 批核 Input by:		Date: 日期 Date:						
	輸入 Checked by: 核對		日期 Date: 日期						

(In triplicate) 一式三份

<u>Debt Securities Conversion Request Form - CNS Positions</u>

Clearing / Settlement Section	Date	
Hong Kong Securities Clearing Company Limited ("HKSC		
7/F., VicwoodInfinitus Plaza		
199 Des Voeux Road Central		
Hong Kong		
Fax no. 2851 6921		
Dear Sirs,		
Re: Conversion of Debt Securities		
In respect of the debt securities due from the CNS po		tion Number(s)
EXERCISE the conversion rights attached to our debt secur		
(name of debt securities) (Stock Code :) in	units of	(currency)
to convert for shares of		(Company
Name) (Stock Code :) at/	(currency / conversion p	orice) per share;
and we will credit good funds of / (cu	· · · · · · · · · · · · · · · · · · ·	bank account of
HKSCC Nominees Limited in accordance with HKSCC's in	nstructions.	
We understand and acknowledge that: (1) this form should reach HKSCC no later than 2:00 p.m. on the E	Pusings Day before the class of	the debt securities
conversion period as specified by HKSCC in the relevant CCASS co		the debt securities
(2) our request and instructions will only be effected or accepted by HI	KSCC subject to the General Rules	s of CCASS and the
CCASS Operational Procedures as are in force from time to time.		
Authorized Signature of CCASS Participant		
(with Company Chop)		
(with Company Chop)		
Participant ID :	<u> </u>	
Name (in block letter):		
Contact Tel No :		
Contact Person :		

Request For Maintenance Of Day-End Standing Withdrawal Instruction

Го	:	Cash Clearing Risk Hong Kong Securiti	_	-	ed	
		7 <u>8</u> /F., Viewood Infin	<u>iitus</u> Pla	za ,		
		199 Des Voeux Roa	d Centr	al, Hong Kong		
Dat	te :			(DD/MM/Y	YY)	
1.	Partic	ulars of Participant				
	Particij	pant ID :		Participant Ful	1 Name :	
	Contac	t Person :				
	Name	: <u>Cor</u>	ntact Te	lephone Number:		
		. :			–Fax N <u>umber</u> o	· :
		nd Standing Withdra		struction		
			e er seel Hill	on action		
2.1		of Request				
		ld		Change		Delete
2.2	Latest	Instruction Details (Fo	or "Add'	' and "Change" only)	
	Applic	able CCMS Account	:	House Collateral	Account #000	1
	Minim	um Free Balance	:	HK\$		
	Effecti	ve Date*	:			_ (DD/MM/YY)
	* HKS	CC has the right to ove	erride tł	ne effective date to a	date as specifie	ed in section 3 below.
					•	
				Authorised Signa	ature of CCASS	•
3.	For Us	se By HKSCC				(with company chop)
		ccept (revised Effective	e Date	if applicable :		(DD/MM/YY)
			· Daw,	п аррпсаоте		_ (22///////// 1 1 /
	□ Re	eject				
	Remark	ks :				
	Checke	ed by :				
	Annros	and but				

Cross-border Transfer Instruction Form

To: Hong Kong Sec 2/F <u>Infinitus</u> Vie 199 Des Voeux Hong Kong Attn: Depositor	wood Plaz Road Cen	za ntral	y Limited <u>("HKSCC"</u> 3-7910)	<u>)</u>	Da	ite :			
Dear Sir <u>s</u> , FOR	EIGN S	ECURITIE	S - ' DELIVER F	REE OF	PAYME	ENT ' INSTRUCT	ΓΙΟΝ		
1. Please DEBIT	my/our C	CCASS Stock A	Account Number		with	the following holdin	gs and,		
Stock Code			Stock Name			Numb	er of Shares		
2. DELIVER the instruction for			ayment via Depositor _ (yy/mm/dd) New Y		ompany ('	'DTC'') according to	the following		
1	Name of R	Receiving DTC	Participant		DTC A	ccount Number of Re	ceiving Participant		
CUSIP (Security Identifier Nu	ımber)		Name of Secur	rities		Shar	Share Quantity		
settlement in D7 (2) my/our request	ΓC; and and instru	ctions will onl	y be effected or accept force from time to tire	ted by HK					
Participant ID	:								
Participant Name									
(in block letter)	:								
Contact Person / Telephone Number	:								
Fax Number	:					Authorized Signature of C (with Company	•		
Note:									
	e :		d account with DTC: urities Clearing Company	Limited					
- Your instruction s	hould reach	us not later than 2	2:00 p.m. (Hong Kong Tin	e) for the spe	cified value d	late settlement in DTC.			
-Activities under C	CCASS are st	ubject to the Gener	al Rules of CCASS and Op	erational Proc	edures in effe	ect.			
Signature Verified	Instr	ruction Approved	For Office DTC Instruction Prepared		on Authorized	CCASS Account Updated	Advice Issued		

