

Options Clearing Rules

CHAPTER 2

CLEARING BY SEOCH

OCH Contracts and NCP Contracts

202. (4) [Repealed]

(5) [Repealed]

CHAPTER 7

DEFAULT PROCEDURES

Suspension of SEOCH Participantship

718A. The Head of Cash and Derivatives Clearing of HKEx may suspend the SEOCH Participantship of a SEOCH Participant if, in its capacity as an Exchange Participant, it voluntarily suspends its Exchange Participantship or is suspended from Exchange Participantship or accessing the System provided he has first obtained the approval of the Chairman of the SEOCH Board which may be given orally or in writing.

CHAPTER 11

DCASS

Connection to DCASS

1102. Prior to the establishment of an electronic connection of a SEOCH Participant to DCASS (“DCASS connection”), a DCASS Agreement in a form prescribed by SEOCH from time to time shall be entered into.

1102A. A SEOCH Participant can establish its DCASS connection via the central gateway provided by SEOCH or the SEOCH Participant’s remote network gateways.