To · Hong Kong Se	curities Clé	earing Compar	ny Limited ("HKSCC")	Cr	coss-border Date:	Transfer Ins	struction Form
2/F <u>Infinitus</u> Vi		-	ly Emmed <u>(THRSCE)</u>		Bute.		
199 Des Voeux	Road Cer	ntral					
Hong Kong	m. Camiaas	(For No . 25/	12 7010)				
Attn: Deposito	ry Services	s (Fax No.: 254	+3-7910)				
Dear Sir <u>s</u> , FOF	REIGN S	ECURITIE	ES - ' RECEIVE FRE	E OF	PAYMENT	' INSTRUCT	ION
1. Please RECE following inst			ree of Payment via Depo (yy/mm/dd)			y Limited (''DTC	") according to the
	Name of D	Delivering DTC	C Participant		DTC Accou	nt Number of Deli	ivering Participant
CUSIP (Security Identifier N	Jumher)		Name of Securitie	s		Share	Quantity
(2221111) careg.							
2. Upon receipt		received-' co	onfirmation from DTC, pholdings:	please (CREDIT my/o	our CCASS Stock	Account Number
Stock Code			Stock Name			Numbe	er of Shares
in DTC; (2)- for Receive Front Account will be confirmation from my/our requestions.	ee of Paym e credited com DTC; and instru	ent Instruction with the releva and actions will on	C no later than 2:00 p.m. I, due to time difference be unt Nasdaq-Amex Stock or ly be effected or accepted in force from time to time.	etween F	Hong Kong and at business day	New York, my/ou after receipt of sto	ur CCASS Stock ock receive
Participant Name (in block letter)	:			-			
Contact Person / Telephone Number	:			-			
					Auth	orized Signature of CO	
Fax Number	:			-		(with Company 0	Chop)
Account Nation Account Nutricities - Your instruction - Ffor Receive Fr	ne : nber : 1 should reacl ee of Paymen	Hong Kong Sec 2338 a us not later than at Instruction, due	ited account with DTC: curities Clearing Company Lin a 2:00 p.m. (Hong Kong Time) to time difference between Hong	for the spo	l New York, your (CCASS Stock Account	t will be credited with the
	•		ess day after receipt of stock reco eral Rules of CCASS and the CC				
			For Office Use	Onlv			
Signature Verified	Inst	ruction Approved			on Authorized C	CASS Account Updated	Advice Issued

Cross-border Transfer Instruction Form

To: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Infinitus Viewood Plaza 199 Des Voeux Road Central Hong Kong Attn: Depository Services (Fax No.: 2543-7910) Dear Sirs, FOREIGN SECURITIES – CANCEL ' RECEIVE FREE OF PAYMENT ' INSTRUCTION Please CANCEL the following ' Receive Free of Payment ' Instruction via Depository Trust Company ("DTC") sent to you on (yy/mm/dd). Name of Delivering DTC Participant DTC Account Number of Delivering Participant									
CUSIP		Name of Secur	ritios		Chor	o Quantity			
(Security Identifier Numb	per)	Name of Secur	nues		Snar	e Quantity			
I/We understand and ac (1) my/our instruction (2) for Cancel 'Receiv (3) my/our request and CCASS Operation Participant ID Participant Name (in block letter) Contact Person / Telephone Number	should reach HKSCO re Free of Payment' I d instructions will on	nstruction, cancellation	will be val ted by HKS	lid only upo	on receipt of DTC's control to the General Rules	onfirmation; and of CCASS and the			
Fax Number	:				Authorized Signature of C (with Company				
Note: - Hong Kong Securities Clearing Company Limited account with DTC: Account Name: Hong Kong Securities Clearing Company Limited Account Number: 2338 - Your instruction should reach us not later than 2:00 p.m. (Hong Kong Time) for same day execution. - Ffor Cancel 'Receive Free of Payment' Instruction, cancellation will be valid only upon receipt of DTC's confirmation. - Activities under CCASS are subject to the General Rules of CCASS and Operational Procedures in effect. For Office Use Only									
Signature Verified	Instruction Approved	DTC Instruction Prepared		on Authorized	CCASS Account Updated	Advice Issued			

Creation of Units - Credit Authorization Form (Cash Application)

To:		Kong Securities Clearin ewood Infinitus Plaza	ng Company Limite	d <u>("HKSCC")</u>	Date :	
	199 De	s Voeux Road Central			N	
	Hong K Attn : I	Kong Depository Services (Fa	ax No. : 2543-7910		No.:	
D	Q:					
Dear S	Sır <u>s</u> ,					
With	referenc	ce to my/ our Unit Cre	eation Order (by C	Cash Application) in res	pect of	units in the
				nd the subsequent accep		-
HKSC	CC_Hon	g Kong Securities Cle	earing Company Li	mited to credit the abo	ve units to my/ our C	CASS stock account
numb	er	on		→		
				structions will only be entire as are in fi		
Partic	ipant ID	:				
Partic	ipant Na	ime.				
	ock lette					
Conta	ct Perso	n /				
Telep	hone Nu	mber :		Autho	orized Signature of C	CASS Participant
					(with Company	
Fax N	lumber	:				
Note:	<u>.</u>					
-10to	A <i>ctivitie:</i>	s under CCASS are sub	pject to the General	Rules of CCASS and CC	CASS Operational Pro-	cedures in effect.
G.		1	For	Office Use Only		
_	nature rified	Instruction Approved	Uni	s Credited	Report Checked	Advice Issued

Creation of Units - Debit and Credit Authorization Form

2 :]	to: Hong Kong Securities Clearing Company Limited ("HKSCC") 2/F Viewood Infinitus Plaza 199 Des Voeux Road Central Hong Kong Creation Order No.: Attn: Depository Services (Fax No.: 2543-7910)									
Dear Si	ir <u>s</u> ,									
With re	eference to my/ ou a	r Unit Cre	eation Order	r in respect of	of that Orde	r. I/ we he	units in the _ reby authorize <u>HI</u>	ZSCC Hong Kon	da	ted
Clearin	g Company Lir	nited to and	debit these deliver the	e units from m to the reg	n my/ ou istrar of t	r CCASS he Fund.	S stock account Upon receipt of t	numberthe above units, y		on
Stock Stock Code Short Name			Share Quantity				Stock Short Name		Quantity	
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							be effected or accin force from time		C subject	t to
Particip	oant ID :									
	oant Name : ck letter)									
	t Person / one Number :						thorized Signatu	and of CCASS Do		
Fax Nu	ımber :					Au	thorized Signatu (with Co	mpany Chop)	a acipan	ı
Note:	Activities under	CCASS are	e subject to	the General	l Rules of	CCASS at	nd CCASS Operat	ional Procedures	' in effect	<u>.</u>

Report Checked

Advice Issued

For Office Use Only

Share Credited

Units Debited

Signature Verified

Instruction Approved

Redemption of Units - Debit and Credit Authorization Form

	2/F View	ood <u>Infinitu</u>: Voeux Road	<u>S</u> Plaza		nny Limited_			Date :				_
		ng pository Ser	vices (F	ax No. : 2	2543-7910)	K	edempuoi	l Oldel No				
Dear S	irs,											
		to my/ our U	nit Red	emption (Order in resi	nect of		units in	the			
dated			and the	ne subseq	uent accepta	ance of the	hat Order	units in <u>H</u> we hereby aut	horize <u>HK</u>	SCC F	long K	ong
Securit								ASS stock accour Upon receipt of				
of the								to my/our CCA				
		·•	-				16					
Stock		Stock		Shar	e Quantity		Stock	Stock	S	hare Ç	Quantit	y
Code	Sho	ort Name			1		Code	Short Name				1
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					<u> </u>					<u>. </u>		<u> </u>
We un	derstand :	and acknowl	edge tha	at our rea	uest and inst	ructions v	vill only b	e effected or acce	nted by HK	SCCs	ubiect	to
								in force from time		<u></u>	<u>uojeet</u>	<u></u>
Partici	pant ID	:										
Partici	pant Nam	ie :										
	ck letter)											
Contac	et Person	/										
Teleph	one Num	ber :						thorized Signety	ure of CCA	CC Do		
Fax Nı	ımber	:					AU	thorized Signatu (with Co	mpany Ch		rucipa	111
Note:	Activities u	ınder CCASS ar	e subject :	to the Gener	ral Rules of CC	ASS and CC	EASS Operat	tional Procedures in ef	fect.			
					For O	ffice Use	Only					
Signatur	re Verified	Instruction Ap	pproved	Unit	s Debited		re Credited	Report Check	ked	Advic	e Issued	